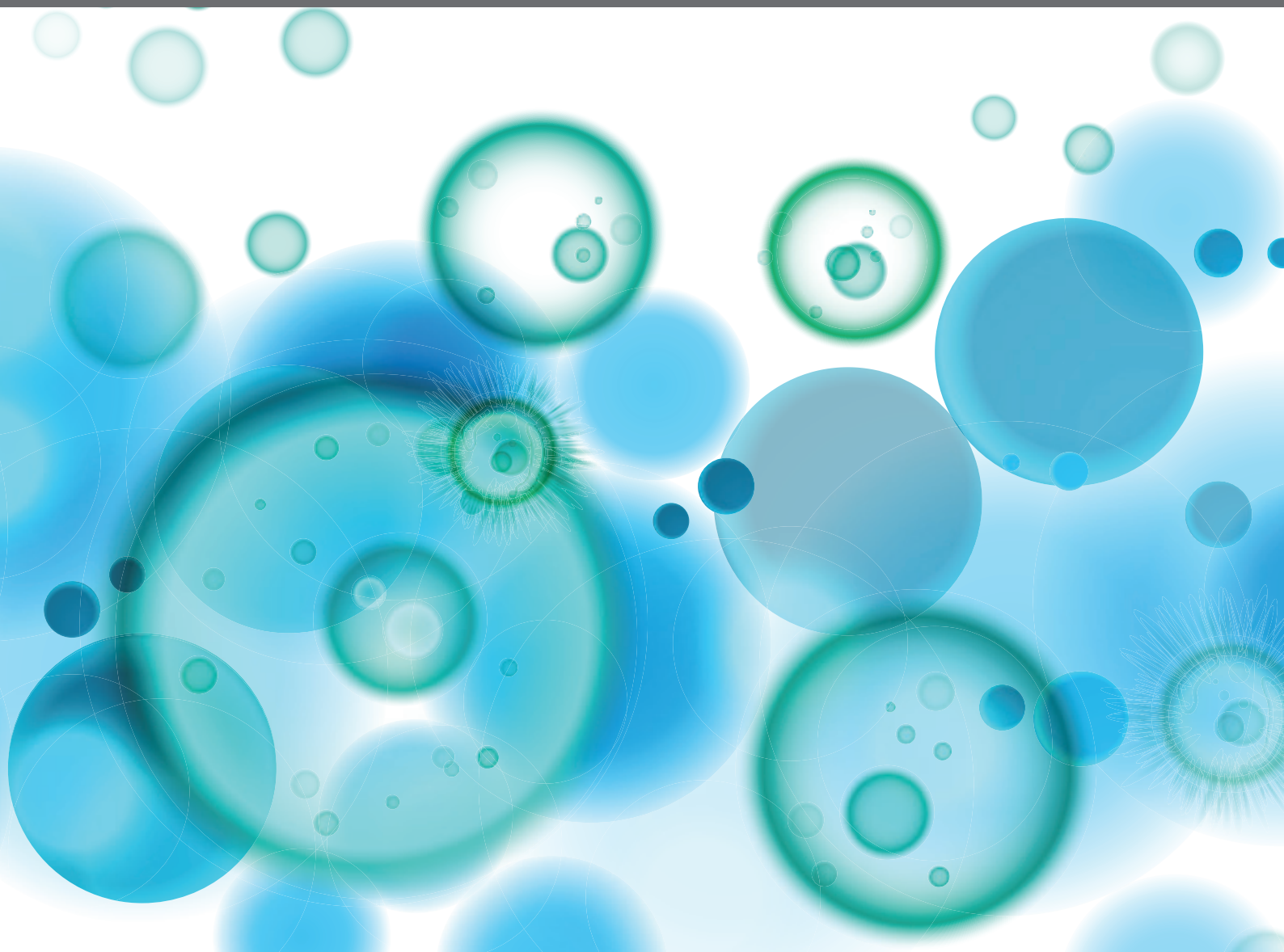


THE B-SIDE OF B CELLS

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THE B-SIDE OF B CELLS

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Editorial: The B-Side of B Cells

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Keywords: B cells, IgM natural antibodies, marginal zone (MZ) B cells, IgA, tertiary lymphoid structure, bloodborne infections

Editorial on the Research Topic

The B-Side of B Cells

B cells play a pivotal role in the humoral immune response by secreting highly diverse but specific antibodies and recently many other B cell functions with important implications on the functional homeostasis of the immune system have been studied.

In this Research Topic we collected new data on the many non-conventional roles of B cells in health and in different disease settings.

Early B cell development and cell lineage commitment occurs in the fetal liver and continue in the bone marrow throughout life after birth. B cells can be subdivided into two main groups (1, 2). B1 cells produce polyreactive “natural” antibodies and are found primarily in the peritoneal and pleural cavities, while B-2 cells produce antigen-induced antibodies in secondary lymphoid organs. Immunoglobulin M (IgM) is the first antibody isotype expressed during development of both cell populations and forms the first humoral B cell receptor (BCR) on the surface of naive B cells. It has previously been assumed that induced IgM antibodies are short-lived and that the corresponding B cells class switch to more effective antibody isotypes. However, recent evidence suggests that IgM antibodies can be produced by long-lived plasma cells and possess memory phenotype with diverse antibody repertoire. How IgM structure and BCR signaling directs B cell development and their responses during infectious and non-communicable diseases is comprehensively reviewed in this issue by Jones et al. Deeper mechanistic insight into fetal B cell development and how it changes in postnatal life is essential for a detailed understanding of the role of B cells during the early onset and progression of disease.

B-cell acute lymphoblastic leukemia (B-ALL) is one of the most common cancers in children, with many of the leukemia-initiating events originating *in utero*. It is likely that the biology of B-ALL, including leukemia initiation, maintenance and progression depends on the developmental stage and type of B-lymphoid cell in which it originates. B-ALL is associated with multiple chromosomal translocations that often occur in the fetus and have been differentially associated with poor prognosis and response to treatment. Whether these differences are associated with their emergence in fetal progenitors which express genetic programs that favor self-renewal and proliferation has long been a matter of speculation and driven largely by observations in murine models. The advent of single cell sequencing technology has allowed the transcriptome of

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hematopoietic cells to be investigated in unprecedented detail. In the mini-review by Jackson et al. the central hypothesis of leukemogenesis is addressed in infant and fetal B cells leukemias which likely result from patterns of genes expressed at the corresponding stages of development. The authors draw their conclusions from recent advances in human fetal lymphoid and B cell progenitors, relevant to understand infant/childhood leukemia, as well as to settle emerging questions in the field (Jackson et al.).

Besides the well-known protective function against invading pathogens, B cells contribute to cellular immunity and regulate or enhance the immune response as antigen presenting cells or effector cells. Many studies have recently attempted to categorize B cells, similar to T cells, into “regulatory” or “effector”, according to their cytokine production profile (3). The homeostasis between regulatory and effector compartments and crosstalk between different cell types are the conditions *sine qua non* for a functional and effective immune response. These multifaceted functions of B cells are currently deeply being investigated in health and diseases that include not only canonical immunological conditions, such as autoimmunity or immunodeficiencies, but also neoplastic, metabolic and neurologic disorders.

In the review by Willsmore et al. the authors discuss the role of B cells in tumor immunity focusing at the melanoma model and how the in deep characterization of B cell subset infiltrating the tumor or generating tertiary lymphoid structures (TLS) is, potentially, a tool to predict not only the response to immune checkpoint inhibitor (CPI) therapy but also tumor progression and metastasization. In fact, whereas infiltrates of regulatory B (Breg) cells may be an indicator of a negative prognosis, intra-tumoral TLS often leads to positive outcome. Generation of anti-tumor specific IgG1 immune responses in TLS potentiates anti-tumor antibody dependent cell-mediated cytotoxic (ADCC) reactions against the tumor by recruiting natural killer cells, cytotoxic T cells, monocytes/macrophages and neutrophils. Although the study of B cell biology in the tumor context is of extreme importance, the key issue that remains is the identification of the factors, present in the tumor microenvironment, that govern B cell fate and thus tumor persistence/survival.

During bacterial invasion the spleen, and particularly marginal zone (MZ) B cells, are key players in controlling blood borne disease by providing the initial round of antibodies. After a bloodborne bacterial infection, neutrophils promptly migrate to the MZ. Lo et al. demonstrated that MZ B cell-deficient mice are more susceptible to systemic *Staphylococcus aureus* infection than wildtype mice. In their article they showed that in the initial phase of infection MZ B cells are able to recruit neutrophils into the marginal zone area in an IL-6/CXCL1/CXCL2 dependent way. The generation of this intimate relation between neutrophils and MZ B cells is crucial for pathogen clearance (Lo et al.).

Interesting to note that same pathogens are able to subvert immune response by means not always clear. As described by Parihar et al., one example is that of *Mycobacterium tuberculosis* bacilli, where formation of tuberculous granulomas are induced, to which B cells actively participate, resulting in persistent infection. The noxious behavior of B cells, in this infection, is

partially dependent on IL-4R α signaling. In fact, mice caring IL-4R α deficient B cells showed reduced lung pathology to *M. tuberculosis* triggered by the increase, in the lungs, of macrophage pro-inflammatory responses and killing effector functions, and by the local production *M. tuberculosis* specific neutralizing IgA antibodies (Parihar et al.). Translation of this observation into humans will be possible upon the study of B cells isolated from the lungs of infected people.

Hepatitis B virus (HBV) is a non-cytopathic virus, which means that liver damage occurs primarily through the host immune response. Knowledge of the role of B cells has focused primarily on their antibody secretion during the acute phases of the disease. However, recent evidence suggests that they also regulate the immune response beyond antibody secretion (Cai and Yin). The importance of B cells in the immunopathology of a chronic disease progression has long been ignored but has recently gained renewed interest. For example, treatment with the anti-CD20 monoclonal antibody (rituximab) is known to reactivate HBV replication, which can lead to hepatic relapses even in patients with cleared infection (4). In this issue, van Hees et al. investigated the extent to which B-cell activity is related to the different phase of hepatitis B (CHB) infection (5). They profiled the transcriptome of peripheral and intrahepatic B cells between the four clinical phases of CHB infection and healthy controls. Their results showed an important difference between the transcriptomes of intrahepatic and peripheral B cells during HBeAg seroconversion and active regulation of B cell signaling in the liver. The identified unique transcriptome signatures of peripheral and hepatic B cells provide a good resource for studying the microenvironment-dependent effects on B cell immune status.

The transmembrane activator and CAML interactor (TACI) is a receptor encoded by the gene *TNFRSF13B*, and it is crucial for B-cell differentiation and plasma cell survival. Mutations of this gene are often found in common variable immunodeficiency (CVID) and in IgA -deficiency. However, the vast majority of individuals with mutations in *TNFRSF13B* are healthy, with this gene being among the 5% most polymorphic genes in humans. In their review, Cascalho and Platt hypothesize that *TNFRSF13B* diversity might promote innate and adaptive B cell responses.

The role of the immune system in different metabolic disorders such as atherosclerosis and obesity is now emerging as a new field of research with interesting implication in the treatment strategy for these disorders. B cell immunity has been shown to have a particularly important role in atherosclerotic plaque formation and the group of Coleen A. McNamara significantly contributed to the advancement of knowledge in this field (6, 7). In this Research Topic her group shows that chemokine receptor 6 (CCR6) enhances B-1 cell number and IgM secretion in perivascular adipose tissue to provide atheroprotection in mice and demonstrates that in humans, expression of CCR6 on a putative B-1 cell population is significantly reduced in patients with a high degree of coronary artery disease, suggesting that B-1 cell-specific augmentation of CCR6 expression may be a potential therapeutic approach.

The Frasca and Blomberg group analyzes an interesting pro-inflammatory memory B cell subset, called double negative B cells, in patients with obesity and show that these cells are characterized by chronic immune activation, secrete autoantibodies and express the transcription factor T-bet (Frasca et al.). These cells resemble a B cell population found in aged female mice (aged B cells, ABCs) that seem to contribute to a generalized proinflammatory milieu characterizing basal inflammatory states associated with age (8).

Beyond the classical humoral immune responses, or the more familiar A-side, B cells play multiple roles, some of which have been discussed in this Research Topic. We believe that a deeper engagement in the study of the multifaceted functions of B cells as enhancers and regulators of immunity might shed light in the pathogenesis of, not only immune-mediated processes but also metabolic and tumoral diseases, optimizing B cell targeting therapies in different clinical settings.

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MMR conceptualized the idea of the topic, wrote and revised the manuscript. AA, AC, SG and MV wrote and revised the manuscript. All authors contributed to the article and approved the submitted version.

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Therapeutic Cytokine Inhibition Modulates Activation and Homing Receptors of Peripheral Memory B Cell Subsets in Rheumatoid Arthritis Patients

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Memory B cells have known to play an important role in the pathogenesis of rheumatoid arthritis (RA). With the emergence of B cell-targeted therapies, the modulation of memory B cells appears to be a key therapeutic target. Human peripheral memory B cells can be distinguished based on the phenotypic expression of CD27 and IgD, characterizing the three major B cell subpopulations: CD27+IgD+ pre-switch, CD27+IgD- post-switch, and CD27-IgD- double-negative memory B cells. We evaluated different memory cell populations for activation markers (CD95 and Ki-67) and chemokine receptors (CXCR3 and 4) expressing B cells in active RA, as well as under IL6-R blockade by tocilizumab (TCZ) and TNF- α blockade by adalimumab (ADA). Memory B cells were phenotypically analyzed from RA patients at baseline, week 12, and week 24 under TCZ or ADA treatment, respectively. Using flow cytometry, surface expression of CD95, intracellular Ki-67, and surface expressions of CXCR3 and CXCR4 were determined. Compared with healthy donors ($n = 40$), the phenotypic analysis of RA patients ($n = 80$) demonstrated that all three types of memory B cells were activated in RA patients. Surface and intracellular staining of B cells showed a significantly higher percentage of CD95+ ($p < 0.0001$) and Ki-67+ ($p < 0.0001$) cells, with numerically altered CXCR3+ and CXCR4+ cells in RA. CD95 and Ki-67 expressions were highest in post-switch memory B cells, whereas CD19+CXCR3+ and CD19+CXCR4+ expressing cells were substantially higher in the pre-switch compartment. In all subsets of the memory B cells, *in vivo* IL-6R, and TNF- α blockade significantly reduced the enhanced expressions of CD95 and Ki-67. Based on our findings, we conclude that the three major peripheral memory B cell populations, pre-, post-switch, and double-negative B cells, are activated in RA, demonstrating enhanced CD95 and Ki-67 expressions, and varied expression of CXCR3 and CXCR4 chemokine receptors when compared with healthy individuals. This activation can be efficaciously modulated under cytokine inhibition *in vivo*.

Keywords: B cells, inflammation, adalimumab, tocilizumab (IL-6 inhibitor), memory B cells, rheumatoid arthritis

INTRODUCTION

Rheumatoid arthritis (RA) is an inflammatory systemic autoimmune disease characterized by polyarthritis with swelling, pain, inflammation, and progressing destruction of joints. It affects approximately 1% of the population worldwide. RA causes considerable morbidity, diminishes the life quality, and increases mortality with increasing age (1, 2). RA is influenced by environmental and genetic factors, with obesity, diet, smoking, and microbial infections are known to induce RA in genetically susceptible individuals (3, 4). Inflammation of joints is the hallmark of RA, comprising a syndrome of pain, stiffness, and symmetrical synovitis of diarthrodial joints. Furthermore, systemic inflammation targets other organs, with substantial comorbidities observed in neurological, cardiovascular, and metabolic systems in this inflammatory joint disease (5, 6).

The key role of B cells has been appreciated since the discovery of the rheumatoid factor (RF). Along with anti-cyclic-citrullinated peptide autoantibodies, the RF serves as a disease, as well as severity biomarker (7). Patients with RA show a heterogeneous modulation of the B cell compartment, particularly with an increased frequency of memory B cells (8, 9). Enhanced B cell activity has been proposed in the pathogenesis of RA, along with different pro-inflammatory cytokines such as interleukin 6 (IL-6) and tumor necrosis factor- α (TNF- α) critically involved in chronic inflammation. With a growing understanding of mechanistic pathways regarding B cell involvement in self-destruction during autoimmune diseases, there is strong evidence suggesting that B cells play a central role in the pathogenesis of several autoimmune diseases (10–12). Reportedly, a murine model of multiple sclerosis has reported that IL-6 producing B cells enhance T cell stimulation, including IL-17 polarization (13). IL-6 is a frontier cytokine in the induction of inflammation and generation of acute phase reactions, as well as regulation of immune responses. It is a multifunctional cytokine acting as a stimulator of B cells and was formerly described as a late-acting B cell differentiation factor for antibody-forming cells and germinal center reactions. Overproduction of IL-6 has been reported in several inflammatory autoimmune diseases, including RA, systemic lupus erythematosus, and systemic juvenile idiopathic arthritis (14, 15). Elevated IL-6 levels have also been linked with disease activity and progression in RA (16). TNF- α is a key pathogenic cytokine, playing a central role in RA through the activation of a cytokine cascade driving the inflammation and tissue damage. TNF- α is produced by various cell types, including lymphocytes (B and T cells), monocytes, macrophages, dendritic cells, synovial fibroblasts, mast cells, and natural killer cells (17–19). Along with IL-1 β , TNF- α up-regulates RANKL (receptor activator of nuclear factor kappa-B ligand) and promotes osteoclast differentiation and bone resorption (20, 21). Serum levels of TNF- α have been shown to negatively correlate with B cell functions. Furthermore, an increased plasma level of TNF- α can induce TNF- α production from unstimulated B cells without antigenic stimulation, resulting in B cells possessing a pre-activated phenotype which renders them incapable of normal functions (22, 23).

Biological agents targeting key pro-inflammatory cytokines, including IL-6 (24, 25), and TNF- α , have substantially advanced in the treatment of autoimmune disorders (26). Tocilizumab (TCZ) is a humanized recombinant IgG1 monoclonal antibody that binds to the soluble and membrane-bound IL-6 receptor (27, 28). Adalimumab (ADA) is a fully human monoclonal antibody binding TNF, approved for the treatment of RA, either alone or in combination with disease-modifying antirheumatic drugs (DMARDs) demonstrating substantial experience in terms of efficacy and safety (29).

Enhanced B cell activity, particularly memory B cells, has drawn interest in evaluating the therapeutic response to biologics. B cell activation and its modulation through cytokine inhibition therapies have not been thoroughly investigated. Therefore, in this study, we aimed to explore the impact of distinct cytokine inhibitions on the activation status of B cell subpopulations. Hence, we analyzed the activation status and chemokine receptors expressed in different B cell compartments in RA during *in vivo* IL-6R (tocilizumab) and TNF- α (adalimumab) inhibition. Our results suggested that chronic inflammation leads to changes in chemokine receptor expression on peripheral blood B cells. This activation can be successfully modulated using cytokine inhibition therapies.

MATERIALS AND METHODS

Patients

In total, 80 patients with RA, with a median age of 53 years (range 35–73 years), and 40 age-matched healthy donors (HD) were selected in this study. These patients presented with active RA and were regarded as inadequate responders to classical treatment with conventional synthetic DMARD (csDMARD). These patients demonstrated a median disease duration of 8 years (range 2–28), and 73% were female. Patients were considered eligible for study participation if they met the revised 1987 criteria of the American College of Rheumatology (ACR) for RA classification or the 2010 ACR/European League Against Rheumatism (EULAR) classification criteria (30). The study protocol was approved by the ethics committee of the University Hospital, Würzburg, Germany, and was carried out in accordance with the Declaration of Helsinki and Good Clinical Practice. Written informed consent was obtained from all patients. Human material was stored according to standards of the Interdisciplinary Bank of Biomaterials and Data Würzburg at the University of Würzburg (see: www.ibdw.uk-wuerzburg.de). The enrolled patients ($n = 60$) were administered 8 mg/kg TCZ every 4 weeks as a 60-min infusion in combination with methotrexate (MTX). In parallel, for TNF- α inhibition, 20 patients were administered ADA at a dose of 40 mg every 2 weeks in combination with MTX. The primary endpoint was set at 12 weeks, with an extension period to 24 weeks for both TCZ and ADA.

Clinical Assessments and Evaluation of Efficacy

Demographic and clinical characteristics of patients were regularly monitored by measuring Disease Activity Score 28

(DAS28), RF levels, C-reactive protein (CRP) levels, and erythrocyte sedimentation rate (ESR) values. Before therapy, DAS28 scores for TCZ treated patients were 5.16 ± 1.31 (mean \pm SD) with 95% CI (4.75–5.79) and of ADA treated patients were 4.78 ± 0.9 (mean \pm SD) with 95% CI (3.99–5.57) before therapy. Furthermore, CRP levels were similar in TCZ treated (0.59 ± 0.09 mg/dl) and ADA treated (0.88 ± 0.4 mg/dl) patients before therapy. **Table 1** summarizes the clinical characteristics of patients receiving TCZ and ADA therapy. During treatment, DAS28 declined significantly at week 12 and week 24 ($p < 0.0001$), respectively. After the first infusion, inflammatory parameters, CRP and ESR, declined significantly,

TABLE 1 | Patients characteristics and clinical evaluation of effectiveness.

	Baseline	Week 12	Week 24
Age, Median (range) years	53 (35–73)		
% of female	73%		
Disease duration, mean (range) years	8 (2–28)		
RF positive	59		
ACPA positive	55		
RF positive /ACPA positive	55		
RF positive/ACPA negative	11		
RF negative/ ACPA negative	14		
Concomitant DMARD (patients)	60 TCZ, 20 ADA		
Concomitant Steroid (patients)	36 TCZ, 11 ADA		
Number of Previous DMARD	3 (Methotrexate, Leflunomide, Azathioprine)		
Patients with Rituximab therapy	0		
Patients completed the TCZ study	60	58	53
Patients completed the ADA study	20	20	19
Tocilizumab (n = 60)	n = 60	n = 58	n = 53
DAS28 score (mean \pm SD)	5.16 ± 1.31	$3.22 \pm 1.31\psi$	$2.65 \pm 1.22\psi$
CRP, mg/dl (mean \pm SEM)	0.59 ± 0.09	$0.14 \pm 0.05\psi$	$0.08 \pm 0.03\psi$
ESR, mm/hour (mean \pm SEM)	29.41 ± 3.15	$9.06 \pm 1.30\psi$	$7.11 \pm 0.84\psi$
Patient's VAS. (mean \pm SEM)	58.21 ± 4.16	$46.97 \pm 4.21\psi$	$33.21 \pm 4.74\psi$
Adalimumab (n = 20)	n = 20	n = 20	n = 19
DAS28 score (mean \pm SD)	4.78 ± 0.9	$2.43 \pm 0.71\psi$	$1.91 \pm 0.61\psi$
CRP, mg/dl (mean \pm SEM)	0.88 ± 0.4	$0.25 \pm 0.05\psi$	$0.12 \pm 0.04\psi$
ESR, mm/hour (mean \pm SEM)	26.67 ± 6.1	$12.86 \pm 3.3\psi$	$8.57 \pm 1.7\psi$
Patient's VAS (mean \pm SEM)	57.14 ± 7.3	$26.43 \pm 7.5\psi$	$19.29 \pm 2.9\psi$

The primary endpoint of the study was a reduction in the Disease Activity Score in 28 joints (DAS28) at week 24. Except where indicated, values are the mean \pm SEM. RF, rheumatoid factor; ACPA, anti-citrullinated peptide/protein antibody; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate; and VAS, visual analog scale (100-mm). ψ $P < 0.05$ vs baseline.

and stayed negative throughout the subsequent study period. No serious adverse events or serious infections were observed during the study. Similar effects were observed in patients treated with either TCZ or ADA.

Flow Cytometry

EDTA anticoagulated peripheral blood was used for phenotype analysis by flow cytometry. In detail, 200 μ L of whole blood was lysed in 2 mL of VersaLyse at room temperature for 15 min. Then, cells were washed twice with FACS buffer, followed by resuspension in the appropriate antibody preparation and incubated for 20 min at 4°C. For intracellular staining of Ki-67 expression, we used permeabilization and fixation method using eBioscience perm/fix kit (ebioscience cat no. 88-8824-00). The following monoclonal antihuman antibodies were used in appropriate concentrations to stain cells: anti-CD45-Krome orange (Beckman Coulter, cat no. 96416), anti-CD14-PC5.5 (Beckman Coulter, cat no. A70204), anti-CD19-APC-Alexa fluor 750 (Beckman Coulter, cat no. A94681), anti-CD27-PE (BD Pharmingen, cat no. 555441), anti-CXCR3-APC (BD Pharmingen, cat no. 561732), anti-CXCR4-APC (BD Pharmingen, cat no. 560936), anti-CD95-APC (BD Pharmingen, cat no. 558814), anti-ki-67-PE (Ebioscience Cat no. 12-5699-42), and anti- IgD-FITC (BD Pharmingen, cat no. 555778). After staining, the cells were analyzed by 10-color flow cytometry (Navios, Beckman Coulter), with at least 20,000 CD19+ events collected for each analysis.

Statistical Analysis

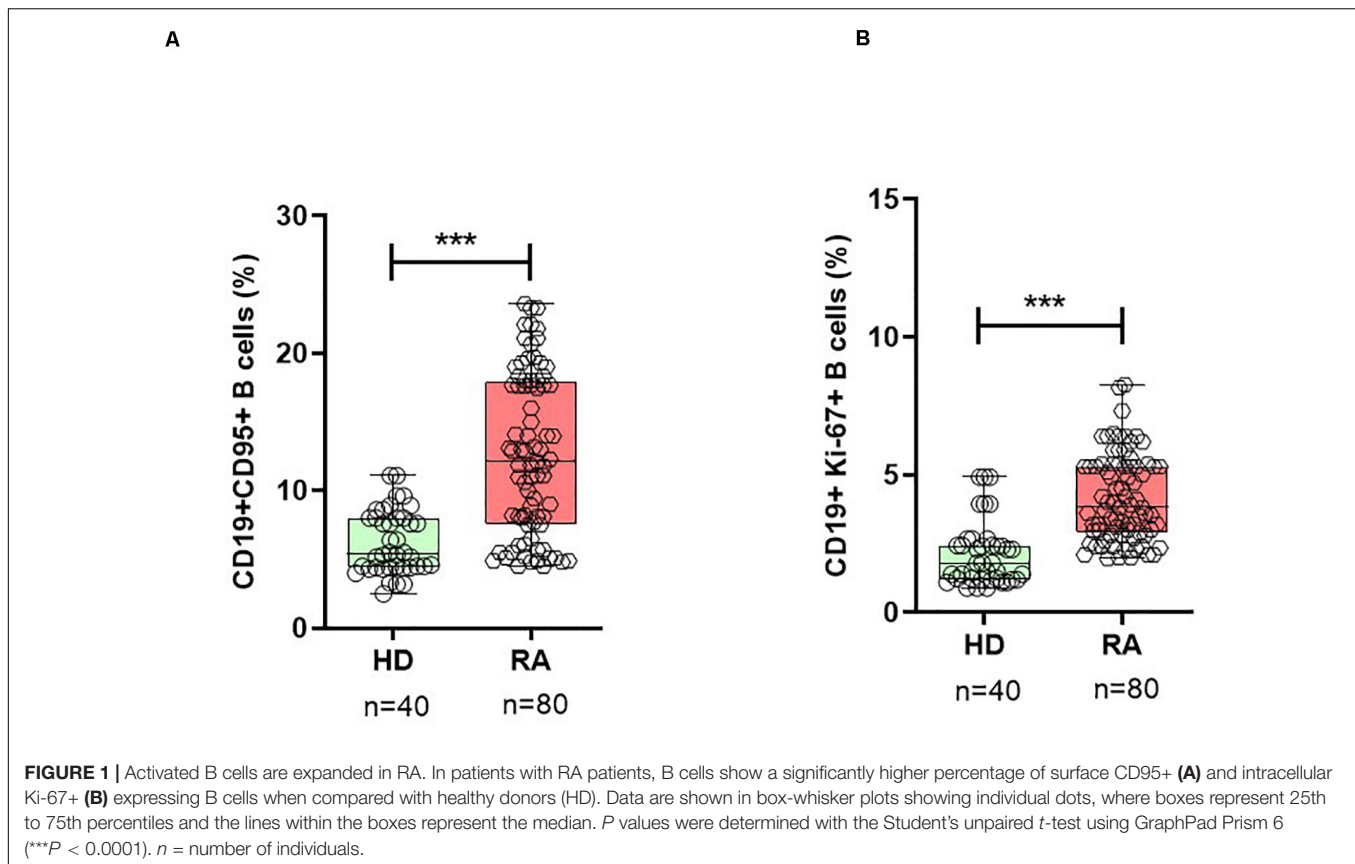
Statistical analysis was performed using GraphPad Prism 7.0 (GraphPad Software, San Diego, CA, United States) and SPSS version 22 (IBM Corp., Armonk, NY, United States). The values were compared with baseline levels using the Mann–Whitney U test and the nonparametric Wilcoxon matched-pair test. Univariate logistic regression was performed to calculate the odds ratios and correlated using Pearson's r . All p -values ≤ 0.05 were considered statically significant. *** $p < 0.0001$, ** $p < 0.001$, and * $p < 0.01$.

RESULTS

High Prevalence of Activated B Cells in RA Patients

To evaluate the activation status and homeostatic proliferation of B cells during active RA, we analyzed the surface expression of CD95 and intracellular Ki-67 expression on B cell subsets. Patients with RA demonstrated a significantly high number of both CD19+CD95+ and CD19+Ki-67+ B cells when compared with HD (**Figure 1** and **Supplementary Figure 1**).

In patients with RA, the mean frequency of CD19+CD95+ on B cells was $12.7 \pm 0.6\%$ (mean \pm SEM) when compared with $6.3 \pm 0.4\%$ in HD ($p < 0.0001$). Based on the surface expression of IgD and CD27, human peripheral CD19+B cells can be divided into four subsets (31): mature naïve B cells (CD19+IgD+CD27-), pre-switch (CD19+IgD+CD27+),



post-switch (CD19+IgD-CD27+) conventional, and double-negative (DN; CD19+IgD-CD27-) largely “atypical” memory B cells (**Supplementary Figure 1**). Analysis of B cell subsets for CD19+CD95+ expressing cells showed that the post-switch subset presented the highest expression of CD95+ cells, followed by DN and pre-switch memory B cells, respectively, in RA patients (**Figure 2**). Compared with all three memory B cell subsets, the naïve B cell subset demonstrated significantly low CD95 surface expression (**Figure 2** and **Supplementary Figure 2**). In detail, the mean frequency of CD19+CD95+ expressing cells was as follows: in post-switch memory (CD19+IgD-CD27+CD95+) cells ($p < 0.0001$, mean \pm SEM in RA = $38.9 \pm 1.5\%$ vs. HD = $18.6 \pm 1.4\%$); in pre-switch memory (CD19+IgD+CD27+CD95+) B cells ($p < 0.0001$, RA = $19.7 \pm 1.2\%$ vs. HD = $9.2 \pm 0.96\%$); in DN B cells ($p < 0.0001$, RA = $22.1 \pm 1.0\%$ vs. HD = $12.0 \pm 0.9\%$); and in naïve B (CD19+IgD+CD27-CD95+) cells ($p = 0.018$, mean \pm SEM in RA = $1.3 \pm 0.1\%$ vs. HD = $0.86 \pm 0.1\%$).

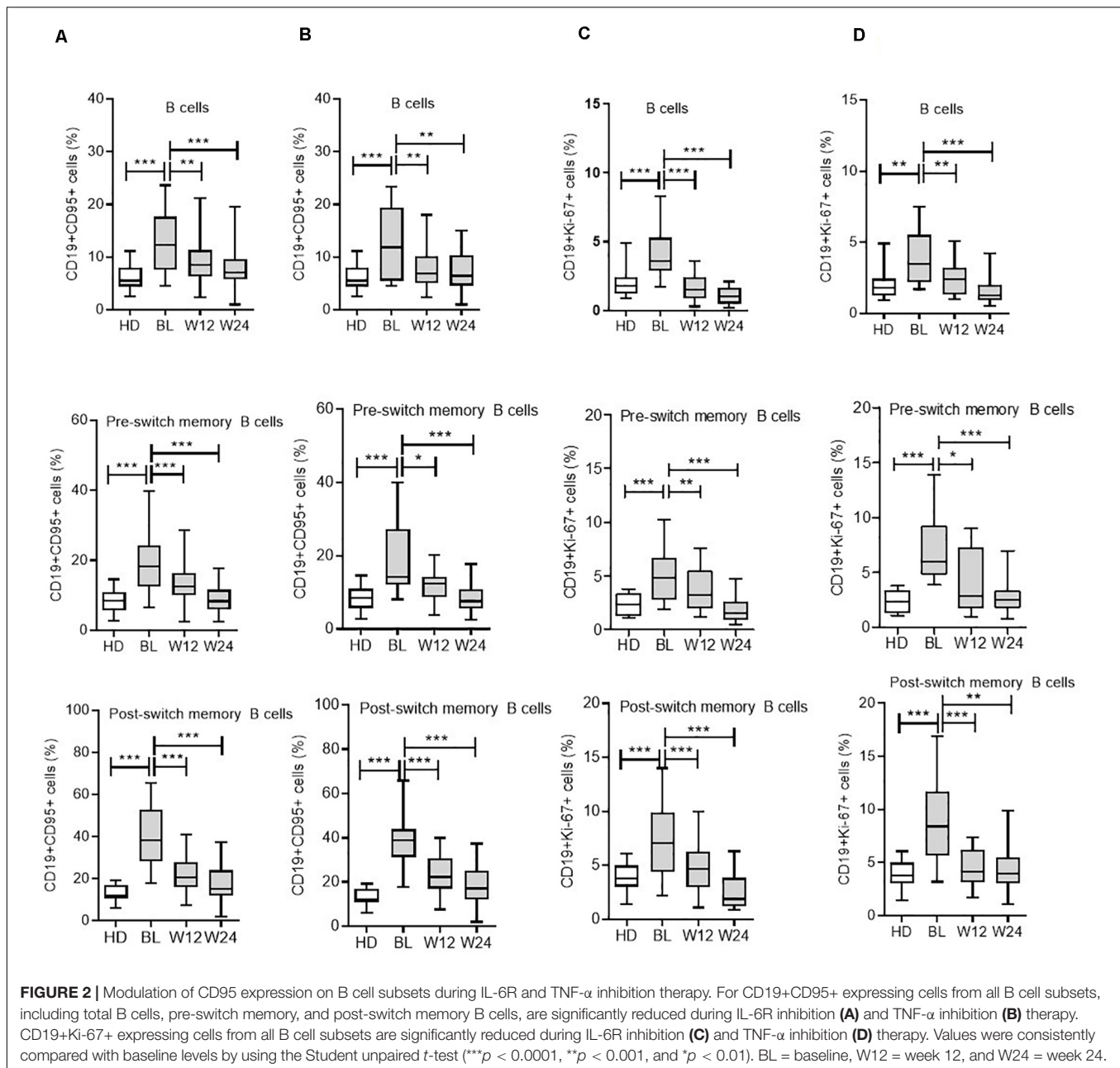
The analysis of intracellular Ki-67 expression on B cells (CD19+Ki-67+) demonstrated that patients with RA presented significantly higher expression (4.2 ± 0.2) when compared with HD (2.2 ± 0.2 ; $p < 0.0001$) in HD (**Figure 1B**). Ki-67 expressing cells were significantly higher in all three memory B cell subsets, except in naïve B cells. The highest Ki-67 expression was observed in post-switch memory (mean 7.8%) B cells (CD19+IgD-CD27+Ki-67+), followed by pre-switch memory (mean 5.3%) B cells, and DN memory B cells (mean 3.8%),

respectively, (**Figure 2C**). The expression of this marker was significantly higher in RA patients when compared with HD. In detail, post-switch memory B cells ($p = 0.013$, mean \pm SEM in RA = $7.8 \pm 0.6\%$ vs. HD = $4.9 \pm 0.7\%$); pre-switch memory (CD19+IgD+CD27+Ki-67+) B cells ($p = 0.0002$, RA = $5.3 \pm 0.5\%$ vs. HD = $2.2 \pm 0.3\%$); DN memory B (CD19+IgD-CD27-Ki-67+) cells ($p = 0.010$, RA = $3.8 \pm 0.3\%$ vs. HD = $2.5 \pm 0.3\%$), and in naïve B (CD19+IgD+CD27-Ki-67+) cells ($p = 0.89$, RA = $0.6 \pm 0.1\%$ vs. HD = $0.6 \pm 0.1\%$).

Activated B Cells Positively Correlate With Disease Activity

As activated B cells might reflect disease activity, we correlated CD95+ and Ki-67+ expressing B cells in RA patients. Interestingly, using linear Pearson's correlation, we observed a positive correlation between the DAS28 score and CD95 expression ($r^2 = 0.35$, $p = 0.0001$). Similarly, DAS28 was significantly correlated with Ki-67 expression ($r^2 = 0.35$, $p = 0.0001$; **Figure 3A**).

As the subset compositions of the B cell compartment may be influenced by disease activity, we assessed the potential correlation between DAS28 and the percentage of each B cell subset. Herein, we observed a positive correlation between DAS28 (**Figure 3B**) and post-switch memory B cells ($r^2 = 0.097$, $p = 0.049$). However, pre-switch memory B cells were negatively correlated ($r^2 = 0.197$, $p = 0.02$), with no correlation observed



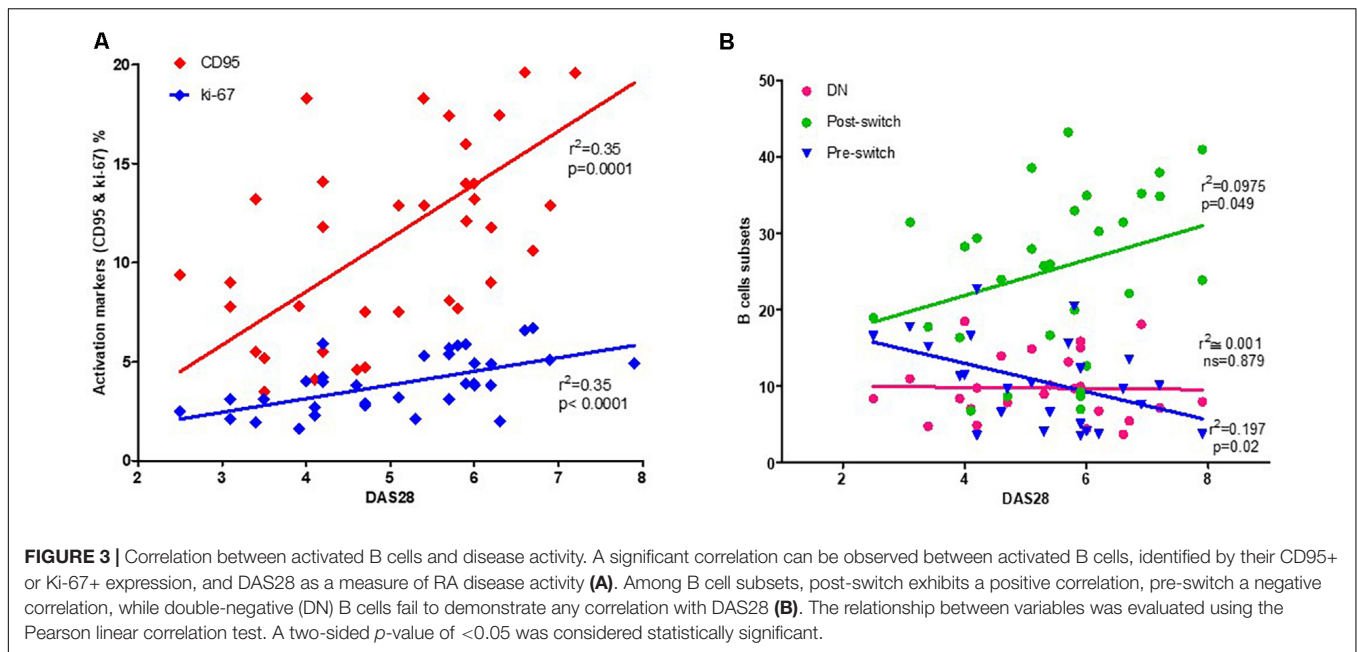
between DAS28 and DN B cells ($r^2 = 0.001$, $p = 0.879$). During treatment, non-significant correlation was observed at W12 and W24 (Supplementary Figure 3).

Modulation of CD95 and Ki-67 Expressions on B Cells Following IL6 Receptor Inhibition Induced by Tocilizumab

Subsequently, we determined whether therapy using an anti-IL-6R inhibitor (TCZ) can modulate CD95+ and Ki-67+ expressing B cells, as well as their subsets. Interestingly, both CD95 and Ki-67 expressions were significantly reduced in the B cell

compartment during cytokine inhibition with TCZ (Figure 2C and Supplementary Figure 2). In detail, during TCZ therapy, CD95+ expressing B cells were significantly reduced from $12.5 \pm 0.7\%$ (mean \pm SEM) to $9.5 \pm 0.7\%$ at week 12 ($p = 0.007$), and further reduced to $8.0 \pm 0.6\%$ at week 24 ($p < 0.0001$). Additionally, an analysis of B cell subsets demonstrated a significant reduction in CD19+CD95+ expressing cells in all B cell subsets investigated (Figure 2A).

Similarly, Ki-67+ expressing B cells were significantly reduced from $4.1 \pm 0.3\%$ (mean \pm SEM) to $1.7 \pm 0.2\%$ at week 12 ($p < 0.0001$) and $1.0 \pm 0.1\%$ at week 24 ($p < 0.0001$). This reduction in CD19+Ki-67+ cells following IL-6R inhibition was observed across all B cell subsets (Figure 2C).



Modulation of CD95 and Ki-67 Expression by B Cells During TNF- α Blockade

To compare the effects of different cytokine inhibition therapies on the activation state of B cells, we analyzed CD19+CD95+ and CD19+Ki-67+ expressing cells during TNF- α blockade using ADA. Similar to TCZ, following ADA therapy, CD95 expression on B cells was significantly reduced (Figure 2B) from $13.1 \pm 1.6\%$ (mean \pm SEM) to $7.8 \pm 0.8\%$ at week 12 ($p = 0.004$) and $7.5 \pm 0.8\%$ at week 24 ($p = 0.002$). Furthermore, the B cell subset analysis presented corresponding reduced CD95+ cells (Figure 2B).

During ADA treatment, analysis of CD19+Ki-67+ expressing B cells revealed reduced expression of Ki-67, from $4.0 \pm 0.5\%$ (mean \pm SEM) to $2.5 \pm 0.3\%$ at week 12 ($p = 0.02$) and $1.5 \pm 0.3\%$ at week 24 ($p < 0.0001$). Likewise, the intracellular expression of Ki-67+ cells, an indicator of proliferation, was significantly reduced in all B cell subsets during ADA treatment (Figure 2D). These findings indicate that ADA can modulate the activation status of B cells in RA.

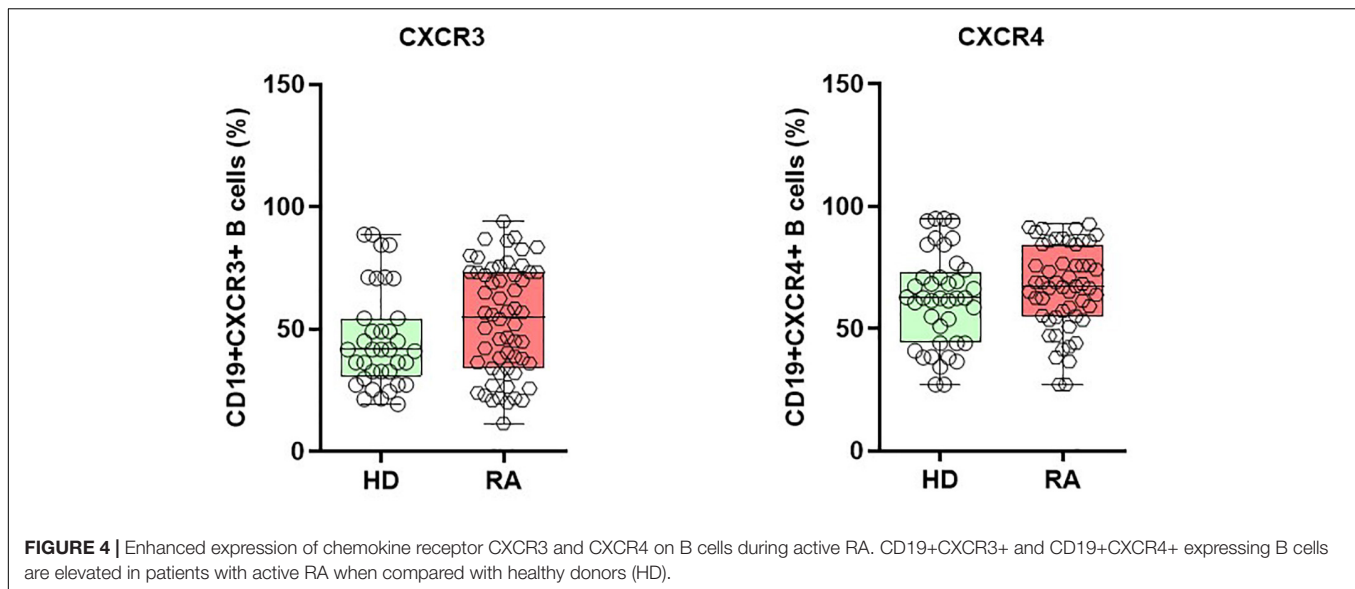
Chemokine Receptor CXCR3 and 4 Expressions by B Cells and Their Modulation Using Biologics

Under pathological conditions, chemokines are known to direct lymphocytes into inflamed tissues by interacting with chemokine receptors. Therefore, we questioned if, and how, the expression of chemokine receptors, CXCR3, and CXCR4, on B cells is affected in patients with active RA. In both CD19+CXCR3+ and CD19+CXCR4+ chemokine receptors expressing B cells, we observed a non-significant numerical increase in RA patients when compared with HD (Figure 4). In detail, CD19+CXCR3+ expressing B cells comprised $53.3 \pm 2.9\%$ (mean \pm SEM)

in RA and $47.1 \pm 3.7\%$ in HD ($p = 0.190$), whereas the CD19+CXCR4+ B cells were $66.3 \pm 2.5\%$ in RA and $61.3 \pm 3.4\%$ in HD ($p = 0.24$). Among the different B cell subsets, naïve B (CD19+IgD+CD27-) cells numerically presented the highest percentage of CD19+CXCR3+, as well as CD19+CXCR4+ cells, followed by pre-switch memory B cells, DN B cells, and post-switch memory B cells, respectively.

Interestingly, we observed significant alternations in CXCR3 chemokine receptors expressing B cells during cytokine inhibition therapies. In detail, during TCZ treatment, the mean CD19+CXCR3+ expressing cells increased from a baseline value of 52.3 ± 3.1 to $63.2 \pm 2.7\%$ ($p = 0.010$) at week 12 and $65.3 \pm 3.6\%$ ($p = 0.011$) at week 24. A similar pattern was observed in all B cell subsets during TCZ treatment (Figure 5A and Supplementary Figure 4). Similarly, during ADA treatment, the expression pattern of CD19+CXCR3+ B cells reduced from $68.2 \pm 4.6\%$ at baseline to $54.2 \pm 3.3\%$ at week 12 ($p = 0.019$), with subsequent elevation to $72.2 \pm 3.1\%$ ($p = 0.47$) at week 24 for total B cells. Similar significant changes were observed in the expression pattern of CD19+CXCR3+ cells at week 12; these changes were non-significant at week 24 for all B cell subsets (Figure 5B).

Contrary to CXCR3 modulation during cytokine inhibition therapies, CD19+CXCR4+ receptor expressing B cells presented a reduced or unchanged pattern following TCZ or ADA treatment. Among B cell subsets, the CD19+CXCR4+ expressing cells were significantly decreased in post-switch memory B cells during both treatments, with a significant decrease observed in DN B cells after ADA treatment at week 12. However, these differences were mild at week 24 (Figures 5C,D and Supplementary Figure 4). These findings suggested that the CD19+CXCR3+ and CD19+CXCR4+ expressing B cells present distinct patterns during active RA disease, which were differentially modulated during cytokine inhibition therapies.



DISCUSSION

The use of monoclonal antibodies against cytokines has opened new therapeutic modalities for patients with RA. Currently, specific biologics such as TNF- α inhibitors and IL-6 receptor antibodies are considered highly efficacious therapeutic agents in RA treatment. Immune cell monitoring may help assess these therapies to reach optimal responses (3, 32, 33). Therefore, the main objective of this study was to explore the impact of *in vivo* IL-6 or TNF- α inhibition on the activation status of B cells. Hence, we analyzed activation status and chemokine receptor expressions in different B cell subsets from RA patients during IL-6R (TCZ) and TNF- α (ADA) inhibition. Previous data from experimental arthritis have shown a gender-based differences in B cell signatures (34, 35), however, in our cohort, we did not observe any gender-biased variation in B cell subsets (data not shown). We longitudinally analyzed patients undergoing treatment with these biologics for 24 weeks. Additionally, we analyzed B cell activation and proliferation based on surface expression of CD95 and intracellular expression of Ki-67, extending our investigation to different B cell subsets and their modulation during cytokine inhibition. Our data revealed an activated phenotype in B cells, particularly in memory B cell subsets, including DN, pre-switch, and post-switch B cells in RA patients ($n = 80$) when compared with HD ($n = 40$) at baseline (Figures 1, 2). Compared with HD, these activation and proliferation markers of B cells (CD95 and Ki-67) were significantly higher in RA patients ($p < 0.0001$). Our data did not reveal any differences in B cell activation status in patients treated with TCZ or ADA along with either concomitant DMARD or concomitant steroid. Elevated B cell activation has been reported in autoimmune diseases like SLE and RA, where B cells are considered to play a role in pathogenesis (36, 37). Reportedly, a previous report has demonstrated that the expressions of CD95 and CD86 were up-regulated in the B cells of new-onset RA patients and positively correlated with DAS28 (37). Similar

reports in SLE have shown that the CD95 expression on B cells was increased in relation to their activation, and correlated with disease activity (38). Reportedly, SLE patients present higher CD95 expression in CD27+ B cells (39), and CD27-IgD- CD95+B cells were shown to be associated with active disease (40). In our patient cohort, disease activity, as determined by DAS28 and other inflammatory factors like ESR and CRP levels, was elevated. We observed a positive correlation between DAS28 and B cells expressing CD95 and Ki-67 (Figure 3). Under cytokine inhibition therapies using anti-IL6R and anti-TNF- α , the higher activity of total B cells and their subsets expressing CD95 and Ki-67 was significantly reduced, along with DAS28 score and other inflammatory factors (ESR, CRP levels; Table 1). In B cells, we observed a significant decline in the expression of surface CD95 and intracellular Ki-67 during TCZ (<0.0001) and ADA (<0.001) therapy. During both cytokine inhibition therapies, CD95 and Ki-67 expressions in all B cell memory subsets investigated in the present study were significantly reduced from baseline to week 12 and week 24 (Figure 2).

