

GERMINAL CENTERS IN LYMPHOID AND NON-LYMPHOID TISSUES: ADAPTIVE AND EVOLVING STRUCTURES

EDITED BY: Said Aoufouchi, Michel Cogne and Thierry Fest
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GERMINAL CENTERS IN LYMPHOID AND NON-LYMPHOID TISSUES: ADAPTIVE AND EVOLVING STRUCTURES

Topic Editors:

Said Aoufouchi, UMR 9019 Intégrité du Génome et Cancers, France

Michel Cogne, University of Rennes 1, France

Thierry Fest, University of Rennes 1, France

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Editorial: Germinal Centers in Lymphoid and Non-Lymphoid Tissues: Adaptive and Evolving Structures

Michel Cogné^{1,2}, Thierry Fest^{2,3} and Said Aoufouchi^{4,5,6*}

¹ University of Limoges, Limoges, France, ² University of Rennes 1, Rennes, France, ³ INSERM UMR1236 Microenvironnement, Différenciation cellulaire, Immunologie et Cancer, Rennes, France, ⁴ UMR 9019 Intégrité du Génome et Cancers, Centre National de la Recherche Scientifique, Villejuif, France, ⁵ Université Paris-Saclay, Saint Aubin, France, ⁶ Institut Gustave Roussy, Villejuif, France

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Editorial on the Research Topic

Germinal Centers in Lymphoid and Non-Lymphoid Tissues: Adaptive and Evolving Structures

Germinal centers (GC) are central places for the development of adaptive immune responses. While their development follows a first wave of extra-follicular activation, they uniquely contribute to the development of long-term responses including both diversified memory B cells with strong ability to activate and differentiate upon antigen re-challenge, and long-lived plasma cells producing high-affinity class-switched antibodies. They are central to protective immune responses against microbial or tumor-associated antigens, but they are also major sites where dealing with peripheral tolerance to autoantigens, programmed cell death and with the hazardous outcomes of DNA lesions inflicted to B-cells.

There is currently no definitive model accounting for all the outcomes of GC formation, since these evolving structures integrate stimulating and inhibitory signals from multiple origins, including antigens, chemokines, cytokines, specific antibody level and they dynamically evolve so that the very same factors initially promoting GC development can later contribute to GC resolution.

In contexts of chronic local inflammation, “GC-like” tertiary lymphoid structures (TLS) have long been reported within some non-lymphoid tissues and they recently received strong attention for their contribution to tumor immunology. They share structural and functional characteristics with GC that form in the secondary lymphoid organs. TLS are induced by persistent infection, autoimmune disorders and cancer. Interestingly in many cancer types, a good correlation has been reported between richness in TLS in tumor site and prolonged patient survival (1, Trüb et al.). The cellular and molecular signals that govern the induction and the fate of TLS in such pathological situations are not well-understood, but are among the hottest research questions in this emerging TLS-Cancer topic with potential for new discovery in B cell focused immunotherapies. We therefore believe that classical GC and TLS structures are the two sides of the general mechanism of immune response and immune surveillance.

In this Research Topic of Frontiers in Immunology, Kennedy and Clark from Chicago University, review the general compartments and connections at work in GCs. In a perspective paper notably commenting conflicting data about the role of hypoxia within the GC, Boothby et al. propose

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Edited and reviewed by:

Harry W. Schroeder,
University of Alabama, United States

*Correspondence:

Said Aoufouchi
said.aoufouchi@gustaveroussy.fr

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standards for analyzing and reporting data sets from GC cells differentiated under variable immunization constraints. This Research Topic additionally reports a multiscale model in which Tejero et al. combine the expected effects of both asymmetric divisions of B cells, the network of their cellular interactions and the strength of affinity-based CD40-signalling for determining the outcome of B-cell activation towards either memory MBC or plasma cells (PC). In this regard, Nakagawa and Calado review the conditions mediating positive selection of light zone(LZ) B-cells and instructing them to be selected as either PCs, MBCs or persistent GC-B cells reentering the dark zone. Santamaria et al. present new experimental data in human showing that this cell fate decision is also impacted by a threshold of IL-4/STAT6 signaling making GC LZ B cells either proliferate and transiently express a MYC-dependent transcriptional program or rather up-regulate BLIMP and instructively progress towards PC differentiation when CD23-dependent signaling becomes lower. Lemarié et al. also show induction of the unfolded protein response genes as a very early event at the pre-plasmablastic stage.

Going deeper into the diversity of cell interactions, Lu and Craft review recent evidence about the contribution of Tfr cells in the balance between productive immune responses and B cell memory and the maintenance of homeostasis for avoiding immunopathology.

Rivas et al., analyzing both murine models and data from human DLBCL patients, provide new evidence about the caretaker role of the cohesin complex in genomic stability of GC B-cells. They notably show that cohesin ATPase subunit Smc3 haploinsufficiency favors malignant transformation, through gene repression and impaired enhancer-promoter interactions, with loss of epigenetic modifiers (TET2 or KMT2D) preventing B-cell exit from the GC reaction and their commitment to plasma cell differentiation.

Schmiedel et al., using a Brg-1 deficient model, also provide data showing that ATPase, Brg1 and the BAF chromatin remodeling complex, are required for enhancer-promoter interactions which promote cell cycle-related gene expression during GC formation.

Dauba and Khamlichi review into much details the long -range promoter-enhancer interactions within the IgH locus which promotes chromatin remodeling and synapses between target switch sequences in a B-cell stimulation-dependent manner in order to support CSR. Dalloul et al. additionally provide new evidence that the process of Cclass switch recombination (CSR) can initiate even in the absence of the activation induced cytidine deaminase (AID) enzyme as an intrinsic ability of an appropriately conformed IgH locus to undergo recombination, so that AID is rather catalyzing and boosting CSR rather than initiating CSR in GC B-cells. Fuertes et al. finally review the role of multiple microRNAs (miRNAs) in the regulation of Tfh and GC B-cell responses as well as in B cell neoplasia and GC response dysregulation.

It altogether appears that cell fate decisions from GC B cells integrate both the quality and the cumulative amounts of signals that they have received from the Ag and their local microenvironment, following an instructive model, and their intrinsic ability to be committed to proliferation or differentiation, with cell death as another major fatal outcome of B cell activation and AID induction (2–4).

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work, and approved it for publication.

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Multiscale Modeling of Germinal Center Recapitulates the Temporal Transition From Memory B Cells to Plasma Cells Differentiation as Regulated by Antigen Affinity-Based Tfh Cell Help

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Edited by:

Thierry Fest,
University of Rennes 1, France

Reviewed by:

Masaki Hikida,
Akita University, Japan
Paolo Casali,
University of Texas Health Science
Center at San Antonio, United States

*Correspondence:

Antoine H. C. van Kampen
a.h.vankampen@amsterdamumc.nl

[†]Present address:

Philippe A. Robert,
Department of Immunology,
University of Oslo, Oslo, Norway
[‡]These authors share first authorship

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Elena Merino Tejero^{1‡}, Danial Lashgari^{1‡}, Rodrigo García-Valiente¹, Xuefeng Gao², Fabien Crauste³, Philippe A. Robert^{4†}, Michael Meyer-Hermann^{4,5}, María Rodríguez Martínez⁶, S. Marieke van Ham^{7,8}, Jeroen E. J. Guikema⁹, Huub Hoefsloot¹⁰ and Antoine H. C. van Kampen^{1,10*}

¹ Bioinformatics Laboratory, Epidemiology and Data Science, Amsterdam Public Health Research Institute, Amsterdam Institute for Infection and Immunity, Amsterdam, Netherlands, ² Department of Hematology and Oncology, International Cancer Center, Shenzhen University General Hospital, Shenzhen University Health Science Center, Shenzhen, China, ³ Université de Paris, CNRS, MAP5 UMR 8145, Paris, France, ⁴ Department for Systems Immunology and Braunschweig Integrated Centre of Systems Biology, Helmholtz Centre for Infection Research, Braunschweig, Germany, ⁵ Institute for Biochemistry, Biotechnology and Bioinformatics, Technische Universität Braunschweig, Braunschweig, Germany, ⁶ IBM Research Europe, Zürich, Switzerland, ⁷ Department of Immunopathology, Sanquin Research and Landsteiner Laboratory, Amsterdam UMC, University of Amsterdam, Amsterdam, Netherlands, ⁸ Swammerdam Institute for Life Sciences, University of Amsterdam, Amsterdam, Netherlands, ⁹ Department of Pathology, Lymphoma and Myeloma Center Amsterdam (LYMMCARE), Amsterdam University Medical Centers, Amsterdam, Netherlands, ¹⁰ Biosystems Data Analysis, Swammerdam Institute for Life Sciences, University of Amsterdam, Amsterdam, Netherlands

Germinal centers play a key role in the adaptive immune system since they are able to produce memory B cells and plasma cells that produce high affinity antibodies for an effective immune protection. The mechanisms underlying cell-fate decisions are not well understood but asymmetric division of antigen, B-cell receptor affinity, interactions between B-cells and T follicular helper cells (triggering CD40 signaling), and regulatory interactions of transcription factors have all been proposed to play a role. In addition, a temporal switch from memory B-cell to plasma cell differentiation during the germinal center reaction has been shown. To investigate if antigen affinity-based Tfh cell help recapitulates the temporal switch we implemented a multiscale model that integrates cellular interactions with a core gene regulatory network comprising BCL6, IRF4, and BLIMP1. Using this model we show that affinity-based CD40 signaling in combination with asymmetric division of B-cells result in switch from memory B-cell to plasma cell generation during the course of the germinal center reaction. We also show that cell fate division is unlikely to be (solely) based on asymmetric division of Ag but that BLIMP1 is a more important factor. Altogether, our model enables to test the influence of molecular

modulations of the CD40 signaling pathway on the production of germinal center output cells.

Keywords: multiscale model, plasma cell differentiation, T follicular helper cell, CD40 signaling, germinal center

INTRODUCTION

Germinal centers (GCs) are anatomical structures located inside B-cell follicles within secondary lymphoid organs that play an important role in the adaptive immune system (1, 2). Through subsequent rounds of cell proliferation, somatic hypermutation (SHM) and positive selection the B-cell receptor (BcR) is optimized for antigen (Ag) binding in a process called affinity maturation. This eventually results in the development of memory B-cells (MBCs) and plasma cells (PCs) that produce high affinity antibodies (Abs), which provide an effective immune protection. GCs comprise two functional zones. In the dark zone (DZ) centroblasts (CBs) rapidly proliferate and accumulate SHMs in the genes that encode their BcR. The light zone (LZ) is mainly characterized by the presence of centrocytes (CCs), follicular dendritic cells (FDCs) that present Ag in the form of immune complexes to GC B cells (3), and T follicular helper (Tfh) cells. CCs capture and internalize Ag through their BcR in an affinity-dependent manner triggering survival signals. Subsequently, the Ag is processed by the CCs resulting in class II MHC-peptide complexes (pMHCII) presented to the Tfh cells. Hence, B cells compete in an affinity-dependent way for interaction with Tfh cells, facilitating CD40 and cytokine signaling to become positively selected. Positively selected CCs may return to the CB state and recycle to the DZ to undergo further rounds of proliferation and SHM. Alternatively, positively selected CCs may differentiate to MBCs or PCs (4–8). Recently, it was also shown that GC B-cell migration influences PC development (9). The cellular and molecular mechanisms that regulate PC and MBC differentiation remain largely unknown, while such knowledge would crucially advance our understanding of GC-associated diseases such as B-cell lymphomas and autoimmune disorders. In this research we present a multiscale computational model (MSM) integrating molecular and cellular mechanisms to investigate PC differentiation.

In vivo studies in which Tfh-dependent positive selection of CCs was triggered in a BcR-independent fashion using the DEC205 surface lectin indicated that the interaction of CCs with Tfh cells critically determines positive selection and subsequent generation of PCs (10, 11). Other studies suggested that BcR signaling, but not Tfh interaction, initiates PC differentiation (12–15). The role of BcR signaling in PC differentiation is supported by the observation that long-lived PCs in bone marrow produce high-affinity Abs that contain many SHMs (13, 16–18). Smith and co-workers showed that the extent of affinity maturation of MBCs and PCs differs for NP hapten-specific B-cell responses typically resulting in high-affinity PCs and low-affinity MBCs (18). Other work suggested a temporal switch during the GC reaction resulting in the

production of MBCs primarily during the early GC phase while long-lived bone-marrow (BM) PCs are generated at later stages (19). In support, Shinnakasu and co-workers showed that lower affinity cells at earlier stages of the GC reaction are favored to enter the MBC compartment and also suggested that high-affinity GC B cells are preferentially selected to enter the cell-cycle and undergo PC differentiation (20).

Previously, an agent-based model (ABM) was developed that assumes that all CCs positively selected by Tfh cells subsequently recycle to the DZ for further proliferation, mutation and differentiation (2). Experimental evidence for this model was in part provided by demonstrating PC precursors in the DZ (13, 21, 22). This computational model was dubbed LEDA (LEave the GC through the DArk zone) and distributes the captured Ag asymmetrically during cell division to the daughter cells. The Ag-retaining cells differentiate into PCs and leave the GC (2). Other models were investigated in this paper, such as LEDAX, in which the decision about differentiation is already taken during the interaction with Tfh cells in the LZ irrespective of asymmetric division. A probabilistic decision is made after symmetric division in the DZ to decide if the B-cell differentiates to an output cell or heads for another round of selection. Nevertheless, we wanted to test the effect of asymmetric division on PC differentiation and, therefore, we used the LEDA model as a starting point. However, direct experimental evidence that asymmetric division determines cell fate is lacking.

A large body of research focuses on the molecular mechanisms underlying PC and MBC differentiation including epigenetics (23–25), the role of various transcription factors (TFs), and gene regulatory networks (GRN) [e.g., (26–29)]. Our MSM is built on a core GRN comprising three TFs (BCL6, IRF4, and BLIMP1) that are directly involved in PC differentiation. The TF B lymphocyte induced maturation protein 1 (BLIMP1) is essential for PC differentiation and regulates a large number of target genes required for the function of these cells (30). For example, BLIMP1 represses class II transactivator (CIITA) and activation-induced cytidine deaminase (AID), thereby inhibiting Ag presentation and GC associated AID-dependent Ig gene diversifications, respectively (30, 31). BLIMP1, however, may not initiate PC differentiation which has been suggested to start by down-regulation of the Paired Box 5 (PAX5) and B-cell lymphoma 6 (BCL6) proteins, which supports the theory that BcR signaling, resulting from BcR – Ag interaction, initiates this process (13). BcR signaling results in the repression of BCL6 (32), which is an important factor for BcR diversification and sustained cell proliferation. However, other studies have shown that Interferon regulatory factor 4 (IRF4) initiates plasmablast (PB) differentiation by inducing expression of BLIMP1 (33, 34). This does not exclude the possibility that BcR signals are involved in increasing IRF4

levels. CD40 signaling, resulting from CC – Tfh interaction, upregulates IRF4, which subsequently activates BLIMP1 and leads to PC differentiation. In PCs, IRF4 can also bind to its own promoter to create a positive feedback mechanism that maintains high IRF4 expression and, consequently, BLIMP1 expression (35). BLIMP1 is generally considered to repress gene expression but it may also induce gene expression of IRF4 and other genes (30). BCL6 is highly expressed in GC B cells and inhibits both the expression of BLIMP1 and IRF4. BCL6 binds to its own promoter to inhibit its own transcription thereby resulting in an autoregulatory negative feedback loop (36). In turn, BLIMP1 and IRF4 repress BCL6, which is down-regulated in PC differentiation.

It is challenging to integrate the cellular and molecular mechanisms involved in PC differentiation since details about the effect of cellular interactions through signaling on the underlying molecular networks are not known in full detail. Conversely, the effect of GRN states on cell behavior or phenotype also remains to be elucidated in more detail. Moreover, these mechanisms operate at different time scales. One way of proceeding is to model (affinity dependent) signals resulting from cellular interactions that affect the underlying GRN, which in turn determines cell fate. We present a MSM integrating molecular and cellular mechanisms to investigate PC differentiation. In particular, we integrate two pre-existing and published computational models: the cell-based LEDA model (2) and a differential equation-based GRN including BCL6, IRF4, and BLIMP1 (37). This GRN model considers BcR and CD40 signals delivered to the B cells but it assumes that only the CD40 signal initiates and progresses differentiation. Other (cytokine-driven)

signals during B/T-cell interactions are neglected in our model. Our MSM integrates and investigates asymmetric division and (affinity-based) CD40 signaling in PC differentiation.

Using this model we show that affinity-based CD40 signaling in combination with asymmetric division result in MBC and PC generation in accordance with the temporal switch. In contrast, a constant strong CD40 signal does only result in PCs, while a constant weak signal results in MBC output throughout the GC reaction. We also conclude that cell fate division is unlikely to be (solely) based on asymmetric division of Ag since this does not result in the differentiation of all high-level BLIMP1 B-cells. Vice versa, PCs differentiated on the basis of high BLIMP1 levels are a mixture of cells with and without internalized Ag indicating that not only Ag retaining cells engage in differentiation. We propose experiments to validate our computational findings. Altogether, our model enables to test the influence of molecular modulations of CD40 signaling pathway onto the production of MBC and PCs.

METHODS

Computational Model at the Cellular Level

The MSM that we developed is an extension of the pre-existing “hyphasma” model, which is a detailed ABM of the GC that simulates the behavior of individual GC cells and their interactions (**Figure 1A**) (2, 38). Under the so-called LEDA hypothesis it assumes that output cells exit the GC from the DZ after asymmetric division. Here, we summarize the relevant

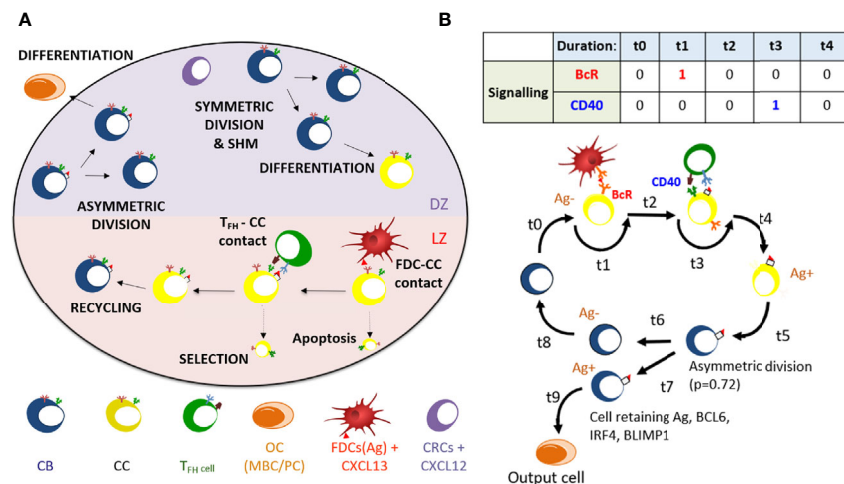


FIGURE 1 | (A) Overview of cellular processes in the ABM. In an established GC a dark zone (DZ) and a light zone (LZ) are distinguished. CBs and CCs prefer to move in the direction of the CXCL12 and CXCL13 chemokines produced by the CRCs and FDCs respectively. Tfh cells prefer to move towards the LZ. FDCs carry Ag that can be captured by CCs. CCs may be positively selected through interaction with Tfh cells after which they can recycle to the dark. In the DZ the CB will (a) symmetrically divide. After cell division, an output cell is produced, or the cell differentiates to a CC. Cells die through apoptosis if they do not interact with the FDC and Tfh cells. **(B)** Schematic overview of the BcR and CD40 signaling events during the GC reaction. Durations t indicate non-fixed time intervals (cell states). At the end of each interval the concentrations of BCL6, IRF4, and BLIMP1 are updated using the differential equations. A CB (Ag-; blue cell) differentiates to a CC (Ag-; yellow cell) within a time duration t_0 . The CC interacts with the FDC for a time duration t_1 during which BcR signaling occurs. Subsequently, CD40 signaling is active for duration t_3 during B-cell – Tfh interaction. Successful interaction will result in an Ag+ cell. Asymmetric division occurs with a probability $p=0.72$. Differentiation of CB to a CC always initializes the CC to Ag-.

aspects of this model. The model simulates a GC reaction for 21 days (504 h) at a time resolution of 0.002 h (7.2 s). Parameters for the ABM in our simulations are provided as **Supplementary Files** (parameters 1–5.txt). The GC is represented as a three-dimensional sphere of grid points with $N=64$ grid points in each direction (lattice constant = 5 μm). This grid hosts agents that represent CCs, CBs, Tfh cells, and FDCs. In addition, pre-calculated gradients of CXCL12 and CXCL13 chemokines are imposed on this grid. Originally, the ABM was initiated with a fixed number of three founder B cells (2). However, in our simulations we assumed a continuous influx of, on average, 2 cells per hour in the first 96 h resulting in approximately 100 founder cells accounting for the observation that early GCs are highly polyclonal (39, 40). The behavior in terms of division, differentiation, interaction, and cell death between these cells is defined by a set of rules. CBs, CCs and Tfh cells move according to persistent random-walk based on chemokine gradients, while FDCs have a fixed position on the grid. The affinity of the BcR is defined as the Manhattan distance (L1 norm) between the BcR and the Ag within a four dimensional “shape space” (41, 42). This distance represents the minimum number of SHMs required for the BcR to acquire maximum affinity for the Ag. Hence, the BcR sequence is not explicitly encoded but rather the shape space position of a B-cell determines its BcR affinity. SHM moves the BcR one step in the shape space thereby increasing or decreasing the distance to the Ag, which is converted to an affinity value between 0 and 1 using a Gaussian weight function. The discrete nature of the shape space translates to 25 discrete affinity values (**Supplementary Figures 1 and 2**).

In the model, B cells (CBs) proliferate in the DZ while accumulating BcR mutations, and migrate as CCs to the LZ where they can interact with FDCs to capture Ag with a rate depending on the BcR affinity. This provides survival signals to the CCs and rescues them from apoptosis. Higher affinity CCs will capture more Ag and, subsequently, will outcompete lower

affinity CCs for Tfh interaction to become positively selected. If the Tfh interacts with many B cells at a time it will signal to the one with highest amount of internalized Ag. The positively selected CCs are recycled into CBs and migrate to the DZ for further rounds of division and SHM. The number of divisions of recycled CBs depends on the amount of captured Ag during the selection process. During cell division the Ag is asymmetrically distributed in 72% of the cell divisions (43). Daughter cells that receive the captured Ag differentiate to output cells after one or more divisions and exit the GC. Daughter cells that did not receive Ag cycle back to the LZ as CCs. Daughter cells of CBs that divide symmetrically receive half of the Ag and both become CCs.

Computational Model at the Molecular Level

Martinez and co-workers developed a computational model representing a core GRN involved in PC differentiation (**Figure 2A**) (37). This model includes three TFs, i.e., BCL6, BLIMP1 and IRF4 that are modeled by ordinary differential equations (ODEs). The level of these genes is controlled by the BcR and CD40 signals (**Supplementary Information**, Equations 1 to 5; **Supplementary Table 1** lists the parameter values for the model). This GRN represents a bistable system with one state (BCL6 high, BLIMP1/IRF4 low) denoting the CBs/CCs and a second state (BLIMP1/IRF4 high, BCL6 low) representing PCs (**Figure 2B**).

GC B cells integrate upstream signals from BcR and CD40 signaling pathways. When a BcR signal is induced through binding with the Ag, then BCL6 is degraded. However, its level is rapidly restored to the initial steady state (BCL6 high) when the signal is removed (unbinding of Ag). The CD40 signal induced during interaction with a Tfh cell increases transcription of IRF4 which in turn increases the level of BLIMP1. This results in the PC phenotype (BLIMP1+). This

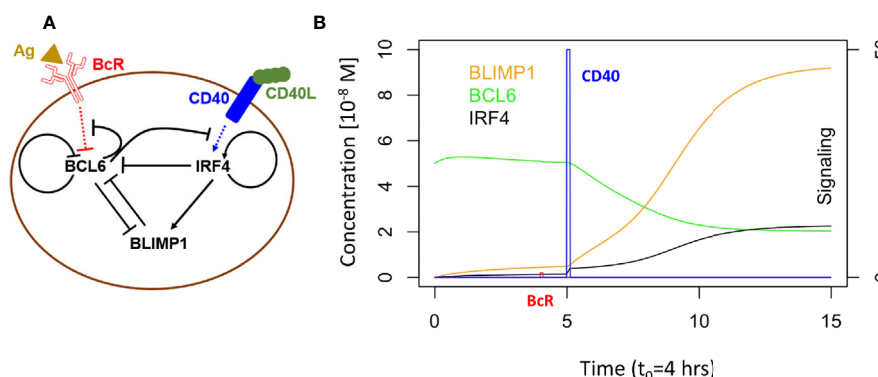


FIGURE 2 | (A) B-cell with GRN and signaling events. Arrows indicate activation. Bar-headed lines denote inhibition. BCL6 is inhibited upon binding of the Ag to the BcR. IRF4 is activated upon binding of CD40L to CD40 during Tfh – B-cell interaction. **(B)** GRN temporal dynamics upon binding of Ag and CD40L. Each time unit represents 4 h. The protein levels of BCL6 (blue), IRF4 (black), and BLIMP1 (orange) have units of 10^{-8} M and are shown over an interval of 60 h. The BcR signal (red) and CD40 signal (green) are present for a short duration (t_1 and t_3 in **Figure 1B**). BcR signaling results in a slight temporary change in TF concentrations. In contrast, CD40 signaling results in a switch of all TF levels going from a B-cell to a PC (BLIMP1+) phenotype (in approximately 40 h in this example). CD40 signal intensity in the multiscale model varies between 0 and 50. BcR signal is fixed to 1.

state is irreversible due to the positive autoregulatory feedback of IRF4 and the cooperative binding of the TFs to the DNA.

Multiscale Model

To enable the investigation of cellular and molecular mechanisms involved in PC differentiation we integrated the ABM and the GRN through the embedding of the GRN (set of ODEs) in each individual B-cell and output cell of the ABM (**Figure 3**). This was achieved by adding additional properties (ODEs (initial) TF levels, and BcR/CD40 signal) to each agent (cell) of the ABM.

Founder cells and daughter cells resulting cell division are initialized with the same initial concentrations for BCL6, IRF4, and BLIMP1. The cell-based ABM and the GRN model operate at different time scales, e.g., weeks and hours respectively. Consequently, the relatively fast changes in the GRN affect the longer term outcome on the cell level. This is accomplished by updating the TF concentrations at every time step (7.2 s) of the ABM while taking into account transcription and degradation rates, and using the levels of the TFs of the previous time point as initial values for the ODEs. If a CC binds to the FDC (**Figure 1B**) it receives a constant BcR signal ($bcr0 = 1$ in the ODE) for the duration $t1$ of binding. If the CC binds to a Tfh cell it will receive a CD40 signal (see below) for the duration $t3$ of binding. BcR and CD40 signals never occur simultaneously because binding to the Tfh cell only occurs after detaching from the FDC.

It has been shown that TFs may distribute unequally in daughter CBs after division (44). Consequently, it has been hypothesized that asymmetric division may affect cell fate. Therefore, the MSM allows for asymmetric division of both Ag and the TFs with a probability of 0.72 (2, 43). Following asymmetric division, the TF concentrations become zero in one daughter cell while the other daughter cell assumes the concentration from the parent cell. In a symmetric division the TF and Ag concentrations in both daughter cells are set to half the concentrations of the parent cell.

Tfh Facilitated CD40 Signaling

The MSM considers a constant or an affinity-based CD40 signal by defining $cd40$ (see **Supplementary Information Equations 1–5; Supplementary Table 1**). The magnitude of the constant signal was set to 50 to ensure that after Tfh contact the BLIMP1 level of the B-cell sufficiently increases to eventually differentiate to a PC while also maintaining typical GC dynamics (e.g., CB and CC cell count profiles). For the affinity-based signal we assume that higher affinity B cells capture more Ag and present more pMHCII to Tfh cells resulting in an increased Tfh – B-cell interaction and, therefore, an increased CD40 signaling. The affinity-based CD40 signal was defined by setting $cd40 = \text{affinity} \times 50$. Since affinity assumes values between 0 and 1, the CD40 signal has a strength between 0 and 50. This ensures that at maximum affinity the B-cell will always differentiate into a

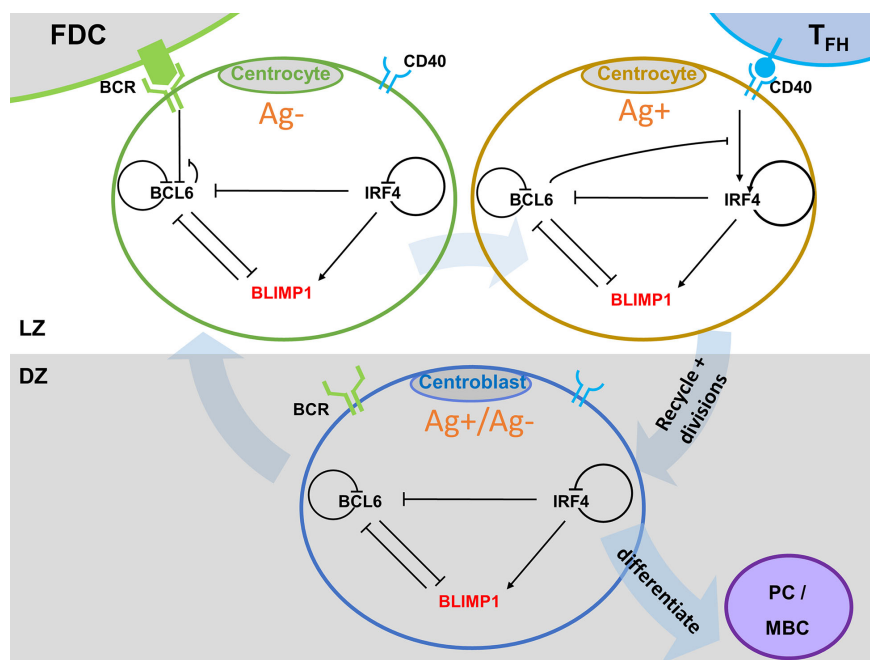


FIGURE 3 | Multiscale model for PC differentiation. The cellular model (ABM) and molecular GRN (ODE) models are integrated by embedding the GRN in each B-cell and output cell of the ABM. Signals through the BcR (FDC interaction) and CD40 (Tfh interaction) change the state (TF concentrations) of the GRN which is updated at every time step of the ABM. A positively selected CC becomes Ag+ by definition. In scenario 1 simulations an Ag+ cell differentiates to an output cell after asymmetric cell division. In scenario 2, sufficient CD40 signaling may increase BLIMP1 levels to obtain a PC phenotype (BLIMP1+). For precise cell type definitions see **Table 2** and **Supplementary Figures 6–8, 20**.

PC while at lower affinities MBCs will be produced (see below). Note that in simulations 3 and 4 (see below) higher values of the CD40 signal results in PC differentiation even after symmetric division (which reduces the BLIMP1 level by 50%) because the BLIMP1 level will rapidly return to its high-level equilibrium value due to the positive autoregulatory feedback of IRF4 that also remains at a relatively high level (**Supplementary Figures 3–5**).

Simulations

Table 1 shows the five simulations that were performed. The parameters for each simulation are provided as **Supplementary Files**. Scenario 1 simulations 1 and 2 represent a model in which asymmetric division of Ag determines cell fate. The Ag-retaining daughter cell (Ag+) differentiates to an output cell. In these simulations we tracked the CD40 signaling and the levels of the TFs but the GRN does not affect the fate of the B-cell and, therefore, does not affect the outcome of the simulation. However, after completion of scenario 1 simulations we inspect the BLIMP1 level of the output cells to define a PC and MBC subset (see cell definitions below and **Supplementary Figure 20**). Scenario 2 simulations 3, 4, and 5 represent the model in which we use the BLIMP1 level to decide on cell fate. In these simulations cells with a high BLIMP1 concentration will differentiate to PCs regardless of the Ag state (Ag+ or Ag-) of the cell. For both cell-fate decision rules we compare results obtained with a constant and affinity-based CD40 signal. In simulation 5 we use a constant CD40 signaling with $cd40 = 10$. All simulations are terminated after 21 days. In the result section we present the outcome of these 5 individual simulations. However, we also repeated simulation 3 and 4 30 times with different random seeds, which shows that the amount of variability observed in the temporal dynamics (**Supplementary Figures 16–19**) is limited. Also the resulting variability in the reported percentages is very low (standard error <0.01 , most standard deviations $<1\%$; **Supplementary Tables 3 and 4**). Scenario 1 simulations were not repeated but a similar amount of variability is expected.

Definition of (output) Cells

Table 2 shows the definition of cell types in scenario 1 (Ag+ decision rule) and scenario 2 (BLIMP1+ decision rule) simulations. **Supplementary Figures 6 to 8 and 20** provide further explanation. In scenario 2, we do not explicitly discriminate between MBCs and PCs but define “output” cells solely on the basis of its Ag status, i.e., the daughter cells that

TABLE 2 | Definition of cell types based on Ag status and BLIMP1.

		Scenario 1		Scenario 2	
		BLIMP1+	BLIMP1–	BLIMP1+	BLIMP1–
Output cell	Ag+	PC	MBC	PC	MBC
Not output cell	Ag+	PB	CB	PB	CB
Output cell	Ag–	NA	NA	PC	NA
Not output cell	Ag–	CB/CC	CB/CC	PB	CB/CC

NA, not applicable.

retains the Ag after asymmetric division (Ag+ cell) differentiates to an output cell (2). In a post-simulation step we use the BLIMP1 level to classify the output cells to PCs (Ag+ and BLIMP1+; $\geq 8 \times 10^{-8}$ M) and MBCs (Ag+ and BLIMP1–; $<8 \times 10^{-8}$ M). We have defined MBCs in this way because a BLIMP1– cell does not represent a PC while in this model an Ag+ cell was defined as an output cell. Although this is not an ideal MBC definition it correctly recapitulates the MBC dynamics as observed in Weisel and co-workers (19). In the MSM a recycled CB is, by definition, Ag+ and goes through one or multiple rounds of divisions prior to differentiation to an output cell. Consequently, Ag+ cells represent a mixture of recycled CBs, dividing cells, and output cells. In scenario 1, dividing Ag+ cells that have the potential to become a PC (i.e., Ag+/BLIMP1+) are annotated as PBs to allow a further discrimination between cell states in the model. In scenario 1, Ag– output cells are non-existent by definition and, hence, all Ag– cells are CBs or CCs. In scenario 2, cells may become a PC if they are BLIMP1+ irrespective of its Ag status and, consequently, PCs may either be Ag+ or Ag–. BLIMP1+ cells that are not (yet) output cells are annotated as PB (Ag+ or Ag–). In scenario 2, Ag+/BLIMP1– output cells are considered to be MBCs.

Software

The MSM was implemented in C++ and simulations were done on a MacOS Mojave 10.14.5 operation system. Run times of a single simulation take approximately 8 h on a single core of an Intel Core i7 processor. Model repetitions were carried out on the Dutch national e-infrastructure with the support of SURF Cooperative (www.surfsara.nl). Output files of the simulation were analyzed in R (Core Team, 2019) version 3.5.3 using various libraries: forcats (0.5.0), purr (0.3.4), tidyr (1.0.3), tibble (3.0.1), ggplot2 (3.3.0), tidyverse (1.3.0), viridis (0.5.1), viridisLite (0.3.0), ggnewscale (0.4.1), readr (1.3.1), dplyr (0.8.5). The MSM is available from GitHub (https://github.com/EDS-Bioinformatics-Laboratory/MSM_PCdifferentiation).

RESULTS

Ag Inheritance-Based GC Output with Constant and Strong CD40 Signal Exclusively Produces PCs (Scenario 1)

We wondered how the levels of BLIMP1 compared to internalized-Ag status (Ag+ or Ag–) in GC B-cell population when CD40 signal

TABLE 1 | Definition of simulations.

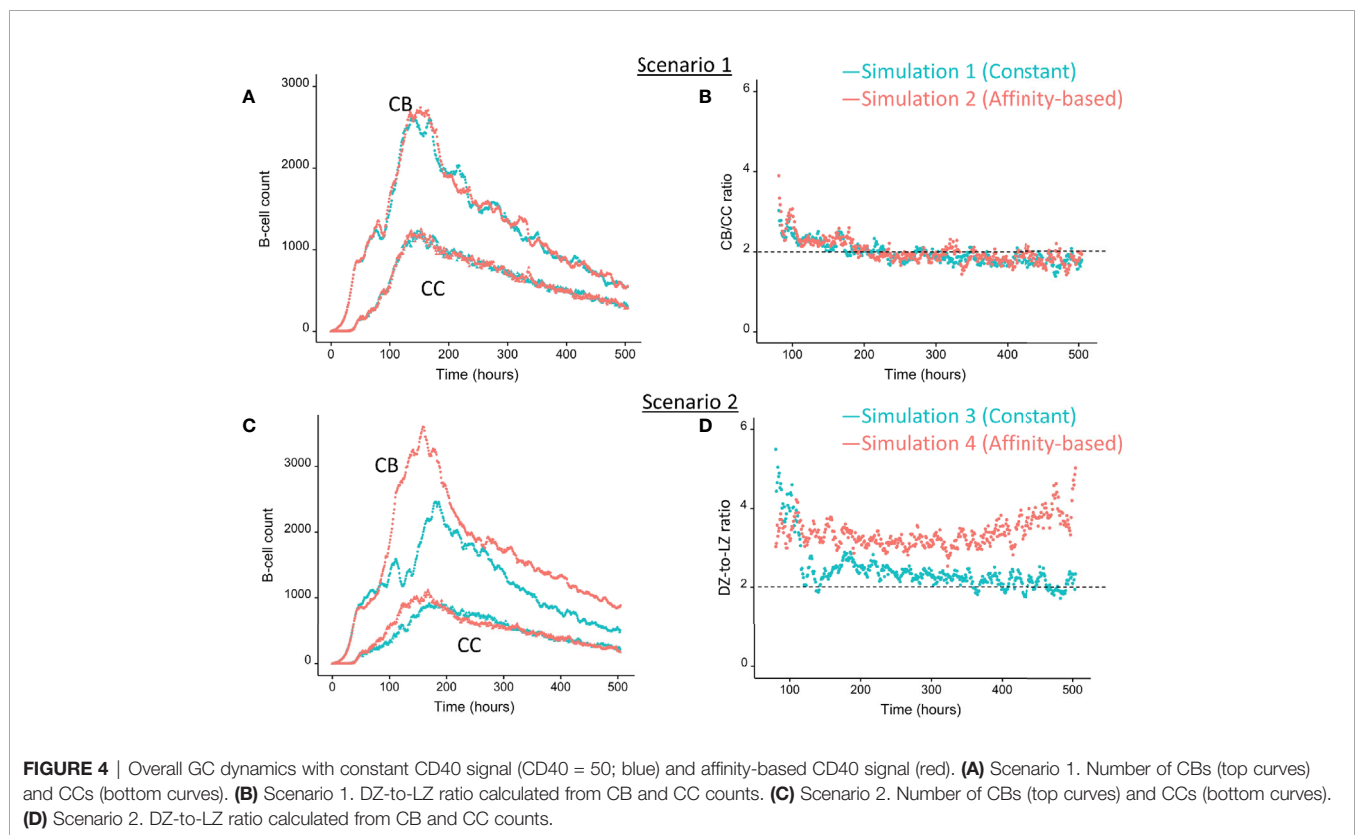
		CD40 signal	
		Constant	Affinity-based
Decision rule for differentiation	Ag inheritance (scenario 1)	Simulation 1 (CD40 = 50)	Simulation 2
	BLIMP1 level (scenario 2)	Simulation 3 (CD40 = 50) Simulation 5 (CD40 = 10)	Simulation 4

was constant and strong. This served as a reference for scenario 2 simulations (Table 1). The scenario 1 model is based on the hypothesis that Ag-retaining (Ag+) cells differentiate to a mixture of PC and MBC output cells. This theory in which asymmetric division drives PC differentiation resulting in PCs from the earliest stages of the GC reaction seems incompatible with the experimentally observed temporal switch (19). Figure 4A shows the overall dynamics of simulation 1. The CB and CC counts show a typical GC response with the total cell count approximating about 3800 cells at 142 h (6 days). Figure 4B shows the DZ-to-LZ ratio, which fluctuates around 2 in agreement with *in vivo* experiments (11). Figure 5A shows the number of PCs during the GC reaction, which by definition emerge from the very initial stages of the GC reaction. Figure 5C shows that the affinity of these PCs increases during the course of the GC reaction.

Table 3 shows the percentages of (output) cells at day 21 of the simulation. The full tables and cell counts are listed in the Supplementary File Counts_and_Percentages.xlsx. Inspection of the BLIMP1 level of the output cells facilitates post-simulation differentiation between PCs (Ag+/BLIMP1+) and MBCs (Ag+/BLIMP1-). During the GC reaction, about 5% (15,136 cells) of all CCs (290,291) differentiate to a PC (Ag+/BLIMP1+) while no MBCs (Ag+/BLIMP1-) are generated because the constant but strong CD40 signaling enforces high BLIMP1 levels for Ag+ cells. A fraction of PB (Ag+/BLIMP1+) cells do not develop into output cells due to symmetric cell division, which generates two

Ag- daughter cells (Supplementary Figure 7). The subset of Ag- cells (CBs and CCs), which are not output cells nor PBs comprise a mixture of BLIMP1+ and BLIMP1- representing 12 and 62% of all cells respectively. Consequently, an additional maximum of 12% (36,124 cells) could potentially have developed into a PC if BLIMP1 level was considered as a criterion for differentiation. Figure 6A shows the distribution of PCs (Ag+/BLIMP1+), PBs (Ag+), and CCs/CBs (Ag-/BLIMP1-, Ag-/BLIMP1+). No MBCs are produced. CCs and CBs are distributed over all affinity classes and have BLIMP1 levels below the threshold ($<8 \times 10^{-8}$ M) that defines PCs. PCs (high BLIMP1 level) emerge from the early stages but their number and affinity increases with time. Finally, the figure shows an increasing number of Ag+ cells that increase in affinity over time but do not develop into output cells despite their high BLIMP1 levels. In addition, about 17% of the Ag- cells are BLIMP1+.

In summary, the scenario 1 model with constant CD40 signaling simulation produces PCs of low to high affinities but no MBCs due to the strong CD40 signal. Approximately 75% of the PCs are generated after the peak response of the output cells (Figure 5A; Supplementary Figure 9) and are of relatively high affinity due to ongoing affinity maturation (Figure 5C). A large fraction of the Ag+ cells are BLIMP1+ while most Ag- cells are BLIMP1-. Considering BLIMP1 levels of the Ag- cells, a larger number of PCs should potentially have been generated.



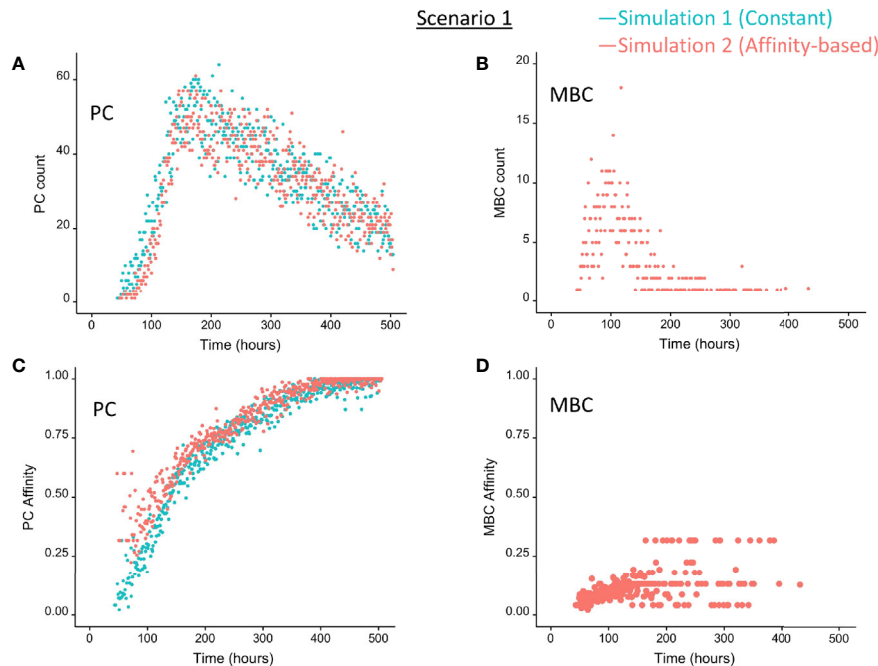


FIGURE 5 | Output cells for scenario 1 simulations with a constant CD40 signal (CD40 = 50; blue) or affinity-based CD40 signal (red). **(A)** Number of PCs, **(B)** number of MBCs, **(C)** PC affinity, and **(D)** MBC affinity during GC reaction. Post-simulation inspection of BLIMP1 levels of the output cells (Ag+) allows to discriminate between PCs (Ag+/BLIMP1+) and MBCs (Ag+/BLIMP1-). No MBCs are produced with a constant CD40 signal.

TABLE 3 | Percentages of cell types at day 21.

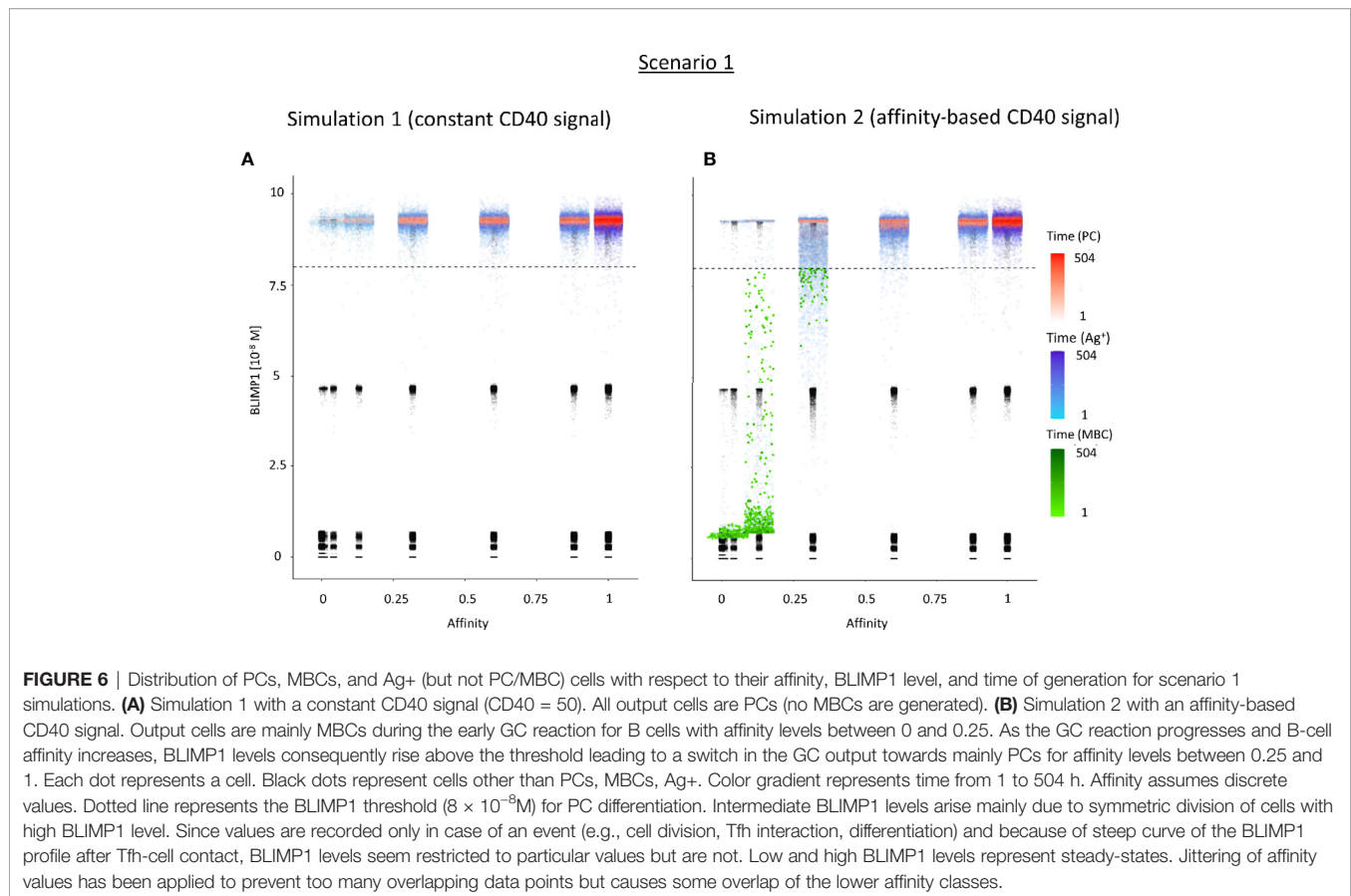
	Scenario 1		Scenario 2		
	Constant CD40 = 50	Affinity-based	Constant CD40 = 50	Affinity-based	Constant CD40 = 10
PC	5	5	14	13	3
PB	20	19	28	26	18
MBC	0	0.3	0	0.3	2
CB/CC	75	76	58	61	77
	100.0	100.0	100.0	100.0	100.0

Ag Inheritance-Based GC Output With a Strong Affinity-Based CD40 Signal Enables the Production of Both PCs and MBCs (Scenario 1)

Since no MBCs (Ag+/BLIMP1-) were generated in simulation 1, we wanted to investigate the effect on output cell subsets (post-simulation) when applying an affinity-based CD40 signal to control the levels of BLIMP1. In this simulation (simulation 2), the generation of output cells is still fully determined by Ag inheritance after asymmetric division and, consequently, CD40 signaling nor BLIMP1 level affects the cell fate or GC reaction. Consequently, the overall dynamics of this simulation is approximately the same as for the first simulation (Figure 4). Difference in overall dynamics result from stochasticity in the model. Figures 5A, C show the number of PCs and

corresponding affinity during the GC reaction. Figures 5B, D show the number of MBCs (Ag+/BLIMP1-) and affinity respectively. In contrast to simulation 1, low affinity MBCs are generated during the earlier phase of the GC response and generation of PCs seems slightly delayed although stochasticity in the model prevents a firm conclusion. The number of PCs at the end of the GC reaction is similar to simulation 1 (5% of all cells corresponding to 14,303 cells; Table 3). In addition, 833 (0.3%) MBCs were generated. The number of PBs, CCs, and CBs is similar to simulation 1. Also in this simulation an additional 35,159 Ag- cells (12% of all cells) could potentially have developed into a PC if the BLIMP1 level was used as a decision rule for PC differentiation during the simulation. Figure 6B shows that MBCs are of low affinity, have BLIMP1 levels below the PC threshold ($<8 \times 10^{-8}$ M) and are generated during the early phase of the GC response. Increased affinity abolished MBCs as a result of increasing BLIMP1 level resulting in a transition to PCs with BLIMP1 levels above the threshold. We also observe that at affinity=0.25 a larger number of Ag+ cells with intermediate BLIMP1 levels occur, which is a consequence of affinity-based signaling in which cells that have weaker CD40 signaling more slowly increase their BLIMP1 levels. About 75% of the subset of Ag+ cells did not develop into output cells despite high BLIMP1 levels. In addition, about 16% of the Ag- cells are BLIMP1+.

In summary, affinity-based CD40 signaling simulation produces a mixture of early lower affinity MBCs followed by



later higher affinity PCs. Approximately 76% of the PCs are generated after the peak response of the output cells (**Figure 5A**) while 85% of the MBCs are produced prior to the peak response (**Figure 5B**; **Supplementary Figure 11**). This temporal shift is in agreement with recent findings (19). Overall, we see that a large fraction of Ag+ non-output cells are BLIMP1+ and, therefore, a larger number of PCs should potentially have been generated.

BLIMP1 and Ag-Defined Fate Decisions Do Not Lead to MBC Generation Under Strong Constant CD40 Signal (Scenario 2)

We then wondered whether we could determine cell fate based on the coupling of BLIMP1 level and Ag status under a strong constant CD40 signal. In this simulation (simulation 3), the generation of PCs is fully based on BLIMP1 levels and does not take Ag status into account, i.e., subsequent to a series of cell divisions the CBs with high BLIMP1 levels ($\geq 8M$) differentiate to PCs (Ag+BLIMP1+ or Ag-BLIMP1+). In addition, Ag-retaining cells with low BLIMP1 levels ($<8M$) differentiate to MBCs (Ag+BLIMP1-). **Figure 4C** shows the overall GC dynamics, which is similar to scenario 1 simulations but the DZ-to-LZ ratio slightly increased (**Figure 4D**). The effect of stochasticity on the overall GC dynamics and the DZ-to-LZ ratio is limited as shown from repeated simulations in **Supplementary Figures 16** and **17**. **Figures 7A, C** show the number of PCs and corresponding affinity. No MBCs are produced in this simulation

due to strong CD40 signaling that enforces high BLIMP1 levels and, consequently, only PCs are generated. This was not surprising considering simulation 1. However, the number of PCs at the end of the GC reaction is about a factor 3 larger compared to simulation 1 (14% of all cells corresponding to 38,684 cells; **Table 3**). The number of PBs is slightly larger compared to the simulation 1 while the number of CBs and CCs are slightly reduced. Approximately 33% of all BLIMP1+ cells (115,310) differentiate to PCs and about two-third of these cells are Ag-. The distribution of PCs, and Ag+ cells (**Figure 8A**) is similar compared to simulation 1 (**Figure 6A**) but PCs now assume BLIMP1 levels ranging from 8 to about 9 while in simulation 1 all Ag+ output cells assumed the highest possible BLIMP1 level (i.e., ~ 9). The bimodal distribution is observed since some CBs will differentiate immediately when the BLIMP1 level passes the threshold while other cells may engage in one or more cell divisions giving BLIMP1 additional time to reach its maximum value.

In summary, the MSM allows to couple the decision for differentiation based on both BLIMP1 level and Ag status. With a constant strong CD40 signaling the scenario 2 simulation produces only PCs of low to high affinities but no MBCs. Substantially more PCs are generated in comparison to simulation 1 and 72% of these PCs are generated after the peak response of the output cells (**Figure 7A**; **Supplementary Figure 10**), which are of relatively high affinity (**Figure 7C**). The

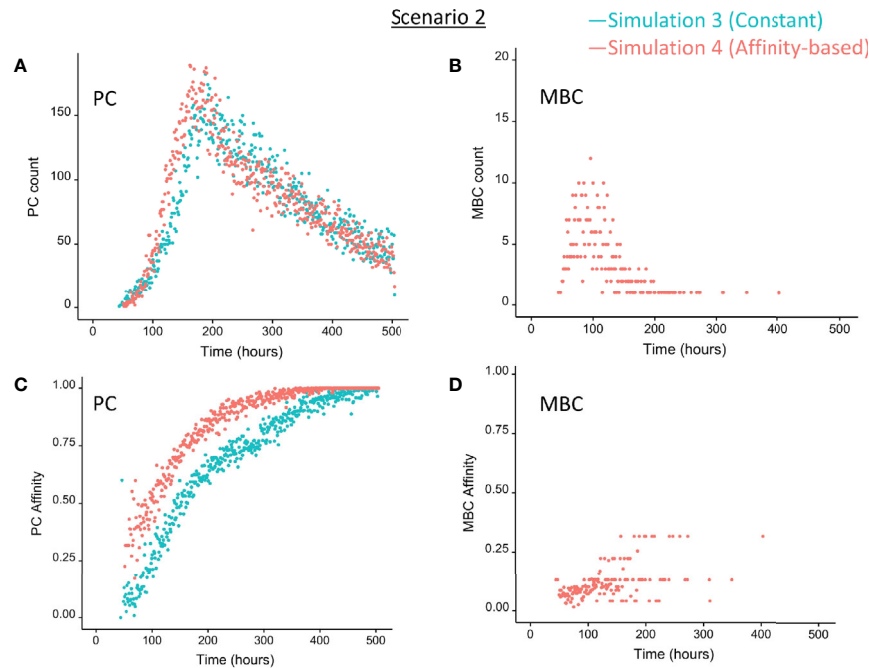


FIGURE 7 | Output cells for scenario 2 simulations with a constant CD40 signal (CD40 = 50; blue) or affinity-based CD40 signal (red). **(A)** Number of PCs (Ag+ BLIMP1+, Ag-BLIMP1-), **(B)** number of MBCs (Ag+BLIMP1-), **(C)** PC affinity, and **(D)** MBC affinity during GC reaction. No MBCs are produced with a constant CD40 signal.

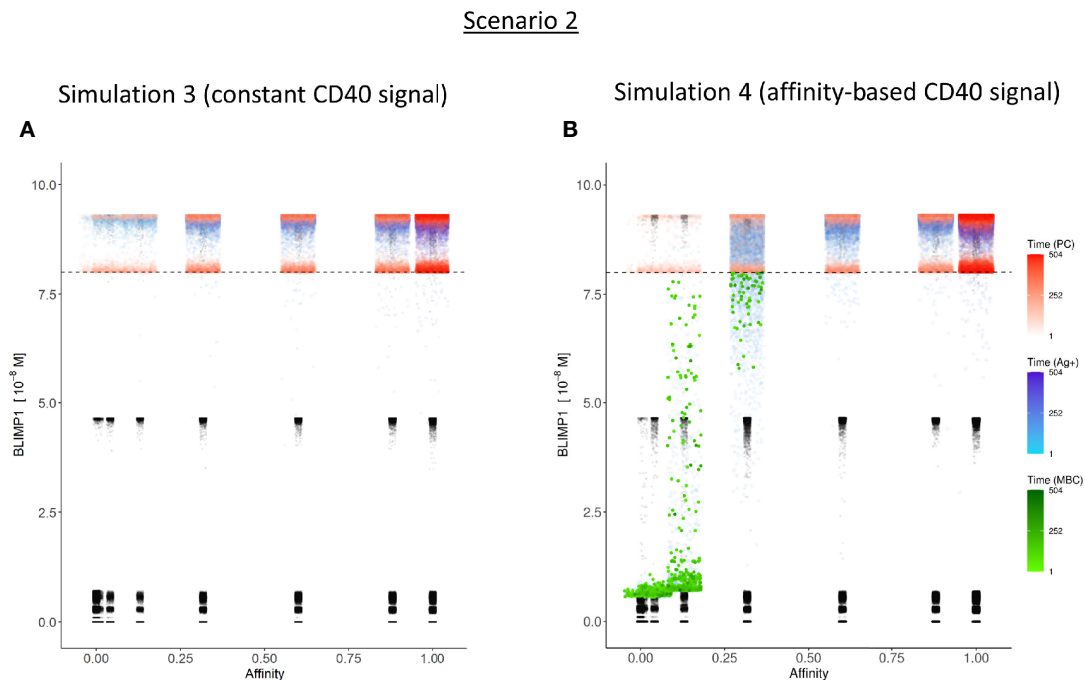


FIGURE 8 | Distribution of PCs, MBCs, and Ag+ (but not PC/MBC) cells with respect to their affinity, BLIMP1 level, and time of generation for scenario 2 simulations. **(A)** Simulation 1 with a Constant CD40 signal (CD40 = 50). All output cells are PCs (no MBCs are generated). **(B)** Simulation 2 with an affinity-based CD40 signaling. Output cells are mainly MBCs during the early GC reaction, which then switches to PC production. For a further description see **Figure 6**. In **(A)** and **(B)** PCs (red) are generated across all affinity levels.

slight increase in DZ-to-LZ ratio implies that the transzone migration rates in scenario 2 are no longer in full agreement with the patterns observed in (11).

BLIMP1- and Ag-Defined Fate Decisions Under a Strong Affinity-Based CD40 Signal Produce MBCs and Show a Temporal Switch (Scenario 2)

Considering no MBCs were generated under strong constant CD40 signal we wondered whether the decision for differentiation based on BLIMP1 level and Ag status under an affinity-based CD40 signal produces both PCs and MBCs. The overall GC dynamics of this simulation (simulation 4) is shown in **Figure 4C** which are clearly different from simulation 3 in which a constant CD40 signal was used. The number of CCs is similar, but the number of CBs largely increased resulting in an increased DZ-to-LZ ratio to approximately 3 to 4 (**Figure 4D**). The effect of stochasticity in the model on GC dynamics and DZ-to-LZ ratio is shown in **Supplementary Figures 18 and 19** for 30 repetitions. **Figure 7A** shows that the number of PCs in simulation 3 (38,684 cells) and simulation 4 (35,670) is similar but, overall, the PCs have a higher affinity (**Figure 7C**). Affinity-based signaling results in the generation of MBCs of low affinity mostly during the early phase of the GC response (**Figures 7B, C**). The number of PCs at the end of the GC reaction is approximately a factor 2.5 larger compared to simulation 2 that also involved affinity-based signaling (13% of all cells; **Table 3**). The percentage of MBCs (0.3%; 781 cells) is comparable to simulation 2. This corresponds to 0.5% of all BLIMP1- cells. Similar to simulation 3, approximately 33% of all BLIMP1+ cells (107,943) differentiate to a PC and about two-third of these cells are Ag-. The distribution of PCs, MBCs, and Ag+ cells is shown in **Figure 8B**.

In summary, in scenario 2, the affinity-based CD40 signaling simulation produces PCs and a small fraction of MBCs. However, substantially more PCs are generated in comparison to scenario 1. 75% of these PCs are generated after the peak response of the output cells while 89% of the MBCs are produced prior to this peak and are of lower affinity. (**Figure 7; Supplementary Figure 12**). Although we now observed a temporal shift there is a significant increase in the DZ-to-LZ ratio indicating transzone migration rates that are not in agreement with (11). We also observed that a substantial fraction of the PCs are Ag- indicating that the decision for PC differentiation should not (fully) be based on Ag status.

BLIMP- and Ag-Defined Fate Decisions Under Weak Constant CD40 Signal Produce MBCs But Fail to Show a Temporal Switch (Scenario 2)

In simulation 3, we used a strong and constant CD40 signal ($cd40 = 50$) that prevented the generation of MBCs because Tfh cell help will always sufficiently increase the BLIMP1 level to exclusively result in PC differentiation. In contrast in simulation 4 we allowed the CD40 signal to vary with affinity resulting in a temporal switch from MBCs to PCs. Since an constant high-level

is not realistic (i.e., no MBCs are produced) we questioned if we could generate both MBCs and PCs by using a constant but lower CD40 signal ($cd40 = 10$; simulation 5). In this simulation the overall GC dynamics is similar to the other simulations (**Supplementary Figure 14A**). The DZ-to-LZ ratio fluctuates around a value of 2 (**Supplementary Figure 14B**). The total number of cells during the course of the GC reaction is comparable to the other simulations. Compared with simulation 3, a constant and weak CD40 signaling indeed results in the generation of MBCs and even increased five-fold (2%; 5,048 cells) at the expense of a lower number of PCs (3%; 10,204 cells; **Supplementary file Counts_and_Percentages.xlsx**). However, since the CD40 signal strength does not change over time this simulation does not result in a temporal switch but a steady but low production of MBCs throughout the GC reaction (**Supplementary Figure 13**). We also observe that only Ag+ BLIMP1+ and no Ag-BLIMP1+ PCs are generated reflecting that cells that divided symmetrically result in daughter cells with BLIMP1 and IRF4 concentrations that are never high enough to return to the BLIMP1+ state. **Figure 9** shows the distribution of the PCs, MBCs and Ag+ cells. About 73% of the PCs are produced after the peak of the output cell production and also the majority of the MBCs (74%) are produced after the peak (**Supplementary Figure 15**). In **Figure 10**, we show an example of the temporal dynamics of B-cell lineage during the GC reaction starting with a founder cell that eventually results in PC differentiation. It shows how BLIMP1 level, Ag status, and affinity evolve as a result of the synergistic interaction between the molecular and cellular level at different events in the MSM.

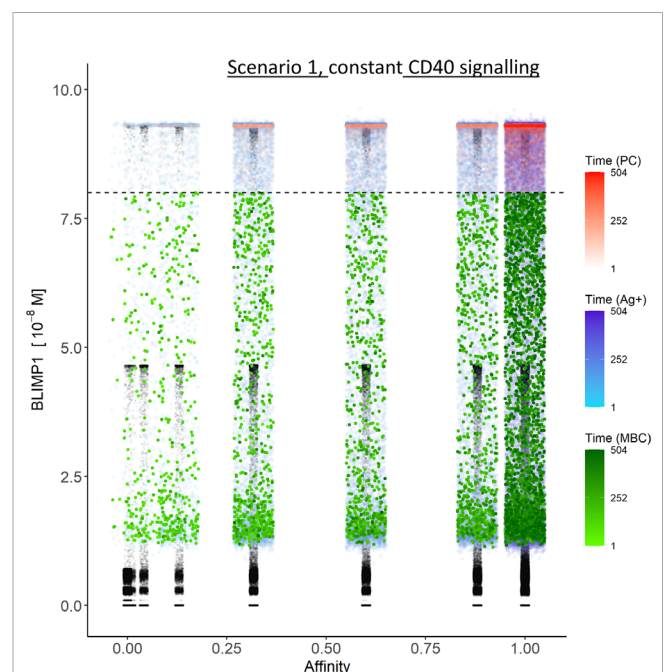


FIGURE 9 | Distribution of PCs, MBCs, and Ag+ (but not PC/MBC) cells with respect to their affinity, BLIMP1 level, and time of generation for scenario 2 simulations. Constant CD40 signaling ($CD40 = 10$). For a further description see **Figure 6**.

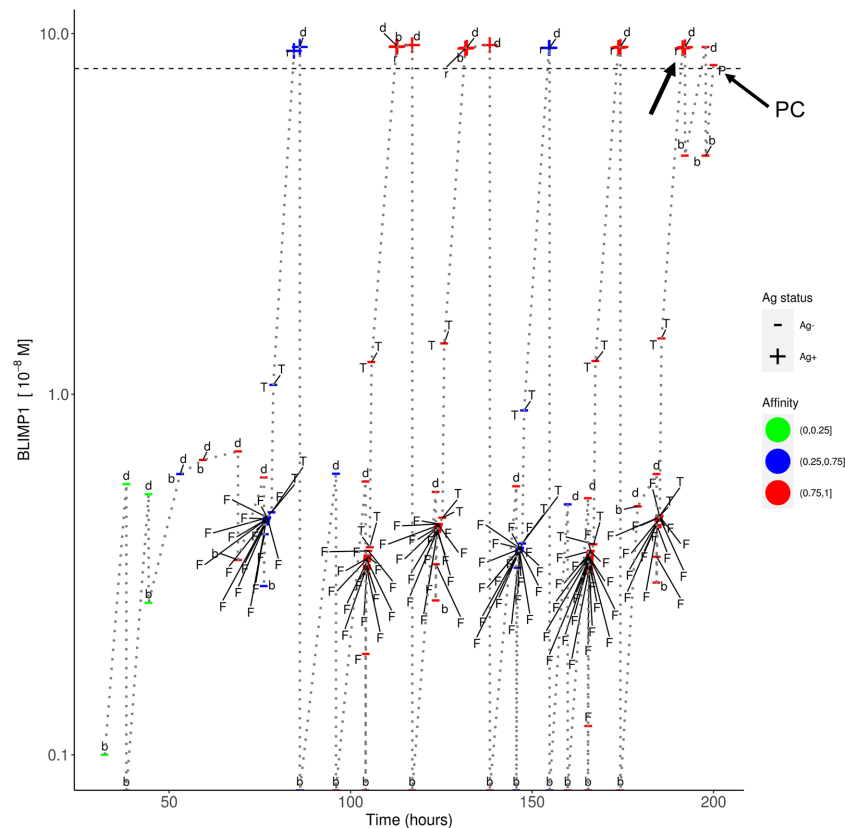


FIGURE 10 | Temporal dynamics of B-cell lineage for scenario 2, affinity-based CD40 signaling (simulation 4). The dotted lines traces the lineage of a single founder B_{cell} entering at the initial phase of the GC reaction up to a PC differentiation event at about 200 h. At each event (d, division; b, born, F, FDC contact; T, Tfh interaction; r, recycle to DZ; P, PC differentiation) the BLIMP1 level, Ag status (Ag+/Ag-), and affinity (low, medium high) is shown. The horizontal dotted line represents the BLIMP1 threshold for PC differentiation. CBs go through one or more cell divisions (d, b) before differentiating to CCs to have interaction with the FDC and Tfh cells. The affinity of the B-cells in this lineage shows an overall increase although SHM may also decrease affinity (red to blue color). Ag- cells are created from asymmetric division. BLIMP1 level varies in time as a result of transcriptional activity and (a)symmetric division. After interaction with a Tfh cell, the BLIMP1 level increases due to CD40 signaling. Asymmetric division may leave the BLIMP1 level unchanged or reduce it to 0. Symmetric division reduces the concentration with 50%. In this particular lineage we observe that a Ag+BLIMP1+ cell (indicated by the arrow) asymmetrically divides resulting in a Ag-BLIMP1- cell, which subsequently increases its BLIMP1 level again in subsequent divisions, and final differentiates to a PC (Ag-BLIMP1+).

In summary, a constant and weak CD40 signaling strength is able to produce MBCs throughout the GC reaction at the expense of PCs and, consequently, no temporal switch is observed.

DISCUSSION

We presented a multiscale computational model integrating cellular and molecular mechanisms, operating at different time scales, to investigate output cell differentiation based on Ag status and/or BLIMP1 level. In this paper we compared these mechanisms for cell-fate determination under various instances of CD40 signaling.

An important insight from our model (simulations 2 and 4) is the observation that regulation of the BLIMP1 level through affinity-dependent but not constant CD40 signaling, results in the occurrence of a temporal transition from MBC to PC output during the GC reaction (19, 45). In addition, simulation 2

showed that the LEDA theory (i.e., a mechanism in which the decision for output cell differentiation is solely based on asymmetric division but not on BLIMP1 level) does not exclude a temporal transition. However, scenario 1 simulations produce BLIMP1+ cells of which approximately 33% are Ag- showing that a decision for differentiation solely based on Ag status is not adequate since this will exclude a large number of BLIMP1+ cells from PC differentiation. Inspection of the PCs (BLIMP1+ cells) of the scenario 2 simulations shows that these are a mixture of Ag+ (~11%) and Ag- (~22%) cells. This also argues against asymmetric inheritance as sole mechanism for PC differentiation. It is known that high affinity GC B cells present more pMHCII molecules to Tfh cells resulting in increased expression of CD40L and hence stronger CD40 signaling which determines cell phenotype (21, 46–49) and results in faster and more cell divisions in the DZ (17, 21, 50, 51).

The lack of experimental data to support our findings is clearly a weakness of our work and complementary experiments

are required to validate the results from our simulations. In particular, we propose experiments to generate data about the (1) average number of PCs and MBCs that leave a single GC during its life time; (2) extend and and/or role of (a)symmetric division of Ag and TFs in relation to cell fate; (3) quantitative relationship between BcR affinity, CD40 signaling strength and BLIMP1 level.

One other apparent weakness of the MSM concerns the definition of MBCs as Ag+BLIMP1[−] cells. Although mechanisms of MBC differentiation are even less understood than for PC differentiation, we needed a route to generate both MBCs and PCs to make the model more realistic. Noticeably, lack of MBCs would have had a (small) effect on the overall GC dynamics. In favor of our approach is the observation that MBCs have indeed low BLIMP1 levels (8) and the observation of a temporal switch with low affinity MBCs and higher affinity PCs. The current definition, however, implies that Ag status (Ag+) is one of the determinants in MBC differentiation and that also MBCs leave the GC through the DZ. However, there is no experimental evidence to support this assumption at this stage. The generation of MBCs could be improved by modeling of the BTB domain and CNC homolog 2 [BACH2; (20, 52)] and the contribution of the CD40 pathway to MBC differentiation. Inclusion of the BACH2 in the GRN is, however, not sufficient as was recently shown in another model (53). One way forward is to model different cell fate (apoptosis, MBC/PC differentiation, and DZ recycling) for different levels of Tfh cell help, and to include MYC, FOXO1, IL-4, and IL-21 [Laidlaw and Cyster (54) *Nat Rev Immunol*; Luo et al. (55) *Immunity*]. However, the work of Krautler and co-workers seems to support the conclusion that Tfh-cell acts *via* signals other than CD40. Moreover, the stochastic selection of low-affinity B cells has been proposed as yet another mechanism to produce MBCs (18, 45, 56) or PCs (56–59).

One assumption in the MSM concerns the asymmetric division of TFs. It has been shown that BCL6 and IRF4 may distribute unequally in daughter CBs after division (44, 60), and it has been hypothesized that this may affect cell fate. To the best of our knowledge, neither symmetric nor asymmetric distribution of BLIMP1 during division has been reported.

Results from our simulations show that approximately 15,000 – 35,000 PCs and 800 MBCs are produced in a single GC reaction corresponding to about 5 – 14% and 0.3% respectively of all GC cells. Although data is available regarding numbers of PCs and MBCs generated spleen and bone marrow during an immune response [e.g., Sugimoto-Ishige et al. (61), Kishi et al. (62) *J. Imm.*, 185, 211, Weisel et al. (19)], these numbers always represent percentages of observed PCs/MBCs from total number of splenic or bone marrow cells, which are impossible to translate to number of output cells from a single GC and, therefore, not directly comparable with our results.

In a recent study, it was shown that both BcR signaling and help from Tfh cells are required for positive selection of CCs, as signaling pathways that emanate from the BcR and CD40 ligation are rewired in GC B cells. In contrast to naïve B cells, GC B cells require both signals to induce the c-Myc transcription factor, which is a critical mediator of GC B-cell survival, cell-cycle reentry, and a marker of positive selection (55). These results indicate that CCs compete for Tfh-cell help in a BcR affinity-dependent fashion.

It also has been proposed that c-Myc⁺Bcl6^{lo}IRF4⁺ cells are most likely PC precursors while Myc⁺Bcl6^{hi}IRF4[−] will recycle to the DZ (7). However, cells with low BCL6 and higher IRF4 or BLIMP1 expression have also been found in the DZ, which supports the recycling model our MSM (13, 21). In support for our model, it has been shown that DZ B cells displayed a more prominent PC gene signature than LZ B cells (12, 13). Similarly, high-affinity LZ B cells showed a strong PC signature including a high expression of IRF4 in high-affinity CCs. Their experiments indicated that PC differentiation is initiated by signals delivered to high-affinity B cells in the LZ with subsequent transition to a late PC phenotype occurring after migration to the DZ.

These and other, sometimes contradicting studies, on MBC and PC differentiation clearly show the need for additional research to unravel mechanisms underlying cell-fate decisions in the GC. Further extensions of our MSM are expected to contribute to this.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

EM, HH, XG, FC, JG, and AK designed the study. MRM designed the GRN. EM, DL, MM-H, and PR implemented the software. EM, DL, and AK carried out the simulation and analyses. All authors were involved in the interpretation of results. JG, HH, and AK supervised the study. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2020.620716/full#supplementary-material>

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Positive Selection in the Light Zone of Germinal Centers

Rinako Nakagawa^{1*†} and Dinis Pedro Calado^{1,2*†}

¹ Immunity and Cancer Laboratory, The Francis Crick Institute, London, United Kingdom, ² Peter Gorer Department of Immunobiology, School of Immunology & Microbial Sciences, King's College London, London, United Kingdom

Germinal centers (GCs) are essential sites for the production of high-affinity antibody secreting plasma cells (PCs) and memory-B cells (MBCs), which form the framework of vaccination. Affinity maturation and permissive selection in GCs are key for the production of PCs and MBCs, respectively. For these purposes, GCs positively select “fit” cells in the light zone of the GC and instructs them for one of three known B cell fates: PCs, MBCs and persistent GC-B cells as dark zone entrants. In this review, we provide an overview of the positive selection process and discuss its mechanisms and how B cell fates are instructed.

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*Correspondence:

Rinako Nakagawa
rinako.nakagawa@crick.ac.uk
Dinis Pedro Calado
dinis.calado@crick.ac.uk

[†]These authors share senior authorship

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INTRODUCTION

Germinal centers (GCs) are sites where antibody affinity for the antigen (Ag) is improved and Ag-activated B cells differentiate, hence they are important for host defense and clearance of exogenous pathogens. This specialized microstructure transiently forms within the B cell follicles of secondary lymphoid organs during the course of T cell-dependent immune responses. The process of increasing antibody affinity is known as affinity maturation (1, 2) and results from somatic hypermutation (SHM) of immunoglobulin (Ig) genes in GC-B cells and clonal selection (3). GCs include two distinct regions, light zone (LZ) and dark zone (DZ) (4). SHM is mediated by activation-induced cytidine deaminase (AID) (5) and occurs in the DZ where GC-B cells extensively proliferate. In the LZ, GC-B cells are selected in an Ag and T cell-dependent manner. LZ-B cells retrieve Ag on follicular dendritic cells (FDCs) that can uniquely retain and display Ag in the form of immune complex (ICs) (6). B cell receptor (BCR) binding of Ag by LZ-B cells results in internalization of BCR-Ag and subsequent presentation of Ag in the form of Ag-specific-peptide-major histocompatibility II (pMHCII), which enables them to receive help from T follicular helper cells (TFHs). These positively selected LZ-B cells induce cMyc, a critical regulator for GC maintenance and proliferation, and cMyc positivity transiently marks “licensed” GC-B cells (7, 8). cMyc⁺ GC-B-cells in the LZ re-start the cell cycle and travel to the DZ for further cell division (7–9). GC-B cells undergo iterative rounds of mutation and selection through a migration cycle between LZ and DZ. Eventually, GC reactions produce high-affinity antibody secreting plasma cells (PCs) and memory-B cells (MBCs). In this review, we summarize and discuss studies illustrating how positive selection of GC-B cells are triggered, what molecular and cellular events that GC-B cells undergo during the process of positive selection, and how B cell fate decisions are coordinated during positive selection.

