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# Genome-wide identification and comparative analyses of key genes involved in C<sub>4</sub> photosynthesis in five main gramineous crops

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Compared to C<sub>3</sub> species, C<sub>4</sub> plants showed higher photosynthetic capacity as well as water and nitrogen use efficiency due to the presence of the C4 photosynthetic pathway. Previous studies have shown that all genes required for the  $C_4$  photosynthetic pathway exist in the genomes of  $C_3$  species and are expressed. In this study, the genes encoding six key C<sub>4</sub> photosynthetic pathway enzymes ( $\beta$ -CA, PEPC, ME, MDH, RbcS, and PPDK) in the genomes of five important gramineous crops ( $C_4$ : maize, foxtail millet, and sorghum;  $C_3$ : rice and wheat) were systematically identified and compared. Based on sequence characteristics and evolutionary relationships, their C<sub>4</sub> functional gene copies were distinguished from non-photosynthetic functional gene copies. Furthermore, multiple sequence alignment revealed important sites affecting the activities of PEPC and RbcS between the C<sub>3</sub> and C<sub>4</sub> species. Comparisons of expression characteristics confirmed that the expression patterns of nonphotosynthetic gene copies were relatively conserved among species, while C<sub>4</sub> gene copies in C<sub>4</sub> species acquired new tissue expression patterns during evolution. Additionally, multiple sequence features that may affect C4 gene expression and subcellular localization were found in the coding and promoter regions. Our work emphasized the diversity of the evolution of different genes in the C4 photosynthetic pathway and confirmed that the specific high expression in the leaf and appropriate intracellular distribution were the keys to the evolution of  $C_4$  photosynthesis. The results of this study will help determine the evolutionary mechanism of the C4 photosynthetic pathway in Gramineae and provide references for the transformation of C4 photosynthetic pathways in wheat, rice, and other major  $C_3$  cereal crops.

#### KEYWORDS

Gramineae crops,  $C_4$  photosynthesis,  $C_3$  photosynthesis, Phylogenetic analysis, Expression pattern

### 1 Introduction

Photosynthesis is one of the key biological innovations that allows organisms to convert light energy into chemical energy (ATP and NAD(P)H) for metabolic activities on a massive scale (Gest, 2002; Shih, 2015). During oxygenic photosynthesis, with the oxidation of water and the reduction of CO<sub>2</sub>, chemical energy generated from light energy is captured and used to synthesize organic compounds in higher plants in 'dark reactions' (Ashida et al., 2005; Paul, 2012; Blankenship, 2002). There are many different photosynthetic pathways in higher plants, the most widely known of which are the traditional C<sub>3</sub>, C<sub>4</sub>, and CAM (Crassulacean Acid Metabolism) types, and an in-depth study of the photosynthetic model species *Flaveria* identified the C<sub>3</sub>-C<sub>4</sub> intermediate type (Moore et al., 1989).

Compared with C3 plants, C4 plants such as maize show higher photosynthetic capacity and greater water- and nitrogenuse efficiency, especially in suboptimal environments, which result in greater biomass production (Long, 1999; Paulus et al., 2013; Wang et al., 2014). Unlike C<sub>3</sub> plants, which use the three-carbon molecule 3-phosphoglycerate (3-PGA) for carbon fixation, the carbon of C4 plants is first fixed in the four-carbon molecule oxaloacetate (OAA) in mesophyll cells (MCs) due to the presence of a CO<sub>2</sub> concentrating mechanism (CCM). Immediately thereafter, OAA is transferred from the outer MCs to the bundle sheath cells (BSCs) in the form of malate (NADPdependent malic enzyme subtype) or aspartate (NAD-dependent malic enzyme subtype). Then, CO<sub>2</sub> is released in the chloroplasts of BSCs through decarboxylation by the malic enzyme, producing a microenvironment with a high CO2 concentration around the Rubisco enzyme (ribulose-1,5-bisphosphate carboxylase/ oxygenase), thereby performing a Calvin cycle. The CCM enables Rubisco to function near its enzymatic V-max and greatly reduces the adverse effects of photorespiration, thus allowing for a greatly reduced investment of nitrogen in Rubisco proteins (Wang et al., 2014). These elegant biological innovations, including biochemical reactions (C4 carbon shuttle) and anatomical structure (Kranz anatomy), allow for more efficient water and nitrogen use by C4 plants in extreme climates (Hibberd and Covshoff, 2010).

 $C_4$  photosynthesis evolved from ancestral  $C_3$  photosynthesis during a global  $CO_2$  reduction and temperature increase (Sage et al., 2012). Most plants are  $C_3$  plants; however, it has been reported that the  $C_4$  pathway independently evolved in angiosperms, and the characteristics of this multisource evolution indicate that the transition of the photosynthetic pathway from the  $C_3$  pathway to the  $C_4$  pathway is relatively simple (Kellogg, 1999; Hibberd et al., 2008). In addition, some  $C_3$ plants exhibit  $C_4$  characteristics in specific environments, while  $C_4$ plants show  $C_3$  differentiation at specific growth stages, and some plants can convert between the  $C_3$  and  $C_4$  photosynthetic pathways, all of which indicate that the photosynthetic characteristics of  $C_3$  and  $C_4$  plants have great plasticity (Cheng et al., 1989; Ueno, 1998; Pyankov et al., 1999; Hibberd and Quick, 2002; Hibberd et al., 2008).

Gramineae is one of the most important model groups for world research because it contains a large number of important crop species. C4 grasses, including maize, sorghum, and foxtail millet, and C<sub>3</sub> crops, such as wheat and rice, are all widely cultivated in modern agriculture and are major food crops critical to global food security (Leakey, 2009). The current annual low yield growth rates of wheat and rice have fallen far short of the target of doubling production by 2050 (Ray et al., 2013; FAO, 2020). Meanwhile, the increasing global population, unpredictable severe weather, and continuous reduction in water and arable land resources generate an extremely urgent need to advance main crop productivity (Parry et al., 2011). C<sub>4</sub> photosynthetic transformation of C<sub>3</sub> crops is the most likely method through which crop yield will be increased on a large scale to ensure global food security (von Caemmerer et al., 2012; Long et al., 2015). Engineering  $C_3$  food crops such as wheat and rice to use the C4 photosynthetic pathway has long been explored. To date, the identification and comparisons of C<sub>4</sub> photosynthetic genes, their non-C<sub>4</sub> types in C<sub>4</sub> lineages and their close non-C<sub>4</sub> relatives in Gramineae have been reported in several studies. Transcriptome comparison of 10 independent C4 origins and their 9 non-C4 relatives showed that the most highly expressed gene lineages in non-C<sub>4</sub> ancestors may generate their C<sub>4</sub> pathway by repeated co-optation (Moreno-Villena et al., 2017). Another comparative study, based on Gramineae C4 lineages and their non-C4 relatives, also confirmed that the same gene lineages were recruited in independent C4 origins despite the existence of multiple copies (Christin et al., 2013). Furthermore, previous studies based on single C4 photosynthetic key genes in several gramineous species, such as the carbonic anhydrase and phosphoenolpyruvate transporter genes, also confirmed that key genes are recruited into the C<sub>4</sub> photosynthetic pathway by the acquisition of high expression levels and tissue expression characteristics (Ludwig, 2016; Lyu et al., 2020). All these results indicate that the recruitment and selection of C4 photosynthetic pathway genes are identical and affected by the expression abundance of gene lineages before C4 evolution. Therefore, accurate identification of C4 orthologues in C3 crops and comparative analysis with C4 genes in C4 crops are of great interest for understanding the evolution of the gramineous C<sub>4</sub> pathway and improving the photosynthetic efficiency of C<sub>3</sub> species.

In this study, the genes encoding the six key enzymes in the NADP-ME subtype of the  $C_4$  photosynthesis pathway in five important gramineous crops were identified and characterized. These genes were systematically classified into  $C_4$ -type and non-photosynthetic gene copies based on their amino acid sequence characteristics and evolutionary relationships. In addition, their tissue expression patterns and sequence features in promoter regions were also compared, and a schematic diagram of the necessary steps for the transformation of the  $C_4$  photosynthetic pathway in gramineous  $C_3$  crops was proposed. The results will provide a foundation for understanding the  $C_4$  photosynthetic gene characteristics in Gramineae  $C_3$  and  $C_4$  crops.

## 2 Materials and methods

# 2.1 Identification of the genes encoding six C<sub>4</sub> photosynthetic enzymes

Wheat genome and protein sequences (IWGSC v1.1) were obtained from the Wheat URGI database (Alaux et al., 2018; IWGSC, 2018), and the sequences of four other Gramineae crops (rice, foxtail millet, sorghum, and maize) were downloaded from the Ensemble Plants database (release 39, http://plants.ensembl.org). Then, six important enzymes (beta carbonic anhydrase, β-CA; ribulose bisphosphate carboxylase small subunit, RbcS; phosphoenolpyruvate carboxylase, PEPC; NADP-dependent malic enzyme, NADP-ME; malate dehydrogenase, MDH; and pyruvate, orthophosphate dikinase, PPDK) involved in the C<sub>4</sub> photosynthetic pathway were identified (Kersey et al., 2015). First, five local protein databases were constructed using these sequences for homologous alignment of cloned sequences downloaded from the National Center for Biotechnology Information (NCBI, https://www.ncbi.nlm.nih.gov/) through a local protein basic local alignment search (BLASTP) program with an E-value cut-off < 10<sup>-</sup> <sup>5</sup> and an identity of 60% as the threshold. The Hidden Markov Model (HMM) models of conserved domains of all six genes were obtained from the PFAM database (http://pfam.xfam.org/), and all genes predicted by BLASTP were further screened by their conserved domains using the HMMER search tool (Wheeler and Eddy, 2013). The NCBI-Conserved Domain Database (CDD) search was also used to check the conserved protein domains of these candidate genes (https:// www.ncbi.nlm.nih.gov/cdd), and sequences lacking either of these domains were excluded. The PFAM ID and CDD Accession ID of the conserved domains of these genes are listed in Table 1. In addition, based on the understanding of the special dual promoter structure of PPDK genes, the gene structure annotations from NCBI (Maize, NCBI B73\_v4 annotation release 102; Foxtail Millet, Setaria italica 2.0 annotation release 103) were used to correct the PPDK genes from maize and foxtail millet. After manual curation, the nonredundant sequences were considered putative genes. Finally, gene duplication events of these genes in each species were determined by MCScanX, and manual screening was performed according to Wang et al. (2016).

# 2.2 Analysis of gene structure, conserved motifs, and the physical and chemical properties of encoded proteins

The gene structures were determined and displayed using the online tool Gene Structure Display Server (GSDS) 2.0 (http://gsds.cbi.pku.edu.cn/) (Guo et al., 2007). The multiple EM for motif elicitation (MEME) program (v5.5.0) was used to determine the conserved protein motifs of these genes, and the parameters were as follows: the optimal motif width was between 6 and 200 residues, allowing the presence of any number of repeating motif sites, and the maximum motif number was 20 (Bailey et al., 2009).

