



CELL COMMUNICATION IN VASCULAR BIOLOGY

EDITED BY: Xavier F. Figueroa, Walter N. Durán and Mauricio P. Boric

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CELL COMMUNICATION IN VASCULAR BIOLOGY

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Editorial: Cell Communication in Vascular Biology

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Keywords: vascular function, blood flow distribution, cell communication, endothelial cell, vascular smooth muscle cell, vascular diseases, cerebral circulation

Editorial on the Research Topic

Cell Communication in Vascular Biology

This Research Topic comprises 5 review articles and 10 original contributions in the field of cell communication in Vascular Biology. Contributors used a variety of experimental approaches and models, or exercised keen analysis of published reports, to assess intercellular signaling between different pairs of cell types, such as the endothelium with vascular smooth muscle (VSM), platelets, leukocytes, tumor cells, and trophoblasts; but also, VSM and macrophages or among complex systems like intact vessels, the neurovascular unit and placenta. Communication includes direct cell-cell contact by adhesion molecules or gap junctions; classic receptor-mediated paracrine signaling and intercellular genetic modification by non-coding RNAs (ncRNA) or microvesicles transfer; all of this in the context of physiological regulation and different pathologies.

Control of blood flow supply by the vascular system is essential to keep the homeostasis of each cell of the organism, and then, blood flow distribution must be dynamically regulated to match the changing metabolic demand of surrounding tissue. Although the amount of blood flow depends on the total cross-sectional area of arteries (i.e., total number and caliber) irrigating a particular territory, blood vessels are not inert conduits aimed to passively transport blood into the tissues, but they actually are complex, multicellular structures that must work as a unit to rapidly adjust the distribution of blood flow according to the minute-to-minute cellular requirements (Segal et al., 2000; Segal, 2005). The vessel wall is mainly constituted by smooth muscle cells and endothelial cells; thus, cell-to-cell communication is fundamental for the fine synchronization of function between these two cell types along the length of the vessels (Segal, 2015). It should be noted that synchronization and coordination are accomplished by an intricate system of complementary signaling pathways, which involves the interaction of direct cell-to-cell communication via gap junctions and the release of autocrine/paracrine signals (Figueroa and Duling, 2009; Moncada and Higgs, 2018). The relevance of this interaction is highlighted by the fine coordination of endothelial and smooth muscle cell function observed in the control of vasomotor tone through gap junctions located at the myoendothelial junctions (i.e., myoendothelial gap junctions) and endothelium-derived relaxing signals, such as nitric oxide (NO), prostaglandins and an unidentified signal known as endothelium-derived hyperpolarizing factor (EDHF) (Busse et al., 2002). The regulation of these signaling pathways is elegantly depicted in this Research Topic by Schmidt and de Wit.

Gap junctions are made up by the association of two hemichannels provided by each adjacent cell and, in turn, hemichannels are formed by connexin proteins (Sáez et al., 2003; Molica et al., 2018). However, individual hemichannels can be functional and work as a complementary signaling pathway to pannexins, which form channels with similar characteristics to hemichannels. Both connexin hemichannels and pannexin channels can contribute to the intercellular vascular

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signaling by the release of autocrine/paracrine signals, such as ATP, NO, and PG (Begandt et al., 2017), as noted in the article of Kameritsch and Pogoda.

Coordination of endothelial and smooth muscle cell function does not only rely on the intercellular signaling generated in a particular vessel segment (i.e., radial communication), but also on the longitudinal communication along the whole vessel length. In addition, small arteries and arterioles form a complex network in the microcirculation, where different vessel segments must work in concert to regulate blood flow distribution by precise, well-integrated changes in the luminal diameter (Segal, 2005; Figueroa and Duling, 2009), as demonstrated by the conduction of vasomotor responses along the longitudinal axis of microvessels (Møller et al.).

In addition to the complex signaling interaction between the cells of the vascular wall, regulation of blood flow distribution in peripheral tissues depends on both local signals from the surrounding tissues and the autonomic nervous system, basically the sympathetic nervous system (Thomas and Segal, 2004; Westcott and Segal, 2013; Gaete et al., 2014). However, paradoxically, cerebral blood flow is mainly controlled by local signaling across the neurovascular unit (neurons, astrocytes and cell types of the vascular wall) through a communication mechanism known as neurovascular coupling or vasculo-neuronal coupling, depending on the direction of the signaling, from neurons to vessels or from vascular cells to neurons, respectively (Moore and Cao, 2008; Muñoz et al., 2015; Kim et al., 2016; Iadecola, 2017). Importantly, the article of Presa et al. illustrates that pathological conditions, such as hypertension, may lead to alterations in these communication mechanisms with a subsequent cognitive decline.

Not only the amount of blood flow through different territories must be regulated according to demand, but the vascular wall constitutes a regulated barrier for transport or exclusion of small metabolites, proteins and circulating blood cells. In this context, brain function also relies on the control of neuronal environment by endothelial cells in the blood brain barrier (BBB) (Obermeier et al., 2013) and the functional integrity of the barrier can be stressed in inflammatory conditions, as shown by Ittner et al. It should be noted that endothelial cells are not only important for the correct BBB function, but also for the blood-labyrinth-barrier (BLB) integrity of the stria vascularis in the cochlea (Shi, 2016). Interestingly, connexin-mediated communication plays a central role in the BBB signaling (Gaete et al., 2014) and now the study of Zhang J. et al. extends these data, demonstrating the relevance of Cx43 in the BLB functional integrity and, consequently, in the hearing function. In addition to the regulation of endothelial barrier integrity and exchange functions, cell adhesion and transmigration are important features that may be altered in pathological conditions (Durán et al., 2010; Filippi, 2019). The mechanisms involved in the communication of the vascular wall with blood circulating cells are complex; and in this article collection, endothelial interaction with and transmigration of leucocytes and tumor cells in the peripheral circulation, and the role of NO in these processes is clearly detailed by Aguilar et al..

Two complementary compartments can be recognized in the vascular system, the arterial and venous circulations. Both compartments, in turn, are divided into two functionally different vascular territories: the macrocirculation and microcirculation, which are structurally designed to perform different functions in response to distinct stimuli. In this context, it is noteworthy that fine control of gene expression is essential to maintain the functional integrity of the different segments of the vascular system and, consistent with this notion, the progress of diverse vascular-related pathologies is associated with variations in the transcriptional profile (Lamont and Childs, 2006; Man et al., 2016). Therefore, a thorough analysis of the changes in the gene expression pattern is required to understand the morphological and functional modifications observed in diverse pathological and clinical conditions, which highlights the contribution of the studies reported in this article collection regarding vessel remodeling induced by drastic hemodynamic changes, by Zhang X. et al. in an aortic constriction model and Jie et al. in an arteriovenous fistula model. Likewise, in agreement with the relevance of the fine control of gene expression in vascular homeostasis, regulatory NcRNA, such as long non-coding RNAs (lncRNA) and microRNAs (miRNA), have been recognized to be key players in the control of endothelial and smooth muscle cell function (Balamurali and Stoll, 2020; Ono et al., 2020). Accordingly, NcRNAs have been found to be involved in the pathogenesis of several cardiovascular diseases, but also, they have been proposed as therapeutic target molecules, in line with the results presented in the articles of Cheng et al. in spine cord injury repair and Su et al. in diabetic neointimal hyperplasia. Interestingly, miRNAs can be transmitted through microvesicles (Van Niel et al., 2018), which is addressed in this article collection by Zheng et al., showing that miRNA release via endothelial microvesicles can be involved in the pulmonary vascular leakage and lung injury observed in sepsis.

Cardiovascular diseases are the leading cause of morbidity and mortality worldwide and endothelial cells play a fundamental role in the control of cardiovascular homeostasis by the synthesis and release of a wide spectrum of signals that modulate angiogenesis, inflammation, hemostasis, vasomotor tone, and vascular permeability (Sturtzel, 2017). Then, in agreement with the importance of the endothelium, disturbance, impairment, or loss of normal function of endothelial cells (i.e., endothelial dysfunction) has been recognized as one of the most prominent risk factors for the development of cardiovascular diseases (Endemann and Schiffrin, 2004; Hirase and Node, 2012). Endothelial dysfunction has been directly linked with the initiation and evolution of hypertension and atherosclerosis (Gimbrone and García-Cardena, 2016; Konukoglu and Uzun, 2016), but it has also been associated with physiological as well as pathophysiological processes, including aging, heart failure, coronary syndrome, thrombosis, intravascular coagulation, type I and II diabetes, obesity, inflammation, sepsis, and sleep apnea syndrome (Endemann and Schiffrin, 2004). In this article collection, the role of endothelial dysfunction in pre-eclampsia is highlighted and strengthened by the work of Liu et al.. However, it should be

noted that vascular homeostasis and integrity also depend on the smooth muscle layer of the vessel wall (Majesky, 2016); in this context, Beck et al. address the impact of endothelial dysfunction in arterial remodeling in diabetes. Similarly, Ackers et al. show that alterations in smooth muscle cell signaling pathways can lead to the development of vascular diseases, such as atherosclerosis.

Overall, this Research Topic provides a comprehensive review and original contributions for the continued investigation of regulation of cell communications in vascular biology.

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Increased Catecholamine Levels and Inflammatory Mediators Alter Barrier Properties of Brain Microvascular Endothelial Cells *in vitro*

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Recent studies have suggested a pathogenetic link between ischemic stroke and Takotsubo cardiomyopathy (TCM) with poor outcome, when occurring simultaneously. Increased catecholamine (CAT) levels as well as elevated inflammatory mediators (INF) are found in the blood of patients with ischemic stroke concomitant with Takotsubo syndrome (TTS). On molecular level, the impact of these stressors combined with hypoxemia could compromise the integrity of the blood brain barrier (BBB) resulting in poor outcomes. As a first step in the direction of investigating possible molecular mechanisms, an *in vitro* model of the described pathological constellation was designed. An immortalized murine microvascular endothelial cell line from the cerebral cortex (cEND) was used as an established *in vitro* model of the BBB. cEND cells were treated with supraphysiological concentrations of CAT (dopamine, norepinephrine, epinephrine) and INF (TNF- α and Interleukin-6). Simultaneously, cells were exposed to oxygen glucose deprivation (OGD) as an established *in vitro* model of ischemic stroke with/without subsequent reoxygenation. We investigated the impact on cell morphology and cell number by immunofluorescence staining. Furthermore, alterations of selected tight and adherens junction proteins forming paracellular barrier as well as integrins mediating cell-matrix adhesion were determined by RT-PCR and/or Western Blot technique. Especially by choosing this wide range of targets, we give a detailed overview of molecular changes leading to compromised barrier properties. Our data show that the proteins forming the BBB and the cell count are clearly influenced by CAT and INF applied under OGD conditions. Most of the investigated proteins are downregulated, so a negative impact on barrier integrity can be assumed. The structures affected by treatment with CAT and INF are potential targets for future therapies in ischemic stroke and TTS.

Keywords: blood-brain barrier, microvascular endothelium, cEND cell line, takotsubo syndrome, catecholamines, inflammation, *in vitro*

INTRODUCTION

Recent clinical publications and literature reviews point out that takotsubo syndrome (TTS) occurring in combination with ischemic stroke is a notable clinical constellation (1). The link between these two pathologies is not well-understood yet, especially not on molecular level.

Takotsubo cardiomyopathy (TCM) is a cardiac pathology at first glance mimicking acute coronary syndrome (ACS). The most important clinical feature is the absence of coronary occlusion which differentiates TCM from ACS (2). Characteristic wall motion abnormalities predominantly affecting the left ventricle are observed. This phenomenon is described as apical ballooning. Patients are mostly postmenopausal women, who present dyspnea, chest pain as well as new ECG alterations and/or elevated cardiac enzymes (2). Precursory cerebrovascular disease no longer interdicts to diagnose TCM. In one to two thirds of patients suffering from TCM, a previous psychically or physically stressful trigger can be identified (3, 4).

Interestingly, there is no strict sequence, whether ischemic stroke or TCM develop first. Ischemic stroke is described as a neurological precipitant of TTS. In contrast, thrombus formation caused by left ventricular dysfunction within TCM can also lead to ischemic stroke. Hereby, ischemic strokes in patients with TTS are usually severe and classified by an initially higher NIHSS score in comparison with patients without TTS. Poor outcomes are frequent in the patients of ischemic stroke with TTS (1, 5).

Patients presenting the described pathological pattern, show characteristically altered laboratory findings: Supraphysiological CAT blood levels can be determined (6). This reflects the plausible theory of TTS pathogenesis consisting in raised CAT, which are assumed to mediate myocardial wall motion abnormalities (3). Furthermore, elevated inflammatory markers are found (1). This correlates with the known fact of raised INF in ischemic areas after stroke. Resident cerebral immune cells as well as migrated immune cells synthesize, *inter alia*, pro-inflammatory cytokines like TNF- α and IL-6 (7). Especially cardioembolic strokes are associated with increased CRP, TNF- α , and IL-6 levels compared to other subtypes of ischemic insults (8). Although, the role of the two mentioned cytokines is still diversely discussed, clinical and experimental data suggest an aggravation of ischemic brain damage by inflammatory spillover. Furthermore, dysfunction of the cerebral microvascular endothelium in the frame of ischemic stroke is promoted by systemic inflammation (7).

The depicted clinical situation presents a pathological process within the brain-heart axis. In general, the brain-heart axis is a dual system, which works up and down stream: cardiac dysfunction may trigger neurovascular deterioration and vice versa (9). Ischemic stroke and TCM seem to interact due to the influence of the insular cortex on the modulation of the autonomic nervous system. *In vivo* animal models as well as human studies have shown ischemic lesions affecting the insular cortex cause autonomic dysregulation (10), especially when concerning the left hemisphere, the impairment of the insular cortex produces a sympathetic overdrive reflected by elevated CAT levels (11). Clinical findings correspondingly suggest a

predominance of ischemic strokes affecting the insular cortex and/or adjacent brain regions preceding TTS (12).

As part of the brain-heart axis, the BBB reacts to hypoxic events caused by cardiac impairment and vice versa (9). Therefore, when investigating pathologies of the brain-heart axis the BBB has to be included in speculation on possible pathogenetic mechanisms.

Sustained integrity of the BBB is mandatory for maintaining the homeostasis of the central nervous system (CNS). Thus, the CNS gets its unique position by not being exposed unselectively to systemic alterations such as inflammation (13). Restricted permeability is provided by microvascular endothelial cells forming dense cell-cell contacts: a dynamic interface is ensured by tight junctions (TJ) and adherens junctions (AJ) building a restrictive barrier (14, 15). If circulating noxious agents affect vascular endothelium itself, TJ as well as AJ could not be maintained properly leading to a breakdown of the BBB (16). Cerebral vascular endothelium forming the BBB, on its blood facing side, is constantly exposed to systemically circulating INF and elevated CAT blood levels. The proinflammatory cytokines TNF- α and IL-6, as elevated in acute TTS (1) are proven to be harmful factors for the integrity of the BBB by compromising TJ protein as well as AJ protein expression *in vitro* (17, 18). Systemical administration of epinephrine lead to a disruption of the BBB *in vivo* (19). Furthermore, focal ischemia results in a breakdown of the BBB, which produces increased permeability. Aggravation of brain damage by secondary effects such as brain edema is provoked (13). TJ proteins claudin-5, occludin as well as AJ protein VE-cadherin were shown to be targets of hypoxic conditions *in vitro* (20).

Based on these assumptions, we suggest that the severity of ischemic stroke in patients with TTS is caused by aggravated dysfunction of the BBB. An intensified impairment of the vascular endothelial cells generated by elevated CAT levels and INF combined with hypoxia is hypothesized. As a first step of investigating this pathologic constellation, an *in vitro* experiment was designed. Supraphysiological CAT levels combined with INF were applied under OGD (20). An immortalized murine cerebral endothelial cell line (cEND) was chosen as a well-established *in vitro* model of the BBB (21). The present study provides a comprehensive overview of molecular changes of cerebral microvascular endothelium, exposed to an *in vitro* simulation of characteristic laboratory findings in patients suffering from TTS and ischemic stroke simultaneously.

MATERIALS AND METHODS

Chemicals

TNF- α , Dopamine, Epinephrine as well as Norepinephrine were purchased from Sigma-Aldrich. Interleukin-6 was purchased from Thermo Fisher. Epinephrine and Norepinephrine were dissolved in 0.5 M hydrochloric acid, Interleukin-6 in 100 nM acetic acid. TNF- α and Dopamine are readily soluble in water, so cell culture medium was used for dissolving. Final dilution of every agent was made shortly before the experiment in cell culture medium.

Cell Culture

As an *in vitro* model of the Blood Brain Barrier (BBB) we used the murine microvascular cerebral endothelial cell line cEND. Cells were isolated, immortalized, and cultivated as described previously (21–23).

Cell Treatment

Cells were grown to confluence within 5 days in Dulbecco's modified eagles medium (DMEM) growth medium on 6-well-plates coated with gelatin. Growth medium consisted of DMEM (Sigma-Aldrich, D5796) containing 10% heat-inactivated fetal calf serum (FCS), 50 U/ml penicillin-streptomycin, 2% L-glutamine, 2% MEM vitamins, 2% non-essential amino acid solution (NEAA), and 2% sodium pyruvate. Subsequently, cells were differentiated for 48 h with DMEM differentiation medium containing 1% FCS as well as 50 U/ml penicillin-streptomycin. Stress factors were applied under different incubation conditions. Stress factors were defined as a combination of CAT [150 μ M dopamine (24), 1 μ M epinephrine (25), 1 μ M norepinephrine (25)], and INF [100 nM TNF- α (18) and 5 pg/ml Interleukin-6 (26)]. For application on cells, stress factors were diluted to final concentration in DMEM differentiation medium or DMEM medium without glucose. Solvent of each agent was added in same concentration to control.

Oxygen Glucose Deprivation (OGD) and Reoxygenation

After differentiation cells were washed twice with PBS. Subsequently, 1.5 ml DMEM without glucose per well were added, followed by incubation under OGD condition for 4 h. OGD condition was defined as 1% O₂, 5% CO₂, 37°C and saturated humidity atmosphere as previously described (20). Control was incubated simultaneously under normoxia condition in 1.5 ml DMEM medium containing glucose per well. After OGD, samples were analyzed directly or reoxygenated for 20 h. Reoxygenation was conducted by washing cells twice with PBS, adding 3 ml DMEM medium containing glucose per well and incubation under normoxia condition (5% CO₂, 37°C, saturated humidity atmosphere) (20, 27).

Immunofluorescence Staining

Cells were cultivated on collagen IV-coated coverslips (diameter of 12 mm). Cultivation was conducted as described above. After finished treatment, cells were washed twice with PBS. Fixation for 10 min, with ice-cold methanol, followed by washing (twice; PBS), and 15 min, of PBS containing 1% BSA. Before incubation with primary antibodies overnight at 4°C, samples were blocked for 1 h at RT with PBS containing 1% BSA and 5% normal swine serum. As primary antibodies were chosen: Claudin-5 (1:500; Thermo Fisher (Alexa fluor), #352588) and ZO-1 (1:500; Thermo Fisher, #402300) diluted in PBS with 1% BSA/ 5% normal swine serum. After washing (3 times for 5 min. with PBS), secondary antibodies diluted in PBS with 1% BSA/ 5% normal swine serum were applied: DAPI (1:3000; Sigma-Aldrich, #D9542) and Alexa fluor 594 donkey anti rabbit IgG (1:2000; Thermo Fisher, #A21207). Coverslips were fixed onto slides by using Mountant permaflour (Thermo Fisher, #TA-030-FM) and dried overnight

at RT. Subsequently, analysis was performed with a Keyence fluorescence microscope (BIOREVO BZ-9000). The number of cells with intact DAPI-stained nuclei was estimated by counting the nuclei in a defined area of interest for each treatment.

Western Blot Analysis

Western Blot analysis were performed as previously described (28). Briefly, cells were washed twice with ice cold PBS before being lysed in RIPA buffer containing protease inhibitors cocktail (Roche) and harvested. After sonication (10 times for 0.5 sec on ice), samples were centrifuged (11,000 rcf for 1 min) and supernatant was saved for quantification of protein content. Protein content was determined by BCA protein Assay Kit (Thermo Fisher). Protein separation was conducted using NuPAGE Kit (Thermo Fisher). Previously, samples were mixed with Laemmli buffer and reducing agent as indicated, followed by denaturation of 10 min. at 70°C. A NuPage 4–12% Bis-Tris-Gel (Thermo Fisher) was used for separation. The blot to a PVDF membrane was accomplished by electrophoretically wet transfer overnight (4°C) using a Electrophoretic Transfer Cell (BioRad). Subsequently, membrane was washed in PBS-T (3 times for 10 min.), blocked with 5% non-fat dry milk in PBS (1 h) and incubated overnight (4°C) with the primary antibody diluted in PBS containing 1% BSA. As primary antibodies we used: mouse anti-claudin-5 (1:500; Thermo Fisher, #35-2500), guinea pig anti-occludin (1:200; Acris, #358-504), rabbit anti-ZO-1 [1:500; Thermo Fisher, #40-2300], rat anti-VE-cadherin [pure; generated with hybridoma technique (29)] and anti- β -aktin-HRP (1:25,000; Sigma-Aldrich, #A3854). Before applying secondary antibodies, membrane was washed with PBS-T (PBS with addition of 0.1% Tween) (3 times for 10 min) and blocked with 5% non-fat dry milk in PBS (20 min). Secondary antibodies were: anti-mouse IgG (1:3000; Roche, #12015218001), anti-guinea pig IgG (1:5000; Santa Cruz Biotechnology, #sc2438), anti-rabbit IgG (1:3000; Cell Signaling Technology, #7074S), and anti-rat IgG (1:5000; Thermo Fisher, Cat#61-9520). After washing the membrane with PBS-T (3 times for 10 min), incubation in ECL solution (2 min) followed. For imaging, Fluorchem FC2 MultiImager II (AlphaInnotech) was used. Density of protein bands was determined by ImageJ software (version 1.52a).

Real-Time PCR

Real-time PCR analysis were performed as previously described (30). Briefly, cells were washed twice with sterile PBS. Subsequently, samples were harvested and RNA was isolated as well as purified from lysed cells following manufacturer's instructions of Nucleospin RNA (Macherey-Nagel, #740955). Reverse transcription for generating cDNA was performed by using High Capacity cDNA Reverse Transcription Kit (Applied Biosystems, #4368814). TaqMan Fast Advanced Master Mix (Applied Biosystems, #4444557) with 1 μ g cDNA for RT-PCR. For determining gene expression, the following TagMan Gene Expression Assay primers were chosen: Claudin-5 (Mm00727012_s1), occludin (Mm00500912_m1), ZO-1 (Mm00493699_m1), VE-cadherin (Mm00486938_m1), Itga1 (Mm01306375_m1), Itga ν (Mm00434486_m1). All purchased from Thermo Fisher. Calnexin RNA was used as the

housekeeping gene (Canx; Mm00500330_m1). Subsequently, reverse transcription and amplification was conducted by using 7300 Real Time PCR System (Applied Biosystems) and under the following conditions: 95°C for 10 min, 50 cycles for each target, 60°C for 1 min.

Analysis and Statistics

Data are presented as mean \pm standard error of the mean (SEM). Number of independent experiments is indicated under figures. Statistical significance was evaluated by One-way ANOVA with Tukey's correction for multiple comparison using GraphPad Prism. Statistical significance was assumed for $P < 0.05$.

RESULTS

We wanted to examine, whether supraphysiological CAT concentrations and INF, as found in TTS patients (1), change AJ and TJ structure under normoxic and ischemic conditions. We chose VE-cadherin as a representative of AJ proteins and claudin-5, ZO-1 as well as occludin as representative of TJ proteins. In addition, integrin- α -1, and integrin- α -v were selected as targets reflecting the possible interaction with extracellular matrix (ECM) components. Integrin- α -1, a subunit of the collagen receptor integrin α 1 β 1, binds collagen type IV (31), whereas integrin- α -v forms part of the vitronectin receptor α v β 3 (32). We used cEND cells, an immortalized murine microvascular endothelial cell line from the cerebral cortex, which is a well-established *in vitro* model of the BBB (21).

Barrier Compromising Effects of Catecholamines and Inflammatory Mediators on cEND Cells Under Normoxic Conditions

To investigate how CAT or INF affect cEND cells, we treated cEND cells for 24 h under standard culturing conditions, called normoxia conditions (24 h NORMOX). We used a mixture of 150 μ M dopamine (24), 1 μ M epinephrine (25), and 1 μ M norepinephrine (25) for CAT samples. Treatment with INF consisted of 100 nM TNF- α (18) and 5 pg/ml Interleukin-6 (26). All stressors were diluted in differentiation medium (1% FCS) to final concentration. Potential effects on cell morphology were determined by staining of cell-cell junctions using anti-claudin-5 and ZO-1 antibodies (Figure 1). As observed in control samples, the expressed claudin-5 and ZO-1 were located at the cell-cell contacts (Figures 1A,B) (21). After treatment with CAT or INF, the cell morphology was altered and the localization of junctional proteins at cell-cell junction sites was reduced. Treatment with CAT resulted in a disruption of the homogenous cell monolayer, while the cellular morphology was still spindle-shaped (Figure 1A). The percentage of cells with intact nuclei was significantly lower in samples treated with CAT compared to the control (Figure 1C). cEND cells lost their elongated spindle-shaped morphology (21) due to treatment with INF and showed more cobble-stone-like phenotype (Figure 1B). The number of cells with intact nuclei was also significantly lower than in the untreated control (Figure 1C). As demonstrated in Figure 1C,

CAT alone caused a stronger breakdown of the homogenous cell monolayer compared to INF. A lower number of cells with intact nuclei indicates that CAT and INF treatment induces cell death in cEND cells.

To investigate whether the barrier properties of cEND cells are also affected at the protein and mRNA, cells were treated as described above. Subsequently, RT-PCR and Western Blot were performed (Figure 1, Table 1). Protein level of ZO-1 was reduced by 0.57 ± 0.04 -fold while claudin-5, occludin and VE-cadherin were not altered by the administration of CAT (Figure 1D). CAT caused a decreased gene expression of claudin-5 to 0.47 ± 0.02 -fold, ZO-1 to 0.38 ± 0.05 -fold, and VE-cadherin to 0.55 ± 0.02 -fold of control. A change in the mRNA level of occludin could not be detected. Integrin- α -1 mRNA was decreased by 0.19 ± 0.02 -fold while integrin- α -v expression increased up to 1.82 ± 0.24 -fold of control. Protein expression of selected targets was not significantly altered by INF (results not shown). INF led to impaired gene expression of occludin (0.39 ± 0.05 -fold), ZO-1 (0.51 ± 0.05 -fold), and VE-cadherin (0.85 ± 0.02 -fold), but did not alter claudin-5 mRNA level. Integrin- α -v gene expression increased up to 3.31 ± 0.54 -fold after INF treatment, while no differences were detected in integrin- α -1 mRNA level (Table 1).

Barrier Compromising Effects of Catecholamine Levels and Inflammatory Mediators on cEND Cells in Hypoxic Condition *In vitro*

We combined elevated levels of CAT and INF with hypoxic and hypoglycemic conditions called oxygen glucose deprivation (OGD) with or without subsequent reoxygenation. OGD was previously established in cEND as an *in vitro* model of ischemic stroke (20). From that point on, CAT and INF were combined and labeled as stress factors. Stress factors were diluted to final concentration in differentiation or glucose-free medium to be used under selected incubation conditions. Four different incubation conditions were defined: 4 h of normoxia (4 h NORMOX), 24 h of normoxia (24 h NORMOX), 4 h of OGD, and 4 h of OGD with subsequent reoxygenation under normoxia condition (REOX).

First, we analyzed the cell morphology after these treatments. The cells were grown on coverslips, differentiated, and incubated as described above (Figure 2). Possible effects on cell morphology were determined by staining of TJs with anti-claudin-5 and -ZO-1 antibodies. Cells grown without stress factors under normoxia conditions (4 h NORMOX and 24 h NORMOX) as well as OGD condition showed no changes in the cell morphology (Figures 2A–C) nor in cell number (Figure 2E). cEND cells showed a homogenous endothelial monolayer and the typical elongated spindle-shaped morphology as described before (21, 22) Both stained TJ proteins, claudin-5, and ZO-1, were located at the TJs (Figures 2A–C). The reoxygenation sample without stress factors showed a disrupted cell monolayer with irregular cellular morphology and delocalized TJ-proteins (Figure 2D) but no reduced cell number (Figure 2E). The effects of stress factors applied under normoxia conditions depended on time. After 4 h of treatment, endothelial cell

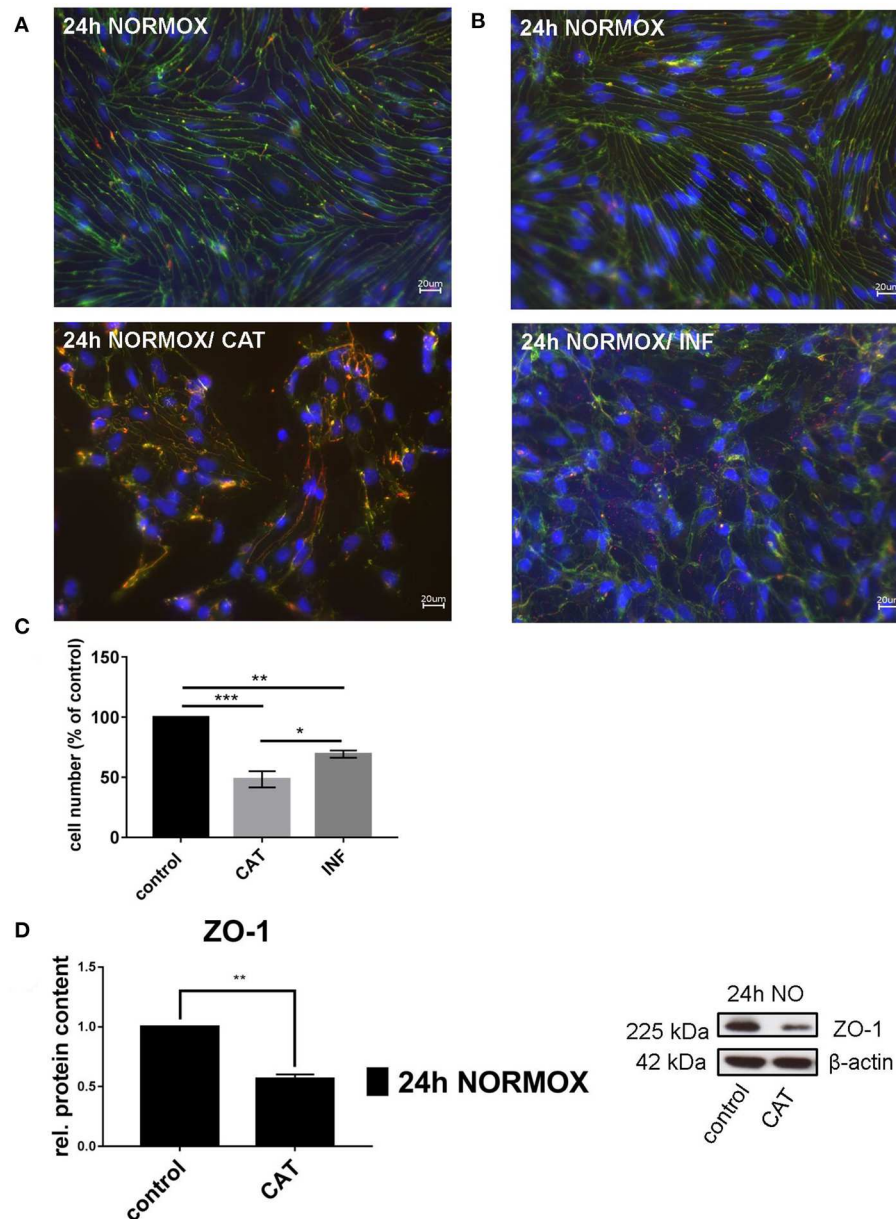


FIGURE 1 | Effects of catecholamines and inflammatory mediators under normoxia conditions on cEND cells. Cells were grown to confluence with subsequent differentiation. Catecholamines (CAT) or inflammatory mediators (INF) were applied for 24 h under normoxia conditions (24 h NORMOX). **(A,B)** Immunofluorescence staining of tight junction proteins claudin-5 (green) and ZO-1 (red) as markers of morphological changes of the endothelial cell monolayer. DAPI (blue) was used for staining of nuclei. Magnification 400 times, scale bar 20 μ m. **(C)** Cell number after CAT and INF treatment normalized to control. **(D)** CAT induced changes of ZO-1 protein expression in cEND cells investigated by Western blot. Data is presented as the means (\pm SEM) of $n = 5$ independent experiments. Altered protein expression was normalized to β -actin. ZO-1 protein level changes are expressed as fold over untreated control, which was set 1. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

monolayer changed slightly, while after 24 h of treatment, stress factors led to complete destruction of the cell monolayer (**Figures 2A,B/stress factors**) and a significant reduction of cell number (**Figure 2E**). Combination of OGD with stress factors potentiated these effects (**Figures 2C/stress factors** and **Figure 2E**). Morphologically, the most severe effect was caused by the administration of stress factors under reoxygenation

conditions (**Figure 2D/stress factors** and **Figure 2E**). A typical morphological phenotype of cEND cells was no longer observed. The cells completely lost their spindle-shaped appearance and showed an irregular morphology without monolayer formation and significantly reduced cell numbers. Stained TJ proteins were visible as small aggregates outside the cell-cell contacts (**Figure 2D/stress factors**).

TABLE 1 | Modulated target gene expression in cEND cells by elevated catecholamine levels or inflammatory mediators under normoxia conditions for 24 h.

	Claudin-5	ZO-1	Occludin	VE-cadherin	Itg α 1	Itg α v
CAT	0.47 \pm 0.02***	0.38 \pm 0.05***	1.05 \pm 0.11n.s.	0.55 \pm 0.02***	0.19 \pm 0.02***	1.82 \pm 0.24*
INF	1.18 \pm 0.21n.s.	0.51 \pm 0.05***	0.39 \pm 0.05***	0.85 \pm 0.02**	0.68 \pm 0.15n.s.	3.31 \pm 0.54**

Catecholamines (CAT) or inflammatory mediators (INF) were applied for 24 h under normoxia conditions. After total RNA-extraction from lysed cells, mRNA was quantified by real-time PCR. Fold expression vs. untreated control, which was set 1. Values are the means (\pm SEM) of $n = 5$ (INF) or $n = 6$ (CAT) independent experiments, followed by statistical significance * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. n.s., not significant.

Elevated Catecholamine Levels and Inflammatory Mediators Combined With Oxygen Glucose Deprivation Cause a Change in Gene Expression

We performed a real-time PCR, in order to investigate how the treatment with stress factors under different incubation conditions influences the gene expression of selected targets in cEND cells. First, we compared effects of stress factors under 4 h NORMOX, OGD, and REOX conditions, respectively (Table 2). Claudin-5 (0.49 \pm 0.07-fold) and ZO-1 (0.7 \pm 0.08-fold) gene expression was downregulated by OGD alone but upregulated again after REOX (claudin-5 0.88 \pm 0.07-fold; ZO-1 1.26 \pm 0.06-fold). This upregulation was not observed in combination with stress factor treatment. Similar changes were observed for occludin mRNA. VE-cadherin mRNA was significantly increased by OGD alone up to 1.56 \pm 0.11-fold, followed by a decrease after REOX to 1.02 \pm 0.03-fold. REOX in combination with stress factors caused a downregulation to 0.41 \pm 0.06-fold of control. Integrin- α -1 was induced by REOX alone up to 1.43 \pm 0.12-fold, but reduced to 0.05 \pm 0.06-fold after REOX in combination with stress factors. Integrin- α -v mRNA was upregulated by OGD (4.08 \pm 0.72-fold), while REOX caused downregulation (1.0 \pm 0.04-fold). Within the same incubation conditions, stress factors did not have significant effects on every target (Table 2). Under 4 h NORMOX conditions, gene expression of ZO-1, occludin and integrin- α -1 was reduced by stress factors, whereas integrin- α -v was upregulated in comparison with untreated controls. OGD combined with stress factors affected mRNA levels of ZO-1, occluding, and VE-cadherin compared to OGD alone. After reoxygenation combined with stress factors gene expression of claudin-5, ZO-1, VE-cadherin, and integrin- α -1 was decreased in comparison with REOX alone. Subsequently, the data shown in Table 2 under REOX and REOX/stress factors were then used again in Table 3, but in this case the mRNA expression of selected targets was normalized to 24 h NORMOX (Table 3). The comparison in Table 2 enables an assessment of the gene expression over time, while Table 3 shows the mRNA levels only at 24 h point under various conditions. Claudin-5 gene expression did not change significantly after REOX with and without stress factor treatment. ZO-1 and VE-Cadherin consistently showed the same tendencies as claudin-5. The mRNA levels of occludin (1.35 \pm 0.07-fold) and integrin- α -1 (1.32 \pm 0.07-fold), however, were upregulated by REOX, but only without stress factors. As already mentioned above,

in this experiment stress factor administration did not have significant effects on every target as well (Table 3). Integrin- α -v gene expression was induced by 24 h NORMOX in combination with stress factors (1.82 \pm 0.3-fold) compared to 24 h NORMOX alone. No significant change of integrin- α -v mRNA level by stress factor administration under REOX conditions was observed in comparison with REOX alone. However, REOX conditions combined with stress factor administration led to a significant decrease of the expression of all other target genes compared to REOX alone. Within 24 h NORMOX conditions stress factors caused reduced gene expression of claudin-5, ZO-1, occludin, VE-cadherin, and integrin- α -1 as well. Interestingly, effects of stress factor administration under REOX conditions did not differ from those under 24 h NORMOX conditions. As already observed in immunofluorescence staining, the effects of stress factors under normoxia conditions evolved over time, especially in the case of claudin-5 (Tables 2,3). After 4 h, mRNA levels of claudin-5 and VE-cadherin were not changed, whereas after 24 h of stress factor administration gene expression of all targets was significantly decreased.

Protein Expression in cEND Cells Is Changed by Elevated Catecholamine Levels and Inflammatory Mediators Under Oxygen Glucose Deprivation Conditions

As described above, differentiated cells were treated with stress factors under selected incubation conditions. To study changes in protein levels, cEND cells were lysed, and analyzed by Western Blot. Initially, protein levels were determined after administration of stress factors under OGD as well as REOX conditions in comparison with 4 h NORMOX conditions (Figure 3A). VE-cadherin expression was compromised by OGD to 0.57 \pm 0.07-fold of control, followed by an increase up to 0.98 \pm 0.07-fold after reoxygenation. This was not observed in combination with stress factors. Compared to the untreated control under the same incubation conditions, stress factors significantly influenced the protein content only in REOX samples. Claudin-5, occluding, and ZO-1 protein levels (all not shown) showed the same tendencies as VE-cadherin, but without statistical significance. Finally, changed protein levels after REOX were compared to 24 h NORMOX conditions (Figure 3B). Treatment with stress factors in combination with REOX led to an increase in occludin up to 0.81 \pm 0.03-fold of control compared to stress factor administration under 24 h NORMOX conditions (0.49 \pm 0.07-fold). Expression

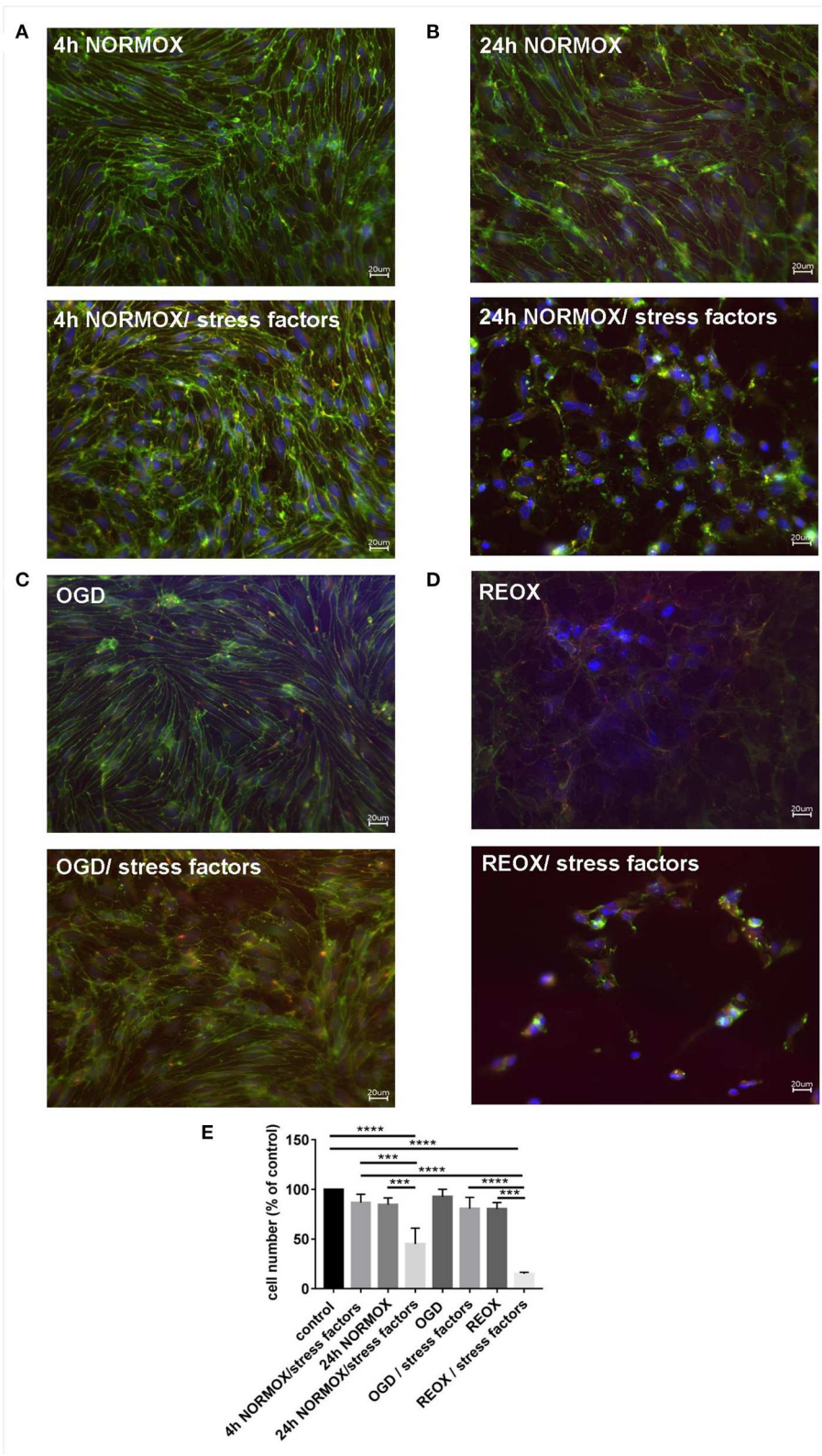


FIGURE 2 | Loss of morphological integrity of cEND cells exposed to elevated catecholamine levels and inflammatory mediators. Immunofluorescence staining of tight junction proteins claudin-5 (green) and ZO-1 (red) as markers of morphological changes of the endothelial cell monolayer. DAPI (blue) was used for staining (Continued)

FIGURE 2 | nuclei. Cells were grown on cover slips to confluence. After differentiation cEND cells were treated with combination of catecholamines and inflammatory mediators (stress factors). Stress factors were administered under different incubation conditions. Magnification 400 times, scale bar 20 μ m. **(A)** Stress factor application for 4 h under normoxia conditions (4 h NORMOX). **(B)** Stress factor application for 24 h under normoxia conditions (24h NORMOX). **(C)** Stress factor application for 4 h under oxygen glucose deprivation conditions (OGD). **(D)** Stress factor application for 4 h under OGD conditions with 20 h of subsequent reoxygenation under normoxia conditions (REOX). **(E)** Cell number in treatments shown in **(A–D)** normalized to control. *** $P < 0.001$, **** $P < 0.0001$.

TABLE 2 | Modulated target gene expression in cEND cells by stress factors under oxygen glucose deprivation (OGD) conditions and after subsequent reoxygenation (REOX) in comparison with 4 h of normoxia (4 h NORMOX).

	Claudin-5	ZO-1	Occludin	VE-cadherin	Itg α 1	Itg α v
4 h NORMOX	1	1	1	1	1	1
4 h NORMOX/stress factors	0.92 \pm 0.05 n.s.	0.3 \pm 0.02 ***	0.21 \pm 0.02 ***	0.73 \pm 0.07 n.s.	0.54 \pm 0.02 ***	3.8 \pm 0.6 *
OGD	0.49 \pm 0.07 ***§	0.7 \pm 0.08 *§	0.34 \pm 0.06 ***§	1.56 \pm 0.11 ***§	0.69 \pm 0.05 n.s.§	4.08 \pm 0.72 *§
OGD/stress factors	0.56 \pm 0.11 **&	0.33 \pm 0.03 ***\$	0.08 \pm 0.02 ***\$	1.06 \pm 0.07 n.s.\$&	0.47 \pm 0.07 ***	6.5 \pm 1.08 ***
REOX	0.88 \pm 0.07 n.s.	1.26 \pm 0.06 n.s.	1.12 \pm 0.07 n.s.	1.03 \pm 0.03 n.s.	1.43 \pm 0.12 **	\pm 0.04 n.s.
REOX/ stress factors	0.29 \pm 0.06 ***#%	0.28 \pm 0.1 ***#	0.26 \pm 0.05 ***#	0.41 \pm 0.06 ***#% μ	0.05 \pm 0.06 ***#% μ	1.47 \pm 0.05 n.s. μ

After total RNA-extraction from lysed cells, mRNA was quantified by real-time PCR. Fold expression vs. untreated control (4 h NORMOX), which was set 1. All values are the means (\pm SEM) of $n = 10$ independent experiments; *, statistically significant vs. 4 h or normoxia (4 h NORMOX) (* $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$. n.s., not significant), §, REOX statistically significant vs. OGD; \$, OGD/stress factors vs. OGD; #, REOX/stress factors vs. REOX; &, OGD/stress factors vs. 4 h NORMOX/stress factors; %, REOX/ stress factors statistically significant vs. 4 h NORMOX/ stress factors; μ , REOX/stress factors statistically significant vs. OGD/stress factors.

of ZO-1 protein stayed unaffected by REOX conditions in comparison with 24 h NORMOX. Stress factors impaired significantly ZO-1 protein expression in both 24 NORMOX and REOX samples compared to untreated controls. Claudin-5 and VE-cadherin (both not shown) showed the same tendencies. Similar to gene expression, the effects of stress factors under NORMOX conditions were apparently time-dependent. All protein levels were significantly decreased after 24 h of stress factor administration, but not after 4 h exposure (Figures 3A,B).

DISCUSSION

The cardiovascular system is regulated by a central autonomic network consisting among others of the insular cortex, which is exposed to higher risk of cerebrovascular disease (10, 33). Damage in insular cortex has been associated with myocardial injury and TTS (10, 34). Based on clinical data, the simultaneous incidence of ischemic stroke and TTS has a poor outcome (1, 5, 11). Increased CAT and INF levels are one of the TTS characteristics (6). To date, the molecular mechanisms underlying these events are not fully elucidated. We hypothesized that the characteristic clinical constellation of elevated CAT and INF levels combined with OGD leads to an aggravated breakdown of the BBB, which subsequently contributes to severe ischemic stroke and poor clinical outcomes. In this study, we used an *in vitro* BBB model to study the effects of CAT/INF/OGD treatment. To our best knowledge, this is the first report examining BBB properties under such conditions.

Breakdown of the BBB is a key feature of neuroinflammatory conditions as encountered in multiple sclerosis (MS), meningitis, brain tumors, encephalitis, and cerebral ischemia, which inevitably leads to weakening of the vessels and predisposing them to leakage and rupture (35–37). BBB breakdown in frame of TTS could potentially be mediated by the effects of pro-inflammatory cytokines and CAT forming the basis for increased vulnerability to cerebrovascular dysfunction and stroke. In serum of acute phase TTS patients elevated levels of CAT such as dopamine, epinephrine, and norepinephrine and the pro-inflammatory cytokines TNF α , IL6, and IL10 have been reported (6, 38). In the pathology of ischemic stroke, TNF α and IL6 appear to be associated with BBB damage as seen on enhanced magnetic resonance imaging (MRI) scans (39). Using a rat model of experimental ischemia, it has been postulated that CAT are released in response to stress and ameliorate the ischemic brain damage (40). To address these observations at the molecular level, we decided to develop an *in vitro* pathology model of TTS based on our well-characterized murine brain endothelial cell line cEND (21). We previously validated this BBB model as suitable to study the barrier-compromising effects of pro-inflammatory stimuli and OGD, demonstrating that incubation of cEND monolayers with TNF α or OGD led to a down-regulation of TJ proteins and changes in pro-inflammatory cytokine expression (18, 20, 27, 41–43). Moreover, cEND express high levels of TJ, AJ, and ECM proteins and were used for permeability studies (41, 44, 45). In this study, we expand our characterization to the combined barrier-compromising effects of INF, CAT, and OGD conditions as partially encountered in acute phase TTS. We demonstrate

TABLE 3 | Modulated target gene expression in cEND cells by stress factors under oxygen glucose deprivation with subsequent reoxygenation (REOX) in comparison with 24 h of normoxia (24 h NORMOX).

	Claudin-5	ZO-1	Occludin	VE-cadherin	Itg α 1	Itg α v
24 h NORMOX	1	1	1	1	1	1
24 h NORMOX/stress factors	0.25 \pm 0.05 ***	0.15 \pm 0.05 ***	0.08 \pm 0.01 ***	0.46 \pm 0.05 ***	0.19 \pm 0.05 ***	1.82 \pm 0.3 **
REOX	0.87 \pm 0.03 n.s.	1.12 \pm 0.04 n.s.	1.35 \pm 0.07 ***	1.05 \pm 0.03 n.s.	1.32 \pm 0.07 **	\pm 0.04 n.s.
REOX/stress factors	0.33 \pm 0.06 ***§	0.24 \pm 0.08 ***§	0.32 \pm 0.06 ***§	0.32 \pm 0.01 ***§	0.05 \pm 0.01 ***§	1.51 \pm 0.12 n.s.

After total RNA-extraction from lysed cells, mRNA was quantified by real-time PCR. Fold expression vs. untreated control (24 h NORMOX), which was set 1. Target gene expression was normalized to calnexin mRNA and shown as fold over untreated control, which was constantly set 1. All values are the means (\pm SEM) of $n = 10$ independent experiments, followed by statistical significance ** $P < 0.01$, *** $P < 0.001$. n.s., not significant vs. 24 h NORMOX, § REOX/stress factors statistically significant vs. REOX, REOX/stress factors is not statistically significant vs. 24 h NORMOX/stress factors.

compromised endothelial barrier function and reduced or altered junctional protein expression, resulting from incubation of cEND with (i) INF of TTS, (ii) with CAT elevated in TTS or, (iii) the combination of INF and CAT. As markers of BBB disruption we chose a barrier-integrity representing targets such the TJ and AJ components Cldn5, occludin, ZO-1, and VE-cadherin. As markers for interaction with ECM we chose Itg α 1 and Itg α v, which were previously demonstrated to be altered in inflammatory processes (32). We observed an alteration in cellular morphology and cell number following incubation of cEND monolayers with TTS acute phase CAT/INF administered separately and simultaneously. Under normoxic conditions, morphology, monolayer integrity, cell number as well as TJ forming protein levels were more affected by incubation with CAT than INF. This was significantly mirrored at the expression level of analyzed marker proteins. Messenger RNA levels of ZO-1 and VE-cadherin were reduced by both treatments, while occludin was downregulated by INF, and claudin-5 by CAT. Expression levels of Itg α 1 was reduced in response to INF/CAT treatment. Concomitantly, an upregulation of the α v of vitronectin was observed. Matching data supporting the important role of functional integrin-ECM binding have been demonstrated *in vivo*, while breakdown of the BBB has been observed in mice lacking selected integrins (46). In addition, altered expression patterns of specifically Itg α 1 and Itg α v have been observed in MS lesions (47). The influence of altered integrin expression pattern on cellular differentiation has been described in integrin knock out mice and for epithelial and endothelial cells *in vitro* (32, 48, 49). Moreover, a role of integrins in epithelial barrier formation has been described in mammary epithelial cells (50). This supports the notion that a reduction of Itg α 1 levels with concomitant increase in Itg α v levels can be considered a reliable marker of endothelial inflammation in TTS as well.

Increasing the permeability of the endothelial barrier caused by impaired cell-cell adhesion is believed to be an early event in ischemic stroke (51). Accordingly, increased barrier breakdown was observed under OGD with common TTS stressors, most notably under OGD/REOX conditions corresponding to ischemia-reperfusion injury. Monolayer morphology, monolayer

integrity, and cell count were significantly affected in response to INF/CAT in OGD. CAT is known to induce apoptosis. CAT treatment of oligodendrocytes cultures induced apoptosis mediated by oxidative stress (52). TNF α induces apoptosis in human endothelial cells of various vascular beds (53, 54). A combination of all these factors escalated the effects on cEND and resulted in significant cell loss. Morphological changes were accompanied by decreased protein and mRNA levels of marker proteins. Interestingly, occludin, ZO-1, and Itg α 1 were more affected by INF/CAT than claudin-5. These results indicate a susceptibility of ZO-1 and Itg α 1 to INF/CAT/OGD, which are both key components of TJ complexes and cell matrix, respectively. The effect of the administered stress factors is time-dependent and does not have the same dimension for each target chosen. In addition, stress factors only partially amplify OGD effects. Possible intracellular pathways that may mediate the effects of supra-physiological CAT concentrations on cEND monolayers will have to be investigated in future studies. However, available literature information points toward a role for β -adrenergic stimulation (and cAMP mobilization) as an important barrier enhancing mechanism: (i) Chi et al. showed that a direct application of a beta-adrenergic receptor agonist to the brain parenchyma increased the permeability of the BBB, and that this effect could be prevented with a beta-adrenoceptor antagonist (55). (ii) Murphy and Johanson had proven the adrenergic-induced enhancement of brain barrier system permeability to small nonelectrolytes (56). (iii) A possible molecular pathway that could be involved is highlighted by the work of Ohara et al. (57). The beta 2-adrenergic receptor/cAMP pathway was shown to be involved in TNF α -stimulated ICAM-1 expression, which indicates the possible involvement of adrenergic mediation of BBB integrity, given (iiii) that the physiological role of ICAM-1 is to mediate neutrophil BBB transmigration (58). (iiiiii) Neutrophils in turn mediate immune cell mediated communication between the immune system and the brain, which is specifically enhanced in systemic inflammatory insults that can exacerbate ongoing brain pathologies via immune cell trafficking (59).

An important limitation of the present study is that we only analyzed selected stress factors present in TTS *in vitro*.

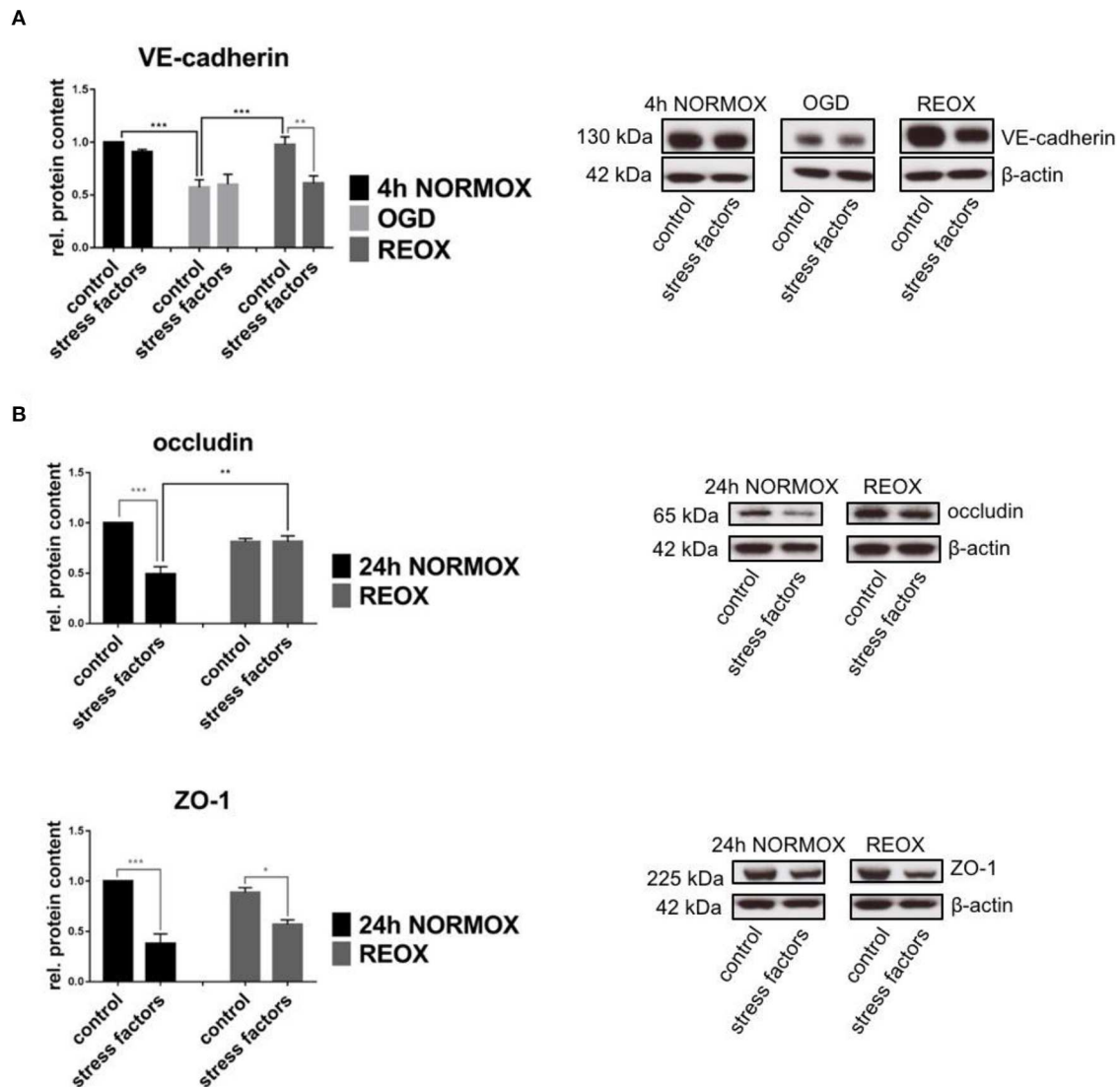


FIGURE 3 | Protein expression in cEND cells is changed by elevated catecholamine levels and inflammatory mediators under oxygen glucose deprivation conditions. Cells were grown to confluence with subsequent differentiation. Stress factors were applied under different incubation conditions. Protein levels were examined by Western blot. Changed protein expression was normalized to β -actin. Changes of protein levels determined by densitometric analysis are expressed as fold over untreated control, which was set as 1. **(A)** VE-cadherin protein expression after stress factor administration under oxygen glucose deprivation conditions (OGD) and subsequent reoxygenation (REOX) in comparison with 4 h of normoxia (4 h NORMOX). Values are the means (\pm SEM) of $n = 8$ independent experiments. **(B)** Occludin and ZO-1 protein levels changed by stress factors after reoxygenation conditions (REOX) compared to 24 h of normoxia conditions (24 h NORMOX). Values are the means (\pm SEM) of $n = 10$ independent experiments. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

It is not clear, how the observed effects develop *in vivo* over time. *In vitro*, a stable concentration of stressors without preconditioning was used. In this model, we cannot determine whether increasing stress factors will affect the BBB in other ways over time. In addition, we used a simplified BBB *in vitro* model based on only one cell type, the brain microvascular endothelial cells, derived from mice. Other neurovascular unit cells such as pericytes and astrocytes are known to play an important role in maintaining the barrier *in vivo* and *in vitro* (60, 61). Differences in species could

also play a role in our system (62). Therefore, studies with TTS stress factors will be carried out in future using a human *in vitro* BBB model in co-culture with astrocytes and pericytes.

CONCLUSION

In summary, the present study provides the first evidence for the impairment of BBB by TTS stressors, CAT, and INF. In

addition, the barrier-compromising effects of CAT and INF were highest during the reoxygenation phase, suggesting that TTS stressors along with other pathophysiological mechanisms, could contribute to secondary brain damage after stroke.

DATA AVAILABILITY STATEMENT

All datasets generated for this study are included in the article/supplementary material.

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AUTHOR CONTRIBUTIONS

CI performed experiments and wrote the manuscript. CI, MB, SS, MN, and CF designed the experiments, analyzed, and interpreted the data. All authors critically revised the manuscript.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Endothelial Dysfunction and Passive Changes in the Aorta and Coronary Arteries of Diabetic db/db Mice

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Endothelial cell dysfunction and vessel stiffening are associated with a worsened prognosis in diabetic patients with cardiovascular diseases. The present study hypothesized that sex impacts endothelial dysfunction and structural changes in arteries from diabetic mice. In diabetic (db/db) and normoglycaemic (db/db+) mice, the mechanical properties were investigated in pressurized isolated left anterior descending coronary arteries and aorta segments that were subjected to tensile testing. Functional studies were performed on wire-mounted vascular segments. The male and female db/db mice were hyperglycaemic and had markedly increased body weight. In isolated aorta segments without the contribution of smooth muscle cells, load to rupture, viscoelasticity, and collagen content were decreased suggesting larger distensibility of the arterial wall in both male and female db/db mice. In male db/db aorta segments with smooth muscle cell contribution, lumen diameter was smaller and the passive stretch-tension curve was leftward-shifted, while they were unaltered in female db/db aorta segments versus control db/db+ mice. In contrast to female db/db mice, coronary arteries from male db/db mice had altered stress-strain relationships and increased distensibility. Transthoracic echocardiography revealed a dilated left ventricle with unaltered cardiac output, while aortic flow velocity was decreased in male db/db mice. Impairment of acetylcholine relaxation was aggravated in aorta from female db/db compared to control and male db/db mice, while impairment of sodium nitroprusside relaxations was only observed in aorta from male db/db mice. The remodeling in the coronary arteries and aorta suggests an adaptation of the arterial wall to the reduced flow velocity with sex-specific differences in the passive properties of aorta and coronary arteries. The findings of less distensible arteries and more pronounced endothelial dysfunction in female compared to male diabetic mice may have implications for the observed higher incidence of macrovascular complications in diabetic women.

Keywords: acetylcholine, endothelium, aorta, coronary arteries, stiffness, viscoelasticity

INTRODUCTION

Patients with diabetes have a greater risk of atherosclerosis and cardiovascular complications than non-diabetics (Rydén et al., 2013). Genetic disposition, hyperglycaemia, production of advanced glycation end products (AGEs), hyperlipidemia, insulin resistance, and hypertension have been reported to explain the accelerated diabetic macroangiopathy and progression to cardiovascular disease. Diabetic macroangiopathy is characterized by increased vessel stiffness, thickness, and endothelial dysfunction (Sasaki et al., 2008; Prenner and Chirinos, 2015).

Nitric oxide (NO) derived from the endothelium has a variety of functions including regulation of vascular tone, inhibition of platelet aggregation and adhesion of inflammatory leukocytes to the endothelial cells, and therefore endothelial dysfunction is thought to be of importance for the development of atherosclerosis and nephropathy in diabetes (Paneni et al., 2013). In animal models and humans with types 1 and 2 diabetes mellitus, endothelium-dependent vasodilatation is impaired in both large and small arteries (Caballero et al., 1999; Pannirselvam et al., 2002; Gao et al., 2007, 2008; Zhang et al., 2008; Bagi et al., 2015; Chen et al., 2015; Lee et al., 2017; Katare et al., 2018). Acute hyperglycaemia is also associated with impaired endothelium-dependent vasodilatation and decreased NO bioavailability (Brodsky et al., 2017), and inactivation of NO by oxygen-derived free radical species is thought to be an important mechanism in this condition (Pannirselvam et al., 2002; Gao et al., 2007; Paneni et al., 2013). Hyperglycaemia and oxidative stress lead to increased production of AGEs and a further reduction in NO production (Gao et al., 2008; Farmer and Kennedy, 2009), but the consequences of the endothelial dysfunction on the altered structure and vascular stiffening are unclear.

In addition to endothelial dysfunction, diabetic macroangiopathy is characterized by increased extracellular matrix deposition around the smooth muscle cells and vessel stiffening which by itself is predictive of cardiovascular disease progression (Hansen et al., 2006; Vlachopoulos et al., 2010). In type 2 diabetic db/db mice, aorta, mesenteric arteries, and septal coronary arteries seem to respond in a tissue-specific manner (Katz et al., 2011), as vessel stiffness seems to be increased in aorta and mesenteric arteries, while septal coronary arteries respond with decreased intraluminal diameter and decreased stiffness (Katz et al., 2011; Husarek et al., 2015). Comparing intact and decellularized aorta and coronary microvessels from db/db mice which allow investigation of the extracellular matrix also suggested that the microvessels are more influenced by the adverse consequences of type 2 diabetes at earlier stages of disease progression than conduit arteries (Angheliescu et al., 2015).

Changes in endothelial function and stiffness have been reported independently for different vascular beds (Bagi et al., 2005, 2015; Zhang et al., 2008; Katz et al., 2011; Angheliescu et al., 2015; Husarek et al., 2015). Although the physiological relevance of local aldosterone production remains unclear, recent studies have suggested that vascular smooth muscle, as well as perivascular adipocyte production of aldosterone, may contribute to vascular dysfunction in obese and diabetic

mice (Briones et al., 2012; Matsuzawa et al., 2013). These studies provide support to the assumption that the development of endothelial dysfunction followed by altered stiffness and vascular structure is not necessarily global, but it may be specific for each vascular bed in diabetes.

Sex and obesity also impact the development of cardiovascular complications in diabetes. Obesity is a major risk factor of type 2 diabetes in both sexes, and while men are more obese at young age, women above 45 years are often overweight or tend to be more obese than men (Kautzky-Willer et al., 2016). Moreover, diabetic men appear at a higher risk for diabetic microvascular complications, while the consequences of macrovascular complications may be greater in women (Maric-Bilkan, 2017). Compared to diabetic men, diabetes in women is associated with greater increases of cardiovascular risk, myocardial infarction, and stroke mortality. This is not the case with non-diabetic subjects (Peters et al., 2014). However, with few exceptions, most of the studies in animal models have been conducted in males (Docherty et al., 2019).

The present study hypothesized that sex impacts endothelial dysfunction and structural changes in arteries from diabetic mice. Diabetic (db/db) mice develop obesity, insulin resistance, hyperglycaemia, and hyperlipidemia similar to that seen in human type 2 diabetes due to a spontaneous mutation in the leptin receptor gene (Hummel et al., 1966). To address the hypothesis, we investigated the structural changes as well as stiffness and endothelial function in aorta from female and male control and diabetic mice. Given that most studies in coronary arteries from db/db mice studied septal arteries (Katz et al., 2011; Husarek et al., 2015; McCallinhart et al., 2018) or coronary microvessels (Zhang et al., 2008; Lee et al., 2017), we investigated whether the same structural changes take place in the left anterior descending (LAD) coronary arteries from male and female diabetic mice. Previous studies have found impaired left ventricle function in diabetic db/db mice (Plante et al., 2014; Baumgardt et al., 2016; Moon et al., 2016; Xu et al., 2016) and even suggested that cardiac dysfunction may precede vascular dysfunction in diabetic mice (Katare et al., 2018). Therefore, we asked also whether vascular changes can be explained by changes in cardiac function and aortic flow, and therefore transthoracic echocardiography and blood pressure measurements was performed in a subset of male control and diabetic mice.

MATERIALS AND METHODS

Animals and Preparation of Samples

Male and female 8-week-old db/db mice (C57BLKS/J-lepr^{db}/lepr^{db}) and age- and sex-matched db/db+ littermate controls (C57BLKS/J-lepr^{db}/lepr^{db+}) were purchased from Taconic Europe (Ry, Denmark). The mice were housed in the animal facility in 365 × 207 × 140 mm cages with standard wood bedding and space for three to four mice, and they had free access to water and laboratory diet (Brogaarden, Middelfart, Denmark). The mice had a 12-hour light/dark cycle, and to avoid influence of torpor (Swoap and Gutilla, 2009; Ayala et al., 2010), the mice were allocated to experimentation early in the light

period. Glucose was monitored weekly in non-fasting animals by use of arterial tail blood. Blood glucose was measured twice each time with a Contour Blood Glucose Monitoring System (Bayer, Copenhagen, Denmark). The mice were killed by decapitation followed by exsanguination for the mechanical and the functional studies. All animal care and experimental protocols in this study were conducted under the supervision of a veterinarian and in accordance with the Danish legislation of animal use for scientific procedures as described in the “Animal Testing Act” (Consolidation Act No. 726 of 9 September 1993 as amended by Act No. 1081 of 20 December 1995) and approved by the Danish Animal Experiments Inspectorate (permission 2014-15-2934-01059). The Danish Animal Testing Act fully and extensively covers the requirements included in the Guide for the Care and Use of Laboratory Animals as adopted and promulgated by the United States National Health Institute, and the animal studies are reported in compliance with the ARRIVE guidelines (Kilkenny et al., 2010; McGrath and Lilley, 2015). The mice were examined at 16 weeks of age.

Passive Mechanical Studies in Aorta Segments

Mechanical testing was performed on aorta segments from 10 db/db and 8 db/db+ male mice to 12 db/db and 14 db/db + female mice. Three to five ring specimens with a height of 1 mm were cut from the frozen descending thoracic aorta and placed in a 50 mM Tris/HCl buffer (pH 7.4) at room temperature. Side branches were avoided in the cutting process. The cross-sectional area of the specimens was measured (Supplementary Figure S1), and afterward, the specimens were frozen at -20°C until analysis at which time specimens were thawed at room temperature.

The in-house built setup for mechanical testing has previously been described (Van Soldt et al., 2015; Filogonio et al., 2018). Briefly, the specimen was mounted around two parallel, orthogonally bent spring steel hooks with a diameter of 0.35 mm and submerged in the Tris/HCl buffer at room temperature. One hook was connected to a load cell of 3 N capacity (Kistler-Morse DSC-6 transducer, DMT, Aarhus, Denmark) and the other hook was moved by a step motor (DM224i API Motion, Amherst, NY, United States) at 0.1667 mm/s. Deformation was obtained from the step motor drive and load cell readings were acquired by a data acquisition unit [Model 34970A, Hewlett Packard (Keysight), Palo Alto, CA, United States]. Each specimen was first subjected to five loading cycles to 35 mN and then to a rupture test. The ruptured specimens were collected for collagen analysis.

From the fifth hysteresis cycle, the area between the loading and de-loading load-deformation curves (the energy of the hysteresis loop) was calculated in percent of the loading area. This percentage expresses the relative importance of viscosity, whereas the energy recovered to the total energy input indicates a higher elastic efficiency (Shadwick, 1992). The initial luminal perimeter, l_0 , was obtained from the rupture load-deformation curve where the load increased to above a minimal value (0.1 mN) during deformation. Maximal stiffness was calculated as the maximal slope of the load-strain curve. Stiffness and strain corresponding

to pressures, P , of 100 and 120 mm Hg were estimated from the load-strain curve by finding the combination of load (F) and strain fulfilling the Laplace equation (see Van Soldt et al., 2015) for P ($P = F/(r \times h)$, where $r = l/2\pi$ and $h = 1$ mm and l is height and luminal perimeter, respectively, of ring specimen). Maximal stress and maximal modulus and maximal mechanical quality of collagen were estimated by normalization of maximal load and maximal stiffness by cross-sectional area and unit collagen [UC, mg collagen/mm initial luminal perimeter (l_0)], respectively.

Functional Studies of Aorta Segments

Freezing and thawing of the samples do not allow to take into account the endothelial function and vessel tone determined by the vascular smooth muscle. Therefore, in a different set of mice (11 male db/db+ and 11 db/db, and 12 female db/db+ and 10 db/db mice) the descending thoracic aorta was placed in physiological salt solution (PSS) immediately after euthanasia and ring segments of 2 mm were dissected and mounted on two 100 μm steel wires in microvascular myographs (Danish Myo Technology, Aarhus, Denmark) for isometric tension recordings. PSS was of the following composition (mmol/L): NaCl 119, KCl 4.7, KH_2PO_4 1.18, MgSO_4 1.17, NaHCO_3 25, CaCl_2 1.6, EDTA 0.026 and glucose 5.5. To avoid acute hyperglycaemic effects on endothelial function (Bangshaab et al., 2019), which may confound the relation of endothelial to structural function, experiments on arteries from both control db/db+ and diabetic db/db male and female mice were conducted with the same glucose concentration. The organ baths were heated to 37°C and equilibrated with 5% CO_2 to maintain the desired pH of 7.4. The segments were allowed to equilibrate for 10 min. To determine the optimal passive to an active relationship in the aorta, the segments were incrementally stretched by increasing the internal circumference (IC) successively with 100 μm and then stimulated with potassium-rich PSS (KPSS, 60 mM) each time.

For the initial viability test, we tested the endothelial cell function; aorta segments were contracted with phenylephrine (10^{-7} M), and after reaching a stable plateau, concentration-response curves were constructed for acetylcholine (10^{-9} to 10^{-5} M) and the NO donor sodium nitroprusside (SNP, 10^{-10} to 10^{-5} M).

Determination of Collagen and Elastin Content in Aorta

Collagen content was determined for each of the aorta ring segments from male and female mice that were subjected to passive mechanical testing. Besides, the fractional aorta collagen and elastin content were determined relative to dry defatted weight. Thus, four pooled samples of aorta pieces from 10 db/db to 9 db/db+ male mice were defatted with acetone and freeze-dried. Elastin content (dry weight) was determined after extraction of all other tissue components (Lansing et al., 1952) and collagen content was determined from hydroxyproline in the extract. Hydroxyproline content was determined by colorimetric determination after a modified Woessner method (Danielsen and Andreassen, 1988). Collagen was calculated as $7.46 \times$ hydroxyproline content (Neuman and Logan, 1950).

Passive Properties of Coronary Arteries

To determine the passive properties of the left anterior descending coronary artery (LAD) from 6 male db/db+ and 6 db/db mice and from female 6 db/db+ and 6 db/db mice, arterial segments (lengths of 1.7 ± 0.5 mm) were mounted in a 110P pressure myograph system (Danish Myo Technology, Aarhus, Denmark) in a calcium-free PSS. During the dissection of the LAD, as much myocardium as possible was carefully removed to enable optimal measurements of the internal and external diameters. Furthermore, any visible side-branches were ligated. Once mounted on the myograph, the vessels were unbuckled by the adjustment of the cannulas at 100 mmHg intraluminal pressure and the inner and outer diameters were recorded. It was possible to calculate the structural and mechanical parameters from the internal (Di) and external (De) vessel diameters measured under passive conditions at intraluminal pressures ranging from 10 to 140 mmHg. The passive properties of the coronary arteries mounted in the pressure myograph were calculated as previously described (Supplementary Table S1; Hernanz et al., 2012; Schjørring et al., 2015).

Functional Studies in Coronary Arteries

Functional studies in coronary arteries were performed on segments from 6 db/db+ and 6 db/db male mice. Due to technical difficulties, the groups were not of equal size and did not include coronary arteries from female mice. Coronary small arteries with a length of approximately 2 mm were mounted on two 25 μ m wires in microvascular myographs (Danish Myo Technology, Aarhus, Denmark) for isometric tension recordings as described above for the aorta segments. The segments were stretched to their optimal diameter, which corresponded to an internal circumference of 90% of that achieved when the vessels were exposed to a passive tension yielding a transmural pressure of 100 mmHg in coronary arteries (Simonsen et al., 1999). The viability of the segments was confirmed by their ability to contract to first potassium-rich PSS (KPSS, 60 mM) and subsequently to the thromboxane analog U46619 (10^{-7} M). In U46619-contracted segments, after reaching a stable plateau, concentration-response curves were constructed for acetylcholine (10^9 – 10^{-5} M) and SNP (10^{-10} – 10^{-5} M).

The following drugs were used: Acetylcholine, phenylephrine hydrochloride (PE), SNP (sodium nitroprusside), and U46619 (9 α -epoxymethanoprostaglandin F_{2 α}) were from Sigma (St Louis, MO, United States). Unless otherwise stated, the substances were dissolved in distilled water. The vehicle concentration in the bath was low, and parallel control curves were run to examine whether the vehicle affected vascular contractility.

Transthoracic Echocardiography

Transthoracic echocardiography was performed on 7 db/db and 7 db/db+ male mice. Anesthesia was induced with 7% sevoflurane mixed in 100% oxygen with the mouse placed in a clear Perspex induction chamber and maintained with 3–4% sevoflurane through a nose mask. Echocardiography (Vevo2100, Visual Sonics, Toronto, Canada) was performed using a linear

array probe (MS 550D, 22–55 MHz) on the spontaneously breathing mouse that was placed in the left lateral decubitus position on a heating pad adjusted to 37°C. The animal's electrocardiography (ECG) signal was captured through copper electrodes on the heating pad. Images of the left ventricle (LV, B-mode, and M-mode) were acquired from the left parasternal short-axis view at mid-papillary level and images of the aorta and pulmonary artery (B-mode and pulse wave Doppler mode) were acquired from the right and left parasternal long-axis views, respectively, (Pistner et al., 2010). Following echocardiography, the mice underwent invasive mean arterial measurement as described below.

Image analysis was performed using the in-built software. All measurements were taken as an average of three consecutive cardiac cycles. The duration of P-wave, the duration of the PR segment (defined as the segment between the end of the P-wave and the beginning of R-wave) and QT-interval were measured (Supplementary Figure S4). PR-interval was calculated as the sum of the durations of P-wave and PR-segment, and the QT interval was corrected for heart rate yielding QT_C. LV dimensions were measured, and LV function was evaluated (Gao et al., 2011) using the equations in Table 1. Stiffness of the ascending aorta was assessed by its circumferential strain (Trachet et al., 2015), and cardiac output was estimated as the average of the aortic and pulmonary flow (Tournoux et al., 2011; Slama et al., 2015).

Mean Arterial Pressure Measurement

For *in vivo* arterial pressure measurements, male mice were anesthetized with intraperitoneal administration of pentobarbital (50 mg/kg). The pain was assessed regularly during surgery and pressure measurements by pressing a needle against the paw. In the case of a reaction, additional anesthesia (30 mg/kg pentobarbital) was administered. The carotid artery was isolated following a mid-neck-incision, and a water-filled disposable catheter (MLT0699, ADInstruments, United Kingdom) was inserted. The catheter was fixed afterward by a couple of sutures of 6-0 silk (Johnson & Johnson, Belgium) connected to a four channels PowerLab system (ML485, ADInstruments,

TABLE 1 | Calculation of ventricular function and aortic circumferential strain.

LV FS	$\frac{LVID_d - LVID_s}{LVID_d} \cdot 100\%$
LV EF	$\frac{LVID_d^3 - LVID_s^3}{LVID_d^3} \cdot 100\%$
LV FAC	$\frac{Area_d - Area_s}{Area_d} \cdot 100\%$
LVPW thickening	$\frac{LVPW_s - LVPW_d}{LVPW_d} \cdot 100\%$
Aorta circumferential strain	$\frac{1}{2} \cdot \left(\left(\frac{ID_{AO,s}}{ID_{AO,d}} \right)^2 - 1 \right) \cdot 100\%$
Aortic flow	$\pi \cdot (ID_{AO}/2)^2 \cdot VTI_{AO} \cdot HR$
Pulmonary flow	$\pi \cdot (ID_{PA}/2)^2 \cdot VTI_{PA} \cdot HR$

AO: aorta, d: diastole, EF: ejection fraction, FAC: fractional area change, FS: fractional shortening, HR: heart rate, ID: inner diameter, LV: left ventricle, LVID: left ventricular inner diameter, LVPW: left ventricular posterior wall, PA: pulmonary artery s: systole, VTI: velocity time integral.

United Kingdom), which was connected to a computer that runs LabChart v7 (ADInstruments, United Kingdom). Mean arterial blood pressure (MAP) was measured within a 5 min of stable pulsatile wave pulse. The mice were euthanized by injecting a high dose of pentobarbital (100 mg/kg), and tissue was isolated for *in vitro* protocols.

Data Evaluation and Statistical Analysis

Data are presented as means \pm standard error of the mean (SEM) or median (interquartile range). Statistical analyses were performed using Stata 13 (StataCorp, College Station, TX, United States) or GraphPad Prism 7.02 (GraphPad Software Inc., California, United States). Differences between the db/db+ and db/db mice were compared by the unpaired Student's *t*-test, Welch's *t*-test for unequal variances, or Mann–Whitney test (non-parametric test), where appropriate. Concentration-response curves were constructed using a non-linear curve-fit model, and the half-maximal relaxation (EC₅₀) was determined:

$$y = \text{bottom} + \frac{(\text{top} - \text{bottom})}{1 + 10^{((\log \text{EC}_{50} - x) * \text{slope})}}$$

Two-way ANOVA, followed by a *post hoc* Bonferroni test, was used to test for differences in concentration-response curves in isolated vessel segments. The significance level was **P* < 0.05 for all tests.

RESULTS

Characteristics of the Animals

The experimental weight in male and female db/db mice was significantly higher than in db/db+ mice (Table 2), and blood glucose was markedly higher in male and female db/db mice compared to db/db+ control mice (Table 2). The blood glucose levels were not different in db/db+ male versus female mice but was higher in male versus female db/db mice. The tibia length of the normoglycaemic db/db+ male mice (1.78 \pm 0.01 cm, *n* = 15) was significantly longer than in diabetic male db/db mice (1.66 \pm 0.02 cm, *P* < 0.001, *n* = 11). Heart weight indexed to tibia length was unaltered and was, respectively, 94 \pm 4 mg/cm (*n* = 15) and 97 \pm 6 mg/cm (*n* = 11) in male db/db+ and db/db mice.

Passive Properties and Collagen Content in Aorta Segments

The passive internal diameters of the frozen and thawed aorta segments from db/db mice were, respectively, 767 \pm 27 and

752 \pm 26 μ m in aorta segments from male db/db+ and db/db mice. Wall thickness was significantly reduced in aorta from male db/db mice (Figure 1A). Consistent with the lower wall thickness, the maximal load (Figure 1B) and stiffness (not shown) were significantly reduced. The collagen content per mm luminal circumference was reduced (Table 3), but the maximal load and stiffness normalized to collagen per mm luminal circumference (*N* \times mm/mg, not shown) were not found to be different. This indicates that the mechanical quality of the collagen is similar in male db/db and db/db+ mice. Reduction in wall thickness only partially explains the reduction in maximal mechanical strength since maximal load and stiffness normalized to vessel wall area i.e., maximal stress (not shown) and maximal elastic modulus (Figure 1C), respectively, were not different. Cyclic testing to a low load value gave higher energy recovery for db/db mice (energy loss is less in db/db mice, Figure 1D). The distensibility of the aortic specimens at a load corresponding to 100 and 120 mm Hg (i.e., physiological pressures) was unaltered in these mice (Table 4). Thus, the aorta in male db/db mice appears to be able to accommodate more blood during systole and to recoil with less energy loss, i.e., has a better efficiency as an elastic reservoir (Windkessel). Since both the collagen and elastin percentages as well as the collagen: elastin ratio were unaltered in male db/db mice (Table 3), a reduction in the absolute collagen content (μ g) also implies a reduction in absolute elastin content (μ g) in db/db mice.

Passive mechanical studies were also performed in aorta segments from female db/db and db/db+ mice. The passive internal diameters of the frozen and thawed aorta segments were, respectively, 650 \pm 15 and 688 \pm 20 μ m, in aorta segments from female db/db+ and db/db mice. In contrast to male db/db mice, the wall thickness of the aorta segments from female db/db mice was unaltered (Figure 1A). In the aorta, the maximal load was significantly reduced in female db/db mice (Figure 1B) as was the maximal stiffness (not shown). This can be explained by the reduced collagen content per mm luminal circumference from 5.67 \pm 0.23 (*n* = 14) in female db/db+ to 4.48 \pm 0.14 mg \times 10⁻³/mm (*P* < 0.05, *n* = 12) in db/db mice. Similar to the aorta from male db/db mice maximal load and stiffness normalized to collagen per mm luminal circumference (*N* \times mm/mg, not shown) was not found to be different. Also in contrast to male mice, the aortic segments with inactivated smooth muscle cells from female db/db mice showed increased (*p* < 0.05) distensibility (strain) at 100 and 120 mm Hg (Table 4).

In addition to the extracellular matrix, the vascular smooth muscle layer also contributes to the properties of vascular

TABLE 2 | Body weight and blood glucose levels.

	Male			Female		
	n	Body weight (g)	Blood glucose (mmol/L)	n	Body weight (g)	Blood glucose (mmol/L)
db/db+	33	29[18–36]	7.9[5.6–12.4]	33	21[10–27]#	7.4[4.3–13.8]
db/db	33	51[42–60]*	28.5[17.0–33.3]*	35	49[39–53]*	18[9.8–31.8]*

The results are represented as medians [IQR], where *n* indicates the number of animals examined. **P* < 0.05 versus db/db+ . #*P* < 0.05 versus the same parameter in male mice in the same condition.

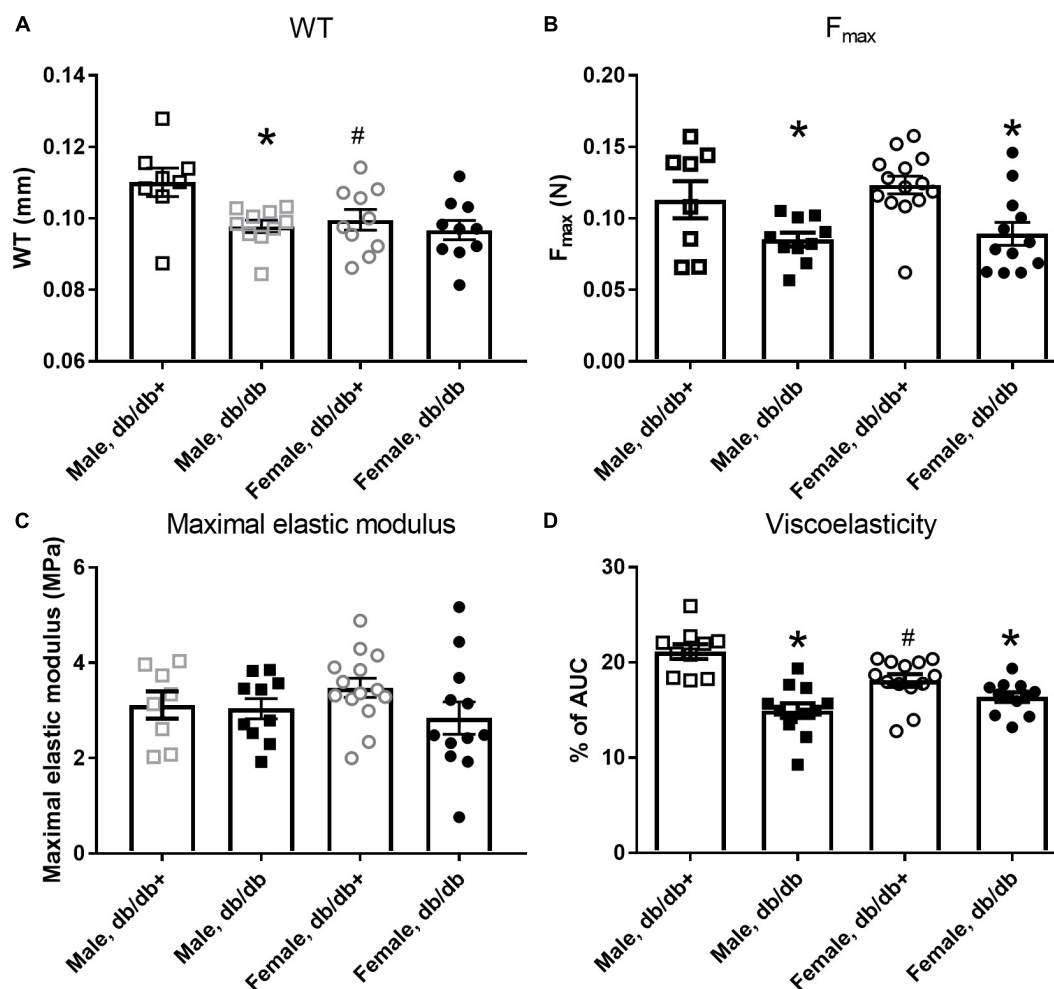


FIGURE 1 | Mechanical properties of aorta segments from male and female diabetic db/db and normoglycaemic db/db+ mice. Aorta segments from diabetic db/db mice show **(A)** reduced wall thickness (WT) in segments from male db/db ($n = 10$) versus db/db+ ($n = 8$) mice, but not in female db/db ($n = 12$) and db/db+ ($n = 14$) mice and **(B)** reduced maximal load (F_{max}), **(C)** unaltered maximal elastic modulus, and **(D)** reduced viscoelasticity. The results are means \pm SEM. * $P < 0.05$, db/db versus db/db+ controls. # $P < 0.05$, female versus male mice in same condition.

segments. Tension-stretch test was therefore performed on freshly dissected specimen in physiological saline. Successively stretching revealed increased passive tension development in wire-mounted aorta segments from diabetic db/db male mice (**Figure 2A**). At the optimal active tension, the aorta segments from male db/db mice had a mean internal diameter of $823 \pm 53 \mu\text{m}$ ($n = 8$) which was significantly decreased compared to the diameter of segments [$1144 \pm 43 \mu\text{m}$ ($n = 8$)] isolated from db/db+ mice. These findings show that in the presence of active vascular smooth muscle cells, the response to passive stretch was markedly increased in aorta from male db/db mice.

In contrast, wire-mounted aorta segments from female db/db mice that were successively stretched revealed no change in passive tension development (**Figure 2B**). The aorta segments from diabetic female db/db mice had lumen diameters of $762 \pm 91 \mu\text{m}$ ($n = 8$) at optimal tension, which was significantly decreased compared to segments isolated from db/db+ mice with lumen diameters of $1088 \pm 45 \mu\text{m}$ ($P < 0.05$, $n = 8$).

Passive Properties in Coronary Arteries From Diabetic Mice

External diameters were slightly increased in coronary arteries from female db/db compared to db/db+ mice, but otherwise

TABLE 3 | Collagen per mm luminal circumference and percentage collagen and elastin of dry defatted weight.

	Male db/db+	Male db/db
Collagen ($\text{mg} \times 10^{-3}/\text{mm}$)	6.00 ± 0.49 (8)	$4.42 \pm 0.08^*$ (10)
Collagen (%)	14.6 ± 0.5 (9)	13.8 ± 1.1 (10)
Elastin (%)	32.1 ± 0.9 (9)	30.8 ± 2.1 (10)
Collagen/elastin ratio	0.450 ± 0.020	0.454 ± 0.012

Means \pm SEM (No. of mice). Percentages of collagen and elastin were determined for 4 pools of aortas from 2 to 3 mice. * $P < 0.05$ db/db versus db/db+. Collagen content for aorta from female mice is listed in the result section, while elastin content was not determined in female mice.

TABLE 4 | Distensibility (strain) of the aortic wall at two loading pressures.

	Male db/db+ (<i>n</i> = 8)	Male db/db (<i>n</i> = 10)	Female db/db+ (<i>n</i> = 14)	Female db/db (<i>n</i> = 12)
100 mmHg	0.747 ± 0.013	0.767 ± 0.015	0.759 ± 0.021	0.862 ± 0.036*
120 mmHg	0.837 ± 0.014	0.855 ± 0.013	0.856 ± 0.019	0.955 ± 0.036*

The values are means ± SEM. **P* < 0.05 db/db versus db/db+.

diameters of the coronary arteries from male and female db/db mice were unaltered compared to segments from db/db+ mice (**Figures 3A,B**). The wall thickness was significantly decreased in segments from male db/db mice (**Figure 3C**), while it was unaltered in coronary arteries from female db/db mice (**Figure 3D**). The wall to lumen ratio was unaltered (**Figures 3E,F**). In agreement with the decreased wall thickness, the incremental distensibility was markedly increased at low pressures in coronary arteries from male db/db mice (**Figure 3G**). In contrast, incremental distensibility was decreased in coronary arteries from female db/db arteries compared to age-matched db/db+ mice (**Figure 3H**).

The stress-strain curve was rightward shifted indicating decreased stiffness in coronary arteries from male db/db mice (**Figure 4A**), while the stress-strain curve of coronary arteries from female db/db mice was unaltered (**Figure 4B**).

Functional Studies in the Aorta and Coronary Arteries

At the optimal passive tension, the active tension responses to 60 mM KPSS were not significantly altered in aorta segments from female db/db+ and db/db mice were, respectively, $5.5 \pm 1.1 \text{ Nm}^{-1}$ (*n* = 12) and $4.4 \pm 0.4 \text{ Nm}^{-1}$ (*n* = 10), and in aorta segments from male db/db+ and db/db mice, respectively, $7.5 \pm 0.5 \text{ Nm}^{-1}$ (*n* = 11) and $6.6 \pm 0.8 \text{ Nm}^{-1}$ (*n* = 11).

The arteries were contracted with 10^{-7} M phenylephrine, which induced comparable contractions in the aorta segments (**Table 5**). Phenylephrine-contracted segments relaxed to acetylcholine, but the acetylcholine relaxation curves were significantly reduced in aorta segments from male and female db/db mice (**Figures 5A,B** and **Table 5**). Comparing acetylcholine relaxations in aorta segments from male versus female control db/db+ mice showed the concentration-relaxation curves were not different, while acetylcholine relaxations were significantly reduced in aorta segments from female versus male db/db mice (**Figure 5C** and **Table 5**). These findings suggest the impairment of relaxations to the endothelium-dependent vasodilator, acetylcholine, is more pronounced in aorta segments from female db/db mice.

The NO donor SNP induced concentration-dependent relaxations, which were significantly reduced in aorta segments from male db/db mice (**Figure 5D**). These findings suggest endothelial dysfunction and reduced smooth muscle response to a NO donor in aorta from male db/db mice. Relaxations induced by the NO donor SNP were unchanged in aorta segments from female db/db mice (**Figure 5E**). Comparing SNP relaxations in aorta segments from male versus female db/db + showed maximum relaxations were less in segments from female mice

(**Table 5**) while comparing male and female db/db mice showed that the concentrations-response curves were not different.

In addition to a sex-specific difference in vascular function, the age and most likely glucose exposure time appears to play a role. Another series of mice, showed that in 11-week-old male db/db mice there was only a small rightward shift in the concentration-response curves for acetylcholine and no changes in the SNP relaxations comparing aorta from db/db versus control db/db+ mice (**Supplementary Figure S2**); and while there were no difference in responses to acetylcholine and SNP in the aorta from 11-week versus 16-week old db/db+ mice, both acetylcholine and SNP relaxations were diminished in the aorta from 16-week-old db/db mice (**Supplementary Figure S2**).

In wire-mounted coronary arteries, the internal diameters were, respectively, $211 \pm 12 \mu\text{m}$ (*n* = 6) and $210 \pm 12 \mu\text{m}$ (*n* = 7) from male diabetic db/db and db/db+ mice. In the coronary arteries of db/db mice contracted with U46619, acetylcholine relaxation was impaired in arteries from male diabetic mice compared to db/db+ control mice (**Supplementary Figure S3A**). The relaxations induced by the NO donor, SNP, were significantly reduced in coronary arteries from db/db mice (**Supplementary Figure S3B**).

Blood Pressure and Transthoracic Echocardiography

Mean arterial blood pressure measured in anesthetized male mice was not different in male diabetic db/db compared to db/db + control animals (**Table 6**), but the heart rate was lower. The duration of the PR-interval, QT-interval and the corrected QT-interval on the ECG were significantly prolonged in diabetic animals (**Table 6** and **Supplementary Figure S4**). The duration of P-wave was not significantly different from the controls, however, therefore the prolonged PR-interval was due to prolonged duration of the PR-segment (43.2 ms vs. 37.3 ms, *p* = 0.035).

Left ventricle dimensions were measured (**Figure 6**), and LV cavity size, both the absolute size and per centimeter tibia length, of the db/db mice was significantly greater than the heterozygote mice (**Table 6**). LV posterior wall thickening, fractional foreshortening, ejection fraction and fractional area change were lower in the db/db mice. However, only the difference in the posterior wall thickening was statistically significant. The stroke volume was similar in the two groups of mice and the cardiac output was mildly reduced (no statistical significance) as a result of lower heart rate. Echocardiography of aorta showed decreased mean flow and maximal flow velocity in diabetic db/db mice (**Table 6** and **Supplementary Figure S5**). The circumferential strain of the ascending aorta

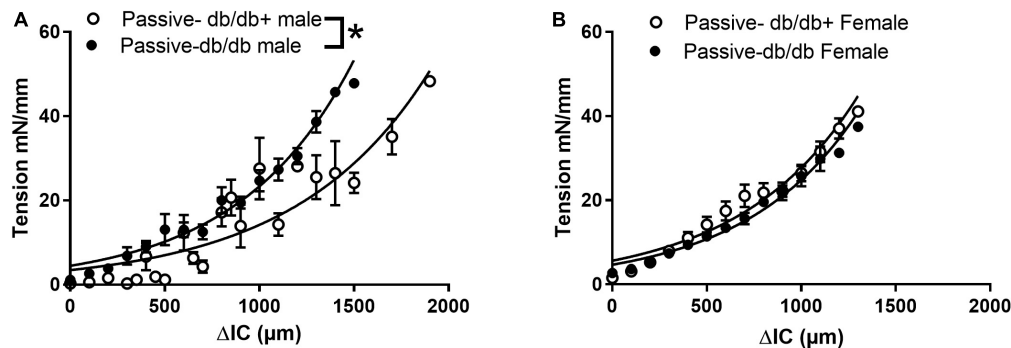


FIGURE 2 | Passive and active properties of aorta segments from male and female diabetic db/db and normoglycaemic db/db+ mice. Aorta segments were mounted in myographs for isometric tension measurements allowing us to also evaluate the active vascular smooth muscle component. **(A)** Passive stretch of aorta segments measured as increase in internal circumference (ΔIC) against increase in force (ΔF) from male db/db ($n = 6$) and db/db+ mice ($n = 6$). **(B)** The passive stretch of aorta segments measured as an increase in internal circumference (ΔIC) against an increase in force (ΔF) from female diabetic db/db ($n = 6$) and control db/db+ mice ($n = 6$). The results are means \pm SEM, * $P < 0.05$, db/db versus db/db+ controls.

was lower in the db/db mice, but the difference did not reach statistical significance.

