

# UNDERSTANDING TUMOR HETEROGENEITY AND ALTERED METABOLISM IN GLIOMAS

EDITED BY: Yaohua Liu, Lingtao Jin and Shouwei Li

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# UNDERSTANDING TUMOR HETEROGENEITY AND ALTERED METABOLISM IN GLIOMAS

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# Emerging Role of Long Non-Coding RNAs in the Pathobiology of Glioblastoma

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Glioblastoma is the utmost aggressive diffuse kind of glioma which is originated from astrocytes, neural stem cells or progenitors. This malignant tumor has a poor survival rate. A number of genetic aberrations and somatic mutations have been associated with this kind of cancer. In recent times, the impact of long non-coding RNAs (lncRNAs) in glioblastoma has been underscored by several investigations. Up-regulation of a number of oncogenic lncRNAs such as H19, MALAT1, SNHGs, MIAT, UCA, HIF1A-AS2 and XIST in addition to down-regulation of other tumor suppressor lncRNAs namely GAS5, RNCR3 and NBAT1 indicate the role of these lncRNAs in the pathogenesis of glioblastoma. Several *in vitro* and a number of *in vivo* studies have demonstrated the contribution of these transcripts in the regulation of cell proliferation and apoptosis, cell survival, invasion and metastasis of glioblastoma cells. Moreover, some lncRNAs such as SBF2-AS1 are involved in conferring resistance to temozolomide. Finally, few circularRNAs have been identified that influence the evolution of glioblastoma. In this paper, we discuss the impacts of lncRNAs in the pathogenesis of glioblastoma, their applications as markers and their implications in the therapeutic responses in this kind of cancer.

**Keywords:** lncRNA, circRNA, glioblastoma, expression, polymorphism

## INTRODUCTION

Being considered as grade IV glioma tumors, glioblastomas are the utmost aggressive diffuse kind of glioma originating from the astrocytes, neural stem cells or progenitors (1). This type of brain tumor includes about half of all glioma tumors and less than 20% of all primary brain tumors (2). Although being a rare tumor, the poor prognosis and low survival rate of glioblastoma have made it an important public health problem (3). It is more frequent in men compared with females, in Western countries compared with developing world and in some ethnicities such as Asians, Latinos and Whites (3). The etiology of this kind of tumor is largely unclarified, as no causal carcinogen has been linked with it. High dose ionizing radiation is the solitary environmental element that is highly associated with risk of glioblastoma (4). A number of genetic aberrations such as activation of growth factor cascade through amplification and mutations in receptor tyrosine kinase genes,

induction of the PI3K proteins and loss of the p53 and Rb tumor suppressor genes have been identified in glioblastoma (5). Genome-wide and direct sequencing techniques have also detected recurrent disease-causing mutations in glioblastoma samples in a number of genes such as *IDH1* (6) and *TERT* promoter (7). Moreover, contemporary studies have conveyed anomalous expression of long non-coding RNAs (lncRNAs) in glioblastoma samples indicating the impact of these transcripts in the pathobiology of this kind of cancer (8). These transcripts are larger than 200 nucleotides and regulate expression of numerous genes at transcriptional, post-transcriptional, and epigenetic phases (9). In the current paper, we discuss the impact of lncRNAs in the pathobiology of glioblastoma and their effects on the regulation of cell proliferation and apoptosis, cell survival, invasion and metastatic aptitude of glioblastoma cells.

## ONCOGENIC LNCRNAS IN GLIOBLASTOMA

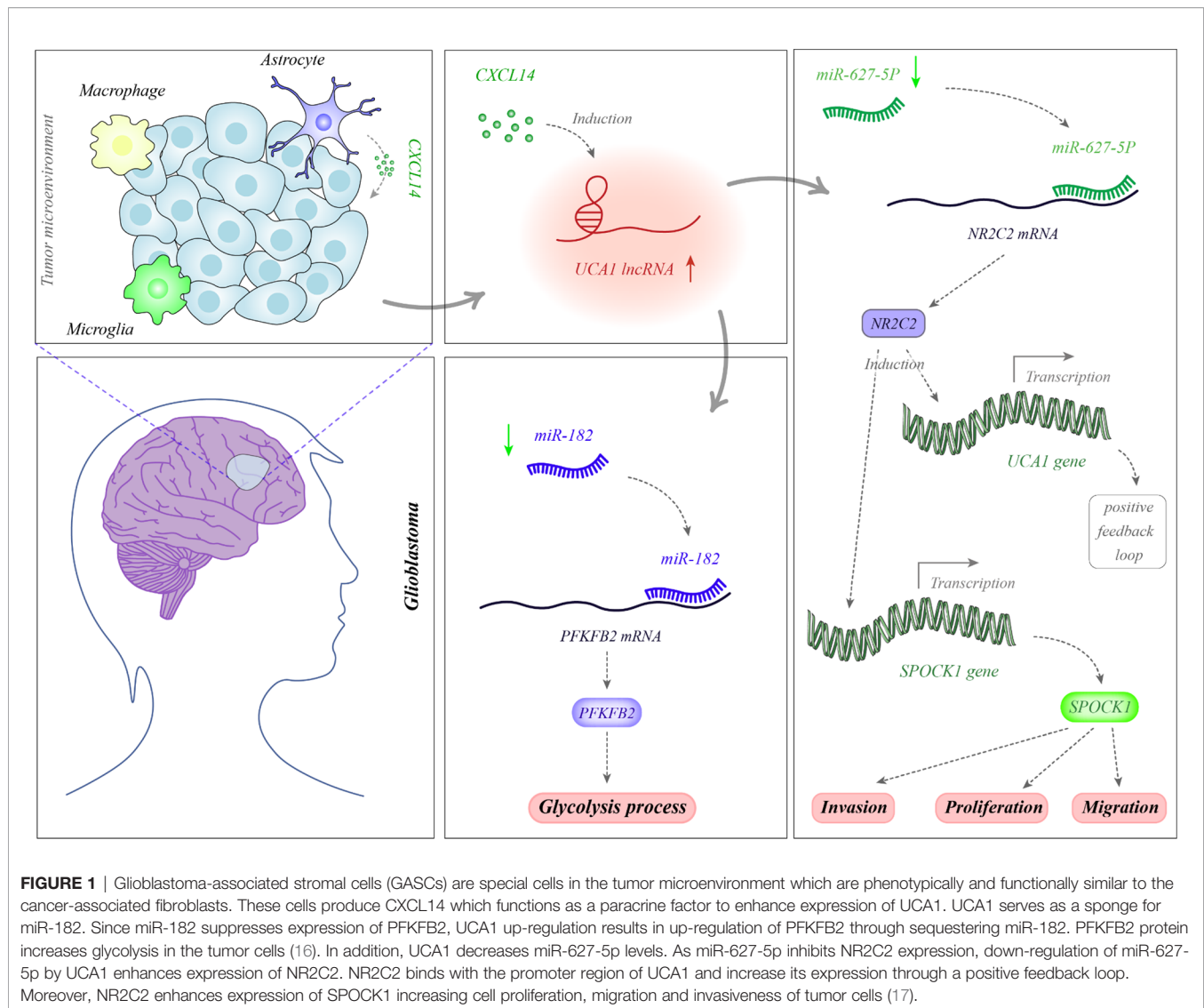
Several oncogenic lncRNAs have been up-regulated in glioblastoma samples. For instance, MIR22HG is an oncogenic lncRNA which has been shown to be highly dysregulated in glioblastoma *via* assessment of accessible datasets. This lncRNA hosts miR-22-3p and miR-22-5p. Further studies have unraveled over-expression of the MIR22HG/miR-22 route in glioblastoma and glioma stem-like cells. Over-expression of MIR22HG in glioblastoma samples has been related with poor patients' outcome. Knock down of this lncRNA has led to inactivation of the Wnt/ $\beta$ -catenin route *via* modulating miR-22-3p and miR-22-5p expressions. Functionally, MIR22HG silencing has diminished cell proliferation, invasion and tumor growth in xenograft models. The mentioned miRNAs have been shown to target SFRP2 and PCDH15. Taken together, MIR22HG has been acknowledged as an important activator of the Wnt/ $\beta$ -catenin signaling pathway, and its silencing has been proposed as a therapeutic modality in this kind of cancer (10). The small nucleolar RNA host gene 5 (SNHG5) is another up-regulated lncRNA in glioblastoma which enhances cell proliferation and suppresses cell apoptosis in these cells. Expression of this lncRNA is activated by the Yin Yang 1 (YY1) transcription factor. This lncRNA exerts its oncogenic role *via* stimulation of the p38/MAPK axis (11). SNHG9 has also been demonstrated to be over-expressed in glioblastoma samples in association with poor survival of patients. SNHG9 has a role in suppression of miR-199a-5p expression and enhancement of Wnt2 expression in glioblastoma cells. This lncRNA has been revealed to enhance aerobic glycolysis and cell proliferation (12). Expression of SAMSON has been increased in the plasma of patients with glioblastoma but not in those with diffuse neurosarcoidosis, a disorder that shares MRI signs with glioblastoma. This lncRNA has been displayed to suppress expression of miR-622 in glioblastoma cells and subsequently enhance cell (13). MIAT is another up-regulated lncRNA in glioblastoma. Bountali et al. have knocked down this lncRNA in glioblastoma cell lines and analyzed RNA profile of these cells *via* RNA sequencing method.

They reported differential expression of several genes including those participating in cancer-associated functions, namely cell growth and viability, apoptotic features, reactive oxygen species creation and migration. Functionally, MIAT silencing abolishes long-term viability and migration and enhances apoptosis in these cells (14). A genome-wide expression profiling in glioblastoma cells has identified MALAT1 as one of the most remarkably over-expressed genes following treatment with temozolomide (TMZ). Expression of this lncRNA has been co-regulated by p50 and p53 through  $\kappa$ B- and p53-binding sites which are located in coding sequence of this lncRNA. MALAT1 silencing has increased sensitivity of patient-originated glioblastoma cells to TMZ and improved the effects of this drug in xenograft mice models (15). UCA1 is another oncogenic lncRNA which enhances cell proliferation and migration, while suppressing cell apoptosis. **Figure 1** depicts the molecular mechanisms through which UCA1 participates in the pathogenesis of glioblastoma.

**Table 1** reviews the function of oncogenic lncRNAs in glioblastoma.

## TUMOR SUPPRESSOR LNCRNAS IN GLIOBLASTOMA

Expression of GAS5 has been decreased in glioblastoma and its levels have been negatively correlated with miR-34a levels (61). In addition, expression of AC016405.3 has been decreased in glioblastoma tissues in association with numerous aggressive characteristics of this type of cancer. Up-regulation of this lncRNA inhibits proliferation and metastatic ability of glioblastoma cells. The oncogenic miRNA, miR-19a-5p has been identified as a downstream miRNA of AC016405.3. AC016405.3 has been shown to be targeted by miR-19a-5p. Functionally, AC016405.3 inhibits cell proliferation and metastasis *via* regulation of TET2 by serving as a sponge for miR-19a-5p (62). LINC00657 is another tumor suppressor lncRNA whose expression has been decreased in glioblastoma sections compared with neighboring normal section. Up-regulation of this lncRNA has suppressed cell proliferation, colony formation, invasiveness and migratory potential of glioma cells through activating cell apoptosis. LINC00657 has been acknowledged as a direct target of miR-190a-3p, a miRNA that negatively regulates PTEN expression. The tumor suppressive role of LINC00657 has also been verified in xenograft models (63). The lncRNA AC003092.1 has been shown to be down-regulated in TMZ resistance cells compared with their original cells. Moreover, down-regulation of this lncRNA has been correlated with resistance to TMZ, higher possibility of tumor relapse, and poor patients' outcome. Cell line studies has shown improvement of TMZ sensitivity following up-regulation of AC003092.1. The effect of this lncRNA in the modulation of TMZ sensitivity is exerted *via* regulation of TFPI-2-associated cell apoptosis through sponging miR-195 (64). RNCR3 is another down-regulated lncRNA in glioblastoma. Over-expression of this lncRNA significantly suppresses cell



survival and proliferation of glioblastoma cells, while enhancing cell apoptosis and activity caspase-3/7. Besides, up-regulation of this lncRNA enhances expression of Krüppel-like factor 16 (KLF16) *via* suppressing miR-185-5p (65). **Table 2** gives an outline of studies which assessed function of tumor suppressor lncRNAs in glioblastoma.

## DIAGNOSTIC AND PROGNOSTIC VALUE OF LNCRNAs IN GLIOBLASTOMA

Expression levels of lncRNAs can distinguish patients with glioblastoma from cancer-free individuals. Moreover, these transcripts can possibly differentiate different brain tumors. For instance, plasma levels of SAMMSON can differentiate glioblastoma from both diffuse neurosarcoidosis and healthy controls (13). Among lncRNAs whose diagnostic power has been assessed in glioblastoma, HOTAIR has exhibited the most promising results.

Tan et al. have demonstrated significant higher levels of this lncRNA in sera of glioblastoma patients compared with controls. The area under the receiver operating characteristic (ROC) curve was 0.913 indicating the ideal feature of HOTAIR for this purpose. Moreover, they reported significant correlation between its levels and high tumor grade. Notably, there was significant correlation between tumor and serum levels of this lncRNA. Finally, exosomes extracted from the serum samples have been shown to contain this lncRNA, further emphasizing the application of this lncRNA in the prognostic and diagnostic processes in glioblastoma (49). In addition, Kaplan-Meier analysis has indicated the correlation between expression levels of several lncRNAs such as SNHG9, TRG-AS1, AGAP2-AS1, lnc-TALC, SBF2-AS1, SNHG20, AC016405.3, LINC-ROR, HOXB-AS1, H19, LINC00152, RAMP2-AS1 and GAS5 and patients' prognosis in the terms of overall survival, disease-free survival and progression free survival. **Table 3** gives a summary of studies which assessed such aspect of lncRNAs in glioblastoma.

**TABLE 1 |** List of over-expressed lncRNAs in glioblastoma.

lncRNA	Patients' specimens	Cell line	Targets/Regulators	Signaling pathways	Functional impact	Impact of high expression on patient's prognosis	Reference
MIR22HG	18 gliomas and 5 NBT	U87MG, LN229, and LN18	b-catenin, miR-22-3p, SFRP2, PCDH15	Wnt	MIR22HG is over-expressed in glioma and glioma stem-like cells. Its silencing constrains the Wnt/b-catenin axis <i>via</i> loss of miR-22-3p and -5p. This diminishes proliferation, invasion and tumor growth.	poor survival	(10)
SNHG5	–	U251, U87, LN229 and HEB	ELK1, caspase-3, STAT1, p-p38/YY1, TNF- $\alpha$	p38/MAPK	SNHG5 enhances GBM proliferation and suppresses apoptosis in GBM. YY1 is the activator of SNHG5 transcription in GBM.	–	(11)
SNHG9	–	U87 and U251	miR-199a-5p and Wnt2	Wnt/b-catenin	SNHG9 enhances aerobic glycolysis and cell proliferation, which can be weakened by miR-199a-5p.	lower survival rate	(12)
SAMMSON	56 patients with GBM, 34 patients with diffuse neurosarcoidosis and 35 healthy controls	U87, U-373	miR-622	–	SAMMSON overexpression down-regulates miR-622 and increases proliferation rate.	–	(13)
DLEU1	10 GBM tissues and 10 adjacent NBT	SHG-44, U251	TRA F4	–	Over-expression of DLEU1 enhances viability and cell proliferation.	–	(18)
TRG-AS1	51 glioma tissues and 51 NBTs	U251, U87, A172, LN229, NHAs	miR-877-5p	–	TRG-AS1 inhibits miR-877-5p while miR-877-5p inhibits SUZ12 expression.	poor prognosis	(19)
LINC01579	51 patients with GBM	U251, U87, U87MG, LN229, NHA	miR-139-5p	–	LINC01579 regulates cell proliferation and apoptosis through binding with miR-139-5p.	–	(20)
AGAP2-AS1	58 GBM patients	A172, U87/MG, U251/MG, LN229, SHG44, NHA	EZH2 and LSD1	–	Up-regulation of AGAP2-AS1 enhances cell proliferation and apoptosis.	Poor prognosis	(21)
lnc-TALC	79 GBM patients	LN229, U251, 551W, HG7, 229R, 251R, 551WR, HG7R	miR-20b-3p/ phosphorylated AKT/FOXO3 axis	c-MET	lnc-TALC is associated with TMZ resistance through interacting with miR-20b-3p to enhance c-Met expression.	Low lnc-TALC expression improved prognosis after receiving TMZ.	(22)
LncSBF2-AS1	20 primary and their corresponding recurrent GBM specimens (each pair from the same patient who was under TMZ treatment)	U87, LN229, A172, T98, U251, HEK293T, N3 primary culture cell	miR-151a-3p/ ZEB1	–	SBF2-AS1 is up-regulated in TMZ-resistant GBM cells and tissues.	Recurrent GBM patients cases with high serum exosomal SBF2-AS1 amounts had poor outcome and a resistance to TMZ.	(23)
SNHG20	78 pairs of human glioblastoma tissues and adjacent tissues	U87MG, U343, U251, LN215, NHA	Cyclin D1, CDK4, caspase 9, PI3K, Akt and mTOR	PI3K/Akt/ mTOR	SNHG20 overexpression enhanced cell proliferation, decreased apoptosis and increased stem properties.	Low survival rate	(24)
MALAT1	Patients expressing MALAT1 were separated into two low (n=19) and high (n=15) expressing groups	U87, A172, and U251, patient-derived GSCs, GBM34 and GBM44	-p50 and p52	NF- $\kappa$ B	MALAT1 silencing sensitizes GBM cells to TMZ.	–	(15)
–	–	U251, U87	ZEB1, MDR1, MRP5, LRP1	–	MALAT1 silencing down-regulated MDR1, MRP5, and LRP1 levels, increased response to TMZ, and decreased ZEB1 level.	–	(25)
–	–	U251	miR-101, GSK3 $\beta$ , MGMT	–	MALAT1 levels were higher in TMZ-resistant GBM cells. MALAT1 silencing reduces TMZ resistance by inhibiting cell proliferation and promoting apoptosis.	–	(26)
–	140 GBM patients: 70 responsive to TMZ and 70 non-responsive	U87, U251	miR-203, TS	–	MALAT1 induces resistance to TMZ <i>via</i> inhibiting miR-203 and enhancing thymidylate synthase expression.	Poor OS and RFS	(27)

(Continued)



TABLE 1 | Continued

lncRNA	Patients' specimens	Cell line	Targets/ Regulators	Signaling pathways	Functional impact	Impact of high expression on patient's prognosis	Reference
miR155HG	24 GBM tissues and 15 adjacent NBTs	normal human astrocyte cell line NHA, U87, U251, Ln229, T98, and A172, GP1 and GP2	miR-185/ANXA2, STAT3	PI3K-Akt	miR155HG enhances epithelial-to-mesenchymal transition in glioma. miR155HG silencing inhibited GBM cell proliferation, stimulated G1/S-phase cell cycle arrest, and enhanced apoptosis.	–	(28)
TP73-AS1	TCGA and GTEx datasets: 207 normal, 518 low grade glioma and 163 GBM	G26 and G7	ALDH1A1	–	TP73-AS1 increases TMZ resistance in GBM cancer stem cells and enhances tumor aggressiveness.	Poor prognosis	(29)
LINC-ROR	57 GBM tissues and 10 NBTs	–	caspase 3 and p53	–	Patients with OS less than 15 months had up-regulation of LINC-ROR.	poor PDF and overall survival	(30)
MIAT	–	SH-SY5Y, GBM 1321N1, GBMT98G	Many genes	MAPK, Phospholipase D, TGF- $\beta$ , NOD-like receptor, EGFR	MIAT enhances cell growth, survival, production of reactive oxygen species and migration, and decreases basal apoptosis.	–	(14)
HOXB-AS1	486 low grade glioma (LGG) and 154 glioblastoma (GBM) tissues	HA, LN229, U87 and U251 cell lines	miR-885-3p, HOXB2	–	HOXB-AS1 silencing suppresses cell proliferation through inducing S phase cell cycle arrest, and suppresses the migration and invasion capacity. GAPLINC enhances GBM cells proliferation, migration, and invasion, and reduces apoptosis.	Poor prognosis	(31)
GAPLINC	High GAPLINC expressing group (n=80) and low GAPLINC expressing group (n=81)	NHAs, T98G, U251, LN18, LN229, and A172	miR-331-3p	–	–	shorter overall survival and disease-free survival	(32)
AHIF	–	U87-MG and T98G GBM cell	Bax, Bcl-2, and caspase 7	–	AHIF was up-regulated in GBM cells after radiotherapy and affects GBM cell clonogenic formation, DNA repair and apoptosis.	–	(33)
	31 GBM patients and 7 adjacent NBT	U87-MG, U251-MG, A172, T98G	VEGF, angiogenin, Bcl-2, Bcl-xl, Mcl-1	–	AHIF enhances viability and invasiveness, and reduced the proportion of apoptotic cells. Exosomes originated from AHIF-overexpressing GBM cells enhanced viability, invasion and radio-resistance.	–	(34)
AGAP2-AS1	116 GBM tissues, 20 low-grade glioma samples and 20 adjacent NBTs	U87, U251, human astrocyte cell line (HA)	–	–	Up-regulation of AGAP2-AS1 enhances cell proliferation, migration, and invasion, but reduces cell apoptosis.	Shorter overall survival	(35)
lnc-UCA1	Glioma samples: Grade I–II (n=5), Grade III–IV (n=5) and normal human brain tissues (n=5)	Human U87 and U251 glioma cell	miR-627-5p, NR2C2	–	UCA1 overexpression enhances proliferation, migration, and invasion, but suppresses apoptosis.	–	(17)
	42 paired glioma tissues and NBTs	U251, U87MG	miR-182, PFKFB2/CXCL14	–	UCA1/miR-182/PFKFB2 axis induces glycolysis and invasion.	Poor survival	(16)
H19	50 FFPE brain tissue from GBM patients and 10 cancer-free brain tissue samples	–	miR-326	–	H19 over-expression confers poor OS and progression-free survival.	Poor OS	(36)
	–	U87, U251, Ln229, U373, U118, GP1, GP2	miR-181d, $\beta$ -catenin/Hif-1 $\alpha$ , PTEN, SP1	–	H19 expression is increased by Hif-1 $\alpha$ under hypoxia. H19 contributes in hypoxia-associated migration and invasion.	Lower survival rate	(37)
	30 glioblastoma tissues and adjacent NBT	U87, U373, HUVECs	–	–	H19 enhances glioblastoma cell invasion, neurosphere formation, tumor growth and angiogenesis.	Lower PFS	(38)

(Continued)



TABLE 1 | Continued

lncRNA	Patients' specimens	Cell line	Targets/ Regulators	Signaling pathways	Functional impact	Impact of high expression on patient's prognosis	Reference
	–	U87MG, U251, U343, Hs683, LN215, A172, NHA	–	–	H19 silencing reduced cellular proliferation and increased apoptosis rate when induced by TMZ. Cancer stem cell markers (CD133, Nanog, Oct-4, and Sox2) are increased by H19 upregulation.	–	(39)
LINC00152	35 samples (5 normal, 10 with grade two, 9 with grade three and 11 with grade four GBM)	LN229, U87-MG and N9 (patient-derived cells)	miR-612	AKT2/NF-κB	LINC00152 regulates malignant progression and proneural–mesenchymal transition.	Poor prognosis	(40)
	–	U87	TPM2, PTX3, IGFBP4, TGM2, SPP1, LUM	–	LINC00152 increases cellular invasion and EMT.	Poor survival	(41)
	40 glioblastoma samples and matched NBTs	U87, U251, LN229, A172, U118, NHA	E-cadherin, N-cadherin, Vimentin, and Snail, HMGA2	–	LINC00152 enhances cell proliferation, EMT and invasion.	–	(42)
LINC00470	50 GBM samples and 10 NBTs	U251, U87 and U118	ELFN2, miR-101, AurkA. and eIF2a		LINC00470 increases expression of ELFN2 and regulates methylation of ELFN2. LINC00470 suppresses ELFN2-induced GBM cell autophagy.	Poor prognosis	(43)
	60 astrocytoma tissues and 12 NBT	U251, U87	FUS and AKT	–	Higher pAKT induced by LINC00470 decreased ubiquitination of HK1 and suppressed autophagy. Higher LINC00470 expression was associated poor patient outcome.	Poor prognosis	(44)
LINC01446	31 pairs of GBM samples and adjacent normal tissues	NHA, A172, U87, U251 and T98G	miR-489-3p, TPT1		LINC01446 silencing suppressed GBM cell proliferation, arrested cell-cycle progression, decreased tumor growth and attenuated invasion.	poor prognosis and OS	(45)
CASP5	40 pairs of GBM and NBTs	A172, U87MG, U251MG, T98G, U118MG and the human astrocyte cell line HA	Cyclin D1, MMP-9, MMP-2, E-cadherin, N-cadherin, and Vimentin	–	CASP5 silencing has suppressed GBM proliferation and arrested cells in G1.	–	(46)
LOXL1-AS1	169 GBM RNA-seq data (68 MES and 101 PN)	U87MG	RELB	NF-κB	GBM cell proliferation was inhibited by LOXL1-AS1 silencing.	Poor prognosis and low OS	(47)
MNX1-AS1	44 pairs of GBM samples and adjacent normal tissues	U138, LN229, T98, U251	miR-4443	–	MNX1-AS1 enhanced the proliferation, migration, and invasion of GBM cells.	–	(48)
HOTAIR	43 GBM patients and 40 controls	–	–	–	HOTAIR expression correlates with high grade brain tumors.	–	(49)
	123 GBM cases from TCGA, 34 cases from CGGA2, 227 cases from Rembrandt, 79 cases from TTseq, and 77 cases from GSE4290	U87, U87vIII	NLK	β-catenin	HOTAIR silencing suppressed GBM cell migration and invasion.	Poorer survival	(50)
SNHG7	TCGA dataset: 220 glioma 53 pairs of GBM tissues and NBTs	U87, LN229 HEB, A172, U87, T98G, SHG44	EZH2 miR-5095	– Wnt/b-catenin	HOTAIR enhances cell cycle progression. SNHG7 silencing inhibited proliferation, migration and invasion and induced apoptosis.	Lower survival Poorer prognosis	(51) (52)

(Continued)

**TABLE 1** | Continued

lncRNA	Patients' specimens	Cell line	Targets/ Regulators	Signaling pathways	Functional impact	Impact of high expression on patient's prognosis	Reference
NEAT1	–	N5, N9 and N33 patient-derived cells	b-catenin, ICAT, GSK3B, Axin2, EZH2/STAT3, p65	WNT/b-catenin, EGFR, NFkB	NEAT1 enhances proliferation, clone formation, and invasion but suppresses cell apoptosis.	–	(53)
	120 glioma tissues and 30 NBTs	U87, T98G, U251, A272, U373, HEK293T	miR-let7e, Argonaute 2, NRAS	–	NEAT1 silencing suppressed GSC cell proliferation, migration and invasion and promoted GSC apoptosis.	–	(54)
SOX2OT	Human glioma tissues (grade one=5, grade two=5, grade three=8, grade four=8) and 5 NBTs	U87 and U251	miR-194-5p and miR-122/SOX3	JAK/STAT	SOX2OT promoted the proliferation, migration and invasion of GSCs, and inhibited GSCs apoptosis.	–	(55)
TUG1	20 GBM specimens (grade one to four, each 5) and 5 normal brain tissues	U251 MG, U87MG, 293T	miR-299, VEGFA	–	TUG1 promotes tumor-induced endothelial cell proliferation, migration and tube formation and enhances spheroid-based angiogenesis.	–	(56)
HIF1A-AS2	–	Primary human GSCs	IGF2BP2, DHX9, HMGA1	–	This lncRNA regulates GSC growth, self-renewal, hypoxia-associated molecular reprogramming and adaptation to hypoxia within the tumor niche.	Poor OS	(57)
XIST	–	Human embryonic kidney (HEK) 293T cells	miR-152	–	XIST promotes cell proliferation, migration and invasion and suppresses apoptosis.	–	(58)
MCM3AP-AS	422 GBM patients (TCGA dataset)	–	MCM3AP	–	MCM3AP-AS corresponds to the coding-gene MCM3AP, which is involved in initiation of DNA replication.	Lower OS	(59)
LINC01057	12 paired frozen fresh GBM and adjacent NBTs and the paraffin- embedded human GBM samples	LN229, T98G, HEK293T	IKK $\alpha$	NF- $\kappa$ B	LINC01057 up-regulation increases mesenchymal differentiation in proneural cells.	–	(60)

GBM, glioblastoma multiform; TMZ, temozolomide; OS, overall survival; GSC, glioblastoma stem cell; NBT, normal brain tissues.

**TABLE 2** | List of under-expressed lncRNAs in glioblastoma.

lncRNA	Patients' specimens	Cell line	Targets/ Regulators	Signaling pathways	Functional role	Impact of low expression on patient's prognosis	Reference
AC016405.3	3 GBM samples and paired NBTs, 64 FFPE GBM specimens	U87MG, U251MG	miR-19a-5p, TET2	–	AC016405.3 inhibits proliferation and metastasis via affecting expression of TET2.	Poor prognosis	(62)
LINC00657	40 pairs of GBM tissues and adjacent normal tissues	HA1800, U-87, LN-18, and U-118 MG	miR-190a-3p	pTEN	LINC00657 suppresses viability and colony formation in through increasing cell apoptosis.	Poor prognosis	(63)
AC003092.1	108 human glioma tissue samples (75 grade IV, 5 grade III, 13 grade II, and 15 grade I astrocytoma cases)	U87, U251 and their TMZ-resistant lines, U87TR and U251TR	TFPI-2, miR-195	–	Down-regulation of AC003092.1 correlates with TMZ resistance, higher risk of relapse, and poor outcome.	Poor prognosis	(64)
GAS5	50 FFPE GB specimens and 10 NBTs	–	miR-34a	–	GAS5 level is reduced in GBM.	Poor overall survival	(61)
RNCR3	–	U87, U251, U373, A172	miR-185-5p, KLF16	–	RNCR3 overexpression suppresses cell survival and proliferation, enhances cell apoptosis and activity of caspase-3/7.	–	(65)
NBAT1	48 cases of GBM (two groups of low=24 and high=24 expression of NBAT1) and 30 cases of normal brain tissues	SVGP12, U251, U87, U373, T98, and LZ229	Akt	–	NBAT1 down-regulation correlates with proliferation ability, tumor size, degree of malignancy and cell viability.	Lower OS and poor prognosis	(66)
TUSC7	116 GBM specimens, 72 insensitive and 44 sensitive to TMZ treatment	U87	miR-10a	–	Under-expression of TUSC7 confers resistant to TMZ.	–	(67)
RAMP2-AS1	20 GBM patients and adjacent normal tissue	U87 and U251	NOTCH3, P21, DHC10	NOTCH	RAMP2-AS1 suppresses GBM cell growth and enhances cell cycle progression.	Poor prognosis	(68)
RP11-838N2.4	53 patients: 38 GBM cases, 3 grade III astrocytoma cases, 10 grade II astrocytoma cases, 2 grade I astrocytoma cases	U87TR, U251TR, U87, U251	miR-10a, EphA8	TGF- $\beta$	Down-regulation of RP11-838N2.4 was correlated with higher probability of tumor relapse.	Poorer survival	(69)

GBM, glioblastoma multiform; TMZ, temozolomide; OS, overall survival; GSC, glioblastoma stem cell; FFPE, formalin-fixed, paraffin paraffin-embedded.

**TABLE 3 |** Diagnostic/prognostic value of lncRNAs in glioblastoma.

Sample number	Area under curve	Sensitivity	Specificity	Kaplan–Meier analysis	Univariate/Multivariate Cox regression	Reference
Two groups of high and low SNHG9 expressing patients, each contained 20 patients	–	–	–	OS and PFS in patients with high SNHG9 expression were lower than those with down-regulation of SNHG9. High SNHG9 expression was correlated with high tumor grade, greater tumor dimension, and metastasis.	SNHG9 was an independent prognostic factor for worse OS.	(12)
56 patients with GBM, 34 patients with diffuse neurosarcoidosis and 35 healthy controls/SAMMSON levels	GBM versus diffuse neurosarcoidosis: 0.92 GBM versus healthy controls: 0.88	–	–	–	–	(13)
51 samples of glioma tissues	–	–	–	TRG-AS1 has been related with poor prognosis.	–	(19)
58 GBM patients	–	–	–	Higher levels of AGAP2-AS1 correlated with lower OS.	–	(21)
79 GBM patients	–	–	–	OS in patients with TMZ therapy and low expression of lnc-TALC was increased, whereas high expression of lnc-TALC and therapy with TMZ reduced OS.	TMZ chemotherapy was correlated with the OS of patients with low lnc-TALC expression.	(22)
77 with high levels of SBF2-AS1 and 77 with low levels of SBF2-AS1	–	–	–	OS decreases in patients with high levels of SBF2-AS1.	–	(23)
45 patients with low levels of SNHG20 and 33 patients with high levels of SNHG20	–	–	–	High levels of SNHG20 was correlated with lower rate of OS.	–	(24)
Two groups of 32 patients with high and low levels of AC016405.3	–	–	–	Low expression of AC016405.3 was correlated with a shorter survival rate, a larger size of tumor, a higher grade, and more common distant metastasis.	–	(62)
57 glioblastoma patients	0.653 ± 0.078	65.4	77.8	Patients with high LINC-ROR amounts had poor survival.	–	(30)
LGG (n=486) and GMB (n=154)	–	–	–	High expression of HOXB-AS1 was associated with poorer prognosis in GBM.	–	(31)
136 glioma patients	–	–	–	High levels of AGAP2-AS1 was correlated with lower OS.	–	(35)
high (n = 37) and low (n = 38) AC003092.1 expression group	–	–	–	High AC003092.1 expression group indicated higher OS.	–	(64)
50 FFPE brain tissue from GBM patients	0.686 (0.537–0.836)	71.4	59.6	H19 overexpression correlates with poorer OS.	–	(36)
CGGA GBM (high expression= 45 and low expression= 45), TCGA GBM (high expression = 77 and low expression= 78)	–	–	–	Higher expression of LINC00152 correlates with lower OS.	LINC00152 levels, age, chemotherapy and radiotherapy have been associated with OS in CGGA database. LINC00152 levels, age, IDH status, and chemotherapy have been associated with OS database.	(40)
Low group (n=15) and high group (n=16)	–	–	–	Patients with a higher LINC01446 expression had a poor survival rate in five years.	–	(45)
15 patients with GBM/HOTAIR	0.913	86.1	87.5	–	–	(49)
53 patients with GBM	–	–	–	Higher expression of SNHG7 correlated with poorer survival rate.	–	(52)
20 patients with GBM	–	–	–	Lower survival rate with lower expression of RAMP2-AS1.	–	(68)
53 patients: 38 GBM cases, 3 grade III astrocytoma cases, 10 grade II astrocytoma cases, 2 grade I astrocytoma cases	–	–	–	High level of lncRNA RP11-838N2.4 has been correlated with longer survival.	–	(69)

(Continued)

**TABLE 3 |** Continued

Sample number	Area under curve	Sensitivity	Specificity	Kaplan–Meier analysis	Univariate/Multivariate Cox regression	Reference
LINC00470 expression levels in two groups: high=37, low=38	–	–	–	High LINC00470 amounts were correlated with shorter survival times and poor prognosis.	LINC00470 levels, astrocytoma grade, age, and tumor site were associated with OS.	(44)
7 low HOTAIR and 26 high HOTAIR (for survival), 22 low HOTAIR and 46 high HOTAIR (for DFS), 10 high GAS5 and 23 low GAS5 (for survival), 21 high GAS5 and 47 low GAS5 (for DFS)	–	–	–	Patients with high HOTAIR and low GAS5 levels had worse survival rates relative to patients with low HOTAIR and high GAS5 levels.	–	(70)
Low (54) and high (54) groups of HOTAIR expression (CGGA1 dataset)	–	–	–	Low HOTAIR expression has increased OS.	HOTAIR over-expression, age at diagnosis, IDH1 mutation, KPS score, and Ki-67 expression were associated with OS.	(50)
Expression of H19 in two groups: high=14, low=16	–	–	–	H19 over-expression was significantly associated with a poor PFS.	–	(38)
70 high and 70 low patients of MALAT1 expression	0.775	71.51	62.82	MALAT1 over-expression was correlated with poor OS and RFS.	Serum MALAT1 levels and tumor grade were independent prognostic factors for OS of patients receiving TMZ.	(27)

GB, glioblastoma multiform; TMZ, temozolomide; OS, overall survival; PFS, progression-free survival; RFS, recurrence-free survival; DFS, disease-free survival.

**TABLE 4 |** List of circRNAs which participate in the development of glioblastoma.

circRNA	Pattern of expression	Patients' specimens	Cell line	Targets/Regulators	Signaling pathways	Function	Patient's prognosis	Reference
circNT5E	↑	39 pairs of glioma and NBTs	U87, U251	miR-422a/ADARB2	–	circNT5E suppresses activity of miRNAs with tumor-suppressor like features, and increase several pathologic processes, such as cell proliferation, migration, and invasion.	–	(71)
circ_0001946	↓	–	U87, U251	miR-671-5p, CDR1	–	Circ_0001946 inhibits expression of miR-671-5p, and increases CDR1 levels. Circ_0001946 and CDR1 decrease proliferation, migration, and invasion and upsurge apoptosis.	–	(73)
circMTO1	↓	59 pairs of GBM and NBTs	NHA, A172, U251, U87, SNB19, SHG44	WVVOX, miR-92	–	circMTO1 suppresses proliferation of tumorous cells. circMTO1 increases expression of WVVOX, and WVVOX mediates circMTO1-associated suppression of proliferation of U251 cells. circMTO1 directly interact with miR-92.	Lower OS	(74)
circ-PITX1	↑	58 pairs of GBM and NBTs	A172, LN229, U251, U87, NHA	miR-379–5p, MAP3K2	MAPK	Down-regulation of circ-PITX1 inhibits cell proliferation and enhances cell apoptosis.	–	(75)
hsa_circ_0076248	↑	–	U251, U87, HEB	miR-181a, SIRT1, p53	–	hsa_circ_0076248 sponges miR-181a and down-regulates it. Down-regulation of hsa_circ_0076248 depresses the proliferation and invasion of glioma, and enhances the TMZ sensitivity.	–	(76)
circMMP9	↑	18 pairs of GBM and NBTs	U87, U251	miR-124, CDK4, AURKA/elf4A3	–	circMMP9 enhances the proliferation, migration and invasion capacities.	–	(77)
circ_0074027	↑	50 pairs of GBM and NBTs	U87, U251, A172, LN229, NHA	miR-518a-5p, IL17RD	–	Cell growth, clone formation, migration and invasion were increased by circ_0074027.	–	(78)

GBM, glioblastoma multiform; TMZ, temozolomide; OS, overall survival; NBT, normal brain tissue.

## CIRCULAR RNAs AND GLIOBLASTOMA

In addition to lncRNAs, Circular RNAs (circRNAs) can act as miRNA sponges to modulate expression of their target genes. Numerous studies have assessed expression and function of circRNAs in glioblastoma. For instance, Wang et al. have reported over-expression of some circRNAs and lncRNAs in miR-422a-downregulated glioblastoma samples. They have also recognized a new circRNA originated from NT5E, termed circNT5E. Expression of this circRNA is modulated by ADARB2 through binding to sites neighboring circRNA-creating introns. circNT5E has been shown to regulate cell proliferation, migration, and invasion of glioblastoma cells through binding with miR-422a and suppressing its activity (71). Li et al. have demonstrated down-regulation of circ\_0001946 and *CDR1*, while up-regulation of miR-671-5p in glioblastoma cells. Circ\_0001946 has been shown to inhibit expression of miR-671-5p, therefore enhancing *CDR1* expression. Circ\_0001946 and *CDR1* decrease cell proliferation, migration, and invasion and induce apoptosis in glioblastoma cells as verified by both *in vitro* and *in vivo* assays (72). **Table 4** summarizes the expression and function of circRNAs in glioblastoma.

## DISCUSSION

Both candidate gene and high throughput expression studies have reported anomalous expression of several lncRNAs in glioblastoma samples indicating the oncogenic roles for some lncRNAs and tumor suppressor roles for a number of other lncRNAs. Yet, the function of the former group of lncRNAs has been more assessed in this kind of cancer. Like other cancers, the role of lncRNAs in the pathogenesis of glioblastoma can be exerted through their effects on the expression of miRNAs. Accordingly, several lncRNA/miRNA/mRNA axes have been identified in this context among them are SNHG9/miR-199a-5p/Wnt2, MIR155HG/miR-185/ANXA2, TRG-AS1/miR-877-5p/SUZ12, LINC01579/miR-139-5p/EIF4G2, AC016405.3/miR-19a-5p/TET2, AC003092.1/miR-195/TFPI-2, LINC00657/miR-190a-3p/PTEN, RNCR3/miR-185-5p/KLF16, and MALAT1/miR-203/thymidylate synthase axes. Thus, comprehensive assessment of these three types of transcripts would facilitate identification of the molecular pathways underlying the pathogenesis of this type of cancer. Moreover, a number of recent studies revealed the role of circRNAs in regulation of expression of miRNAs, thus adding an extra level of complexity in gene regulation networks. An example of the circRNA/miRNA/

mRNA functional axis in glioblastoma is represented by circ\_0001946/miR-671-5p/*CDR1*.

Association between lncRNA expression levels and resistance to TMZ has been assessed in several studies. Notably, expressions of oncogenic lncRNAs lnc-TALC, lncSBF2-AS1, MALAT1, TP73-AS1, and H19 as well as expression of tumor suppressor lncRNAs AC003092.1, TUSC7, and RP11-838N2.4 have been shown to alter this phenotype in glioblastoma cells. Therefore, a panel of these lncRNAs might be applied to predict response of patients to this chemotherapeutic agent and establish a personalized strategy for these patients.

Finally, several oncogenic and tumor suppressor lncRNAs have been identified as modulators of glioblastoma patients' survival indicating the appropriateness of these transcripts as prognostic biomarkers. The diagnostic power of lncRNAs SAMMSON, HOTAIR, MALAT1, H19, and LINR-ROR has been assessed in serum or tissue samples of patients with glioblastoma revealing the best results for the first two mentioned lncRNAs based on the high values of the area under the receiver operating characteristic curves. Considering the unavailability of tissue samples for the purpose of early diagnosis and ambiguity of imaging techniques in early stages of the disease, assessment of expression of lncRNAs in serum samples provides a non-invasive method for early detection of this kind of malignant tumor.

In brief, dysregulation of several lncRNAs has been detected in glioblastoma cells leading to abnormal regulation of cancer-associated pathways and cellular processes namely apoptosis, proliferation and survival. These transcripts provide promising tools for early detection of glioblastoma and prediction of patients' prognosis and response to therapeutic choices particularly TMZ. However, a limitation of *in vitro* studies in this regard is that most of them has been executed using traditional serum-grown cell lines such as U87 or U251. Further functional *in vitro* and *in vivo* investigations are required to verify the obtained data.

## AUTHOR CONTRIBUTIONS

MT and SG-F wrote the draft and revised it. KHT, GS, and OR performed the data collection and designed the tables. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Novel Molecular Hallmarks of Group 3 Medulloblastoma by Single-Cell Transcriptomics

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Medulloblastoma (MB) is a highly heterogeneous and one of the most malignant pediatric brain tumors, comprising four subgroups: Sonic Hedgehog, Wingless, Group 3, and Group 4. Group 3 MB has the worst prognosis of all MBs. However, the molecular and cellular mechanisms driving the maintenance of malignancy are poorly understood. Here, we employed high-throughput single-cell and bulk RNA sequencing to identify novel molecular features of Group 3 MB, and found that a specific cell cluster displayed a highly malignant phenotype. Then, we identified the glutamate receptor metabotropic 8 (GRM8), and AP-1 complex subunit sigma-2 (AP1S2) genes as two critical markers of Group 3 MB, corresponding to its poor prognosis. Information on 33 clinical cases was further utilized for validation. Meanwhile, a global map of the molecular cascade downstream of the MYC oncogene in Group 3 MB was also delineated using single-cell RNA sequencing. Our data yields new insights into Group 3 MB molecular characteristics and provides novel therapeutic targets for this relentless disease.

**Keywords:** group 3 medulloblastoma, single-cell sequencing, hallmark, prognosis, molecular cascades

## INTRODUCTION

Medulloblastoma (MB) is one of the most prevalent malignant (WHO IV) brain tumors in children, accounting for 15–20% of pediatric central nervous system tumors (1). Unfortunately, over 40% of patients with MB are diagnosed with metastases, with a grim median survival (2–4). Multimodal therapy, including combination of surgical resection, radiation, and adjuvant chemotherapy, has become a standard for MB, even though approximately one-third of patients with MB die from the disease (5). Thus, the identification of critical regulators that control MB malignancy could facilitate the development of more effective therapeutics.

