

The background features a stylized illustration of HIV virus particles and host cells. At the top, a red virus particle with yellow dots is partially visible. Below it, a large black virus particle with green dots is prominent. To the right, a blue virus particle with green dots is shown. The main title is centered within the black particle. The lower half of the cover has a white background with several colorful virus particles: a large orange one with red spots and orange spikes in the center, a blue one with orange spots and blue spikes on the right, a teal one with blue spots and teal spikes on the bottom left, and a yellow one with orange spots and yellow spikes on the top left.

DIRECT AND INDIRECT INTERACTIONS OF HIV WITH HOST CELLS

EDITED BY: Tetsuo Tsukamoto, Santhi Gorantla and Vasco Rodrigues
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DIRECT AND INDIRECT INTERACTIONS OF HIV WITH HOST CELLS

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Editorial: Direct and Indirect Interactions of HIV With Host Cells

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Editorial on the Research Topic

Direct and Indirect Interactions of HIV With Host Cells

HIV causes AIDS by infection and depletion of CD4⁺ T cells. HIV can also infect other CD4⁺ cells such as macrophages, possibly leading to their functional alterations and contribution to viral reservoirs (Hendricks et al., 2021). HIV infection may also result in changes in other hematopoietic cells such as hematopoietic stem/progenitor cells (HSPCs), CD8⁺ T cells, B cells, natural killer cells, dendritic cells (DCs), and granulocytes (Virgilio and Collins, 2020; Dillon and Wilson, 2021; Madzime et al., 2021). Furthermore, HIV infection can even cause alteration of non-hematopoietic cells. Cells in various tissues and organs, including bone marrow, thymus, gut, genital tract, lung, and central nervous system, have been investigated in depths in HIV-infected hosts (Dillon and Wilson, 2021; Pruitt, 2021). However, the outstanding breadth of the direct/indirect influence of HIV on host cells in different tissues and organs could make it challenging to grasp the whole picture of the HIV disease.

In three articles on this Research Topic, scientists investigated the impact of HIV-1 on host immune cells. Perot et al. extended their previous study on tetraspanin 7 (TSPAN7)-dependent transfer of HIV-1 from immature monocyte-derived DCs (iMDDCs) to CD4⁺ T cells (Ménager and Littman, 2016). They discovered that TSPAN7 expression is downregulated in the process of DC maturation and that viral replication-independent TSPAN7-dependent trans-infection of HIV-1 in iMDDCs is far more efficient than the replication-dependent, TSPAN7-independent virus transfer mechanism in mature monocyte-derived dendritic cells, further clarifying the previously proposed two-phase trans-infection model. Bharucha et al. analyzed the impact of β -defensins hBD2 and 3 on HIV-1. Defensins are small peptides with potent antimicrobial effects (Brice and Diamond, 2020). The defensins hBD2 and 3 were previously shown to inhibit HIV replication in CD4⁺ T cells. In this article, the authors demonstrated for the first time that the two defensins also inhibit HIV replication in monocyte-derived macrophages (MDMs). Intriguingly, they described the potential effect of hBD2 treatment on the upregulation of antiviral factors APOBEC3A and APOBEC3G. Further study will clarify whether β -defensins can be used as therapeutics and whether they influence viral reservoirs in MDMs. Badura et al. investigated B-cell defects observed in HIV-1-infected patients. The authors detected altered B-cell dynamics during acute HIV-1 infection caused by impaired bone marrow output of naïve B cells, which correlated with the degree of T-cell activation, and increased B-cell proliferation. The impaired bone marrow output was more profound in patients with severe disease, suggesting the importance of correcting bone marrow

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abnormalities in HIV-1 infection. Furthermore, the impact of 8-week antiretroviral therapy (ART) on bone marrow B-cell output was limited.

The topic also highlights HSPCs in HIV infection. In his mini-review, Tsukamoto summarizes recent advances in our understanding of the interaction between HIV and HSPCs. He describes the current significant issues on viral reservoirs and the impact of HIV on the number, function, and aging of HSPCs. Bordoni et al. provide evidence on the relationship between chronic HIV infection and aging in circulating CD34⁺ hematopoietic progenitor cells (HPCs). In the study, peripheral HPCs from HIV⁺ patients with low CD4⁺ T-cell counts (<500/mL blood) showed decreased *in vitro* white colony-forming cell (CFC) capacities. The authors further indicated that increased expression of Period circadian clock 2 (Per2) in HIV⁺ patients might have caused lower white CFC counts. Furthermore, they demonstrated the association of HIV infection with the downregulation of Sirtuin 1 (Sirt1), a histone deacetylase and potential anti-aging protein. The Sirt1 inhibitor resveratrol restored the Sirt1 expression levels and caused downregulation of Per2 *in vitro*. These exciting results stress the need to compare HPCs between healthy and HIV⁺ individuals using a broader panel of host factors affecting cellular processes such as senescence and inflammatory response. Valverde-Villegas et al. provide data showing the distinct distribution of cells with HSPC-like phenotypes in breast milk and peripheral blood between HIV⁺ and HIV⁻ young women. According to the data, CD45⁺CD34⁻CD133⁺ cells showed increased frequencies in milk and blood of HIV⁺ women. However, the increase in the frequency of the CD133⁺ cells was not associated with proinflammatory cytokines in milk except IL-8. The intriguing results will stimulate further research on the origin and the fate of these primitive stem/progenitor-like cells.

The topic further includes three reviews on the central nervous system (CNS). Gorska and Eugenin describe the dysregulation of glutamate in HIV-associated neurocognitive disorders (HAND). The authors detail how overproduction of glutamate, the critical neurotransmitter, can induce cytotoxicity and chronic inflammation. In the CNS, ART cannot block the production of viral proteins gp120, Tat, and Vpr in HIV-infected microglia and astrocytes, which may be associated with inflammatory responses. In addition, persistent activation of glial cells causes increased synthesis and release of glutamate, leading to overstimulation of glutamate receptors and neurotoxicity. Ajasin and Eugenin review previous articles

facilitating the current understanding of Tat in the CNS and cardiovascular diseases. The authors detail the role of Tat not only in HIV-infected cells but also in uninfected bystander cells such as microglia and astrocytes. Following the uptake of Tat released from HIV-infected cells, bystander cells produce neurotoxic factors, including proinflammatory cytokines. Lastly, Tice et al. feature the glymphatic system, i.e., a system for waste clearance in the CNS, and the water channel aquaporin 4 expressed on astrocytic endfeet. There has been limited evidence to show changes in AQP4 in HIV infection. However, given the pathological similarities between NeuroHIV and Alzheimer's disease that accompanies altered distribution and expression of AQP4 (Yang et al., 2016) and previous observations with macaque AIDS models, the authors propose that it is time to investigate the potential impact of viral latency/reactivation in the brain on disruption of the glymphatic system.

In summary, our Research Topic brings together nine articles that describe novel findings, up-to-date information, or future directions to advance our understanding and thinking of host cells in connection with HIV infection. Although the funding environment surrounding basic HIV research has become increasingly complex (Levy, 2020), we hope that this Research Topic will help encourage researchers who work on the elucidation of HIV pathogenesis for better prevention and treatment in the future.

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Hematopoietic Stem/Progenitor Cells and the Pathogenesis of HIV/AIDS

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The interaction between human immunodeficiency virus (HIV) and hematopoietic stem/progenitor cells (HSPCs) has been of great interest. However, it remains unclear whether HSPCs can act as viral reservoirs. Many studies have reported the presence of latently infected HSPCs in the bone marrow of HIV-infected patients, whereas many other investigators have reported negative results. Hence, further evidence is required to elucidate this controversy. The other arm of HSPC investigations of HIV infection involves dynamics analysis in the early and late stages of infection to understand the impact on the pathogenesis of acquired immunodeficiency syndrome. Several recent studies have suggested reduced amounts and/or functional impairment of multipotent, myeloid, and lymphoid progenitors in HIV infection that may contribute to hematological manifestations, including anemia, pancytopenia, and T-cell depletion. In addition, ongoing and future studies on the senescence of HSPCs are expected to further the understanding of HIV pathogenesis. This mini review summarizes reports describing the basic aspects of hematopoiesis in response to HIV infection and offers insights into the association of HIV infection/exposure of the host HSPCs and hematopoietic potential.

Keywords: human immunodeficiency virus, acquired immunodeficiency syndrome, hematopoietic stem/progenitor cells, hematopoiesis, senescence

INTRODUCTION

Human immunodeficiency virus (HIV) infection causes acquired immunodeficiency syndrome (AIDS). The depletion of memory CD4⁺ T cells preceding the manifestation of AIDS may be mainly due to HIV infection of these cells. However, HIV may also cause reduced production of naïve T cells by infection of CD4⁺ thymocytes. Although the dynamics of hematopoietic stem/progenitor cells (HSPCs) in response to HIV infection remains unclear, it is well-established that HIV infection is associated with hematological changes, such as anemia and pancytopenia (Parinitha and Kulkarni, 2012; Durandt et al., 2019). Therefore, it is imperative to better elucidate the contribution of altered hematopoietic potential to the disease. The aim of this mini review was to discuss on factors affecting the physiology and pathology of HSPCs by reviewing past publications describing the interactions between HIV and hematopoietic progenitor cells (HPCs) in the bone marrow (BM) and thymus for better understanding the role of hematopoiesis in the pathogenesis.

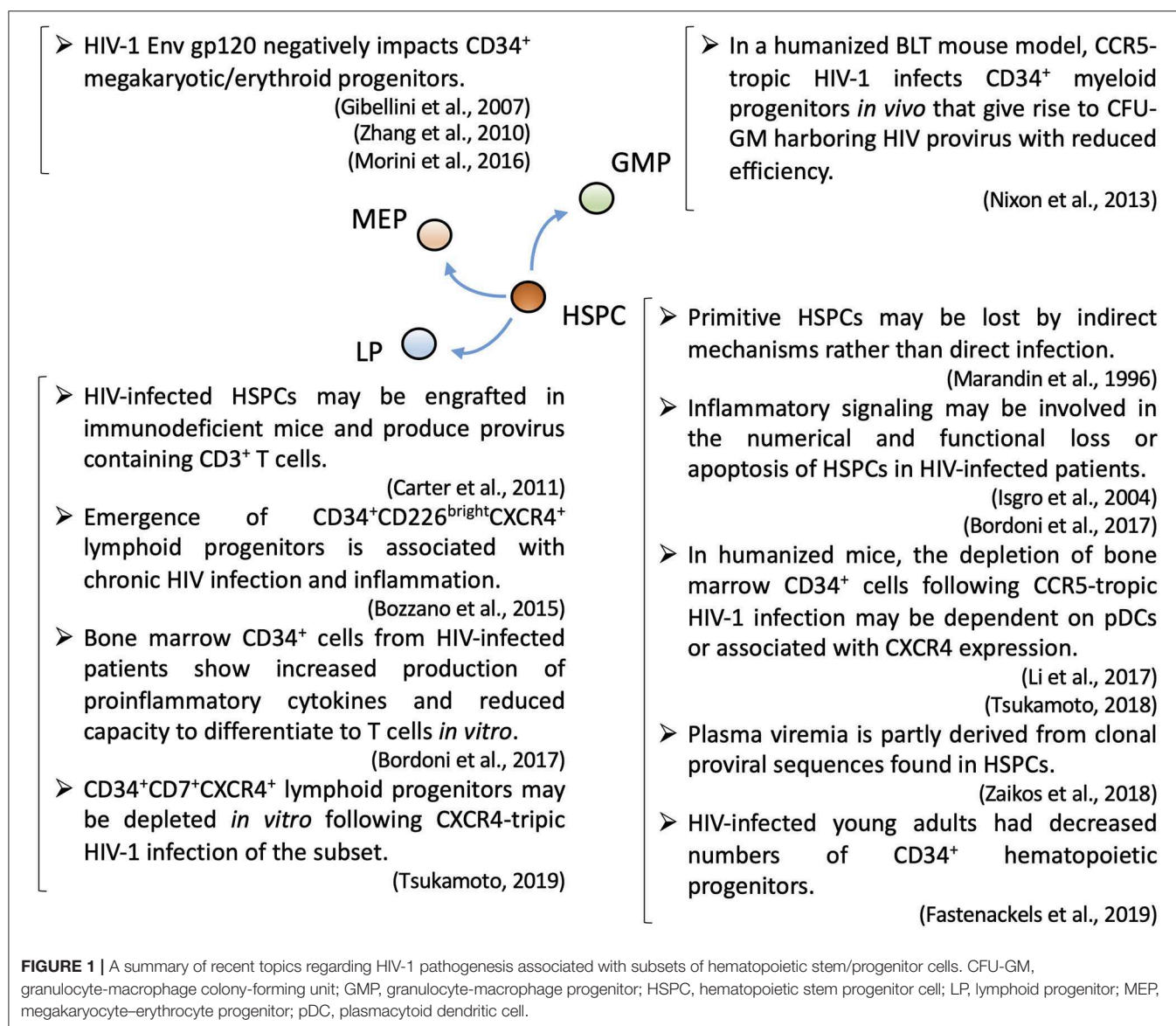
HSPCs IN THE BM

Adult hematopoietic differentiation occurs in the BM. Hematopoietic stem cells (HSCs) have long-term self-renewing capacity and can differentiate to any type of blood cell (Rieger and Schroeder, 2012). Although HSC niches have not been fully defined (Morrison and Scadden, 2014), a recent study indicated that HSCs reside in a perivascular niche and are supported by various cytokines secreted by endothelial and stromal cells (Ding et al., 2012). BM HSPCs consist of progenitors for all blood cell lineages including those described in **Figure 1** (Rieger and Schroeder, 2012). Proteomic and transcriptomic analyses have reported many potential factors that may work in concert in hematopoiesis, although the significance of individual genes must be further clarified (Liu et al., 2006; Kim et al., 2009; Starnes et al., 2010). Recent evidence indicates that inflammatory signals, such as prostaglandin E2, nitric oxide, granulocyte

colony-stimulating factor, interferons, tumor necrosis factor, and Toll-like receptor 4, may be involved in the emergence of HSPCs (He et al., 2015; Luis et al., 2016).

THE ROLES OF HSPCs IN T-LINEAGE DIFFERENTIATION

T-lineage differentiation in the thymus is dependent on a supply of CD34⁺ progenitors from the BM (Kondo et al., 1997). Early lymphoid progenitors (LPs) are thought to reside in distinct niches from those of HSCs (Ding and Morrison, 2013). CD34⁺CD38^{dim}, but not CD34⁺CD38⁺, cells can migrate to the thymus and commit to the T cell lineage (Res et al., 1996). Transcriptional regulation of the lymphoid commitment of HSPCs is complex (Laurenti et al., 2013). Notch 1 and its ligands play essential roles in T-lineage commitment



(Radtke et al., 2004). For example, Delta-like 1 (DL1) enhances the repopulation capability of human CD34⁺CD38⁻ cells in the BM and contributes to the generation of thymus-repopulating T-cell precursors (Ohishi et al., 2002). In addition, Delta-like 4 (DL4) induces Notch signaling in the thymus (Hozumi et al., 2008). Accordingly, the stable expression of DL1 or DL4 by OP9 cells allows for the differentiation of human HSPCs to T cells *in vitro* (La Motte-Mohs et al., 2005; Mohtashami et al., 2010). C-X-C chemokine receptor type 4 (CXCR4) also plays a critical role in the localization and differentiation of T-lineage progenitors in the thymus (Plotkin et al., 2003).

HSPC-ASSOCIATED HEMATOLOGICAL CHANGES IN HIV INFECTION

Hematological changes in HIV-infected patients may be at least partly associated with abnormalities in the BM (Dhurve and Dhurve, 2013; Durandt et al., 2019). Because HSPCs generally have limited surface expression of CD4, their abnormalities in HIV infection could be largely explained as an indirect effect of HIV infection, rather than the results of direct infection of HSPCs (Louache et al., 1992; De Luca et al., 1993; Maciejewski et al., 1994; Marandin et al., 1996; Koka et al., 1999). Although antiretroviral therapy (ART) generally improves hematopoiesis in HIV-infected patients (Baillou et al., 2003), the immune function in some patients is insufficient despite successful ART; therefore, such patients are referred to as immunological non-responders (Corbeau and Reynes, 2011; Takuva et al., 2014; Rb-Silva et al., 2019). Indeed, the recovery of CD4⁺ T cell counts after successful ART may depend on the recovery of CD34⁺ cell counts (Sauce et al., 2011).

Lymphopoiesis, myelopoiesis, megakaryopoiesis, and erythropoiesis may be altered during the course of HIV infection (Figure 1). HIV-1 infection may cause defective myelopoiesis/erythropoiesis as well as the accumulation of myeloid/erythroid precursors (Costantini et al., 2009, 2010). Ineffective platelet production noted in HIV-infected patients (Cole et al., 1998) might be due to a negative impact of HIV on the differentiation of megakaryocyte lineages, leading to thrombocytopenia (Costantini et al., 2006; Sundell and Koka, 2006). The V3 loop region of the HIV-1 gp120 envelope protein was described as a potential inhibitor of megakaryocyte differentiation (Zhang et al., 2010). Furthermore, studies have suggested the influence of HIV-1 gp120/CD4 interaction on CD34⁺ megakaryocytic/erythroid progenitors (Gibellini et al., 2007; Morini et al., 2016).

THE BIOLOGICAL FUNCTIONS OF HIV CORECEPTORS

HIV-1 uses C-C chemokine receptor type 5 (CCR5) and CXCR4 as coreceptors (Weiss, 1996). CCR5 is expressed on the surface of memory CD4⁺ T cells and causes the massive depletion of this cell type following HIV-1 infection of the host (Mattapallil et al., 2005). Recent evidence suggests that CCR5 is involved in inflammation (Kitade et al., 2012; Barashi et al., 2013; Duan

et al., 2014) because the lack of a functional CCR5 allele is associated with the severity of viral infection, possibly due to altered immune responses (Lim et al., 2008). On the other hand, the pathological roles of CCR5 in various infectious and non-infectious diseases, e.g., autoimmune diseases, have been suggested (Vangelista and Vento, 2017). For example, the depletion of CCR5 was associated with attenuation of the adverse effects of inflammation (Muntinghe et al., 2009), and blockade of CCR5 inhibited leukocyte trafficking and reportedly reduced inflammation in a murine model of colitis (Mencarelli et al., 2016). Thus, these findings address the roles of CCR5 in health and disease.

CXCR4 is specific for stromal cell-derived factor 1 (SDF-1, also known as CXCL12). SDF-1 is produced by BM stromal cells, including CXCL12-abundant reticular cells (Nagasawa, 2015), and allows the homing of HSCs to BM. The interaction between SDF-1 and CXCR4 is essential for hematopoiesis (Karpova and Bonig, 2015). In addition, the SDF-1/CXCR4 axis has multiple essential roles in life (Murphy and Heusinkveld, 2018), such as embryonic (Mcgrath et al., 1999) and vascular (Takabatake et al., 2009; Kim et al., 2017) development, while providing support for the survival and migration of neoplastic cells (Chatterjee et al., 2014). The polymorphisms of SDF-1 might affect the ability to prevent HIV-1 infection (Winkler et al., 1998; Kuipers et al., 1999). However, the effect of SDF-1 polymorphisms on the susceptibility of the host to HIV-1 infection might be moderate (Ding et al., 2018). In contrast to the popularity of the topics of CXCR4 as an HIV-1 coreceptor and SDF-1 as an inhibitor of HIV-1 infection (Arenzana-Seisdedos, 2015), relatively few articles have addressed the intrinsic functions of SDF-1 and CXCR4 in the pathogenesis of HIV-1 infection and AIDS (Ikegawa et al., 2001; Tsukamoto, 2018).

POTENTIAL MECHANISMS UNDERLYING THE LOSS OF OR CHANGES IN HSPCS IN RESPONSE TO HIV INFECTION OF THE HOST

Various potential mechanisms underlying changes in HSPCs during HIV infection have been suggested, such as reduced c-Mpl (thrombopoietin receptor) expression on HSPCs (Koka et al., 2004), elevated plasma SDF-1 levels (Ikegawa et al., 2001), and altered BM niches (Moses et al., 1996). HIV-1 infection results in increased levels of inflammatory cytokines, affecting dynamics and functions (Bordoni et al., 2017) or inducing Fas-mediated apoptosis (Isgro et al., 2004) of HSPCs (Figure 1). Importantly, HSPCs require inflammatory signals in their development (Luis et al., 2016), and therefore may contribute to inflammation (Fischer and Agrawal, 2013). A recent study reported the emergence of a CD34⁺CD226(DNAM-1)^{bright}CXCR4⁺ LP subset in association with chronic HIV infection and inflammation, reflecting altered dynamics of natural killer (NK) cells and α/β T cells (Bozzano et al., 2015; Figure 1). Finally, there has recently been an emerging trend to interpret some hematopoietic changes during the course of HIV

infection as the accelerated senescence of HSPCs (Appay and Sauce, 2017; Fali et al., 2018; Fastenackels et al., 2019).

Humanized mouse models provide important resources for the analysis of BM HSPCs following HIV-1 infection. For example, in studies with humanized mice challenged with CXCR4-tropic HIV-1_{NL4-3}, CD34⁺ cells were depleted and/or exhibited impaired *ex vivo* myeloid and erythroid colony-forming capacities (Jenkins et al., 1998; Koka et al., 1998). Moreover, the reduction in BM HSPC counts in humanized mice was observed even after CCR5-tropic HIV-1 infection (Arainga et al., 2016). Other research groups have reported that the loss of CD34⁺ cells in CCR5-tropic HIV-1 infection might be dependent on plasmacytoid dendritic cells (pDCs) (Li et al., 2017) or correlated with CXCR4 expression (Tsukamoto, 2018; **Figure 1**). Therefore, it is important to further investigate changes such as altered expression of cytokines in pDCs and other cells residing in BM in HIV infection. The latter could implicate the involvement of SDF-1/CXCR4 axis in the pathogenesis such as accelerated turnover of HSPCs.

THE IMPACT OF HIV ON T-LINEAGE DEVELOPMENT

The involvement of the thymus in HIV pathogenesis has been investigated (Ye et al., 2004). HIV-1 may cause thymocyte depletion mediated by an indirect cytopathic effect and infection of CD3⁺CD4⁺CD8⁺ progenitor cells (Su et al., 1995). In an *in vitro* model imitating the thymic environment, thymocyte maturation was inhibited by HIV infection of the CD44⁺CD25⁺CD3⁺ cell lineage (Knutson et al., 1999). Early ART might preserve the lymphopoiesis capability of the host (Bordoni et al., 2015b, 2018; Rb-Silva et al., 2019) and reverse reduced thymic function (Withers-Ward et al., 1997; Levine et al., 2001).

In a BLT (BM, liver, and thymus) mouse model, HIV-1 Nef enhanced HIV-1 replication and caused depletion of CD4⁺CD8⁺ thymocytes (Zou et al., 2012). In another humanized mouse model, HIV-1 infection caused perturbation of cytokine mRNA expression in infected thymocytes. For instance, mRNA levels of interleukin (IL)-6, interferon- γ , and IL-2 were increased, whereas macrophage inflammatory protein (MIP)-1 β expression was decreased. On the other hand, HIV infection of human stromal cells increased IL-6 levels, whereas SDF-1 expression levels were unaffected (Koka et al., 2003).

There have also been several reports on the T-lineage differentiation of HPCs, although it may be difficult to interpret all the data collectively. T-lineage progenitors express CXCR4 and are susceptible to CXCR4-tropic HIV infection (Berkowitz et al., 1998). In a study, BM cells infected with HIV before ART initiation had reduced amounts of CD34⁺ cells, but not CD34⁺CD7⁺ LPs (Muller et al., 2002). Although the data are intriguing, the study lacked information of absolute cell counts, so their notions were not firmly concluded except for reduced CD34⁺ frequencies. In another study of BM samples from HIV-infected ART-treated immunological non-responders, clonogenic capability and the sizes of primitive HSPCs were

altered, which were associated with reduced production of IL-2, increased production of TNF- α , and increased stromal production of IL-7 (Isgro et al., 2008). Another study using a lentiviral vector expressing HIV-1 Nef showed that Nef may impair the differentiation of HSPCs to CD3 ϵ ⁺CD5⁺CD1a⁺ T/NK precursors (Dorival et al., 2008).

In a recent study, BM-derived HSPCs from HIV-infected patients exhibited reduced T-cell differentiation potential and increased production of pro-inflammatory cytokines, indicating that they are also produced by non-LPs. However, it remains unclear whether pro-inflammatory cytokine secretion is the cause or consequence of impaired T cell differentiation potential (Bordoni et al., 2017; **Figure 1**). Also, in a macaque model, following challenge with simian immunodeficiency virus, BM-derived CD34⁺ cells exhibited reduced T-lineage differentiation potential *in vitro* without significant changes in phenotypic analysis of CD34⁺ subsets (Thiebot et al., 2005). Another recent study suggested that CD34⁺CD7⁺CXCR4⁺ cells may be depleted in response to CXCR4-tropic HIV-1 infection in a coculture of HIV-infected umbilical cord-derived CD34⁺ and OP9-DL1 cells (Tsukamoto, 2019b; **Figure 1**). Despite the evidence of LPs during HIV-1 infection, our understanding of the impact of HIV-1 on LPs remains limited.

DIRECT HIV INFECTION OF HSPCs

HSPCs have limited surface levels of HIV receptors and coreceptors compared with differentiated CD4⁺ cells. CD34⁺CD133⁺ umbilical cord-derived HSCs may have further limited expression levels of CD4, CXCR4, and CCR5 (Hariharan et al., 1999). In an *in vitro* culture study, BM-derived CD34⁺CD38⁺ primitive HPCs were exposed to HIV-1 or HIV-2, but infection was not observed (Weichold et al., 1998). In another study, HIV-1 exposure had no effect on the *in vitro* expansion/proliferation dynamics of HSPCs (Kaushal et al., 1996). However, accumulating evidence has implicated HIV-susceptible subsets of HSPCs in patients (Louache et al., 1994; Zauli et al., 1994; Chelucci et al., 1995, 1999). In addition, peripheral blood CD34⁺ cells expressing CXCR4/CCR5 are susceptible to diverse strains of HIV-1 (Ruiz et al., 1998). Another study found that BM CD34⁺CD4⁺ cells are depleted in HIV-infected patients (Banda et al., 1999). Moreover, the HIV-1 Gag protein was expressed by BM HSPCs isolated from HIV-infected patients (Carter et al., 2010). A recent study of patient samples revealed that some HSPC subsets express high levels of CD4 and may harbor both CCR5-tropic and CXCR4-tropic HIV genomes (Sebastian et al., 2017). Furthermore, HSPCs latently infected with cytomegalovirus may have enhanced susceptibility to HIV-1 infection (Cheung et al., 2017). To confirm this evidence, another study using humanized BLT mice demonstrated HIV-1 infection of HPCs *in vivo*. These infected HPCs remained capable of differentiating to myeloid cells *in vitro*, albeit with reduced efficacy (Nixon et al., 2013; **Figure 1**).

Regarding molecular mechanisms preventing HIV infection except limited (co) receptor expression, a recent study suggested

a post-entry mechanism to allow HSPCs to restrict HIV-1 replication prior to conversion of viral RNA into DNA and integration into the host genome (Griffin and Goff, 2015). Variations of tripartite motif-containing protein 5 may also influence the infection efficiency of lentiviruses in human and rhesus HSPCs (Evans et al., 2014). CCR5-ligand β -chemokines, including RANTES and MIP-1 β , produced by HSPCs may modify the susceptibility of these cells to CCR5-tropic HIV-1 Env (Majka et al., 1999, 2000).

Some studies on HIV infection of HSPCs have relied on *in vitro* stimulation of cells with 50–100 ng/mL of individual stem cell factors, thrombopoietin, or FMS-like tyrosine kinase 3 ligand to overcome the low permissiveness of these cells to retrovirus/lentivirus infection (Santoni De Sio and Naldini, 2009). Such stimulation may enhance gene expression of the HIV-1 receptor and coreceptors, leading to overestimation of HIV infection/replication levels in HSPCs (Zhang et al., 2009). A method to achieve reproducible *in vitro* infection of HSPCs with CXCR4-tropic HIV-1 with RetroNectin-coated plate, but without strong cytokine stimulation, has been proposed (Tsukamoto and Okada, 2017).

HSPCs AS VIRAL RESERVOIRS

There is no consensus on whether HSPCs are a major HIV reservoir (Von Laer et al., 1990; Stanley et al., 1992; Neal et al., 1995; Kandathil et al., 2016). A relatively recent study of BM HSPCs from eight patients following long-term effective ART found no HIV DNA in the collected cells (Josefsson et al., 2012), suggesting that HIV reservoir surveys of purified CD34⁺ cells may fail to exclude HIV-contaminated CD4⁺ T cells (Durand et al., 2012). In contrast, accumulating data support latent HIV infection of HSPCs. Moreover, some BM HSPCs may remain latently infected after successful treatment (Bordoni et al., 2015a). Another study suggested that multiple subsets of HSPCs may be latently infected with HIV-1, including immature (CD34⁺CD38[−]CD45RA[−]) progenitors, which are more likely to persist and serve as latent reservoirs following ART (Mcnamara et al., 2012). Humanized mouse models have also been utilized to investigate CD34⁺ HIV reservoirs. A previous study revealed that the HIV-infected HSPCs may serve as long-term HIV reservoirs in the BM of humanized mice, leading to production of HIV-integrated CD3⁺ T cells (Carter et al., 2011). Taken together, HSPCs might constitute significant HIV reservoirs, which should be further investigated.

While it remains unclear whether infected HSPCs contribute to residual viremia after ART (Onafuwa-Nuga et al., 2010; Mcnamara and Collins, 2011), a recent article reported that HSPCs in suppressed patients harbor functional HIV proviral genomes that often match residual peripheral viral RNA (Zaikos et al., 2018). If these findings are confirmed, HSPCs might be finally regarded as long-term viral reservoirs, because they are long-lived cells with regulated susceptibility to apoptosis (Durdik et al., 2017). Thus, precise identification of HSPC subsets harboring functional HIV proviral copies

could further facilitate these findings and clarify the role of HSPCs in HIV persistence even after successful ART. Furthermore, it is interesting to assess whether early initiation of ART could prevent the establishment of viral reservoirs in HSPCs.

PROTECTION OF HSPCs AGAINST HIV INFECTION

Presently, the best method for treating HIV-infected individuals in terms of protection of HSPCs is to initiate ART as early as possible regardless of the disease stage (World Health Organization, 2015). By interrupting HIV pathogenesis early during infection, it is expected that existing CD4⁺ T cells and HSPCs as well as the host's hematopoietic capacity will be preserved for long (Bordoni et al., 2015b). However, more treatment options might be helpful for patients who are diagnosed in the chronic phase and/or those who manifest the characteristics of immunological non-responders against the current ART regimens (Rb-Silva et al., 2019).

CXCR4 may be targeted to protect HSPCs against CXCR4-tropic HIV-1 infection, because they express CXCR4 and are considered susceptible to CXCR4-tropic HIV-1 infection. For example, the μ -opioid agonist DAMGO (C₂₆H₃₅N₅O₆) was found to downregulate CXCR4 expression and prevent HIV-1 infection of BM HSPCs (Strazza et al., 2014). On the other hand, a clinical study reported that the CXCR4 antagonist plerixafor was not successful for the treatment of HIV-infected patients (Hendrix et al., 2004). Because systemic administration of plerixafor is associated with adverse effects, especially to patients with cardiovascular diseases, further development of CXCR4-tropic HIV-1 entry inhibitors with weaker affinity to CXCR4 than plerixafor is needed (Berg et al., 2018). Other entry inhibitors such as ibalizumab, a humanized monoclonal anti-CD4 antibody that inhibits the binding of HIV gp120, might also be highly effective in preventing HSPCs from infection (Emu et al., 2018). It is unclear whether CCR5-tropic HIV-1 entry inhibitors such as maraviroc are effective in protecting HSPCs because HIV-1 is considered to use CXCR4 to enter those cells (Carter et al., 2011). However, those entry inhibitors can lower viral burden by protecting CCR5⁺ memory CD4⁺ T cells and lead to lower risks for indirect damages to HSPCs.

The significance of CXCR4 in HIV-1 infection is not necessarily limited to its function as an HIV-1 coreceptor. For example, it is unclear how the biological roles of CXCR4, including the SDF-1/CXCR4 signaling pathway in the BM and thymus, affect hematopoiesis in response to HIV infection. It has been indicated that elevation of plasma SDF-1 levels may be associated with disease progression (Ikegawa et al., 2001). Another study suggested the use of granulocyte colony-stimulating factor to increase CD34⁺ and CD4⁺ cell counts in HIV-infected patients (Nielsen et al., 1998). In addition, a recent humanized mouse study indicated the involvement of CXCR4 in the loss of BM HSPCs in CCR5-tropic HIV-1 infection (Tsukamoto, 2018; **Figure 1**). These results must be further investigated to elucidate whether the loss of HSPCs

following HIV-1 infection can be alleviated by interrupting the SDF-1/CXCR4 signaling pathway.

Recent studies indicate HSPCs as an ideal target for anti-HIV gene therapy aimed to protect hosts' hematopoietic potential (Kitchen et al., 2011; Savkovic et al., 2014). For detailed discussions on recent advances in the field, see a recently published review by this author Tsukamoto (2019a).

CONCLUDING REMARKS

Despite previous efforts and accumulating data to better clarify the interactions between HIV-1 and HSPCs, studies on their involvement in HIV pathogenesis are ongoing. The contribution of latently infected HSPCs to viral persistence should be better described. Regarding HSPC subsets, recent evidence supports the influence of HIV-1 on myeloid progenitor cells. On the other hand, among various steps in T-lineage development, the functional and numerical alteration of CD34⁺ LPs in HIV-1 infection needs to be further elucidated to improve the current understanding of the degree of impaired CD4⁺ T-cell generation on peripheral CD4⁺ T-cell loss and AIDS onset.

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HIV-1 Tat: Role in Bystander Toxicity

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HIV Tat protein is a critical protein that plays multiple roles in HIV pathogenesis. While its role as the transactivator of HIV transcription is well-established, other non-viral replication-associated functions have been described in several HIV-comorbidities even in the current antiretroviral therapy (ART) era. HIV Tat protein is produced and released into the extracellular space from cells with active HIV replication or from latently HIV-infected cells into neighboring uninfected cells even in the absence of active HIV replication and viral production due to effective ART. Neighboring uninfected and HIV-infected cells can take up the released Tat resulting in the upregulation of inflammatory genes and activation of pathways that leads to cytotoxicity observed in several comorbidities such as HIV associated neurocognitive disorder (HAND), HIV associated cardiovascular impairment, and accelerated aging. Thus, understanding how Tat modulates host and viral response is important in designing novel therapeutic approaches to target the chronic inflammatory effects of soluble viral proteins in HIV infection.

Keywords: connexin, HIV-1, Tat, HIV-1 latency, cardiomyopathy, neuro-HIV

INTRODUCTION

In 2017, it was estimated that there are about 38 million people living with Human Immunodeficiency Virus-1 (HIV) worldwide, with about 1.8 million new infections in the same year (UNAIDS). Despite these large numbers, HIV-infection, and associated deaths are decreasing mainly due to the advent of combination antiretrovirals (ARVs). The longer lifespan of HIV-infected individuals on antiretroviral therapy (ART) opened new challenges resulting in chronic diseases that are represented by accelerated aging diseases such as dementia, Alzheimer's/Parkinson like diseases, stroke, and cardiovascular diseases.

HIV is the causative agent of acquired immunodeficiency syndrome (AIDS). Currently, AIDS is only observed in countries with minimal infrastructure for detection, follow-ups, and ART access. AIDS corresponds to a significant decline in CD4⁺ T cells levels below the critical threshold of 200 cells/mm³, resulting from immune exhaustion and inability of the immune system to replenish CD4⁺ T cells faster than the rate of HIV-mediated depletion of the same cells, thereby resulting in opportunistic infections and cancer-related diseases (Lackner et al., 2012). While AIDS is not common in most developed countries due to access to quality health care the same cannot be said for some under-developed countries where access to quality healthcare and therapy is limited.

The development and introduction of combinatory antiretroviral therapy (cART), which is a combination of three to four antiviral drugs targeting multiple stages of the viral replication cycle is critical in inhibiting HIV replication, maintaining CD4⁺ T cells at near-normal levels, and preventing onset of AIDS which has resulted in longer live-spans of the HIV-infected population (Moutouh et al., 1996). Despite these successes, cART does not cure HIV because the virus, early in the acute phase of the infection, colonizes different tissues, infecting resident cells that have a

longer half-life than circulating immune cells and remain for extended periods in a latent state by becoming silent and preventing immune detection. These silent nature of the circulating and tissue associated viral reservoirs become evident because upon ART interruption, the virus rebounds or re-emerges from tissues. Thus, the new focus of the HIV field is on identifying reagents and strategies to cure HIV-infection by eradicating the viral reservoirs (Deeks et al., 2012; Barre-Sinoussi, 2013; Barre-Sinoussi et al., 2013; Abulwerdi and Le Grice, 2017; Cafaro et al., 2018). In addition, the success of cART and maybe the presence of viral reservoirs has exposed several HIV-associated chronic pathological conditions that were not very apparent before the development of the therapy. Currently, people with HIV live longer with nearly undetectable viral loads (Barouch and Deeks, 2014), however, conditions such neurocognitive and cardiovascular impairments persists in a considerable proportion of the population (Fiala et al., 2004; Coll et al., 2006; Becker et al., 2009; Clifford and Ances, 2013; Anand et al., 2018; Alcaide et al., 2019; Estrada et al., 2019; Eyawo et al., 2019). It is estimated that about 50–60% of people with HIV develop HAND and the incidence of cardiovascular disease is probably higher in the same population. Hence, there is a need for more research to understand these HIV-associated impairments and how to eradicate them. We propose that some of these toxic and chronic effects are mediated by soluble viral proteins, including Tat, that continue to be produced and circulated despite adherence to cART.

HIV-1 REPLICATION CYCLE

HIV is a lentivirus that infects CD4⁺ cells that expresses both or either of the coreceptors (CCR5 or CXCR4). Infection starts with the virus binding to CD4 receptors on the surface of susceptible cells via the viral gp120 protein (Dalglish et al., 1984; Maddon et al., 1986). The interaction between the virus gp120 and host protein CD4 induces conformational changes that expose the V3 loop in gp120. The V3 loop binds either CCR5 or CXCR4 and causes the exposure of gp41, particularly, the fusion peptide. This exposed fusion peptide inserts into the plasma membrane of the target cell leading to the tethering of the viral and target membranes. gp41 folding drives the two membranes closer to each other, then the membranes mix and form fusion pore through which the virus core is delivered into the cytoplasm. The delivered viral core undergoes reverse transcription into pro-viral DNA, and translocation to the nucleus occurs (the sequence of this is still debated). The translocated pro-viral DNA is incorporated into the chromosomes, and it is transcribed by the host RNA polymerase II into viral mRNA, which can be un-spliced, singly spliced, or multiply splice. The multiply spliced mRNA is translated into the accessory and regulatory proteins, the singly spliced is translated into the envelope (env), or gp160 (gp120 and gp41), and the un-spliced mRNA is either translated into Gag or serves as the genomic RNA (Schwartz et al., 1990). All viral components are assembled at the plasma membrane into immature virions. These virions bud from the plasma membrane undergo maturation that allows for the cycle to be repeated.

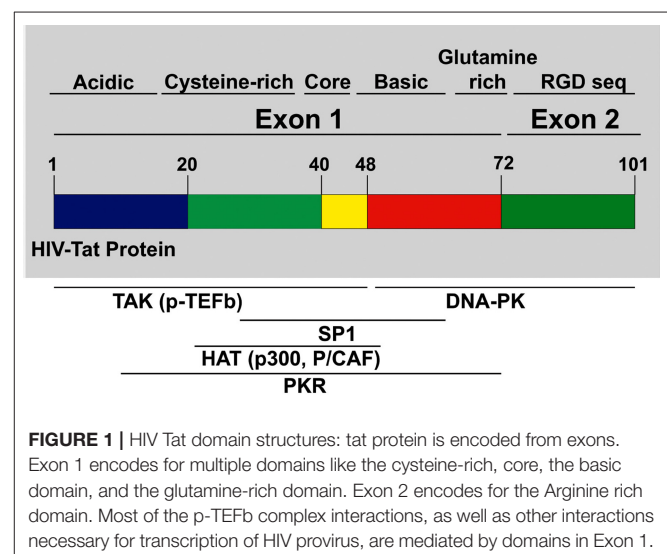
HIV-1 GENOME STRUCTURE AND GENES

HIV genome is ~10 kb long, and it is flanked by long terminal repeats (LTRs) on both the 5' and 3' ends. The 5' LTR serves as the promoter region for transcription of viral genes, and it encodes sequences for multiple transcription factors like NFκB, Sp1, NFAT, and others. Also, it encodes the Tat-binding trans-activation response (TAR) elements, which is critical for the transcription of the integrated HIV proviral DNA. Other regions of the genome encode for: Gag polyprotein which is the structural protein of HIV made up of Matrix (MA), Capsid (CA), Nucleocapsid (NC), and p6; Pol polyprotein that codes for the enzymes: Reverse Transcriptase (RT), Integrase (IN), and Protease (Pr); Envelope (Env) for gp120 and gp41; and other accessory and regulatory proteins. Transcription of these HIV mRNAs to make the different viral proteins starts with Tat activity. Hence, Tat is a critical protein for HIV.

HIV TAT PROTEIN

Tat protein is a small protein, 86–102 amino acids (aa), and it is predicted to have about 14–16 kDa molecular weight (Dayton et al., 1986; Bohan et al., 1992; Debaisieux et al., 2012; Clark et al., 2017). Tat is encoded by two exons spliced together, as shown in **Figure 1**. The first exon (1–72 aa) is conserved within most of the HIV subtypes and well-characterized but the second exon (73–102 aa) is not as well-conserved as the first exon, and it contains an RGD binding site (Chiozzini and Toschi, 2016).

Multiple studies (Garcia et al., 1988; Ruben et al., 1989; Albini et al., 1998a; Benelli et al., 1998; Monini et al., 2012) have shown that Tat protein contains multiple domains. The N-terminal domain (1–48 aa) is critical for activating transcription of HIV genomic DNA due to the cysteine-rich motif required for dimerization, protein structure stabilization, metal binding, and the hydrophobic core motif that is known to be critical for its transactivation activity through its binding to transactivation



response RNA element (TAR) of the newly transcribed HIV genomic RNA (Chiozzini and Toschi, 2016). The arginine-rich or basic domain is the second domain of Tat (49–58 aa) that is important for localizing Tat to the nucleus, binding of Tat to TAR element, and the internalization of Tat protein into bystander cells by its interaction with surface proteins such as heparan sulfate proteoglycans (Tyagi et al., 2001; Ruiz et al., 2019). The next domain is the glutamine-rich domain, which has been linked to Tat interaction with the TAR element and important for the Tat-apoptosis function (King et al., 2006; Loret, 2015). The second exon of Tat is mostly important for the replication of HIV in both T cells and macrophages. The first motif in the second exon is the arginine-glycine-aspartic acid (RGD) sequence, which is important for Tat interaction with integrins.

Tat is known to be the viral protein that controls HIV-transcription (Dayton et al., 1986). First, transcription starts with short and abortive transcription by RNA Polymerase II (RNA POL II) (Kao et al., 1987). The short transcripts are translocated to the cytoplasm where they are translated into Tat and Rev proteins. Newly translated Tat enters the nucleus to activate RNA POL II driven transcription elongation by binding to P-TEFb (complex made up of CDK-9, Cyclin T1) (Karn and Stoltzfus, 2012; Asamitsu and Okamoto, 2017; Asamitsu et al., 2018). The Tat-P-TEFb complex binds the TAR element on the RNA, which leads to increased processivity of RNA-POL II. Apart from this interaction with P-TEFb, Tat also recruits histone acetyltransferases (HATs) like CNP/p300 complex to the viral promoter to activate the acetylation of nucleosomes to promote transcription of HIV RNA (Nekhai and Jeang, 2006; Vardabasso et al., 2008).

When there is no activation of the above complex, the infected cell becomes latent, as seen in resting CD4⁺ T lymphocytes and monocytes-macrophages, which are called viral reservoirs (Karn, 2011; Donahue et al., 2012; Kumar and Herbein, 2014; Kamori and Ueno, 2017; Khoury et al., 2018). The establishment of latency or generation of viral reservoirs is mainly due to several cellular processes that restrict access of the transcription machinery to the HIV-1 promoter region (Karn, 2011). Latency also can be established by proviruses that carry transactivation-defective tat sequence (Tat-C22G) that has been shown to prevent effective expression of viral proteins (Wang et al., 1996), which eventually represses Tat expression and/or function. Recent findings have demonstrated that latently HIV-infected cells can be reactivated by adding Tat and one of the proposed cure strategies against preventing reactivation of latently infected cells is to repress the provirus and prevent access of Tat to the promoter region of the provirus by using Tat inhibitors (Easley et al., 2010; Karn, 2011; Mbonye and Karn, 2011, 2017; Siliciano and Greene, 2011; Deeks et al., 2012; Donahue et al., 2012; Desplats et al., 2013; Tabarrini et al., 2016; Kamori and Ueno, 2017; Asamitsu et al., 2018; Khoury et al., 2018). However, our focus for this review will be on how Tat can be released from infected cells and taken up by both uninfected and HIV-infected cells mimicking the transcriptional and cytotoxic effects of the protein. It is important to note that none of the current cART prevents the transcription and/or synthesis of Tat protein.

Tat is secreted from HIV-infected cells and perturbs both HIV-infected and uninfected cells in the surrounding microenvironment (Chang et al., 1997; Debaisieux et al., 2012; Bagashev and Sawaya, 2013; Berks et al., 2014; Clark et al., 2017). Most of the scientific communications described two different actions of this early viral protein, a nuclear and a membrane/cytoplasm associated function. Secretion of Tat into the extracellular space leads to the generation of B cells and T cells antibody responses, as seen in about 20% of HIV-infected patients that are seropositive for Tat antibodies, and studies have shown that seroconversion is associated with low to no progression to AIDS, thereby, indicating the important role Tat plays in HIV-1 replication, infection, and pathogenesis (Re et al., 1995; van Baalen et al., 1997; Allen et al., 2000; Rezza et al., 2005). It also shows that getting rid of extracellular Tat, as is the case with these generated antibodies, might help to slow down the progression of HIV disease. Most of the antibodies against Tat maps to the epitopes in the basic and cysteine-rich regions in the N-terminus (Re et al., 1995; Rezza et al., 2005; Chiozzini et al., 2014).

Tat uptake has been shown to lead to the activation of several transcription factors through phosphorylation or other means (Montano et al., 1997; Karn and Stoltzfus, 2012). Tat-induced activated transcription factors like Sp1, NF- κ B, and others have been shown to modulate the expression of both HIV and host genes. Several cellular genes, mostly pro-inflammatory cytokines (like TNF- α , CCL2, IL-2, IL-6, and IL-8), adhesion molecules and sometimes, pro- and anti-apoptotic factors (Albini et al., 1998b; Eugenin et al., 2005; El-Hage et al., 2006a; Lawrence et al., 2006; Youn et al., 2015) is upregulated by these transcription factors via Tat activities. Soluble Tat, in the absence of the virus, has been shown to cause: induction of apoptosis, the release of neurotransmitters, oxidative stress, and inflammation. These mechanisms will be discussed below. Tat modulation of several genes involved in the processes, as mentioned earlier, all contribute to chronic inflammation in people with HIV, and it has been linked to several comorbidities observed in the HIV-infected population, including HIV-associated neurocognitive (HAND) and cardiovascular impairment (Clifford and Ances, 2013; Anand et al., 2018).

Secreted Tat protein has been detected in cerebrospinal fluid (CSF), sera and tissues of HIV-infected people, even in individuals with no detectable viral load (Ensoli et al., 1990; Westendorp et al., 1995; Xiao et al., 2000; Choi et al., 2012). Since most of the HIV-infected individuals are on ART with minimal to undetectable viral replication, still, circulating levels of Tat, in some cases can reach nanomolar concentrations (Ensoli et al., 1990; Westendorp et al., 1995), probably due to the ongoing abortive viral transcription that favor transcription of early genes like Tat (Bachani et al., 2013).

TAT RELEASE FROM HIV-INFECTED OR TRANSFECTED CELLS

Tat accumulates at the plasma membrane of both HIV-infected or Tat-transfected cells, and due to the cytotoxicity of Tat

protein, infected, or transfected cells release most of the cellular Tat as demonstrated in infected or transfected CD4⁺ T cells (Chen et al., 2002; Rayne et al., 2010). Tat is secreted by an alternate mechanism that is dependent on its ability to bind to phosphatidylinositol 4,5-bisphosphate (PI (4,5) P2) through a tryptophan residue (W11) and negatively charged patches on the inner leaflet of the plasma membrane (Chang et al., 1997). In addition to secretion, Tat is biologically active both extracellularly and intracellularly when taken up, as seen in multiple studies that performed trans-well transactivation of reporter genes under the control of HIV-1 LTR (Fittipaldi et al., 2003; Vendeville et al., 2004; Fittipaldi and Giacca, 2005; Ruiz et al., 2019).

TAT UPTAKE BY BYSTANDER CELLS

The secretion of Tat into the extracellular environment can lead to viral and cellular responses. As mentioned earlier, several groups showed that Tat could act as a viral chemokine attracting monocytes and macrophages into areas of active infection (Albini et al., 1998b; Rao et al., 2013). Furthermore, most cells analyzed including monocytes, macrophages, microglia, CD4⁺ T lymphocytes, astrocytes, neurons, and cardiomyocytes have been demonstrated to be able to take up Tat protein (Liu et al., 2000; Tyagi et al., 2001; Eugenin et al., 2007; Aksenova et al., 2009; Yao et al., 2010). Their ability to take up Tat has been linked to different surface receptors or proteoglycans such as heparan sulfate proteoglycans (HSPGs), chemokine receptors, integrins, and lipoprotein receptor-related protein-1 (LRP-1) to name a few that can interact with the basic region and the RGD motif on Tat protein. These interactions allow Tat to be endocytosed into bystander cells through two major pathways. First, there is the clathrin-mediated endocytosis pathway, which is dependent on the AP-2/clathrin/dynamin-2 pathway (Vendeville et al., 2004). Vesicles that originate from this canonical pathway depend on acidification for the maturation of the endosomes which can be perturbed by either keeping cells at 4 degrees Celsius or adding ammonium chloride to prevent acidification. Several studies have shown that Tat uptake is significantly inhibited when cells are subjected to either condition, further demonstrating that Tat uptake is dependent on this pathway (Richard et al., 2005; Ruiz et al., 2019). The second pathway is the caveolar pathway, which is an alternative endocytosis pathway for Tat uptake as it was demonstrated for the uptake of Tat-GFP in HeLa as well as CHO cells (Ferrari et al., 2003; Fittipaldi et al., 2003). Immediately Tat is taken up by cells. The protein is mostly seen in the Rab-5 positive late endosome with a pH of <6.0. The release of Tat from the lumen of these vesicles remains unknown but it has been suggested that the same Tryptophan residue (W11) plays a critical role in its release from the lumen of the endosome to the cytosol (Vendeville et al., 2004). The uptake and sometimes, binding of Tat to some surface receptors leads to transcellular signaling, and this has been demonstrated in multiple cell types (Vogel et al., 1993; Rao et al., 2008, 2013, 2014). The ability of Tat to mediate this signaling has been shown to promote pro-inflammation beyond the low level of viral replication that is seen in present-day HIV-infected patients. Thus, further research to

understand the role of viral soluble proteins such as Tat is urgent to prevent bystander damage.

TAT EFFECTS ON IMMUNE CELLS, NEURONS, AND ENDOTHELIAL CELLS

As indicated above, Tat has been shown to have chemotactic activities in monocyte-derived dendritic cells (MDDCs), monocytes, and microglia (Lafrenie et al., 1996a,b; Mitola et al., 1997; Benelli et al., 1998; McManus et al., 2000a; Eugenin et al., 2005), but the specific membrane receptors or mechanism that participate in this migration are unknown. It is expected that chemokine receptors participate in this observed Tat-induced chemotaxis. It has been proposed that, because Tat is a highly basic protein, the basic motif may allow it to interact non-specifically with anionic molecules. The limited conformational and structural studies of full length or regions of Tat protein (Bayer et al., 1995) further limit our understanding to discover novel and specific ways to perturb Tat-associated effects with potential cellular targets.

In monocytes, microglia, and MDDCs, Tat induced chemotaxis is blocked by neutralizing antibodies to CCL2 (McManus et al., 2000b; Eugenin et al., 2005), suggesting that Tat-induced chemotaxis is through increased expression and secretion of CCL2. The increased CCL2 mediates chemotaxis of these cells, mostly to the site of infection. CCL2 is, to date, the most potent monocyte chemoattractant and is chemotactic for activated T cells (Yla-Herttuala et al., 1991; Koch et al., 1992; Villiger et al., 1992a,b; Brown et al., 1996), microglia, and macrophages (Cross and Woodroffe, 1999). Experiments indicate that CCL2 is an essential chemokine for monocyte transmigration into the brain parenchyma (Fuentes et al., 1995; Gonzalez et al., 2002) and elevated levels of CCL2 have been detected in the CSF and sera of HIV-1 infected individuals with HAND (Conant et al., 1998; Kelder et al., 1998). However, some of these findings on the rapid release of chemokines like CCL2 may involve rapid release from pre-storage. For instance, the treatment of endothelial cells with histamine resulted in the release of CCL2, eotaxin, GRO α , and IL-8 that were stored in small vesicles and Weibel-Palade bodies within 15 min post-treatment (Oynebraten et al., 2004). In another study, the treatment of CD8⁺ cells with anti-CD3 plus anti-CD28 antibodies or PMA plus ionomycin resulted in rapid RANTES (CCL5) release (Catalfamo et al., 2004). These results suggest that, apart from the Tat-induced upregulation at the transcriptional level of some cytokines, especially, beta-chemokines, there could be an alternative mechanism of Tat-induced fast release of chemokines that induces transmigration. In addition to this spontaneous release of stored chemokines, as earlier stated, Tat has been shown to induce the expression of some beta-chemokines of which CCL2 is one.

Also, Huang et al. showed that the addition of Tat to leukocytes significantly increased the expression of CCR5 and CXCR4 in a dose-dependent manner, thereby suggesting that Tat secretion could be making these cells susceptible

to HIV-infection (Huang et al., 1998). Tat also reprograms immature dendritic cells to express chemo-attractants for activated T cells and macrophages, suggesting a role for Tat in the differentiation of dendritic cells and in the recruitment of activated cells into areas of infection, where HIV replicates and is released (Izmailova et al., 2003). In addition to these Tat effects, some HIV-infected individuals abuse drugs, which results in enhanced activity of Tat on cell migration, inflammation, and neuronal toxicity (Gurwell et al., 2001; Nath et al., 2002; El-Hage et al., 2005). However, the mechanism that mediates these enhanced effects is unknown. Therefore, further experimental approaches are required to investigate the mechanism behind how drug abuse enhances Tat activities or effects on the multiple HIV associated co-morbidities.

In uninfected cells, the effect of Tat has been explored and shown to be multifaceted. Tat has been shown to affect the large protein quality control machinery, which is one of the protein degradation complexes referred to as the proteasome. Two studies have shown that Tat protein alters the activity of the proteasomal complex to induce increased or decreased ubiquitination and targeting some cellular proteins for degradation while reducing degradation of other cellular and viral proteins. These studies also suggested that there is a direct interaction between Tat and the proteasomal complex which can lead to inhibition of proteasomal activity (Huang et al., 2002; Apcher et al., 2003). These experiments ultimately show that Tat can directly alter the degradation of cellular proteins and enhance the accumulation of viral and perhaps, other cellular proteins that favors the formation of new virions in HIV-infected cells.

Tat has also been shown to have effects on endothelial cells (ECs), leading to multiple defects through activation of VEGF/KDR receptors, chemokine receptors, HSPGs, and integrins. Recently, it was demonstrated that Tat binds to $\alpha_v\beta_3$ integrins inducing FAK phosphorylation that triggers the activation of different intracellular messengers (Urbini et al., 2005). This FAK activation has been associated with migration, enhanced permeability (Avraham et al., 2004), and may explain the alterations in tight junction proteins such as Connexins, Claudin-5, and ZO-1 observed after Tat treatment (Andras et al., 2005; Pu et al., 2005). Tat treatment of endothelial cells can alter the formation of actin filaments, tight junctions, and adhesion molecules, such as ZO-1, JAM-A, PECAM-1, and CD99 (Williams et al., 2013). Results obtained by confocal microscopy demonstrated that, Tat treatment for 12 h induced an aberrant, non-linear, actin filaments, and PECAM-1 is redistributed into the surface of the endothelial cells compared to the untreated cells (Figure 2). These changes, in addition to ECs migration, also can be associated with the pro-invasive activities of Tat. Experiments in transgenic mice or metastatic cells expressing Tat showed an enhanced release of Matrix Metalloproteinases (MMPs) that are associated with leukocytes, monocytes, or metastatic cells infiltration, suggesting that Tat in concert with other factors, enhance cell migration (Albini et al., 1994; Zocchi et al., 1997; Prakash et al., 2000). Another almost unexplored form of migration is the neuronal or stem cell migration. This form of migration is important during the regeneration of tissue and synaptic plasticity. They are both critical in NeuroHIV and

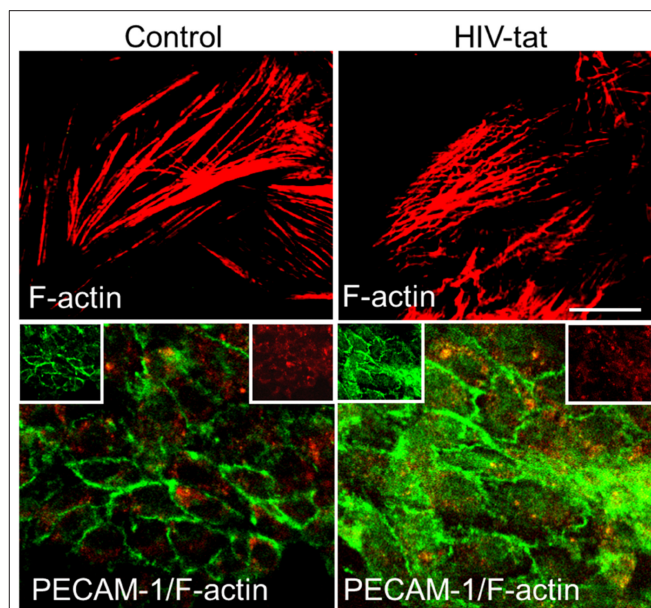


FIGURE 2 | Tat alters actin cytoskeleton and PECAM expression. Cells were treated as empty media and HIV-1 Tat containing media. In control cells, actin cytoskeleton (Cy3-red) remains intact, and PECAM expression (green) is as expected mainly localized to the plasma membrane. In Tat treated samples, actin cytoskeleton is disrupted, and PECAM expression and distribution changes to be in the cytoplasm and at the plasma membrane.

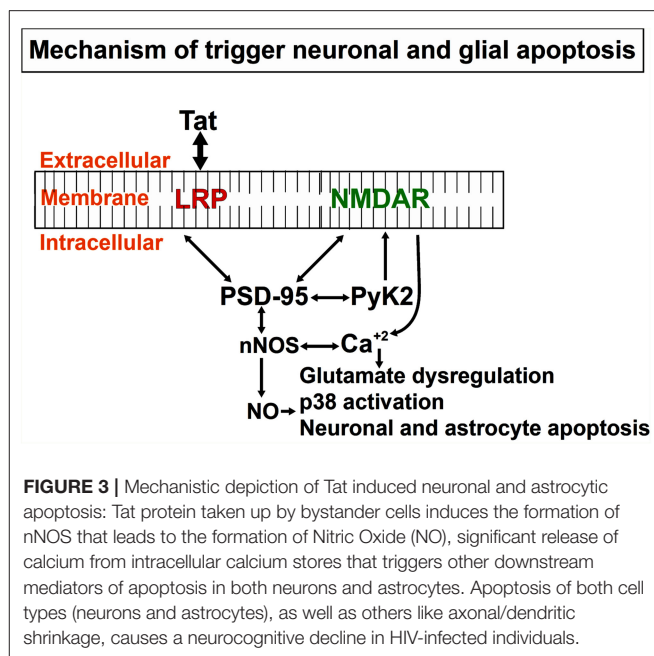
are perturbed by Tat protein. First, Tat has been described to: alter nerve growth factor (NGF) signaling pathway, decrease expression of p35, a neuron-specific activator of CDK5, a cyclin that phosphorylates several neuronal proteins involved in cell survival, migration and differentiation (Peruzzi et al., 2002). Specifically, p35, CDK5, and other cyclins have been described to be essential in normal neuronal migration because mice deficient in *cdk5* expression have been shown to have perturbed neuronal migration pattern (Ohshima et al., 1996; Gilmore et al., 1998; Gilmore and Herrup, 2001). Also, *in vivo* experiments have shown alterations in FGF-1 and BDNF expression in neurons that survived in the brain of patients that suffered HIV-encephalitis (HIVE) (Soontornniyomkij et al., 1998; Everall et al., 2001). Embarking on studies to understand the potential contribution of decreased neuronal differentiation or migration to the areas compromised with HIV will be an excellent addition to our knowledge on how HIV causes neuro-cognitive decline.

