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# Socio-economic characterization, identification and prioritization of major constraints in Dhumuga learning watershed, Ambo, Ethiopia

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Understanding the socioeconomic profile of a particular watershed is essential for effective project planning prior to intervention. This study aimed to gather baseline information, record the existing socioeconomic conditions, and identify key constraints within the Dhumuga learning watershed located in the West Shewa zone of Ethiopia. The information was collected through a household survey and focus group discussions. Through focused group discussions, issues like deforestation, water erosion, restricted access to enhanced crop varieties, insufficient irrigation water, and the decline in soil fertility were recognized and prioritized. The survey findings revealed that 82% of households were headed by males, with 40% of household heads possessing basic literacy skills (able to read and write), while 54% were enrolled in primary school (Grades 1-8). Crop production generated 912.08 USD per household per year on average as of 2023 Ethiopian foreign exchange rates to USD, while livestock production generated 2,612.39 US dollar per household per year. In relation to food security, 36% of households have occasionally faced food shortages caused by natural issues during the kiremt season. The research also highlighted challenges such as land degradation from water erosion (46%), improper tillage practices (34%), and deforestation (20%). Additionally, 78% of households rely on firewood for cooking, with 98% of this firewood sourced from local forests and trees, posing a significant threat to forest resources and their sustainable management. For effective management and oversight of the Dhumuga learning watershed, it is recommended to implement forest resource conservation initiatives, promote the use of energy-efficient stoves, enhance soil fertility strategies, establish soil erosion control methods, and introduce innovations for community livelihood improvement, along with raising awareness about various natural resource management activities.

### KEYWORDS

baseline, Dhumuga watershed, socioeconomic, survey, West Shewa

# **1** Introduction

The strategy and planning for managing natural resources based on watersheds began in Ethiopia during the 1980s. This approach has continued to evolve, but it has often lacked effective community involvement, a sense of stewardship for created resources, and planning units that are manageable (Desta et al., 2005). While initiatives for re-greening Ethiopia were initiated in the 1890s (Bekele, 2003), and large-scale strategies for soil and

water conservation were introduced on agricultural land during the mid-1970s and 1980s (through food-for-work programs) (Aklilu, 2006) to combat escalating land degradation, the outcomes of these efforts have been minimal due to a top-down methodology and a focus on plot-level execution. Additionally, the country has encountered significant environmental and land degradation, driven by a complex set of factors including population growth, the poor livelihoods of rural communities, unsustainable use of natural resources beyond their recovery ability, and inadequate livestock management (Hurni et al., 2010; Gashaw et al., 2018). As a result, Ethiopia is currently one of the most severely impacted nations in Sub-Saharan Africa regarding land degradation, with approximately a quarter of its total land area degraded, impacting nearly a third of its population (Le Quere et al., 2016). Sociodemographic factors encompass the household head's experience, gender, household size, and educational level; while those factors comprise off-farm income, cattle count, and ownership of a corrugated house. Assessment of socioeconomic characteristics plays a vital role in conserving and restoring natural resources, enhancing agricultural productivity, and improving rural livelihoods. It plays a crucial role in addressing the challenges of land degradation, food insecurity, climate change, and poverty eradication (Dias et al., 2021).

Ethiopia has initiated the second phase of its Growth and Transformation Plan (GTP II), scheduled to continue until 2019/20. GTP II is focused on socioeconomic and environmental protection. It aims for an average annual GDP growth of 11%, and in accordance with the industrial sector is projected to grow by an average of 20%, leading to increased employment opportunities. It has been reported the proportion of the population living below the national poverty line dropped from 30% in 2011 to 24% in 2016 (Ethiopia Forest Climate Change Commission, 2018). The people of Ethiopia, especially those living in rural areas, heavily rely on natural resources for their livelihood security. However, due to factors such as population pressure, unsustainable use, inadequate management, and the expansion of commercial farms and infrastructure, there has been significant depletion of natural resources and environmental degradation (Ethiopia Forest Climate Change Commission, 2018).

This has led to various environmental issues, including climate change, pollution, land degradation, deforestation, water scarcity, and loss of biodiversity, which pose a high risk to the country's political, economic, and social landscape. The unwise utilization of natural resources and failure to protect the environment could lead to consequences such as floods, landslides, droughts, desertification, and loss of land productivity, ultimately resulting in population displacement and increased rural-urban migration (Ethiopia Forest Climate Change Commission, 2018; Dufera et al., 2020).

