# Do the dynamics of prior information depend on task context? An analysis of optimal performance and an empirical test

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Don van Ravenzwaaij, University of New South Wales, Mathews Building, Room 702, Sydney 2052 Australia. e-mail: d.vanravenzwaaij@ unsw.edu.au In speeded two-choice tasks, optimal performance is prescribed by the drift diffusion model. In this model, prior information or advance knowledge about the correct response can manifest itself as a shift in starting point or as a shift in drift rate criterion. These two mechanisms lead to qualitatively different choice behavior. Analyses of optimal performance (i.e., Bogacz et al., 2006; Hanks et al., 2011) have suggested that bias should manifest itself in starting point when difficulty is fixed over trials, whereas bias should (additionally) manifest itself in drift rate criterion when difficulty is variable over trials. In this article, we challenge the claim that a shift in drift criterion is necessary to perform optimally in a biased decision environment with variable stimulus difficulty. This paper consists of two parts. Firstly, we demonstrate that optimal behavior for biased decision problems is prescribed by a shift in starting point, irrespective of variability in stimulus difficulty. Secondly, we present empirical data which show that decision makers do not adopt different strategies when dealing with bias in conditions of fixed or variable across-trial stimulus difficulty. We also perform a test of specific influence for drift rate variability.

Keywords: drift diffusion model, decision making, bias

# **INTRODUCTION**

In real-life decision making, people often have *a priori* preferences for and against certain choice alternatives. For instance, some people may prefer Audi to Mercedes, Mac to PC, or Gillette to Wilkinson, even before seeing the product specification. For product preferences, people are influenced by prior experiences and advertising. Here we study the effects of prior information in the context of perceptual decision making, where participants have to decide quickly whether a cloud of dots is moving to the left or to the right. Crucially, participants are given advance information about the likely direction of the dots. In the experiment reported below, for instance, participants were sometimes told that 80% of the stimuli will be moving to the right. How does this advance information influence decision making?

In general, advance information that favors one choice alternative over the other biases the decision process: people will prefer the choice alternative that has a higher prior probability of being correct. This bias usually manifests itself as a shorter response time (RT) and a higher proportion correct when compared to a decision process with equal prior probabilities. Because bias expresses itself in two dependent variables simultaneously (i.e., RT and proportion correct), and because people only have control over a specific subset of the decision environment (e.g., the participant cannot control task difficulty) an analysis of optimal adjustments is traditionally carried out in the context of a *sequential sampling model*. Prototypical sequential sampling models such as the Sequential Probability Ratio Test (SPRT; e.g., Wald and Wolfowitz,

1948; Laming, 1968) or the drift diffusion model (DDM; Ratcliff, 1978) are based on the assumption that the decision maker gradually accumulates noisy information until an evidence threshold is reached.

In such sequential sampling models, the biasing influence of prior knowledge can manifest itself in two ways (e.g., Diederich and Busemeyer, 2006; Ratcliff and McKoon, 2008; Mulder et al., 2012). The first manifestation, which we call *prior bias*, is that a decision maker decides in advance of the information accumulation process to lower the evidence threshold for the biased alternative. The second manifestation, which we call *dynamic bias* (cf. Hanks et al., 2011), is that a decision maker weighs more heavily the evidence accumulated in favor of the biased alternative. There is both theoretical and empirical evidence that shows that both types of bias manifest itself in different situations.

The situation that has been studied most often is one in which task difficulty is fixed across trials. For this case, Edwards (1965) has shown that optimal performance can be achieved by prior bias alone. In empirical support for this theoretical analysis, Bogacz et al. (2006) demonstrated that in three experiments the performance of participants approximated the optimality criterion from Edwards (1965). In a recent paper by Gao et al. (2011), it was demonstrated that for fixed stimulus difficulty, but varied response deadlines over trials, behavioral data was best described by the implementation of prior bias.

Another situation is one in which task difficulty varies across trials. For this case, Hanks et al. (2011) reasoned that people should

tend ever more strongly toward the biased response option as the information accumulation process continues (see also Yang et al., 2005; Bogacz et al., 2006, p. 730). The intuitive argument is that a lengthy decision process indicates that the decision is difficult (i.e., the stimulus does not possess much diagnostic information), and this makes it adaptive to attach more importance to the advance information. In the extreme case, a particular stimulus can be so difficult that it is better to simply go with the advance information and guess that the biased response option is the correct answer.

Thus, in an environment of constant difficulty an optimal decision maker accommodates advance information by prior bias alone, such that a choice for the likely choice alternative requires less evidence than that for the unlikely choice alternative. The conjecture of Hanks et al. (2011) is that, in an environment of variable difficulty, an optimal decision maker accommodates advance information not just by prior bias, but also by dynamic bias.

This paper has two main goals. The first goal is to extend the analytical work of Edwards (1965) and show that prior bias accounts for optimal performance regardless of whether stimulus difficulty is fixed or variable across trials. The second goal is to examine empirically which kind of bias decision makers implement when stimulus difficulty is fixed or variable.

The organization of the paper is as follows. In the first section we briefly introduce the drift diffusion model (DDM), the prototypical sequential sampling model that can be used to model both prior bias and dynamic bias (Bogacz et al., 2006;

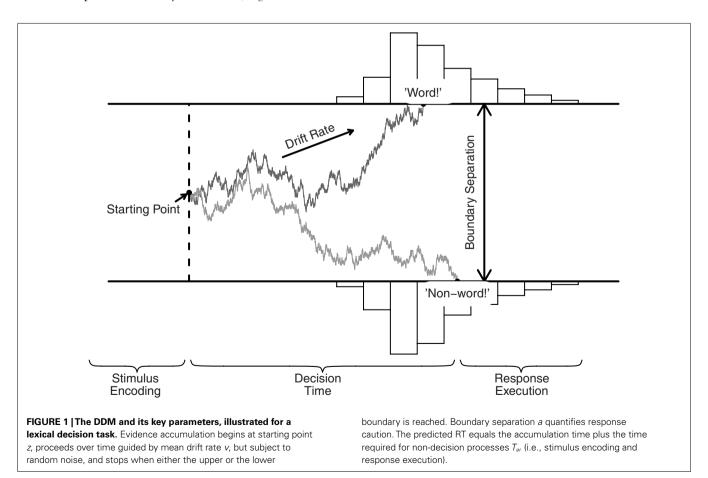
van Ravenzwaaij et al., 2012). Next we present analytical work that shows how optimal performance in biased decision environments may be achieved with prior bias alone, regardless of whether stimulus difficulty is fixed or variable. We then present an empirical study showing how people accommodate bias for fixed and variable stimulus difficulty in similar fashion.

# THE DRIFT DIFFUSION MODEL (DDM)

In the DDM (Ratcliff, 1978; Ratcliff and Rouder, 2000; Wagenmakers, 2009; van Ravenzwaaij et al., 2012), a decision process with two response alternatives is conceptualized as the accumulation of noisy evidence over time. Evidence is represented by a single accumulator, so that evidence in favor of one alternative is evidence against the other alternative. A response is initiated when the accumulated evidence reaches one of two predefined thresholds. For instance, in a *lexical decision task*, participants have to decide whether a letter string is an English word, such as TANGO, or a non-word, such as TANAG (**Figure 1**).