MECHANISM OF NEUROTOXICITY

HIV enters the CNS soon after infection via transmigration of HIV-infected leukocytes and monocytes into the brain (Joseph et al., 2015). Even with cART (Kranick and Nath, 2012), HIV-1 remains in the brain. Patients on cART with no detectable viral load and high CD4⁺ T cell counts still suffer from HAND. It is currently estimated that about 50% of HIV-1 infected individuals have HAND irrespective of their cART status (Rojas-Celis et al., 2019), suggesting a mechanism CNS specific (Kusdra et al., 2002). These HIV-infected cells release Tat that can induce neurotoxicity

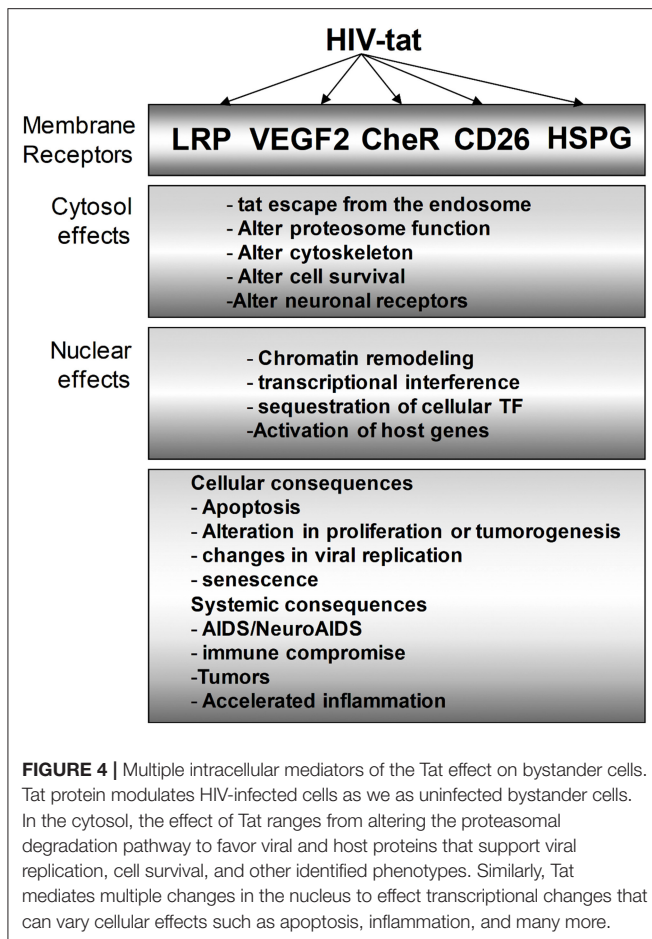
by two mechanisms; act directly on neurons or act through other cell types like macrophages/microglia and astrocytes, triggering inflammation. The findings that Tat-subtype B, which is the predominant subtype in the USA, but not subtype C (predominant in India), results in significantly higher levels of HIV dementia, 15–30% in the USA compared to 1–2% in India both in pre-therapy era, suggest that neurotoxic potential of Tat protein from different subtypes may vary (Antinori et al., 2007; Heaton et al., 2010, 2011). This finding has been explored by multiple groups, and there have been several studies that have validated this suggestion. Neurotoxic potentials of the Tat protein have been shown by some studies to be associated with some specific molecular signatures that can influence different activities of Tat protein. For instance, Tat plays a key role in the recruitment of monocytes/macrophage cells into the brain and has direct association with HAND (Abraham et al., 2003; Li et al., 2008; Constantino et al., 2011; Bagashev and Sawaya, 2013; Burdo et al., 2013; Chompre et al., 2013; Fields et al., 2015a,b; Niu et al., 2015). It has been shown that Tat with the critical CC motif; mimics chemokines, binds to chemokine receptors, and can recruit monocytes and macrophages to the CNS while Tat without the intact CC motif does not bind to chemokine receptors and it is unable to recruit these cells (Ranga et al., 2004; Mishra et al., 2008). Another molecular signature that has been identified and linked to Tat neurotoxicity is the R57 residue in the basic domain of Tat (Eguchi et al., 2001; Hashida et al., 2004; Ruiz et al., 2019). The basic domain, which is in the same as the cell-penetrating peptide (CPP), mediates cellular uptake of Tat, and the loss of one basic amino acid has been shown by several groups to perturb cellular uptake. A recent study has shown, there is a natural polymorphism seen in Tat mostly in HIV-1 subtype C which significantly reduces cellular uptake of Tat with S57. It was equally shown that less cellular uptake of Tat-S57, translated to less induction of pro-inflammation and less neurotoxicity (Ruiz et al., 2019). On the other side, some studies suggest that Tat protein from subtype C is a better transactivator when compared to subtype B (Kurosu et al., 2002; Johri et al., 2015). These findings show that polymorphisms in Tat can have significant effects on the neurotoxic potential as well as transactivating activity of the protein.

We and others have described the neurotoxic effects of Tat, in part by activation of diverse neuronal players, such as receptors and/or channels like: the N-methyl-D-aspartate (NMDA), non-NMDA receptors, sodium channels, and intracellular pathways (caspase-3) (Eugenin et al., 2007; Li et al., 2008; Aksenova et al., 2009; Hu, 2016). However, conflicting results have been reported, in part due to differences in the culture system, species analyzed, section of the brain examined, and methods to determine the neurotoxicity (Chiozzini and Toschi, 2016). Published data from our laboratory, obtained in human primary neurons indicates that Tat-induced apoptosis is a process that is dependent on glutamate receptor, NMDA receptor, the low-density lipoprotein receptor-related protein-1 (LRP), and nNOS (Eugenin et al., 2003). The intracellular protein that organizes these players is PSD-95. As shown in **Figure 3**, PSD-95 can interact with different membrane and intracellular proteins, such as LRP, NMDA receptors, nNOS, adhesion molecules,



guanylate kinase, and diverse tyrosine kinases, including Fyn, and Pyk2. A critical example of the importance of these interactions in pathological conditions and the potential crossroad with Alzheimer's and Parkinson's diseases is the strong correlation of ApoE4 alleles with dementia and survival in the three different diseases (HAND, Alzheimer, and Parkinson). Currently, in the ART era, HAND has some pathological features that are like Alzheimer's and Parkinson's diseases. For example, ApoE4 is a ligand for the lipoprotein receptor-related protein (LRP), and ApoE4 polymorphisms are associated with poor prognoses in numerous neurodegenerative diseases, including Alzheimer's disease, stroke, hemorrhage, trauma, as well as HIV dementia/neuropathology. However, in HIV-neuropathogenesis, the viral protein Tat has been shown to bind to LRP (Liu et al., 2000), the same receptor that binds ApoE, and to induce similar neurotoxicity as ApoE (Eugenin et al., 2003, 2007). Tat and ApoE may have similar or overlapping signaling pathways, and thus Tat needs to be considered as important in the pathogenesis of dementia in HAND. This Tat-mediated neurotoxicity through LRP-1 signaling pathways involve activation of LRP-1, the receptor for both ApoE and Tat on neurons, NMDAR, a synaptic scaffold protein, that leads to the production of glutamate, as well as nitric oxide (NO) (Eugenin et al., 2007) (see **Figures 3, 4**). Furthermore, Tat through these mechanisms can amplify inflammation and neurotoxicity at long range due to the diffusion of NO into vast areas of the brain. We propose that these amplification methods can partly explain some of the vast synaptic compromise observed in the majority of the 50% of the HIV-infected individuals with HAND despite effective ART.

The neurotoxicity of Tat also involves apoptosis. Tat-induced apoptosis is a bi-phasic process. First, a process dependent on LRP, PSD-95, and NMDA receptors to mediated Tat-internalization and then the second process to trigger and amplify



Tat-toxicity from neurons to astrocytes by a mechanism that involves at least glutamate dysregulation, NO generation and diffusion, as well as calcium overload (Figure 3). Typically, primary neuronal culture has 35 % of NMDA positive neurons and when these cultures are treated with Tat, it results in 80% of apoptosis at 24 h in the neurons and 20 % in the astrocytes suggesting that Tat first target NMDA receptor-positive neurons and then spread apoptotic signals to astrocytes. The use of NMDA receptor blockers reduced apoptosis in both cell types (Eugenin et al., 2003).

Some reports have suggested that Tat can interact directly with NMDA receptors on the Zn^{+2} binding site (Prendergast et al., 2002; Self et al., 2004; Chandra et al., 2005). Another study has shown that Tat can interact with NMDA receptors through the intact CC-motif in its basic domain, which leads to increased calcium release and retraction of synapses during excessive firing (Li et al., 2008). However, there are no conclusive experiments that have shown Tat binding directly to NMDA receptors, especially to the Zn^{+2} sensitive sites. Also, it is known that Tat can induce the activation of inositol 1,4,5-triphosphate (IP_3), which mobilizes intracellular release of calcium from the endoplasmic reticulum (ER) stores (Borgatti et al., 1998; Haughey et al., 1999, 2001; Mayne et al., 2000; Feligioni et al., 2003; Fotheringham et al., 2004). This excessive calcium release

contributes to neurotoxicity. Another mechanism of Tat-induced neurotoxicity is the interaction of Tat and LRP-1, thereby causing the internalization of LRP-1 and decreased uptake of LRP-1 ligands, in this case, amyloid- β peptide and Apolipoprotein E. Data from our laboratory and others have shown that specific LRP blockers like receptor-associated protein (RAP) that is an endoplasmic chaperone for LRP-1 and tightly binds the NMDA receptor when applied extracellularly, block the binding, and prevents the uptake of all known LRP ligands (Prasad et al., 2015), including Tat (Herz and Strickland, 2001; Strickland et al., 2017), suggesting, almost all the initial toxic effects of Tat are mediated by LRP, but we cannot discard a cross-activation or sensitization of NMDA receptors as an additional mechanism (see Figure 4).

A report established a connection between the dementias induced by HIV-infection and Alzheimer's with regards to neprilysin (NEP). NEP is the major enzyme that degraded A β in the brain. NEP is a membrane surface zinc metalloendopeptidase, also known as CD10, that cleave bradykinin, substance P, and insulin. Tat has been shown to inhibit NEP (Turner et al., 2001). This inhibition results in increased A β levels and its accumulation. Besides, it was demonstrated that individuals with long term HIV-infection had increased A β . Also, LRP has been associated with controlling extracellular activities for MMPs (Emonard et al., 2005). Both LRP and NEP are considered risk factors in Alzheimer's disease and may equally have connections with the mechanism of HAND.

We think that Tat enhances or induces the formation of apoptosis promoting complex in the surface of neurons made up of Tat, LRP, PSD-95, NMDAR, nNOS, and Pyk2. While there is no Tat in AD, we speculate that a similar complex might be formed in AD and associated with either the beginning or progression to AD. ApoE4 is another factor that induces significant low levels of apoptosis in neurons and astrocytes compared to control cells but is not comparable to the apoptosis induced by Tat. The association of ApoE4 polymorphisms with worse prognoses in numerous neurodegenerative diseases, such as AD, stroke, hemorrhage and HIV dementia, and neuropathy (Strittmatter et al., 1993; Roses et al., 1995; Slooter et al., 1997; Corder et al., 1998), suggest a key role of LRP and their ligands in association with dementia. Intracerebroventricular injection reduces LTP by an NMDA dependent mechanism, suggesting an alteration in neurons. Tat has also been shown to have effects on lipid peroxidation, which are significantly reduced while the generation of reactive oxygen species (ROS) is increased in neurons and synaptosomes from APOE4 KO mouse, suggesting there could be a mechanism that modulates the levels of these two factors. A study demonstrated that Tat and APP colocalize in the brains of SIV-infected macaques suggesting again that may these two diseases share toxic pathways to generate dementia.

However, why is Tat different from other LRP ligands that trigger massive apoptosis? One of the key differences that can be observed from the calcium imaging recording experiment shows, Tat induces general dysregulation of intracellular calcium (Haughey et al., 1999) compared to ApoE4 treatment, but not α_2M or lactoferrin, which results in modest neurotoxicity (Qiu et al., 2003). Also, in contrast to other LRP ligands, Tat works differently, because it induces the recruitment of the membrane

protein-like NMDA receptor, PSD-95, nNOS, and Pyk2 to form a membrane complex that amplifies apoptosis, not observed with other LRP ligands (**Figure 3**). Another difference is that, Tat after its internalization can escape from endosomes and localize to the nucleus where it can alter transcription of cellular genes suggesting that Tat, after internalization can alter the synthesis of key proteins such as transcription of synaptic (Eugenin et al., 2003) or survival proteins that finally trigger massive apoptosis. However, we think one of the key processes of Tat-induced neurotoxicity is the interaction of Tat with NMDA, glutamatergic neurons because early blocking of these channels or blocking the formation of the complex (especially nNOS activation) results in a reduction of Tat toxicity. All these possibilities are under current investigation in our laboratory. We speculate that under normal conditions, this complex exists, and the addition of or exposure to Tat only enhances the complex by increasing the recruitment of more factors involved in the formation of this complex at the membrane.

Published studies from our laboratory show that Tat treatment leads to an elevation in intracellular calcium of human fetal neurons and we observed that this Tat-induced calcium elevation is biphasic in both neurons and astrocytes (King et al., 2006). Also, this calcium elevation is characterized as a single, sustained, and oscillatory spike. We think the cascade of activities that leads to the calcium elevation is; first calcium from IP₃-dependent release and a subsequent sustained glutamate receptor-mediated mechanism (Haughey et al., 1999, 2001). But it is still unclear in human cells, if glutamate activity can alter IP₃-activity like the report in chicken neurons which demonstrated that glutamate could modulate IP₃-mediated calcium release. In the same direction, other published works from our laboratory have shown that early NMDA glutamate receptor activation is not enough to induce neuronal apoptosis because the addition of MK801 after 3 h of Tat treatment still blocks apoptosis, suggesting that early calcium spike is not related to apoptosis. So far, no study has established or demonstrated the link between IP₃, NMDA receptor, astrocytes, and apoptosis, as well as gap junctions. However, gap junctions are permeable to IP₃ and calcium. Also, gap junctions control glutamate metabolism and are a critical communication system between neurons-neurons and neurons-astrocytes (Eugenin et al., 2007). We speculate that the co-application of Tat and gap junction blockers will reduce Tat-induced apoptosis. This will suggest that gap junctions are actively involved in transmitting secondary messenger(s) that may mediate bystander killing to other cells (that are not exposed to Tat but receive these secondary messengers. While we do not know this secondary messenger, glutamate could be a good candidate because it is known to mediate neurotoxicity. Identifying this secondary messenger will be a significant finding that may potentially open the avenue for tackling HAND.

Tat has also been shown to affect the expression of some miRNAs in neurons. For instance, Tat upregulates the expression of miR-34a, a miRNA that targets CREB (Zhang et al., 2012; Zhan et al., 2016). The upregulation of miR-34a leads to the promotion of neuronal dysfunction (Hu et al., 2017; Periyasamy et al., 2019). It also has been proposed that Tat can mediate indirect neuronal toxicity by inducing microglia/macrophagic and/or astrocytes to

release toxic factors such as cytokines, chemokines and others (Eugenin et al., 2005; El-Hage et al., 2006a,b; Bagashev and Sawaya, 2013; Joseph et al., 2015; Hu et al., 2017; Periyasamy et al., 2019). The indirect neurotoxic effect of Tat is based on its ability to be taken up by uninfected bystander cells. One's Tat protein is endocytosed, it is released from the endosome as the endosome undergoes maturation. After the release of Tat from the lumen of endosomes to the cytosol, Tat interacts with multiple intracellular factors, which lead to modulation and changes in cellular response. Many of the interacting cellular factors have been extensively discussed in several studies and reviews. Tat is also known to cause transcriptional changes that lead to either downregulation or up-regulation of target genes.

For example, Tat interacts with the NF- κ B inhibitor I κ B- α , that leads to the release of NF- κ B and its translocation into the nucleus. Translocation of NF- κ B leads to the transcription of several genes (Fittipaldi and Giacca, 2005; Easley et al., 2010; Zhang et al., 2012). Some of these target genes have significant effects on HIV-1 pathogenesis. Specifically, Tat has been shown to upregulate transcription of genes like CXCR4, CCR5 in PBMCs, which are critical for spreading HIV-infection (Huang et al., 1998; Zheng et al., 2005). Also, some pro-inflammatory cytokine genes like TNF- α , CCL2, and anti-inflammatory genes like IL-4 and IL-10 in MPs. Tat has also been linked with inducing anti-proliferation factors from macrophages, which can suppress the activation of naïve CD4⁺ T cells and downregulate anti-apoptotic proteins. This ability of Tat to induce both pro- and anti-inflammatory factors is one of the reasons for some controversies associated with the role of Tat in HIV- pathogenesis (Gandhi et al., 2009).

However, there are no controversies in the role of Tat in HAND. The direct and indirect neurotoxic effect of Tat has been well-established, as seen in the significant reduction in cell viability. In the CNS, the indirect effect of Tat has been characterized by several studies that revealed secreted Tat from HIV-infected cells are taken up by uninfected bystander cells like microglia and astrocytes. For instance, in microglia and astrocytes, uptake of Tat leads to the upregulation and/or release of several neurotoxic factors. The released neurotoxic factors damage the neuron in addition to the direct damages. The secretion of neurotoxic factors mostly in the form of cytokines like TNF- α , IL-6, IL-8, IL-1 β , and CXCL1, among others (Ruiz et al., 2019). That is not to say, cytokines are the only neurotoxic factors that mediate Tat indirect neurotoxic effects.

Only recently, we identify that a key mechanism of bystander apoptosis and a neuronal and endothelial compromise was mediated by gap junction channels. Usually, gap junction channels are shutdown under inflammatory conditions (Eugenin et al., 2007; Berman et al., 2016). We found that latently HIV-infected astrocytes maintain connexin43 expression despite the inflammatory phenotype (Berman et al., 2016). Connexin43 (Cx43) formed functional Cx43 containing gap junction channels that enable small molecules generated in latently HIV-infected astrocytes to diffuse into neighboring uninfected cells. We identify that some of these toxic molecules corresponded to calcium, IP₃, and cytochrome C related signals; however, the mechanism of dysregulation and diffusion of these molecules is

unknown. To identify the viral component(s) involved in the Cx43 maintenance, we tested all HIV proteins in uninfected cultures of human astrocytes. Only Tat increased expression of Cx43 and increased cell-to-cell communication enabling the toxic signals generated in the few latently infected astrocytes to diffuse longer distances as compared to uninfected cultures. Also, we observed that Tat binds to the Cx43 promoter and keep its mRNA expression high. This finding is unique and explains the large areas compromised by HIV reservoirs even in the current ART era. In conclusion, the combination of direct and indirect effects of Tat leads to neurobehavioral deficits, which are one of the hallmarks of HAND (Prevedel et al., 2017).

MECHANISM OF TAT-MEDIATED CARDIOVASCULAR DISEASE

Since HIV-infection is now a chronic disease, several comorbidities like atherosclerosis and cardiovascular disease all related to aging have become common within the population of people with HIV (Gebo et al., 2010; Clifford and Ances, 2013; Fields et al., 2013; Buggey et al., 2019; Ciccarelli et al., 2019). It is known that HIV-infected individuals have 1.5–2 times higher risk of cardiovascular disease (CVD) due to several factors like chronic inflammation, dyslipidemia, lipid abnormalities like low high-density lipoproteins (HDL), increased triglycerides, and prolonged use of ART (Wang et al., 2015; Zungsontiporn et al., 2016; Prevedel et al., 2017; Ciccarelli et al., 2019; Estrada et al., 2019; Heravi et al., 2019; Palma Reis, 2019). HIV-associated CVD is characterized by extensive loss of cardiomyocytes, increase in fibrous tissues and significant infiltration of immune cells to the heart (Prevedel et al., 2017). Most of the studies that have examined the effect of HIV-infection on CVD have shown that there is an increased rate of coronary events and another cardiomyopathy in HIV-infected individuals (Thompson-Paul et al., 2019). The only debate that is still lingering is, does ART contributes to CVD or not and if it does, to what extent does it contribute. A study of a multicenter AIDS cohort study (MACS) showed that there was a significant reduction in LDL and HDL from HIV-infected individuals. Commencement of ART in these individuals led to a significant increase in total cholesterol and LDL to pre-infection levels while HDL remained low (Heravi et al., 2019). Interestingly, the strategies for management of antiretroviral therapy (SMART) study that examined the effect of continuous HIV-replication on CVD events showed that continuous HIV replication in individuals that discontinued therapy increased the risk of CVD compared to individuals that adhered to their therapy, clearly showing the effect of HIV replication on CVD (Sivamogsatham et al., 2019).

In a recent study, HIV-infected individuals with detectable viral loads and low CD4⁺ T cell counts were found to have an increased risk of heart failure, increased risk of cardiovascular death when compared to HIV-infected patients with high CD4⁺ T cell counts and undetectable viral loads (Sivamogsatham et al., 2019). This confirms that HIV, on its own, is a risk factor for CVD either with or without ART.

Cardiomyocytes are the most abundant cells in the heart with some fibroblasts. These cells are not infected by HIV, but they can be exposed to both the full virus or specific viral proteins that are shed by HIV-infected cells. Similarly, as is the case with NeuroHIV, uninfected bystander cells that are exposed to HIV or shed viral proteins like Tat and gp120 can secrete pro-inflammatory factors which can be toxic to cardiomyocytes and the heart (Coll et al., 2006; Wang et al., 2015; Zungsontiporn et al., 2016; Anand et al., 2018; Jiang et al., 2018). Also, the vascular tissue, which is important for the cardiovascular system, is made up of endothelial cells that are susceptible to cytotoxic factors induced by HIV-infections or viral proteins. Also, infiltration of the heart by macrophages and lymphocytes in response to the presence of HIV or HIV protein can contribute further to the assault of cardiomyocytes (Zungsontiporn et al., 2016). Therefore, the combination of HIV-replication, shed viral proteins, infiltration by infected and uninfected macrophages-lymphocyte, pro-inflammatory, and cytotoxic factors shed by bystander cells as well as the lipid dysregulation combines to significantly cause systemic dysregulation that leads to cardiomyopathies.

Several studies have shown that gp120 and Tat, two shed viral proteins that are seen in patients, can cause activation of some pathways that lead to apoptosis of cardiomyocytes and endothelial cells. Inhibition of these pathways, for instance, the MAPK/ERK kinase pathway (MEK inhibitors), prevents apoptosis of cardiomyocytes, and endothelial cells (Lee et al., 2011; Cipolletta et al., 2015). The role of Tat, specifically in HIV-associated CVD, is becoming more appreciated as multiple studies have shown that Tat causes transcriptional changes in different cell types that make up the heart, and some of these transcriptional changes are similar to what has been observed in some non-HIV-associated CVDs. Cardiomyocytes, as the predominant cell type of the heart is the cell type that contracts and relaxes in response to action potentials that must be synchronized effectively from the first cell to the last. The speed of contraction and relaxation requires more effective cell-to-cell communication than diffusion. Therefore, cardiomyocytes have robust cell-to-cell connections in the form of gap junctions that allows for the efficient spread of signals from the initial cardiomyocyte to the last. These gap junctions are at the intercalated discs in between cardiomyocytes, and they are made up of several proteins that allow the formation of these junctions (Zhao et al., 2019). While some of these proteins are anchoring proteins at the plasma membranes, others make intercellular contacts with neighboring cells. One protein family known to be involved in intercellular contacts is the connexins and Cx43, particularly localized mainly at the intercalated discs (Prevedel et al., 2017; Macquart et al., 2018; Schultz et al., 2019). We have shown in a recent publication that Tat induces the upregulation of Cx43 mRNA and proteins in cardiomyocytes and increases in levels of lipofuscin, a known aging heart biomarker, and both observations are seen in non-HIV CVDs as well (Prevedel et al., 2017).

Interestingly, in human hearts, it was also observed that there was lateralization of the increased Cx43 protein expressed in response to Tat exposure, suggesting that Cx43 that under

normal condition is localized at the intercalated discs is either targeted to another part of cardiomyocytes or the machinery that targets Cx43 to the intercalated discs has been perturbed. The consequence of mis-localizing Cx43 away from the intercalated discs will be, impairment of cell to cell communication, which is critical for synchronization of contraction and relaxation of the heart. Furthermore, Tat-induced apoptosis of cardiomyocytes led to the formation of plaques of fibrous tissues, accumulation of mitochondria, which suggest the cardiomyocyte apoptosis is through a mitochondria-controlled pathway (see **Figure 4**).

We and others identified a significant increase in the levels of secreted ATP by a hemichannel dependent mechanism (Seror et al., 2011). High circulating levels of ATP correlates with cognitive impairment and endothelial damage (Seror et al., 2011). Furthermore, the exposure of cardiomyocytes to increasing concentration of ATP *in vitro* caused a significant increase in action potentials, shortening of the time between each contraction and calcium flux in the cells. These findings show that HIV-infection and viral protein exposure lead to multiple damages to the heart and CVD (Prevedel et al., 2017; Tahrir et al., 2018).

The endothelium, made up of endothelial cells, is another part of the cardiovascular system that is known to not support active replication of HIV but, it is exposed to both viral proteins and several inflammatory factors released by both infected cells and bystander cells. The effect of such exposure ranges from increased adhesiveness, permeability, apoptosis, oxidative stress, and more cytokine secretion which can lead to recruitment of lymphocytes, monocytes, and macrophages and the initiation of atherosclerosis (Wang et al., 2015; Anand et al., 2018; Chen and Dugas, 2019). Our group determines that HIV can infect cells of the vascular wall such as smooth muscle cells that contribute to the accelerated atherosclerosis observed in the HIV-infected population (Eugenin et al., 2008). In these samples obtained from HIV-infected individuals, a concentric proliferation of smooth muscle cells was observed, a unique characteristic of the plaque that is not observed in uninfected individuals. Thus, we

propose that this mechanism of accelerated atherosclerosis also contributes to heart dysfunction in the HIV-infected population.

CONCLUSION AND FUTURE PERSPECTIVES

This review points to the multiple roles of Tat in HIV-replication and HIV-associated comorbidities with specific emphasis on replication, NeuroHIV, and HIV-associated CVD. Various studies discussed in this review have shown that secreted Tat contributes to all if not most of these comorbidities through complex intracellular interactions that lead to chronic immune activation and inflammation that assaults both the CNS and the cardiovascular system. The HIV-infected population is aging faster than their uninfected age-matched pairs due to the assault of all systems by HIV and viral proteins like Tat in multiple ways as shown in **Figure 4**. More work is needed in understanding how HIV and viral proteins, especially Tat, affects all systems particularly, CNS and cardiovascular system. With increasing longevity of HIV-infected individuals, there is a need to find therapies that will allow them to live normal or near-normal lives with little to no HIV-associated pathologies. The need to identify useful biomarkers for quick diagnosis and drugs for better therapy cannot be overstated to treat and reverse this population that is aging faster than age-matched pairs.

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All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Dendritic Cell Maturation Regulates TSPAN7 Function in HIV-1 Transfer to CD4⁺ T Lymphocytes

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Dendritic cells (DCs) serve a key function in host defense, linking innate detection of microbes to activation of pathogen-specific adaptive immune responses. DCs express cell surface receptors for HIV-1 entry, but are relatively resistant to productive viral replication. They do, however, facilitate infection of co-cultured T-helper cells through a process referred to as trans-infection. We previously showed that tetraspanin 7 (TSPAN7), a transmembrane protein, is involved, through positive regulation of actin nucleation, in the transfer of HIV-1 from the dendrites of immature monocyte-derived DCs (iMDDCs) to activated CD4⁺ T lymphocytes. Various molecular mechanisms have been described regarding HIV-1 trans-infection and seem to depend on DC maturation status. We sought to investigate the crosstalk between DC maturation status, TSPAN7 expression and trans-infection. We followed trans-infection through co-culture of iMDDCs with CD4⁺ T lymphocytes, in the presence of CXCR4-tropic replicative-competent HIV-1 expressing GFP. T cell infection, DC maturation status and dendrite morphogenesis were assessed through time both by flow cytometry and confocal microscopy. Our previously described TSPAN7/actin nucleation-dependent mechanism of HIV-1 transfer appeared to be mostly observed during the first 20 h of co-culture experiments and to be independent of HIV replication. In the course of co-culture experiments, we observed a progressive maturation of MDDCs, correlated with a decrease in TSPAN7 expression, a drastic loss of dendrites and a change in the shape of DCs. A TSPAN7 and actin nucleation-independent mechanism of trans-infection, relying on HIV-1 replication, was then at play. We discovered that TSPAN7 expression is downregulated in response to different innate immune stimuli driving DC maturation, explaining the requirement for a TSPAN7/actin nucleation-independent mechanism of HIV transfer from mature MDDCs (mMDDCs) to T lymphocytes. As previously described, this mechanism relies on the capture of HIV-1 by the I-type lectin CD169/Siglec-1 on mMDDCs and the formation of a “big invaginated pocket” at the surface of DCs, both events being tightly regulated by DC maturation. Interestingly, in iMDDCs, although CD169/Siglec-1 can capture HIV-1, this capture does not lead to HIV-1 transfer to T lymphocytes.

Keywords: HIV-1, trans-infection, TSPAN7, actin nucleation, dendritic cell maturation, kinetic of transfer

INTRODUCTION

Dendritic cells (DCs) are key players in the mounting of both innate and adaptive immunity. They are present, in an immature state, in organs and can sequentially: engulf elements from their environment; sense engulfed pathogens, which may trigger inflammatory cytokine secretion and their maturation; migrate to lymph nodes and present antigens to T lymphocytes (Merad et al., 2013). Maturation leads to a decrease in antigen uptake, to an increase in major histocompatibility complex and co-stimulatory protein expression and to the secretion of pro-inflammatory cytokines (Alloatti et al., 2016). Peptide-loaded MHCs (pMHCs), co-stimulatory and inflammatory proteins all participate in the stimulation of T cells harboring a cognate receptor to the pMHC allowing the priming of an efficient adaptive immune response (Jain and Pasare, 2017).

DCs of the genital and anorectal tract mucosa are thought to bind and capture HIV-1 and to participate in translocating HIV-1 to patients' *Milieu Interieur*, thereby participating in HIV-1 infection (Ahmed et al., 2015). Interestingly, DCs have also been shown in *in vitro* and *ex vivo* settings, to increase the infection of CD4⁺ T lymphocytes through cis-infection and trans-infection mechanisms (Wu and KewalRamani, 2006; Bertram et al., 2019a). Cis-infection is the process whereby productively-infected DCs produce virus progeny, which augments the amount of virions available to infect CD4⁺ T lymphocytes (Bracq et al., 2018). Trans-infection (sometimes referred to as trans-enhancement) does not involve DC infection but rather the binding of HIV-1 to molecules on DC surface followed or not by the internalization of virions in non-degradative compartments within cells that may or may not communicate with the extracellular milieu (Kijewski and Gummuluru, 2015; Bracq et al., 2018). This binding and/or internalization of HIV-1, with limited infection of DCs, increases CD4⁺ T cell infection *in vitro* and may involve immunological synapse formation (contact zone between DCs and CD4⁺ T cells) thereby polarizing HIV-1 delivery to CD4⁺ T cells (Bracq et al., 2018).

Human DCs express HIV-1 restriction factors among which is SAM domain and HD domain-containing protein 1 (SAMHD1), the major protein responsible for the relative resistance of DCs to HIV-1 infection (Antonucci et al., 2017). SAMHD1 is a phosphohydrolase that is capable of degrading the cellular pool of deoxynucleoside triphosphates, thereby interfering with reverse transcription (Antonucci et al., 2017). Although DCs are relatively resistant to infection by HIV-1, strong DC subtype susceptibility exists (Martin-Gayo and Yu, 2019).

Cyclic GMP-AMP synthase (cGAS) is a sensor for HIV-1 DNA, product of reverse transcription, that is expressed by DCs. DCs however do not respond to HIV-1 infection owing to the block of reverse transcription resulting from SAMHD1 presence (Landau, 2014). Interestingly, when SAMHD1 is degraded experimentally, DCs are able to sense HIV-1 and to produce type I interferons in response to HIV-1 and lose their trans-infection capacity (Manel et al., 2010). Another mechanism by which HIV-1 limits IFN induction in infected DCs and macrophages, is through Vpr and Vif-mediated limitation of TBK1 (TANK-binding kinase 1) phosphorylation, in turn

limiting IFN induction (Harman et al., 2015). By limiting its detection by the innate sensors of DCs and using these cells for transfer of virions to T cells (as discussed above), the virus may evade, at least in part, the first line of defense of the immune system in mucosal tissues and use DCs as early cell reservoirs to traffic from the peripheral tissues to lymph nodes to establish and amplify infection of CD4⁺ T cells using the trans-infection process. This hijacking of DCs by HIV-1 is sometimes referred to as the trojan horse hypothesis (Izquierdo-Useros et al., 2010).

Although strong evidence concerning the *in vivo* occurrence of HIV-1 trans-infection are lacking owing to the absence of optimal animal models and obvious difficulties to perform experiments (e.g., poor reconstitution of human myeloid compartment in humanized mouse models), *ex vivo* experiments revealed that endogenous myeloid DCs can trans-infect CD4⁺ T cells with HIV-1 (Shen et al., 2014; Reyes-Rodriguez et al., 2016; Trifonova et al., 2018). Langerhans cells, vaginal epithelium DCs and other mucosal CD11c⁺ DCs have also been shown to transfer HIV-1 to CD4⁺ T lymphocytes in *ex vivo* experiments (Nasr et al., 2014; Pena-Cruz et al., 2018; Bertram et al., 2019b). Interestingly, subcutaneous injection of murine leukemia virus (MLV) or HIV-1 in mice results in their uptake by macrophages expressing CD169/Siglec-1, through interaction with this protein (Sewald et al., 2015). Importantly, MLV does not infect CD169⁺ macrophages in mice but, when loaded with MLV and after injection, these cells participate in infecting B lymphocytes and the two cell types form immunological synapses (Sewald et al., 2015). These observations support the trojan horse hypothesis and reinforce the need for a better comprehension of the mechanisms of HIV-1 transfer from DCs to T cells.

As immature DCs (iDCs) and mature DCs (mDCs) differ in their phenotype, function and localization, as exposed above, HIV-1 may encounter them in both states (e.g., immature circulating DCs, immature and mature mucosal DCs, and mature DCs in the draining lymph nodes of HIV-1-infected individuals). Researchers have proposed different mechanisms of trans-infection from iDCs vs. mDCs. Indeed, iDCs can mediate trans-infection through the interaction of several proteins belonging to the C-type lectin receptor (CLRs) family [such as DC-SIGN, mannose receptor (MR) (also known as CD206), langerin (CD207), and syndecan-3] with HIV-1 GP120 protein (Kijewski and Gummuluru, 2015). These interactions, although not leading to potent HIV-1 fusion/entry, are involved in trans-infection by presenting virions to CD4⁺ T cells; however, it has been proposed that the engagement of this CLRs also efficiently directs bound virions to endolysosomal compartment for degradation (Kijewski and Gummuluru, 2015). DCIR, which is highly expressed by immature monocyte-derived DCs (iMDDCs) in particular, was shown to bind HIV-1 particle leading to an increase of both cis- and trans-infection potential of DCs (Lambert et al., 2008).

In mDCs, CLRs are downregulated while the I-type lectin CD169/Siglec-1 is highly upregulated and was shown to be involved in HIV-1 uptake and subsequent cis- and trans-infection of CD4⁺ T cell through interaction with a-2,3-linked sialic

acid residues on the glycosphingolipid GM3 (host cell-derived glycosphingolipid that is incorporated in HIV-1 virions) (Puryear et al., 2013; Akiyama et al., 2017). The exosome secretion pathway has also been proposed as a mechanism for trans-infection by mature DCs (Kijewski and Gummuluru, 2015).

Aiming at better understanding the mechanisms at play during trans-infection, we previously demonstrated that tetraspanin 7 (TSPAN7), a transmembrane protein, is involved, through positive regulation of actin nucleation, in the transfer of HIV-1 from the dendrites of iMDDCs to activated CD4⁺ T lymphocytes without HIV-1 internalization (Ménager and Littman, 2016). TSPANs form a large family of transmembrane proteins that play a scaffolding role, are organized in TSPAN-enriched microdomains (TEMs) and are involved in several biological processes such as cell migration, adhesion and virus entry in cells (Saiz et al., 2018). Some TSPANs, such as TSPAN6 and CD9, have been shown to interfere with the detection of viruses by cells through direct interaction with proteins involved in sensing of PAMPs (Saiz et al., 2018). Other published functions are HIV-1 entry regulation (e.g., CD63 regulates CXCR4 HIV co-receptor expression on cell surface), reverse transcription (e.g., CD81 regulates SAMHD1 turnover), assembly and trans-infection (Suárez et al., 2018). TSPAN7, in addition to its recently discovered role in control of HIV transfer through regulation of actin nucleation (Ménager and Littman, 2016), has been found to be mutated in some forms of X-linked intellectual disabilities, which may be explained by the role of this protein in neuronal morphogenesis (Bassani et al., 2012; Usardi et al., 2017). Indeed, TSPAN7 regulates dendritic spines and filopodia formation in neurons and promotes axonal branching (Bassani et al., 2012; Usardi et al., 2017). This protein is also believed to play a role in cancer as it promotes migration and proliferation of lung cancer cells and limits myeloma tumor development (Cheong et al., 2015; Wang et al., 2018). Of note, TSPAN7, which is highly expressed in pancreatic beta cells, is a target for autoantibodies in patients with type 1 diabetes although contribution of these antibodies in the development of pathology is still unclear (McLaughlin et al., 2016).

Using a CCR5-tropic HIV-1 strain (able to infect both DCs and CD4⁺ T lymphocytes, unlike CXCR4-tropic strains, which do not infect DCs), it has been shown that transfer of HIV-1 from MDDCs occurs in a replication-independent mechanisms at first (in the first 20 h of co-culture with CD4⁺ T lymphocytes) and is taken over by a replication-dependent phase (cis-infection phase) partially relying on HIV replication in MDDCs (Turville et al., 2004). This two-phase model, was later confirmed in *ex vivo* derived Langerhans cells (Harman et al., 2009; Nasr et al., 2014).

Here we show that the TSPAN7/actin nucleation dependent transfer of HIV-1 from the dendrites of iMDDCs, is mostly taking place within the first 20 h of co-culture between CD4⁺ T cells, MDDCs and a CXCR4-tropic replicative-competent HIV-1, expressing GFP in the open reading frame of Nef (X4-HIV-1-GFP), independently of HIV-1 replication. Of note, a CXCR4-tropic virus strain was used to focus on trans-infection occurrence rather than cis-infection as DCs are particularly

resistant to infection by these strains (Wu and KewalRamani, 2006). In the course of co-culture experiments, a progressive maturation of MDDCs was observed, leading to a decrease in TSPAN7 expression and to a subsequent loss of dendrites and changes in DC shape. At this stage, a TSPAN7 and actin nucleation-independent mechanism, relying on HIV-1 replication, is at play. We also investigated the contribution of TSPAN7/actin nucleation in HIV-1 transfer from LPS-matured MDDCs and found that, as previously described, this mechanism relies on the capture of HIV-1 by the I-type lectin CD169/Siglec-1 and the formation of big invaginated pockets at the surface of DCs, both events tightly regulated by DC maturation. Interestingly, in iMDDCs, although HIV-1 can be captured by CD169/Siglec-1, this capture does not lead to HIV-1 transfer to T lymphocytes.

RESULTS

Tetraspanin 7 and Actin Nucleation Are Involved in Early Replication-Independent Steps of HIV-1 Transfer From Immature MDDCs to Activated CD4⁺ T Lymphocytes

To monitor HIV-1 transfer from iMDDCs to CD4⁺ T lymphocytes and the contribution of TSPAN7 relative to the kinetic of transfer and HIV-1 replication, we used an experimental model of co-culture between MDDCs differentiated from circulating monocytes of healthy donors, autologous CD4⁺ T lymphocytes activated by PHA and IL-2 (at a 1:1 ratio) with a replicative-competent CXCR4-tropic HIV-1 encoding the GFP in place of Nef (X4-HIV-1-GFP) (Ménager and Littman, 2016) in the presence or absence of an HIV protease inhibitor (Nelfinavir, NFV), to block HIV replication (**Figure 1A**, **Figure S1A**). We observed that most of the T lymphocytes (around 75% of the total number of GFP-expressing T cells, measured after 40 h) were becoming infected during the first 20 h of co-culture, independently of NFV treatment (**Figures 1B,C**, **Figure S1B**). A second phase of HIV-1 transfer, which was dependent on HIV-1 replication (blocked by NFV) was identified during the last 20 h of the co-culture (**Figures 1B,C**, **Figure S1B**). As we previously demonstrated (Ménager and Littman, 2016), TSPAN7 impacts HIV-1 transfer from iMDDCs to CD4⁺ T cells and most of its effect was observed during the first 20 h, independently of HIV-1 replication (**Figure 1D**, **Figures S1C–E**). The Actin Related Protein 2/3 (ARP2/3) inhibitor CK-666 (which can efficiently reduce HIV-1 transfer by blocking actin nucleation; Ménager and Littman, 2016) has the same kinetic of action on X4-HIV-1-GFP transfer as TSPAN7, with most of its effect observed during the first 20 h of co-culture (Ménager and Littman, 2016; **Figure 1E**, **Figure S1F**).

Taken together, these results suggest that the previously reported role of TSPAN7 on HIV-1 transfer, through positive regulation of actin nucleation, is mostly effective during the first 20 h of co-culture, in a replication-independent phase, during which most of the T cells are getting infected. TSPAN7 has limited, if any effect on a second phase (from 20 to 40 h of co-culture) of T cells infection, which relies on HIV-1 replication.

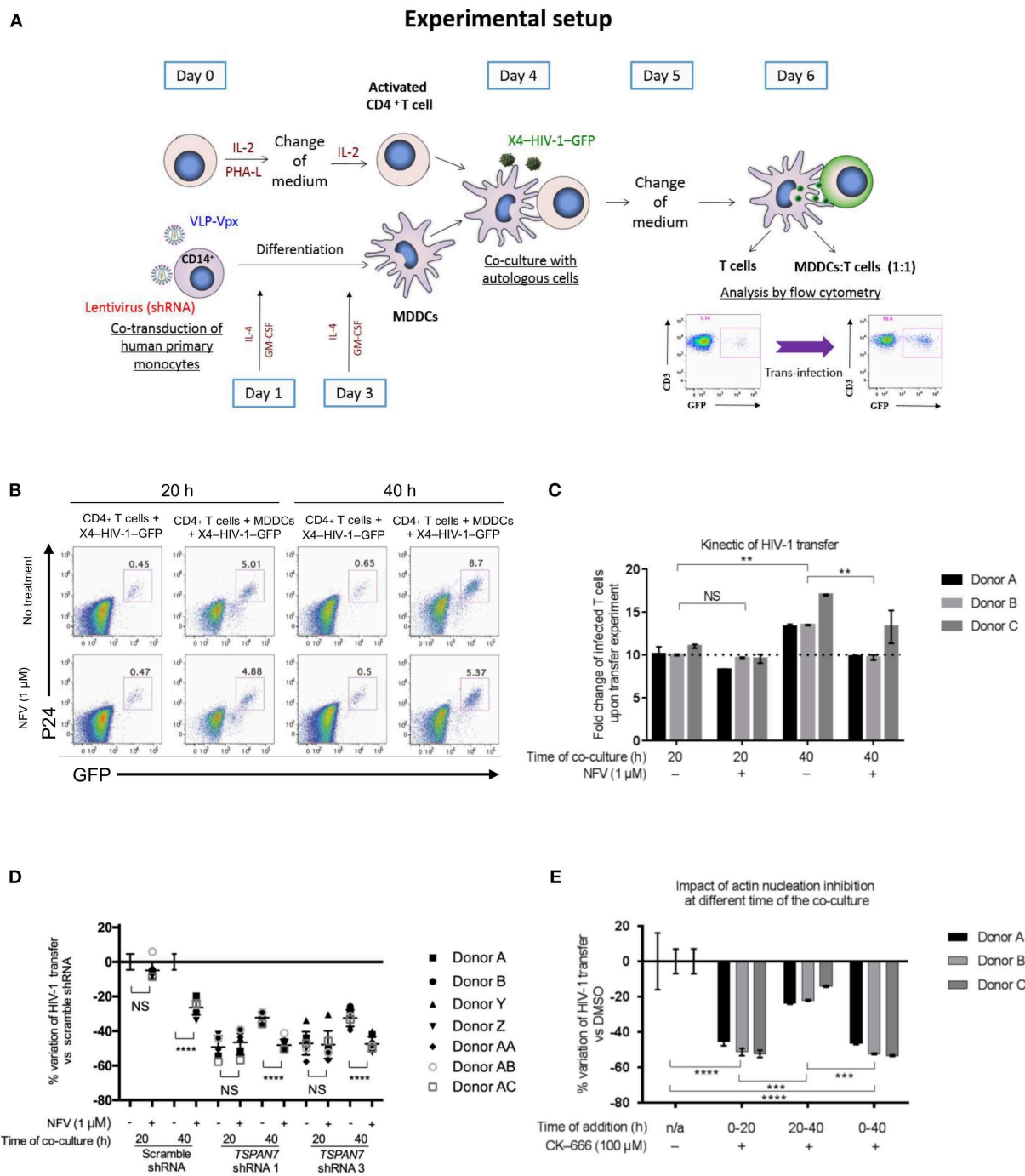


Figure 1

FIGURE 1 | Kinetics of TSPAN7/actin nucleation-dependent mechanism of HIV-1 transfer from immature MDDCs to CD4⁺ T lymphocytes. **(A)** Scheme depicting the experimental layout used throughout this manuscript to assess MDDC-mediated HIV-1 trans-infection of CD4⁺ T cells. MDDC, monocyte-derived dendritic cell; VLP, virus-like particles; IL-2, interleukin-2; PHA-L, phytohemagglutinin/leucoagglutinin; IL-4, interleukin-4; GM-CSF, granulocytes-macrophage colony-stimulating factor. **(B)** Flow cytometry plots showing GFP and P24 expression levels in cells pre-gated on SSC FSC, living CD3⁺ singlets, 20 or 40 h after the start of co-culture; percentage of CD4⁺ T cells infected by X4-HIV-1-GFP (GFP⁺ and P24⁺) is shown above the gates. Top panel: T cells were cultured with X4-HIV-1-GFP for 20 or 40 h in the absence or presence of MDDCs at a 1:1 ratio and with (bottom panel) or without (top panel) 1 μ M Nelfinavir (NFV; an HIV protease inhibitor). **(C)** Bar graph showing the fold increase in T cell infection by X4-HIV-1-GFP following co-culture with MDDCs as compared to CD4⁺ T cells alone [i.e., % infected T cells (GFP⁺ P24⁺) cultured with MDDCs/% infected T cells without MDDCs] as measured by flow cytometry (see **B**). For example, the first bar graph on the left means that, for donor A, 10 times more CD4⁺ T cells were infected when MDDCs were present than when T cells were cultured alone with X4-HIV-1-GFP. Mean \pm Standard

(Continued)

FIGURE 1 | Deviation (SD) of technical triplicates are presented for three healthy blood donors **(D)** Percentage of variation of X4-HIV-1-GFP transfer with MDDCs transduced with two different shRNAs against *TSPAN7* (shRNA 1 and 3) previously validated (Ménager and Littman, 2016) vs. a non-specific shRNA (scramble shRNA), observed by flow cytometry at 20 or 40 h of co-culture. Dot plots on the right of each time point represent variation of transfer when, in addition to knockdown, 1 μ M of NFV was added to the co-culture. Mean \pm Standard Deviation (SD) of seven healthy blood donors in the context of 4 independent experiments. **(E)** Bar graph showing the percent variation of X4-HIV-1-GFP transfer (based on fold increase in CD4⁺ T cell infection) between condition where DMSO (drug carrier) was used [0% variation \pm Standard Deviation (SD)] vs. 100 μ M CK-666 to inhibit the ARP2/3 complex and actin nucleation. CK-666 was added during the first or the last 20 h of co-culture or during the entire experiment. The experiment was performed in three unrelated healthy blood donors, with the mean \pm SD of technical triplicates in each condition and for each donor. This experiment is representative of three independent experiments. **(C–E)** NS, not significant. ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$.

A Change in Shape and Number of Dendrites Is Observed Among MDDCs During Co-culture Experiments

As the role of TSPAN7 in HIV-1 transfer was reported to be through actin-rich dendrites formation (Ménager and Littman, 2016), we next sought to monitor MDDCs morphology and dendrite formation at 4, 20, and 40 h following initiation of HIV-1 trans-infection experiments. Through actin staining, we observed a drastic change in MDDC morphology between the first and second 20 h of co-culture (**Figure 2A**). An increase of MDDC size in general and of their cytosol in particular, correlated with a drastic loss of dendrites, could be seen during the last 20 h of co-culture (**Figure 2A**). Of note, the morphology of MDDCs at the end of the transfer experiments resembles the one observed in MDDCs following *TSPAN7* knockdown by shRNA (**Figure 2B**). Stimulation of MDDCs with lipopolysaccharide (LPS) a TLR4 agonist, also resulted in a profound cell morphology and shape modification (**Figure 2C**). In order to quantify these changes in morphology, we used a circularity index based on changes of perimeter and area (**Figure S2A**) and quantified the length and number of dendrites observed by confocal microscopy. As a result, between 20 and 40 h, an increase of MDDCs circularity index could be quantified and was reaching levels observed following decrease of *TSPAN7* expression through shRNA or after LPS treatment (**Figure S2B**). This change in shape observed during the last 20 h was accompanied by a drastic reduction of size and number of dendrites per cell (**Figures S2C–E**).

Tetraspanin 7 Expression Is Downregulated During Dendritic Cell Maturation

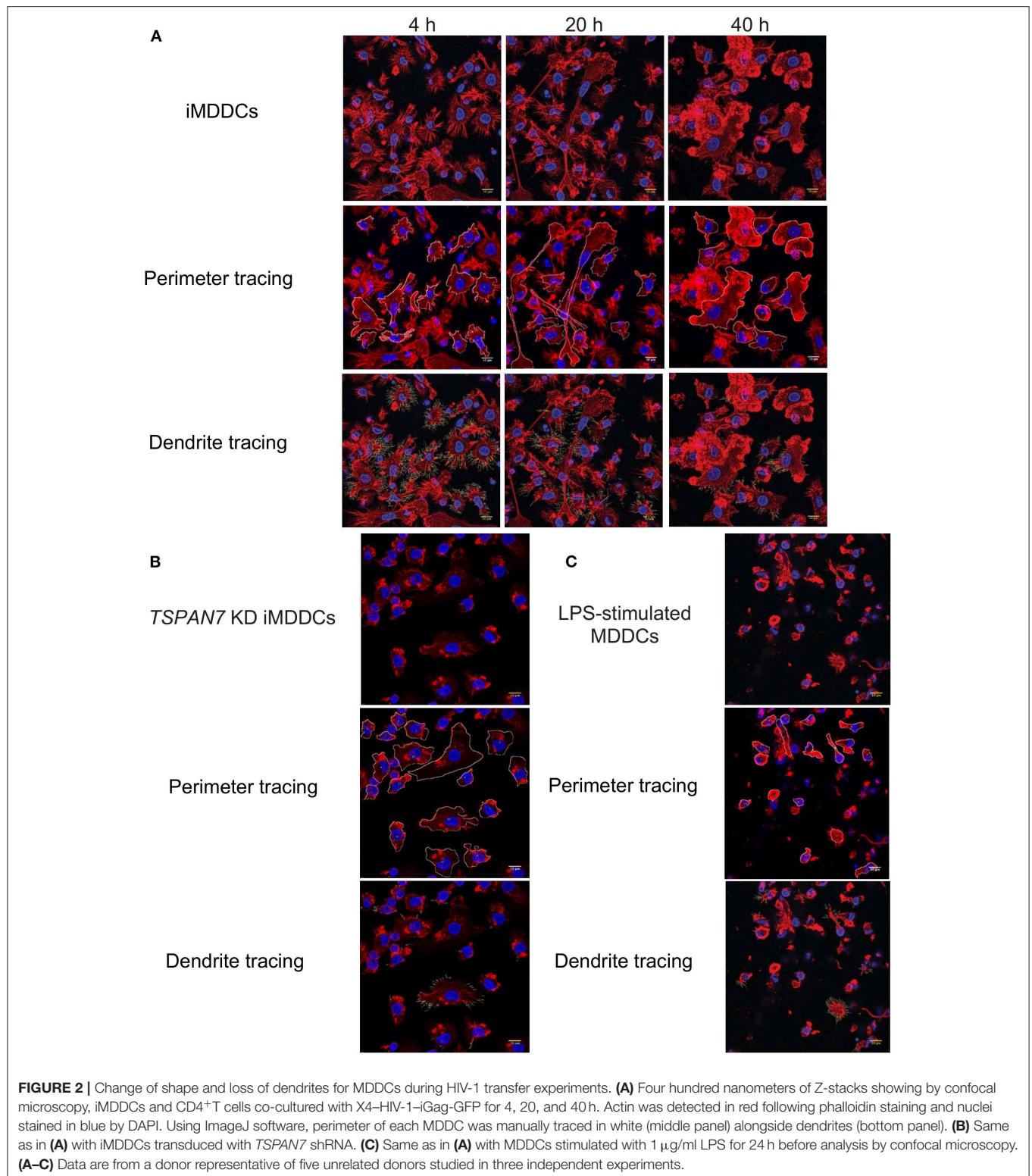
After studying the kinetic of HIV-1 transfer and changes in cell morphology, we observed, by flow cytometry, an increase in CD86 expression at the surface of MDDCs (an indicator of DC maturation), which was particularly pronounced during the last 20 h of the co-culture experiments (**Figure 3A**, **Figures S3A,B**). We next sought to investigate the impact of MDDC maturation status on *TSPAN7* expression, following various innate immune stimuli, such as LPS, polyinosinic:polycytidylic acid [poly(I:C)], a synthetic double-stranded RNA-mimicking (TLR3 agonist). We also induced MDDCs maturation through productive infection of iMDDCs with vesicular stomatitis virus-pseudotyped HIV-1 expressing GFP (VSV-G-HIV-1-GFP), delivered together with virus-like particle carrying the HIV-2

protein Vpx, which allows SAMHD1 degradation thereby facilitating HIV RNA reverse transcription, innate sensing by cGAS, type I interferon production and subsequent MDDC maturation (Manel et al., 2010; **Figure 3B**). As previously reported (Ménager and Littman, 2016), we detected an increase in the expression of *TSPAN7* during the differentiation of monocytes into iMDDCs, which was drastically shutdown upon MDDC maturation through various innate stimuli (**Figures 3C,D**). This shutdown of *TSPAN7* expression was also observed after co-culture between iMDDCs and the X4-HIV-1-GFP used during transfer experiments (**Figures 3C,D**). A specific downregulation of *TSPAN7* expression, as compared to its paralog *TSPAN6* was confirmed by bulk RNA sequencing following DC maturation, in response to the same innate stimuli [LPS, poly(I:C) and VSV-G-HIV-1-GFP; **Figure S3C**].

These results suggest that the changes in cell morphology and in the number of dendrites observed during the last 20 h of HIV-1 transfer experiments, are due to a decrease in actin nucleation activity, driven by the decrease of *TSPAN7* expression, observed subsequently to MDDCs maturation (**Figure S3D**).

Tetraspanin 7 and Actin Nucleation Have Limited Impact on Trans-infection of T Lymphocytes by Mature MDDCs

In the next set of experiments, we interrogated actin nucleation and *TSPAN7* function in HIV-1 transfer from LPS-matured MDDCs (mMDDCs) to activated CD4⁺ T lymphocytes. Our results show only a limited contribution of actin nucleation and *TSPAN7* when HIV-1 was transferred from mature MDDCs (**Figures 4A,B**, **Figures S4A,B**). As previously described (McDonald, 2010), mMDDCs, in our co-culture experiments, were capable of infecting more T lymphocytes through HIV-1 transfer, as compared to iMDDCs, although timing of MDDCs maturation seemed to be key (**Figure 4C**). Stimulating MDDCs, with LPS 48 h before HIV-1 transfer experiments was required to obtain optimal HIV-1 transfer, and correlated with the appearance of large HIV-1/CD169 (Siglec-1) puncta at the surface of MDDCs, resembling the “big invaginated pocket,” previously reported to be responsible for HIV-1 transfer (**Figure 4D**, middle panel; McDonald, 2010). Adding LPS at the same time of co-culture experiments, resulted in the loss of dendrites, with a limited formation of Siglec-1/CD169-puncta and an overall decrease



in X4-HIV-1-GFP transfer when compared to iMDDCs (**Figure 4D**, right panel; **Figures S4D,E**). Although LPS-treated MDDCs were able to capture at least 10 times more viruses than their immature counterparts (**Figure S4C**), the

TSPAN7-independent mechanism of HIV transfer appeared to be 5–10 times less efficient than the one observed in iMDDCs with, at most, an increase of only 50% (1.5-fold) in infected T cells (**Figure S4D**).

