



# Sleep Disturbances and Suicidality in Posttraumatic Stress Disorder: An Overview of the Literature

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A causal relationship between sleep disturbances and suicidal behavior has been previously reported. Insomnia and nightmares are considered as hallmarks of posttraumatic stress disorder (PTSD). In addition, patients with PTSD have an increased risk for suicidality. The present article gives an overview about the existing literature on the relationship between sleep disturbances and suicidality in the context of PTSD. It aims to demonstrate that diagnosing and treating sleep problems as still underestimated target symptoms may provide preventive strategies with respect to suicidality. However, heterogeneous study designs, different samples and diverse outcome parameters hinder a direct comparison of studies and a causal relationship cannot be shown. More research is necessary to clarify this complex relationship and to tackle the value of treatment of sleep disturbances for suicide prevention in PTSD.

Keywords: sleep, sleep disorders, suicidality, PTSD (post-traumatic stress disorder), insomnia, nightmares

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## **INTRODUCTION**

Suicide is a leading cause of death worldwide with at least 788,000 annual deaths from suicide around the world in 2015 according to WHO data (1). Sleep disturbances have been shown to be a risk factor for mental disorders for decades, and are an independent risk factor for suicidal behavior (2). However, not only sleep problems in general have evolved as an evidence-based risk factor for suicide (3, 4), but short sleep duration also seems to be associated with suicidality (5, 6). Moreover, insomnia has also been proposed to be an independent risk factor for suicidality (7, 8). However, the association is weaker after controlling for depression (9, 10). Further, there is also evidence for an association between nightmare disorder and suicidality (11–13). However, the specific contributions of nightmare disorder to suicidality remain unclear (14). Unfortunately, nightmares are under-reported, therefore detection and treatment are often insufficient (15).

# PTSD and Sleep Disturbances

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The lifetime prevalence of posttraumatic stress disorder (PTSD) is estimated to be about 8.0% in the general population (16, 17), and sleep disturbances are considered as a core feature of PTSD (18). There is a high prevalence of nightmares (up to 70%) in patients with PTSD (19), and the rate of sleep disturbances in PTSD is estimated to be in the same range as that of nightmares and insomnia at about 40% (20). A bidirectional relationship between PTSD and sleep disturbances has been purported (21, 22). This is in line with the hypothesis that it is biologically plausible for sleep disturbance to be mechanistically transdiagnostic, suggesting that sleep disturbances are related to the onset and course of several psychiatric disorders. Specifically, increased activity of the

noradrenergic system during rapid-eye-movement-(REM)-sleep, REM-sleep fragmentation and reduced activity of the medial prefrontal cortex during REM-sleep may play a pivotal role with respect to sleep fragmentation and emotion dysregulation in PTSD (23).

Apart from sleep disturbances and nightmares being an integral diagnostic criteria of PTSD, specific sleep disorders such as obstructive sleep apnea syndrome (OSAS), REM-sleep behavior disorder, and periodic limb movement disorder have been reported to be disproportionately frequent in PTSD patients (24). Several studies have shown a high prevalence of OSAS in PTSD patients, and it is suggested that OSAS plays a role in the pathophysiology of PTSD symptoms (25-27). There is also evidence for a positive correlation between effective OSAS treatment and reduced PTSD severity (28, 29). Sleep terrors, nocturnal panic attacks, and simple and complex motor behaviors including vocalizations and acting out dreams may also occur during sleep in patients with PTSD (23). These features were recently described as "Trauma Associated Sleep Disorder" which may also occur without the presence of other typical PTSD symptoms (30, 31).

# **PTSD** and Suicidality

Numerous studies have shown that PTSD represents a risk factor for suicidal thoughts and behaviors (32, 33). In addition, there is evidence for a mediating role of depression in the association of PTSD and suicidality (34). Comorbid depression may amplify the suicide risk synergistically beyond an additive effect (35). Indeed, there is evidence of an increased risk of death by suicide in PTSD patients in general (36, 37) even compared to trauma-exposed people (38, 39). Considering suicide risk, it is noteworthy that