Current consensus identifies the existence of four major MB subgroups (Sonic Hedgehog [SHH], Wingless [WNT], Group 3, and Group 4) with different molecular characteristics. Group 3 MB is refractory to intensive multimodal therapy and displays the worst prognosis. However, the molecular

**Abbreviations:** MB, Medulloblastoma; WHO, World Health Organization; GRM8, Glutamate Receptor Metabotropic 8; MGLUR8, Metabotropic Glutamate Receptor 8; CNS, Central Nervous System; scRNA-seq, Single cell RNA sequencing; PDX, Patient-derived Xenograft; GSEA, Gene Set Enrichment Analysis; GO/KEGG, Gene ontology/Kyoto Encyclopedia of Genes and Genomes; PCR, Polymerase Chain Reaction; RT-Qpcr, Real Time Quantitative Polymerase Chain Reaction; IOD, Integrated option density; GSEA, Gene Set Enrichment Analysis; t-SNE, t-distributed Stochastic Neighbor Embedding.

characterization of Group 3 MB remains largely unknown, even less than the cells of origin. In contrast to WNT and SHH MBs, Group 3 tumors contain fewer nucleotide variants and germline mutations (6–9). Previous studies demonstrated that a subset of Group 3 tumors exhibits overexpression of transcription factors of the growth factor independent 1 family as a result of DNA structural changes that transform the genes encoding these factors almost into super enhancers (10). Pathway analysis indicated that transforming growth factor beta signaling pathways are also activated in Group 3 MB. However, the significance of MB tumorigenesis remains to be determined (7, 10, 11). Currently, the most validated prognostic marker is *MYC* oncogene amplification, found in approximately 20% of patients with Group 3 MB. However, how *MYC* drives tumorigenesis in a subset of Group 3 tumor cells remains to be defined.

Systematic search and analysis using multi-omics sequencing showed that MB is highly heterogeneous with intratumoral and intertumoral heterogeneity. Recently, single-cell transcriptomic methods have been utilized (12–16) to resolve tumor heterogeneity (17, 18), reconstruct tumor lineages (19–21), explore rare subpopulations (22, 23), and provide insights into the phenotypes of stromal and tumor cells in different cancers (24–26). It has been documented that single-cell sequencing could remove the barriers that previously challenged bulk genomic studies of patients with Group 3 MB, and hopefully, unearth Group 3 MB applicable molecular hallmarks and pathways for clinical utilization, similarly to the isocitrate dehydrogenase (IDH) and BRAF V600E mutations for glioblastoma.

In this study, we took advantage of one cohort (GSE119926) of scRNA-seq data composed of 25 tumor samples and 11 patient-derived xenograft (PDX) models (27). A total of 2762 cells were gathered from surgically removed Group 3 MB scRNA-seq data of eight patients according to their matching subtype information. Through detailed analysis of cellular heterogeneity, we identified a specific cell cluster with the tumorigenesis signature of Group 3 MB and interrelated molecular cascades initiated by *MYC*. The marker genes of this specific cluster were selected, followed by validation and selection, performed with tumor samples from 33 patients with Group 3 MB. Consequently, we unearthed the *GRM8* gene, encoding metabotropic glutamate receptor 8 (*MGLUR8*), a G-protein coupled glutamate receptor reported to significantly influence the risk of central nervous system (CNS) disease (28–30), and the *AP1S2* gene, encoding AP-1 complex subunit sigma-2, a component of adaptor protein complex 1 and correlating with CNS disorder (31), as novel hallmarks linked to poor prognosis of Group 3 MB. Thus, our findings identified *GRM8* and *AP1S2* as potential targets for treatment of patients with *MYC*+ Group 3 MB in the future.

## MATERIALS AND METHODS

### Patient Selection and Data Preprocessing

For MB single-cell transcriptome expression data, we searched in the Gene Expression Omnibus (GEO) and finally included one cohort (GSE119926) with scRNA-seq data of 25 tumor samples and 11 patient-derived xenograft (PDX) models for downstream

analysis (27). According to its matching subtype information, normalized scRNA-seq data of eight patients with Group 3 MB (MUV11, SJ17, SJ917, SJ617, MUV29, BCH1205, MUV34, and BCH825) were extracted from the original downloaded scRNA-seq expression matrix. In total, after removing data with <2500 gene expression, we obtained an scRNA-seq expression matrix of 2762 cells from the surgically removed Group 3 MB sample in our study. For bulk transcriptome expression data, we applied Gliovis (gliovis.bioinfo.cnio.es), a web tool collecting brain tumor sequencing data from GEO, and the Cancer Genome Atlas database (32) to search for bulk data with MB clinical information and follow-up data. We included two MB cohorts (Cavalli et al.,  $n = 763$  and Griesinger et al.,  $n = 130$ ) in our study (33, 34). These two MB cohorts were sequenced by Affymetrix arrays (HG-U133\_Plus\_2, HG-U133A, HG\_U95Av2, and HuGene-1\_0-st), normalized by a multi-array average method using R package “affy.” For genes with several probe sets, we chose the median value as the ultimate expression level. Using function “Combat” in R package *sva*, the batch effect produced by technical biases during the sequencing process was removed to reduce its side effects on downstream analysis.

### Single-Cell Sequencing Data Analysis

For the obtained scRNA-seq data of Group 3 MB, R package “seurat” was applied for initial normalization and an unsupervised clustering process was subsequently performed (35). Then, the function “Find Variable Features” in Seurat was performed to find genes with high variability for downstream analysis, choosing the top 2000 genes with high standardized variance. Through integration of principle components analysis and t-distributed stochastic neighbor embedding (t-SNE), we reduced the dimension of expression data and divided 2762 cells of Group 3 MB into clusters with distinct expression patterns. Simultaneously, marker genes for each cluster were found according to its adjusted *p*-value and average log-transformed fold change value.

### Microarray Data Analysis

Based on R package “limma,” a differentially expression analysis was performed on the normalized microarray data by applying a Bayesian algorithm to find differentially expressed genes between tumor and normal sample, only data with adjusted *p*-value < 0.05 were included in our study for further analysis. According to the corresponding follow-up information, we conducted a Kaplan-Meier survival analysis on the genes of interest.

### Cell Trajectory Analysis

In addition, the R package Monocle was adopted to conduct a time-series analysis of single-cell expression data, which orders every cell in pseudo-time and arranges them along a trajectory corresponding to a biological process such as cell differentiation, without knowing in advance which gene determines that progress (36). The Monocle reduces marker gene expression data of individual clusters from a high dimension form into a low-dimension form through a machine learning algorithm called reversed graph embedding. In that low-dimensional form, each cell is arranged into a branching line according to the sequence of the biological process.

## Gene Set Variation Analysis (GSVA) and GO/KEGG Enrichment Analysis

To compare the enrichment degree of pathways and functions between each cluster, we used a GSVA algorithm, a gene set enrichment (GSE) method that estimates the variation of pathway activity for microarray and transcriptome data, to calculate the GSVA score of each cluster on different gene sets (37). For the calculation of tumor characteristics and pathway enrichment degree, input gene sets were obtained from the molecular signature database (MsigDB) (<https://www.gsea-msigdb.org/gsea/msigdb>). The gene sets of the KEGG pathway come from the C2 collection (curated gene sets) in MsigDB, and the tumor characteristic gene set comes from the H collection (hallmark gene sets) in MsigDB. By comparing the GSVA scores of each cluster, we could compare the relative enrichment levels of tumor-related pathways or features. In addition, the R package “ClusterProfiler” was used to conduct a hypergeometric distribution test on each cluster’s marker genes to perform GO and KEGG annotation (38).

## Protein-Protein Interaction (PPI) Network Development

Using the STRING database, a PPI network was developed according to the marker genes of each cell cluster. We then utilized Cytoscape to rearrange the PPI network downloaded for the STRING database according to its interaction characteristic (39). In Cytoscape, the CytoHubba plug-in was used to calculate and rank the interaction degree between downstream proteins of marker genes. Additionally, according to the interaction degree, we adjusted the color and position of the protein node, turning the highest degree node darker and placing it in the center.

## Immunohistochemistry

Tumor tissues from 33 patients with Group 3 MB were perfused with 4% paraformaldehyde and fixed in 10% neutral buffered formalin mixed with 70% ethanol. Immunohistochemistry staining was performed according to protocols from Cell Signaling Technology. The antibodies used for immunostaining were Anti-MAGP1 (encoded by MFAP2, ab231344, Abcam) and Anti-MGLUR8 (encoded by GRM8, ab176301, Abcam). Quantification of mean fluorescence intensity was achieved using Image-Pro-Plus software.

## Real-Time Quantitative PCR

RT-qPCR was performed using total RNA from the central tissues of eight (Numbered 1–8) patients with normal brain tissues (1–2), WNT/SHH (3–5), and Group 3 (6–8) MB. Total RNA was extracted using TRI Reagent (Molecular Research Center, Inc.) according to the manufacturer’s protocol, and cDNA was synthesized using random hexamer and oligo (dT) primers using Thermo Script™ RT-PCR (Invitrogen). The gene-specific primers employed were purchased from the NDT Corporation. PCR was performed for 40 cycles of 95 °C for 15 s and 60 °C for 30 s. H-actin was amplified as a control (Forward: ACCCTGAAGTACCCCATCGAG; reverse: AGCACAGCC TGGATAGCAAC). Specific expression of MFAP2 and GRM8 in cell lines was established using total RNA obtained from tumor tissues and amplified with primers for each one (MAGP:

Forward, CAGTCCCAGCAGCAAGTCCA and Reverse, AAGCAGACCTCGTTGAGACAC; GRM8: Forward, ACCTGCATCATTTGGTTAGCTT, and Reverse, AAACCTTGGGCATATAGAGCA) using SYBR Green PCR Master Mix (ThermoFisher Scientific).

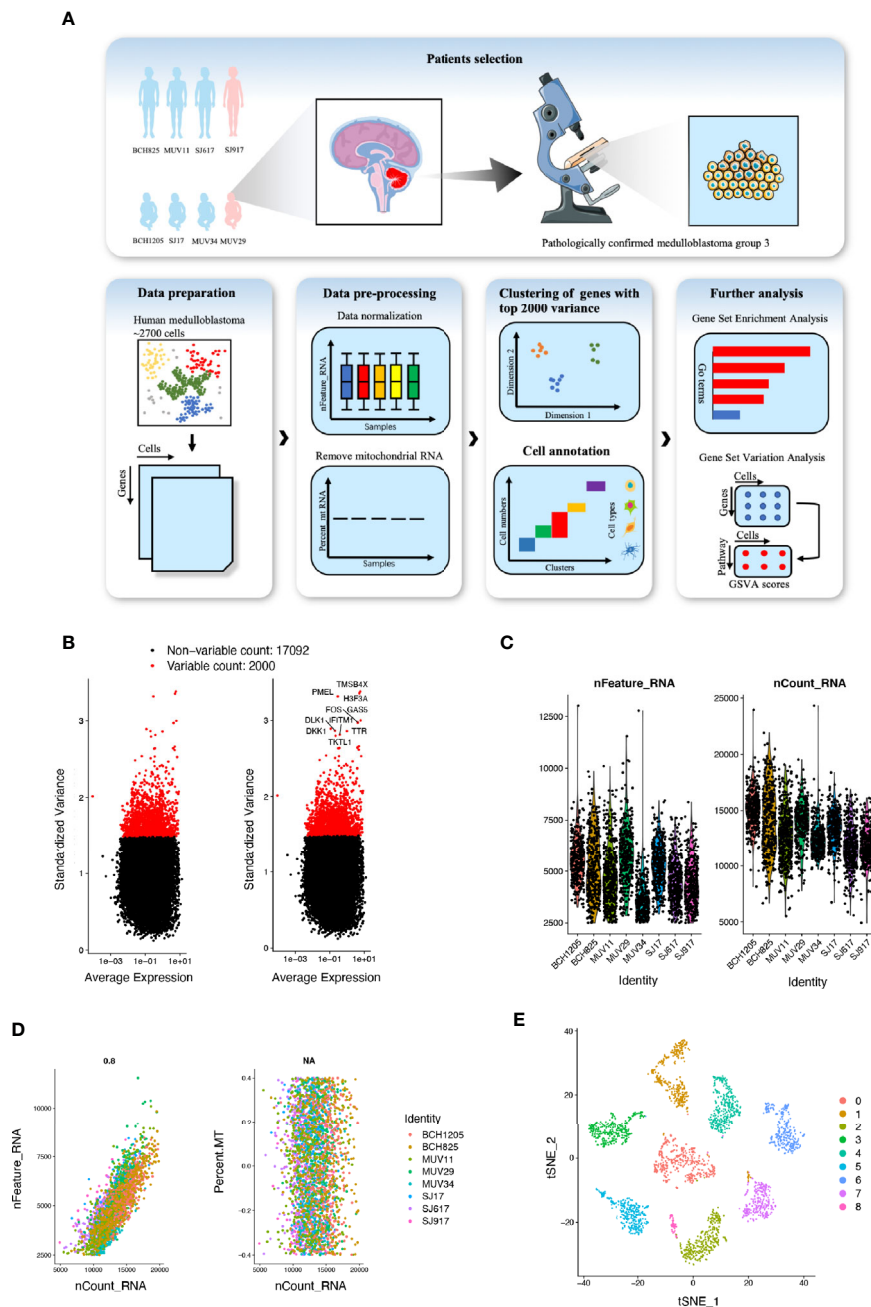
## Statistical Analysis

The correlation coefficient between the IOD area and numerical variables including age (year), tumor size (mm<sup>3</sup>), and Ki67 (%) was separately calculated using the Spearman and Pearson correlation analysis. Random Grouping t-test was used to assess the relevance between the IOD area and binary clinical information including sex, cystic change, hydrocephalus, while one-way ANOVA was used to compare the differences among multi-grouped variables including tumor location. In addition, we applied Kaplan-Meier survival analysis and log-ranked test to conduct a survival comparison, where the median value was implemented to cut the relative genes expression level into high and low Groups. All the above-mentioned statistical analyses were conducted using R software (version 3.6.0). All *p*-values < 0.05 were considered statistically significant.

## RESULTS

### Landscape of Cellular Heterogeneity at Single-Cell Level Within Group 3 MB

Identification of critical cell clusters regulating cancer initiation and progression may help develop novel and effective strategies to overcome the treatment resistance associated with Group 3 MB. Thus, we initially selected the MB single-cell RNA sequencing (seq) datasets published in the GEO and finally included one cohort (GSE119926) of 25 tumor samples and 11 patient-derived xenograft (PDF) models for downstream analysis. According to its matching subtype information, normalized scRNA-seq data of eight patients with Group 3 MB (MUV11, SJ17, SJ917, SJ617, MUV29, BCH1205, MUV34, and BCH825) were extracted from the original scRNA-seq expression matrix, including a total of six male patients (three adults and three children) and two female patients (one adult and one child) (Figure 1A). A total of 2762 single-cell datasets of the above eight patients were organized into an expression matrix. Data preprocessing was initiated by normalizing the RNA expression of each cell and then removing mitochondrial RNAs. All single cells were divided into individual clusters according to their distance distribution after dimensionality reduction through t-SNE, gene set enrichment analysis, and functional annotations such as GSEA and GSVA scores on specific cell clusters was performed (Figure 1A). The normalization for the expression matrix was initiated by calculating the standard deviation of the gene expression in 2762 cells. We selected 2000 sufficient genes with a high standard deviation (Figure 1B). The normalized gene type (n-Feature-RNA), gene counts (n-Count-RNA), and mitochondrial gene numbers (MT-RNA) of each patient’s tumor cells (mitochondrial genes were previously removed) were plotted, revealing that RNA levels in each of the patient’s tumor cells were



**FIGURE 1 | (A)** Overall data analysis process of single-cell transcriptome expression landscape of Group 3 medulloblastoma (MB) (n=8). **(B)** Genes with top 2000 standard variance selected for subsequent analysis. **(C)** Violin plot of RNA features, and RNA counts in patients with MB. **(D)** Scatter plot of expression level of mitochondrial RNA, RNA features and RNA counts. **(E)** T-distributed stochastic neighbor embedding (t-SNE) plot of Group 3 MB cells revealing 0–8 cell clusters.

expressed in a similar scope without apparent dispersion (**Figure 1C**). Notably, the gene sequencing depth was found to be consistent with the scatter diagram since the relationship between the number of gene types and the number of counts was positively correlated (**Figure 1D**). To further explore the expression feature of the scRNA data, we initially normalized the gene expression matrix and selected characteristic genes with a high standardized deviation for downstream analysis. After

principle components analysis (PCA) and identification of distinct principle components, the representative principle components were chosen subsequently for unsupervised clustering process. Finally, we conducted a clustering using t-distributed stochastic neighbor embedding (t-SNE) and divided the cells into nine individual cell clusters (Numbered 0–8) with various differentiation features. Finally, we divided the cells into nine individual cell clusters (Numbered 0–8) with various

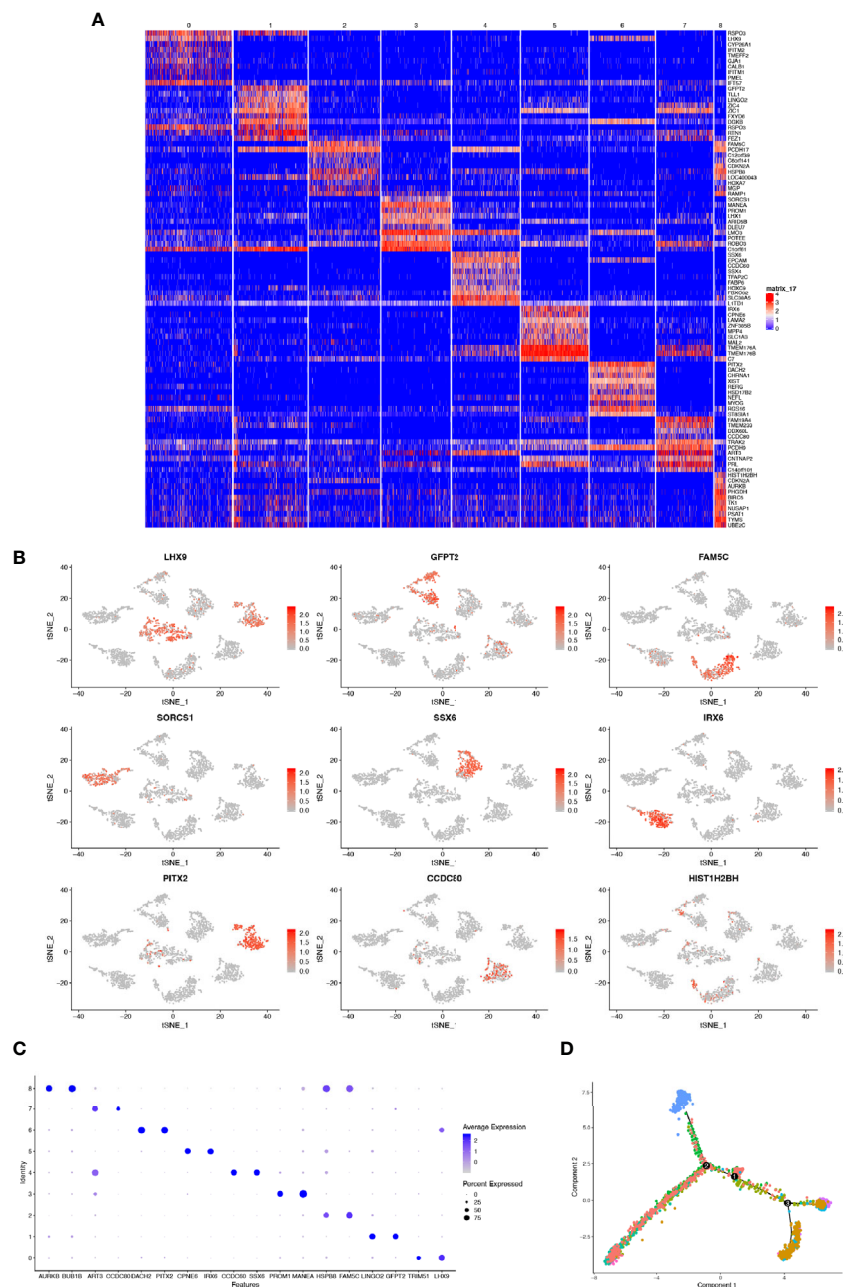


differentiation features (**Figure 1E**). These data suggest that Group 3 MB is highly heterogeneous with different cell subgroups and genetic characteristics.

## Cell Clusters Reflect Transcriptional Heterogeneity and Trajectory

We next sought to investigate the transcriptional heterogeneity of cells in each cluster by depicting the gene expression profile using a

heatmap that showed distinguishable differences in gene expression preferences in each cluster. Except for cluster 0, we identified significantly upregulated genes in clusters different from each other, indicating the transcriptional heterogeneity of the nine clusters (**Figure 2A**). Then, we performed scatter plots by t-SNE colored by the expression of a single gene in all nine cell clusters. The genes showing the highest expression level in each individual cluster were selected for mapping. Consistently, these genes were



**FIGURE 2 | (A)** Heatmap showing the genes differentially expressed in each cluster. **(B)** t-SNE plots of marker gene expression levels in each cluster. **(C)** Bubble plot depicting the expression level of selected genes in each cluster. Color depth represents average expression level and bubble size the percentage of expression. **(D)** Cell trajectory analysis showing five main cell branches.

only specifically expressed in their own cluster (**Figure 2B**). Moreover, the bubble graph was also plotted to further identify the genes that were most upregulated. Notably, the bubble color refers to the average gene expression in all cells of each cluster, whereas bubble size represented the gene expression percentage in the cluster. The top genes containing the highest level of the above two criteria in each cluster were emphasized with a large size and darker color (**Figure 2C**). Due to transcriptional heterogeneity among the nine cell clusters, we speculated that single-cell RNA-seq may uncover the heterogeneity of biological features correlated with MB formation. To test our hypothesis, the R package Monocle was adopted to conduct a time-series analysis of the single-cell expression data to address this point. We ordered every cell in pseudo-time and arranged them along a trajectory corresponding to a biological process such as cell differentiation, without knowing in advance which gene determines that progress (36). Each cell of the nine clusters was arranged into a branching line according to the sequence of the biological process (**Figure 2D**). We observed that cluster 6 (colored blue) was relatively isolated at the beginning of a differentiation tree at point “A,” indicating that cell cluster 6 may play a key role in cancer initiation and progression.

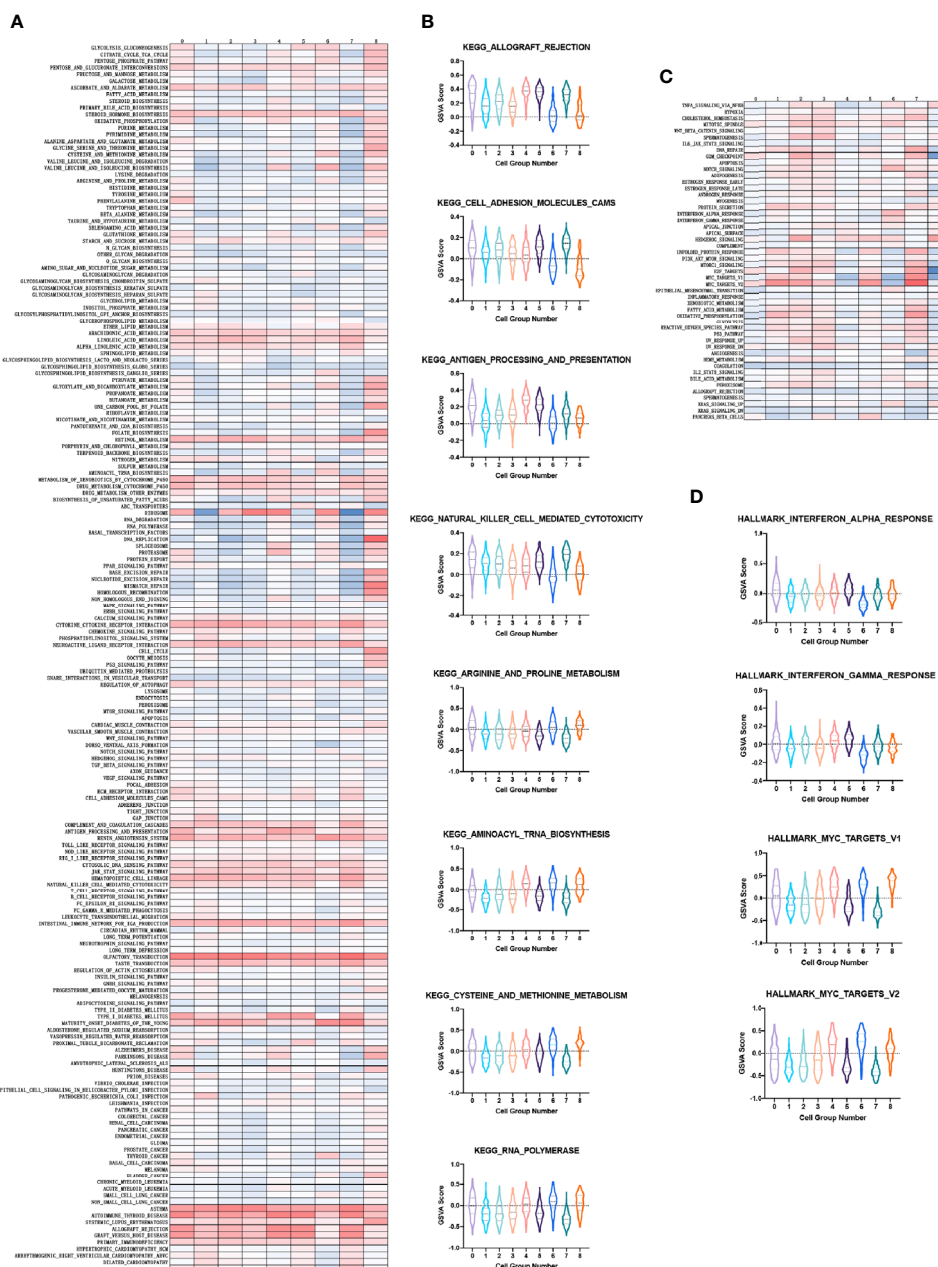
## Annotation of the Tumorigenesis Featured Cluster and Its Downstream Cascades

With regards to the aforementioned feature of cluster 6, we next sought to verify its exclusive tumorigenesis feature among the nine cell clusters. To compare the enrichment degree of pathways and functions among each cluster, we used the GSVA and GSE methods to estimate the variation in pathway activity from microarray and transcriptome data, to calculate the GSVA score of each cluster on different gene sets (37). For the calculation of tumor characteristics and pathway enrichment degree, the input gene sets were obtained from the molecular signature database (MsigDB). Among them, the KEGG pathway gene sets derived from the C2 collection (curated gene sets) (**Figure 3A**). Further, we performed a violin plot by selecting the pathways demonstrating specific up- or down-regulation in cluster 6 compared to the others, quantified by the GSVA score. The degree of enrichment of cluster 6 in some tumor-related pathways was significantly higher than that of the other clusters, such as cell adhesion molecules, and arginine and proline metabolism for metastasis of tumors (40). Nevertheless, in immune-related pathways such as antigen presentation and presentation, and natural killer cell mediated cytotoxicity which have been correlated to antitumor cytotoxicity, the enrichment was significantly lower (**Figure 3B**). Consistently, tumor characteristic genes set from H collection (hallmark gene sets) in MsigDB (**Figure 3C**) revealed that the degree of enrichment of cluster 6 in MYC targets V1/V2 was remarkably higher than that of other clusters identified as critical for Group 3 MB. The enrichment of antitumor cytotoxicity in cluster 6, such as interferon alpha response and interferon gamma response, were much lower than those of other clusters (**Figure 3D**). Collectively, these results demonstrated the pivotal role of cluster 6 in the malignant behavior of Group 3 MB and were marked for further validation.

To depict the detailed annotation of the signaling pathways involved in cluster 6, we then performed GO enrichment analysis for the marker genes of the six clusters, and suggested that the most active pathways were neuron- and axon-related, such as axon development, axonogenesis, cell morphogenesis involved in neuron differentiation, axon guidance, neuron projection guidance, and regulation of neuron projection development. Meanwhile, genes associated directly or indirectly to these signaling pathways were shown (**Figures 4A, B**). The main pathways for KEGG enrichment in cluster 6 were also analyzed. Interestingly, the top pathways obtaining the highest scores were tumor- or cancer-related, corresponding to previous observations. For example, transcriptional misregulation in cancer, hepatocellular carcinoma, gastric cancer, and the WNT signaling pathway. We also plotted a heatmap of the genes related to these pathways (**Figures 4C, D**). These findings supported our hypothesis that cluster 6 cells were responsible for the tumorigenic character of Group 3 MB. To gain insight into downstream protein interaction and cascades, we constructed a PPI network according to cluster 6 marker genes by using the STRING database. We then utilized Cytoscape to rearrange the PPI network downloaded for the STRING database according to its interaction characteristic (39). In addition, according to the interaction degree, we adjusted the color and position of the protein by turning the highest degree node darker and placing it in the center of the map. Importantly, our data showed that MYC had the highest degree of interaction among all marker genes in cluster 6, consistent with the previous findings that amplification of the *MYC* oncogene is the most common genetic alteration in patients with Group 3 MB (**Figure 4E**). Together, our findings indicated that cluster 6 drives malignancy in Group 3 MB with MYC positivity.

## Identification of Novel Hallmarks in Group 3 MB

Given the stepwise dissection of cluster 6 in Group 3 MB, the malignance signature of this cluster became relatively clear. We selected a set of microarray data from a cohort consisting of common pediatric malignant brain tumors containing 15 pilocytic astrocytomas, 46 ependymomas, 20 glioblastomas, 22 MBs, and 13 non-tumor brain control samples obtained from epilepsy surgery to generate the bulk transcriptional dataset for differential expression analysis (34) (**Figure 5A**). We performed a variation analysis with normalized microarray data of this mixed pediatric tumor cohort to compare the expression level of cluster 6 marker genes in variant tumor types and normal brain, and found that 54 genes in total showed specific upregulation either in one tumor type or in the normal brain (**Figure 5A, Supplementary Materials**). Notably, some genes were specifically upregulated in MB, consistent with their high expression in Group 3 MB in our previous analysis. Meanwhile, another cohort of 763 patients with MB was included to conduct a Kaplan-Meier survival analysis on the marker genes of cluster 6. In total, 20 genes correlated with the survival of patients with MB. By combining these results, 10 genes showed significant differences of either expression levels or survival analysis, including *TUBB4A*, *TSHZ1*, *SLITRK1*, *POU4F1*, *MPHOSPH6*, *MFAP2*,



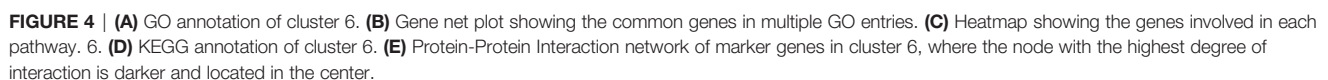
**FIGURE 3 | (A)** Heatmap showing the gene set variation analysis (GSVA) scores of each cell cluster in the KEGG pathway. **(B)** Violin plot depicting the GSVA score of specific KEGG pathways in each cell cluster. **(C)** Same as A in H collection in molecular signature database (MsigDB). **(D)** Same as B in H collection in MsigDB.

KIF5C, GRM8, CCND2, and AP1S2 (Figure 5A). Among them, TSHZ1, MFAP2, GRM8, CCND2, and AP1S2 showed dramatically higher expression levels in MB than other tumors and the normal brain (Figure 5B). Of note, the prognosis of the patients highly expressing TSHZ1, GRM8, CCND2, AP1S2 was poorer than low expressing patients, while only MFAP2 showed an adverse correlation between its gene expression and prognosis (Figure 5C). Taken together, these data indicate that some marker genes in cluster 6 were truly upregulated in Group 3 MB and affected its

prognosis; hence, these five genes were initially identified as potential hallmarks of Group 3 MB.

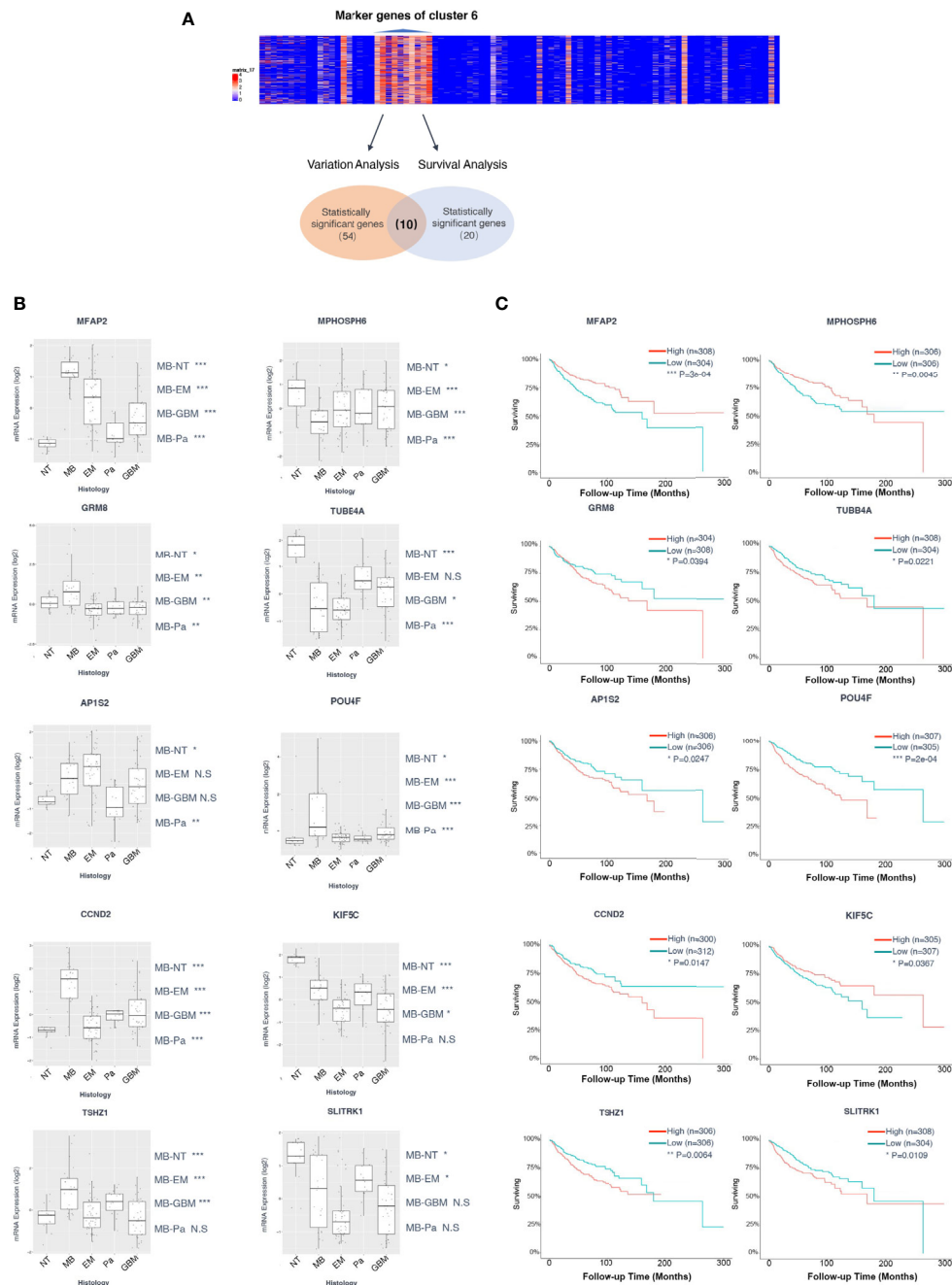
## GRM8 and AP1S2 Are Hallmarks Indicating Poor Prognosis of Patients With Group 3 MB

Based upon the stepwise bioinformatic analysis with single-cell and bulk datasets, we narrowed down the pool of Group 3 MB's potential hallmarks. However, for further validation a biochemical

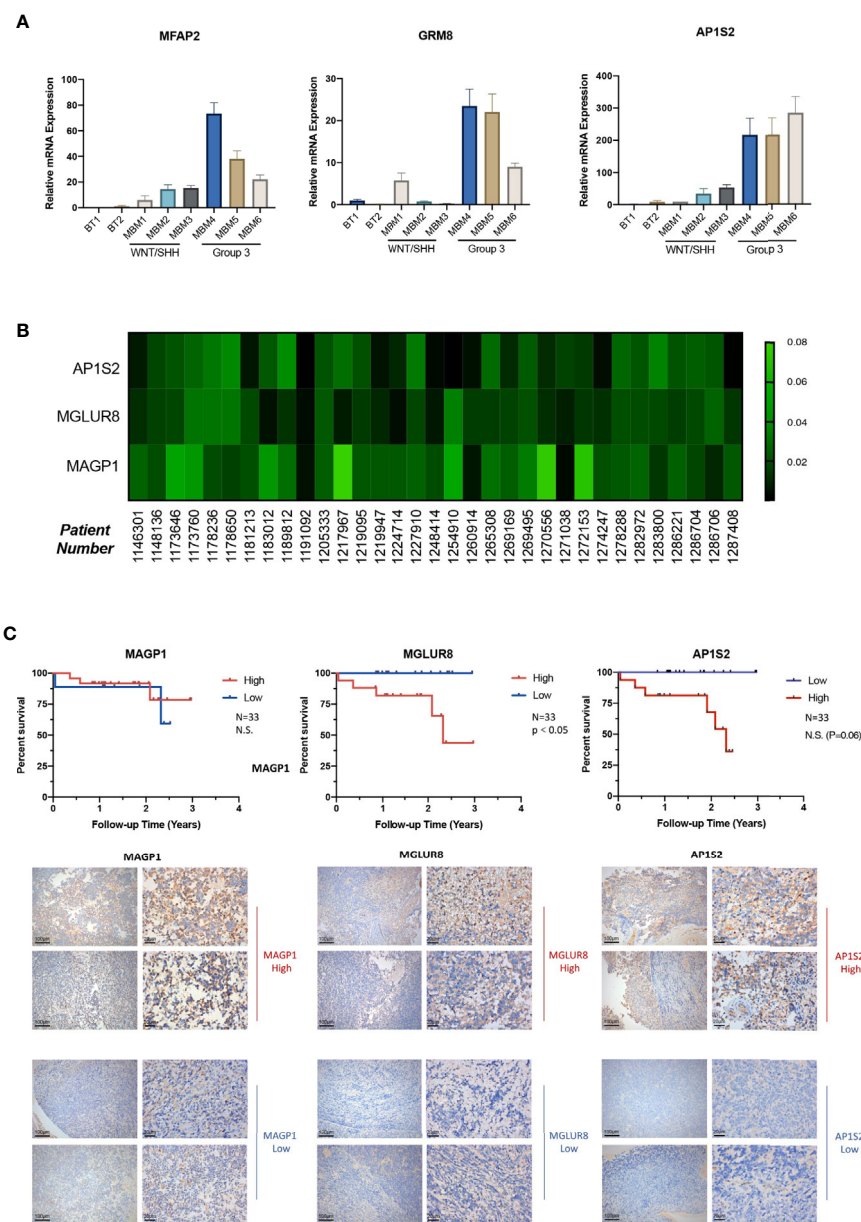


MFAP2, GRM8, and AP1S2. Then, with samples of 33 patients pathologically diagnosed with Group 3 MB, we performed immunohistochemistry (IHC) staining to test the expression level of MAGP1 encoded by MFAP2, MGLUR8 encoded by GRM8, and AP1S2 encoded by AP1S2 in each patient, aiming to combine clinical data with gene regulation for analysis. The heatmap of the “IOD/Area” of each gene was plotted (**Figure 6B**). By implementing the median value of “IOD/Area,” 33 patients were divided into high and low groups, respectively. Consistently, Kaplan-Meier survival analysis indicated that GRM8 and AP1S2 expression was negatively correlated with prognosis (**Figure 6C**),





**FIGURE 5 | (A)** Diagram of the screen process of Kaplan-Meier survival and variation analyses for the marker genes of cluster 6 with two cohorts of microarray data. Fifty-four genes showed specific upregulation in one tumor type or normal brain. Twenty genes exhibited correlation with the survival of patients with medulloblastoma (MB). Ten genes contained significant differences of either expression or survival analysis, including TUBB4A, TSHZ1, SLITRK1, POU4F1, MPHOSPH6, MFAP2, KIF5C, GRM8, CCND2, and API52. **(B)** Box plot of variant analysis of microarray data of the MB patient cohort grouped showing expression levels of TUBB4A, TSHZ1, SLITRK1, POU4F1, MPHOSPH6, MFAP2, KIF5C, GRM8, CCND2, and API52 in various brain tumors and normal brain. NT, normal tissue; MB, medulloblastoma; EM, ependymoma; Pa, pilocytic astrocytoma; GBM, glioblastoma. **(C)** Kaplan-Meier survival curve of microarray data of the MB patient cohort grouped by TUBB4A, TSHZ1, SLITRK1, POU4F1, MPHOSPH6, MFAP2, KIF5C, GRM8, CCND2, and API52 expression level. Data are mean  $\pm$  s.e.m. \* $P \leq 0.05$ ; \*\* $P \leq 0.01$ ; \*\*\* $P \leq 0.001$ ; \*\*\*\* $P < 0.0001$ ; NS, not significant ( $P > 0.05$ ).



**FIGURE 6 | (A)** GRM8, AP1S2, and MFAP2 mRNA levels of two normal brain tissue obtained from epilepsy surgery (Numbered 1 and 2), three Wingless/Sonic Hedgehog medulloblastoma (MB) (Numbered 3–5), and three Group 3 MB (Numbered 6–8). H-actin was used as the endogenous reference gene. The expression level is presented with graphs. **(B)** Heatmap of Immunohistochemistry staining with (GRM8 encoding), (AP1S2 encoding), and (MFAP2 encoding) antibodies for the 33 Group 3 MB. Protein IOD/Area is represented by the indicated color. **(C)** Images of immunohistochemistry staining showing high and low expression of MGLUR8 (GRM8 encoding), AP1S2 (AP1S2 encoding), and MAGP1 (MFAP2 encoding) in the series of Group 3 MB patients (10X and 40X magnification). Kaplan-Meier survival curve of the 33 patients with Group 3 MB grouped by expression level of GRM8, AP1S2, and MFAP2 encoding. Data are mean  $\pm$  s.e.m. \*\*\*\* $P < 0.0001$ ; NS, not significant ( $P > 0.05$ ).

which demonstrated that these two genes were specific indicators of poor diagnosis of Group 3 MB. However, with respect to MFAP2, the protein level was not significantly correlated with prognosis in our 33-patient series. Next, we collected detailed clinical data of the 33 patients in addition to postoperative survival time, such as sex, age, tumor cystic change, hydrocephalus, location of tumor body, tumor size, and Ki67 (%), to explore the

deeper association of tumor phenotypes with the genetic hallmarks. However, most correlation coefficients were not statistically significant for GRM8, MFAP2, and AP1S2 (**Supplementary Tables 1–3**). Therefore, our study identified GRM8 and AP1S2 as two key regulators of Group 3 MB malignancy, which could serve as important biomarkers for Group 3 MB diagnosis and therapeutics.

## DISCUSSION

Despite intensive conventional post-surgery treatments, half of the patients with Group 3 MB die from recurrent disease. *MYC* amplification and overexpression is known to play a vital role in maintaining the malignancy of Group 3 MB; however, an incomplete understanding of how *MYC* drives tumorigenesis in a subset of Group 3 tumor cells has hampered the development of novel therapeutic approaches for this lethal disease. The elucidation of novel critical factors regulating the malignant phenotype of MB cells is of great significance to increase our knowledge of this type of cancer, and subsequently bring more selective and efficient therapeutic options for patients with Group 3 MB.

Single-cell RNA sequencing allows us to understand how cellular heterogeneity contributes to the origination, progression, and invasion of Group 3 MB. MB single-cell RNA-seq datasets from the Gene Expression Database were analyzed in eight patients with Group 3 MB. Nine individual cell clusters were identified according to their distance distribution after dimensionality reduction through t-SNE. The genetic expression features of the cell clusters showed specific transcription preferences. Based on the cells' pseudo-time, a trajectory analysis was performed showing the potent tumorigenesis characteristic of cluster 6 since cells congregated at the beginning of a differentiation tree. A differentiation trajectory might represent the degree of differentiation from a pluripotent cell to a terminal state (41). Therefore, cluster 6 is a potential tumorigenesis signature of Group 3 MB, and could be a foundation for further studies.

It has been shown that *MYC* amplifications are the most frequently observed driver events in Group 3 (42). In this study, PPI analysis showed that *MYC* is at the center of the network and crosstalks with critical downstream factors/targets pathways. Several immune system-related anti-tumor pathways such as antigen procession and presentation, and natural killer cell mediated cytotoxicity were specifically downregulated in cluster 6. Therefore, one potential therapeutic option for Group 3 would be to develop immunotherapy targeting the immune-related pathways and their correlated genes in cluster 6 cells, besides, to upregulate the immune response to tumor. This observation further clarifies the vital and specific role of cluster 6 cells in Group 3 MB.