MECHANISMS BY WHICH GCs POSITIVELY SELECT LZ-B CELLS

Current Models for Affinity-Dependent Positive Selection

In response to signals from BCR engagement and TFHs, a fraction of LZ-B cells are positively selected and results in evasion of apoptosis partially in a microRNA-155-dependent manner (10, 11). cMyc is induced upon positive selection and its expression effectively defines positively selected GC-B cells (7, 8). In the currently favored model, positive selection occurs in an affinity-dependent manner (12, 13). LZ-B cells capture FDC-bound Ags through their BCRs, process and present them in the form of pMHCII and signals downstream of BCR-Ag engagement allow survival. Higher-affinity GC-B cells more effectively receive helper signals from TFHs because they acquire more Ag, present pMHCII at higher levels and thereby induce greater TFH activation, this is in line with studies from early B cell responses *in vivo* (14) and *in vitro* (15). For promoting efficient positive selection, recycling GC-B cells reset their BCRs and MHCII before reentering the LZ (16, 17). Contact duration between cognate T cells and GC-B cells is shorter than that between T cell and Ag-activated B cells before GC formation (12, 18, 19). Moreover, only a limited proportion of T cells in GCs appear to actively interact with GC-B cells that are significantly more numerous than TFHs within the time window of confocal microscopic analysis (12, 18). These observations suggest that interactions between GC-B cells and TFHs are strictly controlled and therefore GC-B cells may compete for cognate T cell help. Together with a mathematical simulation model (20), these findings support that T cells are a limiting factor and positive selection can occur in a T cell-driven selection mechanism (12, 21). This selection mechanism is further supported by studies using a DEC-205-antibody-based Ag delivery approach (22). DEC-205 is an endocytic receptor that is primarily expressed in dendritic cells but also in B cells and directs captured Ag to Ag-processing compartments (23). Administration of Ag coupled to anti-DEC205 antibody allows delivering the Ag to endosomal compartments independently of BCR *via* a DEC205 receptor in GC-B cells (24) and results in greatly enhanced presentation of Ag peptide regardless of the nature of BCR (25). DEC-205-antibody-mediated Ag delivery prolongs interactions between GC-B cells and TFHs (26) and enables GC-B cells to gain more help from TFHs (9, 13, 24). Consequently, transcript levels of cMyc are considerably increased in the DEC205 agent-treated GC-B cells in a dose dependent manner (7, 27). The series of experiments demonstrate that providing strong T cell help to the total GC-B cell population during GC responses greatly potentiates positive selection process and resultant proliferation (24, 27–29). These findings underscore essential roles of T cell help in positive selection. However, a recent report has shown that by interrogating NP-specific GC-B cell responses in MHCII haploinsufficient mice in which both MHCII and pMHCII are halved compared to WT mice, the density of pMHCII is not as critical for selection in established GCs as in naive B cells (30).

This finding suggests that other factors also play a role in an affinity-dependent positive selection, such as BCR signaling.

Strong BCR signaling through soluble Ag binding eliminates Ag-specific GC-B cells by inducing apoptosis predominantly in LZ-B cells within hours of engagement (31–33), thus enhanced BCR signaling is deleterious for GC-B cells. In agreement with this, canonical BCR signaling pathways are attenuated in GC-B cells compared to those in naive B-cells (34–37) due to negative feedback by the activation of negative regulators for BCR signaling in a phosphate and tensin homolog (PTEN)-dependent manner (38). Nonetheless, GC-B cells can transmit PI3K-mediated signaling through BCR in a Syk-dependent manner to restrict the activity of forkhead Box O1 (FoxO1) (39), a critical transcription factor for the DZ transcriptional program (25, 40, 41). This occurs through synaptic interactions between GC-B cells and FDCs in which GC-B cells can respond to membrane-bound Ags more efficiently than naive B cells in an affinity-dependent manner (36, 37, 42). Using an adoptive transfer system, the propagation of donor derived GC-B cells is investigated upon restimulation with sub-saturating T cell help provided by DEC205 agent in the presence or absence of simultaneous Ag injection. Restimulation with Ag in the presence of T cell help enhances GC responses compared to T cell help only (29), suggesting that BCR signaling could potentiate positive selection synergistically with T cell help. Transient BCR signals prime B cells and alter their nature for forthcoming contact with T cells prior to GC formation (43, 44). Similar alterations might occur in GC-B cells upon reception of BCR signals.

Potential Alternative Mechanisms of Permissive Positive Selection and the Beyond.

The permissive environment of GCs confers clonal breadth in MBCs that is effective for viral clearance (45, 46). Recent findings underpin that GCs permit retaining cells with varied affinities for the antigen (47–49). Permissive positive selection cannot be well explained only by affinity-dependent models and there could be an alternative mechanism that is not well understood. We recently identified cMyc⁺ LZ-B cell subpopulations that arise at different times following the reception of positive selection signals. Analysis of the cMyc⁺ LZ-B cell subpopulations revealed that a significantly large fraction of low-affinity cells is initially positively selected, but are mostly outcompeted by more proliferative higher-affinity cells before DZ migration (50). This mechanism partially explains how low-affinity GC-B cells can be retained in GCs because positively selected GC-B cells can avoid apoptosis in GCs (10, 50). In our observations, rather than selecting only higher-affinity cells from the beginning of positive selection, GCs permit selection of low-affinity cells at the beginning of each round of positive selection, thus selection is relatively independent of BCR affinity. This mode of positive selection continues for a period of time during the height of GC reactions (50). However, the differential strength of signals between low-affinity and higher-affinity cells eventually leads to differential proliferation rate of positively selected cells. As a

result, higher-affinity cells proliferate better and are enriched in cMyc⁺ LZ-B cells compared to cMyc⁻ LZ-B cells (7, 50). Following Ag-mediated activation, over time Ag may become more limited with GC-B cells having more competition for antigen-induced survival signals. If GC-B cells are fit enough despite carrying low-affinity BCRs, they could compete effectively for Ag and acquire Ag successfully.

We noted that CD40 expression is elevated in the cMyc⁺ LZ-B cell subpopulation emerging soon after positive selection compared to the cMyc⁻ LZ compartment that contains GC-B cells before positive selection; although this subpopulation largely comprises low-affinity cells at a similar level to that of cMyc⁻ LZ-B cells (50). Increased CD40 expression might allow these GC-B cells to gain more T cell help by these two mechanisms; i) inducible T cell co-stimulator ligand (ICOSL), a ligand for ICOS that is one of the co-stimulatory receptors expressed on TFHs, is induced on GC-B cells through CD40 engagement with CD40L on TFHs and potentiates GC-TFH interactions (51, 52); ii) these cells may have an increased chance of interacting with IL-4 expressing TFHs that express CD40L at a higher level than IL-21/IL-4 expressing TFHs or IL-21 expressing TFHs (53). Alternatively, reduction of the engagement of Herpesvirus entry mediator (HVEM) on GC-B cells with a ligand, B- and T-lymphocyte attenuator (BTLA) on TFHs leads to increased expression of CD40L in TFHs (54). Thus, reduced expression of negative regulators such as HVEM on GC-B cells can also lead to an increased amount of T cell help. The underlying mechanisms for upregulation of CD40 in these GC-B cells are unknown, but they perhaps receive additional signals prior to, or at the initiation of, receiving cMyc-inducing signals to upregulate the molecule. CD40 expression on B cells is shown to be enhanced *in vitro* by B cell-activating factor (BAFF) (55) which can be secreted by FDCs (56, 57). Under the condition that only a limited amount of Ag is available, GC-B cells that successfully acquire Ag could receive survival signals from FDCs *via* contact-based interaction and/or *via* trophic factors independently of BCR affinity. This could provide an advantage for GC-B cells to undergo positive selection [functions of FDCs during GC responses are extensively discussed elsewhere (58–60)]. In such case, modulation of FDCs' functions by other factors, such as Toll-like-receptor (TLR) 4 ligands (61), may play a role in permissive selection.

The concept that higher-affinity cells are favorably selected from the beginning of the positive selection process is supported by *in vitro* microscopic observations that the higher-affinity cells can form more stable contacts with the membrane, better resist the pulling force required to capture Ag without causing cell rupture, transmit stronger BCR signals and acquire a larger amount of Ag to present to T cells compared to low-affinity cells (36, 37, 42, 62). However, the proportion of higher-affinity cells in the cMyc⁺ subpopulation that appears soon after positive selection is similar to that of cMyc⁻ LZ compartment before positive selection (50). This suggests that low-affinity cells can be selected at a comparable level as higher-affinity cells at the beginning of positive selection. The discrepancy could be caused by the method of Ag presentation by FDCs, since multimerized Ag on FDCs can impact the GC selection process (59, 63) and also by the

availability of complement proteins (such as C3d) that are required for bridging the Ag bound to BCR and the BCR coreceptor complex. The BCR co receptor complex consisting of CD19, CD21 (a.k.a. complement receptor type 2, CR2) and CD81 can augment BCR signaling and Ag processing, to lower the Ag threshold and quicken Ag presentation (64–66). Potentially, the differential expression of BCR coreceptor complexes and/or negative regulators such as HVEM on low-affinity cells may allow them to be more competitive with higher-affinity cells by enhancing BCR signaling and T cell help (**Figure 1**). More investigation is required to elucidate mechanisms allowing low-affinity B cells to be positively selected.

Recent reports have shown that DEL-OVA (duck egg lysozyme-ovalbumin) *ex vivo* pulsed DEL-specific HyHEL-10 B cells can join existing GCs elicited by OVA (i.e., DEL has not been deposited on FDCs) and contribute to GC responses at a comparable level to DEL-OVA-immunized recipients (44, 67). In these experiments, the HyHEL-10 B cells that were exposed to DEL-OVA *ex vivo* for 5 min were transferred into recipient mice that had been immunized with OVA for 3 or more days. This may be interpreted as single Ag acquisition by B cells is sufficient for them to participate in GC responses without any further Ag acquisition; alternatively, the HyHEL-10 B cells pulsed for 5 min might somehow deliver un-internalized Ag to FDCs and the deposited Ag may have been used for robust GC responses. It has been controversial whether retention of immune complex (ICs) on FDCs is essential for GC formation and positive selection (68–71), while reports have shown that ICs deposited on FDCs during GC responses contribute to optimum affinity maturation (72–74). Nonetheless, the results using HyHEL10 B cell adoptive transfer system suggest two possibilities; i) GC-B cells can survive and proliferate with very little Ag if B cells have taken up Ag adequately during initial activation; and ii) B cells activated by a dissimilar Ag to the original GC initiating Ag may take over the GC if the newcomer B cells receive cognate T cell help (in this case, transferred DEL-specific B cells can present OVA-peptide and thus can receive T cell help from OVA-specific T cells in OVA-elicited GCs). Inter-GC trafficking of B cells into neighboring GCs is suggested by long-term observations of single GCs using intravital microscopy technique (47). Since GCs are dynamic open structures and TFHs can emigrate into neighboring GCs (75, 76), it is still possible that a GC-B clone recognizing an Ag re-seeds in neighboring GCs whose reactions are elicited by another Ag (or cryptic epitopes) if cognate T cells also migrate. A recent report has shown that GCs elicited by complex Ags somehow permit B cells that do not detect the original Ag (48). Retention of varied clones in GCs may be attained by a combination of inter-GC trafficking and intra-clonal permissive selection.

MOLECULAR EVENTS DURING POSITIVE SELECTION AND B CELL FATE INSTRUCTION

The cMyc⁺ GC-B cell compartment is suggested to be heterogeneous due to differential signal activation (25, 41) and

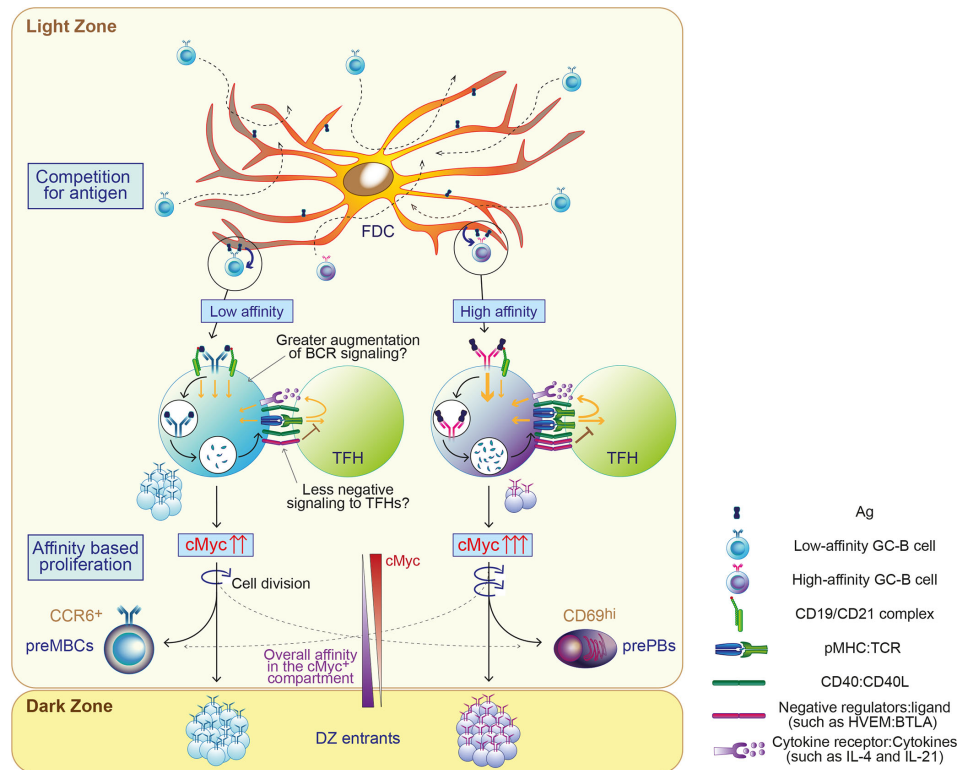


FIGURE 1 | Proposed model of permissive positive selection in GCs. GC-B cells compete for antigen when only limited amount of antigen (Ag) is available. Fit cells retrieve Ag deposited on follicular dendritic cells (FDCs) relatively independently of BCR affinity and receive survival signals from FDCs through contact-based interaction and/or trophic factors. Both high and low-affinity cells process Ag and present differing levels of Ag in the form of peptide-MHCII complex (pMHC) in proportion to its affinity. Low-affinity cells may augment the level of signaling above a threshold with potentially undefined mechanisms, such as favorably augmented signals and/or less negative feedback. GC-B cells received sufficient signals for positive selection proliferate mainly based on their BCR affinity. Their fates are also instructed, generally depending on their BCR affinity. cMyc⁺ GC-B cells divide in the LZ and cMyc expression in GC-B cells is reduced accordingly. The width of the arrows in GC-B cells and TFH depicts the signal strength.

as a result gives rise to heterogeneous populations containing future PBs/PCs, DZ-entrants and future MBCs (24, 50, 77).

Fate 1: Plasmablasts/Plasma Cells (PBs/PCs)

Increased TFH help drives GC-B cells to differentiate into PBs/PCs (24, 29, 77) with enhanced NF- κ B signaling *via* CD40-CD40L ligation (39, 78, 79). Consistent with these findings, PB/PC precursors in GCs defined as cMyc⁺ CD69^{hi} Bcl6^{lo} LZ-B cells express relatively high levels of IRF4 (50, 77) which is a critical transcription factor for PC differentiation and induced by NF- κ B signaling (80). These distinct precursors consist of high-affinity GC-B cells in agreement with previous findings (81, 82) and become detectable soon after positive selection (50, 77). Stable contact between TFHs and GC-B cells as a consequence of greater pMHC presentation in GC-B cells induces Ca²⁺-dependent expression of IL-21 and IL-4 in TFHs (26). Thus, stronger T cell help inducing signals promote PB/PC differentiation from GC-B cells by producing cytokines that support PC differentiation (53). However, some GC-B cells persistently remain as GC-B cells (i.e., become DZ-entrants) instead of differentiating into PBs/PCs upon receiving

exogenous strong T cell help by DEC205 agent (24, 28), suggesting that there is still a missing component for the PB/PC fate instruction other than TFH help. Recent reports have shown that signaling induced by Ag-BCR engagement contributes to PB/PC differentiation from GC-B cells (29, 44, 81, 83, 84). Strong BCR signaling in conjunction with CD40 signaling can upregulate IRF4 by degrading the E3 ubiquitin ligases Cbl that ubiquitinates IRF4 for degradation in GC-B cells (84). PB/PC output is largely influenced by Ag valency that reduces Ag affinity threshold in extrafollicular PC responses (85, 86), thus multivalent Ag presentation on FDCs may also play a role in PB/PC differentiation from GC-B cells.

Fate 2: Memory-B Cells (MBCs)

In contrast to PBs/PCs, MBC precursors predominantly contain lower-affinity cells and appear to require only minimal amount of help from TFHs (87, 88). Together with the observations that MBC precursors are relatively quiescent (87–89), it is broadly assumed that MBCs arise from “non-positively-selected” LZ-B-cells. However, this concept cannot explain these three points; i) how the specificity of the BCR can be checked before positive selection, ii) how cell survival can be assured without positive

selection, and iii) how high-affinity clones can be selected for MBC differentiation from a pool of GC-B cells with varied affinity. To understand the origin of MBCs, extensive single cell transcriptomic analysis identified a preMBC subset in mouse spleens (90) and human tonsils (91). These preMBC subsets are characterized by the expression of *Ccr6* (90, 91) as expected from a previous report (88). Unexpectedly the mouse preMBC subset has relatively higher *Mki67* expression than MBC subsets (90) and the human preMBC subset exhibits a similar level of *Myc* expression to subsets containing positively selected cells (91), which is counter-intuitive considering the relatively quiescent nature of MBC precursors as previously reported (87–89). These findings suggest that CCR6⁺ preMBCs could receive sufficient signals from TFHs to induce cMyc and divide before fully differentiating into MBCs. In agreement with these findings, we identified MBC precursors within cMyc⁺ positively selected GC-B cells that are less proliferative than other cMyc⁺ LZ-B cells but still dividing (50). Stronger TFH help induces differentiation of CD80^{hi} MBCs whose Ig genes accumulate mutations compared to those of CD80^{lo} MBCs (92, 93), and resultantly CD80^{hi} MBCs comprise relatively high-affinity clones (93). A very small fraction of high-affinity cells within the cMyc⁺ MBC precursor subpopulation (defined as cMyc⁺ CD23^{hi} CCR6⁺ LZ-B cells) (50) might differentiate into CD80^{hi} MBCs following reception of robust T cell help. For the MBC fate instruction, interplay between transcription factors exerts functions that regulate MBC differentiation from cMyc⁺ GC-B cells. Myc-interacting zinc finger protein-1 (MIZ-1) is expressed in most cMyc⁺ LZ-B cells and the transcriptional activator Miz-1 switches to a transcriptional repressor upon cMyc binding (94, 95). The cMyc/Miz-1 complex represses Miz-1 target genes and results in restricting positively selected GC-B cells from forming MBCs to favor GC-B cell fate as DZ-entrants (94). A transcription factor, hematopoietically-expressed homeobox protein (Hhex) that is expressed in MBC precursors and promotes MBC differentiation (50, 90) can interact with cMyc to decrease its activity, including cell proliferation and metabolism in tumors (96). Reception of differential signals for positive selection induces these transcription factors at varied levels in positively selected GC-B cells (97), which most likely play a part in MBC differentiation. However, the overall nature of the signaling network for transition from GC-B cell to MBCs remains unknown.

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Fate 3: DZ Entrants

The remaining positively selected LZ-B cells other than cells instructed to PB/PC and MBC fates transit to the DZ for further proliferation as DZ-entrants. BCR signals downregulate FoxO1 and cyclin D3, which are essential for maintenance and proliferation of DZ-B cells, respectively (25, 39–41, 98, 99). Strongly induced cMyc expression in positively selected LZ-B cells in turn activates activating enhancer binding protein 4 (AP-4) that contribute to the induction of cyclin D3 (100). Hence, positively selected cells are likely to turn on the DZ-proliferation program when BCR-induced signals are weakened in the LZ, which is concordant with previous observations about the co-expression of FoxO1 and/or cyclin D3 together with cMyc in positively selected LZ-B cells (41, 98, 100).

CONCLUDING REMARKS

Clonal breadth achieved by permissive selection is particularly useful for protection from viruses that constantly mutate. Understanding the underlying mechanisms of permissive selection followed by B cell differentiation will guide vaccine design and improve their efficacy in the future.

AUTHOR CONTRIBUTIONS

RN and DC wrote the manuscript. All authors contributed to the article and approved the submitted version.

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Compartments and Connections Within the Germinal Center

Domenick E. Kennedy^{*†} and Marcus R. Clark^{*}

Gwen Knapp Center for Lupus and Immunology Research, Section of Rheumatology, Department of Medicine, University of Chicago, Chicago, IL, United States

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*Correspondence:

Marcus R. Clark
mclark@uchicago.edu
Domenick E. Kennedy
domenick.kennedy@abbvie.com

†Present address:

Domenick E. Kennedy,
Drug Discovery Science and
Technology, AbbVie, North Chicago,
IL, United States

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Protective high affinity antibody responses emerge through an orchestrated developmental process that occurs in germinal centers (GCs). While GCs have been appreciated since 1930, a wealth of recent progress provides new insights into the molecular and cellular dynamics governing humoral immunity. In this review, we highlight advances that demonstrate that fundamental GC B cell function, selection, proliferation and SHM occur within distinct cell states. The resulting new model provides new opportunities to understand the evolution of immunity in infectious, autoimmune and neoplastic diseases.

Keywords: B cell, germinal center, transcription, epigenetics, T cell help, tingible body macrophage, somatic hypermutation, affinity maturation

INTRODUCTION

Since the histologic identification in 1930, almost a century of investigation has revealed the central importance of germinal centers (GCs) in humoral immunity (1). Fundamental to GC function is the orchestration of the molecular programs of immunoglobulin gene somatic hypermutation (SHM), selection for antibody affinity and specificity, and proliferative expansion of selected cells. Within the GC, these processes are coordinated with remarkable rapidity such that a B cell transits through these processes in four to six hours allowing for numerous rounds of selection and immune amplification during the course of a typical acute GC reaction of 14 to 21 days (2, 3). From the GC circuit both plasma cells (PCs) and memory B cells (MBCs) are produced ensuring both acute and durable antigen-specific immunity.

The importance of GCs in infection and vaccine responses has been demonstrated in numerous studies (3–5). However, the molecular nature of the GC incurs risk. One risk is that, through stochastic SHM, autoreactive and potentially pathogenic antibodies arise. However, elegant studies have demonstrated that GCs strongly select against autoreactivity (6). Indeed, selection against autoreactivity appears to have primacy over selection for affinity. The mechanisms by which GCs purge the antigen-selected repertoire of autoreactivity remain unclear.

The other risk of ongoing SHM and proliferation is neoplastic transformation. The GC B cell molecular program establishes a state that promotes survival in the presence of increased genomic stress (7, 8). This both enables SHM and increases the risk of lymphoma (9, 10). Indeed, GC B cells are precursors for large B cell lymphoma, follicular lymphoma, and Burkitt lymphoma (11, 12). Transformation has been linked to off-target AID activity (13). Studies in humans and mice have revealed multiple genetic, epigenetic and signaling mechanisms that individually mitigate the risk of neoplastic transformation inherent to the GC response. Recent studies are providing insights into how these mechanisms are coordinated with those that drive affinity maturation (14–16).

In this review, we will discuss the current understanding of how SHM, selection and proliferation are coordinated within the GC. We will first discuss the cellular architecture of the GC and then what is known about underlying molecular programs. Finally, we will propose a model that segregates fundamental GC molecular functions into separate cell states and niches in a way that enables effective adaptive immunity and mitigates the risks of neoplastic transformation.

GC CELLULAR EVOLUTION

The initial histological description of GCs noted characteristic dark and light zones (DZ and LZ respectively). Live cell imaging, and other complementary approaches, have provided remarkable insights into how GCs form and polarize into these two zones that perform very different functions. However, as described below, it has become clear that simple division of cells into DZ and LZ populations obscures underlying molecular GC functions.

Germinal Center Initiation

Activation of naïve B cells in primary follicles induces migration to interfollicular areas and conjugates with antigen-specific T cells. B cells then present antigens to T cells and receive help through CD40-CD40L signals that promote B cell survival (5, 17, 18). Based on intravital microscopy experiments, these B-T cell conjugates can be found within a day after immunization (19, 20). NF- κ B signaling is critical downstream of BCR activation to form GCs (21). However, while GCs do not form in mice with impaired NF- κ B signaling, responding B cells are still able to migrate to the B-T cell border and present antigen to T cells. Instead, NF- κ B signaling regulates expression of the transcription factors (TFs) IRF4 and BCL6, which are critical for both the GC and PC developmental programs (18, 20, 22, 23).

The formation of B-T cell conjugates is followed by T cells entering the B cell follicle on day 3. Subsequently, on day 4, B cells re-enter the B cell follicle and proliferate to form early GCs (18–20). Contrary to these distinct cellular events, the molecular regulation underlying the early events after antigen encounter is still being defined. Recent studies suggest there are multiple pre-GC B cell states leading up to commitment to the GC B cell program (24). Some of these are controlled by the transcriptional repressor BCL6 (20, 25). BCL6 is upregulated in the outer follicle after antigen encounter and is important for forming B-T cell conjugates prior to GC commitment (20). Within early and mature GCs, BCL6 controls B cell positioning within the B cell follicle (20, 23, 26–28). It also enhances GC B cell proliferation by making them more tolerant of DNA damage (7, 8). Ultimately, BCL6 drives the GC B cell program and prevents PC differentiation through inhibition of *Prdm1* (BLIMP) (29).

Recent studies further reinforce the importance of BCL6 in GC B cell commitment. By modulating the amount of Bcl6 expression in transgenic mice, one study found that Bcl6^{hi} B cells responding to immunization were more likely to commit to the GC program (30). In complementary studies, Zhang et al. found

that while T-B cell conjugates are important to generate GC B cell precursors, increasing the time of T-B cell conjugates or CD40 signaling reduces progression to a Bcl6^{hi} state and favors plasmablast (PB) differentiation in the extrafollicular region (24).

Class Switch Recombination Occurs During the GC Initiation Phase

In 2019, it was discovered that class switch recombination (CSR), the process by which B cells perform DNA rearrangements at the heavy chain locus to replace IgM and IgD, for IgA, IgG, or IgE, occurs during the GC initiation phase (31). Most studies on CSR have been performed *in vitro* and focused on the molecular mechanism, reviewed (32). However, strong evidence for when CSR occurs *in vivo* was lacking. Through a combination of imaging and molecular experiments Roco et al. found that CSR occurs in the first few days after activation and prior to GC commitment (31). Evidence for this included the observation of predominantly IgM⁺ GCs as well as the visualization of CSR prior to mature GC formation. This study resolved a critical question in the field placing CSR in the early events during GC initiation, and validated earlier evidence that CSR might occur prior to mature GCs (33, 34). The transcriptional states associated with CSR have now been resolved at the single cell level, further accelerating our understanding of early events in the GC reaction (35).

Mature GC Cellular Dynamics

By day 4 after immunization, GCs precursors begin to expand and polarize to form LZ and DZ areas by day 7 (18, 36). The LZ contains more sparse populations of B cells that capture antigen from follicular dendritic cells (FDCs) and receive help from cognate T follicular helper (T_{FH}) cells (37). B cells in the LZ are selected based on their competency to present antigen to T_{FH} cells as well as BCR signal strength (38–40). These B cell interactions with T_{FH} cells guide the major known GC fates which include cyclic re-entry, cell death as well as PC and MBC differentiation (37). Tingible body macrophages (TBMs), which lie within the DZ, clear dying B cells and thereby likely prevent inflammation and autoimmunity (37, 41).

A wealth of data assign both proliferation and somatic hypermutation to the DZ (3, 18, 37). However, genomic mutation, and the attendant genotoxic stress are incompatible and indeed antagonistic to proliferation. It is possible that mechanisms intrinsic to genotoxic stress, such as the sensing of DNA breaks by p53, segregate proliferation from SHM within the DZ (42). However, as discussed below, these incompatible processes occur in different cell populations each occupying a unique niche within the GC.

A Paradox

An extensive body of literature has revealed that the LZ and DZ perform very different functions and that this is associated with great molecular complexity. However, when LZ (CD83^{hi}CXCR4^{low}) and DZ (CD83^{lo}CXCR4^{hi}) cells are isolated and characterized for RNA expression and genomic accessibility, they are remarkably similar (14, 38, 43). Taken at face value, these data suggest that primarily post-transcriptional

mechanisms regulate cycling through the dark and light zones. This seems attractive as it could provide for very rapid cell fate transitions. Alternatively, it is possible that simply dividing GC B cells into two populations obscures important underlying molecular dynamics.

There are data supporting the latter possibility. In addition to driving affinity maturation, the GC selects for differentiation into both PCs and MBCs. Precursors of these populations must exist in the GC and, indeed, some have been identified (16, 44, 45). However, these populations are not observed upon simple division of the GC into two populations.

Given the rapidity of the GC cycle, it is also possible that B cells are always in transition (46). This is suggested by available single cell RNA-Seq studies, where GC B cells do not resolve into discrete cell populations (14–16). However, some cell fate decisions are discrete and are associated with definitive checkpoints. A cell either undergoes mitosis or it does not. Likewise for apoptosis. It is possible that SHM occurs along a gradient of cell states. However, we would argue that such a strategy would incur unnecessary genomic risk. Furthermore, during B lymphopoiesis genomic recombination and proliferation are segregated into very different cell populations (47, 48). As described below, this strategy is recapitulated in the GC.

Division of the DZ Into Two Discrete Cell States

The canonical DZ encompasses a gradient of CXCR4 and CD83 surface expression. Differing levels of CXCR4 raises the possibility that these cells could occupy different niches within the DZ and therefore be imbued with different functions. Remarkably, when we split the DZ into two populations (CXCR4^{hi}CD83⁺ and CXCR4^{hi}CD83⁻), and therefore GC B cells into three populations, there were striking differences in RNA and protein expression (14). It became clear that proliferation was restricted to the CXCR4^{hi}CD83⁺ population. More specifically, these cells were the site of mitosis marked by increased cell size, enrichment of cells in G2/M and high expression of the mitotic factor Cyclin B1. Previous work has indicated that GC B cells can transit the G1/S checkpoint in any compartment (49, 50). Our data indicate that mitosis occurs in a discrete cell state, which we refer to as proliferative DZ cells or DZp cells.

Conversely, CXCR4^{hi}CD83⁻ cells have features of cells undergoing SHM. These include high expression of *Aicda* and genotoxic stress genes as well as induced phosphoproteomic pathways of DNA damage response. These cells were also in the G1 phase of the cell cycle where AID activity is known to occur (14, 51). We refer to this DZ subset as DZd for DZ differentiation. While immunoglobulin gene transcription, which is necessary for AID targeting (52), is induced in DZd, it was effectively repressed in DZp cells. These and other data indicate that both positive and repressive mechanisms ensure segregation of mitosis and SHM into different cell states.

Comparison across RNA expression and proteomic data sets indicated a cyclic progression in which cells selected in the LZ

transit to the DZp for mitosis and then to the DZd for SHM (14). These data also revealed how the molecular programs in one cell state primes for functions in the next. *Myc* provides an example. The *Myc* locus displayed increased genome accessibility and transcription in LZ B cells compared to the DZ populations. However, phosphorylated MYC protein was observed in LZ and DZp B cells, with the downstream MYC program uniquely high in DZp cells.

These data, in conjunction with scRNA-Seq data (14, 15) indicate that expression across each stage is dynamic and that the initiation of transcriptional programs rapidly induces proteomic and functional programs as cells transit through each stage. Overall, these data help explain the rapidity of the GC cycle (2, 3, 38, 50, 53–57).

Remarkably, ATAC-Seq of the LZ, DZp and DZd revealed enhancer accessibility was also very dynamic across the three cell states (14). These differences were not only quantitative but also qualitative with characteristic TF binding motifs becoming accessible in each GC B cell state. In fact, the most enriched TF binding motifs found in each subpopulation were for TFs known to have importance within the GC. These included CTCF in the DZd, OCT2 in the DZp, and SpiB and PU.1 in the LZ (58–62). OCT2 is required for GC B cell proliferation and is dysregulated in B cell lymphomas (62). While OCT2 binding motifs were enriched in DZp accessible regions, *Oct2* expression did not change between subsets. Instead, expression of *Pou2af1* (binding partner of OCT2) was increased in DZp cells (14, 63–65). These data suggest that epigenetic mechanisms play important and complementary roles to TFs in regulating GC B cell fate. We propose that, by mechanisms yet to be defined, GC B cells integrate regulatory mechanisms across the whole vertical pathway of protein expression to affect rapid cell fate decisions.

A New GC Model

Our analysis revealed several markers of the DZp population that allowed identification of distinct clusters of DZp cells within the larger DZd pool (14). These clusters did not arise solely from clonal proliferative expansion. Rather, cells appear to migrate to these DZp niches to undergo mitosis. Why would mitosis occur in a distinct niche? Subsequent analysis demonstrated that DZp cells are intimately intertwined with TBMs. Furthermore, within these cellular aggregates are GC DZp cells that are apoptotic and appear to be undergoing engulfment by TBMs. Integration of these and molecular data suggests a new model of GC compartmentalization (**Figure 1**).

As well described, selection for antigen receptor affinity occurs within the LZ with coordinated collaboration between GC LZ B cells, FDCs and T_{FH} cells (**Figure 1A**). Interactions between LZ B cells and T_{FH} cells are critical to determine GC B cell fate. Our data suggest that those LZ B cells that have been successively selected, and also those that have not, migrate to the DZp niche (**Figure 1B**). Those fated for apoptosis are then eliminated by TBMs, which are the principal macrophage cell population within the GC (37, 66). The mechanisms by which TBMs identify dying B cells is not fully understood. However, it is known that FDCs secrete a molecule called MFGE8, which labels apoptotic cells for phagocytosis (67, 68). This labeling

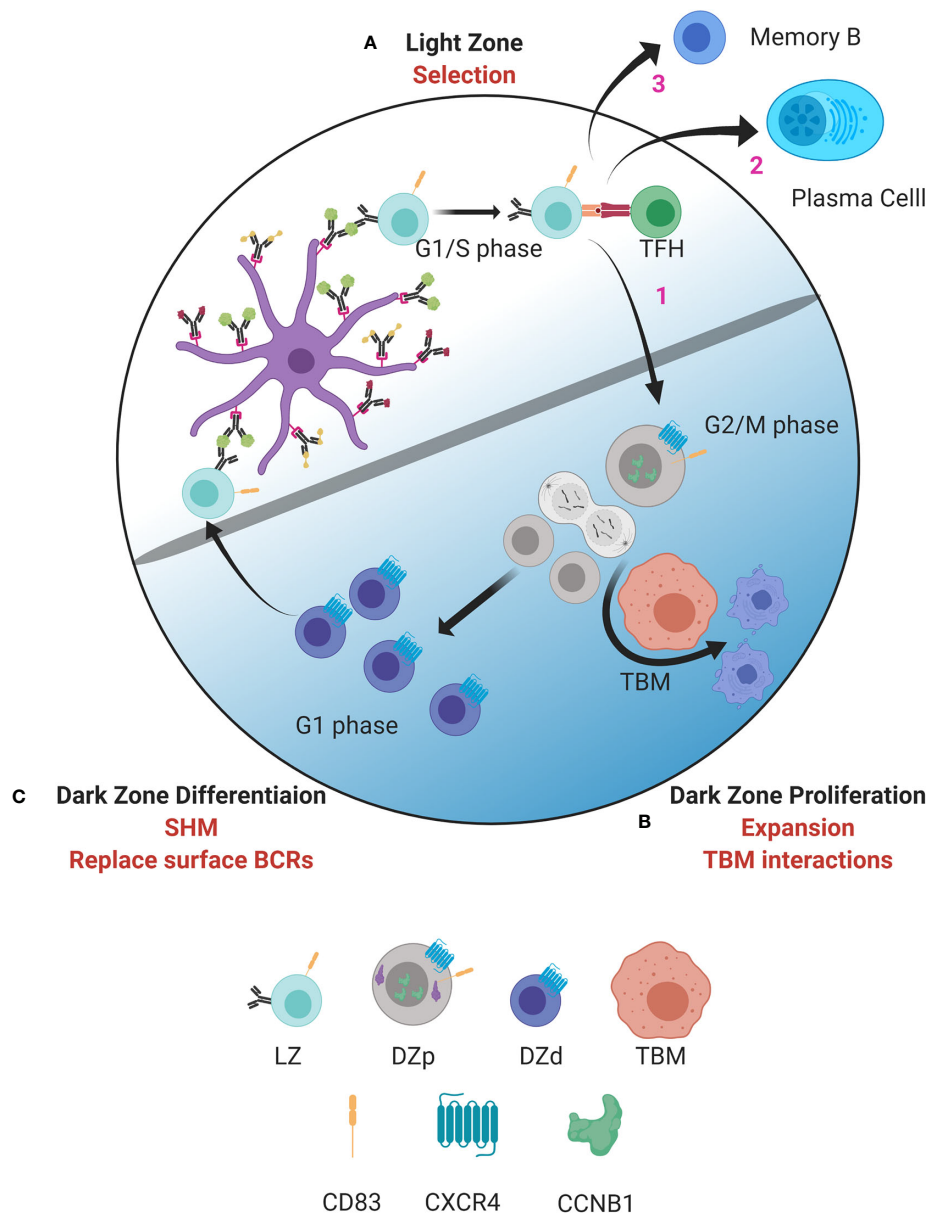


FIGURE 1 | Model of germinal center dynamics and compartmentalization. GC B cells progress through a series of molecular states compartmentalizing key functions to distinct spatial niches. **(A)** GC B cell selection occurs in the LZ. B cells entering the LZ from the DZd first attempt to capture antigen deposited on follicular dendritic cells (FDC). This is followed by antigen processing and presentation to T_{FH} cells in the context of MHC class II. Interactions between LZ B cells and T_{FH} determine if B cells are fated to differentiate into MBCs or PBs, undergo cyclic re-entry into the DZp, or initiate apoptosis. Cells selected for cyclic re-entry migrate to the DZp **(B)**. We propose that B cells that initiate apoptosis in the LZ are cleared by TBMs in the DZp niche. Those cells that are successfully selected in the LZ, and therefore are not cleared by TBMs, undergo mitosis. **(C)** After one or more rounds of cell division, GC B cells transit to the DZd compartment where differentiative functions, such as SHM and replacing old BCRs with newly mutated BCRs, are proposed to occur. B cells that successfully complete processes in the DZd migrate to the LZ to undergo selection. Figure created with BioRender.com.

occurs by binding phosphatidylserine that is externalized on the surface of cells undergoing apoptosis (69). Recently, a molecule called decay accelerating factor (DAF) has also been proposed to regulate GC B cell phagocytosis (70). Such signals likely help TBMs distinguish between healthy B cells and B cells undergoing apoptosis. We propose that B cells that have been successfully

selected in the LZ, and are not cleared by TBMs, can then undergo mitosis.

Why would clearance of apoptotic cells be coordinated with mitosis? Apoptosis is the usual pathway of GC cell death (37). However, when apoptotic cells attempt division it can result in mitotic catastrophe and necrosis (71). Therefore, by positioning

TBMs within the DZp niche, necrosis, and the attendant inflammation, would be prevented. Many factors affect the G1/S checkpoint and the decision to initiate proliferation (72). Our data suggest that the main quality check for cell cycle progression is at G2/M.

One of the main controllers of proliferation within the DZp is Myc. B cells selected for cyclic re-entry typically divide on average twice in the DZ (49, 50). The number of divisions is dependent on the strength of signal received from T_{FH} cells. This, in turn, correlates with Myc protein levels implicating Myc in controlling the extent of proliferation (73). In this model, selection in the LZ determines the magnitude of Myc expression. Proliferation then continues until Myc levels are sufficiently diluted by cell division (73–75).

Upon completing one or more rounds of mitosis, GC B cells transition to the DZd stage (Figure 1C) where cell cycle exit is coordinated with induction of immunoglobulin gene transcription, which is required for AID targeting. Furthermore, dissolution of the nuclear membrane, as occurs when cells undergo mitosis, facilitates AID entering the nucleus (51). These mechanisms are predicted to restrict AID-mediated SHM to DZd cells. Indeed, these cells bear features of genotoxic stress and DNA repair associated with SHM (14). Our findings are consistent with studies suggesting that SHM occur in G1 phase cells (51, 76–79). Therefore, proliferation and SHM appear to occur in sequential and mutually exclusive cell states, DZp and DZd respectively, that ensure cells have exited cell cycle before initiating SHM. In this way, the attendant risks of gene mutation are mitigated.

B cells undergoing SHM-associated DNA damage and repair undergo an additional checkpoint prior to transit to the LZ. Upon completion of SHM, GC B cells replace old surface BCRs with the newly mutated BCR (80). B cells that generated nonfunctional BCRs due to SHM are fated for apoptosis prior to LZ entry. Thus, there are two levels of BCR selection per GC cycle, structural competency followed by relative affinity. We would postulate that those cells that express an incompetent BCR in the DZd might undergo retrograde GC cycling to be cleared by TBMs, the only known macrophage resident in the DZ (37, 66).

In our model, cells transit from the DZd to LZ without intervening proliferation. Therefore, only mutations that immediately arise on the DNA coding strand would be selected. Mutations on the non-coding strand must also be selected. However for these mutations to be selected, we predict that must arise during the preceding GC cycle and previous transit through the DZd. This would allow these single stranded mutations to be “fixed” by DNA replication in the DZp and therefore become “visible” for subsequent selection in the LZ. Alternatively, it is possible that a minor fraction of DZd cells migrate back to the DZp for proliferation and fixing of non-coding strand mutations.

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Selection in the LZ Also Determines Differentiation to PC and MB Cell Fates

In addition to cyclic re-entry into the DZ, LZ B cell interactions with T_{FH} govern GC exit into the PB or MBC fates (18, 81–83). While the LZ population that induces Myc contains cells destined for cyclic re-entry, this B cell pool also contains PB and MBC precursors (45, 84). PB precursors are BCL6^{lo}CD69^{hi}IRF4⁺ and express high-affinity BCRs (38, 45, 85). Commitment to the PC fate is associated with stable B: T_{FH} cell conjugates suggesting strong T cell help instructs PC differentiation (45).

In contrast MBCs develop from low-affinity B cell clones that receive low strength signals from T_{FH} (5, 86–88). Weak T cell help also results in low Myc and mTORC1 activation, which also predisposes to differentiation into MBCs (44). Overall, these studies indicate that high affinity BCRs and strong T_{FH} interactions predispose to PC differentiation while low affinity BCRs, and poor T cell help, leads to differentiation into MBCs.

CONCLUSION

Here we provide a three-compartment model that segregates each fundamental GC B cell function, selection, proliferation and SHM, into distinct, separate cell states. This both mitigates the risk of SHM and allows coordination of molecular processes specific to each function. Furthermore, analysis of these three populations reveal just how molecularly dynamic the GC subsets are with large differences in genomic accessibility, transcription, protein expression and protein phosphorylation across each cell state. Therefore, it is likely that many regulatory mechanisms vertically integrate across the biosynthetic pathway to both drive and maintain the integrity of the GC response. Understanding these mechanisms, and how they integrate to regulate GCs, will provide opportunities to better treat a wide breadth of infectious, autoimmune and neoplastic diseases.

AUTHOR CONTRIBUTIONS

DK and MC wrote and edited the manuscript. All authors contributed to the article and approved the submitted version.

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microRNA Fine-Tuning of the Germinal Center Response

Teresa Fuertes¹, Irene Salgado² and Virginia G. de Yébenes^{2,3*}

¹ B Lymphocyte Biology Lab, Centro Nacional de Investigaciones Cardiovasculares, Madrid, Spain, ² Department of Immunology, Ophthalmology and ENT, Universidad Complutense de Madrid School of Medicine, Madrid, Spain,

³ Inmunología Linfocitaria Lab, Hospital 12 de Octubre Health Research Institute (imas12), Madrid, Spain

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*Correspondence:

Virginia G. de Yébenes
vgarciay@ucm.es

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Germinal centers (GCs) are complex multicellular structures in which antigen-specific B cells undergo the molecular remodeling that enables the generation of high-affinity antibodies and the differentiation programs that lead to the generation of plasma-antibody-secreting cells and memory B cells. These reactions are tightly controlled by a variety of mechanisms, including the post-transcriptional control of gene expression by microRNAs (miRNAs). Through the development of animal models with B cell-specific modified miRNA expression, we have contributed to the understanding of the role of miRNAs in the regulation of GC responses and in B cell neoplasia. Here, we review recent advances in the understanding of the role of miRNAs in the regulation of B cell and T follicular helper physiology during the GC response and in the diseases associated to GC response dysregulation.

Keywords: microRNA, germinal center, antibodies, autoimmunity, neoplasia

INTRODUCTION

The germinal center (GC) response is a key B lymphocyte maturation and differentiation program essential for the generation of competent protective immunity. The GC response is initiated in mature B lymphocytes after antigen encounter and leads to the generation of memory B cells and plasma antibody-secreting cells that produce antibodies with high antigen affinity and with different immunoglobulin (Ig) isotypes, conferring the Ig molecule with the ability to orchestrate different immune effector responses (1, 2). At the molecular level, these reactions are initiated by the activity of activation induced deaminase (AID), an enzyme that deaminates cytosines in the Ig genes, triggering somatic hypermutation (SHM) and class switch recombination (CSR), processes respectively responsible for the changes in affinity and isotype in the Ig genes. At the cellular level, initiation of the GC reaction requires the cognate interaction of antigen-activated B-lymphocytes with a specialized subset of GC T CD4 cells, the follicular T helper (Tfh) cells. Tfh-GC B cell interactions are dependent on a number of molecule interactions that signal for full B and Tfh cell differentiation together with cellular localization in follicles. These interactions include T-cell receptor recognition of B cell peptide-MHC complexes as well as CD40 and ICOS ligand co-receptor interactions (3). Developing Tfh and B GC cells are influenced by changing cytokine, chemokine and cellular environments through the induction of specific transcriptional programs (4). Gene transcription in Tfh and B cells is regulated by key GC transcription factors such as BCL6, as well as by RNA-binding proteins and microRNAs (miRNAs) (3, 5). miRNAs are small non-coding RNA molecules that drive post-transcriptional negative regulation of gene expression by

promoting the degradation or translational blockade of partially complementary target mRNAs. Mature miRNAs are 21–24-nucleotide RNA molecules processed from longer RNA precursors in two consecutive cleavage steps mediated by the RNase III enzymes Drosha and Dicer (6). Ablation of miRNAs in miRNA-processing-enzyme deletion knockout models has demonstrated that miRNAs play essential roles in diverse developmental, cellular, and physiological processes (7, 8). miRNAs fine-tune cellular gene expression networks and have emerged as essential regulators of GC differentiation responses.

miRNAs IN PHYSIOLOGICAL GC REGULATION

Studies of global miRNA depletion in GC B and T cell-specific models showed that miRNAs are essential for proper GC formation (9, 10). Dicer-mediated miRNA depletion after AID expression in early activated GC B cells impaired the production of high-affinity class-switched antibodies and the generation of memory B and long-lived plasma cells after T cell-dependent immunization due to defects in B cell proliferation and survival (9). Likewise, DGCR8-Drosha complex-mediated miRNA depletion in CD4 T cells showed that CD4 T cell-expressed miRNAs are essential for the differentiation of Tfh cells and the induction of GC B cells during T cell-dependent immunizations (10). Interestingly, miRNAs are not only required to regulate Tfh and GC B cell function in a cell intrinsic manner, but are also important contact-independent mediators of T-B cellular communication (**Figure 1**). This communication occurs through the transfer to B cells of a restricted set of T cell-derived miRNAs in extracellular vesicles and modulates the efficiency of GC generation and antibody secretion in response to immunization (11).

miRNAs in the Regulation of B Cells in the GC

The most extensively studied GC B cell miRNA is miR-155, whose expression is upregulated after mature B cell activation and in GC B cells (12–15). Infection of miR-155-deficient mice with pathogenic bacteria showed that miR-155 expression is required to control pathogen-induced disease (16). Characterization of the response to T cell-dependent immunizations in miR-155^{-/-} loss-of-function and miR-155^{KI} gain-of-function mouse models revealed that miR-155 expression is required for efficient adaptive immune responses, including the generation of GC B cells and the secretion of antigen-specific antibodies (12, 16). miR-155 is a positive regulator of the GC response, and deficiency in miR-155 expression leads to reduced cytokine production, IgG1 secretion, impaired affinity maturation, and plasmablast B cell generation in a B cell autonomous manner (12, 17, 18). miR-155 controls affinity-based selection, at least in part, by protecting light zone (LZ) GC c-MYC⁺ B cells from apoptosis (19).

Transcriptome studies showed that miR-155 regulates the expression of numerous mRNAs in B cells (17, 18), although the

functional consequences of miR-155-dependent mRNA regulation in GC B cells has been characterized for only a few miR-155 targets. The transcription factor PU.1 is a direct miR-155 target implicated in miR-155 mediated effects on CSR (17). PU.1 is encoded by *Sfp1*, and the consequences of disrupting miR-155–*Sfp1* mRNA interaction *in vivo* were determined by generating knock-in mice with a mutation in the miR-155 recognition site in the *Sfp1* mRNA 3'UTR. miR-155-mediated PU.1 post-transcriptional regulation was shown to be required for efficient terminal plasma B cell differentiation and antigen-specific immunoglobulin (Ig) secretion through the downregulation of *Pax5* expression and genes involved in adhesion and B-T cell interactions (20).

The other well characterized miR-155 target in GC B cells is activation-induced deaminase (AID), the enzyme responsible for the molecular remodeling of Igs in the GC. Knock-in mice with a disruption of the miR-155 recognition site in the *Aicda* mRNA 3'UTR demonstrated that miR-155 expression in GC B cells is needed to limit AID expression, allow proper affinity maturation, and restrict oncogenic AID-mediated MYC-IgH chromosomal translocations (21, 22). GC tolerance of DNA damage is multilayered and temporally regulated (23), and miR-155 expression is in turn limited by the expression of BCL6 (24, 25), an important transcriptional regulator and proto-oncogene that inhibits the DNA damage response in GC B cells (26). In addition, miR-155 negatively regulates the expression of *Socs1*, a P53 activator important for the DNA damage response (27). miR-155 thus plays a dual role in modulating the accumulation of DNA double-strand breaks (DSB) associated with the GC reaction, regulating P53 activity by controlling the expression levels of *Aicda* and *Socs1*.

AID expression is directly regulated in B cells by yet other miRNAs in B cells. miR-361 is another BCL6-downregulated miRNA that targets *Aicda*, presumably in light-zone GC B cells (25). miR-181b, which is highly expressed in mature resting B cells and whose expression diminishes upon B cell activation, targets *Aicda* directly through the binding of several partly complementary sequences found in its mRNA 3'UTR (28). Thus, AID levels are controlled by different miRNAs at different stages of B cell activation.

Another miRNA that positively regulates the GC response upon its induction during B cell activation and in GC B cells is miR-217. Using gain- and loss-of-function mouse models, we showed that miR-217 promotes the generation of GC B cells and increases the generation of class-switched antibodies and the frequency of somatic hypermutation in B cells. We found that miR-217 regulates a DNA damage response and repair gene network that stabilizes BCL6 expression in GC B cells (29). Thus, miR-217 downregulates a network of genes that sense and repair genotoxic events on DNA, which in turn can increase GC B cell tolerance to DNA damage in the context of AID activity, very much like BCL6. Notably, we found that miR-217 protects BCL6 from previously described genotoxic stress-induced degradation (23), suggesting that both molecules form part of the same network that renders GC cells permissive to genomic instability and prone to malignant transformation.

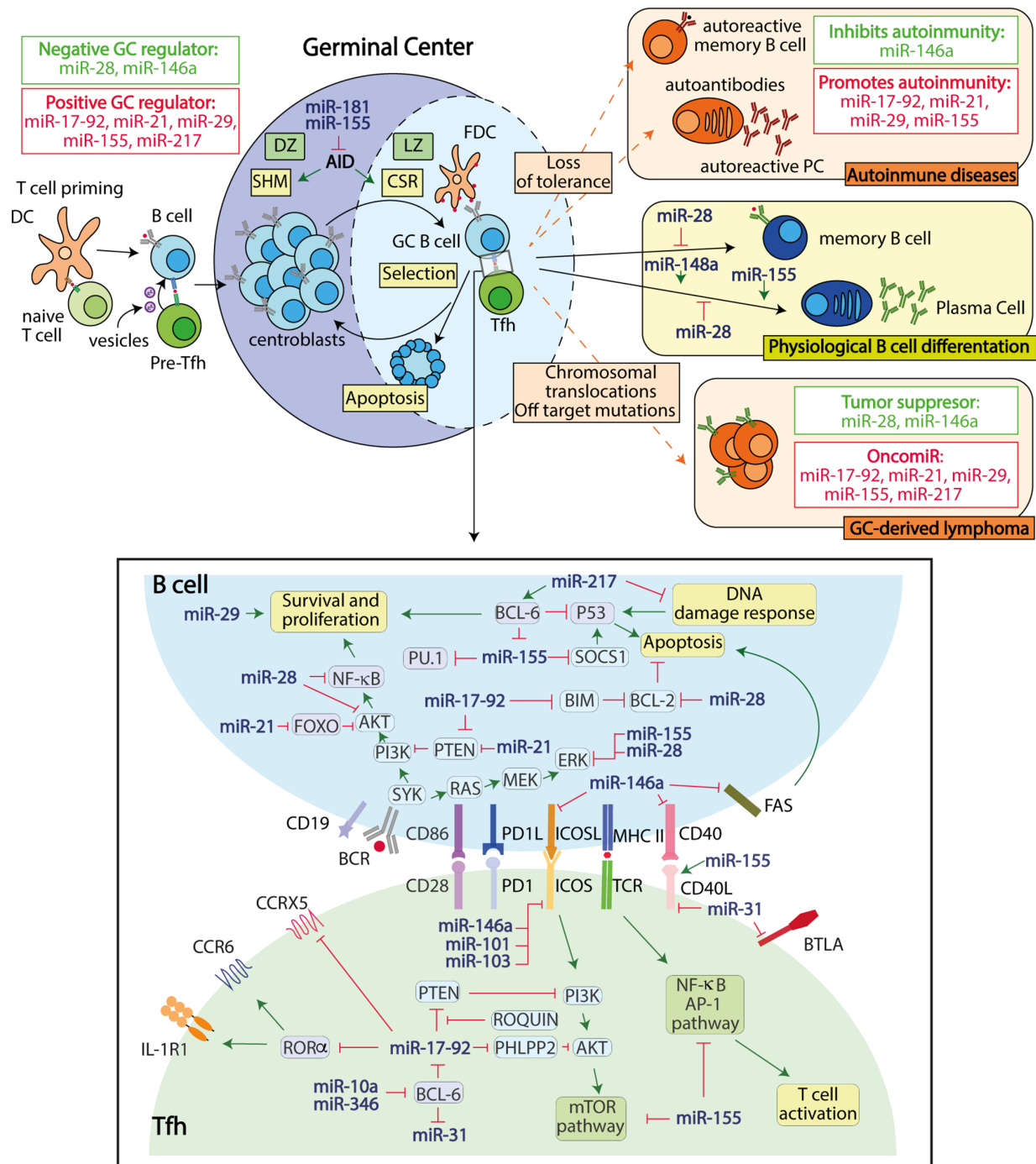


FIGURE 1 | miRNAs regulate gene expression in B and Tfh germinal center cells. Regulated miRNA expression is required to regulate B-Tfh cell interactions and ensure proper GC responses. GC-derived dysfunctions caused by miRNA alterations can lead to the development of autoimmunity and/or B cell neoplasia through the disruption of post-transcriptional control mechanisms required for the maintenance of GC homeostasis.

Positive regulation of terminal post-GC plasma B cell differentiation has been suggested to be regulated by other miRNAs. A likely candidate is miR-148a, the most abundant miRNA in human and murine plasma cells, which has been

shown to promote plasma cell differentiation and survival *in vitro*. Importantly, miR-148a expression was shown to downregulate the expression of the GC transcription factors *Mitf* and *Bach2*, which block premature plasma cell maturation

and favor cell death (30). Definition of the role of miR-148a as a regulator of GC-dependent plasma cell differentiation *in vivo* would require the development of gain- or loss-of-function miR-148a B cell-specific mouse models.

GC miRNAs can also act as regulators that restrict the GC response, the best-characterized negative regulators of GC responses being miR-28 and miR-146a. miR-28 is a GC-specific miRNA (14, 15) whose expression is lost in numerous mature B-cell neoplasms (31–33). By combining gain- and loss-of-function approaches, we showed that miR-28 negatively regulates CSR and immunization-triggered GC and post-GC plasma and memory B cell generation. Combined transcriptome and proteome analysis upon inducible re-expression of miR-28 in B cells revealed that miR-28 expression induces the coordinated downregulation of the key BCR signaling gene network regulating B-cell proliferation and cell death (33), thus supporting the notion that miR-28 limits the strength of BCR signaling and regulates proliferation and survival of GC B cells.

miR-146a is expressed in B cells upon stimulation and within GC B cells (15), and loss of miR-146a causes a B cell-intrinsic increase in the GC response to immunization (34), spontaneous GC generation in aged mice, and increased production of anti-double-stranded DNA (dsDNA) auto-antibodies (35). miR-146a was shown to limit B cell GC functional responses by downregulating B cell expression of signaling pathway components involved in GC B Tfh cellular interactions, such as ICOSL (34) and CD40 (35).

Other miRNAs have also been suggested to negatively regulate terminal post-GC plasma and memory B cell differentiation. miR-125b, a miRNA highly expressed in dividing centroblasts in GC B cells (36), has been shown to inhibit plasma cell generation and antibody secretion *in vitro* (37, 38). Importantly, direct mRNA targeting by miR-125b was shown to downregulate the expression of BLIMP-1 and IRF-4 transcription factors, which are essential for plasma cell differentiation (36–39). *Prdm1*, the gene encoding BLIMP-1, is a direct target of other highly expressed GC B cell miRNAs, including miR-9, miR-30a, and let-7 family miRNAs (40–43). Interestingly, the expression of miR-30a and miR-125b is regulated epigenetically in B cells and can be modulated using histone deacetylase inhibitors to inhibit BLIMP-1 expression in the context of antibody responses and GC-derived diseases (44–46). Memory B cell generation is associated to changes in chromatin accessibility and miRNA expression, and miR-181 has been recently identified as a major gene expression regulator during memory B cell differentiation (47).

Overall, these studies have identified a set of miRNAs that are required to promote or limit the GC reaction through post-transcriptional gene expression regulation in B cells (**Figure 1** and **Table 1**).

miRNAs in the Regulation of Follicular Helper T Cells

The induction of the GC reaction is critically dependent on the colocalization of B cells with Tfh cells and interaction between the two. This GC B-Tfh cell interaction and the resulting intracellular signaling are also controlled by miRNAs expressed

in Tfh cells. Remarkably, miR-146a downregulates the inducible costimulatory *Icos* expression in Tfh cells (34), and thus the expression of the two interacting molecules (ICOS and ICOSL) of this costimulatory pathway are negatively controlled in both cell subsets by the same miRNA. ICOS directly controls the migration of CD4⁺ T cells from the T cell-B cell border into the B cell follicles of peripheral lymphoid organs (77). Importantly, ICOS signaling in T cells was shown to be important for miR-146a mediated Tfh and GC regulation (34). *Icos* expression is also negatively regulated by two other miRNAs whose expression is downregulated during Tfh differentiation, miR-101 and miR-103 (78, 79). Thus, ICOS co-stimulatory receptor expression is redundantly regulated by miRNAs in Tfh cells presumably to limit or end the GC reaction.

GCB-Tfh derived ICOS signaling is mediated by the PI3K/AKT pathway (80) and inhibited by PTEN phosphatase activity in Tfh cells (81). This key signaling pathway for Tfh activation and differentiation (3) is additionally regulated at different levels in Tfh cells by miRNAs from the miR-17-92 cluster. miR-17-92 cluster expression is induced early in T cell activation (48) and is repressed by BCL6, the critical transcriptional factor that regulates Tfh differentiation (82). T cell-specific miR-17-92 gain- and loss-of function mouse models showed that the microRNAs of the cluster are critical promoters of Tfh and GC B cell differentiation and antigen-specific antibody generation during both T-cell dependent immune responses and chronic viral infection (10, 48, 49). miR-17-92 cluster miRNAs regulate the ICOS-PI3K signaling pathway in Tfh cells through the simultaneous targeting of different pathway inhibitory components. miR-17-92 inhibits PTEN phosphatase expression upstream of AKT (10, 48, 51) as well as the downstream AKT phosphatase PHLPP2 (48). This pathway is additionally regulated in Tfh cells by Roquin, an RNA-binding protein that recognizes specific stem-loop structures in the 3'UTRs of target mRNAs and which interferes with miR-17-92 binding to an overlapping binding site in the *Pten* mRNA 3'UTR (83). Important miR-17-92 targets mediating other aspects of the Tfh differentiation program include the transcription factor ROR α ; responsible for the induction of IL-1R1 and CCR6 expression in Tfh cells (10), and CXCR5, a hallmark Tfh molecule that influences Tfh cell localization to follicles in which the ligand CXCL13 is expressed (82).

BCL6 represses the expression of a significant fraction of the miRNAs expressed in mouse CD4⁺ T cells (82); however, the functional contribution of this repression to the Tfh cell transcriptional program has been characterized for few miRNAs outside the miR-17-92 cluster. BCL6 represses miR-31 expression in human Tfh cells through direct binding to its promoter (84). miR-31 inhibits the expression of CD40L, SAP, and BTLA, which are crucial for Tfh cell helper activity and cross-talk with B cells (85–87). Accordingly, Tfh cells forced to express miR-31 display decreased B-helper activity (84). Although BCL6 controls Tfh activity in humans and mice, the role of miR-31 is restricted to human Tfh cell differentiation, reflecting a species specificity on the action of some miRNAs.

Bcl6 gene expression is also regulated by miRNAs in CD4⁺ T cells, and this regulation influences the generation of Tfh cells

TABLE 1 | Identified roles of miRNAs in the regulation of physiological GC responses and in GC-derived dysfunctions.

GC regulation miRNA	Role in GC physiology	Role in B cell neoplasia	Role in autoimmunity	Molecular mechanisms and targets
miR-17-92 polycistron (miR-17, miR-18a, miR-19a, miR-20a, miR-19b, and miR-92a)	Positive GC regulator. Promotes GC responses, Tfh and GC B cell generation (10, 48, 49).	OncomiR. Promotes B cell GC-derived lymphoma (50)	Promotes autoimmunity. miR-17-92 expression in lymphocytes promotes spontaneous accumulation of Tfh and GC B cells, IgG anti-dsDNA antibodies and fatal immunopathology (48, 51).	Promotes proliferation and survival in lymphocytes by inhibiting the expression of <i>Pten</i> and <i>Bim</i> (51). Regulates differentiation and enhances ICOS-PI3K signaling by downregulating <i>Pten</i> and <i>Phlpp2</i> phosphatase gene expression in Tfh cells (10, 48, 49).
miR-155	Positive GC regulator Promotes GC responses and Tfh and GC B cell generation (12, 16, 52)	OncomiR Induces preB and mature B cell lymphomas (53–56)	Promotes autoimmunity miR-155 expression promotes autoimmunity in autoimmune mouse models of collagen-induced arthritis (57), systemic lupus erythematosus <i>Fas</i> ^{lpr} (58, 59), and age-dependent miR-146a deficiency (52).	Regulates the GC reaction via B cell-intrinsic (12, 17, 18) and T cell-intrinsic mechanisms (52). Prevents LZ GC c-MYC ⁺ B cell apoptosis by downregulating <i>Jarid2</i> (19). Targets <i>Sfp1</i> (17, 60) and <i>Aicda</i> (21, 22, 44) mRNAs and prevents P53 (27) and ERK activation through the inhibition of SHIP-1 (58) in B cells. Promotes <i>Pmd1</i> /BLIMP-1 expression and plasma cell differentiation through PU.1- <i>Pax5</i> downregulation in B cells (20, 60). Regulates Tfh development and autoimmunity by modulating NF- κ B, AP-1, and mTOR pathways (52) and promotes Tfh cellular proliferation and CD40L expression by repressing <i>Peli1</i> (61). Targets <i>S1pr1</i> in B cells from <i>Fas</i> ^{lpr} lupus-like mice, and its expression is decreased in SLE patients (57, 59). Inhibits <i>Pu.1</i> in rheumatoid arthritis B cells (60). Promotes age-dependent inflammation associated to accumulation of Tfh, GC B cells and the generation of autoantibodies in miR146a deficient mice (62).
miR-217	Positive GC regulator Promotes GC B cell generation and GC responses (29).	OncomiR Overexpression in B cells leads to clonal GC-derived lymphomas (29).	NA	Downregulates DNA damage and repair response through <i>Nbs1</i> , <i>Xrcc2</i> , <i>Lig4</i> , and <i>Pds5b</i> gene expression downregulation and BCL6 stabilization (29).
miR-29	Positive GC regulator Promotes GC B cell generation after T-cell dependent immunization (63)	OncomiR Overexpression in B cells leads to B-cell chronic lymphocytic leukemia (B-CLL) development (64)	Promotes autoimmunity Promotes autoimmunity in collagen-induced arthritis (63)	Promotes B-cell proliferation (63, 64). Downregulates the expression of <i>Ddk6</i> , <i>Dnmt3a</i> , and the P53-responsive and tumor suppressor gene <i>Pxdn</i> (64).
miR-21	Positive GC regulator Promotes GC responses, Tfh and GC B cell generation (Schell SL J Immunol 2019, 202 (1 Supplement) 121.12; (Abstr) (65). Expression inhibited by BLIMP-1 during plasma cell differentiation (66).	oncomiR Induces B lymphomas dependent on continuous miR-21 expression (67).	Promotes autoimmunity miR-21 inhibition ameliorates disease in a lupus model (68)	Promotes B cell activation and proliferation. Activates the PI3K–AKT–mTOR pathway. Inhibits expression of <i>Pten</i> , <i>Pdcd4</i> (69), <i>Foxo</i> (70), <i>Fas</i> (65), and <i>Pdcd4</i> (68).
miR-28	Negative GC regulator Impairs CSR and memory B and plasma cell differentiation (33).	Tumor suppressor Efficiently inhibits tumor growth after intratumor or systemic administration of miR-28 synthetic mimics in various DLBCL and BL xenograft models and in a primary mouse BL (33).	NA	Inhibits BCR signaling and impairs B-cell proliferation and survival. Inhibits <i>MAD2L1</i> , <i>BAG1</i> , <i>RAP1B</i> , <i>p-AKT</i> , <i>p-ERK</i> , <i>NFKB2</i> , <i>IKKB</i> and <i>BCL2</i> gene expression (32, 33).

(Continued)

TABLE 1 | Continued

GC regulation miRNA	Role in GC physiology	Role in B cell neoplasia	Role in autoimmunity	Molecular mechanisms and targets
miR-146a	Negative GC regulator Limits Tfh and GC B cell generation and GC responses in T and B cells (34, 35, 52)	Tumor suppressor miR-146a knockout mice spontaneously develop B cell lymphomas and myeloid malignancies (71, 72). miR-146b and miR-146a knockout mice develop histologically distinct B cell lymphomas (73). miR-146a deficiency accelerates c-MYC-induced B cell lymphoma development (74).	Inhibits autoimmunity Loss of miR-146a in immune cells promotes autoimmunity (72) (52). Loss of miR-146a causes a B cell-intrinsic increase in anti-dsDNA auto-antibody production and spontaneous GC reactions (35).	Immunosuppressive roles in innate and adaptive immunity (72, 75). Downregulates the expression of signaling pathway components involved in GC B-Tfh cellular interactions, such as ICOSL-ICOS (34) and CD40-CD40L (35). Limits Tfh numbers by downregulating <i>Stat1</i> expression (75) and counterregulates miR-155 targets in Tfh cells, which is relevant to inhibit the generation of autoantibodies associated to age-dependent inflammation (52). Dysregulated overexpression promotes a lymphoproliferative syndrome and GC B cell expansion via <i>Fas</i> expression downregulation in GC B cells (76).

NA, not analyzed.

from T cell precursors. miR-10a, a miRNA highly expressed in mouse regulatory T cells (T_{reg}), has been proposed to attenuate the conversion of inducible T_{regs} to Tfh cells through *Bcl6* repression in mice (88). miR-346 has been suggested to repress *BCL6* gene expression in human Tfh cells (62).

Another key miRNA regulator of both CG B and Tfh cells is the positive GC regulator miR-155. Immunization of T cell-specific miR-155-deficient *Cd4-Cre* miR155^{fl/fl} mice revealed impaired in GC B and Tfh cell generation and antigen-specific antibody production (52), revealing that miR-155 expression regulates Tfh development during immunization responses through T cell intrinsic mechanisms. The same study showed that miR-155 regulates different Tfh-cell targets important for Tfh development and autoimmunity in the NF-κB, AP-1 and mTOR pathways. Interestingly, miR-155 promotes Tfh cell accumulation during chronic, low-grade inflammation by counteracting the effect of miR-146a in Tfh cells (52). A later study showed that miR-155 promotes Tfh cell proliferation and CD40L expression by repressing expression of *Peli1*, a ubiquitin ligase that promotes the degradation of the NF-κB family transcription factor c-REL (61). These data suggest that miR-155 contributes to increased Tfh-mediated GC B activation through increased CD40L–CD40 interaction, which is known to be a limiting step in B cell clonal expansion, GC formation, isotype switching, affinity maturation, and the generation of long-lived plasma cells (89, 90).

Thus, miRNAs regulate Tfh cellular differentiation and interaction with B cells in the GC at multiple levels and through multilayer regulatory molecular circuits (Figure 1).

miRNAs IN GC-DERIVED B CELL NEOPLASIA AND AUTOIMMUNE DISEASES

Defects in GC regulation lead to immune diseases such as autoimmunity and mature B-cell neoplasia. These diseases are ultimately caused by the dysregulation of two distinct GC checkpoints; a breakdown of immune tolerance in autoimmunity and a surpassing of the DNA damage-tolerance threshold associated with Ig remodeling during CSR and SHM in B cell neoplasia. However, both diseases share a contribution from some of the mechanisms that promote GC dysfunction, including lymphoproliferative aberrant GC persistence, abnormal cellular components, and abnormal cellular signaling (91–93).

Recent studies addressing the contribution of miRNAs to these two GC-derived diseases revealed that dysregulated miRNA expression in GC B or Tfh cells can trigger B cell neoplasia or autoimmunity (Figure 1). Interestingly, several miRNAs that positively regulate the GC response also promote autoimmunity and B cell neoplasia (Table 1). For instance, miR-155, which promotes GC responses through T and B cell intrinsic mechanisms (12, 16, 52), also promotes autoimmune diseases characterized by switched auto-antibodies (52, 57–60, 94) and B cell neoplasia (53–56), likely through multilayer mechanisms that can lead to aberrant GC persistence due to increased

proliferation, reduced cell death and altered cellular signaling of Tfh and GC B cells (**Table 1**). Similarly, the miR-17-92 polycistron, which promotes GC responses by enhancing Tfh and B lymphocyte proliferation and survival by inhibiting the expression of *Pten* and *Bim* (10, 48, 49, 51), when overexpressed in different mouse models promotes the generation of spontaneous GCs, IgG anti-double-stranded DNA (dsDNA) autoantibodies linked to fatal immunopathology (48, 51), and B cell GC-derived lymphoma (50). Accordingly, miR-155 and miR-17-92 are upregulated in mature B cell neoplasia and GC-derived autoimmune diseases (95–97), suggesting their involvement in the enhancement of GC-derived human diseases. Other miRNAs that positively regulate GC responses and have been found to promote both autoimmunity and B-cell derived neoplasia include miR-29 and miR-21 (**Table 1**). Further studies are required to establish whether the switched autoantibodies generated in the context of positive GC miRNA regulator overexpression are derived from GC-derived plasma cells or are also generated from extrafollicular plasma cells.

Several miRNAs that negatively regulate the GC reaction have the opposite effect on B cell neoplasia and autoimmunity development, limiting the generation of GC-derived diseases (**Table 1**). miR-28 and miR-146a, well-characterized negative regulators of GC responses (33–35, 52), have both been found to exert tumor suppressor activity in B cell lymphoma development by limiting cell proliferation, promoting cell death and regulating cell signaling (33, 71, 73, 74) (**Table 1**). However, protection against autoimmune diseases has only been explored for miR-146a, which inhibits autoimmunity, anti-dsDNA autoantibody production (72), and spontaneous GC reactions (52) counterregulating miR-155 targets in Tfh cells (62) and through B cell-intrinsic mechanisms, likely by targeting CD40 signaling pathway components (35). Nevertheless, GC B cell miR-146a expression needs to be tightly regulated because forced overexpression promotes a lymphoproliferative syndrome *via Fas* downregulation (76). Thus, both super abundant or insufficient miR-146a expression are harmful for GC homeostasis.

Overall, these studies show that regulated miRNA expression is required to ensure proper GC responses and that GC-derived dysfunctions caused by miRNA alterations frequently lead to the development of both autoimmunity and B cell neoplasia through the disruption of post-transcriptional control mechanisms required for the maintenance of GC homeostasis, regulated cell signaling, cell death and proliferation. Further studies are needed to characterize with more detail the molecular mechanisms leading to both neoplastic transformation and autoimmunity caused by miRNA-dependent GC gene expression dysregulation.

CONCLUSIONS AND PERSPECTIVE

Studies by many groups in the field have shown that miRNAs play a key role in GC-response regulation and are required to prevent GC-derived autoimmunity and B cell neoplasia. The description of the

role of dysregulated miRNAs in mature B cell oncogenic transformation and GC-derived autoimmunity has led to the clinical use of miRNAs as disease biomarkers with prognostic and predictive value and to the identification of targets for miRNA-based therapy (97, 98). The mechanisms leading to dysregulated miRNA expression in GC cells are poorly understood, and their characterization will likely provide new opportunities for therapeutic intervention. Strategies to restore or inhibit dysregulated miRNA expression have already established the therapeutic potential of miRNA modulation in *in vivo* models of GC-derived B cell neoplasia and autoimmunity (33, 44, 56, 68, 99–105). Moreover, synthetic miRNA mimics or anti-miR molecules are suitable for the generation of miRNA-based drugs that can be coupled to different types of nanocarriers and conjugates for effective delivery [reviewed in (106)].

The unique molecular features of miRNAs make them attractive tools for the development of miRNA-based therapies, and miRNA-based drugs are currently being tested in clinical trials for several diseases, including different types of cancer [reviewed in (97)]. This emerging and promising field faces a number of challenges regarding the clinical translation of miRNA-based therapies for B cell neoplasia and autoimmunity. Major challenges include i) the development of cell-type specific miRNA-based drug targeting approaches to improve specificity and reduce toxicity derived from miRNA delivery to healthy cells and ii) the development of models of human mature B cell neoplasia and GC-derived autoimmunity that faithfully recapitulate human disease to improve pre-clinical testing. The rapid pace of research in the field ensures the continuing excitement and expectations in building the path from basic science to translational miRNA-mediated GC regulation.

AUTHOR CONTRIBUTIONS

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Conflict of Interest: A European Patent Application titled ‘miRNA compositions for the treatment of mature B cell neoplasms’ EP16722679, EP17382740 was filed on March 3 2017.

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Early Emergence of Adaptive Mechanisms Sustaining Ig Production: Application to Antibody Therapy

Maud Lemarié¹, Fabrice Chatonnet^{1,2}, Gersende Caron^{1,2} and Thierry Fest^{1,2*}

¹ Université de Rennes 1, INSERM, Établissement Français du Sang de Bretagne, UMR_S1236, Rennes, France,

² Laboratoire d'Hématologie, Pôle de Biologie, Centre Hospitalier Universitaire, Rennes, France

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Dirk Mielenz,
University of Erlangen Nuremberg,
Germany

*Correspondence:

Thierry Fest
thierry.fest@univ-rennes1.fr

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Antibody therapy, where artificially-produced immunoglobulins (Ig) are used to treat pathological conditions such as auto-immune diseases and cancers, is a very innovative and competitive field. Although substantial efforts have been made in recent years to obtain specific and efficient antibodies, there is still room for improvement especially when considering a precise tissular targeting or increasing antigen affinity. A better understanding of the cellular and molecular steps of terminal B cell differentiation, in which an antigen-activated B cell becomes an antibody secreting cell, may improve antibody therapy. In this review, we use our recently published data about human B cell differentiation, to show that the mechanisms necessary to adapt a metamorphosing B cell to its new secretory function appear quite early in the differentiation process i.e., at the pre-plasmablast stage. After characterizing the molecular pathways appearing at this stage, we will focus on recent findings about two main processes involved in antibody production: unfolded protein response (UPR) and endoplasmic reticulum (ER) stress. We'll show that many genes coding for factors involved in UPR and ER stress are induced at the pre-plasmablast stage, sustaining our hypothesis. Finally, we propose to use this recently acquired knowledge to improve productivity of industrialized therapeutic antibodies.

