

# Non-thermal Processing Technologies for Dairy Products: Their Effect on Safety and Quality Characteristics

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Neokleous I, Tarapata J and Papademas P (2022) Non-thermal Processing Technologies for Dairy Products: Their Effect on Safety and Quality Characteristics. Front. Sustain. Food Syst. 6:856199. doi: 10.3389/fsufs.2022.856199 Thermal treatment has always been the processing method of choice for food treatment in order to make it safe for consumption and to extend its shelf life. Over the past years non-thermal processing technologies are gaining momentum and they have been utilized especially as technological advancements have made upscaling and continuous treatment possible. Additionally, non-thermal treatments are usually environmentally friendly and energy-efficient, hence sustainable. On the other hand, challenges exist; initial cost of some non-thermal processes is high, the microbial inactivation needs to be continuously assessed and verified, application to both to solid and liquid foods is not always available, some organoleptic characteristics might be affected. The combination of thermal and non-thermal processing methods that will produce safe foods with minimal effect on nutrients and quality characteristics, while improving the environmental/energy fingerprint might be more plausible.

#### Keywords: non-thermal dairy, safety, quality, UV-C, mild-processing, microbiology, functionality

# INTRODUCTION

Milk and dairy products are an important and major source of nutritional compounds for consumers (Pereira et al., 2014; Ortega et al., 2019). Food scandals due to bacterial contamination leading to food poisoning incidents, including dairy products, has always been a major concern to the food industry. Although thermal treatment, is nowadays the most common process for producing goods and ensuring food safety, it affects negatively the functionality and the quality of several food components (Bandla et al., 2012; Pal et al., 2015; Liepa et al., 2016; Aydar et al., 2020; Coolbear et al., 2022; Huppertz and Nieuwenhuijse, 2022).

Currently, consumers are more cautious about the foodstuff they purchase. New trends are rising, regarding nutritious products with proven health benefits and produced by environmentally-friendly methods (Knorr et al., 2011; Aydar et al., 2020; Minj and Anand, 2020; Asaithambi et al., 2021). At the same time, the industrial sector is searching for non-invasive processes, regarding the quality aspects and nutritional degradation time, in order to fulfil consumer's demands (Valdramidis and Koutsoumanis, 2016; de Toledo Guimarães et al., 2012; Chakka et al., 2021).

Consequently, conventional methods using thermal energy are becoming less popular and non-thermal technologies are emerging (Jermann et al., 2015; Valdramidis and Koutsoumanis, 2016; Baboli et al., 2020). Novel non-thermal technologies instead of thermal energy, they require electrical, electromagnetic, light and mechanical forces (Rodriguez-Gonzalez et al., 2015). In general, heat treatment is a less sustainable processing technique compared to novel emerging technologies due to high costs, energy and water consumption (Guimarães et al., 2021). Often, they are defined as the technologies which are effective at ambient and sublethal temperatures (Cullen et al., 2012) without exposing the product directly to heat (Chacha et al., 2021).

Non-thermal technologies have been shown to be efficient for microbial inactivation while preserving the functionality and the nutritional quality (Pereira and Vicente, 2010; Jermann et al., 2015) of milk and milk products (Shrivastava and Banerjee, 2017; Shabbir et al., 2020). Furthermore, de Toledo Guimarães et al. Guimarães et al. (2018), highlights that non-thermal technologies could create opportunities for innovative and nutritious products within the dairy sector.

In order though, for a product to be accepted by the EU legislation as "Novel", it should comply to the EU Regulation (EU) 2015/2283 of the European Parliament where the products deriving from non-thermal fall under the scope of "novel" of *article 3.2a* if the product is "(*vii*)... resulting from a production process not used for food production within the Union before 15 May 1997, which gives rise to significant changes in the composition or structure of a food, affecting its nutritional value, metabolism or level of undesirable substances". In case the abovementioned is applicable, the company needs to apply for authorization.

The most investigated non-thermal processes during the last decade are: (a) Ultraviolet processing (UV-C), (b) High-Pressure Homogenization (HPH) and High-Pressure Processing (HPP), (c) Cold Plasma, (d) Ultrasound, (e) Pulsed electric fields (PEF) and (f) Membrane Filtration (MF) (see **Table 1** and **Figure 1**).

The aim of this review is to give an overview of the effects on microbial safety, organoleptic characteristics, nutritional quality and functionality, of the abovementioned novel processes. Furthermore, advantages and disadvantages will be discussed and examples of consumer acceptance will be given.

## NON-THERMAL PROCESSES

## **Ultraviolet Light**

UV light is a non-ionizing irradiation (Beauchamp and Lacroix, 2012), which is consisted of 3 spectrums: UV-A spectrum from 315 to 400 nm, UV-B from 280 to 315 nm and UV-C which ranges from 200 to 280 nm (Shabbir et al., 2020). It is considered as a non-toxic and environmentally friendly technique, which uses physical energy (Delorme et al., 2020). The procedure can run under continuous or pulsed light (Can et al., 2014), where light photons are being absorbed by the product (Rodriguez-Gonzalez et al., 2015).

The mechanism can be photochemical where DNA and RNA chemical changes occur, photothermal in case of long duration of pulses which increases the temperature inactivating the bacterial cells and/or photophysical through damages on the structure of the cells (Can et al., 2014). Different lamps can be used such as mercury lamps and pulsed light (Rodriguez-Gonzalez et al., 2015). Can et al. (2014), mentions that pulsed light treatments are more efficient than the continuous UV light. The pulses from these lamps, are created by the compression of electrical energy delivered by a xenon gas lamp (Can et al., 2014; Singh et al., 2021).

Besides the type of the lamp, the efficiency of the treatment depends on many factors such as the surface of the product (Koca and Öztürk, 2020), the opacity (Müller et al., 2011; Cilliers et al., 2014; Ward et al., 2019) and the turbidity of the liquid (Orlowska et al., 2014). The higher the value of the opacity and the more turbid it is, the less the penetration of the light. Milk for example has high content of colloidal and suspended solids, due to this it is highly turbid and opaque (Shabbir et al., 2020), thereafter the penetration of the light is low and higher doses are required.

Other factors influencing are the intensity of the light, flow patterns, geometry of the equipment (e.g. tube diameter), temperature, time, number of passes, calculation of the doses and the type of microorganisms present (Gayán et al., 2014; Fan et al., 2017; Pendyala et al., 2021). Generally, the pH, water content and the absorbance coefficient of the medium should be taken into account when using a UV-C system (Gayán et al., 2011; Singh et al., 2021).

Besides, the intrinsic factors mentioned above, the growth phase, the conditions of the process, prior stresses and the conditions after the process play major role to the effectiveness (Gayán et al., 2014). Due to the dependence of the efficiency on many parameters the comparison of the data between papers on UV-C technology is very difficult.

### Effect on Product Safety

UV-C spectrum has been proven to damage the DNA of microorganisms and prevent their replication (Choudhary and Bandla, 2012; Morales-de la Peña et al., 2019; Ward et al., 2019). Proteins, absorb light around 280nm which disrupts the membrane's integrity (Kim et al., 2017), where others such that 260 nm is the most efficient one because it's the wavelength where DNA absorbs (Beauchamp and Lacroix, 2012).

Once the light is absorbed by the microorganism's DNA, the production of photoproducts begins such as cyclobutane pyrimidine dimers, which prevent replication and stop the transcription, leading to the cell inactivation and death (Gayán et al., 2014). This way, UV-C light is capable of inactivating microorganisms (Rossitto et al., 2012; Pereira et al., 2014; Shin et al., 2016; Dhahir et al., 2021; Papademas et al., 2021). UV-C applied in rose and chocolate milk appeared to be more efficient for microbial reduction, than pasteurization (Kayalvizhi et al., 2021).

