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RNA-seq analysis of blood from cave- and surface-dwelling Astyanax morphs reveal diverse transcriptomic responses to normoxic rearing

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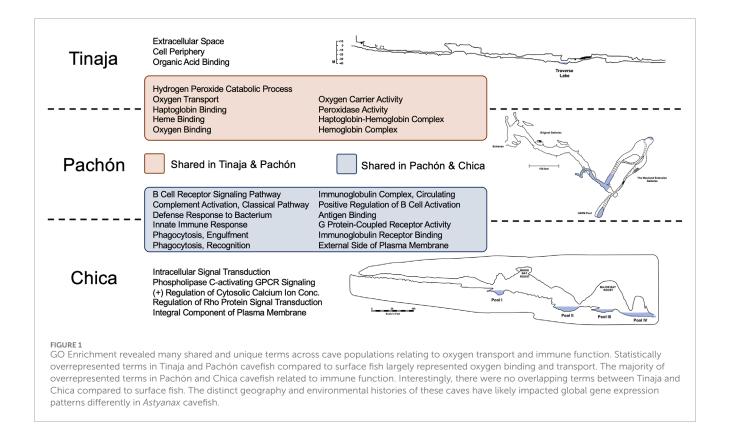
Adaptive responses to hypoxia are likely accompanied by highly diverse changes in gene expression. Here, we examined the transcriptomic regulation in blood samples derived from independently-derived captive cave-dwelling fish. These fish are members of the species Astyanax mexicanus, which comprises two morphs: an obligate subterranean form, and a "surface-dwelling" form that lives in rivers and streams located near cave localities. These morphs diverged ~20,000-200,000 years ago, and cavefish derived from multiple, distinct cave localities have adapted to life in hypoxic waters. Here, we focused on captivereared Astyanax morphs since elevated hemoglobin levels persist in cavefish despite rearing in the normoxic conditions of a laboratory. A GO enrichment analysis revealed several instances of convergent gene regulation between some, but not all, cavefish populations. This finding suggests that different gene expression patterns have evolved in response to hypoxia across geologicallydistinct cave localities. Additionally, we identified differential regulation of numerous genes of the canonical hypoxic response pathway. Interestingly, some genes activating this pathway were expressed lower in captive-reared cavefish. These patterns of gene expression may have evolved in cavefish as a consequence of negative pleiotropic consequences associated with prolonged hif gene expression. At present, it is unknown whether this finding is a function of captivity, or whether these expression patterns are also present in wild populations. Collectively, this work provides new insights to the transcriptomic regulation of hypoxia tolerance using a cavefish model evolving in distinct oxygenated environments.

KEYWORDS

hypoxia, subterranean, GO terms, enrichment analysis, normoxia

Introduction

A number of transcriptomic studies in teleosts reveal that adaptation to low oxygen is accompanied by diverse changes in gene regulation. These gene expression alterations impact diverse processes such as metabolic suppression, intracardiac cooperation, increase in gill surface area, vasculature growth, and red blood cell overproduction. Hemoglobin family members are common targets of hypoxic



stress, including the preferential expression of *hemoglobin* (*hb*) isoforms with unusually high oxygen affinity and sensitivity to allosteric regulators [reviewed in Nikinmaa and Rees (2005), Xiao (2015), Fago and Jensen (2015)]. Many of these traits are controlled by changes in expression of the hypoxia inducible factor (*Hif*) (Mandic et al., 2021).

Hypoxia is present in a variety of environments including frozen ponds, reef platforms at low tide, high altitude, deep-sea, aquatic environments with algal blooms, and caves (Storz, 2018). Here, we examined adaptation to hypoxia in cavefish with ancestors that evolved in a limestone cave complex in the Sierra de El Abra region of northeastern Mexico (Figure 1). Over 30 caves populated by cavefish populations are found in this region (Miranda-Gamboa et al., 2023). EL Abra caves are characterized by limited or absent light, minimal nutrition, and lower dissolved oxygen compared to the terrestrial environment. Each cave, however, is unique with respect to formation process, elevation, size, inhabitant fauna, volume of terrestrial input, and other factors (Elliott, 2018). Despite these differences, cavefish derived from these habitats evolve a number of convergent phenotypic features.