DISCUSSION

In this comprehensive study we have examined in detail the passive and active changes in the mechanical and functional properties of the large arteries as well as the alterations in cardiac function and hemodynamics in diabetic mice. In addition, we investigated the impact of sex and age on the mechanical and functional properties of the arteries in diabetic mice.

We observed a structural adaptation of the heart and vasculature to increased body weight, increased blood glucose and decreased locomotion in the diabetic animals. Consistent with a reduced vessel wall thickness and collagen content in the aorta of male db/db mice, we observed a reduced maximal load and decreased viscoelasticity indicative of a more efficient Windkessel function. Similar findings were observed in the coronary artery. However, testing the arterial segments with preserved vascular smooth muscle function revealed increased vasomotor tone and endothelial dysfunction in diabetic male mice. This was evidenced by increased tension development to stretching and impaired relaxation to acetylcholine and sodium nitroprusside and the impairment was more pronounced in older diabetic male mice. Sex-specific vascular changes were also observed. While the decrease in maximum load and viscoelasticity of the aorta in female diabetic mice was comparable to that of the male mice, the impairment to acetylcholine relaxation was more pronounced in female mice. Moreover, in contrast to diabetic male mice, the incremental distensibility of the coronary artery decreased in diabetic female mice.

Vascular Remodeling

Macroangiopathy associated with the development of accelerated atherosclerosis plays an important role in the development of

vascular complications in type 2 diabetic patients (Di Marzio et al., 2006). The findings regarding aortic remodeling in diabetic mouse models are more controversial. In aorta from diabetic ob/ob mice, the media thickness is increased and this is ascribed to an increased amount of proteoglycans in the vessel wall (Okon et al., 2003), while in db/db mice, wall thickness of the aorta was reported to be similar to that of the control mice (Guo et al., 2005). *In vitro* studies reported larger diameters of stretched aorta segments from db/db mice (Piercy and Taylor, 1998), but recent *in vivo* studies suggested similar aortic diameters measured with echocardiography (Faita et al., 2018). In the present study, the diameters of the aorta in db/db versus control mice were similar using echocardiography, and in segments without active contribution from smooth muscle cells. In segments with active smooth muscle cells, the diameter of aorta segments from db/db male mice was smaller suggesting a larger smooth muscle response to stretch. Moreover, wall thickness was decreased in aorta from male db/db mice. These findings suggest that mean arterial diameters are similar in aorta from db/db and control mice, but that an adaptation to a lower flow velocity with thinning of the vessel wall and change in the passive properties have taken place in the aorta from db/db mice (Figure 1). Apart from the hyperglycemia, the db/db mice due to the leptin receptor defect have pronounced obesity which may also contribute to these alterations.

In patients with type 2 diabetes, a hypertrophic remodeling with larger media-to-lumen ratio take place in small subcutaneous arteries (Rizzoni et al., 2001; Schofield et al., 2002). Mesenteric small arteries, septal coronary arteries, and coronary microvessels from db/db mice also have increased media-to-lumen ratio and smaller lumen diameters consistent with changes observed when hypertrophic inward remodeling takes place (Katz et al., 2011; Anghelescu et al., 2015; Husarek et al., 2015; Nguyen Dinh Cat et al., 2018). Hypertrophic outward remodeling has also been suggested to occur in even smaller (internal lumen diameters $\sim 50 \mu m$) mesenteric arteries from db/db mice (Souza-Smith et al., 2011). In the present study, similar experimental setups did not reveal any differences

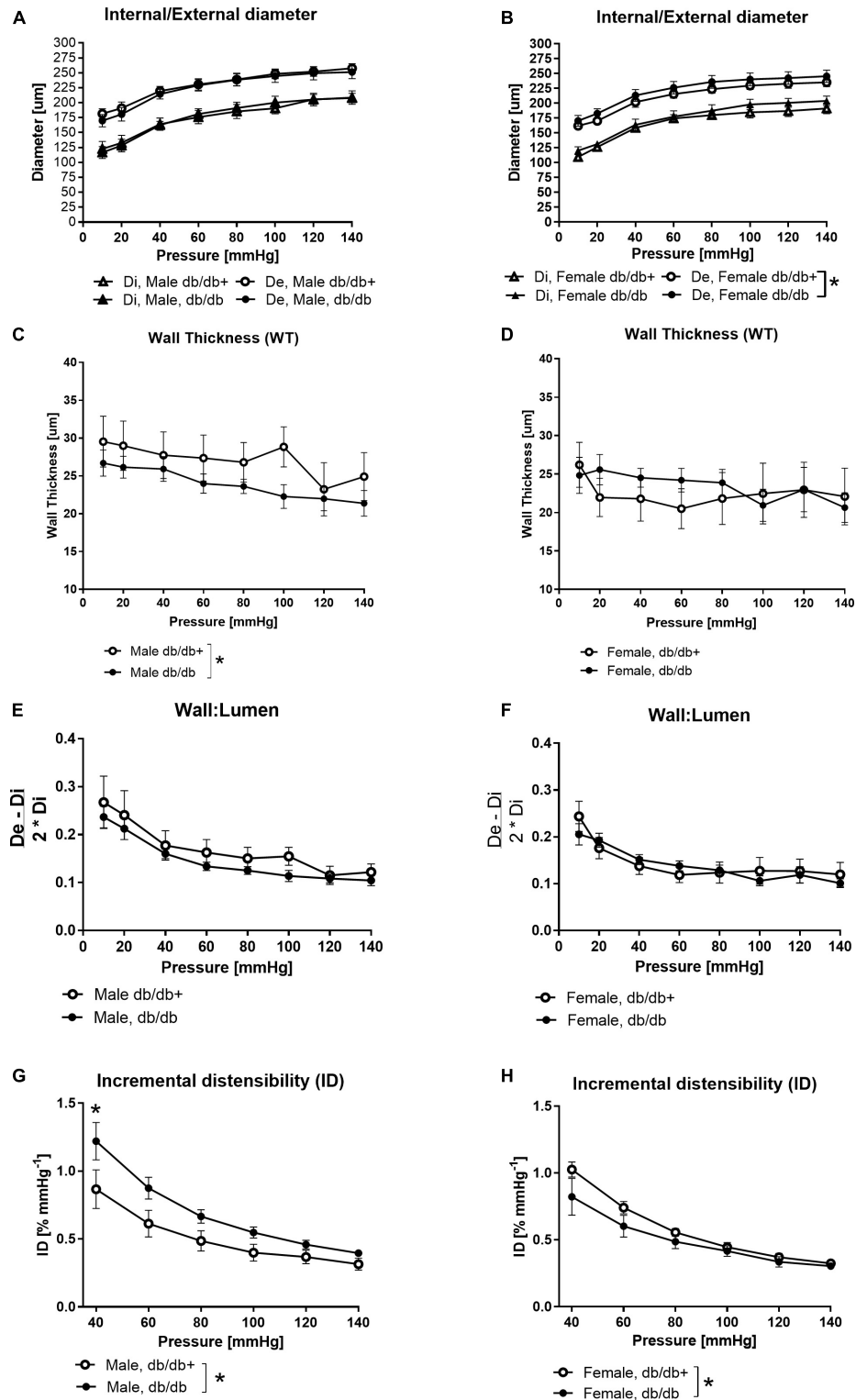


FIGURE 3 | Structural and mechanical changes in left anterior descending (LAD) coronary artery from male diabetic mice. (A,B) Increasing pressure (10–140 mmHg) changes in external (De) and internal (Di) diameters, (C,D) wall thickness, (E,F) wall:lumen ratio, and (G,H) incremental distensibility. The vessel wall thickness is significantly lower in male mice, while distensibility is significantly higher in arteries from male db/db mice and significantly lower in arteries from female db/db mice compared to the db/db+ controls. The results are means \pm SEM. * $P < 0.05$, db/db ($n = 6$) versus db/db+ controls ($n = 6$).

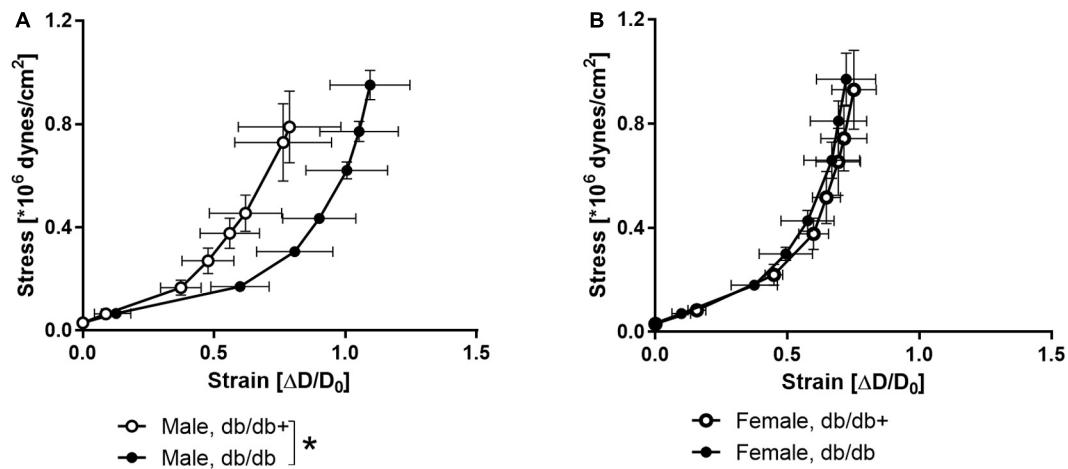


FIGURE 4 | Stress-strain curves in coronary arteries. Strain measured as change in diameter (ΔD) over initial vessel diameter (D_0) plotted against stress in coronary arteries from (A) db/db+ and db/db male mice and (B) db/db+ and db/db female mice. * $P < 0.05$, db/db ($n = 6$) versus db/db+ controls ($n = 6$).

TABLE 5 | Relaxations induced by acetylcholine (ACh) and sodium nitroprusside (SNP) in aorta segments from male (M) and female (F) normoglycaemic (db/db+) and diabetic (db/db) mice.

	PhE	ACh		PhE	SNP	
	Nm ⁻¹	-log(EC ₅₀)	Max relaxation (%)	Nm ⁻¹	-log(EC ₅₀)	Max relaxation (%)
M db/db+	2.2 ± 0.5	7.47 ± 0.15	72.3 ± 4.3	2.5 ± 0.5	7.93 ± 0.08	96.0 ± 2.2
M db/db	2.3 ± 0.6	6.34 ± 0.07*	57.9 ± 3.1*	3.7 ± 0.9	7.49 ± 0.17	84.3 ± 4.5*
F db/db+	1.7 ± 0.2	7.07 ± 0.12	69.8 ± 4.2	1.7 ± 0.4	7.83 ± 0.12	85.2 ± 3.7 [#]
F db/db	1.8 ± 0.4	6.38 ± 0.45	31.5 ± 9.6* [#]	2.3 ± 0.2	8.21 ± 0.20	76.9 ± 3.7

Aorta segments were contracted with phenylephrine (PhE, tension in Nm⁻¹). EC₅₀ is the concentration causing half-maximal relaxation. The data are means ± SEM of $n = 6-7$ animals. * $P < 0.05$, Students *t*-test versus the same parameter in aorta segments from db/db+ mice. [#] $P < 0.05$, Students *t*-test versus same parameter in male mice of same strain.

between the inner and outer diameters of the left anterior descending coronary artery from male db/db mice compared to control db/db+ mice. The inner diameters of the arterial segments in the present study are similar to previous studies on the mouse septal coronary artery (Katz et al., 2011; Husarek et al., 2015). However, taking the dilation of the left ventricle observed with echocardiography in db/db mice into consideration, it cannot be excluded that the longitudinal stretch of the LAD may contribute to increased resistance and reduced coronary blood flow in the diabetic mouse heart, as previously observed in the male db/db mice (Husarek et al., 2015).

Arterial Stiffness

Arterial stiffening is considered an independent risk factor for both macro- and micro-vascular complications in type 2 diabetes (Schram et al., 2004; Prenner and Chirinos, 2015). Aortic stiffness is markedly increased in the diabetic Zucker rat and is attributed to increased fibronectin and collagen IV (Sista, 2005). In the present study, the maximum stiffness of the aorta was decreased in the male db/db mice consistent with the decreased wall thickness, while the maximal elastic modulus remained the same. The aortic stiffness evaluated *in vivo* also appeared unchanged consistent with a recent study (Faita et al., 2018). The stress-strain

relationship was rightward shifted in coronary arteries from male db/db mice. These findings agree with previous findings of decreased stiffness in mouse septal coronary arteries (Katz et al., 2011; Husarek et al., 2015), but contrast markedly with previous studies reporting increased stiffness in mesenteric arteries (Nguyen Dinh Cat et al., 2018). These findings suggest that the changes are related to the vascular bed affected in the db/db mice, and in the mesenteric bed, vascular smooth muscle or perivascular formation of aldosterone may contribute to the development of changes in the arterial function and structure (Briones et al., 2012; Matsuzawa et al., 2013). Although there is an increase in subcutaneous fat accumulation, in the db/db mice, fat accumulation primarily takes place around the viscera and there is less accumulation in the thorax and around the coronary arteries that are embedded in the myocardium, hence allowing less access from fat-derived autacoids than in the mesenteric vascular bed.

Arterial Viscoelasticity

In large arteries from diabetic patients, elasticity was reported to be decreased (Airaksinen et al., 1993). Septal coronary arteries from db/db mice exhibit decreased elastic modulus, while incremental elastic modulus was increased in the femoral arteries

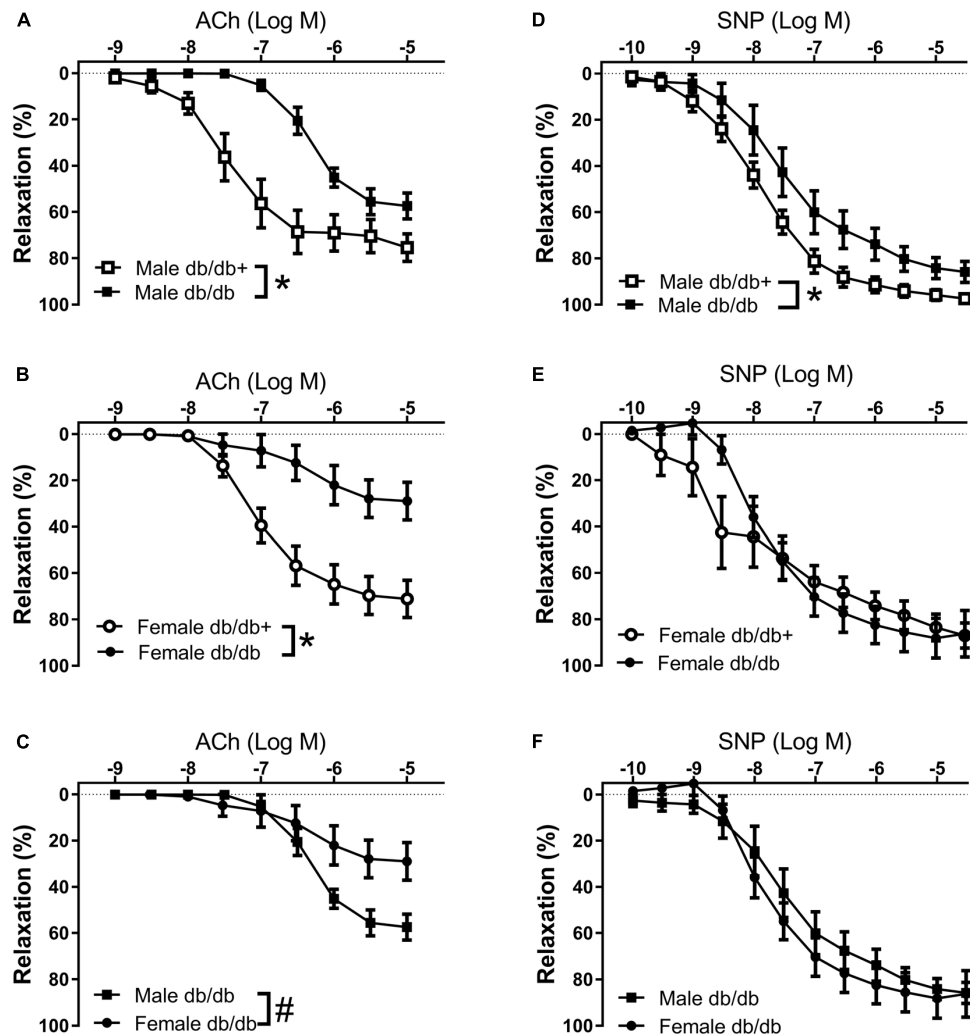


FIGURE 5 | Impaired endothelium-dependent relaxation in aorta from diabetic db/db mice. Average aorta relaxations in segments contracted with phenylephrine. **(A)** Impaired acetylcholine (ACh) relaxation in diabetic db/db male mice ($n = 6$) compared to normoglycaemic db/db+ male mice ($n = 6$). **(B)** Impaired acetylcholine relaxation in diabetic db/db female mice ($n = 6$) compared to normoglycaemic db/db+ ($n = 7$) female mice. **(C)** The impairment of acetylcholine relaxation was more pronounced in aorta segments from female compared to male diabetic db/db mice. **(D)** Impaired SNP relaxation in aorta from diabetic db/db male mice ($n = 6$) compared to normoglycaemic db/db+ ($n = 7$) male mice. **(E)** Unaltered relaxations induced by the NO donor sodium nitroprusside (SNP) in diabetic db/db female mice ($n = 6$) compared to normoglycaemic db/db+ female mice ($n = 6$). **(F)** SNP relaxations were similar in aorta segments from male and female db/db mice. The results are means \pm SEM. * $P < 0.05$ db/db versus db/db+ control mice. # $P < 0.05$, female versus male mice in the same condition.

of the same mice (Katz et al., 2011). In the present study, the viscoelastic behavior was decreased in aorta from both female and male db/db mice as well as in coronary arterioles from male mice (Figure 2). This can be explained by compositional changes in the content of collagen, elastin, glycosaminoglycans (GAG), and/or smooth muscle cells. We found a decreased collagen content and unaltered fractional elastin content in the aorta from male db/db mice. In the aorta, engagement of elastic fibers plateau around a strain of 0.2 (Chow et al., 2014), while at physiological pressures, aorta operates at a higher strain (>0.5), and therefore requires substantial recruitment of collagen fibers. Therefore, the reduced collagen content will lead to decreased viscoelasticity, i.e., less energy dissipation to pressure waves. It may also contribute to

the decrease in maximal load at a breaking point in the aorta segments from both female and male diabetic db/db mice.

Vascular Contractility

Several studies have found increased contractility both to high extracellular K^+ and to α -adrenoceptor agonists in the aorta and small arteries from diabetic rats and mice (Piercy and Taylor, 1998; Pannirselvam et al., 2002, 2005; Xie et al., 2006; Sallam et al., 2011). In several of these studies of aorta from db/db mice, the passive tension in segments from db/db and db/db+ mice were set to a fixed passive tension load, e.g., 5.5 mN, corresponding to 30 mmHg, or 1.5 g (Piercy and Taylor, 1998; Miike et al., 2008; Sallam et al., 2011). In the present

TABLE 6 | Mean arterial pressure (MAP) and echocardiographic results in a subset of male control db/db + and diabetic db/db mice.

	db/db+ (N = 7)	db/db (N = 7)	p
MAP (mmHg)	73 ± 5	68 ± 2	0.38
Heart rate (min ⁻¹)	400 ± 17	340 ± 11	0.01*
Ejection time (ms)	54.8 ± 2.8	65.2 ± 2.8	0.02*
Duration of diastole (ms)	96.6 ± 4.6	112.4 ± 5.8	0.05
p-wave duration (ms)	13.5 ± 0.7	14.7 ± 0.8	0.26
PR-interval (ms)	50.7 ± 1.5	58.0 ± 1.5	0.01*
QT interval (ms)	50.5 ± 1.5	64.0 ± 3.0	0.001*
QTc (ms)	41.2 ± 1.3	48.0 ± 1.4	0.004*
LVPW _d (mm)	1.01 ± 0.07	1.11 ± 0.08	0.39
LVPW _d /TL (mm/cm)	0.57 ± 0.04	0.67 ± 0.05	0.14
LVPW thickening (%)	61.4 ± 8.1	37.8 ± 2.2	0.03*
LVID _d (mm)	3.59 ± 0.09	3.92 ± 0.09	0.03*
LVID _d /TL (mm/cm)	2.02 ± 0.05	2.36 ± 0.05	< 0.001
LVarea _d (mm ²)	8.89 ± 0.37	11.2 ± 0.45	0.002*
LV area _d /TL (mm ² /cm)	5.00 ± 0.21	6.72 ± 0.27	< 0.001*
Fractional foreshortening (%)	42.3 ± 3.0	37.5 ± 1.9	0.20
Ejection fraction (%)	79.8 ± 3.1	75.1 ± 2.1	0.23
Fractional area change (%)	65.7 ± 2.5	58.8 ± 2.9	0.10
Aorta ID _d (mm)	1.28 ± 0.05	1.27 ± 0.03	0.90
Aorta ID _d /TL (mm/cm)	0.72 ± 0.03	0.72 ± 0.02	0.90
Circumferential strain (%)	23.1 ± 2.4	17.8 ± 4.3	0.30
Aortic V _{max} (mm/s)	1008 ± 110	655 ± 76	0.02*
Aortic V _{mean} (mm/s)	581 ± 63	379 ± 43	0.02*
Stroke volume (mm ³)	29.2 ± 2.5	29.7 ± 3.4	0.91
Stroke volume/TL (mm ³ /cm)	16.4 ± 1.43	16.7 ± 1.92	0.91
Cardiac output (ml/min)	11.5 ± 0.7	10.0 ± 1.1	0.28
Cardiac output/TL (ml/min/cm)	6.46 ± 0.39	5.63 ± 0.62	0.28

BM: body mass, d: end-diastole, ID: inner diameter, LV: left ventricle, PW: posterior wall, TL: tibia length, V: velocity. The values are means ± SEM. *P < 0.05, versus db/db+ (Student's t-test).

study, the arterial segments were stretched for optimal tension development, and at this level the active responses were similar. However, the myogenic response to stretch was increased in aorta from male db/db mice, which is in agreement with previous studies demonstrating increased contractility in aorta from male db/db mice. In contrast, we found that the active responses to stretch were similar in aorta segments from db/db and control female mice. These findings suggest that sex play a role for the augmentation of smooth muscle contractility in the aorta from male db/db mice.

Reduced relaxations to the NO donor, SNP also suggest alterations of smooth muscle function diabetes. Thus, endothelium-independent concentration-dependent relaxations to the NO donor SNP were unaltered in the aorta of 6–8-week-old mice, rightward shifted in the aorta of 11 weeks old male db/db mice (Miike et al., 2008), and markedly reduced in the aorta from 14 to 20 weeks old male db/db mice (Sallam et al., 2011). We also observed that SNP-induced endothelium-independent vasodilatations were markedly impaired in the aorta and coronary arteries from 16-week old male diabetic db/db mice, while there was no change in SNP relaxations of aorta from 11-week old male db/db mice. These observations suggest that long-term exposure to high glucose levels lead to impairment

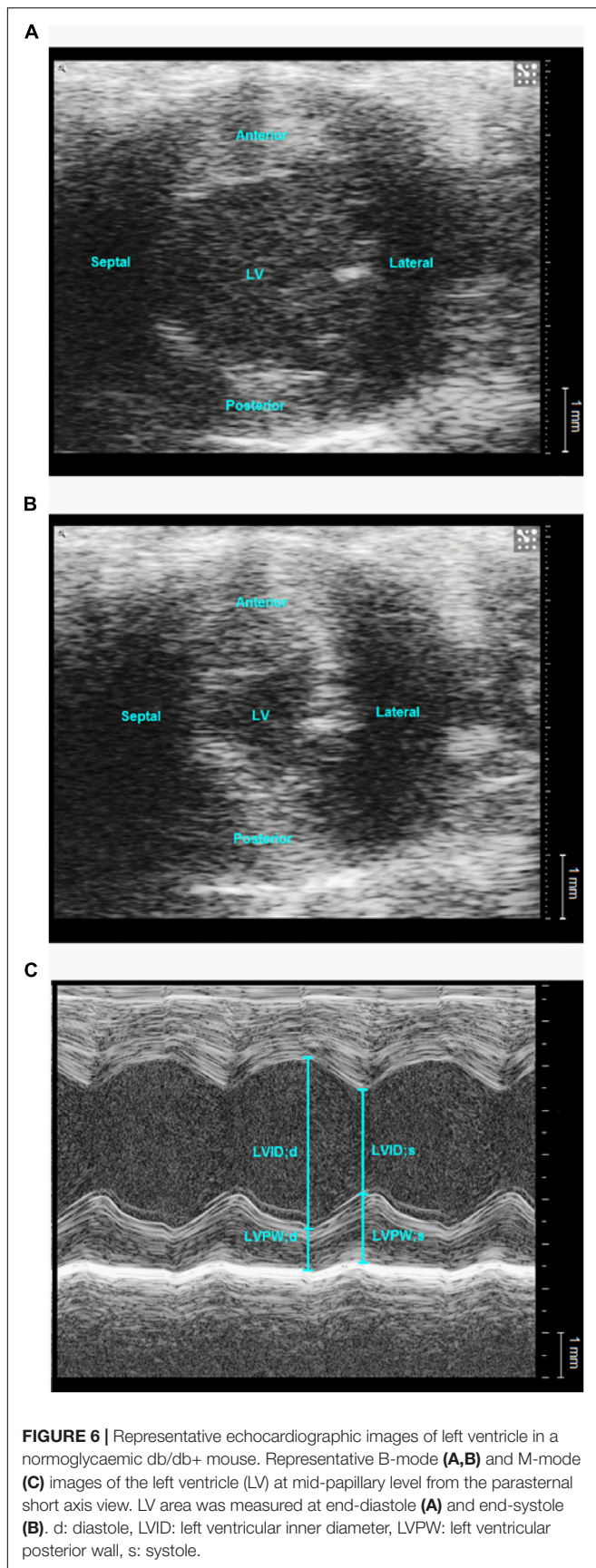
of SNP relaxations in aorta from male db/db mice. In contrast to the male db/db mice, SNP relaxations in aorta from female db/db mice were unaltered suggesting that the smooth muscle dysfunction is most pronounced in aorta from male db/db mice. The diabetic female mice had smaller body weight and less increase in plasma glucose compared to male mice, and this may contribute to the observations that structural and aorta contractility alterations appear to be less pronounced in aorta from diabetic female mice compared to diabetic male mice.

Endothelial Dysfunction

Numerous studies have shown endothelial dysfunction in patients with type 2 diabetes (Shi and Vanhoutte, 2017) and in mouse coronary arterioles (Gao et al., 2007; Zhang et al., 2008; Choi et al., 2012; Kassan et al., 2014) and aorta segments from db/db mice (Piercy and Taylor, 1998; Miike et al., 2008; Sallam et al., 2011). Acetylcholine relaxation is unaltered in aorta of 6-week old male db/db mice (Miike et al., 2008), but impaired endothelium-dependent acetylcholine relaxation is present in 6–8-week old male db/db mice which have higher glucose levels and subsequently progresses with further reduction of acetylcholine relaxation in aorta of db/db mice at 11 weeks (Miike et al., 2008) and 14–20 weeks (Sallam et al., 2011). In aorta from male db/db mice, we also observed an age-dependent progression in the impairment of acetylcholine relaxation. In addition, the impairment of acetylcholine relaxation was significantly reduced in the aorta of female db/db mice, not only compared to aorta from control animals, but also compared to the aorta from male db/db mice. The blood glucose level *per se* is unlikely to explain the difference in impaired endothelial function compared to male diabetic db/db mice, as the levels were lower in female versus male db/db mice (Table 2). Sex hormones affect the cardiovascular system, but comparing arteries from male and female animals often show that estrogens are protective and improve endothelial function (Wellman et al., 1996), but this is opposite to the present findings of decreased acetylcholine relaxation. Weight and inflammation were suggested as the major determinants of vascular dysfunction in the aorta of male db/db mice (Sallam et al., 2011). Expressed relative to the control animals, the weight gain in female db/db mice is markedly larger than in male db/db mice in the present study, which would agree with weight being important for endothelial dysfunction. Although further investigation will be required to clarify the underlying mechanism, our results may contribute to understand the observation of higher incidence of macrovascular complications in diabetic women compared to diabetic men (Maric-Bilkan, 2017).

Heart Rhythm and Function in Diabetic db/db Mice

The heart rate of the animals, both db/db+ and db/db mice, is low. This is likely attributed to full anesthesia. In contrast to diabetic human subjects (Ewing et al., 2015), there was a fall in heart rate in the diabetic mice, which is consistent with previous observations (Park et al., 2008; Senador et al., 2009). This could be due to reduced locomotor activity and metabolism



(Park et al., 2008) and/or altered autonomic balance in the diabetic mouse hearts (Senador et al., 2009). Mice can increase their heart rate by only 30–40%, while humans can increase the heart rate by ~300% (Kaese and Verheule, 2012). Therefore, increasing the heart rate will only lead to a small increase in stroke volume and cardiac output in mice. In contrast, decreased heart rate, i.e., longer cardiac duration with a longer diastolic filling time, will lead to an increase in ventricular preload resulting in increased ventricular contractility and stroke volume as dictated by Starling's law. This may partially explain the observed decrease in heart rate in diabetic mice. Diabetes is associated with an increased risk of impaired electrical conduction in the heart, due to autonomic neuropathy in diabetic patients. Defects such as atrioventricular block, bundle branch block, and prolonged QT/QTc, will correlate to incremented cardiovascular risk factors, leading to increased mortality rates (Movahed, 2007). In diabetic mice, the prolonged PR-interval indicates impaired atrioventricular conduction. We also observed a wide M-shaped QRS-complex, and the QRS complex is composed of not only depolarization but also early repolarization in mice (Boukens et al., 2014). A prolonged QRS could be due to prolonged depolarization or early repolarization of the ventricles resulting in a longer ejection time in the diabetic mice, which seems like a further functional compensatory effect of the diabetic autonomic neuropathy.

Left ventricle systolic function in db/db mice has previously been reported to be impaired (Plante et al., 2014; Baumgardt et al., 2016; Moon et al., 2016; Xu et al., 2016). Although different types of control animals (C57BL6 or db/db+) have been included, and db/db mice were investigated at different ages, reduced stroke volume, foreshortening fraction, ejection fraction, and cardiac output values have been reported and seem to develop with increasing age of the animals (Plante et al., 2014; Baumgardt et al., 2016; Moon et al., 2016; Xu et al., 2016). In the present study, the LV systolic function of the db/db mice was reduced as evidenced by the decreased posterior wall thickening, fractional foreshortening, ejection fraction and fractional area change, although the reduction in the three latter parameters did not reach statistical significance. Due to the prolonged diastolic filling time and ejection time, the stroke volume was maintained and the cardiac output was only mildly reduced (statistically insignificant) as a consequence of lower heart rate.

Diabetic cardiomyopathy can occur in the hypertrophic and/or the dilated form or as restrictive cardiomyopathy in diabetic patients with preserved ejection fraction (Seferović and Paulus, 2015). In db/db mice, a recent study observed low LV mass and systolic dysfunction suggesting restrictive cardiomyopathy rather than hypertrophic remodeling of the heart in db/db animals (Faita et al., 2018). In the present study, heart weight was unaltered expressed as raw weight and as a ratio to tibia length. Moreover, we did not observe any significant increase in the posterior wall thickness, while the LV cavity was significantly larger in the diabetic db/db mice suggestive of LV dilatation. The presence of hypertension was suggested to play a role in the cardiac volumes in db/db mice (Van Bilsen et al., 2014), but in a subset of animals, we did not find differences in mean arterial pressures measured with an invasive catheter.

Although tail blood pressure measurements suggested that db/db mice are hypertensive (Bagi et al., 2005; Van Bilsen et al., 2014), our findings are in agreement with other studies reporting no difference in telemetric blood pressure values (Su et al., 2008; Katz et al., 2011; Souza-Smith et al., 2011; Husarek et al., 2015; Fajta et al., 2018). The increased end-diastolic LV cavity dimensions may also be related to a longer diastolic filling time, and hence adaptation of the heart to larger body weight and reduced locomotor activity.

Limitations

The experiments on arteries from both control db/db+ and diabetic db/db male and female mice were conducted with the same glucose concentration of 5.5 mM. This was to avoid acute hyperglycaemic effects on endothelial function (Bangshaab et al., 2019), which may confound the relation of endothelial to structural function. However, both the male and female db/db mice were exposed to high plasma glucose concentrations for several weeks. We cannot exclude the impairment of endothelial function would be more pronounced in the presence of high glucose levels in the bath solution when arteries from db/db mice were examined, and this may also influence the observed sex differences.

There are several echocardiographic studies in male db/db mice (Plante et al., 2014; Baumgardt et al., 2016; Moon et al., 2016; Katare et al., 2018), and in the present study, we also chose to focus on the male db/db mice to clarify whether the pronounced vascular changes can be explained by changes in cardiac function and aortic flow. Despite measurements of a series of parameters, our results only reflect one-time point in the development of alterations in db/db mice, and due to low animal availability, there is a lack of some of the parameters in female db/db mice in the present study.

CONCLUSION AND PERSPECTIVES

The vessel thickness and viscoelasticity were decreased in aorta from diabetic male db/db mice, and the aortic Windkessel function seems more efficient. Together with the observed left ventricular dilatation and reduction in aortic flow velocity, these findings suggest a structural adaptation of the heart and vasculature to the increased body weight and decreased locomotion in these animals. Sex-specific differences are apparent in vessel segments with smooth muscle contribution as the passive stretch-tension curve was leftward-shifted in the aorta from male db/db mice, while it was unaltered in female db/db aorta segments versus control db/db+ mice. The reduced relaxations to the NO donor, SNP also suggest alterations of smooth muscle function in the aorta from male diabetic db/db mice. In the aorta from female diabetic db/db mice, acetylcholine relaxation was significantly impaired compared to the aorta from both the control and male diabetic db/db mice. The findings that impairment of vascular endothelial function was most pronounced in the aorta from female diabetic mice may have implications for the observed higher incidence of macrovascular complications in diabetic women.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT

The animal study was reviewed and approved by Danish Animal Experiments Inspectorate (permission 2014-15-2934-01059).

AUTHOR CONTRIBUTIONS

LB, EP, RC, and RH performed the functional examination of vessel segments *in vitro*. LB, RC, and CD examined the passive properties of the vessel segments. JS performed the transthoracic echocardiographic examination. SC-S performed the blood pressure measurements. All authors contributed to the design of the study and in the analysis of the data. LB, JS, and US wrote the first draft of the manuscript. All authors revised and approved the final version of the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fphys.2020.00667/full#supplementary-material>

FIGURE S1 | Representative image of an aorta ring segment.

FIGURE S2 | Acetylcholine and sodium nitroprusside relaxations in the aorta from 11- and 16-week old control (db/db+) and diabetic male mice.

FIGURE S3 | Impaired acetylcholine and sodium nitroprusside relaxations in coronary arteries contracted by the thromboxane analog, U46619.

FIGURE S4 | Representative electrocardiogram from a diabetic db/db mouse and a control db/db+ mouse.

FIGURE S5 | Representative echocardiographic images of aorta in a db/db mouse.

TABLE S1 | Calculation of passive properties of coronary arteries.

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Restoring the Platelet miR-223 by Calpain Inhibition Alleviates the Neointimal Hyperplasia in Diabetes

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Platelet hyperactivity is the hallmark of diabetes, and platelet activation plays a crucial role in diabetic vascular complications. Recent studies have shown that upon activation, platelet-derived miRNAs are incorporated into vascular smooth muscle cells (VSMCs), regulating the phenotypic switch of VSMC. Under diabetes, miRNA deficiency in platelets fails to regulate the VSMC phenotypic switch. Therefore, manipulation of platelet-derived miRNAs expression may provide therapeutic option for diabetic vascular complications. We seek to investigate the effect of calpeptin (calpain inhibitor) on the expression of miRNAs in diabetic platelets, and elucidate the downstream signaling pathway involved in protecting from neointimal formation in diabetic mice with femoral wire injury model. Using human cell and platelet coculture, we demonstrate that diabetic platelet deficient of miR-223 fails to suppress VSMC proliferation, while overexpression of miR-223 in diabetic platelets suppressed the proliferation of VSMC to protect intimal hyperplasia. Mechanistically, miR-223 directly targets the insulin-like growth factor-1 receptor (IGF-1R), which inhibits the phosphorylation of GSK3 β and activates the phosphorylation of AMPK, resulting in reduced VSMC dedifferentiation and proliferation. Using a murine model of vascular injury, we show that calpeptin restores the platelet expression of miR-223 in diabetes, and the horizontal transfer of platelet miR-223 into VSMCs inhibits VSMC proliferation in the injured artery by targeting the expression of IGF-1R. Our data present that the platelet-derived miR-223 suppressed VSMC proliferation via the regulation miR-223/IGF-1R/AMPK signaling pathways, and inhibition of calpain alleviates neointimal formation by restoring the expression of miR-223 in diabetic platelet.

Keywords: diabetes mellitus, platelet, vascular smooth muscle cells, miR-223, calpain, neointimal hyperplasia

INTRODUCTION

Diabetes mellitus (DM) is an important risk factor of intima hyperplasia and vascular restenosis, resulting in diabetic vascular complications (Kornowski et al., 1997; Davi and Patrono, 2007). It is known that platelet hyperreactivity is the hallmark of DM, and the activated platelets (APs) release thromboxane and PDGF, which induce vascular smooth muscle cells (VSMCs) switching

from a quiescent contractile phenotype to a highly synthetic and proliferating phenotype upon vascular injury and repair (Millette et al., 2005). Excessive proliferation of VSMCs leads to intimal hyperplasia in DM (Faries et al., 2001). Therefore, the regulation of VSMC phenotypic switch plays a crucial role in maintaining the vascular stability.

Although platelets are anucleate cells, they contain enriched and diverse miRNAs and mRNAs (Landry et al., 2009; Nagalla et al., 2011). We and others have demonstrated that the platelet-derived miRNAs can be transferred and incorporated into recipient cells such as VSMCs, endothelial cells, and macrophages (Risitano et al., 2012; Kirschbaum et al., 2015; Zeng et al., 2019). Our study has also shown that the horizontal transfer of platelet miRNA (miR-143/145 and miR-223) inhibits VSMC dedifferentiation and proliferation, but the altered miRNA expression of diabetic platelets fails to inhibit VSMC dedifferentiation, consequently leading to increased neointimal formation (Zeng et al., 2019). Recent report has shown that Dicer is a calpain substrate, and calpain-dependent cleavage of Dicer reduced the expression of miRNAs in diabetic platelets (Elghezawy et al., 2015). The altered expression of platelet miRNAs such as miR-223 can be restored by treatment of a calpain inhibitor in diabetic mice (Elghezawy et al., 2015), suggesting that calpain inhibitor may provide therapeutic adjunct for platelet-associated vascular disease in diabetes.

In the present study, we seek to elucidate the mechanism by which inhibition of calpain regulated VSMC phenotypic switch via upregulating the expression of platelet-derived miRNAs, and the potential application of calpeptin on platelet-associated vascular disease in diabetes. Here we demonstrate that diabetic platelet deficient of miR-223 fails to suppress VSMC proliferation, while overexpression of miR-223 in diabetic platelets suppressed the proliferation of VSMC to protect intimal hyperplasia. Mechanistically, miR-223 directly targets the insulin-like growth factor-1 receptor (IGF-1R), which inhibits the phosphorylation of GSK3 β and activates the phosphorylation of AMPK, resulting in reduced VSMC dedifferentiation and proliferation. Using a murine model of vascular injury, we show that calpeptin restores the platelet expression of miR-223 in diabetes, and the horizontal transfer of platelet miR-223 into VSMCs inhibits VSMC proliferation in the injured artery by targeting the expression of IGF-1R. Collectively, our results demonstrate that the platelet-derived miR-223 inhibits VSMC proliferation via miR-223/IGF-1R/AMPK signaling axis, and inhibition of calpain alleviates neointimal formation by restoring the expression of miR-223 in diabetic platelet.

MATERIALS AND METHODS

Platelet Isolation and Purification

Human peripheral blood was obtained from Guangzhou Women and Children's Medical Center. Informed consent of underage subjects was obtained from their parents. Our study was compiled and approved by the Institutional Review Board of Guangzhou Women and Children's Medical Center (Human Investigation Committee No. 201944101). Venous blood samples

(approximately 4 ml) were drawn from Non-DM and DM subjects from Guangzhou Women and Children's Medical Center. Venous blood samples (approximately 4 ml) were drawn into blood collection tubes containing 3.8% trisodium citrate (w/v). Platelet-rich plasma (PRP) was centrifuged at 1200 rpm at 25°C for 15 min. PRP were then treated with 100 nM Prostaglandin E1 (PGE1, Sigma) and centrifuged at 2500 rpm for another 5 min. After discarding the supernatant, the platelet pellet was resuspended with 3 ml Hank's Balanced Salt Solution (HBSS, NaCl 135 mM, MgCl₂ 1 mM, NaHCO₃ 12 mM, CaCl₂ 1 mM, KCl 2.9 mM, Glucose 5 mM, BSA 0.35%, HEPES 10 mM).

For murine platelets, blood was drawn from the left ventricle after anesthesia. Platelet-rich plasma (PRP) was prepared by centrifuging at 1200 rpm for 15 min, and blood coagulation was inhibited by adding the anticoagulant citrate dextrose solution. By centrifuging at 2600 rpm for 5 min, washed platelets were prepared from PRP and were resuspended in HBSS. Platelet concentration was adjusted at 2×10^8 cells/mL using a platelet counter. To prevent platelet activation, the centrifuge temperature was kept at room temperature during the washing step.

Animals

The male C57BL/6 mice (8 weeks old) were purchased from Guangzhou Medical University. Procedures were approved by the Institutional Animal Care and Use Committee of Guangzhou Medical University (No. SYXK2016-0168). Eight week old mice were injected with 50mg/kg streptozotocin (STZ, Sigma) to induce diabetes for 5 consecutive days. The mice were randomly divided into four groups and were intraperitoneally injected PBS or calpeptin (calpain inhibitor) (30 mg/kg/day) diluted in DMSO in PBS every other day. The mice in the AgomiR group were administered with Agomir-223 200 μ l at a concentration of 20 mM via tail vein injection. The calpeptin were purchased from the SelleckChemicals Co, while the AgomiRs and miRNA mimic from Shanghai GenePharma Co.

Femoral Artery Wire Injury Model

The endothelial injury in the right femoral artery was done by guidewire abrasion. After anesthetizing the experimental mice, the right thigh was depilated from hair and disinfected with alcohol. The proximal part of the femoral artery and saphenous artery were dissected under the microscope, followed by a temporary stop of blood flow with a silk thread. Then the 0.25 mm diameter guidewire was inserted into the femoral artery from the saphenous artery, inserted and withdrawn 3 times to sufficiently create endothelial wear. The saphenous artery was ligated and the wound was sutured to allow the mice to enter a recovery phase. The contralateral femoral artery was sham-operated without guidewires injured as control. Four weeks after injury, the mice were anesthetized, sacrificed for harvesting the femoral, and fixed in 4% paraformaldehyde. After 30% sucrose dehydration, the arterial tissue was embedded in the Tissue-Tek O.C.T. (SAKURA, United States) agent and performed a frozen section.

Cell Culture

Human coronary artery smooth muscle cells (HCASMC) were purchased from Cell Application at passage 4, cultured in High Glucose DMEM (Gibco, United States) supplemented with 10% fetal bovine serum (Gibco, United States), 100 U/ml penicillin (Gibco, United States), and 100 µg/ml streptomycin (Gibco, United States). The cells were cultured in the incubator with 5% CO₂ and 37°C. HCASMCs were cocultured with or without resting platelets (RPs) or 0.1 U/ml thrombin activated platelets (APs). After isolation and purification, platelets from healthy subject (HS) and DM patients were counted and added directly to the cultured VSMC (1: 100) for 48 h.

Transfection of miRNA

HCASMCs were transfected with miR-223 mimic or miR negative control (miR-NC, GenePharma, China) at 100 nM by using Lipofectamine RNAiMAX reagent (Invitrogen, United States). Cells were seeded to be 60–80% confluent before transfection. Lipofectamine reagent and miRNA mimic were diluted in Opti-MEM Medium (Gibco, United States) and mixed for 5 min before added to HCASMCs. Also, HCASMCs were transfected with miR-223 antagomir and miR-NC (Shanghai GenePharma Co) for 24 h, and then VSMCs were cocultured with the purified platelets from HS. After cultured for 48 h, the cells were harvested for subsequent experiments.

CCK8 Assay

Cell Counting Kit-8 Kits (CCK8; Dojindo, Japan) were used to evaluate cell proliferation. HCASMCs were seeded into a 96-well plate at a concentration of 1.0×10^4 cells/well. Post-transfection 48 h, HCASMCs were incubated with 10 µl CCK8 in 100 µl DMEM for 2 h. The absorbance was detected at 450 nm with a microplate reader (Thermo Fisher Scientific).

BrdU Incorporation Assay

The HCASMCs were starved in the DMEM without serum and then were transfected with miR-223 mimic and miR-NC as described above. Forty-eight hours after transfection, cell proliferation was determined by using the BrdU Cell Proliferation Assay Kit (Millipore, United States) according to the manufacturer's protocol. Before measurement, BrdU was added to the cultured cells and incubated for 12 h. After washing with PBS, the cells were fixed and DNA denatured with 4% paraformaldehyde. After fixation, cells were labeled with peroxidase-conjugated BrdU antibody and then incubated with peroxidase substrate. Finally, a stop solution was added to stop the reaction. The absorbance ($A_{450} - A_{550 \text{ nm}}$) representing the cell proliferation was measured on a microplate reader.

EdU Imaging Assay

Cell proliferation rate was measured with Click-iT EdU Alexa Fluor 488 Imaging Kit (Invitrogen, United States). HCASMCs were seeded into glass-bottom culture dishes at a concentration of 1.0×10^4 cells/ml and transfection experiments were performed as described above. Before termination of cell culture, EdU was added at a final transformation concentration 10 µg/L and

incubated with cells at 37°C for 1 h. After incubation, cells were washed with PBS, fixed with 3.7% paraformaldehyde for 15 min, and permeabilized with 0.5% Triton®X-100 for 20 min. The Click-iT® reaction cocktail prepared 15 min in advance was added to the notch of each well and incubated at room temperature for 30 min, protected from light. After washing, DNA staining was performed using Hoechst 33342 solution at a concentration of 1: 2000. The EdU positive cells were observed and captured under the inverted fluorescence microscope (Leica), and the cell count was calculated by using Image J.

Luciferase Reporter Assay

IGF-1R 3'-UTR plasmid was obtained from Biolink Technology Co. The HEK-293 cells were plated in a 24-well plate in a concentration of 50%. After 24 h plated, the 1 µg plasmid and 50 nM miR-223 mimic and miR-NC were transfected by using Lipofectamine RNAiMAX reagent (Invitrogen, United States). Luciferase reporter analyses were performed according to the manufacturer's instructions after 48 h of transfection by using Dual Luciferase Assay Kit (Promega, United States). The Firefly and Renilla fluorescence values were detected by the microplate infinite M1000 (Tecan) and the firefly fluorescence normalized by Renilla was served as luciferase activity.

HE Staining

The frozen sections of the tissues were dried at room temperature for 30 min and placed in PBS for 10 min. According to the following sequence, the tissues were placed in hematoxylin for 1 min, hydrochloric acid alcohol for 2,3 sec, and then moved to eosin for 8–10 sec. Finally, the tissues were rinsed in running water and then mounted with neutral gum.

Immunofluorescence

Cells and tissues were fixed and permeabilized by 4% paraformaldehyde (Servicebio, China) and 0.2% Triton-100X (Solarbio, China) in PBS, respectively; staining was with antibodies and their corresponding secondary antibodies. Primary antibodies used include IGF-1R (1:100, ab182408, Abcam, United States) and α -smooth muscle actin (Acta2; 1:100, ab119952, Abcam, United States). After 24 h, sections were incubated with the Alexa Fluor 594-conjugated anti-rabbit IgG secondary antibody and Alexa Fluor 488-conjugated anti-goat IgG secondary antibody (Thermo Fisher Scientific) (1:200) for 60 min and stained with the chromatin-specific dye Hoechst for 5 min at RT. The confocal images were taken with a Leica SP8 (Leica) confocal microscope.

Western Blot Analysis

The cells were harvested with RIPA lysate containing protease inhibitors or phosphatase inhibitor cocktail. Extract protein by centrifugation at 4°C at 12,000 rpm and heated at 95°C with loading buffer. The protein samples were resolved in 12% SDS-PAGE gels and transferred to PVDF membranes (Millipore, United States). PVDF membranes were incubated with 5% skim milk powder (Biofroxx, Germany) for 2 h and then incubated with primary antibodies used including:

IGF-1R (1:1000, ab182408, Abcam, United States); ACTA2 (1:2000, ab119952, Abcam, United States); calponin (1:2000, CNN1; ab46794, Abcam, United States); transgelin (TAGLN; 1:2000, ab14106, Abcam, United States); KLF4 (1:2000, 101508, Genetex, United States); KLF5 (1:2000, ab137676, Abcam, United States); osteopontin (1:2000, OPN; ab91655, Abcam, United States); Akt (1:1000, 9272S, CST, United States); Phospho-Akt (1:500, Ser473) (4060S, CST, United States); GSK3 β (1:1000, 9315S, CST, United States); Phospho-GSK3 β (Ser9) (1:500, 9336S, CST, United States); Src (1:1000, MAB3389, R&D, United States); Phospho-Src (Y419) (1:500, AF2685, R&D, United States); JNK (1:1000, 9252S, CST, United States); Phospho-JNK (Thr172) (1:500, 4668S, CST, United States); AMPK (1:1000, 2532S, CST, United States); Phospho-AMPK (Thr183/Tyr185) (1:500, 2535S, CST, United States); Acetyl-CoA Carboxylase (1:1000, ACC; 3662S, CST, United States); Phospho-ACC (Ser79) (1:500, 3661S, CST, United States); p21 (1:1000, 2847, CST, United States); P27 (1:1000, 3688, CST, United States); GAPDH (1:3000, ab125247, Abcam, United States); α -tubulin (1:3000, ab52866, Abcam, United States); α -actinin (1:3000, ab18061, Abcam, United States). The next day, the PVDF membranes were incubated with a secondary antibody (1:2000) dissolved in 5% nonfat milk after three times of washing with TBST. The specific band for the target protein was detected by ChemiDoc XRS+ system, and the band intensities were calculated using the Image Lab software.

Proteomic Phosphokinase Array

The cell lysate was extracted from the cells after the treatment as described above, and the protein was quantified with a bicinchoninic acid kit. According to the manufacturer's instructions, after blocking with Array Buffer 1, the phosphokinase array membrane was incubated with diluted cell lysate overnight. After washing three times with Wash Buffer to remove unbound protein, the array was incubated with a cocktail of biotinylated detection antibodies for 2 h at room temperature. Streptavidin-HRP and chemiluminescent detection reagents were applied, and the signal was detected by ChemiDoc XRS+ system. Refer to the coordinates of analytes and controls provided in the appendix of the kit instructions, the signal at each capture spot corresponding to the amount of phosphorylated protein bound was analyzed.

Extraction and Purification of miRNA and qRT-PCR

Total RNA purification kit (Axygen, United States) was used to extract and purify miRNA including miRNA from cells, and purification of miRNA were reverse transcribed with miRNeasy Serum/Plasma kit (Qiagen, Germany) and U6 snRNA Normalization RT-PCR Quantitation Kit (GenePharma). After normalizing the nucleic acid concentration by quantification, reverse transcription PCR was performed to obtain stable cDNA by using the PrimeScript RT reagent Kit (Takara, Japan). Transcript levels of the target genes were analyzed by qPCR using the $2^{-\Delta\Delta C_t}$ method. The primer sequences were listed in **Supplementary Table 1**.

Statistical Analysis

All data are presented as mean \pm SD. All analysis was performed using Graph Pad Prism v.5.0 (GraphPad, La Jolla, CA, United States). Comparisons between two groups were analyzed using unpaired nonparametric Student's *t*-test. Comparisons between more than two groups were analyzed using one-way ANOVA analysis with the Dunnett's multiple correction. $P < 0.05$ was considered statistically significant.

RESULTS

MiR-223 Deficiency in Diabetic Platelet Failed to Suppress VSMC Proliferation

Consistent to our previous (Zeng et al., 2019), VSMCs cocultured with DM-APs showed downregulation of VSMCs differentiation markers α -SMA (ACTA2), Calponin (CNN1), and SM22 α (TAGLN) compared to HS-APs group (**Figure 1A**). Also, the expression of VSMCs dedifferentiation markers Osteopontin (OPN), MYH10, KLF4, and KLF5 was significantly increased in VSMCs cocultured with DM-APs (**Figure 1A**). Pretreatment with miR-223 antagomir, we found that the SMCs differentiation markers ACTA2 and CNN1 of VSMCs cocultured with HS-APs treated by miR-223 antagomir was significantly inhibited than VSMCs cocultured with HS-APs. Also, the expression of VSMCs dedifferentiation markers KLF4 was significantly increased in VSMCs cocultured with HS-APs treated by miR-223 antagomir (**Supplementary Figure S1**). Pretreatment with RNase A (degrades the RNA), we found that the proliferation rate of VSMCs cocultured with APs treated by RNase A was significantly higher than VSMCs cocultured with APs (**Figure 1B**). Also, overexpression of miR-223 significantly suppressed VSMC proliferation (**Figures 1C,D**). These results suggested that platelet-derived miR-223 was transferred and incorporated into VSMCs, inhibiting VSMC proliferation. Deficiency in diabetic platelet fails to suppress VSMC proliferation.

MiR-223 Directly Targets the IGF-1R, Which Inhibits the Phosphorylation of Akt and GSK3 β

Bioinformatics analysis identified a binding site for miR-223 in the 3'-UTR of IGF-1R. When we cloned IGF-1R 3' UTR into luciferase report, we found that a miR-223 mimic significantly inhibited the luciferase activity; however, the inhibition of luciferase activity was abrogated by mutated control group (**Figure 2A**). To identify whether miR-223 could bind to IGF-1R and inhibited the expression, we performed that VSMC was treated with a miR-223 mimic. As expected, the expression of IGF-1R in VSMC was significantly decreased by overexpression of miR-223 compared to control group. The data suggest miR-223 can directly target the 3'-UTR of IGF-1R and inhibit its expression in VSMC (**Figures 2A–C**). Also, we performed overexpression of IGF-1R in VSMCs. We found that VSMC proliferation was significantly increased in overexpression of IGF-1R of VSMCs compared with control group using CCK8 (**Figure 2D**). The binding of IGF-1 to its

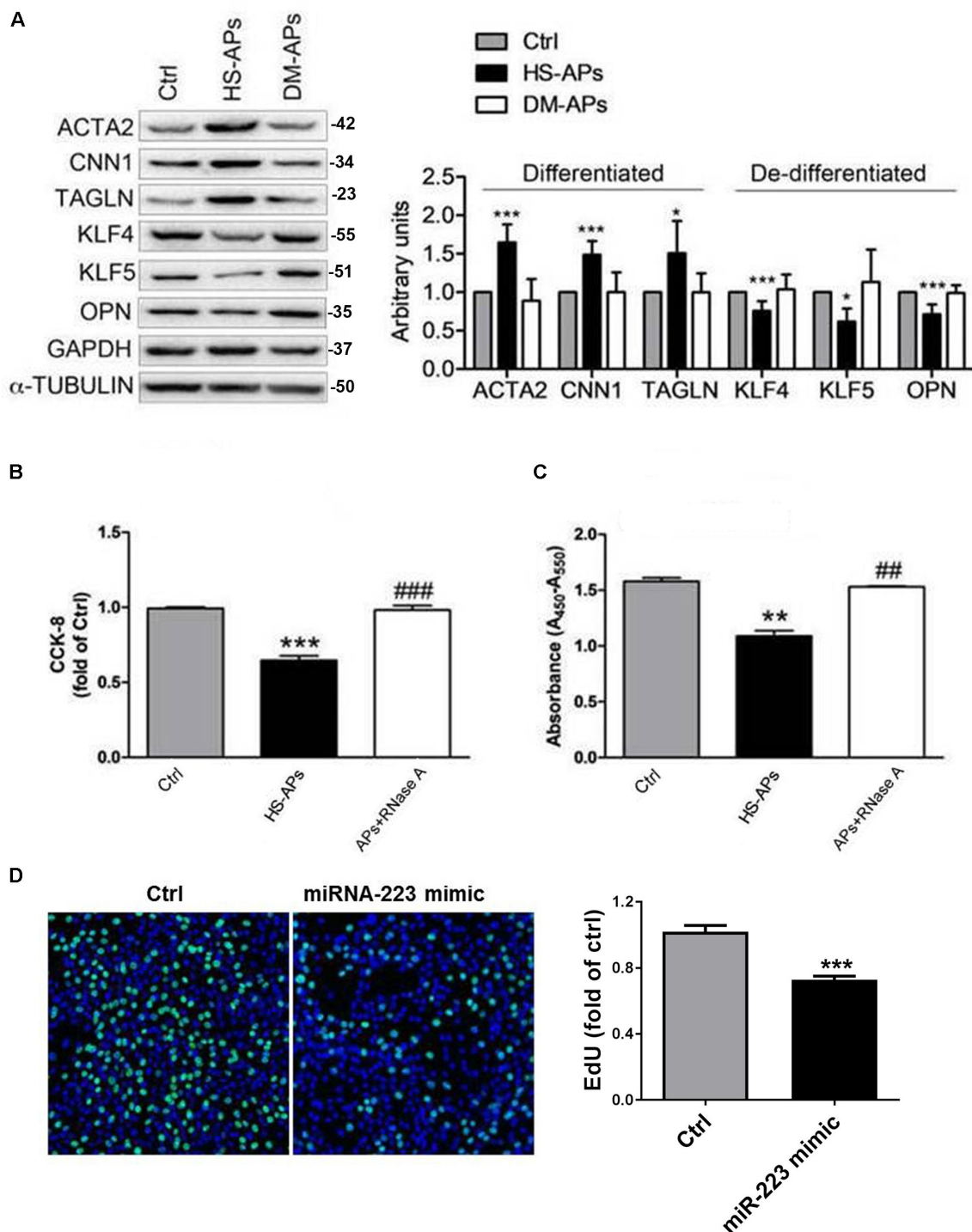


FIGURE 1 | MiR-223 deficiency in diabetic platelet fails to suppress VSMC proliferation. Activated platelets (APs) from healthy subject (HS) and DM patients were cocultured with human VSMCs for 48 h. **(A)** Expression of differentiation markers ACTA2, CNN1, and TAGLN and dedifferentiation markers OPN, MYH10, KLF4, and KLF5 in control (Ctrl) VSMCs and APs cocultured with VSMCs (HS-APs, DM-APs) was detected with western blot analysis. **(B,C)** The rate of proliferation was determined by CCK8 assay and BrdU assay in VSMCs treated with APs pretreated by RNase A (degrades the RNA). Data are presented as mean \pm SD ($n = 6$). Comparisons between more than two groups were analyzed using one-way ANOVA analysis with the Dunnett's multiple correction. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs Ctrl (VSMCs without platelets); # $P < 0.05$, ## $P < 0.01$, ### $P < 0.001$ vs HS-APs. **(D)** The rate of proliferation was determined by EdU incorporation assay in VSMCs transfected with miR-223 mimic. Data are presented as mean \pm SD ($n = 6$). Comparisons between two groups were analyzed using unpaired nonparametric Student's t -test. * $P < 0.05$ vs Ctrl (miR-NC).

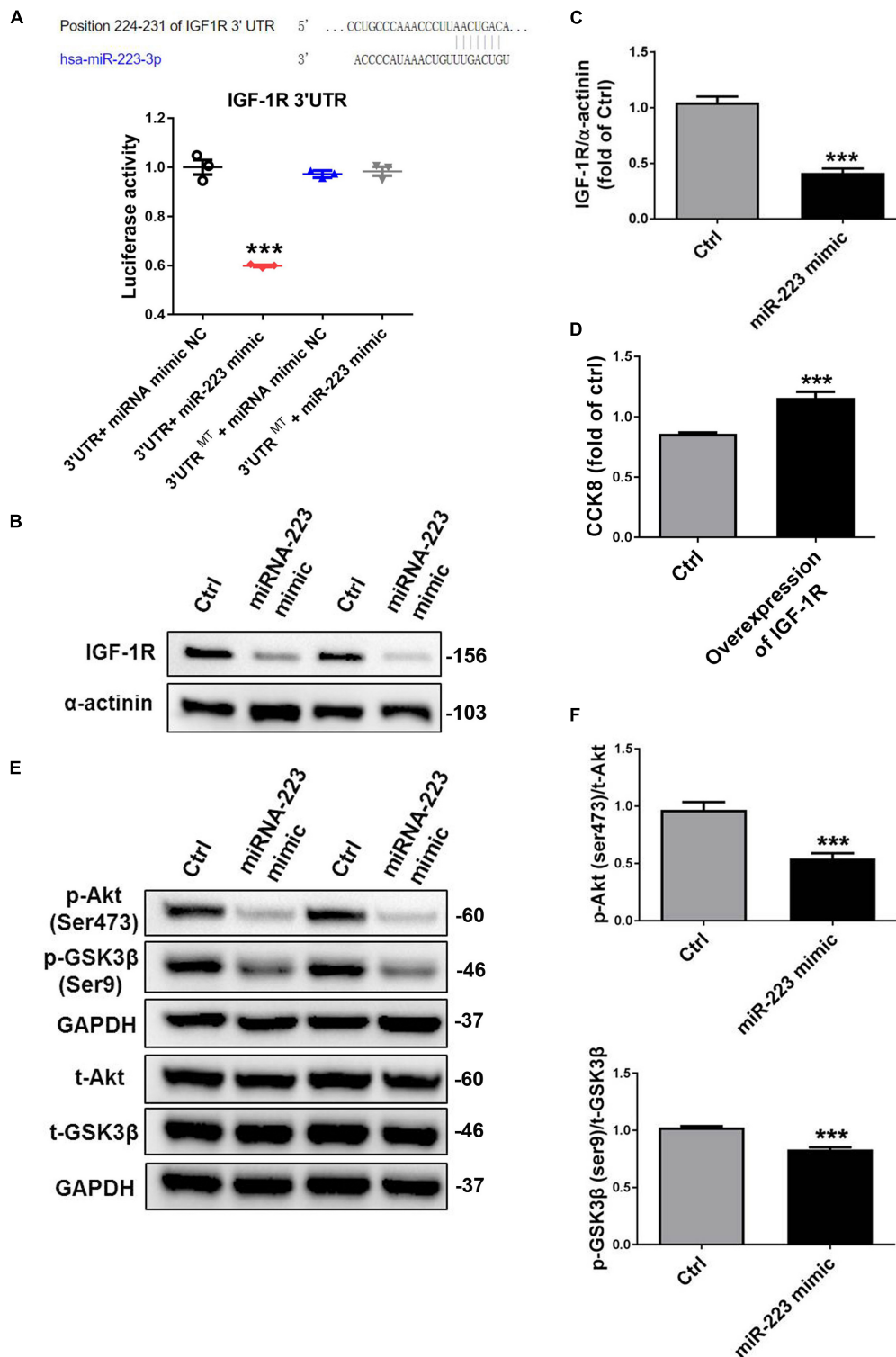


FIGURE 2 | MiR-223 directly targets the IGF-1R, which inhibits the phosphorylation of Akt and GSK3 β . **(A)** Bioinformatics analysis identified a binding site for miR-223 in the 3'-UTR of IGF-1R. Luciferase activity assay in VSMCs following introduction of IGF-1R 3' UTR or mutant 3' UTR (mut) with or without miR-223 mimic ($n = 3$). VSMCs were transfected with miR-223 mimic for 48 h. **(B,C)** The expression of IGF-1R in VSMC was detected with western blot analysis. **(D)** The rate of proliferation was determined by CCK8 assay in VSMCs transfected with overexpression of IGF-1R. **(E,F)** The phosphorylation of Akt and GSK3 β in VSMC was determined with western blot analysis. Data are presented as mean \pm SD ($n = 6$). Comparisons between two groups were analyzed using unpaired nonparametric Student's t -test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs Ctrl (miR-NC).

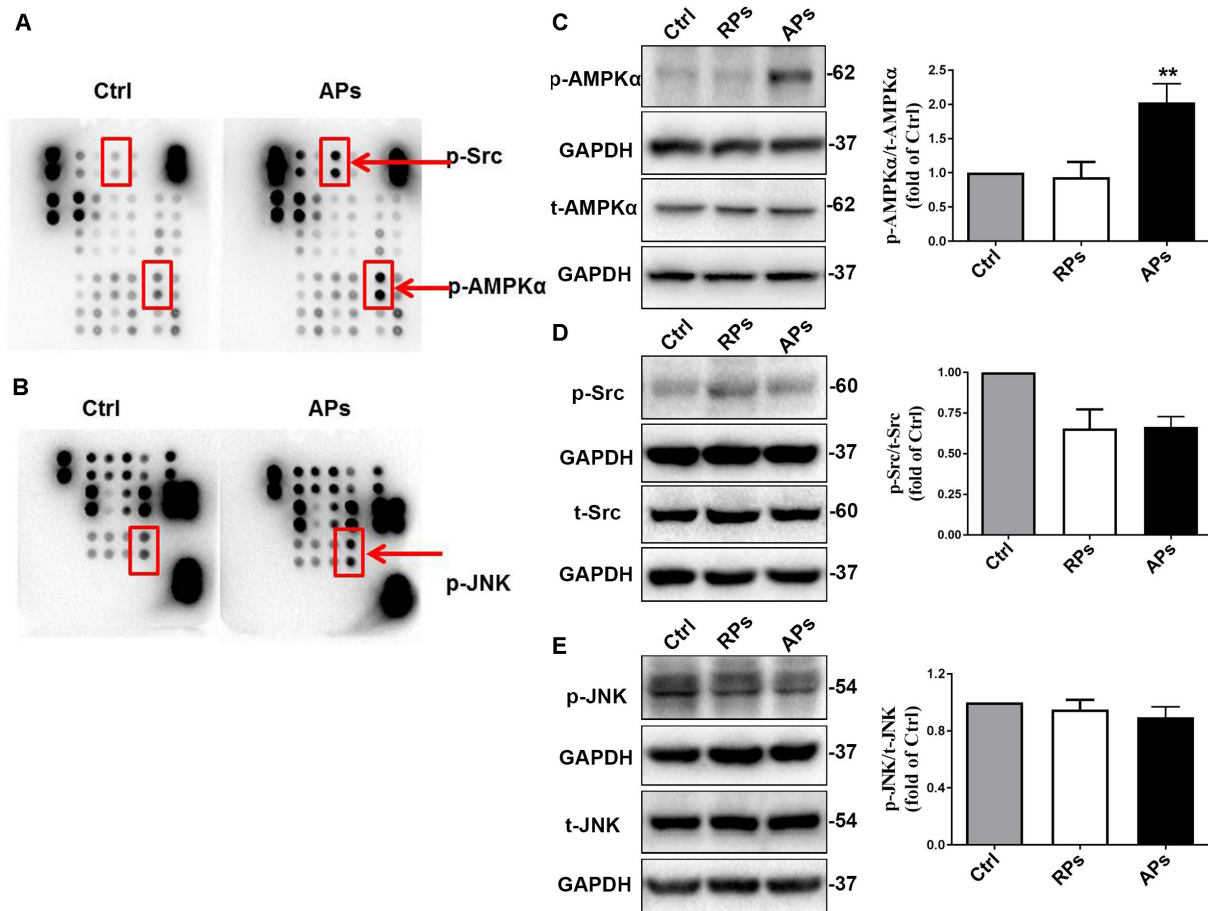


FIGURE 3 | APs activate the AMPK α signal pathway to suppress VSMC proliferation. **(A,B)** The phosphorylation of AMPK α , Src and Jun were assessed by proteomics analysis of phosphokinase arrays in control (Ctrl) VSMCs and APs cocultured with VSMCs. The phosphorylation of **(C)** AMPK α , **(D)** Src, and **(E)** Jnk was determined with western blot analysis in control (Ctrl) VSMCs, RPs, and APs cocultured with VSMCs. Data are presented as mean \pm SD ($n = 6$). Comparisons between more than two groups were analyzed using one-way ANOVA analysis with the Dunnett's multiple correction. ** $P < 0.01$ vs Ctrl (VSMCs without platelets).

receptor (IGF-1R) can activate the cascade signals including IRS family, thereby activating phosphatidyl inositol 3-kinase (PI3K) and Akt signaling pathway (Delafontaine et al., 2004). Thus we determined the downstream signal pathway involved in inhibiting VSMC proliferation treated with a miR-223 mimic. **Figures 2E,F** showed that the phosphorylation of Akt and GSK3 β was inhibited by the miR-223 mimic than that of control group. Our data demonstrate that miR-223 directly targets IGF-1R in VSMC, which inhibits the phosphorylation of Akt and GSK3 β , resulting in reduced VSMC dedifferentiation and proliferation.

APs Activate the AMPK α Signal Pathway to Suppress VSMC Proliferation

To further determine the downstream signal pathway of Akt/GSK3 β involved in inhibiting VSMC proliferation, we determined that the phosphorylation of AMPK α , Src and Jnk were assessed by proteomics analysis of phosphokinase arrays in control (Ctrl) VSMCs and APs cocultured with VSMCs. As shown in **Figures 3A,B**, the phosphorylation of AMPK α , Src and Jnk in

VSMCs treated with APs were significantly increased compared to the control group. Using western blot analysis, we further confirmed that the phosphorylation of AMPK α in VSMCs treated with APs was significantly upregulated compared to the control group (**Figure 3C**). However, we found that the phosphorylation of Src and Jnk did not change in VSMCs cocultured with resting platelets (RPs) or APs (**Figures 3D,E**). These results suggest that APs activate the AMPK α signal pathway to suppress VSMC proliferation.

To further investigate whether platelet-derived miR-223 suppressed VSMC proliferation through AMPK α signal pathway, we treated with a miR-223 mimic or miR negative control (Ctrl) in VSMCs. Similarly, we found that the phosphorylation of AMPK α in VSMCs with treatment of a miR-223 mimic was significantly increased compared to that of the Ctrl group (**Figure 4A**). As expected, we found that the phosphorylation of AMPK α in VSMCs cocultured with DM-APs was significantly inhibited compared to that in VSMCs with treatment of HS-APs (**Supplementary Figure S2**). We found that the phosphorylation of acetyl-CoA Carboxylase (ACC), the substrate of AMPK α , was

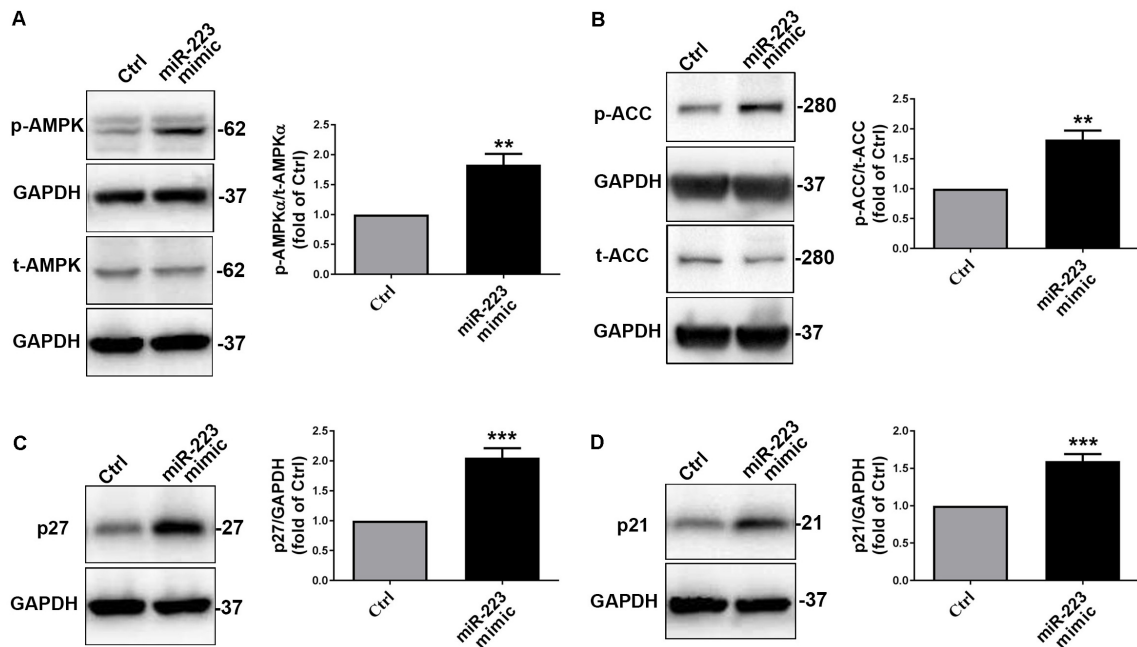


FIGURE 4 | The platelet-derived miR-223 suppressed VSMC proliferation via the regulation AMPK signaling pathways. VSMCs were transfected with miR-223 mimic for 48 h. **(A,B)** The phosphorylation of AMPK α and ACC were assessed by western blot analysis in VSMCs transfected with miR-223 mimic. **(C,D)** The expression of cell cycle marks including p27 and p21 was determined with western blot analysis in VSMCs transfected with miR-223 mimic. Data are presented as mean \pm SD ($n = 6$). Comparisons between two groups were analyzed using unpaired nonparametric Student's *t*-test. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs Ctrl (miR-NC).

significantly higher in VSMCs treated with a miR-223 mimic than Ctrl group (Figure 4B). We also found that treatment with miR-223 mimic significantly increased the expression of p27 and p21 (Figures 4C,D), suggesting that VSMC proliferation was suppressed by miR-223. Taken together, these results indicated that the platelet-derived miR-223 suppressed VSMC proliferation via the regulation of miR-223/IGF-1R/AMPK signaling pathways.

Inhibition of Calpain Restored the Expression of miR-223 in Diabetic Platelets

Our previous study has demonstrated a significant upregulation of miRNAs including miR-223, miR-143, and miR-145 in VSMCs cocultured with APs compared to VSMCs group. As shown in Figures 5A,B, the expression of miR-223 was downregulated in mouse and human diabetic platelets compared with non-DM group. We found that miR-223 level was significantly higher in diabetic platelets treated with a calpeptin (calpain inhibitor) than that of diabetic platelets (Figures 5C,D), suggesting that inhibition of calpain restores the expression of miR-223 in diabetic platelets. After restoring the expression of miR-223 in diabetic platelets and then coculturing with HCASMCs, we found the inhibition of Calpain restored the expression of miR-223 in diabetic platelets suppressed the proliferation of HCASMCs (Figures 5E,F). These data demonstrate that calpeptin restores the expression of miR-223 in diabetic platelets and transferred

more platelet-derived miR-223 into VSMC, thereby suppressing VSMC proliferation in vitro.

Inhibition of Calpain Alleviates Neointimal Formation by Restoring the Expression of miR-223 in Diabetic Platelet

Calpeptin is a specific pharmacological inhibitor for calpain activation, and administration of calpeptin restored the expression of miR-223 in diabetic murine platelet (Elgheznawy et al., 2015). To restore the downregulated miR-223 in diabetic platelet, we used streptozotocin induced (STZ-DM) mice and rescued the condition with a calpeptin and AgomiR-223 by femoral wire injury. Four weeks after injury, we found the expression of IGF-1R in uninjured vessels was reduced, while the expression of IGF-1R was upregulated in injured vessels of STZ-DM mice treated with miR-NC (miR negative control) (Figures 6A,C). Treatment with AgomiR-223 and calpeptin significantly suppressed the expression of IGF-1R in STZ-DM mice (Figure 6A). The results suggest that calpeptin and AgomiR-223 restored the expression of miR-223 in diabetic platelets to suppress VSMC proliferation via targeting IGF-1R in vivo. To verify the effect of calpeptin and AgomiR-223 on neointimal formation, the intimal to media (I/M ratio) was detected in STZ-DM and Non-DM mice. As shown in Figures 6B,D, the neointimal hyperplasia and intimal size were increased in STZ-DM mice after 4 weeks of femoral arterial injury; however, the effect was rescued by treatment with

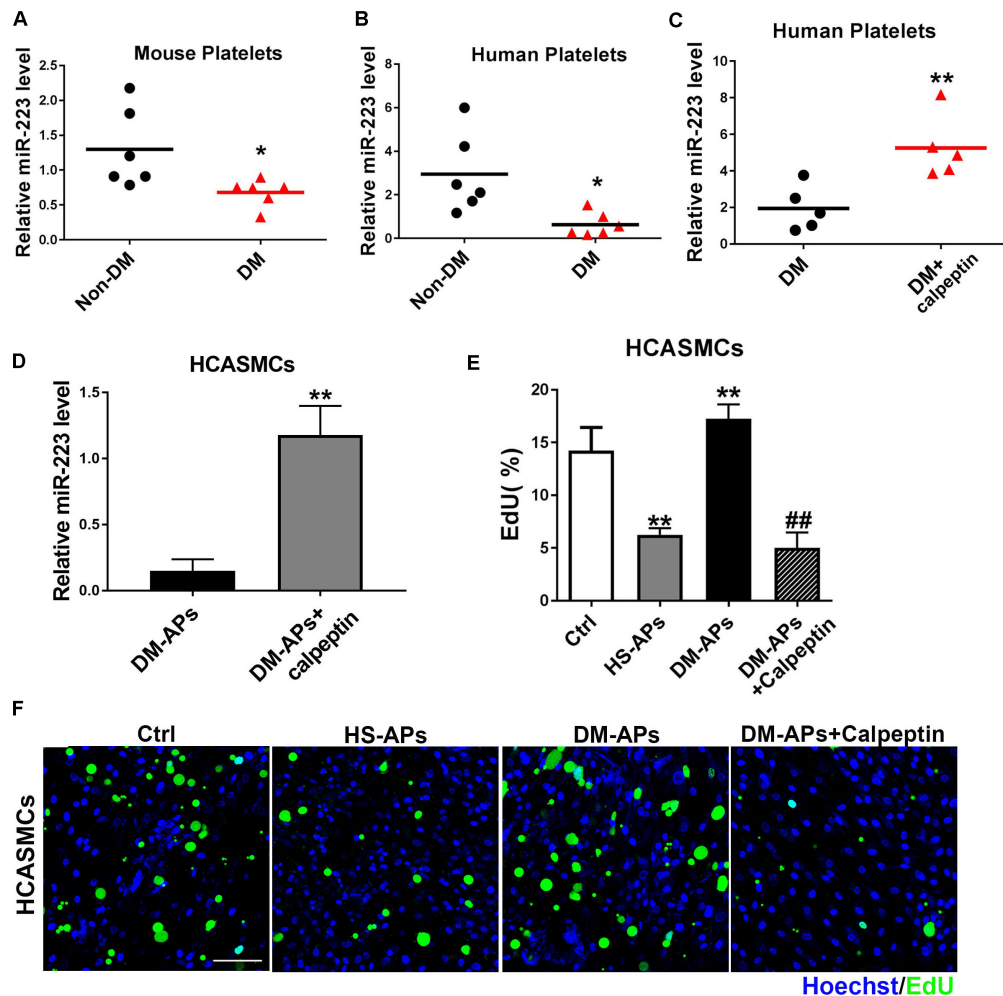


FIGURE 5 | Treatment of a calpeptin restored the expression of miR-223 in diabetic platelets. **(A,B)** The miR-223 level in mouse and human platelets was detected by qRT-PCR assays. Data are presented as mean \pm SD ($n = 6$). Comparisons between two groups were analyzed using unpaired nonparametric Student's *t*-test. * $P < 0.05$ vs Non-DM. **(C,D)** Diabetic platelets were treated with a calpeptin for 24 h in vitro. The expression of miR-223 in human platelets and HCASMCs was detected by qRT-PCR assays. Data are presented as mean \pm SD ($n = 6$). Comparisons between two groups were analyzed using unpaired nonparametric Student's *t*-test. ** $P < 0.01$ vs DM. **(E,F)** The rate of proliferation was determined by EdU incorporation assay in VSMCs treated with calpeptin. Data are presented as mean \pm SD ($n = 6$). Comparisons between more than two groups were analyzed using one-way ANOVA analysis with the Dunnett's multiple correction. ** $P < 0.01$ vs Ctrl (VSMCs without platelets); ## $P < 0.01$ vs DM-APs.

calpeptin and AgomiR-223. We found that the expression of miR-223 was significantly downregulated in diabetic platelets of injured mice compared with non-DM injured group. However, the expression of miR-223 was restored by treatment with calpeptin and AgomiR-223, suggesting that inhibition of calpain restores the expression of miR-223 in injured DM mice (Figure 6E). Collectively, these data demonstrate that calpeptin restores the downregulated miR-223 in diabetic platelets and transferred more platelet-derived miR-223 into VSMC, thereby suppressing VSMC proliferation through targeting IGF-1R in the injured vessel, resulting in alleviation of neointimal formation in diabetes.

In summary, our data manifested that the platelet-derived miR-223 suppressed VSMC proliferation via the regulation IGF-1R/AMPK signaling pathway. However, treatment with

calpeptin (calpain inhibitor) restored the expression of miR-223 in diabetic platelets, which was then transferred into VSMCs and inhibited VSMC proliferation at the site of vascular injury. Thus calpeptin may serve as therapeutic adjunct for playing a protective role in diabetic neointimal hyperplasia (Figure 7).

DISCUSSION

In the present study, we demonstrate that diabetic platelet deficient of miR-223 fails to suppress VSMC phenotypic switch. The incorporated platelet miR-223 directly targets the insulin-like growth factor-1 receptor (IGF-1R) in VSMCs, which inhibits the phosphorylation of GSK3 β and AMPK, resulting in reduced VSMC dedifferentiation and proliferation. In murine model of

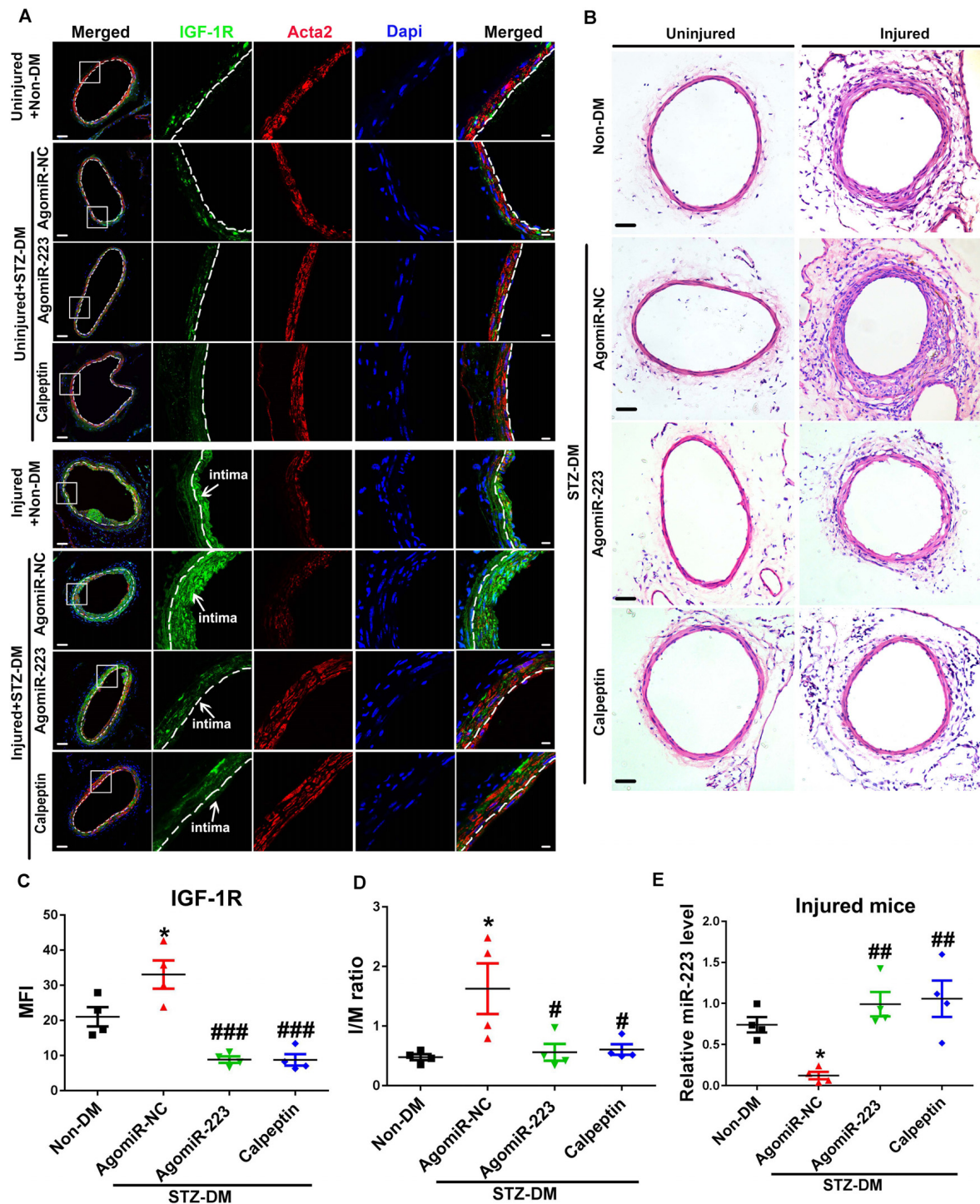


FIGURE 6 | Inhibition of calpain alleviates neointima formation by restoring the expression of miR-223 in diabetic platelet. **(A)** Immunofluorescence analysis of IGF-1R in uninjured or injured femoral arteries from Non-DM mice, and STZ-DM mice treated with AgomiR-NC or AgomiR-223 or calpeptin at 4 weeks after wire injury ($n = 4$). Green, IGF-1R; red, Acta2; blue, Dapi nuclear staining in VSMCs. Scale bars: 20 μ m. **(B)** H&E staining of serial cross sections of femoral arteries from Non-DM mice, and STZ-DM mice treated with AgomiR-NC or AgomiR-223 or calpeptin at 4 weeks after wire injury ($n = 4$). Scale bars: 50 μ m. **(C)** Quantification of IGF-1R expression in VSMCs in injured DM mice. **(D)** The intima size (I/M ratio) in the injured femoral arterial sections has been quantified. **(E)** The miR-223 level in injured DM mice was detected by qRT-PCR assays. Data are presented as mean \pm SD ($n = 4$). Comparisons between more than two groups were analyzed using one-way ANOVA analysis with the Dunnett's multiple correction. * $P < 0.05$ vs non-DM; # $P < 0.05$, ## $P < 0.01$, ### $P < 0.001$ vs STZ-DM mice treated with AgomiR-NC.

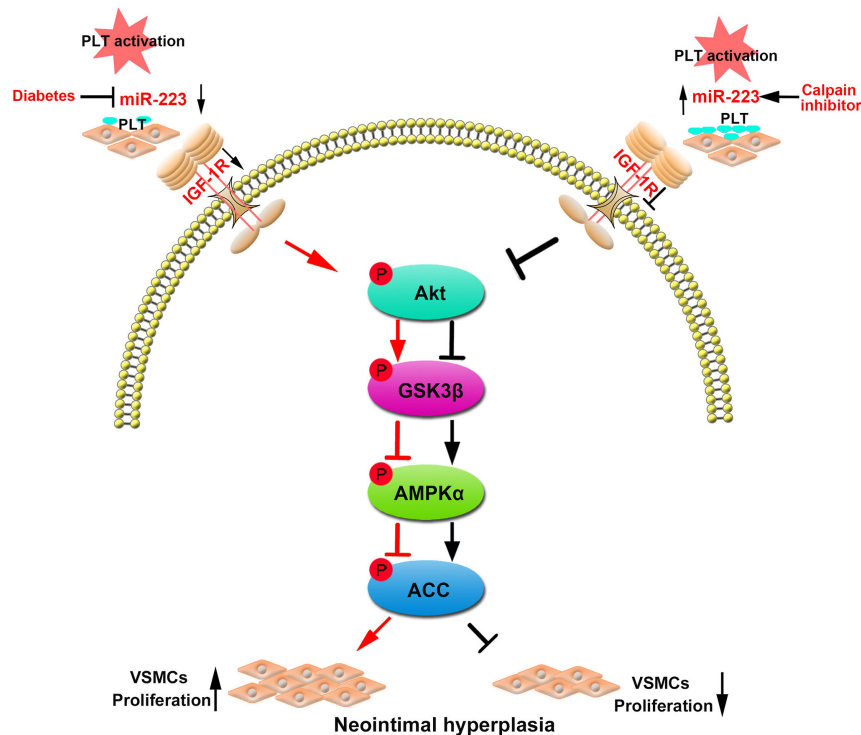


FIGURE 7 | Restoring the platelet miR-223 by calpeptin alleviates the neointimal hyperplasia in diabetes through miR-223/IGF-1R/AMPK signaling pathway.

miR-223 deficiency in diabetic platelet failed to suppress VSMC proliferation during vascular injury. The platelet-derived miR-223 suppressed VSMC proliferation via the regulation IGF-1R/AMPK signaling pathways. Interestingly, treating with a calpeptin restores the downregulated expression of miR-223 in diabetic platelets to suppress VSMC proliferation via targeting IGF-1R and plays a protective role in diabetic neointimal hyperplasia.

vascular injury, we show that calpeptin restores the platelet expression of miR-223 in diabetes, and the horizontal transfer of platelet miR-223 into VSMCs inhibits VSMC proliferation in the injured artery by targeting the expression of IGF-1R. Collectively, our results demonstrate that inhibition of calpain regulated VSMC phenotypic switch via miR-223/IGF-1R/AMPK signaling axis, supporting the potential application of calpeptin on platelet-associated vascular disease in diabetes.

Diabetes mellitus is an important risk factor of intimal hyperplasia and restenosis (Davi and Patrono, 2007). The phenotypic switch of VSMCs plays a crucial role in the onset of intimal hyperplasia in DM. The process of VSMC phenotypic switch (dedifferentiation and proliferation) was regulated by cytokines including insulin-like growth factor-1 (IGF-1), platelet-derived growth factor (PDGF), and epidermal growth factor (EGF) (Delafontaine and Lou, 1993). Previous studies have reported miR-223 inhibited VSMC proliferation via target ITGB3 or RhoB/MLC2 in VSMCs (Zeng et al., 2016; Liu et al., 2019). Recently, our previous studies have demonstrated PDGFR β is a key target of platelet-derived miR-223, which is incorporated into VSMCs and suppressed VSMC proliferation (Zeng et al., 2019). Previous studies have demonstrated treating with IGF-1 antibody inhibited VSMC proliferation, which was induced by angiotensin and thrombin (Delafontaine et al., 2004; Ma et al., 2006), suggesting that IGF-1 also plays a crucial role in VSMC proliferation (Jones and Kazlauskas, 2001). The binding of IGF-1

to its receptor (IGF-1R) can activate the cascade signals including IRS family, thereby activating phosphatidylinositol 3-kinase (PI3K) and Akt signaling pathway (Saltiel and Kahn, 2001; Delafontaine et al., 2004). In the present study, we identified that IGF-1R was the direct target of miR-223, and platelet-derived miR-223 was incorporated into VSMCs thereby inhibiting IGF-1R, leading to the phosphorylation of Akt and GSK3 β . Report showed PI3K-Akt signaling pathway could suppress glycogen synthase kinase 3 (GSK3) activity, leading to inhibit the function of AMPK via interactions with AMPK β (Fukushima et al., 2012; Suzuki et al., 2013). It has been reported that activated phosphorylation of AMPK α to inhibit cells proliferation (Igata et al., 2005; Lee et al., 2015). Our phosphoproteomics array results showed that the incorporated platelet miR-223 significantly increased the phosphorylation of AMPK α and its substrate ACC in VSMCs cocultured with activated platelets. Therefore, platelet-derived miR-223 after incorporated into VSMCs at least in part suppress VSMC proliferation via targeting IGF-1R and activating AMPK to reduce neointimal hyperplasia in DM.

In diabetic platelets, the reduction of miRNAs leads to the upregulation of protein expression such as β 1 integrin, resulting in increased platelet aggregation (Elgheznavy et al., 2015). Calpain family is intracellular Ca^{2+} -activated cysteine proteases, which involved in cellular signaling, cell growth (Salimi et al., 2018), apoptosis (Storr et al., 2011), and platelet activation in DM (Elgheznavy et al., 2015). Increasing evidence

has demonstrated calpain activation involved in cardiovascular complication of DM such as increased vascular permeability (Scalia et al., 2007), atherosclerosis (Miyazaki et al., 2011), and heart failure (Letavernier et al., 2012). The activation of calpain in DM is closely associated with the loss of platelet dicer, resulting in the significant dysregulation of platelet miRNA levels. Calpeptin is a specific pharmacological inhibitor for calpain activation, and administration of calpeptin restored the expression of miR-223 in diabetic murine platelet (Elgheznawy et al., 2015). Moreover, calpeptin was found to be an effective therapeutic drug for preventing endothelial dysfunction of mesenteric arteries in DM (Randriamboavonjy et al., 2017). Consistent with these studies, our results showed that the expression of miR-223 in diabetic platelets was restored by treatment of calpeptin. Thus, we employed calpeptin to treat STZ-DM murine model of vascular injury. We also found that calpeptin has inhibited the activative effect calpain on neointima hyperplasia in STZ-DM murine model of vascular injury. Both treatment with AgomiR-223 and calpeptin reduced neointimal hyperplasia and intimal size. At the site of injury, the increased IGF-1R expression in VSMCs was attenuated by treatment with AgomiR-223 and calpeptin. These results suggest that calpeptin may serve as therapeutic adjunct for intimal hyperplasia via the upregulation of platelet miR-223 in diabetes.

In summary, we provide evidence that the horizontal transfer of platelet miR-223 suppresses VSMC proliferation via targeting IGF-1R and activating AMPK. However, in diabetes, calpain activation contributes to the reduced expression of dicer, resulting in the deficiency of platelet miR-223. Treatment with calpeptin restored the expression of miR-223 in diabetic platelets, which was then transferred into VSMCs and inhibited VSMC proliferation at the site of vascular injury. Therefore, calpeptin may serve as therapeutic adjunct for platelet and VSMC associated vascular diseases in DM.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

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ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Institutional Review Board of Guangzhou Women and Children's Medical Center (Human Investigation Committee No. 2018022605). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. The animal study was reviewed and approved by Institutional Animal Care and Use Committee of Guangzhou Medical University (No. SYXK2016-0168).

AUTHOR CONTRIBUTIONS

MS and SF performed the experimental design, the majority of experiments, analyzed majority of the data, and drafted manuscript. ZL and LX performed part of experiments and the data analyses. XF, YL, YZ, and SL performed animal experiments and image analyses. ZZ and WT directed all aspects of the experiments, the data analyses, manuscript editing and review. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Endothelial Microvesicles Induce Pulmonary Vascular Leakage and Lung Injury During Sepsis

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Sepsis is a prevalent severe syndrome in clinic. Vascular leakage and lung injury are important pathophysiological processes during sepsis, but the mechanism remains obscure. Microvesicles (MVs) play an essential role in many diseases, while whether MVs participate in vascular leakage and lung injury during sepsis is unknown. Using cecal ligation and puncture induced sepsis rats and lipopolysaccharide stimulated vascular endothelial cells (VECs), the role and the underlying mechanism of endothelial microvesicles (EMVs) in pulmonary vascular leakage and lung injury were observed. The role of MVs from sepsis patients was verified. The results showed that the concentration of MVs in blood was significantly increased after sepsis. MVs from sepsis rats and patients induced apparent pulmonary vascular leakage and lung injury, among which EMVs played the dominant role, in which miR-23b was the key inducing factor in vascular leakage. Furthermore, downregulation and upregulation of miR-23b in EMVs showed that miR-23b mainly targeted on ZO-1 to induce vascular leakage. MVs from sepsis patients induced pulmonary vascular leakage and lung injury in normal rats. Application of classic antidepressants amitriptyline reduced the secretion of EMVs, and alleviated vascular leakage and lung injury. The study suggests that EMVs play an important role in pulmonary vascular leakage and lung injury during sepsis by transferring functional miR-23b. Antagonizing the secretion of EMVs and the miR-23b might be a potential target for the treatment of severe sepsis.

Keywords: endothelial microvesicles, vascular leakage, microRNA-23b, lung injury, sepsis

INTRODUCTION

Sepsis is a life-threatening organ dysfunction caused by a dysregulated host response to infection, which leads to tissue damage and organ dysfunction even multiple organ dysfunction syndrome (MODS) with high mortality (Rhodes et al., 2017). Recent epidemiologic studies showed that sepsis incidence rate has been up to 535 cases per 100,000 person-years and still rising in the United States, meanwhile the in-hospital mortality was up to 25–30%, which indicated that sepsis remains a huge task to be overcome all around the world (Fleischmann et al., 2016). The lung is usually one of the first failure organs during the development of multiple dysfunction after sepsis (Wu et al., 2007), and vascular leakage plays a fundamental role in lung injury, which is characterized by the endothelial

barrier dysfunction and vascular endothelial permeability increment, meanwhile it is the crucial reason for the organ dysfunction in sepsis (Hou et al., 2017). Although the mechanism of vascular leakage has been studied for years, there is no effective treatment clinically, and it needs to be further investigated.