The wavelength emission and UV dose are the most important parameters for the microbial inactivation. The wavelength emission depends on the UV lamp used. Both factors are influenced by the strain, species and type of the microorganism (cell thickness). Furthermore, the pH, water activity, absorption coefficient and turbidity affect the efficiency (Gayán et al., 2014). The higher the turbidity and opacity, the lower the effectiveness of the light on microorganisms (Pereira et al., 2014; Ward et al., 2019; Shabbir et al., 2020). There are studies mentioning that

Process name	Microbial impact	Nutritional impact	Sensorial impact	Process parameters
• Ultraviolet Light(UV- C)	<ul> <li>Resistance: Gram negative vegetative cells &lt; Gram positive vegetative cells &lt; Endospores &lt; yeasts <viruses (gayán<br="" protozoa="">et al., 2014; Kim et al., 2017; Kwon et al., 2022)</viruses></li> <li>Lower efficiency at solid food such as cheese (Can et al., 2014; Lacivita et al., 2016; Koca and Öztürk, 2020)</li> </ul>	<ul> <li>Increase of Vitamin D3 (European Food Safety Authority., 2016)</li> <li>Decrease of Vitamin C (Pereira et al., 2014)</li> <li>Decrease of Immunoglobulin G (Orlowska et al., 2013)</li> </ul>	<ul> <li>Precipitation of proteins in milk (Orlowska et al., 2013)</li> <li>Lipid oxidation (Rossitto et al., 2012; Fernández et al., 2016)</li> <li>"Burnt" Off flavour (Lacivita et al., 2016)</li> </ul>	<ul> <li>280 nm (pulsed or continuous light)(Can et al., 2014)</li> <li>Xenon gas lamp (Singh et al., 2021)</li> </ul>
• High Pressure	<ul> <li>High efficiency on vegetative cells (Stratakos et al., 2019)</li> <li>Low efficiency on spores (Balasubramaniam et al., 2015)</li> </ul>	<ul> <li>Minimal effect on low molecular weight compounds such as vitamins (Barba et al., 2015; Chughtai et al., 2021)</li> <li>Suitable for fermented probiotic products (Oliveira et al., 2014; Tsevdou et al., 2020)</li> <li>Improved bioaccessibility of calcium (Cilla et al., 2011)</li> <li>Increased antigenicity (Barba et al., 2015; Chen et al., 2022)</li> <li>Similar size of casein micelles to raw milk (Stratakos et al., 2019)</li> <li>Production of beneficial peptides (Fernández García and Riera Rodríguez, 2014)</li> </ul>	<ul> <li>Keeps product characteristics intact (Penchalaraju and Shireesha, 2013; Huang et al., 2020)</li> <li>Inactivation of enzymes (Mújica-Paz et al., 2011; Ginsau, 2015)</li> <li>Improved coagulation, ripening and curd formation in cheeses (Devi et al., 2013)</li> <li>Yoghurt showed less syneresis and higher (Naik et al., 2013)</li> <li>Low forces have no effect on covalent bonds, primary and secondary structure of proteins (Barba et al., 2015; Huang et al., 2020)</li> <li>Reduction of enzymatic activity (Fernández García and Riera Rodríguez, 2014)</li> </ul>	<ul> <li>Pressure: 100-1000Mpa (Evrendilek, 2018; Esteghlal et al., 2019)</li> <li>Time: Max 30 minutes (Smithers and Augustin, 2012; Huang et al., 2020)</li> <li>Temperature: &lt;40°C (Smithers and Augustin, 2012; Huang et al., 2020)</li> </ul>
• Cold Plasma	<ul> <li>Effective in reducing population <i>E. coli, L. monocytogenes</i> and <i>Salmonella typhimurium</i> in milk (Kim et al., 2015)</li> <li>High microbial reduction efficiency at low temperatures (Coutinho et al., 2018)</li> </ul>	<ul> <li>Preservation of bioactive compounds in whey beverages (Ribeiro et al., 2021)</li> <li>(Coutinho et al., 2018):</li> <li>Milder processing conditions (lower gas flow rates and processing times): lower TPC, lower ACE inhibitory activity, antioxidant activity similar to the pasteurized chocolate milk drinks</li> <li>More severe conditions (higher gas flow rates and longer processing): higher TPC and ACE inhibitory activity, decreased antioxidant activity.</li> <li>No significant changes in the fatty acid concentration in milk (Korachi et al., 2015)</li> <li>Oxidation of high-fat dairy products (Coutinho et al., 2018)</li> </ul>	<ul> <li>Nonsignificant change in colour attributes of milk (L*, a*, b*) (Gurol et al., 2012)</li> <li>Acceptable viscosity and acidity of milk (Wu et al., 2021)</li> <li>Less colour intensity of whey beverages (Ribeiro et al., 2021)</li> <li>Intact sensorial attributes of whey beverages (Ribeiro et al., 2021)</li> </ul>	<ul> <li>Gas type, gas flow rate, treatment time, plasma source</li> </ul>
• Ultrasound	<ul> <li>Stand-alone ultrasound technology leads to lower microbial inactivation while compared to thermal pasteurization (Khanal et al., 2014; Guimarães et al., 2018)</li> <li>Low temperatures and mild ultrasonic intensities may be beneficial to stimulate the growth and activity of microorganisms (Pagnossa et al., 2020)</li> </ul>	<ul> <li>Causes lipid oxidation in milk (Marchesini et al., 2015)</li> <li>Intact protein and free amino acid profiles in semi-skimmed sheep's milk (Balthazar et al., 2019)</li> <li>Higher nutritional value due to the higher production of bioactive peptides in fermented milk (Huang et al., 2019)</li> </ul>	<ul> <li>Longer processing times generates off-flavours (Marchesini et al., 2015)</li> <li>Better parameters associated with colour and stability during product storage (Guimarães et al., 2021)</li> </ul>	• Wave frequency, applied power, processing time and food temperature

(Continued)

#### TABLE 1 | Continued

Process name	Microbial impact	Nutritional impact	Sensorial impact	Process parameters
Pulsed electric fields (PEF)	• Stand-alone PEF technology leads to lower microbial inactivation while compared to thermal pasteurization (Sharma et al., 2014a)	<ul> <li>Minor impact on milk components (Sharma et al., 2016; Schottroff et al., 2019)</li> <li>Nonsignificant losses in the amount of vitamins A and C in WPI formulations (Schottroff et al., 2019)</li> <li>Very little to no impact on IgG and IgM in WPI formulations (Schottroff et al., 2019)</li> </ul>	No impact on lightness of milk (McAuley et al., 2016)	• Electrical field intensity, frequency, number of pulses, inlet temperature, outlet temperature, treatment time, pulse width, voltage
Membrane filtration	<ul> <li>Efficient for microbial reduction and spore removal (Barukči et al., 2014; Marx et al., 2018)</li> </ul>	• Allows retention of proteins (Ribeiro et al., 2010)	<ul> <li>Improved quality and extended shelf life of milk (Kumar et al., 2013)</li> <li>Use of MF brine has improved the ripening of cheese (Ribeiro et al., 2010)</li> <li>Lighter taste of milk (Wang et al., 2019)</li> </ul>	<ul> <li>(Kumar et al., 2013): Nanofiltration: molecules up to 100 MW</li> <li>Reverse osmosis: only low MW solvents pass</li> <li>Microfiltration cut off point: 0.2-2µm</li> <li>Ultrafiltration: cut off point: 10,000 MW</li> </ul>



in the case of milk, it appears to be less effective, something which is preventing its use on an industrial level (Choudhary and Bandla, 2012; Delorme et al., 2020). Choudhary and Bandla (2012), suggested that a solution to this, is to pass the liquid products as a thin layer.

Vegetative bacteria are the most sensitive to UV-C treatment, yeasts are more resistant and the most resistant ones are viruses and protozoa, depending on the surrounding factors mentioned above (Gayán et al., 2014). Regarding vegetative bacteria, Gram positive bacteria are more resistant towards the UV-C inactivation probable due the outer layer of glycoproteins and liposaccharides (Kim et al., 2017). Beauchamp and Lacroix (2012), showed that *Listeria monocytogenes* (Gram positive bacteria) was more resistant to UV light than *Escherichia coli* (Gram negative bacteria).

As for the spore forming bacteria, according to Pendyala et al. (2021), UV-C light is efficient to endospores of *Bacillus cereus*, but they are more resistant than vegetative cells due to their coating (Gayán et al., 2014; Kwon et al., 2022). Koca and Öztürk (2020), suggested that the microbial survival and growth after the process should be monitored, in case of cell reparation through photoreactivation. The same study expressed, that quantification *via* plate count is not sufficient, due to presence of viable but not culturable bacteria.

Consequently, for ensuring microbial inactivation and food safety through a UV-C system, there is the need for an indicator showing the efficiency of the process like the alkaline phosphatase for the heat pasteurization (Delorme et al., 2020), shelf life tests should occur and further analysis on damaged cells and their reparation after the process should be done.

# Effect on Nutritional Quality and Functional Properties

An example, of beneficial effect deriving from non-thermal technologies, is the UV-C treatment and increasing of vitamin D3. EFSA's opinion on UV-C treated milk mentions that: "UVtreated milk is comparable to non-UV-treated milk, except for the vitamin D3 content". It was found that cow milk was containing traces of vitamin D3, while UV treated milk contained a significant amount of the specific vitamin  $(0.5-3.2 \,\mu g/100 \,g$  in whole milk and 1.5  $\mu$ g/100 g in semi-skimmed milk) (European Food Safety Authority., 2016). The effect of UV-C treatment on vitamins in milk is depended on the intensity of the light, the initial amount of vitamin present in milk and the number of passages through the system (Guneser and Yuceer, 2012). Using higher fluence could lead to quality loss and nutrient degradation, such a loss of Vitamin C (Orlowska et al., 2013). Pereira et al. (2014) studied the effect of UV-C in colostrum and proved that the treatment led to lower concentration of Immunoglobulin G.