Abbreviations: AIS, Athens Insomnia Scale; AOR, Adjusted odds ratio; AUD, Alcohol use disorder; bCBTi, Brief cognitive behavioral therapy for insomnia; BDI II, Beck Depression Inventory, 2. Edition; BSSI, Beck Scale for Suicidal Ideation; CAF, Canadian Armed Force; CAPS, Clinician-Administered PTSD Scale for DSM-IV; CIDI-Auto, Composite International Diagnostic Interview, version 2.4; C-SSRS, Columbia Suicide Severity Rating Scale; DDNSI, Disturbing Dreams and Nightmare Severity Index; DSI-SS, Depressive Symptom Index-Suicidality Subscale; DSM-IV, Diagnostic and Statistical Manual of Mental Disorders, 4. Version; GAD, Generalized anxiety disorder; HRA II, Health Risk Appraisal; IPT, Interpersonal Theory of Suicide; ISI, Insomnia Severity Index; LSD, Long sleep duration; MDD, Major depressive disorder; MINI, Mini International Neuropsychiatric Screen and Interview; MOODS-SR, Mood Spectrum-Self Report; MSSI, Modified Scale for Suicidal Ideationl; mTBI, Mild traumatic brain injury; MUSP, Mater University Study of Pregnancy; NESARC, National Epidemiologic Survey on Alcohol and Related Conditions; OIF, Operation Iraqi Freedom; OR, Odds ratio; OSAS, Obstructive sleep apnea syndrome; PCL, Posttraumatic Stress Disorder Symptoms Checklist; PCL-5, Posttraumatic Stress Disorder Checklist for DSM-5; PCL-C, Posttraumatic Stress Disorder Symptoms Checklist-Civilian version; PCL-M, Posttraumatic Stress Disorder Symptoms Checklist-Military version; PC-PTSD, Primary Care PTSD Screen; PD, Panic disorder; PHQ-9, Patient Health Questionnaire 9; PROMIS, Patient-Reported Outcomes Measurement Information System; PSI, Paykel Suicide Items; PSQI, Pittsburgh Sleep Quality Index; PSQI-A, Pittsburgh Sleep Quality Index-Addendum; PSS, PTSD Symptom Scale; PTSD, Posttraumatic stress disorder; RCT, Randomized clinical trial; RDI, Respiratory disturbance index; REM, Rapid eye movement; SA, Suicide attempt; SB, Suicidal behavior; SBQ-R, Suicidal Behaviors Questionnaire-Revised; SCIDI/P, Structured Clinical Interview for DSMIV-TR Axis I Disorders; SI, Suicidal ideation; SSD, Short sleep duration; SSI, Scale for Suicide Ideation; SWS, Suicide warning signs survey; TAU, Treatment as usual; VHA, Veterans Health Administration.

PTSD is one of the few psychiatric disorders that distinguish those who think about suicide from those who make suicide attempts (40). A meta-analysis has shown that PTSD is reliably more common in attempters than ideators, but these effects seem to be modest rather than strong (41).

# PTSD, Sleep Disturbances and Suicidality

There are only few studies reporting on the relationship between PTSD, sleep disturbances, and suicidality. A meta-analysis of sleep disturbances and suicidal thoughts and behaviors including studies on mixed samples (42) identified only one study on their relationship to PTSD (43); another meta-analysis and systematic review about the association between sleep disturbances and suicidal behaviors in patients with psychiatric diagnoses (44) also found the same study by Krakow et al. (43). Moreover, a systematic review of the relationship between sleep disturbance, suicidal ideation, suicide attempts, and suicide among adults (45) provided three studies for PTSD (46–48) and seven studies for veterans in consideration of a possible PTSD diagnosis (49–55).

#### LITERATURE SEARCH AND RESULTS

We conducted a comprehensive search of MEDLINE/PubMed databases using Medical Subject Headings terms in various combinations to identify studies that examined aspects of sleep disorders, suicidality and PTSD. Furthermore, we identified several studies by searching through references of identified studies, reviews and meta-analyses. In total, we identified 30 studies (Tables 1–3).

# Sleep Disturbances (Insomnia)

The respective studies are listed in Table 1. One study in military personnel investigated the relationship between insomnia symptoms and suicidal ideation and behavior after controlling for depressive symptom severity, hopelessness, PTSD diagnosis, anxiety symptoms, and drug and alcohol abuse in a cross-sectional as well as longitudinal design (54). It was shown that insomnia symptoms were cross-sectionally associated with suicidal ideation. Using a longitudinal design, insomnia symptoms were unique predictors of suicide attempts after controlling for baseline self-insomnia, depressive symptoms and hopelessness (54). The study is often cited as the prime example of a close link between sleep disturbances and suicidality independent of the presence of depression in PTSD. In addition, another study in veterans found that the association of sleep disturbance with suicidal ideations remained significant after controlling for age, alcohol dependence, depression, and PTSD (49). This was replicated in another study on veterans for poor sleep quality in general, but not for insomnia symptoms (51). A chart review provided evidence for a longitudinal relationship between sleep and suicidality; veterans with sleep disturbance died sooner after their last visit compared to those without sleep disturbance, even after adjusting for the presence of mental health or substance use symptoms (53).

New findings with respect to the longitudinal relationship revealed that pre-deployment insomnia was associated with increased risk of post-deployment PTSD and suicidal ideation

 TABLE 1 | Studies referring to PTSD, suicidality and sleep disturbances (insomnia).