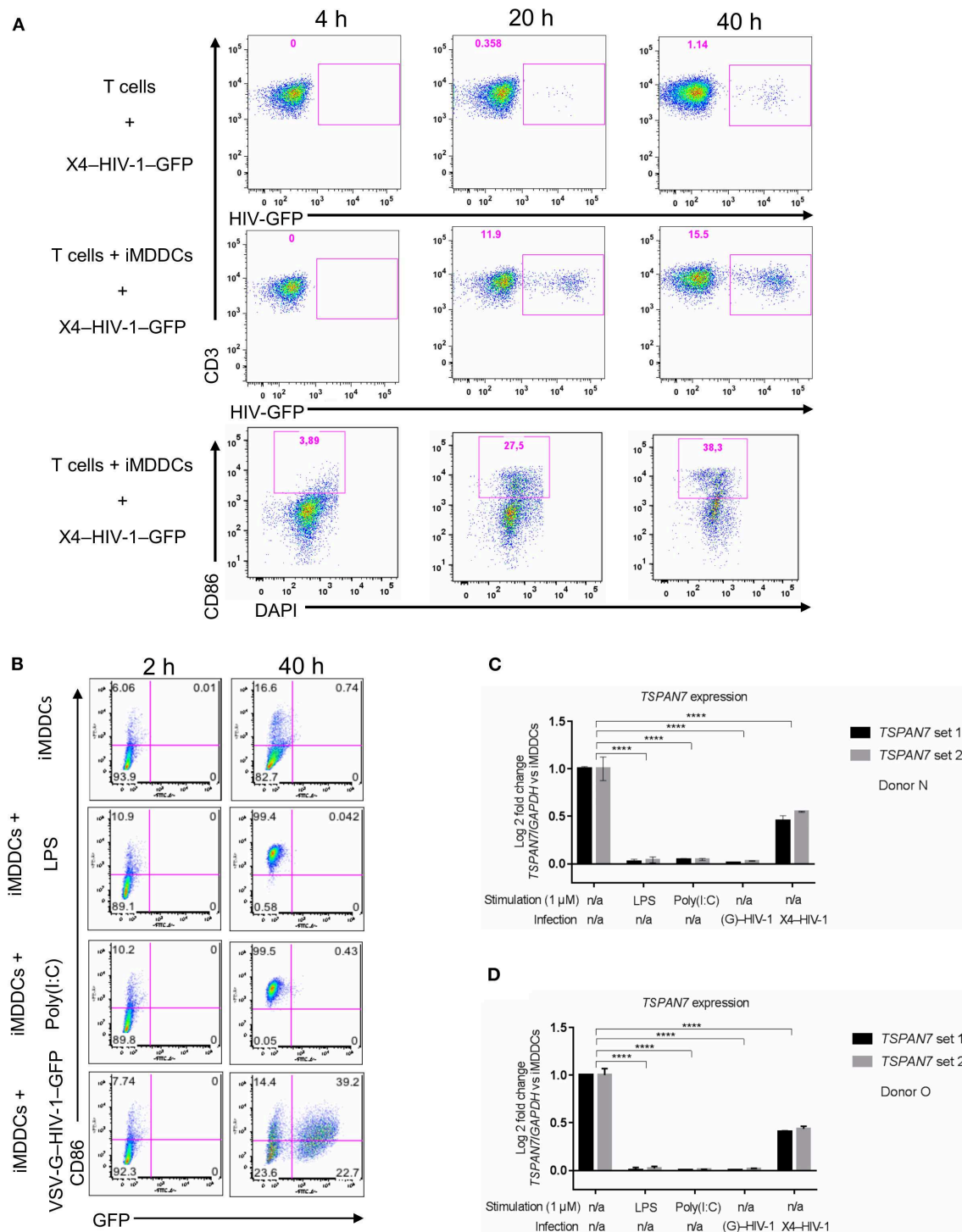


FIGURE 3 | Impact of MDDC maturation on *TSPAN7* expression and function. **(A)** Flow cytometry plots showing CD4⁺ T cells infected with X4-HIV-1-GFP, in the absence (top panel) or presence (middle panel) of iMDDCs. In the bottom panel, the maturation state of MDDCs was evaluated by gating on CD86 expression, upon co-culture with CD4⁺ T cells and X4-HIV-1-GFP measured at 4, 20, and 40 h. Cells were pre-gated as follows: SSC FSC, singlets, living cells, CD3⁺ T cells (top and middle panel), or DC-SIGN⁺ MDDCs (bottom panel) **(B)** Maturation state of MDDCs at 2 and 40 h following treatment with Poly(I:C) (1 µg/ml), LPS (1 µg/ml) or infection with a VSV-G-pseudotyped single-round HIV-1-GFP (VSV-G-HIV-1-GFP), represented as (G)-HIV-1 on the figure, in the presence of the viral protein Vpx to allow infection and innate sensing. CD86 monitoring by flow cytometry was used to assess maturation status and GFP expression for HIV replication. MDDCs were pre-gated following the same gating strategy as mentioned in **(A)**. **(C,D)** Quantitative PCR (qPCR) measurement of the Log2 fold change of *TSPAN7* mRNA normalized by the housekeeping gene *GAPDH*, 40 h after MDDCs stimulation by LPS (1 µg/ml)/Poly(I:C) (1 µg/ml), infection with VSV-G-HIV-1-GFP + Vpx or in the

(Continued)

FIGURE 3 | presence of X4-HIV-1-GFP (represented as X4-HIV-1) or left unstimulated. Fold change expression was normalized to the level of *TSPAN7* detected in iMDDCs. Experiments were performed 3 times in 6 independent blood donors. Donor N in (C) and donor O in (D) are representative of an experiment performed in six unrelated blood donors in the context of six independent experiments, using two different sets of qPCR primers to detect specific expression of *TSPAN7*. NS, not significant. **** $p < 0.0001$.

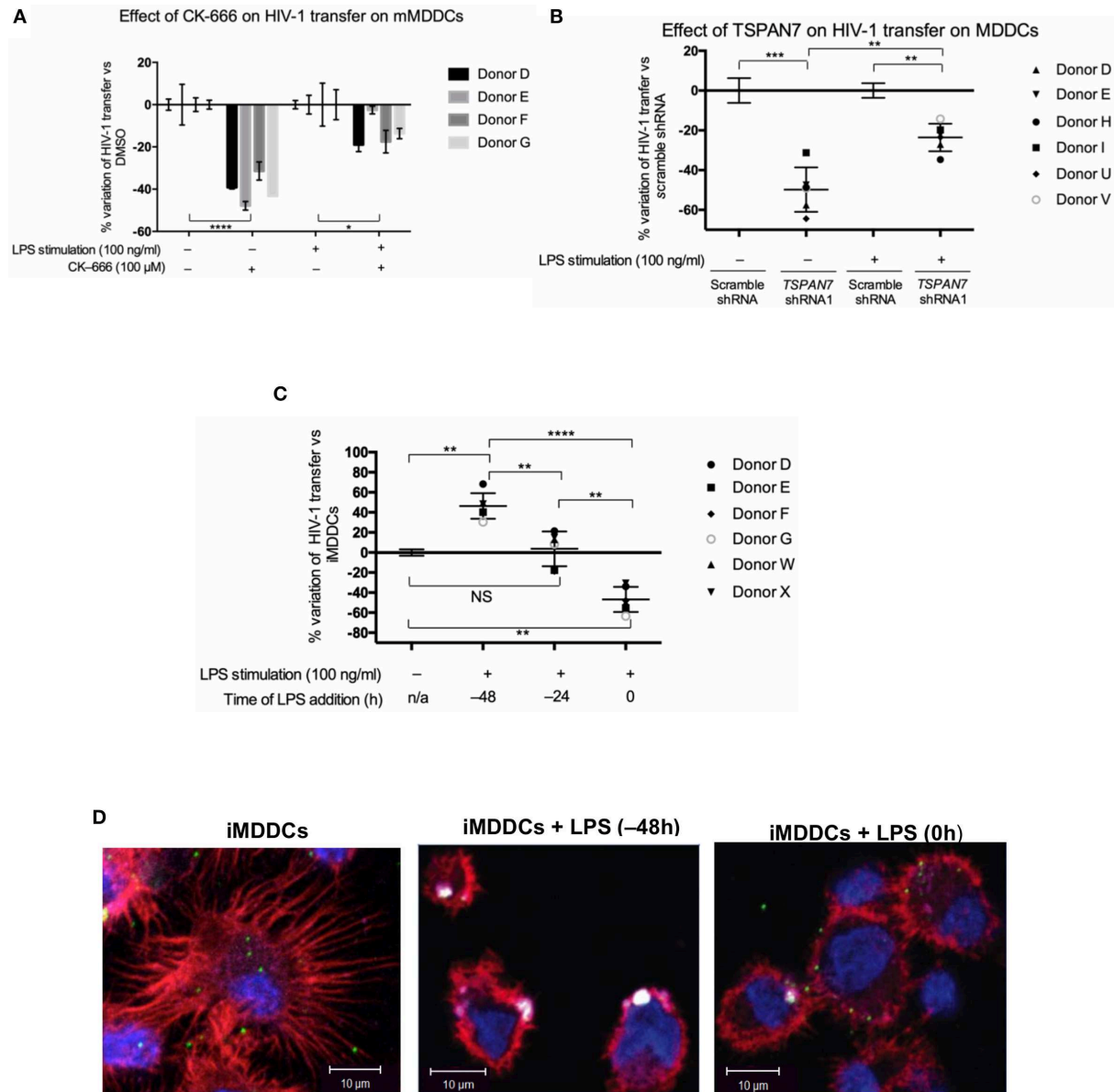


FIGURE 4 | Role of TSPAN7 and actin nucleation in HIV transfer from mature MDDCs to T cells. (A) Percentage change of HIV-1 transfer following inhibition of actin nucleation by CK-666 (100 μ M), compared to DMSO-treated cells; in immature MDDCs (iMDDCs) and MDDCs matured by 100 ng/ml LPS, 48 h before transfer experiment (mMDDCs). Mean \pm SD of triplicates for four different healthy blood donors are represented. (B) Percentage change of X4-HIV-1-GFP transfer following *TSPAN7* knockdown compared to scramble shRNA-expressing cells, in iMDDCs and MDDCs matured by 100 ng/ml LPS, 48 h before transfer experiments. Mean \pm SD of six different healthy blood donors. (C) Percentage change of X4-HIV-1-GFP transfer according to kinetic of maturation of MDDCs [LPS added 48 or 24 h before co-culture or at the time of co-culture (time 0)], compared to iMDDCs. Mean \pm SD of six different healthy blood donors. (A–C) NS, not significant. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$. (D) Confocal microscopy images of MDDCs at different stages of maturation: iMDDCs (left panel); mMDDCs stimulated by LPS (100 ng/ml) 48 h before (middle panel) or at the same time of start of co-culture (right panel). Actin filaments and nuclei were stained with phalloidin (red) and DAPI (blue), respectively and Siglec-1/CD169 was detected using an anti-CD169 antibody conjugated to APC (magenta). Incoming X4-HIV-1-Gag-iGFP particles are seen in green based on (GFP presence). Four hundred nanometers of Z-stacks were taken 20 h after the start of the co-culture with CD4⁺ T cells and X4-HIV-1-Gag-iGFP. The pictures presented here are from a representative donor from four unrelated blood donors.

In Co-culture Experiments, CD169/Siglec-1 Mediates Transfer of HIV-1 From Mature MDDCs but a Different Receptor Is Required for Optimal Transfer From Immature Cells

CD169/Siglec-1 was strongly induced at the surface of MDDCs following LPS stimulation prior to co-culture experiments, whereas a small decrease of DC-SIGN was observed (**Figure 5A**, **Figure S5A**). Using anti-Siglec-1/CD169 neutralizing antibodies, we were able to block a significant portion of HIV-1 transfer from fully mature MDDCs (i.e., MDDCs stimulated by LPS 48 h prior to co-culture experiments; **Figure 5B**, **Figures S5B,C**). In comparison, although blocking Siglec-1/CD169 at the cell surface with antibodies, led to an almost 4-fold decrease in HIV-1 capture by iMDDCs, it had no impact on HIV-1 transfer (**Figure 5B**, **Figure S5D**). Our results suggest that Siglec-1/CD169, although expressed in iMDDCs (at a lower level), cannot efficiently contribute to the transfer of HIV-1 from iMDDCs to CD4⁺ T cells. In comparison, blocking CD169/Siglec-1 in mature MDDCs can have a synergistic effect on the limited impact of knocking down TSPAN7 expression, suggesting, once again, that a Siglec-1/CD169-dependent but TSPAN7-independent mechanism is at play during HIV-1 transfer from mMDDCs to CD4⁺ T lymphocytes (**Figure 5C**). In line with these results, no colocalization was monitored between CD169 and X4-HIV-1-Gag-iGFP captured at the tip of actin-rich dendrites in immature MDDCs, as compared to the colocalization seen in the big invaginated pocket described in mMDDCs (**Figure 5D**, **Figures S5E–G**). Our results support the requirement for another HIV-1 receptor, different from Siglec-1, for the capture of HIV-1, by iMDDCs, at the tips of actin-rich dendrites, followed by subsequent efficient transfer to CD4⁺ T lymphocytes.

DISCUSSION

By studying the kinetic of HIV-1 transfer from iMDDCs to CD4⁺ T lymphocytes, we revealed that the previously described role of TSPAN7 and actin nucleation in dendrites formation, required for an efficient transfer, was mostly happening during the first 20 h of co-culture experiments (see proposed model in **Figure 6**). A second phase of HIV-1 transfer, observed during the next 20 h of co-culture experiments and accounting for less than a quarter of total T cells infection, is happening in an HIV replication-dependent manner and seems to rely less on TSPAN7 and actin nucleation. We observed that this second phase of HIV-1 transfer was accompanied by a drastic change in DC size and a reduction of actin-rich dendrites due to a decrease in TSPAN7 expression subsequent to DC maturation (**Figure 6**). We confirmed that the transfer of HIV-1 from LPS-matured MDDCs, in co-culture experiments, as previously described in other experimental settings (Landau, 2014), is mostly dependent on the capture of HIV-1 by CD169/Siglec-1 and the formation of a “big invaginated pocket,” rather than through TSPAN7 and actin nucleation. We therefore revealed and confirmed

the existence of at least two different mechanisms of HIV-1 transfer in co-culture experiments, depending on MDDCs maturation status: (a) a TSPAN7 and actin nucleation dependent and CD169/Siglec-1-independent mechanism in immature cells vs. (b) a CD169/Siglec-1-dependent, and less TSPAN7 and actin nucleation-dependent process in LPS-matured MDDCs (**Figure 6**). Of note, although the same level of CD169/Siglec-1 induction at the cell surface following MDDCs maturation with LPS during 24 or 48 h prior to transfer experiments, a strong CD169/Siglec-1-dependent transfer is only measured when maturation is initiated 48 h before co-culture experiments. Based on our observations and quantifications performed by confocal microscopy, we believe that this may reflect an incomplete formation of the big invaginated pocket proposed previously (McDonald, 2010), after only 24 h LPS treatment, rather than a weaker induction of CD169/Siglec-1 expression.

We confirmed that, in our co-culture experiments, as previously demonstrated (McDonald, 2010), mMDDCs can transfer more HIV-1 to activated T lymphocytes than iMDDCs. However, although mMDDCs capture at least 10 times more viral particles, the infection of T cells is only increasing by 1.5-fold, suggesting that the mechanism of transfer used by iMDDCs, through actin-rich dendrites is far more efficient than the CD169/Siglec-1-dependent big invaginated pocket mechanism.

In our experimental settings, to study the transfer of HIV-1 from DCs to T cells, instead of first loading DCs with HIV-1, washing away unbound viruses and co-culturing with activated CD4⁺ T cells, we have chosen, as we previously described, to co-culture at the same time, replicative-competent HIV-1, MDDCs and T cells (Ménager and Littman, 2016). We think that this model can better account for situations potentially encountered at mucosal surfaces where few HIV-1 particles may be captured by iMDDCs actin-rich dendrites and efficiently transferred from the tips of the dendrites to CD4⁺ T cells present in the surrounding areas. Virological synapses would then be established creating an environment where DCs, T cells and HIV-1 are dynamically interacting altogether.

As we did previously, we used DCs derived from human primary monocytes obtained from healthy donors, as an *in vitro* model to study HIV-1 transfer (Ménager and Littman, 2016). Compared to conventional circulating DCs isolated from blood (cDC1, cDC2), mucosal DCs such as Langherans cells and the more recently describe circulating pre-DCs (which may play an important role in HIV-1 physiopathology; Ruffin et al., 2019), MDDCs can be obtained in large quantities and are easier to transduce with lentiviruses. Although it would be really interesting to compare the roles played by the different subsets of isolated blood DCs, they are quite susceptible to experimental manipulation, and studying them in their immature state represents quite a challenge. Recent data indicate that pre-DCs may be one of the key circulating myeloid cell subsets capable of productive infection and trans-infection as they constitutively express CD169/Siglec-1 (Ruffin et al., 2019); as such, they may constitute a promising cell type to investigate when studying trans-infection. Anyhow, in non-inflamed conditions, it is thought that some DC subsets from the dermis and the intestine originate from monocytes, and

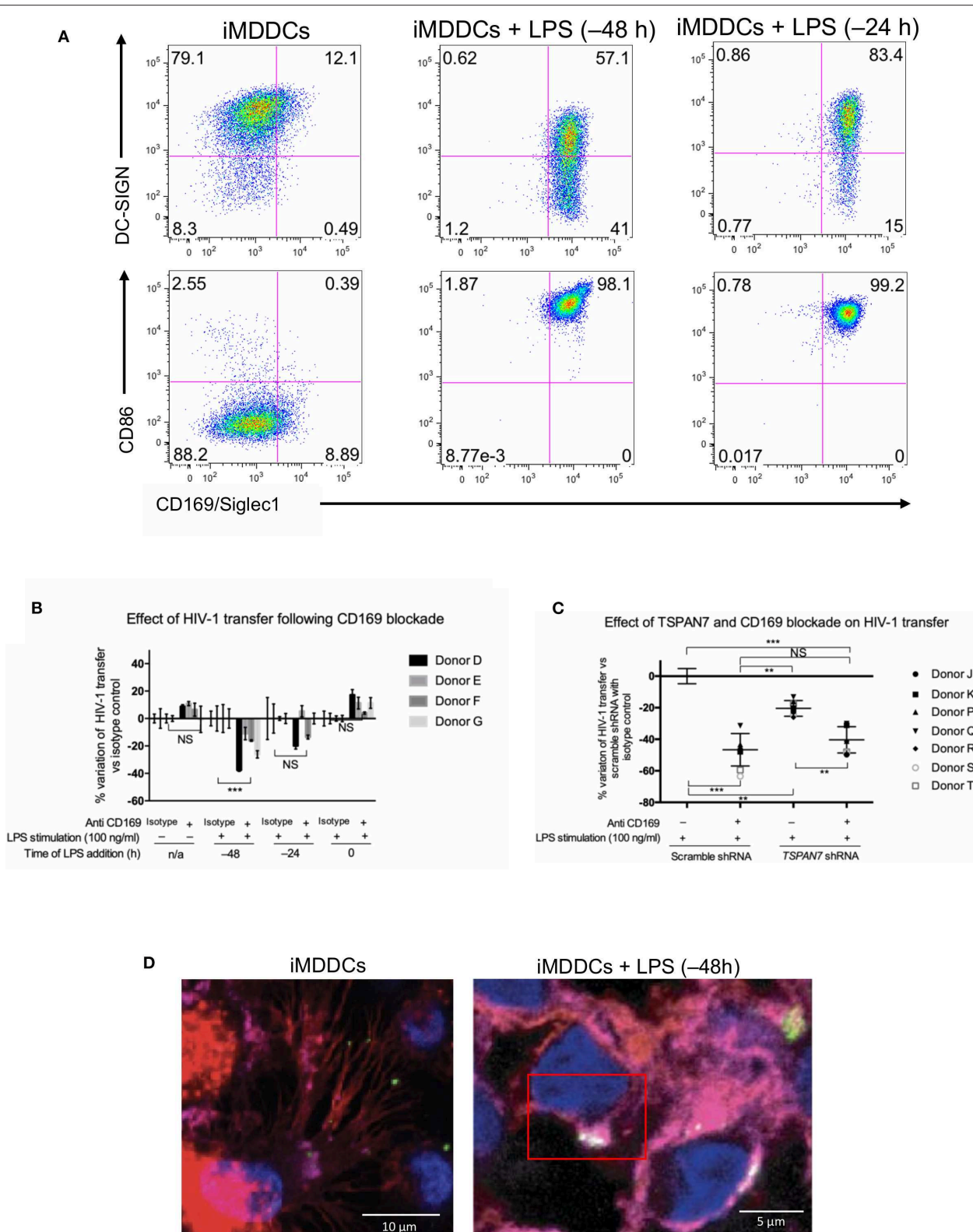


FIGURE 5 | CD169, as an HIV-1 receptor, mostly impacts transfer from mature MDDCs rather than immature MDDCs. **(A)** Flow cytometry plots showing CD86, DC-SIGN, and CD169 expression levels on MDDCs (pre-gated on SSC FSC, living cells, CD3⁻ cells and singlets). Panels show the expression of these proteins in iMDDCs (left panel) and MDDCs with LPS pretreatment at 100 ng/ml for 48 or 24 h before co-culture (middle and right panels, respectively). **(B)** Percentage of (Continued)

FIGURE 5 | variation of HIV-1 transfer when using iMDDCs or LPS-treated MDDCs (100 ng/ml LPS for different lengths of time) incubated with a blocking antibody against CD169 as compared to an isotype control for each condition. Results are displayed for 4 different blood donors with the mean \pm SD of technical triplicates. **(C)** Percent of variation in HIV-1 transfer to assess the impact of blocking CD169 and *TSPAN7* knockdown as compared to scramble shRNA on MDDCs matured with LPS for 48 h treated by an isotype control. Mean \pm SD of seven different blood donors in 4 experiments. **(B,C)** NS, not significant. $**p < 0.01$; $***p < 0.001$. **(D)** Confocal microscopy images of iMDDCs (left panel) and mature MDDCs (mMDDCs) right panel, to assess the degree of colocalization between CD169 (magenta) and incoming X4-HIV-1-Gag-iGFP (green). Actin filaments and nuclei were stained with phalloidin (red) and DAPI (blue). Four hundred nanometers of Z-stacks were taken 40 h after the start of the co-culture with CD4⁺ T cells and X4-HIV-1-Gag-iGFP. The pictures presented here are from a representative donor from four unrelated blood donors.

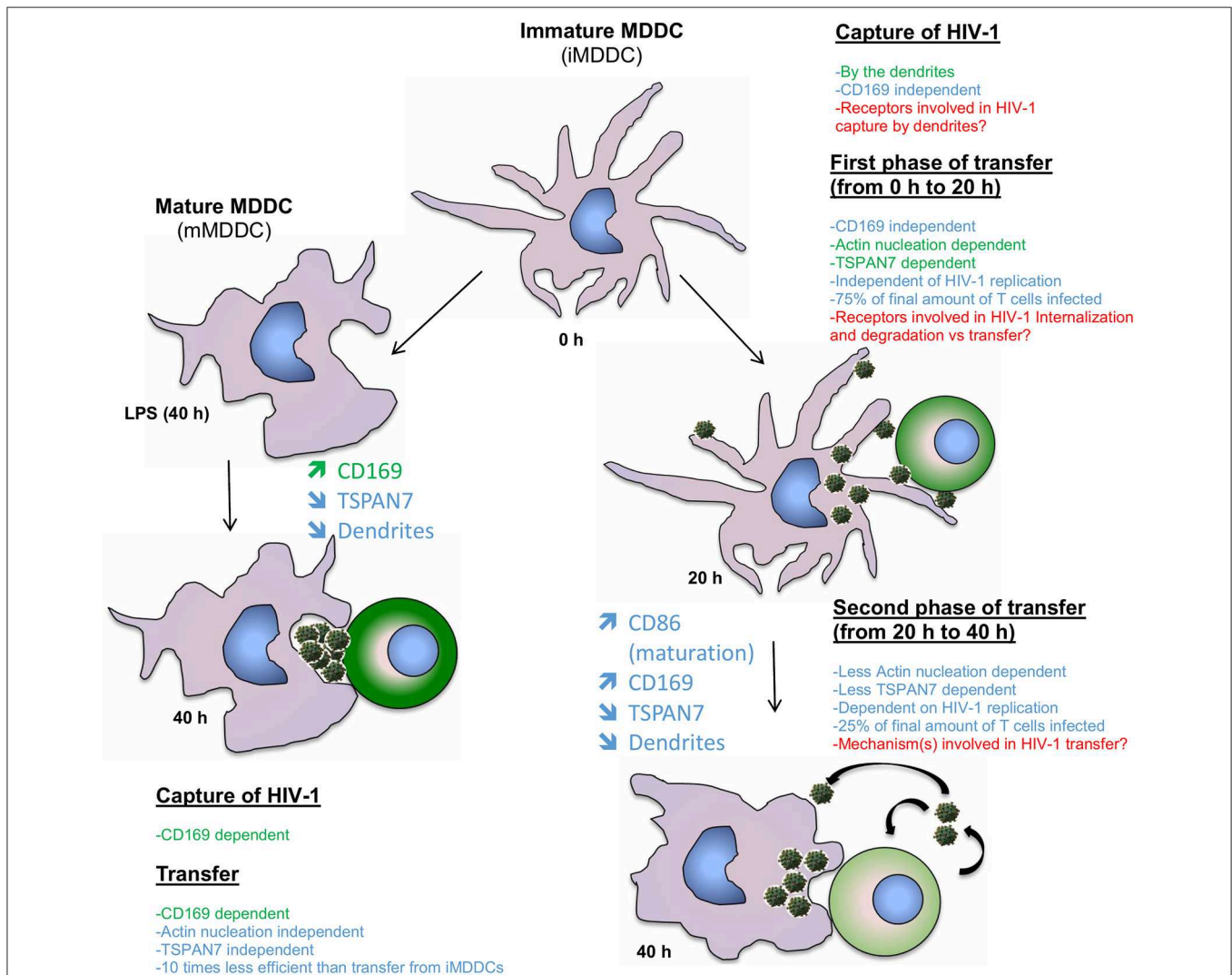


FIGURE 6 | Model comparing the contribution of TSPAN7 and actin nucleation during the different identified phases of HIV transfer when performing co-culture experiments with iMDDCs vs. LPS-matured MDDCs. On the left: mechanism showing the transfer of HIV-1 from LPS-matured MDDCs to CD4⁺ T lymphocytes; as described in the literature, the transfer relies mostly on the capture of HIV-1 by Siglec-1/CD169 and the formation of a big invaginated pocket. TSPAN7 and actin nucleation roles seem rather limited. On the right, a first phase of transfer, dependent on TSPAN7, actin nucleation and dendrite formation is observed during the first 20 h of co-culture with iMDDCs. Seventy-five percent of the transfer is taking place during this first phase, independently of HIV-1 replication and capture by CD169/Siglec-1. A second phase of transfer, less dependent on TSPAN7 and actin nucleation, but dependent on HIV-1 replication is observed during the last 20 h of co-culture. Of note, a decrease of *TSPAN7* expression correlated with a maturation of MDDCs was detected after 20 h of co-culture and leads to a decrease in actin nucleation, inducing a shortening of dendrite and a change in shape of the cells. Previously reported scientific data are shown in green and the new results presented in this manuscript are in blue. Points that will require further investigation in this model are stated in red.

that, in inflamed tissues, inflammatory DCs are generated from monocytes (Guilliams et al., 2014). MDDCs therefore represent putative DC subtypes that may encounter HIV-1 in the case of

infection and in the chronic phase of infection, which leads to persistent inflammation, even under antiretroviral therapy (ART) (Zicari et al., 2019). Of note, *ex vivo* generated MDDCs were

shown to be transcriptionally similar to CD14⁺ dermal myeloid cells, which were first thought to be a subtype of DCs, but have been recently demonstrated to be short-lived monocyte-derived macrophages (Harman et al., 2013; McGovern et al., 2014). MDDCs generated *ex vivo* from primary monocytes also represent a well-established model to study both HIV-1 transfer and innate sensing by DCs (Manel et al., 2010).

For this study, we have used, as previously reported (Ménager and Littman, 2016), a CXCR4-tropic HIV-1 strain. Due to the lack of expression of CXCR4 at the surface of DCs, these viruses have even more limited capacity to enter and infect DCs, allowing us to focus on trans- (by monitoring only the transfer of incoming viral particles) rather than cis-infection (due to the transfer of newly production HIV-1 in DCs). We have observed that TSPAN7 and actin nucleation were also involved in DC-mediated trans-infection of CD4⁺ T lymphocytes using transmitted/founder strains of HIV-1 (data not shown), which are CCR5-tropic and infect DCs more efficiently (Kariuki et al., 2017; Bertram et al., 2019a). Interestingly, as mentioned in the introduction, a two-phase trans-infection model has already been proposed for CCR5-tropic HIV-1 strains with the late phase being virus replication-dependent in *in vitro*-generated MDDCs and in mucosal DCs studied *ex vivo* (Turville et al., 2004; Harman et al., 2009; Nasr et al., 2014).

It is interesting to think about the existence of different mechanisms of HIV-1 transfer depending on the maturation status of DCs. DCs are known to switch from being professional pathogens catchers, in their immature state, to potent antigen presenters upon maturation and are then able to establish long-lasting contacts to potentially stimulate T cells thereby bridging innate and adaptive immunity (Merad et al., 2013). HIV-1 may have evolved strategies to adapt itself to the changes happening during DC maturation, to exploit DC functions and to be efficiently captured and transferred to T lymphocytes with minimal detection by the immune system. In immature DCs, the actin-rich dendrites would probe the environment and capture HIV-1 through a receptor different from CD169/Siglec-1, which remains to be determined. The virus could then be transferred through dendrites to surrounding T cells, which may result, at some point, as we have observed in our experiments, in DC maturation. DC maturation in turn will lead to some morphological and functional changes, which correlates with an increase in expression of CD169/Siglec-1, a shortening of dendrites and the formation of a big invaginated pocket, which may accumulate HIV-1. Mature DCs, as reported, would then migrate into lymph nodes with a high density of T cells, form long lasting virological synapses with T cells, allowing a CD169/Siglec-1-dependent, TSPAN7/actin nucleation-independent process of HIV-1 transfer to T cells (Kijewski and Gummuluru, 2015; Reyes-Rodriguez et al., 2016). As it has been shown that human DCs are relatively resistant to innate sensing, due to a blockade of HIV-1 viral RNA reverse transcription by SAMHD1, hence of HIV-1 infection, all processes of HIV-1 transfer could happen with minimal stimulation of the innate system, making DCs as the perfect trojan horses for HIV-1 (Antonucci et al., 2017).

As observed in our experiments, during the last 20 h of co-culture, maturation of DCs is happening alongside an increase

in circularity and a shortening of the dendrites. These changes are linked to a decrease in actin nucleation activities subsequent to a decrease in TSPAN7 expression. This control of TSPAN7 expression does not seem to be specific to our co-culture experiments, as it is induced by a bacterial TLR4 agonist (LPS), synthetic dsRNA [poly(I:C)] and also observed upon HIV-1 sensing experiments. It would be interesting to unravel the molecular mechanisms by which TSPAN7 expression is shutdown during/as a result of the maturation process, as, to our knowledge, it has not been reported yet in any members of the mammalian tetraspanin family. In particular, we have shown in our study, that TSPAN6, a paralog of TSPAN7, does not seem to be regulated by the same immune stimuli. Such a regulation upon DC maturation also suggests that it may be interesting to investigate TSPAN7 roles in other functions of DCs, in particular the ones modulated upon DC maturation, such as mobility, antigen presentation, production of inflammatory cytokines and expression at the surface of co-stimulatory molecules. We believe that, in our co-culture experiments, the maturation of MDDCs may occur through paracrine or autocrine effects of pro-inflammatory cytokines that may be secreted by T lymphocytes or DCs themselves, initiation of co-stimulatory signals or through microvesicles secreted alongside HIV-1 virions as previously demonstrated (Mercier et al., 2013).

As mentioned in the introduction, most of the experiments demonstrating that trans-infection is a potent way for HIV-1 to propagate have been done in *in vitro* or *ex vivo* settings. However, some experiments performed in *in vivo* conditions suggest that trans-infection may be key for the virus to disseminate *in vivo* especially at early stages of infection and to escape ART agent actions (Bracq et al., 2018). We therefore think that our work contributes to a better understanding of the different mechanisms at play during HIV transfer and highlights the importance of DC maturation status. Such knowledge is required to allow the development of new approaches to tackle HIV-1.

MATERIALS AND METHODS

Cells

HEK293FT cells (Invitrogen) were cultured in DMEM (Gibco), 10% fetal bovine serum (FBS, heat inactivated) (Sigma), 0.1 mM MEM non-essential Amino Acids, 6 mM L-glutamine, 1 mM MEM sodium pyruvate, and penicillin/streptomycin/gentamycin (Gibco).

Peripheral blood mononuclear cells were isolated from buffy coats obtained from healthy human blood donors [Etablissement Français du Sang (EFS), agreement N°18/EFS/030] using mononuclear cell isolation with Ficoll-Paque separation media (GE Healthcare). Monocytes were obtained after purification of PBMCs with anti-human CD14 magnetic beads (Miltenyi) and cultured in RPMI (Gibco), 10% FBS (heat inactivated, Sigma), 10 mM Hepes, 55 μM β-mercaptoethanol, 6 mM L-glutamine and penicillin/streptomycin/gentamycin (Gibco) in the presence of 10 ng/mL of human recombinant GM-CSF and 50 ng/mL of human recombinant IL-4 (Miltenyi), in order to differentiate them in monocytes derived dendritic cells (MDDCs). CD4⁺ T lymphocytes were isolated from the CD14[−] fraction after a

purification using anti-human CD4 magnetic beads (Miltenyi). Cells were cultured in the same medium as MDDCs but activated using 2 μ g/mL phytohemagglutinin (PHA-L; eBioscience 00-4977-03) for 48 h combined with human IL-2 treatment (100 U/mL; Miltenyi biotec, 130-097-742). Purity was checked by flow cytometry for both CD14⁺ and CD4⁺ cells and was more than 99%. Fresh media and cytokines were added after 24 and 72 h. By day 4, monocytes were differentiated into MDDCs and were mixed with activated autologous CD4⁺ T lymphocytes and HIV-1 for transfer experiments. MDDC differentiation was assessed by flow cytometry based on DC-SIGN upregulation and CD14 downregulation.

Plasmids and Viral Particle Production

X4-HIV-1-GFP is a fully replication-competent virus derived from the NL4-3 clone with enhanced Green Fluorescent Protein (GFP) (Clontech, Palo Alto, California, United States) cloned in place of the Nef gene, as previously described (Unutmaz et al., 1999; Motsinger et al., 2002); X4-HIV-Gag-GFP is derived from the same clone modified to insert the GFP protein between the MA and CA domains of Gag as previously described (Hübner et al., 2007); pLK0.1 GFP was generated by replacing the puromycin resistance gene by GFP in the pLK0.1 puro plasmid (Moffat et al., 2006).

The protein Vpx, as described in Mangeot et al. (2002) and Manel et al. (2010) was delivered using pSIV3+ to generate VSV-G-pseudotyped SIVmac239 virus-like particles.

pCMV- Δ R8.91 and pCMV-VSV-G were used for packaging and pseudotyping of viral particles (Manel et al., 2010).

HEK293FT cells were transfected in 10 cm plates with 1.2 mL DMEM, 18 μ g DNA and 48 μ L TransIT-293 (Mirus Bio) per plate in 10 mL final volume to produce viral particles; for VSV-G-pseudotyped SIVmac VLPs/Vpx, 2.4 μ g pTRIP CMV-VSVg and 15.6 μ g pSIV3+; for shRNA viral particles, 9.6 μ g pLk0.1, 6 μ g pCMV- Δ R8.91, and 2.4 μ g pTRIP-CMV-VSV-G; HEK293FT cells were transfected in 15 cm plates with Promega kit for calcium phosphate transfection; for X4-HIV-1-GFP, 60 μ g of NL4-3 CXCR4-HIV-1-GFP; for X4-HIV-1-GAG-iGFP, 60 μ g of NL4-3 CXCR4-HIV-1-iGAG-GFP. Media was changed after 1 day of transfection and viral supernatants were collected after 48 h of transfection and filtered at 0.45 μ m.

Transduction

Blood monocytes were plated at 1×10^6 cells/mL in 5 mL of medium with cytokines (hGM-CSF and hIL-4) and 8 μ g/mL polybrene (Merck Millipore). They were transduced with 2.5 mL of SIVmac VLP/Vpx (Mangeot et al., 2002) and 4 mL of viral supernatant containing shRNA coding lentiviruses. Fresh media and cytokines were added to cells (40% of total volume) 1 day and 3 days after CD14⁺ cell isolation. On day 4, cells differentiated into MDDCs, were collected, resuspended in fresh media with cytokines and used for transfer experiments.

Trans-infection/HIV Transfer Experiments

After 4 days of stimulation with cytokines 50,000 MDDCs (1 million/mL) were mixed with 50,000 (1 million/mL) autologous CD4⁺ T cells and 150 μ L X4-tropic HIV-1 encoding GFP (50 ng

p24^{GAG}, quantified by ELISA) per well in 96-well round bottom plates. Media was replaced after 24 h. Depending on experimental conditions, CD4⁺ T lymphocyte infection was measured by flow cytometry by monitoring GFP and P24 (HIV viral capsid protein) expression, following 20 and/or 40 h of co-culture. In each HIV transfer experiments, MDDC and CD4⁺ T cell viability and maturation/activation states were analyzed by flow cytometry.

Flow Cytometry Analysis

For flow cytometry analysis, the staining was performed with anti-CD3 AF700 (for T cells) (eBioscience; 56-0038-82), anti-DC-SIGN PE (for MDDCs) (Clone, 120507; R&D systems, catalog number: FAB161P), anti-CD169 APC (for CD169/Siglec-1; Biolegend; 346007), and DAPI for live/dead gating and in some cases anti-P24 PE (Beckman Coulter, 6604667). Unless otherwise mentioned, antibodies were used at a concentration of 1/200. To assess changes in cell number, CountBright Absolute Counting Beads (Life Technologies) were used in each sample. For some experiments (transfer and/or capture), BD cytofix/cytoperm buffer and fixation protocol was used to detect intracellular P24 staining in both MDDCs and T cells. Flow cytometry was performed on a BD LSRII using a 96-well plate HTS reader. Data were then analyzed using FlowJo software (FlowJo LLC). For each donor, MDDC differentiation was monitored based on DC-SIGN induction, CD14 downregulation and minimal maturation (CD86⁺ cells <10%) [anti-CD86 PE (eBioscience, 12-0869-42)].

Immunofluorescence and Confocal Microscopy

After different co-culture times with X4-HIV-1-Gag-iGFP and/or T cells, cells were put on coverslips previously coated with poly-L-lysine solution (0.1% w/v in H₂O, Sigma), for 30 min at 37°C. Surface staining was performed in PBS containing 1% BSA, for 30 min at room temperature. Cells were incubated with warm paraformaldehyde A in PHEM Buffer [2X PHEM Buffer (500 mL): 18.14 g PIPES; 6.5 g HEPES; 3.8 g EGTA; 0.99 g MgSO₄; pH to 7.0 with 10M KOH], for 10 min at room temperature. Following fixation, cells were permeabilized in Triton 0.1% diluted in PHEM buffer for 5 min at RT. Blocking was done in casein overnight at 4°C. For intracellular staining, antibodies were diluted in PBS containing 1% BSA and incubated for 1 h at room temperature. For nucleus detection, cells were then stained with DAPI (1/5,000 dilution from stock at 5 mg/mL in H₂O), 5 min at room temperature. After extensive washing in PBS containing 0.1% BSA, cells were mounted in a home-made DABCO-PVA medium [2.5% Dabco, 10% polyvinylalcohol (PVA), 5% glycerol, 25 mM tris buffer pH 8.7]. Microscopy was performed on a Zeiss LSM 710 confocal with 405, 488, 543, and 633 nm lasers, a 63X N.A. 1.40 lens, and the pinhole set to 1 Airy unit as defined by Zeiss. Sequential scanning was used to assure no spillover of channels. Z series were taken at intervals of 400 nm. The following antibodies were used for confocal microscopy, at a concentration of 1/200. Primary antibodies: Mouse anti Human CD169 Alexa Fluor[®] 647 (AbD Serotech; MCA2517A647T); Alexa Fluor[®] 568 Phalloidin (Molecular Probes[®]; A12380).

Image Processing, Colocalization, and Quantification

ImageJ software was used for all image adjustments and quantification. All the pictures were smoothened and in some case CLAHE plugin (Contrast Limited Adaptive Histogram Equalization) was applied. At least 100 cells, with a minimum of 10 Z-stacks per cell, were individually analyzed for each sample. To measure and quantify dendrites length, cell shape and perimeter, there were manually drawn using the freehand line tool in ImageJ, with a width of 10. Then areas and perimeters were calculated using analyze function (measure, set measurements: Area and perimeter) for each cell on the image. Pearson correlation coefficient was calculated using ImageJ coloc2 plugging tool, in order to quantify colocalization between CD169/Siglec1 and HIV-1 in MDDCs.

Pharmacological Drugs

All drugs were resuspended in DMSO and used at the concentrations mentioned below. CK-666 (Sigma-Aldrich, SML0006, 100 μ M); Nelfinavir (Sigma-Aldrich, CDS021783, 1 μ M).

Innate and inflammatory stimuli were used at the indicated concentrations: poly(I:C) (InvivoGen, 10 μ g/ml); LPS (List Biological Laboratories, INC, 100 ng/ml).

Quantitative RT-PCR

RNA was extracted from 3×10^5 MDDCs using TRIZOL[®] RNA isolation protocol for gene expression quantification. Real time quantitative PCR (qPCR) was performed after reverse transcription (RT) using a Roche LightCycler 480 with Roche 480 SYBR Green I master reagent according to manufacturer specifications. The relative abundance of each target mRNAs was calculated based on the standard curve and normalized using *GAPDH* as a control.

For qPCR, the primers used were the following:

TSPAN7 set 1 F 5'-CCTTCGTCTTCTGGATCACTGGGG-3';
TSPAN7 set 1 R 5'-CATGGTCCACTGCCCGGCTC-3';
TSPAN7 set 2 F 5'-CATCGCTGGAGTGGCGTTTGA-3';
TSPAN7 set 2 R 5'-TGCACGTTGTGGGGTAAGGGG-3';
GFP F 5'-ACGTAAACGGCCACAAGTTC-3';
GFP R 5'-AAGTCGTGCTGCTTCATGTG-3'.

HIV-1 Capture Experiments

Four days after transduction with shRNAs, MDDCs were mixed with X4-HIV-1-GFP using the same conditions as with trans-infection experiments but without T cells. After 20 h co-culture, MDDCs were washed in ice cold PBS to remove unbound HIV-1 and RNA was extracted. After RT, the amount of HIV-1 RNA was detected by qPCR with primers for *GFP* (encoded inside the HIV genome) and normalized to *GAPDH*.

RNA Sequencing

Transcriptional profiling of MDDCs was performed using whole genome RNA sequencing. MDDCs from four healthy blood donors were infected or stimulated as indicated in the figure legends, stained with anti-human CD86 (BD Biosciences) and a live/dead viability dye (ThermoFischer) before sorting into serum

on a FACSria II (BD Biosciences). Cells were lysed in TRIzol reagent (Thermo Fisher), RNA was isolated according to the manufacturer's instructions, and the samples were submitted to HudsonAlpha Institute for Biotechnology (<https://hudsonalpha.org/>) for library preparation and RNA-sequencing. Fifty bp-length single-end sequences were aligned to the human genome (hg38) using STAR version 2.4.2a. Samtools 0.1.19 was used to filter alignments to a MAPQ score threshold of 30. Counts per gene were called using feature Counts version 1.4.6 and gencode v24 genome annotation. Samtools 0.1.19 was used to filter alignments to a MAPQ score threshold of 30.

Statistics

Unless otherwise specified, statistical analyses were done using the Holm-Sidak multiple comparison test following one-way Anova (NS, non-significant; * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$). For most experiments, two different human blood donors were used and the average of triplicates and standard deviation was calculated for each blood donor.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation, to any qualified researcher.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Etablissement Français du Sang (EFS), agreement N°18/EFS/030. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

AUTHOR CONTRIBUTIONS

MM performed most of the experiments, wrote the manuscript, generates the figures, and obtained the funding necessary for this project. BP wrote the manuscript and performed some experiments. VG-P helped with the design of the figures and some of the experiments. ML helped writing the material and methods and provide her technical expertise with some experiments. All authors approved the manuscript for publication.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2020.00070/full#supplementary-material>

Figure S1 | Experimental strategy and kinetic of HIV-1 trans-infection following *TSPAN7* shRNA knockdown. Related to **Figure 1**. **(A)** Experimental design to evaluate the effect of viral replication on HIV-1 transfer from iMDDCs to CD4⁺ T lymphocytes. Red arrows indicate Nelfinavir (NFV, an HIV protease inhibitor) treatment at 1 μ M during co-culture experiments. **(B)** Percentage of variation of X4-HIV-1-GFP transfer as compared to the 20 h time point, in the presence or absence of NFV (1 μ M). Mean \pm SD of technical triplicates from three different healthy blood donors. **(C–E)** Percentage of CD4⁺ T cells infected by X4-HIV-1-GFP upon co-culture with iMDDCs, transduced by scramble or *TSPAN7* shRNA (1 and 3), in the presence (black bars) or absence (white bars) of NFV (1 μ M). T cell infection was measured by flow cytometry and data represent mean \pm SD of technical triplicates from three healthy blood donors (donor A in **C**, donor B in **D**, and donor AC in **E**). **(E)** Data from **(D)** represented as variation of X4-HIV-1-GFP transfer as compared to MDDCs transduced by scramble shRNA, at 20 h. **(B–E)** NS, not significant. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$. **(C–E)** Donors are representatives of 8 unrelated donors tested in the context of 4 independent experiments. **(F)** Experimental design to evaluate the effect of actin nucleation inhibition on X4-HIV-1-GFP transfer from iMDDCs to CD4⁺ T lymphocytes, at 20 and 40 h, using CK-666 as an ARP2/3 inhibitor (100 μ M).

Figure S2 | Quantification of changes in MDDC shape and loss of dendrites. Related to **Figure 2**. **(A)** Arithmetic formula and illustration to assess cell shape by calculating circularity index ($0 \leq \text{circularity} \leq 1$), taking into account the area and perimeter of each cell. A value of 1 represents a cell perfectly circular, whereas 0 indicates a cell with a shape of a line. After manually tracing the perimeter of each cell, areas and perimeters were calculated using Analyze function (Analyze, measure, set measurements: area and perimeter) in ImageJ. **(B)** Box plot of circularity index calculated per cell for each group and at different time (4, 20 h and 40 h) and treatment (LPS-stimulated, *TSPAN7* shRNA-transduced or control cells). Every dot represents a cell and the number of cells analyzed in each condition is indicated on top. **(C,D)** Box plots showing the number and average length of dendrites, respectively, per cell for each group mentioned above. **(E)** Box plot displaying the length of all examined dendrites through this data analysis. Every dot now represents the measurement of one dendrite. Number of total dendrites analyzed in each condition is displayed on top.

Figure S3 | *TSPAN6* and *TSPAN7* expressions relative to MDDCs maturation. Related to **Figure 3**. **(A)** Flow cytometry plot showing the gating strategies to analyze the level of maturation of MDDCs and percent infection of T cells. **(B)** Histogram depicting the mean fluorescent intensity (MFI) of CD86 expression in MDDCs as gated in **Figure 3A** (bottom panel). **(C)** Expression of *TSPAN6* and *TSPAN7* measured by bulk RNA sequencing, under different conditions: stimulation with LPS (1 μ g/ml), poly(I:C) (1 μ g/ml), or infection with VSV-G-HIV-1-GFP in the presence of Vpx, measured after 2, 4, 20, and 40 h post stimulation/infection. For the 20 h time point, MDDCs infected by HIV-1 were sorted on GFP⁺ and GFP[−] populations. After 40 h, GFP⁺ MDDCs were sorted based on their level of maturation (CD86^{high} vs. CD86^{low}). Data are displayed in FPKM (Fragments per Kilobase of gene per Million Reads aligned). **(D)** Schematic

summarizing the inverse correlation between MDDC maturation and *TSPAN7* expression, upon co-culture experiments and the two different phases of HIV-1 transfer identified in our experimental settings.

Figure S4 | Efficiency of HIV-1 transfer based on MDDC maturation status. Related to **Figure 4**. **(A)** Scheme depicting the experimental layout used to evaluate the effect of adding 100 ng/ml of LPS at different time before the start of co-culture with CD4⁺ T lymphocytes and X4-HIV-1-GFP (48, 24 h before or at the same time). Forty hours after the start of co-culture experiments, infected T cells were monitored by P24 and GFP expression using flow cytometry. **(B)** Histogram depicting the fluorescent intensity linked to the expression of CD86 on LPS-stimulated MDDCs vs. iMDDCs. The red line shows CD86 expression on iMDDCs and the blue one CD86 expression following LPS treatment: 48 h before co-culture (left panel), 24 h before co-culture (middle panel) and at the start of co-culture (right panel). **(C)** Quantification of HIV-1 capture by MDDCs 20 h after culture with X4-HIV-1-GFP, using two different donors. The amount of GFP RNA (expressed in the open reading frame of the viral protein Nef) was measured by reverse transcription and, subsequently, quantitative real time PCR with specified primers for *GFP* or *GAPDH* (housekeeping gene). Each condition is compared to the amount of GFP detected in immature MDDCs after 20 h. **(D)** Comparison of efficiency of HIV-1 transfer to CD4⁺ T lymphocytes when using iMDDCs vs. mMDDCs. Efficiency of transfer was calculated as follow on 2 different blood donors: ratio of captured HIV-1 by LPS-treated MDDCs compared to iMDDCs divided by the variation of transferred HIV-1 to T lymphocytes (variation of transferred HIV-1 from LPS-treated MDDCs to CD4⁺ cell compared to iMDDCs). For donor D, from **Figure S4C**, we measured close to 15 times more viruses captured by mature MDDCs but only 1.5 more T cells infected (**Figure 4C**). Transfer from mMDDCs appears to be 10 times less efficient than from iMDDCs and is therefore represented by negative values. Each bar represents mean \pm SD of triplicates. **(E)** iMDDCs were treated with LPS (100 ng/mL) for 48 h before co-culture, at the time of co-culture (0 h) or left untreated. The percentages of cells with a CD169/Siglec1 and HIV-1 aggregates were evaluated in 4 unrelated donors.

Figure S5 | CD169 expression in iMDDCs vs. mMDDCs. Related to **Figure 5**. **(A)** Cell density histogram depicting the fluorescent intensity (MFI) of CD169 expression in MDDCs detected in **Figure 5A** (bottom panels). The gray area represents cells stained with the isotype IgG1, the blue, red, and orange lines show CD169 expression on iMDDCs and LPS-treated MDDCs, respectively. **(B)** Diagram displaying experimental conditions and protocol to block CD169 function in HIV transfer experiments as in **Figures 5B,C**. **(C)** Scheme showing the experimental layout used to assess CD169 role on HIV-1 transfer at different states of MDDC maturation, as described above. **(D)** Quantification of HIV-1 capture in iMDDCs transduced with scramble shRNA and treated with an anti-CD169 antibody as compared to isotype control. The amount of X4-HIV-1-GFP captured was measured after 20 h, by quantitative real time PCR with specified primers for *GFP* and *GAPDH* (housekeeping gene). Each bar represents mean \pm SD of triplicates. NS, not significant. ** $p < 0.01$. **(E,F)** Detailed image and magnification of confocal microscopy images, respectively, shown in **Figure 5D** to assess the degree of colocalization between HIV-1 (GFP) and CD169 (magenta), 4 h after the start of co-culture experiments between iMDDCs **(E)** or LPS-treated MDDCs **(F)** with X4-HIV-1-Gag-iGFP and activated CD4⁺ T lymphocytes. In **(E)**, the circles represent HIV particles detected on the dendrites or at the plasma membrane. Cellular components were stained as mentioned above. ZEISS LSM 710 microscopy. 63X/oil objective. 0.4 μ M section and 0.13 μ M/pixel. **(G)** Pearson coefficient was calculated for four independent donors on immature and LPS-stimulated MDDCs in order to highlight co-localization of CD169/Siglec1 and X4-HIV-1-GAGiGFP. The dotted line represents $Y = 0.5$. Paired *t*-test was applied ($P < 0.05$).

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The Glutamate System as a Crucial Regulator of CNS Toxicity and Survival of HIV Reservoirs

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Glutamate (Glu) is the most abundant excitatory neurotransmitter in the central nervous system (CNS). HIV-1 and viral proteins compromise glutamate synaptic transmission, resulting in poor cell-to-cell signaling and bystander toxicity. In this study, we identified that myeloid HIV-1-brain reservoirs survive in Glu and glutamine (Gln) as a major source of energy. Thus, we found a link between synaptic compromise, metabolomics of viral reservoirs, and viral persistence. In the current manuscript we will discuss all these interactions and the potential to achieve eradication and cure using this unique metabolic profile.

Keywords: reservoirs, cure, NeuroHIV, dementia, glutamate, glutamine, HIV

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INTRODUCTION

Neurons are not able to perform *de novo* synthesis of the neurotransmitters glutamate (Glu) and gamma-aminobutyric acid (GABA) from glucose due to the lack of enzymes involved in their metabolism. Thus, a multicellular metabolic pathway known as the Glu/GABA-glutamine (Gln) cycle maintains the balance among these metabolites to support neuronal synaptic transmission (Bak et al., 2006).

Glu is the most abundant excitatory neurotransmitter in the central nervous system (CNS) (Zhou and Danbolt, 2014), and GABA is considered an inhibitory neurotransmitter in adulthood (McCormick, 1989; Harris-Warrick, 2005). A balance between these two neurotransmitters plays a critical role in several brain functions including learning and memory, development, pain, synaptogenesis, motor stimuli, and synaptic signaling (Petroff, 2002; Allen et al., 2004; Bak et al., 2006; Bonansco and Fuenzalida, 2016; Ford et al., 2017). Alterations in this balance have been associated with brain damage and several neurodegenerative diseases including Alzheimer's, Parkinson's, and NeuroHIV. In the particular case of NeuroHIV, the equilibrium of Glu/GABA/Gln is altered and contributes to neuronal and glial dysfunction as well as to cognitive impairment observed in at least half of the HIV-1-infected population, even in the current antiretroviral treatment (ART) era (Sailasuta et al., 2009; Cohen et al., 2010; Ernst et al., 2010; Young et al., 2014; Mohamed et al., 2018; Cysique et al., 2019). Briefly, the pathogenesis of NeuroHIV involves the early (7–10 days post-injection) transmigration of leukocytes carrying the virus across the blood-brain barrier (BBB) using chemokine gradients only sensed by HIV-1-infected monocytes due to their enhanced expression of key chemokine receptors such as CCR2 (Eugenin et al., 2006; Williams et al., 2013). Upon crossing the BBB, the few transmigrated HIV-1-infected leukocytes infect CNS resident cells such as microglia, macrophages, and a small population of astrocytes. If systemic HIV-1 replication is not controlled by ART, localized HIV-1-CNS replication and infection results in HIV-1 encephalitis and dementia (Gatanaga et al., 1999; Bingham et al., 2011; Gelman et al., 2013; Gelman, 2015; de Almeida et al., 2017; Mangus et al., 2018). However, in the current

ART era, CNS damage is mild due to controlled peripheral and CNS replication as well as limited HIV-1 infection; despite this, 50% of the HIV-1-infected individuals still show significant signs of cognitive impairment, but the mechanism of CNS dysfunction is unknown (Eggers et al., 2017; Yoshimura, 2017; Bandera et al., 2019; Fernandes and Pulliam, 2019; Kim-Chang et al., 2019; Paul, 2019; Portilla et al., 2019; Swinton et al., 2019; Angelovich et al., 2020). Several groups have proposed that CNS damage in the current ART era corresponds to a combination of HIV-1 reservoirs within the brain, low level expression and secretion of viral proteins, as well as associated inflammation (Wong and Yukl, 2016; Veenstra et al., 2017, 2019). However, the nature and size of the viral reservoir within the CNS under effective ART is unknown (Churchill et al., 2006, 2009; Eugenin et al., 2011a; Russell et al., 2017; Al-Harti et al., 2018; Ko et al., 2019; Wallet et al., 2019). Our laboratory demonstrated that myeloid and glial cells within the CNS are viral reservoirs. These few viral reservoirs, despite the low to undetectable viral replication, are able to amplify toxicity and inflammation to neighboring uninfected cells by a gap junction, hemichannel, and tunneling nanotube mediated mechanism (Eugenin et al., 2009a,b; Berman et al., 2016; Malik and Eugenin, 2016, 2019; Ariazi et al., 2017; Okafo et al., 2017; Valdebenito et al., 2018).

Another potential mechanism of toxicity is mediated by the low-level production of viral proteins, not blocked by ART, and subsequent secretion into neighboring cells such as neurons and glia (Nath, 2002; Kovalevich and Langford, 2012; Sami Saribas et al., 2017). However, the extent and concentrations of viral proteins in the CNS and other tissues are unknown, but nanograms/ml of viral proteins (Nef, Tat) were detected in the serum or plasma of HIV-1-positive individuals (Westendorp et al., 1995; Goldstein, 1996; Xiao et al., 2000). Almost all HIV-1 proteins are neuro- or glial-toxic. For example, neurotoxicity has been described for several viral proteins including gp120 and the transactivator of transcription (Tat) (Table 1), as well as for host factors such as TNF- α , IL-1 β , and IL-6 released from latently HIV-1-infected or -activated cells (Koller et al., 2001; Zhou et al., 2017). In addition, several ART drugs have been demonstrated to generate toxicity on their own (Brier et al., 2015; Underwood et al., 2015; Latronico et al., 2018). Thus, the combination of HIV-1-infection, low-level secretion of viral proteins, and ART toxicity probably contribute to CNS dysfunction.

Another accepted mechanism of toxicity is Glu-mediated toxicity. Glu is a neurotransmitter dysregulated in HIV-1 infection that can contribute to HIV-associated neurocognitive disorder (HAND) (Huang et al., 2011; Potter et al., 2013; Vazquez-Santiago et al., 2014). In addition to synaptic dysregulation, a supplemental source of Glu in HIV-1 conditions is the persistently activated resident microglia and invading macrophages, which have been shown to increase Glu synthesis and to release into the extracellular space (Potter et al., 2013; Wu et al., 2015). Furthermore, in several cell types, HIV-1 infection leads to mitochondrial membrane destabilization and the release of phosphate-activated glutaminase (PAG) from the mitochondria matrix into the cytosol through the transition pore (Erdmann et al., 2007, 2009; Huang et al., 2011; Tian et al., 2012). Glutaminase (GLS) is an enzyme

responsible for *de novo* synthesis of Glu from Gln resulting in the increased levels of intracellular and extracellular Glu due to mitochondrial dysfunction.

In individuals with NeuroHIV the extracellular level of Glu is elevated in cerebrospinal fluid (CSF) and plasma in correlation with severity of brain atrophy and dementia (Ferrarese et al., 2001; Cassol et al., 2014; Anderson et al., 2015). However, MRI/MRS imaging data are controversial. Some reports indicated that HIV-1-infected individuals with dementia have decreased levels of Glu in frontal gray and white matter as well as the basal ganglia in correlation with cognitive deficits (Sailasuta et al., 2009; Ernst et al., 2010; Mohamed et al., 2018). In contrast, other publications using MRS indicate that Glu is increased in the frontal white and gray matter and basal ganglia in HIV-1-infected individuals before and after initiation of ART as compared to uninfected individuals. However, treatment of HIV-1-infected individuals for extended periods of time with ART results in the reduction of extracellular levels of Glu, suggesting a positive effect of ART treatment (Young et al., 2014). Thus, most of these changes in Glu assessed by brain imaging are probably due to individual-to-individual variability, HIV-1 time course, HIV-1 replication, time of infection, ART, and several other potential CNS complications. Despite the mechanism of Glu dysregulation, we recently identified that glial and myeloid viral reservoirs within the brain generate energy from unusual sources of energy such as Glu and Gln, both of which are highly abundant in the brain, providing them with an almost endless source of energy. We recently demonstrated that blocking some of these metabolic pathways results in the effective killing of viral reservoirs, even in the absence of HIV-1 reactivation.

In this review, we will describe the overall Glu/GABA synaptic system, its dysregulation, and the role of HIV-1 in CNS dysfunction with a major focus on viral reservoirs.

AN INTRODUCTION TO THE GLUTAMATE/GAMMA-AMINOBUTYRIC ACID NEUROTRANSMITTER SYSTEM

Glu binding activates ionotropic and metabotropic receptors expressed on neuronal, glial, and immune cells (Zhou and Danbolt, 2014). Membrane-specific Glu receptors (GluRs) are expressed in neurons and glial cells, and they mediate most, but not all, excitatory and inhibitory effects (D'Antoni et al., 2008; Petralia, 2012; Skowronska et al., 2019). The activation of ionotropic Glu receptors mediates fast excitatory synaptic transmission, whereas the metabotropic receptors play a modulatory role (Lau and Tymianski, 2010).

Ionotropic Glu receptors (iGluRs) are proteins composed of different subunits that form ion channels. They are divided into three groups based on their structural similarities and named according to the type of agonist that activates them: amino-3-hydroxy-5-methylisoxazole-4-propionate (AMPA), N-methyl-D-aspartate (NMDAR), and 2-carboxy-3-carboxymethyl-4-isopropenylpyrrolidine (kainate, KA) receptors (KAR) (Traynelis et al., 2010). The NMDAR family is composed of seven receptor families. They are divided in three main

TABLE 1 | Effect of HIV-1 proteins on neurotoxicity induced by glutamatergic system dysregulation.