The biochemical parameters and subcellular localization were calculated by the Computer pI/MW tool in the ExPASy database (https://web.expasy.org/compute\_pi/) (Gasteiger et al., 2005; Chou and Shen, 2008). The ChloroP server was used to predict the presence of chloroplast transit peptides (cTP) and the location of potential cTP cleavage sites (Emanuelsson et al., 1999). The protein sequences used to compare the differences between  $C_3$  and  $C_4$  plants were downloaded from the NCBI and UniProt databases (https:// www.uniprot.org/).

# 2.3 Phylogenetic analysis and identification of $C_4$ -orthologous genes in $C_3$ crops

To evaluate the evolutionary relationships among these genes, multiple sequence alignments were performed using the Clustal Omega program (https://www.ebi.ac.uk/Tools/msa/clustalo/) with the default parameters (Sievers et al., 2011). Phylogenetic analyses were conducted using both the neighbour-joining (NJ) method and maximum likelihood (ML) method. The ML trees were constructed using PhyML 3.1 (http://www.atgc-montpellier.fr/phyml/ versions.php) with the JTT model. The NJ trees were constructed using MEGA6.06 with 1000 bootstrap replications, the JTT model, and the pairwise deletion option (Saitou and Nei, 1987; Tamura et al., 2013). The trees of these six genes were constructed using iTOL v6 software (https://itol.embl.de). In addition, MCscanX was

Tarata		Nu		CDD_ID <sup>b</sup>	Dfam ID <sup>c</sup>		
Target <sup>a</sup>	Rice	Foxtail Millet	Sorghum	Maize	Wheat	כטט_וט	Pfam_ID <sup>c</sup>
β-CA	3	4	5	5	16	cl00391	PF00484
RbcS	4	5	1	2	24	cl01843	PF12338; PF00101
PEPC	5	5	5	4	15	cl21521	PF00311
NADP-ME	4	4	6	6	12	cl27704	PF03949; PF00390
MDH	10	11	10	13	26	cl27704	PF02866
PPDK	1	2	2	2	4	cl27021	PF02896; PF01326; PF00391
Total	27	31	29	32	97		

TABLE 1 The number of genes encoding the six key enzymes involved in NADP-ME type C<sub>4</sub> photosynthesis in five gramineous crops.

<sup>a</sup>β-CA, Beta carbonic anhydrase; RbcS, Ribulose bisphosphate carboxylase small subunit; PEPC, Phosphoenolpyruvate carboxylase; NADP-ME, NADP-dependent malic enzyme; MDH, Malate dehydrogenase; PPDK, Pyruvate, orthophosphate dikinase.

<sup>b</sup>The accession ID of conserved domains of these proteins in the NCBI – Conserved domain database (https://www.ncbi.nlm.nih.gov/cdd).

"The accession ID of conserved domains of these proteins in the PFAM database (http://pfam.xfam.org/).

used to compare the homology relationships between genes in different species (Wang et al., 2012).

# 2.4 Sequence analysis of the promoter region

The 2000 bp upstream sequence of the initiation codon was considered the promoter region for each gene and was extracted from the genome using the SAMtools program (v1.12) (Li, 2011). The cis-acting regulatory elements in the promoter region were predicted using PlantCARE (http://bioinformatics.psb.ugent.be/webtools/plantcare/html/) (Lescot et al., 2002). In addition, MEME was used to identify the conserved sequences in the promoter regions with an optimal motif width between 6 and 50 residues and a maximum motif number of 20.

### 2.5 Tissue-specific expression patterns

To investigate their expression profiles in different tissues, publicly available RNA-seq datasets of four tissues (root, shoot, spike, and leaf) in maize, sorghum, wheat, foxtail millet, and rice were downloaded from the following databases: the NCBI sequence read archive (SRA) (https://www.ncbi.nlm.nih.gov/sra), Gene Expression Omnibus (GEO) (https://www.ncbi.nlm.nih.gov/gds), Sorghum Functional Genomics Database (http:// structuralbiology.cau.edu.cn/sorghum), Setaria italica Functional Genomics Database (http://structuralbiology.cau.edu.cn/SIFGD), MaizeGDB (https://www.maizegdb.org), expVIP (http:// www.wheat-expression.com), and Rice Expression Database (http://expression.ic4r.org). In addition, the partial expression matrices of wheat, maize, and rice were directly obtained from public databases, and the raw RNA-seq data of sorghum (SRR959796, SRR959782, and SRR959765), foxtail millet (SRR442161-SRR442164) and rice (SRP028766 and SRP049212) were downloaded for reanalysis. The quality of raw reads was evaluated using FastQC v0.11.9, and the low-quality reads were filtered by Trimmomatic v0.36 (Andrews, 2010; Bolger et al., 2014). Clean reads were mapped onto their reference genomes using Hisat2 v2.2.1.0 (Pertea et al., 2016). The read numbers mapped to each gene were counted using HTSeq v0.11.1, and then the FPKM value of each gene was calculated based on the length of the gene and the read count mapped to the gene (Trapnell et al., 2010; Anders et al., 2015). To compare the tissue expression characteristics of homologous genes in different species, EL (expression level) values were used to draw a heatmap to display the tissue-specific patterns of expression. EL values were calculated using the following formula:

Expression Level (EL) = 
$$\frac{-\log 2(FPKM + 1)}{-\log 2(sum(FPKM + 1))} \times 100\%$$

where the EL value represents the expression in a certain tissue in proportion to that in all tissues and FPKM represents fragments per kilobase of exon model per million mapped fragments.

## **3** Results

# 3.1 Identification of the genes encoding six key $C_4$ photosynthetic enzymes

The six key  $C_4$  photosynthetic enzymes ( $\beta$ -CA, RbcS, PEPC, NADP-ME, MDH, and PPDK) are all encoded by small family genes. The availability of the genome sequences of the five gramineous crops made it possible to identify all family members of the six key enzymes, including C4 and non-photosynthetic gene copies. A total of 216 genes with complete conserved domains were identified in five crop genomes (Table 1, Tables S2-S7). The MDH family was the largest, and the PPDK family had the fewest members among the six gene families of the five Gramineae crop genomes. For the convenience of description, all genes were renamed based on their homologous relationships. In short, the orthologous genes with high homology among the five crops were first numbered, and then the paralogous genes in each species were numbered. For example, β-CA3 enzymes were encoded by seven genes, namely, SiCA3, ZmCA3, SbCA3, TaCA3-3A, TaCA3-3B, TaCA3-3D and OsCA3, while  $\beta$ -CA5 enzymes were encoded by only three genes in wheat, TaCA5-7A, TaCA5-7B and TaCA5-7D (Table 2). Compared with other crops, wheat possessed the most copies as a result of its allohexaploid genome and complex evolutionary process.

Although the number of these genes encoding key  $C_4$  photosynthetic enzymes in different species was not identical, except for *RbcS*, the number and distribution of the other five gene family members showed similar trends in the  $C_3$  and  $C_4$  crop genomes (Table 1). The copy number of the *RbcS* gene in different grass species showed a large difference, and there were 24 *RbcS* copies in wheat and only one copy in sorghum. In addition, 30 of the 36 *RbcS* genes were associated with tandem duplication events, accounting for 83.33% of all *RbcS* genes (Table S3). Similarly, tandem duplicated genes accounted for 73.53% (25/34) of all  $\beta$ -*CA* genes (Table 2, Table S2).

Earlier reports indicate that the subcellular-specific expression of the PPDK gene is regulated by a dual promoter located upstream of the first exon and on the first intron (Sage et al., 2012). The first exon encodes a chloroplast transit peptide; the copy regulated by the first promoter exhibits a chloroplast type, and the copy regulated by the second promoter exhibits a cytoplasmic type. However, our results showed that maize has two chloroplast copies, while foxtail millet has two mitochondrial copies. To further explain this discrepancy, the NCBI-annotated maize genome sequence (Maize, NCBI B73\_v4 annotation release 102, ftp://ftp.ncbi.nlm.nih.gov/ genomes/Zea\_mays) and foxtail millet genome sequence (Foxtail Millet, NCBI Setaria\_italica\_v2.0 annotation release 103, ftp:// ftp.ncbi.nlm.nih.gov/genomes/Setaria\_italica) were downloaded and analysed. Further alignment analysis revealed that Zm00001d010321 (ZmPPDK2) in the current genome (Zm-B73-REFERENCE-GRAMENE-4.0) had a false annotation, and this gene (LOC103635678; NM\_001358399.1) annotated by NCBI was shorter in length and lacked the first exon sequence, proving that ZmPPDK2 encoded a cytoplasmic PPDK isoform (Table S7).

Taraat <sup>a</sup>	Namo		Corabum	Maiza	Dico		Wheat	
Target <sup>a</sup>	Name	Foxtail Millet	Sorghum	Maize	Rice	A-subgenome	B-subgenome	D-subgenome
β-CA	β-CA1	KQL24850	KXG35798	Zm00001d020764, Zm00001d005920	Os09t0464000	TraesCS5A02G245700	TraesCS5B02G243100	TraesCS5D02G252400
	β-CA2	KQL06053	KXG32978	_	Os01t0640000	TraesCS3A02G230100	TraesCS3B02G259400	TraesCS3D02G223200
	β-CA3	KQL06049	KXG32970	Zm00001d044099	Os01t0639900	TraesCS3A02G230000	TraesCS3B02G259300	TraesCS3D02G223300
	β-CA4	KQL06051	KXG32975	Zm00001d044095	_	_	_	_
	β-CA5	_	_	_	_	TraesCS7A02G454300	TraesCS7B02G354800	TraesCS7D02G443400
	β-CA6	_	_	_	_	TraesCS7A02G454500	TraesCS7B02G354900	TraesCS7D02G443500
	$\beta$ -CA7	_	_	_	_	TraesCS7A02G454400	_	_
	β-CA8	_	KXG32973	_	_	-	_	_
	β-CA9	_	_	Zm00001d044096	_	-	_	_
	β-CA10	_	_	Zm00001d011454	_	_	_	_
PEPC	PEPC1	SETIT_028826mg	SORBI_3002G167000	Zm00001d020057	Os09t0315700	TraesCS5A02G181800	TraesCS5B02G179800	TraesCS5D02G186200
	PEPC2	SETIT_000184mg	SORBI_3003G301800	Zm00001d012702	Os01t0758300	TraesCS3A02G306700	TraesCS3B02G329800	TraesCS3D02G295200
	PEPC3	SETIT_016228mg	SORBI_3004G106900	Zm00001d053453	Os02t0244700	TraesCS6A02G195600	TraesCS6B02G223100	TraesCS6D02G183200
	PEPC4	SETIT_005789mg	SORBI_3010G160700	Zm00001d046170	_	TraesCS7A02G345400	TraesCS7B02G237900	TraesCS7D02G333900
	PEPC5	SETIT_000160mg	_	_	Os01t0208700	TraesCS3A02G134200	TraesCS3B02G168000	TraesCS3D02G150500
	PEPC6	_	SORBI_3007G106500	_	Os08t0366000	-	_	_
ME	ME1	SETIT_000808mg	SORBI_3003G292400	Zm00001d012764	Os01t0743500	TraesCS3A02G275600	TraesCS3B02G309300	TraesCS3D02G275500
	ME2	SETIT_000774mg	SORBI_3003G280900	Zm00001d043601	Os01t0723400	TraesCS3A02G285900	TraesCS3B02G320200	TraesCS3D02G285700
	ME3	SETIT_021600mg	SORBI_3009G069600	Zm00001d037693	Os05t0186300	TraesCS1A02G122500	TraesCS1B02G141700	TraesCS1D02G123400
	ME4	SETIT_000645mg	SORBI_3003G036200	Zm00001eb121470	Os01t0188400	TraesCS3A02G108900	TraesCS3B02G128000	TraesCS3D02G110700
	ME5	_	SORBI_3003G036000	Zm00001d037961	_	-	_	_
	ME6	_	_	Zm00001d037962	_	_	_	_
	ME7	_	_	Zm00001d010358	_	-	_	_
MDH	MDH1	SETIT_036550mg	SORBI_3001G219300	Zm00001d032695	Os10t0478200	TraesCS1A02G155200	TraesCS1B02G172400	TraesCS1D02G153900
	MDH2	SETIT_010442mg	SORBI_3006G170800	Zm00001d002741	Os04t0551200	-	_	_

