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## SPECIALTY SECTION

This article was submitted to  
Land, Livelihoods and Food Security,  
a section of the journal  
Frontiers in Sustainable Food Systems

RECEIVED 27 October 2021

ACCEPTED 27 June 2022

PUBLISHED 19 July 2022

## CITATION

De Falcis E, Gauchan D, Nankya R,  
Martinez Cotto S, Jarvis DI, Lewis L  
and De Santis P (2022) Strengthening  
the economic sustainability of  
community seed banks. A sustainable  
approach to enhance agrobiodiversity  
in the production systems in  
low-income countries.  
*Front. Sustain. Food Syst.* 6:803195.  
doi: 10.3389/fsufs.2022.803195

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# Strengthening the economic sustainability of community seed banks. A sustainable approach to enhance agrobiodiversity in the production systems in low-income countries

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## KEYWORDS

agrobiodiversity, food system innovations, diets, food environment, value chains, low- and middle-income countries

## Introduction

The seed sector is one of the cornerstones in ensuring food security, nutrition, livelihoods, and environmental sustainability [Organization for Cooperation Economic Development (OECD), 2021]. Seeds are the most crucial production input, and access to productive seeds ensures resilience and sustainability, especially in traditional production systems of the low- and middle-income countries where agriculture makes the largest share of their economies and occupations.

Agricultural research is paramount to ensure that seeds match farmers' needs and increase productivity. For a long time, countries relied on their public sector for seed-science research and seed supply as an essential public good (Srinivasan, 2005). Sweeping regulations in seeds markets enabled the private sector to eventually obtain higher participation in agricultural research. Time and costs for developing new varieties laid the ground for intellectual property rights (IPR) acting as a strong incentive for private investment. This explains the unprecedented growth of the industry, enabling greater innovation (Srinivasan, 2003; UPOV, 2009).

The seed sector has been recently characterized by high levels of market concentration. Increasingly sophisticated technologies used in plant breeding require substantial investments in research, development, and seed production. Consequently, strategic mergers and acquisitions in the sector have enabled companies to leverage economies of scale and become vertically-integrated (Srinivasan, 2003; Deconinck, 2020). Today, the main seed producers are also producers of herbicides, fertilizers, and

other biotech products - such as Monsanto, Syngenta, and Corteva who control over 50% of the global seed market (Frison, 2016).

The fundamental differences between private and public research have shaped the evolution dynamics of the sector. Private companies tend to focus on innovations for big markets, both in terms of geographical coverage and crops of interest. Indeed, while the innovation rate has increased, it has been concentrated on a few crops. Innovation has adapted crops to specific production and consumption systems (Brooks and Loevinsohn, 2011; Gaffney et al., 2019). Still, it has often failed to respond to the needs of farmers and consumers in the traditional production systems of low-income countries (e.g., neglected and underutilized crops and farmers varieties)—that typically have fewer resources to make up for this innovation gap through public investments and research (Niggli et al., 2017; Macours, 2019).

## What is at stake?

Planting crop diversity is key for small-scale farmers in low-income countries. A diversified production system helps farmers to cope with climate change uncertainties and, in general, with biotic and abiotic stresses by reducing the risk of total production losses (Jarvis et al., 2007, 2008; Jarvis and Hodgkin, 2008; Mulumba et al., 2012). A diversified production system may help to reduce the use of other production inputs such as pesticides and fertilizers, leveraging on complementarities and synergies of different crops which are usually more adapted to local environmental conditions (Finckh and Wolfe, 2006; Østergard et al., 2009; Mal et al., 2010). Seed systems supported by biodiversity are critical to improving the resilience of agriculture production systems through enhancing ecosystem services and ensuring human health (Hajjar et al., 2008).

Forgotten or underutilized crops (NUS) and local varieties play a key role in proving livelihoods to low-income farmers, lowering famine risks and providing more complete and balanced diets than typical monocultures (Kahane et al., 2013; Andersen et al., 2018; Hunter et al., 2019). However, in order for NUS and traditional varieties to contribute to improving wellbeing of small-scale farmers, their conservation and accessibility needs to be improved and secured.

Seed systems need to be healthy and dynamic, but often low access to innovation and limited availability of diversity leads to agricultural specialization in places where it is suboptimal or unwanted (Joshi et al., 2019). Lack of innovation results in poor quality of the planting materials and limited capacity to improve or simplify processing technologies to better respond to low-income farmers' nutrition or market needs.