Keywords: UPR, ER stress, B cell differentiation, mAbs, RNA-seq

ON THE ROAD TO BECOME AN INGENIOUS SECRETED-ANTIBODY FACTORY: DIFFERENTIATION STEPS FROM B TO PLASMA CELL

Plasma cells (PCs) secrete huge amount of immunoglobulin molecules (Igs) subsequently to antigen entry into the body. Before becoming high-affinity antibody secreting cells (ASCs), B cells undergo several steps of differentiation. First, inside the bone marrow, precursor B cells edit a B-cell receptor (BCR) (or surface-attached IgM, an-antigen specific Ig of the first line of defence with poor affinity towards the antigen). At this point, they produce Ig but only intended to be transmembrane receptors. Naive B cells (NBCs) are in a resting state in peripheral blood or secondary lymphoid organs until their activation by a foreign antigen. Once activated by circulating antigens, NBCs reach a secondary

lymphoid organ and move towards the B: T interface where they receive help from specialized CD4⁺ T cells called follicular helper T cells (T_{fh}) *via* efficient B: T synapses (1–3). B cells need interaction with several co-activators, including CD40L and the delivery of cytokines including IL-21 and IL-4, to undergo their differentiation into fully mature effectors. The terminal steps of the differentiation occur in a microanatomical specialized area of secondary lymphoid organs called germinal centers (GCs) which are created by B cells themselves in response to *BCL6* expression. In this context, IL-21 represents the main upstream cytokine responsible for *BCL6* maximal expression and GCs maintenance (4). GCs are organized into two separated territories - called light zone and dark zone - between which the B cell continuously moves until reaching a high affinity for targeted antigens (1–3). At first, B cells proliferate in the dark zone where cells undergo AID-driven somatic hypermutation (SHM) of variable regions of their Ig gene loci. The second step takes place into the light zone where B cell clones carrying a modified variable region of Ig are tested for its antigen affinity by follicular dendritic cells with the help of T_{fh} cells. Clonal B cells go through this step with 4 different outcomes based on the strength of BCR signal (antigen affinity) and the amount of T_{fh} help received: (i) a low-affinity and no help leads to apoptosis of the clone; (ii) mid-affinity and low T_{fh} help leads to the formation of a long-lived memory cell, (iii) higher affinity and T cell help leads to another round of SHM in the dark zone and (iv) highest levels of both signal leads to the differentiation into a long-lived plasma cell (PC) (2, 3, 5). This B cell maturation is completed by the Ig class-switch recombination (CSR), allowing cells to produce and secrete IgM, IgG, IgE or IgA, each class offering specific functions to adapt the antibody response to the context.

In our lab, we developed and standardized an *in vitro* model system of human NBCs differentiation into plasmablasts (PBs) (**Figure 1**). Starting from blood donor buffy coat we purify NBCs and then culture them with IL-2, CD40L, CpG and anti-IgM Fab'2 in order to activate cells *via* a transcriptional burst (6). As soon as

day-1, B cells are fully activated and referred hereafter as day-1 ActB. Beyond day-4 (day-4 ActB), culture conditions are modified and cells maintained only with IL-2, IL-4 and IL-10 stimulation for 2 or 3 additional days in order to complete the PB differentiation. We showed recently that committed B cells that differentiate into PBs present an extinction of both IL-4/STAT6 signaling and CBLB ubiquitin ligase expression, concomitant to IRF4 induction (7). As a surrogate marker of this commitment, membrane surface expression of CD23 disappears due to IL-4/STAT6 extinction. We showed that day-5 CD23⁻ post-ActB cells contain precursors of plasmablasts (pre-PBs) which present the capacity to enter the cell cycle, while the CD23⁺ counterparts are unable to differentiate and stay in an activated state (7, 8). After nearly 7–8 days of culture, some PBs start to express the PC-specific CD138 marker which points out the generation of early PCs. PB and PC differentiated *in vitro* secrete high amounts of Ig; they display morphological and transcriptomic features of their *in vivo* counterpart and represent an useful tool to explore normal human PB and PC biology (8–10).

While becoming a PC and therefore fully efficient for Ig secretion, cells experience massive organelles modifications including membrane amplification and trafficking. The endoplasmic reticulum structure reaches its maximum of protein production during this stage (11) and cells are subject to an intensive and continuous stress that needs to be controlled to escape from cell death.

EARLY APPEARANCE OF ADAPTIVE MECHANISMS TO HIGH THROUGHPUT IG SECRETION

General Mechanisms of ER Stress and Unfolded Protein Response (UPR)

In every tissue, cells continuously produce proteins in their cytoplasm to meet their needs and to respond to

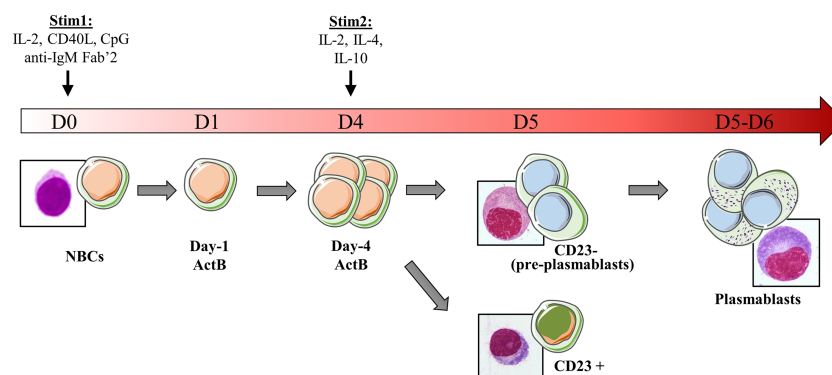


FIGURE 1 | Schematic representation of the *in vitro* model of B cells differentiation used in our laboratory. Peripheral NBCs from blood donors are stained with a cell-tracer then stimulated with IL-2, CD40L, CpG and anti-IgM Fab'2. Day-1 activated cells are referred as day-1 ActB. After 4 days, activated B cells that have proliferated (Day-4 ActB) are selected according cell-tracer dilution and stimulated with IL-2, IL-4 and IL-10 to induce their differentiation into plasmablasts. The day after (D5), three populations are detected: (i) CD23⁺ cells that are stuck in an activated state and unable to differentiate, (ii) CD23⁻ population, containing precursors of plasmablasts (pre-PB) which give rise to (iii) differentiated plasmablasts (PB). The increase in the cytoplasm/nucleus ratio, characteristic of the development of the Ig production machinery in PB, is early detected in the pre-PB stage. RNA-seq data are available for NBC, Day-1 ActB, Day-4 ActB, CD23⁺, CD23⁻ and PB subsets.

microenvironment signals. Igs are part of the transmembrane and secreted proteins. After being transcribed, mRNA is pushed to the surface of the endoplasmic reticulum (ER) to be processed by the ER-attached ribosomes and translated into the ER lumen. As the translation occurs in the ER, proteins enter the lumen in their misfolded form. From here, Igs produced in naive B cells start their journey through multiple organelles in order to be well-processed and then bound to the membrane. After antigen activation, IgGs that are produced in PBs/PCs are aimed to be secreted outside of the cell.

After antigen encounter and B cell differentiation into PC, a metabolic switch occurs and a sharp increase in nutrient uptake is necessary to meet the growing need (reviewed in (12)). Ig processing is overwhelmed into the ER lumen, leading to an unfolded and misfolded protein (hereafter designated un/misfolded protein) rate increase and an ER high-stress state. The unfolded protein response (UPR) is then engaged in these cells to meet the increasing needs for protein processing, folding and secretion, and to protect them from apoptosis. Three well-characterized UPR axes have been described as involved in ER stress response: (i) inositol-requiring transmembrane kinase/endonuclease 1 α (IRE1 α)/X-box binding protein (XBP) - 1, (ii) protein kinase RNA-like endoplasmic reticulum kinase (PERK) and (iii) activating transcription factor 6 (ATF6) pathways (13, 14). In resting conditions, the ATPase Ig-binding protein (BiP) - a resident-ER chaperone -

binds to each of them. Stress signals then lead to a BiP UPR first-line of response to ensure activation of ER transmembrane IRE1 α , PERK and ATF6 elements. Indeed, BiP dissociates from IRE1 α and PERK proteins to release them and then binds to un/misfolded proteins (15). Importantly, BiP association/dissociation cycle with IRE1 α and PERK is governed by an ADP-ATP cycle. ATP-bound BiP preferentially binds to ER transmembrane elements (16). When ER stress is increasing, DnaJ-like co-factors (ERdj) bind to un/misfolded proteins and accompany their transfer to the ATP-bound BiP, leading subsequently to ATP hydrolysis (17, 18). As a consequence, BiP dissociates from ER elements and then associates with un/misfolded proteins under its ADP-binding state, stably sequestering clients to prevent aggregation in the ER lumen and accompanying for folding (18, 19). BiP-clients complex dissociation, induced by Nucleotide Exchange Factors (NEF) which remove ADP from BiP, is then required to properly complete folding process and secretion. BiP consequently returns to its initial position with ER elements, meaning that ER stress is under control (20, 21). ATF6 is also released from BiP during ER stress (22) but needs an additional step to be fully activated. Hence, the released ATF6 is then cleaved in the Golgi apparatus by Site-1 and Site-2 proteases (encoded by *MBTPS1* and *MBTPS2*, respectively) before being translocated back to the nucleus to induce the expression of UPR genes such as *XBP1* (23–26) (**Figure 2**).

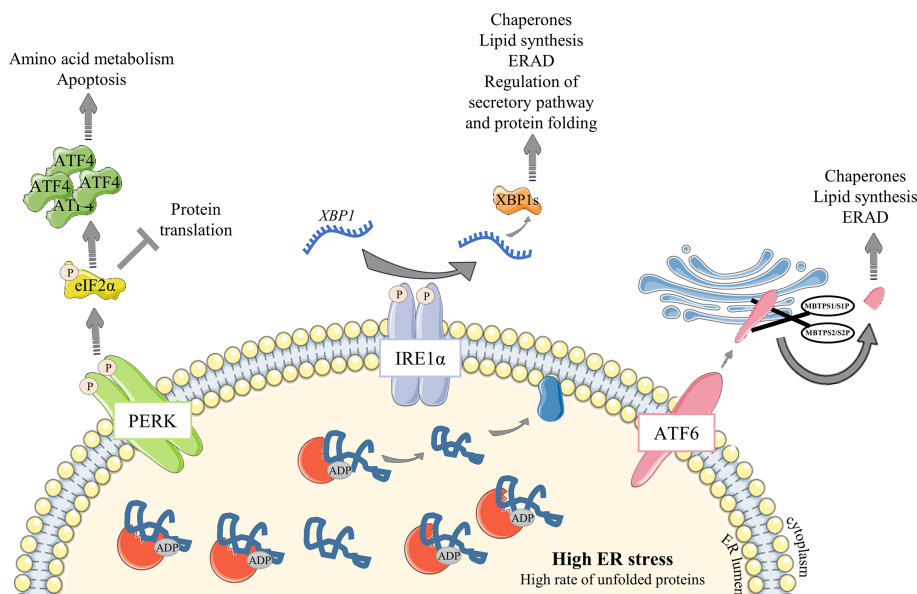


FIGURE 2 | Overview of the UPR signaling pathway under ER stress conditions. Unfolded Protein Response is engaged to respond to increasing amount of proteins in the ER. (1) The ER-resident chaperone BiP binds to unfolded and misfolded proteins and consequently releases the sequestered IRE1 α , PERK and ATF6 ER sensors, leading to their activation following (i) dimerization and auto-phosphorylation of IRE1 α and PERK elements or (ii) *MBTPS1*/S1P and *MBTPS2*/S2P – mediated cleavage of ATF6 α in the Golgi apparatus. Activated ATF6 α then translocates into the nucleus and upregulates chaperones expression and factors involved in lipid synthesis and ERAD. Activated PERK phosphorylates eIF2 α which represses global protein translation except for ATF4 whose translation is enhanced. ATF4 then upregulates amino acid metabolism and apoptosis. IRE1 α , via XBP-1 transcription factor, leads to a broader range of responses with upregulation of many factors including chaperones and those important for lipid synthesis and ERAD. IRE1 α regulates as well the factors important for protein folding and secretory functions. Particularly, *XBP1* mRNA requires IRE1 α to be spliced and efficient as a transcription factor.

Early Appearance and Temporal Regulation of ER Stress and UPR Factors

ER stress responses seem to be engaged well before PCs massively produce antibodies. A preparation to morphological and genomic modifications that NBCs undergo to become ASCs is probably needed. During B cell differentiation, BLIMP-1 (encoded by *PRDM1*), the major regulator of the antibody-secretory function of PCs, represses the B cell identity transcriptional program including genes encoding for BCL6 and PAX5 (27), and enhances *XBP1* expression (28). Activation of this latter factor is one of the most important events and is maintained essentially by BLIMP-1. Interestingly, BLIMP-1 positively controls the activity of mammalian target of rapamycin (mTORC1) (29), which is described as a key metabolic factor since it controls proteins, nucleotides and lipids synthesis (30). mTORC1 has also been well characterized in B cell development (31, 32) but its role in ER stress has emerged only in the last decade with a few studies showing its importance for a sustainable Ig production. mTORC1 inhibition in mature murine PCs leads to a decrease in serum IgM and IgG levels and a failure to induce BiP protein expression (33, 34). More recently, mTORC1 was defined as a precocious factor in the UPR response to Ig production and secretion. In fact, two separated studies unveiled crucial aspects of mTORC1 function in murine PCs. Double knock-out of *XBP-1* and *TSC1*, a mTOR inhibitor, leads to an increase of Ig production and the differentiation into PCs was maintained even in absence of *XBP-1* (33). These results suggest the existence of an alternative UPR response independent of the IRE1 α /XBP-1 and ATF6 pathways. Additional studies indicate that UPR-, protein production- and secretion-affiliated gene expressions - such as *Hspa5*, *Pdia6*, *Ero1l*, encoding respectively for BiP, for a protein disulfide isomerase and for an ER-resident oxidase, increased in activated B cells dependently of mTORC1. Interestingly, this upregulation is observed well before the activation of BLIMP1-dependent PC program and *Xbp1* gene expression in mice (35). RNA-seq data obtained throughout our *in vitro* differentiation model of human B cells (7) support these results and show an UPR response well before cells are secreting antibodies. Hence, bio-informatic analyses of the RNA-seq dataset segregated genes linked to UPR by GO annotations into 4 clusters according to gene expression modifications during the differentiation steps: 1) stable from NBCs to PB stage; 2) upregulated as early as the day-1 ActB stage, 3) downregulated right after B cell activation, and 4) upregulated in pre-PB and maintained in PBs (**Figure 3A**). Indeed, the comparison between NBCs, day-1 ActB, day-4 ActB, post-ActB, pre-PB cells, and PB cells shows that UPR-affiliated genes seem to be only partially influenced by the *XBP-1* and *PRDM1* expression levels. Since cluster 4 includes *XBP-1*, IRE1 α and ATF6 α factors, we hypothesized that UPR-associated genes specifically upregulated in pre-PB are IRE1 α /XBP-1- or ATF6-dependent which is not the case for genes from cluster 2. The main functions associated with each cluster were then evaluated by the DAVID pathway enrichment software in order to find differences between ER stress response governed or not by

IRE1 α /XBP-1 or ATF6. Thus, genes from cluster 4 whose expression is specifically upregulated in Pre-PB and PB stages are mainly associated with IRE1 α - and ATF6- mediated UPR, protein folding and transport, and apoptosis inhibition. For genes involved in cluster 2 whose expression appears as soon as day-1 of the culture, they are mainly associated with ER to Golgi vesicle-mediated transport, ER stress suppression and IRE1 α -mediated UPR (a few genes compared to cluster 4). Overall, both UPR and ER stress responses are activated early in B cells engaged in PC differentiation and in at least two separate phases with different molecular requirements.

A Specific Inhibition of the PERK Pathway Throughout B Cell Differentiation

In contrast to insulin-secreting pancreas cells (36–38) and collagen-secreting chondrocytes (39), Ig secretion is described as using a PERK-independent ER stress response (40–42). In our *in vitro* model, *EIF2AK3* (which encodes PERK itself) but also well-characterized *DDIT3* (also known as CHOP) and *ATF4*, both pro-apoptotic PERK target genes, are all included in cluster 3. Interestingly, when the gene expressions in ActB and PB populations are compared to NBCs we noticed that in addition to *EIF2AK3* and *ATF4*, other specific genes of the PERK pathway such as *ATF3* and *PPP1R15A* are part of the cluster 3 (**Figure 3A** and **Table S1**). Their expressions are 2 to 10 times higher in NBCs compared to the more mature stages (**Figure 3B**). In contrast, the IRE1 α /ATF6 target gene, *HSPA5* (from cluster 4) maintains high levels of expression from NBC to ActB stages and even increases its expression (by more than 3 times) at pre-PB stage (**Figures 3B**). Altogether, these data show that PERK-pathway gets inactivated as soon as NBCs are stimulated and remains inhibited in PC.

Characterization of the factors involved in the suppression of the PERK pathway during PC differentiation has long been elusive. However, two recent studies demonstrated the role of UFBP1 (Ufm1 binding protein 1; issued from the gene *DDRKG1*) presents in cluster 2) in this process. Indeed, UFBP1-mediated ufmylation of IRE1 α protein protects from IRE1 α degradation, leading to its stabilization and the suppression of the PERK pathway (43). In addition, the UFBP1-mediated suppression of PERK leads to the active promotion of PC differentiation and therefore ER expansion (44). Since the expression of *DDRKG1* occurs in cluster 2 in our *in vitro* model, we speculate that UFBP1-mediated PERK inhibition is primarily IRE1 α /XBP-1 and ATF6 independent (**Figures 3, 4** and **Table S1**). However, *DDRKG1* expression increases slightly in pre-PB and PB populations suggesting that a delayed IRE1 α /XBP-1 and ATF6 effect may exist and participate in *DDRKG1* expression at latter stages of differentiation.

The PERK pathway is also actively suppressed by the P58^{IPK} protein in many cell types. Mechanistically, P58^{IPK} binds directly to the kinase domain of PERK, which impedes its activity and further activation of its targets (45). P58^{IPK} is encoded by *DNAJC3*, a member of the DnaJ family acting downstream of the ATF6 and IRE1 α /XBP-1 pathways (28, 45–50). Although no clear evidence exist so far for its role in B cells, some studies

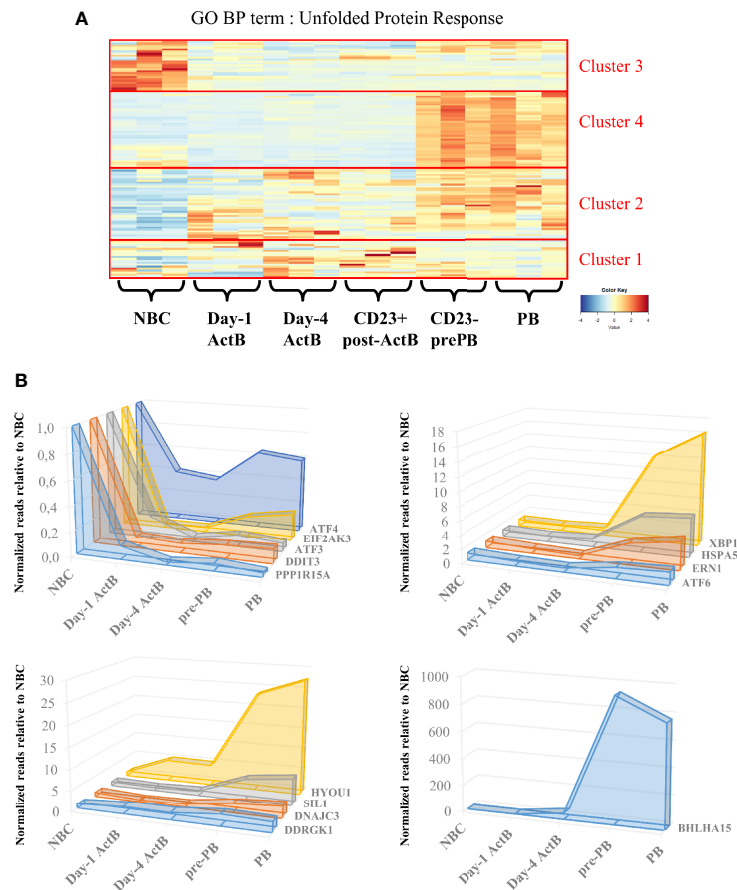


FIGURE 3 | Unfolded protein response is temporally regulated during the transition from naive B cells to plasmablasts. **(A)** Genes belonging to the Gene Ontology – Biological Processes term “Unfolded Protein Response” were selected and their expression in our RNA-seq data was submitted to an unsupervised hierarchical clustering analysis. The resulting heatmap is shown here, delineating four different expression clusters: (1) stable expression, (2) genes upregulated from Day-1, (3) genes upregulated in NBC and (4) genes upregulated in Pre-PB and PB. **(B)** Means of normalized reads obtained from 3 different experiments at Day-1 ActB, Day-4 ActB, pre-PB and PB stages were compared to the NBC counterpart, whose mean is reduced to 1. Left upper panel shows genes related to the PERK pathway. Right upper panel shows genes related to the IRE1 α and ATF6 pathways and lower panels show potential genes which are either implicated (i) in the PERK regulation or (ii) in endoplasmic reticulum modifications related to stress. All of the selected genes are addressed in this review.

showed that p58^{IPK} protein expression increased rapidly after stimulation of murine B cells with LPS (41, 42) or CpG (35), while the expression of PERK downstream targets decreased. In our model of human B cell differentiation, the expression of *DNAJC3* increases strongly in cluster 4 in pre-PB and PB populations compared to ActB cells. This is in agreement with Gaudette et al. study in mice (35), which suggested that P58^{IPK} could partially contribute to the inhibition of the PERK pathway in ASCs, as soon as in the pre-PB stage (Figures 3, 4 and Table S1).

Similarly, *SIL1*, a nucleotide exchange factor, could represent another potential inhibitor of PERK since the *SIL1* knockdown in HeLa cells showed an activation of the PERK pathway (51). In our model, *SIL1* expression was strongly induced in pre-PB and PB (around 5-fold increase) compared to the earlier differentiation stages. Although *SIL1* does not belong to the GO term UPR in Biological Processes (BP), we found it in the

RE Cellular Component (CC) annotation. A similar temporal clustering of our RNA-seq data performed on genes of this GO term showed that *SIL1* belongs to a cluster of genes over-expressed specifically in pre-PBs and PBs (GO CC: RE; cluster 3) (Figures 3B, S1 and Table S2). Interestingly, *SIL1* has been described as a co-chaperone of BiP required for the release of BiP-clients in the ER lumen (20, 21) after sequestration with BiP. However, the knockdown mouse model of Ichhaporia et al. demonstrated that *SIL1* was unnecessary for the release of BiP from un/misfolded Ig and for subsequent production of Ig (52). Recently, ChIP-sequencing data done in human PBs (GSE142493 (53);) revealed an enrichment of XBP-1 in *SIL1* promoter, suggesting a potential role of *SIL1* in cell differentiation. This result, together with the HeLa data described above and our model of B cell differentiation strongly suggests that *SIL* may play a role in the inhibition of the PERK pathway in human ASCs (Figure 4). In addition,

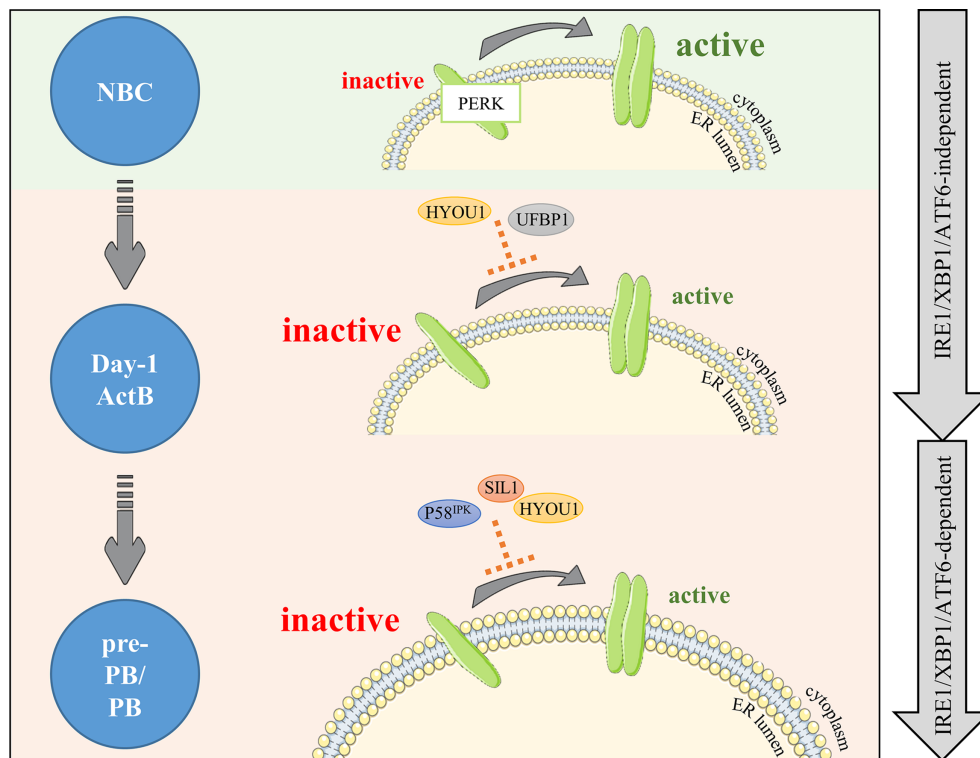


FIGURE 4 | PERK is negatively regulated right after B cell activation and maintained under negative control during differentiation. PERK signaling is upregulated in NBCs (light green background). In activated B cells, PERK needs to be dampened by factors limiting PERK activity (light orange background). Our RNA-seq analysis combined with literature emphasizes on two new potential negative factors: HYOU1 and UFBP1. Then, differentiation (pre-PB/PB) involves important changes including increased production of Ig, which critically increases ER stress and risks of apoptosis; hence, PERK signaling repression needs to be reinforced. Factors potentially involved in this control are part of the ADP/ATP BiP regulation cycle: the DnaJ protein P58^{IPK} and the nucleotide exchange factors SIL1 and HYOU1. The first wave of negative control is IRE1 α /XBP-1/ATF6-independent and the second wave is IRE1 α /XBP-1/ATF6-dependent (right gray arrows).

HYOU1 (also known as ORP150) which is another nucleotide exchange factor for BiP, can substitute for SIL1 (54–56) and has been described in the literature as a cyto-protective factor against ER stress (57, 58). In our system, *HYOU1* (which, unlike *SIL1*, is included in the GO BP UPR term) belongs to the cluster 4 and its expression is (i) higher than for *SIL1* and (ii) strongly upregulated in pre-PB and PB stages (**Figure 3** and **Table S1**). Then, given that HYOU1 can substitute for SIL1 in its functions, HYOU1 could represent an alternative factor of suppression of PERK, active in the last stages of B cell differentiation (**Figure 4**).

Taken together, these data provide support to a better understanding of PERK suppression in ASCs. Consistent with previous studies (35, 42), we found that the PERK pathway is inhibited early in the process of B cell differentiation by the specific repression of some of its genes. Two phases of repression seem to exist: (i) a strong first wave of repression, IRE1 α /XBP-1 and ATF6 independent which appears right after NBC activation, and (ii) a second wave, dependent on IRE1 α /XBP-1 and ATF6 occurring when Ig production is at its highest level (**Figure 3B**). ASCs are constantly in a state of prolonged and elevated ER stress and therefore at a constant risk of apoptosis.

Even though the implication of apoptosis mediated by the PERK and IRE1 α /XBP-1 pathways in the resolution of acute ER stress is controversial (31, 59–70), prolonged PERK signaling promotes apoptosis in contrast to a sustained IRE1 α response which improves cell survival (71). Therefore, in order to achieve successful antibody secretion in ASCs, it appears that PERK signaling needs to be restricted. In addition, previous studies suggested that while PERK could be partially activated in stimulated B cells, the subsequent signal appears not to be sufficient to induce target genes (41, 42).

Interestingly, the expression pattern of *HYOU1* is bimodal with the first and second peak of expression corresponding, respectively, to the first and second wave of PERK inhibition (**Figures 3B** and **4**). Since XBP-1 and ATF6 have been reported as critical inducers of *Hyou1* expression in murine B cells and fibroblasts, respectively, we hypothesize that both factors may contribute to HYOU1-mediated PERK inhibition in the late stage of B cell differentiation (35, 49). However, to date, no mechanism of action has been highlighted regarding either HYOU1 or SIL1 suppression of PERK pathway and further investigations are required to clarify this point. Altogether, HYOU1, in addition to provide ER stress protection (72–74),

may exhibit critical repressor control of PERK in ASCs together with UFBP1 and P58^{IPK}.

Focus on MIST1, a Factor Involved in IRE1 α /XBP-1/ATF6 Response

In the literature, XBP-1 appears to be a central factor governing the UPR response in PC differentiation and Ig secretion. To be actively efficient under ER-stress conditions, XBP-1 mRNA needs to be spliced by IRE1 α which is previously released from BiP and then activated after auto-phosphorylation (75). Among potential XBP-1 target genes identified in murine PCs, MIST1 (encoded by *Bhlha15*) is a factor which has already been documented as important in secretory functions of some cells (76–80). *Bhlha15* promoter is bound by XBP-1 in murine PCs (76) and its expression is under XBP-1 dependence in several cell types including PCs (76, 81, 82). The study published by Capoccia et al. (83) ascribed a potential role of MIST1 in ER stress occurring in PCs. By transcriptome comparison between day-3 LPS-treated PCs obtained from *Bhlha15*^{-/-} and WT mice, they found among the 218 differentially expressed genes specific functional annotations for endoplasmic reticulum and molecules transport. PCs associated with the small intestine have shown dilated and unorganized rough ER supporting the fact that MIST1 plays a critical role in ER stress despite its lack of involvement in PC differentiation (83).

In mice, the expression of *Bhlha15* like *Xbp1* is strongly induced in PCs compared to NBCs, MBCs and GC B cells (83, 84) (GSE 4142). In addition, *Bhlha15*^{-/-} mice present a significant decrease of specific antibodies secretion in the serum 7 days after immunization (84). Overall, MIST1 and its respective functions have only been studied in the late stages of PC differentiation. Our RNA-seq data give a more precise picture on the regulation of *BHLHA15* expression during normal human B cell differentiation and notably by showing an expression as soon as day-4 of the culture (**Figure 3B**), prompting for further studies about its function in ER-stress and UPR management during B cell differentiation.

USING B CELL DIFFERENTIATION MECHANISMS TO IMPROVE IMMUNOGLOBULIN PRODUCTION

Production of recombinant protein has been well studied for the past few decades and research continues to constantly improve this engineered production. Among recombinant proteins, monoclonal antibodies (mAbs) production reports largely to the pharmaceutical industry. The first industrialized mAb was approved in 1997 and used for non-hodgkin's lymphoma patients. Rituxan[®], better known as Rituximab, was employed to recognize CD20 on B cells as an antigen. Almost 80 approved therapeutic mAbs were developed since, such as Humira[®] (Adalimumab/anti-TNF α), Xolair[®] (Omalizumab/anti-IgE), Opdivo[®] (Nivolumab/blocks PD-L1 binding to PD-1 and PD-2) or more recently Sarcisa[®] (Isatuximab/anti-CD38) and Imfinzi[®] (Durvalumab/blocks PD-L1 binding to PD-1 and CD80).

Each of them involved an intensive work mainly focused on how to efficiently increase mAb productivity (mAb titer and quality), a major concern in industrial settings.

Chinese Hamster Ovary (CHO) cells are the main producing cell line for engineered monoclonal antibody production. CHO cells are easy to manipulate and enable post-translational modifications important for Igs functions, such as glycosylation. Production is organized as (i) CHO cell line generation, consisting in the development of the vector for recombinant protein expression and its transfection into CHO cells, (ii) selection/purification of clones which integrated the vector, (iii) large scale bioproduction and (iv) final formulation to appropriately administrate mAbs into the patient. Continuous productivity improvement could be achieved by modifying cell culture conditions (85–87) and editing genes involved in protein translation, folding and secretion.

Massive production of recombinant proteins in CHO cells leads to an important ER stress requiring control to attain a production with high stability and quality. Uncontrolled ER stress generates less secreted proteins (mainly due to apoptosis of overstressed clones), but can be regulated by inducing autophagy (88). It also leads to a final product of poor quality (aggregates and subvisible particles coming from protein folding and assembly dysfunctions) (89), which, for the latter, represents issues for further clinical use (90). Hence, recent works proposed to control factors involved in the UPR pathway since some of them were described as able to monitor and control ER stress during Ig production. For instance, Talbot et al. (91) proposed to monitor ER stress in order to control aggregates concentration into the final product. To this end, they used two different culture conditions based on the presence or absence of specific nutrients for production of two different Ig subtypes. The deprivation condition induced a higher and earlier ER stress. The increase in the relative gene expression of several UPR-specific genes was assessed throughout culture and revealed a specific signature assigned to each Ig subtype and culture condition. Using this signature to monitor cell cultures, the authors obtained a significant decrease in aggregates and subvisible particles for IgG1 mAbs, unlike what was observed for IgG2 mAbs. In the case of IgG1 production, *HSPA5* was induced later than for IgG2 while *DERL3*, an ER-associated degradation (ERAD)-specific gene which helps for un/misfolded protein degradation (92–94) is induced earlier. This protected cells from overwhelmed UPR and allowed an extended time of culture. With the support of other studies, detailing ER biomarkers profiling during of CHO cell lines culture (95–97), they finally proposed to include a UPR genes signature to the quality parameters of mAbs production, providing culture conditions labelled as “ER stress under control”. As an example, *HERPUD1*, another ERAD-specific gene, is described as an early indicator of ER stress response (96, 97) and then could be used to predict production efficiency and stability. Interestingly, this gene is included in the cluster 2 of our study, indicating that it is an early UPR marker during the B cell differentiation process. Hence, our RNA-seq dataset supports conclusions of published data (95–97) and provides new potential factors to detect i) when ER stress is

precociously managed, with genes such as *VCP* or *MBTPS2* (both in cluster 2), and ii) UPR intensity during culture of high recombinant protein expressing cell lines with *SYVN1*, *SSR1*, *DERL1*, *DERL2*, *MBTPS1* or *WFS1* (all included in cluster 4).

The UPR activation is cell line-dependent but appears to be also clone-dependent. In fact, producing high-proliferative clones with high quality specificities is possible but relies on their proper ability to activate UPR and to escape from apoptosis. Therefore, a clonal selection process is necessary to select for clones with the best performance in terms of mAb titers, cell mass and viability. To this aim, UPR can be monitored with

different UPR-inducible systems in CHO cells by (i) using promoters containing all three UPR responsive elements (UPRE, ERSE, and ACGT) (98), (ii) using native promoters of ER-stress induced factors such as BiP (99) or (iii) using a fluorescent reporter which produce specific fluorescence based on *XBP-1* splicing by activated IRE1 α (96). Although those systems allow for selection of clones with the best performance, Poulain et al. (100) showed recently that during selection, the lower the expression of the protein of interest is in a pool of cells, the higher will be the frequency of clones with high productivity in this pool. To show this, they transduced a CHO

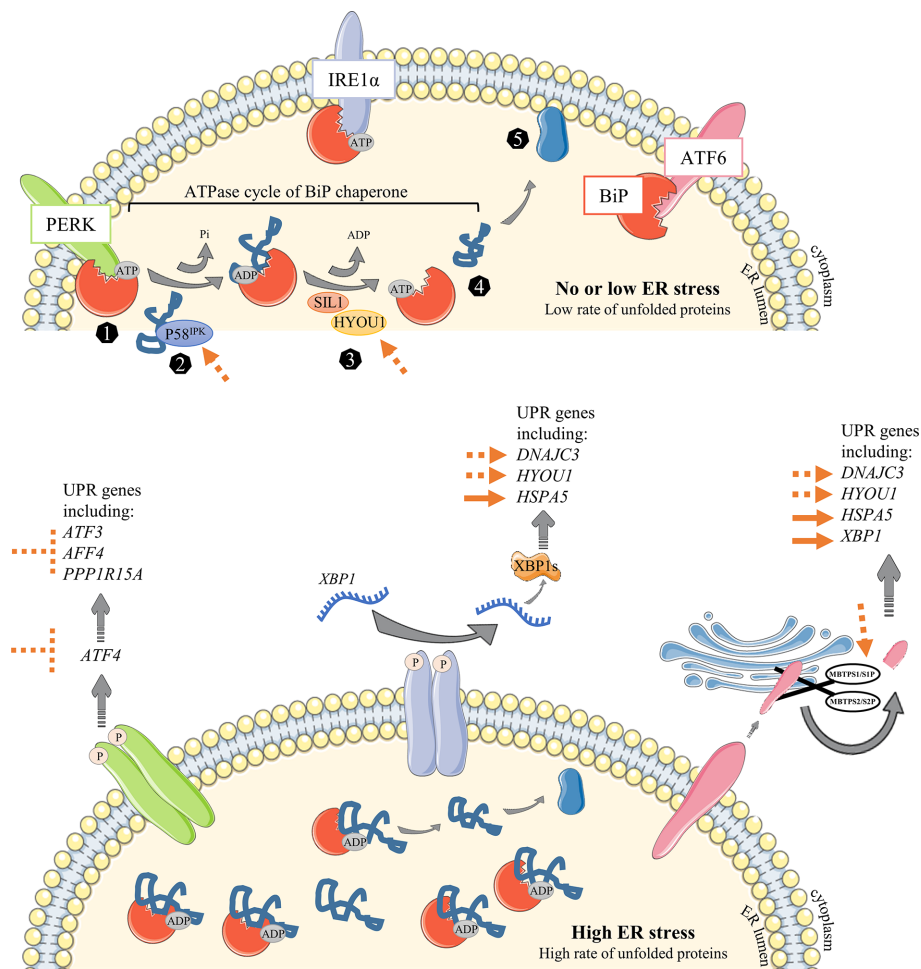


FIGURE 5 | Overview of known or suggested strategies to improve Ig production in CHO cells. In the first steps of NBC activation, a low rate of proteins is processed into the ER leading to no or negligible stress conditions (upper panel). When cells undergo the following steps of differentiation that will result in the generation of highly-producing secreted Ig PBs/PCs, mass of their ER is getting bigger and associated with an important stress. Unfolded Protein Response is then engaged to cope with the increasing amount of proteins in the ER. (1) The ER-resident chaperone BiP binds to unfolded and misfolded proteins and consequently releases the sequestered IRE1 α , PERK and ATF6 ER sensors, leading to their activation following (i) dimerization and auto-phosphorylation of IRE1 α and PERK elements or (ii) *MBTPS1*/S1P and *MBTPS2*/S2P – mediated cleavage of ATF6 α in the Golgi apparatus. (2) DnaJ family members such as P58^{IPK} (encoded by *DNAJC3*) bind directly to unfolded and misfolded proteins to guide their transfer onto an ATP-bound BiP. Given the ATPase activity of BiP, an inorganic phosphate (Pi) is instantly released from BiP, leading to a conformational modification which stabilizes protein into the ADP-bound BiP (3). Thereafter, nucleotide-exchange factors (NEFs) such as SIL1 and HYOU1 facilitate the release of ADP from BiP and the rebinding of ATP. 4-5) As a consequence, client is released and then processed to be secreted outside of the cell. The main strategies already proposed to improve Ig production in CHO cells are represented as full orange arrows. The strategies discussed in this review are represented as repressive or permissive dotted orange arrows.

cell line containing an inducible expression system called cumate-gene switch with an inducible plasmid containing the gene of interest. This system allowed to study the impact of high-versus low-expressing ones on final productivity. Therefore, reducing expression of recombinant proteins in CHO cells combined with selection of clones with higher capacities to deploy UPR, increases final recombinant protein titers and stability.

Decreasing ER stress during recombinant protein production has been one of the main concerns in mAb research since a few years. Therefore, controlling ER stress could represent a complementary aspect to the monitoring one. Work mainly focused on UPR-specific genes activation has been successfully done with factors such as IRE1 α (101), BiP (102, 103) or XBP-1 (104). PDIs (protein disulfide isomerases) which are upregulated during Ig production (such as *PDIA5* and *PDIA6* in cluster 4; **Figure 3A**) have also been shown to increase the protein secretion rate in CHO cells when overexpressed (105, 106).

As previously described in this review, BiP, XBP-1, IRE1 α and PDI are part of the cluster 4 in the dataset. Importantly, many other factors whose expression follows cluster 4 pattern are UPR sensors. Among them, P58^{IPK}, MIST1 (both described in chapter 2), DERL1, WFS1, or even MBTPS1/S1P (**Figure 5**) could represent new targets to improve ER stress response during the production of mAbs. As previously shown, some pathways relative to ER stress responses are engaged very early after B cell activation, as shown by the cluster 2 in **Figure 3A**. Therefore, it would be advantageous to study genes from cluster 2 such as *DDRGGK1* (UFBP1 protein, described in chapter 2), *PIGBOS1*, *HERPUD1*, *DCTN1*, *MBTPS2/S2P* or *ERO1A* in order to increase the number of potential novel engineering targets. HYOU1, whose expression pattern has been discussed in this review, could be another interesting target (**Figure 5**), since its overexpression was shown to improve ER stress responses in liver cells from obese diabetic mice (74) or neurons under hypoxia (72, 73).

Nonetheless, inhibiting UPR suppressors such as ATF6 β (107) could also represent an alternative way to increase mAbs productivity. Our analysis identifies *DNAJB9* from cluster 4 as a potential new target since it acts by inhibiting activation of IRE1 α (108). Moreover, playing with PERK signaling could offer new strategies to improve productivity. Thus, the control of PERK downstream targets such as *ATF3*, *DDIT3* or directly *ATF4* or *EIF2AK3* in CHO cells can protect cells from apoptosis (**Figure 5**). In accordance with our hypothesis, Roy et al. (96) observed a PERK inhibition feedback coupled with IRE1 α

activation in cells with high levels of IgG secretion after several days of culture and argued that a mechanism may exists in PCs to decrease apoptosis risk over production time.

CONCLUSION

The model used in our laboratory facilitates the study of B cell development from NBCs to the plasmablast stage and allows to visualize at molecular level any modifications occurring either early or late during the maturation process (6–8, 109–111). Indeed, this paper brings new elements concerning the regulation of PERK and some factors that could have an impact on the productivity of mAbs. PERK regulation is poorly studied in B cells and our present study merges RNA-sequencing with already published data regarding ER stress response in other cell types to unveil new mechanisms that need to be further studied in B cells. Additionally, despite the fact that research in mAbs engineering has been intensive over the past decades, here we have opened up new perspectives for the optimization of mAbs production.

AUTHOR CONTRIBUTIONS

ML performed the literature search, wrote and revised the manuscript, designed the figures and analysed the clusters with the DAVID bio-informatic tool. FC analysed the RNA-sequencing dataset, generated heatmaps and revised the manuscript. GC and TF revised the manuscript. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.671998/full#supplementary-material>

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Over-Generalizing About GC (Hypoxia): Pitfalls of Limiting Breadth of Experimental Systems and Analyses in Framing Informatics Conclusions

Mark R. Boothby^{1*}, Ariel Raybuck¹, Sung Hoon Cho¹, Kristy R. Stengel², Volker H. Haase³, Scott Hiebert² and Jingxin Li⁴

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Thierry Fest,
University of Rennes 1, France

Reviewed by:

Masaki Hikida,
Akita University, Japan
Fabrice Chatonnet,
Centre Hospitalier Universitaire (CHU)
de Rennes, France

*Correspondence:

Mark R. Boothby
mark.boothby@vumc.org

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¹ Department of Pathology, Microbiology & Immunology, Molecular Pathogenesis Division, Vanderbilt University Medical Center and School of Medicine, Nashville, TN, United States, ² Department of Biochemistry, Vanderbilt University School of Medicine, Nashville TN, United States, ³ Department of Medicine, Nephrology Division, Vanderbilt University Medical Center and School of Medicine, Nashville, TN, United States, ⁴ Medical Scientist Training Program, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, United States

Accumulating evidence suggests that many immune responses are influenced by local nutrient concentrations in addition to the programming of intermediary metabolism within immune cells. Humoral immunity and germinal centers (GC) are settings in which these factors are under active investigation. Hypoxia is an example of how a particular nutrient is distributed in lymphoid follicles during an antibody response, and how oxygen sensors may impact the qualities of antibody output after immunization. Using exclusively a bio-informatic analysis of mRNA levels in GC and other B cells, recent work challenged the concept that there is any hypoxia or that it has any influence. To explore this proposition, we performed new analyses of published genomics data, explored potential sources of disparity, and elucidated aspects of the apparently conflicting conclusions. Specifically, replicability and variance among data sets derived from different naïve as well as GC B cells were considered. The results highlight broader issues that merit consideration, especially at a time of heightened focus on scientific reports in the realm of immunity and antibody responses. Based on these analyses, a standard is proposed under which the relationship of new data sets should be compared to prior “fingerprints” of cell types and reported transparently to referees and readers. In light of independent evidence of diversity within and among GC elicited by protein immunization, avoidance of overly broad conclusions about germinal centers in general when experimental systems are subject to substantial constraints imposed by technical features also is warranted.

Keywords: hypoxia, intermediary metabolism, Germinal center (GC) B cells, RNA-Seq, polyclonal preimmune repertoire, BCR transgenic mice

INTRODUCTION

In the March 2020 issue of *Nature Immunology*, Weisel, Shlomchik, and co-workers presented interesting data pioneering the use of flow-purified B cells from BCR knock-in mice to explore substrate utilization and metabolic features of B lymphocytes (1). These included naïve B cells - in some but not all comparisons - and a population of germinal center (GC)-phenotype B cells recovered from recipients with a monomorphic B cell population designed to avoid inclusion of other B cells into GC (1–3). Comparisons also involved B cells after T-independent activation *in vivo* (1). In light of the limits to using bio-informatic data to reach conclusions about biological systems, the new evidence about fatty acid oxidation (1) advances insights beyond gene expression profiles comparing naïve and GC B cells (4). However, the paper evoked a need to evaluate the conclusive statement that the “GCBC transcriptome is not commensurate with [...] hypoxia” and similar broad conclusions of the text. This claim seems connected to a view of the authors that RNA-Seq data with GCBC do not contain evidence of enrichment for genes encoding glycolytic enzymes, or that such increases relative to naïve B cells would necessarily show up in a metabolomics analysis with ^{13}C -labeled glucose. These issues prompted examination of these and other data sets in GEO. The results of the analyses point to limits to the conclusions as stated in (1); they also raise a broader question about the system used for this work.

Several papers (4–6) have documented results from intravital labeling with imidazole compounds that covalently modify cellular constituents when the mitochondria of viable cells operate under reductive conditions due to intracellular hypoxia (7–9). Work under controlled conditions has shown that a meaningful signal above background is obtained only when the ambient pO_2 is below about 1–1.5%, levels sufficient to yield HIF stabilization (7–9). Indeed, direct evidence of increased HIF-1 α has been presented for both GC B cells (4, 5) and their Tfh counterparts (10), suggesting that many GC light zones are hypoxic. Of note, any issue of HIF function requires understanding that BCR engagement and TLR stimulation cause sustained HIF-1 α and HIF-2 α stabilization, which presents a drawback to comparing activated versus GC B cells. It might formally be possible that duration of the hypoxia, BCR signaling, and HIF stabilization failed to yield changes in mRNA concentrations large enough for enough gene products to yield a “statistically significant” result in a gene set enrichment analysis (GSEA) algorithm. Indeed, using a gene signature derived with the human breast cancer-like cell line MCF7 (11), the authors’ analysis of their purified GC B cells suggested that neither hypoxia-related nor HIF-1 target genes were enriched under their conditions of experimentation (1). Our previous published work had used GSEA with a gene signature indicative of biologically significant hypoxia (12) and scored the result of 2-fold normalized enrichment as statistically significant (4). In contrast, application of this gene signature to the data sets of (1) yielded a balanced mix of increased versus decreased mRNA and was not “statistically significant”. This difference along with

other disparities of the data prompted us to compare the informatic findings while also using additional benchmarks that could test each report for independent replication.

METHODS AND TECHNICAL LOG

Datasets comparing RNA expression data from “naïve” (IgD+ or Follicular) versus immunization-induced GC B cells were mined from the GEO depositions of raw sequencing data, all of which were generated with Illumina Hi-Seq 2000 or 2500 instruments. For a bespoke pipeline, sequences were trimmed using the fastp FASTQ preprocessor for overrepresented sequences and to remove any sequence with a quality score <10 (13). Trimmed sequences were aligned to the mm10 mouse genome using the STAR sequence aligner of Dobin et al. (14). Aligned sequences were then quality-tested using Qualimap (15) software, and counted using featurecounts in Rsubread (16). To cross-check results and to use a commercial platform readily accessible to anyone seeking to re-analyze the data herein, the RNA-Seq platform within the suites of Basepair Technologies were used. Processing of the gene expression and PCA were cross-checked by using the commercial pipeline of Basepair Technologies as applied to the primary data for naïve and GC B cells of the papers cited as (1, 4, 17, 18).

For heatmap generation (**Figures 2A, B**) *via* the Basepair Technology platform, first the raw read counts generated using STAR aligner and featurecounts were normalized using the DESeq2 package. DESeq2 (19) performs an internal normalization where geometric mean is calculated for each gene across all samples. The counts for a gene in each sample are then divided by this mean. The median of these ratios in a sample is the size factor for that sample. After this, a Z-score normalization is performed on the normalized read counts across samples for each gene, so that Z-scores are computed on a gene-by-gene (row-by-row) basis by subtracting the mean and then dividing by the standard deviation. Computed Z scores were then used to plot heatmaps in which each row represents one gene. There were no substantive differences between different data sets in the quality [for naïve and GC B cell RNA respectively, 92% and 91–92%, 95% and 94–95%, 94% and 93%, and 87% and 91% for the papers referenced in the main text as (1, 4, 13, and 14, respectively) *via* the in-house pipeline uniformly applied to all samples. Comparable values, all above 90%, were generated by the Basepair Technologies pipeline and STAR alignment algorithms. Length scoring also was indistinguishable among the different datasets, two of which [the papers cited as (1) and (18) in the main text] were generated by paired-end sequencing. These steps were followed by multi-factor differential expression analysis in which deposited datasets as well as “naïve” vs. “GCB” expression data were compared using DESeq2 (20). Effect size shrinkage of differential expression data was normalized using the *apeglm* method published by Zhu et al. (21). Euclidean distances and Spearman correlation coefficients were derived by applying a standard “dist” function

in R to the data described above. Gene set enrichment analyses were carried out using the GSEA program of the Broad Institute (22, 23) and gene sets derived from published literature, the Rat Genome database (“RGD”), and the Broad KEGG database. As a technical and data note, the informatics pipeline reported in (1) used voom instead of DESeq2, followed by rankSumTestWithCorrelation instead of GSEA. As expected from the technical literature (19, 24–27), the results from each pipeline did not materially differ when applied to the GEO-deposited data of (1). For **Figure 2D** display of count data in a manner that reduces dependence on the variance of the mean for low count data, blinded dispersion estimation using the variance stabilizing transform, VST (28), was conducted on the DESeq2 count data followed by generation of a heat map in which the count data are displayed rather than relative expression.

RESULTS

GC hypoxia was observed with several types of immunization, including with NP-carrier (ovalbumin) (6), but only one paper (4), by Cho, Boothby, et al., had RNA-Seq data for comparisons. However, contemporaneous (2016, 2017) papers with data deposited in GEO had replicate data on naïve and bulk GC B cells (i.e., unfractionated mixtures of all of the diverse types of GC B cell, **Figure 1A**) in a similar time frame (7–10 d) after immunization with the same immunogen (SRBC) (17, 18). We analyzed the sequencer output data for all four papers (1, 4, 17, 18) using the same two parallel pipelines (one assembled in-house, detailed in a technical log appended to this Perspective; a second *via* Basepair Technologies, Inc for an independent framework).

Several salient observations emerged from these comparisons. Unsupervised clustering with Spearman correlation analyses

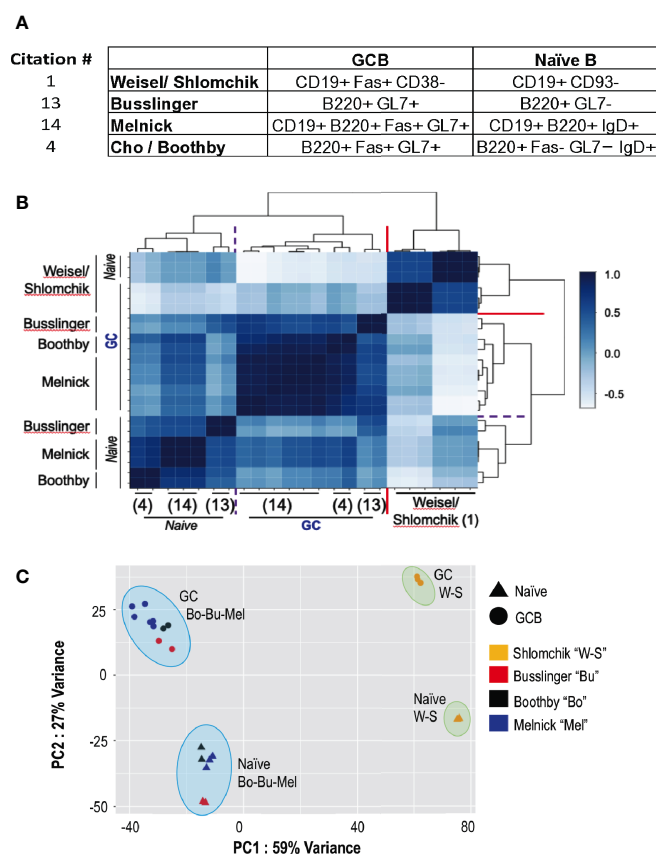


FIGURE 1 | Quantitative comparisons of the overall RNA-Seq data for naïve and GC B cells in the transgenic and non-transgenic systems. Raw RNA-Seq data for naïve and GC B cells were downloaded from the GEO deposits for the papers cited as (1), “W-S” (17); “Bu” (4); “Bo” (18); “Mel”, and put through each of two separate analysis pipelines applied uniformly to all data (detailed in the Methods and technical log). **(A)** A tabulation of the surface markers used to purify naïve (or naïve follicular) and total germinal center B cells in the papers analyzed. **(B)** Self-organizing map from unsupervised clustering based on Spearman correlation coefficients across the data sets of the papers cited as (1, 4, 17, 18). Darker blue represents strong positive correlation (1.0 = identical across the RNA-Seq data); lightest blues are anti-correlated. **(C)** Shown here, a PCA plot depicting results across the datasets for the indicated conditions (triangles, naïve; circles, GC B cells) and datasets (color-coded as to the paper linked to each GEO data set according to the Legend) generated using the bespoke analysis pipeline detailed in the *Methods and Technical Log* (below). X- and Y- axes are defined by PC1 and PC2, accounting for 59% and 27% of the variance across the datasets, respectively. The results match those derived by the fully independent analysis using the default settings in Basepair Technologies’ pipeline (not shown).

(**Figure 1B**), Principal Components analyses (**Figure 1C**), and the Euclidean distances among the different types of samples, revealed that the results from (1) differ substantially from the data of (3), (17), and (18). *First*, the mRNA expression pattern of GC B cells generated after transferring large numbers of B cells [apparently, 10^6 - (2) as cited in (1)] biased toward a single specificity BCR (B1-8ⁱ V κ -/-) into recipient mice with a monomorphic B cell population specific for Ig (AM14-Tg \times V κ 8R-KI BALB/c mice, i.e., specific for allotype-disparate IgG2a^b) differed substantially from the other samples. A measure of overall differences between naïve and GC B cells was less in (1) (mean Euclidean distances of 126.3 ± 0.69) than the difference between the GC B cells of the transferred B1-8i, V κ -/- cells (1) and the polyclonal GCBC (4, 17, 18) (mean Euclidean distances of 170.3 ± 10.3 ; $p < 0.01$) as well as those of non-transgenic naïve versus GC B cells ($147.6 \pm \text{SEM of } 3.3$; $p < 0.05$). *Second*, the GC B cells from mice with a normal pre-immune repertoire (4, 17, 18) were substantially more similar to one another in comparing among three independent analyses (correlation coefficients in the range of +0.41 to +0.92) as opposed to anti-correlation of the B1-8i-derived GCBC, (correlation coefficients of -0.05 to -0.32). Although each of the independent data sets with the non-transgenic C57Bl/6 (B6) system differed somewhat – internally and from each other – the RNA-Seq “fingerprints” of naïve B cells in the polyclonal system were quite similar. Thus, the data were replicable when comparing independent SRBC immunizations of mice with no restrictions of the repertoire or BCR. In contrast, even the naïve B1-8ⁱ V κ -/- B cells were quite distinct from the clusters of polyclonal naïve B cells (**Figures 1B, C**). Relative to the data from (18) as well as (4), the changes were more modest in (1). The similarities and differences among independent data sets can also be parsed by heat maps of differentially expressed genes among the data sets, analyzing either the total set thereof or highlighting specific genes that are known to encode major determinants of B cell positioning, signal initiation during activation, of differentiation into GC B or plasma cells (**Figures 2A, B**). In practice, the collective data (**Figures 1B, C; 2B**) indicate that the GCBC generated after adoptive transfers of B cells with a restricted repertoire into mice whose endogenous B cells will contribute little to the GC are qualitatively distinct from a polyclonal response that evolved from a polyclonal repertoire.

Turning to the question, are there hypoxia-related gene signatures in GC B cells when taking into account other work with a polyclonal repertoire, GSEA algorithms were applied using several different gene sets for hypoxia (**Figure 2C**). A further facet of what the analyses revealed is that with some hypoxia modules, even the RNA-Seq data of (1) show significant enrichment in GSEA (**Figure 2C**). These increases can also be appreciated in data with the actual counts when displaying all naïve and GC B cell data (**Figure 2D**). Moreover, RNA-Seq data with GC from NP-CGG-immunized mice with a normal pre-immune landscape (29) also show enrichment for a functional hypoxia signature (**Figure 2C**, data from the Pernis lab), and divergence from the B1-8i data. Metabolism rather than hypoxia was the central point of (1), a part of which was indirect evidence

about glycolytic rates – perhaps on the view that if HIF were stabilized, expression of glycolytic genes should be increased. Prior work had provided evidence that the “glycolysis”, “mitochondrial respiration/oxidation” and “FAO” transcriptomes were increased in GCBC when compared to naïve B cells (4). Although this analysis was not evident in the Figure presented in (1) using the B1-8i system, a GSEA comparing naïve and GCBC with the RNA-Seq data in (1) found significant increases in glycolysis-related gene expression (**Figure 2C**), which also applied to each of the other data sets. Indeed, expression of genes encoding enzymes along the glycolysis pathway increased in GC B cell data sets of (1) as well as the polyclonal B6 GC B cells when compared to naïve B cells (**Figure 2D**).

DISCUSSION

The data presented here underscore that what is measured for an overall population of GC B cells is influenced by the structure of the experiment and the inputs analyzed. Key findings are that the cells analyzed in (1) were distinct from and changed less from naïve to GC phenotype than what is found in reproducible data with B cells that derived from a normal polyclonal repertoire (1, 17, 18). B1-8i, V κ -/- B cells started out with substantial differences in activation markers such as *Nr4a1* and differentiation-related genes, i.e., *Prdm1* and *Irf4*. Of note, the differences do not relate to the particular informatic tools but instead to the selection of inputs. Thus, the pipelines used here yield similar results to those of (1) if, and only if, the analyses are restricted to those data sets and only use the selections in that work. Several factors may be involved in the differences between GC B cells in (1) from those in analyses published several years previously (4, 17, 18). Akin to findings with T cells (30), the experiments in (1) may involve the intra-clonal competition shown to result from using hundreds of thousands of transferred antigen receptor-transgenic cells (31, 32) – as already documented for B1-8i (31). In addition, the metabolic environments of highly diverse GC may differ from the secondary follicles that ensued with the transfer system used in (1). These factors warrant investigation, but the differences emphasize why it is vital that stated conclusions be restricted by limitations of the approach – not least when the approach is quite artificial.

GC are quite heterogeneous (33, 34). This point suggests that caution is warranted from the outset, militating against framing conclusions as blanket generalizations to apply across all GC. In terms of hypoxia or HIF gene signatures, prior work reported (4) that as many as 20% of splenic GC had no signal of intravital hypoxia, a variegation observed in parallel by others (J. Jellusova, personal communication). An integrative possibility is that hypoxia and/or its influence are reduced in GC designed to minimize bystander B cell involvement and dominated by a single specificity, as in (1–3). One technical issue is that there is no validated universal ‘hypoxia’ or ‘HIF’ module for activated lymphocytes, let alone a unitary module that includes GC B cells. Hypoxia and HIF responses are protean, but three decades of

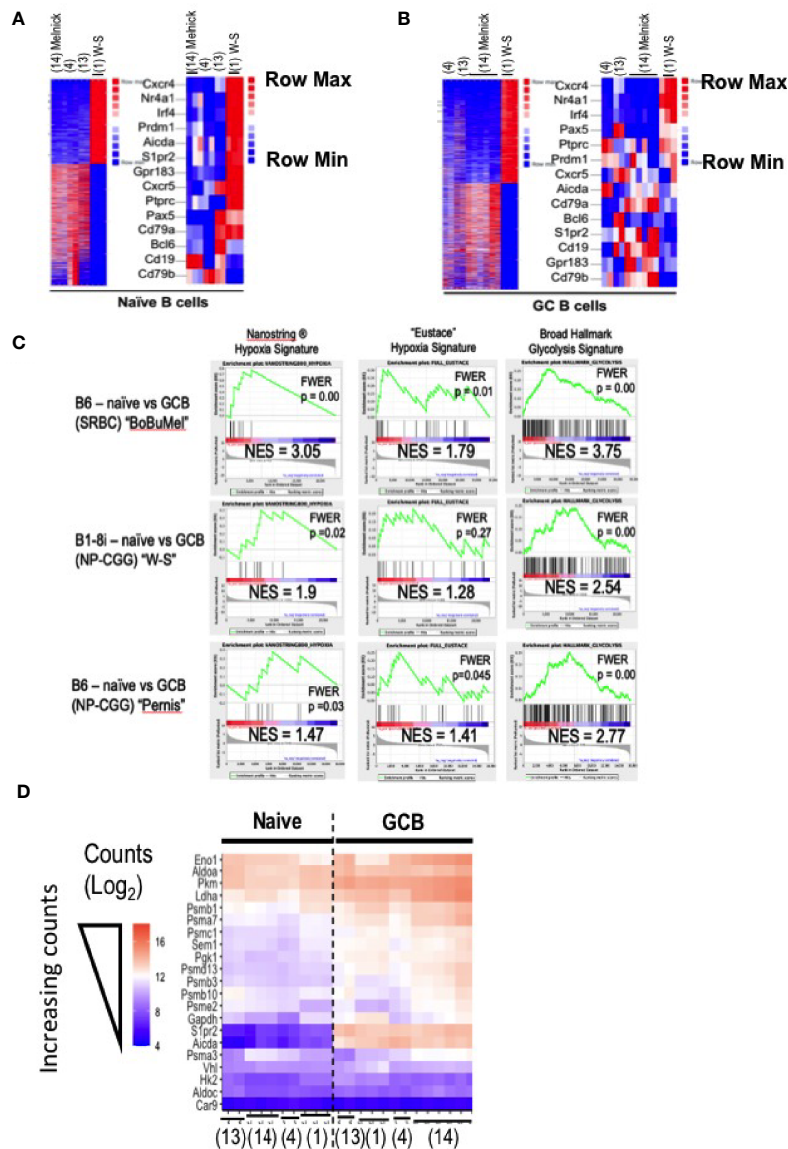


FIGURE 2 | Comparisons of condition-dependent differential gene expression. As in **Figure 1**, GEO data deposits were downloaded for the papers cited as (1), “W-S” (17); “Bu” (4); “Bo” (18); “Mel” and processed by trimming, alignment, generation of normalized counts (FPKM), and quantification of differential expression using each of two independent pipelines (i.e., both bespoke and Basepair Technologies’). **(A, B)** Heat maps generated in the Basepair Technologies platform and derived from differential expression analyses comparing the groups “SRBC-immunized B6 mice” versus the BALB/c B1-8i, Vk α -/- system are shown for **(A)** naïve and **(B)** GC B cells. In each panel, all differentially expressed genes (16% and 14% of totals, respectively, i.e., 3214/22,843 and 3461/21,327 genes differentially expressed >2-fold with p -adjusted < 0.05) are displayed. To the right, a subset of B lineage-specific or other genes functionally relevant in GC biology are shown. In these heat maps, entries that are dark red are upregulated and those that are blue are downregulated. Since the rows (genes) are Z-Score scaled, the maps report differences in expression of single genes across the samples. **(C)** Gene Set Enrichment Analyses (GSEA) were performed using the Broad algorithm as detailed in the Methods log. Analyses were performed both with data derived by the bespoke pipeline (shown here) and with the expression data exported from the Basepair pipeline, which yielded congruent results to those shown here. Inset values show the normalized enrichment score (NES) and p value after compensation for multiple comparisons (FWER), as indicated. Each panel displays results for a separate analysis in which ranked differential expression data were processed using the Broad Institute’s GSEA software. The three columns represent outputs obtained using the indicated gene signatures: Hypoxia Signature as established by Nanostring Technologies®, the hypoxia gene signature of Eustace et al. [as in references (4, 12)], and the Broad Institute’s Hallmark Glycolysis gene set. The rows of panels identify the RNA-Seq data sets that were analyzed in comparisons of GC to naïve B cells: (i) data from like samples in (4, 17, 18); (ii) data from (1); and (iii) data from (29), all of which were processed through the same pipeline with same parameters. **(D)** A heatmap of the blinded variance-stabilizing transformed (VST) count data for selected genes encoding glycolytic enzymes or hypoxia-related genes in all samples [naïve and GC B cells of (1, 4, 17, 18)], benchmarked against two genes (*Aicda*; *S1pr2*) known to be highly expressed in GC B cells. Color coding from lowest (darker purple) counts to highest (deepest peach) counts is as indicated. Columns position (placement and order) was the computational result of self-organizing mapping, with expected separation of naïve from GC B cells. Citations below the heat map indicate the publication sourcing of each column’s data.

papers address cell type-specific functionality of a transcription factor. Thus, some restraint may be warranted before concluding that a GC transcriptome is not commensurate with hypoxia or conflating HIF with a particular magnitude of increased expression of genes encoding the enzymes of glycolysis or glycolytic flux. The issues connected to these questions take on added currency in considering antibody diversification during the persistent hypoxemia of many patients with severe CoVID-2019 infection. Thus, a great challenge will be to assess if human GC (in which questions about hypoxia, HIF, and *in situ* metabolism) exhibit effects such as those uncovered in mice (4–6) - either in normoxemic people or during concurrent hypoxemia.

Of course, such studies may rely heavily on scRNA-Seq and informatics analyses – conflicting or not – cannot settle secondary issues such as glucose uptake and utilization. Translation efficiency, post-translational modifications, and complex but unknown aspects of nutrient supplies, substrate concentrations, and substrate competition along webs of interconnected pathways all are downstream from the mRNA in question. That simply means that direct rigorous biochemical assays of glucose uptake per cell [e.g., ^3H -2-deoxyglucose (DG), since 2-NBDG is known not necessarily to correlate with glucose entry rates (35)] and of glucose oxidation are needed before stating or accepting broad conclusions about germinal center B cells based on a single or special case as in (1). In this respect, normalizing a measure such as uptake to cell size – which is distinct from suitable compensation for autofluorescence in flow cytometry - lacks rigor. Normalization to size is analogous to concluding that a 160 kg person eating 4400 kCal/d has the same energy intake as one who is 80 kg and eats 2200 kCal/d, or claiming that a truck does not consume more fuel than a compact sedan if the size-normalized fuel consumption is the same. With respect to conclusions based solely on informatics, it is proposed that a reasonable standard is to (a) compare new RNA-Seq data sets to independent entries in GEO, and (b) quantify the correlation to - or difference from - those that are in GEO and readily comparable (i.e., not micro-array to RNA-Seq). Of essential importance, some

restraint in statement of conclusions, along with openness about limitations, is vital at a time when the societal landscape is roiled by consequences of how scientists frame their work or evidence.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/**Supplementary Material**.

AUTHOR CONTRIBUTIONS

MB wrote the text and guided analyses along with getting presubmission input from three independent faculty/PIs. AR led the primary informatic analyses, prepared figures, drafted portions of text, and edited the overall text. SC, KS, and JL performed analyses and provided insights into the RNA-Seq data and gene sets in the papers meta-analyzed. VHH provided input and guidance to SHC and MB; SHC provided input and guidance to KS and MB. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.664249/full#supplementary-material>

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T Follicular Regulatory Cells: Choreographers of Productive Germinal Center Responses

Yisi Lu¹ and Joe Craft^{1,2*}

¹ Department of Immunobiology, Yale School of Medicine, New Haven, CT, United States, ² Department of Internal Medicine, Yale School of Medicine, New Haven, CT, United States

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Thierry Fest,
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Wataru Ise,
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Canada

*Correspondence:

Joe Craft
joseph.craft@yale.edu

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T follicular regulatory cells, or Tfr cells, are a discernable population of regulatory T (Treg) cells that migrate to the B cell follicle and germinal center (GC) upon immune challenge. These cells express the transcription factor Bcl6, the master regulator required for development and differentiation of T follicular helper cells, and are among a group of previously described Treg cells that use T helper cell-associated transcription factors to adapt their regulatory function to diverse milieus for maintenance of immune homeostasis. While there is consensus that Tfr cells control B-cell autoreactivity, it has been unclear whether they regulate productive, antigen-specific GC responses. Accordingly, understanding the regulatory balancing that Tfr cells play in maintenance of B-cell tolerance while optimizing productive humoral immunity is crucial for vaccine-design strategies. To this end, we discuss recent evidence that Tfr cells promote humoral immunity and memory following viral infections, fitting with the accepted role of Treg cells in maintaining homeostasis with promotion of productive immunity, while mitigating that which is potentially pathological. We also propose models in which Tfr cells regulate antigen-specific B cell responses.

Keywords: autoimmunity, B cell follicle, Bcl6, germinal center, humoral immunity, T follicular helper cells, T follicular regulatory cells

Antibodies form the first line of defense against invading pathogens and provide the basis for successful vaccines *via* humoral memory (1). Upon encounter with pathogens, naïve follicular B cells are activated and migrate into the T-B border of the spleen or interfollicular regions of lymph nodes, where they become fully activated upon further interaction with antigen-specific T cells (2–6). A subset of the activated B cells differentiates into short-lived plasmablasts secreting low-affinity antibodies in the splenic red pulp or medullary cords of lymph nodes, while in parallel, other B cells migrate back into follicle to seed early germinal centers (GCs) (7).

A subset of effector CD4⁺ T cells, T follicular helper (Tfh) cells defined by expression of the transcriptional factor Bcl6, also migrate into the early GCs. Therein, B cells undergo proliferation and somatic hypermutation of their immunoglobulin (Ig) genes, followed by a process in which

the fittest B cells – those able to capture antigen *via* surface Ig and best present it on surface MHCII – are selected by follicular helper T (Tfh) cells (8). The strength of interaction between Tfh cells and GC B cells, which is proportional to the amount of antigen presented by GC B cells, drives the cyclic reentry and determines the cell cycle speed and number of division of GC B cell clones (9–11). The selected GC B cell clones then differentiate and mature into memory B cells and long-lived antibody-producing plasma cells, together forming the basis of humoral memory and associated pathogen protection. Upon pathogen re-exposure, high-affinity, protective antibodies secreted by LLPCs are a first line of protection; additionally, pathogen-specific memory B cells are activated and rapidly mature into plasmablasts to produce protective antibodies (12).

T follicular regulatory cells, or Tfr cells, express the germinal center (GC)-defining transcription factor Bcl6, and migrate to the B-cell follicle following immunization and infection, adding to the complexity of interplay between different cell types within the B cell follicle and GC and the heterogeneity of Treg cells that express T helper (Th) cell transcription factors (13–18). The localization of Tfr cells to the GC is believed to be dependent on the chemokine receptor CXCR5; however, a recent study showed that Tfr cells can access the GC in a CXCR5-independent manner, suggesting the possibility of other molecules, such as CXCR4 or S1PR2, playing a similar or redundant role of facilitating their migration (19). It is crucial to understand whether these Treg cells have acquired specialized function to regulate B cells responses and fine-tune their output, including development of humoral memory. Such insights will contribute to efforts in vaccine design.

Tfr cells differentiate from CD25^{hi} Foxp3⁺ Treg precursors (13, 20), while co-opting the developmental pathway of Tfh cells, dependent on the same signaling cues including CD28, signaling lymphocytic activation molecule (SLAM)-associated protein

(SAP), and inducible T cell co-stimulator (ICOS) (13, 14). One important aspect of the Tfr cell differentiation process is downregulation of CD25 expression (20–22), with these cells comprising both CD25⁺ and CD25[−] populations with the former a transitional phenotype potentially because of their high CCR7 expression compared to their CD25[−] counterparts (21). The necessity of downregulation of CD25 in Tfr cells lies in that IL-2 signaling leads to phosphorylation of Stat5 and the downstream upregulation of Blimp1, which antagonizes Bcl6 (23, 24). Thus, in order to upregulate Bcl6 expression, Tfr cells downregulate CD25, possibly through a mechanism of upregulation of ASCL2 (21, 25). Yet, the expression of key functional molecules in Tfr cells, such as Foxp3 and CTLA-4, are similar between CD25⁺ and CD25[−] populations (21).

Treg cells are crucial for the maintenance of tolerance and homeostasis. Seminal work from Shimon Sakaguchi showed that athymic nude mice lacking T cells developed autoimmunity in several organs upon adoptive transfer of T cells lacking the CD4⁺CD25⁺ Treg population (26). This work demonstrated the classical function of Treg cells, which is limiting inflammation mediated by T effector cells to minimize associated tissue damage

and prevent autoimmunity. Since then, various mechanisms of action by which Treg cells function in maintenance of immune and tissue homeostasis have been elucidated, which have been extensively reviewed elsewhere (27–30).

Accumulating evidence suggests that Treg cells also actively promote productive immune responses upon immune challenge, rather than functioning merely as suppressors. Early after infection, Treg cells optimize the chemokine milieu to ensure recruitment of the appropriate effector cells to sites of infection, for example in a model of mucosal herpes simplex virus infection (31). By controlling the overproduction of chemokines (CCL-2/3/4/5) from antigen-presenting dendritic cells (DCs), Treg cells also promote the avidity of CD8⁺ T cell primary responses by limiting priming of low-avidity CD8⁺ T cells (32–34). Subsequently, the disruption of high-avidity CD8⁺ T cell responses during the primary infection leads to an impaired CD8⁺ memory response in a model of recombinant *Listeria monocytogenes* infection (32). Treg cells have been found to act as an IL-2 sink during priming of CD8⁺ T cells to shield them from excessive IL-2 signaling, therefore favoring their differentiation into memory precursor effector cells rather than short-lived effector cells, thereby promoting effective secondary CD8⁺ T cell responses (35, 36). In a like vein, Treg cell-mediated dampening of inflammation during the resolution phase of the infection is crucial for the maturation of CD8⁺ T cell memory responses, mediated by Treg cell-derived IL-10 and CTLA-4 (37, 38). Treg cells additionally promote the formation of resident CD8⁺ memory T cells through the production of TGF- β in a model of West Nile virus infection (39). Independent from their role in suppression, Treg cells support tissue protection in an influenza virus infection model (40), reminiscent of their tissue repair function in nonlymphoid tissues (41). These lines of evidence, among others, support the idea that Tregs are essential for development of protective immune responses.

In line with these findings, we propose that functions of Tfr cells can be categorized into maintaining self-tolerance as well as promoting effective humoral responses. There are necessary mechanisms to maintain self-tolerance as B cells mature to antibody-secreting cells (ASCs) or memory cells because of the propensity for development of self-reactivity, particularly within the GC due to rapid proliferation with somatic hypermutation (SHM) of the antigen-binding variable region of immunoglobulin genes (42). Tfr cells thus serve as an additional level of regulation. For example, in the absence of Tfr cells, there is development of self-reactive ASCs in models of influenza virus infection, suggesting that one of the functions of Tfr cells is to prevent the expansion of autoreactive B cell clones (20). Protein immunization also revealed autoreactive IgG and IgE in the serum of mice lacking Tfr cells (43). Tfr-deficient mice also spontaneously develop autoantibodies at older age (44, 45). The mode of action by which Tfr cells maintain tolerance is incompletely understood, with CTLA-4 being implicated (46, 47) based on known function of this molecule in regulating peripheral tolerance (48) and with neuritin produced by Tfr cells suppressing the development of autoantibodies and IgE class switching (45).

Whether and how Tfr cells optimize GC responses and promote effective humoral memory is incompletely understood. In an protein immunization model, adoptive transfers of CXCR5-deficient Treg cells and naïve wild type CD4⁺ T cells into T-cell deficient recipients lead to enhanced antigen-specific antibody responses (14, 15). In genetically engineered mouse models lacking Tfr cells, antigen-specific B cell responses are elevated both *in vitro* and *in vivo* following immunization with protein-hapten conjugates and in a house dust mite challenge allergy model (43, 49), with other work revealing reduced antigen-specific IgE and IgG titers in Tfr-deficient mice in food allergen sensitization and immunization settings, respectively (50, 51). Different allergy models with distinct response kinetics and different Tfr-deficient animals were used in these two settings, which may potentially explain the contrasting results. In addition, depleting Tfr cells but not Tregs specifically using SAP KO (which do not form Tfh or Tfr cells but do form Treg cells) and Foxp3-DTR (which lack Tfr cells) mixed bone marrow chimeras as well as Bcl6^{flox/flox} Foxp3-Cre animals following a similar protein immunization model resulted in decreased antigen-specific GC B cells (13, 45).

