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SPECIALTY SECTION

This article was submitted to Sustainable Food Processing, a section of the journal Frontiers in Sustainable Food Systems

RECEIVED 05 September 2022 ACCEPTED 12 October 2022 PUBLISHED 26 October 2022

#### CITATION

Wang Y, Yang J, Yu S, Fu H, He S, Yang B, Nan T, Yuan Y and Huang L (2022) Prediction of chemical indicators for quality of *Zanthoxylum* spices from multi-regions using hyperspectral imaging combined with chemometrics.

Front. Sustain. Food Syst. 6:1036892. doi: 10.3389/fsufs.2022.1036892

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# Prediction of chemical indicators for quality of *Zanthoxylum* spices from multi-regions using hyperspectral imaging combined with chemometrics

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Fruits of Zanthoxylum bungeanum Maxim (Red "Huajiao," RHJ) and Z. schinifolium Sieb. et Zucc. (Green "Huajiao," GHJ) are famous spices around the world. Antioxidant capability (AOC), total alkylamides content (TALC) and volatile oil content (VOC) in HJ are three important quality indicators and lack rapid and effective methods for detection. Non-destructive, time-saving, and effective technology of hyperspectral imaging (HSI) combined with chemometrics was adopted to improve the indicators prediction in this study. Results showed that the three chemical indexes exhibited significant differences between different regions and varieties (P < 0.05). Specifically, the mass percentages of TALC were 11-22% in RHJ group and 21-36% in GHJ group. The mass percentages of VOC content were 23-31% and 16-24% in RHJ and GHJ groups, respectively. More importantly, these indicators could be well predicted based on the full or effective HSI wavelengths via model adaptive space shrinkage (MASS) and iteratively variable subset optimization (IVSO) selections combined with wavelet transform (WT) method for noise reduction. The best prediction results of AOC, TALC, and VOC indicators were achieved with the highest residual predictive deviation (RPD) values of 7.43, 7.82, and 3.73 for RHJ, respectively, and 6.82, 2.66, and 4.64 for GHJ, respectively. The above results highlight the great potential of HSI assisted with chemometrics in the rapid and effective prediction of chemical indicators of Zanthoxylum spices.

#### KEYWORDS

Zanthoxylum spices, antioxidant, alkylamide, volatile oil, hyperspectral imaging, chemometric

### Introduction

"Red Huajiao" (RHJ) and "Green Huajiao" (GHJ) are the fruits of Zanthoxylum bungeanum Maxim and Z. schinifolium Sieb. et Zucc., respectively, and have been widely adopted as famous spices (Yang, 2008). HJ is mainly distributed in east Asian countries, such as Japan, Korea, and China, and more than 70% consumption relies on exports from China (Zhang et al., 2019a). In Chinese food culture, HJ fruits are used in many famous dishes, including spicy hot chafing dish, barbecue, instant noodles, etc. (Sun et al., 2020a). RHJ fruits are usually used for increasing aromatic taste, while GHJ fruits are mainly applied for enhancing numbing taste as a very special seasoning (Ni et al., 2022). The tingling and numbing taste of alkylamides and the fragrance of volatile oil are the unique and attractive features of fruits and oil of Zanthoxylum (Yang, 2008; Jing et al., 2021). Moreover, previous reports have demonstrated that HJ fruits present antioxidant activity (Ma et al., 2019) because of abundant bioactive compounds, such as flavonoids (Jing et al., 2021), phenolics (Ma et al., 2019), and alkaloids (Sun et al., 2020b).

For HJ fruits, volatile oil content (VOC), total alkylamides content (TALC), as well as the antioxidant capability (AOC) are the important quality indicators, and it is of great significance to accurately and efficiently evaluate those indexes. However, all those indexes were often evaluated with conventional methods with destructive, time-consuming, and high-cost characteristics. The examples are ultra-performance liquid chromatography-mass spectrometry/mass spectrometry (UPLC-MS/MS) detection of TALC (Zhang et al., 2019a), gas chromatography-mass spectrometry (GC-MS) evaluation of VOC (Yang, 2008), as well as the analysis of AOC using series of relatively complex biochemical methods (Jing et al., 2021). In view of this, it is very desirable to develop non-destructive, inexpensive, and rapid methods for quality evaluation of HJ spices.

At present, the rapid detection of food products has become a hot research topic for its environmental friendliness, low cost, and uncomplicated procedures. Rapid detection based on the spectral information has been widely used in food quality detection and adulteration prevention, such as terahertz spectroscopy (Liu et al., 2018), Raman spectroscopy (Magdas et al., 2019), fluorescence spectroscopy (Sádecká and Jakubíková, 2020), near-infrared hyperspectral imaging (NIR-HSI) (Ouyang et al., 2021), and nuclear magnetic resonance (NMR) spectroscopy (Godelmann et al., 2013). Among the rapid methods for quality and safety analyses of foods, near-infrared hyperspectral imaging (NIR-HSI) has become increasingly popular due to the high-throughput, non-destructive, and effective characteristics (Gowen et al., 2007; Caporaso et al., 2018). HSI can be applied to the initial samples without further sample preparation, such as grinding, extraction or purification (Pizarro et al., 2004), and can yield both spatial and spectral information from many samples in one batch (Hu et al., 2021).

In HSI research, chemometrics including data noise reduction and prediction regression are usually used. Different noise reduction methods have their own advantages (He et al., 2018; Feng et al., 2019; Wang et al., 2021b). For example, the spectral derivatization noises are suitable for elimination by second derivative (SEC) method, and multiplicative signal correction (MSC) method is commonly used for removing the undesirable scatter effect caused by uneven sample sizes and morphology (Feng et al., 2019). Notably, wavelet transform (WT) method is more suitable for reducing various noises possibly from the instrument or samples (Zhang et al., 2019b). Considering the uncertainty of spectral data in prediction regression, nonlinear or linear models like back-propagation neural network (BPNN) and random forest (RF) as well as the linear model (partial least squares regression, PLSR) are often used and compared in prediction of chemical contents (Gao et al., 2018; Zhang et al., 2019b).