(Continued)

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Taracta	Name	Foxtail Millet	Corobum	Maize	Rice		Wheat	
Target <sup>a</sup>	Name	FOXIAII MIIIEL	Sorghum	Maize	RICE	A-subgenome	B-subgenome	D-subgenome
	MDH3	SETIT_013632mg	SORBI_3007G166200	Zm00001d031899	Os08t0562100	TraesCSU02G135100	TraesCS7B02G197000	TraesCS7D02G283900
	MDH4	SETIT_013547mg	SORBI_3007G137600	Zm00001d032187	Os08t0434300	TraesCS1A02G348500	TraesCS1B02G363100	TraesCS1D02G351500
	MDH5	SETIT_030117mg	OQU90313	Zm00001d022229	Os07t0630800	_	_	_
	MDH6	SETIT_022438mg	SORBI_3008G186200	Zm00001d041243	Os12t0632700	TraesCS5A02G014300	TraesCS5B02G012400	TraesCS5D02G019700
	MDH7	SETIT_036365mg	SORBI_3001G073900	Zm00001d034241	Os03t0773800	TraesCS5A02G407700	TraesCS5B02G412500	TraesCS5D02G417600
	MDH8	SETIT_022574mg	SORBI_3009G240700	Zm00001d039089	Os05t0574400	TraesCS1A02G412900	TraesCS1B02G443200	TraesCS1D02G420500
	MDH9	SETIT_002110mg	SORBI_3003G238500	Zm00001d044042	Os01t0649100	TraesCS3A02G234800	TraesCS3B02G265000	TraesCS3D02G236200
	MDH10	_	_	Zm00001d014030	_	_	_	_
	MDH11	_	_	Zm00001d019330	_	_	_	_
	MDH12	_	_	_	_	TraesCS5A02G549900	TraesCSU02G127700	_
	MDH13	SETIT_029817mg	_	_	_	_	_	_
	MDH14	_	SORBI_3007G166300	_	_	_	_	_
	MDH15	_	_	_	_	TraesCS7A02G386600	TraesCS7B02G289400	TraesCS7D02G383100
	MDH16	_	_	_	Os01t0829800	_	_	_
	MDH17	SETIT_022252mg	_	_	_	_	_	_
	MDH18	_	_	Zm00001d050409	_	_	_	_
	MDH19	_	_	Zm00001d009640	_	_	_	_

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 $^a\beta\text{-CA4},$  PEPC4, ME4 and MDH3 were C4-type copies (Bold).

Similarly, *SiPPDK1.1* (*LOC101760933*; *XM\_004962073.4*) encoded a 945 aa chloroplast PPDK, which was significantly different from SETIT\_021174mg, encoding an 889 a.a. cytoplasmic PPDK (Table S7). In general, all genes encoding six key  $C_4$  photosynthetic enzymes were identified completely and accurately in these five Gramineae species.

# 3.2 Phylogenetic analysis and identification of $C_4$ -orthologous genes in $C_3$ crops

The full-length amino acid sequences of these identified genes were aligned, and then phylogenetic trees were constructed using both the maximum likelihood (ML) and neighbour-joining (NJ) methods. NJ trees and ML trees of different genes all showed very consistent topological structures (Figure 1, Figure S1). Except for RbcS and PPDK, the other genes could be divided into three to five distinct lineage homologous branches, including three for  $\beta$ -CA, five for PEPC, four for ME, and four for MDH, as detailed below.

#### 3.2.1 Beta carbonic anhydrase (β-CA)

The  $\beta$ -CA genes in the five crops were clustered into three major groups, of which  $\beta$ -CA1 (8),  $\beta$ -CA2 (6), and other members (20) clustered together into one category. The  $\beta$ -CA1 and  $\beta$ -CA2 branches were relatively conserved and evenly distributed in each species, while the third branch produced more copy number variations due to tandem duplication events (Figure 1A, Table S2). All  $\beta$ -CA3,  $\beta$ -CA4, and  $\beta$ -CA5 genes from the same species were tandem duplicated genes, such as *TaCA5-7A*, *TaCA6-7A*, and *TaCA7-7A*. This indicated that tandem duplication was an important mechanism for the expansion and functional diversification of the  $\beta$ -CA family, and the third branch was an important source of new functional generation. In addition, except for TaCA7, which only existed in the wheat A subgenome, other



#### FIGURE 1

Phylogenetic relationships between six gene families involved in the  $C_4$  photosynthetic pathway from common wheat (Ta), maize (Zm), foxtail millet (Si), rice (Os), sorghum (Sb), and *Arabidopsis* (At) based on their amino acid sequences. Multiple sequence alignment and phylogenetic tree construction were performed using MUSCLE and MEGA 6.0 (maximum likelihood method, JTT model), respectively. Scale bars indicate the number of amino acid substitutions per site. Genes presented in bold blue fonts represent  $C_4$ -type copies in  $C_4$  species. (A)  $\beta$ -CA; (B) RbcS; (C) PEPC; (D) NADP-ME; (E) MDH; (F) PPDK.

TaCAs had paralogous copies in the A, B, and D subgenomes, confirming that TaCAs were relatively conserved during wheat polyploidization (Figure 2A).

Phylogenetic analysis of the  $\beta$ -CA genes showed that TaCA3, OsCA3, SiCA3, SbCA3, and ZmCA3 were clustered together, and collinearity analysis based on MCscanX also showed that these genes were orthologous (Figure 1A, Table S2). In addition, the  $\beta$ -CA3 genes of the C<sub>4</sub> species were highly expressed in leaves; therefore, they were determined to be gene copies involved in C<sub>4</sub> photosynthesis (Figure 3A).

# 3.2.2 Ribulose bisphosphate carboxylase small subunit (RbcS)

The phylogenetic tree showed that *RbcS* genes of the same species were clustered into one class, which confirmed that the expansion of the RbcS family occurred after the division of the grass species (Figure 1B). Since the expansion of the RbcS family occurred after species differentiation, it is relatively difficult to identify its orthologous genes. Therefore, we believed that gene copies with specifically high expression in leaves were predominantly *RbcS* genes. Finally, based on their leaf-specific high expression, *TaRbcS8*, *OsRbcS1*, *SbRbcS1*, *ZmRbcS2*, and *SiRbcS5* were considered putative genes encoding the ribulose bisphosphate carboxylase small subunit (Figure 3B).

#### 3.2.3 Phosphoenolpyruvate carboxylase (PEPC)

Phylogenetic analysis showed that PEPC genes were divided into five classes, which contained 9, 7, 7, 6, and 5 members

(Figure 1C). The first three groups each contained at least one PEPC gene copy from each species (PEPC1, PEPC2, and PEPC3), which indicated that the function of PEPC in these three groups should be relatively conserved. The fifth class contained only PEPC gene copies from wheat, rice, and foxtail millet. PEPC genes in the fifth class should not perform the  $C_4$  function. In addition, the PEPC genes of each group in wheat contained three copies from the A, B, and D subgenomes.

Previous studies on  $C_3$  and  $C_4$  *Flaveria* species indicated that the serine (S, Ser) residue in the C-terminus is an important symbol of  $C_4$  PEPC isoforms (Bläsing et al., 2002; Gowik and Westhoff, 2011). The same serine residue was found in three PEPC4 copies from  $C_4$  crops (at position 780 of ZmPEPC4), which suggested that the PEPC4 isoforms were  $C_4$ -type, and this was confirmed by their high expression in leaves (Figure 3C).

### 3.2.4 NADP-dependent malic enzyme (NADP-ME)

ME genes of five crops were clustered into four groups, each containing 7, 7, 8, and 11 genes. Similar to PEPC genes, ME genes from  $C_4$  and  $C_3$  crops were evenly distributed in the first three groups (ME1, ME2, and ME3), while more genes from  $C_4$  species were included in the fourth group (ZmME4-6, SbME4-6, SiME4, OsME4, and TaME4), which suggested that there might be more functional differentiation in the fourth group (Figure 1D).

Previous studies have shown that the evolution of  $C_4$  *NADP-ME* originates from the acquisition of the chloroplast transit peptide of the cytosolic ancestor and subsequent new functionalization, and  $C_4$  *NADP-ME* is specifically located in the chloroplast (Tausta et al.,



FIGURE 2

The gene structures and conserved protein domains of putative  $C_4/C_4$ -homologous genes in five gramineous crops. (A–E) represent  $\beta$ -CA, RbcS, PEPC, ME, MDH, and PPDK, respectively. The graph on the left is the distribution of conserved motifs on these proteins, and the right panel is the gene structure.



grid. All genes were clustered according to their phylogenetic relationship. The blue and yellow colours represent higher and lower relative abundance for each transcript in each sample, respectively, and the dark red colour represents no data support. Based on the special double promoter structure of PPDK, the expression levels of all transcripts are listed. (A)  $\beta$ -CA; (B) RbcS; (C) PEPC; (D) ME; (E) MDH; (F) PPDK.

2002). Only *ME* gene copies in the fourth group were found to be chloroplast type, and transcriptome data also confirmed that *ME4* genes from  $C_4$  species were highly expressed in leaves, indicating that *ME4s* function in the plant  $C_4$  photosynthetic pathway (Figure 3D, Table S5).