The model assumes that the decision process commences at the starting point z, from which point evidence is accumulated with a signal-to-noise ratio that is governed by mean drift rate  $\nu$  and Wiener noise. Without trial-to-trial variability in drift rate, the change in evidence x is described by the following stochastic differential equation

$$dx(t) = v \cdot dt + s \cdot dW(t), \tag{1}$$



where W represents the Wiener noise process (i.e., idealized Brownian motion). Parameter s represents the standard deviation of  $dW(t)^1$ . Values of v near zero produce long RTs and high error rates. Trial-to-trial variability in drift rate is quantified by  $\eta$ .

Evidence accumulation stops and a decision is initiated once the evidence accumulator hits one of two response boundaries. The difference between these boundaries, boundary separation a, determines the speed–accuracy trade-off; lowering a leads to faster RTs at the cost of a higher error rate. When the starting point, z, is set at a/2, bias in the decision process is not manifested in the starting point. Together, these parameters generate a distribution of decision times (DTs). The observed RT, however, also consists of stimulus-non-specific components such as response preparation and motor execution, which together make up non-decision time  $T_{er}$ . The model assumes that  $T_{er}$  simply shifts the distribution of DT, such that  $RT = DT + T_{er}$  (Luce, 1986).

Thus, the five key parameters of the DDM are (1 and 2) speed of information processing, quantified by mean drift rate  $\nu$  and standard deviation of drift rate  $\eta$ ; (3) response caution, quantified by boundary separation a; (4) evidence criterion, quantified by starting point z; and (5) non-decision time, quantified by  $T_{er}$ . In addition to these five parameters, the full DDM also includes parameters that specify across-trial variability in starting point, and non-decision time (Ratcliff and Tuerlinckx, 2002).

#### **BIAS IN THE DDM**

Recall from the introduction that decision makers may implement bias in two ways. A decision maker may decide prior to the start of the decision process that less evidence is required for a response in favor of the biased alternative than for the non-biased alternative. This type of bias, which we call prior bias, is manifested in the DDM as a shift in starting point (see the top panel of **Figure 2**, see

 $<sup>^{1}</sup>$ Parameter s is a scaling parameter that is usually fixed. For the remainder of this paper, we set it to 0.1.

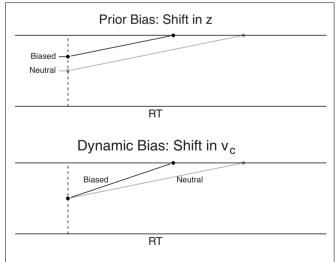


FIGURE 2 | Schematic representation of bias due to a shift in starting point z (top panel) or a shift in drift rate criterion  $v_c$  (bottom panel). The gray lines represent neutral stimuli for comparison.

also Ratcliff, 1985; Ratcliff and McKoon, 2008; Mulder et al., 2012). Prior bias is most pronounced at the onset of the decision process, but dissipates over time due to the effects of the diffusion noise s. Edwards (1965) showed that when across-trial stimulus difficulty is fixed, it is optimal to shift the starting point an amount proportional to the odds of the prior probabilities of each response alternative.

Alternatively, a decision maker may weigh evidence in favor of the biased response alternative more heavily than evidence in favor of the non-biased response alternative. This type of bias, which we call dynamic bias, is manifested in the DDM as a shift in drift rate criterion (see the bottom panel of **Figure 2**, see also Ratcliff, 1985; Ratcliff and McKoon, 2008; Mulder et al., 2012). With a shift in the drift rate criterion, drift rate for the likely choice alternative is enhanced by a bias component, such that the cumulative effect of dynamic bias grows stronger over time (compare the difference between the biased and neutral lines for shift in z and shift in  $v_c$ ).

# **BIAS IN THEORY**

Below, we examine analytically which DDM parameter shifts in starting point and drift rate criterion produce optimal performance. We differentiate between fixed and variable difficulty. Two criteria of optimality are discussed: highest mean proportion correct when there is a fixed response deadline (i.e., the interrogation paradigm) and lowest mean RT (MRT) for a fixed mean proportion correct (see also Bogacz et al., 2006). In the next section, we discuss highest mean proportion correct for the interrogation paradigm.

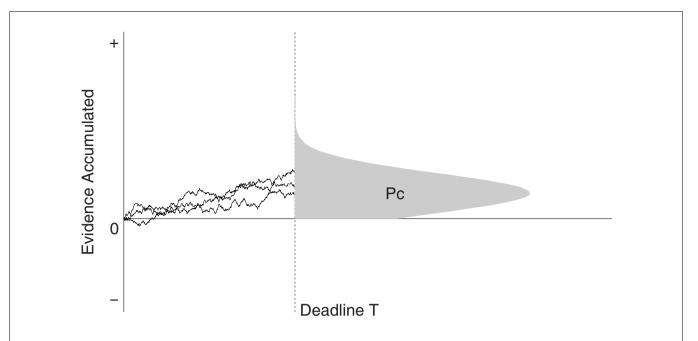
# **OPTIMALITY ANALYSIS I: THE INTERROGATION PARADIGM**

In the interrogation paradigm, participants are presented with a stimulus for a fixed period of time. Once the response deadline T is reached, participants are required to immediately make a response (see **Figure 3**). Thus, for the interrogation paradigm, there are no response boundaries. As such, the unbiased starting point z is 0. In this section, we will look at optimal DDM parameter settings for a biased decision in the interrogation paradigm. The performance criterion is the mean proportion correct. First, we discuss fixed stimulus difficulty across trials, or  $\eta = 0$ . Second, we discuss variable stimulus difficulty across trials, or  $\eta > 0$ .

# Fixed difficulty

In order to find the maximum mean proportion correct for the interrogation paradigm, we assume that participants base their response depending on whether the evidence accumulator is above or below zero when the accumulation process is interrupted (see, e.g., van Ravenzwaaij et al., 2011; **Figure 9**). We choose parameter settings such that if the accumulator is above zero at time *T*, the biased response is given, whereas if the accumulator is below zero at time *T*, the non-biased response is given.