Among the B cell subsets, the post-switch memory B cells demonstrated the highest expressions of CD95 and Ki-67, followed by pre-switch and DN memory B cells. This implies that the overall B cell activation might largely depend on post-switch B cells (Figure 2). Previous reports have indicated that anti-TNF treatment influences the expression of the costimulatory molecule, CD86, as well as that of the inhibitory receptor, Fc γ receptor IIb (Fc γ RIIb) (41), on B cells. Furthermore, our data corroborate with previous reports showing a decreased expression of activation marker CD69, along with an increase in regulatory B cells after ADA (42) and TCZ treatments (14), indicating that cytokine inhibition therapy can normalize peripheral B cells. In this regard, recent functional investigations of RA, SLE, and Sjögren's B cells have identified that particularly memory B cells from patients in an anergic or post-activated status demonstrate reduced B cell receptor responsiveness and cytokine production (43). Most noteworthy and consistent

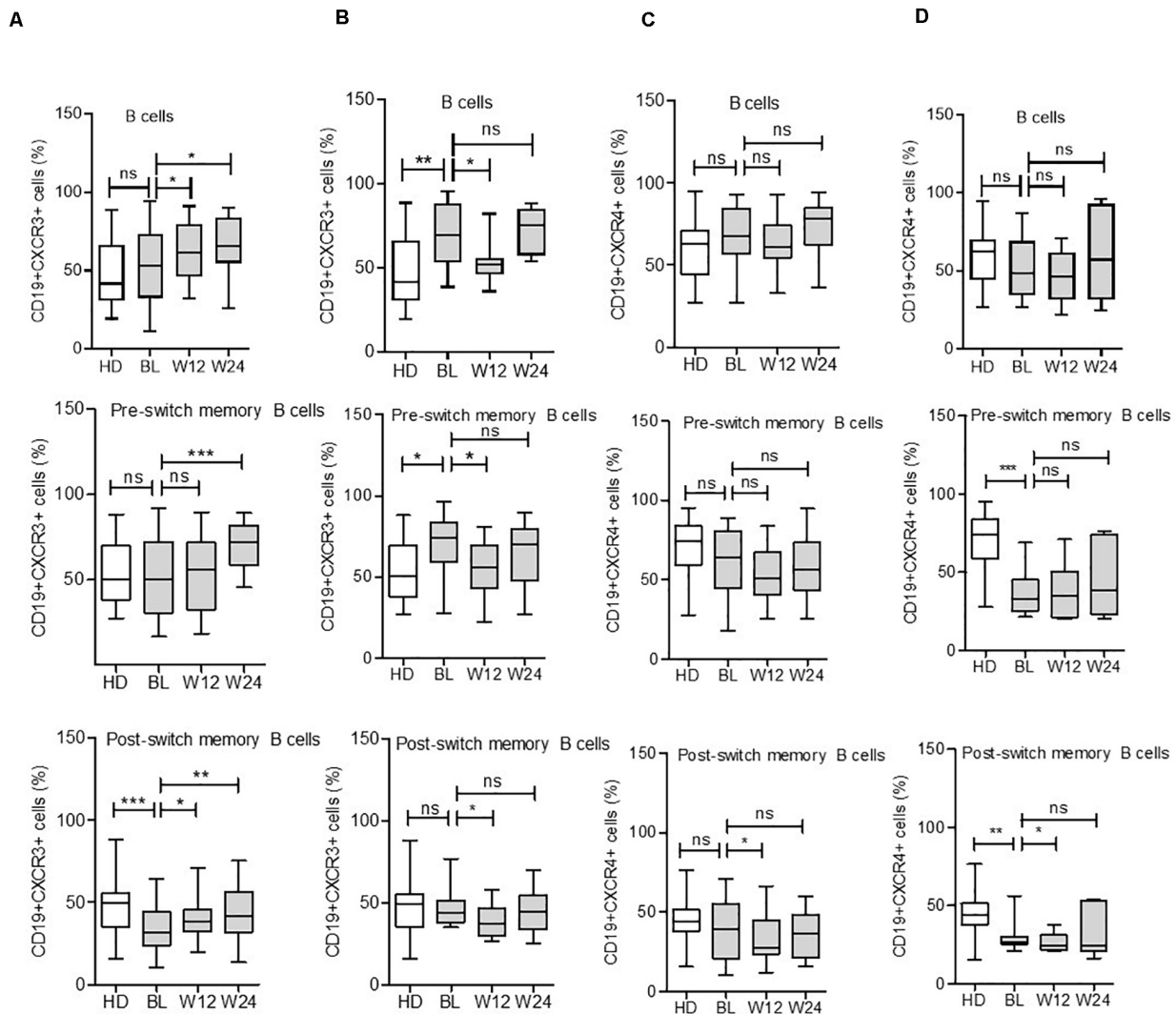


FIGURE 5 | Expression of CXCR3 and CXCR4 in B cell subsets during IL-6R and TNF inhibition therapy. CXCR3 expression on B cell subsets increases in some subsets during IL-6R inhibition (A) and decreases during TNF- α inhibition (B) therapy. CXCR4 expression on B cell subsets reduces in certain subsets during IL-6R inhibition (C) and TNF- α inhibition (D) therapy. Values were consistently compared with baseline levels using the Student unpaired *t*-test (***p* < 0.0001, ***p* < 0.001, and **p* < 0.01). BL = baseline, W12 = week 12, and W24 = week 24.

with the potential reversal of these functional impairments, TCZ treatment reportedly improves the capacity of cytokine production by B cells in RA (44). These findings suggest that anti-cytokine therapy in patients with RA results in detectable improvements of B cell functions, thus supporting the current findings.

Chemokines regulate cellular migration to various physiological and pathological processes via chemokine receptors, contributing to B cell migration, as well as their proliferation and cytokine production in RA (36). CXCR3 is a chemokine receptor for inflammatory chemokines, including CXCL9, 10, and 11 (45). Higher levels of CXCR3 expressing T cells have been documented in patients with RA and correlate with disease activity (46). CXCR3 knockout mice are reportedly resistant to inflammatory autoimmune disease (47). Compared

to HD, we observed a numeric increase in CD19+CXCR3+ expressing B cells in RA patients (Figure 4). Interestingly, CD19+CXCR3+ expressing B cells were present in naïve, as well as memory B cell subsets (Figure 5). During TCZ treatment, the B cell subset expression of CXCR3 increased, particularly in the post-switch memory compartment; however, during ADA therapy, CXCR3 expressing cells decreased significantly during the first 12 weeks and remained unaltered in later weeks. This data may indicate that cytokine therapies demonstrate differential effects on B cell subsets. As IFN- γ is involved in the induction of CXCR3 expression on B cells (45), we postulate that different cytokine inhibitions induce differential T cell responses, and IL-6R inhibition by TCZ might lead to Th1-biased immunomodulation. Interestingly, IFN- γ producing Th1 cells are elevated in RA patients during TCZ therapy (48),

whereas a decrease in Th1 cells has been documented during ADA treatment (49). Chemokine receptor CXCR4+ B cells demonstrated a higher expression tendency in RA patients when compared with HD (**Figure 4B**). CXCR4 is expressed by B cell subsets throughout the B cell ontogeny and its ligands. CXCL12 is broadly distributed in various tissues (50). CXCR4 is an essential homing receptor and is required for the normal accumulation of plasma cells, as well as for retaining developing B cells in the bone marrow (45, 51). Additionally, CXCR4 and its ligand, CXCL12, are reportedly involved in the pathogenesis of RA (52). Our data showed a marginal reduction in CD19+CXCR4+ B cells during week 12 of treatment; however, this modulation was not statistically significant. In both TCZ and ADA treatment, this reduction was not observed during week 24, indicating the minimal influence of anti-cytokine therapy on CD19+CXCR4+ B cells (**Figure 5**). A detailed analysis of B cell subsets for the expression of CXCR4 demonstrated the significant modulation on CD19+CXCR4+ post-switch memory B cells.

In conclusion, our study revealed a phenotype of activated B cell subsets, particularly in the post-switch B cell compartment, in patients with active RA, and its successful modulation during cytokine inhibition therapies. The higher expressions of surface CD95 and intracellular Ki-67 at baseline reflected the disease activity and are positively correlated with RA disease activity. The subtle change observed in the expressions of chemokine receptors, CXCR3 and CXCR4, indicates that the migratory and homing capacity of B cells might be altered during cytokine inhibition. Our data further contribute to knowledge regarding the therapeutic effects of cytokine inhibitors on peripheral B cells. The B cell activation status may be further explored as predictors of response during such therapies.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the ethics committee of the University Hospital,

Würzburg, Germany and was carried out in accordance with the Declaration of Helsinki and Good Clinical Practice. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

ZM, H-PT, and KM conceptualized the project. ZM, MS, and KM were involved in data acquisition and analysis. MS was involved in clinical studies and revising the manuscript. TD provided reagents and critically revised the manuscript. KM led the investigation and wrote the manuscript with the help of ZM and H-PT. All authors approved the final version to be published. H-PT and KM had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2020.572475/full#supplementary-material>

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Immunoglobulin M in Health and Diseases: How Far Have We Come and What Next?

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B lymphocytes are important in secreting antibodies that protect against invading pathogens such as viruses, bacteria, parasites, and also in mediating pathogenesis of allergic diseases and autoimmunity. B lymphocytes develop in the bone marrow and contain heavy and light chains, which upon ligation form an immunoglobulin M (IgM) B cell receptor (BCR) expressed on the surface of naïve immature B cells. Naïve B cells expressing either IgM or IgD isotypes are thought to play interchangeable functions in antibody responses to T cell-dependent and T cell-independent antigens. IgM short-lived plasma cells (SLPCs) and antigen-specific IgM memory B cells (MBCs-M) are critical in the first few days of infection, as well as long-term memory induced by vaccination, respectively. At mucosal surfaces, IgM is thought to play a critical part in promoting mucosal tolerance and shaping microbiota together with IgA. In this review, we explore how IgM structure and BCR signaling shapes B cell development, self and non-self-antigen-specific antibody responses, responses to infectious (such as viruses, parasites, and fungal) and non-communicable diseases (such as autoimmunity and allergic asthma). We also explore how metabolism could influence other B cell functions such as mucosal tolerance and class switching. Finally, we discuss some of the outstanding critical research questions in both experimental and clinical settings targeting IgM.

Keywords: immunoglobulin M (IgM), B cell development, short-lived plasma cell (SLPC), long-lived plasma cell (LLPC), memory B cell (MBC)

INTRODUCTION

IgM is the first antibody isotype expressed during B cell development and the first humoral antibody responder, conserved across all species from Zebrafish to humans (1). In cartilaginous and bony fish, IgM has been found to have crucial functions in host defense and tolerance (2). IgM can be divided into natural and antigen-induced IgM and can either be membrane bound IgM-type BCR or secreted IgM (3, 4). Natural IgM plays multiple roles in homeostasis including scavenging, B cell

tonic signals for B cell survival, lymphoid tissue architecture, and prevention of autoimmune diseases (5, 6). IgM is involved in clearance of debris, particles (below 2 μ M) and apoptotic cells through antibody dependent opsonization and phagocytosis by macrophages (7, 8). At mucosal sites both natural and antigen-induced IgM play a role in shaping healthy microbiota and their repertoire, although limited, is also shaped by microbiota (9, 10). Secreted IgM antigen-complexes can connect signals *via* unique and shared receptors, suggest a more pleiotropic role in homeostasis and disease states (11, 12).

Since the discovery of individuals with selective IgM deficiency, a lot has been learnt about IgM in various human diseases including autoimmune and infectious diseases (13, 14). Genetically conditioned mice which lack secreted or membrane bound IgM have underscored the importance of IgM in many infectious diseases. In this review, we highlight what is currently known about the role of IgM in B1 and B2 cell development, memory, and plasma cell generation, in and outside GCs. Lastly, we discuss experimental models using IgM-deficient mice and corroborating phenotypes observed in humans with selective IgM deficiency.

B CELL DEVELOPMENT

Naturally Occurring Immunoglobulin M B Cells (B1)

B1 cells develop in the yolk sac on embryonic day 9, before birth from a functional hematopoietic stem cell subset termed the common lymphoid progenitor, in the fetal liver and seed the peritoneal and pleural cavities (15–21). B1 cells are thought to be the main source of naturally occurring IgM, although there is controversy on the main contributing organ, with some studies suggesting bone marrow (BM) and spleen B1 cells as important sources (22). B1 cells are thought to lack specificity and affinity maturation similar to innate immune receptors and are referred to as innate-like B cells or unconventional (4, 16). The concept of non-specificity is somewhat nullified by the fact that B1 cells are polyreactive—they recognize polysaccharides found on the cell wall surfaces of a wide array of pathogens, but with exquisite specificity (23, 24). This specificity allows them to confer protection against pathogens bearing similar epitopes (discussed later). Furthermore, B1 cells are self-reactive and develop normally in the absence of foreign antigen stimulation, suggesting that their development is self-regulated *via* a mechanism of binding to glycosylated and oxidized mammalian molecules to prevent self-recognition (15, 20, 25). B cell receptor is intricately regulated by CD5 (Ly1) which enables self-antigen recognition and some level of specificity (Figure 1A) (20, 26).

The majority of B1 cells are found in the peritoneal cavity where they are self-renewing and undergo maintenance with the help from resident macrophages that secrete CXCL13 (27). Other sites such as spleen, lymph node, bone marrow, pericardium, and mucosal associated lymphoid tissue account for as little as 1% of B1 total pool (11, 22, 28, 29). The phenotype

of B1 cells varies depending on the compartment, with splenic B1 cells and peritoneal B1 cells displaying different antibody repertoire, gene expression, and secretion of IgM (16). In the peritoneal cavity, B1 cells can be identified by surface expression of CD19^{hi}, B220^{low}, CD43⁺ CD5⁺/CD5^{low/-}, CD23^{low}, CD11b⁺, whereas in other tissues, where they migrate after injury, they lose CD11b expression as they become plasma cells, making it difficult to differentiate them with B2 cells in these tissues (16, 26). B1 cells are divided into B1a (CD5⁺) and B1b (CD5⁻), with B1a cells accounting for the majority of the B1 cell population (16, 20, 25, 30, 31). While B1b cells can potentially develop from bone marrow progenitors, B1a cells cannot (30, 32). Both B1a and B1b cells display similar surface markers with the exception of CD5, which regulates B1a cell autoreactivity (16, 26).

Bone Marrow Derived Immunoglobulin M B Cells (B2)

Conventional B cells (B2) are derived from bone marrow after birth from a common lymphoid progenitor (CLP) and their commitment to B cell lineage is dependent on the BM microenvironment (25) (Figure 1B). B cell lymphopoiesis is a rather complex process. Here, we give a brief summary mainly to illustrate how naïve B cells exiting the BM expressing surface IgM reach peripheral tissues. For more detailed reviews on this topic, we refer the reader to a number of review articles (33–35). The subsequent stages are important in a B cell's development and they introduce diversity into the antibody's repertoire (36). The first stage is a pre-pro B cell, where initial diversification of the D and J segments occurs, followed by the pro-B cell where recombination of the V region to the previously rearranged D-J is completed (33–36). Interleukin 7 (IL-7) from stroma and IL-7R α signaling on developing B cells play both positive and negative regulatory roles in B cell development, allowing proliferation and pro-survival signals, as well as switching off recombination for next stage of development (34, 37). Following a successful V-D-J rearrangement in the pro-B cell, expression of the Ig μ heavy chain (μ HC) in the pre-B cell stage occurs. The V and J segments of the two germline-encoded surrogate light chain (VpreB and lamda5), combine with an existing Ig μ heavy chain (33). This is then followed by association with signaling subunits Ig α and Ig β and assembly, resulting in surface expression of the pre-BCR (34–36). The pre-B cells are large and motile and act in positive selection to select against autoreactivity, making the pre-B cell stage a tolerance checkpoint (33, 38, 39). Recombination activating genes 1/2 (RAG1/RAG2) are key in the progenitor B cell development and allow genetic recombination rearrangement (40). The final transition of these large proliferating pre-B cells before they exit the BM occurs as they move away from the IL-7 rich stromal region, downregulate IL-7R α and induce the expression of the IRF4 transcription factor (37, 41). IRF4 induces transcription of CXCR4, which in turn inhibits proliferation and cell cycle exit, as well as inducing reduction in size of the pre-B cell. RAG1/RAG2 allow for a final recombination of the V and J regions of the light chain (Ig κ and Ig λ) in the CXCL12-CXCR4 rich environment and development of the immature B cell (25)

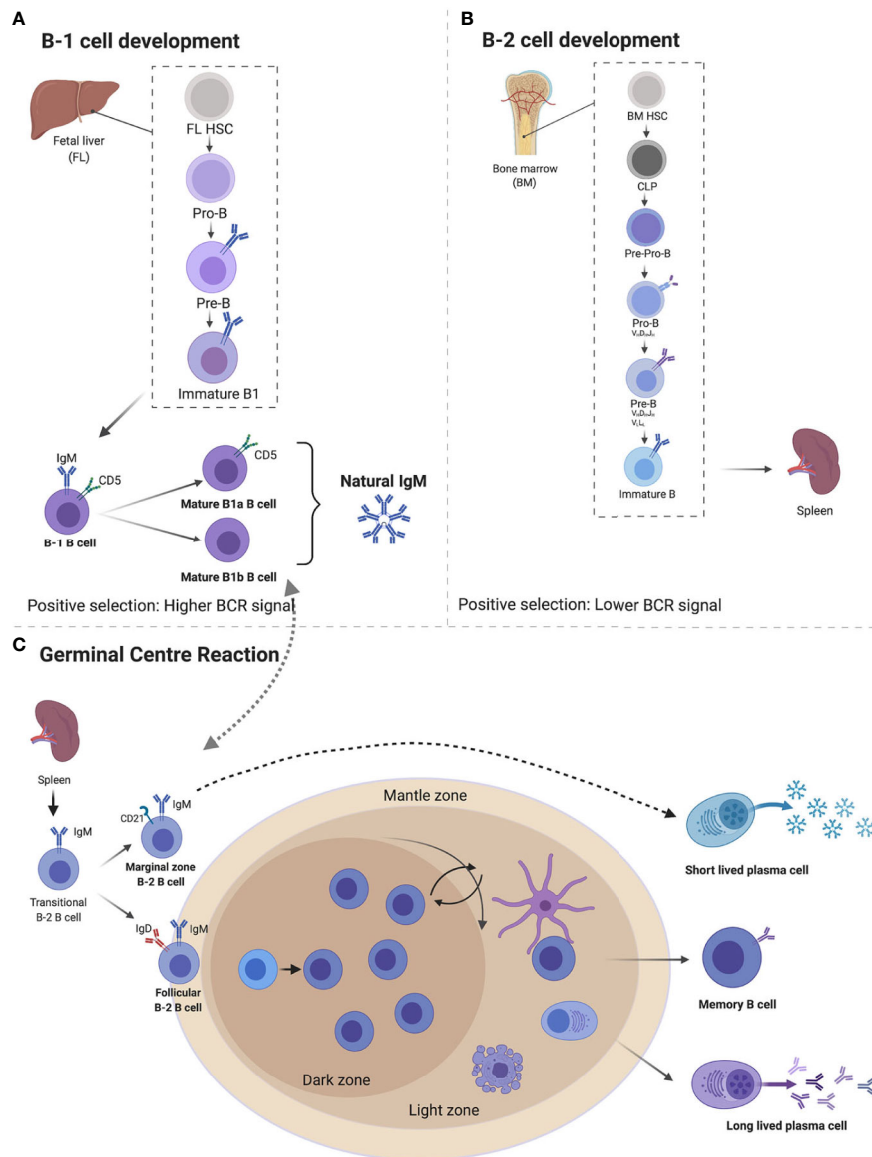


FIGURE 1 | Immunoglobulin M (IgM) developmental pathways through B1 and B2 B cells from fetal liver (FL) and bone marrow (BM). B1 cells develop FL where they go through pro-B cell, pre-B cell, immature B cell, and naïve B cells expressing IgM and CD5 which differentiates B1a and B1b cells, both capable of secreting natural IgM (A). B2 cells develop from BM's common lymphoid progenitor to become immature B cells that migrate to splenic B cells secreting IgM. Expression of IgD differentiates marginal zones vs. follicular B cells (B). Follicular B cells upon antigen stimulation can either undergo germinal center maturation creating long-lived plasma cells, memory B cells, class switch, or remain unswitched short-lived plasma cells (C). Created with BioRender.com.

(Figure 1B). The immature B cells leave the BM *via* vascular sinuses and migrate to the peripheral tissues such as the spleen and lymph nodes where they complete their final maturation (38).

Peripheral B Cell Maturation and Production of Immunoglobulin M by B2 Cells

The regulation of B cell development is mediated by the BCR when transitioning from an immature to a mature B cell (25). An

immature transitional B cell undergoes several splicing events and primary variable diversity joining of $C\mu$ and $C\delta$ transcripts (42, 43). This leads to a naïve B cell co-expressing both IgM and IgD BCRs isotypes on the surface, with identical specificities (43, 44). These naïve B cells still display a certain level of self-reactivity and are further pruned through clonal deletion and anergy, where they can become unresponsive to self-antigen stimulation, thus preventing autoimmunity (25, 42, 44–46). Transitional B cells localize in secondary lymphoid tissues such

as the spleen or lymph nodes, where they spatially sub-localize in follicular regions for easy access to both sampling of antigens and a local area rich with B cell survival factors, such as BAFF (25, 46). The naïve B cells are attracted to follicular areas by CXCL13 chemokines and once they encounter antigens, they upregulate CCR7, which enables them to sense CCL21- and CCL19-rich T cell zone areas (46). At this stage, B cells seek T cell help for a cognate antigen, which further stimulates their survival, proliferation, and antibody secretion function (47). In order for antigen primed naïve B cells to have access to highly competitive T cell help, they need to undergo several rounds of high affinity maturation to create clones that are likely to survive longer and possibly create long term memory (**Figure 1C**). These processes take place in the germinal centers (GCs), which are secondary B cell follicle areas (47, 48). Naïve B cells that do not to take part in the GC reaction are pushed to the B cell mantle zone, where they divide and form short-lived plasmablasts, which eventually produce low affinity short-lived IgM plasma cells. The GC [identified by GL7 and Fas (CD95) expression] is a highly proliferative area, divided into the light zone (LZ) and dark zone (DZ) (48). The LZ contains follicular dendritic cells (FDCs), where selection of BCRs takes place (48). The B cells receive the antigen from FDCs, present it to T follicular helper (Tfh) cells; if the mutation confers an advantage, the specific cell will be selected (48, 49). The DZ is the area in which where somatic hypermutation (SHM) takes place and it appears dark, due to the densely packed B cells that proliferate (**Figure 1C**) (47, 48). In the DZ, *Aicda*, a gene that encodes for activation-induced deaminase (AID) is highly expressed. AID deaminates cytidine residues in the VDJ and switch regions of the Ig gene, leading to SHM and class switch (47, 50). During SHM, AID catalyzes the deamination of C to U, to activate error prone repair pathways to induce mutations (51, 52).

Class switching, which occurs in the GC and occasionally in extrafollicular sites (47, 53), involves the replacement of the H-chain C-region for another Ig gene, for example μ (IgM) for gamma (IgG) (36). The constant region (Fc) of the BCR changes, while the variable side (Fab) remains constant, therefore the antigen specificity prevails. However, various signaling cascades and immune responses occur, based on the class of Ig that is present. Within the GC population, IgG/IgM cells ratio remains constant, indicating a dynamic steady state between class switched and non-class switched cells (53). The process of antibody class switching is evolutionary conserved across species and is found as early in evolution as cartilaginous sharks and *Xenopus* (54). In the South African clawed frog (*Xenopus laevis*), IgM shows limited antibody repertoire and reduced affinity despite reasonable mutation rates compared to mammals (54). The limiting factors for IgM affinity in clawed frogs and sharks appear to be a lack of germinal center (GC) B cell compartment, as well as reduced AID-dependent somatic hypermutations that are found in mammals (54, 55). This limited mutation rate is at least partially evolutionary conserved, as it is observed in certain long-lived memory IgM B cells or low affinity memory B cells generated outside GCs in humans (56, 57).

STRUCTURE OF IMMUNOGLOBULIN M AND ANTIGEN RECOGNITION

IgM exists in two forms—membrane bound (mIgM) and secreted (sIgM), with sIgM being further divided into natural and antigen induced IgM (**Figure 2**) (5, 6). IgM can exist in various structural forms including a monomer, a hexamer, and a pentamer, the latter weighing over 1,000 kDa (6, 58). Pentameric assembly of sIgM is the most naturally occurring form, with monomers held together by a 15-kDa protein J-chain that bridges disulfide bonds *via* a C-terminal extension of the heavy-chain (**Figure 2B**) (59–62). IgM typically displays low binding affinity to antigens, however, the multivalent antigen-binding sites in the pentameric structure of sIgM and its multivalent antigen-binding sites lead to high avidity for antigens, ensuring efficient elimination of pathogens (63, 64). Similar to other antibody structures, IgM BCR is composed of two homodimeric heavy chains, each bearing a light chain linked *via* disulfide bonds (65, 66). The μ region of the heavy chain folds into four domains, with the constant μ domain 4 (C μ 4) allowing anchoring of the membrane bound IgM to the surface of the B cell and activation of complement (**Figure 2A**) (67, 68). The membrane bound IgM BCR is essential for B cell development and activation, *via* the phosphoinositide 3-kinase pathway (**Figure 2A**) (69–71). The role of hexameric IgM structure is currently unclear, but it is thought to exist due to defects in the μ chain or J-chain regions in pentameric IgM (72). Secretion of IgM is regulated by the secretory component (SC) and J-chain (**Figure 2A**), which regulate surface availability of IgM and premature release through preventing protease cleavage, particularly in mucosal sites where there is richness in microbes that often use these mechanisms to evade host recognition (61). Apart from regulation by SC and J-chain, sIgM is also post-translationally modified through N-glycosylation and sialylation (60, 73). Most of the N-glycosylation sites are in the μ chain and with one site in the J-chain and mutations in these sites lead to accumulation of IgM on the cell surface and reduced secretion (73, 74).

Upon binding to surface-exposed antigens *via* antibody binding region (Fab), pentameric IgM complexes undergo conformational changes (68) followed by interaction of the antibody-antigen complex with B cells receptors *via* binding of the constant (Fc) domain. IgM can bind to several cell surface receptors including complement receptor CR2 and CR3, polymeric Ig receptor (pIgR), Fc α / μ R and Fc μ R on B-cells, epithelium cells, and antigen presenting cells (75–77). Fc μ R specifically binds sIgM in mice and exclusively so in human (77). Mice deficient in Fc μ R expression exhibit spontaneous GC formation, long-lived plasma cell development and memory B cell formation (76, 78). The polymeric Ig receptor is expressed at the basal membrane of mucosal epithelium and exocrine glands and binds to sIgM and sIgA to mediate transcytosis of these antibodies from lamina propria or ileum to apical mucosal sites where they bind to microbiota (**Figure 3**) (79, 80). Fc α / μ R receptor (Fc α / μ R) is expressed in non-hematopoietic cells and by marginal zone B-2 cells (81, 82). Binding of IgM-antigen

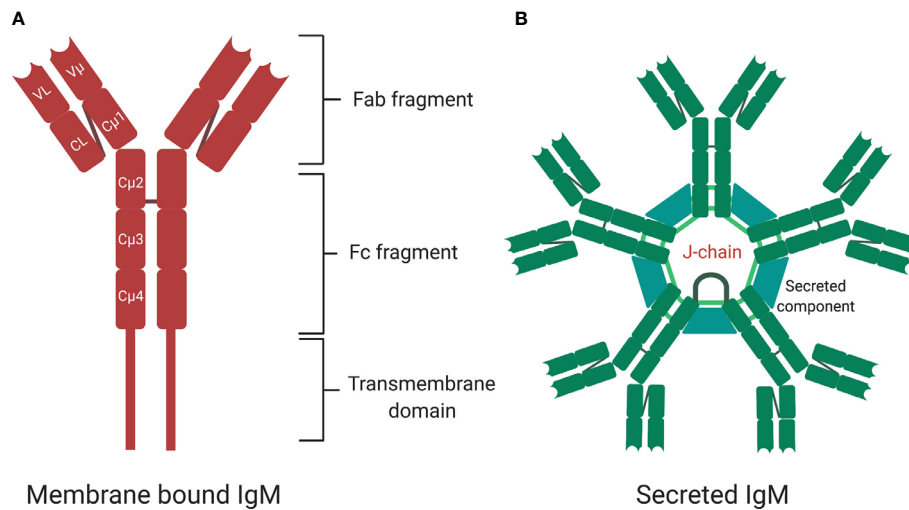


FIGURE 2 | The structure of membrane bound and secreted immunoglobulin M (sIgM). **(A)** A monomer structure of immunoglobulin M (IgM) contains Fab fragments, Fc fragment, and transmembrane signaling tail that attaches to Fc receptors on the surface of B cells. A monomer is made up of two heavy chains and two light chains. **(B)** A pentamer structure is the most naturally occurring form with five monomers held together by a J-chain. Secretory component regulates surface availability and secretion of the pentamer. Created with BioRender.com.

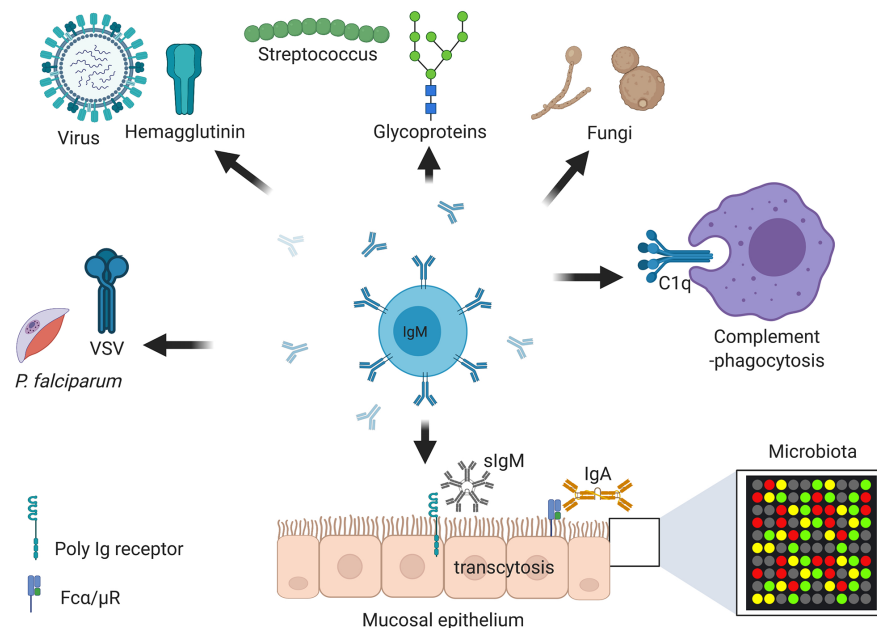


FIGURE 3 | Immunoglobulin M (IgM) is central at steady state and against infections and non-communicable diseases. Secretory IgM is important at mucosal surfaces in maintenance of healthy microbiota together with secreted IgA. Secretory IgM together with IgM B cell receptor are important in initiation protective immunity against various respiratory pathogens including species of fungi, viruses, and bacteria. Secreted IgM is essential in parasitic infections including those causing malaria and sleeping sickness. Secreted IgM play an important part in cancers diagnosis and auto-immunity diseases such as systemic lupus erythematosus (SLE) and rheumatoid arthritis (RA). Secreted IgM has high affinity for C1q, a complement component that allows degradation of antibody coated pathogens and apoptotic debris. Created with BioRender.com.

complexes to the Fc α / μ R has been shown to mediate endocytosis and pro-inflammatory cytokine production (81, 82).

IMMUNOGLOBULIN M ANTIBODY RESPONSES TO T CELL-DEPENDENT AND -INDEPENDENT ANTIGENS

Class switching, which occurs in the germinal centers (GCs) and occasionally in extrafollicular sites (36, 47, 53), involves intrachromosomal rearrangement of the Ig heavy chain C-region from C μ (IgM)/C δ (IgD) with C γ 1 (IgG) without altering specificity to immunizing antigen (36, 52). This class switching is thought to occur sequentially in GCs (83–87). However, direct class switching from C μ to C ϵ or C α has been observed, particularly in extrafollicular GCs where it is partly thought to be driven by lack of B cell maturity or low activation threshold (83, 88, 89). Class switch recombination is initiated by AID, which targets intronic switch (S) regions, causing DNA breaks and recombination of the heavy chain VDJ segments with other constant regions (50, 51, 84, 87). It is widely thought that memory B cells are generated from antigen T-dependent interactions that take place in the GC and that the majority of first wave plasma cells are of IgM isotype, short-lived, display high avidity and are T-independent (53). Over the last decade, increasing evidence suggests that memory B cells of IgM isotype exist and that these cells can secrete long-lived plasma cells (LLPCs) when stimulated by a cognate antigen (57). The nature of the generation of IgM memory B cells generation is rather complex, as it seems to depend on the tissue of origin (local events), GCs or extrafollicular GCs pathway and SHM rate of integrative genomics viewer (IgV) region of B cell receptor (57, 88, 90–93).

Antigen-Specific Immunoglobulin M Short-Lived Plasma Cells

Short-lived plasma cells (SLPCs) of IgM producing antibodies are typically found in the spleen on the periphery of B cell follicles, displaying little to no SHM (**Box 1A**) (92). Long-lived plasma cells on the other hand, show some degree of high affinity, suggestive of having gone through GCs, and, can be found in the BM (**Box 1B**) (91). Short-lived plasma cells' differentiation is governed by the B-cell lymphoma 6 (BCL6) and PR domain containing 1 (PRDM1)/BLIMP-1 transcription factor (94, 95). BCL6 favors GC entry, whereas BLIMP-1 represses BCL6 and favors antibody secreting cells (ASCs).

Interactions showing poor strength between BCR and antigen favor higher avidity, tend to be generated in extrafollicles and do not enter GCs resulting in SLPCs (94, 96). These SLPC release the early wave of antibodies post-antigen exposure and provide the initial protective response prior to emergence of high affinity antibodies (94, 96). Additional evidence suggests a key role for the glycolysis pathway in this T-independent SLPC production (97). This process involves mechanistic target of mTOR activating transmembrane activator and CAML interactor (TACI) *via* MyD88, to induce MZ B cell proliferation and genetic recombination, allowing non-GC class switching (97). LLPCs of IgM isotype were only described recently and differ from IgG LLPCs, as they develop independently of GCs (57). This population persists in the spleen, unlike IgG BM-residing LLPCs and undergo SHM (some outside of the GC), in an AID-dependent and BCL6-independent manner (57, 98, 99). The mutations that occur are not typically in the complementarity determining region 3 (CDR) and are therefore not thought to be selected for by antigen affinity (57). The IgM LLPCs are capable of conferring protection against viral and bacterial infections *in vitro* and *in vivo*, independently of IgG LLPCs, memory B cells, and T cell help (32, 57).

Antigen-Specific Immunoglobulin M Memory B Cells

Antigen specific IgM memory B cells (MBCs-M) form a subset of memory B cells that secrete IgM in the spleen, surprisingly also in germ-free mice, albeit with reduced diversity (**Box 1C**) (56, 100). MBCs were initially described as being IgG or IgA isotypes and expressing high levels of CD73, CD80, and PD-L2. However, it is now accepted that an MBC-M population exists from an early GC reaction and lacks classical MBCs surface molecules (100–102). MBCs-M show poor affinity compared to MBCs-G and contain less IgV mutations, however, their half-life is significantly longer (99). The mechanisms by which MBC-Ms survive longer and are more persistent remain largely unclear. BCR avidity and usage (CDR3 *vs.* non-CDR3) and mouse genotype rather than antigen are thought to be key in the persistence (95). Although, MBC-M are in many ways similar to naïve B cells, they show different dynamics in GC entry and ASCs production (103). Compared to MBCs-M, naïve B cells express considerably higher levels of Krüppel-like factor (KLF) 4, KLF9, and promyelocytic leukemia zinc finger (PLZF), transcription factors associated with quiescence (104). It is likely that these factors repress genes associated with survival and cell cycle, allowing significantly faster turnaround in ASC production and if needed, generation of class switched plasma

BOX 1 | Key differences in effector B cell subsets.

- Short-lived plasma cells (SLPCs)—SLPCs of IgM producing antibodies are typically found in the spleen on the periphery of B cell follicles, displaying little to no SHM. SLPCs differentiation is governed by the BCL6 and BLIMP-1 transcription factor. SLPC release the early wave of antibodies post-antigen exposure and provide initial protective response prior to emergence of high affinity antibodies.
- Long lived-plasma cells (LLPCs)—LLPCs continuously secrete antibodies at a constant titre. LLPCs also appear to be more stringently selected and appear in late GCs. LLPCs reside in BM, spleen, and gut-associated lymphoid tissues (GALTs).
- Memory B cells IgM (MBCs-M)—MBCs secrete antibodies in response to cognate antigen challenge. MBCs maintain a higher diversity and appear much earlier in GCs. MBCs can be tissue resident or are found recirculating secondary lymphoid organs. MBCs-M display a lower mutation load compared to their CSR counterparts. MBCs-M display large cross-reactivity, particularly against conserved N-glycans of bacteria and retroviruses.

cells (94). An additional important aspect that has emerged as key in class switching and plasma cell generation is metabolism (105). A recent study showed that naïve follicular B cells entering GCs prefer fatty acid oxidation over glycolysis as an energy source (106). It is likely that differential metabolite needs may have further upstream implications, particularly in MBCs-M function, be it ASC production or re-entry into GCs for further SHM.

MBCs-M acquire high affinity BCRs through SHM upon re-entry of the cells into GCs in an activation-induced deaminase (AID)-dependent process (90, 100). Earlier studies using a less complex (4-hydroxy-3-nitrophenyl)acetyl (NP) antigen suggested that in the secondary responses, high affinity MBC-Ms matured and were able to become ASCs after booster immunization (107). More recent findings suggest that highly mutated and high affinity MBCs-M do not differentiate into ASCs, a process that is left for low affinity MBC-M in the primary immune response (92). It is likely that high affinity MBC-Ms secreting high affinity IgM have an important role in inflammatory and autoimmune disease such as rheumatoid arthritis (discussed in section 5.1) (108). It is speculated that high affinity MBCs-M class switch to other isotypes, as seen in tissue resident Fc Receptor Like 4 (FcRL4⁺) fractions in secondary lymphoid organs (SLO) and IgA plasma cells in the gut associated lymphoid tissue (GALT) (88, 92, 100). However, a recent study contradicted this notion and suggested that MBCs are unlikely to re-enter GCs in secondary responses for further diversification (102). It may be reasonable to speculate that the low affinity MBCs-M re-enter GCs for further mutation acquisition, to become high affinity MBCs-M with those that fail to do so becoming ASCs, whereas high affinity MBCs-M either contribute to the memory pool or class switch outside GCs as suggested recently (109). Whether high antigen valency, a feature of pentameric IgM, is a major contributing factor in decision making between high affinity MBCs-M and low affinity MBC-Ms is a fascinating area of research that needs further exploration (110).

In addition to MBCs-M, fate mapping studies using AID have also identified other subsets of MBCs-M in the spleen that spontaneously develop under germ-free conditions and are not derived from BM or gut (56). These MBCs-M display a lower mutation load compared to their class switch recombination (CSR) counterparts, suggesting residual antigen activation in the gut, from potential endogenous or food antigen (56). Additionally, they display large cross-reactivity, particularly against conserved N-glycans of bacteria and retroviruses (56). These MBCs-M display unmutated V_H genes with antibacterial activity, suggesting a pre-programmed antibody immune repertoire (56).

In humans, unswitched IgM memory B cells exist and are more abundant in local tissues such as GALT, lung, and SLOs compared to mice (88). MBCs-M have also been found in blood circulation (identified as IgM⁺IgD⁺CD27⁺) and show clonal relatedness to gut specific MBCs-M, IgM only PCs, and IgA only PCs (91, 111). Human gut IgM responses may involve IgM diversification from pre-existing IgM⁺IgD⁺CD27⁺ memory

specificities, rather than *de novo* recruitment of naïve IgM⁺IgD⁺CD27⁺ B cells, ensuring considerably faster CSR and providing protection to blood borne infections, possibly through cross-reactivity (91, 111). A recent study, which reported severe infections of *Klebsiella* in immunocompromised patients showed that these patients harbored *Klebsiella* LPS-O3 antigen specific MBCs in peripheral blood which showed clonal relatedness with intestinal plasmablasts (112). These MBCs were mostly MBCs-M, however, MBCs-G and MBCs-A were also found in circulation and closely related to IgA found in the lamina propria. Both MBCs-G and MBCs-A showed higher mutation rates (between 20 and 25 bp/IgHV gene) in their heavy chain variable regions, whereas MBCs-M showed less mutations (around 10bp/IgHV gene) in their VH (112). These antibodies were glycan-specific and bound to O3 antigen of the mannose residues present at the surface of other microorganisms, such as *Saccharomyces cerevisiae*, HIV and several other Gram⁺ and Gram⁻ human commensals (112). This is consistent with other studies showing human MBCs-M secreted IgM targeting mucus-embedded SIgA coated commensals in the ileum, thus assisting in providing protection from diverse bacteria (88). These MBCs-M are not limited to bacterial species and have been found in the blood of healthy adults mildly infected with human BK polyomaviruses (113). In such settings, MBCs-M were shown to have high viral neutralizing abilities against BK virus and were also pan-reactive against another related JC virus, which causes progressive multifocal leukoencephalopathy in immunocompromised individuals (113). Interestingly, these MBCs-M were functionally distinct from MBCs-G, lost their neutralizing functionality when C μ was replaced by C γ and were resistant to class switching to IgG producing cells (113).

MBCs are different to LLPCs in several ways—LLPCs continuously secrete antibodies at a constant titer, while MBCs only do so in response to cognate antigen challenge (101). Additionally, LLPCs reside in BM, spleen, and gut-associated lymphoid tissues (GALTs), whereas MBCs can be tissue resident or are found recirculating secondary lymphoid organs (SLOs) (94). LLPCs also appear to be more stringently selected and emerge in late GCs, whereas MBCs maintain a higher diversity and appear much earlier in GCs (103, 114). The higher diversity of MBCs provides an evolutionary advantage to the host where there is increased antibody breadth protection, a phenomenon that is critical in most antibody-based vaccine designs.

IMMUNOGLOBULIN M IN DISEASES

Immunoglobulin M in Non-Communicable Diseases

An additional aspect where natural and antigen-induced IgM are thought to play non-redundant roles are autoimmune diseases and cancer. In autoimmune diseases such as systemic lupus erythematosus (SLE) and rheumatoid arthritis (RA), IgM and IgG titers are increased and associated with disease pathogenesis. In SLE, IgG autoantibodies directed against double stranded DNA (dsDNA) are thought to be pathogenic, while IgMs anti-dsDNA are thought to be protective (115). SLE patients are

typically treated with B-cell depletion therapy, rituximab, with adverse outcomes of hypogammaglobulinemia linked to increased infections in these patients (116). In two studies using SLE prone mouse strains (MRL-lpr/lpr) and NZB x NZW that spontaneously develop SLE (characterized by severe immune complex-mediated glomerulonephritis and death by 12 months of age from renal failure), secreted IgM (sIgM) was shown to be essential in preventing disease (**Table 1**) (137, 138). When lpr mice were crossed with sIgM-deficient mice, they developed a severe form of the disease with increased glomerular immunocomplex deposition and IgG ds-DNA autoantibodies, which was rescued by treatment with IgM autoantibodies (138). In the second study, treatment of NZB x NZW mice IgM anti-dsDNA improved disease symptoms including reduction in renal pathology and organ damage (137).

In cancer, natural IgMs are associated with recognition and removal of precancerous cells, owing to their ability to recognize self-antigens of carbohydrate patterns and quickly activate the complement (7). The presence of natural IgM against specific sugar moieties not found in non-cancerous cells is also used as a diagnostic and a prognosis marker, particularly for breast cancers (**Figure 3**) (139). Some of these recognized sugar moieties include MUCIN 1 (140), SAM6/GPR78 (141), and PAM-1 (142), and have been proving to be useful as prophylactic and therapeutic targets when derived directly from a patient's tumor cells (141, 142).

Very little is known about the role of natural and induced IgM in asthma, despite overrepresentation of asthma in patients with selective IgM syndrome (143, 144). Previous studies have suggested that neonatal vaccination with bacterial species, such

as group A streptococcus containing GlcNAc or β -1,3-glucans can protect adult mice against *Aspergillus fumigatus* induced allergic asthma (**Table 1**) (10, 23, 124). Passive immunity with anti-GlcNAc natural IgM antibodies in adult mice protects against developing asthma, suggesting that these conserved germline-encoded IgM antibodies can have broad protective effects against other common allergens containing GlcNAc moieties, such as dermatophytes (124). B1 cells secreting IgM are also known to be stimulated by IL-5 and proliferate in an IL-33 receptor dependent manner (145). In this setting, IgM producing B1 cells promote oxazolone induced contact dermatitis in mice (145). Currently, it is unclear whether natural or secreted IgM plays different roles compared to membrane bound IgM, which is more likely to undergo class switching to IgE. More studies are needed to decipher the function of IgM in asthma beyond class switching.

Immunoglobulin M in Shaping Mucosa Tolerance and Against Bacterial Infections

Microbiota colonize the mucosal sites soon after birth in humans and play key roles in homeostasis (146). The dominant antibodies found at mucosal sites are secretory IgAs, which binds and shapes microbiota (147–149). The majority of IgA plasma cells are generated from memory IgA B cells that reside in the lamina propria (LP) in the gut (150). In addition to IgA, emerging evidence places secreted IgM as a key player in maintaining local homeostasis at mucosal sites, such as the gut and lung, and assists in shaping local microbiota (9, 88). Here, we briefly discuss how local secreted IgM produced by memory IgM B cells shapes microbiota (as discussed under antigen-specific

TABLE 1 | Role of immunoglobulin M (IgM) in infectious and non-infectious diseases.

Organism (disease)	Species	Function	Reference
Plasmodium (malaria)	<i>P. falciparum</i>	Anti- α -gal IgM antibodies protective in adolescence	(117)
	<i>P. chabaudi</i>	Anti- α -gal IgM antibodies protective when transferred to mice	(117)
	<i>P. berghei</i>	MBCs-M secrete high affinity IgM in GCs	(118)
Trypanosomes (trypanosomiasis)	<i>T. brucei brucei</i>	nIgM not protective, sIgM-deficient mice not susceptible	(119)
	<i>T. congolense</i>	nIgM not protective, sIgM-deficient mice not susceptible	(119)
	<i>T. evansi</i>	nIgM important for primary and secondary responses	(120)
Fungi (mycosis)	<i>C. neoformans</i>	nIgM and antigen IgM protects against systemic dissemination. Important for IFN- γ response and activation of macrophages.	(121, 122)
	<i>P. carinii</i>	nIgM protects against dissemination and priming of TH2 and TH17 responses	(123)
	<i>A. fumigatus</i>	Anti-GlcNAc IgM antibodies protect against allergic asthma	(124, 125),
Viruses (viral infections)	Influenza A	slgM-deficient mice show poor viral neutralizing ability and increased viral titers	(126–128),
	VSV	Natural IgM traps VSV antigens in secondary lymphoid tissues	(129–131),
	RVS	IgM BCR on Bregs a target for RVS and detrimental to disease	(132)
Bacteria	<i>S. pneumonia</i>	Adoptive transfer of B1a cells derived sIgM led to improved survival of infected μ MT mice. sIgM was dependent on GM-CSF	(133)
	<i>E. coli</i>	Adoptive transfer of B1a cells derived sIgM led to improved survival of infected μ MT mice. sIgM was dependent on GM-CSF	(133)
	<i>Ehrlichia muris</i>	Bone marrow derived IgM-secreting cells, AID independent provide protection	(32, 134),
	<i>F. tularensis</i>	slgM was directed at the LPS fraction of <i>F. tularensis</i> and depended on IL-1 β	(135)
	<i>Haemophilus influenzae</i>	PD-L2 dependent B 1 natural IgM anti-phosphorylcholine provide protection against H. influenzae	(136)
Non-infectious agents	SLE	Autoantibodies IgM anti-dsDNA are protective, sIgM mice protected	(115, 137, 138),
	Allergy	Anti-GlcNAc IgM antibodies passively administered or vaccine induced protective	(23, 124),
	Cancer	Natural IgM recognized sugar moieties include MUCIN 1, SAM6, PAM-1 in cancerous cells	

SLE, systemic lupus erythematosus; VSV, vascular stomatitis virus; nIgM, natural IgM; ABPA, allergic bronchopulmonary aspergillosis.

IgM memory cells). However, we mainly focus on discussing IgM contribution in regulating bacterial infections particularly in mucosal sites in experimental infection models (88). In the human gut mucosa, several studies have found human secreted IgM, together with secreted IgA, to coat human microbiota (88, 151, 152). IgM enhanced IgA binding repertoire and in some instances was even more potent in neutralizing enteric bacteria on its own (151). Specifically, IgM was found to promote bacterial species that are beneficial for healthy gut homeostasis, such as Firmicutes (e.g., *Bacillus cereus*, *Lachnospiraceae* spp. and *Ruthenibacterium* spp.) and Bacteroidetes (*Bacteroides vulgatus*) which are all beneficial (88, 146, 153). Age negatively correlated with the presence of these bacteria, resulting in dysbiosis in the adult population (153). Secreted IgM/MCBs-M may have developed to aid IgA in preserving microbiota homeostasis by directly interacting with bacteria to promote abundance of healthy microbiota and possibly eliminating pathogenic bacteria.

In the lung mucosa, infection of B cell deficient mice (μ MT mice) with *Escherichia coli* or *Streptococcus pneumoniae* led to increased mortality and lung bacterial burdens (**Table 1**) (133). Transfer of wild type mice pleural cavity B1a cells, which secrete copious amounts of sIgM led to improved survival of infected μ MT mice (133). Granulocyte-macrophage colony stimulating factor (GM-CSF) was found to be essential in sIgM B1a induced protection, as transfer of B1 cells lacking this cytokine did not rescue infected μ MT mice (133). Induced sIgM produced by B1a cells has also been shown to be essential in *Francisella tularensis* infection (135). In this infection model, production of sIgM was directed at the LPS fraction of *F. tularensis* and depended on IL-1 β for its earlier protective effects. Interestingly, sIgM showed great specificity to *F. tularensis* and did not cross-react with *E. coli* LPS, suggesting that it was induced sIgM, and not natural occurring sIgM (135).

Emerging evidence suggests a localized B cell repertoire in the lamina propria which can influence BM and peritoneal cavity B cell populations (9). Mono-colonization of germ-free mice influenced VDJ recombination process in the LP (9). In another study, neonatal immunization with group A streptococcus antigen increased GlcNAc reactive B cells and clonotype diversity in adult mice (10). These GlcNAc reactive B cells were educated in the LP in early life and disseminated systemically to provide protection against GlcNAc containing species (10). Early education of B cells might support diversification of the B cell repertoire but needs further investigations.

Immunoglobulin M Against Fungi

Natural IgM antibodies directed against fungal pathogens are important in both complement-dependent and -independent fungal recognition and clearance (154) and have been shown to have direct killing effects (155). Most natural IgM antibodies are conserved across species and are not dependent on antigen exposure, as suggested from their presence in germ-free mice and umbilical cord blood of non-human primates and humans (123). In fungi, these natural IgM antibodies are directed to conserved major cell wall components β -(1,3)-glucan and chitin and are derived from B1 cells in the mouse spleen (**Table 1**) (121, 123, 154, 156).

Mice deficient of sIgM show increased dissemination of *Cryptococcus neoformans* to other organs such as spleen, kidney, and brain when infected intravenously (121). In this setting, sIgM is thought to contribute to the optimal Th1 induction and the subsequent activation of phagocytic macrophages that kill the fungus (121). B cells, and more specifically IgM, were shown to be essential in protective mechanisms against *C. neoformans* when naïve B cells were transferred to RAG-1-deficient mice (121, 156). Transfer of B cells was shown to reduce fungal dissemination to the brain but had no effect in lung fungal burden (156). Both natural and infection induced-IgM were important in the control of *C. neoformans* and contributed to the optimal Th1 cytokine production (121, 156). A human study using antibodies generated against *C. neoformans* glucuronoxylomannan in a transgenic mouse expressing human IgM, revealed that protective effects of IgM were epitope specific and route of injection dependent (122). Non-protective effects of sIgM have been observed when sIgM-deficient mice were injected intraperitoneally, with increase in their survival compared to control wild type mice (157). In Pneumocystis, an opportunistic fungi that infects HIV/AIDS patients, natural IgM antibodies are detected and have an important role in clearance (158). Mice lacking sIgM are susceptible to pulmonary *Pneumocystis carinii* infection and show increased burdens, which are associated with altered inflammatory response (**Table 1**) (123). Secreted IgM deficiency in mice is associated with reduced IL-6 and IL-1 β innate cytokine production and adaptive TH2 and TH17 responses at both lung and draining lymph nodes (123). The susceptibility of sIgM-deficient mice to *P. carinii* infection is likely to be due to defective DC presentation and priming of CD4 T cells and a lack of class switching to protective mucosal IgG and IgA isotypes (123). Individuals with X-linked hyper-IgM syndrome due to CD40L mutation, display equal susceptibility to pulmonary fungal infections, which may suggest a minimal role for antibodies in these infections (159, 160). In both experimental models and in humans where sIgM or B cell antibody function was blocked by anti-CD20 monoclonal antibodies, severe defects in optimal innate and adaptive responses occurred, resulting in susceptibility to fungal infections. This is suggestive of a critical function of natural IgM.