#### 3.2.5 Malate dehydrogenase (MDH)

Multiple forms of MDHs are present in higher plants with different subcellular localizations and coenzyme specificities. Based on the difference in coenzymes, they can be divided into two types, NAD-dependent MDHs (NAD-MDHs) and NADP-dependent MDHs (NADP-MDHs). NADP-MDHs are mainly present in chloroplasts and cytoplasm, while NAD-MDHs are distributed in mitochondria, the cytoplasm, peroxisomes, and plastids (Gietl, 1992). In  $C_4$  photosynthesis, the chloroplastic NADP-MDH present in MCs converts OAA into malic acid to perform the  $C_4$  function. Based on the prediction of subcellular localization and the coenzyme-specific binding site (at position 43 of OsMDH1, D and G for NAD-dependent MDH and NADP-dependent MDH, respectively), these MDHs were well classified (Table S6).

The phylogenetic tree showed that all different types of MDHs could be assigned to different branches (Figure 1E, Table S6). MDH1, MDH2, ZmMDH10, and ZmMDH11 were NAD-

dependent cytoplasmic isoforms, and MDH6 and MDH7 were NAD-dependent peroxisome isoforms. In terms of evolutionary relationships, cytoplasmic NAD-MDHs and chloroplast NADP-MDHs (MDH3, SiMDH13, SbMDH14, and TaMDH12) were located in one branch, which indicated that chloroplast NADP-MDHs are more closely related to cytoplasmic NAD-MDHs. The phylogenetic data combined with the finding of ultrahigh expression levels of *MDH3* genes in the leaves of three C<sub>4</sub> crops, indicated that *MDH3* genes are C<sub>4</sub> photosynthetic gene copies (Figure 3E). Although both *SiMDH13* and *SbMDH14* were located in the same branch as *MDH3* genes, neither of them exhibited leaf-specific high expression and were therefore excluded.

#### 3.2.6 Pyruvate, orthophosphate dikinase (PPDK)

The *PPDK* gene directs the transcription of different subcellulartargeted PPDK isoforms through a specific dual-promoter structure, so different subcellular-localized PPDK proteins (PPDK1.1 and PPDK1.2) encoded by *PPDK1* were also used to construct the phylogenetic tree (Figure 1F). Eleven genes encoding PPDK were identified in five species, of which two genes were identified in all  $C_4$ species, while only one gene was identified in rice, and four genes were identified in wheat. Using multiple sources of genome information to study *PPDK* genes in maize and foxtail millet, it was confirmed that PPDK1 contained a dual-promoter structure and was transcribed to a chloroplast PPDK isoform and a cytoplasmic *PPDK* isoform, while *PPDK2* encoded another cytoplasmic PPDK isoform. *PPDK1* was highly expressed in the leaves of all  $C_4$  crops, while rice and wheat lacked some *PPDK2* copies, so PPDK1 was identified as a  $C_4$  type gene (Figure 3F, Table S7).

# 3.3 Comparative analysis of sequence variations among $C_4$ and $C_4$ orthologue gene copies in $C_4$ and $C_3$ crops

Based on the prediction of protein conserved domains by MEME software, the annotation information of gene structure, the sequence, and their physicochemical characteristics, differences between  $C_4$  genes in  $C_4$  species and  $C_4$ -orthologous genes in  $C_3$  crops were compared in detail.

### 3.3.1 Carbonic anhydrase $\beta$ type ( $\beta$ -CA)

The gene structures of  $\beta$ -CA3 of C<sub>3</sub> and C<sub>4</sub> crops showed large differences, but they were relatively conserved among crops with the same photosynthetic type (Figure 2A). *TaCA3* and *OsCA3* genes both contained 8 exons, while *SiCA3*, *ZmCA3*, and *SbCA3* contained 7, 13, and 13 exons, respectively. In general, for all *CA3 genes*, the sequences from exon 1 to exon 7 were similar, although the lengths of these exons were different in C<sub>3</sub> and C<sub>4</sub> crops. For the conserved domains, a significant difference was that C<sub>4</sub>  $\beta$ -CA3s lacked motif 6, while C<sub>4</sub>orthologous  $\beta$ -CA3s contained motif 8 at their N-terminus in C<sub>3</sub> crops (Figure 2A). Prediction of chloroplast transit peptides (cTPs) revealed that all TaCA3s had cTPs of approximately 48 amino acid residues at the N-terminus (TaCA3-3A, 49; TaCA3-3B, 48; TaCA3-3D, 48), and OsCA3 had a cTP of 68 amino acid residues, whereas all  $\beta$ -CA3s of C<sub>4</sub> crops did not have cTPs (Figure S2). This was consistent with the position of motif 7-motif 6, confirming that C<sub>4</sub>  $\beta$ -CA3s could fix CO<sub>2</sub> in the cytoplasm by the deletion of motif 6 (Ludwig, 2016). In addition, the deletion of motif 6 improved the isoelectric point (pI) of C<sub>4</sub>  $\beta$ -CA3s, which ranged from 8.74 to 8.80, while the pI of C<sub>4</sub>-homologous  $\beta$ -CA3s ranged from 8.35 to 8.41 (Table 3).

# 3.3.2 Ribulose bisphosphate carboxylase small subunit (RbcS)

C4 and C4-homologous RbcS genes did not differ significantly in gene structure, and both contained two exons (Figure 2B). In contrast, two additional motifs were observed in C4-homologous RbcSs in C3 species, motif 5 and motif 4, located near the Nterminus and at the C-terminus, respectively. Comparison of the mutation sites between C4-homologous and C4 RbcS proteins found variations at multiple sites, such as (T/S)24G and N56I on OsRbcS1 (Figure S3). To identify the specificity of these sites, more RbcS protein sequences of Gramineae species were downloaded and compared, including 172 sequences from 40 species of 18 genera. The first site (24 on OsRbcS1) was found to be highly conserved with a G (glycine) or D (aspartate) among C<sub>3</sub> species, while it showed differences among C4 species, T (threonine) for Sorghum bicolor and maize, S (serine) for Saccharum hybrid, G (glycine) and R (arginine) for foxtail millet, and G (glycine) for Echinochloa crusgalli and Panicum hallii (Table 4). Considering that the two species only have one protein sequence and considering the close relationship between grass and rice, Panicum hallii, and Echinochloa crusgalli, it is believed that there is a non-G site RbcS isoform in these two species. The second site (56 on OsRbcS1) could strictly distinguish the C4 and C3 species in all Gramineae RbcS sequences obtained, with N (asparagine) for C<sub>4</sub> species and I (isoleucine) for C<sub>3</sub> species. In addition, the prediction of protein structure suggested that the 8 amino acid residues at positions 51-59 of OsRbcS1 constituted a protein binding site, and the flanking sequences were highly conserved, so the variation at position 56 may result in important differences in function.

#### 3.3.3 Phosphoenolpyruvate carboxylase (PEPC)

There were no significant differences in gene structure or conserved domains observed between C4 and C4-orthologous PEPC genes/proteins, with both containing 10 exons in genes and 32 conserved domains in proteins (Figure 2C). The glycine residue (G) at position 842 of ZmPEPC4 was found to be specific in the C<sub>4</sub> species of Gramineae (Rangan et al., 2016). Multiple alignments of the PEPC sequences of 65 species downloaded from the NCBI, UniProt, and Esembl Plants databases (Table 5) revealed that this G residue was relatively conserved in the PEPCs of C<sub>3</sub> species, except soybean. Distinctly, at this site, A was conserved in dicotyledons, the Flaveria lineage with the C3-C4 intermediate photosynthetic type, and the unicellular C4 lineage Hydrilla, whereas G was conserved in monocotyledonous plants, especially all C4 grass species. A previous study showed that mutations in G could enhance tolerance to malic acid (Rangan et al., 2016); therefore, the mutation of A842G may be critical for the function of the C4 photosynthetic PEPC.

TABLE 3 Characteristics of the putative  $C_4/C_4$ -homologous genes involved in  $C_4$  photosynthesis in five gramineous crops.

Genes	Species	Gene ID	Gene ID	Protein ID	Location	AA Length	Molecular Weight	Subcellular Location <sup>a</sup>	pl	High Expression Tissue
β-CA	Sorghum	SbCA3	SORBI_3003G234200	KXG32970	Chr3:57297987-57310502	448aa	48986.93	cytoplasm	8.74	leaf shoot
	Maize	ZmCA3	Zm00001d044099	Zm00001d044099_P002	Chr3:219075378-219080211	452aa	49363.10	cytoplasm	8.74	leaf
	Millet	SiCA3	SETIT_003882mg	KQL06049	Chr5:30333130-30336956	336aa	26378.56	cytoplasm	8.80	leaf shoot spike
	Rice	OsCA3	Os01g0639900	Os01t0639900-01	Chr1:25696671-25705077	272aa	29117.42	chloroplast	8.41	leaf spike shoot
	Wheat	TaCA3-3A	TraesCS3A02G230000	TraesCS3A02G230000.2	Chr3A:430330494- 430337814	259aa	28076.34	chloroplast	8.35	leaf shoot spike
	Wheat	TaCA3-3B	TraesCS3B02G259300	TraesCS3B02G259300.1	Chr3B:417258446- 417265886	258aa	27963.18	chloroplast	8.35	leaf shoot spike
	Wheat	TaCA3-3D	TraesCS3D02G223300	TraesCS3D02G223300.1	Chr3D:304327703- 304335024	258aa	27977.21	chloroplast	8.35	leaf shoot spike
RbcS	Sorghum	SbRbcS1	SORBI_3005G042000	EES09292	Chr5:3876057-3877378	169aa	19058.87	Chloroplast	8.77	leaf
	Maize	ZmRbcS2	Zm00001d052595	Zm00001d052595_T001	Chr4:194257728-194258862	170aa	19150.99	Chloroplast	9.10	leaf
	Millet	SiRbcS5	SETIT_023465mg	KQL15806	Chr3:24102022-24103068	169aa	19082.08	Chloroplast	8.64	leaf
	Rice	OsRbcS1	Os12g0274700	Os12t0274700-01	Chr12:10080505-10081588	175aa	19646.68	Chloroplast	9.04	leaf
	Wheat	TaRbcS8-5A	TraesCS5A02G165700	TraesCS5A02G165700.1	Chr5AL:354314588- 354315579	175aa	19490.51	Chloroplast	8.80	leaf
	Wheat	TaRbcS8-5B	TraesCS5B02G162800	TraesCS5B02G162800.1	Chr5BL:300097036- 300098231	175aa	19490.51	Chloroplast	8.80	leaf
	Wheat	TaRbcS8-5D	TraesCS5D02G169900	TraesCS5D02G169900.2	Chr5DL:266325112- 266326079	175aa	19490.51	Chloroplast	8.80	leaf
PEPC	Sorghum	SbPEPC4	SORBI_3010G160700	EER89889	Chr10:47244445-47253575	1028aa	115731.7	Chloroplast	6.12	leaf
	Maize	ZmPEPC4	Zm00001d046170	Zm00001d046170_P001	Chr9:68851094-68856482	970aa	109341	Chloroplast	5.73	leaf
	Millet	SiPEPC4	SETIT_005789mg	KQL10919	Chr4:28034710-28043142	964aa	109982.7	Chloroplast	5.95	leaf
	Wheat	TaPEPC4-7A	TraesCS7A02G345400	TraesCS7A02G345400.1	Chr7AL:507757190- 507763146	919aa	104542.4	Chloroplast	5.90	leaf
	Wheat	TaPEPC4-7B	TraesCS7B02G237900	TraesCS7B02G237900.1	Chr7BL:443292417- 443299286	892aa	101122.3	Chloroplast	5.65	leaf
	Wheat	TaPEPC4-7D	TraesCS7D02G333900	TraesCS7D02G333900.1	Chr7DL:425502517- 425509832	968aa	109614.9	Chloroplast	5.66	leaf
ME	Sorghum	SbME4	SORBI_3003G036200	EES00150	Chr3:3317184-3322427	636aa	69377.63	chloroplast	5.49	leaf