As the report of Ethiopia Forest Climate Change Commission (2018) indicates, the country is susceptible to climate variability and global climate change, with mean annual temperature having risen by 1.3°C between 1960 and 2006 and further warming predicted in the coming decades. It is estimated that climate change could potentially impact the country's GDP growth unless effective measures are implemented to enhance resilience.

Nowadays, different integrated watershed development activities have been carried out in Ethiopia to reverse the ongoing situation by restoring the degraded landscapes and primarily improving the livelihood of the farming communities with the financial support provided by IDA, GEF, GIZ, and the World Bank (Mekuria et al., 2021). In a similar vein, it has been established that enhancing degraded landscapes with comprehensive restoration strategies boosts landscape effectiveness, leading to improved soil quality, animal wellbeing, and biodiversity (Mekuria et al., 2017). Furthermore, various studies have indicated that adopting various landscape-level natural resource management strategies—such as offering better crop and vegetable varieties, initiating irrigation systems, enhancing agricultural practices, and implementing soil and water conservation techniques—has positively impacted the livelihoods of the watershed community (Habtamu, 2011; Dufera et al., 2020).

As a result, the Ethiopian Institute of Agricultural Research initiated the Climate Action through Landscape Management project in 2020 G.C. to deliver results-oriented support and encourage field-based initiatives aimed at enhancing participatory watershed management practices to mitigate land degradation. Consequently, the socioeconomic assessment of the learning watersheds was identified as one of the essential proposed activities. Since social and economic factors typically encompass the socioeconomic aspects and provide detailed demographic and other pertinent information regarding the watershed residents and various stakeholders (Calderon et al., 2013), this research was designed to gather and record the baseline data on socioeconomic aspects by identifying key socio-economic challenges and opportunities in the Dhumuga learning watershed for planning and impact evaluation.

## 2 Research methods

### 2.1 Descriptions of the study areas

The Dhumuga Learning Watershed, situated in the West Shewa Zone of Ethiopia, was chosen for its convenient accessibility for monitoring and follow-up in collaboration with the Ambo Woreda Agricultural Office. Following the criteria established, the watershed outlet was located and marked. It is geographically positioned between  $8^{\circ}54'0''N$  and  $8^{\circ}55'0''N$  latitude, and  $37^{\circ}49'30''E$  to  $37^{\circ}50'30''$  E longitude (Figure 1), covering a catchment area of 564 hectares, with an altitude ranging from 2,189 to 2,555 m above sea level.

### 2.1.1 Climate of the area

The total annual rainfall is 973.10 and 1,092.20 mm in 2021 and 2022 cropping seasons respectively. This shows that total rain fall in 2022 is greater than that of 2021 that may be resulted from high monthly rain fall in April, June and August. The monthly distribution of relative humidity, minimum and maximum temperature were similar in both years (2021 and 2022) specially during the rainy months (June to November).

### 2.1.2 Soil type

The soil type of the area specifically of the watershed were two soil types (Orthic luvisols and Pellic vertisols) in dhumuga watershed; in which Orthic luvisols is a dominant soil type (491



ha) or 87.1 % of the total watershed area; lies from the inlet to some parts of the outlet.

During the field survey, three erosion types gully erosion, rill, and sheet erosion were identified by the surveyor groups; of which gully erosion is the one that is the dominant one and very serious. Two locations of large gullies (inlets namely Tulu Bale Welde and Tulu Bade) were identified. Most of the gullies identified in the Dhumuga watershed were categorized under large class gullies according to Imeson and Kwaad's (1980) gully class characterization.

### 2.1.3 Land use and topography

Agricultural practice of the area is crop production and rearing of animals; in which crop such as wheat and Tef are the widely produced crops. The analysis of land use and land cover change over 40 years of the watershed stated in biophysical study of this project indicates a slight increase in settlement land use change, while there has been a significant reduction in forest and grazing land use. Conversely, agricultural land use has shown a substantial increase. Therefore, the expansion of agricultural land is the primary factor contributing to the reduction of forest and grazing land use. Consequently, it is crucial to implement appropriate land management strategies to preserve the remaining fragmented forest and other natural resources for future generations.

Based on the slope map result, six categories of slope classes (Figure 2) were identified. The dominant slope classes are 8%-15% and 3%-8%; followed by 15%-25%; Elevation of the watershed lies between 2189 to 2555 masl. The land feature of the watershed is dominated by: rolling terrain (45% in area coverage) and gentle flat to undulating (39% in area coverage) (Table 1). Due to this, the susceptibility of soil for water erosion become higher with the overgoing of deforestation from the upper catchments. In

terms of the water resources, though the study area is rich in water resources; specifically, the study watershed has only one ephemeral/intermittent river with other sub stream orders.