Combined with chemometrics, HSI technology is widely used in chemical quality evaluation for various agricultural products. The method has been applied for oil content of maize (Zhang et al., 2022), total lipid content in coffee beans (Caporaso et al., 2018), phenolics and flavonoids contents in black goji berries (Zhang et al., 2020), as well as caffeine, tea polyphenols, and free amino acids contents in matcha (Ouyang et al., 2021). Selecting effective HSI wavelengths is a vital step to reduce the dimensionality of raw data, thereby eliminating irrelevant variables and improving efficiency. The suitable methods for selecting HSI wavelengths can be equally or more efficient in model prediction when compared with the full wavelengths group (Xie et al., 2014). However, the application potential of HSI assisted with chemometrics for prediction of chemical indicators for quality of Zanthoxylum spices is still underexplored.

This research proposes a method of rapidly and nondestructively predicting chemical quality of HJ spice by using HSI technology combined with chemometrics. The main research goals are as follows: (1) To clarify the differences of quality indicators (VOC, TALC, and AOC) in two varieties of HJ samples cultivated in different regions in China; (2) To compare the prediction effects of different noise reduction methods and regression models based on the hyperspectral dataset for predicting indicator contents; and (3) To evaluate the different effects of selection methods for important wavelengths, thus providing an optimized selection for dealing with highdimension datasets.

### Materials and methods

#### HJ fruits collection and preparation

Fresh and mature HJ fruits with uniform size and color were harvested from China covering all the main production regions, in August, 2020. RHJ was collected from seven provinces total ten regions (500 subsamples in total), and GHJ was collected

Region	Туре	Detection index											
			AO	С		TALC (r	ng/g)	VOC (mg/g)					
		Max	Min	Mean $\pm$ sd	Max	Min	Mean $\pm$ sd	Max	Min	Mean $\pm$ sd			
GSLN	RHJ	1.57	1.35	$1.45\pm0.068^{\rm j}$	235	200	$221\pm2.9^{a}$	272	239	$260\pm8.8^{\rm d}$			
GSQA	RHJ	5.47	5.30	$5.38\pm0.046^{\rm f}$	207	190	$202\pm3.4^{bc}$	277	216	$257\pm15.0^{\rm d}$			
HBSC	RHJ	6.34	6.20	$6.28\pm0.043^{b}$	196	168	$186\pm5.4^{\rm d}$	267	212	$245\pm12.0^{\text{e}}$			
SCHY	RHJ	5.35	5.20	$5.28\pm0.043^{\text{g}}$	193	175	$185\pm4.7^{\rm d}$	308	269	$281\pm7.5^{c}$			
SCMC	RHJ	6.20	6.02	$6.10\pm0.052^{\rm d}$	193	169	$184\pm5.3^{\rm d}$	312	264	$280\pm8.5^{c}$			
SDZZ	RHJ	6.30	6.20	$6.25\pm0.029^{c}$	196	177	$187\pm4.4^{\rm d}$	349	265	$306\pm25.6^{\text{b}}$			
SXHC	RHJ	5.10	4.90	$5.00\pm0.048^{\rm h}$	209	191	$200\pm3.6^{c}$	257	221	$234\pm10.0^{\rm f}$			
SXRC	RHJ	6.44	6.20	$6.35\pm0.076^a$	115	102	$109\pm3.5^{\rm e}$	258	224	$237\pm11.2^{\rm f}$			
SXYC	RHJ	5.85	5.70	$5.77\pm0.047^{e}$	210	184	$199\pm4.7^{\rm c}$	259	223	$231\pm6.0^{\rm f}$			
YNKM	RHJ	3.88	3.64	$3.78\pm0.071^{\rm i}$	214	181	$205\pm6.7^{\text{b}}$	350	265	$313\pm26.5^{a}$			
P < 0.05				0.000			0.000			0.000			
CQJJ	GHJ	3.94	3.50	$3.76\pm0.126^{\rm h}$	388	271	$337\pm26.8^{b}$	249	206	$224\pm14.9^{\text{b}}$			
GZGL	GHJ	6.05	5.95	$6.00\pm0.030^a$	274	170	$224\pm23.3^{e}$	212	142	$161\pm28.3^{\text{e}}$			
GZZF	GHJ	5.84	5.70	$5.77\pm0.043^{c}$	256	185	$228\pm16.6^{e}$	196	135	$164\pm20.0^{\text{e}}$			
SCJY	GHJ	5.59	5.40	$5.49\pm0.058^{e}$	405	311	$360\pm21.3^a$	260	183	$227\pm26.3^{\text{b}}$			
SCLC	GHJ	5.70	5.60	$5.65\pm0.028^{\text{d}}$	378	264	$329\pm27.8^{\text{b}}$	218	162	$204\pm12.5^{\rm c}$			
SCPC	GHJ	6.00	5.85	$5.92\pm0.040^{b}$	247	154	$209\pm20.7^{\rm f}$	205	161	$191\pm10.1^{\rm d}$			
SCPX	GHJ	4.70	4.50	$4.60\pm0.062^{\text{g}}$	366	261	$312\pm27.9^{\rm c}$	251	224	$238\pm7.1^a$			
YNYS	GHJ	5.10	4.95	$5.03\pm0.041^{\rm f}$	289	179	$244\pm26.6^{\rm d}$	250	189	$227\pm14.7^{\rm b}$			
P < 0.05				0.000			0.000			0.000			

TABLE 1 Differences of chemical indicators of HJ from different regions.

Production regions of *Zanthoxylum bungeanum* Maxim namely "Red Huajiao" (RHJ) including Longnan City, Gansu Province (GSLN); Qin'an County, Gansu Province (GSQA); She County, Hebei Province (HBSC); Hanyuan County, Sichuan Province (SCHY); Mao County, Sichuan Province (SCMC); Zaozhuang City, Shandong Province (SDZZ); Hancheng City, Shaanxi Province (SXHC); Ruicheng County, Shanxi Province (SXRC); Yangcheng County, Shanxi Province (SXYC); Kunming City, Yunnan Province (YNKM). Production regions of *Z schinifolium* Sieb. et Zucc. namely "Green Huajiao" (GHJ) including Jiangjin District, Chongqing City (CQJJ); Guanling Buyei and Miao Autonomous County, Guizhou Province (GZGL); Zhenfeng County, Guizhou Province (GZZF); Jinyang County, Sichuan Province (SCJY); Lu County, Sichuan Province (SCLC); Pingchang County, Sichuan Province (SCPC); Pengxi County, Sichuan Province (SCPX); Yongshan County, Yunnan Province (YNYS). Detection chemical indexes including antioxidant capacity (AOC), total alkylamides content (TALC), and volatile oil content (VOC). Max, maximum value; Mean  $\pm$  sd, average value combined with standard deviation; Lowercase letters a, b, c, etc. indicate a significant difference at level of P < 0.05, the same below.

from four provinces, total eight regions (400 subsamples in total) (Table 1). In one production region containing ten collection plots, fresh sample of 500 g was treated as one subsample, and five subsamples were collected from one production plot. In total, 50 subsamples from one region were transported to Beijing and dried in the same natural environment. The dried HJ particle of 10 g from one subsample was adopted for HSI analysis, and from each region, 50 parallel HSI data were obtained for further model regression. After data collection, all those corresponding subsamples were ground into 50-mesh powder for further biochemical analysis as a means to assess the prediction effects.