Immunoglobulin M Against Parasites

The role of antibodies in trypanosoma parasitic control are well documented, where a constant battle to opsonize and kill parasites occurs, while parasites have developed complex variant specific surface glycoproteins (VSGs) to avoid host recognition (**Figure 3**) (161, 162). *Trypanosoma evansi* can infect all domesticated animals and is transmitted by biting sand flies and vampire bats (163). Antibodies, particularly IgM isotype have been shown to be important in the control of *T. evansi* (120). Type 1 cytokines and effector molecules such as IFN- γ , TNF- α , and iNOS were found to be redundant in a mouse model of *T. evansi* infection. In contrast, mice deficient of IgM or B cells succumbed significantly quicker to *T. evansi* infection and were not able to control parasitemia (**Table 1**) (120). Furthermore, IgM, rather than IgG, was found to be critical in

parasitemia control as passive transfusion with *T. evansi* immune IgM serum, but not IgG serum protected naïve mice from re-infection with the same parasite (120). Complement, which kills parasites through phagocytosis *via* complement receptor mediated recognition, did not play a role in this instance, suggesting other mechanisms of parasite killing. In a pleomorphic *Trypanosoma brucei* AnTat 1.1E infection model, B cells and IgM were found to play minimal roles in trypanosomiasis associated anemia, parasite induced anti-VSG antibodies, host survival, and disease progression (119). Mice lacking IgM showed similar levels of parasitemia to wild type counterparts when infected intraperitoneally, exposed to tsetse fly bites or non-virulent field isolates (119). Similarly, to *T. evansi* infections, in IgM-deficient infected mice, an increase in VSG specific-IgD isotype antibody production was observed, as well as normal levels of VSG specific-IgG2a or IgG3, which are thought to have compensated for the loss of IgM (129). Interestingly, B cells which are thought to induce immune pressure in pleomorphic *T. brucei* were found to be redundant in this instance and VSG intergenic switching occurred independently of antibody or IgM presence (119). A recent study showed an important function of natural and induced IgM antibodies against trypanosome lytic factors (TLF2) in *T. brucei* infected people (162). Healthy people were found to harbor germline encoded natural IgM antibodies against TLF2, which were further upregulated by *T. brucei rhodesiense* infection and reduced by treatment with suramin or melarsoprol (162). TLF2-IgMs antibodies interact with the TLF protein, haptoglobin related protein (HPR), thus offering a route for parasite endocytosis and killing *via* alternative complement activation (162).

IgM antibodies specific to α -gal have been shown to be protective against *Plasmodium falciparum*, a malaria causing parasite (117). IgM antibodies against α -gal are thought to be generated in the gut by microbiota that express α -gal, such as certain strains of *E. coli* (O86:B7) (23). In human, anti- α -gal IgM antibodies can directly bind to *P. falciparum* sporozoite and initiate complement activation and parasite clearance (117). Children between 0 and 1 years old in malaria endemic areas are at the highest risk of developing the disease, which is associated with reduced anti- α -gal IgM antibodies in serum. In older children the level of anti- α -gal IgM antibodies increases, associated with added protection from malaria parasite and this is partly attributed to the maturity of the B cell compartment. These anti- α -gal IgM antibodies were induced in germ-free animals mono-colonized with *E. coli* (O86:B7) strain and were found to be protective when these mice were infected with different malaria parasites (117). Interestingly, these anti- α -gal IgM antibodies did not depend on AID, suggesting that these were natural IgM antibodies generated outside germinal centers and did not undergo somatic hypermutation (117). Other natural IgM memory B cells able to recognize merozoite surface protein 1 (MSP1) protein of *P. falciparum* have been shown to be considerably more rapid than IgG and confer protection against re-challenge with the parasite (164). Similarly, to anti- α -gal IgM antibodies, these anti-MSP IgM B cells gave rise to mainly T cell-independent high affinity plasma cells (B220⁺CD138⁺) and T cell-

dependent (B220⁺CD138⁺) IgM plasma cells (164). These IgM memory B cells produce IgM plasma cells with similar binding affinity to class switched IgG plasma cells (164). It is plausible to assume that these memory IgM B cells developed as a strategy to protect against primary and secondary Plasmodium infection to prevent dissemination of parasites pre-GCs B cells, capable of generating high affinity IgG plasma cells.

Immunoglobulin M Against Viruses

Early control of viral infections is dependent on innate natural antibodies and most vaccine strategies target potent neutralizing antibodies. Natural IgM antibodies can bind to surface glycoproteins of most viral capsids and activate the complement system *via* classical pathways, leading to viral opsonization and killing (126). Influenza virus is a rapidly replicating respiratory virus that is detected by natural IgM antibodies, which do not require AID or class switch recombination or somatic hypermutated B cells (93). In the absence of adaptive immune cells, including B and T cells, such as in the case of severe combined immunodeficiency (SCID), influenza virus is uncontrollable and causes death in animals (127, 165). Mice lacking sIgM are susceptible to influenza virus and show poor viral neutralizing ability leading to increased viral titers (128). Adoptively transfer of naïve or influenza primed serum to sIgM-deficient or RAG-1-deficient mice restores viral neutralizing ability and virus clearance (Table 1) (128). Vesicular stomatitis virus (VSV), an enveloped RNA virus requires both natural IgM and complement for clearance (130). Human sera lacking any of the early complement factors C1–C5, but not late complement factors C6–C9 is unable to kill VSV infected cells. These complement factors rely on natural IgM presence on sera for effective killing of VSV infected cells (130). Interestingly, mice contain natural VSV IgM antibodies that were induced independently of infection (131). These antibodies were essential in limiting early VSV dissemination to vital organs, such as the kidney, brain, and lungs and neutralized the virus in secondary lymphoid tissues (131). In IgM-deficient mice or B cell-deficient mice, VSV was recruited to secondary lymphoid tissue, where it accumulated and activated the natural IgM antibody response (Table 1). This, in turn, delayed dissemination of VSV to the kidneys and brain and allowed activation of the adaptive immune response, thus reducing VSV titers at early time points in these tissues (131). Furthermore, IgM-deficient mice show a delayed antibody class switching to neutralizing IgG, which illuminated this trapping of VSV antigens in secondary lymphoid tissues by natural IgM (129, 131).

CONCLUDING REMARKS

Classic memory takes up to 4 days to develop and may be slow relative to the rapid invasion of encapsulated bacteria and viruses. It is during this period that innate-like B cells, which produce rapid cross-reactive natural IgM or long-lasting antigen-specific IgM responses that can interfere with initial infection. As cross-reactive SLPC, they can assist phagocytes

and complement, to clear the system and mucosal sites. Antigen-specific LLPC can rapidly class switch to specific isotype or become highly specific IgM producing cells able to clear infection or activate other adaptive cells. However, despite all this knowledge, little attention has been paid to their role in immune responses or how their production can be manipulated to the host's advantage. The higher diversity of MBCs provides an evolutionary advantage to the host, where there is increased antibody breadth protection, a phenomenon that is critical in most antibody-based vaccine designs. We do not fully understand the role of IgM in allergies beyond class switching and its role in lung mucosal sites where it has been suggested that it can be hijacked by viruses to gain entry in mucosal sites. Whether natural or induced IgM can be fine-tuned to fight cancers and other infections is an area still less explored.

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AUTHOR CONTRIBUTIONS

SH conceived the idea. KJ, AS, FB, and SH wrote the paper. All authors contributed to the article and approved the submitted version.

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B Cells in Patients With Melanoma: Implications for Treatment With Checkpoint Inhibitor Antibodies

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The contributions of the humoral immune response to melanoma are now widely recognized, with reports of positive prognostic value ascribed to tumor-infiltrating B cells (TIL-B) and increasing evidence of B cells as key predictors of patient response to treatment. There are disparate views as to the pro- and anti-tumor roles of B cells. B cells appear to play an integral role in forming tumor-associated tertiary lymphoid structures (TLSs) which can further modulate T cell activation. Expressed antibodies may distinctly influence tumor regulation in the tumor microenvironment, with some isotypes associated with strong anti-tumor immune response and others with progressive disease. Recently, B cells have been evaluated in the context of cancer immunotherapy. Checkpoint inhibitors (CPIs), targeting T cell effector functions, have revolutionized the management of melanoma for many patients; however, there remains a need to accurately predict treatment responders. Increasing evidence suggests that B cells may not be simple bystanders to CPI immunotherapy. Mature and differentiated B cell phenotypes are key positive correlates of CPI response. Recent evidence also points to an enrichment in activatory B cell phenotypes, and the contribution of B cells to TLS formation may facilitate induction of T cell phenotypes required for response to CPI. Contrastingly, specific B cell subsets often correlate with immune-related adverse events (irAEs) in CPI. With increased appreciation of the multifaceted role of B cell immunity, novel therapeutic strategies and biomarkers can be explored and translated into the clinic to optimize CPI immunotherapy in melanoma.

Keywords: melanoma, B cell, checkpoint inhibition therapy, antibody, humoral immune response

INTRODUCTION

During early stages, primary melanoma lesions are removable through surgical intervention that is largely curative. In advanced disease however, melanoma can spread to regional lymph nodes and metastasize to distant sites. Historically, treatment options were limited in advanced disease to palliative cytotoxic chemotherapy, leading to poor 5-year survival rates. Over the last decade, immunotherapy, driven by checkpoint inhibitor antibodies, has transformed patient prognosis.

The rationale behind the use of checkpoint inhibitor therapy lies in the highly immunogenic nature of melanoma (1, 2). Melanoma carries a large mutational load, providing a range of tumor-specific antigens that are thought to elicit a host immune response. However, melanoma cells can evade immunosurveillance *via* activation of different immune-inhibitory pathways, including *via* immune checkpoint molecules and their downstream signals. Physiologically, checkpoint pathways play a role in immune homeostasis, providing negative feedback stimuli to prevent autoimmune reactivity. The best-studied checkpoint pathways are those of negative regulatory molecules cytotoxic T lymphocyte associated protein-4 (CTLA-4) and of the Programmed Death Receptor 1 (PD-1) and its ligands PD-L1 and PD-L2.

Checkpoint inhibitors (CPIs) were designed to promote immune-mediated elimination of tumor cells through modulation of T cell responses. Anti-CTLA-4 (Ipilimumab approved in 2011) (3) or anti-PD-1 (Pembrolizumab and Nivolumab approved in 2014) (3, 4) antibodies inhibit binding of checkpoint molecules with their respective ligand in the tumor microenvironment or draining lymph nodes. A newer anti-PD-L1 agent, Atezolizumab, was approved by the FDA in July 2020 (5). CPIs are approved for use as monotherapy in metastatic melanoma and as adjuvant therapy. More recently combination Nivolumab and Ipilimumab has been approved for metastatic disease in select patient groups. Their clinical efficacy and long-term outcomes are highlighted in the CheckMate 067 clinical trial (ClinicalTrials.gov NCT01844505) (4). In 945 patients with stage III or IV melanoma, this trial directly evaluated combination therapy with Nivolumab plus Ipilimumab in comparison with monotherapy with Nivolumab or with Ipilimumab. At a minimum follow-up of 60 months, the median overall survival (OS) was more than 60.0 months (median not reached) in the Nivolumab-plus-Ipilimumab group, 36.9 months in the Nivolumab group and 19.9 months in the Ipilimumab group. The 5-year OS rates were 52, 44, and 26% respectively (4).

Despite the undeniable clinical success of CPI therapy several challenges remain. There is currently a lack of biomarkers, limiting our ability to predict who will respond to treatment. Another important consideration is recognizing who will develop toxicities that are unfortunately common particularly with anti-CTLA-4 treatment and in the context of combination anti-CTLA-4 and anti-PD-1 therapy as compared with monotherapy. These toxicities, known as immune related adverse events (irAEs) vary in severity, can affect any organ system but most commonly target the skin, the gastrointestinal and the endocrine systems (6). Although many of these toxicities

are manageable/reversible, they frequently lead to treatment disruption or discontinuation. Therefore, understanding the mechanisms underlying irAEs and predicting these irAEs is a much-needed clinical strategy.

The role of T cells in CPI therapy has been extensively reviewed in literature. Contrastingly, B cell immunity has been less studied. B cells have a wide range of roles, including critical functions as professional antigen presenting cells (APCs), and they are capable of secreting cytokines and antibodies which enable them to conduct antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP) and complement-dependent cytotoxicity (CDC). Considering their comprehensive functions and with B cells making up one of the two arms of the adaptive immune system, it is not surprising to envisage a role within melanoma immunity and response to CPI. However, the exact role B cells play in tumor immunity is unclear with the existence of different cell subsets with juxtaposing functions, such as activatory and regulatory B cells (Bregs), and the presence of B cells in tertiary lymphoid structures (TLSs). The potential pro- and anti-tumor roles of B cells in melanoma are summarized in **Figure 1**. In this review we discuss emerging evidence for the significance of B cell and antibody immune responses in melanoma and specifically in the context of CPI therapy.

THE CTLA-4 AND PD-1 PATHWAYS

CTLA-4 is an inhibitor of T cell activation primarily expressed on naïve T cells after activation (7–10) and constitutively expressed on FoxP3+ regulatory T cells (Tregs) (10). T cell activation is dependent not only on T cell receptor (TCR) binding with an antigen presented *via* an APC, but also on the presence of a costimulatory second signal, typically through binding of CD28 on the T cell to CD80/86 on the APC. CTLA-4 is a competitive CD28 homolog that has a higher affinity to CD80 (B7-1) and to a lesser degree CD86 (B7-2) than CD28 therefore inhibiting T cell co-stimulation (10). TCR signaling up-regulates cell surface CTLA-4 expression, reaching peak expression at 2 to 3 days after activation (7, 8). This provides a negative feedback loop for T cell activation.

PD-1 is also a member of the immunoglobulin superfamily known to contribute to immune homeostatic processes by delivering inhibitory signals upon engagement with its ligands, programmed death ligands 1 and 2 (PD-L1/2). Like CTLA-4, PD-1 is thought to be a negative regulator of T cell function, regulating peripheral tolerance and T cell responses. PD-1 is expressed more broadly than CTLA-4 and can be found on T cells, B cells, natural killer (NK) cells, and a variety of peripheral tissues (11). Expression of PD-1 is up-regulated upon activation of T cells and B cells (12). The ligand PD-L1 is broadly expressed by immune cells including T cells, B cells, dendritic cells and macrophages, and in non-lymphoid tissues including on tumor cells or stromal elements in the tumor microenvironment (TME) (11, 13). Recent evidence suggests that CD28 is also a primary target for PD-1 (14, 15).

Intracellularly, both PD-1 and CTLA-4 signal *via* SHP2 and converge to inhibit downstream PI3K signaling (16, 17).

lymphocytic cell subsets within TLS could be of particular importance to overall B cell-mediated anti-tumor activity.

The majority of melanoma lesions contain significant populations of B cells, usually localized within the tumor stroma (one study has reported that 86% of primary melanomas harbor at least ten CD20⁺ stromal B cells per mm² tumor (30). Furthermore, tumor infiltrating B lymphocytes TIL-B in primary lesions make up an average of 10% of the total infiltrating lymphocytes (31–33) and can be found adjacent to various other immune cell subsets. CD22⁺ B cells are enriched in melanoma lesions compared to healthy skin, and CD20 and CD22 mRNA expression is further enhanced with metastasis (34, 35), indicating the presence of a pronounced humoral immune response in melanoma.

These TIL-Bs have been shown to contribute to anti-tumor immunity *via* antibody responses to melanoma-associated antigens. In one study, 28% of melanoma patient-derived B cell cultures were capable of binding to a wide range of antigens expressed by melanoma cell lines, as opposed to just 2% of those derived from healthy individuals, and this was shown to further increase with metastatic disease (36). In concordance, plasma cells in melanoma lesions were shown to be polyclonal and to predominantly express IgG and IgA (37) implicating antigen reactivity and class switching, and thus a possible melanoma-reactive B cell immune response. Secreted immunoglobulins, especially of the IgG1 subclass, may be able to exert potent tumoricidal effects *via* ADCC, as demonstrated *in vitro* using a patient-derived monoclonal antibody (36). ADCC however is just one of several effector mechanisms mediated by secreted immunoglobulin. A neutralizing anti-human growth factor (HGF) antibody has been shown to suppress tumor growth *in vitro* (38). B cells may also act as antigen presenting cells, contributing to T-helper cell activation and anti-tumor effector mechanisms (39). Finally, activatory B cells may facilitate anti-tumor immunity *via* the release of pro-inflammatory cytokines such as IL-2, TNF α and IFN γ (40, 41). TNF α produced by B cells may control the development of follicular dendritic cells, the formation of B cell follicles and T cell-dependent antibody responses (42). Collectively, several studies therefore support the presence of an antigen-specific B cell immune response to melanoma.

In accordance with expectations arising from these anti-tumor properties, the percentage of tumor-infiltrating and peritumoral B cells have been found to positively correlate with more favorable patient survival in the vast majority of melanoma cohort studies (22, 43, 44). However, some studies have in contrast found no significant association between B cell infiltration and overall patient survival (31), and even a correlation of B cell infiltrates with a poorer prognosis (33). These discrepancies may arise from differences in experimental design and analyses (*e.g.* using raw B cell counts *versus* percentage of infiltrating populations) or may reflect the confounding presence of immunosuppressive regulatory B cells.

B CELL SUBSETS IN MELANOMA AND THE ROLE OF BREGS

Several studies have demonstrated the enriched presence of various differentiated B cell phenotypes such as memory,

plasmablasts (PBs) and plasma cells within melanoma tumors. Elevated percentages of PBs (short-lived cells and part of the rapid antigen response) have been reported in the circulation of patients with melanoma compared to blood from healthy individuals. PBs are short-lived cells and part of a rapid antigen response. Although PBs have a potentially positive role in melanoma, the functional plasticity of these cells may result in transient switching to a “regulatory” pro-tumor phenotype owing to expression of TGF β and IL-10 by this cell subset (41).

IL-10-producing “regulatory” B (B10) cells (45) have been shown to be functional in murine models of tolerance (46), in preventing chronic inflammation (47–50) and in curbing anti-tumor immune responses (51). In mouse models, Bregs appear to be derived from either BII (follicular) or Marginal Zone (MZ) cells. A CD1d⁺ CD19⁺⁺ regulatory B cell subset has been identified in mice which strongly resembles MZ cells and protects against colitis, through interactions with Tregs (52). These observations not only demonstrate that these cells play a crucial pathogenic role in health and disease, but also that B cells can contribute to immune outcomes in general *via* their polarized cytokine expression.

Studies in mouse models of skin cancer suggest that there are certain circumstances where B cells can exert a distinctly immunosuppressive pro-tumor effect (53). A subset of “tumor-evoked” Bregs has been shown to facilitate the metastasis of breast cancer tumors through the induction of Tregs *via* TGF β secretion (54). Importantly, tumor-associated antigens such as 5-lipoxygenase (breast cancer (55); and placental growth factor (glioblastoma (56); have been shown to induce IL-10-production in tumor-infiltrating B cells in murine models. It may therefore be possible that B cells in the tumor-microenvironment are coerced into developing a regulatory phenotype (TGF β ⁺ and IL-10⁺), and that tumor-derived antigens in general could induce B cell IL-10-expression (57). As expected, Bregs have been shown to directly contribute to tumor-progression as a result of their anti-inflammatory properties (58).

At present there is a lack of substantial information relating to the role of immunosuppressive IL-10-producing B cells in affecting tumor progression in humans. Perhaps the most significant study showed that Granzyme-B-expressing IL-10⁺ B cells induced by T cells IL-21 secretion infiltrate solid tumors of breast, cervical, and ovarian cancer patients and inhibit T cell responses (59). In addition, IL-10⁺ transitional B cells have been shown to be up-regulated in gastric cancer patient peripheral blood and tumors and are functionally capable of suppressing Th1 cytokine secretion by T cells and inducing Tregs (60).

The current evidence for regulatory B cells in melanoma tissue microenvironments rests upon a study demonstrating that adoptive transfer of B1a B cells (possessing an IL-10⁺ regulatory phenotype) into wild-type mice significantly exacerbated B16F10 melanoma growth, illustrating that IL-10-producing B cells can directly contribute to melanoma tumor-progression (61). A separate murine model of squamous cell carcinoma obtained similar conclusions (62). Finally, Bregs have been shown to suppress cutaneous inflammation in a mouse model of psoriasis-like inflammation (63). To date, there have been no

investigations into the role of IL-10-producing B cells in patients with melanoma.

Tumor-infiltrating regulatory B cells therefore represent a potential mechanism of tumor-mediated immune escape that in certain conditions may outweigh antigen-presentation and anti-tumor antibody-mediated effector mechanisms to skew the overall impact of B cell infiltrates towards a neutral or even a negative effect, and mandates studies into the induction of regulatory B cells in melanoma patients and correlation with overall survival.

INFLUENCE OF THE TH2-BIASED MELANOMA MICROENVIRONMENT ON PRO-TUMOR PROPERTIES OF B CELLS

Consistent with the contributions of regulatory B cells, the microenvironments of melanoma solid tumors are characteristically considered as harboring Th2-biased cytokine expression profiles (64), typical of chronic inflammatory conditions. These microenvironments typically confer pro-tumor properties including promoting angiogenesis and inhibiting cell-mediated responses, such as those mediated by cytotoxic T-lymphocytes (CTLs). The classic Th2 cytokine is interleukin-4 which, in addition to interleukin-13, may induce tumor clearance (65). However, IL-10 produced by Bregs, Tregs and M2-type macrophages in the tumor microenvironment can, in addition to its potent suppressive effects upon CTLs, trigger a modified or alternative Th2 response by inducing B cell IgG4 subclass switching in the presence of IL-4 (66).

Indeed, it has been shown *in vitro* that these Th2-biased microenvironments favor alternatively activated humoral immunity which confers a shift in B cell antibody expression towards IgG4 (67). Early evidence has accordingly demonstrated that IgG4 serum levels are dysregulated in melanoma patients, particularly in advanced disease settings (68). IgG4 subclass antibodies are structurally distinct to IgG1 antibodies and confer a weaker ability to bind and stimulate effector cells such as macrophages to initiate ADCC and ADP through their Fc-gamma receptors (69). It has been shown that these antibodies compete with IgG1 for Fc-gamma receptor sites resulting in a reduced degree of immune cell activation and tumor-cell killing when secreted in large quantities (34, 67). Recent evidence also suggests that Fc-Fc interactions between IgG4 and cancer-specific IgG1 antibodies may confer an important aspect of tumor immune evasion (70). Interestingly, the same study also demonstrated that the IgG4 subclass CPI antibody Nivolumab participated in Fc-interactions with IgG1 subclasses and promoted cancer growth in a murine model of colon cancer. The pro-tumor role of IgG4 subclasses may appropriately explain observations of possible hyperprogressive disease seen in some CPI patients.

IgG4 is therefore a negative prognostic indicator of patient overall survival, and these Th2 biased microenvironments offer a form of immune evasion which is mediated by IL-10 (71, 72). Interestingly, recent evidence has also revealed that an IgG4-expressing CD49b+ CD73+ B cell subset expressing proangiogenic cytokines including

VEGF is up-regulated in the circulation of melanoma patients, highlighting an additional and previously unknown mechanism by which tumor-infiltrating B cells may contribute to tumor progression (73).

THE ROLE OF B CELLS IN CHECKPOINT INHIBITOR THERAPY RESPONSES

Checkpoint inhibitors are designed to regulate T cell effector functions; however, there is growing interest in the contribution of B cell responses to patient outcomes. This has been examined in several recent studies; the findings are summarized in **Table 1** and **Figure 2**.

Tumor-Infiltrating B Cell Signatures in Relation to CPI Treatment Response

Further evidence supports the notion that specific B cell subsets may hold prognostic value in CPI treatment response in advanced melanoma. B cell receptor repertoire and B cell phenotype were analyzed in 473 cutaneous melanoma specimens. The absence of B cell immunoglobulin heavy chain γ (IGHG) gene was found to correlate with lack of response to anti-CTLA-4 but this was not shown in the context of anti-PD-1 therapy (22). In addition, a higher proportion of IL-10 secreting B cells was associated with lack of response to anti-CTLA-4, but was not correlated with response to anti-PD-1 therapy (22). A phase 2 trial of neoadjuvant CPI [neoadjuvant Nivolumab (n = 11) *versus* neoadjuvant Nivolumab plus Ipilimumab (n = 12)] (78) also highlighted the importance of B cells in CPI response. While baseline tumor-infiltrating B cell counts did not differ significantly between responders and non-responders in this small sample size, early on-treatment tumor samples showed increased tumor-infiltrating B cell frequency (as defined by CD20 immunostaining) in treatment responders compared with non-responders. Helmink et al. used the same cohort and performed bulk RNA sequencing from baseline and early on-treatment tumor biopsy samples (29) and found that B cell markers were the most differentially expressed genes in responders to therapy compared with non-responders. They identified significantly increased clonal counts for both immunoglobulin heavy and light chains and increased BCR diversity in responders than in non-responders, which suggests an active role for B cells in anti-tumor immunity. Pathways up-regulated in responders as compared to non-responders included those consistent with increased immune activity such as CXCR4 signaling, cytokine receptor interaction and chemokine signaling pathways. Phenotyping of B cells in tumors identified significantly higher frequency of memory B cells in responders *versus* non-responders at baseline and early on-treatment. They corroborated their findings in a further cohort of 18 patients with melanoma treated with adjuvant or neoadjuvant CPI. Unlike tumor-derived B cells, a peripheral blood B cell phenotype was not found to correlate with treatment outcome (29). Since most samples in this study were blood or metastatic lymph node deposits, the cohort was relatively small and the samples

TABLE 1 | Summary of current evidence of a humoral immune response in patients treated with checkpoint inhibitor therapy.

Sample type	Time point	CPI used	Target	B cell and antibody responses	Reference
Tumor	Baseline	Ipilimumab	CTLA-4	<ul style="list-style-type: none"> IL10+ Breg enrichment found in non-responders to anti-CTLA-4 therapy Absence of IGHG gene signature enriched in non-responders to anti-CTLA-4 	(22)
		Nivolumab	PD-1	<ul style="list-style-type: none"> IL10+ Breg presence did not correlate with treatment response to anti-PD-1 IGHG gene signature did not correlate with treatment response to anti-PD-1 	
Tumor	Baseline	Ipilimumab & Nivolumab combination	CTLA-4 & PD-1	<ul style="list-style-type: none"> For all treatments increased B cell frequency found in the tumours of responders to both combination therapy and anti-PD-1 monotherapy in both baseline and early on treatment samples For all treatments higher density of CD20+ B cells and TLS and a higher TLS:tumour area ratio in early on-treatment samples from responders versus non-responder For all treatments increased frequency of switched memory B cells found in responders at baseline and early on treatment 	(29)
		Nivolumab	PD-1		
	Early on treatment	Ipilimumab & Nivolumab combination	CTLA-4 & PD-1		
		Nivolumab	PD-1		
Tumor	Baseline	Ipilimumab	CTLA-4	<ul style="list-style-type: none"> B cells found to localise within TLS TLS gene signature present in responders TLS structures associated with CD8+ T cells 	(27)
Peripheral blood	After 1 year of treatment	Ipilimumab	CTLA-4	<ul style="list-style-type: none"> High plasmablast numbers seen in patients responding to anti-CTLA-4 Sequenced plasmablast antibodies in patients who had not progressed after 1 year had somatic hypermutation, class switching and clonal expansion showing an active humoral response 	(74)
Tumor	Baseline	Nivolumab	PD-1	<ul style="list-style-type: none"> Plasmablast-like phenotype (CD19+CD20+CD38+CD138-) found to be most common B cell phenotype in TME Tumour induced plasmablast population (TIBP) defined using melanoma secretome Increased expression of TIBP gene signature in baseline samples associated with improved response to Nivolumab 	(41)
Peripheral blood	Baseline	Nivolumab	PD-1	<ul style="list-style-type: none"> Melanoma-antigen specific antibodies to: MDA (TRP1/TYRP1, TRP2/TYRP2, gp100, MelanA/MART1) and the Cancer-Testis antigen NY-ESO-1 higher in responders to all treatments Melanoma-antigen specific IgGs higher in responders at baseline compared with non-responders for all treatments Melanoma-antigen specific IgGs in patients were mainly IgG1 and IgG2 	(75)
		Pembrolizumab	PD-1		
		Ipilimumab	CTLA-4		
		Ipilimumab & Nivolumab combination	CTLA-4 & PD-1		
Peripheral blood	Baseline	Nivolumab	PD-1	<ul style="list-style-type: none"> For all treatments high titres of IgG, IgG1, IgG2 and IgG3 showed a positive correlation with progression-free survival (PFS) For all treatments high titre of IgG2 showed a positive correlation with overall survival (OS) 	(76)
		Pembrolizumab	PD-1		
		Ipilimumab	CTLA-4		
		Ipilimumab & Nivolumab combination	CTLA-4 & PD-1		
Peripheral blood	Baseline	Ipilimumab & Nivolumab combination therapy	CTLA-4 & PD-1	<ul style="list-style-type: none"> Overall decline in B cell numbers but increased plasmablast and CD21^{lo} B cell subsets corresponded to development of high grade immune related adverse events (irAE) Changes in other immune cells not significantly associated with frequency or severity of irAE 	(77)
	Early on-treatment				

were sourced either at baseline or early on-treatment; these findings would benefit from evaluations of larger and more comprehensive studies.

Furthermore, in a cohort of 39 patients with advanced melanoma, early changes were observed in peripheral B cell subsets upon one cycle of combination CPI therapy (Ipilimumab and Nivolumab): particularly a significant decrease in circulating

B cells in patients who underwent combination CPI as opposed to those treated with a monotherapy anti-CTLA-4 or anti-PD-1 (77). No significant differences were found between naïve and memory B cell sub-populations. Despite the overall decline in B cell numbers, a marked increase was observed in the density of: class-switched memory B cells, plasmablasts and CD21^{lo} B cell sub-populations (77). Of note, significant changes in the latter

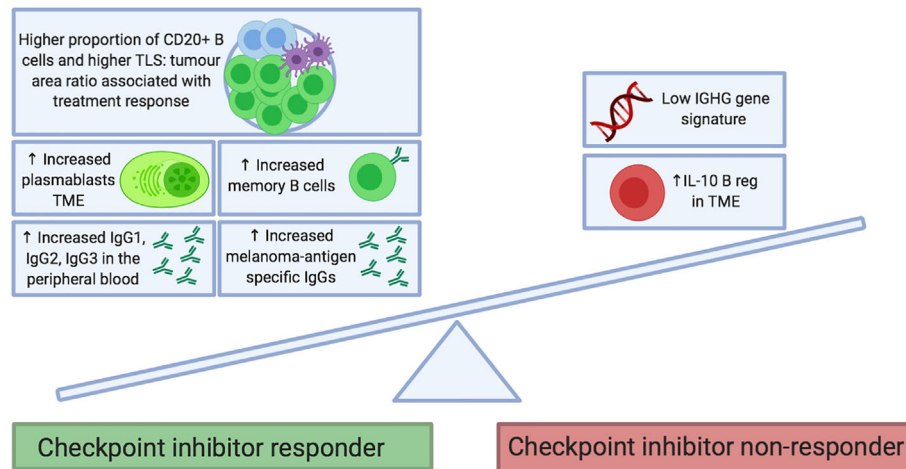


FIGURE 2 | Proposed B cell features at baseline that predict response to checkpoint inhibitor treatment. B cell phenotypes enriched in tumors and the circulation of patients before treatment may be predictive of clinical response to checkpoint inhibitor therapy. Features include the presence of memory B cells (e.g. Ipilimumab monotherapy and combination of Ipilimumab and Nivolumab) and plasmablasts (e.g. Ipilimumab and Nivolumab monotherapies). In the peripheral blood, immunoglobulin isotypes IgG1, IgG2 and IgG3 have been associated with response to Ipilimumab and Nivolumab monotherapies. Melanoma-antigen specific IgG antibodies have been found to be increased in responders to therapy (monotherapy with Ipilimumab, Pembrolizumab and Nivolumab; as well as with combination of Ipilimumab and Nivolumab). Conversely, enrichment of tumors with IL10+ regulatory B cells and an absence of an IGHG gene signature have been associated with a poor response to Ipilimumab. FcγR, Fcγ receptor; NK, natural killer; TCR, T cell receptor.

were only exhibited in patients who underwent combination or anti-CTLA-4 treatment. This is consistent with findings from a previous study whereby loss of circulating B cells and increase in CD21^{lo} B cells were reported in patients treated with an anti-CTLA-4 agent (79). Detailed evaluation of the CD21^{lo} B cell compartment revealed equal concentrations of naïve and memory B cells at baseline, but moderate increase in memory B cells after combination checkpoint inhibition. Furthermore, B cell receptor sequencing demonstrated that CD21^{lo} B cells possessed higher: clonality, maximal clone frequency, and SHM frequency. Therefore, CD21^{lo} B cells were shown as a phenotypically distinct B cell subset present in melanoma patients, which increased in frequency following combination checkpoint inhibition and suggest an active B cell response in the TME. PD-1 expression on the CD21^{lo} B cells was higher than on other B cell subsets, suggesting that this population may be specifically modulated by anti-PD-1 therapy. This study failed to find an association between B cell changes and clinical response. The extrapolation of these findings may be limited due to the small sample size and the fact that on-treatment peripheral blood samples were only taken at an early time point after commencement of CPI therefore may not be representative of the full response.

CPI-Associated Modulation of Plasmablasts

There is some evidence that plasmablasts (PB) may be directly modulated by CPI. Circulating plasmablast levels were significantly enhanced in patients undergoing treatment with anti-CTLA-4 immunotherapy (74). When immunoglobulins produced by the PBs were sequenced, there was evidence of

clonal expansion, SMH and class switching, indicative of an active B cell response (74). Immunostaining of 41 metastatic melanoma specimens revealed that a plasmablast-like phenotype (CD19+CD20+CD38+CD138⁺) is the most frequently observed B cell subset in the TME (41). Furthermore, circulating B cells could be induced to differentiate into plasmablast-like cells when exposed to autologous melanoma secretomes *in vitro* (41). In this study tumor-associated B-cells also expressed T-cell and macrophage chemoattractant mediators (CCL3, CCL3L1, CCL4, CCL5, CCL28, and CXCL16) suggesting that B-cells can orchestrate the immune response in the TME by modulating other cell populations. Increased expression of immunosuppressive cytokines IL-10 and TGFβ1 was reported in plasmablast-like and memory B cell sub-populations, consistent with a potential regulatory role. However, these signatures may also be associated with treatment response: proteomics and RNA-seq data were used to define a tumor-induced plasmablast-like population (TIBP) functional signature, and this signature was evaluated in a historical dataset from patients treated with Nivolumab. In this analysis, the presence of this TIBP signature at baseline correlated with better response to anti-PD-1 treatment (41).

A Role for Tertiary Lymphoid Structures

The importance of TLS in response to CPI has also been investigated. Helmink et al. delved deeper into the localization of B cells within their original cohort of 23 tumors and identified a higher density of CD20+ B cells in TLS and a higher TLS:tumor area ratio in early on-treatment samples from responders *versus* biopsies from non-responders (29). They also illustrated that CD20 B cells often co-localized with CD4, CD8 and FOXP3 T cells. A second study also identified CD20 B cells in 25% of 177

melanoma specimens (27). CD20 B cell clusters were surrounded by CD4 T cells. Increased expression of known molecular markers of TLS (CXCL13, CXCR5 and DC-LAMP) was described and immunostaining confirmed the co-localization of CXCL13 and CXCR5 with the CD20 B cell marker. A TLS gene signature in melanoma was defined and integrated into four historical melanoma cohorts treated with CPI. This TLS gene signature at baseline was associated with significantly increased survival with anti-CTLA-4 therapy, and this was independent of the tumor mutational burden which may be a predictor for TLS formation (27). The role of B cells in TLS in response to CPI has also been highlighted in a third study in the treatment of sarcoma (80). In this, 213 soft tissue sarcomas were stratified according to the composition of the TME. Those with highest density of B cells and TLSs were associated with the highest response rate to CPI. The study identified several immune cell-related gene signatures and the only one significantly associated with extended overall survival was the B cell signature (80).

Taken together these studies highlight that B cell phenotype, localization with TLS and B cell gene expression may be directly modulated by CPI and could be relevant to treatment outcomes. The differential results seen with different agents and combination therapy likely reflect the distinct mechanisms of action of each treatment (81).

ANTIBODY RESPONSES IN MELANOMA

A key feature of B cells is their ability to produce antibodies. B cells in the tumor can undergo class switching and affinity maturation, producing tumor-specific or non-specific antibodies of different isotypes (24) and could exert anti-tumor or pro-tumor roles (82). Human antibodies are tetrameric glycoproteins formed by two heavy and two light polypeptide chains. Heavy and light chains assemble in a Y shaped structure, defined by a Fab region (formed by two identical Fab fragments, composed by the light chain and part of the heavy chain), an Fc region (formed by the constant portion of the two heavy chains) and a hinge region (joining Fab and Fc regions). The variable regions of heavy and light chains are assembled to form two identical antigen binding sites. The heavy chain constant region determines the isotype of the antibody, with a characteristic hinge and Fc region. The hinge region is responsible for the flexibility of the Fab arms which can affect both antigen binding and effector function and for some isotypes, such as IgG4, these can be involved in a process called Fab Arm Exchange, which results in bispecific (IgG4) antibodies (72). The Fc region is involved in the binding to Fc receptors (FcRs) and C1q (complement) and is responsible for antibody mediated effector functions such as ADCC, ADCP and CDC (81).

Depending on their isotype and specificity, antibodies can have opposite roles in the tumor-associated immune response. Different antibody isotypes have different affinity for FcRs and C1q and consequently have different abilities to trigger effector functions. Tumor-specific antibodies with high affinity for FcRs and C1q (such as IgG1) have the potential to engender anti-

tumor effects, mediating ADCC, ADCP, CDC or to support antigen presentation by mediating the uptake of tumor antigens by APCs such as macrophages and dendritic cells (82). Furthermore, membrane bound tumor-specific antibodies on the surface of B cells can engage with tumor cells and trigger B cell mediated cytotoxicity. Evidence of B cell mediated cytotoxicity *via* granzyme B and TRAIL has been shown in hepatocellular carcinoma (83). IgG class antibodies have been found to be tumor-specific, and this has been reported in melanoma (75) and other cancer types and has been correlated with a favorable prognosis (84–87).

Tumor-associated antibodies can also have either pro-tumor effects or their anti-tumor effects may be regulated by tumors. Tumor-specific antibody-mediated immune cell activation and anti-tumor cytotoxicity may also be impaired by expression of inhibitory Fc receptors (FcγRIIb) in the TME and downstream immunoreceptor tyrosine-based inhibitory motif (ITIM) domain signalling (88, 89). Tumor-specific antibodies may be expressed as isotypes (such as IgG2 or IgG4) with poor affinity for activating FcRs or complement. These isotypes can be ineffective in triggering anti-tumor responses like ADCC, ADCP, CDC and antigen presentation (72). Furthermore, IgG4 antibodies can engage the inhibitory receptor FcγRIIb. This might further impair anti-tumor responses, blocking IgG1 mediated effector function (67). Tumor-associated antibodies not specific for the tumor can also serve as a decoy blocking other potentially immuno-activating and tumor-reactive antibodies from engaging with immune effector cells. This can contribute to pro-tumor effects such as ineffective T cell mediated responses or B effector functions. It is also possible that tumor-specific antibodies accumulating at the tumor site over time forming immune-complexes, promoting chronic inflammation and immunosuppressive myeloid cell phenotypes (82).

Isotypes like IgG1 and IgG3 have high capacity to trigger ADCC and facilitate complement activation. The IgG1 isotype is usually associated with anti-tumor responses while TCGA RNA-seq data analysis of melanoma samples showed that IgG3 is either neutral or is associated with a negative prognosis. This could be explained by the fact that IgG3 has a shorter half-life (1 week) compared to other isotypes (IgG1, 2 weeks). Furthermore B cells expressing IgG3 isotype antibodies usually undergo less antibody SHM, and therefore IgG3 antibodies may have lower affinity for the target antigen while still able to occupy FcRs (90). IgG2 has poor ability to bind FcRs and C1q. Evidence of antibody responses switched to IgG2 has been found in melanoma (26). IgG4 has poor ability to bind activating FcRs while able to bind the inhibitory receptor FcγRIIb and has complement-activating ability. Evidence of IgG4 antibody responses has been found in the melanoma TME, and serum IgG4 has been associated with poor prognosis in melanoma (36, 67, 71).

TCGA RNA-seq data analyses also suggest that IgD, IgE, and IgA antibodies may be associated with poor prognosis in melanoma (90). One possible explanation is that IgD can bind basophils resulting in the production of cytokines like IL-4, IL-5,

IL-13, BAFF, and APRIL which may support class switching to IgA and IgE and a Th2 immune response. Furthermore, the presence of IgE antibodies is usually associated with IL-4, which can further support a Th2 immune response. IgA antibodies have been found in melanoma and are associated with poor prognosis. This could be explained by the immune-suppressive phenotype of IgA+ B cells, expressing IL-10 and PD-L1 and by the ability of IgA+ B cells to support the expansion of T regulatory (Treg) cells which in turn secrete TGF β supporting IgA class switch. Melanoma-associated IgA has been found to correlate with poor clonality, suggesting that, in the tumor, class switching to IgA is a consequence of inflammation and not of an antigen-driven response, and that tumor-associated IgA could be non-tumor specific (82).

ASSOCIATIONS OF B CELL EXPRESSED ANTIBODIES WITH CPI TREATMENT

Based on mounting evidence in support of potential roles of various antibody isotypes in melanoma, it is not surprising that antibody responses have been studied and are thought to correlate with outcome of CPI therapy. In the context of B cells in HIV infection, blocking of PD-1 has been shown to increase viral antigen-specific antibody responses (91). This may lend merit to the notion that PD-1-specific CPI could improve tumor-specific antibody responses (92).

One study investigated whether IgG antibody and isotype levels correlated with anti-tumor response and survival following CPI therapy. IgG subclass analysis was performed on serum samples at baseline from 49 patients with melanoma treated with anti-PD1 antibody (Nivolumab or Pembrolizumab (86%), Nivolumab plus Ipilimumab (10%), or Ipilimumab (4%). A positive correlation with progression-free survival (PFS) was found for high titers of total IgG, IgG1, IgG2, and IgG3, while a positive correlation with overall survival (OS) was found to be significant only for the IgG2 subclass (76). This result is quite interesting since IgG2 is usually not associated with anti-tumor immune responses due to its poor ability to trigger ADCC, ADCP, CDC and facilitate antigen presentation, but may reflect activation of a tumor antigen-specific response triggered by CPI.

A different preliminary study compared the antibody sequence repertoires of 26 patients with melanoma treated with Pembrolizumab (9), Ipilimumab (8) or Nivolumab, and Ipilimumab combination (9). It was found that long-term responders had higher levels of SHM after initiation of treatment, and on-treatment compared to the non-responders. Comparison of lineages between patients identified antibodies with high sequence similarity suggesting these antibodies may have arisen from convergent selection, *i.e.*, different patients raising antibodies against shared or similar epitopes. Moreover, when antibody lineages were analyzed and compared among patients, antibodies with high sequence similarity were found, suggesting these antibodies may have arisen from convergent selection and that the patients may be producing antibodies against shared epitopes. Of note, IgG2 was the most frequent

isotype of these antibodies. Furthermore IgG2 was higher in responders compared to non-responders (93).

In a different study, melanoma patients treated with CPI (monotherapy with Nivolumab, Pembrolizumab or Ipilimumab, or the combination of Nivolumab and Ipilimumab), the responder group had higher titers of antibodies specific for the tumor-associated antigens MDA (TRP1/TYRP1, TRP2/TYRP2, gp100, MelanA/MART1) and the Cancer-Testis antigen NY-ESO-1 at baseline, compared to non-responders. Serum analyses showed that NY-ESO-1, TRP1/TYRP1, and TRP2/TYRP2 specific antibodies consisted of several IgG subclasses while the IgG antibodies for MelanA were mostly IgG1 and the antibodies for gp100 were of the IgG2 isotype (75). Interestingly, none of these antibodies were IgG4, supporting the fact that IgG4 antibodies are not associated with a good prognosis in melanoma patients (67, 71, 75, 76).

In patients with metastatic melanoma who were treated with anti-CTLA-4 combined with anti-angiogenic VEGF-A-targeted treatment, there was an increase of antibody titers recognizing the immunoregulatory protein Galectin-1 (Gal-1). Anti-Gal-1 antibody titers in turn correlated with better disease outcome. Higher frequencies of complete or partial responses and improved overall survival were seen in these individuals. Gal-1 has been reported to be up-regulated in many tumor types including melanoma and is usually associated with poorer survival, contributing to tumor growth, angiogenesis, metastasis, and immune evasion (94).

Taken together, these results suggest that checkpoint blockade-associated restoration of T cell activity may also contribute to increasing the effectiveness of the humoral response and highlights the importance of the expressed antibodies as part of the anti-tumor activity. Furthermore, these studies suggest that melanoma-specific antibodies in pre-treatment sera may be promising indicators of CPI immunotherapy response and require further study.

CORRELATIONS WITH IMMUNE-RELATED ADVERSE EVENTS IN CPI THERAPY

Activation of immune responses as a result of checkpoint inhibition can lead to compromised immune self-tolerance and can consequently result in the development of irAEs, toxicities that mimic autoimmunity. The nature and frequency of irAEs vary among CPI agents and can include effects on the gastrointestinal tract (colitis), liver (hepatitis), lung (pneumonitis), and endocrine systems, including hypophysitis and insulin-dependent diabetes. The effects of anti-CTLA4 associated irAEs are generally more severe than those from anti PD-1 inhibition. Whether irAEs represent *de novo* events or whether they represent an unmasking of underlying immune mediated disease remains unclear (6).

Modulation of B cell phenotype has been correlated with irAE in patients undergoing CPI therapy. A recent study (described above) demonstrated an overall decline in B cell numbers but increased plasmablasts and CD21^{lo} B cell subsets in patients

given combination checkpoint inhibition (**Table 1**) (77). These CPI-induced B cell changes positively correlated with frequency and severity of irAEs. Furthermore, patients with altered B cell populations were more likely to develop multi-organ immunotoxicity than those without, with these changes preceding toxicity by a median of 3 weeks.

B cells are proposed to contribute to autoimmunity *via* multiple mechanisms including as antibody secreting cells, cytokine producers, APCs, and immunoregulators. Given the important role of the checkpoint pathways in regulating immune homeostasis, it is not surprising that activation of the CTLA-4 pathway has been extensively studied in the treatment of autoimmunity. Specifically Abatecept, a fusion protein of CTLA-4 and IgG1 Fc portion (CTLA-4-Ig), is approved for abrogating immune overactivity in rheumatoid arthritis (95–97). CTLA-4-Ig is able to directly bind CD80/86; therefore, preventing CD28 binding and T cell co-stimulation. B cells are known to express CD80/86 and have been shown in mouse models and *in vitro* to be a direct target for CTLA-4-Ig. In synovial biopsy samples, CTLA-4-Ig has been shown to decrease B cell infiltrates (95). Humoral responses to T cell dependent and T cell independent antigenic stimulation are also suppressed by CTLA-4-Ig *in vivo* (98, 99). These insights from autoimmunity may also have relevance to our understanding of how CPI modification of B cells may induce irAE.

The presence of autoantibodies in CPI-induced irAE has been detected in a similar fashion to those seen in autoimmune disease in the absence of CPI treatment. For example some patients suffering with CPI-induced hypothyroidism have raised titers of anti-thyroperoxidase antibody and/or anti-thyrotropin receptor antibodies (100, 101). However, as these autoantibodies are not universally detected in CPI thyroid disorders, it is unclear whether they play a causative role. Similar observations have been made in a range of CPI-induced autoimmune phenomena including cases of myasthenia gravis (102), type 1 diabetes mellitus (103) and autoimmune hemolytic anemia (104). One study analyzed 23 common autoantibodies in baseline peripheral blood and post CPI treatment in 133 melanoma patients treated with Ipilimumab (105). This showed that 19% of patients developed autoantibodies on-treatment and a trend of association with onset of irAE which did not reach statistical significance. In this study, organ-specific autoantibodies did not correlate with irAE.

CPI-induced irAEs present a clinical challenge for patient selection and can lead to treatment discontinuation. Insights into the complex balance between activation and suppression of B cell subsets may infer consequences for both treatment response and irAE. In addition, the potential contributions of autoantibodies to irAE warrant further investigation and may be of direct relevance to B cell biology in the context of CPI.

HARNESSING THE HUMORAL IMMUNE RESPONSE IN MELANOMA IMMUNOTHERAPY

Data from several studies suggest that B cells can play multifaceted roles in the immune response to melanoma and that

melanoma-associated immune evasion mechanisms involve modulating B cell immunity in addition to suppressing T cell activation. Despite the impressive efficacy demonstrated by CPI, a large proportion of patients fail to respond and/or develop irAEs (4, 106, 107). Modulated B cell and antibody repertoires could either obstruct or facilitate the efficacy of CPI, depending on an array of factors in the TME. Further research identifying specific cellular surface markers and methods to clearly dissect the various B cell phenotypes will help improve our understanding of B cell immunity in melanoma and whether and how this is altered by CPI. This may then be exploited in clinical trials to help identify therapeutic strategies which can be combined with CPI to improve response. This may include the targeting of B cells; a pilot study using Rituximab (anti-CD20) to deplete B cells in melanoma patients increased the median time without recurrence from 6 to 42 months (108). On the other hand, B cell depletion therapy may have contrasting effects dependent on the stage of melanoma in mouse models (41). Given the newfound appreciation of the complexity of the TIL-B sub-populations, a more effective approach may involve depleting specific pro-tumor subsets, such as Bregs and IL-10+ B cells, while promoting anti-tumor subsets which could be combined with CPI as an adjuvant (24). PD1-PD-L1 engagement plays a role in suppressing B cell-mediated T cell activation, influencing CPI efficacy. Inhibition of PD-1 expressed on B cells may also be a mechanism through which CPI directly modulates B cell responses.

The targeting of the immunosuppressive TME cytokines IL-10, VEGF or TGF- β may effectively reinstate robust anti-tumor immunity by preventing IgG1 to IgG4 class switching and promoting anti-tumor B cell subsets to engage in tumor rejection. The hostile TME may be taken into consideration in the engineering of therapeutic antibody candidates, with the aim of potentially producing antibodies more resilient to immune suppression. CPI antibodies could additionally be engineered to have reduced binding to inhibitory Fc receptors, increased binding to activatory Fc receptors, or consider alternative isotypes such as IgE which has been implicated to improve immune surveillance in melanoma (109–111).

Aside from clinical response, irAEs are a major challenge of CPI in melanoma, as 96% of patients receiving combined CPI experienced at least one irAE in the CheckMate067 trial (4). Immune monitoring for early alterations in B cells following CPI may hold some promise in determining those at risk of developing irAEs. If confirmed to contribute to irAEs, therapies targeting plasmablasts and CD21^{lo} B cells could be explored to reduce the risk. Serum and melanoma IgG4 and IgG4 + B cell levels may also be monitored, and therapies targeting these subsets could be conducted prior to CPI to establish a ‘hot’ tumor more likely to respond to CPI. Throughout CPI, B cell and antibody levels may be monitored to determine modulation and predict prognostic outcomes, and spikes may be countered through further depletion methods. Similar strategies could be conducted with immunosuppressive cytokines in the TME, anti-tumor B cell subsets and IgG1 levels.

CONCLUSION

It is increasingly appreciated that melanoma can develop intricate mechanisms to modulate the humoral immune response, and B cell immunity may also play a significant role in the efficacy and safety of CPI in melanoma. Taking all into consideration, it is highly conceivable that the promotion of anti-tumor B cell immunity with targeted therapies can also have clinical relevance in CPI response. Furthermore, immunomonitoring of humoral responses prior to, during, and following CPI in predicting irAEs should be explored. Given the complexity of tumor:immune cross-talk, utilizing B cells and antibodies as prognostic/predictive biomarkers in patient stratification and in immunomonitoring remains largely unexplored. Factoring in B cell immunity may harbor significant potential to overcome the two greatest challenges associated with CPI in melanoma: response and irAEs. Further studies in patients, *in vivo* and *ex vivo* models of immuno-oncology, alongside clinical testing, are required to fully comprehend and exploit likely multifaceted contributions.

AUTHOR CONTRIBUTIONS

ZNW, RJH, SC: Design and assembly of manuscript, design of illustrations. KH, HK, DT: Original draft writing. AnC, HJB, JC,

AIC, RL, GO, RMH, MN, ST, LB: Planning, discussion and editing of the manuscript. JLG, AM-R, CH, JFS: Discussion, manuscript editing, clinical insights. SP, KEL, SNK: Manuscript design, project oversight. All authors contributed to the article and approved the submitted version.