References	Core issue	Sample	Sex (male)	Measurements	Main findings
Betts et al. (46)	Association between the risk of SI in individuals with PTSD symptoms on comorbid sleep disturbance	Participants of the MUSP (n = 2,465) with PTSD-symptoms: 9.4%; proportion of those with PTSD-diagnosis not mentioned	48.0%	Single item (SI), shortened version PSQI (sleep quality), CIDI-Auto (PTSD)	<ul> <li>PTSD symptoms did not directly predict SI when adjusting for MDE symptoms, polyvictimization, and gender</li> <li>PTSD symptoms had an indirect effect on SI via past-month sleep disturbance</li> </ul>
Bishop et al. (49)	Association of sleep disturbance with SI after controlling for age, alcohol dependence, depression, and PTSD	Veterans ( $n=654$ ), with PTSD: 24.3%	95,3%	PSS (SI), single item PCL (sleep disturbance), PTSD (PCL)	Sleep disturbance was a predictor of SI, even after controlling for age, alcohol dependence, PTSD, and depression
Bishop et al. (56)	Association among sleep disorders and SA after controlling for several mental disorders, medical comorbidity, and obesity	Veterans (data base review) (n = 60,102, 1:1 case control with no SA), with PTSD: 24.7%	87.1%	Data extraction for SA, sleep disturbance, mental disorders	Insomnia was associated with SA     Sleep medicine visits were     associated with a reduced risk of     SA in sleep disordered patients
Britton et al. (57)	Associations among insomnia symptoms, PTSD symptoms and depressive symptoms, IPT variables, and risk for SB	Veterans ( <i>n</i> = 392), with PTSD: 41.8%	69.6%	SBQ-R (SB), ISI (insomnia), PCL-M (PTSD)	Insomnia symptoms may be associated with increased PTSD and depressive symptoms     PTSD emerged as a mediator between insomnia and SI
Bryan et al. (50)	Identifying clinical variables (incl. PTSD) associated with suicidality in military personnel with mTBI	Deployed soldiers ( $n = 158$ ), with mTBI: 85.4%; proportion of PTSD not mentioned	93%	SBQ-R (suicidality), ISI (insomnia), PCL-M (PTSD)	Suicidality was significantly associated with depression and the interaction of depression with PTSD symptoms
Bryan et al. (58)*	Associations of insomnia severity with SI, and SB	3 samples of active duty military ( $n=380$ ); proportion of PTSD not mentioned	76.6%	BSSI (SI), ISI (insomnia), PCL (PTSD)	Association between sleep disturbances and SI (concurrent/prospective) in all samples; this effect was no longer present after adjusting for age, gender, depression, and PTSD
Chakravorty et al. (51)	Association between SI and insomnia symptoms adjusted for socio-demographic, psychiatric and addiction-related variables	Outpatient veterans, misusing alcohol ( <i>n</i> = 162), with PTSD: 27%	93%	PSI (SI, SB, SA), single item PSQI (sleep quality), PCL (PTSD)	After controlling for psychopathology, a significant association between insomnia and SI was no longer present; poor sleep quality remained associated with increased SI     SI was not associated with sleep duration
Davidson et al. (48)	Association between exercise and suicide risk including potential mediators (i.e., sleep disturbance, PTSD symptoms, depression)	Veterans admitted to a residential rehabilitation program for PTSD $(n=346)$	81%	8 items of BDI II(suicidality), PSQI (sleep quality), PCL-M without sleep item (PTSD)	<ul> <li>Direct negative association between suicide risk and exercise, indirectly through association with depressive symptoms and sleep quality</li> <li>PTSD symptoms did not mediate the relation between exercise and suicide risk</li> </ul>
Dell'Osso et al. (47)	Impact of alterations in rhythmicity and vegetative function (incl. sleep disturbances) as correlates of suicidality	Civilian inpatients/outpatients with PTSD ( $n=65$ ); patients with current ( $n=20$ ) or former depression ( $n=14$ ) were excluded	50.8%	MOOD-SR (SI/SA/sleep disturbances)	All MOODS-SR sub-domains (rhythmicity, sleep, appetite/weight, physical symptoms) were associated with an increased likelihood of SI; changes in appetite/weight were associated with greater OR of SA
Don Richardson et al. (52)	Association between SI and sleep after controlling for probable PTSD, MDD, GAD, AUD	Veterans ( $n=324$ )/active duty military ( $n=80$ ), with PTSD: 72.8%	92.4%	Single item PHQ-9 (SI), quantitative single item (sleep disturbances resp. nightmares), PCL-M (PTSD)	<ul> <li>Sleep disturbances did not predict SI</li> <li>Probable MDD emerged as a significant predictor</li> </ul>

(Continued)