These fish are members of the species Astyanax mexicanus, which comprises two morphotypes: an obligate cave-dwelling fish lacking eyes and pigmentation, and a terrestrial "surface" fish with stereotypical teleost features. It is estimated that ~20,000–200,000 years ago these cave environments were colonized by surface-dwelling lineages (Herman et al., 2018; Fumey et al., 2018; Moran et al., 2023; Garduño-Sánchez et al., 2023). Extant cave and surface morphs inhabit starkly contrasting environments, providing the opportunity to examine evolutionary changes in

closely-related morphotypes inhabiting environments marked by different levels of oxygen.

van der Weele and Jeffery (2022) discovered juvenile cavefish from the Pachón cave locality grow normally in hypoxic conditions, but surface fish do not. By 36 h post fertilization (hpf), cavefish produce more red blood cells than surface fish. This red blood cell expansion is accompanied by increased expression of certain hemoglobin genes, expanded hematopoietic domains, and elevated expression of several hif gene family members. Interestingly, prior work has shown that adult Astyanax cavefish, reared in captivity, show increased hemoglobin protein concentration in three different populations (Pachón, Tinaja, and Chica) compared to surface fish (Boggs et al., 2022). This concentration of adult hemoglobin is underpinned, in part, by larger red blood cells. However, elevated hemoglobin protein levels are mediated by the expression of different hemoglobin gene family members (Boggs and Gross, 2025).

Here, we examined how hypoxic adaptation impacts gene regulation by measuring broad scale transcriptomic regulation. We focused our attention to captive-reared *Astyanax* morphs since hemoglobin elevation persists in cave morphs, despite being reared in normoxic conditions. The results of a gene enrichment analysis of the blood transcriptomes of different morphs revealed shared enrichment patterns between Pachón and Chica cavefish, and Pachón and Tinaja cavefish (however Chica and Tinaja cavefish showed no overlap). Notably, many instances of overlap likely reflect convergent increases in expression of hemoglobin genes. However, we also identified numerous genes associated with canonical hypoxia response pathways. Many genes normally activating these pathways were expressed lower in cavefish compared to surface fish, and certain genes typically suppressing these pathways were

expressed higher in cavefish. These surprising patterns may reflect the negative consequences that can arise as a function of prolonged *hif* expression. At present, it is unclear if these findings are a function of the captive conditions in which cavefish are reared in the lab, and whether these observations translate to natural populations as well. In any respect, this work provides news insight to the transcriptomic architecture of hypoxia tolerance, through use of a unique model that permits intraspecific comparison of morphs evolving in different oxygenated environments.

Results and discussion

Convergent and divergent regulation suggests cavefish suppress canonical hypoxia response pathways in normoxic captivity

We examined transcriptional gene regulation of blood by performing statistical overrepresentation analyses of Gene Ontology (GO) terms using PANTHERdb (Mi et al., 2019; Thomas et al., 2022). Accordingly, we scored annotated genes demonstrating significant two-fold (or higher) differences in gene expression in each cave population relative to surface fish. This resulted in six analyses, i.e., three pair-wise comparisons performed for both over- and underexpression. GO terms enrichments (FDR adjusted p-value <0.05) from each analysis were compared to identify convergent/divergent expression patterns between cave populations. A prior study using the same dataset provided expression validation through analysis of five genes subjected to quantitative real-time PCR (qPCR) and calculated delta Cq using ssr3 as the reference gene [see Boggs and Gross (2025)]. This study revealed an average correlation coefficient of 0.89, indicating a strong relationship (Cohen et al., 2009) and validation of our RNA-seq dataset.

Interestingly, we discovered substantial overlap between Tinaja and Pachón, and Pachón and Chica (Figure 1). These overlapping sets included every identified GO term for Pachón, however no overlap was observed for Chica and Tinaja cavefish. Many GO terms shared between Tinaja and Pachón cavefish were significant due to *hemoglobin* genes [see Boggs and Gross (2025)] including: oxygen transport, heme binding, oxygen binding, and hemoglobin complex (Figure 1). Overlapping terms between Pachón and Chica mostly reflected terms associated with immune system function, including: defense response to bacterium, innate immune response, phagocytosis recognition, and antigen binding.

These results were not entirely surprising given that prior GO enrichment studies in *Astyanax* identified convergent mechanisms of cave adaptation, including broad development processes (Riddle et al., 2020), metabolism (Krishnan et al., 2020), and immunity (Krishnan et al., 2022). Additionally, the number and diversity of genes within a test list affects the outcome of overrepresentation studies (Wijesooriya et al., 2022). Given that whole blood is a highly complex tissue (capable of predicting an estimated 60% of gene expression for dozens of tissues) (Basu et al., 2021), this likely impacted the statistical outcomes of the analysis.