## Can community seed banks be part of the solution?

Community seed banks (CSBs) are becoming popular components of strategies aimed at increasing access and use of agrobiodiversity (Vernooy et al., 2014, 2017; Porcuna-Ferrer et al., 2020). CSBs are informal institutions managed by farmers that conserve and manage seeds, mostly local varieties but commercial ones can also be found. They aim to: increase seed diversity in order to better respond to farmers' needs; control the quality of materials provided; provide insurance in the form of increased seed supply options available to communities and improve social cohesion among members. Several functions make this model an important one in the seed systems and particularly relevant to the context of low-income areas.

The primary function of CSBs is related to the preservation of genetic resources (Shrestha et al., 2013). Local varieties are often the only source of planting material for small-scale farmers; they play an important role in continuing the adaptive process to environmental conditions in which seeds grow. CSBs promote on-farm conservation by collecting and conserving locally adapted seeds, which are normally not provided or underprovided by the formal seed system and the market. Preserving landraces, or farmers' varieties, is essential, as these varieties represent an important source of traits such as resistance to biotic and abiotic stress and nutritional qualities (Trutmann et al., 1996; Finckh and Wolfe, 2006; Duc et al., 2010; FAO, 2019) which are vital to ensure productivity, and resilience of smallholder production systems not to mention their importance in the continuous breeding of new varieties.

Another important function of CSBs regards the critical role of enhancing the availability and accessibility of these diverse genetic resources in sufficient quantities during planting season (Vernooy et al., 2014). Seeds and other planting materials are made available to community members either through seed loans (farmers borrow a small quantity of seeds to be returned at the end of the season) or seed sales. In this sense, CSBs are important financial instruments for farmers. They provide a *de facto* form of subsidy by facilitating seed procurement, which often represents the most important investment in subsistence agriculture.

Access to local seed providers such as CSBs guarantees a certain degree of independence and autonomy from the formal seed system. It can also provide farmers with economic opportunities to differentiate their offer. This is particularly true in developing countries, where formal seed system cover only a small fraction of the seed demand for major staple crops and neglect minor crops and local varieties (Shrestha et al., 2013). The Nakaseke CSB in Uganda has been able to increase the number of common beans varieties from 25 varieties in 2014 to 46 varieties in 2021, providing multiple biodiversity options for the most important food security crop in the country. Moreover,

the Nakaseke CSB has empowered its farmers to embrace good seed production and management practices through on-farm monitoring and training.

## How CSB can help closing the innovation gap?

CSBs have great potential in scaling up innovation on local varieties and minor crops (Balázs and Aistara, 2018) which have a low economic value for main seed producers given the small market they represent. The local varieties they conserve represent an important source of traits for varietal improvement through breeding and further selection of new varieties. CSBs, supported by NGOs, governments and international organizations who provide technical support, can conduct experiments and field trials that test different varieties in their specific conditions and identify the most suitable ones to farmer needs (Vernooy et al., 2014). CSBs can also have a proactive role in participatory breeding activities to fill in the gap of the formal breeding system that cannot satisfy the demand and needs of farmers in most remote areas [Local Initiatives for Biodiversity, Research and Development (LI-BIRD), 2019]. By getting involved in developing and registering varieties, farmers gain ownership of new varieties resulting from breeding programs and can seize commercial opportunities.

Furthermore, CSBs facilitate the exchange of knowledge and reach out to community members and favor the gender inclusion. In fact, women usually play central roles in their management and youth have the opportunity to learn traditional knowledge and unleash innovation (Shrestha et al., 2013; Vernooy et al., 2014). As such, CSBs operate as a platform generating a ripple effect that is important not only for promoting agrobiodiversity in production systems but also for the entire community development.

## Possible approaches for economic sustainability of community seedbanks

So far, we have discussed CSBs play multiple roles in seed systems, food security, and innovation. Despite all the beneficial roles played by community seedbanks, their economic sustainability remains a challenge (Richardson, 2010; Sthapit, 2012; Frison, 2018; Isbell et al., 2021). Their economic sustainability is often overlooked in program design stages but is key to deliver sustainable impact (Vernooy et al., 2014). Many CSBs are part of informal seed market systems while only a few are authorized to operate in formal systems (Vernooy et al., 2015). This means that CSBs continue facing limitations for registering and commercializing their varieties despite being able to exchange seeds with local farmers. Community seedbanks mainly deal with farmer varieties (local varieties),

but in many countries, these are not registered on the national catalog of varieties as they do not go through formal breeding. This limits their being traded legally over a wide area and calls for development of procedures to have them registered on the national catalogs of varieties.