HIV-1 protein	Effect on Glu-related neurotoxicity	References
Gp120	Induce synaptodendritic degeneration by activation of co-receptors CXCR4 and CXCR5.	Kaul et al., 2007
Gp120	Induces a massive calcium release and changes in the morphology of neuronal mitochondria.	Avdoshina et al., 2016; Rozzi et al., 2017
Gp120	Increase the synaptic damage via NMDAR based on enhanced of NR2A- and NR2B-mediate EPSCs.	Yang et al., 2013; Zhou et al., 2017
Gp120	In HIV/gp120-tg mice pharmacological blockade of NMDAR was protective against gp120 neurotoxic properties involved in causing neuronal damage and synaptic loss.	Nakanishi et al., 2016
Gp120	Modulate the intracellular trafficking and surface clustering of NMDAR.	Scott et al., 2003; Xu et al., 2011; Ru and Tang, 2016
Gp120	Potentiate neuronal cell death and prolonged increased in level of intracellular calcium induced by Tat.	Nath et al., 2000
Gp120	In primary human astrocytes HIV-1 and gp120 impaired the clearance of Glu by reducing the expression of EAAT2.	Wang et al., 2003; Melendez et al., 2016
Gp120	Reduced astroglial cell viability. In addition, gp120 reduced both Gln concentration in astroglial cell supernatants and GS expression.	Visalli et al., 2007
Gp120	Increase of AEG-1 expression in gp120-treated astrocytes, followed by impaired Glu homeostasis due to down-regulation of EAAT2 in astrocytes.	Kang et al., 2005; Lee et al., 2011
Tat	Stimulate NMDARs by direct cysteine-cysteine interaction of Tat with the extracellular domain of the receptor.	Prendergast et al., 2002; Li et al., 2008
Tat	Promotes the phosphorylation of the NMDAR and triggers the calcium efflux and receptors stimulation.	Haughey et al., 2001
Tat	Induce cell death in human neuroblastoma cells (SH-SY5Y) by a mechanism involving H ₂ O ₂ release and NMDAR activity.	Capone et al., 2013
Tat	Amino acids 31–61 of Tat are necessary to cause neurotoxicity. Tat Δ31–61 mutant protein were not able to bind to the NMDAR and induce neurotoxicity.	Li et al., 2008
Tat	The changes in Tat protein structure prevent the interaction with the NMDAR, also the immune complex of the mutant Tat or nitrosylated Tat and anti-Tat antibody block neurotoxicity caused by NMDAR agonist.	Rumbaugh et al., 2013
Tat	Tat binding to LRP on the neuron's membrane initiate the formation of a macro molecular complex among tat-LRP-PSD-95 (as an intracellular adaptor protein)-NMDAR. LRP antagonist, blocked the Tat-dependent NMDAR potentiations.	Eugenin et al., 2007; Krogh et al., 2014b
Tat	Application of Tat into human fetal neurons result in calcium release via stimulation of IP ₃ receptor and activation of neuronal nitric oxide synthetase (nNOS).	Haughey et al., 1999
Tat	Induced the macrophage/microglia activation and microglia-mediate neurotoxicity, caused by Tat-dependent activation of NADPH oxidase.	Minghetti et al., 2004; Turchan-Cholewo et al., 2009
Tat	Induced the dose-dependent Glu release from microglial which was associated with increased expression of the X _c ⁻ glutamate-cystine antiporter, and this effect was blocked by NADPH oxidase and glutamate-cystine inhibitors.	Barger et al., 2007; Gupta et al., 2010
Tat	Induced the Nrf2 activation and X _c ⁻ system up-regulation, which could be a potential source of excitotoxicity induced my Glu release.	Fogal et al., 2007; Bridges et al., 2012a,b; Mastrantonio et al., 2019
Vpr	Induced apoptosis in human neuronal cells via activation of caspase-8.	Patel et al., 2000
Vpr	Decreased antioxidants pool in astrocytes via increased production of reactive oxygen species due to an increase in the level of oxidized glutathione (GSSG).	Ferrucci et al., 2012
Vpr	Up-regulates the GLS isoform C expression, resulting in an increased level of Glu in a media of HIV-1-infected macrophages.	Erdmann et al., 2009; Datta et al., 2016
Vpr	Altering protein involved in glycolytic and citrate pathways in human derivative macrophages.	Barrero et al., 2013
Vpr	Glu production and release is mediated by glucose-dependent metabolism following by activation of glycolytic and TCA cycle in Vpr overexpressing macrophages.	Datta et al., 2016

subunits, GluN1, GluN2, and GluN3, which present different subtypes: GluN2–N2A, N2B, N2C, N2D; GluN3–N3A, N3B (Kumar, 2015). For a functional channel, an NR1 and an NR2 subunit are required. Glu binds to the NR2 subunit while the co-agonist, glycine, binds to the NR1 subunit. The binding of the co-agonist enables the removal of the magnesium blockade (Guo et al., 2017; Gibb et al., 2018). Similar to NMDAR, the family of AMPA receptors is composed of four subunits, GluR1/2/3/4,

and the GluR2 subunit plays a critical role in Ca²⁺ permeability (Lomeli et al., 1994). The KAR family is composed of two types of subunits: GluR5/6/7 and KA1 and KA2, which form homo- or heterotetramers. Depending on the receptor's subunit composition, the channel will have different electrophysiological properties (Contractor et al., 2011).

Metabotropic Glu receptors (mGluRs) have been subdivided in regard to sequence similarity and signaling into three

groups (group I: mGluR 1 and 5; group II: mGluR 2 and 3; and group III: mGluR 4, 6, 7, and 8). The mGluRs are G protein-coupled receptors that are linked to various intracellular second messenger cascades. Group I mGluRs are coupled to G_q , and signaling through these receptors is carried out by the phospholipase C and stimulation of intracellular calcium (Ca^{2+}) release. Receptors belonging to this group (mGluR1 and mGluR5) are located predominantly postsynaptically. However, the presynaptic receptors control Glu release. Thus, depending on the receptor location, they have different activities. The second (mGluR2 and mGluR3) and the third (mGluR4, 6, 7, and 8) group are coupled to $G_{i/o}$ proteins, and they inhibit the activity of adenylate cyclase, resulting in a reduction of the intracellular level of cyclic AMP and the inhibition of protein kinase A (Pilc et al., 2008; Wieronska and Pilc, 2009; Niswender and Conn, 2010). In contrast to group I, receptors from the second and third groups are located predominantly presynaptically, and they inhibit the function of glutamatergic neurons, but the receptors from the third group also activate GABA release (Conn and Pin, 1997).

mGluRs receptors modulate neuronal excitability via regulation of voltage-sensitive calcium channels, G protein-coupled inwardly rectifying potassium (GIRK) channels, and GABA, AMPA, and NMDA receptors (Conn and Pin, 1997). Moreover, in several brain regions, iGluRs were identified on synaptic terminals (i.e., presynaptic receptors) as functional autoreceptors to modulate Glu and GABA release (Duguid and Smart, 2004; Fiszman et al., 2005). Interestingly, all these receptors are also expressed in immune cells, non-excitabile, providing a unique neuroimmune cross talk that still is under examination (Eck et al., 1989; Pacheco et al., 2007; Moroni et al., 2012; Yang et al., 2013) and can further contribute to NeuroHIV development.

Characteristic of Glutamate Transporters

The release of intracellular Glu into the extracellular space can be achieved by four different mechanisms: (1) calcium-dependent exocytosis of neurotransmitter from vesicular storage; (2) reverse action of Glu transporters located pre- and postsynaptically on neurons and astrocytes plasma membrane; (3) reverse cystine/glutamate transporter activity on the plasma membrane; and (4) hemichannels (Allen et al., 2004; Santello et al., 2012; Li et al., 2014).

The first system corresponds to the synaptic regulation of the release and uptake of Glu. Glu in the CNS is synthesized and stored (millimolar concentration) in synaptic vesicles of glutamatergic neurons. The millimolar concentration of Glu in the synaptic cleft is kept in nanomolar range after synaptic stimulation by reverse excitatory amino acid transporters (EAATs). The second system corresponds to Glu transporters belonging to solute carrier family (SLC): Na^+ -dependent (X_{AG}) excitatory amino acid transporter (EAAT-1), also known as Na^+ -dependent glutamate/aspartate transporter (GLAST) and EAAT-2 or glutamate transporter 1 (GLT-1). These transporters work based on the maintenance of the Na^+ gradient between intracellular space and extracellular fluid by Na^+/K^+ -ATPase. In the CNS, the Glu transporters are located on astrocytes

(Rothstein et al., 1996), neurons (Kanai and Hediger, 2004), and the BBB (Kanai and Hediger, 2004), and their main function is to remove the excess of Glu from the synaptic cleft after signal transduction (Nedergaard et al., 2002; Grewer et al., 2008). The third system, X_{c-} , is an exchanger of intracellular Glu for extracellular cystine. Cystine is reduced to glutathione (GSH) in the cytoplasm (Lu, 2013). It was published that disruption in the X_{c-} the system may lead to an increase of extracellular Glu concentration, which is a source of oxidative stress and cell death (Bridges et al., 2012b; Lewerenz et al., 2013). The fourth system correspond to hemichannels composed of connexin or pannexin proteins. They are expressed on the surface of glial cells including astrocytes and microglia/macrophages, which allow for the rapid intercellular exchange of ions and metabolites. Opening of hemichannels occurs only under stress conditions to serve as a backup system for Glu buffering, calcium wave propagation, and synaptic plasticity (Figure 1). In astrocytes, unopposed hemichannels on astrocytes membrane are responsible for the release of gliotransmitters, including ATP, Glu, nicotinamide adenine dinucleotide (NAD), and D-serine to the extracellular space. Under physiological conditions, hemichannels are in a closed state. However, upon inflammation and damage, hemichannels become open and have been associated with several neurodegenerative disorders (Xing et al., 2019) including NeuroHIV (Eugenin et al., 2011a; Orellana et al., 2014; Berman et al., 2016; Malik and Eugenin, 2016, 2019). Only recently, we identified that circulating levels of ATP can be used to provide an early detection of cognitive impairment in HIV-infected individuals (Velasquez et al., 2020).

Pathways of Glutamate/Gamma-Aminobutyric Acid Synthesis—Neuro-Glia Interaction

Neurons cannot synthesize Glu *de novo* due to the lack of expression of glutamine synthetase (GS), which converts Glu to Gln, and pyruvate carboxylase (PC), which converts Gln into Glu (Pardo et al., 2011). In contrast, astrocytes express both enzymes (Bak et al., 2006). After the release of neurotransmitters to the synaptic cleft, the excess of Glu or GABA is removed by neighboring astrocytes using specific transporters and both neurotransmitters are metabolized into Gln via GS or transformed into Glu and then to α -KG to become part of the tricarboxylic acid cycle (TCA cycle, Krebs cycle) (Bak et al., 2006). In glutamatergic neurons, Glu is synthesized on three pathways: (1) from α -KG, a product from the TCA cycle, which is converted by the action of glutamate dehydrogenase (GDH) and aspartate aminotransferase (AAT) into Glu. GDH is a reversible enzyme that can transform α -KG, ammonia, and NADH or NADPH into Glu (Hara et al., 2003). (2) Gln from astrocytes is delivered into neurons by amino acids transporters (SLC6A14 and SLC1A5) and metabolized directly via enzyme glutaminase (GLS)/phosphate-activated GLS (PAG) into Glu. GLS is a main Gln-utilizing enzyme in the brain, converting the Gln to Glu, and it is located on the inner membrane of mitochondria (Holcomb et al., 2000). The

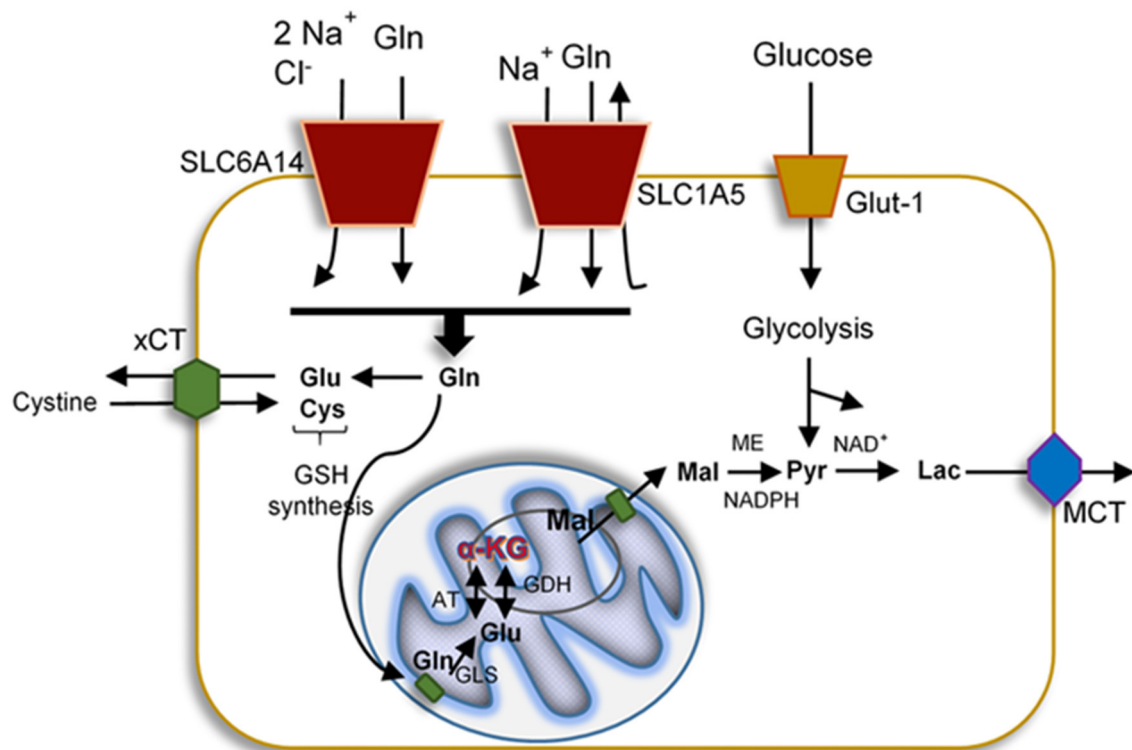


FIGURE 1 | Representation of the uptake and mitochondrial delivery system of glucose, glutamate, and glutamine. Glucose enters the cells by glucose transporter-1 (Glut-1), cystine, Gln, and Glu also are uptake by specific transporters such as cystine/glutamate antiporter (xCT), Na^+ and Cl^- -dependent neurotransmitter transporter (SLC6A14), and neutral amino acid transporter (SLC1A5), respectively. All proteins affected by HIV-1 infection. Upon uptake, these molecules can become part of the glycolysis, GSH synthesis, or the TCA. In the brain, metabolism of these mediators generates lactate that exits the cells via the monocarboxylate transporter (MCT).

two types of GLS are well-characterized as the “kidney type” (GLS1) and “liver type” (GLS2). In the human brain, GLS1 has two isoforms: kidney-type glutaminase (KGA) and glutaminase C, which are expressed in the various cell types including neurons, microglia, macrophages, and astrocytes (Cardona et al., 2015). GLS is known to promote cancer cell proliferation and differentiation (Erickson and Cerione, 2010). Moreover, GLS is an initial enzyme in the glutaminolysis pathway, providing the energy source for protein and lipid synthesis. During glutaminolysis, Gln is converted to Glu and subsequently into α -KG, which then enters the TCA cycle (Figure 1). Also, Glu can be converted into GSH that can protect the cells from oxidative stress and promote their survival. The third pathway (3) of Glu synthesis is from Glu reuptake from extracellular space and transformation into Gln to go back to neurons (Peng et al., 1993; Schousboe et al., 2013) (Figure 2). GABA, similarly to Glu, is metabolized on two pathways: (1) reuptake from extracellular space and incorporation into the TCA cycle and then conversion to α -KG via GABA-transaminase (GABA-T) and succinic semialdehyde dehydrogenase (SSADH), and (2) from Gln, which is converted to Glu, and next via decarboxylation (glutamate decarboxylase enzyme, GAD) to GABA (Schousboe et al., 2013) (Figure 2). The synaptic pool of Glu can be divided

into two compartments: (1) intracellular/cytosolic, in which Glu is synthesized from Gln inside glutamatergic neurons via enzyme GLS; and (2) mitochondrial, in which Glu synthesis from Gln is followed by Glu transformation to α -KG, which enters into the TCA cycle. Inside the mitochondria, Gln, even if it is considered as a source of energy, is called the “Trojan horse” because it is not the preferred source of energy. During the metabolism of Glu from Gln, Gln-derived ammonia (NH_4^+) is also generated and affects mitochondrial function by increasing the production of ROS and inducing mitochondrial permeability transition (MPT). This process introduces the collapse of the inner mitochondrial membrane and leads to mitochondrial dysfunction and free radicals production (Zoratti et al., 2005; Albrecht and Norenberg, 2006) (Figure 3). Later we will discuss why HIV-1-reservoirs prefer this metabolic pathway to survive for extended periods of time within the CNS. Also, glucose, the main brain energy substrate, is involved in the Glu/GABA synthesis cycle. In astrocytes, glucose is metabolized to lactate and pyruvate, which is a substrate for the TCA cycle, which produces α -KG and Gln, the fundamental substrate for Glu/GABA synthesis (Hertz and Chen, 2017). These tight connections between neurotransmission, neurotransmitter metabolism, and energetics are used in pathological conditions

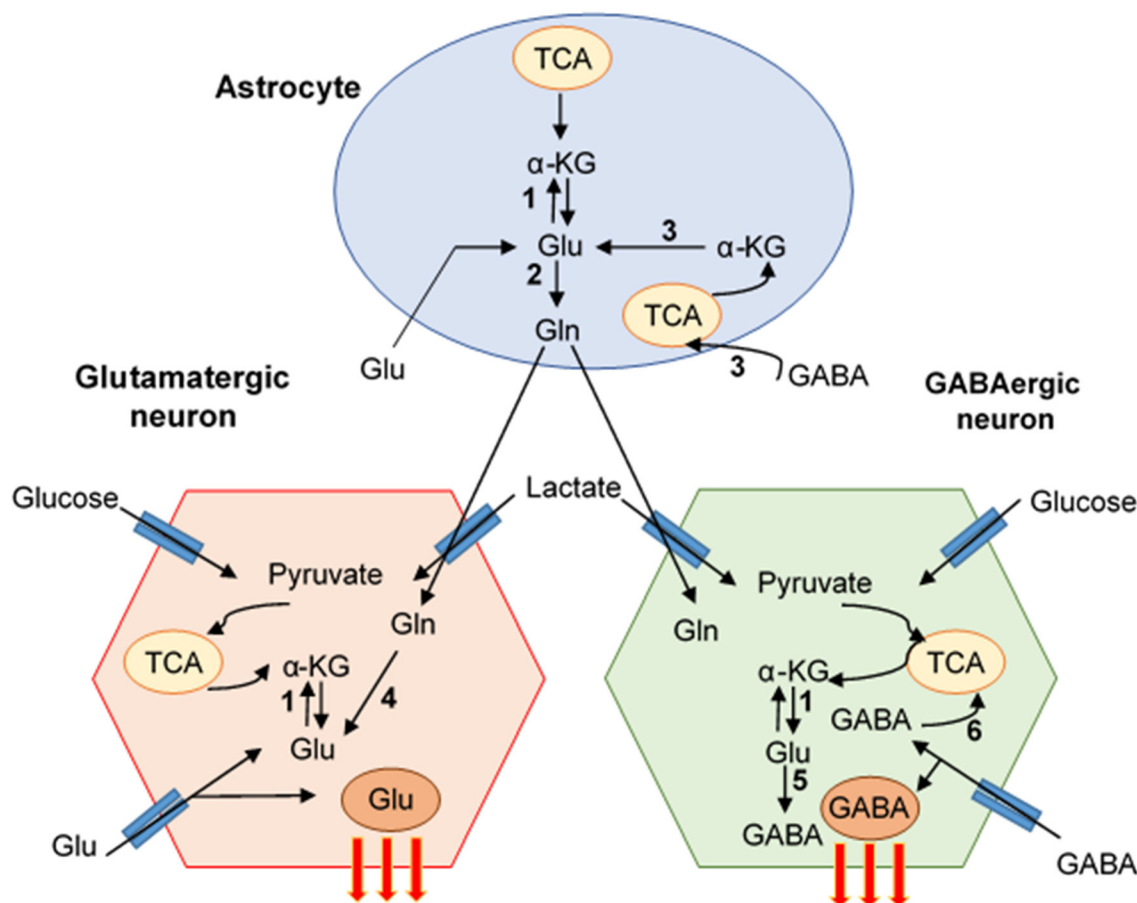


FIGURE 2 | Pathways of Glutamate/GABA synthesis-neuro-glia interaction. The cartoon represents the mutual interaction of astrocyte and glutamate (Glu) and GABA neuron. Glu-GABA cycle enzymes: (1) aminotransferases (AT), (2) glutamine synthetase (GS), (3) glutamate dehydrogenase (GDH)/aspartate aminotransferase (AAT), (4) glutaminase (PAG), (5) glutamate decarboxylase (GAD), (6) GABA transaminase (GABA-T)/succinate-semialdehyde dehydrogenase (SSADH) (Schousboe et al., 2013).

to promote the survival of glioblastoma stem cells as well as HIV-1-CNS reservoirs.

ESTABLISHMENT OF THE VIRAL RESERVOIRS: LINK TO NEURONAL AND GLIAL METABOLISM

HIV-1 infection cannot be eradicated due to the early generation of viral reservoirs in several tissue compartments (Wong and Yukl, 2016). Despite common knowledge that the elimination of viral reservoirs is essential to cure HIV-1, the nature, characteristics, and mechanisms of latent and long-lasting HIV-1-reservoirs are unknown. Currently, the best-characterized viral reservoirs are different circulating subpopulations of CD4⁺ T lymphocytes; however, several groups propose that circulating viral reservoirs correspond to a poor representation of the real reservoir pool that is present in several tissues (Chomont et al., 2009; Soriano-Sarabia et al., 2014; Kandathil et al., 2016; Abreu et al., 2019).

In most cases, within the first few weeks of HIV-1 infection, the viral reservoirs are established in multiple tissues and anatomic compartments (Wong and Yukl, 2016). Compartmentalization of HIV-1 occurs as a result of differential selective pressures between tissues or restricted virus flow within organs (Borderia et al., 2007). Moreover, the different concentrations of antiretroviral drugs within compartments can result in divergent viral evolution (Zarate et al., 2007). It was shown that, in individuals diagnosed with HIV-1 infection, the peak level of HIV-1 replication was established in blood, oropharyngeal, and genital tract tissue, but not in the CSF (Pilcher et al., 2001). Furthermore, upon ART interruption, viral rebound was different between the blood and CSF, suggesting a differential compartmentalization (Garcia et al., 1999; Gianella et al., 2016; Mukerji et al., 2018). Early on in HIV-1-infection, the CNS becomes a unique compartment due to the presence of the BBB and the blood-CSF barrier, both of which restrict virus trafficking between the CNS and blood compartment, resulting in the rise of at least two separate HIV-1 compartments with different viral and cell evolutions (Smit et al., 2001; Wang et al.,

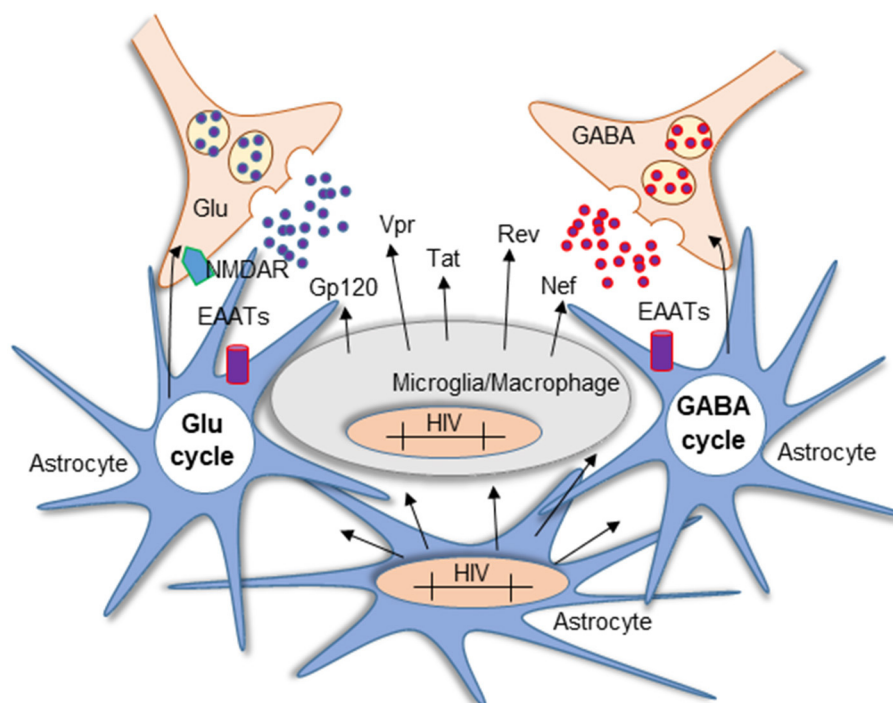


FIGURE 3 | Mechanism of Glu neurotoxicity in NeuroHIV pathogenesis. Glu is a critical neurotransmitter dysregulated in HIV-1 infection and HAND. Imbalance in the Glu/GABA cycle impaired the excitatory/inhibitory state of the neurons resulting in persistent stimulation of NMDARs. The diagram represents the activated state of astrocytes and microglia/macrophages. In the CNS, microglia, macrophages, and a small population of astrocytes become infected and carry HIV-integrated DNA. Although astrocytes cannot produce the virus, they contribute to neurotoxicity mediated by the production of viral proteins that are not blocked by ART and the subsequent secretion into neighboring cells such as neurons and glia. Another source of toxicity is the persistent activation of resident glial cells that increase Glu synthesis and release into the extracellular space.

2001; Ohagen et al., 2003; Abbate et al., 2005; Ritola et al., 2005; Yukl et al., 2013; Ganor et al., 2019). These differences in reactivation time and evolution can also be explained by the cell type infected, tissue microenvironment, access to ART, type of ART, pK distribution, proliferating cell properties, antigen dependency, or independent activation (Alexaki et al., 2008; Bingham et al., 2011; Pinkevych et al., 2015, 2016; Gianella et al., 2016).

Viral reservoirs are defined as cells with an integrated HIV-1 genome that have the capacity to silence viral replication, survive for extended periods of time, and become competent upon reactivation (Blankson et al., 2002). The best-described viral reservoirs are resting CD4⁺ T lymphocytes, including central memory T lymphocytes, transitional T lymphocytes, and effector memory T lymphocytes, which maintain the infection by homeostatic proliferation in central memory and transitional memory T lymphocytes (Lee and Lichterfeld, 2016). It was shown that activated T lymphocytes have a higher copy number of HIV-1-integrated DNA as compared to lymphocytes in the resting state of individuals with or without ART. Further characterization of the types of T lymphocytes indicate that HIV-1-DNA is present mostly in resting central memory and transitional memory T lymphocytes. These results indicate that both T lymphocyte populations are the circulating viral

reservoirs (Chomont et al., 2009; Josefsson et al., 2013). Using the quantitative viral outgrowth assay (QVOA), it has been demonstrated that replication-competent viruses reside in transitional memory T lymphocytes even in samples obtained from HIV-1-infected individuals on long-lasting ART (Siliciano et al., 2003; Soriano-Sarabia et al., 2014). During early infection, CD4⁺ T lymphocytes are the major target cells (Haase, 1999). Next, within the first few weeks of primary HIV-1 infection, the virus population doubles every 6 to 10 h, resulting in infection of another 20 cells for each cell infected early on (Nowak et al., 1997; Little et al., 1999). During the symptomatic primary infection, the level of circulating virus in the blood (often $>10^6$ to 10^8 /ml viral particles) and infected cell are high, and this initiates the compartmentalization early on in several tissues including the gut-associated lymphoid tissue (GALT). In 1995 studies by Wei et al. (1995) and Ho et al. (1995) showed viral evolution was a dynamic process because replacement of the wild-type virus can be achieved in 14 days, suggesting that viral replication and cell turnover can contribute to viral variability and the generation of reservoirs. In these studies, treatment with the HIV-1 reverse transcriptase and protease inhibitors (zidovudine and zalcitabine) prevented infection of new cells but did not block virus replication in the cells already carrying integrated HIV-1 DNA. Both anti-viral drugs induced

a decrease in plasma virus levels in the first 2 weeks of therapy. Further studies using mathematical models to calculate HIV-1 replication, half-life of replicating and reservoirs cells, as well as the potential contribution of tissue associated viral reservoirs indicates that ART is extremely effective in reducing systemic viral replication after 1–2 weeks after initiation by preventing new infections. However, a second phase of replication was detected that corresponded to the loss of long-lasting infected cells. However, a pool of tissue resident cells still maintained a slow decay in systemic replication. The authors estimate that 2.3 to 3.1 years are required to eliminate these HIV-1 cells from the tissue compartment, however, this cleaning does not mean eradication (Perelson et al., 1997). It was calculated that the resting T helper lymphocytes population in HIV-1 patients on ART may contain ~ 1 replication competent viral genome per 10^6 CD4⁺ lymphocytes. Considering that the half-life on the latently infected memory T lymphocytes is around 4 years, 88 years of treatment would be required to reach a level of cure using current ART (Finzi et al., 1999; Shan and Siliciano, 2013; Hill, 2018).

HIV-1 enters the CNS early after primary infection (7–10 days), which contributes to the establishment of viral reservoirs in the CNS (Fischer-Smith et al., 2001; Valcour et al., 2012). CD14⁺CD16⁺ monocytes enter the CNS using physiological gradients of CCL2 from the brain and an upregulated expression of CCR2 on HIV-1-infected cells despite ART (Eugenin et al., 2006). More specifically, the mature CD14⁺CD16⁺ monocytes are strongly associated with CNS inflammation and HAND development (Williams et al., 2012, 2013). It was shown that in HIV⁺ CD14⁺CD16⁺ monocytes preferentially transmigrate across the BBB, and also junctional proteins JAM-A and ALCAM support their transmigration. Blocking of JAM-A and ALCAM by specific antibodies and the dual inhibitor of chemokine receptors CCR2/CCR5 prevent preferential transmigration of HIV⁺ CD14⁺CD16⁺ monocytes. Moreover, the surface of CCR2 is increased on HIV-1-infected CD14⁺CD16⁺ monocytes from individuals who manifested HANDs, independently of ART status, viral load, and CD4⁺ T lymphocytes count (Williams et al., 2014). The increase of CCR2 on CD14⁺CD16⁺ monocytes correlate with a higher level of HIV-1 DNA and neuronal damage, suggesting that CD14⁺CD16⁺ monocytes could have a key role in HAND pathogenesis and neuronal damage observed in infected individuals. After entering into the brain, HIV-1-infected monocytes differentiate into macrophages resulting in the release of hosts and viral components inducing infection of host cells such as microglia and astrocytes (Churchill et al., 2006).

Pericytes have a crucial role in the formation and maintenance of BBB integrity. Reduction in pericyte coverage is a typical feature of BBB impairment, observed in Alzheimer's disease (AD), multiple sclerosis (MS), amyotrophic lateral sclerosis (ALS), and also HAND (Sengillo et al., 2013; Winkler et al., 2013; Persidsky et al., 2016). The main cause of loss of pericyte integrity observed in these diseases is associated with loss of adhesion into the basal membrane and dedifferentiation of smooth muscle cells resulting in their detachment from endothelial cells (Persidsky et al., 2016). HIV-1 Tat also induce changes in pericytes, suggesting that the loss of pericyte coverage and their detachment from the endothelium contribute to loss of BBB integrity in

HAND (Niu et al., 2014). Within the CNS, microglia, and macrophages are the main cells supporting HIV-1 replication, however, astrocytes also are infected but HIV-1 replication is minimal to undetectable. Even though only $\sim 5\%$ of the astrocytes become infected, their HIV-1-infection, but not replication, contributes to loss of BBB integrity (Churchill et al., 2006; Eugenin et al., 2011a). In pericytes, after HIV-1-infection, the viral replication decreased. Viral infection was demonstrated by Alu-PCR and infection was subjected to reactivation (Persidsky et al., 2016).

ART is a key factor in viral reservoir formation within the CNS. It has been demonstrated that initiation of ART less than 6 months after primary infection is associated with lower levels of T-cells activation and smaller circulating reservoir size during long-term therapy, supporting the hypothesis that reservoirs are formed early during infection (Jain et al., 2013; Abrahams et al., 2019). Moreover, by using mathematical models, it has been demonstrated that ART interruption with subsequent drug “washout” induces virus rebound (Hill et al., 2014, 2016; Pinkevych et al., 2015, 2016). Despite the efforts in re-using the success of ART to cure the disease, it is clear at this point that HIV-1-infected cells, including HIV-1 reservoirs, also have an alternative mechanism of survival, not only depending on silent or active replication. Some of these viral mechanisms are anti-apoptotic, metabolic, proliferating, and tissue sequestering, and there is a variability between people and infected cells. All these mechanisms contribute to perpetuating the virus.

HIV-1 AND GLUTAMATE NEUROTOXICITY—THE ROLE OF GLUTAMATE RECEPTOR ACTIVATION AND GLUTAMATE METABOLISM

HIV-1 and Glutamate Receptors

There are several viral and host proteins that have been described as mediating the over-activation of the Glu/GABA neurotransmission system (Eugenin et al., 2007; Ru and Tang, 2017). Some of these viral proteins are HIV-1 Tat and gp120. Gp120 is a viral envelope protein with neurotoxic activity. HIV-1 isolates from the CNS are mainly macrophage (M)-tropic (Gonzalez-Perez et al., 2012), but also the T-cell-tropic form was detected. The gp120 M-tropic and T-tropic glycoproteins can induce synaptodendritic degeneration by activation of chemokine co-receptors CXCR4 and CCR5, respectively (Kaul et al., 2007), localized on neurons and non-neuronal cells (Westmoreland et al., 2002). Gp120 induces a massive calcium release and changes in the morphology of neuronal mitochondria (Avdoshina et al., 2016; Rozzi et al., 2017). Also, gp120 is a glycoprotein with the ability to activate the NMDA receptors and increase the synaptic damage by overactivation. Direct mechanisms of neuronal injury induced by gp120/HIV via NMDAR involved NR2A and NR2B subunits based on enhanced NR2A- and NR2B-mediated EPSCs (Zhou et al., 2017). In the HIV/gp120-tg administration of the drug to mice, nitromemantine (a blocker of NMDAR), was protective against gp120 neurotoxic properties causing neuronal damage and

synaptic loss. In the same studies, researchers demonstrated the crucial role of the NR3A subunit of NMDAR because the genetic overexpression of the NR3A subunit enhanced the synaptic injury in both gp120-tg and WT mice (Nakanishi et al., 2016). NMDAR phosphorylation in the cytoplasmic tail of this receptor has emerged as an important mechanism regulating its function and trafficking (Chen and Roche, 2007). Phosphorylation of Ser896 and Ser897 are crucial for channel activity but also for the promotion of trafficking from the Golgi to the plasma membrane (Scott et al., 2003). The T-cell-tropic gp120 promotes the trafficking and surface clustering of NMDAR, and this process was associated with the phosphorylation of the NMDA subunit NR1 at C terminal Ser896 and Ser897 (Xu et al., 2011). On the other hand, the M-tropic gp120 transiently decreased the level of pSer896 and pSer897 of the NR1 subunit, having the opposite effect on the T-tropic gp120 (Ru and Tang, 2016). Treatment with NMDAR and AMPAR antagonists prevents the effect of M-tropic gp120 on NR1 down-regulation, suggesting that phosphorylation of NR1 is dependent on synaptic activity and NMDAR activation (Ru and Tang, 2016). Moreover, both gp120 tropic forms present different neuropathological profiles—M-tropic gp120 has a weaker toxic property than T-tropic. Also, M-tropic (CCR5-preferring) is present in the early phase of HIV-1 infection, while T-tropic (CXCR4-preferring) in the late stage in patients with developed HAND (Bachis et al., 2010).

Tat is a potent excitotoxin and it stimulates NMDARs by direct cysteine–cysteine interaction with the extracellular domain of the receptor (Prendergast et al., 2002; Li et al., 2008). Moreover, Tat promotes the phosphorylation of the NMDA receptor and triggers the calcium efflux and receptor's stimulation (Haughey et al., 2001). Also, Tat can induce cell death in human neuroblastoma cells (SH-SY5Y) by stimulation of H₂O₂ release dependent on NMDAR activation (Capone et al., 2013). Amino acids 31–61 of Tat are necessary to cause neurotoxicity (Nath et al., 1996), and their cysteine residues are crucial for direct interaction with the NMDAR (Li et al., 2008). The role of Tat Δ 31–61 was shown in studies with Tat Δ 31–61 mutant protein where the modified protein was not able to bind to the NMDAR. Moreover, in the same studies, no interaction between Tat and NMDAR was observed (Li et al., 2008). The changes in the Tat protein structure prevented the interaction with the NMDAR, also the immune complex of the mutant Tat, nitrosylated Tat or anti-Tat antibody with NMDAR block neurotoxicity caused by NMDAR agonist (Rumbaugh et al., 2013). Tat as a transactivator of the HIV-1 long-terminal repeat (LTR) is necessary for viral replication (Moses et al., 1994; Taube et al., 1999). Tat causes neural injury by entering into the neurons by a low-density lipoprotein receptor-related protein-1 (LRP) (Liu et al., 2000; Eugenin et al., 2007). Tat binding to LRP on the neuron's membrane initiates the formation of a macro molecular complex among tat-LRP-PSD-95 (as an intracellular adaptor protein)-NMDAR, later nNOS is recruited to the complex with subsequent production of NO at the neuronal cell membrane (Eugenin et al., 2007). In this macro molecular complex, the NMDAR, especially the NR2A receptor subunit, play a crucial role in the process of Tat-induced apoptosis in human primary neurons (Eugenin et al., 2003; King et al., 2010). Application of the

LRP antagonist, receptor-associated protein (RAP) completely blocked the Tat-dependent potentiation, which suggests that Tat potentiates NMDAR function via LRP (Krogh et al., 2014b). Application of Tat into human fetal neuron cell culture followed by calcium efflux mediated by excitatory amino acid receptors induced the calcium release via stimulation of IP₃ receptor and activation of neuronal nitric oxide synthetase (nNOS). This process was abolished by inhibition of IP₃ receptors, also had a neuroprotective effect from Tat toxicity (Haughey et al., 1999). Moreover, Tat potentiates the effect of NMDA on calcium release, by neuronal NMDAR sensitization so that the physiological level of Glu causes significant excitotoxicity and increases the intracellular calcium level. Our data indicates that blocking LRP, NMDAR, or nNOS activation reduces HIV-1 Tat internalization into neurons and prevents HIV-1 Tat-mediated apoptosis (Eugenin et al., 2007).

Also, HIV-1 protein gp120 acts synergistically with Tat and potentiates each protein's neurotoxic actions, promoting neuronal cell death (Nath et al., 2000). Most studies concerning the effect of Tat on NMDAR report that the changes in receptors function are acute (minutes to hours) (Haughey et al., 2001; Green and Thayer, 2016), while the neurotoxic properties of Tat occur after hours to days (Popescu, 2014). Tat-induced potentiation of the calcium release induced by NMDAR by 8 h then adapted, as later it was reported that it returned to the baseline by 24 h and dropped below control by 48 h (Krogh et al., 2014a).

Oligodendrocytes (OLs) are myelinating CNS cells. Their dysfunction leads to abnormal myelination, impairment of cell–cell signaling, and axon degeneration. OLs express iGluRs, including NMDA and AMPA receptors, and the expression of these receptors is highly heterogeneous (Salter and Fern, 2005). The NMDARs are mainly present on the myelin sheath, while AMPARs are equally distributed on the cell body (Micu et al., 2006). Also, the pattern of iGluRs distribution is different on immature and mature OLs; immature OLs represent a higher level of AMPARs and mature NMDARs, respectively. OL cells are also very sensitive to the toxic actions of Glu since the OL NMDARs are less susceptible to magnesium blockage; the level of Glu necessary to induce toxic effect in OLs may be lower than required for neurons (Karadottir et al., 2005). Activation of iGluR induced the accumulation of calcium in myelin cells in response to chemical ischemia. This effect was completely reversed by AMPA/KA antagonist (NBQX) in the oligodendroglial cell body but only moderately in myelin. In contrast, the broad spectrum of NMDAR antagonists (MK-801, 7-chloro-kynurenic acid, or d-AP5) decreased the level of calcium in myelin.

The massive release of Glu stimulated the NMDAR located on myelin and the accumulation of calcium inside myelin cells. The toxic action of Tat was also present in OL cells. In studies using transgenic mice expressing HIV-1 Tat, the primary corpus callosum and anterior commissure cell culture represent the increased level of OLs with aberrant morphology. Moreover, in the caudate-putamen, the myelin protein expression was abnormal. Tat protein caused the death of immature OLs and reduced myelin-like membrane production by mature OLs in a

dose-dependent manner. Both toxic effects of Tat were reversed by the blocking of NMDARs by specific antagonist MK-801, while CNQX (AMPA/KAR antagonist) only blocked the effect on immature OLs (Zou et al., 2015). This data confirms the results from Karadottir et al. (2005) that, depending on the stage of development (mature vs. immature), the pattern of iGluR is different.

In the current ART era, it still is a matter of debate whether viral proteins are produced within the brain. However, data from several laboratories including ours indicates that HIV-1 Tat, Nef, and gp120 are still produced and patients are developing antibodies to these proteins (Nath et al., 1987; Re et al., 1996; Rao, 2003; Eugenin et al., 2011b; Bachani et al., 2013; Nicoli et al., 2016). Besides, antiretroviral drugs do not affect the Tat protein level in HIV-1-infected patients, even when the viral blood load is low (Dickens et al., 2017). Thus, bystander damage by viral protein secretion is still a major concern mainly because ART blocks HIV-1 replication but is unable to reduce viral protein synthesis and secretion as well as associated inflammation and damage.

HIV-1 and Glutamate Transporters

The major function of astrocytes is to support neurons by maintaining the balance of local ion concentration and pH homeostasis, regulating neurotransmitters level in extracellular space and clearing the metabolic waste (He and Sun, 2007). The dysfunction of the astrocyte network is a serious reason for the decline in neurons' survival. One of the main causes of neuron death is a massive release of Glu and NMDARs overactivation (Petrálie, 2012; Wroge et al., 2012). Astrocytes prevent the neuronal loss by removing excess Glu from extracellular space by two Na^+ -dependent glutamate transporters (EAATs): GLAST/EAAT1 and GLT-1/EAAT2 (Rothstein et al., 1996). Loss of astrocytic Glu uptake and metabolism is one of the main factors responsible for neurotoxicity associated with neurodegenerative diseases, including HAND. It was shown that the most important factor in HIV-1-induced neurotoxicity is dysregulation of astrocytes Glu clearance (Potter et al., 2013). In monocyte-derived macrophages (MDM) HIV-1-infection up-regulate the EAAT-2 gene expression, but the transporter activity was decreased (Porcheray et al., 2006). Moreover, GS gene expression was decreased but the GS activity was increased (Porcheray et al., 2006). In HIV-1-positive people with HAND the astrocytic level of EAAT2 was reduced at the same time the extracellular level of Glu was increased (Xing et al., 2009; Borjabad et al., 2010). In the CNS a multiple factors cause the reduction of Glu transporters expression and in consequence astrocyte-dependent Glu clearance such as the pro-inflammatory mediators—tumor necrosis factor ($\text{TNF-}\alpha$), interleukin (IL)- 1β (Lindl et al., 2010) and HIV-1 viral protein—envelope glycoprotein (gp120), transactivator of transcription (Tat), and viral protein R (Vpr). The number of studies using cell cultures models has shown that gp120 significantly reduced the expression and function of Glu transporters, resulting in excessive accumulation of extracellular Glu in the synaptic cleft, overstimulation of Glu receptors and neurotoxicity (Nath et al., 2000; Wang et al., 2003; Visalli et al., 2007; Xu et al., 2011;

Yang et al., 2013; Melendez et al., 2016; Zhou et al., 2017). HIV-1 protein, gp120 alters the neuronal function homeostasis and often cause synaptodendritic injury (Kaul et al., 2001; Visalli et al., 2007; Melendez et al., 2016; Zhou et al., 2017). In primary human astrocytes HIV-1 and gp120 by reducing the expression of EAAT2, impaired the clearance of Glu (Wang et al., 2003). In pharmacology studies of enzymes kinetics, the reduction in V_{max} of both glutamate systems (X_{AG} and $X_{\text{c-}}$) was observed in striatal glial and neuronal cells from gp120 mice, with no effect on K_m for Glu (Melendez et al., 2016). These results suggest that gp120 reduces the density of Glu transporters in the mouse striatum without effect on Glu affinity to the transporters. Moreover, the total expression of GLT-1 protein was also altered in gp120 mice, which is involved in the development of HAND (Melendez et al., 2016). In Tat-transgenic mice the stimulus-evoked Glu release in the hippocampus and cortex was increased as compared to control animals, but GABA exocytosis was unaltered in the hippocampus and decreased in the cortex (Zucchini et al., 2013).

Protease inhibitors—Amprenavir (APV) and Lopinavir (LPV) caused the reduction of EAAT2 expression and diminished the intracellular concentration of Glu. LPV induced monocytes/microglia and astrocytes activation (Gupta et al., 2012), also in astrocytes LPV and APV increased Glu-dependent calcium efflux (Vivithanaporn et al., 2016). Moreover, the *in vivo* studies show a reduction in EAAT2 protein and mRNA level followed by the LPV treatment and suppression of the cortical level of L-glutamate and L-aspartate in conjunction with L-serine level reduction. The reduction of EAAT2 expression induced by LPV and APV on astrocytes reduces Glu extracellular clearance resulting in Glu overstimulation and calcium increase in glial cells (Vivithanaporn et al., 2016). Thus, a combination of HIV-1 infection and ART could also be additive negative effects in the glutamatergic system within the brain.

Tat specifically induced the macrophage/microglia activation and microglia-mediate neurotoxicity (Minghetti et al., 2004). Further it was shown that this process is caused by Tat-dependent activation of nicotinamide adenine dinucleotide phosphate (NADPH) oxidase (Turchan-Cholewo et al., 2009). NADPH oxidase is a key component of ROS production for oxidative burst and cell signaling pathways. The activation of NADPH oxidase in microglia induce the release of Glu (Barger et al., 2007). It was shown that, Tat induced the dose-dependent Glu release from microglial which is associated with increased expression of the $X_{\text{c-}}$ glutamate-cystine antiporter, and this effect was blocked by NADPH oxidase and glutamate-cystine inhibitors (Gupta et al., 2010). Furthermore, the extracellular cystine is transported inside of the cell by the counter-transporter cystine and then transformed to GSH. In HIV-1-infection GSH levels are decreased enhancing further oxidative damage induced by HIV-1 (Wanchu et al., 2009; Ferrucci et al., 2012). GSH function is not only antioxidant but is also very important for a conjunction of various drugs and xenobiotics elimination (Rieder et al., 1995; Singh et al., 2017). Also the proper function of $X_{\text{c-}}$ system can be interrupted by the upregulation of activity of nuclear factor erythroid 2-related factor 2 (Nrf2), the main activator of the antioxidant response by neutralization of ROS accumulation

and GSH depletion (Zhang et al., 2013). In astrocytes, the upregulation of xCT – Xc₋ system subunit altered by Nrf2 could be a potential source of excitotoxicity and neuron death induced by massive Glu release (Fogal et al., 2007; Bridges et al., 2012a,b). In astrocytes Tat protein induced the Nrf2 activation and Xc₋ system up-regulation (Mastrantonio et al., 2019). Moreover, it reduced the neuron viability in a mechanism dependent on Nrf2 activation and Glu release from astrocytes. This effect was blocked by Xc₋ system inhibitor—sulfasalazine, thus blockade of the transporter confirms the mechanism of neurotoxicity dependent on astrocytes activation (Mastrantonio et al., 2019). During oxidative stress conditions, cells can up-regulate the activity of Nrf2 to neutralize the ROS accumulation and not lead to GSH depletion (Niture et al., 2014). Astrocyte more resistant to oxidative stress in comparison to neurons which can strongly up-regulate the Nrf2 expression (Baxter and Hardingham, 2016). In response for cellular redox state, Nrf2 migrates to the nucleus and binds to promoter region—antioxidant response element (ARE) of many antioxidant genes such as system Xc₋ subunit xCT, superoxide dismutase (SOD), glutathione peroxidase (GPX) (Niture et al., 2014). Treatment of human U373 astroglial cells with recombinant Tat increased the mRNA expression of several antioxidant enzymes such as Xc₋, Quinone Dehydrogenase 1 (NQO1), catalase (CAT), Cu/Zn superoxide dismutase (SOD1 and SOD2), glutamate-cystine ligase (GCLC), and GPX (Mastrantonio et al., 2019). Also, U373 astroglial cells treated with Tat increased the nuclear Nrf2 level which was compatible with the transcriptional induction of antioxidant ARE genes, as well as decreased cell viability, suggesting that Tat-associated neurodegeneration could be caused by the Xc₋ system (Mastrantonio et al., 2019).

The peroxisome proliferator-activated receptors (PPARs) ligand-activated transcription factors belonging to the nuclear receptors for steroids, thyroid hormones, and retinoids. These receptors play major roles in cell differentiation, lipid homeostasis, and glucose regulation. Nowadays, the agonist of PPAR gamma (PPAR γ) is promising treatment for neuroinflammation-related condition eg. Alzheimer's disease, Parkinson's disease, and stroke (Govindarajulu et al., 2018; Wen et al., 2018; Lee et al., 2019). It was demonstrated that PPAR attenuated the release of pro-inflammatory cytokines and oxidative stress promoters. Several laboratories demonstrated that PPAR agonist can be neuroprotective against HIV-1-associated neuroinflammation (Potula et al., 2008; Huang et al., 2014, 2015). In astrocytes and microglial PPAR γ agonists, rosiglitazone and pioglitazone, prevented oxidative stress induced by cytokines and NO as well as GLT-1 expression induced by HIV-1 and gp120 exposure (Omeragic et al., 2017).

The astrocyte elevated gene-1 (AEG-1) is a novel protein involved in HAND development. AEG-1 is a multifunctional oncogene, identify as an HIV-1- and TNF- α -inducible transcript in astrocytes. Its higher expression has been demonstrated in HIV-1-infected astrocytes, as well as in astrocytes treated with gp120 and TNF- α (Kang et al., 2005). AEG-1 was studied in the cancer field, describe as oncogene implicated in the initiation of tumorigenesis, proliferation, metastasis, angiogenesis, and chemotherapy resistance of malignancies (Emdad et al., 2009,

2010; Lee et al., 2009; Chen et al., 2017). In malignant gliomas, expression of AEG-1 was high and associated with necrosis and neurodegeneration in correlation with reduced expression of EAAT2 (Lee et al., 2011). Moreover, the AEG-1 overexpression increased the expression of YY1, a transcriptional repressor of EAAT2 in astrocytes. The same effect was presented in the glioma cell line and is connected to glioma-associated necrosis (Lee et al., 2011; Vartak-Sharma et al., 2014). Present that inflammation-induced the expression of AEG-1 in astrocytes and loss of EAAT2 could contribute to neurodegeneration that has a neuroinflammatory manifestation, such as HAND. HIV-1-associated neuroinflammation is caused by cytokines and chemokines such as IL-1 β , TNF- α which is followed by an imbalance in Glu homeostasis caused by EAAT2 and increased CCL2 production (Vartak-Sharma et al., 2014). Moreover, all the inflammation stimulus significantly increased AEG-1 expression in astrocytes and nuclear translocation induced by the nuclear factor (NF)- κ B pathway which increases expression of NF- κ B-responsive chemokine such as CCL2 (Vartak-Sharma et al., 2014).

HIV-1 and Glutamate Metabolism Pathways

The main source of Glu overproduction in HIV-1 infection, includes the release of Glu from dying cells, disturbance in neurotransmitter clearance *via* impaired enzyme activity, and activated macrophages/microglia that also can release significant amounts of Glu into the extracellular space. Glu neurotoxicity is mainly caused by the up-regulation of Glu-generated enzyme—GLS isoform C in HIV-1-infected microglia. Also, the level of GLS isoform C was elevated in HIV-1-positive *post-mortem* brains which may be a compensatory effect induced by chronic exacerbate levels of Glu (Huang et al., 2011). The GLS C overexpression is also correlated with several neurocognitive and neurodegenerative disorders (Wang et al., 2017). The overproduction of Glu during HIV-1 infection in monocyte-derived macrophage (MDM) is caused by the release of GLS from the mitochondria followed by the generation of oxidative stress (Erdmann et al., 2009; Tian et al., 2012). In HIV-1-infected human MDM the Gln-dependent production of Glu was blocked by a GLS inhibitor (6-diazo-5-oxo-L-norleucine) and by the antiretroviral drug (zidovudine) (Zhao et al., 2004). Moreover, the excessive release of Glu from HIV-1-infected MDMs was inhibited by using *a* drugs that target N-acetylaspartylglutamate (NAAG) to specifically inhibit GLS (Erdmann et al., 2007). It was shown that Glu antagonist 6-diazo-5-oxo-L-norleucine (DON), a compound with GLS inhibition activity significantly decreased the Glu overproduction induced by HIV-1 and Glu-dependent neurotoxicity (Conti and Minelli, 1994; Zhao et al., 2004; Thomas et al., 2014). The Pro-drug of DON that is metabolized by carboxylesterases increasing the levels of the active drug mainly in the brain showing a higher CSF/plasma ratio (Nedelcovych et al., 2017). Furthermore, the new DON prodrug, JHU083, in a mouse model of HAND, reversed the deficits in spatial learning and working memory induced by HIV-1 infection. In biochemical tests JHU083 reduced extracellular level of Glu in CNS of mice infected with Eco-HIV, and decreased overstimulation of GLS in microglial cells (Nedelcovych et al., 2019).

HIV-1 viral protein R (Vpr) is known to promote virus replication in monocytes and differentiated macrophages (Connor et al., 1995). It was shown that soluble Vpr is detected in the CSF of HIV-1-positive patients, and it is a source of neurotoxicity and antioxidant system dysfunction (Patel et al., 2000; Ferrucci et al., 2012). Vpr transduced human derived macrophages and subsequent SILAC analysis indicates that around 50% of the proteins identified are involved in glycolytic and citrate pathways (Barrero et al., 2013). Next, labeling of glucose with Carbon-13 (^{13}C) and subsequent treatment of macrophages transduced with Vpr indicates that labeled glucose was uptaken faster than untransduced cells. Measure of release of Gln, Glu, and α -KG in Vpr transduced cells indicate a faster glycolytic and TCA metabolism (Datta et al., 2016). Imbalance in glutaminolysis (deamination of Gln by GLS to Glu with subsequent conversion of Glu to α -KG) affects the production of antioxidants such as GSH and NADPH. Moreover, α -KG an intermediate product in Glu metabolism is an ROS homeostasis stabilizer. Thus maintenance of ROS homeostasis in HIV-1-infected macrophages is sufficient for cell survival (Datta et al., 2016). Vpr also up-regulates the GLS isoform C expression, resulting in an increased level of Glu in a media of HIV-1-infected macrophages (Erdmann et al., 2009; Datta et al., 2016).

HIV-1 Metabolomics and Reservoir Survival

The analysis of circulating lipids of two HIV-1-infected populations, responding and non-responding to ART for 36 months, detected higher levels of HDL in the responding group and a high VLDL and low LDL in the non-responding group, suggesting that healthy lipids also are associated with better ART response (Palmer et al., 2014; Rodriguez-Gallego et al., 2018). Furthermore, examination of different groups of HIV-1-infected individuals separated by neurocognitive status (normal: stable neurocognitive impairments; worsening group: progression of neurocognitive impairments; and improving group: improving of their cognitive status) were analyzed by NMR. In the worsening group, the CSF levels of citrate and pyroglutamate were decreased, and the levels of glutamine and lactate were increased as compared to the normal cognition group. In contrast, stable and improved cognition showed decreased glutamine and glucose and increased citrate, pyroglutamate, and lactate as compared to the worsening group. This pattern suggests that the worsening group was associated with a higher glucose metabolism based on higher levels of creatine, suggesting higher energy demand; in contrast, the improving group was associated with a decrease in glycolysis and TCA cycle intermediates, suggesting a shift from aerobic to anaerobic metabolism as observed in the worsening group (Dickens et al., 2015). In HIV-1, it is known and well-described that the virus compromises most of the synaptic control of Glu as well as glial regulation of the glutamatergic system; however, the interpretation of these data was to increase synaptic compromise by overactivation of Glu receptors. Now, our data also indicate that high levels of extracellular Glu and Gln that are abundant in HIV-1-conditions are a

major source of energy to viral reservoirs (Castellano et al., 2019).

Overall, significant changes in brain volume have been observed, which may be related to synaptic compromise. In the pre-ART era, people with advanced disease had a significant reduction of cortical volume (Heindel et al., 1994; Peavy et al., 1994; Aylward et al., 1995) as compared to age-matched seronegative controls (Tate et al., 2010). The introduction of ART stopped the progression of brain volume loss in the thalamus, caudate, and cerebellum and caused cortical thinning in the frontal and temporal lobes and cingulate cortex (Sanford et al., 2018). Using MRS, the most common metabolic changes observed in HIV-1-positive patients is a decreased level of creatine (Cr); an increased level of choline (Cho) and myo-inositol (MI), which reflect neuroinflammation and microglial proliferation; and a decreased level of N-acetyl aspartate (NAA) and Glu as a markers of neuronal dysfunction and injury (Young et al., 2014). In patients before ART, the cortical level of inflammatory biomarkers Cho/Cr and MI/Cr was increased. In the same patients, the level of Glu/Cr in the basal ganglia was elevated and significantly declined after therapy initiation, suggesting a massive release of Glu from HIV-1-infected macrophages and microglia, as activated astrocytes function dysregulation. Introduction of ART within 5 years after infection attenuated the increase of these inflammatory markers (Young et al., 2014). Furthermore, in HIV-1-individuals under ART who manifest HAND, a lower ratio of NAA/Cr in frontal white matter, post cingulate, and precuneus was observed. Also, a decrease in the Glu/Cr ratio correlated with worse performance on verbal recall, psychomotor speed, and reaction time (Mohamed et al., 2018). In agreement with the previous data, a decreased level of NAA and Glu-Gln was also observed in the cortical gray matter during early HIV-1 infection, suggesting that HIV-1 causes neuronal and astroglial dysfunction soon after infection (Lentz et al., 2009).

In other studies, it has been demonstrated that in CSF of HIV-1-positive individuals who manifest HAND, the level of Glu was elevated, which suggests astrocyte activation—impairment of BBB integrity and Glu clearance. Moreover, in CSF, of all the examined individuals had an increased level of ketone bodies (BHBA and 1,2 propenodiol), which suggests the dysregulation of lipid metabolism (Cassol et al., 2014). This result is in line with our and other studies showing that HIV-1-infected cells can rapidly adapt and use an alternative sources of energy. In several pathologies, including cancer, glucose deficit results in the use of alternative sources of energy such as ketone bodies or amino acids (Laffel, 1999; Castellano et al., 2017).

CD4⁺ T lymphocytes are characterized as a circulating viral reservoir, which maintains survival by increased glucose transporter-Glut-1 expression and elevated glycolysis (Loisel-Meyer et al., 2012). It was shown that Glut-1 expression on CD4⁺ T lymphocytes is increased in HIV-1-infected individuals, and expression of Glut-1 could be a biomarker of CD4⁺ T lymphocyte activation in infected individuals (Palmer et al., 2014). Also, increased pyruvate delivery may be supported via the Glu-Gln cycle to cope with the high metabolic activity of

infected cells. Delivery of a higher amount of ATP can enhance the proliferation of infected cells and induce viral replication (Loftus and Finlay, 2016).

Next to the CD4⁺ T lymphocytes, tissue macrophages can also establish HIV-1 reservoirs. First, HIV-1 replication occurs intracellularly in specific compartments described as a virus-containing compartments (VCCs), in which newly created virus particles sustain their infectious potential for extended periods of time (Gaudin et al., 2013), and, second, HIV-1-infected macrophages can survive the apoptotic response of the host cells against viral infection (Swingler et al., 2007; Castellano et al., 2017). It was shown that HIV-1-infected macrophages increase GSH synthesis to limit excitotoxicity and neutralize generated oxygen free radicals (Rimaniol et al., 2001). Furthermore, in latently infected macrophages, Bim, a highly pro-apoptotic negative regulator of Bcl-2, was upregulated and prevented Bcl-2-dependent sequencing of pro-apoptotic proteins in mitochondria. Furthermore, HIV-1 infection prevents the release of apoptosis-inducing factor (AIF) or cytochrome C from the mitochondria into the cytoplasm and apoptosome formation. Thus, HIV-1 infection and latency prevent apoptosis by compromising the formation of the transition pore in the mitochondria and by preventing the formation of the apoptosome (Castellano et al., 2017).