Increasing evidence suggests that metabolic dysfunction is a key cause of cancer development, including MB. Interestingly, some amino acid synthesis pathways were enriched in cluster 6. Notably, previous studies have proven the important role of amino acids in cancer metabolism in both a tumorigenic and tumor-suppressive manner (43). These amino acid-related pathways specifically enriched in cluster 6 may be attributed to cancer formation and metastasis. Aminoacyl-tRNAs are substrates for translation, capable also of interacting with various proteins to regulate tumorigenesis (44). Consistently, proline and (or) arginine metabolism supports metastasis formation (40). In addition, cysteine is necessary to promote cancer cell proliferation and survival. The metabolic demands of a cell from the stresses associated with proliferation by oncogenic transformation must be met through extracellular sources of

cysteine and *de novo* cysteine generation (45). Moreover, RNA polymerase (pol) III transcription contributes to the regulation of the cell's biosynthetic capacity, and a direct link exists between cancer cell proliferation and deregulation of RNA pol III transcription (46). Further studies on the metabolism in Group 3 MB are warranted.

A pivotal role of cluster 6 has been identified and verified from several perspectives. Naturally, marker genes of this cell cluster are candidates for future therapeutic targets. From over thousands of genes, microarray data screening for survival and expression differences excluded most of them, only those prolonging or shortening survival while specifically expressed in MBs were selected. Therapy de-escalation of these genes requires prospective testing using clinical samples. Only GRM8, MFAP2, and AP1S2 were specifically upregulated in Group 3 MB; however, GRM8 and MFAP2 both interact with *MYC* as its downstream factors. The 33 cases of Group 3 MB provide us with valuable data showing that higher expression levels of GRM8 and AP1S2 are associated with poorer patient outcomes. All 33 patients pathologically diagnosed with Group 3 MB underwent craniotomy and achieved gross resection; adjuvant radiation therapy and chemotherapy were also performed. Thus, the correlation of the expression with survival is convincing to propose GRM8 and AP1S2 as novel hallmarks of Group 3 MB. Nevertheless, MFAP2 remains a potential tumor suppression feature based on previous results. However, the scale of the 33 clinical samples may be too small to uncover all associations.

GRM8 encodes MGLUR8, a G-protein coupled glutamate receptor reported to significantly influence the risk of diseases affecting the CNS including behavior, mental disorder, cognition as well as tumorigenesis of the CNS and other systems (28–30). Of note, GRM8 expression has been shown to characterize Group 4 MB (47) which overlaps in molecular features with Group 3 tumors. AP1S2 is a component of adaptor protein complex 1, and AP1S2 mutation could cause various brain diseases, including hydrocephalus and Dandy-Walker malformation, among others (31). To the best of our knowledge, this is the first study to reveal the functional significance of AP1S2 in Group 3 MB.

Clinical features such as cystic change, location, and tumor size have not been clearly related to molecular MB markers, or prognosis. Its large volume and cystic tumors can easily occlude the fourth ventricle causing hydrocephalus, while the location of the main tumor body is also important. Substantial Group 3 MBs originate and extend to the fourth ventricle, and obstructive hydrocephalus and cerebral fluid metastasis more often occur. In contrast, most SHH MBs are laterally located in the cerebral hemisphere; and therefore, hydrocephalus is not as common as Group 3 MB (48, 49). The Ki-67 index has been considered a valuable independent prognostic biomarker for adult MB (50), so we also included this index in the study. However, on comparing the above tumor features including age, sex, and hydrocephalus with the expression of GRM8 and AP1S2 within these 33 patients with Group 3 MB, no remarkable correlation between GRM8 and AP1S2 gene levels was found; therefore, a larger scale clinical sample is needed for further investigation.

## CONCLUSION

To summarize, using single-cell transcriptomics, this study identified GRM8 and AP1S2 as two novel hallmarks of Group 3 MB indicating poor prognosis, while simultaneously delineating a global picture of the molecular cascade of Group 3 MB downstream of the *MYC* oncogene. With the development of novel single-cell techniques combined with clinical cases, new insights into Group 3 MB molecular characteristics may promote clinical treatment and offer more selective and efficient therapeutic targets for this disease.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Xiangya Hospital ethics committee. The patients/participants provided their written informed consent to participate in this study.

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## AUTHOR CONTRIBUTIONS

YP performed the experiments and interpreted the data. CQ wrote the manuscript. YuzL and YueL performed the experiments. WL and QL designed the experiments, interpreted the data, wrote the manuscript, and provided supervision. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2021.622430/full#supplementary-material>

**Supplementary Figure 1 |** CCND2, MPHOSPH6, TUBB4A, POU4F1, SLITRK1, KIF5C, and TSHZ1 mRNA levels of two normal brain tissues obtained from epilepsy surgery (Numbered 1 and 2), three Wingless/Sonic Hedgehog medulloblastoma (MB) (Numbered 3–5), and three Group 3 MB (Numbered 6–8). H-actin was used as endogenous reference gene. The expression level is presented with graphs.

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# The Role of 2-Oxoglutarate Dependent Dioxygenases in Gliomas and Glioblastomas: A Review of Epigenetic Reprogramming and Hypoxic Response

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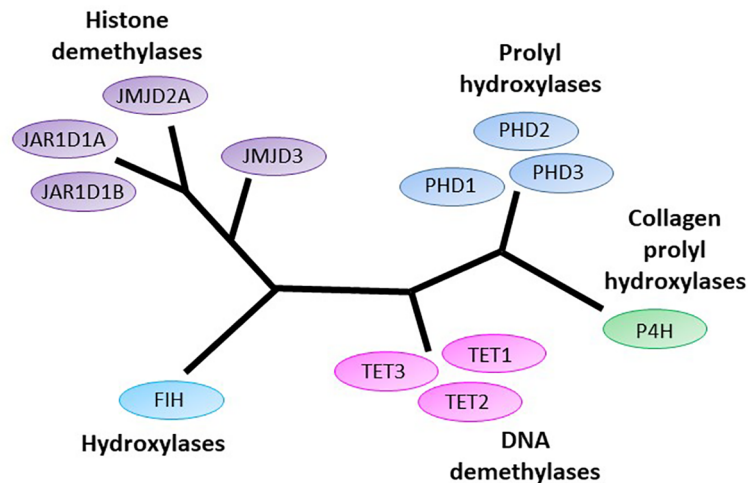
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Gliomas are a heterogeneous group of cancers that predominantly arise from glial cells in the brain, but may also arise from neural stem cells, encompassing low-grade glioma and high-grade glioblastoma. Whereas better diagnosis and new treatments have improved patient survival for many cancers, glioblastomas remain challenging with a highly unfavorable prognosis. This review discusses a super-family of enzymes, the 2-oxoglutarate dependent dioxygenase enzymes (2-OGDD) that control numerous processes including epigenetic modifications and oxygen sensing, and considers their many roles in the pathology of gliomas. We specifically describe in more detail the DNA and histone demethylases, and the hypoxia-inducible factor hydroxylases in the context of glioma, and discuss the substrate and cofactor requirements of the 2-OGDD enzymes. Better understanding of how these enzymes contribute to gliomas could lead to the development of new treatment strategies.

**Keywords:** hypoxia, brain cancer, PHD, HIF-1, TET, IDH, ascorbate

## INTRODUCTION

Gliomas and glioblastomas are brain cancers with significant morbidity and mortality, and limited treatment options. Our review will briefly describe these neoplasms, then concentrate on a super-family of enzymes, the 2-oxoglutarate dependent dioxygenase enzymes (2-OGDD), with dozens of members currently known (**Figure 1**). 2-OGDDs participate in numerous processes including collagen and hormone synthesis, fatty acid metabolism, stress signaling, epigenetic modifications and oxygen sensing (2–7). We will discuss specific members of the 2-OGDD family that have attracted recent interest, including the DNA demethylases [ten-eleven translocases (TET)], the histone demethylases [Jumonji-C domain-containing demethylases (JmJC)], and the hypoxia-inducible factor (HIF) hydroxylases. These enzymes require molecular oxygen and 2-oxoglutarate [2-OG, produced by isocitrate dehydrogenase (IDH)] as substrates, and non-ferrous iron (Fe<sup>2+</sup>) and



**FIGURE 1** | The human 2-oxoglutarate dependent dioxygenases implicated in initiation and progression of gliomas. 2-oxoglutarate dependent dioxygenases (2-OGDDs) include the DNA demethylases Ten-eleven translocases (TETs), the Jumonji-C domain containing histone demethylases (JMJD and JAR1Ds), the prolyl hydroxylases (PHDs) and hydroxylases that control hypoxic response (factor inhibiting HIF, FIH), and the collagen prolyl hydroxylases (P4H). Colors are used to indicate close phylogenetic relationships [adapted from Johansson (1)].

vitamin C (ascorbate) as cofactors. Decreased availability of either substrate or co-factors reduces 2-OGDD enzyme activity, resulting in these enzymes acting as cellular sensors of energy metabolism, oxygen availability, and iron homeostasis (2–4, 8–14). Finally, we consider the potential means of modifying the activity of the 2-OGDDs, specifically by modulating ascorbate availability.

## GLIOMA AND GLIOBLASTOMA AND TREATMENT OPTIONS

Gliomas are a heterogeneous group of neoplasms that arise from glial cells in the brain (15, 16), or neural stem cells (17). Low-grade gliomas, grades II and III, include astrocytomas and oligodendrogliomas which predominantly develop from astrocytes or oligodendrocytes, respectively. Glioblastomas (GBM) are grade IV and can develop either as a high-grade lesion (primary GBM), or from astrocytoma progression (secondary GBM) (15, 16).

Gliomas accounted for 1.6% of cancer diagnoses and 2.5% of cancer related deaths worldwide in 2018 (18). Astrocytomas, oligodendrogliomas and secondary GBM tend to develop in younger individuals (median age 35, 45, and 38 years, respectively), compared to primary GBM (median age of 55 years) (17, 19). Gliomas are identified by magnetic resonance imaging (MRI) or computed tomography (CT/CAT). Although treatment options are available as detailed below, high grade gliomas are incurable (20–23). Some low grade gliomas can be cured, although these are rare (17). Following diagnosis, the median survival for patients with oligodendroglioma can be up to 16 years, for astrocytoma 5–8 years, and for GBM only 15–31 months (17, 24).

The current standard treatment for gliomas focuses on extending patient survival and includes maximal safe debulking surgery followed by radiotherapy and concomitant or adjuvant chemotherapy (20), usually with the alkylating agent temozolomide (20, 21, 25, 26). Debulking surgery is performed to reduce intracranial pressure and neurological symptoms, while resected tissue is utilized for tumor classification (17, 22, 23). Post-treatment recurrence is common with approximately 80% of gliomas recurring in close proximity to the primary site (27). Gliomas treated with temozolomide often hypermutate at recurrence leading to treatment resistance, as evidenced by the mere 20% of recurring gliomas showing response to the same agent (17, 28). Dissemination beyond the brain is uncommon, but some high grade gliomas may spread into the meninges or opposing brain hemisphere (17).

Besides traditional oncogenic drivers, gliomas are characterized by deregulated epigenetics and high levels of hypoxia (low oxygen), processes which are largely regulated by 2-OGDDs.

## 2-OGDD'S AND EPIGENETIC REPROGRAMMING

A significant proportion of 2-OGDDs in mammals are demethylases involved in epigenetic reprogramming (6, 29). Methylation and demethylation of DNA and histones are the fundamental processes guiding epigenetic inheritance and regulating transcriptional activation and repression (30, 31). Consequently, aberrant modifications to these epigenetic processes, causing hyper- and hypo-methylating events, have emerged as hallmarks of cancer progression (32, 33).

Demethylases regulate the epigenome through either the conversion of methylated cytosine (5-mC) to hydroxymethylcytosine (5-hmC) on DNA (**Figure 2**), or the removal of methyl groups from lysine residues on histones (**Figure 3**).

## Global Methylcytosine Status in Glioma

Epigenetic modifications are considered key mechanisms regulating occurrence and prognosis of glioma (34), and are used as classifiers of glioma subtypes (15, 16). Early genome-wide 5-mC analyses revealed that many low-grade gliomas and secondary GBMs contained large numbers of hypermethylated loci referred to as the glioma CpG island methylator phenotype (G-CIMP), and this was closely associated with the presence of somatic *IDH1* mutations, and improved prognosis (35–37). *IDH1* mutations are considered to occur early during the genesis of glioma, persisting during progression to secondary GBM, but they rarely appear in primary GBM (24, 38). Although initial reports suggested that G-CIMP remains stable during disease progression (35, 37), more recent analyses have shown their loss upon recurrence of *IDH1*-mutant gliomas (39–42). Loss of G-CIMP at recurrence resembled genome-wide 5-mC patterns seen in *IDH1* wild-type primary GBM, and was associated with poorer outcomes (41). A novel 7-CpG signature has been identified in non-G-CIMP primary GBMs, where high-risk signatures correlated with poorer overall survival in patients treated with temozolomide and radiation (43), suggesting that even in the absence of G-CIMP and *IDH1* mutations, 5-mC marks may be prognostic.

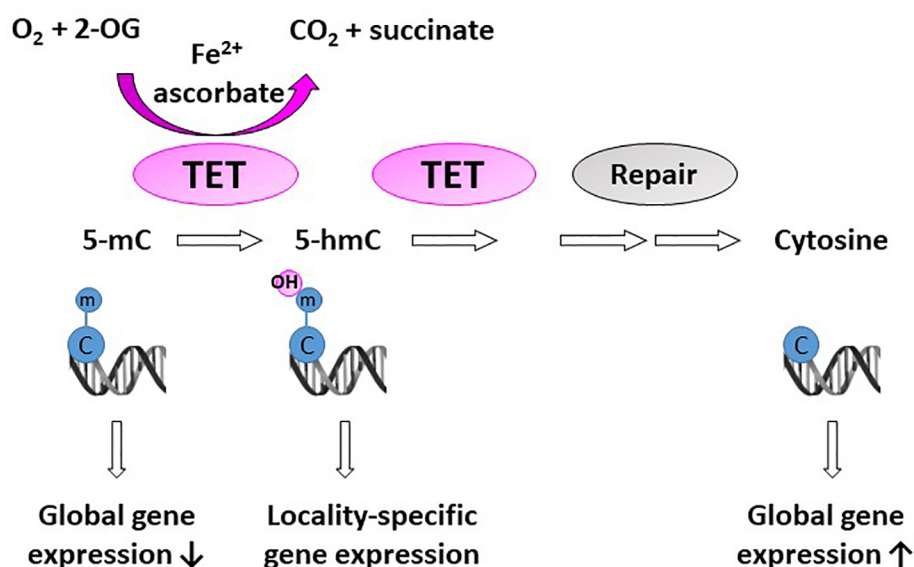
Interestingly however, earlier approaches for quantifying methylation, such as those used in the identification of G-CIMP (35, 36), relied on bisulfite conversion techniques, which cannot differentiate between 5-mC and 5-hmC. It was

the introduction of oxidative bisulfide chemistry that made the distinction between 5-mC and 5-hmC possible (44), and consequently, led to the discovery of 5-hmC-specific binding proteins that are not only involved in DNA repair, but also transcriptional regulation (45, 46). These findings suggest that 5-hmC, in addition to being an intermediate in DNA demethylation, may have its own unique epigenetic role.

Many studies report a loss of global 5-hmC content in glioma compared to healthy brain tissues (47–52). Clinically, lower levels of 5-hmC have been associated with high tumor grade and poorer prognosis in glioma (48, 50, 53). More recent investigations moved beyond measuring global 5-hmC levels, to delineate, with base resolution, specific genomic locations to show 5-hmC patterns; all reporting higher than expected levels of 5-hmC at intronic CpG dinucleotides of high-grade gliomas and GBMs (50, 51, 54). Higher intronic 5-hmC levels correlated with elevated expression of the corresponding gene (54), with 5-hmC levels enriched within enhancer elements (50, 54), and 5-hmC levels associated with histone marks for open chromatin (50, 51). Thus, despite global loss of 5-hmC, genomic locations associated with transcriptional regulation and expression were commonly enriched for 5-hmC in glioma.

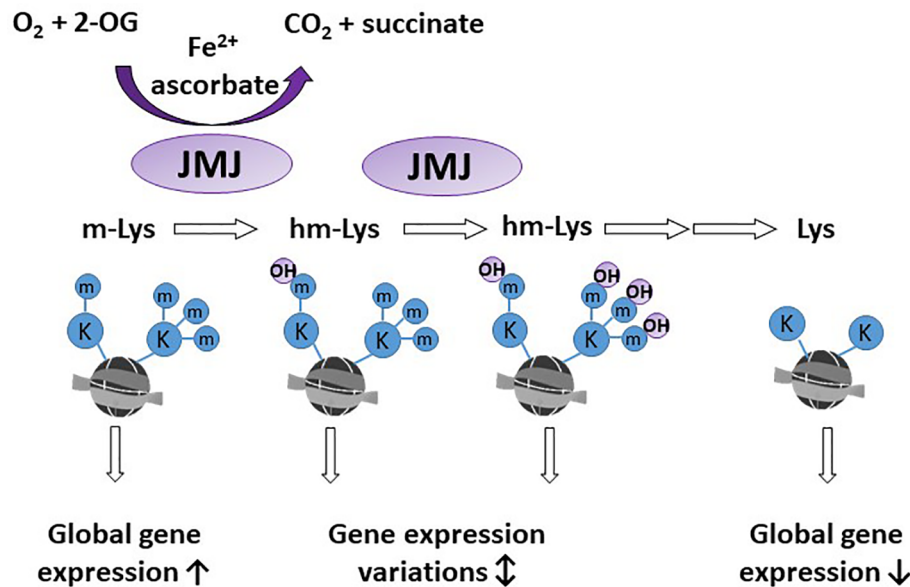
## Ten-Eleven Translocases (TETs)

The TET family consists of three members (TET1/2/3). TET enzymes are involved in both passive and active DNA demethylation (55). During active DNA demethylation, oxidized cytosine intermediates (5-hmC) are excised by thymine DNA glycosylase and repaired with base-excision repair (BER) to generate an unmethylated cytosine (56, 57) (**Figure 2**). Passive DNA demethylation on the other hand occurs



**FIGURE 2** | Activity of DNA demethylases. The ten-eleven translocases (TET1-3) hydroxylate methyl cytosine (5-mC). Hydroxy-methyl cytosine (5-hmC) are further converted to several intermediates, which are excised and repaired by thymine DNA glycosylase and base-excision repair (Repair) to generate an unmethylated cytosine. Methylation at CpG islands tends to repress gene expression, but some evidence suggests that gene expression is increased when 5-mC is converted to 5-hmC in gene promoters and enhancers (locality-specific); however, the exact biological role of 5-hmC is not yet known.





**FIGURE 3** | Activity of histone demethylases. Jumonji-C domain-containing demethylases (JMJ) act on lysine residues of the histone tails. Methylated lysine (m-Lys, mK) are hydroxylated (hm-Lys, hmK), which via several intermediates, leads to demethylated lysine residues. The effect of m-Lys and hm-Lys on histone structure and subsequent gene expression is very complex. Global gene expression tends to be increased in the more open methylated histone structure, compared to compact, demethylated histones.

during cell replication, when 5-mC at CpG sites are not recognized and replaced with unmethylated cytosine (58). In humans, TET1 is located on chromosome 10q21.3, TET2 on chromosome 4q24, and TET3 on chromosome 2p13.1. It is noteworthy that 10q21.3 is commonly deleted in gliomas (59–61).

TET2 is the most studied isoform in glioma. In comparison to normal human brain tissue, TET2 gene and protein expression is reduced in GBM and other gliomas (62, 63). TET2 expression is significantly decreased with increased grade, and lower TET2 was associated with poorer overall survival (62). Similar observations have been reported for the other two isoforms (53). In glioma, reduction in TET3 expression was associated with a genome-wide reduction in 5-hmC levels compared to normal brain, and decreased TET3 expression correlated with poorer prognosis (64). Together, these findings imply a tumor suppressive role for TET enzymes in glioma.

Numerous studies highlight the potential mechanisms by which TET expression and activity are dysregulated in gliomas. A likely mechanism for reduced TET activity is the indirect inhibition *via* mutated IDH1/2 (65). Mutant IDH1 enzymes often exhibit neomorphic activity, converting isocitrate into 2-hydroxyglutarate (2-HG), instead of 2-OG, which is required for TET activity (66, 67). In IDH1-mutant gliomas it has been suggested that 2-HG generation is responsible for the presence of G-CIMP, likely due to reduced TET demethylase activity (35, 36). Independent of IDH status, a complete absence of 5-hmC immunoreactivity was associated with nuclear exclusion of TET1 in 61% of gliomas (52). Transcription of TET2 may be repressed by zinc finger E-box-binding homeobox 1 (ZEB1) in gliomas. ZEB1 levels were inversely correlated with TET2 levels in tumors,

and physical binding of ZEB1 to the TET2 promoter in glioma cells was observed *in vitro* (62). However, reductions in TET2 expression and activity are unlikely to be due to TET2 mutations, as direct sequencing of TET2 revealed very few mutations and minimal association of mutations with levels of 5-hmC in gliomas (68, 69). In humans, intragenic CpG sites within TET2 showed higher levels of 5-mC and lower levels of 5-hmC in GBM compared to normal brain, although within the TET2 promoter low levels of both 5-mC and 5-hmC were detected at CpG sites of normal brain and GBM (63). Therefore, it is unlikely that lower TET2 expression in glioma is transpiring as a result of promoter methylation-mediated transcriptional suppression, but may be an effect of transcription prevention due to intragenic DNA methylation. Interestingly though, in a cohort of low-grade gliomas that had promoter hypermethylation, all were wild-type IDH1/2 (68). From this we hypothesize that in the absence of IDH1/2 mutations, a small portion of IDH wildtype tumors may instead remodel TET2 promoter methylation in an attempt to disrupt TET2 activity. Taken together, these studies demonstrate the tumor suppressive role of TET enzymes in glioma, and highlight the potential mechanisms by which TET expression and activity may be dysregulated. Restoration of TET function to resemble healthy brain tissue may aid in regulating epigenetic processors that can counteract glioma progression and improve treatment outcomes.

### Jumonji-C Domain Containing Histone Demethylases

Many 2-OGDDs are from the large family of evolutionary conserved jumonji-C (JmJC) domain-containing proteins that

are responsible for catalysing the removal of methyl groups from lysine residues on histones (29, 70) (**Figure 3**). There are several subfamilies of JmjC domain-containing histone lysine demethylases with substrate specificity to different methylated lysine residues, and this specificity is mediated by the functional domains unique to each subfamily (2, 29). The dynamic interplay between histone methylation and demethylation can result in either gene activation [via histone 3 lysine 4 (H3K4), H3K36 and H3K79] or inactivation (H3K9 and H3K27) (71, 72).

JmjC-domain containing demethylase isoforms are heterogeneously expressed in different brain locations and adult brain cell types (73, 74). Under hypoxic conditions, JMJD3 expression increased in neurons (75), but the modulation of specific histone methylation marks in response to varying oxygen tensions, and ranges of iron and 2-OG levels in brain cells, has yet to be determined.

In gliomas, changes in JmjC-dependent demethylase expression have been associated with differences in genome-wide histone methylation patterns (76, 77), and lower global histone methylation was associated with poorer patient survival (78). Conflicting reports on expression patterns of JmjC-dependent demethylases in glioma and GBM tissues have been published (77, 79–83). Overall, whether JmjC-dependent demethylases promote or suppress gliomagenesis is likely enzyme-dependent, although the majority of studies show these enzymes to promote tumor progression.

Introduction of the IDH1<sup>R132H</sup> mutation in astrocytoma cells has been associated with both global histone hypermethylation (84), and enrichment of specific histone methylation marks (85). Mutant IDH1 enzymes generate the oncometabolite 2-HG instead of 2-OG, and 2-HG has been shown to competitively inhibit histone demethylase activity (65, 86). Despite the fact that reduced histone demethylase activity has been linked with higher histone methylation in astrocytoma cells, most clinical gliomas show increased levels of JmjC-dependent demethylases and lower histone methylation (76–81). Thus, further investigations of the biological mechanisms causing increased expression of JmjC-dependent demethylases in glioma is needed.

## 2-OGDDs AND THE HYPOXIC PATHWAY

Gliomas are highly hypoxic tumors and this is associated with poor patient prognosis (23, 87–89). Hypoxia induces adverse tumor characteristics including genomic instability, decreased apoptotic potential, increased expression of oncogenes and increased angiogenesis, which have all been described in gliomas (90). These characteristics are driven by the hypoxia inducible factors (HIFs) which are regulated by microenvironmental oxygen levels and the 2-OGDD enzymes, prolyl hydroxylases (PHD) and factor inhibiting HIF (FIH) (**Figure 4**) (91). As these HIF hydroxylases are dependent on oxygen for optimal function their activity is likely impaired in gliomas (92–95).

### Hypoxia-Inducible Factors

Hypoxic conditions typical of most solid tumors result in accumulation of the HIF transcription factors (11, 96). The

HIFs (HIF-1,2,3) are heterodimeric transcription factors, consisting of one of three oxygen-sensitive  $\alpha$ -subunits and a constitutive  $\beta$ -subunit (also known as aryl hydrocarbon receptor nuclear translocator (ARNT)) (97–99). HIF-1 $\alpha$  is located on chromosome 14q23 (100); HIF-2 $\alpha$ , also known as endothelial PAS domain protein 1 (EPAS1), is on 2p21, and HIF-3 $\alpha$  is on 19q13 (101). Active HIF complexes accumulate in the nucleus and bind to specific hypoxic response elements (HREs) in promoter regions of HIF target genes, inducing their expression (102, 103). HREs contain the consensus sequence 5'CGTG3', targets of CpG methylation, and CpG methylation blocks HIF-1 binding and transactivation (104). Even though HIF-1 and HIF-2 have identical HREs, their response to hypoxia, tissue distribution, target genes and their pro- or anti-tumor effects are distinct (105). Binding of HIF-1 and HIF-2 to their canonical HREs vary according to histone modifications, with HIF-1 preferentially associating with H3K4me3 modifications and HIF-2 with H3K4me1 (106). These binding patterns were interpreted as HIF-1 binding predominantly at regulatory regions within promoters, and HIF-2 binding to enhancer regions (106).

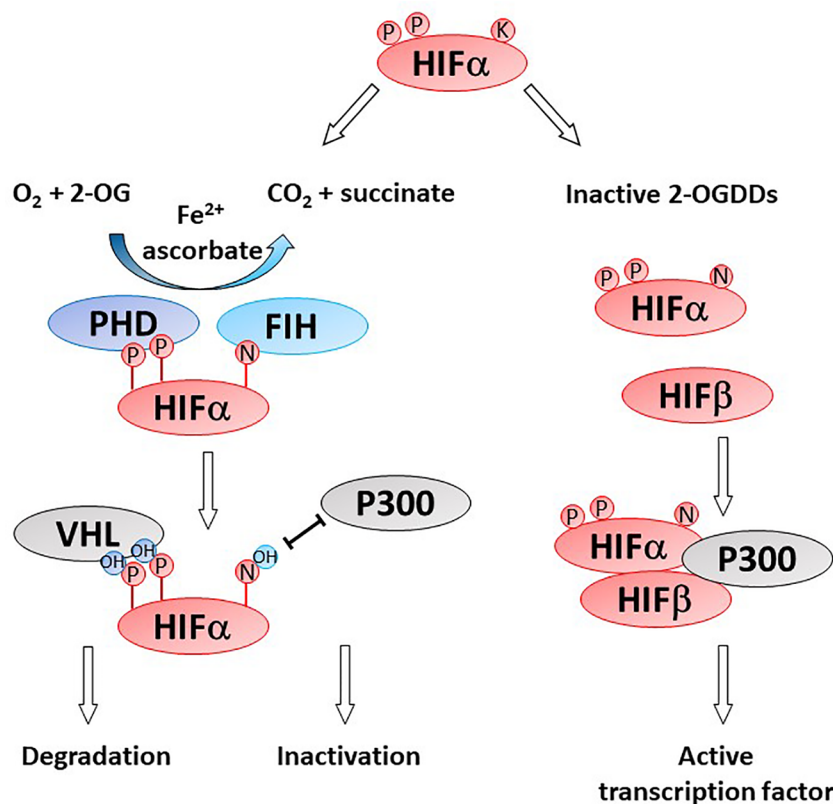
HIFs modulate expression of hundreds of genes, and as a result, promote tumor growth and spread, adaptation to the tumor microenvironment, and resistance to chemo- and radiotherapy (107, 108). HIF activity also affects DNA methylation, histone acetylation and regulates noncoding RNAs (109), demonstrating the complexities of the hypoxic pathway.

HIF-1 $\alpha$  has been proposed to drive glioma progression from low-grade astrocytoma to high-grade GBM (23, 110). Higher HIF-1 $\alpha$  expression in human astrocytoma and GBM has been correlated with worse prognosis (111–114). Moreover, expression of HIF-1 $\alpha$ , and its downstream target genes vascular endothelial growth factor (VEGF), glucose transporter (GLUT1), and carbonic anhydrase (CA9), show increased expression in higher grade gliomas compared to lower grade (115). In addition, increases in VEGF expression were shown to localize to hypercellular and necrotic regions that form as result of decreased oxygen and nutrient delivery (113, 116).

In comparison to HIF-1 $\alpha$ , HIF-2 $\alpha$  may be a specifically attractive target in GBMs, as it is expressed in glioma stem cells but not normal neuronal progenitors and it is activated by long-term hypoxia, in addition to its association with poor patient survival (117).

### HIF Hydroxylases

HIF activity is regulated at the post-translational level by two families of HIF hydroxylases, the prolyl hydroxylase domain (PHD) and factor inhibiting HIF (FIH) 2-OGDDs (**Figure 4**). PHDs hydroxylate specific proline residues on the HIF- $\alpha$  subunit targeting the subunit for proteasomal degradation (9, 92, 118). FIH hydroxylates an asparagine residue and prevents binding of the co-activators CBP/p300 and nuclear translocation (93, 119). The instability of HIF- $\alpha$  and the inhibition of transcriptional activators binding results in a reduction in both HIF- $\alpha$  protein and expression of target genes. Protein hydroxylation was considered irreversible, but a recent study showed evidence that FIH-mediated asparagine hydroxylation may be reversible by as yet unknown cellular enzymes (120).



**FIGURE 4** | Activity of HIF-hydroxylases. Response to low oxygen (hypoxia) is regulated via the prolyl (PHD) and asparaginyl hydroxylases (factor inhibiting HIF, FIH). Specific proline (P) and asparagine (N) residues on the alpha subunit of the hypoxia inducible factor (HIF $\alpha$ ) are hydroxylated by PHD and FIH, respectively. Proline hydroxylation enables recognition by von Hippel Lindau (VHL) as part of the proteasome and HIF $\alpha$  degradation. Asparagine hydroxylation prevent binding of the cofactor P300, thereby inactivating the HIF transcription factor. In the absence of one or more of the OGDD substrates or cofactors, PHD and FIH enzymes become inactive, enabling the accumulation of HIF $\alpha$  and formation of an active HIF transcription factor via binding to HIF $\beta$ . Genes under HIF control regulate cancer pathways such as angiogenesis, metastasis, glycolysis, etc.

The PHDs and the FIH enzymes have specific roles in oxygen and metabolic sensing due to their exquisite requirements for molecular oxygen and 2-OG, respectively (11). PHDs have higher affinity for oxygen (9, 13), bind unusually tightly to Fe<sup>2+</sup> (121), and have a lower affinity for ascorbate compared to FIH (3), possibly due to the narrower opening to the enzyme active site of PHDs (122). PHD2 and FIH bind 2-OG with distinct residues, with FIH sharing more homology with JmjC-domain containing protein family of enzymes (94), suggesting evolutionary divergence (122). The HIF hydroxylases therefore have different sensitivities to loss of enzyme substrates and/or co-factors.

PHD2 (encoded by *EGLN1*) is generally acknowledged as the primary mediator of HIF-1 $\alpha$  protein degradation, with PHD1 and 3 more likely to be involved in fine-tuning of the hypoxic response (123). This may be reflected in the subcellular localisation of each isoform, with PHD1 detected exclusively in the nucleus, PHD2 (and FIH) in the cytoplasm, and PHD3 in both compartments (124). Interestingly, PHD2 is activated, rather than inhibited, by the R-enantiomer of 2-HG as it mimics 2-OG, suggesting that IDH mutations may lead to HIF- $\alpha$  degradation (125–127). It has been shown, using immunohistochemistry, that HIF-1 $\alpha$  and

IDH<sup>R132H</sup> expression are not related, supporting the ability of 2-HG to activate PHD2 (128).

FIH (also known as *HIF1AN*) is mapped to chromosome 10q24 (93). Full or partial deletions of chromosome 10q often occur in gliomas, and the frequency of these deletions increases with tumor grade (59–61). Thus, *FIH* mRNA expression often decreases with increasing tumor grade (129, 130). Investigation of FIH in GBMs has shown that FIH reduces the interaction between p300 and HIF-1 $\alpha$  which is essential for transcriptional activity (131), and correspondingly higher FIH expression was associated with reduced *GLUT1* and *VEGF* expression in GBM cells (131). Loss of *FIH* through deletion of chromosome 10q24 may increase the hypoxic response, and thus contribute to an aggressive and treatment resistant glioma phenotype associated with hypoxia (23, 87, 88). While PHD2 is activated by the mutant IDH metabolite, 2-HG, FIH is inhibited, resulting in p300 interacting with HIF- $\alpha$  and inducing target gene expression (125). However, FIH has been shown to interact with a glioma tumor suppressor gene (*ANKDD1A*), which increased FIH activity, thereby reducing HIF- $\alpha$  activation and preventing HIF target gene expression (132).

## SUBSTRATE AND COFACTOR REQUIREMENTS OF 2-OGDDs

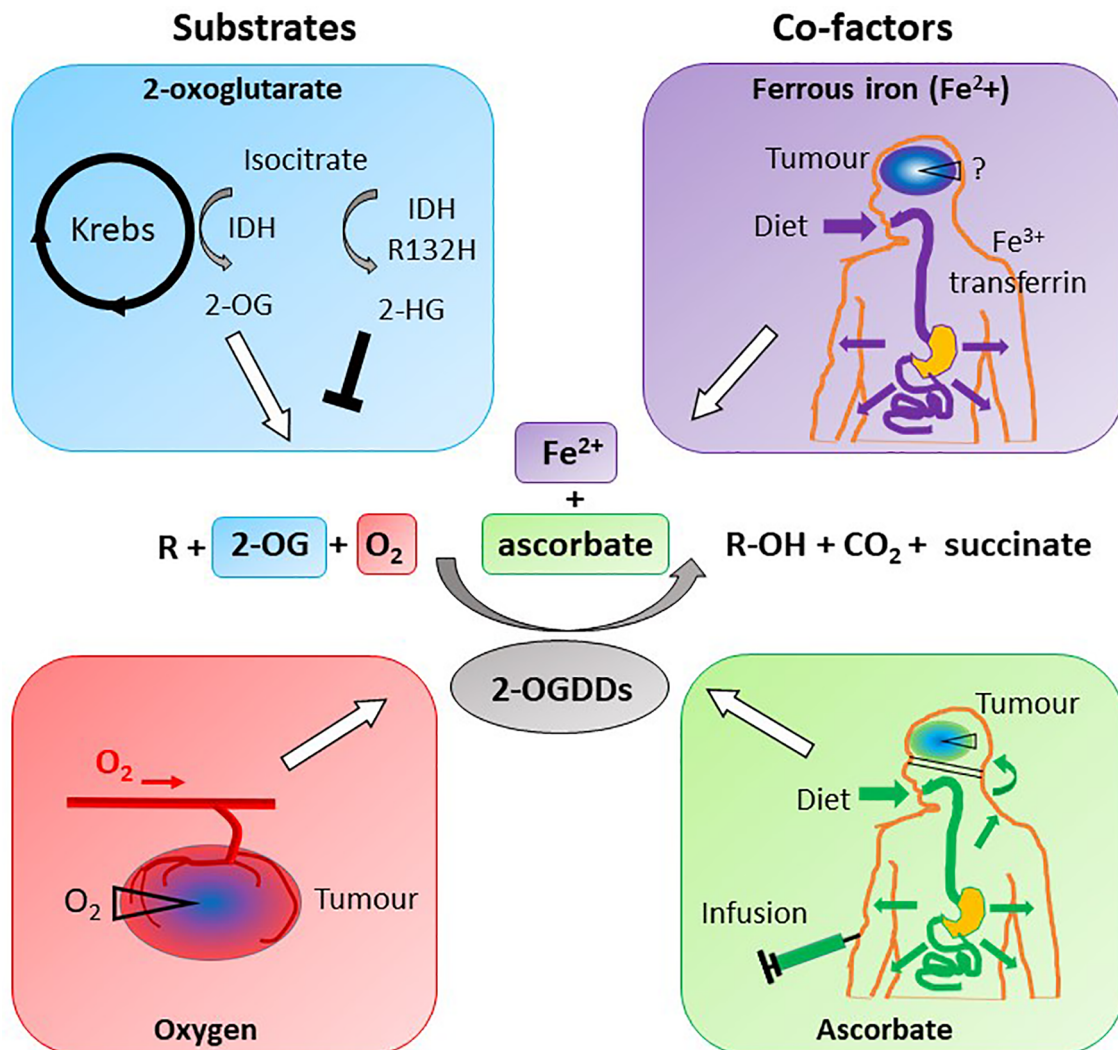
2-OGDDs contain a non-heme iron ( $\text{Fe}^{2+}$ ) in the active site, and utilize 2-OG (also known as  $\alpha$ -ketoglutarate) and molecular oxygen as substrates (Figure 5). Ascorbate is an essential cofactor, and is thought to be required to maintain the active site  $\text{Fe}^{2+}$  in the reduced state (11–14, 133, 134).

### Molecular Oxygen as 2-OGDD Substrate and its Availability in Gliomas

Oxygen is an absolute requirement for all 2-OGDDs, but not all 2-OGDDs function as oxygen sensors. The PHDs have a  $K_m$  for

oxygen of 230–250  $\mu\text{M}$ , slightly above dissolved oxygen concentrations in air (20.9%  $\text{O}_2$  in gas phase  $\sim 200 \mu\text{M}$  dissolved  $\text{O}_2$ ) (135), and this property makes these proteins the predominant cellular oxygen sensors. These  $K_m$  values are significantly greater than for FIH at 90  $\mu\text{M}$ , and the collagen prolyl 4-hydroxylases (C-P4H) at 40  $\mu\text{M}$  (13, 135, 136).

Tissue partial pressure of oxygen ( $\text{PO}_2$ ) in the human body range from 104–108 mmHg in the alveola of the lung, to arterial  $\text{PO}_2$  of 90 mmHg and venous  $\text{PO}_2$  of 40 mmHg, to most tissues that have between tissue  $\text{PO}_2$  of 20–70 mmHg (137). Oxygen levels in the brain are heterogeneous and difficult to measure, with levels of 30–48 mmHg in normal brain recorded. Hypoxia is defined as <5 mmHg, and levels below 2.5 mmHg are known to



**FIGURE 5 |** Substrate and cofactor requirements of the 2-oxoglutarate dependent dioxygenases. These enzymes hydroxylate nucleotides or amino acids (R), forming a hydroxylated product (R-OH). 2-OGDDs require 2-oxoglutarate (2-OG) and molecular oxygen ( $\text{O}_2$ ) as substrates, and ferrous iron ( $\text{Fe}^{2+}$ ) and ascorbate as cofactors. Isocitrate from the Krebs cycle is converted to 2-OG by isocitrate dehydrogenase (IDH), but mutant IDH (IDH<sup>R132H</sup>) produces the competitive inhibitor 2-hydroxyglutarate (2-HG). Low oxygen (hypoxia) is a characteristic of most solid tumors, thus reducing activity of 2-OGDDs. Iron obtained from the diet is transported via transferrin and taken up across the BBB via transferrin receptors; the content in tumors is unknown. Ascorbate is obtained via a healthy diet or infusions, and cannot cross the BBB but will travel via the choroid plexus. Cellular ascorbate uptake is via sodium vitamin C transporters; tumors may be low in the vitamin.



induce clinical radioresistance (138–145). Theoretical modelling suggests the minimum oxygen level requirement for brain tissue is 17 mmHg and that a critical range for hypoxic injury is 4–11 mmHg (140).

Hypoxia is a prominent feature of gliomas. Oxygen levels in tumors have been measured using a range of techniques, including injection of hypoxia markers (146–149), direct measurements using polarographic O<sub>2</sub> microelectrodes (145, 150) and MRI/CT scans (151). Using these techniques, oxygen levels were observed to be lower in gliomas and peritumoral regions compared to normal brain tissues, and readings below 2.5 mmHg were more common in tumors of increasing grade (145, 150, 152), with the most severe hypoxia detected in GBMs (23, 88, 89). A review of six studies reported a median PO<sub>2</sub> of 13 mmHg in gliomas (144). This suggests that in high grade gliomas, the activity of 2-OGDD enzymes is likely to be reduced, but hypoxia is not routinely measured.

As ionizing radiation remains the mainstay of glioma treatment, and since hypoxia governs radioresistance, considerable clinical effort has been focused on reducing tumor hypoxia. With regards to 2-OGDD activity, these strategies may also improve enzyme activity, and are thus briefly discussed here. In an attempt to improve tumor oxygenation, patients have been given pure oxygen in a pressurized environment (hyperbaric oxygen) to breath (153). Alternatively, patients breathed 95% O<sub>2</sub> with 5% CO<sub>2</sub> (carbogen), which, together with hypercapnic-induced vasodilation, increases the amount of dissolved plasma O<sub>2</sub> at the capillary level (154). Carbogen was tested in combination with nicotinamide, which is believed to prevent transient cessations in blood flow, thus inhibiting the development of acute hypoxia (154). Drugs have been developed to improve oxygen delivery (eg trans sodium crocetinate, TSC) (155), to normalize the tumor vasculature (e.g., anti-VEGF bevacizumab) (156), or to reduce oxygen consumption rate *via* mitochondrial poisons (e.g., anti-parasitic drugs atovaquone, ivermectin, proguanil, mefloquine, and quinacrine) and tested in patients with GBM (157). Overall, the results of these clinical trials have been disappointing and none of the approaches have been adopted into clinical practice, and actual oxygen measurements were largely lacking.

## 2-Oxoglutarate and Oncometabolites as 2-OGDD Substrates in Gliomas

The substrate 2-oxoglutarate (2-OG) is a product of the reaction in which isocitrate dehydrogenase enzymes (IDH) convert isocitrate to 2-OG (**Figure 5**). This reaction occurs in the TCA cycle *via* IDH3 and in the cytosol *via* IDH1 and IDH2. A number of *IDH1* and *IDH2* mutations have been reported in glioma, with the most common a base substitution in codon 132 of *IDH1* resulting in an arginine to histidine replacement (*IDH1*<sup>R132H</sup>) (24, 67). *IDH1*<sup>R132H</sup> has been identified in more than 70% of grade II/III astrocytic and oligodendroglial diffuse gliomas, and in more than 80% of secondary GBM, but rarely in primary GBM (24, 158, 159). *IDH1*<sup>R132H</sup> enzymes generate 2-HG, instead of 2-OG (66, 67), which binds competitively to 2-OGDD enzymes and inhibits their function (**Figure 5**). Studies have, however,

also reported that accumulation of 2-HG does not universally occur in all *IDH1*<sup>R132H</sup> and, conversely, that some wild type IDH cells accumulate 2-HG (160, 161). Further research is required to understand the impact of IDH mutations on patients with glioma, and how 2-HG accumulation interacts with other 2-OGDD substrates and co-factors to influence their activity in these tumors.

In gliomas with *IDH1*<sup>R132H</sup> mutations, drugs that inhibit the mutant IDH1 enzymes may improve 2-OGDD activity by reducing 2-HG production. A number of mutant IDH1 inhibitors are currently being evaluated in clinical trials with glioma patients, including AG-120 (Ivosidenib), AG-881 (Vorasidenib), BAY1436032 (Bayer), and DS-1001b (NCT02746081) (162–166). Pre-clinical investigations have reported anti-proliferative effects, reductions in tumor growth rates, and lower levels of 2-HG in both glioma cells and tumors from animal models (167–170). To date, 2-HG levels have only been measured in the plasma of human glioma patients following intervention with Ivosidenib, reporting no difference compared to those without treatment (163). Despite this, interest remains high and data from a Phase I study of Ivosidenib and Vorasidenib in patients with recurrent, non-enhancing, IDH1-mutant, low-grade glioma is currently pending (162). In addition to inhibitors, vaccines against mutant IDH1 have been tested in mice (171, 172). Mice with mutant *IDH1*<sup>R132H</sup> gliomas treated with the vaccine showed longer survival than non-immunized mice, and had higher levels of peripheral anti- *IDH1*<sup>R132H</sup> antibodies, IFN- $\gamma$ , and CD8+ T cells (172). However, 2-HG levels in tumors were not measured, and thus the vaccine effect on 2-OGDDs remains to be tested.