Genes	Species	Gene ID	Gene ID	Protein ID	Location	AA Length	Molecular Weight	Subcellular Location <sup>a</sup>	pl	High Expression Tissue
	Maize	ZmME4	GRMZM2G085019	GRMZM2G085019_P01	Chr3:7276387-7281737	636aa	69818.85	Chloroplast	6.20	leaf
	Millet	SiME4	SETIT_000645mg	KQL04777	Chr5:11687298-11692722	639aa	70037.89	chloroplast	6.31	leaf
	Rice	OsME4	Os01g0188400	Os01t0188400-01	Chr1:4739271-4744472	639aa	69865.84	chloroplast	6.70	spike, root
	Wheat	TaME4-3A	TraesCS3A02G108900	TraesCS3A02G108900.1	Chr3AL:74781464-74787353	648aa	70942.07	chloroplast	6.64	spike, leaf
	Wheat	TaME4-3B	TraesCS3B02G128000	TraesCS3B02G128000.1	Chr3BS:106962508- 106968100	591aa	65203.5	chloroplast	5.86	spike, leaf
	Wheat	TaME4-3D	TraesCS3D02G110700	TraesCS3D02G110700.1	Chr3DL:64370009-64375502	642aa	70286.32	chloroplast	6.64	spike, leaf
MDH	Sorghum	SbMDH3	SORBI_3007G166200	KXG25371	Chr7:60144110-60147894	434aa	46880.56	chloroplast	5.51	leaf
	Maize	ZmMDH3	Zm00001d031899	Zm00001d031899_P002	Chr1:205992187-205996030	432aa	46786.63	chloroplast	6.49	leaf
	Millet	SiMDH3	SETIT_013632mg	KQL03004	Chr6:35757135-35760887	493aa	52791.61	chloroplast	6.24	leaf
	Rice	OsMDH3	Os08g0562100	Os08t0562100-01	Chr8:28141176-28144882	433aa	47008.9	chloroplast	6.96	leaf
	Wheat	TaMDH3-7B	TraesCS7B02G197000	TraesCS7B02G197000.2	Chr7BL:339613762- 339617788	431aa	46682.5	chloroplast	6.62	leaf
	Wheat	TaMDH3-7D	TraesCS7B02G197000	TraesCS7D02G283900.1	Chr7DS:296507630- 296511690	431aa	46814.67	chloroplast	6.96	leaf
	Wheat	TaMDH3-U	TraesCSU02G135100	TraesCSU02G135100.2	ChrUn:116430718- 116434600	431aa	46814.67	chloroplast	7.52	leaf
PPDK	Sorghum	SbPPDK1.1	SORBI_3009G132900	KXG21962	Chr9:48726358-48738528	948aa	102490.21	chloroplast	5.54	leaf
	Maize	ZmPPDK1.1	Zm00001d038163	Zm00001d038163_P002	Chr6:150024486-150035717	936aa	101251	chloroplast	5.76	leaf
	Millet	SiPPDK1.1	LOC101760933	XP_004962130.1	Chr3:21258962-21273297	945aa	102424.94	chloroplast	5.38	leaf
	Rice	OsPPDK1.1	Os05g0405000	Os05t0405000-01	Chr5:19718538-19737605	947aa	102787.88	chloroplast	5.98	leaf
	Wheat	TaPPDK1- 1A.1	TraesCS1A02G253400	TraesCS1A02G253400.1	Chr1AL:445257710- 445277310	939aa	101861.56	chloroplast	5.64	leaf
	Wheat	TaPPDK1- 1B.1	TraesCS1B02G264900	TraesCS1B02G264900.1	Chr1BL:465683651- 465701383	939aa	101950.77	chloroplast	5.68	leaf
	Wheat	TaPPDK1- 1D.1	TraesCS1D02G252900	TraesCS1D02G252900.1	Chr1DL:345264118- 345282757	939aa	101845.56	chloroplast	5.64	leaf

<sup>a</sup>: The subcellular localization of proteins was predicted using LocTree3 (https://rostlab.org/services/loctree3/).

#### TABLE 4 Amino acids (10<sup>th</sup> position, bold) for C<sub>4</sub>-specific RbcS across 40 species from 18 genera of Gramineae.

Genus	Species	Amino acid with Flanking region <sup>a</sup>	Amino acid with Flanking region <sup>b</sup>	P-type <sup>c</sup>
Echinochloa	Echinochloa crus-galli	PFQGLKSTA <b>G</b> L	CMQIWPVENN	C4
Saccharum	Saccharum hybrid	PFQGLKSTA <b>s</b> l	CMQVWPAYG <b>N</b>	C4
Sorghum	Sorghum bicolor	PFQGLKSTATL	CMQVWPAYG <b>N</b>	C4
Setaria	Setaria italica	PFQGLKSTA( <b>R/G</b> )L	CMQVWP(A/I)EG(N/G)	C4
Miscanthus	Miscanthus x giganteus	PFQGLKSTA <b>s</b> l	CMQVWPAYG <b>N</b>	C4
Panicum	Panicum hallii	PFQGLKSAAGL	CMQVWPTENN	C4
Zea	Zea mays	PFQGLKSTA <b>S</b> L	CMQVWPAYGN	C4
Oryza	Oryza barthii	PFQGLKSTAG(L/M)	CMQVWPIEGI	C3
	Oryza brachyantha	PFQGLKSTA <b>G</b> M	CMQVWPIEGI	C3
	Oryza glaberrima	PFQGLKSTAG(L/M)	CMQVWPIEGI	C3
	Oryza glumipatula	PFQGLKSTA <b>G</b> (L/M)	CMQVWPIEGI	C3
	Oryza meridionalis	PFQGLKSTAG(L/M)	CMQVWPIEGI	C3
	Oryza nivara	PFQGLKSTA <b>G</b> (L/M)	CMQVWPIEGI	C3
	Oryza punctata	PFQGLKSTA( <b>G/D</b> )(L/M)	CMQVWP(V/I)(D/E)G(K)I	C3
	Oryza rufipogon	PFQGLKSTA <b>G</b> (L/M)	CMQVWPIEGI	C3
	Oryza sativa	PFQGLKSTA <b>G</b> (L/M)	CMQVWP(V/I)(D/E)G(K)I	C3
Brachypodium	Brachypodium distachyon	PFQGLKSTA <b>G</b> L	(S/C)MQVWPIEGI	C3
Secale	Secale cereale	PFQGLKSTA <b>G</b> L	CMQVWPIEGI	C3
Leersia	Leersia perrieri	PFQGLKSTA <b>G</b> (L/M)	CMQVWPIEGI	C3
Bromus	Bromus catharticus	PFQGLKSTA <b>G</b> L	CMQVWPIEGI	C3
Phleum	Phleum pratense	PFQGLKSTAGL	CMQVWPIEGI	C3
Aegilops	Aegilops bicornis	PFQGLKST(A/G) <b>G</b> L	CMQVWPIEGI	C3
0 1	Aegilops longissima	PFQGLKSTAGL	CMQVWPIEGI	C3
	Aegilops searsii	PFQGLKSTAGL	CMQVWPIEGI	C3
	Aegilops sharonensis	PFQGLKSTAGL	CMQVWPIEGI	C3
	Aegilops speltoides	PFQGLKSTAGL	CMQVWPIEGI	C3
	Aegilops tauschii	PFQGLKSTAGL	CMQVWPIEGI	C3
Triticum	Triticum aestivum	PFQGLKSTAGL	CMQVWPIEGI	C3
	Triticum dicoccoides	PFQGLKSTAGL	CMQVWPIEGI	C3
	Triticum timopheevii	PFQGLKSTAGL	CMQVWPIEGI	C3
	Triticum turgidum subsp. durum	PFQGLKSTDGL	CMQVWPIEGI	C3
	Triticum urartu	PFQGLKST(A/T)G(L/M)	CMQVWPIEGI	C3
Elytrigia	Thinopyrum intermedium	PFQGLKSTAGL	CMQVWPIEGI	C3
Avena	Avena agadiriana	PFQGLKSTAGL	CMQVWPIEGI	C3
	Avena clauda	PFQGLKSTAGL	CMQVWPIEGI	C3
	Avena tautaa Avena maroccana	PFQGLKSTAGL	CMQVWPIEGI	C3
	Avena sativa	PFQGLKSTAGL	CMQVWPIEGI	C3 (Continue

(Continued)

#### TABLE 4 Continued

Genus	Species	Amino acid with Flanking region <sup>a</sup>	Amino acid with Flanking region <sup>b</sup>	P-type <sup>c</sup>
	Avena sterilis subsp. ludoviciana	PFQGLKSTA <b>G</b> L	CMQVWPIEGI	C3
	Avena strigosa	PFQGLKSTA <b>G</b> L	CMQVWPIEGI	C3

<sup>a</sup>This region is located in the 16<sup>th</sup>-25<sup>th</sup> residue of OsRbcS1. <sup>b</sup>This region is located in the 47<sup>th</sup>-56<sup>th</sup> residue of OsRbcS1.

<sup>c</sup>P-type represents the type of photosynthesis.

#### 3.3.4 NADP-dependent malic enzyme (NADP-ME)

No significant differences in gene structure or conserved domains were observed between C4 and C4-orthologous ME genes/proteins in C<sub>4</sub> and C<sub>3</sub> species, with both containing 20 exons (Figure 2D) encoding proteins with 591 to 648 aa (Table S5). Although differences in multiple amino acid residues were found between the C4 and C4-orthologous ME protein sequences in gramineous crops, such as C234V, A260S, R299K, and Q506E on OsME4, there was no evidence that these changes could impact enzyme kinetics. These variations could help to quickly distinguish C4 photosynthetic gene copies from non-photosynthetic gene copies in gramineous crops.