TABLE 1 | Continued

References	Core issue	Sample	Sex (male)	Measurements	Main findings
Don Richardson et al. (59)	Mediating role of depression in the relationship between: 1) sleep disturbances and SI, and 2) trauma-related nightmares and SI after controlling for PTSD-, anxiety- and alcohol-use-severity	CAF personnel (17.6%)/veterans (82.4%), (n = 663), with PTSD: 72.6%	91%	Single item PHQ-9 (SI), quantitative single item (sleep disturbances resp. nightmares), PCL-M (PTSD)	Sleep disturbances were associated with SI as a function of depressive symptoms
Fisher et al. (60)	The moderating role of agitation within the relationship between insomnia and current SI	U.S. military personnel (n = 937); proportion of PTSD is not mentioned	75.3%	BSSI/DSI-SS (SI), ISI (insomnia), PCL-M (PTSD)	<ul> <li>Significant association between insomnia and SI only at high level of agitation</li> <li>PTSD symptoms, depressive symptoms, and lifetime number of SA were each associated with greater levels of agitation, insomnia and current SI</li> </ul>
Kachadourian et al. (61)	Association between individual symptoms of PTSD and measures of functioning, quality of life, and SI	U. S. military veterans $(n=1,484)$ , with PTSD: 10.9%, trauma-exposed: 85,4%	89.8%	Single item on the PHQ-9 (SI), PCL-5 (sleep difficulties/ nightmares/PTSD)	Sleep difficulties explained problems in physical functioning/quality of life after adjustment for severity of PTSD/depressive symptoms     No association between SI and sleep difficulties
Kim et al. (62)	The mediating role of AUD and insomnia in the relationship between PTSD symptoms and SI	Korean firefighters ( $n=7$ ,190), with PTSD: 3.6%	90%	PHQ-9 suicide item (SI), AIS (insomnia), PCL (PTSD)	AUD and insomnia mediated the relationship between PTSD symptoms and SI
Luxton et al. (63)	Prevalence and impact of short sleep duration in redeployed OIF soldiers	Redeployed OIF soldiers ( $n=2,738$ ), with PTSD: 15.4%	96%	HRA II (SA), 2 items (sleep duration/sleep quality), PC-PTSD (PTSD)	SSD was a significant predictor of suicide risk     SSD was the strongest predictor of PTSD
McClure et al. (64)	To determine the prevalence of factors that may serve as warnings of acute suicidality risk	Veterans attending an urgent care psychiatric clinic $(n=473)$ , with PTSD: 49%	89%	SWS survey (SI/insomnia/ hypersomnia), PC-PTSD (PTSD)	<ul> <li>Past week SI and sleep disturbances were among others highly prevalent warning sign</li> <li>97% endorsed at least one warning sign, participants with MDD and/or PTSD endorsed the largest number of warning signs</li> </ul>
Morgan et al. (65)	The relationship between sleep issues, mental health (perceived stress, PTSD symptoms, and depressive symptoms), and SI	Military service members ( $n=891$ ), with PTSD: 13%	95.5%	Single item (SI), PROMIS (sleep disturbances), PCL-C (PTSD)	PTSD, perceived stress, and depressive symptoms mediated the relationship between sleep issues and SI; after accounting for mental health symptoms, sleep no longer had a direct effect on SI
Pigeon et al. (53)*	Role of sleep disturbance in time to suicide since the last treatment visit among veterans receiving VHA services	Suicide decedents (n = 423) Visit of the VHA (n = 381); proportion of PTSD is not mentioned	99.7%	Chart review for number of days between last visit and death, sleep disturbances, and psychiatric symptoms	Veterans with sleep disturbance died sooner after their last visit than did those without sleep disturbance, afte adjusting for the presence of mental health or substance use symptoms, age, and region
Pigeon et al. (66)*	bCBTi delivered to veterans endorsing SI with a diagnosis of MDD and/or PTSD	Veterans (n = 54, RCT 1:1 TAU vs. TAU plus bCBTi); proportion of PTSD not mentioned	80%	C-SSRS (SI), ISI (insomnia), PCL-M (PTSD)	No significant effect of bCBTi on S intensity     Effects were large on insomnia and depression with no effect on PTSD
Ribeiro et al. (54)*	Relationship between insomnia symptoms and SI/SB after controlling for depressive symptom severity, hopelessness, PTSD diagnosis, anxiety symptoms, drug and alcohol abuse	Military personnel ( $n=311$ ), with PTSD: about 20%	82%	MSSI (SI), insomnia symptom index (sleeplessness), diagnosis (PTSD)	Insomnia symptoms were cross sectionally associated with SI     Insomnia symptoms were unique predictors of SA longitudinally after controlling for baseline self-insomnia resp. depressive symptoms and hopelessness

(Continued)

TABLE 1 | Continued

References	Core issue	Sample	Sex (male)	Measurements	Main findings
Ribeiro et al. (67)	Association between PTSD status and functional impairment (sleep quality, alcohol use, social problem-solving, work and social adjustment) among suicidal military inpatients	Suicidal military psychiatric inpatients and a lifetime history of at least one SA ( $n=166$ ), with PTSD: 38%	65%	C-SSRS (SI/SB), PSQI (sleep quality), MINI (PTSD)	Patients with PTSD reported disturbed sleep and reduced social and work adjustment, association was no longer significant after adjusting for gender and psychiatric comorbidity     Those with a greater number of psychiatric comorbidities demonstrated higher likelihood of meeting PTSD criteria
Richardson et al. (68)	The relationship between insomnia, SI, and past-year mental health status	Canadian Regular Forces personnel ( $n=6,700$ ), with PTSD: 5.3%	86.1%	Single item (past-year SI/insomnia), WHO-CIDI (PTSD)	- Both insomnia and number of mental health conditions incrementally increased the risk of SI - Insomnia significantly increased the odds of SI, but only among individuals with no or one mental health condition
Selaman et al. (69)	To determine specific DSM-IV symptoms of PTSD that are independently associated with SA	Data from wave 2 of the NESARC ( $n=34,653$ ), with PTSD: $n=2,322$	27.9%	Single item (nightmares/sleep disturbances/SA), DSM-IV-criteria (PTSD)	<ul> <li>Increasing numbers of re- experiencing and avoidance symptoms were correlated with SA</li> <li>No association between SA and sleep disturbances (A)OR about 0,6</li> </ul>
Swinkels et al. (55)	Association of sleep duration and sleep quality with mental health and SI	U.S. Afghanistan/Iraq era veterans (n = 1,640), with PTSD: 31%	80%	BSSI (SI), PSQI-A (sleep quality/duration), SCIDI/P (PTSD)	<ul> <li>Very SSD (≤ 5 h of sleep) and LSD (≥ 9 h) were each (after adjusting for diverse covariates) associated with increased odds of current PTSD, MDD, and smoking</li> <li>Poor sleep quality was associated with PTSD, PD, MDD, SI, and risky drinking</li> </ul>
Wang et al. (70)*	Association of pre-deployment insomnia with post-deployment PTSD and SI	U. S. Army soldiers ( $n=8,558$ , cross-sectional, $n=4,645$ , longitudinal), with PTSD 11.9%	94.7%	C-SSRS (suicidality), items of the Brief Insomnia Questionnaire (insomnia), PCL (PTSD)	Pre-deployment insomnia was associated with increased risk of post-deployment PTSD and SI even after adjusting for socio-demographic characteristics and prior deployment history