The application to solid foods, such as cheese, can be difficult because of the low penetration. It was shown that after 4 days of extended shelf life, the microbial population of UV-C treated Fiordilatte cheese was the same as the initial one (Lacivita et al., 2016). This could be due to the inactivation of bacteria only at the surface of the cheese (Koca and Öztürk, 2020).

Can et al. (2014), studied the effectiveness of pulsed UV light on packed and unpacked hard American cheeses. The

authors concluded that the treatment is efficient for *Listeria monocytogenes* and *Penicillium roqueforti* for both packed and unpacked cheeses. *Penicillium roqueforti* showed higher resistance to UV light. After moderate (30 s at 8 cm) and extreme (60 s at 5 cm) conditions though, lipid peroxidation was observed and the values for a\* and b\* had changed significantly. Mild conditions (5s at 13cm) provided no differences in color or lipid oxidation for all samples. The centimeters were indicating the distance of the cheese for the UV lamp. Significant differences in a\* and b\* values were shown also by (Koca and Öztürk, 2020) in kashar fresh cheese although panellists did not identify the colour difference.

## Effect on Organoleptic Properties

The organoleptic profile, as the efficiency of the system, is influenced by the equipment used, the dosage, exposure and passages. The lamp of the system for example, plays an important role when treating food with UV-C. Orlowska et al. (2013), showed that there was precipitation of proteins in milk stored at  $4^{\circ}$ C for 4 days when using HIP lamp (novel mercury-free UV lamp) and polychromatic medium pressure mercury lamps (MPM). At the same study they concluded, that a promising alternative for the mercury lamps are pulsed UV lamps.

Moreover, in Fiordilatte cheese, the sample treated with 6.0 kJ/m<sup>2</sup> (highest exposure) was identified as the worst quality and characterized as "burnt", but had the most extended shelf life (3 days). The odour though was found to be the same for a week and the pH remained stable through time (Lacivita et al., 2016). Some authors expressed their concern about off flavours which may be produced, because of the free radical oxidation which might be initiated (Choudhary and Bandla, 2012; Delorme et al., 2020). Additionally, Rossitto et al. (2012), via a triangle test for UV treated bovine milk, at 880 J/L and 1760 J/L, identified differences in comparison to the control and those differences were mostly associated with lipid oxidation. Panellists reported significant differences in odour and flavour in Manchego and Gouda sliced cheeses treated with UV pulsed light of 4.2 and 8.4 J/cm<sup>2</sup> compared to the non-treated samples. Mild treatment (lower than 4 J/cm<sup>2</sup>) had no effect on the sensory characteristics (Fernández et al., 2016).

The volatile profile analysis in UV-C treated cheese, showed that aldehydes and hydrocarbons were significantly different compared to the control, probably due to lipid oxidation at higher than 4 J/cm2 (Fernández et al., 2016). Amino acids concentrations were significantly different, too (Fernández et al., 2016). Koca and Öztürk (2020), revealed also the off flavours due to the specific compounds and the "burnt" flavour. When fresh kashar cheese was UV treated though, no changes in lipid oxidation, pH value and moisture changes were observed (Keklik et al., 2019). Another study on fresh kashar cheese, observed no significant differences in ash, pH, fat, protein and hardness for fresh kashar cheese, in comparison to the control sample (nontreated) up to 9.63kJ/m<sup>2</sup> dosage, except that the peroxide levels in this study, were significantly different in between the samples until 0.96 kJ/m<sup>2</sup>. The accumulation though, was not stable after that dose. The score in kashar cheese treated with dose and more 1.93 kJ/m<sup>2</sup> was decreasing as the dose was increasing while the samples were still acceptable at the highest dose (9.63  $kJ/m^2$ ) (Koca and Öztürk, 2020).

#### Sustainability

The specific process is considered as friendly towards the environment and effective in terms of cost (Pendyala et al., 2021). The electrical energy per order (EEO) is usually used to measure the cost efficiency of UV system. The specific factor is affected by the lamp and the geometry of the reactor (Ward et al., 2019). Ward et al. (2019), calculated the energy needed for the inactivation of *Escherichia coli*, *Salmonella typhimurium* and *Listeria monocytogenes* in skim milk and it would require 0.172 kWh energy per m3/log; 0.21 kWh energy per m3/log and 0.196 kWh energy per m3/log respectively.

## **High Pressure**

The specific treatment is mentioned in papers as High Pressure Processing (HPP), High Hydrostatic Pressure (HHP) or Ultra High Pressure Processing (UHPP) (Pal et al., 2015; Rodriguez-Gonzalez et al., 2015; Muntean et al., 2016; Chughtai et al., 2021). It can be applied in a wide range of food products (Ginsau, 2015; Parekh et al., 2017). Some distinguish HPP with HHP mentioning that HPH involves high turbulence, velocity and shear forces, while HPP uses isostatic pressure (Penchalaraju and Shireesha, 2013).

HP is based on hydrostatic pressure which increases the free energy (Heinz and Buckow, 2010; Goyal et al., 2013; Naik et al., 2013) and functions in batches (Huang et al., 2014). The system includes a pressure chamber, pressurizing system and a temperature chamber (supporting unit) (Naik et al., 2013; Pal et al., 2015). It is used on sealed products (closed systems) which are travelling through a vessel containing a liquid medium (Naik et al., 2013; Pal et al., 2013; Pal et al., 2015; Huang et al., 2020), usually water, and reaches pressures from 100-1000MPa (Goyal et al., 2013; Shrivastava and Banerjee, 2017; Evrendilek, 2018; Esteghlal et al., 2019; Huang et al., 2020).

The process lasts for maximum 30 min and the chamber temperature is usually kept below 40°C (Smithers and Augustin, 2012; Huang et al., 2020). The parameters defining HPP are: Temperature, Pressure and Exposure Time (Naik et al., 2013; Pal et al., 2015; Liepa et al., 2016, 2018).

In order to commercialize HPP in dairy industries, understanding the effect on proteins in single and mixed systems is a prerequisite (Devi et al., 2013). The principles describing the functionality of the process are mentioned below:

- Le Chatelier's principle (Naik et al., 2013; Barba et al., 2015).
- Microscopic Ordering Principle (Naik et al., 2013; Balasubramaniam et al., 2015).
- Isostatic principle (Naik et al., 2013; Huang et al., 2020).

### Effect on Product Safety

The main purpose of the specific process was the homogenization of fat globules, but today it is proven that it can reduce the microbial population of food, while maintaining the sensory characteristics (Smithers and Augustin, 2012). Besides, changes in the conformation of the matrix itself, HPP disrupts the membranes of bacteria leading to the inactivation of pathogens (Martínez-Rodríguez et al., 2012; Huang et al., 2014; Shabbir et al., 2020), depending on the type of microorganism (Penchalaraju and Shireesha, 2013; Huang et al., 2014, 2020). Thereafter, HPP can provide products with extended shelf life and higher quality (Mújica-Paz et al., 2011; Ginsau, 2015).

Pressure of 600MPa for 3 minutes led to more than 5 log reduction for Listeria monocytogenes, Escherichia coli and Salmonella spp. in milk (Stratakos et al., 2019). Moreover, the specific application reduced TVC, Enterobacteriaceae, LAB, and Pseudomonas spp. and prolonged the microbiological shelf life of milk by 7 days compared to pasteurized milk (Stratakos et al., 2019). Even though, the specific process is efficient for microbial reduction, when used alone it has no effect or limited effect on the spores (Mújica-Paz et al., 2011; Penchalaraju and Shireesha, 2013; Balasubramaniam et al., 2015; Ginsau, 2015; Stratakos et al., 2019; Huang et al., 2020), while other studies mention that there are ways to achieve the inactivation of spores (Shao et al., 2010; Gao et al., 2011; Balasubramaniam et al., 2015), depending on the temperature applied (Penchalaraju and Shireesha, 2013). The high resistance of spores to pressure, could be due to the multiple layers and the low water activity of the cortex (Parekh et al., 2017).

# Effect on Nutritional Quality and Functional Properties

Authors have proved that HPP preserves product characteristics keeping their original matrix almost intact (Penchalaraju and Shireesha, 2013; Huang et al., 2020). Some believe that this is due to the non-thermal, limited effect on covalent bonds (Penchalaraju and Shireesha, 2013; Huang et al., 2014, 2020; Barba et al., 2015).