#### 3.3.5 Malate dehydrogenase (MDH)

In terms of gene structure, both C4-homologous MDHs and C4 MDHs contain 14 exons (Figure 2E). Except for the SiMDH protein

TABLE 5 Amino acid (9 <sup>t</sup>	<sup>1</sup> position, bold)	for C <sub>4</sub> -specific PEPC.
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Class	Family	Species	Amino acid with flanking region <sup>a</sup>	P-type <sup>b</sup>
Musci	Funariaceae	Physcomitrella patens	AKGDPRIA( <b>A/E/Q</b> )L	C3
dicots	Amborellaceae	Amborella trichopoda	AKGDPGIA <b>A</b> L	C3
	Apiaceae	Daucus carota	AKGDPGIA( <b>A</b> / <b>E</b> )L	C3
	Asteraceae	Helianthus annuus	AKGDPGIA <b>A</b> L	C3
	Brassicaceae	Arabidopsis thaliana	AKGDPGIA <b>A</b> L	C3
		Arabidopsis halleri	AKGDPGIA( <b>A/T</b> )L	C3
		Brassica napus	AKGDPGIA <b>A</b> L	C3
		Brassica rapa	AKGDPGIA <b>A</b> L	C3
	Bromeliaceae	Ananas comosus	AKGDPGIA <b>A</b> L	C3
		Neoregelia ampullacea	XXGDPGIAAL	C3
	Chenopodiaceae	Beta vulgaris	AKGDPGIA <b>A</b> L	C3
	Cucurbitaceae	Cucumis sativus	AKGDPGIA <b>A</b> L	C3
	Euphorbiaceae	Manihot esculenta	AKGDPGIA <b>A</b> L	C3
	Hydrocharitaceae	Flaveria trinervia	AKG(D/N)PGIAAL	C4
		Flaveria pringlei	AKGDPGIA <b>A</b> L	C3
		Hydrilla verticillata	AKGDP(G/V)IA <b>A</b> M	Facultative C4
	Leguminosae	Vigna angularis	AKGNPGIA( <b>A/V</b> )L	C3
		Medicago truncatula	AKGDPGIA <b>A</b> L	C3
		lupinus angustifolius	AKGDPGIA(T/A)L	C3
		Glycine max	AKGDPKIA( <b>A/G</b> )L	C3
		Trifolium pratense	AKGDPGIAAL	C3
		Vigna radiata	AKG(N/D)P(G/E)IAAL	C3
		Phaseolus vulgaris	AKGDP(K/G)I(G/A)AL	C3
	Malvaceae	Gossypium raimondii	AKGDPGIAAL	C3

(Continued)

#### TABLE 5 Continued

Class	Family	Species	Amino acid with flanking region <sup>a</sup>	P-type <sup>b</sup>
		Corchorus capsularis	AKGDPGIA <b>A</b> L	C3
	Musaceae	Musa acuminata	AKGDPGIA <b>A</b> I	C3
	Palmae	Elaeis guineensis	AKGNPGIAAL	C3
	Rosaceae	Prunus persica	AKGNPGIAAL	C3
	Salicaceae	Populus trichocarpa	AKGDPGIA <b>A</b> L	C3
	Sapindaceae	x Mokara cv. 'Yellow'	SKGNSGIAAL	C3
	Solanaceae	Nicotiana attenuata	AKG(N/D)P(G/S)IAAL	C3
		Solanum tuberosum	AKGDPGIA <b>A</b> L	C3
		Solanum lycopersicum	AKGDPGIAAL	C3
	Sterculiaceae	Theobroma cacao	AKGDPGIAAL	C3
monocots	Cyperaceae	Cyperus esculentus	AKGDPGIAAL	C3
	Orchidaceae	Dendrobium officinale	TKGSSGIAAL	C3
		Epidendrum stamfordianum	TKGSPGIAAL	C3
		Leptotes bicolor	(A/T)KG(D/S)PGIA( <b>T/A</b> )L	C3
		Microcoelia aphylla	(A/T)(K/R)G(N/D)PGIAAL	C3
		Microcoelia exilis	A(K/Q)GDPGIA <b>A</b> L	C3
		Phalaenopsis amabilis	AKGDPGIAAL	C3
		Phalaenopsis equestris	AKGDPGIAAL	C3
		Tillandsia usneoides	AKGDPGIAAL	C3
		Vanilla planifolia	AKGNPGIA <b>S</b> L	C3
	Poaceae	Aegilops tauschii	AKGDPGIAAL	C3
		Brachypodium distachyon	AKGDPGIAAL	C3
		Echinochloa crus-galli	AKGDPGIVG <sup>a</sup> F	C4
		Echinochloa glabrescens	AKGDPGIAAL	C4
		Zea luxurians	AKGDPGIAG <sup>a</sup> L	C4
		Hordeum vulgare	AKGNPGIAAL	C3
		Hordeum vulgare subsp. vulgare	AKGNPGIAAL	C3
		Hordeum vulgare subsp. spontaneum	AKGNPGIAAL	C3
		Oryza sativa subsp. indica	AKGDPGIAAL	C3
		Cenchrus americanus	AKADPIIAG <sup>a</sup> L	C4
		Saccharum officinarum	AKGDPGIAG <sup>a</sup> L	C4
		Saccharum spontaneum	AKGDPGIA(G <sup>a</sup> /A)L	C4
		Setaria italica	AKG(N/D)P(T/G)IA(S/E/G <sup>a</sup> )L	C4
		Sorghum bicolor	AKG(D/N)PGIA(A/G <sup>a</sup> )(V/L)	C4 C4
		Triticum aestivum	AKGDPGIAAL	C4 C3
		Triticum dicoccoides	AKGDPRIAAL	C3
		Triticum urcoccoiues	AKG(D/N)PGIAAL	C3
		Triticum urartu		C3
			AKGNPGIAAL	
		Zea may	AKG(N/D)PGIA(G <sup>a</sup> /A)(L/V)	C4

(Continued)

#### TABLE 5 Continued

Class	Family	Species	Amino acid with flanking region <sup>a</sup>	P-type <sup>b</sup>
		Zea mays subsp. mexicana	AKGDPGIAG <sup>a</sup> L	C4
		Zea mays subsp. parviglumis	AKGDPGIAG <sup>a</sup> L	C4

<sup>a</sup>This region is located in the 834th-843th residue of ZmPEPC4.

<sup>b</sup>P-type represents the type of photosynthesis.

with 493 aa, the lengths of the other MDHs range from 431 to 434 aa (Table S6). The differences in conserved domains between  $C_4$  and  $C_4$ -orthologous MDHs were mainly concentrated in the N-terminal non-conserved regions. Differences in multiple amino acid residues were also found between the  $C_4$  MDH and  $C_4$ -orthologous MDH protein sequences, such as D197E, A167V, and V267M.

#### 3.3.6 Pyruvate, orthophosphate dikinase (PPDK)

 $C_4$  and  $C_4$ -orthologous PPDKs had no significant differences in gene structure or conserved domains, with both containing 19 exons (Figure 2F) encoding proteins of 936 to 948 aa in length. Similar to  $C_4$  *PPDK*, *PPDK* genes of  $C_3$  crops had special double promoter structures. There were differences in multiple amino acid residues between the  $C_4$  and  $C_4$ -orthologous PPDK protein sequences, such as (T/S)92A, D95E, Q160A, and Q279E on OsPPDK1, with Q160A being present in highly conserved regions, but the effect of these differences on PPDK function needs to be confirmed.

# 3.4 Tissue-specific expression of these $C_4$ and $C_4$ -orthologous genes

To compare the expression characteristics of these genes in  $C_3$  and  $C_4$  species, RNA-seq data from four tissues (leaf, root, spike, and shoot) of the five species were used. As FPKM values from different species could not be directly compared, the tissue expression characteristics of genes were determined based on inter-tissue standardization, and the original FPKM values are listed in the heatmap (Figure 3).

#### 3.4.1 β-CA

The  $\beta$ -CA genes in different branches showed great differences in tissue-specific expression.  $\beta$ -CA1 genes were expressed in various tissues with high expression levels in leaves, roots, and spikes, while  $\beta$ -CA2 genes had low expression levels in the four tissues and did not show significant differences between C<sub>3</sub> and C<sub>4</sub> crops. Unlike the relatively low expression levels of  $\beta$ -CA1 and  $\beta$ -CA2,  $\beta$ -CA3 exhibited ultrahigh expression levels in the leaves of both C<sub>3</sub> and C<sub>4</sub> crops, which might accelerate the diffusion of inorganic carbon to the carboxylase (Rubisco, PEPC) site and increase the CO<sub>2</sub> fixation rate by increasing the concentration of inorganic carbon around the carboxylase (Figure 3A). The high expression of C<sub>4</sub>-orthologous  $\beta$ -CA3 genes in C<sub>3</sub> crop leaves suggested that they play an indispensable role in maintaining photosynthesis stability.

#### 3.4.2 *RbcS*

The *RbcS* genes of both  $C_3$  and  $C_4$  crops showed consistent tissue-specific expression patterns, and all were highly expressed in leaves (Figure 3B). Different *RbcS* gene copies of the same species showed different expression levels. For example, the expression levels of the two copies in the fifth homologous group (*TaRbcS7* and *TaRbcS8*) in wheat were much higher than those in the second homologous group (*TaRbcS4*, *TaRbcS5*, and *TaRbcS6*), and *SiRbcS5* in foxtail millet showed preferential expression. This indicated that the nonprimary gene copies may be functionally redundant or have a complementary function.

#### 3.4.3 PEPC

Each group of PEPC genes had a clear distinction in the specificity of tissue expression, while PEPC genes clustered in the same branch showed similar expression patterns in C<sub>3</sub> and C<sub>4</sub> crops (Figure 3C). For example, PEPC1s and PEPC3s were highly expressed in the spike and root, while PEPC2s were highly expressed only in the spike, and PEPC4s and PEPC5s were specifically expressed in the leaf. The current view is that nonphotosynthetic PEPC copies are widely present in C3 and C4 species and are expressed in a variety of tissues to perform multiple functions (Aubry et al., 2011; Williams et al., 2012). The nonphotosynthetic function of non-C<sub>4</sub> PEPC copies not only replenishes tricarboxylic acid (TCA) cycle carbon skeletons, which is the traditional view of non-photosynthetic PEPC function, but also plays a nonnegligible role in seed formation and germination, fruit ripening, maintenance of cell ion balance, regulation of stomatal opening and provision of respiratory substrates for symbiotic nitrogen-fixing bacteroids of root nodules (Latzko and Kelly, 1983; Lepiniec et al., 2003). Based on sequence characteristics and expression patterns, the functions of these nonphotosynthetic PEPCs were predicted. PEPC1 and PEPC3 may play a very important role in seed germination and the interaction of root microbes, and PEPC5 may contribute to maintaining the pH environment and stomatal opening of leaf cells (O'Leary et al., 2011). Although PEPC4 in C<sub>3</sub> crops also showed a certain degree of leaf-specific expression, its expression level was much lower than that of C<sub>4</sub> PEPC4 in C<sub>4</sub> species, which suggested that improving the expression level of PEPC4 in C3 crops might be the first step for PEPC transformation.