Across trials, the final point of the evidence accumulator will be normally distributed with mean vT + z and standard deviation  $s\sqrt{T}$  (e.g., Bogacz et al., 2006; van Ravenzwaaij et al., 2011). In what follows, we assume that stimuli corresponding to either



**FIGURE 3 | The interrogation paradigm.** At deadline *T*, decision makers choose a response alternative depending on the sign of the evidence accumulator. The shaded area under the distribution represents the proportion of correct answers.

of the two response alternatives are equally difficult<sup>2</sup>. For fixed difficulty, the analytical expression for mean proportion correct for an unbiased decision in the interrogation paradigm, denoted by  $PcI_{EU}$ , is given by

$$PcI_{F,U} = \Phi \left[ \frac{vT + z}{s\sqrt{T}} \right], \tag{2}$$

where  $\Phi$  denotes the standard normal cumulative distribution<sup>3</sup>. In  $PcI_{F,U}$ , the I denotes "Interrogation," the F denotes "Fixed Difficulty," and the U denotes "Unbiased." For a biased decision, this expression becomes:

$$PcI_{F,B} = \beta \Phi \left[ \frac{(\nu + \nu_c) T + z}{s\sqrt{T}} \right] + (1 - \beta) \Phi \left[ \frac{(\nu - \nu_c) T - z}{s\sqrt{T}} \right].$$
(3)

where  $\beta$  denotes the proportion of stimuli that are consistent with the prior information (i.e., in the experiment below,  $\beta=0.80$ ) and  $v_c$  denotes the shift in drift rate criterion. In  $PcI_{F,B}$ , the I denotes "Interrogation," the F denotes "Fixed Difficulty," and the B denotes "Biased." Equation (3) is derived from equation (2) as follows. To incorporate a shift in starting point z toward the biased alternative, the left-hand side of equation (3) contains z (cf. equation (2)). In the right-hand side, z is replaced by -z to account for the fact that the correct answer lies at the non-biased threshold. To incorporate a shift in drift rate criterion, the left-hand side of equation (3) adds

a shift  $v_c$  to mean drift rate v. In the right-hand side, the shift  $v_c$  is subtracted from mean drift rate v.

Maxima for PcIF,B occur for:

(2) 
$$z_{max} + v_c \cdot T = \frac{s^2 \log\left(\frac{\beta}{1-\beta}\right)}{2\nu},$$
 (4)

where  $z_{max}$  denotes the value of the starting point that leads to the highest  $PcI_{F,B}$  (e.g., Edwards, 1965; Bogacz et al., 2006, for expressions without  $v_c$ : T).

The addition of  $v_c \cdot T$  to the left-hand side of equation (4) follows from inspection of both numerators in equation (3): it shows a trade-off between the starting point z and the shift in drift rate criterion  $v_c$ , such that  $\Delta z = \Delta v_c \cdot T$ , where  $\Delta$  denotes a parameter shift. Therefore, the maximum value of  $PcI_{F,B}$  does not belong to a unique set of parameters, but exists along an infinite combination of values for z and  $v_c$ . This trade-off is graphically displayed for different sets of parameter values in **Figure 4**.

**Figure 4** shows that for a drift rate  $\nu$  of 0.2 or 0.3, combined with a deadline T of 300 or 500 ms, every maximum that occurs for a particular value of z with  $\nu_c = 0$  may also be reached for different combinations of z and  $\nu_c$  (bias  $\beta$  is set to 0.8). Results for different sets of parameters look qualitatively similar.

Taken at face value, this result challenges the claim that optimal behavior in biased decision problems is *exclusively* accomplished by a shift in starting point z: the same level of accuracy may be accomplished by, for instance, setting z=0 and  $v_c=z_{max}/T$  or any other combination of values that is consistent with the parameter trade-off  $\Delta z = \Delta v_c \cdot T$ . Importantly, however, participants have to be aware of the exact moment of the deadline T to be able to utilize the trade-off between starting point and

<sup>&</sup>lt;sup>2</sup>This is commonly the case in perceptual decision tasks, such as the random dot motion task (Newsome et al., 1989), which we will be using in the experiment reported below.

<sup>&</sup>lt;sup>3</sup>Note that for an unbiased decision, z = 0.

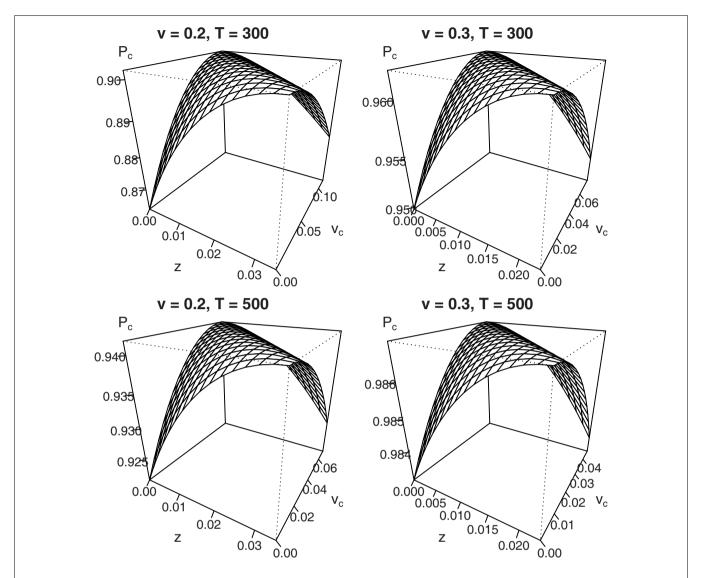


FIGURE 4 | Mean percentage correct for fixed difficulty ( $\eta = 0$ ) in the interrogation paradigm for different combinations of starting point z and shift in mean drift rate  $v_c$ . Due to the trade-off  $\Delta z = \Delta v_c \cdot T$ , no unique maximum exists.

drift rate criterion. Recent work by Gao et al. (2011) examined the type of bias people implement when the deadline T is varied across blocks of trials, so that exact knowledge of the deadline is absent. The authors used the leaky competing accumulator model (LCA), a model akin to the DDM with separate accumulators for each response alternative. Using the LCA, the authors were able to differentiate between dynamic bias (shift in the input of the biased accumulator), and two accounts of prior bias (shift in starting point of the biased accumulator and shift in response threshold of the biased accumulator). The results showed that for varying deadline T, people implement a shift in starting point for the biased accumulator. This is indicative of prior bias.

Next, we examine optimal decision making in the interrogation paradigm when participants have no *a priori* knowledge of the difficulty of the decision problem. In other words, stimulus difficulty varies across trials.

#### Variable difficulty

To obtain an expression for the mean proportion correct in the interrogation paradigm when there is across-trial variability in stimulus difficulty, it is necessary to include across-trial variability in drift rate, or  $\eta$ . The mean proportion correct for this situation, or  $PcI_{V,B}$ , is derived by multiplying equation (3) by a Gaussian distribution of drift rates with mean  $\nu$  and standard deviation  $\eta$ . The resulting expression needs to be integrated over the interval  $(-\infty, \infty)$  with respect to drift rate  $\xi$ :

$$PcI_{V,B} = \int_{-\infty}^{\infty} \left( \beta \Phi \left[ \frac{(\xi + \nu_c) T + z}{s\sqrt{T}} \right] + (1 - \beta) \Phi \right.$$

$$\times \left[ \frac{(\xi - \nu_c) T - z}{s\sqrt{T}} \right] \frac{1}{\sqrt{2\pi \eta^2}} \exp \left( -\frac{(\xi - \nu)^2}{2\eta^2} \right) d\xi,$$
(5)

which simplifies to

$$PcI_{V,B} = \beta \Phi \left[ \frac{(v + v_c) T + z}{s\sqrt{T + T^2 \eta^2 / s^2}} \right] + (1 - \beta) \Phi \left[ \frac{(v - v_c) T - z}{s\sqrt{T + T^2 \eta^2 / s^2}} \right].$$
 (6)

In  $PcI_{V,B}$ , the I denotes "Interrogation," the V denotes "Variable Difficulty," and the B denotes "Biased." The derivation can be found in the appendix.