<sup>\*</sup>Denotes studies with longitudinal designs; all others are cross-sectional studies. Cursive references denotes studies on civilian samples.

even after adjusting for sociodemographic characteristics and prior deployment history (70). This is in line with prior findings. Sleep disturbance is not only a symptom of PTSD, but when existing prior to trauma they may also be a risk factor for developing PTSD in civilians (74) and in military personnel (75–77).

Consistent with these findings, an analysis of a large suicide attempt database from the U.S. Department of Veterans Affairs also revealed an independent association between insomnia and suicide attempts even after controlling for several socio-demographic factors and diverse psychiatric disorders. Furthermore, sleep medicine visits 180 days prior to the index date were associated with a decreased likelihood of suicide attempt for individuals with sleep disorders. Thus, the assessment and treatment of sleep disorders should be considered in context of strategies to augment suicide prevention efforts (56).

A proof-of-concept randomized clinical trial investigated the effects of a brief cognitive behavioral therapy for insomnia in

primary care patients with suicidal ideation and insomnia in addition to either major depressive disorder and/or PTSD. The effect size of brief cognitive behavioral therapy for insomnia on suicidal ideation intensity was not significant. Effects were large on insomnia and depression with no effect on PTSD (66).

A birth cohort study in young Australians (the only study with non-veterans in this context) showed that PTSD symptoms indirectly predicted suicidal ideation via comorbid sleep disturbances even when adjusting for major depression symptoms, poly-victimization and gender. Notably, poly-victimization predicted sleep disturbances and suicidal ideation independently of PTSD or major depression (46).

#### **Nightmares**

The studies focusing on nightmares are listed in **Table 2**. Two studies focused on the association between suicidality and nightmares in the context of PTSD. In the first study, the authors reported that trauma-related nightmares were highly

TABLE 2 | Studies referring to PTSD, suicidality, and nightmares.

References	Core issue	Sample	Sex (male)	Measurements	Main findings
Bishop et al. (56)	Association among sleep disorders and SA after controlling for several mental disorders, medical comorbidity, and obesity	Veterans (data base review) (n = 60,102, 1:1 case control with no SA), with PTSD: 24.7%	87.1%	Data extraction for SA, sleep disturbance, mental disorders	Nightmares were after controlling for psychiatric disorders no longer associated with SA     Sleep medicine visits were associated with a reduced risk of SA in sleep disordered patients
Don Richardson et al. (52)	Association between SI and sleep after controlling for probable PTSD, MDD, GAD, AUD	Veterans ( $n=324$ )/Active duty military ( $n=80$ ), with PTSD: 72.8%	92.4%	Single item PHQ-9 (SI), quantitative single item (sleep disturbances resp. nightmares), PCL-M (PTSD)	Nightmares did not predict SI     Probable MDD emerged as the most significant predictor
Don Richardson et al. (59)	Mediating role of depression in the relationship between: (1) sleep disturbances and SI, and (2) trauma-related nightmares and SI after controlling for PTSD-, anxiety-symptom-, and alcohol-use-severity	CAF personnel (17.6%)/veterans (82.4%) ( $n=663$ ), with PTSD: 72.6%	91%	Single item PHQ-9 (SI), - quantitative single item (sleep disturbances resp. nightmares), PCL-M (PTSD)	Trauma-related nightmares were associated with SI as a function of depressive symptoms
Littlewood et al. (71)	Mechanism of the relationship between nightmares and SB in consideration of perceptions of defeat, entrapment, and hopelessness	Trauma-exposed patients $(n=91)$ with PTSD-symptoms, with confirmed PTSD: $n=51$ , history of PTSD diagnosis: $n=36$	26%	SBQ-R (suicidality), in each cases 2 items of CAPS (nightmares/insomnia), CAPS (PTSD)	SB were higher in participants who experienced nightmares     Nightmares were directly or indirectly associated with SB, through perceptions of defeat, entrapment, and hopelessness, independent of comorbid insomnia and depression.
McCall et al. (72)*	Examining whether treatment of nightmares with prazosin (nighttime-only) would reduce SI in suicidal PTSD patients	20 adult, suicidal PTSD patients with nightmares in a RCT over 8 weeks; $n=2$ were militarian	15%	SSI (SI), DDSNSI (nightmares), ISI (insomnia), CAPS (PTSD)	<ul> <li>All psychometric measures improved over 8 weeks</li> <li>Nighttime measures of nightmares and insomnia showed less improvement in the prazosin group</li> <li>No significant changes in daytime measures of SI and daytime-only PTSD symptoms</li> </ul>
Raskind et al. (73)*	RCT of Prazosin for PTSD for 26 weeks with three primary outcome measures	Veterans with chronic PTSD and frequent nightmares (n = 304, 1:1 Placebo/Prazosin)	97.7%	Adverse event (SI), CAPS (nightmares), PSQI (sleep quality), PCL-M (PTSD),	<ul> <li>Prazosin did not improve distressing dreams or sleep quality</li> <li>Adverse event of new or worsening SI occurred in 8% of participants with prazosin vs. 15% with placebo</li> </ul>
Selaman et al. (69)	To determine specific DSM-IV symptoms of PTSD that are independently associated with SA	Data from wave 2 of the NESARC ( $n = 34,653$ ), with PTSD: $n = 2,322$	27.9%	Single item (nightmares/sleep disturbances/SA), DSM-IV criteria (PTSD)	Association between nightmares and SA, this effect disappeared after adjusting for covariables