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The Origin of B-cells: Human Fetal B Cell Development and Implications for the Pathogenesis of Childhood Acute Lymphoblastic Leukemia

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Human B-lymphopoiesis is a dynamic life-long process that starts *in utero* by around six post-conception weeks. A detailed understanding of human fetal B-lymphopoiesis and how it changes in postnatal life is vital for building a complete picture of normal B-lymphoid development through ontogeny, and its relevance in disease. B-cell acute lymphoblastic leukemia (B-ALL) is one of the most common cancers in children, with many of the leukemia-initiating events originating *in utero*. It is likely that the biology of B-ALL, including leukemia initiation, maintenance and progression depends on the developmental stage and type of B-lymphoid cell in which it originates. This is particularly important for early life leukemias, where specific characteristics of fetal B-cells might be key to determining how the disease behaves, including response to treatment. These cellular, molecular and/or epigenetic features are likely to change with age in a cell intrinsic and/or microenvironment directed manner. Most of our understanding of fetal B-lymphopoiesis has been based on murine data, but many recent studies have focussed on characterizing human fetal B-cell development, including functional and molecular assays at a single cell level. In this mini-review we will give a short overview of the recent advances in the understanding of human fetal B-lymphopoiesis, including its relevance to infant/childhood leukemia, and highlight future questions in the field.

Keywords: B-lymphopoiesis, human fetal, childhood, infant, leukemia, B-ALL, B-cell

INTRODUCTION

Unraveling the details of human hematopoietic development during embryogenesis is crucial for both basic and medical science. Relative contributions of different progenitor compartments and downstream lineage specificity vary during human ontogeny. Detailed immunophenotyping of fetal hematopoietic tissues from 6 to 20 weeks post conception (pcw) has identified that a much higher proportion of fetal bone marrow (FBM) cells are B-lymphoid than fetal liver (FL) and adult bone marrow (ABM) (1). In keeping with this, the changing lymphoid/myeloid specification in aging bone marrow has been described (2–4). Secondly, a switch from multipotent to largely oligo/unipotent stem cells is also known to occur between fetal and adult life (5). Thirdly, differences in the proliferative capacity of human fetal and postnatal hematopoietic stem and progenitor cells (HSPC) have been demonstrated using functional and molecular studies, with a marked and progressive increase in stem cell quiescence evident during physiological aging

(6–9). In addition, some fetal gene expression programs are inherently oncogenic (10–12), and high mutation rates are seen both in hematopoietic and non-hematopoietic fetal stem cells when compared to postnatal tissues (13, 14). Therefore, understanding how hematopoiesis changes through human ontogeny is crucial if we are to understand the site- and stage-specific variation in HSPC throughout the human lifetime and the role it plays in hematological disorders/diseases.

Fetal hematopoiesis is of particular interest in understanding childhood blood disorders that originate before birth. Significantly all infant leukemia and much of childhood acute lymphoblastic leukemia (ALL) originate before birth (15, 16).

ALL is the most common childhood malignancy, and 80% of childhood-ALL are of the B-lymphoid lineage. Early onset B-ALL can be divided into infant ALL (iALL) presenting at age <12 months or childhood-ALL presenting at age >12 months. While outcomes for childhood-ALL have improved dramatically over the past few years to reach an overall survival (OS) rate of >90% (17); the OS rate is only ~60% in infants (18). The reasons for such disparate outcomes is not clear, but the clues might lie in the developmental origins of infant and childhood-ALL.

Advances in understanding fetal hematopoiesis and prenatal oncogenic events, have been limited by a number of factors. The scarcity of human fetal biological samples is compounded by the difficulty in working with very small numbers of HSPC that can be obtained from each sample. Thus, majority of our understanding of early hematopoiesis development has come from murine studies. Neither these, nor adult human models can be used as a faithful surrogate for human fetal hematopoiesis (5, 19, 20). This in turn leads to difficulties in making developmentally relevant model systems for human leukemia (21, 22).

In this review we will focus on recent advances in our understanding of human B-lymphopoiesis during ontogeny, especially in fetal life, and review progenitor compartments therein which may align to the origin of iALL and childhood-ALL.

HUMAN B-LYMPHOPOIESIS

Hematopoiesis has traditionally been described as a hierarchical process with hematopoietic stem cells (HSCs) at the apex; these divide and differentiate into progressively restricted progenitors that subsequently give rise to the mature cell types of the hematopoietic and immune system (23, 24).

The traditional human B-lymphoid developmental hierarchy in adult life demonstrates the following lineage progression in ABM: HSC, multi-potent progenitors (MPP), lymphoid-primed multi-potent progenitors (LMPP) (25, 26), multi-lymphoid progenitors (MLP) (27, 28), common lymphoid progenitors (CLP) (29), ProB-progenitors, PreB-cells and finally mature B-cells (30–32) (**Figure 1**). Lineage commitment is a multi-stage process defined by transcription factors and their related gene regulatory networks, influenced both by cell intrinsic factors and extracellular signals from the microenvironment (29, 33–35).

CD19 expression is the hallmark of B-lineage commitment, with ProB-progenitors being the first CD19⁺ cells in ABM that also initiate immunoglobulin heavy chain V_H-D_H-J_H rearrangement (31, 36). In recent years, single cell approaches have been extensively applied to delineate cellular hierarchies and molecular pathways in hematopoiesis (37, 38). However, the majority of studies have been done in human cord blood (5, 39) or adult tissues (38, 40, 41).

Recent studies have begun to leverage sophisticated transcriptomic and functional assays to identify B-lymphoid progenitor compartments in the fetus that are not represented in the adult. These, and/or their microenvironment, are hypothesized to be important for the pathogenesis of infant and childhood leukemias, and perhaps also adult malignancies with *in utero* origins (15, 42).

HUMAN FETAL B-LYMPHOPOIESIS

The timings and sites of fetal hematopoiesis have been broadly mapped out in humans. Hematopoiesis is initiated at day 18 post conception in the yolk sac, independently definitive HSC emerge from the aorta-gonad-mesonephros (AGM) at 4 pcw and subsequently migrate to the FL and then bone marrow, which remains the main site of hematopoiesis after birth (43–47). HSCs colonize the FL from 5th pcw, and they are detectable later in the long bones at 10–12 pcw (1, 48).

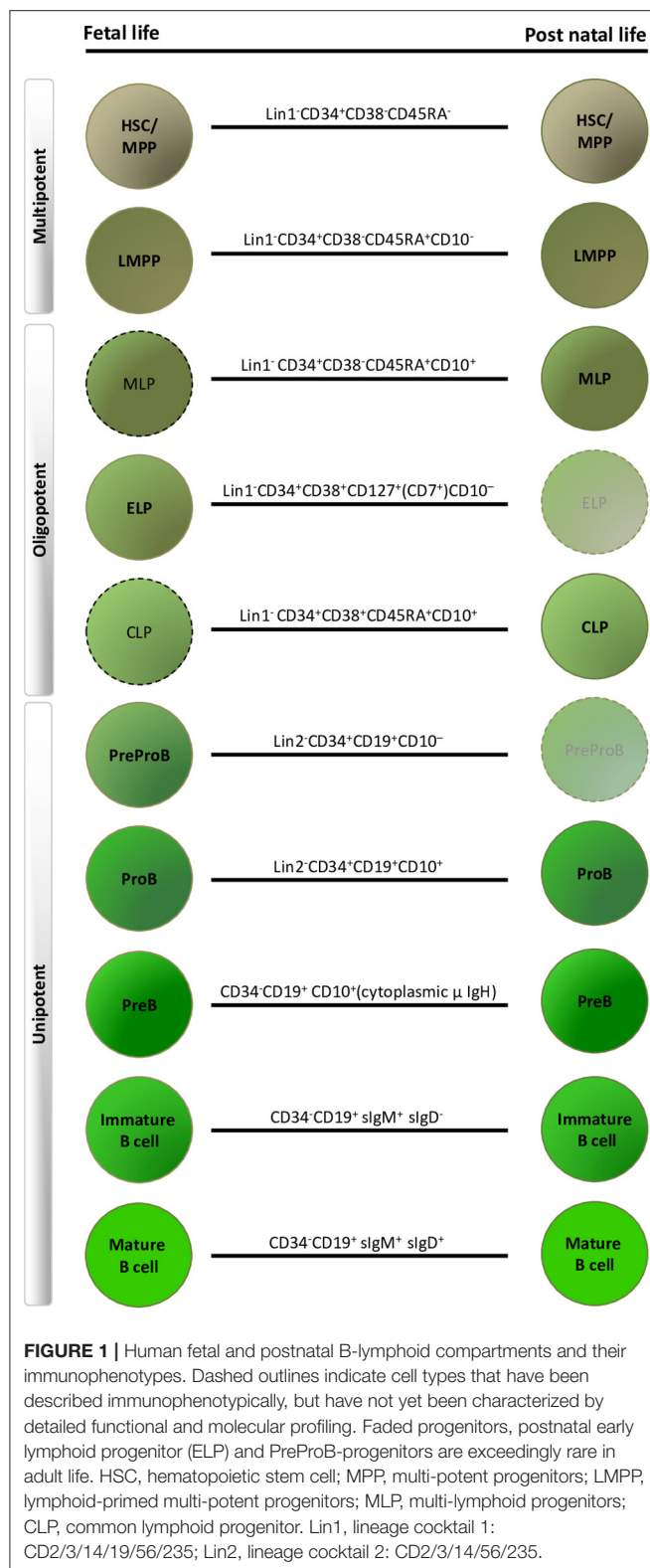
In humans, the first evidence of onset of embryonic lymphopoiesis is in the FL at 6 pcw, with multi-potent progenitors (HSC, MPP, LMPP) and fetal-specific oligo-potent early lymphoid progenitors (ELP) detectable. B-progenitors and B-cells are seen in FL by 7 pcw (9, 30, 49, 50). From 2nd trimester the FBM takes over from the FL as the main site of B-lymphopoiesis (1, 51).

Fetal Lymphoid Progenitors

Interestingly, in murine models immune restricted cells with lymphoid potential are observed in the yolk sac (YS), preceding the first HSCs found in FL; these have potential to produce lymphocytes and granulocyte macrophage progenitors (52) and express IL7 receptor (IL7-r/CD127). Transcriptomic data suggests that such lymphoid progenitors may also be present in human YS (9) but these have not been systematically characterized yet. In humans a potentially analogous cell has been identified in the FL, from 6 pcw; (CD34⁺CD19[−]IL7R⁺) (1, 50, 53, 54). Similar IL7R⁺ progenitors have been described in human FBM (1). FL and FBM CD34⁺CD127⁺CD19[−]CD10[−] ELP have been characterized by functional and transcriptomic assays, and shown to generate B, T and NK cells while retaining some residual myeloid output. These fetal-specific ELP are very rare in postnatal life (1, 54). There has therefore, been considerable interest in these cells as potential target cells for childhood-ALL.

Fetal B-Progenitors

From 7 pcw the presence of two committed CD19⁺ B-progenitors downstream of ELP has been confirmed in human FL samples; PreProB (CD34⁺CD19⁺CD10[−]) and ProB (CD34⁺CD19⁺CD10⁺) progenitors; differing in their CD10



expression (1, 50, 54). Similar progenitors have been described in cord blood (55, 56). PreProB-progenitors account for ~2.5% and ProB-progenitors ~8% of FL CD34⁺ cells, and these frequencies

remain fairly stable in FL between 7 and 20 pcw. These cells have also been identified by single cell transcriptomic approaches in the human FL (9).

PreProB and ProB-progenitors are also present and markedly expanded in human FBM (1). Both B-progenitor compartments undergo marked expansion in the early stages of colonization of FBM, to account for up to around 20% and 11% of FBM CD34⁺ cells, respectively, at 11 pcw. Later in the second trimester PreProB-progenitors plateau while ProB-progenitors expand further to >30% of CD34⁺ cells in FBM. By contrast, ABM CD34⁺ compartment was found to have only 0.5% PreProB-progenitors and 14% ProB-progenitors (1).

Both PreProB and ProB-progenitors lie downstream of ELP and generate exclusively B-lymphoid progeny *in vitro* and *in vivo*. Functional and molecular studies have established that FBM PreProB-progenitors lie upstream of ProB-progenitors, and are therefore the earliest B-lymphoid restricted progenitors in the fetal B-cell developmental hierarchy (1).

B cell maturation, defined by B cell receptor diversification, commences in B-lymphoid progenitors in fetal life. Fetal ELP and PreProB-progenitors show partial (D_H-J_H) IgH rearrangement (1, 54), whereas the more mature ProB-progenitors demonstrate complete V_H-D_H-J_H rearrangement (1).

Fetal B-Cells

CD19⁺ B-cells have been reported in FL and FBM by many groups (30, 48, 49, 57–59), and recently been characterized in greater detail (1, 9, 60, 61). Evidence of B cell maturation is demonstrable in human fetal life, with polyclonal CD19⁺IgM⁺ B-cells (60–63). Although FL and FBM immunoglobulin heavy chain repertoires are equally diversified, FL appears to be the main source of IgM natural immunity during the 2nd trimester, and this correlates with the majority of B-cells in 2nd trimester FBM being CD34⁺CD19⁺CD10⁺IgM/D⁻ PreB-cells with a relative lack of more downstream immature and transitional B-cells (60).

B1 B-Cells and their Putative Progenitors

B cells can be further divided into B1 B-cells of the innate immune system and “conventional” B2 B-cells of the adaptive immune system. This division is well-established in mice, where sIgM⁺CD11b⁺CD5⁺ B1a B-cells were first identified (64, 65) through the search for, the still elusive, cell of origin of adult human CLL (42, 66). B1b B-cells (sIgM⁺CD11b⁺CD5⁻) were subsequently described (67); both these subtypes are seen predominantly in serous cavities. Further characterization of splenic B1 cells have identified them to be CD5⁺/CD19^{hi}CD1d^{mid}CD23⁻CD43⁺IgM^{hi}IgD^{lo} (68). Murine B1 B-cell progenitors are found in the yolk sac (69) prior to the emergence of the first definitive HSCs in the FL, which have both B1 and B2 B-cell output (70). The B-cell output skews toward B2 B-cells over ontogeny, with B1 B-cell output being exceedingly rare in ABM (65, 71).

Human B1 B-cells and their upstream progenitors have been proposed as the *in utero* cell of origin for infant and childhood-ALL (72) and as having a role in auto-immune disease (73, 74). In humans, B1 B-cells were described in

umbilical cord blood and adult peripheral blood. These cells were $CD20^+CD27^+CD43^+CD38^{lo/int}$ and functioned in line with murine counterparts, including spontaneous IgM secretion, constitutional BCR receptor activity and ability to induce allogeneic T cell proliferation (75). Putative B1 B-cells have also been described in human fetal hematopoiesis, with greatest frequencies in 10 pcw FL, decreasing as FBM is colonized (59). After birth, estimates of B1 B-cell populations range from 1 to 10% circulating B-cells, this frequency falls as age increases (76–78).

The progenitors of B1 B-cells in humans remain elusive and contentious. Two theories posit either a lineage (or layered) model where different subtypes arise from different progenitors or a selection model whereby there is interconversion between B1 and B2 B-cells. In humans, CD27 (one of the cell surface markers of B1 B-cells) expression in ABM ProB-cells coincides with *LIN28B* expression levels similar to that seen in FL. These cells mature preferentially to B1-like B-cells compared to their CD27[−] counterparts. It is not clear whether this relates to a separate lineage or alternative differentiation potential (79).

In summary, human fetal B-lymphopoiesis starts around 6 pcw in FL, with B-cell production happening simultaneously in FL and FBM from 2nd trimester. Hematopoiesis in the FBM is skewed toward B-lymphopoiesis in 2nd trimester. In addition there are fetal-specific B-lymphoid progenitors (ELP and PreProB-progenitors), B-cells (B1 B-cells) and developmental pathways that are different from human adult life (Figure 1).

MOLECULAR PROFILE OF FETAL B CELL PROGENITORS

Recent studies suggest that the ontogenic switch of B1 to B2 B-cells in murine B-cell lineage fate of progenitor cells is determined by a combination of intrinsic fetal gene expression programs (*Lin28b*) (80) and extrinsic FL environmental factors (81). Whole transcriptome profiling of murine fetal and adult B cell progenitors showed distinct differences between B-1 and B-2 B-cells as well as between fetal and adult progenitors (82). Although it is well-accepted that human fetal and adult B-lymphopoiesis differ significantly, very few studies have directly compared the molecular pathways underlying these differences. However, both human adult (35, 41, 83) and fetal (1, 9, 84) RNA-seq data sets across many hematopoietic subpopulations have been produced separately and are publicly available for such analyses.

The advent of single cell sequencing technology has allowed the transcriptome of hematopoietic cells to be investigated in unprecedented detail. Recent single-cell transcriptome profiling of human FL and FBM hematopoiesis has demonstrated the transcriptomic changes that drive differentiation in the fetal B cell hierarchy from HSC to mature B-cells; with upregulation of genes such as *SPIB*, *SP100* and *CTSS* at HSC/MPP to B-lymphoid transition, followed by gradual upregulation of B-cell specific genes such as *MS4A1*, *CD79B*, and *DNTT* (1, 9).

Although fetal PreProB-progenitors are functionally identical to ProB-progenitors in being restricted to a B-lineage output;

these two progenitor subtypes are molecularly distinct in their gene expression and chromatin accessibility patterns, with many myeloid (*MPO*, *CSF1R*), T-cell (*CD7*, *CD244*) and stem cell (*SPINK2*, *PROM1*) genes being accessible and expressed in PreProB-progenitors (1). In addition, when transcriptomes of iALL blasts are compared with different fetal HSPC populations, they most closely match the two fetal-specific progenitor populations, ELP and PreProB-progenitors (1) implicating these cells as potential targets for leukemic transformation.

Direct comparisons focusing on human B-progenitors showed that although adult and fetal counterparts were functionally similar, they did exhibit ontogeny-related transcriptomic differences at a single cell level, with fetal B-progenitors expressing high levels of genes involved in DNA recombination (*DNTT*, *RAG1*), as well as myeloid genes and known fetal-specific genes such as *LIN28B* (1, 80).

Previous studies have also shown that B cell receptor (BCR) development differs in fetal life, in particular with respect to V_H-D_H-J_H joining (85). Fetal BCR have a shorter CDR3 length, and show preferential usage of VH6, DHQ52 and the JH3 and JH4 loci compared to postnatal B-cells (60, 86–89).

RELEVANCE TO CHILDHOOD-ALL

The practical importance of characterizing human fetal B-lymphopoiesis is to understand the origins of childhood B-ALL, many of which are initiated before birth. This has led to the suggestion that fetal specific B1 B-cells and their progenitors could be the target cells for leukemia initiation in many subtypes of childhood leukemia. Gene expression signatures from mice which distinguish B1 and B2 B-cells have been mapped to human orthologs; application of these signatures to human pediatric ALL transcriptomic datasets separates B1 B-cell-like ALL subtypes including *ETV6-RUNX1* ALL, from B2 B-cell-like subtypes such as *BCR-ABL1*, hyperdiploid, and *KMT2A* ALL subtypes (90). Intriguingly, in murine models BCR-ABL transduction into B1 B-progenitors yields greater tumor burden in resulting murine leukemia than B2 B-progenitors (91).

These data suggest that it is likely that the biology of different types of infant/childhood Precursor B-ALL depends on the developmental stage specific characteristics of the leukemia-initiating cell although this remains to be demonstrated directly. Nevertheless, it is likely that this is particularly relevant for iALL, which invariably originates *in utero* and presents as a rapid onset aggressive leukemia within the 1st year of life.

Clinical and Biological Features of Infant and Childhood-ALL

The clinical course and molecular features of iALL are distinct from childhood-ALL. iALL remains a disease with dismal event-free survival (EFS) (18, 92–94), although recent risk-stratified treatment protocols suggest that outcomes could be improved (95). In iALL, blasts are predominantly CD19⁺CD10[−], often with aberrant myeloid cell surface markers suggestive of an immature B-progenitor, as opposed to a CD19⁺CD10⁺ Pre-B phenotype in childhood-ALL (18, 96). *KMT2A* gene

rearrangements (*KMT2A-r*) is the main genetic driver for 70–80% iALL cases, as opposed to only 2–5% of childhood-ALL cases (97, 98).

Current evidence suggests that iALL (particularly *KMT2A-r* ALL) originates *in utero* and has been traced back to its fetal origin through retrospective detection of the fusion gene in neonatal blood spots (99), as well as studies in monozygotic twins with ALL (100, 101). A characteristic feature of iALL is the fact that a single hit (*KMT2A-r*) before birth seems to be sufficient to induce a rapidly-proliferating, therapy-resistant leukemia without the need for additional mutations (102).

Unlike iALL, many cases of childhood-ALL also originate *in utero* but only develop into full-blown leukemia after a second post-natal hit (15, 16). Several subtypes of childhood B-ALL have been shown to arise *in utero* including those characterized by *KMT2A-r* (103, 104), *ETV6-RUNX1* (105–107), *BCR-ABL* (108), *TCF3-PBX1* (109), *TCF3-ZNF384* (110) gene fusions and high hyperdiploid ALL (111, 112) (Figure 2).

There are several properties of fetal hematopoietic cells that may underlie the pathogenesis of iALL and childhood-ALL. Firstly, fetal HSPC are more proliferative (6, 7) and have better long term repopulating ability in xenograft models (8, 113–115). Fetal-specific gene expression programs such as the *LIN28B-LET-7-HMGA2* axis (79, 80, 116, 117) have been shown to drive self-renewal (118) and oncogenesis (10–12, 119). Activation of *LIN28B*, in particular, has been demonstrated in several cancers and results in suppression of *LET-7* micro-RNAs and subsequent de-repression of an array of oncogenes including *MYC*, *RAS*, *BLIMP1*, *ARID3A* and *HMGA2* (10, 120). *ARID3A* is necessary for fetal B lymphopoiesis and B1 cell division (121, 122), and has also been shown to promote cancers by driving higher *MYC* expression (123, 124). *HMGA2* is a fetal-specific transcription factor that is re-expressed in many cancers. It promotes cell proliferation, and the *Lin28-Let-7-HMGA2* axis maintains cancers in an undifferentiated state (125). The expression of oncogenes such as *LIN28B* in fetal HSPC, may therefore play a role in leukemia initiation and transformation of fetal target cells, and in particular the development of aggressive leukemias in infancy and early childhood.

Secondly, there is a higher proportion of B-progenitors in fetal life compared to adults (1, 2). B-lymphopoiesis itself changes through the human lifetime with a switch in the ratio of B-progenitors to more mature B-cells (30, 49). Regardless of the mechanism, hematopoiesis in the human FBM is skewed toward the B-lymphoid lineage with the presence of a very high frequency of B-progenitors (1, 126) thus expanding the pool of target cells for malignant transformation.

Developmental Origins of iALL

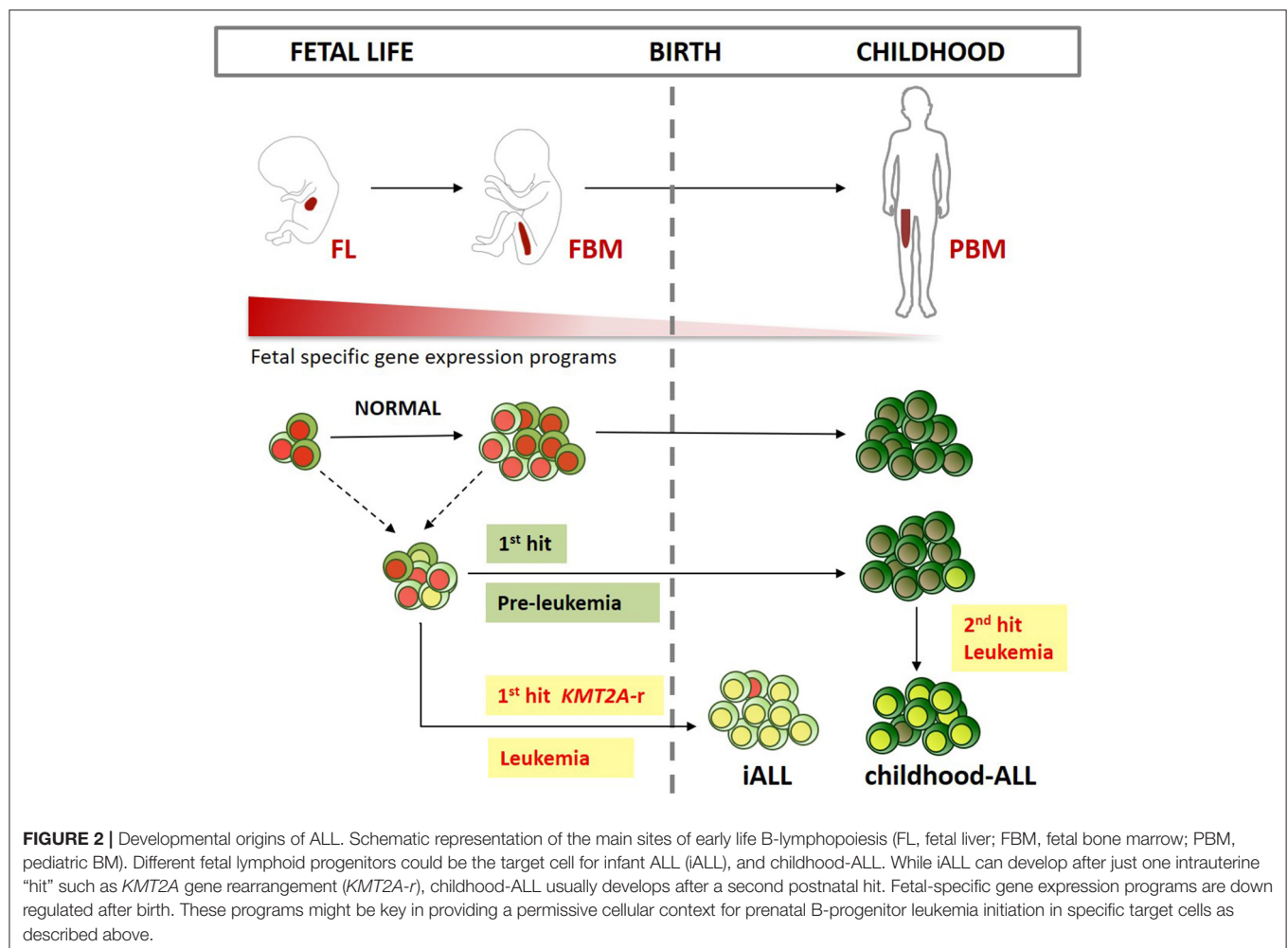
It is also possible that the fetal cell of origin for iALL and childhood-ALL are different (Figure 2). We suggest that an attractive hypothesis is that iALL arises in a unique B-progenitor found only in fetal life. Of particular interest are fetal-specific *IL7R⁺* ELP (1, 50, 53, 54) and PreProB-progenitors (1, 50, 55, 56) that share immunophenotypic, transcriptomic and *IgH* rearrangement patterns with iALL blasts (1, 96). Compared to ABM counterparts, fetal PreProB-progenitors uniquely express

known oncofetal genes, such as *LIN28B*, as well as genes implicated in *KMT2A-r* iALL, such as *KLRK1* and *PPP1R14A* (127, 128) that have not previously been recognized as being fetal-specific (1). Fetal ELP/PreProB-progenitors also demonstrate features that could account for lineage plasticity such as an accessible chromatin pattern, together with residual expression, of myeloid and stem cell genes (1). In addition, iALL can switch to a myeloid lineage at relapse, especially after B-lymphoid directed treatment (129–132). This could either be a feature of residual preleukemic primitive progenitors that are capable of giving rise to both myeloid and lymphoid leukemia, or because of plasticity and/or reprogramming of leukemic early B-lymphoid progenitors (130, 133). For example, *KMT2A-r*, the most frequent genetic driver of iALL, may drive leukemogenesis by binding to accessible genes in permissive fetal progenitors; or indeed alter the chromatin accessibility and gene expression patterns of target genes. *KMT2A* is a lysine methyltransferase, and *KMT2A-r* is thought to promote leukemogenesis by activating key target genes such as *HOXA9* and *MEIS1* (134, 135). Although there is some heterogeneity in *KMT2A-r* ALL based on the specific fusion partner gene, most *KMT2A*-fusion proteins drive and maintain leukemia via a protein complex involving AF4/ENL/AF9/PTEF-B. *KMT2A*-fusion proteins bind directly to gene targets where they aberrantly upregulate gene expression, partly by increasing histone-3-lysine-79 dimethylation through DOT1L (135).

These mechanisms of *KMT2A-r* mediated transformation are difficult to validate without a *bona fide* model of iALL, which has been very difficult to generate. However, we have recently developed a novel iALL model derived by CRISPR-Cas9 mediated *KMT2A-r* in primary human FL HSPC (136). This demonstrates that a human fetal cell context is permissive, and indeed probably required; to give rise to an ALL that recapitulates key features of iALL. In this model, recruitment of fetal-specific genes by *KMT2A*-AF4 is demonstrated by *KMT2A-N* and AF4-C binding and H3K79me2 at these genes by ChIP-seq (136). Furthermore, maintenance of fetal-specific gene expression programs accounts for the unique molecular profile of iALL, suggesting that it is the specific fetal target cell(s) in which it arises that provide the permissive cellular context (136).

Developmental Origins of Childhood-ALL

It is possible that childhood-ALL on the other hand is likely to arise from a more mature CD19⁺CD10⁺ fetal B-progenitor such as ProB-progenitors or PreB-cells. These cell populations are found in abundance in FBM and expand rapidly throughout the second trimester. As in iALL, several genes that have been implicated in the pathogenesis of childhood-ALL are also important in fetal B lymphoid development. Some of these, such as *PAX5*, *EBF1*, *TCF3*, and *IL7R* (137, 138), are expressed at higher levels in fetal B-progenitors compared to postnatal counterparts (1). This is also true for the B-cell specific gene *RAG1* that may play a role in driving childhood-ALL-associated chromosomal translocations such as *ETV6-RUNX1* (139). In addition, childhood-ALL is characterized by multiple lesions affecting cell cycle and B-cell differentiation genes (138). It is hypothesized that the proliferative capacity and



complementary epigenetic profile (such as greater chromatin accessibility of highly expressed genes) of the cell of origin provide the right substrate for leukemic transformation (35, 140). This permissive cell-state is likely to be present in FBM ProB-progenitors where their rapid proliferation at the expense of differentiation during a particular developmental time window may make them more susceptible to oncogenic hits. Others have hypothesized that it is the fetal/neonatal BM niche that drives the lymphoid-biased phenotype of *KMT2A-r* infant/childhood leukemia (141).

CONCLUSION

Recent advances in developmental hematopoiesis have allowed better characterization of human fetal B-lymphopoiesis using molecular and functional studies. This has revealed fetal-specific B-lymphoid progenitors and B-cell developmental pathways that can be distinguished from postnatal B-lymphopoiesis. Lineage specification of fetal progenitors, the enrichment of multi/oligopotent progenitors and their proliferative capacity is also likely to be driven by microenvironmental cues from the FL and FBM hematopoietic niche.

Studies directly comparing fetal B-lymphoid cells and their microenvironment with childhood and adult counterparts are crucial if we are to understand the site- and stage-specific variation in hematopoiesis throughout the human lifetime and the role it plays in normal and abnormal B-lymphopoiesis. This also has implications for using age-appropriate controls for studies of disorders of hematopoiesis, particularly in early life.

The lymphoid bias of normal fetal hematopoiesis may well be a key factor in the predominance of ALL among infants and children. A better understanding of the importance of the fetal context for leukemogenesis is likely to require models derived from human fetal HSPCs and/or niche. Using human fetal cells to develop faithful infant and childhood-ALL models will allow better understanding of disease pathogenesis and rational development and testing of therapeutics in the future.

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***TNFRSF13B* Diversification Fueled by B Cell Responses to Environmental Challenges—A Hypothesis**

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B cell differentiation and memory are controlled by the transmembrane activator and CAML interactor (TACI), a receptor encoded by *TNFRSF13B*. *TNFRSF13B* mutations are frequently found in common variable immunodeficiency (CVID) and in IgA -deficiency; yet, ~98% of those with mutant *TNFRSF13B* are healthy. Indeed, *TNFRSF13B* is among the 5% most polymorphic genes in man. Other mammals evidence polymorphism at comparable loci. We hypothesize that *TNFRSF13B* diversity might promote rather than detract from well-being by controlling key elements of innate immunity. We shall discuss how extraordinary diversity of *TNFRSF13B* could have evolved and persisted across diverse species of mammals by controlling innate and adaptive B cell responses in apparently paradoxical ways.

Keywords: B-lymphocyte, *TNFRSF13B*, antibodies, T cell-dependent antibody response, T cell-independent antibody responses

INTRODUCTION

B cell responses are often characterized as T cell-independent or T cell-dependent that differ on how T cells are engaged. In “T-independent B cell responses” antigens with repetitive epitopes, such as polysaccharides, engage B cell antigen receptors and/or toll-like receptors and in doing so induce proliferation and plasma cell differentiation. The antibodies produced in T cell-independent responses may appear relatively soon after introduction of antigen, but not immediately as in recall responses. The Ig variable region genes encoding these antibodies typically lack extensive somatic mutation (1). Repeated exposure to antigen that had generated a T cell-independent response does not hasten and amplify the response [i.e., B cell memory is not manifest; (1)]. In contrast, the T-dependent pathway requires T cell help and is associated with responses to protein antigens. Proteins are processed and peptides presented on major histocompatibility class II molecules expressed by antigen presenting cells that activate cognate CD4-positive T cells. These T cells in turn, engage cognate B cells activated by the same antigen by binding peptide MHC class-II complexes and co-receptors such as CD40. T cell-dependent responses induce long-lived memory responses and are associated with high affinity binding antibodies produced by plasma cells descending from the germinal centers in the secondary lymphoid organs (1). Naturally occurring polymorphisms in the *TNFRSF13B* gene differentially control T-dependent and T-independent pathways of antibody production.

TNFRSF13B AND THE CONTROL OF ANTIBODY PRODUCTION

TNFRSF13B encodes the tumor necrosis factor superfamily member 13B, a transmembrane receptor of lymphocytes that recognizes a proliferation induced ligand (APRIL) and B cell activation factor (BAFF), members of the tumor necrosis ligand family (2). *TNFRSF13B* also binds heparan sulfate chains associated with syndecan-2 and-4 cores (3). The signaling events initiated by *TNFRSF13B* are complex and intersect with signaling by Toll-Like receptors (TLRs) and will be only briefly summarized here. Binding of BAFF and APRIL to the cysteine rich domain of the receptor closest to the cell membrane (CRD2) engages TNFR-associated factors (TRAF 2, 5, and 6) and activates NF- κ B, c-Jun NH2-terminal kinase (4) and activator protein 1 (AP-1) (5). *TNFRSF13B* interacts with calcium modulator and cyclophilin ligand (CAML), which in turn activates calcineurin and nuclear factor of activated T cells (NFAT) (6). *TNFRSF13B* is sometimes called “transmembrane activator and CAML interactor” or TACI (6) reflecting this series of interactions. *TNFRSF13B* potentiates signaling by Toll-like family receptors in B cells (7) and in macrophages (8). Accordingly, *TNFRSF13B* interacts with MyD88, recruits mechanistic target of rapamycin (mTOR), activates mTORC1 and NF- κ B (9–11). *TNFRSF13B* signaling in B cells generates expression of BLIMP-1, a transcription factor that drives differentiation of B cells into long-lived plasma cells (12).

The importance of *TNFRSF13B* and BLIMP-1 for development of plasma cells and production of much of the Ig in blood was suggested by investigation of genetic basis of hypogammaglobulinemia, i.e., IgG-deficiency, IgM-deficiency, and IgA-deficiency observed in common variable immunodeficiency (CVID) and in selective IgA deficiency (13, 14). Consistent with this phenotype, *TNFRSF13B*-deficient mice have few plasma cells in secondary lymphoid organs and in the bone marrow and low concentrations of IgM, IgA, and IgG in serum (12). However, *TNFRSF13B* governs more than the machinery for long term-Ig production. Human subjects with CVID have an increased risk of lymphoma and gastro-intestinal cancer (15) and a propensity for development of autoimmunity (16). Mice with deficient *tnfrsf13b* exhibit pronounced expansion of follicular and germinal center B cells, despite hypogammaglobulinemia, suggesting *tnfrsf13b* may govern B cell differentiation and T and B cell interactions (12, 17, 18).

Although some functions of *TNFRSF13B*, such as control of plasma cell differentiation are understood, some puzzling contradictions remain. One contradiction concerns the impact of *TNFRSF13B* on the B cell response to antigen. TACI appears more or less essential for natural immunity because humans and mice lacking TACI (targeted deletion in mouse; expression of dominant-negative variants in humans) have extremely low levels of IgG, IgM, and IgA in blood (19) and produce little antigen specific antibodies after exposure to antigen or foreign organisms (20–22). However, most people with dominant negative TACI variants do not manifest immunodeficiency (23) and TACI knockout mice and mice expressing dominant negative TACI

variants corresponding to those in humans mount proficient antibody responses and antibody-mediated defenses against pathogenic bacteria (17). Still more puzzling is the relationship between diversity of *TNFRSF13B* genotypes and phenotype. We shall describe recent work that may have begun to clarify apparently disparate aspects of the *TNFRSF13B* phenotype and identify yet unsettled questions we think of importance.

Recent investigations in mice and human subjects have clarified discrepancies concerning the impact of *TNFRSF13B* on B cell responses to antigen. It is now apparent that stimulation of *TNFRSF13B* is essential for T-independent- but not for T-cell-dependent B cell responses. The requirement for *TNFRSF13B* (TACI) function for mounting T-independent antibody responses was first shown by von Bulow et al. (24), who found that TACI-KO mice produce less antibodies in response to immunization with pneumococcus. Failure of T cell-independent responses in *tnfrsf13b*-mutant mice was confirmed by Wolf et al. (22) and Mantchev et al. (25), who showed the defective T-independent responses were due to a block in plasma cell differentiation. Tsuji et al. (12) found that defective plasma cell differentiation was due to defective Blimp-1 synthesis. Similarly, Grasset et al. (18) reported that production of gut IgA by T-independent response depends on *tnfrsf13b*. In contrast, human subjects with *TNFRSF13B* mutations, including those with dominant negative phenotype and mice with *tnfrsf13b* deficiency or dominant negative variants respond to antigen when T cell help is provided. Tsuji et al. (12, 17) reported that *tnfrsf13b*-deficient mice are quite proficient in responses to polypeptide antigens associated with enteric organisms (or purified therefrom), generating high affinity antibodies and conferring protection against reinfection. Likewise, Grasset et al. (18) found *tnfrsf13b* deficient mice quite effectively mount gut IgA responses if T cell help is present.

The differential impact of *TNFRSF13B* on T cell-independent and T-cell-dependent responses may reflect differences in how Blimp-1 is induced. In T cell-independent responses, induction of Blimp-1 depends absolutely on stimulation of *TNFRSF13B* by BAFF or APRIL (12, 17). In T cell-dependent responses, double strand DNA breaks generated by class-switch recombination (17) and/or engagement of CD40 and IL21/STAT3 (26) signaling can induce Blimp-1 independently of *TNFRSF13B* signaling. Although *TNFRSF13B* may not directly impact on Ig isotype class switching, changes of B cell development post-activation by *TNFRSF13B* mutation, or deletion, that interfere with signaling change the distribution of Ig isotypes in response to stimulation and in the steady state (27).

How *TNFRSF13B* signaling contributes to the generation and activation of memory B cells remains incompletely understood. The increase in *TNFRSF13B* expression by memory B cells suggests that *TNFRSF13B* signaling is important for memory B cell differentiation, survival or function (28). Indeed memory B cells from CVID subjects with monoallelic C104R or A181E mutations fail to activate in response to BCR, TLR7, or TLR9 stimuli (28). Memory B cells of subjects with *TNFRSF13B* hemizygosity (one null allele and one WT allele) mount a partial response to activation to BCR, TLR7, or TLR9 stimuli suggesting that signaling intensity is important in determining magnitude

of response (28). Whether or not the phenotypic manifestations of monoallelic dominant-negative mutations or haplo-deficiency impact memory B cell responses to T-dependent stimuli and in subjects who are healthy is not known.

TNFRSF13B POLYMORPHISMS

The diversity of *TNFRSF13B*, by some measures, equals or exceeds that of genes encoding the major histocompatibility complex (MHC) but the mechanisms contributing to *TNFRSF13B* diversity are less apparent. Diversity of MHC is generally ascribed to the function of housing a vast diversity of microbial peptides for presentation to T cells (29) and while some diversification likely reflects specificity for peptides, some may also reflect non-effector functions such as recognition leading to immune regulation for MHC-class II or controlling NK cells for MHC class I (29).

Yet, *TNFRSF13B* encodes a polypeptide the sole function of which may be recognition of several relatively non-polymorphic agonists, BAFF and APRIL (30). Why then do humans exhibit extreme genetic polymorphism of *TNFRSF13B*? There are 951 *TNFRSF13B* missense and only 383 synonymous mutations reported in compilations of more than 100,000 human genomes (<https://useast.ensembl.org/index.html>). Only 4 of the *TNFRSF13B* missense alleles are common (freq >0.05). Unlike *TNFRSF13B* variants, nearly 20% of HLA-A variants are found in 5% or more of the population (Genome Aggregation Database, v2.1.1; <http://exac.broadinstitute.org>). *TNFRSF13B* has a greater number of missense variants than it would be predicted by a sequence-context-based mutational model as reflected by a z score of -1.2, and *TNFRSF13B* has more observed than expected loss of function variants (LoF) (stop gain and splice site variants), which indicate a high tolerance to these types of mutations (pLI = 0.00, where pLI of 1 is the most intolerant) [Genome Aggregation Database, v2.1.1; <http://exac.broadinstitute.org>; (31)]. Most common variants are phenotypically dominant, either as dominant negatives or causing haplo-insufficiency (32–34) but how exactly expression of mutant alleles results in changes in TNFRSF13B signaling and function is incompletely known (10). In contrast, the number of missense mutations in the *HLA-A* gene is as expected by a sequence-context-based mutational model as (z score of -0.1 according to the Genome Aggregation Database, v2.1.1; <http://exac.broadinstitute.org>) and the *HLA-A* gene is less tolerant than *TNFRSF13B* to loss of function mutations. Thus, *TNFRSF13B* missense alleles appear to have been selectively retained and recent analysis by the McDonald-Kreitman neutrality index suggests the locus is under strong positive selection (35) in contrast with prior analysis of smaller cohorts (36). This is in contrast to genes encoding HLA which are under moderate purifying pressure (35). Some *TNFRSF13B* polymorphisms are conserved across mammalian species. As an example, mice have 17 missense alleles, 2 non-sense alleles, and 2 splice variants (37).

Adding to TNFRSF13B diversity the receptor is expressed as two isoforms that differ by the presence (long, L) or absence (short, S) of exon 2 that is alternatively spliced following B

cell activation (38). The short version of the receptor lacks the cysteine rich domain 1 thought to mediate ligand binding (38). However, absence of the CDR1 domain in the short form does not appear to preclude assembly of the receptor trimer or signaling. Garcia-Carmona et al. (10) showed that TNFRSF13B-S and TNFRSF13B-L assembled receptor complexes composed of one single S or L isoform, or of mixed complexes composed of both S and L isoforms. TNFRSF13B-S requires a lower ligand concentration to signal than TNFRSF13B-L, in part owing to increased ligand binding affinity (10). In contrast, certain mutated isoforms, C104R, A181E, and S194X, produced receptors that had impaired or no signaling (10).

TNFRSF13B polymorphisms may be maintained by balancing selection. Balancing selection is thought to occur when multiple alleles (variants) are maintained in the population in an equilibrium, at frequencies more evenly distributed than expected under models of neutral evolution, because selection favors the heterozygote. To measure the likelihood of balancing selection at the *TNFRSF13B* locus we used a statistic, the β index, which detects clusters in close proximity to a site targeted by balancing selection [<https://academic.oup.com/mbe/article/34/11/2996/3988103>; (39)]. *TNFRSF13B* manifests balancing selection in four regions (two of them between exons 2 and 3, and the other two between exons 4 and 5) (39). Research by Jagoda et al. (40) suggests that certain haplotypes of *TNFRSF13B* may be of Neanderthal in origin and kept in European/Eurasian populations as a result from adaptive introgression. Thus, *TNFRSF13B* variants may have originated by positive selection of archaic ancestry variants, maintained thereafter by balancing selection.

What the selection pressures are that maintain *TNFRSF13B* diversity is not known but our research and the research of others connects these properties to the control of T-independent natural IgA antibody production.

TNFRSF13B AND IgA

TNFRSF13B promotes IgA synthesis. In support, TNFRSF13B-deficient animals and animals with dominant-negative *TNFRSF13B* alleles are IgA deficient (12, 17, 20) and; selective IgA deficiency in humans is often associated with mutant *TNFRSF13B* alleles (41). We hypothesize that *TNFRSF13B* polymorphisms may in part be driven by the receptor impact on secretory IgA (sIgA).

First discovered in 1953, IgA is by some measures the most abundant Ig in the body (42, 43). IgA exists as a monomer in circulation and as a dimer in lumina of the respiratory, intestinal, and genito-urinary system. Secretory IgA makes 2/3 of all IgA produced in the body (44). An IgA monomer is, like other Ig isotypes, a tetramer composed of two identical heavy chains and two identical light chains united by covalent and non-covalent bonds. Each monomer contains two Fab domains and one Fc region that includes C alpha 2 and C alpha 3 exons (44). Humans, express IgA1 and IgA2, the later encoded by several distinct alleles. IgA1 and IgA2 differ on the hinge region that links constant domain alpha 1 to the constant domain alpha 2

of the heavy chain, longer in IgA1 and shorter on IgA2. The significance of the longer hinge region for the function of IgA1 is not completely known but modeling suggests that the longer hinge region may afford greater flexibility to the variable region relative to the Fc region at the cost of increased sensitivity to proteolysis (44).

The secreted form of IgA is a complex comprised of an IgA dimer linked by the joining (J) chain and a secretory component, a fragment of the polymeric Ig receptor (pIgR). Secretion and dimerization of IgA is made possible by two important adaptations. In one, IgA has an 18 aa tail piece at the C-terminus, highly homologous to another found at the C-terminus of IgM. The tail piece allows IgA (and IgM) polymerization because it binds to the J chain through 2 cysteine disulfide bonds (45, 46). The J chain is a 137 amino-acid polypeptide and it is bound to IgA before secretion. The second adaptation is the development of a highly specific IgA transport system across the epithelium and into secretions. The transport of IgA depends on the polymeric Ig receptor (pIgR) that binds only polymeric Ig (IgA or IgM) and is expressed on the basolateral surface of epithelial cells that line mucosal surfaces of the gut, lung biliary tract, and lacrimal glands. The pIgR has a 620 aa extra-cellular domain, a 23 aa transmembrane domain and a 103 aa cytoplasmic domain (47). Transcytosis of IgA from the submucosal region to the lumen requires binding of dimeric IgA C alpha 3 to the pIgR at the basolateral side of epithelial cells followed by internalization and transport to the apical surface of the cell. IgA is released from its attachment by cleavage of the pIgR ectodomain (secretory component) at the apical surface. IgA, covalently bound to the secretory component is released on to the mucosa, forming sIgA. Bacterial and viral products induce heightened pIgR synthesis by epithelial cells and hence increase transcytosis of IgA (47). The secretory component confers resistance to proteolysis and anti-microbial functions. In addition to binding to IgA, the secretory component exists as a free protein and as such it binds directly to a variety of microbes inhibiting adhesion to epithelial cells, binds to mucus mediating immune exclusion of antigens and pathogens, retains IL-8 and in this way, inhibits neutrophil chemotaxis (48).

IgA complexed with J chain and the secretory component is heavily glycosylated and glycosylation is necessary for many of non-specific, variable region-independent IgA functions (49). IgA complexes are modified by N-linked glycosylation at Asn (N) residues and by O-linked sugars. Glycosylation of the secretory component protects IgA from degradation (50) and mediates some IgA immune functions. Glycans enable secretory component binding to adhesins and lectins, to a wide range of bacteria and to toxins [(48), and references therein]. Glycosylation of the secretory component determines the distribution of IgA to specific areas of the epithelium (51) and the secretory component is needed with IgA to neutralize rotavirus (52). Furthermore, secretory component free or complexed to IgA, binds to the lectin binding domain of Mac-1 (CR3, CD11b/CD18) enhancing IgA receptor Fc alpha signaling and augmenting phagocytosis and inflammation [reviewed in (53)].

J chain glycosylation is necessary for dimerization of IgA (54) and for maintaining the correct conformation to facilitate

interaction with pIgR (55, 56). Serum IgA and secretory IgA are differentially glycosylated presumably reflecting differences in the glycosylation machinery in plasma cells of the spleen and bone marrow vis a vis plasma cells in the mucosae (48).

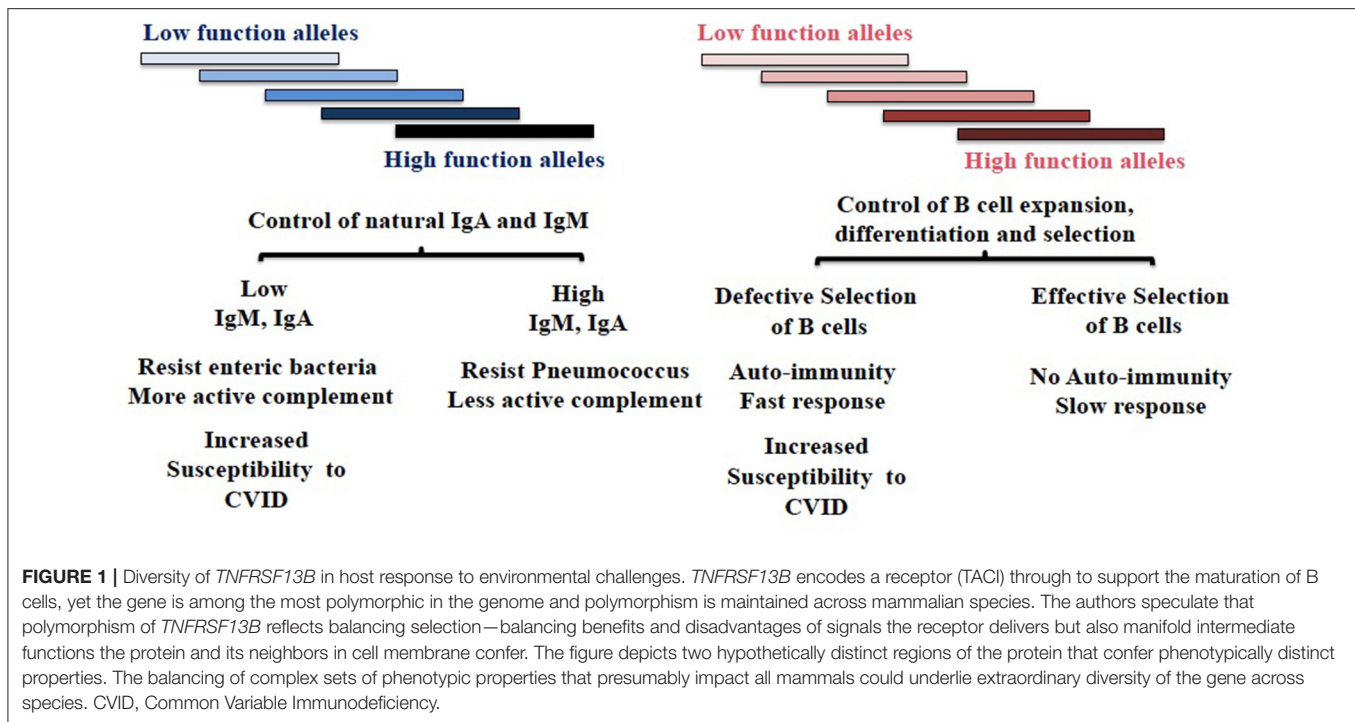
The heavy-chain N-linked glycans help maintain the correct IgA conformation and assist with dimer formation and secretion (57, 58). Although the glycan composition of IgA HCs activate the lectin complement activation pathway by binding mannose binding lectin (MBL), the glycans are shielded by the secretory component at physiologic pH and only become accessible for binding at low pH and perhaps upon engagement with bacterial adhesins which pull the secretory component away from the IgA (48). Once uncovered, IgA HC glycans may also directly bind mannose receptors on phagocytic cells promoting internalization and antigen presentation (59). Secretory IgA HCs are also extensively modified by O-linked glycans which form highly complex structures that in addition to stabilizing the hinge region also interact with bacterial adhesins (60). Glycosylation-dependent IgA functions are likely due to low affinity interactions and therefore critically dependent on IgA concentration. Although there is no evidence in support of TNFRSF13B direct influence on IgA glycosylation, by controlling IgA secretion TNFRSF13B is likely to impact more on the non-specific IgA functions than on the functions of IgA that depend on high affinity interactions between mutated V regions and their targets.