Plenty of cells in circulation are stimulated during sepsis, such as platelets, vascular endothelial cells and leukocytes, and may secrete microvesicles (MVs) subsequently (Becker et al., 2016; Karpman et al., 2017; Todorova et al., 2017). MVs are defined as membrane derived vesicles which are secreted into the extracellular environment by almost all types of cells under both normal and stress conditions such as diabetes and atherosclerosis (Tkach and Thery, 2016). The concentration of MVs, especially for platelet MVs (PMVs) and endothelial MVs (EMVs) in circulation, is positively correlated to the severity of diseases (Mastronardi et al., 2011; Hoefer et al., 2015; Martinez and Andriantsitohaina, 2017). Cheow et al. (2016) found that leukocyte MVs (LMVs) and EMVs could promote the generation of thrombin during myocardial infarction, and led to the disorder of coagulation and fibrinolysis system. Laher (2011) found that MVs could regulate the relaxation of blood vessel after sepsis by inducing the generation of superoxide anion and inducible Nitric Oxide Synthase (iNOS) (Lovren and Verma, 2013). Whether MVs play an important role in vascular leakage after sepsis remains unknown.

It is considered that MVs participate in the pathological processes of tissue and organ dysfunction either by directly interacting with cells or by transferring bioactive molecules as proteins, DNA, miRNA, lipids into target cells. Recent studies identified that miRNAs in MVs played important roles in some diseases (Zhang et al., 2010; Zhou et al., 2014; Xu et al., 2017; Zheng et al., 2017). For example, the MV-carrying miR-105 could reduce the expression of tight junction proteins such as ZO-1, which was related to the destruction of vascular barrier (Zhou et al., 2014). Hence, we hypothesized that MVs might carry and transfer some miRs to participate in vascular leakage and lung injury after sepsis (Zhou et al., 2014).

To test this hypothesis, we used the cecal ligation and puncture (CLP) induced sepsis rats and lipopolysaccharide (LPS) stimulated vascular endothelial cells (VEC), and the role and the underlying mechanisms of MVs in vascular leakage and lung injury after sepsis were investigated in the present study.

MATERIALS AND METHODS

Ethical Approval of the Study Protocol

All animal experiments were performed in accordance with the principles of the Guide for the Care and Use of Laboratory Animals published by the United States National Institutes of Health (NIH Publications, eighth edition, 2011) and was approved by the Research Council and Animal Care and Use Committee of the Research Institute of Surgery (Daping Hospital, Army Medical University, Chongqing, China, No. DHEC-2012-069).

Patients Information

The current study included 14 sepsis patients and 15 healthy subjects. Between January and December 2016, 14 sepsis patients were recruited from ICU of Daping Hospital, Army Medical University by reference of the criteria of Surviving Sepsis Campaign (2016 Version), and 4 patients were excluded because of age (out of range of 20–60). 15 healthy volunteers were recruited from the State Key Laboratory of Trauma, Burns and Combined Injury. Demographic characteristics of 10 sepsis patients and 15 healthy volunteers are shown in **Supplementary Table S1**. The study protocol was approved by the Ethics Committee of the Research Institute of Surgery, and the study was registered in Clinical Trial Registry (ChiCTR-OOC-16008352). Peripheral venous blood samples (30 mL) were collected from every patient and healthy volunteer, and microvesicles were subsequently isolated and then stored in -80°C .

Animals Preparation and Sepsis Model

Adult male and female Sprague-Dawley (SD) rats (200–220 g) which were obtained from the Animal Center of Army Medical Center, Army Medical University (Third Military Medical University) were used in the current study. The sepsis model of rats was induced by cecal ligation and puncture (CLP) procedure with aseptic methods as described previously (Liu et al., 2016a). Briefly, rats were anesthetized by pentobarbital sodium (30 mg/kg IP), then the cecum was fully exposed and ligated 0.7 cm from the end. Afterward the ligated cecum was punctured by a triangular pyramid, and the puncture incision was about 0.1 cm \times 0.1 cm. Then the cecum was put back into the enterocolia, and the abdomen was closed by interrupted suture, and subsequently 5 mL of normal saline was injected intraperitoneally. After the operation the rats were returned to the cages and allowed for food and water *ad libitum*.

Cell Preparation

Vascular endothelial cells were obtained from the pulmonary vein of SD rats as described previously (Liu et al., 2016a,b). Briefly, rats were anesthetized and sterilized with iodine, then rats received thoracotomy by sterile instruments. The pulmonary veins were separated from hilus pulmonis after the heart was cut off. After washed with sterile PBS for 5 times, the veins were sheared to 1 mm \times 1 mm pieces, and the inner surface of the veins was attached on the bottom of the culture flask with 5 mL ECM (Scicell, America; 5% fetal bovine serum, 1% antibiotics) medium. 3 days later, the pieces were removed from the flask, and the cells crawling on at the bottom of the culture flask were VECs, and the 3–5 passage of VECs were used in the present study. Platelets were obtained from the blood of SD rats as follows: abdominal aorta blood was collected in EDTA blood tubes (BD, America). Afterward the blood was centrifuged at $200 \times g$ for 15 min (room temperature) to remove blood cells, and the supernatant was platelet rich plasma. Then platelet rich plasma was centrifuged at $1,200 \times g$ for 15 min (room temperature),

and the sediment was purified platelets. After washed twice by D-hanks solution, the sediment was suspended in 5 mL Dulbecco-modified Eagle medium-F12 (Hyclone, Logan, UT, United States) supplemented with 20% fetal bovine serum and 1% antibiotics (Mairhofer et al., 2002). Leukocytes were obtained according to the instruction of Leucocyte separation kit (TBD, China) and were then cultured in 5 mL DMEM-F12 medium.

MV Harvest and Characterization

Microvesicles were harvested from blood and cultured cell supernatant according to the experiments. Blood samples were collected in BD-EDTA tubes, and cell media supernatant samples were collected (after stimulated by 1 μ g/mL LPS (Sigma, America) for 24 h in basal medium without serum) in sterile centrifuge tubes. The samples were centrifuged at 500 \times g, 15 min at 4°C to remove the residual cells. Then the supernatant was carefully collected and centrifuged at 2000 \times g, 20 min at 4°C to remove cell debris. And the supernatant was centrifuged at 20,000 \times g, 70 min at 4°C to pellet MVs. After washed by sterile PBS, the MVs were finally centrifuged at 20,000 \times g, 70 min at 4°C, the pellet was suspended in PBS and stored in -80°C until use (Mastronardi et al., 2011; Matthay, 2017).

Microvesicles were analyzed by transmission electron microscopy, dynamic light scattering and flow cytometry, respectively. For transmission electron microscopy (TEM) analysis, the MV pellet was fixed with 2.5% glutaraldehyde in PBS at 4°C for 24 h. After rinsing twice with 0.1 M PBS, samples were then postfixed in 1% OsO₄ at room temperature for 70 min. After rinsing 3 times with 0.1 M PBS, samples were dehydrated by a series of graded ethanol. Finally, the samples were embedded in TAAB 812 and 100 nm sections were prepared on grids. MV was analyzed by JEM 1400 (JEOL Instruments) transmission electron microscopy.

Dynamic light scattering analysis was performed by Zetasizer Nano ZS (Malvern Instruments) at room temperature with 633 nm He-Ne laser, automatic attenuator. Each sample was measured 3 times at least.

For flow cytometry (FCM) analysis, MVs were applied on high sensitivity imaging flow cytometry Amnis ImageStream MK II (IS^X) (Amnis/Millipore, Seattle, United States), detected by X40 magnification, high sensitivity using INSPIRE software. After washed and suspended by 100 μ L PBS, MVs were subsequently stained with CD31 (FITC, 1:100), CD61 (PE, 1:100), CD45 (V450, 1:100), and Annexin V (APC, 1:50) for 25 min at room temperature in the dark according to the experiment design, and all antibodies for FCM were purchased from BD, America. Annexin V-binding buffer (BD, America) was used when MVs were stained with Annexin V. Different sizes of standard beads (0.2, 0.5, and 0.8 μ m, Bangs laboratories, America) were used to set gate for MVs. Isotype of matched antibodies were used as controls.

Preparation of PKH-26 Labeled EMVs

Microvesicles were labeled by red fluorescent dye PKH-26 (Sigma, America) according to the instruction. Briefly, after

washed by PBS, MVs were incubated with PKH-26 by 1:1000 at 37°C for 30 min, then MVs were centrifuged at 20,000 \times g, 70 min to remove the remaining dye. After washed by PBS, PKH-26 labeled MVs were added into rats or VECs according to the experiment.

Measurement of Vascular Leakage of Lung, Kidney, and Intestine

Rats were anesthetized, then FITC-BSA (9 mg/kg) was injected to rats from jugular vein. 2 h later, 20 ml PBS was used to flush vessels in each tissue (lung, kidney or intestine). In detail, 20 ml PBS was slowly injected from the jugular vein to flush the vessels in lung; and 20 ml PBS was slowly injected from the renal artery to flush the vessels in kidney after laparotomy; and an equal volume of PBS was slowly injected from the superior mesenteric artery to flush the vessels in intestine after laparotomy. Afterward rats were sacrificed by euthanasia, then left lung, left kidney and jejunum (about 6 cm) were separated. After washed by PBS, tissues were weighed up and cut into pieces, followed by complete homogenate in 5 mL PBS, then the fluorescence intensity of FITC-BSA was measured by F-4500 fluorescence spectrophotometer (Hitachi Corporation, Japan). We used the term “BSA leakage” to represent the extent of vascular leakage, and BSA leakage of each tissue was calculated by fluorescence intensity (A.U.) / tissue weight (g) (Zhang et al., 2015).

Bronchoalveolar Lavage (BAL) Collection and the Measurement of Protein and Cell Counts in BAL

Bronchoalveolar Lavage was performed by instilling 2 mL of cold PBS into the trachea. The BAL fluid was obtained by centrifugation at 500 \times g for 20 min (4°C), and the supernatant was used to measure protein concentration with Pierce BCA protein assay kit (Thermo Fisher Scientific, America). Then the sediment was suspended by 1 mL PBS, and the BAL cell counts were performed by cell counting chamber (Gotzfried et al., 2018; Izzotti et al., 2018).

Transmembrane Electrical Resistance (TER) and BSA Leakage of VECs

Transmembrane Electrical Resistance and BSA leakage of VECs were measured as previously described (Zhang et al., 2015; Liu et al., 2016a,b). Briefly, VECs were seeded on upper inserts (100,000 cells per well) of a 6 cell Transwell cultureplate, (polycarbonate, 0.4 μ m, Corning). Different stimuli (LPS or MVs) were treated with VECs according to the experiment design after VECs were full confluence, and TER of VECs was assessed by Volt ohmmetre (World Precision Inc, America) every 30 min. BSA leakage of VECs was measured after TER analysis, FITC-BSA (10 μ g/ml) was added into upper inserts of the Transwell, and 200 μ L of the medium of the lower chamber at 10, 20, 30, 40, 50, and 60 min was collected for the measurement of fluorescence intensity, and an equal volume of fresh medium was added into the lower chamber after medium collection. The formula of the BSA leakage was as follows: BSA leakage

(%) = (A10+A20+A30+A40+A50+A60) / total fluorescence intensity, and Ax represented the fluorescence intensity at x min.

HE Staining and Immunofluorescence (FITC-BSA Leakage) of Lung

Rats were anesthetized and the lung was flushed with PBS. Then left lung was formaldehyde-fixed followed by paraffin-embedded for hematoxylin and eosin (HE) staining. The slides were observed by Leica microscope (Germany). For immunofluorescence of lung, rats were anesthetized and injected with FITC-BSA (9 mg/kg) for 2 h. After flushed with PBS, the left lung was formaldehyde-fixed followed embedded in Tissue-Tek O.C.T. Compound (Sakura, America). Afterward frozen sections were performed and the slides were stained with DAPI (Thermo, America), then the leakage of FITC-BSA in lung was observed by Laser confocal microscope (Zhou et al., 2014).

FITC-BSA Leakage of Mesenteric Microvessels

Rats were anesthetized and received laparotomy, then the ileocecal portion of the mesentery was exposed and placed in a transparent plastic stage. The surface of mesentery was moisturized with 37°C saline throughout the whole procedure to keep it warm and moist. Then rats were injected with FITC-BSA (20 mg/kg) intravenously. 10 min after basal observation, fluorescence intensity of FITC-BSA in mesenteric microvessels was measured at 0, 5, and 10 min by virtue of inverted intravital microscopy (HAMAMATSU, Japan) using Image-Pro Plus 5.0 software (Li et al., 2014).

Immunofluorescence (IF) of VECs

Immunofluorescence staining of VECs were measured as previously described with some adjustment (Zhang et al., 2015). Briefly, VECs were seeded on Confocal Petri dishes (Corning, America) and subjected with appropriate treatment at 70% confluence. Then VECs were fixed in 4% formaldehyde for 15 min (room temperature), and permeabilized with 0.1% Triton-100 for 3 min (room temperature), blocked with 5% BSA (Sigma, America), then probed with ZO-1 antibody (Thermo, America) and FITC conjugated secondary antibody (Thermo Fisher Scientific, America). The dishes were analyzed by confocal scanning microscope (Leica, Germany).

RNA Extraction, RT-qPCR

MiRNA was extracted from microvesicles or VECs using the miRCute RNA isolation kit (TIANGEN) following the manufacturer's instructions. The isolated RNA was reverse transcribed using the All-in-One™ miRNA RT Detection kit (Genecopoeia, America). RT-PCR was performed using the All-in-One™ miRNA qPCR detection kit (Genecopoeia, America) on a C1000™ Thermal Cycler Real-Time PCR system from Applied Biosystems (Bio-Rad, United States). Fold induction was calculated using the Ct method as follows: $\Delta\Delta Ct = (Ct_{\text{target}} - Ct_{\text{U6}})$, and the final values were determined using $2^{-\Delta\Delta Ct}$ (Zhang et al., 2010).

Transfection of VECs and Harvest of Modified EMVs

To generate modified EMVs, VECs were transfected with 2 nM miR-23b inhibitor, miR-23b mimic or miR-23b vehicle (synthesized by Genecopoeia) with lipofectamine 2000 (Invitrogen) when VECs were 70% confluence, respectively. 12 h after transfection, the medium was changed to serum-free medium, and transfected VECs were stimulated with or without LPS for another 24 h, then modified EMVs were harvested. MiR-23b mimic labeled with FAM (green) at the 3' end was synthesized by Genecopoeia, and the sequence of miR-23b mimic and inhibitor were as follows:

miR-23b mimic;
5'-AUCACAUUGCCAGGGAUUACC-3' Sense strand;
5'-GGUAAUCCCUGGCAAUGUGAU-3' Antisense strand;
miR-23b inhibitor;
5'-GGUAAUCCCUGGCAAUGUGAU-3'.

Dual Luciferase Reporter Assay for the Binding of ZO-1

Luciferase assay was performed to determine the effect of miR-23b on mRNA expression of ZO-1 and the 3' untranslated region (UTR) of ZO-1 (Liu et al., 2016b). Briefly, VECs were seeded in 6-well plates and transfected with miR-23b overexpressed plasmid. After 24 h, luciferase reporter vector of ZO-1 (psiCHECK vector), mutant luciferase vector and control vector were transfected by Lipofectamine 2000. VECs were lysed after 24 h, and lysates were measured by dual luciferase reporter system (Promega, San Luis Obispo, CA, United States) on a 20/20-nl luminometer (Promega). Firefly luciferase activity of every sample was normalized to that of Renilla luciferase activity to correct the variations in transfection efficiency between samples.

Western Blot

Vascular endothelial cells were lysed, and cell-protein extracts were separated by SDS-PAGE and transferred to PVDF membrane, then probed with appropriate antibodies and analyzed by Odyssey Clx (LI-COR, America). ZO-1, VE-cadherin were purchased from Thermo, and Occludin and β -actin were purchased from CST (America) (Zhang et al., 2015).

Statistical Analysis

Data of animal studies were repeated at least 8 independent experiments. Data of cell studies were repeated at least 6 independent experiments (data of western blot was repeated for 3 times), and data from one representative experiment were shown. Data from miRNA expression were presented as mean \pm SEM, and other data were presented as mean \pm SD. Statistical analysis was performed by SPSS 19.0. Differences among or between groups with $n \geq 6$ were analyzed by one-way ANOVA or independent *T*-test. The significance of data from western blot was determined by one-way Kruskal-Wallis of variance. Values of $p < 0.05$ were considered significant.

RESULTS

Different Types of MV Were All Increased After Sepsis, and Led to Vascular Leakage of Vital Organ and Lung Injury

In order to investigate the role of MVs in pulmonary vascular leakage and lung injury after sepsis, MVs were purified from the blood of normal (ctl-MV) and CLP rats (CLP-MV) by ultracentrifugation, and the concentration of MVs, pulmonary vascular leakage and lung injury were observed. MVs from CLP rats were injected into rats intravenously, and the effect of MVs on pulmonary vascular leakage and lung injury were studied.

For flow cytometry results showed that MVs carried externalized phosphatidylserine (Figure 1A), which was considered to be the marker of MVs in most cases, and the majority of MVs was 200–1000 nm. Furthermore, imaging flow cytometry showed the exact image of MVs, which exhibited spherical structure (Figure 1C). The concentration of MVs from the normal rats (ctl-MV) was $1.19 \times 10^6/\text{mL}$, and they were increased to 1.26, 1.53, 1.82, $2.11 \times 10^6/\text{mL}$ at 2, 8, 12, and 16 h after sepsis, respectively (Figure 1B), and the increment rate was 6, 29, 53, and 77%. MVs exhibited spherical morphology with typical bimolecular membrane structure and the size was from 100 to 1000 nm in electron microscopy (Figure 1D). Verification by dynamic light scattering, the size of MVs was from 82.09 to 859.2 nm with a mean size of 352.98 nm (Figure 1E). The size and morphology of MVs obtained were accordant with the existing literatures (Mastronardi et al., 2011; Tkach and Thery, 2016; Martinez and Andriantsitohaina, 2017).

The vascular leakage of lung was significantly aggravated after sepsis (Figure 1F), along with the change of vascular leakage of kidney (Figure 1G) and intestine (Figure 1H). Immunofluorescence of lung showed that a small amount of FITC-BSA could be found in pulmonary interstitium at 2 h, while the leakage of FITC-BSA was significantly enhanced at 8 h after sepsis, which appeared a time dependent manner after sepsis (Figure 1I). Meanwhile, lung injury was also aggravated in a time dependent manner, which was characterized by tissue edema, leukocyte and erythrocyte infiltration (Figure 1J). The cell counts and protein in bronchoalveolar lavage fluid (BAL) were also significantly increased after sepsis (Figures 1K,L). Considering that the vascular leakage had the highest increase rate at 16 h after sepsis, we chose the 16 h time point as the observation time point for CLP model in the following experiments.

To further identify the role of MVs in pulmonary vascular leakage and lung injury after sepsis, MVs from normal and sepsis rats were injected into normal rats (1×10^7 MVs, 2 times, every 8 h), the pulmonary vascular leakage and lung injury were measured at 16 h after the first injection. The results showed that pulmonary vascular leakage was significantly increased after injection of MVs from CLP rats, along with the vascular leakage in kidney and intestine (Figures 2A–C), while MVs from normal rats had no effect on vascular leakage. Meanwhile, MVs from CLP rats led to obvious leakage of FITC-BSA in lung and mesenteric microvessels (Figures 2F,G), induced tissue edema, leukocyte and erythrocyte infiltration in lung (Figure 2H), and increased the cell

counts and protein in BAL (Figures 2D,E). These results indicate that MVs play an important role in vascular leakage of vital organ and lung injury after sepsis.

There are several types of MV in blood, including endothelial MVs (EMV), platelet MVs (PMV), leukocyte MVs (LMV), etc. To further investigate which type of MV plays the leading role in the regulation of vascular leakage, MVs from CLP rats were applied on FCM to measure the exact profile of different MVs. The concentration of EMVs, PMVs and LMVs (Figures 3D,E) were $1.07 \times 10^5/\text{mL}$, $9.05 \times 10^5/\text{mL}$, $9.66 \times 10^4/\text{mL}$ in control group, respectively (Figures 3A,B). In sepsis rats, the concentration of EMVs, PMVs and LMVs increased to $4.27 \times 10^5/\text{mL}$, $1.32 \times 10^6/\text{mL}$, and $2.17 \times 10^5/\text{mL}$, respectively (Figure 3C). The increment rates were 3.99, 1.46, and 2.25 fold at 16 h after sepsis, and the concentration of EMVs was increased most after sepsis.

Furthermore, different types of MVs were harvested from LPS stimulated VECs, platelets and leukocytes, which were also injected into normal rats (1×10^7 MVs, 2 times, every 8 h), the same results were obtained as in MVs harvested from CLP rats, which showed pulmonary vascular leakage was significantly aggravated in EMV group after injection, while pulmonary vascular leakage was slightly increased in PMV and LMV group (Figure 3F). EMVs and LMVs induced apparent increment in cell counts and protein infiltration in BAL (Figures 3G,H), while PMVs injection led to minor increment on cell counts and protein infiltration.

Subsequently, EMVs, PMVs and LMVs were applied to stimulate VECs with a dose of 1×10^6 . The results showed that EMVs reduced the transmembrane electrical resistance (TER) of VEC significantly, while LMV and PMV only slightly reduced the TER of VEC (Figure 3I). Meanwhile, the BSA leakage of VECs was significantly increased after incubation of EMVs, while LMVs and PMVs had little effect on the BSA leakage of VECs (Figure 3J). These results indicate that EMVs are the most pivotal type of MVs which play the leading role in pulmonary vascular leakage and lung injury after sepsis.

EMVs Induced Pulmonary Vascular Leakage and Lung Injury by Transferring miR-23b

To investigate how EMVs interact with VECs, red fluorescent dye PKH-26 labeled EMVs were injected into normal rats via femoral vein with a dose of 1×10^7 , 8 h after injection the pulmonary vein was isolated and observed by the confocal fluorescence microscopy. Immunofluorescence showed that PKH-26-labeled EMVs were taken up by the vascular endothelium (green fluorescence showed CD31+) (Figure 3K). Interestingly, PKH-26 labeled EMVs could be also found in the sub-endothelial and media of the pulmonary vein, which indicated that EMVs could penetrate the endothelial barrier to the depth of blood vessels, and played an important role in sepsis. Furthermore, PKH-26-labeled EMVs were incubated with VECs, and results showed that EMVs were incorporated into VECs in a time dependent manner (Figure 3L), confirming both vascular endothelium and VEC can uptake EMVs.

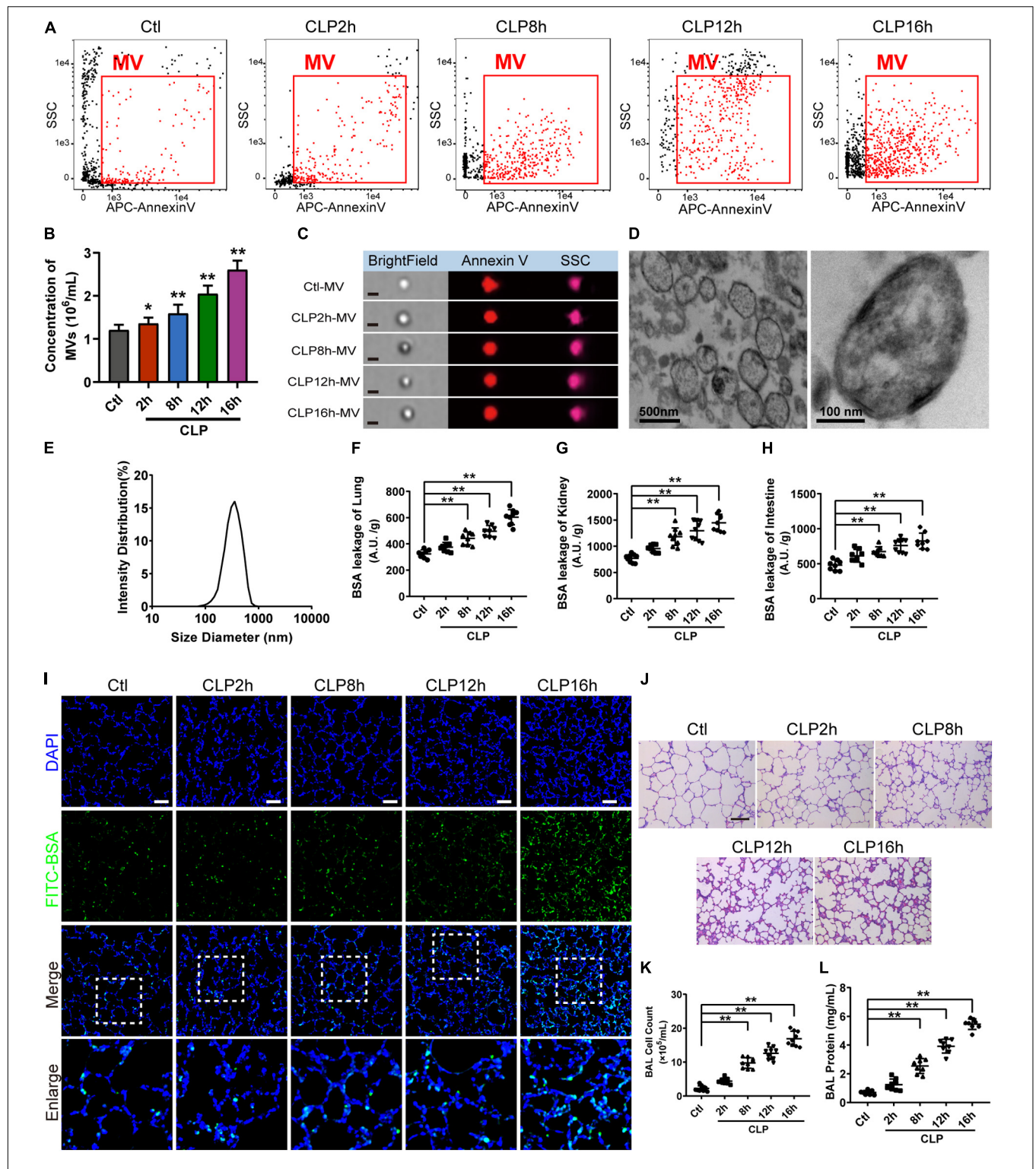


FIGURE 1 | MV concentration, vascular leakage and lung injury increased in sepsis rats. **(A)** Flowcytometry analysis of MVs. The detection gate (0.1–1 μm) was set on SSC and APC channel by standard beads. **(B)** MV concentration measured by flowcytometry. **(C)** Representative flowcytometry microphotographs of MVs from different groups. The scale bar represents 1 μm . **(D)** Representative transmission electron microscope microphotographs of MVs harvested from CLP (cecal ligation and puncture) rats. **(E)** Dynamic light scattering analysis of the diameter of MVs. **(F–H)** Vascular BSA leakage of lung, kidney and intestine in CLP rats ($n = 8$), measured by the detection of the fluorescence intensity of FITC-BSA accumulation in 2 h in tissue homogenate. **(I)** BSA leakage of lung in CLP rats, determined by the appearance of intravenously injected FITC-BSA *in vivo*, representative photograph was shown. The scale bar represents 50 μm . **(J)** Lung injury in CLP rats accessed by HE staining, representative photograph was shown. The scale bar represents 100 μm . **(K)** Counts of total cells in BAL from CLP rats ($n = 8$). **(L)** Protein concentration in BAL from CLP rats ($n = 8$). Ctl, normal control; clt-MV, MVs from normal rats; CLP-MV, MVs from sepsis rats; * $P < 0.05$ and ** $P < 0.01$.

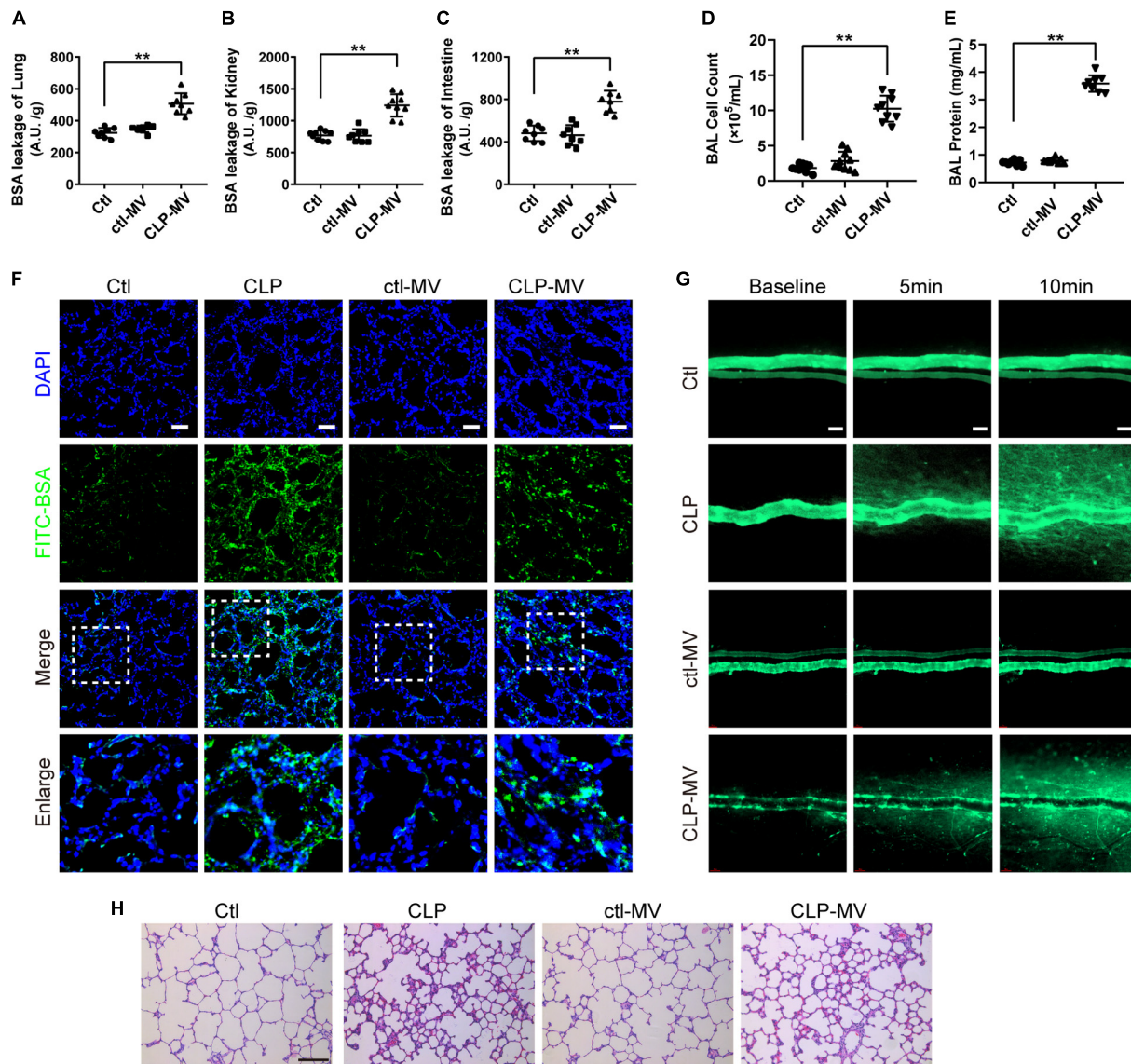


FIGURE 2 | MVs obtained from sepsis rats induced pulmonary vascular leakage and lung injury. **(A–C)** Vascular BSA leakage of lung, kidney and intestine in rats injected with MVs ($n = 8$), measured by the detection of the fluorescence intensity of FITC-BSA accumulation in 2 h in tissue homogenate. **(D,E)** Cell counts and protein concentration in BAL from rats injected with MVs ($n = 8$). **(F)** BSA leakage of lung in rats injected with MVs, determined by the appearance of intravenously injected FITC-BSA *in vivo*, representative photograph was shown. The scale bar represents 50 μm . **(G)** BSA leakage of mesentery microvessel in rats injected with MVs, dynamically measured by inverted intravital microscopy *in vivo*. The scale bar represents 50 μm . **(H)** Lung injury in rats injected with MVs accessed by HE staining, representative photograph was shown. The scale bar represents 100 μm . Ctl, normal control; ctl-MV, MVs from normal rats; CLP-MV, MVs from sepsis rats; ** $P < 0.01$.

To investigate whether EMVs induce pulmonary vascular leakage after sepsis by carrying miR, we observed the miR expression profile in EMVs harvested from LPS treated VECs (LPS-EMV) and untreated VECs (ctl-EMV) at first. 24 miRNAs which were highly related to vascular leakage and lung injury were measured. The results showed that the expression of miR-23b, miR-105, miR-126, miR-133, miR-218, and miR-302 in LPS-EMVs were significantly higher than ctl-EMVs (**Figure 4A**), during which the most enriched miRNA in LPS-EMVs was miR-23b, with a 13.58-fold increment rate.

To verify whether EMVs transfer miR-23b into VECs, we measured the expression of miR-23b in VECs after incubation by LPS-EMVs and ctl-EMVs. The results showed that LPS-EMVs stimulation effectively increased the expression of miR-23b in VECs while ctl-EMVs had no effect (**Figure 4B**). Furthermore, we transfected FAM-labeled (green fluorescence) miR-23b into VECs to generate containing green fluorescence EMVs, which were then incubated with target VECs. Microscopically, FAM-labeled miR-23b could be found in the cytoplasm of target VECs, which provided a visual proof that miR-23b was transferred into

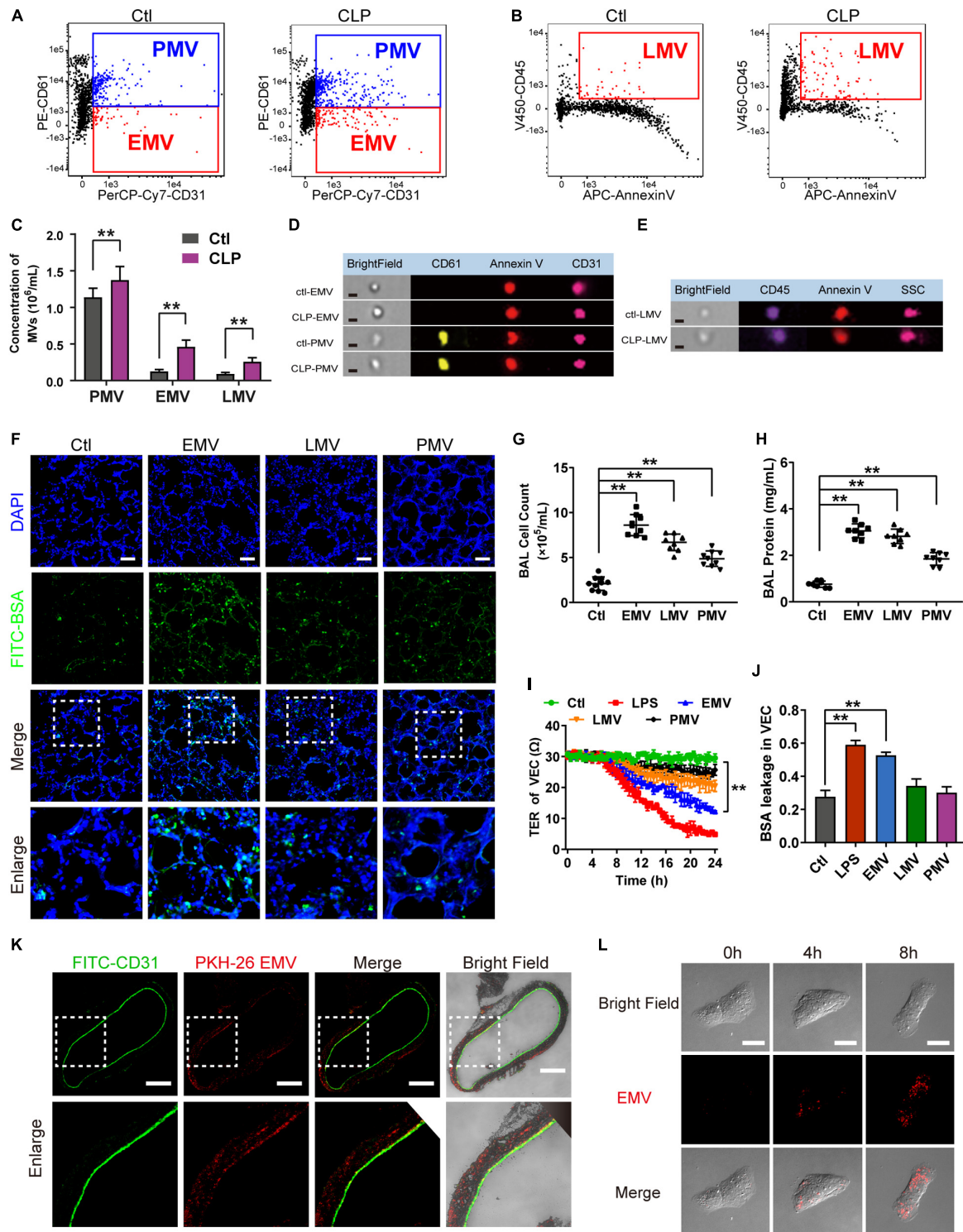
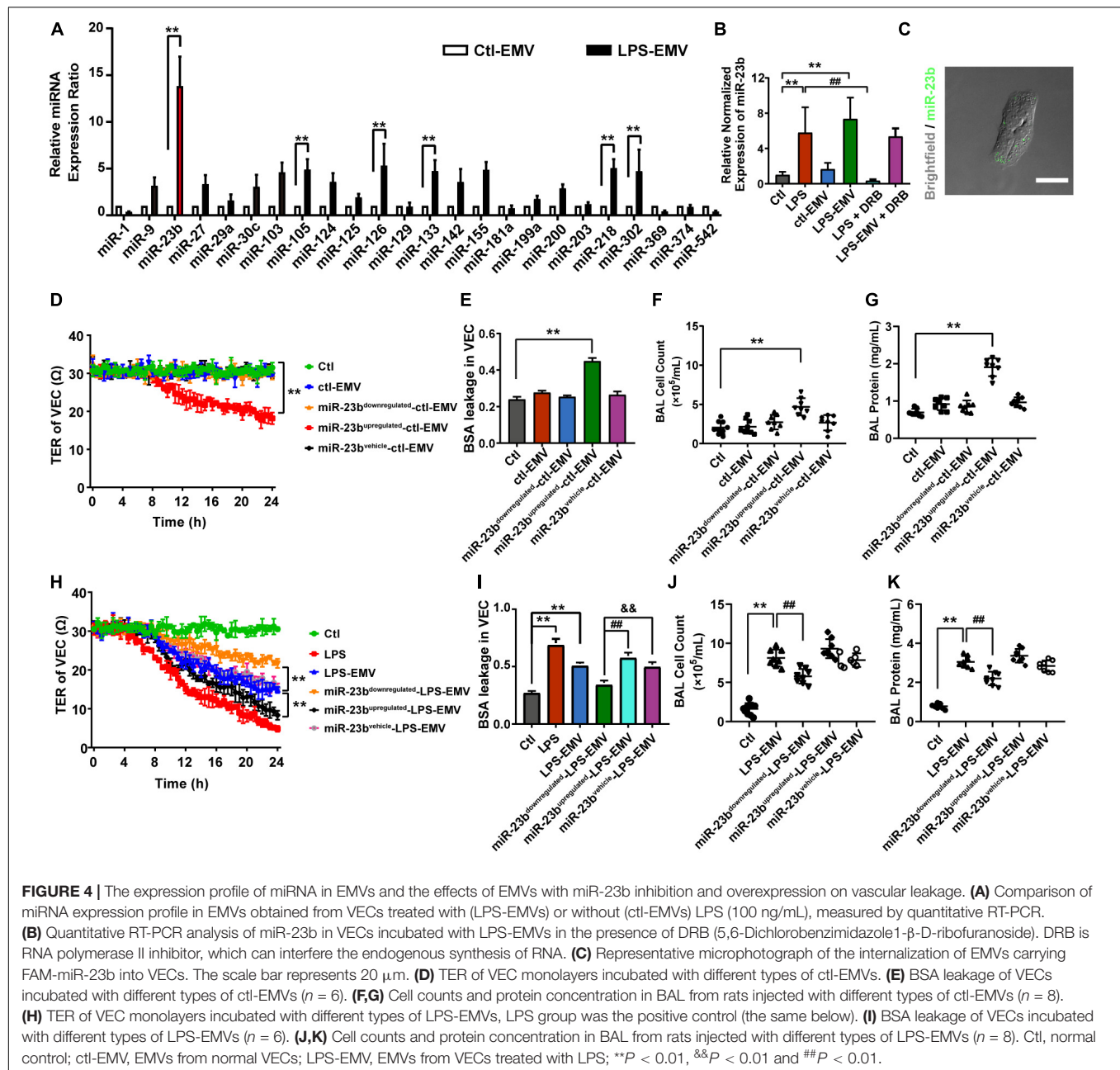


FIGURE 3 | EMVs led to pulmonary vascular leakage and lung injury after sepsis. **(A–C)** Flowcytometry analysis of PMVs, EMVs, and LMVs from CLP rats. CD61⁺/CD31⁺ represents PMVs, and CD61⁻/CD31⁺ represents EMVs, and CD45⁺/Annexin V⁺ represents LMVs. **(D,E)** Representative flowcytometry microphotographs of different MVs. The scale bar represents 1 μm . **(F)** BSA leakage of lung in rats injected with different MVs, determined by the appearance of intravenously injected FITC-BSA *in vivo*, representative photograph was shown. The scale bar represents 50 μm . **(G,H)** Cell counts and protein concentration in BAL from rats injected with different MVs ($n = 8$). **(I)** TER (transmembrane electrical resistance) of VEC monolayers incubated with different MVs. **(J)** BSA leakage of VECs incubated with different MVs ($n = 6$). **(K)** Endocytosis of PKH-26 labeled EMV (red) in pulmonary vein, representative photograph was shown. The scale bar represents 200 μm . **(L)** Endocytosis of PKH-26 labeled EMV (red) in VECs, representative photograph was shown. Ctl, normal control. The scale bar represents 20 μm . ****** $P < 0.01$.



VECs by EMVs (Figure 4C). To further investigate whether the increase of miR-23b in VECs was from the EMV-carried miR, RNA polymerase II inhibitor DRB was used to interfere the endogenous synthesis of RNA in target VECs. DRB showed no influence on the increase of miR-23b after EMV stimulation, which indicated that the increase of miR-23b in target VECs was resulted from the EMV contained miR-23b transfer rather than endogenous miR-23b in target VECs (Figure 4B).

In order to investigate whether EMVs induce pulmonary vascular leakage and lung injury by transferring miR-23b, the miR-23b inhibitor and miR-23b mimic were used to prepare modified EMVs. In the present study, VECs were

firstly transfected as described, and then treated with or without LPS, thus we defined the modified EMVs according to the sequence of treatment as: miR-23b^{upregulated}-LPS-EMV, miR-23b^{downregulated}-LPS-EMV, miR-23b^{vehicle}-LPS-EMV, miR-23b^{upregulated}-ctl-EMV, miR-23b^{downregulated}-ctl-EMV and miR-23b^{vehicle}-ctl-EMV, respectively.

Then modified EMVs treated without LPS were incubated with VECs, and miR-23b^{downregulated}-ctl-EMVs and miR-23b^{vehicle}-ctl-EMVs showed no effect on TER and BSA leakage of VECs, while incubation of miR-23b^{upregulated}-ctl-EMVs led to the decrease of TER of VECs, and the increase of BSA leakage of VECs (Figures 4D,E). Meanwhile, incubation of

miR-23b^{upregulated}-ctl-EMVs induced apparent increase of cell count and protein in BAL (Figures 4F,G).

To further confirm the role of miR-23b in vascular leakage and lung injury, modified EMVs treated with LPS were applied to stimulate VECs. Compared with control group, LPS-EMVs induced significant leakage in VECs, while miR-23b^{downregulated}-LPS-EMVs abolished the LPS-EMV induced leakage of VECs, and miR-23b^{upregulated}-LPS-EMVs further aggravated the leakage (Figures 4H,I). Furthermore, miR-23b^{upregulated}-LPS-EMVs aggravated the increment of cell count and protein effusion in BAL caused by LPS-EMVs (Figures 4J,K). These results indicated that the EMV transferring miR-23b induced pulmonary vascular leakage and lung injury.

EMVs Induced Vascular Leakage and Lung Injury by Inhibiting ZO-1 Expression

In general, there are several key proteins in the regulation of vascular leakage: tight junction protein ZO-1, Occludin and adherent junction protein VE-cadherin. To investigate which protein may take part in vascular leakage and lung injury by miR-23b containing EMV, at first we predicted the potential target of miR-23b by Targetscan, and found ZO-1 mRNA might be the most feasible target of miR-23b among those targets (Data not shown).

Further experiment found that ctl-EMVs and miR-23b^{downregulated}-ctl-EMVs showed no influence on the expression of ZO-1, and miR-23b^{upregulated}-ctl-EMVs significantly inhibited the expression of ZO-1 (Figure 5A) and impaired the integrity of ZO-1 barrier (Figure 5B). Meanwhile, miR-23b^{upregulated}-ctl-EMVs had no effect on the expression of Occludin and VE-cadherin (Figure 5B), which indicated that the effect of miR-23b containing EMVs was related to ZO-1 inhibition.

Furthermore, LPS-EMVs decreased the ZO-1 expression, and miR-23b^{upregulated}-LPS-EMVs aggravated the decrease of ZO-1 expression induced by LPS-EMVs, while miR-23b^{downregulated}-LPS-EMVs attenuated the LPS-EMVs induced increase of ZO-1 expression (Figure 5C). Identically, miR-23b^{upregulated}-LPS-EMVs caused more harm to ZO-1 barrier, and miR-23b^{downregulated}-LPS-EMVs could attenuate the LPS-EMVs induced endothelial barrier damage (Figure 5D). Dual luciferase reporter assay verified that miR-23b could act on the 3'-UTR of ZO-1 mRNA (Figures 5E,F). Interestingly, LPS-EMVs had little effect on the expression of ZO-1 mRNA (Figure 5G), which suggested that miR-23b in EMVs regulated the expression by affecting the 3'-UTR of ZO-1 without degradation of the ZO-1 mRNA.

MVs From Sepsis Patients Induced Pulmonary Vascular Leakage and Lung Injury in Rats

To further verify the role of MVs on pulmonary vascular leakage and lung injury after sepsis, we collected MVs from sepsis patients to stimulate normal rats. In this experiment, 10 patients and 15 healthy adults were recruited for MV collecting (Supplementary Table S1). The concentration of circulating MVs in sepsis patients

(sepsis-MV) was significantly higher than that in healthy adults (healthy-MVs) (Figures 6A–C).

Then MVs from sepsis patients were applied to normal rats, and data showed that MVs from sepsis patients induced apparent pulmonary vascular leakage in rats while MVs from healthy adults had no effect (Figure 6D). Meanwhile, MVs from sepsis patients led to apparent lung injury and protein effusion in BAL (Figures 6E–G). Furthermore, MVs from sepsis patients led to apparent leakage in VECs (Figures 6H,I). As compared with MVs from healthy adults, MVs from sepsis patients reduced the expression of ZO-1 and impaired the integrity of ZO-1 (Figures 6J,K). Meanwhile, the expression of miR-23b in MVs from sepsis patients was significantly higher than that in MVs from healthy adults (Figure 6L). These results further confirmed that miR-23b-containing MVs in sepsis patients played an essential role in pulmonary vascular leakage and lung injury.

Inhibition of EMV Generation Ameliorates Pulmonary Vascular Leakage and Lung Injury After Sepsis

As the accumulation of EMVs in blood after sepsis led to subsequent damage on vessels, we aimed to attenuate the effect of EMVs by inhibiting the generation of EMVs. 10 μ M of acid SMase inhibitor amitriptyline was used to treat VEC for 24 h, and data showed that amitriptyline effectively reduced the release of EMVs (Figures 7A–C). EMVs obtained from amitriptyline and LPS treated VECs (Ami-LPS-EMV) and amitriptyline could attenuate the LPS-EMVs induced pulmonary vascular leakage (Figure 7D) and attenuate the cell count and protein infiltration in BAL (Figures 7E,G), and the lung injury (Figure 7E). *In vivo* study showed that amitriptyline could reduce EMVs production in sepsis rats in a dose-dependent manner at 50 and 100 μ M (Figures 7H–J).

DISCUSSION

The present study showed that EMVs played an important role in pulmonary vascular leakage and lung injury after sepsis, in this process, miR-23b played a critical role. Inhibition of the release of MV including EMV with amitriptyline could significantly alleviate sepsis induced vascular leakage and lung injury. This study provides a new sight for exploration of the treatment of sepsis.

Lung injury is the leading cause of death during sepsis and the main failure organ in the development of multiple organ dysfunction after sepsis (Wu et al., 2007). Vascular leakage is one of the most important pathophysiological processes in lung injury, which is considered to be related to abundant cytokines in blood after sepsis (Hou et al., 2017). It has been proved that cytokines such as Angiopoietin2, VEGF, TGF- β and HMGB1 are important in the occurrence of vascular leakage (Hotchkiss et al., 2016; Hattori et al., 2017). For example, circulating TGF- β induced protein (TGFBIP) level was increased after sepsis, and the subsequent interaction with integrin α 5 β could result in the increased proinflammatory responses, and promote the adhesion and migration of leukocytes across the endothelium,

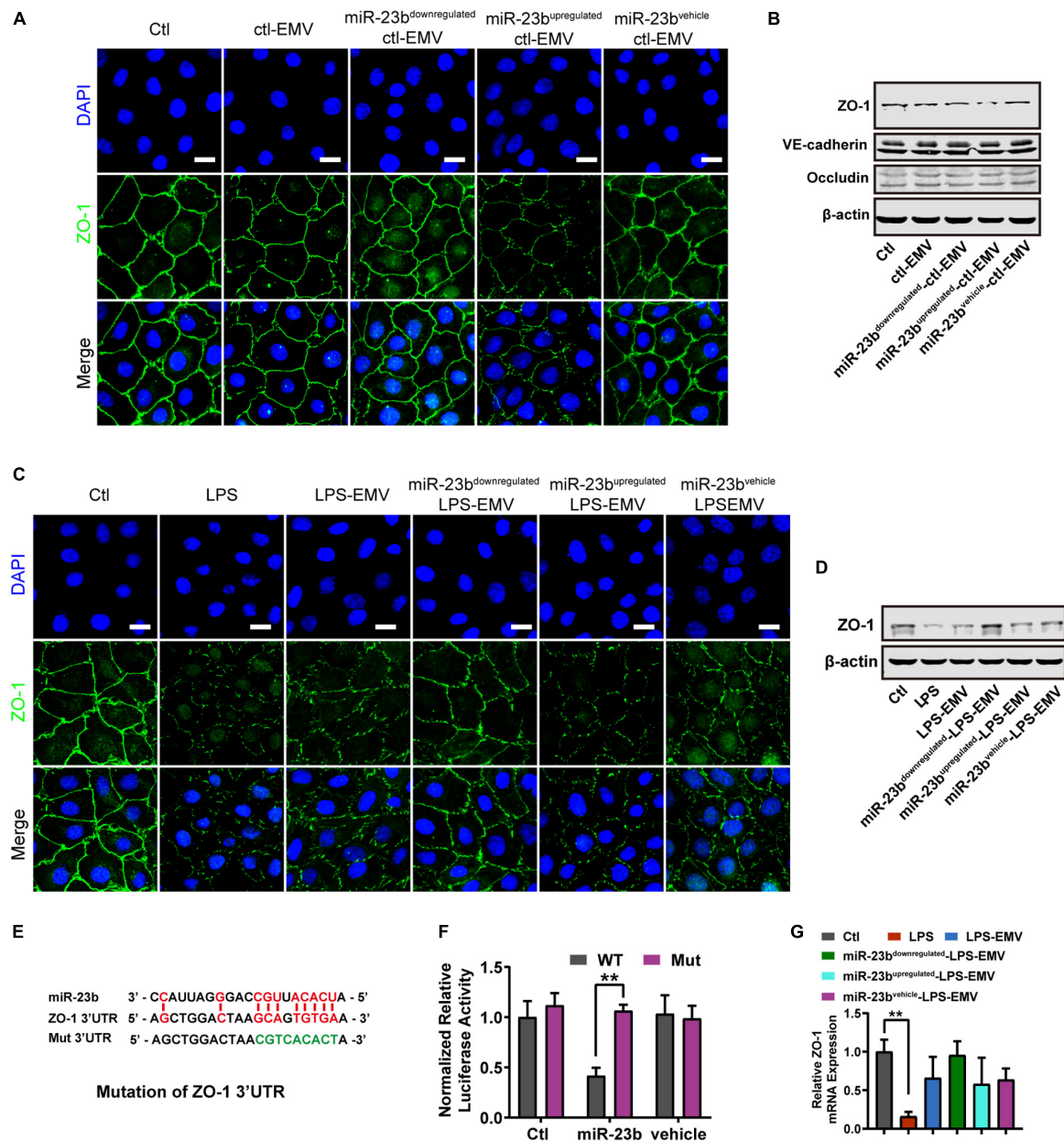


FIGURE 5 | The effect of EMVs with miR-23b inhibition and overexpression on ZO-1 expression. **(A)** Immunofluorescence for ZO-1 in VECs incubated with different types of ctl-EMVs. The scale bar represents 20 μ m. **(B)** Western blot analysis of ZO-1 in VECs incubated with different types of ctl-EMVs ($n = 3$). **(C)** Immunofluorescence for ZO-1 in VECs incubated with different types of LPS-EMVs. LPS group was the positive control (The same below). The scale bar represents 20 μ m. **(D)** Western blot analysis of ZO-1 in VECs incubated with different types of LPS-EMVs ($n = 3$). **(E)** Schematic showing miR-23b predicted binding sites in ZO-1 3'-UTR and mutant ZO-1 3'-UTR sequence (Mut). Mut sequence of ZO-1 3'-UTR was introduced by replacing the wild type binding sequence (GCAGTGTGA) with a mutant sequence (CCTTTACAT, in green). **(F)** Effects of miR-23b on the 3'-UTR of ZO-1 and the mutant of ZO-1, measured by Dual-Luciferase System. **(G)** Quantitative RT-PCR analysis of ZO-1 mRNA in VECs incubated with different types of LPS-EMVs. Ctl, normal control; ctl-EMV, EMVs from normal VECs; LPS-EMV, EMVs from VECs treated with LPS; ** $P < 0.01$, *** $P < 0.01$, and && $P < 0.01$.

which eventually aggravated vascular leakage and the progress of sepsis (Bae et al., 2014). Several therapies were designed to inhibit the impact of cytokines, but the results were not satisfactory, which indicated that there were many other factors involved in the process. Recent studies showed that MVs played important roles in the occurrence and development of sepsis,

including coagulation, inflammation and immunodeficiency, etc. The present study revealed that MV, especially EMVs played an important role in vascular leakage and lung injury after sepsis.

The concentration of MV in circulation is low in healthy rats, which is mainly composed of PMVs, with a proportion of up to 76.1%, while the proportion of EMVs, LMVs is

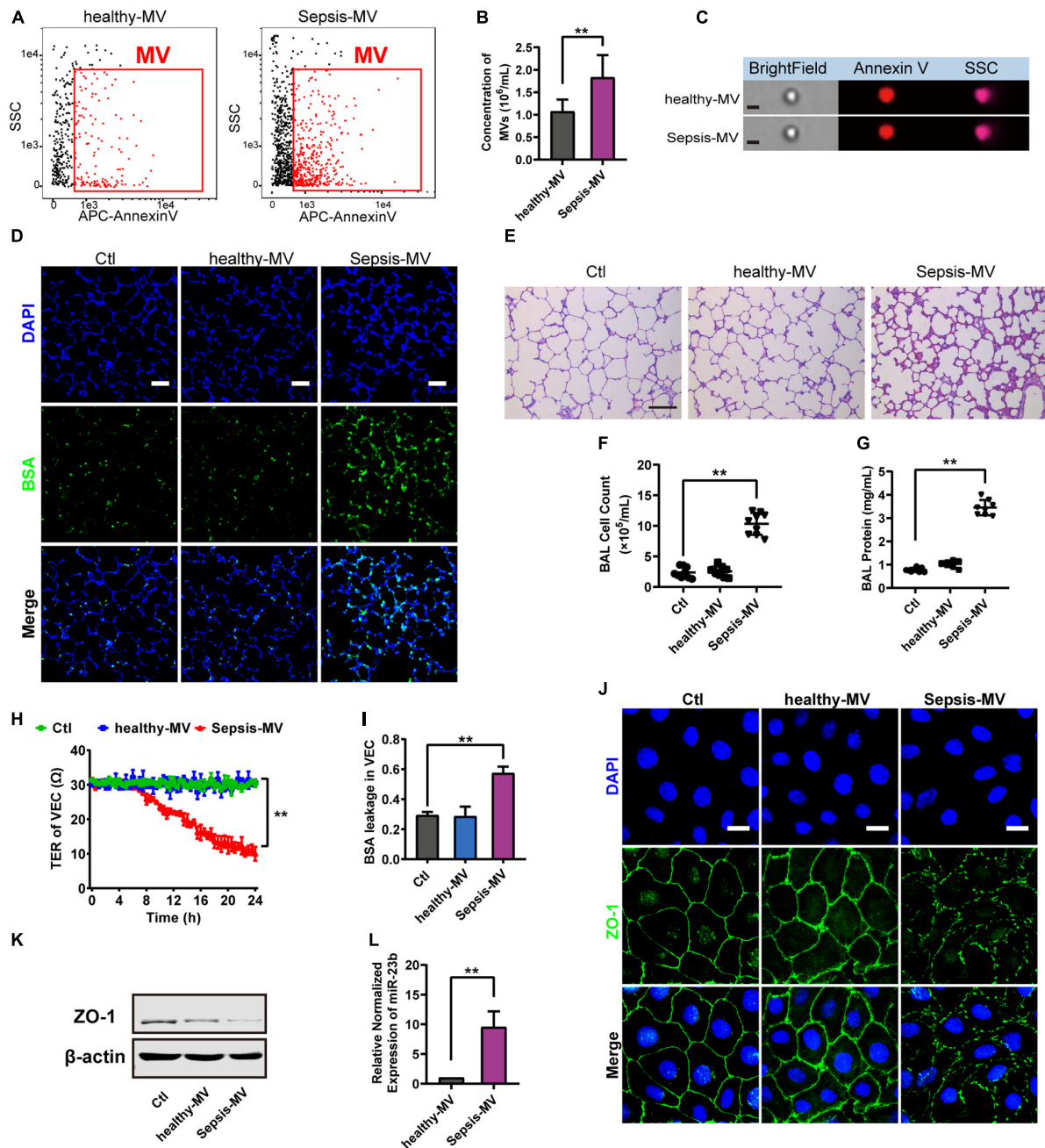


FIGURE 6 | MVs harvested from sepsis patients induced pulmonary vascular leakage and lung injury. **(A,B)** Flowcytometry analysis of MVs from healthy people (healthy-MVs) and sepsis patients (sepsis-MVs). **(C)** Representative flowcytometry microphotographs of healthy-MVs and sepsis-MVs. The scale bar represents 1 μ m. **(D)** BSA leakage of lung in rats injected with healthy-MVs or sepsis-MVs, determined by the appearance of intravenously injected FITC-BSA *in vivo*, representative photograph was shown. The scale bar represents 50 μ m. **(E)** Lung injury in rats injected with healthy-MVs or sepsis-MVs, accessed by HE staining, representative photograph was shown. The scale bar represents 100 μ m. **(F,G)** Cell counts and protein concentration in BAL from rats injected with different MVs ($n = 8$). **(H)** TER (transmembrane electrical resistance) of VEC monolayers incubated with different MVs. **(I)** BSA leakage of VECs incubated with different MVs ($n = 6$). **(J)** Immunofluorescence for ZO-1 in VECs incubated with different MVs. The scale bar represents 20 μ m. **(K)** Western blot analysis of ZO-1 in VECs incubated with different MVs ($n = 3$). **(L)** Quantitative RT-PCR analysis of miR-23b in VECs incubated with different MVs. Ctl, normal control; ** $P < 0.01$.

low (Mastronardi et al., 2011). Previous studies have found that PMV can participate in the activation of exogenous coagulation reaction after sepsis (Delabranche et al., 2016). By expressing high-affinity binding sites for coagulation factors

Va, VIII, and IXa on its surface, and carrying platelet activating factor (PAF), PMVs can react with platelets and monocyte, which can continuously activate thrombin generation thus promote coagulation process. LMVs can carry a variety

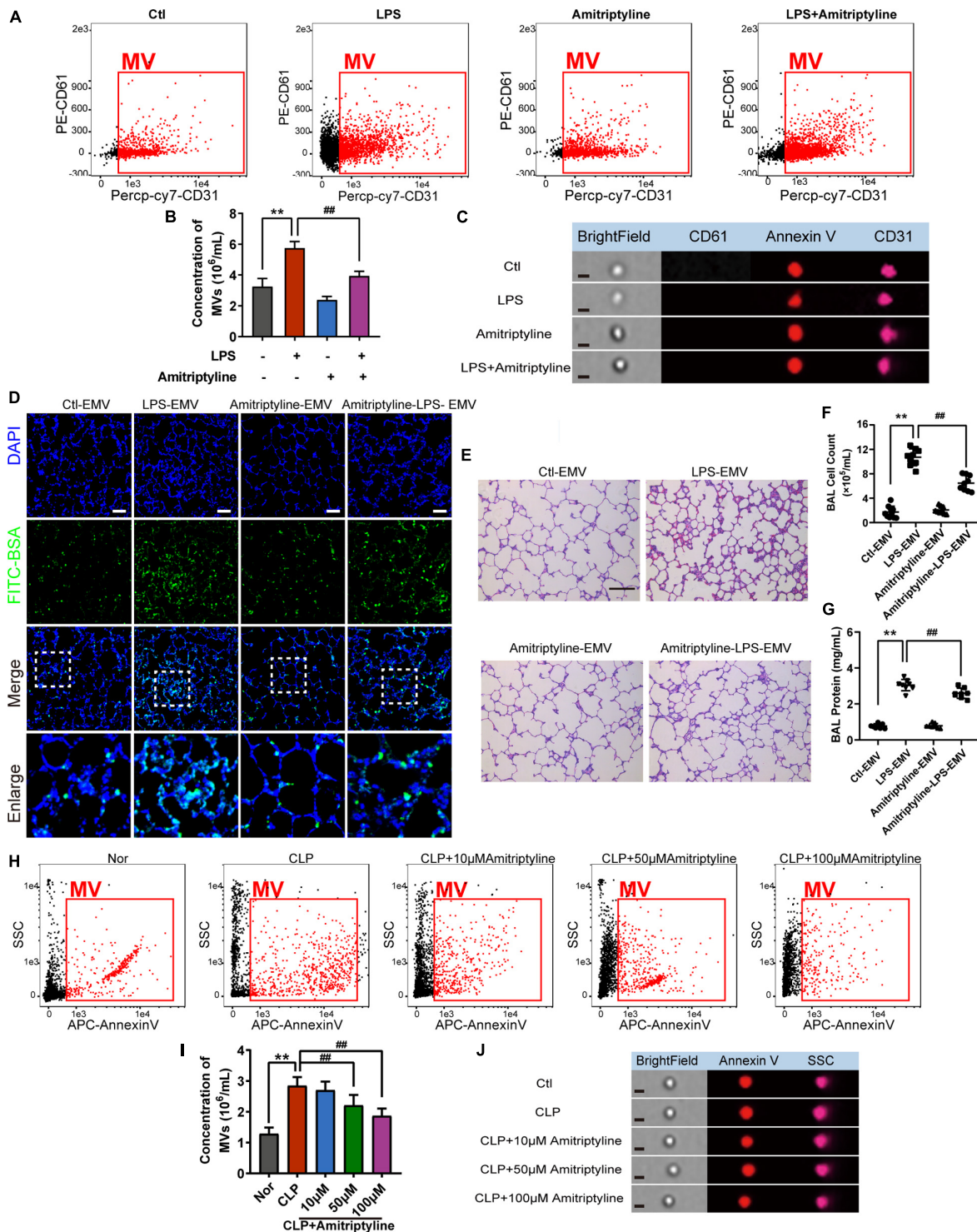


FIGURE 7 | Amitriptyline inhibited the generation of EMVs and attenuated the effect of EMVs on pulmonary vascular leakage. **(A,B)** Flowcytometry analysis of EMVs from VECs treated with/without LPS or amitriptyline. **(C)** Representative flowcytometry microphotographs of different EMVs. The scale bar represents 1 μm . **(D)** BSA leakage of lung in rats injected with different EMVs, determined by the appearance of intravenously injected FITC-BSA *in vivo*, representative photograph was shown. The scale bar represents 50 μm . **(E)** Lung injury in rats injected with different EMVs, accessed by HE staining, representative photograph was shown. The scale bar represents 100 μm . **(F,G)** Cell counts and protein concentration in BAL from rats injected with different EMVs ($n = 8$). **(H,I)** Flowcytometry analysis of MVs from CLP rats treated with different doses of amitriptyline. **(J)** Representative flowcytometry microphotographs of different MVs. The scale bar represents 1 μm . Ctl, normal control; ** $P < 0.01$ and ## $P < 0.01$.

of inflammatory factors from leukocytes, for example, they can transfer myeloperoxidase into endothelial cells, which will interfere with the myeloperoxidase-hydrogen peroxide-chloride system, leading to the damage of endothelial cells and inflammation aggravation (Bae et al., 2014). EMVs are mainly secreted from the damaged endothelial cells, which are related to endothelial injury, remodeling and angiogenesis (Paone et al., 2019). However, the present study revealed that the proportion of EMVs was significantly increased, from 9.0 to 20.2% in sepsis rats, which may be related to the extensive activation of vascular endothelium during sepsis. Because VECs are the first barrier for pathogenic factors when sepsis occurred (Aird, 2003). Stimulated VECs secrete a large number of EMVs subsequently, which reach all parts of the body along with the circulation, leading to the extensive activation of vascular endothelium throughout the body, and inducing the aggravation of sepsis. Except for EMVs, the present study found the concentration of PMVs and LMVs were also significantly increased during sepsis. Among the three types of MVs, the present study showed that EMVs played the leading role in pulmonary vascular leakage and lung injury.

MVs could provide shelter for miRNAs to keep them from being degraded by RNase in the blood, which has been proved that miRNAs could be selectively packaged into MVs to participate in multiple diseases (Zhang et al., 2010; Zhou et al., 2014; Xu et al., 2017; Zheng et al., 2017). MiR-23b was firstly identified to be an oncogene which is related to breast cancer, glioma and kidney cancer, and it participates in the regulation of cytoskeletal reconstruction, cell invasion, metastasis and inflammatory reactions (Donadelli et al., 2014; Grossi et al., 2018). Our present study found that miR-23b was abundant in EMV after sepsis. By predicting potential target of miR-23b using Targetscan, tight junction ZO-1 was found to be the most feasible target of miR-23b, rather than tight junction Occludin nor adherent junction VE-cadherin. Tight junction ZO-1 plays an important role in maintaining the stability of the barrier of VECs, and the destruction of ZO-1 results in the leakage of vessels. Besides, there are many other miR as miR-105, miR-132 could also participate in the regulation of vascular leakage in other diseases (Zhou et al., 2014; Gu et al., 2019), but these miRs showed little change in EMVs after sepsis, which suggests that the miR-23b is selectively packaged into EMVs during the progress of sepsis, and the mechanism of selective package needs to be further studied. In addition, MVs separated from sepsis patients could also lead to pulmonary vascular leakage and lung injury in rats, and aggravate the leakage of pulmonary vascular endothelial cells, suggesting that MVs as a vehicle for intercellular information exchange can transmit biological information between species.

Amitriptyline was known to be effective for antidepressant effect in mental illness, which was found to be able to reduce acid SMase activity and the synthesis of phospholipid. Acid SMase plays a role in the synthesis of membrane lipids (Awojoodu et al., 2014; Depciuch et al., 2017), thus amitriptyline is thought able to be a non-specific drug to inhibit the secretion of MVs, and it was proved to be effective at the dose of 100 μ M (Awojoodu et al., 2014). Our present study found that 50 and 100 μ M amitriptyline significantly inhibited the release of EMVs during sepsis and

alleviated the pulmonary vascular leakage and lung injury. This result suggests that amitriptyline may be a therapeutic candidate for the treatment of pulmonary vascular leakage induced by MVs. However, the mechanism of MV generation is complicated, which is relevant to phosphatidylserine, sphingomyelin, flippase, floppase and Ca^{2+} , etc (Buzas et al., 2018; Mallocci et al., 2018; Meldolesi, 2018), amitriptyline could reduce the formation of MVs to some extent, while how to effectively use amitriptyline to inhibit the secretion of MVs needs further investigation.

Nevertheless, there are some limitations in the present study. Firstly, the number of patients we recruited was a small population, whether EMVs play an important role in vascular leakage in sepsis patients needs further verification. Secondly, there are many factors such as tissue factor, MMPs etc (Krizanac-Bengez et al., 2006; Yeh et al., 2013; Lee et al., 2014), which may affect vascular barrier in addition to ZO-1, whether EMVs-induced vascular leakage is related to MMPs or TF needs to be further investigated. Thirdly, the present study was focused on the role of MVs, while exosomes may also participate in the vascular leakage because of the similar origins (Caivano et al., 2017), whether exosomes from VECs also play an important role needs further investigation.

In conclusion, our study showed that EMVs play an important role in pulmonary vascular leakage and lung injury during sepsis by transferring functional miR-23b. Antagonizing the secretion of EMVs and its miR-23b may alleviate the vascular leakage and lung injury. EMVs and miR-23b might be a potential therapeutic target for vascular leakage and organ injury in sepsis.

DATA AVAILABILITY STATEMENT

All datasets presented in this study are included in the article/ **Supplementary Material**.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee of the Research Institute of Surgery (Daping Hospital, Army Medical University). The patients/participants provided their written informed consent to participate in this study. The animal study was reviewed and approved by Research Council and Animal Care and Use Committee of the Research Institute of Surgery (Daping Hospital, Army Medical University, Chongqing, China, Number DHEC-2012-069).

AUTHOR CONTRIBUTIONS

DZ, JZ, ZZ, and HZ performed the experiments and analyzed the data. DZ, LK, YZ, YW, and CD collected the clinical samples and approved the manuscript. LL and TL participated in the study design. All authors have read and approved the manuscript, and ensured that it is the case.

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interpretation of data; in the writing of the report; and in the decision to submit the article for publication.

SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2020.00643/full#supplementary-material>

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LncRNA Xist Contributes to Endogenous Neurological Repair After Chronic Compressive Spinal Cord Injury by Promoting Angiogenesis Through the miR-32-5p/Notch-1 Axis

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Endogenous repair after chronic compressive spinal cord injury (CCSCI) is of great clinical interest. Ischemia-hypoxia-induced angiogenesis has been proposed to play an important role during this repair process. Emerging evidence indicates that long non-coding RNAs (lncRNAs) are involved in the pathophysiological processes of various diseases. Here, we identified a lncRNA (Xist; X-inactive specific transcript) with upregulated expression in cervical spine lesions during endogenous neurological repair in CCSCI rats. Therapeutically, the introduction of Xist to rats increased neurological function *in vivo* as assayed using the Basso, Beattie, and Bresnahan (BBB) score and inclined plane test (IPT). We found that the introduction of Xist enhanced endogenous neurological repair by promoting angiogenesis and microvessel density after CCSCI, while depletion of Xist inhibited angiogenesis and cell sprouting and migration. Mechanistically, Xist promoted angiogenesis by sponging miR-32-5p and modulating Notch-1 expression both *in vitro* and *in vivo*. These findings suggest a role of the Xist/miR-32-5p/Notch-1 axis in endogenous repair and provide a potential molecular target for the treatment of ischemia-related central nervous system (CNS) diseases.

Keywords: endogenous neurological repair, chronic compressive spinal cord injury, angiogenesis, Xist, miR-32-5p, Notch-1

INTRODUCTION

Chronic compressive spinal cord injury (CCSCI) is one of the most common causes of non-traumatic incomplete spinal cord injury (SCI) in middle-aged and elderly patients (Tetreault et al., 2015). Compression-induced ischemia-hypoxia is an important pathophysiological change after CCSCI (Fehlings and Skaf, 1998; Moghaddamjou et al., 2020). In the clinic, some patients with serious CCSCI show no neurological symptoms, while those with mild CCSCI may suffer different levels of neurological impairment. It is known that endogenous neurological repair after incomplete SCI plays an important role in the variation of neurological symptoms among patients (Raineteau and Schwab, 2001; Rasmussen and Carlsen, 2016). Understanding the molecular mechanisms underlying endogenous neurological repair will facilitate the clinical treatment of CCSCI.

It has been reported that vascular events after SCI not only affect the progress of secondary tissue damage but also establish a new environment that may promote neural plasticity in the chronically injured spinal cord (Mautes et al., 2000; Wang et al., 2018). We have previously revealed that the extent of angiogenesis is related to neurological function recovery following chronic compressive SCI (Long et al., 2014; Cheng et al., 2015). Zou et al., reported that loss of proliferating NG2 + pericytes prevents intra-lesion angiogenesis and influences scar-forming processes, impairing endogenous repair after SCI in mice (Hesp et al., 2018). A therapy that uses intrathecal anti-Nogo-A antibodies is currently in clinical trials for SCI since it may improve vascular sprouting and repair and reduce neurological deficits (Zörner and Schwab, 2010; Rust et al., 2019). This evidence confirms that angiogenesis plays a key role in the progress of neurological repair after SCI, especially during the chronic injury phase. Many factors and pathways have been shown to be important in the regulation of angiogenesis (Casella et al., 2002; Kitamura et al., 2007; Acar et al., 2012; Yang et al., 2017; Ni et al., 2019). Notch-1 is a well-known factor that can alter the level of angiogenesis in various diseases including rheumatoid arthritis (Gao et al., 2012), cancer (Dos Santos et al., 2017; Jin et al., 2017), and psoriasis (Rooney et al., 2014) by regulating downstream genes.

Long non-coding RNAs (lncRNAs) have emerged as an important factor in multiple pathophysiological processes of central nervous system (CNS) diseases, such as angiogenesis, neurogenesis, and apoptosis (Chandran et al., 2017). For instance, the lncRNA CCAT2 promotes angiogenesis in glioma through the activation of VEGFA signaling by sponging miR-424 (Sun et al., 2020). The lncRNA MALAT-1 has been shown to have neuroprotective effects on spinal cord ischemic/reperfusion injury by regulating miR-204 (Qiao et al., 2018). lncRNAs have also been reported to participate in the regulation of stem cell self-renewal and differentiation in stroke-induced neurogenesis (Fan et al., 2020). Recent studies suggest that lncRNAs are important regulators of the pathophysiological processes of CNS diseases, especially those associated with ischemia; therefore, we are interested in the contribution of lncRNAs to endogenous neurological repair after CCSCI. Some research have proposed that the neurological functional recovery of female is often better than that of male after SCI in human and rodent studies (Sipski et al., 2004; Xiao et al., 2017). Interestingly, we identified a female specific lncRNA, X-inactive specific transcript (Xist), which showed differential expression during endogenous neurological repair in CCSCI rats. Bioinformatics analysis showed that Xist and Notch-1 (a well-known factor for angiogenesis) are direct targets of miR-32-5p. We found that Xist improved neurological function *in vivo* by promoting angiogenesis through the miR-32-5p/Notch-1 axis. We further investigated the function of the Xist/miR-32-5p/Notch-1 axis in angiogenesis during endogenous neurological repair and confirmed its role in tube formation and cell sprouting and migration *in vitro*. Our findings indicate that the Xist/miR-32-5p/Notch-1 axis may be a novel molecular target for endogenous repair in ischemia-associated CNS diseases.

MATERIALS AND METHODS

Animal Studies

All experimental procedures were approved by the Research Ethics Committee of Sun Yat-sen University, Guangzhou, China and conformed to all relevant regulatory standards. In total, 192 adult female Sprague-Dawley rats (weighing 250–300 g; aged 28–30 weeks) were randomly allocated to the Sham ($n = 25$) and chronic compressive spinal cord injury (CCSCI) ($n = 167$) groups. According to the study duration and treatments, CCSCI rats were randomly divided into the following groups: the 4-week CCSCI group (4W SCI; $n = 25$); the 8-week CCSCI group (8W SCI; $n = 43$); the 8-week CCSCI with Xist small interfering RNA group (8W SCI + siXist; $n = 31$); the 8-week CCSCI with NC Xist siRNA group (8W SCI + NC siXist; $n = 31$); the 8-week with DAPT (8W SCI + DAPT; $n = 8$); the 8-week with NC DAPT (8W SCI + NC; $n = 9$); the 8-week with agomiR-32-5p group (8W SCI + agomiR-32-5p; $n = 9$); and the 8-week with agomiR-32-5p and Xist group (8W SCI + agomiR-32-5p + Xist; $n = 11$). The rats were housed in groups of 4–5 per cage on a 12/12-h light/dark cycle with free access to food and water.

Intraperitoneal/Intravenous Injection and Gene Delivery

DAPT solution (1 $\mu\text{g}/\mu\text{L}$) was prepared by dissolving DAPT powder (MCE, United States) in 0.01 M phosphate-buffered saline (PBS) containing 5% dimethyl sulfoxide. For gene delivery, 10 μL recombinant lentivirus (GeneCopoeia, Guangzhou, China) was delivered to the rats using a microinjection syringe via intraperitoneal or intravenous injection 4 wpi. Solutions at 5×10^7 titer units (TU)/mL containing 50 μL negative control, siXist, or Xist lentivirus (3 $\mu\text{L}/\text{g}$ per rat), DAPT (1 mg/kg per rat) solution, and agomiR-32-5p (3.5 $\mu\text{L}/\text{g}$ per rat) were used. AgomiR-32-5p was synthesized by BGI (Shenzhen, China). MiR-32-5p mimics and inhibitors were purchased from Guangzhou Yeshan Biological Technology Co., Ltd. The sequences are shown in **Supplementary Table S1**. HUVECs were transfected with miR-32-5p mimics, inhibitors, or their parental negative controls using Lipofectamine® 2000 reagent according to the manufacturer's instructions (Invitrogen).

Chronic Compressive Spinal Cord Injury

Each rat in the sham and CCSCI groups was anesthetized with 10% chloral hydrate (300 mg/kg) (Guangzhou FISCLAB Environ. Sci-Tech. Co., Ltd., Guangzhou, China).

Following exposure of the spinal process and laminae of C4–C6 from the posterior, the ligamentum flavum and C5 lamina were removed to access the epidural space. In the SCI group, the polymer (1 \rightarrow 4)-3,6-anhydro- α -l-galactopyranosyl-(1 \rightarrow 3)- β -D-galactopyranan) sheet (1 mm \times 3 mm \times 1 mm) was implanted into the C6 epidural space on the dorsal part of the spinal cord. Spinal cord compression was achieved by expansion of the polymer caused by liquid absorption (Long et al., 2014; Cheng et al., 2015). This sheet can absorb liquid in the spinal canal to expand its volume sevenfold (around 2.3 mm \times 4.2 mm \times 2.2 mm) at the end (Kim et al., 2004;

Long et al., 2013). In the sham group, the C5 lamina was removed without insertion of the polymer. Following surgery, the incision was closed in layers with complete hemostasis. To prevent dehydration, animals received a subcutaneous (s.c.) injection of lactated Ringer's solution (200 μ L) immediately after surgery. All rats were administered an intramuscular injection of penicillin G (80 U/g) during surgery to prevent infection, and carprofen (4–5 mg/kg, Rimadyl, Pfizer) was injected subcutaneously 2 days post-surgery for further pain relief as needed. All surgeries were performed by the same experienced investigator.

Neurological Function Evaluation

To evaluate the recovery of neurological function after CCSCI, motor function was assessed using the Basso, Beattie, and Bresnahan (BBB) locomotor scale (Basso et al., 1995) and inclined plane test (IPT) (Chang et al., 2008) on day 1 post-injury (dpi) and 1, 2, 3, 4, 5, 6, 7, and 8 weeks post-injury (wpi). The range of BBB scores was between 0 and 21. A score of 0 reflects complete paralysis, whereas a score of 21 indicates normal locomotion. Lower scores (0–7) express isolated joint movements with little or no hindlimb movement; intermediate scores (8–13) express intervals of uncoordinated stepping; and higher scores (14–21) express forelimb and hindlimb coordination. For the IPT, rats were placed horizontally on a smooth tilt board. The angle of the board started from a horizontal position (0°) and increased by 5–10° after every attempt. The maximum angle at which the rats remained on the board for 10 s was recorded. BBB score raw data in the 8W SCI group were used to evaluate the recovery of animals at 8 wpi as compared with at 4 wpi [Recovery BBB score (SCI): BBB score (8 wpi) – BBB score (4 wpi); Recovery BBB score \geq SD of recovery BBB score (SCI) was defined as significantly recovered, and no recovery was defined as a recovery BBB score < SD of the recovery BBB score (SCI)]. The evaluation was conducted by two investigators blinded to the group assignments.

Micro-Computed Tomography

To assess the alteration in microvessel density in the lesion side of the cervical spinal cord, the cervical spinal cord of rats in different groups was subjected to micro-computed tomography (Micro-CT) (ZKKS-MCT-Sharp-II, Guangzhou Zhongkekaisheng Medical Technology Co., Ltd., Guangzhou, China) scanning after gelatin-lead oxide intracardiac injection. Animals were anesthetized with an overdose of 80–120 mg/kg intravenous sodium pentobarbital (Guangzhou Fischer Chemical Co., Ltd) and transcardially perfused using heparinized saline by adjusting the perfusion height (110 cm H₂O) and rate (20 mL/min). Subsequently, gelatin-lead oxide mixed liquor (medical gelatin 5 g, lead oxide 100 g, distilled water 100 mL) was continually infused into the aortic cannula by syringe (6–8 mL/min) for 5 min, until the viscera was completely red, to ensure full diffusion of the contrast medium. The cervical spinal cords were then carefully harvested and fixed overnight with 4% formaldehyde in phosphate-buffered solution. Prior to micro-CT scanning, the tissues surrounding the spine were carefully removed under a microscope. Three-dimensional reconstruction of each specimen was performed using the 3D-Med 4.3 software

(Guangzhou ZhongkeKaisheng Medical Technology Co., Ltd., Guangzhou, China) and the relative microvessel density of all specimens in each group was calculated.

Immunohistochemistry

In brief, rats in different groups were euthanized with an overdose of intravenous sodium pentobarbital and transcardially perfused with 0.9% saline followed by 4% paraformaldehyde in 0.1 M phosphate buffer (PFA). The C5–C7 spinal cord was harvested, fixed overnight with 4% formaldehyde in phosphate-buffered solution at 4°C, and embedded in paraffin. A series of 25- μ m thick spinal cord sections were used for immunohistochemical staining. Slides were placed in a plastic rack and vessel for microwave epitope retrieval. Sections were incubated overnight at 4°C with a rabbit polyclonal antibody against Notch-1 (1:200; ab8925, Abcam, Cambridge, United Kingdom), a mouse monoclonal antibody against HES-1 (1:50; sc-166410, Santa Cruz Biotechnology, Inc., United States), and a mouse monoclonal antibody against VEGFA (1:200; ab1316, Abcam, Cambridge, United Kingdom). Subsequently, the sections were sequentially incubated with a ready-to-use DAKO ChemMate EnVision™ kit (cat. no. K500711; Dako; Agilent Technologies, Inc., Santa Clara, CA, United States) for 30 min at room temperature. Images of each section of the perilesional spinal cord were taken at 20 \times , 200 \times , and 400 \times magnification using an XC30 camera mounted on an Olympus microscope (Olympus Corporation, Tokyo, Japan). Three random images at 200 \times magnification were captured for semi-quantitative analysis. The brown area in the sham spinal cord was analyzed and background density was excluded to create the standard. Subsequently, the integrated optical density (IOD) was calculated using the Image-Pro Plus 6.0 software (Media Cybernetics, Inc., Rockville, MD, United States).

In situ Hybridization

In situ hybridization (ISH) of HIF-1 α was performed using a commercial kit (Wuhan Boster Bio., Co., Ltd) to evaluate the level of hypoxia at 4 wpi and 8 wpi. Briefly, spinal cord sections (4- μ m) were dewaxed, dehydrated, and washed. Subsequently, endogenous peroxidase was blocked with 3% H₂O₂ and sections were pretreated with antigen retrieval using proteinase K (1 μ g/mL) in citromalic acid (1 mol/L) at 37°C for 2 h. After prehybridization, the sections were hybridized using HIF-1 α oligonucleotide probes (Wuhan Boster Bio., Co., Ltd) at 42°C overnight. The hybridization solution was removed by 2 \times saline sodium citrate (SSC) at 37°C for 10 min, 1 \times SSC twice at 37°C for 5 min, and 0.5 \times SSC for 10 min at room temperature. Sections were then incubated with blocking solution (5% BSA in 1% PBST) at room temperature for 1 h. Subsequently, sections were incubated for 1 h at 37°C with biotinylated mouse anti-digoxin (Wuhan Boster Bio., Co., Ltd) followed by the addition of SABC solution (Wuhan Boster Bio., Co., Ltd) at 37°C for 20 min. A DAB chromogenic substrate kit was used and color development was controlled under a microscope within 30 minutes. Section were counterstained with Mayor's hematoxylin and dehydrated. Images of each section of the spinal cord were taken at 100 \times and 400 \times magnification using an XC30 camera mounted on an Olympus microscope (Olympus

Corporation, Tokyo, Japan). The cytoplasm staining of positive cells is brownish yellow.

Cell Culture

For *in vitro* experiments, human umbilical vein endothelial cells (HUVECs) and human embryonic kidney (HEK) 293T cells were purchased from the American Type Culture Collection (Manassas, VA, United States). Cells were cultured in Endothelial Cell Growth Medium (Merck, Darmstadt, Germany) supplemented with 10% heat-inactivated fetal bovine serum (FBS; Thermo Fisher Scientific, 10500-064) and 1% penicillin/streptomycin (Sigma, St. Louis, MO, United States). HEK-293T cells were cultured in Dulbecco's Modified Eagle Medium (DMEM; Gibco, Grand Island, NY, United States) supplemented with 10% FBS. HUVECs were seeded at an appropriate number on an appropriate size of culture flask (Sarstedt AG & Co., Nümbrecht, Germany). Cells were maintained in an incubator filled with 95% air and 5% CO₂ at 37°C. To simulate the hypoxic-ischemic conditions caused by CCSCI, HUVECs were incubated in a hypoxic incubator filled with 94% N₂, 5% CO₂, and 1% O₂ at 37°C, as previously described (Hu et al., 2019). There were 9 groups for *in vitro* experiments as follows: Control; Hypoxia; Hypoxia transfected with NC Xist siRNA (H + NC siXist); Hypoxia transfected with Xist siRNA (H + siXist); Hypoxia transfected with NC miR-32-5p (H + NC miR-32-5p); Hypoxia transfected with miR-32-5p mimics (H + miR-32-5p mimics); Hypoxia transfected with miR-32-5p mimics and Xist (H + miR-32-5p mimics + Xist); Hypoxia with DAPT treatment (H + DAPT); and Hypoxia with DAPT treatment and transfected with miR-32-5p inhibitors (H + DAPT + miR-32-5p inhibitors).

Tube Formation Assay

To evaluate the angiogenic capacity *in vitro*, HUVECs were harvested and seeded on Matrigel-coated 48-well plates (BD Biosciences) at a density of 2×10^4 /well and incubated under different conditions (normal and hypoxia). Following different treatments for 48 h, tube formation was visualized and photographed using a phase contrast inverted microscope at 100 \times magnification. Quantitation of the number of tubules was performed using the Image J software with the Angiogenesis Analyzer plugin16.

Sprouting Assay

To evaluate the sprouting capacity, a modified assay was performed as described previously (Hu et al., 2019). HUVEC monolayers were digested with 0.25% trypsin and seeded on a prepared 6-well plate in M199 medium containing 10% FBS. HUVECs were grown in clumps as observed under a microscope, following which the cell clusters were incubated with VEGF gel on a 24-well plate under different conditions. After 48 h, the total number of sprouts on the 5 cell clusters per well in each group was recorded and averaged.

Wound Healing Assay

To evaluate the migration capacity, the bottom of plates containing HUVECs at 90% confluence was scratched with a

1000- μ L pipette tip to create a linear region void of cells. The media was then removed and the cells were washed with PBS, subjected to the appropriate treatment, and incubated under different conditions. Images of the denuded zone were recorded using an inverted microscope (Olympus, Japan) at 0 and 48 h. Data are representative of three individual experiments.

Real-Time Quantitative Polymerase Chain Reaction

Total RNA was extracted from the cervical spinal cord and HUVECs using TRIzol[®] reagent (Invitrogen, Carlsbad, CA, United States) according to the manufacturer's protocol. The purity of the RNA was determined and reverse transcription (PrimeScript[™] RT reagent Kit) and real-time quantitative PCR (SYBR Premix Ex Taq II) were performed following the kit instructions (Takara Biotechnology Co., Ltd., China). The detailed primer sequences used in RT-qPCR are exhibited in **Supplementary Table S2**. Threshold cycle (CT) values were recorded and the relative expression of target genes was calculated using the equation $2^{-\Delta\Delta Ct}$ (Hu et al., 2019).

Luciferase Reporter Assay

The Xist fragment containing the putative miR-32-5p binding site was cloned into the pmirGlo vector (Promega, United States) and named WT Xist. Mutation of the putative miR-32-5p target sequence in the 3'-UTR of Xist was generated using a site-directed gene mutagenesis kit (Takara) and named MUT Xist. HEK-293T cells were co-transfected separately with the two constructs or empty vector and miR-32-5p mimics or inhibitors. For miR-32-5p and Notch-1 interaction, the putative and mutated miR-32-5p target binding sequences in Notch-1 were synthesized and cells were co-transfected with the WT or MUT Notch-1 reporter gene plasmid or pmirGlo plasmids using Lipofectamine[®] 2000 (Invitrogen). At 48 h post-transfection, cells were harvested and the firefly luciferase activity was measured using a dual-luciferase reporter assay system (Promega) and normalized to Renilla luciferase activity (Jin et al., 2018; Hu et al., 2019).

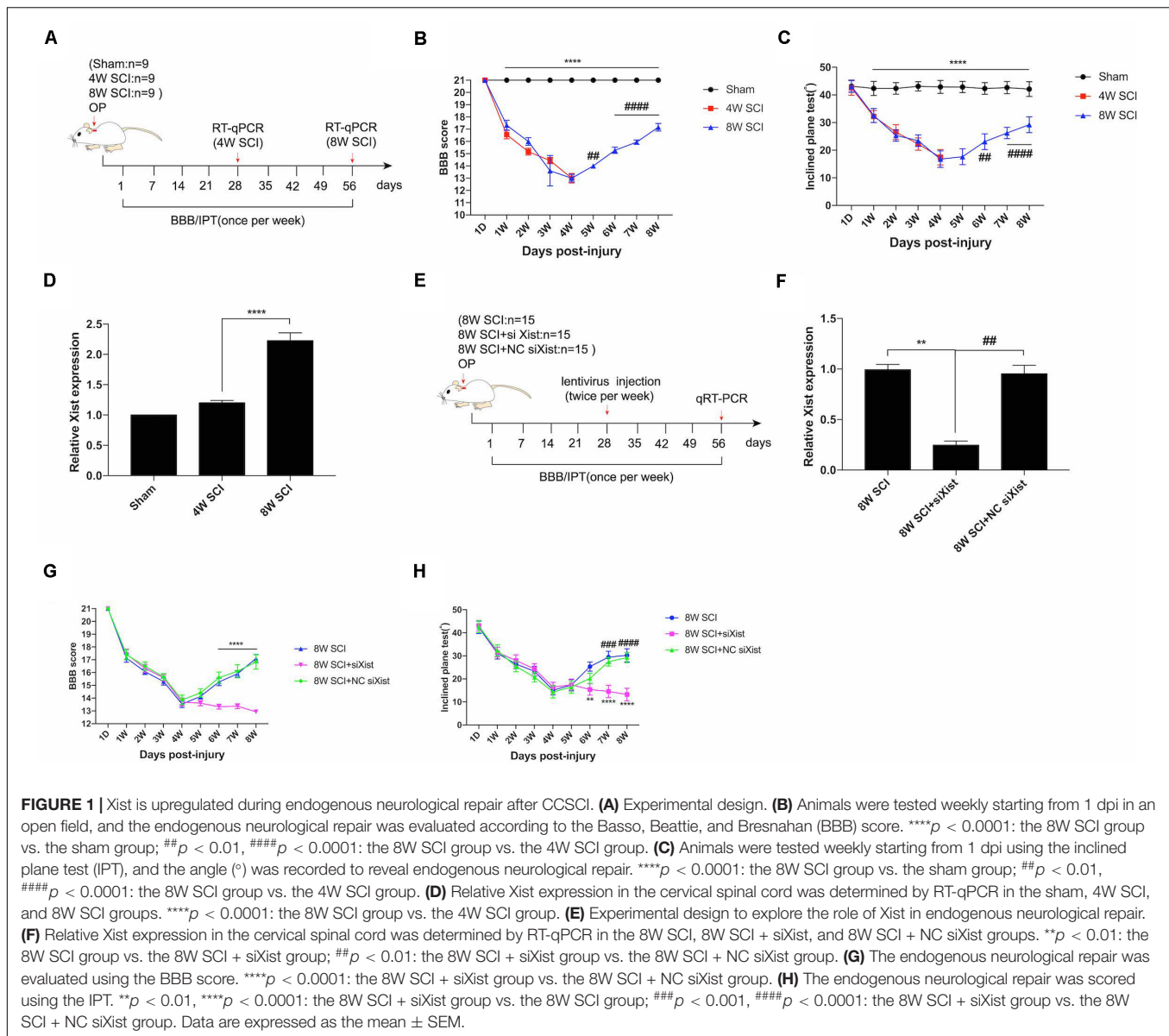
Statistical Analysis

All data are expressed as the mean \pm standard error of the mean (SEM). Statistical tests were carried out using the GraphPad Prism 7.0 software (San Diego, CA, United States). Motor function recovery results were analyzed by repeated measures analysis of variance (ANOVA) to reveal overall group differences and significant changes over time. A Student's *t*-test was performed for comparisons between two groups, and one-way analysis of variance followed by Tukey's *post hoc* test was used for comparisons among multiple groups. Statistical significance was set at $p < 0.05$.

RESULTS

Xist Promotes Endogenous Neurological Repair After CCSCI

Following incomplete chronic compressive spinal cord injury (CCSCI), endogenous neurological repair occurs in a sizable



number of patients; similar results have also been observed in animal models (Rosenzweig et al., 2010; Hilton et al., 2016; Rasmussen and Carlsen, 2016). Here, we used the Basso, Beattie, and Bresnahan (BBB) score and inclined plane test (IPT) to evaluate the changes in neurological function in a rat model of CCSCI. In our model, over 80% of rats significantly recovered from 4 wpi to 8 wpi (Supplementary Figure S1). Functional assays showed that the motor function gradually decreased from 1 wpi to 4 wpi and recovered from 4 wpi to 8 wpi (Figures 1A–C). The improvement in BBB and IPT scores at 8 wpi revealed that endogenous neurological repair was achieved. Interestingly, we found that the lncRNA Xist was markedly upregulated at 8 wpi (Figure 1D). To understand the role of Xist in endogenous neurological repair, we introduced siXist or its NC into CCSCI rats (Figure 1E) and examined the depletion effect using RT-qPCR (Figure 1F). As shown in Figures 1G,H, silencing

Xist inhibited endogenous neurological repair. The neurological function, as indicated by the BBB and IPT scores, was not recovered from 4 wpi to 8 wpi following Xist depletion, indicating that Xist contributes to endogenous neurological repair.

Xist Promotes Angiogenesis After CCSCI *in vivo*

It has been reported that angiogenesis is an important process for endogenous spinal cord repair (Casella et al., 2002). To explore the biological changes after CCSCI and the effects of Xist on endogenous neurological repair, we measured angiogenesis after CCSCI using micro-CT (Figure 2A) and vascular endothelial growth factor (VEGF) staining (Supplementary Figure S4A) for different interventions. The compression material was clearly shown on CT, verifying spinal cord compression (Figure 2C).