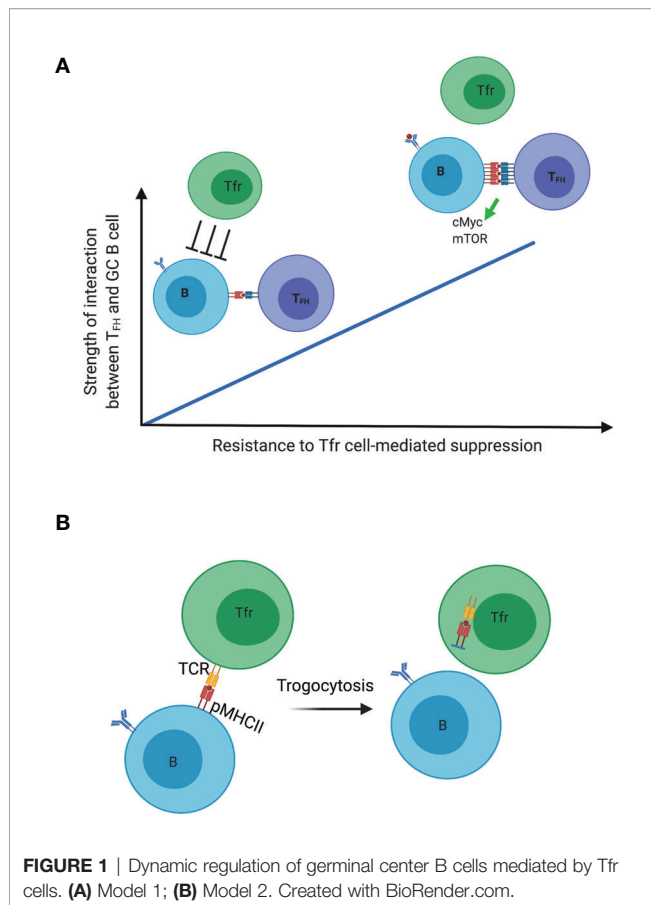
Yet, it is likely that the function of Tfr cells depends on the complexity of the antigen, and its potential for replication. The maintenance of diverse antigen-specific and non-antigen-specific B cell clones upon viral infection in which the antigen is complex with distinct epitopes and replicative persistence is distinct from an immunization model with simpler, non-replicative antigens (52). The complexity of the antigen determines the kinetics of competition among different clones within the GC and therefore the rate of achieving homogenizing selection (52, 53). It is possible that one of the functions of Tfr cells is to maintain the breath of the response as it allows more layers of regulation in the selection process. Such function would be more important when there are lots of competing clones and there is the need of maintaining non-immunodominant antibody clones as in the case of influenza virus infection. Equally likely is the possibility that different infection or immunization routes in distinct local immune microenvironments leads to distinct Tfr cell-mediated effects (e.g., mucosal *versus* systemic). Consistent with the latter is the finding that mice developed impaired antigen-specific antibody response in the lack of polyclonal Treg cells in a mucosal immunization model (54), with the idea that Tfr cells contributed to the observed phenotype.

Recent studies have used influenza virus infection models to study the function of Tfr cells (20, 44). The total numbers of Tfh cells and GC B cells were not affected in the absence of Tfr cells at either day 9 post infection (p.i.) (44) or day 30 p.i. (20). At day 9 p.i., the difference of anti-influenza virus antibodies in mice with and without Tfr cells was not detectable, although there was enhanced immune protection in the absence of Tfr cells (44). At day 30 p.i., there was a trend of reduced influenza virus-specific antibodies in the Tfr-deficient animals compared to controls (20). This work pointed to the potential of Tfr cells in regulating viral-specific GC output and humoral memory.

Our work has demonstrated that Tfr-cell derived IL-10 driving GC B cell transcriptional programs was necessary to maintain B cell responses following acute infection with lymphocytic choriomeningitis virus (LCMV), exemplifying the role of Tfr cells in optimizing productive humoral immunity following pathogen challenge (55). We subsequently showed that Tfr cells promote antigen-specific germinal center B cell responses during the late course of intranasal influenza virus infection (56). In the absence of Tfr cells, using Bcl6^{flox/flox} Foxp3-Cre animals, we observed alterations in the BCR repertoire, reduced numbers of virus-specific, long-lived plasma cells, as well as decreased antibody titers against both hemagglutinin (HA) and neuraminidase (NA), the two major influenza virus glycoproteins. To further investigate the functional relevance of Tfr cells during viral challenge, we utilized a sequential immunization model with repeated exposure of antigenically partially conserved strains of influenza viruses, revealing that Tfr cells promote recall antibody responses against the conserved HA stalk region. Thus, our studies in aggregate demonstrated that Tfr cells promote antigen-specific B cell responses and are essential for the development of effective long-term humoral memory.

These findings suggest that Tfr cells are necessary and sufficient to maintain the optimal antigen-specific humoral response, although the mechanism is unclear. How might Tfr cells necessarily suppress non-antigen specific or autoreactive GC B cells while sparing the antigen-specific ones? First, it is a model reminiscent of Treg cells optimizing CD8⁺ T cell responses with Treg cells preferentially suppressing responses by T cells that have weak, lower-affinity interactions with their cognate antigen (also the reason that Treg cells control self-reactive T cells due to their low-affinity) while sparing high-affinity interactions (32). One can imagine a similar scenario for the selection process of antigen-specific B cells regulated by Tfr cells within the GC. Specifically, as a positive selection signal is directly proportional to the strength of interaction between Tfh cells and GC B cells, Tfr cells selectively inhibit non-antigen-specific and autoreactive GC B cells based on their low-strength interactions with Tfh cells, whereas antigen-specific GC B cells that engage in high-strength interactions with Tfh cells overcome this suppression (**Figure 1**). The expression of positive selection signal, such as mTORC and cMyc signaling which are upregulated in GC B cells having recently received Tfh cell help, is likely to serve as a proxy for the strength of interaction that can be regulated by Tfr cells (57, 58). Furthermore, over-proliferation and outgrowth of non-antigen specific B cell clones in the absence of Tfr cells can compete for limited Tfh cell help within a given GC, therefore resulting in impaired antigen-specific B cell responses.

A second model by which Tfr cells promote productive immunity is their capacity to employ trogocytosis to reduce peptide-MHCII complexes on the surface of GC B cells, in this case those that are not specific to the immunizing antigen (**Figure 1**). Treg cells have been demonstrated to deplete peptide-MHCII complex from dendritic cells through trogocytosis in an antigen-specific manner to limit antigen



presentation and subsequent effective priming of naïve antigen-specific T cells (59). Treg cells can also deplete CD80 and CD86 molecules on DCs in a similar fashion (60). If the specificity for Tfr cells is for self, and distinct from that of Tfh cells, the former may strip these antigens presented by GC B cells. With reduced antigen presentation, such GC B cells lose in the competition to other GC B cells presenting the immunizing antigen to elicit Tfh cell help. This would be another level of control to inhibit the outgrowth of autoreactive or non-antigen specific B cell clones. Our unpublished data show that Tfr cells indeed have higher surface expression of MHCII compared to that of non-follicular Treg cells at late time points following influenza virus infection (when the DC-Treg interaction is past the peak), which suggests the possibility of Tfr cells acquiring these molecules from the surface of GC B cells.

This second model is based on an assumption requiring further testing, that Tfr cells do not have specificity for the antigens of immune challenge or that the specificity for these is low. Treg cells can directly kill B cells through perforin and granzyme B in an antigen-specific manner (61); however, the specificity of Tfr cells is not well defined. There are contrasting results with work suggesting that Tfr cells can be specific for the immunizing antigen and the other data arguing that Tfr cells do not recognize the immunizing antigen with their

repertoire distinct from that of Tfh cells which are antigen-specific (62, 63). One potential explanation for the distinct results is the differences in immunization models and immunizing antigens. Thus, it is important to examine the TCR specificity of Tfr cells in models using complex antigens in physiologically relevant models, such as influenza virus infection. Tfr cells differentiate from Treg cell precursors (13–15), so it is not surprising that there is substantial resemblance between the TCR repertoires of Tfr and Treg cells (63). However, it is not clear whether Treg cells recruited into the GC have specific TCR usage profile compared to the non-follicular Treg cells. The TCR repertoire analysis of Tfr cells following infection will answer questions of whether a particular subset of Treg precursors preferentially differentiate into Tfr cells and the polyclonality of these cells following influenza virus infection.

It will be of interest to identify Tfr-cell associated molecules (e.g., cytokines, surface molecules) that might mediate the aforementioned proposed processes of optimizing antigen-specific B cell responses within the GC. Furthermore, it is important to study the interaction among Tfr cells, GC B cells and Tfh cells to better understand the mechanism by which Tfr cells regulate the selection process of GC B cells. While our work and that of others demonstrate that Tfr cells do not affect Tfh cell numbers during infection (44), there is also limited evidence indicating that Tfr cells directly interact with GC B cells (45, 64). Imaging analyses are necessary to address how Tfr cells may choreograph the intricate dance within the GC. Interestingly, observations from us and others point to a population of Foxp3⁺ T cells in the follicles of lymphoid organs under steady state (65). It will be curious to explore whether these cells readily differentiate into Tfr cells and how they may regulate early responses in the follicles following infections.

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Coupled Antigen and BLIMP1 Asymmetric Division With a Large Segregation Between Daughter Cells Recapitulates the Temporal Transition From Memory B Cells to Plasma Cells and a DZ-to-LZ Ratio in the Germinal Center

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UMR 9019 Intégrité du Génome
et Cancers, France

Reviewed by:

Ziv Shulman,
Weizmann Institute of Science, Israel
Hong Zan,
The University of Texas Health Science
Center at San Antonio, United States

*Correspondence:

Antoine H. C. van Kampen
a.h.vankampen@amsterdamumc.nl

[†]Present address:

Philippe A. Robert,
Department of Immunology, University
of Oslo, Oslo, Norway

[‡]These authors share first authorship

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Elena Merino Tejero^{1‡}, Danial Lashgari^{1‡}, Rodrigo García-Valiente¹, Jiaojiao He^{2,3},
Philippe A. Robert^{4†}, Michael Meyer-Hermann^{4,5}, Jeroen E. J. Guikema⁶, Huub Hoefsloot⁷
and Antoine H. C. van Kampen^{1,7*}

¹ Bioinformatics Laboratory, Epidemiology and Data Science, Amsterdam Public Health Research Institute, Amsterdam
Institute for Infection and Immunity, Amsterdam, Netherlands, ² Bioinformatics and Systems Biology, Vrije Universiteit
Amsterdam, Amsterdam, Netherlands, ³ Bioinformatics and Systems Biology, Institute for Life Sciences, University of
Amsterdam, Amsterdam, Netherlands, ⁴ Department for Systems Immunology and Braunschweig Integrated Centre of
Systems Biology, Helmholtz Centre for Infection Research, Braunschweig, Germany, ⁵ Institute for Biochemistry,
Biotechnology and Bioinformatics, Technische Universität Braunschweig, Braunschweig, Germany, ⁶ Department of
Pathology, Lymphoma and Myeloma Center Amsterdam (LYMMCARE), Amsterdam University Medical Centers,
Amsterdam, Netherlands, ⁷ Biosystems Data Analysis, Swammerdam Institute for Life Sciences, University of Amsterdam,
Amsterdam, Netherlands

Memory B cells and antibody-secreting plasma cells are generated within germinal centers during affinity maturation in which B-cell proliferation, selection, differentiation, and self-renewal play important roles. The mechanisms behind memory B cell and plasma cell differentiation in germinal centers are not well understood. However, it has been suggested that cell fate is (partially) determined by asymmetric cell division, which involves the unequal distribution of cellular components to both daughter cells. To investigate what level and/or probability of asymmetric segregation of several fate determinant molecules, such as the antigen and transcription factors (BCL6, IRF4, and BLIMP1) recapitulates the temporal switch and DZ-to-LZ ratio in the germinal center, we implemented a multiscale model that combines a core gene regulatory network for plasma cell differentiation with a model describing the cellular interactions and dynamics in the germinal center. Our simulations show that BLIMP1 driven plasma cell differentiation together with coupled asymmetric division of antigen and BLIMP1 with a large segregation between the daughter cells results in a germinal center DZ-to-LZ ratio and a temporal switch from memory B cells to plasma cells that have been observed in experiments.

Keywords: asymmetric division, germinal center, plasma cell differentiation, multiscale modeling, agent-based modeling

INTRODUCTION

Memory B cells (MBCs) and antibody-secreting plasma cells (PCs) are generated within germinal centers (GCs) during affinity maturation in which B-cell proliferation, selection, differentiation, and self-renewal play important roles in the GC reaction (1). Positive selection of B cells is facilitated by collecting antigen (Ag) presented by follicular dendritic cells (FDCs) and subsequent engagement in T follicular helper (Tfh) cells contacts. B cells with higher-affinity receptors (BcRs) are thought to receive more help from Tfh cells due to increased presentation of pMHCII on their surface. Selected B cells recycle to the dark zone (DZ) to further divide and differentiate as output cells (OCs) or to enter a next cycle of selection (recycling).

The mechanisms behind MBC and PC differentiation into OCs from GCs are not well understood. However, in other systems, such as *Drosophila*, it has been suggested that cell fate is (partially) determined by asymmetric cell division, which involves the unequal distribution of cellular components to both daughter cells (2). Another study exclusively analyzed the distribution of Ag in *in vivo* and *in vitro* mouse B cells showing that accumulated Ag is maintained in a polarized distribution prior to the division in approximately 72% of the B cells and that this polarization is maintained during cell division resulting in an asymmetric division of Ag over both daughter cells (3). The daughter cell that receives more Ag as a result of asymmetric division was postulated to be more efficient in receiving T cell help, both at the B–T cell border and in the GC, which may affect cell fate (3). In the same issue, it was argued and shown by computational modeling that asymmetric division may largely affect the production of PCs (4). Later, a more comprehensive computational model of the GC reaction predicted that asymmetric division of Ag might codetermine B-cell fate, since inclusion of this mechanism resulted in GC transzone migration rates and DZ-to-LZ ratio in agreement with experimental data (5, 6). In addition to asymmetric Ag division, *in vitro* studies have shown that other B-cell fate-altering molecules, such as transcriptional regulator B-cell lymphoma 6 (BCL6) and the receptor for interleukin-21 (IL-21R), segregate asymmetrically in approximately 44% of mitotic GC B cells (7). In contrast, IRF4 was mostly symmetrically distributed (11% asymmetry comparable to tubulin). The same study suggested that CD40 signaling facilitates TF asymmetry by providing polarity cues to B cells. However, other polarity cues [e.g., cell–cell contacts (8)], TFs [e.g., BLIMP1 transcription (9)], and signaling pathways [e.g., nuclear factor kappa B (Nf- κ B)] may drive asymmetric division and/or B-cell fate.

Regardless of the mechanism, asymmetric division has been shown to result in daughter cells with unequal amounts of Ag and/or TF. The amount of segregation seems to vary for different TFs, and this might be dependent on polarity cues, signaling pathways and strength, and/or stochastic events. We hypothesized that (the level of) Ag and TF (BCL6, IRF4, BLIMP1) segregation affects GC dynamics and B-cell fate in different ways or to different extents. To test this hypothesis, we implemented a multiscale model (MSM) that combines a core gene regulatory network for B cell of PC differentiation with a

model describing the cellular interactions and dynamics in the GC.

Our simulations show that BLIMP1-driven PC differentiation coupled to asymmetric division of Ag and BLIMP1 with a large segregation between the daughter cells results in a GC transzone migration and a temporal switch from MBCs to PCs that are both observed in experiments (6, 10). Consequently, these computational results prompt for more direct experiments aimed to verify or falsify this mechanism for PC differentiation.

METHODS

Multiscale Model

To enable the investigation of cellular and molecular mechanisms involved in PC differentiation, we recently developed a multiscale model (MSM) (11) that integrates an agent-based model (ABM) of the GC reaction (5) with a gene regulatory network (GRN) involved in PC differentiation (12). We slightly modified this model to investigate the effect of asymmetric Ag and TF division. In brief, the ABM contains the main processes that take place in the GC reaction, which lasts for 21 days (504 h). B cells at the centroblast (CB) state divide in the DZ while accumulating SHMs in their BcR. They then differentiate to CCs and migrate to the LZ where they may encounter FDCs and Tfh cells. FDCs carry Ag in their membrane, which is internalized by CCs when in contact with an affinity-dependent rate. This provides CCs with survival signals that temporarily rescue them from apoptosis and allow them to undergo further encounter(s) with Tfh cells. CCs with higher internalized Ag, thus higher affinity for the Ag, will outcompete other CCs with less internalized Ag. CCs are then fully rescued from apoptosis and recycle back to the DZ as CBs. Recycled CBs further divide asymmetrically in 72% of the cases where all of the internalized Ag goes to one of the daughter cells. The GRN of PC differentiation comprises three TFs (BLIMP1, BCL6, and IRF4) that regulate each other and are affected by upstream BcR and CD40 signals. BCL6 is involved in maintaining GC B-cell phenotype, while IRF4 and BLIMP1 promote PC differentiation and exit from the GC. Initial TF concentration in founder CBs were based on microarray data (12) and defined as follows (BLIMP1 = 0, BCL6 = 5, and IRF4 = 0) to achieve the high BCL6 and low BLIMP1 and IRF4 steady state. CCs receive signals through BcR and CD40 respectively when in contact with FDCs or Tfh cells. In the model, BcR signal strength is assumed to be constant, while CD40 signal strength depends on affinity, which can range between 0 and 1, and determines the B-cell fate. The GRN is a bistable system with one state (BCL6 high, BLIMP1/IRF4 low) being the intracellular state of CBs, CCs, and MBCs and a second state (BLIMP1/IRF4 high, BCL6 low) representing the intracellular state of PCs. After dividing, recycled CBs that inherited all of the internalized Ag, and/or are in BLIMP1 high state, differentiate to OCs, either MBCs or PCs, while the remaining CBs differentiate to CCs and stay in the GC. Ag in the CCs is removed, giving no advantage in further rounds of selection.

Definition of Output Cells and Memory Versus PC Differentiation Fate

Table 1 shows the cell type definition based on Ag status and BLIMP1 level. Recycled CBs that finish dividing may differentiate to PCs at any time of the GC reaction (**Figure 1**) when BLIMP1 reaches the differentiation threshold ($\geq 8.10^{-8}M$) and become BLIMP1+ irrespective of its Ag status, and, consequently, PCs may either be Ag+ or Ag-. BLIMP1+ cells that are not (yet) OCs are annotated as PB (Ag+ or Ag-). Ag+/BLIMP1- OCs are considered to be MBCs. This definition correctly recapitulates the MBC dynamics as described in Weisel and coworkers (10). Finally, Ag-/BLIMP1- CBs stay in the GC and recycle back to the LZ as CCs.

TABLE 1 Definition of OCs (PCs and MBCs) in terms of Ag status and BLIMP1 level.		
PC	Ag+/BLIMP1+	Ag-/BLIMP1+
MBC	Ag+/BLIMP1-	

Modeling of Asymmetric Division

In the current model, we do not distinguish between different mechanisms that lead to asymmetry but only assume that Ag and TFs (BCL6, IRF4, BLIMP1) can be unequally distributed between the two daughter cells. Asymmetric division is parameterized by a probability (P) of asymmetric division and a polarity level (L) representing the extent of asymmetry. Following experimental observations from Thauinat and coworkers, we set the probability for asymmetric division of Ag to either $P_{Ag} = 0.0$ or $P_{Ag} = 0.72$ (3). The same study showed that Ag division can happen both symmetrically and asymmetrically, which is why we did not further investigate asymmetric Ag probabilities of 100%. Consequently, in 0% or 72% of the cell divisions, the Ag is distributed asymmetrically over the daughter cells. The probability of asymmetric division for TFs is unknown, and, therefore, we used three different probabilities: $P_{TF} = 0.0$, $P_{TF} = 0.72$, or $P_{TF} = 1.0$. Consequently, in 0%, 72%, or 100% of the cell divisions, the TFs are distributed asymmetrically over the daughter cells. In the current model, when the Ag and TFs are asymmetrically distributed in the same division, high Ag and TF

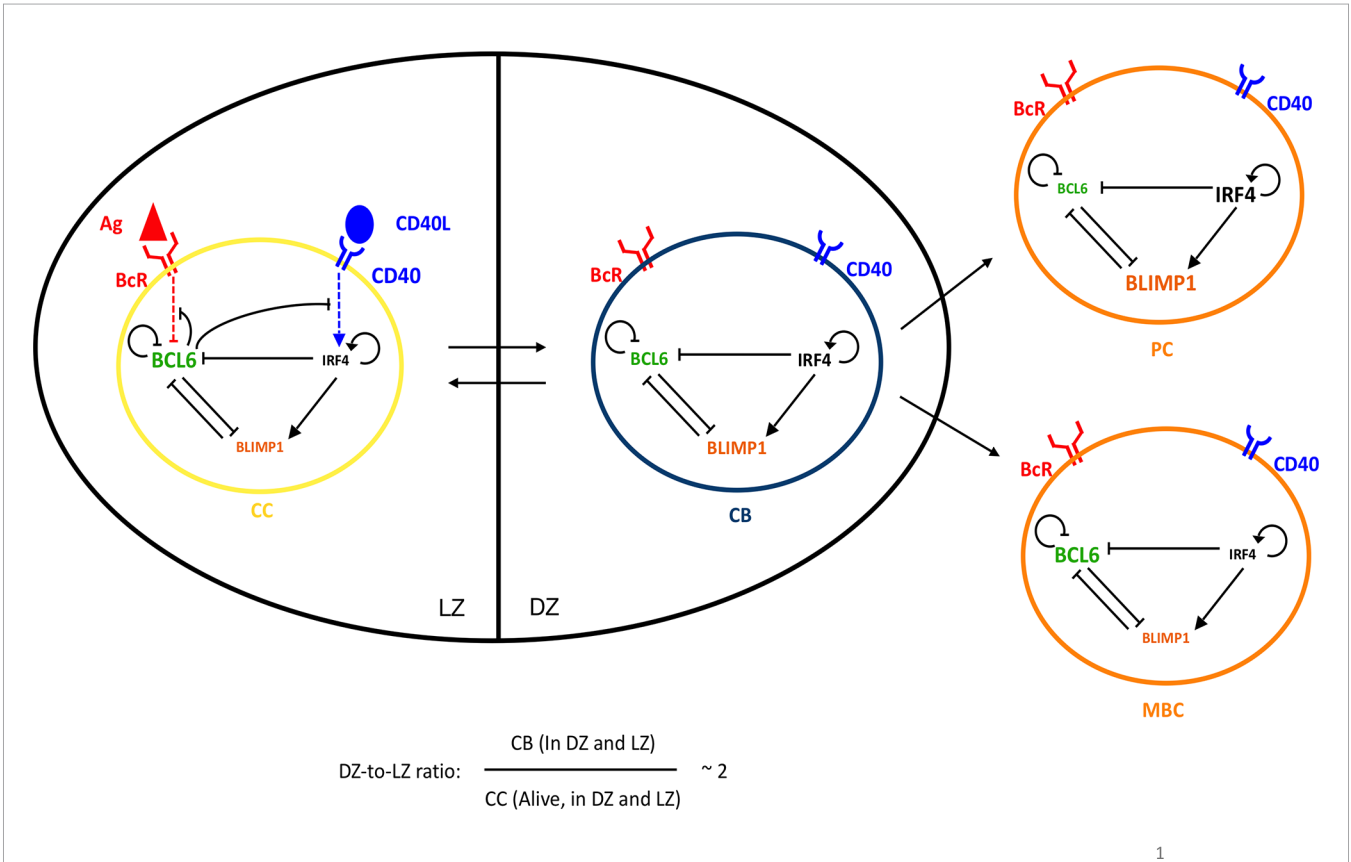


FIGURE 1 | Four GC B cells representing the PC differentiation process: CC (yellow), CB (blue), PC (orange), and MBC (orange). CBs are mainly present in the DZ and CCs in the LZ, while PCs and MBCs are mainly generated in the DZ and then exit the GC. Transition between CBs and CCs is reversible, while the transition between CBs and PCs or MBCs is irreversible. The DZ-to-LZ ratio is the ratio of CBs to non-apoptotic CCs present in both zones and fluctuates around 2. An intracellular GRN comprising three TFs is embedded in each B cell: BCL6 (green), IRF4 (black), and BLIMP1 (orange). The size of each TF represents the expression levels in the cell state. The CC BcR may bind to Ag (red) or the CD40L (blue) when receiving T-cell help, resulting in BcR and CD40 signaling, respectively, which changes the state of the network. Arrows between cells represent transition. Arrows between TFs, BcR, and/or CD40 indicate activation. Bar-headed lines denote inhibition.

polarity levels are directed towards the same daughter cell. Nevertheless, in this study, we are interested in simulating the effect of simultaneous asymmetric division of Ag and TFs.

The polarity level (L_{Ag} and L_{TF}) of asymmetry represents the concentration of Ag and TFs in one daughter cell expressed as the fraction of Ag and TFs in the parent cell; the second daughter cell, by definition, assumes a concentration of 1-polarity. Consequently, a polarity level of $L = 0.5$ represents symmetric division (the concentration of Ag and TFs in each daughter cell is 50% of the parent cell). An asymmetric division probability $P = 0.0$, by definition, corresponds to a polarity level ($L = 0.5$). A polarity level of $L = 1.0$ results in one daughter cell that has taken all Ag and/or TFs from the parent cell, while the other daughter cell will receive none. In the simulations, the TFs may segregate with a different polarity levels (L_{BLIMP1} , L_{BCL6} , L_{IRF4}).

Simulations

We performed two sets of GC simulations. In the first set of nine simulations (Table 2), the TFs cosegregate with equal polarity levels, while in the second set of 27 simulations (Table 3), the TFs may cosegregate with different polarity levels. Simulation 3 from the first set (Table 2) is considered the reference simulation in which there is asymmetric division of Ag (P_{Ag}) but always symmetric division of TFs. We consider this simulation as the reference since in the original LEDA model, no TFs were modeled, while asymmetric Ag division showed to result in a correct DZ-to-LZ ratio. The DZ-to-LZ ratio was calculated as the ratio of CBs to non-apoptotic CCs present in both zones (Figure 1). Since Simulations 1–3 from the second set of 27 simulations (Table 3) were the only cases to show differences in the MBC and PC dynamics, we repeated these simulations 15 times with different random seeds. Supplementary Figures 1–3 show the results from these repetitions and demonstrate that

TABLE 2 | Simulated asymmetry of TF concentrations (polarity level L_{TF}) in daughter cells after division.

Simulation	Description	Mode Ag division	
		Asymmetric TF polarity	Symmetric level (L_{TF})
1	(i) Symmetric Ag and TF division ($P_{Ag} = P_{TF} = 0$)	N.A.	0.5
2	(ii) Symmetric Ag division and asymmetric TF division ($P_{Ag} = 0$, $P_{TF} = 0.72$)	N.A.	1.0
3	(iii) Symmetric TF division and asymmetric Ag division (reference; $P_{Ag} = 0.72$, $P_{TF} = 0$)	0.5	0.5
4	(iv) Asymmetric TF division only if mode of Ag division is asymmetric	1.0	0.5
5	(coupled asymmetric division; $P_{Ag} = P_{TF} = 0.72$)	0.9	0.5
6	(v) Always asymmetric TF division regardless of mode of Ag division	0.75	0.5
7	(uncoupled asymmetric division; $P_{Ag} = 0.72$, $P_{TF} = 1.0$)	1.0	1.0
8		0.9	0.9
9		0.75	0.75

When mode of Ag division is asymmetric the probability and polarity level are $P_{Ag} = 0.72$; $L_{Ag} = 1.0$; otherwise, these are set to ($P_{Ag} = 0.0$; $L_{Ag} = 0.5$) for symmetric Ag division. In these nine simulations, BCL6, IRF4, and BLIMP1 are cosegregated.

TABLE 3 | Simulated asymmetry of TFs concentrations ($P_{TF} = 0.72$; polarity levels L_{BLIMP1} , L_{IRF4} , and L_{BCL6}) in daughter cells after asymmetric division.

Simulations	Mode Ag division			
	Asymmetric		Symmetric	
	Polarity level (L_{TF})			
	BLIMP1 (L_{BLIMP1})	IRF4 (L_{IRF4})	BCL6 (L_{BCL6})	BLIMP1, IRF4, BCL6 ($L_{BLIMP1} = L_{IRF4} = L_{BCL6}$)
1–3		1.0	1.0	0.5
4–6		0.9	1.0	0.5
7–9		0.75	1.0	0.5
10–12	1.0	1.0	0.9	0.5
13–15	0.75	0.9	0.9	0.5
16–18	0.9	0.75	0.9	0.5
19–21		1.0	0.75	0.5
22–24		0.9	0.75	0.5
25–27		0.75	0.75	0.5

TFs divide asymmetrically if Ag divides asymmetrically ($P_{Ag} = P_{TF} = 0.72$; $L_{Ag} = 1.0$). In these 27 simulations, BCL6, IRF4, and BLIMP1 do not always cosegregate with same polarity levels.

there is a limited variability in the temporal dynamics. Therefore, we did not repeat the other simulations, since these are expected to give a similar amount of variation.

In the first set of simulations, we studied different combinations of Ag and TF (a)symmetric division (Table 2). In these simulations, the TFs are cosegregated over the daughter cells according to the polarity levels (L_{TF}) shown in Table 2. The polarity level for the asymmetric Ag division is always $L_{Ag} = 1.0$. These nine simulations represent five scenarios: (i) TFs and Ag divide symmetrically ($P_{TF} = P_{Ag} = 0.0$); (ii) TFs divide asymmetrically with probability $P_{TF} = 0.72$, while Ag always divides symmetrically ($P_{Ag} = 0.0$; Figure 2A); (iii) TFs divide symmetrically ($P_{TF} = 0.0$), while Ag can divide asymmetrically ($P_{Ag} = 0.72$; reference); (iv) TFs divide asymmetrically ($P_{TF} = 0.72$) only when Ag divides asymmetric ($P_{Ag} = 1.0$; Figure 2B); and (5) TFs always divide asymmetrically ($P_{TF} = 1.0$), while Ag divides asymmetrically with probability $P_{Ag} = 0.72$ (Figure 2C).

In the second set of 27 simulations, the Ag is distributed asymmetrically in 72% of the recycled B-cell divisions ($P_{Ag} = 0.72$, $L_{Ag} = 1.0$; Table 3), since it was previously shown that this results in transzone migration rates in better agreement with experimental data (5). In these simulations, the TFs cosegregate with the Ag, since they only divide asymmetrically when the Ag divides asymmetrically ($P_{Ag} = P_{TF} = 0.72$). Moreover, TFs segregate with different polarity levels (L_{BLIMP1} , L_{BCL6} , L_{IRF4}) as shown in Figure 2D.

Simulation of Gene Regulatory Network

To facilitate the interpretation of the MSM, we additionally performed a set of GRN simulations to model TF dynamics. For these simulations, initial TF concentration of the mother cell was conceptually chosen to simulate an extreme condition of our MSM in which a mother PB, at the low BCL6 and high BLIMP1 and IRF4 steady state, underwent the last division before becoming a PC and exiting the GC. Subsequently, asymmetric division of the parent PB was simulated with the different

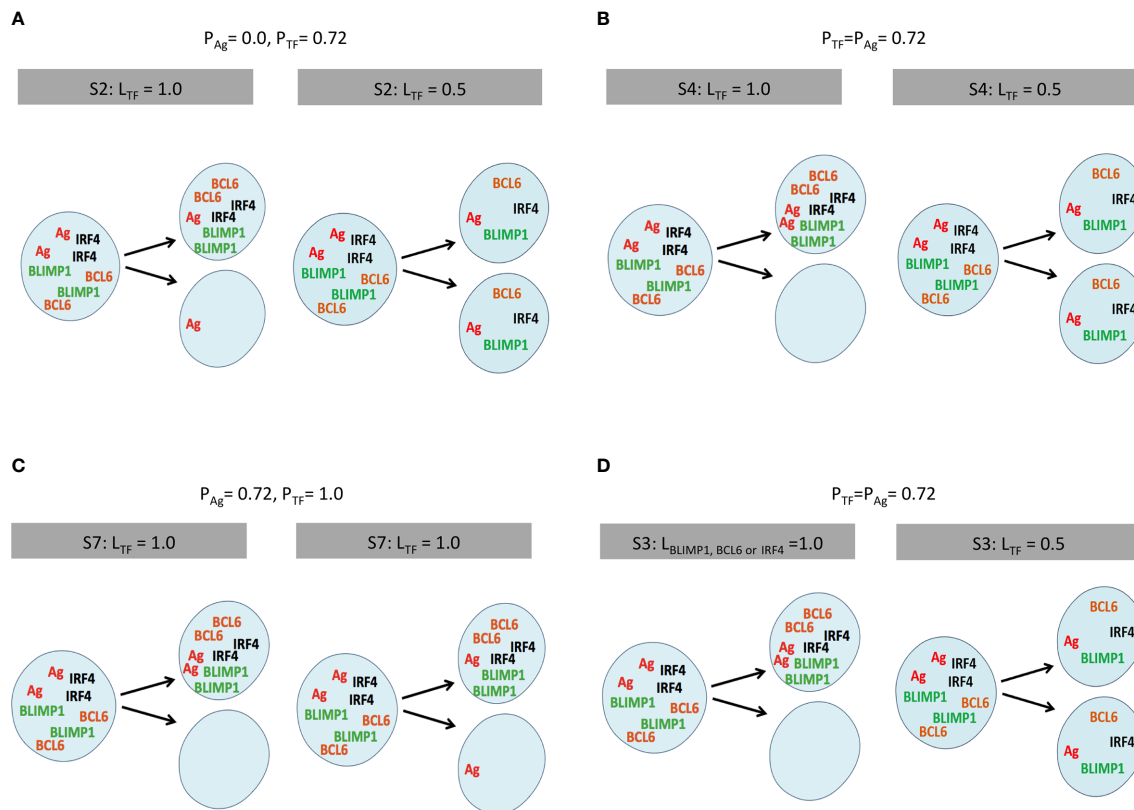


FIGURE 2 | Scheme of internalized Ag and TF division patterns modeled in a selection of simulations (**Tables 2, 3**). **(A)** Simulation 2, symmetric Ag and asymmetric TF distribution ($L_{TF} = 1.0$). **(B)** Simulation 4, coupled asymmetric division ($L_{TF} = 1.0$). **(C)** Simulation 7, uncoupled asymmetric division ($L_{TF} = 1.0$), and **(D)** simulation 3, partial asymmetric co-segregation of TFs and Ag ($P_{TF} = P_{Ag} = 0.72$; $L_{Ag} = 1.0$) while varying the level of BLIMP1 ($L_{BLIMP1} = 1.0$). Internalized Ag (red) and TF (orange, green, black) are shown in the parent and two daughter cells. The probability and polarity levels are shown in the gray box.

combinations of L_{TFs} for the first set of simulations (**Table 2**). For the second set of simulations, we investigated representative L_{BLIMP1} , L_{BCL6} , and L_{IRF4} combinations (i.e., simulations 1–4, 7, 10, 19; **Table 3**). At the start of the simulation, we defined the concentrations of BLIMP1, BCL6, and IRF4 according to the polarity levels and, subsequently, simulate until a steady state was reached. This allowed us to determine if despite the concentration reduction, BLIMP1 concentration returned to its high level steady state (PC phenotype). Since we were simulating TF dynamics of CBs that do not interact with Ag presented by FDCs nor with Tfh cells, we set the CD40 and BcR signals to 0.

RESULTS

Symmetric TF and Ag Division

We first aimed to gain insight in the contribution of asymmetric division on GC dynamics and OCs. Therefore, we simulated the GC reaction without asymmetric Ag and TFs division ($P_{TF} = P_{Ag} = 0.0$, $L_{TF} = L_{Ag} = 0.5$; simulation 1, **Table 2**).

We found a DZ-to-LZ ratio that initially fluctuated between 5 and 15 and then increased to values up to 800 or the ratio became

infinite due to low or zero CC counts, respectively (**Figure 3A**), strongly contradicting experimentally observed DZ-to-LZ ratio of 2. This is explained by a lack of recycled CBs without retained Ag, which led to no differentiation to CC state and a premature termination of the GC reaction. Thus, the number of accumulated OCs reached 1,417 cells at the end of the GC reaction (**Table 4** and **Figure 3B**). No MBCs were produced (**Figure 4A**), and all OCs were PCs (**Figure 4B**) due to the lack of Ag+ cells. Furthermore, 87% of PCs were generated within the first 6 days of the GC reaction, which contradicts a temporal switch from MBCs to PCs (**Supplementary Figure 3**).

Asymmetric TF Division and Symmetric Ag Division

Next, we aimed to establish the effect of asymmetric TF division while keeping symmetric Ag division (simulation 2, **Table 2**; $P_{Ag} = 0.0$, $P_{TF} = 0.72$, $L_{Ag} = 0.5$, $L_{TF} = 1.0$). Again, we find that the DZ-to-LZ ratio initially fluctuated between 5 and 15 and then increased until 400 or was infinite since no CCs were produced (**Figure 3A**) strongly contradicting experimentally observed DZ-to-LZ ratio of 2. In addition, the number of accumulated OCs reached 759 cells at the end of the GC reaction, none of them

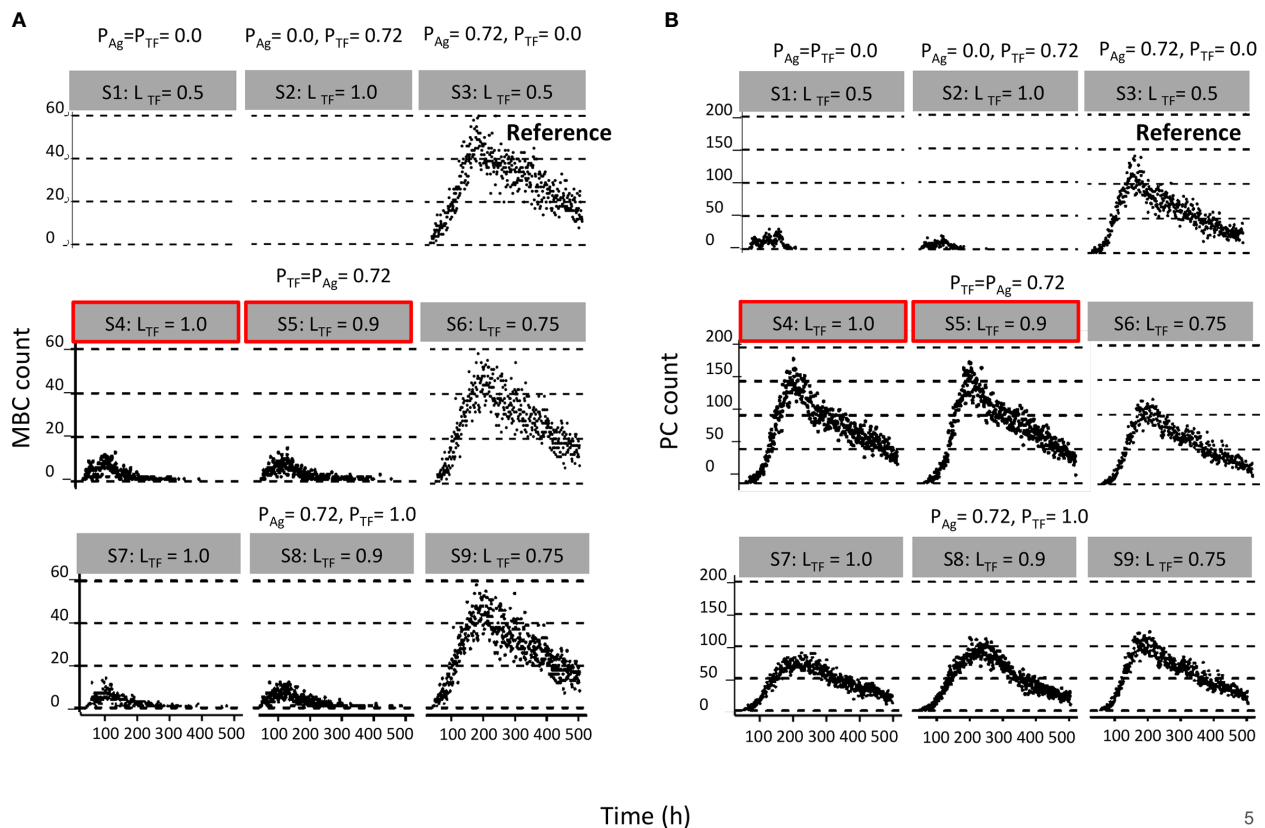


FIGURE 4 | Results from first set of simulations (Table 2). (A) Relative MBC and (B) PC count during the GC. The probability of asymmetric division (P) is indicated above the gray box and simulation number and polarity levels (L) are shown in the gray box. First row of plots corresponds to (left column) symmetric division of Ag and TFs, (middle column) symmetric division of Ag and asymmetric division of TFs, and (right column) symmetric division of TFs and asymmetric division of Ag. Second row of plots corresponds to asymmetric TF division only if mode of Ag division is asymmetric. Red boxes indicate parameters that are closer to biological results. Third row of plots corresponds to always asymmetric TF division regardless of mode of Ag division.

signaling simulation (Scenario 2) discussed in (11). The number of accumulated OCs reached 38,132 cells at the end of the GC reaction (Table 4 and Figure 3B) of which 12,886 were MBCs (Figure 4A) and 25,246 were PCs (Figure 4B). Furthermore, MBCs were generated throughout the GC reaction, and 90% of PCs were generated after the peak (day 6) of the GC reaction (Supplementary Figure 3). We conclude that asymmetric Ag division is largely responsible for obtaining a DZ-to-LZ ratio close to experimental observations. Asymmetric TF division is not required. Asymmetric Ag division also re-establishes a larger number of OCs, but no temporal switch is observed.

Asymmetric TF Division Only if Mode of Ag Division Is Asymmetric (Coupled Asymmetric Division)

Next, we investigated a scenario (simulations 4–6, Table 2; $P_{Ag} = P_{TF} = 0.72$) that assumes that asymmetric TF and Ag division always happen simultaneously. Since we are mostly interested in the effect of the TFs, we assumed that in the case of asymmetric division, all Ag goes to a single daughter cell ($L_{Ag} = 1.0$) while we used different polarization levels for the TF ($L_{TF} = 1.0, 0.9$, and

0.75). All three simulations had similar DZ-to-LZ ratios and total number of OCs, which were also similar to the reference simulation (Table 4 and Figures 3A, B). Nevertheless, low TF polarity levels showed approximately a 12-fold increase in MBCs, at the expense of PC output, compared to extreme TF polarity levels. Furthermore, low TF polarity levels showed similar MBC counts compared to the reference simulation (Figure 4). Interestingly, extreme TF polarity levels ($L_{TF} = 1.0, 0.9$) resulted in a temporal switch from MBCs to PCs, which was not the case for simulations with low TF polarity levels ($L_{TF} = 0.75$ nor $L_{TF} = 0.5$ in the reference simulation).

When analyzing the TF dynamics in the GRN, we found, as expected, that extreme TF polarity levels generated a high BLIMP1 state in one of the TF inheriting daughters (0 h, Figure 5) while leaving the other daughter B-cell in a low BLIMP1 state. Contrarily, low TF polarity levels promoted a slower progression to the high BLIMP1 state (4–8 h), which explains the increased number of MBCs (Ag+/BLIMP1–) in simulations 3 and 6. We conclude that simultaneous asymmetric division of Ag and TF results in DZ-to-LZ ratios similar to the reference simulation, but only extreme TF polarity levels resulted in a temporal switch.

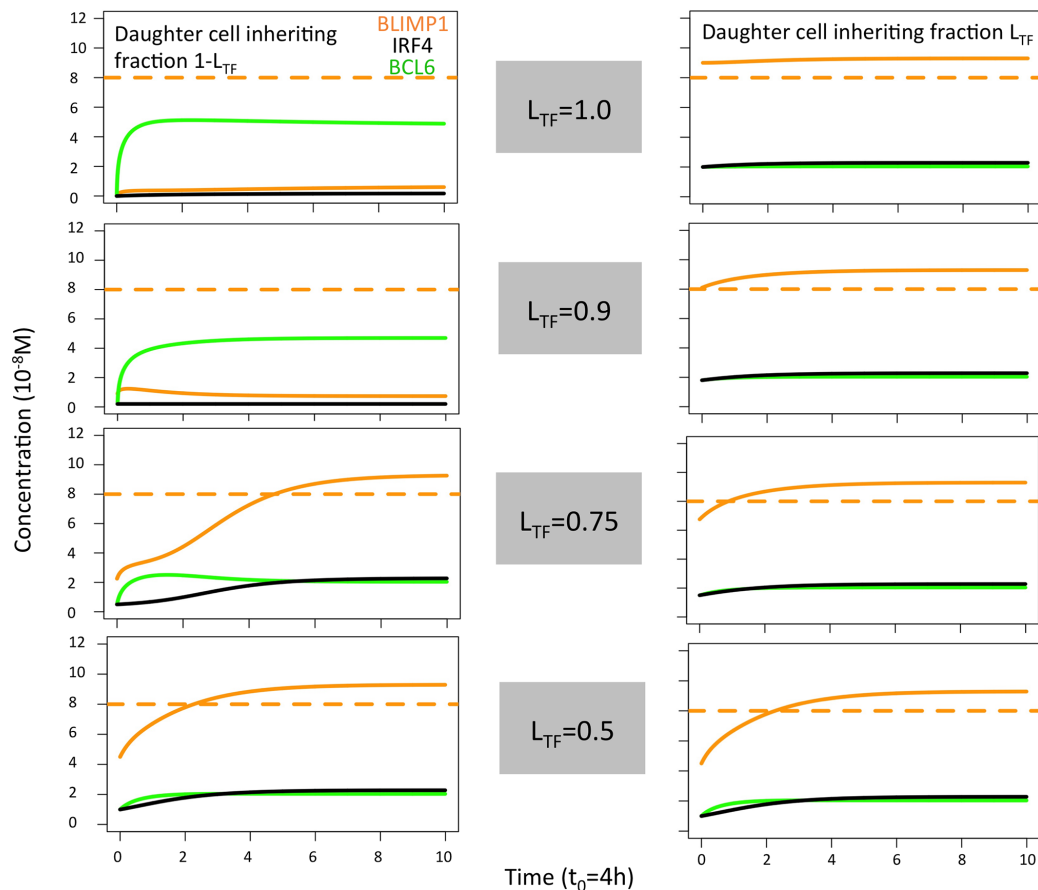


FIGURE 5 | Solution curves based on the GRN (ODE model) for BLIMP1 (orange), BCL6 (green), and IRF4 (black) in two daughter cells. The initial TF concentrations were based on the concentration of the parent cell (BLIMP1 = 8, BCL6 = 2, IRF4 = 2) and the different polarity levels ($L_{TF} = 1.0$, $L_{TF} = 0.9$, $L_{TF} = 0.75$, and $L_{TF} = 0.5$; **Table 2**).

Always Asymmetric TF Division Regardless of Mode of Ag Division (Uncoupled Asymmetric Division)

Since there is no *a priori* reason to suggest that asymmetric Ag and TF division are coupled (simulations 4–6), we performed three additional simulations in which TF always divide asymmetrically ($P_{TF} = 1.0$, $L_{TF} = 1.0$, $L_{TF} = 0.9$, and $L_{TF} = 0.75$) regardless of the model of Ag division ($P_{Ag} = 0.72$, $L_{Ag} = 1.0$; simulations 7–9, **Table 2**). We found that for extreme TF polarity levels ($L_{TF} = 1.0, 0.9$), the DZ-to-LZ ratio progressively increased up to a value of 80, which meant a 40-fold increase compared to the reference simulation (**Figure 3A**). Contrarily, low TF polarity levels ($L_{TF} = 0.75$) showed a DZ-to-LZ ratio that fluctuated between 2 and 4 similarly to the reference simulation (**Figure 3A**). Extreme TF polarity levels showed a 2-fold decrease in OC counts and a 12-fold increase in MBC counts compared to low TF polarity levels and the reference simulation (**Table 4** and **Figure 3B**). In extreme TF polarity levels, there was a 1.2-fold decrease in PC counts compared to low TF polarity levels and a 1.7-fold decrease in PC counts compared to simulations with coupled asymmetric Ag and TFs division (**Table 4** and **Figure 4**). While approximately 90% of PCs were generated after the peak

(day 6) of the GC reaction for all TF polarity levels, low TF polarity levels produced MBCs during the entire GC reaction (**Supplementary Figure 4**). Thus, while low polarity levels resulted in similar DZ-to-LZ ratio and OC production as the reference simulation, it did not result in a temporal switch from MBCs to PCs.

The TF dynamics in the GRN, as described in the previous section (see above, **Figure 5**), explained the decreased OC count observed in simulations 7 and 8 compared to simulations 4–6 and 9. In addition, it could explain the similarity in OC count observed when comparing simulations 6 and 9.

We concluded that uncoupled Ag and TFs asymmetric division lead to a 40-fold increase in DZ-to-LZ ratios and a reduction in OC production for the extreme TF polarity levels. However, for these extreme polarities, a temporal switch is observed.

Collectively, the first set of simulations show that assuming that the decision for PC differentiation is fully based on BLIMP1 levels and that all TFs cosegregate during asymmetric division, then the simulated DZ-to-LZ ratio is close to those observed experimentally. Furthermore, a temporal switch from MBCs to PCs was only present in simulations with coupled Ag and TFs asymmetric division and extreme TF polarities L_{TF} .

Coupled Ag and TFs Asymmetric Division With Different Polarity Levels for Individual TFs

From the first set of simulations (simulations 1–9, **Table 2**), we showed that coupled Ag and TFs asymmetric division with extreme TF polarity levels resulted in a DZ-to-LZ ratio that was similar to the reference simulation and a temporal switch. However, in these simulations, we assumed that BCL6, IRF4, and BLIMP1 always distributed in equal amounts (L_{TF}) over the daughter cells. Based on previous research, this is unlikely (7, 8). Therefore, we performed 27 additional simulations (**Table 3**; $P_{TF} = P_{Ag} = 0.72$ and $L_{Ag} = 1.0$) in which TFs can be distributed in different amounts (L_{BLIMP1} , L_{IRF4} , and L_{BCL6}) to the daughter cells. In these simulations TFs are only asymmetrically distributed in case of asymmetric Ag division. For each simulation, we investigated the GC dynamics and OC production.

All simulations showed a DZ-to-LZ ratio that was similar to the reference simulation (data not shown). Furthermore, the number of OCs at the end of the GC reaction is similar for all 27 simulations (**Figure 6A**). **Figures 6B, C** show the number of MBCs and PCs produced for the 27 combinations of TF polarity levels.

We observed that neither the polarity level of IRF4 nor BCL6 have a big influence on the number of OCs, MBCs, or PCs. However, there is a clear difference when comparing the extreme ($L_{BLIMP1} = 1.0$ and $L_{BLIMP1} = 0.9$; $L_{IRF4} = L_{BCL6} = 1.0$) and low ($L_{BLIMP1} = 0.75$; $L_{IRF4} = L_{BCL6} = 1.0$) BLIMP1 polarity levels. Low polarity levels resulted in a 12-fold increase in MBC counts and a 1.2-fold decrease in PC counts (**Supplementary Figures 5–7**).

When analyzing the TF dynamics in the GRN, we found that extreme IRF4 polarity levels ($L_{IRF4} = 1.0$, $L_{IRF4} = 0.9$; $L_{BLIMP1} = L_{BCL6} = 1.0$) immediately generated a high BLIMP1 state in one of the TF inheriting daughters while leaving the other daughter B cell in a low BLIMP1 state (**Figure 7**). Low IRF4 polarity levels ($L_{IRF4} = 0.75$; $L_{BLIMP1} = L_{IRF4} = 1.0$) generated both daughter B cells in the high BLIMP1 steady state. Nevertheless, in this situation, the daughter B cell that inherited 25% ($1 - L_{IRF4}$) of IRF4, along with 0% of BLIMP1 and BCL6 concentration, slowly progressed to the high BLIMP1 state within 20 h until BLIMP1 levels reached the PC differentiation threshold. Considering that after the last division, PBs are defined as PCs and exit the GC, this could explain why no difference in OC dynamics was observed when varying IRF4 polarity levels.

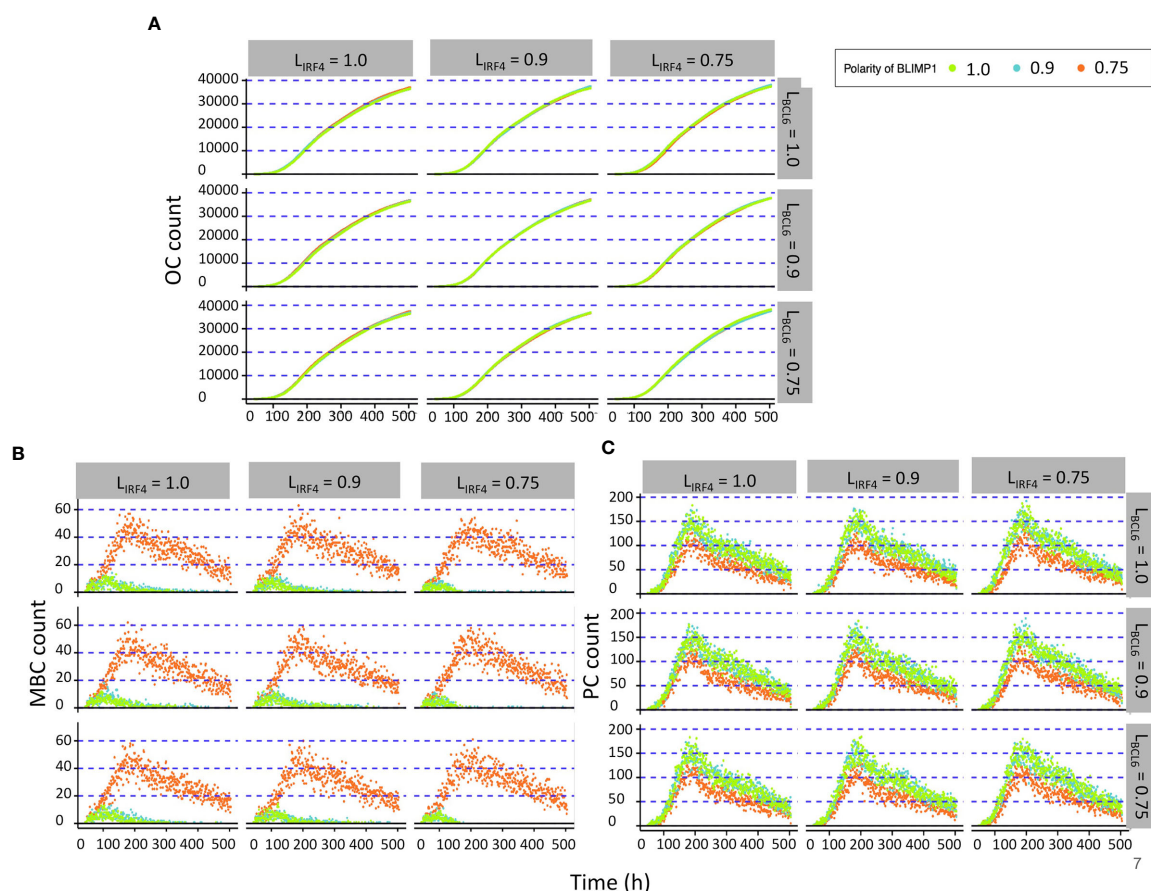


FIGURE 6 | Results from the second set of simulations (**Table 3**). **(A)** Accumulated OCs, **(B)** relative MBC, and **(C)** PC count during the GC reaction. At the top of each panel column, the IRF4 polarity level is indicated. To the right of each panel row, the BCL6 polarity level is indicated. The colors indicate the different BLIMP1 polarity levels.

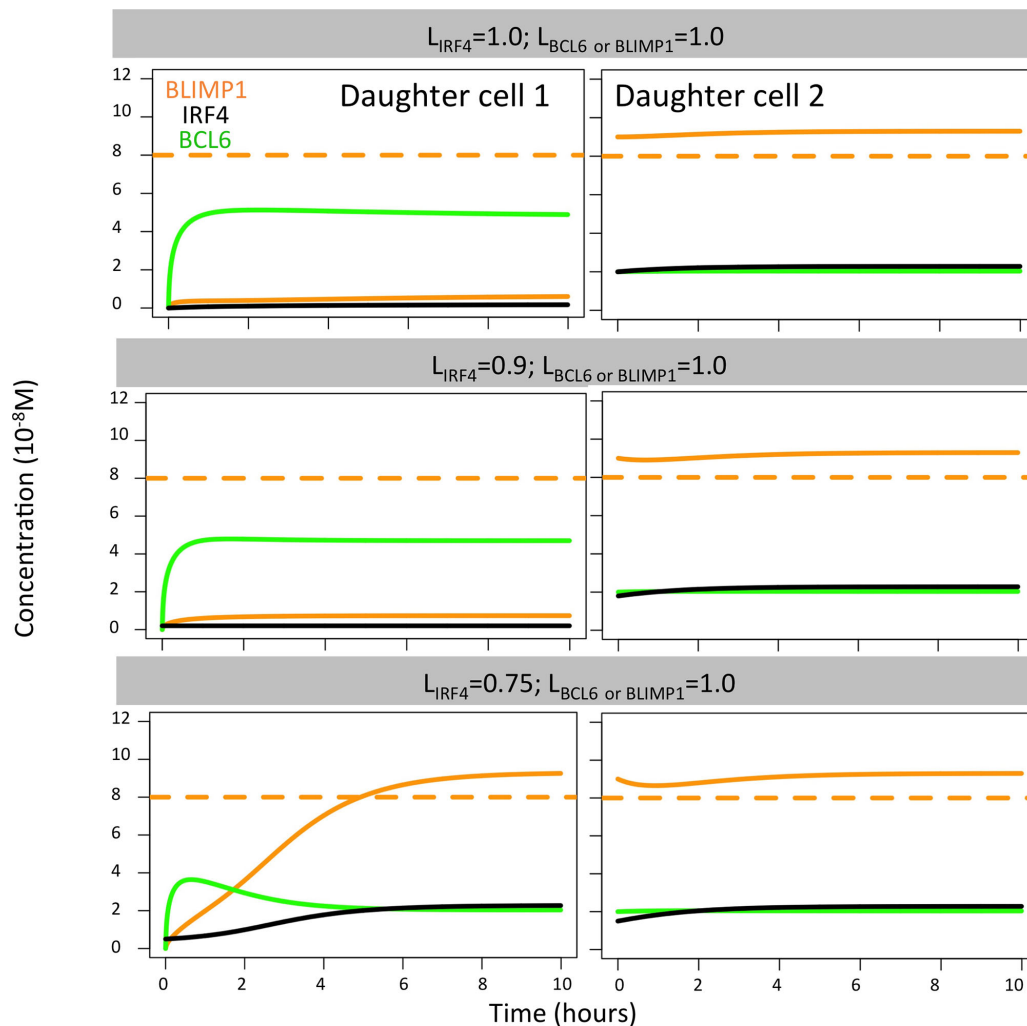


FIGURE 7 | BLIMP1 (orange), BCL6 (green), and IRF4 (black) dynamics in two theoretical daughter B cells. Their initial TF concentrations were set to simulate the asymmetric division of a parent cell (BLIMP1 = 8, BCL6 = 2, IRF4 = 2) with all different combinations of IRF4 levels ($L_{\text{IRF4}} = 1.0$, $L_{\text{IRF4}} = 0.9$, and $L_{\text{IRF4}} = 0.75$, as shown in **Table 2**). Levels of BCL6 and BLIMP1 were fixed ($L_{\text{BCL6}} = L_{\text{BLIMP1}} = 1.0$).

In the case of BCL6, we found that all polarity levels ($L_{\text{BCL6}} = 1.0$, $L_{\text{BCL6}} = 0.9$, $L_{\text{BCL6}} = 0.75$; $L_{\text{BLIMP1}} = L_{\text{IRF4}} = 1.0$) immediately generated a high BLIMP1 state in one of the TF inheriting daughters, leaving the other daughter B cell in a low BLIMP1 state (**Figure 8**). This is why no difference in OC dynamics was observed when varying BCL6 polarity levels. Such results were not surprising since changes in the BCL6 level as a result of BcR signaling are not sustained in time nor become large enough to switch the BLIMP1 from a high to low level.

Finally, we found extreme BLIMP1 polarity levels ($L_{\text{BLIMP1}} = 1$, $L_{\text{BLIMP1}} = 0.9$; $L_{\text{IRF4}} = L_{\text{BCL6}} = 1.0$) immediately generated a high BLIMP1 steady state in one of the TF inheriting daughters, leaving the other daughter B cell in a low BLIMP1 steady state (**Figure 9**). Low BLIMP1 polarity levels ($L_{\text{BLIMP1}} = 0.75$; $L_{\text{BCL6}} = L_{\text{IRF4}} = 1.0$) introduced a delay (4 h) in the progression of the high BLIMP1 inheriting daughter B cell to the high BLIMP1 state.

This could explain the differences observed in OC dynamics when varying BLIMP1 polarity levels.

We conclude that the combined results from these 27 simulations and the first set of 9 simulations show that BLIMP1 driven PC differentiation together with coupled asymmetric division of Ag and BLIMP1 with a large segregation between the daughter cells results in a GC DZ-to-LZ ratio and a temporal switch from MBCs to PCs that are both observed in experiments (6, 10) However, future experimental validation of our findings remain necessary.

DISCUSSION

It has been shown experimentally that Ag and TFs can asymmetrically divide and that this may codetermine GC

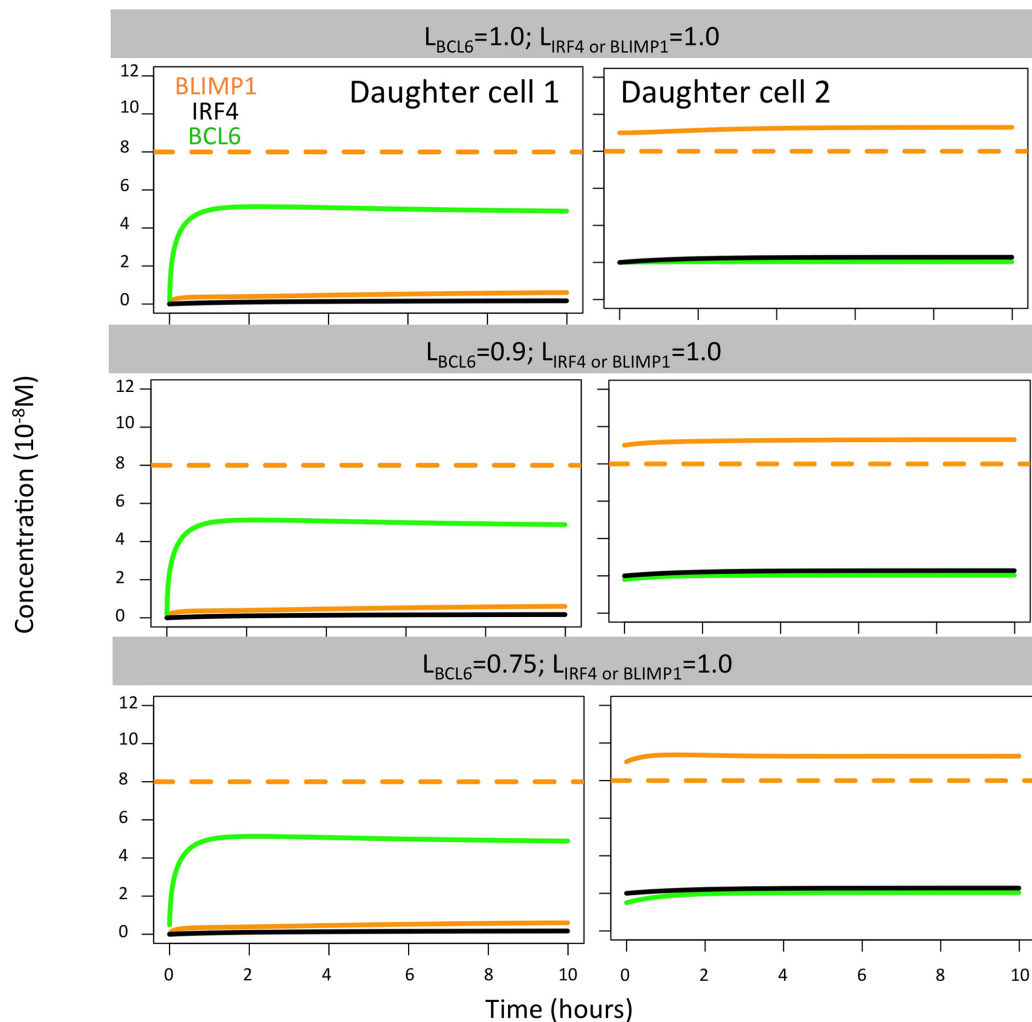


FIGURE 8 | BLIMP1 (orange), BCL6 (green), and IRF4 (black) dynamics in two theoretical daughter B cells. Their initial TF concentrations were set to simulate the asymmetric division of a parent cell (BLIMP1 = 8, BCL6 = 2, IRF4 = 2) with all different combinations of BCL6 levels ($L_{BCL6} = 1.0$, $L_{BCL6} = 0.9$, and $L_{BCL6} = 0.75$, as shown in **Table 2**). Levels of IRF4 and BLIMP1 were fixed ($L_{IRF4} = L_{BLIMP1} = 1.0$).

B-cell fate (3, 5, 7, 8). However, so far, this has not been proven experimentally. Based on a computational model of the GC, Meyer-Hermann and colleagues hypothesized that asymmetric division of Ag might play a role in PC differentiation, as this resulted in a DZ-to-LZ ratio in agreement with experimental data (5). However, using our MSM, we recently showed that asymmetric Ag division alone cannot explain PC differentiation, since it is not fully consistent with experimental observations that B cells with increased BLIMP1 levels differentiate to PCs, but we only considered one specific mode of coupled asymmetric division (i.e., $P_{Ag} = P_{TF} = 0.72$, $L_{BLIMP1} = L_{IRF4} = L_{BCL6} = 1.0$) (11). Therefore, in the current work, we investigated the putative effect of asymmetric division of Ag and TFs in more detail and hypothesized that this affects GC dynamics and B-cell dynamics and fate. From our simulations, we conclude that BLIMP1-driven PC differentiation together with coupled asymmetric

division of Ag and BLIMP1 with extreme TF polarity levels for BLIMP1 segregation results in GC DZ-to-LZ ratio and a temporal switch from MBCs to PCs that are also observed in experiments (6, 10). This confirmed our previous finding that asymmetric Ag division alone is not sufficient to drive PC differentiation, but also asymmetric division of at least BLIMP1 is required.

An important insight from our model is the observation that outcomes of simulations with (uncoupled) symmetric division of Ag and/or TF do not agree with experimental observations (migrations rates, temporal switch). It is, however, important to emphasize that this result does not definitely exclude this scenario to be true. Although our GC model is the most sophisticated model currently available and based on a large range of experimental observations, we cannot exclude the possibility that other choices, assumptions, or parameter settings would

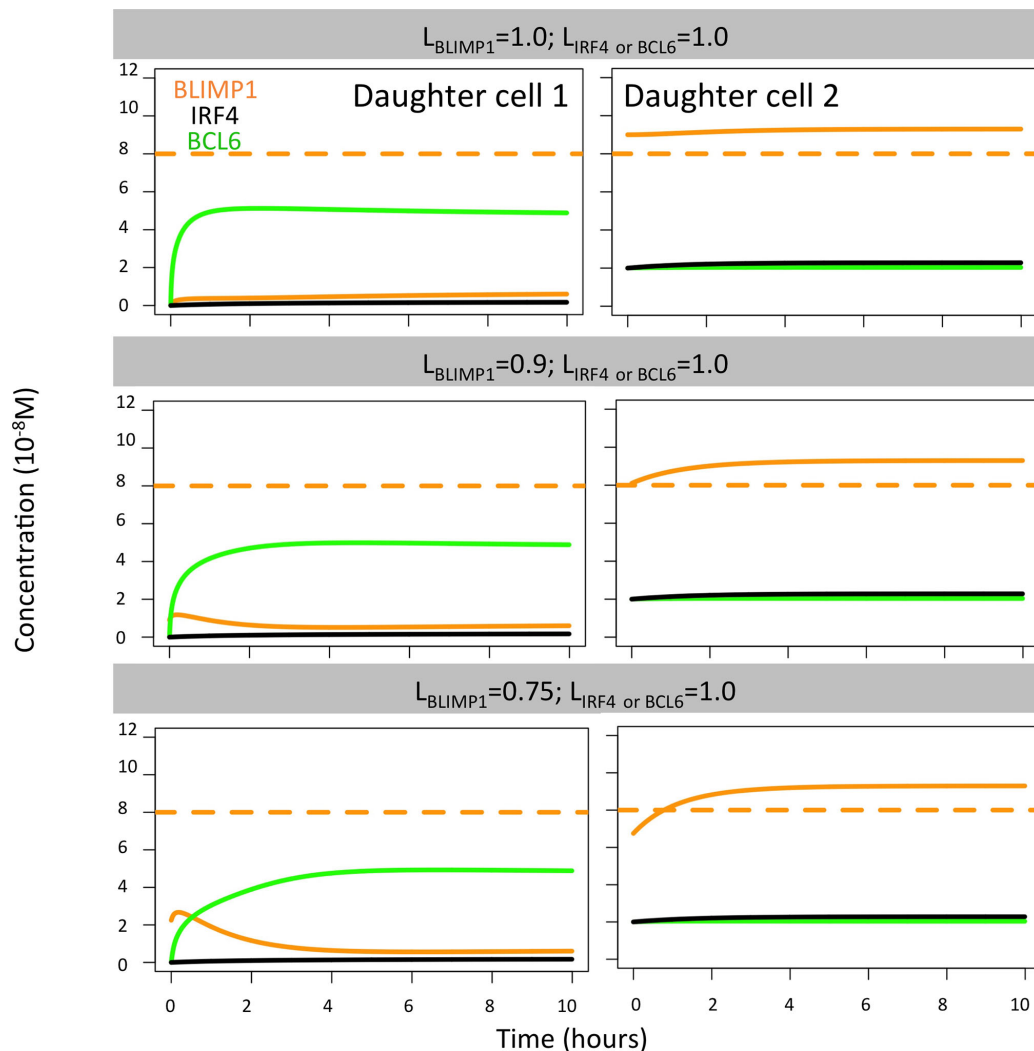


FIGURE 9 | BLIMP1 (orange), BCL6 (green), and IRF4 (black) dynamics in two theoretical daughter B cells. Their initial TF concentrations were set to simulate the asymmetric division of a parent cell ($\text{BLIMP1} = 8$, $\text{BCL6} = 2$, $\text{IRF4} = 2$) with all different combinations of BLIMP1 levels ($L_{\text{BLIMP1}} = 1.0$, $L_{\text{BLIMP1}} = 0.9$, and $L_{\text{BLIMP1}} = 0.75$, as shown in **Table 2**). Levels of IRF4 and BCL6 were fixed ($L_{\text{IRF4}} = L_{\text{BCL6}} = 1.0$).

change our conclusion. Nevertheless, we think that our simulations provide at least some evidence that asymmetric division is involved in PC differentiation. Furthermore, prior studies have shown that unequal stimulation of signaling pathways, e.g., CD40 and PI3K, induced when B cells present Ag to and receive help from T_{FH} cells during the selection process in the GC reaction, can provide polarity cues that drive asymmetry division (7, 8). It was proposed that unequal inheritance of Ag transmembrane receptor, costimulation, and/or cytokine signaling could result in unequal activation of signaling pathways. Although this hypothesis was not experimentally tested, it is in line with our finding.

The observation that IRF4 asymmetric division had no effect of PC production was both interesting and surprising. On the one hand, *in vitro* data suggest that IRF4, and/or different levels of T

help through Cd40/Nf-kB induction of IFR4, regulates MBC and PC differentiation in a concentration-dependent manner (13, 14). Furthermore, quantitative modeling of the terminal B-cell differentiation showed through parameter sensitivity analysis for bistability that kinetic parameters associated to IRF4 dynamics and CD40 induction of IRF4 were critical in promoting B-cell transition towards PC differentiation (12). Nevertheless, the same study showed that above a critical IRF4 concentration threshold ($>1.10^{-8}\text{M}$), CCs irreversibly differentiated to PCs. In our model, asymmetric division takes place at a late stage of B-cell development (PB) in which IRF4 concentration is close to the high IRF4 steady state (2.10^{-8}M). Thus, we found that even with low IRF4 polarity levels, when daughter B cells inherited 75% of IRF4 ($L_{\text{IRF4}} = 0.75$), this did not decrease IRF4 concentration below the above-mentioned critical

IRF4 threshold. This explained why we found no effect of IRF4 asymmetric division on PC differentiation. In addition, *in vitro* studies in conjoined sibling B cells showed that unequal IRF4 expression could drive branching of B-cell state prior to the loss of PAX5, a MBC promoter, hence at early stages of B-cell transition to PC. Furthermore, the levels of BLIMP1 in sibling B cells were not measured, leaving the open question of whether asymmetric BLIMP1 division could be the driver of PC differentiation and supporting the need to further investigate BLIMP1 asymmetric division at later stages of PC differentiation in the GCs.

Apart from model assumptions, our study has several limitations. First, our findings and conclusions remain to be validated or falsified in future experiments. We propose experiments to generate data about the (1) BLIMP1 probability of asymmetric division and polarity level in single PBs; (2) extent and/or role of the cosegregation of BLIMP1, BCL6 and IRF4; and (3) extent and/or role of (a)symmetric division of CD40 signaling in relation to B-cell fate. Second, the probability ($P_{Ag} = 0.72$) for asymmetric Ag division was based on experimental data (7). For asymmetric TF division, we used this same value in several simulations. However, probabilities of $P_{BCL6} = 0.44$ and $P_{IRF4} = 0.11$ have been reported (7), while for BLIMP1, such probability is unknown. Nevertheless, we here show that asymmetric division of IRF4 and BCL6 did not have an effect on the fate of the B cell, and thus, we believe that this would not change our main conclusion. Third, no data are available about the number of MBCs and PCs produced during a single GC reaction. Thus, we cannot substantiate which simulations are more realistic in terms of OC production. Fourth, as we have discussed previously (11), the current definition of MBCs as Ag+BLIMP1+ cells should be improved, since its definition merely classifies OCs, which are not PCs to be MBCs. Nevertheless, we here showed that symmetric TF division did not agree with the observation of a temporal switch in the GC reaction. This could indicate that asymmetric TF division plays a role in MBC differentiation. Interestingly, PAX5 has been shown to asymmetrically segregate and always oppose asymmetric IRF4 distribution (8). Further experiments need to be carried out to validate this hypothesis since the effect of asymmetric PAX5 division on MBC formation was not addressed.

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DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: <https://github.com/EDS-Bioinformatics-Laboratory/AsymmetricDivision>.

AUTHOR CONTRIBUTIONS

EM, HH, and AK designed the study. EM, DL, MM-H, and PR implemented the software. JH, EM, DL, and AK carried out the simulations and analyses. All authors were involved in the interpretation of results. JG, HH, and AK supervised the study. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

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Brg1 Supports B Cell Proliferation and Germinal Center Formation Through Enhancer Activation

Dominik Schmiedel^{*†‡}, Hadas Hezroni[‡], Amit Hamburg[‡] and Ziv Shulman^{*}

Department of Immunology, Weizmann Institute of Science, Rehovot, Israel

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Michel Cogne,
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The University of Texas Health Science
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*Correspondence:

Ziv Shulman
ziv.shulman@weizmann.ac.il
Dominik Schmiedel
dominik.schmiedel@izi.fraunhofer.de

†Present address:

Dominik Schmiedel,
Fraunhofer Institute for Cell Therapy
and Immunology (IZI), Department of
GMP development and ATMP design,
Leipzig, Germany

[‡]These authors have contributed
equally to this work

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Activation and differentiation of B cells depend on extensive rewiring of gene expression networks through changes in chromatin structure and accessibility. The chromatin remodeling complex BAF with its catalytic subunit Brg1 was previously identified as an essential regulator of early B cell development, however, how Brg1 orchestrates gene expression during mature B cell activation is less clear. Here, we find that Brg1 is required for B cell proliferation and germinal center formation through selective interactions with enhancers. Brg1 recruitment to enhancers following B cell activation was associated with increased chromatin accessibility and transcriptional activation of their coupled promoters, thereby regulating the expression of cell cycle-associated genes. Accordingly, Brg1-deficient B cells were unable to mount germinal center reactions and support the formation of class-switched plasma cells. Our findings show that changes in B cell transcriptomes that support B cell proliferation and GC formation depend on enhancer activation by Brg1. Thus, the BAF complex plays a critical role during the onset of the humoral immune response.

Keywords: Brg1, BAF, SWI/SNF, chromatin remodeling, enhancer activation, B cells, germinal center, antibody-formation

INTRODUCTION

Long-lasting protection from harmful pathogens depends on the efficient generation of high-affinity antibodies (1). In response to vaccination or pathogen invasion, naive B cells that reside in follicles of secondary lymphoid organs interact with cognate antigens through their B cell receptors (BCRs) and present antigen-derived peptides on surface MHC class II to cognate T helper cells (2). At this stage, cognate T cells select B cells for the generation of short-lived plasmablasts or for differentiation into germinal center (GC) cells (3). GCs are microanatomical sites in which activated B cells rapidly divide and introduce somatic hypermutations (SHM) into their immunoglobulin genes followed by affinity-based-selection (4). The major function of the GC reaction is to produce memory and antibody-forming cells that depart the lymphoid organs and provide long-lasting immunity (5). The process of B cell activation and differentiation into GC, memory, or plasma cells (PCs) highly depends on changes in gene expression that is regulated at the transcriptional and post-transcriptional levels (6, 7). Whereas the specific transcription factors that drive B cell activation and differentiation were previously described, less is known about the regulation of gene expression through changes in chromatin accessibility and structure. /B cell state

transitions are guided by well-defined transcription factors such as BCL-6, BLIMP1, and PAX5 (8). However, in order to access their target sites, the chromatin structure must assume an accessible state, a process that is controlled by chromatin remodeling complexes (CRCs). The required establishment of nucleosome-depleted regions (NDRs) by shifting or evicting nucleosomes is one of the main functions of CRCs (9). NDRs are not only critical for transcription factor binding, but also for binding of cohesin and mediator complexes which create three-dimensional DNA structures, like the formation of loops between promoter regions and enhancers. These loop formations are a prerequisite for lineage-specific gene transcription as they bring transcription factors that bind distal enhancers in close proximity to the promoter of its target genes and are guided by the presence of histone modifications such as H3K4me1 or H3K27ac (10).