Previously we demonstrated that latent HIV-1-infected macrophages used unusual pathways to block apoptosis of infected cells by a Bim-mediated mechanism (Castellano et al., 2017). We characterized three different stages of HIV-1 infection in macrophages: an early stage (1–3 days post-infection) with increased HIV-1 replication; a middle stage (7–14 days post-infection) characterized by higher viral replication and high cell death, which affects mainly uninfected macrophages; and a late state (14–21 days post-infection) with minimal viral replication and cell death similar to the middle stage, resulting in the survival of a small population of infected macrophages. The stage of infection was associated with changes in mitochondrial metabolism where the basal oxygen consumption rate (OCR) was reduced in the early stage in contrast to middle stage where OCR was reduced and correlated with increased cell death. In last stage, where most surviving cells were infected, no future changes of OCR were observed. Moreover, blocking of mitochondrial complex V, which is responsible for ATP production, induced different cell responses in the early and late stages, but not in the middle stage where the level of apoptosis was the highest; this suggests that during minimal apoptosis respiration, ATP is reduced by the virus. Next, we established the metabolic changes in latently infected macrophages, which in contrast to CD4⁺ T lymphocytes, did not rely on glucose in the HIV-1 condition but used alternative sources of energy coming from the TCA cycle and accumulated lipids. Observed changes in macrophages metabolism suggest that compromised TCA is an additional source of carbon to accumulate lipids, which can be used as an alternative source of energy. The role of the TCA cycle was established by treatment of human macrophages with succinate, an intermediate in TCA that plays a crucial role

in ATP production in mitochondria. Succinate induced lipid accumulation in HIV-1-infected macrophages in contrast to uninfected cells. Furthermore, we characterized that under HIV-1 conditions, latently infected macrophages are using Glu/Gln to provide α -KG and succinate for TCA cycle, whereas in uninfected macrophages, ATP is produced from fatty acids and glucose. Moreover, in the HIV-1 condition, blockade delivery of substrate for energy production (fatty acids, Gln, and glucose) induce a shift for use of alternative sources of energy. HIV-1-infected macrophages, in contrast to uninfected macrophages, cannot use alternative sources of energy, opening a unique opportunity to kill this type of reservoir. Furthermore, we demonstrated that latently HIV-1-infected macrophages used Gln, Glu, and α -KG to survive because the blocking of GLS or an amino acid transporter required for amino acid importation into the mitochondria induced a specific killing of the surviving HIV-1-infected macrophages (Castellano et al., 2019). Moreover, proinflammatory molecules, such as IFN γ and LPS, stimulate macrophage activation inducing glucose uptake with concomitant suppression of fatty acid uptake and oxidation (Vats et al., 2006). It was shown that released ROS and treatment with LPS increased the Glut-1 expression (Freemerman et al., 2014).

In this review, we presented the current knowledge about the role of Glu in NeuroHIV pathogenesis. Excessive release of Glu with concomitant blockade of reuptake and metabolism induce excitotoxicity and constant inflammation leads to HAND development. In a successful ART era, HIV-1 cannot yet be cured, due to viral reservoirs that are established in peripheral and CNS compartments. However, recent clinical observations have hypothesized that an early initiation of ART is crucial to a progressive contraction of the latent HIV-1 reservoir (“shrink”). This could possibly be accomplished with simultaneous strategies that activate (“kick” or “shock”) the latent reservoir and increase the clearance of virus-infected cells (“kill”), known as a “kick-kill” or “shock-kill” strategy (Chun et al., 1999; Archin et al., 2012; Van Lint et al., 2013; Pace and Frater, 2019; Edara et al., 2020). Although ART suppresses viremia in HIV-1 infected individuals, infected cells used Glu to maintain their survival and latent virus reservoirs. Moreover, Glu as a toxic molecule can induce viral rebound in latent infected cells. Thus, suppressing the overproduction of Glu shortly after infection may prevent neuroinflammation and successfully reduce the size of viral reservoirs that relay a significant amount of Glu to survive.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Early ART in Acute HIV-1 Infection: Impact on the B-Cell Compartment

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HIV-1 infection induces B cell defects, not fully recovered upon antiretroviral therapy (ART). Acute infection and the early start of ART provide unique settings to address the impact of HIV on the B cell compartment. We took advantage of a cohort of 21 seroconverters, grouped according to the presence of severe manifestations likely mediated by antibodies or immune complexes, such as Guillain-Barré syndrome and autoimmune thrombocytopenic purpura, with a follow-up of 8 weeks upon effective ART. We combined B and T cell phenotyping with serum immunoglobulin level measurement and quantification of sj-KRECs and ΔB to estimate bone marrow output and peripheral proliferative history of B cells, respectively. We observed marked B cell disturbances, notably a significant expansion of cells expressing low levels of CD21, in parallel with markers of both impaired bone marrow output and increased peripheral B cell proliferation. This B cell dysregulation is likely to contribute to the severe immune-mediated conditions, as attested by the higher serum IgG and the reduced levels of sj-KRECs with increased ΔB in these individuals as compared to those patients with mild disease. Nevertheless, upon starting ART, the dynamic of B cell recovery was not distinct in the two groups, featuring both persistent alterations by week 8. Overall, we showed for the first time that acute HIV-1 infection is associated with decreased bone marrow B cell output assessed by sj-KRECs. Our study emphasizes the need to intervene in both bone marrow and peripheral responses to facilitate B cell recovery during acute HIV-1 infection.

Keywords: B cells, HIV, severe acute HIV-1 infection, antiretroviral therapy, KRECs

INTRODUCTION

HIV has become a controllable disease since the introduction of antiretroviral therapy (ART), due to the very efficient viral suppression and consequent recovery from the CD4 T lymphocyte depletion. Nonetheless non-AIDS related diseases persisted in long-term treated subjects, in association with increased levels of immune-activation and dysregulation. The initial viral dynamics, as much as the immediate HIV impact upon the immune system, is known to determine, by and large, the ensuing progression rate of the disease (Mellors et al., 1997). The early start of therapy in the acute HIV-1 infection is likely to reduce viral set point reservoirs and immune-activation (Jain et al., 2013). Therefore, there is an increasing interest in the study of these patients.

The fact that acute HIV infection (AHI) largely goes unnoticed has clearly hampered the investigation during this initial stage (Cohen et al., 2010), and justifies the relatively reduced number of studies. More than 50% of patients with acute HIV-1 infection have very few or no symptoms (Vanhems et al., 1998). Up to a third may present a more severe primary HIV-1 infection (Nicolás et al., 2019), such as opportunistic infections, neurological involvement, thrombocytopenic purpura and other serious conditions, with an increased risk of disease progression (Hoenigl et al., 2017). The pathophysiologic mechanisms leading to these manifestations are not fully clarified. Autoimmune diseases have been related to HIV-1 infection and the immune dysregulation observed in these patients (Viroit et al., 2017). Among the postulated causes has been cited a direct viral pathologic effect of HIV linked to an aberrant immune activation which may lead to a serum sickness like syndrome (Lumsden and Bloomfield, 2016). Although the nadir of CD4 T cell count is predictive of the course of the disease after the initiation of ART (Pedersen et al., 1990; Hogg et al., 2001), it hardly describes the complete picture of immune dysregulation observed in acute HIV-1 infection (Ipp et al., 2014). Some acute phase severe clinical presentations with autoimmune-like characteristics are possibly mediated by antibodies or immune-complexes and might be related to B cell alterations.

Chronic HIV-1 infection is known to have an impact on the B cell compartment, resulting in non-specific polyclonal activation (Nagase et al., 2001), decreased B cell proliferative responses (Hart et al., 2007), loss of naïve and resting memory B cells (Carrillo et al., 2018), and higher percentages of atypical or exhausted B-cells (Moir et al., 2008), which are not fully recovered by ART (Richard et al., 2010). It has been shown that ART is less able to recover the depressed bone-marrow B cell output in chronic HIV-1 infections the later the treatment is started (Quiros-Roldan et al., 2012). The impact of a very early treatment approach in the acute HIV-1 infection upon bone-marrow output is so far unknown.

We have been gathering longitudinal data of seroconverters with severe clinical manifestations, like Guillain Barré syndrome, immune thrombocytopenic purpura, pericarditis, pneumonitis, rhabdomyolysis, along with data from mild or asymptomatic patients. This cohort represents a unique opportunity to investigate the B cell compartment in the early stages of the disease, and evaluate the impact that ART may have upon the putative B cell disturbances. We combined the study of the T and B cell subsets, with strategies to evaluate the B cell dynamics by the estimation of bone marrow output and peripheral proliferative history.

METHODS

Patients and Study Design

An established network between the Department of Infectious Disease at the University Hospital of Santa Maria (Lisbon, Portugal) and the community based center for HIV testing in the same area (CheckpointLX) allowed the recruitment of 21 HIV-1 seroconverters with a very short interval between diagnosis and the suspected time of infection (33 days [26, 48]),

who were studied prospectively from diagnosis up to 8 weeks after starting ART (Table 1). These patients were stratified at presentation, according to Fiebig stages (Fiebig et al., 2003), which subdivides acute infection into 5 stages according to the detection of HIV-RNA and the degree of Western blot's positivity, aiming to differentiate the successive phases of the acute infection. These stages are defined as follows: Fiebig 1: only viral RNA is detectable; Fiebig 2: both viral RNA and p24 antigen are detectable; Fiebig 3: one or two positive bands on a WB but not enough to declare positivity; Fiebig 4: all bands except p31 positive on a WB; Fiebig 5: is a fully positive WB. In agreement to this classification 11 of our patients had a Fiebig stage of 3 (see Table 1 and Figure 1). Patients were further grouped according to the clinical manifestations at presentation, namely: "Severe," including 8 patients with organ specific or systemic disease requiring hospitalization; and "Mild," the 13 subjects with the typical acute presentation being either asymptomatic or featuring self-limiting fever (for <5 days) with or without a mononucleosis like syndrome. All patients started ART, with the drug combinations listed in Table 1. Immunological and virological studies were performed immediately before starting ART (T0), and at 2 (T2), 4 (T4), and 8 (T8) weeks of treatment. Difference in sample numbers, in some time points was due to the necessity of having comparable timings among all patients, therefore some follow-ups were needed to be excluded if the patient did not appear for clinical evaluation and sample collection in the assumed week. This study was approved by the Ethical Board of the University Hospital of Santa Maria and the Faculty of Medicine of the University of Lisbon. All subjects provided written informed consent before data collection and blood sampling.

Cell Isolation and Flow Cytometry

Peripheral blood mononuclear cells (PBMC) were isolated from heparinized venous blood by Ficoll-Hypaque density gradient centrifugation (Gibco, Grand Island, New York, USA) washed and re-suspended at 1×10^6 cells/ml in RPMI 1640 (Gibco). Samples were surface stained for 20 min at room temperature as previously described (Blanco et al., 2018, 2019), using the following anti-human monoclonal antibodies, with clone and fluorochrome specified in brackets: CD3 (OKT3, PerPCy5.5); CD4 (SK3; PerCP), CD8 (SK1; allophycocyanin (APC-Cy7); CD19 (HIB19; PerCP-Cy5.5), CD38 (HB7; PE), CD45RA (HI100; APC), IgD (IA6-2; PE), HLA-DR (L243; FITC), CD27 (O323; APC), CD21 (BL13; FITC); Ki67 (B56; FITC). Samples were acquired on a 6-parameter FACSCalibur flow cytometer (BD Biosciences, San Jose, California, USA), with a minimum of 20,000 events analyzed for each parameter, and analyzed using FlowJo software (TreeStar, Inc., Ashland, Oregon, USA). Cells were successively gated on lymphocytes, according to forward/side scatter characteristics and B cells, as illustrated in Figure 1. T cell phenotype was assessed as previously described (Sousa et al., 2002). The absolute numbers of lymphocyte subsets were calculated by multiplying their frequency by the absolute lymphocyte counts obtained at the clinical laboratory at the same day of sampling.

TABLE 1 | Clinical and epidemiological data at baseline.

	Age	Sex	Route	Symptoms	Fiebig	Days to ART	ART: TFV+FTC+	VL log10 cp/ml	Viral DNA cp/10 ⁶ cells	CD4 cells/ μ l	CD8 cells/ μ l	CD19 cells/ μ l
Severe	29*	m	H	Severe hypoxic pneumonia	2	21	EFV	5.26	1,713	591	3,861	81
	65	m	H	Severe hypoxic pneumonitis	4	15	EFV	5.51	340	556	2,791	150
	31**	f	H	Rhabdomyolysis	3	18	EFV	7.04	43	220	623	125
	63	m	H	Guillain-Barre	4	48	DRV/r	4.94	1,310	919	2,280	54
	29	m	MSM	Pericarditis	4	17	EFV	6.55	NA	566	750	197
	57	m	H	Immune thrombocytopenic purpura	3	32	EFV	6.00	132	280	504	40
	29	m	MSM	Persistent fever and diarrhea	3	85	***+ RGV	6.26	NA	225	1,156	33
	39	m	H	Persistent fever	3	37	RGV	6.76	3176	425	343	190
	median	35	–	–	–	26.5	–	6.13	825	490	953	103
Mild	31	m	MSM	Fever and myalgia	4	20	EFV	5.49	778	417	1,737	67
	47	m	MSM	Mononucleosis-like syndrome	3	14	EFV	6.83	83,885	459	1,190	117
	32	m	MSM	Mononucleosis-like syndrome	4	32	RGV	4.37	12,163	448	916	54
	25	m	MSM	Adenopathies and asthenia	3	21	RGV	4.92	4,400	711	1,538	125
	40	m	MSM	Mononucleosis-like syndrome	3	18	RGV	5.45	321	329	529	60
	24	m	MSM	Fever and exanthema	3	31	RGV	5.41	1,260	619	2,292	104
	57	m	MSM	Fever and exanthema	2	14	RGV	7.00	2,097	397	561	88
	51	f	H	Fever and myalgia	2	14	RGV	7.00	106	513	344	32
	32	m	H	Fever and odynophagia	3	24	RGV	5.84	132	1,078	1,797	117
	23	f	H	No symptoms	1	/	RGV	6.39	113	474	374	58
	26	m	MSM	Adenopathies	3	32	RGV	6.01	9,454	835	1,185	74
	32	m	MSM	Fever and aphthosis	3	22	RGV	5.43	1,498	641	1,270	73
	35	m	H	Fever and exanthema	2	15	RGV	7.18	NA	470	607	110
	median	32	–	–	–	20.5	–	5.84	1,379	474	1,185	74

Additional therapies applied: * Streptococcal pneumonia treated with ceftriaxone and ** Guillain-Barre syndrome treated with high dose Immunoglobulin. No statistical differences were found between the two groups. NA, not available; med, median; H, Heterosexual; MSM, Men having sex with men. Treatment was initiated in all patients as indicated in ART (antiretroviral therapy) with RGV, raltegravir or EFV, efavirenz or DRV/r, darunavir with ritonavir together with a backbone with TFV+FTC, tenofovir e emtricitabina, except as indicated in one patient ***where the backbone was constituted by ABV+3TC: abacavir and lamivudine; VL, viral load in Log10; DNA, for proviral DNA as copies of Gag/10⁶ cells.

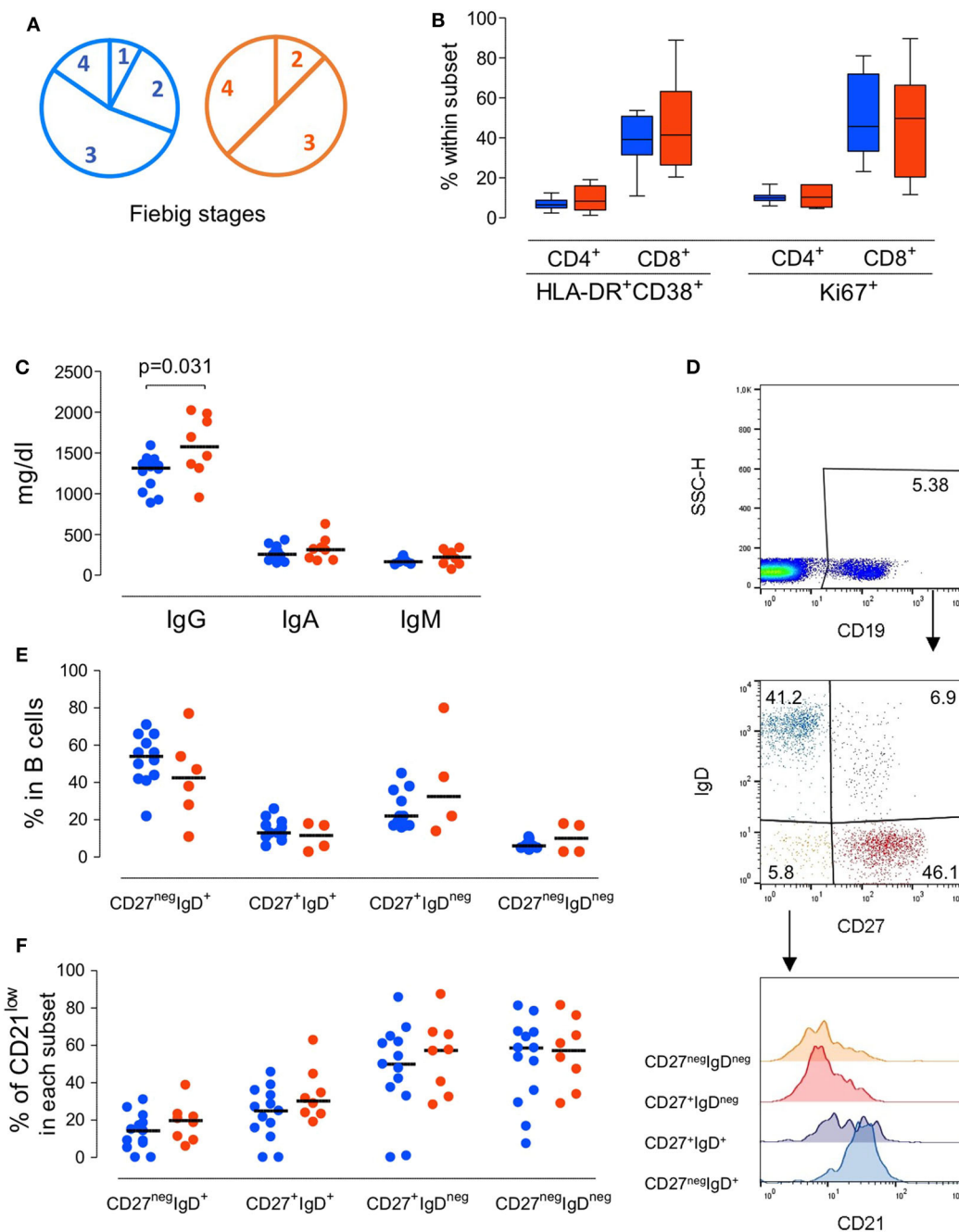


FIGURE 1 | Immunological profile of the patients at presentation grouped according to the severity of the clinical manifestations. **(A)** Pie-charts of the patient distribution according to Fiebig scale. **(B)** Proportion of CD4 T cells and CD8 T cells expressing activation and/or cycling markers, namely concomitant expression of HLA-DR and CD38 or Ki-67. **(C)** Serum Immunoglobulin levels. **(D)** Illustrative dot-plots of the B cell analysis by flow cytometry. **(E)** Frequency of subsets defined according to the expression of surface IgD and CD27 within total B cells. **(F)** Proportion of cells featuring low CD21 expression within each B cell subset. Each dot represents one individual and blue refers to subjects with mild symptoms and orange to those with severe manifestations. Bars represent median and $P < 0.05$ are shown.

DNA Extraction and Total Viral DNA Quantification

DNA was extracted from PBMC (at least 0.5×10^6), with DNAzol reagent (Life Technologies, Glasgow, UK). Digital PCR was performed using ddPCR Probe Supermix (Bio-Rad, California,

USA) with 900 nM primers (2 pairs were used: set 1 – Primer Fw1: CGAGAGCGTCAGTATTAAGC; Primer Rv1: AGCTCC CTGCTTGCCCATAC; set 2 – Primer Fw2: CGAGAGCGTCGG TATTAAGC; Primer Rv2: AACAGGCCAGGATTAAGTGC), 250 nM probe (5'-FAM-CCCTGGCCTTAACCGAATT-MGB),

and template DNA. Positive controls were generated from serial dilutions of plasmids containing the amplicons of HIV-1 gag and CD3 (a kind gift from Rémi Cheynier) (Fabre-Mersseman et al., 2011). Each 20 μ L PCR reaction mixture was loaded into the Bio-Rad QX-200 emulsification device and droplets were formed following the manufacturer's instructions. The contents were transferred to a 96-well reaction plate and sealed with a pre-heated Eppendorf 96-well heat sealer for 5 s, as recommended by Bio-Rad. Total DNA was amplified separately in a T100TM Bio-Rad thermal cycler with the following cycling conditions: 10 min at 95°C, 45 cycles each consisting of a 30 s denaturation at 94°C followed by a 58°C extension for 60 s, and a final 10 min at 98°C. After cycling droplets were analyzed immediately.

Quantification of sj-KRECs and Estimation of Peripheral B Cell Proliferation

A qPCR assay was conducted to determine the single joint (sj)-Kappa deleting recombination excision circles (KRECs) sequences in peripheral blood. The primers and probes used have been previously described (Serana et al., 2013). Briefly, 125 ng DNA was used for PCR amplification with 1x Taqman Universal Master Mix II (Applied Biosystems, Foster City, CA), 900 nM of 3'/5' outer primers and 250 nM of probes (FAM-TAMRA for TRAC and JOE-TAMRA for sj-KRECs). Sequence copy numbers were extrapolated from standard curves obtained by 10-fold serial dilutions of a plasmid, which contains KREC and TRAC fragments in a 1:1 ratio (a kind gift from L. Imberti, Spedali Civili of Brescia, Italy) (Serana et al., 2013). sj-KREC copies per μ L of blood were calculated from the number of genome normalized sj-KREC molecules corrected for the number of white blood cells in peripheral blood (Chen et al., 2005). Finally, the number of B cell divisions was estimated as reported: somatic coding joints sequences cj-RssKde resulting from kappa-deleting rearrangement were quantified by qPCR, the difference of signal joint cycle threshold (Ct) to the coding joint Ct represents an estimate of divisions B cells had undergone (van Zelm et al., 2007, 2013). Threshold lines for Ct determination were positioned at the same level.

Serum Immunoglobulin Levels

Total immunoglobulin G (IgG), immunoglobulin A (IgA) and immunoglobulin M (IgM) were quantified by immunonephelometry (Beckman-Coulter, Brea, California, USA) at the clinical laboratory of the Hospital de Santa Maria.

Statistics

Statistical analyses were performed using SPSS version 24 and GraphPad/Prism version 7.0 (GraphPad Software, San Diego, California, USA). Mann-Whitney U and Wilcoxon tests were used to compare unpaired and paired data, respectively. Anova and Mixed Anova tests were used for total and between group variance calculations in the follow-ups. Simple linear regression was performed to estimate associations of two numeric variables. Results are expressed as median, with interquartile range in [brackets], and $p < 0.05$ were considered to be significant.

RESULTS

We first evaluated whether patients with atypical/severe manifestations requiring hospitalization during acute HIV-1 infection present distinct immunological and/or virological features, as compared to those with the typical/mild presentation. As shown in **Table 1**, the severe group included 8 patients with clinical manifestations that are likely to be immune-mediated, namely Guillain-Barré syndrome, pneumonitis, pericarditis and autoimmune thrombocytopenic purpura. Notably, no opportunistic infections were reported. At enrolment the patients in the mild group ($n = 13$) were asymptomatic or had limiting symptoms, such as fever or a short mononucleosis-like syndrome. Distribution of Fiebig stages was dominated by stage 3 in both groups (**Figure 1A**), with no significant difference in distribution ($p = 0.8$), indicating that the differences in clinical manifestations were not related to the time since infection. Moreover, there were no differences in respect to age ($p = 0.41$), gender distribution ($p = 0.85$), or route of transmission between the two groups.

Strikingly, no significant differences were found in the levels of plasma viremia (5.84 and 6.13 Log, respectively, for mild and severe diseased patients groups, $p = 0.86$) or the cell associated total viral DNA (**Table 1**), suggesting that the degree of viral load was not the main determinant of the severity of the clinical manifestations.

Regarding the immune parameters, although there were three patients in the severe group with a profound CD4 depletion (<300 cells/ μ L), the described decline of CD4 T cell counts during the acute infection was observed in both groups (**Table 1**), with a respective median of 474 and 490/ μ L ($p = 0.37$). Moreover, the degree of the CD8 expansion was also comparable in the two groups (**Table 1**), leading to similar alteration of the CD4/CD8 ratio (0.60 [0.42–0.74] and 0.38 [0.19–0.70] for seroconverters with mild or severe symptoms, respectively, $p = 0.16$). In agreement, the markedly increased levels of CD4 and CD8 T cell activation were comparable between the two patient groups, despite their distinct clinical features (**Figure 1B**).

Concerning the B cell compartment, the “severe” group featured significantly higher levels of serum IgG (**Figure 1C**), mainly due to IgG₁ (796 mg/dL [644–820] and 1,060 mg/dL [749–1,520] for mild and severe disease, respectively). This is particularly interesting because the documented clinical manifestations are thought to be largely immune-complex or antibody-mediated. This led us to analyse the B cell compartment in detail (**Figure 1D**). Both, the mild and severe group, featured reduced B cell counts. In fact, previously published data from the EuroFlow PID group, to which our lab contributed, reported in the 18 to 39 age group (the one most closely comparable to our patients age) a total number of 220 B cells/ μ L (median, range 41–470 and 54–438, 5th–95th percentile) (Blanco et al., 2018, 2019). These values, even taking in consideration the high variability of B cell count, are significantly different ($p < 0.0001$) compared to our patients' total B cell counts at baseline (median 80, range 32–197). Moreover, a similar distribution of the B cell subsets according to the expression of the memory marker CD27 and the surface levels of IgD was observed in the

two groups of patients (**Figure 1E**), namely for $\text{IgD}^+\text{CD27}^{\text{neg}}$ or naive, $\text{IgD}^{\text{neg}}\text{CD27}^+$ or memory switched, $\text{IgD}^+\text{CD27}^+$ or memory unswitched, and $\text{IgD}^{\text{neg}}\text{CD27}^{\text{neg}}$ or other memory switched cells. Additionally, the percentage of cells expressing reduced levels of CD21 were expanded in all B cell subsets at baseline compared to the end of the follow up, irrespective of the clinical manifestations (**Figure 1F**). The increase in the CD21^{low} population percentage was particularly marked at baseline in switched B cells (median 50% in $\text{CD27}^+\text{IgD}^{\text{neg}}\text{CD21}^{\text{low}}$ and 60% in $\text{CD27}^{\text{neg}}\text{IgD}^{\text{neg}}\text{CD21}^{\text{low}}$) indicating high levels of B cell activation in both patient groups. Of note, the global analysis of the patients revealed a direct relationship between the frequency of CD21^{low} cells within switched memory ($\text{IgD}^{\text{neg}}\text{CD27}^+$) B cells and viremia (r^2 : 0.21/ p = 0.04/slope: 0.11). Therefore, the B cell disturbances were not significantly different in the two groups of patients and appeared to be more closely related to viral load.

Importantly, upon starting ART there was a progressive improvement in all the clinical conditions paralleled by increased CD4 T cell count (p < 0.0001) and the effective control of viral load (with a median of 0 RNA copies/ml [0–65] and 18 RNA copies/ml [0–119] upon eight weeks of treatment for the mild and severe groups, respectively), p < 0.0001 (**Supplementary Table 1**).

The variation of the B-cell frequency and absolute numbers were not different between the two groups (**Figure 2**). There was a progressive increase in the B cell counts, though they did not return to normal levels (Blanco et al., 2018, 2019), during the follow-up period (**Figure 2**).

It is known that immune activation is a key determinant of the B cell disturbances that characterize HIV infection (Moir and Fauci, 2014). In agreement, we observed a direct correlation between CD4 and CD8 T cell activation and the expansion of switched memory B cells (correlation between the frequency of $\text{CD27}^+\text{IgD}^{\text{neg}}$ within B cells and the proportion of $\text{HLA-DR}^+\text{CD38}^+$ cells within CD4 and CD8 T cells; r^2 : 0.2/ p : 0.04/slope: 0.13, and r^2 : 0.45/ p : 0.0016/slope: 0.7, respectively), as well as a negative correlation with frequency of naive B cells (correlation between the frequency of $\text{CD27}^{\text{neg}}\text{IgD}^+$ within B cells and the proportion of $\text{HLA-DR}^+\text{CD38}^+$ cells within CD4 and CD8 T cells; r^2 : 0.4/ p : 0.007/slope: -0.15 , and r^2 : 0.3/ p : 0.02/slope: -0.55 , respectively) (**Figure 3A**). Of note, no significant correlations were observed after 8 weeks of ART (**Figure 3B**), suggesting distinct dynamics of the recovery of the T and the B cell activation.

In order to further investigate the relative contributions of peripheral B cell proliferation induced by the immune stimulation and the possible impairment in B cell production in the bone marrow associated with the HIV infection, KRECs were quantified. Sj-KRECs are generated by the rearrangement of the $\text{J}\kappa\text{-C}\kappa$ intron recombination signal sequence (intronRSS) to the kappa-deleting element which renders the IGK locus non-functional and precludes any further rearrangements in the IGK locus. Therefore, the coding joint of this rearrangement remains stably present in the genome. When a B lymphocyte with an intronRSS-K κ rearrangement divides, both daughter cells inherit the intronRSS-K κ coding joint in the genome. Conversely, the signal joint, which is on the episomal KREC,

will be inherited by only one of the two daughter cells. As a consequence the difference between the kappa-deleting rearrangement and the corresponding excision circle (ΔB) can be used as a measure for the *in vivo* replication history of an isolated B cell subset, assuming that the excision circle is a stable DNA structure, which is diluted 2-fold in every cell division. Since these rearrangements are terminated in the bone marrow, the quantification of sj-KRECs corresponds to B cell output from the bone marrow, and the calculated ΔB expresses their relative proliferation within the periphery. Before starting ART, the patients with severe manifestations featured significantly lower levels of sj-KRECs and higher levels of ΔB than those with mild disease (**Figure 3C**). These data suggest that severe disease was associated with both a more compromised bone marrow output and increased peripheral proliferation. Strikingly, upon ART there was a trend to a recovery of bone marrow output, as assessed by the sj-KRECS, as well as a significant decline in ΔB , supporting a reduction in peripheral proliferation in both groups of patients (**Figure 3D**).

Overall, the study of this unique cohort of acute HIV-1 infection suggests that severe disease is associated with an impairment in bone marrow output and more marked polyclonal B cell activation, as defined by higher IgG levels and higher ΔB . Notably, reduced B cell counts were a feature of acute HIV-1 infection irrespectively of disease severity. ART led to a recovery of total circulating B cells mainly due to an increase of the naive B cell population. Moreover, 8 weeks of suppressive ART were unable to induce a full recovery of the memory switched B cell subset despite the contraction of the CD21^{low} population.

DISCUSSION

Our study confirms the importance of B cell disturbances in acute HIV-1 infection. The marked disruption of the B cell compartment was associated with impaired bone marrow output coupled with increased peripheral cell proliferation, as demonstrated via quantification of sj-KRECS and ΔB for the first time in acute HIV-1 infected individuals. B cell dysregulation was likely contributed to the severe immune-mediated conditions observed in a subset of our patients, which featured lower sj-KRECs and increased ΔB and serum IgG levels, when compared to patients with mild disease.

B cell disturbances are well-recognized in untreated chronic HIV-1 infection (Cagigi et al., 2008; Amu et al., 2013). They are thought to be mainly linked to persistent immune activation (De Milito, 2004) and only partially recover upon ART initiation (Younas et al., 2016). The hallmarks of B cell perturbations are polyclonal hypergammaglobulinemia and expansion of B cells expressing low levels of CD21 (Fogli et al., 2012). Interestingly, these aberrant B cells are also increased in other conditions of chronic inflammation, such as autoimmune diseases (Thorarinsdottir et al., 2016; Das et al., 2018). Overall B-cells expressing low CD21 have a decreased proliferative capacity and effector function, as measured by decreased immunoglobulin diversification leading to the assumption that they do represent an exhausted B cell subpopulation (Moir et al., 2008). Moir et al. have further shown that they fail to respond to B cell stimuli

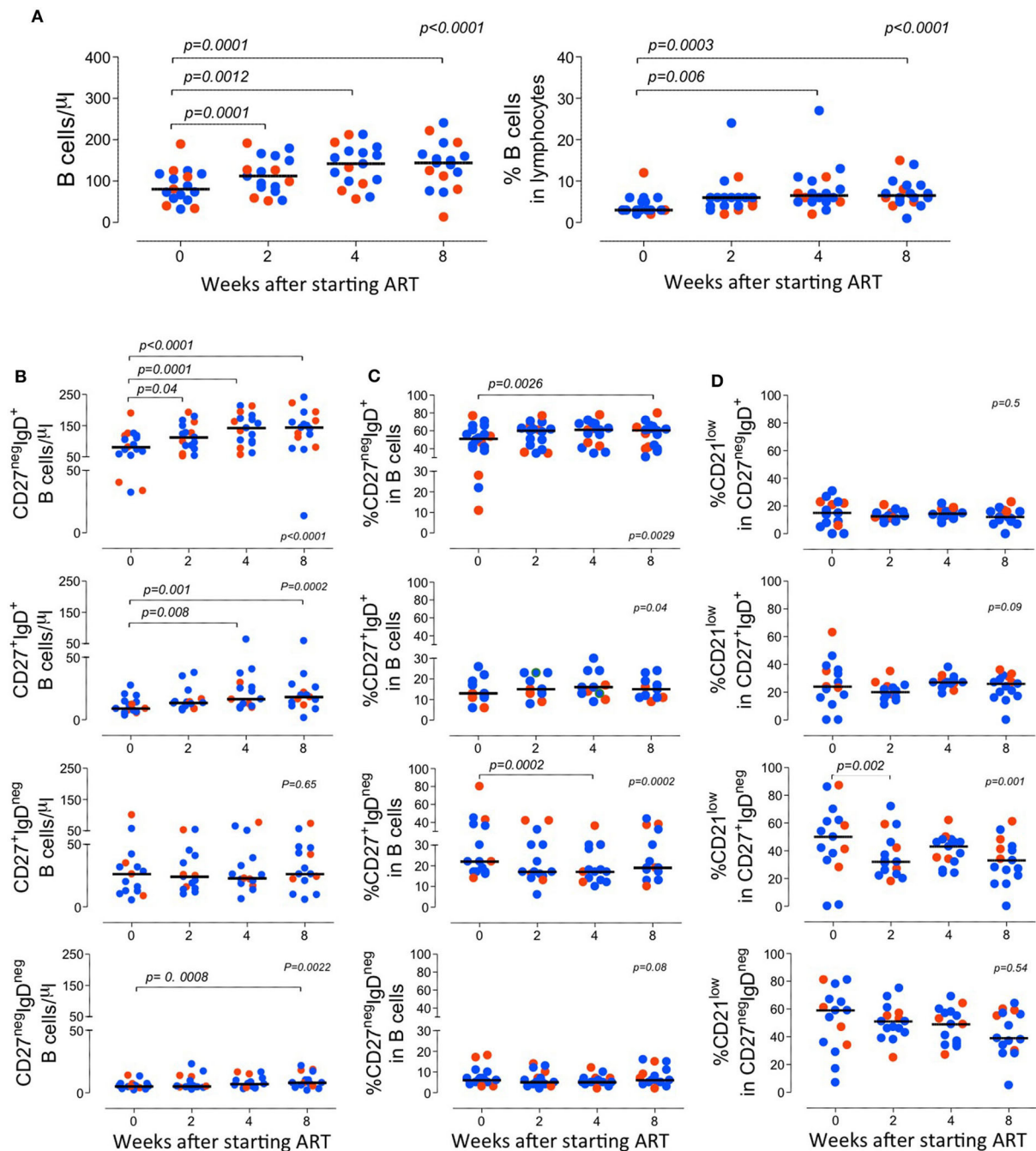


FIGURE 2 | Longitudinal analysis of the B cell compartment before and after starting ART. **(A)** Total B cell counts (left graph) and frequency of B cells within lymphocytes (right graph). **(B–D)** B cell subsets were defined according to the expression of CD27 and IgD and graphs show the absolute counts of circulating subsets **(B)**; the proportion of each subset within total B cells **(C)**; and the frequency of B cells expressing low levels of CD21 within the subset **(D)**. Each dot represents one individual and blue refers to subjects with mild symptoms and orange to those with severe manifestations. Time 0 refers to evaluation immediately before starting ART and sub sequential analyses were performed at 2, 4, and 8 weeks upon therapy. Bars represent median. Anova for repeated measures and Dunn's Test were calculated. $P < 0.05$ are shown.

in HIV-1- infected individuals (Moir et al., 2001). We showed that there is a linear association between viral load and memory switched B cells expressing low CD21 before therapy in acute HIV-1 infection.

Although part of the B cell responses are HIV specific, many indirect effects of the HIV infection that have been shown to contribute to the B cell stimulation (Schnittman et al., 1986; Kacani et al., 2000; Moir et al., 2000; Malaspina

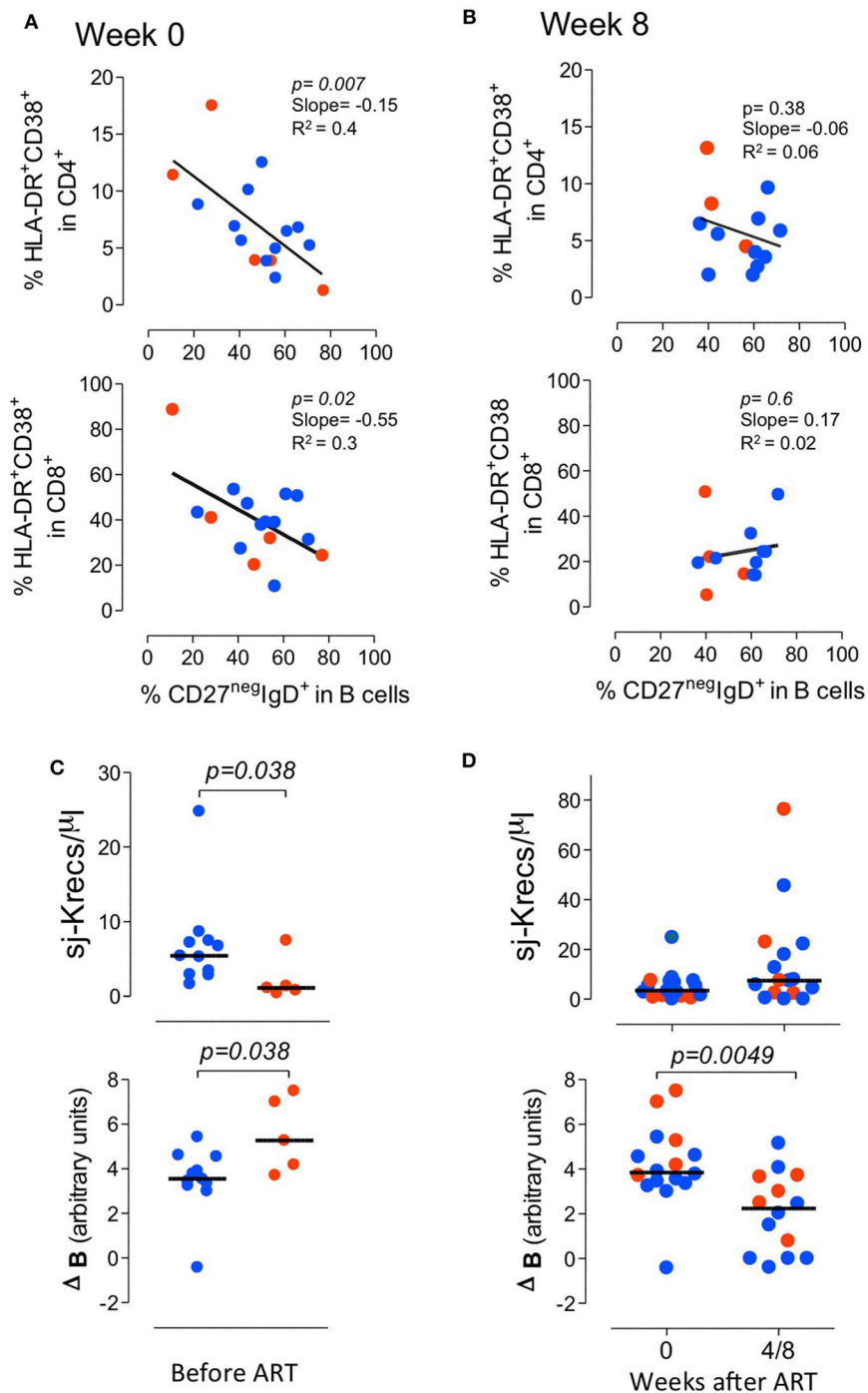


FIGURE 3 | Impact of immune activation and bone marrow output evaluated by KRECs in the B cell imbalances. **(A)** Correlation between the frequency of HLA-DR+CD38+ cells within CD4 (top graph) and CD8 (bottom graph) T cells and the proportion of naive cells (CD27⁺IgD^{neg}) within total B cells before treatment. **(B)** The same correlation after 8 weeks of ART. **(C)** Comparison of the levels of sj-KRECs and Delta B between patients with severe and mild disease before treatment. **(D)** Changes of sj-KREC and Delta B levels upon 4 or 8 weeks of ART. Each dot represents one individual and blue refers to subjects with mild symptoms and orange to those with severe manifestations. Bars represent median and $P < 0.05$ are shown.

et al., 2006; Swingler et al., 2008; Haas et al., 2011; Ruffin et al., 2012; Sokoya et al., 2017). In fact, neither viremia nor viral DNA level significantly differed between the two

clinical groups, suggesting a contribution of other factors for the B cell dysregulation associated with severe manifestations. Curiously, HIV-2 infection, which is associated with low to

undetectable viremia, and slow progressive CD4 decline in direct correlation with immune activation markers (Sousa et al., 2002), provides an example of profound B cell disturbances, namely marked depletion of both switched and unswitched memory B cells when compared to HIV-1 or seronegative controls (Tendeiro et al., 2012). This memory B cell reduction was mainly due to depletion of the class-switched subsets. CD27+IgD-depletion correlated with CD4+ T cell count in HIV-2 but not in HIV-1. A comparable loss of IgD+ memory B cell, correlating with markers of disease progression, like CD4 T-cell count and detectable viremia, was observed in both HIV-2 and HIV-1 chronically infected patients. Of note, we have also recently shown that follicular helper T cells (Tfh), the CD4 subset specialized in promoting B cell responses, supports productive HIV-2 infection and are an important reservoir in HIV-2-infected individuals (Godinho-Santos et al., 2020), suggesting that Tfh infection may contribute to the memory B cell imbalances.

An important finding of our study is the lack of full recovery of the switched memory B cell compartment upon 8 weeks of effective ART. Interestingly, Muir et al. suggested that HIV-1 induces disturbances in circulating Tfh function during the acute infection that may prevent the full recovery of the memory B cells upon treatment (Muir et al., 2016). Thus, preservation of Tfh function and B cell memory would require a very early start of ART, which would only be feasible with active prospective testing to identify seroconverters within the first week of infection (Ananworanich et al., 2016). Detailed functional studies of both the Tfh and the B cell compartments, ideally complemented with lymph node biopsies, are critically needed to understand the early HIV impact (Suresh et al., 2019).

The studies on the acute infection are always limited by the reduced number of subjects, though all the collected data are of high relevance. Given the rarity of acutely infected patients, we prioritized the longitudinal analysis of the clinical and immunological data of seroconverters that consecutively presented to our clinic during a period of 90 months. Therefore, our study did not include the study of a group with chronic HIV infection in parallel and used the available data previously generated by us and others as a reference (Tendeiro et al., 2012; Blanco et al., 2018, 2019). Furthermore, differences in treatment regimens could have influenced the result. However, all patients showed decreased viremia and increased CD4⁺ counts at the end of 8 weeks observation, irrespective of which regimen they received. Given the relatively small number of patients, in this type of study it is difficult to investigate the influence of treatment regimens on the results. Even though all patients showed decreased viremia and increased CD4⁺ counts at the end of 8 weeks observation, irrespective of which regimen they received it is known that patients with full CD4 recovery and undetectable viremia may maintain some other immune disturbances after long-time ART. We believe that longer follow-up studies of acutely infected HIV patients therefore would be desirable. However, we report that several alterations related to B cell functions improved significantly, such as hyper-gammaglobulinemia and peripheral

proliferation, as signified by the ΔB values. The profile of B cell recovery was not different in the two groups of patients, despite the association of severe disease with more pronounced B cell imbalances at presentation. Severe acute presentation has historically been linked to a more progressive disease (Hoenigl et al., 2017), nonetheless, larger follow up studies will be needed to demonstrate the impact of early treatment on improvement of prognosis.

Our study calls attention to the impact of HIV-1 acute infection in the bone marrow, although other factors, such as increased cell death, could have contributed to the observed levels of sjKRECs and ΔB , a question, which should be addressed in future studies. Bone marrow abnormalities are common in chronic HIV-1 infection and characterized by erythroid dysplasia and perturbations of myelopoiesis along with an increased plasma cell population (Tripathi et al., 2005; Alexaki and Wigdahl, 2008; Dhurve and Dhurve, 2013). The evaluation of sj-KRECs has previously revealed a decreased B cell output during chronic HIV-1 infection, that declines in direct association with the length of disease (Quiros-Roldan et al., 2012). Quantification of sj-KRECs represents, therefore, an important tool to address this aspect using peripheral blood (Verstegen et al., 2019).

Overall, we showed for the first time that acute HIV-1 infection is associated with decreased bone marrow B cell output assessed by sj-KRECs. This impairment is significantly more marked in patients with severe clinical manifestations, in parallel with increased peripheral B cell proliferation and higher levels of polyclonal IgG levels. Thus, bone marrow in addition to peripheral responses should be targeted to correct B cell dynamics in acute HIV-1 infection.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethical Board of the Centro Hospitalar Universitário Lisboa Norte (CHULN) and of the Faculty of Medicine of the University of Lisbon. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

RB, RF, and AS designed the study. RB, RF, and MR collected the clinical data. RF, DL, AG-S, and AT performed the immunological studies. AT, AG-S, RF, and RB analyzed data and investigated the selected variants. RB, AT, RF, DL, AG-S, and AS discussed the results. RB and AS supervised the study and wrote the paper. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2020.00347/full#supplementary-material>

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Per2 Upregulation in Circulating Hematopoietic Progenitor Cells During Chronic HIV Infection

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Chronic HIV infection accelerates immune aging and is associated with abnormal hemato-lymphopoiesis, but the relationship between HIV-induced aging and Hematopoietic Progenitor Cells (HPC) function is not well-defined. In the context of aging, it has been demonstrated using a murine model that Per2 (Period circadian clock 2) is a negative regulator of HPC survival and lineage potential. A possible involvement of Per2 modulation on hematopoietic failure during HIV infection has not yet been investigated. The aim of this study was to analyze whether Per2 is differently expressed and regulated on HPC during HIV infection, possibly providing a therapeutic target to restore lymphoid potential in the HPC compartment. To this purpose, Per2 expression in circulating HPC was compared in 69 chronic HIV infected patients under successful ART and in matched 30 uninfected healthy donors (HD). HPC aging was assessed by measuring relative telomere length (RTL), and HPC functionality was evaluated by Colony Forming Cell (CFC) assay from both *ex vivo* HIV+ patients and *in vitro* Per2 overexpressing donors. Our results showed a lower RTL in HPC and a decrease of white progenitor colonies from HIV+ patients with lower CD4 respect to those with higher CD4 T cell count (<500 respect to >500 CD4 T cell/mm³). Interestingly, we found that the frequency of Per2-expressing HPC is higher in HIV+ patients than in HD and correlated to RTL of CFC derived cells, highlighting a relationship between low proliferative rate and Per2 expression. Indeed, the *in vitro* overexpression of Per2 resulted in a significant decrease of white progenitor colonies respect to control cells. Finally, we showed that the deacetylase Sirtuin 1, a negative regulator of Per2, was downregulated in HPC from HIV+ patients, and the peripheral blood treatment with resveratrol (Sirtuin 1 inducer) determined a decrease of Per2 expressing HPC. Altogether, these results suggest that during HIV infection, Per2 is involved in the regulation of HPC expansion and differentiation and its overexpression may impair the immune reconstitution. These data support the rationale to explore the role of this regulatory mechanism during aged-associated hemato-lymphopoiesis impairment in HIV infection.

Keywords: hematopoietic progenitor cells, HIV, senescence, telomere length, period circadian clock 2, Sirtuin 1

INTRODUCTION

It is generally accepted that stem cell aging is the primary factor driving the aging of tissues characterized by high cell turnover such as the immune system (Rossi et al., 2008; Geiger et al., 2013). Indeed, hematopoiesis declines with age, resulting in a reduced production of immune cells (a process termed immune senescence) and in an increased frequency of myeloid malignancies (Beerman et al., 2010; Pietras et al., 2011). Aged hematopoietic progenitors cells (HPC) exhibit an impairment in lymphoid and erythroid lineage differentiation, while maintain or increase myeloid lineage differentiation potential (Geiger et al., 2013). This decline is thought to contribute to the evolution of immune defects, limiting overall fitness, and organismal survival during aging (Su et al., 2013). A robust indicator of the proliferative history of a cell, and how close this cell is to reaching senescence, somehow reflecting its “age,” is represented by telomere length (Hodes et al., 2002). Indeed, telomeres (long nucleotide repeats at the end of the chromosomes) shorten with every cell division, and thus are markers for cellular aging, senescence, and replicative capacity.

Even if life span is getting closer to that of the general population, HIV+ patients, and mainly the older ones, present an increased prevalence of age-related comorbidities (Pathai et al., 2014; Lagathu et al., 2017). An important matter is whether aging mechanisms associated with HIV-infection are similar or not to those observed in the general population. In HIV infected subjects the immune senescence has been associated with negative immune outcomes, such as thymic involution and poor antigen responsiveness (Deeks, 2011; Lagathu et al., 2017). Moreover, the leukocytes telomere length was lower in viremic or ART-controlled HIV-infected patients than in uninfected individuals (Effros, 2000; Zanet et al., 2014; Liu et al., 2015) and was associated with poor immune recovery.

In a murine model of aging, it has been demonstrated that Per2 (circadian rhythm gene) is a negative regulator of HPC survival and lineage potential, suggesting a strict relationship between Per2 expression and hematopoiesis effectiveness (Wang J. et al., 2016). Per2 is a transcription factor that binds E-boxes and is mainly studied in the mammalian central nervous system in the context of circadian rhythms. However, E-boxes have been demonstrated to play a crucial role in lymphopoiesis (Ephrussi et al., 1985) and Wang J. et al. (2016) identified Per2 as a gene regulating HSC potential in the context of critically short telomeres. Moreover, several studies reported that Per2 is regulated at multiple layers by Sirtuin 1, a protein deacetylase that negatively regulates Per2 expression in aging process (Asher et al., 2008; Chang and Guarente, 2013; Wang R. H. et al., 2016). Moreover, Sirtuin 1 is considered a multitask molecule as it may act on co-activators, transcription factors, and signaling molecules (p53), forkhead box O1 (FOXO, and RelA/p65) (Rajendrasozhan et al., 2009) with anti-apoptotic, anti-aging, and anti-inflammatory properties (Rahman et al., 2012; Hwang et al., 2013; Mendes et al., 2017; Chadha et al., 2019). Interestingly, Kwon et al. (2008) reported that HIV transactivator Tat inhibits the Sirt1 deacetylase, resulting in increased acetylation of NF- κ B and subsequently in T cell hyperactivation.

Whether Per2 induction in HPC could be involved in hematopoietic failure during HIV infection and the possible Sirtuin 1 implication in this process have not been investigated yet. The aim of this study was to analyze Per2 expression in circulating HPC during chronic HIV infection and its relationship with HIV-associated aging.

MATERIALS AND METHODS

Study Population

The study was performed on 69 peripheral blood samples from HIV+ patients and 36 from healthy individuals (HD) afferent to National Institute of Infectious Diseases (INMI) “Lazzaro Spallanzani” (Rome, Italy). Sixty-nine HIV+ patients on antiretroviral therapy with a CD4 T cell counts ranging from 130 to 2,001 cells/mm³ (median 471 cells/mm³), and the viral loads undetected at least from 1 year, were recruited for the purpose of this study. All patients were selected by excluding any co-morbidity as HCV, HBV, EBV, MTB co-infections, and malignancies. The HIV-seronegative donors were used as a control and were processed under the same conditions used for samples obtained from HIV-infected donors. The study was approved by the Institutional Review board of INMI “Lazzaro Spallanzani” (Approval No. 70 dated December 17, 2018). Residual blood samples obtained from CD4 and CD8 routine analysis were completely anonymized. The characteristics of the study population are presented in **Table 1**.

Peripheral Blood Mononuclear Cells Isolation and CD34+ Cells Purification

Peripheral blood mononuclear cells (PBMCs) were isolated from peripheral blood by density gradient centrifugation (Lympholyte-H; Cedarlane). Freshly isolated CD34+ Hematopoietic Progenitor Cells were purified from PBMC by a two-step magnetic procedure involving a lineage depletion, followed by a CD34 isolation (CD34 Diamond isolation kit, Miltenyi Biotec). For RTL quantification, CD34+Lin- cells were purified from pooled samples and verified the purity by flow cytometry (>85%).

Reagents and Flow Cytometry

The levels of *ex vivo* Per2 expression was evaluated using whole blood 24 h after draw. Two hundred microliter of whole blood was stained for 20 min at 4°C with surface antibody

TABLE 1 | Characteristics of the study population.

Parameter	HIV+ CD4>500 subset (n = 33)	HIV+ CD4<500 subset (n = 36)	HD (n = 36)
Age (year)	44 (38–49.5)	46 (38.5–50.75)	43 (39–56)
Sex (F/M)	14/19	12/24	21/15
CD4 T cell count	857 (753.5–1,175)	374 (296–430)	
CD4/CD8 T cell ratio	1.3 (0.76–1.86)	0.5 (0.33–0.86)	

All data are presented as median (IQR).

anti-CD34 APC and anti-CD45 V500 (Becton-Dickinson BD); following monoclonal staining, BD Pharm Lyse (BD Biosciences) lyse solution was used to lyse red blood cells according to standard procedures and fixed with 4% paraformaldehyde. After red cell lysing, cells were incubated with anti-PER2 antibody (ab179813 Abcam) or anti-Sirt1 Alexa Fluor 488 (ab196368 Abcam) for intracellular staining 20 min at room temperature using a permeabilizing buffer containing 0.5% saponin (PBS1X/BSA 1%/NaN₃ 0.1%/Saponin 0.5%) and then washed with 0.1% saponin buffer. Alexa Fluor 488-conjugated goat anti rabbit (Invitrogen) diluted in staining buffer containing 0.1% saponin was used as secondary antibody. To evaluate cell viability, we used the 7AAD staining. In some experiments 1 mL of whole blood of HIV infected patients was stimulated with different concentrations of Resveratrol (R5010- Sigma-Aldrich) and YK-3-237 (Tocris) overnight at room temperature (see section results for details). After stimulation, the frequency of HPC expressing Per2 was evaluated by flow cytometry. At least 300,000 total cell events were acquired on FACSCanto II (Becton-Dickinson BD) flow cytometer and analyzed using DIVA software.

DNA Extraction and RTL Quantification

Genomic DNA was extracted from PBMCs with Quick-gDNA miniprep Kit (Zymo Research) according to manufacturer's instruction. The relative telomere length was measured using the Absolute Human Telomere Length Quantification qPCR Assay Kit (AHTLQ; ScienCell Research Laboratories) according to manufacturer's instruction. Briefly, quantitative real-time PCR (qRT-PCR) was performed with 10 ng of total DNA, FastStart Essential DNA Green Master (Roche Life Science), and two primer set, telomere specific primer set (TEL) and single copy reference primer set (SCR). qRT-PCR reactions were always performed in duplicates. The relative telomere length was calculated using the Comparative $\Delta\Delta C_q$ (Quantification Cycle Value) method. The value of $\Delta\Delta C_q$ was calculated by the difference of ΔC_q (TEL) and ΔC_q (SCR), the relative telomere length was calculated by $2^{-\Delta\Delta C_q}$. The run was performed on Corbett Research Rotor-Gene RG-6000.

Colony-Forming Cell (CFC) Assay

PBMC from subjects were not purified according to CD34 cell expression because of a limited concentration of cells available (Redd et al., 2007). PBMC were seeded at 10^6 cells/mL into complete methylcellulose medium (MethoCult complete medium with necessary cytokines and growth factors; StemCell Technologies) following the manufacturer's instructions. Each experiment was performed in duplicate (35 mm Petri dish format) and after 14 days of incubation, erythroid colonies (BFU-E, CFU-E) and white colonies (GM-CFU, GEMM-CFU) were counted using an inverted light microscope. Colonies obtained from Methylcellulose Assay (CFC assay) of HIV and HD were selected, washed twice in PBS and pooled for real time PCR analysis of RTL.

Sirt1 Expression

Total RNA was extracted from PBMC after whole blood Resveratrol stimulation using TRIzol reagent (Thermo Fisher Scientific), and cDNA synthesis was generated from 1 μ g of

RNA using the reverse transcription kit (Promega) according to manufacturer's recommendations. Real time PCR reactions were performed with the Corbett Research Rotor Gene 6000 analyzer using FastStart Essential DNA Green Master (Roche Life Science) according to the manufacturer's instructions. Two microliter of cDNA was used as template and cycling parameters were 95°C for 10 min, followed by 40 cycles of 92°C 10 s, 62°C 10 s, 72°C 10 s. Levels of RNAs were normalized to the GAPDH level using the equation $2^{-\Delta C_t}$. Primers Sirt1 forward: F: GCAGATTAGTAGGCGGCTTG, Sirt1 reverse: TCTGGCATGTCCCACTATCA; GAPDH_F: GTGGAATCATATTGGAACA TGT GAPDH_R: CTCTCTGCTCCTCCTGTTCGACAG.

Lentiviral Production and Viral Infection

For lentiviral production, HEK293T cells were cotransfected with 10 μ g of lentiviral vectors, 2.5 μ g pVSV-G plasmid, and 7.5 μ g of psPAX2 plasmid using the calcium phosphate method, as previously described (Refolo et al., 2019). Per2 lentiviral vector specific for human Per2 (pLenti-GIII-CMV-C-term-HA, LV260156) and GFP lentiviral vector used as a control of infection (pLenti-CMV-GFP-2A-Puro) were purchased from ABMGood. Lentiviral productions were tested by infecting HuH7 cells with serial dilutions and counting the number of cells resistant to puromycin selection upon 3 days. In addition, they were tested for the levels of Per2 expression upon 293T infection by western blotting by using anti-HA antibody. 1×10^6 freshly isolated PBMC were infected with 100 μ l of viral suspension in RPMI medium supplemented with 4 μ g/ml polybrene for 18 h and after collected for flow cytometry and CFC assay.

Statistics

Quantitative variables were compared with non-parametric Mann-Whitney or Kruskal-Wallis test. Spearman rank test was used to determine correlations. Wilcoxon test was used for matched samples. A $p < 0.05$ was considered statistically significant. Statistical analyses were performed using GraphPad Prism v7.0 (GraphPad Software, Inc.).

RESULTS

Telomere Length and Differentiation Capability of HPC From HIV-Infected Patients

To assess the relationship between aging and immune reconstitution during chronic HIV infection, we first evaluated the level of aging and functional properties of circulating Hematopoietic Progenitor Cells (HPC) in comparison to those in age-matched uninfected adult. To this purpose, the non-viremic ART-treated HIV infected patients were separated into two distinct groups according to their CD4 T-cell count, as a marker of progression state and immunological reconstitution: (i) low immunological responder (CD4 T cells $<500/\text{mmc}$, LowIR); (ii) immunological responder (CD4 T cells $>500/\text{mmc}$, IR).

To evaluate a possible association between the immune reconstitution in HIV patients and the expansion/differentiation of HPC, we performed the Colony-Forming Cell (CFC)

assay. Specifically, the generation of white [i.e., granulocytes-macrophages (CFU-GM) and granulocyte-erythroid-macrophage-megakaryocyte (CFU-GEMM)] or red (i.e., erythroid CFU and erythroid burst-forming unit) progenitor colonies was analyzed in LowIR and in IR and in HD. We showed that the capacity of circulating HPC to produce white progenitor colonies appeared to be preferentially impaired during HIV disease progression (LowIR vs. IR, $p < 0.05$, and LowIR vs. HD, $p < 0.05$, **Figure 1A** left panel) in comparison to HD and IR, while the erythroid lineage was not significantly affected (**Figure 1A** right panel).

To evaluate the impact of HPC senescence, the analysis of relative telomere length (RTL) was performed after CFC assay both in HD and in HIV subjects (**Figure 1B**). Interestingly, we found an inverse correlation between the telomere length after culture and the number of white CFC ($r: -0.52$, $p = 0.04$), suggesting a block in telomere shortening in patients with an impairment in white cells differentiation.

No impact of different cART regimens (NRTI, nucleoside reverse-transcriptase inhibitors; PI, protease inhibitors; NNRTI, non-nucleoside reverse-transcriptase inhibitors; INSTI, Integrase Strand Transfer Inhibitor) on CD34 frequency was observed [(NRTI+PI: median 0.031%, IQR: 0.025–0.10) vs. (NRTI+NNRTI: median 0.053%, IQR: 0.03–0.08) vs. (NRTI+INSTI: median 0.037, IQR 0.03–0.2)]. Altogether the results highlight a relationship between HPC senescence and dysfunction in ART-treated HIV-infected patients.