By differentiating with respect to z in a way analogous to the derivation of equation (4) from equation (3), maxima for  $PcI_{V,B}$  occur for:

$$z_{max} + v_c \cdot T = \frac{\left(s^2 + \eta^2 T\right) \log\left(\frac{\beta}{1-\beta}\right)}{2v},\tag{7}$$

where  $z_{max}$  denotes the value of the starting point that leads to the highest  $PcI_{VB}$ .

Once again, the addition of  $v_c \cdot T$  to the left-hand side of equation (7) follows from inspection of both numerators in equation (6): it shows a trade-off between the starting point z and the shift in drift rate criterion  $v_c$ , such that  $\Delta z = \Delta v_c \cdot T$ , where  $\Delta$  denotes a parameter shift. **Figure 5** graphically displays the parameter trade-off for the same sets of parameter values that were used in **Figure 4** ( $\eta$  is set to 0.1). Results for different sets of parameters look qualitatively similar.

In sum, our derivations and figures show that in the interrogation paradigm with variable across-trial stimulus difficulty, optimal decisions may again be reached by shifting either starting point z, drift rate criterion  $v_c$ , or a combination of the two. For an Ornstein–Uhlenbeck process, the fact that both types of bias cannot be distinguished in the interrogation paradigm for both fixed and variable stimulus difficulty had already been demonstrated (Feng et al., 2009, see also Rorie et al., 2010). Contrary to the fixed difficulty situation, however, participants need to know the value

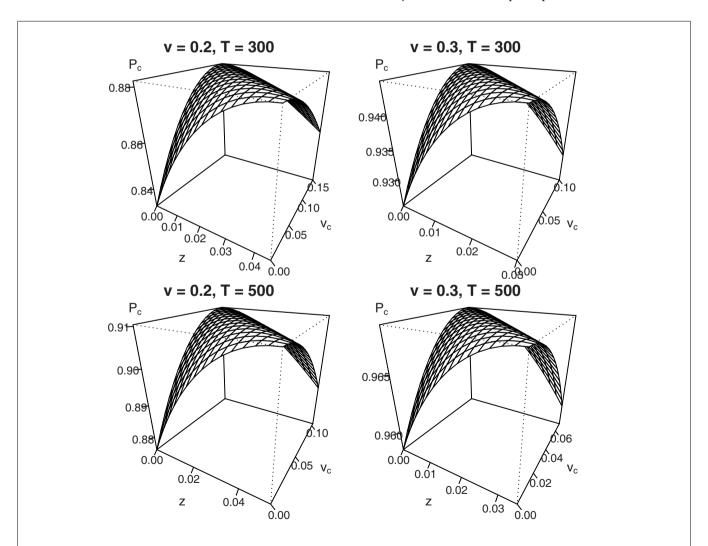


FIGURE 5 | Mean percentage correct for variable difficulty ( $\eta = 0.1$ ) in the interrogation paradigm for different combinations of starting point z and shift in mean drift rate  $\mathbf{v}_c$ . Due to the trade-off  $\Delta z = \Delta \mathbf{v}_c \cdot T$ , no unique maximum exists.

of deadline *T* to optimize performance even when just shifting starting point.

As noted in the introduction, Hanks et al. (2011) suggested that bias should manifest itself as shifts in both starting point and the drift rate criterion when stimulus difficulty varies over trials. The authors reasoned that decision makers should decide in favor of the biased alternative when the decision process is lengthy, because slow decisions are likely to be difficult decisions. However, in the interrogation paradigm, all decisions take equally long. In order to more thoroughly investigate the claim of Hanks et al. (2011), it is necessary to eliminate the deadline T and examine a different criterion of optimality: minimum MRT for a fixed percentage correct.

#### **OPTIMALITY ANALYSIS II: MINIMUM MRT FOR FIXED ACCURACY**

In this section we consider the minimum mean RT for fixed accuracy in a free response paradigm. In the free response paradigm, a response is made once the evidence accumulator hits an upper boundary a or a lower boundary 0; the unbiased starting point z is a/2. First, we discuss the situation in which across-trial stimulus difficulty is fixed.

#### Fixed difficulty

In order to find the minimum MRT for a given level of accuracy, we need expressions for both MRT and accuracy in the DDM for a biased decision. We can then calculate combinations of starting point z and drift criterion shift  $v_c$  that yield a given level of accuracy for a given set of parameter values for drift rate v, boundary separation a, and diffusion noise s. The last step is to calculate the MRT for each combination of starting point z and drift criterion shift  $v_c$ .

For fixed difficulty, the analytical expression for mean proportion correct for an unbiased decision without a deadline, denoted by  $Pc_{E,U}$ , is given by

$$Pc_{F,U} = \frac{\exp\left(\frac{2av}{s^2}\right) - \exp\left(\frac{2(a-z)v}{s^2}\right)}{\exp\left(\frac{2av}{s^2}\right) - 1},$$
(8)

(see, e.g., Wagenmakers et al., 2007, equation (2))<sup>4</sup>. In  $Pc_{F,U}$ , the F denotes "Fixed Difficulty," and the U denotes "Unbiased." Transforming equation (8) to an expression for a biased decision occurs in a similar fashion as the derivation of equation (3) from equation (2):

$$Pc_{F,B} = \beta Pc +_{F,B} + (1 - \beta) Pc -_{F,B},$$
 (9)

where

$$Pc+_{F,B} = \left[\frac{\exp\left(\frac{2a(v+v_c)}{s^2}\right) - \exp\left(\frac{2(a-z)(v+v_c)}{s^2}\right)}{\exp\left(\frac{2a(v+v_c)}{s^2}\right) - 1}\right]$$

$$Pc-_{F,B} = \left[\frac{\exp\left(\frac{2a(v-v_c)}{s^2}\right) - \exp\left(\frac{2z(v-v_c)}{s^2}\right)}{\exp\left(\frac{2a(v-v_c)}{s^2}\right) - 1}\right].$$
(10)

In  $Pc_{EB}$ , the B denotes "Biased."

To incorporate a shift in starting point z toward the biased alternative,  $Pc + _{F,B}$  contains z as in equation (8). For  $Pc - _{F,B}$ , z is replaced by a - z to account for the fact that the correct answer lies at the non-biased threshold. To incorporate a shift in drift rate criterion,  $Pc + _{F,B}$  adds a shift  $v_c$  to mean drift rate v. For  $Pc - _{F,B}$ , the shift  $v_c$  is subtracted from mean drift rate v.