The BAF (BRG1/BRM-associated factor) CRC, also known as SWI/SNF complex, is particularly well-known for its capacity to form NDRs. This complex consists of up to 15 subunits and the incorporation of different subunits into it allows cell-specific functions (11, 12). The SWI/SNF complex possesses several subunits with DNA and histone recognition domains that can guide complex localization not only by DNA sequence recognition but predominantly by DNA architecture and pre-existing histone modifications (13, 14). The core of the complex is the ATPase subunit, which engages with the nucleosome-bound DNA and hydrolyzes ATP to induce a conformational change of the complex and enforces the nucleosome repositioning. Each complex possesses a single ATPase unit, which can be either Brm or Brg1 (encoded by *Smarca2* and *Smarca4*, respectively). Brg1 has a critical role in many physiological settings such as in maintaining pluripotency in stem cells (15, 16), neural development (17), and heart muscle development (18). On top, Brg1 and other complex subunits were found to be frequently mutated in diverse malignancies (13, 19), highlighting their essential role in maintaining transcriptional stability in a variety of tissues. Thus, Brg1 acts as both a tumor suppressor (18, 20) and a tumor driver (14, 21).

In the context of B cells, gene expression regulation by Brg1 was primarily studied in the process of B development in the bone marrow (BM) wherein the SWI/SNF complex plays a critical role (22). In developing B cells in the BM, Brg1 promotes fate decisions of lymphoid progenitor cells and has critical functions in pro- and pre-B cell stages. Specifically, Brg1 is required for the function of lineage-specific transcription factors like Ikaros and Pax5 through enabling access to enhancers, such as the Myc super-enhancer (23, 24). EBF1, a pioneering transcription factor, was shown to recruit Brg1 and promote phase separation and chromatin accessibility (25). Also, the contraction of the BCR heavy chain locus during the VDJ-recombination requires Brg1 functions (24).

Unlike in B cell development, understanding of the functions and mechanism of Brg1 in mature B cells remains less clear. A role for Brg1 in class-switch recombination and proliferation was previously suggested in a B cell line (26) and Srg1, a subunit of the SWI/SNF complex, was found to be required for GC

formation (22). In contrast, a study that characterized changes in chromatin structure in B cells upon activation found only minor changes in Brg1 genomic occupancy, however, effects on gene expression were not examined (27). Thus, how Brg1 controls mature B cell activation and functions through chromatin modulation is not entirely solved.

Here, we find that Brg1 is critical for establishing the gene expression profile of activated B cells, by promoting chromatin accessibility at enhancers. This process allows Brg1 to activate the transcription of genes essential for cell cycle progression and ultimately GC formation. Thus, our findings define Brg1 as a key chromatin regulator that supports activation-induced transcription factors activity during the establishment of antibody-mediated immunity.

MATERIALS AND METHODS

Mice

CD23^{Cre}, $\gamma 1^{Cre}$ and Brg1^{fl/fl} (28) mice were purchased from the Jackson Laboratories. C57BL/6 wild-type mice were purchased from Envigo. All mice were bred and housed in specific-pathogen-free conditions. Littermate controls used as control animals were Brg1^{+/+}, CD23^{+/+} or $\gamma 1^{+/+}$. All experiments were approved by the Weizmann Institute Animal Care and Use Committee (IACUC) with animals aged between 7–14 weeks. For immunizations, NP-KLH was emulsified in complete Freund's adjuvant (CFA). Per mouse, 50 μ l were subcutaneously injected close to the base of the tail. Animals were anesthetized with a mixture of ketamine, xylazine and acepromazine prior to the injection. 7 days after the immunization, inguinal lymph nodes were harvested and analyzed in flow cytometry.

Method Details

Enzyme-Linked Immunosorbent Assay

Serum was collected from unimmunized mice, by drawing blood into a heparin-coated microcapillary. Haematocrit was removed by centrifugation (800xg, 15 minutes, 4°C) and supernatant, which represents the serum fraction, was collected. Serum was then diluted 1: 40 000 in PBS, and IgM, IgG1, IgG3 antibodies were detected by ELISA using anti-mouse IgM-, anti-mouse IgG1-, or anti-mouse IgG3 horseradish peroxidase (HRP), using 1-step-TMB-ELISA substrate and stop solution. The optical density at 450 nm (OD450nm) was measured with a microplate reader (Tecan).

In Vitro Activation of B Cells

Splenic B cells were isolated by forcing the tissue through a filter mesh into PBS containing 2% fetal calf serum and 1 mM EDTA. The cell mixture was then subjected to erythrocyte lysis by ACK buffer for 10 min, then washed twice with PBS. B cells were isolated with the Ly-48 B cell isolation kit according to the manufacturer's instructions. Cells were cultivated in RPMI1640 medium including 25 mM HEPES, supplemented with 10% fetal calf serum (FCS), L-glutamine, pyruvate, non-essential amino acids, β -mercapto-ethanol, and activated with 10 μ g/mL LPS and

20 ng/mL IL-4 for 72 or 96 hours. Cells were seeded in a density of 1 million per mL. If proliferation was examined, cells were stained with Cell Trace Violet dye according to the manufacturer's instructions prior to the activation.

Flow Cytometry

Spleens, lymph nodes and Peyer's patches were harvested and forced through a filter mesh into PBS containing 2% fetal calf serum and 1 mM EDTA. BM was collected from the hind limbs. Splenic single cell suspensions and BM samples were treated with ACK buffer in order to lyse erythrocytes. *In vitro* activated cells were mixed well, harvested and washed one with PBS.

On ice, single cell suspensions were subjected to 1 μ g/ml anti-CD16/32 for 5 min in order to block nonspecific binding to FC receptors, then fluorescently labeled antibodies were added for another 25 min. Cells were gated as live and single according to their properties in FSC and SSC, then defined as follows: lymph node/ Peyer's patch/spleen: B cells: B220+ CD138-, PCs: CD138+, germinal center B cells: B220+ CD38- FAS+; BM: B cells: B220+ CD138-, PCs: CD138+; *in vitro* activation - B220+; median fluorescence intensities plotted in all diagrams were either tested with student's t-test (2 groups) or one-way ANOVA with *post hoc* Tukey's multiple comparisons test. Statistics were calculated in Graph Pad Prism 8. Following p values are represented by the asterisks: $p < 0.05 = *$; $p < 0.01 = **$; $p < 0.001 = ***$; $p < 0.0001 = ****$; $p > 0.05 = ns$ (not significant). All antibodies were purchased from Biolegend.

For intracellular staining, cells were fixed after surface staining and fixed and permeabilized with the BD Cytofix/ Cytoperm Kit according to the manufacturer's instructions and then stained with anti-Blimp-1-Alexa Fluor 647 and anti-IRF4-Alexa Fluor 488 (Biolegend).

Immunofluorescence

Immunized inguinal lymph nodes were excised, washed in PBS, and fixed with 4% paraformaldehyde for 16 hours at 4°C. The tissues were then subjected to 30% sucrose overnight, and then fresh sucrose solution for 4 more hours before being embedded in OCT freezing solution (Tissue-Tek). 10-mm sections were cut and dehydrated in acetone prior to freezing. Sections were rehydrated in PBS and incubated with 1% SDS in PBS for 5 min, then blocked in PBS with 0.05% Tween-20 and 3% BSA for at least one hour. Slides were probed with 1:100 rabbit-anti-mouse Brg1 (clone H-88) and 1:100 anti-mouse CD35-Biotin overnight, then washed three times and then stained with anti-rabbit Alexa Fluor-488, Alexa Fluor-647 conjugated streptavidin, anti-mouse IgD - PE (each 1:200) and in 1% BSA in PBST, incubated once more overnight. Slides were washed in PBS and nuclei were counterstained for 5 min with Hoechst 33342 (1:4000) (Thermo Fisher Scientific). Sections were mounted with a mounting medium (Sigma-Aldrich) and imaged with a Zeiss LSM 880 confocal microscope.

Quantitative PCR Analysis and RNA Sequencing Sample Preparation

Activated splenic B cells were harvested after 72 hours activation using LPS and IL-4. Polyadenylated RNA was isolated using Dynabeads mRNA Purification Kit (ThermoFisher) according to

the manufacturer's instructions. For qPCR, total RNA was subjected to cDNA synthesis using qScript synthesis kit (Quantabio). qPCR mix was prepared using SYBR green (Roche) with primers specific for Brg1 (fw: CAAAGACAAG CATATCCTAGCCA; rv: CACGTAGTGTGTGTTAAGGACC) or Brm (fw: AGCCAGATGAGTGACCTGC; rv: TGCTTGGCA TCCTTTTCGGAA). Relative transcript expression was calculated using the ddCt method and all transcripts were normalized to Actin B. For RNA sequencing, libraries were generated for bulk sequencing using the MARSseq protocol as previously described.

RNAseq Data Analysis

Alignment and differential expression analysis were performed using the UTAP pipeline (29): Reads were trimmed using Cutadapt and mapped to the mm10 genome assembly using STAR (30) v2.4.2a with default parameters. The pipeline quantifies the genes annotated in Gencode, extended by 1,000 bases toward the 5' edge and 100 bases in the 3' direction. Counting of sequenced reads was done using htseq-count (31). Genes having a minimum of five UMI-corrected reads in at least one sample were included in the analysis. Normalization of the counts and differential expression analysis was performed using DESeq2 (32). Genes were considered differentially expressed if they had a $FC \geq 2$ or ≤ 2 , and $p_{adj} < 0.05$ in Brg1^{fl/fl} cells compared to Brg1^{fl/+} and littermate controls. Heatmap of differentially expressed genes was generated with the pheatmap R package.

Western Blot

In vitro cells were suspended with ice-cold RIPA buffer (10mM Tris-HCl, pH 8.0, 140mM NaCl, 1mM EDTA, 1% Triton X-100, 0.1% Sodium Deoxycholate, 0.1% SDS). Extracts were centrifuged (15,000 xg for 15 min at 4°C), and supernatants were boiled for 5 min in SDS sample buffer. Equal amounts of protein (30 μ g/well) were loaded onto an 8% SDS-PAGE. After electrophoretic separation, proteins were transferred to a nitrocellulose membrane blocked for 1 h (5% nonfat dry milk and 0.5% Tween in Tris-buffered saline), and incubated overnight at 4°C with primary antibodies. After washing, membranes were then incubated with secondary anti-mouse or anti-rabbit horseradish peroxidase-conjugated Abs (Jackson ImmunoResearch Laboratories, West Grove, PA, USA) for 1 h and exposed to ECL reagent (Thermo Fisher Scientific, Waltham, MA, USA).

Antibodies that were used: rabbit anti-human Brg1 (1:500; Cell Signaling Technology, Danvers, Massachusetts, USA), mouse anti-human beta-Actin (1:1000; Thermo Fisher Scientific, Waltham, MA, USA).

ATAC-seq Library Preparation

ATAC-seq was performed as previously described (33) with minor adjustment. Cells were collected after 4 days of *in vitro* activation as mentioned above. 50,000 cells were centrifuged at 400 xg for 3 min, followed by a wash using 50 μ L of cold PBS and centrifugation at 400 xg for 3 min. Cells were lysed using a cold lysis buffer (10 mM Tris-HCl, pH 7.4, 10 mM NaCl, 3 mM MgCl₂ and 0.1% IGEPAL CA-630). Immediately after lysis, nuclei were spun at 400 xg for 10 min using a refrigerated centrifuge. Next, the pellet was resuspended in the transposase

reaction mix (25 μ L 2 \times TD buffer, 2.5 μ L transposase (Illumina) and 22.5 μ L nuclease-free water). The transposition reaction was carried out for 30 min at 37°C and immediately put on ice. Directly afterward, the sample was purified using a QIAGEN MinElute kit. Following purification, the library fragments were amplified using custom Nextera PCR primers 1 and 2 for a total of 12 cycles. Following PCR amplification, the libraries were purified using a QIAGEN MinElute kit and sequenced with paired-end sequencing using NovaSeq 6000.

ATAC-seq Data Analysis

Reads were aligned to the mm10 genome assembly using Bowtie2 (34). Normalized read coverage files were computed by deepTools (35). Peaks were called using MACS2 (36) and annotated using HOMER (37). Peaks from the eight analyzed datasets were combined. Read coverage in the peaks was computed using bigWigAverageOver bed UCSC utility (38). Differential accessibility was computed with DESeq2 (32).

ChIP-seq Data Analysis

A previously published Brg1 ChIP-seq dataset [GSE82144 (27)] was used for the identification of Brg1 binding sites. Reads were aligned to the mm10 genome assembly with Bowtie2 (34). Peak calling was done using MACS2 (36), and peaks were annotated using HOMER (37).

Functional Annotation of Brg1 Target Genes

Enriched GO terms within down- or upregulated Brg1 target genes were identified using GOrilla (39). Enriched GO terms were then summarized by REVIGO (40). Gene Set Enrichment Analysis (GSEA) was performed using GSEA 4.1 (41). Gene names were converted to human gene symbols, and software was run with default parameters, using the “hallmark” signatures from the MSigDB database (42). Enrichment for transcription factors binding to promoters within down- or upregulated Brg1 targets was done using Enrichr (42, 43), with the ChEA database (44).

Analysis of Brg1 Bound Enhancers

Heatmaps representing ChIP-seq signals for Brg1, H3K4me1 and H3K27ac were generated using deepTools (35). Bigwig files used heatmaps were downloaded from GEO (GSE82144). Genomic coordinates of FAIRE-seq and STARR-seq peaks, and of promoter-enhancer interactions were downloaded from GEO (GSE121753) (45). Overlap between Brg1 bound regions and enhancer regions was calculated using bedtools intersect. Signals of Brg1 over different genomic regions were generated using deepTools (35). Mean Brg1 coverage over different genomic regions was calculated using bigWigAverageOverBed.

RESULTS

Brg1 Controls Transcription Regulation in Activated B Cells

In order to examine how Brg1 controls the activation of mature B cells, we examined changes in gene expression patterns in B cells

stimulated through TLR4 or the BCR. In this context, LPS stimulation or IgM crosslinking of B cells induced expression of Brg1 but not of Brm (**Figure 1A**). In order to examine the role of Brg1 in B cell immune responses, we crossed Brg1^{fl/fl} mice to a transgenic mouse strain that expresses Cre specifically in mature B cells (CD23-Cre). In B cells derived from these mice, a significant reduction in Brg1 protein levels was observed (**Figure S1A**). As opposed to commonly used B cell-specific mouse models like CD19-Cre, deletion of genes in this mouse strain takes place during their final differentiation in the spleen after their departure from the BM (46). LPS-stimulated B cells derived from littermate control mice showed effective proliferation, whereas B cells lacking one *Smarca4* allele were moderately impaired, and the proliferation of B cells lacking both *Smarca4* alleles was significantly reduced (**Figure 1B**). Yet, Brg1-deficient B cells were able to respond to LPS stimulation as they showed CD86 upregulation 18 hours after activation (**Figure S1B**) and no reduction in cellular viability was observed (**Figure S1C**). To identify the genes and pathways affected by the loss of Brg1, LPS-activated B cells were subjected to RNA-seq. We found that 643 genes were downregulated and 1424 genes were upregulated by at least twofold in Brg1-deficient B cells compared to littermate controls (**Figure 1C**). Comparing our data to a dataset of gene expression following eight hours of LPS activation of splenic B cells (47), we found that genes that were induced following LPS activation were significantly downregulated in Brg1-deficient B cells, while genes that were repressed following LPS activation were upregulated in Brg1-deficient cells (**Figure 1D**). To characterize how genome-wide occupancy of Brg1 affects gene expression, we first examined a pre-existing dataset of Brg1 ChIP-seq of resting and LPS activated splenic B cells (27). Brg1 occupancy was abundant, with over 50,000 peaks in resting B cells and over 70,000 peaks in activated B cells. Similar to previous findings in pro-B cells (24), Brg1 peaks in mature activated B cells were localized mainly to introns and intergenic regions, while less than a third of the peaks were localized to gene promoters (**Figure 1E**). Analyzing the promoter-bound genes based on their transcriptional response to Brg1 loss, we found that 900 upregulated genes and 396 downregulated genes had Brg1 peaks in their promoters (**Figure 1F**), suggesting their transcription may be directly regulated by this chromatin remodeler. GO analysis and gene set enrichment analysis (GSEA) showed that downregulated Brg1 targets were enriched for cell cycle progression and anabolic process (**Figures 1G, H**), in agreement with the weak proliferation observed in stimulated Brg1-deficient B cells *in vitro*. *Cdkn3* and *E2f8*, two regulators of cell cycle progression, were downregulated in Brg1-deficient B cells and showed Brg1 peaks in their promoters (**Figure 1** and **Figure S1D**). These findings demonstrate that Brg1-deficient cells fail to acquire the proper transcriptional program that promotes B cell activation in response to LPS. In addition, GSEA analysis showed downregulation of *Myc* and *E2f* target genes which are essential for proper B cell proliferation (**Figure S1E**). To examine whether *Myc* and *E2f* transcription factors directly regulate the expression of downregulated Brg1 targets, we

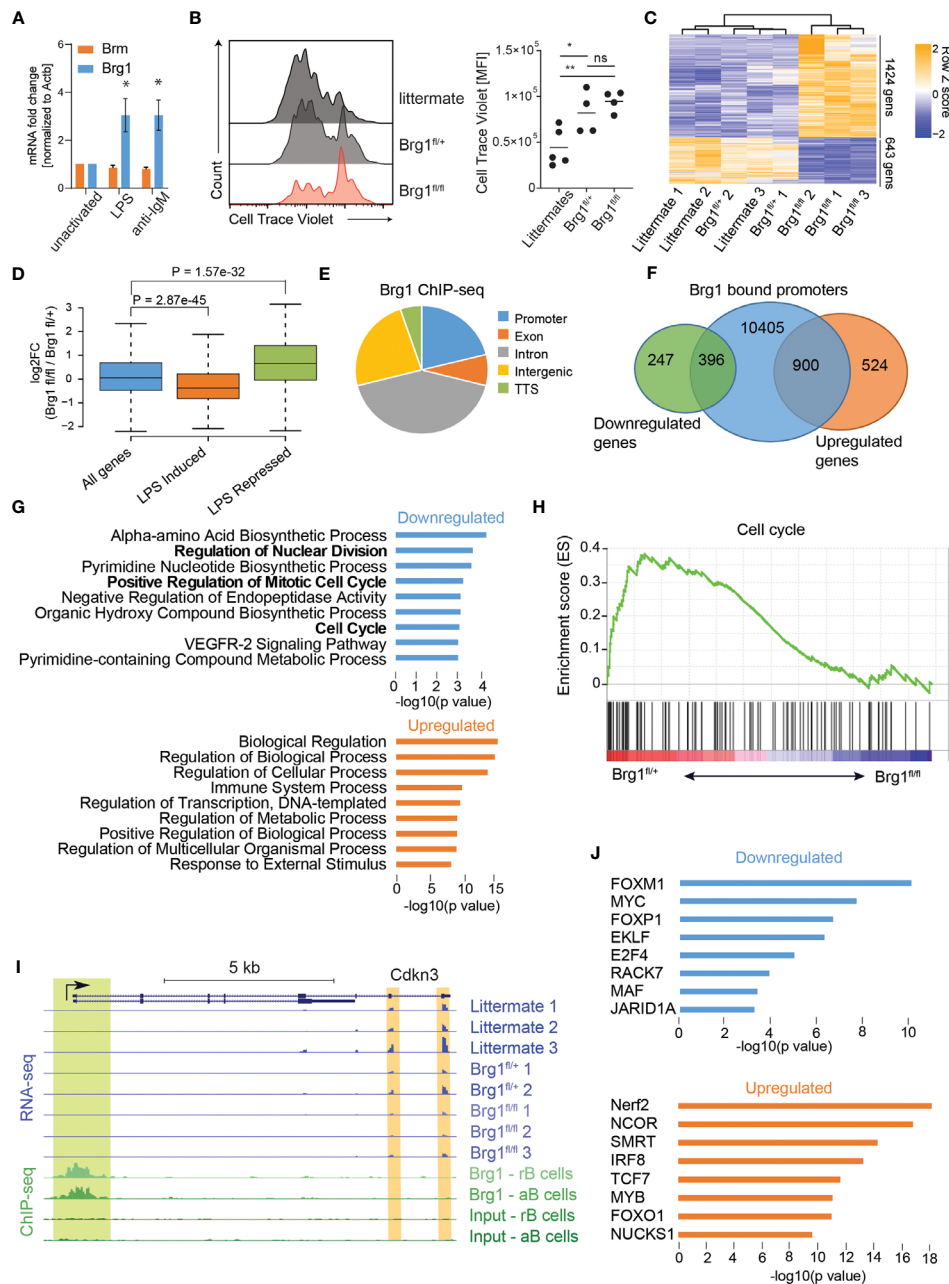


FIGURE 1 | *Brg1* regulates the expression of cell cycle genes in activated B cells **(A)** qRT-PCR analysis of *Smarca2* and *Smarca4* mRNA levels of B cells following treatment with anti-IgM or LPS for 2 days, $n = 3$ mice, * $p < 0.05$ in a one-sample t-test compared to unactivated cells. **(B)** Flow cytometric analysis of splenic B cell proliferation following 72 hours of LPS and IL-4 activation. MFI of CellTrace Violet cell tracker dye is shown and quantified. Each dot represents one mouse. Statistics were calculated with one-way ANOVA with *post hoc* Tukey's multiple comparisons test. $p < 0.05$ = *; $p < 0.01$ = **; $p > 0.05$ = ns (not significant). **(C)** Heat map representation of clustering analysis of differentially expressed genes. All genes with $\text{padj} < 0.05$ and fold change of at least 2 are shown. **(D)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of changes in expression levels of CD23-Cre *Brg1*^{fl/+} compared to *Brg1*^{fl/+} B cells in genes induced or repressed following LPS activation compared to total genes. P value was calculated by a two-sided Wilcoxon rank sum test. **(E)** Distribution of 70,333 *Brg1*-binding sites across genomic regions in LPS activated B cells. TTS: transcription termination site. **(F)** Venn diagram showing overlap between 11,701 *Brg1* bound promoters, 1,424 genes upregulated in *Brg1*^{fl/fl} compared to *Brg1*^{fl/+} cells, and 643 genes downregulated in *Brg1*^{fl/fl} compared to *Brg1*^{fl/+} cells. **(G)** GO terms which were enriched in genes whose promoters were bound by *Brg1* and were down- or upregulated in CD23-Cre *Brg1*^{fl/fl} compared to *Brg1*^{fl/+} B cells. **(H)** Gene set enrichment plot for cell cycle genes from the hallmark gene sets. **(I)** UCSC genome browser tracks showing the locus of *Cdkn3* ($\text{padj} < 0.05$). Tracks show the expression levels, measured by RNA-seq, in activated B cells and ChIP-seq signal of *Brg1* in resting and activated B cells, compared to input controls. Green highlight: binding of *Brg1* in the promoter region. Orange highlights: 3' end exons covered by RNA-seq. **(J)** Transcription factors enriched for binding the promoters of genes which were bound by *Brg1* and were down- or upregulated in *Brg1*^{fl/fl} compared to *Brg1*^{fl/+} cells.

analyzed the promoters of these genes for binding by transcription factors using the ChEA database, which contains ChIP-seq and ChIP-chip experiments for 199 transcription factors (44). We found that promoters of downregulated Brg1 targets were enriched for binding by Myc and E2f4, in addition to other transcription factors that play a role in cell cycle progression and cell proliferation including Foxm1 and Foxp1 (**Figure 1J**). Indeed, *E2f4* expression was reduced in Brg1-deficient B cells (**Figure S1F**). In contrast, despite the fact that MYC targets were less expressed in Brg1-deficient B cells, and that many downregulated Brg1 targets were regulated by MYC, the expression of *Myc* gene was not significantly reduced in Brg1-deficient cells compared to control cells (**Figure S1F**). These data suggest that in activated B cells, Brg1 doesn't control *Myc* expression directly. Upregulated Brg1 target genes were enriched for GO terms related to immune system processes and transcriptional regulation, including Bach2 transcriptional regulator (**Figure 1G** and **Figure S1C**). The promoters of these genes were enriched for binding by NCOR and SMRT (**Figure 1J**), two transcriptional repressors which are known to act in Brg1 containing complexes (48) that play important roles in transcription regulation in B cells (49, 50). Taken together, these data suggest that Brg1 plays important roles in transcriptional regulation in activated B cells, both through activation of genes required for cell proliferation and in repression of genes that are downregulated in response to LPS activation.

Brg1 Promotes Enhancer Chromatin Accessibility in Activated B Cells

Brg1 was previously reported to activate cell-type-specific enhancers by facilitating the depletion of nucleosomes in pro-B cells (24) and in mesoderm lineage commitment (51). In activated B cells, Brg1 bound regions were marked by H3K4me1 and H3K27ac, two histone modifications that typically mark enhancers (**Figure 2A**). In order to study whether Brg1 affects gene expression through enhancer activation, we utilized previously published datasets, including enhancer regions that were mapped by FAIRE-seq and tested for functionality using STARR-seq, and enhancer-promoter interactions mapped by Hi-C (45). FAIRE-seq peaks define regions of open and accessible chromatin. STARR-seq peaks define regions that are both accessible and were shown to act as functional enhancers using a high-throughput screen. Open chromatin regions that were not validated as functional enhancers might represent poised enhancers with the potential to rapidly become activated under specific cellular contexts (45). We found that Brg1 was bound to most poised and active enhancers (represented by FAIRE-seq and STARR-seq peaks, respectively), and to even larger fractions of enhancer-promoter pairs (as detected by Hi-C analysis) (**Figure 2B**). Brg1 signal was stronger in resting B cells compared to their activated counterparts, and stronger in STARR-seq validated enhancers compared to all FAIRE-seq regions (**Figures 2C, S1G**). We also found that the Brg1 signal was stronger in enhancers that interact with multiple promoters (**Figure 2D** and **Figure S1H**), which

were reported to have increased chromatin accessibility, suggesting that Brg1 preferentially interacts with enhancers that show higher activity. In order to examine the effect of Brg1 deficiency on the chromatin landscape we used ATAC-seq to map accessible chromatin regions. We compared B cell-derived from CD23-Cre Brg1^{fl/fl} and CD23-Cre Brg1^{fl/+} that showed similar gene expression patterns as Brg1-sufficient littermates in RNA-seq analysis (**Figure 1C**). We obtained 16,464 peaks representing open chromatin regions, and for each peak computed the average ratio of read coverage between Brg1-deficient and control B cells. 752 peaks showed significantly reduced chromatin accessibility in Brg1-deficient B cells, and 340 of those peaks overlapped with activated B cells enhancers (**Figure S1I**). 322 peaks showed a significant increase in chromatin accessibility in Brg1-deficient B cells, and only 20 of these peaks overlapped enhancer regions (**Figure S1I**). Nearly all of the observed peaks with reduced chromatin accessibility were also bound by Brg1 according to the ChIP-seq data, while only a third of the peaks that showed increased accessibility was bound by Brg1 (**Figure S1J**). While peaks overlapping promoters were not affected by the loss of Brg1, peaks overlapping enhancer regions, as well as peaks overlapping Brg1 binding sites, had significantly reduced chromatin accessibility in Brg1-deficient B cells (**Figure 2E**). Taken together, these data suggest that Brg1 preferentially binds enhancers in activated B cells and promotes chromatin accessibility.

We next examined specifically the expression levels of genes whose promoters interact with enhancers bound by Brg1 and found that these genes were significantly less expressed in Brg1-deficient cells (**Figure 2F**). We also found that the expression of genes that were bound by more than four enhancers was downregulated to a larger extent compared to genes bound by up to four enhancers (**Figure 2G**). Importantly, genes bound by multiple enhancers were reported to have enhanced levels of nascent transcripts compared to genes bound by single enhancers (45). An example of a gene whose promoter interacts with multiple Brg1 bound enhancers is *E2f4*, a transcription factor regulating cell cycle progression whose expression was downregulated in Brg1-deficient cells (**Figure S1F**). Hi-C analysis identified seven enhancers interacting with the promoter of *E2f4*, and our data show that these enhancers were bound by Brg1 and had decreased chromatin accessibility in Brg1-deficient cells (**Figure 2H**). These data suggest that Brg1 recruitment to multiple enhancers promotes transcriptional activation of their coupled promoters.

Effective Antibody-Mediated Immune Response Depends on Brg1

To evaluate how Brg1 functions at the chromatin level translate to effects on B cell immune responses, we examined immunoglobulin titers in the serum of unimmunized littermate control and CD23-Cre Brg1^{fl/fl} mice. This analysis revealed a significant reduction in all of the antibody isotypes in Brg1-deficient mice (**Figures 3A**). The generation of IgG1 antibodies is primarily promoted by a T cell-dependent B cell activation response and generation of GCs (52). Therefore, we also

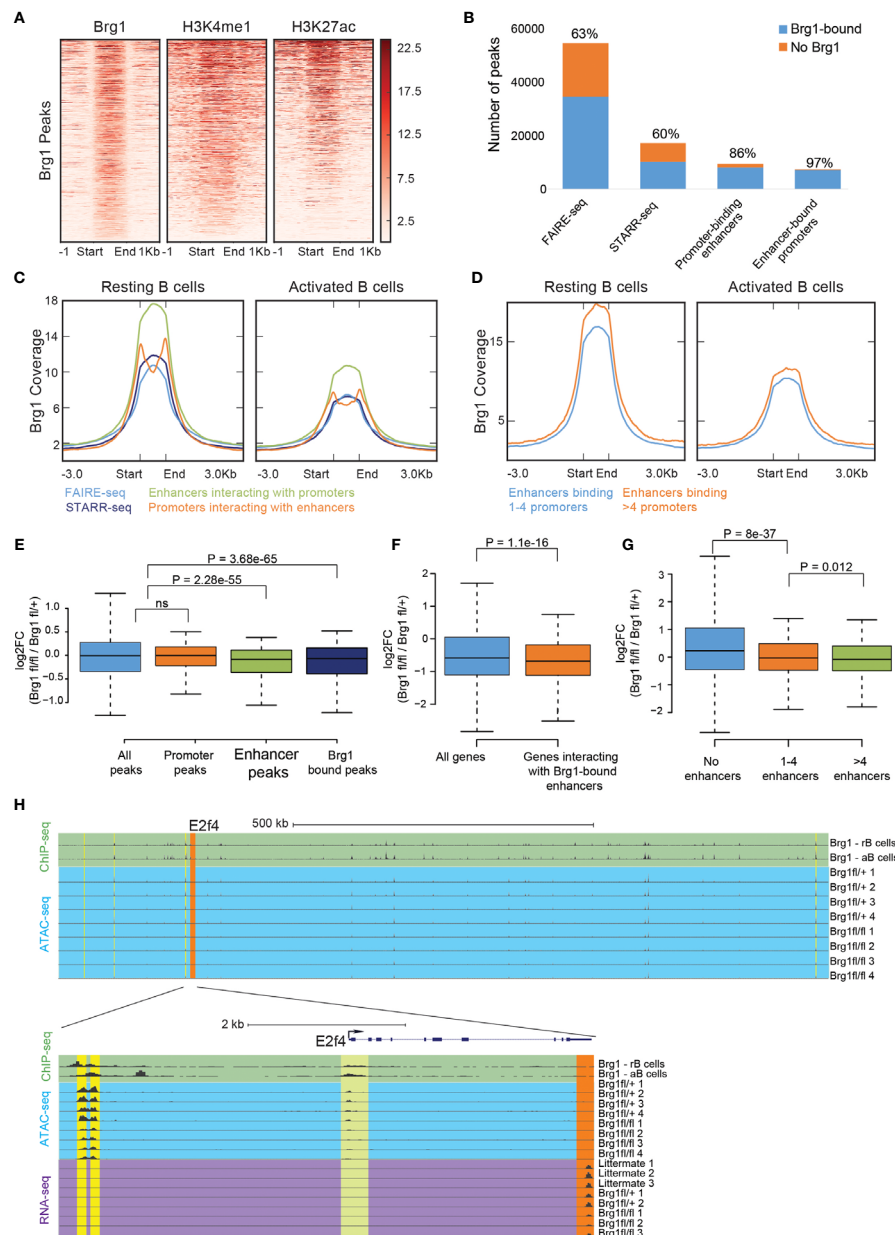


FIGURE 2 | Brg1 recruitment to multiple enhancers is associated with transcriptional activation of their coupled promoters. **(A)** Density of ChIP-seq reads for Brg1, H3K4me1, and H3K27ac in activated B cells. Plots show ± 1 kb around the midpoint of each Brg1-enriched region ranked according to Brg1 density. **(B)** The numbers of FAIRE-seq peaks, STARR-seq peaks, promoter-binding enhancers, and enhancer-bound promoters, and the fraction of each group that overlaps Brg1 bound genomic regions. **(C)** Averaged tag densities of Brg1 are plotted across all FAIRE-seq peaks, STARR-seq peaks, promoter-binding enhancers, and enhancer-bound promoters, in resting and activated B cells. **(D)** Averaged tag densities of Brg1 are plotted across enhancers binding 1-4 promoters compared to enhancers binding >4 promoters, in resting and activated B cells. **(E)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of changes in ratios of ATAC-seq signal for the indicated group of peaks, comparing CD23-Cre Brg1^{fl/fl} cells to Brg1^{fl/+} B cells; $p > 0.05 = ns$ (not significant). **(F)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of changes in expression levels of Brg1^{fl/fl} cells compared to Brg1^{fl/+} cells in genes whose promoters interact with Brg1 bound enhancers compared to all genes. P-value was calculated by the two-sided Wilcoxon rank-sum test. **(G)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of changes in expression levels of CD23-Cre Brg1^{fl/fl} cells compared to Brg1^{fl/+} cells in genes whose promoters interact with 1-4 enhancers or with more than 4 enhancers, compared to genes whose promoters were not found to interact with active enhancers. P values were calculated by a two-sided Wilcoxon rank-sum test. **(H)** Top: UCSC genome browser tracks showing the area around the E2f4 gene, which was significantly downregulated in Brg1^{fl/fl} cells ($\text{padj} < 0.05$). Tracks show the ChIP-seq signal of Brg1 in resting and activated B cells and ATAC-seq coverage in activated B cells. Orange highlight: E2f4 gene. Yellow highlights: enhancers which were found to interact with the promoter of E2f4. Bottom: zoom-in to the E2f4 locus, showing ChIP-seq signal of Brg1 in resting and activated B cells, ATAC-seq coverage and expression levels measured by RNA-seq. Green highlight: binding of Brg1 in the promoter region of E2f4. Orange highlight: 3' and exons covered by RNA-seq. Yellow highlights: enhancers which were found to interact with the promoter of E2f4. rB cells, resting B cells. aB cells, activated B cells.

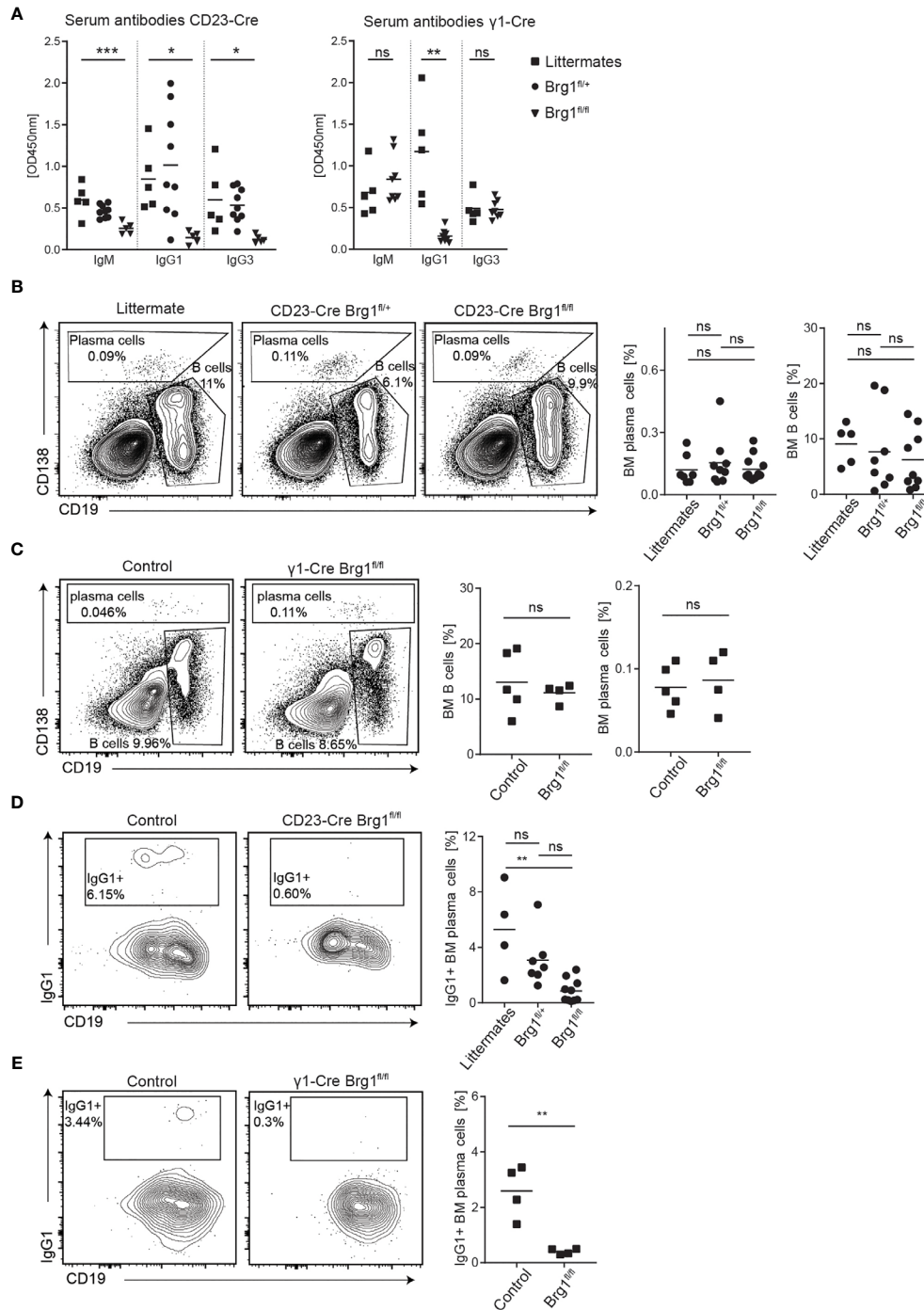


FIGURE 3 | Brg1-deficiency in B cells causes a reduction in serum antibodies and class-switched plasma cells. **(A)** ELISA for IgM, IgG1, and IgG3 in the serum of unimmunized CD23-Cre and $\gamma 1$ -Cre littermate, Brg1^{fl/+} and Brg1^{fl/fl} mice. One-way ANOVA with *post hoc* Tukey's multiple comparisons test. Following p values are represented by the asterisks: * p < 0.05; ** p < 0.01; *** p < 0.001; ns (not significant). **(B)** Analysis of BM B cells and CD138⁺ cells in CD23-Cre mice by flow cytometry. **(C)** Analysis of BM B cells and CD138⁺ cells in $\gamma 1$ -Cre mice by flow cytometry. **(D)** Assessment of IgG1-class-switched BM CD138⁺ cells in CD23-Cre mice using intracellular staining. **(E)** Assessment of IgG1-class-switched BM CD138⁺ cells in $\gamma 1$ -Cre mice using intracellular staining.

examined antibody generation in mice in which Cre is expressed during B cell activation and CSR. For this purpose, we crossed the Brg1^{fl/fl} mice to a mouse strain that expresses Cre after B cell activation during transcription of the sterile transcript of IgG1 (γ 1-Cre mice (53)). In these mice, a significant reduction in IgG1 levels was observed whereas IgM and IgG3 titers were not affected (**Figures 3A**). We conclude that an effective generation of antibodies depends on Brg1.

The majority of long-lived PCs reside in the BM, where they can survive for long periods in specific niches and continuously secrete antibodies (54). Therefore, we assessed the frequency of total and IgG1⁺ BM PCs in littermates and CD23-Cre Brg1^{fl/fl} by flow cytometry analyses (**Figure S2A**). We found that the frequency of overall PCs was not different between the groups of mice. Overall the B cell fractions did not significantly change in CD23-Cre Brg1^{fl/fl} and γ 1-Cre Brg1^{fl/fl} mouse strains within the BM (**Figures 3B, C**), although a small reduction was observed in the heterozygote mice. Nonetheless, the frequency of IgG1 class-switched PCs was significantly decreased, in the CD23-Cre Brg1^{fl/fl} mice and nearly undetectable in γ 1-Cre Brg1^{fl/fl} mice (**Figures 3D, E**). To examine if Brg1 directly affects the expression of genes that are associated with plasma cell differentiation, we examined the expression of Irf4 and Blimp-1 in Brg1-deficient B cells following LPS activation. The expression of both of these transcription factors was intact suggesting that direct activation of plasma cell differentiation program is not dependent on Brg1 (**Figure S2B**). Collectively, we conclude that Brg1 in B cells is indirectly essential for the generation of IgG1⁺ PCs.

Brg1 Is Required for the Generation of Germinal Center B Cells

Since IgG1 antibodies typically carry SHM, the GC reaction is their primary source. Thus, we examined the possibility that the observed reduction in PC frequencies in both mouse models is a result of defects in GC formation. First, we verified that Brg1 is expressed in GC B cells. For this purpose, we purified naive, light zone (LZ) and dark zone (DZ) GC B cells from immunized mice and examined Brg1 expression by qRT-PCR. Brg1 RNA (encoded by *Smarca4*) was primarily expressed in DZ B cells (**Figure 4A**). Accordingly, we detected Brg1 expression primarily in the DZ of the GC by immunohistochemistry (**Figure 4B**). To examine if Brg1 plays a role in PC generation during a T cell-dependent immune response, CD23-Cre Brg1^{fl/+}, CD23-Cre Brg1^{fl/fl}, and littermates control mice were injected with KLH in CFA and the frequency of CD138⁺ cells was examined in draining lymph nodes (LNs). Whereas a clear antibody-secreting cells (ASCs) population was detected in littermate controls and CD23-Cre Brg1^{fl/+}, these cells were undetectable in LNs derived from CD23-Cre Brg1^{fl/fl} mice (**Figure 4C**, gating in **Figure S2C**). The absence of ASCs can be a result of either reduced levels of mature B cells or an inability to form GCs. The frequency of naive B cells was significantly reduced in CD23-Cre Brg1^{fl/fl} mice compared to control, however, they still hosted substantial amounts of B cells (**Figure 4C**). ASCs were not detected in the LNs of CD23-Cre Brg1^{fl/fl} and a similar trend was observed in γ 1-Cre Brg1^{fl/fl} mice,

although in some mice ASCs were observed in this model (**Figures 4C, D**). Most importantly, GC B cells were not detected in the LNs of immunized CD23-Cre Brg1^{fl/fl} and γ 1-Cre Brg1^{fl/fl} mice (**Figures 4E, F**). We conclude that the deficiency in the generation of ASCs in response to immunization is primarily due to a severe defect in GC formation.

To further substantiate our findings, we examined the role of Brg1 in immune responses within Peyer's patches, lymphoid organs that constantly host GCs driven by gut-derived antigens. In CD23-Cre Brg1^{fl/fl} the frequency of GC B cells in PPs was severely reduced, although in most mice, a clear GC cell population could be detected (**Figure 4G**). Remarkably, nearly all of the remaining GC B cells in PPs of Brg1^{fl/fl} mice carried an IgA BCR and very few cells were IgG1⁺ (**Figure 4H**). These findings reveal that Brg1 is important for effective formation of IgG1⁺ GC B cells in response to gut-derived antigens whereas IgA⁺ GC B cells are less dependent on Brg1. Analysis of PP GCs in γ 1-Cre Brg1^{fl/fl} mice showed normal frequency of GC cells (**Figure S3A**) but nearly no IgG1⁺ B cells were detected (**Figure S3B**). In γ 1-Cre mice, Cre-mediated recombination in IgA⁺ B cells in PPs is very ineffective and thus the IgA B cell compartment cannot be considered as Brg1-deficient (53). To validate a role for Brg1 in CSR, we performed *in vitro* activation of CD23-Cre splenic B cells using LPS and IL-4 and observed a significant reduction in IgG1⁺ Brg1-deficient B cells (**Figure S3C**). Collectively, we conclude that Brg1 is required for the formation of IgG1⁺ GC B cells in immune responses that are driven by gut-derived antigens within PPs.

DISCUSSION

In this study, we examined how Brg1 regulates gene expression through chromatin modulation in B cells. We have mapped the transcriptional response, chromatin landscape and Brg1 interactions with promoters and enhancers during B cell activation and found several hundred genes that are directly and indirectly regulated by Brg1. The majority of these genes were activation-induced genes, associated with cell cycle functions, that support B cell expansion. Specifically, we find that Brg1 promotes chromatin accessibility in enhancer regions, leading to transcriptional activation of genes that are essential for proper B cell proliferation. Accordingly, regulation of B cell activation by Brg1 is essential for GC formation and the establishment of long-lasting immunity.

What are the mechanistic details of Brg1 in regulating those genes? Our data show that Brg1 predominantly binds promoter and enhancer regions of the genes it regulates, defined by histone marks H3K27ac and H3K4me1 that occur concomitantly with Brg1 binding. These genes are then activated by diverse activating transcription factors which were previously shown to critically affect GC functions including Myc, which is essential for B cell proliferation and affinity-based selection (55, 56). In line with the binding of activating and inhibitory transcription factors at sites of Brg1 binding, we identified a subset of genes that are not induced in absence of Brg1, and also an additional

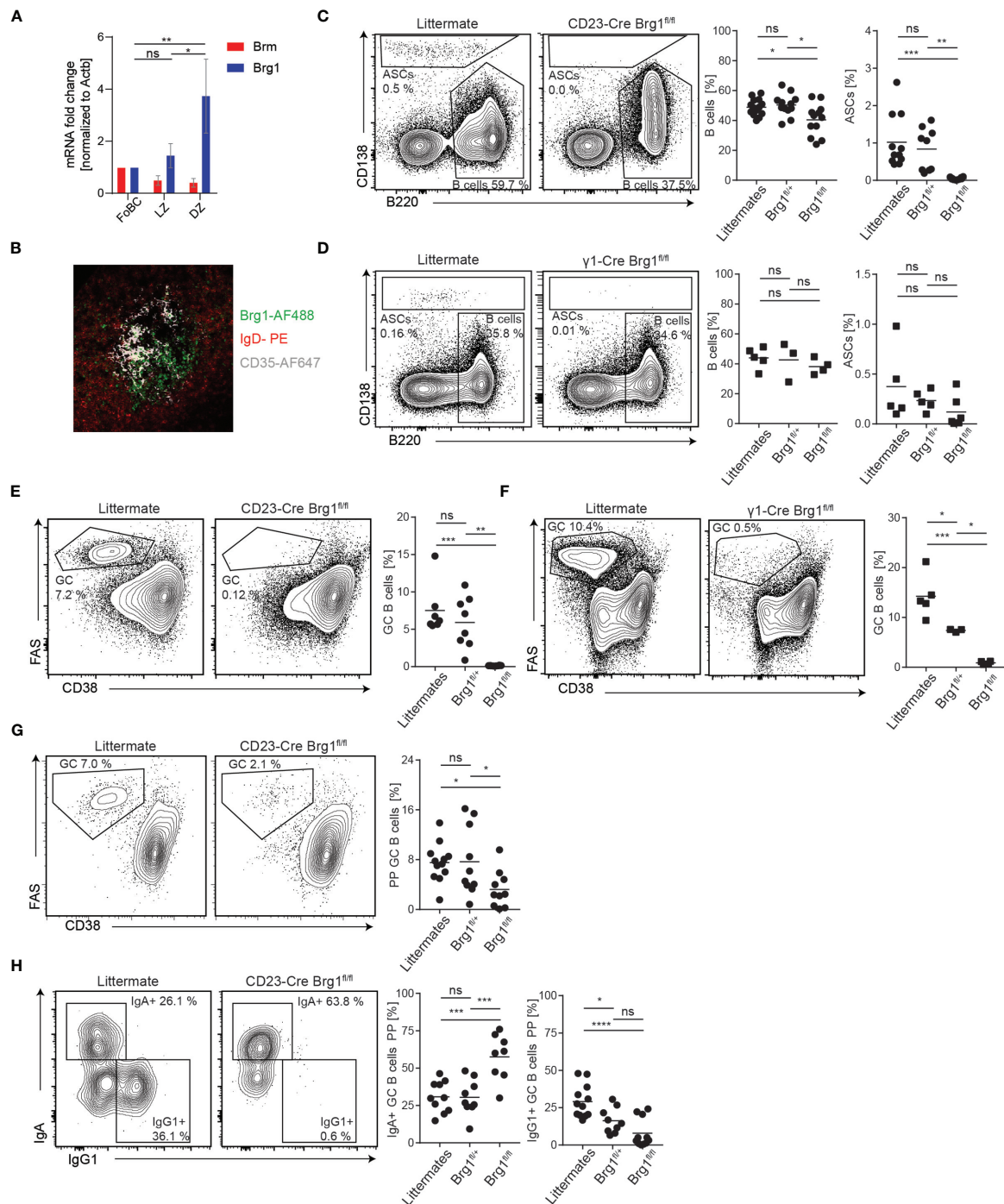


FIGURE 4 | Formation of germinal centers depends on Brg1. **(A)** qRT-PCR analysis of Brm and Brg1 RNA levels relative to Actin B levels in follicular B cells (FoBC), light zone (LZ), and dark zone (DZ) B cells; $n = 5$ mice. One-way ANOVA with *post hoc* Tukey's multiple comparisons test was performed, following p values for differences in Brg1 RNA levels are represented by the asterisks: $p < 0.05 = *$; $p < 0.01 = **$. Changes in Brm RNA levels were not significant. **(B)** Staining for IgD-PE (naive B cells), CD35-AF647 (follicular dendritic cells in the LZ) and Brg1 (clone H-88, detected with an anti-rabbit-IgG-AF488 secondary antibody) in LN-derived from immunized mouse **(C)** Naive B cell and ASC percentages in inguinal LN determined by flow cytometry, 7d after injection of KLH in CFA. Each dot represents one mouse, $n = 9 - 12$ mice per group. One-way ANOVA with *post hoc* Tukey's multiple comparisons test was used for statistical analysis. Following p values are represented by the asterisks: $p < 0.05 = *$; $p < 0.01 = **$; $p < 0.001 = ***$; $p < 0.0001 = ****$; $p > 0.05 = ns$ (not significant). **(D)** Naive B cell and ASC percentages in inguinal LN determined by flow cytometry 7d after injection of KLH in CFA. **(E)** The fraction of GC B cells in inguinal LN determined by flow cytometry, 7d after injection of KLH in CFA. **(F)** GC B cells in inguinal LN determined by flow cytometry in immunized γ 1-Cre littermate, Brg1^{fl/+} and Brg1^{fl/fl} mice, 7d after injection of KLH in CFA. **(G)** Flow cytometric analysis of PP GCs in CD23-Cre littermate, Brg1^{fl/+} and Brg1^{fl/fl} mice. **(H)** Quantification of IgA⁺ and IgG1⁺ B cells in PP in CD23-Cre littermate, Brg1^{fl/+} and Brg1^{fl/fl} mice.

subset of genes that are not sufficiently suppressed. Of note, in mature B cells, Brg1 does not play a role in the regulation of *Myc* as previously shown in developing B cells in the BM (24). Nonetheless, plenty of MYC downstream targets were downregulated in absence of Brg1, suggesting that this chromatin remodeling complex creates NRDs to enable MYC binding and function. Therefore, we define a new additional mechanism of action of the BAF complex that regulates MYC targets within the same cell lineage.

Our data show that Brg1 binds the majority of open-chromatin regions and active enhancers in activated B cells and that genes whose promoters interact with Brg1-bound enhancers are downregulated in Brg1-deficient cells. These findings support previous studies showing that Brg1 is required for enhancer activation through eviction of nucleosomes (24) and robust acetylation of chromatin (51) at enhancer regions.

We found that Brg1 recruitment to enhancers was more frequent in resting B cells than in activated B cells, suggesting Brg1 might be required for the initial activation of these enhancers, allowing the cells to acquire the proper transcriptional response to LPS activation. This is similar to H3K4me1, which marks poised enhancers and was reported to be diminished following B cell LPS activation (45). In addition, we found increased Brg1 occupancy in enhancers that interact with multiple promoters. Through these interactions, Brg1 can be involved in coordinated control over multiple genes, which can explain the strong impact of Brg1 loss on the expression of hundreds of genes. Although the possibility of a secondary effect cannot be completely excluded, the fact that we observe changes in genes whose promoters and enhancers are Brg1 targets, strongly suggests a direct effect.

Given the cell cycle-centered genetic profile related to Brg1-mediated functions, it is unsurprising that Brg1 is predominantly expressed in the DZ of the GC, as this is the primary site where GC B cells proliferate. Accordingly, both of our mouse models lack GC reactions which explain the strong defect in antibody formation. Yet, Brg1 was not essential for the generation of all bone-marrow resident PCs. Furthermore, the Brg1-deficient GC B cells observed in PPs were class-switched to IgA whereas IgG1 class-switched cells, which highly depend on T cell help, were not detected. Since IgA is less dependent on T cell help (52), these findings suggest that Brg1 might not be essential for T cell-independent responses. Thus, whereas IgG1 responses are Brg1-dependent it is most likely that the PP GCs are defective as well, further investigation to expose the role of Brg1 in CSR to IgA is required.

Collectively, our data highlight new mechanistic insights into the mode of action of Brg1 in B cell activation and raise the hypothesis that the main role of this chromatin remodeler, also in other physiological contexts, lies in the guidance of enhancer-promoter pairings. On the one hand, this would explain its striking role in the differentiation of diverse tissues, as lineage-specific transcription factors frequently bind enhancers remotely apart from their target genes. Without Brg1, the pairing of promoters and enhancers might be impaired and therefore gene transcription cannot be properly induced. On the other

hand, this hypothesis also fits the seemingly contradicting roles for Brg1 in different cancer cells, both previously described as a tumor suppressor and oncogene. As Brg1 does not exert transcriptional regulation by itself but rather enables functions of other transcription factors, the impacts of a Brg1 loss depend on the transcription factor expression in a given tumor cell. Together, our findings provide an explanation for how Brg1 regulates multiple genetic programs that support cell proliferation and differentiation in healthy and pathological conditions.

DATA AVAILABILITY STATEMENT

All sequencing data have been deposited in GEO (accession number GSE180994). The data is publicly available using following link: <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE180994>.

ETHICS STATEMENT

The animal study was reviewed and approved by The Weizmann Institute IACUC committee.

AUTHOR CONTRIBUTIONS

Conceptualization, DS, HH, and ZS. Investigation, DS and AH. Formal analysis, DS and HH. Resources, DS and AH. Visualization, DS and HH, Software, HH. Data curation, DS and HH. Writing - original draft, DS and HH. Writing - review and editing, DS, HH and ZS, Supervision, project administration management, and funding acquisition, ZS. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.705848/full#supplementary-material>

Supplementary Figure 1 | Brg1 is required for proper expression of cell-cycle-related genes in LPS-stimulated B cells. **(A)** Western Blot analysis for Brg1 protein levels in splenic B cells of littermate and Brg1^{fl/fl} CD23-Cre mice. B cells were activated for 4 days with LPS and IL-4. β -Actin serves as loading control.

(B, C) Flow cytometric analysis of the live-dead marker 7-AAD as well as B cell activation marker CD86 after 18 hours of activation with LPS and IL-4. Background staining was determined from CD86-PE on B220-negative splenic cells (non-B cells). **(D)** UCSC genome browser tracks showing the loci of E2f8, which was significantly downregulated in CD23-Cre Brg1^{fl/fl} B cells, and Bach2, which was significantly upregulated in CD23-Cre Brg1^{fl/fl} cells. Tracks show the expression levels of the genes, measured by RNA-seq, in activated B cells from littermates, CD23-Cre Brg1^{fl/+}, and Brg1^{fl/fl} mice, and ChIP-seq signal of Brg1 in resting and activated B cells, compared to input control. Green highlight: binding of Brg1 in the promoter regions. Orange highlights: 3' end exons covered by RNA-seq. rB cells, resting B cells. aB cells, activated B cells. **(E)** Gene set enrichment plots for Myc targets and E2f targets from the hallmark gene sets. **(F)** Expression levels of E2f4 and Myc, measured by RNA seq, in control samples, including CD23-Cre Brg1^{fl/fl} and littermate controls. Normalization of reading counts and calculation of p value were done using Deseq2. **(G)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of mean Brg1 coverage across all FAIRE-seq and STARR-seq peaks in resting B cells. P values were calculated by a two-sided Wilcoxon rank-sum test. **(H)** Boxplots indicating the median, quartiles, and 5th and 95th percentiles of mean Brg1 coverage across all enhancers binding 1-4 promoters and enhancers binding more than 4 enhancers, in resting and activated B cells. P values were calculated by a two-sided Wilcoxon rank-sum test. **(I)** Venn diagram showing overlap between 5,841 ATAC-seq peaks overlapping activated B cells enhancers,

752 ATAC-seq peaks with significantly reduced chromatin accessibility in Brg1-deficient cells, and 322 ATAC-seq peaks with significantly increased chromatin accessibility in Brg1-deficient cells. **(J)** Venn diagram showing overlap between 13,890 Brg1 bound ATAC-seq peaks, 752 ATAC-seq peaks with significantly reduced chromatin accessibility in Brg1-deficient cells, and 322 ATAC-seq peaks with significantly increased chromatin accessibility in Brg1-deficient cells.

Supplementary Figure 2 | Brg1 is not critical for the expression of Blimp-1 and IRF4 in B cells following LPS activation. **(A)** Gating strategy used for analysis of PCs in BM samples. **(B)** Analysis of IRF4 and Blimp-1 protein levels assessed by intracellular flow cytometry in CD23-Cre littermates or Brg1^{fl/fl} splenic B cells before and after 4 days activation with LPS and IL-4. Statistics were calculated with students' t-test. **(C)** Gating strategy applied to detect GC B cells in lymph nodes and PPs.

Supplementary Figure 3 | Brg1 is required for the generation of IgG1+ germinal centers B cells in Peyer's patches. **(A)** Analysis of germinal center size in PPs of γ 1-Cre control (littermate or Brg1^{fl/+}) or Brg1^{fl/fl} mice analyzed by flow cytometry. Statistics were calculated with students' t-test. **(B)** Analysis of class-switch recombination to IgA and IgG1 in Peyer's patches of γ 1-Cre control (littermate or Brg1^{fl/+}) or Brg1^{fl/fl} mice analyzed by flow cytometry. Statistics were calculated with students' t-test. **(C)** Analysis of class-switch recombination to IgG1 in splenic B cells derived from littermate, Brg1^{fl/+} or Brg1^{fl/fl} CD23-Cre mice after 4 days activation with LPS and IL-4. Statistics were calculated using one-way ANOVA with *post hoc* Tukey's multiple comparisons. CellTrace Violet staining indicates the magnitude of B cell proliferation.

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Long-Range Control of Class Switch Recombination by Transcriptional Regulatory Elements

Audrey Dauba and Ahmed Amine Khamlichi*

Institut de Pharmacologie et de Biologie Structurale, IPBS, Université de Toulouse, CNRS, Université Paul Sabatier, Toulouse, France

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Brigham and Women's Hospital and
Harvard Medical School, United States

*Correspondence:

Ahmed Amine Khamlichi
ahmed.khamlichi@ipbs.fr
orcid.org/0000-0002-6523-2035

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Immunoglobulin class switch recombination (CSR) plays a crucial role in adaptive immune responses through a change of the effector functions of antibodies and is triggered by T-cell-dependent as well as T-cell-independent antigens. Signals generated following encounter with each type of antigen direct CSR to different isotypes. At the genomic level, CSR occurs between highly repetitive switch sequences located upstream of the constant gene exons of the immunoglobulin heavy chain locus. Transcription of switch sequences is mandatory for CSR and is induced in a stimulation-dependent manner. Switch transcription takes place within dynamic chromatin domains and is regulated by long-range regulatory elements which promote alignment of partner switch regions in CSR centers. Here, we review recent work and models that account for the function of long-range transcriptional regulatory elements and the chromatin-based mechanisms involved in the control of CSR.

Keywords: *IgH* locus, class switch recombination, switch transcription, enhancer, insulator, long-range interactions, chromatin loop extrusion

1 OUTLINE OF CSR IN AND OUT OF GERMINAL CENTERS

B lymphocytes have a remarkable ability to somatically alter their immunoglobulin (*Ig*) loci at different stages of their development. In developing B cells, *Ig* loci undergo V(D)J recombination catalyzed by the RAG1/RAG2 (RAG) complex. V(D)J recombination targets the variable regions of both *Ig* heavy chain (*IgH*) and *Ig* light chain (*IgL*) loci and lies at the basis of the vast primary antibody repertoire (1–4). Upon antigen challenge, mature B cells can further diversify the variable regions of *IgH* and *IgL* genes through somatic hypermutation (SHM) and the constant (*C_H*) genes of the *IgH* locus through class switch recombination (CSR). The enzyme activation-induced cytidine deaminase (AID) is absolutely required for SHM and CSR and initiates these processes via transcription-dependent cytosine deamination of single-stranded DNA targets (5–9).

Depending on the type of the eliciting antigen, humoral responses are classically categorized in T-cell-dependent and T-cell-independent responses. SHM is a hallmark of affinity maturation featuring an increase in the affinity of antibodies (Abs), as an outcome of SHM in germinal centers (GCs) in the context of T-cell-dependent responses (5, 10). In a typical GC response, SHM generates a pool of mutated B cells that compete for a variety of signals required for their survival, delivered by the other GC-resident cells in an affinity-dependent manner. Positively selected B cells, with higher-affinity B-cell receptors, ultimately produce memory B cells and long-lived Ab secreting plasma cells, which provide effective protection against future reinfection (10).

CSR occurs *in vivo* following immunization or infection and enables antigen-activated, IgM⁺-expressing B cells to change the constant domains of Ig heavy chains, hence the expression of novel isotypes (IgG, IgE, or IgA) with different effector functions (11–13). Switching from IgM to other isotypes depends on the nature of antigen, the cytokines produced by other immune cell types, and the interactions engaging activated B cells with the other immune cell types (helper T cells, dendritic cells...) (11–13). The signals received by the B cell trigger different signaling pathways that induce a complex interplay between 3D conformational changes of the *IgH* locus, epigenetic modifications, and transcriptional programs that mobilize a set of transcription factors that induce or suppress transcription of *C_H* genes (6, 8, 14–17).

Besides CSR induced in T-cell-independent responses which do not involve GC formation, CSR in the context of T-cell-dependent responses has long been assimilated to GCs (10, 18). However, seminal observations on the kinetics of switch transcripts appearance and CSR [e.g., (19–21)] suggested that CSR occurs outside GCs. This notion recently gained support from the analyses of the earliest stages of an immune response, showing CSR at the early onset of GC formation, prior to SHM (22).

CSR is usually triggered *in vitro* by culturing splenic B cells in the presence of various cocktails of cytokines and/or mitogens which induce both AID and CSR. For instance, mouse B cells are typically induced to switch to IgG3 and IgG2b when activated with lipopolysaccharide (LPS) and to IgG1 and IgE in the presence of LPS+IL4 or anti-CD40+IL4. These culture systems allow the investigators to address B-cell-autonomous mechanisms that are more difficult to tackle in the context of the complex molecular processes and cellular interactions triggered *in vivo* by antigens (6).

Most, if not all, of our knowledge on the transcriptional elements that control CSR derives from the use of cultured splenic B2 B cells, the main B-cell population in the spleen. However, CSR can also take place in B1 B cells, which form the major population in the pleural and peritoneal cavities. B1 B cells have a distinct antigen specificity, display different cell surface markers, and switch to IgA preferentially (23, 24). However, the transcriptional mechanisms involved in CSR in B1 B cells have just begun to be investigated.

CSR is not restricted to activated mature B cells. It has long been known that it can occur in developing B cells, though at a low frequency. Indeed, various studies described CSR events in Abelson murine leukemia virus (A-MuLV)-transformed pro-B lines [e.g., (25–29)] and early primary B cells as well [e.g., (30–35)]. In fact, seminal discoveries on the importance of transcriptional mechanisms in CSR were made by using pro-B and pre-B lines [e.g., (36–38)]. Nonetheless, here too, there is still much to learn about the transcriptional elements that control CSR.

Regardless of the developmental stage, CSR occurs between highly repetitive switch (S) sequences, located upstream of the *C_H* gene exons, whose transcription is mandatory for CSR, and is driven by specific promoters (called I promoters) in a signal-

dependent manner (6, 8, 14) (**Figure 1**). Switch transcription (ST) targets AID activity, which initiates DNA cleavage by deaminating exposed cytosines into uracils at the universal donor, S_μ region, and the activated downstream S region. The uracils are processed by the base excision and mismatch repair pathways, ultimately leading to double-strand break (DSB) intermediates. The DSBs are taken in charge by the DNA damage response pathway and repaired by the classical and alternative non-homologous end joining pathways (9, 39, 40).

ST is controlled by various distant *cis*-acting elements, described in detail below. This control often involves long-range interactions that juxtapose transcribed partner S sequences and promote CSR initiation. In this review, we mainly summarize recent work and models on the activity of these regulatory elements and on the long-range chromatin-based mechanisms that control ST and CSR.

2 *IgH* TRANSCRIPTIONAL ELEMENTS THAT CONTROL CSR

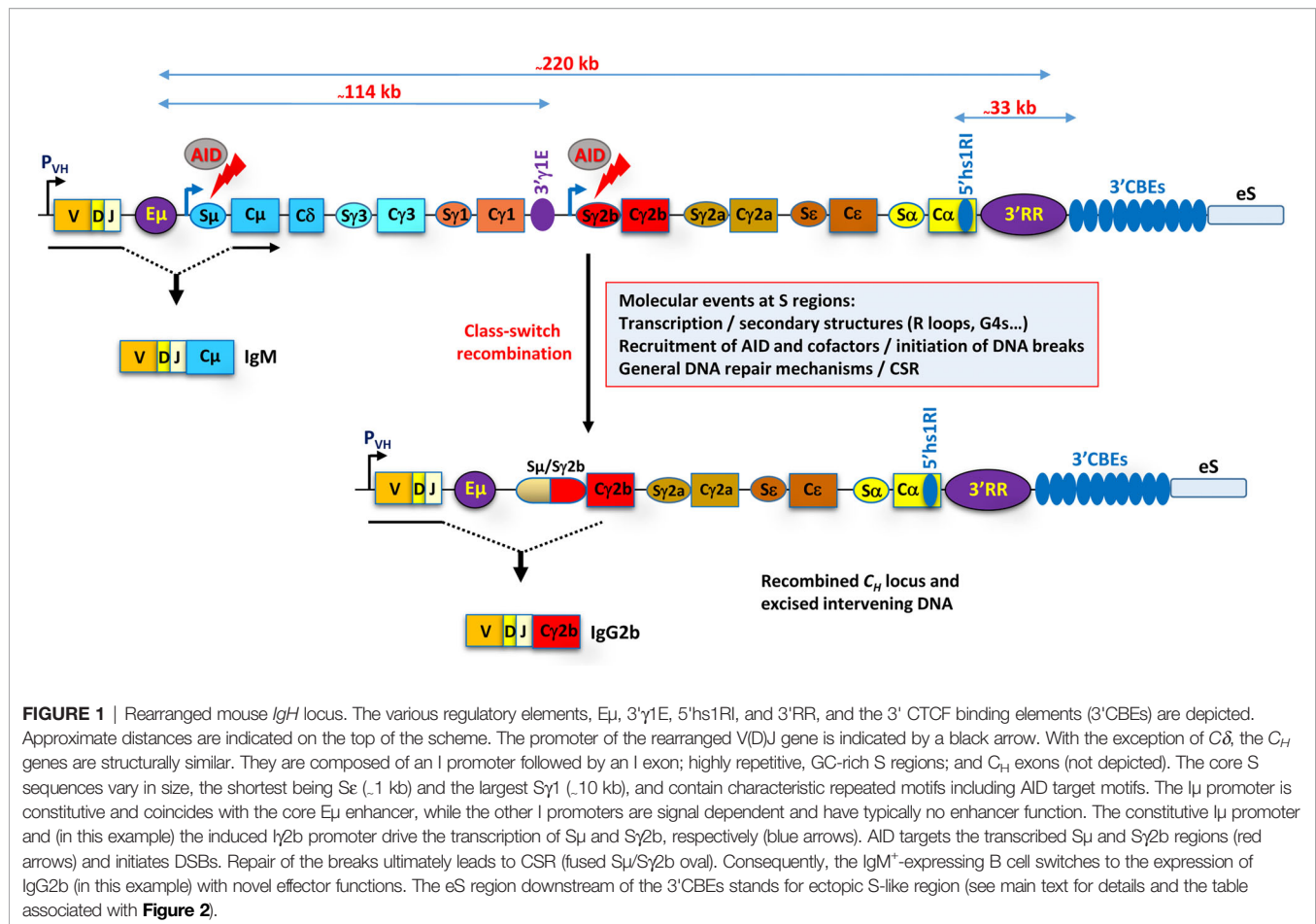
The critical transcriptional elements involved in ST and CSR have long been thought to be confined within the *C_H* region, bordered by the E_μ enhancer and the 3' CTCF binding elements (3'CBEs) (**Figure 1**). However, recent studies involved additional remote non-*IgH* elements in the control of CSR. Here, we will focus on the role of enhancers and CTCF insulators as revealed by mutational studies on the endogenous murine *IgH* locus.

2.1 The Lingering Mystery of E_μ Enhancer

The E_μ enhancer comprises the core enhancer (cE_μ) flanked by matrix attachment regions (41) (**Figure 2**). The cE_μ coincides with I_μ promoter, which likely explains the constitutive transcriptional activity of I_μ (65, 66), contrasting in this regard with the inducible activity of downstream I promoters.

Deletion of E_μ led to a dramatic decrease of IgM⁺ population in Peyer's patches but did not affect the number of B cells engaged in the GC reaction (45). In the spleen, the number of follicular (FO) B cells was significantly reduced, whereas the number of marginal zone (MZ) B cells was unaffected. Nonetheless, surface staining revealed that MZ and FO B cells expressed comparable levels of IgM, suggesting that E_μ deletion did not impact μ heavy chain (HC) gene expression in mature B cells (45). Slightly reduced IgG1 serum levels were found in E_μ-deleted mice, which otherwise exhibited normal response upon immunization (45).

The role of E_μ enhancer in CSR is far from clear since deletion of either cE_μ or E_μ enhancer only marginally affected CSR (43–45). In particular, cE_μ deletion markedly reduced I_μ transcript levels (44) but had no apparent effect on ST of acceptor S regions (67), on surface Ig expression or *IgH* isotype serum levels (43, 44) (**Figure 2** and associated table). The moderate effect of E_μ enhancer on CSR is surprising as E_μ activates the universal donor S_μ and interactions with the 3'RR and other essential elements for CSR (see below), suggesting the presence of redundant elements that render E_μ enhancer dispensable in activated mature B cells.



2.2 The *IgH* Locus Got Its Super-Enhancer: The 3' Regulatory Region

The major *IgH* control element in mature B cells is a long-range super-enhancer termed 3' regulatory region (3'RR) (17, 68) (**Figure 2**). The 3'RR (~28 kb) is composed of four B-cell-specific enhancers, $hs3a$, $hs1,2$, $hs3b$, and $hs4$, that act in synergy. $hs1,2$ is flanked by inverted repeated intervening sequences (IRISs) and lies at the center of a large palindromic region bordered by two inverted copies of $hs3$, $hs3a$ and $hs3b$, whereas the distal $hs4$ enhancer is located outside of the palindrome (17, 68).

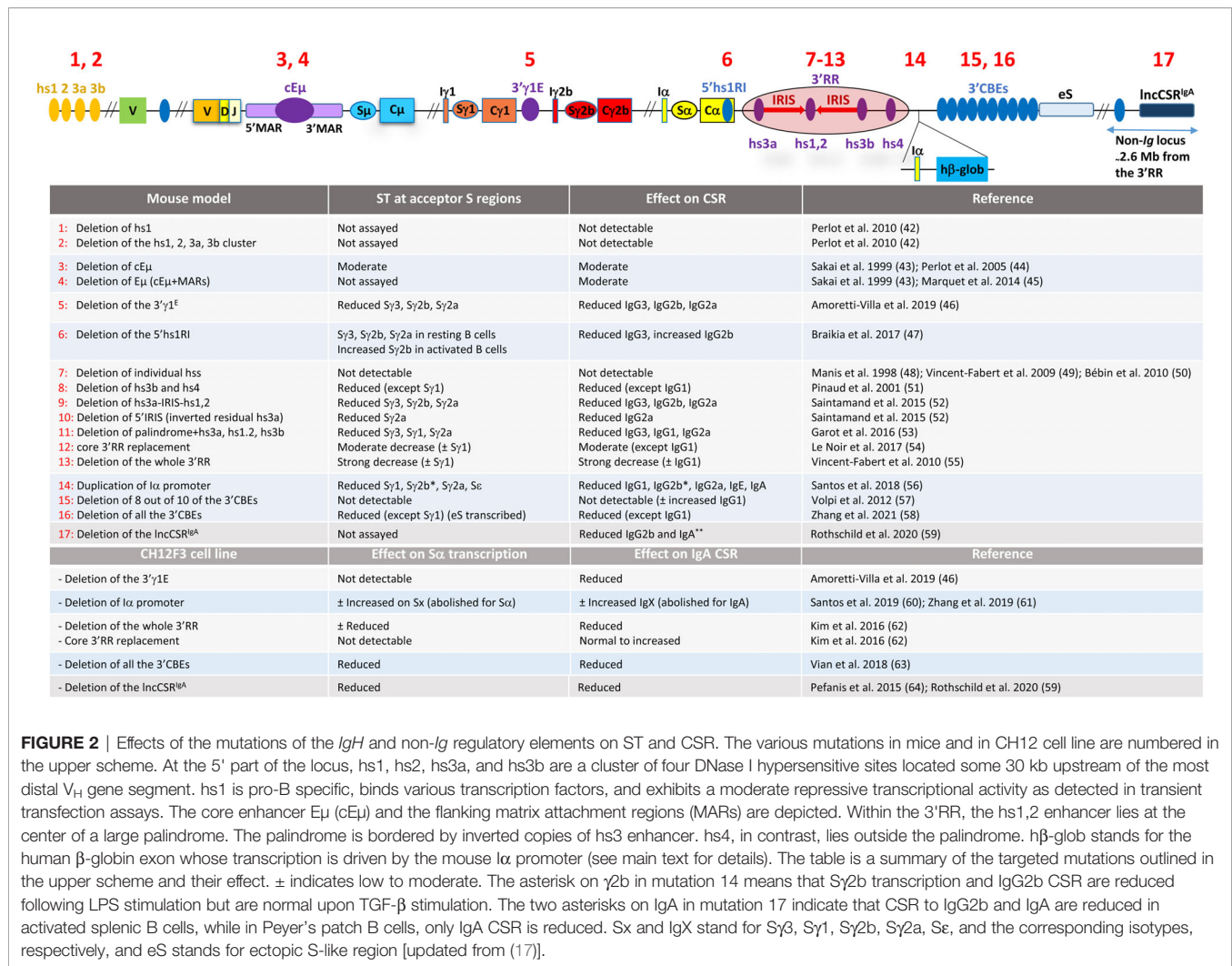
Beyond its key role in CSR discussed below, the 3'RR was also shown to control SHM (69) and *IgH* expression (51, 55), thus revealing the centrality of the 3'RR in the major molecular processes that take place at the *IgH* locus in activated mature B cells and plasma cells.

Deletion of the 3'RR markedly reduced the number of MZ B cells with no obvious effect on FO B cells. Nonetheless, the deletion impacted surface IgM expression on both populations (70). Among the 3'RR enhancers, $hs4$ appears to maintain μ gene expression in unstimulated MZ and FO B cells. However, upon antigen activation, $hs4$ is no longer required for this maintenance (53). Instead, the upstream 3'RR enhancers jointly

gain a prominent role and control SHM, CSR, and Ig production (53).

Deletion of individual 3'RR enhancers had no effect on B-cell proliferation, ST, CSR, Ig serum isotype production, percentage of FO and MZ B cells in the spleen, or antigen-specific responses, suggesting a redundancy between these elements (48–50). In contrast, joint deletion of $hs3b/hs4$ severely impaired ST and CSR to all isotypes except for IgG1, which was only reduced (51). When the whole 3'RR was deleted, ST of and CSR to all isotypes were inhibited, with notable exception of $S_{\gamma 1}$ ST and IgG1 CSR, which were severely reduced but readily detectable. S_{μ} transcript levels were also reduced in 3'RR-deleted B cells, though the reduction was moderate compared with downstream switch regions (55) (**Figure 2**).

An important question concerning the function of the 3'RR relates to the relative contribution of the core enhancers *versus* the whole structure of the 3'RR, in particular its large palindrome. In this regard, removal of the proximal $hs3a$ -left IRIS- $hs1,2$ region reduced $S_{\gamma 3}$, $S_{\gamma 2b}$, and $S_{\gamma 2a}$ transcription (**Figure 2**). Ig production of all isotypes was significantly reduced *in vitro*, while only IgG3 and IgG2a serum levels were reduced (17, 52). When the left IRIS alone was deleted, but leaving intact $hs3a$ and $hs1,2$ enhancers, only $S_{\gamma 2a}$ transcription



and IgG2a surface expression were reduced, while IgG3 and IgG2a serum titers were reduced (52). Overall, when the large proximal deletion encompasses *hs3a* and *hs1,2*, there is a strong reduction of ST and CSR to a subset of S regions, while deletion of the IRIS alone preferentially targets *Sy2a*.