#### HSI system and data acquisition

Visible and short-wave/long-wave near infrared hyperspectral imaging spectrometer (HySpex VNIR-1800/HySpex SWIR 384, Norsk Elektro Optikk, Oslo, Norway) was applied to collect HSI data. The main components include two lenses of visible near infrared (VNIR, 350 to 990 nm) and short-wave/long-wave near infrared (SWIR) (900 to 2,550 nm), two tungsten halogen lamps (150 W/12 V), one conveyor belt, and a computer for data acquisition.

Before data acquisition, the instrument was preheated for 30 min, and then 10 g HJ samples were neatly tiled on the conveyor belt through 96 well plates with the outer surface facing the lens to obtain uniform spectral images. To avoid adverse influence of the noise fluctuation at both ends of the wavelengths, bands from 410 to 950 nm and from 950 to 2,500 nm were selected as the effective intervals, including 396 bands with spectral resolution of approximately 5 nm for data collection. The exposure time of VNIR and SWIR was set as 0.0035 s and 0.0045 s, respectively. Two tungsten halogen lamps were used to provide stable light with the incident angle of  $45^{\circ}$ . The HJ sample was 30 cm away from the lens, and the speed of the conveyor belt was set at 2.5 mm/s to collect spectral information.

Original hyperspectral imaging data were corrected *via* black-and-white plate correction, and the correction formula is shown in Eq. (1):

$$R_c = \frac{\left(R_o - R_b\right)}{\left(R_w - R_b\right)}$$

where  $R_c$  is the corrected hyperspectral image,  $R_o$  is the original hyperspectral image,  $R_w$  is the white reference image (with 99% reflectivity), and  $R_b$  is the black reference image by blocking the camera lens cover. Finally, each subsample (10 g) of HJ fruits was treated as one region of interests (ROI), and data was extracted using ENVI 5.3 software (Research Systems Inc., Boulder, CO, USA), and totally 50 HSI data from one production region (ten plots) was collected.

### Measurement of antioxidant capacity, total alkylamides content, and volatile oil content in HJ fruits using reference methods

#### Detection of antioxidant capability

According ABTS [2,2'-azino-bis to the (3ethylbenzthiazoline-6-sulfonic acid)] method described in the former report (Hua et al., 2018), total antioxidant capacity assay kit (S0121, Beyotime, Shanghai, China) was used to determine the AOC of HJ samples. The characteristic absorbance at 414 nm was recorded with a microplate spectrophotometer Multiskan SkyHigh-1510 (Thermo fisher, MA, US). The AOC of Trolox (99% in purity, S0121, Beyotime, Shanghai, China) solution, one of analogs of Vitamin E, at 1.0 mM was considered as 1, and the AOC of samples was calculated based on the standard curve with  $R^2$  value equal to 0.9993 (y = 1.4877x+0.212) constructed by the Trolox solutions at 1.5, 1.2, 0.9, 0.6, 0.3, 0.15, and 0.075 mM.

$$AOC = \frac{M}{N^*10}$$

*M*: Absorbance value of Trolox at 1.0 mM *N*: Absorbance value of HJ sample at 0.1 mM.

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#### Measurement of total alkylamides content

The TALC was assessed by a microplate spectrophotometer Multiskan SkyHigh-1510 (Thermo fisher, MA, US) at 266 nm (Tao et al., 2017). Solutions of 98% in purity hydroxy- $\beta$ -sanshool (97465-69-5, Bethealth People Bionedical Technology Co., Ltd., Beijing, China) as standard alkylamide at 0.5, 0.4, 0.3, 0.2, and 0. 1 mg/ml were prepared to construct a standard curve with R<sup>2</sup> value equal to 0.9996 (y = 0.8237x+0.0039). The TALC was calculated as follows [Eq. (3)]:

$$\left(\frac{mg}{g}\right) = \frac{Y}{W}$$

*Y*: Alkylamides concentration (mg/ml) *W*: Weight of sample (g).

#### Evaluation of volatile oil content

According to the soxhlet extraction method (Yang, 2008), the essential oil yield was obtained after weighing the balance, and the mass ratio was calculated according to the formula [Eq. (4)]:

$$\left(\frac{mg}{g}\right) = \frac{Y}{W}$$

*Y*: Volatile oil yield (mg) *W*: Weight of HJ sample (g).

### Statistical and chemometric analysis

# Statistical analysis of chemical indicators of two HJ fruits from different regions

SPSS 22.0 software (IBM Inc., Chicago, USA) was applied for significant differences (P < 0.05) analysis of three indicators with one-way analysis of variance (ANOVA) using Duncan's multiple comparison method. All data in comparison were expressed as mean  $\pm$  standard deviation of three replicates.

# Three noise-reducing methods for spectral pre-processing

Three methods were adopted for eliminating random noises during data collection, including multiplicative signal correction (MSC), second derivative (SEC), and wavelet transform (WT) with wavelet function Daubechies 8 and decomposition level 3 (Zhang et al., 2019b). MSC method is usually used to remove the undesirable scatter effect from the sample itself, such as uneven sample sizes (Feng et al., 2019). SEC method is mainly to eliminate spectral noise caused by instrument itself, such as baseline-offset and light scattering (Wang et al., 2021b). WT method is primarily used to reduce the random noises (many-sided noises) due to the influence of optical path variation, uneven sample size, and difference in color from sample surface (He et al., 2018).