Now that we have an expression for mean proportion correct for a biased decision without a response deadline, we need an expression for MRT. For an unbiased decision without deadline, MRT is given by

$$MRT_U = -\frac{z}{v} + \frac{a\left[\exp\left(-2vz/s^2\right) - 1\right]}{v\left[\exp\left(-2va/s^2\right) - 1\right]},\tag{11}$$

(e.g., Grasman et al., 2009, equation (5)). For a biased decision, this expression becomes

$$MRT_{B} = \beta \left( -\frac{z}{v + vc} + \frac{a \left[ \exp\left(-2(v + vc)z/s^{2}\right) - 1 \right]}{(v + vc) \left[ \exp\left(-2(v + vc)a/s^{2}\right) - 1 \right]} \right) + (1 - \beta) \left( -\frac{a - z}{v - vc} + \frac{a \left[ \exp\left(-2(v - vc)(a - z)/s^{2}\right) - 1 \right]}{(v - vc) \left[ \exp\left(-2(v - vc)a/s^{2}\right) - 1 \right]} \right).$$
(12)

In what follows, we will fix  $Pc_{F,B}$  to two percentages (i.e., 90 and 95%). Then, we calculate  $MRT_B$  for each combination of starting point z and drift rate criterion  $v_c$  that yields the predetermined value of  $Pc_{F,B}$  and determine which combination is optimal in the sense that it results in the lowest  $MRT_B$ .

Firstly we considered the following set of parameters: mean drift rate v = 0.2, boundary separation a = 0.12, and bias  $\beta = 0.8^5$ . The top-left panel of **Figure 6** shows that an accuracy level of 90% may be achieved by a combination of shifts for starting point z and shifts of drift rate criterion  $v_c$ . Again, both parameters exist in a trade-off relationship, such that higher values of z combined with lower values of  $v_c$  produce the same mean proportion correct.

The bottom-left panel of **Figure 6** shows MRT for each calculated combination of starting point z and drift rate criterion  $v_c$ . The x-axis shows the value for starting point z (as a proportion of boundary separation a), the associated value for drift rate criterion  $v_c$  can be found in the top-left panel. The MRT results show that the lowest value for MRT is reached when all bias is accounted for by a shift in starting point z.

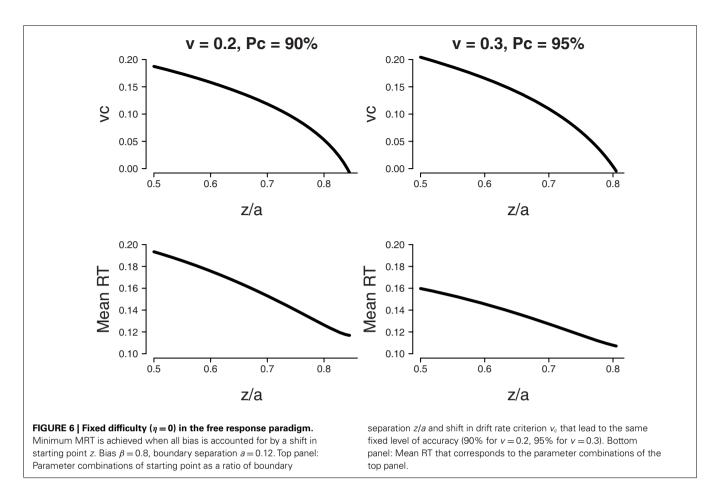
Secondly we considered a mean drift rate v = 0.3 and an accuracy level of 95%. The results, shown in the right two panels of **Figure 6**, are qualitatively similar<sup>6</sup>.

In sum, when there is no response deadline and across-trial difficulty is fixed, the optimal way to deal with bias is by shifting the starting point toward the biased response alternative, without shifting the drift rate criterion. In an empirical paper by Simen et al. (2009), it was demonstrated that human participants do indeed shift starting point toward the biased response alternative.

<sup>&</sup>lt;sup>4</sup>Note that for an unbiased decision, z = a/2.

<sup>&</sup>lt;sup>5</sup> For the remainder of this article we set non-decision time  $T_{er} = 0$ .

<sup>&</sup>lt;sup>6</sup>We explored a range of values for Bias  $\beta$ , accuracy, and drift rate. The results were always qualitatively similar: the lowest MRT was achieved when  $v_c = 0$ .



No explicit mention of shifts in drift rate criterion are made. In the next subsection, we examine optimal performance for variable stimulus difficulty across trials.

# Variable difficulty

Unfortunately, for variable difficulty there are no expressions for mean percentage correct and MRT. As such, we approximate the results for fixed difficulty by numerically obtaining combinations of starting point z and drift rate criterion  $v_c$  that yield two percentages correct (i.e., 90 and 95%). Then, we determine which combination is optimal in the sense that it results in the lowest MRT.

The top-left panel of **Figure 7** shows the set of parameter values of starting point z and drift rate shift  $v_c$  that lead to a percentage correct of 90% with a mean drift rate v = 0.2. The top-right panel shows the set of parameter values of starting point z and drift rate shift  $v_c$  that lead to a percentage correct of 95% with a mean drift rate v = 0.3. For both panels, boundary separation a = 0.12, bias  $\beta = 0.8$ , and standard deviation of drift rate  $\eta = 0.1$ . The bottom panels of **Figure 7** show corresponding values of MRT. The MRT results show that as for fixed difficulty, the lowest value for MRT is reached when all bias is accounted for by a shift in starting point z.

In sum, in paradigms with variable across-trial difficulty, but no response deadline, the optimal way to deal with bias is by shifting the starting point toward the biased response alternative, without

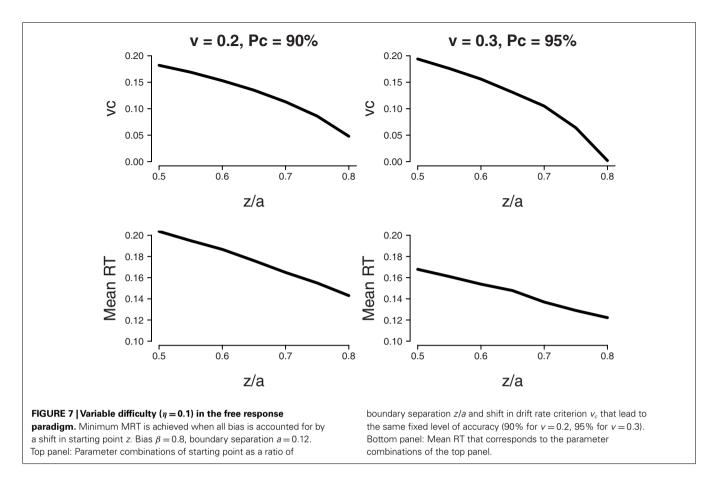
shifting the drift rate criterion. This result mirrors the result for fixed difficulty.

#### Interim conclusion

Hanks et al. (2011) claimed that for biased decisions with variable across-trial stimulus difficulty, optimal performance requires not just a shift in starting point but also a shift in drift rate criterion (i.e., dynamic bias). Our results challenge this claim: regardless of whether stimulus difficulty is fixed or variable, optimal performance can be obtained by having bias only shift the starting point, and not the drift rate criterion. In the next section, we will investigate how people perform in practice.