### 2.2 Data collection and analysis

### 2.2.1 Sample size determination

The sample size was determined according to Yamane's (1967) sampling formula:

$$n = \frac{N}{1 + N\varepsilon^2}, \varepsilon = adjusted margin of error$$
$$[\varepsilon = \left(\frac{pe}{t}\right) = 2\left(\frac{0.05}{1.96}\right) = 0.051]$$
(1)

 $n = \frac{N}{1+N\varepsilon^2} = \frac{105}{1+105\ 0.051^2} = 82$  (i.e., 82 households were involved in the household survey); unfortunately, 20 household information was canceled due to an error occurred by enumerators during data collection. The entire watershed has been stratified into three main categories of villages (Upper catchment, middle catchment and lower catchment villages). Then the 60 household survey samples have been divided for the three villages 20 samples for each village to ensure the representativeness of samples and reduces biasness. Therefore, the remaining 60 samples have used for the analysis. The collected 60 household data is accounted for 63% which is big enough to conduct the analysis. The researchers have ensured that the sample is representativeness by and decided to handle the remaining data.

N = minimum returned sample size, N = population size (household in the watershed) = 105, e = the degree of accuracy expressed as a proportion = 0.05,  $\rho =$  the number of standard deviations that would include all possible values in the range = 2, t = t-value for the selected alpha level or confidence level at 95% =1.96.



FIGURE 2

Photos during a focused group discussion at Dhumuga Learning Watershed since February 2021 G.C. Source: own camera during a focused group discussion at Dhumuga watershed, 2021.

TABLE 1 Family size and age of households.

Descriptions	Measurement	Ν	Mean	Standard deviation
Age of family members	Age 15	50	3	1.39
members	Age 15–46	48	4	2.01
	Age above 46	27	2	0.73

Source: Survey result, 2022.

# 2.2.2 Problem identification and pairwise matrix ranking

Focus group discussions (Figure 2) with nine to 10 members were used to collect, identify, and rank the problems (Figure 2). Farmers were grouped into Men and Women groups. Those groups have been also grouped into local wealth category (income level) prior to conducting the questioner. To manage the variability and reduce the dominance among different classes, a focus group discussion was held with representatives from three groups (lower, medium, and higher status). The pairwise ranking matrix was used to rank the identified problems based on the best-worst scaling theory methods of Louviere et al. (2015).

### 2.2.3 Data collection

A diverse team of knowledgeable experts and researchers carried out the household survey (see Figure 3). Prior to the survey, enumerators and supervisors underwent training focused on the questionnaires, data collection methods, and foundational principles. The socioeconomic and natural resource management research teams developed the questionnaires (refer to Supplementary Appendix 1).

### 2.2.4 Data analysis

The information gathered during the Focused Group Discussion (FGD) was evaluated utilizing a pairwise ranking

matrix. In addition, the data obtained from household surveys were examined through descriptive statistics, including percentage, frequency, mean, standard deviation, maximum, and minimum.

# **3** Results and discussions

### 3.1 Demographic characteristics

Demographic factors such as age, gender, and educational attainment play a crucial role in recognizing potential socioeconomic networks and forming a basis for understanding household conditions (Storck and Doppler, 1991; Nantha et al., 2009). During the project intervention, it is essential to outline the general characteristics of the farm households within the watershed. This research utilized data collected from 62 farm households randomly selected in the Dhumuga learning watershed. The sample consisted of 82% male-headed households and 18% female-headed households (Table 1).

Education serves as a significant factor that positively affects decision-making by enhancing farmers' understanding of how to acquire, manage, and utilize pertinent information, which leads to the adoption of advanced technologies. The level of educational attainment is often employed as an indicator of the technical skills acquired, and hence, reflects the quality of human capital. Within the watershed, 40% of the heads of the sampled households had basic literacy (could read and write), while 54% and 6% had completed primary school (1–8th grade) and high school (9–12th grade), respectively (Figure 4).