# Three regression models for prediction of chemical indicators

Three regression models, including back-propagation neural network (BPNN), partial least squares regression (PLSR), and random forest (RF), were used for chemical indicators prediction. BPNN model is composed of three parts: an input layer, hidden layers, and an output layer. In BPNN model, the node number of hidden layers, the momentum factor, the initial weight, the learning step, the maximum training iteration, and the minimum error were set to 10, 0.3, 0.95, 0.1, 100, and 0.001,

respectively. During analysis, the desired learning result can be achieved by the feedback of the learning results to the hidden layers as well as the selection of the suitable weight coefficient matrix (Jia et al., 2021).

PLSR regression is mainly applied in the linear regression. The latent variables of PLSR model can increase the accuracy of prediction by considering the covariance between independent variables and target variables. In this analysis, the leave-one-out method was used for cross validation, and the optimal number of latent variables ranging from 6 to 8 was determined in the different model groups by the minimum root mean square error (RMSE) value in the cross validation set (Wang et al., 2021a).

RF model is an ensemble regression method widely used in chemicals content prediction. RF model introduces two random factors, including the number of decision tree (*n tree*) and the variable selection number (*mtry*) for improving prediction accuracy and avoiding overfitting. In this analysis, the number of 500 is suitable for *n tree*, and *mtry* is one third of the total number of predictors (p/3). There were six input variables for prediction, and it turned out that mtry = 2 was suitable for balancing the accuracy and the efficiency in our analysis (Liu et al., 2020; Jia et al., 2021).

The regression model performance was assessed by the following statistical indices: the determination coefficient of the calibration set  $(R_c^2)$  and the prediction set  $(R_p^2)$ , the root mean square error of the calibration set (RMSEC) and the prediction set (RMSEP), and relative percent deviation (RPD) of the prediction set (Liu et al., 2022).  $R^2$  values from 0.60 to 0.80 and RPD values between 2.0 and 2.50 indicate that the model can be used for prediction.  $R^2$  values between 0.81 and 0.90 and RPD values ranging from 2.51 to 3.0 indicate that the model has a good prediction performance. While  $R^2$  values above 0.90 and RPD values larger than 3.0 indicate that the model has excellent prediction ability (Huang et al., 2021a).

#### Three effective wavelengths selection methods

Three methods of iteratively variable subset optimization (IVSO), model adaptive space shrinkage (MASS), and successive projections algorithm (SPA) for effective wavelengths selection were applied in this study.

IVSO method is based on the theory that the important variables were characterized by the large PLSR coefficients. During iteration, coefficients were obtained from a submodel for evaluating the importance level of each variable. In IVSO, weighted binary matrix sampling and sequential addition procedures were adopted to remove unimportant variables with small weight value and reduce the risk of losing important variables as described (Wang et al., 2021c,a). In this study, two important parameters in variable selection of the group number for cross validation and the number of sampling runs were set as 5 and 8,000, respectively. MASS algorithm could find a most suitable variable set and delete abnormal samples using a weighted iteration strategy, and the problem of the order of variable selection and outlier detection in this method could be avoided. The best method was selected by comparison of the root mean square error of cross-validation value, and this process was repeated to obtain the optimal variable set (Wen et al., 2016; Li et al., 2019). In MASS algorithm, four parameters, namely the maximum number of latent variables, the group number for cross validation, the number of binary matrix sampling, and the pretreatment method, were selected as 15, 10, 500, and "autoscaling," respectively.

SPA method was characterized by using a projection operation in a vector space for removing the irrelevant variables to solve the collinear problems, so as to select optimal variables with minimal redundancy and lowest collinearity (Galvão et al., 2008). In SPA, the continuous projection strategy was used to sort the initial wavelengths and obtain a series of wavelengths subsets in different arrangement orders. The optimal variables set was selected by comparing the prediction ability (Xie et al., 2014). In this part, the number of selected variables and the pretreatment method for selection were set as 10 to 50, and "autoscaling," respectively.

In this study, RHJ of 500 subsamples and GHJ of 400 subsamples were divided into two groups, respectively, in a ratio of 7:3 in number (e.g., 350 subsamples:150 subsamples in RHJ group) using the sample set partitioning based on joint x-y distances (SPXY) algorithm (Galvão et al., 2005) to obtain training and prediction sets. Meanwhile, the model parameters were selected and optimized using 5-fold cross-validation. All above models or algorithms are based on MATLAB R2020a (The MathWorks, Natick, MA, USA) software.

The detailed description of the thorough analytical procedures is summarized in Figure 1.

#### Results

# Significant differences of two HJ fruits in chemical indicators from different regions

The ranges of three chemical indicators, including AOC, TALC and VOC, of RHJ and GHJ from different regions were analyzed (Table 1). In RHJ fruits, the mean values of AOC index ranged from  $1.45 \pm 0.068$  in GSLN to  $6.35 \pm 0.076$  in SXRC. The lowest mean value of TALC content at  $109 \pm 3.5$  mg/g was from SXRC, and the highest mean content of  $221 \pm 2.9$  mg/g was from GSLN. Also, the mean contents of VOC ranged from  $231 \pm 6.0$  mg/g in SXYC to  $313 \pm 26.5$  mg/g in YNKM (Table 1). In GHJ research, the minimum mean value of AOC was  $3.76 \pm 0.126$  from CQJJ, and the maximum mean value of AOC was  $6.00 \pm 0.030$  from GZGL. In addition, the lowest mean value of



TALC was  $209 \pm 20.7$  mg/g from SCPC, and the highest mean value of  $360 \pm 21.3$  mg/g was from SCJY. Besides, the minimum and maximum mean contents of VOC were  $161 \pm 28.3$  mg/g in GZGL and  $238 \pm 7.1$  mg/g in SCPX, respectively (Table 1). In general, three indicators of AOC, TALC, and VOC exhibited significant differences between the different production regions of two HJ spices (P < 0.05).

In comparison between two varieties (RHJ and GHJ), the mass percentages of TALC weighted 11–22% (based on the mean value) in RHJ group, and 21–36% in GHJ group (Table 1). On the other hand, the mass percentages of VOC content weighted 23–31% (based on the mean value) in RHJ group, and 16–24% in GHJ group (Table 1). The above results showed that the TALC and VOC had significant differences.

# Prediction of chemical indicators based on HSI full wavelengths

In this study, three chemical indicators of HJ were predicted based on full wavelengths using three models including BPNN, PLSR, and RF, each of which was combined with three pretreatment methods of MSC, SEC, and WT. The prediction results from different method combinations are shown in Table 2.

