



What Have We Learned (or Expect to) From Analysis of Murine Genetic Models Related to Substance Use Disorders?

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The tremendous public health problem created by substance use disorders (SUDs) presents a major opportunity for mouse genetics. Inbred mouse strains exhibit substantial and heritable differences in their responses to drugs of abuse (DOA) and in many of the behaviors associated with susceptibility to SUD. Therefore, genetic discoveries emerging from analysis of murine genetic models can provide critically needed insight into the neurobiological effects of DOA, and they can reveal how genetic factors affect susceptibility drug addiction. There are already indications, emerging from our prior analyses of murine genetic models of responses related to SUDs that mouse genetic models of SUD can provide actionable information, which can lead to new approaches for alleviating SUDs. Lastly, we consider the features of murine genetic models that enable causative genetic factors to be successfully identified; and the methodologies that facilitate genetic discovery.

Keywords: mouse genetic models, substance use disorder, neurobiologic basis, computational genetics, opiate addiction

WHY STUDY MURINE GENETIC MODELS OF SUD?

We believe that the relationship between murine models and human diseases (or biomedical traits) resembles that between a small Cessna airplane and a large 787 jet plane. You can learn most of what you need to know about the fundamental principles of aviation by studying the Cessna, but this will not enable you to pilot the 787. The 787 has many more capabilities, much more complex and computer-controlled systems, and multiple redundancies that are essential for its function than are contained within a Cessna. Nevertheless, you wouldn't be able to pilot a 787 without knowing the aviation principals that are learned by studying the Cessna. Similarly, studying the mouse has revealed the basic principles underlying many areas of human physiology and pathobiology. Within the neurobiology realm, many of the mechanisms and circuits utilized for learning, memory, cognition, and the effects that drugs have on these processes have been uncovered through analysis of mouse models. However, since laboratory mice function within a very limited behavioral domain and lack some of the neural pathways that regulate human behavior, many of the complex factors mediating human psychiatric diseases cannot be understood by analyzing rodent models. The aviation analogy is quite appropriate for SUDs. Rodent models are ideal for understanding DOA neurobiology and for providing information about how drug seeking behaviors are generated; but they provide a very poor substrate for investigating the impact of that

socioeconomic and psychosocial factors have on triggering relapse. This is an important limitation since human drug addiction proceeds through a three-stage cycle whose intensity increases over time, and each stage results from DOA-induced changes in brain circuits (1–3). The first stage (binge/intoxication) is mediated by DOA-induced reward sensations in the brain. The second stage (withdrawal/negative affect) is characterized by an increased threshold for experiencing the reward sensation, and a withdrawal state develops when the DOA cannot be obtained. The third stage (preoccupation-relapse) is characterized by chronic relapse, which is triggered by environmental and emotional cues. Chronic DOA ingestion induces neurochemical changes that lessen the reward sensation that was experienced after DOA ingestion during the initial stage, which increases the stress and compulsivity associated with chronic drug addiction (2, 3). Mouse models are ideal for analyzing the first two stages of the addiction cycle, which are mediated by neurobiological changes that develop after acute (1st stage) or repeated (2nd stage) exposure to a DOA. In contrast, mice provide a less optimal model for analyzing 3rd stage phenomena, which involves responses to environmental triggers and far more complex DOA-induced changes that impact a wider range of neural circuits. Most current research and treatment efforts focus on the later stages of drug addiction (3), which are associated with drug craving and relapse in individuals with SUD of long duration. It could be more productive to increase the research effort devoted to developing prevention strategies, which target the early stage of drug addiction (4). To do this, we must develop a deeper understanding of DOA-induced changes at the synaptic level. In other words, to fly the jet plane (i.e., develop effective prevention or treatment methods for SUDs) we must use murine genetic models of SUD to understand the underlying principles of aviation (i.e., the mechanisms mediating SUDs).

Here, we examine what we have learned from our prior analyses of murine genetic models of responses related to SUD. First, we discuss a murine genetic model of a drug-induced toxicity to indicate the different types of genetic factors that can be identified. We then review the genetic factors identified from our prior analyses of murine genetic models of opiate responses. Lastly, we consider the features of murine models that enable causative genetic factors to be successfully identified; and the methodologies that can facilitate genetic discovery.