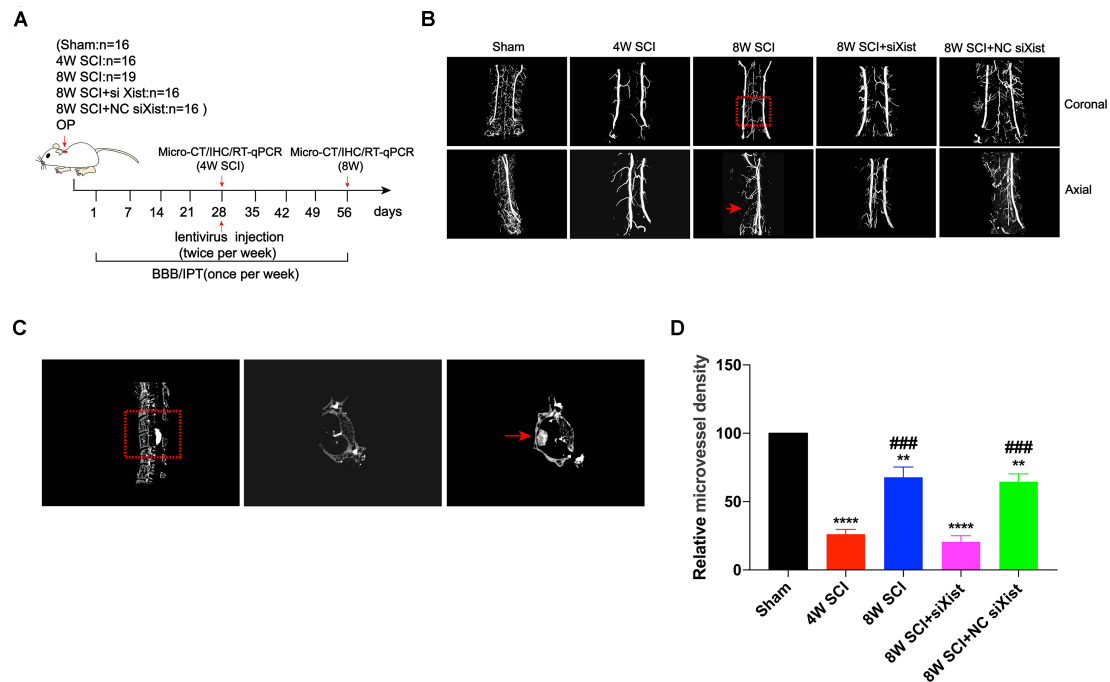


FIGURE 2 | Xist knockdown inhibits angiogenesis after CCSCI. **(A)** Experimental design. **(B)** Three-dimensional reconstruction and representative micro-CT images of microvessels of the cervical spinal cord in the sham and SCI groups. The red arrow indicates the compression site of the cervical spinal cord. **(C)** Representative sagittal and transverse CT images of the resected lamina of C5 and the compression material, respectively (red arrow). **(D)** Angiogenesis was evaluated by quantitation of the relative microvessel density. ** $p < 0.01$, **** $p < 0.0001$ as compared with the sham group; ### $p < 0.001$ as compared with the 4W SCI group. Data are expressed as the mean \pm SEM.

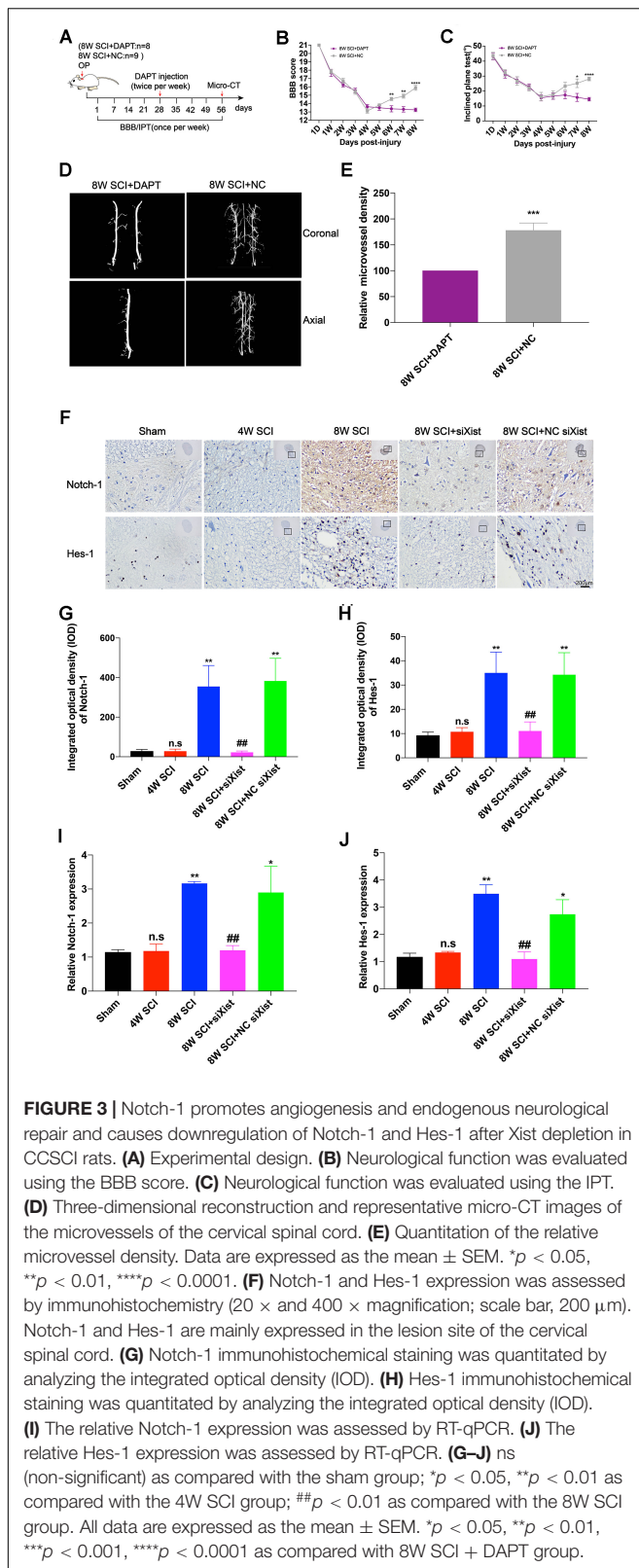
After three-dimensional reconstruction of cervical spinal cord vessels, we found that the relative microvessel density was significantly decreased at 4 wpi (**Figure 2D**). The poor microvessel density of the cervical spinal cord indicated that a severe ischemia-hypoxia phase may occur at 4 wpi, as detected by assessing the expression level of HIF-1 α (**Supplementary Figure S2**). These results are consistent with those reported in our previous study (Cheng et al., 2019). However, the relative microvessel density of the spinal cord was ameliorated at 8 wpi, accompanied by an improvement in motor function (**Supplementary Figure S3**). Knocking down the expression of Xist significantly decreased the microvessel density of the spinal cord as compared with the control or NC siXist at 8 wpi. No difference was seen at 4 wpi (**Figures 2B,D**). In addition, using VEGF as a marker of angiogenesis (Leung et al., 1989; Zeng et al., 2005), we found that depletion of Xist also significantly decreased VEGF expression (**Supplementary Figure S4B**). Taken together, these data indicate that the upregulation of Xist can promote angiogenesis and further improve endogenous neurological repair and motor function.

Notch-1 and Hes-1 Expression Are Downregulated After Xist Knockdown in CCSCI

It has been reported that Notch-1 is an important regulatory factor of angiogenesis (Gao et al., 2012). We confirmed that

Notch-1 promoted angiogenesis and endogenous neurological repair after CCSCI by applying DAPT, a γ -secretase inhibitor, to deactivate the Notch-1/Hes-1 signaling pathway (**Figure 3A**). **Figures 3B,C** clearly show that neurological function was inhibited following injection of DAPT since endogenous neurological repair was not achieved at 8 wpi as compared with the control group. Further, we evaluated the alteration in microvessel density by micro-CT and found an increase in the control group at 8 wpi as expected (**Figure 3D**). On the contrary, following DAPT treatment, the relative microvessel density was significantly reduced as compared with the control group (**Figure 3E**). Moreover, VEGF immunostaining revealed that DAPT treatment decreased angiogenesis at 8 wpi following CCSCI (**Supplementary Figure S5**). These results confirm that Notch-1 plays a positive role in angiogenesis and endogenous neurological repair following CCSCI.

To elucidate whether Xist promotes angiogenesis through the Notch-1 pathway, we further detected the expression of Notch-1 and its downstream gene Hes-1 during CCSCI by immunohistochemistry following depletion of Xist (**Figure 3F**). We found that Notch-1 and Hes-1 expression in the cervical spinal cord were increased at 8 wpi in the 8W SCI group but significantly decreased following Xist depletion (**Figures 3G–J**). In addition, both Notch-1 and Hes-1 were mainly expressed in the compressive lesion site of the cervical spinal cord (**Figure 3F**). Similarly, RT-qPCR showed that the expression levels of Notch-1 and Hes-1 mRNA were significantly decreased



after Xist depletion as compared with the 8W SCI and 8W SCI + NC siXist groups. The mRNA expression levels of

Notch-1 and Hes-1 were no different between the 4W SCI and 8W SCI + siXist groups. These results indicate that Xist may promote angiogenesis and endogenous neurological repair by moderating Notch-1.

Xist and Notch-1 Are Direct Targets of miR-32-5p

To further explore the relationship between Xist and Notch-1, we identified both Xist and Notch-1 as target genes of miR-32-5p by bioinformatics analysis (**Figures 4A,B**). MiR-32-5p has been reported to play a role in various biological processes such as mediating neuroinflammation and neuropathic pain and promoting cell proliferation. In addition, we observed that miR-32-5p overexpression was increased at 4 wpi and significantly decreased at 8 wpi following CCSCI (**Figure 4C**). Depletion of Xist upregulated the expression of miR-32-5p as compared with the control group (**Figure 4D**), suggesting a possible interaction between Xist and miR-32-5p. To further validate the regulatory relationship between Xist, Notch-1, and miR-32-5p, we created wild-type and mutant dual-luciferase Xist and Notch-1 constructs and expressed them in HEK-293T cells (**Figures 4E,F**). The dual-luciferase reporter assay showed that miR-32-5p mimics suppressed the luciferase activity of wild-type Xist but had no effect on the luciferase activity of mutant Xist (**Figure 4G**). Similarly, miR-32-5p inhibitors increased the luciferase activity of wild-type Xist but had no effect on the luciferase activity of mutant Xist (**Figure 4H**). Moreover, co-transfection of miR-32-5p mimics and the luciferase vector containing wild-type Notch-1 downregulated the relative luciferase activity in HEK-293T cells (**Figure 4I**), while co-transfection of miR-32-5p inhibitors and the luciferase vector containing wild-type Notch-1 increased the relative luciferase activity in HEK-293T cells (**Figure 4J**). These results show that Xist and Notch-1 are direct targets of miR-32-5p *in vitro*.

Overexpression of miR-32-5p Inhibits Endogenous Neurological Repair and Angiogenesis After CCSCI

To explore the function of miR-32-5p and its interaction with Xist *in vivo*, we introduced agomiR-32-5p or agomiR-32-5p and Xist into rats at 4 wpi following CCSCI (**Figure 5A**). We found that rats exposed to agomiR-32-5p showed almost no endogenous neurological repair, while those exposed to agomiR-32-5p and Xist showed an improvement in neurological function (**Figures 5B,C**). The difference in miR-32-5p expression at 8 wpi was confirmed by RT-qPCR (**Figure 5D**).

We further investigated angiogenesis at 8 wpi after CCSCI by micro-CT (**Figures 5E,F**) and VEGF immunostaining (**Supplementary Figure S6A**). **Figure 5E** show that the overexpression of miR-32-5p significantly decreased angiogenesis in CCSCI rats; however, the overexpression of miR-32-5p and Xist had no effect on angiogenesis. Furthermore, the overexpression of miR-32-5p inhibited VEGF expression, while the overexpression of miR-32-5p and Xist significantly promoted VEGF expression at 8

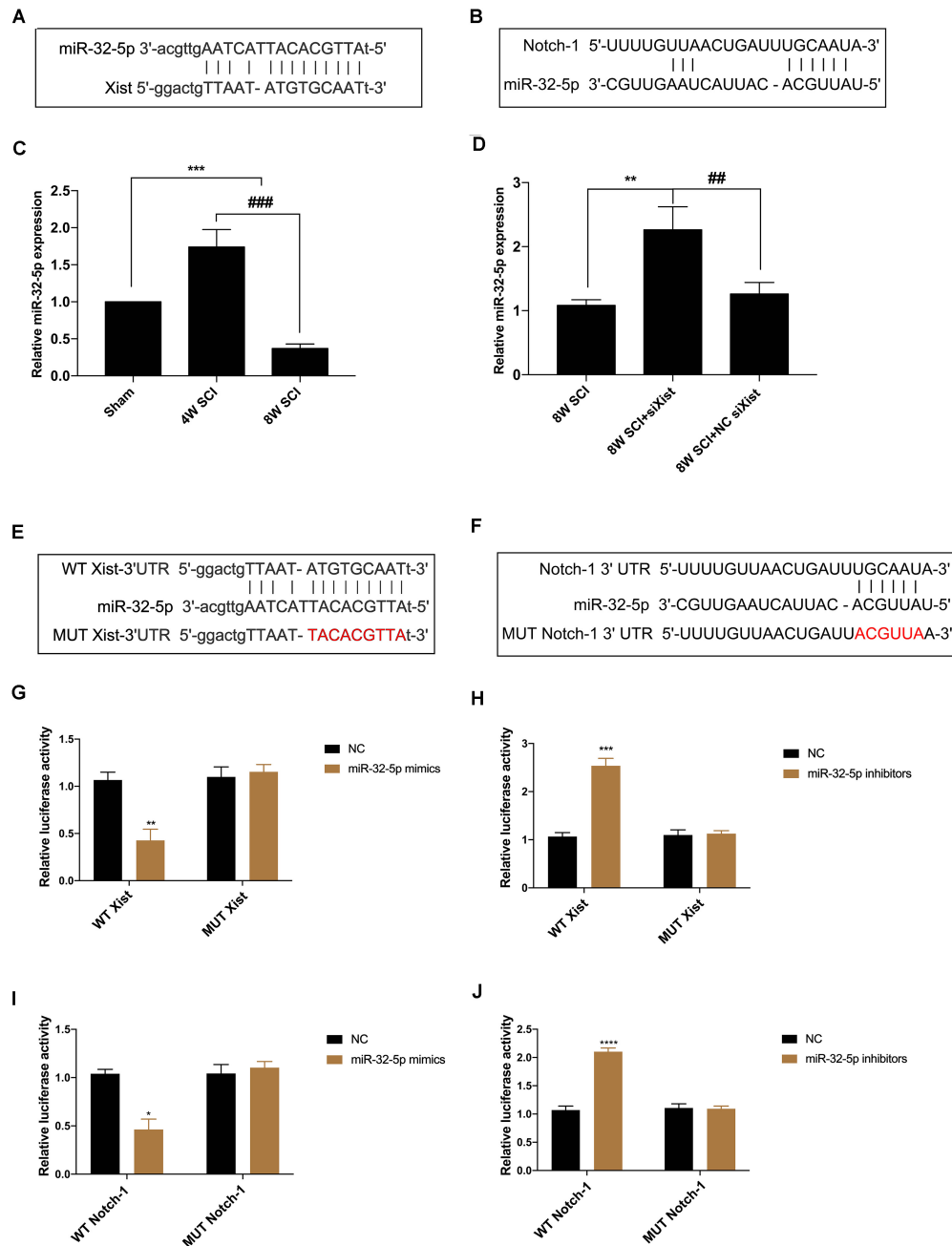


FIGURE 4 | Xist and Notch-1 are direct targets of miR-32-5p. **(A)** Binding regions between miR-32-5p and Xist. Bioinformatics analysis (ChipBase, LncRNAdb, and StarBase) was used to predict the target microRNA regulated by Xist. **(B)** Binding regions between Notch-1 and miR-32-5p. Bioinformatics analysis (TargetScan, Starbase, miRanda, and miRDB) was used to predict the putative target of miR-32-5p. **(C)** Quantitation of the relative miR-32-5p expression in the sham, 4W SCI, and 8W SCI groups by RT-qPCR. **(D)** Quantitation of the relative miR-32-5p expression in the 8W SCI, 8W SCI + siXist, and 8W SCI + NC siXist groups by RT-qPCR. **(E)** Dual-luciferase reporter constructs containing the wild-type (WT Xist) or mutant (MUT Xist) Xist sequence. **(F)** Dual-luciferase reporter constructs containing the wild-type (WT Notch-1) or mutant (MUT Notch-1) Notch-1 sequence. **(G)** Dual-luciferase reporter assay of miR-32-5p and Xist following transfection of miR-32-5p mimics. HEK-293T cells were co-transfected with the miR-32-5p mimics or corresponding NC and the dual-luciferase vector containing WT Xist or MUT Xist and incubated for 48 h. **(H)** Dual-luciferase reporter assay of miR-32-5p and Xist following transfection with miR-32-5p inhibitors. HEK-293T cells were co-transfected with the miR-32-5p inhibitors or the corresponding NC and the dual-luciferase vector containing WT Xist or MUT Xist. **(I)** Dual-luciferase reporter assay of Notch-1 and miR-32-5p following transfection of miR-32-5p mimics. HEK-293T cells were co-transfected with the miR-32-5p mimics or the corresponding NC and the dual-luciferase vector containing WT Notch-1 or MUT Notch-1 and incubated for 48 h. **(J)** Dual-luciferase reporter assay of miR-32-5p and Xist following transfection with miR-32-5p inhibitors. HEK-293T cells were co-transfected with miR-32-5p inhibitors or the corresponding NC and the dual-luciferase vector containing WT Xist or MUT Xist. Three independent experiments were carried out. * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$; # $p < 0.01$, ### $p < 0.001$. Data are expressed as the mean \pm SEM.

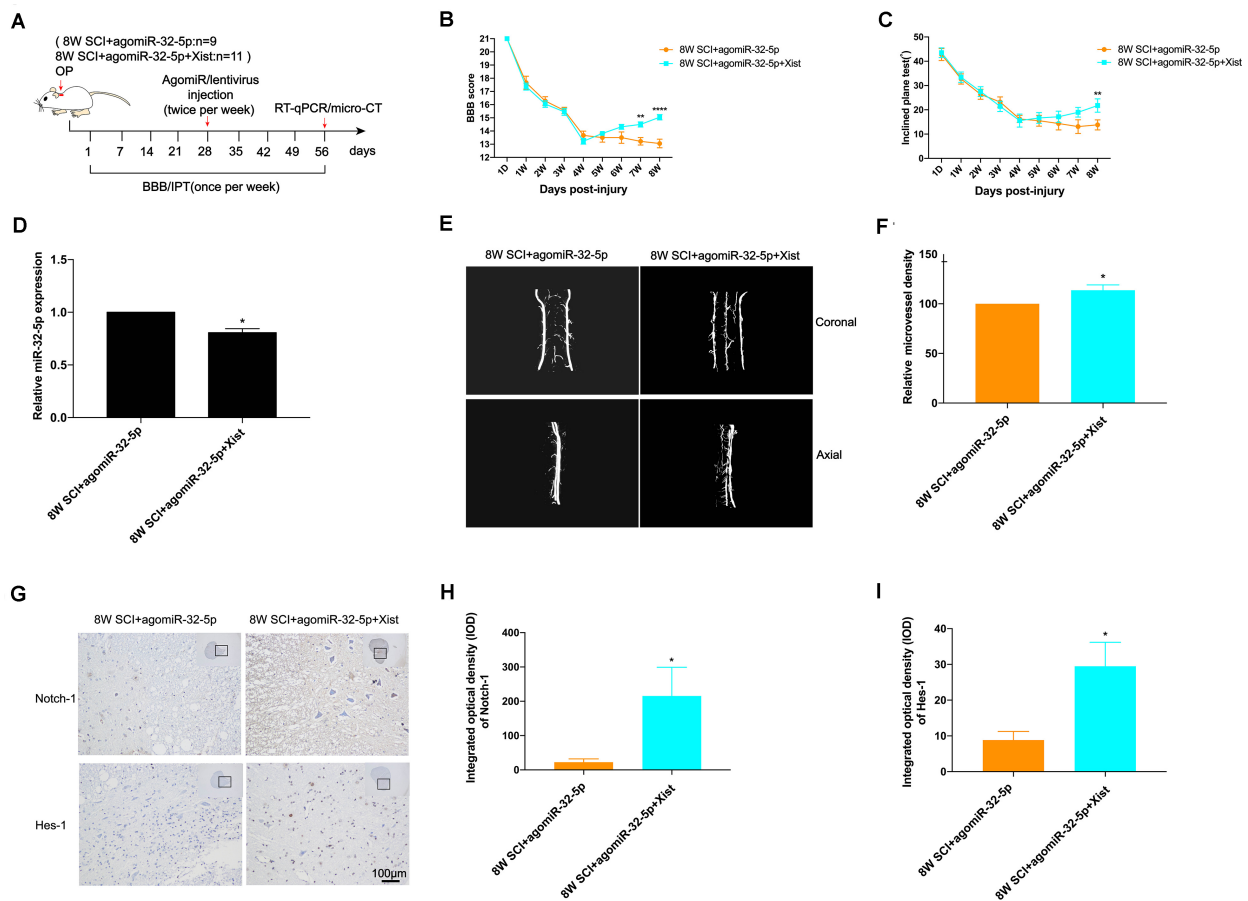


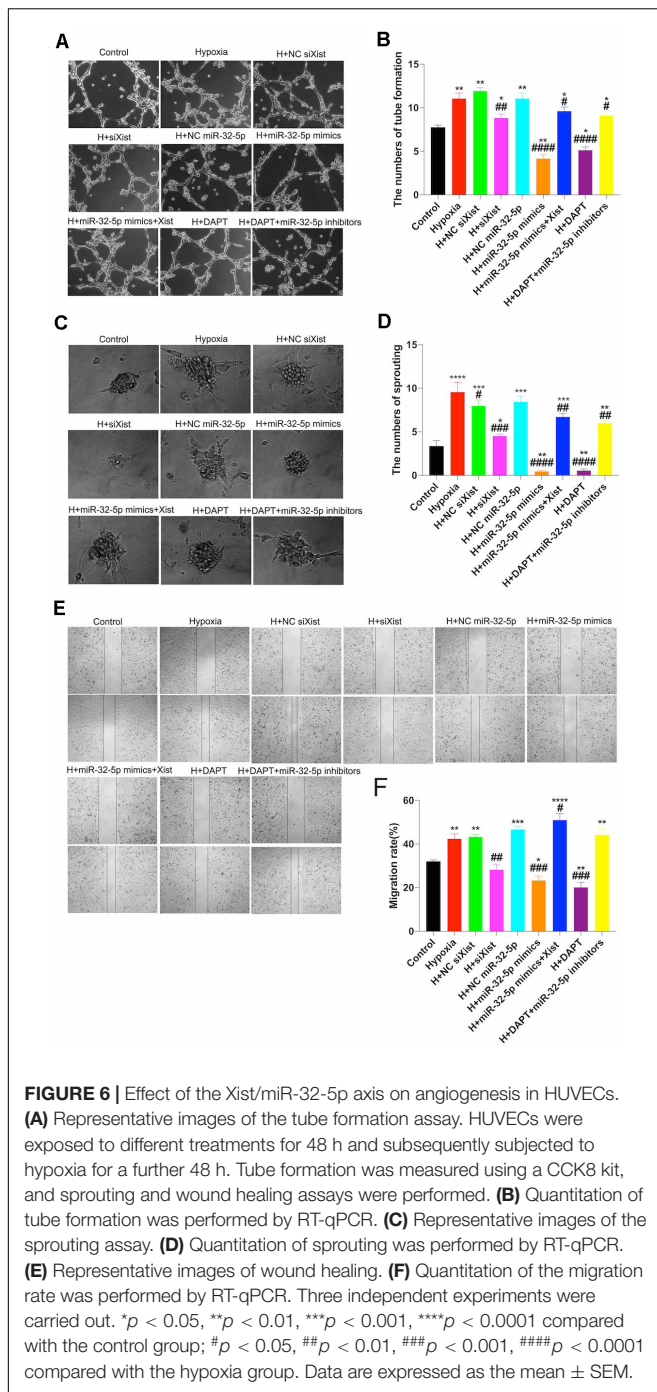
FIGURE 5 | Overexpression of miR-32-5p inhibits endogenous neurological repair and angiogenesis after CCSCI by decreasing Notch-1. **(A)** Experimental design. **(B)** Neurological function was evaluated by the BBB score. **(C)** Neurological function was evaluated by the IPT. **(D)** Quantitation of the relative miR-32-5p expression. **(E)** Three-dimensional reconstruction and representative micro-CT images of the microvessels of the cervical spinal cord. **(F)** Quantitation of the relative microvessel density. **(G)** Notch-1 and Hes-1 expression was assessed by immunohistochemistry (20 × and 200 × magnification; scale bar, 100 μm). **(H)** Notch-1 immunohistochemical staining was quantitated by analyzing the integrated optical density (IOD). **(I)** Hes-1 immunohistochemical staining was quantitated by analyzing the integrated optical density (IOD). **p* < 0.05, ***p* < 0.01, *****p* < 0.0001. Data are expressed as the mean ± SEM.

wpi (Supplementary Figure S6B). These data suggest that the overexpression of miR-32-5p can inhibit endogenous neurological repair and angiogenesis after CCSCI. Furthermore, we detected the expression levels of Notch-1 and Hes-1 by immunohistochemical staining and observed downregulation in the miR-32-5p group as compared with the miR-32-5p + Xist group (Figures 5G–I). These results demonstrate that miR-32-5p plays a role in endogenous neurological repair and angiogenesis through repression of the Notch-1 pathway by interacting with Xist.

Xist Promotes Angiogenesis by Regulating the miR-32-5p/Notch-1 Axis *in vitro*

To further understand the regulatory mechanism of the Xist/miR-32-5p/Notch-1 axis, we designed further experiments in cultured HUVECs under normal and hypoxic conditions to simulate the ischemic-hypoxic phase and evaluate tube formation, sprouting, and wound healing (Figures 6A,C,E).

Similar to the *in vivo* experiments, we transfected HUVECs with siXist to downregulate the expression level of Xist, and with miR-32-5p mimics or inhibitors to alter the expression level of miR-32-5p. Moreover, we also used DAPT to inhibit the Notch-1 signal pathway *in vitro*. Figure 6 clearly shows that tube formation, sprouting number, and migration rate were increased after 48 h under hypoxic conditions, which is consistent with the results that angiogenesis started at 4 wpi in CCSCI rats. In addition, in comparison with the other groups under hypoxic conditions, HUVECs transfected with siXist or miR-32-5p or treated with DAPT displayed significantly less tube formation and sprouting and a lower migration rate. However, not only did we find that co-transfection with miR-32-5p and Xist significantly promoted angiogenesis in HUVECs but also treatment with DAPT and downregulation of miR-32-5p increased angiogenesis under hypoxic conditions. These results indicate that overexpression of miR-32-5p negatively regulates HUVEC angiogenesis, and inversely, upregulation of Xist and Notch-1 promotes angiogenesis under hypoxic conditions (Figures 6B,D,F).



In addition, we confirmed that Xist expression was upregulated under hypoxic conditions (Figure 7A). Further, we detected Notch-1 and Hes-1 expression by RT-qPCR to explore whether alteration in the Xist/miR-32-5p axis regulates HUVEC angiogenesis via the Notch-1 pathway. In accordance with the Notch-1 expression pattern in CCSCI rats, Notch-1 (Figure 7B) and Hes-1 (Figure 7C) expression levels were higher in the hypoxia groups (Hypoxia; H + NC siXist; H + NC miR-32-5p; H + miR-32-5p mimics + Xist, and H + DAPT + miR-32-5p

inhibitors) as compared with the normoxia groups. However, cells transfected with siXist or miR-32-5p mimics or treated with DAPT showed lower Notch-1 and Hes-1 expression levels than the hypoxia group (Figures 7B,C). These results further confirm that miR-32-5p can repress Notch-1 expression *in vitro*. Collectively, our data imply that lncRNA Xist is involved in endogenous neurological repair and angiogenesis by sponging miR-32-5p, which negatively regulates the expression of Notch-1 (Figures 7D,E).

DISCUSSION

The present study clearly demonstrates that Xist promoted endogenous neurological repair and angiogenesis after CCSCI. The functional impact of Xist may be through Notch-1, which plays a positive role in enhancing angiogenesis during endogenous neurological repair. Notch-1 and its downstream gene Hes-1 were decreased after depletion of Xist both *in vivo* and *in vitro*. Mechanistically, we found that Xist may act as a competing endogenous RNA (ceRNA) to repress the expression of miR-32-5p. We show that miR-32-5p directly targets Notch-1 to suppress its expression, leading to a reduction in angiogenesis and recessed endogenous neurological repair *in vivo*. In addition, overexpression of Xist sponged miR-32-5p and decreased its expression, improving endogenous neurological repair. Finally, we demonstrate that Xist promoted angiogenesis by regulating the miR-32-5p/Notch-1 axis.

For half a century, Xist has been known as a regulator that transcriptionally silences one of the X chromosomes in mammalian females to achieve dosage compensation. It is surprising to find that Xist can also promote endogenous neurological repair and angiogenesis after CCSCI. However, a significant difference in neuronal recovery between genders has been observed in both patients and animal models. In the last decade, the potential clinical relevance of gender to clinical and neurological outcomes after SCI has attracted much attention (Furlan et al., 2005, 2019a,b; Kay et al., 2010; Chan et al., 2013). With respect to the effects of different genders on locomotor recovery, Datto et al. (2015) reported significant differences in female rats as early as 4 weeks post-SCI, which remained significant at 13 weeks post-SCI. Similarly, others have proposed that the recovery of neurological function in females is often better than in males after SCI in both human and rodent studies (Sipski et al., 2004; Xiao et al., 2017). However, the underlying molecular mechanism for the gender difference in neurological function recovery has not yet been elucidated. In combination with previous observations from clinical and rodent studies, our findings provide new insight to explain the gender differences regarding endogenous neurological repair after CCSCI.

We propose that Xist contributes to endogenous spinal cord repair through the regulation of Notch-1 by competing for miR-32-5p. We identified that Xist and Notch-1 are targets of miR-32-5p by bioinformatics prediction and validated the regression effect of miR-32-5p using *in vitro* experiments. We found that miR-32-5p overexpression can decrease Notch-1, and co-transfection with Xist can offset the negative

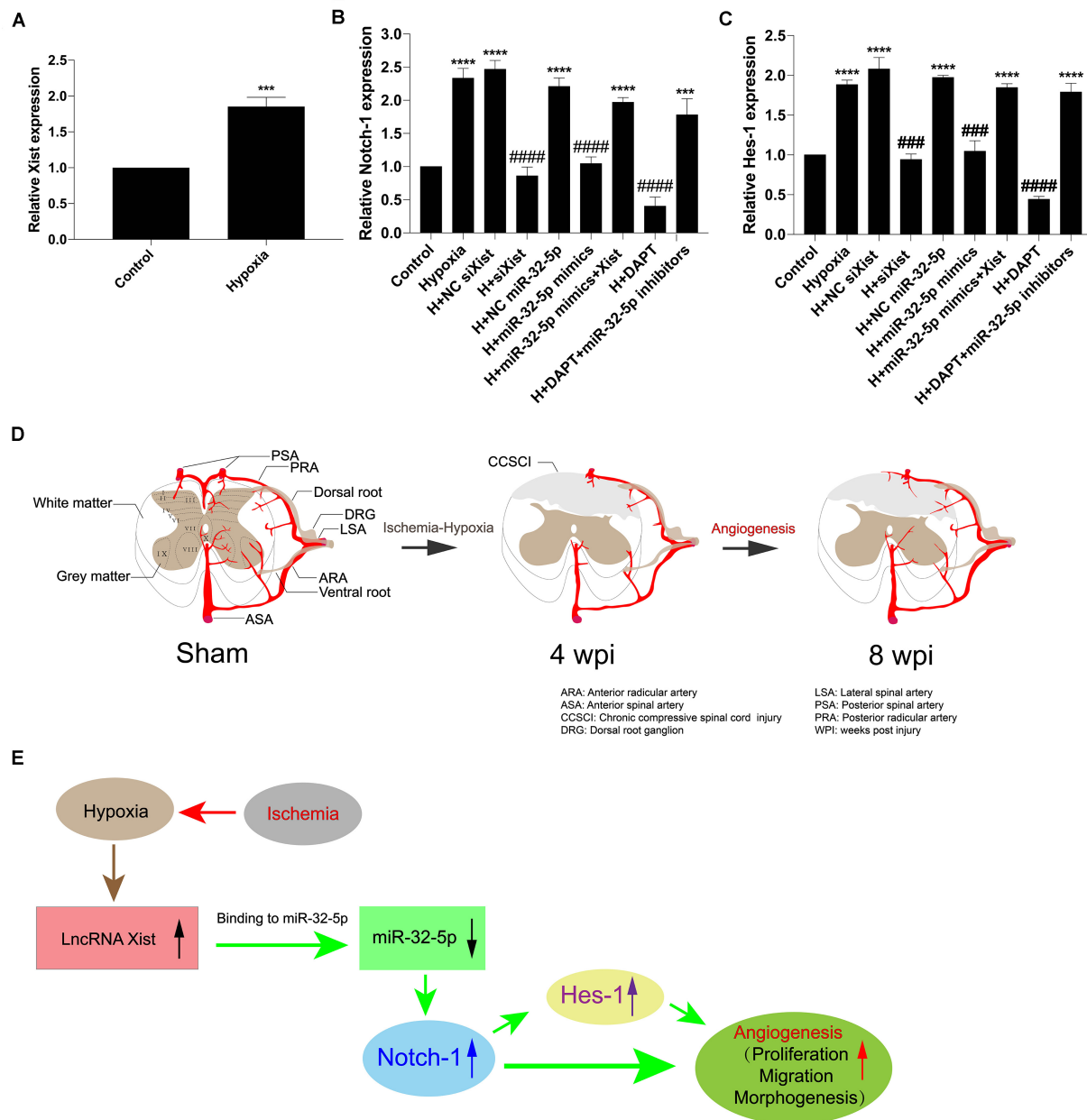


FIGURE 7 | The Xist/miR-32-5p axis exerts its role in angiogenesis by regulating Notch-1 expression. **(A)** The expression of Xist in HUVECs under hypoxic condition was quantitated by RT-qPCR. **(B)** HUVECs were exposed to different treatments. The expression of Notch-1 in HUVECs following transfection was quantitated by RT-qPCR. **(C)** The expression of Hes-1 following transfection was quantitated by RT-qPCR. Three independent experiments were carried out. *** $p < 0.001$, **** $p < 0.0001$ compared with the control group; ### $p < 0.001$, #### $p < 0.0001$ compared with the hypoxia group. Data are expressed as the mean \pm SEM. **(D)** Diagram of the angiogenesis process after CCSCI. **(E)** The hypothetical function of Xist in hypoxia-induced angiogenesis in the current study.

biological effects resulting from overexpression of miR-32-5p. Canonically, Xist is localized in the nucleus, while miRNAs are typically found in the cytoplasm. One mystery remains: the location at which Xist sponges miR-32-5p. Many studies have shown that Xist can act as an miRNA sponge in other diseases: Wei et al. (2018) suggested that Xist contributes to neuropathic pain development by sponging miR-154-5p in rats with chronic constriction injury; Cheng et al.

(2017) reported that Xist can promote glioma angiogenesis by acting as a molecular sponge of miR-429; Yu et al. (2017) showed that depletion of Xist is able to inhibit glioma angiogenesis and increase blood-tumor barrier permeability by targeting miR-137; and Hu et al. (2019) suggested that Xist participates in hypoxia-induced angiogenesis in human brain microvascular endothelial cells by regulating the miR-485/SOX7 axis. According to this evidence, we hypothesized that Xist may

be released from the nucleus and act as a sponge for miR-32-5p in our scenario. Xist has indeed been shown to be present throughout the cytoplasm by RNA-FISH and other techniques (Cabili et al., 2015; Carlevaro-Fita et al., 2016). Taken together, these data indicate that Xist plays an important role in the pathophysiology of ischemia-related diseases with respect to its basic molecular regulatory function.

In our previous studies, we have reported that angiogenesis is related to the recovery of neurological function (Long et al., 2014; Cheng et al., 2015). Here, we confirm that angiogenesis serves as a beneficial factor to improve neurological function after CCSCI, which is supported by many other published studies. For instance, the ubiquitously transcribed tetratricopeptide repeat on chromosome X (UTX)/lysine demethylase 6A (KDM6A) deletion in a mouse SCI model has been shown to promote neurological function by epigenetically regulating vascular regeneration (Ni et al., 2019). Moreover, hepatocyte growth factor was demonstrated to enhance endogenous repair and functional recovery in a rat SCI model by promoting neuron and oligodendrocyte survival, angiogenesis, and axonal regrowth (Kitamura et al., 2007). In the present study, we further found that Xist overexpression can improve neurological endogenous repair by promoting endogenous angiogenesis after CCSCI. Activation of the Notch-1 pathway, an important regulator of angiogenesis, is necessary for the process of endogenous neurological repair.

The current study also has several limitations. First, intraperitoneal/intravenous injection has been applied in nervous system studies as a systemic delivery method (Machida et al., 2013; Njoo et al., 2014). We also revealed that Xist expression was inhibited after Xist knockdown via systemic delivery methods. Intrathecal injection has shown benefits over other systemic delivery routes. These benefits include more specific spinal modulation, which permits reduced dosages and limits side effects. Technically, whether an intraperitoneal/intravenous delivery method can also result in side effects in other systems remains unclear. The second limitation is that ischemia-hypoxia changes were observed after CCSCI. However, more experiments are needed to further illuminate how hypoxia induces the upregulation of Xist after CCSCI. Thirdly, it remains unclear whether a different direction of the implant in the spinal cord may lead to compressive injury in a different spinal cord area or tract, thus resulting in different motor performance.

CONCLUSION

The present study indicates a positive role for the lncRNA Xist in endogenous spinal cord repair following CCSCI. We

show that Xist can promote endogenous repair and facilitate angiogenesis by acting as an miR-32-5p/Notch-1 axis regulator. Our findings suggest that Xist may act as a novel molecular therapeutic target for CCSCI and provide new insight into the gender differences in CCSCI.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the supplementary material, further inquiries can be directed to the corresponding author.

ETHICS STATEMENT

The animal study was reviewed and approved by The Research Ethics Committee of Sun Yat-sen University, Guangzhou, China.

AUTHOR CONTRIBUTIONS

XC performed the experiments, analyzed the data, and wrote the manuscript. JX conceived the study and revised the manuscript. ZY and JHX performed the experiments and analyzed the data. HL conceived, designed, and supervised the research. All authors read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcell.2020.00744/full#supplementary-material>

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Laminins Regulate Placentation and Pre-eclampsia: Focus on Trophoblasts and Endothelial Cells

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Pre-eclampsia is a systemic vascular disease characterized by new-onset hypertension and/or proteinuria at ≥ 20 weeks of gestation and leads to high rates of maternal and perinatal morbidity and mortality. Despite the incomplete understanding of pre-eclampsia pathophysiology, it is accepted that insufficient spiral artery remodeling and endothelial dysfunction are major contributors. Laminins (LNs) are a vital family of extracellular matrix (ECM) molecules present in basement membranes that provide unique spatial and molecular information to regulate implantation and placentation. LNs interact with cell surface receptors to trigger intracellular signals that affect cellular behavior. This mini-review summarizes the role of LNs in placental development during normal pregnancy. Moreover, it describes how LN deficiency can lead to the pre-eclampsia, which is associated with trophoblast and vascular endothelial dysfunction. New research directions and the prospect of clinical diagnosis of LN deficiency are discussed, and the gaps in basic and clinical research in this field are highlighted.

Keywords: pre-eclampsia, laminin, trophoblast, endothelial dysfunction, placenta

INTRODUCTION

Pre-eclampsia, is a pregnancy disease mainly characterized by gestational hypertension ($\geq 140/90$ mmHg) at gestation ≥ 20 weeks (Homer et al., 2008); it affects 3–5% of pregnancies and is more common in low- and middle-income countries (Ananth et al., 2013; Mol et al., 2016). Once diagnosed, the only effective treatment is cesarean delivery. Even if other appropriate treatment is administered for in pregnancy hypertensive diseases, there are still long-term effect on the children and mothers (Mate et al., 2019). Pre-eclampsia is a placental disease with two stages. The first is placental structure abnormality characterized by insufficient spiral artery remodeling and reduced placental perfusion. During a normal pregnancy, placentation begins with the recognition and adhesion of the trophoblast to the uterine epithelium; trophoblast stem cells originate from the embryonic trophoblast and differentiate into various trophoblast lineages [as reviewed in Silva and Serakides (2016)]. A recent review (Aplin et al., 2020) clearly summarized the steps in normal and failed uterine spiral arterial conversion. Cytotrophoblast cells invade into the decidua (interstitial trophoblast), and then the wall of maternal uterine spiral artery is destroyed, including the endothelium and smooth muscle cell. Extracellular matrix (ECM) components of the vessel wall are replaced with a fibro-fibrinoid structure, within which residual endovascular trophoblast often remains embedded. The remodeled uterine spiral arteries are characterized by lower resistance and higher flow, and they perfuse the placental villi to support

placental and fetal development (Brosens et al., 2019). However, conversion failure results in poor blood supply to the intervillous spaces (Brosens et al., 2011). The second stage is manifestation of maternal hypertension and proteinuria with systemic endothelial dysfunction. An imbalance between pro- and anti-angiogenic proteins can lead to increased blood pressure and pre-eclampsia in pregnancy. Some vascular endothelial protective factors [e.g., nitric oxide/nitric oxide synthase, vascular endothelial growth factor (VEGF)] are key factors in maintaining blood pressure, vascular endothelial environmental stability, and angiogenesis (Cudmore et al., 2006). Conversely, vascular endothelial damage factors [e.g., soluble fms-like tyrosine kinase 1 (sFlt-1), soluble endoglin (sEng)] exert anti-angiogenic effects (De Falco, 2012; Graupner et al., 2019). The transition between the two stages is thought to be due to the release of such factors from the abnormally developed placenta into maternal circulation.

Normal placentation depends on cell-to-cell and cell-to-ECM interactions. The ECM consists of several unmodified and conjugated proteins such as collagen, fibronectin, laminins (LNs) and others that provide a microenvironment for placental cells and regulate cell functions including proliferation, migration, invasion, and signaling (Kim et al., 2014; Graubner et al., 2018). LNs are ECM molecules that comprise a family of glycoproteins found predominantly in basement membranes; they interact with cell surface receptors that transmit intracellular signals that regulate cellular behavior (Lala et al., 2012). Some studies have shown that LN deficiency is associated with many human diseases (McGowan and Marinkovich, 2000). Animal and human studies have shown that LNs are involved in placental development and affect the function of cytotrophoblastic cells (Kuo et al., 2018). This review outlines the critical role of LNs in placental development and molecular mechanisms involved in pre-eclampsia development.

STRUCTURE AND FUNCTION OF LAMININ

As shown in **Figure 1**, the basement membrane is the thin sheet of ECM that underlies epithelial and endothelial cells and surrounds muscle cells, Schwann cells, and connective tissue. LNs are ECM molecules that comprise a family of glycoproteins found predominantly in basement membranes, as a trimeric protein containing five α , three β , and three γ chains that are genetically distinct (Domogatskaya et al., 2012). The trimers are named according to their composition of α , β , and γ chains. For example, LN-523 is made of $\alpha 5$, $\beta 2$, and $\gamma 3$ chains (Tzu and Marinkovich, 2008).

Laminins influence cell adhesion, migration, phenotype maintenance, survival, and differentiation (Durbeej, 2010). The LN $\beta 3$ chain mediates apoptosis, proliferation, invasion, and metastasis of pancreatic cancer cells *via* the phosphoinositide 3-kinase (PI3K)/Akt signaling pathway (Zhang et al., 2019). Difficulties in isolating LN isoforms from tissues have hampered studies of their biological roles, and most information has come from research on diseases caused by gene mutations or using knockout mice. For instance, LN $\alpha 5$ gene mutation

leads to defects in neural tube closure, finger separation, placentation, kidney formation, pulmonary lobe separation, hair morphogenesis, and intestinal smooth muscle differentiation (Miner and Li, 2000; Nguyen et al., 2002; Bolcato-Bellemin et al., 2003). Integrins, dystroglycan, syndecans, and Lutheran/basal cell adhesion molecule (BCAM) are cellular receptors for LNs (Desgrosellier and Cheresch, 2010; Aumailley, 2018; Jin et al., 2019). The biological effects of LNs are presumably largely mediated by surface receptors that link LN matrices to intracellular signaling pathways [as reviewed in Durbeej (2010)]. One group suggested that LNs promote the differentiation and proliferation of rat embryonic stem cells into cardiomyocytes by interacting with the integrin pathway (Wang et al., 2019). Lutheran/BCAM is oncogenic in human urothelial cancers in the presence of its ligands LN-511, LN-521 (Chang et al., 2017).

LAMININS AND PLACENTATION

Laminin is the basement membrane component that initially promotes cell adhesion and angiogenesis in early embryonic development (Givant-Horwitz et al., 2004). LN-111 affects cell-cell adhesion by changing the localization of vascular endothelial cadherin (Miner et al., 2004). LN activity is closely correlated with the blastocyst implantation window. LN levels in the basement membrane of the placental villi are decreased in late pregnancy compared to early pregnancy, due to the completion of the adhesion and infiltration process of placental trophoblasts (Turpeenniemi-Hujanen et al., 1992). Trophoctoderm defects can lead to improper embryo implantation, and abnormal placental formation has deleterious effects on later stages of pregnancy, potentially causing conditions such as pre-eclampsia and intrauterine growth restriction (Red-Horse et al., 2004). Thus, LN is essential for proper embryo implantation and subsequent placental formation. One study showed that cytotrophoblast invasion was evident in some LN $\beta 1^{-/-}$ mouse embryos, but the extent of invasion was greatly reduced compared with normal embryos (Miner et al., 2004). Moreover, cytotrophoblast behavior and differentiation may be affected by interactions with LN-specific isoforms, thereby regulating the structural formation of the placenta, and attachment of invasive trophoblasts to the basement membrane can trigger the expression of matrix metalloproteinases (MMPs) necessary for their separation from the basement membrane, degradation, and migration (Ramos et al., 1990). LN knockout (LN $\alpha 1$, LN $\beta 1$, LN $\beta 5$) is embryonic lethal in mice. During placental development, LN $\alpha 5$ knockout mice exhibit malformed placental labyrinths and abnormal trophoblast differentiation (Miner et al., 1998; Sekiguchi and Yamada, 2018). In addition, Pijnenborg et al. (1996) described a contribution of LNs to fibrinoid deposition within physiologically remodeled spiral arteries in baboons. Collectively, these findings indicate that LN is particularly important for the subsequent differentiation of cytotrophoblast cells and spiral artery remodeling.

Laminin activates cellular surface receptors to assist in its biological functions, and most recent studies have focused on integrins and LN-111 receptor (LR1). LNs and integrins differ

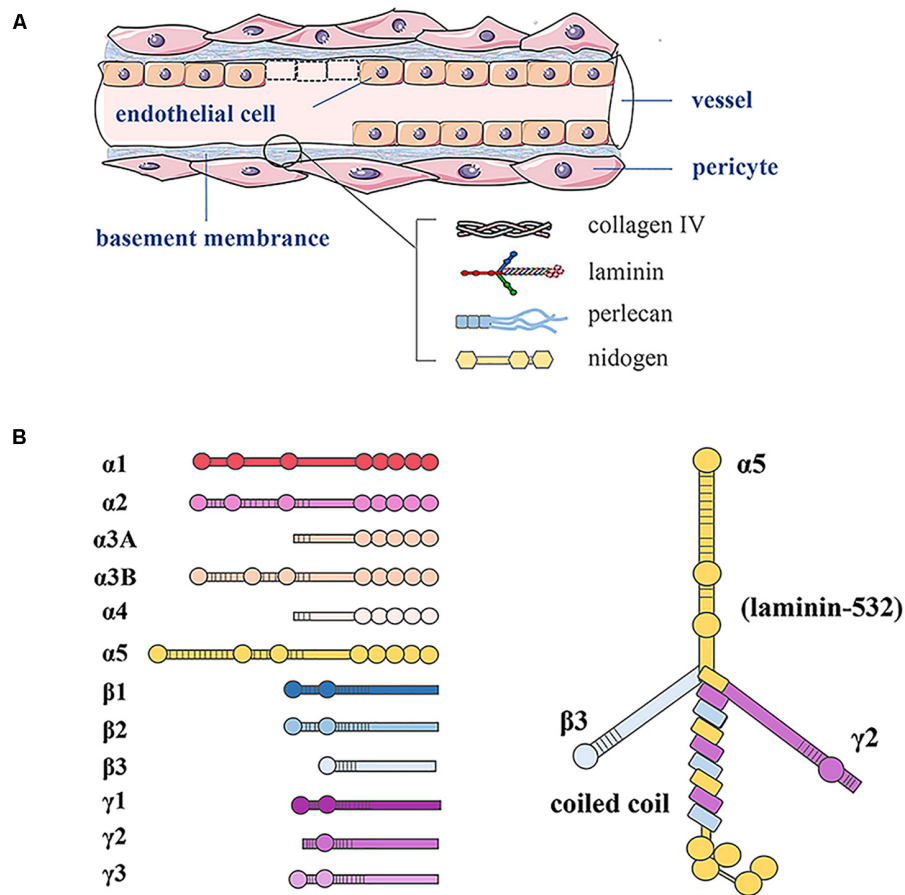


FIGURE 1 | Basement membrane constituents in vessels and LN structure. **(A)** In blood vessels, the basement membrane separates endothelial cells from supporting cells such as pericytes and connective tissue. The basement membrane consists of LN, type IV collagen, perlecan, and nidogen. **(B)** LN as a trimeric protein containing five α , three β , and three γ chains; all laminin chains share a common domain structure with a number of globular and rod-like domains.

in their binding specificities and affinities (typically including integrins $\alpha 3 \beta 1$, $\alpha 6 \beta 1$, $\alpha 7 \beta 1$, and $\alpha 6 \beta 4$), and integrin expression patterns are strictly regulated during placental development (Maltepe and Fisher, 2015). For example, integrins $\alpha 6 \beta 1$ and $\alpha 7 \beta 1$ bind to LNs and are abundant in the basement membrane of the endometrial epithelium during the implantation window (Merviel et al., 2001). Integrin serves as a master switch for cytotrophoblast transition from a proliferative ($\alpha 6 \beta 4$ and $\alpha 5 \beta 1$) to an invasive ($\alpha 1 \beta 1$) phenotype; this provides a foundation for studying pathological conditions characterized by insufficient trophoblast invasion (Kilburn et al., 2000). Increased LR1 expression in decidual cells of partial and complete moles may enable trophoblasts or other cells (e.g., endothelial cells and lymphocytes) to control invasion by modifying the structure of its primary ligand, LN-111 (Nelson et al., 2008; Kurdoglu et al., 2009).

LAMININS AND PRE-ECLAMPSIA

Trophoblast invasion of the uterine decidua and maternal vascular system is the key to successful embryo implantation

and pregnancy, and LNs play a critical role in this multistep process. Despite an incomplete understanding of pre-eclampsia pathophysiology, it is generally thought that the primary mechanisms are insufficient spiral artery remodeling and endothelial dysfunction (Gauster et al., 2009). Thus, we mainly analyze the relationship between LNs and pre-eclampsia from the perspective of improper regulation of LNs on biological behaviors of trophoblasts and vascular endothelial function.

Abnormal Biological Functions of Trophoblasts

In recent years, abnormal trophoblast function has been proposed to play a major role in pre-eclampsia pathogenesis. Potential cause of trophoblast invasion defect in pre-eclampsia including abnormal levels of cytokines, growth factors, placental factors, and interleukins (Moser et al., 2018). The ratio of type IV collagen to LNs is significantly higher in pre-eclamptic placentas compared to controls, most likely reflecting a lower level of LNs in the villi (Risteli et al., 1984). A study of microarray datasets reported that decreased expression of the LN $\alpha 2$ chain in the basal plate may be involved in the development of pre-eclampsia

(Winn et al., 2011). Proteomic analysis also showed that significantly lower LN levels in placental trophoblastic cells from pre-eclampsia pregnant women compared to controls, including LN β 2 (12.5-fold), LN α 5 (9.1-fold), and LN γ 1 chain (5.6-fold) (Ma et al., 2014). Pre-eclampsia placentas exhibit lower LN α 5 chain levels, and this deficiency inhibits proliferation and invasion but promotes cell apoptosis in HTR-8/SVneo cells, possibly through inhibition of the PI3K/AKT/mammalian target of rapamycin (mTOR) signaling (Zhang et al., 2018). Downregulation of LN α 4 also inhibited the proliferation, migration, and invasion of trophoblasts to suppress the expression of vascular factors in HTR-8/SVneo cells (Ji et al., 2019). Moreover, Shan et al. reported significantly lower expression of LN α 4 in human pre-eclampsia placentas compared to control, and LN α 4 plays a crucial role in trophoblast differentiation and invasion (Shan et al., 2015). Such changes in placental LN levels could weaken trophoblast attachment to the underlying basement membrane and also modify villi permeability and exchange properties.

Signal transduction *via* LRs in normal and malignant trophoblasts influence their adhesion to the basement membrane (Burrows et al., 1995). LR1 is a non-integrin-type receptor for LN-111 that exerts many effects on cell migration, adhesion, differentiation, invasion, signaling, and tumor metastasis. Decreased immunohistochemical staining for LR1 protein in cytotrophoblasts and syncytiotrophoblasts was observed in pre-eclamptic placentas, and decreased LR1 in cytotrophoblasts might lead to shallow trophoblastic invasion (Kurdoglu et al., 2011). LR1 knockdown remarkably suppressed the migration and invasion potential of JEG3 cells by reducing MMP-2 and MMP-9 activities, suggesting a possible pathogenetic mechanism of pre-eclampsia (Wang et al., 2013, 2015). Zhou et al. (1997) reported that trophoblastic invasion of the uterine spiral artery is limited because there is no decrease in integrin α 6 β 4 and no increase in integrin α 1 β 1 in pre-eclampsia pregnancies. Another group observed that integrin β 1 mRNA was decreased in placental tissue from pre-eclampsia subjects, and then verified its involvement in dysregulating trophoblast cell invasion, survival, and angiogenesis in HTR8/Svneo cells (Li et al., 2013). The slightly decreased integrins α v and β 1 subunits in the cytotrophoblasts may be a structural basis for poor placental perfusion in pre-eclampsia (Vatansever et al., 2003). Collectively, these findings demonstrate that integrin expression pattern are highly regulated during cytotrophoblast differentiation, and alterations in this process are the pathological basis of endovascular trophoblast invasion into the uterine spiral arteries.

Vascular Endothelial Dysfunction

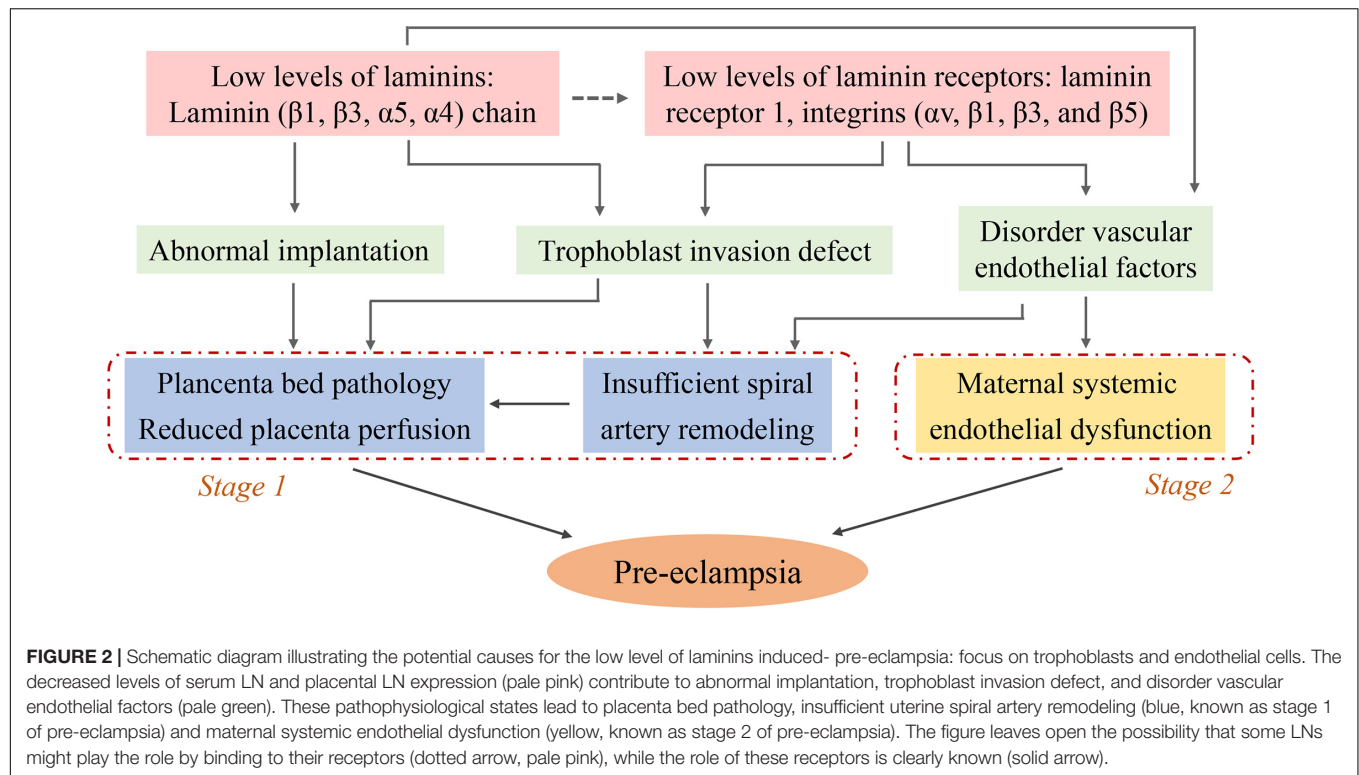
Maternal endothelial dysfunction caused by placental factors (pro- and anti-angiogenic proteins) has long been considered one of the pathophysiological factors underlying severe pre-eclampsia (Roberts and Hubel, 2009). However, whether angiomodulatory imbalance is a consequence of an underlying pathology that is common to several different types of placental dysfunction is still unclear, as is whether it reflects a specific abnormality of earlier placental development (Aplin et al., 2020). There is now sufficient evidence to suggest that tissue-specific of LNs and their

associated signaling regulates cell behavior and angiogenesis [as reviewed in Simon-Assmann et al. (2011)]. Native LN-111 from the human placenta can promote the formation of interconnected vascular networks among human umbilical vein endothelial cells (HUVECs) (Hackethal et al., 2018). LN α 4 chain was strongly expressed in endothelial cells in placental villi and decidua, and its expression was decreased in third-trimester pre-eclamptic placentas (Thybol et al., 2002). Moreover, LN α 4 small-interfering RNA transfection and hypoxia-reoxygenation intervention reduced HUVEC migration and tube formation abilities by activating mitogen-activated kinase signaling (Shan et al., 2015). Down-regulated LN α 5 is observed in pre-eclampsia placentas and inhibits HUVECs proliferation, migration, and angiogenesis through PI3K/AKT/mTOR pathways (Zhang et al., 2020). However, there are no data to indicate that the endothelial-derived LN α 5 chain is involved in initiating the process as its expression occurs in the later stages of angiogenesis (Langen et al., 2017) when it plays critical roles in vascular stability, maturation, and barrier function (Sorokin et al., 1997; Liu et al., 2018). The above findings indicate that LNs are critical factors for endothelial cells to undergo angiogenesis and differentiate into interconnected tubules. LN deficiency may directly lead to abnormal angiogenesis and pathologic placental development. LNs also regulate angiogenesis through different vascular factors. Glomerular endothelial lesions associated with pre-eclampsia are caused by the blocking VEGF/VEGF receptor (VEGFR) and transforming growth factor- β /endoglin signaling pathways by sFlt-1 and sEng, respectively, leading to glomerular endothelial pore loss, cell swelling, and proteinuria (Abrass et al., 2006). A lack of LN α 3 in mice or LN β 3 in humans leads to similar defects (Hata et al., 2005). Downregulation of α v β 3 integrin reduces the priming of endothelial cells and tubulogenesis by inhibiting VEGFR activation (Helal-Neto et al., 2016). LN-111 induces expression of pro-angiogenic molecules, such as VEGF and Cxc chemokine receptor 4, thus leading to increased angiogenesis and tumor growth (Mammadova-Bach et al., 2018). These lines of evidence suggest that LNs can directly and indirectly cause vascular endothelial dysfunction and induce severe pre-eclampsia.

The effect of LR signaling on endothelial cell functions is also important. Interaction between α 6 β 1 integrin and LN-411 might promote endothelial cell migration *in vivo*, since their expression patterns overlap with those of newly formed capillaries, where endothelial cells are actively migrating (Sixt et al., 2001). Hypoxia transcriptionally upregulates angiogenic integrins (α v, β 1, β 3, and β 5) in microvascular endothelial cells along with promoting migration and tube formation in human microvascular endothelial cells (Befani and Liakos, 2017). However, the relationship between LR-induced endothelial dysfunction and pre-eclampsia has not been investigated in detail.

FUTURE PERSPECTIVES

To date, most LN signaling studies in pre-eclampsia have focused on trophoblast function, but a few have investigated other aspects. One found that miR-126-3p promoted matrix-dependent



perivascular cell attachment, migration, and intercellular interaction (Pitzler et al., 2016). LN-111 is capable of inducing epigenetic changes by inhibiting Dnmt1 expression and preventing methylation of the E-cadherin promoter, ultimately promoting metastatic colonization during breast cancer (Benton et al., 2009). However, there is no clear epigenetic mechanism by which LNs regulate occurrence of pre-eclampsia pathogenesis. It is also noteworthy that vascular endothelial cells express only two LN isoforms: LN-411 and LN-511. LN-411 is expressed by all endothelial cells regardless of their developmental stage, and its expression is strongly upregulated by cytokines and growth factors involved in inflammatory events. LN-511 is primarily detectable in endothelial cell basement membranes of capillaries and venules beginning 3–4 weeks postnatal, and its expression is only upregulated by strong proinflammatory signals (Hallmann et al., 2005). Moreover, the ECM can regulate chemokine production in damaged endothelial cells (Zimmerlin et al., 2013). Thus, we hypothesized that LNs expressed by endothelial cells might be involved in the inflammatory response during pre-eclampsia pathogenesis.

Mortality from pre-eclampsia has decreased significantly in the United States due to increased prenatal monitoring and early intervention (Ghossein-Doha et al., 2018). Therefore, it is of considerable significance to explore and identify biomarkers for the detection or control of pre-eclampsia and to develop targeted strategies. Serum or plasma angiogenic factors and cytokines appear to be a reliable risk-stratification approach among women with suspected pre-eclampsia, such as sFlt-1, sEng, placental growth factor (PlGF), interleukin-6, and the sFlt-1/PlGF ratio (Chaiworapongsa et al., 2014). Serum LN level

is an important index to assess the degree of liver fibrosis in chronic hepatitis (Lebensztejn et al., 2004). So, could LN be a promising biomarker for diagnosing pre-eclampsia? There is a paucity of prospective studies in this field with both large sample sizes and well-defined, strict inclusion and exclusion criteria. Furthermore, it is necessary to develop specific and sensitive assays for clinical measurement of LNs.

CONCLUSION

Laminins play a critical role in pre-eclampsia as demonstrated by a series of clinical and basic studies. Serum LN levels and placental LN expression are decreased in pre-eclamptic pregnancies. Low LN levels are able to induce abnormal biological functions of placental trophoblast cells and vascular endothelial dysfunction, which lead to implantation failure, inappropriate placental spiral artery remodeling and placental vascular injury, these are the main pathological bases for pre-eclampsia. Moreover, LRs, especially integrin-type receptor-mediated signaling pathways may be important contributors (summarized in Figure 2). However, the specific molecular mechanisms are complex and unclear, and a more detailed understanding of the signaling mechanisms is needed. This review proposed a possible mechanism of altered LN-induced pre-eclampsia, including epigenetic modifications, cytokines, and inflammatory events. Finally, LNs are promising diagnostic and prognostic biomarkers for this disease. Additional studies can help guide the use of LN clinical diagnosis and identify prevention and treatment targets for pre-eclampsia.

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ML and RZ designed the outline of the review and wrote the initial manuscript. All authors edited and approved the final manuscript.

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Lack of Connexins 40 and 45 Reduces Local and Conducted Vasoconstrictor Responses in the Murine Afferent Arterioles

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The juxtaglomerular apparatus (JGA) is an essential structure in the regulation of renal function. The JGA embodies two major functions: tubuloglomerular feedback (TGF) and renin secretion. TGF is one of the mechanisms mediating renal autoregulation. It is initiated by an increase in tubular NaCl concentration at the macula densa cells. This induces a local afferent arteriolar vasoconstriction and a conducted response that can be measured several 100 μ m upstream from the juxtaglomerular segment. This spread of the vasomotor response into the surrounding vasculature likely plays a key role in renal autoregulation, and it requires the presence of gap junctions, intercellular pores based on connexin (Cx) proteins. Several Cx isoforms are expressed in the JGA and in the arteriolar wall. Disruption of this communication pathway is associated with reduced TGF, dysregulation of renin secretion, and hypertension. We examine if the absence of Cx40 or Cx45, expressed in the endothelial and vascular smooth muscle cells respectively, attenuates afferent arteriolar local and conducted vasoconstriction. Afferent arterioles from wildtype and Cx-deficient mice (Cx40 and Cx45) were studied using the isolated perfused juxtamedullary nephron preparation. Vasoconstriction was induced *via* electrical pulse stimulation at the glomerular entrance. Inner afferent arteriolar diameter was measured locally and upstream to evaluate conducted vasoconstriction. Electrical stimulation induced local vasoconstriction in all groups. The local vasoconstriction was significantly smaller when Cx40 was absent. The vasoconstriction decreased in magnitude with increasing distance from the stimulation site. In both Cx40 and Cx45 deficient mice, the vasoconstriction conducted a shorter distance along the vessel compared to wild-type mice. In Cx40 deficient arterioles, this may be caused by a smaller local vasoconstriction. Collectively, these findings imply that Cx40 and Cx45 are central for normal vascular reactivity and, therefore, likely play a key role in TGF-induced regulation of afferent arteriolar resistance.

Keywords: gap junction, vascular conducted response, electrical pulse stimulation, renal, intercellular communication

INTRODUCTION

The juxtaglomerular apparatus (JGA) is a specialized structure, formed by the afferent and efferent arteriole, thick limb of the ascending loop of Henle, and mesangial cells. The JGA embodies two major renal functions: tubuloglomerular feedback (TGF), which is part of the mechanism underlying renal autoregulation, and renin secretion. The JGA consists of different cell types with specialized functions: the macula densa (MD) cells, juxtaglomerular cells, mesangial cells, vascular smooth muscle cells (VSMCs), and endothelial cells (ECs). The MD cell of the thick limb of the ascending loop of Henle functions as a NaCl sensor. An increase in NaCl concentration in the tubular fluid eventually causes the VSMC in the afferent arteriole to contract to reduce glomerular filtration rate (GFR) and the juxtaglomerular cells of the afferent arteriole to reduce renin secretion. Mesangial cells are located between the MD cells (the sensor) and the afferent arteriole (the effector). They are believed to play an important role in the signal transduction from the MD to the afferent arteriole (Goligorsky et al., 1997).

The TGF signal originates in the MD cells and elicits local vasoconstriction in the juxtaglomerular part of the afferent arteriole. The response, however, encompasses the entire afferent arteriole, the distal part of the interlobular artery, and travels into the afferent arterioles of neighboring nephrons (Peti-Peterdi, 2006; Marsh et al., 2009). This indicates that the vasoconstrictor signal is conducted within the vascular wall most likely through gap junctions (GJs) coupling ECs to ECs, VSMCs to VSMCs, and ECs to VSMCs.

GJs are fluid-filled pores that allow the movement of small molecules and current between neighboring cells. GJs are made up of two hemichannels, connexons, built from connexins (Cxs) (Nielsen et al., 2012). Six Cxs of the same or different isoforms can assemble to form a connexon, and the two connexons from neighboring cells can dock to form a functional GJ channel (Moreno, 2004). Several Cx isoforms are expressed in the JGA (Hanner et al., 2010). Similarly, in preglomerular arterioles, expression of Cx37, Cx40, Cx43, and Cx45 (Wagner, 2008; Just et al., 2009; Schweda et al., 2009) is found, enabling the cells of the vessel wall, both smooth muscle and endothelial, to act as a syncytium (Goligorsky et al., 1997; Dora et al., 2003; Haefliger et al., 2004; Peti-Peterdi, 2006; Yao et al., 2009).

In the vasculature, Cx40 is the most widely expressed isoform and is predominantly found in the ECs (de Wit et al., 2003; Schweda et al., 2009), as well as in renin-producing cells (Haefliger et al., 2001). In mice, deletion of Cx40 leads to the development of renin-dependent hypertension (de Wit et al., 2000, 2003; Figueroa et al., 2003; Wagner et al., 2007; Just et al., 2009; Sorensen et al., 2012) and reduced conduction of vasodilation (de Wit et al., 2000). Cx45 is expressed in the VSMCs (Wagner, 2008) and mesangial cells (Kurtz et al., 2009; Hanner et al., 2010), and lack of Cx45 expression causes lethal malformations in the vasculature (Krüger et al., 2000; Hanner et al., 2008; Wagner, 2008). Therefore, only a conditional deletion of Cx45 is possible in mice. Previous studies in these mice show that Cx45 plays a role in the propagation of VSMC calcium waves and in TGF (Hanner et al., 2008; Møller et al., 2020).

This calcium signaling may be one mechanism by which Cx45 affects vascular conducted responses.

Once the signal from MD has reached the afferent arteriole, the vascular conduction can spread dilation or constriction along the vessel wall, depending on the blood flow needs (Wagner, 2008). Conducted vasoconstriction occurs when depolarizing current propagates upstream *via* GJs (Gustafsson and Holstein-Rathlou, 1999), from the afferent arterioles to the larger arteries, and changes the vascular resistance, thus reducing renal blood flow (Wagner et al., 1997).

In this study, we aim to test if a lack of two specific Cxs affects vascular conduction in the afferent arteriole. Specifically, we explore the roles of Cx40 and Cx45 in the conduction of vasoconstrictor responses to electrical pulse stimulation.

MATERIALS AND METHODS

Animal Preparation

Procedures were approved by the Danish National Animal Experiments Inspectorate. All animals were kept in the animal facility at University of Copenhagen and received tap water and standard chow *ad libitum*. Genotyping was done on DNA from ear clippings using the DirectPCR kit (Viagen Biotech; Los Angeles, CA).

Cx40 wildtype (Cx40 WT) and Cx40 knockout (Cx40 KO) mice were on a mixed C57Bl/6 × 129S4/SvJae genetic background. Mice were purchased for breeding from the European Mouse Mutant Archive (infrafrontier.eu, Munich, Germany). Kidneys from a total of 15 adult mice were used for the studies (Cx40 WT $n = 8$, five females and three males and Cx40 KO $n = 7$, four females and three males). The age of the mice ranged from 4 to 14 months.

Homozygous female mice with floxed Cx45 gene (Cx45^{fl/fl}, >87% C57Bl/6 background) were mated with homozygous Cx45^{fl/fl;Nestin-Cre} males, >87% C57Bl/6 background. Breeding pairs were a generous gift from Dr. Klaus Willecke, University of Bonn, Germany; the mice have been described previously (Maxeiner et al., 2005). In their offspring, the Cx45 coding DNA is replaced with an enhanced green fluorescence protein (eGFP) in cells expressing the intermediate filament Nestin during development. The Cx45^{fl/fl} mice are phenotypically wildtype and are used as such (WT). The Cx45^{fl/fl;Nestin-Cre} mice are used as our knockout (KO). Kidneys from 20 adult mice were used for the studies (Cx45 WT $n = 9$, three females and six males and Cx45 KO $n = 11$, nine females and two males), ranging from 2 to 6 months of age.

Imaging System and Software

The experimental setup is shown in **Figure 1**. The vasculature was viewed and recorded using an Olympus BX50WI microscope with a digital 12-bit CCD camera (Pixelfly, PCO, Kelheim, Germany) mounted on the microscope. Images were recorded using the CamWare software (PCO, Kelheim, Germany). Renal perfusion pressure (RPP) readings were obtained using the PowerLab/8SP data acquisition system (ADInstruments, Colorado Springs, CO) and viewed using LabChart 7 software (ADInstruments, Colorado Springs, CO). Post-experimental evaluations of afferent arteriolar

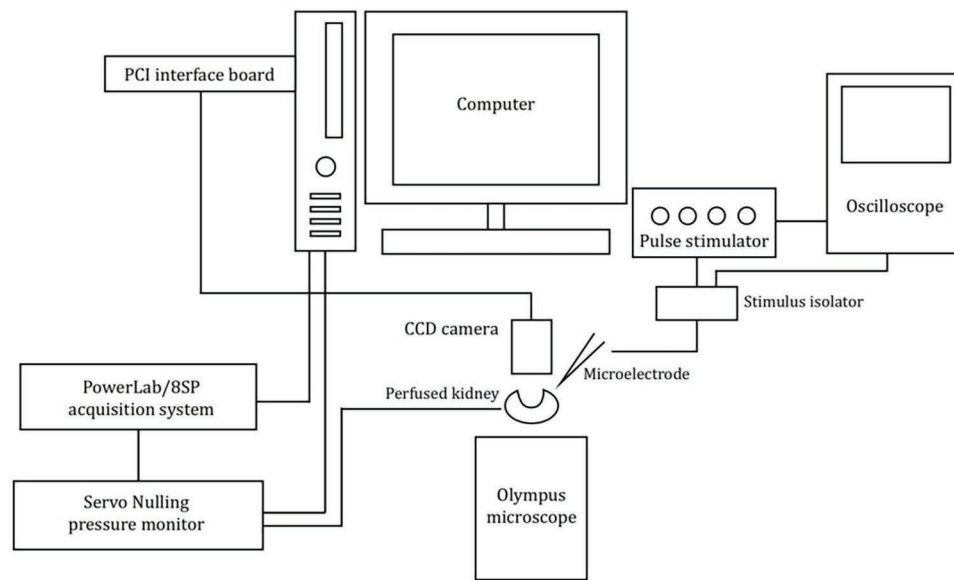


FIGURE 1 | Schematic of the imaging system and experimental setup used. Schematic showing the setup used to measure the afferent arteriolar diameter in response to electrical stimulation.

diameter were performed offline using ImageJ (National Institute of Health, Bethesda, MD).

Surgical Procedure

Experiments were performed using the *in vitro* perfused juxtamedullary nephron preparation, developed by Casellas and Navar (1984), and adapted to mice (Harrison-Bernard et al., 2003; Sorensen et al., 2012). Mice were anesthetized with pentobarbital (50 g/kg *i.p.*, Mebumal, SAD, Denmark). The abdominal aorta was catheterized and immediately perfused with Tyrode's solution (in mmol/L: 136.9 NaCl, 0.42 NaH₂PO₄, 11.9 NaHCO₃, 2.7 KCl, 2.2 MgCl₂, 5.6 D-glucose, and 1.8 CaCl₂) containing 5% BSA (ICPbio International, Auckland, New Zealand) and an amino acid mixture (in mmol/L: 2 alanine, 2 glycine, 5 glutamine, 1 serine, and supplemented with MEM amino acid solution, all from Sigma-Aldrich, Copenhagen, Denmark) at pH 7.4. Kidneys were excised, and the cannula was advanced into the renal artery of the left kidney. The kidney was decapsulated and sectioned longitudinally exposing the intact papilla, which was reflected revealing the inner cortical surface. Venous tissue on the cortical surface was cut, allowing access to the arterial vasculature. Perfusion was isolated to the inner cortical vessels by ligating larger arterial vessels (Dafilon 10/0 sutures, B. Braun Vet Care GmbH, Tuttlingen, Germany).

The cannula system comprised of a 27-gauge blunt needle and a polyethylene (PE-10) line, connected to a servo-nulling pressure system (Instrumentation for Physiology and Medicine, San Diego, CA), allowing for continuous RPP monitoring. RPP was maintained at 95 mmHg throughout the experiment by adjusting the regulator, controlling the flow of 95% O₂-5% CO₂ gas mixture to the perfusion solution reservoir.

Experimental Procedure: Application of Electrical Pulse Stimulation

Borosilicate glass micropipettes (CMA Microdialysis, Kista, Sweden) were pulled on a horizontal Flaming/Brown micropipette puller (Model P-87, Sutter Instrument Co., Novato, CA) and back-filled with a 2 mol/L NaCl solution containing Lissamine green (Sigma-Aldrich, Copenhagen, Denmark). The microelectrode was connected to a stimulus isolator (ISO-flex, A.M.P.I., Jerusalem, Israel) connected to a stimulator (Model S44, Grass Instrument Co., Quincy, MA) and an oscilloscope (1200 A, Hewlett-Packard, Palo Alto, CA). The microelectrode (0.5–0.8 MΩ resistance) was placed at the glomerular entrance of the afferent arteriole, where local afferent vasoconstriction was induced by electrical pulse stimulation (2.5 Hz frequency, 300 ms pulse duration, and 90 V amplitude; Salomonsson et al., 2002; Palanker et al., 2008), as shown in **Figure 2**. A wire was placed in the tissue chamber to serve as the grounding electrode. After a recovery period, an experimental protocol consisting of 30 s recordings of baseline, electrical pulse stimulation, and recovery periods was initiated. The vessels were stimulated for 30 s, a period which was sufficient for it to reach a new steady-state diameter (Steinhausen et al., 1997).

Diameter Measurements

The afferent arteriolar diameter was measured by tracking the vessel edges and the inner diameter was measured every 10 s throughout the experiment. The diameter was measured at the stimulation point at the glomerular entrance (local response) as well as every 50 μm upstream from the glomerulus (conducted response; **Figures 2, 3**) as far as it was possible to track the vessel (**Table 1**). Each data point represents the average of

three consecutive measurements (after 10, 20, and 30 s of stimulation) in the same position.

Experiments were included if they fulfilled the following: (1) electrical stimulation induced a minimum of 5% constriction in the vessel diameter (local response), (2) post-stimulation, the vessel recovered to at least 50% of its baseline diameter, and (3) diameters were measurable at a minimum of three positions along the vessel (including stimulation site).

Data Handling and Statistical Analyses

Graphical presentation and statistical analyses were performed using SigmaPlot (Systat Software Inc. San Jose, CA, USA), MatLab (MathWorks, Natick, MA, USA), and Rstudio (version 1.3.959; Boston, MA). Vessel diameter during stimulation was normalized to the respective mean resting diameter at the given distance from the stimulation site. Normalized and pooled data for contraction at the stimulation site (0 μm) from each experimental

series were evaluated using a one-way ANOVA to test for differences between WT and KO. A one-sample *t*-test testing against 0 was used to evaluate significant constriction at each measurement point.

Length constants of the conducted vasoconstriction were calculated in MATLAB® (The MathWorks Inc., R2017b) for each type of animal by a non-linear least squares fit of the data to the equation $y = ae^{bx}$, where y is the change in diameter at upstream distance x from the stimulation site, a is the y -intercept, and b is the decay rate of the response along the vessel. When conducted vasoconstriction reached 0 or a negative value, constriction was set to 0 for the remaining measurements. The maximum distance from the stimulation point at which the individual vessel could be visualized varied from 100 to 500 μm . Consequently, there were more measurements at the shorter distances. All measurements at a given distance were pooled for each group. Therefore, in each fit, the shorter distances with more measurements weigh in more heavily than longer distances with fewer measurements (Figure 4; constants shown in Table 2). Each group gives rise to a single fit. To enable statistical comparison of the decay rates between groups, a bootstrapping procedure was applied (source code available in Supplementary Data). In brief, for each group of animals, a set of data points were obtained by drawing randomly, with replacement, from the original data set. The single exponential decay model was then fitted to this new data set to obtain estimates of a and b . The procedure was repeated 1,000 times, giving new sets of y -interceptions and decay rates. Differences in the latter between WT and KO groups were analyzed using a two-tailed *t*-test.

Previous studies have shown no difference in the measured renal vascular responses between male and female mice, and data were therefore pooled for statistical comparison (Boesen et al., 2012; Brasen et al., 2018; Gohar et al., 2019; Møller et al., 2020). $p \leq 0.05$ was considered statistically significant. All values are reported as means \pm SEM unless otherwise stated.

RESULTS

Animals

Mean values of body weight, age, pre-stimulated vessel diameter (measured at 95 mmHg), and traceable vessel length are summarized in Table 1. Body weights were significantly higher in Cx40 WT mice (33.1 ± 1.3 g) compared to Cx40 KO mice (27.9 ± 1.7 g, $p = 0.04$) and in Cx45 WT mice (27.4 ± 1.3 g) compared to

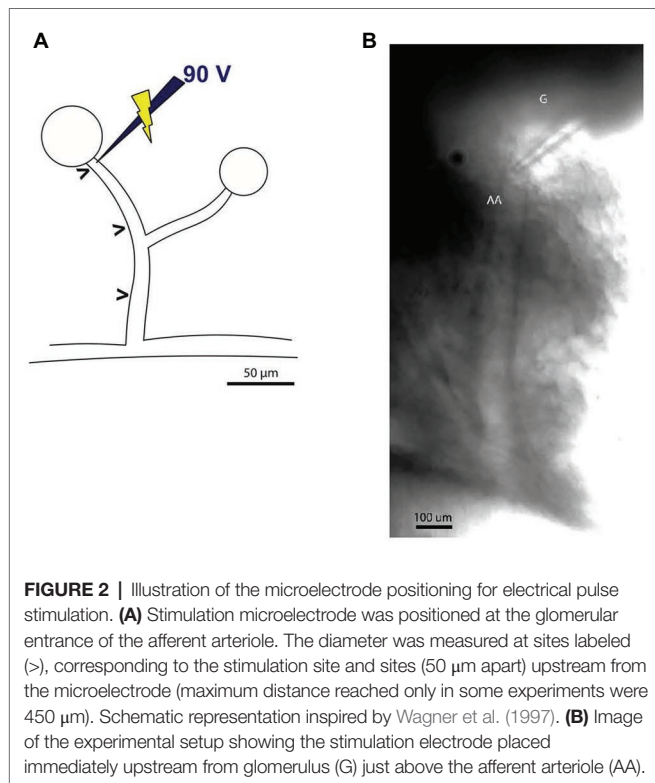


FIGURE 2 | Illustration of the microelectrode positioning for electrical pulse stimulation. (A) Stimulation microelectrode was positioned at the glomerular entrance of the afferent arteriole. The diameter was measured at sites labeled (>), corresponding to the stimulation site and sites (50 μm apart) upstream from the microelectrode (maximum distance reached only in some experiments were 450 μm). Schematic representation inspired by Wagner et al. (1997). (B) Image of the experimental setup showing the stimulation electrode placed immediately upstream from glomerulus (G) just above the afferent arteriole (AA).

TABLE 1 | Mean values of body weight prior to kidney removal, age, pre-stimulated vessel diameter and traceable vessel length starting at the stimulation point on the afferent arteriole.

		N	Body wt. (g)	Age (weeks)	AA diameter (μm)	Measured vessel length (μm)
Cx40	WT	8	33.1 ± 1.3	31.8 ± 5.6	28.7 ± 5.3	259.4 ± 58.2
	KO	7	27.9 ± 1.7 $p = 0.04$	41.9 ± 4.1 NS	15.5 ± 3.4 $p = 0.04$	178.6 ± 26.4 NS
Cx45	WT	9	$27.4 \pm 1.3^*$	$16.4 \pm 1.1^{***}$	30.6 ± 2.7	361.1 ± 28.6
	KO	11	$22.5 \pm 1.6^{\#}$ $p = 0.007$	$15.9 \pm 1.2^{***}$ NS	23.6 ± 3.1 NS	295.5 ± 41.8 NS

Cx40, connexin 40; Cx45, connexin 45; WT, wildtype; KO, knockout; AA, afferent arteriole; NS, not significant. * $p < 0.05$; *** $p < 0.001$ vs. Cx40 WT; $^{\#}p < 0.05$; $^{\# \# \#}p < 0.001$ vs. Cx40 KO.

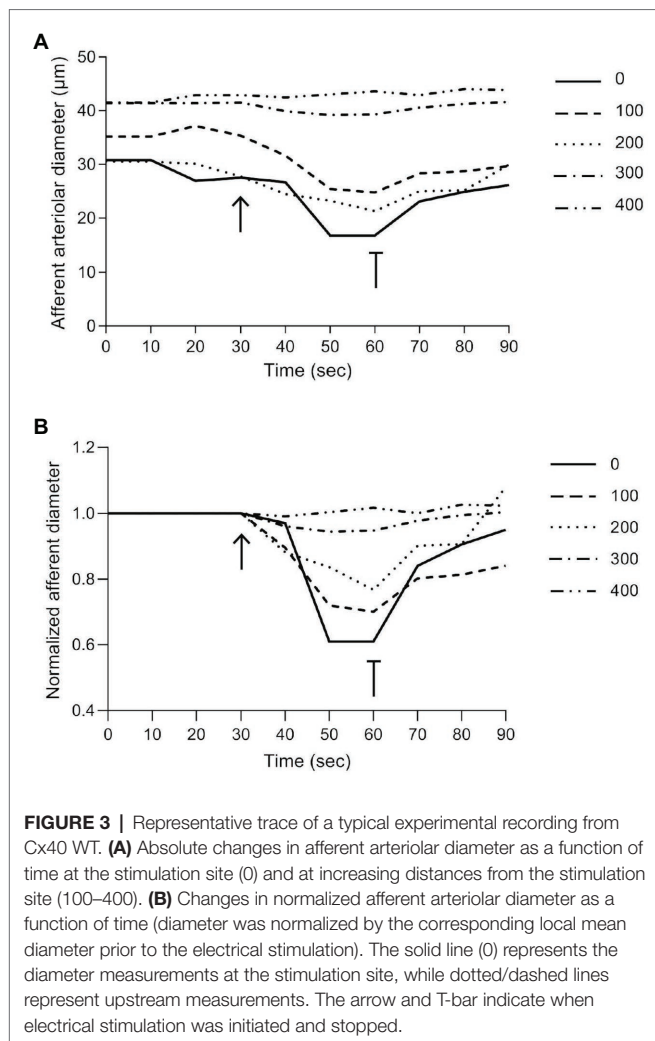


FIGURE 3 | Representative trace of a typical experimental recording from Cx40 WT. **(A)** Absolute changes in afferent arteriolar diameter as a function of time at the stimulation site (0) and at increasing distances from the stimulation site (100–400). **(B)** Changes in normalized afferent arteriolar diameter as a function of time (diameter was normalized by the corresponding local mean diameter prior to the electrical stimulation). The solid line (0) represents the diameter measurements at the stimulation site, while dotted/dashed lines represent upstream measurements. The arrow and T-bar indicate when electrical stimulation was initiated and stopped.

Cx45 KO mice (22.5 ± 1.6 g, $p = 0.007$). Mice from the Cx40 strain were significantly heavier than the Cx45 strain. Age was not different within the groups; however, mice from the Cx40 strain were older than the mice from the Cx45 strain. Resting vessel diameter measured at the glomerular entrance was significantly different between Cx40 WT and Cx40 KO ($p = 0.04$), but not between Cx45 WT and Cx45 KO, or between groups. Maximal traceable vessel length (afferent arteriole and in some cases interlobular arteries) was not significantly different between or within groups.

Afferent Arteriolar Diameter

Figure 2 shows an afferent arteriole branching from an interlobular artery and the micropipette used to deliver the electrical pulse stimulation.

A typical recording of the afferent arteriolar diameter from a Cx40 WT mouse is shown in Figure 3A and the normalized diameter is shown in Figure 3B. The electrical stimulation (shown by the arrow at 30 s) produces strong vasoconstriction locally (0 μm). Vessel diameter is reduced by approximately 40% of its baseline diameter within the first 20 s of stimulation. Termination of the electrical stimulation (shown by the T-bar

at 60 s) results in a quick return to near control diameters. Locally, diameter returned to approximately 85% of its baseline diameter within the first 10 s and by the end of the 30-s recovery, the diameter has returned to near baseline value. The magnitude of the vasoconstriction response is seen to decrease with increasing distances upstream from the stimulation site. At 400 μm, electrical stimulation induced no detectable vasoconstriction in the example shown.

Figure 4 shows the relative reduction in vessel diameter as a function of distance from the stimulation site in Cx40 WT and KO (Figures 4A,B). Figures 4C,D show data from Cx45 WT and KO, respectively. A data point (♦) in any of the figures represents the average of all the measurements made at that given distance \pm SEM. The exponential curve fit is weighted according to the number of observations at a given distance (source code available in **Supplementary Data**). Thus, data points close to the stimulation site with many observations weigh in more heavily than data points further away with fewer observations. Data for the fitted curves are shown in Table 2.

Comparing measurements at the stimulation site directly between groups (one-way ANOVA) showed that relative vasoconstriction between Cx40 WT and KO (0.23 ± 0.03 vs. 0.13 ± 0.03 ; $p < 0.05$) was significantly different. Albeit numerically different, no significant difference was found between Cx45 WT and KO (0.22 ± 0.05 vs. 0.16 ± 0.03 ; $p = 0.32$). This is in line with the fitted data (Table 2), where the y -intersection (a) is equivalent to the local vasoconstriction.

The decay rate (b) of the conducted vasoconstriction evaluated using bootstrapping was significantly smaller in Cx45KO compared to Cx45 WT ($p < 0.05$, Table 2) corresponding to a larger length constant in the Cx45WT. There was no significant difference in decay rate between Cx40WT and Cx40KO although it appeared smaller in Cx40 KO.

In all groups, vasoconstriction decreases with increasing distance. Comparing the relative afferent arteriolar constriction in each group against 0, the data showed that in Cx40 WT a significant constriction was measurable up to 200 μm (Figure 4A), in Cx40 KO the distance was 50 μm (Figure 4B). In the Cx45 group, a significant constriction could be measured at 300 μm in the WT arterioles (Figure 4C) and at 250 μm in the KO (Figure 4D).

DISCUSSION

The present study investigated the importance of intercellular communication *via* GJs, through Cx40 and Cx45, in the conduction of afferent arteriolar vasoconstriction in response to local electrical stimulation. We have previously shown that lack of Cx40 or Cx45 significantly reduces TGF (Sorensen et al., 2012; Møller et al., 2020). Here, we examine if this reduction is caused by a decrease in the vascular conduction of vasoconstriction. The local and conducted vasoconstrictor responses were measured during stimulation and compared to the diameter measured before stimulation. Decay of constriction was computed for the stimulation period for data pooled from each mouse strain.

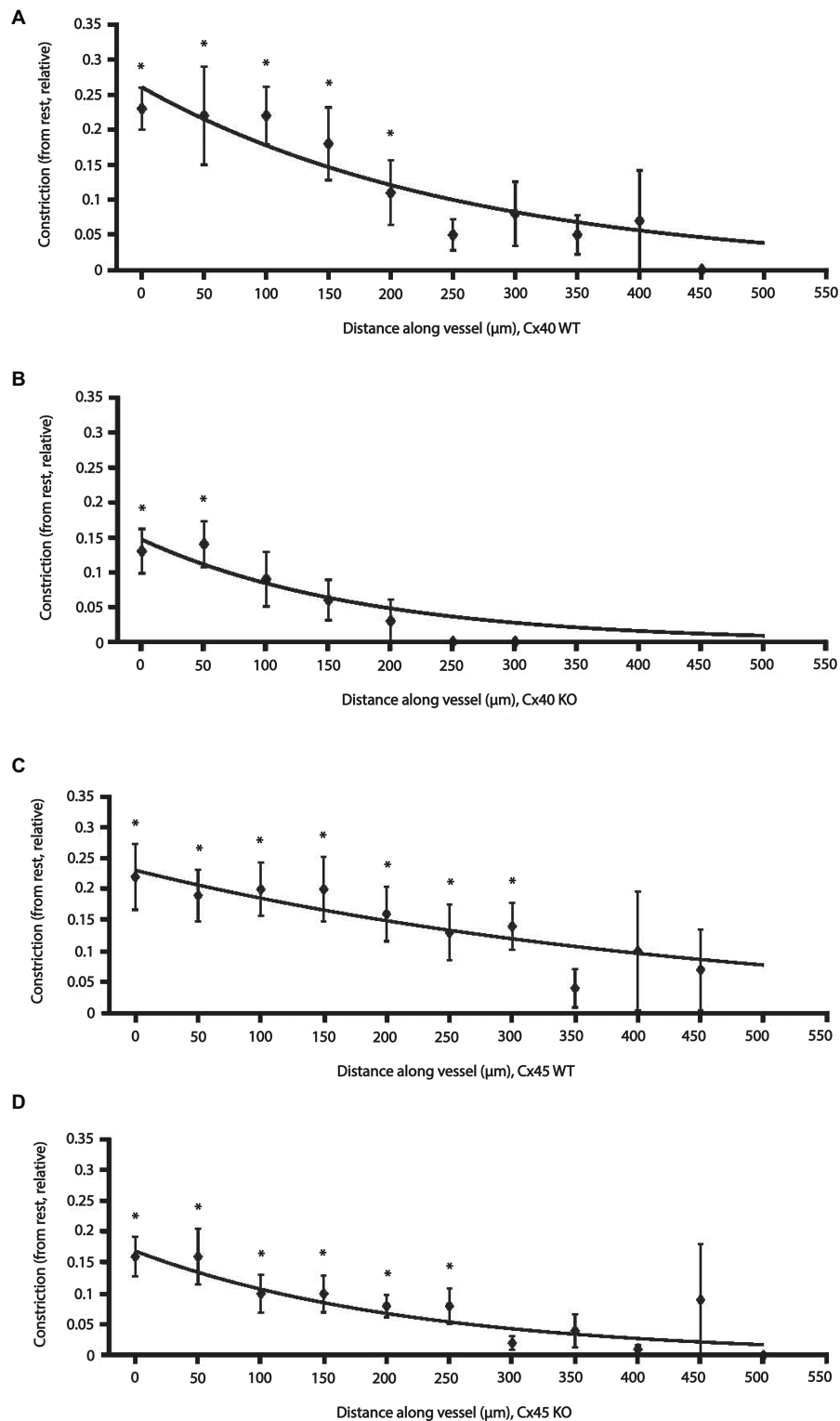


FIGURE 4 | Effect of electrical stimulation on afferent arteriolar diameter in WT and KO of Cx40 and Cx45 mice. Changes in afferent arteriolar diameter as a function of distance from the stimulation point. Data are constriction relative to the local diameter measured at rest. Data are presented as mean \pm SEM. The lines are fits to the data points weighted according to the number of observations at each distance and fitted to an exponential model: $y = ae^{bx}$ (please see Methods and Materials section). Data points without error bars: $n = 1$. **(A)** Cx40 WT ($n = 8$) and **(B)** Cx40 KO ($n = 7$). **(C)** Cx45 WT ($n = 9$) and **(D)** Cx45 KO ($n = 11$). * $p < 0.05$ vs. 0.

TABLE 2 | Summary of conducted response characteristics for WT and KO animals of both strains.

Strain	a, 95% CI (μm)	b ($\times 10^{-3}$), 95% CI ($\times 10^{-3}$) ($1/\mu\text{m}$)	Length constant ($-1/b$, μm)
Cx40 WT	0.26, (0.20, 0.33)*	-3.8, (-6.0, -1.7)	363
Cx40 KO	0.15, (0.092, 0.20)	-5.6, (-10.7, -0.47)	179
Cx45 WT	0.23, (0.17, 0.29)	-2.2, (-3.8, -0.53)**	455
Cx45 KO	0.17, (0.12, 0.21)	-4.5, (-7.1, -2.0)	222

Values are calculated by fitting data to a single exponential decaying function: $y = ae^{-bx}$ where y is the relative change in diameter at distance x upstream from the stimulation site, a is the y-intercept, and b is the decay rate of the response along the vessel. Significance levels were evaluated using bootstrapping procedure.

* $p < 0.01$ Cx40 WT vs. Cx40 KO; ** $p < 0.05$ Cx45 WT vs. Cx45 KO.

VSMCs and ECs work together to coordinate and propagate constriction and dilation along the vessel wall (Li et al., 2015). The conducted vasomotor response is important in the regulation of blood flow and resistance in vascular networks (Gustafsson et al., 2001) and depends on GJs (Segal et al., 1989; Hakim et al., 2008; Braunstein et al., 2009). In afferent arterioles, ECs are primarily coupled through Cx40 (Arensbak et al., 2001) and VSMCs through Cx45 (Møller et al., 2020). GJs also play a role in the signaling between ECs and VSMCs (Emerson and Segal, 2000) through myoendothelial GJs (MEGJs; Haefliger et al., 2004). It is unknown which Cxs are part of MEGJs in the renal vasculature (Wagner, 2008) but Cx45 or Cx40 are likely candidates. In Cx40 KO mice, renal endothelium derived hyperpolarization was significantly reduced compared to WT, suggesting that Cx40 is part of the renal MEGJ (Brasen et al., 2018). Also, Cx40 has been found in junctions between EC and renin secreting cells (transformed VSMC; Haefliger et al., 2001) supporting that Cx40 could be part of the MEGJ.

Both mouse strains used in the present study have been characterized previously. The Cx40 KO was hypertensive (de Wit et al., 2000; Wagner, 2008; Just et al., 2009), but RBF was similar in Cx40 WT and KO (Just et al., 2009). Segmental arterial and afferent arteriolar diameters were not significantly different (Sorensen et al., 2012), but heart weight and afferent wall trans-sectional area were increased in Cx40 KO mice (Sorensen et al., 2012; Jacobsen and Sorensen, 2015), possibly due to the hypertension. The Cx45 KO was reported to be slightly hypertensive (Hanner et al., 2008) or normotensive (Schmidt et al., 2012). Segmental arterial and afferent arteriolar diameters were not significantly different between Cx45 WT and KO, but wall trans-sectional area was decreased in afferent arterioles from Cx45 KO (Møller et al., 2020). In both strains, TGF was found to be reduced in the KO (Sorensen et al., 2012; Møller et al., 2020), whereas the myogenic response was unaffected by the reduction in intercellular communication (Jacobsen and Sorensen, 2015; Møller et al., 2020).