Increase in the Frequency of HPC Expressing Per2 During Chronic HIV Infection

To verify whether the hematopoietic failure observed in HIV infected patients may be associated to a higher Per2 expression, we analyzed the percentage of HPC expressing Per2

in chronically HIV+ patients. Interestingly, we found that the frequency of Per2-expressing HPC is higher in HIV+ patients than in HD [median lowIR = 5% (IQR 1.4–22), median IR = 5% (IQR = 2.7–11.4) vs. median HD = 3% (IQR 0.75–5.25) $p < 0.05$, **Figures 2A,B**]. No statistically differences in Per2 expression in HPC between lowIR e IR were found.

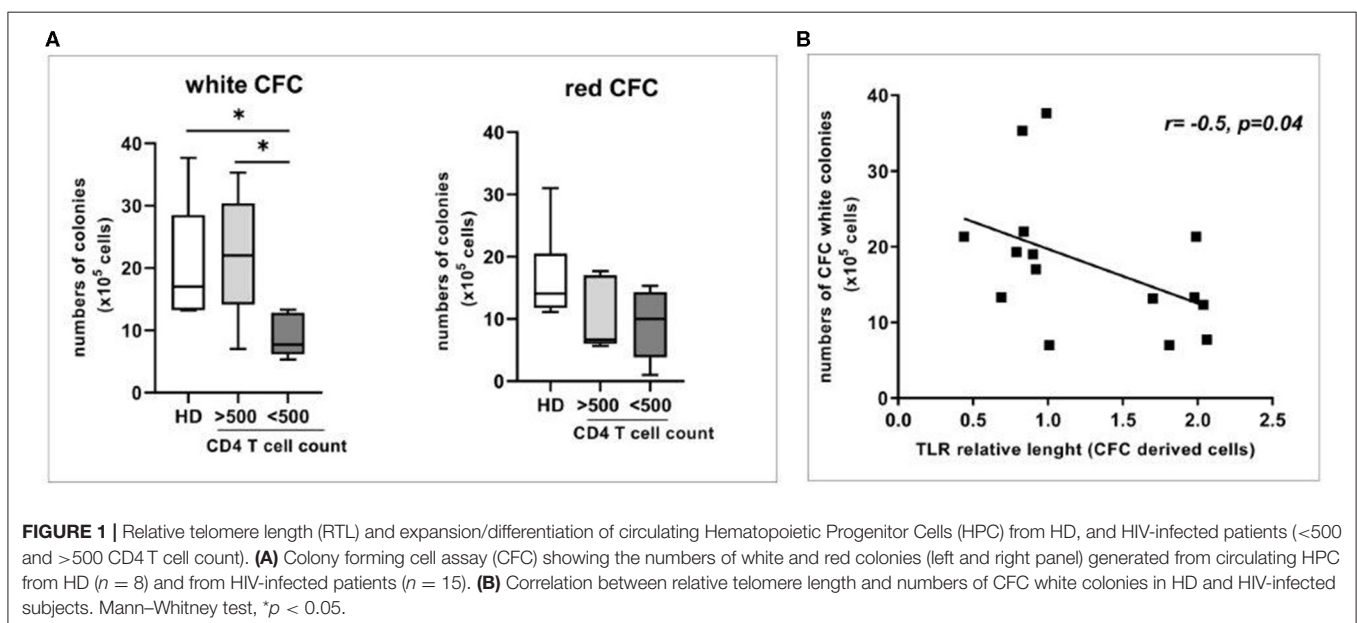
To assess a possible relationship between Per2 expression and HPC aging, we analyzed the RTL after HPC expansion and differentiation from HIV+ patients. Interestingly, we found that the frequency of Per2-expressing HPC is directly correlated to RTL of CFC derived cells, underlining a low proliferative rate in relationship with Per2 expression ($r = 0.6$, $p = 0.04$, **Figure 2C**).

Per2 Overexpression Induces a Decrease in CFC White Colonies Expansion

The above data indicated that Per2 is higher in HIV-infected subjects under successful ART. To finally demonstrate that Per2 is directly associate with the impairment in circulating HPC expansion and differentiation, we overexpressed Per2 in PBMC from HD and performed CFC assay. The lentiviral infection did not affect CD34 frequency. When PBMC were infected with Per2 expressing lentiviral vector, the percentage of CD34+ cells expressing Per2 increase over 60% (**Figure 3**). We found a significant decrease of white colonies, but not red colonies, when Per2 is overexpressed with respect to GFP infection that was used as control ($p < 0.05$, **Figure 3B**).

Sirt1 Expression on HPC and Downregulation of the Expression of Per2 in Response to Resveratrol Stimulation

To investigate how Per2 is regulated in HPC from HIV+ patients, we analyze the expression of the deacetylase Sirtuin 1 (Sirt1), a negative regulator of Per2 previously associated to aging modulation (Chang and Guarente, 2013). As showed in



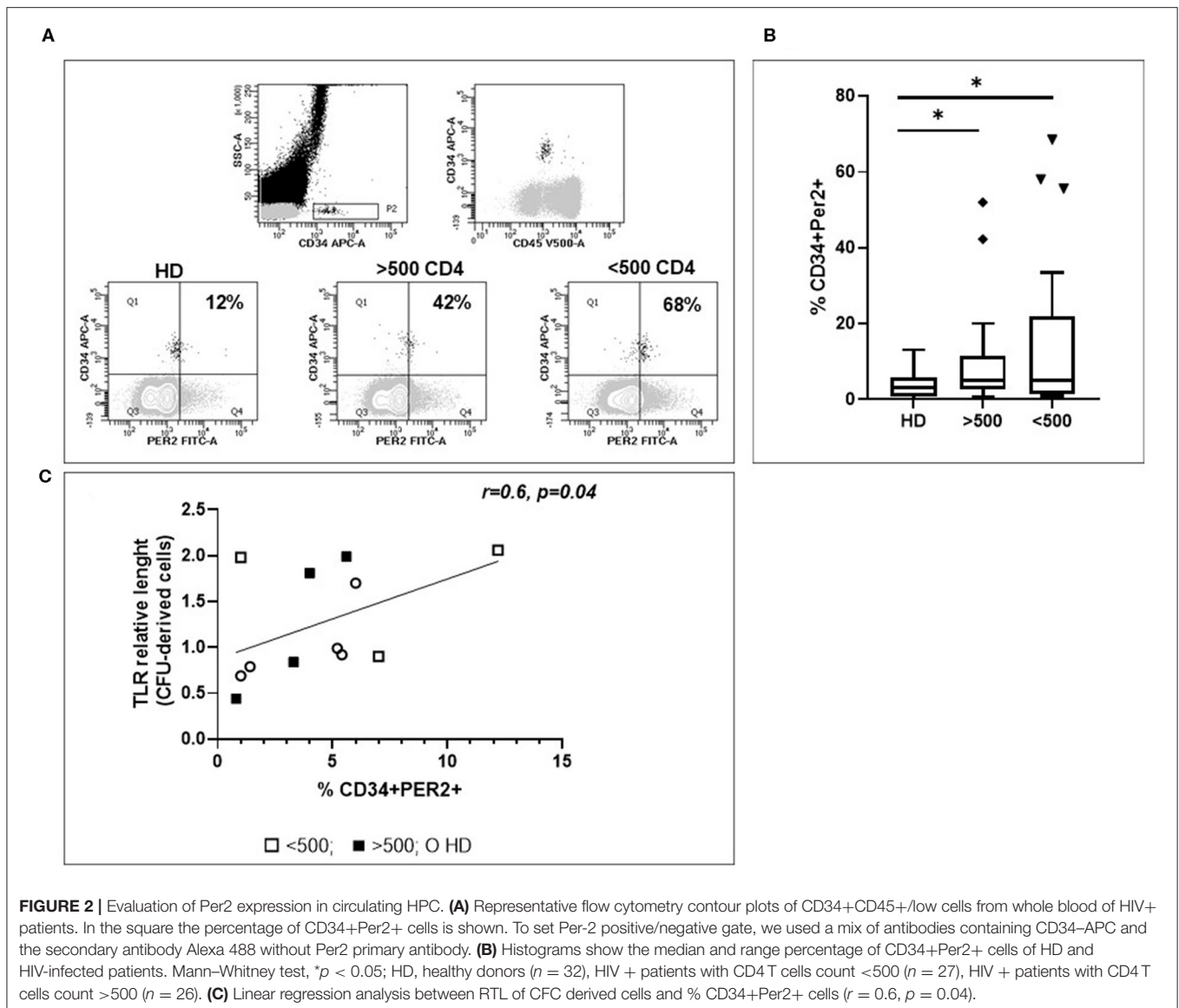


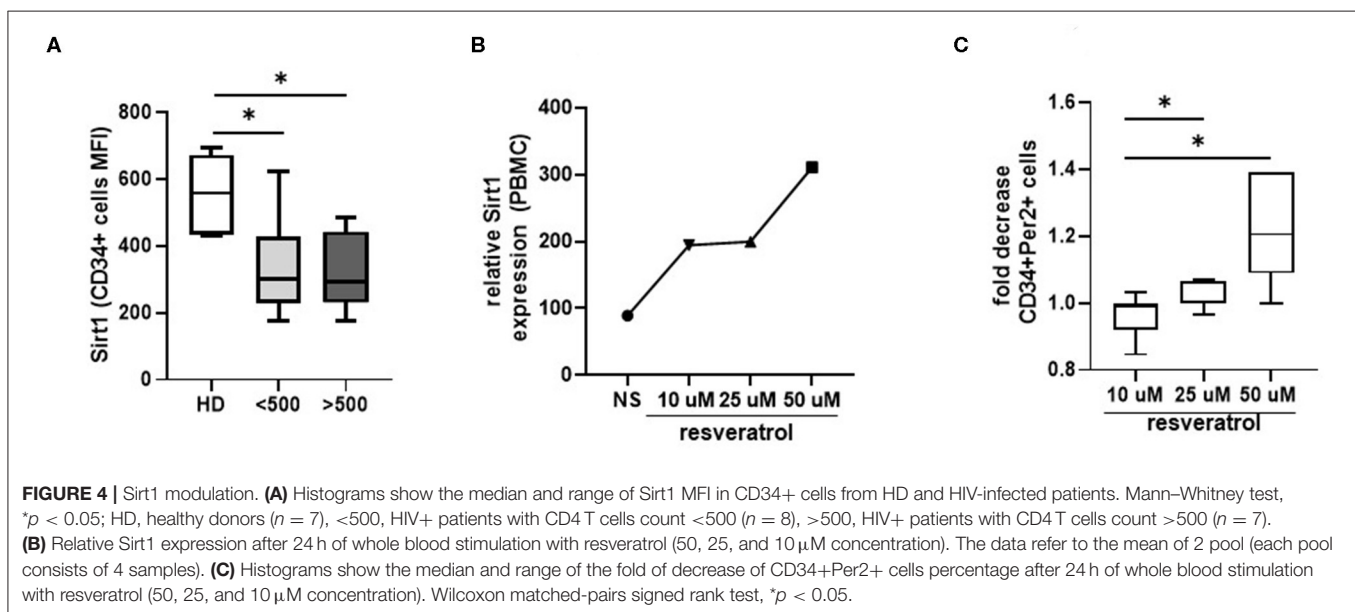
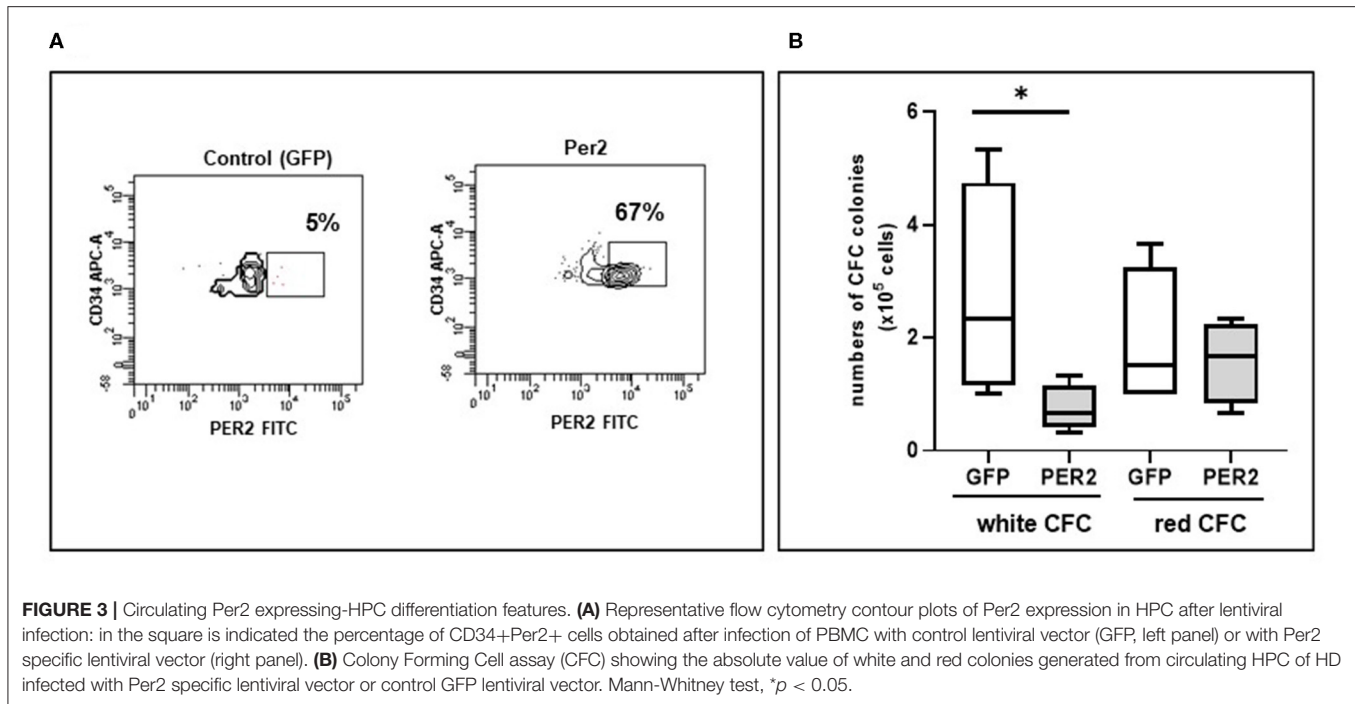
Figure 4A, we observed a significant lower expression of Sirt1 on circulating HPC from HIV+ patients respect to HD ($p < 0.05$), suggesting a dysregulation in the Per2/Sirt1 pathway during HIV infection. To better elucidate the Per2-Sirt1 axis, we wondered whether the stimulation of Sirt1 expression could modulate the percentage of Per2-expressing HPC. To this purpose, we treated whole blood of HIV+ patients with resveratrol, a compound known to induce the expression and activity of Sirt1, and the percentage of CD34+Per2+ cells was analyzed by flow cytometry. This treatment did not affect the cell viability as measured by 7AAD staining (% 7-AAD+ cells: unstimulated 7.2% vs. Resveratrol 9.8%, $p = 0.5$). As reported in **Figures 4B,C**, we found that resveratrol induces Sirt1-mRNA in PBMC from HIV+ patients and a significant dose dependent decrease of Per2-expressing HPC ($p < 0.05$). Finally, we tested the efficacy of YK-3-237, a more specific Sirt-1 targeting drug, to reduce Per2 expression on CD34 cells [fold of decrease: YK-3-237 0.01 μ M:

0.99 (0.97–1.02) vs. 1 μ M:1.04 (1.02–1.07, $p < 0.03$)], confirming the involvement of the Sirt1 in the regulation of Per2 in HPC during chronic HIV infection.

DISCUSSION

In HIV infected patients, several mechanisms influencing the immune restoration has been described, such as inflammation and immune activation (Sauce et al., 2011; Bordoni et al., 2017), upregulation of apoptosis of CD34+ cells (Isgro et al., 2008), and the reduction of CD34 + CD7 + CXCR4 + T cell progenitors (Tsukamoto, 2019), but the relationship between aging and HPC in ART-treated HIV patients has not been clarified.

Wang and co-authors identifies period circadian clock 2 (Per2) as a critical factor limiting the maintenance of hematopoietic stem cells in response to DNA damage and aging in mice (Wang J. et al., 2016). The authors show that the selective



induction of Per2 in lymphoid precursors is likely to contribute to the reduction of lymphopoiesis, and in the maintenance of immune functions in aging mice. In this paper we found that Per2 is overexpressed in circulating HPC of ART treated HIV infected patients respect to healthy donors. Moreover, Per2 expression on HPC is inversely correlated to telomeres length of progenitors, indicating the low replicative potential or growth arrest when Per2 is induced.

In human hepatocytes it was reported that Per 2 expression is negatively regulated by the Sirt1 deacetylase (Wang R. H. et

al., 2016). In this study, we found a lower expression of Sirtuin 1 in circulating HPC from HIV patients when compared to healthy controls, suggesting a possible relationship between the low Sirtuin expression and the high Per2 expression on HPC from HIV infected patients. Accordingly, when inducing *in vitro* Sirtuin expression by treating whole blood with resveratrol, the percentage of Per2 expressing HPC significantly decrease. These data showed for the first time the axis sirtuin1-Per2 in HPC in HIV-infected patients and highlight new interesting pathways regulating HPC potential.

A number of *in vivo* studies that utilize Sirt1^{-/-} mice have demonstrated that Sirt1 positively regulates stemness in HSCs, highlighting the involvement of ROS elimination, FOXO activation, and inhibition of p53 (Matsui et al., 2012; O'Callaghan and Vassilopoulos, 2017). However, the involvement Sirt1 down regulation in HPC function during HIV infection has not been elucidated. Furthermore, some sirtuins seem to be involved in aging and cell senescence, although data from different experimental models are controversial (Lin et al., 2000; Mostoslavsky et al., 2006; Rodriguez et al., 2013).

An important role of Sirtuin family has been described in the regulation of inflammatory response (Hwang et al., 2013; Mendes et al., 2017; Chadha et al., 2019). Sirt1 has been shown to suppress NF- κ B activity, the regulator of cellular inflammatory response and increase antioxidant gene expression that suppressed inflammation (Mendes et al., 2017). During senescence, the level of Sirt1 mRNA is finely regulated by HuR (Hu antigen R) and Checkpoint kinase (Chk). Decreased levels of HuR and increased levels of Chk2 synergize to decrease Sirt1 mRNA and protein levels during senescence (Kwon and Ott, 2008). Therefore, it is possible that the mechanism of senescence triggered by immune-activation and inflammation lead to a decrease of Sirt1 protein levels.

Finally, Sirt1 can be also inhibited by HIV-Tat protein resulting in increased acetylation of the NF- κ B p65 subunit and subsequently in T cell hyperactivation (Kwon et al., 2008). Although this mechanisms can play a role in viremic phase of HIV infection, the patients enrolled in this study were under successful ART (undetectable virus in the circulating blood). Therefore, is unlikely that Sirt1 activity in HPC was inhibited by HIV-Tat protein. Nevertheless, the effect of *in vitro* HIV-1 infection (X4- and R5-tropic strains) on Sirt-1 expression and function could be useful to depict the role of viral components on Sirt-1/Per2 axis.

Our results showed an altered differentiation capacity of circulating HPC from ART-treated HIV infected patients, in line with previous observations obtained in bone marrow samples from viremic HIV subjects (Moses et al., 1998; Sauce et al., 2011). The HPC impairment was associated to a block in telomere shortening after long term culture, suggesting a relationship between HPC senescence and functional impairment in HIV disease. Of note, we showed that the overexpression of Per2 may modulate HPC expansion and differentiation, as confirmed by *in vitro* overexpression. Further analysis are mandatory to understand the factors driving Sirt-1/Per2 dysregulation observed in HIV infected patients and their possible impact on lymphopoiesis and immune reconstitution efficacy. Moreover,

we have to take into account a possible involvement of different drugs of ART regimens on Per2 expression. In fact, it was reported that the ART regimens containing the nucleoside analog reverse transcriptase inhibitors can alter telomerase reverse transcriptase activity, resulting in telomere length shortening in leukocytes (Hukezalie et al., 2012; Leeansyah et al., 2013; Lagathu et al., 2017).

Altogether, these results suggest that in viral suppressed HIV-infected patients the axis Sirt1/Per2 is unbalanced: Per2 increase in HPC and its overexpression may contribute to the impairment in immune reconstitution and accelerate the aging process.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT

This study was approved by the Institutional Review board of INMI Lazzaro Spallanzani (Approval No. 70 dated December 17, 2018). Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

AUTHOR CONTRIBUTIONS

VB conceived the study, performed the experiments, analyzed the data, and prepared the manuscript. AA was charge of patients. ET performed the experiments and analyzed the data. AS analyzed the data and provided comments to the manuscript. GR performed the lentiviral infection experiments. GG performed flow cytometry experiments. CA and GF analyzed the data and prepared the manuscript. All authors read, reviewed, and approved the final manuscript.

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The CD133⁺ Stem/Progenitor-Like Cell Subset Is Increased in Human Milk and Peripheral Blood of HIV-Positive Women

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Human milk is a significant source of different CD133⁺ and/or CD34⁺ stem/progenitor-like cell subsets in healthy women but their cell distribution and percentages in this compartment of HIV-positive women have not been explored. To date, a decrease of CD34⁺ hematopoietic stem and progenitor cell frequencies in peripheral blood and bone marrow of HIV-positive patients has been reported. Herein, human milk and peripheral blood samples were collected between day 2–15 post-partum from HIV-positive and HIV-negative women, and cells were stained with stem cell markers and analyzed by flow cytometry. We report that the median percentage of CD45⁺/highCD34[−]CD133⁺ cell subset from milk and blood was significantly higher in HIV-positive than in HIV-negative women. The percentage of CD45^{dim}CD34[−]CD133⁺ cell subset from blood was significantly higher in HIV-positive than HIV-negative women. Moreover, percentages of CD45^{dim}CD34⁺, CD45^{dim}CD34⁺CD133[−], and CD45^{high}CD34⁺CD133[−] cell subsets from blood were significantly lower in HIV-positive than HIV-negative women. The CD133⁺ stem/progenitor-like cell subsets are increased in early human milk and blood of HIV-positive women and are differentially distributed to CD34⁺ cell subset frequencies which are decreased in blood.

Keywords: CD133, CD34, CD45, HIV-1, human milk

INTRODUCTION

The percentage of activated CD4⁺ T cells productively infected by HIV-1 in the peripheral blood of asymptomatic individuals is relatively low (Simmonds et al., 1990). Thereby, the susceptibility to HIV infection and AIDS progression cannot be explained only by the consequence of a direct perturbation on mature activated immunological cells, but through non-immunologic cells including stem and progenitor cells (Re et al., 1994).

Different phenotypes of stem/progenitor cells have been described using the CD34 marker in different compartments. More recently, CD133 has taken considerable importance because it allows characterization of different stem/progenitor cell subsets when used alone or in combination

with CD34, and because it describes more precisely different cell subsets which vary between organs (Handgretinger and Kuçi, 2013). For example, human cord blood (CB) or bone marrow (BM)-derived CD133⁺ cells have characteristics of primitive hematopoietic cells (Handgretinger and Kuçi, 2013), CD34⁺CD133⁺ cells of BM were enriched in primitive and myeloid progenitor cells, whereas CD34⁺CD133⁻ cells from CD45⁺ population contained B cells and late erythroid progenitors and CD34⁻CD133⁺ cells could commit to T, B, and natural killer cells lineage (Bühning et al., 1999).

In the HIV infection context, CD34⁺ hematopoietic and progenitor cells (HSPCs) were intensely investigated. Overall, CD34⁺ stem/progenitor cells are susceptible to HIV infection, an infection that impairs the differentiation and proliferation capacities of these cells (Zauli et al., 1992a,b). As a consequence, their numbers were decreased in BM and peripheral blood (PB) of HIV-positive individuals compared to HIV-negative donors (Zauli et al., 1992a,b). It was suggested that the impairment could contribute to the HIV-1/AIDS outcome by inhibiting the production of mature blood cells or BM-accessory cell populations (macrophages, fibroblasts and T cells) (Zauli et al., 1992a; Davis and Zauli, 1995; Guo et al., 2016; Bordoni et al., 2017). Subsequent studies observed that CCR5 and CXCR4, the main receptors used by HIV to infect CD4⁺ T cells, are also expressed at the surface of CD34⁺ HSPCs (Carter et al., 2011). Of note, CXCR4 was more widely expressed on multipotent CD34⁺ cells than CCR5, and its sole expression renders these cells permissive to HIV-1 infection when the sole expression of CCR5 could not (Carter et al., 2011). Furthermore, CD34⁺ cells, as well as CD133⁺ cells from BM and PB, harbored latent HIV provirus, and some authors have suggested that these cells could be potential HIV reservoirs (McNamara et al., 2013; Sebastian et al., 2017). However, there are very few studies unveiling stem/progenitor cell phenotypes and their cell frequencies assessed by CD133⁺ stem cell marker upon HIV infection. It was reported that the percentage of CD133⁺ cells from peripheral blood characterized as endothelial progenitor cells (EPCs) was increased in HIV-positive individuals with suppressed VL when compared to healthy controls (Papasavvas et al., 2012; Vecchiet et al., 2013).

In human milk (HM), stem/progenitor cells have been previously identified (Hassiotou et al., 2012; Indumathi et al., 2013). We recently identified the presence of a large and heterogeneous proportion of CD133⁺ and/or CD34⁺ stem/progenitor-like cell phenotypes from the mononuclear cell population in HM from healthy women (Valverde-Villegas et al., 2019). Also, we reported that some CD133⁺ cell subset phenotypes were far more frequent in HM than in PB. The reasons for having such a high number of stem cells in HM are unclear and it cannot be overlooked since it was hypothesized that these cells are transferred to the offspring during breastfeeding and are likely to integrate into different organs and have an active role in the development of the neonate (Molès et al., 2017, 2018). Furthermore, HIV-infected women shed both cell-associated HIV and cell-free HIV in HM which

can be responsible for HIV-1 mother-to-child transmission during the breastfeeding period (Van de Perre et al., 2012; Rutagwera et al., 2019).

Taken together, our aims are to compare the distributions and percentages of CD133⁺ and/or CD34⁺ stem/progenitor-like cell subsets from HM in HIV-positive women to HIV-negative women and to its counterpart peripheral blood.

METHODS

Design, Population, and Sample Preparation

The design of this study was a prospective observational cross-sectional study. Twenty-four HIV-infected mothers and 10 healthy mothers were recruited during pregnancy or after delivery at Mother and Newborn Hospital of the University Teaching Hospitals in Lusaka, Zambia. All participants fulfilling the inclusion criteria (aged of 18-year or more and do not suffer from serious medical events) signed an informed consent form before enrolment. Samples were collected for a consecutive period of 3 months in 2014. This study was approved by the ERES Converge institutional review board (00005948 IRB number, Lusaka) and data collection, as well as samples and, all experiments followed ethical procedures according to the Declaration of Helsinki.

Approximately, between 8–50 mL of HM from each breast and 10mL of PB samples were collected between day 2 to day 15 post-partum. Samples were centrifuged at 1,200 g for 15 min at 4°C within the next 4 h after collection to separate lactosera and cell pellets of HM. Plasma was isolated after centrifugation of peripheral blood. Peripheral blood mononuclear cells (PBMCs) from PB were recovered from the Ficoll-plasma interface, washed three times in PBS/2% Fetal Bovine Serum. Samples were immediately cryopreserved and stored at –80°C until further laboratory analysis.

Flow Cytometry Analyses

Cell pellets from each breast milk were thawed and pooled. Cells were stained using CD34-FITC, CD133-PE, CD45-APC Alexa-fluor700, CD38-PerCP-Cy5.5, and, a cocktail of lineage-committed antibodies conjugated with APC: CD4, CD8, CD11c, CD14, CD16, CD19, CD20 along with Live/Dead™ Fixable Near-IR viability marker. Fluorescence Minus One (FMO) negative controls for CD34 and CD133 expression were included in each experiment. The cellular acquisition was performed on LSRFortessa™ cell analyzer (Becton Dickinson) and analyses were performed using FlowJo® software v10.0 (FlowJo LLC, Ashland, USA). Of note, we used the CD34-FITC, clone 581, which is recommended by the International Society of Hematotherapy and Graft Engineering (ISHAGE) for CD34⁺ cell quantification by flow cytometry (Sutherland et al., 1996), as this clone recognizes a class type III epitope and avidly binds to all glycoforms of CD34. Stem/progenitor-like cells were identified through CD34, CD133, and CD45. Details of fluorochrome-conjugated antibodies as well as

the gating strategy were previously described (Valverde-Villegas et al., 2019 and see **Supplementary Figure 1**). Also, progenitors using lineage negative and CD38 were identified as $CD34^+CD38^-Lin^-$ and $CD34^+CD38^+Lin^-$ as well as $CD34^+CD133^+Lin^-$ and $CD34^+CD133^-Lin^-$, each one in the $CD45^{dim}$ and $CD45^{+/high}$ populations.

HIV Viral Load Quantification

Viral RNAs from 560 μ L of lactoserum or 200 μ L of plasma were manually extracted with QIAmp[®] Viral RNA Mini Kit (Qiagen[®], Hilden, Germany), following the manufacturer's instructions. An internal extraction control was added to the lysis buffer prior to extraction. RNAs from five HIV standards (3–7 \log_{10} copies/mL), from one positive and one negative controls, were also extracted in the same batch. The qRT-PCR was performed using Generic HIV Charge Virale kit (Biocentric[®], Bandol, France) following manufacturer's instructions. Amplification reaction was done using LightCycler[®] 480 (Roche, Indianapolis, USA) and quantification against the standard curve. The viral load in plasma and lactoserum samples were qualified as undetectable when it was below 1,000 copies/mL. Mean values of the viral load from right and left breast samples were used for analyses.

Subclinical Mastitis (SCM): Ratio $[Na^+/K^+]$ Quantification

Na^+ and K^+ lactoserum concentrations were measured by Compact K^+ and Na^+ meters according to manufacturer's recommendations (Horiba Ltd., Kyoto, Japan). Before each measurement, the calibration was done with low (150 ppm) and high (2,000 ppm) standards. The $[Na^+/K^+]$ ratio >1 was indicative of SCM as suggested in a previous study (Tuaillon et al., 2017).

Cytokines/Chemokines Measurement

Seven cytokines/chemokines, namely IL-1 β , IL6, IL8, TNF- α , CXCL10, CXCL12, and IFN- γ were measured independently in lactoserum and plasma using ELISA method according to manufacturer's recommendations (PeproTech, Stockholm, Sweden). A Multiskan[™] FC microplate photometer (Thermo Fisher Scientific, Vantaa, Finland) was used to measure absorbance according to manufacturer's recommendations. Mean values of cytokines/chemokines levels from the right and left breast samples were used for analyses.

Statistical Analyses

Quantitative variables were reported as median and interquartile range (IQR). Group comparisons were performed using Mann Whitney *U*-test for non-Gaussian variables or Student's *t*-test for Gaussian variables. Percentages of $CD133^+$ and $CD34^+$ cell subsets of HM and its PB counterpart samples were compared between HIV-positive and HIV-negative women. Sub-analyses considering viral load were done for the HIV-positive women. In addition, correlation analyses regarding percentages of cell subsets and clinical data such as SCM, $CD4^+$ T cell counts, white blood cells (WBCs), body mass index (BMI), and cytokines/chemokines measurements from mothers

regarding were performed by Spearman's non-parametric correlation tests. The significance level was set at $p < 0.05$. Analyses were done using SPSS V20.0 (IBM Corp., 2011) and graphs were plotted using the GraphPad Prism 5.01 software (GraphPad Software Inc., San Diego, USA). The proportions represented in the pie chart figures was performed over the sum of median values for $CD34^+$ and $CD133^+$ cell subsets from mononuclear cells ($CD45^-CD34^+$, $CD45^{dim}CD34^+$, $CD45^{+/high}CD34^+$ and $CD45^-CD133^+$, $CD45^{dim}CD133^+$, $CD45^{+/high}CD133^+$). Of note, percentages of progenitor cells characterized with lineage negative (Lin^-) and/or CD38 expression were excluded from analyses because were lower and undetectable in some samples, in both HIV-positive and HIV-negative women groups.

RESULTS

Characteristics of Study Participants

Twenty-four HIV-positive participants were included in this study; 16/24 (67%) had a detectable viral load in lactoserum (right or left breast) or plasma, 11/19 (58%) received antiretroviral treatment (ART) with Atripla, and 13/24 (54%) presented subclinical mastitis (SCM). Amongst the 10 HIV-negative participants, 5/10 (50%) presented a unilateral or bilateral SCM. Demographic and clinical data of participants are shown in **Table 1**. There were no significant differences when HIV-negative and HIV-positive mothers were compared, except for $CD4^+$ T cells counts at sampling (**Table 1**).

CD133⁺ Stem/Progenitor-Like Cell Percentages in HM and PB of HIV-Positive Women

Distribution of $CD133^+$ cell subsets according $CD45$ expression from HM and PB compartments are represented in **Figure 1**. When $CD133$ positive expression was combined with $CD34$ and $CD45$ markers, the median percentage of $CD45^{+/high}CD34^-CD133^+$ cell subset phenotype from the mononuclear cell population of HM was significantly higher in HIV-positive women than HIV-negative women (2.59% [IQR:1.02–6.13] vs. 0.92% [IQR:0.24–2.96], $p = 0.04$; **Table 2**). Of note, the $CD45^-CD34^-CD133^+$ and $CD45^{dim}CD34^-CD133^+$ cell subsets were also increased in HIV-positive women vs. HIV-negative women (5.1% [1.9–8.4] vs. 1.5% [1.0–8.4], $p = 0.238$ and 5.2% [2.4–9.7] vs. 2.5% [1.5–6.8], $p = 0.179$, respectively), but the difference was not statistically significant (**Table 2**). In PB counterpart, the $CD45^{+/high}CD34^-CD133^+$ cell subset and $CD45^{dim}CD34^-CD133^+$ cell subset were significantly higher in HIV-positive women vs. HIV-negative women (1.56% [0.44–5.39] vs. 0.22% [0.13–0.36], $p = 0.004$ and 0.68% [0.12–2.25] vs. 0.06% [0.02–0.38], $p = 0.01$, respectively; **Table 2**). Indeed, when analyzed in the $CD45^-$ cell population, the median percentage of $CD45^-CD34^-CD133^+$ cell subset was detectable in HIV-positive women while in HIV-negative women it was undetectable in some samples (0.03% [0.01–0.6] vs. 0.0% [0.0–0.1]).

TABLE 1 | Demographic and clinical characteristics HIV-positive and HIV-negative women and their infants.

	HIV-positive (n = 24) Median(IQR)	HIV-negative (n = 10) Median(IQR)	p-value [§] HIV+ vs. HIV-
Women			
Age, years	27.0 (23.2–32.7)	23.5 (21.7–26.0)	0.07
Parity	1.0 (1.0–2.0)*	1.0 (1.0–2.2)	0.91
Body Mass Index (kg/m ²)	22.6 (20.1–24.2)*	22.3 (21.5–24.2)	0.63
White cell counts (cells/mm ³) ^a	7,275 (5,185–9,480)*	8,120 (1,374–9,870)*	0.84
CD4 ⁺ T cell counts (cells/mm ³) ^a	479 (357–556)*	914 (638–1,156)*	0.004
Hemoglobin (g/dL) ^a	11.1 (10.7–12.7)*	10.3 (8.7–11.9)*	0.59
VL plasma (log) ^{a,b}	4.9 (4.0–5.7)	NA	NA
VL lactoserum (log) ^{a,c}	4.3 (3.8–5.4)	NA	NA
Infants			
Birth weight (g)	2,900 (1,900–3,200)*	2,350 (1,825–2,460)*	0.06

IQR, interquartile range (25–75); VL, viral load; NA, not applicable. Bold values reached significance.

[§]Student's t-test ($p < 0.05$).

^aCounts at sampling.

^bFrom 14 detectable women.

^cFrom 13 detectable women.

*Missed information from 1 or 2 participants.

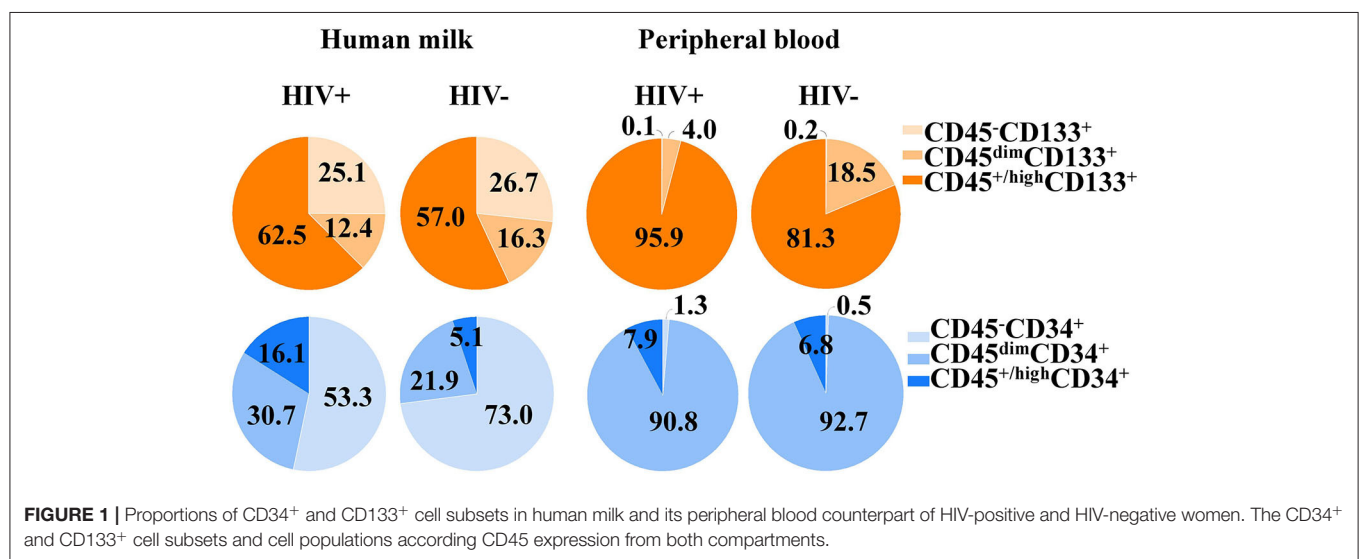


FIGURE 1 | Proportions of CD34⁺ and CD133⁺ cell subsets in human milk and its peripheral blood counterpart of HIV-positive and HIV-negative women. The CD34⁺ and CD133⁺ cell subsets and cell populations according CD45 expression from both compartments.

CD34⁺ Stem/Progenitor-Like Cell Percentages in HM and PB of HIV-Positive Women

Distribution of CD34⁺ cell subsets according CD45 expression from HM and PB compartments are represented in **Figure 1**. When CD34 positive expression was combined with CD45 differential expression in PB, the CD45^{dim}CD34⁺ cell subset was predominant and the median percentage was significantly lower in HIV-positive women than HIV-negative women (0.11% [0.1–0.2] vs. 0.26% [0.1–0.4], $p = 0.018$). When the stem/progenitor cell markers were analyzed together, the CD45^{dim}CD34⁺CD133[–] and CD45^{+/high}CD34⁺CD133[–] cell subset phenotypes were significantly lower in PB of HIV-positive women than HIV-negative women (2.61% [1.4–4.6] vs. 11.0%

[7.4–17.5], $p < 0.001$ and, 0.004% [0.002–0.02] vs. 0.03% [0.01–0.05], $p = 0.01$; **Table 2**). On the other hand, the median percentages of these CD34⁺ cell subsets of HM were similar between HIV-positive and HIV-negative women (**Table 2**).

The CD133⁺ and CD34[–]CD133⁺ Cell Subsets Are Increased in HM of Undetectable VL HIV-Positive Women

To investigate if the percentages of cell subsets could be associated with viremia, we stratified the HIV-positive individuals as undetectable VL or detectable VL (plasma or lactoserum, threshold at 1,000 copies/mL). In HM, CD45^{+/high}CD133⁺, CD45^{dim}CD34[–]CD133⁺, and CD45^{+/high}CD34[–]CD133⁺ cell subsets were increased

TABLE 2 | Percentages with interquartile range of cell subsets in HM and PB in HIV-positive and HIV-negative women.

Cell subsets	HM		PB	
	HIV+ (n = 24)	HIV- (n = 9)	HIV+ (n = 18)	HIV- (n = 10)
CD133 ⁺	1.94 (1.1–6.3)	2.96 (0.5–4.1)	1.5 (0.2–4.9)	0.24 (0.1–1.0)
CD45 ⁺ CD133 ⁺	0.46 (0.1–1.1)	0.23 (0.1–2.4)	0.001 (0.0–0.02)	0.001 (0.0–0.003)
CD45 ^{dim} CD133 ⁺	0.23 (0.1–0.4)	0.14 (0.1–0.4)	0.06 (0.03–0.1)	0.05 (0.02–0.1)
CD45 ⁺ /highCD133 ⁺	1.16 (0.2–5.2)	0.49 (0.3–0.8)	1.37 (0.1–4.5)	0.22 (0.06–0.9)
CD34 ⁺	0.09 (0.03–0.3)	0.17 (0.05–0.7)	0.16 (0.1–0.3)	0.28 (0.2–0.5)
CD45 ⁺ CD34 ⁺	0.04 (0.01–0.1)	0.09 (0.04–0.6)	0.002 (0.001–0.004)	0.002 (0.0–0.004)
CD45 ^{dim} CD34 ⁺	0.02 (0.001–0.05)	0.03 (0.001–0.1)	0.11 (0.1–0.2)^b	0.26 (0.1–0.4)^b
CD45 ⁺ /highCD34 ⁺	0.01 (0.0–0.03)	0.01 (0.005–0.02)	0.01 (0.004–0.02)	0.02 (0.01–0.03)
Combined markers				
CD45 ⁺ CD34 ⁺ CD133 ⁺	0.08 (0.0–0.7)	0.57 (0.003–0.8)	0.00 (0.0–0.01)	0.00 (0.0–0.04)
CD45 ⁺ CD34 ⁺ CD133 [−]	0.13 (0.02–0.5)	0.64 (0.1–1.0)	0.05 (0.02–0.1)	0.15 (0.02–0.8)
CD45 ⁺ CD34 [−] CD133 ⁺	5.07 (1.9–8.4)	1.50 (1.0–8.4)	0.03 (0.01–0.6)	0.00 (0.0–0.1)
CD45 ^{dim} CD34 ⁺ CD133 ⁺	0.12 (0.0–0.6)	0.17 (0.0–0.7)	1.38 (1.0–3.2)	1.07 (0.3–5.7)
CD45 ^{dim} CD34 ⁺ CD133 [−]	0.09 (0.0–0.3)	0.14 (0.0–0.9)	2.61 (1.4–4.6)^c	11.0 (7.4–17.5)^c
CD45 ^{dim} CD34 [−] CD133 ⁺	5.18 (2.4–9.7)	2.50 (1.5–6.8)	0.68 (0.1–2.2)^d	0.06 (0.02–0.4)^d
CD45 ⁺ /highCD34 ⁺ CD133 ⁺	0.0 (0.0–0.0)	0.004 (0.0–0.04)	0.001 (0.0–0.01)	0.004 (0.001–0.02)
CD45 ⁺ /highCD34 ⁺ CD133 [−]	0.01 (0.0–0.03)	0.02 (0.0–0.02)	0.004 (0.002–0.02)^e	0.03 (0.01–0.05)^e
CD45 ⁺ /highCD34 [−] CD133 ⁺	2.59 (1.0–6.1)^a	0.92 (0.2–2.9)^a	1.56 (0.4–5.4)^f	0.22 (0.1–0.4)^f

HM, human milk; PB, peripheral blood.

^{a,b,c,d,e,f} Comparisons were statistically significant different (in bold) using Mann-Whitney U non-parametric unpaired tests ($p < 0.05$).

in undetectable VL group when compared to detectable VL group, but no significant differences were observed (Supplementary Table 1). Also, these cell subsets from PB were increased in undetectable VL group when compared to detectable VL group (Supplementary Table 1).

When these viremic or non-viremic groups were compared to HIV-negative individuals in HM, the CD45⁺/highCD133⁺ and CD45⁺/highCD34[−]CD133⁺ cell subsets were significantly higher in the undetectable VL group when compared to HIV-negative individuals (3.62% [0.64–8.84] vs. 0.49% [0.3–0.8], $p = 0.027$, and 3.97% [1.65–12.3] vs. 0.92% [0.24–2.96], $p = 0.015$; Supplementary Table 1 and Supplementary Figures 2A,B). Of note, the CD45^{dim}CD34[−]CD133⁺ cell subset tended to be higher in undetectable VL group than HIV-negative individuals, but the difference was not statistically significant (7.48% [2.9–22.1] vs. 2.5% [1.5–6.8], $p = 0.059$).

The CD133⁺ and CD34[−]CD133⁺ Cell Subsets Are Increased in PB of HIV-Positive Women With Detectable VL

In PB, the CD45^{dim}CD34[−]CD133⁺ and CD45⁺/highCD34[−]CD133⁺ cell subsets were significantly increased in detectable VL group than HIV-negative individuals (0.52% [0.14–2.7] vs. 0.06% [0.02–0.38], $p = 0.02$ and 1.0% [0.45–4.0] vs. 0.22% [0.13–0.36], $p = 0.006$). Of note, these two cell subsets were also increased among the undetectable VL group when compared to HIV-negative individuals, but no significant difference was observed (CD45^{dim}CD34[−]CD133⁺: 1.0% [0.08–4.6] vs. 0.06% [0.02–0.38], $p = 0.056$, and

CD45⁺/highCD34[−]CD133⁺: 3.6% [0.34–7.74] vs. 0.22% [0.13–0.36], $p = 0.056$); Supplementary Table 1). In the same way, the percentage of CD45⁺/highCD133⁺ cell subset was increased in the undetectable VL group when compared to HIV-negative group, without significant difference (3.1% [0.23–5.71] vs. 0.2% [0.06–0.9], $p = 0.12$; Supplementary Table 1).

The CD34⁺ and CD34⁺CD133[−] Cell Subsets Are Decreased in PB of HIV-Positive Women Independently of the HIV Viremia

In HM, no significant differences were observed when percentages of CD34⁺ cell subsets were compared between detectable and undetectable VL positive groups. The percentage of CD45^{dim}CD34⁺CD133[−] cell subset was lower in both HIV-positive groups than their counterpart PB HIV-positive groups (Supplementary Table 1). When comparisons were done against HIV-negative individuals, the CD45^{dim}CD34⁺ cell subset from PB of detectable VL group was significantly decreased (0.11% [0.07–0.2] vs. 0.26% [0.14–0.45], $p = 0.017$). Furthermore, the CD45^{dim}CD34⁺CD133[−] cell subset were significantly decreased in both detectable VL group when compared to HIV-negative individuals (2.61% [1.7–4.3] vs. 11.0% [7.4–17.5], $p < 0.001$) and in undetectable VL group compared to HIV-negative individuals (2.34% [1.2–6.8] vs. 11.0% [7.4–17.5], $p = 0.008$). Finally, the CD45⁺/highCD34⁺CD133[−] cell subset was significantly decreased in detectable VL group when compared to HIV-negative individuals (0.004%

[0.002–0.01] vs. 0.03% [0.01–0.05], $p = 0.012$). All data is shown in **Supplementary Table 1**.

SCM Does Not Affect the Percentages of Stem/Progenitor-Like Cell Subsets

To investigate if cell subset percentages in HM could be associated with SCM, we stratified women with or without SCM. HIV-negative women with SCM and HIV-negative women without SCM women showed no significant differences when median percentages of different cell subsets were compared (data not shown). However, the median percentages of CD45⁺/high CD133⁺ and CD45⁺/high CD34⁺ CD133⁺ cell subsets were significantly higher in HIV-positive women with SCM than in HIV-negative women with SCM (**Table 3**). These differences were not observed when HIV-positive women without SCM were compared to HIV-negative women without SCM (**Table 3**). The same analysis was done for HIV VL groups and no difference was observed between the groups (**Table 3**). Of note, other variables such as CD4T cell counts, white blood cells and, body mass index were analyzed but no significant association was observed with stem/progenitor-like cell subsets.

Correlation of Cytokines and Chemokines From HM and PB and CD133⁺ Cell Subsets

To investigate if inflammation can influence the frequencies of CD133⁺ cell subsets, levels of TNF- α , CXCL10, CXCL12, IL-8, IL-6, IL-1 β , and IFN- γ were quantified in lactosera and plasma samples. CXCL10 and CXCL12 levels from HM were significantly increased in HIV-positive compared to HIV-negative women (148.5 [87.14–181.4] pg/mL vs. 87.90 [64.97–104.5] pg/mL, $p = 0.008$ and; 544.6 [420.6–987.8] pg/mL vs. 296.3 [276.4–365.1] pg/mL, $p < 0.001$, respectively; **Supplementary Table 2**). Furthermore, CXCL10 and IL-1 β levels were increased in the HIV positive mothers with detectable VL compared to mothers with undetectable VL (162.6 [146.6–196.2] pg/mL vs. 90.89 [55.03–118.1] pg/mL, $p = 0.003$ and; 21.65 [12.57–30.63] vs. 11.24 [7.59–15.80] pg/mL, $p = 0.02$; **Supplementary Table 2**). In PB, the TNF- α , and CXCL10 levels were significantly increased in HIV-positive compared to HIV-negative women (43.08 [26.05–46.88] pg/mL vs. 22.28 [18.04–28.41] pg/mL, $p = 0.04$ and; 52.67 [15.70–67.05] pg/mL vs. 7.59 [4.80–8.55] pg/mL, $p = 0.004$, respectively; **Supplementary Table 3**). No significant correlation was observed between cytokine/chemokine levels and an increase of CD133⁺ cell subsets in PB of HIV-positive women (data not shown). However, IL-8 levels were significantly correlated with CD45⁺/high CD133⁺ and CD45⁺/high CD34⁺ CD133⁺ cell subsets in HM of mothers with detectable VL (**Supplementary Figures 2C,D**).

DISCUSSION

Upon HIV-1 infection, cell distributions of immune cells but also of CD34⁺ stem cells are profoundly modified in PB and BM. In this study, we reported that the progenitor/stem-like cell subsets characterized by the expression of CD133 was

increased in HM of HIV-positive women as well as in their PB counterpart.

Our observations are in line with previous works, showing an increased level of peripheral circulating endothelial progenitor cells (EPCs), characterized as CD133⁺KDR⁺ cells, in HIV-positive individuals compared to healthy controls (Papavasavas et al., 2012; Vecchiet et al., 2013), but we extend the observation to other CD133⁺ cell phenotypes, less differentiated cells. It was previously observed that HIV provirus can be detected in CD133⁺ HSPCs from BM of subjects on successful ART (undetectable viral load) up to 8 years, suggesting this cell population was permissive to HIV infection (McNamara et al., 2013). Furthermore, CCR5 and CXCR4, the receptors for HIV infection, are expressed at the surface CD34⁺CD133⁺ HSPCs from umbilical cord blood and that CXCR4 was necessary for the infection by HIV-1 (Carter et al., 2011). Recently, Zaikos et al. (2018) observed proviral sequences in CD133⁺ and CD34⁺CD133⁺ HSPCs of BM and PB. They further demonstrated that these cells were the main sources of residual plasma virus as compared to other cells from BM and PB, suggesting that these HSPCs are putative reservoir of persistent HIV infection (Zaikos et al., 2018). Finally, the expression of chemokine receptors on these cells could prompt the infection by HIV and also the trafficking of HSPCs, which was observed in response to tissue damage or infections (Massberg et al., 2007). Thus, the high levels of CD45⁺/high CD133⁺ and CD34⁺CD133⁺ cell subsets in HM and PB of HIV-positive women reported in this study could be due by three mechanisms: (i) the CD133⁺ cell subsets are not (productively) infected by HIV-1 and are likely resistant to the virus; (ii) to an active self-renewing of these cells in response to the infection itself, or (iii) by active recruitment from reservoir territories through CCR5 and CXCR4 receptors toward these compartments. By contrast, the CD34⁺ cell subsets are decreased in PB of HIV-positive individuals suggesting that the mechanisms upon HIV-1 infection on CD34⁺ and CD133⁺ cells are different but are concurrent since both cell subsets seem to be resistant to HIV-1 infection.

Regarding progenitor cells through Lin⁺ and/or CD38, the cell subsets were excluded from the analyses because of the lower number of cells from both compartments. This observation suggests that most of the cell subsets reported in the CD45^{dim} and CD45⁺/high population are lineage positive. Indeed, the CD38⁺Lin⁺ number cell subset were higher regard to CD38⁺Lin⁺ cell subset (data not shown). It was also suggested that inflammatory signals are also important for HSPCs biology, even in homeostatic conditions, thus the number of HSPCs or their proliferative condition can be influenced by inflammatory or microbial signaling, even in the absence of active infection (King and Goodell, 2011). Indeed, SCM is common in HIV-positive women during breastfeeding and pro-inflammatory chemokines/cytokines are associated with SCM in HIV-positive women (Tuaille et al., 2017). Herein, we observed that the percentages of CD45⁺/high CD133⁺ and CD45⁺/high CD34⁺ CD133⁺ cell subsets were significantly increased only in HIV-positive women with undetectable VL in HM and in HIV-positive women with SCM when compared

TABLE 3 | Median percentages with interquartile of stem/progenitor cell subsets in HIV-positive women and HIV-negative women with SCM and non-SCM and between detectable VL and undetectable VL HIV-positive women.

Compartment	Cell subset	SCM		p-value	Non-SCM		p-value
		HIV+	HIV-		HIV+	HIV-	
		(n = 13)	(n = 4)		(n = 11)	(n = 5)	
HM	CD45 ⁺ /highCD133 ⁺	1.6 (0.8–5.1)	0.4 (0.2–0.6)	0.044	0.6 (0.1–5.4)	0.5 (0.4–2.4)	1.000
	CD45 ⁺ /highCD34 [−] CD133 ⁺	2.7 (1.5–7.3)	0.5 (0.1–1.9)	0.032	2.1 (0.8–5.8)	0.9 (0.5–4.1)	0.510
		(n = 10)	(n = 5)		(n = 8)	(n = 5)	
PB	CD45 ^{dim} CD34 ⁺	0.09 (0.1–0.1)	0.2 (0.1–0.6)	0.019	0.2 (0.07–0.3)	0.3 (0.1–0.5)	0.284
	CD45 ^{dim} CD34 ⁺ CD133 [−]	2.3 (1.3–4.0)	10.3 (6.8–13.1)	0.003	3.2 (1.6–5.0)	17.2 (8.2–22.4)	0.006
		SCM HIV+			Non-SCM HIV+		
		Und VL	Det VL		Und VL	Det VL	
		(n = 4)	(n = 9)		(n = 4)	(n = 7)	
HM	CD45 ⁺ /highCD133 ⁺	3.6 (0.9–7.7)	1.3 (0.4–3.5)	0.330	4.8 (0.4–11.8)	0.2 (0.04–4.1)	0.164
	CD45 ⁺ /highCD34 [−] CD133 ⁺	3.9 (1.7–7.7)	2.7 (1.0–7.3)	0.825	7.9 (1.5–19.4)	0.9 (0.2–5.7)	0.164
		(n = 3)	(n = 7)		(n = 3)	(n = 5)	
PB	CD45 ^{dim} CD34 ⁺	0.1 (0.1–0.0)	0.1 (0.04–0.1)	0.383	0.1 (0.03–0.0)	0.2 (0.1–0.4)	0.571
	CD45 ^{dim} CD34 ⁺ CD133 [−]	3.3 (1.4–0.0)	2.2 (1.0–3.7)	0.667	1.4 (0.8–1.4)	3.7 (2.5–4.9)	0.571

SCM, subclinical mastitis; VL, viral load; Und, undetectable VL; Det, detectable VL; HM, human milk; PB, peripheral blood. Mann-Whitney U non-parametric test was applied for unpaired comparisons. Significant $p < 0.05$ are shown in bold.

to HIV-negative women with SCM. Because this increase of CD133⁺ cell subsets could be related to one or the other process, seven cytokines/chemokines were quantified. Only IL-8 levels from HM were positively correlated with an increase of CD45⁺/highCD133⁺ and CD45⁺/highCD34[−]CD133⁺ cell subsets in mothers with detectable HIV VL. On the other hand, cytokines/chemokines known to regulate the proliferation of HSPC such as IL-1 β , IL-6, CXCL10, IFN- γ , TNF- α (King and Goodell, 2011; Dickinson-Copeland et al., 2016; Chavakis et al., 2019) or to control HSPC migration such as CXCL12 (Liesveld et al., 2001; Sahin and Buitenhuis, 2016; Ganuza and McKinney-Freeman, 2017) did not associate with this increase.

This study has some limitations. It is an exploratory cross-sectional study with small sample size. In a previous study, we reported differences in percentages of these cell subsets between lactation stages (Valverde-Villegas et al., 2019), however, due to the low number of samples we did not stratify the analyses according to this variable. Frozen breast milk samples were used in this study and thawing procedure has been reported to damage different cells, including CD34⁺ stem/progenitor cells. Finally, the full characterization of cell subsets regarding their stemness nature has not been done yet as well as their permissivity to HIV-1 infection assay.

In conclusion, in this study, we reported that the CD133⁺ cell subsets from early HM and PB, specifically the CD45⁺/highCD133⁺ cell subset and CD34[−]CD133⁺ cell subsets were increased in HIV-positive women. By contrast, the CD34⁺ cell subsets, specifically the CD45^{dim}CD34⁺ and the CD45^{dim}/+highCD34⁺CD133[−] cell subsets were decreased in PB of HIV-positive women. Stem cell compartments as defined by one or the other marker show opposite behaviors in HM and PB in HIV infected individuals.

DATA AVAILABILITY STATEMENT

All datasets generated for this study are included in the article/**Supplementary Material**.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by ERES Converge, institutional review board, Lusaka, Zambia. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

JV-V performed the experiments, provided the intellectual content and participated in the design of this work, analyzed and interpreted data, and wrote the manuscript. MN-G provided the intellectual content and analyzed and interpreted the data. MD performed some experiments and participated in analysis of data. DR enrolled participants, collected samples, recorded clinical data, and revised the manuscript. A-SB and SD performed some experiments. CK, NN, ET, and PV participated in the interpretation of data. J-PM provided intellectual content, supervised the study, participated in the design of this work, interpretation of data, and writing of the manuscript. All authors reviewed the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2020.546189/full#supplementary-material>

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Astrocytes, HIV and the Glymphatic System: A Disease of Disrupted Waste Management?

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The discovery of the glial-lymphatic or glymphatic fluid clearance pathway in the rodent brain led researchers to search for a parallel system in humans and to question the implications of this pathway in neurodegenerative diseases. Magnetic resonance imaging studies revealed that several features of the glymphatic system may be present in humans. In both rodents and humans, this pathway promotes the exchange of interstitial fluid (ISF) and cerebrospinal fluid (CSF) through the arterial perivascular spaces into the brain parenchyma. This process is facilitated in part by aquaporin-4 (AQP4) water channels located primarily on astrocytic end feet that abut cerebral endothelial cells of the blood brain barrier. Decreased expression or mislocalization of AQP4 from astrocytic end feet results in decreased interstitial flow, thereby, promoting accumulation of extracellular waste products like hyperphosphorylated Tau (pTau). Accumulation of pTau is a neuropathological hallmark in Alzheimer's disease (AD) and is accompanied by mislocalization of AQP4 from astrocyte end feet to the cell body. HIV infection shares many neuropathological characteristics with AD. Similar to AD, HIV infection of the CNS contributes to abnormal aging with altered AQP4 localization, accumulation of pTau and chronic neuroinflammation. Up to 30% of people with HIV (PWH) suffer from HIV-associated neurocognitive disorders (HAND), and changes in AQP4 may be clinically important as a contributor to cognitive disturbances. In this review, we provide an overview and discussion of the potential contributions of NeuroHIV to glymphatic system functions by focusing on astrocytes and AQP4. Although HAND encompasses a wide range of neurocognitive impairments and levels of neuroinflammation vary among and within PWH, the potential contribution of disruption in AQP4 may be clinically important in some cases. In this review we discuss implications for possible AQP4 disruption on NeuroHIV disease trajectory and how HIV may influence AQP4 function.