We further aimed to investigate genes of potential interest that may not have been detected in these GO analyses. Accordingly, we created four lists representing genes that are biologically-relevant to hypoxia including: genes expressed higher or lower in all examined cave populations compared to surface fish (Table 1) and genes expressed higher and lower in Tinaja and Pachón compared to surface fish (Table 2), while excluding Chica, given their similarity in hemoglobin expression. A literature search for each of these genes was conducted to provide any potential relevance to adaptation to hypoxic caves.

Consistent with prior findings (Boggs and Gross, 2025), many hemoglobin genes were expressed higher in cavefish compared to surface fish (Tables 1 and 2) with the vast majority expressed higher only in Tinaja and Pachón (relative to Chica and Surface). We also identified numerous genes associated with canonical hypoxia response pathways. Interestingly, many genes normally activating these pathways were expressed lower in cavefish compared to surface fish, and genes typically suppressing these pathways were expressed higher in cavefish (Tables 1, 2). Notably, two hypoxia inducible factor (hif) genes (hif1al2 and hif1ab) and multiple genes contributing to HIF signaling, including cathepsin Ba (ctsba), lysosomal associated membrane protein 2 (lamp2), splicing factor 3b subunit 1 (sf3b1), eukaryotic translation initiation factor 5 (eif5), and p450 (cytochrome) oxidoreductase a (pora) were expressed lower in cavefish compared to surface fish. Additionally, two genes known to suppress HIF signaling (tp53inp1 and tcf20) were expressed higher in cavefish compared to surface fish.

In normoxic conditions, hif is continuously transcribed, but is controlled post-translationally by prolyl hydroxylase (PHD) and von Hippel-Lindau (VHL) proteins. During hypoxia, PHD activity is inhibited and Hif is not degraded. Thus, hif transcript abundance is not necessarily representative of Hif activity in mammals (Semenza and Wang, 1992; Maxwell et al., 1999; Ivan et al., 2001; Jaakkola et al., 2001; Bruick and McKnight, 2001; Epstein et al., 2001). In the Chinese sucker (Myxocyprinus asiaticus), a study revealed increased hif transcription is required to prevent degradation of Hif during hypoxia (Chen et al., 2012). Having said this, a recent study uncovered diverse reports of hifa mRNA abundance in fish exposed to hypoxia, as a likely function of varying methodologies (Murphy and Rees, 2024). Nevertheless, elasmobranch fish conditioned to hypoxia express hif higher than individuals that have not experienced hypoxia (Rytkönen et al., 2012). Additionally, certain hif family members are expressed higher in Pachón cavefish embryos (after normoxic rearing or exposure to hypoxia) than in surface fish (van der Weele and Jeffery, 2022). Thus, we were initially surprised to find that adult cavefish express two hif family members much lower than surface fish and express other known hypoxia response genes in similar, counterintuitive, patterns. In light of varying reports of hifa transcription in fish (Murphy and Reese, 2024), it will be essential to better characterize protein levels of hif1a in forthcoming studies through the use of Western blot analyses.

One explanation for these observed patterns may be the negative consequences associated with prolonged expression of *hif. Hif* is linked to many human pathologies including tumorigenesis, cardiovascular, metabolic, and reproductive diseases [reviewed in Chen et al. (2020)]. In mice, pharmacological knock-down of Hif protein relieved symptoms of rheumatoid arthritis (Hu et al., 2020). Hif pathways can also impair major histocompatibility complex function in culture, leading to an inability to recognize and eliminate cancerous and other harmful cells (Sethumadhavan et al., 2017). Additionally, Hif proteins influence ion fluctuations and

TABLE 1 Genes of interest shared in Chica, Tinaja, and Pachón and divergent from Surface fish.