DISCUSSION

One might expect that a cytokine receptor such TNFRSF13B would exert straight forward functions that promote host defense and/or immune regulation and accordingly polymorphism should be rare and harmful. Yet, TNFRSF13B is as diverse as MHC. Why?

Although the story is yet incomplete, the complexity of TNFRSF13B gene and protein functions has been coming more fully into focus. Although TNFRSF13B does not bind antigen it controls response of B cells to T-independent and to T-dependent antigens differently. TNFRSF13B promotes T-independent antibody production in part by facilitating differentiation of plasma cells. In contrast, TNFRSF13B-deficiency does not inhibit and in some cases enhances IgG or IgA responses to T-dependent antigens.

Most sIgA results from T-independent B cell responses. IgA exerts protective functions by helping to eliminate pathogens directly and/or through maintaining microbial homeostasis and these functions are in a great extent independent of IgA specificity (61–63). The equilibrium established between IgA, microbes and pathogens results from anti-microbial functions of IgA and microbial adaptations to IgA (49, 64). In one example of a remarkable microbial adaptation, Nakajima et al. (49) showed that highly glycosylated IgA of an irrelevant specificity (specific to ovalbumin) bound to a human symbiont *Bacteroides thetaiotaomicron* (*B. theta*) changing gene expression. IgA induced transcription of *B. theta* polysaccharide utilization loci (65) changing polysaccharide utilization and in this way



conferring competitive advantage (49). As another example, *Bacteroides fragilis* capsule induces polysaccharide specific IgA which in turn, increases its adherence to intestinal epithelial cells by binding to mucus as well as to the capsule polysaccharides (62). In these examples, commensal bacteria co-opt IgA to colonize the gut.

We hypothesize *TNFRSF13B* polymorphisms are maintained at least in part as the result of microbial adaptation to IgA (Figure 1). We offer the following reasoning in support of the hypothesis.

In a recent report Grasset et al. (18) showed that *TNFRSF13B* is necessary for T-independent IgA responses to commensal bacteria. These responses include secretion of polyreactive IgA and represent the majority of secreted IgA. In contrast, T cell-dependent IgA responses occur independently of *TNFRSF13B*. Thus, varying *TNFRSF13B* function changes the ratio between highly-specific and polyreactive or non-specific IgA responses which, in turn, may counteract pathogen adaptation to IgA and facilitate specific elimination. In support, Grasset et al. (18) showed that *tnfrsf13b-KO* mice generated mutated IgA that specifically targeted a restricted subset of microbes. In an earlier publication, Tsuji et al. (17) showed that *tnfrsf13b-KO* produced highly mutated antibodies that rapidly cleared *C. rodentium*. Whether changes of the properties and amount of sIgA and/or varying the proportion of mixed polyreactive and specific mono-reactive Ig in individuals or mice expressing *TNFRSF13B* polymorphisms is protective awaits investigation.

For all the benefits of IgA, selective IgA deficiency is often asymptomatic (41). *TNFRSF13B* polymorphisms are associated with IgA deficiency (41), the most common immune-deficiency (with frequencies varying between 1:143 in the Arabian peninsula

to 1 in 500 Caucasian individuals) (66, 67). The limited morbidity of IgA deficiency, suggests as one possibility that benefits conferred by *TNFRSF13B* variants may outweigh the detrimental impact of decreased IgA in the gut. Because *tnfrsf13b* mutants maintain the ability to make “adaptive” IgA it is possible that protective functions of IgA are maintained or even enhanced. In accord, IgA-deficient patients were found to have enhanced adaptive antibody responses to pneumococcal vaccination (68). Perhaps it is this type of response that explains the mild phenotype of many individuals with IgA deficiency and the maintenance of the extreme polymorphism at the *TNFRSF13B* locus.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

ETHICS STATEMENT

Animal studies and human subject research that inspired some of the hypotheses in the manuscript were reviewed and approved by the Institutional Animal Care & Use Committee (IACUC) or by the Institutional Review Board (IRB) at the University of Michigan.

AUTHOR CONTRIBUTIONS

MC and JP wrote the manuscript. MC analyzed data. All authors contributed to the article and approved the submitted version.

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Chemokine Receptor-6 Promotes B-1 Cell Trafficking to Perivascular Adipose Tissue, Local IgM Production and Atheroprotection

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Chemokine receptor-6 (CCR6) mediates immune cell recruitment to inflammatory sites and has cell type-specific effects on diet-induced atherosclerosis in mice. Previously we showed that loss of CCR6 in B cells resulted in loss of B cell-mediated atheroprotection, although the B cell subtype mediating this effect was unknown. Perivascular adipose tissue (PVAT) harbors high numbers of B cells including atheroprotective IgM secreting B-1 cells. Production of IgM antibodies is a major mechanism whereby B-1 cells limit atherosclerosis development. Yet whether CCR6 regulates B-1 cell number and production of IgM in the PVAT is unknown. In this present study, flow cytometry experiments demonstrated that both B-1 and B-2 cells express CCR6, albeit at a higher frequency in B-2 cells in both humans and mice. Nevertheless, B-2 cell numbers in peritoneal cavity (PerC), spleen, bone marrow and PVAT were no different in *ApoE*^{-/-}CCR6^{-/-} compared to *ApoE*^{-/-}CCR6^{+/+} mice. In contrast, the numbers of atheroprotective IgM secreting B-1 cells were significantly lower in the PVAT of *ApoE*^{-/-}CCR6^{-/-} compared to *ApoE*^{-/-}CCR6^{+/+} mice. Surprisingly, adoptive transfer (AT) of CD43⁻ splenic B cells into B cell-deficient *μMT*^{-/-}*ApoE*^{-/-} mice repopulated the PerC with B-1 and B-2 cells and reduced atherosclerosis when transferred into *ApoE*^{-/-}CCR6^{+/+}*slgM*^{-/-} mice only when those cells expressed both CCR6 and *slgM*. CCR6 expression on circulating human B cells in subjects with a high level of atherosclerosis in their coronary arteries was lower only in the putative human B-1 cells. These results provide evidence that B-1 cell CCR6 expression enhances B-1 cell number and IgM secretion in PVAT to provide atheroprotection in mice and suggest potential human relevance to our murine findings.

Keywords: B-1 cells, IgM, CCR6, atherosclerosis, inflammation, perivascular adipose tissue

INTRODUCTION

Atherosclerosis is well-recognized as a chronic inflammatory disease of arteries and plaque rupture is the primary underlying cause of cardiovascular events. Atherosclerosis develops when low density lipoproteins (LDL) enter the subendothelial layer of the artery wall and become oxidized. Products of oxidized lipids are highly reactive and modify self-molecules, thereby generating oxidation-specific epitopes (OSE) that are recognized by receptors of the immune system, including scavenger receptors on macrophages leading to foam cell formation. Oxidized LDL and foam cells promote inflammatory cytokine production and induce the expression of cell adhesion molecules on endothelial cells. Surface adhesion molecule expression recruits inflammatory cells such as monocytes, T cells, natural killer cells, natural killer T cells, and dendritic cells into the subendothelial layer, developing lesion formation (1–3).

B cells play a major role in the regulation of atherosclerosis, and their effects are subset and context-dependent. B-1 B cells attenuate and B-2 B cells aggravate atherosclerosis (4–9). Treatments that deplete predominantly B-2 cells such as anti-CD20 monoclonal antibody and B-cell activating factor receptor (BAFFR) deficiency attenuated atherosclerosis development in apolipoprotein-E deficient (*ApoE*^{−/−}) and low-density lipoprotein receptor deficient (*LdlR*^{−/−}) mice maintained on Western diet (WD) (5, 6, 8, 9). In contrast, IgM secreting B-1 cells have been shown to be atheroprotective (4, 7). B-1 cells, which are the major source for circulatory IgM (10, 11) and attenuate atherosclerosis (4, 7, 8), can be further divided into two sister populations: B-1a and B-1b. IgM can block the uptake of oxidized LDL by macrophages (12) and, immunization to boost IgM to OSE resulted in atheroprotection (13).

Perivascular adipose tissue (PVAT) directly contacts the artery adventitia and has a role in the regulation of atherosclerosis. Data demonstrates that PVAT adjacent to atherosclerotic human blood vessels is more inflamed than PVAT adjacent to non-diseased vessel segments (14). Adipocytes in PVAT secrete both pro inflammatory and anti-inflammatory cytokines (15). We have recently shown that the PVAT harbors both B-1 and B-2 B cell subtypes in young *ApoE*^{−/−} mice and these local B-1 cells can be induced to proliferate by cytokines (16) and produce atheroprotective IgM antibodies locally (17). However, the mechanism involved in B-1 cell trafficking to PVAT is not known.

Chemokines and chemokine receptors regulate immune cell trafficking and may be key targets or molecules to control homing of immune cells to sites of human disease (18). C-C chemokine receptor 6 (CCR6) is a G protein-coupled receptor expressed on different immune cell types such as macrophages, immature dendritic cells, T and B-lymphocytes (19). CCR6 and its ligand CCL20 have emerged as important regulators of atherosclerosis (18, 20–22). Global deletion of *Ccr6* in *ApoE*^{−/−} and *LdlR*^{−/−} mice resulted in decreased Ly6C^{hi} monocyte exit from the bone marrow, less recruitment of pro-atherogenic macrophages to lesions and attenuated atherosclerosis (20, 22). In human atherosclerosis, the expression of CCR6 and CCL20 in atherosclerotic lesions in the coronary and carotid arteries

has been reported. In addition, CCL20 expression is positively correlated with DC numbers in the shoulder regions of the lesion (23), and this DC derived CCL20 may attract CCR6 expressing immune cells into the lesion. Also, circulating levels of CCL20 is significantly increased in hypercholesterolemic patients and LDL stimulated vascular smooth muscle cells express CCL20 and promote human lymphocyte migration (18), implicating a role for the CCR6-CCL20 axis in atherosclerosis development. Previous data from our lab demonstrated that CCR6 regulated aortic homing of CD43[−] splenocytes (B cells) and diet induced atheroprotection in B cell deficient (*μMT*^{−/−}) mice (21). In general, CD43[−] splenocytes are thought to be B-2 cells which are considered atherogenic. Thus, it is not clear how the aortic homing of these CD43[−] splenocytes provides atheroprotection. B cell subsets may have distinct functions in the local PVAT compared to peripheral sites, and atheroprotection may depend on the ability of B cells to home to athero-prone aortic sites (21). Taken together, the results suggest that CCR6 may be a pro- or anti-atherogenic chemokine receptor depending on the cell type in which it is expressed and underscore a need to better understand the role of CCR6 in B cells.

In this current study, we provide the first evidence of a B-1 cell specific function for CCR6 in regulating B-1 numbers and IgM production in PVAT. We demonstrate that atheroprotective effects of CD43[−] splenocytes in *ApoE*^{−/−}*sIgM*^{−/−} mice are CCR6-dependent and require the cells to be capable of secreting IgM implicating PVAT trafficking of B-1 cells as a potentially important atheroprotective mechanism. Further, we demonstrate that in humans, expression of CCR6 on a putative B-1 cell population (24) was significantly reduced in patients with a high degree of coronary artery disease (CAD) underscoring the potential clinical relevance of our findings and suggesting that B-1 cell-specific augmentation of CCR6 expression may be a potential therapeutic approach.

MATERIALS AND METHODS

Animals

All animal protocols were approved by the Animal Care and Use Committee at the University of Virginia. Apolipoprotein E deficient (*ApoE*^{−/−}) mice, B cell deficient (*μMT*^{−/−}) mice, and chemokine receptor six deficient (*CCR6*^{−/−}) were purchased from Jackson Laboratory and maintained in our animal facility (University of Virginia). *μMT*^{−/−} and *CCR6*^{−/−} mice were bred to the *ApoE*^{−/−} line to develop *ApoE*^{−/−}*μMT*^{−/−} and *ApoE*^{−/−}*CCR6*^{−/−} knockouts. Generated *ApoE*^{−/−}*CCR6*^{+/−} mice and setup heterozygous breeders (*ApoE*^{−/−}*CCR6*^{+/−} × *ApoE*^{−/−}*CCR6*^{+/−}) to generate *ApoE*^{−/−}*CCR6*^{−/−} and their littermate controls *ApoE*^{−/−}*CCR6*^{+/+}. All purchased mice were on C57BL/6J background and those bred were backcrossed to C57BL/6J mice for 10 generations. *sIgM*^{−/−} mice were kindly provided by Dr. Peter Lobo (University of Virginia) and crossed to *ApoE*^{−/−} mice to generate *ApoE*^{−/−}*sIgM*^{−/−} mice. All mice were given water *ad libitum* and standard chow diet (Tekland, 7012). Mice were euthanized with CO₂ inhalation. Young (8–10 weeks) male mice were used for all experiments except for atherosclerosis studies. For atherosclerosis studies,

ApoE^{−/−} mice were maintained on WD (42% fat, Tekland, 88137) for 12 weeks.

Human Samples

Patients ($n = 118$) were recruited for study through the Cardiac Catheterization laboratory at the University of Virginia as previously described (25). All participants provided written informed consent prior to enrollment, and the study was approved by the Human IRB Committee at UVA. A total of 118 patients presenting for a medically indicated diagnostic cardiac catheterization were enrolled if they met inclusion criteria. Patients were excluded if they had: any acute illness, type 1 diabetes, current acute coronary syndrome, autoimmune disease or on immunosuppressive therapy, prior organ transplantation, anemia, pregnancy, or HIV infection. No patient was on anticoagulation or had deep vein thrombosis or pulmonary embolism. Peripheral blood mononuclear cells (PBMC) were isolated from whole blood for flow cytometry experiments. Isolation of PBMCs from human peripheral blood was performed by RBC lysis in whole blood, and the purified PBMCs were used for flow staining. List of antibodies for cell surface markers (name of the clone) for human flow cytometry: CD3 (SK7 or UCHT1), CD20 (2H7), CD4 (RPA-T4), CD8 (RPA-T8), CD14 (61D3), CCR6 (11A9), CD27 (M-T271) and CD43 (1G10) were purchased from eBioscience and BD Bioscience. Live/Dead discrimination was determined by LIVE/DEAD fixable yellow staining (Invitrogen). Cells were run on a CyAN ADP (Beckman Coulter). Data were analyzed with FlowJo 9 software.

Coronary Artery Disease Severity Measured by Gensini Score

Gensini Score (GS) is a widely used angiographic scoring system to measure the severity of coronary artery disease (CAD) (26). We used quartiles to categorize patients with CAD based on GS. Participants with scores in the first three quartiles (GS: 0–33.25) were categorized in the low GS group ($n = 80$) and participants with scores in fourth quartiles (GS: 33.25–128) were categorized as in the high GS group ($n = 38$). Quartile values were calculated using a larger cohort in which the current cohort is nested.

Flow Cytometry

Peritoneal cavity lavage (PerC), spleen and bone marrow (BM) cells were harvested and single cell suspensions were prepared as previously described (27). In brief, cell suspension from spleen was prepared using a 70 μ m cell strainer and mashing spleen with a syringe plunger, and dissolved in FACS buffer. To isolate BM cells, femur and tibia were collected and flushed with FACS buffer. Spleen and BM samples were re-suspended in erythrocyte lysis buffer and washed. Stromal vascular fraction was prepared from PVAT as previously described (27). In brief, to harvest PVAT, first, para aortic lymph nodes were carefully removed and then PVAT was carefully harvested and weighed. PVAT was collected into 5 mL FACS tubes separately, 2 mL of freshly prepared enzyme cocktail mixture [Collagenase I (450 U/ml) (Sigma), Collagenase XI (125 U/ml) (Sigma), Hyaluronidase I (60 U/ml) (Sigma), DNase (60 U/ml) (Sigma) in PBS with 20 mM HEPES] was added per sample. PVAT was chopped into small

pieces and then incubated in a shaking incubator at 37°C for 45 min to obtain single cell suspensions.

For flow staining, cells were blocked for Fc receptors by Fc block (CD16/32) for 10 min on ice, and were stained for cell surface markers using fluorescently conjugated antibodies for 30 min on ice. After washing and centrifugation, cells were washed and stained with a fixable live/dead stain diluted in PBS for 15 min on ice and then fixed in 2% PFA in PBS for 10 min at room temperature prior to re-suspending in FACS buffer. Flow cytometry antibodies: CD19 (1D3), B220/CD45R (RA3-6B2), CD5 (53-7.3), CD43 (S7), CCR6 (29-2L17) and IgM (II/41, R6-60.2) were purchased from eBioscience, BD Bioscience, and Biolegend. Live/Dead discrimination was determined by LIVE/DEAD fixable yellow staining (Invitrogen). Cells were run on CyAN ADP (Beckman Coulter) and Attune NxT flow cytometer (Invitrogen). Data were analyzed with FlowJo 10 software.

Adoptive Transfer Experiments

PerC cells were isolated from *ApoE*^{−/−} mice and stained for FACS sorting (InFlux sorter). Cells were stained with fluorescence labeled antibodies against CD19 (1D3), B220 (RA3-6B2), CD23 (B3B4), IgD (11-26c) and CD5 (53-7.3), and live cells were gated for DAPI[−] population. Two hundred thousand of FACS sorted B-1 cells were adoptively transferred into 8–10 week old *ApoE*^{−/−} *sIgM*^{−/−} mice via intraperitoneal injection. For CD43[−] splenocyte isolation, spleens were harvested from 10–12 week old donor mice and B cells were isolated using MACS anti-CD43 microbeads (Miltenyi Biotec) as per the manufacturer's protocol. The purity of representative samples was analyzed by flow cytometry and found to be >97%. Either 30×10^6 or 60×10^6 B cells were resuspended in 200 μ L of PBS and adoptively transferred *via* tail vein injection to 8–10 week old recipients.

Enzyme-Linked ImmunoSpot Assay

Single cell suspensions of PVAT, spleen and BM were prepared as described above in the flow cytometry section. ELISPOT was performed as previously described (4, 17, 27). Sterile MultiScreen IP-Plates (Millipore, MSIPS4510) were used for the assay according to manufacturer's protocol. Wells were coated with unlabeled goat anti-mouse IgM antibody (10 μ g/ml; Southern Biotech) and incubated overnight at 4°C. The next day, antibody solution was decanted, membrane was washed with PBS and then blocked with RPMI 1640+10% FCS for 2 h at 37°C. A suspension of 1×10^6 cells/ml was prepared in ice cold culture media for spleen and BM from which 100,000 cells were plated for each of the sample as starting concentration and then were serially diluted in subsequent wells. For PVAT samples, resuspended in 250 μ L culture media and were used as starting concentration from which serial dilutions in subsequent wells were prepared. The plate was incubated overnight at 37 °C in a cell culture incubator (5% CO₂). Cells were decanted, washed (PBS+0.01% tween-20) and incubated with biotin-labeled goat anti-mouse IgM antibody (1:500 dilution) (Southern Biotech) for 2 h in a cell culture incubator. After washing, cells were incubated for 30 min at room temperature in streptavidin alkaline phosphatase (Abcam). Again, following washing BCIP/NBT (Gene Tex Inc.)

was added and incubated until spots became visible. Each spot on the membrane indicated an antibody secreting cell. Spots were counted manually.

Enzyme-Linked Immunosorbent Assay

Plasma or serum samples were collected from mice and circulatory total IgM and IgG levels were quantified by ELISA as published before (28). Total IgE ELISA was performed according to manufacturer's guidelines (BioLegend). For MDA epitope specific IgM and IgG ELISA, we used Peptide mimotope for malondialdehyde (MDA) epitope (P2 peptide-biotin) (Peptide 2.0) as a capture antigen (29). Briefly, 96 well microtiter plates (Corning) were incubated at 4°C overnight with capture unlabeled IgM or IgG diluted in coating buffer (0.1 M disodium phosphate pH 9.0). Plates were blocked (PBS containing 0.5% BSA, 0.1% TWEEN-20, and 0.01% NaN₃), incubated with samples, and then treated with IgM detection antibody conjugated to alkaline phosphatase for 2 h at room temperature. Detection antibodies and dilutions used: murine IgM-AP and murine IgG-AP (Southern Biotech). Plates were then developed with pNPP solution (Southern Biotech) for 30–60 min and read at 405 nm using a SpectraMax 190 (Molecular Devices). IgM concentration was determined through a standard curve of purified immunoglobulin (Southern Biotech) using a range of 0.098–200 ng/ml. All dilutions were determined through careful titration, and only values within the range of standard curves with readings at least 3-fold higher than negative controls were used.

Enface Staining

Aortas were harvested carefully as previously described (17). Aortas were opened longitudinally, fixed in 4% formaldehyde, pinned, and stained with Sudan IV (Sigma). Aortas were imaged with a Nikon D70 DSLR camera and enface lesion area was quantified using ImagePro Plus 7.0 software.

Statistics

Student's *t*-test was used for analyzing data with normal distribution and equal variance. For data sets with non-normal distribution, Wilcoxon rank-sum test was used. Similarly, a non-parametric test (Spearman's correlation) was used to correlate data that was not normally distributed. One-way Anova with multiple comparison was used when compared multiple groups. Results are displayed containing all replicated experiments, and values shown are mean ± SEM. Data were analyzed using Prism 8 (GraphPad Software, Inc) and SAS (SAS version 9.4).

RESULTS

A Higher Frequency of CCR6⁺ B-1 Cells in Tissue Compartments That Support Antibody Production Compared to Their Homeostatic Niche

To compare the expression levels of CCR6 in B-1 and B-2 cells, we performed flow cytometry on isolated cells from various compartments and measured the frequency of CCR6⁺ B-1 and B-2 cells from atherosclerosis prone chow fed *ApoE*^{−/−} mice. **Figure 1A** depicts the gating strategy for identifying B-1 and B-2 cells and a representative histogram showing CCR6 expression

relative to an FMO control. Quantification of the frequency of CCR6⁺ B-1 and B-2 cells in different tissue compartments revealed that both B-1 and B-2 cells express CCR6. Interestingly, the frequency of CCR6⁺ B-1 cells was significantly higher in antibody secreting tissue compartments such as spleen, BM and PVAT compared to the primary B-1 cell niche, PerC (**Figure 1B**). However, despite a higher frequency of CCR6⁺ cells, there was no such difference observed in B-2 cells (**Figure 1C**). This data suggests that CCR6 may be important for B-1 cell recruitment to antibody secreting regions.

CCR6 Deficiency Reduces B-1 Cell Numbers in PVAT

Previous data from our lab clearly showed that CCR6 regulates B cell migration to aorta and provides atheroprotection (21) and that PVAT harbors higher numbers of atheroprotective IgM-producing B-1 cells than the aorta itself (17). To determine the effect of CCR6 deficiency on B cell subset distribution in PVAT and other tissue compartments in atherosclerosis-prone mice at homeostasis, flow cytometry and ELISPOT experiments were performed in young *ApoE*^{−/−} CCR6^{+/+} and *ApoE*^{−/−} CCR6^{−/−} littermate control mice (10 weeks old) fed normal Chow diet. There was no difference in total body weight (**Figure 2A**) and PVAT weight (**Figure 2B**). PVAT collected from the aortic arch to the iliac bifurcation was carefully dissected and flow cytometry was performed to analyze B-1 and B-2 cells (**Figure 2C**). Flow cytometry data revealed B-1 cell numbers but not B-2 cell numbers were significantly reduced in PVAT of *ApoE*^{−/−} CCR6^{−/−} mice compared to *ApoE*^{−/−} CCR6^{+/+} mice (**Figure 2D**). ELISPOT data demonstrate that total IgM secreting B cells were reduced in PVAT in *ApoE*^{−/−} CCR6^{−/−} mice compared to *ApoE*^{−/−} CCR6^{+/+} mice (**Figure 2E**). Intriguingly, there was no difference of B-1 and B-2 cell numbers in PerC, spleen and BM compartments between *ApoE*^{−/−} CCR6^{+/+} and *ApoE*^{−/−} CCR6^{−/−} mice (**Figures 2F–H**). Correspondingly, there was no difference in total IgM secreting B cells in spleen and BM *ApoE*^{−/−} CCR6^{+/+} and *ApoE*^{−/−} CCR6^{−/−} mice (**Figure 2I**), and there was no difference in plasma total IgM levels between *ApoE*^{−/−} CCR6^{+/+} and *ApoE*^{−/−} CCR6^{−/−} mice as well (**Figure 2J**).

CD43[−] Splenocytes (B cells) Can Repopulate/Establish B-1 Cell Compartment

To understand whether B-1 cells can migrate, survive and produce IgM in PVAT, FACS-sorted B-1 cells (2 × 10⁵) were adoptively transferred into secretory IgM (*sIgM*^{−/−}) deficient *ApoE*^{−/−} mice via i.p injection. After 7 weeks of the adoptive transfer, plasma IgM levels were measured by ELISA at different time points. The plasma IgM levels were boosted after 1st week of the B-1 cell transfer compared to PBS injected *ApoE*^{−/−} *sIgM*^{−/−} mice. However, secreted IgM levels in the plasma eventually dropped down to baseline within 4 weeks of transfer (**Supplementary Figure 1**). Flowcytometry analysis confirmed non-survival of adoptively transferred B-1 cells in *ApoE*^{−/−} *sIgM*^{−/−} mice after 2 weeks (data not shown).

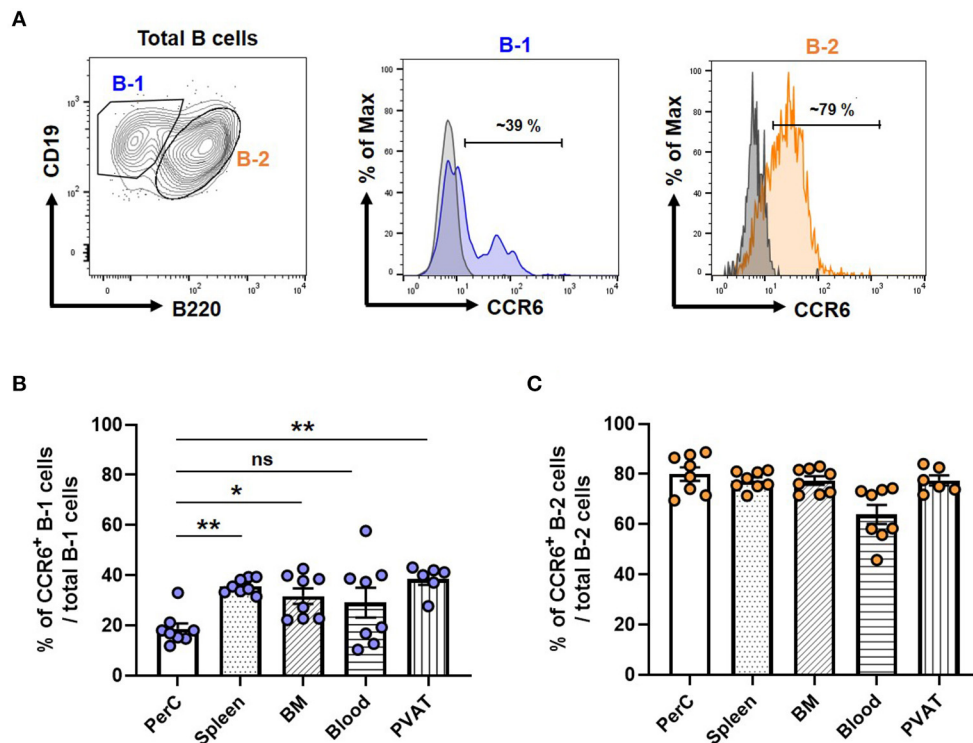


FIGURE 1 | Frequency of CCR6⁺ B-1 cells was higher in antibody secreting tissue compartments in mice. **(A)** Gating strategy for B-1 and B-2 cells in PVAT and representative histogram of CCR6 expression. Gray line represents FMO for CCR6 and filled blue line represents B-1 or filled orange line represents B-2 cells in flow cytometry histogram plots **(B)** percentage of CCR6⁺ B-1 cells from total B-1 cells and **(C)** percentage of CCR6⁺ B-2 cells from total B-2 cells in different tissue compartments. Results are represented in mean \pm SEM, Performed One-way ANOVA with multiple comparisons. * $p < 0.05$ and ** $p < 0.01$. $n = 6-8$ mice/group and each dot represents individual mouse.

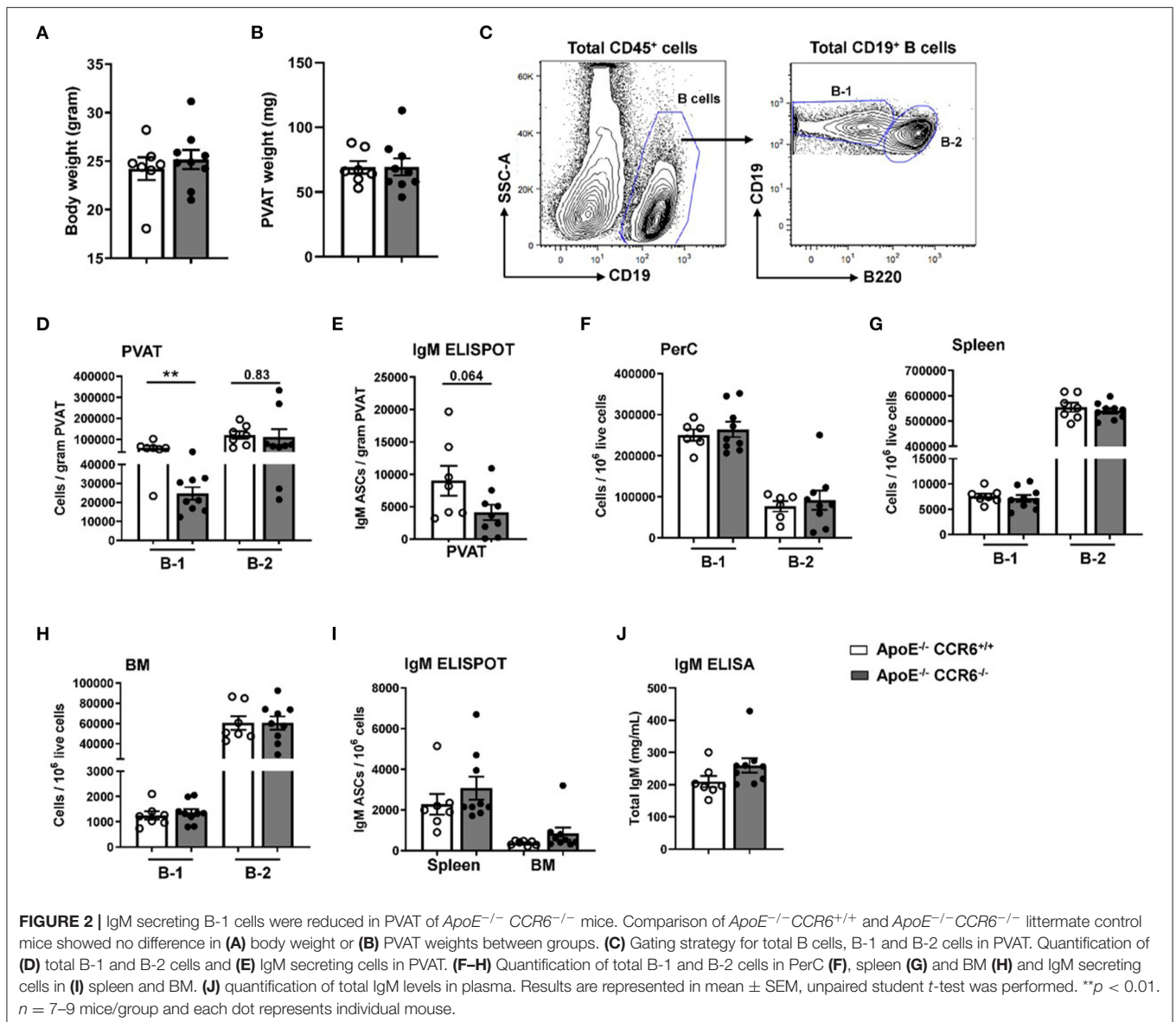
Since survival of B-1 cells alone is not possible for long-term experiment we sought out an alternative approach.

We previously showed that adoptive transfer of CD43⁺ splenocytes (B cells) into B cell deficient mice provided atheroprotection compared to vehicle (PBS) injected mice (21). Yet, CD43⁺ splenocytes have been thought to represent B-2 cells which are considered atherogenic (5, 6, 8, 9) and B-1 cells are CD43⁺ (10, 17, 30). However, this paradigm did not explain how CD43⁺ B cell transfer provided atheroprotection in B cell deficient *ApoE*^{-/-} mice (*ApoE*^{-/-} μ MT^{-/-}) after 16 weeks of WD (21), raising an important question whether there could be B-1 cells in the CD43⁺ compartment. We analyzed CD43 expression in B cell compartments by doing flow cytometry in *ApoE*^{-/-} mice. Flow data clearly demonstrate that the majority (>95%) of B-2 cells and ~10-15 % of B-1a were CD43⁺ in both PerC and spleen. Interestingly, ~60% of B-1b cells were CD43⁺ in both PerC and spleen (Figure 3A). The data suggested that the CD43⁺ splenocyte population contains remnant B-1 cells, in particular B-1b cells. Next, to address whether CD43⁺ splenocytes can repopulate B-1 cells in recipient mice, MACS purified CD43⁺ splenocytes (B cells; 60×10^6) were adoptively transferred via tail vein injection into *ApoE*^{-/-} μ MT^{-/-} mice and maintained on WD for 16 weeks (Figure 3B). Interestingly,

flow cytometry data clearly demonstrated that adoptively transferred CD43⁺ splenocytes could repopulate both B-1 and B-2 cells in PerC and spleen respectively in *ApoE*^{-/-} μ MT^{-/-} mice. In addition, these B-1 cells contain both B-1a and B-1b subsets (Figure 3C). Also, flow cytometry data confirmed that the purity of CD43⁺ splenocytes after MACS separation was >97%. MACS separation significantly reduced CD43⁺ B-1 compartment (~90 %) (Supplementary Figure 2). It is also possible that the remnant CD43⁺ cells could also repopulate. We next wanted to determine if these CD43⁺ splenocytes in the absence of CCR6 can provide IgM mediated atheroprotection.

Atheroprotection by IgM Secreting B Cells Is CCR6 Dependent

To determine the effect of CCR6 on IgM secreting B cell mediated atheroprotection, 30×10^6 CD43⁺ splenocytes from *ApoE*^{-/-}CCR6^{+/+}*sIgM*^{+/+} or *ApoE*^{-/-}CCR6^{-/-}*sIgM*^{+/+} or *ApoE*^{-/-}CCR6^{+/+}*sIgM*^{-/-} or PBS (control) mice were adoptively transferred via tail vein injection into *ApoE*^{-/-}CCR6^{+/+}*sIgM*^{-/-} mice following which the recipients were fed WD for 12 weeks (Figure 4A). Cholesterol levels were no different between the groups after 12 weeks of WD (Supplementary Figure 3). We started by determining the



effect of CCR6 on IgM production. We measured circulatory IgM levels by ELISA. Interestingly, circulatory IgM levels were significantly higher in mice that received B cells from *ApoE*^{-/-} *CCR6*^{+/+} *sIgM*^{+/+} mice but not in mice that received CD43⁻ splenocytes from *ApoE*^{-/-} *CCR6*^{-/-} *sIgM*^{+/+} mice compared to PBS (control) mice. While these differences are detectable in *sIgM*^{-/-} mice, these levels are far lower than in WT mice, likely obscuring this small but significant difference. As expected, there was no difference of circulatory IgM levels in mice that received CD43⁻ splenocytes from *ApoE*^{-/-} *CCR6*^{+/+} *sIgM*^{-/-} mice compared to PBS (control) mice (Figure 4B). A trend of lower levels of circulatory IgM and MDA (oxidation specific epitope) mimotope specific IgM were observed in recipients given *ApoE*^{-/-} *CCR6*^{-/-} *sIgM*^{+/+} CD43⁻ splenocytes, compared to

those given *ApoE*^{-/-} *CCR6*^{+/+} *sIgM*^{+/+} CD43⁻ splenocytes (Figures 4B,C). No difference in circulatory total IgG, IgE and MDA mimotope specific IgG were observed between PBS (control) and B cell recipient groups (Supplementary Figure 4). Next, we wanted to measure atherosclerosis levels. The percentage of enface lesion area was quantified in aortas by Sudan IV staining (Figure 4D). Intriguingly, atherosclerosis levels were significantly reduced in mice that received CD43⁻ splenocytes from *ApoE*^{-/-} *CCR6*^{+/+} *sIgM*^{+/+} mice compared to those that received PBS (control). There was no difference in atherosclerosis levels in mice that received CD43⁻ splenocytes from *ApoE*^{-/-} *CCR6*^{-/-} *sIgM*^{+/+} or *ApoE*^{-/-} *CCR6*^{+/+} *sIgM*^{-/-} compared to PBS (control). Moreover, the CD43⁻ splenocytes from *ApoE*^{-/-} *CCR6*^{+/+} mice attenuated atherosclerosis only when they were capable of secreting IgM (Figure 4E).

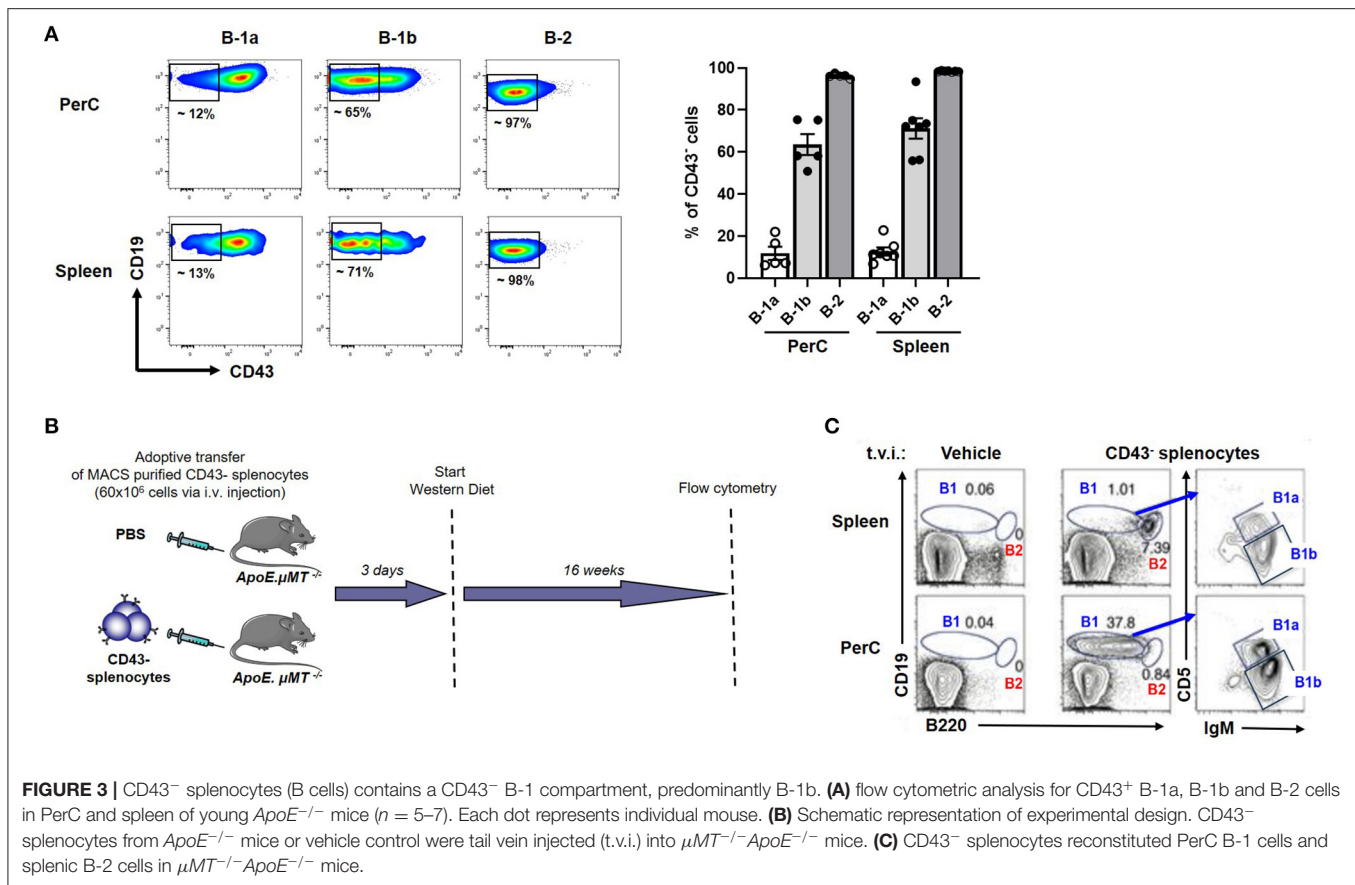


FIGURE 3 | CD43⁺ splenocytes (B cells) contains a CD43⁺ B-1 compartment, predominantly B-1b. **(A)** flow cytometric analysis for CD43⁺ B-1a, B-1b and B-2 cells in PerC and spleen of young *ApoE*^{-/-} mice ($n = 5-7$). Each dot represents individual mouse. **(B)** Schematic representation of experimental design. CD43⁺ splenocytes from *ApoE*^{-/-} mice or vehicle control were tail vein injected (t.v.i.) into *μMT*^{-/-} *ApoE*^{-/-} mice. **(C)** CD43⁺ splenocytes reconstituted PerC B-1 cells and splenic B-2 cells in *μMT*^{-/-} *ApoE*^{-/-} mice.

Expression of CCR6 on Human Putative B-1 Cells (CD20⁺ CD27⁺ CD43⁺) Was Significantly Lower in Patients With High CAD Severity Scores

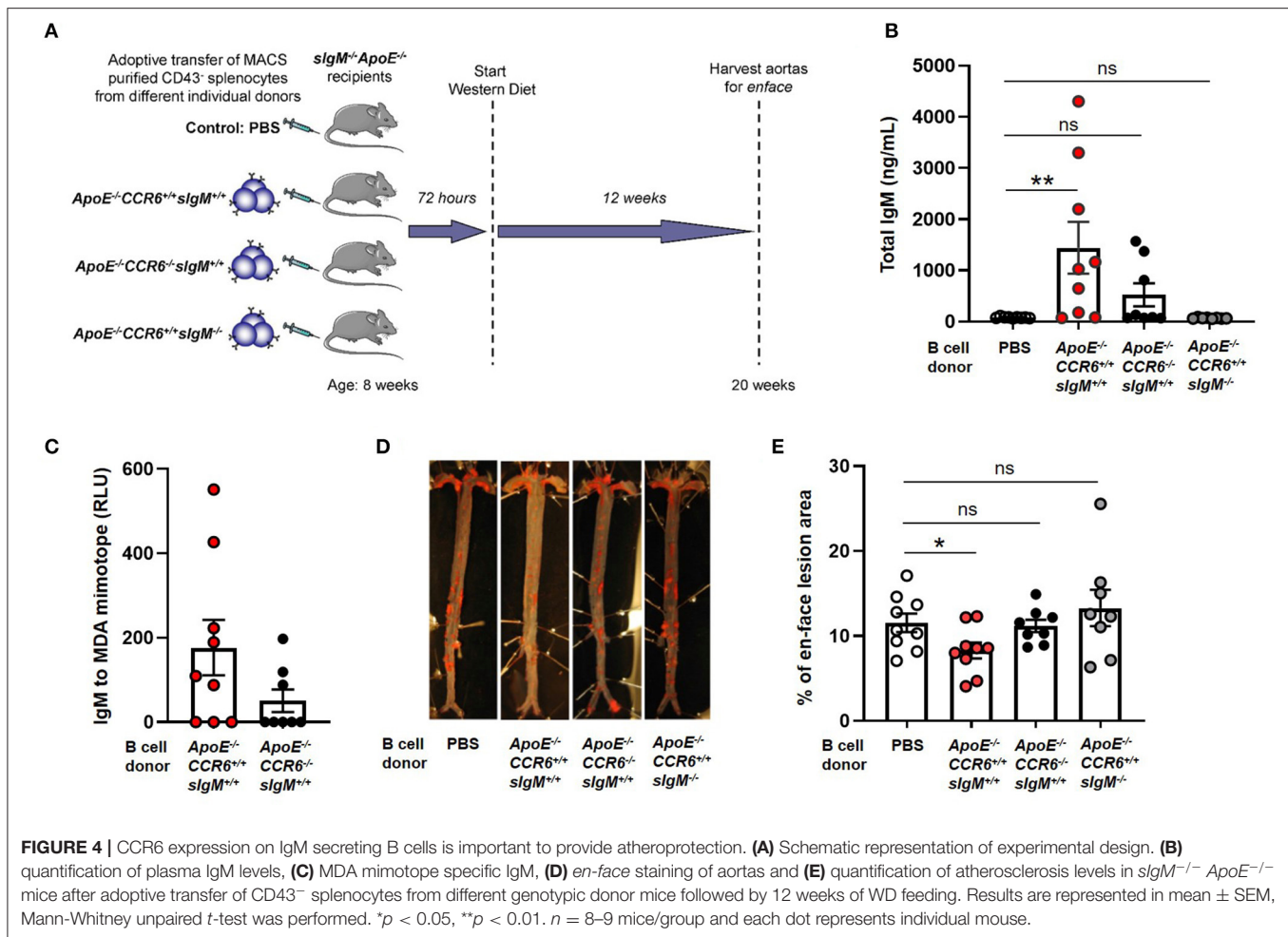
Next, to examine CCR6 expression on circulatory B cell subsets including putative B-1 cells in humans, PBMCs were collected from patients presenting for a medically indicated coronary angiography CAD assessment. Flow cytometry was performed on PBMCs with antibodies that allowed quantification of CCR6 on B cells, T cells and monocytes. Consistent with previous reports (31–34), all B cells express CCR6 and ~27% of CD4⁺ T cells and ~10% of CD8⁺ T cells express CCR6. However, circulating human monocytes do not express CCR6 (Supplementary Figure 5), despite CCR6 on monocytes being implicated in atherosclerosis in murine models (20, 22). Next to understand the CCR6 expression levels on B-1 cells, total CD20⁺ B cells were gated for naïve, memory and B-1 cells by using CD43 and CD27 (Figure 5A) (24, 35). The frequency of CCR6⁺ B-1 cells (Figure 5B) and GM mean of CCR6 expression on CD20⁺CD27⁺CD43⁺ B-1 cells (Figure 5C) was lower compared to naïve and memory B cells in circulation.

To determine whether expression of CCR6 on circulating B cell subtypes was associated with the severity of CAD, we utilized the well-established gensini scoring system (GS) as outlined in the section Methods. GM of CCR6 on total B cells and B cell

subsets was quantified in low GS and high GS patients. There was no difference in age, gender, diabetes and hypertension between GS low and high groups (Supplementary Table 1). There was no difference of GM of CCR6 on total B cells, naïve and memory B cells (Figures 5D–F). Interestingly, GM of CCR6 on B-1 cells was significantly lower in patients with high GS (Figure 5G), suggesting that CCR6 expression on human B-1 cells may protect from development of severe coronary artery atherosclerosis.

DISCUSSION

The abundance of atheroprotective B-1 cells in the PVAT has been previously reported by our group (17). However, the mechanism and the role of chemokine receptors in their homing to the PVAT has not been demonstrated. Chemokine receptors are important for the recruitment or migration of immune cells to lymphoid tissues and inflammatory sites to regulate the immune responses. CCR6 is expressed on different leukocyte populations, such as immature dendritic cells (36), B cells (32, 33), T cells (34), NKT cells (37). Previous studies of CCR6 on B cells mainly focused on mature adaptive B-2 cells. Our study is the first to identify a role for CCR6 in regulating B-1 cell number and IgM production in PVAT and a CCR6-dependent IgM-mediated inhibition of diet-induced atherosclerosis.



Two decades ago, an elegant study was published by Krzysiek et al., where they demonstrated the functional role of CCR6 expression during B cell development and antigen mediated B cell differentiation in humans. CCR6 is not expressed during early B cell development in the BM. However, it is expressed later by all mature B cells in the BM, peripheral blood and umbilical cord blood. Eventually, mature B cells lose their CCR6 expression upon activation via B cell receptor signaling and entering into germinal center reactions and terminally differentiating into antibody secreting plasma cells in secondary lymphoid organs. Interestingly, CCR6 is re-expressed once these germinal center B cells differentiate into memory B cells (33) and also these cells show increased chemotactic response to the CCR6 ligand, CCL20 (38). This data suggests that CCR6 expression is restricted to functionally mature B cells capable of responding to antigen challenge. Another study demonstrated that CCR6 expression was important for the migration of memory B cells to the mucosal tissue to produce IgA against intestinal microbial antigens (39). CCR6 is necessary for positioning of memory B cells in spleen to mount recall responses to the same antigen (40) and is thus involved in the regulation of B cell development and their function, particularly, mature activated B-2 cells.

Consistent with previous reports (31, 33), our flow cytometry data in human PBMCs showed that almost all B cells, a small percentage of T cells, and none of the monocytes in circulation express CCR6. In addition, our human data shows that the frequency of CCR6⁺ B-1 cells was significantly lower compared to naïve, and memory B cells in circulation. Though the frequency of CCR6⁺ B-1 cells was lower than B-2 cells in all tissue compartments in the murine system at homeostasis, the frequency of CCR6⁺ B-1 cells in antibody secreting tissue compartments such as the spleen, BM and PVAT was higher compared to their primary homeostatic niche (PerC). Further, in the absence of CCR6 (*ApoE*^{-/-} *CCR6*^{-/-}) there were significantly fewer IgM secreting B-1 cells in PVAT but not in PerC, spleen and BM. This data suggests that CCR6 expression on B-1 cells may play an important role in B-1 recruitment to PVAT to attenuate disease progression.

Our murine data showed that the number of atheroprotective IgM secreting B-1 cells were significantly reduced in PVAT of *ApoE*^{-/-} *CCR6*^{-/-} compared to control group at homeostasis. However, there is no difference in plasma IgM levels between *ApoE*^{-/-} *CCR6*^{+/+} and *ApoE*^{-/-} *CCR6*^{-/-}. This may be due to lack of differences in B-1 cell numbers in the major IgM

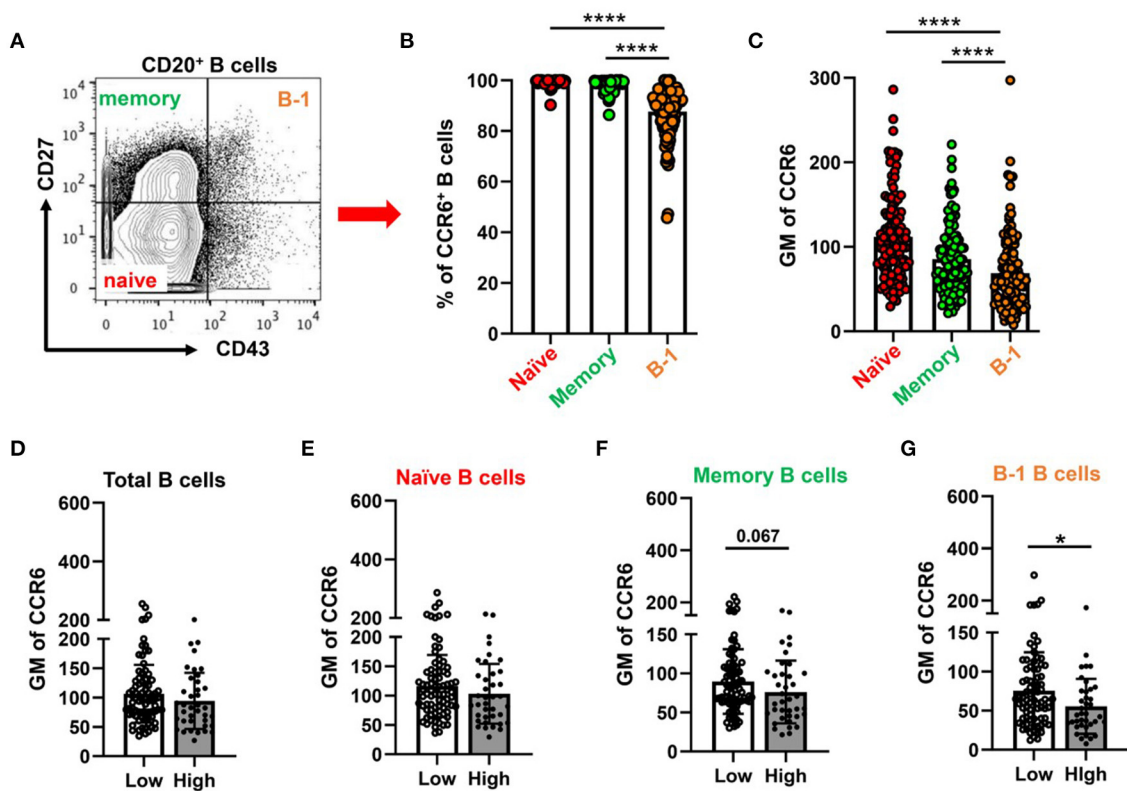


FIGURE 5 | Percentage of CCR6⁺ and GM of CCR6 on human circulating B cell subtype with lower CCR6 GM on putative human B-1 cells from subjects with high CAD severity score. **(A)** gating strategy for circulating B cell subsets (naive, memory and B-1 B cells) by using CD43 and CD27. **(B)** percentage of CCR6⁺ B cell subsets from total B cells and **(C)** GM of CCR6 expression on B cell subsets. Results are shown in mean \pm SEM, paired *t*-test was performed. *****p* < 0.0001. **(D–G)** GM of CCR6 on total B cells **(D)**, naive **(E)**, memory **(F)** and B-1 B cells **(G)** in subjects with low and high Gensini scores. Results are shown in mean \pm SEM, Mann-Whitney *t*-test was performed. **p* < 0.05.

producing sites such as spleen and BM. Similar to the role of chemokine receptors such as CXCR5, which is important for recruitment/migration of B-1 cells to their primary niches such as PerC and omental fat (41) and CXCR4 in regulation of atheroprotective IgM secreting B-1a cell recruitment to bone marrow (35), our findings provide evidence that CCR6 is important for B-1 cell recruitment to PVAT. The atheroprotective effect is likely due to local (PVAT) IgM secretion, not detected by changes in plasma levels.