Keywords: NeuroHIV, glymphatic system, brain, astrocyte, neuroinflammation

INTRODUCTION

Maintaining homeostasis within tissues is dependent on the clearance of excess fluid and interstitial solutes. In the periphery, excess fluid and soluble waste products that include abnormal proteins are removed from the interstitial space and transferred to the lymphatic system that deposits waste products into the systemic circulation for processing by the liver, kidneys, and small intestine for

excretion (Liao and Padera, 2013). The density of lymphatic vessels within a tissue is proportional to the metabolic rate of that particular tissue. Despite having a high metabolic rate, the brain is not incorporated into the lymphatic system (Jessen et al., 2015). Waste management in the central nervous system (CNS) is vital for maintaining neuronal connectivity, fitness and crosstalk with other cell types including astrocytes. Since many neurocognitive disorders include accumulation of aberrant proteins and other cellular waste products (Benveniste et al., 2017), understanding mechanisms underlying waste clearance in the brain are critical.

A brain-specific pathway for fluid transport in mice was discovered in 2012 and included the para-arterial influx of subarachnoid cerebrospinal fluid (CSF) into the brain interstitium. This pathway allowed for clearance of interstitial fluid (ISF) along large draining veins (Iliff et al., 2012). In 2015, studies in rodents provided evidence of functional lymphatic-like vessels lining the cranial dural sinuses (Aspelund et al., 2015). This finding challenged the previous notion that the CNS had no lymphatic or lymphatic-like system. In this model, CSF and ISF drain into the glial-lymphatic or glymphatic fluid clearance pathway carrying with it any waste products that have accumulated in the brain (Jessen et al., 2015; Eide et al., 2018). These findings in rodents led researchers to search for a similar pathway in humans. An MRI study in patients with various CSF disorders reported that a CSF tracer drained into cervical lymph nodes (Eide et al., 2018), providing evidence for a glymphatic system in humans. Other studies indicated that the glymphatic system is most active during sleep and plays numerous roles in many biological processes. *In vivo* 2-photon imaging studies in mice have shown that glymphatic activity is greatly increased in a sleep state compared to an awake state due to an influx of CSF that results in a 10% increase in the interstitial space (Sweeney et al., 2019). It is speculated that the increase in glymphatic activity during sleep functions to remove neurotoxic waste that builds up during waking periods (Xie et al., 2013). Moreover, glymphatic function has been reported to be significantly decreased in experimental rodent models of hemorrhagic and ischemic stroke (Gaberel et al., 2014; Goulay et al., 2017; Schain et al., 2017; Golanov et al., 2018; Rasmussen et al., 2018; Zbesko et al., 2018) and traumatic brain injury (Plog et al., 2015; Lundgaard et al., 2017).

Glymphatic function is proposed to be reduced with aging (Kress et al., 2014), and studies report an 80% decrease in glymphatic function in older mice (18 months) compared to younger mice (2–3 months) (Kress et al., 2014). Thus, it stands to reason that aging is a major risk factor for developing a neurodegenerative disease, many of which are characterized by accumulation of aberrant proteins, such as hyperphosphorylated Tau (pTau). In this context, decreased glymphatic clearance may increase the risk of neurodegenerative changes contributing to cognitive decline in the aging population or in age-related CNS diseases (Rainey-Smith et al., 2018). For example, during aging, cerebral arterial walls begin to stiffen and prevent smooth arterial pulses needed for CSF influx and ISF exchange (Badaut et al., 2014; Sweeney et al., 2019). Given the abnormal aging trajectory in people with HIV (PWH), it is possible that HIV may also negatively impact glymphatic clearance. PWH have normal life

expectancies due to the success of combination anti-retroviral therapy (cART) (Cassol et al., 2014) thus, supporting a scenario whereby chronic inflammation associated with viral infection promotes a more rapid or abnormal aging processes. In this review, we will provide an overview and discussion of potential contributions of NeuroHIV to glymphatic system dysfunction and implications for NeuroHIV disease trajectory.

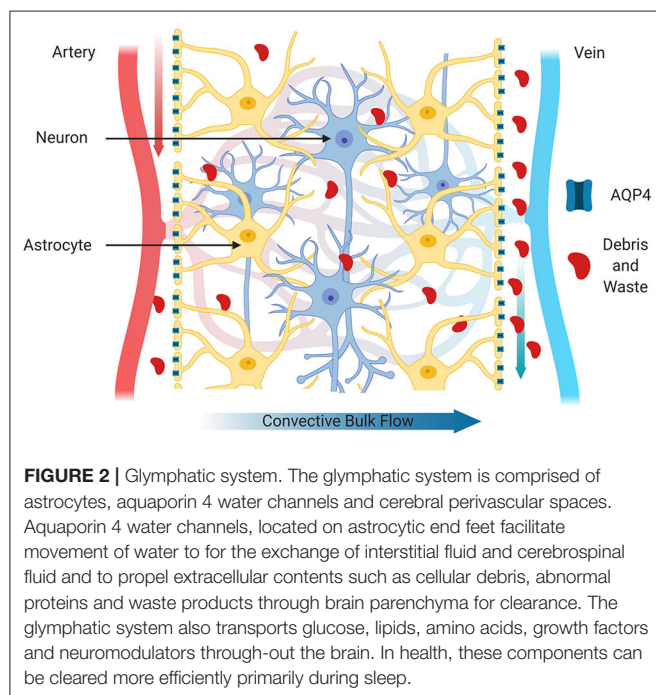
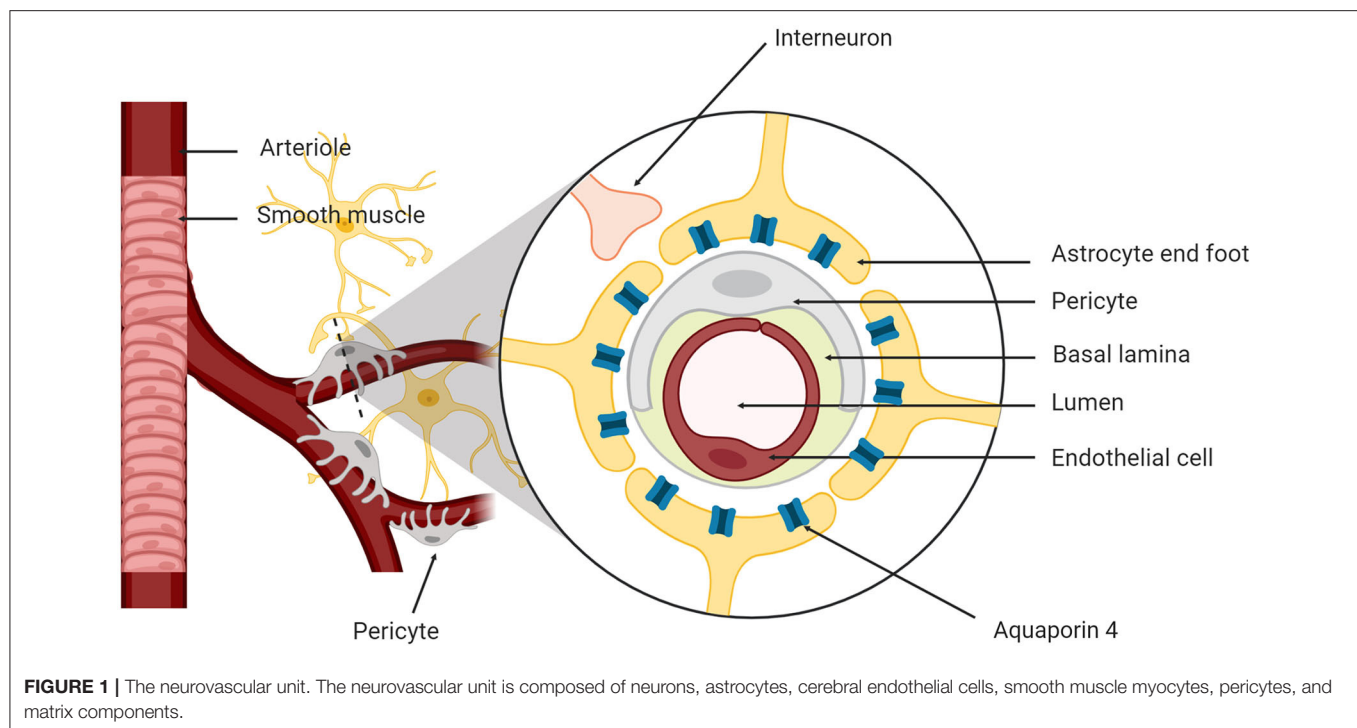
THE GLYMPHATIC SYSTEM

Since the discovery of the glymphatic system in both rodents and humans, researchers have focused on understanding the mechanisms of action for CSF and ISF exchange (Mestre et al., 2018). The brain has four fluid compartments including CSF, ISF, intracellular fluid and blood. The blood-brain barrier (BBB) and blood-CSF barrier separate blood from the brain parenchyma and CSF, respectively (Redzic, 2011) to maintain ionic and biochemical composition of the different fluid compartments for proper cellular signaling and brain function (Damkier et al., 2013). The neurovascular unit (NVU) supports brain homeostasis and is composed of neurons, astrocytes, cerebral endothelial cells, myocytes, pericytes and extracellular matrix components (Figure 1; Harder et al., 2002). Cellular components of the NVU detect the changes in CNS cells and signal for necessary responses such as vasodilation and/or vasoconstriction (Koehler et al., 2006).

CSF and ISF are constantly exchanged in the brain due to the convective influx of CSF along the periarterial spaces located in the subarachnoid space (Iliff et al., 2012). CSF is then transported to the parenchyma through the NVU by a combination of arterial pulses, respiration, CSF pressure gradients and the loose fibrous matrix of the perivascular space (Figure 2). In addition, water channels called aquaporin-4 (AQP4) located on the perivascular end feet of astrocytes facilitate the transfer of CSF into the parenchyma (Nakada et al., 2017). AQP4 water channels are normally highly concentrated on the perivascular end feet of astrocytes and are critical for the brain's vascular and glymphatic systems. Within the parenchyma, CSF influx promotes CSF/ISF exchange within the peri-venous space that surrounds the deep veins within the brain. ISF then collects in the peri-venous space that is transported out of brain toward the cervical lymphatic system (Figure 2; Iliff et al., 2013).

MENINGEAL LYMPHATICS

The meninges consist of three layers (dura mater, arachnoid, and pia mater) which protect the CNS by lining the brain and spinal cord through forming the various CSF-filled compartments. As with the discovery of the glymphatic system, the CNS and its meningeal components were long believed to lack a traditional lymphatic vascular system since the only lymphatic vessels were identified around the cranial nerves and dural blood vessels (Iliff et al., 2015). Recent studies have described the extensive meningeal lymphatic vessel network that functions in macromolecular clearance and immune cell trafficking in the brain (Aspelund et al., 2015; Louveau et al., 2015). These



vessels were found to have immunohistological and structural characteristics of lymphatic vessels, and to express traditional markers of tissue lymphatic endothelial cells, such as Prox1, CD31, Lyve-1, Podoplanin, VEGFR3, and CCL2 (Aspelund et al., 2015; Louveau et al., 2015). Interestingly, meningeal

lymphatic vessels are evolutionarily conserved in fish, rats, non-human primates and humans (Absinta et al., 2017; Bower et al., 2017; Jung et al., 2017). Compared to peripheral lymphatics, meningeal lymphatics are composed of a less complex network of thin-walled initial lymphatic vessels that converge and exit the cranium along the retrogleneid vein, sigmoid sinus, and meningeal portions of the pterygopalatine artery (Aspelund et al., 2015; Louveau et al., 2015). Functional studies have shown that meningeal lymphatic vessels can carry numerous immune cells under physiological conditions, suggesting a role in normal immune surveillance of the brain (Aspelund et al., 2015).

Aging is a major risk factor for many neurodegenerative diseases, including Alzheimer's disease (AD) which has a detrimental effect on brain CSF/ISF paravascular recirculation that is thought to be caused by the deterioration of meningeal lymphatic vessels that occurs with aging (Da Mesquita et al., 2018a). Studies have shown that meningeal lymphatic dysfunction in young-adult mice results in impaired brain perfusion by CSF and deficits in learning and memory (Da Mesquita et al., 2018b). Aged mice demonstrated significant disruption of meningeal lymphatic function leading to cognitive decline. Interestingly, augmentation of meningeal lymphatic drainage in aged mice facilitated the clearance of CSF/ISF macromolecules from the brain, resulting in improved cognitive function (Da Mesquita et al., 2018b; Stower, 2018). These data demonstrate that impairment of meningeal lymphatics decreases brain perfusion and leads to diminished cognitive ability accompanied by increased amyloid-beta ($A\beta$) accumulation. These data suggest that disruption in meningeal lymphatics is at least one of the mechanisms that contribute to the buildup

of aberrant proteins observed in AD and other neurocognitive disorders (Da Mesquita et al., 2018b; Stower, 2018).

GLYMPHATIC AND MENINGEAL LYMPHATIC SYSTEMS CONNECTIONS

Recent discoveries of the glymphatic and meningeal lymphatic systems have changed the previous idea that the CNS was devoid of lymphatic vessels (Aspelund et al., 2015; Plog and Nedergaard, 2018; Rasmussen et al., 2018). Both the glymphatic and meningeal lymphatic systems allow for the clearance of aberrant proteins such as A β and pTau. Thus, both systems are critical for healthy aging as the buildup of aberrant proteins can contribute to AD or other age-related neurocognitive disorders (Rasmussen et al., 2018). The connections between the glymphatic and meningeal lymphatic systems have been demonstrated through studies following tracers injected into the CSF of rodents (Aspelund et al., 2015). Both systems use the water channel, AQP4 to facilitate the movement of CSF throughout the CNS (Filippidis et al., 2016; Cao et al., 2018). The continuous flow of CSF that perfuses the brain parenchyma and is drained to cervical lymph nodes through the meningeal lymphatic system. As previously mentioned, CSF and ISF are constantly exchanged in the brain due to the convective influx of CSF along the perivascular spaces located in the subarachnoid space (Iliff et al., 2012). The glymphatic system is responsible for the movement of the CSF into the parenchyma. Within the parenchyma, CSF influx forces convective ISF exchange within the perivascular space that surrounds the deep veins within the brain (Iliff et al., 2013). The meningeal lymphatic system has been shown to be necessary for the efficient clearance of ISF collected in the subarachnoid space that is transported out of brain toward the deep cervical lymph nodes through dural meningeal lymphatics (Louveau et al., 2015). The deep cervical lymph nodes can connect to the inner carotid and empty the waste metabolites into the general circulation (Aspelund et al., 2015; Louveau et al., 2015). This link provides a plausible route to study CNS-immune connection (Bower and Hogan, 2018). Dysfunction of meningeal lymphatic vessels and their connection to the glymphatic system should be addressed by future studies.

AQUAPORINS

Aquaporins (AQPs) are hydrophobic transmembrane proteins that facilitate the passive transport of water depending on the osmotic pressure on both sides of membrane. The functional AQP unit is a homo-tetramer and is comprised of six α -helix transmembrane domains with two conserved asparagine-proline-alanine (NPA) motifs, five loops (A-E) and intracellular N- and C-termini (Potokar et al., 2016). AQPs are subdivided into two subfamilies, classical AQPs that are water selective and aquaglyceroporins that act as glycerol channels. However, this characterization was challenged by recent evidence revealing that both subfamilies overlap functionally, for example, some classical AQPs transport water and other small solutes such as glycerol (Papadopoulos and Verkman, 2013). AQPs are found in all

species ranging from simple prokaryotes to complex multicellular organisms. Thirteen AQPs have been identified in mammalian cells with eleven of these in humans. The distribution of AQPs varies among different tissue types (Ma et al., 2011), with AQPs 1, 4, and 9 representing the key aquaporins expressed in the CNS.

AQUAPORINS IN THE NERVOUS SYSTEM

AQPs are present in the CNS, spinal cord, enteric nervous system and sensory organs. AQP expression has been studied more extensively in the CNS and sensory organs than in other systems (Rosu et al., 2019). From the three main aquaporins in the CNS, AQP4 has the highest expression levels in the brain and is expressed by astrocytes (Chen et al., 2008). AQP1 and AQP4 are true aquaporins and transport only water, while AQP9 is an aquaglyceroporin that transports both water and glycerol (Papadopoulos and Verkman, 2013). AQPs in the CNS have diverse functions including bidirectional water exchange between the brain and cerebrovascular circulation and CSF for osmoregulation of in the brain. AQPs are also involved in neural cell signal transduction (Badaut et al., 2014; Filippidis et al., 2016).

AQP1 is located on astrocytic cell membrane where it is co-expressed with AQP4. AQP1 is also expressed on the apical surface of the epithelium of the choroid plexus aiding in CSF production and flux (Rosu et al., 2019). AQP1 is not found in normal brain capillary endothelium, however it is highly expressed by peripheral endothelial cells (Rosu et al., 2019). Importantly, AQP1 expression is upregulated in many neurodegenerative disorders including choroid plexus tumors, spinal cord injury, and AD (Filippidis et al., 2016). A recent study showed that AQP1, but not AQP4 expression levels were increased in astrocytes in brains from AD patients (Misawa et al., 2008). Increased AQP1 levels were observed at early stages of AD, and remained elevated throughout more advanced stages of AD (Pérez et al., 2007).

AQP9 is located in the ependymal cells surrounding the cerebral ventricles, brain stem catecholaminergic neurons, dopaminergic neurons of the substantia nigra and ventral tegmental area and astrocytes (Xu et al., 2017). AQP9 is permeable to water and to small solutes including glycerol, urea and monocarboxylates which may contribute to energy metabolism in the CNS (Potokar et al., 2016). AQP9 and AQP4 have similar distribution patterns in mice and rats, suggesting that these two channels may act together to facilitate the movement of water or small solutes between CSF and the brain parenchyma (Yool, 2007; Lv et al., 2018; Shi et al., 2019).

AQP4 is the most abundant AQP in the brain, spinal cord and optic nerve (Potokar et al., 2016), is widely expressed on the plasma membranes of astrocytes with the highest concentration on perivascular end feet of astrocytes (Rosu et al., 2019). In the brain, AQP4 is primarily localized to the sub-pial astrocyte processes that form the glial-limiting membrane, the basolateral membrane of ependymal cells throughout the brain and spinal cord, and the perivascular astrocyte end feet that constitute a main component of the NVU (Hubbard et al., 2018; Ciappelloni

et al., 2019; Dasdelen et al., 2019). AQP4 facilitates the flow of water into and out of the brain due its expression on cells that form barriers between the brain and major fluid compartments (Dasdelen et al., 2019).

AQUAPORIN 4 VARIANTS

There are six isoforms of AQP4 in astrocytes and some other organ specific cells designated AQP4a-f. The distribution of these isoforms varies among cell types and their cellular location. All of the AQP4 isoforms are expressed on astrocytes either on the plasma membrane or on cellular organelles. AQP4c is also expressed in the kidney and the sarcolemma of fast-twitch fibers of skeletal muscle (Frigeri et al., 1998; Potokar et al., 2016). AQP4a and AQP4c are most commonly located in the plasma membranes of perivascular end feet of astrocytes, along with AQP4e that is expressed at much lower levels. AQP4c is the predominant isoform located on the plasma membrane compared to AQP4a (Hubbard et al., 2018).

Interestingly, a recent study reported that a single nucleotide polymorphism (SNP) in AQP4, rs72878776, is associated with altered overall sleep quality according to the Pittsburgh Sleep Quality Index (PSQI) sleep parameters such as sleep latency, comfort, and difficulty falling asleep (Rainey-Smith et al., 2018). Individuals that are homozygous for the AQP4 rs72878776-A (0.001% of the population) allele reported worse overall sleep compared to those with a different genotype (Rainey-Smith et al., 2018). This SNP is in the 5'-prime untranslated region (5'UTR) of the AQP4 gene and may be of functional relevance through potentially influencing gene transcription, via modification (creation or deletion) of transcription factor binding sites (Rainey-Smith et al., 2018). Individuals with AQP4 variant rs72878776 may be at greater risk for neurodegenerative diseases due to impaired glymphatic function accompanied by poor sleep quality (Rainey-Smith et al., 2018). In addition, individuals homozygous for either AQP4 SNPs rs3763040-A or rs3763043-A have been reported to experience more rapid cognitive decline after AD diagnosis (Burfeind et al., 2017). Other noncoding AQP4 SNPs were associated with altered rates of cognitive decline after AD diagnosis. Interestingly, individuals homozygous for either AQP4 SNPs rs9951307-A and rs3875089-A have been reported to experience slower cognitive decline (Burfeind et al., 2017). These studies indicate the importance of AQP4 in maintaining proper glymphatic clearance in age-related neurodegenerative diseases in both protective and detrimental aspects.

AQUAPORINS AND NEUROINFLAMMATION

Astrocytes express all of the AQPs (1, 4, and 9) found in the CNS. Astrocytes are the primary homeostatic cells of the brain and are the only cell type in the CNS that can undergo rapid volume changes (Thrane et al., 2014). The CNS must tightly regulate water homeostasis as the brain is confined within the skull allowing limited space for expansion. AQP4

is the main water channel in the brain thus, it is critical that AQP4 functions properly (Papadopoulos and Verkman, 2013). This is increasingly important given that injury can decrease expression and induce mislocalization of AQP4 from end feet to cell body, thereby reducing fluid exchange. As extracellular volume changes, ion concentration is also changed to alter neuronal excitability (Dalkara et al., 2011). Disturbances in water homeostasis in the CNS are typically observed in CNS diseases/disorders including HIV infection, AD, PD, meningitis, stroke, brain abscesses, tumors or neurotrauma, all of which have a neuroinflammatory component. It has been established that expression of AQP4 is pro-inflammatory (Chmelova et al., 2019). For example, studies demonstrate increased neuroinflammation in wild type mice administered LPS compared to AQP4 knockout mice (Wu et al., 2019). Astrocytes from wild type mice produced higher levels of the pro-inflammatory cytokines TNF α and IL-6 in response to LPS than AQP4 knockout mice. These data suggest that neuroinflammation is dependent, in part on AQP4 (Zhang et al., 2019). AQP4 is also the target antigen in the neurological autoimmune disease neuromyelitis spectrum disorder, which is an autoimmune astrocyte-specific cytopathy characterized by astrocyte loss, demyelination in the spinal cord, optic nerve and brain (Hinson et al., 2008; Sakalauskaitė-Juodeikiene et al., 2018; Ciappelloni et al., 2019; Mader and Brimberg, 2019). Neuromyelitis spectrum disorder patients have chronic neuroinflammation leading to the classic presentations of this disease which include long segments of spinal cord inflammation, severe optic nerve inflammation, and area postrema syndrome (Huda et al., 2019). Area postrema syndrome results from inflammation in the emetic reflex center located in the rhomboid fossa of the 4th ventricle leading to nausea, vomiting, and hiccups in patients (Duvernoy and Risold, 2007). All neuromyelitis spectrum disorder patients are treated with AQP4 antibodies at their first attack with long-term immunosuppression (Mealy et al., 2014).

AQP4 DYSREGULATION, ADENOSINE A2A RECEPTOR AND NEURODEGENERATION

Adenosine A2a receptor (A2aR) is highly expressed by astrocytes and microglia and contributes significantly to neuroinflammatory and neuromodulator processes (Orr et al., 2015; Zhao et al., 2017; Masjedi et al., 2019). Many neurodegenerative diseases including HIV, AD and TBI involve chronic neuroinflammation thereby increasing the risk for regional accumulation of pTau, tau oligomers and neurofibrillary tangles contributing to neurocognitive dysfunction. The substantial neuroinflammatory response may contribute to disruption of the glymphatic system in part, through dysregulation of AQP4 (Iliff et al., 2014; Rasmussen et al., 2018). In fact, in a rodent model of TBI, disruption of perivascular polarization of AQP4 from astrocyte end feet to the soma promoted tau pathology (Xu et al., 2017; Zhao et al., 2017). Widespread reactive astrogliosis was observed 7 days after TBI in multiple brain regions, and impaired AQP4 polarity correlated with regions of pTau accumulation and reactive astrogliosis

(Zhao et al., 2017). In this context, a significant increase in A2aR expression was detected 1 day, 3 days, 7 days, and 4 weeks post-TBI (Zhao et al., 2017). This is important because A2aR activates the adenylate cycle to generate cAMP that may contribute to AQP4 mislocalization (Borrotto-Escuela et al., 2018). A2aR knockout alleviated the disruption of AQP4 polarity, pTau accumulation and neuronal damage post-injury (Zhao et al., 2017). However, the mechanism(s) of how A2aR/cAMP mediate AQP4 mislocalization is not clear and further studies are warranted in this direction.

A recent study showed increased expression of A2aR in the hippocampus of AD patients compared to aged-matched controls (Orr et al., 2015). Moreover, in an AD-model, mice expressing the human amyloid precursor protein (hAPP), increased expression of A2aR was observed in astrocytes (Orr et al., 2015). However, A2aR receptor ablation enhanced memory in older hAPP mice even when there was a significant abundance of amyloid plaques. In studies of mouse models of TBI, localization of AQP4 to the cell soma and was accompanied by increased accumulation of Tau in this region. However, 1 month post-TBI, pTau accumulated in the brain parenchyma with continued deterioration of AQP4 polarity (Zhao et al., 2017). In these studies, increased levels of A2aR was accompanied by the mislocalization of AQP4 (Zhao et al., 2017) and possibly increased accumulation of aberrant proteins in TBI. These data were supported by further data showing that inactivation of A2aR prevented TBI induced AQP4 mislocalization and pTau accumulation.

Although no literature exists that investigate expression of A2aR in PWH, there are numerous overlapping neuropathological hallmarks between HIV and age-related neurodegenerative diseases that may involve A2aR. For example, progressive accumulation of aberrant proteins such as pTau, A β and α -synuclein are accompanied by damage to selective neural circuits, neuroinflammation, gliosis and vascular alterations are common in both HIV and AD (Mackiewicz et al., 2019). In fact, the HIV protein Tat that may be released from infected astrocytes, even during effective anti-retroviral therapy, is believed to be a major factor in promoting pTau and A β formation and accumulation (Hategan et al., 2019). Taken together, even though limited, current evidence indicates that changes in AQP4 localization on astrocytes and decreased waste clearance may involve increased A2aR activity. Potential contributions of HIV infection of the CNS to AQP4 mislocalization in astrocytes, astrocyte phenotype and impaired waste clearance and clearly need to be explored. In this context, it is important to understand interactions among HIV, astrocytes and other cells in the brain.

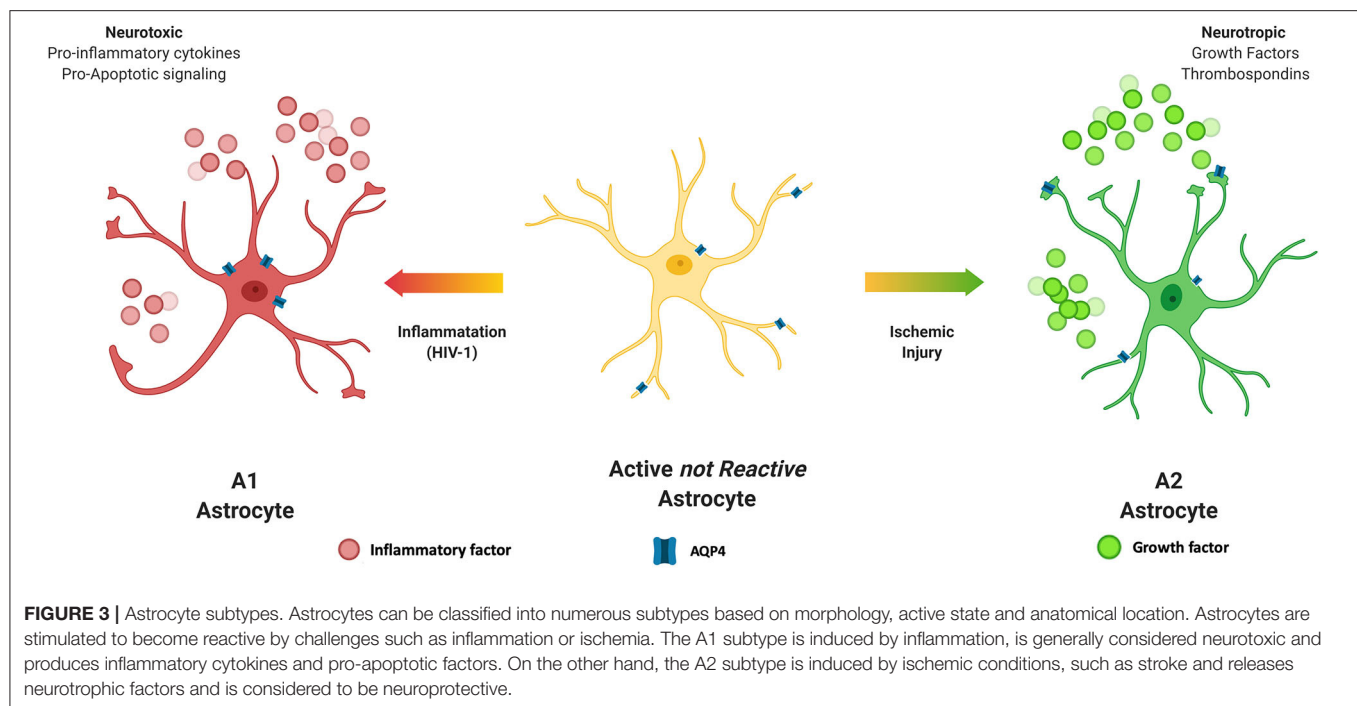
ASTROCYTES AND HIV

HIV-infected monocytes/macrophages or CD4⁺ T-cells can cross the BBB and release virions or viral proteins that may negatively impact other cells types in the brain (Valcour et al., 2011). Perivascular macrophages and microglia are the primary cell types in the CNS that are infected by HIV-1 and support productive viral replication (Rojas-Celis et al., 2019). Neurons

and oligodendrocytes are not believed to be infected by HIV-1. HIV infection of the CNS occurs early after infection and macrophages and microglia are responsible in large part for productive infection within the CNS. HIV has been shown to infect astrocytes to a lesser degree, and productive infection and release of infectious virions by astrocytes has not been observed consistently (Brack-Werner et al., 1992; Conant et al., 1994; Brack-Werner, 1999; Canki et al., 2001; Olivier et al., 2018). Astrocytes are not infected with HIV through classical gp120 and CD4 binding, but possibly through cell-to cell contact or receptor mediated endocytosis (Chauhan et al., 2014; Do et al., 2014; Luo and He, 2015; Russell et al., 2017), allowing for reverse transcription and incorporation of viral DNA into the host genome (Narasipura et al., 2012). Taken together, most data support that astrocytes can be infected by HIV, release high levels of inflammatory factors and produce several viral proteins including Tat, Nef, and Rev, which promote inflammation and damage to surrounding cells (Ferrell and Giunta, 2014; Hong and Banks, 2015). In fact, recent studies propose that astrocytes infected with HIV in addition to releasing viral proteins, may also release infectious virions that can infect T-cells in the brain that can then be trafficked to the periphery (Lutgen et al., 2020).

ASTROCYTE PHENOTYPE AND GLYMPHATICS

Astrocytes make up about 30% of the cells in the CNS (Churchill et al., 2015) and in health, play vital roles in many homeostatic mechanisms to maintain proper CNS functioning and to provide trophic support of neurons (Sun et al., 2019). Thus, it stands to reason that during HIV infection of the CNS, the proper functioning of astrocytes whether infected by the virus as described above, or activated by chronic neuroinflammation would be impacted. Rationale for this prediction is supported by studies from other neurodegenerative diseases with chronic inflammation and reactive glial cell populations. Upon stressful stimuli, astrocytes become activated from a quiescent state, become reactive and undergo structural and functional changes (Ferrer, 2017; Hinkle et al., 2019). In this context, astrocytes can be classified into numerous subtypes based on morphology, activation state and anatomical location. For the purpose of this review, we will consider two reactive subtypes, A1 and A2 that are induced by inflammation or ischemia, respectively (Zamanian et al., 2012; Liddelow et al., 2017; Joshi et al., 2019; **Figure 3**). The A1 subtype has increased complement 3 (Liddelow et al., 2017; Cohen and Torres, 2019), a neuroinflammatory profile, and releases NF κ B-related inflammatory cytokines including TNF- α and IL-1 β . Transcriptome analyses of reactive astrocytes show that A1 neuroinflammatory reactive astrocytes upregulate many genes that are destructive to synapses (Shijo et al., 2019). A1 astrocytes are more common in CNS HIV infection and neurodegenerative disease including AD (Cohen and Torres, 2019) and are specifically associated with neurotoxicity. For example, studies demonstrate that amyloid plaques and pTau in the brains of AD patients are surrounded by A1 astrocytes (Li et al., 2011).



The A2 subtype on the other hand, is induced by ischemic event. Ischemia-induced A2 reactive astrocytes upregulate many neurotrophic factors that promote survival and growth of neurons. The A2 subtype is associated with a neurotrophic profile with increased levels of BDNF, FGF2 and VEGF to promote CNS repair and regeneration (Gao et al., 2005; Zador et al., 2009; Hayakawa et al., 2014). A2 astrocytes also produce thrombospondins that aid in synapse repair (Chan et al., 2019).

Since A1 and A2 phenotypes may impact glymphatic function differently, consideration should be given to neurodegenerative events that are accompanied by increased accumulation of aberrant proteins or waste products that are characterized by one phenotype or the other. For example, the A1 phenotype is most commonly observed in HIV, AD, PD, epilepsy, and TBI, all of which include increased accumulation of aberrant proteins or waste products, as well as chronic inflammation (Liddel and Barres, 2017; Clark et al., 2019; Matias et al., 2019). Interestingly, normal aging as well as age-related neurodegeneration are accompanied by the increased presence of A1 astrocytes (Clarke et al., 2018). Given that AQP4 is reported to be mislocalized in the A1 phenotype, it may be surmised that this could lead to glymphatic dysregulation. On the other hand, the A2 phenotype is generally reported to be protective, however recent studies report that brain and BBB ischemia, and reperfusion can promote changes in Tau that include both increased and decreased phosphorylation (Pluta et al., 2018a,b). Given that astrocytic phenotype has been described as existing in a heterogeneous continuum of mixed populations, more research is needed to determine how glymphatic function in the context of a specific clinical setting is impacted.

As discussed in previous sections of the review, some astrocytes are infected by HIV and can produce and release

several viral proteins such as Tat, Nef, and Rev due to integrated virus, thereby inducing an A1 phenotype and production of inflammatory factors that damage surrounding cells (Hinkle et al., 2019; Shijo et al., 2019). Thus, the A1 astrocyte phenotype likely contributes in part to the development HAND (Ances and Clifford, 2008).

HIV-ASSOCIATED NEUROCOGNITIVE DISORDER (HAND)

With the development of cART, PWH typically have normal life spans (Bandera et al., 2019). While cART has dramatically increased the life expectancy of PWH, it has also brought attention to an array of neurocognitive issues, collectively known as HAND. HAND has been described as a spectrum disorder that is typically diagnosed by neurocognitive testing to assess attention, information processing, language, executive functioning, sensory-perceptual skills, simple motor skills, complex perceptual-motor skills and memory (Olivier et al., 2018; Robinson-Papp et al., 2019; Saloner et al., 2019). In earlier efforts, the National Institute of Mental Health and National Institute of Neurological Diseases and Stroke charged a working group to assess HAND criteria and develop an updated consensus for the definition (Antinori et al., 2007). According to this criteria in what is commonly called the “Frascati criteria,” the following three conditions are recognized: asymptomatic neurocognitive impairment (ANI), mild neurocognitive disorder (MND), and HIV-associated dementia (HAD) (Antinori et al., 2007). ANI is currently the most prevalent manifestation of HAND in the developing world (Métral et al., 2020) and can be difficult for clinicians to diagnose as the deterioration of cognitive

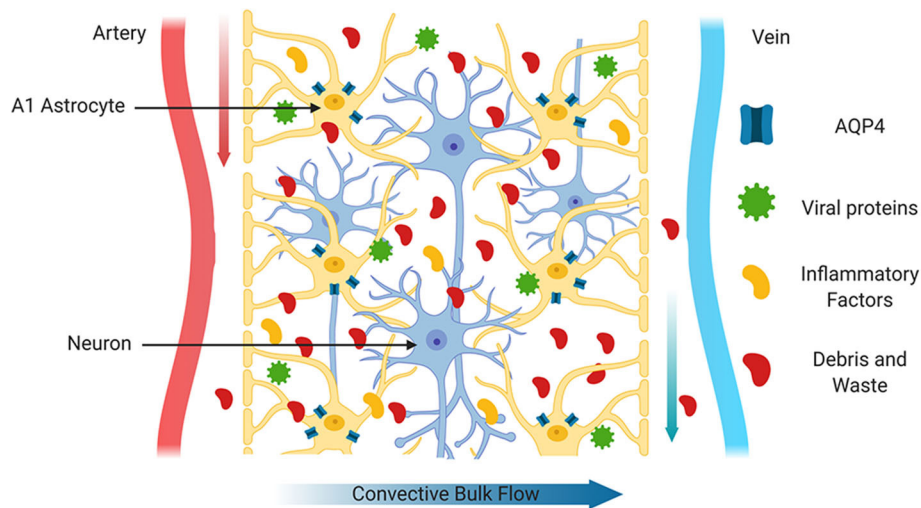


FIGURE 4 | Impaired glymphatic system. After injury or during CNS diseases with a neuroinflammatory component such as HIV infection, the glymphatic system functions less efficiently. This may be due in part to increased inflammatory factors or to the production of viral proteins by HIV infected cells. In this scenario, mislocalization of AQP4 from astrocyte end feet to the cell body and/or reduced expression of AQP4 may lead to accumulation of toxic waste products such as hyperphosphorylated Tau.

functioning is undetectable by some assessments. Importantly, research indicates that ANI is clinically important because these individuals are at risk for transitioning to the more severe forms of HAND (Ciccarelli, 2019). Participants of the CNS HIV Antiretroviral Therapy Effects Research (CHARTEr) study who had ANI at baseline were two to six times more likely to develop symptomatic HAND during several years of follow-up than those who had no impairment at baseline (Olivier et al., 2018; Ciccarelli, 2019). MND is similar to that of ANI also may causes difficulty for diagnosis (Haddow et al., 2013). Like ANI, MND may also be difficult diagnose in PWH when they are one standard deviation below mean in two cognitive domains based from the Frascati Criteria. HAD is the most severe of the form of HAND and according to the Frascati Criteria is diagnosed in PWH when they are two standard deviations below the mean in at least two cognitive domains (Olivier et al., 2018; Cysique and Brew, 2019; Matchanova et al., 2019). Despite the evolution of defining and diagnosing HAND over the past several decades, debate over screening tools and management plans continue (Winston and Spudich, 2020). In fact, numerous contributing factors to cognitive impairment in PWH must be considered and include, nearly normal life spans with advanced age, age-related co-morbidities, effects of initial infection on the CNS, lifestyle, medications other than cART, cART-induced toxicities, and chronic neuroinflammation (Winston and Spudich, 2020), all of which may impact astrocyte reactivity and waste clearance from the brain.

ALTERED WASTE CLEARANCE AND ABNORMAL AGING IN HAND

The prevalence of PWH over 50 years of age is increasing rapidly each year, and is predicted to increase from 28 to 73% by 2030

(Yang et al., 2019). There is growing evidence that the prevalence of comorbidities and other age-related conditions including geriatric syndromes, functional or neurocognitive problems, polypharmacy or social difficulties are higher in PWH than in their uninfected counterparts (Guaraldi et al., 2019; Winston and Spudich, 2020). Limited information is available regarding the optimal clinical management of older PWH (Chen et al., 2019; Guaraldi et al., 2019; Guo and Buch, 2019). Chronic neuroinflammation has been associated with altered synaptic connectivity and BBB function, and with neuronal injury (Bandera et al., 2019; Guaraldi et al., 2019). In the CNS, age-associated neuroinflammation is also linked with impaired waste clearance (Cassol et al., 2014). In a larger metabolomic profiling study comparing CSF from PWH on cART to CSF from age matched HIV negative individuals, alterations in the HIV CSF metabolome indicated persistent inflammation, glial reactivity, and glutamate neurotoxicity (Cassol et al., 2014). Moreover, abnormal aging in PWH impacts brain waste disposal systems and likely contribute to mechanisms involved in HAND (Cassol et al., 2014). Importantly, these alterations were not directly associated with cART or with ongoing HIV replication in CSF or plasma (Cassol et al., 2014). Although specific mechanisms that could be directly associated with HAND were not identified, it has been speculated that HIV-mediated disruption of AQP4 may contribute to altered glymphatic function (Xing et al., 2017; Figure 4).

CERVICAL LYMPH NODES AS A RESERVOIR FOR HIV

As described above, CSF and ISF exchange occurs in the perivenous space and ISF is then transported from the brain to the cervical lymph nodes (Figure 2; Iliff et al., 2013). Cervical

lymph nodes (CLNs) are a group of lymph nodes in the neck region that are located adjacent to the cervical region of the spinal cord near the sternocleidomastoid muscle (Dave et al., 2018a). The glymphatic and meningeal systems function as a waste removal pathway by connecting the CNS to the CLNs (Benveniste et al., 2017). T cells and antigen-presenting cells (APCs) that are present within the CNS drain via the lymphatic path into the CLNs (Benveniste et al., 2017). CLNs contain follicular dendritic cells (FDCs) which can retain antigens for a prolonged duration allowing them to potentially act as a reservoir for HIV (Sabri et al., 2016; Dave et al., 2018a). FDCs are important for immune function as they allow for germinal center formation, long term immune recovery (Dave et al., 2018a,b) and may contribute significantly to immune system response to the virus, the viral reservoir and escape. Importantly, given the intimate connection between glymphatic drainage and CLNs, the potential role of CLNs in glymphatic impairment and HIV infection should be considered. In addition to well-studied viral reservoirs including macrophages, microglia and astrocytes (Churchill et al., 2015), virion-immune complexes may become trapped within the interconnected processes of FDCs, and may represent a significant reservoir for the virus (Fletcher et al., 2014; Dave et al., 2018a). Studies also show that infectious virus can be recovered from the FDCs PWH who are cART experienced, indicating that FDCs (Ho et al., 2007; Fletcher et al., 2014; Heesters et al., 2015). FDCs have been also been shown to retain infectious virus inside endosomes even in the presence of neutralizing antibodies (Heesters et al., 2015). On the other hand, in several species of non-human primates (NHP) infected with simian immunodeficiency virus (SIV), progression to disease including chronic inflammation, usually does not occur (Rey-Cuillé et al., 1998; Diop et al., 2000; Goldstein et al., 2000; Broussard et al., 2001; Onanga et al., 2002). Additionally, other studies have shown that FDCs do not serve as reservoirs for SIV in NHP (Paiardini and Muller-Trutwin, 2013; Huot et al., 2016, 2018). NHP hosts control viral replication in lymph nodes and resolve the inflammatory response despite high viremia. Although no studies have investigated potential glymphatic impairment in SIV-infected NHP, evidence for a similar system has been reported whereby subarachnoid stroke resulted in impaired CSF flux into periaxonal regions (Goulay et al., 2017). Given the striking differences between SIV-infected NHP and HIV infection of humans in viral reservoirs, viral and inflammation control in the context of CLN response, studies aimed at AQP4 and astrocytic involvement in SIV are warranted.

AQUAPORIN 4 AND NEUROHIV

Few studies have investigated changes in AQP4 and HIV CNS infection. In one study, expression levels of AQP4 in brain homogenates from the mid frontal gyrus of HAD patients and to a lesser extent HIV patients without HAD, were significantly increased (St Hillaire et al., 2005). Immunolabeling of brain tissue indicated patchy AQP4 in perivascular regions as well as in morphologically characterized reactive astrocytes not necessarily associated with brain vasculature.

A single study in the SIV-infected macaque model using three different viruses, SIVmac239, simian-human immunodeficiency virus (SHIV)-RT and SIVsm543-3 assessed changes in levels and patterns of AQP4 in the frontal cortex (Xing et al., 2017). In uninfected control brains, AQP4 was diffusely and evenly expressed in subpial and perivascular areas of the cortex, suggesting expression on astrocytic cell bodies as well as processes. On the other hand, in the brains of infected animals, AQP4 labeling was largely limited to astrocyte-like cells with loss of even distribution. Areas showing loss of AQP4 labeling showed intense GFAP labeling with intracellular dense glial fibrils and increased pro-apoptotic caspase-3 expression (Xing et al., 2017). Thus, these findings support the concept that viral infection does in fact alter patterns and levels of AQP4 in the brain (Xing et al., 2017). Importantly, patterns of AQP4 in SIV brains were reminiscent of those observed in HIV patients' brains (St Hillaire et al., 2005; Xing et al., 2017). Studies by Aoki-Yoshino et al report that HIV encephalitis and HAD are not the only neuroinflammatory diseases with altered AQP4 expression and report changes in progressive multifocal leukoencephalopathy and multiple sclerosis (Aoki-Yoshino et al., 2005).

SUMMARY

As mentioned previously, the brain was initially thought to be devoid of typical lymphatic system, but in the past few years, studies have indicated that there is a system whereby CSF and ISF interchange to clear waste products, solutes and maintain homeostatic conditions within the brain parenchyma. The glymphatic system has been shown to clear aberrant proteins including pTau, A β and other macromolecules that tend to increase and accumulate in neurodegenerative diseases. Proper functioning of the glymphatic system is therefore particularly important in age-related CNS diseases including AD and HAND, both of which are characterized by glial cell activation, chronic inflammation and accumulation of toxic waste products. It is also important in recovery from TBI, whereby secondary injury cascades lead to accumulation of pTau, chronic inflammation, reactive gliosis in addition to structural damage. Astrocyte expression of the water channel AQP4 is intimately involved in normal glymphatic clearance and its function is impaired in AD, TBI and HIV infection of the brain. The proper localization of AQP4 in end foot processes of astrocytes is essential to its function (**Figure 2**), and in neurodegenerative diseases with chronic inflammation, increased ROS and glial cell reactivity, AQP4 becomes localized to the cell body (**Figure 4**).

Thus far, most studies of glymphatic impairment and AQP4 mislocalization have been conducted in AD and TBI patients or animal models. Given the neuropathological similarities among AD, TBI and HIV infection of the CNS, investigations into the potential impact of viral latency and reactivation in the brain are particularly timely. For example, AQP4 mislocalization on astrocytes and decreased waste clearance may involve increased A2aR-mediated cAMP generation, especially since blocking A2aR activity preserved AQP4 localization to astrocyte end feet accompanied by decreased pTau accumulation.

Among the important considerations in proposing that HIV infection of the brain may be impacted by glymphatic disruption are the changing status and spectrum of HAND within a person with HIV during their lifetime. In this context, astrocyte reactivity, phenotype and neuroinflammatory status can fluctuate. Another critical aspect of potential glymphatic involvement in HIV infection is the role played by CLNs in human infection compared to SIV infected NHP. Given that LN FDCs may serve as a reservoir in human infection with limited immune control, and peri-venous collection of ISF drainage into CLNs that may have lost their normal architecture and be harboring virus, another level of complexity exists within studies aimed at understanding if and how HIV impacts glymphatic and lymphatic functioning.

Approaches aimed at improving AQP4 functioning in the brains of PWH may include modulating activation levels of A2aR and assessing flux of fluid through the brain parenchyma. Studies to investigate molecular changes and neurobiological consequences of regulating A2aR are necessary both *in vitro* and *in vivo*. Positron emission tomography (PET) imaging studies have shown reduced clearance of CSF in AD patients and in

patients with normal pressure hydrocephalus (De Leon et al., 2017; Ringstad et al., 2017, 2018). In this context, early detection of changes in clearance using PET imaging may be possible. Consideration must also be given to interactions among the dural lymphatic network, CLN and glymphatic clearance can be linked. Only after increased understanding of disease-specific alterations in these pathways can potential new therapeutic directions be realized.

AUTHOR CONTRIBUTIONS

CT wrote manuscript and created figures. JM wrote manuscript. DL wrote manuscript and edited the manuscript. All authors contributed to the article and approved the submitted version.

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Human Beta-Defensin 2 and 3 Inhibit HIV-1 Replication in Macrophages

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Human beta-defensins (hBDs) are broad-spectrum antimicrobial peptides, secreted by epithelial cells of the skin and mucosae, and astrocytes, which we and others have shown to inhibit HIV-1 in primary CD4⁺ T cells. Although loss of CD4⁺ T cells contributes to mucosal immune dysfunction, macrophages are a major source of persistence and spread of HIV and also contribute to the development of various HIV-associated complications. We hypothesized that, besides T cells, hBDs could protect macrophages from HIV. Our data in primary human monocyte-derived macrophages (MDM) *in vitro* show that hBD2 and hBD3 inhibit HIV replication in a dose-dependent manner. We determined that hBD2 neither alters surface expression of HIV receptors nor induces expression of anti-HIV cytokines or beta-chemokines in MDM. Studies using a G-protein signaling antagonist in a single-cycle reporter virus system showed that hBD2 suppresses HIV at an early post-entry stage *via* G-protein coupled receptor (GPCR)-mediated signaling. We find that MDM express the shared chemokine-hBD receptors CCR2 and CCR6, albeit at variable levels among donors. However, cell surface expression analyses show that neither of these receptors is necessary for hBD2-mediated HIV inhibition, suggesting that hBD2 can signal *via* additional receptor(s). Our data also illustrate that hBD2 treatment was associated with increased expression of APOBEC3A and 3G antiretroviral restriction factors in MDM. These findings suggest that hBD2 inhibits HIV in MDM *via* more than one CCR thus adding to the potential of using β -defensins in preventive and therapeutic approaches.

Keywords: macrophages, HIV-1, human β -defensin 2, CCRs, APOBEC3G, APOBEC3A

INTRODUCTION

Mucosal surfaces, especially of the genital and gastrointestinal tracts, are the primary sites of initial transmission of HIV-1. The virus can reach target cells across both intact and damaged mucosal surfaces *via* different mechanisms [reviewed in (Moutsopoulos et al., 2006)]. Virus may also enter *via* damaged mucosal surfaces to infect susceptible dendritic cells (DCs), macrophages and T cells.

Regardless of the mode of entry, once the virus has breached the mucosal barrier and entered susceptible target cells, including macrophages, it is subsequently transported *via* the lymphatic system and blood stream to other sites in the body.

Macrophages are versatile cells of the immune system. They can independently recognize and attack foreign antigens, activate various aspects of the innate immune response, as well as interact with and activate cells of the adaptive immune response (Dobrovolskaia and Vogel, 2002; Mantovani et al., 2004; Gordon and Taylor, 2005; Gordon and Mantovani, 2011; Sica and Mantovani, 2012; Wynn et al., 2013). Macrophages are susceptible to infection by HIV and are in mucosae, potentially exposing them to infection during heterosexual transmission (Greenhead et al., 2000). Several studies have shown that cells of the monocyte/macrophage lineage serve as both, an active site for virus replication and dissemination through the body (Gartner et al., 1986b), especially to protected sites such as perivascular macrophages (Williams et al., 2001) and microglia in the central nervous system (Gartner et al., 1986a; Koenig et al., 1986), and as a reservoir of latent virus (Gendelman et al., 1989; Brown et al., 2006; Li et al., 2010; Honeycutt et al., 2016; Honeycutt et al., 2017; Ganor et al., 2019; Ko et al., 2019). Additionally, infected macrophages alter the innate immune response, making the host more vulnerable to other infections. Thus, by virtue of their importance in the regulation of the immune response, their relatively long life span, and their susceptibility to infection, macrophages contribute to the persistence and amplification of HIV infection [reviewed in (Alexaki et al., 2008; Koppensteiner et al., 2012; Churchill and Nath, 2013)].

Current antiretroviral therapy (ART) for HIV infection has evolved tremendously over the past thirty years and has resulted in significant reductions in morbidity and mortality. Despite these advances, toxicity, multi-drug resistance, lack of response to drugs, failure to restore immune competence and to eradicate latent virus reservoirs are some of the common problems associated with ART. The problem is further compounded by the high cost, lack of compliance, and/or unavailability of treatment and patients also remain susceptible to the serious complications of AIDS. In particular, while the introduction of cART has significantly decreased the occurrence of HIV-associated dementia, and the incidence of AIDS, the prevalence of HIV-Associated Neurocognitive Disorders (HAND) has increased despite long standing viremia suppression [reviewed in (Broder, 2010; Deeks, 2013; Nath and Tyler, 2013)]. Hence, there is an urgent need to develop strategies that serve as complementary or alternative therapies.

Components of innate immunity participate to control HIV infection and studying their mechanisms of action may contribute to the development of new treatments. Our studies highlight potential therapeutic application of human defensins and the pathways that they induce in cells susceptible to HIV infection.

Defensins are a heterogeneous group of small molecular weight peptides that exhibit potent antimicrobial properties against a broad variety of pathogens, including bacteria, fungi, and viruses [reviewed in (Lehrer and Lu, 2012; Jarczak et al., 2013;

Wilson et al., 2013; Holly et al., 2017; Brice and Diamond, 2020)]. In addition, they are involved in stimulation, proliferation, differentiation, morphogenesis, motility and function of immune cells, hence, playing significant roles in both innate and adaptive immunity [reviewed in (Yang et al., 2007)]. Several studies have also identified defensins as potential immunotherapies for different cancers (Papo and Shai, 2005; Kesting et al., 2012; Mei et al., 2012), auto-immune (Badr, 2013) and inflammatory disorders (Niyonsaba et al., 2001; Poiraud et al., 2012). In humans, two major subclasses, α - and β -defensins, are produced. Human β -defensins are produced primarily by epithelial cells of diverse mucosal tissues and by monocytes, macrophages, astrocytes and DCs [reviewed in (Yang et al., 2007)]. Immunohistochemical studies show that hBD2 is constitutively expressed in the oral mucosa of normal healthy individuals, producing a barrier across the epithelium. In contrast, a previous study from our group showed that hBD2 levels were not detectable in HIV-1-positive individuals (Sun et al., 2005), which may predispose them to oral complications of AIDS.

Studies by various groups over the years show that both α - and β -defensins exhibit anti-HIV activity *in vitro*. α -defensins can inhibit HIV-1 replication by direct interaction and inactivation of the virions or by affecting target cells (Nakashima et al., 1993; Mackewicz et al., 2003; Wang et al., 2004; Chang et al., 2005). Previous studies from our laboratory (Sun et al., 2005; Lafferty et al., 2010; Lafferty et al., 2017) and from others (Quinones-Mateu et al., 2003; Feng et al., 2006) show that hBD2 and hBD3 elicit anti-HIV activity in peripheral blood mononuclear cells (PBMCs) and CD4⁺ T cells. It was further shown by our group (Lafferty et al., 2010; Lafferty et al., 2017) that hBD2 inhibits HIV at an early stage post-entry and the intracellular mechanism involves induction of the host anti-viral restriction factor apolipoprotein B mRNA-editing enzyme-catalytic polypeptide-like 3G (APOBEC3G) *via* the CC chemokine receptor 6 (CCR6). CCR6 is expressed on cells that are highly permissive to HIV infection, including memory T cells, Th₁₇ cells, α 4 β 7 cells, and microglia (Liao et al., 1999; Flynn et al., 2003; Acosta-Rodriguez et al., 2007; Singh et al., 2008; El Hed et al., 2010; Gosselin et al., 2010; Monteiro et al., 2011; Christensen-Quick et al., 2016; Lafferty et al., 2017). It has been shown that chemokine receptors can be functionally bound by non-chemokine ligands such as defensins (Yang et al., 1999; Jin et al., 2010; Lafferty et al., 2010; Rohrl et al., 2010).

Due to the lack of studies on macrophages, we tested the ability of hBD2 to inhibit HIV-1 infection in primary human monocyte-derived macrophages (MDM) and elucidate its mechanism(s) of action. We demonstrate that in MDM, hBD2 inhibits HIV post-entry *via* more than one mechanism. It inhibits virus at an early stage in the life cycle *via* binding to and signaling through more than one CCR type that results in induction of anti-retroviral restriction factors of the APOBEC3 family i.e. 3G and 3A. This suggests that the mechanism(s) of hBD2-mediated HIV-1 inhibition in primary human macrophages is quite different from that in primary human CD4⁺ T cells.

MATERIALS AND METHODS

Ethics Statement

Human PBMCs were isolated from healthy blood donor's leukopaks obtained from New York Blood Center, Long Island, NY, in accordance with their guidelines. Donors were anonymous; hence, patient consent was not required.

Reagents

Recombinant human IFN- α was obtained from R&D Systems, Inc. The HIV-1 reverse transcriptase (RT) inhibitor azidothymidine (AZT); the chemical antagonist of CCR2, RS102895, Cytochalasin D (CytD) and paraformaldehyde were purchased from Sigma-Aldrich. Pertussis toxin (Bordetella pertussis, glycerol solution) was from Calbiochem. TURBO DNase I was from Ambion. The HIV-1 fusion inhibitor T20 peptide was a kind gift from Dr. Lai-Xi Wang at the Institute of Human Virology.

Isolation and Culture of Primary Cells

Human PBMCs were isolated from leukopaks from healthy human subjects with the use of Histopaque-1077 (Sigma-Aldrich). Monocyte-derived macrophages (MDM) were prepared by adherence method. Cells were plated at $\sim 2 \times 10^6$ cells/ml in 100mm petri dishes (Corning) and left to differentiate for 5–7 days in Roswell Park Memorial Institute (RPMI)-1640 (Cellgro, Mediatech, Inc.) complete medium (which is supplemented with 1% penicillin/streptomycin, 2 mM L-glutamine [Quality Biochemical, Gaithersburg, MD], 20% heat-inactivated Fetal Bovine Serum [Gemini Bio-Products]) in the presence of 10% human AB serum (Gemini Bio-Products). Non-adherent cells were removed by thorough washing and differentiated macrophages were cultured in RPMI-1640 complete medium only (no human serum here onwards). In this study, differentiated macrophages were detached with StemPro Accutase (GIBCO by Life Technologies) following manufacturer's recommendations and gentle scraping when needed. Flow cytometry analyses confirmed that more than 95% of the adherent cells were macrophages. Cell viability was determined using trypan blue exclusion method.

Virus Production

HIV-1_{BaL} (R5 isolate) virus stocks were prepared in MDM, while the transmitted-founder isolate HIV-1AD17 (kindly provided by George Shaw) was produced in PM1 cells (Li et al., 2010). Virus p24 levels were measured using a commercial ELISA kit (Perkin Elmer, Foster City, CA). TCID₅₀ of virus stocks were determined in PBMCs using the protocol of ACTG Laboratory Technologist Committee. Luciferase reporter pseudotyped virus was generated by cotransfection of 293T cells with pNL4-3.Luc.R'E⁻ plasmid which has the firefly luciferase gene inserted into the *nef* gene, and an Amphotropic Murine Leukemia Virus (AMLV) envelope-expressing plasmid, using Eugene 6 (Roche Diagnostics and Promega) and plasmids kindly provided by Dan R. Littman (New York University) (Page et al., 1990). Supernatants from 293T cells were harvested 72 hours after cotransfection and p24 levels measured as described above.

Virus was concentrated, if needed, by ultra-centrifugation on a sucrose cushion. Virus was titrated in primary MDM.

Total Chemical Synthesis of Human β -Defensins

hBDs 1, 2 and 3 were chemically synthesized by solid phase peptide synthesis with a custom-modified procedure tailored from the published *in situ* neutralization protocol developed for Boc chemistry (Schnolzer et al., 1992). The syntheses, purification, folding, and characterizations were published previously (Wu et al., 2003). The beta connectivity of three disulfide bonds (Cys1-Cys5, Cys2-Cys4, and Cys3-Cys6) in highly pure synthetic hBDs 1 to 3 was independently verified by mass mapping of peptide fragments generated by enzymatic digestion and Edman degradation (Wu et al., 2003). Protein concentrations were determined by absorbance measurements at 280 nm using molar extinction coefficients calculated according to a published algorithm (Pace et al., 1995).

Cell Metabolism Assay

Cell metabolism was determined by using the MTS [3,4-(5-dimethylthiazol-2-yl)-5-(3-carboxymethoxy phenyl)-2-(4-sulfophenyl)-2H-tetrazolium salt] assay (Promega, Madison, WI), which measures conversion of MTS tetrazolium into formazan by cellular dehydrogenase enzymes in metabolically active cells. For this, MDM (10^5 cells/well) were cultured in triplicate in 96-well plates with media alone as a control or hBDs for 3–4 days and then the MTS/PMS mixture was added as per manufacturer's protocol and incubated for 1 to 4 hours before spectrophotometric absorbance reading at 490 nm. Triplicate readings were averaged and OD ratios of treated/control cells were calculated as percentages.