# **BIAS IN PRACTICE**

We have demonstrated that optimal performance in decision conditions with a biased response alternative can be achieved by shifting only the starting point criterion. However, people may not accommodate bias in an optimal manner. For instance, Hanks et al. (2011) demonstrated that in a decision environment with variable across-trial stimulus difficulty, participants accommodate advance information by dynamic bias. The authors did not, however, directly compare the performance of participants in conditions with fixed and variable across-trial stimulus difficulty. In this section, we perform such a comparison and address the question whether the inclusion of variability in stimulus difficulty alters the way in which people accommodate advance information. We



also examine if the performance of people in practice corresponds to the theoretical optimality indicated in the previous sections.

Our experiment also allows us to test a prediction from the DDM, namely that increasing the variability in across-trial stimulus difficulty results in a higher estimate of across-trial drift rate variability  $\eta$ . The experiment used a random dot motion task (Newsome et al., 1989) with advance information about the upcoming direction of movement. In a within-subjects design, each participant was administered a condition with fixed stimulus difficulty (i.e., identical coherence of movement from trial-to-trial) and a condition with variable stimulus difficulty (i.e., variable coherence).

# **PARTICIPANTS**

Eleven healthy participants (8 female), aged 19–40 years (mean 24.6) performed a random-dots motion (RDM) paradigm in exchange for course credit or a monetary reward of 28 euros. Participants were recruited through the University of Amsterdam and had normal or corrected-to-normal vision. The procedure was approved by the ethical review board at the University of Amsterdam and informed consent was obtained from each participant. According to self-report, no participant had a history of neurological, major medical, or psychiatric disorder.

### Materials

Participants performed an RT version of an RDM task. Participants were instructed to maintain fixation on a cross at the middle

of the screen and decide the direction of motion of a cloud of partially randomly moving white dots on a black background. The decision was made at any time during motion viewing with a left or right button press. The stimulus remained on screen until a choice was made. The motion stimuli were similar to those used elsewhere (e.g., Newsome and Paré, 1988; Britten et al., 1992; Gold and Shadlen, 2003; Palmer et al., 2005; Ratcliff and McKoon, 2008; Mulder et al., 2010): white dots, with a size of  $3 \times 3$  pixels, moved within a circle with diameter of 5° with a speed of 5°/s and a density of 16.7 dots/degree<sup>2</sup>/s on a black background. On the first three frames of the motion stimulus, the dots were located in random positions. For each of these frames the dots were repositioned after two subsequent frames (the dots in frame one were repositioned in frame four, the dots in frame two were repositioned in frame five, etc.). For each dot, the new location was either random or in line with the motion direction. The probability that a dot moved coherent with the motion direction is defined as coherence. For example, at a coherence of 50%, each dot had a probability of 50% to participate in the motion–stimulus, every third frame (see also Britten et al., 1992; Gold and Shadlen, 2003; Palmer et al., 2005).

Visual stimuli were generated on a personal computer (Intel Core2 Quad 2.66 GHz processor, 3 GB RAM, two graphical cards: nvidia GeForce 8400 GS and a nvidia GeForce 9500 GT, running MS Windows XP SP3) using custom software and the Psychophysics Toolbox Version 3.0.8 (Brainard, 1997) for Matlab (version 2007b, Mathworks, 1984).

# Difficulty

To get acquainted with the task, each participant performed a practice block of 40 easy trials (60% coherence). To match the difficulty level of the motion stimuli across participants, each participant performed an additional block of 400 trials of randomly interleaved stimuli with different motion strengths (resp. 0, 10, 20, 40, and 80% coherence, 80 trials each). We fitted the DDM to the mean response times and accuracy data of this block using a maximum likelihood procedure, constraining the drift rates to be proportional to the coherence settings (Palmer et al., 2005). For each participant, the motion strength at 75% accuracy was then interpolated from the psychometric curve (predicted by the proportional-rate diffusion model) and used in the experimental blocks with fixed coherence across trials. For blocks with mixed coherences, we randomly sampled performance levels from a uniform distribution (range: 51-99), and interpolated for each randomly chosen performance level the associated coherence from the psychometric curve.

#### Design

Each participant performed four sessions of the RDM task. In each session, participants performed six blocks of 100 trials: three blocks with the coherence fixed across trials, and three blocks with coherence varied across trials. For each condition (fixed and variable coherence) there were two biased and one neutral block. Prior information was given at the start of each experimental block. In the first experimental block, participants were told that there was a larger probability that the dots will move to the left (left-bias). In the second block, participants were told that there was an equal probability that the dots will move to the left or to the right (neutral). In a third block, the instructions indicated that there was a larger probability that the dots will move to the right (right-bias). For the biased blocks, prior information was consistent with the stimulus direction in 80% of the trials. The sequence of conditions was counterbalanced across participants.

# Analyses

In order to quantify bias in the starting point and the drift rate criterion, we fit the diffusion model to the data with the Diffusion Model Analysis Toolbox (DMAT, Vandekerckhove and Tuerlinckx, 2007). We estimated the following parameters: mean drift rate  $\nu$ , boundary separation a, non-decision time  $T_{er}$ , starting point z, standard deviation of drift rate  $\eta$ , range of starting point  $s_z$ , and range of non-decision time  $s_t$ .

For both the fixed difficulty condition and the variable difficulty condition we estimated a mean drift rate  $\nu$  for consistent, neutral, and inconsistent stimuli. This resulted in six different estimates for mean drift rate  $\nu$ . In addition, for both the fixed difficulty condition and the variable difficulty condition we estimated a starting point z for left-biased, neutral, and right-biased stimuli. This resulted in six different estimates for starting point z. Furthermore, for the fixed difficulty condition and the variable difficulty condition we estimated a boundary separation a and a standard deviation of drift rate  $\eta$ , resulting in two different estimates for these parameter. Finally, we constrained non-decision time  $T_{e\tau}$ , range of starting point  $s_z$ , and range of non-decision

time  $s_t$  to be equal over stimulus type, conditions, and sessions, resulting in a single estimate for each of those parameters.

Starting point bias was calculated as half of the difference between the starting point z for left-biased stimuli and the starting point z for right-biased stimuli, scaled by boundary separation a. The maximum bias in starting point was therefore 50%. Drift rate criterion bias was calculated as half of the difference between mean drift rate v for consistent stimuli and mean drift rate v for inconsistent stimuli, scaled by the sum of mean drift rate v for consistent and inconsistent stimuli. The maximum bias in drift rate criterion was therefore 50% as well<sup>7</sup>.

### RESULTS

For the presented analyses, we report Bayesian posterior probabilities in addition to conventional p-values. When we assume, for fairness, that the null-hypothesis and the alternative hypothesis are equally plausible a priori, a default Bayesian t-test (Rouder et al., 2009) allows one to determine the posterior plausibility of the null-hypothesis and the alternative hypothesis. We denote the posterior probability for the null-hypothesis as  $p_{H0}^{Bayes}$ . When, for example,  $p_{H0}^{Bayes} = 0.9$ , this means that the plausibility for the null-hypothesis has increased from 0.5 to 0.9. Posterior probabilities avoid the problems that plague p-values, allow one to directly quantify evidence in favor of the null-hypothesis, and arguably relate more closely to what researchers want to know (e.g., Wagenmakers, 2007).