Higher in cavefish			Lower in cavefish		
Ensembl ID	Gene name	Relevance	Ensembl ID	Gene name	Relevance
ENSAMXG00000025285	tcimb	Enhances NF-kB activity. Regulates hematopoietic stem cells. Knockouts had smaller but more numerous erythrocytes. (Jung et al., 2014)	ENSAMXG00000035038	iscu	Suppression in normoxia caused a shift to glycolysis and enhanced cell survival. (Favaro et al., 2010)
ENSAMXG00000030775	tnnt2b	Upregulated during hypoxia and putatively prevents excessive angiogenesis. (Watson et al., 2013)	ENSAMXG00000043108	mt2_2	Contributes to nitric oxide signaling and is overexpressed during hypoxia. (Yamasaki et al., 2007)
ENSAMXG00000020270	lonrf3	Contains a RING finger domain. Identified in QTL and GWAS studies as a candidate for hypoxia tolerance. (San et al., 2021; Prchal et al., 2023)	ENSAMXG00000011699	ctsba	HIF-1a binds to ctsba promotor and drives expression. (Xiaofei et al., 2018)
ENSAMXG00000035358	tp53inp1	HIF-1a activity is reduced by p53. (Zhou et al., 2015)	ENSAMXG00000021444	lgmn	Induced during hypoxia. Depletion led to reduced cell proliferation and increased apoptosis. (Clees et al., 2022)
ENSAMXG00000036037	tcf20	Expression closely linked to HIF-3a, an inhibitor of HIF-1a and HIF-2a. (Yang et al., 2015; Diao et al., 2022)	ENSAMXG00000035776	b3gnt2a	Downregulated in hypoxic carotid arteries. Known to influence cell proliferation. (Goyal and Longo, 2014)
ENSAMXG00000042715	ddit4	Inhibits mTOR pathways. (Fingar et al., 2002; Foltyn et al., 2019)	ENSAMXG00000043965	aqp7	Reduced expression increases apoptosis and myocardial infarct size. (Ishihama et al., 2021)
ENSAMXG00000019906	cemip	Expression is increased during hypoxia leading to enhanced cell migration. (Evensen et al., 2015)	ENSAMXG00000020315	rragca	Contributes to the activation of mTOR. (Chun and Kim, 2021)
ENSAMXG00000008364	hbae	Embryonic <i>hemoglobin</i> - oxygen transporter. (Storz, 2016)	ENSAMXG00000018717	mef2d	Transcription factor involved in hypoxic signaling in the cardiovascular system and nitric oxide signaling in neurons. (Estrella et al., 2015)
ENSAMXG00000041047	rnh1	Blocks ANG (angiogenin) signaling. ANG is normally upregulated during hypoxia. (Kishimoto et al., 2012; Sheng and Xu, 2016)	ENSAMXG00000034098	akr1b1.1	Inhibition resulted in decreased cell migration specific to hypoxia. (Tammali et al., 2011; Khayami et al., 2020)
ENSAMXG00000006257	bbox1	Knockdowns induced a deficiency of an mTOR pathway. (Arsham et al., 2003; Brugarolas et al., 2004)	ENSAMXG00000013404	mlf1*	Influences HSC differentiation. Overexpression interrupts development and differentiation of erythrocytes. (Winteringham et al., 2004; Li et al., 2023)

TABLE 2 Genes of interest shared in Tinaja and Pachón and divergent from Surface fish.

Higher in cavefish			Lower in cavefish		
Ensembl ID	Gene name	Relevance	Ensembl ID	Gene name	Relevance
ENSAMXG00000029151	hbaa		ENSAMXG00000043907	hbe1_4	Embryonic hemoglobir - oxygen transporter
ENSAMXG00000029181	hbaa2_2		ENSAMXG00000039076	rhag	CO2 channel on erythrocytes. CO2 bind HbA, decreasing affinit for O2, aiding delivery of O2 to tissues
ENSAMXG00000037475	hbaa2_1	Adult hemoglobin - oxygen transporters	ENSAMXG00000033903	lamp2	Chaperone that mediate HIF-1a. Decreased expression lowers HIF-1a abundance
ENSAMXG00000034763	hbba2		ENSAMXG00000037819	pcbp2	Depletion leads to accumulation of HIF1 transcription factors owing to impaired degradation mechanism
ENSAMXG00000029578	hbe1_2	Embryonic hemoglobin - oxygen transporter	ENSAMXG00000007272	hif1al2	Encodes Hypoxia Inducible Factor subun alpha, the most well characterized hypoxia response protein
ENSAMXG00000032394	rgcc	Induced by hypoxia. Contributes to differentiation of VEGF and FGF pathways resulting in anti-angiogenesis	ENSAMXG00000002219	sf3b1	Facilitates binding of HIF to hypoxia respons elements to activate target gene expression
ENSAMXG00000010569	a2m	LncRNA regulates <i>IL1R2</i> to lessen hypoxic injury in cardiomyocytes	ENSAMXG0000001895	eif5	Transcription factor essential for the activation of HIF-1a ir hypoxia
ENSAMXG00000002726	atf5b	Transcription factor upregulated during hypoxia that serves as a regulator of neuroprogenitor cell proliferation	ENSAMXG00000039259	pora	Putatively regulates EP0 through HIF activation as well as VEGF during hypoxia
ENSAMXG00000030111	mlphb	Known to be involved in the HIF pathway and revealed as a candidate gene for high altitude adaptation in gelada monkeys	ENSAMXG00000019342	hif1ab	Encodes Hypoxia Inducible Factor subun alpha, the most well characterized hypoxia response protein
ENSAMXG00000042466	selenow1	Deficiency of selenium can induce HIF and NF-kB pathways. Selenoproteins mediate the biological effects of selenium	ENSAMXG00000010550	mlf2*	Putatively functions similarly to mlf1, influencing HSC differentiation