AN ILLUSTRATIVE EXAMPLE

Analysis of a murine genetic model of a drug-induced (haloperidol) CNS toxicity illustrates the potential outcomes that could emerge when evaluating murine genetic models of SUD because drug addiction (in many ways) is a toxicity

caused by DOAs. Although haloperidol is an effective anti-psychotic agent, it causes a treatment-limiting side effect in most treated subjects, which is very debilitating Parkinsonian-like extrapyramidal symptoms. When we began our studies of haloperidol induced toxicity (HIT), genetic susceptibility factors for this toxicity were completely unknown. Therefore, we analyzed a murine genetic model of HIT where the inbred strains exhibited very large and reproducible differences in susceptibility to HIT. Our analysis revealed that susceptibility was quantitatively determined by two distinct genetic loci: one encoded a pharmacokinetic factor and the other a pharmacodynamic factor. The pharmacokinetic factor was allelic variation within a murine ABC-drug efflux transporter (*Abcb5*) that caused susceptible strains to have higher brain haloperidol levels; and a genetic association study in a haloperidol-treated human cohort identified human *ABC5* alleles as susceptibility determinants for HIT (5). The pharmacodynamic susceptibility factor was allelic variation within pantetheinase genes (*Vnn1*, *Vnn3*) that impaired the biosynthesis of a protective metabolite (cysteamine) (6). While discovery of the murine pharmacokinetic factor led to the identification of a pharmacogenetic susceptibility factor for human HIT (5); characterization of the murine pharmacodynamic factor led to a potential new treatment (co-administration of a cysteamine metabolite) that could completely prevent haloperidol's treatment-limiting toxicity (6). Thus, analysis of a murine model generated information that produced a potential new method for preventing this toxicity.

MURINE SUD MODELS

Like haloperidol, murine opiate response models hold great promise for genetic discovery. The inbred strains exhibit very large and heritable differences in their responses to opiates, which include the development of opioid analgesia, tolerance, dependence, and hyperalgesia (7–10). We provide a brief description of several rodent SUD models here, but more detailed information can be obtained from recent reviews covering rodent models for CPP (11), opioid (12, 13) and cocaine relapse (14), and opioid abstinence (15). The genetic models of SUD discussed here are ones where various responses are measured after DOAs are administered to panels of inbred mouse strains. For example, physical dependence is a key measure of addiction that is modeled by the jumping behavior that is displayed by opiate-dependent mice after administration of a potent opioid receptor antagonist (naloxone). This response is a highly heritable trait among inbred mouse strains (16) that is independent of differences in the method or duration of opiate administration (17, 18) (Figure 1). Of importance, naloxone-precipitated opiate withdrawal (NPOW) has also been used to quantify opioid dependence in human volunteers (19). In addition to their analgesic action, opioids also induce a paradoxical hypersensitivity to painful stimuli during opioid withdrawal (opiate-induced hyperalgesia, OIH); and there are large and heritable differences in the extent of OIH that develops among the inbred strains (7, 20). Drug seeking behavior is observed when abstaining addicts are confronted

Abbreviations: CSA, cocaine self-administration; DOA, drugs of abuse; GWAS, genome wide association study; HBCGM, haplotype based computational genetic mapping; HIT, haloperidol induced toxicity; mCPP, morphine-induced conditioned place preference; NPOW, naloxone precipitated opiate withdrawal; NAC, nucleus accumbens; SUD, substance use disorder; VTA, ventral tegmental area.

humans. The motivation (the reinforcing properties of the drug reward) as well as the specificity (drug vs. alternative reward) for drug-taking behaviors can also be evaluated in addition to measuring the quantity and frequency of drug administration (27, 40, 41). Thus, just as in the human population, inbred mouse strains exhibit substantial differences in their DOA responses; and characterization of the genetic basis for these differences will help us to understand the neurobiological effects of DOA and will enable us to understand how they generate addiction-related behaviors.