# 3.2 Land ownership and utilization in the watershed

In the study region, the primary methods of acquiring land included land redistribution, inheritance, and receiving land as gifts. A significant portion of households, specifically 45%, had



#### FIGURE 3

Sample photos during household survey at Dhumuga Learning Watershed since May 2021 G.C. Source: own camera during a focused group discussion at Dhumuga watershed 2021.



inherited their land from relatives, while 40% obtained it from government sources (Figure 5). The aspects of land ownership, crop cultivation, and productivity can all influence watershed management practices, both in direct and indirect ways. According to Nantha et al. (2009), land ownership may affect the level of community involvement in watershed development aimed at conserving, managing, and utilizing natural resources.

For farmers, productive land is a vital resource. In the study area, the average household had access to  $\sim$ 2.37 hectares of arable land that could be utilized by an economically active family (Table 2). The dominant types of crops grown in the watershed, in terms of area, were cereal crops such as Tef (Eragrostis tef), Wheat (Triticum vulgare), and Faba beans (Vicia faba; Table 2). The reported crop yields were eight quintals/ha for Tef, 24 quintals/ha for Wheat, and 7 quintals/ha for Faba beans, all of



TABLE 2 Types of crops grown in the watershed with area cultivated and productivity.

Crop types and land allocation	C	ultivate	Crop productivity Kg/ha		
	Mean	Min	Max	SD	
Total land owned (ha)	2.37	0.15	8	-	-
Barely	0.31	0.06	0.75	0.19	1,800
Wheat	0.64	0.06	2	0.47	2,400
Maize	0.31	0.06	1	0.20	1,800
Teff	0.95	0.09	3	0.70	800
Bean	0.22	0.125	0.5	0.13	700
Pea	0.25	0.06	0.5	0.17	500
Noug/Niger	0.43	0.125	1	0.17	300
Linseed	0.25	0.25	0.25	-	400
Onion	0.1	0.1	0.1	-	_
Tomato	0.25	0.125	0.5	0.22	_
Potato	0.25	0.06	0.75	0.18	2,500

Source: Survey results, 2022.

which are considerably lower than the national average productivity statistics provided by the CSA (2021) (Tef 21.26 quintals/ha, Wheat 31.51 quintals/ha, and Faba beans 24.33 quintals/ha) achieved by smallholders during the meher season.

Households in the Dhumuga watershed used on average 224 kg/ha of NPS fertilizer and 116 kg/ha of urea fertilizer during the production season (Figure 6).

The production of crops requires inputs to obtain high yields. Therefore, the use of fertilizers (organic and inorganic fertilizers) and plant protection chemicals are a critical requirement to produce crops. Table 3 summarizes



the agricultural input utilization of the households in the watershed.

Livestock ownership assessment results indicated that 34% of the households in the watershed owned more than a pair of oxen, while 29% owned a pair of oxen (Figure 7). Furthermore, 24% of households do not have an ox. Traditionally, it is assumed that ox ownership is a major indicator of wealth status in rural areas of Ethiopia, and having a larger herd is also used as a buffer against poverty (Scott, 2019). As a result, households without an ox were classified as poor. Like land ownership, livestock ownership affects the community's participation in watershed development activities, both positively and negatively.

The average size of livestock kept by farm households in the watershed is presented in Table 4. The livestock species found in the study area are cows, oxen, bulls, heifers, calves, sheep, goats, chickens, donkeys, and sheep.

Regarding crossbreed awareness of livestock, only 11% of households in the watershed were aware of the crossbreed cows (Table 5). Many farm households did not own cross-bred cows due to a lack of awareness (47%), the high price of cross-bred cows (33%), feed problems (21%), and lack of cross-bred cows from reliable sources in the study areas.

In the watershed, about 9% of households used improved bulls, while only 2% used artificial insemination (AI) services for cow breeding (Figure 8). Furthermore, 67% of the households used a local cow for AI services (Figure 8).

For livestock drinking, 90% of households used streams or rivers, while the remaining used springs (8%) and ponds (2%). About 36% of households were aware of improved forage, and 55% of farm households grew different improved forages in the watershed (Figure 9). Furthermore, the use of improved feed in the Dhumuga watershed was very low, with most households did not practice (Table 6).

The major feed resources in the study area are shown below. Different feed resources were identified and used to varying degrees by farm households in the study area.