## Iron as Cofactor for 2-OGDDs and Availability in the Brain

Iron is the most abundant transition metal in the brain (173) and is more concentrated in some regions than in others (range; 13.5–1.75  $\mu$ mol/g dry weight) (174), including the iron-rich substantia nigra, caudate nucleus and globus pallidus (175). Most gliomas arise in the frontal/temporal lobe, areas rich in glial cells and, potentially, relatively lower in iron. Astrocytes secrete hepcidin, which modulates the expression of ferroportin and other iron regulatory proteins, and thereby function as iron sensors to regulate and communicate the iron requirement of the brain through paracrine signaling (176–178).

Iron uptake into the brain is tightly regulated through the endothelial cells and neighboring astrocytes in the blood brain barrier (BBB) (176, 179, 180), primarily through the transferrin bound iron (TBI) pathway (174, 181, 182) however, when this pathway becomes saturated, non-TBI pathways are used (183). Through the canonical TBI uptake pathway, ferric iron (Fe<sup>3+</sup>) forms halotransferrin (174), which is able to pass through the BBB by binding to transferrin receptors on the apical surface of brain microvascular endothelial cells (BMVECs). Within the BMVEC, excess iron is stored in the cytosolic labile iron pool, which is the principle source of metals for metabolism (184). Efflux of iron through the abluminal membrane into the brain interstitium occurs through ferroportin (185, 186), expressed on



the basolateral side of the BMVEC cells (186, 187). Once iron has crossed the BBB barrier, it is taken up by neurons, astrocytes, oligodendrocytes and microglia through TBI and nonTBI uptake pathways (183).

Iron plays a role in carcinogenesis, with proteins that modulate and regulate iron metabolism often dysregulated in gliomas (188–191). GBM cancer-stem-like cells were shown to upregulate transferrin expression, and to extract iron more effectively from the tumor microenvironment than non-stem-like tumor cells in an *ex vivo* explant model (192). The  $\text{Fe}^{2+}$  content in gliomas has not been reported but reduced levels may impede 2-OGDD function in tumors and cancer stem cells.

## Ascorbate as Cofactor for 2-OGDDs and its Availability in the Brain

As a cofactor for 2-OGDDs, ascorbate acts to reduce  $\text{Fe}^{3+}$  back to active  $\text{Fe}^{2+}$  (Figure 5). This activity appears to be specific to ascorbate as alternative reducing agents such as glutathione or N-Acetylcysteine are unable to substitute for ascorbate in 2-OGDD activity (12, 193, 194). Ascorbate is also involved in stabilizing cysteine residues in PHD enzymes, preventing intramolecular oxidation and supporting catalytic activity (126).

Normal brain tissue has one of the highest ascorbate levels of all tissues in the body, reaching intracellular concentrations of 2–10 mM depending on the cell type (195–197). In times of ascorbate insufficiency, the brain is one of the last tissues to lose ascorbate, supporting its importance to brain function (195, 198). The specific vitamin C transporters (sodium-dependent vitamin C transporters) are not expressed on the endothelial cells lining the BBB (198), and ascorbate enters the central nervous system through the choroid plexus where it can diffuse through the cerebrospinal fluid to the brain (195, 198). Cells within the brain express ascorbate transporters allowing intracellular ascorbate accumulation (198).

Data on ascorbate content in gliomas is limited to a single study that reported ascorbate levels in astrocytomas from eleven patients (199). While ascorbate levels were not different between astrocytoma tissue and non-neoplastic tissue, DNA content was significantly higher in astrocytoma (tumor) tissues indicating increased cell density (or cellularity) of the tissues. This suggests that intracellular ascorbate per cell may be reduced in astrocytoma tissue compared to normal, non-necrotic tissue (199). These intriguing findings need to be confirmed.

## Ascorbate and Epigenetic Reprogramming

Evidence for the effects of ascorbate on epigenetic reprogramming is largely from embryonic cells (200, 201), but data in gliomas is missing. In patients with myeloid malignancies, oral ascorbate supplementation resulted in an increase in the ratio of 5-hmC compared to 5-mC in mononuclear myeloid cells (202). Here, DNA demethylation was not associated with changes in TET expression (202, 203), but instead appear to result from ascorbate-mediated restoration of endogenous TET activity, which was supported by studies with *Tet2*-deficient mice (204). Gliomas show lower TET expression independent of *TET* mutations (63, 68, 69), and we hypothesize that ascorbate may compensate for lower TET

expression by upregulating residual TET2 function as was observed in a case study of acute myeloid leukemia (205).

Low-grade primary gliomas commonly harbor *IDH* mutations that persist during progression to secondary GBM (24). *In vitro*, ascorbate was able to circumventing competitive inhibition by 2-HG in colon cancer and HOXA9-immortalized mouse bone marrow cells with *IDH1*<sup>R132H</sup> mutations (206, 207). One *in vitro* study reported the effects of ascorbate on epigenetic marks in LN229 glioma cells, showing increased *TET3* mRNA expression, as well as increased 5-hmC, but these cells did not harbor an *IDH* mutation (64). Yet, these findings, together with reported associations between higher 5-hmC levels and better prognosis in patients with GBM (50), support the notion that sufficient ascorbate levels in glioma tumors may induce demethylation activity and promote more favorable outcomes, although whether sufficient ascorbate can overcome high levels of 2-HG remains to be determined.

In addition to TET-mediated effects, ascorbate induced H3K9me2/3 and H3K36me2/3 demethylation *via* JmjC-dependent demethylases in embryonic stem, embryonic fibroblast and Th17 cells from mice (208–210). Ascorbate caused reductions in H3K9 methylation and increased expression of the JmjC-dependent demethylases, JHDM2A-C and JHDM3B, as well as widespread DNA demethylation at CpG island boundaries in human embryonic stem cells (211). However, investigations in gliomas are lacking, despite the well-established link between glioma formation and global 5-hmC deficiency.

## Ascorbate and the Hypoxic Pathway

The relationship between intracellular ascorbate levels and HIF pathway activity has been investigated in numerous cancers, but not yet in gliomas. *In vitro* investigations of intracellular ascorbate levels and HIF pathway activity have been performed in varying cancer types, findings from which have guided further *in vivo* studies (212–215). In relevant mouse models (using ascorbate-dependent *Gulo*<sup>-/-</sup> mice), increased ascorbate intake or administration was associated with increased tumor ascorbate levels, reduced HIF pathway activity and reduced tumor growth (216). In clinical samples of endometrial (217), colorectal (218), thyroid (214), papillary cell renal cell carcinomas (215, 219), and breast cancer (220), high tumor ascorbate levels were associated with low HIF-1 $\alpha$  protein levels and low HIF target gene expression. This relationship was not evident in clear cell renal cell carcinoma (215, 219), that have a mutated von Hippel-Lindau factor which prevents proteasomal degradation of hydroxylated HIF- $\alpha$  (219). Higher levels of tumor ascorbate were associated with improved disease-free survival in patients with colorectal cancer (218) and improved disease-specific survival in patients with breast cancer (220).

## HIGH DOSE ASCORBATE AS CANCER TREATMENT

Infusion with high dose ascorbate as an alternative or complementary therapy for cancer is widespread (221), but

lacks evidence of efficacy (222, 223), despite early promising data (224, 225). Since then, pharmacokinetic data have demonstrated that infusion results in supra-physiological plasma ascorbate levels that are not achievable by oral administration (226–229). Case studies and small clinical trials continue to surface that suggest there may be circumstances under which high dose ascorbate infusion can provide a clinical benefit (230–239).

## Preclinical Models of High Dose Ascorbate Treatment in Gliomas

In a mouse xenograft glioma model, analysis of ascorbate levels in plasma, tumor, and cerebrospinal fluid samples showed that ascorbate increased 1 h post intraperitoneal injection with 4 g/kg of ascorbate (240). One study, using an intracranial GL261 glioma mouse model, reported that radiation treatment slowed tumor growth, whereas ascorbate treatment made no difference, and the combination of ascorbate and radiotherapy induced faster progression (241), in conflict to *in vitro* findings of radiosensitisation by ascorbate in numerous glioma cell lines, including GL261 cells (242–244). However, ascorbate levels in the intracranial model were not measured, and thus the impact of ascorbate on glioma response to radiation remain uncertain.

## Clinical Trials in Patients With Gliomas and GBMs

Preclinical data led to phase I clinical trials administering intravenous ascorbate to glioma patients, with and without standard radiotherapy and temozolomide (245). High dose vitamin C (HDVC) was found to be safe and well tolerated, reaching target 20 mM plasma levels (240, 245), but tumor ascorbate levels were not measured. A trend of improved overall survival was reported, but participant numbers were too small to determine statistical significance (240). Two case reports for the use of HDVC infusions in patients with glioma have also been reporting (237, 246).

Previous research has shown an association between a lower proportion of methylation at the O-6-methylguanine-DNA methyltransferase (MGMT) promoter in glioma tumors and poorer patient prognosis (247). MGMT is a DNA repair enzyme responsible for resistance to temozolomide, and hypermethylation of the MGMT promoter is evident in 40–45% of gliomas (248, 249), with higher methylation levels in low-grade gliomas compared to GBMs (53). Interestingly, in glioma patients with low methylation levels at the MGMT promoters, HDVC infusions resulted in improved overall survival (240), but unfortunately, ascorbate levels in the glioma tissue were not measured. Plasma ascorbate levels do not necessarily reflect tumor ascorbate levels due reduced functioning vasculature

and BBB in gliomas. Overall, the clinical worth of HDVC in cancer remains unproven.

## CONCLUSION

The superfamily of 2-OGDD enzymes play a vital role in glioma progression and patient prognosis, being involved in epigenetic modifications and oxygen sensing. Limiting supplies of one or more of their substrates or cofactors in gliomas is likely although reported measurements are rare. Restoration of epigenetic modifications offers a promising target in the treatment of cancer, as these alterations are reversible, as opposed to genetic mutations. Attempts at increasing tumor oxygenation to improve effectiveness of radiation and chemotherapy in glioma are not (yet) in clinical practice (250), and new strategies are sought. Ascorbate infusion is a safe and cheap option that may be able to normalize 2-OGDD function in a subset of glioma tumor subtypes. However, this will likely depend on mutation status and on the ability to increase intracellular ascorbate levels in these tumors. Future research will need to confirm ascorbate status of clinical glioma tumors, on measuring 5-hmC levels and HIF activity in clinical samples, and on determining an optimal ascorbate dose for patients, before embarking on phase III trials to determine clinical efficiency.

## AUTHOR CONTRIBUTIONS

Conceptualization, RC, EB, JR, and GD. Funding acquisition, EP and GD. Supervision, EP, MV, and GD. Writing—original draft, RC and EB. Writing—review and editing, JR, MV, and GD. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Correlation Between APOBEC3B Expression and Clinical Characterization in Lower-Grade Gliomas

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**Background:** As the most aggressive tumors in the central nervous system, gliomas have poor prognosis and limited therapy methods. Immunotherapy has become promising in the treatment of gliomas. Here, we explored the expression pattern of APOBEC3B, a genomic mutation inducer, in gliomas to assess its value as an immune biomarker and immunotherapeutic target.

**Methods:** We mined transcriptional data from two publicly available genomic datasets, TCGA and CGGA, to investigate the relevance between APOBEC3B and clinical characterizations including tumor classifications, patient prognosis, and immune infiltrating features in gliomas. We especially explored the correlation between APOBEC3B and tumor mutations. Samples from Xiangya cohort were used for immunohistochemistry staining.

**Results:** Our findings demonstrated that APOBEC3B expression level was relatively high in advanced gliomas and other cancer types, which indicated poorer prognosis. APOBEC3B also stratified patients' survival in Xiangya cohort. APOBEC3B was significantly associated with infiltrating immune and stromal cell types in the tumor microenvironment. Notably, APOBEC3B was involved in tumor mutation and strongly correlated with the regulation of oncogenic genes.

**Conclusion:** Our findings identified that APOBEC3B could be a latent molecular target in gliomas.

**Keywords:** glioma, APOBEC3B, tumor microenvironment, immune response, prognosis



## INTRODUCTION

Diffuse gliomas, including lower-grade gliomas (LGGs) and glioblastomas (GBMs), are the most malignant brain tumor in adults (1). Glioma patients always had high mortality rate, high recurrence risk and dismal prognosis (2). LGGs comprised of diffuse low-grade and intermediated-grade gliomas. Nowadays, the primary therapeutic methods for LGG is surgery with concurrent radiotherapy and chemotherapy (3). Despite the advances in treatment methods, the median overall survival of LGG patients is less than 2 years due to limitations in therapeutic options. Therefore, novel therapeutic strategies are urgently needed. In recent years, immunotherapy, including immune checkpoint inhibitors, has demonstrated remarkable results in cancer treatment and casted new lights on clinical management of glioma (4, 5).

The tumor microenvironment (TME) is a highly dynamic composition of various cell types and is considered being responsible for the effectiveness of immunotherapies. An immunosuppressive TME was formed during the progression and recurrence of glioma (6). Immune infiltrating cells account for the major part of TME and sometimes can protect tumor cells from being detected and exterminated by the immune system. For example, regulatory T cells (Tregs) and tumor-associated macrophages (TAMs) have been proved to exert immunosuppressive effect in glioma (7). As another important member in the TME, immune checkpoint molecules are also involved in immunosuppressive mechanism. Immunotherapy of immune checkpoint blockade has become a promising treatment modality for cancers (8).

APOBEC3B, a member of APOBEC (apolipoprotein B mRNA editing enzyme, catalytic-polypeptide-like) enzymes with cytidine deaminase activity (9), can induce prevalent mutagen of genomic DNA in multiple cancers. APOBEC3B has been found to be upregulated in various cancer types with poor prognosis (10–12), and is also considered as a mediator regulating the growth, the metastatic outgrowths, and the emerging therapeutic resistance of cancer cells (13). High expression of APOBEC3B is associated with immune evasion of cancer (14). Notably, high expression of APOBEC3B also enhances the sensitivity to immune checkpoint blockade in melanoma (15). However, the relationship between TME and the APOBEC3B expression in gliomas remains largely unknown.

Therefore, we integrated and analyzed the RNA-sequencing data of glioma patients from The Cancer Genome Atlas (TCGA) and Chinese Glioma Genome Atlas (CGGA) databases to reveal the immune features and clinical characteristics of APOBEC3B in gliomas.

## METHODS

### Data Collection

This study was ethically approved by Xiangya Hospital, Central South University. Archived paraffin embedded glioma tissues (WHO grades II–IV) were collected from patients ( $n = 58$ ) who underwent surgery in the Department of Neurosurgery, Xiangya Hospital, Central South University. We collected transcriptomic data of LGG and GBM samples from the TCGA and CGGA datasets, and RNA seq was used for the analysis. RNA-seq data

about specific tumor anatomic structure in GBM was downloaded from Ivy Glioblastoma Atlas Project (<http://glioblastoma.alleninstitute.org/>). APOBEC3B expression data in distinct radiographical areas of normal brain and GBM was downloaded from the Gill dataset.

### Immunohistochemistry

Tissues of different grades of human gliomas (WHO grades II–IV) were formalin-fixed and paraffin-embedded to obtain sections (4 mm). Sections were then boiled in sodium citrate buffer (pH 6.0) for antigen retrieval, 3%  $H_2O_2$  was used for blockage of endogenous HRP activity. Slides were blocked with 10% normal goat serum and incubated with primary antibody (rabbit polyclonal anti-APOBEC3B antibody, 1:50; Proteintech; Wuhan, China) at 4°C overnight. Signal was visualized with horse radish peroxidase conjugated secondary antibody and 3, 3'-diaminobenzidine (DAB) as the substrate. Slides were counterstained with hematoxylin, and representative images were obtained using an Olympus inverted microscope. H-score of glioma samples was subsequently calculated.

### Bioinformatic Analysis

We acquired the chromosome localization of APOBEC3B on the GeneCards database (<https://www.genecards.org/>). APOBEC3B gene structure was analyzed on the Ensembl database (<http://asia.ensembl.org/>), with its protein structure analyzed in the Uniprot database (<http://www.uniprot.org/>). APOBEC3B gene structure was then visualized by using Illustrator for biological sequences software (IBS, <http://ibs.biocuckoo.org/>). The protein sequence comparison among different species was analyzed by DNAMAN software (lynnonBiosoft, USA). Correlation analysis of APOBEC3B was performed using gene expression profiles from the TCGA and CGGA datasets with R language (<https://www.r-project.org/>). Somatic mutations and somatic copy number alternations (CNAs) of the cases with the corresponding RNA-seq data were downloaded from TCGA database. GSITIC analysis was adopted to determine the genomic event enrichment. CNAs associated with APOBEC3B expression and the threshold copy number (CN) at alteration peaks were from GSITIC 2.0 analysis (<https://gatkforums.broadinstitute.org>). GSITIC analysis was performed based on the first 25% and last 25% of samples. The gene sets variation analysis (GSVA) package was used to analyze the differential expression in GO terms of immune related process and immune cell lineages from TCGA and CGGA. As for somatic mutations, software VarScan2 was used to detect WES data of APOBEC3B<sup>high</sup> and APOBEC3B<sup>low</sup> groups.  $P < 0.05$  was set as the criteria for selecting differentially mutated genes, and Fisher's exact test was used to identify the differentially mutation pattern. CoMet algorithm was used to detect the co-occurrence and mutually exclusive mutations. R package maftools was used for the visualization of the somatic mutations. Correlation analysis was performed by the expression values of APOBEC3B and GO term, and the items with  $p < 0.05$  and high correlation coefficient were selected. After Spearman correlation analysis, Heatmap was used to construct gene ontology (GO) analysis of the most correlated genes. The relevant immune signaling pathways of high level of APOBEC3B expression from GO were analyzed by ClueGO (16). ClueGO: a Cytoscape

plug-in to decipher functionally grouped gene ontology and pathway annotation networks. ESTIMATE (Estimation of Stromal and Immune cells in Malignant Tumor tissues using Expression) algorithm was used to evaluate the infiltration of immune cells and the presence of stromal cells in tumor samples, which generated three results including immune score (reflecting the level of immune cells infiltrations in tumor tissue), stromal score (reflecting the presence of stroma in tumor tissue), and estimate score (reflecting tumor purity).

We analyzed the relationship between APOBEC3B expression and overall survival (OS) in adrenocortical carcinoma (ACC), cholangiocarcinoma (CHOL), esophageal carcinoma (ESCA), liver hepatocellular carcinoma (LIHC), lung adenocarcinoma (LUAD), pancreatic adenocarcinoma (PAAD), Uterine Corpus Endometrial Carcinoma (UCEC), Uterine Carcinosarcoma (UCS), and Kidney Chromophobe (KICH) cancer types based on the pan-cancer data in TCGA dataset. We also analyzed the correlation between APOBEC3B expression and the abundance of six immune infiltrating cell types, including activated CD4<sup>+</sup>T cell, central memory CD8<sup>+</sup>T cell, macrophage, Myeloid-derived suppressor cells (MDSCs), memory B cell and type 2 helper cell in pan-cancer from TCGA.

The weighted gene co-expression network analysis (WGCNA) package in R was used to perform WGCNA. The expression profile of 2,559 APOBEC3B related genes (correlation efficient >0.4) was applied as the input of WGCNA. The association between individual genes and APOBEC3B density was quantified by gene significance, and the correlation between module eigengenes and gene expression profiles was represented by module membership. A power of  $\beta = 3$  and a scale-free  $R^2 = 0.87$  were set as soft-threshold parameters to ensure a scale-free topology network. A total of eight modules were generated, and yellow module showed the highest correlation ( $r = 0.96$ ,  $p = 1.6e-112$ ). Genes within the yellow module were chosen for further GO and KEGG enrichment analysis.

## Statistical Analysis

Spearman correlation analysis was used to evaluate the correlations between continuous variables. The survival probability was described by Kaplan–Meier survival curves. Patients were stratified according to the median value of APOBEC3B or the cutpoint value automatically calculated. The Student t-test was used to determine the expression levels of APOBEC3B with regard to pathological characteristics. The linear relationship between gene expression levels was evaluated by the Pearson correlation. All statistical analyses were performed using R project (version 3.6.1, <https://www.r-project.org/>). P-values <0.05 were considered to be statistically significant. And all tests were two-sided.

## RESULTS

### The Expression Level of APOBEC3B Is Increased in Aggressive Glioma and Other Cancers

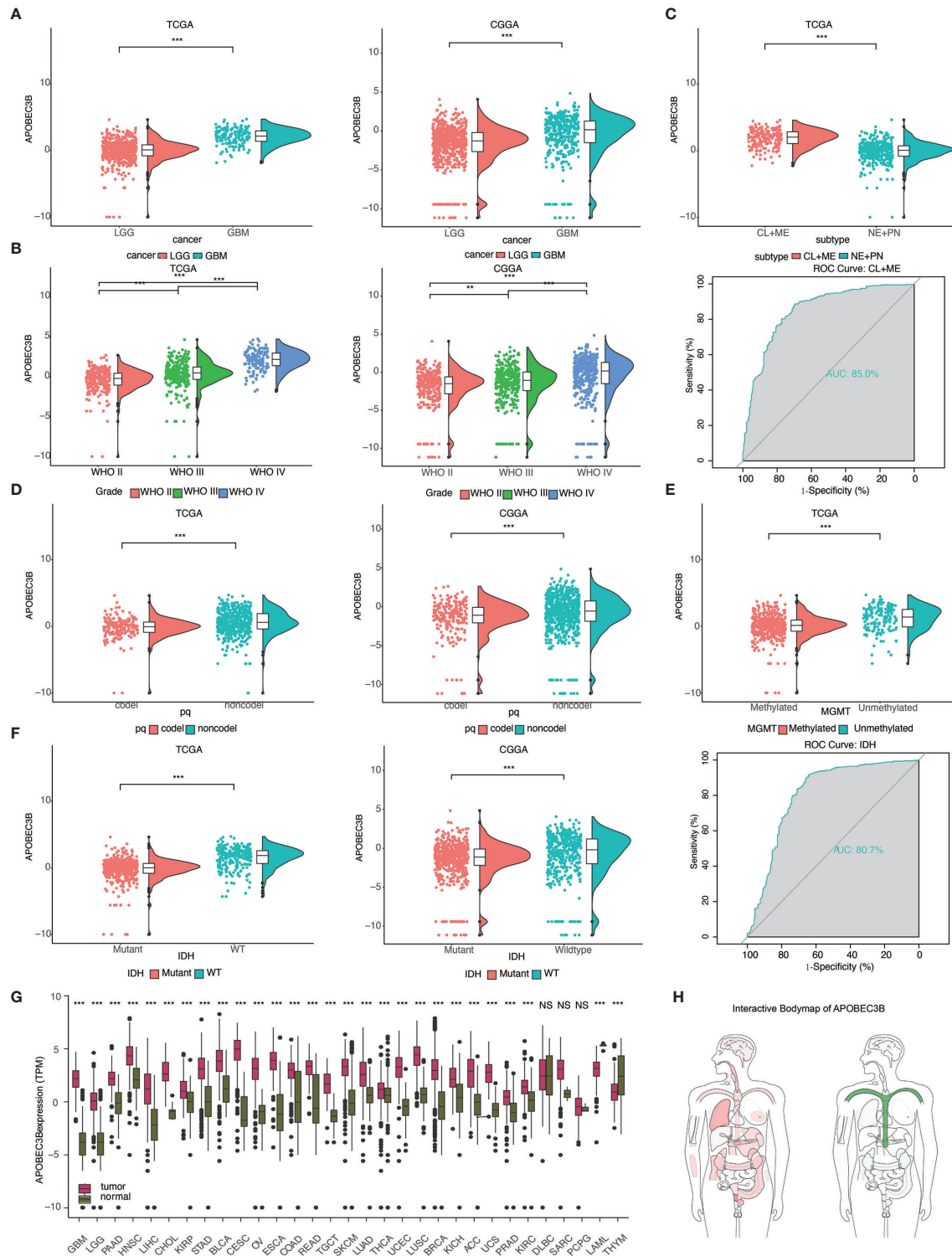
The mRNA expression levels of APOBEC3B were measured using data from publicly accessed databases including over 1,600

gliomas samples: TCGA,  $n = 672$ ; CGGA,  $n = 1013$ . We found that APOBEC3B was upregulated in GBM compared to LGG ( $P < .05$ , respectively; **Figure 1A**). The expression level of APOBEC3B was also increased in order of grade II, grade III, and grade IV (WHO classification) ( $P < .05$ , respectively; **Figure 1B**). Based on gene expression profiling, glioblastoma can be classified into four distinct molecular subtypes: classical (CL), mesenchymal (ME), proneural (PN) and neural (NE). Practically, the CL and ME types predict worse clinical prognosis. To figure out the relationship between APOBEC3B and molecular subtypes, we further investigated the expression level of APOBEC3B among subtypes: increased expression level of APOBEC3B was found in CL and ME compared to PN and NE ( $P < .05$ , respectively; **Figure 1C**). ROC further indicated that APOBEC3B expression level can distinguish CL and ME from GBM (area under curve (AUC) value = 0.85;  $P < 0.05$ ; **Figure 1C**).

We also examined the relationship between APOBEC3B and certain genomic alterations. Glioma patients with codeletion of 1p and 19q derived more benefits in several clinical trials (17). We observed that the expression of APOBEC3B was decreased in the 1p19q codeletion cluster in pan-glioma analysis ( $P < .05$ , respectively; **Figure 1D**). Better clinical outcome was accompanied by MGMT promoter methylated subtype (18) and IDH<sup>mut</sup> (19), similarly, down-regulated of APOBEC3B mRNA expression level was found in these two types compared to wild type patients (**Figures 1E, F**), and receiver operating characteristic (ROC) curve analysis indicated that the expression of APOBEC3B discriminated IDH mutation from non-IDH mutation in pan-glioma analysis (the area under the curve (AUC) value = 0.807;  $P < 0.05$ ; **Figure 1F**). Among nine methylation probes designed for APOBEC3B from TCGA, all of them exhibited remarkable negative association with expression of APOBEC3B, which most of the association was statistically significant (**Figure S1**).

Furthermore, we analyzed various clinically related characteristics of APOBEC3B in gliomas. Pathologically, APOBEC3B has been found to be most adequately expressed in microvascular proliferation (MVP) (**Figure S2A**). In copy number (CN) analysis, glioma with APOBEC3B CN loss expressed higher level of APOBEC3B mRNA (**Figure S2B**). Radiographically, APOBEC3B was upregulated in contrast enhancing area compared with non-contrast enhancing and normal brain area (**Figure S2C**). Moreover, the expression pattern of APOBEC3B with regard to the histology of gliomas was shown in **Figure S2D**. We also examined APOBEC3B level in primary, recurrent, and secondary patients respectively; statistics revealed that APOBEC3B expression was higher in recurrent patients than in primary patients (**Figure S2E**). And in patients with different treatment outcomes, the expression of APOBEC3B was significantly higher in progressive patients than in patients who were in complete remission (**Figure S2F**).

APOBEC3B mRNA expression levels were analyzed in pan-cancer (**Figure 1G**) and interactive body map of APOBEC3B (**Figure 1H**). The results elucidated that besides LGG and GBM, expression of APOBEC3B was higher in multiple cancers



**FIGURE 1** | The expression level of APOBEC3B is increased in aggressive glioma and other cancers. Analysis of APOBEC3B mRNA levels in **(A)** LGG and GBM **(B)** WHO grade II–IV gliomas **(D)** 1p19q codeletion and non-codeletion from TCGA and CGGA datasets. **(C)** APOBEC3B expression in distinct subclasses (upper), ROC curve indicating sensitivity and specificity of APOBEC3B expression as a discriminative biomarker for CL+ME subtypes and GBM (lower). **(E)** APOBEC3B expression in MGMT methylated and unmethylated. **(F)** Analysis of APOBEC3B level in IDH mutant and wildtype from TCGA and CGGA. ROC curve indicates the sensitivity and specificity of APOBEC3B expression as a diagnostic biomarker for discriminate IDH mutation from non-IDH mutation. **(G)** APOBEC3B mRNA expression levels in pan-cancer. **(H)** The median expression of tumor (red) and normal (green) samples in bodymap. NS, Not Statistically Significant; \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$ .



including PAAD, Head and Neck Squamous Cell Carcinoma (HNSC), LIHC, CHOL, kidney renal papillary cell carcinoma (KIRP), stomach adenocarcinoma (STAD), Bladder Urothelial Carcinoma (BLCA), cervical squamous cell carcinoma and endocervical adenocarcinoma (CESC), ovarian cancer (OV), ESCA, colon adenocarcinoma (COAD), rectal adenocarcinoma (READ), Testicular Germ Cell Tumors (TGCT), Skin Cutaneous Melanoma (SKCM), LUAD, Thyroid Carcinoma (THCA), UCEC, lung squamous cell carcinoma (LUSC), Breast Invasive Carcinoma (BRCA), KICH, ACC, UCS, Prostate Adenocarcinoma (PRAD), kidney renal clear cell carcinoma (KIRC) than adjacent normal tissues, respectively, while lower in Thymoma (THYM).

### APOBEC3B Expression Is Elevated in Aggressive Glioma and Related to Poor Prognosis

APOBEC3B was located at 22q13.1 (Figure 2A), and the protein structure of APOBEC3B consisted of CMP/dCMP-type deaminase 1 and CMP/dCMP-type deaminase 2 (Figure 2B). In order to study the conservation of APOBEC3B among distinct species, we compared protein sequences encoded by APOBEC3B among seven different species (Figure 2C). Statistics showed that Homo sapiens APOBEC3B shared 75.71, 54.19, 78.73, 73.81, 44.76 and 74.52% identity to PANTR, pig, rat, whale, bovine, and dolphin, respectively. It presented that APOBEC3B was highly conserved in most kinds of mammals, but varied significantly between human and bovine. To confirm that APOBEC3B expression was also upregulated at the protein level, we performed IHC staining of APOBEC3B based on an independent cohort consisting of different pathological grades of glioma samples ( $n = 58$ ) from our institution. APOBEC3B was located in the nucleus and cytoplasm, and increase in order of WHO classification (Figure 2D). The quantification of IHC staining was shown in Figures 2E, F, in which there was an increase of APOBEC3B expression as tumor grade increased. We further investigated the prognostic value of APOBEC3B in glioma based on 27 clinical samples with survival information, and patients with higher expression of APOBEC3B are more likely to have shorter overall survival (Figure 2G).

### Higher APOBEC3B Expression Is Related to Poor Survival in Glioma and Multiple Cancers

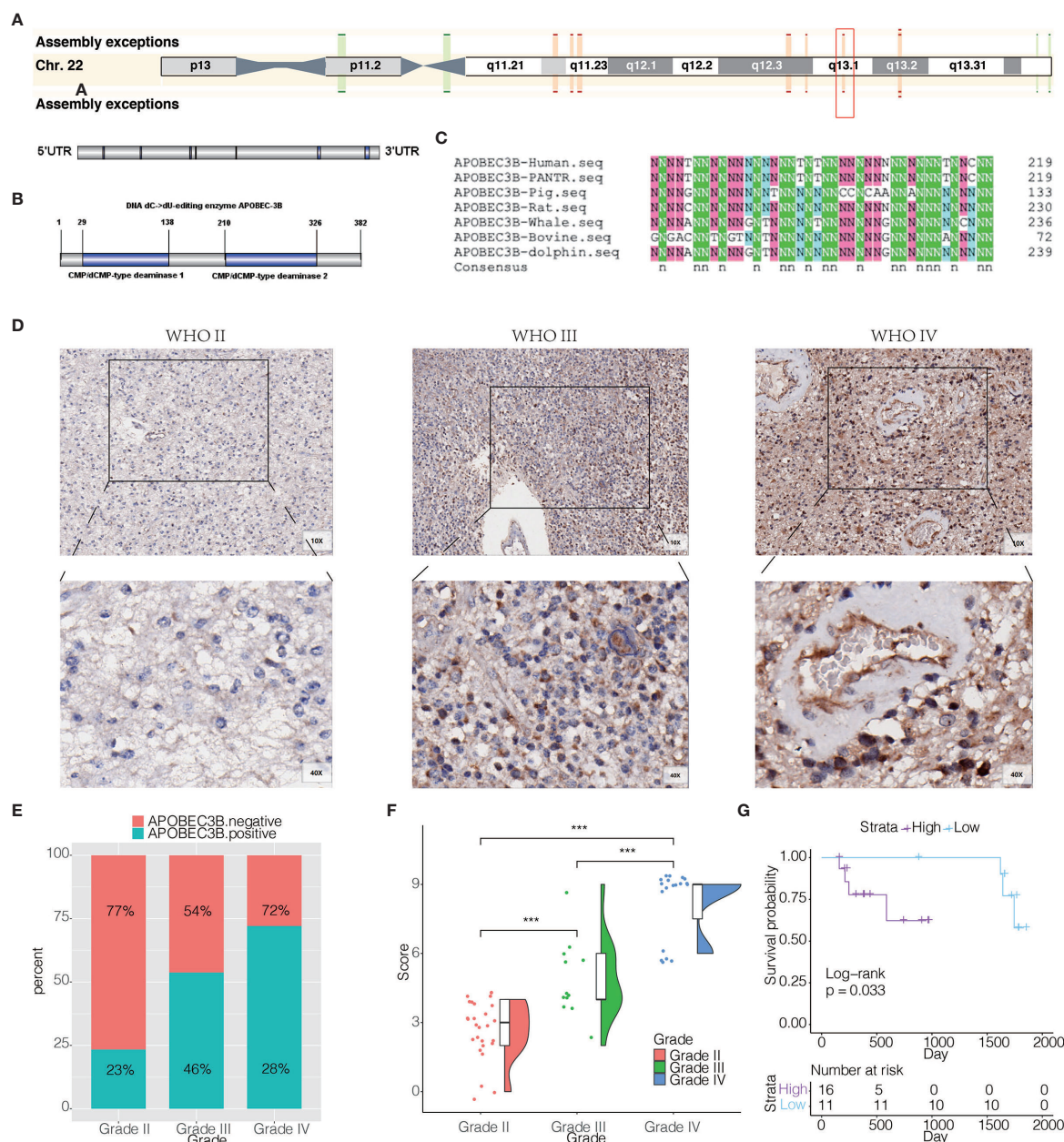
We used Kaplan–Meier analysis to subsequently explore the prognostic value of APOBEC3B in both TCGA and CGGA datasets. We revealed that APOBEC3B<sup>high</sup> patients showed shorter overall survival (OS) than APOBEC3B<sup>low</sup> patients in pan-glioma, LGG, and GBM ( $P < .05$ , respectively; Figures 3A, B). Thus, APOBEC3B might be a latent marker for prognosis in glioma patients. We further investigated the prognostic value of APOBEC3B for pan-cancer, in which patients were divided into high and low APOBEC3B groups. High APOBEC3B expression was significantly correlated to worse prognosis in nine cancer types, including ACC, CHOL, ESCA, LIHC, LUAD, PAAD, UCEC, UCS, and KICH ( $P < .0001$ , respectively; Figure 3C).

### APOBEC3B Expression Is Related to Genomic Alterations in Glioma

Genomic alterations can be easily found in glioma. Thus, we performed copy number variation (CNV) and somatic mutation analysis to examine whether there is a link between APOBEC3B expression levels and specific genomic alterations in glioma. An overall CNV profile comparison of APOBEC3B<sup>high</sup> ( $n = 158$ ) and APOBEC3B<sup>low</sup> ( $n = 158$ ) cluster was carried out. Besides the variation of chr1 and chr19, amplification of chr7 and deletion of chr10 most frequently occurred in glioma patients (Figure 4A). As a genomic symbol of oligodendroglioma, deletion of 1p and 19q tended to appear in APOBEC3B<sup>low</sup> cluster (Figure 4B). Using GSITIC analysis, we found distinct genomic alterations in different clusters (Figures 4B, C). In APOBEC3B<sup>low</sup> patients, PD-1 (2q37.3), CLPTM1L (5p15.33), CDKN2A (9p21.3), SAA1 (11p15.5) were frequently deleted, while HAS2 (8q24.13), NDRG1 (8q24.22), FGF23 (12p13.32) and CDK4 (12q14.1) were most frequently amplified. In APOBEC3B<sup>high</sup> group, CDKN2A (9p21.3), PARK7 (1p36.23) and PTEN (10q23.31) were most frequently deleted, at the same time, EGFR (7p11.2) and CDK4 (12q14.1) were most commonly amplified genes. Based on the level of APOBEC3B, somatic mutation profiles were analyzed. In low APOBEC3B group, IDH-1 (77%), TP53 (44%), ATRX (29%), and CIC (11%) are altered in high frequency, while TP53 (33%), EGFR (28%), TTN (26%), and PTEN (23%) were more frequently mutated in high APOBEC3B group (Figures 4D, E). Taken together, our results demonstrated that APOBEC3B expression level was pertinent to chromosomal alterations in glioma.

### Comparisons of Somatic Mutations Among Different Immune Infiltration Levels

We further used the R package maftools to analyze somatic mutations including the single-nucleotide variant (SNV), single-nucleotide polymorphism (SNP), insertion (INS), and deletion (DEL) under different expression levels of APOBEC3B, based on the WES data from TCGA portal in which the mutations had been called by VarScan2. As shown in Figure 5A, most genomic variants were nonsense mutation, missense mutation, and silent in the APOBEC3B<sup>high</sup> and APOBEC3B<sup>low</sup> groups. As for SNVs, the mutation numbers of T>A, C>T, C>G, and C>A in APOBEC3B<sup>high</sup> cohort were significantly higher than those in APOBEC3B<sup>low</sup> cohort (Figure 5B). Furthermore, SNPs in the APOBEC3B<sup>low</sup> cohort were outnumbered by those in the APOBEC3B<sup>high</sup> cohort; however, INS and DEL in two cohorts showed no significant difference (Figure 5C). Moreover, the mutation frequencies of some genes differed from these two groups, and the top 10 mutated genes were exhibited in Figure 5D. Common carcinogenic pathways were found to be more active in APOBEC3B<sup>high</sup> group (Figures 5E, G). The strongest co-occurrent pairs of gene alteration in the APOBEC3B<sup>high</sup> group were ATRX-TP53, and in the APOBEC3B<sup>low</sup> groups were ATRX-TP53 as well as ATRX-IDH1, which was in line with previous studies (19–21). Meanwhile, the most mutually exclusive pairs in APOBEC3B<sup>high</sup> and APOBEC3B<sup>low</sup> groups were CIC-TP53 and EGFR-IDH1, respectively (Figures 5F, H).



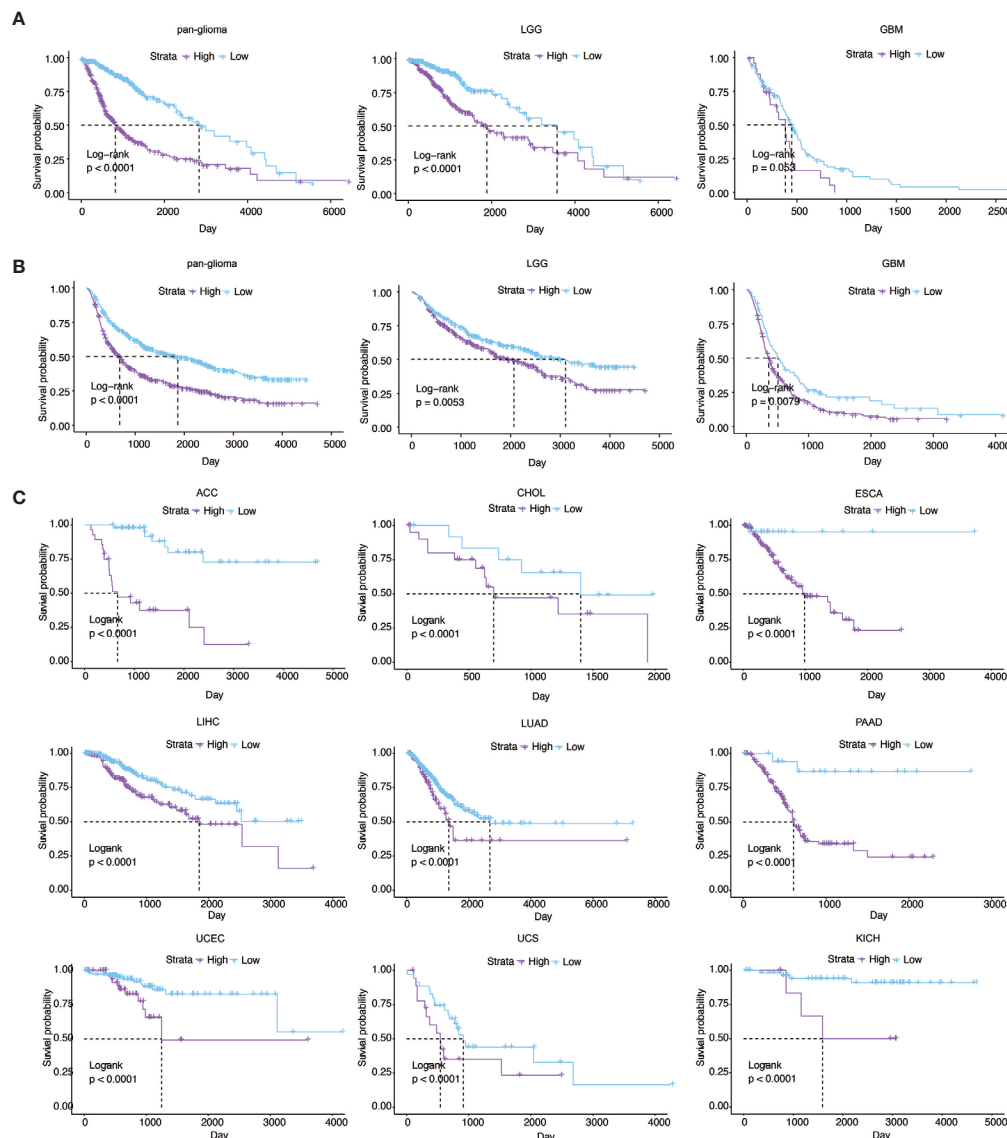
**FIGURE 2 |** APOBEC3B expression is elevated in aggressive glioma and related to poor prognosis. **(A)** Chromosome localization and gene structure of APOBEC3B in human. **(B)** Structure of APOBEC3B. **(C)** Comparison of protein sequences encoded by APOBEC3B among seven different species. **(D)** Representative images of IHC staining for APOBEC3B in different pathological grades of gliomas [WHO II (27), WHO III (12), WHO IV (19)]. **(E)** Quantification of APOBEC3B IHC staining regarding the positive rate. **(F)** Quantification of APOBEC3B IHC staining regarding the H-score. **(G)** Overall survival based on high vs low expression of APOBEC3B in glioma patients ( $n = 27$ ). The patients were stratified according to the H score of APOBEC3B in IHC staining. The H score has the range of 0–12. High group was defined as expression intensity  $\geq 6$ . Low group was defined as expression intensity  $< 6$ . NS, Not Statistically Significant; \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$ .