LESSONS LEARNED FROM CHARACTERIZING MURINE OPIATE RESPONSE FACTORS

As with HIT, multiple studies indicate that differences in the various types of opiate responses exhibited by inbred strains are determined by genetic factors that alter opiate pharmacokinetics and by pharmacodynamic factors that alter the host response to opiates. When a murine genetic model of opioid-induced hyperalgesia (OIH) was analyzed, we discovered that genetic variation within the *P-glycoprotein transporter (Abcb1b)* contributed to inter-strain differences in this opiate response (8). Analysis of the effect of pharmacologic inhibitors and of *Abcb1a/1b* knockout mice confirmed that P-glycoprotein function modulates narcotic-induced pain sensitization, as well as the tolerance and physical dependence that develops during opiate treatment. The brain morphine level correlated with the extent of OIH, which indicated a murine pharmacokinetic factor influenced multiple opiate pharmacodynamic responses by altering brain opiate levels. While pharmacokinetic factors are important, characterization of genetic factors affecting opiate pharmacodynamic responses are more likely to generate new approaches for preventing opiate addiction. For example, we analyzed another murine genetic model for OIH and identified the beta-2 adrenergic receptor (*Adrb2*) as a genetic locus contributing to the inter-strain response difference. This response was markedly diminished in *Adrb2* knockout mice and was reversed by administration of a commonly used *Adrb2* antagonist, which suggested a novel strategy for reducing OIH (7). We also found that genetic variation within genes encoding the *Netrin-1 receptor (Dcc)* (42) and *multi-PDZ-domain protein (Mpdz)* that encodes MUPP1 (20) also contributed to inter-strain differences in the extent of tolerance, dependence and OIH that develops after repeated opiate exposure.

The latter two genetic findings indicate that opiate-induced changes at the synaptic level influence opiate responses. For example, *dcc* encodes a receptor for an axonal guidance protein (netrin-1) that plays a role in synaptic plasticity in the adult brain (43–46); and *dcc* itself plays a role in axonal differentiation and synaptogenesis in the developing brain (44, 46–48). Similarly, MUPP1 expression is localized to CNS synapses (49). Genetic variation within *Mpdz* has been associated with alcohol and sedative dependence in both mice and humans, which suggest that it may regulate responses to multiple DOA (50–52).

MUPP1 may enhance the efficiency of neuronal signaling by bringing key intracellular signaling molecules into proximity with cell surface receptors (NMDA receptor) at the post-synaptic membrane (53). By this mechanism, NMDA receptor activation can trigger a MUPP1-facilitated cascade that leads to membrane insertion of AMPA receptor/channels, and persistent facilitation of glutamate signaling. This pathway may contribute to long-term potentiation (LTP) or alternative forms of enhanced AMPA receptor mediated activity (54). Pharmacological blockade of NMDA receptors and genetic deletion of NMDA receptor subunits has been shown to limit tolerance and OIH in mice and rats (55, 56); and the NR2B subunits of NMDA receptors mediate opiate tolerance (57, 58). The *dcc* and *Mpdz* findings also demonstrate that even when an identified causative genetic factor is not a pharmaceutical target, interacting proteins or proteins within an effected pathway may provide new therapeutic targets for SUD.

TRANSLATION OF A MOUSE GENETIC DISCOVERY

Our most impactful discovery to date emerged from analysis of a murine genetic model that measured the naloxone-precipitated opiate withdrawal (NPOW) response after 4 days of morphine administration in 18 inbred strains (9). Allelic variation within the *Htr3a* gene encoding the 5HT_{3A}R was most highly correlated with the severity of the NPOW response (Figure 1). Consistent with this result, *Htr3a* mRNA and protein expression was significantly reduced in a strain-specific manner after morphine administration. Moreover, administration of a selective 5HT_{3A}R antagonist (ondansetron) reduced NPOW [and opioid-induced hyperalgesia (OIH)] in a dose-dependent fashion; and ondansetron co-administration with morphine impaired the mCPP response, which indicated that ondansetron eliminated the reinforcing effects of morphine (9). Thus, ondansetron also shows promise for preventing opiate dependence. The murine finding was tested in humans by measuring the effect of ondansetron on experimentally induced NPOW in healthy male volunteers. Ondansetron pre-treatment caused a 76% decrease ($p = 0.03$) in the NPOW in the volunteers, and it decreased all 11 of the measured manifestations of opiate withdrawal. *Since the ondansetron effect observed in mice translated to humans, it is likely of fundamental importance.* In a separate study (59), we demonstrated that another 5HT_{3A}R antagonist (palonosetron) also prevented NPOW symptoms in normal human subjects and that a pretreatment that combined palonosetron with a commonly used antihistamine (hydroxyzine) caused a 95% reduction ($p = 0.014$) in withdrawal manifestations. The effect of the combination pretreatment was significant even when compared with that of palonosetron alone ($p = 0.012$) (59). *These results demonstrated that a 5HT_{3A}R antagonist can be combined with another agent to further reduce opioid withdrawal severity.* Ondansetron is a widely used medication with a well-established safety record. After characterizing its pharmacokinetic properties in pregnant

women and in their neonates (60), we are now performing a placebo-controlled clinical trial investigating whether a brief period of ondansetron treatment can prevent the development of opiate withdrawal symptoms in infants with prenatal opioid exposure (61, 62). This study, which has involved seven medical centers, currently represents the only attempt to develop a preventative treatment for a severe condition that affects the infants of mothers with SUD.