When the entire palindrome (including *hs3a*, *hs1,2* and *hs3b*) was deleted, *Sy3* and, to a lesser extent, *Sy1* and *Sy2a* transcription, and CSR to the corresponding isotypes were impaired (53) (Figure 2). Interestingly, replacement of the whole endogenous 3'RR by the four core enhancers led to an overall moderate defect in ST of all isotypes (54) (Figure 2). Thus, the palindrome appears to be required for efficient ST and CSR.

In another mouse line, *I α* promoter was inserted downstream of the 3'RR (47), preserving the integrity of the 3'RR (Figure 2). Of the ectopic and the endogenous *I α* promoters, only the ectopic promoter was active in resting B cells. Following stimulation, the ectopic *I α* was further induced, together with the endogenous *I α* (47, 56). The duplication reduced *Sy1*, *Sy2a*, and *Se* transcription and CSR to the corresponding isotypes. Surprisingly, IgA CSR was reduced despite apparently normal *S α*

transcript levels. The pattern of *Sy2b* activation depended on the type of stimulation. LPS stimulation reduced *Sy2b* transcripts and IgG2b CSR levels. In contrast, TGF- β stimulation (which also activates *I α*) led to normal *Sy2b* transcripts and IgG2b CSR levels (56).

Nonetheless, as discussed (17), a potential caveat in these studies relates to 3'RR transcription and associated enhancer RNAs (eRNAs) which correlate with its activity (71–73). It is still unknown whether and how a large deletion of an IRIS or a close alignment of the core enhancers affects 3'RR eRNA structure, stability, and function (see below). It is possible that the effect on ST and CSR results from missing or destabilized eRNAs rather than from the absence of an IRIS per se. Similarly, whether the active ectopic *I α* promoter perturbs the architecture of the 3'RR or interferes with transcription elongation within or downstream of the 3'RR remains to be investigated (17).

In conclusion, the whole 3'RR is the master element in the control of ST, CSR, SHM, and *IgH* expression. The 3'RR controls CSR by regulating ST, but this correlation is not absolute. Components of the 3'RR may display some isotype preference. Overall, the 3'RR only moderately impacts ST at *S μ* region.

The 3'RR core enhancers display redundancy but act in synergy for efficient CSR, and the global structure of the 3'RR seems to contribute to its full activity (17).

2.2.1 When the *IgH* Locus Starts to Transvect: The 3'RR and Inter-Allelic Recombination

Most of the mutational studies conducted on the endogenous *IgH* locus concluded to a *cis*-regulation of ST and CSR by the 3'RR through a long-range effect on I promoters (17). However, the possibility remained that inter-allelic recombination could contribute to CSR. The bi-allelic nature of ST (74–76), the long-known frequent occurrence of CSR on both chromosomes [e.g., (77, 78)] and the recurrent involvement of switch regions in chromosomal translocations (79), made such scenario plausible. Besides the peculiar case of rabbit, featuring 13 *C α* genes (80, 81), detection of presumably infrequent inter-allelic switch recombination at the endogenous *IgH* locus of other species required special genetic tools.

In a mouse model in which one *IgH* allele was engineered so that VDJ-*C μ* transcription was suppressed (and *trans*-splicing prevented), sequencing of cDNAs revealed that inter-allelic recombination accounted for up to 7% of recombination events to *C α* in Peyer's patches and up to 13% to *C γ 3* in LPS-activated splenic B cells (82). Upon crossing with mice devoid of *hs3b/hs4*, hence deficient in CSR (51), and sequencing of switch junctions in activated hemizygous B cells, it was found that the CSR-deficient allele (with deleted *hs3b/hs4*) could complement the excluded allele (with suppressed VDJ-*C μ* transcription) through inter-allelic recombination (83). Another mouse model bearing a wild-type allele and a 3'RR-deficient allele enabled the same group to tackle directly the *trans*-effect of the 3'RR. It was found that the 3'RR of the wild-type allele could promote SHM and CSR on the second, 3'RR-deficient allele (on which both SHM and CSR are deficient) (84).

Thus, in addition to its established role as a major *cis*-regulatory element of SHM and CSR, the 3'RR can also operate in *trans* to control these processes in a fraction of activated B cells.

2.2.2 When B Cells Become Suicidal: The 3'RR and Locus Suicide Recombination

The observation that the 3'RR was highly enriched in switch-like repeats (85) and that it was transcribed upon activation of mature B cells for CSR (73) raised the possibility that the 3'RR could be the target of a CSR-like process (17). Unlike classical CSR, however, recombination between *S μ* and the 3'RR would delete the whole *C H* region and part of or the whole 3'RR (73), leading to the loss of surface Ig expression required for B-cell survival. It was thus proposed that this CSR-like process was important for B effector cell differentiation and homeostasis, for instance by counterselecting activated mature B cells with harmful Ig specificities (73). This phenomenon, termed locus suicide recombination (LSR) (73), was reported in both mice and humans and was AID dependent (73, 86). The binding profiles of AID and RNA polymerase II (RNAPII) at the 3'RR and flanking sequences were similar (73, 87). LSR was initially reported to

occur at levels approaching classical CSR by PCR/Southern blot on excised episomal circles (73), though not by more sensitive techniques (58, 88, 89).

It is presently unclear if LSR is an active and autonomous process driven by specific mechanisms that co-opt classical CSR. Alternatively, LSR could be a by-product of bona fide CSR, resulting from an accidental attack of the transcribed 3'RR by AID. Further studies are required to elucidate the mechanisms that underlie LSR and its physiological significance.

2.2.3 The 3'RR and the Curious Case of IgD

It has long been established that IgD was co-expressed with IgM on the surface of naive mature B cells and that δ HC production resulted from alternative splicing of a long primary transcript encompassing *C μ* and *C δ* exons (90, 91). IgD CSR is a rare event and was mostly studied in humans in whom IgD CSR is relatively abundant in B cells that populate the upper aerodigestive mucosa-associated lymphoid tissues (91). The *C δ* gene is unique in that it has no canonical switch sequence. Nonetheless, the gene has a switch-like sequence termed $\sigma\delta$, upstream of *C δ* exons, that can recombine with *S μ* (91). IgD CSR is rare in mouse and is not detectable in splenic B cells but was readily detected in mouse mesenteric lymph nodes (92). Surprisingly, IgD CSR was found to be 3'RR independent (92), contrasting in this regard with CSR to other isotypes. The transcriptional elements that control CSR to IgD remain to be identified.

2.2.4 The 3'RR and CSR in B1 B Cells: It May Depend on Which B Cell You Are

A plethora of mutational studies established the central role of the 3'RR in activated B2 B cells with the unspoken assumption that this role extended to the B1 B cells as well. However, in contrast to B2 B cells, IgA CSR in activated B1 B cells was reported to be 3'RR independent (93). Surface expression of IgA was normal in *in vitro*-activated 3'RR-deficient B1 B cells, but IgA titers were markedly reduced in culture supernatants, and this correlated with decreased *I μ -C α* post-switch transcript levels (93). Nonetheless, it is unclear if *S α* pre-switch transcription was affected. Thus, it was proposed that though dispensable for IgA CSR in B1 B cells, the 3'RR was required for efficient transcription of the switched *C α* gene (93).

2.3 The 3' γ 1E Enhancer: Better Few Constant Genes Than Nothing

Previous 4C-Seq analyses identified a PAX5-dependent *hs* site downstream of *C γ 1* gene (hereafter 3' γ 1E) that bound multiple transcription factors in *Rag2*-deficient pro-B cells (94). In particular, the 3' γ 1E exhibited a pro-B-cell-specific enhancer activity (95) and bound the MED1 subunit of the Mediator complex (95, 96).

In activated mature B cells, the 3' γ 1E also bound MED1 and MED12 subunits of the Mediator complex and was transcribed (97). 4C-Seq experiments revealed that the 3' γ 1E interacted with *E μ* and the 3'RR (97). In 3' γ 1E-deficient mice, activated B cells displayed defective ST across *S γ 3*, *S γ 2b*, and *S γ 2a* and CSR to the corresponding isotypes (Figure 2) (46).

Thus, the 3'γ1E emerges as a novel element that regulates CSR in an isotype-specific manner (46), adding an additional layer of complexity to the long-range mechanisms that operate at the *IgH* constant locus.

2.4 CTCF Binding Elements: Guardians of the Temple and Insiders

CTCF is a multivalent 11 zinc finger (ZF) protein thought to bind uncommonly long and diverse DNA sequences through different combinations of its 11 ZFs (98). These combinations are not arbitrary. Extensive mutational and ChIP-Seq analyses of ~50,000 genomic sites in primary B lymphocytes found that CTCF reads sequence diversity through ZF clustering by grouping contiguous ZFs into distinct binding subdomains (99). Broadly outlined, the central ZFs 4–7 were found to anchor CTCF to ~80% of CBEs containing the core motif. Peripheral ZFs associate with non-conserved flanking DNA sequences as functional clusters and modulate CTCF binding *in vivo* (99). CTCF was involved in various processes ranging from transcriptional regulation and insulator activity to chromatin boundary formation (17, 100). Its role in chromatin loop formation during CSR is discussed below.

The role of CTCF in CSR was investigated through a conditional knockout of the mouse *Ctcf* gene (101). Interestingly, CTCF loss led to increased transcript levels of $\gamma 3$, $\gamma 1$, and $\gamma 2b$ in unstimulated but not in activated splenic B cells, associated with an apparently increased CSR to IgG3, IgG1, and IgG2b. In contrast, CTCF depletion had no significant effect on μ transcription or AID expression (101).

These findings strongly suggest that CTCF acts, at least in part, by preventing premature activation of I promoters (101).

2.4.1 The 5'hs1RI Insulator

A *hs* was identified within the last intron of the *Cα* gene (102), which binds CTCF and cohesin in resting B cells (103), but evicts CTCF though not cohesin upon activation (47, 101, 103). This element, termed 5'hs1RI (**Figure 1**), is conserved in the human *Cα1* and *Cα2* genes (17, 47).

In 5'hs1RI-deleted mice, $\gamma 3$ and, to a lesser extent, $\gamma 2b$ and $\gamma 2a$ transcripts were specifically upregulated in unstimulated splenic B cells (47). In activated B cells, increased CSR to IgG2b correlated with increased $\gamma 2b$ transcription; however, CSR to IgG3 were defective despite abundant $\gamma 3$ transcripts. It is still unclear whether this is due to promoter interference or to other mechanisms (47). Notwithstanding, the data strongly suggest that 5'hs1RI is involved in the transcriptional silencing of $\gamma 3$, $\gamma 2b$, and $\gamma 2a$, but not of $\gamma 1$, γE , and γA promoters.

Overall, the 5'hs1RI emerges as an inducible CTCF insulator that regulates the temporal expression of a subset of *C_H* genes, by blocking premature activation of their promoters prior to B-cell activation (47).

2.4.2 The *IgH* Super-Anchor: 3'CTCF Binding Elements

Multiple *hs* elements were identified downstream of *hs4* enhancer, some of them exhibiting insulator activity *in vitro*

(104). This region, also termed super-anchor, consists of 10 CBEs (57, 105). Deletion of the first eight CBEs in mice had at best a modest increase of CSR to IgG1 (63) (**Figure 2**), and the crosslinking frequencies (by 3C assays) of the 3'RR with μ or with I promoter regions were not altered (63). However, the fact that the deletion spared two CBEs prevented a definitive conclusion on the role of the super-anchor in CSR and the architecture of the locus.

This issue was solved in two systems. Deletion of the 10 3' CBEs in CH12F3 B lymphoma cell line led to ~2-fold decrease of CSR to IgA and a moderate decrease of α transcript levels, suggesting a role for the 3' CBEs in 3'RR/Iα promoter interactions (106). Nonetheless, because activated CH12 cells switch exclusively to IgA (upon stimulation with TGFβ-containing cocktails), the impact of the 3' CBEs on the other isotypes remained unclear.

In this regard, deletion of the whole 3' CBEs cluster was recently performed in chimeric mice generated by RAG2-deficient blastocyst complementation (58) and CSR assayed by CSR-HTGTS. Except for $\gamma 1$ transcripts and CSR to IgG1 whose levels were unaffected, CSR to all other isotypes was reduced, and this correlated with varying degrees of reduced ST of the corresponding S regions (58).

Together, the data from CH12 cells (106) and chimeric mice (58) revealed that the 3' CBEs promote ST of and CSR to all downstream S regions with the exception of $\gamma 1$.

Interestingly, GRO-Seq analysis revealed that, upon deletion of the 3' CBEs, the 30-kb region just downstream [termed ectopic S (eS) region] (**Figure 1**) becomes transcriptionally active in both sense and antisense orientations in unstimulated splenic B cells (58). Following activation, the eS region is further transcribed, generating convergent transcription that may facilitate AID recruitment. 3C-HTGTS data showed that the eS region interacts with the μ - μ region, suggesting a synapsis between μ and eS regions (58). Accordingly, CSR-like junctions involving μ and sequences within the first 6 kb of the eS region were detected and accounted for 1%–3% of all CSR-related junctions (58).

Thus, the 3' CBEs act as an insulator that prevents transcriptional activation of the eS region and its recombination with μ region during CSR.

3 SIGNALS AND REGULATORY ELEMENTS THAT CONTROL SWITCH RECOMBINATION IN DEVELOPING B CELLS

Various studies involved signaling through Toll-like receptors in the induction of AID expression and CSR in early B cells [e.g., (31, 32, 107)]. Recently, interleukin 7 (IL7) was involved in the control of ST by repressing $\gamma 3$ and, to a lesser extent, $\gamma 2b$ promoter in cultured wild-type pro-B cells (108). Nonetheless, LPS stimulation induced $\gamma 3$ and $\gamma 2b$ transcription and CSR to $\gamma 3$ and $\gamma 2b$, respectively (108). $\gamma 1$ and γE transcript levels, though undetectable in cultured pro-B cells, were also increased following LPS+IL4 stimulation (108).

With regard to transcriptional elements, the 5'hs1RI suppressed $\gamma 3$ and, to a lesser extent, $\gamma 2b$ transcription in unstimulated pro-B and pre-B cells (47). Interestingly, removal of 5'hs1RI led to increased levels of $\gamma 3$ and $\gamma 2b$ transcripts in the absence of detectable 3'RR eRNAs (47). Along similar lines, duplication of $I\alpha$ promoter downstream of the 3'RR led to a premature activation of the ectopic $I\alpha$ at the pro-B-cell stage, while the endogenous $I\alpha$ promoter remained silent (47). These observations indicate that the 3'RR activity at the pro-B-cell stage does not require 3'RR transcription (i.e., 3'RR eRNAs) (47). Together, the above findings strongly suggest that IL7/IL7R pathways and the 5'hs1RI are part of active processes that operate in developing B cells to keep in check, through yet unknown mechanisms, ST and CSR (108).

4 LONG-RANGE REGULATION BY *IgH* CONTROL ELEMENTS: THE PROBLEM IS NOT THE DISTANCE

4.1 Compete or Not Compete for the Control of CSR

An important question in the field of transcriptional regulation is whether promoters compete for, or are co-regulated by, a shared (and often distant) regulatory element. In the specific case of the 3'RR, it was known that activation of primary B-cell populations often induces more than one I promoter, the prevailing interpretation being that I promoters compete for 3'RR activity [e.g., (47, 48, 109–111)]. However, whether competition applied to I promoters located on the same chromosome and that responded to the same stimulus remained uncertain. The issue was complicated by the finding that ST can occur on both alleles (74–76), so that even the use of single cells does not settle this issue.

The use of mouse models with engineered endogenous *IgH* locus, polymorphic allelic differences, and a single allele-specific RT-qPCR assay revealed that the type of stimulation largely determined which mode of *cis*-activation, competition or co-activation, prevailed (112). In the presence of IL4, the majority of alleles displayed promoter competition, but $\gamma 1$ single expressers prevailed over $\gamma 2$ single expressers. In the presence of TGF- β , there was also competition between $\gamma 2b$ and $I\alpha$, but the percentages of single $\gamma 2b$ - and $\gamma 1$ -expressing alleles were similar (112). In contrast, $\gamma 3$ and $\gamma 2b$ promoters were co-activated upon LPS stimulation. Moreover, $\gamma 2b$ promoter was often activated on alleles with pre-activated $\gamma 3$. These findings strongly suggest that 3'RR activity, RNAPII, and transcription factors and co-factors are not limiting during I promoter activation and that initial activation of one promoter does not prevent activation of the other (17, 112). In particular, the $\gamma 2b$ promoter, which is induced by both LPS and TGF- β , was co-activated with $\gamma 3$ in the vast majority of alleles upon LPS stimulation, but was almost never co-activated with $I\alpha$ after TGF- β stimulation (112). It was speculated that co-activation and competition reflect two kinetics of the activation of I promoters: co-activation of $\gamma 3$ and $\gamma 2b$ promoters in the rapidly responding MZ B cells during T-independent responses

and competition between the other I promoter pairs in FO B cells during the relatively delayed T-dependent responses (112).

The single-chromosome approach also solved the long-standing issue of the polarity of the 3'RR, i.e., if the 3'RR activity was exclusively oriented toward the upstream I promoters or if it could also target a downstream promoter (17). In this regard, analysis at the single-chromosome level of activated B cells with duplicated $I\alpha$ promoter downstream of the 3'RR (47) (**Figure 2**) revealed that the 3'RR activated both the ectopic and the endogenous $I\alpha$ promoters, which points to a bidirectional activity (112).

The above studies revealed that the 3'RR has a bi-directional activity, and that the type of stimulation largely determines which mode of *cis*-activation, competition or co-activation, prevails.

4.2 Transcriptional and Epigenetic Regulation by the 3'RR

Mammalian genomes are predominantly methylated at cytosines in CpG dinucleotides. In general, unmethylated CpGs are associated with active promoters, while methylated CpGs are closely associated with transcriptionally silent promoters (113). The methylation patterns of various *cis*-acting elements at the *IgH* constant region were determined in primary B cells by bisulfite sequencing. Unexpectedly, the methylation profiles of almost all the *cis*-acting elements were established and faithfully maintained independently of B-cell activation or ST (114). The unmethylated pattern of μ and $\gamma 1E$ and the hypermethylated pattern of 5'hs1RI did not change following B-cell activation or insulation of the 3'RR. Surprisingly, induction of ST did not impact the methylation profiles of I promoters: $\gamma 3$ and $\gamma 2b$ were unmethylated in resting as well as in LPS-activated splenic B cells, while the hypermethylated profile of $I\alpha$ for instance did not vary upon activation. The only exception was $\gamma 1$ whose demethylation was induced. Importantly, the 3'RR-dependent $\gamma 3$ and $\gamma 2b$ promoters remained unmethylated following insulation of the 3'RR, which fully repressed the two promoters. This implies that the long-range activation of these promoters by the 3'RR involves mechanisms that do not rely on DNA methylation (114).

A remarkable aspect of transcription elongation across switch regions relates to the marked stalling of RNAPII (66, 115) and the peculiar pattern of chromatin activating modifications (115–117) at these regions. In particular, induced histone acetylation and H3K4me3 mark extended over the entire switch regions irrespective of their length and dropped at C_H exons (115, 117). In contrast, these patterns were observed in the constitutively transcribed μ region in resting B cells and did not vary upon activation (115, 117).

Catalysis of methylation marks on H3K4 is effected by PTIP (PAX interaction with transcription activation domain protein), a component of the mixed-lineage leukemia 3 (MLL3)/MLL4 complex (118). Activated PTIP-deficient B cells exhibited a defect in $\gamma 3$, $\gamma 1$ and $\gamma 2b$ and CSR to IgG3, IgG1, and IgG2b; the effect on $\gamma 1$ transcription was milder, whereas $\gamma 2$ transcript and IgE CSR were unaffected (117, 119, 120). On the other hand, the chromatin profiles of μ and the 3'RR were

essentially unaffected (117). It was proposed that PTIP promotes ST by bridging the 3'RR to I promoters, as 3'RR/I promoter interactions are disrupted in activated PTIP-deficient B cells (119).

Transcriptional and epigenetic analyses of mice devoid of the 3'RR revealed a dramatic decrease of transcription initiation along the downstream Ix-Sx-Cx regions, while the I μ -S μ -C μ region was only minimally affected (121). Similarly, while the deposition of H3Ac and H3K4me3 marks was severely reduced along the downstream S regions, the I μ -S μ -C μ region was essentially unaffected (121). This trend was not seen for H4Ac deposition which remained intact in activated 3'RR deficient (121).

Thus, the 3'RR is the central element in the control of ST initiation and histone modifications at acceptor S regions. Nonetheless, some epigenetic modifications, illustrated by H4Ac mark and DNA methylation, are 3'RR independent.

4.3 The Cohesin and the Mediator Complexes and Long-Range Interactions in CSR

It is now admitted that the chromatin interaction landscape plays an important role in the epigenetic control of gene expression. Interactions between enhancers and target promoters generally take place within submegabase-sized topologically associating domains (TADs), where these interactions occur at higher frequency than with elements of different TADs (122, 123). Chromatin interactions between boundary elements that bind CTCF (CBEs) and the Cohesin complex tether the bases of loops and separate the TADs from each other, thus preventing ectopic enhancer-promoter interactions (122–125). However, this is not an absolute rule as long-range interactions are not always blocked by CTCF and Cohesin binding to CBEs (126), and some of these sites can rather facilitate gene activation (122–124). Various studies revealed that juxtaposition of TAD boundaries by CTCF is strongly biased toward convergent CBEs (127–130). Within TADs, the Cohesin and the Mediator complexes are important for the formation of enhancer/promoter chromatin loops. Cohesin is loaded at these loops by the cohesin-loading factor NIPBL, which also binds the Mediator complex (131–133).

In pro-B cells, it was shown that the *IgH* locus spans a multi-megabase-sized TAD divided into three sub-TADs; one of these sub-TADs extends from the proximal V_H domain to the 3'CBEs (134). It is in that sub-TAD that most events pertinent to ST and CSR take place and, for the most parts, in the domain extending from the E μ region to the 3'CBEs (**Figure 2**). In this chromatin domain, E μ enhancer associates with the 3'RR in both unstimulated and activated B cells (67). Surprisingly, cE μ deletion only marginally impacted E μ /3'RR association (67). In resting B cells, E μ , the 3'RR, and I promoters, especially I γ 3, were poised for ST activation, and it was proposed that this poised configuration facilitates I promoter activation (67). Depending on the nature of stimulation, I promoters were recruited to the E μ /3'RR complex leading to a juxtaposition of S μ and the downstream switch partner (67).

Subsequent analyses by ChIP-Seq found that CTCF and Cohesin were recruited to the 3'CBEs in unstimulated B cells, with no significant enrichment at the E μ region. Following stimulation, Cohesin was recruited to the S μ -C μ region,

though not to E μ , in a CTCF-independent manner (103). In the CH12 line, knockdown of SMC1 and SMC3 core subunits of the Cohesin complex or of NIPBL and WAPAL loader/unloader subunits reduced IgA CSR, a clear indication that the Cohesin complex was required for CSR (103).

The Mediator complex was also involved in ST and CSR. In unstimulated B cells, the MED1 and MED12 subunits were specifically recruited to E μ enhancer and 3'RR (97). Following stimulation, the two subunits were recruited to E μ , 3'RR, 3' γ 1E, and the induced I promoter, in a stimulation-dependent manner (97). A conditional knockout of *Med1* led to reduced ST of all acceptor S regions and CSR to the corresponding isotypes in activated B cells. These findings strongly suggested that the Mediator complex promoted ST at downstream S regions (97). In agreement with previous findings on unstimulated B cells (67), 4C-Seq experiments detected strong interactions between E μ and the 3'RR as well as a preferential association with the I γ 3 region (97). Upon stimulation, interactions between E μ , 3'RR, 3' γ 1E, and the activated I promoter were readily detected, and the pattern of these interactions correlated with MED1 and MED12 recruitment. Accordingly, E μ /3' γ 1E/I promoter interactions were reduced in MED1-depleted B cells (97). Altogether, these findings suggested that the Mediator and the Cohesin complexes promoted ST of downstream switch regions and were required for the long-range interactions between the *IgH* transcriptional *cis*-acting elements (97).

4.4 A Role for Non-Coding RNAs in the Long-Range Control of CSR

4.4.1 Regulation of the Transcriptional Activity of the 3'RR

Enhancer transcripts (eRNAs) have (relatively) recently emerged as potentially essential for enhancer activity. These non-coding RNAs have been involved in the regulation of gene expression at different levels, for instance by stabilizing or trapping factors that bind enhancers, by generating and/or stabilizing chromatin loops that facilitate interactions between enhancer and target promoters, and by releasing paused RNAPII for productive transcriptional elongation (135). Yet, the mechanisms of action of eRNAs are still unclear. Moreover, whether it is the act of transcribing the enhancer or the eRNAs themselves that are crucial for enhancer activity has not been definitively solved. The transcriptional activity of the 3'RR has been mentioned previously. Here, we summarize recent findings on the relationship between 3'RR transcriptional activity and its regulatory function.

The zinc finger MYND-type containing 8 (ZMYND8) protein is a histone mark reader that associates with enhancers and promoters and can mediate transcriptional activation or repression in a context-dependent manner (72). ZMYND8 was recently identified as a critical regulator that binds both E μ and the 3'RR (72). Conditional deletion of the mouse *Zmynd8* gene severely reduced ST and CSR to all isotypes but had no effect on S μ transcription (72). Significantly, the loss of ZMYND8 led to a substantial increase of RNAPII loading as well as transcription at the 3'RR (notably at hs1,2 and hs3b enhancers) (72).

These findings suggested that ZMYND8-mediated control of the 3'RR function was effected through downregulation of its transcriptional activity, and it was proposed that by suppressing RNAPII loading on the 3'RR, ZMYND8 would suppress competition for transcription factors, thus favoring ST (72).

Another study addressed the role of 3'RR transcription and its eRNAs in the control of ST by using a conditional knockout enabling depletion of the general RNAPII elongation factor SPT5 (136), previously shown to be required for AID recruitment (137). Depletion of SPT5 severely reduced nascent transcription and RNAPII occupancy at downstream S regions but had only a moderate effect at the S μ region (136). 3C-qPCR assays revealed reduced E μ /3'RR/I γ 1 interaction frequencies in IL4-activated splenic B cells (136). The apparent decrease of 3'RR transcription in activated SPT5-depleted B cells did not affect its chromatin accessibility or H3K27Ac levels. The depletion also did not significantly impact Mediator and Cohesin recruitment at E μ , 3'RR, and I γ 1 promoter.

These and other findings suggested that the 3'RR chromatin was in an active state; nonetheless, the weakly transcribed 3'RR was unable to physically interact with its target promoters. This indicated that SPT5-mediated transcription of the 3'RR was required for 3'RR interactions (136). Restoration of transcription through dCas9-VPR at one or two 3'RR enhancers additively rescued 3'RR/I γ 1 promoter interactions and S γ 1 transcription (136). Pharmacological inhibition of transcription initiation or elongation in activated wild-type B cells led to a significant decrease of 3'RR eRNAs. Surprisingly, 3'RR interaction frequencies as assayed by 3C-qPCR assays tended to increase. These findings suggested that transcription elongation within the 3'RR may rather disrupt 3'RR interactions (136).

It was thus proposed that SPT5-mediated transcription of the 3'RR is actually required for the initiation of 3'RR/promoter interactions. Once established, these interactions no longer require 3'RR transcription for their maintenance. Overall, transcription of the 3'RR, but not eRNAs themselves, would be important for 3'RR interactions (136).

4.4.2 The *lncCSR^{IgA}* Locus: Controlling the *IgH* Locus From Within May not Be Enough

The eRNA levels are generally lower than the messenger RNA levels of their target genes, which complicates the analysis of the eRNA function(s). Fortunately, a subset of eRNAs are sensitive to the RNA surveillance machinery, the RNA exosome complex, and can therefore be more easily studied in the absence of the RNA exosome (64). In this context, recent analyses of the role of RNA exosome in B cells revealed a novel mechanism that influences CSR, involving long-range interactions between a non-*Ig* locus and the 3'RR. The non-*Ig* locus was termed *lncCSR^{IgA}* and is located some 2.6 Mb downstream of the 3'RR (59) (Figure 2).

The *lncCSR^{IgA}* locus is a divergent eRNA-expressing element which, as detected by 3C assay, interacted with hs4 enhancer of the 3'RR (59). In CH12 cells, deletion of the *lncCSR^{IgA}* locus reduced S α transcription and IgA CSR and decreased the interaction frequency between hs4 enhancer and the deleted

locus (59). In *lncCSR^{IgA}*-deficient mice, no difference in the distribution of MZ B cells and FO B cells was seen in the spleen. However, activated splenic B cells displayed CSR defect to both IgG2b and IgA, while Peyer's patch B cells had reduced IgA CSR specifically (138). Based on its DNase I hypersensitivity, MED1 binding, and enrichment in H3K27Ac and H3K4me1 marks, the *lncCSR^{IgA}* locus was suggested to act as an enhancer-like element (138).

The *lncCSR^{IgA}* is flanked in particular by a CTCF- and Cohesin-binding element, and lies within a TAD that is separated from the *IgH* TAD by other non-*Ig* TADs. The CBE of the *lncCSR^{IgA}* locus interacted in particular with the hs4 region of the 3'RR. Accordingly, interaction frequency between hs4 and the CBE dropped following deletion of the *lncCSR^{IgA}* locus (138). Various genetic and biochemical analyses pointed toward a pivotal role of the *lncCSR^{IgA}* CBE in the intra-TAD^{*lncCSR^{IgA}*} interactions required for optimal IgA CSR (138). These findings led to a model positing that the transcribed enhancer-like *lncCSR^{IgA}* locus produces a lncRNA that facilitates the recruitment of regulatory proteins such as the Cohesin subunit SMC3 to the neighboring CBE. This recruitment alters in turn the interactions that take place within the TAD^{*lncCSR^{IgA}*} as well as interactions with the 3'RR (138).

The precise mechanism by which CSR is impaired in the absence of the *lncCSR^{IgA}* RNA remains unclear. Nonetheless, these investigations reveal an unanticipated mechanism whereby the 3'RR-mediated control of CSR within the *IgH* TAD is influenced by chromatin interactions that take place within a different and distant TAD.

4.5 Chromatin Loop Extrusion and CSR Center: A Center at Last

The standard loop extrusion model (130, 139–142) stipulates that the ring-shaped cohesin complex binds and passes chromatin through its lumen to form a loop. The process continues until chromatin reaches a CTCF homodimer, at convergent CBEs, which generally blocks loop extrusion (122, 123, 125). In this process, Cohesin not only associates with CBE-bound CTCF but plays an active role within the chromatin loop by promoting for instance enhancer/promoter interactions (122, 123). Additionally, Cohesin may escape the constraints of the CTCF loops, by moving past CTCF anchors, and promote long-range interactions between compartmental domains (122, 123).

Recent studies (61, 143) involved specific transcribed, Cohesin-binding elements in the mechanism that underlie the long-range control of CSR through Cohesin-based impediment of loop extrusion. In one study (61), the V(D)J recombination center (144) of an A-MuLV pro-B line that constitutively transcribes S γ 2b was engineered so that RAG scanning activity (145) was directed toward the C H region (61). The detected E μ /S γ 2b/3'CBE interactions were associated with RAD21 binding at the 3'CBEs and a rather low accumulation at E μ -S μ and I γ 2b-S γ 2b regions (61). The transcribed S γ 2b region impeded loop extrusion and RAG scanning activity, the latter being specifically detected at the transcribed S γ 2b and the weakly transcribed 3'CBEs. Removal of the active I γ 2b promoter suppressed S γ 2b transcription, RAG scanning, E μ interactions, and RAD21

accumulation at $S\gamma 2b$, but RAG activity now increased at the 3'CBEs (61). These and other findings led to a model stipulating that transcription of the $S\gamma 2b$ region impedes both upstream and downstream loop extrusions (17, 61).

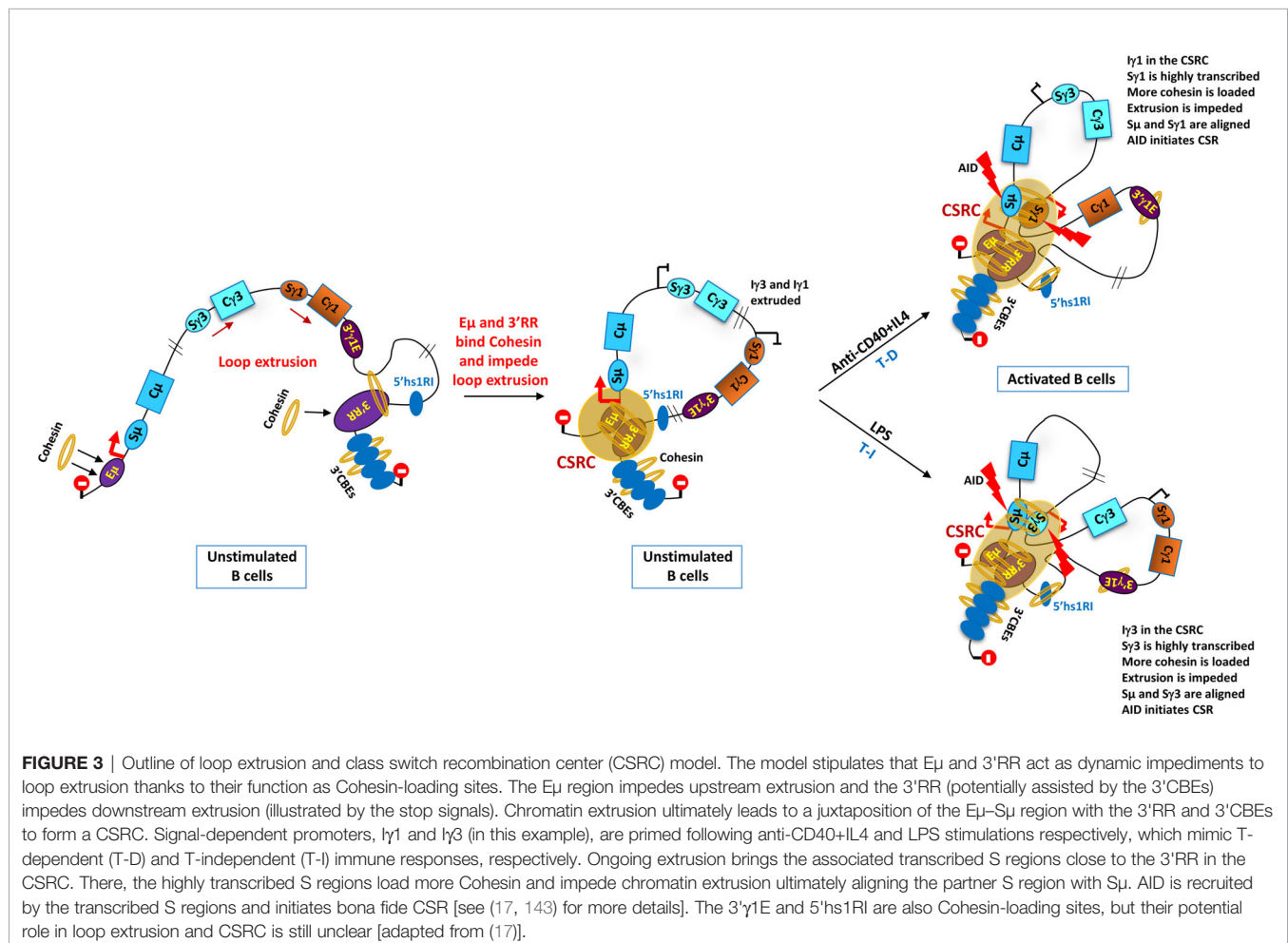
The other study (143) investigated the mechanism of CSR in splenic B cells and CH12 cells, both in an AID-deficient background. In unstimulated B cells, robust transcription took place at the $E\mu$ region and the 3'RR essentially, and the $E\mu$ region/3'RR/3'CBE interactions formed what was called a CSRC (17, 143, 146). RAD21 and NIPBL were shown to accumulate at the $E\mu$ region, 5'hs1RI, 3'RR, and 3'CBEs (143). Upon stimulation, $E\mu$ /3'RR/3'CBE interactions now included the transcribed switch regions, with a marked accumulation of Cohesin at switch regions (143). This suggests that Cohesin loading at transcribed switch regions contributes to ongoing 3'RR–3'CBE domain extrusion that promotes switch region alignment to initiate CSR (143).

In both unstimulated and stimulated AID-deficient CH12 cells, interactions between the constitutively transcribed $I\mu$ – $C\mu$, $I\alpha$ – $C\alpha$, 3'RR, and proximal 3'CBE regions were detected, and NIPBL and Cohesin markedly accumulated at the active $I\alpha$ promoter but not at the other (silent) I promoters (17, 143). Deletion of $I\alpha$ promoter suppressed the transcription of $S\alpha$

and IgA CSR and led to a low to moderate increase in upstream S regions' transcription (60, 143). This resulted in the loss of $E\mu$ - and $hs4$ -mediated CSRC interactions with the $S\alpha$ region. In contrast, interactions of $E\mu$ and $hs4$ with the newly transcribed sequences upstream of the $S\alpha$ region were now increased (143).

These and other genetic and mechanistic analyses (17, 143, 146) led to a general model positing that $E\mu$ and 3'RR enhancers, as Cohesin-loading sites, act as dynamic impediments to loop extrusion (Figure 3).

As discussed (17), the role of $E\mu$ enhancer in this process and its relevance for CSR remain unclear, as ST, CSR, and 3'RR/ $S\mu$ region interactions are only marginally affected in its absence (43–45, 67). Moreover, a role for CBEs upstream of $E\mu$ cannot presently be excluded (17). Whether the functions of $E\mu$ as a transcriptional enhancer and as a loop extrusion impediment involve the same mechanisms remains to be elucidated. On the other hand, the 3' $\gamma 1E$ and 5'hs1RI, which control ST of and CSR to specific isotypes (46, 47), are also Cohesin-loading elements. Whether they are involved in loop extrusion impediment is still unclear. Thus, the mechanisms that regulate loop extrusion during CSR remain to be investigated and more so because not all loops are Cohesin-dependent (147).



In this context, a recent study involved the RNA exosome complex in the regulation of chromatin loop extrusion. By generating a conditional mutant mouse line to induce loss of the DIS3 RNase subunit of the RNA exosome complex, it was shown in particular that this loss led to decreased binding of CTCF and Cohesin (RAD21) at the 3'CBEs and 5'hs1RI, which was often associated with accumulated eRNAs (148). Interestingly, this overlap between reduced CTCF/Cohesin occupancy and accumulated eRNAs correlated with an accumulation of DNA/RNA hybrids at the 3'CBEs, 3'RR, and switch regions, particularly at the S μ region. These findings, together with the observation that 3'RR/E μ interactions were reduced upon loss of DIS3 activity, suggested that the accumulation of DNA/RNA hybrids at specific transcribed sequences impeded Cohesin-mediated chromatin loop extrusion during CSR (148).

Thus, by processing non-coding RNAs at critical transcribed sequences, the RNA exosome complex emerges as an important factor in the mechanisms that regulate chromatin loop extrusion.

5 PERSPECTIVES

The last decade witnessed important advances in our understanding of the transcriptional and epigenetic mechanisms involved in the long-range control of CSR. Elucidation of the function of newly identified regulatory elements and the role of *trans*-acting factors in CSR added new layers to the complexity of the mechanisms involved. The development of various genome editing approaches as illustrated by CRISPR/Cas9-based techniques as well as high-throughput technologies made it possible to tackle and to further our knowledge of the long-range chromatin interactions that take place during CSR.

As usual, any new knowledge raises new questions and paths. For instance, the question of why do some long-range regulatory elements target specific promoters remains to be investigated. The signals that trigger chromatin loop formation and their collapse and the precise relationship between (presumably) large chromatin loops and the fine details of transcriptional and

epigenetic control are still unclear. In the context of Cohesin-based loop extrusion/CSRC model, the role of other transcriptional/architectural factors remains to be investigated. Moreover, one should bear in mind that *IgH* chromatin domains are defined in resting or activated B-cell populations and, therefore, display averaged interactions [e.g., (149)] that do not necessarily reflect interactions on a single-cell or single-chromosome basis. Correlatively, it is presently unclear to what extent the long-range mechanisms identified in *in vitro*-activated B-cell populations operate during genuine T-cell-dependent and T-cell-independent responses. Though technically challenging, it will be of outmost importance to develop new approaches and models to tackle these mechanisms on a single B-cell or chromosome basis during immune responses.

AUTHOR CONTRIBUTIONS

AK wrote the manuscript. AD contributed to the writing of the manuscript and checked the references and figures. All authors contributed to the article and approved the submitted version.

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Cohesin Core Complex Gene Dosage Contributes to Germinal Center Derived Lymphoma Phenotypes and Outcomes

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Edited by:

Michel Cogne,
University of Limoges, France

Reviewed by:

Rushad Pavri,
Research Institute of Molecular
Pathology (IMP), Austria
Brice Laffleur,
Columbia University, United States
Bernardo Reina-San-Martin,
Institut de Génétique et de Biologie
Moléculaire et Cellulaire (IGBMC),
France

*Correspondence:

Martin A. Rivas
mar2091@med.cornell.edu
Ari M. Melnick
amm2014@med.cornell.edu

[†]These authors have contributed
equally to this work

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Martin A. Rivas^{1*}, Ceyda Durmaz^{2†}, Andreas Kloetgen^{3†}, Christopher R. Chin^{4,5},
Zhengming Chen⁶, Bhavneet Bhinder^{4,5,7}, Amnon Koren⁸, Aaron D. Viny^{9,10},
Christopher D. Scharer¹¹, Jeremy M. Boss¹¹, Olivier Elemento^{4,5,7},
Christopher E. Mason^{4,5,12,13} and Ari M. Melnick^{1*}

¹ Division of Hematology and Medical Oncology, Department of Medicine, Weill Cornell Medicine, New York, NY, United States,

² Graduate Program on Physiology, Biophysics & Systems Biology, Weill Cornell Medicine, New York, NY, United States,

³ Department of Computational Biology of Infection Research, Helmholtz Centre for Infection Research, Braunschweig,

Germany, ⁴ Department of Physiology and Biophysics, Weill Cornell Medicine, New York, NY, United States, ⁵ The HRH Prince

Alwaleed Bin Talal Bin Abdulaziz Al-Saud Institute for Computational Biomedicine, Weill Cornell Medicine, New York, NY, United

States, ⁶ Division of Biostatistics and Epidemiology, Department of Population Health Sciences, Weill Cornell Medical College,

New York, NY, United States, ⁷ Caryl and Israel Englander Institute for Precision Medicine, Weill Cornell Medicine, New York, NY,

United States, ⁸ Department of Molecular Biology and Genetics, Cornell University, Ithaca, NY, United States, ⁹ Division of

Hematology/Oncology, Department of Medicine, Columbia University Irving Medical Center, New York, NY, United States,

¹⁰ Columbia Stem Cell Initiative, Department of Genetics & Development, Columbia University, New York, NY, United States,

¹¹ Department of Microbiology and Immunology, School of Medicine, Emory University, Atlanta, GA, United States,

¹² The WorldQuant Initiative for Quantitative Prediction, Weill Cornell Medicine, New York, NY, United States, ¹³ The Feil Family

Brain and Mind Research Institute, Weill Cornell Medicine, New York, NY, United States

The cohesin complex plays critical roles in genomic stability and gene expression through

effects on 3D architecture. Cohesin core subunit genes are mutated across a wide cross-

section of cancers, but not in germinal center (GC) derived lymphomas. In spite of this,

haploinsufficiency of cohesin ATPase subunit *Smc3* was shown to contribute to malignant

transformation of GC B-cells in mice. Herein we explored potential mechanisms and

clinical relevance of *Smc3* deficiency in GC lymphomagenesis. Transcriptional profiling of

Smc3 haploinsufficient murine lymphomas revealed downregulation of genes repressed

by loss of epigenetic tumor suppressors *Tet2* and *Kmt2d*. Profiling 3D chromosomal

interactions in lymphomas revealed impaired enhancer-promoter interactions affecting

genes like *Tet2*, which was aberrantly downregulated in *Smc3* deficient lymphomas. *Tet2*

plays important roles in B-cell exit from the GC reaction, and single cell RNA-seq profiles

and phenotypic trajectory analysis in *Smc3* mutant mice revealed a specific defect in

commitment to the final steps of plasma cell differentiation. Although *Smc3* deficiency

resulted in structural abnormalities in GC B-cells, there was no increase of somatic

mutations or structural variants in *Smc3* haploinsufficient lymphomas, suggesting that

cohesin deficiency largely induces lymphomas through disruption of enhancer-promoter

interactions of terminal differentiation and tumor suppressor genes. Strikingly, the

presence of the *Smc3* haploinsufficient GC B-cell transcriptional signature in human

patients with GC-derived diffuse large B-cell lymphoma (DLBCL) was linked to inferior clinical outcome and low expression of cohesin core subunits. Reciprocally, reduced expression of cohesin subunits was an independent risk factor for worse survival in DLBCL patient cohorts. Collectively, the data suggest that *Smc3* functions as a bona fide tumor suppressor for lymphomas through non-genetic mechanisms, and drives disease by disrupting the commitment of GC B-cells to the plasma cell fate.

Keywords: cohesin, lymphoma, B-cell, chromosomal architecture, Hi-C, *Tet2* gene, GCB-subtype DLBCL

INTRODUCTION

Cohesin proteins form a ring-shaped complex that plays a key role in 3D architectural organization of the genome, and is composed of *Smc3*, *Smc1a*, *Stag1* or *Stag2* and *Rad21* subunits. Cohesin functions include maintaining sister chromatids cohesion until the end of mitosis, as well as maintaining chromatids aligned when DNA-damage occurs (1). Acting in concert with CCCTC-binding factor (CTCF), the cohesin complex forms chromatin regulatory structures, such topologically associated domains, and long distance interactions between gene regulatory elements such as enhancers with gene promoters, thus contributing transcriptional regulatory states and cell phenotypes (2).

Germinal centers (GC) are transient structures that form within secondary lymphoid tissues in response to T-cell dependent antigenic stimulation. GCs are initially established by highly proliferative centroblasts that form the GC dark zone and undergo immunoglobulin somatic hypermutation (3). After several rounds of division these cells migrate towards a region rich in T follicular helper cells (TFH) as non-dividing centrocytes, to form the GC light zone. B-cells with increased affinity for cognate antigen will receive T-cell help, which will enable them to either return to the DZ for more rounds of somatic hypermutation, or exit the GC reaction to become plasma cells or memory B-cells (4). GC B-cells undergo massive changes in their transcriptional, epigenetic and 3D architectural states, which is required for them to manifest their distinctive phenotype (5). Along these lines, conditional knockout of the ATPase subunit of the cohesin complex, *Smc3*, showed that cohesin dosage regulates B cell transit through GCs (6). *Smc3* haploinsufficient (*Smc3*^{wt/-}) mice display GC hyperplasia, with increased proliferation, accumulation of centrocytes and impairment of plasma cell differentiation. Chromosomal architecture analysis by Hi-C revealed that *Smc3*^{wt/-} centrocytes have decreased long-range chromosomal interactions between enhancers and promoters, and reduced expression of tumor suppressor genes linked to lymphomagenesis in humans. Consistent with these findings, *Smc3* haploinsufficiency accelerated lymphomagenesis in mice engineered for constitutive expression of the *Bcl6* oncoprotein, which drives formation of diffuse large B-cell lymphomas (DLBCLs) (6).

Cohesin complex mutations are common in human cancers (7) including myeloid malignancies (8–10). Curiously, although *Smc3* behaves as a haploinsufficient tumor suppressor in GC B-cells, it is rarely if ever affected by somatic mutations in patients with GC-

derived lymphomas. Yet *SMC3* dosage may still be relevant to human GC derived lymphomas since it was shown that patients with low *SMC3* expression experience inferior clinical outcomes (6). Therefore, to gain insight into how *SMC3* dosage might contribute to malignant lymphoma phenotypes we explored its transcriptional, architectural and genomic effects in murine B-cell and lymphoma models with *Smc3* haploinsufficiency, with correlations to human DLBCL patients.

METHODS

Conditional *Smc3*-Deficient Mice

The Research Animal Resource Center of the Weill Cornell Medical College approved all mouse procedures. The *Smc3* allele was deleted by targeting exon 4 in a construct obtained from the EUCOMM consortium [*Smc3*^{tm1a(EUCOMM)Wtsi} (8)]. The generated mice (*Smc3*^{fl/fl}) were crossed to B6.129P2(Cg)-*Ighg1*^{tm1(Cre)Cgn/J} mice (11) (*Cγ1*^{Cre}; The Jackson Laboratory) to generate germinal center specific heterozygous deletion of *Smc3*. *Cγ1*^{Cre/Cre};*Smc3*^{wt/-} mice were further crossed to *Ighm*^{wt/tm1(Bcl6)}^{Rdf} mice [*IμBcl6* (12)].

Induced Germinal Center B Cell Culture System

Induced GC B cell (iGCB) cultures were performed as reported elsewhere (13). Briefly, splenic CD43⁺ cells were co-cultured with irradiated 40LB cells (13) in the presence of 1 ng/mL IL-4. Four days after plating, iGCBs were incubated for 1 h in the presence of demecolcine 0.01 μg/ml, and iGCBs were separated by carefully collecting the cells in suspension and used in karyotyping analysis.

Karyotyping Analysis

Induced GCB-like cells from culture systems were treated for 1 h with 0.01 μg/mL *N*-methyl-*N*-deacetyl-colchicine. Following 45 min incubation at 37°C, the cultures were resuspended in pre-warmed 0.075 M KCl, incubated for an additional 10 min at 37°C and fixed in methanol:acetic acid (3:1). The fixed cell suspension was then dropped onto slides, stained in 0.08 μg/ml DAPI in 2 × SSC for 5 min and mounted in antifade solution (Vectashield, Vector Labs). Metaphase spreads were captured using a Nikon Eclipse E800 epifluorescence microscope equipped with GenASI Cytogenetic suite (Applied Spectral Imaging). For each sample a minimum of 50 inverted DAPI-stained metaphases were fully karyotyped and analyzed.

Flow Cytometry

Single-cell suspensions from mouse spleens and were stained using the following fluorescence-labeled anti-mouse antibodies: from BD Biosciences, FITC anti-CD38 (BD558813; clone 90; dilution 1:500), BV421 anti-CD95/Fas (BD562633; clone Jo2; dilution 1/500), PE-Cy7 anti-CD86 (BD560582; clone GL1; dilution 1:400), PE anti-CD184/CXCR4 (BD561734; 2B11; dilution 1:250); from BioLegend, APC-Cy7 anti-B220 (103224; clone RA3-6B2; dilution 1:750) and AlexaFluor647 anti-pSer139-H2AX (613407; clone 2F3, dilution 1:200). For internal markers, cells were fixed and permeabilized with the BD Cytofix/Cytoperm fixation/permeabilization solution kit (BD Biosciences). Data were acquired on a BD FACSCanto II flow cytometer (BD Biosciences) and analyzed using the FlowJo software package (BD Biosciences).

Patient Data

For survival analysis we used publicly available gene expression data from 322 DLBCL patients from British Columbia Cancer Agency, BCCA (14). Additional analysis have been done in 243 patients from an NCI cohort (15). For univariable and multivariable Cox analysis, we used data from the British Columbia Cancer Agency cohort, and from publicly available gene expression data of 757 DLBCL patients, an independent cohort from our institution (16–19). All patient data used in this manuscript has been previously de-identified.

Whole-Exome Sequencing and Identification of Somatic Variants

Genomic DNA from tumors was extracted from the mouse *Smc3/Bcl6* or *Bcl6* tumors and the germline tail (wild type) using DNeasy Blood Tissue kit (Qiagen). 1 µg of the genomic DNA was used to prepare the whole exome sequencing libraries with the Agilent SureSelect kit (SureSelect Mouse All Exon Kit). Using the NovaSeq6000 platform (Illumina), paired end sequencing was performed on the *Smc3/Bcl6* (n=10) and *Bcl6* tumors (n=5), and the wild type specimens (n=4). The average sequencing converge in the targeted regions was >40X except for one wild-type sample where the average coverage was 18X; this sample was excluded from further analysis. The whole exome sequencing reads were aligned to the Mouse reference genome GRCm38/mm10 using bwa mem and the PCR duplicates were marked and removed using Picard. The aligned and de-duplicated reads were then realigned around the indels, mates fixed and recalibrated to be used for downstream analysis. Somatic mutations were called using a consensus approach, where point mutations and indels were identified using Strelka2, MuTect and VarScan, and variants called by minimum two tools were retained for further analysis. Additional filtering steps excluded variants with total read depth < 30, number of reads supporting the variant < 5, tumor variant allele frequency (VAF) < 10% and germline VAF > 1%. The somatic mutations were annotated using the Variant Effect Predictor (VEP) and known mouse dbSNPs were filtered out while retaining only the missense, silent and truncating mutations. Copy number alterations were identified using the

CNVkit. The percent genome altered (gain or loss) was calculated as the percentage of the copy number segments altered based on the size of the mouse genome. For the calculation of the altered segments, copy number segments with log₂ ratio threshold of <-0.1 and >0.1 was used to quantify loss and gains, respectively. All statistical tests for significance were performed using the Wilcoxon rank sum test in R.

Whole Genome Sequencing Analysis

Primary naïve B cells isolated from *Smc3^{wt/wt}* (n=3) or *Smc3^{wt/-}* were cultured ex vivo to produce iGCs as explained (13). Genomic DNA was used to produce whole genome sequencing libraries using the KAPA LTP Library Preparation kit following manufacturer's directions. Sequencing was done in NextSeq500 instrument using a 75 bp single-read sequencing cell. We used TIGER (20) to infer DNA copy number values at 1Kb windows in mm10 coordinates. TIGER separates continuous and low-amplitude signals of DNA replication timing from the larger and sharper changes caused by copy number alterations. For genome-wide visualization of raw DNA copy number values, every 40 consecutive windows were merged. For DNA replication timing, outlier segments representing putative copy number alterations were filtered out by TIGER, and the remaining data was smoothed, normalized to units of standard deviation, and plotted.

RNA Sequencing

mRNA-seq Library Preparation and RNA-seq libraries were prepared using the Illumina TruSeq RNA sample kits, according to the manufacturer. Libraries were validated using the Agilent Technologies 2100 Bioanalyzer and Quant-iT dsDNA HS Assay (Life Technologies) and 8–10 pM sequenced on HiSeq2000 sequencer. RNA-seq data was processed using the nf-core/rnaseq pipeline (v1.4.2) (21). Reads were aligned to mm10 and Gencode M12 (22) transcripts using STAR (v2.6.1) (23). Gene expression quantified by featureCounts (v1.6.4) (24) to counts and normalized to Transcripts per Million (TPM) (25). Differentially expressed genes between *Smc3^{wt/-}* and *Smc3^{wt/wt}* were identified using count data with a negative binomial model with the DESeq2 package (26). Pathway enrichment was calculated by using GSEA (27) and FGSEA (v1.14.0) (28) on the log₂ fold change ranking results from DESeq2 output (26) with gene signature databases from literature, using murine and human orthologs of genes as necessary.

Hi-C and Virtual 4C

1.5×10^6 flow sorted mouse GC B cells from *Cγ1^{wt/cre};Smc3^{wt/wt}* (n=3) and *Cγ1^{wt/cre};Smc3^{wt/-}* (n=3) were fixed in 1% formaldehyde for 10 min. Fixation was quenched by the addition of 0.125 M glycine for 10 min. In situ Hi-C was performed as described (29). Briefly, nuclei were permeabilized and DNA was digested overnight with 100 U DpnII (New England BioLabs). The ends of the restriction fragments were labeled using biotin-14-dATP and ligated in 1 mL final volume. After reversal of crosslinks, ligated DNA was purified and sheared to a length of ~400 bp, at which point ligation

junctions were pulled down with streptavidin beads, DNA fragments repaired, dA-tailed and Illumina adapters ligated. Library was produced by 6-10 cycles of PCR amplification. Sequencing was performed in a HiSeq2500 Illumina Sequencer, pair-end 50 bp, in the Weill Cornell Medicine Epigenomics Core.

All Hi-C data were processed using the hic-bench platform (30). In short, reads were aligned against the mouse genome (mm10) with bowtie (31) and multi-mapped, single-sided, duplicated, low quality and self-ligated reads were filtered with genomic-tools (32). Contact matrices were built with hic-bench at 20kb and 100kb resolution. Compartment analysis was performed with the c-score tool (33) at 100kb resolution, and A and B compartments were defined with the help of H3K27ac information. Compartment differences were defined as the difference in c-scores, called delta c-score. Loop analysis was performed with the mango loop calling approach (34), using a negative binomial test per diagonal in the 20kb resolution contact matrix, followed by multiple testing correction. Only loops with FDR<0.1 and CPM>30 were kept as significant loops. Differential loop analysis reported the log₂ fold-change between CPM values per significant loop called in either sample. Protein-coding gene promoters and enhancer information were overlapped with all loop anchors, and promoter-enhancer loops were defined if one anchor holds at least one protein-coding gene promoter and the other anchor holds at least one enhancer. Virtual 4C analysis was performed based on the filtered reads. Filtered read pairs for which one read maps within +/- 10kb around the virtual viewpoint of the *Tet2* promoter (chr3:133,544,706) were extracted. Next, the genome was binned in successive overlapping windows of 20kb, and all adjacent windows are overlapping by 95% of their length (that is 19kb). We then added a count to all overlapping bins in which the second mapped read mate aligned. Read counts were then normalized to the total sequencing depth of the respective sample by edgeR reporting counts-per-million (CPM) per bin. Rad21 ChIP-seq in the CH12.LX mouse lymphoma cell line was downloaded from ENCODE (35, 36).

Single-Cell RNA Sequencing

Splenic cells were sorted from *Smc3*^{wt/wt} (n=6) and *Smc3*^{wt/-} (n=3) mice 8 days after SRBC immunization. Sorted cells were subjected to single cell RNA-seq using the 10X Genomics Chromium platform. Library preparation for single cell 3' RNA-seq v2, sequencing and post-processing of the raw data was performed at the Epigenomics Core at Weill Cornell Medicine. Libraries were prepared according to 10X Genomics specification and clustered on HiSeq4000. Sequencing data was processed with Cell Ranger from the 10X Genomics Cell Ranger Single Cell Software suite v3.0.2 (<https://support.10xgenomics.com/single-cell-gene-expression/software/pipelines/latest/what-is-cell-ranger>) using the manufacturer parameters to generate a sparse matrix file of features by barcodes. This sparse matrix data was then loaded into R (v4.0.2) using the R package Seurat (v4.0.0) (37). Additional wild-type 10X single-cell RNA-seq data was integrated with the *Smc3* single-cell dataset to reduce batch effect. To identify genes and cells suitable for inclusion in the

analysis, standard quality control was run to remove cells with few genes or an over representation of mitochondria reads. Data was then scaled and normalized. Linear dimensional reduction was performed by calculation of PCA from the most variable genes. Cells were then clustered using a resolution value of 0.5 and visualized by UMAP. Module scores were calculated using the AddModuleScore function with a control value of 5. Individual genes and gene signatures were projected and used to manually classify clusters. Centroblast (CB) and centrocyte (CC) cell clusters were identified using gene signatures defined by germinal center microarrays of DZ and LZ genes (38). Transitioning centroblast to centrocyte (CB → CC) clusters were classified by overlap of both DZ and LZ markers. The transitioning centrocyte to centroblast (Recycling) cluster was classified by a light zone DECP upregulated signature (39). Plasma cell (PC) clusters were identified using gene signatures from RNA-seq data (40), and the plasma blast (PB) cluster was identified as expressing c-Myc and S phase genes in addition to PC gene signatures. Prememory B cells (Pre-MBC) clusters were identified using transcriptional gene markers (41), and were subset into naive B cells (NB/Pre-MBC) and memory B cells (Pre-MBC/MBC) based on IgD⁺ gene expression and *Ccr6* gene signatures respectively. Cell division signatures from RNA-seq were derived from Scharer et al. (42) data by determining significantly upregulated (padj < 0.05, log₂FC > 1) genes between cells that underwent 8 cell divisions (D8) and express CD138 (D8 CD138⁺) or not (D8 CD138⁻), and cells that did not divide (division 0, D0) as assessed by the CTV fluorescence by flow cytometry (42). These signatures were then used to calculate module scores, project onto UMAP, and downstream analysis. RNA trajectory analysis was performed using Slingshot (v1.6.1). This package was used to create a pseudotime based on a combination of PCA 1 and 2 calculated by Seurat, using the cells identified as Centroblasts as the anchor point. Three lineages were generated (Lineage 1: CB → CC → MBC, Lineage 2: CB → Recycling, Lineage 3: CB → PC), and Lineage 3 was projected onto UMAP and used in downstream analysis. Pseudotime density plots were generated by cell cluster using the ggplot2 (v3.3.2) geom_density function. Pseudotime scatter plots were generated by genotype using the geom_point function.

Quantitative RT-PCR

RNA was prepared by TRIzol extraction (Invitrogen). cDNA was prepared using the Verso cDNA synthesis kit (Thermo Fisher Scientific) and detected by Fast SYBR Green (Thermo Fisher Scientific) on a QuantStudio 6 Flex Real-Time PCR System (Thermo Fisher Scientific). We normalized gene expression to that of *Hprt1* and expressed values relative to control using the ΔΔCT method. Results were represented as fold expression with the s.d. for two series of triplicates. The following primers were used in qPCR experiments: *Smc3*_F, 5'-GGCTTCCGAAGT TACCGAGA-3'; *Smc3*_R, 5'-CAATCGCTGCTCTGGACG-3'; *Tet2*_F, 5'-TAGCTTTGCGTCAGTGGAGA-3'; *Tet2*_R, 5'-TAGGGATGGCTGGCTCAAAA-3'; *Hprt1*_F, 5'-AGGACCTCTCGAAGTGT'TGG-3'; *Hprt1*_R, 5'-TTG CAGATTCAACTTGCGCT-3'.

Quantification and Statistical Analysis

The overall survival of DLBCL patients was estimated by Kaplan-Meier method. The mRNA expression levels of genes in the *Smc3_vs_WT:CC_UP_logFC_0.56* gene signature [human orthologs: *H1F0*, *RP1L1*, *GSTT2B*, *GSTT2*, *THYN1*, *ALAD*, *IRAK1BP1*, *RANBP17*, *UBE2C*, *RET*, *GNB4*, *USP2*, *MFGE8*, *LGALS1*, *EMP2*, *TMED6*, *GCSAM*, *BFSP2*, *MYL4*, *GNAZ*, *TBXA2R*, *CPNE5*, *LRRC49*, *CCNB2*, *PAFAH1B3*, *CDC20*, *SCCPDH*, *AVIL*, *PI4KB*, *SSR2*, *CDKN3*, *NREP*, *TMOD4* (6)] were used for unsupervised hierarchical clustering on DLBCL patient cohorts. The differences of overall survival between two resulting clusters were tested by log-rank test. The univariable and multivariable Cox proportional hazard regression were also used to confirm the findings, while adjusting for age, sex and subtype. Statistical analyses were performed in statistical software R Version 3.6.0 (R Foundation for Statistical Computing, Vienna, Austria).

RESULTS

Aberrant Transcriptional Programming in *Smc3* Haploinsufficient Lymphomas

Smc3 haploinsufficiency drives accelerated lymphomagenesis in *IuBcl6* transgenic mice (6). To explore whether this aggressive phenotype was linked to aberrant transcriptional programming, we performed RNA-seq from mesenteric lymph node lymphoma cells from the *Smc3^{wt/wt};Cγ1^{wt/cre};IuBcl6* (*Bcl6*) and *Smc3^{wt/-};Cγ1^{wt/cre};IuBcl6* (*Smc3/Bcl6*) mice, verifying the expected reduced expression of *Smc3* (Figure 1A, Supplementary Table 1, and Supplementary Figure 1A). Unsupervised analyses did not yield strong differences between these lymphomas (Supplementary Figures 1B, C), and no difference in other cohesin subunit or related genes (Supplementary Figure 1D). However, naturally occurring primary lymphomas are often highly heterogeneous including in the context of *IuBcl6*

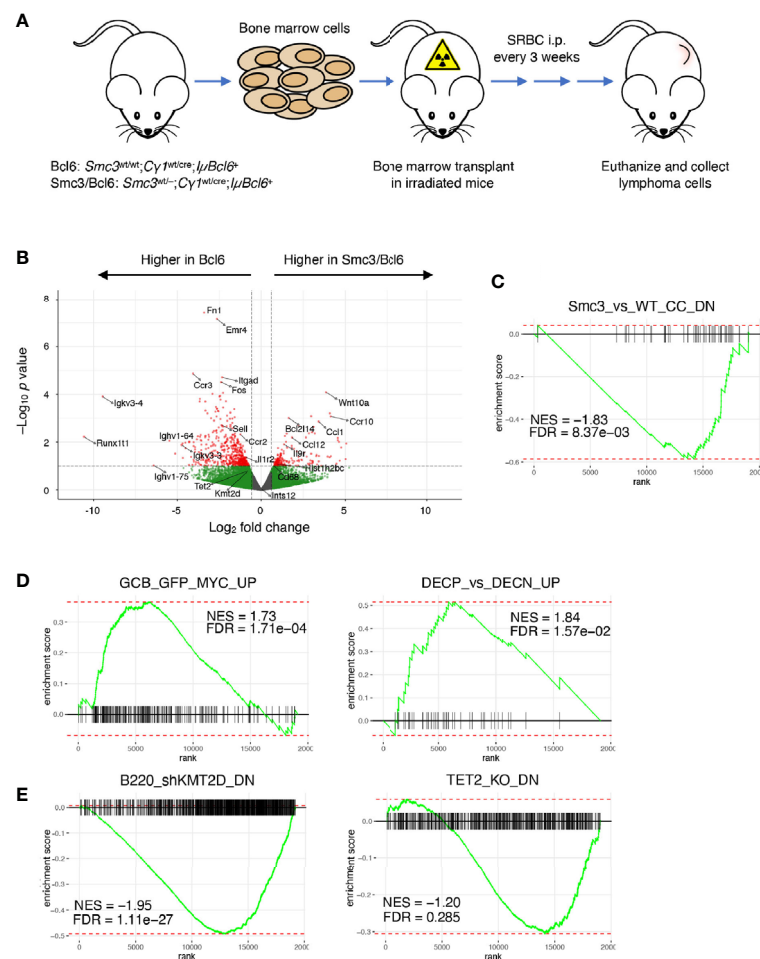


FIGURE 1 | Aberrant gene expression program in *Smc3* haploinsufficient tumors. **(A)** Development and experimental design for study of lymphomas in *Bcl6* and *Smc3/Bcl6* mice, where conditional heterozygous deletion of *Smc3* was directed towards B-cells entering the GC reaction by crossing to the *Cγ1-Cre* strain. **(B)** Volcano plot showing differentially expressed genes in *Bcl6* and *Smc3/Bcl6* tumor cell RNA-sequencing. **(C–E)** Gene set enrichment analysis plots in *Bcl6* vs *Smc3/Bcl6* RNA-sequencing. *Smc3_vs_WT_CC_DN* (6), *DECP_vs_DECN_UP*, *GCB_GFP_MYC_UP* (39), *B220_shKMT2D_DN* (43) and *TET2_KO_DN* (44).

mice (12, 45, 46), which might interfere with our ability to appreciate changes in gene expression. Along these lines, a supervised analysis indeed revealed only subtle differences in the transcriptional programs of Bcl6 *versus* Smc3/Bcl6 mice with 199 genes upregulated and 537 genes downregulated in Smc3/Bcl6 tumors ($p\text{-val} < 0.1$, $|\log_2\text{FC}| > 0.56$ used, **Figure 1B**), that were not captured using higher stringency parameters. In spite of this there was evident perturbation of transcriptional programming in these tumors, as noted by performing GSEA analysis, which revealed significant down regulation of genes that were previously shown to be repressed in *Smc3*^{wt/-} centrocytes (6) (**Figure 1C**). More critically and consistent with the observed aggressive tumor phenotype, Smc3/Bcl6 lymphomas featured induction of canonical GC-associated MYC target gene sets (**Figure 1D**). We also found evidence of tumor suppressor effects, such as negative enrichment for genes down regulated in *Kmt2d* or *Tet2* deficient GCs (**Figure 1E**). Both of these genes are tumor suppressors in human DLBCLs (47), and *Tet2* loss of function was also shown to induce lymphomagenesis in *IuBcl6* mice (44). Overall these transcriptional perturbations similar to those caused by *Smc3* haploinsufficiency in GC B-cells, suggest that persistence of these effects contributes to its role in lymphomagenesis.

Cohesin Haploinsufficiency Induces Loss of Tumor Suppressor Gene Promoter-Enhancer Interactions

In order to explore whether these changes in gene expression or other aspects of the malignant phenotype might be linked to 3D architectural effects, we performed *in situ* Hi-C in lymphoma cells collected from involved mesenteric lymph node tumors of moribund Bcl6 ($n=3$) and Smc3/Bcl6 ($n=3$) mice. Hi-C contact maps revealed little difference globally between Smc3/Bcl6 *vs* Bcl6 tumor interactivity profiles (**Supplementary Figure 2A**). This is consistent with genomic chromatin compartmentalization being independent of cohesin subunit dose, as previously reported (48). Indeed further examination of chromatin compartment distribution in Smc3/Bcl6 *vs* Bcl6 and tumor cells showed very little difference between these genotypes (**Figure 2A** and **Supplementary Figure 2B**). In contrast, there were significant compartment changes among these lymphomas as compared to normal centrocytes (**Figure 2B**). Hence aberrant chromatin compartmentalization in these lymphomas must occur through a cohesin independent manner as well. Focusing instead on differential chromatin interactivity, we found a significant bias towards reduction in chromatin loop strength in Smc3/Bcl6 *vs* Bcl6 lymphomas (**Figure 2C**). There was also significant difference in loop strength when comparing all murine lymphomas to normal centrocytes (**Figure 2D**). Examining differential chromatin interactions in more detail revealed reduction in loop strength of enhancer-promoter loops as well as other chromatin interactions (**Supplementary Figure 2C**). Among genes with reduced enhancer-promoter loops were known tumor suppressors such as *Tet2*, *Dusp4*, as well as MHC class II genes. Conversely genes such as *Cdk6*, *Btk* and *Irak1* were among those with stronger enhancer to promoter looping. Decreased loop

interactivity in Smc3/Bcl6 *versus* Bcl6 tumors was also appreciated by performing aggregate peak analysis (**Supplementary Figure 2D**).

The reduction of *Tet2* enhancer promoter loop strength observed in this global analysis prompted us to look more closely at this tumor suppressor gene. For this we performed virtual 4C analysis using our Hi-C data (**Figure 2E**), anchored at the *Tet2* promoter and observed marked reduction of its interactivity with upstream and downstream regions (**Figure 2E**). These sites overlapped with putative enhancers defined by the presence of H3K27Ac peaks identified by Mint-ChIP-seq from GC B-cells (6) and with cohesin subunit Rad21ChIP-seq peaks in murine CHX.12 lymphoma cells (35). Strikingly, this reduction in *Tet2* promoter to enhancer looping was associated with reduced abundance of *Tet2* mRNA in Smc3/Bcl6 *vs* Bcl6 lymphomas from qPCR experiments performed in independent lymphoma specimens (**Figure 2F**). Tumor suppressor genes *Kmt2d* and *Dusp4* showed similar loss of interactivity of their promoters with putative H3K27Ac rich loci (**Supplementary Figures 2E, F**) in Smc3/Bcl6 tumors. Taken together with our transcriptional profiling showing enrichment for *Tet2* and *Kmt2d* deficient signatures, these data suggest that reduced levels of *Smc3* in lymphomas impairs expression and functionality of tumor suppressor genes through disruption of enhancer-promoter interactions.

Smc3 Haploinsufficiency Specifically Impairs Terminal Steps of Plasma Cell Differentiation

Conditional deletion of *Smc3* in GC B-cells results in impaired plasma cell differentiation (6). Our data shown above suggest that this effect persists in *Smc3* haploinsufficient lymphomas, pointing to plasma cell differentiation as a key vulnerability for malignant transformation. However, this is a step-wise and complex process, and the precise point in plasma cell differentiation where the *Smc3* function becomes critical is not known. Along these lines, Scharer et al. revealed that mature B cells induced to form plasma cells undergo ~8 cell divisions prior to acquiring the full plasma cell phenotype (42). Scharer et al. performed RNA-seq at sequential cell divisions in selected populations based on cell cycle dye exclusion and CD138 staining, as well as single cell RNA-seq of activated B cells to precisely map plasma cell differentiation trajectory. This trajectory was complex and included a critical cell fate decision that took place upon the last (8th) cell division, whereupon B-cells either committed to the final plasma cell phenotype or remained in a less defined B-cell state (**Figure 3A**) (42).

To define the point along this trajectory that was specifically dependent on *Smc3* dosage, we performed single cell RNA-seq in *Smc3*^{wt/-} and *Smc3*^{wt/wt} GC B cells. We defined cell clusters by unsupervised analysis using Seurat and then projected canonical GC and post-GC related signatures from centroblasts (DZ), centrocytes (LZ), plasma cells (PC), memory B-cells (MB), and MYC⁺ GC B-cells (selected by T-cell help) onto these transcriptional profiles. This allowed us to assign clusters of cells to these various cell subpopulations (**Figures 3B–D**).

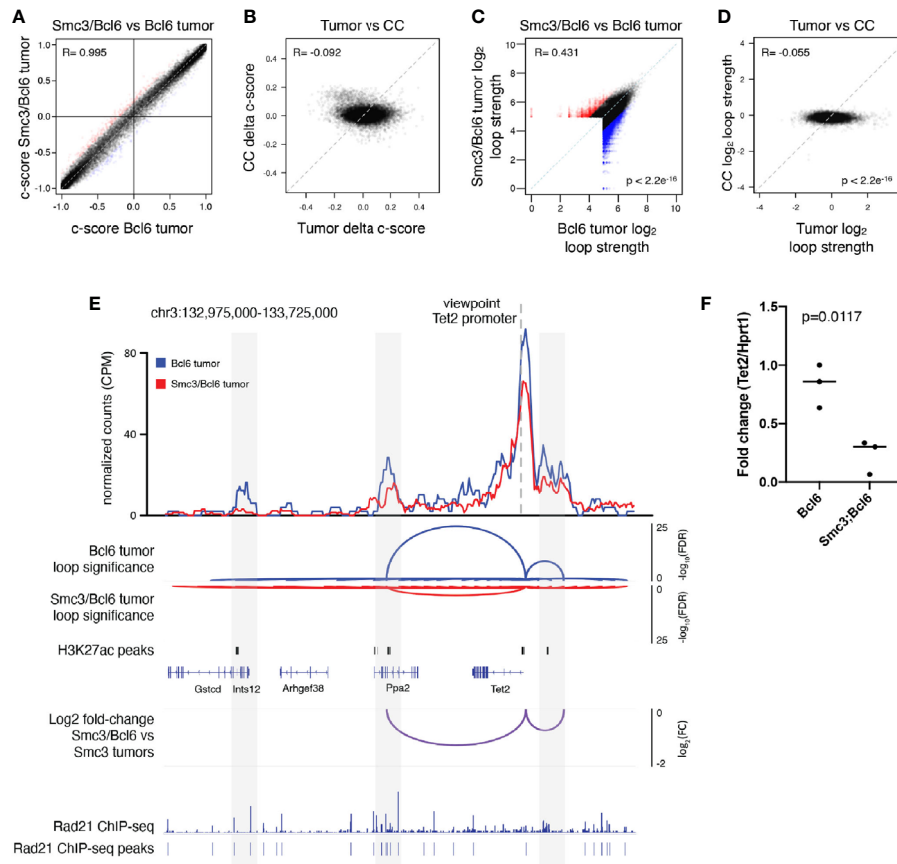


FIGURE 2 | Cohesin haploinsufficiency induces loss of interactivity of promoter-enhancers at tumor suppressor genes. **(A)** Correlation plot between the compartment c-scores of *Smc3/Bcl6* versus *Bcl6* tumor cells at 100kb resolution. **(B)** Correlation plot for the change in compartment c-scores of *Smc3/Bcl6* versus *Bcl6* tumor cells and the change in compartment c-scores of *Smc3^{wt/-}* versus *Smc3^{wt/wt}* centrocytes (CC) at 100kb resolution. **(C)** Correlation plot for the log₂ normalized loop interactivity of *Smc3/Bcl6* versus *Bcl6* tumor cells at 20kb resolution. **(D)** Correlation plot for the log₂ fold change of normalized loop interactivity of *Smc3/Bcl6* versus *Bcl6* tumor cells and the log₂ fold change of normalized loop interactivity of *Smc3^{wt/-}* versus *Smc3^{wt/wt}* centrocytes (CC) at 20kb resolution. **(E)** Virtual 4C analysis showing normalized interactions with the *Tet2* promoter for *Bcl6* tumors (blue line) and *Smc3/Bcl6* tumors (red line) at 20kb resolution. Loop calling significance following the mango approach are shown for *Bcl6* and *Smc3/Bcl6* tumors with $-\log_{10}(\text{FDR})$. Enhancers were defined as H3K27Ac peaks mapped in germinal center B cells by Mint-ChIP. Rad21 ChIP-seq was performed in the mouse lymphoma cell line CH12.LX (35). The differences between normalized interactions with the *Tet2* promoter are shown as log₂ fold-change between *Bcl6* and *Smc3/Bcl6* tumors. **(F)** RT-qPCR for *Tet2* mRNA in *Bcl6* (n=3) and *Smc3/Bcl6* (n=3) tumors, normalized to *Hprt1* mRNA expression.