Electrical pulse stimulation induced a local and a conducted vasoconstriction response in afferent arterioles from Cx40 and Cx45 WT and KO mice. The local response measured at the stimulation site was significantly smaller in Cx40 KO compared to Cx40 WT. However, in cremaster arterioles from Cx40 KO

mice, local vasoconstriction elicited with electrical stimulation or KCl was similar to that observed in WT mice (Figueroa et al., 2003; Wölflé et al., 2007). As shown before, the afferent arteriolar diameter measured in the juxtaglomerular segment in Cx40 KO was smaller than in WT and smaller than the diameter measured 100 μm upstream (Sorensen et al., 2012). This could suggest an increased baseline afferent arteriolar constriction at the site closest to the glomerulus when Cx40 is not present. Eliminating TGF did not normalize afferent arteriolar diameter, suggesting that TGF is not causing the constriction. Importantly, afferent arteriolar diameter measured upstream from the juxtaglomerular site was not significantly smaller in Cx40 KO (Sorensen et al., 2012). No significant difference in the local vasoconstriction was found between Cx45 WT and KO even though it appeared smaller in Cx45 KO. However, this could be due to the relatively small effects being measured resulting in low statistical power. In mice lacking Cx45 in VSMC, KCl-induced local vasoconstriction was similar to the constriction elicited in WT mice (Schmidt et al., 2012). In isolated segmental arteries from both strains, no difference was found between WT and KO when assessing the vasoconstrictor response to NE (Brasen et al., 2018; Møller et al., 2020). Collectively, these data suggest that the renal vascular reactivity in Cx40 and Cx45 KO is not reduced. Possibly, the decreased juxtaglomerular afferent arteriolar diameter in Cx40 KO affects the local vasoconstriction elicited at this site.

Although the decay rate of vasoconstriction seemed slightly increased in Cx40 KO, no significant difference was found between Cx40 WT and KO. This is in accordance with results obtained in skeletal muscle arterioles, where KCl-induced conducted vasoconstriction was unaffected in Cx40 KO mice (de Wit et al., 2000). It is generally believed that electrical signals travel predominantly in the endothelial layer (Kapela et al., 2018), which is coupled mainly by Cx40. In isolated preglomerular arteries from Cx40 KO, electrical stimulation did not elicit an increase in VSMC intracellular Ca^{2+} measured 500 μm from the stimulation site (Sorensen et al., 2012). However, no measurements were made at shorter distances. In addition, an electrical signal may travel further than what can be measured when using increases in intracellular Ca^{2+} or vasoconstriction as parameters. Comparing the constriction at a given distance against 0 revealed that in Cx40 KO the constriction was only significant at 50 μm . This could be due to the smaller local constriction.

In contrast, in Cx45 KO, the decay rate was significantly increased compared to WT. Possibly, Cx45 is part of the MEGJ in the afferent arteriolar wall, and its removal by conditional knockout could reduce communication between the VSMC layer and the endothelium, hence reducing the mechanical response from the VSMC layer. Myoendothelial junctions are unevenly distributed along the wall. The spread of depolarization from VSMCs that are coupled to the endothelium, to VSMCs that are not, could be central for a distant mechanical response to electrical stimulation. In addition, although the endothelium is normally considered the main path for a longitudinal spread of current in the

microcirculation, the presence of VSMC-VSMC coupling by Cx45-containing GJs may also contribute. A possible proposed mechanism is through Ca^{2+} signaling as Cx45 is known to be involved in the propagation of Ca^{2+} waves in VSMC isolated from afferent arterioles (Hanner et al., 2008). Comparing the constriction against 0 showed that in Cx45 KO the constriction was only significant up to 250 μm compared to 300 μm in the WT.

The structure of the vascular wall may affect both the local vasoconstriction and conduction properties. The vascular wall in renal vessels with a diameter <40 μm is thicker compared to vessels from skeletal muscles (Hosoyamada et al., 2015), which would reduce conduction in afferent arterioles. In both KO strains, changes in wall trans-sectional area (WTA) and stress sensitivity in the afferent arteriole have been found (Jacobsen and Sorensen, 2015; Møller et al., 2020). However, WTA and stress sensitivity seemed to be increased in Cx40 KO, whereas it was decreased in Cx45 KO. Whether this can account for the increase in decay rate observed in Cx45 KO remains to be established. Another possibility is that deletion of Cx40 or Cx45 changes the expression of other GJs or ion channels responsible for eliciting the vasoconstriction. Deletion of Cx40 reduced expression of Cx37 in the vasculature (Simon and McWhorter, 2003; de Wit, 2010), which could affect the vascular response. Whether this could also be the case for vascular ion channels is currently not known.

Differences in myogenic activity at the chosen RPP of 95 mmHg may also influence the obtained results. Resting membrane potential of VSMCs in the afferent arteriole in isolated perfused rat kidneys was approximately -40 mV at 80 mmHg RPP (Loutzenhiser et al., 1997). We assume that a comparable resting membrane potential is present in mouse afferent arterioles at an RPP of 95 mmHg. Under these conditions, the afferent arteriole has substantial myogenic tone and addition of nifedipine, an inhibitor of L-type Ca^{2+} channels, significantly increased afferent arteriolar diameter (Sorensen et al., 2012). In Cx40 KO mice, afferent arteriolar diameter remained constant even though RPP was increased from 75 to 95 mmHg (Sorensen et al., 2012) and in Cx45 KO mice the same RPP increase induced a significant reduction in afferent arteriolar diameter (Møller et al., 2020), suggesting that both strains have myogenic tone in the present experimental setup. As no differences have been found in the myogenic response between KO and WT from both strains (Jacobsen and Sorensen, 2015; Møller et al., 2020) it does not seem likely that differences in myogenic tone are affecting the electrically induced vascular responses observed in the present experiments.

A number of limitations are present in our experiments. Due to the experimental setup, it is not possible to position the stimulation pipette next to the vascular wall. A varying layer of tubular tissue will separate the pipette tip and the vascular wall. This limitation has been mitigated by only including arterioles with a minimum local constriction of 5%. In addition, the preparation is not fixed and the arterioles have some vasomotion that could affect the measurements. Consequently, we used an average of the diameter measured

over 30 s. Vasoconstriction is not the most sensitive measure to quantify vascular conduction. Changes in membrane potential can be measured using sharp electrodes or patch-clamp (Li et al., 2004). This method is not applicable in our preparation, where the cells of the vascular wall are not freely accessible. Another factor that should be taken into consideration is the age span of the mice (2–14 months). Laboratory mice reach sexual maturity at 8–12 weeks of age (Dutta and Sengupta, 2016); past the age of 18 months, these mice are considered old (Hagan, 2017). We know that age does not affect the myogenic response (Lott et al., 2004), unless subjects are sedentary (Hong and Lee, 2017). In WKY and SHR rats, both cortical and juxtamedullary autoregulatory activity were similar between ages 10 and 70 weeks (Iversen et al., 1998) even though MAP increased significantly in SHR. These findings indicate that vascular function is intact at 14 months of age, and we are not aware of any study that has directly addressed the effect of aging on vascular conduction in the mouse afferent arteriole. Lastly, we have used mixed sexes. Renal autoregulation in the normal physiological state is identical in males and females (Hilliard et al., 2011; Brown et al., 2012) as is renal vascular responses to angiotensin II and PE (Schneider et al., 2010). Thus, the use of mixed sexes would likely not affect the results.

In conclusion, we have shown that knockout of Cx40 reduces local vasoconstriction but does not significantly change the conduction of vasoconstriction. Vascular deletion of Cx45 significantly reduces the vascular conduction induced by electrical stimulation in afferent arterioles. Consequently, vasoconstriction in response to a comparable stimulus is conveyed shorter when intercellular communication between cells in the vascular wall is reduced in the renal microcirculation. This may in turn affect the ability to regulate and autoregulate renal perfusion.

DATA AVAILABILITY STATEMENT

All datasets generated and source code for this study are included in the article/**Supplementary Material**.

ETHICS STATEMENT

The animal study was reviewed and approved by the Danish National Animal Experiments Inspectorate, Ministry of Environment and Food of Denmark, and Danish Veterinary and Food Administration.

AUTHOR CONTRIBUTIONS

SM and CS designed the animal experiments. SM performed animal experiments and drafted manuscript. SM, CS, and JJ analyzed data and prepared figures and tables. SM, JJ, N-HH-R, and CS interpreted results, edited and revised manuscript and approved final version of manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fphys.2020.00961/full#supplementary-material>.

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Suppression of Connexin 43 Leads to Strial Vascular Hyper-Permeability, Decrease in Endocochlear Potential, and Mild Hearing Loss

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Objective: Connexin 43 (Cx43) is a protein constituent of gap junctions (GJs) in various barrier cells, especially astrocytes and microglia of the blood-brain-barrier (BBB), where it plays an important role in intercellular communication and regulation of the barrier. Despite the importance of Cx43 in other blood barriers, not much attention has been paid to expression and function of Cx43 in the blood-labyrinth-barrier (BLB) of the stria vascularis in the cochlea.

Methods: We used multiple research approaches, including immunocytochemical staining, patch-clamp dye loading technique, real-time quantitative reverse transcription (RT)-PCR, western blot, measurement of endocochlear potential (EP) with an electrode through the scala media, and auditory brainstem response to test hearing function.

Results: We found Cx43 expressed in vascular endothelial cells (ECs) and perivascular resident macrophages (PVMs) in the stria vascularis of adult C57BL/6 mouse cochleae. In particular, we found Cx43 expressed in foot processes of PVMs at points of contact with the endothelium. Consistent with Cx43 expression *in vivo*, we also found Cx43 expressed in EC-EC and EC-PVM interfaces in a co-cultured cell line model. Using a patch-clamp dye loading technique, we demonstrated that Alexa Fluor® 568 dye injected into PVMs diffuses to connected neighboring ECs. The functional coupling between the ECs and PVMs is blocked by 18 α -Glycyrrhetic acid (18 α -GA), a GJ blocker. Suppression of Cx43 with small interfering RNA (siRNA) *in vivo* significantly elevated hearing threshold and caused the EP to drop and the blood barrier to become more permeable. In further study, using *in vitro* primary EC cell line models, we demonstrated that suppression of Cx43 disrupts intercellular tight junctions (TJs) in the EC monolayer and increases endothelial monolayer permeability.

Conclusion: Taken together, these findings underscore the importance of Cx43 expression in the normal ear for maintaining BLB integrity, normal EP, and hearing function.

Keywords: mouse, connexin 43, blood-labyrinth-barrier, perivascular macrophage, endocochlear potential, hearing loss

INTRODUCTION

Gap junction (GJ) expression in the inner ear is essential for audition (Mammano, 2019). GJ proteins such as connexin 26 (Cx26) and Cx30 are widely distributed in cochlear epithelial cells (Jagger and Forge, 2015; Verselis, 2019). They play critical roles in hearing, including roles in cochlear development and in sustaining auditory function in the mature cochlea (Qu et al., 2012; Verselis, 2019). Mutations in connexins in the cochlear epithelium, in particular mutation of Cx26, is known to be a common cause of congenital hearing loss (Avraham, 2001; Liu et al., 2001; Hoang Dinh et al., 2009; Lee and White, 2009; Martinez et al., 2009; Sun et al., 2009; Hong et al., 2010; Jagger and Forge, 2015; Wingard and Zhao, 2015).

Connexin 43 (Cx43), encoded by the GJ alpha-1 (GJA1) gene, has also been identified in cochlear tissues, including in the stria vascularis, spiral ligament, organ of Corti, and supporting cells of the vestibular sensory epithelia and spiral ganglia (Forge et al., 2003; Suzuki et al., 2003; Nagy et al., 2004; Procacci et al., 2008; Figueroa and Duling, 2009; Liu et al., 2014). Mutation in Cx43 has been reported to be linked to non-syndromic hearing loss (Liu et al., 2001; Martinez et al., 2009; Hong et al., 2010; Wingard and Zhao, 2015). Yet, despite the Cx43 mutation shown involved in congenital hearing loss, the physiological role of Cx43 plays in hearing is largely unknown. This is particularly true regarding its role in the blood-labyrinth barrier (BLB) of the stria vascularis.

The stria vascularis is an ion-transporting tissue in the inner ear that plays an important role in maintaining tissue homeostasis and the endocochlear potential (EP; Wangemann and Schacht, 1996). The stria vascularis lines the scala media and comprises basal cells, intermediate cells, and marginal cells, as well as of the capillary network which perfuses it. Functionally, the stria vascularis produces endolymph and maintains cochlear homeostasis and the EP. The EP is the critical driving force necessary for the depolarization of hair cells (HCs) and is thus central to hearing function (Tasaki and Spyropoulos, 1959; Wangemann, 2002, 2006; Chen and Zhao, 2014). The BLB, a highly specialized capillary network in the stria vascularis, controls exchanges between blood and the intrastrial space in the cochlea (Shi, 2011, 2016). The barrier shields the inner ear from blood-born toxic substances and selectively passes ions, fluids, and nutrients to the cochlea, playing an essential role in maintenance of the cochlear homeostasis needed for hearing function (Juhn and Rybak, 1981; Juhn, 1988; Shi, 2016). Anatomically, the BLB comprises endothelial cells (ECs) in the strial microvasculature, elaborated tight and adherens junctions, pericytes (PCs), basement membrane (BM), and perivascular resident macrophages (PVMs), which together form a complex “cochlear-vascular unit” (Shi, 2010; Lapenna et al., 2018). Physical interactions between the ECs, PCs, and PVMs, as well as signaling between the cells, are critical for controlling vascular permeability and providing a functionally stable environment (Shi, 2011, 2016).

In the blood-brain-barrier (BBB) of the central nervous system (CNS), Cx43 is predominately expressed in vascular

ECs and astrocytes and is especially found in foot process contacts with capillaries (Danesh-Meyer and Green, 2008; Chew et al., 2010; Boulay et al., 2015). The role that Cx43 plays in intercellular communication and regulation of barrier function (other than in the BLB) is widely reported (Naus et al., 1991; Winkler et al., 2011; Boulay et al., 2015). However, the role of Cx43 in the stria BLB has not yet been investigated. In the present study, we report, for the first time, Cx43 immunoreactivity in the stria BLB of adult mouse (C57BL/6) cochleae. We found that downregulation of Cx43 leads to hearing loss. Suppression of CX43 also strongly affects BLB integrity, causing stria hyper-permeability and decrease in EP. Further study in primary EC cell line models revealed that the Cx43 has an effect on TJ protein expression in the endothelial barrier. Taken together, the results underscore the importance of Cx43 expression in the stria BLB for maintenance of BLB integrity, normal EP, and hearing function.

MATERIALS AND METHODS

Animals

All mice used in this work were purchased from The Jackson Laboratory (Bar Harbor, ME, USA). C57BL/6J mice (stock number: 000664, 6–8 weeks old) were used in all *in vivo* experiments, excepting the B6.129P2(Cg)-Cx3cr1^{tm1Litt}/J transgenic mice (stock number: 005582, 6–8 weeks old) used in the *in vivo* immunofluorescence experiments for the visualization of Cx43 expression in PVMs. All procedures in this study were reviewed and approved by the Institutional Animal Care and Use Committee (IACUC) at Oregon Health & Science University (IP 00000968).

Immunohistochemistry

The procedure for immunohistochemistry was previously reported (Zhang et al., 2012). Briefly, mice were deeply anesthetized by intraperitoneal injection of a cocktail containing ketamine hydrochloride (100 mg/kg, Henry Schein, Melville, NY) and xylazine hydrochloride (40 mg/kg, Par Pharmaceutical Companies, Inc. Spring Valley, NY). After sedation, mice were perfused intravascularly through the left ventricle with phosphate-buffered saline (PBS, pH 7.4) to flush out circulating blood, followed by a 4% paraformaldehyde fixative. The mice were decapitated and the cochleae harvested and fixed in 4% paraformaldehyde overnight. Whole-mounts of stria vascularis were carefully isolated, washed in PBS, permeabilized in 0.5% Triton X-100 (T8787, Sigma-Aldrich, St. Louis, MO) in PBS for 0.5 h, and immuno-blocked with a solution of 1% fish gelatin (G7765, Sigma-Aldrich, St. Louis, MO) in PBS for an additional hour. The specimens were incubated overnight at 4°C with the primary antibody (Table 1), a rabbit polyclonal, to detect Cx43 (ab11370, Abcam, Cambridge, MA) in 1% bovine serum albumin (BSA) diluted in PBS. After three washes in PBS, samples were incubated with the secondary antibody, Alexa Fluor 568-conjugated goat anti-rabbit IgG (A-11011, Thermo Fisher Scientific, Waltham, MA), for 1 h at room temperature. Capillaries were labeled with the lectin Griffonia simplicifolia IB4 (GS-IB4)

Nomenclature: EP, Endocochlear potential; ABR, Auditory brainstem response; PVM, Perivascular resident macrophage.

TABLE 1 | Antibodies used in the study.

Antibodies	Vectors	Cat#	Dilution	source (dilution with 1% BSA-PBS)	Application
ZO-1	Invitrogen	61-7300	1:25	Rabbit polyclonal for ZO-1	Labeling TJ associated protein
VE-cadherin	Abcam	Abcam	1:50	Rabbit polyclonal for VE-cadherin	Labeling TJ associated protein
Occludin	Abcam	Ab31721	1:50	Rabbit polyclonal for occludin	Labeling TJ associated protein
Connexin 43	Abcam	Ab11370	1:100	Rabbit polyclonal for connexin 43/GJA1	Labeling gap junction protein (in tissue)
Connexin 43	Abcam	Ab78055	1:250	Mouse monoclonal for connexin 43/GJA1	Labeling gap junction protein (in cell line)
von Willebrand Factor	Abcam	Ab11713	1:100	Sheep polyclonal for von Willebrand factor	Labeling endothelial cells

conjugated to Alexa Fluor 647 (I32450, Thermo Fisher Scientific, Waltham, MA). The tissues were washed three times and mounted in mounting medium (H-1500, Vector Laboratories, Burlingame, CA).

EC Culture and EC-PVM Co-culture Staining for Cx43

Protocols for generating the cell lines used in these experiments were previously reported (Neng et al., 2013). Briefly, cochleae from 10- to 15-day-old C57BL/6J mice were harvested under sterile conditions. The stria vascularis was gently pulled away from the spiral ligament and placed in ice-cold perilymph solution containing 125 mmol/L NaCl, 3.5 mmol/L KCl, 1.3 mmol/L CaCl₂, 1.5 mmol/L MgCl₂, 0.51 mmol/L NaH₂PO₄, 10 mmol/L HEPES, and 5 mmol/L glucose at pH7.4 with osmolarity adjusted to 310 mmol/kg. The isolated stria vascularis was washed with gentle shaking in cool perilymph solution for 10 min and transferred to a clean 35-mm collagen I-coated dish with 2 ml of Endothelial Cell Medium (1001, ScienCell™ Research Laboratories, Carlsbad, CA) or Macrophage Medium (1921, ScienCell™ Research Laboratories, Carlsbad, CA). The stria vascularis was cut into small pieces with sterile ophthalmic tweezers under a dissection microscope. Fragmented pieces of the stria vascularis were seeded around the dish to roughly uniform density. The procedure for situating the fragments requires approximately 2 h. The medium is changed every 3 days. The cells are incubated at 37°C in 5% CO₂ for 7–14 days until the cell clones melt. Cell clusters of each phenotype are visible by phase contrast microscopy at 6–7 days, at which time the cells are passaged into a 60-mm collagen I-coated dish. The cultured cells were purified by fluorescence-activated cell sorting. Purified primary PVMs were fluorescence encoded with green fluorescent protein (GFP), as previously described (Neng et al., 2015). Purified primary ECs and fluorescence tagged PVMs

were cultured or co-cultured in separate dishes or in mixtures of the two cell types for 3 days. The cells were then fixed in 4% paraformaldehyde in PBS for 15 min at room temperature, permeabilized in 0.5% Triton X-100 in PBS for 5 min, blocked with 1% fish gelatin for 1 h, and incubated overnight at 4°C with the primary antibodies. The primary antibodies for the EC culture included anti-von Willebrand factor (vWF; ab11713, Abcam, Cambridge, MA) for ECs and anti-Cx43 for Cx43 diluted in 1% BSA in PBS. The primary antibody for the EC-PVM co-culture was only anti-Cx43 diluted in 1% BSA in PBS. After three washes in PBS, the samples were incubated with secondary antibodies, either Alexa Fluor 488-conjugated donkey anti-sheep IgG (A-11015, Thermo Fisher Scientific, Waltham, MA) or Alexa Fluor 568-conjugated goat anti-rabbit IgG for 1 h at room temperature. Images were acquired under an FV1000 Olympus laser-scanning confocal microscope (Olympus FV1000, Japan), saved as OIB files, and further processed using Adobe Photoshop CS2 (Adobe Systems, San Jose, CA).

Dye Injection With a Patch-Clamp Pipette

The EC and PVM co-culture was bathed in artificial cerebrospinal fluid (ACSF) at room temperature on day 3. Pipettes for dye delivery were prepared using a micropipette puller (Sutter, P-97). When back-filled with the internal solution, the typical resistance of the pipette is about 15 mΩ in ACSF. The ACSF used to bath the cell line consists of: 137 mM sodium chloride, 0.5 mM sodium bicarbonate, 1 mM sodium phosphate, 3 mM potassium chloride, 2 mM calcium chloride, 1 mM magnesium sulfate, 20 mM HEPES, and 16 mM glucose, with the pH adjusted to 7.4 with sodium hydroxide. The internal solution contains: 125 mM potassium gluconate, 5 mM sodium chloride, 4 mM EGTA, 10 mM HEPES, 4 mM ATP-Mg, 0.5 mM GTP-Na, 10 mM phosphocreatine, and 1 mM magnesium chloride, with the pH adjusted to 7.2 with potassium hydroxide. Alexa 568 Hydrazide 35 µg/ml (A10437, Thermo Fisher Scientific, Waltham, MA) was added to the internal solution and dialyzed for 1 min. Alexa 488 Hydrazide (A10436, Thermo Fisher Scientific, Waltham, MA) was then delivered to the cells (PVMs) in whole-cell mode. Since the Alexa dye is negatively charged, a negative potential (−10 mV) was applied to expedite diffusion of the dye. To block the GJ, 18α-Glycyrrhetic acid (18α-GA, 10 µM, G8503, Sigma-Aldrich, St. Louis, MO) was added to the culture medium for 30 min (Zhu et al., 2016; Jiang et al., 2017).

In vivo siRNA Transfection and Real-Time Quantitative RT-PCR

For the small interfering RNA (siRNA) transfection, mice were anesthetized, a 5 µl solution of Gja1 Silencer® Select Pre-designed siRNA (40 µM, 4390771, Assay ID: s66667, Thermo Fisher Scientific, Waltham, MA) was injected through the posterior-inferior quadrant (Qi et al., 2014) with a Hamilton's microliter syringe (30 G needle), and the middle ear was filled completely with the solution for 3 days. Scrambled siRNA (4390846, Thermo Fisher Scientific, Waltham, MA) of the same concentration was given to the control group. This method was previously used and reported (Zhang et al., 2012).

Three days following the injection, mice were sacrificed, and the cochleae were dissected. Total RNA was extracted from the stria vascularis.

The procedure for quantitative RT-PCR was previously described and reported (Yang et al., 2011). Briefly, a total RNA (300–500 ng) was extracted from control scrambled siRNA treated ($n = 6$) and Gja1 siRNA treated animals ($n = 6$) using an RNeasy MinElute Cleanup Kit (74204, Qiagen, Valencia, CA), as per the manufacturer's recommendations. The sample for total RNA was reverse-transcribed with a RETROscript™ Reverse Transcription Kit (AM1710, Thermo Fisher Scientific, Waltham, MA). Complementary DNA (cDNA) synthesized from total RNA was diluted 10-fold with DNase-free water, with each cDNA sample independently measured for three times. Transcripts were quantified by TaqMan® Gene Expression Assays for Gja1 (4331182, Assay ID: Mm00439105_m1, Thermo Fisher Scientific, Waltham, MA) on a model 7300 Real-Time PCR system (Thermo Fisher Scientific, Waltham, MA). The real-time PCR was cycled at 95°C for 20 s, 40 cycles of 95°C for 1 s, and 60°C for 20 s. Mouse glyceraldehyde-3-phosphate dehydrogenase (GAPDH) was used as an endogenous control. Quantitative PCR analysis was performed as per the guidelines provided by Applied Biosystems using the comparative cycle threshold method.

Western Blot Analysis

Total 400 µg protein from stria tissue of control, scrambled siRNA treated, and Gja1 siRNA treated groups was extracted in ice cold ACSF containing Halt™ Protease Inhibitor (1:100; 78425, Thermo Fisher Scientific, Waltham, MA) and transferred to cold RIPA Lysis and Extraction Buffer (89900, Thermo Fisher Scientific, Waltham, MA) with the protease inhibitor. A TissueRuptor (Kimble™ Kontes™ Pellet Pestle™; 11800104, Thermo Fisher Scientific, Waltham, MA) was used to homogenize tissue samples, which were then centrifuged for 15 min at 13,000 rpm. Concentration of proteins in the supernatant was measured using a UV-visible spectrophotometer (NanoDrop 1000, Thermo Fisher Scientific, Waltham, MA). A total of 120 µg protein from each cohort was loaded to lanes of a 10% sodium dodecyl sulfate-polyacrylamide gel to detect Cx43. Proteins were electrophoretically transferred to PVDF membranes and blocked with non-fat milk for 1 h at room temperature. Membranes were then incubated in primary antibody, a rabbit polyclonal anti-Cx43/GJA1 antibody (ab11370, Abcam, Cambridge, MA), or GAPDH (sc-32233, Santa Cruz Biotechnology, Dallas, TX) at a dilution of 1:1000 in skim milk overnight at 4°C. After three washes with TBST, the membranes were incubated for 1 h with the secondary antibody goat anti-rabbit IgG (H + L)-HRP (170-6515, Bio-Rad Laboratories, Hercules, CA) at a dilution of 1:3000 for 1 h at room temperature, and antigens were assessed using ECL Plus Western Blotting Detection Reagents (RPN2132, Amersham Biosciences, Piscataway, NJ). Image J (NIH, 1.52p) was used to quantify samples in the western blot analysis. All image panels presented in the manuscript were constituted from individual images processed and labeled using Adobe Photoshop (version CC 2017) with a resolution of 300 dpi. To enhance visualization, some of the images were equivalently enhanced after composition.

Immunolabeling of Tight Junction-Associated Proteins in Primary Cell Lines and Isolated Strial Capillaries

Around 2 ml of purified ECs at passage 3 (at a density of 3.0×10^5 ml) was seeded and grown on 35-mm collagen I-coated glass-bottom dishes in a growth medium for ~5 days. Cells were then transfected with Gja1 siRNA for 48 h, following the manufacturer's guidelines. For negative control, the cells were transfected with scrambled siRNA. For the transfection, 10 µl TransIT-TKO® Transfection Reagent (MIR 2154, Mirus Bio LLC, Madison, WI) was mixed with 250 µl serum-free medium in a sterile tube, and 25 nM siRNA was added to the mixture. After incubation for 15 min at room temperature, the TransIT-TKO Reagent/siRNA mixture was added to the cell culture dish with 2.25 ml of fresh complete growth medium. The cells were then fixed in 4% PFA (pH 7.2) for 15 min at room temperature and washed in 2 ml of PBS (three times for 10 min). The cells were permeabilized in 0.25% Triton X-100 for 5 min at room temperature, washed with 2 ml PBS (three times for 10 min), and incubated with an immunofluorescence blocking solution at room temperature for 1 h. The cells were incubated overnight at 4°C with primary antibodies, ZO-1 Polyclonal Antibody (61-7100, Thermo Fisher Scientific, Waltham, MA), Anti-VE cadherin antibody (ab33168, Abcam, Cambridge, MA), Anti-Occludin antibody (ab31721, Abcam, Cambridge, MA), and Anti-Connexin 43/GJA1 antibody (ab78055, Abcam, Cambridge, MA; **Table 1**) in 1% BSA in PBS. After three washes in PBS for 10 min, the cells were incubated with the secondary antibody, Alex Fluor 568-conjugated goat anti-rabbit IgG (H + L) and Alex Fluor 488 goat anti-mouse IgG (H + L; A-11001, Thermo Fisher Scientific, Waltham, MA), diluted at 1:100 in 1% BSA in PBS solution for 1 h at room temperature. The cells were washed in 2 ml PBS (three times for 10 min) and imaged under FV1000 Olympus laser-scanning confocal microscope (Olympus FV1000, Japan). For capillary isolation, we used a previously reported “sandwich-dissociation” method for isolation (Yang et al., 2011; Zhang et al., 2012). Specifically, the cochleae from control (scrambled siRNA) and siRNA transfection groups were dissected after cardiovascular perfusion with saline, removed rapidly, and placed in a Petri dish filled with a physiological solution containing 125 mmol/L NaCl, 3.5 mmol/L KCl, 1.3 mmol/L CaCl₂, 1.5 mmol/L MgCl₂, 0.51 mmol/L NaH₂PO₄, 10 mmol/L Hepes, and 5 mmol/L glucose at pH 7.4 with osmolarity adjusted to 310 mmol/kg. The stria vascularis was peeled away from the spiral ligament gently with Dumont tweezers (110 mm, 0.1 × 0.06 mm tip) and a Tungsten Dissecting Probe (50 mm, 0.5-mm diameter rod) under an Olympus SZ61 dissecting microscope. The stria vascularis was placed in a glass-bottomed microwell dish (dish diameter: 35 mm; microwell diameter: 10 mm; coverglass: 0.16–0.19 mm; MatTek Corporation) filled with the physiological solution. A glass coverslip (0.16 mm) then was positioned over the stria vascularis, and the tissue was sandwiched gently between the glass surfaces. Gentle pressure was applied to compress the stria vascularis against the two glass surfaces. By repeating this step, the nonvascular tissues were dispersed

into the solution and separated from the capillaries. Nonvascular cells were flushed away gently with a 100- μ l micropeptide; the clean microvessels were adhered and “printed” onto the bottom of the dish, analogous to the offset-printing deposit of a pattern of ink onto paper. The isolated capillaries were then immunolabeled with ZO-1 Polyclonal Antibody (61-7100, Thermo Fisher Scientific, Waltham, MA), Anti-VE cadherin antibody (ab33168, Abcam, Cambridge, MA), and Anti-Occludin antibody (ab31721, Abcam, Cambridge, MA; Zhang et al., 2012). The samples were imaged under the Olympus laser-scanning confocal microscope.

Assessment of Vascular Permeability *in vivo*

Vascular permeability in the scrambled siRNA treated and Gja1 siRNA treated groups was assessed repeatedly using an albumin-fluorescein isothiocyanate (FITC) conjugate tracer (A9771, FITC-albumin, 66 kDa, Sigma, St. Louis, MO) and a fluorescein isothiocyanate-dextran tracer (FD10S, FITC-dextran, 10 kDa, Sigma, St. Louis, MO). Around 100 μ l tracers (40 mg/ml) were intravenously administered to the tail vein of anesthetized control siRNA treated and Gja1 siRNA treated groups for 30 min prior to transcardial perfusion of the mice with PBS. After circulating blood cells were completely flushed away, the stria vascularis was gently removed. The tissues were then homogenized in 1% Triton X-100 in PBS, with the lysate centrifuged at 13,000 rpm for 20 min. The fluorescence signal, reflecting concentration of fluorescence tagged tracer trapped in the stria vascularis, was detected with a Tecan GENios Plus microplate reader at an excitation wavelength of 450 nm, with the emission acquired through a 560-nm filter.

Permeability Assay

Purified ECs were seeded on polyethylene terephthalate membranes of Transwell inserts (353095, Corning, New York, NY) in 24-well plates at 10^5 cells per insert and cultured for 3 days to form a complete monolayer, as previous reported (Zhang et al., 2015). The cells were then transfected with Gja1 siRNA or scrambled siRNA for 48 h (at a 25 nM final concentration), as per TransIT-TKO guidelines. Endothelial monolayer permeability was assessed by determining the flux of FITC-dextran in the upper and lower chambers. FITC-dextran (10 kDa, 10 mg/ml) was added to the insert at a final concentration of 1 mg/ml. After 20 min of incubation at room temperature, 100 μ l medium was removed from the well of the Receiver Tray and transferred to a 96-well plate for measurements. The permeability of the EC monolayer was measured by detecting FITC-dextran fluorescence using a fluorescence plate reader with filters appropriate for 485 nm excitation and 535 nm emission (Zhang et al., 2012).

Statistics

SPSS (18.0) software was used for the data analysis. For determining sample size, we used power analysis to calculate the minimum sample size for a power of 0.8. We used the “resource equation” method when calculating sample size for

ANOVA tested data. Both the Shapiro–Wilk test and Q-Q plot were used to test data distribution. Data were presented as means \pm SD. Since we took random samples of each group, the data are independent. Student’s *t*-test was used for comparison of two groups and one-way ANOVA was used for comparison of three groups. $p < 0.05$ was considered statistically significant.

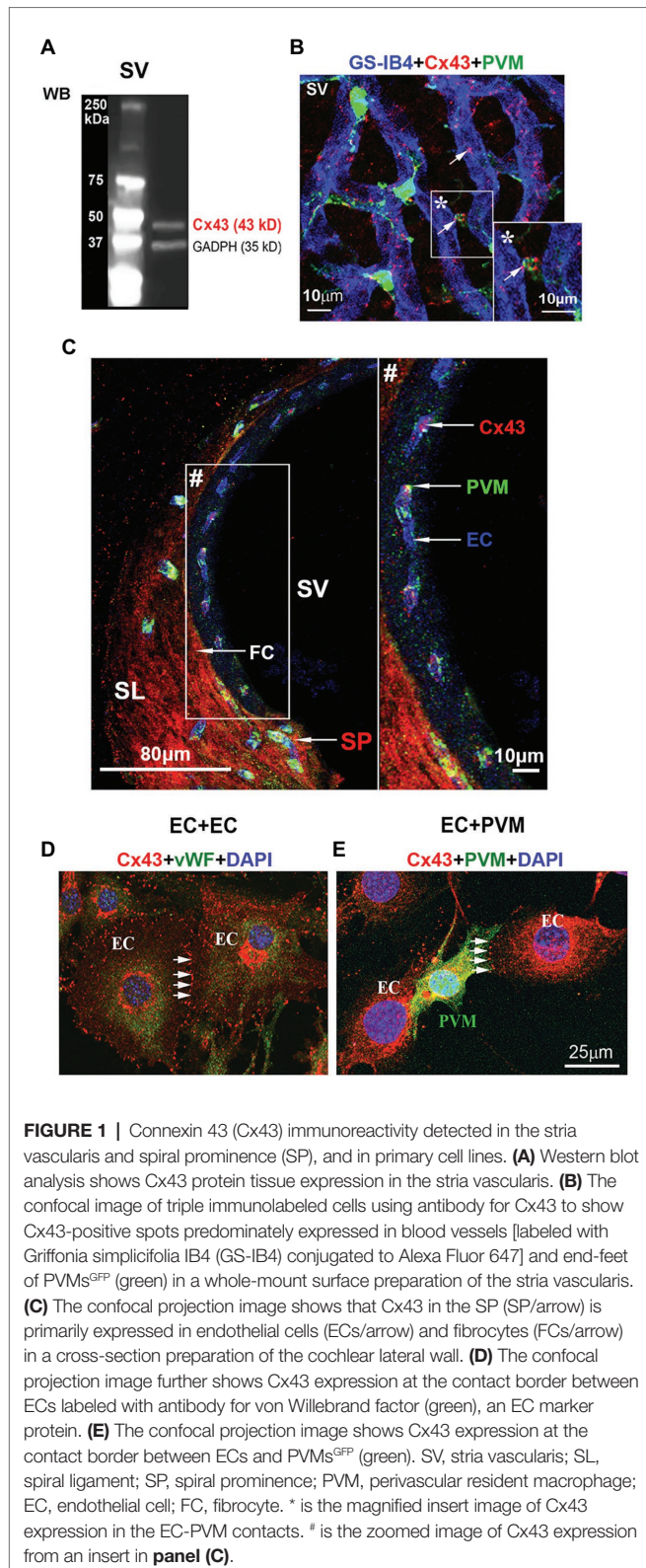
RESULTS

Cx43 Immunoreactivity Was Detected in the Cochlear Stria Vascularis, and in a Co-culture of PVM-EC Primary Cell Lines

CX43, a GJ protein, has been identified widely distributed in the cardiac and neural system (Hansen et al., 2014). In this study, using western blot, we detected Cx43 immunoreactivity in adult mouse stria vascularis (**Figure 1A**). With immunofluorescence labeling in combination with confocal microscopy, we found that Cx43 was expressed predominantly in capillary ECs and in the contacts between GFP-tagged PVMs (PVMs^{GFP}) and ECs in whole-mounted stria vascularis (**Figure 1B**). Cx43 expression in the EC-PVM contacts is particularly evident in the magnified insert image (*) in **Figure 1B**. Cx43 expression was also seen in the spiral ligament (SL), particularly in fibrocytes (FCs) of the spiral prominence (SP), as shown in a cross-section of the cochlear lateral wall (**Figure 1C**). Consistent with what we see in a whole-mounted surface preparation, Cx43 expression in ECs and PVMs is further demonstrated in the cross-section preparation. The Cx43 expression is better visualized in the zoomed image (#) from an insert (#) in panel C. Consistent with Cx43 expression found in stria ECs and PVMs of whole-mounted tissue, we also detected Cx43 expression in co-culture of primary cell lines of stria ECs and PVMs. Under confocal microscopy, we found Cx43 particularly expressed in EC-EC contacts (**Figure 1D**) of an EC cultured model and in PVM-EC contacts (**Figure 1E**) of an EC + PVM co-culture model. The results indicate that Cx43 is expressed in stria BLB component cells of adult cochlea.

Cx43 Mediation of PVM-EC Communication Tested in an *in vitro* Primary Cell Line Co-culture Model

In this study, we found Cx43 GJs actively involved in intercellular communication between ECs and PVMs in an *in vitro* model. PVMs encoded with GFP were co-cultured with ECs for 2 consecutive days. After the ECs and PVMs established connections (day 3), Alexa Fluor 568 fluorescence dye was delivered to the PVM^{GFP} by a patch-clamp pipette. We identified PVMs by the green fluorescence under an epi-fluorescence microscope. One minute later, we detected that the injected fluorescence dye had propagated to surrounding cells, which included connected ECs and nearby PVMs^{GFP}. **Figure 2A** is a representative image showing dye diffusion 1 min after the dye was injected into the PVMs^{GFP} under an epi-fluorescence microscope. **Figure 2B** is the same experiment but recorded under a confocal fluorescence microscope 5 min after the dye was injected to the PVMs. The confocal image enables us to



better display the dye communication between the PVMs and three connected ECs and one neighboring PVM.

To determine whether the dye communication between PVMs^{GFP} and ECs involved Cx43 GJ protein, we employed a

GJ blocker, 18 α -GA, which has been reported to block Cx43 mediated intercellular communication (Zhu et al., 2016; Jiang et al., 2017). As shown in **Figures 2C,D**, we found that blocking GJ with 18 α -GA significantly blocked dye transfer from an injected PVM^{GFP} to the connected ECs. These results suggest that Cx43 mediates intercellular communication between PVMs and ECs.

Downregulation of Cx43 Expression in the Adult Mouse Cochlea Decreases the Endocochlear Potential

The EP is generated by electrogenic secretion of potassium-rich endolymph from the stria vascularis (Patuzzi, 2011). In this study, EP was measured from the scala media by micropipette through it in control siRNA treated and Gja1 siRNA treated mice. Gja1 siRNA (40 μ M) at the same concentration of scrambled siRNA (control siRNA) was administered to the cochlea *via* the middle ear cavity for 3 days. Real-time PCR analysis showed that the level of Cx43 messenger RNA (mRNA) in the Gja1 siRNA treated animals was significantly lower than in the control siRNA treated animals ($n = 6$, $**p < 0.01$, **Figure 3A**). Cx43 protein levels were consistently lower in the Gja1 siRNA treated group (analyzed by WB) than in the control siRNA treated-group [$n = 7$, $*p = 0.013$, one-way ANOVA, $**p_{(\text{negative ctrl vs. Gja1 siRNA})} = 0.006$, **Figures 3B,C**]. We found that the EP was significantly affected by downregulation of Cx43 expression. In the control group, an average EP value is $\sim +107 \pm 9.5$ mV. In contrast, the EP in Gja1 siRNA treated animals was reduced to $\sim +77 \pm 10.4$ mV. **Figures 3D,E** demonstrate average EP values and representative EP waveforms in control, siRNA, and scrambled siRNA treated-groups. Our data indicate that normal Cx43 expression is essential for a normal EP.

Downregulation of Cx43 Expression in the Adult Mouse Cochlea Causes Mild Hearing Loss

Hearing sensitivity is also affected by downregulation of Cx43 expression. We found that no significant elevation in hearing threshold was detected in the control siRNA treated-group (**Figures 4A,C**). In contrast, the hearing threshold in the Gja1 siRNA treated-mice was significantly elevated across all tested sound frequencies. **Figures 4B,D** show the respective elevation of hearing threshold at different sound frequencies after Gja1 siRNA treatment at 4 kHz ($n = 9$, $*p = 0.013$), 8 kHz ($n = 9$, $*p = 0.039$), 12 kHz ($n = 9$, $*p = 0.023$), 16 kHz ($n = 9$, $**p = 0.001$), 24 kHz ($n = 9$, $***p < 0.001$), and 32 kHz ($n = 9$, $*p = 0.035$). Our data indicate that reduced Cx43 expression in the cochlea causes mild hearing loss across the spectrum from low frequency to high frequency.

Downregulation Cx43 Expression Increases Strial Vascular Leakage *in vivo* and *in vitro*

What mechanisms might explain the drop in EP and hearing loss when Cx43 expression is suppressed? It is known that

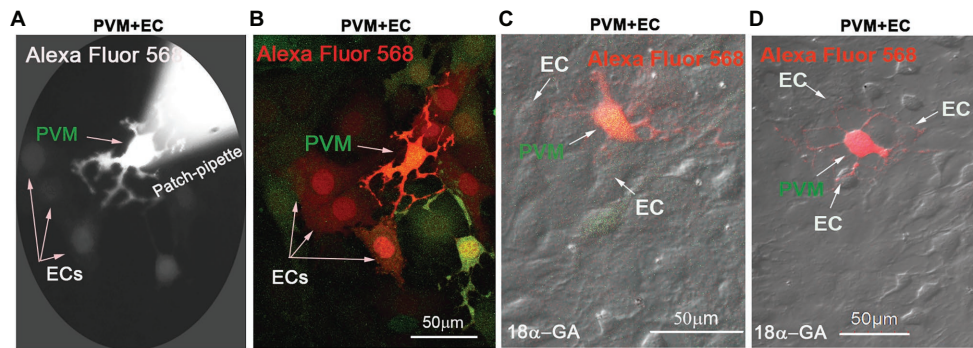


FIGURE 2 | Cx43 mediates intercellular communication between PVMs and ECs in an *in vitro* co-culture model. **(A)** Alexa Fluor 568 dye communication between an injected PVM and nearby ECs 1 min after dye loading under epi-fluorescence microscopy. **(B)** The same experiment was recorded under confocal fluorescence microscopy to better show the injected fluorescent Alexa Fluor 568 dye has spread to surrounding ECs within 5 min of PVM^{GFP} dye injection (green). Arrows indicate dye communication with the cells. **(C,D)** DIC images are overlaid on confocal fluorescence images to show that the injected Alexa Fluor 568 dye was restricted to PVMs (red/green), not transferred to surrounding ECs, when Cx43 was blocked with 18α-Glycyrrhetic acid (18α-GA).

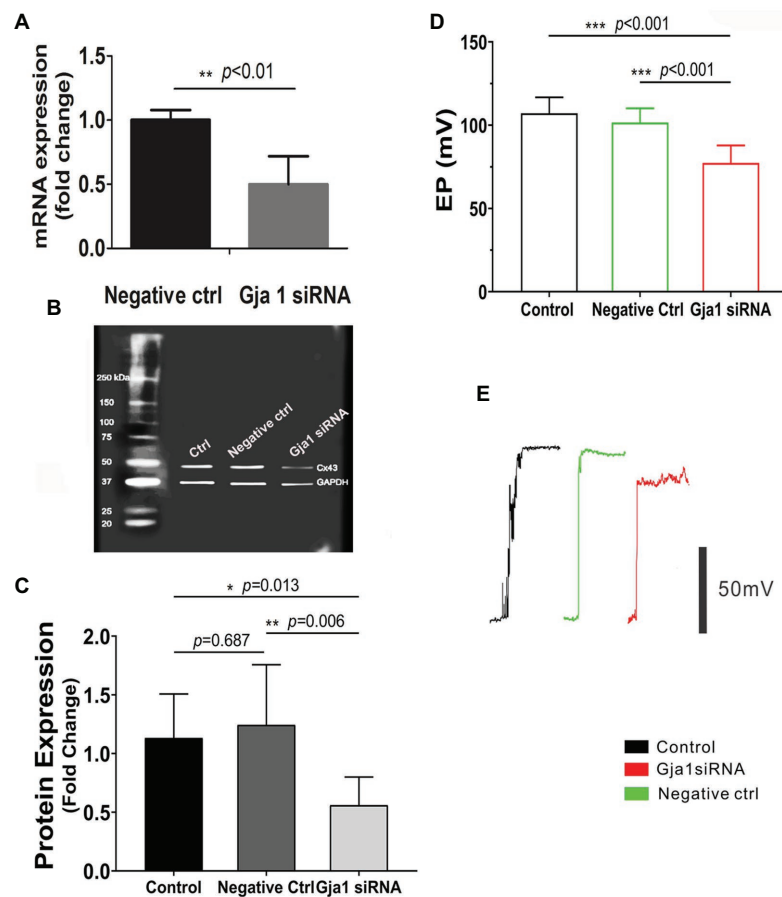


FIGURE 3 | Downregulation of Cx43 reduces endocochlear potential (EP). **(A)** Real-time PCR analysis shows that Cx43 messenger RNA (mRNA) expression is significantly decreased in the stria vascularis of Gja1 small interfering RNA (siRNA) treated groups [$n_{\text{negative ctrl}} = 6$, $n_{\text{Gja1 siRNA}} = 6$, $t(6.186) = 5.327$, $**p_{(\text{negative ctrl vs. Gja1 siRNA})} < 0.01$, Student's *t*-test]. **(B,C)** Western blot analysis shows that Cx43 protein expression is significantly reduced in the Gja1 siRNA treated group [$n = 7$, $F(2,18) = 5.859$, $*p = 0.011$, $p_{(\text{ctrl vs. negative ctrl})} = 0.687$, $*p_{(\text{ctrl vs. Gja1 siRNA})} = 0.013$, $**p_{(\text{negative ctrl vs. Gja1 siRNA})} = 0.006$, one-way ANOVA]. **(D)** Average EP in the control, control scrambled siRNA treated, and Gja1 siRNA treated group. A slight but significant decrease in Cx43 protein expression is seen in the Gja1 siRNA treated group, compared to the normal and control groups [$n = 6$, $F(2,21) = 22.045$, $***p < 0.001$, one-way ANOVA, $***p_{(\text{ctrl vs. Gja1 siRNA})} < 0.001$, $***p_{(\text{negative ctrl vs. Gja1 siRNA})} < 0.001$, mean \pm SD]. **(E)** Representative EP waveform in the control, control siRNA treated, and Gja1 siRNA treated group.

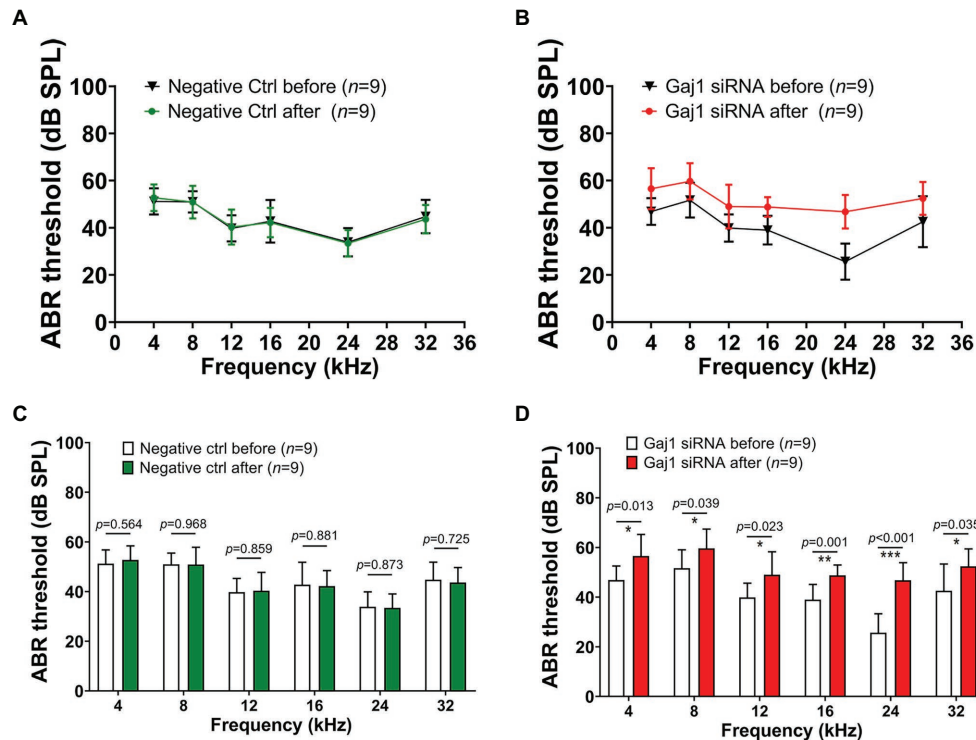


FIGURE 4 | Downregulation of Cx43 expression causes hearing loss. **(A,C)** No elevated hearing threshold was detected at any of the tested frequencies in the control siRNA treated groups ($n = 9$, $p > 0.05$, Student's t -test, mean \pm SD). **(B,D)** Downregulation of Cx43 caused increased hearing threshold at 4 kHz [$n = 9$, $t(16) = -2.802$, $*p = 0.013$, Student's t -test, mean \pm SD], 8 kHz [$n = 9$, $t(16) = -2.243$, $*p = 0.039$, Student's t -test, mean \pm SD], 12 kHz [$n = 9$, $t(16) = -2.507$, $*p = 0.023$, Student's t -test, mean \pm SD], 16 kHz [$n = 9$, $t(16) = -3.966$, $**p = 0.001$, Student's t -test, mean \pm SD], 24 kHz [$n = 9$, $t(16) = -6.068$, $***p < 0.001$, Student's t -test, mean \pm SD], and 32 kHz [$n = 9$, $t(16) = -2.307$, $*p = 0.035$, Student's t -test, mean \pm SD].

direct intercellular communication *via* GJs is critical for control and coordination of blood barrier function (Figueroa and Duling, 2009; Ivanova et al., 2017). In the brain, the absence of Cx43 weakens the BBB (Ezan et al., 2012). Does suppression of Cx43 likewise weaken the BLB? In this study, we investigated the effect of suppressing Cx43 expression on BLB integrity. Vascular permeability was assessed in both *in vitro* in an EC cell line based model and *in vivo*. In the *in vivo* animal model, the vascular permeability in control and Gja1 siRNA treated animals was assessed using a FITC-conjugated dextran tracer. Low molecular weight 10 kDa FITC-dextran or medium molecular weight 66 kDa FITC-albumin was administered intravenously to the mice at a dose of 40 mg/ml in 100 μ l physiological solution for 30 min prior to tissue harvest. FITC-dextran leakage was quantified using a leakage index defined in previous publications (Zhang et al., 2015). Our results showed that downregulation of Cx43 increases BLB permeability, as shown in **Figures 5A,B** ($n = 3$; $**p < 0.001$).

To corroborate our *in vivo* findings, we constructed an *in vitro* endothelial monolayer model (**Figure 5C**), in which a cochlear primary EC cell line was seeded on Transwell filters to form a monolayer (Zhang et al., 2015) and treated with Gja1 siRNA for 48 h. Endothelial monolayer permeability was assessed by determining the flux of FITC-dextran in the lower chambers. The endothelial monolayer barrier was demonstrated

to be more permeable in the Gja1 siRNA treated groups, shown in **Figure 5D** than in the control siRNA treated group ($n = 12$, $****p < 0.0001$).

Downregulation Cx43 Expression Decrease Expression of Tight Junction *in vivo* and *in vitro*

The permeability of the blood barrier is largely a function of the tightness of the intercellular junction. The major TJ-associated proteins in the barrier are occludin, various claudins, ZO-1, and adherens-junction proteins (Jiao et al., 2011; Campbell et al., 2017). Several tight- and adherens-junction proteins, including ZO-1, occludin, and VE-cadherin, have been found in the strial BLB (Zhang et al., 2012, 2015; Shi, 2016). In this study, relative to expression of ZO-1, occludin, and VE-cadherin in the capillaries under normal conditions (**Figures 6A–C**), we found that expression of these proteins in the endothelial tube is clearly changed at day 3 after siRNA treatment *in vivo* (**Figures 6D–F**). Consistent with the findings seen *in vivo*, TJ protein distribution in the EC monolayer was dramatically altered within 48 h in the siRNA treated groups *in vitro*. In controls, TJs are prominent, outlining the borders of connected ECs throughout the monolayer, as shown in **Figures 6G–I**. In contrast, downregulation of Cx43 leads to randomly scattered, irregular clusters, as shown in **Figures 6J–L**.

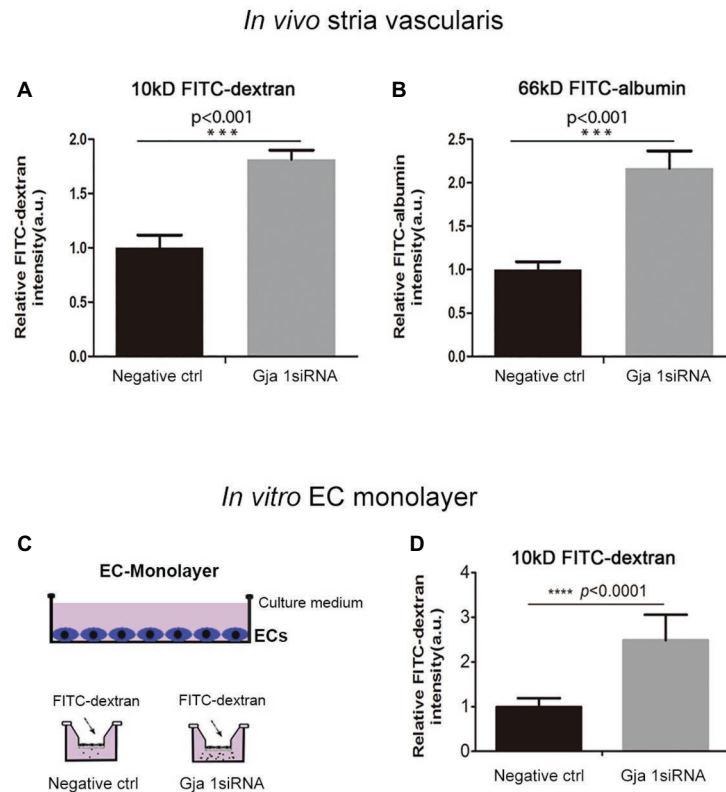


FIGURE 5 | Suppression Cx43 increases vascular leakage *in vivo* and *in vitro*. **(A,B)** More fluorescein isothiocyanate (FITC)-dextran [MW 10 kDa; $n_{\text{ctrl}} = 3$, $n_{\text{Gja1 siRNA}} = 3$, $t(4) = -9.797$, $***p_{(\text{ctrl vs. Gja1 siRNA})} < 0.001$, Student's *t*-test] and FITC-albumin [MW 66 kDa; $n_{\text{ctrl}} = 3$, $n_{\text{Gja1 siRNA}} = 3$, $t(4) = -9.134$, $***p_{(\text{ctrl vs. Gja1 siRNA})} < 0.001$, Student's *t*-test] leakage was detected in Gja1 siRNA-treated animals than in control scrambled siRNA treated animals. **(C)** An illustration of the EC monolayer model. **(D)** Significantly increased permeability is found in the EC-monolayer of the Cx43 downregulated group compared to the control EC-monolayer group [$n_{\text{ctrl}} = 12$, $n_{\text{Gja1 siRNA}} = 12$, $t(13.404) = -8.626$, $****p_{(\text{ctrl vs. Gja1 siRNA})} < 0.0001$, Student's *t*-test, mean \pm SD].

DISCUSSION

The GJ protein, Cx43, encoded by the GJA1 gene, is the most abundant connexin in the cardiovascular system. Cx43 is a crucial factor in stabilizing electrical conduction in cardiac muscle and maintaining the integrity of the (BBB; Nagy and Rash, 2000; Boulay et al., 2016). Cx43 is pervasive in the inner ear as well. Mutation of Cx43 was found by Yang, the second most common mutation (27.45%) in the inner ear causing genetic sensorineural hearing loss, after Cx26 mutation causing 45.16% of genetic sensorineural hearing loss (Yang et al., 2007). The mechanism by which Cx43 mutation causes hearing loss is not fully understood. In this study, we used immunocytochemical techniques to examine normal Cx43 expression in the BLB of the stria vascularis. Cx43 expression was found in strial ECs and PVMs in adult C57BL/6 mouse cochlea. In particular, we found extensive Cx43 expression in the contacts between PVMs and ECs. Consistent with Cx43 expression *in situ*, we also found Cx43 expression in co-cultured primary cell lines of strial ECs and PVMs. Using a patch clamp technique, we demonstrated functional communication between PVMs and ECs. When GJs were treated with a GJ blocker, dye communication between the PVMs and ECs was blocked.

Suppression of Cx43 with siRNA *in vivo* significantly increased hearing threshold and also caused the EP to drop. Further study showed suppression of Cx43 to markedly affect BLB integrity and increase BLB permeability. Our data indicate that Cx43 is essential for strial BLB integrity, EP, and hearing sensitivity in the adult ear.

Previous studies from different labs have reported detection of Cx43 expression in various cells of the cochlea, including in the organ of Corti, lateral wall, spiral ganglion neurons (SGNs), and their neurite terminals innervating inner and outer HCs (Suzuki et al., 2003; Liu and Yang, 2015). However, reports on the distribution of Cx43 in the cochlea are inconsistent. Most data have shown a broad distribution of Cx43 in different cochlear regions in the early stages of cochlear development, especially for synaptogenesis and establishment of auditory neurotransmission (Liu and Yang, 2015). However, a few groups have shown Cx43 expression in spiral ganglia to persist into adulthood (Liu and Yang, 2015). For example, Kim et al. (2013) reported that Cx43 robustly presents in retro-cochlear centers in adult CBA/J mice. Liu et al. (2014) showed Cx43 expression in satellite glial cells (SGCs) in adult human and guinea pig. These satellite cells play an important role in protecting SGNs. We presume that the inconsistent reports from different

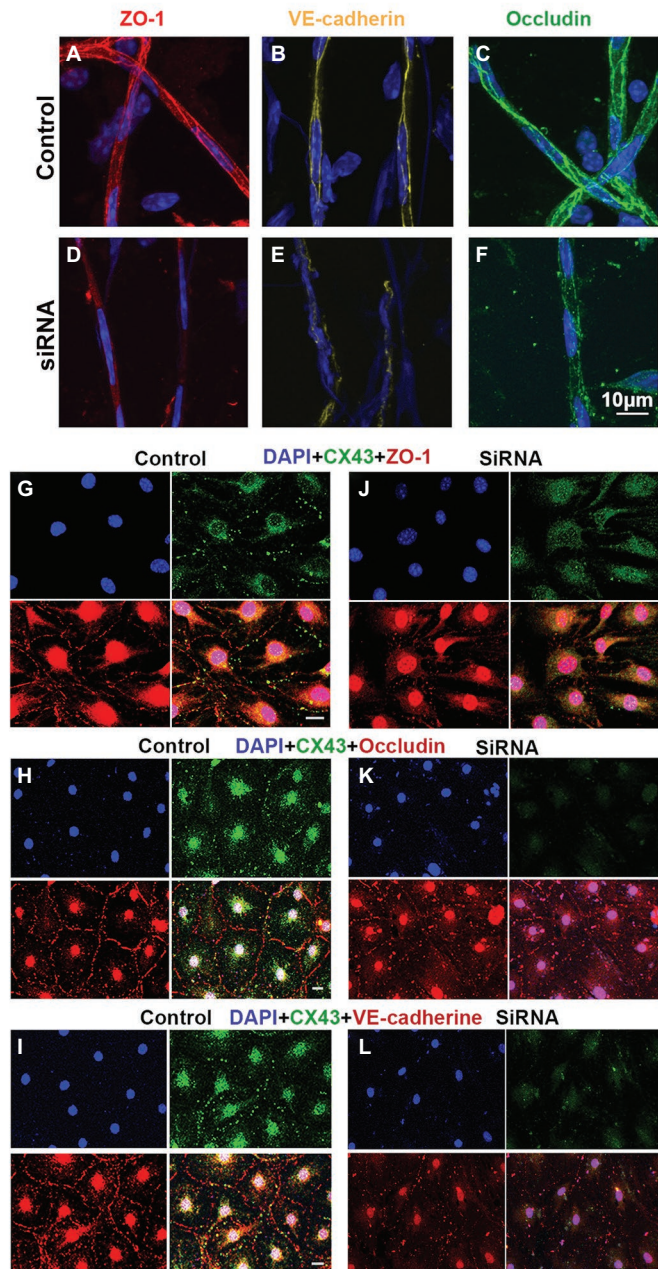


FIGURE 6 | Suppression of Cx43 alters the TJ distribution pattern in EC monolayers. (A–F) Tight junction (TJ) protein (ZO-1, VE-cad, and occludin) expression patterns in isolated capillaries of control (A–C) and Gja1 siRNA-treated groups (E–F). Gja1 siRNA-treated groups show reduced suppression of ZO-1, VE-cad, and occludin. (G–I) TJ protein (ZO-1, VE-cad, and occludin) expression patterns in the control group. (J–L) TJ protein (ZO-1, VE-cad, and occludin) expression patterns in the Cx43 suppressed groups. Downregulation of Cx43 had a discernable effect on the distribution pattern of TJ proteins (scale bar: 10 μm).

laboratories might be due to differences in experimental staining procedure and animal species (Nagy et al., 2001).

Cx43 expression in the stria vascularis has only been reported in post-natal mice (P0, P14, or P17; Cohen-Salmon et al., 2004; Liu and Yang, 2015). For example, Suzuki et al. (2003) reported Cx43 expression in the adult stria vascularis of rat cochlea. They demonstrated Cx43-positive areas localized in the stria vascularis and spiral ligament. In the present study,

we obtained results consistent with Suzuki, detecting a unique pattern of Cx43 distribution in the stria vascularis of adult mouse cochlea (as shown in Figure 1). Cx43 expression was typically expressed in contacts between PVM end-feet and the endothelium of blood vessels. We further verified Cx43 protein in the stria vascularis by western blot. Moreover, we demonstrated that Cx43 mediated intercellular communication occurs between ECs and PVMs in *in vitro* primary cell line models.

These findings provide corroborating evidence in support of an early finding by Takeuchi and Ando (1998), in which they demonstrated that melanocytes, which we later identify as PVMs (Zhang et al., 2012; Neng et al., 2013), communicate and are dye-coupled with ECs through GJs *in vivo*. In this study, we also noticed less Cx43 expression in the vascular network of the spiral ligament (Figure 1C), further confirming our earlier conclusion that the two vascular networks are functionally distinct (Shi, 2011). The microvessels in the spiral ligament have roles in regulation of blood flow, as vessels in this region contain a large number of smooth muscle cells and contractile PCs (Shi et al., 2008). In contrast, strial microvessels have endothelium specialized to form a tight BLB, crucial for maintaining the EP, ion transport, and endolymphatic fluid balance, necessary for cochlear sensitivity.

GJs are critical for coordination of cell function, allowing passage of electrical charge between connected cells and exchange of chemical signals and energy substrates (Zhang et al., 2018). It is generally accepted that the stria vascularis in the cochlea produces the K^+ -rich endolymph, which is the driving force for sound transduction by sensory HCs (Zdebik et al., 2009). GJs are an important link in the intracellular K^+ -recycling pathway to the stria vascularis (Zdebik et al., 2009). The distinctive pattern of Cx43 distribution in strial BLB component cells and the functional communication mediated by Cx43 raises the question: Does Cx43 play a critical role in maintaining BLB integrity and EP generation? To investigate this question, we employed siRNA silencing to downregulate Gja1 in adult mice and suppress Cx43 expression. The downregulation caused the EP to drop, and, correspondingly, hearing loss was seen at some frequencies, particularly high frequencies. The patchy hearing loss could be due to the effective distribution of siRNA in the cochlea. Agents injected into the middle ear can form a concentration gradient from basal regions to the apex (Plontke et al., 2008). Consistent with the notion, we have frequently observed in the lab that delivery of chemicals to the middle ear mostly concentrates at the basal turn, although we have also found the efficacy of

some chemicals on cochlear function higher at the apical turn, presumably due to chemical properties.

The strial BLB is normally one of the tightest blood-tissue barriers in mammals and is critical for maintaining cochlear homeostasis, particularly for maintaining the EP. Break in the barrier could result in an intrastrial electric shunt (Cohen-Salmon et al., 2007). In this study, we presume Cx43 expression in endothelium and PVMs together with expression of other GJ proteins, including Cx26 and Cx30, affect K^+ movement and the EP, as shown in Figure 7. PVMs are interdigitated between marginal and basal cell processes (Steel and Barkway, 1989) and surrounding blood vessel walls (Shi, 2016). We hypothesize the Cx43 expressed in the PVMs facilitates K^+ transport for maintaining the EP through two pathways: (1) circulating blood ("fresh" K^+ restores K^+ depleted in recycling) and (2) K^+ returned through epithelial-syncytium layers (recycled K^+ ? as illustrated in Figure 7). In the first pathway, K^+ may be taken up into capillary ECs from the blood stream through K^+ channels or $Na^+/K^+/Cl^-$ co-transporters expressed at the apical surface of ECs. The K^+ is subsequently transmitted to PVMs *via* Cx43. In the second pathway, PVMs facilitate K^+ recycling through GJs, including Cx43, expressed in the FCs and basal cells, as we identify in Figure 1C. Downregulation of Cx43 would interrupt the K^+ recycling from either source to the intrastrial space and lead to an EP drop. In an earlier paper, we showed that normal PVMs maintain capillary integration by having a role in isolating the intrastrial space from the blood flow (Zhang et al., 2012, 2013). In the present study, we further demonstrate that downregulation of CX43 in ECs weakens BLB integrity (as shown in Figure 6) and can cause an intrastrial electric shunt. These would likely contribute to a drop in the EP as well.

In this study, we found that suppressed Cx43 expression causes increased vascular leakage in the strial BLB to low and medium size molecules, as demonstrated in Figure 5. Moreover, we found that downregulation of Cx43 had a discernable effect on the distribution pattern of occludins, ZO-1, and adherens-junction proteins such as VE-cadherin. These proteins are essential for the structural and functional integrity of the

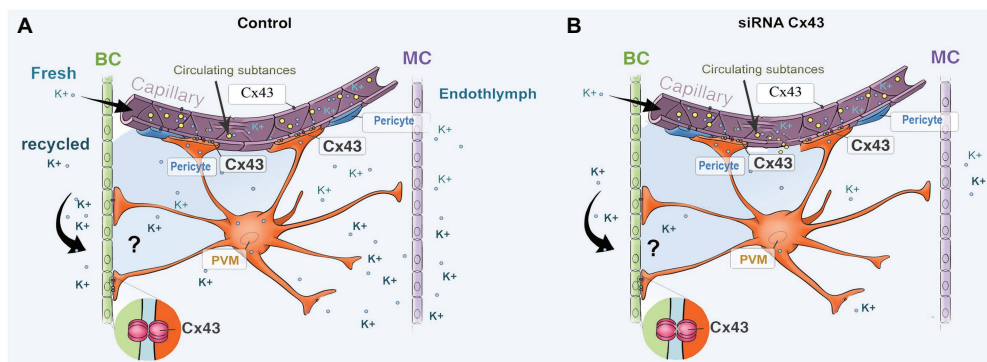


FIGURE 7 | An illustration of the effect of Cx43 expression in endothelium and in PVMs on K^+ movement and EP generation. **(A)** Perivascular resident macrophage (PVM; melanocyte) end-feet contact the capillary wall and also inter-digitally connect with BCs when CX43 is normally expressed. **(B)** siRNA downregulates the expression of CX43, affecting both circulating and recycled K^+ , reducing the EP. Downregulation of Cx43 also causes increased BLB permeability, leading to an intrastrial electric shunt which also reduces the EP.

strial BLB (Shi, 2016). In controls, TJs line the borders of contacted ECs, as shown in **Figure 6**. In contrast, with downregulation of Cx43, we see clusters or randomly scattered distributions of TJs along EC-EC contacts. Consistent with our *in vivo* findings that suppression of Cx43 increases strial BLB permeability, downregulation of Cx43 *in vitro* also made the EC monolayers more permeable. Our results are consistent with recent reports on non-auditory systems, in which Cx43 affects TJ formation and loss of Cx43 leads to blood barrier hyper-permeability (Hollenbach et al., 2018; Johnson et al., 2018; Bazzoun et al., 2019). The mechanism by which suppression of Cx43 alters TJ organization was not determined in this study, and, in fact, the mechanism by which suppression of Cx43 alters TJ organization is largely unknown. Some studies have shown that Cx43 hemichannels contribute to the assembly of cell junctions through modulation of the intracellular oxidative status (Chi et al., 2016). Li et al. (2010) has shown that regulation of Cx43 by siRNA can disassemble adhesive assemblies. Other studies have shown that specific domains of Cx43, such as the ser(9) and ser(10) at the C-terminal, serve as binding sites for interaction with various proteins, including ZO-1 (Xiao et al., 2011). A further study from Laing et al. (2005) has shown that the Cx43 has interactions with TJ proteins such as ZO-1 to form lipid rafts in GJ plaques. Taken together, these studies underscore the functional dependence between TJ and GJ proteins. However, further study is needed to fully elucidate the mechanisms by which Cx43 affects expression of TJ protein in the strial BLB. Normal blood flow to the ear is critical for cochlear homeostasis and extremely important for generating the EP on which sound transduction by HCs depends (Ohlemiller et al., 2008). The EP drop could also reflect changes in blood supply due to the downregulation of Cx43.

Taken together, our data confirm Cx43 expression in the strial BLB and spiral ligament of the adult cochlea. Suppression of Cx43 leads to BLB hyper-permeability, decrease in EP, and loss of hearing sensitivity.

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DATA AVAILABILITY STATEMENT

All datasets presented in this study are included in the article/supplementary material.

ETHICS STATEMENT

All procedures in this study were reviewed and approved by the Institutional Animal Care and Use Committee (IACUC) at Oregon Health & Science University (IP 00000968).

AUTHOR CONTRIBUTIONS

JZ, XW, ZH, and LN were involved in all aspects of the experiments. Specifically, JZ, ZH, and LN did the immunohistochemistry, RT-PCR, WB, and ABR test. In addition, ZH and XW performed the EP measurement. JZ and LN performed the *in vitro* cell line study and were involved in data analysis. JZ, ZH, and YZ formatted the references. XS supervised the experiments and wrote the manuscript. All authors contributed to the article and approved the submitted version.

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Vasculo-Neuronal Coupling and Neurovascular Coupling at the Neurovascular Unit: Impact of Hypertension

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Components of the neurovascular unit (NVU) establish dynamic crosstalk that regulates cerebral blood flow and maintain brain homeostasis. Here, we describe accumulating evidence for cellular elements of the NVU contributing to critical physiological processes such as cerebral autoregulation, neurovascular coupling, and vasculo-neuronal coupling. We discuss how alterations in the cellular mechanisms governing NVU homeostasis can lead to pathological changes in which vascular endothelial and smooth muscle cell, pericyte and astrocyte function may play a key role. Because hypertension is a modifiable risk factor for stroke and accelerated cognitive decline in aging, we focus on hypertension-associated changes on cerebral arteriole function and structure, and the molecular mechanisms through which these may contribute to cognitive decline. We gather recent emerging evidence concerning cognitive loss in hypertension and the link with vascular dementia and Alzheimer's disease. Collectively, we summarize how vascular dysfunction, chronic hypoperfusion, oxidative stress, and inflammatory processes can uncouple communication at the NVU impairing cerebral perfusion and contributing to neurodegeneration.

Keywords: neurovascular, astrocyte, cognitive decline, ischemia, pulsatility

THE NEUROVASCULAR UNIT: A GENERAL OVERVIEW

Blood flow in the brain is directed through a vascular network that links interconnected surface (pial) arteries to penetrating (parenchymal) arterioles and a vast network of capillaries, ultimately draining back to the surface via post-capillary venules and veins. Perfusion within the brain is a highly controlled process maintained through the operation of activity-dependent as well as constitutive mechanisms. Importantly, these mechanisms act in concert to ensure brain homeostasis and optimal functioning.

The brain is a complex functional and structural organizational unit. The smallest level of cellular interactions establishing brain perfusion is the neurovascular unit (NVU) (Iadecola, 2017), which comprises, at minimum, neurons, astrocytes, endothelial cells, vascular smooth muscle cells (VSMCs) and/or pericytes. Dynamic communication among these components contributes to the moment to moment regulation of arteriole and capillary blood flow and provides neurons

with energy substrates as well as removal of waste metabolites. In the classic view, neuron-to-vessel communication (including via astrocytes), referred to as neurovascular coupling (NVC) and neurometabolic coupling, links increases in neuronal metabolic activity to increases in local blood flow (functional hyperemia). Importantly, interactions at the NVU are also bidirectional, with ongoing vessel-to-neuronal signaling, or vasculo-neuronal coupling (VNC), playing a role in establishing homeostasis at the NVU. In this review, we discuss dynamic signaling pathways at the NVU and how diseases, such as hypertension, affect them leading to cognitive dysfunction and neurodegeneration.

Endothelial Cells

While the skull protects the brain from the external environment, endothelial cells are the first line of defense against the entrance of blood-borne pathogens, inflammatory-inducing components of the blood and circulating macrophages and are thus important contributors to brain homeostasis. The brain endothelium expresses highly specialized proteins, termed adherens and tight junction proteins, that mediate tight cell-to-cell contacts. These adherens (e.g., cadherins and junctional adhesion molecules) and tight junctions (e.g., occludins and claudins) contribute to formation of the blood–brain barrier (BBB), which maintains the high transendothelial electrical resistance and low paracellular transport properties characteristic of the brain endothelium (Sweeney et al., 2019; De Silva and Faraci, 2020). The basement membrane, comprising a family of proteins called perlecanins (Thomsen et al., 2017), surrounds the endothelium and is also involved in homeostasis and maintenance of the BBB as well as communication at the NVU. Proteins of the basement membrane are known targets in disease; when their function is impaired, the integrity of the BBB is disrupted and the passage of molecules is dysregulated (Roberts et al., 2012; Mortensen et al., 2019). Mural cells and astrocytic endfeet surrounding the endothelium further contribute to maintenance of the BBB. In addition, endothelial cells release vasoactive signals, such as nitric oxide (NO), that control vascular resistance and thereby blood flow (Iadecola, 2013). The cellular mechanisms that drive endothelial-mediated changes in vascular tone include mechanical forces (e.g., wall shear stress), signals released by neighboring cells and electrical coupling such as endothelium-vascular smooth muscle cell (VSMC) interactions that drive hyperpolarization of the membrane potential of VSMCs, resulting in dilation (Longden et al., 2017). For example, agonist-induced activation of endothelial cell TRPV4 channels [i.e., epoxyeicosatrienoic acids (EETs)] increase calcium leading to the activation of intermediate (IK) and small (SK) conductance K^+ channels which through myoendothelial junction causes hyperpolarization of VSMCs membrane potential resulting in vasodilation (Sonkusare et al., 2012). In addition, endothelial cells are electrically coupled via gap junctions allowing for the fast conductance of current along the endothelial syncytium, which at the arteriole level can also influence VSMC membrane potential (Figueroa and Duling, 2009; Bagher and Segal, 2011). A critical example of this process, discussed later in the review, is the activation of inwardly rectifying K^+ (Kir2.1) channels in endothelial cells resulting in the upstream propagation of a hyperpolarizing current and

dilation of parenchymal arterioles (Longden et al., 2017). For a detailed review of these mechanisms (see Harraz et al., 2020).

Vascular Smooth Muscle Cells

As blood moves from larger arterioles downstream toward capillaries and then venules, its flow is regulated by crosstalk among various cells. Zonation—a gradual phenotypic change along the arteriovenous axis—was recently reported based on single-cell transcriptomics studies (Vanlandewijck et al., 2018). When applied to target disease-mediated changes in gene expression, transcriptomic studies such as this may help identify key drivers of small vessel disease and impaired communication at the NVU. In penetrating arterioles, a single layer of VSMCs wraps around the basal lamina, which in turn covers the entire endothelial cell layer. VSMCs play an essential role in the regulation of vascular tone (Hill-Eubanks et al., 2014) and also contribute to arterial elasticity by secreting extracellular matrix proteins (Wight, 2008).

Pericytes

Pericytes are a pluripotent, perivascular mural cell type that is mainly found covering capillaries. Pericytes are also known to contribute to the maintenance and integrity of the BBB (Sweeney et al., 2019). They have further been proposed to regulate capillary blood flow by virtue of their contractile properties (Hall et al., 2014; Grutzendler and Nedergaard, 2019), a concept that is currently a matter of controversy largely owing to the lack of a consensus of phenotypic definition of SMCs versus pericytes. Functionally, it has been suggested that, during functional hyperemia, pericytes respond to glutamate released signals and dilate capillaries via a prostaglandin E_2 -dependent pathway (Hall et al., 2014). In support of a role for impaired neurovascular-mediated signaling, pericyte position and function are altered by disease conditions such as stroke (Hall et al., 2014; Berthiaume et al., 2018a,b), diabetes (Rom et al., 2020) and Alzheimer's disease (AD) (Sagare et al., 2013; Nortley et al., 2019; Montagne et al., 2020), as well as disturbances in the circadian clock system (Nakazato et al., 2017)—all of which are associated with neurodegeneration.

Astrocytes

Astrocytic endfoot processes make contact with the abluminal surface of mural cells, establishing the gliovascular interface (Simard et al., 2003). Astrocytes regulate cerebral blood flow (CBF) and contribute to the maintenance and integrity of the BBB. The multiple functions of astrocytes are reflected in the plethora of ion channels and receptors they express, as well as their active release of numerous vasoactive signals and gliotransmitters known to modulate vascular, microglial, and neuronal function (Araque et al., 2014). However, the nature of communication channels established between astrocytes, blood vessels, and neurons are unclear. The use of fluorescent dyes (Tsien, 1988, 1989) has enabled glioscientists to visualize astrocytic Ca^{2+} events (Cornell-Bell et al., 1990), giving rise to an upsurge in research and knowledge on astrocyte function and intercellular communication. Visualization of astrocyte Ca^{2+} dynamics was later improved with the development of Ca^{2+}

indicators of the GCaMP series, either genetically encoded in transgenic mice or delivered via viruses (Bazargani and Attwell, 2016). The combined use of this approach with various astrocyte promoters such as Aldh1l1, Slc 1a3, GFAP, and GLAST has provided further knowledge on astrocyte heterogeneity in function, brain region specificity and structural dynamics.

Astrocytic Ca^{2+} events can be spontaneous or activity-dependent. As is the case for a number of astrocyte functions, Ca^{2+} events are *compartmentalized* (Bazargani and Attwell, 2016), with large Ca^{2+} events occurring in the soma and smaller events occurring in processes and microdomains. Importantly, these events can have different activity patterns. How these patterns relate to function is currently under investigation, supported by emerging sophisticated quantitative analytical techniques (Wang et al., 2019a). Dynamic Ca^{2+} events take the form of both intracellular- and intercellular-mediated signals, the latter of which can spread in the form of *waves* throughout the astrocytic syncytium via gap junctions (Munoz et al., 2015; Lapato and Tiwari-Woodruff, 2018). Ca^{2+} events may originate within different subcompartments, including the endoplasmic reticulum and mitochondria, and discrete sites, such as inositol trisphosphate (IP_3) receptors. In addition, Ca^{2+} events may be initiated by activation of membrane-bound ion channels, receptors or transporters, such as vanilloid-type transient receptor potential channels (e.g., TRPV4) and $\text{Na}^+/\text{Ca}^{2+}$ exchangers. Notably, some of these surface proteins are functionally coupled to glutamate and GABA co-transporters (e.g., Glu/Na^+ , GABA/Na^+ cotransporters), and thereby play an essential role in regulating ambient glutamate and GABA levels (for a review, see Oheim et al., 2018; Verkhratsky et al., 2019).

Astrocyte-derived vasoactive signals include prostaglandins, epoxyeicosatrienoic acids (EETs), glutamate, K^+ , adenosine and ATP, among others (Girouard and Iadecola, 2006; Attwell et al., 2010). The mechanisms by which each of these signaling molecules contribute to the regulation of vascular tone appear to be vessel specific (i.e., arteriole vs. capillary) (Mishra et al., 2016).

While the participation of astrocytic Ca^{2+} events in NVC has been questioned, mainly due to inconsistencies in the temporal relationship between Ca^{2+} events and vascular responses (Nizar et al., 2013; Bonder and McCarthy, 2014), these discrepancies could reflect unintended consequences of specific experimental manipulations, such as targeting a single Ca^{2+} pathway (IP_3 receptor 2-knockout mice), failure to consider alternative Ca^{2+} sources [e.g., TRPV4 channels (Dunn et al., 2013)], anesthesia (Thrane et al., 2012; Tran and Gordon, 2015) and the arousal state of the animal (Tran et al., 2018). Technical limitations, such as the temporal and/or spatial resolution of acquired images, could also account for differences between studies. To this end, GCaMP- Ca^{2+} indicators have shed new light on this issue (Bazargani and Attwell, 2016). Using 3D imaging, Bindocci et al. (2017) discovered that Ca^{2+} events occurred more rapidly in astrocytic endfeet than in the soma. Global Ca^{2+} events associated with mouse movement were multifocal and spread from multiple gliapil toward the core of the cell. Endfoot Ca^{2+} events at the gliovascular interface exhibited asynchronous patterns (Bindocci

et al., 2017). Moreover, astrocytic Ca^{2+} transients have been shown to propagate between cell subcompartments, highlighting the diversity of responses (Grosche et al., 1999; Kanemaru et al., 2014). In an awake *in vivo* two-photon mouse model, Tran et al. (2018) evaluated astrocyte Ca^{2+} dynamics in per-arterioles and peri-capillary astrocytes of the barrel cortex in response to sensory stimulation or volitional behaviors. The authors observed delayed (following dilations) astrocyte Ca^{2+} increases in turn dependent on the mouse's action at the time of the stimulus. Using varied stimulation approaches the authors showed that the neurovascular pathway was linked to endothelial-derived nitric oxide (Tran et al., 2018). Unraveling the functional significance of astrocytic Ca^{2+} activity patterns—and sources (Srinivasan et al., 2015)—under both physiological and non-physiological conditions is thus of critical importance. In addition, further studies designed to link activity patterns with astrocyte population subtype are needed (Sofroniew and Vinters, 2010; Lundgaard et al., 2014).

Astrocytes are also critically involved in removing waste from the brain via the glymphatic system, a process that involves the highly specific endfoot marker and water ion channel, aquaporin 4 (AQP4). The glymphatic system operates through vessel-to-glia crosstalk mediated, at least in part, by arteriole pulsatility and impaired in aged mice (Kress et al., 2014). Although this latter mechanism is not fully established, it has been proposed that these pulsations are the driving force for promoting the convection of ions, solutes and waste products across the brain interstitial space without the need to cross the BBB. There is some evidence that the absence of AQP4 at the gliovascular interface impairs clearance and transport via the glymphatic system (Iliff et al., 2012, 2013).

Microglia

Microglia are the resident immune cells of the brain (Bilbo and Stevens, 2017). These embryonic mesoderm-derived cells constantly surveil the CNS for unfamiliar antigens, pathogens, apoptotic cells, and foreign particles. Their dynamic phagocytic activity and active release of immune signals play critical roles in brain homeostasis and repair processes (Hanisch and Kettenmann, 2007). However, the neuroprotective microglial state (surveillance/execution mode) can be disturbed during pathology, causing these cells to shift toward a reactive phenotype. These reactive microglia respond with exacerbated secretion of proinflammatory mediators (Vinuesa et al., 2019) and altered phagocytotic properties (Baik et al., 2019; Zhang et al., 2020).

Whether microglia directly impact cerebrovascular function is poorly understood. It has been reported that, after a cortical stroke, microglia are recruited toward vessels, where they can phagocytize endothelial cells and thereby contribute to the increased loss of BBB integrity (Jolivel et al., 2015). Using an *in vitro* approach, the authors of this latter study provided evidence that fibrinogen and albumin, plasma components found in the brain parenchyma after stroke, exacerbated the secretion of microglial proinflammatory cytokines and acted as chemoattractants. However, whether phagocytosis of endothelial

cells by microglia, a potential step in vascular repair, is part of a normal physiological process remains unknown.

To investigate this, Halder and Milner (2019) used a mouse model of mild chronic hypoxia that causes vascular leakiness and microglia clustering around vessels. Under these hypoxic conditions, pharmacological depletion of microglia increased BBB leakiness and caused a loss of tight junction proteins. It also led to astrocyte-vessel uncoupling, as evidenced by a decrease in the number of vessels positive for the perivascular astrocyte endfoot marker, AQP4. However, under normoxic conditions, microglia depletion did not impact vascular integrity. Thus, it is likely that coupling of these interactions is dependent on key signals released only in a pathological setting that may be absent under physiological conditions.

As alluded to above, proper operation of homeostatic mechanisms involves dynamic crosstalk among cells of the NVU, many of which have overlapping or complementary functions. These observations call for an integrated approach for addressing the functional status of the NVU and its vulnerability under disease conditions.

CEREBRAL BLOOD FLOW, NEUROVASCULAR COUPLING, AND VASCULO-NEURONAL COUPLING

High energy demands, as occur during information processing and maintenance of homeostatic processes, are sustained by redundant NVU-mediated mechanisms that ensure constitutive perfusion (Zlokovic, 2005). The driving force for CBF is cerebral perfusion pressure (CPP), defined as the difference between mean arterial pressure (MAP) and intracranial pressure (ICP). In the absence of a neuronal stimulus, CBF is relatively constant, but can be affected by changes in cardiac output, vascular resistance and altered intravascular as well as intracranial pressure gradients.

Cerebral Autoregulation

The dynamic mechanisms that regulate basal CBF are largely driven by the fine balance between vasodilatory and vasoconstrictive signaling pathways (Hill-Eubanks et al., 2014) and the integration of reflex autonomic pathways and local control processes, including cerebral autoregulation (Bayliss, 1902; Silverman and Petersen, 2020). The mechanisms underlying cerebral autoregulation include pressure-induced vasoconstriction (myogenic response) (Brayden et al., 2013; Cipolla et al., 2014; Pires et al., 2015) as well as metabolic and humoral signaling (Koller and Toth, 2012). The best-studied of these cellular pathways is the myogenic response, which involves activation of mechanosensitive Ca^{2+} -permeable ion channels and a subsequent increase in Ca^{2+} influx into VSMCs, resulting in elevated intracellular Ca^{2+} and vasoconstriction (Hill et al., 2006; Cipolla, 2009; Li et al., 2014). In cerebral vessels, this process is both pressure and flow/wall shear stress dependent (Koller and Toth, 2012).

Under physiological conditions, these dynamic mechanisms operate within a MAP range of 50 to 160 mmHg and serve to adjust the diameter of arterioles to maintain approximately

constant CBF (Silverman and Petersen, 2020). However, the actual systemic arterial pressure range corresponding to this plateau phase varies (Faraco and Iadecola, 2013), with potentially significant differences reported between individuals (Tzeng et al., 2010; Liu et al., 2016; de Jong et al., 2017; Xing et al., 2017; Elting et al., 2020). Moreover, chronic diseases, such as hypertension and cerebral ischemia, can shift this autoregulatory range. Consequently, impairment of autoregulatory mechanisms that buffer blood pressure fluctuations can increase the vulnerability of the microcirculation, and hence the NVU (Iadecola, 2017). Cerebral autoregulation can be assessed by measuring changes in CBF in response to physiological stimuli (e.g., exercise, hypercapnia or orthostatic challenges), a response referred to as cerebrovascular reactivity (Lavi et al., 2006). Cerebrovascular reactivity is reduced in hypertension and large-artery stiffness is increased (Chirinos et al., 2019; Marmarelis et al., 2020; Silverman and Petersen, 2020), both of which contribute to cognitive decline, as we discuss below in more detail in this review article.

Neurovascular Coupling

In contrast to cerebral autoregulation, which constitutively regulates CBF, NVC is a dynamic process characterized by robust vascular responses to vasoactive signals released upon local increases in neuronal activity. NVC mechanisms form the basis of functional hyperemic responses. The rapid redirection of blood flow driven by increases in neuronal activity ensures the targeted, uninterrupted flow of blood that is critical for brain viability and ongoing brain functions. Details of the proposed signals underlying NVC have been extensively reviewed previously (Iadecola, 2017; Hosford and Gourine, 2019).

While the sequence of events underlying communication among the various cells of the NVU is poorly understood, the current view is that capillaries and arterioles are critical for the *initiation* (Mishra et al., 2016; Rungta et al., 2018) and *conduction/integration* (Longden et al., 2017) of NVC responses. Longden et al. (2017) recently established an essential role for capillary endothelial cells in both initiation and conduction of NVC responses, showing that activation of Kir2.1 channels in capillary endothelial cells by extracellular K^+ , which is released during each action potential, generates a hyperpolarizing (electrical) signal that back-propagates to cause dilation of upstream penetrating arterioles and pial arteries, thereby inducing an increase in blood flow to the site of signal initiation (Tian et al., 2010; Chen et al., 2011, 2014; Longden et al., 2017; Cai et al., 2018; Rungta et al., 2018). Notably, this hyperpolarizing current travels millimeters before dissipating (Iadecola et al., 1997; Chen et al., 2011). This spatial spread of the vascular signal relative to the initiation point could lead to a mismatch between the site of elevated neural activity and the location of vascular responses, highlighting the potential role of additional mechanisms at different levels of the vascular network in decoding functional hemodynamics (Jukovskaya et al., 2011; O'Herron et al., 2016). Indeed, using two-photon imaging, O'Herron et al. (2016) demonstrated that neuronal activity was more strongly coupled to the responses of nearby parenchymal arterioles than with the responses of upstream pial vessels.

Interestingly, it is conceivable that the propagating dilatory signal not only moves upstream to the surface but also travels from the surface back down into penetrating branches. Though this aspect of vascular signaling has received little attention, low-resolution vascular imaging suggests that it readily occurs (Chen et al., 2011), providing a possible explanation for the residual mismatch between neural activity and nearby vascular responses.

There is also evidence supporting pericytes and VSMCs as first responders (Hall et al., 2014; Mishra et al., 2016). Using brain slices, Mishra et al. (2016) showed that neuronal activity evokes increases in astrocytic Ca^{2+} (via activation of the ionotropic ATP receptor, P2X_1) that stimulate the synthesis of prostaglandin E_2 (PGE_2) and its release from astrocytic endfeet, resulting in capillary dilation through activation of EP_4 receptors on pericytes. However, in a follow-up study using two-photon *in vivo* imaging, Rungta et al. (2018) assessed changes in intracellular Ca^{2+} in pericytes and VSMCs in relation to distinct capillary and arteriole Ca^{2+} levels induced by olfactory neuronal activation (Rungta et al., 2018). In this study, an odor stimulus was followed by fast, synchronized decreases in Ca^{2+} in arterioles and first-order capillaries (less than $\sim 50 \mu\text{m}$ from the arteriole) (Rungta et al., 2018). It was determined that pericytes near the activated synaptic site responded with a delayed drop in Ca^{2+} as opposed to mural cells upstream. Thus, the authors proposed the drop in Ca^{2+} was likely generated from heterocellular electrical coupling between endothelial and mural cells via a retrograde hyperpolarization and transfer of current via myo-endothelial junctions (Iadecola et al., 1997; Chen et al., 2014; Longden et al., 2017; Harraz et al., 2020). Collectively, these studies support capillary endothelial cells as the initiators of the NVC response.

Another mechanism shown to contribute to the initiation of functional hyperemic responses involves changes in the velocity of red blood cells (Wei et al., 2016), a process that is independent of NVU vasoactive signals (Grutzendler and Nedergaard, 2019). Wei et al. (2016) provided evidence that the transient decrease in tissue O_2 tension resulting from neuronal-evoked increases in metabolic activity (Devor et al., 2011) increases red blood cell deformability and velocity in capillaries. The authors proposed that O_2 release-induced displacement of ankyrin from band 3 weakens spectrin-actin cytoskeleton interactions (Stefanovic et al., 2013; Zhou et al., 2019), thereby increasing erythrocytes deformability (Wei et al., 2016), a phenomenon later confirmed using both *ex vivo* (microfluidics) and *in vivo* (two-photon imaging) approaches (Zhou et al., 2019).

Understanding the cellular mechanisms underlying efficient NVC is crucial for the development of therapeutic approaches targeting potential mismatches in metabolic demand and supply as occurs in disease conditions, making this an active area of investigation.

Vasculo-Neuronal Coupling

While NVC mechanisms act to communicate information from neurons to the vasculature, communication in the opposite direction has also been proposed. At the cellular level, this modality of communication is referred to as VNC. This concept, encapsulated in the hemo-neural hypothesis, posits that

hemodynamic signals modify glial and neuronal function to maintain cerebral homeostasis and contribute to information processing in the brain (Moore and Cao, 2008; Cao et al., 2009). In support of vascular-to-neuron signaling in the brain, Waki et al. (2006) showed that inhibition of eNOS in the nucleus tractus solitarius (NTS) decreased arterial pressure in the spontaneously hypertensive rat (SHR) model, indicating a role for endothelial-derived NO in the NTS in modulating cardiac baroreceptor reflex gain and arterial pressure. Using acute rat brain slices and a mechanical stimulation paradigm that targets endothelial cells, Kozlov further demonstrated that VSMCs and astrocyte endfeet generate inhibitory, slow, outward currents in mitral cells of the olfactory bulb that are mediated by GABA released from astrocytes (Kozlov et al., 2006). Thesen et al. (2012) provided indirect experimental evidence in favor of the hemo-neuronal hypothesis in humans, demonstrating changes in neuronal activity in response to hypercapnia (5% CO_2). Specifically, they showed that hypercapnia, a prominent inducer of vascular dilation, decreased the magnetoencephalogram response to auditory and visual tasks (Thesen et al., 2012), reducing both evoked and spontaneous spectral power indicative of neuronal activity. These data support a link between resting perfusion status and neuronal activity and highlight the importance of considering this linkage in assessments of activity-dependent changes in blood flow. However, because pH acidification can independently affect blood vessels and neuronal activity, the concept will require further refinement.

Using a brain slice model, our group showed that arteriole constriction is associated with inhibition of neuronal firing, whereas dilation is linked to activation of neuronal firing. A detailed investigation of the constriction-dependent pathway revealed the involvement of TRPV4 channel-mediated, Ca^{2+} -dependent events in astrocytes (Kim et al., 2015, 2016). While the mechanisms are incompletely understood, the constriction-evoked inhibition in neuronal activity was unaffected by blockers of NO, GABA_A receptors or glutamate receptors. On the other hand, the response was significantly blunted by an adenosine A_1 receptor blocker, and to some extent by blockade of GABA_B receptors (Kim et al., 2016).

Both arteriole constriction and dilation induce changes in astrocytic Ca^{2+} , independent of neuronal activity (Kim et al., 2015; Rosenegger et al., 2015). One possible mechanism for this is that changes in vessel diameter trigger activation of mechanosensitive ion channels, or receptors, at the NVU. The resulting increase in astrocytic Ca^{2+} could then engage downstream pathways, leading to changes in neuronal activity. While evidence supports mechanosensitivity in astrocytes, how this might influence neurovascular interactions is unclear, as these processes remain largely unexplored. Mechanostimulus-induced changes in astrocytic Ca^{2+} could be attributable to a number of different ion channels, including $\text{P}_2 \times 7$ (Beckel et al., 2014; Albalawi et al., 2017), TRPV4 (Ryskamp et al., 2011; Dunn et al., 2013; Choi et al., 2015), Piezo1 (Choi et al., 2015; Velasco-Estevez et al., 2020), and pannexin1 (Minelli et al., 2007; Suadican et al., 2012). Evidence also supports the idea that mechanical stimulation causes the release of adenosine in the brain. Further studies addressing the implications of mechanical

distortion-driven signaling at the NVU are clearly warranted. A good starting point would be the gliovascular interface, where specialized ion channels reside and where arteriole pulsation may play an important role in conveying mechanoregulation-driven communication (Ross et al., 2014; Nguyen and Venton, 2015; Wang and Venton, 2019; Wei et al., 2019).

We proposed VNC as a constitutively active mechanism involved in the modulation of resting neuronal activity that contributes to matching perfusion levels with energy demand. In this view, VNC would serve to safeguard neurons in cases where perfusion was compromised. A further prediction is that, in the healthy brain, VNC is overridden during increases in neuronal activity, such as those evoked during NVC. If VNC is linked to mechanosensitivity at the NVU, its operation could be further affected by intracranial and intravascular pressure gradients, which have recognized relevance in stroke and traumatic brain injury. The potential intersection of such pressure gradients with VNC could also be important in the context of hypertension, vascular stiffness and small vessel disease, an issue that warrants further investigation.

VASCULAR RISK FACTORS AND THE NVU

Hypertension

Genetic, epigenetic, and lifestyle factors contribute to hypertension (Padmanabhan et al., 2015). Also, the prevalence and severity of hypertension increase with age. From age 20–85 years of age, the lifetime risk of hypertension has been estimated at 69.3–86.1% depending on sex and race (Virani et al., 2020). With the world's older population rising, the number of older adults with hypertension is expected to grow by 20% by 2050 (Benetos et al., 2019; Volpe et al., 2019). Yet, many older adults remain untreated or the management of their hypertension challenging to address. In the brain, chronically increased arterial pressure leads to lacunar infarcts, white matter hyperintensities, and cerebral microbleeds (Joutel and Faraci, 2014). Hypertension also results in blood vessel remodeling, increased cerebrovascular resistance, cerebral hypoperfusion and impaired NVC (Girouard and Iadecola, 2006; Calcinaghi et al., 2013; Alosco et al., 2014; Hu et al., 2017), making it a risk factor for small vessel disease (Joutel and Chabriat, 2017) and a leading contributor to stroke. Importantly, untreated mid-life hypertension is a modifiable risk factor for the early development of dementia (Mogi, 2019).