## Genes Positively Related to APOBEC3B Are Enriched in Immune and Inflammatory Related Pathways

We using GO analysis and KEGG pathway analysis to further investigate the potential function of APOBEC3B in the

development of human glioma. Our results revealed that several immune and inflammatory related pathways were involved in APOBEC3B-mediated immune microenvironment. GO results revealed APOBEC3B was significantly correlated with type 1 interferon, MHC-I and cytokine-mediated signaling





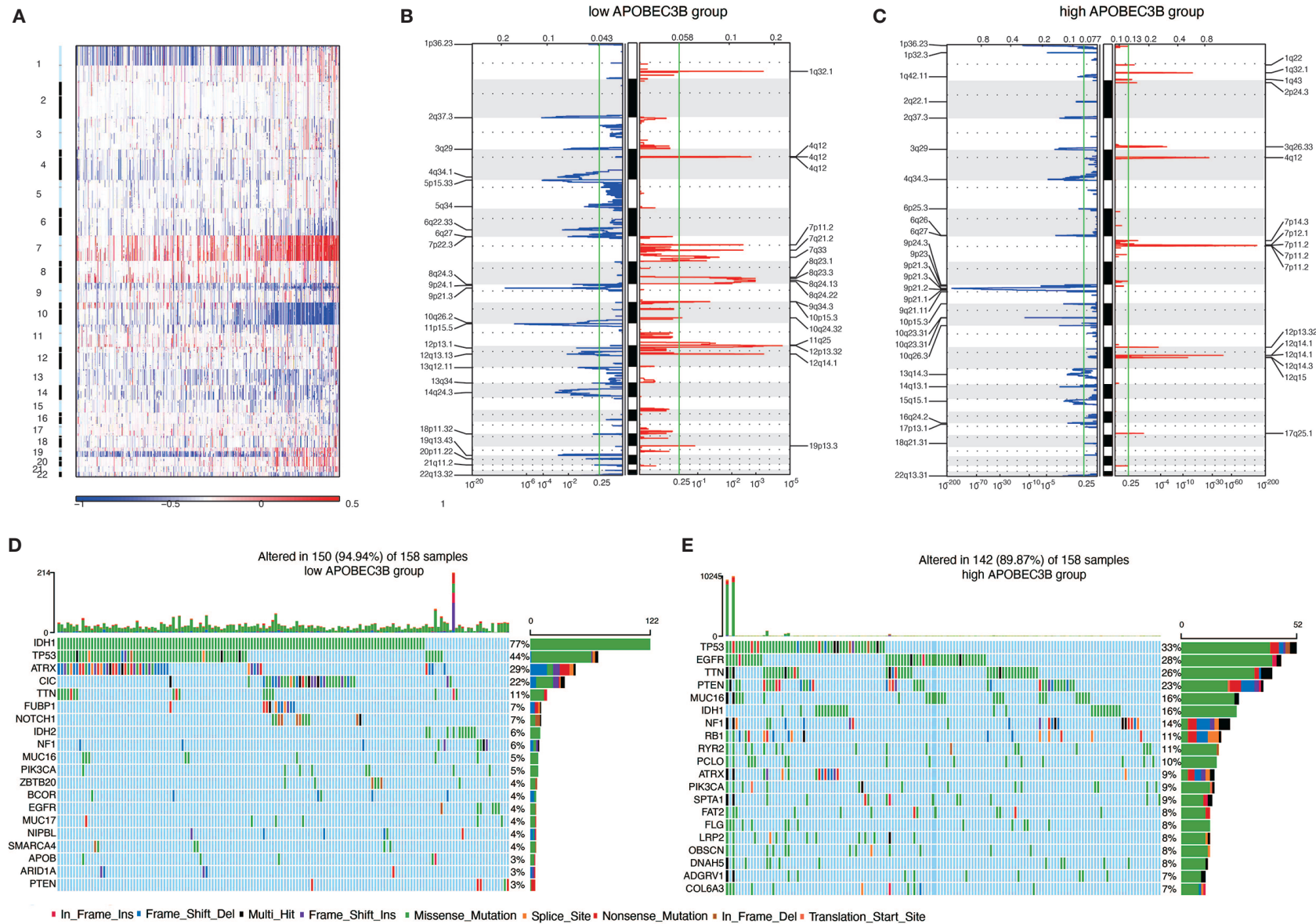
**FIGURE 3 |** Higher APOBEC3B expression is related to poor survival in glioma and multiple cancers. Kaplan-Meier analysis of overall survival (OS) based on high vs low expression of APOBEC3B in pan-glioma analysis, LGG, and GBM patients in **(A)** TCGA and **(B)** CGGA datasets. GBM patients were stratified according to the cutpoint value automatically calculated in TCGA, and the cutpoint value was 3.1278. Kaplan-Meier analysis of overall survival (OS) based on high vs low expression of APOBEC3B in **(C)** ACC, CHOL, ESCA, LIHC, LUAD, PAAD, UCEC, UCS and KICH.

pathway, negatively regulates differentiation of T cell, positively regulates regulatory T cell and macrophage as well as fibroblast proliferation in LGG (**Figures 6A, C**) and pan-glioma analysis (**Figures S3A, C**) from TCGA and CGGA. The signaling network from KEGG pathway analysis further elucidates the relevance between APOBEC3B and immune and inflammation related pathways including antigen processing and presentation, p53, JAK-STAT and T, B cell receptor signaling pathway in LGG patients (**Figures 6B, D**) and pan-glioma analysis (**Figures S3B, D**). As shown in **Figure 6E**, the result of GO pathway analysis revealed that APOBEC3B was significantly related to immune infiltrating, such as monocyte chemotaxis, neutrophil chemotaxis, lymphocyte

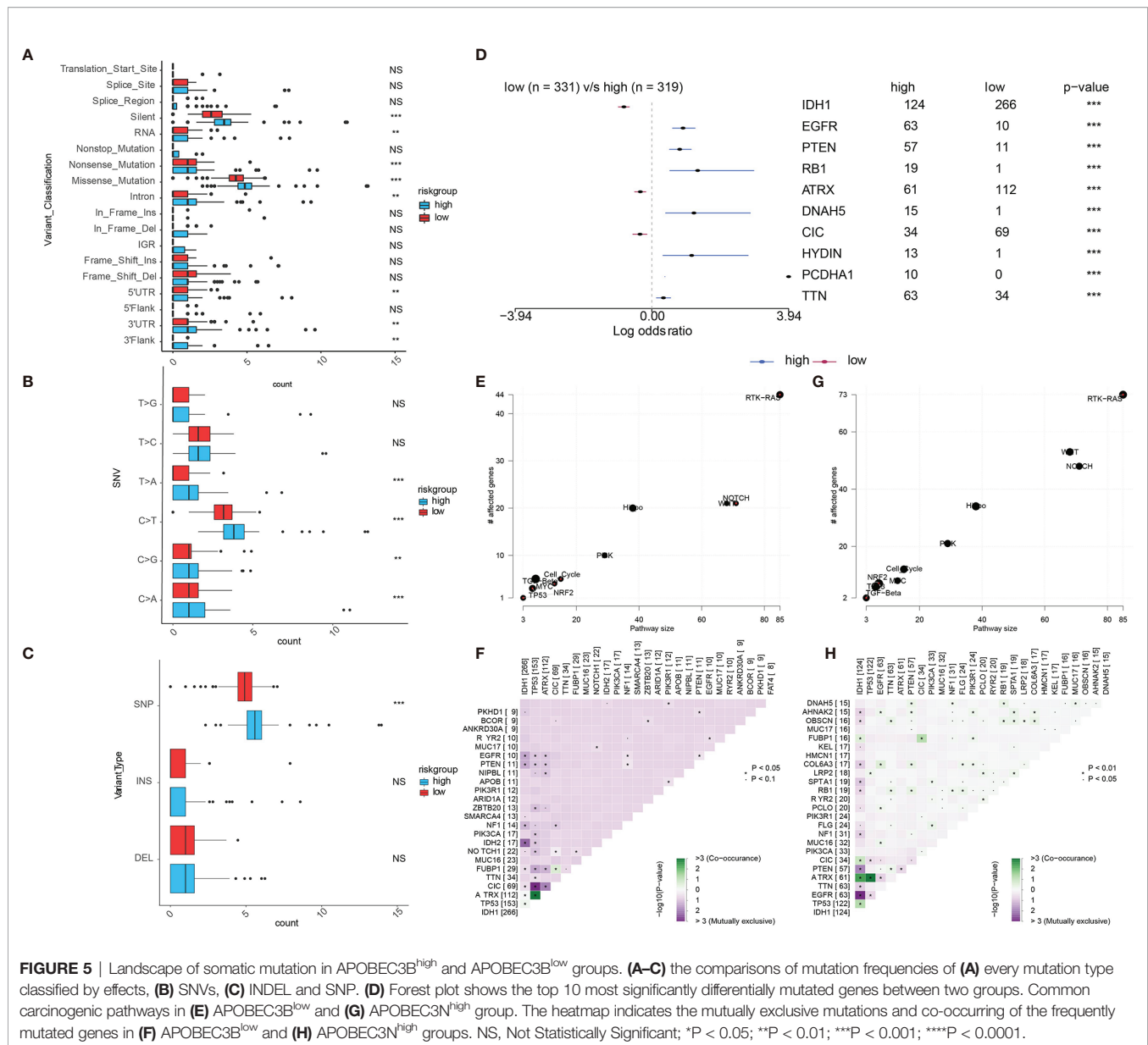
chemotaxis, and lymphocyte mediated pathways. These data suggest APOBEC3B might play an immunosuppression role in the TME of glioma.

## APOBEC3B Is Correlated With Inflammatory Activities in Gliomas

A positive feedback loop of APOBEC3B and inflammatory response mediator IL-6 has been found in hepatocellular carcinoma through the JAK1/STAT3 pathway (22). Meanwhile, based on our analysis, APOBEC3B was also involved in inflammatory responses in glioma. We further observed that APOBEC3B was positively correlated with MHC-1, MHC-2, STAT1, IFN, LCK, and HCK metagenes, but



**FIGURE 4 |** APOBEC3B expression is related to genomic alterations in glioma. **(A)** Overall CNV profile according to high vs low APOBEC3B expression. Blue (deletion); Red (amplification). Frequency of specific changes based on **(B)** APOBEC3B<sup>low</sup> and **(C)** APOBEC3B<sup>high</sup> groups. The X-axis represents the frequency of chromosomal deletion (blue) or amplification (red). Spectrum of somatic mutations in gliomas from **(D)** APOBEC3B<sup>low</sup> and **(E)** APOBEC3B<sup>high</sup> groups.



negatively related to IgG metagene, a marker for B cells in LGG patients (Figures S4A, B) and pan-glioma analysis (Figures S4C, D) in TCGA and CGGA datasets.

## APOBEC3B Is Related to Immune and Stromal Cell Infiltration in Gliomas

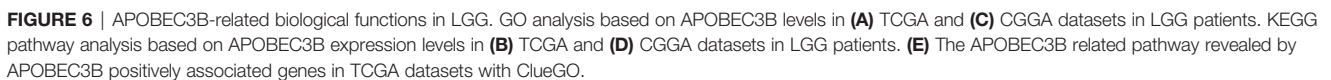
We further explore the relevance of APOBEC3B expression and ESTIMATE scores. Our results illuminated that APOBEC3B expression was positively related to the immune score, stromal score, and estimate score in the pan-glioma analysis (Figure S5A) and LGG (Figure S5B) respectively. Immune suppression is a significant feature of human gliomas which partly ascribes to TME components. To further understand in-depth the relevance between elevated APOBEC3B and immune tumor microenvironment, we examined which immune-related cell types are influenced by

APOBEC3B in glioma. Using cell type enrichment analysis, we observed that APOBEC3B was strongly positively correlated with activated CD4<sup>+</sup> T cell,  $\gamma\delta$ T cell, NK cells, dendritic cells and myeloid-derived suppressor cells in LGG patients (Figures 7A, B) and pan-glioma analysis (Figures S6A, B). Moreover, specific stromal cell types like fibroblasts, epithelial cells, and monocyte are related to glioma in LGG patients (Figures S5C, D) and pan-glioma analysis as well (Figures S6C, D). Taken together, our results suggested that increased APOBEC3B tend to recruit immune and stromal cells into the tumor microenvironment in glioma.

## Correlation Between APOBEC3B and Immune Cells in Pan-Cancer

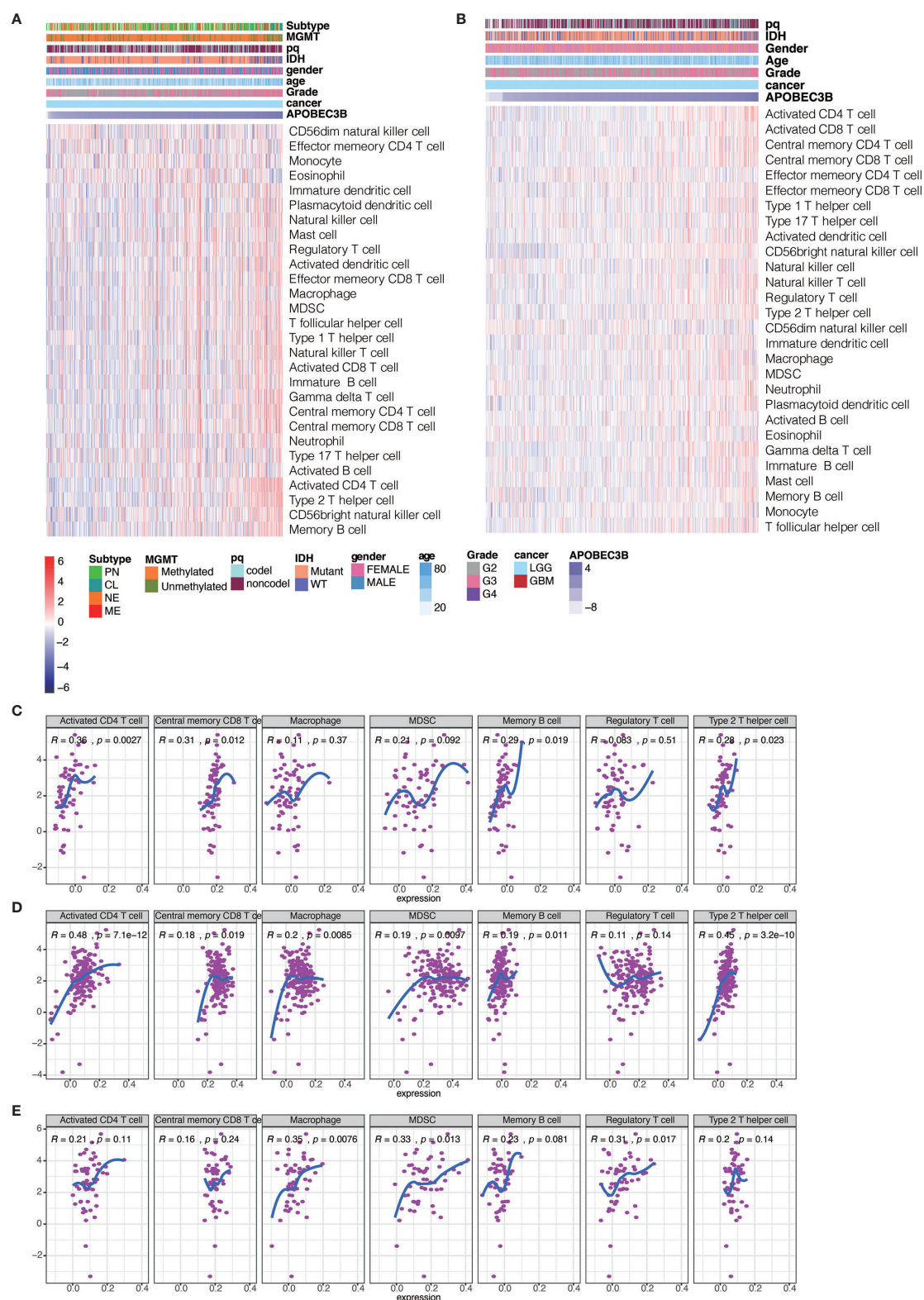
To further understand the relationships between APOBEC3B and infiltrating immune cells in TME, we analyzed the





### APOBEC3B Is Correlated With Other Immune Checkpoint Molecules in Gliomas

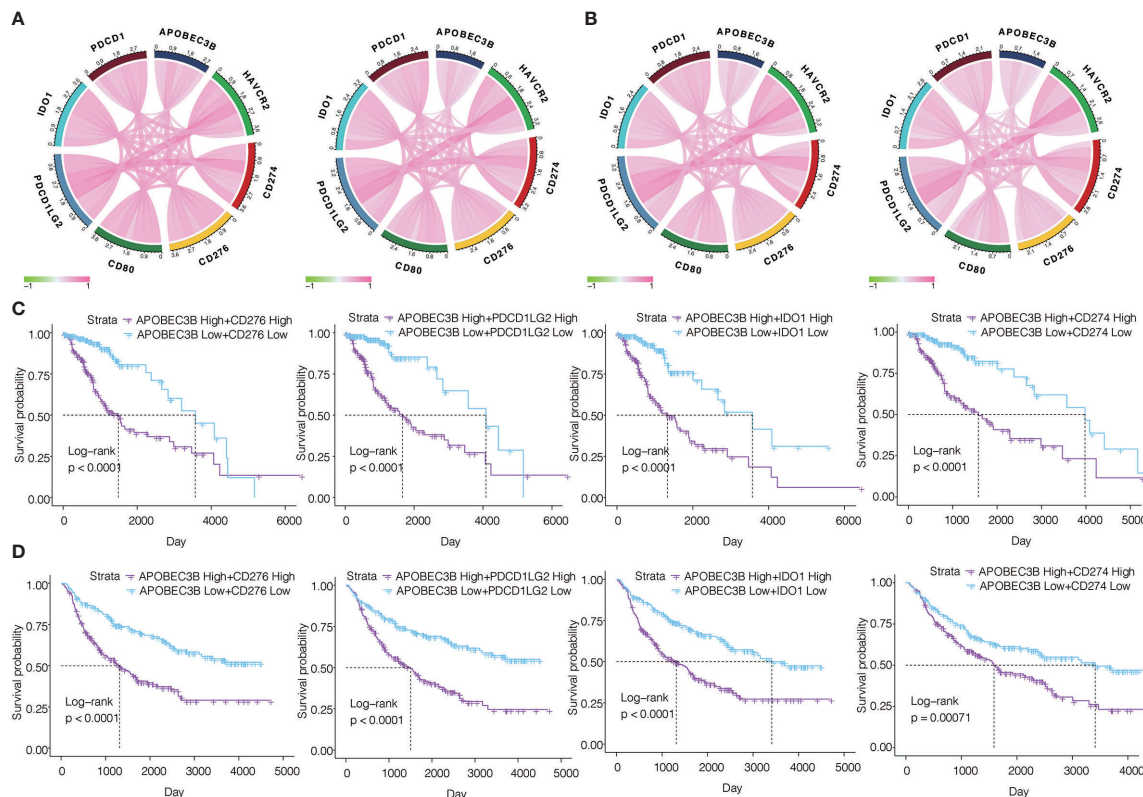
Regarded as a prospective immunotherapy, immune checkpoint inhibitors take an important role in the regulation of immune response in cancers. We investigated the relationship between APOBEC3B and several immune checkpoint genes in gliomas. We found APOBEC3B was associated with CD276(B7-H3), PDCD1LG2(PD-L2), IDO1, CD274(PD-L1), HAVCR2(TIM-3), and CD80(B7-1) in pan-glioma analysis (**Figure 8A**) and LGG (**Figure 8B**) in TCGA and CGGA datasets. We further analyzed the prognostic value of APOBEC3B in combination with CD276, PDCD1LG2, IDO1, and CD274. Significant worse



**FIGURE 7 |** Heatmaps illustrating the relationship between APOBEC3B and immune cell populations based on **(A)** TCGA and **(B)** CGGA in LGG patients.

Correlation of APOBEC3B expression with immune infiltration cells including activated CD4+T cells, central memory CD8+T cells, macrophages, MDSC, memory B cell, regulatory T cells and Th2 cells in **(C)** KICH, **(D)** PAAD, **(E)** UCS.





**FIGURE 8 |** Correlation of APOBEC3B expression with other immune checkpoint molecules in gliomas. Correlation analyses of APOBEC3B and other immune checkpoints in (A) pan-glioma analysis and (B) LGG patients from TCGA (left) and CGGA (right) datasets. Analyzing combined prognostic value of APOBEC3B and CD276, PDCD1LG2, IDO1 and CD274 expression in LGG patients from (C) TCGA and (D) CGGA datasets.

prognosis was observed in the patient group with the co-upregulation of APOBEC3B and these genes in LGG patients from TCGA and CGGA databases (Figures 8C, D).

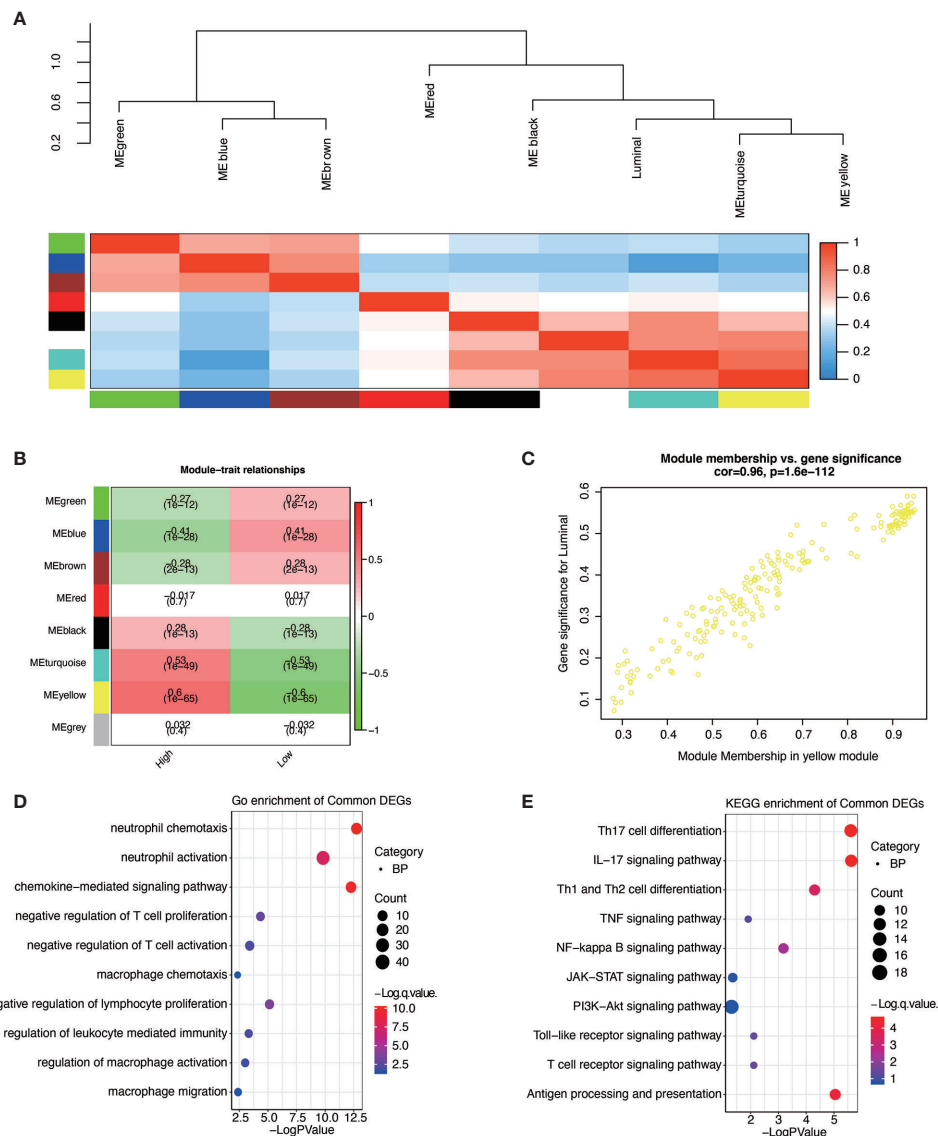
## Identification of a Gene Signature Associated With Immune Cells

WGCNAs were applied to determine the genes most correlated with high expression of APOBEC3B. Genes were clustered into eight modules (Figure 9A), and the correlation between the eight modules and the expression level of APOBEC3B was shown in Figure 9B. The yellow module showed the highest correlation coefficient with high APOBEC3B expression level. A significant correlation between module membership in the yellow module and gene significance for APOBEC3B<sup>high</sup> was observed (Figure 9C). Then, GO enrichment analysis revealed that the neutrophil activation, neutrophil chemotaxis, and chemokine-mediated signaling pathway were the most related gene functions associated with the high expression of APOBEC3B (Figure 9D). And KEGG enrichment analysis revealed that Th17 cell differentiation, IL-17 signaling pathway, antigen processing and presentation, Th1 and Th2 cell differentiation as well as NF-kappa B signaling pathway were the most related pathways involved in high expression of APOBEC3B (Figure 9E).

## DISCUSSION

Somatic mutations are responsible for the transformation from normal cells to cancer cells. Generally, somatic mutation has been considered as a therapy evasion promoter of cancer. Correspondingly, mutation can also promote antitumor T-cell response. As the only member of the deaminase family with constitutive nuclear localization, APOBEC3B, the endogenous mutagenic factor, can induce genomic C-to-U lesions that are correlated with a variety of mutagenic outcomes (23). Therefore, we are interested in the characteristics of APOBEC3B in the development of glioma.

To the best of our knowledge, studies about expression and prognostic value of APOBEC3B have been conducted in several cancer types. For example, highly expressed APOBEC3B is regarded as an unfavorable prognostic factors in myeloma (11), ovarian cancer (24), and clear cell renal cell carcinoma (25). Immune-oncology has become a hot area for tumor therapy nowadays. However, the immune-related and mutation-related role of APOBEC3B in cancer metastasis has not been thoroughly investigated. Most recently, the duality of APOBEC3B in immunotherapy has been demonstrated, in which APOBEC3B not only acts as the general driving force of therapy escape but



**FIGURE 9** | Higher expression of APOBEC3B related gene signature identification. **(A)** WGCNA was applied to identify the clustered eigengene modules. **(B)** Seven modules are identified by WGCNA. **(C)** The yellow module has the highest correlation ( $r = 0.96$ ,  $P = 1.6e-112$ ). **(D)** Go analysis was performed based on APOBEC3B<sup>high</sup> related genes. **(E)** KEGG analysis was performed based on APOBEC3B<sup>high</sup> related genes.

also significantly activates the immune system in melanoma (15). As important parts of TME, inflammatory cells and infiltrating immune cells are closely related to curative effect. Thus, understanding the TME can help to unveil the mechanisms of tumor development and shed light on tumor therapy. Previous study has proved that APOBEC3B is related to an active immune infiltration in high-grade serous ovarian carcinoma (26). But the activation of tumor-infiltrating immune cells also mediates APOBEC3B deletion in breast cancer in Asian patients (27).

In the current study, we characterized the landscape of APOBEC3B among glioma and other cancers *via* a large-scale bioinformatic analysis. We observed that APOBEC3B expression was upregulated in numerous cancer categories. In gliomas, the

increasing expression level of APOBEC3B is consistent with the increasing grade of gliomas based on WHO classification. Presumable worse prognosis was observed in glioma patients with higher expression of APOBEC3B, and the result was further verified in ACC, CHOL, ESCA, LIHC, LUAD, PAAD, UCEC, UCS, and KICH in our study. Meanwhile, APOBEC3B was closely related to oncogenic mutation in our study, indicating its role in carcinogenesis. Thus, APOBEC3B has prognostic value in pan-cancer.

In GO and KEGG analysis, another major finding of our study was that elevated APOBEC3B was significantly accompanied by inflammatory, stromal and immune related signaling pathways in LGG, among which fibroblast

proliferation, negative regulation of T cell, positive regulation of Tregs and cytokines productions were most significant. Furthermore, upregulated APOBEC3B was significantly associated with immune cells and stromal cells' infiltration in glioma based on ESTIMATE algorithm. Several immune infiltrating cell types possess the features of immunosuppression: It is well documented that MDSC is able to inhibit innate and adaptive immunity (28), and macrophages have been indicated to promote cancer cell proliferation, immunosuppression, and angiogenesis in cancers (29). Treg not only can suppress the activation and expansion of different effector cells from mediating autoimmunity, but also can negatively affect immune therapies concerning immune checkpoints inhibitors (30, 31). Moreover, Th2 responses are generally considered undesirable since they mitigate against cytotoxic antitumor immune mechanisms in glioma (31). Cell type enrichment analysis further revealed that APOBEC3B was significantly correlated with MDSC, macrophage, regulatory T cells, and Th2 cells in glioma, KICH, PAAD, and UCS, providing evidence to the statement that APOBEC3B was an immunotherapy escape driver in LGG. Taken together, we proposed that APOBEC3B may be involved in the regulation of immunosuppressive microenvironment by recruiting immunosuppression cells and might become a selective target to inhibit immunosuppression.

The efficient treatment option for glioma is limited. Diverse cancer immunotherapeutic approaches have exhibited significant and exciting treatment outcomes for several cancer types, and have also triggered unparalleled research interest in glioma. Nowadays, glioma immunotherapy research predominantly focuses on immunosuppressive ICBs, CAR-T cells, vaccine, and oncolytic viruses (7). Although blood brain barrier and immunosuppressive TME in glioma patients suppress the efficiency of ICB treatment, ICBs do have revolutionized the treatment of solid malignant tumor. Our analysis illuminated that APOBEC3B was correlated with immune checkpoints including CD276, PDCD1LG2, IDO1, CD274, and TIM-3 in LGG and pan-glioma analysis. These immune checkpoints are promising immunotherapeutic targets for glioma, which CD276, PDCD1LG2, IDO1 and TIM-3 are both unfavorable prognosticator for glioma patients (32–35). In our current study, the co-upregulation of APOBEC3B and CD276, PDCD1LG2, IDO1, and CD274 suggested worse survival probability. CD276 has become a novel Cart-T target for GBM (36) while inhibition of PD-1/PD-L1 pathway can be a latent treatment strategy for glioma (37). Other promising immune checkpoint molecules like 4-1BB, GITR, and TIGIT are further being considered to enter early phase clinical trials (38). Immune checkpoints also take part in immunosuppression: upregulating PD-L1 can bind receptors on immune cells and suppress lymphocyte activation (39, 40). The correlation between APOBEC3B and these classic immune checkpoint molecules indicates that targeting APOBEC3B may become a potential approach for mediating immunotherapeutic response in LGG patients.

WGCNA is well applied to classify the high-throughput sequencing data into subsets of genes with cell-specific expression; therefore, we applied WGCNA to identify the APOBEC3B<sup>high</sup> related genes. In our study, the yellow module was the most correlated one; further GO analysis revealed that neutrophil activities and chemokine-mediated signaling pathway were the most represented activities related to higher expression of APOBEC3B. Moreover, negative regulation of T cell activities further demonstrated that higher expression of APOBEC3B was correlated with activated inflammation and immunosuppression. In the KEGG analysis, IL-17 signaling pathway was the most relevant activity that occurred in patients with higher level of APOBEC3B. IL-17 is a cytokine produced by Th17 cell, suggesting the crucial role of Th17 cell in glioma pathogenesis.

In summary, our results revealed that APOBEC3B overexpression was related to aggressive clinicopathologic features, poor prognosis, inflammatory and immune pathways in glioma. These findings may be helpful in further optimizing diagnosis and immune treatments for LGG.

## DATA AVAILABILITY STATEMENT

All data used in this work can be acquired from the Cancer Genome Atlas (TCGA) datasets (<https://xenabrowser.net/>), the Chinese Glioma Genome Atlas (CGGA) datasets (<http://www.cgga.org.cn/>).

## AUTHOR CONTRIBUTIONS

HZ and QC conceptualized and designed the study. QC and ZL provided foundation support. HZ and ZC acquired and analyzed the data. HZ and ZC interpreted the data. HZ, ZC, ZW, ZD, ZH, XZ and QC drafted the manuscript and revised it for submission quality. All authors contributed to the article and approved the submitted version. QC supervised the study.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2021.625838/full#supplementary-material>

**Supplementary Figure 1 |** Relationship between APOBEC3B and methylation statuses. **(A)**. Relationship between APOBEC3B and the mean value of methylation status at promoter region in TCGA. Relationship between APOBEC3B and methylation status at promoter region in TCGA:

**(B)** cg01089751, **(C)** cg06837067, **(D)** cg11816043, **(E)** cg14194956, **(F)** cg14387414, **(G)** cg16045423, **(H)** cg21707131, **(I)** cg25787886, **(J)** cg26000393. The orange dots represent IDH-mutant samples, and cyan dots represent IDH wild-type samples, respectively. The orange line and cyan line represent linear regression between APOBEC3B expression and promoter region methylation in IDH-mutant samples and IDH wild-type samples, respectively.

**Supplementary Figure 2 |** Relationship between APOBEC3B and **(A)** anatomic structure analysis. CT (Cellular Tumour), HBV (Hyperplastic Blood Vessels), IT (Infiltrating Tumour), LE (Leading Edge), MVP (Microvascular Proliferation), PAN (Pseudopalisading Cells Around Necrosis) and PNZ (Perinecrotic Zone).

**(B)** APOBEC3B copy number in TCGA pan-glioma. **(C)** distinct radiographical regions of glioma. **(D)** different histology analysis from CGGA database. **(E)** different disease conditions including primary, recurrent and secondary from CGGA database. **(F)** different treatment outcomes.

**Supplementary Figure 3 |** APOBEC3B-related biological functions in gliomas.

GO analysis based on APOBEC3B levels in **(A)** TCGA and **(C)** CGGA datasets in pan-glioma analysis. KEGG pathway analysis based on APOBEC3B expression levels in **(B)** TCGA and **(D)** CGGA datasets in pan-glioma analysis.

**Supplementary Figure 4 |** Heatmaps illuminating APOBEC3B related inflammatory activities in LGG and pan-glioma. Analysis between APOBEC3B and inflammatory metagenes in LGG from **(A)** TCGA and **(B)** CGGA datasets and pan-glioma analysis from **(C)** TCGA and **(D)** CGGA.

**Supplementary Figure 5 |** Correlation between APOBEC3B expression and ESTIMATE algorithm scores in gliomas. APOBEC3B expression was positively correlated with immune score, stromal score and ESTIMATE score in **(A)** pan-glioma analysis and **(B)** LGG patients. The relationship between APOBEC3B and stromal cell populations based on **(C)** TCGA and **(D)** CGGA in LGG patients.

**Supplementary Figure 6 |** Heatmaps illustrating the relationship between APOBEC3B and immune cell populations based on **(A)** TCGA and **(B)** CGGA in pan-glioma analysis. The relationship between APOBEC3B and stromal cell populations based on **(C)** TCGA and **(D)** CGGA in pan-glioma analysis.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Differential Predictors and Clinical Implications Associated With Long-Term Survivors in IDH Wildtype and Mutant Glioblastoma

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**Background:** Glioblastoma (GBM) is the most aggressive intracranial tumor which can be divided into two subtypes based on status of isocitrate dehydrogenase (IDH). A small fraction of patients after receiving standard treatment can be long-term survivors (LTS). This study was designed to disclose the predictors and clinical implications associated with LTS in IDH wildtype and mutant GBM.

**Methods:** Patients who survived beyond five years after diagnosis of GBM were defined as LTS, while those with a survival less than one year were defined as short-term survivors (STS). A total of 211 patients with diagnosis of GBM in Beijing Tiantan Hospital from January 2007 to January 2015 were enrolled, including 44 (20.9%) LTS and 167 (79.1%) STS. The clinical, radiological and molecular features between groups were systematically compared.

**Results:** Compared with STS, LTS were a subgroup of patients with a younger age at diagnosis ( $P=0.006$ ), a higher KPS score ( $P=0.011$ ), higher rates of cystic change ( $P=0.037$ ), O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) promoter methylation ( $P=0.007$ ), and IDH mutation ( $P=0.049$ ), and more likely to have undergone gross total resection ( $P<0.001$ ). Survival analysis demonstrated that LTS with wildtype IDH conferred a longer progression-free survival (66.0 vs. 27.0 months,  $P=0.04$ ), but a shorter post-progression survival (46.5 months vs. not reached,  $P=0.0001$ ) than those of LTS with mutant IDH. LTS with mutant IDH showed a trend towards increased survival after receiving re-operation ( $P=0.155$ ) and reirradiation ( $P=0.127$ ), while this clinical benefit disappeared in the subset of LTS with wildtype IDH ( $P>0.05$ ).

**Conclusion:** The prognostic value and therapeutic implications associated with LTS in GBM population significantly differed on the basis of IDH status. Our findings provide a new approach for physicians to better understand the two subtypes of GBM, which may assist in making more tailored treatment decisions for patients.

**Keywords:** glioblastoma, long-term survivor, IDH, precision medicine, treatment

## INTRODUCTION

Glioblastoma (GBM) is one of the worldwide intractable malignancies in adults (1). Despite advances in the therapeutic regimens during past few decades, the clinical outcomes of patients with GBM have not been substantially improved (2–4). It is reported that most of patients will progress within one year after resection, and the median survival is less than two years (4). Although the survival rate of GBM remains unfavorable, there are still a few patients who demonstrate an extraordinary response to treatment with a prolonged progression-free survival (PFS); some patients even survive more than five years (5–7). But unfortunately, the intrinsic characteristics of these long-term survivors (LTS) are still unclear (5).

Previous studies have established that isocitrate dehydrogenase (IDH) mutation was a strong predictor associated with long-term survival of patients with GBM (8, 9), which has led the neuropathologists to reclassify GBM into two molecular subtypes: IDH-wildtype (IDH-wt) GBM and IDH-mutant (IDH-mut) GBM (10). Traditionally, IDH-mut GBM is regarded as a secondary malignancy that had transformed from a low-grade diffuse glioma (11). Meanwhile, IDH-wt GBM, which represents the major component (>90%) of the whole GBM cohort, is clinically defined as primary GBM (10). There are some studies that have explored the predictors for becoming a long-term survivor within the GBM population (5, 6, 8, 9). But up to now, no studies have compared the characteristics and clinical implications associated with LTS between IDH-wt and IDH-mut GBM.

Therefore, in the present study, we systematically compared the clinical, radiological and molecular characteristics between LTS and short-term survivors (STS) in the cohort of GBM. Furthermore, the intrinsic characteristics and clinical implications correlated with LTS between IDH-wt and IDH-mut GBM have also been explored. We found that the clinical, radiological, and molecular features of IDH-wt and IDH-mut LTS were significantly different and the two subtypes of LTS showed distinct PFS and post-progression survival (PPS), which can help the physicians to better understand GBM and may contribute to making more tailored treatment decisions for patients.

## MATERIALS AND METHODS

### Patient Cohort

Forty-four patients who survived beyond five years after diagnosis of GBM (LTS) and 167 patients who survived less than one years after diagnosis of GBM (STS) between January 2007 and January 2015 in Beijing Tiantan Hospital affiliated to Capital Medical University were selected for inclusion in this study. Pathology review was performed by two experienced neuropathologists according to the 2016 World Health Organization (WHO) classification schema (10). All the patients, in our institution, once diagnosed with GBM are

recommended to proceed with post-operative combination radiation and chemotherapy. However, there are still a small number of patients who missed out on chemotherapy or radiation for personal reasons. Radiation was performed within one month after operation, with a total dose of 60 Gy which was further divided into 30 fractions. The adjuvant chemotherapy regimen was mainly nimostine (ACNU) and temozolomide (TMZ), according to the previously described protocol (12). When tumor progressed, re-operation and reirradiation were performed if possible. Rechallenge with chemotherapy which commonly consisted of a combination of bevacizumab and temozolomide (TMZ) could be also attempted if patients showed relatively normal laboratory tests and maintained a reasonable performance status (ECOG: 0–2) (13).

### Radiological Evaluation

The radiological evaluation was performed by 3 experienced neuroradiologists who were blind to the clinical outcome of patients. Radiological features included tumor location, tumor size, enhancement, cystic change, and extent of resection. The calculation of tumor size was mainly based on the T1-weighted imaging (T1WI) contrast enhanced area. Enhancement was classified into three subtypes: solid, ring, irregular (**Figure 1**) (14). Solid subtype was relatively uniformly enhanced and concurrent with a well-circumscribed edge. Ring subtype was characterized by a ring-like enhancement with central necrosis or cyst. Irregular subtype had scattered enhancement which was irregularly shaped. A cystic tumor was defined as those with a large cyst cavity comprising at least half of the whole tumor and the cyst was filled with fluid that showed a radiographic appearance similar to cerebrospinal fluid on T2-weighted imaging (T2WI) (**Figure 1**) (15). Hence, tumors with fluid-filled cysts and those with large central necrosis were both regarded as cystic tumors in this study. Within 72 hours after operation, an enhanced magnetic resonance imaging (MRI) was carried out to assess the extent of resection (EOR). EOR was calculated on the basis of contrast-enhanced T1WI, according to the following equation: (preoperative tumor volume - postoperative tumor volume)/preoperative tumor volume.

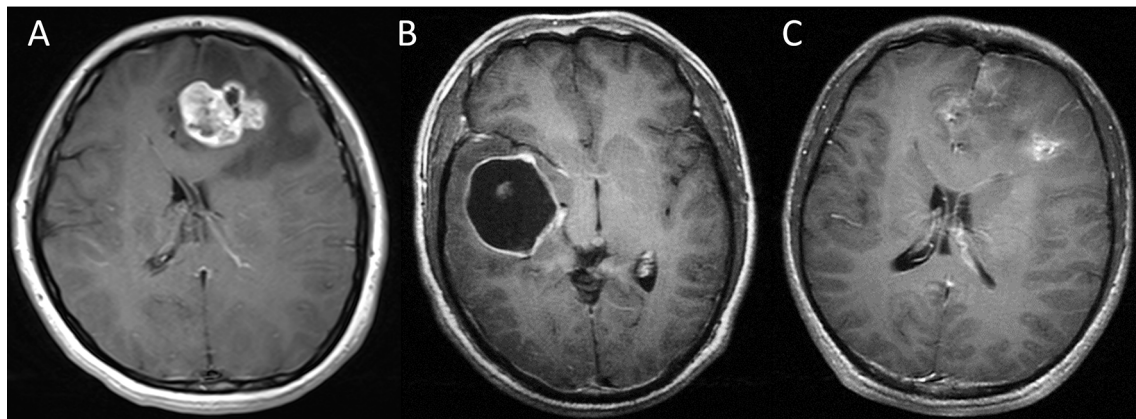
### Molecular Biomarkers Detection

Abnormality of chromosome 1p/19q, IDH mutation and O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) promoter methylation were respectively analyzed by fluorescence *in situ* hybridization (FISH) (16), Sanger sequencing (17) and pyrosequencing (18), according to previously described methods (**Figure 2**). Ki-67 index was detected by immunohistochemistry (IHC) staining which was done with a monoclonal mouse antibody (1:80 dilution, Dako) (**Figure 2**). The expression level of the Ki-67 index was defined as either high ( $\geq 30\%$ ) or low ( $< 30\%$ ) for interpretation, according to the percentage of IHC-positive cells (19).

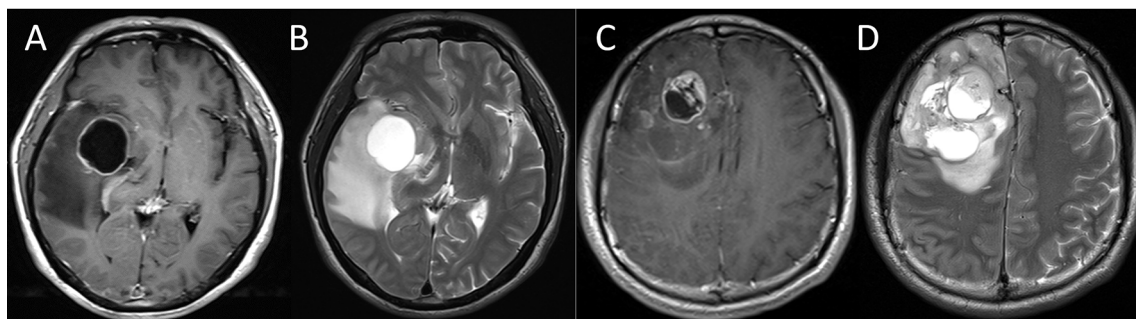
### Follow-Up

After operation, patients were regularly followed up with brain MRI scans until death. MRIs were performed at an interval of three months, or more frequent in the event of clinical changes,

## I: enhancement



## II: cystic



**FIGURE 1** | Panel I: Representative images of solid (A), ring (B), and irregular (C) enhancement. Panel II: Typical images of cystic GBM. A tumor in the right insular lobe with fluid-filled cysts (A, B) and a tumor in the right frontal lobe with large central necrosis (C, D).

such as seizures or neurologic deterioration. Progression pattern was divided into local and non-local subtypes based on the preoperative and serial postoperative radiographic images (**Figure S1**). Local recurrence was those with lesion located in the resection cavity or in continuity with it, or less than 2 cm from the primary tumor margins, while the recurrence lesion border beyond 2 cm from the original cavity was defined as non-local failure (20). PFS was defined as the time period from the date of operation to the date of progression or recurrence demonstrated by MRI, death or last follow up. Overall survival (OS) was defined as the time period from the date of initial operation to the date of death or last follow-up. Timespan between the first progression and death/last follow-up was defined as post-progression survival (PPS). The median follow-up of this cohort was 71.5 (range: 1.0-130.0) months. There were 195 (92.4%) patients with progression and 176 (83.4%) patients had died by the time of data analysis.