<sup>\*</sup>Denotes studies with longitudinal designs; all others are cross-sectional studies. Cursive references denotes studies on civilian samples.

prevalent (67.9%) but not associated with suicidal ideations. However, in a regression model, the presence of probable PTSD was significantly associated with suicidal ideations, indicating a mediating role of PTSD in the association between nightmares and suicidal ideations. Probable major depressive disorder emerged as the strongest predictor of suicidal ideation (52). These results were confirmed in a subsequent study by the same authors (59). Recently, corresponding results regarding the association between suicide attempts and nightmares were reported in a sample with veterans. After controlling for psychiatric disorders, nightmares were no longer significant associated with suicidal attempts (56).

In contrast to these findings, in a sample of traumaexposed civilians with PTSD symptoms, nightmares were both directly and indirectly associated with suicidal behavior, through perceptions of defeat, entrapment, and hopelessness, independent of comorbid insomnia and depression (71). Further analyses supported that the relationship between nightmares and suicidal behavior was partially mediated by a multistep pathway via defeat, entrapment, and hopelessness (71).

Prazosin, an  $\alpha$ 1-adrenergic receptor antagonist, has been effective in alleviating nightmares associated with PTSD in military veterans (78, 79). However, in a randomized clinical trial of prazosin in suicidal PTSD patients there was no

TABLE 3 | Studies referring to PTSD, suicidality, and sleep-related breathing disorders.

Reference	Core issue	Sample	Sex (male)	Measurements	Main findings
Gupta and Jarosz (80)	Diagnosing OSAS by sleep study and SI in patients with PTSD	Civilians with PTSD ( $n = 40$ )	5%	4 items of the BSI (SI), PSQI PTSD addendum modified (nightmares) PCL-5 (PTSD)	OSAS severity was directly related to SI     Depression was a significant mediator in the relationship between RDI and SI
Krakow et al. (43)	Prevalence of sleep disorders and the influence on suicidality and depression severity		0%	Wisconsin Cohort Sleep Survey (sleep disorders), Nightmare Frequency Questionnaire (nightmares), PSQI (sleep quality), PSS (PTSD)	<ul> <li>Prevalence of sleep breathing disorder: 15%</li> <li>Prevalence of sleep movement disorder: 29,4%</li> <li>Association of potential sleep disorders with greater depression and greater suicidality</li> <li>Prevalence of combination of both disorders: 35,9%; this group suffered from most severe depression and suicidality</li> </ul>

Cursive references denotes studies on civilian samples.

significant improvement of suicidal ideation or day time-only PTSD symptoms. In addition, nightmares and insomnia showed significantly less improvement in the prazosin group (72). These findings are in line with a recent multi-center randomized trial on the effect of prazosin in veterans with chronic PTSD, which revealed no effects of prazosin on nightmares or sleep quality (73). Of note, fewer patients in the prazosin group reported suicidal ideation compared with the placebo group.

# **Sleep-Related Breathing Disorders**

The studies focused on sleep-related breathing disorders are listed in Table 3. A sample of female sexual assault survivors with PTSD was assessed for subjectively determined sleep related breathing and movement disorders and 80% of the participants presented with such disorders (43). Participants with potential sleep disorders suffered from a higher degree of depression and suicidality in comparison to those without any potential sleep disorder. It was hypothesized that this effect could be mediated through chronic sleep fragmentation. The study was limited insofar as the participants were recruited through a nightmare-treatment program. Nightmares are estimated as a marker for other sleep disorders, therefore nightmares could increase the total prevalence of sleep disorders (43). In a study performed to elucidate the association between suicidal ideations and OSAS, it was found that OSAS severity was directly related to suicidal ideation in (predominately female) PTSD patients who underwent a home sleep apnea monitoring. Depression was a significant mediator in the relationship between respiratory disturbance index and suicidal ideation (80).