Murine studies demonstrate that CCR6 on monocytes is important for their recruitment to aorta to aggravate atherosclerosis. In CCR6 deficient *ApoE*^{−/−} mice atherosclerosis levels were significantly reduced compared to littermate controls (*ApoE*^{−/−} CCR6^{+/+}) after WD feeding which can be attributed to reduction in BM derived Ly6C^{hi} inflammatory monocyte subtype in circulation and thereby reduction in macrophage numbers in atherosclerotic lesions (20). A similar finding was reported in CCR6 deficient *LdlR*^{−/−} mice where atherosclerosis levels were significantly reduced in aorta and aortic sinus *via* reduction in the numbers of Gr-1^{hi} and Gr-1^{low} monocytes in circulation followed by reduction in accumulation of macrophage numbers in the lesions when compared to *LdlR*^{−/−} CCR6^{+/+} control mice. Contrary to the changes observed in monocyte

subset numbers, there was no difference in frequencies of Th1, Th17 and regulatory T cells locally and systemically between *LdlR*^{−/−} CCR6^{−/−} and *LdlR*^{−/−} CCR6^{+/+} mice (22). Consistent with our human data on CCR6 expression on human leukocytes, initially, CCR6 was not thought to be expressed on human monocytes (42). However, studies have shown a substantial increase in CCR6 expression on monocytes during inflammatory conditions. Around 3% of circulatory monocytes expressed CCR6 in blood and synovial fluid from rheumatoid arthritis patients and these monocytes responded to CCL20 chemotaxis *in vitro* (31). This suggests that chemokine receptor expression may increase on leukocytes during inflammatory conditions and promote recruitment to sites of inflammation in humans.

While global deletion of CCR6 conferred atheroprotection, previous studies of the role of CCR6 on B cells demonstrate an atheroprotective effect. Adoptive transfer of CD43⁺ splenocytes from CCR6^{+/+} but not CCR6^{−/−} donors showed increased recruitment of B cells to the aorta (taken together with PVAT) and significantly reduced diet induced atherogenesis in μ MT^{−/−} recipients (21). Also, upon comparing aorta and PVAT separately, we have shown that PVAT and not aorta has more B cells (17). This suggests that CCR6 is involved in B cell homing to PVAT and in conferring B cell-mediated atheroprotection.

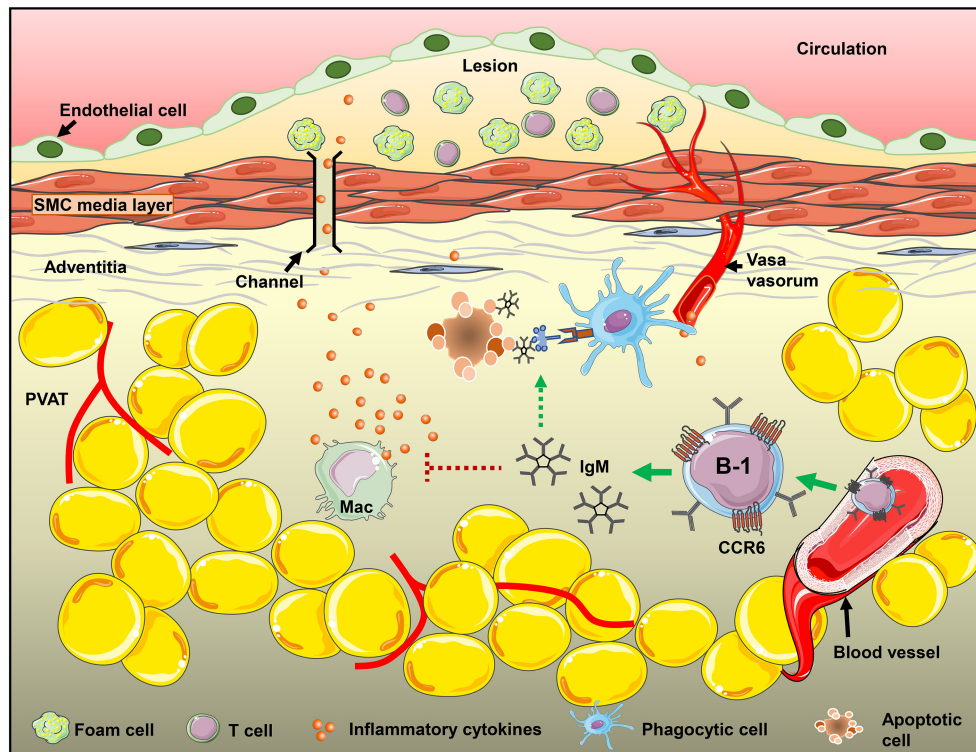


FIGURE 6 | CCR6 expression on B-1 cells regulate B-1 numbers in PVAT and these B-1 cells attenuate diet-induced atherosclerosis in an IgM-dependent manner via inhibiting inflammatory cytokine production from inflammatory macrophages and/or increasing apoptotic cell clearance in the PVAT.

Adoptive transfer of FACS sorted B-1 cells alone do not survive long-term in *ApoE*^{-/-}*sIgM*^{-/-} recipients. We therefore took the approach of transferring CD43⁺ splenocytes as per our previous publication (21). Notably, although the percentage of splenic B-1 cells that are CD43⁺ is lower than the percentage of CD43⁺ B-2 cells, 10–15% of B-1a and 60–70% of B-1b cells in both PerC and spleen are CD43⁺ (Figure 3A). These B-1 cells together with few remnant CD43⁺ B-1 cells could re-populate during the 16 weeks of time prior to the end of our study due to their self-renewing capacity. Our current study suggests that this re-population of atheroprotective IgM secreting B-1 cells led to atheroprotection.

In this current study we performed the adoptive transfer of CD43⁺ splenocytes into *sIgM*^{-/-} mice that have endogenous B cells unlike the *uMT*^{-/-} mice in our previous study (21). *sIgM*^{-/-} deficiency increases marginal zone B cells and reduces follicular B cells (43, 44). Though marginal zone B cells are primarily involved in providing protection from blood borne pathogens (45). However, these B cells are also involved in atheroprotection by reducing follicular T helper cell development via programmed death ligand-1 signaling (46). These follicular T helper cells are important for differentiation of follicular B cells into germinal center B cells followed by antigen specific antibody producing B cells. IgG is a major antibody type secreted by B cells that undergo germinal center reactions. With regards to follicular B cells, it has been reported that *sIgM*^{-/-} mice display increased B cell receptor signaling that results in abnormal B

cell development followed by reduced follicular B cell numbers (44). This condition leads to increased circulatory pathogenic IgE levels in circulation and aggravated atherosclerosis in atherogenic diet fed *Ldlr*^{-/-}*sIgM*^{-/-} mice compared to control group (47). None of the *ApoE*^{-/-}*sIgM*^{-/-} recipient mice in our study regardless of genotype of the donor cells had differences in circulatory total IgG and IgE antibody levels arguing against this mechanism for the atheroprotection seen in our study.

We have previously shown that *sIgM* deficiency aggravates atherosclerosis in mice (48) and local PVAT mRNA levels of proinflammatory cytokines like *INF* γ and *TNF* α in *sIgM*^{-/-} mice are significantly higher compared to littermate controls (unpublished data). This supports the role of locally produced IgM in regulation of PVAT inflammation. This data is consistent with our previous report that show that CCR6 deficiency significantly reduced B cell recruitment to aorta and adoptive transfer of B cells from *CCR6*^{-/-} mice no longer provided atheroprotection (21). CCR6 is thus important for B-1 cell recruitment and local IgM production in the PVAT. B-1 cell derived IgM in fact could influence the disease levels by altering the local environment in the PVAT of mice either by reducing inflammatory cytokine production (*TNF* α and *MCP-1*) from M1 type macrophages in adipose tissue (28), or by mediating opsonization of apoptotic cells and accelerating their clearance by phagocytic cells (49, 50). CCR6, thus, may regulate B-1 cell recruitment to PVAT and control local inflammatory responses

by secreting IgM and thereby its use in development of cell-based therapeutics could help in modulation of the disease (Figure 6).

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author/s.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by University of Virginia Human Investigation Committee. The patients/participants provided their written informed consent to participate in this study. The animal study was reviewed and approved by University of Virginia Animal Care and Use Committee.

AUTHOR CONTRIBUTIONS

PS designed and performed the experiments, acquired and analyzed the data, prepared figures, and wrote the manuscript. AU, HMP, MAM, and CM performed the experiments. FD analyzed human data. SVB edited scientific content in the manuscript. AMT acquired coronary angiography data. CAM designed the experiments and edited the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.636013/full#supplementary-material>

Supplementary Figure 1 | B-1 cells do not survive longer periods in *slgM* deficient mice: (A) schematic representation of experimental design. FACS sorted B-1 cells *ApoE*^{-/-} mice were adoptively transferred into *slgM*^{-/-} *ApoE*^{-/-} mice. After 1 weeks of the transfer, mice were maintained on WD for 6 weeks. (B) After blood was collected at different time points and circulatory IgM levels were measured by ELISA (n = 4/group).

Supplementary Figure 2 | FACS confirmation of MACS purified CD43⁻ B cells: CD43⁻ splenocyte isolation was performed by MACS separation. CD43⁺ B cells were shown before MACS and after MACS separation.

Supplementary Figure 3 | No difference in total cholesterol levels. Serum cholesterol levels were measured in *slgM*^{-/-} *ApoE*^{-/-} mice that received PBS and CD43⁻ splenocytes from different genotypic donor mice followed by 12 weeks of WD feeding (Figure 5A). There was no difference in serum total cholesterol levels between these groups. Results are represented in mean + SEM, Mann-Whitney unpaired t-test was performed. n = 8–9 mice/group and each dot represents individual mouse.

Supplementary Figure 4 | No difference in circulatory IgG levels. Serum (A) total IgG, (B) MDA mimotope specific IgG, and (C) total IgE levels were measured in *slgM*^{-/-} *ApoE*^{-/-} mice that received PBS and CD43⁻ splenocytes from different genotypic donor mice followed by 12 weeks of WD feeding (Figure 5A). There was no difference between control (PBS) group and CD43⁻ splenocytes transferred from different genotypic mice groups. Results are represented in mean + SEM, Mann-Whitney unpaired t-test was performed. n = 8–9 mice/group and each dot represents individual mouse.

Supplementary Figure 5 | Total B cells and few percent of T cells express CCR6 but not monocytes in PBMCs: Flow cytometry analysis to determine CCR6 expression levels on different circulating immune cells in human. CCR6 highly expressed on B cells and moderately expressed on T cell subsets but no expression on monocytes (n = 15).

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Phenotypic and Functional Characterization of Double Negative B Cells in the Blood of Individuals With Obesity

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We have previously shown that obesity is associated with increased secretion of IgG antibodies with anti-self-reactivity. In this paper, we confirm and extend our previous findings. We show that the plasma of individuals with obesity is enriched in autoimmune antibodies whose levels are positively associated with blood frequencies of the subset of Double Negative (DN) B cells, which is the most pro-inflammatory B cell subset. We also show that DN B cells, significantly increased in the blood of obese versus lean individuals, are characterized by higher expression of immune activation markers and of the transcription factor T-bet, both associated with autoimmunity. The removal of DN B cells from the peripheral B cell pool significantly decreases *in vitro* secretion of anti-self IgG antibodies. These results altogether confirm the crucial role of DN B cells in the secretion of anti-self IgG antibodies in individuals with obesity.

Keywords: aging, B cells, obesity, inflammation, antibody responses

INTRODUCTION

Obesity, defined as body-mass index (BMI) ≥ 30 kg/m² by the Centers for Disease Control and Prevention and the World Health Organization, is a condition associated with chronic low-grade systemic inflammation, known as inflammaging (1). Inflammaging has been shown to induce chronic immune activation (IA), which contributes to functional impairment of immune cells and decreased immunity. Obesity and associated inflammation lead to several debilitating chronic diseases such as type-2 diabetes, cancer, atherosclerosis, and inflammatory bowel disease (2–9).

We have previously shown that obesity is associated with decreased antibody responses to the influenza vaccine and decreased B cell function (10), measured by activation-induced cytidine deaminase (AID) after *in vivo* or *in vitro* stimulation with mitogens, antigens and vaccines. AID is the enzyme that regulates Ig class switch recombination (CSR) and somatic hypermutation (SHM) (11), two processes leading to the generation of high affinity protective antibodies (12–14). The reduced B cell responses in individuals with obesity are likely due to the fact that B cells from obese individuals, as compared to those from lean individuals, are enriched in memory B cells, and in particular in the subset of Double Negative (DN) B cells, which is the most pro-inflammatory B cell subset (10, 15), reported to be increased in the blood of individuals with inflammatory conditions and diseases. These include aging (16–18), autoimmune diseases such as Rheumatoid Arthritis (19),

Systemic Lupus Erythematosus (SLE) (20, 21), Multiple Sclerosis (22), Alzheimer's disease (23), Sjogren's disease (24) and pemphigus (25). DN B cells have also been reported to be increased in the blood of patients affected by chronic infectious diseases such as HIV (26), Hepatitis C (27) and Malaria (28). These results have suggested that these cells likely expand *in vivo* after chronic exposure to autoantigens or pathogen-derived antigens, leading to the production of autoimmune or protective antibodies, respectively. DN B cells are also expanded in the blood of COVID-19 patients and associated with anti-viral antibody responses and poor clinical outcomes, as recently shown (29).

In this paper, we show that the plasma of individuals with obesity is enriched in anti-self IgG antibodies and we tested three different antigenic specificities: double strand (ds)DNA, malondialdehyde (MDA) and adipocyte-derived antigens. We chose these antigenic specificities because obesity is associated with increased DNA damage (measured by dsDNA) (30), increased oxidative stress and lipid peroxidation (measured by MDA) (31, 32), and increased fat mass (measured by adipocyte-associated antigens released by the adipose tissue) (33). Plasma levels of these anti-self IgG antibodies are positively associated with blood frequencies of DN B cells. We confirmed our previous findings that the frequencies of DN B cells are increased in the blood of obese versus lean individuals. Moreover, we found that DN B cells show higher expression of IA markers and of the transcription factor T-bet associated with autoimmunity. The removal of DN B cells from the total B cell pool significantly reduced *in vitro* secretion of anti-self IgG antibodies. These results reveal a critical role for DN B cells in the secretion of anti-self IgG antibodies in individuals with obesity.

MATERIALS AND METHODS

Subjects

Experiments were performed using blood isolated from lean (n=20, 30–54 years) and obese (n=20, 27–55 years) adult female individuals, with average body Mass Index (BMI, kg/m²) 21 ± 1 and 42 ± 3, respectively. The individuals participating in the study were screened for diseases known to alter the immune response or for consumption of medications that could alter the immune response. We excluded subjects with autoimmune diseases, congestive heart failure, cardiovascular disease, chronic renal failure, malignancies, renal or hepatic diseases, infectious disease, trauma or surgery, pregnancy, or documented current substance and/or alcohol abuse.

Study participants provided written informed consent. The study was reviewed and approved by our Institutional Review Board (IRB, protocols 20070481 and 20160542), which reviews all human research conducted under the auspices of the University of Miami.

PBMC Collection

PBMC were collected using Vacutainer CPT tubes (BD 362761) and cryopreserved. PBMC (1 × 10⁶/ml) were thawed and cultured in complete medium (c-RPMI, RPMI 1640, supplemented with

10% FCS, 10 µg/ml Pen-Strep, 1 mM Sodium Pyruvate, and 2 × 10⁻⁵ M 2-ME and 2 mM L-glutamine).

Flow Cytometry

After thawing, PBMC (2 × 10⁶/ml) were stained for 20 min at room temperature with the following antibodies: anti-CD45 (BioLegend 368540), anti-CD19 (BD 555415), anti-CD27 (BD 555441), and anti-IgD (BD 555778) to measure naive (IgD+CD27-), IgM memory (IgD+CD27+), switched memory (IgD-CD27+), and DN (IgD-CD27-) B cells. To measure membrane expression of markers associated with IA, B cells were also stained with anti-CD95 (BioLegend 305635), anti-CD21 (BioLegend 354911), anti-CD11c (BioLegend 301625), anti-CD86 (BioLegend 374215), anti-HLADR (BioLegend 307617), anti-PD1 (BioLegend 329907) antibodies. Up to 10⁴ events in the B cell gate were acquired on an LSR-Fortessa (BD) and analyzed using FlowJo 10.0.6 software. Single color controls were included in every experiment for compensation. Isotype controls were also used in every experiment to set up the gates.

B Cell Isolation and Stimulation

After thawing, B cells were isolated from PBMC using magnetic CD19 Microbeads (Miltenyi), following manufacturer's instructions. Cell preparations were typically >98% pure. B cells were stimulated in c-RPMI with CpG (InvivoGen ODN2006, 10 µg/ml) for 10 days. Supernatants were collected and IgG specificity was measured by ELISA.

To evaluate the effects of DN B cells on IgG autoantibody secretion, CD19+ B cells isolated with magnetic beads were stained with anti-CD27 and anti-IgD antibodies. DN B cells were sorted out in a Sony SH800 cell sorter. Total B cells and total B cells without DN B cells were stimulated for 10 days with CpG, and supernatants analyzed for IgG autoantibody specificity by ELISA.

RNA Extraction and Quantitative PCR

Total RNA was extracted from unstimulated DN B cells, resuspended in TRIzol, according to the manufacturer's protocol, then resuspended into 10 µl of preheated H₂O, and stored at -80°C until use. Reverse Transcriptase (RT) reactions were performed in a Mastercycler Eppendorf Thermocycler to obtain cDNA. Briefly, 2 µl of RNA at the concentration of 0.5 µg/µl were used as template for cDNA synthesis in the RT reaction. Conditions were: 40 min at 42°C and 5 min at 65°C. Five µl of cDNA were used for qPCR. Reactions were conducted in MicroAmp 96-well plates and run in the ABI 7300 machine. Calculations were made with ABI software. Briefly, we determined the cycle number at which transcripts reached a significant threshold (Ct) for each target gene and for GAPDH as control. A value of the target gene, relative to GAPDH, was calculated and expressed as ΔCt. Reagents and primers (Taqman) were from ThermoFisher.

ELISA to Measure Antibodies in Plasma and Culture Supernatants

For dsDNA-specific and Malondialdehyde (MDA)-specific IgG antibodies we used the Signosis EA-5002 and MyBioSource MBS390120 kits, respectively.

For adipocyte-specific IgG antibodies, we isolated the adipocytes from the subcutaneous adipose tissue of patients undergoing weight reduction surgeries (bilateral breast reduction), as previously described (33). After isolation, the adipocytes were centrifuged in a 5415C Eppendorf microfuge (2,000 rpm, 5 min). Total cell lysates were obtained using the M-PER (Mammalian Protein Extraction Reagent, ThermoFisher), according to the manufacturer's instructions. Aliquots of the protein extracts were stored at -80°C. Protein content was determined by Bradford (34).

Statistical Analyses

To examine differences between groups, unpaired Student's *t* tests (two-tailed) were used. To examine relationships between variables, bivariate Pearson's correlation analyses were performed, using GraphPad Prism version 8 software, which was used to construct all graphs. Principal Component Analyses (PCA) were generated using RStudio Version 1.1.463.

RESULTS

The Plasma of Individuals With Obesity Is Enriched in IgG Antibodies Specific for dsDNA, MDA, and Adipocyte-Derived Antigens

Plasma samples were isolated from individuals with obesity and from lean controls. Samples were tested for the presence of IgG antibodies specific for ds-DNA, MDA and adipocyte-derived antigens. **Figure 1** shows significantly higher amounts of IgG for the 3 different antigenic specificities in obese versus lean individuals.

We also measured IgM antibodies specific for the above autoantigens. Results show no significant differences in lean versus obese individuals for anti-ds-DNA IgM antibodies (0.84 ± 0.11 vs. 0.93 ± 0.13 , $p=0.60$, $n=6$), for MDA IgM antibodies (1.39 ± 0.09 vs. 1.58 ± 0.08 , $p=0.15$, $n=6$), and for IgM specific for adipocyte-derived antigens (1.26 ± 0.13 vs. 1.33 ± 0.09 , $p=0.06$, $n=18$).

The Frequencies of DN B Cells Significantly Increase in the Blood of Obese Versus Lean Individuals

We have previously shown that DN B cells present in the blood and in the adipose tissue of individuals with obesity are

responsible for the secretion of anti-adipocyte-specific IgG antibodies (15). Here, we tested the hypothesis that DN B cells were also associated with/responsible for the secretion of anti-dsDNA and anti-MDA IgG antibodies in the blood of obese individuals.

We therefore compared the frequencies of DN B cells in this cohort of obese and lean individuals. **Figure 2** (top) shows the major B cell subsets, gated on leukocytes (CD45+): naïve (IgD+CD27-), IgM memory (IgD+CD27+), switched memory (swIg, IgD-CD27+) and DN (IgD-CD27-). Results in **Figure 2** (bottom) show the significant increase in the frequencies of DN B cells in obese versus lean individuals, confirming and extending to this cohort our previously published findings (10, 15). Results in **Figure 2** (bottom) also show the frequencies of the other B cell subsets. We observed a significant increase in the frequencies of naïve and a significant decrease in the frequencies of IgM memory B cells in obese versus lean individuals, whereas the frequencies of swIg were found not significantly different between the two groups. These results are slightly different from those we have previously published (10), likely because in this study we have included individuals that are older (27–55 years) than those in our previous study (20–40 years).

IgG Antibodies Specific for dsDNA, MDA, Adipocyte-Derived Antigens Are Positively Associated With Blood Frequencies of DN B Cells

As expected, IgG antibodies specific for the self-antigens in **Figure 1** were positively associated with blood frequencies of DN B cells in **Figure 2** (**Figure 3**).

DN B Cells Are Characterized by Higher Expression of IA Markers Associated With Autoimmunity

In order to characterize the phenotype of DN B cells present in the blood of individuals with obesity and of lean controls, we examined membrane expression of markers of IA, previously shown to be present on DN B cells from patients with autoimmunity. Briefly, we measured the following: CD21, the complement receptor for C3d (35); CD95, Fas ligand (36); CD11c, the Itgax integrin involved in antigen presentation to T cells (37); CD86 and HLADR, also involved in antigen presentation to T cells (38, 39); PD1, a marker of IA and of

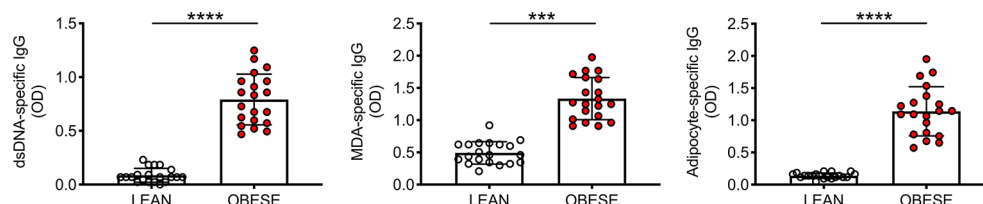


FIGURE 1 | The plasma of individuals with obesity is enriched in IgG antibodies specific for dsDNA, MDA and adipocyte-derived antigens. Plasma samples were isolated from individuals with obesity and from lean controls. Mean comparisons between groups were performed by Student's *t* test (two-tailed). *** $p < 0.001$, **** $p < 0.0001$.

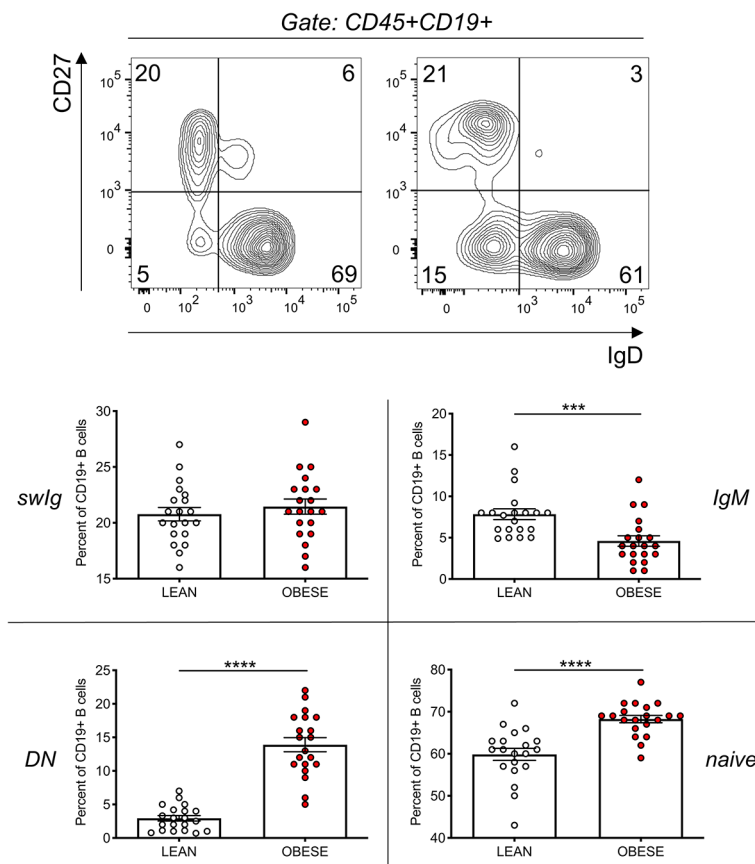


FIGURE 2 | The frequencies of DN B cells significantly increase in the blood of obese versus lean individuals. Top. Gating strategies and a representative dot plot from one lean and one obese individual. Bottom. Results show frequencies of the four B cell subsets. Mean comparisons between groups were performed by Student's t test (two-tailed). *** $p < 0.001$, **** $p < 0.0001$.

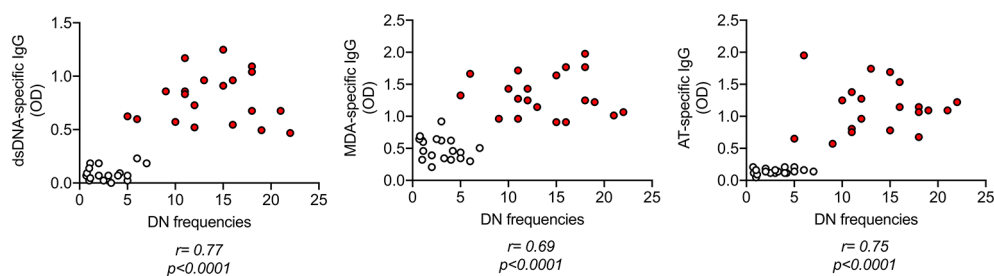


FIGURE 3 | IgG antibodies specific for dsDNA, MDA, adipocyte-derived antigens are positively associated with blood frequencies of DN B cells. IgG antibodies were measured in plasma as indicated in **Figure 1**. DN B cell frequencies were measured by flow cytometry as indicated in **Figure 2**. Correlation coefficients and p values are shown for each antibody specificity.

cell exhaustion (40). Results in **Figure 4A** show that DN B cells from individuals with obesity are characterized by lower levels of expression of CD21, and higher levels of expression of CD95, CD11c, CD86, HLADR, PD1, as compared to those from lean controls. These results are in agreement with previously

published observations showing the association of the membrane phenotype $CD21^{low}CD95^{+}CD11c^{+}CD86^{+}HLADR^{+}PD1^{+}$ with autoimmune B cell subsets, and clearly demonstrate that obesity induces the expansion of DN B cells characterized by this autoimmune phenotype. In the PCA

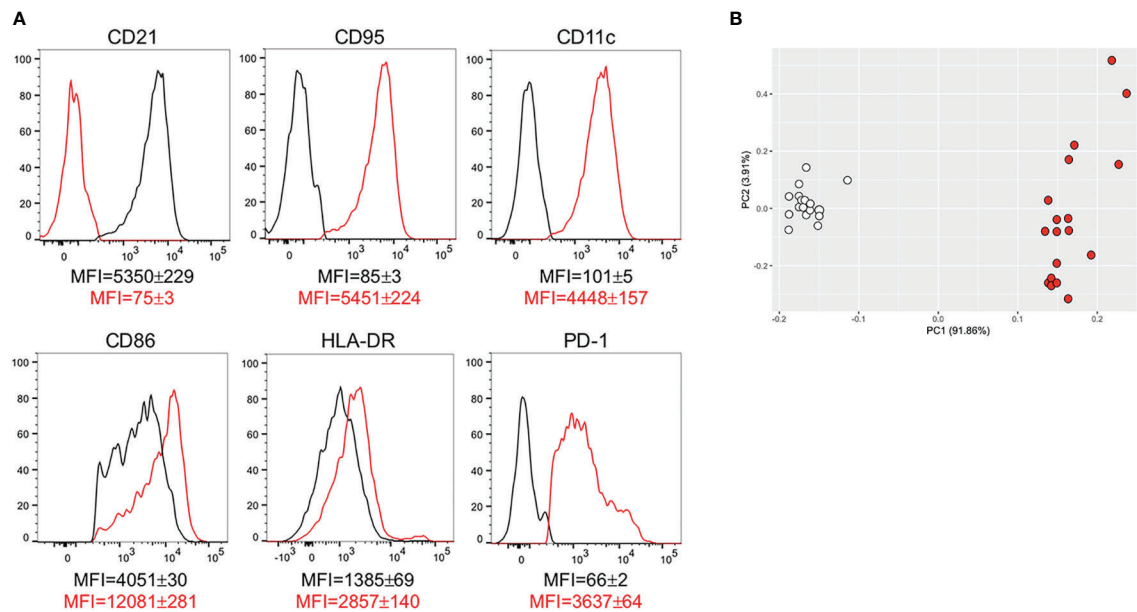


FIGURE 4 | DN B cells are characterized by higher expression of IA markers associated with autoimmunity. **(A)** Cells were stained to evaluate the expression of several markers of IA on DN B cells from individuals with obesity and from lean controls. Results show mean fluorescence intensity (MFI)± SE for each marker in DN B cells from lean (black line) and obese (red line) individuals (18 individuals/group). **(B)** PCA analysis with the axes showing the percentage of variation explained by PC1 and PC2. Each symbol indicates an individual. White symbols: lean individuals. Red symbols: obese individuals.

analysis in **Figure 4B** distinct clustering of DN B cells from the two groups of individuals are shown.

DN B Cells Are Also Characterized by Higher Expression of the Transcription Factor T-Bet Associated With Autoimmunity

Next, we evaluated if DN B cells with the membrane phenotype associated with autoimmunity were expressing not only the transcription factor T-bet, known to be involved in the secretion of anti-self-antibodies, but also the expression of transcription factors and enzymes crucial for CSR. Briefly, we measured RNA expression of T-bet (*tbx21*) and other transcription factors involved in CSR (*E47*, *Pax-5*), in germinal center reactions (*bcl6*), in plasma cell differentiation (*prdm1*, *XBPI*), as well as RNA expression of AID (*aicda*). Results in **Figure 5** show that *tbx21*, *bcl6*, *aicda*, *prdm1* and *XBPI* are all significantly up-regulated in unstimulated DN B cells from individuals with obesity as compared to lean controls. No differences were observed for *E47* and *Pax-5*. These results show that DN B cells isolated from the blood of individuals with obesity, as compared to those isolated from lean controls, are not only already pre-activated, as indicated by their higher expression of IA markers, but also show spontaneous expression of the transcription factors associated with antibody secretion, including T-bet, associated with the secretion of IgG antibodies with anti-self-specificity.

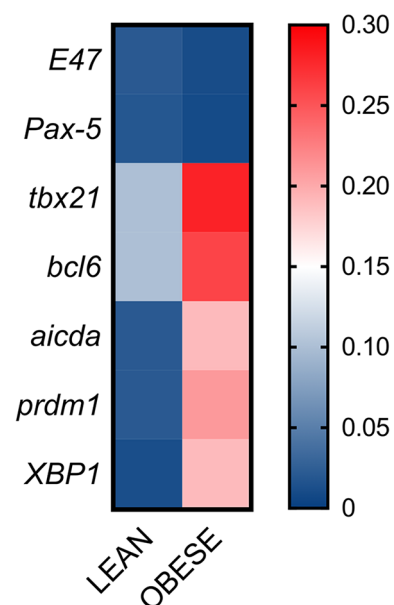


FIGURE 5 | DN B cells are characterized by higher expression of transcription factors associated with autoimmunity. DN B cells were sorted from the peripheral blood of individuals with obesity and of lean controls and left unstimulated. Total RNA was extracted to evaluate by qPCR the expression of transcription factors. Heatmap shows qPCR values ($2^{-\Delta\Delta C_t}$) of several transcription factors, normalized to GAPDH. Results show average qPCR values from 18 individuals/group.

The Removal of DN B Cells Significantly Reduces the Secretion of IgG Autoimmune Antibodies

We have previously shown that DN B cells sorted from the breast adipose tissue of obese female patients undergoing weight reduction surgeries secrete autoimmune IgG antibodies that are specific for adipocyte-derived antigens (15). These experiments have been possible because from surgery patients we get large pieces of discarded tissue and, also, because DN B cell frequencies in the adipose tissue reach up to 80% of the total B cell pool, a frequency never observed in the peripheral blood. To further confirm that DN B cells are responsible for the secretion of autoimmune IgG antibodies in the blood of individuals with obesity, we performed the following experiment. B cells, as well as B cells without DN B cells, isolated from the blood of individuals with obesity, were stimulated for 10 days with the B cell mitogen CpG. Stimulation is necessary to allow the stimulation/expansion of IgG secreting B cells. After stimulation, supernatants were collected and IgG autoimmune antibodies measured by ELISA. Results in **Figure 6** show that the removal of DN B cells from the pool of total B cells of obese individuals significantly decreased *in vitro* secretion of anti-dsDNA, anti-MDA and anti-adipocyte IgG specific antibodies.

DISCUSSION

The subset of DN B cells has been the focus of increasing interest in the last few years, as shown by a large number of dedicated

publications. DN B cells expand in healthy aging, in autoimmune diseases, in chronic and acute infections. DN B cells also increase in the blood of individuals with obesity and reach significantly high frequencies in the obese subcutaneous adipose tissue, where they secrete large amounts of autoimmune antibodies with different specificities. As we have recently demonstrated, these specificities include adipocyte-derived products, mainly cell-associated proteins and nucleic acids, not known as autoantigens but released in large amounts in the obese adipose tissue under conditions associated with hypoxia and cell death (41). The finding that anti-dsDNA, anti-MDA and anti-adipocyte specific antibodies are increased in the plasma of healthy elderly individuals (15, 42) and obese individuals has suggested that obesity may accelerate age-associated B cell defects. Fat mass indeed increases with age in humans (43, 44) and this is associated with increased inflammaging (1), metabolic dysfunction (5, 45) and development of insulin resistance which also increases with age (46). Moreover, an age-associated increase in the ectopic deposit of triglycerides in several tissues (liver, muscle, heart, pancreas, kidney) (47–51) and in blood vessels (52) occurs, and this is associated with the development and/or progression of age-associated diseases.

Data herein clearly show that DN B cells from individuals with obesity express higher levels of membrane markers of IA associated with autoimmunity as compared to lean controls and are characterized by the phenotype $CD21^{low}CD95+CD11c+CD86+HLADR+PD1+$. They also spontaneously express higher RNA levels for transcription factors involved in the secretion of

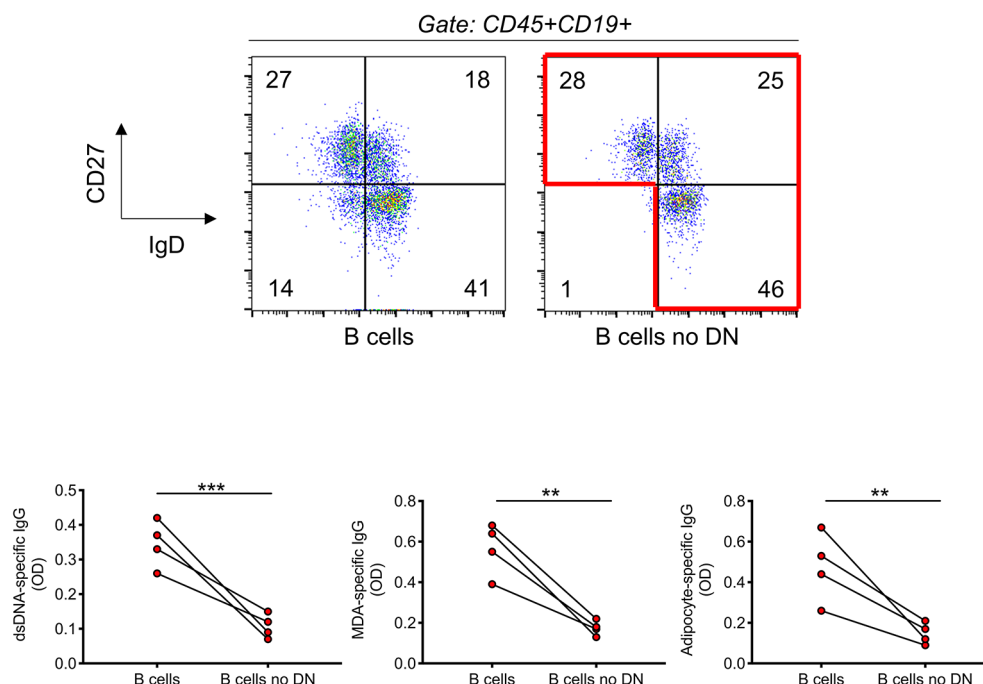


FIGURE 6 | The removal of DN B cells significantly reduces the secretion of IgG autoimmune antibodies. B cells were isolated with magnetic beads from the blood of four individuals with obesity. Top. Gating strategies to remove DN B cells. B cells were stained with anti-CD27 and anti-IgD antibodies. DN B cells were sorted out using a Sony SH800 cell sorter. Bottom. After stimulation of total B cells and total B cells without DN B cells for 10 days with CpG, supernatants were collected and analyzed for the presence of anti-dsDNA, anti-MDA, and anti-adipocyte IgG by ELISA. ** $p < 0.01$, *** $p < 0.001$.

autoimmune antibodies (tbx21, prdm1, XBP1), suggesting that DN B cells from obese individuals are already pre-activated, a status leading to spontaneous secretion of autoimmune antibodies, as shown in autoimmune diseases (53), and in the obese adipose tissue at least for some specificities (33, 41). Because the IA phenotype of DN B cells from obese individuals is associated with increased energy demands, DN B cells engage in robust metabolic reprogramming to generate sufficient energy to fuel these demands and support autoantibody secretion (15).

Human DN B cells have many similarities with mouse splenic Age-associated B Cells (ABCs) (54, 55), identified as CD19+AA4.1-CD43-CD21-CD23- cells (54–56). DN B cells and ABCs originate from mature B cell subsets (naïve in humans, follicular B cells in mice) after *in vivo* or *in vitro* stimulation with the Toll-like receptors TLR7 or TLR9, alone or together with BCR cross-linking, demonstrating that BCR is also an active signaling system in these subsets. It has been shown that TLR agonists plus IL-21 and IFN- γ regulate T-bet expression, the transcription factor for the secretion of autoimmune antibodies (57), whereas TLR agonists plus IL-21 alone promote CD11c expression independently of T-bet (58). In agreement with the expression of T-bet, both human DN B cells and mouse ABCs secrete anti-ds-DNA (our results herein) or anti-chromatin (55) autoimmune IgG antibodies. Moreover, T-bet+ ABCs carry somatically mutated Ig, suggesting that they originate during T-dependent B cell responses (59). T-bet+ ABCs appear and persist indefinitely after influenza infection in mice (58, 59). These cells represent the spleen-resident population of memory B cells responsible for the secretion of HA stalk-specific IgG2c antibodies and of durable neutralizing antibodies (60). Previous results from Swain's group have also demonstrated that mouse ABCs are specific for a live influenza virus (A/PR8/34) and these influenza-specific ABCs differentiate into antibody-secreting cells, some of which home to the bone marrow and to the lungs where they persist for months, suggesting their role in providing significant protection (61). Human T-bet+ B cells also have also recently been shown to mediate influenza-specific humoral memory (60). Similar to mouse T-bet+ ABCs, they have an activated phenotype, they are spleen-resident and secrete HA-specific IgG1 antibodies recognizing H1 or H3 viral strains. IgG1 antibodies represent the equivalent of mouse IgG2c.

DN B cells are heterogeneous with two major subsets, DN1 and DN2. DN1 B cells are exclusively involved in follicular T-dependent antibody responses. DN2 B cells, conversely, represent the DN B cell subset that participates in extra-follicular B cell responses. DN1 B cells represent the major DN B cell subset in healthy individuals, whereas DN2 B cells increase in the blood of SARS-CoV-2-infected patients as compared to uninfected controls, suggesting a pathogenic role of DN2 B cells in COVID-19 patients (29). DN2 B cells also increase in the blood of SLE patients, as shown by the same group (62). In both cases, DN2 B cells are characterized by decreased

expression of the chemokine receptor CXCR5, associated with follicular homing predisposition, and by a concomitant increased expression of CXCR3, a marker of homing to inflamed tissues. We haven't been able to identify DN1 and DN2 B cells in the blood and in the adipose tissue of individuals with obesity, likely because the individuals recruited in our studies are healthy, and either acute infection (COVID-19) or active disease (SLE) may be needed to allow the expansion of these extra-follicular B cells. We believe that the DN B cells in obese individuals are predominantly DN2, as they secrete autoimmune antibodies as observed in SLE patients.

In conclusion, our results confirm and extend our previous findings showing that frequencies of DN B cells increase in the blood of obese as compared to lean individuals and are positively correlated with the amounts of plasma autoimmune IgG antibodies. DN B cells are characterized by higher expression of IA markers and of the transcription factor T-bet, both associated with autoimmunity. When we removed DN B cells from the B cell pool we saw a significant decrease in the *in vitro* secretion of anti-self IgG antibodies. We believe that the results herein strongly support the role of DN B cells in the secretion of anti-self IgG antibodies in individuals with obesity.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by institutional review board (IRB) protocol 20070481 and 20160542. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

DF wrote the paper. AD, MR, and DF performed the experiments and acquired and analyzed data. DF and BB were involved in funding acquisition. All authors contributed to the article and approved the submitted version.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Marginal Zone B Cells Assist With Neutrophil Accumulation to Fight Against Systemic *Staphylococcus aureus* Infection

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In addition to regulating immune responses by producing antibodies that confer humoral immunity, B cells can also affect these responses by producing cytokines. How B cells participate in the clearance of pathogenic infections *via* functions other than the production of pathogen-specific antibodies is still largely unknown. Marginal zone (MZ) B cells can quickly respond to bacterial invasion by providing the initial round of antibodies. After a bloodborne bacterial infection, neutrophils promptly migrate to the MZ. However, the mechanisms regulating neutrophil accumulation in the MZ during the initial phase of infection also remain obscure. Here, we found that MZ B cell-deficient mice are more susceptible to systemic *Staphylococcus aureus* (*S. aureus*) infection compared with wildtype mice. The expression levels of interleukin (IL)-6 and CXCL1/CXCL2 in MZ B cells increased significantly in mice at 3–4 h after infection with *S. aureus*, then decreased at 24 h post-infection. After systemic *S. aureus* infection, splenic neutrophils express increased CXCR2 levels. Our results from confocal microscopy imaging of thick-section staining demonstrate that neutrophils in wildtype mice form cell clusters and are in close contact with MZ B cells at 3 h post-infection. This neutrophil cluster formation shortly after infection was diminished in both MZ B cell-deficient mice and IL-6-deficient mice. Blocking the action of CXCL1/CXCL2 by injecting anti-CXCL1 and anti-CXCL2 antibodies 1 h before *S. aureus* infection significantly suppressed the recruitment of neutrophils to the MZ at 3 h post-infection. Compared with peptidoglycan stimulation alone, peptidoglycan stimulation with neutrophil co-culture further enhanced MZ B-cell activation and differentiation. Using a Förster resonance energy transfer by fluorescence lifetime imaging (FLIM-FRET) analysis, we observed evidence of a direct interaction between neutrophils and MZ B cells after peptidoglycan stimulation. Furthermore, neutrophil depletion in mice resulted in a reduced production of *S. aureus*-specific immunoglobulin (Ig)M at 24 h post-infection. Together, our results demonstrate that MZ B cells regulate the rapid neutrophil swarming into the spleen during the early phase of

systemic *S. aureus* infection. Interaction with neutrophils assists MZ B cells with their differentiation into IgM-secreting cells and contributes to the clearance of systemic bacterial infections.

Keywords: marginal zone B cell, IL-6, neutrophil, *Staphylococcus aureus*, FRET

INTRODUCTION

There is a growing body of evidence demonstrating that not only are B cells capable of producing antibodies but these cells also have a regulatory role in immune responses *via* cytokine production (1). B cells develop in the bone marrow, after which immature B cells travel to the secondary lymphoid tissues to further mature into transitional B cells (2). Transcription factor recombination signal binding protein-J (RBP-J) regulates downstream gene expression activated by Notch receptors. Notch-RBP-J signaling determines the development of mature B cells in the spleen into follicular B cells or marginal zone (MZ) B cells (3). MZ B cells are located outside the marginal sinus at the interface between the white pulp and red pulp in the spleen. Compared with follicular B cells, MZ B cells are in a preactivated state and thus respond faster to foreign pathogens (4–7). They provide timely defense in the initial phase of bloodborne microbial infection by detecting pathogens through toll-like receptors and B-cell receptors (8). MZ B cells integrate the roles of sensing pathogens and effector cells during T cell-independent immune responses, then promptly differentiate into plasma cells that secrete protective antibodies. MZ B cells can also participate in T cell-dependent immune responses by capturing bloodborne pathogens and transferring them to the follicular area of the spleen. These cells can rapidly produce IgM antibodies following appropriate stimulation and subsequently differentiate into short-lived plasma cells that aid in early immune responses, thus filling the protective gap between the innate and adaptive immune responses (9–11).

Interleukin (IL)-6 is the main inflammatory cytokine released by B cells during the initial stage of infection (12, 13). Studies have shown that IL-6 has both proinflammatory and anti-inflammatory properties. IL-6 binds to the membrane-bound receptor IL-6R, then forms a signaling complex *via* a glycoprotein 130 (gp130) homodimer to stimulate intracellular signaling pathways (14–16). This critical regulator of innate immunity promotes the transition of leukocyte recruitment during acute inflammation from neutrophils to monocytes *via* inducing the expression of chemokines, such as MCP-1 and CXCL8, in endothelial cells (17). IL-6 has also been shown to play an anti-inflammatory role through suppressing neutrophil recruitment during acute inflammatory responses (18). Whether IL-6 released by B cells participates in the regulation of neutrophil recruitment into the MZ during the early phase of bloodborne pathogen infection remains to be determined.

Neutrophils are generally short-lived, with a circulation half-life of 6–8 h (19). They form the main innate immune cell population that can quickly eliminate pathogenic invasion. Once neutrophils are recruited to the site of infection through the

leukocyte adhesion cascade, these cells may function for 1–2 days in the tissues before undergoing apoptosis (20, 21). However, there are many factors capable of prolonging neutrophil survival during inflammatory responses, such as granulocyte-macrophage colony-stimulating factor, granulocyte colony-stimulating factor (G-CSF), tumor necrosis factor- α (TNF α), interferon γ , IL-6, and bacterial or fungal products (22, 23). Neutrophils show different phenotypes and functional responses after being primed by microbes (24). Several studies have indicated that certain neutrophils colonize in the red pulp region of the spleen in a noninflammatory state. When infection occurs, they quickly migrate to the infection site, together with circulating neutrophils, and release active molecules to control microbial infection. These splenic neutrophils provide critical help by releasing cytokines to promote antibody production by MZ B cells and immunoglobulin class switching (25–27). The infiltration of neutrophils into inflamed and infected tissues is initiated by a small number of neutrophils. They respond to the initial danger signals by secreting proinflammatory mediators and forming small clusters. Within a few minutes, the accumulation of a large number of neutrophils and the formation of neutrophil clusters then promote their coordinated effector functions in subsequent innate immune responses (28).

Neutrophils can interact with other cell types, including dendritic cells, macrophages, B cells, and T cells, *via* various receptors, such as chemoattractant receptors, fragment crystallizable (Fc)-receptors, cytokine receptors, Toll-like receptors, and C-type lectin receptors (29–31). Despite their short lifespan, neutrophils play a vital role in host defense against bacterial infection and regulate adaptive immunity (32). However, the details of the interaction between MZ B cells and neutrophils during the response to bloodborne microorganism infection remain largely unknown. *Staphylococcus aureus* is a Gram-positive human pathogen that is a cause of serious infections within communities and hospitals. Systemic *S. aureus* infections can cause severe disease manifestations, such as sepsis (33). Here, we investigate the regulatory loop between MZ B cells and neutrophils during acute systemic infection with *S. aureus*.

MATERIALS AND METHODS

Mice

All mice used in this study had a C57BL/6 genetic background. Wildtype (WT) C57BL/6 mice were purchased from BioLASCO and National Laboratory Animal Center in Taiwan (Taipei, Taiwan). The RBP-J^{fl/fl} \times CD19-Cre⁺ conditional knockout (RBP-J CKO) mice were kindly provided by Dr. Tasuku Honjo (Institute for Advanced Study, Kyoto University, Japan). The LysM-eGFP mice expressing enhanced green fluorescent protein (eGFP) in granulocytes were provided by Dr. Ellen Robey (UC

Berkeley, US) (34). The IL-6 knockout (IL-6 KO) mice were purchased from The Jackson Laboratory. All mice were bred and kept under specific pathogen-free conditions in Academia Sinica Animal Care Facility before infection with *S. aureus* in P2/P3 animal facility. Animal experimental procedures and the use of the animals were approved by the Institutional Animal Care and Use Committee (IACUC) of Academia Sinica.

Flow Cytometry Analysis

Single-cell suspensions of splenocytes were stained with the following antibodies: anti-CD138-Brilliant Violet 421 monoclonal antibody (mAb; clone 281-2, Biolegend), anti-Ly6G-FITC mAb (clone HKL4, Biolegend), anti-CD21/35-APC mAb (clone 7E9, Biolegend), anti-CD23-PEcy7 mAb (clone B3B4, Biolegend), anti-B220-APCcy7 mAb (clone RA3-6B2, Biolegend), anti-Ly6G-FITC mAb (clone 1A8, Biolegend), anti-CD11b-PE mAb (clone M1/70, Biolegend), anti-CD69 mAb (clone HL2F3, BD Biosciences), and anti-CD86 mAb (clone GL1, BD Biosciences). The applied staining methods adhered to the recommendations in “Guidelines for the use of flow cytometry and cell sorting in immunological studies” (35). Cells were fixed and permeabilized using Foxp3/Transcription Factor Fixation/Permeabilization Kit (Invitrogen; ThermoFisher REF 00-5523-00), and then stained with anti-IL-6 mAb (clone MP5-20F3, Biolegend) with anti-IL-6 APC mAb (clone MP5-20F3, Biolegend), anti-CD21/35-FITC mAb (clone 7E9, Biolegend), and anti-CD23-Brilliant Violet 421 mAb (clone B3B4, Biolegend) for detecting intracellular IL-6. Neutrophils ($\text{Ly6G}^{\text{hi}}\text{CD11b}^{\text{hi}}$), MZ B cells ($\text{B220}^+\text{CD21}^{\text{hi}}\text{CD23}^{\text{lo}}$), and plasma cells (CD138^+ or $\text{CD138}^+\text{Ly6C}^+$) were analyzed by using a BD FACSCanto II flow cytometer. In the co-culture experiments, mouse spleen MZ B cells ($\text{B220}^+\text{CD21}^{\text{hi}}\text{CD23}^{\text{lo}}$) were sorted by a BD FACS Aria sorter. Flow cytometric data were analyzed by BD FlowJo software.