### Statistical Analysis

All the analyses were performed with SPSS (version 22.0, Chicago, IL, USA) and R software (<http://www.r-project.org>, The R Foundation). Comparisons of categorical variables

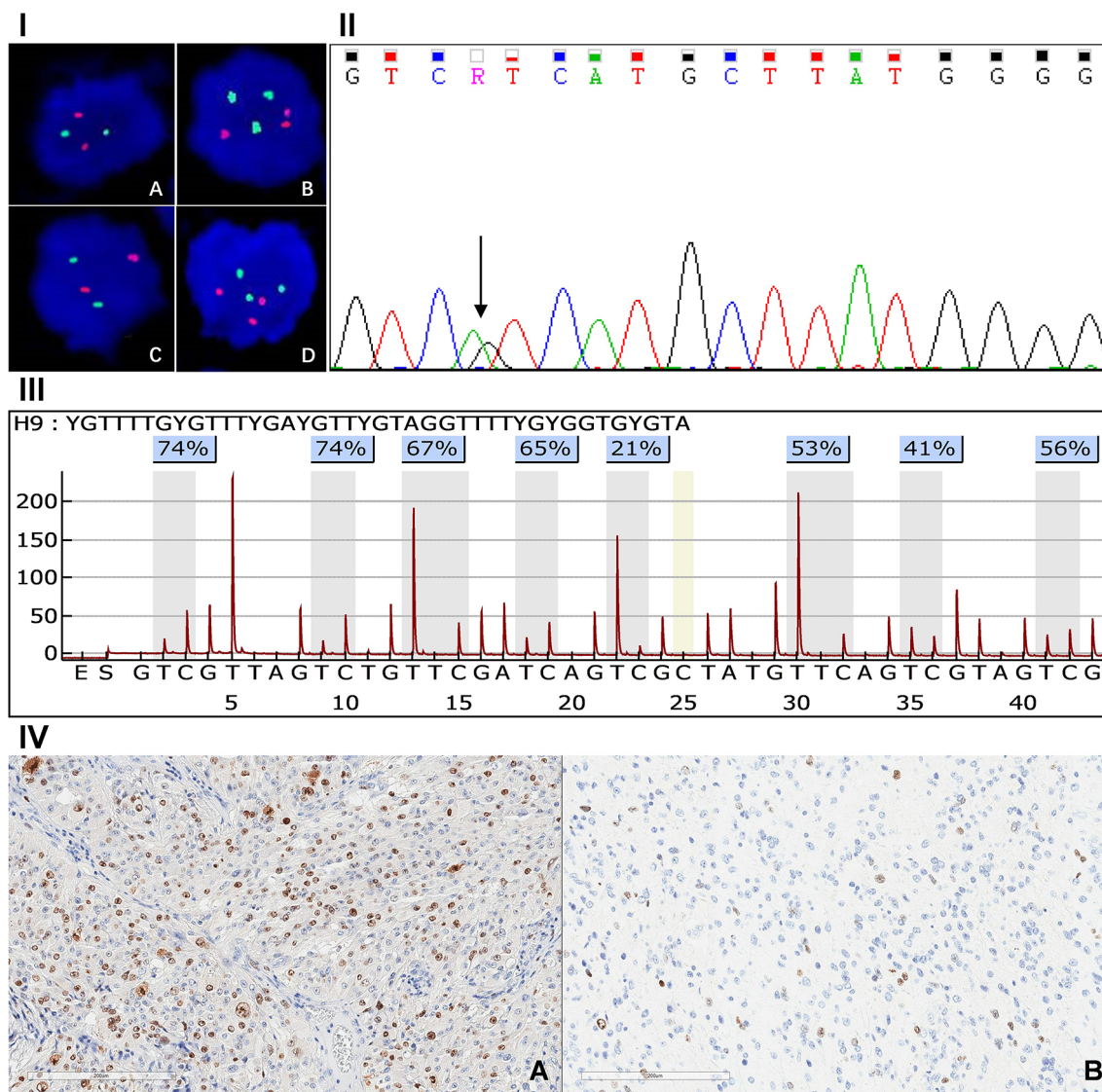
between the groups were performed using chi-square test or Fisher's exact test, while differences in age at diagnosis, tumor size and Karnofsky performance scale (KPS) score were evaluated by student t-test. The variables with P values less than 0.05 were entered into the multivariate logistic regression analysis to identify the independent predictors of LTS. Survival rates were calculated using the Kaplan-Meier methods, and differences were compared by log-rank tests. All tests were two-sided, and difference with a P value less than 0.05 was considered to be statistically significant.

## RESULTS

### Patient Population

We identified 211 patients, including 44 (20.9%) LTS and 167 (79.1%) STS. Of these 44 LTS, there were 17 (38.6%) patients with wildtype IDH and 27 (61.4%) patients with mutant IDH. Our cohort consisted of 126 males and 85 females with a mean age of  $49.0 \pm 11.8$  years. All the clinical, radiological, and molecular characteristics of patients were summarized in **Table 1**.





**FIGURE 2** | Panel I, FISH detection result of 1q/19p polysomy: 1p intact (A), 1q polysomy (B), 19q intact (C), 19p polysomy (D). Panel II: Sanger sequence of IDH1 mutation. Panel III: Assay of MGMT promoter methylation in GBM. Panel IV: Immunohistochemical staining of high (A) and low (B) Ki-67 index.

## Comparison of Baseline Characteristics Between STS and LTS

LTS patients were younger ( $41.2 \pm 11.1$  vs.  $49.9 \pm 11.3$ ,  $P < 0.001$ ), and had higher KPS score ( $82.2 \pm 8.3$  vs.  $76.2 \pm 14.9$ ,  $P = 0.002$ ) than the STS. But they shared a similar gender ratio and tumor size ( $P > 0.05$ ). There were more frontal tumors in LTS (59.1% vs. 35.9%,  $P = 0.039$ ), but no significant difference has been observed in the laterality ( $P = 0.138$ ). The enhancement features were also similar between STS and LTS ( $P = 0.139$ ), while LTS showed a higher frequency of cystic change (56.8% vs. 19.2%,  $P < 0.001$ ). With respect to the treatment information, LTS patients were more likely to have undergone gross-total resection (GTR) (86.4% vs. 31.7%,  $P < 0.001$ ), and to have received

chemotherapy (100.0% vs. 91.6%,  $P = 0.084$ ) and radiotherapy (100.0% vs. 86.2%,  $P = 0.005$ ), compared with the STS. The molecular profile of LTS patients was characterized by a higher rate of MGMT promoter methylation (70.6% vs. 34.9%,  $P < 0.001$ ), IDH mutation (61.4% vs. 13.2%,  $P < 0.001$ ), and 1q/19p co-polysomy (25.7% vs. 12.6%,  $P = 0.047$ ). The Ki-67 index was similar among groups ( $P = 0.510$ ) (Table 1).

On the basis of these predictors identified by univariate analyses, a multivariate logistic regression model was built. The final results showed that age  $< 50$  years (odds ratio [OR] = 1.081, 95% confidence interval [CI]: 1.022-1.141,  $P = 0.006$ ), KPS score  $\geq 70$  (OR = 22.354, 95% CI: 2.028-246.449,  $P = 0.011$ ), cystic change (OR = 3.791, 95% CI: 1.082-13.275,  $P = 0.037$ ), GTR (OR = 18.731, 95% CI: 4.636-75.690,  $P < 0.001$ ), MGMT promoter methylation

**TABLE 1 |** Comparisons of baseline characteristics between short- and long-term survivors.

Variables	All (n=211)	STS (n=167)	LTS (n=44)	P value
Age at diagnosis (years)	49.0 ± 11.8	49.9 ± 11.3	41.2 ± 11.1	<0.001
Gender				0.432
Male	126/211	102/167	24/44	
KPS score	80.0 ± 14.2	76.2 ± 14.9	82.2 ± 8.3	0.002
Tumor size (mm)	49.2 ± 18.9	49.5 ± 19.1	48.1 ± 18.3	0.652
Tumor location				0.039*
Frontal	86/211	60/167	26/44	
Temporal	56/211	45/167	11/44	
Parietal	35/211	32/167	3/44	
Occipital	18/211	16/167	2/44	
Others	16/211	14/167	2/44	
Laterality				0.138
Right	92/211	67/167	25/44	
Left	95/211	80/167	15/44	
Bilateral	24/211	20/167	4/44	
Enhancement				0.139
Solid	144/211	118/167	26/44	
Ring	38/211	30/167	8/44	
Irregular	29/211	19/167	10/44	
Cystic change				<0.001
Yes	57/211	32/167	25/44	
Extent of resection				<0.001
GTR	91/211	53/167	38/44	
Chemotherapy				0.084
Temozolomide	170/211	134/167	36/44	
Nimostine	27/211	19/167	8/44	
None	14/211	14/167	0/44	
Radiotherapy				0.005*
Yes	188/211	144/167	44/44	
Recurrence pattern				0.838
Local	156/195	134/167	22/28	
MGMT promotor				<0.001
Methylation	68/160	44/126	24/34	
IDH				<0.001
Mutation	55/211	28/167	27/44	
1q/19p co-polysomy				0.047
Yes	30/202	21/167	9/35	
Ki-67 index				0.510
High	70/193	59/158	11/35	

KPS, Karnofsky performance scale; GTR, gross-total resection; MGMT, O<sup>6</sup>-methylguanine-DNA-methyltransferase; IDH, isocitrate dehydrogenase.

\*by Fisher exact test.

(OR = 5.553, 95% CI: 1.591-19.379,  $P = 0.007$ ), and IDH mutation (OR = 4.321, 95% CI: 1.007-18.535,  $P = 0.049$ ) were confirmed as predictive factors for LTS (Table 2).

## Comparison of Baseline Characteristics Between IDH-Wt and IDH-Mut LTS

Compared with the IDH-wt LTS, IDH-mut LTS had a lower rate of solid enhancement (44.4% vs. 82.3%,  $P = 0.036$ ), but higher rates of cystic change (70.4% vs. 35.3%,  $P = 0.022$ ), local recurrence (95.0% vs. 37.5%,  $P = 0.003$ ), and 1q/19p co-polysomy (44.4% vs. 5.9%,  $P = 0.018$ ). The Ki-67 index of IDH-mut LTS was lower than that in the IDH-wt LTS, but the difference was not statistically significant (16.7% vs. 47.1%,  $P = 0.053$ ) (Table 3).

**TABLE 2 |** Results of multivariate logistic regression analysis.

Variables	Odds ratio (OR)	95% Confidence interval (CI)	P value
Age at diagnosis			
<50 years	1.081	1.022-1.141	0.006
KPS score			
≥70	22.354	2.028-246.449	0.011
Cystic change			
Yes	3.791	1.082-13.275	0.037
Extent of resection			
GTR	18.731	4.636-75.690	<0.001
MGMT promotor			
Methylation	5.553	1.591-19.379	0.007
IDH			
Mutation	4.321	1.007-18.535	0.049

KPS, Karnofsky performance scale; GTR, gross-total resection; MGMT, O<sup>6</sup>-methylguanine-DNA-methyltransferase; IDH, isocitrate dehydrogenase.

**TABLE 3 |** Comparisons of baseline characteristics between IDH-wt and IDH-mut long-term survivors.

Variables	IDH-wt (n=17)	IDH-mut (n=27)	P value
Age at diagnosis (years)	41.1 ± 12.3	41.3 ± 10.5	0.938
Gender			0.651
Male	10/17	14/27	
KPS score	80.0 ± 8.7	84.7 ± 7.4	0.115
Tumor size (mm)	52.4 ± 16.3	45.3 ± 19.2	0.213
Tumor location			0.351
Frontal	10/17	16/27	
Temporal	6/17	5/27	
Parietal	0/17	3/17	
Occipital	1/17	1/27	
Others	0/17	2/27	
Laterality			0.342
Right	8/17	17/27	
Left	8/17	7/27	
Bilateral	1/17	3/27	
Enhancement			0.036
Solid	14/17	12/27	
Ring	2/17	6/27	
Irregular	1/17	9/27	
Cystic change			0.022
Yes	6/17	19/27	
Extent of resection			0.380*
GTR	16/17	22/27	
Chemotherapy			0.125*
Temozolomide	16/17	20/27	
Nimostine	1/17	7/27	
Radiotherapy			NA
Yes	17/17	27/27	
Recurrence pattern			0.003*
Local	3/8	19/20	
MGMT promotor			1.0
Methylation	12/17	12/17	
1q/19p co-polysomy			0.018*
Yes	1/17	8/18	
Ki-67 index			0.053
High	8/17	3/18	

IDH, isocitrate dehydrogenase; KPS, Karnofsky performance scale; GTR, gross-total resection; MGMT, O<sup>6</sup>-methylguanine-DNA-methyltransferase; NA, not applicable.

\*by Fisher exact test.

## Differential Clinical Implications Between IDH-wt and IDH-Mut LTS

With respect to the prognostic implication of IDH in LTS patients, the survival rates between IDH-wt and IDH-mut subgroups in terms of PFS, OS, and PPS were compared. Results demonstrated that IDH-wt LTS shared a similar survival rate with IDH-mut LTS in regard to OS ( $P = 0.262$ ) (Figure 3A). But interestingly, the median PFS of IDH-wt LTS was unexpectedly longer than that of IDH-mut LTS (66.0 vs. 27.0 months,  $P = 0.040$ ). Conversely, the median PPS of IDH-wt LTS was significantly shorter than that of IDH-mut LTS (46.5 months vs. not reached,  $P = 0.0001$ ) (Figures 3B, C).

Considering the distinct survival distribution in PFS and PPS between IDH-wt and IDH-mut LTS, we explored the clinical implication of recurrence pattern in LTS patients. Of these 44 LTS, 22 patients (including 3 IDH-wt LTS and 19 IDH-mut LTS) experienced local recurrence at a median period of 18.5 (10.0–29.0) months and 6 patients (including 5 IDH-wt LTS and 1 IDH-mut LTS) experienced non-local recurrence at a median period of 45.0 (16.2–76.8) months, which imparted a significant difference ( $P = 0.043$ ) (Figure 4A). Moreover, the percent of death was also markedly different between patients with local and non-local recurrence ( $P = 0.006$ ) (Figure 4B).

Of the 28 patients who underwent tumor progression, 12 (42.9%) patients (including 4 IDH-wt LTS and 8 IDH-mut LTS)

received re-operation, 15 (53.6%) patients (including 5 IDH-wt LTS and 10 IDH-mut LTS) received reirradiation, and all (100.0%) patients (including 8 IDH-wt LTS and 20 IDH-mut LTS) received rechallenge with chemotherapy (Figure S2). Kaplan-Meier plots demonstrated that IDH-mut LTS showed a trend towards increased survival after receiving re-operation ( $P = 0.155$ ) and reirradiation ( $P = 0.127$ ), while this clinical benefit disappeared in the subset of IDH-wt ( $P > 0.05$ ) (Figure 5).

## DISCUSSION

GBM is the most aggressive intracranial malignancy, with rapid growth, inevitable recurrence and high mortality (4). Only a small fraction of patients can achieve a long-term survival after surgical resection and chemoradiotherapy. But the diagnostic threshold of LTS varies significantly in the pre-existing literatures, ranging from 2 years to 5 years (5, 9, 18, 21). As the median survival of patients with GBM is about 1 year and 5-years survival rate is regarded as a predictor of better tumor control, patients who survived beyond 5 years after diagnosis, in this study, were identified as LTS, while those with a survival less than 1 year were defined as STS (5). We systematically compared the clinical, radiological, and molecular features between STS and LTS. Although the characteristics of LTS in GBM have been

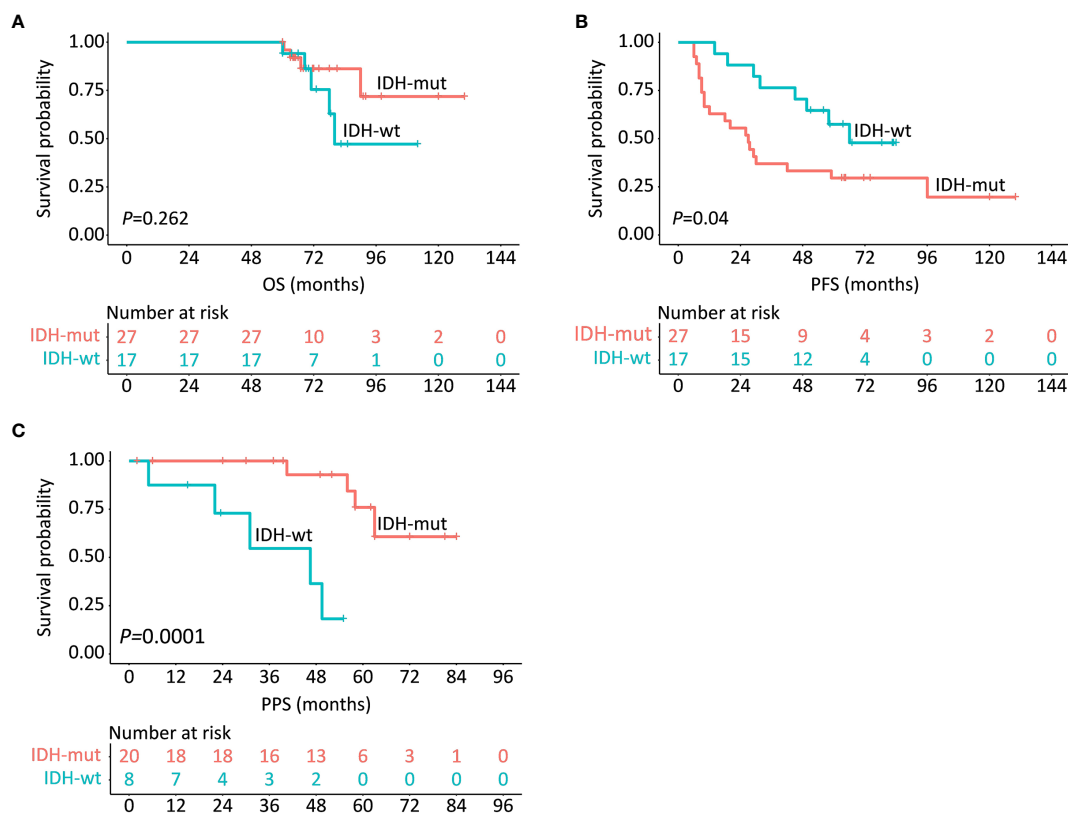
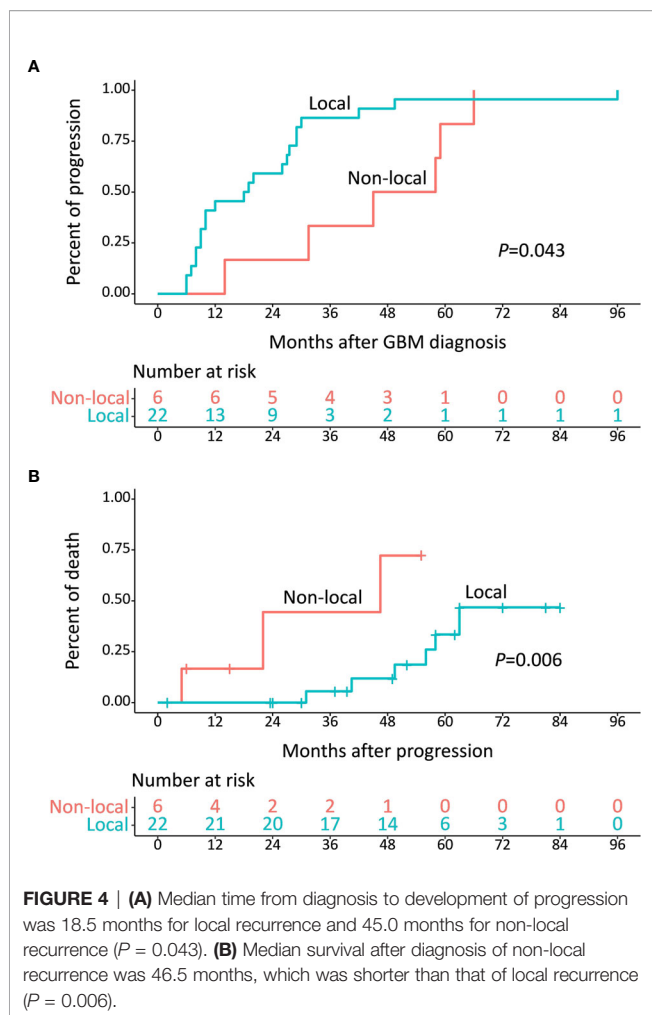


FIGURE 3 | Survival comparisons in regards to OS (A), PFS (B) and PPS (C) between IDH-wt and IDH-mut LTS.



widely investigated (5, 6, 8, 9, 18, 21, 22), there is no study devoted to exploring the intrinsic properties of IDH-wt and IDH-mut LTS. To our knowledge, it's the first study that was aimed to disclose the differential predictors and clinical implications between IDH-wt and IDH-mut LTS. Our results demonstrated that IDH-mut LTS had a lower rate of solid enhancement, but higher rates of cystic change, 1q/19p co-polysomy, and local recurrence. IDH-mut LTS showed a shorter PFS, but a significantly prolonged PPS than those of IDH-wt LTS.

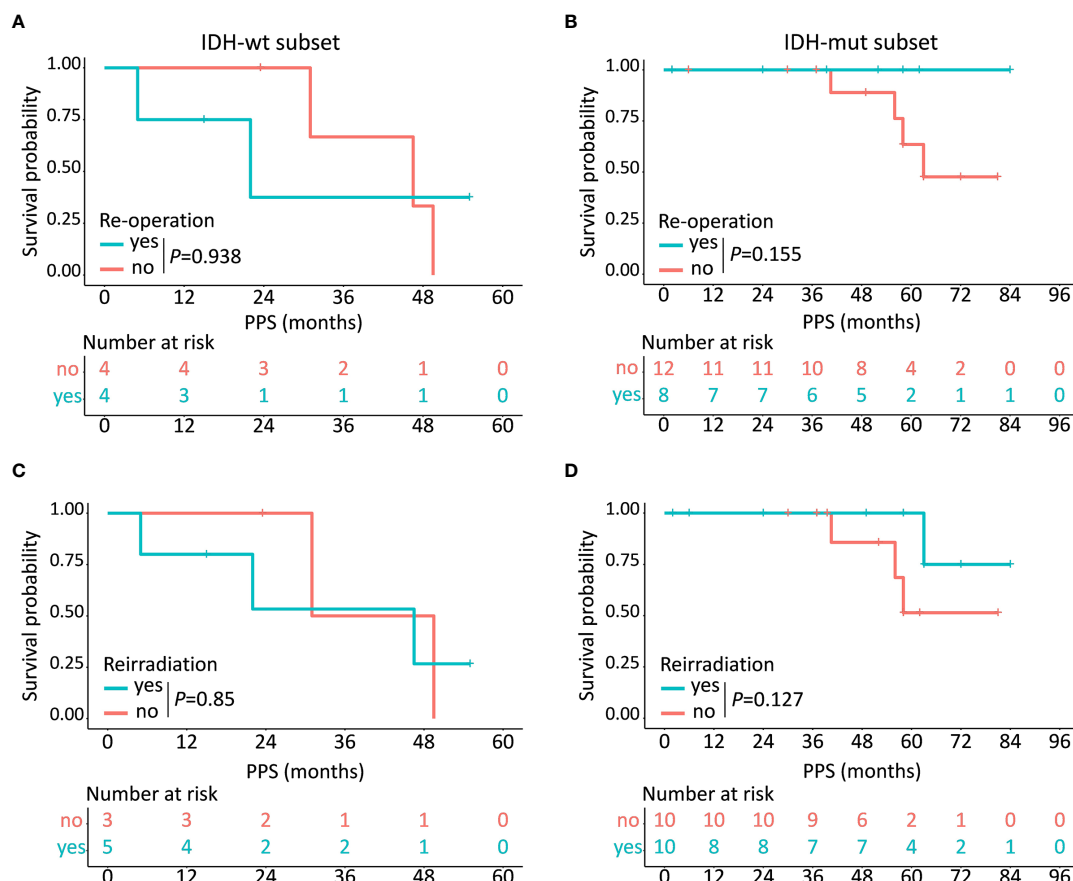
Younger age, better performance status, and higher resection degree are universally regarded as predictors of superior survival in GBM (5, 23–27). In this study, we found that LTS were a subgroup of patients who had a younger age, a higher KPS score, and a more radical resection. In 2014, Field et al. compared the characteristics between patients who survived more than 2 years and those with a survival less than 6 months (23). Final results showed that younger age, better performance status, gross macroscopic resection, and clinical trial participation were independent predictors of LTS (23). Similarly, some authors have explored the features of LTS who were defined as surviving

over 3 years by comparing with controls and found that LTS were younger, had better performance status, and were more likely to have received GTR and adjuvant chemotherapy (24, 25). These studies, however, enrolled a small number of LTS, which to a certain extent decreased the reliability of conclusions. Recently, a report of 2249 LTS from National Cancer Database maintained that factors associated with improved 5-year survival were younger age, female gender, less medical comorbidities, non-white race, higher salary, left-sided tumors and tumors outside the brainstem, and radiotherapy (5). However, the molecular parameters have not been explored in the study.

In addition to the clinical factors, the molecular biomarkers, such as IDH and MGMT, are also closely correlated with patient's survival (18, 24, 28–30). Most of the prior studies repeatedly demonstrated that IDH mutation was a prognostic factor associated with prolonged survival (18, 31). GBM was divided into two major categories based on the status of IDH since 2016 when the latest World Health Organization classification schema of brain tumor was issued (10). In our study, the frequencies of IDH mutation and MGMT promoter methylation in LTS were significantly higher than those in STS, which was consistent with previous findings (18). Barbus et al. found that IDH mutation was more prevalent in LTS (28). However, in a larger cohort study of comparative patients, the presence of IDH mutation was not significantly associated with LTS (9). Of note, their further analysis showed that significantly more LTS were MGMT methylated and IDH mutant (9). MGMT is a DNA repair protein involved in reversing methylation damage from alkylating agent (32). It's universally acknowledged that methylated MGMT is linked to increased chemosensitivity and generally confers an improved survival (32). The frequency of MGMT promoter methylation of LTS was 70.6% in our study, which was in accordance with prior results (6, 9, 24, 29, 30). It is well-established that methylated MGMT is more prevalent in LTS of GBM, compared with the STS patients (18, 24, 29, 30). Together these suggest that MGMT methylation is one of the most important features of LTS.

Within the group of LTS, solid enhancement seemed to be more likely occurred in IDH-wt LTS, while cystic change was predominant in IDH-mut group. Rathore and colleagues divided GBM into three distinct subtypes based on the signature of enhancement and found that classical tumors were more prevalent in the solid subtype which showed the worst clinical outcome (14). Cystic change is confirmed as a prognostic factor associated with favorable outcomes (33, 34). Some authors held that cystic GBM may develop from malignant transformation of a previously undiagnosed cystic low-grade glioma (34). This explained why cystic change occurred more frequently in IDH-mut GBM cases which had a history of low-grade glioma within our cohort. Utsuki et al. (34) believed cystic GBM was less aggressive and had little infiltration of the peritumoral brain tissue, which is consistent with the lower Ki-67 index demonstrated in the tumors of the IDH-mut GBM group in our study. Furthermore, we found IDH-mut LTS presented with a higher rate of 1q/19p co-polysomy than IDH-wt GBM. In 2017, Zeng et al. analyzed the prognostic implication of 1q/19p





**FIGURE 5** | Prognostic implications of different kinds of treatment regimens in IDH-wt and IDH-mut subtypes. In the subset of IDH-wt LTS, no obvious clinical benefit has been observed after receiving re-operation (A) or reirradiation (C) while IDH-mut LTS show a trend towards increased survival after receiving re-operation (B) and reirradiation (D).

polysomy in two large cohorts of astrocytic gliomas and found co-polysomy was an independent prognostic factor associated with prolonged survival (35). All the findings imply that IDH-mut GBM seems to be a less aggressive brain tumor, compared with IDH-wt GBM.

The most interesting finding of our study was that IDH-wt LTS showed a significantly higher rate of non-local failure compared with that in IDH-mut group, which determined the different survival distribution spectrum between IDH-wt and IDH-mut LTS. As we all know, non-local failure is a fatal event which commonly occurs later than local failure (20). In our study, the median time period from diagnosis to local failure was 18.5 months, which was shorter than the interval between diagnosis and non-local failure. Meanwhile, IDH-wt LTS had a higher rate of non-local failure than that of IDH-mut LTS. Therefore, the favorable PFS among IDH-wt LTS could be ascribed to a higher rate of non-local failure. Notably, although IDH-wt LTS conferred a longer PFS, the PPS of these patients was significantly shorter than IDH-mut LTS. With an attempt to interpret the opposite result observed in PPS, we explored the relationship between post-progression treatments and PPS. Finally, the survival analyses demonstrated that IDH-mut LTS showed a trend towards

increased survival after receiving re-operation and reirradiation, while the clinical benefits disappeared in the subset of IDH-wt. Hence, non-local failure can be regarded as an endpoint event that predicts a poor treatment response.

There are several limitations of this study. Firstly, the fact that it is a retrospective study, means that there has been bias relating to our patient selection. Secondly, given the wide confidence intervals in some subgroups, our results should be interpreted with caution. Additionally, we should continue this study until the last patient reached the endpoint in order to recheck and confirm the results and conclusions in the future. Finally, functional and employment status of LTS in addition to cognition was not recorded which was of great importance in terms of assessing the quality of life (36).

## CONCLUSIONS

Despite improvements of median survival achieved in recent years, the percentage of patients surviving more than five years after diagnosis of GBM remains low. IDH-wt and IDH-mut LTS

are two distinct subgroups which differ radically in terms of clinical, radiological, and molecular characteristics. Our findings provide a new approach for physicians to better understand the IDH-wt and IDH-mut GBM, which may contribute to developing more tailored therapeutic strategies for patients.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Institutional Review Board of Capital Medical University. The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

Acquisition of data: HJ, ML, CY, XZ, and QZ. Analysis and interpretation of data: HJ, KY, YC, and XR. Statistical analysis: HJ and KY. Drafting the article: HJ and SL. Funding acquisition: SL and YC. Conception and design: HJ, GZ, and SL. Study supervision: SL. All authors contributed to the article and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2021.632663/full#supplementary-material>

**Supplementary Figure 1 |** Panel I: Representative images of local recurrence (A–C). Preoperative image showed a lesion in the left frontal lobe, which was totally removed during operation. While 38 months after operation, the tumor recurred at the resection cavity. Panel II: Representative images of non-local recurrence (A–F). Preoperative images showed a lesion in the left temporal lobe, which was totally removed during operation. After 45 months follow-up, a new non-enhanced lesion far from the original resection cavity was found in the corpus callosum.

**Supplementary Figure 2 |** No significant difference was observed between IDH-wt and IDH-mut LTS in the number of patients who received re-operation (A), reirradiation (B), and rechallenge with chemotherapy (C). NA, not applicable.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Primary Intracranial Leiomyosarcoma Secondary to Glioblastoma: Case Report and Literature Review

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**Background:** Leiomyosarcoma is a highly malignant soft-tissue sarcoma with a poor prognosis. In recent years, treatment for leiomyosarcoma has not shown much progress. Primary intracranial leiomyosarcoma (PILMS) is a much rarer type of neoplasm, which occurs more frequently in immunocompromised patients. PILMS cases reported in the literature are scarce and treatment strategy and prognosis are still under debate. In this study, a case of PILMS secondary to the total resection of giant cell glioblastoma is reported.

**Case Description:** A 38-year-old male was hospitalized with a three-month history of a temporal opisthotic bump. His medical history included a total resection of a tumor located in the right temporal lobe performed 4 years earlier. Pathological examination led to a diagnosis of giant cell glioblastoma, and the patient underwent postoperative chemotherapy with temozolomide for 6 weeks plus simultaneous radiotherapy with 63.66 Gy. Four years later, during regular follow-up, a preoperative MRI brain scan resulted in a well-defined signal pointing out two nodule-like features located at the right temporal lobe and subcutaneous soft tissue, respectively, and near the area where the previous giant cell glioblastoma was located. The mass was completely removed by a transtemporal approach and postoperative pathology revealed that the mass was a leiomyosarcoma. The patient underwent postoperative radiotherapy and no recurrence occurred until now.

**Conclusions:** To date, research on soft-tissue sarcoma, especially PILMS, has not made much progress, and a limited number of studies have provided few details on the management of PILMS. The treatment of choice for PILMS is aggressive multimodal treatment based on total tumor resection and radiotherapy. Moreover, systemic treatment with chemotherapy and targeted therapy, such as olaratumab, as well as further research still needs to be performed as many questions are left unanswered. To our knowledge, this is the first report on a case of PILMS secondary to glioblastoma, which might serve as a potential reference for clinicians and clinical studies.

**Keywords:** primary intracranial leiomyosarcoma, glioblastoma, leiomyosarcoma, treatment, prognosis, genetic diagnosis



## INTRODUCTION

Intracranial leiomyosarcoma (LMS) is rare, and most often occurs as a result of metastasis of primary smooth muscle tissue tumors that can therefore develop in different organs due to the ubiquitous presence of the smooth muscle tissue in the body (1–4). Primary intracranial leiomyosarcoma (PILMS) is extremely rare in the central nervous system (CNS) and previous studies suggested that less than 1% of brain biopsies (or 3 out of 25,000 brain tumors) are positive for LMS (5). They are speculated to derive from smooth muscle cells of the blood vessels or dura mater pluripotent mesenchymal cells (1, 2, 6–9), and display strong smooth muscle differentiation (10). In addition, PILMS usually occurs in immunocompromised patients or after exposure to radiation (8, 11, 12). Here we report a case of PILMS arising on the right temporal robe near to the location of a previous giant cell glioblastoma (GCG) totally excised 4-year earlier. The patient was not immunocompromised. To the best of our knowledge, this is the first report describing a case of PILMS secondary to a glioblastoma. Relevant literature has been reviewed, and diagnosis, and prognosis, especially regarding treatment strategy have been discussed.

## CASE REPORT

### History and Examination

A 38-year-old male with a 3-month history of a temporal opisthotic bump was admitted to the hospital. The patient had no history of immunosuppressive medical treatment, intravenous drug use, previous organ transplantation or sexual promiscuity. Moreover, he did not experience any signs of headaches, dizziness, nausea, vomiting nor did he have any other sensory or motor deficits. Routine laboratory analysis showed standard parameters within normal limits, and the serologic test was negative for Human Immunodeficiency Virus (HIV), Hepatitis-B Virus (HBV), Hepatitis-C Virus (HCV), and Epstein Barr virus (EBV). According to his medical history, the patient underwent craniotomy 4 years earlier, because of the presence of an abnormal and heterogeneous magnetic resonance imaging (MRI) enhancement signal located in the right temporal and parietal lobe, together with evident edema (Figures 1A–C). The MRI performed 3 months after follow-up (Figures 1D–F) revealed that the tumor was successfully removed. Postoperative pathological examination of the tumor led to a diagnosis of GCG, with a Ki-67 index of 50%. Histological examination showed that many giant tumor cells were densely arranged, with blood vessel hyperplasia and focal necrosis.

**Abbreviations:** CT, computed tomography; LMS, leiomyosarcoma; PILMS, primary intracranial leiomyosarcoma; STS, soft-tissue sarcomas; GCG, giant cell glioblastoma; IDH, isocitrate dehydrogenase; MGMT, O6-methylguanine-DNA methyltransferase; EMA, epithelial membrane antigen; GFAP, glial fibrillary acidic protein; HIV, human immunodeficiency virus; HBV, hepatitis-B virus; HCV, hepatitis-C virus; EBV, Epstein-Barr virus; MRI, magnetic resonance imaging; PET, positron emission tomography; CNS, central nervous system; T1WI, T1-weighted imaging; T2WI, T2-weighted imaging; BBBP, blood brain barrier permeability; PFS, progression-free survival; OS, overall survival; GTR, gross tumor resection; PDGFR, platelet-derived growth factor receptor.

The tumor cells had obvious atypia and eosinophilic cytoplasm, and the nuclei were eccentric with mitosis that was easy to observe (Figure 2A). Moreover, pathological findings were evaluated. Pyrosequencing presented that no O6-methylguanine-DNA methyltransferase promoter methylation was shown (Figure 3). Fluorescence *in situ* hybridization suggested no loss of heterozygosity in 1p/19q chromosome (Figures 2B, C). Levels of *IDH1*, *TERT*, and *BRAF* were determined by multiple polymerase chain reaction amplification combined with high-throughput sequencing, which did not indicate a mutation of *IDH1-R132/R172*, *TERT-C228T/C250T* or *BRAF-V600E*. The patient accepted to be subjected to postoperative chemotherapy with temozolomide for 6 weeks, and concomitant local 63.66 Gray radiotherapy. Follow-up was not stopped after the first surgery. During a visit after 4 years when the patient presented the bump, the MRI brain scan resulted in a well-defined signal pointing out two nodule-like features of 3.1x2.5 cm and 4.0x1.8x3.7 cm located in the right temporal lobe and subcutaneous soft tissue, respectively (Figures 4A–F), with a slightly hypointense signal on T1-weighted imaging (T1WI, Figure 4A), isointense and slightly hyperintense signal on T2-weighted imaging (T2WI, Figure 4B), and isointense signal on fluid attenuated inversion recovery (Figure 4C). The lesion showed significant edge enhancement and heterogeneous reinforcement inside the tumor (Figures 4D–F). A preoperative diagnosis of a recurrent glioblastoma was made.

### Surgery

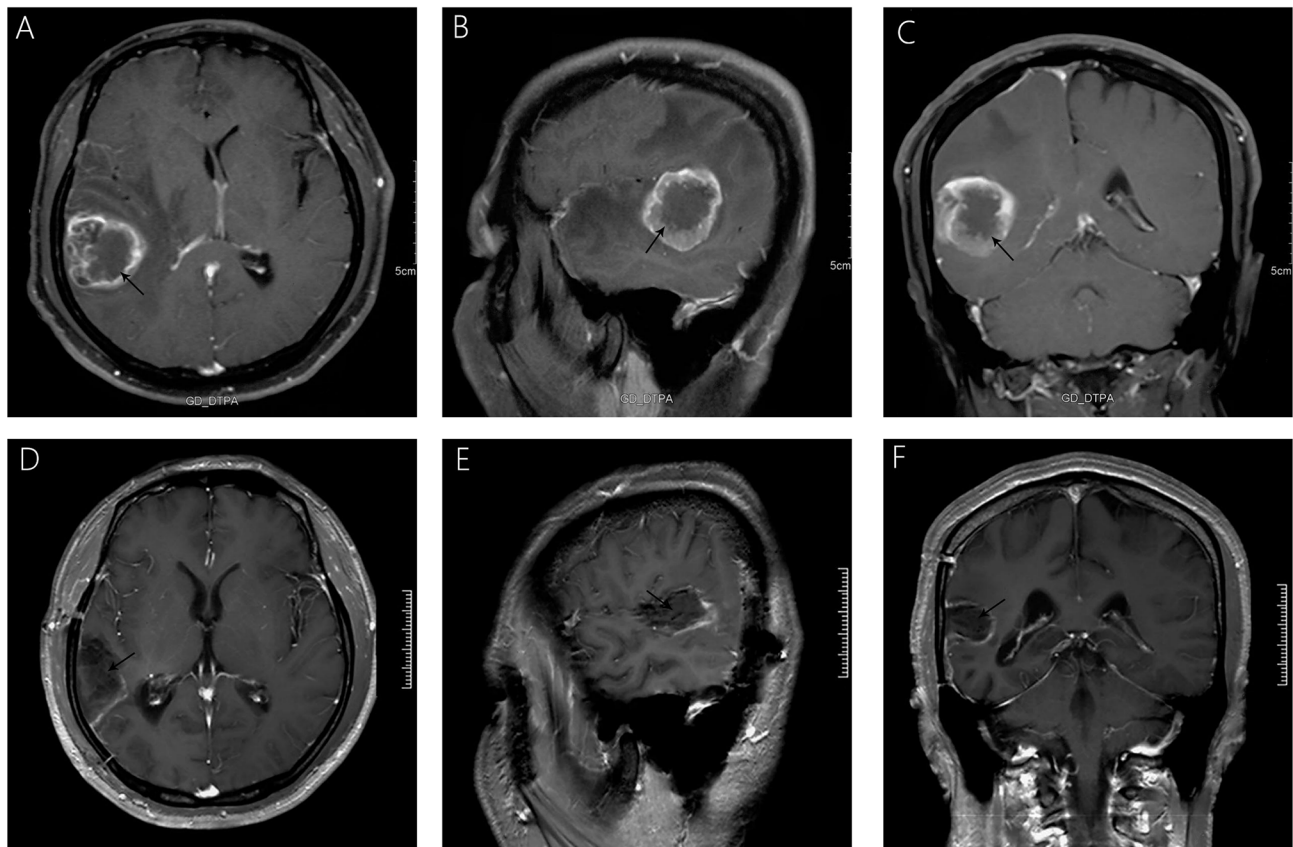
The patient underwent transtemporal craniotomy under preoperative and intraoperative neuronavigation, together with electrophysiological monitoring. The tumor was pinkish in color, solid, bloody, and was closely adherent to the brain parenchyma and subcutaneous tissue. The entire tumor was removed.

### Histopathological Findings

The postoperative histopathological examination led to a diagnosis of leiomyosarcoma. The microscopic examination revealed the tumor was composed of spindle-shaped cells (Figure 2D) with an abundant mitotic activity (Figure 2E), and the Ki-67 labeling index was 10–20%. Immunohistochemical staining was positive for h-caldesmon (Figure 2F), vimentin, SMA, and desmin, but negative for S-100, epithelial membrane antigen (EMA), glial fibrillary acidic protein (GFAP), CK-pan, CD34, CD31, CD117, Oligo-2, Dog-1, PR, and STAT6.

### Postoperative Course

The postoperative course was uneventful and no postoperative complications occurred. In addition, positron emission tomography (PET) was performed to identify potential extracranial primary sites, and serum tumor markers were measured; both were negative. One month after surgery, the patient underwent postoperative 54 Gray radiotherapy. Immediate post-operative cranial CT (Figure 4G) and follow-up MRI were performed 3 months after surgery (Figures 4H, I), and demonstrated complete removal of the tumor and no signs of recurrence. During the last telephone follow-up in December 2020, the patient stated that he did not report any abnormal condition and that he was leading a normal daily life. Based on these results,



**FIGURE 1** | Significant heterogeneous enhancement is observed with evident edema after gadolinium administration (A–C). A follow-up MRI, 3 months after surgery (D–F), showed that the lesion was completely removed, without any signs of recurrence.

his conditions seem stable and therefore, the patients will undergo routine follow-up with MRI.

## DISCUSSION

### Epidemiology

LMS is an uncommon malignant tumor, accounting for 1% of the head and neck soft-tissue sarcomas (STS) (13), being usually the result of metastasis of primary smooth muscle tissue tumors (1–4, 14). PILMS is rare and sporadically reported (4, 14), indeed accounting for approximately 0.1% of all the intracranial tumors (3). The onset range is quite wide, from 4 to 75 years of age (2, 15, 16), commonly appearing in the second and third decades of life (1, 6, 17–19), and in previous studies, a slight male predominance was observed (2, 20).

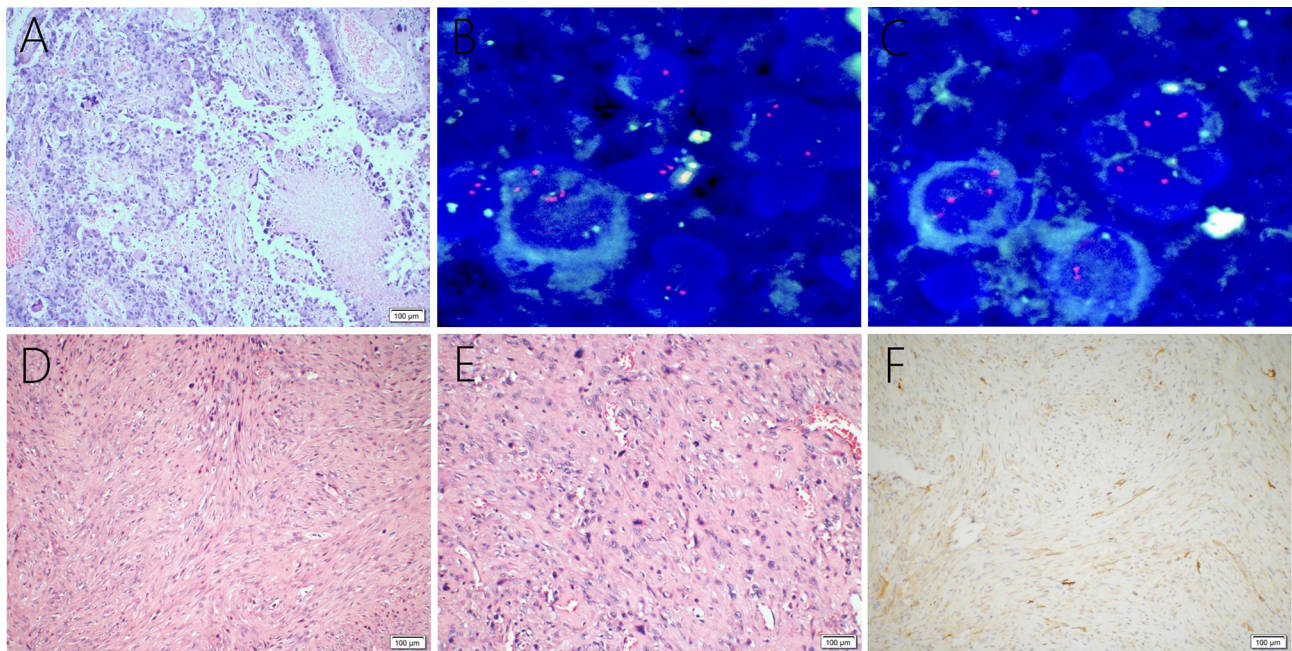
### Etiology

The cellular origin and tumorigenesis of PILMS is considered as deriving from the smooth muscle cells of the blood vessels or the pluripotent mesenchymal stem cells in the dura mater (1, 2, 6–9). LMS also mostly occur in immunocompromised patients

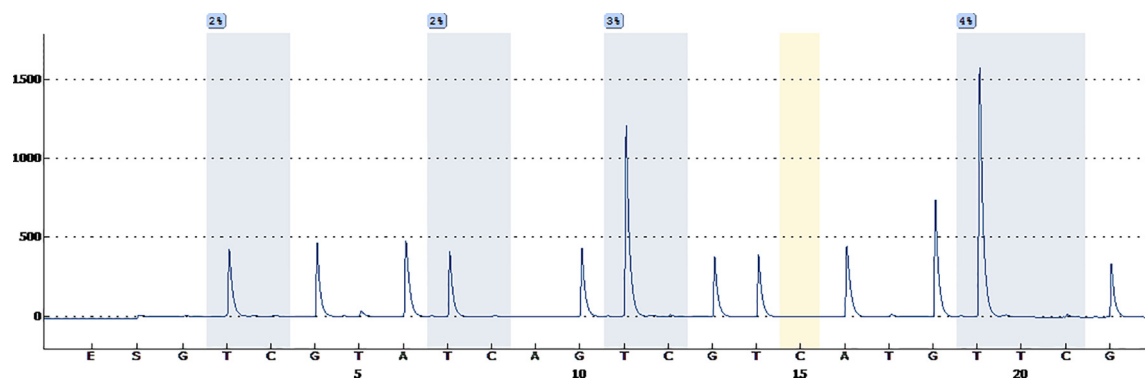
compared to the immunocompetent ones (11), especially patients with HIV (7, 12, 17, 21, 22) or EBV (1, 5, 12, 21, 23, 24) infection, subjected to organ transplantation (18), with malignancies (20), genetic disorders (18), after radiation exposure (25), and especially in children (8). Therefore, immunodeficiency plays a role in the occurrence and development of PILMS.