For CSBs obtaining legal status would open their field of action: allowing them to develop strategic partnerships with public and private entities to participate and contribute to scientific research activities (e.g., selection and improvement of plant genetic material) with the support of public funds; or engage with private sector to actively participate in value chains, enhancing greater ownership of genetic resources and profit sharing from resulting outcomes. For instance, breeders developing new varieties coming from material from community seed banks should enter into formal partnerships with these communities so that they both benefit from their intellectual properties gains and commercialization. In Nepal, for example, some CSBs, operating under local cooperatives and civil society organizations, have already started to collaborate with formal sector agencies in registering and maintaining varieties (Maharjan and Mahjarjan, 2017; Shrestha et al., 2020).

From our experience, there is an unmet demand for local varieties that CSBs can fill if allowed to interact with markets. In Nepal, the surging demand for local crops has led to the registration of six local varieties that are important for food security of the most vulnerable mountainous communities (Gauchan et al., 2018). The Community Seed Bank Association of Nepal (CSBAN) reports that only 1/3 of their seeds are locally used to meet their farmers' demand, while the rest is marketed outside of their local reach (Shrestha, 2020). This highlights the importance and need for more diverse and better-regulated seed systems.

Ultimately, CSBs will benefit from better market integration. To enhance the competitiveness of local crop diversity, market strategies should look at integration in the food system (Bovarnick and Gupta, 2003; Gruère et al., 2006, 2007). Forms of horizontal integration, such as cooperatives, farmers' groups, consortiums, generate greater external empowerment and are better positioned to access funding, which can be invested in technologies and growth. For instance, these investments could be on acquisitions of further steps or processes along the value chain, such as processing or labeling. By getting involved in value chains, CSBs and other associative structures can contribute to recapture additional value and increase revenues. Similarly, adopting certifications and developing short-value chains and local food systems at CSB levels can help increase the demand for local products and allow more direct communication and engagement with final consumers (NU, CEPAL FAO IICA, 2015; Lamers et al., 2016). Additional benefits can come from valuing other characteristics, such as local production, respect for social conditions of workers, nutritional value and agrobiodiversity conservation. CSBs can take advantage of these benefits and additional elements by developing local markets or food hubs (Manikas et al., 2019). Recently, some CSBs in Nepal

initiated marketing of local diverse nutritional food products that indirectly promote local seed varieties and support local food value chains.

Economic models can also be based on micro-financing systems. In this model, CSBs could function as small credit banks that provide loans without collateral to guarantee access to fair financing to its members for income-producing activities both on- and off-farm (Shrestha et al., 2012). In earlier stages, community members finance initial funds, which can be later increased by external sources. Credit concedes priority to the poorest farmers, and a fair interest rate is asked in return to cover operational expenses. In places where this model has been implemented like in many CSBs in Nepal, most poor farmers have taken a loan from CSBs without significant loan repayment issues (Choudhary et al., 2021).