homeostasis in fish, a well-characterized mechanism to conserve energy during hypoxia [reviewed in Pelster and Egg (2018)]. Thus, future work in *Astyanax* may determine if downregulation of *hif* and other known hypoxia response pathways are advantageous in

cavefish to save energy, maintain proper immune function, and prevent disease and inflammation.

Prior work in Astyanax revealed that oxygen levels are a good deal lower in cave waters compared to surface waters

(Boggs and Gross, 2025). An important consideration for this study is the fact that all experimental animals were reared in normoxic conditions. Indeed, our putative Chica cavefish were acquired from a commercial vendor, and therefore it is not possible for us to determine the extent to which transcriptomic changes are a function of assimilation to captivity. Interestingly, a number of cave populations maintain significantly elevated levels of hemoglobin despite rearing in normoxia for generations (Boggs and Gross, 2025). Given that the transcriptome can change markedly when comparing captive-bred versus wild-caught individuals (Krishnan et al., 2020), an essential future direction for this research includes examination of the blood transcriptome from individuals drawn from the natural population.

Materials and methods

Animal husbandry and tissue collection

Astyanax cave and surface fish were reared in a satellite aquatic facility at the University of Cincinnati within a custom-designed reverse osmosis husbandry unit comprised of 5- and 10- gallon continuous flow tanks (Aquaneering, San Diego, CA). Animals were exposed to a 12:12 h light: dark cycle and fed a slurry of dry flake food (TetraMin Pro) and system water daily. Water in this system is processed through a series of filters including UV, 25-micron polypropylene felt, activated carbon, and dense particulate. Additionally, water conditions were adjusted using real-time dose monitoring of sodium bicarbonate and Instant Ocean sea salt to conductivity of 750 μ S/cm (\pm 50 μ S/cm) and pH of 7.4 (\pm 0.2). Water temperature was kept at 24°C (\pm 2°C). Importantly, dissolved oxygen was not manipulated for this study meaning all fish were exposed to ample oxygen.

The surface fish, Pachón cavefish and Tinaja cavefish used in this study were derived from breeding adults originally provided to our lab by Dr. Richard Borowsky (New York University). Specifically, the pedigrees used included Asty-152 and Asty-155 (surface fish), Asty-163 and Asty-138 (Pachón cavefish), and Asty-19 (Tinaja cavefish). Surface fish are descended from wild-caught individuals from the Río Sabinas and Río Valles drainages near Ciudad Valles in San Luis Potosí, Mexico. All Chica cavefish were acquired from the commercial pet trade. We extracted whole blood from (n = 4) surface, Pachón, Tinaja, and Chica populations (total n = 16) via the caudal vein using 31G syringes (BD Ultra-Fine™, BD Biosciences, San Jose, CA). In order to limit any potential effects outside the scope of this study, two male and two female fish were used from each population, fish were post-breeding age, and whole blood extractions were completed between 12:00 p.m - 1:00 p.m. All procedures were conducted in accordance with University of Cincinnati IACUC (Protocol# 22-01-06-01).