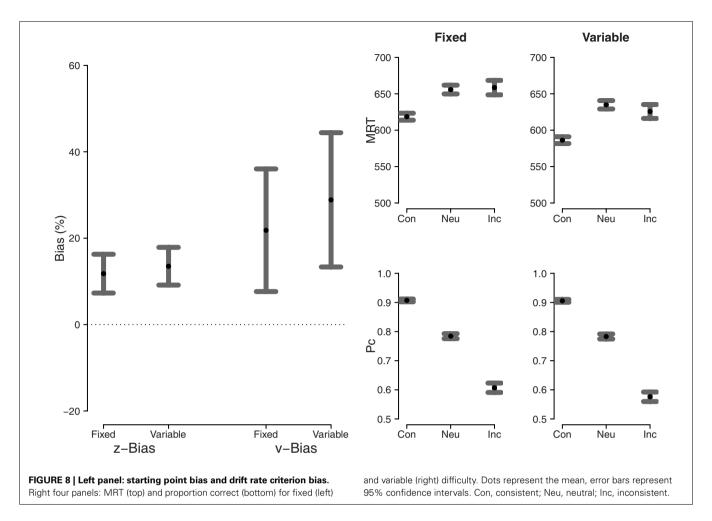
In order to assess whether our manipulation of across-trial parameter difficulty was successful, we compared the estimate of across-trial drift rate variability  $\eta$  between the fixed and variable difficulty conditions. The results show that across-trial drift rate variability  $\eta$  was larger in the variable difficulty condition (mean: 0.23) then in the fixed difficulty condition (mean: 0.14; t(20) = 2.25, p < 0.05,  $p_{H0}^{Bayes} = 0.34$ ), suggesting that the across-trial difficulty manipulation was successful<sup>8</sup>.

The left panel of **Figure 8** shows both starting point bias and drift rate criterion bias for the fixed difficulty condition and the variable difficulty condition. In the fixed difficulty condition, both the bias in starting point and the bias in drift rate are larger than zero  $(t(10) = 5.85, p < 0.01, p_{H0}^{Bayes} < 0.01,$  and t(10) = 3.43,  $p < 0.01, p_{H0}^{Bayes} = 0.10,$  respectively). In the variable difficulty condition, both the bias in starting point and the bias in drift rate also are larger than zero  $(t(10) = 6.89, p < 0.01, p_{H0}^{Bayes} < 0.01,$  and  $t(10) = 4.14, p < 0.01, p_{H0}^{Bayes} = 0.04,$  respectively). The right panel of **Figure 8** shows MRT and proportion correct for consistent, neutral, and inconsistent stimuli for the fixed and variable difficulty conditions.

Since both types of bias are measured on different scales, they cannot be compared directly. The important claim by Hanks et al. (2011) is that bias in the drift rate criterion is larger in the variable difficulty condition than in the fixed difficulty condition. In order to assess this claim we compared both types of bias directly

<sup>&</sup>lt;sup>7</sup>In theory, negative drift rates could lead to a larger bias. However, none of our participants had negative drift rates for any of the stimulus types.

<sup>&</sup>lt;sup>8</sup>Note that the alternative hypothesis is roughly twice as likely as the null-hypothesis according to  $p_{H0}^{Bayes}$ .



between the two conditions. Consistent with the visual impression from the left panel of **Figure 8**, the statistical analysis reveal no differences for starting point bias and drift rate criterion bias  $(t(20) = 0.61, p > 0.05, p_{H0}^{Bayes} = 0.74, \text{ and } t(20) = 0.75, p > 0.05, p_{H0}^{Bayes} = 0.73, \text{ respectively}).$ 

In sum, both in the fixed difficulty condition and in the variable difficulty condition, participants exhibit bias in starting point and drift rate criterion. The results suggest there is no difference between the two conditions in the amount of each type of bias, challenging the claim by Hanks et al. (2011) that bias in the drift rate criterion should be more pronounced when stimulus difficulty is variable than when it is fixed.

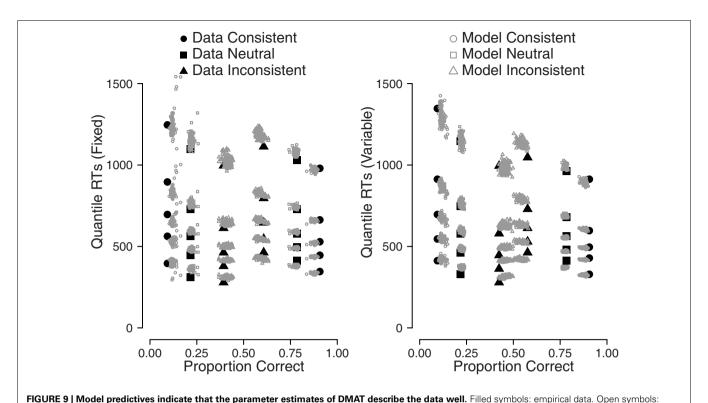
# **MODEL PREDICTIVES**

In cognitive modeling, model fit can be assessed by means of model predictives. Model predictives are simulated data generated from the cognitive model, based on the parameter estimates for the real data. If the generated data closely resemble the real empirical data, then the model fit is deemed adequate (e.g., Gelman and Hill, 2007).

For this experiment, we drew 100 samples from the real data set and generated diffusion model parameter estimates for each participant and each condition separately using a bootstrap procedure. For each of these samples, we generated synthetic data, for which we calculated the 0.1, 0.3, 0.5, 0.7, and 0.9 RT quantiles for both the real data set and the synthetic data set. The real and synthetic RT quantiles are shown in **Figure 9**.

Figure 9 shows a quantile probability plot (e.g., Ratcliff, 2002), where the left-hand side represents error RTs for the five quantiles, and the right-hand side represents correct RTs for those same quantiles. The circles represent stimuli that were consistent with the biased response direction, the squares represent stimuli that were inconsistent with the biased response direction. The filled symbols in the figure show the empirical data, the open symbols show the simulated data that were generated using the DMAT parameter estimates.

For response accuracy, the correspondence between the empirical data and the synthetic data can be judged by the horizontal disparity between the data points and the model points. **Figure 9** shows that the diffusion model captures the error rate reasonably well for most of the stimulus types, as indicated by the horizontal disparity between the filled and open symbols. The diffusion model does capture the RTs well, as can be judged from the vertical disparity between the filled and open symbols. The quantile probability plot nicely shows how correct responses are fastest for consistent stimuli and slowest for inconsistent stimuli, whereas error responses are fastest for inconsistent stimuli and slowest for



bootstrapped synthetic data, based on the model parameter estimates.

consistent stimuli, which is consistent with prior bias (see, e.g., Mulder et al., 2012).