Some households have stopped using the improved feed for various reasons. As a result, 34% of households discontinued growing the improved forages due to the poor performance of the forages (Figure 10), while 66% of households stopped growing due to the high cost of forage seeds, a lack of growing

TABLE 3	5 Crop types and agricultural input utilization of the households.
---------	--------------------------------------------------------------------

Crop type	NPS (Kg/ha)	Urea (Kg/ha)	Manure (Kg/ha)	Herbicide (Liter/ha)	Fungicide (Liter/ha)
Barely	100	50	0	0.75	0
Wheat	150	100	0	1	0
Maize	50	0	1,500	0	0
Teff	75	75	0	1	0
Bean	100	0	500	0	0
Pea	50	0	400	0	0
Noug/Niger	0	0	0	0	0
Linseed	0	0	0	0	0
Onion	150	150	0	0	0
Tomato	100	100	0	0	1
Potato	150	150	0	0	1.5

Source: Survey results, 2022.



land, and a lack of forage supply in the Dhumuga watershed (Figure 11).

From the total sampled households, 63% of the respondents have faced feed shortage (Table 7); while 37% had enough feeds for their animals. Hence, the households faced feed shortages for an average of 4 months.

As indicated in Figure 12, 82% of the households traveled a long distance to cure or treat their animals during the disease occurrence. Consequently, the community ought to travel more than 10 km to get treatment (cure) their animals, which could be a risk for sick animals.

From the total interviewed households, only 13, 22% of the respondents used modern beehives in the Dhumuga watershed Figure 13. Most farm households did not have practiced improved beehives. The use of modern beehives and apicultural activity in the watershed is not satisfactory.

On average, 39% of households indicated that their soil fertility is poor (Table 8); conversely, 34% believe that their farmland has moderate fertility. Additionally, 56% of the households did not implement conservation practices on their fields. Crop rotation is the most widely adopted agronomic practice among TABLE 4 Livestock ownership of the households in the Dhumuga learning watershed, 2021.

Livestock	Ν	Min	Max	Mean	SD
ox	47	0	6	2	1.72
Cow	43	1	5	2	0.94
Bull	26	0	4	2	0.81
Heifer	24	1	4	2	0.96
Calf	28	0	4	2	0.82
Goat	20	0	14	5	3.73
Sheep	13	1	13	4	3.59
Donkey	43	1	4	2	0.76
Horse	7	0	2	1	0.38
Poultry	40	1	20	5	4.17

Source: Survey result, 2022.

TABLE 5 Awareness of cross-bred cows and the reason why did not own.

Awareness and adoption of cross-breed cows		
Awareness and ownership of crossbred cows		
Reasons for the lack for not adopting	Lack of awareness of bred cows	47
	Expensive price of cross-bred cows	33
	Lack of sources for cross-bred cows	13
	feed problem of cross-bred cows	21
	others (have no any reason)	5

Source: Survey result, 2022.

households (82%). Moreover, row planting was only carried out by households for maize and horticultural crops, and they primarily utilized improved crop varieties for Wheat, Tef, and Maize.





## 3.3 Income from crop production

Crop cultivation have been practiced by the households to generated income. Table 9 indicates that the average annual farm income generated from crop production by sample households was 912.08 USD during the production year.

The primary factor affecting the adoption of enhanced technologies is the household income derived from livestock and livestock products. A summary of the projected yearly income from livestock production by households in the Dhumuga Learning watershed is presented (Table 10). The typical annual income from livestock production activities was 159,837 USD.

The income generated from selling livestock products can be utilized to buy essential items for the family once their consumption needs have been satisfied. The average income from

TABLE 6 The general households' information on awareness and use of improved feed, 2021.

Types of improved	Usage of feeds (%)				
feeds	Still using	Discontinued	Never used so far		
Multi-Nutrient feed	7	3	90		
Urea treats	7	2	91		
Oat or vetch	8	3	89		
Elephant grass	5	2	93		
Tree lucerne	3	2	95		
Sesbania	8	3	89		
Alfalfa	3	3	94		
Cowpea	2	3	95		

Source: Survey result, 2022.





different livestock byproducts by household was 70.38 USD per year (Table 11).

Farm assets such as ox plows, pickaxes, hoes, and shovels were the most owned assets by households (Table 12), as they are essential tools for farming activities.

# TABLE 7 Feed shortage and coping mechanism of households in Dhumuga learning watershed.

Feed shortage an	%	
Is there a problem of feed shortage for animals	Yes, a serious problem and occurs often	12
	Yes, but occurs sometimes only	51
	No	37
Coping strategies	Haymaking	31
	Crop residues	3
	Strow making	3
	Using crop residues	57
	Using straw	6

Source: Survey result, 2022.