In AOC prediction, all the excellent results of RHJ and GHJ groups were mainly because of the preprocessing model of WT (Table 2). Specifically, in the method combinations of WT-BPNN, WT-PLSR, and WT-RF, RMSE values were all lower than that from the other groups, all the R<sup>2</sup> values in both training and prediction sets were all higher than 0.9, and the RPD values were

all above 3.0, which represented an excellent ability in prediction (Table 2). In TALC prediction of RHJ (Table 2), all the groups from BPNN model and groups of MSC-PLSR, WT-PLSR, SEC-RF, and WT-RF presented excellent results with relatively lower RMSE values, R<sup>2</sup> values in training and prediction sets all above 0.9, and RPD values all above 3.0. In TALC prediction of GHJ, the models were not as good as those in prediction of RHJ, and only one group of WT-PLSR reached a good prediction level with RPD value equal to 2.56 (Table 2). In VOC prediction for RHJ and GHJ groups, all the models with WT pretreatment presented higher RPD values than the other pretreatment groups (Table 2). In detail, with WT method in combination with PLSR and RF models, the RMSE values were all lower than that from the other groups, and the RPD and R<sup>2</sup> values in both training and prediction sets were all higher than 3.0 and 0.9, respectively, exhibiting the excellent ability for prediction (RHJ and GHJ). Also, the WT-BPNN group achieved RPD values at 2.63 and 2.67, representing the good ability for prediction in RHJ and GHJ groups, respectively (Table 2).

# Prediction of chemical indicators based on HSI effective wavelengths

The prediction based on full wavelengths may have the risk of information redundancy, and redundant data would lead to model problems such as complexity, long running time, and poor performance. The approaches for screening effective wavelengths may solve the above problems (Zhang et al., 2016). Three widely used selection methods of IVSO, MASS, and SPA were compared in this research (Table 3).

Index	Group			RHJ		GHJ						
		Trai	ining set	Prediction set			Tra	ining set	Prediction set			
		<b>R</b> <sup>2</sup>	RMSET	R <sup>2</sup>	RMSEP	RPD	R <sup>2</sup>	RMSET	<b>R</b> <sup>2</sup>	RMSEP	RPD	
AOC	ORI-BPNN	0.876	0.048	0.678	0.097	1.78	0.898	0.024	0.760	0.036	1.95	
	ORI-PLSR	0.892	0.046	0.829	0.064	2.30	0.894	0.024	0.780	0.082	1.95	
	ORI-RF	0.916	0.066	0.512	0.134	0.56	0.946	0.030	0.712	0.051	0.81	
	MSC-BPNN	0.835	0.061	0.748	0.087	1.86	0.921	0.021	0.681	0.041	1.64	
	MSC-PLSR	0.890	0.046	0.842	0.062	2.39	0.912	0.022	0.791	0.328	2.09	
	MSC-RF	0.941	0.060	0.679	0.118	0.64	0.963	0.026	0.689	0.052	0.81	
	SEC-BPNN	0.869	0.051	0.773	0.075	2.08	0.927	0.020	0.657	0.042	1.57	
	SEC-PLSR	0.928	0.037	0.868	0.057	2.71	0.908	0.022	0.801	0.032	2.10	
	SEC-RF	0.965	0.044	0.829	0.094	1.00	0.965	0.021	0.856	0.038	1.35	
	WT-BPNN	0.983	0.023	0.986	0.026	6.21	0.963	0.014	0.974	0.012	6.15	
	WT-PLSR	0.957	0.029	0.951	0.035	4.50	0.945	0.017	0.947	0.016	4.31	
	WT-RF	0.988	0.022	0.987	0.025	6.26	0.997	0.006	0.990	0.010	6.75	
TALC	ORI-BPNN	0.942	15.9	0.941	13.6	3.82	0.756	30.3	0.564	42.0	1.40	
	ORI-PLSR	0.827	27.1	0.774	28.1	2.10	0.795	27.6	0.545	44.0	1.40	
	ORI-RF	0.911	31.0	0.574	43.8	0.72	0.932	24.5	0.686	44.9	0.92	
	MSC-BPNN	0.958	13.4	0.924	15.2	3.39	0.643	37.6	0.326	56.3	1.10	
	MSC-PLSR	0.912	19.0	0.904	16.5	3.33	0.746	30.7	0.528	42.4	1.28	
	MSC-RF	0.980	14.3	0.945	18.5	2.40	0.940	25.8	0.501	53.4	0.65	
	SEC-BPNN	0.976	9.8	0.920	15.2	3.40	0.788	28.8	0.498	47.2	1.32	
	SEC-PLSR	0.876	22.6	0.865	20.4	2.73	0.827	25.4	0.539	42.6	1.34	
	SEC-RF	0.987	10.4	0.986	9.2	5.49	0.953	20.5	0.703	42.8	0.97	
	WT-BPNN	0.946	16.3	0.985	6.9	7.51	0.905	19.3	0.820	27.9	2.35	
	WT-PLSR	0.953	13.7	0.945	12.9	4.25	0.881	21.1	0.865	22.9	2.56	
	WT-RF	0.986	11.6	0.984	8.9	5.79	0.980	12.6	0.928	23.1	2.37	
VOC	ORI-BPNN	0.613	15.6	0.338	21.2	0.84	0.826	5.90	0.503	10.65	1.34	
	ORI-PLSR	0.743	12.3	0.533	16.5	1.05	0.854	5.15	0.601	9.26	1.51	
	ORI-RF	0.933	9.8	0.681	18.8	0.67	0.915	6.46	0.562	11.46	0.60	
	MSC-BPNN	0.750	12.5	0.409	19.5	0.88	0.777	6.43	0.548	10.53	1.39	
	MSC-PLSR	0.720	12.0	0.537	16.5	1.04	0.849	5.25	0.635	8.70	1.57	
	MSC-RF	0.929	10.5	0.601	20.3	0.57	0.936	6.49	0.145	13.83	0.33	
	SEC-BPNN	0.656	13.5	0.238	23.3	0.89	0.525	9.41	0.337	11.59	0.95	
	SEC-PLSR	0.754	11.3	0.564	16.5	1.22	0.813	5.84	0.635	8.54	1.51	
	SEC-RF	0.953	9.0	0.670	18.8	0.74	0.956	4.37	0.727	9.40	1.09	
	WT-BPNN	0.903	7.5	0.889	9.4	2.63	0.923	3.90	0.909	4.43	2.67	
	WT-PLSR	0.916	5.6	0.906	7.9	3.40	0.928	3.62	0.926	3.75	3.44	
	WT-RF	0.984	4.5	0.977	6.1	3.73	0.988	2.05	0.982	2.73	4.64	

TABLE 2 Three chemical indicators prediction based on the full wavelengths.