The effect of HPP, on low molecular weight molecules such as vitamins and volatile compounds is minimal (Heinz and Buckow, 2010; Naik et al., 2013; Huang et al., 2014; Barba et al., 2015; Pal et al., 2015; Chughtai et al., 2021). It has been shown that HPP could be suitable for certain fermented probiotic products (Tsevdou and Taoukis, 2011; Oliveira et al., 2014; Tsevdou et al., 2020). Cilla et al. (2011), showed that the bio-accessibility of calcium in milk-based beverages treated with HPP was higher than the thermally treated samples.

Further examples, proving the beneficial effects, are the processing of human donor milk using HPP which leads to preservation of adipokines, growth factor, and higher values of lactoferrin, Immunoglobulin (IgG) when compared to pasteurization ( $62.5^{\circ}$ C for 30min) (Wesolowska et al., 2018). Also, it seems that HPP could alter allergies in food such as  $\beta$ -Lactoglobulin in bovine milk by increasing antigenicity (Barba et al., 2015; Chen et al., 2022).

Last but not least, it is s believed that the specific process could improve the functionality of the ingredients in milk such as proteins leading to better foaming and emulsifying properties (Chawla and Patil, 2011; Pal et al., 2015; Bogahawaththa et al., 2018; Esteghlal et al., 2019).

### Effect on Organoleptic Properties

Besides microorganisms, HPP can inactivate enzymes (Mújica-Paz et al., 2011; Ginsau, 2015; Chughtai et al., 2021) and as a result, the product can maintain its organoleptic profile during shelf life (Mújica-Paz et al., 2011; Smithers and Augustin, 2012). For example, Alkaline phosphatase is inactivated at 800MPa for 8 minutes (Pal et al., 2015).

Devi et al. (2013), concluded that HPP can evidently improve coagulation, cheese ripening and curd formation in cheeses, while yoghurt showed less syneresis and ice cream was melting slower than the conventional products. Increased firmness was observed in HPP yoghurts which might explain the milder effect of syneresis, which could be related to increased interaction surface (Naik et al., 2013).

Due to the milder effect on the product's components, HPP can extend shelf life. It has been shown to extend the shelf life in cheeses (Evert-Arriagada et al., 2014) and accelerate the ripening procedure by influencing the enzymatic activity and altering the casein micelles (Martínez-Rodríguez et al., 2012; Naik et al., 2013; Shabbir et al., 2020). HPP milk treated casein micelles had similar size to raw milk when compared to pasteurized milk (Stratakos et al., 2019).

Some authors mention that there is no effect on the primary of secondary structure of proteins (Pal et al., 2015; Huang et al., 2020). Whereas contradictory, others suggest, that in milk the disruption depends on the pressure, which in high forces could lead to irreversible denaturation of proteins and disruption of casein micelles up to their primary structure (Goyal et al., 2013; Liepa et al., 2016; Stratakos et al., 2019). Regarding  $\alpha$ -lactalbumin and bovine serum albumin, no denaturation was observed in skim milk for pressures up to 400MPa (Anema, 2022).

Research done by Evert-Arriagada et al. (2014), on cheeses deriving from fresh pasteurized cow milk, showed higher modulus values, higher stress and lower strain values compared to the controls which indicates more resistance to deformation, lower fracturability and lower deformability respectively. On the same research, application of 500MPa resulted in increased yellow colour and a decrease on lightness during 7 days storage compared to the controls. Panellists found differences between the controls and the pressurized cheeses only for the firmness (HPP cheeses were firmer), while the preference mean score for fresh cheeses and for a shelf life of 22 days was the same.

Another study showed that the  $L^*$  value indicating lightness, was higher for pasteurized milk compared to raw and HPP milk, while the  $L^*$  value of HPP milk was closer to the raw milk. The colour is related to fat globules and in the specific study was shown that the average size of fat globules was smaller in pasteurized milk than in HPP and raw milk (Stratakos et al., 2019).

### Sustainability

Products produced by HPP can exceed 10 billion US dollars (Huang et al., 2014). The limitation of HPH at industrial level, is the high cost and low capacity at pressures higher than 100MPa (Smithers and Augustin, 2012). As a high capital investment is needed, while the throughput is limited, leading to higher production costs in comparison to thermal treatments (Penchalaraju and Shireesha, 2013; Huang et al., 2020). Hence, it could be used at smaller scale for high value products (Smithers and Augustin, 2012) and the energy consumption is much lower than thermal process requiring cooling afterwards (Asaithambi

et al., 2021). Mújica-Paz et al. (2011), mention that the high investment cost has been overcame by increasing the size of the equipment and the capacity of the vessel used. On the other hand, (Shabbir et al., 2020), mention that the cost per L or Kg is US\$0.05–0.5 which is lower than thermal treatment.

# Cold Plasma

Among other non-thermal processing technologies, cold plasma is relatively new technique applied in food-based research (Sharma and Singh, 2020). The cold plasma processing is reported to be effective in microbial and enzyme inactivation (Segat et al., 2016). Furthermore, there were several attempts undertaken to evaluate the effect of this technology on quality parameters of dairy products like milk (Korachi et al., 2015), and dairy-based beverages (Coutinho et al., 2019; Ribeiro et al., 2021). However, the impact of cold plasma on the physicochemical properties and retention of bioactive compounds providing health benefits in dairy products has not been extensively studied (Silveira et al., 2019).

Plasma is the fourth state of matter. It is an ionized gas composed of free electrons, ions, atoms and molecules in the ground or excited states. Its nature is quasi-neutral due to the existence of an equal number of negative and positive charges carried by different species (Sharma and Singh, 2020). Based on degree of ionization and the thermodynamic equilibrium between the constituent species of plasma, two plasma classes are distinguished i.e. thermal and nonthermal (cold) plasma (Pankaj et al., 2018). Plasma characterized by highly ionized species, which are in thermodynamic equilibrium with each other is so called thermal plasma. Its temperature reaches up to 10<sup>4</sup> K and is uniform across all constituent species. Whereas, when the temperature of electrons is very high, but the ions and unionized species are around room temperature, there is thermodynamic nonequilibrium between them, resulting in cold plasma type (Surowsky et al., 2015).

The generation of cold plasma can be achieved using various kinds of apparatus, for example dielectric barrier discharges (DBD), high voltage pulsed discharge, corona glow discharges, gliding arc discharge, plasma jet, radio frequency discharges and atmospheric glow discharges (Sharma and Singh, 2020). Depending on generation technique used, various reactive species are produced, usually from electronically and vibrationally excited nitrogen and oxygen. Moreover, the type of gas used determines the type of reactive species produced. Mostly used gases are nitrogen, hydrogen, oxygen, argon, air and their mixtures. The reactive species react with surfaces resulting in modifications (Annor, 2019). Antimicrobial action of plasma is based on cell membrane damaging/electroporation, followed by leakage of cell constituents, cell shrinkage, dagame of DNA, etching effect and morphological changes (Rathod et al., 2021). The general effectiveness of plasma for inactivation of microorganisms depends on gas type and flow, time of treatment, and electric current intensity. Moreover, the higher the gas flow rate and longer processing times the higher the number of collisions and the possibility of reactive species acting on the microbes, resulting in more efficient decontamination (Silveira et al., 2019).

### Effect on Product Safety

The effectiveness of cold plasma on the microbial inactivation of food has been already established. Kim et al. (2015) evaluated the microbial characteristics of milk treated with encapsulated DBD plasma generated in a plastic container (250 W, 15 kHz, ambient air) and applied to milk samples for periods of 5 and 10 min. The total aerobic bacterial count in the untreated sample was 0.98 log CFU/ml. No viable cells were detected in milk sample after plasma processing. Moreover, the encapsulated DBD plasma significantly reduced the number of pathogens (Escherichia coli, Listeria monocytogenes and Salmonella Typhimurium) inoculated in the milk samples. The 10 min treatment resulted in a bacterial counts reduction by 2.40 log CFU/ml (Kim et al., 2015). In another study of Gurol et al. (2012), the time dependent effect of atmospheric corona discharge generated with 9 kV of AC power supply on Escherichia coli (ATCC 25922) dispersed in whole, semi skimmed and skimmed milk was evaluated. Plasma was applied at time intervals of 0, 3, 6, 9, 12, 15 and 20 min. Three minutes of plasma treatment resulted in a significant 54% reduction in the population of E. coli cells regardless the fat content in milk. The initial bacterial population of 7.78 log CFU/ml in whole milk was reduced to 3.63 log CFU/ml after 20 min of plasma processing.

The initial pathogens concentration in food subjected to cold plasma treatment is of great importance, while higher microbe concentration decreases the inactivation effect of this treatment. Most probably, when more microorganisms are present in food sample, they form clusters of cells which decreases the ability of plasma active ingredients to reach each cell (Coutinho et al., 2018).