### 3.4 Natural resource management activities

The findings from the social survey reveal that 72% of households acknowledge a land degradation issue within the Dhumuga learning watershed (see Figure 14), attributed to water erosion (46%), inappropriate tillage practices (34%), and deforestation (20%; refer to Figure 15). The land use and land cover change mapping conducted in the Dhumuga watershed further substantiates the ongoing degradation of natural resources, which is driven by the continuous expansion of agricultural land.

### TABLE 8 Soil fertility status, and agronomic practice in the watershed.

Descriptions	Measurement	N	Percent
Soil fertility status of the farmlands	Good fertility status	17	27
	Medium fertility status	21	34
	Poor fertility status	14	39
Soil depth of the farmlands	Shallow soil depth	8	13
	Medium soil depth	49	79
	Deep soil depth	5	8
Slope of the farmlands	Gentle slope	24	39
	Medium slope	32	52
	Steep slope	6	10
Soil color of the farmlands	Black soil	5	8
	Brown soil	24	39
	Red soil	30	48
	Gray soil	3	5
Conservation practices	None conservation	35	56
	Terrace	5	8
	Grass strip	1	2
	Trees on boundary	5	8
	Soil bund	8	13
	Cut-off drain/waterway	8	13
Crop rotation practice	yes	51	82

Source: Survey result, 2022.

Approximately 90% of households engage in soil fertility management practices, primarily focusing on manure application (83%); however, the use of compost, vermicompost, and green manuring is notably low at just 17% (see Figure 16). This suggests that awareness of enhanced soil fertility management techniques is quite limited. Therefore, implementing integrated soil fertility management strategies is essential for effective management planning.

### 3.4.1 Education and health-related issues

In the watershed, there were three primary schools, providing a great opportunity for children to attend school. As a result, 85% of boys and girls between the ages of 7 and 18 are enrolled in primary school. During the household survey, two healthcare access points were identified; however,  $\sim$ 87% of the households in the watershed lack an improved toilet facility, and none have a hand-washing facility.

### 3.5 Water resource and its availability

The formal survey conducted in the Dhumuga learning watershed indicates that more than 78% of households experience

### TABLE 9 Summary of estimated income generated from crop production.

Crop types	Current average market price	Estimated income from crop production		
	Mean	Mean	Minimum	Maximum
Barely	1,955	8,509	10,000	54,000
Wheat	2,401	15,630	3,000	62,500
Maize	1,304	706	300	24,000
Teff	4,042	22,834	600	76,000
Bean	2,550	10,216	1,700	50,000
Pea	2,845	6,270	2,500	13,000
Noug/Niger	2,440	6,325	300	19,000
Linseed	2,240	2,240	2,240	2,240
Onion	250	2,500	2,500	2,500
Tomato	600	6,000	5,000	8,000
Potato	521	3,664	300	10,000
Estimate	ed total income	49,943	3,700	201,100

Source: Survey result, 2022.

TABLE 10 The estimated income from livestock activities by households.

Types of livestock	N	Estimated income ETB			
		Mean	Minimum	Maximum	
Oxen	47	48,872	8,800	150,000	
Cow	41	18,195	4,000	75,000	
Bull	26	19,731	5,000	56,000	
Heifer	25	10,760	3,500	39,000	
Calf	28	5,054	2,000	18,000	
Goats	20	8,845	600	25,900	
Sheep	13	8,735	1,500	29,250	
Donkey	42	6,259	1,000	30,000	
Horse	7	10,286	3,000	20,000	
Poultry	40	1,264	50	4,800	
Hive	12	5,046	100	20,000	
Subtotal		143,047	29,550	467,950	
Income from anima	l fattening	16,790	11,498.42	3,000	

Source: Survey result, 2022.

inadequate access to improved drinking water for human use throughout both the rainy and dry seasons. During the rainy season, they rely on rooftop water collection for their immediate needs. Only 26% of households have irrigated land. They employ traditional irrigation techniques, specifically the flooding method. On average, 63% of these households utilize this irrigation method for cultivating tomatoes, 23% for growing cabbage, and the remaining 11% for onion cultivation. TABLE 11 Estimated income generated from livestock products (ETB).

Variables	N	Mean
Milk	21	320
Butter	20	258
Cheese	10	140
Hide and skin	4	78
Egg	27	878
Honey	13	1,030
Total income from livestock products		3,854

Source: Survey result, 2022.

TABLE 12 The occurrence of different natural shocks in the watershed.

Shock o	ccurrence and types	N	%
Existence of d	ifferent shocks in the watershed	29	48
Shock types	Flood	25	86
	Fire	3	10
	Land slide	1	3

Source: Survey result, 2022.