### **Sleep Duration**

Sleep duration itself is purported to have an effect on suicidality (5, 6, 81). In redeployed soldiers, after controlling for combat exposure, short sleep duration was associated with symptoms of depression, PTSD, and panic syndrome, and with high-risk health behaviors such as abuse of tobacco and alcohol products, as well as suicide attempts. Short sleep duration emerged as a significant predictor of suicide risk; conversely, short sleep was the strongest predictor of PTSD symptoms (63). Another study in veterans corroborated an association between sleep duration,

very short sleep duration ( $\leq$ 5 h of sleep) as well as long sleep duration ( $\geq$ 9 h): after adjusting for covariates, both conditions were associated with increased odds of current PTSD and major depression, but not with suicidal ideation. However, poor sleep quality was associated with PTSD and suicidal ideation as well as with panic disorder, major depressive disorder, and risky drinking (55).

# **Circadian Aspects**

We identified one study in civilian patients with PTSD investigating the effect of alterations in rhythmicity and vegetative function including sleep disturbances as correlates of suicidality. As a result, all lifetime mood spectrum subdomains (rhythmicity, sleep, appetite/weight, sexual function, physical symptoms) were associated with an increased likelihood of suicidal ideation. Interestingly, another study reported that an eveningness chronotype in PTSD was associated with an increased likelihood of suicidal ideations, but not suicide attempts (47).

#### **MEDIATING FACTORS**

Various mediating factors may have an influence on the association between sleep disturbances, suicidality, and PTSD symptoms.

#### **Depression**

A study on deployed soldiers with mild trauma brain injury showed a significant association between increased suicidality and depression as well as the interaction of depression with PTSD symptoms. Interestingly, longer duration of loss of consciousness was associated with decreased likelihood for any suicidality (50). Another study found an association between sleep disturbances with concurrent and prospective suicide ideation in three active military samples. When adjusting for age, gender, depression, and PTSD, insomnia severity was no longer directly associated with suicidal ideation either concurrently or prospectively, whereby depression mediated the relation of insomnia severity with suicide risk (58). One study examined the association of suicidal ideation and sleep disturbances after controlling

for probable PTSD, depression, alcohol use disorder (AUD) and generalized anxiety disorder. Neither sleep disturbances nor nightmares significantly predicted suicidal ideation; instead, major depression emerged as the most significant predictor (52). Depression also mediated the relationship between insomnia and nightmares and suicidal ideations (59). Another study investigated the associations among insomnia symptoms, PTSD, and depressive symptoms, interpersonal theory of suicide variables, and risk for suicidal behavior in community veterans and confirmed a mediating effect of depression. In extension to this, PTSD emerged also as a mediator between insomnia and suicidal ideation. The interpersonal theory of suicide variables of thwarted belongingness and perceived burdensomeness mediated the association of depressive and PTSD symptoms with risk for suicidal behavior, indicating a sequential association (57).

#### Other Mediators

In military service members with PTSD, perceived stress mediated the relationship between sleep issues and suicidal ideation; however, after accounting for mental health symptoms (depression, perceived stress, PTSD symptoms) sleep no longer had a significant direct effect on suicidal ideation (65). In outpatient veterans misusing alcohol the relationship between insomnia symptoms and suicidal ideation was no longer significant after controlling for other mental disorders. However, poor sleep quality remained significantly associated with increased suicidal ideation even after controlling for other risk factors (51). Among suicidal military inpatients, those with PTSD reported more disturbed sleep and reduced social and work adjustment. Still, this association between functionality and PTSD status was no longer significant after adjusting for gender and psychiatric comorbidity as individuals. Patients with a higher number of psychiatric comorbidities demonstrated a higher likelihood of meeting PTSD criteria (67).

Beyond mental health conditions in general, some studies also investigated specific mediators, i.e., AUD (62), agitation (60), and exercise (48) in the context of PTSD, suicidality, and sleep disturbances. Given that AUD und PTSD frequently co-occur (82), evidence for a mediating role of AUD and insomnia in the relationship between PTSD symptoms and suicidal ideation derived from a large sample of Korean firefighters (62). With respect to a moderating role of agitation within the relationship between insomnia and current suicidal ideations, a study on U.S. military personnel showed significant effects only at high levels of agitation. PTSD symptoms, depressive symptoms, and lifetime number of suicide attempts were each associated with greater levels of agitation, insomnia, and current suicidal ideation (60).

# PTSD SYMPTOM CLUSTERS AND SUICIDALITY

A burgeoning approach to determine suicide risk in the context of PTSD is the analysis of specific PTSD symptom clusters (69, 83–90) yielding conflicting results. Considering sleep disturbances and nightmares as diagnostic criteria for PTSD, a within-cluster item analysis would be necessary. So

far, only one study meets this requirement: reporting that an increased number of re-experiencing and avoidance symptoms significantly correlated with suicide attempts. In addition, a significant association between nightmares and suicide attempts was found; however, this effect was no longer present after controlling for covariates (69). In this study, sleep disturbances (85.0%) and nightmares (77.8%) were highly prevalent, but were evaluated by using a single, dichotomous question only, indicating a possible lack of selectivity. To date, only three studies examined the relationship between PTSD symptom clusters and suicidality in a prospective design (88, 90, 91), with two of them pointing at a unique relationship between alterations in arousal and reactivity and higher suicidality (90, 91). Unfortunately, sleep disturbance as a feature of the cluster "alterations in arousal and reactivity" was not separately considered in these studies. Thus, it is not possible to state, whether sleep disturbances had a significant influence on these results.