To measure the effectiveness of pasteurization process in dairy industry, the activity of alkaline phosphatase (ALP) is routinely evaluated. ALP is an enzyme naturally present in milk of mammals and its activity in pasteurized milk is an indication of insufficient pasteurization process. Therefore, ALP would be a good model enzyme for evaluating the efficiency of atmospheric pressure cold plasma against inactivation of enzymes endogenous to milk (Segat et al., 2016). Results of (Segat et al., 2016) demonstrated that 98% ALP inactivation (equally effective as achieved with HTST or LTLT pasteurization treatments), was obtained with atmospheric pressure cold plasma treatment for 300 s (DBD plasma setup). However, the study was conducted in model buffer media, and the results need to be verified in milk samples.

#### Effect on Nutritional and Functional Properties

The effects of cold plasma technology on nutritional and functional properties of milk and dairy-based beverages were investigated (Korachi et al., 2015; Coutinho et al., 2019; Ribeiro et al., 2021). The study performed by Ribeiro et al. (2021) revealed that cold plasma-treated (a corona discharge plasma, ambient air at ~17°C) whey beverages presented higher concentration of the bioactive compounds (antioxidant activity, and inhibition activity against ACE,  $\alpha$ -amylase, and  $\alpha$ -glucosidase) than the pasteurized product. The cold plasma exposure time of 15 min was suggested to increase the nutritional value of the prebiotic whey beverages.

According to Coutinho et al. (2019), the cold plasma process parameters (i.e. time and gas flow) have a significant impact on the bioactivity of chocolate milk drinks. The milder processing conditions (lower gas flow rates and processing times) resulted in chocolate milk drinks with lower total phenolic content (TPC) and ACE inhibitory activity while maintaining the antioxidant activity similar to the pasteurized product. Whereas, more severe conditions (higher gas flow rates and longer processing), resulted in higher TPC and ACE inhibitory activity with a decrease in antioxidant activity. Considering the effect of cold plasma on chemical composition of dairy products, Korachi et al. (2015) reported no significant changes in the fatty acid concentration of plasma-processed (corona discharge setup) milk compared to fresh sample. Abovementioned results demonstrate that the selection of the suitable cold plasma parameters allows obtaining dairy-based products with improved nutritional quality when compared to the pasteurized product.

#### Effect on Organoleptic Properties

Several studies have reported the effect of cold plasma processing on the sensorial properties of dairy products. The analyses of milk samples treated with atmospheric plasma corona discharge system (9 and 20 min of 9 kV plasma treatment) revealed that this treatment does not cause any significant change to the colour values (L\*, a\*, b\*) (Gurol et al., 2012). In another study of Wu et al. (2021), the values of colour, viscosity and acidity of milk showed an acceptable physicochemical property with DBD treatment at 70 V (120 s) and 80 V (120 s). However, in the study of Ribeiro et al. (2021), using cold plasma system (the power source of 15 kV; 0-15 min), the colour intensity of whey beverages was decreased by plasma treatment when compared with the untreated product. Same authors also revealed that cold plasma treatment has no negative influence on sensory characteristics of whey beverages. On the other hand, some sources highlight the limitation of cold plasma processing. Plasma treatment applied to high-fat dairy foods can lead to oxidation. Thus, the sensory characteristics must be evaluated in detail in the future research (Coutinho et al., 2018).

In general, the sensorial attributes of cold plasma-treated foods are well preserved. In some cases, negative effects were seen as well with respect to flavour and aroma. The detrimental effects can be neglected by further optimization and control of experimental conditions. Cold plasma technology has a better control on conditions over thermal processing (Rathod et al., 2021).

### Sustainability

The features highlighting the sustainability of plasma processing are on-demand production of the acting agent, the absence of water, solvents and residues. It is also a resource-efficient technology. Plasma treatment is environmentally safe—the active species disappear after the plasma power is turned off (Coutinho et al., 2018). Considering the applicability of cold plasma in food industry, it generates lower costs when compared to thermal treatment (Hernández-Hernández et al., 2019). To lower costs of cold plasma generation, it is important to avoid using costly noble gases. For this reason, plasma sources capable of ionizing air at large gaps will be suitable. Furthermore, plasma sources operating at line frequency rather than radio-frequency power sources could help manage costs. Currently, plasma systems are not commercially available as a decontaminating tool in the food industry and still need further exploration (Coutinho et al., 2018).

# Ultrasounds

Ultrasounds refers to sound waves above the frequency of human hearing (> 20 kHz) (Arvanitoyannis et al., 2017). Application of ultrasounds in food processing can be divided according to the wave's frequency ranges, in low frequency (16-100 kHz) and high frequency ultrasound (100 kHz-1 MHz). The high intensity ultrasound (HIUS) is mainly studied for processing of dairy products. Ultrasounds as a standalone technology are not effective in reducing microorganism counts at low temperatures, thus the use of ultrasounds as a nonthermal technology is not a well-studied area of dairy products (Khanal et al., 2014; Guimarães et al., 2018). There are limited studies on application of ultrasounds with no heat treatment (Marchesini et al., 2015) or with controlled low temperature (Gao et al., 2014; Khanal et al., 2014). Increased temperatures and ultrasonic intensities for milk processing may cause changes in sensory and physicochemical properties (Marchesini et al., 2015; Guimarães et al., 2018). The main applications for HIUS in dairy products include the homogenization, emulsification, lactose crystallization, extraction, hydrolysis and microbial inactivation (Guimarães et al., 2018).

The driving force in ultrasound technique in milk is acoustic cavitation caused by the ultrasonic waves passing the liquid milk. The size of cavities becomes large in successive cycles and finally produces acoustic cavitation bubbles. Thousands of bubbles are formed, and a violent collapsing occur in very short time (millisecond scale) by expanding the attractive forces between the molecules in food system (Chandrajith et al., 2018). There are three different mechanism of ultrasound action, namely:

- mechanical damage of the cell wall induced by gradients of pressure generated during the collapse of the cavitation bubbles within or near the cells,
- the micro-streaming inside the cell,
- the formation of chemical compounds during cavitation that interacts with the structure of cell wall causing its disintegration (Hernández-Hernández et al., 2019).

Considering a constant wave frequency, among main factors influencing the efficiency of HIUS, there is treatment intensity, which is a result of the applied power, processing time and food temperature (Guimarães et al., 2021).

## Effect on Product Safety

The inactivation of *Enterobacter aerogenes* in skim milk using low-frequency (20 kHz) and high-frequency (850 kHz) ultrasonication was investigated by Gao et al. (2014). For the low-frequency ultrasound treatment, the log reduction was found to decrease linearly with an increase in sonication time. Highfrequency ultrasound was not able to inactivate *E. aerogenes* in milk even when powers as high as 50 W for 60 min were used. In different study on milk performed by Marchesini et al. (2015), the effect of eight different combinations of ultrasound amplitude (70 and 100%) and duration (50, 100, 200 and 300 s) for their lethal capacity against *Escherichia coli*, *Pseudomonas fluorescens*, *Staphylococcus aureus* and *Debaryomyces hansenii* was evaluated. The strongest treatment (100%  $\times$  300 s) led to a population reduction of 4.61, 2.75, and 2.09 for *D. hansenii*, *P. fluorescens* and *E. coli*. *S. aureus* was found to be resistant to the strongest treatment, showing only an irrelevant log reduction.

These results suggest that decontamination effect of ultrasounds depends on bacteria type and processing parameters like frequency, power and treatment time. Many authors highlight that the ultrasound technology applied alone, using controlled low temperature, is not enough to effectively reduce the number of microorganisms and ensure the food safety (Guimarães et al., 2021). Moreover, low temperatures and mild ultrasonic intensities may be beneficial to stimulate the growth and activity of microorganisms (Pagnossa et al., 2020). Thus, it is suggested to combine the ultrasounds with heat or pressure, which affect the physicochemical properties of the cell membranes and improve the cavitation effects, enhancing the microbial inactivation (Silva, 2020; Guimarães et al., 2021; Akdeniz and Akalin, 2022). Additionally, the choice of using HIUS to reduce microbial load instead of conventional heating, must be precisely, since inefficient ultrasonic treatments may not inactivate but stimulate spore forming bacteria in dairy products (Lim et al., 2019).

## Effect on Nutritional and Functional Properties

Ultrasound applied as a milk processing technology is responsible for the increase in the lipid oxidation in milk (Marchesini et al., 2015). Ultrasonication (20 kHz, 78 and 104 W/4–8 min  $<69^{\circ}$ C) of semi-skimmed sheep's milk does not influence the protein and free amino acid profiles (Balthazar et al., 2019).