#### 3.4.4 NADP-ME

*ME* gene copies within each group exhibited similar tissue expression specificity. *ME1* gene copies were expressed at very low levels in all tissues of all species (Figure 3D). Most *ME* gene

copies in the second and third groups were specifically expressed in roots, while some gene copies from maize, sorghum, and rice (ZmME2, OsME2, and SbME3) were also highly expressed in leaves and spikes. Interestingly, *ME* gene copies in the fourth group exhibited different tissue expression characteristics; those from  $C_3$  species were specifically expressed in spikes (*OsME4* and *TaME4*), while those from  $C_4$  species were specifically expressed either in leaves or spikes, with *SiME4*, *ZmME4*, and *SbME4* being exclusively expressed in leaves and *ZmME5*, *ZmME6*, *SbME5*, and *SbME6* being highly expressed in spikes. *ME4* was suggested as the  $C_4$  photosynthetic orthologous group by evolutionary relationship analysis and subcellular localization (Table 2, Table S5). Therefore, the specific expression of *ME4* in leaves is the key to the  $C_4$ photosynthetic transformation of  $C_3$  ME.

#### 3.4.5 MDH

For NAD-dependent cytoplasmic MDHs, only MDH1 genes encoding proteins of approximately 330 aa in length with a molecular weight of approximately 35 kDa could be expressed normally, while the other MDH gene copies (MDH2, MDH10, and MDH11) were not expressed or weakly expressed in various tissues of each species (Figure 3E, Table S6). Studies based on Chinese cabbage and alfalfa have confirmed that cytosolic MDH can affect grain development and response to salt stress (Luo et al., 2004; Jia and Zhang, 2009). High expression of MDH1 in spikes and roots indicated that the cytoplasmic MDH of gramineous crops may have similar features. Seventeen NAD-dependent plastid MDH genes were highly expressed in three tissues: MDH5s and OsMDH4 in the leaf; OsMDH16, ZmMDH4, SbMDH4, and ZmMDH18 in the root; and TaMDH4 and TaMDH15 in the spike. Previous studies have shown that transgenic Arabidopsis, which lacks plastid NADdependent MDH, exhibits a variety of negative effects, such as reduced chlorophyll content, decreased photosynthetic rate, disordered chloroplast ultrastructure and undevelopable seeds (van Roermund et al., 2004). Plastid NAD-MDH gene copies with leaf-specific expression were essential for plant photosynthesis, while those with spike-specific expression affected grain development. In addition, the higher number of leaf-specific cytoplasmic MDH gene copies in C4 species may ensure the stability of dimorphic chloroplasts in MCs and BSCs. There were two highly conserved copies of peroxisome MDH in each species: MDH6 was specifically expressed in the root, while MDH7 was specifically expressed in the spike. The root growth of Arabidopsis lacking peroxisome MDH was severely hindered due to incomplete β-oxidation. Sequence alignment showed that At2g22780 had higher homology with MDH6, so MDH6 might play an important role in the  $\beta$ -oxidation process (Pracharoenwattana et al., 2007). In addition, quantitative proteomic analysis of peroxisomes in Arabidopsis thaliana confirmed that another peroxisome MDH (At5g09660) is essential for photorespiration, suggesting that MDH7 might perform similar functions in gramineous crops, which is consistent with the high expression level of MDH7 in C3 crops (Eubel et al., 2008). Mitochondrial MDH was mainly expressed in the spike and root. As a traditional TCA cycle enzyme in plants, its high expression in the spike and root may be related to the active energy metabolism of these two tissues. As a key gene of the  $C_4$  photosynthetic pathway, NADP-dependent chloroplast *MDH4* was highly expressed in the leaves of  $C_4$  species but not in  $C_3$  species, which indicated that increasing the expression level of *MDH4* in  $C_3$  species is essential for  $C_4$  photosynthetic transformation.

### 3.4.6 PPDK

Because of the existence of a dual-promoter structure, two *PPDK* gene copies in  $C_4$  crops encoded three PPDK isoforms, and there were two and seven PPDK isoforms in rice and wheat, respectively (Table S7). Both chloroplast mRNAs (*PPDK1.1*) in  $C_3$  and  $C_4$  crops were highly expressed in leaves, while the cytoplasmic mRNAs were highly expressed in spikes (Figure 3F). The chloroplast *PPDK* gene copies in  $C_3$  crops were expressed specifically in leaves, similar to the *PPDK* gene copies in  $C_4$  crops, but their expression levels were much lower. This indicated that the high expression of chloroplast *PPDK* was key to the conversion of  $C_3$  to  $C_4$ .

# 3.5 Comparison of the promoter sequences of C<sub>4</sub> and C<sub>4</sub>-orthologous gene copies

The completeness of the  $C_4$  photosynthetic pathway involves the improved expression levels and specific-tissue/cell expression of the key pathway genes, and the noncoding region of the gene harbours the information for its gene expression and cell-specific expression. Therefore, we compared the noncoding regions in the upstream promoter regions of  $C_4$  orthologues in  $C_3$  crops and  $C_4$ genes in  $C_4$  crops.

Differences in the -1000 to -2000 region upstream of the initiation codon were found in the promoter region between C<sub>4</sub>-orthologous  $\beta$ -CA in C<sub>3</sub> crops and C<sub>4</sub>  $\beta$ -CA in C<sub>4</sub> crops (Figure S4). The prediction of cis-regulatory elements found that C<sub>4</sub>-orthologous  $\beta$ -CA genes had more CAAT-box elements (C(C/A) AAT) and more light-responsive elements in the -1000 to -2000 region than C<sub>3</sub>  $\beta$ -CA genes, which suggests that the C<sub>4</sub>-orthologous  $\beta$ -CA could be easily recruited into the C<sub>4</sub> photosynthetic pathway.

There was a significant difference in the region approximately 300 bp upstream of the initiation codon between the  $C_4 RbcS$  genes in  $C_4$  crops and the  $C_4$ -orthologous RbcS genes in  $C_3$  crops. A conserved domain cluster consisting of 3 conserved motifs (motif 6 +motif 1+motif 7) was found to be specific to  $C_4 RbcS$  genes, and further prediction revealed that this region contained multiple specific elements, such as abscisic acid-responsive (ABRE) and MeJA responsive (CGTCA-motif) elements (Figure S5), as well as a CAT-box, which controls meristem-specific expression. This may be one of the key regulatory elements for the high expression of  $C_4 RbcS$  in BSCs.

More elements interacting with MYB transcription factors were observed in the promoter regions of  $C_4$  *PEPC* genes of  $C_4$  crops than in those of  $C_4$ -orthologous *PEPC* genes of  $C_3$  crops, which were enriched in three regions of the initiation codon, -100 to -600 bp,

-1000 to -1400 bp and -1600 to -2000 bp (Figure S6). The multiple MYB binding sites might be induced by drought and light, which indicated that MYB transcription factors may participate in the regulation of  $C_4$  *PEPC* expression levels under stress conditions.

Large differences were observed in the promoter regions of  $C_4/C_4$ -orthologous *ME* and *MDH* genes from different  $C_3$  and  $C_4$  crops, and no common motifs or cis-acting elements were found in the promoter regions of  $C_4$  *ME* and *MDH* genes. In general, multiple abiotic elements involved in the abiotic stress response, hormone response, and light response were found in the promoter regions of all *ME* and *MDH* genes, which suggested that they may be regulated in multiple ways.

There was a difference in the expression levels of the  $C_4$  *PPDK* and  $C_4$ -orthologous *PPDK* genes. However, no significant difference in the promoter region was found between the  $C_4$  and  $C_4$ -orthologous *PPDK* genes. This result suggested that the leaf-specific expression of *PPDK* might be regulated in other ways.

### 3.6 The expression synergy of $C_4$ genes and $C_4$ orthologues in leaves

The C<sub>4</sub> photosynthetic pathway is a complete and stable system, and its smooth operation requires synergy in the expression levels of multiple genes involved in this pathway. Therefore, we explored the difference in the expression synergy in leaves between C<sub>4</sub> genes in C<sub>4</sub> crops and C<sub>4</sub> orthologues in C<sub>3</sub> crops. As no C<sub>4</sub>-orthologous gene copy was found in rice, a closely orthologous gene (*OsPEPC3*) was used instead. All six C<sub>4</sub> genes were highly expressed cooperatively in the leaves of C<sub>4</sub> crops, while for the C<sub>4</sub>orthologous genes, only *RbcS* and  $\beta$ -*CA* in C<sub>3</sub> crops had similar expression levels in leaves to those of C<sub>4</sub> genes in the leaves of C<sub>4</sub> crops, and the remaining genes, *PEPC*, *MDH*, *ME*, and *PPDK*, were all expressed at much lower levels in leaves of C<sub>3</sub> crops (Figure 4). This indicated that although C<sub>4</sub>-orthologous genes existed in C<sub>3</sub> crops, their expression could not be well coordinated to form the full  $C_4$  photosynthetic pathway. Therefore, increasing and coordinating the expression levels of these  $C_4$ -orthologous genes are of great importance for the activation of the  $C_4$  pathway in  $C_3$  crops.

## 4 Discussion

# 4.1 Key enzymes in the $C_4$ photosynthetic pathway of $C_3$ and $C_4$ Gramineae crops

In this study, almost all homologous genes encoding the six key enzymes of the  $C_4$  photosynthetic pathway were identified in  $C_3$ crops, consistent with the finding that all genes required for the  $C_4$ pathway are present and expressed in  $C_3$  species (Aubry et al., 2011; Williams et al., 2012). Based on genome-wide analysis, more gene copies were found than previously reported, which is highly advantageous. Moreover, the annotations of *PPDK* were revised based on our in-depth analysis of *PPDK* genes.