Plasma cells were further subdivided into plasmablasts vs plasma cells based on the former expressing MYC-associated and S phase genes (Figure 3C). Other cell clusters were assigned as intermediate between DZ and LZ, possibly reflecting cells transitioning from DZ to LZ. In addition to MB cells, we identified cell clusters enriching for a mixture of cells with pre-MB signature, with IgD⁺ naïve B-cells.

We then projected the RNA-seq signatures derived from the data from Schärer et al. (42), by comparing their division 8 (D8) CD138⁺ or D8 CD138⁻ profiles with those from baseline (day 0) mature B-cells (Figure 3E). D8 CD138⁺ cells largely overlapped with plasmablast and plasma cells, whereas D8 CD138⁻ overlapped with centrocytes and memory/pre-memory B cells (Figure 3E). Examining the plasmablast and plasma cell populations from our single cell RNA-seq dataset we observed

depletion of D8 CD138⁺ signature gene scores among *Smc3^{wt/-}* cells (Figure 3F), whereas in contrast these cells scored more highly for D8 CD138⁻ signature gene expression (Figure 3G). Performing pseudotime analysis to distribute cells according to their differentiation state from centroblast towards plasma cell transcriptional programming (Supplementary Figure 3), we observed impaired acquisition of the D8 CD138⁺ signature among *Smc3^{wt/-}* haploinsufficient plasma cells, suggesting defective engagement of the late-stage plasma cell commitment program (Figure 3H). In contrast, the D8 CD138⁻ signature scored higher among *Smc3^{wt/-}* plasma cells, suggesting a strong bias away from the final stages of plasma cell commitment and preferential maintenance of B-cell transcriptional signatures. This branching point may represent a particularly vulnerable architectural checkpoint for malignant transformation.

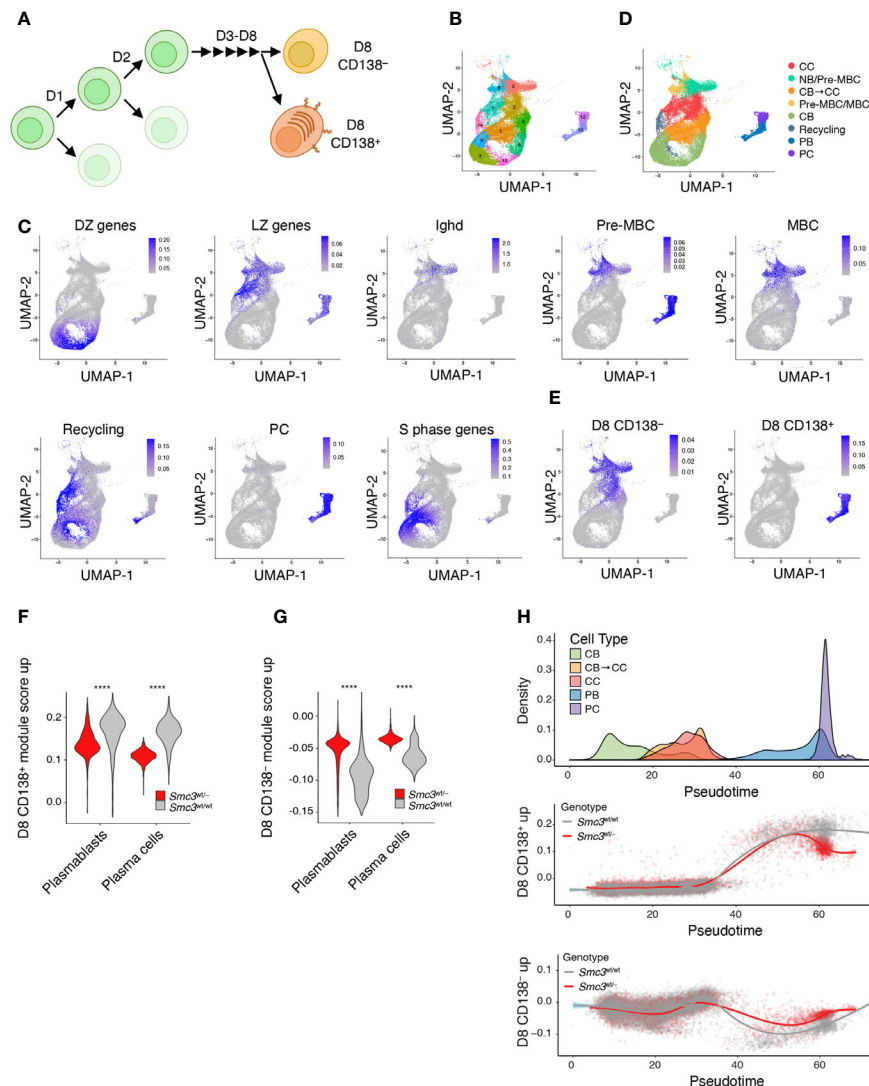


FIGURE 3 | *Smc3* haploinsufficient cells undergo proliferation burst but fail to differentiate into plasma cells. **(A)** Scheme depicting mature B cell differentiation leading to the plasma cell phenotype. **(B)** Uniform Manifold Approximation and Projection (UMAP) applied to single cell RNA-seq populations of germinal center and post germinal center populations. **(C)** UMAP projections of gene expression cell signatures used to classify clusters. **(D)** Applying previously defined gene expression signatures, Seurat clusters were manually defined as centrocyte (CC), pre-memory B cells (Pre-MBC), transitioning centroblast to centrocyte (CB → CC), transitioning centrocyte to centroblast (Recycling), memory B cells (MBC), plasmablast (PB), and plasma cells (PC). **(E)** UMAP showing the projections of *in vivo* LPS-stimulated CFSE stained B cells that divided 8 times expressing CD138 (D8 CD138⁺) or not (D8 CD138⁻). **(F)** Violin plots showing expression levels of D8 CD138⁺ for PB and PC clusters. **(G)** Violin plots showing expression levels of D8 CD138⁻ for PB and PC clusters. **(H)** Cell densities for pseudotime lineage (CB → CB → CC → CC → PB → PC, top plot) and scatter plots of cells by genotype across pseudotime lineage expressing D8 CD138⁺ (middle panel) or D8 CD138⁻ (lower panel) profiles. ****p < 0.00001.

***Smc3* Haploinsufficiency Increase DNA Damage in Germinal Center B Cells**

Given that GC B-cells are exposed to considerable DNA damage stress (49, 50) and cohesin complex is reported to play important roles in DNA damage response (51, 52), we wondered whether *Smc3* haploinsufficiency might also contribute to lymphomagenesis through accumulation of DNA damage. Phosphorylation of Ser-139 residue of histone H2AX, forming γ H2AX, is an early cellular response to the induction of DNA double-strand breaks that has been shown to be dependent on the loop extrusion activity of

cohesin (53). We therefore used flow cytometry to measure γ H2AX staining in total splenic B cells (live B220⁺ cells) or GC B-cells (B220⁺FAS⁺CD38⁻) from *Smc3*^{wt/wt} or *Smc3*^{wt/wt} mice, eight days after immunization (**Supplementary Figures 4A, B**). Notably, although we did not observe differences in total live B cells (**Figure 4A**), we observed a significant reduction of γ H2AX⁺ staining in *Smc3*^{wt/wt} GC B-cells (**Figure 4B**). Notably the reduced abundance of γ H2AX was evident in both centroblasts (B220⁺FAS⁺CD38⁻CXCR4⁺CD86⁻) and centrocytes (B220⁺FAS⁺CD38⁻CXCR4⁺CD86⁺, **Figures 4C, D**). *Smc3*

haploinsufficiency did not result in differential apoptosis in GC B cells (6). The lack of apoptosis along with the reduced γ H2AX suggested that there might be impaired DNA damage detection in *Smc3* haploinsufficient cells.

For more direct assessment of DNA damage, we performed karyotype analysis in proliferating *Smc3*^{wt/wt} and *Smc3*^{wt/wt} GC B cells. Since obtaining abundant actively proliferating GC B cells from murine lymphoid tissue is not possible, we instead used the induced GC B cell (i-GCB) co-culture system, to produce high

numbers of proliferating iGCB cells (**Supplementary Figure 4C**) (13). Karyotyping analysis was used to identify chromosomal aberrations (**Figure 4E**). Examining metaphase spreads from these cells revealed significantly higher abundance of lesions such as centromeric fusions or chromosomal breaks in *Smc3*^{wt/wt} GC B-cells (**Figures 4F–I** and **Supplementary Figure 4D**). Notably, centromeric fusions were completely absent from wild type iGCB cells, suggesting these are highly cohesin dose dependent. Whole genome sequencing in i-GC failed to demonstrate detectable

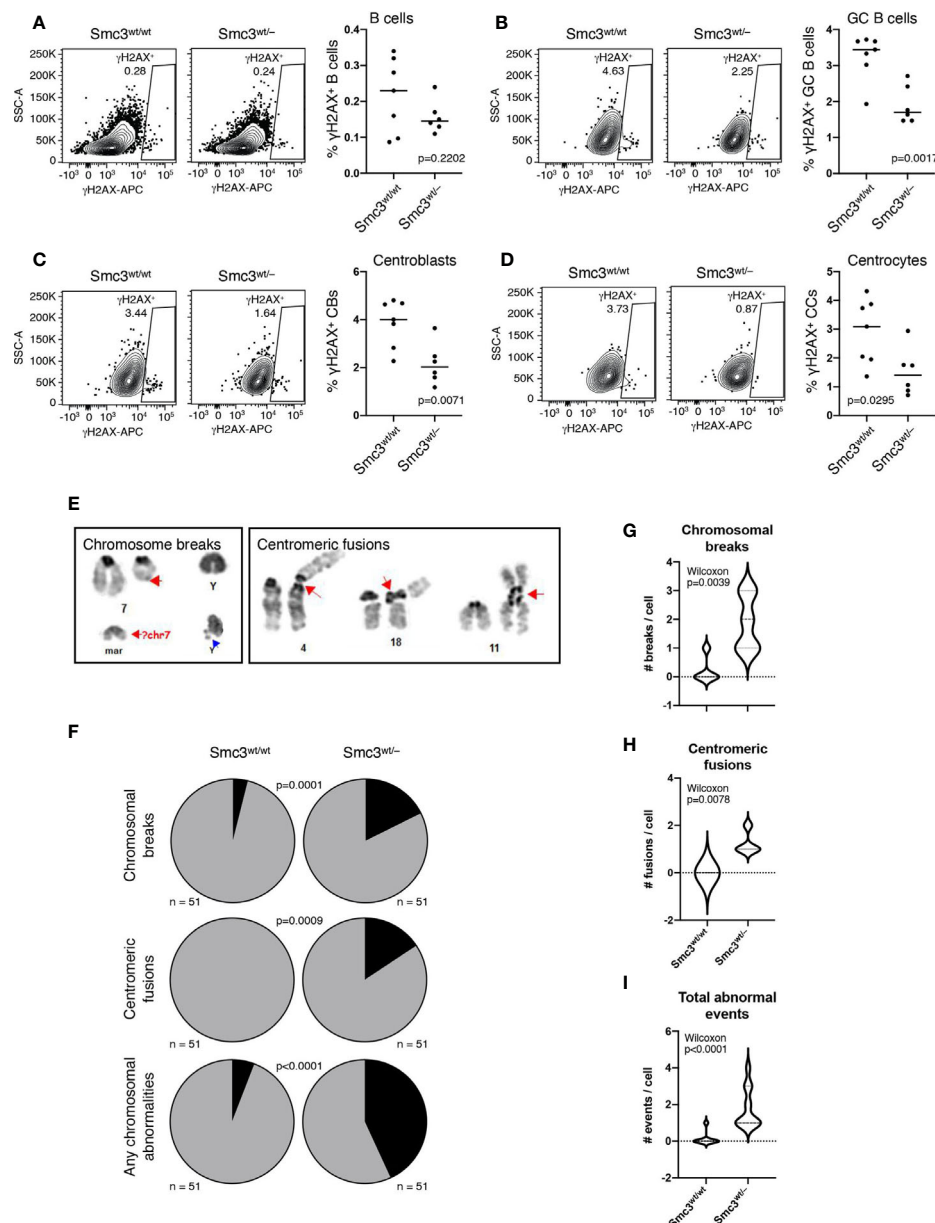


FIGURE 4 | *Smc3* haploinsufficiency increases DNA damage in germinal center B cells. **(A–D)** Gating strategy used to detect phospho- γ H2AX by flow cytometry (left) and quantification (right) in B cells **(A)**, germinal centers **(B)**, centroblasts **(C)**, and centrocytes **(D)**. **(E)** Identification and classification of chromosomal aberrations in induced GC B cells (iGC). **(F)** Quantification of the frequency of chromosomal breaks and centromeric fusions in IGCs of *Smc3*^{wt/wt} (n=3) and *Smc3*^{wt/wt} (n=3) mice, and **(G)**, quantification of chromosomal breaks, **(H)**, centromeric fusions per cell, or **(I)** total events per cell. Experiment shown is a representative one from 3 performed. P values calculated using a binomial test for expected versus observed frequencies **(F)** and Wilcoxon rank test for count distribution **(G–I)**.

structural lesions or differences in replication fork usage or activation (**Supplementary Figures 5A, B**).

These observations prompted us to perform exome capture for mutation profiling in Smc3/Bcl6 vs Bcl6 lymphoma cells, obtained from lymphoid tissues of moribund animals. Although Smc3/Bcl6 tumors showed higher variability in the total numbers of somatic mutations, these were not significantly different than tumor cells from the Bcl6 (Wilcoxon $p=0.24$, **Figure 5A**). Copy number gains and losses quantified as the percent mouse genome altered were also not significantly different between the Smc3/Bcl6 and the Bcl6 mouse models (**Figure 5B**). Activation induced cytosine deaminase (AICDA) is the main source of mutations in germinal center B-cells during the process of somatic hypermutation (3). We thus analyzed the mutation frequency of

125 off-target genes (i.e. non-immunoglobulin, **Supplementary Table 2**) in Bcl6 and Smc3/Bcl6 tumors. Interestingly, we found that only 8 genes were mutated in at least one Bcl6 tumor, while 119 of them were mutated in at least one Smc3/Bcl6 tumor. The identity of those genes was also different between the tumors, with *Traf6* and *Pim1* being amongst the most frequently mutated genes in Bcl6 tumors, and *Mycbp2* and *Brca1* amongst Smc3/Bcl6 tumors (**Figure 5C** and **Supplementary Table 2**). Overall, the lack of a clear gain in structural genomic variants in Smc3/Bcl6 lymphomas suggests that the types of lesions induced by Smc3 deficiency in GC B-cells may not yield efficient trajectories for malignant transformation, although the reduced DNA damage sensing may lead to accumulation of mutations in AICDA off-target genes.

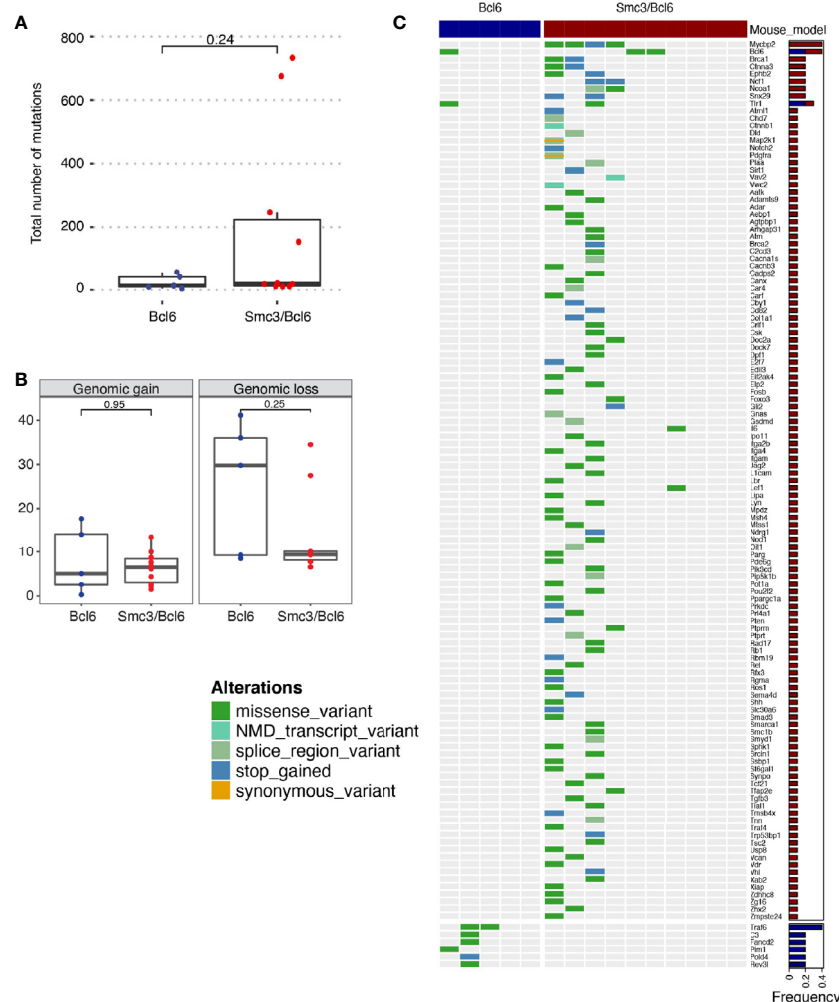


FIGURE 5 | Mutational analysis of Smc3/Bcl6 tumors. **(A, B)** Mutational burden of Bcl6 and Smc3/Bcl6 tumors assessed by exon capture analysis. **(A)** number of mutations per tumor. **(B)** Genomic gain and loss in Bcl6 and Smc3/Bcl6 tumors. **(C)** OncoPrint depicting AID-induced mutations in 125 non-immunoglobulin genes in Bcl6 ($n=5$) and Smc3/Bcl6 ($n=10$) tumors. Mutation frequency is shown in the right bar plot for Bcl6 (blue) and Smc3/Bcl6 (red). Mutations were classified as missense mutations, nonsense-mediated decay transcript variants, splice regions variants, stop gained, or synonymous variants, as indicated by the color key on the left of the oncoprint.

Decreased Cohesin Levels Predict Poor Survival in DLBCL Patients

The enrichment of *Smc3*^{wt/-} centrocyte transcriptional signature in accelerated lymphomas induced by *Smc3* haploinsufficiency, prompted us to explore whether these profiles are linked to clinical outcome DLBCL patients. Examining the RNA-seq profiles of 322 newly diagnosed DLBCL patients, we performed unsupervised clustering to define DLBCL patient clusters with high and low expression of human ortholog genes that are repressed in *Smc3*^{+/+} centrocytes (**Supplementary Figure 6A**). Cluster 1 contained 237 DLBCL patients and cluster 2 contained 85 DLBCL patients. Remarkably, patients in cluster 2 manifested significantly inferior overall survival (Log-rank test $p=0.013$, HR=1.69, 95% CI=1.11-2.2, **Figure 6A**) and inferior progression-free survival (Log-rank test: $p=0.006$, HR=1.6, 95% CI=1.16-2.22, **Figure 6B**) compared to those in cluster 1. To determine whether *Smc3* haploinsufficiency signature was associated with reduced expression of cohesin complex genes we examined the relative expression of *SMC3*, *SMC1A*, *RAD21*, *STAG1* and *STAG2* in our DLBCL patient cohort. Strikingly, all five of these genes were significantly reduced among the patients in cluster 2 (**Supplementary Figure 6B**). When DLBCL tumors were classified according to their gene expression profiles as belonging to the germinal center B cell-like subtype (GCB, $n=186$) or activated B cell-like subtype (ABCs, $n=108$), we observed that the cohesin low cluster 2 still displayed decreased overall survival (Log-rank test $p=0.002$, HR=2.11, 95% CI=1.34-3.33, **Figure 6C**), and decreased progression-free survival (Log-rank test $p=0.006$, HR=2.13, 95% CI=1.39-3.27, **Figure 6D**) in the GCB subtype, but not among the ABC-DLBCLs (overall survival Log-rank test $p=0.86$, HR=1.05, 95% CI=0.63-1.72, **Supplementary Figure 6C**, and progression-free survival Log-rank test $p=0.931$, HR=0.98, 95% CI=0.6-1.59, **Supplementary Figure 6D**).

We validated these findings in an independent cohort of 243 DLBCL patients (15), where unsupervised clustering using the *Smc3* haploinsufficient gene signature defined two clusters, of 156 and 87 patients, respectively (**Supplementary Figure 6E**). In striking similarity, cluster 2 displayed decreased expression of all five cohesin core subunits (**Supplementary Figure 6F**) and a significantly shorter overall survival (Log-rank test $p=0.0174$, HR=1.64, 95% CI=1.10-2.45, **Supplementary Figure 6G**) compared to cluster 1.

Consistent with our findings, lower abundance of *Smc3* mRNA was shown to be associated with worse clinical outcome (6), but our data suggest a broader association of clinical outcomes with cohesin subunit expression. We therefore performed univariate Cox regression for cohesin subunits *SMC1A*, *RAD21*, *STAG1* and *STAG2*, and found a similar inverse correlation with overall survival across two independent cohorts of 322 and 757 DLBCL patients, with the exception of *STAG1* (**Figure 6E**). This effect was still observed in multivariate Cox analysis that include age, sex and DLBCL subtype (**Figure 6F**). These results strongly link reduced cohesin dosage with more aggressive disease among DLBCL patients, in line with observations of lymphomagenesis in *Smc3* haploinsufficient mice (6).

DISCUSSION

Recent pan-cancer studies have shown that cohesin and its regulators are among the most frequently mutated genes in cancer. Mutations in genes encoding cohesin subunits were first reported in colorectal cancer (54), and later in glioblastoma, Ewing sarcoma and melanoma (55). Chromosome missegregation has been suggested as a mechanism of cohesin dysfunction to tumorigenesis. Yet sequencing of acute myeloid leukemia (AML) patient specimens revealed the presence of recurrent mutations in all four core cohesin subunits but not associated with cytogenetic abnormalities (56). The correlation between *STAG2* mutations and aneuploidy in bladder cancer is also unclear (57, 58). Even though somatic mutations of core cohesin genes in GC derived lymphomas are exceptionally rare, it was previously shown that *Smc3* could still function as a tumor suppressor in these cells (6). Herein we explored potential mechanisms through which this might occur and examined this from both the genomic stability and transcriptional regulatory standpoints (**Figure 7**).

Notably we did observe chromosomal structural aberrances in cohesin haploinsufficient GC cells, in contrast to what has been reported in myeloid cells (59). This might be explained by the fact that GCB cells are already at increased genotoxic stress compared to other cells types. For example, it is well established that the critical GCB transcription factor *BCL6* represses checkpoint and DNA damage response genes (49, 50). Therefore, it is possible in this context that DNA damage due to reduced cohesin dosage is not properly sensed or repaired, tipping the balance towards accumulation of DNA damage. In spite of this, we did not observe increased abundance of DNA damage in *Smc3* haploinsufficient murine lymphomas. Perhaps this may be due to cells experiencing major chromosomal structural aberrancies being negatively selected during the transformation process. Nonetheless, taking together the apparent impairment in DNA damage sensing that we observed in *Smc3*^{wt/-} GC B-cells and more frequent mutations in *AICDA* off-target genes in *Smc3/Bcl6* lymphomas does suggest a potential genetic contribution of *Smc3* deficiency to lymphomagenesis, pointing to the need for further investigation into this possibility. Along these lines, a recent publication revealed a role for the cohesin complex during DNA damage and γ H2AX mark deposition (53). According to that model, cohesin complex loop-extrusion activity plays a critical role in detection of double strand breaks and topologically associating domains are the functional units of the DNA damage response, being instrumental for the correct establishment of γ H2AX-53BP1 chromatin domains in a manner that involves one-sided cohesin-mediated loop extrusion on both sides of the double strand break. The authors proposed that H2AX-containing nucleosomes are rapidly phosphorylated as they actively pass by double strand breaks-anchored cohesin. Here, we speculate that cohesin haploinsufficiency attenuates detection of double strand breaks. This would explain both the decreased levels of γ H2AX and increased chromosomal aberrations observed in *Smc3*^{wt/-} GC B-cells.

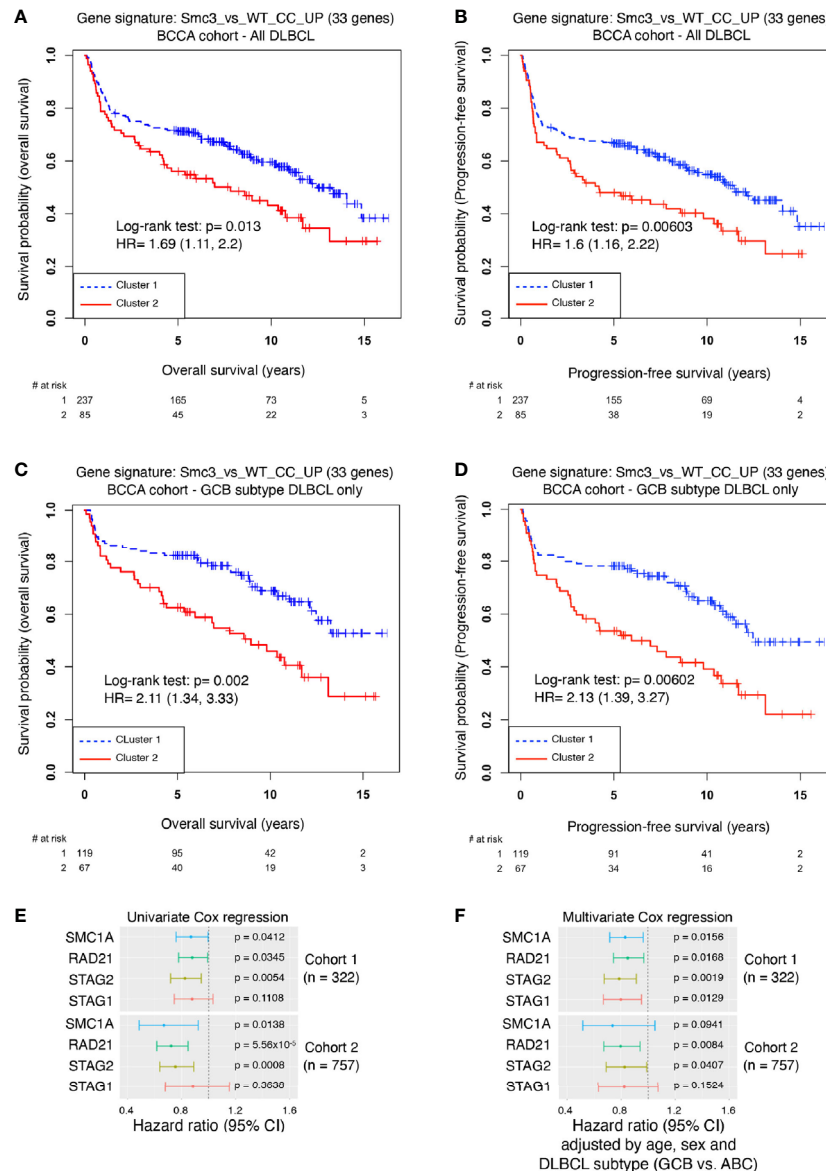


FIGURE 6 | Decreased cohesin levels predict poor survival in DLBCL patients. **(A)** Kaplan-Meier overall survival curves for DLBCL patients ($n=322$) in BCCA cohort clustered with the *Smc3* haploinsufficient gene signature (6). **(B)** Kaplan-Meier progression-free survival curves for DLBCL patients ($n=322$) in BCCA cohort clustered with the *Smc3* haploinsufficient gene signature (6). **(C)** Kaplan-Meier overall survival curves for GCB-subtype DLBCL patients ($n=186$) in cluster 1 and 2. **(D)** Kaplan-Meier progression-free survival curves for GCB-subtype DLBCL patients ($n=186$) in cluster 1 and 2. **(E)** Univariate Cox regression analysis, and **(F)** multivariate Cox regression analysis were performed in two cohorts of DLBCL patients (cohort 1, $n = 322$ individuals; cohort 2, $n = 757$ individuals). In both cases, *SMC1A*, *RAD21*, *STAG2* and *STAG1* expression levels were used as a continuous variable. Multivariate analysis was adjusted by age, sex and DLBCL subtype of the individual. Error bars represent 95% confidence intervals of the hazard ratio.

On the other hand, *Smc3* haploinsufficient lymphomas did manifest transcriptional and architectural perturbations consistent with those observed in *Smc3* haploinsufficient centrocytes. This includes repression of genes that are also aberrantly repressed by loss of function of two DLBCL epigenetic tumor suppressor genes *TET2* and *KMT2D*. *Tet2* normally mediates enhancer cytosine hydroxymethylation whereas *Kmt2d* mediates enhancer H3K4 mono and demethylation (60, 61). Loss of function of these genes leads to

impaired enhancer function with repression of the respective genes and accelerated lymphomagenesis in mice (43, 44). This is reminiscent of and consistent with the impaired enhancer-promoter interactions that we observe by Hi-C in *Smc3*^{wt/-} murine lymphomas. The phenotype of *Tet2*^{-/-} GCs is especially similar to that of *Smc3*^{wt/-} and *Tet2* deficiency also cooperates with *Bcl6* to induce accelerated lymphomagenesis (44). The finding that the *Tet2* gene itself showed impaired connectivity with upstream and downstream enhancers and

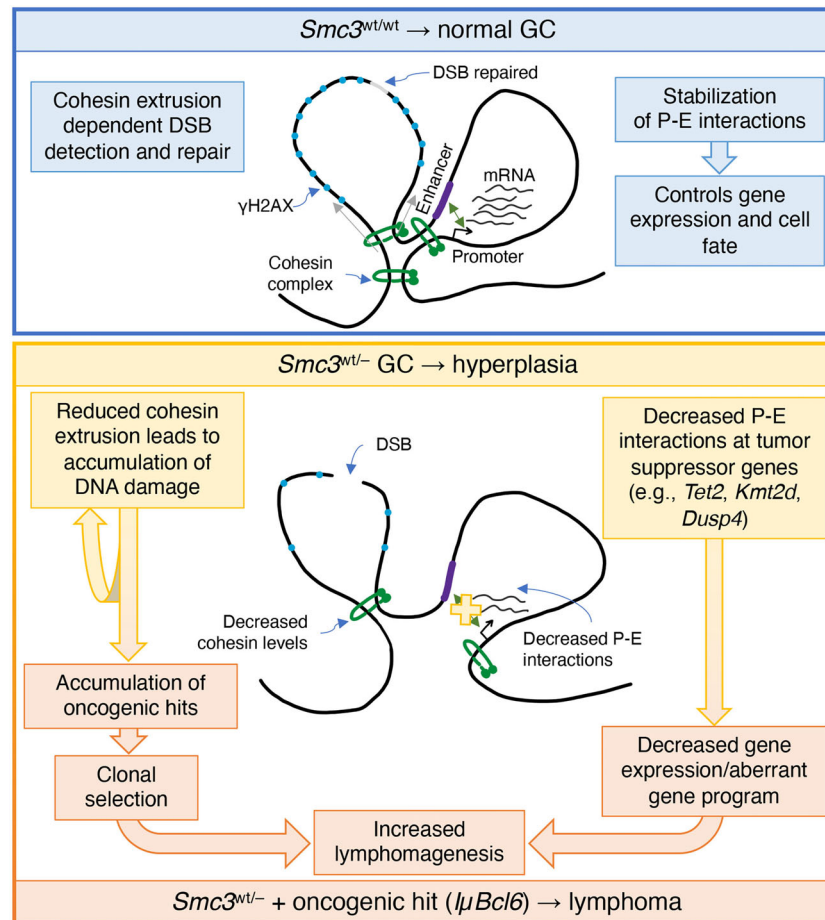


FIGURE 7 | Model of cohesin haploinsufficiency induced lymphomagenesis. Biallelic dosage of the *Smc3* cohesin subunit enables promoter-enhancer (P-E) interactions of critical genes for cell identity and cell fate. In addition, the extrusion function of cohesin plays an important role in detection of double strand breaks (DSB) and establishment of phosphorylated histone H2AX (γ H2AX). In *Smc3* haploinsufficient GCs, decreased promoter-enhancer interactions in tumor suppressor genes such as *Tet2*, *Kmt2d* and *Dusp4*, result in decreased gene expression and/or alteration of the gene program. Defective detection of DSB leads to accumulation of chromosomal aberrations that are mechanistically linked to reduced abundance of *Smc3* protein and hence fewer cohesin loop extrusion complexes, both of which may play a role in lymphomagenesis.

reduced expression in *Smc3*^{wt/-} murine lymphomas further underlines the potential mechanistic and biological links between *Tet2* and cohesin complex in GC lymphomagenesis. Along these lines, it is notable that *Smc3*^{wt/-} signature is linked to reduced expression of cohesin complex genes and is most clinically significant in GCB-subtype DLBCL, where *Tet2* and *Kmt2d* loss of function are most clearly deleterious (44, 62). Although tumors derived from *Smc3* haploinsufficient B cells display a *Kmt2d* loss of function-like transcriptional profile, we did not detect consistent downregulation of *Kmt2d* mRNA itself in tumor cells. Whether the transcriptional profile observed is due to an earlier downregulation of *Kmt2d* and epigenetic maintenance of the aberrant transcriptional status or if it is simply due to overlap with *Tet2* loss of function signature remains unknown. Taken together, these findings, suggest that the oncogenic impact of cohesin loss of function in GC B-cells is mainly due its transcriptional and architectural effect related to gene enhancers, and not to genomic instability. It is interesting to

speculate to what extent cohesin complexes might act in a coordinate manner with *KMT2D* and *TET2* to control enhancer functions.

Our data point to the lymphomagenic effect of *Smc3* deficiency manifesting specifically during late stages of GC exit when B-cells undergo terminal stages of plasma cell commitment. In general, differentiation requires that cells undergo various rounds of cell division. As cells exit from mitosis, cohesin is recruited to chromatin and regenerates the architectural features optimal for cell context dependent transcriptional programs to be maintained (63). Presumably post-mitotic architectural reconfiguration of the genome provides an opportunity to favor new architectural settings required for differentiation. Along these lines it is notable that we traced the effect of *Smc3* haploinsufficiency to crucial, late cell divisions that give rise either to CD138⁺ plasma cells or CD138⁻ B-cells. This is consistent with a previous report showing that early events during PC differentiation, such as induction of *Irf4*,

remain intact in *Smc3*^{w^t-} B-cells, but late events such as *Prdm1* upregulation are impaired (6). We speculate that this leads to accumulation of greater numbers of mutated post GC B-cells, which may serve as the cell of origin of lymphomas observed in these mice. Hence it is possible that our findings could reflect loss of asymmetric division in B-cells as a potential mechanism of malignant transformation.

Finally, our results suggest that cohesin dose reduction contributes to lymphoma phenotypes in humans, in spite of the fact that cohesin mutations are uncommon in DLBCL. This is supported by the fact that DLBCLs enriched for lower expression of genes downregulated by *Smc3* haploinsufficiency also features reduced expression of cohesin core subunits as well as inferior clinical outcomes, an effect that was reproducible across two, large independent cohorts of patients. Moreover, and consistent with a previous report indicating that *Smc3* expression is a negative prognostic factor in DLBCL (6), we showed that reduction in the four core subunits *SMC3*, *STAG2*, *SMC1A* and *RAD21* are all independent adverse risk factors. What remains to be determined is the mechanism through which cohesin expression is suppressed in these tumors, as well as the reason why this may be the preferred route to cohesin impairment instead of somatic mutations. Regardless, our data strongly support the notion that cohesin complex does play critical roles in lymphomagenesis and warrants further in-depth mechanistic study and consideration of potential therapeutic vulnerabilities.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://www.ncbi.nlm.nih.gov/geo/>, GSE172332.

ETHICS STATEMENT

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements. The animal study was reviewed and approved by Weill Cornell Medicine.

AUTHOR CONTRIBUTIONS

MR conceptualized, designed and performed research, analyzed and interpreted data, drafted the manuscript and supervised the study. CD analyzed RNA-sequencing, and single cell RNA-sequencing with the help of CC. AKI analyzed Hi-C. ZC analyzed patient data. BB analyzed exome capture. AKo analyzed whole genome sequencing. AV provided the *Smc3* mouse model. CS and JB performed the RNA-sequencing of

LPS-induced plasma cells. OE and CM provided expertise and resources for data analysis. AM participated in the study conceptualization, interpretation of data, drafting of the manuscript, acquisition of funds and supervision of the project. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.688493/full#supplementary-material>

Supplementary Figure 1 | Aberrant gene expression program in *Smc3* haploinsufficient tumors. **(A)** RT-qPCR for *Smc3* mRNA in *Bcl6* (n=3) and *Smc3/Bcl6* (n=3) tumors, normalized to *Hprt1* mRNA expression. **(B)** Principal component analysis for *Bcl6* and *Smc3/Bcl6* tumor cell RNA-sequencing. **(C)** Dendrogram of unsupervised hierarchical clustering for *Bcl6* and *Smc3/Bcl6* tumor cell RNA-sequencing. **(D)** Normalized counts for cohesin subunits, cohesin regulators and *Ctcf* in *Bcl6* (n=3) and *Smc3/Bcl6* (n=6) tumors.

Supplementary Figure 2 | Cohesin haploinsufficiency induces loss of interactivity of promoter-enhancers at tumor suppressor genes. **(A)** Contact maps at 100kb

resolution for Bcl6 and Smc3/Bcl6 tumors depicting chromosomal interactions for the whole chromosome 14 (top panels) and for nucleotides 92 to 107 Mb in chromosome 14. **(B)** A (red) and B (blue) compartments genome wide (top panel) and for chromosome 14 in Bcl6 and Smc3/Bcl6 tumors (bottom panel), each at 100kb resolution. **(C)** Differential loop analysis ranked by log₂ fold change showing either all significantly called loops genome wide (violet line) or all promoter-enhancer loops (black line). A normal distribution with mean equals 0 and standard deviation equals the standard deviation of all promoter-enhancer loops is depicted as green line. Top up and down regulated loops in Smc3/Bcl6 tumors are highlighted for promoter-enhancer loops. **(D)** Aggregate peak analysis (APA) of Hi-C-identified loops from Bcl6 (left) and Smc3/Bcl6 (right) tumor cells. The heatmaps were generated by using the raw chromatin interaction frequency. **(E)** Virtual 4C analysis showing normalized interactions with the *Kmt2d* or **(F)** *Dusp4* promoter for Bcl6 tumors (blue line) and Smc3/Bcl6 tumors (red line) at 20kb resolution. Loop calling significance following the Mango approach are shown for Bcl6 and Smc3/Bcl6 tumors with $-\log_{10}(\text{FDR})$. Enhancers were defined as H3K27Ac peaks mapped in germinal center B cells by Mint-ChIP. Rad21 ChIP-seq was performed in the mouse lymphoma cell line CH12.LX. The differences between normalized interactions with the *Kmt2d* **(E)** or *Dusp4* **(F)** promoter are shown as log₂ fold-change between Bcl6 and Smc3/Bcl6 tumors. **(G)** RPKM values for *Ints12* gene in Bcl6 and Smc3/Bcl6 tumors. NS, non-significant differences.

Supplementary Figure 3 | Smc3 haploinsufficient cells undergo proliferation burst but fail to differentiate into plasma cells. UMAP depicting cell lineage from CB → PC.

Supplementary Figure 4 | Smc3 haploinsufficiency increases DNA damage in germinal center B cells. **(A)** Gating strategy used to detect phospho-γH2AX by flow

cytometry in B cells, germinal centers, centroblasts, and centrocytes. **(B)** Freshly isolated resting B cells (control) and 90 Gy irradiated resting B cells (irradiated) were stained with anti-γH2AX antibodies and analyzed by FACS. Averages of percent positivity for γH2AX control and irradiated are shown in the plot below. **(C)** Scheme depicting the induced GC B cell culture system. **(D)** Representative karyotype arrangement produced from iGC B cells.

Supplementary Figure 5 | Copy number and replication fork usage analysis in primary Smc3 haploinsufficient germinal center B cells. **(A)** DNA copy number for mouse chromosomes 1 to 19 and ChrX in Smc3^{wt/wt} (upper plot), Smc3^{wt/-} (middle plot), and the difference (lower plot). **(B)** DNA replication timing for Chr 2 calculated by assessing the DNA copy number along the whole chromosome for Smc3^{wt/wt} (blue line) and Smc3^{wt/-} (green line).

Supplementary Figure 6 | Decreased cohesin levels predict poor survival in DLBCL patients. **(A)** Dendrogram showing assignment of patients from the BCCA cohort to clusters 1 and 2, defined by unsupervised hierarchical clustering using the Smc3 haploinsufficient gene signature. **(B)** Expression levels of cohesin core subunits in cluster 1 and cluster 2 in the BCCA cohort. **(C)** Kaplan-Meier overall survival curves for ABC-subtype DLBCL patients (n=108) in BCCA cohort clustered with the Smc3 haploinsufficient signature (6). **(D)** Kaplan-Meier progression-free survival curves for ABC-subtype DLBCL patients (n=108) in BCCA cohort clustered with the Smc3 haploinsufficient signature (6). **(E)** Dendrogram showing assignment of patients from the NCI cohort to clusters 1 and 2, defined by unsupervised hierarchical clustering using the Smc3 haploinsufficient gene signature. **(F)** Expression levels of cohesin core subunits in cluster 1 and cluster 2 in the NCI cohort. **(G)** Kaplan-Meier overall survival curves for DLBCL patients (n=243) in NCI cohort clustered with the Smc3 haploinsufficient gene signature (6).

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UnAIDed Class Switching in Activated B-Cells Reveals Intrinsic Features of a Self-Cleaving IgH Locus

Iman Dalloul¹, Brice Laffleur², Zeinab Dalloul¹, Batoul Wehbi¹, Florence Jouan², Baptiste Brauge², Paco Derouault³, Jeanne Moreau¹, Sven Kracker⁴, Alain Fischer⁴, Anne Durandy⁴, Sandrine Le Noir¹ and Michel Cogné^{1,2*}

¹ Institut National de la Santé et de la Recherche Médicale (INSERM) U1262, Centre National de la Recherche Scientifique (CNRS) Unité Mixte de Recherche (UMR) 7276, Limoges University, Limoges, France, ² Institut National de la Santé et de la Recherche Médicale (INSERM) U 1236, Rennes1 University, Rennes, France, ³ Centre Hospitalier Universitaire (CHU) Dupuytren, Limoges, France, ⁴ Institut National de la Santé et de la Recherche Médicale (INSERM) Unité Mixte de Recherche (UMR) 1163, Laboratory of Human Lympho-hematopoiesis, Imagine Institute, Université de Paris, Paris, France

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Javier Marcelo Di Noia,
Montreal Clinical Research Institute
(IRCM), Canada
Jiazhi Hu,
Peking University, China

*Correspondence:

Michel Cogné
michel.cogne@inserm.fr

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Activation-induced deaminase (AID) is the major actor of immunoglobulin (Ig) gene diversification in germinal center B-cells. From its first description, it was considered as mandatory for class switch recombination (CSR), and this discovery initiated a long quest for all of the AID-interacting factors controlling its activity. The mechanisms focusing AID-mediated DNA lesions to given target sequences remain incompletely understood with regards the detailed characterization of optimal substrates in which cytidine deamination will lead to double strand breaks (DSBs) and chromosomal cleavage. In an effort to reconsider whether such CSR breaks absolutely require AID, we herein provide evidence, based on deep-sequencing approaches, showing that this dogma is not absolute in both human and mouse B lymphocytes. In activated B-cells from either AID-deficient mice or human AID-deficient patients, we report an intrinsic ability of the *IgH* locus to undergo “on-target” cleavage and subsequent synapsis of broken regions in conditions able to yield low-level CSR. DNA breaks occur in such conditions within the same repetitive S regions usually targeted by AID, but their repair follows a specific pathway with increased usage of microhomology-mediated repair. These data further demonstrate the role of AID machinery as not initiating *de novo* chromosomal cleavage but rather catalyzing a process which spontaneously initiates at low levels in an appropriately conformed *IgH* locus.

Keywords: B lymphocyte, class switch DNA recombination (CSR), AICDA, immunoglobulin, class switch

INTRODUCTION

Germinal center B-cells actively undergo remodeling of their immunoglobulin (Ig) loci while being selected for antigen binding. This results in the emergence of cells carrying a B-cell receptor with higher affinity for antigen after somatic hypermutation (SHM) of rearranged Ig V(D)J genes and which undergo class switch recombination (CSR) of Ig heavy chain (*IgH*) constant (*C_H*) genes. SHM

and CSR are initiated by activation-induced deaminase (AID), a member of the AID/APOBEC family of enzymes deaminating cytidines into uridines. By initiating DNA lesions in the repetitive S regions that precede C_H regions, AID is the key enzyme responsible for the CSR of C_H genes (1, 2). In some conditions, it can also initiate complete deletion of the constant gene cluster and locus suicide recombination (3, 4).

The targeting of Ig genes by AID requires preexisting chromatin accessibility and transcription, which expose single-stranded DNA within transcription bubbles and R-loops. In such Ig target sequences, AID deamination is focused on WRC motifs (W = A/T, R = A/G) (5). Off-target lesions are also found at a much lower frequency in some non-Ig genes transcribed in B-cells and can eventually contribute to lymphomagenesis (6, 7).

The C_H regions of Ig genes usually escape AID lesions while being transcribed by RNA polymerase II (RNAPII) in its elongating form (phosphorylated on the C-terminal domain at Ser2) and carrying the histone marks H4K20me1 and H3K36me3, which recruit histone acetyltransferases (8). In contrast, the S regions are enriched for hyperacetylated (Ac) H3K9 and trimethylated histone H3 on lysine 4 (H3K4me3), with local recruitment of histone deacetylases (8, 9). S_{μ} is additionally enriched in trimethylated histone H3 at lysine 9 (H3K9me3), which recruits KRAB domain-associated protein 1 and heterochromatin protein 1 (HP1) (10). On its main targets, i.e., V and S regions, AID interacts with stalled RNAPII phosphorylated at Ser5 and bound by the transcription elongation factor Spt5 (11). Additional RNAPII-associated factors (PAF) also help recruit AID (12). Both V and S regions are locations for paused RNAPII, but this is increased within S regions by the local abundance of DNA repeats, secondary structures, and R-loops where transcribed RNA remains associated with the DNA template strand (13). AID preferentially binds to structured DNA, notably G-quadruplex (G4) structures, with also a likely contribution of G4-rich transcripts in AID recruitment (14, 15). In mammals, dense G4 structures present on the non-template strand of S-regions promote the formation of R-loops, and pharmacological G4 ligands were shown to inhibit CSR (16). To access both strands of transcribed Ig genes *in vivo*, AID requires a prior activity of the RNA exosome complex, tethered to RNAPII by Spt5/Spt6 (17). Within R-loops, the RNA exosome removes RNA from the template strand, which provides equivalent accessibility of both DNA strands to cytosine deamination by AID and also participates in the correct conformation of the topologically associating domain (17, 18). The S regions have specific transcription patterns, with abundant antisense transcription and the presence of multiple alternate transcription start sites (19). The location of S regions within spliced introns is an additional prerequisite for CSR and might promote the interactions of AID and Spt5 with spliceosome-associated factors, such as CTNBL1 (9, 20).

These features altogether engender an abundant occurrence of DNA lesions along extended domains of the target S regions, where staggered AID-initiated single-strand cleavages affecting either DNA strand are followed by DSBs. While such breaks are often considered as totally AID dependent, we wished to reconsider whether they could occur at low levels in the absence of AID.

MATERIALS AND METHODS

Patients

DNA from AID-deficient human patients included in this study included one tonsil DNA sample (patient P4) and DNA from three peripheral blood samples (patients P3, P5, and P6). Biallelic mutations within the AICDA coding sequence were identified in all patients. Patient P3 carried a nonsense W68X mutation at the beginning of the AICDA exon 3 (which encodes the catalytic domain of AID) on one allele and a complete deletion of exon 3 on the other allele. Patients P4 and P5 were family relatives, and both carried the same W68X nonsense mutation within exon 3 on one allele and a three-codon deletion affecting exon 3 on the other allele. These three patients have been described in detail, including for clinical manifestations and immune phenotype in the initial report from Revy et al. (2). Besides serum IgM at 1, 1.5, and 2.4 mg/ml, respectively, in patients P3, P4, and P5, those three patients had serum levels of class-switched IgG and IgA below the detection threshold, except for a low but detectable level (0.4 mg/ml) of serum IgG in patient P4 (2). Patient P6 is previously unpublished and carried a homozygous K22X nonsense mutation terminating the AID coding sequence within exon 2. The serum Ig levels in this patient were as follows: IgM, 0.65 mg/ml; IgG, 0.10 mg/ml; and IgA below 0.01 mg/ml. The AID alterations in patients are summarized in **Supplementary Figure S1**. Patients P3 and P6 are clearly affected on both alleles with loss-of-function (LOF) mutations severely truncating or deleting the catalytic domain. Patients P4 and P5 carry a clear LOF mutation on one allele, while it is debatable whether partial enzymatic activity could remain for the allele affected with the three-codon deletion.

Samples were obtained after receiving informed consent from the parents of patients.

Mice

Our research was conducted under ethical agreement APAFIS no. APAFIS#16689-2018091017202113 v3. The wild-type (WT), homozygous RAG2-deficient (referred to as Rag^{-/-}), and homozygous AID-deficient (referred to as AID^{-/-}) mice (a kind gift from Pr. T. Honjo) used for our experiments were maintained at 21–23°C with a 12-h light/dark cycle.

Ovalbumin and Sheep Red Blood Cells

WT, Rag, and AID-deficient mice were injected intraperitoneally with an emulsion of 50% V/V complete Freund's adjuvant (Sigma-Aldrich) and 1 mg/ml ovalbumin (Sigma-Aldrich). The mice were sacrificed at day 14.

The WT, Rag, and AID-deficient mice were injected intraperitoneally with 200 μ l sheep red blood cells (SRBC) at day 0 and were boosted with 200 μ l SRBC at day 7. The mice were sacrificed at day 17.

Sample and Cell Preparations

Blood samples were recovered from WT, RAG, and AID-deficient mice at days 0, 7, and 14 after ovalbumin (OVA) immunization and at days 0, 7, and 17 after SRBC

immunization with heparinized needles. Plasma samples were recovered by centrifugation and stored at -20°C until use.

Splenocytes were collected at sacrifice, red blood cells were lysed, and B-cells were isolated using EasySep™ Mouse B-cell Isolation Kit (Stem cell). B-cells were cultured for 4 days in RPMI containing 10% fetal calf serum with lipopolysaccharide (LPS) (1 $\mu\text{g}/\text{ml}$) (Invivogen) + IL-4 (20 ng/ml) (Peprotech). Supernatants were recovered and stored at -20°C until use.

For immunofluorescence, sections (18 μm thick) of frozen spleen fixed with acetone, were labeled with fluorescent Abs (Jackson immunoresearch, Alexa 647 Goat Anti-Mouse IgG1, ref 115-605-205 and Alexa 488 Goat Anti-IgG2b, ref 115-545-207). Nuclei were stained with DAPI.

Class-Specific ELISA

ELISA was performed on sera or supernatants from *in vitro* stimulated primary B-cells for the detection of various Ig classes and subclasses. Plates were coated overnight with monoclonal antibodies specific for IgM, IgA, IgG1, and total IgG (Southern Biotech). Anti-OVA-specific Abs produced *in vivo* after immunization were evaluated in sera by coating the plates with 10 $\mu\text{g}/\text{ml}$ OVA. Sera or supernatants were added and incubated for 2 h at 37°C . After washing, alkaline phosphatase (AP) conjugates of goat anti-mouse IgM, IgG1, IgA, and total IgG (Southern Biotech) were incubated for 1 h at 37°C . Following washing and addition of AP substrate (Sigma), absorbance was measured at 405 nm. The specificity of the anti-sera used in ELISA for the identification of class-switched Ig was checked by verifying the absolute lack of signal yielded in the assays when using four different monoclonal mouse IgM clones [mouse IgM isotype control clone 11E10 (Southern Biotechnologies) and anti-ABO mouse IgM clones BHS17, AY144, and E11 (French National Blood Center)] as negative controls (**Supplementary Figure S2**). The specificity of class-switched Ig ELISA detection was further indicated by a polyclonal internal control, observing that serum from a naive mouse with spontaneously high IgM was not producing detectable IgG or IgA prior to immunization (**Supplementary Figure S2**).

Amplification of $\text{S}\mu/\text{S}\gamma$ Junctions for Sequencing by CSRseq

DNA from SRBC immunized B-cells isolated from spleens of WT and AID-deficient mice was extracted using GenElute Mammalian Genomic DNA miniprep Kit (Sigma Aldrich). Murine $\text{S}\mu/\text{S}\gamma$ junctions were amplified in triplicate by nested PCR with 100 ng DNA (Phusion HF polymerase, BioLabs) using the following primers: $\text{S}\mu$ Nest1 For (5'-A GAGACCTGCAGTTGAGGCC-3') and $\text{S}\gamma$ consensus1 Rev (5'-TCAGGGAARTAVCCYTTGACCAGGCA-3') for PCR1 $\text{S}\mu/\text{S}\gamma$ junctions and $\text{S}\mu$ Nest2 For (5'-CCAGCCACAGTAATGACCCAG-3') and $\text{S}\gamma$ consensus2 Rev (5'-CCARKGGATAGACHGATGGG G-3') for PCR2.

Human $\text{S}\mu/\text{S}\gamma$ junctions were amplified as previously described (4). Each library was prepared using 200 ng of PCR2 product. Barcoded libraries with 200-bp read lengths were prepared using Ion Xpress plus Fragment Library Kit (Thermo Fisher Scientific)

according to the instructions of the manufacturer. Each barcoded library was mixed in equal amounts and diluted to 100 pM. The libraries were run on chip 540 on the Ion S5 sequencer (Life Technologies). Data were analyzed using the CSReport software (21). This algorithm first aligns sequences with $\text{S}\mu$ (set for identities higher than 90% and longer than 40 nucleotides) and explores identities to downstream S regions when the alignment with $\text{S}\mu$ stops (again tracking identities higher than 90% and longer than 40 bp). The annotated IgH locus switch sequences considered for aligning mouse and human sequences are provided in **Supplementary Table S1**.

Quality controls for the whole CSRseq procedure process were done by processing non-lymphoid DNA samples (embryonic stem cell DNA for mouse assays and DNA from the human carcinoma cell line Hep2 for human assays). For both human and mouse assays, no CSR junction was obtained from such non-lymphoid samples, validating that the protocol safely identifies true CSR junctions from the template DNA and not PCR-built assemblies.

Data Accessibility

Raw sequencing data and a table reporting processed data from the CSReport algorithm have been deposited on GEO.

RESULTS

We evaluated the AID dependence of B-cell responses using a colony of homozygous AID-deficient mice that had been bred for at least two generations.

Circulating Ig levels were quantified in blood from 8–10-week-old animals. Total Ig levels from AID-deficient mice were compared to those from either wild-type or RAG2-/- immunodeficient mice. Circulating IgM in mice bred in specific and opportunistic-free conditions did not significantly differ from wild-type controls, in agreement with the lack of overt hyper-IgM previously documented by Honjo and colleagues in 10-week-old AID-deficient mice (22). By contrast, the total IgA level was below the detection threshold, while class-switched IgG was very low, with a mean \pm standard deviation of 55 ± 35 ng/ml , but still clearly detectable (**Figure 1A**).

A similar profile was obtained when evaluating Ig production *in vitro* in supernatants of B-cells activated with either LPS+TGF β (**Figure 1B**, right panel) or LPS+IL4 (**Figure 1B**, left panel), which respectively yielded no IgA but a low level of IgG1, together with IgM secreted in amounts similar to WT B-cells. Basal IgG production thus remains possible both *in vivo* in mice and *in vitro* in stimulated B-cells, in conditions of complete AID deficiency.

Since the functional role of Ig is to bind specific antigens as antibodies, we evaluated the dynamic process of the humoral response following immunization of AID-proficient compared to AID-deficient animals and to RAG-deficient mice. We monitored total IgM, IgG1, or IgA after immunization with the particulate Ag SRBC. In WT mice, total serum IgM and total serum IgA did not significantly vary from day 0 to 17 (**Figure 2A**, left panel), while at a much lower level, AID-deficient mice, by

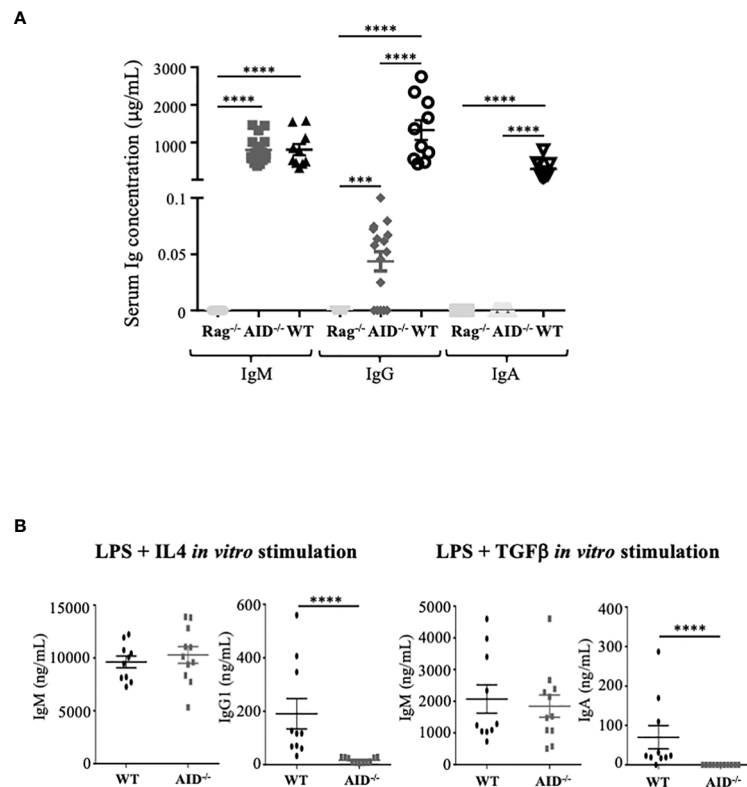


FIGURE 1 | Ig secretion by activation-induced deaminase (AID)-deficient mice. **(A)** Basal serum level of Ig in non-immunized mice. **(B)** *In vitro* secretion of Ig in B-cells from wild-type (WT) and AID-deficient mice stimulated for 4 days with lipopolysaccharide and IL-4 (left) or with LPS+TGFβ (right). The supernatants were quantified by ELISA for IgM, IgG1, and IgA. The data represent mean concentrations \pm SEM from two independent experiments with at least three WT and five AID-deficient mice. Mann-Whitney test was used for significance. **** p < 0.0001.

contrast, displayed about an eightfold increase in total IgG during the same time period, reaching a mean of 0.4 ± 0.38 μ g/ml, and total IgA which was initially undetectable but finally reached a mean of 0.7 ± 0.65 μ g/ml at day 17 (**Figure 2A**, middle and right panels). The validity of these evaluations of switched Ig classes, without cross-reaction with IgM, was further attested by the lack of correlation with total IgM levels (**Supplementary Figure S3**). As expected, all Ig levels remained undetectable in control RAG-deficient mice immunized in parallel.

Immune stimulation with a more strictly defined protein Ag, OVA, resulted in the same profile but with a weaker impact on total IgG levels and with no detectable induction of serum IgA (**Figure 2B**). The latter condition of OVA immunization yielded anti-OVA IgM at normal levels in AID-deficient mice but was also associated with anti-OVA IgG1 at low but significant levels, clearly above the background obtained in non-responding RAG-deficient mice (**Figure 2C**).

The switched Ig produced in low amounts in AID-deficient mice was thus not just bystander products secreted after random recombination events in B-cells but dynamically followed B-cell stimulation and included Ag-specific switched IgG after immunization.

In order to explore the type of recombination occurring in activated B-cells from AID-deficient mice, we used the high-

throughput CSRseq method to identify sequences of S μ -S γ junctions amplified through long-distance PCR. Sequencing reads showing identical junctions were assembled into clusters which were comparatively quantified for abundance and structure. Experiments using similar inputs (100 ng) of spleen DNA from immunized mice scored much more abundant CSR clusters in WT animals than in AID-deficient mice (mean 569 ± 282 instead of 32 ± 29 , $p = 0.0001$), but CSR junctions were thus still clearly detectable and diversified in the latter (**Figure 3A**, top). The CSR defect in AID-deficient B-cells is thus incomplete, and CSR detected in such polyclonal cells remains diversified in terms of breakpoint positions, suggesting that it does not correspond to rare accidental breaks.

We also had the opportunity to analyze DNA from one tonsil and three peripheral blood samples from four immunodeficient patients with a class-switching defect involving biallelic germline mutations located upstream or within the AICDA exon 3 which encodes the catalytic domain of AID. Similar to AID deficient-mice and although in lower abundance than in tonsil DNA from an AID-proficient control, diversified S μ -S γ junction sequences were detectable in all four patients (**Figure 3A**, bottom).

The structures of CSR DNA junctions in lymphoid tissues from AID-deficient mice and patients were compared with regards to repair and the relative occurrence of either flush

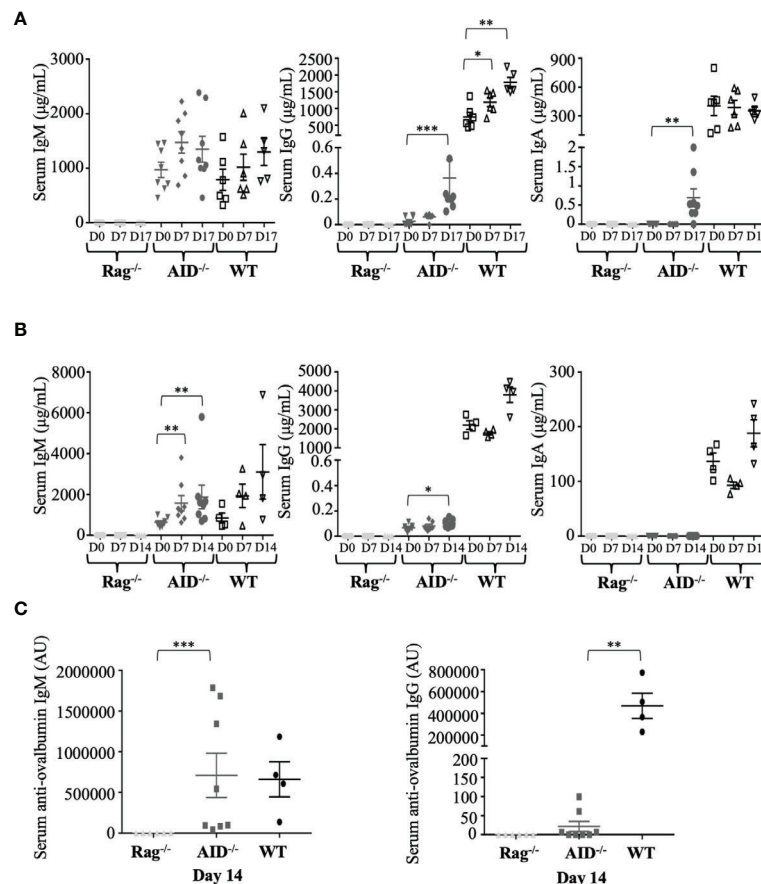


FIGURE 2 | Dynamic induction of Ig production after mouse immunization. **(A)** Total IgM, IgG, and IgA concentrations in serum from immunized mice were evaluated by ELISA before and at 17 days after immunization with sheep red blood cells (samples were taken at days 0, 7, and 17, with booster immunization at day 7). **(B)** Total IgM, G, and A concentrations in serum from immunized mice were evaluated by ELISA before and at 14 days after ovalbumin (OVA) immunization at days 0, 7, and 14. **(C)** Ag-specific IgG1 and IgM antibodies were evaluated by ELISA after 14 days of intraperitoneal OVA immunization. The data in **(A)** represent mean concentrations \pm SEM from five RAG-deficient, eight activation-induced deaminase (AID)-deficient, and six wild-type (WT) mice. Mann-Whitney test was used for significance. The data in **(B, C)** represent mean concentrations \pm SEM from six RAG-deficient, eight AID-deficient mice, and four WT mice. Mann-Whitney test was used for significance. * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

junctions or junctions revealing short insertions or microhomologies between both DNA ends. In both mice (**Figure 3B**, left) and humans (**Figure 3B**, right), junctions characterized in AID-deficient conditions revealed a lower occurrence of flush junctions which directly corresponded to the ligation of blunt ends and an increased occurrence of microhomology between both ends. Sequences from all reads, including junctions and their detailed analysis using CSreport, have been deposited on GEO (GSE183034); examples of junctions obtained in human patients and controls are provided in **Supplementary Table S2**.

The examination of junction sequences also determined the distance between the positions of DNA breaks and sites corresponding to classical AID target sites. This distance was significantly increased in junctions from AID-deficient mice compared to wild-type mice (mean 2.97 vs. 2.23 nt, $p < 0.01$), showing that DNA breaks were not focused on WRCY motifs

but, more probably, randomly affected the fragile portions of S regions (**Figure 4**). Indeed we also compared the distance between DNA break positions in a WT context and in *in silico* simulated events (*i.e.*, DNA breaks randomly simulated within the $S\mu$ region), and we observed that the WT pattern of breaks differed from the simulated random distribution ($p < 0.0001$). By contrast, the AID-deficient pattern and the simulated random breaks did not significantly differ.

That the CSR defect in AID-deficient B-cells is only incomplete, both in AID-deficient mice and AID-deficient patients, is thus confirmed at the gene level by the occurrence of DNA junctions ligating the usual target regions of CSR, $S\mu$; and $S\gamma$, but with a random pattern of breaks and an altered pattern of DNA repair suggesting the increased usage of microhomology-mediated end-joining.

Finally, in order to check whether the rarely occurring CSR persisting in AID-deficient B lymphocytes might reach a

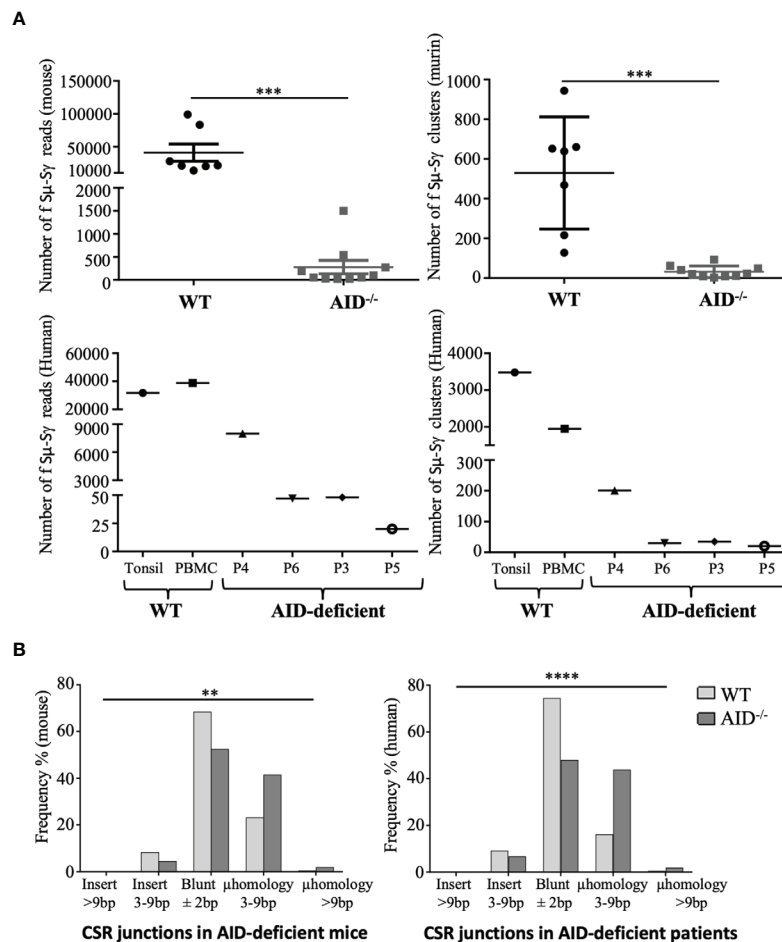


FIGURE 3 | Class switch recombination (CSR) in murine and human activation-induced deaminase (AID)-deficient samples. **(A)** Sμ-Sγ murine CSR junctions were PCR-amplified and sequenced from spleen B-cells at 17 days after sheep red blood cell immunization, comparing mutant and control mice (top). Sμ-Sγ human CSR junctions were also PCR-amplified and sequenced from blood and tonsils from AID-deficient human samples (bottom). Numbers of independent reads including Sμ-Sγ junctions are shown on the left graph. Independent reads including the same CSR breakpoint were assembled and considered as clusters; numbers of independent clusters are shown on the right graph. Junction structures were analyzed using CSReport. **(B)** Structures of repaired junctions were analyzed depending on the mean number of inserted nucleotides and length of microhomologies between the broken ends of Sμ-Sγ junctions in WT and AID-deficient samples. χ^2 test was used for significance of IgG CSR structure analysis. ** $p < 0.01$; *** $p < 0.001$; **** $p < 0.0001$.

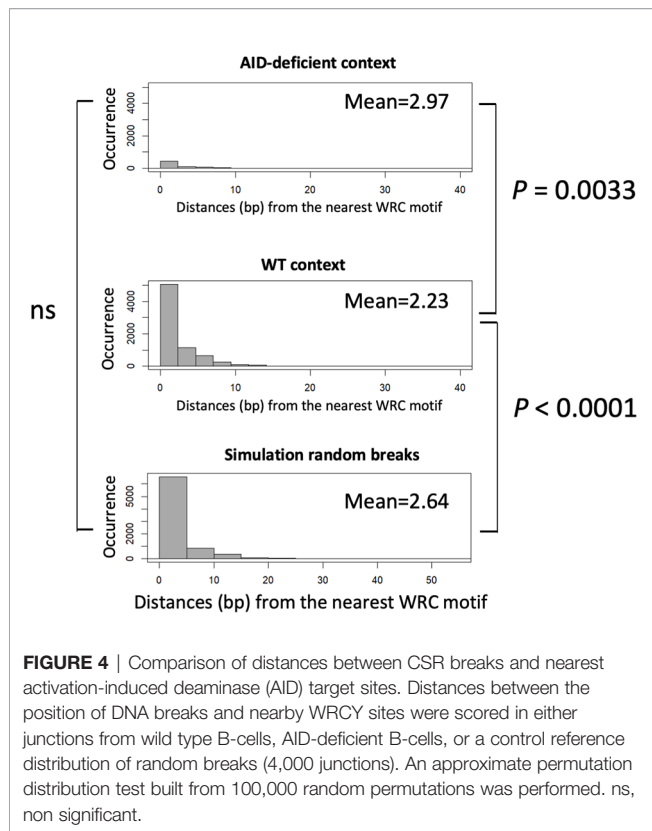
sufficient level for the detection of class-switched cells in lymphoid tissues, we explored the presence of plasma cells producing IgG1 or IgG2b in spleen sections from immunized AID-deficient mice by conventional immunohistochemistry. In agreement with the presence of secreted IgG in serum and of Sμ-Sγ junctions in lymphoid tissue DNA, cells staining for intracellular IgG and with the typical aspect of plasma cells were readily identified in such conditions (**Figure 5**).

DISCUSSION

The S regions harbor a repetitive structure favorable for clustered AID-mediated DNA lesions and frequent occurrence of close single-strand gaps on opposite strands leading to staggered DSBs.

Their structure is unique in terms of primary sequence, architectural organization, and chromatin marks. We hypothesized that such structures might, by themselves, promote DSBs even in the absence of AID lesions, either due to co-transcriptional R-loops and/or due to repeated and overlapping motifs which may favor DNA polymerase slipping during replication and result in transient single-stranded structures. However, AID deficiency is classically considered to abrogate CSR.

This paradigm might, however, overlook a residual level of class-switched Ig produced even in the absence of AID, and, noticeably, the initial report of AID knock-out mice in fact mentioned low but still detectable levels of serum IgG1 and IgG2a (around 1 μg/ml) (22). In some human patients, such as patient P4 in the study by Revy et al. (2) or patient P6 in the current report, low serum IgG also remained detectable at 0.4 and 0.1 mg/ml, respectively. This is



especially intriguing in such patients affected with mutations which truncate AID upstream or within its catalytic domain: our patient P6 notably carried a homozygous nonsense mutation as early as codon 22 of the AID coding sequence.

To explore the hypothesis that a low rate of CSR junctions could be dynamically induced in activated B-cells in the absence of AID, we used sensitive methods to measure residual CSR in AID-deficient B-cells.

IgG production by AID-deficient mice was indeed detected *in vivo* and strongly increased after immunization, with plasma cells producing switched IgG detectable in tissues from immunized animals. We also observed that these switched Ig could be detected as Ag-specific antibodies in low amounts but dynamically increasing after immunization, *i.e.*, with kinetics resembling normal immune humoral responses and not bystander production after random recombination.

Characterization of switch junctions at the DNA level confirmed the occurrence of DNA breaks within the classical target S_μ and S_γ regions and also revealed an altered pattern of repair, suggesting the lesser involvement of non-homologous end-joining, rather yielding flush junctions, and with increased involvement of non-classical alternate end-joining, which is supported by short microhomologies between DNA ends.

Whether such breaks in the S regions, responsible for basal CSR, constitute an intrinsic property of S regions by behaving as fragile sites will remain to be determined. Noticeably, the process remains inducible, showing that such an intrinsic “fragility” is not simply related to the DNA structure but also needs B-cell

activation, germline transcription of S regions, and all of the processes usually considered to facilitate the recruitment and processivity of AID for mediating DNA lesions. In this regard, the G4 richness of S regions might expose DNA to breaks, as it was shown to favor non-B DNA structures and to confer transcription-dependent instability to S regions transferred in yeast (23). The active transcription of S-regions in Ag-stimulated B-cells is thus likely to facilitate transcription–replication conflicts (TRCs) and the occurrence of single-strand DNA breaks at the positions of the G4 DNA and R-loops, notably due to the activity of helicases and of endonucleases like XPG and CtIP or of the exonuclease Exo1, all previously reported to promote the occurrence of breaks at R-loops or TRCs (24–27).

The chromosomal context of S regions might also intrinsically expose them to AID-independent breaks. Prior to any AID activity, the S regions are under the control of their upstream cytokine-dependent germline promoters and of the *cis*-acting 3' RR superenhancer (28–31). This promotes major dynamic changes, marked by germline S region transcription, a modified histone mark landscape, and 3D remodeling including local co-transcriptional R-loops and the long-range cohesin-dependent loop extrusion process, which is driven by IgH promoters and the 3'RR superenhancer and finally juxtaposes distant transcribed S regions (30, 32, 33).

Although AID is considered mandatory for CSR and SHM, it is also questionable whether other cytidine deaminases of the APOBEC family might target DNA at low levels and not only RNA.

Low levels of DNA cytidine deamination have been described for APOBEC 3 and APOBEC1 (34, 35), and although their activity has never been demonstrated in Ig genes nor shown to induce DSBs, it is conceivable that, as for AID, staggered single-strand breaks induced after deamination in S regions might promote DSBs and CSR.

AID deamination occurs in the G1 phase, where 53BP1 and γH2AX protect SSBs and lead to repair through classical NHEJ (36, 37). Beyond G1, RPA associates with unrepaired ends in an ATM-dependent manner and favors repair by micro-homology-dependent alternate NHEJ (A-NHEJ). Finally, DNA breaks persisting in the S/G2 phase recruit higher amounts of Rad51 and are preferentially repaired by error-free homologous recombination (38). Increased repair through A-NHEJ is thus an indication that AID-independent breaks occur later in the cell cycle. Such breaks might also lack the intervention of AID and of downstream factors such as UNG in promoting synapsis and repair (39). While IgH breaks joined to *c-myc* were previously reported in AID-deficient mice after pristane-induced lymphomagenesis, they were, however, not shown to affect the S regions (40). Noticeably, while AID strongly contributes to DNA breaks with legitimate repair and CSR, it also supports illegitimate repair with non-Ig loci during GC-derived lymphomagenesis (41).