# 3.6 Food security and occurrence of different shocks in the watershed

Food shortages in the watershed have been attributed to drought, pest infestations, land scarcity, and potentially a shortage of oxen. Approximately 36% of households experienced food shortages, particularly during the summer (kiremt) season in the study area; this aligns with a study conducted in Dhaka Bora watershed, East Shoa, and Mafakiya watershed, South Gonder, Ethiopia, where around 33% of smallholder farmers faced mild food insecurity for 3 months (Mohammed et al., 2024; Tesfaye and Fikadu, 2024). They managed to alleviate the food shortage





with assistance from friends and/or neighbors. As shocks are a detrimental occurrence in impoverished agricultural economies, only 48% of households reported experiencing them (Table 12); flooding was identified as the most severe type of shock, affecting 86% of those surveyed in the watershed.

# 3.7 Energy types and their sources for the watershed community

In the context of cooking, farm households relied on various energy sources. The findings revealed that firewood (78%) was predominantly used for cooking by households in the watershed (Table 13). Collection of fuelwood from nearby forests and trees is contributing to the issue of deforestation.

The findings of the energy resource and usage assessment are supported by Beyene et al. (2018), who state that "traditional biomass is a primary source of cooking and heating energy in Ethiopia," and align with the World Health Organization Report of 2018, which indicates that  $\sim$ 95% of Ethiopian households utilize polluting fuels, particularly firewood, for cooking. Moreover, a study conducted in the Jimma zone shows that a majority of

TABLE 13	The energy types and the availability of their source in th	ıe
Dhumuga	watershed.	

Energy source and utilization	Descriptions	%
Source of energy for lightening	Electric	2
	Fuelwood	38
	Kerosene	12
	Solar	49
Source of energy for cooking	Firewood	78
	Cow dung	17
	Leaves	3
	Charcoal	2
Usage of fuel-saving stoves	Users	10
Source of fuelwood for the household	Collecting from the forest	52
	Collecting from the trees around the houses	48

Source: Survey result, 2022.



households rely on wood fuel for their cooking needs, although the specific quantity was not mentioned (Fikirie et al., 2017). Additionally, research in the Mukehantuta watershed of Were-Jarso District, North Shewa, Ethiopia, indicates a consumption of 1999 metric tons per year of fuel sourced from woody biomass (Bekele et al., 2013). Furthermore, a study in the Mafakiya watershed, North Gonder, Ethiopia found that 47% of the community members have been utilizing solar energy for lighting their homes.

# 3.8 Access and participation in technology transfer of the watershed communities

Field days serve as a highly effective way to share technology, persuade farmers to embrace new innovations, and allow neighboring farmers to see the practical application of these



TABLE 14 The households' participation in different social networks and institutional services.

N	%
7	12
9	15
5	8
8	13
61	98
	5

Source: Survey result, 2022.

technologies firsthand in the fields. Among the surveyed respondents, only 11% of households took part in field days and demonstration programs coordinated by various institutions (Figure 17). In contrast, 72% of farming households engaged in training sessions provided by the woreda agricultural office at the kebele level (farmers' training center, FTC) (Figure 18) within the Dhumuga Learning Watershed. Additionally, prior to the initiation of the EIAR-CALM project, none of the watershed communities were involved in cluster farming.

Households have very limited involvement in different social networks and institutions (Table 14). Nevertheless, nearly all households took part in edir/Afoosha (98%), which represents a significant exception to the norm, as participation in this activity is compulsory. The concept has been clarified. Edir/Afosha is a local expression. It refers to a community tradition that offers funeral services and support when a family member dies. This is why the involvement of family members is considered essential. Given that a person has passed away, the funeral must take place according to community customs, and participation in these social traditions is asserted as obligatory by the community itself.

# 3.9 Major constraints in the dhumuga learning watershed

The pairwise matrix ranking revealed and prioritized issues within the Dhumuga learning watershed (see Table 15). Consequently, farmers from higher-income groups listed deforestation, water erosion, insufficient access to improved crop varieties, inadequate irrigation water, and declining soil fertility as their top five concerns. In addition, they identified a

TABLE 15 Pair wise ranking matrix for prioritization of Dhumuga Watershed problems.