S. aureus Infection and Preparation of *S. aureus* Lysates

Eight- to ten-week-old mice were intravenously injected with $2.5\text{--}5 \times 10^6$ colony-forming units (CFU) of *S. aureus* (regular strain ATCC25923), after which the mouse survival and bodyweight changes were monitored daily for up to 10 days. In some experiments, mice were intraperitoneally administered 400 μg of anti-Ly6G antibody (clone 1A8, BioXCell) to deplete neutrophils or 400 μg of isotype control antibody (clone 2A3, BioXCell) as a control at 24 h before *S. aureus* infection. Four hours later, MZ B cells were isolated by using a FACS Aria flow cytometer (BD) to perform the subsequent analysis. *S. aureus* lysates were prepared in the B-PER Reagent (Thermo Fisher Scientific) in accordance with the manufacturer’s protocol. Briefly, *S. aureus* was grown overnight in trypticase soy broth, killed by treatment with 3% formalin for 30 min, washed twice with phosphate-buffered saline (PBS), and stored at -80°C before processing. Cell pellets were suspended in 4 ml of B-PER Bacterial Protein Extraction Reagent per gram of wet mass. DNase I (300 $\mu\text{g}/\text{ml}$, BD) was added into a solution containing an EDTA-free protease inhibitor cocktail (Roche) and combined

with the bacterial mixture, which was then incubated for 15 min at room temperature on a shaking platform. Bacterial mixtures were subsequently sonicated on ice 10 times for 40 s each, with a 40-s interval between each sonication, by using a Q700 sonicator (QSonica). The resulting crude extracts were centrifuged at $10,000 \times g$ for 20 min at 4°C , followed by the removal of cell debris.

Measurement of *S. aureus*-Specific IgM by Enzyme-Linked Immunosorbent Assay (ELISA)

Mice were intravenously injected with *S. aureus* (2.5×10^6 CFU) or left untreated, then sacrificed at the indicated times. The splenic tissue fluids in 1 ml of RPMI medium were harvested by collecting the supernatants resulting from centrifugation, and they were stored at -80°C before processing. A 96-well ELISA plate was precoated overnight with *S. aureus* lysate (70 $\mu\text{g}/\text{well}$), and the splenic tissue fluids were then subjected to ELISA analysis, performed in accordance with the manufacturer’s instructions, to determine the post-infection levels of *S. aureus*-specific IgM in the spleen. The absorbance was detected at 450 nm by a microplate reader (SpectraMax M2).

Neutrophil Isolation

Splenocytes harvested from mice infected with *S. aureus* (2.5×10^6 CFU) were overlaid on four-layer Percoll gradients (45%–55%–62%–81%) (GE Healthcare). Neutrophils were collected, as previously described, from the interface of the 62%–81% layers after centrifugation at $3,000 \times g$ for 30 min without the use of a brake and were washed with Hank’s Balanced Salt Solution (HBSS) without Ca^{2+} and Mg^{2+} (36, 37). A highly pure neutrophil population (90%–95% $\text{Ly6G}^{\text{hi}}\text{CD11b}^{\text{hi}}$), as assessed by flow cytometry, was isolated by using negative selection beads (Stemcell Technology) in accordance with the manufacturer’s instructions.

Microarray Analysis

FACS-sorted MZ B cells ($\text{B220}^+\text{CD21}^{\text{hi}}\text{CD23}^{\text{lo}}$) and neutrophils isolated by negative selection beads (Stemcell Technology) were purified from mice before and 4 h after *S. aureus* (2.5×10^6 CFU) infection. RNA was extracted by using the RNeasy Mini Kit (Qiagen, Valencia, CA, USA) in accordance with the manufacturer’s instructions. The isolated RNA (100 ng) combined from MZ B cells or neutrophils from multiple mice was subjected to a cDNA microarray analysis using a ClarionTM D Array, mouse (Affymetrix) in accordance with the manufacturer’s instructions. The array data were acquired using a GeneChip Scanner 3000 (Affymetrix) and analyzed by GeneSpring. The raw data were deposited in the Gene Expression Omnibus (GEO) database under the accession number GSE157176. Differentially expressed genes in MZ B cells or neutrophils, with an upregulation or downregulation of ≥ 1.5 -fold from 0 h to 4 h post-infection are shown.

Real-Time PCR Analysis

An RNeasy Plus Mini Kit (Qiagen) was used to extract the total RNA from sorted MZ B cells or neutrophils isolated by negative

selection beads (Stemcell Technology) taken from mice at 0, 4, or 24 h post-infection with *S. aureus*, and cDNA was generated from the resulting RNA by using a High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems) in accordance with the manufacturer's instructions. The resulting cDNA (10 ng) and gene-specific primer sets were used with the QuantStudio 5 Real-Time PCR System (Applied Biosystems) to perform a qPCR analysis. The primer pairs for SYBR green detection are listed below:

Cxcl1: 5'-GCAGACCATGGCTGGGATT-3' and 5'-TGTCAGAACCCAGCGTTCAC-3',
Cxcl2: 5'-ACTGCGCCAGACAGAAGTC-3' and 5'-CAGTTAGCCTTGCCCTTTGTTTCAG-3',
Cxcr1: 5'-CCATTCCGTTCTGGTACAGTCTG-3' and 5'-GTAGCAGACCAGCATAGTGAGC-3',
Cxcr2: 5'-CACTATTCTGCCAGATGCTGTCC-3' and 5'-ACAA GGCTCAGCAGAGTCACCA-3',
Il-6: 5'-TTCCATCCAGTTGCCTTCTTGG-3' and 5'-TTCTCATTTCCACGATTTCCAG-3',
Il-10: 5'-GCTCTTACTGACTGGCATGAGGAT-3' and 5'-GCTGGTCCTTTGTTTGAAAGAAAG-3', *Tnf*: 5'-GACCC TCACACTCAGATCATCTTCT-3' and 5'-CCTCCA CTTGGTGGTTTGCT-3'

Relative levels of mRNA were normalized to Actin expression in each sample. *Actin* are 5'-CATTGCTGACAGGATGCAGAAGG-3' and 5'-TGCTGGAAGGTGGACAGTGAGG-3'.

Cytokine Measurement

Uninfected mice or mice infected with *S. aureus* (2.5×10^6 CFU) were sacrificed at the indicated times. The splenic tissue fluids were then collected and used in an ELISA analysis to detect the levels of mouse IL-6 (Thermo Fisher Scientific). The absorbance at 450 nm was detected by using a microplate reader (SpectraMax M2). In the *in vitro* experiments, MZ B cells (1×10^6 cells) were sorted by the BD FACSAria system, seeded in 96-well plates precoated with 3% agarose gel in culture medium, then stimulated with 2 μ g/ml peptidoglycan (PGN; InvivoGen) for 24 h. In co-culture experiments, neutrophils (1×10^6 cells/well) were seeded in 96-well plates precoated with 3% agarose, after which the sorted MZ B cells (1×10^6 cells/well) were added, and the resulting cultures were incubated for 24 h with or without PGN (2 μ g/ml) or heat-killed *S. aureus* (MOI=10) stimulation. The preparation of heat-killed *S. aureus* was performed by incubating bacteria at 80°C for 30 min. The levels of proinflammatory cytokines in the culture supernatants were measured by using Cytometric Bead Array (CBA) Kits (BD Biosciences).

Confocal Microscopy Analysis of Thick Tissue Sections

In immunostaining experiments, mice were intravenously injected with *S. aureus* (2.5×10^6 CFU) and then sacrificed at the indicated times. In some experiments, mice were intravenously injected with 50 μ g each of anti-CXCL1- and

CXCL2-neutralizing antibodies (MAB453 and MAB452, R&D Systems) or equal amounts of IgG isotype control antibody (R&D Systems) 1 h before *S. aureus* infection. The spleens were harvested, fixed overnight in freshly prepared 4% paraformaldehyde in PBS, and washed three times for 10 min/wash with PBS. The spleens were then embedded in 4% agarose before being cut into 200- μ m sections by using a vibrating microtome (Vibratome) (38, 39). The thick spleen sections were treated with freshly prepared sodium borohydride in PBS (1 mg/ml) three times for 10 min/wash to help reduce the background staining (40), and finally washed with PBS. The sections were then transferred into a permeabilization solution containing 1% Triton X-100 and 2% Tween 20 and incubated overnight at 4°C. Nonspecific binding was reduced by incubating the sections overnight in a blocking solution consisting of 10% normal goat serum in PBS at 4°C. The sections were washed three times with PBS for 10 min/wash at room temperature, then incubated for 2 days at 4°C with the following antibodies: anti-CD45R B220 (1:50 dilution, clone RA3-6B2, Violet Fluor 450, Merck), anti-mouse CD1d-PE (1:50 dilution, clone 1B1, Biolegend), and anti-mouse Ly6G-Alexa 647 (1:50 dilution, clone 1A8, Biolegend) antibodies. The sections were finally washed overnight with PBS in a rotating platform at 4°C, then mounted in Fluormount G (Southern Biotechnology Associates). The images were acquired by the Leica SP8 confocal microscope. Three spleens per experimental group at each timepoint were analyzed.

Förster Resonance Energy Transfer by Fluorescence Lifetime Imaging (FLIM-FRET) Analysis

The splenic neutrophils isolated from LysM-eGFP mice using negative selection beads (Stemcell Technology) were seeded in precoated dishes containing 3% agarose for 1 h, then co-cultured for 3 h at a 1:1 ratio with MZ B cells that had been sorted using an MZ and FO B Cell Isolation Kit (Miltenyi Biotec) under stimulation with PGN (2 μ g/ml). The cells were then harvested and fixed in 4% paraformaldehyde, washed three times with PBS for 10 min/wash, blocked with 1% normal goat serum, and labeled with anti-CD19 primary antibody (1:100 dilution, Abcam) followed by Alexa Fluor 546-labeled secondary antibody (1:100 dilution, Biolegend) to detect MZ B cells. The FRET pairs are eGFP (serving as the donor fluorophore) and Alexa Fluor 546 (serving as the acceptor fluorophore). The Leica SP8 FALCON system was used to perform FLIM-FRET detection in the 488 nm argon laser channel for measuring the change in the fluorescence lifetime of the donor molecule.

Statistical Analysis

The statistical analyses in this study were primarily conducted by using GraphPad Prism 8 software (GraphPad Software, San Diego USA); *p*-values of <0.05 were considered statistically significant. Presented data are shown as the mean \pm standard error of the mean (SEM). Unpaired Student's *t*-tests were used for comparing the differences between two groups. Comparisons between multiple groups were performed using a one-way

ANOVA, followed by Dunnett's honestly significant difference *post hoc* test. The differences in mouse survival curves between the two groups were analyzed by a log-rank test.

RESULTS

Mice Lacking MZ B Cells Are More Susceptible to *S. aureus* Infection

Previous reports indicate that Notch-RBP-J signaling regulates the lineage commitment of MZ B cells but does not affect B1 cells or other lineage cells. The absence of RBP-J leads to the loss of MZ B cells but does not cause defects in B-cell homeostasis, differentiation, or activation (3). Here, we used RBP-J CKO mice that lacked MZ B cells (**Figure 1A**) to study the regulatory role of MZ B cells during infection with the common pathogenic bacteria *S. aureus*. We found that the survival rate after systemic infection with *S. aureus* of RBP-J CKO mice was significantly lower than that of littermate WT mice (**Figure 1B**). Similarly, the bodyweight loss after systemic *S. aureus* infection was more severe in RBP-J CKO mice as compared with that in WT mice (**Figure 1C**). These results indicate that mice lacking MZ B cells are more susceptible to systemic bacterial infections. We noticed that the differences in bodyweight loss between WT and RBP-J CKO mice occurred within 1–2 days after infection, so we wondered whether certain innate immune responses may work together with MZ B cells to clear the bloodborne bacterial infection.

We also checked the amounts of *S. aureus*-specific antibody after systemic infection in WT and RBP-J CKO mice. IgM is known to provide the first line of humoral immunity defense against pathogens and plays an important role during microbial

infection (41). Indeed, we found that *S. aureus*-specific IgM production in the spleen increased significantly at 48 h after systemic infection (**Figure 1D**), which is linked with the increases in the percentage of plasma cells in the spleen at 24 h post-infection in WT mice (**Figure 1E**). In contrast, the amounts of *S. aureus*-specific IgM and the frequency of plasma cells produced by RBP-J CKO mice at 12, 24, and 48 h after systemic *S. aureus* infection were significantly lower (**Figures 1D, E**). Although the frequency of plasma cells decreased at 48 h post-infection in WT mice, these mice still had significantly higher percentages of splenic plasma cells compared with RBP-J CKO mice (**Figure 1E** and **Supplementary Figure 1A**). We also compared the frequency of plasma cells by using another more specific marker, CD138⁺Ly6C⁺ (42), at 24 h after *S. aureus* infection. Both approaches showed that the frequency of plasma cells in WT mice was significantly higher than that in RBP-J CKO mice at 24 h after infection (**Figure 1F** and **Supplementary Figure 1B**). These results suggest that MZ B cells contribute to the production of *S. aureus*-specific IgM in the early phase of infection and that the reduced levels of *S. aureus*-specific antibody are associated with the reduced survival of RBP-J CKO mice.

Cytokine IL-6 and Chemokine CXCL2 Were Upregulated in MZ B Cells After *S. aureus* Infection

B cells can produce a variety of cytokines/chemokines, such as IL-2, IL-4, TNF α , IL-6, IL-10, CXCL1, CXCL10, and CXCL13, in response to stimuli (43, 44). We hypothesized that MZ B cells may produce some cytokines/chemokines to regulate the recruitment of innate immune cells in systemic pathogen clearance, and thus sought to identify changes in the expression of cytokines/chemokines

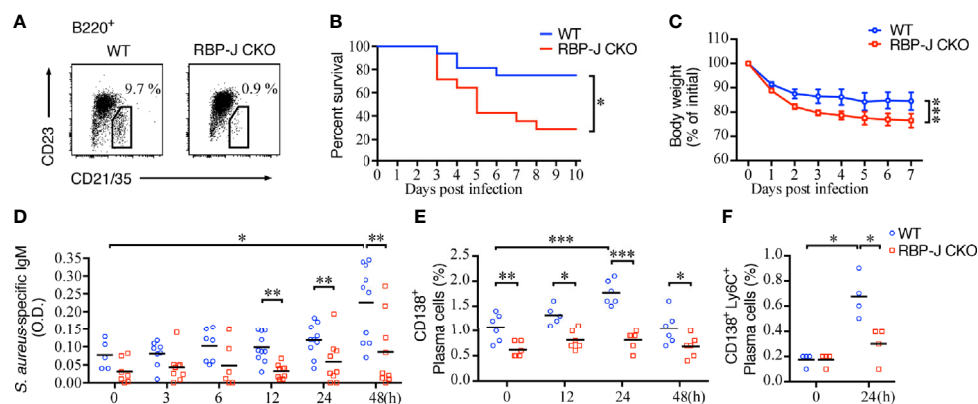


FIGURE 1 | Mortality and *S. aureus*-specific IgM production in mice lacking MZ B cells. **(A)** Splenocytes of RBP-J^{fl/fl} × CD19Cre⁺ (RBP-J CKO) or WT mice were stained with antibodies against B220, CD21/35, and CD23. MZ B cells were defined as B220⁺CD21/35^{hi}CD23^{lo} by flow cytometry. The numbers denote the percentage of MZ B cells in the B220 gate. **(B)** The survival rates of RBP-J CKO and WT mice were recorded daily after the mice were infected with 5×10^6 CFU of *S. aureus*. The survival rate differences were analyzed by a log-rank (Mantel-Cox) test. **(C)** The bodyweight loss of mice after they were infected with 2.5×10^6 CFU of *S. aureus*. The statistical analysis was conducted by performing an unpaired *t*-test ($n = 7$). **(D)** ELISA analysis using splenic tissue homogenates to measure the levels of *S. aureus*-specific IgM in RBP-J CKO and WT mice at various days after infection with 2.5×10^6 CFU of *S. aureus*. The statistical analysis was conducted by performing an unpaired *t*-test ($n = 5$ –10). **(E)** Differences in the percentages of CD138⁺ plasma cells in RBP-J CKO and WT mice at the indicated timepoints after *S. aureus* infection were compared. **(F)** Differences in the percentage of CD138⁺Ly6C⁺ plasma cells in RBP-J CKO and WT mice at 24 h after *S. aureus* infection. The statistical analysis was conducted by performing an unpaired *t*-test ($n = 4$). Data are presented as the mean \pm SEM. * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$.

in MZ B cells after systemic *S. aureus* infection. Towards this end, sorted MZ B cells from uninfected mice or mice infected 4 h previously with *S. aureus* were subjected to cDNA microarray analyses. Eleven cytokine genes and thirteen chemokine genes in MZ B cells were found to be differentially upregulated or downregulated by at least 1.5 folds after infection (**Figure 2A** and **Supplementary Data Sheet 1**). Our RT-qPCR results confirmed that the *Cxcl1* and *Cxcl2* mRNA levels were increased at 4 h post-infection but were decreased at 24 h post-infection (**Figure 2B**). In addition,

Il6, *Il10*, and *Tnfa* mRNA levels were also increased at 4 h post-infection, then reduced at 24 h post-systemic *S. aureus* infection (**Figure 2C**).

To validate whether the transcriptionally upregulated cytokines/chemokines were produced by MZ B cells after bacterial infection, we performed *in vitro* culture experiments using MZ B cells stimulated for 24 h with *S. aureus* peptidoglycan (PGN), which is a major component of the bacterial cell wall that contributes to the maintenance of mechanical strength and cell integrity (45).

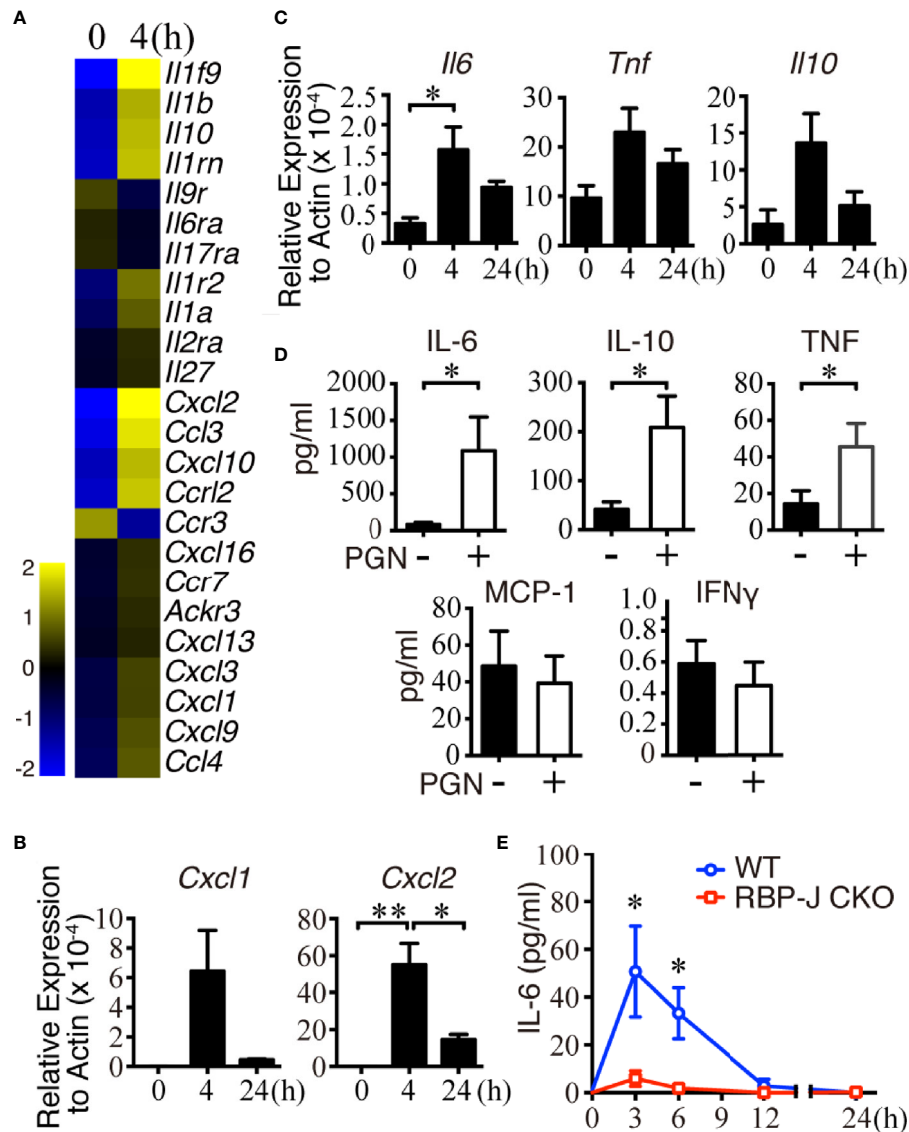


FIGURE 2 | Changes of cytokine and chemokine expression in MZ B cells in the early stage of *S. aureus* infection. **(A)** Changes in the expression of chemokine- and cytokine-related genes in MZ B cells isolated from mice after infection with *S. aureus* (2.5×10^6 CFU) by iv injection, as revealed by a cDNA microarray analysis. Heatmap showing the expression of several chemokine- and cytokine-related genes in MZ B cells. **(B)** RT-qPCR analysis of the *Cxcl1/Cxcl2* mRNA levels in MZ B cells isolated 0, 4, or 24 h after *S. aureus* infection. Results were normalized to the actin mRNA levels. **(C)** RT-qPCR analysis of the *Il6*, *Il10*, and *Tnfa* mRNA levels in MZ B cells isolated 0, 4, or 24 h after *S. aureus* infection. Results were normalized to the actin mRNA levels. **(D)** Cytokines produced by purified MZ B cells treated with or without PGN (2 μ g/ml) for 24 h were determined by a Cytometric Bead Array. **(E)** ELISA showing the levels of IL-6 in the splenic tissue homogenates of RBP-J CKO and WT mice at 0, 3, 6, 12, and 24 h after *S. aureus* infection (2.5×10^6 CFU). Statistical analysis was conducted by performing an unpaired *t*-test (**C**, **E**) and one-way ANOVA (**B**, **D**). Data are presented as the mean \pm SEM ($n = 3$ in B, $n = 6-7$ in C, $n = 3-6$ in D, and $n = 6$ in E). * $p < 0.05$ and ** $p < 0.01$.

Cytometric Bead Array analysis showed that the MZ B cells released a large amount of IL-6, together with low amounts of TNF α and IL-10 after PGN stimulation (**Figure 2D**). Next, to test the contribution of MZ B cells to the elevated IL-6 production following systemic *S. aureus* infection, we collected tissue fluid from WT and RBP-J CKO mouse spleens at various timepoints. Notably, the levels of IL-6 in WT mouse spleens were significantly higher than those in the spleens of RBP-J CKO mice at 3 and 6 h post-infection (**Figure 2E**). Consistent with this finding, we found a significantly elevated release of IL-6 by MZ B cells at 3 h after treatment with heat-killed *S. aureus* in culture (**Supplementary Figure 2A**). Moreover, intracellular IL-6 staining by flow cytometric analysis demonstrated that the frequency of IL-6-producing MZ B cells increased significantly after systemic *S. aureus* infection *in vivo* (**Supplementary Figures 2B, C**). Together, these results suggest that MZ B cells are a source of IL-6 in response to systemic *S. aureus* infection.

Neutrophils Express Increased Levels of Multiple Chemokine Receptors After *S. aureus* Infection

Because IL-6 regulates systemic inflammatory responses partially by assisting with the infiltration of splenic leukocytes (46, 47), we

next examined whether MZ B cells affect the recruitment of circulating neutrophils after systemic *S. aureus* infection. We first examined whether splenic neutrophils changed the expression of cytokine/chemokine genes after systemic *S. aureus* infection. Neutrophils isolated from untreated mice or mice infected with *S. aureus* 4 h previously were subjected to a cDNA microarray analysis. The results reveal that 24 chemokine genes were upregulated or downregulated by at least 1.5 folds in splenic neutrophils after infection (**Figure 3A** and **Supplementary Data Sheet 1**). CXCL1 and CXCL2 are members of the CXC chemokine family, and they bind with the CXC chemokine receptors CXCR1 and/or CXCR2 (48, 49). Our RT-qPCR results confirm that the *Cxcr1* and *Cxcr2* mRNA levels were significantly increased within 24 h after *S. aureus* infection (**Figure 3B**). Together, these data imply that the upregulated *Cxcr1/Cxcr2* in neutrophils may be a response to the enhanced expression of *Cxcl1/Cxcl2* in MZ B cells during the early recruitment phase after systemic *S. aureus* infection. In support of this possibility, we observed a significant increase in the percentage of splenic neutrophils soon after *S. aureus* infection in WT mice (**Figure 3C**). Notably, compared with *S. aureus*-infected WT mice, similarly infected RBP-J CKO mice had lower percentages of splenic neutrophils at 3, 6, and 24 h post-infection

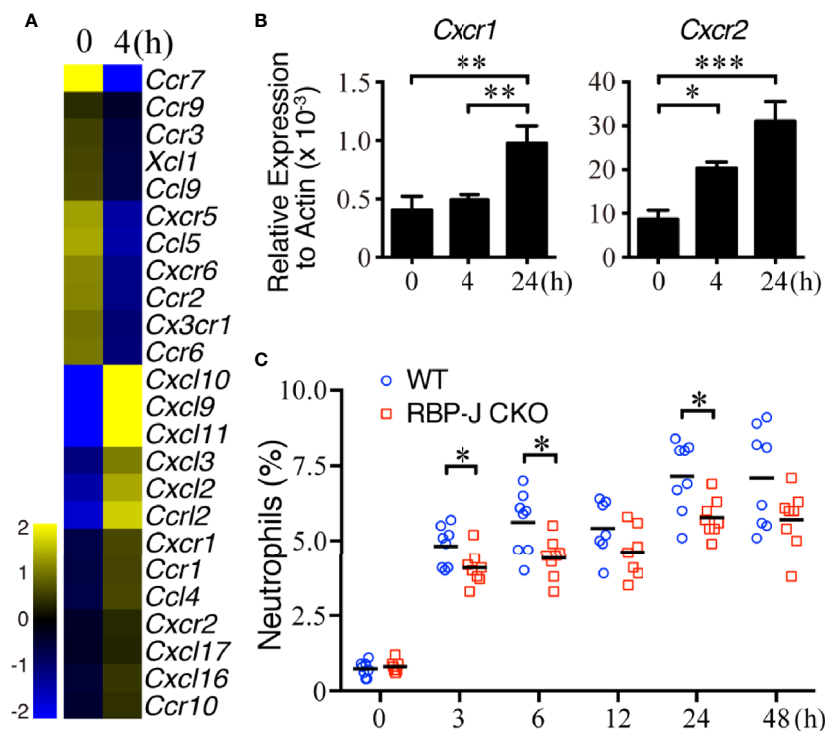


FIGURE 3 | *Cxcr1/Cxcr2* levels in splenic neutrophils after systemic *S. aureus* infection. **(A)** Heatmap from a cDNA microarray analysis showing the changes in chemokine-related genes between splenic neutrophils isolated before and 4 h after infection with *S. aureus* (2.5×10^6 CFU) by iv injection. The expression of chemokine-related genes with fold changes of >1.5 is shown. **(B)** RT-qPCR analysis showing the expression of *Cxcr1/Cxcr2* mRNA. Splenic neutrophils were isolated at 0, 4, or 24 h after *S. aureus* infection. Results were normalized to the actin mRNA levels. Three independent experiments were performed. Data are presented as the mean \pm SEM ($n = 6-8$ mice per group). One-way ANOVA was used for comparison. **(C)** Flow cytometric analysis showing the frequency of splenic neutrophils (Ly6G^{hi}CD11b^{hi}) in WT and RBP-J CKO mice at various timepoints after *S. aureus* (2.5×10^6 CFU) infection. Data are presented as the mean \pm SEM ($n = 7-8$). An unpaired two-tailed *t*-test was performed. * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$.

(Figure 3C and Supplementary Figure 3), suggesting that CXCL1/CXCL2 released by MZ B cells may recruit neutrophils in the early phase of systemic *S. aureus* infection.

IL-6 and CXCL1/CXCL2 Are Important for Neutrophil Swarming to the MZ Area in Response to Systemic *S. aureus* Infection

We next sought to examine the influence of cytokines and chemokines, on the dynamics of neutrophil infiltration into the spleen after *S. aureus* infection. We first employed confocal imaging using thick sections to define the location of the MZ B-cell area, distinguished by CD1d⁺ in staining; it was located at the

junction of the red pulp and white pulp of the spleen, separated from the follicle area (Supplementary Figure 4A). The number of neutrophils, as defined by Ly6G⁺ staining, in the red pulp was small in the uninfected mice (Figure 4A). Notably, we observed that neutrophils swarmed and packed closely together with MZ B cells in the spleens of WT mice at 3 h post-infection. This enhanced amount of neutrophil accumulation was reduced in RBP-J CKO mice, indicating that MZ B cells are important for the early recruitment of neutrophils during systemic *S. aureus* infection (Figure 4A).

Because the number of neutrophils at the outer ring of the MZ declined at 12 and 24 h after systemic *S. aureus* infection

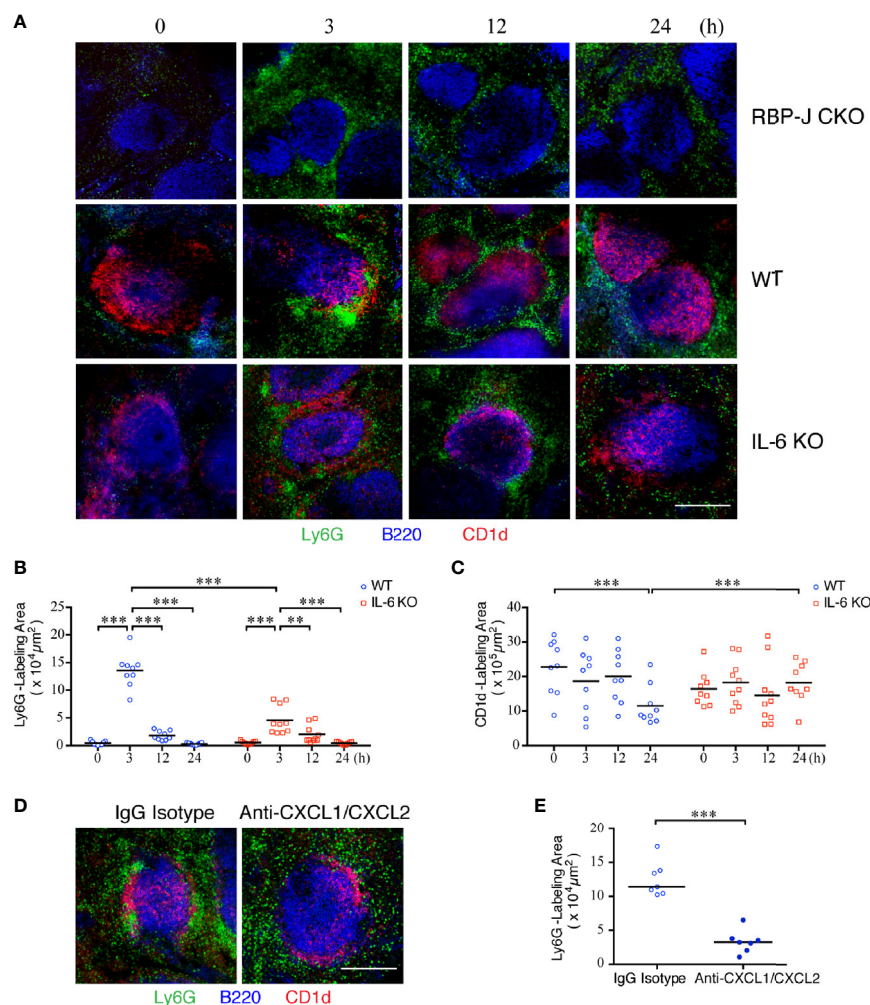


FIGURE 4 | Kinetics of neutrophil swarming and regression in the MZ zone after *S. aureus* infection. **(A)** Confocal images of immunofluorescent staining of thick spleen sections showing the distribution of MZ B cells (red) and neutrophils (green) at 0, 3, 12, or 24 h after systemic *S. aureus* (2.5×10^6 CFU) infection in RBP-J CKO, WT, or IL-6 KO mice. Scale bar = 200 μ m. **(B, C)** MetaMorph software was used to calculate the area fluorescently labeled area by Ly6G per CD1d positive area **(B)** or CD1d **(C)** inside the white pulp of WT or IL-6 KO mice from the confocal microscopy images in **(A)**. **(D)** Confocal images of immunofluorescence staining of spleen sections at 3 h after systemic *S. aureus* (2.5×10^6 CFU) infection showing the numbers of neutrophils (green) in WT mice intravenously injected with anti-CXCL1/CXCL2 or IgG control antibodies one h prior to infection. Scale bar = 200 μ m. **(E)** MetaMorph software was used to calculate the Ly6G fluorescently labeled area of each CD1d-positive area in the white pulp of WT mice from the confocal microscopy images in **(D)**. Results were analyzed by performing an unpaired *t*-test. Data are presented as the mean \pm SEM ($n = 9-10$). ** $p < 0.01$, and *** $p < 0.001$.

(**Figure 4A**), when we observed that the IL-6 levels also reduced after *S. aureus* infection (**Figure 2E**), we speculated that the formation of a large number of neutrophil clusters may occur in response to the elevated expression of IL-6 in MZ B cells. In support of this idea, the number of neutrophils in IL-6 knockout (IL-6 KO) mice at 3 h post-infection appears to be lower than that in WT mice (**Figure 4A**). A quantitative analysis performed by using MetaMorph software further revealed that the total area of neutrophil accumulation near the MZ area was significantly higher at 3 h post-infection in WT mice as compared with those at later timepoints (**Figure 4B** and **Supplementary Figure 4B**). Notably, the total area of neutrophil clustering near the MZ area was smaller in IL-6 KO mice compared with that in WT mice (**Figure 4B** and **Supplementary Figure 4C**), indicating that IL-6 promotes the initial neutrophil swarming to MZ areas during systemic *S. aureus* infection. Furthermore, the CD1d-labeled area in the spleens of WT mice was significantly smaller at 24 h post-infection as compared with that in uninfected mice (**Figure 4C**). However, in IL-6 KO mice, the size of the CD1d-labeled area was not significantly different between uninfected and *S. aureus*-infected mice, suggesting that IL-6 may affect the activation and differentiation of MZ B cells. To test whether chemotactic signals also contribute to neutrophil recruitment during systemic *S. aureus* infection, we injected anti-CXCL1 and anti-CXCL2 antibodies to neutralize the effect of CXCL1/CXCL2 one h before systemic *S. aureus* infection. Confocal imaging with thick tissue sections showed that, following depletion of the activity of CXCL1/CXCL2, neutrophils were distributed in the red pulp and much less neutrophils were present in the MZ area 3 h after *S. aureus* infection, as compared with those in infected mice injected with isotype control antibody (**Figure 4D**). A quantitative analysis performed by using MetaMorph software indicated that depletion of CXCL1/CXCL2 before infection prevents neutrophil recruitment to the MZ (**Figure 4E** and **Supplementary Figure 4D**). Together, these results indicate that IL-6 and CXCL1/CXCL2 contribute to neutrophil swarming during the early phase of systemic *S. aureus* infection.

The Neutrophil–MZ B Cell Interaction Promotes IgM Production

Because our results from the neutrophil staining in thick spleen tissue sections demonstrated a suppressed neutrophil accumulation in the MZ area of RBP-J CKO mice, we next examined the molecular consequence of an interaction between neutrophils and MZ B cells. The results from CD1d and Ly6G staining show that neutrophil clusters were in close contact with MZ B cells at 3 h after systemic *S. aureus* infection, whereas neutrophils were scattered around the outer ring of MZ B cells at 24 h post-infection (**Figure 5A**). Furthermore, confocal images of the locations of neutrophils and MZ B cells in a thick tissue section of WT spleen from a mouse infected with *S. aureus* 3 h previously showed a colocalization rate of 47% (**Supplementary Figures 5A** and **5B**). To further understand the consequence of the interaction between neutrophils and MZ B cells, we conducted co-culture experiments and examined whether an interaction between neutrophils and MZ B cells promotes antibacterial responses (**Figure 5B**). First, we performed a flow cytometry analysis to

measure the expression levels of the costimulatory molecule CD86 and the early activation molecule CD69 on MZ B cells after they had been co-cultured with neutrophils for 3 h in the presence or absence of PGN stimulation (**Figure 5C**). We found that the CD86 and CD69 expressions on MZ B cells were elevated after PGN stimulation and that CD69 elevation was further promoted in the presence of neutrophils (**Figure 5D**). However, treatment with heat-killed *S. aureus* significantly elevated the expression of CD69 and CD86 on MZ B cells, even in the absence of neutrophils (**Supplementary Figures 6A–C**). We next assessed the interaction between neutrophils and MZ B cells by using a FLIM-FRET analysis. Confocal imaging indicated that an enrichment of CD19-labeled signal clustered on the surface of MZ B cells at the neutrophil junction following PGN stimulation (**Figure 5E**, red circle). We used the average photon arrival time to represent the mean lifetime. Our results reveal that neutrophils (donor) in contact with an MZ B cell (acceptor) indeed have a shorter fluorescent lifetime compared with neutrophils not in contact with an MZ B cell. A quantitative analysis of the FRET efficiency estimates it at 73.9% (**Figure 5E**). These results suggest that there is a direct interaction between neutrophils and MZ B cells. The amount of IgM produced by activated MZ B cells after their co-culture with neutrophils was also measured by ELISA. We found that MZ B cells co-cultured with neutrophils rapidly (within a day) released significantly higher amounts of IgM after stimulation with PGN compared with similarly treated MZ B cells cultured alone (**Figure 5F**). Similarly, co-culture of neutrophils with MZ B cells significantly enhances the production of IgM after the treatment with heat-killed *S. aureus* (**Supplementary Figure 6D**). Together, these combined results provide evidence for the direct interaction of neutrophils and MZ B cells and for the enhanced differentiation of IgM-producing cells by neutrophils after *S. aureus* infection.

Neutrophil Depletion Affects MZ B-Cell Differentiation After Bacterial Infection

To confirm whether neutrophils have important roles in assisting with MZ B-cell activity during the early phase of systemic *S. aureus* infection, we next depleted neutrophils and assessed whether the MZ B-cell differentiation was affected after *S. aureus* infection (**Figure 6A**). Mice injected with anti-Ly6G antibody showed a robust reduction of neutrophils as compared with mice injected with the isotype control antibody (**Figure 6B**). ELISAs were performed on splenic tissue fluids to analyze the amount of *S. aureus*-specific IgM at 4 and 24 h post-infection. Our results show a slightly induced production of *S. aureus*-specific IgM in control mice at 24 h post-infection, whereas mice depleted of neutrophils had significantly lower levels of *S. aureus*-specific IgM at 24 h post-infection (**Figure 6C**). Thus, neutrophils play an important role in promoting the activation and differentiation of MZ B cells during acute *S. aureus* infection.

DISCUSSION

Previous studies have noted that MZ B cells not only participate in innate immune responses but also mediate T cell-dependent immunity (50). Our findings here demonstrate the role of MZ B

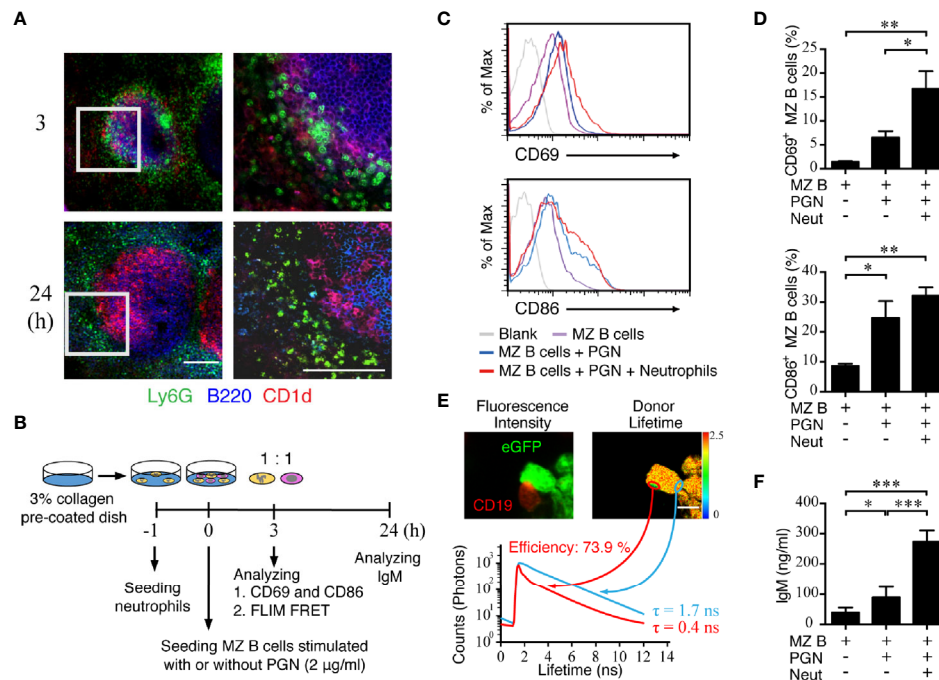


FIGURE 5 | Activation of MZ B cells and IgM production after interaction with neutrophils in *S. aureus* infection. **(A)** Confocal microscopy images showing the neutrophils and MZ B cells at 3 h or 24 h after systemic infection with *S. aureus* (2.5×10^6 CFU) in WT mice. Right panels present enlarged images from the boxed areas in the left panels. Scale bar = 100 μ m. **(B)** Schematic diagram showing the experimental design of MZ B cell and neutrophil co-culture experiments. **(C)** Flow cytometric analysis showing the levels of CD69 (upper panel) and CD86 (lower panel) expression on MZ B cells co-cultured with neutrophils at 3 h after stimulation with PGN (2 μ g/ml). **(D)** Statistical analysis of the percentages of CD69⁺ (upper panel) or CD86⁺ (lower panel) MZ B cells co-cultured with neutrophils (Neut) with or without PGN stimulation. **(E)** The interaction of neutrophils and MZ B cells detected by FLIM FRET analysis. Neutrophils were purified from LysM-eGFP mice. Sorted MZ B cells were labeled with anti-CD19 primary antibody and Alexa Fluor 546 secondary antibody. The confocal fluorescence intensity (left panel) and average donor lifetime (right panel) of neutrophils were measured. Lifetime values were pseudocolored according to the color scale. Histograms show the lifetime values of the junction area of neutrophils and MZ B cells (red histogram) as compared with neutrophils only (blue histogram), in a representative experiment. Scale bar = 5 μ m. **(F)** ELISA showing the levels of IgM in supernatants of MZ B cells co-cultured with neutrophils for 24 h with or without PGN stimulation. Results were analyzed by an unpaired *t*-test. Data are analyzed by one-way ANOVA and presented as the mean \pm SEM ($n = 4$ in D, and $n = 6$ in F). * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$.

cells in the regulation of innate immunity during systemic bacterial infection, which involves in their interaction with neutrophils. We show here that, compared with WT mice, MZ B cell-deficient mice exhibited exacerbated systemic bacterial infections with reduced survival rates, which was linked with their reduced neutrophil accumulation during the early phase of systemic *S. aureus* infection.

We here use RBP-J CKO mice to study the role of MZ B cells in systemic *S. aureus* infection. Although activation of Notch pathway has been shown to promote B cell differentiation (51), RBP-J CKO mice did not show obvious changes in Ig production in response to model antigen immunization, as compared with those produced in control mice (3). Therefore, the reduced production of IgM after systemic *S. aureus* infection in RBP-J CKO mice may not result from the effects of altering Notch signaling during plasma cell differentiation. Also, the reduced IgM production after systemic *S. aureus* infection in RBP-J CKO mice may not attribute to the changes in the population of macrophages because RBP-J CKO mice still showed the normal presence of metallophilic macrophages adjacent to the MZ in the

spleen (3, 52). We found that IL-6 is important for neutrophil swarming during systemic *S. aureus* infection and that MZ B cells may be an important cellular source of IL-6 in this context. The roles of IL-6 in B-cell immunity have been extensively studied. For example, in combination with a proliferation-inducing ligand (APRIL) or stem cell-soluble factor, IL-6 can promote the production of immunoglobulin by long-lived plasma cells in the bone marrow (53, 54). IL-6 produced by B cells also contributes to the formation of spontaneous germinal centers in autoimmunity (55). Here, we observed that IL-6 levels in the spleen were largely reduced in RBP-J CKO mice, compared with WT mice, during the early phase of systemic *S. aureus* infection. We suspect that this robust reduction in IL-6 production might at least partly result from the lack of MZ B cells. However, it has been shown that IL-6-driven signaling restrains the recruitment of neutrophils in an animal model of acute peritoneal inflammation. STAT3 activation mediated by gp130 reduces the expression of neutrophil-activating chemokines CXCL1/KC and affects neutrophil clearance (56). IL-6 produced by MZ B cells accounts for the proinflammatory

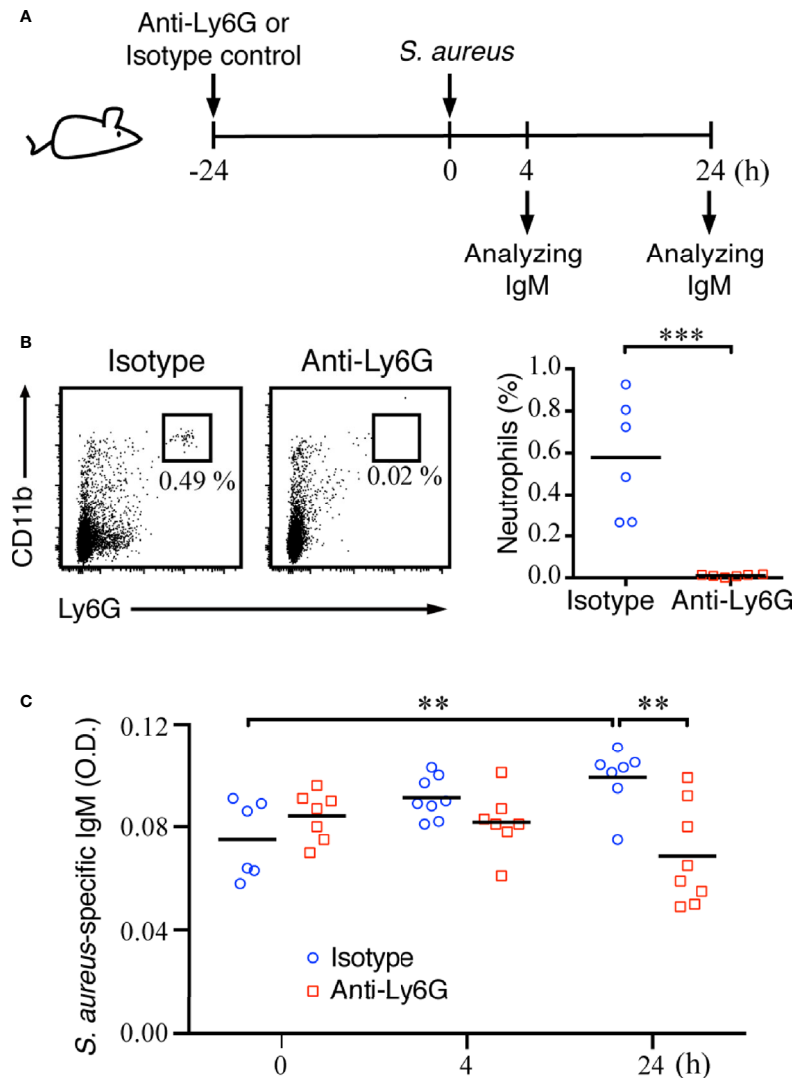


FIGURE 6 | Effect of neutrophil deficiency on the activation of MZ B cells and IgM production in *S. aureus*-infected mice. **(A)** Schematic diagram of the experimental design. **(B)** Flow cytometry analysis showing the depletion of neutrophils (Ly6G^{hi}CD11b^{hi}) in the spleens of C57BL/6 mice at 24 h after an intraperitoneal injection of anti-Ly6G antibody. **(C)** ELISA analysis showing the levels of *S. aureus*-specific IgM in the splenic tissue fluids of C57BL/6 mice injected with isotype control antibody or anti-Ly6G antibody. Results were analyzed by an unpaired Student's *t*-test. Data are presented as the mean \pm SEM ($n = 6$ in B, and $n = 6$ –8 in C). ** $p < 0.01$ and *** $p < 0.001$.

role of MZ B cells during endotoxic shock through IgM Fc receptor (Fc μ R)-coupled TLR4 signaling (13). In the present study, PGN from *S. aureus* may initiate TLR2-mediated signaling to induce IL-6 production by MZ B cells. We show that IL-6 is beneficial for the hosts during systemic *S. aureus* infection because IL-6 has a positive role in neutrophil swarming during the acute phase. It is still poorly understood how IL-6 signaling can act as a double-edged sword to regulate the innate immune responses under different conditions. Here, we speculate that following *S. aureus* infection, activated MZ B cells may release the chemokine CXCL2 to attract many neutrophils that have upregulated expression of their CXCR2 receptor, *Cxcr1/Cxcr2*. In

support of this possibility, we found a correlation between lower numbers of neutrophils surrounding the MZ area with lower levels of CXCL2 expression by MZ B cells at 24 h after systemic *S. aureus* infection. We further demonstrated that CXCL1/CXCL2 released by MZ B cells also participated in the recruitment of neutrophils after systemic *S. aureus* infection. Prior studies have noted that CXCL1/CXCL2 are the main neutrophil-attracting chemokines in group B Streptococcus (GBS)-induced neutrophil recruitment. Specifically, neutrophils can employ a positive feedback mechanism driven by high levels of CXCL2 to enhance their recruitment to the sites of infection and antibacterial activity (57). We suspect that the increased

CXCL1/CXCL2 expression by neutrophils after *S. aureus* infection may also act in an autocrine manner to attract more neutrophils and enhance antibacterial activities.

Neutrophils are a heterogeneous and plastic innate cell population. Inflammatory cytokines, including GM-CSF, or co-culture with antigen and T cells can induce the expression of MHCII on neutrophils, thereby triggering the antigen-presenting functions of neutrophils (58, 59). However, the underlying molecular mechanisms for antigen presentation crosstalk between neutrophils and MZ B cells remain unclear. Previous studies using FRET analysis reported that antibody-induced signals from Transmembrane Activator and CAML Interactor (TACI) and TLR synergistically activate MZ B cells and induce plasmablast differentiation through a rapamycin-sensitive pathway (60). For a long time, it has been reported that MZ B cells integrate signals from B-cell receptors (BCR), complement receptors (C3), and Toll-like receptors to rapidly activate antigen-specific IgM (8, 61). In the co-culture experiment, compared with PGN stimulated MZ B cells, we found that treatment with heat-killed *S. aureus* was able to effectively increase the expression of CD69 and CD86 on MZ B cells. We speculate that, in addition to activating TLR2 on MZ B cells through PGN, *S. aureus* contains other molecules to be recognized by MZ B cells. However, differentiation of MZ B cells into Ig producing cells needs the help from neutrophils. Here, we performed a FLIM-FRET analysis by using confocal microscopy, the results of which demonstrate an interaction between MZ B cells and neutrophils at 3 h after stimulation with the cell wall component of *S. aureus*. We found that the direct cell-cell communication between activated neutrophils and MZ B cells was related to CD19 expression. However, the exact molecules involved in this direct interaction between MZ B cells and neutrophils requires further study. Nevertheless, our data, along with a previous report showing that IL-6-induced STAT3 activation enhances the recruitment of neutrophils and contributes to host defense against *E. coli*-induced pneumonia, support the idea that neutrophils possess multiple functions to assist in the clearance of bacterial infection in the spleen (62). We found that mice depleted of neutrophils were more susceptible to systemic *S. aureus* infection as compared with mice treated with isotype control antibodies. The spleens of the neutrophil-depleted mice were nearly devoid of infiltrating neutrophils, and 27.5% of these mice died within 24 h of infection with *S. aureus* (**Supplementary Figure 7**). Thus, our findings are similar to the results from a previous study demonstrating that systemic TLR2 activation and bone marrow granulocyte depletion in mice exacerbated *Listeria monocytogenes* infection and led to uncontrolled bacterial propagation (63).