GENETIC ANALYSIS METHODS

Identification of the genetic factors responsible for DOA response differences among the inbred strains is an essential step for obtaining critically needed information about the neurobiological mechanisms underlying addiction. Only after a genetic factor is identified can the involved pathways be examined, which is required for identifying potential targets for new treatments for SUD. We have found that two inter-related features of a murine genetic model facilitate genetic discovery when genome wide association study (GWAS) methods are used for their analysis. (i) The DOA response must be measured across a large number (preferably ≥ 15) of inbred strains. When a small number of strains are evaluated, the actual extent of the phenotypic variation present in the mouse population is under-estimated (63, 64). There are >450 available inbred strains (65); and usually only a few strains will exhibit an outlier phenotype for most responses. Unfortunately, the vast majority of murine GWAS performed to date analyze a relatively small number of strains (66). (ii) Since a key factor for successful genetic discovery is when strains that exhibit outlier responses are included in the analysis, the genetic analysis should not begin until after inbred strains that exhibit extreme DOA responses (i.e., top or bottom 10% and are >3-fold above (or below) the mean response of the other strains) have been identified. Preferably, the strain panel should include at least two strains that exhibit an extreme phenotypic response. Other investigators have used one or more of the various recombinant inbred (RI) strain panels for genetic mapping studies, which include: the Hybrid Mouse Diversity Panel (30 founder strains) (67, 68); the Diversity Outbred (69) and Collaborative Cross (70) panels (eighty strains); and the BXD RI panel (71) (two strains). While these RI panels have proven to be useful for genetic mapping, they have a limitation. We do not know in advance which strains will exhibit outlier responses to current (or future) DOA that contribute to 21st century addiction-related public health problems, and the strains exhibiting outlier responses may not be among the founder strains for the existing RI panels. To use another disease as an example, Type 2 Diabetes Mellitus (T2DM), and its principal risk factor (obesity) have become a major 21st century public health problem (72); but the TallyHo strain is not among the founder strains used to construct any of the current RI panels. Nevertheless, TallyHo provides a valuable murine model for T2DM and obesity because it spontaneously develops hyperlipidemia, hyperglycemia, insulin resistance, and glucose intolerance (73, 74). A genetic analysis of diabetes-related

traits among the inbred strains would miss important disease-causing genetic variants if the TallyHo strain was not included in the analysis.

While many different methods can be used to analyze GWAS data obtained from inbred strains, we have successfully used haplotype based computational genetic mapping (HBCGM) to identify murine genetic factors underlying 22 biomedical traits (5–9, 18, 20, 42, 64, 75–90). In an HBCGM experiment, a property of interest is measured in a panel of available mouse strains whose genomes have been sequenced; and then genetic factors are computationally predicted by identifying genomic regions (haplotype blocks) where the pattern of within-block genetic variation correlates with the distribution of the phenotypic responses among the strains (63, 64, 75) (**Figure 1**). However, a major barrier to genetic discovery is caused by the fact that HBCGM analyses generate many false positive associations, which appear along with the causative genomic region, for the trait response difference. This can make it difficult to identify the true causative genetic factor for a biomedical trait difference. Because of the ancestral relatedness of the inbred strains, some of the false positives are within genomic regions that are commonly inherited (a property referred to as “population structure”). Statistical methods have been developed to reduce the false discovery rate in GWAS studies by correcting for the population structure that exists that exists in humans (91, 92), plants (93), and mice (94). While these correction methods have substantial utility for analyzing human GWAS results, we have recently shown that population structure correction methods are less useful when analyzing murine GWAS results; and moreover, their use could increase the chance that a true causative genetic factor will be discarded (95). In brief, even though multiple genomic regions have a shared ancestral inheritance, one of them may be responsible for a phenotypic difference. To overcome this problem, we use filtering methods to identify the true causative factor from among the many correlated genomic regions. We have previously identified causative genetic factors from among the many genes with correlated allelic patterns by applying orthogonal criteria (64), which include gene expression, metabolomic (78), or curated biologic data (96), or by examining candidates within previously identified genomic regions (76, 77). This approach can provide results that are superior to that of a typical GWAS, which only uses a single highly stringent criterion to identify candidates. We recently analyzed 8,462 publicly available datasets of biomedical responses (1.52 M individual datapoints) measured in panels of inbred mouse strains. We found that our ability to identify the genetic basis for the biomedical trait differences among the inbred strains was enhanced when structured automated methods were used for filtering the genes output by HBCGM analyses (66). In that study, we selected correlated genes that were expressed in the target organ for the biomedical trait, had high impact SNP alleles, and where the published literature indicated that the gene had a functional relationship with the analyzed trait. Although we are in the early stage of using automated methods for assessing genetic results, we believe that the results from that study (66) provide an early indication of how “*augmented intelligence*” can be used to facilitate genetic discovery. For analysis of mouse