Infectivity Assays

MDM (2×10^6 cells/ml) were infected with $6-8 \times 10^4$ TCID₅₀/ml of HIV-1_{BaL} for 2 hours followed by three washes, and then cultured at 10^5 cells/well of 96-well plate in RPMI complete medium with the appropriate treatment(s) up to 14 days at 37°C. Half of the culture supernatants were changed with fresh medium on days 4, 7 and 10. Each culture was performed in triplicate. As a positive control, cells were pretreated with AZT (10 μ M) prior to infection. Infection/HIV-1 replication was determined by measuring the amount of p24 antigen in the culture supernatants using a commercially available p24 antigen capture assay kit (Perkin Elmer, Foster City, CA) following manufacturer's protocol. For experiments with the chemical antagonist of CCR2, RS102895, after infection of MDM with HIV-1_{BaL}, cells were pretreated with the inhibitor (15 μ M) at 37°C for 2 hours, followed by addition of hBD2, and cultured as described above. Percentage of inhibition in treated cells was calculated with the use of the following formula: % Inhibition = $[1 - (p24_{\text{treated}}/p24_{\text{control}})] \times 100$, where $p24_{\text{treated}}$ and $p24_{\text{control}}$ are concentrations of HIV-1 p24 measured in supernatants of treated and untreated cells, respectively.

Single-round infections were performed with the use of AMLV envelope-pseudotyped HIV-1. MDM were incubated

with pseudotyped virus for 3 hours and subsequently washed with PBS and then cultured (2×10^5 cells/well of 48-well plate) in RPMI complete medium in the presence or absence of hBD2 (4.7 μ M) for 3 days at 37°C. Following this, infected cells were washed with PBS and lysed with Reporter Lysis Buffer (SteadyGlo kit, Promega), and luciferase activity was measured in a Turner Luminometer. For inhibition of G_i-protein signaling with pertussis toxin (PTx), cells were infected with pseudotyped virus as described above followed by treatment with PTx (100 ng/ml) for at least 3 hours and then cultured in the presence or absence of hBD2.

Flow Cytometry

Effect of hBD2 on cell surface expression of HIV-1 receptor and co-receptors, CD4, CCR5 and CXCR4 was analyzed by flow cytometry. For this, MDM were treated with hBD2 and hBD3 (4.7 μ M) for time periods described in the *Results* section below, after which the cells were harvested, processed and stained. Briefly, the cells were washed with cold FACS buffer (PBS containing 2% FBS and 0.1% Na-azide), and then blocked with either 5% human AB serum or human FcR blocking reagent (Miltenyi Biotec) in FACS buffer for up to 30 minutes followed by washing and staining with mouse monoclonal anti-human antibodies (mAbs) for 30 minutes at 4°C in the dark. After incubation, cells were washed and fixed in 1% paraformaldehyde in FACS buffer. The cell preparations were analyzed with a FACS Calibur flow cytometer (BD Biosciences, CA). Macrophage surface markers including CD14, CD11b, CD36, and HLA-DR were used to identify and gate on macrophages. Live cells were gated to exclude all nonviable cells and debris according to forward and scatter profiles. These gated events were further analyzed for expression of CD4, CCR5 and CXCR4. Positives and negatives were determined by comparison with matching isotype controls. The following mAbs were used: PE-conjugated anti-CD4, APC-conjugated anti-CCR5, and PE-conjugated anti-CXCR4 (all from BD Pharmingen, San Jose, CA). In all cases, isotype-matched control mAbs were used. For flow cytometry analysis of cell surface expression of CCR2 and CCR6 on MDM, similar FACS staining method was used with following mAbs: APC-conjugated anti-CCR2 (R&D Systems, Inc.) and PE-conjugated anti-CCR6 (BD Pharmingen). All data were analyzed using FlowJo software (Tree Star Inc., San Carlos, CA).

Quantitation of Interferons and β -Chemokines in hBD2 Treated MDM Supernatants

Supernatants derived from MDM incubated for different times up to 24 hours with hBD2 (4.7 μ M) were tested for the presence of interferon- α and - β and the β -chemokines RANTES, MIP-1 α and MIP-1 β by commercial ELISA kits following the manufacturer's protocols (R&D Systems, Inc.).

Real-Time Quantitative PCR of HIV-1 DNA

MDM (10^6 cells/time point) were untreated or pretreated with AZT (10 μ M) or fusion inhibitor T20 (2.5 μ M) and infected for 2 hours at 37°C with 10^5 TCID₅₀/ml of DNase I-treated HIV-1_{BaL}.

Cells were washed to remove extracellular virus, and resuspended in RPMI complete media, and infected untreated cells were incubated in the presence or absence of hBD2 (4.7 μ M). Total cellular DNA was extracted with the use of the DNeasy Blood and Tissue Kit (QIAGEN) at 6, 12, 24 and 48 hours post-infection. DNA was analyzed by real-time quantitative PCR to determine the number copies of early (negative strand strong stop) reverse transcripts present with iQSYBR green supermix (Bio-Rad) and primers F: 5'-GGCTAACTAGGGAACCCACTG-3' and R: 5'-CTGCTAGAGATTTTCCACACTGAC-3' (Lafferty et al., 2010). Transcript levels were normalized using endogenous *albumin* gene as a reference. Primers used for *albumin* are F: 5'-TGTTGCATGAGAAAACGCCA-3' and R: 5'-GTCGCCTGTTCAACCAAGGAT-3' (Lafferty et al., 2010). Annealing temperatures of 60°C and 62°C were used for strong stop and *albumin*, respectively. Reactions were performed in triplicate with the use of a Bio-Rad iQ5 Real-Time PCR machine. A standard curve for number of HIV-1 DNA copies was set up with dilutions of HxB2 plasmid DNA. Data was analyzed with Bio-Rad iQ5 and Microsoft Excel software.

Real-Time Quantitative RT-PCR Analysis of APOBEC3G and APOBEC3A

Cells (10^6 cells per time point) were untreated or treated with hBD2 (4.7 μ M) or IFN- α (1000 U/ml). RNA was extracted with the RNeasy Kit (Qiagen) at the indicated time points. First strand cDNA was synthesized from 500 ng total RNA with iScript cDNA Synthesis Kit (Bio-Rad). cDNA was analyzed by real-time quantitative PCR with iQSYBR green supermix (Bio-Rad) with the use of primers specific for APOBEC3G; F: 5'-CGCAGCCTGTGTCAGAAAAG-3' and R: 5'-CCAACAGTGCTGA AATTCGTCATA-3' (Jin et al., 2005), and for 18S ribosomal RNA; F: 5'-ATCAACTTTCGATGGTAGTCG-3' and R: 5'-TCCTTGGATGTGGTAGCCG-3' (Lafferty et al., 2010) and annealing temperature of 60°C. For APOBEC3A, cDNA was synthesized as described above and analyzed by real-time quantitative PCR with iQ Supermix (Bio-Rad) and Taqman primer-probe sets Hs00377444_m1 APOBEC3A-FAM and Hs03928985_g1 RN18S1-VIC_PL (Applied Biosystems) and annealing temperature of 60°C. In both cases, all reactions were performed in triplicate with the use of a Bio-Rad iQ5 Real-Time PCR machine. Data was analyzed with Bio-Rad iQ5 and Microsoft Excel software. The $\Delta\Delta$ Ct method was used to calculate fold change between untreated and treated cells normalized to 18S ribosomal RNA.

Immunoblotting

For CCR6 western blots, untreated MDM and JKT-FT7 cells were lysed with RIPA buffer (Sigma) containing 0.1 mM PMSF, 1X EDTA-free protease inhibitor cocktail (Sigma). Total protein concentration was determined with the BCA Protein Assay kit (Pierce, Thermo Scientific, Inc.), and equal amounts of total protein were subjected to sodium dodecyl sulfate-polyacrylamide gel electrophoresis analysis. Immunoblots were probed with either mouse monoclonal anti-human CCR6

antibody (MAB195, R&D Systems, Inc.) or rabbit polyclonal anti-human CCR6 antibody (ab78429, Abcam Inc.) followed by horseradish peroxidase-conjugated anti-mouse or anti-rabbit secondary antibodies (Santa Cruz Biotechnology) detected with the ECL-plus kit (GE Healthcare, Bucks, UK) on a PharosFX Plus Molecular Imager (Bio-Rad). Immunoblotting for CCR6 in CD4⁺ T CCR6⁺ and CCR6⁻ sorted cell lysates was performed on a separate blot that was previously used for another experiment in our lab. The low frequency of these subsets of cells in total human PBMCs and the high cost involved limits the availability of whole cell lysates from these populations.

For APOBEC3 induction experiments, MDM were incubated in the presence or absence of increasing concentrations of hBD2 or IFN- α (1000 U/ml) for 0, 4, 8, and 24 hours. Cells were lysed as described above. Immunoblots were probed with either rabbit polyclonal anti-hAPOBEC3G antisera or rabbit anti-hAPOBEC3G-C antisera for APOBEC3A and mouse anti-h β -actin antibody (Abcam Inc.) or rabbit anti-GAPDH monoclonal antibody (Cell Signaling Technology, Inc.) as load control followed by horseradish peroxidase-conjugated anti-rabbit or anti-mouse secondary antibodies (Santa Cruz Biotechnology) detected with the ECL-plus kit (GE Healthcare, Bucks, UK). The polyclonal anti-APOBEC3G antibodies from Drs. Warner C. Greene (#9968), Klaus Strebel (anti-ApoC17 #10082), and Jaisri Lingappa (#10201) and, rabbit anti-APOBEC3G-C antibody from Dr. Klaus Strebel (#9906) (Kao et al., 2003) used to detect APOBEC3A were obtained through the National Institutes of Health AIDS Research and Reference Reagent Program, Division of AIDS, National Institute of Allergy and Infectious Diseases, National Institutes of Health. Densitometric quantification of protein levels was done with Quantity One software, version 4.6.9 (Bio-Rad Laboratories, Inc., CA, USA). Each lane/sample was normalized to its respective load control, and background signal for the blot was subtracted from all lanes.

Replicates

With the exception of FACS analyses and pseudotyped HIV infections, every experiment was performed in triplicate with cells from a particular donor and was performed independently in cells from 3 or more donors. The number of donors used is noted in each figure legend as *n*.

Data analyses was performed using Microsoft Excel and GraphPad Prism 5. Images and figures were prepared using Adobe Photoshop 7.0 or CS software (Adobe Systems, San Jose, CA).

RESULTS

hBD2 Inhibits HIV-1 Replication in Macrophages in a Dose-Dependent Manner

To evaluate the effect of hBDs 1, 2 and 3 on replication of R5 virus in primary human MDM, cells were infected with 1.24×10^3 TCID₅₀ of HIV-1_{BaL} and then cultured in the absence or presence of hBD 1, 2 or 3, at a final concentration of 4.7 μ M,

for up to 14 days. AZT was used as a positive control in all infection experiments. Release of HIV-1 p24 was quantified in supernatants every 3 days starting at day 7 after infection. **Figure 1A** shows p24 amounts in the culture supernatants. Both hBD2 (40-57%) and hBD3 (70-80%) inhibited HIV-1 replication, in contrast to hBD1 which, at the concentration tested, appears to increase HIV-1 replication in MDM. To test for toxicity of hBDs on MDM, cell metabolism was measured by the MTS assay on cells treated with hBD 1, 2 or 3 for 3 to 4 days. As shown in **Figure 1C**, at the concentrations used, these β -defensins have a marginal positive effect on cell metabolism in MDM. Although hBD3 shows more potent inhibition of HIV-1 infection in macrophages as compared to hBD2 and it does not appear to be affecting cell metabolism, it was shown to decrease proliferation of PBMCs (Sun et al., 2005), thereby making it a less desirable candidate as compared to hBD2 for further studies. Hence, from here onward, studies to determine and characterize the mechanism(s) of β -defensin-mediated suppression of HIV-1 infection in macrophages were limited to hBD2. To evaluate the effect of different concentrations of hBD2 on HIV infection in MDM, cells were infected and cultured as described above in the presence or absence of hBD2 concentrations ranging from 0.9 to 23.3 μ M (corresponds to 4 to 100 μ g/ml). As shown in **Figure 1B**, hBD2 significantly inhibited R5 virus replication in a dose-dependent manner. We also tested the effect of hBD2 in a transmitted/founder virus, AD17 (Li et al., 2010). As seen with BaL, 4.7 μ M concentration shows inhibition ranging from 45-65% over time, and the higher concentration of 23.3 μ M shows inhibition ranging from 70-80% over time (**Figure 1D**). These results, taken together with published reports in PBMCs and CD4⁺T cells (Quinones-Mateu et al., 2003; Sun et al., 2005), suggest that the effect of hBD2 on HIV-1 replication extends to macrophages. Since the concentration of 4.7 μ M hBD2 is well within the range of secreted hBD2 measured in oral mucosa and epidermal tissues (Liu et al., 2002), we used this concentration in further studies.

hBD2 Does Not Alter Surface Expression of HIV Receptors on Macrophages

Previous studies have shown that β -defensins alter HIV-1 coreceptor CXCR4 expression on PBMCs and CD4⁺ T cell lines (Quinones-Mateu et al., 2003; Feng et al., 2006). This raises the possibility that interaction of hBD2 with macrophages may affect the expression pattern of HIV-1 receptor and coreceptors. To test this hypothesis, we measured cell surface expression of CD4, CCR5 and CXCR4 on cells after treatment with hBD2 for different time periods. To this end, we treated uninfected MDM with hBD2 for 1, 2, 3, and 24 hours followed by staining with PE-CD4 or PerCP-CD4, APC-CCR5, PE-CXCR4, and isotype-matched control antibodies and flow cytometric analyses. hBD2 had no significant effect on the surface expression of CD4, CXCR4 or CCR5 on MDM. **Figure 2** shows the results at 1 and 24 hours post-treatment with hBD2; similar results were obtained with 2 and 3 hours of treatment (data not shown). **Table 1** shows the median fluorescence intensity (MFI) values for each surface marker at the different times tested. These results indicate that treatment

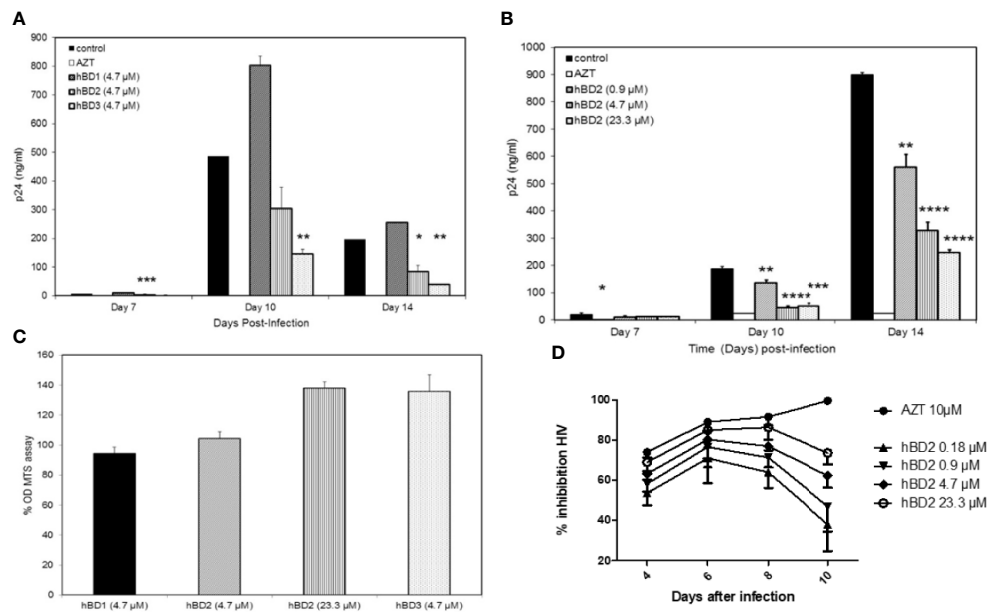


FIGURE 1 | Human β -defensins inhibit HIV-1 replication in macrophages *in vitro*. MDM were infected with HIV-1_{BAL}. After virus removal and washing, hBD1, hBD2, and hBD3 (4.7 μ M) **(A)** or increasing concentrations of hBD2 (0–23.3 μ M) **(B)** were added to cultures. Cells were pretreated with AZT as control. Infection was monitored by assaying supernatants for HIV p24 production by ELISA at the times indicated. Data are presented as mean \pm SEM of triplicates. Representative experiment, $n=3$. * $P < 0.05$, ** $P < 0.005$, *** $P < 0.0005$, **** $P < 0.0001$ between treatment and control groups determined with unpaired two-tailed t test. **(C)** β -defensins are not toxic to macrophages at the concentrations that inhibit HIV-1. Cells treated with hBDs were tested using MTS assay. Cells were cultured in triplicate in 96-well plates for 3 days in the presence or absence of β -defensins; MTS mix was added and incubated 1 to 4 hrs prior to spectrophotometric absorbance readings at 490 nm. Triplicate readings were averaged (\pm SEM) and percentage OD ratios of treated/control cells were calculated. **(D)** hBD2 inhibit infection of MDM with a transmitted-founder HIV strain. MDM were infected with transmitter-founder virus AD17. After virus removal and washing, hBD2 at concentrations indicated above were added to cultures. HIV p24 release in supernatants was monitored by ELISA at the time indicated, and % inhibition was calculated as % of HIV p24 production from untreated MDM. Data are presented as mean \pm SEM of triplicates, $N=3$.

with hBD2 does not modulate HIV receptors. We observed similar results treating MDMs with hBD3 (Figure S1).

hBD2 Does Not Reproducibly Induce Expression of Anti-Viral Cytokines or β -Chemokines in Macrophages

Type I interferons (IFN) $-\alpha$ and $-\beta$ are induced in response to viral infections and are the cytokines that suppress HIV replication both *in vitro* and *in vivo* [reviewed in (Shankar et al., 2012)]. Several studies have also demonstrated the significance of β -chemokines in restriction of, and protection from, HIV infection both *in vitro* and *in vivo* [reviewed in (Garzino-Demo et al., 2000; DeVico and Gallo, 2004)]. Previous studies have shown that α -defensins can inhibit HIV-1 replication in macrophages by triggering release of HIV-1 inhibitory β -chemokines (Guo et al., 2004) and that treatment of DCs with hBD2 upregulated the expression and release of β -chemokines (MIP-1 α and MIP-1 β) in culture supernatants (Biragyn et al., 2002). Therefore, we tested whether hBD2 inhibition of HIV-1 in MDM is mediated *via* up-regulation of the expression of (anti-R5 tropic) β -chemokines- MIP-1 α , MIP-1 β and RANTES and/or Type I IFNs. Culture supernatants obtained from MDM treated with hBD2 up to 24 hours were used to quantify these molecules by

commercial ELISA kits. As shown in Figure S2, treatment with hBD-2 induced an increase in production of all three β -chemokines at the 24 hour time point in Donor 1, and an increase in production of RANTES at the 4 and 8 hour time points in Donor 3 as compared to levels in untreated cultures. It is unlikely; however, that the modest increase in β -chemokines observed at different time points post-treatment significantly contribute to the anti-HIV-1 activity of hBD2 in macrophages as the concentrations measured in the hBD2 treated culture supernatants are well below the concentrations needed to efficiently inhibit HIV replication (Cocchi et al., 1995). Boniotto et al. had made similar observations with hBD2 in PBMCs (Boniotto et al., 2006). Further, IFN- α and $-\beta$ were not detected in supernatants of both untreated control cells as well as hBD2 treated cells at any time points in any of the donors (data not shown). These data suggest that the anti-HIV-1 effect of hBD2 in macrophages is not mediated through induction and release of these anti-viral cytokines and β -chemokines.

hBD2 Suppresses HIV-1 at an Early Post-Entry Stage

We and others have shown that hBD2 and hBD3 interact directly with HIV-1 decreasing infectivity irreversibly (Quinones-Mateu et al., 2003; Sun et al., 2005; Lafferty et al., 2010). In the current

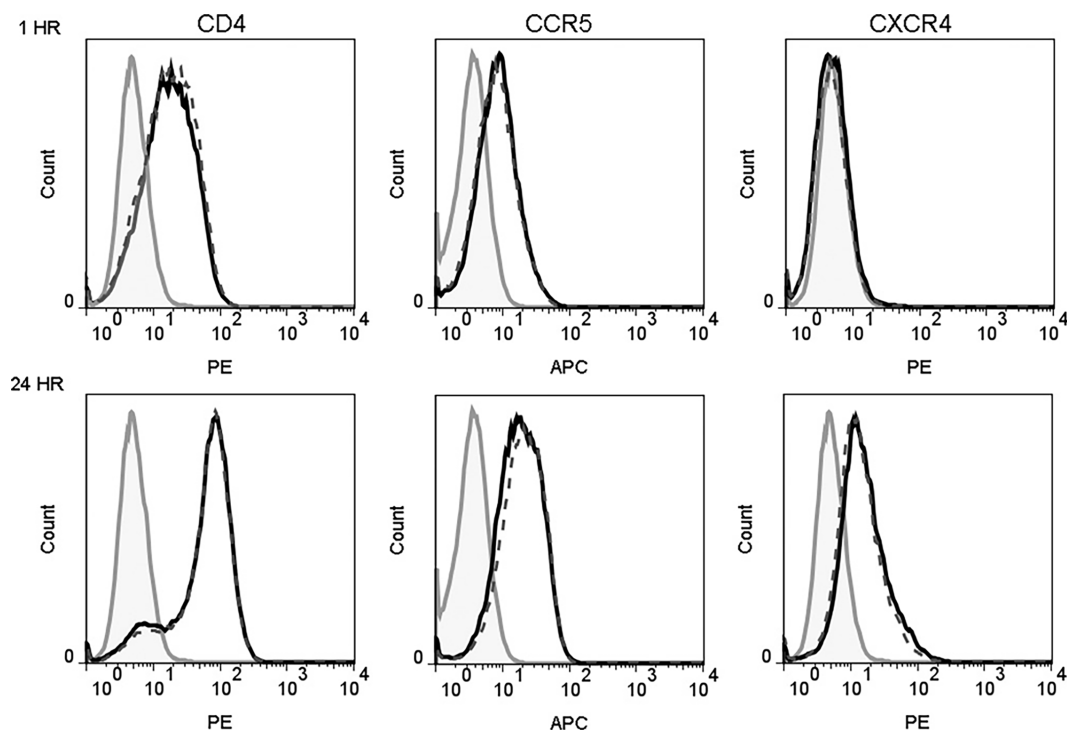


FIGURE 2 | hBD2 does not alter surface expression of HIV receptors on macrophages. MDM were cultured in the absence (black solid lines) or presence (black dotted lines) of hBD2 for different times. The surface expression of CD4, CCR5, and CXCR4 was assessed by flow cytometry as described in *Materials and Methods*. Data analyzed using FlowJo software. Left, middle, and right panels show staining of CD4, CCR5, and CXCR4, respectively. Isotype-matched control antibodies are shown in grey. The x-axis and y-axis show fluorescence intensity and cell count, respectively. Representative experiment, $n=2$.

TABLE 1 | Median Fluorescence Intensity (MFI) values for surface receptor expression on MDM.

Time (hr) post treatment	Condition	Donor 1			Donor 2		
		CD4-PE	CCR5-APC	CXCR4-PE	CD4-PE	CCR5-APC	CXCR4-PE
1	untreated	17.30	8.27	4.71	11.35	6.82	2.97
	hBD2	17.72	7.77	4.58	10.76	6.53	2.83
2	untreated	19.04	8.82	5.25	11.29	7.35	3.28
	hBD2	20.07	9.12	5.00	11.17	7.27	2.94
3	untreated	21.89	9.60	5.34	13.67	8.42	3.39
	hBD2	21.61	9.53	5.12	12.53	8.67	3.32
24	untreated	68.72	18.12	14.04	45.86	14.40	5.50
	hBD2	70.00	20.26	12.51	48.33	20.86	5.57

study, hBD2 was always added to macrophage cultures post-infection, decreasing the direct impact of hBD2 on HIV. To investigate whether hBD2 inhibits HIV-1 in macrophages post-entry, a single-cycle infection assay was employed with an HIV luciferase reporter virus pseudotyped with the AMLV envelope that does not use either CXCR4 or CCR5 for host entry. MDM were infected for 3 hours and incubated for 3 days in presence or absence of hBD2. AZT was used as a positive control. Subsequently, luciferase activity was measured and the percentage of inhibition compared with infected untreated cells was calculated. As shown in **Figure 3A**, hBD2 treatment resulted in significant inhibition (>70%) of luciferase expression in 3 of 4

donors tested. Treatment with AZT under the same conditions resulted in inhibitory activity greater than 80% in all donors. We observed donor-to-donor variability exemplified by the lack of inhibition with hBD2 in Donor 1 which is not unusual in studies with primary cells from a random pool of human subjects. This may be due to lack of hBD2 receptor(s) on the cell surface, or other parameters. Overall, these results show that hBD2-mediated restriction of HIV-1 is not dependent on the Env-host cell interaction, and occurs post-entry.

To probe the intracellular mechanism of inhibition, the presence of early (negative strand strong stop, -sss) products of HIV-1 reverse transcription were quantified in MDM infected

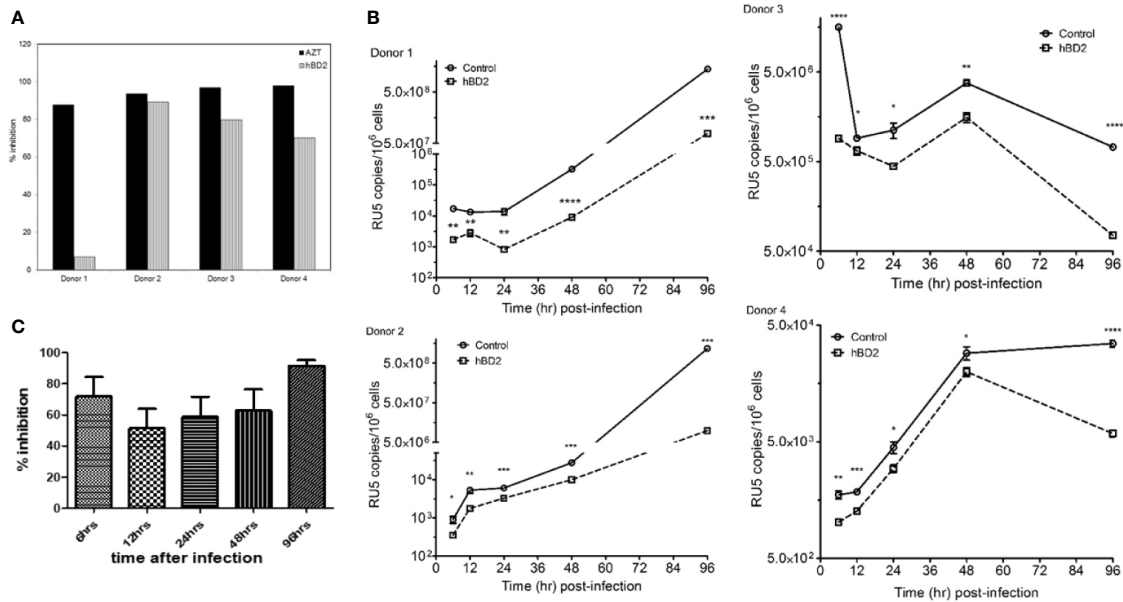


FIGURE 3 | hBD2 suppresses HIV-1 at an early post-entry stage. **(A)** Single-cycle infection of MDM. Cells were infected with HIV-luciferase pseudotyped with AMLV envelope. After infection, cells were incubated 3 days in presence or absence of hBD2. Subsequently, cells were lysed and luciferase activity was measured. Percentage of inhibition was calculated for treated infected cells in reference to untreated infected cells. Data are presented for independent experiments from 4 different donors. **(B)** hBD2 inhibits accumulation of early reverse transcription products of HIV-1. MDM were challenged with DNase I-treated HIV-1_{BaL}. Post-infection, hBD2 was added to the cultures. Total cellular DNA was isolated at the indicated time points and copies of LTR/RU5 products of reverse transcription were measured in triplicate by real-time PCR. Readings were averaged \pm SEM and are presented as copies per million cells; log-scale graph. * $P < 0.05$, ** $P < 0.005$, *** $P < 0.0005$, **** $P < 0.0001$ between treatment and control groups determined with unpaired two-tailed t test. Data are presented for independent experiments from 4 different donors and **(C)** summary graph of the average inhibition for the four donors shown in **3B** was determined as the percentage of HIV-1 DNA copies in treated infected cells in reference to untreated infected cells.

with HIV-1_{BaL} by real-time quantitative PCR at various time points post-infection, using α -tubulin as the reference gene. Cells were infected, treated after infection with hBD2 (4.7 μ M) and harvested for processing as described in *Materials and Methods*. For positive controls, cells were pretreated with either AZT or T20. Percent inhibition was calculated for ratio of HIV infected treated cells to infected untreated cells. The results in **Figures 3B, C** and **Figure S3** confirm that inhibition occurs post-entry, as HIV-1 DNA products are detected in the presence and absence of hBD2. After 6 hours post-infection, hBD2 treated samples showed significant inhibition of accumulation of LTR/RU5 products (**Figures 3C, S3**). Pretreatment with AZT also inhibited accrual of these products (data not shown). Some variability in the level of inhibition was observed in cells from different donors, ranging from 40–90%, but overall they all showed inhibition of early reverse transcription products. Thus, hBD2 restriction acts after the initiation of reverse transcription, preventing the completion of full length viral DNA products.

hBD2 Inhibits HIV-1 in Macrophages Post-Entry via Gi-Protein Mediated Signaling

hBD2 is known to use C-C chemokine receptor 6 (CCR6) and C-C chemokine receptor 2 (CCR2) to induce cell migration in

immature DCs, memory T (Yang et al., 1999) and mast cells (Niyonsaba et al., 2002), respectively, although there is evidence that additional receptors might be involved in the migration of cells of myeloid origin (Soruri et al., 2007). Preincubation of cells with pertussis toxin (PTx) abrogated migration towards hBD2, indicating that hBD2 signals *via* a receptor(s) coupled to PTx-sensitive $G_{\alpha i}$ proteins (Soruri et al., 2007). To elucidate whether the inhibition of HIV by hBD2 in macrophages is mediated by $G_{\alpha i}$ -proteins signaling, we used PTx in our assays. MDM were infected with the AMLV pseudotyped HIV luciferase reporter virus and pretreated with PTx (100 ng/ml) and incubated for 3 days in presence or absence of hBD2. AZT was used as a positive control. Treatment of infections with PTx alone resulted in some inhibition of HIV LTR driven luciferase gene expression. Both inhibition (Alfano et al., 1999; Copeland et al., 1999; Alfano et al., 2000; Alfano et al., 2001; Iordanskiy et al., 2002; Lapenta et al., 2005; Hu et al., 2006) and enhancement (Momoi et al., 2000) of HIV infection in response to varying concentrations of PTx have been previously reported by different groups. However, in our experiments pretreatment with PTx resulted in abrogation of hBD2 inhibition of HIV (**Figure 4**), although inhibition was not completely reversed in all donors tested, which is indicative of more than one mechanism for hBD2 inhibition of HIV in macrophages. This result suggests

that, at least in part, hBD2 binds GPCRs on the surface of macrophages and activates intracellular G_i -protein signaling pathways to mediate its HIV inhibitory activity.

Expression Pattern of Known hBD2 Receptors on the Surface of Macrophages

CCR6 is responsible for both hBD1 and hBD2 binding and chemotaxis of memory T cells, immature DCs (Yang et al., 1999), and TNF- α -treated neutrophils (Niyonsaba et al., 2004). Several studies have reported that hBD3 and hBD4 are chemotactic for peripheral blood monocytes (Wu et al., 2003; Soruri et al., 2007) and mast cells which do not express CCR6 (Soruri et al., 2007) implying the existence of an unidentified receptor. Two independent studies implicated CCR2 as the GPCR responsible for hBD2 and hBD3-mediated signaling and chemotaxis of monocytes (Jin et al., 2010; Rohrl et al., 2010). CCR2 is largely expressed on myeloid cells, such as monocytes (Katschke et al., 2001), DCs, macrophage subsets (Lin et al., 2008), and neutrophils (Iida et al., 2005).

In order to identify the receptor(s) used by hBD2 on macrophages for intracellular signaling that results in restriction of HIV-1 replication, we analyzed the surface expression patterns of the known hBD2 receptors i.e. CCR2 and CCR6 on seven to ten days old MDM from healthy human donors ($n=53$) by flow cytometry. Based on the percentage of cells expressing the CCR, MDM were classified as follows: $\geq 50\%$ cells positive = + (moderate to high levels); 10–49% cells positive = +/- (low to moderate); $< 10\%$ cells positive = - (negative). Results are summarized in **Tables 2, 3** representative MDM of each type is shown in **Figures 5A–C**. **Figure 5D** shows the MFI of CCR6 signal, as compared to its respective isotype-matched control, on MDM from these different donors. As shown in **Table 2**, only 4% of the donors were CCR2⁺ and 9% were CCR2^{+/-} compared to 87% that were CCR2⁻. In contrast, 15% of the same donors were CCR6⁺ and 28% were CCR6^{+/-} while 57% of the donors were CCR6⁻. However, as shown in **Table 3**, MDM from 51% of the donors were negative for both CCR2 and CCR6. These data indicate that hBD2 may be using additional receptors on primary human MDM.

Since this is the first report of CCR6 surface expression on macrophages, we used additional biochemical methods to confirm CCR6 protein expression in MDM. To this end, we performed immunoblot analysis for CCR6 on whole cell lysates of MDM and used JKT-FT7 cell line (CCR6⁻) and primary human CD4⁺ T cells that were sorted into CCR6⁺ and CCR6⁻ populations as controls. As shown in **Figure 5E**, MDM do synthesize CCR6 protein at levels sufficient to be detected in immunoblots.

hBD2 Can Signal *via* More Than One Receptor on Macrophages to Inhibit HIV-1

Although several studies show that CCR2 acts as the receptor for hBD2 and hBD3 on monocytes, Phillips et al. (Phillips et al., 2005) determined that CCR2 expression is down regulated as human monocytes gradually differentiate into macrophages. To determine whether CCR2, when expressed on MDM, is involved

in hBD2-mediated intracellular inhibition of HIV-1, we used CCR2⁺ MDM. Cells were infected, pretreated with the potent and selective CCR2 pharmacological antagonist RS102895 (Jin et al., 2010) and subsequently cultured in the presence or absence of hBD2. As expected, hBD2 showed 60 to 75% inhibition of R5 virus replication over time in cells that were not treated with the inhibitor. The CCR2 antagonist, or DMSO control, by itself did not have a significant effect on infection. In contrast, blocking CCR2 resulted in complete reversal of HIV-1 inhibition by hBD2 (**Figure 6A**) suggesting a role for CCR2, when present, in mediating intracellular inhibition of the virus.

Since all MDM (from different donors) tested were not positive for surface expression of CCR2, CCR6 or both, we hypothesized that hBD2 signals in macrophages *via* additional receptors. To examine this possibility, we tested the ability of hBD2 to inhibit HIV-1 in CCR2⁻ CCR6⁻ MDM. Unexpectedly, we found that hBD2, at the same concentration used in previous infectivity experiments, completely abrogated HIV-1 replication in these cells up to 14 days post-infection, similar to the AZT control (**Figure 6B**). This is not attributable to low or no infection as all cells were infected in the same tube and split post-infection into infected untreated and infected hBD2-treated. These data suggest that hBD2 can use receptor(s) other than CCR2 and CCR6 on MDM. We decided to follow the infection over a longer time period, replenishing hBD2 in the culture every 3 days. As shown in **Figure 6B**, after 14 days, we observed virus replication gradually in the hBD2 treated cells, although inhibition (ranging from 92% to 64% on days 17 and 24, respectively) was still present. The MDM were also analyzed at the same time points for CCR2 and CCR6 surface expression. As seen in the scatter plots in **Figure 6C**, compared to no expression of either CCR2 or CCR6 at Day 0 (gray), 49% of the cells expressed CCR2 and 83% expressed CCR6 at Day 23 (black overlay on Day 0). Collectively, these data lead us to hypothesize that hBD2 uses different CCRs on macrophages with varying affinities.

hBD2 Upregulates APOBEC3G and/or APOBEC3A in Macrophages

Our results suggest that the post-entry inhibition occurs during early reverse transcription. To explore the mechanism(s) by which hBD2 suppresses HIV-1, we examined its ability to affect host restriction factors, specifically members of the APOBEC3 family of cytidine deaminases, namely APOBEC3G (A3G) and APOBEC3A (A3A) which are known intracellular inhibitors of HIV-1 in macrophages (Dong et al., 2006; Peng et al., 2007; Hou et al., 2009; Thielen et al., 2010; Chaipan et al., 2013; Mashiba and Collins, 2013). In addition, data from our lab demonstrated that hBD2 induced A3G expression *via* CCR6 resulting in HIV inhibition in CD4⁺ T cells (Lafferty et al., 2010). Also, recent reports show that A3A expression is significantly upregulated and is the major cytidine deaminase in myeloid cells in response to IFN- α treatment (Peng et al., 2007; Koning et al., 2009; Thielen et al., 2010).

To determine whether hBD2 influences A3G and/or A3A expression, macrophages were treated with hBD2 and kinetics of message levels were determined by real-time RT-PCR.

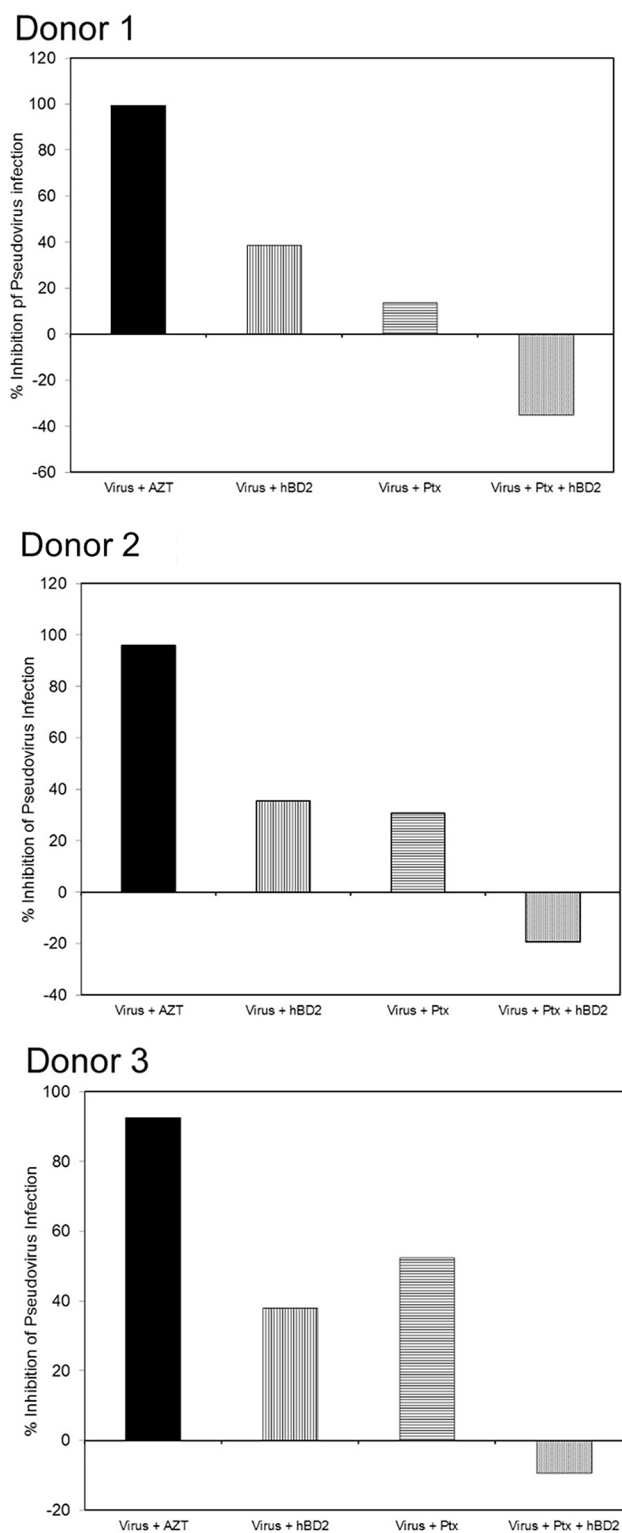


FIGURE 4 | hBD2 inhibits HIV-1 in macrophages post-entry via G_i -protein-mediated signaling. MDM were infected with single-cycle HIV-luciferase virus pseudotyped with AMLV envelope followed by pretreatment with or without PTx (100 ng/ml). Cells were then incubated 3 days in presence or absence of hBD2. Subsequently, cells were lysed and luciferase activity was measured. Percentage of inhibition was calculated for treated infected cells in reference to untreated infected cells. Data are presented for independent experiments from 3 different donors.

TABLE 2 | Expression of chemokine receptors from blood donors.

CCR Phenotype	%of Donors
CCR2+	4
CCR2 +/-	9
CCR2-	87
CCR6+	15
CCR6 +/-	28
CCR6-	57

key: - = negative; + = 50% cells positive; +/- = 10-49% cells positive.

TABLE 3 | Patterns of co-expression of chemokine receptors from blood donors.

CCR Phenotype	No. of Donors	%of Donors
CCR2+ CCR6+	4	8
CCR2- CCR6-	27	51
CCR2 OR CCR6	22	42
Total (n)	53	

N, no. of donors.

Treatment of cells with hBD2 induced a 2 to 3-fold increase in A3G mRNA signal in five of six donors tested, with one donor showing an 8-fold increase as compared to untreated cells, although we observed differences in the kinetics of induction

among different donors (**Figure 7A**). In contrast, A3A levels increase from 1.5 to 6-fold within the first hour post-treatment with hBD2 compared with untreated cells, with variable kinetics between different donors (**Figure 7A**). To determine whether this increase in expression translated into increase in protein, cells were treated with hBD2 and lysates were prepared at 4, 8, and 24 hours. Equal amounts of total protein were subjected to immunoblotting with anti-human A3G antibodies. hBD2 enhanced A3G protein levels (**Figure 7B**) but high levels of endogenous A3G in untreated MDM made it difficult to decipher a clear increase in treated cell lysates from different donors. In case of A3A, we observed 1.5- to 2-fold increase in protein 24 to 48 hours post-treatment compared with endogenous levels in untreated MDM (**Figure 7C**). Peak protein signal was delayed compared to peak RNA signal for A3A, which may be due to differences in the kinetics of RNA and protein expression of this protein, and donor-to-donor variability. Overall, gene expression and protein production analyses reveal that hBD2 induced both A3G and A3A in MDM with stronger induction of A3A.

DISCUSSION

We and others previously demonstrated that hBD2 and hBD3 inhibit HIV-1 replication in primary human PBMCs and CD4⁺

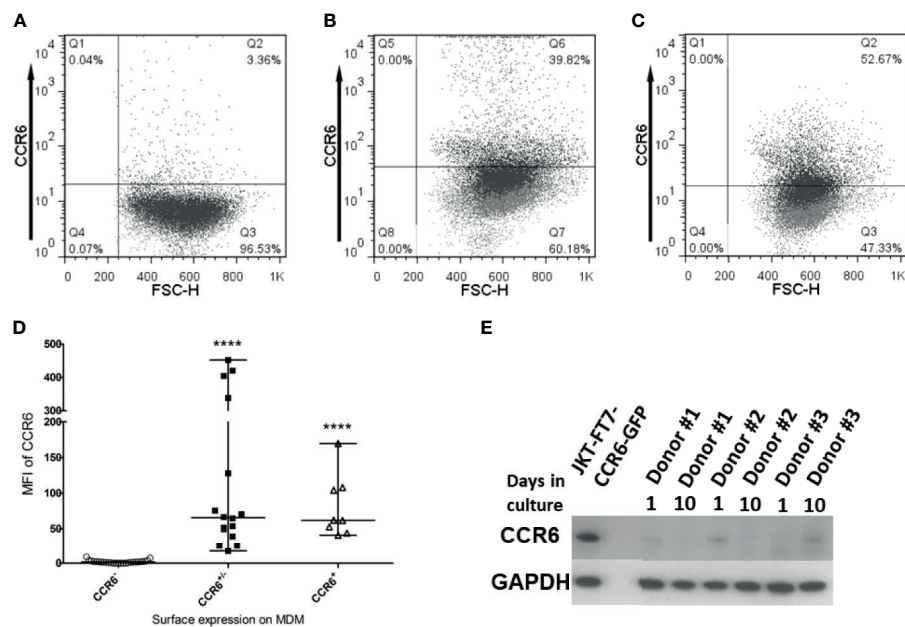


FIGURE 5 | CCR6 is expressed on macrophages. Untreated, uninfected MDM were harvested and stained for flow cytometry analysis of CCR6 as described in *Materials and Methods*. Data analyses were performed using FlowJo software. Forward scatter dot plots show the fluorescence and percentage of cells positive for CCR6 as compared to the respective isotype-matched control. A representative of each type **(A)** CCR6⁻, **(B)** CCR6^{+/-}, and **(C)** CCR6⁺ is shown. **(D)** Median Fluorescence Intensity (MFI) values for CCR6 surface expression (as compared to MFI for isotype control) on MDM from different donors designated as either CCR6⁻, CCR6^{+/-}, or CCR6⁺. Data are presented as median and range of MFI values. Each dot represents one donor. ****P < 0.0001 between CCR6^{+/-} and CCR6⁻ or CCR6⁺ and CCR6⁻ determined with unpaired two-tailed *t* test. **(E)** Immunoblot analysis of CCR6 on MDM from 3 donors, 1 day and 10 days of tissue culture without treatment. JKT-FT CCR6 GFP cell line lysate in first lane from left is used as a positive control; the second lane is empty; subsequent lanes are donors #1-3, at day 1 and day 10 of tissue culture.

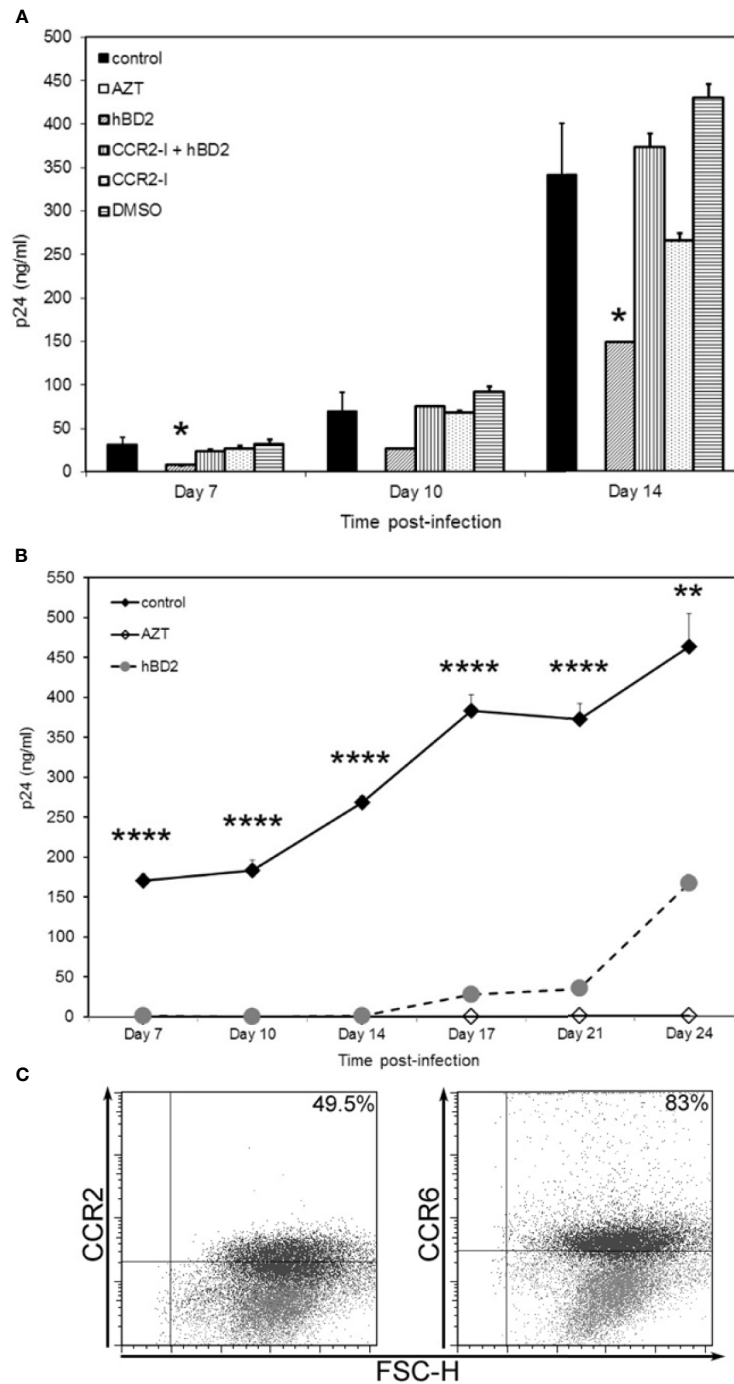


FIGURE 6 | hBD2 can signal via more than one receptor type on macrophages. **(A)** Neutralization of CCR2 rescues HIV-1 infection. MDM were infected with HIV-1_{BaL}. Cells were pretreated with AZT as control. Post-infection, infected untreated cells were pretreated with pharmacological antagonist RS102895 or DMSO control for 2 hrs followed by culture in presence or absence of hBD2 to the cultures. Infection was monitored by assaying supernatants for HIV p24 production by ELISA at the times indicated. Data are presented as mean \pm SEM of triplicates. * $P < 0.05$ between treatment and control infection determined with unpaired two-tailed t test. Representative experiment, $n=2$. **(B)** hBD2 signals via an as yet unidentified receptor. MDM, that were CCR2- CCR6- (by FACS staining), prior to start of infection, were infected with HIV-1_{BaL}. Cells were pretreated with AZT as control. Post-infection, cells were cultured in presence or absence of hBD2. Infection was monitored by p24 ELISA. Data are presented as mean \pm SEM of triplicates. ** $P < 0.005$, **** $P < 0.0001$ between treatment and control infection determined with unpaired two-tailed t test. **(C)** CCR2 and CCR6 surface expression levels vary with time. Uninfected, untreated cells from the donor used in **(B)** were harvested and stained for flow cytometry analysis as described in *Materials and Methods*. Data analyzed using FlowJo software. Forward scatter dot plots show the fluorescence and percentage of cells positive for CCR2 and CCR6 at Day 0 (grey) and Day 23 (black) as compared to the respective isotype-matched controls.

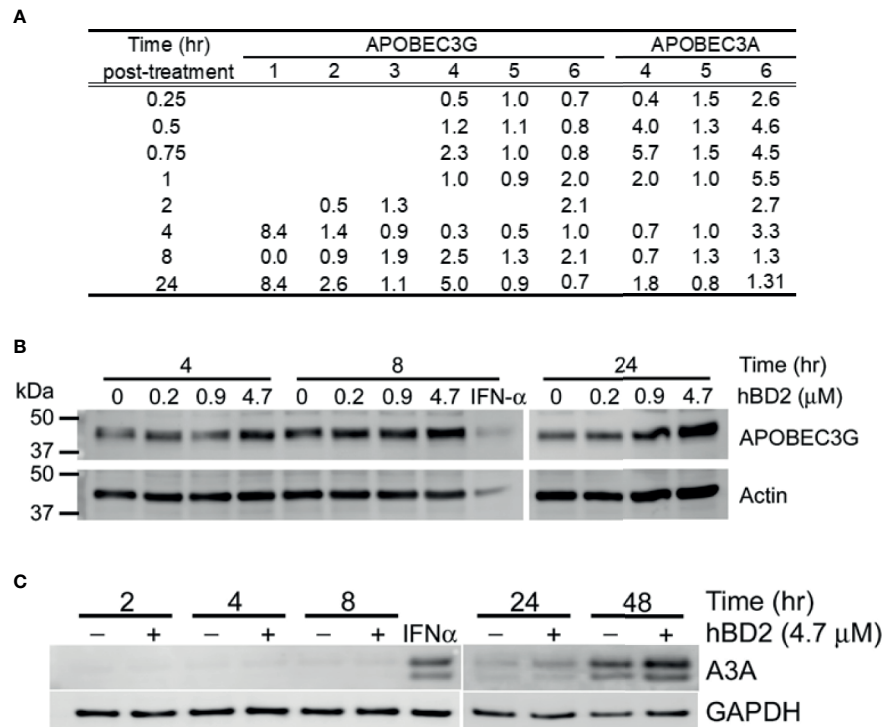


FIGURE 7 | hBD2 upregulates APOBEC3G and/or APOBEC3A in macrophages. **(A)** APOBEC3G and APOBEC3A expression in response to hBD2. MDM were treated with hBD2 for indicated times and mRNA levels were assessed by quantitative real-time RT-PCR. The data was normalized to 18S ribosomal RNA. Triplicate measurements were used to calculate fold change as described in *Materials and Methods*. Data are presented as fold change in treated samples compared to untreated samples at matched time points. Data are for independent experiments from different donors. Analyses of APOBEC3G **(B)** and APOBEC3A **(C)** protein levels in response to hBD2. MDM were treated with hBD2 for various times and cell lysates were used to detect APOBEC3G and APOBEC3A proteins by western blotting. β -actin and GAPDH serve as load controls. Representative experiment, $n=3$.

T cells (Quinones-Mateu et al., 2003; Sun et al., 2005; Lafferty et al., 2010; Lafferty et al., 2017). To the best of our knowledge, we demonstrate here for the first time that hBD2 and hBD3, but not hBD1, inhibit HIV-1 in human MDM. This inhibition occurs in the micromolar range of concentrations similarly to our previous observations on T cells, without effects on cell metabolism that we previously observed in CD4⁺ T cells treated with hBD3 (Sun et al., 2005). The dose-response profile of hBD2 that we observed was probably on primary cells was probably affected by donor-to-donor variability in expression of CCR6 and CCR2 (see below). The enhancement of HIV replication we observed by hBD1 was not observed in PBMC, where hBD1 has low inhibitory activity (Quinones-Mateu et al., 2003; Sun et al., 2005). The earlier studies on the effects of hBDs on HIV replication showed that the inhibitory activity was due to both a virucidal component, and an intracellular component (Sun et al., 2005). In this study, we addressed mostly intracellular mechanisms of inhibition in MDM. We further show that hBD2 acts *via* more than one receptor type to inhibit the virus post-entry, and not by altering surface expression levels of HIV receptor-co-receptors or by enhancing expression of anti-viral cytokines and β -chemokines. One mechanism is *via* binding to and signaling through different CCRs (GPCRs) on the cell

surface. The known hBD2 receptor on monocytes, CCR2, is expressed on the surface of human MDM in 4% of donors we tested. We found CCR6 expression on MDM from more than 40% of the donors tested, albeit at varying levels. To our best knowledge, this is the first report of CCR6 expression on peripheral blood monocyte-derived macrophages. Our data differs from published data that CCR6 is not expressed on peripheral blood monocytes (Ruth et al., 2003; Rohrl et al., 2010) or macrophages (Soruri et al., 2007). However, low level of CCR6 expression has been reported on myeloid blasts from the peripheral blood of AML patients (Cignetti et al., 2003), and on CD14⁺ monocytes from peripheral blood and synovial fluid of rheumatoid arthritis patients (Ruth et al., 2003). Also, studies have reported surface expression of CCR6 on microglial cells (Flynn et al., 2003), the resident macrophages of the brain, astrocytes (Flynn et al., 2003), and spinal cord infiltrate macrophages (Mony et al., 2014). The broader biological significance of this discovery warrants further exploration because of the role of CCR6 in both pro- and anti-inflammatory immune responses. The lack of a good CCR6 specific neutralizing antibody, antagonist, or a human CCR6 expressing monocyte cell line at this time restricts our ability to test the role of CCR6 in hBD2-mediated inhibition of HIV in

MDM, and/or whether some of the activity of hBD2 may be due to penetration of the peptide in cells.

Further support for our hypothesis that hBD2 signals in macrophages *via* more than one CCR comes from our experiments with PTx. MDM used from different donors for these experiments (**Figure 4**) expressed no CCR2 and either no CCR6 or low levels of CCR6 suggesting that these are not the only CCRs used by hBD2 on macrophages. Further, unexpectedly, maximum hBD2 inhibition of HIV was observed in MDM that were CCR2⁺ CCR6⁺ (**Figure 6B**). Therefore, we hypothesized that hBD2 may inhibit HIV in macrophages *via* additional receptors. This is consistent with a study which demonstrated that hBD2 mediates chemotaxis of mast cells *via* signaling through more than one receptor, and identified both high and low affinity receptors for hBD2 on this cell type (Niyonsaba et al., 2002). Our results on putative receptors for defensins open up more avenues of investigation as there may be more such β -defensin-CCR interactions on other cell types as well. Our results with PTx show that regardless of receptor usage, hBD2 requires G α i signaling pathway(s) for HIV inhibition in macrophages. When Ptx was used in conjunction with hBD2 treatment, HIV replication was not just restored, but it appeared to be enhanced. While this finding does not invalidate the role of Gi-mediated signaling in the inhibitory effects of hBD2, It open the possibility that hBD2 may also induce activation of other intracellular pathways that increase HIV replication.

Similar to previous findings from our laboratory in CD4⁺ T cells (Lafferty et al., 2010; Lafferty et al., 2017), we found that post-entry hBD2 blocks virus replication at an early stage of the life cycle after the initiation of reverse transcription as evidenced by inhibition of the accumulation of early reverse transcription products. The intracellular inhibition is further mediated *via* upregulation of the innate anti-viral restriction factors APOBEC3G (A3G) and APOBEC3A (A3A) to different levels. We detected increased A3G RNA signal in most donors tested with a corresponding increase in protein levels at 24 hours in macrophage lysates. As mentioned in the *Results*, high signal for endogenous A3G detected in untreated MDM lysates complicates our ability to accurately determine the effect of hBD2 on the level of A3G protein synthesized in MDM. The level of variability we observed is not unexpected in primary cells, so that it is possible that the effects of hBD2 may vary *in vivo*. We observed A3A induction, although while RNA levels increased within the first hour following addition of hBD2, either very weak or no signal was observed for A3A protein in both untreated and treated cells until 48 hours post-treatment. This is similar to studies of IFN- α -induction of A3A in monocytes (Thielen et al., 2010) and macrophages (Goujon et al., 2013) that showed low levels of A3A protein 8-10 hours post-treatment, and more robust signal 24 hours post-treatment, hence, our results may be due to the kinetics of translation and/or the half-life of this protein in myeloid cells. Our data that hBD2 enhanced A3G and A3A expression support our model that elevated levels of APOBEC3 proteins contribute to hBD2-mediated anti-HIV-1 activity in macrophages.

Taken together, our results provide evidence that hBD2 has the ability to suppress HIV-1 infection of primary macrophages *in vitro*. While the role of macrophages in the initial stages of infection is still debated (Collins et al., 2000; Greenhead et al., 2000; Gupta et al., 2002; Hladik et al., 2007; Bouschbacher et al., 2008; Shen et al., 2011), it is widely accepted that macrophages are not only a major target of HIV during both the acute and chronic phases of disease-they produce and spread infectious virus-but also a major reservoir of latent virus that, especially in the CNS, eludes eradication by existing therapies. Since hBD2 is expressed by epithelial cells in mucosae and in the CNS by astrocytes (Hao et al., 2001), our findings could be relevant to both systemic infection and neurological complications of the disease. Indeed, a protective role for human β -defensins against HIV acquisition in high risk exposed individuals has been suggested by Rugeles and colleagues (Zapata et al., 2008; Aguilar-Jimenez et al., 2013), and hBD2 has been shown to be correlated with ant- HIV activity in cervical-vaginal secretions (Ghosh et al., 2010; Patel et al., 2014). Several studies have also demonstrated an association between different β -defensin gene polymorphisms and HIV infection in adults as well as children of diverse ethnicities (Braida et al., 2004; Milanese et al., 2006; Baroncelli et al., 2008; Milanese et al., 2009; Ricci et al., 2009; Aguilar-Jimenez et al., 2011; Freguja et al., 2012; Hardwick et al., 2012). Human β -defensins, especially hBD2, therefore merit further consideration as both an immunotherapeutic and topical microbicide to prevent spread through sexual contact. While we did not address the effects of hBDs in a model of HIV-latency in MDM in this study, the possibility that hBDs can influence HIV reservoirs deserves to be addressed in further studies.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

AUTHOR CONTRIBUTIONS

JB designed and performed experiments, wrote the article. LS performed experiment. WL contributed key reagents and edited the article. SG contributed key reagents, and edited the manuscript. AG-D conceived and supervised the study and edited the article. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcimb.2021.535352/full#supplementary-material>

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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