Our work is reminiscent of previous studies where experimental genomic breaks elicited by nucleases identified AID-independent hotspots as partners for repair, which included S_μ and S_γ regions in activated B-cells (42). The AID defects result in severe immunodeficiency, and its role comes on

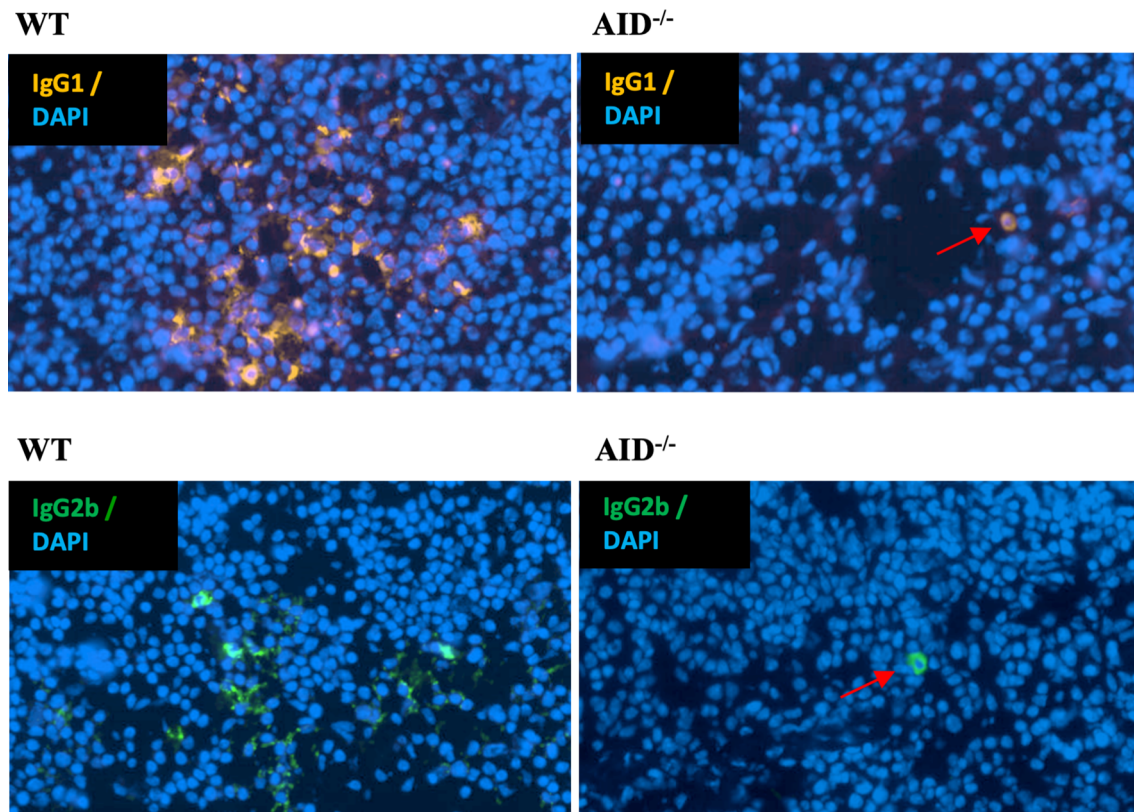


FIGURE 5 | Identification of rare cells producing class-switched Ig in spleens from immunized animals. Representative microscopy fields from slides of spleen tissues obtained from either WT (left) or AID-deficient (right) immunized mice, stained either for mouse IgG1 (top) or IgG2b (bottom). Red arrows indicate rare switched plasma cells in spleen tissue from an AID-deficient mouse.

top of several processes shaping Ig loci as optimal substrates for AID lesions and occurrence of DNA breaks. Even in the absence of AID, it is thus not unexpected that some accessibility to breaks remains. AID has multiple interactions with factors regulating its nuclear location and activity. This includes nuclear factors involved in transcription elongation and pausing, RNA splicing and degradation, DNA repair, heterochromatin-specific factors, and components of nucleoli: eEF1A, the Spt5/RNAPII/PAF complex, CTNNBL1, GANP, the nascent RNA-degrading exosome complex, RNF126, REG- γ , RPA (bound to pSer38-AID), and the heterochromatin factors Kap/HP1 (binding H3K9me3 on S μ), nucleolin, nucleophosmin, and 14-3-3 (binding WRCY repeats and helping recruit CSR co-factors together with AID) (43). All the functional roles of AID interactions with other partners have not yet been elucidated. While some factors interact with AID after the occurrence of SSBs, others are recruited on Ig genes prior to AID. This is notably the case of factors binding the structural features of transcribed S regions loaded with paused RNAPII (Spt5/RNAPII/PAF complex, 14-3-3, GANP, the nascent RNA-degrading exosome or the heterochromatin factors Kap/HP1, RPA, *etc.*). Whether such factors might, by themselves, contribute to the occurrence of DNA lesions independently of AID will remain to be determined.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repository and accession number(s) can be found below: (<https://www.ncbi.nlm.nih.gov/>), GEO Accession GSE183034.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Comité de protection des personnes Ile de France. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. The animal study was reviewed and approved by Ministère de la recherche, l'enseignement supérieur et l'innovation (APAFIS#16689-2018091017202113 v3).

AUTHOR CONTRIBUTIONS

ID, ZD, and BW participated in investigation, methodology, and writing of the original draft (supporting). BL participated in data curation and writing of the original draft (supporting). FJ and BB

participated in methodology. PD participated in formal analysis. JM participated in writing—review. SK, AF, and AD participated in providing samples from patients and writing of the original draft (supporting). SN participated in data curation and formal analysis. MC led the conceptualization, data curation, funding acquisition, writing of the original draft, and review and editing as well as participated in formal analysis. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.737427/full#supplementary-material>

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Edited by:

Stefano Casola,
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Dinis Pedro Calado,
Francis Crick Institute,
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Dirk Mielenz,
University of Erlangen Nuremberg,
Germany

***Correspondence:**

Thierry Fest
thierry.fest@univ-rennes1.fr

†ORCID:

Thierry Fest
orcid.org/0000-0002-6437-4189
Michel Cogné
orcid.org/0000-0002-8519-4427

‡These authors have contributed
equally to this work and share
first authorship

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Committed Human CD23-Negative Light-Zone Germinal Center B Cells Delineate Transcriptional Program Supporting Plasma Cell Differentiation

Kathleen Santamaria^{1‡}, Fabienne Desmots^{1,2‡}, Simon Leonard^{1,3‡}, Gersende Caron^{1,2‡}, Marion Haas^{1,2}, Céline Delalay¹, Fabrice Chatonnet^{1,2}, Delphine Rossille^{1,2}, Amandine Pignarre^{1,2}, Céline Monvoisin¹, Marine Seffals⁴, Claire Lamaison¹, Michel Cogné^{1,2†}, Karin Tarte^{1,2} and Thierry Fest^{1,2*†}

¹ UMR 1236, University of Rennes 1, INSERM, Établissement Français du Sang Bretagne, Rennes, France, ² Pôle de Biologie, Rennes University Medical Center, Rennes, France, ³ LabEx IGO "Immunotherapy, Graft, Oncology", Nantes, France, ⁴ University of Rennes 1, UMS Biosit, H2P2 Platform, Rennes, France

B cell affinity maturation occurs in the germinal center (GC). Light-zone (LZ) GC B cells (B_{GC}-cells) interact with follicular dendritic cells (FDCs) and compete for the limited, sequential help from T follicular helper cells needed to escape from apoptosis and complete their differentiation. The highest-affinity LZ B_{GC}-cells enter the cell cycle and differentiate into PCs, following a dramatic epigenetic reorganization that induces transcriptome changes in general and the expression of the *PRDM1* gene in particular. Human PC precursors are characterized by the loss of IL-4/STAT6 signaling and the absence of CD23 expression. Here, we studied the fate of human LZ B_{GC}-cells as a function of their CD23 expression. We first showed that CD23 expression was restricted to the GC LZ, where it was primarily expressed by FDCs; less than 10% of tonsil LZ B_{GC}-cells were positive. Sorted LZ B_{GC}-cells left in culture and stimulated upregulated CD23 expression but were unable to differentiate into PCs – in contrast to cells that did not upregulate CD23 expression. An in-depth analysis (including single-cell gene expression) showed that stimulated CD23-negative LZ B_{GC}-cells differentiated into plasmablasts and time course of gene expression changes delineates the transcriptional program that sustains PC differentiation. In particular, we identified a B cell proliferation signature supported by a transient *MYC* gene expression. Overall, the CD23 marker might be of value in answering questions about the differentiation of normal B_{GC}-cells and allowed us to propose an instructive LZ B_{GC}-cells maturation and fate model.

Keywords: germinal center (GC), germinal center (GC) B cells, CD23+ B cells, B cell differentiation, plasmablasts/plasma cells, GC Light-Zone B cells

HIGHLIGHTS

- Only human light-zone GC B cells that fail to express CD23 after appropriate stimulation are likely to differentiate into plasma cells
- Light-zone GC B cells heterogeneity through use of the CD23 marker allow to decipher gene expression changes during B cell differentiation

INTRODUCTION

Within the secondary lymphoid organs, the germinal center (GC) is the primary site for the maturation of B-cell affinity. Iterative rounds of proliferation (associated with activation-induced cytidine (AID) enzyme activity) and positive selection of B-cell receptors (BCRs) with high affinity for their cognate antigens (Ags) ultimately lead to the production of memory B cells (MBCs) and plasma cells (PCs). Fully developed GCs comprise two functional zones, each of which contains a distinct GC B cell (B_{GC} -cell) subtype. Firstly, the dark zone (DZ) is close to the T-zone and is where centroblasts proliferate in bursts. Secondly, the light zone (LZ) mainly contains non-proliferating centrocytes, some of them testing their BCR against the Ags displayed by follicular dendritic cells (FDCs) and thus competing for limited, sequential help from T follicular helper (Tfh) cells (1–3). Once the Ag is captured by the BCR, the cell receives a survival signal; the Ag is subsequently internalized, processed and presented on the cell surface as a class II MHC-peptide complex, which in turn leads to interaction with cognate Tfh cells. Hence, Tfh-derived signals enable B-cell proliferation, differentiation and isotype switching (3). MBCs tend to emerge earlier from a low-affinity compartment in the LZ, while PCs appear later during the immune response, committed B cells require strong Tfh cell help, and accumulate somatic hypermutation (4–6). Positively selected LZ B_{GC} -cells escape from apoptosis, upregulate transiently their *MYC* expression, re-enter the cell cycle and travel to the DZ for further cell division and AID activity (7–9). It has been estimated that between 10% and 30% of the B cells that reach the LZ are selected and possibly re-enter the DZ; the remainder die mainly by apoptosis (9). Cognate B cell-T cell contact and help signal strength (both of which depend on BCR affinity) are likely to determine B cell fate. Medium-affinity cells express high levels of the BACH2 transcription factor and replenish the MBC pool, while the highest-affinity LZ B_{GC} -cells are preferentially selected for cell cycle entry and differentiation into PCs (10, 11). With regard to this process, the results of a computational model of B_{GC} -cell fate suggested that B cells that have been positively selected by successful Ag processing return to the DZ for asymmetric division, and that Ag affinity is inherited by only one of the daughter cells (12). On the other hand, PC precursors can exit the GC reaction *via* the DZ due to acquisition of CXCR4 expression leading cells to move to the CXCL12 rich DZ stromal environment. There is some experimental evidence to support this theory – notably the presence of PC precursors in the DZ (5, 13).

Committed B cells differentiate into plasmablasts (PBs) during an S phase in which specific oxidation of 5-

methylcytosine (5mC) residues at given genomic positions leads to the expression of PC identity genes (14). Recently, we used an *in vitro* naïve B cell (NBC) differentiation model to demonstrate that human PC precursors are characterized by the loss of IL-4/STAT6 signaling and the absence of expression of CD23 [a pSTAT6-induced, low-affinity receptor for IgE (15)], although they are still imprinted by the previous IL-4 activation. In humans, low expression of the CD23 marker is reported in B_{GC} -cells (16) and LZ B_{GC} -cells (17), and the downregulation of CD23 is associated with PC commitment (18, 19). In mice, follicular B cells express CD23 (encoded by the *Fcer2a* gene), and CD23 expression is more intense on LZ follicular B_{GC} -cells than on DZ B_{GC} -cells (4, 20). In addition, B cell differentiation into PCs is accompanied by the loss of CD23 expression (21) and in contrast, recently reportedly that early positively selected cMyc⁺ murine LZ B_{GC} -cells express the *Fcer2a* gene besides genes associated with both BCR signaling and immunological synapse suggesting a very recent activation following BCR engagement and GC B: Tfh interaction (20).

The objective of the present study was to assess the fate of human LZ B_{GC} -cells as a function of their CD23 expression. In immune-histochemistry assessments, we determined the CD23 expression pattern in tonsillar GCs. Staining was restricted to the LZ, with strong expression by FDCs. By flow cytometry, we found less than 10% of tonsil LZ B_{GC} -cells positive for CD23. However, most LZ B_{GC} -cells expressed CD23 *in vitro* after productive Tfh cell help or appropriate cytokine stimulation. An in-depth analysis (including single-cell gene expression) showed that LZ B_{GC} -cells CD23⁺ obtained after stimulation are unable to differentiate into PCs – unlike the cells that remain CD23[−]. Time course of single-cell gene expression changes during the differentiation of CD23[−] LZ B_{GC} -cells sheds new light on the final transcriptional switch that take place when human B cells metamorphosis into PBs characterized by the upregulation of *PRDM1* expression.

RESULT

In GCs, Only a Small Proportion of B Cells Expresses the CD23 Marker

To determine the CD23 cell surface marker's expression patterns, sections of human tonsil tissue were stained with several combinations of antibodies in immunohistochemistry experiments. In GCs, CD23 staining was restricted to the LZ. In line with the literature data (22, 23), CD21L⁺ FDCs were intensely stained (**Supplemental Figure S1A**). Using antibodies against CD23, PAX5 and PD1, we possibly distinguished CD23⁺ LZ B_{GC} -cells located near PD1⁺ Tfh but without certainty due to the FDC labeling (**Figure 1A** and **Supplemental Figure S1B**). Indeed, CD23 staining of frozen tissue sections showed that the FDCs' extensions formed a dense mesh around B and T cells (**Figure 1B** and **Supplemental Figure S1C**). Overall, our data show that FDCs in LZ are strongly positive for CD23, which makes it harder to detect CD23⁺ B_{GC} -cells, in contrast to NBCs in the mantle zone. (**Supplemental Figure S1A**).

To determine the proportion of CD23⁺ B cells in GCs, we used flow cytometry to analyze cell suspensions obtained from tonsils

and reactive lymph nodes (rLNs). Unlike NBCs and IgD⁺ B_{GC}-cells, IgD⁻ B_{GC}-cells, PBs and MBCs were predominantly CD23-negative (**Figure 1C** and **Supplemental Figure S1D**). Centrocytes are defined as CXCR4⁻ B_{GC}-cells; they belong to the LZ compartment where B cells were also described as CD83⁺ (1, 17). The mean \pm standard deviation of CD23-expressing LZ B_{GC}-cells was 6.99% \pm

1.45 in tonsils and 7.7% \pm 3.99 in rLNs (**Figure 1D** and **Supplemental Figure S1E**). In B cells, CD23 expression is induced by Tfh-derived cytokines in general and by IL-4/STAT6 signaling in particular. CD23⁺ and CD23⁻ LZ B_{GC}-cells expressed similar levels of IL-21 and IL-4 receptors, CD40 and Ki-67, however, we found a significantly higher proportion of CCR6⁺ cells in the

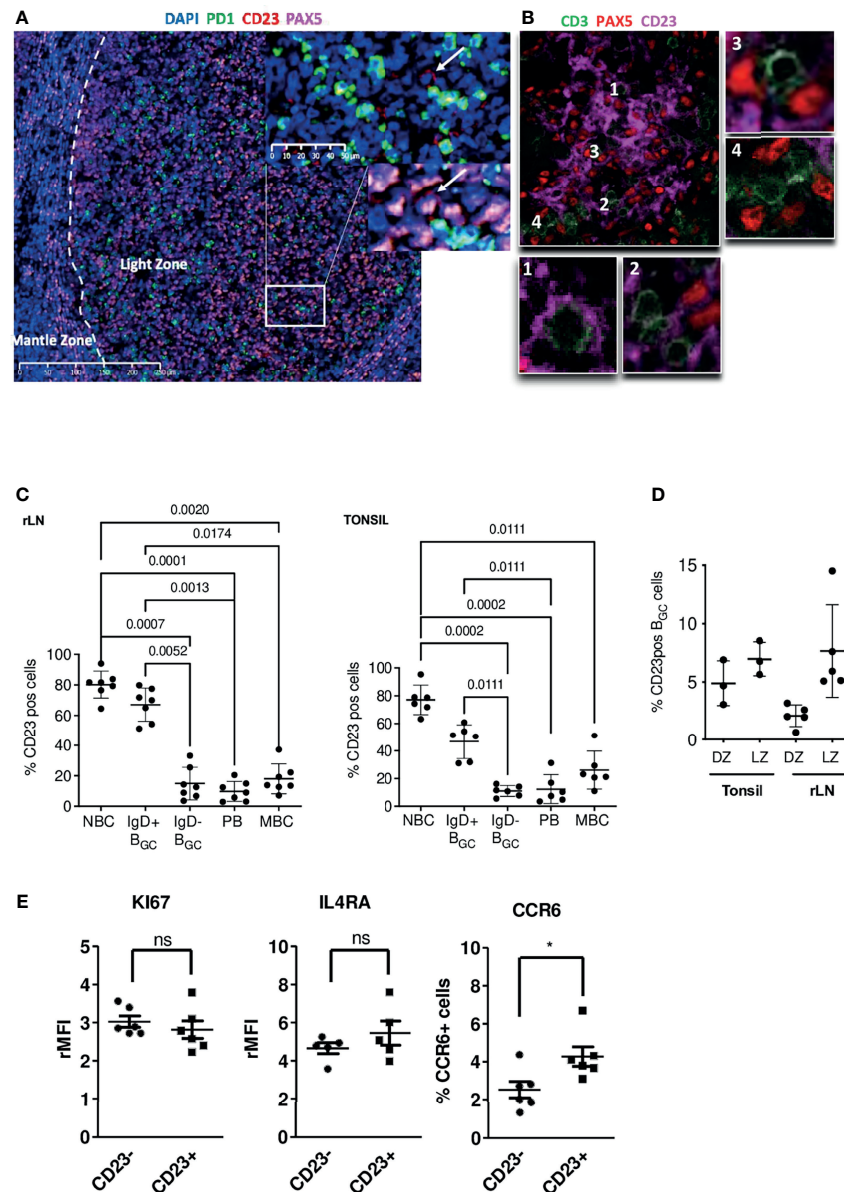


FIGURE 1 | In the GC, CD23 is expressed in the LZ, mainly by FDCs but also by some B cells. **(A)** Immunofluorescence staining of CD23 (red), PAX5 (pink) and PD1 (green) in paraffin-embedded tonsil tissues; the white arrow shows a CD23⁺ B cell located in front of a PD1⁺ Tfh cell. **(B)** Immunofluorescence staining of CD23 (pink), PAX5 (red) and CD3 (green) on frozen tonsil tissues; the PAX5 staining pattern for B cells does not really match the CD23 marker; panels 1 & 2 show T cells surrounded by CD23⁺ dendritic extensions, and panels 3 & 4 show T cells in the vicinity of CD23⁻ B cells. **(C)** The proportion of CD23-expressing B cells was determined by flow cytometry in different B cell populations in tonsils (Right panel) and rLNs (Left panel): CD19⁺CD38⁺IgD⁺ naïve B cells (NBCs), CD19⁺CD38⁺IgD⁺ & IgD⁻ B_{GC}-cells, CD19⁺CD38⁺IgD⁺ plasmablasts (PBs), and CD19⁺CD38⁺IgD⁺CD27⁺ memory B cells (MBCs) (one-way Anova & Kruskal-Wallis multiple comparisons test). **(D)** The proportion of CD23-positive cells in CXCR4^{hi}CD83^{lo} DZ B_{GC}-cells and CXCR4^{lo}CD83^{hi} LZ B_{GC}-cells in tonsils and rLNs. **(E)** Flow cytometry analysis of KI67, IL4RA and CCR6 in tonsil CD23⁺ and CD23⁻ LZ B_{GC}-cells. Results are expressed as the relative mean fluorescence intensity (rMFI) or the percentage of positive cells (* $P \leq .05$; "ns" for non significant; Mann-Whitney test).

CD23⁺ subset consistent with Duan et al. (24) in mice. These cells could correspond to memory B cell precursors (**Figure 1E** and **Supplemental Figure S1F**) (25).

Given that CD23 expression is signaling-dependent, we hypothesized that CD23⁺ LZ B_{GC}-cells correspond to B_{GC}-cells that had recently engaged in a productive synapse with cognate Tfh and might therefore harbor a more restricted BCR repertoire than their CD23⁻ counterparts. We assessed common CDR3 clusters and variable (V) gene usage for both IgM and IgG BCRs in CD23⁺ and CD23⁻ LZ B_{GC}-cells isolated from rLNs of three different subjects. We found common CDR3 clusters in all three subjects, with no significant differences in V gene usages between IgMs and IgGs. Interestingly, for one subject we noticed an enrichment for somatic mutations in CDR1, 2 & 3 in CD23⁺ cells compared to CD23⁻ counterparts. No definitive conclusion can be drawn, however, these results showed that CD23⁺ and CD23⁻ LZ B_{GC}-cells have very similar repertoires and so probably share a common initial activation pathway (**Supplemental Figure S1G**).

An Analysis of *FCER2*/CD23 Expression Reveals Heterogeneities in LZ B_{GC}-Cells

In humans, the CD23 protein is encoded by the *FCER2* gene. To detect possible transcriptional differences between LZ B_{GC}-cells as a function of *FCER2* expression, we compared previously published single-cell (sc) RNA-seq data obtained from tonsil-derived B_{GC}-cells (26). A comparison of 8,465 DZ cells and 11,118 LZ B_{GC}-cells revealed a highly significant difference between the proportions of *FCER2*-expressing cells (2.9% and 15.3%, respectively) (**Supplemental Figure S2A, Left panel**). Among the 11,118 LZ B_{GC}-cells, 2,360 (21%) had a proliferative signature (i.e., S-G2-M genes expression) and among them, only 231 (9.8%) cells (primarily in the S phase cluster) expressed *FCER2* (**Supplemental Figure S2A, Right panel**). To investigate the heterogeneity of *FCER2* expression in the LZ compartment and follow the same rationale as Holmes et al. (26), we focused our analysis on 8,758 nonproliferating LZ B_{GC}-cells (i.e., those in the G0-G1 stage of the cell cycle) which presented 1,465 (16.8%) cells expressing *FCER2* (**Figures 2A, B**). The uniform manifold approximation and projection (UMAP) representation of the original 12 specific clusters allowed to detect the projection of *FCER2*-positive cells and revealed that this gene expression was significantly associated with cell activation clusters and two BCR engagement clusters. In contrast, five clusters presented significantly weaker expression; they included the PB signature, two clusters associated with B_{GC}-cell transition between the DZ and the LZ, and one Ribosome cluster (**Figure 2B**).

Taken as a whole, these data showed that the majority of *FCER2*⁺ cells belong to a small number of activated, non-proliferating LZ B_{GC}-cells that might have been diverted from a PC fate. In contrast, *FCER2*-negative LZ B_{GC}-cells were more heterogeneous in their distribution and presented a relative prevalence in the PB subset by taking into account the significant low number of *FCER2*-positive cells in this compartment. In mice, it has been suggested that most

pre-plasmablasts are derived from positively selected cells i.e., expressing *MYC* gene (13, 20), we found among the 1,518 human *MYC*-positive LZ B_{GC}-cells from Holmes et al. (26) dataset that 316 (21%) and 1,202 (79%) were, respectively, positive and negative for *FCER2* expression. This result was consistent with data obtained recently in mice (24). Altogether, these data suggest that *FCER2*-negative LZ B_{GC}-cells were either unable to express *FCER2* or had not received sufficient Tfh cell help to express it. In particular, *FCER2*-negative LZ B_{GC}-cells might include activated B cells that have been committed to PB differentiation and therefore have switched off the IL-4/STAT6 pathway, thereby preventing the expression of *FCER2*/CD23 (19).

In order to complete this *in silico* analysis we explored the heterogeneity of the LZ B_{GC}-cell compartment by comparing CD23-negative and CD23-positive cells freshly sorted from tonsils, and CD19⁺IgD⁻CD38^{bright} PBs were included in the analysis (gating strategy, in **Supplemental Figure 2B**). We applied a sensitive sc-qRT-PCR approach to profile the expression of a set of selected genes from our previous study (**Supplemental Material, Method & Tables, Table 2**) (19). An unsupervised clustering analysis revealed three gene clusters linked to specific cell functions identified with GeneMANIA (<https://genemania.org/>): cell cycle regulation, B cell activation and PC signature (**Figure 2C**). Only a few genes in each cluster were significantly differentially expressed between CD23⁺ and CD23⁻ cells, including *FCER2* and B cell activation markers *CD86* and *NFKB1* (**Supplemental, Material, Method & Tables, Table 6**). Note that *PRDM1* and *IRF4* genes linked to PC differentiation were not differentially expressed (**Figure 2D**). However, CD23⁻ compared to CD23⁺ LZ B_{GC}-cells expressed higher levels of cell proliferation *E2F1* and *MKI67* genes (**Figure 2D**). The cell proliferation signature was primarily attributed to a group of CD23⁻ LZ B_{GC}-cells that also expressed *MYC* (**Figure 2C**). To complete our exploration on a higher number of analyzed cells, differentiation-associated transcription factors assessed by flow cytometry showed a significant enrichment in BCL6-negative and Blimp1-positive cells in CD23⁻ LZ B_{GC}-cells. In contrast, both subsets expressed similar levels of c-MYC and phospho-p70 S6 kinase (pS6), two markers related to B-cell selection (**Figure 2E**) (7, 8, 27). Altogether, these findings suggest that the CD23⁻ population comprises B cells committed to PC differentiation.

CD23 Expression of LZ B_{GC}-Cells Depends on Response to Tfh-Driven Stimulation

To study the effect of Tfh cell help on the membrane expression of CD23 on LZ B_{GC}-cells, we first cultured LZ B_{GC}-cells for 12 h with IL-4, CD40, IL-21 or combinations of these. IL-4 alone, CD40 alone and especially a combination of IL-4 and CD40 were effective in inducing the significant upregulation of CD23 expression (**Figure 3A**). Despite similar expression levels of IL-4R, CD40 and IL-21R on post-stimulated CD23⁺ and CD23⁻ LZ B_{GC}-cells, pSTAT6 was only induced in CD23⁺ B cells, and chemical inhibition of pSTAT6 blocked the CD23 expression (**Figure 3B** and **Supplemental Figures S3A, B**). Since the production of IL-21 and IL-4 by Tfh cells predominantly

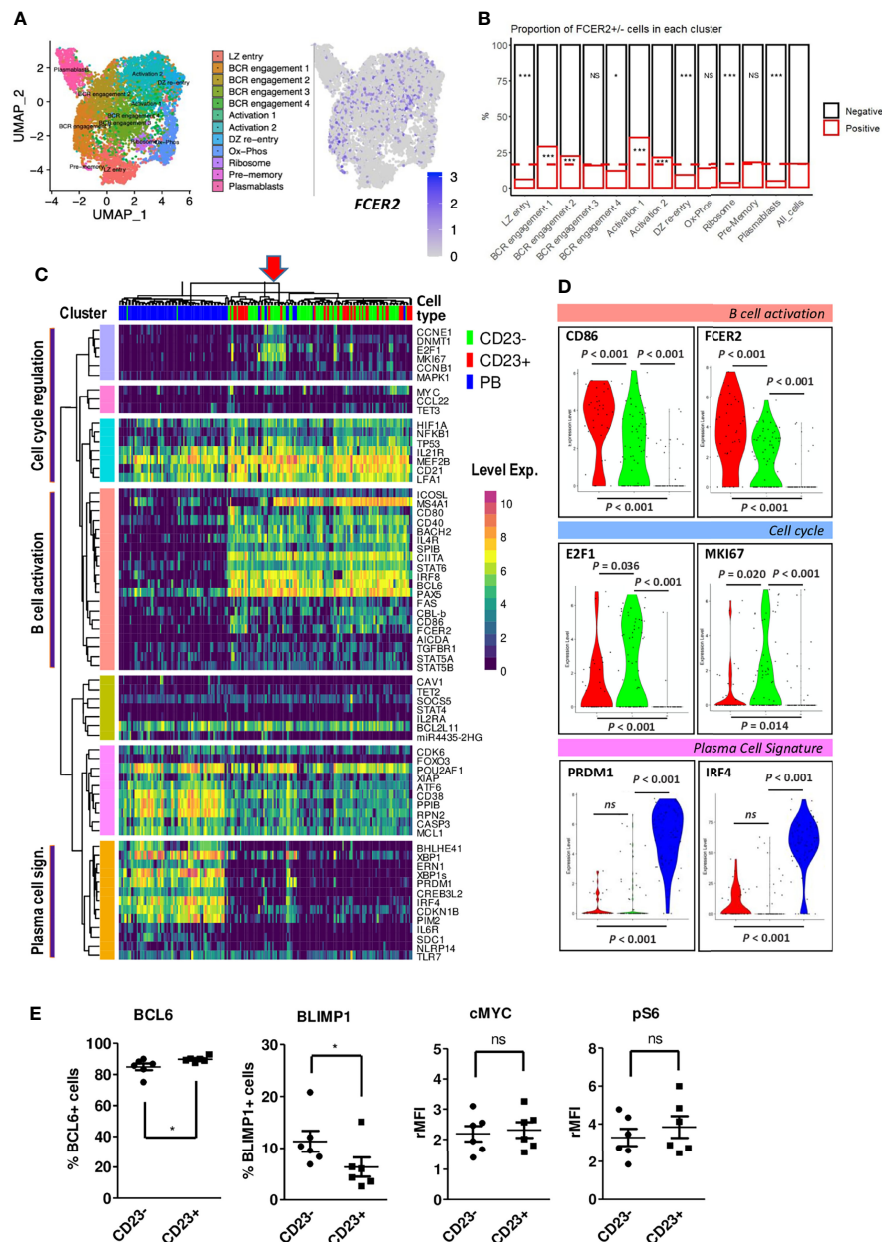


FIGURE 2 | *FCER2*/CD23 expression delineates specific LZ B_{GC}-cell subsets. **(A, B)** Single-cell RNA-seq data for human tonsil-derived CXCR4^{hi}CD83^{hi} LZ B_{GC}-cells in the G0-G1 phase of the cell cycle, from Holmes et al., 2020. **(A)** UMAP of the 12 specific clusters defined by Holmes et al. and expression of *FCER2* in these clusters. **(B)** Distribution of *FCER2*⁺ (red) and *FCER2*⁻ (black) non-proliferating LZ B_{GC}-cells, in the 12 clusters. Dotted line represent the mean value of positive cells for all clusters (last barplot named All_cells) (Chi2 test for each population vs. total cells (**P* < 0.05, ****P* < 0.001; significant test are indicated in the barplot of enriched CD23-negative or -positive populations accordingly). **(C)** Selected view of the heatmap with unsupervised clustering of single-cell RT-qPCR data comparing paired cell-sorted CD23⁺ and CD23⁻ LZ B_{GC}-cells (CD19⁺IgD⁺CD10⁺CD38⁺CXCR4^{hi}) and PBs (CD19⁺IgD⁺CD38^{bright}). Annotation of three clusters of genes linked to specific cell functions identified with GeneMANIA related to PCs, B cell activation and cell proliferation. The red arrow on the top of the heatmap indicate in cluster of cycling cells, mostly CD23⁻ LZ B_{GC}-cells, that are positive for *MYC* expression represented in the Y-axis pink cluster. **(D)** Violin plot comparisons of the expression of few selected genes in CD23⁺, CD23⁻ LZ B_{GC}-cells and PBs. **(E)** Flow cytometry analysis of BCL6, BLIMP1, c-MYC and pS6 in tonsil CD23⁺ and CD23⁻ LZ B_{GC}-cells. Results are expressed as the percentage of positive cells or the relative mean fluorescence intensity (rMFI) (**P* ≤ .05; "ns" for non significant; Mann-Whitney test).

supports B_{GC}-cells (along with CD40L) (28, 29), we used these three stimuli in our subsequent experiments on primary LZ B_{GC}-cells. The data were reproducible, up to 50% of the cells were CD23⁺ after 12 h of culture, cell viability was over 70% (data not

shown) and cell proliferation was similar in CD23⁺ and CD23⁻ cells (**Supplemental Figure S3C**). We next co-cultured LZ B_{GC}-cells with paired autologous CD4⁺CXCR5⁺ICOS⁺PD1⁺ Tfh cells for 24 h and then analyzed CD23 expression in three

independent experiments. Only a weak increase in CD23 expression was observed. In contrast, when Tfh cells were activated either with α -CDA/ α -CD28 antibodies or staphylococcal enterotoxin B protein, the CD23 expression increased markedly; 13.6+/-7.4% and 39.4+/-9.0% of the B cells were CD23⁺, respectively (Figure 3C).

Taken as a whole, our present data suggest that the low number of CD23⁺ LZ B_{GC}-cells is linked to a lack of effective, complete Tfh cell help in GCs - a key limiting resource for which the B cells compete (29). After early B cell-Tfh cell contact and in the absence of sustained Tfh support, CD23⁺ B_{GC}-cells might also undergo apoptosis; this has been described *in vivo* for B_{GC}-cells after 24 h of antigen recognition and *in vitro* for CD23⁺ post-activated B cells (19, 30). We confirmed the latter observation and showed that only CD23⁺ LZ B_{GC}-cells were more numerous when the pan-caspase inhibitor QVD-OPH was added to the culture for 12 h (Supplemental Figure S3D).

Since IL-4 signaling goes through the STAT6 pathway, we next determined the number of B cells receiving Tfh-derived IL-4 in a contact dependent manner at a given point in time. To this end, we looked for nuclear expression of pSTAT6 in CD20⁺ B_{GC}-cells by staining tonsil tissue sections. Tfh cells were detected by staining for PD1. By using a machine learning approach to automatically detect cells on microscopy images, we estimated that approximately 2 to 3% of B_{GC}-cells were pSTAT6⁺CD20⁺ (Figure 3D and Supplemental Figure S3E). By replacing CD20 with PAX5, we could estimate the number of pSTAT6⁺ cells in the vicinity of PD1⁺ T cells for three tonsils. Overall, among the average of 2880 PAX5⁺/pSTAT6⁺ B cells per tonsil, we found 18 (min 13- max 32) and 84 (min 62- max 124) of these cells located, respectively, within 10 μ m and 20 μ m of PD1⁺ T cells. This corresponds to an average of 1.2% and 5.2% of B cells in less than 10 μ m or within 20 μ m proximity of T cells that may sufficient to favor contact between cognate B and T cells (Figures 3E, F). For the reasons mentioned above, we could not use the CD23 marker in parallel.

CD23⁻ Activated LZ B_{GC}-Cells Contain PC Precursors

Since the LZ B_{GC}-cell compartment was heterogeneous with regard of CD23 expression after stimulation, we decided to study CD23⁻ and CD23⁺ LZ B_{GC}-cells obtained after 12 h of culture in the combined presence of IL-21, IL-4 and CD40L (hereafter referred to as pcCD23⁻ or pcCD23⁺ LZ B_{GC}-cells, where “pc” indicates “post-culture”). The gating strategy for cell sorting is presented in Supplemental Figure S4A. A comparison of these two subsets showed that *FCER2* expression was elevated only in pcCD23⁺ LZ B_{GC}-cells (Supplemental Figure S4B). With regard to the four characteristic transcription factors involved in PC differentiation, there were no differences between the subsets in *BCL6*, *PAX5* and *XBPI* expression but *PRDM1* was significantly upregulated in pcCD23⁻ LZ B_{GC}-cells (Figure 4A). Flow cytometry analysis showed significantly higher *BCL6* expressing cells in the CD23⁺ subset while the CD23⁻ counterpart contained more *BLIMP1*⁺ cells (Figure 4B).

We then evaluated the subsets' ability to differentiate into PBs (CD38^{bright}) and PCs (CD138⁺). To that end, pcCD23⁻ and pcCD23⁺ LZ B_{GC}-cells were cultured separately for 48 h in the

presence of IL-2, IL-4 and IL-10. Statistically significant results showed that only pcCD23⁻ LZ B_{GC}-cells were able to give rise to a significant number of CD38^{bright} and CD138⁺ differentiated cells (Figure 4C).

The stimulation experiments were performed on total LZ B_{GC}-cells. However, to further specifically explore the CD23⁺ minority compartment of LZ B_{GC}-cells, sorted tonsillar CD23⁺ LZ B_{GC}-cells (Supplemental Figure S2B) were cultured for 24 h under the same conditions as above and analyzed by sc-qRT-PCR. No marker related to PC differentiation was detected after stimulation (Supplemental Figure S4C).

Activated CD23⁻ LZ B_{GC}-cells Follow a Continuous, Homogeneous Trajectory Towards PBs

Our *in vitro* results and the literature data on LZ B_{GC}-cells (19) confirmed that the CD23⁺ and CD23⁻ subsets contained cells with distinct cell fates. To further explore this difference, we tracked spatiotemporally gene expression in stimulated LZ B_{GC}-cells. To this end, selected genes (Supplemental Material, Method & Tables, Table 2) (19) were analyzed using sc-qRT-PCR at different time points of the culture. Thus, tonsil sorted PBs were compared with paired LZ B_{GC}-cells after 4 h and 24 h of culture with IL-21, IL-4 and CD40L. For the 24 h time point, CD23⁺ and CD23⁻ cells were sorted (Figure 5A). To investigate the time course of changes in cell populations, we applied the Monocle trajectory inference algorithm (<https://doi.org/10.1038/nbt.2859>). UMAP reduction of the five cell populations highlighted two clusters that differed in their cell fate as a function of CD23 expression (Supplemental Figure S5). Only 24 h CD23⁻ LZ B_{GC}-cells followed continuous, homogeneous trajectory *via* expression of the *BCL6*, *PAX5*, *IRF4*, *XBPI* and *PRDM1* genes associated with differentiation into PBs (Figures 5B, C). However, some LZ B_{GC}-cells had started to migrate along the differentiation trajectory as early as 4 h, and clustered with PBs. Using Monocle, the UMAP projection was color-coded according to the pseudo-time; this showed a well-ordered progression in quadrants from LZ B_{GC}-cells to PBs (Figure 5D).

The Time Course of Gene Expression Changes During the Differentiation of CD23⁻ LZ B_{GC}-Cells

The expression heatmap for Monocle-ordered cells showed a sequential transition from CD19⁺IgD⁻CD38⁺CD10⁺CXCR4⁺ LZ B_{GC}-cells to CD19⁺IgD⁻CD38^{bright} PBs (Figure 6A). This situation enabled us to analyze changes over time in gene expression from one quadrant to the next and excluded T24h CD23⁺ B_{GC} cells in agreement with the above result (Supplemental Figure S5). Each quadrant is enriched with a specific subset of cells (Figure 6B). The computed clustering allowed to identify six gene modules annotated by GeneMANIA (Figure 6C) characterized by a specific expression time course and linked to transcription factors, cell identity factors, and cell functions (Supplemental Material, Method & Tables, Table 5). Modules 1 and 2 were linked to PC identity genes. Modules 3, 5 & 6 were linked to a B cell activation state. In fact, Module 3 encompassed several transcription factors (including MYC) and preceded Module 6, which was linked to transient cell cycle entry prior to extinction in

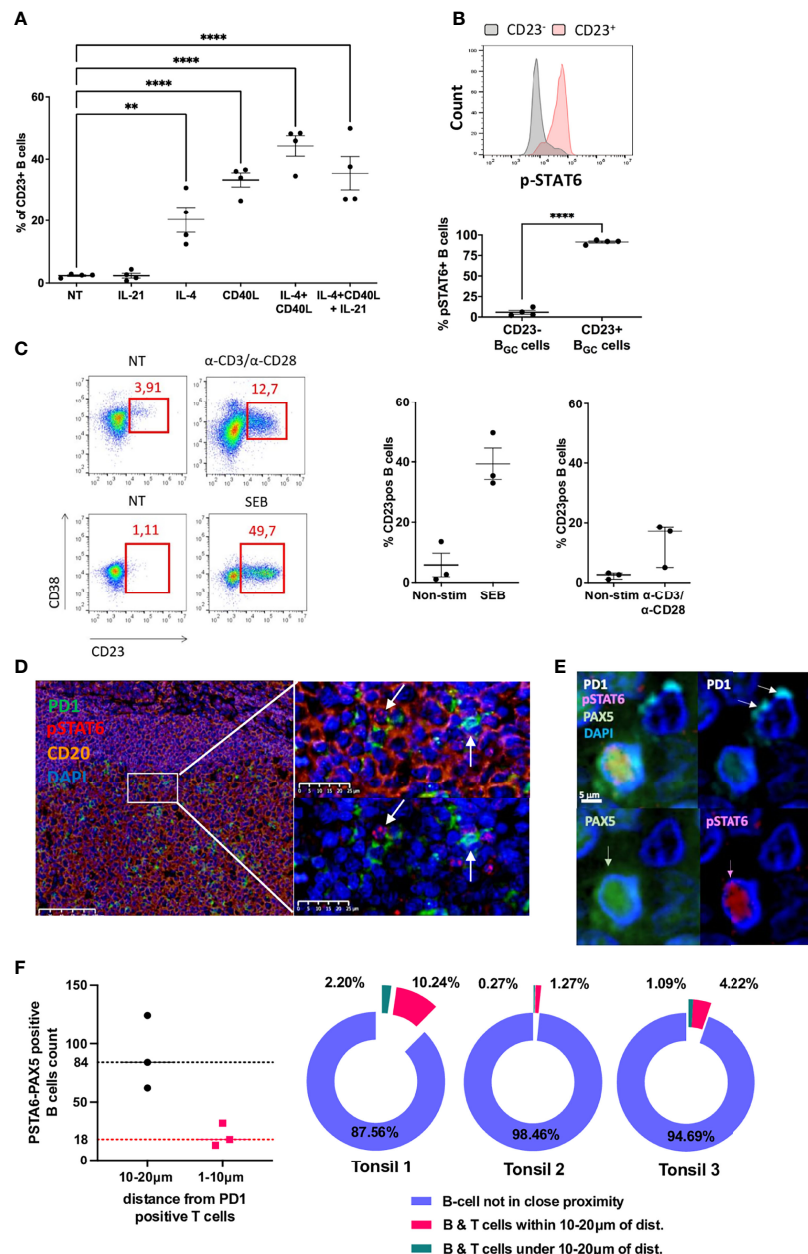


FIGURE 3 | CD23 expression of LZ B_{GC}-cells depends on response to Tfh-driven stimulation. **(A)** Flow cytometry analysis of CD23⁺ LZ B_{GC}-cells obtained after 12 h of culture with IL-4, CD40L and IL-21 alone or in combinations (***P* < .01; *****P* < .0001; one-way multiple comparisons test). **(B)** Flow cytometry analysis of pSTAT6 induction on post-stimulation CD23⁺ and CD23⁻ LZ B_{GC}-cells, in four independent experiments: Upper panel, a representative flow histogram; Lower panel, quantitative and statistically significant analysis. **(C)** LZ B_{GC}-cells and Tfh cells were co-cultured without treatment (NT) or with αCD3/αCD28 or staphylococcal enterotoxin B (SEB) protein for 24 h and subsequently analyzed for CD23 and CD38 expression, using flow cytometry: left panel, representative flow graphs; right panel, results of three independent experiments. **(D)** GC immunohistostaining on paraffin-embedded tonsil sections with DAPI (blue), CD20 (yellow), PD1 (green), and pSTAT6 (red) presenting two high magnification views, showing pSTAT6⁺ B cells in the proximity of PD1⁺ Tfh cells (white arrows). **(E)** An image compatible with a cognate B cell-Tfh cell contact characterized by pSTAT6⁺ B cell in contact with PD1⁺ Tfh cell; immunohistostaining on paraffin-embedded tonsil sections with DAPI (blue), PAX5 (green), PD1 (white), and pSTAT6 (red). **(F)** Number of pSTAT6-PAX5 positive cells in three tonsils in the vicinity of PD1-positive T cells (left panel) and proportion of B cells closed to T cells among total of pSTAT6/PAX5-positive cells (right panel) in each tonsil.

the fourth quadrant (Q4). In Module 4, a transient expression pattern peaked during Q3 - probably in response to upstream factors such as MYC. It included the expression of *ATF5*, a transcription factor involved in the CREB3L2-ATF5-MCL1

survival pathway and which acts as a stress sensor (31). Module 5 featured a transient decline in the expression of B cell identity genes in Q1, a sharp increase in Q2 and Q3, and a drastic fall in Q4.

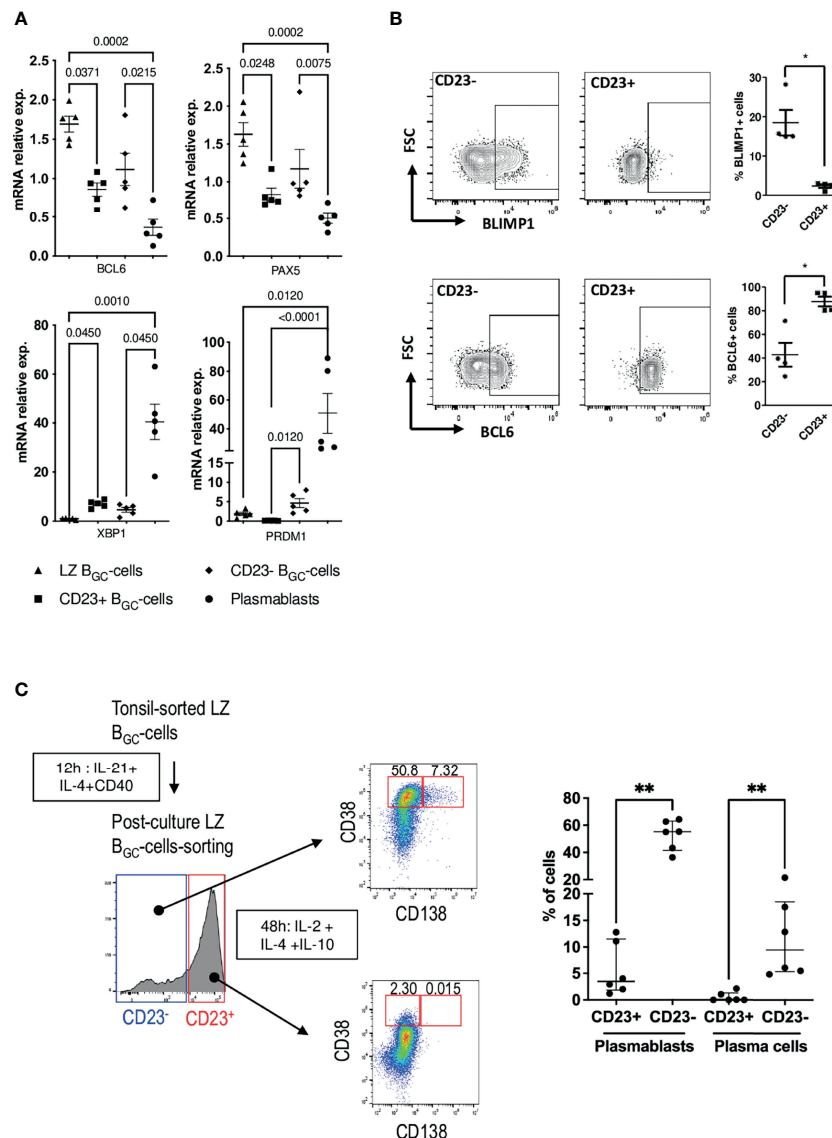


FIGURE 4 | CD23⁻ LZ B_{GC}-cells contain PB precursors. **(A)** *BCL6*, *PAX5*, *XBP1* and *PRDM1* gene expression after 12 h culture in the presence of IL-4, CD40L and IL-21 for LZ B_{GC}-cells vs. freshly sorted, paired LZ B_{GC}-cells and PBs (one-way Anova & Kruskal-Wallis multiple comparisons test). **(B)** Flow cytometry analysis of BLIMP1 and BCL6 expression in post-culture CD23⁺ and CD23⁻ LZ B_{GC}-cells: Left panel, representative flow graphs; Right panel, results of four independent experiments (**P* ≤ .05; Mann-Whitney test). **(C)** Post-culture CD23⁺ and CD23⁻ LZ B_{GC}-cells were subsequently maintained for 48 h in the presence of IL-2, IL-4 and IL-10 prior to flow cytometry analysis, in order to detect CD38^{bright}CD138⁻ PBs and CD38^{bright}CD138⁺ PCs. Left panel, graphical representation of the experiment and right panel, detected percentages of PBs and PCs for six independent experiments (***P* ≤ .01; Mann-Whitney test).

Our model of LZ B_{GC}-cell differentiation as a function of CD23 expression makes it possible to calculate how levels of transcription factors change in space and over time. The changes over time observed here were in line with the literature data (Figure 6D) (32). The *IRF4* gene was expressed in two phases, with an initial peak preceding the increase in expression of the B cell identity genes *PAX5*, *BCL6*, *BACH2*, and *SPIB*. The second peak occurred when the four factors were no longer expressed. *PAX5* expression showed a striking increase and peaked at the end of Q3 before dropping sharply and thus de-repressing the expression of *PRDM1*.

This result is consistent with the fact that LZ B_{GC}-cells enter an activation state before they switch to the PB differentiation pathway (32). Although *PRDM1* and *XBP1*s are late transcription factors that seal the commitment to PBs, *XBP1* expression starts as soon as B cells activate. Furthermore, our model highlights the *MYC* imprint involved in the differentiation of B cells; our results are in agreement with *MYC*'s description as a mediator of B_{GC}-cell survival and cell-cycle re-entry and as a marker of positive selection (7, 32, 33, 34). *MYC* expression is absent in sorted LZ B_{GC}-cells but rises quickly in the timeline followed by the expression of early *MYC*-target genes

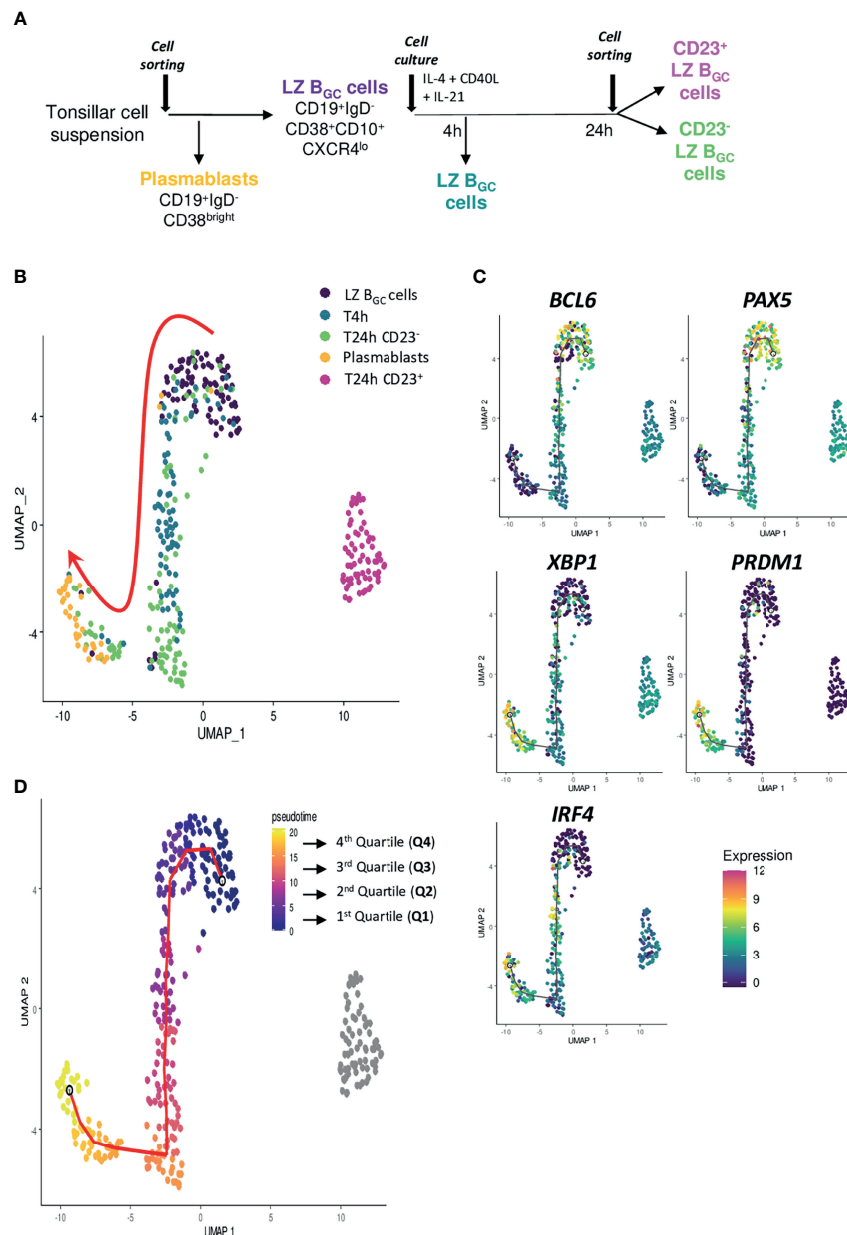


FIGURE 5 | Cell destiny of human LZ B_{GC}-cells after Tfh-like stimulation. **(A)** The experimental plan, with five different cell populations (colored script) analyzed using sc-RTqPCR. **(B)** The UMAP representation (colored according to the experimental conditions) highlighted two different fates as a function of CD23 expression. **(C)** Projection of B cell identity genes (*BCL6* and *PAX5*) and PC identity genes (*PRDM1*, *XBP1*, and *IRF4*) onto the UMAP representation. **(D)** The UMAP representation (colored according to the Monocle pseudotime), showing an ordered, progression in four quadrants from LZ B_{GC}-cells to PBs.

TP53, *CDK4* and *CDK6* (**Figure 6E**) (35, 36) and then the proliferative genes from Module 6 plus *CCNB1* (Module 4) – marking cell cycle re-entry.

Overview of IL-4 Signature Integration in LZ B_{GC}-Cells

The pseudo-time inferred from the Monocle algorithm provided an overview of the signal delivered by IL-4 (present in our differentiation cocktail) through the expression of *STAT6*, *IL4R*,

FCER2 and the well-established IL-4/STAT6 target *CCL22* (37) (**Figure 7A**). In line with our previous results (19), LZ B_{GC}-cells are unable to upregulate *FCER2* once they have committed to the PBs pathway but do produce a clear *STAT6* response marked by the expression of *IL4R* and *CCL22*. We then positioned on the sc-RNA-seq UMAP plot of total B_{GC}-cells from Holmes et al. (26) genes a B cell-specific IL-4 response signature (**Figure 7B**) (38). Positive cells for this signature were predominantly located in an area between pre-MBC and PB clusters, the latter two representing the two

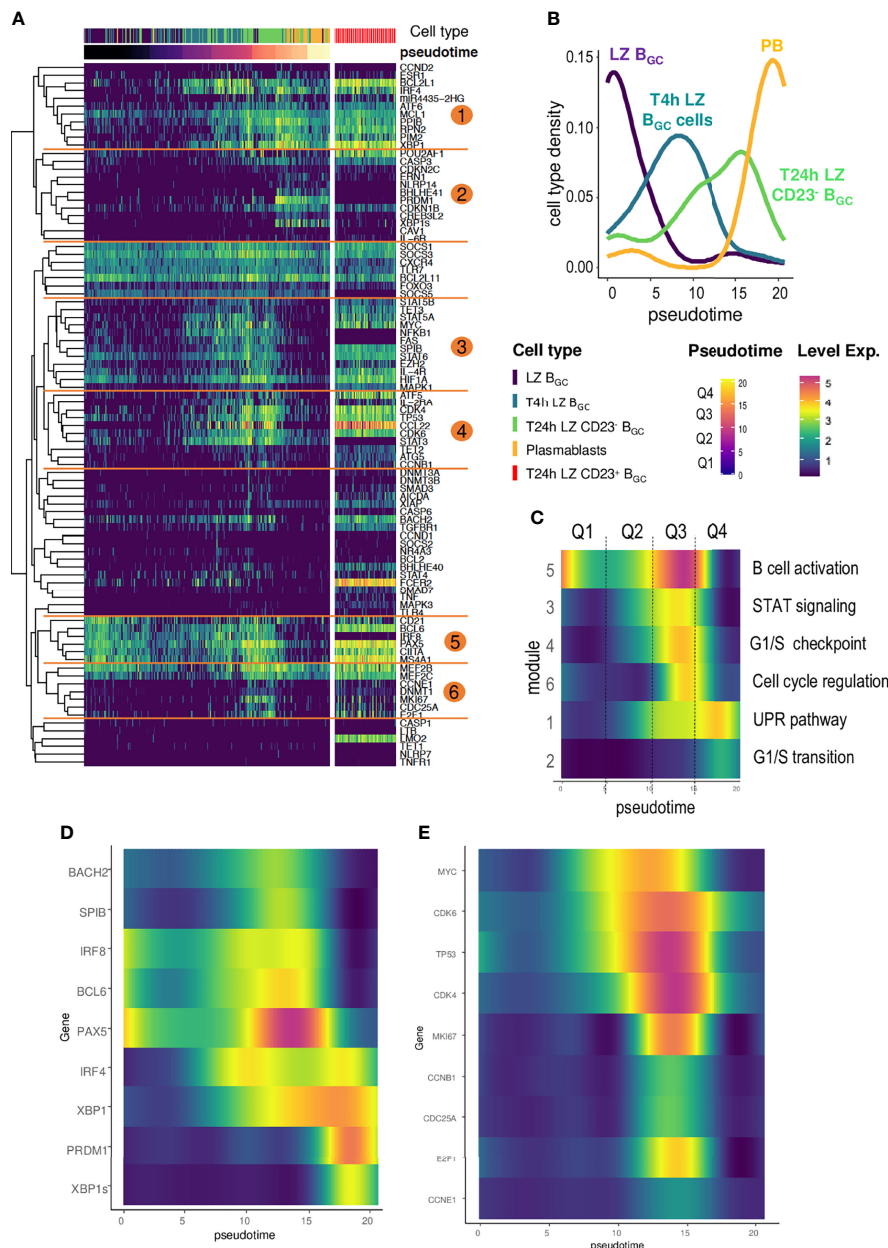


FIGURE 6 | The time course of gene analysis during LZ B_{GC}-cell differentiation. **(A)** A heatmap computed for Monocle-ordered cells (LZ B_{GC}-cells, PBs, T4h LZ B_{GC}-cells, T24h CD23⁺ LZ B_{GC}-cells, and CD23⁻ LZ B_{GC}-cells), showing six different gene modules annotated with GeneMANIA. The pseudotime scale was calculated from the gene expression data. **(B)** Density of cell subsets in each quadrant of the pseudotime. **(C)** Smooth analysis of the time course of each module by pseudotime quadrant (Q1 to Q4) for all cell subsets except T24h CD23⁺ LZ B_{GC}-cells. **(D)** The time course of gene expression during B cell activation and differentiation into PCs showing (i) a bimodal expression of *IRF4*, (ii) a striking peak for *PAX5*, (iii) *PRDM1* elevation synchronized with *PAX5* decline, and (iv) the specific expression of *XBP1s* in the last quadrant of the pseudotime compared to *XBP1*. **(E)** Gene expression during the differentiation of CD23⁻ LZ B_{GC}-cells for Monocle-ordered cells showing a sequential transition from LZ B_{GC}-cells to PBs for *MYC* expression, *MYC*-target genes and gene involved in cell cycle re-entry.

distinct fates for B_{GC}-cells (Figure 7C). A similar distribution was obtained for cells expressing *FCER2* with higher density on the far right of the map, drawing a ridge line extending from the intermediate (INT) 6 cluster to the pre-MBC cluster (Figure 7D). Statistical analysis showed a striking enrichment for the IL-4 signature in INT5, INT6, light-zone (LZ) and pre-memory

clusters compared to other clusters (Figure 7E). IL-4 signaling was significantly enriched in *FCER2*⁺ cells compared to *FCER2*⁻ counterparts in some clusters including INT6, LZ and pre-memory (Figure 7F). Interestingly, these three latter also exhibited the highest proportion of *FCER2*⁺ cells compared to other clusters (Figure 7G). Finally, unlike pre-MBCs, most differentiated PBs were

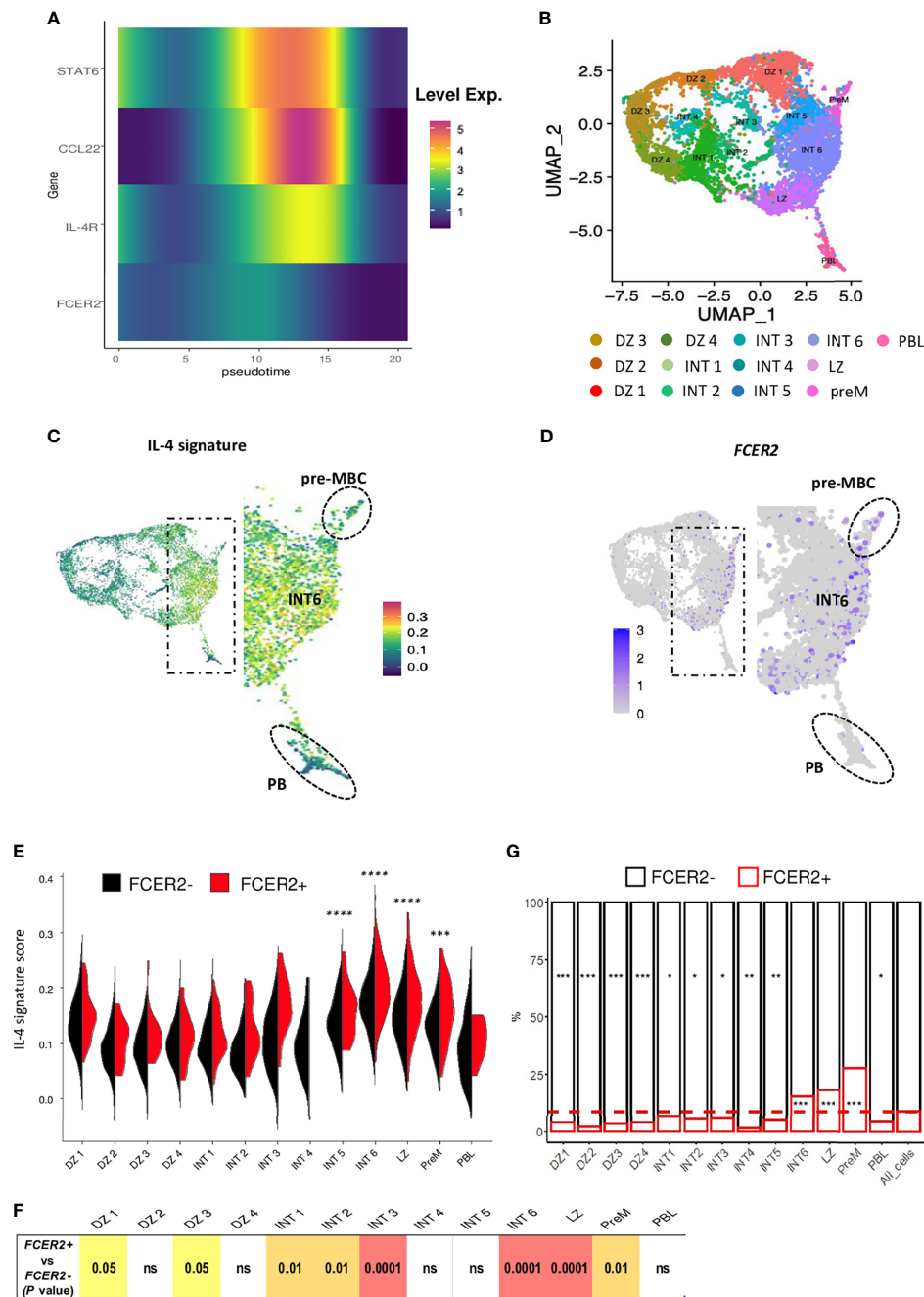


FIGURE 7 | Overview of the IL-4 signature in LZ BGC-cells. **(A)** The time course of expression of IL-4-induced genes by quadrant showing a difference between the almost flat *FCER2* expression and the strong *CCL22* expression. **(B–G)** Single-cell RNA-seq data for human tonsil-derived total BGC-cells, from Holmes et al., 2020. **(B)** UMAP representation of the 13 specific clusters defined by Holmes et al. **(C)** UMAP showing cell expression of the IL-4 signature, noteworthy that positive cells are located in the right part of the map mainly in intermediate 6 (INT6) cluster (enlarged view) with an expression maintained in pre-MBCs (Top, dotted circle) while PBs are negative (Bottom, dotted circle). **(D)** UMAP showing *FCER2*⁺ cells; the enlarged view shows the preferential enrichment of these cells on the far right of the map depicting a ridge line extending from the INT6 cluster to the pre-MBC cluster (top, dotted line circle). **(E)** Violin plots comparing IL-4 signature expression in each of the 13 GC B cell clusters for *FCER2*⁺ (red) and *FCER2*⁻ (black) cells (Pairwise t-test for IL-4 signature comparison between clusters is indicated above the plot; ****P* < .001; *****P* < .0001). **(F)** Table of *P* values of Wilcoxon test comparing *FCER2*⁺ and *FCER2*⁻ BGC-cells for IL-4 signature in each of the 13 clusters. **(G)** Percentage of *FCER2*⁺ and *FCER2*⁻ BGC-cells in the 13 clusters. Dotted line represent the mean value of positive cells for all clusters (last barplot named All_cells). Chi2 test for each population compared to total cells (**p* < 0.05, ***p* < 0.01, ****p* < 0.001, "ns" for non significant); significant test are indicated in the barplot of enriched *FCER2* neg or pos populations accordingly.

negative for both IL-4 signature and *FCER2* expression, confirming that in last steps of the PB commitment, cells turned off their IL-4/STAT6/*FCER2* signaling (19).

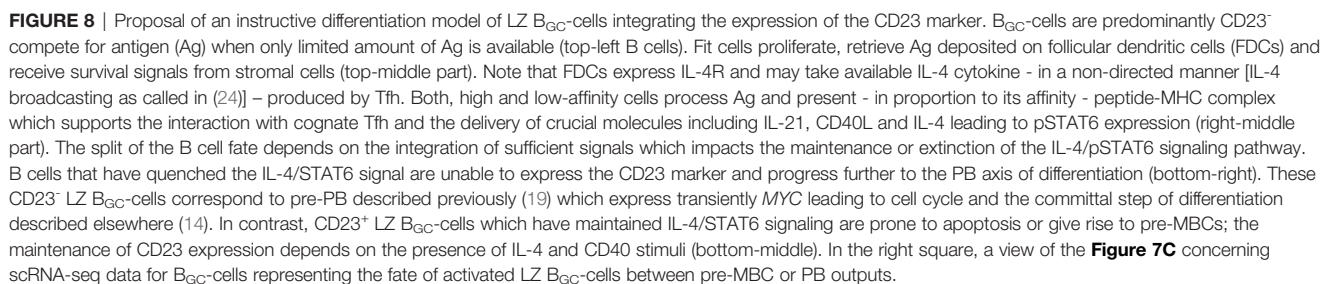
DISCUSSION

In the present study, we investigated the functional diversity of human LZ B_{GC}-cells collected at a single point in time from tonsils and rLNs. We found that the PC precursors are contained within the CD23-negative LZ B_{GC}-cell compartment. Based on our findings, time course gene expression analysis provided new information on the LZ B_{GC}-cells' commitment to differentiation and, in particular, on the kinetics and interconnexion between transcription factors.

Conventionally, it has been thought that like in mice, human B_{GC}-cells express CD23 in response to molecules produced by Tfh cells (19). Our results show that less than 10% of tonsil B_{GC}-cells expressed this protein, this number increasing when considering only LZ B_{GC}-cells. In contrast, in rLNs, LZ B_{GC}-cells express much higher levels of CD23 positive cells reflecting likely that unlike chronically inflamed tonsils, rLN tissues are produced in an acute response to a more limited number of antigens. In addition, our study confirmed previous data by showing that LZ stromal FDCs express very high levels of the CD23 receptor (22, 23). Complementing very recently published data from Cyster's team showing that FDC restricted IL-4 availability in the GC (24) and our work revealing the expression of IL-4 receptor and CD40 by FDCs (39), it is tempting to speculate that FDCs activate CD23 expression by trapping molecules produced by Tfh cells in the LZ and then titrating them. In such a case, the LZ B_{GC}-cells require effective, lasting contact with Tfh cells for activation leading notably in B cells by the recruitment of the IL-4/STAT6 signaling and CD23 expression (19). Our pSTAT6 protein staining is consistent with the detection of the CD23 marker and the single-cell data on *FCER2* expression; these findings indicate that only a small proportion of LZ B_{GC}-cells are engaged in B cell-Tfh cell contacts at a given point in time. These results also agree with (i) the transient, dynamic interactions between B_{GC}-cells and Tfh cells observed in GCs (providing opportunities for competition between B cells) and (ii) the fact that up to half of the B_{GC}-cells undergo apoptosis every 6 hours due to the absence of active positive selection and irrespective of the BCR affinity (34). *In silico* reanalyzed data from Holmes et al. (26) shows that *FCER2*-expressing LZ B_{GC}-cells are activated, BCR⁺ cells that might upregulate *CD86* - a gene whose expression in MBCs is induced by IL-21 (40). In addition, flow cytometry detects significantly more CCR6⁺ cells in CD23⁺ than CD23⁻ LZ B_{GC}-cells. The sc-qRT-PCR experiment shows no upregulation of genes related to PC differentiation after stimulation of sorted CD23⁺ LZ B_{GC}-cells as well as absence of cells downregulating the *FCER2* expression. Overall, our findings support the hypothesis whereby CD23 expression characterizes Tfh-instructed, post-activated LZ B_{GC}-cells diverted from a PC fate (40, 41). However, some activated LZ B_{GC}-cells may decrease the density of the membrane-bound

form of CD23 - a probable reason for the expression of the *FCER2* gene in some CD23⁻ tonsillar LZ B_{GC}-cells sorted by flow cytometry - after release as a freely soluble molecule due to ADAM10 sheddase (42, 43). This point may contribute to our BCR repertoire results where CD23⁺ and CD23⁻ subsets are clonally related, which do not exclude that clonal evolution could possibly stand at different stages of their differentiation. Collectively, our data demonstrate that CD23⁻ LZ B_{GC}-cells are heterogeneous and contain both stimulated and unstimulated B cells as well as B cells having committed to the PB pathway. In these committed B cells, the IL-4 signal is removed and the *FCER2* gene can no longer be upregulated, the PBs being totally negative for this signaling and this marker. Interestingly, committed CD23⁻ LZ B_{GC}-cells maintain a transient ability to upregulate IL-4/pSTAT6-dependent *CCL22* after stimulation; this observation is consistent with the finding that *CCL22* promotes positive selection in murine GCs by increasing the chance of productive Tfh help (44). Thus, in the committal step of PB generation, IL-4/STAT6 signaling is definitively repressed before a switch into a PC gene expression pattern during the S phase of the cell cycle (14, 19). In agreement with a recent study, we used a sensitive sc-RT-qPCR to detect proliferating CD23⁻ LZ B_{GC}-cells that steadily increased their expression of *MYC* - an indicator of positive selection (45)- after *in vitro* stimulation (20). The computed cell trajectory showed that some LZ B_{GC}-cells reached the PB site after just 4 h and indicated that some cells were ready to recycle and differentiate after appropriate stimulation. This finding is consistent with previous data on high-affinity LZ B_{GC}-cells that migrate to the DZ and can differentiate into PCs, depending on the amount of CD40 signal captured (13, 46). Overall, the trajectory of CD23⁻ LZ B_{GC}-cells (i) sheds light on the time course in gene expression during commitment to the PC pathway, and (ii) enables comparisons with regard to various genes and possible interdependencies. For example, transient expression of *IRF4* sustains the expression of *BCL6* and *POU2AF1* (47); *POU2AF1* expression is consistent with its ability to activate B_{GC}-cells and induce GC formation by (at least in part) underpinning the IL-4 response (48) and then enhancing the generation of PCs (49).

Overall, the CD23 marker might be of value in answering questions about the differentiation of normal B_{GC}-cells. Based on extensive data in the literature including our previously published data (14, 50, 51) and present results complemented by explorations on previous scRNA-seq (26) as well as recent results of Duan et al. (24), we propose an instructive model of the maturation and fate of human B_{GC}-cells in LZ (**Figure 8**). The majority of B_{GC}-cells are CD23-negative, progress in an affinity-based proliferation and compete for Tfh help leading to a productive IL-4/pSTAT6 response. B cells committed in PB differentiation first lose their capacity to express the CD23 marker, then IL-4/pSTAT6 signaling and finally trigger final PC programming supported by a specific demethylation process (14). In this context, CD23-negative committed B cells correspond to pre-PBs, diverted from cell death, they transiently express *MYC* which triggers the cell cycle and gives rise to a metamorphosis of B cells into PBs. The cell fate split



MojoSort Human Pan B Cell Isolation Kit (Biolegend, San Diego, CA) with the addition of biotinylated IgD antibody (BD Biosciences, San Jose, CA) and the AutoMACS deplete program (Miltenyi Biotech, Bergisch Gladbach, Germany). LZ B_{GC}-cells (CD19⁺IgD⁻CD10⁺CD38⁺CXCR4^{lo}) and PBs (CD19⁺IgD⁻CD38^{bright}) were then sorted using a FACSARIA system (BD Biosciences). All antibodies used for flow cytometry are listed in **Supplemental Material, Method & Tables, Table 1**.

Single-cell experiments were performed using the Fluidigm® C1™ systems according to manufacturer instructions. Briefly, sorted cells were captured with C1 Single-Cell Auto Prep integrated fluidic circuits (IFC) 5-10 μm (Fluidigm; 100-5757). Cells were then lysed, and reversed transcription and pre-amplification (Ambion Single cell-to-Ct Kit; 4458237) was done within the C1 system. Gene expression levels were then assessed by qPCR for selected taqman assays using Taqman Gene expression master mix Life technologies; 4369016) on 96.96 Dynamic Arrays IFC (Fluidigm; BMK-M-96.96) within the Fluidigm BioMark™ HD system. The list of TaqMan assay-on-Demand™ used is provided in **Supplemental Material, Method & Tables, Table 2.**

Histo-Immunofluorescence Staining

Human tonsils and reactive lymph nodes were embedded in Optimal Cutting Temperature Compound (OCT, Sakura) and conserved at -80°C . Cryostat sections ($18\text{ }\mu\text{m}$ thick) were fixed with 4% paraformaldehyde for 15 min at room temperature (RT). Sections were then incubated during 1 h with a blocking solution (PBS, 2% Bovine Serum Albumin, 4% donkey serum and 0.1% saponin) at RT and incubated in a humidified chamber overnight at 4°C with primary antibodies. Sections were washed with PBS 0.1% saponin and incubated with secondary antibodies for 1 h at RT. Finally, tissue sections were mounted with Mowiol (Merck) antifade reagent containing $20\mu\text{M}$ of SytoxBlue nucleic acid stain (Thermo Fischer) and analyzed by confocal microscopy on a SP8 (Leica Microsystems). ImageJ software (National Institutes of Health) was used for image analysis. The list of primary and secondary antibodies used for immunohistochemistry is provided in **Supplemental Material, Method & Tables, Tables 3, 4**.

For multiplex fluorescence microscopy and analysis, three FFPE samples of human tonsil were provided by the Pathology department of Rennes. Four-micrometer-thick whole-slide sections, obtained with a microtome (Histocore multicut Leicabiosystems, Nanterre, France) from FFPE tissue, were transferred onto plus-charged slides (VWR international), followed by multiplex immunofluorescence staining with a U DISCOVERY 5 plex immunofluorescence (Roche Diagnostics, Meylan, France). Four sequential rounds of staining were performed each including heat deactivation step, followed by incubation with primary antibody and corresponding HRP secondary antibody. Hence, primary antibodies expressions (as described in **Supplemental Material, Method & Tables, Table 3**) were visualized on the same section. HRP enzyme mediated deposition of the tyramide; coupled to respectively rhodamine, DCC, cyanine-5 and FAM fluorophores species (kits Ventana Medical Systems, Tucson, Arizona) that covalently bound to the tissue at the site of the reaction. After four sequential reactions, sections were counterstained with DAPI and cover slipped using fluoromount (Enzo Life Sciences, Farmingdale, NY, USA). Visualization was performed with the Nanozoomer (Hamamatsu Photonics, Massy, France) equipped with the multicolor fluorescence module.

For the pSTAT6-positive B_{GC}-cell percentage assessment, an automated analysis by machine learning with the HALO software was performed. After cell segmentation and nuclear detection pSTAT6, CD20, PAX5 and PD1 threshold intensities were set up. Six GCs in three different tonsils were analyzed. Double CD20 or PAX5 and pSTAT6-positive cells and total CD20- or PAX5-positive cells were quantified and a percentage of positive pSTAT6 B cells was assessed.

Information About RNA-Seq Datasets Used Throughout the Paper

Bulk RNAseq datasets used to select genes analyzed by sc-qPCR in this study are available in the Gene Expression Omnibus database under accession no. GSE136990.

Single-cell-RNAseq datasets from Holmes et al.'s paper (26) are available in the Gene Expression Omnibus database under accession no. GSE139833. sc-gene expression data are available under accession no. GSE139891.

Statistical Analysis

Quantitative variables were expressed as the mean \pm standard deviation (SD). Statistical analyses were performed with Prism software (version 5, GraphPad Software, San Diego, CA) and R software (version 3.6.0). Statistical significance was assessed using the Mann-Whitney nonparametric U test and a one-way Anova and Kruskal-Wallis multiple comparison test ($*P \leq .05$; $**P < .01$; $***P < .001$; $****P < .0001$).

DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. These data can be found here: 1) The dark zone and light zone bulk single-cell RNAseq gene expression data are available in the Gene Expression Omnibus database under accession no. GSE139833. sc-gene expression data are available under accession no. GSE139891; 2) CD23-positive and CD23-negative RNA-seq data have been deposited in the Gene Expression Omnibus: GSE136990.

ETHICS STATEMENT

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. The ethics committee waived the requirement of written informed consent for participation.

AUTHOR CONTRIBUTIONS

KS performed experiments, designed some experiments, analyzed data. FD analyzed and integrated omics data, created tools for analysis, supervised KS for some experiments and helped draft the manuscript. GC performed and designed experiments, reviewed the paper. SL analyzed single-cell transcriptome data., MH, CD, and AP performed experiments to characterize CD23 marker expression on the various B cell populations. FC analyzed and integrated omics data and created tools for analysis. DR and MC analyzed B cell repertoires. CM performed single-cell experiments. MS and CL performed histology and immunofluorescence experiments. KT analyzed stromal cell staining and B cell-Tfh cell cocultures. TF designed the study, performed transcriptome analysis, supervised the project and wrote the manuscript. All authors read and approved the manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2021.744573/full#supplementary-material>

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