Almost all the C4-homologous genes in C3 crops of wheat and rice were identified based on the analysis of phylogenetic relationships, tissue expression characteristics, and subcellular localization. In addition to their C4 photosynthetic gene copies, at least three non-photosynthetic paralogous copies were found in the  $\beta$ -CA, PEPC, ME, and MDH gene families, and similar gene structure and protein sequence characteristics were observed among the orthologous genes from different crops, which indicated that the non-photosynthetic homologous genes were relatively conserved in C3 and C4 crops during the evolution of the C4 photosynthetic pathway. Consistent with previous studies, four gene lineages of independent origin from those of C<sub>4</sub> grass crops,  $\beta$ -CA3, PEPC4, ME4, and MDH3, were found to have been recruited to the C<sub>4</sub> photosynthetic pathway, confirming the identity of the gene lineages during C<sub>4</sub> evolution, and these gene copies in C3 crops are important candidates for gene manipulation (Christin et al., 2013; Moreno-Villena et al.,



#### FIGURE 4

The expression synergy of the C<sub>4</sub> genes and C<sub>4</sub> orthologues in leaves of C<sub>4</sub> and C<sub>3</sub> species. The different coloured lines/dots represent the C<sub>4</sub>/C<sub>4</sub>orthologues encoding six key enzymes in different gramineous crops. Wheat-A, wheat-B, and wheat-D represent three copies of the different subgenomes of bread wheat. Millet represents foxtail millet. The genes presented include  $\beta$ -CA3, PEPC4, ME4, MDH3, and PPDK1.1 orthologous groups, and the RbcS genes with the highest expression in each species. Because no PEPC4 orthologue was found in rice, the nearest paralogue, OsPEPC3, was used instead. 2017). Moreover, the positive effects of gene duplication on the expansion of these gene families were confirmed, as 83.33% of *RbcS* genes and 73.53% of  $\beta$ -*CA* genes were associated with tandem duplication events, with more duplicated genes in the branches with C<sub>4</sub> photosynthetic gene copies. As previously reported, gene duplication was the first step in the evolution of C<sub>4</sub> photosynthesis (Sage et al., 2012).

### 4.2 The difference between the $C_4$ ortholous genes in $C_3$ crops and the $C_4$ genes in $C_4$ crops

With the evolution of the  $C_4$  photosynthetic pathway, the ancestral  $C_3$  genes underwent modification of the regulatory region or mutation of the coding region, resulting in the adjustment of the intracellular position of key enzymes, obvious kinetic characteristics, and cell-specific expression patterns (Ludwig, 2013; Ludwig, 2016). These changes were also found among the six gene families between the  $C_3$  and  $C_4$  Gramineae crops investigated in this study; for example, ZmCA3, SiCA3, and SbCA3 were all cytoplasmic, while OsCA3 and TaCA3s were located in chloroplasts. Based on the alignment of the conserved domains of  $C_4 \beta$ -CA and  $C_4$ -orthologous  $\beta$ -CA,  $C_4 \beta$ -CA was found to be accumulated in the cytoplasm due to the deletion of a conserved motif at the N-terminus, which led to loss of the chloroplast transit peptide.

Previous studies showed that the encoded enzymes likely varied in their kinetic properties in addition to their leaf and cell specificities. The enzymatic kinetic changes in the C4 photosynthetic pathway mainly occurred in the two major carboxylases PEPC and Rubisco (Bläsing et al., 2002; Kapralov et al., 2011). The PEPCs from C3 and C4 Flaveria species exhibit different kinetic properties in terms of substrate saturation and response to activators and inhibitors, while the PEPC of C3-C4 Flaveria species showed features between those of the C3 and C4 congeners, suggesting that the C4 characteristics were gradually obtained during the evolution process (Engelmann et al., 2003). It has been confirmed that the serine residue (serine 774 of the  $C_4 F$ . trinervia PEPC) at the C-terminus of the C4 PEPC protein determines the difference in substrate affinity (Bläsing et al., 2002); similarly, this feature was found in the present study. In addition, based on large-scale multiple sequence alignment, two ((T/S)24G and N56I on OsRbcS1) and one (G842A on ZmPEPC4) amino acid mutations in C4 RbcS and PEPC were found to be highly specific to C<sub>4</sub> Gramineae crops and were located in highly conserved regions of these proteins. The 8 amino acid residues at positions 52-59 of OsRbcS1 constitute a protein binding site, and the significant variation at position 56 may have a critical impact on the function of Rubisco. In addition, specific amino acid substitutions have been associated with C4 functionality. In the C4 PEPC isoform of maize, Increased tolerance to feedback inhibition by malate involves specific G884 (Glycine) in C<sub>4</sub>-isoforms (Paulus et al., 2013). The G842A mutation in ZmPEPC4 may have a similar effect on PEPC enzyme kinetics. In summary, the discovery of these mutation sites may provide a basis for the interpretation of the novel kinetic characteristics of key  $C_4$  carboxylases.

# 4.3 The promoter regions and the expression characteristics of $C_4$ genes and $C_4$ -orthologous genes in $C_4/C_3$ crops

Different C4 orthologues in C3 crops exhibited different expression characteristics. All C4 genes in C4 crops were highly expressed in leaves. RbcS and  $\beta$ -CA in C<sub>3</sub> and C<sub>4</sub> crops showed similar expression levels, while the C4 orthologues of PEPC, ME, MDH, and PPDK exhibited much lower expression in the leaves of C3 crops than that observed for the C<sub>4</sub> genes in the leaves of C<sub>4</sub> crops. C4-orthologous RbcS in C3 crops was highly expressed in MCs, and C<sub>4</sub> RbcS in C<sub>4</sub> species was highly expressed in BSCs. In this study, we observed differences in tissue expression and subcellular localization of gene copies of the same lineage between C<sub>3</sub> and C<sub>4</sub> crops, and high levels of expression in leaves and localization to specific organelles were confirmed to be key for recruitment to the C4 photosynthetic pathway, which is consistent with previous reports (Ludwig, 2016; Moreno-Villena et al., 2017). Multiple elements related to hormone response-related and meristem-specific expression were found 300 bp upstream of the C4 RbcS initiation codon, which may directly regulate the specific expression of C4 RbcS in BSCs. Previous promoter deletion experiments on the maize RbcS promoter region confirmed that deletion of the -211 to +434 region resulted in the accumulation of RbcS in BSCs, which is consistent with our results (Purcell et al., 1995). However, regional differences exist in the three representative C<sub>4</sub> crops, confirming that high expression of C<sub>4</sub> RbcS in BSCs is regulated similarly.

In addition to the discovery that the promoter region regulated the cell expression targeting of the C<sub>4</sub> gene, regions that may regulate PEPC expression levels were also found. The C4 PEPC promoter regions had more cis-regulatory elements related to MYB transcription factors, including multiple light- and droughtinducible MYB binding sites. This indicated that regulation by MYB transcription factors may play a positive role in the recruitment of PEPC to the C4 pathway. Studies have shown that the 0.6 kb upstream sequence of the maize PEPC promoter, which corresponds to region 2, can bind to proteins to regulate MCspecific expression of PEPC (Bläsing et al., 2002), and a mesophyll enhancement module (MEM1) at the distal end (-1566 to -2141) of the C<sub>4</sub> PEPC promoter of *F. bidentis*, which corresponds to region 3, was predicted in this study (Akyildiz et al., 2007). In general, the Gramineae C4 species and F. bidentis C4 species seem to have similar regulatory patterns for C<sub>4</sub> PEPC.

Unfortunately, no additional elements that may regulate the expression levels of  $C_4$  MDH, ME, or PPDK were found in their promoter regions. The manner in which the promoter regions of these genes are regulated in Gramineae  $C_4$  species may be different, with the regulation possibly being more determined by the process of DNA methylation and trans-acting factors.

# 4.4 The synergistic expression of C<sub>4</sub> and C<sub>4</sub>-orthologous genes in leaves of C<sub>4</sub>/C<sub>3</sub> crops

As a complete system, the expression of individual genes involved in the  $C_4$  photosynthetic pathway must be well coordinated to form a complete and stable metabolic pathway. Our analysis showed that the expression levels of multiple  $C_4$ orthologues, including *PEPC*, *PPDK*, *MDH*, and *ME*, were much lower and not well coordinated in the leaves of  $C_3$  crops; therefore, improving and coordinating their expression might be a strategy for activating the  $C_4$  pathway in  $C_3$  crops.

Based on the analysis in this study, the necessary steps for the conversion of the C4-homologous photosynthetic pathway to the C4 photosynthetic pathway in the currently examined C3 crops were proposed (Figure 5). The acquisition of the  $C_4$  photosynthetic pathway involves not only the improvement of the expression level of the key genes in the pathway but also a variety of changes, including cell-specific expression patterns, adjustments in the intracellular location of the enzymes, and their kinetic characteristics. Therefore, altering the expression level of one or two genes is not sufficiently effective for the establishment of the entire C4 system. In addition, studies based on maize, rice, and Cleome species have shown that some regulatory information determining cell-specific expression already exists in the ancestral C<sub>3</sub> gene, and the expression characteristics and compartmentalization modification observed in the C4 species are regulated by at least one trans-acting factor or transcription factor (Aubry et al., 2011). Therefore, a wider range of in-depth comparisons of  $C_3$  crops and their related  $C_4$  crops is necessary for the transformation of the  $C_4$ photosynthetic pathway in  $C_3$  crops. In addition, great progress has been made in improving Rubisco's  $CO_2$  specificity by genetic manipulation and significantly improving the photosynthetic efficiency of  $C_3$  crops (Martinavila et al., 2020); the redesign of the bypass pathway based on photorespiration also greatly improved the photosynthetic efficiency of rice (Shen et al., 2019), which may also be an effective way to improve the photosynthetic efficiency of wheat and  $C_3$  crops. Although in this study, a large number of key regions and amino acid variations that may affect the transformation of  $C_4$ homologous genes to  $C_4$  genes were observed in the  $C_4$ -orthologous genes of  $C_3$  crops, more experiments should be performed to verify these findings in the future.

# 5 Conclusion

In this study, the genes encoding the 6 key enzymes of the  $C_4$  photosynthetic pathway in five important gramineous crops were systematically identified and characterized. The  $C_4$  functional gene copies were distinguished from the non-photosynthetic functional gene copies based on phylogenetic relationships, subcellular localization, and expression characteristics. Two mutations ((T/S) 24G and N56I on OsRbcS1) of RbcS and one mutation (G842A on ZmPEPC4) of PEPC were found to be specific to the  $C_4$  crops, which may affect the enzymatic kinetics of major carboxylases. Possible cis-acting elements regulating the specific expression of  $C_4$ 



FIGURE 5

Schema of  $C_3$  photosynthesis and NADP-malic enzyme (NADP-ME)-type  $C_4$  photosynthesis in gramineous crops. From top to bottom are the anatomical structure and photosynthetic model. The dotted line in the middle marks the necessary steps for the photosynthetic pathway to evolve from  $C_3$  to  $C_4$ . Cp is the chloroplast, cyt is the cytoplasm, and the red box and blue box represent high/low expression levels, respectively.

genes were found in their promoter regions. The expression of most  $C_4$  orthologues in the leaves of  $C_3$  crops was much lower and not well coordinated, and the necessary steps for  $C_4$  photosynthetic transformation of  $C_3$  crops were proposed. The results of this study will help progress research into the  $C_4$  photosynthetic transformation of important  $C_3$  species, such as rice and wheat.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

### Author contributions

Y-GH, LC, YY, and MP conceived and designed the original research. YY, LC, ZZ, and SL performed the experiments and analyzed the data; QL and CC assisted the data analysis; LC and YY wrote the manuscript; MP and Y-GH complemented the writing. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fpls.2023.1134170/ full#supplementary-material

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