Among the reported advantages of ultrasounds applied instead of thermal treatment to reduce the number of microorganisms in multicomponent dairy beverages, there are the concurrent fat homogenization and kinetic stabilization (Guimarães et al., 2019; Monteiro et al., 2020). The application of ultrasounds has been further extended to probiotic food development. Many studies have investigated the application of HIUS in the fermentation of milk and noted an increase in probiotic culture activity and consequent reduction in fermentation time. Its impact is connected with the changes in bacterial probiotic cells treated with HIUS, releasing βgalactosidase, accelerating the transgalactosylation, and lactose hydrolysis fermentation (Huang et al., 2019; Asaithambi et al., 2021). Moreover, as reported by Huang et al. (2019), the treated fermented milk also shows higher nutritional value due to the higher production of bioactive peptides. The pulsed ultrasounds (on-time 100 s and off-time 10s; 28 kHz; 100 W/L/30 min) cause almost 50% increase in peptide content in fermented skim milk.

Currently, there are no ideal ultrasonic powers or in general treatment parameters for each application, since other factors (sample volume, temperature, product type) greatly influence the results. Overall, the technology of ultrasound has been proven as a promising tool for the development of probiotic foods (Asaithambi et al., 2021; Guimarães et al., 2021).

## Effect on Organoleptic Properties

Although high intensity ultrasound applied for longer processing times has the potential to reduce (to some extend) microbial load in milk, the treatment may generate off-odours under certain conditions. Riener et al. (2009) reported that extended sonication (acoustic power output of 400 W, frequency 24 kHz, processing time 2.5–20 min, temp.  $45^{\circ}$ C) of milk could generate volatile organic compounds that may be responsible for a "rubbery" aroma. Authors has related these compounds to both free radical-induced lipid oxidation resulting from the decomposition of unsaturated fatty acid hydroperoxides and to pyrolysis reactions inside the cavitating bubbles. The milk sensorial deterioration due to the formation of off-flavours was also observed by Marchesini et al. (2015) for sonication (constant frequency of 24 kHz, a 400 W ultrasonic processor) applied for longer than 100 s.

On the other hand, the advantage of ultrasound applied to milk are better parameters associated with colour and stability during product storage (Guimarães et al., 2021). Moreover, non-thermal processing by HIUS seems to be an interesting technology for dairy based beverages production, since it presents some additional effects, like better disruption of milk components and decreased viscosity and consistency (Guimarães et al., 2018).

In general, the effects of HIUS on the studied dairy products are difficult to understand, due to the complexity of tested products and varieties of applied parameters. Further studies in respect to the effect of ultrasound on dairy based products must be evaluated before commercialization.

### Sustainability

Ultrasound is considered as a green technology (Alves de Aguiar Bernardo et al., 2021). It is reported to meet process requirements as simplicity and scale up (Chemat and Khan, 2011; Kadam et al., 2015; Arvanitoyannis et al., 2017). According to Chemat and Khan (2011), one of the advantages of ultrasound over standard heat treatment are significant energy savings. The use of ultrasound in industry for milk treatment is likely to occur as a continuous flow system (CFS). It is composed of an ice bath, heat exchanger and an ultrasonic reaction cell coupled with two transducers (Alves de Aguiar Bernardo et al., 2021).

# **Pulsed Electric Fields**

The majority of researches on application of PEF in dairy industry is focused on microbial inactivation or shelf-life (Guerrero-Beltrán et al., 2010; Bermúdez-Aguirre et al., 2012; Zhao et al., 2013; Simonis et al., 2019) and recent data concerning the effect of PEF on physicochemical and functional properties of different dairy products is rather scarce. Cow's milk is the material which is most often subjected to PEF-treatment (Soltanzadeh et al., 2020). However, there are some results on how PEF affect other dairy products i.e. whey (Simonis et al., 2019) whey protein formulations (Schottroff et al., 2019) or goat's milk (Mohamad et al., 2020).

Pulsed electric field technology was proven to have a sublethal effect on inactivation of microorganism (Zhao et al., 2013), to influence some enzymes (Sharma et al., 2014b) and to restore (to

some extent) the physicochemical and functional characteristics of raw dairy products while compared with thermal treatment (Sharma et al., 2016; Schottroff et al., 2019). To date, the mechanism of PEF-induced inactivation of microorganisms has not been clearly identified. Nevertheless, the commonly accepted and investigated phenomenon involved in the destruction and inactivation of microbes is electroporation of their cell membrane (Buckow et al., 2014). The PEF treatment causes the change in transmembrane potential and initiates the pore formation in the membrane of microbial cell membranes. It results in the cell contents diffusing into their surroundings and may lead to the death of living cells. A typical PEF system is composed of a treatment chamber and a high-voltage pulse generator. A liquid product is pumped into the chamber between two electrodes (high-voltage and a grounded electrode) and subjected to electrical pulses (1-100  $\mu$ s) of high-voltage electric fields ~15-60 kV/cm applied for few milliseconds (Hernández-Hernández et al., 2019; Alirezalu et al., 2020). When the electric field is applied, current flows into the food sample and is transferred to each point because of the presence of charged molecules (Shabbir et al., 2021). Square wave pulses (monopolar, bipolar) and exponentially decaying pulses are the most common shapes of wave generated during PEF treatment. It is reported, that better lethal effect can be achieved with bipolar square wave pulses which cause a charge reversal across the cell membrane inducing more effective cellular damage (Sharma et al., 2014c). Depending on electric field strength, treatment time and number of pulses the cell damage may be reversible or irreversible. The lethal effect can be achieved only with irreversible electroporation.

### Effect on Product Safety

Milk as the potential application target of PEF has been the subject of many research papers (Walkling-Ribeiro et al., 2011; Zhao et al., 2013; Sharma et al., 2014a), where the effect of PEF on common pathogens related with foodborne illnesses was evaluated. It is confirmed that the application of stand-alone PEF technology leads to lower microbial inactivation while compared to thermal pasteurization. The effectiveness of milk treatment with PEF is limited due to the presence of fat and proteins (casein) which can serve as protectors of bacterial cell during treatment (Sharma et al., 2014a).

Walkling-Ribeiro et al. (2011), noted 2.0, 2.1, 2.3, and 2.5  $\log_{10}$  CFU/mL reduction in microbial load of bovine skim milk (inoculated with native milk microorganisms) for respective electric field strength and treatment times of 16 kV/cm for 2105  $\mu$ s, 20 kV/cm for 1454  $\mu$ s, 30 kV/cm for 983  $\mu$ s and 42 kV/cm for 612  $\mu$ s. This effect was accomplished with monopolar exponential decay pulses with a pulse width of 1.5  $\mu$ s for milk temperature ranging from 16°C at the inlet to PEF chamber to 40-49°C at the outlet. Samples were collected after cooling PEF-treated milk to 10°C. In comparison with PEF, thermal pasteurization (75°C for 24s) conducted in the same studies, resulted in milk microflora inactivation of 4.6  $\log_{10}$  CFU/ml.

Another studies of Zhao et al. (2013), investigating the effectiveness of square-wave pulses (the pulse repetition rate of 200 Hz and pulse width of 2  $\mu$ s) at temperature lower than 35°C, proved the occurrence of sublethally injured microbial cells (*E*.

*coli*, *S. aureus* and *L. monocytogenes*) after PEF treatment of inoculated whole milk, indicating that electroporation was not sufficient to effectively reduce number of vegetative bacteria. Moreover, the proportion of sublethally injured microbial cells under stress of PEF depended on the type of bacteria and treatment time. Authors Zhao et al. (2013) observed that *L. monocytogenes* is the most resistant strain with less than 2 log<sub>10</sub> reduction achieved with PEF at 30 kV/cm for 200 µs. Whereas, the same treatment parameters cause around 2.8 log<sub>10</sub> cycles reduction of *S. aureus* and >3 log<sub>10</sub> cycles of *E. coli*. In summary, the Gram-negative cells are more sensitive to PEF. A higher degree of inactivation of same cells can be achieved with PEF treatments (30 kV/cm) applied for time range between 200 and 600 µs with the maximum inactivation level obtained after 600 µs (~5 log<sub>10</sub> cycles of reduction for *E. coli*).

The control of enzymatic activity as mean to preserve milk quality and to extend its shelf-life is of great importance when subjecting milk to PEF treatment. The changes in enzymes induced by PEF may be influenced by factors like enzyme type, its structure, the presence of cofactors or the degree of denaturation. The main effect associated with PEF action is the change in enzyme's conformation (Alirezalu et al., 2020). However, similarly to limited microbial reduction, endogenous milk enzymes (alkaline phosphatase and lactoperoxidase) are nonsignificantly influenced by PEF at low temperatures (Buckow et al., 2014; Sharma et al., 2014b; McAuley et al., 2016).