In response to elevated intravascular pressure, arteries undergo various remodeling processes, including hypertrophic remodeling, hypotrophic remodeling, inward remodeling, and arterial stiffening (Baumbach and Ghoneim, 1993; Intengan and Schiffrin, 2001; Pires et al., 2013). The most-studied cerebral vascular beds in hypertension include branches of the internal carotids and vertebral arteries, which perfuse the brain and cerebellum/brainstem, respectively. Hypertension differentially affects these two branches of the circulation, with vertebral artery remodeling preceding that of the common carotids (Dickinson and Thomason, 1959). These anatomical and

functional observations support the idea that brain areas that are home to cardiovascular-related control centers may be the first targets of the disease. Thus, hypertension leads to regional variations in CBF (i.e., hypoperfusion) and, consequently, changes in neuronal output function.

Importantly, hypertension also alters the normal function of parenchymal arterioles—the ultimate effector of parenchymal perfusion (Iddings et al., 2015; Pires et al., 2015; Sweet et al., 2015; Diaz et al., 2019). Parenchymal arterioles from SHRs and their stroke-prone SHRSP derivatives exhibit increased tone (Iddings et al., 2015; Pires et al., 2015; Sweet et al., 2015), increased wall thickness and passive distensibility (Sweet et al., 2015), decreased resting lumen diameter, and inward remodeling (Pires et al., 2015). Our group also reported increases in parenchymal arteriole tone in *ex vivo* brain slice preparations from mice infused with Ang II (600 ng/kg/min) for 28 days (Diaz et al., 2019). In addition, DOCA-salt-induced hypertension has been shown to impair endothelium-dependent dilation via dysfunctional cyclooxygenase (COX) and NO signaling (Matin et al., 2016).

It has previously been shown that the reduced cerebral perfusion and pathological parenchymal arteriole remodeling are reversed by endothelial cell-specific knockout of the mineralocorticoid receptor (MR) or MR antagonism in mice (Diaz-Otero et al., 2017). Diaz-Otero and colleagues showed that MR activation impairs TRPV4 channel-mediated dilation of parenchymal arterioles in a mouse model of angiotensin II (Ang II)-induced hypertension. The authors also reported that, in the hypertensive group, MR blockade rescued myogenic tone, reduced microglia density, and improved cognitive function (Diaz-Otero et al., 2018). The authors then evaluated the role of TRPV4 channels in vessel dysfunction using a TRPV4-knockout rat model [WKY-Trpv4(em4Mcwi)], reporting reduced cerebral perfusion with no changes in parenchymal arteriole structure or myogenic tone. However, these rats did show impaired endothelium-dependent dilation and cognitive function in association with gliosis (Diaz-Otero et al., 2019). It has further been shown that relaxation of VSMCs is diminished in mesenteric arteries of SHR and SHRSP models; this effect was attributed to a decrease in the generation of the endothelium-dependent hyperpolarizing factor, a process that likely depends on TRPV4 and SK channel signaling (Seki et al., 2017). TRPV4 channel activity was further evaluated by Tajada et al. (2017) using super-resolution imaging. These authors showed that TRPV4 channel activity was dependent on the protein kinase Cα (PKCα) membrane-anchoring protein AKP150, and further demonstrated that Ang II produced a decay in TRPV4 activation that was negatively correlated with the distance between TRPV4 channels and AKAP.

Yamasaki et al. (2020) showed that angiotensin II type 1 receptors (AT₁Rs) were differentially expressed between pial arteries and parenchymal arterioles of the cerebral microvasculature. These authors reported that, whereas mRNA expression levels of the angiotensin II receptor subtypes AT₁R_a and AT₁R_b were comparable in parenchymal arterioles, expression levels of AT₁R_b were ~11-fold higher than those of AT₁R_a in pial arteries. These differences in expression suggest

differences in the sensitivity of these vessels to Ang II (Yamasaki et al., 2020). However, how expression of these receptors is altered throughout the different stages of hypertension was not evaluated in this study. An important functional observation was that AT₁R activation in parenchymal arterioles is important for the initiation, but not the maintenance, of the myogenic response. The authors suggested that a G protein-coupled receptor (GPCRs), such as AT₁R, acts as the main intravascular pressure sensor, providing a molecular pathway critical for cerebral autoregulation (Yamasaki et al., 2020).

Hypertension also targets cerebral capillaries. Hypertension impairs endothelial function and BBB integrity (Santisteban and Iadecola, 2018). Both animal models of hypertension (Tarantini et al., 2016) and hypertensive patients (Bosch et al., 2017) show capillary rarefaction. Loss of capillaries is associated with ischemia resulting in inadequate metabolic supply to neurons and glial cells contributing to elevated oxidative stress and inflammation and an overall toxic environment. There's also a synergistic effect of hypertension with both aging and AD on microvascular damage (Toth et al., 2013; Tarantini et al., 2016). Accompanied by a decrease in the number of upstream arterioles (De Silva and Faraci, 2020), hypertension contributes to an overall reduction in cerebral perfusion. Because endothelial dysfunction is a hallmark of hypertension, it would be important to address whether hypertension alters the electrical conduction of endothelial cells and, thus, the ability of capillaries to initiate the propagation of the upstream vasodilation, characteristic of the NVC response (Chen et al., 2014; Longden et al., 2017). A critical factor associated with the retrograde upstream propagation of the hyperpolarizing current is phosphatidylinositol 4,5-bisphosphate (PIP₂). Gq-protein-coupled receptors, targets of numerous NVC mediators, evokes PLC-mediated hydrolysis of PIP₂ into IP₃ and DAG, which in turn modulate ion channels involved in the regulation of vascular tone (Harraz et al., 2018b) including Kir2.1 and TRPV4 ion channels (Harraz et al., 2018a,b; Moshkforoush et al., 2020). Given that ischemia alters oxidative phosphorylation and ATP synthesis, the impact of PIP₂-mediated ion channel regulation on the vasculature is of importance (Harraz et al., 2018b). Future work on this research area will help elucidate the effect of aging, as well as hypertension-induced capillary dysfunction, has on the mechanisms underlying neurovascular uncoupling.

Collectively, these studies support the concept that parenchymal arterioles and capillaries are a target of hypertension, which manifests as increased tone and endothelial dysfunction, and is accompanied with gliosis (Iddings et al., 2015; Pires et al., 2015; Sweet et al., 2015; Diaz et al., 2019).

Hypoperfusion and Oxidative Stress in Hypertension

Reduced CBF is correlated with accelerated cognitive decline and ultimately leads to dementia (Hakim, 2019). Using MRI analyses and clinical history data for 59 patients who suffered a transient ischemic attack, Wang and colleagues reported that 67.8% of such patients presented a history of hypertension.

They also observed a strong correlation between hypertension and perfusion abnormalities that was independent of the ischemic attack (Wang et al., 2019b). Hypertension, together with hypoperfusion, can result in significant dysregulation of cerebral vessels, increasing vascular resistance and exacerbating myogenic constriction (Iddings et al., 2015). As part of a consequent, and presumably protective, response mechanism, hypertension shifts the cerebral autoregulation curve to the right (Koller and Toth, 2012), an adaptation that also increases the risk for hypoperfusion when systemic blood pressure decreases (Faraco and Iadecola, 2013; Toth et al., 2017). However, these protective adaptations may be lost in the aged brain. Using an Ang II-infused model of hypertension in mice, Toth et al. (2013) investigated the effects of increased pressure-induced tone in middle cerebral arteries of 3-month-old mice. They found that the mechanism governing increased tone involved upregulation of the vasoconstrictor pathway driven by 20-HETE (20-hydroxy-5,8,11,14-eicosatetraenoic acid) and the canonical transient receptor potential cation channel member, TRPC6. However, in aged mice (24 months), this mechanism was impaired, increasing the vulnerability of the cerebral microcirculation to blood pressure fluctuations (Toth et al., 2013).

Chan et al. (2019) recently provided support for a role for endothelial TRPV4 channels in the regulation of parenchymal arteriole tone and vascular remodeling during hypoperfusion. In these studies, wild-type and TRPV4-knockout (KO) mice were subjected to unilateral common carotid artery occlusion (UCCAo) for 28 days. In wild-type mice, UCCAo caused a decrease in active tone and increased passive inner diameters (Chan et al., 2019). The decreased tone was dependent on TRPV4 channel function but not the outward remodeling. These effects of UCCAo on myogenic tone did not involve NO signaling. The same group showed decreased myogenic tone in control WKY rats subjected to UCCAo, an effect that was not observed in SHRSP model animals (Sweet et al., 2015). Together, these data suggest that ischemia, as well as hypertension, result in the activation of distinct compensatory processes. While ischemia-related signaling is geared toward maintaining vessels open and sustaining CBF, hypertension-induced increases in vascular tone serve to prevent hyperperfusion-induced microvascular damage. In hypertension, remodeling and increased vascular reactivity may lead to hypoperfusion and the onset of cerebral ischemia.

Reactive oxygen species (ROS), which are elevated in hypertension (Montezano et al., 2015), are involved in vascular inflammation, fibrosis and arterial remodeling (Tanaka and Laurindo, 2017), and thus contribute to vascular dysfunction (Lopes et al., 2015). Using a transcriptomic approach, Walas et al. (2019) presented evidence that, prior to the onset of hypertension, cerebral arteries from the posterior circulation of 5-week-old SHRs exhibit elevated expression of genes related to remodeling, oxidative stress, and inflammatory pathways, notably including a 40% increase in genes related to fibrosis (e.g., TGFβ). Among the changes noted during this pre-hypertension period were dysregulation of pro-fibrotic pathways (e.g., upregulation of enolase-1) and inflammatory pathways

(e.g., inhibition of interferons, TLR3 and STAT1) as well as altered mitochondrial function. The authors proposed that these changes support previously reported immune cell infiltration (Waki et al., 2007, 2013; Xu et al., 2012; Marvar et al., 2016). The resulting vessel remodeling alters vascular function, increasing cerebrovascular resistance and decreasing CBF, both of which contribute to increased sympathetic nerve activity via the Cushing mechanism, as recently reviewed (McBryde et al., 2017). The onset of hypertension was thus regarded as a secondary insult that worsened vessel fibrosis and stiffening and also caused endothelial dysfunction. These results obtained using the SHR model suggest that early therapeutic approaches targeting immune system dysfunction may prevent vascular dysfunction associated with hypertension.

Increased oxidative stress and resulting endothelial dysfunction are also associated with decreased CBF and increased BBB permeability (Iadecola, 2013). Moreover, hypertension is correlated with the size and prevalence of microbleeds (Vernooij et al., 2008; Shams et al., 2015)—a marker of vessel damage—and BBB breakdown. Increased ROS levels promote increases in intracellular astrocytic Ca^{2+} , which has been linked to the ability of astrocytes to sense hypoxia (Angelova and Abramov, 2016). In the context of exacerbated ROS levels in disease, this mechanism may contribute to the pathogenesis of neurodegenerative disorders.

In mice, Ang II-dependent hypertension impaired NVC-evoked increases in CBF and endothelial-mediated vasodilation (Capone et al., 2012; Johnson et al., 2013). Ang II-induced hypertension is associated with increased activation of NADPH oxidase and enhanced ROS production (Kazama et al., 2004; Capone et al., 2011). Iulita et al. (2019) provided evidence that in a murine Ang II-dependent model of hypertension (1000 ng/kg/min), the adoptive transfer of Treg cells prevented neurovascular uncoupling and endothelial dysfunction. Neuroprotective effects were linked to IL-10 signaling and abolished in IL-10 deficient mice. Notably, the adoptive transfer of Tregs reduced Ang II-induced systemic inflammation, microgliosis and superoxide radicals (Iulita et al., 2019). Faraco et al. (2016), investigated the contribution of perivascular macrophages (PVMs), resident brain macrophages with the capacity to generate ROS, to hypertension-induced neurovascular and cognitive dysfunction. Low Ang II doses was shown to increase BBB permeability and Ang II access into perivascular spaces. The subsequent PVM activation, via AT1R and the NADPH oxidase subunit NOX2, contributed to ROS production (Faraco et al., 2016). The authors further demonstrated that in BPH/2J mice, PVM depletion improved cognitive impairments, providing an important link between hypertension, PVM/inflammation, and cognitive dysfunction (Faraco et al., 2016). The same group recently demonstrated that Ang II-induced hypertension in mice leads to tight junction remodeling and increased BBB permeability, an effect more pronounced in arterioles than capillaries (Santisteban et al., 2020). The authors proposed a mechanism by which circulating Ang II leads to AT1a receptor activation in endothelial cells and downregulation of *Mfsd2a*. The resulting increased vesicular transport of Ang II into the perivascular space would then lead to

AT1R and NOX2 activation in PVM, increasing BBB disruption (Santisteban et al., 2020).

Inflammation, Aberrant Astrocytic Ca^{2+} and Disease

While astrocytes are known for their trophic support, these cells also participate in proinflammatory processes (Pomilio et al., 2016; Verkhratsky and Nedergaard, 2018). Alteration in glial function and morphology (i.e., astrogliosis) is associated with disease states, vasodilator dysfunction of cerebral parenchymal arterioles (Bagi et al., 2018) and cognitive dysfunction (Verkhratsky and Nedergaard, 2018). Hypertension, regarded as a chronic inflammatory state (De Miguel et al., 2015; Rodriguez-Iturbe et al., 2017), impairs one of the first barriers of brain protection, the BBB (Katsi et al., 2020; Santisteban et al., 2020). BBB dysfunction facilitates the infiltration of plasma components to the brain and the production of proinflammatory signals that lead to microglia and astrocyte activation. These inflammatory processes impair the glial trophic support of both the vasculature and neurons (Iadecola, 2013). The extensive coverage of astrocytic processes along the cerebral microcirculation could be regarded as the next in line protective barrier (Sofroniew, 2015). However, how endothelial dysfunction alters the functional properties of astrocytes remains poorly understood. We speculate that the progressive impairment of the cerebral microcirculation, accompanied by the release of inflammatory markers, contributes to a shift in astrocyte phenotype from protective to inflammatory. Consequently, neuroinflammation directed by glial cells may contribute to hypertension-related cognitive impairment.

Efforts have been made to delineate astrocyte functional phenotypes based on the expression of specific markers, as previously reviewed (Miller, 2018). To this point, however, molecular profiling strategies (e.g., single-cell transcriptomics), have not been able to fully determine astrocyte functional status. Despite transcriptomic comparisons between astrocytes positive for GFAP, ALDH1L1, GLT1 or HEPACAM, the co-expression of markers in the majority of astrocytes has limited the ability to identify astrocyte subpopulations (Lovatt et al., 2007; Yang et al., 2011; Zhang et al., 2016).

To date, two major astrocyte phenotypes have been described based on their susceptibility to signals from microglia. A1 astrocytes, analogous to the controversial M1 microglia subtype, develop a proinflammatory phenotype that cannot support synapse formation or phagocytosis. The A1 phenotype is primarily driven by interleukin (IL)-1 α , tumor necrosis factor (TNF)- α , and C1q (complement component 1, subcomponent q) (Liddelow et al., 2017). On the other hand, trophic or protective astrocytes, referred to as A2 astrocytes, represent the normally functioning astrocyte subtype. The A2 astrocyte phenotype is also linked to the promotion of neuronal survival following ischemia (Liddelow et al., 2017; Clarke et al., 2018). Importantly, whether astrocytes can reversibly switch from one phenotype to the other is presently unknown.

Given limited knowledge on the factors leading to astrocytes functional transition from supportive to proinflammatory,

alternative approaches, including incorporation of an assessment of Ca^{2+} dynamics, are pivotal for interpreting astrocyte function and population subtype. Increasing evidence pointing to aberrant astrocytic Ca^{2+} as an early indicator of disease state and severity strengthens the importance of decoding astrocyte communication at the NVU for optimal brain function (Shigetomi et al., 2019).

Models of cerebrovascular pathology such as stroke (Morrison and Filosa, 2016; Morrison and Filosa, 2019) and AD, show increases in astrocyte Ca^{2+} events (Delekate et al., 2014). Less understood, however, is the impact of hypertension on astrocyte Ca^{2+} dynamics and function. Ohara and Nabika (2016) identified a point mutation in stromal interaction molecule-1 (Stim1) in the SHRSP model, and further showed that truncated STIM1 impaired Ca^{2+} signaling regulated by store-operated Ca^{2+} -entry in cultured astrocytes. Diaz et al. (2019) showed augmented spontaneous and myogenic-evoked Ca^{2+} events in microdomains of cortical astrocytes from Ang II-induced hypertensive mice, events that were accompanied by increased TRPV4 channel function. Although it is not entirely clear how this contributes to pathogenesis, the authors hypothesized that sustained intracellular Ca^{2+} elevations in astrocytes could trigger or facilitate the transition of these cells to a proinflammatory state. In support of this, exacerbated TRPV4 channel activation has been linked to the release of proinflammatory signals (Krizaj et al., 2014) that may, in turn, initiate astrocyte-microglia interactions (e.g., ATP, DAMPs), establishing a feed-forward response that aggravates neuroinflammation at the NVU.

In AD, astrocytes in the vicinity of amyloid plaques exhibit abnormally elevated Ca^{2+} levels (Shigetomi et al., 2019). Astrocytes in acute brain slices also respond to exposure to A β oligomers with an increase in intracellular Ca^{2+} (Tyurikova et al., 2018). Similar findings have been reported in stroke, where astrocytes in proximity to damaged tissue show increased Ca^{2+} (Shigetomi et al., 2019). Notably, suppression of aberrant astrocytic Ca^{2+} signals in the context of stroke reduces cell and tissue damage (Ding et al., 2009), indicating that astrocyte Ca^{2+} overload can lead to pathology. Further studies are needed to uncover mechanistic details of altered astrocytic Ca^{2+} signaling in AD models.

Vascular Stiffness

There are several human studies and observations indicating that hypertension and aging exert synergistic effects on cognitive decline (Csiszar et al., 2013). In fact, hypertension may prompt the early onset of mechanisms underlying accelerated aging. Both hypertension and aging increase vascular stiffness. In healthy elastic arteries (thoracic aorta and common carotid artery), the compliance of these vessels dampens pressure and flow fluctuations, thereby allowing delivery of a steady flow of blood to the more vulnerable microcirculation (Chirinos et al., 2019). Sustained mechanical stress causes arteries to lose their distensibility, leading to increased stiffness (Avolio et al., 2018; Mitchell, 2018). Thus, both age and hypertension result in a reduction in pressure-buffering capacity upstream from the cerebral microcirculation. As a consequence, the amplitude of pulsations transmitted to the carotids is increased

(Mitchell et al., 2011). At the microcirculation level, hypertension contributes to small vessel disease (Faraci, 2018). Moreover, hypertension results in increased wall stiffness of larger vessels (i.e., posterior cerebral artery) and increased wall stress and wall thickness of parenchymal arteriole (Diaz-Otero et al., 2016). While few have addressed the importance of understanding the relationship between the macro- and micro-vasculature, these questions are emerging (Climie et al., 2019) and constitute new paradigms toward the development of new therapeutic targets addressing the impact of cardiovascular disease on cognitive function.

Arterial stiffness is a predictor of cardiovascular risk. In clinical practice, the gold standard for measurements of regional arterial stiffness is pulse wave velocity (PWV) (Negoiita et al., 2018). The PWV, often measured from the carotid to the femoral artery, is defined as the distance traveled by the pulse wave from the carotid point to the femoral point (ΔL) divided by the time of travel (ΔT) or, $\text{PWV} = \Delta L / \Delta T$. In the aging population, increased pulse pressure is also linked to hypertension. To this end, measurements of wave intensity and wave separation analysis (Fok et al., 2014; Su et al., 2017) allow pressures to be separated into the push forward (from the ventricle against the arterial tree) and backward (from arterial tree against the ventricle) components (Fok et al., 2014). Thus, different components of the pressure wave can provide information related to the pressures generated from the ventricle and the arterial tree.

Arteries from hypertensive patients exhibit increased stiffness and a loss of distensibility, which increases their susceptibility to pulse pressure-mediated damage (Lacolley et al., 2018). A previous study evaluated the relationship between small vessel disease and aortic PWV in 167 hypertensive patients without a history of vascular or cerebrovascular disease (Henskens et al., 2008), demonstrating a positive correlation between PWV and white matter hyperintensities and the presence of silent lacunar infarcts. This correlation held even in younger patients (<50 years old) and was independent of vascular risk factors (i.e., MAP and age). Increasing the duration of the momentum of the pulse pressure increased the risk for tissue damage (Henskens et al., 2008).

A study that followed > 3000 patients evaluated the relationship between downstream small vessel damage and cognitive decline. Using forward compression wave intensity (FCWI), which measures the intensity of pulsatile waves traveling via the carotids toward the brain, it was concluded that elevated carotid artery FCWI was correlated with earlier cognitive decline, independently of other cardiovascular factors (Chiesa et al., 2019). In this study, participants were examined for changes in memory, executive function, and fluency over 13 years. Those with a higher FCWI in the carotid artery showed a 50% greater chance of developing cognitive dysfunction, even after adjusting for several factors, including education. In a cross-sectional study that included 668 females and males between 69 and 93 years of age, Mitchell et al. (2011) reported that higher carotid pulse pressure and carotid flow pulse amplitude were correlated with reduced cognitive function, assessed by tests of memory, executive function, and processing speed (Mitchell et al., 2011).

An increase in PWV was shown to contribute to cognitive dysfunction through its negative impacts on white and gray matter volumes and its positive correlation with lacunar infarcts and white matter hyperintensities.

Increased vascular stiffness may result from increases in blood pressure variability (BPV) (Rothwell, 2010; Schillaci et al., 2012), defined as blood pressure fluctuations over time, where time can be measured in seconds to years (short-term, ≤ 24 h; mid-term, days/weeks; long-term, years) (Stevens et al., 2016, 2019). BPV is emerging as an independent predictor of cardiovascular risk and dementia (Merkler and Iadecola, 2017; Oishi et al., 2017). While the mechanisms underlying BPV are still unclear, augmented blood pressure fluctuations may be the result of reduced ability to buffer normal changes due to impaired vascular function (e.g., increased vascular stiffness), impaired baroreflex sensitivity and/or sympathetic nerve responses (Schillaci et al., 2012). BPV contributes to cardiovascular complications in hypertension, and antihypertensive agents that control it help prevent the development of cardiovascular events (Rothwell et al., 2010; Parati et al., 2012). A meta-analysis that included data from 27 articles and more than 12,000 individuals determined that greater short-term (24 h) BPV in humans was correlated with subcortical infarct, lacunae, white matter hyperintensities, cerebral microbleeds and/or enlarged perivascular spaces (Tully et al., 2020). Further supporting the adverse effect of increased BPV on neurovascular function, Oishi et al. (2017), provided evidence that BPV is an independent risk factor in the development of AD and vascular cognitive impairment/dementia.

Multiple cellular and extracellular matrix mechanisms contribute to vascular stiffness, including elastin fragmentation, collagen deposition, advanced glycation endproduct (AGE)-mediated collagen and elastin cross-linking, impaired VSMC function and calcification, endothelial dysfunction, inflammation and oxidative stress, among others (Chirinos et al., 2019). While advances have been made in elucidating the impact of the renin-angiotensin system (RAS), especially Ang II (Forrester et al., 2018), on vascular fibrosis and arterial stiffness, the underlying cellular and molecular mechanisms remain elusive. Ang II in hypertension and arteriosclerosis is linked to inflammation and oxidative stress (Rodríguez-Iturbe et al., 2017). In VSMCs, elevated intracellular Ca^{2+} , increased actin-myosin cross-bridge formation, cytoskeleton rearrangement, ROS, inflammation-related non-coding RNAs, as well as Ca^{2+} -independent mechanisms involving RhoA-Rho kinase, protein kinase C and mitogen-activated protein kinase signaling lead to increased vasoconstriction and arterial remodeling, as recently reviewed by Touyz et al. (2018). Using a mouse model of arterial stiffness induced by administration of CaCl_2 into the adventitia of the carotid artery, Sadekova et al. (2018) showed increased microglia and astrocyte reactivity in the hippocampus and frontal cortex. In this case, the observed gliosis was associated with NADPH-generated oxidative stress (Sadekova et al., 2018).

Taken together, while numerous human studies and observations found strong correlations between arterial stiffening and impaired cerebrovascular function in hypertension and cognitive decline, the apparent lack of direct mechanistic

links and experimental evidence for well-defined molecular targets prevents therapeutic progress.

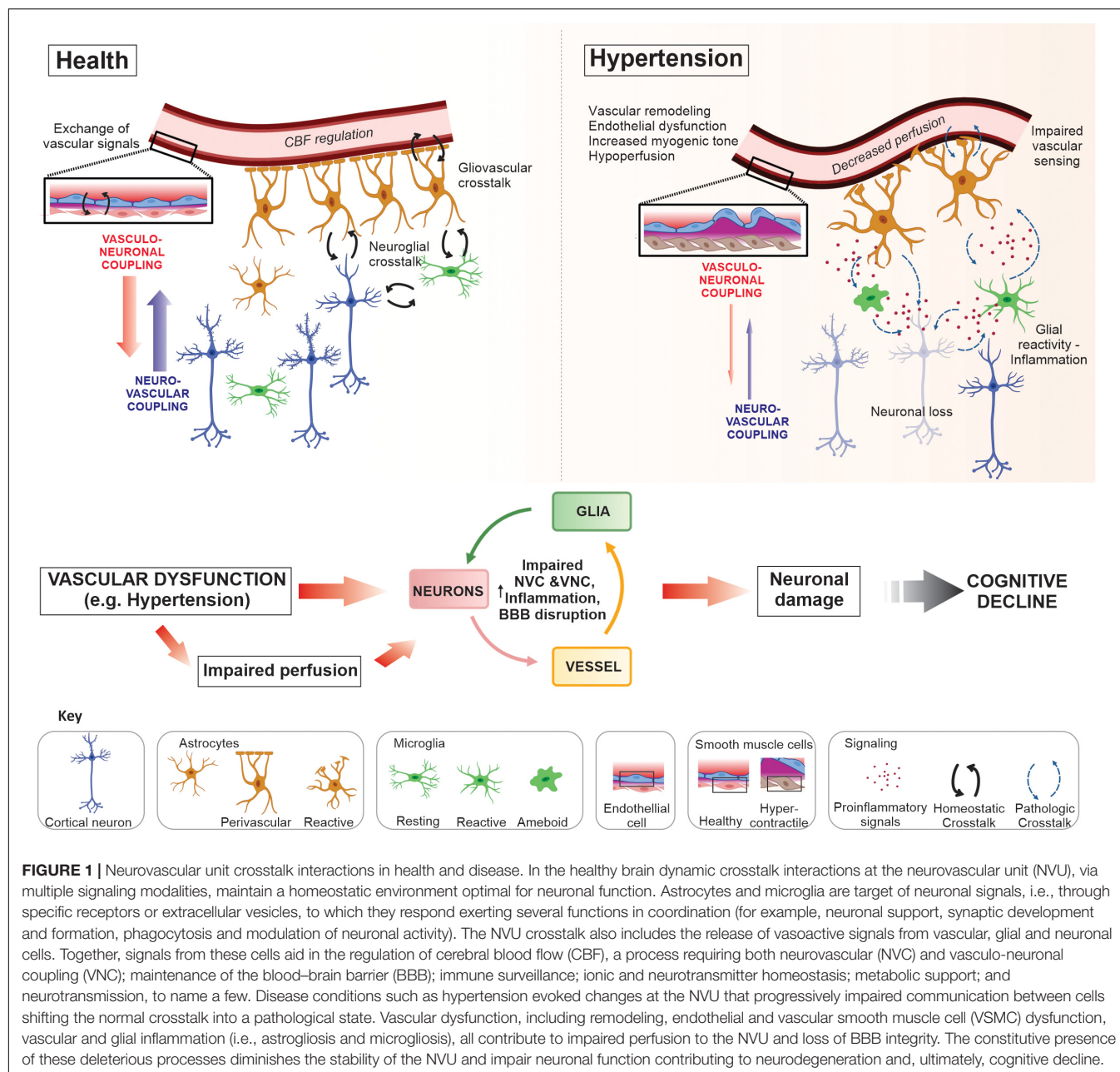
VASCULAR CONTRIBUTORS TO COGNITIVE IMPAIRMENT AND DEMENTIA

The prevalence of hypertension, a modifiable risk factor for cardiovascular disease, increases with age. Importantly, in hypertensive patients, aging accelerates cognitive decline (Livingston et al., 2017). Thus, understanding the cellular mechanisms underlying hypertension- and aging-induced cognitive decline is pivotal for the development of therapeutic strategies in the prevention of vascular-related dementia.

The most common form of dementia is AD, a multifactorial neurodegenerative disease (Pomilio et al., 2020). Early presentations of AD include neurovascular dysfunction and microvasculature alterations (Sagare et al., 2013). Iturria-Medina et al. (2016) analyzed 7,700 brain images and dozens of plasma and cerebrospinal fluid biomarkers from the Alzheimer's Disease Neuroimaging Initiative (ADNI), looking for abnormalities related to vascular, amyloid, metabolic, functional, and structural changes. They found that vascular dysfunction was an early pathological event. Importantly, cognitive decline was noticeable from the initial stages of late-onset AD and was associated with vascular alterations.

Mouse models of familial AD exhibit differences depending on the specific mutations and transgenes they carry. However, many present vascular alterations (Iadecola, 2017), including altered cerebral perfusion (Hebert et al., 2013; Guo et al., 2019), impaired NVC (Badhwar et al., 2013; Lu et al., 2019), capillary endothelial degeneration, thickening (Zlokovic, 2005), and loss of pericytes and BBB integrity (Sagare et al., 2013; Montagne et al., 2020), before behavioral changes and deposition of amyloid plaques. As in humans, these observations support the notion that vascular dysfunction is an essential factor in the onset of cognitive decline.

Increased blood pressure in mid-life is positively associated with cognitive decline or AD late in life (Skoog et al., 1996). A recent report of a clinical trial with a 6-year follow-up concluded that controlling blood pressure at a target level less than 140 mmHg decreased the incidence of mild cognitive impairment and lowered the risk of dementia (Sprint Mind Investigators for the Sprint Research Group, Williamson et al., 2019). Given the availability of potentially beneficial therapeutics and the lack of successful interventions for AD (Edwards Iii et al., 2019), antihypertensive drugs have been explored as AD treatments. A retrospective cohort analysis of patients taking antihypertensive RAS-acting medicines, including angiotensin-converting enzyme inhibitors (ACEIs) and Ang II receptor blockers (ARBs), reported that AD incidence was lower in women (both Caucasian and African American) and Caucasian men taking ARBs compared with their counterparts not taking RAS-acting drugs (Barthold et al., 2018). Furthermore, a meta-analysis found that controlling blood pressure with antihypertensive drugs in and of itself may have positive outcomes for cognitive function (Ding et al., 2020).



Targeting the RAS using Ang II receptor blockers has proven beneficial for cognition in both human patients and animal models. AD mice (*J20* model) treated with losartan showed improved posterior cerebral artery reactivity and restoration of functional hyperemia, this despite the fact that amyloid deposits in the brain did not decrease, and even showed a tendency to increase. Remarkably, losartan improved outcomes in cognitive tests in AD mice. At the cellular level, losartan decreased the oxidative state/stress and astrogliosis (Royea et al., 2017). These results are in agreement with those of another study in which losartan treatment improved cerebrovascular and cognitive function in older mice (12 months) (Ongali et al., 2014). These observations

argue against a link between Ang II receptors and amyloid pathology-related cognitive dysfunction, but favor the idea that improving vascular function with the use of antihypertensive drugs could positively impact cognitive outcomes in AD. In humans, telmisartan treatment was associated with increased resting CBF and improved cognitive function (Kume et al., 2012), supporting the use of antihypertensive treatments to improve vascular function and cerebral perfusion. In a randomized controlled trial, 6-month treatment with telmisartan, an AT₁R blocker, in probable AD patients with essential hypertension decreased proinflammatory cytokines in the CSF and increased Aβ₁₋₄₂, indicative of augmented clearance (Li et al., 2012). An important point to consider, however, is

that not all drugs that block Ang II receptors are helpful in restoring cognitive function (Trigiani et al., 2018) or are effective in long-established AD pathology, as seen in mice (Ongali et al., 2016).

The use of antihypertensive drugs has also been proposed as a strategy for ameliorating amyloid pathology. A recent study in which regulation of the RAS was enhanced through ACE2 in the Tg2676 mice model of AD showed that spatial memory was improved in association with a reduction in soluble and insoluble A β in the hippocampus (Evans et al., 2020). Here, however, vascular function was not evaluated. Ang-II-infused hypertensive mice exhibit increased production of amyloid precursor protein (APP) binding proteins (Csiszar et al., 2013). Yet, no changes in the production of APP-processing enzymes that participate in the amyloidogenic process were found.

Further studies are needed to determine the effects of antihypertensive drugs and their mechanisms of action concerning the vascular component of AD. These drugs might be useful in the prevention of AD and dementia by preserving vascular function. However, their potential to mitigate the contribution of amyloid pathology cannot be ruled out. In this latter context, it could be that the driver of amyloid pathology in hypertensive models and patients relates to the deleterious effects of hypoxia/ischemia on vascular function, as these conditions activate the processing of APP (Shiota et al., 2013).

Whether independent or as a result of hypertension, evidence also supports a link between arterial stiffness and AD pathology and related dementias. Notably, arterial stiffness is a contributing factor to pulsatile hemodynamics, cerebral hypoperfusion, and microvascular damage. In older adults, arterial stiffness is positively correlated with an increased incidence of cerebral small vessel disease (Hughes et al., 2015; Chirinos et al., 2019). Rivera-Rivera et al. (2020) showed that cerebrovascular stiffness was increased in middle-aged AD patients. In this work, the authors employed high temporal resolution 4D flow magnetic resonance imaging (MRI) to assess transcranial PWV in 160 participants classified according to cognitive function. They found that transcranial PWV was significantly increased in subjects with myocardial infarct or AD.

Notably, maladapted cerebral hemodynamics could lead to alterations in the structural and functional connectivity of the brain, as exemplified by white matter lesions/hyperintensities, a symptom of small vessel disease and parenchymal artery dysfunction (Bagi et al., 2018). At rest, the brain exhibits slow blood flow fluctuations that are interpreted as providing effective connectivity between regions comprising what is known as the default mode network (Raichle, 2015). Reduced functional connectivity between the hippocampus and other brain regions is found in AD patients (Allen et al., 2007), and has been shown to correlate with behavioral changes (Multani et al., 2019).

As is the case in hypertension and aging, diminished cerebral perfusion, perhaps initiated by morphological alterations of the microvasculature, may play a role in the development of AD through increases in oxidative stress and compromised neurovascular interactions. Decreased oxygen delivery to brain regions, including the hippocampus, leads to neurodegeneration

and cognitive decline (de la Torre, 2000; Mehla et al., 2018). Tong et al. (2012) showed therapeutic benefits from simvastatin treatment in a mouse model of AD. Simvastatin lowered O $_2^-$ production and normalized antioxidant superoxide dismutase 2 levels. Importantly, simvastatin restored cerebrovascular function and NVC in both aged (12 months) and adult mice (6 months). Short- and long-term memory was restored in aged adults but not aged AD mice, even without effects on plaque or amyloid β (A β) levels.

At the level of the NVU, A β has been shown to cause pericyte-induced capillary constriction in both AD patients and an AD mouse model. The underlying mechanism was found to involve the generation of ROS (mainly via NOX4), resulting in the release of the potent vasoconstrictor agent, endothelin-1 (ET-1). ET-1, in turn, acted through ET $_A$ receptors to evoke pericyte constriction. Notably, A β -evoked constrictions were prevented by blocking NOX4 or ET $_A$ (Nortley et al., 2019). A recent study showed that secretion of APOE4 by pericytes resulted in activation of cyclophilin A and stimulation of downstream protein matrix metalloproteinase-9. Increased inflammation in pericytes, and possibly endothelial cells, increased BBB leakiness in the hippocampus and parahippocampus brain areas associated with learning and memory.

A β peptide aggregation in the wall of cerebral microvessels (cerebral amyloid angiopathy) also impairs vascular function (Kimbrough et al., 2015) and is a risk factor for vascular cognitive impairment. Using a combination of *ex vivo* and *in vivo* imaging approaches in mice, Kimbrough et al. (2015) found that astrocyte endfeet were separated from cerebral vessel segments that showed amyloid deposits and that astrocyte stimulation resulted in poor vascular responses. In contrast, astrocyte-induced vascular responses were intact in vessel segments that lacked amyloid deposits (Kimbrough et al., 2015). Collectively, these observations support a role for astrocytes in the regulation of CBF in AD.

The importance of vascular homeostasis in AD and associated dementias has become an important shift in the quest to identify the factors contributing to cognitive decline (Csiszar et al., 2017). Collectively, the above findings call for controlled clinical trials and advanced animal models to help disentangle mechanisms targeted by antihypertensive and antioxidant treatments in the therapeutic benefits of these drugs in the setting of vascular-related cognitive impairment and dementia.

CONCLUSION

This review highlights key crosstalk dynamics at the NVU, which maintain homeostasis in the brain (Figure 1). We discussed key molecular regulators of parenchymal arteriole vascular tone and the pathological changes these arterioles undergo in disease conditions, primarily hypertension. In addition, we describe how other components of the NVU (e.g., glial cells) may contribute to disease progression (Figure 1). Clearly, more work is needed to understand the cellular mechanisms underlying loss of function at the NVU and how vascular alterations (i.e., vascular stiffness, remodeling, and

astrocyte-vascular uncoupling) impact homeostatic as well as energy-dependent processes in the brain. While vascular dysfunction is strongly linked to cognitive decline, many factors such as the cellular targets involved in disease-specific insults (i.e., CBF, intravascular pressure, ischemia, inflammation) as well as the consequences these have on cerebral perfusion and neuronal functional remain ill define. Thus, future studies addressing the cellular and molecular players in the loss of physiological crosstalk at the NVU are needed to shed light on early processes, with therapeutic potential, to prevent what in later life manifests as vascular cognitive impairment and dementia.

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AUTHOR CONTRIBUTIONS

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The Role of Connexin 43 and Pannexin 1 During Acute Inflammation

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During acute inflammation, the recruitment of leukocytes from the blood stream into the inflamed tissue is a well-described mechanism encompassing the interaction of endothelial cells with leukocytes allowing leukocytes to reach the site of tissue injury or infection where they can fulfill their function such as phagocytosis. This process requires a fine-tuned regulation of a plethora of signaling cascades, which are still incompletely understood. Here, connexin 43 (Cx43) and pannexin 1 (Panx1) are known to be pivotal for the correct communication of endothelial cells with leukocytes. Pharmacological as well as genetic approaches provide evidence that endothelial Cx43-hemichannels and Panx1-channels release signaling molecules including ATP and thereby regulate vessel function and permeability as well as the recruitment of leukocytes during acute inflammation. Furthermore, Cx43 hemichannels and Panx1-channels in leukocytes release signaling molecules and can mediate the activation and function of leukocytes in an autocrine manner. The focus of the present review is to summarize the current knowledge of the role of Cx43 and Panx1 in endothelial cells and leukocytes in the vasculature during acute inflammation and to discuss relevant molecular mechanisms regulating Cx43 and Panx1 function.

Keywords: hemichannel, leukocyte, connexin, gap junction, acute inflammation, endothelial cells, ATP

INTRODUCTION

During acute inflammation the recruitment of leukocytes from the bloodstream to the site of injury or infection is a highly regulated mechanism to ensure that leukocytes leave the bloodstream specifically at the inflammatory site to eliminate the inflammatory trigger (Nourshargh and Alon, 2014). In a series of different consecutive steps including rolling, adhesion processes, crawling, and transmigration through the microvascular endothelial layer leukocytes are in close contact to the endothelium (Nourshargh and Alon, 2014). For a correct regulation of these events during the recruitment cascade, the interaction and communication between leukocytes and endothelial cells (EC) but also between leukocytes or between EC are of particular importance.

Gap junction channels (GJ) are formed by two hemichannels, composed of six connexin (Cx) proteins, and allow the direct transfer of molecules between adjacent cells in a tissue (Kumar and Gilula, 1996). Cx-hemichannels can act as a transmembrane conduit between the cytoplasm and

the extracellular space to release paracrine or autocrine signaling molecules (Bruzzone et al., 2001; De Vuyst et al., 2007; Willebrords et al., 2016). Under physiological conditions they are normally closed but open with injury and inflammation and can induce cytokine release (Cheng et al., 2014). Pannexins (Panx) form functional membrane channels and are like Cx-hemichannels and GJ permeable to small molecules including ATP, ions or metabolites (Bruzzone et al., 2003; Zhou et al., 2019). Panx mediated ATP-release has been implicated in inflammatory responses of several immune cells (Lohman et al., 2015; Zhou et al., 2019). Cx and Panx are widely expressed in immune cells and are critically involved in immune cell functions and the regulation of inflammatory responses (Oviedo-Orta and Howard Evans, 2004; Glass et al., 2015; Esseltine and Laird, 2016; Aasen et al., 2019). Among them connexin 43 (Cx43) and pannexin 1 (Panx1) are present in all immune cells and the most studied isoforms (Esseltine and Laird, 2016; Valdebenito et al., 2018; Wang and Chen, 2018). In this mini review we will address the role of Cx43-hemichannels, Panx1-channels as well the intercellular communication via GJ in EC and leukocytes during acute inflammation.

IMPACT OF Panx1 AND Cx43 FOR COMMUNICATION OF EC AND DIFFERENT TYPES OF LEUKOCYTES (SEE FIGURE 1)

Intercellular communication between various cells of the immune system has been described for many years (Hulser and Peters, 1972) and in the last decades the expression pattern of Cx as well as the coupling between immune cells or with EC was analyzed. Also the role of endothelial Cx/GJ for the behavior of leukocytes was focus of many studies (Oviedo-Orta and Howard Evans, 2004; Okamoto and Suzuki, 2017). Intercellular coupling between lymphocytes expressing Cx40 and Cx43 (Oviedo-Orta et al., 2000) was involved in the proliferation of T-cell subsets (Zhang et al., 2018) and lymphocyte-macrophage-coupling (Bermudez-Fajardo et al., 2007). Other leukocytes like neutrophils express various Cx, among them Cx43 (Jara et al., 1995) and are able to form functional GJ among themselves (Branes et al., 2002).

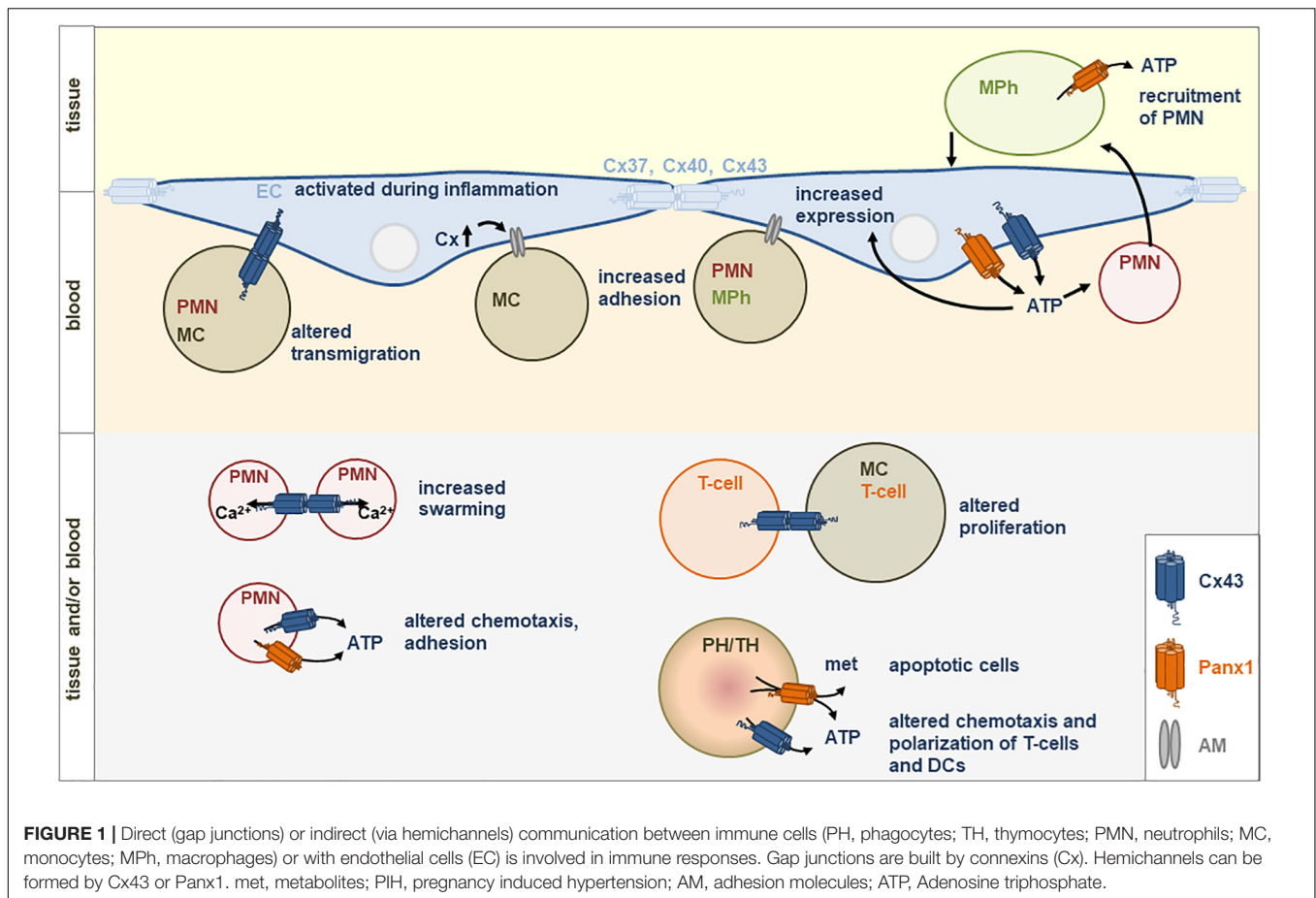
Also direct or indirect communication of leukocytes with other cell types like endothelial (Zahler et al., 2003), epithelial (Pepin et al., 2020), or cancer cells (Hofmann et al., 2019) has been detected. Zahler et al. (2003) analyzed neutrophils that were able to exchange a GJ permeable dye with EC and Sarriddine et al. (2009) could demonstrate that adhesion of neutrophils was regulated by gap junctional coupling via Cx43 with endothelial (and epithelial) cells in the lung. Direct communication between lymphocytes is suggested to play a role in T-lymphocyte proliferation contributing to hypertensive inflammatory response (Ni et al., 2017) and GJ between cytotoxic T-lymphocytes and cancer cells allowed the immune system to control cancer cells (Hofmann et al., 2019). Furthermore, Cx43 expression and GJ communication were shown to be transiently

induced between monocytes/macrophages at inflammatory sites (Eugenin et al., 2003) and macrophages were shown to communicate via GJ with epithelial cells thereby amplifying the antiviral response (Pepin et al., 2020).

Li et al. (2019) confirmed the role of Cx43 in promoting the adhesion of monocytes to EC. In contrast to others, the authors did not find any adhesive effects of the GJ but could show that enhanced expression of Cx43 in EC led to activation of the PI3K/AKT/NF- κ B signaling pathway finally enhancing VCAM-1 and ICAM-1 expression and thereby increasing monocyte-endothelial adhesion. This effect seems to be prominent in EC exposed to high blood pressure leading to an enhanced expression of Cx43 and therefore to an enhanced monocyte-endothelial adhesion. Furthermore, Cx43 expression in monocytes also affects monocyte-endothelial adhesion (Ji et al., 2019) as inhibition of Cx43 expression in monocytes led to a decreased activity of the PI3K/AKT/NF- κ B signaling pathway resulting in a decreased monocyte-endothelial adhesion, finally offering new thoughts for understanding atherosclerosis. The release of IL-17 from lymphocytes led to a decrease of Cx43 expression in limbal vascular EC (Qin et al., 2019) indicating an indirect communication of lymphocytes with EC.

Panx can similar to Cx act as hemichannels and were described to be able to form intercellular channels (Bruzzone et al., 2003; Sohl et al., 2005). However, most publications describe their function as hemichannels (Lohman et al., 2015) suggesting an indirect coupling of cells via the release of signaling molecules affecting regulatory processes of effector cells. Panx expression in EC and during inflammation has been reviewed recently (Begandt et al., 2017) and although Panx are known to be important in this context, their regulation in inflammation is unclear (Begandt et al., 2017). However, post-translational modifications of Panx1 (phosphorylation, S-nitrosylation, or caspase cleavage) can affect channel properties (Boyce et al., 2018). As demonstrated in smooth muscle cells a direct Src-dependent phosphorylation at Tyr198 within a Src homology 2 (SH2) domain in the intracellular loop seems to be involved in Panx1-dependent regulation of adrenergic mediated vasoconstriction (Delalio et al., 2019). Moreover, it was shown that spironolactone, an anti-hypertensive drug, inhibits the permeability of Panx1-channels thereby regulating α -adrenergic vasoconstriction (Good et al., 2018).

Under ischemic (Kaneko et al., 2015) or pro-inflammatory (Lohman et al., 2015) conditions, the latter only in venous but not arterial EC, the permeability of endothelial Panx1-channels was increased leading to decreased leukocyte adhesion (Lohman et al., 2015). Furthermore, the opening of Panx1 seems to be involved in ischemia/reperfusion injury-induced inflammation in the brain (Thüringer et al., 2015; Wei et al., 2015) and the lung increasing next to others vascular permeability and neutrophil infiltration (Sharma et al., 2018). Other inflammatory mediators like thrombin or histamine also led to an increased permeability of Panx1 whereas Cx43-hemichannel activity was reduced in this context (Godecke et al., 2012). Next to EC, Panx have also been shown to be expressed in leukocytes (Schenk et al., 2008; Woehrle et al., 2010; Li et al., 2018) and their expression was upregulated due to infection (Li et al., 2018). However, not only the expression



was upregulated but also its distribution pattern within the cell changed due to activation of the immune system. In primary T-cells Panx1 was distributed homogenously via the cell surface and translocated rapidly to the immune synapse after stimulation of the cells (Woehrle et al., 2010).

Cx43 IN LEUKOCYTE RECRUITMENT DURING ACUTE INFLAMMATION

Leukocyte recruitment during acute inflammation seems to be highly reliant on endothelial Cx43. TNF α -induced leukocyte adhesion and transmigration *in vivo* were reduced in endothelial Cx43-deficient mice as well as in the presence of GJ blockers in wild-type animals (Veliz et al., 2008). In a model of acute lung injury Cx43 was markedly enhanced in the lung of mice subjected to instillation with LPS and neutrophil recruitment was up-regulated in homozygous Cx43^{+/+} compared to heterozygous Cx43[±] mice (Sariieddine et al., 2009). *In vitro* studies confirmed a reduced adhesion of activated neutrophils to EC or alveolar epithelial cells with blocked Cx43-containing GJ, which are both involved in LPS-induced lung inflammation. In contrast, incubation of GJ-blocked neutrophils with untreated EC did not reduce the number of adherent neutrophils (Sariieddine et al., 2009) indicating that a signal transfer between endothelial or

epithelial cells via Cx43-containing GJ significantly contributes to neutrophil recruitment during acute inflammation. In contrast, Cx40 expression is downregulated during acute lung injury and endothelial-specific deletion of Cx40 resulted in increased transmigration of neutrophils after intratracheal instillation of LPS. Downregulation of Cx40 in EC *in vitro*, which was accompanied by a decreased expression and activity of CD73, increased adhesion of neutrophils to the endothelial monolayer suggesting an anti-inflammatory protective role in contrast to Cx43 (Chadjichristos et al., 2010; Scheckenbach et al., 2011). In another study it was shown that treatment of EC with peptidoglycan, a gram-positive bacterial cell wall component, induced ATP-release via Cx43-hemichannel opening which elicited a pro-inflammatory response by induction of interleukin 6 and Toll-like receptor 2 expression (Robertson et al., 2010), both known to be involved in leukocyte recruitment (Jones, 2005; Sabroe et al., 2005).

In neutrophils, Cx43 is primarily involved in ATP-release via hemichannels (Eltzschig et al., 2006). Dependent on the extracellular ATP concentration different functions like chemotaxis or adhesion are affected by different purinergic receptors (Trautmann, 2009; Wang and Chen, 2018). The ATP-release via Cx43-hemichannels from activated neutrophils during acute inflammation may have endothelial barrier protective and anti-inflammatory effects (Eltzschig et al., 2006).

Phosphorylation of Cx43 at Ser368 is associated with reduced opening (Bao et al., 2004) and ATP-release of activated neutrophils is regulated by Ser368 de-phosphorylation via phosphatase A. This phosphorylation-dependent ATP-release decreased endothelial paracellular permeability by modulating endothelial adenosine responses and might serve as a stopping signal for neutrophil chemotaxis (Eltzschig et al., 2006) to halt their migration at the infectious site. Moreover, it has been shown that LPS-induced autocrine ATP signaling inhibited neutrophil chemotaxis and knockout of the ATP receptor (P2X1) in neutrophil-like differentiated HL-60 cells recovered chemotaxis (Wang et al., 2017). LPS-induced ATP-release through Cx43-hemichannels of activated neutrophils induced a calcium ion (Ca^{2+}) influx via P2X1 receptors, a ligand-gated cation channel with high Ca^{2+} permeability, which reduced neutrophil chemotaxis by activating myosin light chain phosphorylation (Wang et al., 2017). Of note, degranulation and phagocytosis were enhanced by autocrine ATP-release. Hence, activated neutrophils release ATP to stop chemotaxis which might therefore facilitate the bactericidal functions of neutrophils (Wang et al., 2017). Moreover, neutrophil Cx43 has been shown to be crucial for coordinated Ca^{2+} fluxes and initiation of the neutrophil swarming (Poplimont et al., 2020). The first step, the initial recruitment of neutrophils to the site of inflammation is induced by damage-associated molecular patterns (DAMPs) from damaged or dead cells and pathogen-associated molecular patterns (PAMPs) released by invading microorganisms, causing the release of chemoattractants by local tissue cells. In contrast, the second step, the highly coordinated neutrophil movement with dense clusters and swarm aggregation at the wound core is mediated by paracrine release of the chemoattractant leukotriene B4 (LTB4), whose synthesis requires a sustained Ca^{2+} flux (Kienle and Lammermann, 2016; Poplimont et al., 2020). Cx43-dependent ATP-release via hemichannels induced calcium signal propagation in clustering neutrophils leading to LTB4 biosynthesis in neutrophils in a zebrafish model (Poplimont et al., 2020). The authors conclude that hemichannel opening of pioneer neutrophils upon contact with death-specific signals such as fMLP mediate Ca^{2+} flux-dependent LTB4-release, activating a secondary Cx43-channel opening leading to sustained intracluster Ca^{2+} fluxes (Poplimont et al., 2020). This study indicates that Cx43-channels play a crucial role for neutrophil swarm generation and chemoattractant production during acute inflammation.

The motility and transendothelial migration of B-cells also depend on Cx43 and are decreased after downregulation, likely regulated channel-independently by Cx43 (Machtaler et al., 2014), indicating that Cx43 can affect the individual steps of leukocyte recruitment via different mechanisms.

Panx1 IN LEUKOCYTE RECRUITMENT DURING ACUTE INFLAMMATION

Panx can be considered as key upstream molecules in inflammasome activation (Pelegrin and Surprenant, 2006) and

several studies have shown that ATP-release through Panx1-channels enhances inflammatory responses (Adamson and Leitinger, 2014). The progressive opening of Panx1-channels in response to inflammatory stimuli can be activated by a caspase-dependent cleavage of the C-terminal tail controlling channel permeability (Makarenkova et al., 2018; Chen et al., 2019) associated with NLRP3 inflammasome activation in apoptotic macrophages (Chen et al., 2020). Moreover, Panx1 promotes recognition of bacterial molecules to activate the cryopyrin inflammasome (Kanneganti et al., 2007). The interaction of Panx with P2X7 receptors has been reported to create a large permeable pore at the cell membrane and ATP-mediated activation of the inflammasome promotes the secretion of cytokines (Adamson and Leitinger, 2014). The release of ATP via Panx1-channels is involved in leukocytes recruitment by guiding chemotaxis of neutrophils and macrophages via purinergic receptor signaling activated by extracellular ATP (Adamson and Leitinger, 2014). Studies on neutrophil migration showed that ATP-release via Panx1-channels plays a dual role to trigger signals: excitatory signals by amplifying chemotactic signals and facilitating gradient sensing at the front of polarized neutrophils and inhibitory signals via $\text{A}_{2\text{A}}$ adenosine receptors involved in blocking chemoattractant receptor signaling at the back of migrating neutrophils, demonstrating the role of Panx1 as fine-tune regulator of chemotactic responses of neutrophils (Chen et al., 2006, 2010; Bao et al., 2013). The ATP-release via Panx1-channels and autocrine stimulation of P2X4 receptors also contributes to T-cell polarization and migration, whereas blocking of P2X4 receptors inhibited T-cell activation and migration *in vitro* (Ledderose et al., 2018). ATP-release via Panx1 is also required for secretion of IL-1 β from macrophages induced by P2X7 receptor activation (Pelegrin and Surprenant, 2006). In dendritic cells extracellular ATP stimulated fast cell migration through an autocrine signaling loop initiated by P2X7 receptor activation and amplified by opening of Panx1-channels and supplementary release of ATP (Saez et al., 2017).

The extravasation of leukocytes across the vascular wall to the site of injury is dependent on Panx1-mediated ATP-release from venous endothelium (Lohman et al., 2015). Stimulation of venous EC with TNF α induced expression and activation of Panx1-channels stimulating Ca^{2+} -dependent synthesis of IL-1 β (Yang et al., 2020) and ATP-release inducing leukocyte adhesion and emigration *in vitro* and *in vivo* (Lohman et al., 2015). The latter might be partially mediated by increased endothelial expression of the adhesion molecule VCAM-1 (Dosch et al., 2018). Notably, EC derived nitric oxide can inhibit Panx1 activity through S-nitrosylation which reduces ATP-release and Panx1-channel currents in murine EC (Lohman et al., 2012).

Recently, Tam et al. (2020) demonstrated that during metabolic inflammation pro-inflammatory macrophages release chemotactic factors upon exposure to saturated fatty acids, such as palmitate, which was associated with recruitment and infiltration of neutrophils into adipose tissues. Heat inactivation or proteinase K digestion of media released by fatty acid treated macrophages did not alter neutrophil recruitment but was effective to inhibit CXCL-1 induced chemotaxis suggesting that these macrophage derived chemotactic factors are not

proteins (Tam et al., 2020). Moreover, the authors found that palmitate upregulated Panx1-channel expression in macrophages mediating neutrophil attraction by release of nucleotides such as ATP. The thereby following purinergic receptor stimulation and signaling induces neutrophil migration (Tam et al., 2020). These results emphasize the role of Panx1 in neutrophil recruitment and its contribution to inflammatory response during obesity. The impact of Panx1 for leukocyte recruitment during inflammation has also been demonstrated using a pharmacologic blocking of Panx1-channels by trovafloxacin, which decreased ICAM-1 expression and delayed recruitment of monocytes and neutrophils (Giustarini et al., 2019).

Due to the fact that Panx1-channels also regulate host responses to viruses (Orellana et al., 2013; Malik and Eugenin, 2019) the impact of Panx1 in COVID19 patients displaying hyper-inflammation has recently been discussed (Swayne et al., 2020). For the patient recovery especially the early phase of innate immunity seems to be critical and immunosuppression could limit viral entry and disease progression. Therefore, targeting Panx1-channels could improve therapeutic approaches and might reduce SARS-CoV-2 infectivity and vascular inflammatory responses in COVID19 patients (Swayne et al., 2020).

MOLECULES TRANSMITTED VIA Panx1-CHANNELS AND Cx43-CHANNELS

There are many data about the release of ATP via Cx43- or Panx1-channels: neutrophils for example are known to release ATP via Cx43-hemichannels during hypoxia or inflammation (Eltzschig et al., 2008). In inflamed lung EC, the release of ATP was shown to be mediated via Panx1 (Sharma et al., 2018) and in a fish model tPanx1-channels in leukocytes and other cells were responsible for the release of ATP (Li et al., 2018). In smooth muscle cells Panx1 mediated ATP-release is regulated by a direct Src-dependent phosphorylation at Tyr198 (Delalio et al., 2019) and purinergic regulation of migrating T-cells was shown to be stimulated by an ATP-release via Panx1 (Ledderose et al., 2018). For intercellular communication via GJ, it is common knowledge that molecules up to a size of 1.8 kD can be transferred between cells (Neijssen et al., 2005). Typically, ions like K^+ or Ca^{2+} and second messengers like cAMP were shown to diffuse from one cell to the other

(Valiunas et al., 2019) but for the communication between either immune cells or between immune and endothelial/epithelial cells less data are available. Zhang et al. speculated that ATP diffuses via GJ between lymphocytes (Zhang et al., 2018). For cytotoxic T-cells that form GJ with cancer cells leading to an enhanced granzyme B activity in cancer cells it was thought that granzyme B or Ca^{2+} may be transferred (Hofmann et al., 2019) and macrophages that communicate via GJ with epithelial cells (Pepin et al., 2020) seem to mediate cGAMP via intercellular channels. Also peptides have been shown to be transferred via GJ between cells causing recognition of nearby bystander cells and activated monocytes by cytotoxic T-cells (Neijssen et al., 2005).

DISCUSSION

The activity of both Cx43-hemichannels and Panx1-channels depends on diverse environmental stimuli and increases under pathophysiological conditions including acute inflammation. Their opening allows the release of signaling molecules from the cytoplasm acting as autocrine or paracrine messengers (Evans et al., 2006) and enables activated EC and leukocytes to fine-tune responses during acute inflammation. Most of the described effects of inflammatory cells are mediated via ATP-release through Cx43-hemichannels or Panx1-channels and purinergic receptor signaling but also the gap junctional transfer of signaling molecules affects the recruitment of leukocytes. Despite the increasing amount of studies on Cx- and Panx-functions in EC and leukocytes still little is known about their interplay and regulation during acute and chronic inflammation and requires further investigations. Moreover, it should be mentioned that pharmacologic inhibition of Cx43-hemichannels at least partly affects Panx1 and GJ and making the discrimination between hemichannels and GJ difficult (Willebrords et al., 2017). However, targeting Panx1- or Cx43-dependent ATP-release and purinergic signaling could represent a promising approach for the therapeutical treatment of acute inflammation.

AUTHOR CONTRIBUTIONS

PK and KP wrote the manuscript. Both authors contributed to the article and approved the submitted version.

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Identification of Transcriptional Variation in Aortic Remodeling Using a Murine Transverse Aortic Constriction (TAC) Model

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Arterial remodeling is a major pathological consequence of hypertension, which is recognized as the most common chronic non-communicable disease. However, the detailed mechanism of how arterial remodeling is induced by hypertension has not yet been fully elucidated. Evaluating the transcriptional changes in arterial tissue in response to elevated blood pressure at an early stage may provide new insights and identify novel therapeutic candidates in preventing arterial remodeling. Here, we used the ascending aorta of the transverse aortic constriction (TAC) model to induce arterial remodeling in C57BL/6 male mice. Age-matched mice were subjected to sham surgery as controls. The TAC model was only considered successful if the mice conformed to the criteria (RC/LC blood flow velocity with 5–10-fold change) 1 week after the surgery. Two weeks after surgery, the ascending aorta developed severe remodeling in TAC mice as compared to the sham group. High throughput sequencing was then applied to identify differentially expressed (DE) transcripts. In silicon analysis were then performed to systematically network transcriptional changes. A total of 1,019 mRNAs were significantly changed between TAC and the sham group at the transcriptional level. GO (Gene Ontology) and KEGG (Kyoto Encyclopedia of Genes and Genomes) analysis revealed that stress/stimulus/immune-related biological processes played a crucial role during arterial remodeling. Our data provide a comprehensive understanding of global gene expression changes in the TAC model, which suggests that targeting inflammation and vascular smooth cell transformation are potential therapeutic strategies to interfere with the aortic remodeling at an early stage in the development of hypertension.

Keywords: hypertension, arterial remodeling, transverse aortic constriction (TAC), transcriptional changes, bioinformatics analysis

INTRODUCTION

Hypertension is the most prevalent chronic non-infectious disease and one of the most significant risk factors for cardiovascular and cerebrovascular diseases (1, 2). The World Health Organization estimates that more than 1.13 billion people worldwide suffer from high blood pressure. More importantly, due to the aging population, the number of hypertension patients is expected to be

over 1.5 billion by 2025 globally (3). The increasing burden of hypertension not only enhances the morbidity and mortality of cardiovascular and kidney diseases, it also causes increasing economic costs that affect the whole society (4). Although clinical anti-hypertensive drugs, including ACEI, ARB, and β -blockers, have certain functions in inhibiting vascular remodeling while also reducing blood pressure, the clinical benefits of these drugs are not satisfactory (5). Therefore, there is high demand and an urgent need to uncover new therapeutic targets for hypertension therapies.

Arterial remodeling is one of the significant pathological consequences of high blood pressure, which ultimately induces arteriosclerosis and tissue damage in various organs (6, 7). Arterial remodeling is influenced by hemodynamic loading, neuro-hormonal activation, inflammation, immunity, and other potential factors. Among them, hemodynamic changes can activate vascular biochemical signals via sensing mechanical transduction variation, followed by multiple cytological pathological and physiological alterations, such as cell hypertrophy, proliferation, apoptosis, and extracellular matrix (ECM) turnover. The classical phenotypic transformation of arterial remodeling is located in the median vascular smooth muscle cells, which is manifested as hypertrophy, high proliferation, increased migration to the endothelium, and a large amount of ECM deposition (8, 9). The ultimate goal of inhibiting hypertension-induced vascular remodeling is to prevent end-organ damage, whereas inflammation is a key mediator between these two events (10), thus, targeting inflammation is a straightforward strategy to avoid hypertension associated organ damage.

The TAC-induced hypertension model is a well-established system that accurately mimics pathological changes, including thickening of the medial and adventitia of blood vessels as well as inhibition of endothelial cells-vascular smooth muscle cells (EC-VSMC) dependent vasomotor function (11, 12). Although AT1 receptor activation plays an important role in pressure-induced arterial remodeling in the TAC model (13), the sophisticated mechanism of how hypertension induces arterial remodeling remains unclear. Here, we used RNA Sequencing (RNA-seq) to identify DE transcripts during the arterial remodeling development and to validate these transcriptional alternations by analyzing mouse aorta tissues with multiple assays, which can reveal novel biomarkers and therapeutic targets for hypertension-induced arterial remodeling at an early stage of the disease.

MATERIALS AND METHODS

Establishment of Transverse Aortic Constriction (TAC) Model

All animal care and experimental procedures were approved by the Institutional Animal Care and Use Committee of Southern Medical University and performed in accordance with the Guide for the Care and Use of Laboratory Animals published by the United States National Institutes of Health. C57BL/6J male mice (8 weeks old, 20–25 g) were intraperitoneally anesthetized

with a mixture of xylazine (5 mg/kg) and ketamine (100 mg/kg), and awareness was monitored by the negative tail pinch reflex. Mice were fixed on a temperature-controlled operating table in the supine position. After an endotracheal tube was inserted, the mice were supported by a mouse mini-ventilator (Alcott biotech, Shanghai, China). The respiration rate was set to 150 breaths/min, tidal volumes to 2 ml/min, and inspiration/expiration ratio to 1:1.3. After depilation with depilatory cream and routine disinfection, the mouse skin was incised next to the sternum to the second rib. By penetrating the second intercostal space with forceps to expose the thymus, and then displace the thymus until the aortic arch appeared clearly. A curved needle was used to assist in pulling the 6-0 suture under the aortic arch. A27G needle was specially processed as a spacer for TAC-ligation and the needle was withdrawn after tight banding. Finally, the chest was closed and the animal was monitored until fully recovered. Sham-operated mice were subjected to the same procedure but without banding. One week after the operation, mice were subject to ultrasound to examine whether the TAC model was successfully established according to previously reported standards (14, 15). Two weeks later, mice were sacrificed by anesthesia overdosing with intra-peritoneal injection of pentobarbital sodium and cervical dislocation, and their ascending aortas were collected for further analysis. For histological examinations, aortas were fixed in 4% formalin, whereas for other analyses the aortas were stored at -80°C until the analysis.

Invasive Measurement of Hemodynamics

Left ventricular (LV) hemodynamics were evaluated before the animals were sacrificed. LV hemodynamics were performed under anesthesia (1.5–2% isoflurane, 2 L/min oxygen flow rate) with mice incubated and ventilated. A 1.0F catheter (Millar Instruments, Inc., Houston, TX) was inserted into the right carotid artery and advanced to the LV. The parameters of the LV systolic pressure (LVSP), LV end-diastolic pressure (LVEDP), and the maximum and minimum rates of change of the LV pressure (max dp/dt and min dp/dt, respectively) were recorded. The exponential time constant of LV relaxation (τ) was then calculated using Power Lab software (blood pressure module; AD Instruments, Shanghai Trading Co., Shanghai, China).

Echocardiography

Echocardiography was performed in anesthetized (3–5% isoflurane for induction, 1–1.5% for maintenance, with a 0.8 L/min oxygen flow rate) mice at 7 and 14 days after surgery using a VEVO2100 Imaging System (Visual Sonics, ON, Canada) with a 40-MHz probe. After the mice were fixed on a heating plate (37°C), the throat and the chest of mice were depilated, followed by ultrasonic coupling agent treatment. Firstly, the aorta was located in B-Mode, with the presence of the brachiocephalic trunk, the left common carotid artery, and the left subclavian artery as the standard view. After successful positioning and switching to color Doppler Mode, the ligation stenosis was selected as the measurement point, then switched to pulsed-wave Doppler Mode, images were acquired with cine store and frame store for subsequent measurements and calculations. Meanwhile,

the probe was placed at an angle of 45 degrees on each side of the neck to assess blood flow velocities in the right common carotid artery (RCCA) and the left common carotid artery (LCCA), respectively. Subsequently, the long axis and short axis of the heart were acquired in B-Mode, while the measurements were performed under M-Mode for evaluation of cardiac function. All scanning and analysis were performed in a double-blind fashion. Only mice with a right carotid/left carotid (RC/LC) flow ratio within a certain range (5–10) in the TAC group and a sham animal with RC/LC of ~ 1 1 week after surgery were included in further analyses (13).

Histomorphometric Study

Two weeks after the procedure, the animals were anesthetized and fixed with 20 ml of $1 \times$ PBS perfusion, followed by 10 ml of 4% paraformaldehyde for 3 min under physiological pressure. The ascending aorta was then excised and further fixed overnight. The tissues were then embedded transversely in paraffin and cut at $4 \mu\text{m}$ thickness. Cross-sections were stained by hematoxylin and eosin (H&E) (Biosharp, Hefei, China) according to standard protocol. For morphometric analysis, images of H&E stained cross-sections were acquired and recorded with the Olympus BX53[®] microscope (Center Valley, PA, USA) at $400 \times$ magnifications. The thickness of vascular media was analyzed using ImageJ software (National Institutes of Health, Bethesda, MD). The medial area was defined as the area between the external and internal elastic lamina. The adventitia area was defined as the area between the external elastic lamina and the tunica externa as well as the outermost layer of the vessel. Sections were also stained with Sirius red (Leagene, Beijing, China) to determine the collagen content and with improved Weigert's staining (Leagene, Beijing, China) to detect the expression of elastin. Vascular morphology was determined using an Olympus cell Sens imaging system at $400 \times$ magnification. The expression of elastic fibers was calculated as the area of elastic fibers/area of the media $\times 100\%$, and the area of collagen fibers was directly used to show the expression of collagen fibers. We used ImageJ software for all these area measurements, and two sections from one specimen derived from each mouse were selected randomly. Five mice for each group were used in the final analysis.

Immunohistochemistry and Immunofluorescence

Mouse tissues were de-paraffinized, rehydrated, and incubated in 3% hydrogenperoxide for 10 min to block endogenous peroxidase activity. The sections were placed into a pre-boiled citric acid buffer solution for antigen retrieval using routine methods. Next, slides were cooled down and then washed 3 times in $1 \times$ PBS. Sections were then pre-incubated with 5% bovine serum to block non-specific binding for 1 h at room temperature and then incubated with primary antibodies at 4°C overnight. Immunohistochemistry staining was performed with a two-step detection kit (Zhongshan Golden Bridge Biotechnology, Beijing, China) according to the manufacturer's protocol. After three washes with $1 \times$ PBS, the slides were exposed to diaminobenzidine with a DAB IHC Detection

Kit (Zhongshan Golden Bridge Biotechnology, Beijing, China) for positive immunostaining and counterstained with Mayer's hematoxylin (Solarbio, Beijing, China). Images were captured with an Olympus BX53[®] microscope (Center Valley, PA, USA) at $400 \times$ magnifications. We used positive staining to establish proteins of interest, which were evaluated using average optical density (AOD) across the section area. The primary antibodies were α -smooth muscle actin rabbit mAb (α -SMA)(1:700, CST, USA), rabbit anti-collagen I polyclonal antibody(COL-I)(1:500, Bioss, Beijing, China), Angptl1rabbit mAb (1:500, Santa Cruz, USA) and PCNA rabbit mAb (1:8,000, CST, USA), and rabbit anti-TGF- β 1 polyclonal antibody (1:500, Bioss, Beijing, China). ImageJ software was used (8–10 randomly chosen sections per sample) for quantification. For immunofluorescence staining, most of the procedures were protected from light. Goat Anti-Rabbit IgG(H+L) Cy3 (1:500, Bioworld, USA) secondary antibodies were added for 1 h at 37°C . Slides were then stained with DAPI (Bestbio, Shanghai, China) according to the manufacturer's instructions. Elastic fibers were monitored with its auto-fluorescence. The images were obtained with a Leica (TCS Sp8) confocal microscopy (Leica, Germany) at $400 \times$ magnification. The primary antibodies used in this study were PCNA rabbit mAb (1:800, CST, USA) and rabbit anti-TGF- β 1 polyclonal antibody (1:200, Bioss, Beijing, China).

Western Blotting

Proteins were extracted from the ascending aorta tissues by RIPA buffer (FDBio, Hangzhou, China) which were added with protease inhibitor (Solarbio, Beijing, China) (1:100) and quantified by BCA assay (Thermo Fisher, USA). Protein samples were mixed with a loading buffer and PageRulerTM Prestained Protein Ladder (10–180 kDa) (Thermo Fisher, USA) protein marker and separated by 10% SDS-PAGE, then transferred onto Immobilon-PSQ PVDF Membrane (Millipore, USA). The membranes were incubated at room temperature for 1 h in blocking buffer (5% non-fat powder in Tris-buffered saline and Tween 20 (TBST) buffer). After blocking, the membranes were incubated with primary antibodies overnight at 4°C . The primary antibodies we used were α -SMA rabbit mAb (1:700, CST, USA), rabbit anti-COL-I polyclonal antibody (1:500, Bioss, Beijing, China), Angptl1mouse mAb (1:500, Santa Cruz, USA), PCNA rabbit mAb (1:8,000, CST, USA), rabbit anti-TGF- β 1 polyclonal antibody (1:500, Bioss, Beijing, China), GAPDH mouse mAb (1:5,000, Proteintech, USA), and β -Tubulin mouse mAb (1:50,000, Proteintech, USA). After washing 3 times with TBST, they were incubated with goat anti-rabbit IgG-HRP (1:5,000, FDBio, Hangzhou, China) or goat anti-mouse IgG-HRP (1:5,000, FDBio, Hangzhou, China). After another 3 washes with TBST, immunoreactive bands were detected by ECL Substrate (FDBio, Hangzhou, China) and visualized by the chemiluminescence imager GeneGnome XRQ (Syngene, MD). To calculate the relative expression, the intensity of α -SMA, COL-I, and TGF- β 1 were normalized to GAPDH, while PCNA were normalized to β -Tubulin using ImageJ.

RNA Sequencing

Total RNA was extracted using the Trizol reagent kit (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's protocol ($n = 4$ per group), 2 weeks after the TAC surgery. RNA quality was assessed on an Agilent 2100 Bioanalyzer ($RIN > 7$) (Agilent Technologies, Palo Alto, CA, USA) and double-checked using RNase free agarose gel electrophoresis. After total RNA was extracted, 1 μ g of total RNA was used to prepare the mRNA library. The enriched mRNAs were fragmented into short fragments using a fragmentation buffer and reverse transcribed into cDNA with random primers. Second-strand cDNA were synthesized by DNA polymerase I, RNase H, dNTP (dUTP instead of dTTP). Next, the cDNA fragments were purified with QiaQuick PCR extraction kit (Qiagen, Venlo, The Netherlands), end-repaired, poly(A)-added, and ligated to Illumina sequencing adapters. Then UNG (Uracil-N-Glycosylase) was used to digest the second-strand cDNA. The digested products were size selected by agarose gel electrophoresis, PCR amplified, and sequenced using Illumina HiSeqTM 4000 by Gene De novo Biotechnology Co. (Guangzhou, China). To obtain high-quality clean reads, reads were further filtered by fastp (16) (version 0.18.0). The criteria were as follows: (1) removing reads containing adapters; (2) removing reads containing more than 10% unknown nucleotides (N); (3), and removing low quality reads containing more than 50% of low quality ($Q \leq 20$) bases. The short reads alignment tool Bowtie2 (17) (version 2.2.8) was used for mapping the reads to ribosome RNA (rRNA) database. The rRNA mapped reads were then excluded, and the remaining reads were further assembled for transcriptome analysis. An index of the reference genome (GRCm38.p6) was built, and paired-end clean reads were mapped to the reference genome using HISAT2 (18) (version 2.1.0) with "rna-strandness RF" and other parameters set as a default. Transcript abundance was quantified by StringTie (19, 20) (version 1.3.4), using a reference-based approach. For each transcription region, an FPKM (fragment per kilo base of transcript per million mapped reads) value was calculated to quantify its expression abundance and variations.

Sequencing Data Analyses

All sequencing raw data were deposited at Sequence Read Archive (NCBI SRA database) with the submission ID SRP278673. Differentially expressed transcripts (DEGs) were performed by DESeq2 (21) software between two different groups and by edgeR (22) between two samples. We considered mRNA with a fold change ≥ 2 and a false discovery rate (FDR) < 0.05 in comparison as significant DEGs. Differentially expressed coding RNAs were then subjected to enrichment analysis of GO functions and KEGG pathways. All DEGs were mapped to GO terms in the Gene Ontology database (<http://www.geneontology.org/>). GO enrichment analysis provided all GO terms that were significantly enriched in DEGs, comparing them to the genome background and filtering the DEGs that corresponded to biological functions. The calculated p -value was analyzed with FDR Correction, using $FDR \leq 0.05$ as a threshold. The GO terms that fulfilled this condition were defined as significantly enriched GO terms in DEGs. This analysis was

TABLE 1 | Primers of quantitative real-time PCR.

Primer names	Forward (5'-3')	Reverse (5'-3')
Runx2	CCTCAAGGTTGTAGCCCTC	GGAGTAGTTCTCATCATTCCCG
Agr2	TCCTGGGATTACCAACAGC	CTCTCTTGCCCTGGAGCCAA
Itga2	TTCAGAGCAGAGTTAGACCTG	AACACTTCTGCACTTCGTTTAC
Runx1	CCATCACCGTCTTTACAAATCC	ATCATCTAGTTTCTGCCGATGT
Itga8	CCCGATGGTGAAGTGAACAA	TACACGCTCGAAGTTGCTGT
Agt	ATGAACCTTGCCACTGGAGGG	GATGCTGTTGTCCACCCAGA
Gapdh	GGTTGTCTCTGCGACTTCA	TGGTCCAGGGTTCTTACTCC

able to uncover the main biological functions of DEGs. Pathway enrichment analysis using the KEGG database (23) identified significantly enriched metabolic or signal transduction pathways in DEGs. The Protein-Protein interaction (PPI) network was outlined using String v10 (24), which indicated genes as nodes and interaction as lines in the network. The network file was visualized using Cytoscape (v3.7.1) (25) software to present a core and hub gene biological interaction.

qRT-PCR

Isolated RNAs were also subjected to qRT-PCR validation. RNAs were reverse-transcribed to cDNA by using a Prime-Script RT reagent Kit (TaKaRa, Dalian, China). Real-time PCR was performed using Light Cycler 480 II equipment (Roche Diagnostics, Basel, Switzerland). The primers used in this study are listed in **Table 1**. The reliability of primer sets and the quality of qRT-PCR experiments were validated by a single peak melt curve representing a single PCR product. The expression levels of target mRNAs were calculated by using the $2^{-\Delta\Delta Ct}$ method by normalizing the expression vs. that of Gapdh.

Statistical Analysis

The data were analyzed using SPSS, version 20.0 (SPSS, Inc. Chicago, IL). Quantitative data were presented as the mean \pm SEM (standard error of the mean). The statistical significance between two experimental groups was analyzed using an unpaired two-sided t -test and $P < 0.05$ was considered as statistically significant.

RESULTS

Increased Ascending Aortic Pressure and Remodeling of the Aortic Wall in the TAC Model

Eight-week-old C57BL/6J mice were used to establish the TAC model by ligating between the brachiocephalic trunk and the left common carotid artery (LCCA) (**Figure 1A**). The blood flow velocity of the aortic ligated site (**Supplementary Figure 1A**), right common carotid artery (RCCA) (upper panel of **Figure 1B**), and LCCA (lower panel of **Figure 1B**) were determined by ultrasound 1 week after surgery. The blood flow velocity at the aortic ligation site of the TAC group was ~ 2.9 times higher than that of the sham group (**Supplementary Figure 1B**), indicating

increased aortic pressure in the TAC group. TAC with RC/LC of 5–10 and the Sham ~ 1 (Figure 1C) were included in subsequent experiments. Two weeks after the operation, the diameter of the aortic ligament near the proximal end was measured by ultrasound (Supplementary Figure 1C), and the TAC group was 0.19 mm wider than the sham group on average (Supplementary Figure 1D).

Before sacrificing the mice at 2 weeks, they were subjected to an invasive catheter to detect pressure of left ventricle to reflect the pressure of the proximal end of ascending aorta. The results indicated that Left ventricular systolic pressure (LVSP) in the TAC group was significantly higher than the sham group (Supplementary Figures 2A,B). The maximum rate of change of the left ventricular pressure (dp/dt max) (Supplementary Figure 2C) and contractility (Supplementary Figure 2D) were also increased, suggesting enhanced systolic function of the heart in the TAC group. However, the indicators for diastolic function such as the left ventricular end-diastolic pressure (LVEDP) (Supplementary Figure 2B), minimum rate of change of the left ventricular pressure (dp/dt min) (Supplementary Figure 2C) and exponential time constant of relaxation (τ) (Supplementary Figure 2E) had no significant changes, suggesting no significant change in diastolic function in TAC treated mice.

The ascending aorta in the TAC group was significantly thickened when compared to the sham group 2 weeks after the TAC procedure, no aneurysms and thrombi were detected with microscopic examination. Morphological analysis revealed that the ascending aorta median thickness was significantly increased in the TAC group as much as 80.65% as compared to the sham group (Figure 1D). To further illustrate the remodeling of the aorta by TAC, Weigert's staining was performed to determine the elastic fibers of the media in TAC treated mice's ascending aorta (Figure 1E), indicating decreased compliance of the media in TAC group. Sirius red staining showed that collagen was dramatically accumulated in the ascending aorta of the surgery group, especially in the adventitia. The adventitia collagen deposition area was about 2.55 times larger than that of the sham group (Figure 1F). Of note, these histological changes induced by TAC are similar to those phenotypes observed in the ascending aorta with AngII infusion (26, 27) and in spontaneously hypertensive rats according to our previous study (28).

Transcriptional Changes Identified by RNA-Seq in TAC Models

The RNA-Seq was performed with ascending aortas dissected from TAC or sham control mice, respectively. Using well-established criterion including fold change > 2.0 , $P < 0.05$ and FDR < 0.05 , a total of 1,019 differentially expressed mRNAs were identified. Among them, 722 mRNAs were up-regulated and 297 were down-regulated in the TAC group (Figure 2A). The detailed information of DE mRNAs was listed in Additional file 1. A Volcano map was built with significantly differentially expressed genes (DEGs) between TAC and sham

groups (Figure 2B), genes involved in immune response or ECM turnover, such as *Scube1*, *Scube2*, *C3ar1*, *C5ar2*, *Tlr6*, *Tlr7* and *Col11a1*, *Col1a2*, *Itga11*, were highlighted. Interestingly, it is reported that Scube1 is expressed in both mouse and human endothelial cells and over-expressed in the plasma of essential hypertension patients (29, 30), making it as a potential biomarker for hypertension diagnosis. Hierarchical clustering showed TAC and sham control were well-distinguished by differently expressed mRNA, with all the subjects correctly classified (Figure 2C). PCA analysis also revealed distinct expression profile of DEGs between the TAC and sham groups (Supplementary Figure 3).

qRT-PCR was performed to validate RNA deep sequencing results. A total of 6 mRNAs (*Runx2*, *Agtr2*, *Itga2*, *Runx1*, *Itga8*, *Agt*) were randomly selected for validation. The qRT-PCR results showed that the expression patterns of these selected mRNAs were perfectly in consistent with the RNA deep sequencing data (Supplementary Figure 4).

Functional Enrichment Assay of Transcripts in TAC Models

We then analyzed the molecular functions of differentially expressed mRNAs using GO and KEGG databases. The top GO enrichment terms of the biological process were immune system process and cell surface receptor signaling pathway (Figure 3A); the top enrichment terms for cellular component were extracellular region, extracellular matrix, and collagen-containing extracellular matrix (Supplementary Figure 5A); the top enrichment terms for molecular function were extracellular matrix structural constituent and protein binding (Supplementary Figure 5C). In addition, the inflammatory response, lymphocyte activation, and regulation of response to stimulus, were also up-regulated in the TAC group as revealed by GO enrichment analysis. For those down-regulated transcripts, the most enriched term of the biological process was the oxidation-reduction process (Figure 3B); the mitochondrial part and mitochondrion were the most enriched terms for cellular component (Supplementary Figure 5B); and the oxido-reductase activity as well as cofactor binding were the top enriched terms for molecular function (Supplementary Figure 5D).

To further analyze the DEGs, KEGG pathway enrichment was used to analyze those up-regulated transcripts (Figure 3C) or down-regulated transcripts (Figure 3D), respectively. Our result suggested that cytokine-cytokine receptor interaction, ECM-receptor interaction, NF-kappa B signaling pathway were highly activated and enriched in TAC group, in contrast, oxidative phosphorylation, cardiac muscle contraction, and vascular smooth muscle contraction were inhibited in the TAC group. Intriguingly, the p53 signaling pathway was also up-regulated in KEGG enrichment analysis. These results collectively indicated that immune response, stress/stimulus-related processes played a vital role in pressure overload induced ascending aorta remodeling.

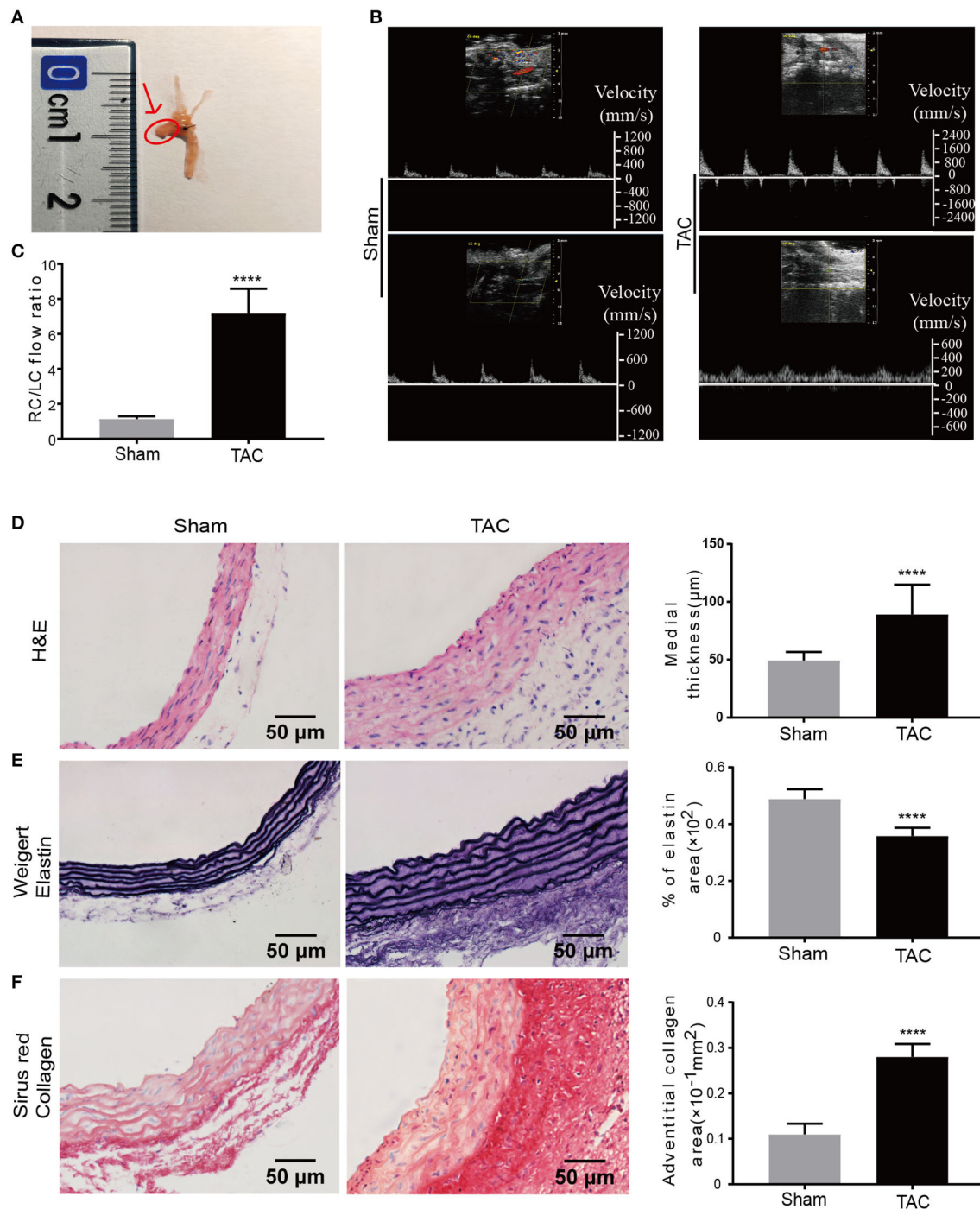


FIGURE 1 | Increased blood pressure and remodeling of the aortic wall in established TAC model. **(A)** TAC was constructed by ligation between the brachiocephalic trunk and LCCA. Tissues used for all analysis are indicated by the arrow and the red circle; **(B)** Blood flow velocity measurement at RCCA and LCCA of the sham or TAC groups, respectively; **(C)** Right carotid /left carotid (RC/LC) flow ratio of TAC and sham group; **(D–F)** Representative images and quantification analysis of hematoxylin and eosin (H&E) staining **(D)**, Weigert's staining **(E)**, and Sirius red staining **(F)**; $n = 6$ per group. Original magnification: $\times 400$. **** $P < 0.0001$.

The Interactions Network of Most Significant DEGs in the TAC Model

The KEGG analysis was further performed in the top 200 up-regulated and top 200 down-regulated DEGs, and the results

showed that the major enrichment pathways were ECM-receptor interactions (e.g., *Itga2b*, *Tnn*, *Thbs1*, and *Itga11*), complement and coagulation cascades (e.g., *Fgg*, *C7*, *A2m*, and *Gpx1*) and vascular smooth muscle contraction (e.g., *Pla2g5*, *Myh11*, *Myl6*,

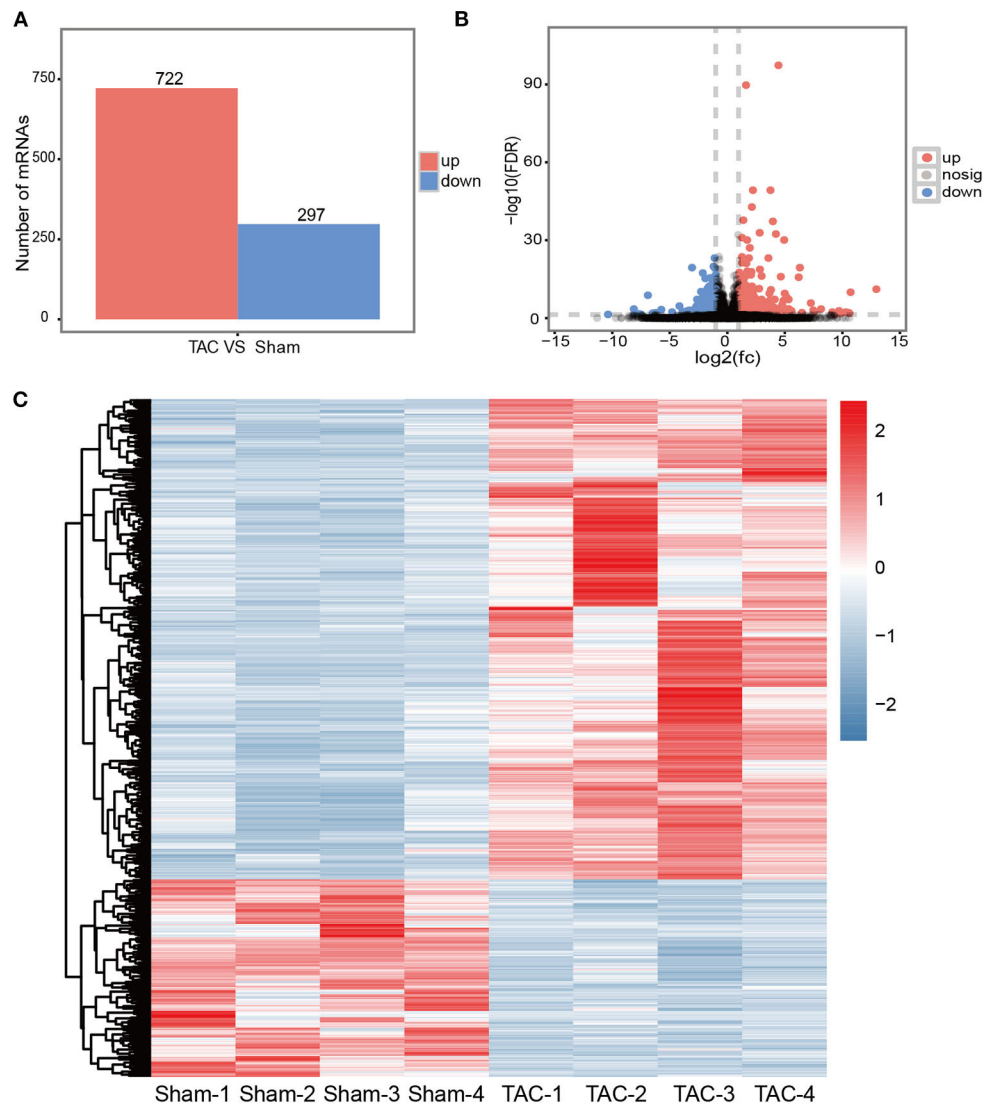


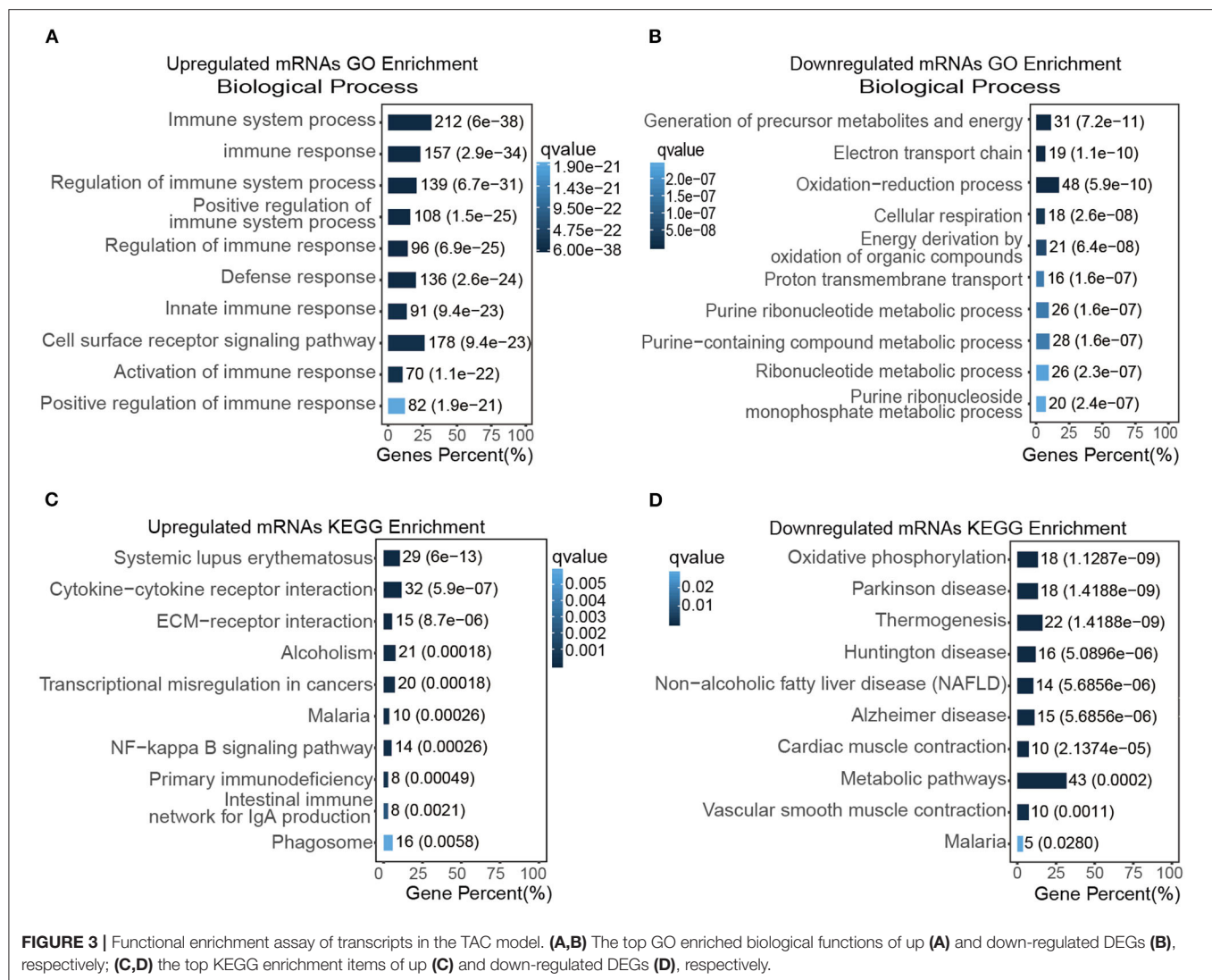
FIGURE 2 | Transcriptional changes in TAC models. **(A)** An overview of DEGs in TAC models; **(B)** Volcano plot illustrating DEGs between the TAC and sham groups. Up-regulated mRNAs are labeled in red, down-regulated in blue, and the rest in gray; **(C)** Hierarchically clustered heat map illustrating DEGs between TAC and sham groups, fold change >2.0 , $P < 0.05$ and $\text{FDR} < 0.05$, $n = 4$ per group.