RNA isolation, sequencing, and read processing

Immediately following whole blood extraction, whole RNA was isolated using an RNeasy Universal Mini Kit (Qiagen, Germantown, MD) according to the manufacturer's directions. All

RNA samples were subjected to quantification using a Nanodrop Lite spectrophotometer (Thermo Fisher Scientific Inc., Waltham, MA). The purity of samples was estimated based on the A260/A280 ratio, and only RNA samples of ~2.0 were submitted for sequencing. Owing to the technical requirements of RNA-sequencing, samples had to be pooled by population. To mitigate potential effects of sequencing error, each pool was sub-aliquoted into three technical replicates with each replicate (n = 12) containing the same volume of RNA. Pools were submitted to the DNA Core at Cincinnati Childrens' Hospital and Medical Center. There, additional RNA QC was conducted, polyA stranded libraries were generated, QC was conducted on the libraries, and they were subject to sequencing using an Illumina HiSeq 2,500 sequencer. This resulted in twenty-million 125bp paired end reads per sample. Raw reads were assessed for quality and length using FastQC (Wingett and Andrews, 2018) (version 0.11.8) and adapters were trimmed using Trimmomatic (Bolger et al., 2014) (version 0.39).

RNA sequencing

Analysis of gene expression was conducted by running a reference based analysis in CLC Genomics (Qiagen, Germantown, MD, version 12.0.1) using manufacturer recommended parameters. The latest *Astyanax* genome (AstMex3_surface, GCA_023375975.1) was used as the reference sequence. We used the latest annotation file for this reference from NCBI RefSeq (GCF_023375975.1, NCBI annotation release 103).

In order to increase efficiency of downstream transcriptomewide analysis, we conducted a second RNA sequencing experiment in CLC Genomics using the "Astyanax-mexicanus-2.0" genome retrieved from Ensembl [GCA_000372685.2 (Warren et al., 2021)] as the reference and annotations from Ensembl release 106 were used to identify genes and determine expression. RNA-sequencing was validated using qPCR for five genes [see Boggs and Gross (2025)].

Gene ontology enrichment and candidate gene nomination

To investigate transcriptome-wide patterns of gene expression, we conducted a Gene Ontology (GO) Statistical Overrepresentation Test. Each cave population was assessed independently against surface fish. Thus, we created seven gene lists, one list representing genes expressed higher in a cave population versus surface fish, one list representing genes expressed lower in a cave population versus surface, and a list containing all genes detectable in this assay [noise threshold surpassed with TPM value of at least 2 (Wagner et al., 2013)]. Each list representing a comparison between a cave and surface population contained genes detectable for at least one of the two populations and with a fold change of at least 2x (any gene with a TPM value of 0 was substituted with the lowest TPM value in the entire dataset - 0.00192,433 in Tinaja fat1a-so that a fold change value could be calculated). We used PANTHERdb (Mi et al., 2019; Thomas et al., 2022) (version 17.0) to conduct a statistical overrepresentation test. Because Astyanax GO terms are not available in PANTHER, IDs in each list were converted to orthologous Danio rerio IDs by using BioMart (Smedley et al., 2009).

We successfully converted 6,882 of 8,550 (~80%) IDs from our and used these as our reference (Aleksander et al., 2023) for the statistical overrepresentation test. We used a Fisher's Exact text to calculate p-values which were corrected using false discovery rate to determine statistical significance. Each of three categories of GO terms were assessed: biological process, molecular function, and cellular component. Results from each cave-to-surface analysis were then compared to determine convergence/divergence between cave populations.

In addition, we investigated genes of potential interest that may have been missed in the GO analysis. Thus, we compiled four additional lists of genes: two lists representing genes of putative biological relevance that are either expressed higher or lower in Chica, Tinaja, and Pachón cavefish compared to surface fish as well as two lists expressed higher or lower in Tinaja and Pachón compared to surface. Expression data derived from Chica cavefish was omitted from these lists owing to the difference in expression of hemoglobin compared to Tinaja and Pachón. Genes were ranked according to putative biological relevance. Rank was determined by subtracting the fold change (cavefish expression value divided by surface fish expression value) of a gene from each cavefish expression value and summing the absolute values from each cave population. Genes that have not been characterized were removed and the remaining genes were filtered for relevance to hypoxia using literature searches.

Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: https://www.ncbi.nlm.nih.gov/, BioProject PRJNA1079358.

Ethics statement

The animal study was approved by University of Cincinnati IACUC. The study was conducted in accordance with the local legislation and institutional requirements.

Author contributions

TB: Investigation, Writing – review and editing, Methodology, Software, Supervision, Conceptualization, Writing – original

Project administration. LB: Formal Analysis, Data curation, Writing – original draft, Investigation. JG: Writing – review and editing, Funding acquisition, Supervision, Writing – original draft, Resources, Conceptualization, Visualization.

draft, Validation, Data curation, Visualization, Formal Analysis,

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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