Со	Code		2	3	4	5	6	7	8	9	10	11_	12	13	14	Res	ult
Problems																Score	Rank
1	Lack of irrigation water				x	x	x	x	x	x		x		x		8	4
2	Erosion	x		x		x	x	x	x	x	x	x	x	x		11	2
3	Cropping system (Mono cropping)							x	x	x	x	x		x		6	7
4	Shortage of Land	x	x													2	
5	Improved cattle breed (Dairy)			x	x			x		x	x	x				6	7
6	Improved crop verity			x	x	x		x	x	x	x	x		x		9	3
7	Crop disease (Maize worm)				x							x				2	
8	Water use conflict (On Dhumuga 2 river)				x	x		x			x	x	x	x		7	6
9	Mechanization		x					x	x		x	x				5	10
10	Livestock disease (Veterinary clinic)				x				x							2	11
11	Market				x						x					2	11
12	Low soil fertility			x	x	x		x		x	x	x		x		8	4
13	Supply of Fertilizer				x	x		x		x	x	x				6	7
14	Deforestation	x	x	x	x	x	x	x	x	x	x	x	x	x		13	1

Source: Survey result, 2022.

lack of drinking water and sanitation, insufficient electricity and alternative energy sources, issues with water erosion and runoff, limited access to improved crop varieties, and inadequate supplies of fertilizers and pesticides as significant problems. Similarly, farmers with lower incomes recognized and prioritized the absence of improved livestock breeds, high fertilizer costs, limited irrigation water, lack of credit services, issues with gully erosion and flooding, as well as the absence of basic education facilities for children aged 4–6 as critical challenges. Women's groups highlighted significant obstacles such as scarcity of drinking water and the distance required to obtain it, insufficient irrigation water and schemes, high costs of agricultural inputs, problems with water erosion, and deteriorating soil fertility.

The problem ranking in the pairwise matrix could be useful in the intervention and participatory watershed management plan. As a result, the problems that agricultural research and the EIAR-CALM project can address have been prioritized, and interventions have begun for some of them. These findings were similar with the findings of Mohammed et al. (2024), in Mafakiya Watershed, North Gonder Ethiopia, in which shortage of drinking and irrigation water, lack of electricity, and soil erosion along with declining soil fertility were identified as the top three constraints of the watershed community.

# 4 Conclusion and recommendations

The goal of this research was to describe the socioeconomic features of the Dhumuga watershed in order to analyze the future impact of the EIAR-CALM project. There was a total of 105 household heads in the watershed, from which 60 households were effectively sampled.

The pairwise matrix ranking results highlight various agricultural challenges within the Dhumuga watershed. As a result, significant issues identified include soil erosion caused by water, conflicts over water usage, insufficient improved crop varieties, deforestation, lack of irrigation water, inadequate drinking water and sanitation, scarcity of electricity, alternative energy options, problems related to the supply of inputs (fertilizers and pesticides), shortage of improved livestock breeds, high fertilizer prices, lack of low-interest credit, gully erosion, and depletion of soil fertility. These agricultural obstacles were prioritized during the problem identification phase. Additionally, the study provided a summary of the demographics of households, their access to institutions and arrangements, as well as ownership of farm assets and resources, which is useful for implementing various interventions in the watershed.

Overall, the adoption of diverse agricultural technologies, including improved forage practices, enhanced soil fertility management, energy-saving technologies, and environmental protection initiatives, is quite low among households. Therefore, it is essential to implement interventions using suitable technologies to resolve these issues, promote innovations for livelihood improvement, and raise awareness. Similarly, implementing various erosion control measures and structures, planting different seedling types to restore deforested areas, introducing improved animal breeds, promoting energy-efficient stoves, and utilizing alternative energy sources like solar power, in addition to demonstrating improved crop varieties, are recommended for the sustainable management of the Dhumuga watershed and to improve the livelihoods of its residents.

Moreover, the basic educational background of the watershed community presents an opportunity to tackle the socioeconomic issues and environmental degradation by leveraging the considerable workforce available. The community is highly interested in adopting new soil fertility management practices. Thus, there is a need to restructure the extension service linkages to effectively address the community's needs and combat soil fertility degradation.

The study concentrated on the socioeconomic factors and primary constraints associated with the natural resource use in the watershed. It did not delve into certain areas of the watershed community, such as gender empowerment, and biophysical aspects were studied separately in a different research effort for this watershed. Therefore, these issues should be addressed before conducting impact assessment studies within the watershed.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## **Ethics statement**

Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## Author contributions

DS: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. RD: Data curation, Formal analysis, Software, Writing – review & editing.

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# **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fsrma.2024. 1484298/full#supplementary-material

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