The production of high affinity antibodies following infection-induced germinal center reactions usually requires several days to emerge. MZ B cells fill the gap before the peak germinal center reactions by deploying innate immune defenses and rapidly producing antibodies. The present work demonstrates the requirement for IL-6 and CXCL1/CXCL2 to attract an accumulation of neutrophils. This direct interaction

between MZ B cells and neutrophils in turn assists with the maturation of MZ B cells as they differentiate into antibody-secreting cells during the early phase of bacterial infection. Thus, MZ B cells possess the regulatory functions necessary to orchestrate neutrophil swarming during the early stage of systemic bacterial infection. Our results may provide some clues on how better to control systemic *S. aureus* infection.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/**Supplementary Material**.

ETHICS STATEMENT

The animal study was reviewed and approved by Institutional Animal Care and Use Committee (IACUC) of Academia Sinica.

AUTHOR CONTRIBUTIONS

K-IL conceived and designed the study. L-WL, C-WC, M-FC, and I-YL performed the experiments and analyzed the data. L-WL and K-IL wrote the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.636818/full#supplementary-material>

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IL-4-Responsive B Cells Are Detrimental During Chronic Tuberculosis Infection in Mice

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In tuberculosis, T cell-mediated immunity is extensively studied whilst B cells received limited attention in human and mice. Of interest, *Mycobacterium tuberculosis* (*Mtb*) does increase IL-4 Receptor- α (IL4R α) expression in murine B cells. To better understand the role of IL4R α signalling in B cells, we compared wild type mice with B cell-specific IL4R α deficient mice (mb1^{cre}IL-4R α ^{-lox} mice). Chronic *Mtb* aerosol infection in mb1^{cre}IL-4R α ^{-lox} mice reduced lung and spleen bacterial burdens, compared to littermate (IL-4R α ^{-lox}) control animals. Consequently, lung pathology, inflammation and inducible nitric oxide synthase (iNOS) expression were reduced in the lungs of mb1^{cre}IL-4R α ^{-lox} mice, which was also accompanied by increased lung IgA and decreased IgG1 levels. Furthermore, intratracheal adoptive transfer of wild-type B cells into B cell-specific IL4R α deficient mice reversed the protective phenotype. Moreover, constitutively mCherry expressing *Mtb* showed decreased association with B cells from mb1^{cre}IL-4R α ^{-lox} mice *ex vivo*. In addition, supernatants from *Mtb*-exposed B cells of mb1^{cre}IL-4R α ^{-lox} mice also increased the ability of macrophages to produce nitric oxide, IL-1 β , IL-6 and TNF. Together, this demonstrates that IL-4-responsive B cells are detrimental during the chronic phase of tuberculosis in mice with perturbed antibody profiles, inflammatory cytokines and *tnf* and *stat1* levels in the lungs.

Keywords: B cells, TB, Mice (balb/c), human, IL-4RA

INTRODUCTION

B cells are well established as antibody-producing cells critical for the humoral arm of adaptive immunity against a variety of infections. Emerging results uncover more complex antibody-independent involvement of B cells in regulation and effector functions, influencing the outcome of the disease. B cells can process and present antigens, act as accessory cells and produce cytokines that prime other immune cells critical for immunity against infections (1, 2). Like T cells, studies showed that B cells are classified as “regulatory” and “effector” B cell subsets based on the cytokines they produce (3). Regulatory B cells produce TGF- β and IL-10, important in colitis (4), arthritis (5) and allergic airway inflammation (6, 7) and tuberculosis (8). Effector Be1 cells produce IFN- γ (9), IL-12, TNF during Th1 driving infections such as *Leishmania major* (10), *Toxoplasma gondii* (3). Effector Be2 (11) cells produce IL-2, IL-4, IL-13 during Th2 driving *Heligmosomoides polygyrus* (12) and *Nippostrongylus brasiliensis* (13) infections. Thus, B cell-driven cytokines drive host beneficial or detrimental response during type 1 and type 2 infections.

In tuberculosis, B cells are present in lymphoid clusters in mouse (14), non-human primate (15) and human tuberculous granulomas (14, 16, 17). B cells participate in orchestrating granuloma formation is revealed by studies of targeted depletion using either anti-CD20 antibody/rituximab (18) or B cell-deficient mice (19, 20). However; the variations during tuberculosis outcome ranges from B cells being redundant (21), delay immune responses (22) and control lung pathology (19). Moreover, studies showed the role of B cells in granulomatous inflammatory responses by controlling neutrophilia and Th17 responses (23), IL-10 regulation and consequent host protection (19, 24). However, in cynomolgus macaques, B cell depletion using rituximab showed no influence on the outcome of tuberculosis disease (25). Such global depletion approaches masked distinct B cell functions, the local effects of B cells, the contribution of B cell subsets and their secreted cytokines in shaping immune responses necessary for the control of tuberculosis. Hence, such broad approaches from these studies failed to identify a major role of B cells in tuberculosis. Apart from B cells, in patients with active pulmonary tuberculosis, IL-4 secretion from BAL cells revealed a strong association with acid-fast *Mycobacterium tuberculosis* bacilli staining in sputum smear (26), suggesting a permissive Th2 environment at the site of infection. In some studies, IL-4 was shown to predict the development of active TB disease in exposed healthcare workers and household contacts (27, 28). We have previously shown that the disruption of IL-4R α signalling in macrophages/neutrophils did not play a role in TB disease progression in mice (29). The ability of *Mtb* to induce Arginase 1 independent of IL-4R α signalling contributed to the lack of phenotype in these mice. In a recent study, recombinant IL-4 impaired containment of *Mtb* in monocyte-derived macrophages associated with the expansion T_{reg} population amongst T_{eff} cells (30). The effect of IL-4 signalling on lymphocytic cells may be more profound than myeloid cells in tuberculosis.

Therefore, we hypothesized that the ablation of IL-4R α signalling on B cells specifically influences the immune response and the outcome of tuberculosis disease. The present study used BALB/c mice lacking IL-4R α specifically on B cells, mb1^{cre}IL-4R α ^{-lox}, while maintaining intact receptor signalling on other cells (31). We show that the B cells lacking IL-4R α have decreased mycobacterial burdens and lung pathology during the chronic tuberculosis infection. Importantly, adoptive transfer of IL-4R α -sufficient B cells from wild-type donor mice abolished the protective effect in mb1^{cre}IL-4R α ^{-lox} mice. We uncovered IL-4R α deletion on B cells decreased *tnf* and *stat1* expression and also dampened lung IFN- β production. Mechanistically, we show that the absence of IL-4R α on B cells increased macrophage inflammatory response *ex vivo*.

METHODS

Mice

Wild-type (BALB/c), littermate control (IL-4R α ^{-lox}) and B cell-specific IL-4R α deficient mice (mb1^{cre}IL-4R α ^{-lox}) on a BALB/c background (8–12 weeks) were kept under specific-pathogen-free conditions in individually ventilated cages. The genotypes of the mice were confirmed by PCR analysis of the DNA from tail biopsies. All experiments were performed in accordance with the South African National Guidelines and University of Cape Town of practice for laboratory animal procedures.

Mtb Culture and Aerosol or Intranasal Infection in Mice

Mycobacterium tuberculosis H37Rv was grown in Middlebrook 7H9 broth as described previously (29). Prior to infection, stock solutions of *Mtb* were thawed, washed once with phosphate-buffered saline and inoculum was prepared in sterile saline. Aerosol infection was performed using an inhalation exposure system (model A4224, Glas-Col). To infect mice with a low dose of 100 CFU/lung, animals were exposed for 40 min to an aerosol generated by nebulizing approximately 6 ml of a suspension containing 2.4 \times 10⁷ live bacteria. Similarly, for intranasal infection, 25 μ l per nostril was administered in anaesthetized mice to achieve the indicated dose. After infection, the inoculum was also plated to determine the change in the inoculum. Infection dose was checked at one day post-infection by determining the bacterial load in the lungs of four infected mice.

Determination of Mycobacterial Load, Histopathology and Immunohistochemistry

Mycobacterial loads in lungs and spleen of *Mtb*-infected mice were determined at different time points post-infection as previously described (29). Lungs of *Mtb*-infected mice were fixed with 4% phosphate-buffered formalin, and 3 μ m-thick sections were stained with either H&E or rabbit anti-mouse antibody specific for iNOS (Abcam) or rabbit anti-mouse IgA antibody (Abcam). Detection was performed using HRP-labelled anti-rabbit antibody (Dako) followed by 3, 3'-diaminobenzidine substrate (Dako). The lung images and lesion areas, iNOS and IgA positive areas were

acquired in Nikon 90i Eclipse widefield microscope and quantified using NIS elements.

Lung Immune Cell Populations

Single-cell suspensions of the lungs were prepared as previously described (29). 1×10^6 cells were then subjected to staining for B cells (CD3⁺CD19⁺), CD4 T cells (CD19⁺CD3⁺CD4⁺), CD8 T cells (CD19⁺CD3⁺CD8⁺), macrophages (CD11c⁺CD11b⁺MHCII⁺), dendritic cells (CD11b⁺CD11c⁺MHCII⁺) and neutrophils (SiglecF⁺CD11c⁺Gr-1⁺) in presence of 1% rat serum and 10 μ g/ml Fc γ R blocking antibody for 30 min on ice. Similarly, lung B cell subsets were analyzed as B-1a (CD19⁺B220⁺CD43⁺CD5^{high}IgM⁺), B-1b (CD19⁺B220⁺CD43⁺CD5^{low}IgM⁺), B-2 (CD19⁺B220⁺CD43⁺IgM⁺IgD⁺), B-10 (CD19⁺B220⁺CD43⁺CD5⁺CD1d⁺), Plasma (CD19⁺CD138⁺MHCII^{low}CD44^{high}), Plasmablast (CD19⁺CD138⁺MHCII⁺CD44^{high}), IgM (CD19⁺B220⁺CD43⁺IgM⁺) and IgD (CD19⁺B220⁺IgD⁺) B cells. Cells were washed then fixed in 2% paraformaldehyde overnight and acquired by FACS LSRII (BD Pharmingen) and analysed by FlowJo (TreeStar, US). Gating strategies are provided in **Supplementary Figures 2 and 3**. Flow cytometry antibody details are provided in **Supplementary Table 1**.

Analysis of Cytokines and Antibodies in the Lung Homogenates

Lung homogenates were analysed for the IFN- β (BioLegend), IL-6 (BD Biosciences), IL-12p40 (BD BioSciences) and IL-10 (BD BioSciences) by ELISA according to manufacturers' instructions. Total IgA, IgE and IgG1 (Southern Biotech) levels are measured in lung homogenates by coating with unlabelled goat anti-mouse antibodies (1:500 dilution) and detection with alkaline phosphatase-conjugated rat anti-mouse antibodies (1:1000 dilution).

Adoptive Transfer of B Cells

A single-cell suspension of spleen from wild-type mice was prepared to stain total spleen cells using CD3, CD19 and B220 (BD Biosciences) surface markers. Double-positive B cells (CD3⁺CD19⁺B220⁺) were sorted (purity ~98%) using BD FACSARIA. 1 million B cells were then transferred intranasally in mb1^{cre}IL-4R α ^{-lox} mice. Two days after the transfer, mice were infected with *Mtb* and sacrificed at 18 weeks after infection.

Gene Expression in Sorted B Cells From Chronic *Mtb* Infection

Single-cell suspensions of the lungs were prepared as described previously (29). Cells were stained for B cells (CD3⁺CD19⁺) and sorted with BD FACSJazz instrument. Cells were lysed in 0.5 ml of Qiazol (Qiagen) and total RNA was extracted by RNeasy Micro kit (Qiagen). Total RNA was transcribed into cDNA using Transcriptor First Strand cDNA Synthesis Kit (Roche) according to the manufacturer's instructions. Real-time qPCR was performed with LightCycler[®] 480 SYBR Green I Master mix in LightCycler[®] 480 II (Roche). Quantitative expression analysis of *Ifnb*, *il10*, *il6*, *Tnf* and *Stat1* were normalized against the housekeeping gene *Hprt*, primer sequences are shown in **Supplementary Table 2**.

B Cell Infection by mCherry *Mtb* and Supernatant Transfer to *Mtb*-Infected Macrophages

CD19⁺ bead (Miltenyi) sorted cells from naïve spleens of control littermate (IL-4R α ^{-lox}) and B cell-specific IL-4R α deficient mice (mb1^{cre}IL-4R α ^{-lox}) were exposed to constitutively mCherry expressing *Mtb* for 24 hours at a multiplicity of infection 2. B cells were later analysed for mCherry, MHCII and CD124 expression by BD Fortessa. B cell supernatants were then filtered with 0.2 μ m filters to remove any extracellular *Mtb*. The supernatants were transferred to *Mtb*-infected bone marrow-derived macrophages (MOI:0.5) and incubated for 3 days. Macrophage supernatants were then analysed for the indicated cytokines by ELISA and nitric oxide by Griess reagent assay.

IL4RA and Arginase Expression on Peripheral B Cells Isolated From TB Cohort

We enrolled newly diagnosed, untreated TB cases from the clinics in Ravensmead and Uitsig, Cape Town. The participants were treated with standard anti-TB drugs for six months by the clinic. For this study, we took blood at diagnosis and at the end of anti-TB treatment after 23 weeks. We also included healthy participants from the same community. Both the TB cases and the healthy controls were HIV negative. B cells were isolated by CD19 MACS beads from peripheral blood mononuclear cells and RNA was extracted using the RNeasy[®] Mini Kit (Qiagen, Germany) according to manufacturer's instructions. RNA was stored at -80°C prior to perform the cDNA synthesis (First Strand Kit (Qiagen, Germany) for quantitative PCR analysis.

Study Approval

The protocol was approved by the Animal Ethics Committee (AEC Permit Number: 015/040), Faculty of Health Sciences, University of Cape Town, Cape Town, South Africa. Participant recruitment and follow up was approved by the Human Research Ethics Committee of Stellenbosch University (N10/01/013). Written informed consent was obtained from all study participants.

Statistics

Data are represented as mean values \pm SEM. Statistical analysis was performed using Student's *t*-test, two-tailed, Welch's correction with unequal variance and ordinary one-way ANOVA, defining differences between mb1^{cre}IL-4R α ^{-lox} and IL-4R α ^{-lox} as significant *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$.

RESULTS

IL-4R α Deletion on B Cells Decreased *Mtb* Burdens and Lung Pathology During Chronic Infection in Mice

We assessed the role of IL-4R α signalling on B cells using wild-type (BALB/c), littermate control (IL-4R α ^{-lox}) and B cell-specific IL-4R α (mb1^{cre}IL-4R α ^{-lox}) deficient mice in a time-kinetic

manner following *Mtb* infection. At 4 weeks post-infection, mycobacterial lung burdens in mb1^{cre}IL-4R α ^{-/-lox} mice were similar when compared to littermate control animals (Figure 1A). However, at 18 weeks post-infection, mycobacterial burdens in both lungs and spleen were significantly reduced in mb1^{cre}IL-4R α ^{-/-lox} mice when compared to littermate controls (Figure 1B). Furthermore, we determined the lung inflammation by H&E and performed immunohistochemistry for iNOS

expression. At 4- and 18-weeks post-infection, we found that pulmonary pathology, lesion area (Figures 1C, D) and iNOS expression (Figures 1C, E) were significantly decreased in mb1^{cre}IL-4R α ^{-/-lox} mice, indicating reduced lung tissue destruction during *Mtb* infection. These results show that B cell-specific IL-4R α ablation decreased mycobacterial burden, lung inflammation and iNOS expression in mb1^{cre}IL-4R α ^{-/-lox} mice during chronic tuberculosis.

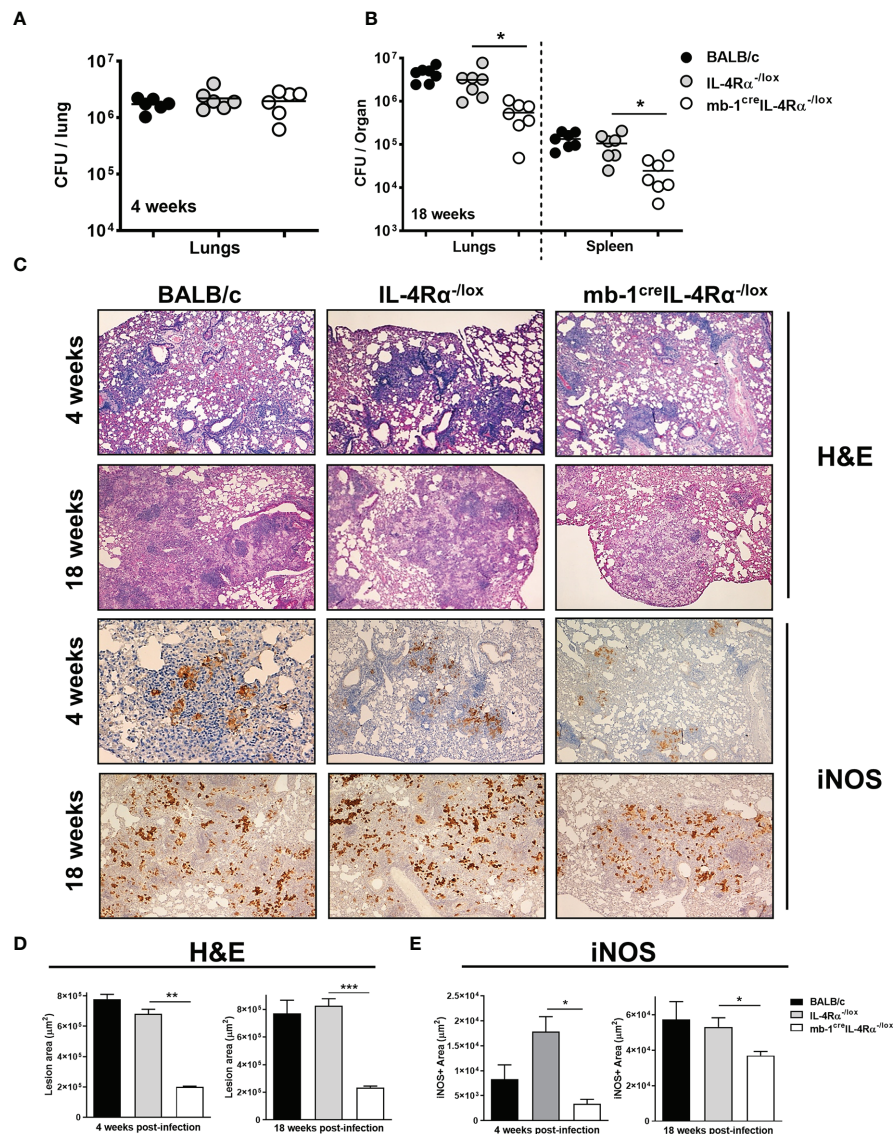


FIGURE 1 | Deletion of IL-4R α on B cells decreased mycobacterial burdens and lung pathology during *Mtb* infection. Wild-type (BALB/c), littermate controls (IL-4R α ^{-/-lox}) and B cell-specific IL-4R α deficient mice (mb-1^{cre}IL-4R α ^{-/-lox}) were infected via aerosol inhalation with a dose of 200 CFU H37Rv. **(A)** Mycobacterial burdens in the lungs at 4 weeks post-infection. **(B)** Lung mycobacterial burden and dissemination in the spleen at 18 weeks post-infection. **(C)** Representative histology images of lung sections stained with H&E and iNOS at 4 and 18 weeks post-infection (Original magnification: 10X). **(D, E)** Quantification of lesion area and iNOS positive area in the lungs at 4 and 18 weeks post-infection. Data are shown as mean \pm SEM of $n = 6$ mice/group and representative of two independent experiments, analysed by unpaired, student's t-test versus littermate control, * $p < 0.05$, ** $p < 0.01$ and *** $p < 0.001$.

Adoptive Transfer of IL-4R α -Sufficient B Cells Abolished Decreased *Mtb* Burdens and Lung Pathology in mb1^{cre}IL-4R α ^{-/-lox} Mice

We investigated whether the host-protective phenotype in mb1^{cre}IL-4R α ^{-/-lox} mice was indeed B cell-driven. To this end, we adoptively transferred one million wild-type B cells in mb1^{cre}IL-4R α ^{-/-lox} mice intratracheally followed by *Mtb* infection. At 18 weeks post-infection, we found the transfer of wild-type B cells restored the lung mycobacterial burdens similar to littermate control animals (Figure 2A). Though the spleen

mycobacterial burden was partially restored but not statistically significant, this is likely due to intratracheal B cell transfer rendered minor effect on the distal organ spleen (Figure 2B). We then assessed the cytokine responses in the lung homogenates, which showed that IFN- β (Figure 2C), IL-6 (Figure 2D) and IL-12p40 (Figure 2E) was significantly reduced whereas IL-10 (Figure 2F) had no effect in mb1^{cre}IL-4R α ^{-/-lox} mice when compared to littermate control animals. Remarkably, the adoptive transfer of wild-type B cells restored IFN- β (Figure 2C) production, but not IL-6 (Figure 2D), IL-12p40 (Figure 2E) and IL-10 (Figure 2F) in the lungs of

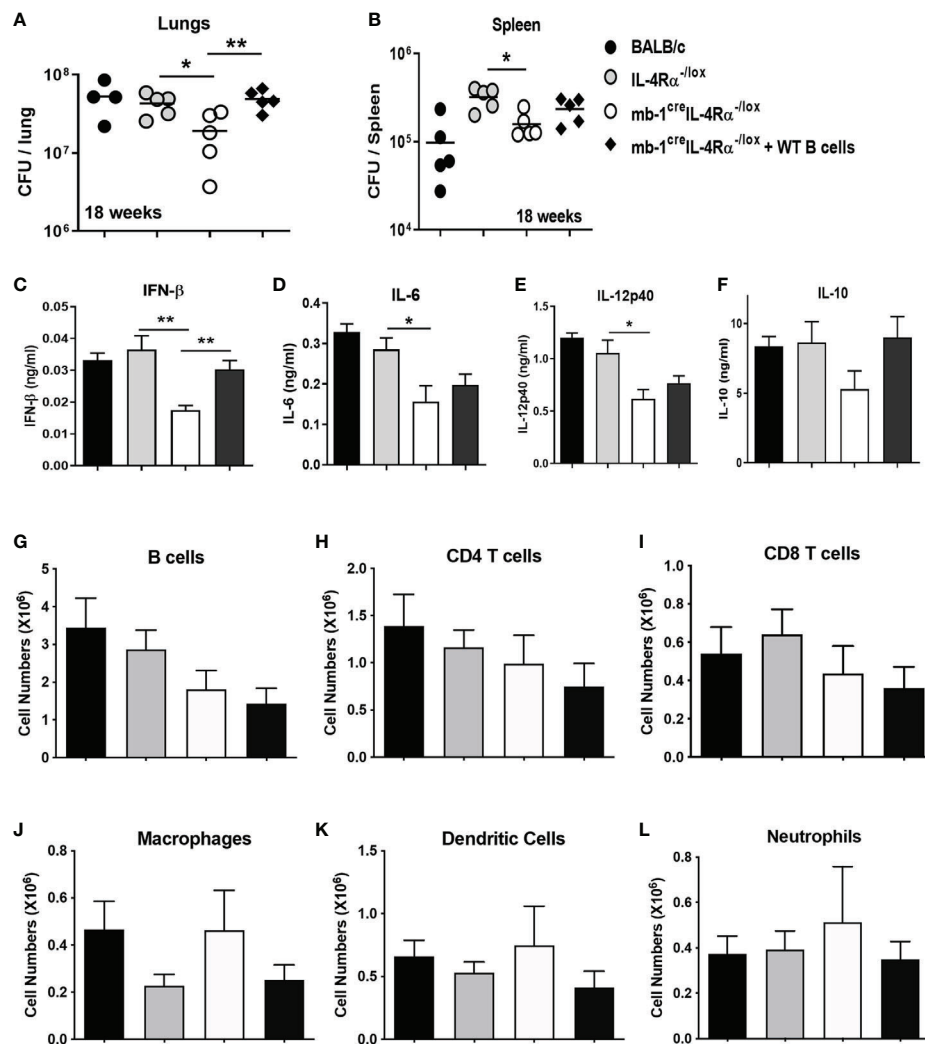


FIGURE 2 | Adoptive transfer of WT B cells intratracheally restored the bacterial burdens in B cell-specific IL-4R α deficient mice during *Mtb* infection. Wild-type (BALB/c), littermate controls (IL-4R α ^{-/-lox}), B cell-specific IL-4R α deficient mice (mb1^{cre}IL-4R α ^{-/-lox}) and adoptively transferred B cells in mb1^{cre}IL-4R α ^{-/-lox} mice (mb1^{cre}IL-4R α ^{-/-lox} + WT B cells) were infected intranasally with a 375CFU of H37Rv. (A, B) Bacterial burdens in the lungs and spleen after 18 weeks post-infection. (C–F) Lung homogenates were analysed for the cytokine responses such as for (C) IFN- β , (D) IL-6, (E) IL-12p40 and (F) IL-10 cytokine production by ELISA. Single cell suspension of lung cells was analysed for lymphoid (G–I) and myeloid (J–L) immune cell populations by flow cytometry. Cells were identified using the markers in parenthesis; B cells (CD19⁺CD3⁺), CD4 T cells (CD3⁺CD4⁺), CD8 T cells (CD3⁺CD8⁺), dendritic cells (CD11c⁺CD11b⁺MHCII⁺), macrophages (CD11b⁺CD11c⁺MHCII⁺) and neutrophils (SiglecF⁺CD11c⁺Gr1⁺). Data are shown as mean \pm SEM of n = 5 mice/group, representative of two independent experiments, analysed by unpaired, student's t-test versus littermate control, *p < 0.05 and **p < 0.01.

mb1^{cre}IL-4R α ^{-lox} mice. Given the significant differences in IFN- β , we assessed whether *Mtb* exposure of wild type B cells influences ifnb1 mRNA expression levels. We found no difference in ifnb1 mRNA expression in *Mtb*-exposed B cells when compared to naïve cells (**Supplementary Figure 1A**). Furthermore, we flow-sorted lung B cells from chronic *Mtb*-infected mb1^{cre}IL-4R α ^{-lox} mice, which showed no difference in ifnb1, il10 and il6 mRNA expression when compared to B cells from control animals (**Supplementary Figure 1B**). These findings indicated that B cells indirectly regulate IFN- β production. IFN- β can regulate anti-inflammatory responses by inducing IL-10 expression in the context of LPS stimulated and *Mtb* infected macrophages (32, 33). However, intracellular cytokine staining revealed IL-10-producing B cells were unaffected in chronic *Mtb*-infected mb1^{cre}IL-4R α ^{-lox} mice (**Supplementary Figure 1C**). We then assessed lymphoid and myeloid immune cell populations in the lungs by flow cytometry. We found no difference in B cells (**Figure 2G**), CD4 (**Figure 2H**), CD8 T cells (**Figure 2I**), macrophages (**Figure 2J**), dendritic cells (**Figure 2K**) and neutrophils (**Figure 2L**) in the lungs of mb1^{cre}IL-4R α ^{-lox} mice. Moreover, we further analysed the B cell subsets in the lungs of *Mtb*-infected mice. We found that except CD43⁺IgM⁺ B cells, deletion of IL-4R α had no effect on B-1a, B-1b, B-2, B-10, plasma cells, plasmablast and IgD⁺ B cell populations when compared to control animals (**Supplementary Figures 1D, E**). We then assessed the impact of adoptively transferred wild-type B cells on lung pathology (H&E) and iNOS expression. Indeed, wild-type B cells restored lung pathology (**Figure 3A**) and lesion area (**Figure 3B**) similar to control animals but iNOS expression was unchanged (**Figures 3A, B**) in mb1^{cre}IL-4R α ^{-lox} mice. This indicates that B cells do contribute to the lung pathology independent of iNOS expression. Together, these results suggest that intact IL-4R α on B cells contribute to mycobacterial burdens with lung pathology with no major impact on B cell subsets in chronic tuberculosis infection.

IL-4R α Deletion Modulates Antibody Production in the Lungs of mb1^{cre}IL-4R α ^{-lox} Mice

We then explored the influence of IL-4R α deletion on antibody responses in the lungs during *Mtb* infection. At 18 weeks post-infection, we found increased protective IgA (**Figure 3C**) in the lung homogenates. The quantification of IgA positive areas in lung sections by immunohistochemistry further confirmed our findings of IgA in the lung homogenates (**Supplementary Figure 1G**). Permissive IgG1 (**Figure 3D**) production was decreased and total IgE (**Figure 3E**) remained unaffected in the lungs of mb1^{cre}IL-4R α ^{-lox} mice when compared to littermate control animals. IL-4 is the first identified stimuli that induce IgG1 production through isotype class switching by germ-line transcript induction (34). Sterile transcripts of IgG1 in B cells stimulated with LPS and IL-4 showed a trend of decreased production germline IgG1 transcripts in splenic B cells isolated from mb1^{cre}IL-4R α ^{-lox} mice (**Supplementary Figure 1F**). Moreover, adoptively transferred B cells had no major impact

on the antibodies in the lungs when compared to mb1^{cre}IL-4R α ^{-lox} mice, except IgG1 levels which interestingly further decreased (**Figure 3D**). These results suggest that IL-4R α signalling does modulate B cell antibody responses in the lungs. To better understand the B cell responses at the molecular level, we sorted B cells from the lungs of *Mtb*-infected mice to perform quantitative PCR after 18 weeks of infection. B cells showed reduced mRNA transcripts of *tnf* and *stat1* in mb1^{cre}IL-4R α ^{-lox} mice, which was increased similar to control animals (**Figure 3F**) following adoptive transfer of B cells. This points towards a reduced Be1 signature in mb1^{cre}IL-4R α ^{-lox} mice. Despite decreased levels of *stat1* mRNA expression in B cells, we found similar levels of IFN- γ in the lungs (data not shown), suggesting that T cells and NK cells may contribute to the production of IFN- γ . Together, these results suggest that the deletion of IL-4R α on B cells modulates lung antibody responses and decrease *tnf* and *stat1* mRNA expression in mb1^{cre}IL-4R α ^{-lox} mice during chronic tuberculosis infection.

Deletion of IL-4R α on B Cells Reduced Association With *Mtb*

We further investigated whether B cells increase IL-4R α expression upon *Mtb* infection *ex vivo*. Magnetic bead-sorted wild-type B cells showed that *Mtb* infection significantly increased IL-4R α expression when compared to naïve B cells after 24 hours (**Figure 4A**), which was further confirmed by qPCR (**Supplementary Figure 1H**). We then asked whether *Mtb* may associate differentially with B cells from IL-4R α ^{-lox} and mb1^{cre}IL-4R α ^{-lox} mice. Indeed, flow cytometry revealed the frequency of mCherry-expressing *Mtb* positive cells was reduced in B cells from mb1^{cre}IL-4R α ^{-lox} mice after 24 hours (**Figure 4B**). Furthermore, we found increased MHCII positive B cells (**Figure 4C**) and expression (**Figure 4D**), indicating increased antigen presentation by the B cells from mb1^{cre}IL-4R α ^{-lox} mice when compared to controls. We then assessed the potential impact of *Mtb*-infected B cell supernatants on macrophages during infection. Following *Mtb* infection, macrophages were cultured with supernatants from *Mtb* exposed B cells from either mb1^{cre}IL-4R α ^{-lox} or IL-4R α ^{-lox} mice. After three days, macrophages cultured with B cell supernatants from mb1^{cre}IL-4R α ^{-lox} mice showed a significant increase in nitric oxide (**Figure 4E**), IL-1 β (**Figure 4F**), IL-6 (**Figure 4G**) and TNF (**Figure 4H**) production. Interestingly, IL-4R α expression in B cells sorted from peripheral blood of TB patients at the diagnosis showed no difference in IL4R transcripts (**Figure 4I**) when compared to healthy controls. However, arginase 1 significantly decreased in blood B cells of TB patients (**Figure 4J**). Altogether, this indicate that IL-4R α deletion on B cells does increase macrophage proinflammatory responses and their killing effector function.

DISCUSSION

Cytokine measurements in patients with TB suggested a role for IL-4R α -driven T helper 2 immunity in the progression of the

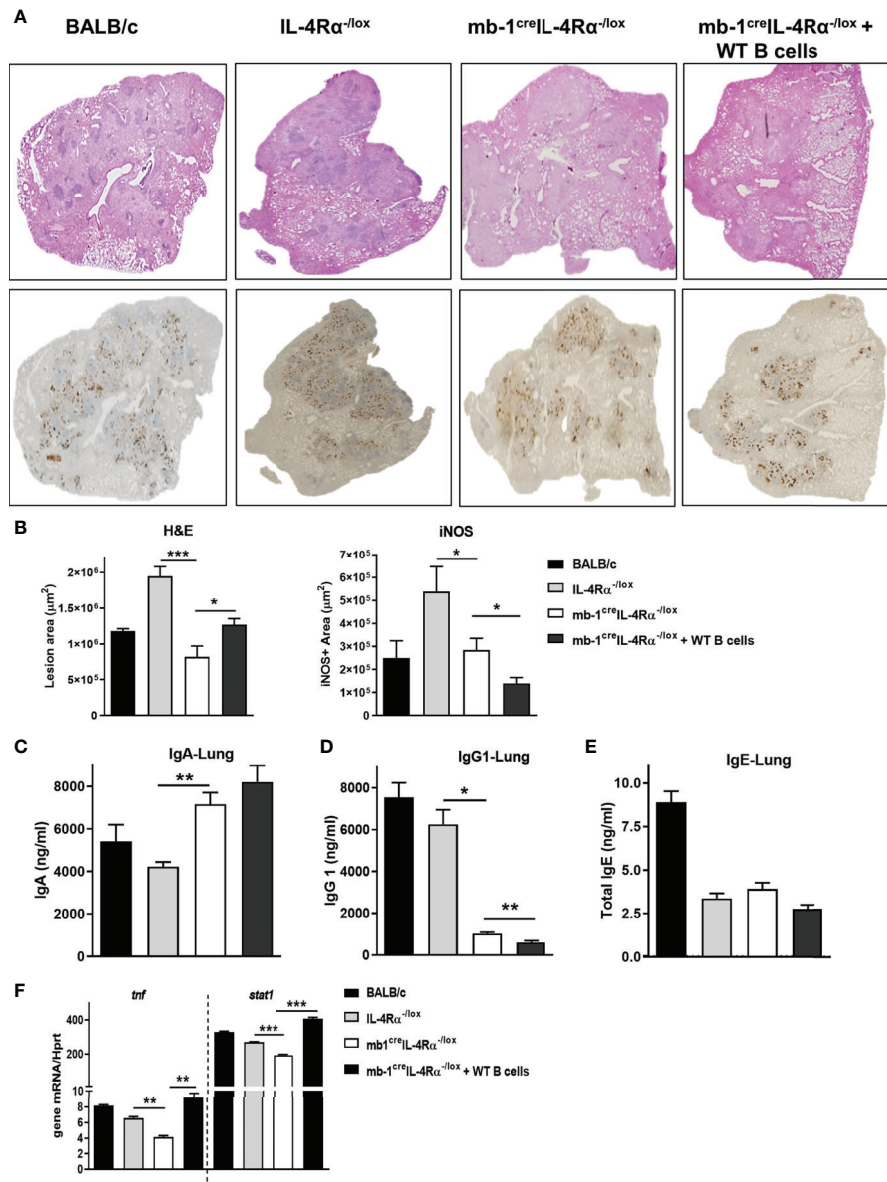


FIGURE 3 | Adoptive transfer of WT B cells in the lungs restored the pulmonary pathology in B cell-specific IL-4Rα deficient mice during *Mtb* infection. Formalin-fixed lung samples were stained for the H&E and iNOS expression after 18 weeks post-infection. **(A)** Representative histology images from all the groups and **(B)** quantification of lesions area and iNOS positive staining in the lungs (Original magnification: 2X). Antibody responses in the lungs of mice. **(C)** IgA, **(D)** IgG1 and **(E)** total IgE production in the lungs after 18 weeks of infection. **(F)** *Tnf* and *Stat1* mRNA expression in flow-sorted B cells (CD3⁺CD19⁺B220⁺) after 18 weeks of *Mtb* infection. Data are shown as mean ± SEM of n = 5 mice/group, representative of two independent experiments, analysed by unpaired, student t-test versus littermate control, *p < 0.05, **p < 0.01 and ***p < 0.001.

disease (35). IL-4 secretion in PBMC is elevated and involved in cavitary granuloma formation in patients with active TB disease (36, 37). Murine models using IL-4^{-/-}, IL-4Rα^{-/-} and STAT6^{-/-} on genetically resistant C57BL/6 background proved to be dispensable in *Mtb* infection (21, 38, 39). Interestingly in C57BL/6 mice, transgenic expression of IL-13 uncovered that IL-13/IL-4Rα signaling contributes to TB-associated pathology (40). In contrast to overall C57BL/6, TB disease progression likely associated with Th2 immune response in BALB/c mice

during chronic infection (41). Furthermore, immunotherapy using anti-IL-4 or anti-IL-13 or combined IL-4/IL-13 neutralizing antibodies (42) and high-dose *Mtb*-infected IL-4^{-/-} BALB/c mice resulted in decreased bacterial loads (39) and attenuated lung pathology (41). Together, data derived from BALB/c mice demonstrated that Th2 immune response contributes to disease progression, and therefore blocking IL-4 seems an attractive therapeutic approach (43). BALB/c mice appear to be a suitable model for investigating Th2 immunity in

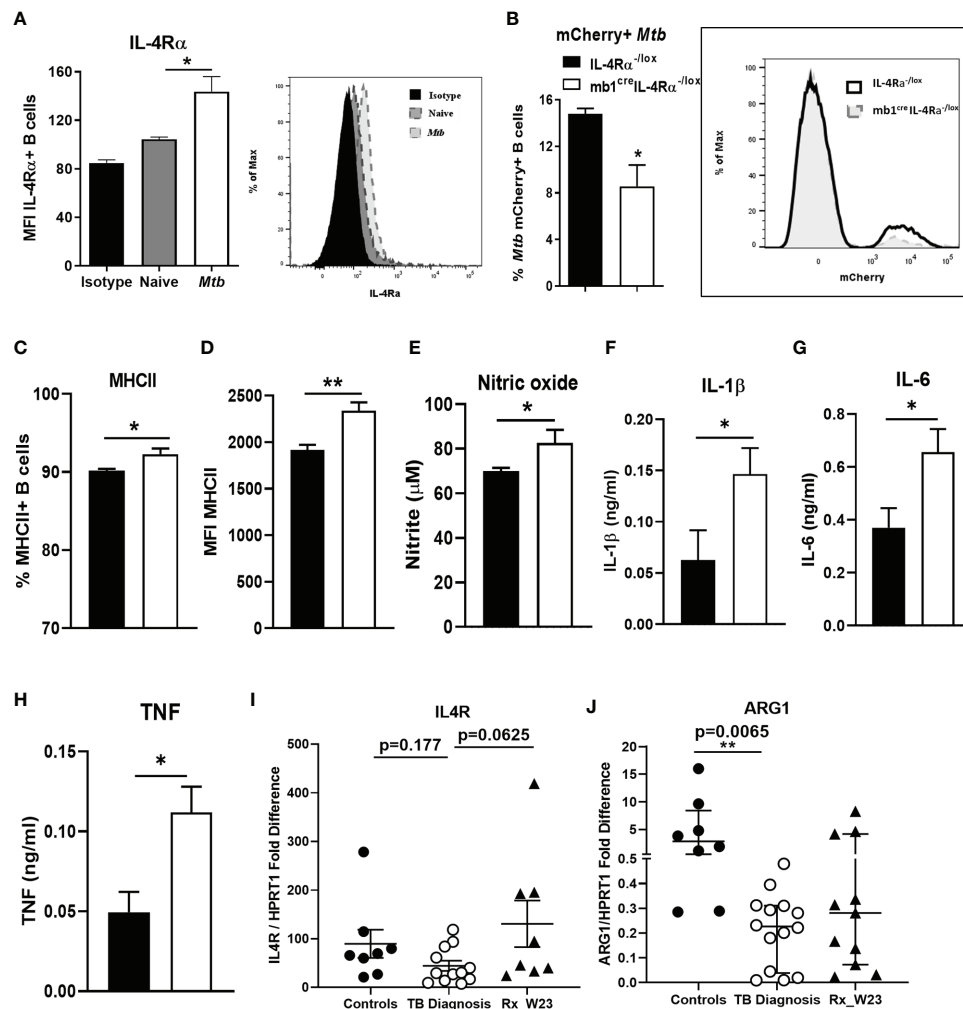


FIGURE 4 | *Mtb* infection of B cells from *mb1^{cre}IL-4R α ^{-lox}* mice induces pro-inflammatory responses in macrophages. B cells were purified by magnetic bead sorting from the spleen of naïve wild-type mice. B cells were then infected with H37Rv *Mtb* (MOI=2) for 24 hours. **(A)** IL-4R α surface expression measured in naïve and *Mtb* exposed B cells by flow cytometry. Magnetic bead sorted B cells from IL-4R α ^{-lox} and *mb1^{cre}IL-4R α ^{-lox}* mice were infected with mCherry-expressing *Mtb* for 24 hours. **(B)** mCherry-expressing *Mtb* and **(C, D)** MHC II expression on B cells from IL-4R α ^{-lox} and *mb1^{cre}IL-4R α ^{-lox}* mice were analysed by flow cytometry. **(E)** *Mtb*-infected macrophages were cultured with the supernatants from the *Mtb* infected B cells for 72 hours. Supernatants were then analysed for the production of **(E)** nitric oxide, **(F)** IL-1 β **(G)** IL-6 and **(H)** TNF by ELISA. Data are shown as mean \pm SEM of *n* = 3 mice/group and representative of three independent experiments, analysed by unpaired, student t-test versus littermate control, **p* < 0.05, ***p* < 0.01. **(I)** Human IL4R mRNA and **(J)** Arginase 1 expression was determined in magnetic bead sorted B cells from healthy, TB patients at diagnosis and after 23 weeks of anti-TB therapy by qPCR with *p* values between the indicated groups (*n*=8-12 samples) analyzed by one-way ANOVA, ***p* < 0.01 versus controls.

tuberculosis (44). Thus, we assessed whether depletion of IL-4R α on B cells in *mb1^{cre}IL-4R α ^{-lox}* BALB/c mice in tuberculosis.

In addition to IL-4/IL-4R α axis, B cells are critical in antibody production and they are efficient antigen-presenting cells. The success of antibodies in passive immunization suggested that certain antibodies are protective against TB (45). Mice lacking B cells showed relatively modest disease phenotypes during *Mtb* infection (19, 20). Moreover, B cell-deficient (IgH-6^{-/-}) mice on a C57BL/6J background were dispensable in chronic tuberculosis (21). In acute tuberculosis, B cell-mediated humoral immunity is required to control inflammation and protective immunity (19, 22, 46). Surprisingly, B cell-deficient *uMT^{-/-}* mice infected with

CDC1551 (22) strain of *Mtb* displayed similar burdens in the acute phase and reduced lung inflammation in chronic TB. In contrast, *uMT^{-/-}* mice showed enhanced mortality as a result of increased neutrophils and IL-10 production in the lungs in the Erdman strain of *Mtb* infection (19). Moreover, the non-human primate model of cynomolgus macaques showed that *Mtb*-containing granulomas are surrounded by proliferating B cells, secreting *Mtb*-specific (IgG) antibodies (15). However, B cell depletion using rituximab resulted in highly heterogeneous responses in local granuloma immune modulation, due to the antibody-dependent and -independent functions of B cells and altogether had no impact on the TB disease outcome (25).

These studies highlighted the intricate role of B cells in TB disease stage and regulate the lung granulomatous response.

In wild-type mice, *Mtb* infection increased IL-4R α expression on B cells and the absence of IL-4R α on B cells decreased the frequency of *Mtb* infected B cells from mb1^{cre}IL-4R α ^{-/-lox} mice. There are limited studies on B cell internalization of bacteria; it has been shown that the human Raji B cell line can phagocytose complement opsonized *Mtb* (47). Macropinocytosis can also be employed by immortalized B cells for the uptake of *Mtb* (48). The effects of IL-4 signalling on phagocytosis are debatable as both increased and diminished phagocytic capacity were observed in macrophages (49–51). In the absence of IL-4R α , we observed decreased internalization of *Mtb* by B cells; however, it warrants further studies on whether IL-4 alters phagocytic capacity and phagosome phenotype in B cells (52). Remarkably, genetic ablation of IL-4R α on B cells (mb-1^{cre}IL-4R α ^{-/-lox}) in mice showed reduced lung burdens and splenic dissemination in chronic tuberculosis infection. This was also accompanied by reduced lung pathology, lesion area and iNOS expression. In contrast, IL-4R α deleted on macrophage/neutrophils, LysM^{cre}IL-4R α ^{-/-lox} mice had no differences in tissue bacterial burdens (29). Interestingly, the immune cell populations remained unaffected in mb1^{cre}IL-4R α ^{-/-lox} mice, which corroborated with our previous findings in LysM^{cre}IL-4R α ^{-/-lox} mice during tuberculosis (29). Characterization of lung B cell subsets also revealed no major differences between mb-1^{cre}IL-4R α ^{-/-lox} mice and littermate controls except IgM⁺IgD⁻CD43⁻ subset. These cells are either lung B1 cells expressing low levels of CD43 or distinct anergic, short-lived, B cell receptor unresponsive cells B2 cells (53, 54). In-depth phenotyping of this subset may explain whether IL-R α signalling is important for the maintenance and the decreased numbers of these cells contribute to protection in mb-1^{cre}IL-4R α ^{-/-lox} mice. In contrast, B cell depletion (rituximab) in macaques, lead to increased T cell frequencies and cytokine responses unable to drive host protection during *Mtb* infection (25). These data suggest that IL-4R α signalling on B cells modulate *Mtb* infection more at the site of infection in the chronic phase of tuberculosis.

Remarkably, the adoptive transfer of wild-type B cells in mb-1^{cre}IL-4R α ^{-/-lox} mice reversed lung bacterial burdens, lung pathology and lesion area similar to wild-type mice. The absence of B cells does not affect lung IFN- γ levels (19). This is likely compensated due to the release of IFN- γ from natural killer and T cells. In tuberculosis, increased levels of type I IFN is host detrimental (55). The decreased IFN- β production in mb-1^{cre}IL-4R α ^{-/-lox} mice in this study might be associated with reduced tissue pathology and lung bacterial burdens. These parameters were restored upon the adoptive transfer of wild-type B cells, suggesting intact IL-4R α on B cells enhances or mediate disease pathology, independent of B cell-mediated IFN- β production. A recent study showed that *Mtb*-stimulated IL-4R α -sufficient B cells drive alternative activation of macrophages through IFN- β production (56). However, the absence of IL-4R α signalling on B cells does not seem to affect IFN- β levels on B cells in both *ex vivo* *Mtb* exposure or *in vivo* chronic *Mtb* infection. Therefore, it is plausible that IL-4R α -deficient B cells will hinder alternative

activation macrophage phenotype through other soluble factors. Indeed, deficiency of IL-4R α on B cells increased macrophage ability to increase proinflammatory cytokines and nitric oxide production, indicating that IL-4R α signalling on B cells modulate macrophage immune responses during *Mtb* infection. These animals also showed decreased lung IgG1 (host detrimental) and increased lung IgA (host protective) levels, which may partly contribute to protection against *Mtb* infection. Besides tuberculosis, mb-1^{cre}IL-4R α ^{-/-lox} mice during *N. brasiliensis* infection uncovered that IL-4R α -responsive B cells-driven IL-13 and antigen processing contribute to T cell-mediated protective immunity (13). Furthermore, we demonstrated that IL-4R α -responsive B cells are host detrimental against *Leishmania major* and host protective in *Schistosoma mansoni* infection. Mechanistically, we revealed a more general phenomenon that B cells regulate T cell polarization (10). Moreover, in *S. mansoni* infection, IL-4R α -expressing B cells reduced egg-driven host detrimental tissue granulomatous inflammation *via* host protective IL-10 production in mice (57). In contrast, we found neither IL-10 nor evident regulation of T cell responses rather macrophage response modulation in mb1^{cre}IL-4R α ^{-/-lox} mouse model in TB, indicating the underlying mechanism is different and appears more local at the site of disease.

B cell proliferation increased in latent TB granuloma and decreased in an active TB granuloma. In humans, a study showed lower IL-4 expression in human B cells in circulation during TB infection (58). Consistently, we observed a lower trend in IL-4R and a significant decrease in arginase 1 mRNA expression in peripheral blood human B cells sorted from individuals diagnosed with TB pointing towards Be1 phenotype in this cohort. These findings further reinforce that blood may not be an appropriate compartment to explore the local tissue effect of B cells (56, 59). The importance of tissue site is further demonstrated where IFN- β production was unaffected in B cells isolated from peripheral blood but significantly upregulated in B cells from the pleural fluid (56). Therefore, B cells isolated from the lungs of TB patients will increase our current understanding of immune modulation at the tissue level. Overall, our study reveals the underappreciated role of IL-4R α signalling on B cells during the chronic phase of tuberculosis infection in mice.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

Participant recruitment and follow-up were approved by the Human Research Ethics Committee of Stellenbosch University (N10/01/013). Written informed consent was obtained from all

study participants. The patients/participants provided their written informed consent to participate in this study. The protocol was approved by the Animal Ethics Committee (AEC Permit Number: 015/040), Faculty of Health Sciences, University of Cape Town, Cape Town, South Africa.

AUTHOR CONTRIBUTIONS

SP, MO, IR, and AL: designing research studies, conducting experiments, human samples and analysis. SP, MO, MH, JC, RG, and RK: acquiring data and analyzing data. SP and MO: writing the manuscript. FB: resources and funding for the research. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.611673/full#supplementary-material>

Supplementary Figure 1 | Deletion of IL-4Rα on B cells had no impact on cytokine genes expression and B cell subsets. **(A)** Magnetic-bead sorted B cells from the spleen of naïve mice were exposed to *Mtb* (MOI=2) for 24 hours. *Ifnb* mRNA expression was determined by qPCR. Wild-type (BALB/c), littermate controls (IL-4Rα^{-lox}) and B cell-specific IL-4Rα deficient mice (mb-1^{cre}IL-4Rα^{-lox}) were infected intranasally with a dose of 150 CFU H37Rv. **(B)** Flow-sorted B cells (CD19⁺B220⁺CD3⁻) were analysed for *Ifnb*, *Il10* and *Il6* transcripts by qPCR. **(C)** The frequency of IL-10-producing B cells after 10 hours of PMA (20ng/ml)/ionomycin (1μg/ml) stimulation was determined by intracellular cytokine assay after 12 weeks post-infection. **(D)** B-1a (CD19⁺B220⁺CD43⁺CD5^{high}IgM^{high}), B1b (CD19⁺B220⁺CD43⁺CD5^{low}IgM^{high}), B2 (CD19⁺B220⁺CD43⁺IgM⁺IgD⁺) B10 (CD19⁺B220⁺CD43⁺CD5⁺CD1d⁺) and IgM (CD19⁺B220⁺CD43⁺IgM⁺IgD⁺). **(E)** Plasma (CD19⁺B220⁺CD138⁺MHCII^{low}CD44^{high}), Plasmablast (CD19⁺B220⁺CD138⁺MHCII^{high}CD44^{high}) and IgD (CD19⁺B220⁺IgD⁺) B cells in the lungs of mice after 12 weeks of *Mtb* infection. **(F)** Germline transcript of *IgG1* measured by qPCR in splenic B cells stimulated with LPS (10ug/ml)/IL-4 (25ng/ml) for 48 hours. **(G)** Representative images and quantification of IgA-positive area of lung sections at 18 weeks post-infection (Original magnification: 40X). **(H)** B cells either left alone or infected with *Mtb* to determine IL-4Rα mRNA expression by qPCR. Data are shown as mean ± SEM of n = 6 mice/group, analysed by unpaired, student t-test or ordinary one-way ANOVA versus the indicated group, *p<0.05, **p<0.01 and ***p<0.001.

Supplementary Figure 2 | Gating strategy for B cell subset identification in chronic *Mtb*-infected lungs of mice.

Supplementary Figure 3 | Gating strategy for various immune cell populations in chronic *Mtb*-infected lungs of mice.

Supplementary Table 1 | The list of antibody fluorophores and clones.

Supplementary Table 2 | The list of primer sequences used for qPCR.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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