A more tailored approach on individual PTSD symptoms a priori has been provided by the use of a more detailed method for the assessment, monitoring, and treatment of PTSD symptoms (61). This study of predominantly trauma-exposed military veterans investigated the association between individual symptoms of PTSD and measures of functioning, quality of life, and suicidal ideation. Among others, sleep difficulties explained problems in physical functioning and quality of life. These findings persisted after adjustment for lifetime trauma burden and severity of PTSD and depressive symptoms. No association was found between suicidal ideations and sleep difficulties (61). However, it should be emphasized that only about 10% of the sample met full PTSD criteria.

#### DISCUSSION

The present studies revealed heterogeneous and partially contradictory results. A direct association between sleep disturbances and suicidal behavior in patients with PTSD has been reported by a few studies (49, 51, 53, 54, 70), while others found no association after controlling for covariates such as depression (50, 52, 57–59). In a sample of veterans with PTSD and two or more comorbid disorders there was a higher risk of suicidal ideation compared to veterans with PTSD only (92).

The study results should be interpreted with caution because differences in approach and samples, as well as different applied measurements and outcome parameters, hinder direct comparisons. In particular, due to the studies available, various types of sleep disturbances, measured by using different methodologies, were included. Some studies focused on specific sleep disorders such as insomnia, nightmares or sleep related breathing disorders (57, 80, 93) while others measured only sleep quality or sleep duration in a more general approach (43, 48) or used a single sleep item only (59, 69) limiting the comparability. In addition, almost all studies used self-report instruments to assess sleep variables. However, subjective and objective measurements of sleep may differ also in PTSD patients and do not necessarily co-vary (94, 95). The use of sleep diaries could be a more reliable measurement in order to assess

prospectively sleep disorders compared to a selective survey (96). The vast majority of studies used a cross-sectional design, a major limitation for drawing causal conclusions. With respect to suicidality, it can be stated that the outcome parameters vary between suicidal ideation (60), suicidal behavior (57), suicide attempts (56), death by suicide (53), and through combinations of those (51). Thus, "suicidality" does not always carry the same meaning and precludes comparability.

Of importance, an explicit PTSD diagnosis was a primary inclusion criterion only in five studies (27, 47, 48, 72, 73), whereas in all other studies a "possible" PTSD diagnosis was recorded and considered in data analysis with prevalence rates between 3.6% (62) and 94% (43). Therefore, the absolute number of subjects with PTSD was considerably lower than the absolute number of study participants, mitigating the statistical validity. In military samples, the vast majority of participants were men with a proportion of at least 69.6% (57) up to 99.7% (53). In civilian samples, women were overrepresented by trend with a proportion of at least 49.2% (47) up to 100% (43). This remarkable disproportion in gender ratios should be taken into account in light of gender differences in suicidal behavior among persons with PTSD. For example, among veterans with PTSD, women have a lower risk of dying by suicide compared with men (97).

In addition, most studies have included military personnel and veterans. A large cohort study, for example, revealed an association between type and number of traumata with suicidal ideation and suicide attempts in patients with PTSD (98). Among others, peacekeeping traumata had the highest rates of suicidal ideation and suicidal behavior. In the civilian population, however, a broader spectrum of trauma types may occur. Moreover, it remains questionable whether results obtained from veterans can be transferred to the civilian population. Evaluating the risk of death by suicide in military personnel, some specific aspects have to be noted; historically, soldiers have had a markedly lower suicide rate than civilians (99, 100). However, since 2005 the incidence of suicide in Army and Marine personnel has nearly doubled and remained elevated (101, 102). Several studies showed an increased risk of people with PTSD dying by suicide (103-106), while others revealed a decreased risk of death by suicide in military personnel (107-110). The background of these confusing results is discussed in detail in a recently published review (111).

The current overview has some limitations. Most importantly, it does not meet the requirements of a systematic review because we did not perform a systematic literature research. In addition, we had no strict inclusion/exclusion criteria to identify relevant studies. In many studies, the relationship between sleep disturbances, suicidality, and PTSD was a minor issue and evaded a literature search using Medical Subject Headings terms. Therefore, the overview may not cover all studies in the field. Nevertheless, diagnosing and treating sleep disturbances early in the context of trauma exposition may provide preventive strategies regarding the development of PTSD. For example, non-drug interventions such as cognitive behavioral therapy for insomnia has been shown to reduce sleep disturbances and, subsequently, PTSD symptoms (112). It remains to be clarified whether or not suicidal behavior in PTSD can also be improved by treating impaired sleep.

#### CONCLUSION

Heterogeneous study approaches, different samples and applied measurements, and diverse outcome parameters hinder a direct comparison of studies examining sleep disturbances, suicidality, and PTSD. In addition, due to limited study methodologies, a causal relationship in these entities cannot be shown. Future research including adequately designed studies is necessary to clarify the complex relationship between these parameters. Particularly, more studies in the civilian population are needed also to tackle the value of treatment of sleep disturbances for suicide prevention in PTSD.

#### **AUTHOR CONTRIBUTIONS**

FW and TW have made substantial contributions to conception and design of the study and analyzed the data. FW executed the acquisition of data. FW, CN, and TW have been involved in the interpretation of data, drafting and revising the manuscript for important intellectual content. All authors have read and approved the final manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The reviewer RG declared a past co-authorship with the authors to the handling editor.

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