Three chemical indexes including antioxidant capacity (AOC), total alkylamides content (TALC) and volatile oil content (VOC). HSI data pretreatment methods including original spectrum (ORI), multiplicative signal correction (MSC), second derivative (SEC), and wavelet transform (WT). Three chemometrics including back-propagation neural network (BPNN), partial least square regression (PLSR), and random forest (RF). Model performance was assessed by the indices of square of curve correlation coefficient (R<sup>2</sup>), root mean square error of training set (RMSET), root mean square error of prediction set (RMSEP), and residual predictive deviation (RPD). The RPD values with underline mean the best model group in this study for this chemical index regression, the same below.

From RHJ group based on full wavelengths, nine combinations with prominent effects were selected for screening effective wavelength, including WT- (BPNN, PLSR, and RF) models for AOC (RPD > 4.0), SEC-RF and WT-

(BPNN and RF) models for TALC (RPD > 5.0), and WT-(BPNN, PLSR, and RF) models for VOC (RPD > 2.5). In AOC research, almost all the selection groups (IVSO, MASS, and SPA) exhibited the same or even better effect than the full wavelength

SPA

Index	Group	IVSO						MASS						
		SWN	N Training set		Prediction set			SWN	Calibration set		Prediction set			SV
			<b>R</b> <sup>2</sup>	RMSET	<b>R</b> <sup>2</sup>	RMSEP	RPD		<b>R</b> <sup>2</sup>	RMSEC	<b>R</b> <sup>2</sup>	RMSEP	RPD	
RHJ-AOC	WT-BPNN	86	0.983	0.020	0.980	0.024	6.76	106	0.979	0.022	0.982	0.025	6.82	
	WT-PLSR	86	0.978	0.023	0.982	0.024	6.93	106	0.980	0.022	0.984	0.022	7.43	
	WT-RF	86	0.992	0.020	0.992	0.022	7.35	106	0.995	0.017	0.990	0.024	6.51	
RHJ-TALC	SEC-RF	97	0.990	10.1	0.985	9.5	5.31	106	0.988	10.6	0.983	8.8	5.82	
	WT-BPNN	118	0.942	16.9	0.984	6.6	<u>7.82</u>	121	0.950	15.7	0.980	8.2	6.28	
	WT-RF	118	0.979	14.4	0.981	9.2	5.42	121	0.982	13.8	0.981	9.0	5.45	
RHJ-VOC	WT-BPNN	96	0.917	7.5	0.911	8.3	2.95	114	0.891	8.3	0.912	7.5	3.05	
	WT-PLSR	96	0.901	10.8	0.918	7.5	3.05	114	0.910	7.5	0.922	7.5	3.12	
	WT-RF	96	0.977	5.4	0.957	9.3	2.85	114	0.976	9.7	0.941	9.4	2.30	
GHJ-AOC	WT-BPNN	77	0.978	0.011	0.970	0.013	5.70	116	0.976	0.012	0.973	0.012	5.99	
	WT-PLSR	77	0.956	0.016	0.970	0.012	5.68	116	0.955	0.016	0.969	0.013	5.54	
	WT-RF	77	0.995	0.007	0.988	0.011	6.56	116	0.997	0.005	0.990	0.010	6.82	
GHJ-TALC	WT-BPNN	81	0.873	21.9	0.850	23.6	2.50	108	0.890	21.1	0.849	24.7	2.44	

Training set SWN Prediction set  $\mathbb{R}^2$ RMSET **R**<sup>2</sup> RMSEP RPD 90 0.968 0.029 0.958 0.032 5.36 90 0.979 0.022 0.983 0.024 7.04 90 0.992 0.027 0.985 0.022 7.41 32 0.987 11.1 0.984 9.9 5.00 33 0.949 15.8 0.931 15.8 3.27 33 0.980 14.4 0.967 13.8 3.54 63 0.887 0.847 10.4 2.12 8.3 63 0.893 8.3 0.912 7.6 2.96 63 0.980 5.3 0.954 7.5 2.68 36 0.962 0.014 0.012 5.80 0.971 36 0.943 0.018 0.958 0.015 4.81 0.992 36 0.009 0.988 0.011 6.22 31 0.901 19.3 0.854 24.2 2.54 WT-PLSR 81 0.881 21.1 0.865 21.9 2.66 108 0.877 21.4 0.857 23.5 2.48 31 0.848 23.8 0.841 25.0 2.24 WT-RF 81 0.978 108 0.978 12.8 31 12.9 0.933 22.2 2.52 0.927 23.2 2.41 0.973 14.40.927 23.0 2.36 GHJ-VOC WT-BPNN 32 113 0.934 3.5 0.955 3.1 4.14121 0.972 2.3 0.947 3.2 4.37 0.920 4.0 0.909 4.5 2.57 WT-PLSR 113 3.3 0.942 3.2 3.3 32 0.936 3.85 0.943 3.2 0.943 3.98 121 0.943 3.98 3.4 0.941 3.4 WT-RF 113 0.983 2.5 0.976 3.1 4.10 121 0.985 2.40.973 3.4 3.64 32 0.976 2.9 0.979 3.0 4.31

Three wavelength selected methods including iteratively variable subset optimization (IVSO), model adaptive space shrinkage (MASS), and successive projections algorithm (SPA). Selected wavelengths number (SWN). RPD values in bold represents the improvement of model performance compared with that from the full wavelengths group. Values with underline means the best model group in this study for this chemical index regression.



groups, with improved RPD values higher than 5.0 (Table 3). In TALC research, in all the groups from IVSO and MASS selection, the RPD values were higher than 5.0, but the RPD values ranged from 3.0–5.0 in SPA groups. In VOC research, the IVSO-WT-PLSR, MASS-WT-BPNN, and MASS-WT-PLSR groups had the excellent prediction effects with RPD values higher than 3.0. However, in SPA groups, all the RPD values were lower than 3.0. In summary, compared with the full wavelength groups, 5/9, 5/9, and 2/9 groups with IVSO, MASS, and SPA selection, respectively, had increased in RPD values (RPD values in bold), which showed the better suitability of IVSO and MASS for wavelengths selection (Table 3).