As shown by scientific reports published in the last decade, the degree of inactivation obtained during PEF treatment of milk must be improved to achieve higher bacterial reduction, enzyme inactivation and greater efficiency compared to thermal pasteurization. Some issues still need to be optimized and resolved before PEF technology can be transferred to commercial scale.

### Effect on Nutritional and Functional Properties

Pulsed electric fields technology has a minor impact on food components, thus PEF-treated products retain a more freshlike character with higher concentration of valuable compounds. Considering vitamins, there are no significant losses in the amount of vitamin A and vitamin C in 2 % whey protein isolate (WPI) formulations subjected to PEF processing (32 kV/cm, pulse width of 3  $\mu$ s, inlet temperature <40°C, pH 4-7). Additionally, HPLC elution profile indicated that these vitamins do not undergo chemical modifications during the treatment with PEF (Schottroff et al., 2019). As revealed in the same study, PEF treatment has also very little to no impact on the concentration of immunoglobulins (IgM and IgG) present in WPI formulations. Another research study by Mohamad et al. (2020) concerning changes induced by PEF (20-40 kV/cm, monopolar square wave pulses of 5 and 10  $\mu$ s treatment time, pulse width of 8  $\mu$ s) in goat milk showed reduction in the total saturated fatty acids ( $\Sigma$ SFAs) and total polyunsaturated fatty acids ( $\Sigma$ PUFAs) and increase in total monounsaturated fatty acids ( $\Sigma$ MUFAs). Authors explained that the disintegration of fat and triacylglycerol breakdown from the fat globule membrane, together with heterogeneous metal catalysis oxidation could cause the degradation of certain fatty acids in this particular experiment and further research is needed. Nevertheless, researchers highlighted that applied PEF treatment had minor effects on short-chain fatty acids and medium-chain fatty acids of goat milk and this technique can be recommended to increase goat milk quality at the industrial level.

## Effect on Organoleptic Properties

The effect of PEF treatment on dairy liquids' sensory properties has not been widely studied to this date. There are few research papers published in the last decade concerning this topic. They are focused on the effects of PEF on the volatile profiles of milk evaluated by gas chromatography/mass spectrometry (GC-MS) (Zhang et al., 2011) and assessment of color changes in same media examined with colorimetric analysis (McAuley et al., 2016).

The study of Zhang et al. (2011) revealed that PEF treatment (15-30 kV/cm for 800  $\mu$ s, bipolar square-wave pulses with width of 2 µs, the pulse repetition rate of 200 Hz and temperature <40°C) results in increase in aldehydes and does not alter methyl ketones of milk, while heat treatment (75°C for 15s) induces an increase in both compounds. Additionally, no significant differences in composition of alcohols (ethanol, 3-methylbutanol, pentanol, hexanol, and 2-ethyl-hexanol), acids (butanoic acid, acetic acid, octanoic acid, hexanoic acid, and decanoic acid), and lactones ( $\delta$ -dodecalactone and  $\delta$ -decalactone) were detected among raw, heat-treated and PEF-treated milk. The exceptions were 2(5H)-furanone (caramel odour) detected only in PEF-treatment milk and not identified odorant described as "fatty and waxy" detected in PEF-treated sample and not detected in thermal treated sample. Considering odour intensity, these two compounds are classified as clearly perceived odours which indicates that sensory profile of PEF-treated milk may be slightly different from that of raw or pasteurized milk. However, as mentioned by authors, further investigation is necessary to understand the formation mechanism of volatile compounds induced by PEF.

Similarly, to minor effect on volatile compounds, colour analysis of PEF-treated milk (30 kV/cm for 22  $\mu$ s) conducted by McAuley et al. (2016) revealed that PEF generates marginal changes in lightness of this product.

### Sustainability

Pulsed electric fields treatment is considered as environmentfriendly technology that can be applied in food processing for microorganism/enzyme inactivation and recovery of bioactive compounds (Li and Farid, 2016). However, further development in PEF equipment is necessary to lower the energy demand to achieve results compared to standard pasteurization and transfer PET to the industrial level as competitive technology (Alirezalu et al., 2020). PEF is still too complex to easily upscale it from pilot to industrial scale (Arshad et al., 2020). It is stated that PEF treatment would cost more to process the same amount of liquid food in comparison with other emerging technologies like membrane filtration and ultraviolet radiation or even a conventional heat processing (Alirezalu et al., 2020).

## **Membrane Filtration**

Membrane filtration has been applied in dairy industry since 1960s (Ribeiro et al., 2010; Kumar et al., 2013) and is based on separating compounds by blocking some (retentate) and allowing permeance of other (permeate). The liquid product travels through a membrane by hydrostatic pressure (transmembrane pressure) (Skrzypek and Burger, 2010; Kumar et al., 2013; Shabbir et al., 2020). The membranes are defined by the size of their pores and their cut off; Microfiltration (MF), Ultrafiltration (UF), Nanofiltration (NF), Reverse Osmosis (RO) (Ribeiro et al., 2010; Kumar et al., 2013).

During MF the particles passing through the membrane are of sizes 0.2–2 $\mu$ m and in comparison to UF the pressure is much lower (Kumar et al., 2013). UF uses semi permeable membranes where only water and low molecular weight compounds can pass through it, with a cut off of 10,000 MW (Molecular Weight). RO is a process which uses high pressures and only low molecular weight solvents can pass through the pores. NF can be assumed as a type of RO, allowing only monovalent ions to pass from the membranes by using a cut off of 100 MW The retained part is called "retentate" or "concentrate" and the part which passes through the membrane is known as "permeate" (Kumar et al., 2013).

Cellulose acetate membranes are usually used due to their low cost and the low percentage of fouling (Shabbir et al., 2020). Cross flow electro membranes are also known for their low cost and easy scale up (Leeb et al., 2014). The use in dairy industry is mostly oriented towards fractionation of fat/proteins, demineralization, extension of milk's shelf life and whey purification/processing (Kumar et al., 2013). Whey purification is essential for protecting the environment, as most of the whey/brine is waste. By filtrating, minerals and other hazardous compounds are not released in the environment (Kumar et al., 2013). Further examples are the MF usage to reduce somatic cells in milk (Ribeiro et al., 2010; Wang et al., 2019) and RO already being used for the production of concentrated milk (Skrzypek and Burger, 2010).

### Effect on Product Safety

Microfiltration has been proved to be efficient procedure for microbial and spore removal by many studies (Ribeiro et al., 2010; Tomasula et al., 2011; Barukči et al., 2014; Fernández García and Riera Rodríguez, 2014; Shrivastava and Banerjee, 2017; Griep et al., 2018; Marx et al., 2018; Wang et al., 2019). Some authors mention that using only MF for microbial safety, is not recommended as spores and some pathogenic bacteria may survive and grow during the shelf life of products such as milk (Fernández García and Riera Rodríguez, 2014). Others suggest, that microfiltration by ceramic membranes, is a safe way and non-invasive way of purification (Skrzypek and Burger, 2010).

Tetra pack International SA in Switzerland is already using Bactocatch for microbial removal in skim milk using  $1.4 \,\mu$ m cross flow transmembrane ceramic membrane (Ribeiro et al., 2010; Caplan and Barbano, 2013). Furthermore, Isoflux<sup>TM</sup> ceramic membranes are efficient for the production of ESL milk in combination with heat, they can remove spores and keep the flux constant and are used widely (Skrzypek and Burger, 2010).

# Effect on Nutritional Quality and Functional Properties

Membrane filtration is already a technique for the production of ESL milk (Ribeiro et al., 2010; Caplan and Barbano, 2013; Kumar et al., 2013; Panopoulos et al., 2020). It is also used for the fractionation of dairy proteins which by hydrolyzation lead to the production of beneficial peptides. Besides fractionation of proteins, the enzymatic activity can be reduced (Fernández García and Riera Rodríguez, 2014). There is the need though for higher fractionation efficiency and higher throughput (Leeb et al., 2014).

Skrzypek and Burger (2010) concluded that for Isoflux<sup>TM</sup> ceramic membranes are suitable for the production of ESL in combination with heat. MF can reduce the temperature of UHT milk by 20°C and in combination with pasteurization can provide a shelf life of 30 days stored at 4-6°C (Fernández García and Riera Rodríguez, 2014). Also, it allows the retention of proteins and their commercialization (Ribeiro et al., 2010).

The balance between ensuring safety and maintaining nutritional quality for ESL milk is very difficult (Fernández García and Riera Rodríguez, 2014). As mentioned before the constant flux is also difficult to maintain, but membranes of  $1.4\,\mu\text{m}$  can work for continuous flux, no rejection of precious compounds such as proteins and achieve microbial reduction. The major drawback is that fat is around the same size as microbes which leads to fast fouling and therefore low performance of the system (Fernández García and Riera Rodríguez, 2014).