Kcnmb4, and *Mylk4*) (Figure 4A). We then used the String database to establish a protein-protein interaction (PPI) network using top 200 up-regulated and top 200 down-regulated DEGs (Figure 4B). Accordingly, those up-regulated DEGs such as *Cxcr6*, *Cxcl3*, *Tlr3*, *Thbs1*, *Col11a1*, *Angptl1*, *Mmp12*, *Itga11*, *Bmper*, *Col8a1*, and *Piezo2*, and those down-regulated DEGs like *Alb*, *Knag2*, *Itga2b*, *Cox5b*, *Cox6a1*, and *Fgg* are promising targets for further investigation (Figure 4B).

Validation of DEGs Revealed by RNA-Seq

To validate our findings of those transcriptional changed DEGs, we then analyzed the protein expression level using multiple approaches. First, we determined the cell proliferation and immune response *in situ* by staining with PCNA and TGF- β 1.

In line with the previous finding, we observed a significantly increased expression of PCNA and TGF- β 1 in adventitia in the TAC model (Supplementary Figure 6). Intriguingly, α -smooth muscle actin (α -SMA), a surface marker of contractile smooth muscle cells, was significantly decreased in TAC model (Figures 5A,B); in contrast, Collagen I (COL-I) (Figures 5A,C), a *Angptl1* (Figures 5A,D) TGF- β 1 (Figures 5A,E) and PCNA (Figures 5F,G) were highly expressed in the ascending aorta where remodeling occurred in TAC model as indicated by immuno-blot analysis. Immunohistochemistry analysis with ascending aorta tissues also showed that down-regulation of α -SMA (Figure 5H), but up-regulation of COL-1 (Figure 5I), TGF- β 1 (Figure 5L), *Angptl1* (Figure 5J) and PCNA (Figure 5K) in TAC model as compared to sham surgery treated mice.



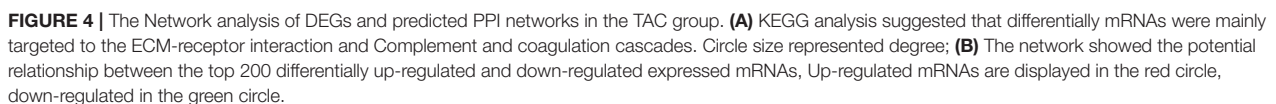
These results collectively support previous RNA-seq data, which indicates that the immune response and ECM-receptor interaction played a crucial role during high blood pressure induced aortic remodeling.

DISCUSSION

It is widely accepted that the main pathological outcome of hypertension is vascular remodeling. In principle, when hemodynamic changes occur, the shear force decreases, leading to the activation of vascular endothelial cell signaling transduction. This then induces a cascade of downstream responses, such as medial smooth muscle cells and adventitial fibroblasts morphological transformation, infiltration of early inflammatory cells by adventitial cells (31–33). During this process, smooth muscle cells are switched from contractile types to synthetic types, which is the iconic event of vascular remodeling (34–36). However, the underlying molecular mechanism of how hypertension induces vascular remodeling

and ultimately organ damage remains unclear. Therefore, the early intervention of this process, by targeting those key regulators or pathways, serves as a promising strategy to delay or prevent hypertension associated with pathological consequences.

Recent studies have shown that the TAC model is an efficient system to recapitulate the infiltration of adventitial macrophages and conversion of adventitial fibroblasts to myofibroblasts, with significant vascular remodeling (37, 38). In response to vascular pressure or injury, vascular adventitia fibroblasts are usually the first sensor to sense hemodynamic changes (39), but how the fibroblasts that are located in the outer membrane transform into myofibroblasts is not fully understood. It is reported that adventitia fibroblasts undergo phenotypic transformation upon sensing vascular injury or blood flow stress and participate in vascular remodeling (38). Activated myofibroblasts not only synthesize large amounts of ECM but also affect the phenotypic transformation, proliferation, apoptosis, and migration of VSMC. When treated with Ang-II, VSMCs proliferate and migrate, and the expression of



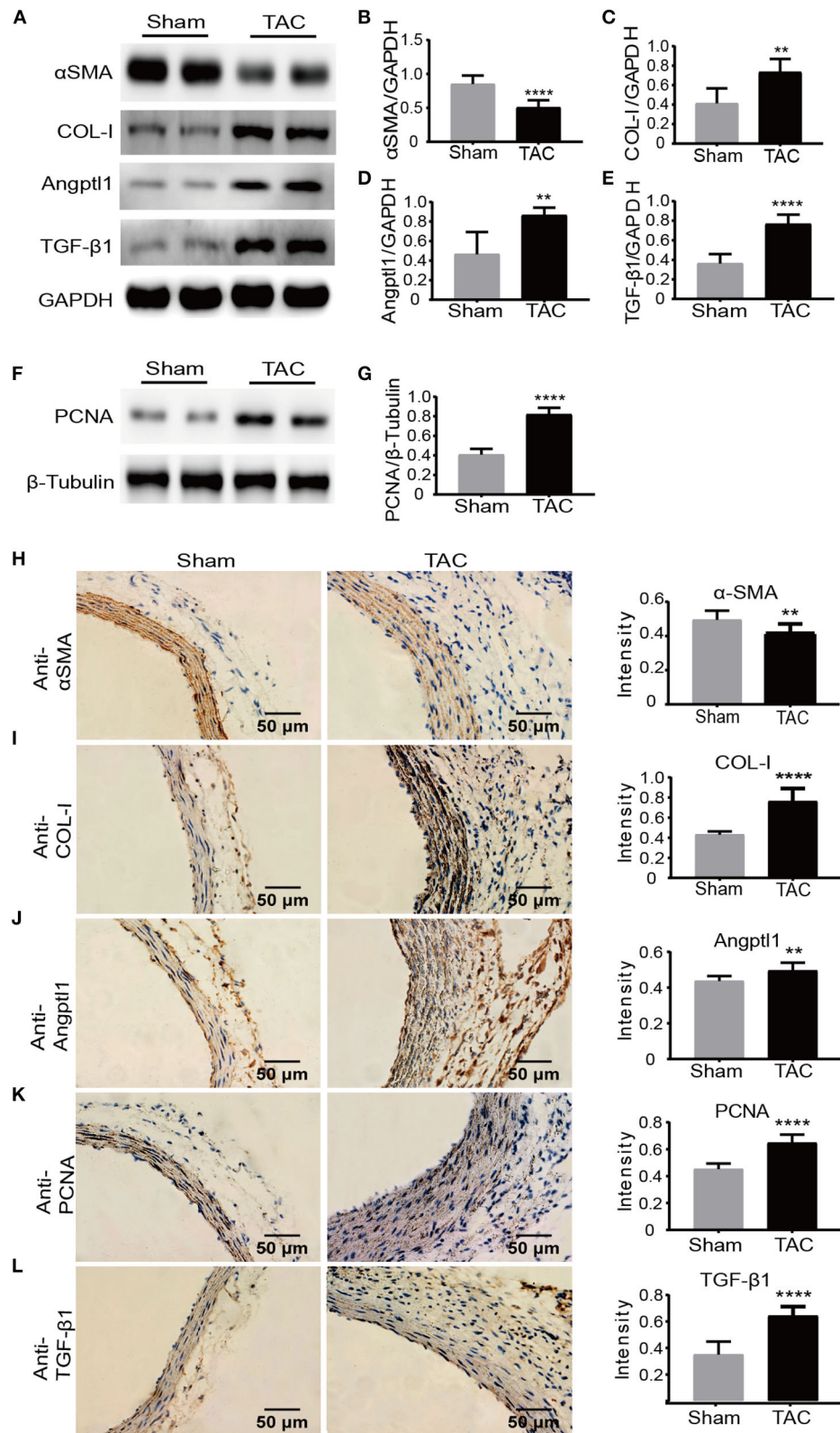


FIGURE 5 | Protein level validation of DEGs revealed by RNA-seq. **(A–G)** Western blot analysis of α -SMA, COL-1, Angptl1, TGF- β 1, and PCNA in the TAC and sham groups. GAPDH and β -Tubulin were used as endogenous loading controls. Lysates were prepared from ascending aortas of sham-operated or TAC mice, $n = 5$ per group. The experiments were repeated 3 times independently; **(H–L)** Immunohistochemistry and quantification analysis of α -SMA, COL-1, Angptl1, TGF- β 1, and PCNA in ascending aortas derived from TAC or sham controlled mice. $n = 6$ per group. Original magnification: $\times 400$. Scale bars: 50 μ m. ** $P < 0.01$, **** $P < 0.0001$.

α -SMA, a marker for the contractile phenotype of VSMC and myofibroblasts, was decreased (40). A previous study showed that in the TAC model, the expression of α -SMA in the adventitia was increased, but no significant difference was detected in the media 2 weeks after TAC surgery (13). Paradoxically, in our study, the RNA-seq data, protein level analysis as well as *in situ* immunohistochemistry indicated that α -SMA were down-regulated in the ascending aorta in the TAC group as compared to the sham group. It is speculated that the phenotypic transformation of media smooth muscle cells and adventitia fibroblasts might contribute to the different expression patterns of α -SMA in the media and adventitia of remodeling arteries.

In our study, we successfully established a TAC model with morphological and immunohistological validation of vascular tissues, and these tissues were directly subjected to RNA sequencing analysis. Our results showed that upon vascular remodeling, the genes related to extracellular matrix transformation and smooth muscle cell contraction were significantly changed, not surprisingly, inflammation-related genes were also significantly enriched in our analysis, which was consistent with the classical theory that inflammation played an essential role in hypertension-induced vascular remodeling (10) and suggested that anti-inflammation might be a useful strategy to inhibit hypertension associated pathological consequences. Nevertheless, it would be more convincing to use other hypertension or vascular remodeling models to investigate whether our findings were representative. Ultimately, clinical studies with early stage hypertension patients are required to validate these findings.

Among those differentially expressed genes, we observed that many of them were involved in stress/stimulus/immune pathways. For instance, a classical immune response regulator, TGF- β 1 was significantly up-regulated at both transcriptional and protein levels. High TGF- β 1 expression usually indicated an immune-suppressive micro-environment, which suggested that the tissues of vascular remodeling might lack proper immune surveillance, e.g., increased infiltration of macrophages or regulatory T cells, suggesting that targeting the immune system using small molecular chemicals or mono-antibody might also be useful to treat hypertension-related complications at an early stage. Whether this method could ameliorate vascular remodeling in the TAC model requires further investigation.

The main shortcomings of our study were the age factor and the inadequate time frame. Hypertension is rarely observed in the young mice we used for the current study and it is also known that mice have an age-related response to cardiac pathologies (41); furthermore, the time frame to perform the TAC study might have been too short to reflect the chronic changes that will occur within the remodeled vessels, thus, a time-dependent study might be necessary to properly mimic clinical phenotype progression. On the other hand, most of the current available classical animal models for the study of hypertension were mainly conducted in aged mice over a longer time-frame. Therefore, it is unfeasible to investigate early pathological events and evaluate whether early interventions would delay or prevent hypertension development. Importantly, the TAC model used for the current study faithfully mimics those early hypertensive pathological changes, e.g., increased blood pressure and aortic remodeling

as early as 2 weeks after the ligation surgery. Moreover, a big advantage of this model was that it could be used to quickly estimate if clinically available drugs/drug candidates/herbs/small interfering RNAs could ameliorate such pathological changes, providing a rapid and efficient platform to screen potential therapeutic strategies which can be further validated with other classical hypertension models or clinical trials.

Taken together, we identified a different expressed mRNAs profile, which was associated with the progression of aortic remodeling in the TAC model. These differentially expressed genes might function and interact mutually as a network to mediate hypertension-induced vascular remodeling. Our research suggests that manipulating inflammation and targeting the immune system are potential therapeutic strategies to prevent aortic remodeling induced by blood pressure overload.

DATA AVAILABILITY STATEMENT

All sequencing raw data were submitted and deposited at Sequence Read Archive (NCBI SRA database) with the submission ID PRJNA659049.

ETHICS STATEMENT

The animal study was reviewed and approved by Institutional Animal Care and Use Committee of Southern Medical University.

AUTHOR CONTRIBUTIONS

LZ and JX contributed to the experimental design and manuscript draft. XZ and TF contributed to the experimental design and implementation. X-XZ, BS, QZ, and YC contributed to the data analysis and manuscript draft. HL, FZ, TW, DC, and XL contributed to the experimental implementation and data analysis. All authors reviewed and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcvm.2020.581362/full#supplementary-material>

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Role of NO and S-nitrosylation in the Expression of Endothelial Adhesion Proteins That Regulate Leukocyte and Tumor Cell Adhesion

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Leukocyte recruitment is one of the most important cellular responses to tissue damage. Leukocyte extravasation is exquisitely regulated by mechanisms of selective leukocyte-endothelium recognition through adhesion proteins in the endothelial cell surface that recognize specific integrins in the activated leukocytes. A similar mechanism is used by tumor cells during metastasis to extravasate and form a secondary tumor. Nitric oxide (NO) has been classically described as an anti-inflammatory molecule that inhibits leukocyte adhesion. However, the evidence available shows also a positive role of NO in leukocyte adhesion. These apparent discrepancies might be explained by the different NO concentrations reached during the inflammatory response, which are highly modulated by the expression of different nitric oxide synthases, along the inflammatory response and by changes in their subcellular locations.

Keywords: nitric oxide, S-nitrosylation, leukocyte adhesion, tumor cell adhesion, inflammation

LEUKOCYTE ADHESION AND NITRIC OXIDE (NO)

Inflammation involves the interplay of multiple biologic components, among which endothelial cells are key players. Endothelial cells orchestrate leukocyte transmigration to injured tissues by up-regulating adhesion proteins on their surface to bind integrins in leukocytes (Ley et al., 2007; Fan and Ley, 2015; Kreuger and Phillipson, 2016). Initial contact and rolling steps are initiated by endothelial cell leukocyte adhesion molecule-1 (ELAM-1, E-selectin) and P-selectin, which are expressed in the endothelium and bind to L-selectin, PSGL-1, CD44, CD43, and ESL-1 in the leukocyte. During the rolling phase, the interactions between leukocytes and endothelial selectins reduce leukocyte velocity and facilitate their adhesion to endothelium. Selectin proteins have a high degree of association/dissociation with their leukocyte ligands, which allows contact between the endothelial cell and leukocytes and provides enough time and proximity for other adhesion molecules to establish strong bonds between both cells (Sperandio, 2006; Garrido-Urbani et al., 2008; Tvaroška et al., 2020). Firm leukocyte adhesion to endothelial cells is mediated by vascular cell adhesion molecule 1 (VCAM-1) and intercellular adhesion molecule 1 (ICAM-1) that bind to leukocytes integrin's VLA-4, LFA-1, and Mac-1 (Greenwood et al., 2003). Once the leukocytes are attached to the endothelium, they flatten by contacting the endothelium at varying distances,

probably to reduce their exposure to blood flow forces and collisions with circulating blood cells, and subsequently they initiate their trans-endothelial migration (Ley et al., 2007; Leick et al., 2014).

Nitric oxide (NO) is a physiological messenger that regulates many cellular functions, such as vasodilation, angiogenesis, vascular permeability, neurotransmission, cell migration, immune response, cell proliferation and apoptosis (Tuteja et al., 2004; Nagy et al., 2007; Koriyama and Furukawa, 2018; Ehrenfeld et al., 2019; López-Sánchez et al., 2019). NO is produced in the organism by three different nitric oxide synthases: endothelial (eNOS, mainly expressed in endothelium), inducible (iNOS, expressed primarily on the immune system and endothelial cells) and neuronal (nNOS, expressed in the nervous system) (Förstermann and Sessa, 2012). NO produced by these isoforms activates two main signaling pathways: (1) soluble guanylate cyclase – protein kinase G (GC1-PKG) and (2) S-nitrosylation, which is the modification induced by NO in free-thiol cysteines in proteins to form S-nitrosothiols. S-nitrosylation regulates interactions between proteins, phosphorylation and intracellular trafficking (Stamler et al., 1992; Huang et al., 2005; Marín et al., 2012; Guequén et al., 2016).

The first studies addressing the role of NO in leukocyte adhesion used different inhibitors of NO production like L-NG-monomethyl arginine (L-NMMA) or N omega-Nitro-L-arginine methyl ester (L-NAME) to observe the effect on the basal leukocyte adhesion (in the absence of inflammatory stimulation). These experiments showed an increased basal leukocyte adhesion *in vivo* in different animal preparations and endothelial cell cultures *in vitro* (Kubes et al., 1991; Arndt et al., 1993; Ma et al., 1993; Tsao et al., 1994). The opposite approach, to elevate the NO concentration by the use of NO donors, prevented leukocyte adhesion and infiltration depending on NO level (Johnson et al., 1990; Kubes et al., 1991; Kubes and Granger, 1992). Studies using intravital microscopy in knockout (KO) animals for eNOS and nNOS corroborated these results showing increased leukocyte adhesion relative to control animals in the mesentery (Lefer et al., 1999). These observations and those from other laboratories led to the well-established concept that, in healthy endothelium, there is a physiological constitutive level of NO produced by eNOS that confers anti-adhesive and anti-inflammatory properties to the endothelial cell membrane and plays a critical role in preventing leukocyte adhesion (Kubes et al., 1991; Tsao et al., 1994). On the other hand, when the endothelium is stimulated with pro-inflammatory agonist, the effects of NO have not been completely consistent. There is a vast body of evidence showing an inhibitory effect of NO on stimulated leukocyte adhesion (De Caterina et al., 1995; Liu et al., 1998; Baatz and Pleyer, 2001; Lelamali et al., 2001; Lo et al., 2001; Jiang et al., 2005; Shelton et al., 2008); while other reports demonstrate either no effect of inhibition of NO on leukocyte adhesion (Hickey et al., 2001; Shelton et al., 2008) or promotion – mediated by NO – of leukocyte adhesion in response to challenge with cytokines (Bessa et al., 2002; Jousen et al., 2002). These discrepancies may be due to several differences in experimental approaches including timing and duration of the application of agonist/antagonist. We review here how NO

regulates leukocyte adhesion by acting on different mechanisms that regulate surface expression of adhesion proteins on the endothelium such as: (a) through transcriptional regulation; (b) through non-transcriptional regulation, including traffic of vesicles to plasma membrane and clustering of adhesion proteins normally expressed in the endothelium. NO can also regulate integrin and protein expression and/or affinity on leukocytes (Kubes et al., 1991; Banick et al., 1997; Mitchell et al., 1998; Thom et al., 2013; Bhopale et al., 2015); however, given the enormous big of data on the topic, this review will focus only in the NO effects on the adhesion protein expression on the endothelium.

Transcriptional Regulation of Adhesion Proteins and NO

The effects of NO on leukocyte adhesion are related to transcriptional regulation of adhesion proteins expression on the endothelium (De Caterina et al., 1995; Khan et al., 1996; Liu et al., 1998; Lefer et al., 1999; Waldow et al., 2006; Buckanovich et al., 2008; Carreau et al., 2011; Stojak et al., 2018). Transcriptional regulation adhesion proteins, as well as numerous proinflammatory genes, is under the control of NF- κ B (Springer, 1990; Collins et al., 1995; Ledebur and Parks, 1995; Pierce et al., 1997; Qian and Fulton, 2012), a dimeric protein formed by any of the members of the Rel family (p50, p65 or RelA, p52, c-Rel, and RelB) (Thanos and Maniatis, 1995; Marshall and Stamler, 2001). The classic form of NF- κ B is the p50–p65 heterodimer that is kept inactive in the cytosol via interaction with the inhibitory protein I κ B α (Thanos and Maniatis, 1995; Marshall and Stamler, 2001; Hayden and Ghosh, 2008; Sha and Marshall, 2012). Activation of cytokine receptors promotes the phosphorylation, ubiquitination, and proteasomal degradation of I κ B α mediated by the IKK β subunit of the IKK complex, formed by IKK α , β , and γ , which results in translocation of the p50–p65 heterodimer to the nucleus to bind target DNA sites, and activate gene transcription (Marshall and Stamler, 2001; Hayden and Ghosh, 2008; Sha and Marshall, 2012; **Figure 1**). Activation of the NF- κ B pathway leads to *de novo* synthesis of high levels of messenger RNA for E-selectin, P-selectin, ICAM-1, and VCAM-1, which induces an increase in protein expression of these proteins in activated endothelial cells, and enhances the adhesion of leukocytes on the cell surface (Whelan et al., 1991; Iademaro et al., 1992; Van De Stolpe et al., 1994; Pan and McEver, 1995; Xia et al., 2001; Mussbacher et al., 2019).

Early investigations demonstrated that inhibition of NO production activated NF- κ B and protein adhesion expression, whereas NO donors had the opposite effect (De Caterina et al., 1995; Khan et al., 1995). These effects were not mediated by the GC1-PKG pathway and appeared to depend strictly on NO concentration, suggesting that S-nitrosylation might be the operating mechanism (De Caterina et al., 1995; Lee et al., 2002; Waldow et al., 2006). Later, it was demonstrated that there is a basal S-nitrosylation of IKK β that prevents I κ B α phosphorylation and degradation, keeping NF- κ B inactive (**Figure 2A**; Reynaert et al., 2004). Basal S-nitrosylation of p65 has also been reported in respiratory epithelium (A549 cells) and lung tissue (Kelleher et al., 2007, 2011). Inhibition of NOS activity

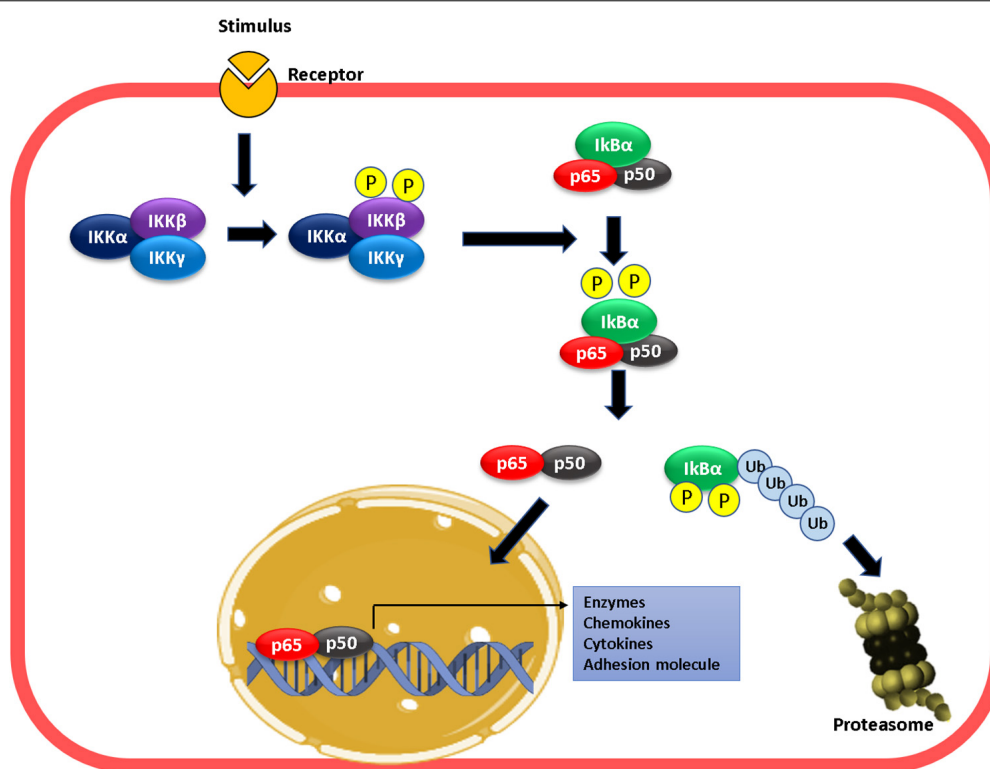


FIGURE 1 | Mechanism of NF- κ B activation. Proinflammatory stimulation leads to the phosphorylation of IKK β leading to phosphorylation of I κ B α in NF- κ B. This phosphorylation result in the ubiquitination and degradation of I κ B- α in the proteasome. At this moment, the dimer p50–p65 enters into the nucleus, binds to the targets gene promoter (κ B sites), and activates transcription.

reduced nitrosylation of IKK β , leading to NF- κ B activation in Jurkat T cells (Reynaert et al., 2004). Even though the role of basal S-nitrosylation has not been investigated, studies using cytokine-stimulated cells indicate that S-nitrosylation of p65 blocks the binding of NF- κ B to DNA (Kelleher et al., 2007, 2011). Therefore, it is possible that basal S-nitrosylation of p65 contributes to keeping NF- κ B inactivated. We postulate that the dynamics of S-nitrosylation cellular levels may be key to activation/inactivation of NF- κ B.

After short inflammatory stimulation (10 min–2 h) with TNF- α or LPS, the S-nitrosylation levels of IKK β and p65 decrease and NF- κ B is activated (Reynaert et al., 2004; Kelleher et al., 2011, 2014; **Figure 2B**). At long times of exposure (6h, LPS), p65 is S-nitrosylated again with the consequent NF- κ B inactivation (Kelleher et al., 2007, 2011). Studies using iNOS KO mice revealed that the high levels of NO-induced by this enzyme mediated this effect since genetic deletion of iNOS in mice blocks the recovery of S-nitrosylation of p65, and NF- κ B activation is maintained along with prolonged inflammation in a model of LPS-induced lung inflammation (Kelleher et al., 2011). In addition, in a colitis model in iNOS KO mice, inflammation and elevated MPO activities persisted at 2 weeks compared to control mice, which improved colitis and decreased MPO activity (Vallance et al., 2004). iNOS also mediates S-nitrosylation of p50, which contributes to inhibiting NF- κ B DNA binding (Matthews et al., 1996; DelaTorre et al., 1998; Marshall and Stamler, 2001). Even

though it has not been reported, the high levels of NO-induced by iNOS might also re-nitrosylate IKK β contributing to NF- κ B inactivation (**Figure 2C**).

Although the evidence described above strongly points to a physiological role for iNOS in the inactivation of NF- κ B, other report demonstrated that higher concentrations of NO – beyond those reached by iNOS expression – are required for NF- κ B inactivation by S-nitrosylation of IKK β and p65 (Qian and Fulton, 2012). It is important, however, to note that the report by Qian and Fulton used endothelial cells transfected with iNOS and not physiological iNOS induction by stimulation with pro-inflammatory cytokines.

In strong contrast to the results described above, other investigations demonstrated that inhibition of NO production did not increase adhesion protein expression induced by cytokines or leukocyte adhesion to endothelial monolayers (De Caterina et al., 1995). Furthermore, some reports have demonstrated that NO promotes protein adhesion expression. In diabetic rats, a high retinal leukocyte adhesion was observed, which correlated with a rise in NO and ICAM-1 levels. Pharmacological inhibition of NOS with L-NAME also reduces leukocyte adhesion (Joussen et al., 2002). In human vascular aortic smooth muscle cells (HASMCs), LPS treatment by 24 h increased ICAM-1 expression. Inhibition of NOS with L-NAME, prior to LPS administration, inhibited this effect, demonstrating that NO is required for enhancing ICAM-1 expression under

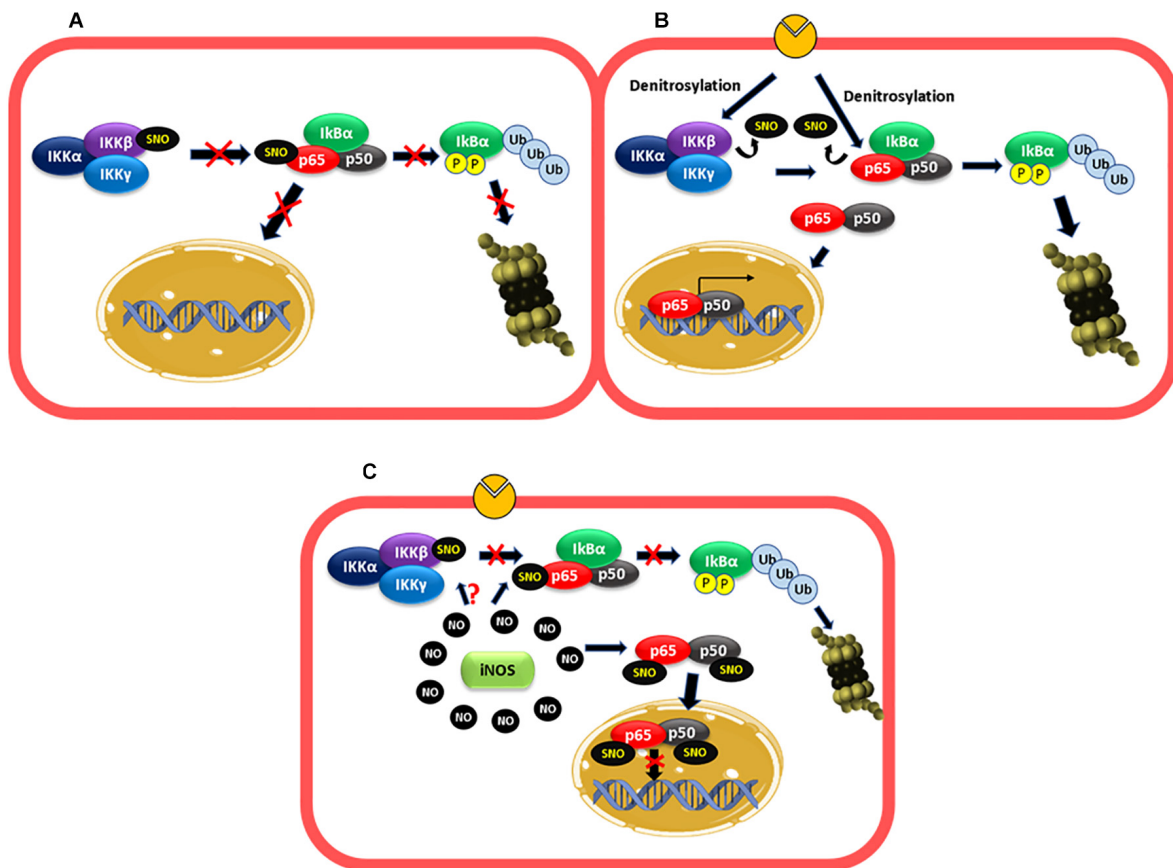
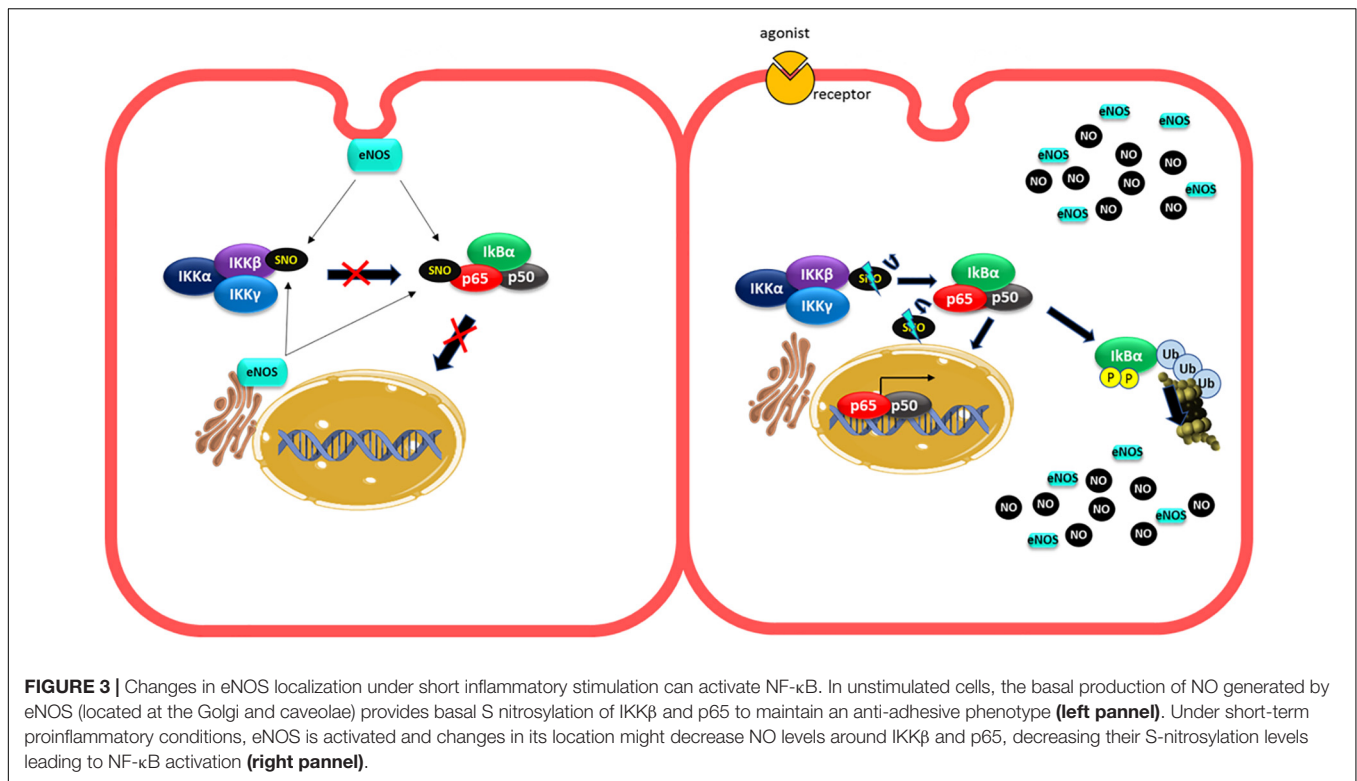


FIGURE 2 | NF- κ B is regulated by S-nitrosylation. **(A)** Basal conditions: basal S-nitrosylation of IKK β and p65 blocks I κ B α phosphorylation and degradation proteasomal and the entry of the dimer p50-p65 to the nucleus, keeping NF- κ B inactivated. **(B)** Short inflammatory stimulation: under stimulation, there is a decrease in the S-nitrosylation levels of IKK β and p65 favoring I κ B α phosphorylation and proteasomal degradation and the consequent entry of the dimer p50-p65 to the nucleus to increase adhesion protein transcription among others. **(C)** Long stimulation: at long periods of exposure to proinflammatory agents, high levels of NO-produced by iNOS induces S-nitrosylation of p65 and p50, which inhibit the binding of the dimer to the DNA. IKK β might also be S-nitrosylated contributing to inactivate NF- κ B.

this experimental conditions (Heo et al., 2008). In addition, the stimulation of rat microvascular endothelial cells with VEGF, that activates eNOS, increased ICAM-1 expression in 30 min, suggesting that eNOS regulates ICAM-1 transcription (Radisavljevic et al., 2000). The fact that eNOS is involved suggests that low NO concentrations but higher than basal might stimulate adhesion protein transcription. In fact, in HUVECs cells, NO donors increased ICAM-1, VCAM-1, and ELAM-1 expression. This effect was dependent on the NO donor concentrations used, with low concentrations (10 and 50 μ M) inducing adhesion protein expression, whereas higher levels (250 and 500 μ M) did not cause protein adhesion expression (Sektiglu et al., 2016). Microarray analysis of HUVEC treated with NO donors at low concentration or TNF- α demonstrated that 473 genes were upregulated, including VCAM-1 and E-selectin. The effect of NO donor on protein adhesion expression was dependent on NF- κ B activation, because the effect was blocked by the NF- κ B inhibitor BAY11-7082. These results coincide with earlier studies demonstrating that low NO concentration improved NF- κ B activity induced by

TNF- α , whereas higher concentrations inhibited NF- κ B activity (Umansky et al., 1998). These low NO doses (but still higher than basal), might be achieved by eNOS activation, as many pro-inflammatory agents induce rapid eNOS activation (Marín et al., 2012; Guequén et al., 2016). The precise mechanism by which exogenous administration of low concentrations of NO activate NF- κ B dependent protein adhesion expression in endothelial cells remains to be explored. A possible explanation could be given by the changes in eNOS localization under inflammatory stimulation (Figure 3). In non-stimulated cells, basal NO production generated by eNOS (located in the Golgi and caveolae) (Feron and Balligand, 2006; Zhang et al., 2006) maintains an anti-adhesive phenotype through basal S-nitrosylation of IKK β and p65 (Matsushita et al., 2003; Feron and Balligand, 2006; Zhang et al., 2006). Under short-time stimulated conditions, eNOS is activated and change its localization (Sánchez et al., 2006, 2011; Marín et al., 2012), which might reduce S-nitrosylation of IKK β and p65 activating NF- κ B. Additionally, eNOS-induced NO might activate protein adhesion expression by mechanisms still unknown. At extended times of



inflammatory stimulation, the high levels of NO induced by iNOS activation will inhibit NF-κB by enhancing S-nitrosylation of IKKβ, p65 and additional S-nitrosylation of p50. Thus, low concentrations of NO achieved by eNOS activation and short exposure times to agonists increase adhesion protein expression, whereas higher NO concentrations achieved by iNOS stimulation and longer times of stimulation will inhibit protein adhesion expression (Umansky et al., 1998; Sektioglu et al., 2016).

Non-transcriptional Regulation of Adhesion Proteins and NO

Many pro-inflammatory agents induce a fast leukocyte adhesion observed within minutes of exposure to some pro-inflammatory agents (Dillon et al., 1988; Sugama et al., 1992; Javaid et al., 2003) that cannot be explained by transcriptional regulation. This fast adhesion may be explained by mechanisms such as vesicle traffic and clustering (Javaid et al., 2003; Ley et al., 2007; Lowenstein, 2007; Barreiro et al., 2008; Setiadi and McEver, 2008; Liu et al., 2011).

Vesicle Traffic

Adhesion proteins are stored in vesicles in endothelial cells that are transported to the plasma membrane after inflammatory stimulation. ELAM-1, for instance, is stored in intracellular secretory granules of endothelial cells and is rapidly expressed on the cell surface following degranulation, within minutes of exposure to activating agents such as thrombin, histamine, or phorbol esters (Geng et al., 1990; Larsen et al., 1992). The same is true for P-selectin, which is usually stored in

Weibel-Palade bodies (WPB) (Weibel and Palade, 1964; Metcalf et al., 2008). In the case of VCAM-1, evidence indicates that after TNF-α treatment of endothelial cells, vesicular transport from an intracellular pool to the cell surface is increased (MacKesy and Goalstone, 2011).

Clustering

In basal conditions without inflammatory stimulation, low levels of adhesion proteins are present in an inactive state in the endothelial plasma membrane (Barreiro et al., 2002, 2008; Javaid et al., 2003). The lateral association of adhesion proteins, a process known as clustering, also regulates their adhesive capacity (Javaid et al., 2003; Barreiro et al., 2008; Setiadi and McEver, 2008; Liu et al., 2011). Through clustering, cell surface expression of adhesion proteins does not change; instead, they are regrouped and interact with cytoskeletal proteins to increase their avidity for leukocyte integrins (Javaid et al., 2003; Liu et al., 2011). This affinity change process occurs at short times of stimulation (minutes) and allows a fast response under inflammatory stimulation. In the case of ELAM-1, clustering is promoted by association to lipid rafts and caveolae at the plasma membrane (Tilghman and Hoover, 2002a,b; Setiadi and McEver, 2008). P-selectin clustering is mediated by interactions of the cytoplasmic domain of P-selectin with clathrin-coated pits (Setiadi et al., 1998). Clustering of ICAM-1 and VCAM-1 is promoted by association with tetraspanins, which are small transmembrane proteins able to associate laterally with different proteins at the plasma membrane (Barreiro et al., 2008). ICAM-1 clustering is also regulated by protein kinase C zeta (PKCz), that under stimulation with TNF-α, is activated and translocated to

the plasma membrane to phosphorylate ICAM-1 either directly or through Src activation (Javaid et al., 2003; Liu et al., 2011).

NO Role in Vesicle Transport and Clustering

Many reports have demonstrated a NO role in anterograde and retrograde transport (Iwakiri et al., 2006; Lowenstein, 2007; Lee et al., 2009; Marín et al., 2012). N-ethylmaleimide sensible factor (NSF) is an ATPase that modulates vesicular transport (Söllner et al., 1993; Morgan and Burgoyne, 2004) and studies *in vitro* and *in vivo* have shown that there is a basal NSF-S-nitrosylation mediated by eNOS that inhibits the translocation of WPB containing P-selectin to the plasma membrane contributing to the anti-adhesive properties of basal NO production in the endothelium (Matsushita et al., 2003; Lowenstein, 2007). Basal eNOS activity also contributes to the anti-adhesive properties of the endothelium by inhibiting ICAM-1 clustering as it

has been demonstrated that eNOS inhibition led to ICAM-1 clustering mediated by Src phosphorylation in endothelial cells *in vitro* and *in vivo* (Xu et al., 2013; Gao et al., 2018). These observations can account for the fast leukocyte adhesion observed when basal NO production is inhibited in the absence of inflammatory stimulation (Kubes et al., 1991; Ma et al., 1993; Gao et al., 2018) but do not explain the fast leukocyte adhesion observed under inflammatory stimulation (Dillon et al., 1988; Sugama et al., 1992; Javaid et al., 2003). Changes in eNOS localization might again explain this effect. In non-stimulated cells, basal NO production generated by eNOS located to the Golgi and caveolae (Feron and Balligand, 2006; Zhang et al., 2006) will maintain an anti-adhesive phenotype in part by basal S-nitrosylation of NSF and also by inhibiting ICAM-1 clustering (Feron and Balligand, 2006; Zhang et al., 2006; Lowenstein, 2007; Mukhopadhyay et al., 2008; Gao et al., 2018; **Figure 4A**). In

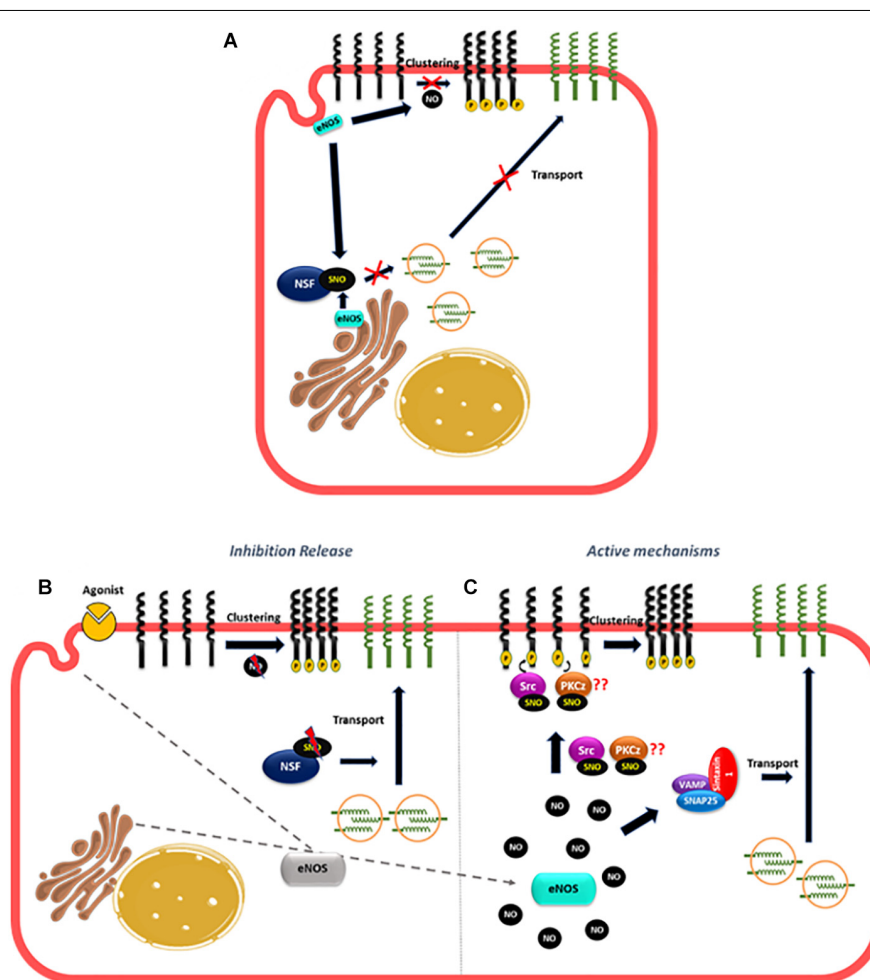


FIGURE 4 | Proposed mechanism of NO role in the fast leukocyte adhesion. **(A)** In unstimulated cells, the basal NO production generated by eNOS located to the Golgi and caveolae maintain an anti-adhesive state in the endothelium by basal NSF-S-nitrosylation that inhibits the translocation of vesicles containing adhesion molecules to the plasma membrane and by blocking the clustering of adhesion molecules like ICAM-1. **(B)** After short inflammatory stimulation, eNOS redistribution, might put NO away from NSF, diminishing NSF-S-nitrosylation to stimulate the transport of vesicles to the plasma membrane. At the same time, the change in eNOS localization might also lower NO levels close to ICAM-1 to promote ICAM-1 clustering. **(C)** At the same time, the movement of eNOS to different subcellular locations will increase NO levels at those locations, which may activate the SNAREs complexes (VAMP, SNAP25, and syntaxin) stimulating traffic of vesicles; NO can also induce S-nitrosylation of Src and PKCz to promote ICAM-1 phosphorylation and clustering.

fact, only wild type eNOS (located at Golgi and caveolae) induce NSF-S-nitrosylation and inhibit vesicular transport but not eNOS located at the nucleus (Iwakiri et al., 2006). Furthermore the treatment of endothelial cells with monocrotaline pyrrole (MCPT), a drug that blocks the association of NSF with eNOS at Golgi and prevents eNOS localization in caveolae inhibits NSF-S-nitrosylation (Mukhopadhyay et al., 2008; Lee et al., 2009). After short inflammatory stimulation, eNOS redistribution from caveolae and Golgi to cytosol (Sánchez et al., 2006, 2011; Marín et al., 2012), might reduce S-nitrosylation of NSF, to stimulate the release of WPB bodies (**Figure 4B**). At the same time, the change in eNOS localization might also diminish NO levels close to ICAM-1 in the plasma membrane to stimulate ICAM-1 clustering. On the other hand, the movement of eNOS to different subcellular locations will increase NO levels at those locations, which may actively stimulate traffic of vesicles, stimulating for instance the formation of complexes that promote transport to the cell surface such as VAMP/SNAP-25/syntaxin-1a and/or VAMP-3/syntaxin-2 that have been demonstrated to be stimulated by NO and promote synaptic vesicle exocytosis and release of secretory granules in neurons and platelets, respectively (Meffert et al., 1996; Randriamboavonjy et al., 2004; **Figure 4C**). Interestingly, NSF-S-nitrosylation has also been reported to stimulate protein transport to the cell surface of AMPA receptors and VSVG protein in neurons and epithelial cells, respectively (Huang et al., 2005; Iwakiri et al., 2006) unlike its inhibitory role in endothelial cells. Considering that NSF has 8 cysteines that can be S-nitrosylated (Matsushita et al., 2003), it is possible that different degrees of S-nitrosylation may also play a role in transport to the plasma membrane. Basal S-nitrosylation of NSF will inhibit transport, whereas decrease or elevation in S-nitrosylation might stimulate traffic. Besides traffic, an elevation in NO, might also stimulate ICAM-1 clustering since Src that promote ICAM-1 phosphorylation is activated by S-nitrosylation in another cellular context (Rahman et al., 2010). PKC ζ , that also participate in ICAM-1 clustering (Javaid et al., 2003; Matsushita et al., 2003; Liu et al., 2011) might be also activated by NO and S-nitrosylation since N-ethylmaleimide that blocks the thiol groups decreases the activity of PKC ζ (Kikkawa et al., 1987) and a PKC ζ synthetic peptide containing the activation site is S-nitrosylated *in vitro* (Balendran et al., 2000; Wang et al., 2008).

Thus, the combined effects of releasing the inhibition induced by basal NO on vesicular transport and clustering, plus the active stimulation by NO of pathways that increase vesicular transport and clustering, will induce leukocyte adhesion at short times of simulation.

NO AND TUMOR CELL ADHESION

There is considerable evidence demonstrating a dual role of NO and S-nitrosylation in cancer risk and metastasis (Burke et al., 2017; Rizza and Filomeni, 2018; Ehrenfeld et al., 2019; Hays and Bonavida, 2019; Holotiu et al., 2019; Somasundaram et al., 2019). The available evidence demonstrates a positive correlation between NO biosynthesis, tumor development and

degree of malignancy in a variety of cancers (breast, pancreatic, liver, cervical, ovary, melanoma, nasopharyngeal, stomach, colon, lung, oral, esophagus, glioma, and prostate cancer) (Monteiro et al., 2015; Vanini et al., 2015; Burke et al., 2017; Thomas and Wink, 2017; Somasundaram et al., 2019). On the other hand, in animal studies, inhibition or genetic deletion of eNOS or iNOS can inhibit, have no effect, or even increase primary tumor growth and metastasis depending on the type of cancer (Wang et al., 2001, 2003; Gratton et al., 2003; Heinecke et al., 2014; Granados-Principal et al., 2015; McCrudden et al., 2017; Kij et al., 2018; Romagny et al., 2018; Flaherty et al., 2019). These discrepancies probably reflect the fact that NO effects strongly depend on its concentration, duration of exposure, location and activity of NOS isoforms, tumor type, its microenvironment and sensitivity to NO (Ridnour et al., 2006; López-Sánchez et al., 2019; Somasundaram et al., 2019). In the tumoral microenvironment, tumor cells express iNOS, eNOS, and nNOS, depending on tumor type and stage, endothelial cells express eNOS and iNOS whereas tumor-associated stromal fibroblasts and immune cells express iNOS (Fukumura et al., 2006; Monteiro et al., 2015; López-Sánchez et al., 2019). Therefore, the results can be conflicting depending on the experimental set-up and the cell type being investigated.

Despite remarkable developments in cancer therapeutics, metastasis is still closely associated with high mortality rates in cancer patients. Metastasis occurs when tumor cells separate from the primary tumor, enter the bloodstream (or lymph) and travel to remote organs to form a secondary tumor. One of the final events in metastasis is the extravasation of cancer cells across the endothelial barrier (Talmadge, 2010; Sökland and Schumacher, 2019). Cytokines and other factors produced by the primary tumor, circulating tumor cells and cells in the metastatic microenvironment promote binding between tumor cells and endothelial cells (Wolf et al., 2012; Reymond et al., 2013; Strilic and Offermanns, 2017). Initial insights into tumor cell extravasation were derived from studies of leukocyte extravasation, resulting in the widely accepted concept that cancer cell and leukocyte extravasation – although different – share many similarities (Strell and Entschladen, 2008; McDowell and Quail, 2019; Sökland and Schumacher, 2019). Increased cell surface expression of adhesion proteins ELAM-1, ICAM-1, VCAM-1, and P-selectin in the endothelium mediate direct adhesion to CD44, mucin-1 and CD24 in breast tumor cells (Aigner et al., 1998; Rahn et al., 2005; Geng et al., 2012; Shirure et al., 2015). Alternatively, tumor cells can bind to macrophages, neutrophils or platelets in the circulation and these cells mediate the adhesion of tumor cells to the endothelium acting as a bridge among tumor and endothelial cells (Evani et al., 2013; Reymond et al., 2013).

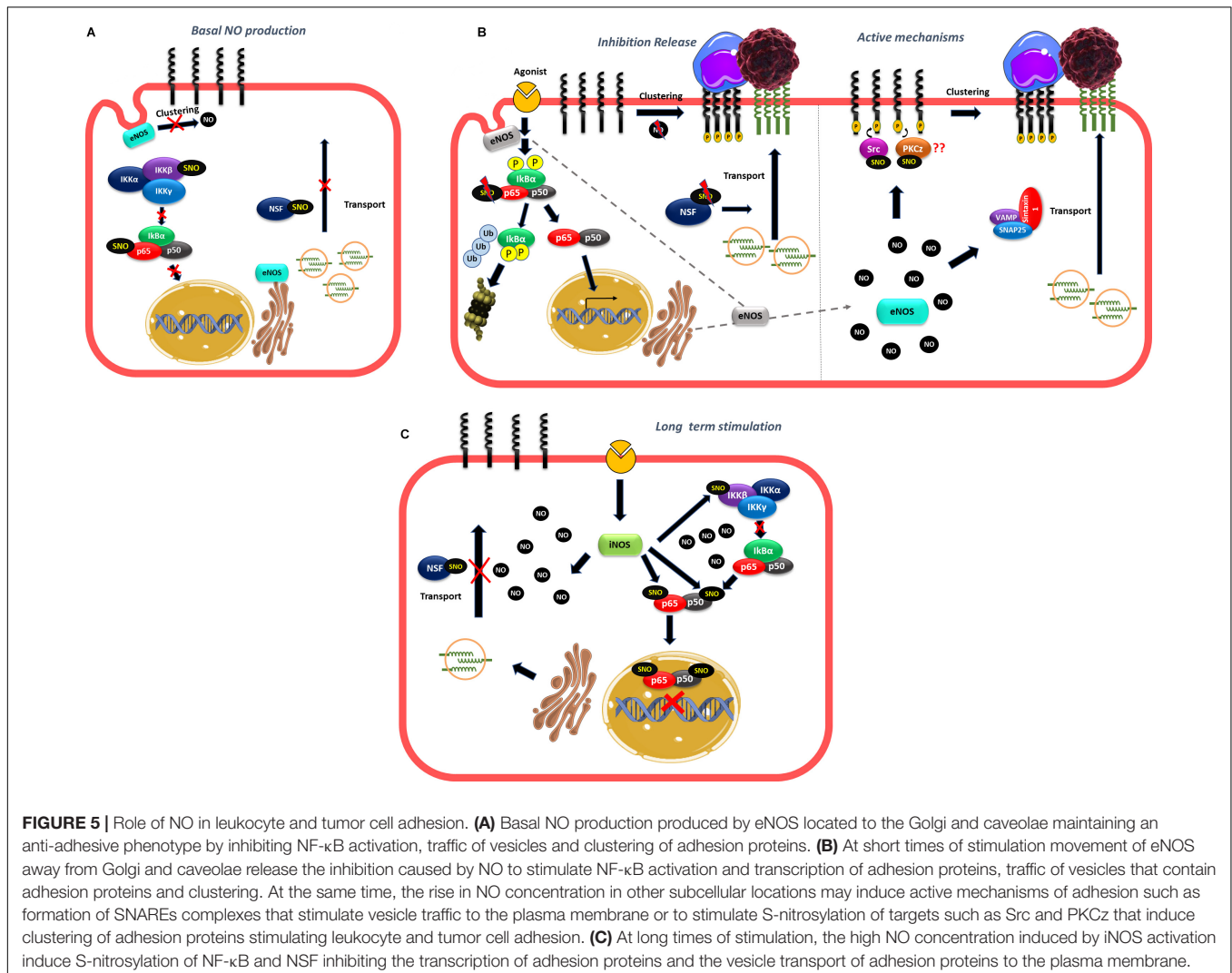
The evidence strongly suggests a stimulatory role of NO in tumor cell adhesion: NOS inhibitors blocked small cell lung carcinoma adhesion to the endothelium treated with pro-inflammatory cytokines (Vidal et al., 1992). NO enhanced fibrosarcoma cell adhesion and invasion through HUVEC monolayers, increasing ICAM-1 and ELAM-1 expression (Yudoh et al., 1997). *In vivo*, NO caused squamous carcinoma cell binding to the hepatic microcirculation (Scher, 2007). Breast circulating

tumor cells MDA-MB-231 adhered to endothelial sites with high NO concentration (Zhang et al., 2016). *Rhus coriaria*, a medicinal plant that in part inhibits NO pathway decreases the adhesion and transmigration of MDA-MB-231 cells to endothelial cells activated with TNF- α (El Hasasna et al., 2016). The mechanisms that increase tumor cell adhesion to endothelium have not been studied in detail but are probably the same already described for leukocyte adhesion involving NF- κ B transcriptional regulation, traffic and clustering of adhesion proteins. Additionally, another transcription factor, HIF-1 α expressed in endothelial cells, is involved in extravasation of breast cancer cells through the expression of L1CAM adhesion protein that binds to tumor cells, and HIF-1 α has been demonstrated to be activated by S-nitrosylation in others cellular contexts (Li et al., 2007; Zhang et al., 2012; Ehrenfeld et al., 2019).

As in leukocyte adhesion to endothelium, NO also shows a negative role in tumor cell adhesion: exogenously applied and endogenously generated NO inhibit melanoma cells adhesion to endothelium activated with lipopolysaccharide (Kong et al., 1996). The NO donor, CAP-NO, inhibited the

basal and cytokine-stimulated adhesion of human colorectal cancer cells HT-29 to endothelial cells by inhibiting the expression of adhesion proteins ELAM-1, ICAM-1, and particularly VCAM-1 (Lu et al., 2014). NO donors inhibits the adhesion of MDA-MB231 cells to HUVEC stimulated by IL-1 β and the transmigration of breast cancer cells across the lung microvascular endothelium (Kang et al., 2018; Stojak et al., 2018). This effect was mediated by a decrease in ICAM-1 expression. Similar to leukocyte adhesion, the differential effect of NO on tumor cell adhesion might depend on NO concentrations with low concentrations of NO increasing adhesion protein expression and adhesion of tumor cells, whereas higher NO concentrations, inhibiting protein adhesion expression and tumor cell adhesion (Sektiglu et al., 2016).

The effects of NO on adhesion protein expression not only affect tumor cell adhesion at the metastatic site but also infiltration of immune cells in the primary tumor, where it has been demonstrated that NO, produced by iNOS in M1 macrophages, mediates VCAM-1 expression in the endothelium



leading to an improved infiltration of T-lymphocytes specific for the tumor, contributing to decrease tumor growth in a mouse melanoma model (Sektiglu et al., 2016).

After binding to tumor cells, adhesion proteins through their cytosolic tails interact with cytoskeletal proteins inducing changes in the shape of endothelial cells leading to destabilization of the endothelial barrier that facilitates tumor cell transmigration (Müller et al., 2001; Tichet et al., 2015). We have demonstrated that treatment of endothelial cells with conditioned medium from breast cancer cells and cytokines that are elevated in breast cancer patients induces S-nitrosylation of endothelial barrier proteins (p120, VE-cadherin and β -catenin) promoting phosphorylation and perturbation of the interactions among these proteins that leads to their internalization, which destabilizes the endothelial barrier (Marín et al., 2012; Guequén et al., 2016; Zamorano et al., 2019). Thus, better knowledge and understanding of NO biology in cancer is of paramount importance because it regulates not only expression of adhesion proteins that promote metastasis, but also controls the endothelial barrier to promote transmigration of tumor cells and metastasis.

CONCLUSION

The role of NO in leukocyte adhesion to endothelium has been controversial. Whether NO enhances or inhibits leukocyte adhesion depends on local NO concentration. It is reasonable to state that, in non-stimulated cells, NO concentration maintains an anti-adhesive phenotype at the endothelial cell membrane – inasmuch as inhibition of NOS causes increased leukocyte adhesion. This NO produced by eNOS prevents transport of vesicles to the cell surface, clustering of adhesion

proteins and transcription of adhesion proteins by keeping NF- κ B in an inactive state. However, an increase in eNOS-derived NO concentration to levels slightly above control is compatible with leukocyte adhesion through increasing cell surface transport, clustering of adhesion proteins and transcription of adhesion proteins via NF- κ B activation. In addition, high NO concentration – most likely achieved through stimulation of iNOS – inhibits leukocyte adhesion due to S-nitrosylation of p50 and p65 in NF- κ B and presumably IKK β , which blocks entry of NF- κ B to the nucleus leading to inhibition of adhesion protein synthesis. Similar to leukocyte-endothelium adhesion, NO also regulates tumor cell adhesion to endothelium probably through the stimulation of the same mechanisms described above as well as opening of the endothelial barrier, all of which enhance tumor cell extravasation and metastasis (Figure 5).

AUTHOR CONTRIBUTIONS

GA and TK collaborated in review literature and figures confection. PE collaborated in writing the manuscript and review literature. FS reviewed literature, wrote the manuscript, and reviewed figures. All authors contributed to the article and approved the submitted version.

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Oxidized Low-Density Lipoprotein Induces WNT5A Signaling Activation in THP-1 Derived Macrophages and a Human Aortic Vascular Smooth Muscle Cell Line

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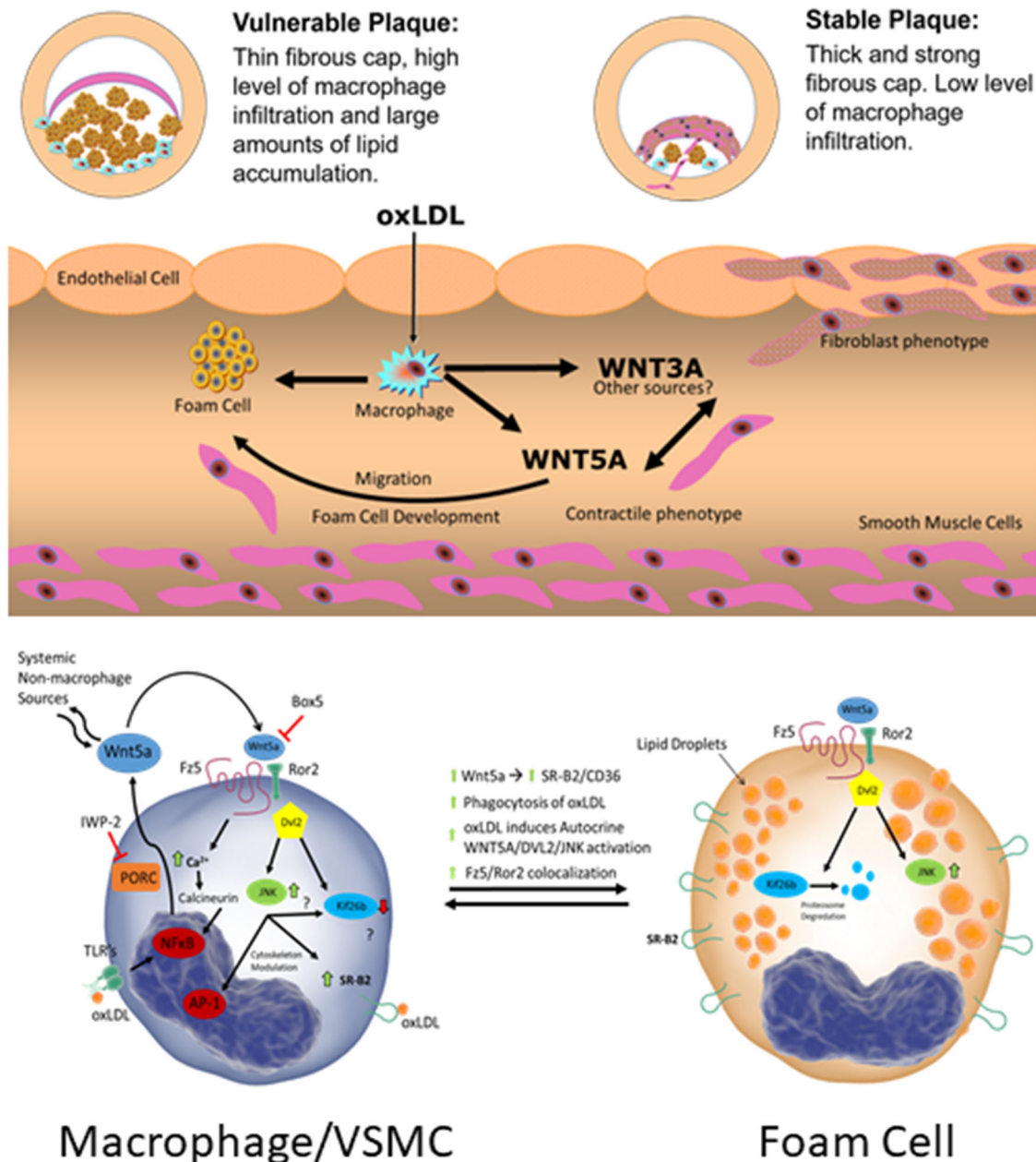
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The pathogenesis of atherosclerosis is complex, evolves, and involves many cell types. Macrophages and vascular smooth muscle cells (VSMCs) are critically involved in atherosclerosis development and progression. Several studies have shown that WNT5A protein is abundantly expressed in human atherosclerotic lesions; however, the mechanism and role of WNT signaling pathway activation is not clearly known. Using THP-1 derived macrophages, and human aortic VSMC cells, we evaluated *in vitro* how oxidized low-density lipoprotein (oxLDL) and WNT5A signaling interact in these two cell lines. We used western blot, scratch assay, metabolic proliferation assay, as well as immunostaining to analyze the effect of Wnt signaling activation. The results demonstrated that oxLDL, as well as WNT5A (control), induced Dishevelled-2 (DVL2) activation and Kif26b degradation, indicating activation of non-canonical Wnt signaling. We found that oxLDL and WNT5A induced FZD5-ROR2 co-localization at the cellular membrane *in vitro* in THP-1 derived macrophages. Box5 (FZD5 receptor antagonist) inhibited oxLDL-induced DVL2/JNK activation secondary to newly secreted WNT protein from THP-1 derived macrophages. We found that WNT3A (canonical Wnt) and WNT5A showed different roles in this VSMC cell line. These findings indicate that WNT5A is upregulated by oxLDL, promotes foam cell formation, and affects VSMC phenotype and migration in these two cell lines. Also, in these cell lines FZD5 signaling seems to be necessary for lipid accumulation and, through this mechanism, WNT5A could modulate foam cell formation. Thus, our results suggest that WNT5A may contribute to the pathogenesis of vascular disease through modulating macrophage and VSMC behavior.

Keywords: macrophages, vascular smooth muscle cells, foam cells, Wnt signaling, vascular biology



GRAPHICAL ABSTRACT |

INTRODUCTION

Wnt signaling pathway activation has been reported in many cardiovascular diseases and as a consequence, the modulation of Wnt signaling has aroused scientific interest (1–5). Both non-canonical WNT signaling, as well as canonical signaling have been described to be activated in atherosclerosis (6–8). However, the exact mechanism of non-canonical WNT5A signaling in the atherosclerotic plaque remains elusive. The complex pathogenesis of atherosclerosis involves a

cascade of biological events including endothelial dysfunction, monocyte transmigration, vascular smooth muscle cell (VSMC) proliferation and migration, as well as foam cell formation, among others (9). Macrophages and VSMC perform crucial roles at different stages in the pathogenesis of atherosclerosis (9, 10). At the beginning, macrophages and VSMC are associated with the development of foam cells, whereas in advanced stages of the disease, macrophages and VSMC are involved in plaque stability and vulnerability (10, 11).

WNTs primarily act as short-range ligands in autocrine and paracrine signaling, leading to the activation of pathways that regulate diverse cellular biological processes including cell proliferation, cell polarity, cell differentiation, and apoptosis (12). WNT signaling is classified into two general pathways: a canonical pathway involving β -catenin and the non-canonical pathway which is β -catenin independent. The latter consists of two sub-groups: the WNT/ Ca^{2+} and the Planar Cell Polarity (PCP) pathways (12, 13). Specific WNT/receptor/co-receptor combinations are particularly important in dictating the resulting downstream signaling effects of a given WNT (12). For example, the co-receptor receptor tyrosine kinase-like orphan receptor 2 (ROR2) is critical for activation of the PCP signaling pathway by WNT5A (14, 15). In this pathway, WNT5A binds to the receptor complex consisting of a Frizzled (FZD) receptor (i.e., FZD5) and co-receptor ROR (i.e., ROR2) (16, 17). Unique combinations of the many receptor/co-receptor/ligand binding often correlate to specific downstream effects by a given WNT ligand (12, 18). Downstream of these receptors, reliable readouts for non-canonical signaling activation have been lacking. Three Disheveled (DVL) proteins are integral to the downstream signaling activation of WNT proteins (14, 15). DVL 1, 2, 3 are not specific to a given pathway; however, DVL2 and c-Jun N-terminal kinase (JNK) are important indicators of non-canonical WNT5A/PCP signaling activation (15, 19). Recently, Susman et al. described that Kinesin Family Member 26B (Kif26b) is rapidly degraded in response to non-canonical WNT signaling activation and is a highly specific marker of non-canonical signaling activation (20, 21).

The interaction between oxidized low-density lipoprotein (oxLDL) and macrophages and VSMC is a critical step in the pathogenesis of atherosclerosis, specifically in the formation of foam cells (22, 23). Foam cells are a critical cell type in atherosclerosis development and VSMCs contribute to a large portion (~50%) of the total foam cell population within the atherosclerotic plaque (23). Activation of macrophages triggers WNT secretion by macrophages and subsequent WNT signaling activation in neighboring cells (24). In this context, our group described increased transcription of WNT5A mRNA in mouse and human macrophages upon exposure to lipopolysaccharide (LPS) and oxLDL (2, 6). Subsequently, we demonstrated that oxLDL increases the expression of CD36 in a FZD5 dependent mechanism (25). CD36 is a key scavenger receptor in macrophages, resulting in lipid uptake and foam cell formation (26). VSMCs also play other important roles in the pathogenesis of atherosclerosis, such as fibrous cap formation. A biological characteristic of VSMCs is their capacity to switch from contractile toward a synthetic phenotype, also referred to as myofibroblast phenotype, involving increased production of extracellular matrix (ECM) and increased proliferation. WNT proteins are implicated in migration and differentiation of VSMCs from the media to the intima which is crucial for fibrous cap formation, an important feature of stable plaques (27–32). These findings demonstrate the importance of macrophages and VSMCs in the atherosclerotic process.

The present study seeks to dissect the effect of oxLDL and WNT5A in THP-1 derived macrophages and a human aortic VSMCs line. Our central hypothesis is that atherogenic stimuli (oxLDL) induce WNT signaling dependent cellular events associated with pathophysiological events in vascular disease.

MATERIALS AND METHODS

In-vitro THP-1 and Derived Macrophages Cell Culture

THP-1 cells (Human Monocytic Leukemia cell line) were purchased (American Type Culture Collection, TIB-202TM, Manassas, VA), and were cultured in RPMI-1640 supplemented with 10% FBS (complete RPMI) at 37°C, 5% CO₂ as described previously (6, 33). To induce monocyte-to-macrophage differentiation, THP-1 cells were stimulated with 50 ng/ml Phorbol-12-Myristate-13-Acetate (PMA) for 24 h. After activation and once adherent, the culture medium was removed and replaced with treatment medium (described below). Controls were treated with medium alone plus vehicle where applicable and maintained for the duration of all experiments. At the end of experiments, cell culture medium was collected and centrifuged (900xg) at 4°C for 10 min to remove cell debris. The supernatant was then concentrated 40-fold using Amicon ultra centrifugal filter units (UFC801024, Millipore-Sigma, Burlington, MA). Briefly, supernatant (2 mL) was centrifuged at 7,500xg at 4°C for 10 min (~50 μ L final volume). The supernatant was then stored at -80°C before western blotting.

Treatment medium consisted of basal RPMI-1640 (FBS-free) supplemented with rWNT5A (human recombinant WNT5A, 100 ng/mL, R&D Systems, 645-WN, Minneapolis, MN) or low-density lipoproteins (n-LDL and ox-LDL, Alfa Aesar, J65039 & J65591, both 100 μ g/mL) for the indicated duration. FZD5 blocking was performed using commercially available Box5 (#681673, Millipore Sigma, Burlington, MA). Cells were pre-treated with Box5 (100 μ M in PBS, 1h) before rWNT5A or lipoprotein treatment as indicated. Inhibition of WNT secretion was performed using a commercially available inhibitor of Porcupine (PORCN), Inhibitor of WNT Production-2 (IWP-2, #681671, Millipore Sigma, Burlington, MA). IWP-1 (5 μ M in DMSO) was included for 24 h before LDL treatment. DMSO in equal concentration was used as vehicle control in all experiments using IWP-2. Cells were then analyzed using immunofluorescence, confocal microscopy and western blot, described below.

In-vitro Human Aortic Vascular Smooth Muscle Cell Line Culture

Human aortic vascular smooth muscle cells (VSMCs) were purchased from American Type Culture Collection (T/G HA-VSMC, CRL-1999TM, Manassas, VA), and were cultured according to the supplier's instructions at 37°C, 5% CO₂. To make the complete growth medium, the following components (final concentrations) were added to the F-12K base medium (30-2004, ATCC): 0.05 mg/ml ascorbic acid; 0.01 mg/ml

insulin (12585014, Gibco); 0.01 mg/ml transferrin (T8158, Sigma, St. Louis, MO); 10 ng/ml sodium selenite (S5261, Sigma) 20% fetal bovine serum; 10 mM HEPES (7365-45-9, American Bioanalytical, Natick, Massachusetts); 10mM TES (T5691, Sigma).

VSMC in passages 2–6 were used for all experiments. Controls were treated with medium alone plus vehicle where applicable and maintained for the duration of all experiments. Treatment medium consisted of basal medium (F-12K Medium, 30-2004, ATCC) supplemented with 100 ng/mL rWNT5A or rWNT3A (human recombinant WNT5A & WNT3A, 645-WN & 5036-WN respectively, R&D Systems, Minneapolis, MN), 10 ng/mL platelet-derived growth factor-BB (PDGF-BB, GF149,

Millipore Sigma, Burlington, MA), or 100 μ g/mL low-density lipoproteins (n-LDL and ox-LDL, J65039 & J65591, Alfa Aesar) for the indicated duration. Blocking experiments were performed according to the methods described above.

Migration and Wound Healing Assays

VSMCs were grown in complete growth medium to confluence in 6-well plates and then serum starved (to induce quiescence) for 24 h in serum-free medium (SFM) before treatment. After quiescence/starvation, the cell monolayer was subjected to two parallel scratches on a pre-drawn cross under the plate as a reference point. After the scratch, VSMCs were washed twice with PBS and incubated in 100 ng/mL rWNT5A

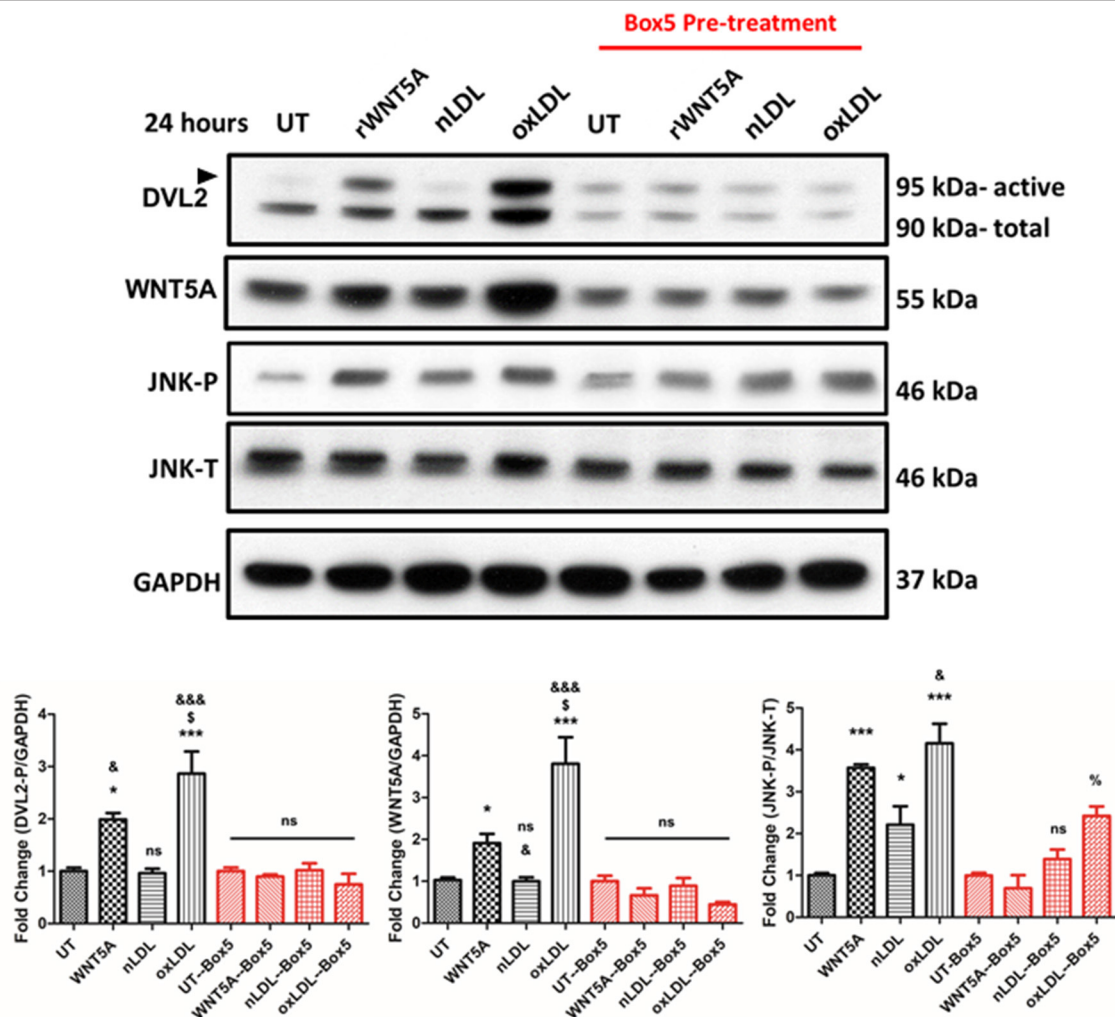


FIGURE 1 | Box5 Blocks rWNT5A and oxLDL-Mediated Non-canonical Wnt Signaling in THP-1-Derived Macrophages. Representative western blots of THP-1-derived macrophages. THP-1-derived macrophages were pre-treated, or not, with Box5 (100 mM) for 1 h or not followed by treatment with rWNT5A (100 ng/mL), nLDL, or oxLDL (both 100 μ g/mL) for an additional 24 h. Box5 significantly inhibited rWNT5A-induced and oxLDL-induced DVL2 activation (black arrow). Box5 also inhibited rWNT5A-induced JNK activation but not oxLDL-induced JNK activation, although overall activation was reduced. Experiments were performed in triplicate and western blot band intensities were quantified and are presented as bar graphs (mean \pm SEM) of the ratios of DVL2-p/GAPDH, WNT5A/GAPDH, JNK-P/JNK-T expression relative to respective controls (UT or UT-Box5). ns = not significant compared to untreated control (UT or UT-Box5) ($p > 0.05$); * = compared to UT control ($p < 0.05$); *** = compared to UT control ($p < 0.001$); % = compared to Box5 UT control ($p < 0.05$); & = compared to nLDL control ($p < 0.05$); &&& = compared to nLDL control ($p < 0.001$). \$ = compared to rWNT5A ($p < 0.05$).

or rWNT3A supplemented treatment medium. To quantify migration, wells were photographed, including reference points, immediately following wounding and every 6 h for 24 h after wounding to measure the wound area migrated by the VSMCs. Phase-contrast images were acquired on a Nikon Eclipse TS100 microscope. Images were processed using analyzed with ImagePro Plus 7 software (Rockville, MD, USA) as follows: the Lopass large spectral filter (width 5, height 4, passes 3) was applied followed by automatic contrast enhancement (Brightness= 76, Contrast= 67, Gamma= 1.0) and finally threshold into binary output for wound area as a percent of total area. Colored vertical lines (red, blue, black) are estimated wound borders for clarity and do not indicate analyzed border data. Five independent experiments were performed.

Proliferation Assay

VSMCs were grown in complete growth medium to ~60% confluence and then incubated for 24 h in SFM to induce quiescence. After 24 h, cells were then incubated with treatment medium supplemented with 100 ng/mL rWNT5A, 100 ng/mL rWNT3A or 10 ng/mL PDGF-BB and replenished every 24 h. At the end of the experiments, cell proliferation was assayed using CellTiter 96[®] Aqueous One Solution Cell Proliferation Assay (MTS [(3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium, salt) assay] (Promega, Madison, WI, USA) according to the

manufacturer's instructions. Five independent experiments with each treatment group were performed in triplicate.

Lipid Accumulation

Neutral lipid droplets were analyzed using Invitrogen's HCS LipidTOX[™] Phospholipidosis and Steatosis Detection Kit for image-based high-content screening assays (Invitrogen, H34476, ThermoFisher Scientific, Waltham, Massachusetts). Staining was prepared following the protocol of the manufacturer. Briefly, VSMCs were fixed in formalin and incubated with HCS LipidTOX[™] Red Neutral Lipid Stain. Plates were then sealed without washing and imaged. Images were captured on a Nikon Microphot-SA fluorescence microscope or Nikon Eclipse Confocal Microscope A1 Ti-E (images were recorded at 512 × 512 or 2,048 × 2,048 pixels with a pixel dwell of 3.1) using Nikon NIS-Elements software (Nikon Melville, NY). At least ten high-power fields per biological replicate were collected. Three independent experiments were performed.

Immunofluorescence and Confocal Microscopy

THP-1 cells were cultured on glass coverslips in 12-well plates. At the endpoint of all experiments, cells were washed twice with PBS, then fixed in ice-cold methanol for 10 min and subsequently washed three times with ice-cold PBS. The cells were then blocked with 1% BSA in PBST (PBS + 0.1% Tween 20) for 30 min. Cells were then incubated overnight at 4°C in antibodies

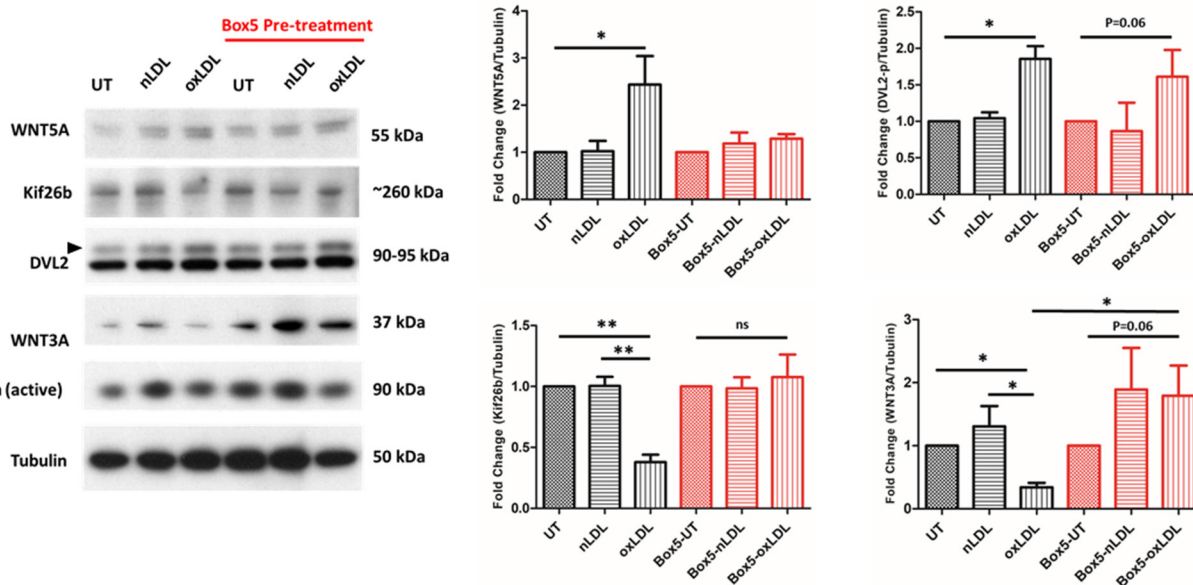


FIGURE 2 | Box5 Blocks rWNT5A and oxLDL Mediated Non-canonical Wnt Signaling in Human Vascular Smooth Muscle Cell Line. Representative western blots evaluating the expression of WNT signaling components in response to oxLDL. In response to oxLDL, there was a significant increase in WNT5A and DVL2 (black arrow) expression ($p < 0.05$, $p < 0.01$; respectively). There was also a significant decrease in Kif26b expression ($p < 0.01$), indicative of activation of non-canonical WNT signaling in oxLDL-treated VSMCs. There was a significant decrease in WNT3A expression ($p < 0.01$), in the oxLDL treated group. An overall increasing trend in WNT3A and active β -catenin expression in the Box5 pre-treated groups was found. All protein experiments were performed in triplicate and western blot band intensities were quantified and are presented as bar graphs (mean \pm SEM) of the ratio of control normalized (protein of interest)/tubulin protein. ns = not significant to untreated control (UT or UT-Box5); * = compared between groups ($p < 0.05$); ** = compared between groups ($p < 0.01$).

against FZD5 and ROR2 (described in the Immunocytochemistry section) diluted in 1% BSA-PBST. On separate coverslips, species-matched IgG at the same concentration was used as an isotype control for the primary antibodies. After overnight incubation, the primary antibodies were carefully aspirated, and the cells were washed three times with PBS for 5 min each wash. The cells were then incubated with species-matched secondary antibody (1/1,500, A11008, A11005, Invitrogen) diluted in 1% BSA-PBS at room temperature for 1.5 h. The secondary antibody solution was then decanted and cells washed three times with PBS, 5 min each, in the dark. Coverslips were counterstained with DAPI and mounted on glass slides. Images were captured on a Nikon Eclipse Confocal Microscope A1 Ti-E (images were recorded at 512×512 and $2,048 \times 2,048$ pixels with a pixel dwell of 3.1) using Nikon NIS-Elements software. Experiments were performed in triplicate with at least 10 images per biological replicated captured at 20x, 60x, and 100x. For each experiment and biological replicate, over 100 cells were evaluated.

Western Blot

Experiments were performed on THP-1 derived macrophages and VSMCs as described above (25). Total proteins were extracted using Cell Lysis Buffer (Cell Signaling Technology, Danvers, MA) and Complete EDTA-Free Protease and Phosphatase Inhibitors (Roche, Basel, Switzerland). Protein concentrations from the cell lysates were determined using the bicinchoninic acid assay (BCA) kit (Pierce, Rockford, IL). Equal protein (30 μ g) was loaded on 4–12% Bis-Tris gels (Invitrogen, #NP0322BOX) and transferred to polyvinylidene fluoride (PVDF) membranes (ThermoFisher Scientific, 88518), blocked in 5% milk, and probed overnight with specific primary antibodies diluted in 5% BSA in 0.1% TBS-Tween. Primary antibodies included rabbit anti-human PDGF-receptor beta (1/40,000, ab32570, Abcam, Cambridge, UK), rabbit anti-human β -catenin (1/40,000, ab32572, Abcam), rabbit anti-human non-phospho (Active) β -catenin (Ser33/37/Thr41) (1/40,000, #8814 Cell Signaling Technology, Danvers, MA), rabbit anti-human Collagen I (1/40,000, ab138492, Abcam), mouse anti-human Smoothelin (1/40,000, ab8969, Abcam), rabbit anti-human Calponin (1/40,000, ab46794, Abcam), rabbit anti-human CD36 (1/40,000, ab133625, Abcam), rabbit anti-human alpha smooth muscle actin (1/400,000, ab124964, Abcam), rabbit anti-human Disheveled 2 (DVL2) (1/20,000, 3216s, Cell Signaling Technology), Rabbit anti-human CD36 (1/40,000, ab133625 Abcam), Rabbit anti-human Phospho-SAPK/JNK (Thr183/Tyr185) (1:10,000, 9251, Cell Signaling Technology), Rabbit anti-human JNK (1:40,000, 9258, Cell Signaling Technology), mouse anti-human WNT5A (1:180,000, MAB15432, Abnova, Taipei City, Taiwan), rabbit anti-human Kif26b (1:20,000, generously provided by Dr. Henry Ho) (20), mouse anti-human WNT3A (1:40,000, ab81614 Abcam) with mouse anti-human alpha-tubulin (1/100,000, #3873, Cell Signaling Technology) or rabbit anti-human GAPDH (1/80,000, #3683, Cell Signaling Technology) as loading control. JNK proteins (phosphorylated and total) were evaluated on separate membranes and probed on the

same day. After overnight incubation at 4°C, membranes were washed and exposed to appropriate HRP conjugated secondary for 1 h (7074s or 7076s, Cell Signaling Technology). Enhanced chemiluminescence reagent (GE Healthcare, Chicago, IL) was used to detect antibody binding and visualized upon exposure to autoradiographic film. All experiments were performed in at least triplicate unless otherwise indicated.

Statistical Analysis

GraphPad Prism5 software was used to generate graphs and for statistical analysis. For MTS proliferation data, one-way analysis of variance (ANOVA) was used to determine differences between groups followed by Tukey *post-hoc* analyses. Lipid accumulation analysis is described above. Migration analysis was performed using a two-way analysis of variance (ANOVA) to determine differences between groups at each time-point followed by Tukey's post-test to compare means. For densitometric analysis of western blots, one-way ANOVA was used to compare means of groups normalized by loading controls. For all analyses, $p <$

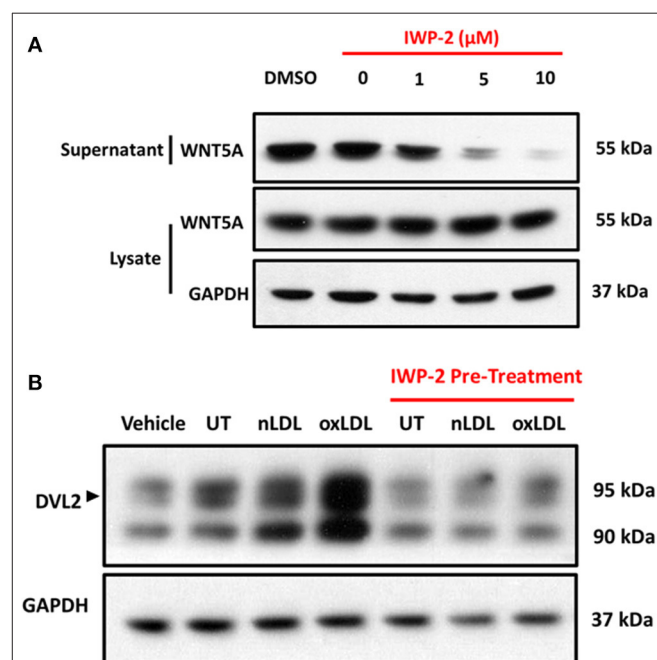


FIGURE 3 | oxLDL-Induced DVL2 Activation is Dependent on WNT Secretion.

(A) Representative western blots of THP-1-derived macrophages pre-treated with IWP-2 for 24 h at indicated concentrations. Preparation of THP-1 culture medium for western blot was described in the material and methods. In addition to protein estimation by bicinchoninic acid assay (BCA assay), Coomassie staining was used to confirm equal gel loading. IWP-2 demonstrated a concentration-dependent inhibition of WNT5A secretion into the cell culture supernatant, with no appreciable change in the intracellular content detected in whole-cell lysates. **(B)** Representative western blots of THP-1-derived macrophages pre-treated with vehicle (DMSO) or IWP-2 (5 μ M) for 24 h and then treated with nLDL or oxLDL (both 100 μ g/mL) for an additional 24 h. IWP-2 inhibited oxLDL-induced DVL2 activation (black arrow), as evidenced by the reduction in intensity and electrophoretic mobility shift from ~90 to ~95 kDa. All experiments were performed in triplicate.

0.05 was considered statistically significant. All experiments were repeated in triplicate unless otherwise indicated.

RESULTS

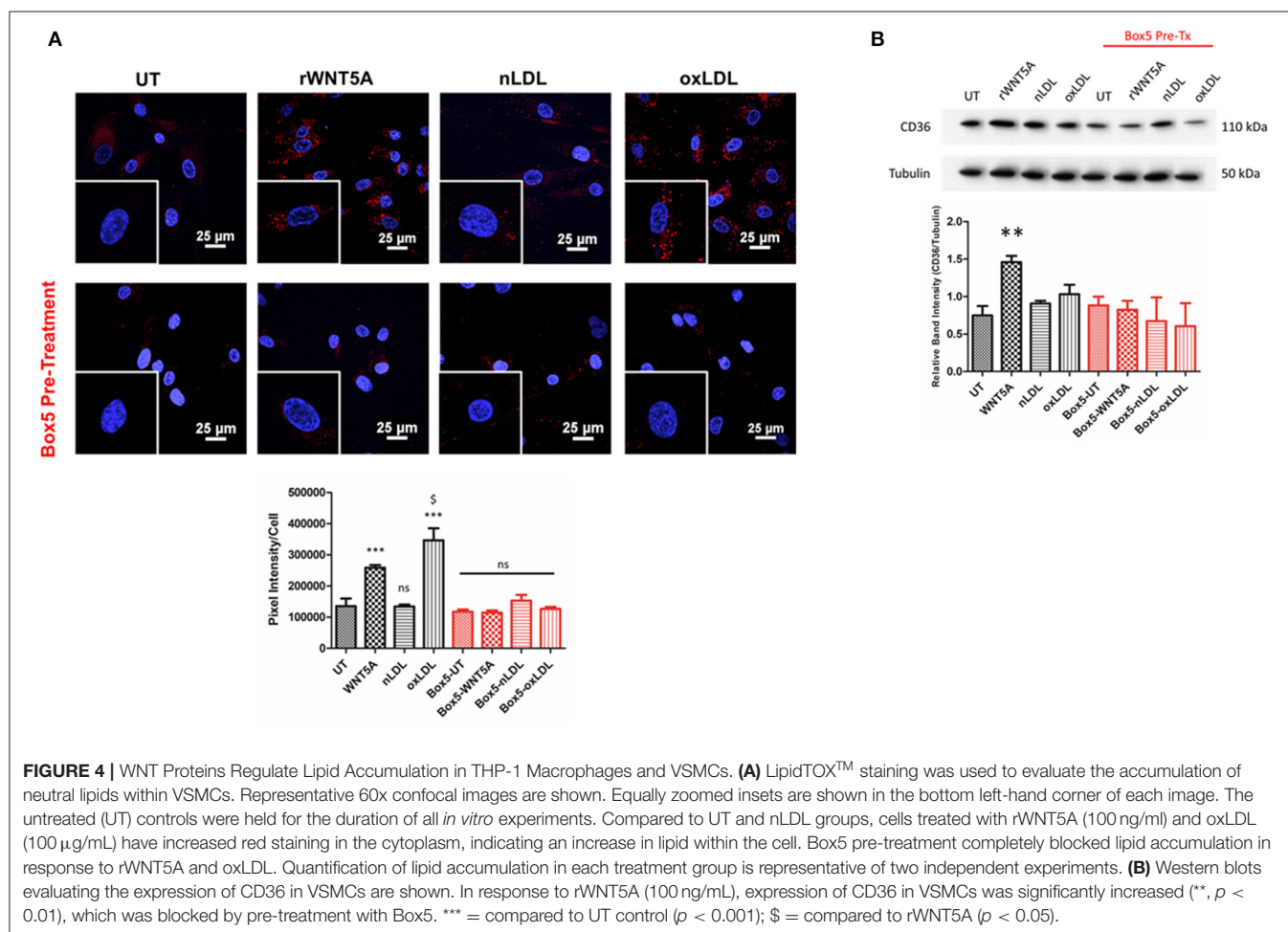
oxLDL Regulates WNT5A Expression and Signaling Activation in THP-1 Derived Macrophages and Human Aortic Vascular Smooth Muscle Cells

As an approach to investigate the role of oxLDL on WNT signaling in macrophages, THP-1 derived macrophages were used. Results suggest that oxLDL induces WNT5A expression and DVL2 activation in THP-1 derived macrophages (Figure 1). To investigate the mechanism, we inhibited at the receptor level (FZD5) and inhibited endogenous WNT secretion.

First, FZD5 signaling was inhibited using the FZD5-specific inhibitor Box5. Box5 has been described to be a competitive inhibitor of WNT5A through binding FZD5 receptor (34, 35). The results in Figure 1 show that Box5 pre-treatment inhibited rWNT5A induced DVL2 activation and oxLDL-induced DVL2 activation in THP-1 derived macrophages (Figure 1). Further downstream in the WNT signaling pathway, rWNT5A and

oxLDL increased activation of JNK, suggesting non-canonical WNT/PCP pathway activation. In the VSMCs line studied, oxLDL also significantly increased WNT5A expression and DVL2 activation (Figure 2, both $p < 0.05$), but also Box5 pre-treatment inhibited WNT5A signaling activation. Interestingly, oxLDL significantly decreased WNT3A expression in VSMCs and a reduction in active β -catenin relative to UT control (Figure 2, $p < 0.01$). Kif26b was significantly decreased in response to oxLDL compared to UT and nLDL (both, $p < 0.01$), confirming an upregulation of non-canonical WNT signaling.