Based on the results from GHJ groups by use of full wavelengths, nine combinations with better effects were chosen for chemical prediction with selected wavelengths, including WT- (BPNN, PLSR, and RF) models for AOC (RPD > 4.0), WT- (BPNN, PLSR, and RF) models for TALC (RPD > 2.0), and WT- (BPNN, PLSR, and RF) models for VOC (RPD > 2.5) (Table 3). In AOC research, all the groups in IVSO and MASS methods reached the RPD values higher than 5.0, suggesting the suitability of selection methods. In TALC research, RPD values from all the groups of three selection methods were higher than 2.0, indicating the suitability of selection models for prediction (Table 3). Besides, in the IVSO groups, all the RPD values were higher than 2.5, indicating the good suitability of IVSO selection. The results from all IVSO combination groups reached higher RPD (>2.5) than those obtained with full wavelength groups. In VOC groups, all three selection groups reached the same results or even higher RPD values compared with the full wavelength group, and achieved the RPD improvement of half the groups. In summary of GHJ groups, compared with the full wavelength groups, 6/9, 6/9, and 3/9 groups with IVSO, MASS, and SPA selection, respectively, realized higher RPD values (RPD values in bold), suggesting better suitability of IVSO and MASS for wavelengths selection (Table 3).

In general, the best prediction results of AOC, TALC, and VOC indicators of RHJ were achieved in the groups of MASS-WT-PLSR (Table 3, Figure 2A), IVSO-WT-BPNN (Table 3, Figure 2B), and WT-RF (full wavelengths, Table 2, Figure 2C), with the highest RPD values of 7.43, 7.82, and 3.73, respectively (values with underline). Also, the best prediction results of three indicators of GHJ were obtained in the models of MASS-WT-RF (Table 3, Figure 2D), IVSO-WT-PLSR (Table 3, Figure 2E), and WT-RF (full wavelengths, Table 2, Figure 2F) with the highest RPD values of 6.82, 2.66, and 4.64, respectively (values with underline).



wavelengths in AOC prediction of RHJ (MASS-WT-PLSR); (**B**) selected wavelengths in AOC prediction of GHJ (MASS-WT-RF); (**C**) selected wavelengths in TALC prediction of RHJ (IVSO-WT-BPNN); (**D**) selected wavelengths in TALC prediction of GHJ (IVSO-WT-PLSR).

## Discussion

# Comparison of chemical indicators from HJ fruits between origins or varieties

In this study, AOC, TALC, and VOC exhibited significant differences between the groups from different production regions (P < 0.05). Many former reports have shown the same results of geographical differences in VOC for *Z. zanthoxyloides* Lam. (Tine et al., 2017) and TALC for *Z. bungeanum* (RHJ) (Zhang et al., 2019a; Sun et al., 2020b). The result of this research showed that RHJ from regions in SC province, namely SCHY and SCMC, had no significant difference (P > 0.05) in both TALC and VOC. The short spatial distance between these two regions lead to rather similar geographical environment and climate characteristics, and our result implied that the differences of geographical environments may play an important role in the accumulation of quality-indicating components.

Between different varieties such as RHJ and GHJ, former reports have shown that the TALC and VOC have significant differences in component types and contents (Yang, 2008). Also, the VOC and TALC play an important role in quality evaluation of RHJ and GHJ. Consistent with the significant differences between varieties reported by Yang (2008), this study found that mass percentages of TALC and VOC had significant difference in RHJ and GHJ. This result showed that GHJ has more content of TALC than RHJ, which well explains the phenomenon that GHJ is mainly used for increasing numbing taste. On the other hand, the mass percentage of VOC content in RHJ higher than that in GHJ further revealed the reasons why RHJ is famous for aromatic taste and mainly applied for increasing aroma of various food products and Chinese cuisine (Yang, 2008; Jing et al., 2021).

### Comparison of noise reduction methods and regression models in indicators prediction

Each of the tested noise reduction methods has its own advantages and emphases (He et al., 2018; Feng et al., 2019;

Wang et al., 2021b). As it was difficult to determine which aspects of the noise had the most significant impact on the prediction, we could only choose the best noise-reducing method empirically. In general, the prediction results in this study showed that WT method was more suitable for reducing various noises, which may come from sources such as instrument or sample. The reason may be the diversity of hyperspectral data noises, and WT method is very suitable for dealing with multivariate noises from sources such as environment, instrument or sample itself (He et al., 2018). Researches of total polysaccharides and flavonoids prediction in *Chrysanthemum morifolium* (He et al., 2018) and the heavy metals content evaluation of cadmium (Cd) and lead (Pb) in lettuce (Zhou et al., 2020) also showed the suitability of WT pretreatment for noise reduction of HSI data.

Meanwhile, as for regression models for prediction of three chemical indexes on full wavelength groups, non-linear and linear models (BPNN and RF) and linear model (PLSR) were applied in this study and the prediction effects did not seem to be dependent on one regression model. In terms of different index prediction, the best prediction model was different (without specific rule to follow), which also showed the complexity of HSI data. Previous reports also showed the applicability of these regression models in the prediction of chemical indexes. In detail, the reducing sugar and amino acid nitrogen contents in wine were accurately predicted using HSI full wavelength combined with BPNN models, with R<sup>2</sup> and RPD values all higher than 0.80 and 2.0, respectively (Huang et al., 2021a). For oil content of maize kernel (Zhang et al., 2022), total lipid of green coffee bean (Caporaso et al., 2018) and both moisture and dry matter content of date fruits (Ibrahim et al., 2021), the results showed the suitability of PLSR model based on HSI full wavelength for prediction, with R<sup>2</sup> values all higher than 0.80 and RPD values all larger than 2.0. PLSR, BPNN and RF models performed well under some circumstances in this study and all of them had their unique values for regression.