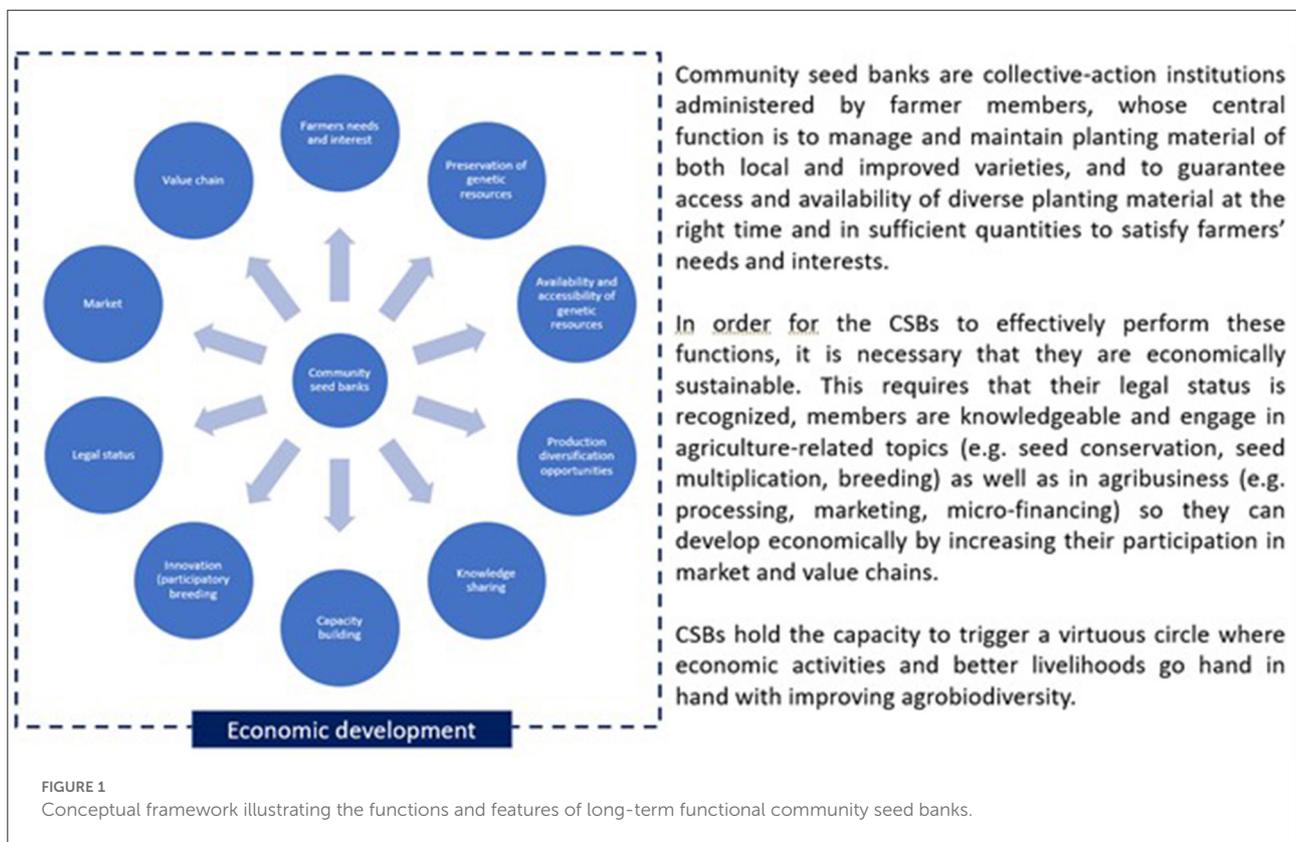
CSBs multiple functions on conservation and accessibility of different planting material together with market involvement, hold the capacity to trigger a virtuous circle where economic activities and economic development go hand in hand with improving agrobiodiversity. The diagram (Figure 1) summarizes functions and features for a CSB model where economic development can support in its long-term functionality.

## Conclusions

Access to diverse planting material enables farmers to cope with uncertainties and choose the crop system that best fits their needs, both of the market they want to address and the local production conditions they face. In low and middle-income countries, agrobiodiversity in the production system is even more important as it often responds to the self-sufficiency of the farm and the community.

This community seedbank represents a unique opportunity to improve agrobiodiversity in the production system. This model holds the potential to enhance the conservation of local varieties, better suited to local production specificities. CSBs roles discussed in this paper show that CSBs can effectively integrate the formal and informal seed systems to improve food security and can be a platform to trigger innovation adoption through a bottom-up approach and stimulate community development.

Investing in their economic sustainability, which often remains overlooked, is critical. Main challenges facing CSBs are related to lack of their recognition as legal entities, investments to valorize local crops on markets and create higher CSB participation in food systems. Nevertheless, there are several opportunities for economic sustainability. These could be



through market channels and credit and saving mechanisms that offer the potential to enhance the social functions of CSBs.

## Author contributions

ED, DG, RN, PD, SM, DJ, and LL contributed to the conceptualization of the work. ED and PD wrote the first draft of the paper. All authors reviewed the article and approved the submitted version.

## Funding

Funding for this work was made possible through the support from the Ministry of the Foreign Affairs of Italy (JPO Programme), and the Swiss Agency for Development Cooperation (SDC), within the framework of the global project Improving Seed Systems for Smallholder Farmers' Food Security; the United Nation Environmental Programme (UNEP-GEF) within the framework of the project Integrating Traditional Crop Genetic Diversity into Technology: Using a Biodiversity Portfolio Approach to Buffer against Unpredictable Environmental Change in the Nepal Himalayas; International Fund for Agricultural Development (IFAD) within the framework of the project "Agro-biodiversity and landscape restoration for food security and nutrition in Eastern Africa"

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and the support of the CGIAR Research Program on Water, Land, and Ecosystems (WLE).

## Acknowledgments

We thank Professor Maria Urbaniec (Cracow University of Economics) for reviewing the article and providing further literature suggestions and insights.

## Conflict of interest

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