genetic models for SUD, DOA-induced gene expression changes occurring in brain regions, which are known to be important sites for DOA responses (NAc, VTA, mPFC), can be analyzed to facilitate identification of causative genetic factors.

FUTURE DIRECTIONS

We believe that genetic factors affecting DOA responses will be shared with those impacting learning and memory pathways (97). Multiple lines of evidence indicate that DOA “hijack” the neural circuits used for learning and memory (98–100). An organism’s ability to learn and form memories is mediated by changes within neurons and brain circuits that are produced by changes in neuronal gene expression patterns, which are activated in response to stimuli (101). Synaptic plasticity, which are activity-based changes in synaptic transmission in neuronal networks, is a major component of learning and memory (102). Changes in presynaptic glutamate release as well as postsynaptic ionotropic glutamate receptor expression and subunit composition are associated with DOA-induced changes in neuroplasticity (103). Rapidly occurring changes in synaptic plasticity mediate DOA-induced behavioral effects, and they contribute to the acquisition of instrumental learning. By this mechanism, DOA-induced changes in synaptic plasticity can produce abnormally strong addiction-related memories. The effect of DOA on long-term potentiation (LTP) and long-term depression (LTD) has been well-studied in VTA dopaminergic neurons (104–106). For instance, cocaine exposure increases the AMPA/NMDA receptor ratio, alters GluA2-containing AMPARs, and decreases NMDA receptor functionality in VTA dopaminergic neurons (107–109). Structural plasticity, which is the formation of new

synaptic boutons and dendritic spines, is also observed after DOA exposure (110). Increased dendritic spine density in the NAc and PFC are commonly observed changes in synaptic connections that contribute to the sequela of drug use (111–113). Circuit remodeling also occurs with DOA-induced dopamine-mediated responses. Specifically, DOA act on the mesolimbic dopaminergic pathway, which include the ventral tegmental area (VTA), nucleus accumbens (NAc) and associated limbic regions (114). The medial prefrontal cortex (mPFC), which exerts top-down excitatory glutamatergic control over the NAc and other downstream subcortical regions, might contribute to maladaptive behaviors (115). Different subregions of the mPFC (i.e., dorsal, and ventral infralimbic subregions) can both drive and inhibit drug seeking behaviors depending on the drug history and behavioral context (116). Dysfunction in these regions, such as hypoactivity or selective strengthening of the PFC-striatal pathway, could contribute to compulsion in drug addiction models (116, 117). Therefore, studying DOA-induced effects on synaptic and structural plasticity, as well as characterizing changes in neuronal circuitries, could greatly increase our understanding of DOA responses. Moreover, given the overlap between the neural circuits used for learning and those impacted by DOA, it is likely that there will be some degree of overlap between the genetic factors affecting responses to different types of abused drugs. Hence, it is also important to characterize the impact that genetic factors identified by analysis of mouse genetic models have on responses to different types of DOAs.

In addition to the transcriptional changes associated with neuronal plasticity, chromatin modifications are a major part of learning and memory processes (118–121). Much correlational evidence links changes in histones (predominantly acetylation)