# **CONCLUSION**

It is not straightforward to perform optimally in response time tasks in which one response option is more likely than the other. When stimulus difficulty is fixed across trials, Edwards (1965) has demonstrated that optimal performance requires advance information to be accommodated solely by a shift in starting point. Recently, Hanks et al. (2011) claimed that when stimulus difficulty varies from trial-to-trial, optimal performance also requires a shift in drift rate criterion (i.e., dynamic bias).

The contribution of this paper is twofold. Firstly, we demonstrated that in theory, optimal performance can be achieved by a shift in starting point only. This result holds regardless of whether stimulus difficulty is fixed or variable from trial-to-trial. Secondly, we presented empirical data showing that people accommodate bias similarly for conditions of fixed and variable across-trial difficulty. Specifically, decision makers incorporate both prior and dynamic bias, and no evidence suggested that the presence of variability in stimulus difficulty made participants rely more on shifts in the drift rate criterion.

In the theoretical part, we first considered the interrogation paradigm. We demonstrated that optimal performance can be achieved by entertaining a bias in starting point, by entertaining a bias in the drift rate criterion, or by a combination of the two. There was no qualitative difference between conditions of fixed and variable across-trial stimulus difficulty. It could be argued that the theoretical results of the interrogation paradigm are unlikely to apply in practice. Specifically, participants need to know the exact moment of the deadline T to be able to utilize the trade-off between starting point and drift rate criterion. Also, the argument of Hanks et al. (2011) depends on the time course of the decision process: long decisions are most likely difficult decisions, so the longer a decision takes, the more adaptive it becomes to select the biased response alternative. In the interrogation paradigm, however, each decision process takes an identical amount of time.

Another complication when interpreting results for the interrogation paradigm is that for variable difficulty, the optimal setting of the starting point depends on the time deadline (see equation (7)). Because the decision maker does not know the exact value of the time deadline, a more realistic expression should integrate over some unknown distribution of time deadlines that describes the uncertainty in T on the part of the decision maker.

In a task setting without response deadline, our results show that optimal performance is achieved by shifting only the starting point; additionally shifting the drift rate criterion only serves to deteriorate performance. Crucially, we found that this result is true for both fixed and variable across-trial stimulus difficulty. As such, our results conflict with the claim by Hanks et al. (2011) that optimal decision makers should entertain a shift in drift rate criterion to accommodate bias under conditions of variable stimulus difficulty.

In the empirical part, we conducted an experiment in which we manipulated across-trial stimulus difficulty in order to investigate

if performance of decision makers is optimal. We also wanted to test whether decision makers accommodate bias differently in decision environments with fixed and variable across-trial stimulus difficulty. We successfully manipulated across-trial variability in difficulty, as evidenced by a higher value of across-trial drift rate variability  $\eta$  for variable across-trial difficulty than for fixed-trial difficulty. Our results showed that performance of participants was not optimal: decision makers implemented both a shift in starting point and a shift in drift rate criterion in order to deal with bias in prior information about the decision alternatives. Contrary to the theory of Hanks et al. (2011), there was no difference in the implementation of dynamic bias between conditions of fixed and variable across-trial stimulus difficulty.

In sum, we conclude that dynamic bias is not needed for optimal performance, not when stimulus difficulty is fixed and not when it is variable. From the perspective of optimality, advance

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information should affect only prior bias (i.e., starting point), such that the evidence threshold is lowered for the choice alternative that is most likely to be correct *a priori*. In practice it appears that people use both prior bias and dynamic bias; our experiment suggests that increasing the variability of stimulus difficulty does not cause participants to accommodate advance information preferentially by shifting the drift rate criterion.

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#### **APPFNDIX**

# **DERIVATION OF EQUATION (6)**

To understand the derivation of equation (6) from equation (5), let us focus on the first part  $\Phi\left[\frac{(\xi+\nu_c)T+z}{s\sqrt{T}}\right]$  (the treatment of the second part is analogous) and write it as  $\Phi[A\xi+B]$ , with  $A=\frac{\sqrt{T}}{s}$  and  $B=\frac{\nu_cT+z}{s\sqrt{T}}$ . We then have the following integral I:

$$I = \int_{-\infty}^{+\infty} \Phi \left[ A\xi + B \right] \phi \left( \xi; \nu, \eta^2 \right) d\xi,$$

where  $\phi(\xi; \nu, \eta^2)$  stands for the normal density function for  $\xi$  with mean  $\nu$  and variance  $\eta^2$ . We can continue as follows:

$$\begin{split} I &= \int_{-\infty}^{+\infty} \Phi\left[A\xi + B\right] \phi\left(\xi; v, \eta^2\right) d\xi \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{A\xi + B} \phi\left(x; 0, 1\right) dx \phi\left(\xi; v, \eta^2\right) d\xi \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{B} \phi\left(x; -A\xi, 1\right) \phi\left(\xi; v, \eta^2\right) dx d\xi \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{B/A} \phi\left(x; -\xi, 1/A^2\right) \phi\left(\xi; v, \eta^2\right) dx d\xi \\ &= \int_{-\infty}^{B/A} \int_{-\infty}^{+\infty} \phi\left(x; -\xi, 1/A^2\right) \phi\left(\xi; v, \eta^2\right) d\xi dx. \end{split}$$

The inner integral is a known integral in Bayesian statistics (see, e.g., Gelman et al., 2004). The kernel of the product of the two normal distributions contains an exponent with quadratic terms in  $\xi$  and x (Gelman et al., 2004). Thus,  $\xi$  and x have a bivariate normal distribution and thus marginalizing over  $\xi$  results in a normal distribution for x.

Using the double expectation theorem (see Gelman et al., 2004), we can find the marginal mean of x:

$$E(x) = E[E(x|\xi)]$$

$$= E[-\xi]$$

$$= -\nu.$$

Applying a similar theorem for the marginal variance of x gives (Gelman et al., 2004):

$$Var(x) = E [Var(x|\xi)] + Var [E(x|\xi)]$$
$$= E [1/A^2] + Var(-\xi)$$
$$= 1/A^2 + \eta^2.$$

Therefore, we can simplify the inner integral to  $\phi(x; -\nu, 1/A^2 + \eta^2)$ :

$$I = \int_{-\infty}^{B/A} \phi \left( x; -v, 1/A^2 + \eta^2 \right) dx$$

$$= \int_{-\infty}^{\frac{B/A + v}{\sqrt{1/A^2 + \eta^2}}} \phi \left( x; 0, 1 \right) dx$$

$$= \Phi \left[ \frac{B/A + v}{\sqrt{1/A^2 + \eta^2}} \right]$$

$$= \Phi \left[ \frac{\frac{v_c T + z}{T} + v}{\sqrt{s^2/T + \eta^2}} \right]$$

$$= \Phi \left[ \frac{(v_c + v) T + z}{s\sqrt{T + T^2\eta^2/s^2}} \right],$$

which is, after multiplication with  $\beta$ , equal to the first term of equation (6). The second part can be found in a similar way.