Co-infection with EBV in immunosuppressed patients with HIV is considered a leading factor in the development of LMS (9, 22, 26, 27), and EBV-transformed and infected smooth muscle cells may contribute to the pathogenesis of LMS in patients with AIDS (2, 3, 17). However, immunocompetent patients in whom LMS occurred, were invariably negative for EBV (2, 17, 24, 28). Radiotherapy (2) and chemotherapy (27, 29) are also considered as potential factors inducing PILMS. Radiation oncogenesis was first defined by Cahan et al. (30), in 1948. Since then, it was clear that radiation doses above 50 Gray cause cell death, while lower doses (e.g. < 30 Gray) are associated with genomic instability and cell repair mechanisms of the caused damages (2). Suzuki et al. (31), also described a radiation-induced sarcoma usually within or at the edge of the tumor. Since the radiation is not uniformly distributed within the tissue, a sufficient dose does not reach the edge to ensure the killing of all tumor cells (31). Furthermore, Fujimoto et al. (9), described a case of LMS arising after the





**FIGURE 2** | Giant cell glioblastoma is composed of large, closely-arranged cells, with an eosinophilic cytoplasm and obvious nuclear atypia. There are also scattered multinucleated giant cells. Local necrosis and vascular proliferation are observed (A). FISH detection suggests no loss of heterozygosity in *1p* (B) or *19q* (C) chromosomes. Primary intracranial leiomyosarcoma showing spindle-shaped cells (D) and abundant mitotic activity (E) through the tumor, hematoxylin, and eosin staining. Immunohistochemical examination was positive for H-caldesmon (F).

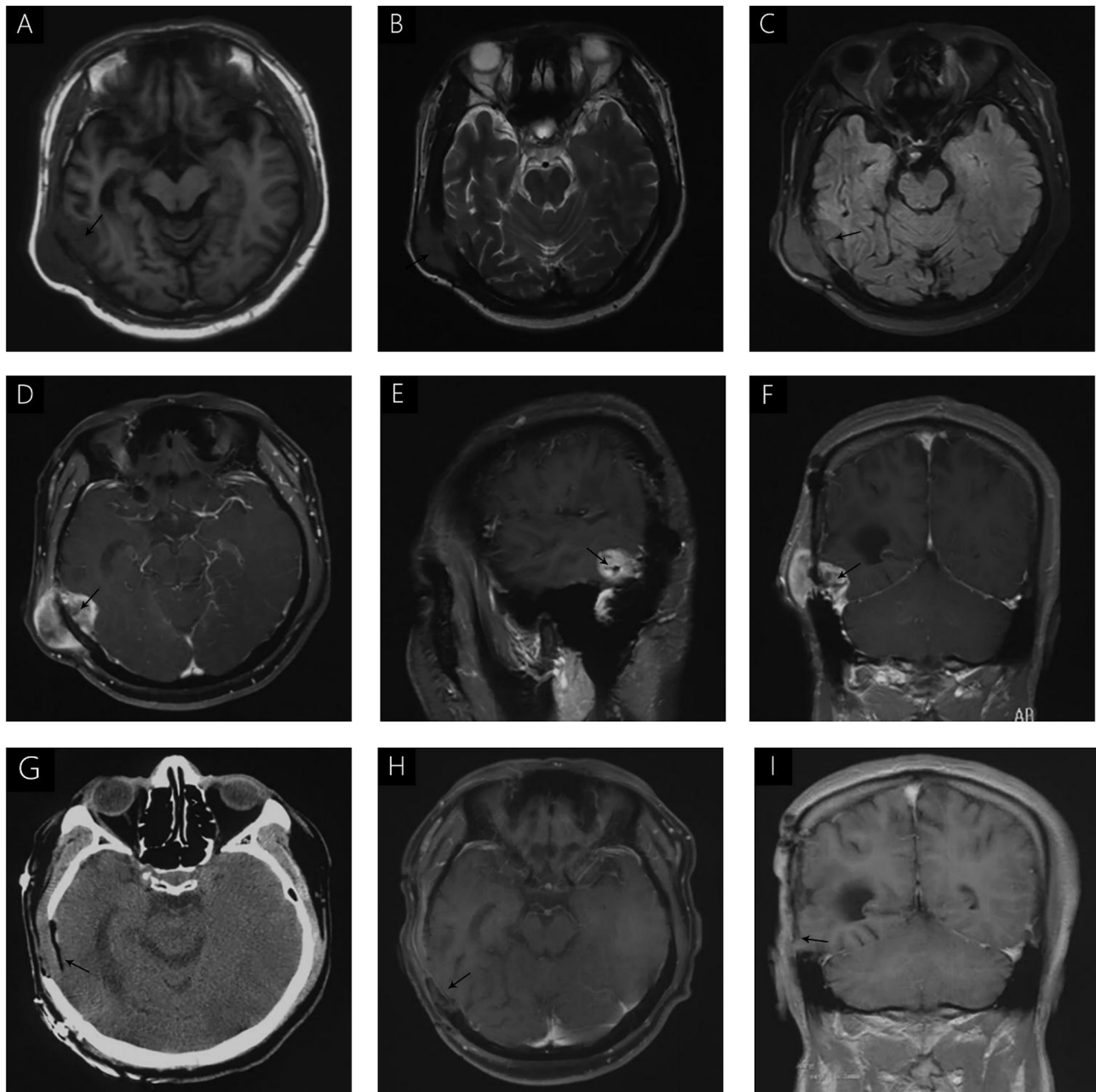


**FIGURE 3** | Pyrosequencing demonstrates that no O6-methylguanine-DNA methyltransferase promoter methylation was found.

resection of a neurofibroma at the left cerebellopontine angle and concluded that the mechanical and/or heat stimulation during a previous surgery was associated with the development of LMS. The patient in this case report is the first ever described with PILMS secondary to a glioblastoma, and the pathogenesis was hypothesized as associated with the history of malignant tumor and radiation exposure together with the mechanical and heat stimulation during the first surgery. Nevertheless, these hypotheses did not explain all cases of PILMS in immunocompetent patients and further studies are needed to clarify the clinical features involved.

## Clinical Presentation

No specific symptoms are shown in patients with PILMS, and they largely depend on the location of the tumor and the mass effect (3, 4, 16, 32); the average length of the symptoms approximately 4 months (3, 33). General symptoms include headache (1, 32), memory impairment (17), gait instability (1), altered mental status (34), and seizures (1). In addition, some patients may present subdural hematoma (3, 20) or intratumoral apoplexy (15, 20). The subdural hematoma is hypothesized to be developed from new capillary formation, vascular hyperpermeability,



**FIGURE 4 |** (A) hypointense signal is seen on T1WI (A). Isointense and slightly hyperintense signals were seen on T2WI (B); Isointense signal on FLAIR (C). Significant enhancement was seen after gadolinium administration, without uniform enhancement in the center of the lesion (D–F). Immediate postoperative CT (G) and follow-up MRI, 3 months after surgery (H, I) demonstrated complete removal of the tumor and no signs of recurrence.

and serum protein exudation, as a result of a typical inflammatory reactions (3).

### Radiological Characteristic

Due to the rarity of PILMS, radiological details describing it are lacking in the literature. In general, PILMS presents a hyperdense signal on CT and homogenous enhancement on enhanced scanning images (3, 16). In addition, calcifications can be

observed (16, 35). MRI is considered the primary neurological approach to assess PILMS, which is also important for surgical planning (14). PILMS can develop as either extra-axial or intra-axial tumor, and the imaging features are different in these two locations (4). The extra-axial PILMS is usually characterized by uniform hypointense or isointense T1WI and T2WI (4, 36). After gadolinium enhancement, well-defined homogeneous (22, 23, 28) or inhomogeneous (17, 37) enhancement with (6) or



without (4) dural tail signal is detected, resembling meningioma (18, 22, 23, 28, 38). Moreover, the tumors were always significantly enhanced (18, 36). No significant differences in survival were observed in patients with dura involvement (39). Intra-axial PILMS often appears as an irregular mass presenting a heterogeneous intense and heterogeneous enhanced pattern (2, 24, 26, 40). Ultra-sound examination could also be performed for patients with skull involvement (36).

## Diagnosis

The diagnosis and differential diagnosis of PILMS depend on the comprehensive analysis of all the laboratory tests, MRI and pathological examination (2, 5, 6, 9). Histological examination revealed the presence of elongated spindle-shaped cells with pleomorphism and coagulative necrosis (17, 41), and most of these cells grow following a fascicular pattern (6, 16, 36). Moreover, PILMS is often positive for desmin, actin, h-caldesmon,  $\alpha$ -SMA, and occasionally vimentin (6, 9, 16, 28), and is negative in S-100, EMA and GFAP (6, 9, 16, 28). In addition, PILMS showed a high Ki-67 index and mitotic index (16). H-caldesmon is considered a specific and valuable biomarker characterizing smooth muscle cells and LMS (9, 24). Extra-axial PILMS is sometimes difficult to differentiate from meningioma on radiological examination (6, 9, 24, 34). However, EMA is immunohistochemically positive in meningioma, meningiosarcoma and hemangiopericytomas (9, 24), thereby helping in the differential diagnosis. Notably, thorough investigation, including whole-body CT, bone scans, lumbar punctures, and PET scans were imperative to exclude extracranial lesions.

## Treatment

Due to the rarity of PILMS, standard management guidelines have not yet been established (39). However, currently, a multimodal approach, including surgery, radiotherapy, and chemotherapy is the main treatment (12, 21, 42). In addition, surgical resection is the leading treatment to perform gross tumor resection (GTR) and the achievement of negative surgical margins due to extension of the resection is one of the most frequently reported predictors of recurrence and survival (2, 15, 16, 28, 33). Zhang et al. (39) demonstrated that the extent of excision might result in differences because of the difference in score systems that are presented in the literature, which needs further unification.

## Radiotherapy

In PILMS, postoperative radiotherapy is used to control local recurrence (2, 43). In many previous studies, GTR combined with postoperative radiotherapy is indeed the main treatment strategy for PILMS (1, 3, 26, 27, 44, 45). However, the specific benefit of radiotherapy in terms of the survival of PILMS patients is not clear (39). To date, there is no consensus that patients with PILMS should undergo radiotherapy regardless of the extent of resection. In several studies, radiotherapy was not recommended for patients with GTR (15). However, considering the aggressive character, immediate adjuvant radiotherapy after GTR was approved in some cases (3, 28, 37). In this case, the patient

underwent radiotherapy immediately after GTR, and had a relatively good survival without recurrence. In addition, radiation therapy represents an adjuvant option in patients with relapse or progression (39). In case of recurrent LMS, Gallagher et al. (28), suggested to perform a re-irradiation according to their experience in the treatment of recurrent glioblastoma. Recently, gamma knife radiosurgery (43, 46) and stereotactic robotic cyber knife radiosurgery (47) have been performed to treat PILMS, revealing their feasibility and effectiveness in treating this type of tumor, although the number of patients was small, thus, they can be considered potential treatment strategies. The specific treatment advantage needs to be verified in future multicenter prospective studies.

## Chemotherapy

In previous studies, it was demonstrated that PILMS is inclined to progress to extra-cranial metastasis, such as the spinal cord, lung, pleural, spleen, and hip (6, 26, 48). Although the role of chemotherapy in preventing extracranial metastasis is currently unknown, we speculate that it is difficult for radiation alone to confine the aggressive behavior of LMS. Chemotherapy is not a routine treatment of PILMS (9, 17), and the choice of effective chemotherapeutic agents remains unclear (28). Because of its good blood brain barrier permeability (BBBP) and acceptable level of toxicity, temozolomide was the first chemotherapy drug used in the treatment of PILMS (49). Temozolomide has moderate activity in residual or metastatic STS, with a response rate of 8% (41, 49, 50), which makes it a promising drug in the treatment of PILMS. In some studies, it was revealed that temozolomide was effective at a dose that was equivalent to that of dacarbazine (9, 51, 52). However, the validity of monotherapy of chemotherapeutic drugs has been questioned (3), and the combination with other therapeutic approaches seems somewhat effective (1–3, 6). In a recent study, Francisco et al. (53), reported a case of PILMS where maintenance treatment involved temozolomide and nimotuzumab. Nimotuzumab is an epidermal growth factor receptor monoclonal antibody. STS, like LMS, can express epidermal growth factor receptor-34, and its blocking promoted tumor inactivation and decreased chemoresistance (54). However, in this study, no improvement in survival was observed. For the current treatment of sarcomas, anthracyclines (doxorubicin and epirubicin) remain the first-line standard treatment regimens of advanced STSs (55), with a median overall survival (OS) of 12–18 months (56, 57). When combined with other drugs, such as ifosfamide, a significant improvement in the response rate and progression free survival (PFS), but not in OS, was observed (58, 59). The treatment experience with anthracyclines in PILMS is limited and controversial, and no improvement in survival was observed (60, 61), due to its poor BBBP and limited treatment experience of this disease (12, 42).

## Targeted Therapy

Here, we describe the application and research progress of targeted drugs in PILMS. To date, monoclonal antibodies to LMS, especially to PILMS, are scarce (28). However, along with increasing the understanding of the pathophysiology and

underlying molecular mechanisms of action of LMS, there is an increase in research studies. For example, somatostatin receptor subtypes have been detected in moderate and malignant sarcomas. In 2016, Crespo-Jara A et al. (62), reported a case of metastatic and multiple drug-resistant sarcoma, which was successfully treated with radiolabeled somatostatin analogs. However, this agent has not been approved in China. Moreover, platelet-derived growth factor receptor (PDGFR), especially the alpha ( $\alpha$ ) isoform, has been confirmed to be associated with the metastasis and proliferation of LMS cells. Therefore, drugs that block the action of PDGFR could be a promising antitumor regimen (63, 64). Lartruvo® (olaparumab) is a PDGFR- $\alpha$  antagonist, a first-in-class recombinant human immunoglobulin-G subclass-1 monoclonal antibody that blocks binding and activation of the PDGF receptor (55). In 2016, a Phase Ib study and randomized Phase II clinical trials, showed that advanced STS treated with olaparumab plus doxorubicin had a significant prolonged OS when compared to doxorubicin monotherapy (26.5 vs 14.7 months; HR 0.46, 95% CI 0.30-0.71,  $p=0.0003$ ), and slight PFS extension (6.6 vs 4.1 months; HR 0.672, 95% CI 0.442-1.021,  $p=0.0615$ ) (65), with manageable toxicity. This was the first randomized trial showing a significant improvement in OS, compared to doxorubicin monotherapy, which brings light for advanced STS and PILMS patients. From 2016 to 2019, olaparumab was considered the most effective PDGFR $\alpha$  neutralizing antibody for LMS (56). However, the latest Phase 3 multicenter randomized clinical trials in 2020 failed to demonstrate OS benefits of doxorubicin plus olaparumab when compared to doxorubicin plus placebo in advanced STS (20.4 vs 19.7 months; HR 1.05, 95% CI 0.84-1.30,  $p=0.69$ ) and LMS (21.6 months vs 21.9 months; HR 0.95, 95% CI 0.69-1.31,  $p=0.76$ ) (66). The reason for the differences between the results of the second and third clinical trial has not yet been identified. Gennatas et al. (67), reviewed eight patients with advanced STS who underwent at least two treatment cycles of doxorubicin plus olaparumab between May 2017 and March 2019, and none of the patients experienced survival benefits. At present, the treatment of STS with olaparumab has been suspended by the drug manufacturer of olaparumab (66), and further studies are needed. Moreover, no studies have been performed with olaparumab for PILMS or its BBBP. Other recent first-line studies of advanced STS included the comparison of doxorubicin monotherapy with docetaxel plus gemcitabine (58) or doxorubicin plus ifosfamide (51, 59), palifosfamide (68), or evofosfamide (65). However, historical OS (12-18 months) and the 2-year survival rate (20-30%) did not show improvement. Thus, identifying new and effective treatment for advanced STS, especially PILMS, is of utmost importance. Mathieson et al. (3), described a treatment including the combination of vincristine, ifosfamide, doxorubicin, and etoposide with radiotherapy on a pediatric PILMS patient, which did not result in recurrence in 18 months. The use of the vascular endothelial growth factor inhibitor bevacizumab is also a promising approach, and has been increasingly used to treat LMS (32, 52). Three Phase II clinical trials demonstrated that bevacizumab is an effective treatment for some STS (69, 70). Gallagher et al. (28), also

reported recurrence of PILMS treated with re-operation and bevacizumab (7.5mg/kg, 4 doses at 3-week intervals), and the follow-up was lost two-months after the re-operation. Notably, active antiretroviral therapy is imperative for PILMS patients with retroviral infections, such as HIV (28).

## Prognosis

The prognosis of PILMS is overall poor (3, 4, 15, 32, 33), and the long-term prognosis is not clear (53). A limited number of studies reported a survival range from 6 to 44 months (4, 37, 45), and the average follow-up was 12 months, although Niwa et al. (19), reported a patient who died 8 years after the initial surgery, which represented the longest survival ever published. The poor prognosis and high local recurrence rate were considered as associated with the difficulty in obtaining negative surgical resection margins and an inadequate radiotherapeutic dose (6, 14, 28). Vos et al. (71), contrasted the survival period of patients with STS between 2010-2014 and 1989-1994, and found that the OS had improved, but was not statistically significant. In some studies, it was shown that gender, age, immunosuppressive status, dural origin, or EBV infection did not have a significant impact on treatment outcome, but tumor size, location, mitotic rate, residual tumor, and inadequate dose of radiation were all unfavorable factors with a negative effect on survival (2, 15, 16, 72). However, Zhang, et al. (39), demonstrated that age, tumor size, and location were not statistically linked with clinical outcome. Shotton et al. (73), also suggested that the perineural invasion is an important predictor of survival and recurrence (10). The local recurrence rate is approximately 25.9% after radiotherapy on the initial lesion (15). Taken together, GTR has a significant and unexpected favorable outcome on survival (17, 24, 39).

## CONCLUSION

Since PILMS is an extremely rare type of neoplasm, studies reporting on PILMS cases are rare. More future clinical trials, treatment experience, and long-term follow-up are required to fully understand this disease. Olaparumab might be a potential targeted drug for the treatment of PILMS, but has never been applied to PILMS patients. Thus, further studies are needed for its validity and BBBP. Here, we reported on PILMS secondary to GCG for the first time, and represented an additional reference among the few available, which might serve as a potential guide for clinicians and clinical studies.

## ETHICS STATEMENT

The studies involving human participant was reviewed and approved by Ethics Committee of the First Hospital of Jilin University. The patient/participant provided his written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

LZ and YJ made study design, data collection, data analysis and interpretation, and composed the manuscript and literature review. YL and YW were the surgeons that performed the surgery, and did data collection, data analysis, and interpretation. YS and YB made English and grammar corrections, critical revisions, and approved

final version. YL had the acquisition, analysis or interpretation of data for the work, revising it critically for important intellectual content, final approval of the version to be published, and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Roles of Long Noncoding RNAs in Conferring Glioma Progression and Treatment

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Accompanying the development of biomedicine, our knowledge of glioma, one of the most common primary intracranial carcinomas, is becoming more comprehensive. Unfortunately, patients with glioblastoma (GBM) still have a dismal prognosis and a high relapse rate, even with standard combination therapy, namely, surgical resection, postoperative radiotherapy and chemotherapy. The absence of validated biomarkers is responsible for the majority of these poor outcomes, and reliable therapeutic targets are indispensable for improving the prognosis of patients suffering from gliomas. Identification of both precise diagnostic and accurate prognostic markers and promising therapeutic targets has therefore attracted considerable attention from researchers. Encouragingly, accumulating evidence has demonstrated that long noncoding RNAs (lncRNAs) play important roles in the pathogenesis and oncogenesis of various categories of human tumors, including gliomas. Nevertheless, the underlying mechanisms by which lncRNAs regulate diverse biological behaviors of glioma cells, such as proliferation, invasion and migration, remain poorly understood. Consequently, this review builds on previous studies to further summarize the progress in the field of lncRNA regulation of gliomas over recent years and addresses the potential of lncRNAs as diagnostic and prognostic markers and therapeutic targets.

**Keywords:** lncRNAs, glioma, biomarker, therapeutic target, prognosis, chemoresistance

## INTRODUCTION

Gliomas, originating from glial or precursor cells, which are categorized into astrocytomas, ependymomas and oligodendrogliomas, are the most common malignant primary tumors of the central nervous system (CNS) (1, 2). In addition, gliomas are graded by the World Health Organization (WHO) into four classifications based on their malignancy. Gliomas with WHO grades I-II are known as low-grade gliomas (LGGs), including angiocentric glioma and diffuse astrocytoma, while those with WHO grades III-IV are considered high-grade gliomas (HGGs), including mesenchymal astrocytoma and glioblastoma multiforme gliomas (GBMs) (3, 4). In the 2016 WHO classification of CNS tumors, molecular parameters, including IDH, ATRX, TP53 and 1p/19, were considered in the classification of glioma subtypes, which is more detailed than its 2007 predecessor (5, 6). GBM has high mortality and recurrence rates and represents the most malignant CNS tumor (3). The present criteria for treating GBM continue to be neurosurgical resection of the

neoplasm accompanied by chemotherapy with temozolomide (TMZ) and radiotherapy (7). Unfortunately, the median survival for GBM patients is only 15 months, even with this combination treatment (8). Therefore, exploring the specific mechanisms of the occurrence and progression of glioma has drawn widespread interest in recent years. Studies on precise biomarkers and reliable therapeutic targets are urgently needed.

Approximately 98% of transcripts do not encode proteins in the human genome, and this category of RNA is known as noncoding RNA (ncRNA). Long noncoding RNAs (lncRNAs), accounting for approximately 80-90% of ncRNAs, are transcripts consisting of more than 200 nucleotides that typically lack protein-coding capability and were once regarded as transcriptional noise (9). Open reading frames are generally absent in lncRNAs (10). Intriguingly, this “transcriptional noise” has been extensively researched and demonstrated to not only serve an important function in normal cellular physiological procedures but also play an invaluable role in regulating the malignant behavior of tumors (11). LncRNAs can be divided into sense lncRNAs, antisense lncRNAs, bidirectional lncRNAs, intronic lncRNAs and intergenic lncRNAs (LINC RNAs) based on genomic location (12). The order of nucleotide arrangement constitutes the primary structure of lncRNAs, and intricate secondary and tertiary structures guarantee the multiple functions of lncRNAs. However, the relationship between lncRNA secondary structure and functions remains unclear. Recent evidence has indicated that lncRNAs regulate gene expression at three levels: transcriptional, post-transcriptional and epigenetic modification (13).

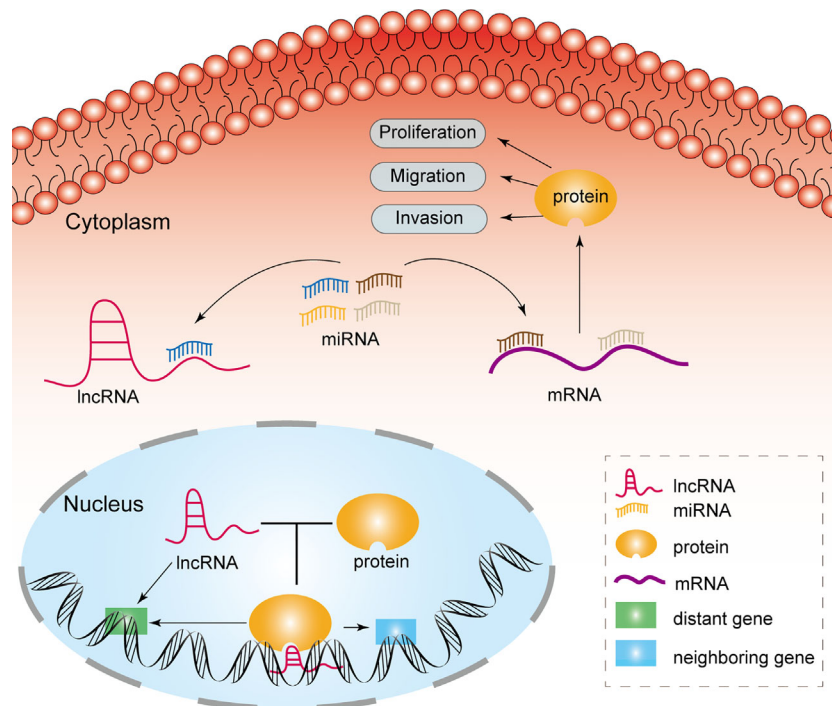
As mentioned above, lncRNAs are associated with both cellular physiology and disease origination and progression by regulating gene expression (11). Additionally, an increasing number of investigations have suggested that lncRNAs play pivotal roles in regulating the tumorigenesis, proliferation, aggression, metastasis, and drug resistance of gliomas. Consequently, as the molecular mechanism of lncRNA regulation of glioma is further investigated, the etiology of glioma will gradually be revealed. Furthermore, along with the advancement of sequencing technology, we will gradually recognize the entire spectrum of lncRNAs, implying that lncRNAs could be not only effective indicators for early diagnosis and determination of prognosis but also therapeutic targets for glioma.

## THE FUNCTIONS AND MECHANISMS OF LNCRNAs IN GLIOMAS

MiRNAs are a category of noncoding RNAs of approximately 20 nucleotides in length that can bind to target mRNAs *via* microRNA response elements (MREs) and thus perform negative regulatory functions, exerting critical post-transcriptional regulatory effects (14). MREs are short sequences of both lncRNAs and mRNAs that combine with miRNAs. Therefore, lncRNAs absorb miRNAs as sponges, enabling the expression of mRNAs that were previously repressed by miRNAs, and such lncRNAs are referred to as competitive endogenous RNAs (**Figure 1**) (15). Many studies

have been conducted to demonstrate that lncRNAs, as ceRNAs, impact the progression of tumors at the post-transcriptional regulatory level (**Table 1**).

By analyzing GSE4290, Liu and colleagues showed that LINC00689 was highly expressed in glioma tissue compared to normal brain tissue. The expression of pyruvate kinase M2 (PKM2) was enhanced by LINC00689-mediated elimination of miR-338-3p, which facilitated malignant progression of glioma cells. As a consequence, the LINC00689/miR-338-3p/PKM2 axis functions as a carcinogenic driver in gliomas (16). Moreover, LINC01857 could promote tumorigenesis of glioma by sponging miR-1281 to upregulate TRIM65 expression (17). MALAT1 has been proved to have a crucial role in the progression of multiple neoplasms such as lung, colorectal and gastric cancers, and shows a comparable regulatory role in glioma. MALAT1 promoted the level of ZHX1 by serving as a ceRNA of miR-199a, leading to augmented glioma development (18). LINC01579 accelerated cell proliferation and apoptosis of GBM by the competitive binding of miR-139-5p to affect EIF4G2 (19). Furthermore, the lncRNA SNHG1 is considered a sponge that absorbs miR-194 to promote glioma progression by regulating PHLDA1 expression (20). MiR-605-3p was eliminated by lncRNA BLACAT1 to accelerate VASP expression, contributing to glioma proliferation (21). The expression level of NAMPT was regulated by lncRNA-GACAT3 to promote glioma progression as a sponge for miR135a (22). LncRNA MATN1-AS1 competitively binding with miR-200b/c/429 also promoted the progression of glioma by modulating CHD1 expression (23). Chai et al. demonstrated that exosomal lncRNAe-ROR1-AS1 enhanced glioma progression by suppressing miR-4686 (24). LEF1-AS1 promoted glioma formation by competitively binding miR-489-3p to increase the expression of HIGD1A (25). Oncogenic lncRNA FOXD1-AS1 promoted the proliferation and metastasis of GBM cells by targeting miR339/342 (26). However, lncRNA could also act as a repressor to inhibit tumor progression. Zhen et al. indicated that NEAT1 sponged miR-107 to inhibit the expression of cyclin-dependent kinase 14 (CDK14) to repress the malignant progression of glioma (27). The anti-oncogene AC016405.3 restrained GBM cell proliferation and migration by sponging miR-19a through regulation of ten-eleven translocation-2 (TET2) (28). LncRNA TPT1-AS1 inhibited glioma cell autophagy by decreasing the expression of miR-110-5p, and upregulating STMN1 expression promoted the proliferation of glioma cells (29). In addition, mRNAs can indirectly regulate gene phenotypes by signaling pathways *via* the post-transcriptional regulation of ceRNAs. For instance, miR-183-2-3p was sponged by lncRNA NCK1-AS1. Low levels of miR-183-2-3p promoted TRIM24 expression and thereby activated the Wnt/ $\beta$ -catenin pathway to contribute to glioma progression (30). Correspondingly, the lncRNA AGAP2-AS1 exhibited analogous mechanisms in contributing to the development of glioma advancement *via* the miR-15a/b-5p/HDGF/WNT axis (31). The oncogene lncRNA SNHG16, in contrast, functioned in the proliferation, aggression and migration of glioma cells through the miR373/EGFR/PI3K/



**FIGURE 1** | Mechanisms of lncRNAs in glioma cell.

AKT axis (32). These researches illustrated that lncRNAs could not only promote but also inhibit tumor progression.

By interacting with signaling pathways, lncRNAs can facilitate tumorigenesis. For example, silencing lncRNA MIR22HG inhibited GBM aggressiveness by suppressing the Wnt/ $\beta$ -catenin signaling pathway (33), while cancer susceptibility candidate 7 (CASC7) restrained the progression of glioma through the Wnt/ $\beta$ -catenin pathway (34). BCAR4 promoted glioma cell progression by stimulating the EGFR/PI3K/AKT pathway (35). lncRNA LPP-AS2 plays an important role in regulating the miR-7-5p/EGFR/PI3K/AKT/c-MYC feedback loop, which is correlated with glioma tumorigenesis (36). lncRNA BCYRN1 could suppress tumorigenesis of glioma as a molecular sponge of miR-619-5p to modulate the PTEN/AKT/p21 pathway and CUEDC2 expression (37). Furthermore, lncRNA MT1JP suppressed proliferation, invasion, and migration and promoted apoptosis of glioma cells through stimulation of the PTEN/Akt signaling pathway (38). lncRNA-THOR silencing accelerated human glioma cell apoptosis by activating the MAGEA6-AMPK signaling pathway (39). Accumulating studies have suggested that lncRNA is involved in the regulation of diverse biological behaviors in glioma through the regulation of signaling pathways including but not limited to Wnt/ $\beta$ , PI3K/AKT and NF- $\kappa$ B. Therefore, lncRNAs are promising biomarkers for glioma diagnosis, prognosis and treatment in theory.

The interaction between RNA-binding proteins (RBPs) and lncRNAs plays a non-negligible role in the advancement of

glioma. The expression level of EZH2 positively correlated with the malignancy of glioma and promoted the malignant behavior of glioma (40). Chen et al. first addressed the mechanism of the participation of lncRNA NEAT1 in tumorigenesis as a scaffold for EZH2. lncNEAT1 recruited EZH2 to interact with the promoter regions of downstream genes (Axin2, ICAT, GSK3B) to promote trimethylation modification of H3K27, thereby silencing these three genes. Further, the WNT/ $\beta$ -catenin pathway was activated, resulting in tumorigenesis (41). Moreover, RBP DGCR8 could bind with ZFAT-AS1, the interaction between DGCR8/ZFAT-AS1 and CDX2 contributed to the malignant progression of glioma (42). RBP, lncRNA and downstream gene could form negative or positive feedback loop to modulate biological behavior of glioma. SNHG1 regulated the miRNA154-5p/miR-376b-3p-FOXP2-KDM5B positive feedback loop to promote the malignant phenotype of glioma cells (43). LINC00475 silencing acted as a tumor suppressor in glioma under hypoxic conditions by impairing miRNA-449b-5p-dependent upregulation of AGAP2 expression (44). TRPM2-AS inhibited the growth, migration, and invasion of gliomas through JNK, c-Jun, and RGS4 (45). HCG11 inhibited glioma progression by modulating miR-496 to upregulate cytoplasmic polyadenylation element binding protein 3 (CPEB3) expression (46). The lncRNA MNX1-AS1 reduced the level of miR-4443, leading to the promotion of proliferation, invasion and migration in glioma (47). NEAT1 and CDK6 could promote tumorigenesis of glioma cells; additionally, miR-139-5p restrained the biological functions of glioma cells (48). lncRNAs has diverse roles in glioma processes, such as



**TABLE 1 |** The role of lncRNAs as ceRNA in the glioma.

LncRNA	MiRNA	Expression of mRNA	Function	Study
LINC00689	miR-338-3p	Upregulated PKM2	Promoting growth, metastasis and glycolysis	16
LINC01857	miR-1281	Upregulated TRIM65	Promoting growth, migration, and invasion	17
MALAT1	miR-199a	Upregulated ZHX1	Promoting proliferation and progression.	18
SNHG1	miR-194	Upregulated PHLDA1	Promoting progression	19
BLACAT1	miR-605-3p	Upregulated VASP	Promoting progression	20
AC016405.3	miR-19a-5p	Upregulated TET2	Acting as tumor suppressor	21
GACAT3	miR-135a	Upregulated NAMPT	Promoting progression	22
MATN1-AS1	miR-200b/c/429	Upregulated CHD1	Promoting progression	23
TPT1-AS1	miRNA-770-5p	Upregulated STMN1	Inhibiting autophagy and promoting proliferation	24
LEF1-AS1	miR-489-3p	Upregulated HIGD1A	Promoting tumorigenesis	26
NCK1-AS1	miR-138-2-3p	Upregulated TRIM24	Promoting tumorigenesis	28
AGAP2-AS1	miR-15a/b-5p	Upregulated HDGF	Promoting proliferation	29
SNHG16	miR-373	Upregulated EGFR	Promoting tumorigenicity	30
LINC00475	miR-449b-5p	Upregulated AGAP2	Acting as a tumor suppressor	41
HCG11	miR-496	Upregulated CPEB3	Promoting progression	43
NEAT1	miR-139-5p	Upregulated CDK6	Promoting proliferation, invasion and migration	45
NEAT1	miR-107	Upregulated CDK14	Promoting progression	52
BCYRN1	miR-619-5p	Upregulated CUEDC2	Inhibiting tumorigenesis	50
LINC00174	miR-152-3p	Upregulated SLC2A1	Promoting glycolysis and tumor progression	51
LPP-AS2	miR-7-5p	Upregulated EGFR	Promoting tumorigenesis	54
LINC00645	miR-205-3p	Upregulated ZEB1	Promoting epithelial-mesenchymal transition (EMT)	55
HOTAIR	miR-148b-3p	Upregulated USF1	Regulating blood-tumor barrier (BTB) permeability	58
MIAT	miR-140-3p	Upregulated ZAK	Regulating BTB permeability	59
Lnc00462717	miR-186-5p	Upregulated PTBP1	Regulating BTB permeability	61
LINC00174	miR-138-5p/miR-150-5p	Upregulated FOSL2	Regulating BTB permeability	62
TALC	miR-20b-3p	Upregulated c-Met	Promoting MGMT expression	66
SNHG15	miR-726	Upregulated CDK6	Overcoming temozolomide (TMZ) resistance	68
AC003092.1	miR-195	Upregulated TFPI-2	Promoting TMZ chemosensitivity	71
CASC2	miR-181a	Upregulated PTEN	Promoting glioma growth and resistance to TMZ	72
SNHG16	miR-212-3p	Upregulated USF1	Promoting vasculogenic mimicry	78
LINC00667	miR-429	Upregulated USF1	Promoting vasculogenic mimicry	78
SNHG1	miR-154-5p/miR-376b-3p	Upregulated FOXF2	Promoting growth, migration, and invasion	39
PDIA3P1	miR-124-3p	Upregulated RELA	Promoting EMT	83
LINC01579	miR-139-5p	Upregulated EIF4G2	Promoting proliferation	84

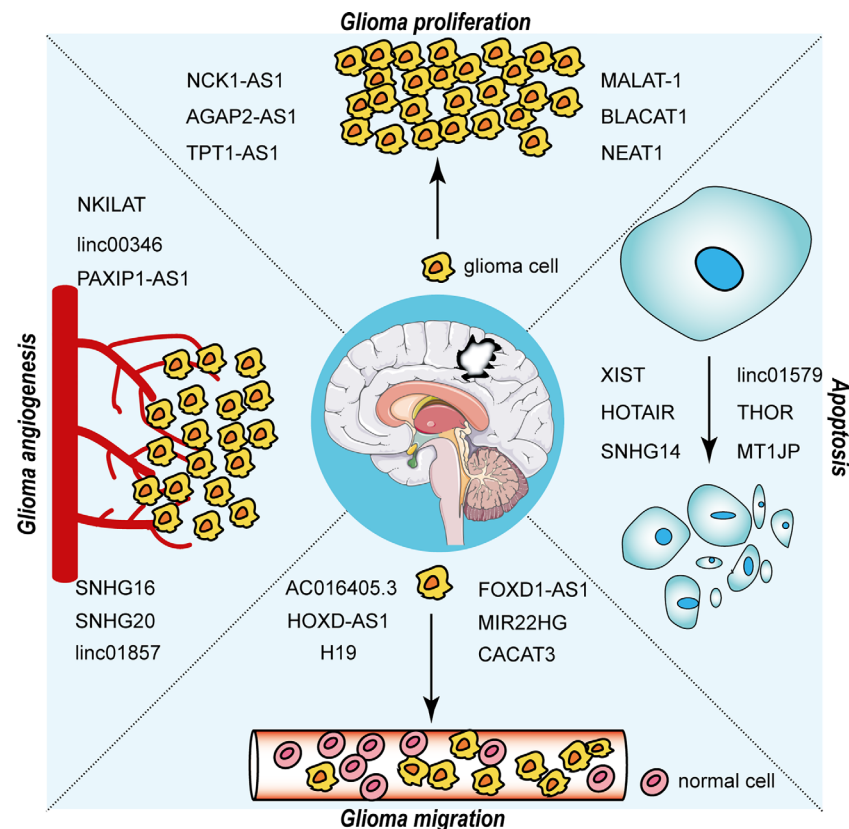
proliferation, migration, apoptosis and angiogenesis, by distinct mechanisms, including ceRNA, interaction with RBPs and regulation of mRNA. **Figure 2** portrays the lncRNAs associated with glioma proliferation, metastasis, apoptosis and angiogenesis.

## LNCRNAs AS DIAGNOSTIC AND PROGNOSTIC BIOMARKERS OF GLIOMAS

Medical diagnosis in the twenty-first century is gradually moving from clinical pathology to molecular pathology. With the development of bioinformatics, an increasing number of studies have identified lncRNAs as biomarkers for glioma diagnosis and prognosis by exploring RNA microarrays, and advances in microarray and high-throughput RNA-seq technologies have provided numerous valuable lncRNAs for the diagnosis and prognosis of gliomas.

The detection of serum HOTAIR levels can be employed for the clinical diagnosis of glioma, as reported by Tan et al. (49). These researchers also observed that the serum levels of HOTAIR were significantly higher in GBM patients than in controls, with a sensitivity of 86.1% and specificity of 87.5% (49).

This report first showed that HOTAIR can function as a novel diagnostic and prognostic peripheral biomarker of GBM. Lin et al. screened six lncRNAs associated with low-grade glioma prognosis by TCGA and GTEx RNA-seq databases. These researchers constructed a prognostic risk signature with 6 lncRNAs in LGG, and this research illustrated that AL031722.1 and LINC00844 decreased when the risk score was increased, while the expression of AL354740.1, FGD5-AS1, and NEAT1 increased (50). The team of Li et al. indicated that the expression of LINC01060 was upregulated in glioma and significantly related to tumor grade and poor clinical prognosis (51). Furthermore, Liu et al. revealed that the level of RMST was related to histological grade, and 95.6% of HGGs had higher RMST expression (52). The lncRNA HOTAIRM1 was identified as a prognostic factor for glioma because it can maintain the tumorigenicity of GSCs by regulating HOX gene expression (53). And, LINC00115 was shown to act as a key role in GSC self-renewal and tumorigenicity by Tang et al. (54). LINC00174 accelerated glycolysis and tumor progression by competitively binding with miR-152-3p in glioma, indicating this molecule might act as a molecular target for glioma diagnosis (55). LncRNA H19, which mediates the effect of curcumin in treating glioma accompanied by miR-675 and VDR, could act as a novel diagnostic biomarker (56). Li et al. showed that



**FIGURE 2 |** LncRNAs in the proliferation, migration, apoptosis and angiogenesis of glioma.

LINC00645 could promote EMT, which was indispensable in the invasion and migration of glioma cells involving TGF- $\beta$  by regulating the miR-205-3p-ZEB1 axis; thus, LINC00645 could be a prognostic indicator for glioma (57). A novel lncRNA-TOCN that targets the Smad2/PKC $\alpha$  signaling pathway to inhibit malignant progression of glioma was highlighted by Tang et al. and could serve as a prognostic indicator of GBM (58). The expression of multiple lncRNAs has been documented to correlate with the malignancy of gliomas and to be involved in their malignant progression, providing powerful theoretical evidence for their application as diagnostic and prognostic markers.

## LNCRNAs AS RELIABLE THERAPEUTIC TARGETS

### Treatment Strategies Involving LncRNAs as Regulators Modulating the BTB

The BTB parallels the blood-brain barrier (BBB) and is comprised of vascular endothelial cells, basement membrane, and glioma cells. This structure can seriously impede the entry of drugs into the tumor microenvironment, resulting in poor

drug efficacy and extremely unfavorable patient prognosis (59–61). Consequently, exploring lncRNAs that can regulate BTB permeability to promote chemotherapy and thus improve drug efficacy is one of the research directions for targeted glioma therapy. Li et al. showed that silencing HOTAIR could increase BTB permeability by eliminating miR-148b-3p, thereby further reducing the expression of glioma-microvascular endothelial cell tight junction (TJ)-related proteins by targeting USF1 (62). He et al. also indicated that MIAT regulated the expression of ZAK to promote the delivery efficiency of doxorubicin across the BTB (63). In addition, the IGF2BP2/FBXL19-AS1/ZNF765 axis could regulate the permeability of the BTB to improve the antitumor effect of doxorubicin (64). Lnc00462717 regulated BTB permeability by interacting with PTBP1 to restrain the miR-1865p/Occludin signaling pathway (65). Moreover, BTB permeability was shown to be augmented by silencing LINC00174 in glioma tissue (66). Overcoming the obstacle of the BTB to increase the local concentration of chemotherapeutic agents in glioma and then enhance therapeutic efficacy is a prospective strategy. As such, identifying appropriate targets has been a major concern. The formulation of individual drug delivery routes based on the corresponding targets is another strategy that can potentially enhance chemotherapeutic efficacy.

## Treatment Strategies Involving LncRNAs Overcoming TMZ Resistance

Temozolomide (TMZ) is an oral alkylating agent that passes through the BBB, adding methyl groups to the purines of DNA to cause DNA damage and apoptosis for therapeutic effects (67, 68). Conversely, this process is reversed by the DNA damage repair enzyme O<sup>6</sup>-methyl-guanine-DNA methyltransferase (MGMT), which restores the damage caused by TMZ, resulting in the resistance of glioma cells to TMZ (69). A novel lncRNA, lnc-TALC, was found to be highly expressed in TMZ-resistant GBM cells by Wu et al. lnc-TALC modulated the c-Met pathway by functioning as a ceRNA for miR-20b-3p, facilitating MGMT performance and in turn leading to TMZ resistance in GBM cells (70). Sun and colleagues revealed that the overexpression of miR-29c-3p could promote chemosensitivity to cisplatin, and CRNDE, which competitively binds with miR-29c-3p, plays a critical role in regulating the chemoresistance of medulloblastoma (71). In addition, tumorigenesis of glioma was attenuated with the downregulation of lncRNA-SNHG15 expression, and TMZ sensitivity was increased (72). Recently, a study reported that combining p50 and p53 with the proximal kB and p53 sites of the MALAT1 coding region, respectively, cooperatively downregulated MALAT1 expression, which in turn increased the chemosensitivity of GBM cells (73). The tumor microenvironment was remodeled with the secretion of oncogenic lncSBF2-AS1-enriched exosomes by GBM cells, resulting in tumor drug resistance (74). The lncRNA AC003092.1 inhibited miR-195, increasing the expression of tfpi-2, which promoted TMZ-induced apoptosis and thus made GBM cells more sensitive to TMZ (75). CASC2 has an essential function in the sensitivity of glioma to TMZ by upregulating PTEN expression through direct inhibition of miR-181a (76). High expression of SNHG12 in TMZ-resistant cells served as a molecular sponge for miR-129-5p to raise the levels of MAPK1 and E2F7 to enhance the sensitivity of GBM cells to TMZ. In contrast, knockdown of SNHG12 restored TMZ sensitivity (77). lncRNA SOX2OT activates the Wnt5a/ $\beta$ -catenin signaling pathway through upregulation of SOX2 expression, thereby inhibiting apoptosis, promoting cell proliferation, and resulting in resistance to TMZ (78). lncRNAs are not only linked to chemotherapy but also closely correlated with radioresistance. For instance, LINC-RA1 inhibited autophagy and enhanced radioresistance by inhibiting the H2Bub1/USP44 combination in glioma cells (79). In summary, lncRNA on the one hand can increase sensitivity of glioma to TMZ and on the other hand induce TMZ resistance of glioma. TMZ is currently the main chemotherapeutic agent for the treatment of glioma, however, glioma is prone to become resistance to it. Thus, it is feasible to target lncRNA to find drugs to overcome TMZ resistance in glioma.