Second, endogenous WNT secretion was inhibited to investigate if oxLDL-induced DVL2 activation was specific to the WNT signaling pathway, or an independent effect of oxLDL. To accomplish this, we used the inhibitor of Wnt Production 2 (IWP-2). In THP-1 derived macrophages there was reduced WNT5A detection in the cell culture supernatant after treatment with increasing concentration of IWP-2, with no changes in WNT5A expression in the whole-cell lysate (Figure 3A). We then evaluated the intracellular activation of DVL2 in cells pre-treated for 24 h with IWP-2 (5 μ M DMSO) and then treated with nLDL or oxLDL. Compared to control cells, there was a clear decrease in DVL2 activation in response to oxLDL in



the IWP-2 group (Figure 3B). **Supplementary Figure 1** shows that WNT5A activated DVL2 over time, as evidenced by the electrophoretic mobility shift from ~90 to 95 kDa. Together, these results demonstrate that oxLDL-induced DVL2 activation requires intact WNT secretion.

WNT5A Regulates THP-1 Derived Macrophages and VSMC-Derived Foam Cell Formation

To confirm that oxLDL results in lipid accumulation and foam cell development, THP-1 derived macrophages were treated with 100 μ g/mL nLDL or oxLDL and stained with LipidTox Red and

Oil Red O. Results demonstrated significant lipid accumulation in macrophages treated with oxLDL (**Supplementary Figure 1**). LipidTox staining of VSMCs treated with rWNT5A or oxLDL also resulted in a strong increase in lipid droplet accumulation within the cytoplasm (**Figure 4A**). When VSMCs were pre-treated with Box5, the lipid accumulation in response to WNT5A and oxLDL was significantly blocked (**Figure 4A**, $p < 0.001$). Further, VSMCs increase the expression of CD36 increased in VSMCs in response to rWNT5A (**Figure 4B**, $p < 0.01$). Importantly, this increase was also blocked by Box5, suggesting a FZD5-specific mechanism for lipid accumulation.

The role of canonical signaling in foam cell formation is unknown. Our results demonstrated that WNT3A decreased

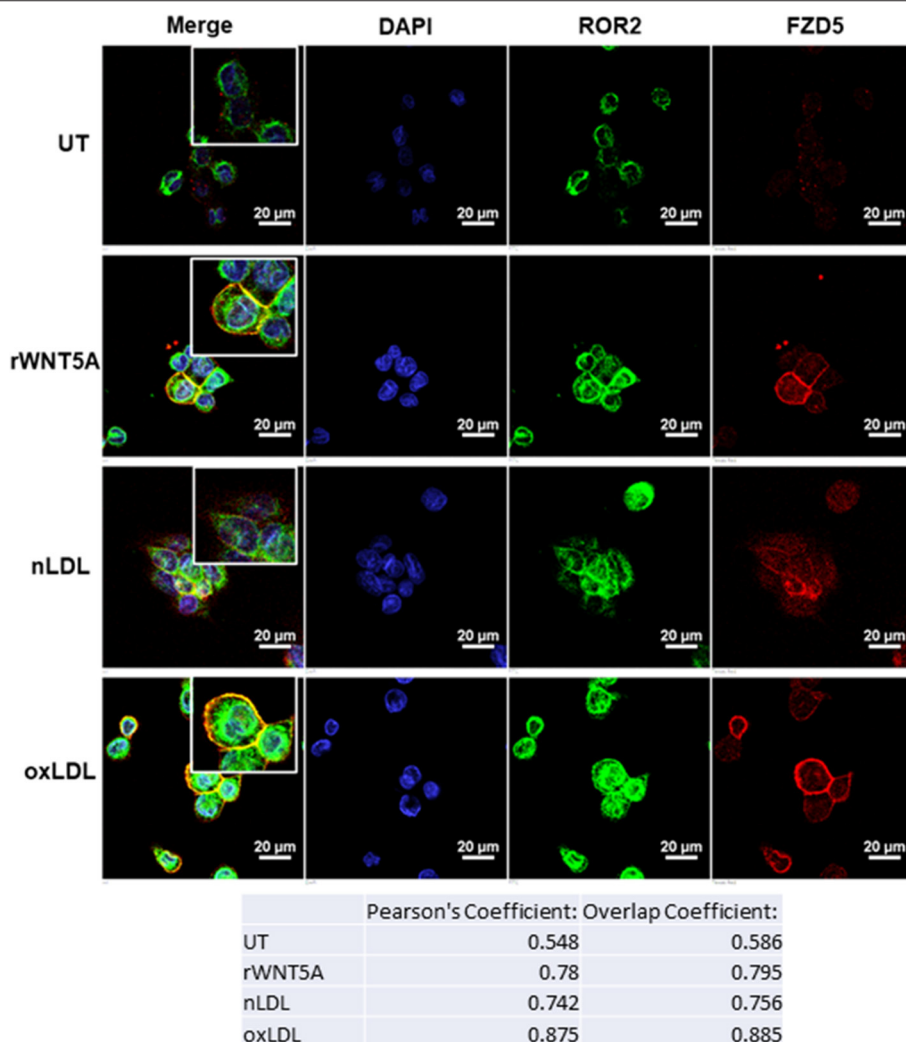


FIGURE 5 | WNT5A Receptors, FZD5 and ROR2, are Co-localized *in vitro* in Response to oxLDL and WNT5A. Representative confocal immunofluorescence (IF) images of THP-1-derived macrophages. Cells were differentiated with PMA for 24 h and then treated with rWNT5A (100 ng/mL), (nLDL) or oxLDL (both 100 μ g/mL) for an additional 24 h. Cells were then fixed, dual-stained with FZD5 (Red) and ROR2 (Green), and counterstained with 4',6-diamidino-2-phenylindole (DAPI). Compared to untreated cells (UT), rWNT5A increased the co-localization of the FZD5 and ROR2 receptors at the plasma membrane (Pearson's Correlation: UT = 0.548, rWNT5A = 0.78). Further, oxLDL-treated cells showed an even greater increase in co-localization of the FZD5 and ROR2 receptors compared to all groups (Pearson's Correlation: oxLDL = 0.875). Immunofluorescent images are at 60x with equally zoomed insets for clarity (scale bar = 20 μ m). Experiments were performed in duplicate and repeated three independent times. Representative negative control and isotype control images are included in the data supplement (**Supplementary Figure 2**).

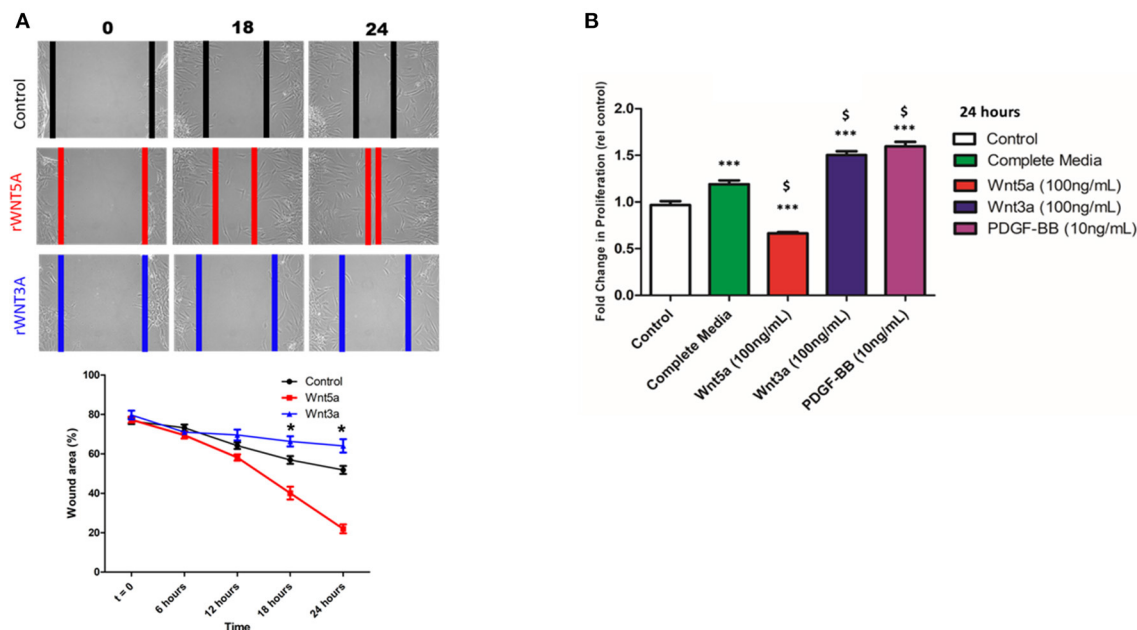


FIGURE 6 | WNT Proteins Differentially Regulate VSMC Migration and Proliferation. (A) Representative phase-contrast microscopy images of migrating VSMCs in response to rWNT5A or rWNT3A. Cells were grown to confluence and subjected to wound-healing scratch assay. After the scratch, VSMC were washed 2x with PBS and incubated in rWNT5A or rWNT3A supplemented medium (both 100 ng/mL). VSMCs were then imaged every 6 h. Colored vertical lines are the estimated wound borders for clarity. Quantification was performed on phase-contrast images. rWNT5A promoted migration in comparison to untreated control (UT), as evidenced by a significant decrease in % wound area ($p < 0.01$, $n = 5$ experiments). Additionally, rWNT3A inhibited migration compared to UT ($p < 0.01$, $n = 5$ experiments). (B) Results of MTS proliferation assays in VSMCs. Cells were seeded at ~60% confluence then incubated for 24 h in basal medium to induce quiescence. After 24 h, cells were then incubated with the indicated treatment medium. Data were normalized to a standard curve to determine cell number and represented as fold change. rWNT5A inhibited cell proliferation relative to control and complete medium, whereas rWNT3A induced VSMC proliferation almost to the same degree as the positive control (PDGF-BB). Data are representative of five independent experiments with each group performed in triplicate, \$ $p < 0.05$ relative to complete medium; *** $p < 0.001$; ns = not significant relative to control for each time point.

lipid droplets in macrophages (Supplementary Figure 3). There was an increase in lipid accumulation in the WNT5A-treated group, confirming our previous report (Supplementary Figure 3). In the same experiment, macrophages were treated with WNT5A/WNT3A concurrently (both 100 ng/mL) to evaluate if there would be any opposing effects. WNT5A/WNT3A co-treatment demonstrated a similar level of lipid accumulation as untreated (UT) cells, which suggested opposing effects of WNT5A/WNT3A in regulating lipid droplet accumulation.

rWNT5A and oxLDL Promote FZD5 and ROR2 Co-localization *in-vitro* in THP-1 Derived Macrophages

Receptor availability and localization are important for ligand-mediated signaling cascades. We next investigated the mechanism of WNT5A and oxLDL in the co-localization of FZD5 and ROR2 receptors *in-vitro*. Figure 5 demonstrates the expression of these receptors in THP-1 derived macrophages in response to rWNT5A (100 ng/mL), nLDL (100 μ g/mL), and oxLDL (100 μ g/mL) for 24 h. Results in Figure 5 show a strong increase in expression of FZD5 and ROR2 in response to rWNT5A, nLDL, and oxLDL. Importantly, there was strong co-localization of these receptors at the cellular membrane

in response to WNT5A and oxLDL (Figure 5, Pearson's Coefficients of $r = 0.78$ and $r = 0.875$, respectively). Isotype and negative controls are available in the data supplement (Supplementary Figure 2). These results provide evidence to suggest that in response to oxLDL non-canonical WNT5A signaling was activated.

WNT5A and WNT3A Differentially Regulate VSMC Migration and Proliferation

A wound healing/scratch assay was used to evaluate the migration *in vitro* of human VSMC cell line in response to rWNT5A or rWNT3A. Figure 6A showed representative phase-contrast microscopy images of migrating VSMCs in response to rWNT5A or rWNT3A with approximated migrating border (red, blue, black) for clarity. Quantification of migration demonstrated that, in response to rWNT5A (100 ng/mL), VSMCs migrated significantly more compared to control cells (18 and 24 h, $p < 0.01$) (Figure 6A). Further, in response to rWNT3A (100 ng/mL), VSMC migration was reduced relative to control (18 and 24 h, $p < 0.01$) (Figure 6A). These results suggested contrasting roles for WNT5A and WNT3A responses in VSMC migratory capacity.

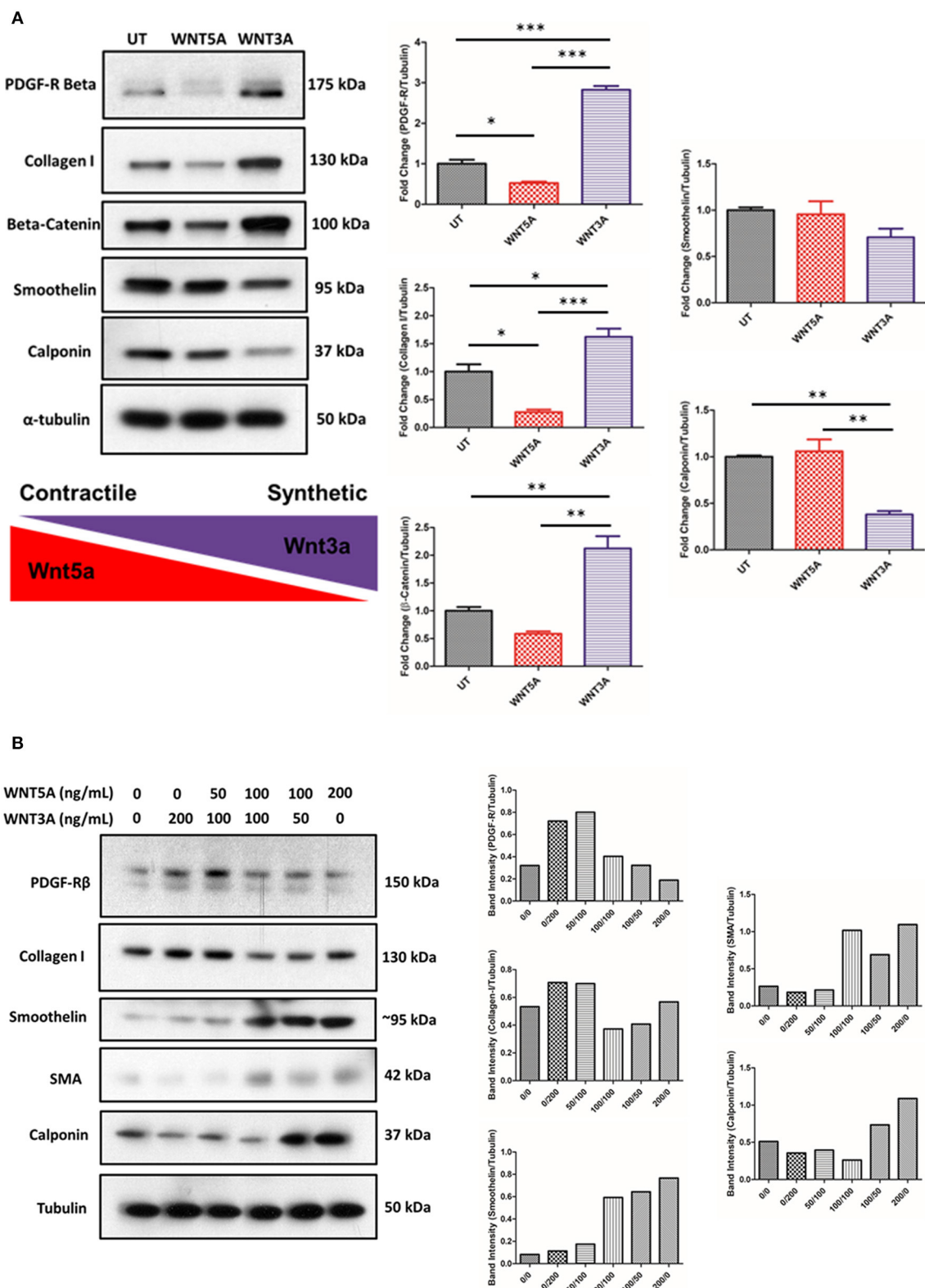


FIGURE 7 | rWNT5A and rWNT3A Regulate VSMC Phenotypic Switching. Representative western blots evaluating the expression of markers of synthetic or contractile VSMCs. VSMCs were incubated in rWNT5A or rWNT3A supplemented medium (both 100 ng/mL) for 24 h. **(A)** rWNT3A increased expression of PDGF-receptor-beta, collagen I, and β-catenin. rWNT3A decreased markers of contractile phenotypic differentiation (calponin, smoothelin). rWNT5A did not

(Continued)

FIGURE 7 | significantly reduce contractile markers. rWNT5A decreased PDGF-receptor-beta and markers of synthetic phenotypic differentiation (collagen I). rWNT5A also decreased β -catenin expression. Experiments were performed in triplicate. **(B)** Representative western blots evaluating expression markers of synthetic or contractile VSMCs. VSMCs were incubated in rWNT5A or rWNT3A in combination at the indicated concentrations (0, 50, 100, 200 ng/mL for each WNT) for 24 h. Taken together, these results suggest that under different concentrations of rWNT5A and rWNT3A, VSMCs up-regulate or down-regulate expression of the assayed phenotypic markers. Data are representative of one experiment performed in duplicate. Western blot band intensities were quantified and are presented as bar graphs (mean \pm SEM) as band intensity or ratio of control normalized (protein of interest)/Tubulin. * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$ significant difference between groups.

Proliferation of VSMCs in response to rWNT5A and rWNT3A was evaluated using MTS [(3-(4,5-dimethylthiazol-2-yl)-5-(3-carboxymethoxyphenyl)-2-(4-sulfophenyl)-2H-tetrazolium, salt] assay. rWNT5A inhibited cell proliferation relative to control and complete medium, whereas rWNT3A induced VSMC proliferation to a similar magnitude as a positive control (PDGF-BB) (**Figure 6B**). These results suggested distinct functions of WNT5A and WNT3A in VSMC proliferation.

WNT5A and WNT3A Perform Contrasting Functions in Determining Phenotypic Markers in the Human VSMC Line Studied

VSMCs were incubated in medium supplemented with 100 ng/mL rWNT5A or rWNT3A for 24 h. Protein lysates were then isolated and assayed by western blot. Results demonstrated that rWNT3A significantly increased the expression of platelet-derived growth factor receptor beta (PDGF-R- β) and β -catenin (**Figure 7A**, $p < 0.001$ and $p < 0.01$, respectively). **Figure 7A** also demonstrated that rWNT3A decreased markers of contractile phenotypic differentiation (calponin, $p = 0.01$ and smoothelin, $p = \text{ns}$). Concomitantly, rWNT3A increased expression of the synthetic marker, collagen I ($p < 0.05$). Conversely, rWNT5A decreased ($p < 0.05$) collagen I expression ($p < 0.05$). Furthermore, rWNT5A decreased detection of β -catenin, which was significantly different from WNT3A ($p < 0.01$), and rWNT3A significantly increased β -catenin expression relative to control ($p < 0.01$), confirming significant signaling crosstalk of these two WNT proteins (**Figure 7A**). We next wanted to evaluate if these WNT proteins, given their apparent opposing effects, directly competed to regulate VSMC phenotypic markers.

To determine if WNT signaling pathways interacted, we first chose to test if archetypal WNTs, WNT5A, and WNT3A, interact to determine VSMC phenotypic markers. VSMCs were incubated for 24 h with varying concentrations of recombinant WNT5A/3A proteins. Intriguingly, we found a clear WNT concentration-dependent gradient of the VSMC phenotypic markers investigated (**Figure 7B**). In summary, under higher relative concentrations of WNT5A, VSMCs may be directed toward a more contractile/quiescent phenotype, whereas with higher relative concentrations of WNT3A, VSMCs may be induced toward a synthetic, proliferative phenotype.

DISCUSSION

The interaction between oxLDL, macrophages, and VSMC are critical in the pathogenesis of atherosclerosis, specifically during atheroma formation. In a previous study, our group

demonstrated that human peripheral blood macrophages increase transcription of WNT5A mRNA upon exposure to oxLDL (6). More recently, we have reported that WNT5A increases expression of CD36, resulting in oxLDL/lipid uptake within macrophages (25). The results presented in this study expand on this previous work. Our data suggest that WNT5A signaling activation through the FZD5 receptor is a potential mechanism involved in foam cell formation in THP-1 derived macrophages and human aortic VSMC cell line used in this study. Our results cannot rule out the effects of blocking alternative FZD5 ligands; however, WNT5A, via FZD5 binding, remains an attractive target for therapeutic intervention.

WNT signaling is a complex system of multiple pathways, and the understanding of non-canonical WNT signaling is less well-described. In this study, we investigated the involvement of non-canonical WNT signaling pathways using Kif26b as a specific marker of non-canonical WNT signaling activation (20). Kif26b is specifically targeted by ubiquitination and degradation in a non-canonical WNT signaling-specific manner. Using this approach, we confirmed activation of non-canonical WNT5A signaling activation in VSMCs upon treatment with oxLDL. oxLDL also promotes WNT5A protein expression and secretion in THP-1 macrophages. Thus, non-canonical WNT signaling likely plays a role in arterial wall vascular biology.

WNT signaling activation is dependent on the receptor and cell context; here, we studied two receptors involved in non-canonical WNT signaling, FZD5 and ROR2 (36). Our results showed a high degree of FZD5 and ROR2 co-localization in foam cells *in vitro*. FZD5/ROR2 co-localization was inducible in response to oxLDL and WNT5A; interestingly, this effect was more pronounced with oxLDL treatment. Additionally, our results demonstrated for the first time that oxLDL-induced activation of WNT5A/DVL2 signaling was dependent on WNT5A secretion, suggesting an autocrine, positive-feedback loop (oxLDL/WNT5A/DVL2) where WNT5A signaling was activated in response to oxLDL. Dissecting further down in the WNT signaling pathway, our results showed that oxLDL-induced JNK-p46 activation was only partially blocked by Box5, indicating that oxLDL was likely inducing JNK activation via a secondary pathway independent of FZD5. This result is in line with results reported by Kim et al. where they demonstrated WNT5A induces JNK and NF- κ B activation in THP-1 cells (37).

Although evidence has implicated non-canonical WNT signaling as pro-atherogenic (4, 6, 25), numerous reports demonstrated a critical role of the canonical WNT signaling pathway in cardiovascular disease (38–40). Other studies support the concept of an intricate balance of canonical vs. non-canonical WNT signaling pathways and their importance in vascular

biology (41, 42). VSMC phenotypic switching was described as an important initiating factor and key pathophysiological change in proliferative vascular diseases (43, 44). VSMCs have a high capacity to differentiate/de-differentiate from a contractile to a synthetic phenotype, as evidenced by changes in protein markers in response to physical or molecular stimuli from the microenvironment. VSMC phenotypic differentiation involves proliferation, migration, and extracellular matrix (ECM) deposition. The current study showed the effect of WNT signaling on VSMC phenotypic markers. Interestingly, our results show an increase in collagen I protein in response to rWNT3A; this result is in line with previous reports that demonstrated increases collagen I RNA in mouse VSMCs line CRL-2797 in response to WNT3A (5). Further, our data demonstrated that archetypal canonical and non-canonical WNT proteins (WNT3A and WNT5A, respectively) induced proliferation (WNT3A) or migration (WNT5A). Co-treatment experiments demonstrated that the effects of WNT5A and WNT3A can be reversed in a concentration-dependent manner. The phenotypic switching of VSMCs has been associated to fibrous cap thickness, which dictated plaque vulnerability (8, 31, 32). Previous reports in the literature also regard VSMCs as important contributors to the total foam cell population (23). In line with this, our results also show that WNT5A may promote differentiation of the human VSMCs line used in the study into foam cells. All of these results suggest that WNT signaling plays a role in vascular smooth muscle cell biology. A limitation of our study is that our *in vitro* models used immortalized cell lines, these studies need to be extended using different models to comprehensively evaluate these novel mechanisms in humans.

In summary, this study implicated WNT5A signaling in THP-1 derived macrophages, human aortic VSMCs, and foam cells with the following lines of evidence. First, oxLDL promoted FZD5 and ROR2 co-localization in THP-1 derived macrophages, providing crucial novel information regarding WNT/FZD5 receptor/co-receptor combinations in foam cells. Further, oxLDL-induced DVL2 activation was dependent on WNT secretion, demonstrating for the first time a mechanism whereby WNT5A signaling was activated in response to oxLDL. Results also suggested that a balance of canonical/non-canonical WNT signaling activation may regulate switching the VSMCs phenotype. Taken together, these results suggest that WNT signaling overall may be an important player in the pathophysiology of vascular disease, making modulation of WNT signaling a potential therapeutic tool to improve cardiovascular disease outcomes.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

IA, CS, and RM designed the study and prepared the manuscript, with input from all authors. IA and CS performed experiments

and data analyses. MS and RM guided the interpretation of the results. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fcvm.2020.567837/full#supplementary-material>

Supplementary Figure 1 | Foam Cell Development and WNT5A Signaling.

Representative Oil Red O-stained images of THP-1-derived macrophages. Cells were differentiated with PMA for 24 h and then treated with control cholesterol (nLDL) or oxidized cholesterol (oxLDL) (both 100 μ g/mL) for an additional 24 h. Cells were then imaged by light microscopy. The same treatment experiment was performed using a different detection system. Invitrogen's HCS LipidTOX Phospholipidosis and Steatosis Detection Kit for image-based high content screening assays [ThermoFisher Scientific (Invitrogen, H34476), Waltham, MA, USA] were used previously in our lab. Staining was prepared following the manufacturer's protocol. Western blotting was used to evaluate the activation of WNT5A signaling pathway activation in response to rWNT5A. Macrophages were differentiated as described in the Materials and Methods section. Compared to untreated (UT), macrophages treated with rWNT5A (100 ng/ml) had significant DVL2 activation (black arrow), evidenced by the electrophoretic mobility shift of the band from ~90 to ~95 kDa which has been previously described (15). DVL2 activation can be appreciated in 30 min of rWNT5A treatment and sustained to 6 h. JNK was also activated over the same time frame. Experiments were performed in triplicate and western blot band intensities were quantified and are presented as bar graphs (mean \pm SEM) of the ratio of JNK-P/JNK-T and DVL2/GAPDH expression relative to control. Quantification of band pixel intensity was determined by ImageJ software from three independent experiments. ns = $p > 0.05$, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$.

Supplementary Figure 2 | Co-localization in Macrophage Culture.

Representative confocal fluorescent images of THP-1-derived macrophages. Cells were treated as described in the Materials and Methods section. On separate coverslips, species-matched IgG at the same concentration was used as isotype control and no primary antibody was used as negative control; cells were counterstained with 4',6-diamidino-2-phenylindole (DAPI) and images are at 600x (scale bar = 50 μ m).

Supplementary Figure 3 | Lipid Accumulation in response to WNT proteins in THP-1. Representative confocal fluorescent images of THP-1- derived macrophages. LipidTOX™ staining was used to evaluate the accumulation of neutral lipids within differentiated macrophages. The untreated (UT) controls were held for the duration of the *in vitro* experiments. Cells were treated with rWNT5A (100 ng/ml), rWNT3A (100 ng/ml), or in combination (both 100 ng/ml) as described

in the Materials and Methods section. Cells were counterstained with 4',6-diamidino-2-phenylindole (DAPI) and images are at 600X (scale bar = 50 μ m). Quantification of lipid accumulation was represented as pixel intensity per cell as a measure of lipid droplet density and intensity. Data are representative of $n = 2$ experiments and are presented as bar graphs (mean \pm SEM).

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Identification of Pathways and Key Genes in Venous Remodeling After Arteriovenous Fistula by Bioinformatics Analysis

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The arteriovenous fistula (AVF) is the first choice for vascular access for hemodialysis of renal failure patients. Venous remodeling after exposure to high fistula flow is important for AVF to mature but the mechanism underlying remodeling is still unknown. The objective of this study is to identify the molecular mechanisms that contribute to venous remodeling after AVF. To screen and identify the differentially expressed genes (DEGs) that may involve venous remodeling after AVF, we used bioinformatics to download the public microarray data (GSE39488) from the Gene Expression Omnibus (GEO) and screen for DEGs. We then performed gene ontology (GO) function analysis, Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis, and gene set enrichment analysis (GSEA) for the functional annotation of DEGs. The protein-protein interaction (PPI) network was constructed and the hub genes were carried out. Finally, we harvested 12 normal vein samples and 12 AVF vein samples which were used to confirm the expressions of the hub genes by immunohistochemistry. A total of 45 DEGs were detected, including 32 upregulated and 13 downregulated DEGs. The biological process (BP) of the GO analysis were enriched in the extrinsic apoptotic signaling pathway, cGMP-mediated pathway signaling, and molting cycle. The KEGG pathway analysis showed that the upregulated DEGs were enriched in glycosaminoglycan biosynthesis and purine metabolism, while the downregulated DEGs were mainly enriched in pathways of glycosaminoglycan biosynthesis, antifolate resistance, and ABC transporters. The GSEA analysis result showed that the top three involved pathways were oxidative phosphorylation, TNFA signaling via NF-KB, and the inflammatory response. The PPI was constructed and the hub genes found through the method of DMNC showed that INHBA and NR4A2 might play an important role in venous remodeling after AVF. The integrated optical density (DOI) examined by immunohistochemistry staining showed that the expression of both INHBA and NR4A2 increased in AVF compared to the control group. Our research contributes to the understanding of the molecular mechanism of venous remodeling after exposure to high fistula flow, which may be useful in treating AVF failure.

Keywords: hub gene, protein interaction maps, gene ontology, arteriovenous fistula, venous remodeling

INTRODUCTION

Incidences of chronic kidney disease (CKD) and end-stage renal disease (ESRD) are rising due to the aging population and the increasing prevalence of diabetes (Vachharajani et al., 2014). Hemodialysis (HD) is the treatment of choice for ESRD patients, and over 1.5 million people worldwide receive regular HD (Grassmann et al., 2005). Well-functioning vascular access is crucial for hemodialysis (HD) patients and the ideal permanent vascular access for HD is through the native arteriovenous fistula (AVF) (Roy-Chaudhury et al., 2001; Grassmann et al., 2005; Vascular Access Work Group, 2006; Sadaghianloo et al., 2015). The AVF connects high blood pressures arterial blood flow directly into the vein, exposing the vein to disturbed flow patterns, resulting in a thickened vein wall. The outflow vein is dilated and its wall is thickened due to increased blood flow after the construction of autogenous AV access (Dixon, 2006). Although the outflow vein commonly matures for HD 3 to 4 months after creation, almost 60% of AVFs fail to mature sufficiently. Our poor understanding of the molecular mechanism of venous remodeling after the construction of AVF limits our ability to predict or prevent the non-maturation of AVF (Dixon, 2006; Dember et al., 2014).

The prevention of AVF non-maturation may benefit from compressive molecular profiling studies that reveal dysregulated genes and pathways underlying AVF non-maturation. Many researchers have tried to study the molecular mechanism involved in AVF failure. For example, Martinez reported that pro-inflammatory genes, such as CSF3R, FPR1, S100A8, S100A9, and VNN2, were upregulated in the pre-access veins just before AVF failed (Martinez et al., 2019). But this study did not report the changed genes before and after the construction of AVF (Martinez et al., 2019). Xie et al. (2019) reported that LMO7 could suppress the vascular fibrotic responses through the TGF- β pathway. The cellular events causing arterIALIZATION of the vein may serve as potential targets for the prevention of AVF non-maturation. However, the exact underlying mechanism of AVF non-maturation has not yet been completely understood. It is also crucial to identify novel molecular biomarkers for individualized therapy and early diagnosis. Chip technology has the capacity to detect all the genes within a sample to screen for differentially expressed genes (DEGs), so it could improve the understanding of AVF non-maturation.

We downloaded the array data of GSE39488 from the Gene Expression Omnibus (GEO)¹ to study the differentially expressed genes (DEGs) between AVF samples and control samples. R was used to identify DEGs, and conduct the enrichment analyses, including gene ontology (GO) analysis, Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis, and gene set enrichment analysis (GSEA) (Martinez et al., 2019). Furthermore, the protein-protein interaction (PPI) of DEGs was constructed; hub genes with a high degree of DNMC were identified by the software Cytoscape with plug-in cytoHubba. Our results may aid in the design of

subsequent experimental studies of AVF, and contribute to the understanding of the molecular mechanisms associated with the development of AVF failure.

MATERIALS AND METHODS

Microarray Data

The gene expression profile of GSE39488 was downloaded from the Gene Expression Omnibus database (GEO) (see footnote). That dataset is based on the GPL10332 platform (Agilent-026652 Whole Human Genome Microarray 4 × 44K v2). The GSE39488 dataset contained 10 samples, including 4 control samples and 6 AVF samples.

Intra-Group Data Repeatability Test

Repeatability between the two groups was evaluated by the Pearson's correlation test. All statistical computing and graphics were performed using the R software. A heat map of correlation was drawn to visualize the correlations between all samples in the dataset using the pheatmap package of R. Principal component analysis (PCA) was performed to visualize the gene expression to assess sample relationships and variability.

Identification of DEGs

Bioconductor project² was used for the analysis and comprehension of high-throughput genomic data while the significantly differentially expressed genes (DEGs) between AVF samples and control samples were identified by the Bioconductor package limma. A *t*-test in the limma package was used to calculate the *p*-values of DEGs. The adjusted *p*-value cutoff was set at 0.05 and the $|\log FC| > 0.5$ was the filter criteria. A set of 45 DEGs were found from 22,683 genes, including 32 upregulated and 13 downregulated genes. A heatmap of DEGs was generated in R with the R package "pheatmap."

Functional Annotation for DEGs Using GO, KEGG, and GSEA Analysis

The clusterProfiler package is a tool used to implement methods when analyzing and visualizing the functional profiles of genomic coordinates and was used to perform GO, KEGG, and GSEA analysis (Yu et al., 2012). Gene ontology (GO) analysis is a useful method which includes three key biological aspects: BP (biological process), MF (molecular function), and CC (cellular component). KEGG is a commonly used bioinformatics database³ which analyzes gene functions and enriched genes with their pathways (Altermann and Klaenhammer, 2005). The GO and KEGG enrichment analyses were performed for DEGs by the clusterProfiler package with the *p*-value cutoff and the *q*-value cutoff set to 0.01 and 0.05, respectively (Yu et al., 2012). Gene set enrichment analysis (GSEA) is a computational method to find the statistically significant difference between biological samples in a previously defined set of genes and was also performed using the clusterProfiler package.

¹<http://www.ncbi.nlm.nih.gov/geo/>

²<http://www.bioconductor.org/>

³<http://www.kegg.jp/>

Construction and Analysis of the PPI Network and Identification of Hub Genes

The online Search Tool for the Retrieval of Interacting Genes (STRING) database⁴ was used to predict the PPI (protein-protein interaction) network from the imported DEGs (Szklarczyk et al., 2015). Cytoscape⁵ (Smoot et al., 2011) was used to visualize the PPI network. The plug-in cytoHubba was used to identify the hub genes through the score of DNMC. The top 10 genes with a high degree of DNMC were selected as hub genes.

Immunocytochemistry

This study was approved by both the Medical Ethics Committee of the Nanjing First Hospital, China (KY20190823-22) and the Medical Ethics Committee of the China-Japan Friendship Hospital of Beijing, China (2019-25-1) and received informed consent from all of the patients. A 1-cm segment of the venous end distal to the anastomosis was collected as the AVF sample during the operation of the repair of the AVF. The normal veins were pieces of the great saphenous vein collected from patients who underwent coronary artery bypass grafting. The Declaration of Helsinki was strictly followed. Both the normal vein and the AVF vein samples were collected during the operation and were dehydrated and embedded in paraffin. After that, the samples were sectioned into 5 μ m thick pieces, and were dewaxed, rehydrated, and stained with hematoxylin and eosin sequentially until transparent. Afterward, the sections were deparaffinated, blocked, and incubated with the primary antibody Anti-Inhibin beta A antibody (INHBA, abcam) or Anti-Nurr1 antibody (NR4A2, abcam) at 4°C overnight. The expression of both INHBA and NR4A2 were calculated as the integrated optical density (DOI) of the area which was stained yellow-brown by the Image-Pro Plus 6.0 (IPP 6.0, Media Cybernetics, United States). The DOI of both groups were present as mean and standard deviation (SD) and the independent *t*-test was performed by R software version 3.6.2 to analyze the difference between the two groups. $P < 0.05$ was considered as statistically significant.

RESULTS

Validation of the Dataset

To further validate the dataset, we used a Pearson's correlation test between different samples and applied the principal component analysis (PCA) to test the potential associations between the AVF samples and control samples. Based on the Pearson's correlation test, the heatmap of correlation in the GSE39488 dataset showed there were strong correlations among the control samples and the AVF samples (Figure 1A). The PCA of the GSE39488 showed that the intra-group data repeatability was acceptable. The distances between the AVF samples was close to the distance between control samples in both PC1 and PC2 (Figure 1B).

⁴<https://string-db.org/>

⁵<http://cytoscape.org/>

Identification of DEGs

A total of 45 DEGs were found based on the criteria of an adjusted *p*-value of less than 0.05 and a $|\log FC|$ value of more than 0.5, with 32 upregulated and 13 downregulated genes. Both the heatmap (Figure 2A) and Volcano plots (Figure 2B) were used to illustrate the DEGs.

Functional and Pathway Enrichment Analysis

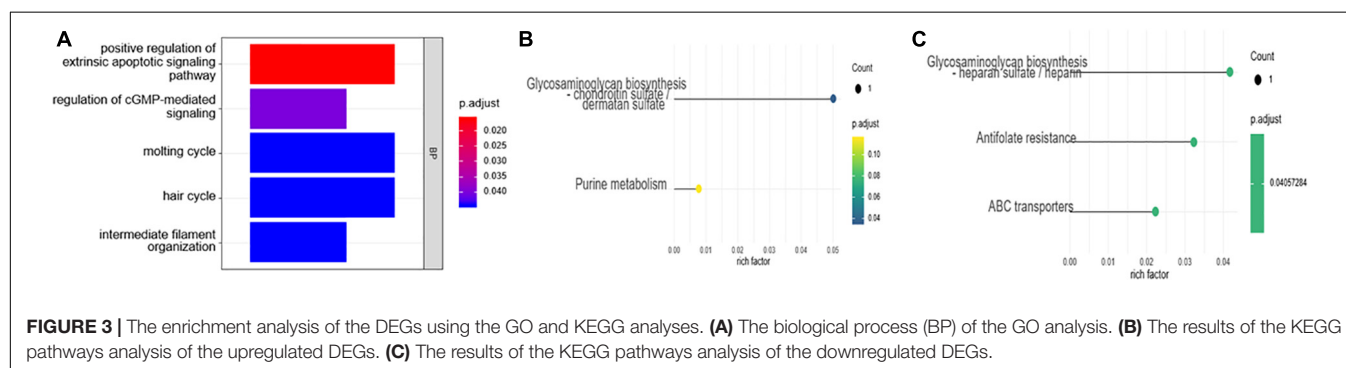
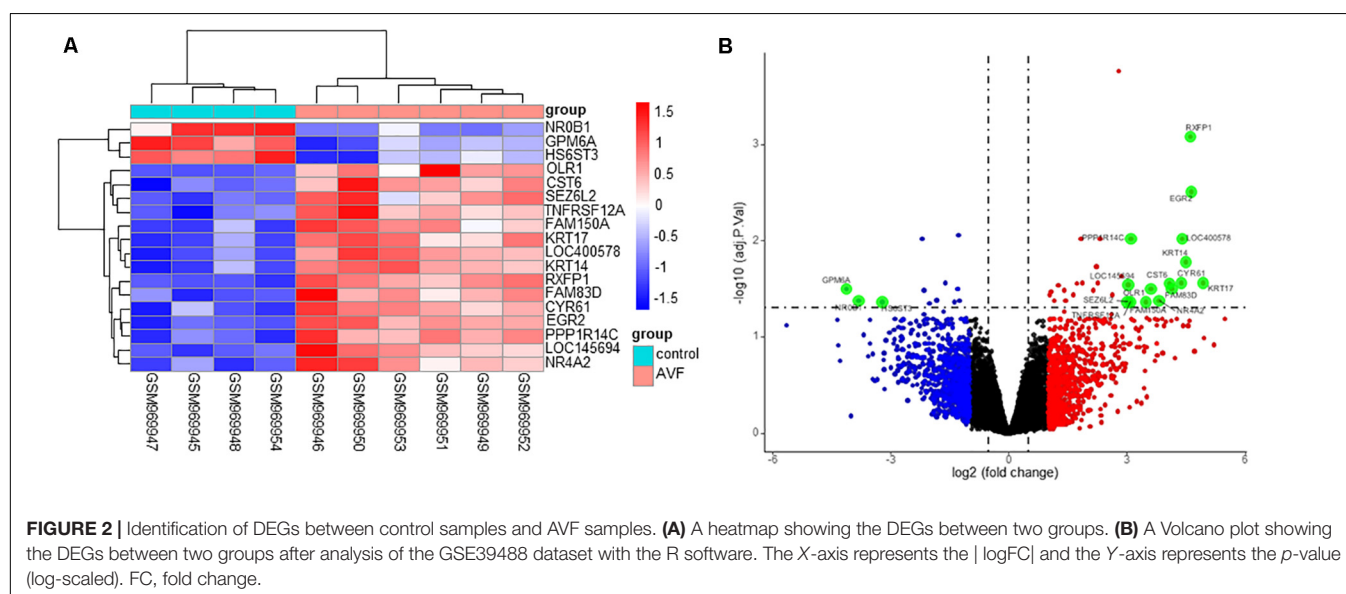
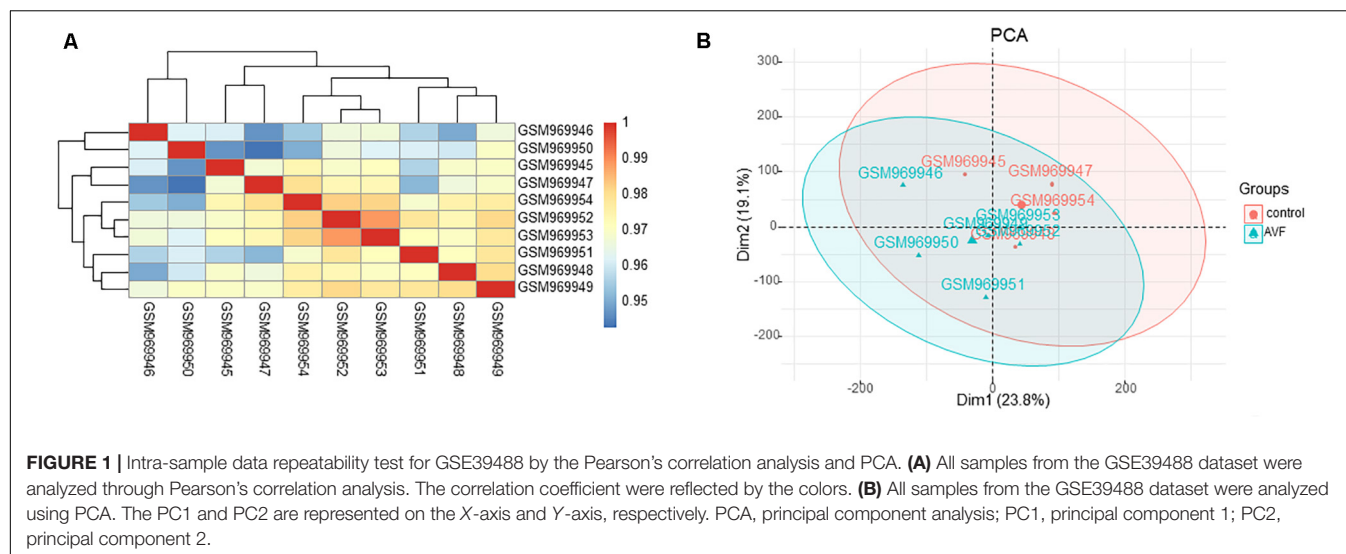
The R package clusterProfiler was used to perform the biological functions and pathways analyses including GO, KEGG, and GSEA. Both the upregulated and the downregulated DEGs were enriched by GO categories, respectively, but no GO term was enriched by the downregulated DEGs, this may be because there were only 13 downregulated DEGs. The results of the biological process (BP) GO category showed that the upregulated DEGs were enriched in the extrinsic apoptotic signaling pathway, cGMP-mediated pathway signaling, and molting cycle (Figure 3A) while there was no GO term enriched in both the cellular component (CC) and molecular function (MF) categories. The results of the KEGG pathways analysis showed that the upregulated DEGs were mainly enriched in the pathways of glycosaminoglycan biosynthesis and purine metabolism (Figure 3B) while the downregulated DEGs were mainly enriched in the pathways of glycosaminoglycan biosynthesis, antifolate resistance, and ABC transporters (Figure 3C). The results of gene set enrichment analysis (GSEA) showed that the top three pathways/gene sets were significantly downregulated between the AVF and control samples including in oxidative phosphorylation, TNFA signaling via NF- κ B, and the inflammatory response (Figure 4A). We found four DEGs belonging to the NF- κ B pathway, those were NR4A2, INHBA, EGR2, and OLR1 (Figure 4B).

Construction of the Protein-Protein Interaction (PPI) Network and the Selection of Hub Genes

The interactive relationships among DEGs were analyzed by the STRING database (see foot note) (Search Tool for the Retrieval of Interacting Genes) to construct the PPI network (Szklarczyk et al., 2015). The result of the PPI network is presented by the Cytoscape software in Figure 5A. The plug-in cytoHubba of the Cytoscape software was used to find hub genes by the method of DNMC (Figure 5B) and the top ten hub genes were INHBA, NR4A2, TNFRSF12A, MFGE8, CYR61, KRT17, OLR1, DPP4, KRT14, and ABCG2.

Demographic Data of the Patients and the Expression of Both INHBA and NR4A2

Patient clinical characteristics including age, gender, hypertension, diabetes mellitus, hyperlipidemia, smoking, and BMI were collected and compared between groups. The one-stage AVF s was performed in our center so we did not require the removal of venous segments. As a result,



the normal veins were collected for the control group. The results presented in **Table 1** show that all of the clinical characteristics were not statistically different between the two groups. Immunohistochemistry was used to assess the expression

of both INHBA and NR4A2 (**Figure 6**). The integrated optical density (DOI), calculated by the IPP 6.0, was used to examine the expression of the protein. INHBA was significantly higher in the AVF group (9897.19 ± 2034.88 , $n = 12$) compared to

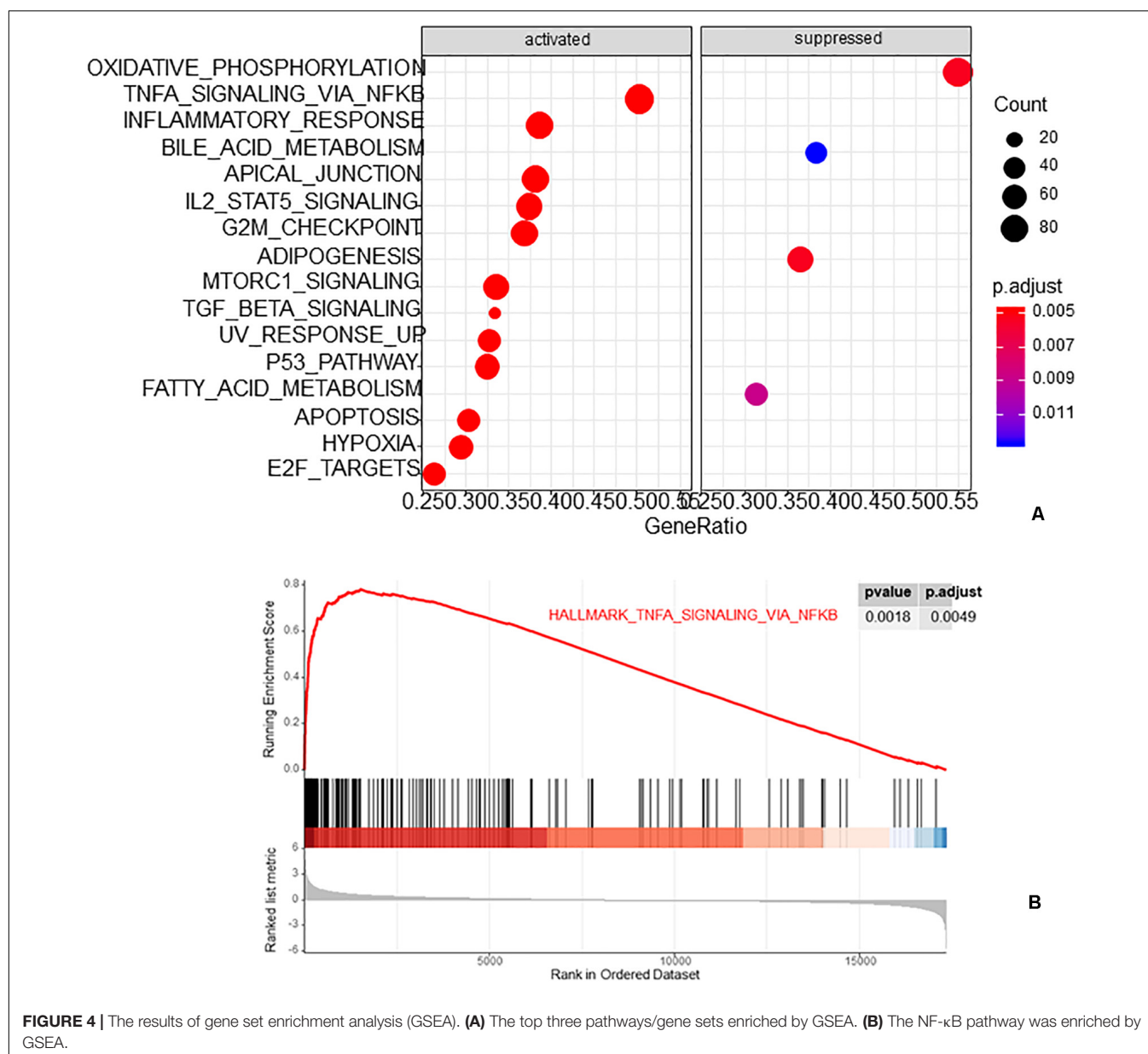


FIGURE 4 | The results of gene set enrichment analysis (GSEA). **(A)** The top three pathways/gene sets enriched by GSEA. **(B)** The NF-κB pathway was enriched by GSEA.

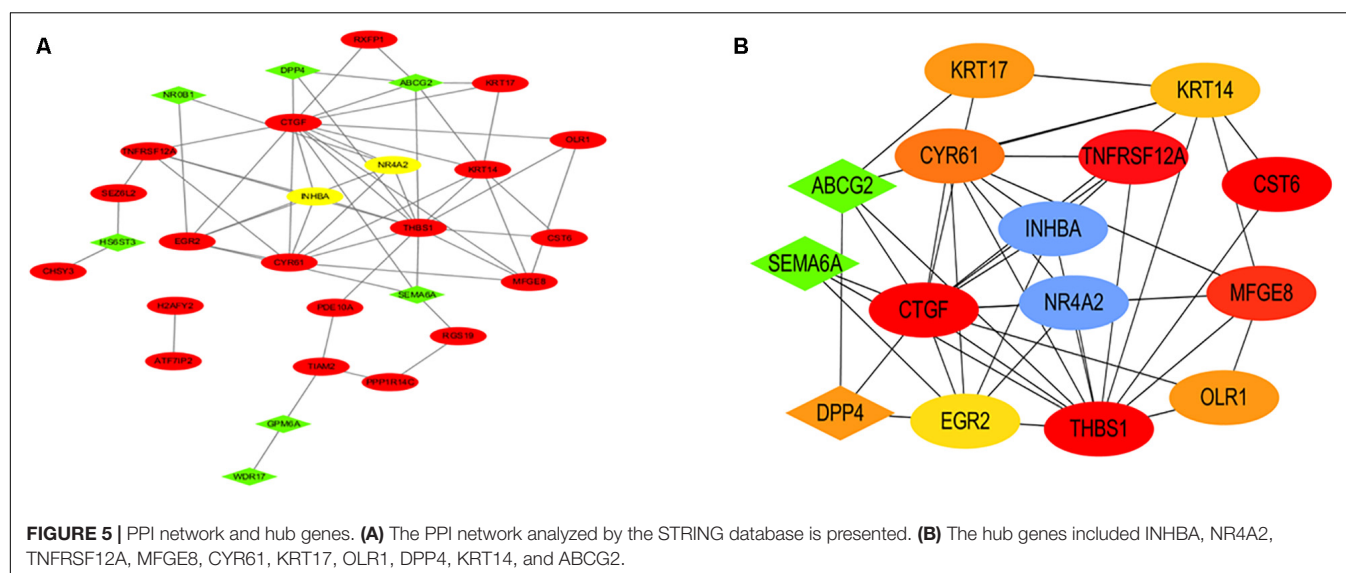
the normal group (7641.65 ± 1818.00 , $n = 12$), and the p -value was 0.009. NR4A2 was significantly higher in the AVF group (9513.74 ± 1925.76 , $n = 12$) compared to the normal group (7641.65 ± 1818.00 , $n = 12$), and the p -value was 0.023.

DISCUSSION

It is widely accepted that AVF represents the first choice for vascular access for hemodialysis (Jindal et al., 2006; Vascular Access Work Group, 2006). However, the rate of non-maturation of AVF has increased because an increasing number of elderly and frail patients are received AVF for hemodialysis (Al-Jaishi et al., 2014). Many researchers have reported a highly complex genomic landscape after the construction of AVF (Martinez et al., 2019).

The molecular mechanisms that distinguish between normal veins and veins exposed to high blood flow after the construction of AVF are poorly understood, but are of major importance for combatting AVF non-maturation.

In this study, a total of 45 DEGs (32 upregulated and 13 downregulated) were recognized by comparing normal vein samples and AVF vein samples. GO biological analysis results showed that these DEGs were mainly associated with the extrinsic apoptotic signaling pathway, cGMP-mediated pathway signaling, and the molting cycle. The KEGG pathways analysis of the upregulated DEGs included glycosaminoglycan biosynthesis and purine metabolism while the downregulated DEGs included glycosaminoglycan biosynthesis, antifolate resistance, and ABC transporters. The GSEA analysis represented the top three pathways/gene sets in which the AVF samples were significantly



involved in oxidative phosphorylation, TNFA signaling via NF- κ B, and the inflammatory response. Many studies have reported that vein remodeling after AVF was associated with inflammatory and NF- κ B pathways, this is consistent with our study (Khavanin Zadeh et al., 2015; Brahmabhatt and Misra, 2016; Viecelli et al., 2018; Chen B. et al., 2019; Martinez et al., 2019). Brahmabhatt reported that many factors, such as uremia and hypoxia, can upregulate the inflammatory response, and eventually lead to intimal hyperplasia and secondary thrombosis of AVF (Brahmabhatt and Misra, 2016). Khavanin Zadeh reported that AVF failure has a significantly higher CRP positive rate (Khavanin Zadeh et al., 2015).

Subsequently, key genes were identified by the construction of a PPI network, and the hub genes were discovered through the DMNC method. The top ten hub genes were INHBA, NR4A2,

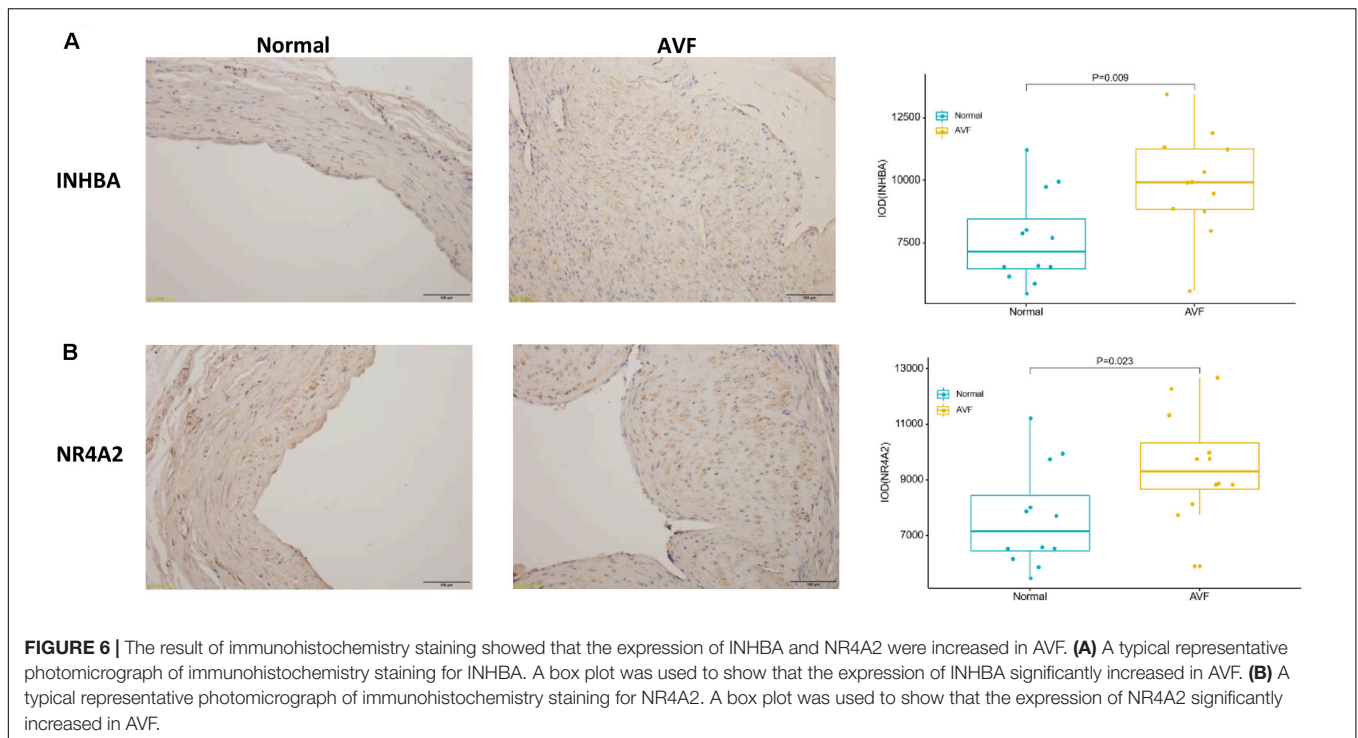
TNFRSF12A, MFGE8, CYR61, KRT17, OLR1, DPP4, KRT14, and ABCG2. The first two genes, INHBA and NR4A2, are involved in the NF- κ B signaling pathway, which is consistent with the result of GSEA. INHBA (inhibin subunit beta A) is a gene that could encode a type of the TGF-beta (transforming growth factor-beta) superfamily of proteins (Fagerberg et al., 2014). Castier, Y reported that the induction of NF- κ B by shear stress contributes to matrix metalloproteinases induction and affects the vascular remodeling of vascular enlargement after AVF (Castier et al., 2009). In the study of Fukasawa, M, a balloon catheter containing an NF- κ B decoy oligodeoxynucleotide could sustain for a longer period of time compared to the control group (Fukasawa et al., 2019). NR4A2 (nuclear receptor subfamily 4 group A member 2) could encode one of the steroid-thyroid hormone-retinoid receptor superfamily, which may act as a transcription factor (Fagerberg et al., 2014). TNFA signaling via NF- κ B was enriched by the GSEA analysis. Among these top 10 hub genes, INHBA and NR4A2 were related to the TNFA signaling pathway. So we focused on INHBA and NR4A2. Both INHBA and NR4A2 have been reported to be involved in the NF- κ B pathway in many studies. Wamsley et al. (2015) reported that NF- κ B activity is needed to upregulate INHBA which could maintain mesenchymal phenotypes. The research from Chen J. et al. (2019) identified NR4A transcription factors as having an important role in the lesser effect of T cells against solid tumors. Popichak KA reported that NR4A2 could suppress the inflammatory response of NF- κ B. We confirmed that the expression of both INHBA and NR4A2 were increased in the AVF samples by immunohistochemistry. Both INHBA and NR4A2 may be potential therapeutic targets for the prevention of AVF. We will try to suppress these genes *in vivo* and *in vitro* in future to confirm their role in AVF.

There are several limitations in this study. Firstly, the control samples are not from uremic patients because it is difficult to obtain normal vein samples from uremic patients according to the Declaration of Helsinki. In our center, we used one-stage

TABLE 1 | Demographic data of patients.

	Normal (n = 12)	AVF (n = 12)	P-value
Age			
Mean (SD)	59.8 (9.17)	58.4 (10.1)	0.738
Gender			
Female	4 (33.3%)	6 (50.0%)	0.679
Hypertension			
Yes	10 (83.3%)	6 (50.0%)	0.194
Diabetes mellitus			
Yes	10 (83.3%)	8 (66.7%)	0.637
Hyperlipidemia			
Yes	6 (50.0%)	8 (66.7%)	0.679
Smoke			
Yes	2 (16.7%)	2 (16.7%)	1
BMI			
Mean (SD)	22.3 (1.44)	23.5 (2.75)	0.21

AVF, arteriovenous fistula; BMI, body mass index.



AVFs so we did not require the removal of the venous segments. As a result, we did not collect venous samples from uremic patients. We will try to collect normal vein samples from uremic patients for the further study. Secondly, each sample was collected at a different time after AVF surgery. The collection time depended on the patency time of the AVF. We will enlarge the sample size so that we can collect AVF samples at the same time in a future study. Thirdly, we did not isolate the endothelial cells from the smooth muscle cells and then analyze them separately. We will try to analyze different cell types in the future study.

CONCLUSION

This study identified a total of 45 DEGs. GO, KEGG, and GSEA enrichment analyses were performed and the NF- κ B pathway was suggested to be involved in vein remodeling. The hub genes were identified, including INHBA and NR4A2. Both of these two genes have been reported to be associated with the NF- κ B pathway in many studies. Altogether, our study indicates that vein remodeling may be regulated by INHBA and NR4A2 through the NF- κ B pathway. Therefore, the detailed investigation of the molecular mechanism and clinical application of these genes are needed in the future.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories

and accession number(s) can be found in the article/supplementary material.

ETHICS STATEMENT

This study was approved by the Medical Ethics Committee of the Nanjing First Hospital, China (KY20190823-22) and the Medical Ethics Committee of the China-Japan Friendship Hospital of Beijing, China (2019-25-1) and received written informed consent from all of the parents.

AUTHOR CONTRIBUTIONS

GJ, WJ, and HX designed, guided, and funded the study. KJ and ZB conducted most of the experimental work. GM helped with the software calculation. LZ and ZY assisted with drawing pictures. CL helped with the revised picture. KJ, SH, LW, and CG performed the data analysis. WF performed the immunocytochemistry. KJ and GM conducted the data interpretation. KJ and ZB performed the drafting of the manuscript. GJ and HX did the critical revision of the manuscript and final approval for publication. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Endothelium-Derived Hyperpolarizing Factor and Myoendothelial Coupling: The *in vivo* Perspective

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The endothelium controls vascular tone adopting blood flow to tissue needs. It releases chemical mediators [e.g., nitric oxide (NO), prostaglandins (PG)] and exerts appreciable dilation through smooth muscle hyperpolarization, thus termed endothelium-dependent hyperpolarization (EDH). Initially, EDH was attributed to release of a factor, but later it was suggested that smooth muscle hyperpolarization might be derived from radial spread of an initial endothelial hyperpolarization through heterocellular channels coupling these vascular cells. The channels are indeed present and formed by connexins that enrich in gap junctions (GJ). *In vitro* data suggest that myoendothelial coupling underlies EDH-type dilations as evidenced by blocking experiments as well as simultaneous, merely identical membrane potential changes in endothelial and smooth muscle cells (SMCs), which is indicative of coupling through ohmic resistors. However, connexin-deficient animals do not display any attenuation of EDH-type dilations *in vivo*, and endothelial and SMCs exhibit distinct and barely superimposable membrane potential changes exerted by different means *in vivo*. Even if studied in the exact same artery EDH-type dilation exhibits distinct features *in vitro* and *in vivo*: in isometrically mounted vessels, it is rather weak and depends on myoendothelial coupling through connexin40 (Cx40), whereas *in vivo* as well as *in vitro* under isobaric conditions it is powerful and independent of myoendothelial coupling through Cx40. It is concluded that EDH-type dilations are distinct and a significant dependence on myoendothelial coupling *in vitro* does not reflect the situation under physiologic conditions *in vivo*. Myoendothelial coupling may act as a backup mechanism that is uncovered in the absence of the powerful EDH-type response and possibly reflects a situation in a pathophysiologic environment.

Keywords: connexins, gap junctions, endothelium-dependent hyperpolarization, myoendothelial coupling, microcirculation

INTRODUCTION

The endothelium contributes importantly to the regulation of vascular tone and thereby controls organ perfusion. Endothelial cells (ECs) communicate in different languages (or signaling pathways) to smooth muscle cells (SMCs), one of which is the release of chemical substances or mediators that diffuse through the narrow intercellular space before reaching membrane

or intracellular proteins as receptors. The outstanding molecules acting as chemical mediators in this pathway of intercellular communication are PG [cyclooxygenase (COX) products] and the gaseous transmitter nitric oxide (NO) which is produced by endothelial NO-synthase and easily diffuses through plasma membranes to reach its target, the intracellularly localized soluble guanylyl cyclase (sGC; Qian and Fulton, 2013; Luo et al., 2016; Nava and Llorens, 2019). Apart from these molecules, other chemical entities have been suggested to be released from ECs and relax smooth muscle, among them K^+ ions, lipophilic compounds (e.g., epoxyeicosanoids, EETs), proteins (C-type natriuretic peptide), radicals [hydrogen peroxide (H_2O_2)], and other gaseous molecules [CO, hydrogen sulfide (H_2S); **Figure 1**; Feletou and Vanhoutte, 2009; Garland et al., 2011; Feletou et al., 2012; Ellinsworth et al., 2014, 2016; Feletou, 2016; Freed and Gutterman, 2017; Garland and Dora, 2017].

However, this is a “sticky” business as it is difficult to imagine that several of these compounds are easily and quickly transferable. The smooth muscle relaxes by the opening of K^+ -channels, which induce relaxation likely through closure of voltage-gated Ca^{2+} -channels representing a rather speedy

signaling pathway. Since the dilation is depending on the presence of ECs and characterized by smooth muscle hyperpolarization, this obscure mediator has been termed endothelium-derived hyperpolarizing factor (EDHF) assuming that also this mechanism communicates in the same language, i.e., release of a mediator as for the classical autacoids, NO, and PG. However, this view was challenged and a direct electrotonic way of communication was suggested. Charge is transferred from ECs to smooth muscle through intercellular channels [myoendothelial gap junctions (GJs), MEGJs] driven by an initial endothelial hyperpolarization that spreads radially into the vessel wall (**Figure 1**; de Wit and Griffith, 2010; Freed and Gutterman, 2017; Garland and Dora, 2017).

We describe in this short review the structural requirements and illuminate the experimental evidence obtained *in vivo* that argues against or in favor of the hypothesis that EDHF indeed is an EDH-type dilation rather than being a freely diffusible molecule. Other important functions of myoendothelial coupling, including the transfer of calcium or intracellular messengers, such as inositol-1,4,5-triphosphate (IP3) that modulate vascular responses (Looft-Wilson et al., 2017; Biwer et al., 2018;

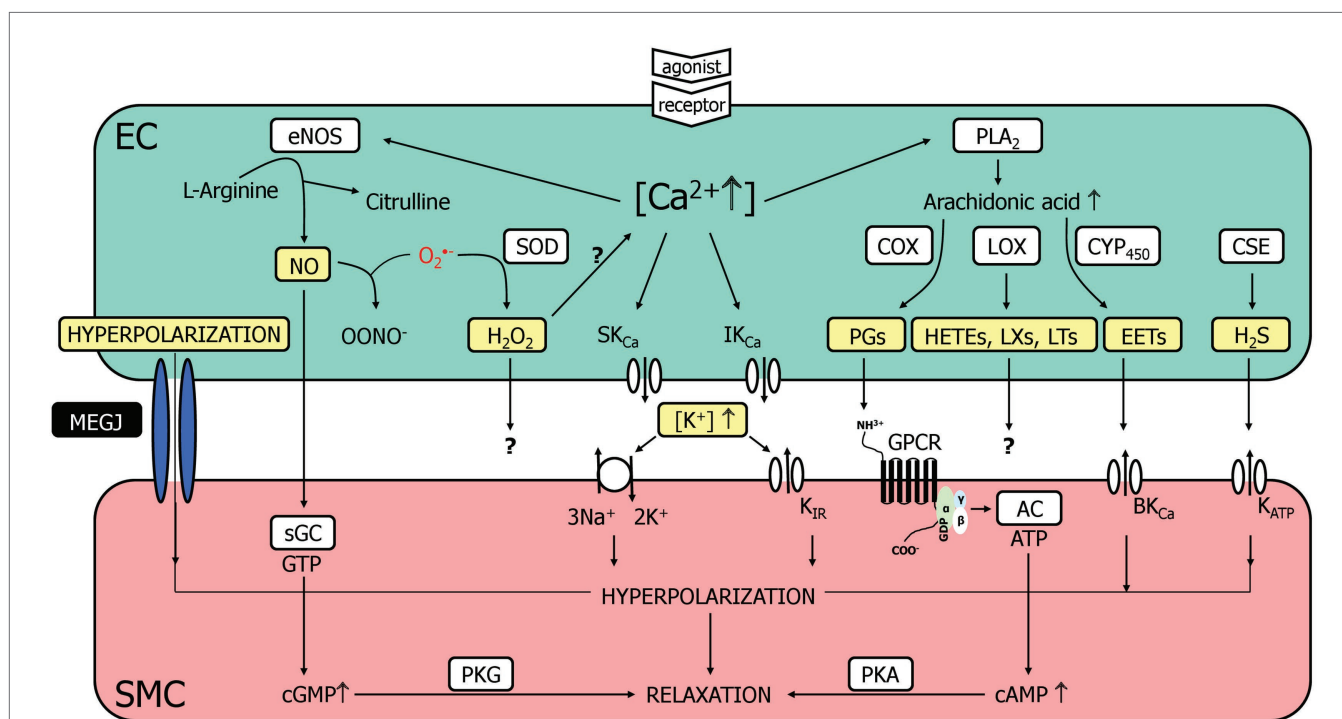


FIGURE 1 | A multitude of endothelial molecules and mechanisms (depicted in yellow boxes) relax vascular smooth muscle. The well-accepted chemical mediators such as nitric oxide (NO) and prostaglandins (PG) are shown, and a variety of substances that have been suggested to underlie the endothelium-dependent hyperpolarization (EDH)-type dilation. Importantly, a completely distinct pathway, namely radial electrotonic spread of endothelial hyperpolarizations through myoendothelial coupling was also proposed to initiate the EDH-type dilation. However, the evidence was mostly acquired *in vitro*, whereas data obtained *in vivo* argue against this hypothesis. This justifies reconsideration of other molecules as outlined. For further details, see text. AC, adenylyl cyclase; cAMP, cyclic adenosine monophosphate; cGMP, cyclic guanosine monophosphate; COX, cyclooxygenase; CSE, cystathionine-lyase; CYP450, cytochrome P450 epoxygenase; EC, endothelial cell; eNOS, endothelial nitric oxide synthase; EETs, epoxyeicosatrienoic acids; GPCR, G-protein coupled receptor; HETEs, hydroxyeicosatrienoic acids; H_2O_2 , hydrogen peroxide; H_2S , hydrogen sulfide; K_{Ca} , Ca^{2+} -activated K^+ -channel with small (SK_{Ca}), intermediate (IK_{Ca}) or big conductance (BK_{Ca}); K_{ATP} , ATP-sensitive K^+ -channels; K_{IR} , inwardly rectifying K^+ -channel; LOX, lipoxygenase; LTs, leukotrienes; LXs, lipoxines; MEGJ, myoendothelial gap junctions; NO, nitric oxide; $OONO^-$, peroxynitrite anion; O_2^- , superoxide anion; PGs, prostaglandins; PKA, protein kinase A; PKG, protein kinase G; PLA₂, phospholipase A₂; sGC, soluble guanylyl cyclase; SMC, smooth muscle cell; SOD, superoxide dismutase.

Wei et al., 2018; Wilson et al., 2019) are reviewed in detail elsewhere (Billaud et al., 2014; Straub et al., 2014; Pogoda and Kameritsch, 2019; Pohl, 2020) and are not addressed in detail in this manuscript. This aspect is mainly examined *in vitro* and due to the lack of experimental data, it is currently unknown if such a signaling pathway is of importance *in vivo*.

GAP JUNCTIONS AND THE MOLECULAR BRICKS (CONNEXINS)

Gap junctions are composed of connexin proteins and six of these molecules assemble to form a hexameric hemichannel. Two of them from adjacent cells dock together and interlink the cytoplasm of these cells through a channel that is tightly sealed against the extracellular environment. The intercellular channels either connect equal (homocellular) or different cell types (heterocellular, e.g., myoendothelial coupling) and allow transfer of small molecules (< 1 kDa) and ions. The latter equals current flow along an electrochemical potential difference and, ultimately, permits the transfer of membrane potential changes between interconnected cells. Hundreds of such channels are located at GJ where adjoining plasma membranes are only 2 nm apart (Saez et al., 2003; Nielsen et al., 2012; Grosely and Sorgen, 2013). GJ can be visualized by electron microscopy and appears as an electron-dense pentalaminar area that can be easily identified between adjacent ECs because of their abundance and the large size of the plaques. They are found to a lesser extent between SMCs, which is possibly due to the smaller plaque size and the limited number of GJ (Sandow et al., 2012). Alternatively, the presence of GJ may be implicated from the demonstration of connexin protein expression by immunostaining. A tremendous amount of publications detected connexins in vascular cells (ECs and SMCs) but this imaging technique does not allow to distinguish homocellular GJ from heterocellular GJ (de Wit, 2004; Figueroa et al., 2004). Moreover, connexin staining is indicated in many cases but the demonstration of a proper functional localization, i.e., inside the plasma membrane, is lacking.

The family of connexin genes is comprised of ~20 members (in humans 21, in mice 20), and the proteins are named according to their theoretical molecular mass; for example, connexin40 (Cx40) has a predicted molecular mass of about 40 kDa (Sohl and Willecke, 2004). In the cardiovascular system, Cx40, Cx37, Cx43, and Cx45 are expressed (Haefliger et al., 2004; Morel, 2014). Their expression pattern is not specific for ECS or SMCs, but a subtype preponderance was found: Cx40 and Cx37 prevail in the endothelium throughout the vascular tree and reportedly Cx43 is also expressed specifically in larger vessels (Gustafsson et al., 2003; Sandow et al., 2003; Rummary and Hill, 2004; de Wit et al., 2006; Haddock et al., 2006; Hakim et al., 2008; Sorensen and Holstein-Rathlou, 2012; Pohl, 2020). Cx43 and Cx45 are the dominant subtypes expressed in SMCs (Hill et al., 2002; Wolffe et al., 2007; Schmidt et al., 2012), but Cx37 was also reported for smooth muscle (Sandow et al., 2003; Alonso et al., 2010a), whereas Cx40 is only found in a very few instances in these cells.

Interestingly, Cx40 and Cx37 are also found abundantly in renin producing cells and serve important functions in renin secretion (Wagner and Kurtz, 2013).

Having the expression pattern in mind, MEGJ may be composed of Cx40, Cx37, and Cx43 provided from the endothelial side and Cx43, Cx45, and Cx37 from smooth muscle (Sandow et al., 2009, 2012). This important information is experimentally difficult to obtain in vessels, however, in an *in vitro* co-culture system, myoendothelial junctions were composed of Cx40 and Cx43 provided by both cell types. This occurred despite the expression of Cx37 in endothelial and SMCs in this artificial system but this connexin was excluded from these junctions (Isakson and Duling, 2005). Experimental data from intact vessels are scarce: in rat basilar artery using serial section and immunoelectron microscopy, Haddock et al. (2006) demonstrated myoendothelial junctions in which Cx40 and Cx37 were located, others confirmed the presence of Cx37 and Cx40 in different vessels (Mather et al., 2005; Isakson et al., 2008), and also Cx43 was identified at MEGJ (Straub et al., 2010; Pogoda et al., 2014).

Recently, Cx37 was shown to be enriched specifically at sites where endothelial and SMCs come into contact (the internal elastic lamina) in murine small skeletal muscle arteries. Functionally, the authors observed in a culture system, a modulation of Cx37 permeability by NO specifically for this connexin (compared to Cx40 and Cx43) and conclude from these findings that NO exerts a specific modulatory effect on myoendothelial junctions due to the fact that Cx37 is the main Cx at this strategic location, and NO preferentially affects its function (Pogoda et al., 2014). The inhibitory effect of NO on Ca²⁺ permeability of MEGJ was elicited through enhanced phosphorylation of Cx37 at position 332 (Y332) by inhibition of the protein tyrosine phosphatase SHP-2. This effect prevented in isolated vessels the Ca²⁺ drainage from the endothelium into the smooth muscle and enhanced thereby Ca²⁺ levels in ECs promoting vasodilation induced by vasoactive agonists (Pogoda et al., 2017). Taken together, these data are somewhat contradictory (Cx37) and can be only regarded as an initial step on the bumpy road with many experimental obstacles to uncover the subtypes of Cx being localized at the MEGJ.

EXPERIMENTAL OBSERVATIONS SUGGESTING ELECTRICAL MYOENDOTHELIAL COUPLING *in vitro*

Direct injection of electrical current into ECs in isolated arterioles evoked endothelial hyperpolarizations that were conducted electrotonically (i.e., passively) to subjacent SMCs and, conversely, action potentials originating in the arterial media were rapidly conducted to the endothelium (Emerson and Segal, 2000, 2001; Yamamoto et al., 2001). The signal amplitude was reduced in either direction by about 15%, without change in form, suggesting that myoendothelial junctions behave as ohmic resistors that mediate the heterocellular spread of membrane potential changes without rectification (Yamamoto et al., 2001). Circumferential and subsequent longitudinal spread of hyperpolarization was

also demonstrated in conduit vessels (strips of rabbit iliac and porcine coronary artery), from which the endothelium had been partly removed, following hyperpolarization of the residual endothelium (Griffith et al., 2002; Selemidis and Cocks, 2007). A direct heterocellular communication invoking larger molecules was visualized using dyes that diffused from initially loaded ECs into SMCs in the media *via* GJs (Griffith et al., 2002; Kansui et al., 2008; Saliez et al., 2008; Billaud et al., 2009).

If such molecules are able to diffuse through GJ it opens the possibility that non-electrotonic mechanisms contribute to vascular tone regulation, e.g., diffusion of IP₃ and/or Ca²⁺ ions in either direction (Isakson et al., 2007; Isakson, 2008). For example, the transfer of Ca²⁺ from activated smooth muscle to endothelium has been implicated in a feedback mechanism that evokes an endothelial dilation, thereby counterbalancing the constriction initiated in the smooth muscle by e.g., phenylephrine (Dora et al., 1997; Yashiro and Duling, 2000; Kerr et al., 2012; Biwer et al., 2018; Wei et al., 2018; Wilson et al., 2019). This feedback is tightly controlled (Straub et al., 2012; Biwer et al., 2018) and, interestingly, the artificial modulation of heterocellular contact in larger arteries may alter their vascular function (Shu et al., 2019). The hypothesis that myoendothelial junctions serve as conduits for charge transfer and represent mechanistically the EDH-type dilation instead of an EDHF that traverses the extracellular space has been reviewed excellently in more detail elsewhere (Griffith, 2004; Figueroa and Duling, 2009; de Wit and Griffith, 2010; Garland et al., 2011; Ellinsworth et al., 2014; Freed and Guterman, 2017; Garland and Dora, 2017).

Proof of concept additionally requires blockage of the gap junctional pathway and the abrogation of EDH-type responses. However, GJs are difficult to block efficiently and concomitantly avoid nonspecific effects. Application of short interfering peptides (so-called connexin-mimetic peptides) is a useful strategy that provides even certain specificity for different connexin subtypes depending on the amino acid sequences of the peptide. In fact, numerous studies have shown that they are able to suppress EDHF-type smooth muscle hyperpolarizations and dilations *in vitro* (Griffith, 2004; de Wit and Griffith, 2010). In some vessels, connexin-mimetic peptides targeted against Cx37 and/or Cx40 attenuated endothelium-dependent smooth muscle hyperpolarization (Chaytor et al., 2005), whereas in other arteries, peptide combinations to target Cx37, Cx40, and Cx43 collectively were required to block of EDH-type responses (Chaytor et al., 2001; Matchkov et al., 2006).

Aiming at the protein structure with a blocking antibody provides a distinct, very specific approach to verify the functional necessity of connexins. Mather et al. (2005) loaded ECs with antibodies targeted against the cytoplasmic tail of Cx40 and observed an abrogation of EDH-type responses in rat mesenteric small arteries. This occurred without affecting increases in endothelial calcium and, importantly, antibodies directed against Cx37 and Cx43 were inactive suggesting that Cx40 is a key player in the response (Mather et al., 2005). The endothelium opposes myogenic constrictions through NO release (de Wit et al., 1998) and EDH-type dilations possibly through Ca²⁺ transfer from smooth muscle to endothelium as outlined above.

The latter opposing mechanism was abrogated in mice carrying a mutant Cx40 protein, which also impaired chemical coupling (Chaston et al., 2013).

Taken together, there is compelling evidence that myoendothelial coupling through connexins provides a functional pathway that allows electrotonic spread of membrane potential changes (and signaling molecules). Blockade of this pathway attenuates or even abrogates EDH-type dilations *in vitro*. Specifically, Cx40 (and Cx37) seem to be invoked and appear to be a required brick of the intercellular signaling pathway.

MYOENDOTHELIAL COUPLING AS THE EDH-MECHANISM *in vivo*: THE EVIDENCE IS LACKING

Collecting evidence *in vivo* is more cumbersome; however, an efficient strategy is the use of mice deficient for connexins. We published the first study on vascular connexin function by using Cx40-deficient mice in which we demonstrated a crucial role for Cx40 to conduct endothelium-dependent dilations longitudinally along the vessel wall, thereby coordinating vascular cellular behavior (de Wit et al., 2000; Wolfle et al., 2007) that was shortly later confirmed by Figueroa et al. (2003). However, Cx40 is not required for radial electrotonic signal transmission because acetylcholine-induced EDH-type dilations were nearly preserved in skeletal muscle arterioles studied by intravital microscopy in anaesthetized Cx40-deficient mice. Later, we re-examined these responses in more detail and demonstrated a very minor (if any) attenuation of EDH-type dilations upon acetylcholine (Milkau et al., 2010).

In awake Cx40-deficient mice, intraarterial acetylcholine reduced blood pressure to the same absolute level as in wildtype controls, despite their initially elevated arterial pressure (de Wit et al., 2003). This hypotensive response can be attributed to the EDHF phenomenon because it is unaffected by NO-synthase inhibition and is preserved in mice with targeted disruption of the NO-cyclic guanosine monophosphate (cGMP) pathway (Koeppen et al., 2004). Furthermore, resistance changes in the renal circulation in response to acetylcholine were comparable in wildtype and Cx40-deficient mice (Just et al., 2009).

Collectively, these results argue against the hypothesis that Cx40 is universally required for EDH-type signaling *in vivo*. These differences may relate indeed due to *in vivo* vs. *in vitro* vascular behavior or caused by different vessel sizes. Arteries studied *in vitro* are usually larger (> 100 µm) than arterioles accessible for intravital microscopy examined *in vivo* (20–50 µm). The latter arterioles are those that provide the vascular resistance, and their behavior is revealed by pressure drop or resistance measurements in intact organs or animals.

To exclude effects related to vessel size differences, we performed measurements on a small murine artery (gracilis artery) that exhibits a diameter (~130 µm) allowing *in vitro* as well as *in vivo* examination because the artery is located at a spot that is accessible for intravital microscopy. This small artery exhibits *in vitro* an acetylcholine-induced

dilation that is mediated to a considerable part by an EDH-type mechanism (~60%, the remaining portion is mediated by NO and PG). Thus, the EDH-type dilation is larger than in a conducting artery (femoral artery), which relies mostly on NO but it is smaller than in arterioles. Arteriolar responses in the microcirculation *in vivo* are nearly exclusively mediated by EDH-type dilation (Si et al., 2006; Brahler et al., 2009; Wolfle et al., 2009).

In any case, acetylcholine-induced dilations were attenuated in gracilis arteries harvested from Cx40-deficient mice compared to wildtype mice if studied isometrically *in vitro* using wire myography. Blockade of NO-synthase and COX nearly abrogated the dilation in Cx40-deficient arteries but uncovered EDH-type dilations in wildtype mice. This demonstrates that EDH-type dilations require Cx40 in this setting and suggest that myoendothelial coupling requiring Cx40 supports this pathway (Figure 2, left; Boettcher and de Wit, 2011). However, if the exact same vessel was examined at isobaric conditions (pressure myograph), the dilation upon acetylcholine was firstly not attenuated by NO-synthase and COX inhibition in wildtype and secondly fully preserved in Cx40-deficient vessels. This demonstrates that EDH-type dilations, firstly, are more powerful at these experimental conditions (isobaric study) and, secondly, are fully preserved in the absence of Cx40 and, thus, most likely independent of myoendothelial coupling. The exact same results were found if this vessel was studied in the anesthetized mouse *in vivo* (Figure 2, left panel; Boettcher and de Wit, 2011).

In line with this, an attenuation of the EDH-type dilation in Cx40-deficient vessels was also observed in renal arteries (interlobar vessels) that were studied isometrically *in vitro* using wire myography. In addition to myoendothelial coupling, endothelial K⁺ release contributed to the EDH-type dilation in these vessels since a blockade of inwardly rectifying K⁺-channel (K_{IR}) and the sodium pump reduced the responses in both, wildtype and Cx40-deficient mice (Brasen et al., 2018). Although the responses were not studied *in vivo* in this study, acetylcholine infusion decreased vascular resistance in the renal circulation measured *in vivo* to a comparable degree in wildtype and Cx40-deficient mice (Just et al., 2009) indicating again intact EDH-type dilations in Cx40-deficient mice *in vivo*.

DISTINCT VASCULAR RESPONSES ARE NOT RELATED TO CONCOMITANT HYPERTENSION

Since mice globally deficient for Cx40 are hypertensive due to an abrogated feedback of pressure on renin release (Wagner et al., 2007, 2010; Schweda et al., 2009), hypertension might be a causative factor in impairing the EDH-type dilation through modifying myoendothelial junctions by an alteration of connexin expression (Figueroa et al., 2006; Meens et al., 2013). Therefore, we examined arteries from mice with EC-specific deletion of Cx40, which are normotensive (Wagner et al., 2010; Jobs et al., 2012). However, arteries exhibited similar characteristics: The acetylcholine-induced

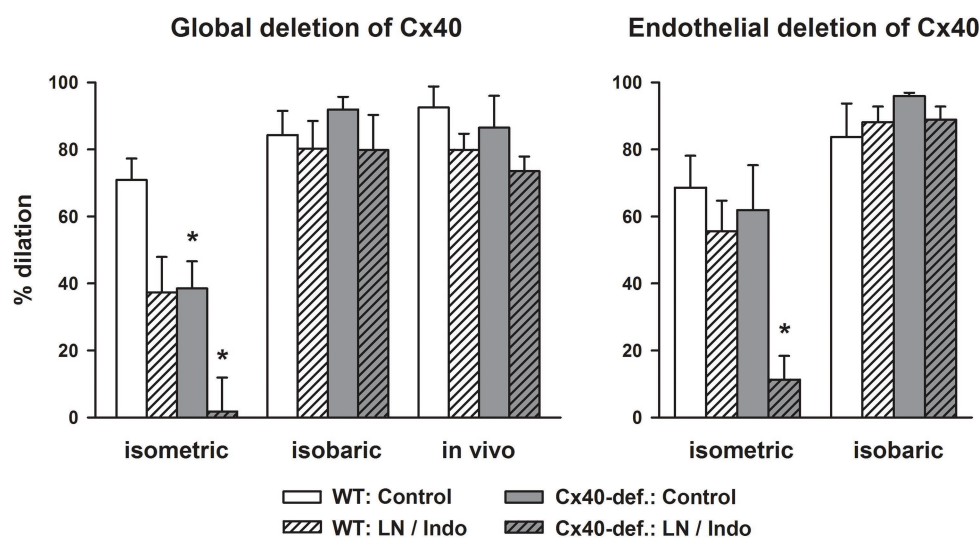


FIGURE 2 | EDH-type dilations are abrogated in gracilis arteries in the absence of connexin40 (Cx40) only if studied *in vitro* in an isometric setting. Acetylcholine (3 μ M) induced dilations in gracilis artery studied *in vitro* either in a wire- (isometric) or a pressure-myograph (isobaric) or *in vivo* in anesthetized mice. Cx40 was either deleted globally (left) or in an endothelial-specific manner (right) and compared to respective wildtype mice. Under isometric conditions, blockade of NO-synthase and COX reduced the response in wildtype and abrogated it in Cx40-deficient mice demonstrating a dependence of EDH-type dilations on Cx40 and supposedly on myoendothelial coupling in this setting. In marked contrast, EDH-type dilations were completely preserved in Cx40-deficient mice under isobaric conditions and *in vivo*. Thus, under these conditions, EDH-type dilations are independent of the presence of Cx40. Interestingly, EDH was more powerful under these experimental conditions and induced near full dilation in all genotypes. * indicates $p < 0.05$ vs. wildtype; LN/Indo, L-nitro-arginine (300 μ M) and indometacin (3 μ M) to block NO-synthase and COX; Cx40-def, Cx40-deficient mice either global or endothelial-cell specific deletion using Cre recombinase driven by TIE2 in mice carrying homozygously a Cx40 floxed gene. The full data set is published (Boettcher and de Wit, 2011).

dilation in isometrically mounted vessels was abrogated after the blockade of NO synthase and COX in the absence of endothelial Cx40 but not in wildtype vessels. This confirmed an EDH-type dilation in wildtype vessels that is not found in the absence of endothelial Cx40 and indicates that this part of the acetylcholine-response is dependent on myoendothelial coupling in this setting.

In marked contrast, a fully intact EDH-type dilation upon acetylcholine was found in vessels from endothelial-specific Cx40-deficient mice if mounted isobarically (Figure 2, right; Boettcher and de Wit, 2011). As before, the EDH-type dilation in the isobaric setting was more powerful in both genotypes, and an attenuation of the response after NO synthase and COX inhibition was neither observed in wildtype vessels nor in vessels deficient for endothelial Cx40 (Figure 2, right). This suggests that this EDH-type dilation, which was only observed in the isobaric setting or *in vivo*, is independent of Cx40. Furthermore, this powerful response is even capable to replace the dilatory function of other endothelial mediators (mainly NO). Importantly, EC-specific Cx40-deficient mice are not hypertensive (Wagner et al., 2010; Jobs et al., 2012) excluding that hypertension modulates connexin expression and that such an alteration had caused the defects observed in globally Cx40-deficient mice.

The divergent results observed in isobaric or isometric vessel study are striking. A key experimental difference is the change in wall tension during constriction and dilation. In isometric conditions, wall tension decreases during dilations and rises during constriction whereas the opposite is true for isobaric (and *in vivo*) conditions. These different experimental setups have also shown to modulate the sensitivity of rat mesenteric arteries for vasoconstrictors (Buus et al., 1994). This may be related to changes in membrane potential because a small initial depolarization did offset the differences in agonist sensitivity (Dunn et al., 1994). Modulatory effects of experimental conditions were also demonstrated on EDH-type dilations: Lowering the extracellular Ca^{2+} concentration shifted the type of endothelial K_{Ca} channel initiating the EDH response from $\text{K}_{\text{Ca}2.3}$ to $\text{K}_{\text{Ca}3.1}$. This also affected the link to the vessel relaxation, which shifted from myoendothelial coupling to the activation of the Na^+/K^+ ATPase, thus defining distinct EDHF pathways depending on experimental conditions (Dora et al., 2008).

Since ECs express both connexins, Cx40 and Cx37, in abundant amounts, the question arises why Cx37 is not able to replace the function of Cx40. Contrary to an anticipated compensatory upregulation in Cx40-deficient vessels, Cx37 expression is actually downregulated in the aortic endothelium (Simon and McWhorter, 2003), and Cx37 cannot be detected by immunostaining in arterioles (de Wit, 2010; Jobs et al., 2012). Recent work identified sparse Cx37 expression in skeletal muscle arterioles and, in addition, demonstrated the presence of myoendothelial junctions in Cx40-deficient mice (Howitt et al., 2013). Interestingly, Cx40 and Cx37 are located tightly together suggesting physical interaction (Alonso et al., 2010b). Thus, Cx40 seems to be required to locate Cx37 appropriately in the endothelial plasma membrane, and a potentially remaining Cx37 expression is functionally insufficient. Conversely, deficiency of Cx37 did not result in noticeable

defects of vascular regulation (Figueroa and Duling, 2008) or renin secretion (Wagner et al., 2009) suggesting that the role of Cx37 can be replaced by Cx40.

EDH-TYPE DILATIONS ELICITED BY OTHER AGONISTS ARE LIKEWISE INDEPENDENT OF CX40 *in vivo*

Acetylcholine activates muscarinic G-protein coupled receptors (GPCRs) that initiate a Ca^{2+} -dependent signaling pathway ultimately leading to endothelial hyperpolarization by means of the activation of endothelial Ca^{2+} -dependent K^+ -channels ($\text{K}_{\text{Ca}3.1}$, $\text{K}_{\text{Ca}2.3}$; Brahler et al., 2009). This last element in the cascade can be activated pharmacologically by compounds, such as SKA-31 (Sankaranarayanan et al., 2009). In fact, SKA-31 exhibits a higher sensitivity toward $\text{K}_{\text{Ca}3.1}$ and produces arteriolar dilation *in vivo* specifically *via* activation of this endothelial ion channel and the ensuing endothelial hyperpolarization supposedly occurs without increases of endothelial Ca^{2+} . This even more “pure” EDH-type dilation is likewise unimpeded in Cx40-deficient arterioles examined in the microcirculation *in vivo* (Radtke et al., 2013). Similarly, the arterial pressure decreases upon systemic application of SKA-31 were not attenuated in either global or endothelial-specific Cx40-deficient mice (Radtke et al., 2013). This verifies that endothelial hyperpolarization through activation of $\text{K}_{\text{Ca}3.1}$ initiates an EDH-type dilation which is independent of Cx40 *in vivo* and thus likely independent of myoendothelial junctions.

Taken together, a strong powerful EDH-type dilation exists *in vivo* that acts independent of Cx40. We suggest that we need to reconsider the “sticky” business, which may not be so sticky at all in search for this mediator (Figure 1). Importantly, this powerful mechanism is lost *in vitro* in certain experimental settings (isometric setup). At such conditions, myoendothelial coupling may take over and provide a remaining, less powerful EDH-type dilation that is, in fact, dependent on Cx40.

LACK OF EVIDENCE FOR CHARGE TRANSMISSION BY MYOENDOTHELIAL COUPLING *in vivo*

Having provided evidence that lack of Cx40 (and Cx37) leaves EDH-type dilations fully intact *in vivo* it remains to be considered if myoendothelial coupling exists *in vivo* at all. It may well be that heterocellular coupling is turned off during physiologic vascular control and is only activated during special (pathophysiologic?) conditions. In contrast to the findings with isolated arteries and arterioles described above, a number of studies have failed to demonstrate tight electric myoendothelial coupling in arterioles *in vivo* (de Wit et al., 2008). For example, electrophysiological measurements *in vivo* demonstrated differences in the resting membrane potential of endothelial and SMCs in the order of 10 mV in murine skeletal muscle arterioles (Siegl et al., 2005). Additionally, acetylcholine-induced hyperpolarization of SMCs was selectively blocked by a specific K^+ -channel blocker

without modifying endothelial hyperpolarization (Siegl et al., 2005). Conversely, adenosine dilated arterioles by activating smooth muscle K_{ATP} channels but did not hyperpolarize the endothelium (de Wit, 2010); whereas in isolated conduit arteries, K_{ATP} mediated hyperpolarization is transmitted to the endothelium *via* MEGJ (Murai et al., 1999). Also in hamster arterioles *in vivo*, smooth muscle depolarizations did not affect the membrane potential of ECs: hyperpolarizations initiated by acetylcholine differed in amplitude and form between endothelial and SMCs (Welsh and Segal, 1998). Again, endothelial and smooth muscle hyperpolarization exhibited differential sensitivity to inhibitors (Welsh and Segal, 2000). Finally, selective destruction of endothelial or SMCs along the conduction pathway suggests that hyperpolarization is not freely transferred between the two cell types (Budel et al., 2003).

These experimental findings do not provide evidence for functional myoendothelial coupling that aligns the membrane potential of endothelial and SMCs *in vivo*. The data rather suggest that the membrane potential of these distinct cell populations is regulated independently reflecting their specific functions. Interestingly, connexins at the myoendothelial junctions are subject to functional regulation (Straub et al., 2010; Westcott and Segal, 2013), which may provide an explanation for the discrepant *in vivo* findings. Although significant charge transfer through MEGJ was not convincingly demonstrated *in vivo*, significant contributions of myoendothelial coupling to vascular function may well be of importance, for example the transfer of intracellular messengers

(Looft-Wilson et al., 2017; Biwer et al., 2018; Wei et al., 2018; Wilson et al., 2019; Pohl, 2020).

CONCLUSION

Many *in vitro* studies demonstrate an important role for myoendothelial coupling by transferring charge from endothelial to SMCs to elicit EDH-type dilations. However, *in vivo* a distinct, more powerful mechanism is responsible for such dilations that easily overcome the lack of myoendothelial coupling. The evidence for efficient charge transfer through myoendothelial coupling *in vivo* is scarce. This is not related to a lack of data, in fact, many laboratories have attempted to tackle this important question, but failed to find solid evidence documenting myoendothelial coupling. In fact, these efforts have accumulated strong indications for the opposite, i.e., endothelial and SMCs “do their own thing” with respect to membrane potential. However, under certain experimental conditions, myoendothelial coupling supports EDH-type dilation, but this EDH-type response is rather weak compared to the EDH-mechanism acting *in vivo*.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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