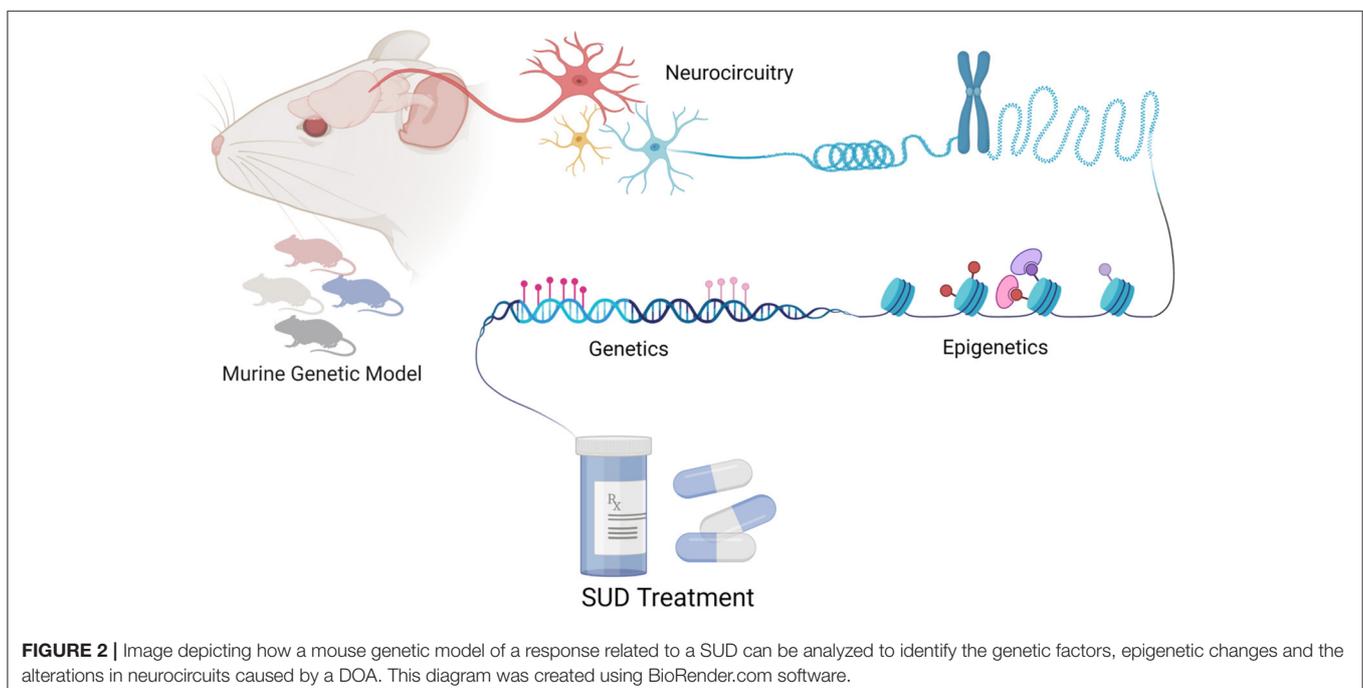


FIGURE 2 | Image depicting how a mouse genetic model of a response related to a SUD can be analyzed to identify the genetic factors, epigenetic changes and the alterations in neurocircuits caused by a DOA. This diagram was created using BioRender.com software.

with short and long-term memory generation (121–123). Since the addiction state persists long after the period of DOA ingestion, DOA-induced epigenetic modifications are highly likely to be key contributors to addiction. Hence, DOA-induced chromatin structure changes in specific brain regions should be characterized along with DOA-induced transcriptional changes. The combined characterization of transcriptional and chromatin structure changes in the developing human brain has provided new insight into the mechanisms regulating brain development, and possibly into the pathobiology of psychiatric diseases (124). The methodology for simultaneously characterizing DOA-induced epigenetic and transcriptional changes in brain is now readily available (124). Characterization of DOA-induced chromatin structure changes in specific brain regions will provide the orthogonal information, which will facilitate the identification of genetic factors affecting addiction susceptibility. To do this, chromosomal regions with DOA-induced epigenetic changes can be examined to determine if they overlap with haplotype blocks that contain alleles that correlate with the pattern of DOA responses among the inbred strains. Also, linking genetic and epigenetic mechanisms with changes in synaptic circuit plasticity could lead to a deeper understanding of DOA-induced neuroadaptations (115) (**Figure 2**). For instance, DOA

exposure produces region-specific epigenetic changes, which include an increase in global histone acetylation in the NAc, while this is reduced in the VTA (125, 126). Studying transcriptional and epigenetic changes in specific neuronal subpopulations is also important for understanding neural mechanisms and identifying novel therapeutic targets for prevention of addiction (127, 128). Thus, we believe that murine genetic models can be used to simultaneously characterize DOA-induced epigenetic and transcriptional changes, and for identifying genetic factors that alter DOA responses. Thus, murine models can provide the critically needed information that is required for successfully landing the airplanes whose flight path has been adversely affected by SUDs.

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All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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