# Comparison and analysis of three methods on selection effective wavelengths

Many previous similar reports have shown that effective HSI wavelengths often have the same or better prediction results for chemical indexes compared with those from the full wavelength group. The examples can be studies on starch and water contents prediction in potato (Xiao et al., 2020; Wang et al., 2021a), total caffeine and theanine contents prediction from tea (Wang et al., 2020, 2021c), amylose and amylopectin contents prediction in sorghum (Huang et al., 2021b), and total anthocyanin content and antioxidant activity prediction in mulberry fruit (Huang et al., 2017). The results of this research showed that the

prediction effect of selection wavelengths groups was the same as or even better than that from the full wavelengths groups.

In general, the best prediction results of AOC and TALC in RHJ and GHJ samples were achieved by use of the selected bands. In AOC prediction of RHJ (MASS-WT-PLSR, Figure 3A) and GHJ (MASS-WT-RF, Figure 3B) with the best prediction effect, the result showed the close relationship between effective wavelengths and prediction index. Among these, the wavelengths at 1,000 to 1,100 nm and 1,150 to 1,300 nm may be related to the second harmonic of O-H and the first harmonic of C-H combination from the antioxidant substances of polysaccharides, respectively (Liu et al., 2021). Meanwhile, the effective wavelengths of 1,100 to 1,140 nm and 1,650 nm to 1,690 nm may be related to the first overtone region and the second overtone region of -CH<sub>3</sub> from the antioxidant substances of polyphenols, respectively (Ouyang et al., 2021). As for TALC prediction from both RHJ (IVSO-WT-BPNN, Figure 3C) and GHJ (IVSO-WT-PLSR, Figure 3D) with the best prediction effect, the close correlation was observed between the effective wavelengths and the prediction index. Among these, effective wavelengths at 1,390 to 1,520 nm and 1,810 to 1,850 nm may be related to the first harmonic of C-H from the most abundant alkylamides compounds. Also, wavelengths in the range from 940 nm to 1,050 nm may be related to the third overtone region of -C-H (CH/CH<sub>2</sub>/CH<sub>3</sub>) in alkylamides compounds (Fan et al., 2021).

Meanwhile, in this study, the significant differences in the prediction results of different screening methods were found, and especially showed the suitability of IVSO and MASS methods and inefficiency of SPA selection for effective wavelengths in prediction of three chemical indexes of HJ samples. Interestingly, the former reports also showed that starch content in potato was well predicted based on effective wavelengths selected via IVSO method, with R<sup>2</sup> values > 0.88 in both calibration and prediction sets, and RPD values > 2.0 in almost all groups when combined with regression models (Wang et al., 2021a). Similar to our results, total phenolics, flavonoids, and anthocyanins contents in dry black goji berries (Lycium ruthenicum Murr.) were predicted using effective HSI wavelengths screened by use of SPA, and the SPA method for important band selection fails to yield a better prediction results than those of full HSI wavelengths group (Zhang et al., 2020). However, in moisture and crude fiber contents prediction of fresh tea leaves (Wang et al., 2020) and soluble protein content prediction in oilseed rape leaves (Zhang et al., 2015) using selected spectrum via SPA method, the results showed that SPA method was generally more suitable for effective wavelengths selection with prediction results better than those of full band group. Therefore, the effect of screening effective variables needs further analysis and comparison in different practical application situations.

In addition, the chemical contents prediction based on screening effective variables is also related to the regression model to a certain extent. For example, the reducing sugar and amino acid nitrogen contents of Daqu liquor were predicted using spectra selected by SPA, and compared with the results from the full wavelength group, the RPD values in BPNN and SVM models increased and values from PLSR model declined, indicating the model propensity (Huang et al., 2021a). In addition, IVSO wavelength selection method exhibited the advantages in prediction of catechin content from tea using PLSR model, and the prediction result improved from RPD = 2.81 to 3.19 compared with full HSI group (Wang et al., 2021c). However, in IVSO selection combined with SVM regression, the prediction effect were not particularly satisfactory (Wang et al., 2021c). In this study, the suitability of IVSO and MASS methods for selection effective bands was relatively prominent, but the tendency of three methods to the regression models have no regularity.

### Conclusion

Geographical origin and variety may greatly affect quality indicators of HJ fruits, because three important chemicals indexes including VOC, TALC, and AOC have a significant difference (P < 0.05). Meanwhile, in the research field of food, there is an urgent need for a rapid detection technology with time-saving, easy operation, and cost-saving characters for food quality detection. In this study, the promising method of HSI combined with chemometric analysis was applied to the quality evaluation of HJ spices. WT method was successfully used for noise reduction of HSI data. Three chemical indicators were well predicted using HSI full wavelengths, and the effective wavelengths via MASS and IVSO selections. The overall results demonstrated the great potential of HSI technology assisted with chemometrics to predict the quality of HJ spices with the advantage of rapid, non-destructive, and low cost.

Admittedly, our dataset did not involve HJ samples from production regions out of China, and the inclusion of such samples in the future may improve the generalizability of the conclusion. Moreover, the prediction accuracy of the method, especially that for TALC from GHJ group, can be improved in the future studies. Notably, the emergence of the deep learning has brought inspiration for exploring the spatial information including color and texture features of HJ samples. It is worth exploring the possibility of spatial information combined with spectral data for more extensive prediction of indicators such as maturity, storage time, and cultivation practices of HJ samples. Finally, the effective wavelengths selected in this research provide an important potential for the development of convenient small equipment for rapid food quality evaluation in industry.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding authors.

#### Author contributions

YW: investigation, resources, and writing original draft. JY: conceptualization, writing original draft, and supervision. SY: conceptualization and formal analysis. HF: writing review and editing. SH: methodology. BY and LH: conceptualization, supervision, and funding acquisition. TN: data curation and formal analysis. YY: writing review, supervision, and funding acquisition. All authors have read and agreed to the published version of the manuscript.

## Funding

This work was supported by the National Key R&D Program of China (Grant No. 2020YFC1712700), the scientific and technological innovation project of China Academy of Chinese Medical Sciences (Grant Nos. CI2021A04005 and CI2021A01809), the Key Project at Central Government Level: The ability establishment of sustainable use for valuable Chinese medicine resources (Grant No. 2060302), the National Natural Science Foundation of China (Grant No. 32122068), and the Shandong Provincial Key Research and Development Program (Major Technological Innovation Project) (Grant No. 2021CXGC010508).

#### Acknowledgments

The English writing was checked and revised by Q Luo.

### **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fsufs.2022.1036892/full#supplementary-material

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