Consequently, they are promising for the production of innovative products as the nutritional value of the products is not affected and they can be used for protein fractionation. Last but not least, they can be used for brine purification as it assures microbiological safety and does not affect the proteins and salts in brine (Skrzypek and Burger, 2010).

### Effect on Organoleptic Properties

For the production of cheeses, the milk derived from MF is considered appropriate, due to the optimization of its components and its microbial premium quality. Regarding milk fat processing, membranes are being used to produce milk cream with improved quality and extended shelf life. RO can concentrate milk without affecting any of its components and remove 70% of its water without heating being involved, while the UF permeate consists of only water and lactose (Kumar et al., 2013).

The use of brine deriving from MF has been proved to produce ripening cheeses of higher quality compared to the brine deriving from heating (Ribeiro et al., 2010). Wang et al. (2019), performed triangle tests of MF and non-MF skim milk in order to identify differences during shelf life. At 5 days refrigeration the random panellists could not identify any differences, while at 24 days refrigeration there was a significant difference between the samples. The differences were based on "lighter taste", "less sweet" and "less creamy" and the authors justified these observations by the reduced solids in the MF milk. Trained panellists did not find any differences during the 24 days of shelf life. Finally, Kumar et al. (2013), concluded that the use of membranes in the dairy industry provided products with higher quality and created space for innovation, while Ribeiro et al. (2010), stated that sensory characteristics of milk are improved.

#### Sustainability

The cost for installing equipment for an extended shelf life (ESL) milk product produced by microfiltration is lower to the cost of ultra-high temperature (UHT). For installing a microfiltration of capacity 25,000L/h with a cream heater is about  $600,000 \in$ , while a UHT ESL installation will be around 1,000,000 EUR for the same capacity (Skrzypek and Burger, 2010).

# **CONSUMER ACCEPTANCE**

The terminology is important for the communication with the consumers. Consumers need to be educated about the benefits of non-thermal technologies (Shrivastava and Banerjee, 2017; Coutinho et al., 2021), as they believe them to be overestimated and it is too risky to replace them, so they are not willing to pay more than the conventional ones (Coutinho et al., 2021). Hence consumers still tend to reject novel products by non-thermal technologies (Tuorila and Hartmann, 2020).

Studies suggest that informing consumers about novel nonthermal processes and their labelling could be a solution to increase the intention of buying the specific products (Jaeger et al., 2015; Coutinho et al., 2021; Schiano and Drake, 2021). They require simple, short and understandable labels stating the benefits of the novel technologies (Jaeger et al., 2015). On the other hand, consumers are still cautious about unknown processing terms (Schiano and Drake, 2021) and the industry recognizes that labelling of the novel technologies could be a barrier for their adoption due to that (Meijer et al., 2021). It seems that some novel technologies are more acceptable than others due to the familiarity of the terms. HPP (pressure) seems to be more acceptable than PEF (pulses) (Olsen et al., 2010). HPP was associated with more positive comments in comparison to PEF (Jaeger et al., 2015).

Delorme et al. (2021), presented three different stimuli of Prato cheese to Brazilian consumers, where the label was differing. The label of the first one was "Sliced Prato cheese" which was the conventional one, the second one was "Sliced Prato cheese treated with UV light" and the third one was the same with the second but had an explanation of the benefits of UV light. The results showed that even though there was no significant difference in the perceived safety and quality, the "Sliced Prato cheese treated with UV light" without further information, had lower acceptance than the conventional, even though the consumers were characterized by innovativeness. The study revealed that consumers need to be informed about novel technologies in order to accept them (Delorme et al., 2021). Generally, studies for the consumer acceptance of UV-C treated dairy are very limited (Rossitto et al., 2012; Delorme et al., 2021).

Another example related to terminology, is a study done by Jaeger et al. (2015), in which consumers associated "Micro Electric Pulse" with more neutral reactions, compared to the term "Pulsed Electric Field", even though it was describing the same technology. The same study revealed that young people are more open to the non- conventional treatments while the elderly associate them with "more expensive".

Mújica-Paz et al. (2011), highlights that HPP due to the preservation of high nutritional value and quality, the microbial safety and the lack of preservatives directing closer to the term natural, has great potential for high consumer acceptance. Consumers are still not aware of the beneficial effects of non-thermal technologies which can influence their acceptance (Mújica-Paz et al., 2011) and they will be the ones assuring the success of a product (Olsen et al., 2010).

Parekh et al. (2017), states that consumers are willing to buy a product only of its safe and to pay more if they are characterized as premium quality (Murphy et al., 2016). It was shown that HPP is the second most applicable non-thermal technology around the world after microwaving (Jermann et al., 2015). The survey showed that the participants were driven mostly by the added-value and premium quality. Brazilian consumers (72.3%) were willing to buy a chocolate milk drink processed by cold plasma if the product was sold at the same or lower price from the conventional one (Coutinho et al., 2021).

In terms of cold plasma processing, it was reported that despite reduced consistency of whey beverages subjected to cold plasma treatment, the consumer acceptance of cold plasma treated product was similar to the untreated one (Ribeiro et al., 2021). This result is important from the commercialization point of view, as it demonstrates that it is possible to formulate plasmatreated whey beverages that maintain the acceptance of the untreated products.

# **FUTURE TRENDS**

Over the last decade a number of studies have been published regarding novel processes. The next step, is scaling-up of these processes, to eliminate their drawbacks and to connect to consumer acceptance. In order to industrialize the processes, their efficacy and safety parameters should be established, therefore, industries need to understand the mechanisms of action, determine the critical control points of the whole production line, ensure quality and implement risk and cost analysis in order to scale up the processes. The industry should be directed towards cost effectiveness and have data on the specific topic compared to the thermal treatment (Chakka et al., 2021).

As an example, the efficacy of thermal processes is easy to monitor, as heat processing cause changes in milk enzymatic activity; i.e. alkaline phosphatase activity (ALP) in pasteurized milk is an indication of insufficient pasteurization process (Segat et al., 2016). Such a fast indicator needs to be established for the novel non-thermal technologies for a rapid assessment of the process efficacy in producing a safe product (Delorme et al., 2020).

The challenge associated with these novel technologies is that their mode of action is based on many product parameters the affect processing i.e. for the case of the UV-C technology surface, opacity and turbidity of the product, intensity and dose of the light, species of the microorganism are related to end-product safety (Pereira et al., 2014; Fan et al., 2017; Pendyala et al., 2021). As a result, specific studies should take place on each system and on each product in order to specify the conditions to ensure that the product is safe for consumption. Moreover, higher product penetration and efficiency needs to be guaranteed to counteract the high investment cost (Can et al., 2014; Koca and Öztürk, 2020).

The effects of HIUS technology are also very dependent on the processing parameters, which affect the acoustic intensity or the total acoustic energy received by the food. The ultrasonication area still lack a consensus in the presentation of processing parameters and the form of calculation of the real applied acoustic energy in order to be able to compare results of different studies. Thus, further studies should be focused on the determination of processing parameters for each type of dairy product. In addition, it is necessary to determine the inactivation kinetics of different pathogens by ultrasound in variety of dairy products. The aim of the future research would be the application of quantitative risk analysis models, which could be used as a part of food quality management system (Asaithambi et al., 2021; Guimarães et al., 2021).

In terms of PEF processing, extra capital expenses of commercial PEF equipment and processes over traditional heat treatment will need to be balanced by a premium-priced product (Soltanzadeh et al., 2020). Moreover, data obtained from the laboratory-scale trials (usually batch-type with the laminar flow) should be compared with results collected from the

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industrial scale. As processes differ in uniformity and conditions, generalization of data to commercial-scale is often not feasible and reliable (Buckow et al., 2010). Therefore, up-scaling of PEF-equipment for dairy applications remains a challenge and should be a topic of future studies. Same applies for HPP, Cold Plasma and Ultrasound (Coutinho et al., 2018; Chakka et al., 2021).

Along with the abovementioned, consumers need to be informed adequately in order for commercial products derived from these novel technologies, to be accepted (Ribeiro et al., 2022). Generally, studies for consumer acceptance of novel processes are very limited. Usually, consumers are sceptic of unknown words such as irradiation (Chacha et al., 2021). In order for the consumers to accept such technologies they need to familiarized with such terms (Tuorila and Hartmann, 2020; Coutinho et al., 2021; Schiano and Drake, 2021).

Summing up, future studies should focus on the cost efficiency of the production, on ensuring the safety of food especially regarding inactivation of spores and informing the consumers about the advantages of these non-thermal technologies in order to accept them.

## **AUTHOR CONTRIBUTIONS**

PP contributed to conception and design of the study and edited the draft. IN and JT wrote the first draft of the manuscript and contributed to the design of the study. All authors contributed to the article and approved the submitted version.

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