## Treatment Strategy Involving LncRNAs Mediating Angiogenesis

Angiogenesis is a requirement for the growth and metastasis of gliomas, which are solid tumors. Additionally, extensive evidence

has demonstrated that the formation of novel blood vessels participates in the development and metabolic processes of tumors. Therefore, vasculogenic mimicry is considered a hallmark of malignant tumor development. Hence, antiangiogenic treatment is anticipated to be an additional efficacious strategy for glioma. Chen and colleagues demonstrated that overexpression of NKILAT was negatively correlated with survival time in glioma patients, and NKILAT augmented the Warburg effect and angiogenesis in glioma, suggesting that it may be a promising therapeutic strategy (80). In addition, Yang et al. elucidated the key role of the ANKHD1/LINC00346/ZNF655 feedback loop in regulating angiogenesis in glioma (81). Likewise, Wang et al. demonstrated that knockdown of USF1 suppressed angiogenesis in gliomas by stressing SNHG16/miR-212-3p and the LINC00667/miR-429 axis (82). Furthermore, overexpression of lncRNA PAXIP1-AS1 promoted glioma vasculogenic mimicry by recruiting the transcription factor EST to upregulate KIF4 expression (83). SNHG20 played a crucial role in the ZRANB2/SNHG20/FOXK1 axis to regulate vasculogenic mimicry of glioma (84). These studies provide compelling evidence that lncRNAs potentially act as therapeutic targets by regulating angiogenesis in gliomas.

In addition, lncRNAs that exert regulatory effects by binding to RBP also have the potential to become therapeutic targets. Lin28a elevated the expression and stability of SNHG14, while deletion of SNHG14 increased the expression of IRF6, which inhibited the transcription of PKM2 and GLUT1 and thus impaired glycolysis and proliferation of glioma cells and induced apoptosis. Therefore, considering the lin28a/SNHG14/IRF6 axis as a target provides novel insight for the treatment of glioma (85). Additionally, the TAF15/LINC00665/MTF1(YY2)/GTSE1 axis is crucial for regulating the malignant biological behaviors of glioma cells, which might help in the development of a novel therapeutic strategy for human glioma (86). The PABPC1-BDNF-AS-RAX2-DLG5 axis was shown to play the same role as the TAF15/LINC00665/MTF1(YY2)/GTSE1 axis in regulating the biological behavior of gliomas (87). lncRNA PDIA3P1 promoted glioma mesenchymal transition by competitively binding to miR-124-3p in a hypoxic environment to regulate RELA expression and activate the downstream NF- $\kappa$ B pathway. Consequently, the PDIA3P1-miR-124-3p-RELA axis is a possible target for glioma therapy (88, 89). SCHLAP1 forms a complex with HNRNPL to maintain the stability of ACTN4 and thus activates the NF- $\kappa$ B pathway to promote the growth of GBM cells (90). The identification of this complex provided a new perspective for the treatment of glioma. The UPF1-LINC00313-miR-342-3p/miR-485-5p-Zic4-SHCBP1 positive feedback loop was capable of modulating the biological behaviors of glioma cells, demonstrating that this loop is probably a potential therapeutic target (91). The combination of lncRNA and RBP forms a complex that regulates downstream target gene to contribute to TMZ resistance of glioma. This regulatory model provides a novel insight into the therapeutic strategy for glioma. Thus, targeting lncRNA-regulated angiogenesis, BTB permeability and TMZ resistance of glioma as novel strategies for the treatment of glioma shows potential.

## CONCLUSION

Over the previous decades, lncRNA studies have made major progress in the field of glioma research due to the rapid development of bioinformatics, and a series of lncRNAs have been found to act as indispensable factors in the occurrence and progression of glioma. LncRNAs act as ceRNAs in the cytoplasm to regulate glioma progression at the post-transcriptional level or to regulate gene expression through interactions with proteins in the nucleus. However, the mechanisms of most lncRNAs remain unclear. Therefore, the specific mechanisms of lncRNAs need to be further clarified. Glioma continues to be a major challenge to human health, and its advanced aggressiveness, chemoresistance and recurrence are the main factors contributing to the poor prognosis. Theoretically, there are numerous lncRNAs, such as HOTAIR, H19 and NEAT1, that can be applied as diagnostic and prognostic indicators of glioma. It is also possible that many lncRNAs can overcome TMZ resistance, modulate BTB permeability and control glioma angiogenesis, all of which are theoretically effective therapeutic strategies. Regrettably, no successful clinical use of lncRNAs has been achieved yet. In prospective research, lncRNA-centered gene regulatory networks should be constructed to elucidate the regulatory mechanisms of lncRNAs in tumor cells and then used as diagnostic, prognostic, and therapeutic indicators in clinical practice to improve the survival of glioma patients. One of the focuses of basic research is translation to the clinic to improve

the survival of patients. Translating basic research into clinical strategies is a long road that will require generations of researchers to eventually understand the full picture of the function of lncRNAs in glioma at both the scientific and clinical levels.

## AUTHOR CONTRIBUTIONS

JQ and XM wrote the draft and revised it. JC and CJ designed the tables. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Epidemiology and Survival of Patients With Brainstem Gliomas: A Population-Based Study Using the SEER Database

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**Background:** Brainstem glioma is a primary glial tumor that arises from the midbrain, pons, and medulla. The objective of this study was to determine the population-based epidemiology, incidence, and outcomes of brainstem gliomas.

**Methods:** The data pertaining to patients with brainstem gliomas diagnosed between 2004 and 2016 were extracted from the SEER database. Descriptive analyses were conducted to evaluate the distribution and tumor-related characteristics of patients with brainstem gliomas. The possible prognostic indicators were analyzed by Kaplan-Meier curves and a Cox proportional hazards model.

**Results:** The age-adjusted incidence rate was 0.311 cases per 100,000 person-years between 2004 and 2016. A total of 3387 cases of brainstem gliomas were included in our study. Most of the patients were white and diagnosed at 5–9 years of age. The most common diagnosis confirmed by histological review was ependymoma/anaplastic ependymoma. The median survival time was 24 months. Patients with tumors less than 3 cm in size had a better prognosis. Surgery was effective at improving overall survival. There was no evidence that radiotherapy and chemotherapy improved overall survival.

**Conclusion:** Brainstem gliomas can be diagnosed at any age. Ependymoma/anaplastic ependymoma is the most common pathological diagnosis. The prognosis is poor, and timely diagnosis and surgery are effective at improving the prognosis. We suggest that more attention should be given to the treatment of patients with brainstem gliomas.

**Keywords:** brainstem glioma, epidemiology, survival, SEER Program, CNS disease

## INTRODUCTION

Gliomas are primary brain tumors that are thought to arise from neuroglial stem or progenitor cells (1). Gliomas are the most common malignant primary brain tumor, and 4.3% of gliomas are localized at the brainstem (2). Brainstem glioma is a primary glial tumor that arises from the midbrain, pons, and medulla. In most instances, the term refers to a highly aggressive tumor of the pons (3). Diffuse intrinsic pontine glioma has been reported to account for ~75% of brain stem



tumors in children (2). Because of poor survival, brainstem glioma has been a main research focus for decades (4).

The Surveillance, Epidemiology, and End Results (SEER) program of the National Cancer Institute represents approximately 35% of the US population (based on the 2000 census) (5). Our goal is to use SEER data to analyze the epidemiology and survival of patients with brainstem gliomas in the United States.

## METHODS

### Data Extraction and Incidence Rates

The data from SEER are available to the public for research purposes. Therefore, ethics committee approval and informed consent were not necessary to perform the analyses. Patients with a diagnosis of primary brainstem gliomas were included. The term glioma was defined by setting the variable “Histology recode - broad groupings” as “9380-9489: gliomas”. Brainstem gliomas were defined by setting the variable “Primary Site - labeled” as “C71.7-Brainstem”. The research period was set from 2004 to 2016. Age-adjusted incidence rates (directly standardized to the 2000 US standard population) between 2004 and 2016 were retrieved from the SEER 18 database (November 2019 submission) (6). The detailed patient data were obtained from SEER 18 Regs Custom Data (November 2018 submission) (7). All the data were obtained by using the SEER\*Stat 8.3.8 program.

### Variables and Population Analysis

The demographic and clinical features included age at diagnosis (0-19 years,  $\geq 20$  years), sex (Male, Female), race (White, Black, Asian or Pacific Islander, American Indian/Alaska Native, Unknown), Purchased/Referred Care Delivery Area (PRCDA) Region (Alaska, eastern region, north plains, Pacific coast, southwestern region), tumor size ( $\leq 3$  cm,  $> 3$  cm and Unknown), diagnostic confirmation (positive microscopic confirm, others), behavior code (benign, borderline malignancy, malignant) according to the International Classification of Diseases for Oncology 3 (ICD-O-3), surgery (Yes, None/Unknown), radiation therapy (Yes, None/Unknown), chemotherapy (Yes, None/Unknown), survival months and vital status. The pathology types (according to the code of “Histology recode - Brain groupings”) of the patients diagnosed with positive microscopic confirmation were analyzed. Descriptive analyses were conducted to evaluate the distribution and tumor-related characteristics of patients with brainstem gliomas. Bar graphs and pie charts were also used to further describe the distribution of patients.

### Survival Analysis

The Kaplan-Meier method was used to estimate overall survival (OS) at 1, 3, 5, and 10 years. Survival time was defined as the time from diagnosis to death from any cause. We also used this method to estimate the OS in different groups. The differences between the curves were analyzed by the log-rank test. Univariate and multivariate Cox proportional hazard models were performed to estimate the hazard ratios (HRs) and 95%

confidence intervals (CIs) to analyze the independent prognostic factors associated with OS in patients with brainstem gliomas, and statistical significance was defined as  $p < 0.05$ . All the data were analyzed by IBM SPSS Statistics 25 software (IBM Corporation, Armonk, New York, USA).

## RESULTS

### Population Analysis

The age-adjusted incidence rate was 0.311 cases per 100,000 person-years between 2004 and 2016. A total of 3387 cases of brainstem gliomas were indexed between 2004 and 2016. The demographic and clinical characteristics of the patients are shown in **Table 1**. There were 1535 female patients (45.3%) and 1852 male patients (54.7%). The median age was 18 years (range 0 to 103 years). The majority of patients were diagnosed when they were between 5 and 9 years old, and the distribution of patient age at diagnosis is shown in a histogram (**Figure 1**). Children and adolescents (0-19 years old) accounted for 34.3% of all patients. White patients accounted for 80.2% of all patients (**Figure 2**). Most of the patients were from the Pacific coast ( $n=1740$ , 51.4%) and eastern region ( $n=1187$ , 35.0%). According to ICD-O-3, most of the tumors were malignant ( $n=3040$ , 89.8%). Among this cohort, 2023 cases were diagnosed with positive microscopic confirmation. We analyzed pathology type among these patients, and the results are shown in a pie chart (**Figure 3**). We found that ependymoma/anaplastic ependymoma ( $n=438$ , 21.7%) and pilocytic astrocytoma ( $n=377$ , 18.6%) were the most common pathology types. A total of 37.2% of the tumors were less than 3 cm in size, and 33.5% of the tumors were larger than 3 cm. Radiation was the first choice of therapy for patients with brainstem gliomas. Surgery was performed in 1479 (43.7%) cases, radiation therapy was performed in 1746 (51.6%) cases, and chemotherapy was performed in 1166 (34.4%) cases. The median survival time was 24 months (range 0 to 155 months). At the time of data collection, 1931 (57.0%) patients were alive, and 1456 (43.0%) were deceased.

### Survival Analysis

The OS rates at 1, 3, 5 and 10 years after diagnosis were 70.8%, 56.3%, 53.3% and 48.8%, respectively. A Kaplan-Meier curve was created to show the OS for the full cohort (**Figure 4A**). The Kaplan-Meier log-rank test indicated that the variables age at diagnosis (**Figure 4B**), race (**Figure 4C**), tumor size (**Figure 4D**), behavior (**Figure 4E**), surgery (**Figure 4F**), radiation (**Figure 4G**) and chemotherapy (**Figure 4H**) were possibly related to OS. The results of multivariate Cox proportional hazard regression analysis showed that race, age and sex were not independent prognostic factors. Patients with tumors less than 3 cm in size or benign or borderline tumors had a better prognosis. The results also showed that surgery was effective for improving prognosis, but radiation and chemotherapy did not help patients obtain a better prognosis. The results generated by the log-rank test and univariate and multivariate Cox proportional hazard models are listed in **Table 2**.



**TABLE 1 |** Demographic and clinical characteristics of patients with brainstem gliomas.

Variables	Number	%
<b>Sex</b>		
Female	1535	45.3
Male	1852	54.7
<b>Age at diagnosis (years)</b>		
Mean±SD	26.86±23.244	
Median	18.00	
Range	0-103	
0-19	1161	34.3
≥20	2226	65.7
<b>Race</b>		
White	2715	80.2
Others	672	19.8
<b>PRCDA Region</b>		
Alaska	6	0.2
East	1187	35.0
North plains	258	7.6
Pacific coast	1740	51.4
Southwest	196	5.8
<b>Tumor Size</b>		
≤3cm	1259	37.2
>3cm	1134	33.5
Unknown	994	29.3
<b>Diagnostic confirmation</b>		
Positive microscopic confirm	2023	59.7
Others	1364	40.3
<b>Behavior code</b>		
Benign	113	3.3
Borderline malignancy	234	6.9
Malignant	3040	89.8
<b>Surgery</b>		
Yes	1479	43.7
None/Unknown	1908	56.3
<b>Radiation</b>		
Yes	1746	51.6
None/Unknown	1641	48.4
<b>Chemotherapy</b>		
Yes	1166	34.4
None/Unknown	2221	65.6
<b>Survival months</b>		
Mean±SD	44.22±44.404	
Median	24.00	
Range	0-155	
<b>Vital status</b>		
Alive	1931	57.0
Dead	1456	43.0

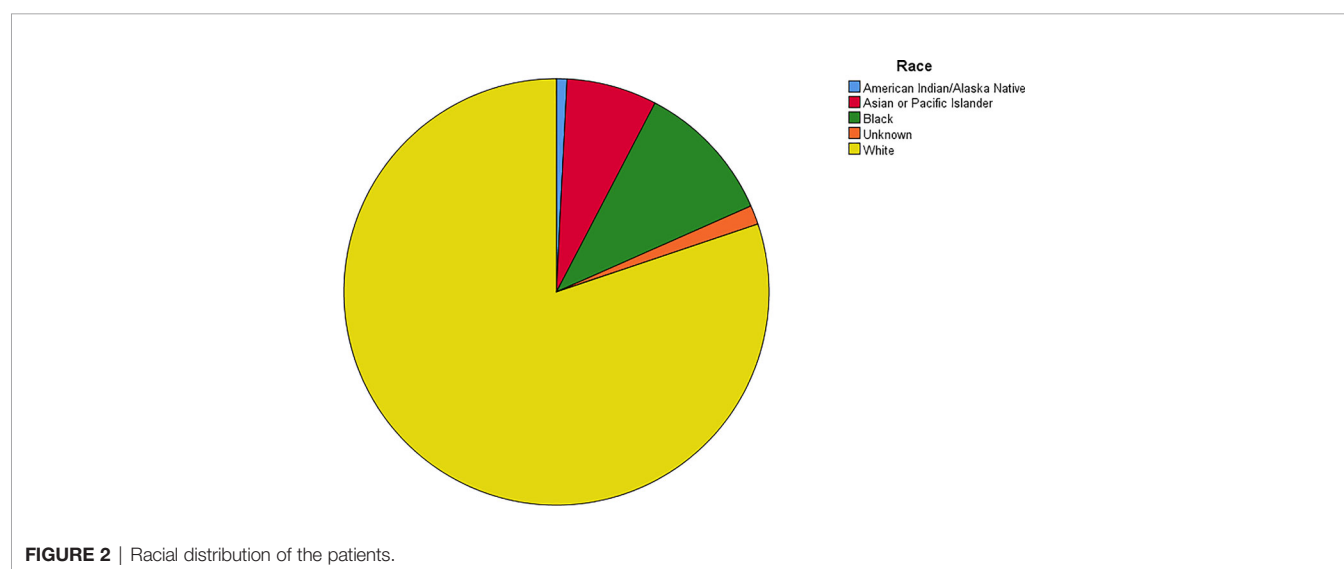
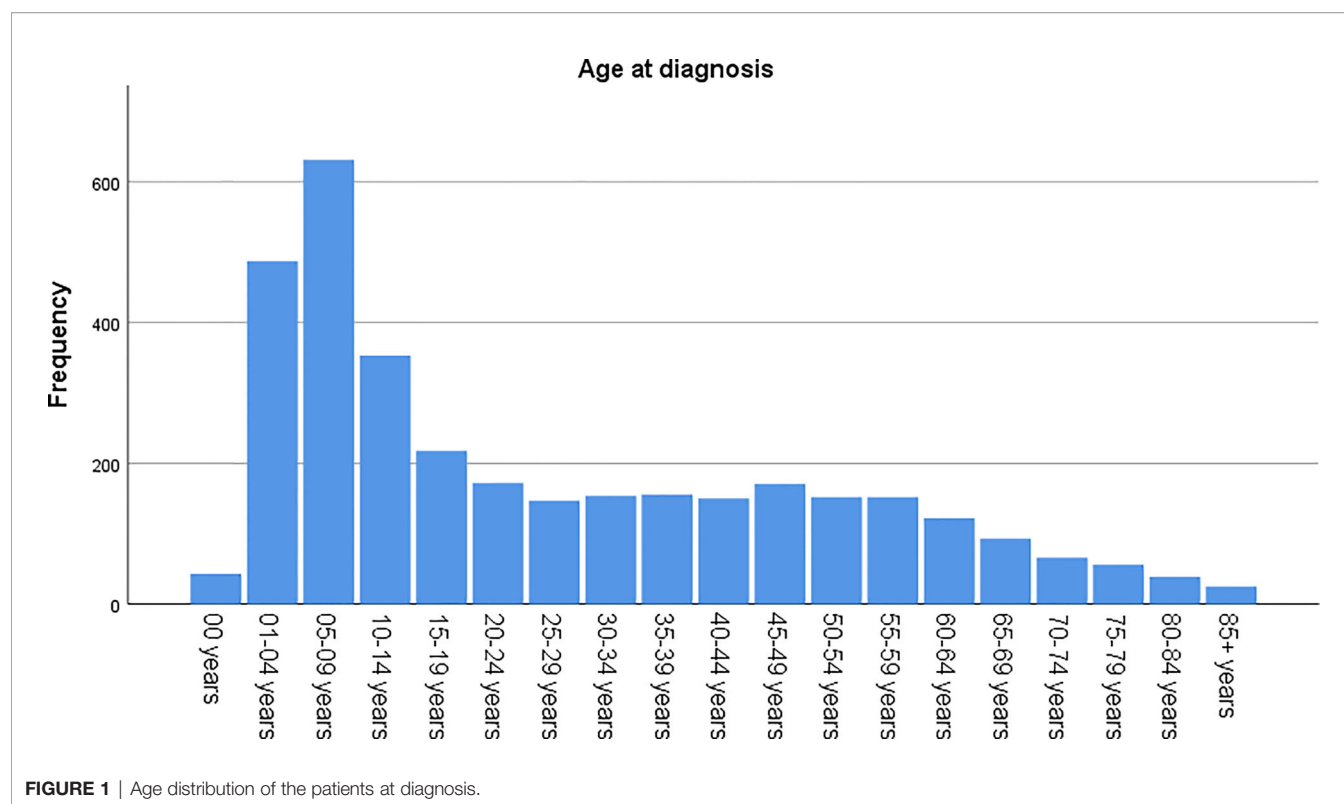
## DISCUSSION

Brainstem glioma is a primary glial tumor that arises within the brainstem and is believed to be a heterogeneous group of gliomas. Some authors divided brainstem gliomas into 2 categories. Twenty percent are considered to be focal low-grade lesions with good prognosis, and the remaining 80% of tumors arise in and occupy the majority of the pons and are diffuse in nature and associated with poor prognosis (8–10). We could not define whether the tumor originated from the midbrain, medulla, or pons, and we also could not define whether the tumor was focal or diffuse based on the data available in the SEER database. Some authors have conducted cohort studies with data on high-grade glioma (11, 12) or low-

grade glioma (13) based on the SEER database. Brainstem glioma is a relative rare lesion, and previous reports have included limited numbers of cases. We believe that this limitation might prevent us from obtaining a better understanding of the general characteristics of the patients diagnosed with brainstem glioma. The SEER program represents approximately 35% of the US population, and the data were collected from most parts of the United States (5). To better understand brainstem glioma, we conducted this large-scale cohort study including 3387 cases. To the best of our knowledge, this is the largest brainstem glioma cohort to date. We believe our report can explain the epidemiology and survival of patients with brainstem gliomas in the United States to some extent.

First, we wanted to conduct an analysis of cancer-specific survival. However, because the brainstem glioma was not the first malignant tumor in some patients, cancer-specific survival was not applicable for approximately 10% of the cohort. Progression-free survival cannot be adopted because we cannot obtain data about progression from the SEER database. To include all of the data and make the analysis accurate, we chose to analyze OS. The World Health Organization (WHO) grade classification is widely used by neuro-oncology doctors, but half of the tumors were not clearly classified according to WHO grade. Therefore, we chose the behavior code according to ICD-O-3; in our cohort, more than 80% of the tumors were malignant, which is in agreement with a previous study (3).

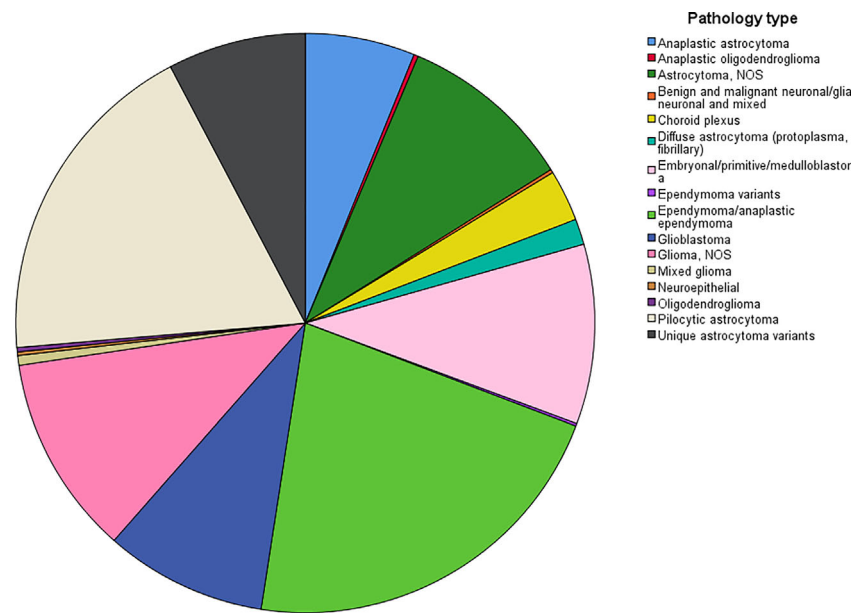
According to the report from The Central Brain Tumor Registry of the United States (14), in children and adolescents, brainstem tumors account for 10.8% of all primary central nervous system tumors. Brainstem gliomas were also reported to account for up to 20% or more of primary brain tumors (15). In our cohort, the highest incidence was found in individuals between 5 and 9 years old, and the median age at diagnosis was 18 years old. However, we found that brainstem gliomas could be diagnosed at every age. Children and adolescents (0-19 years old) accounted for 34.3% of all patients, and more than half of the patients were adults. The predominance of white patients was also very significant in our study, which is consistent with the findings of other cohort studies of gliomas based on the SEER program (13, 16). Males and females are almost equally affected. We have also analyzed the pathological classification of the tumors in these patients, with the intention of providing information that could be useful when biopsy or surgery cannot be performed but a treatment needs to be selected. According to a previous report, diffuse intrinsic tumors account for approximately 80% of all brainstem gliomas. These tumors are generally high-grade anaplastic astrocytoma (WHO grade 3), glioblastoma multiforme (WHO grade 4), or occasionally well-differentiated diffuse astrocytoma (WHO grade 2) (17). However, in our cohort, we found that the most common pathology type was ependymoma/anaplastic ependymoma and pilocytic astrocytoma. Glioblastoma accounted for only 9% of all cases with positive histology. Because the anatomical location of the tumor precludes surgery, radiation is the standard therapy for patients with diffuse intrinsic tumors (17), and tumor resection or biopsy is



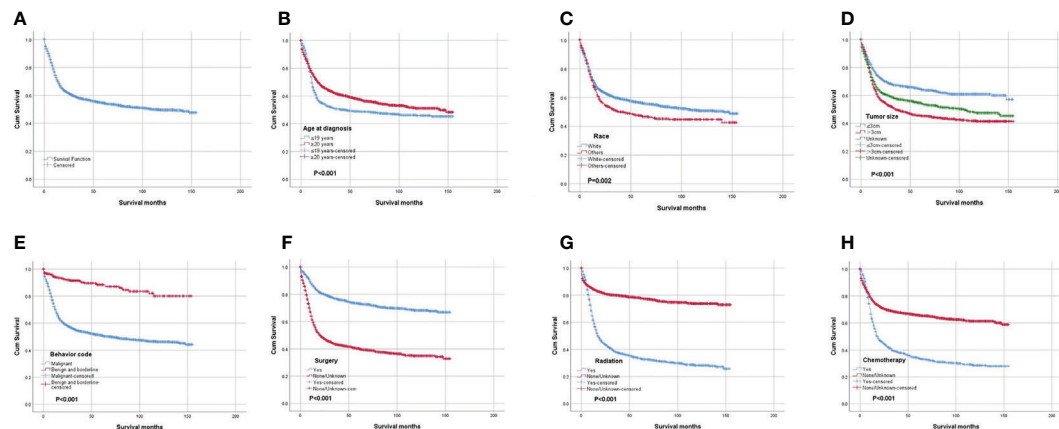
not recommended for some patients. In our cohort, 59.7% of the cases were diagnosed with a positive microscopic method. There could be some bias in our research of the pathological characteristics because the data were not fully included. We believe further investigation should be conducted to clarify the findings, and further research about molecular pathology is also needed.

In our study, we found that race, age and sex were not independent prognostic factors. However, patients with tumors less than 3 cm in size had a better prognosis than patients with

tumors larger than 3 cm. We believe that timely diagnosis and treatment are essential for patients. Focal radiation therapy is the current standard of care for children with diffuse intrinsic pontine glioma (18). We also found that radiation therapy was chosen more frequently than surgery and chemotherapy. However, the results of the survival analysis showed that radiation and chemotherapy did not improve overall survival. The systematic review conducted by Xu et al. (19) also could not make definitive conclusions regarding whether radiotherapy can help patients obtain better survival. We believe that further high-



**FIGURE 3** | The distribution of the patients with different pathological types.



**FIGURE 4** | Kaplan-Meier survival analysis: **(A)** The overall survival for the whole cohort. The survival analysis of patients classified based on **(B)** age at diagnosis, **(C)** race, **(D)** tumor size, **(E)** behavior, **(F)** surgery, **(G)** radiation and **(H)** chemotherapy.

quality studies are needed to establish the role of radiotherapy in the management of brainstem gliomas. Brainstem glioma is believed to be composed of a heterogeneous group of gliomas, and individualized treatment is needed based on pathology. Our results also showed that surgery can improve prognosis. The extent of surgery in some cases was difficult to clarify based on the code provided. In particular, biopsy and ventricular peritoneal shunts are widely used for patients with brainstem gliomas. We did not analyze the impact of surgery extent on survival. Based on the results, the prognosis of brainstem glioma is poor, and the median survival time is 24 months. Different attempts have been made to treat patients with brainstem

gliomas (4, 18, 20), and we hope that more effective treatments can be discovered in the future.

There are several limitations of our analysis that must be considered. The availability of some important information was limited, such as information on the tumor location (midbrain, pons, and medulla), focality of diffuse glioma, molecular pathology, more specific treatment, tumor progression and so on. The specific location and molecular features are very important factors affecting progression, and molecular pathological investigations have been widely implemented in clinical practice. We hope that we can obtain more detailed information from the SEER database in the future. Although it would necessitate the inclusion of a

**TABLE 2 |** Results of the log-rank test and univariate and multivariate Cox regression analysis.

Variable	Log-Rank Test	Univariate Analysis		Multivariate Analysis	
	P value	HR (95%CI)	P value	HR (95%CI)	P value
<b>Sex</b>	0.270				
Female		Reference		Reference	
Male		0.944 (0.851-1.047)	0.275	0.998 (0.899-1.108)	0.973
<b>Age at diagnosis (years)</b>	<0.001				
≤19		Reference		Reference	
≥20		0.802 (0.721-0.892)	<0.001	0.908 (0.812-1.016)	0.092
<b>Race</b>	0.002				
White		Reference		Reference	
Others/Unknown		1.210 (1.069-1.370)	0.003	1.003 (0.885-1.137)	0.959
<b>Tumor Size</b>	<0.001				
≤3cm		Reference		Reference	
>3cm		1.662 (1.462-1.889)	<0.001	1.240 (1.079-1.424)	0.002
Unknown		1.408 (1.227-1.616)	<0.001	1.159 (1.008-1.332)	0.038
<b>Behavior</b>	<0.001				
Malignant		Reference		Reference	
Benigan and Borderline		0.212 (0.155-0.290)	<0.001	0.507 (0.366-0.703)	<0.001
<b>Surgery</b>	<0.001				
None/Unknown		Reference		Reference	
Yes		0.337 (0.299-0.380)	<0.001	0.337 (0.298-0.381)	<0.001
<b>Radiation</b>	<0.001				
None/Unknown		Reference		Reference	
Yes		3.651 (3.235-4.121)	<0.001	2.832 (2.465-3.254)	<0.001
<b>Chemotherapy</b>	<0.001				
None/Unknown		Reference		Reference	
Yes		2.188 (1.972-2.428)	0.001	1.234 (1.100-1.384)	<0.001

substantial amount of information, it would assist in the realization of a better understanding of primary CNS tumors.

## CONCLUSION

Brainstem gliomas can be diagnosed at every age. Ependymoma/anaplastic ependymoma is the most common pathological diagnosis. The predominance of white patients was significant. The prognosis was poor, and the median survival time was 24 months. Timely diagnosis and surgery are effective in improving the prognosis, and individualized treatment is essential for patients. We suggest that more attention should be paid to the treatment of patients with brainstem gliomas.

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## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

YW and GZ: Conceptualization, methodology, and reviewing and editing. YW: Data curation, software, and validation. HL, XQ, LZ, and YW: Original draft preparation, and reviewing and editing. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Development of a Prognostic Five-Gene Signature for Diffuse Lower-Grade Glioma Patients

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**Background:** Diffuse lower-grade gliomas (LGGs) are infiltrative and heterogeneous neoplasms. Gene signature including multiple protein-coding genes (PCGs) is widely used as a tumor marker. This study aimed to construct a multi-PCG signature to predict survival for LGG patients.

**Methods:** LGG data including PCG expression profiles and clinical information were downloaded from The Cancer Genome Atlas (TCGA) and the Chinese Glioma Genome Atlas (CGGA). Survival analysis, receiver operating characteristic (ROC) analysis, and random survival forest algorithm (RSFVH) were used to identify the prognostic PCG signature.

**Results:** From the training ( $n = 524$ ) and test ( $n = 431$ ) datasets, a five-PCG signature which can classify LGG patients into low- or high-risk group with a significantly different overall survival (log rank  $P < 0.001$ ) was screened out and validated. In terms of prognosis predictive performance, the five-PCG signature is stronger than other clinical variables and IDH mutation status. Moreover, the five-PCG signature could further divide radiotherapy patients into two different risk groups. GO and KEGG analysis found that PCGs in the prognostic five-PCG signature were mainly enriched in cell cycle, apoptosis, DNA replication pathways.

**Conclusions:** The new five-PCG signature is a reliable prognostic marker for LGG patients and has a good prospect in clinical application.

**Keywords:** lower-grade glioma, signature, prognostic biomarker, survival, gene expression

## INTRODUCTION

Glioma is the most common primary CNS tumor and was classified into grades I–IV according to histopathological characteristics. Glioblastoma (WHO grade IV glioma) accounts for 70–75% of all diagnosed diffuse gliomas, with a median overall survival of 14–17 months (1). Diffuse low-grade (WHO grade II) and intermediate-grade (WHO grade III) gliomas are considered lower-grade gliomas (LGGs), and their clinical behavior is highly variable, with a prognosis of 1–15 years (2). Overall, the prognosis of glioma patients is not satisfactory. For LGGs, the great prognostic variance among patients subjected

to the same therapeutic regimen is the highlighted clinical problem. Thus, identification of patients with bad survival is very important for instructing subsequent treatment.

Glioma is a fatal tumor that derives from glial cell and grows in the central nervous system, including diffuse gliomas and nondiffuse gliomas (3). Diffuse gliomas are the most frequently occurring intracranial malignant tumors, encompassing various histologic types (astrocytic or oligodendroglial) and malignancy grades [World Health Organization (WHO) grades II, III, and IV] tumors. Astrocytomas and oligodendrogliomas in the low grade (WHO II) and intermediate grade (WHO III) are incorporated into diffuse lower-grade glioma (LGG) and perform better than IV grade glioblastoma in both malignancy and prognosis. However, it is difficult to predict the clinical outcome of LGG patients because LGG are a highly heterogeneous group of tumors. Firstly, there is a difference in the speed of tumor progression within LGG. Some are relatively inert, while others quickly progress to high-grade glioma or glioblastoma. Secondly, therapeutic sensitivity varies in LGG patients. Some people have effective treatments, while others have poor treatment results. Finally, LGG patients differ greatly in the prognosis, ranging from 1 to 15 years (2). Due to the limitations of histologic classification of LGG, finding molecular markers that can accurately predict prognosis and treatment response has become an urgent task (4).

In recent years, significant progress has been made in the study of molecular pathology of gliomas, and a series of molecular markers have been discovered that are helpful for clinical diagnosis, prognostic judgment, and treatment guidance, such as IDH1/2 gene mutation, chromosome 1p/19q co-deletion, and MGMT promoter methylation (5). Especially, the revised 2016 WHO classification of CNS tumors made fundamental changes and classified diffuse gliomas based on IDH mutation and 1p/19q co-deletion status. This innovative measure highlights the important role of novel and reliable gene biomarkers in the diagnosis and prognosis of gliomas.

With the development of next-generation sequencing technology, a large amount of high-throughput sequencing data and a variety of bioinformatics methods have prompted researchers to further understand tumorigenesis and find prognostic markers. Hu et al. (6) selected a prognostic 35-gene signature from 374 glioma patients carrying the 1p/19q co-deletion. Wu constructed a six-gene signature that could classify IDH-mutant GBM patients into high or low risk of poor outcome using 33 samples from the Chinese Glioma Genome Atlas RNA-sequencing data and 21 cases from Chinese Glioma Genome Atlas microarray data (7). Deng found a four-gene immune prognostic signature for predicting prognosis in LGGs through analyzing 511 LGG samples from the TCGA database and 172 LGG samples from the CGGA dataset (8). Therefore, gene signature has become the research focus of glioma prognostic markers.

In the present study, the protein-coding gene (PCG) expression data from a total of 955 LGG patients were collected from the Cancer Genome Atlas (TCGA) database and the Chinese Glioma Genome Atlas (CGGA). We aimed to mine the large queue of gene expression data and clinical information to identify a prognostic PCG signature and explore its significance of treatment guidance.

## MATERIALS AND METHODS

### Data Collection of Diffuse LGG Patients

The clinical information and mRNA expression data of LGG patients were obtained from the TCGA database (<http://cancergenome.nih.gov/>; <https://xenabrowser.net/datapages/>). Another independent dataset used as validation or test dataset was downloaded from the CGGA database (<http://www.cgga.org.cn/>). LGG cases with clinical survival information including survival status and survival time were selected for building the prognostic model. Clinical details of LGG patients in the training and test datasets are shown in **Supplementary Table 1**. Genes with missing expression values in >20% samples were removed in subsequent analysis (9).

**TABLE 1 |** Relationship of the five-gene signature with features in the two groups with LGG.

Feature	Training set		P	Test set		P
	Low <sup>#</sup>	High <sup>#</sup>		Low <sup>#</sup>	High <sup>#</sup>	
<b>Age (years)</b>			0.02			0.99
≤40	144	117		111	110	
>40	118	145		105	105	
<b>Gender</b>			0.99			0.88
Female	119	118		98	95	
Male	143	144		118	120	
<b>Grade</b>			<0.001			<0.001
G2	169	88		111	69	
G3	92	174		105	146	
Unknown	1	0		0	0	
<b>IDH mutation status</b>			<0.001			<0.001
Mutant	69	22		183	114	
Wild type	9	25		13	83	
Unknown	184	215		20	18	
<b>Radiotherapy</b>			<0.001			0.31
No	119	54		47	39	
Yes	113	171		157	157	
Unknown	30	37		12	19	
<b>Chemotherapy</b>						0.04
No				74	50	
Yes				124	141	
Unknown				18	24	
<b>1p19q co-deletion status</b>						<0.001
Co-deletion				99	29	
Non-co-deletion				98	167	
Unknown				19	19	

<sup>#</sup>The median risk score was used to classify patients into low- and high risk groups.

**Abbreviations:** TCGA, The Cancer Genome Atlas; CGGA, the Chinese Glioma Genome Atlas; ROC, receiver operating characteristic; Kaplan–Meier, KM; AUC, area under the ROC curve; GO, Gene ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; CI, confidence interval; OS, overall survival.

## The Process of Developing the Prognostic Signatures in the Training Dataset

Using Kaplan–Meier (KM) and receiver operating characteristic (ROC) analysis, we identified the PCGs significantly associated with patients' OS with AUC > 0.6 from the TCGA group. Then we reduced the number of the PCGs by the random

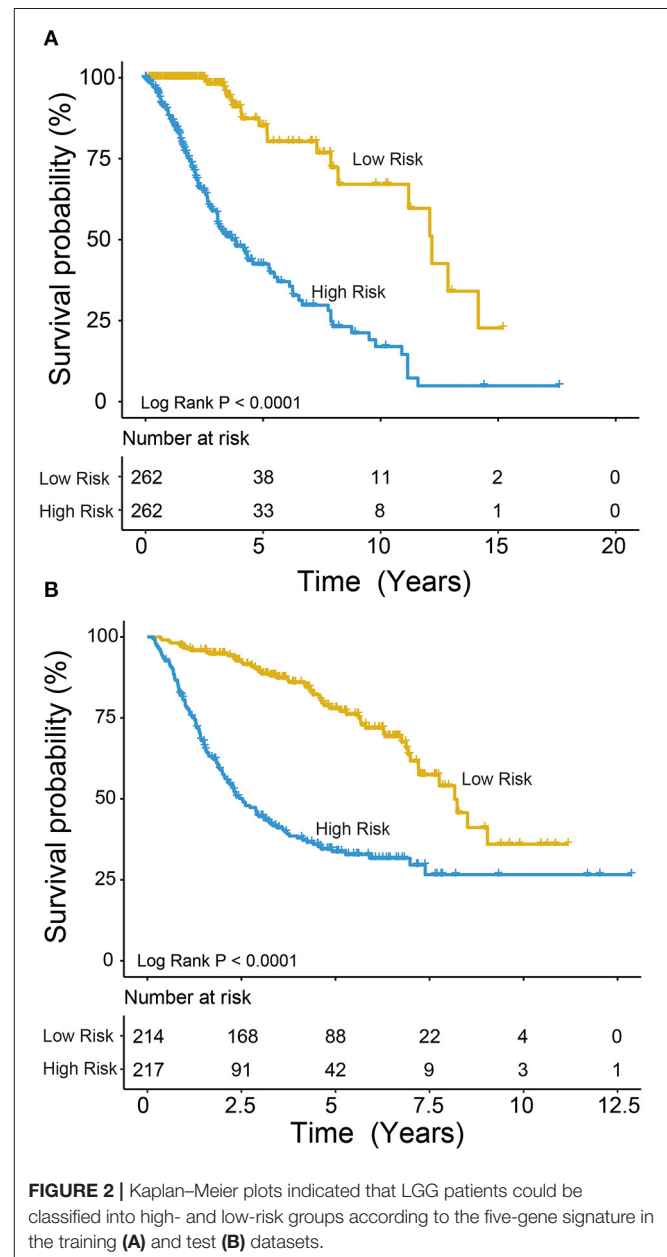
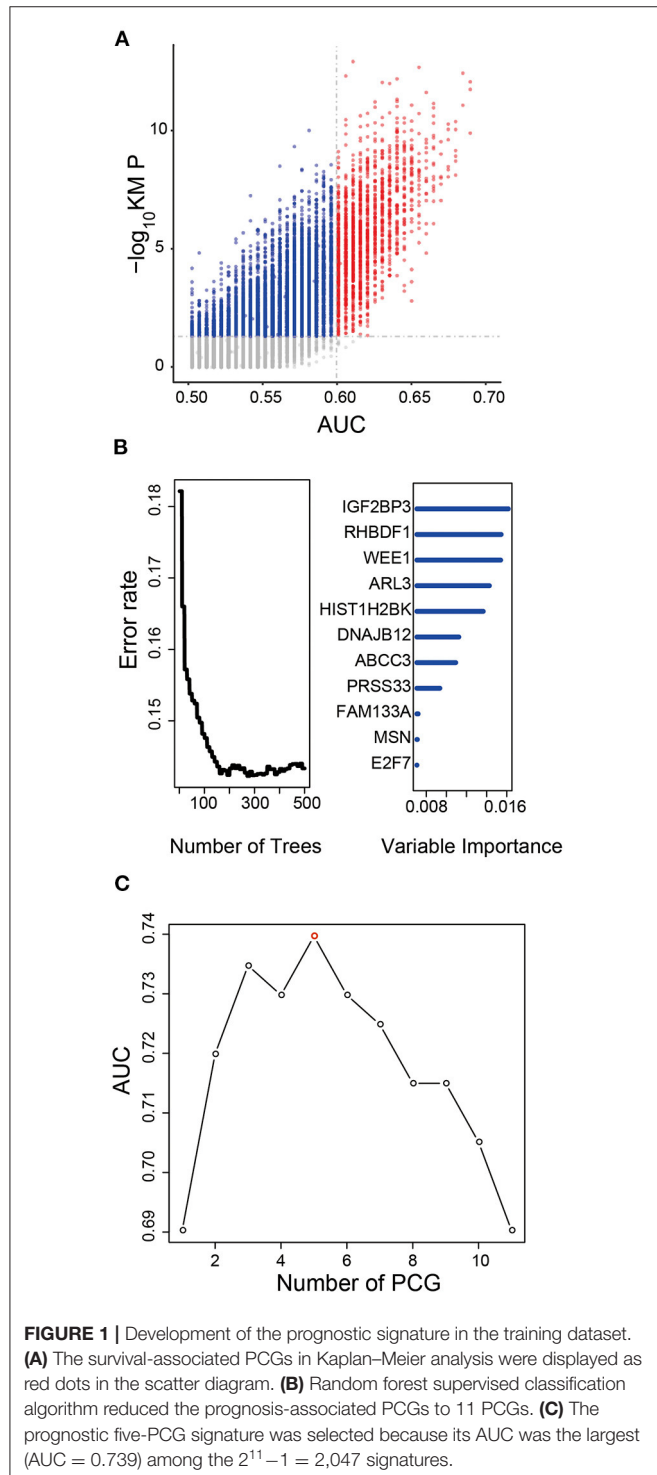
survival forest algorithm (RSFVH). Further, prognostic models were constructed as follows:

$$\text{Riskscore} = \sum_{i=1}^N (\text{Expression}_i \times \text{coefficient}_i)$$

where  $N$  is the number of PCG, Expression is the PCG expression value, and coefficient is the PCG expression in Cox regression analysis. The final prognostic PCG signature was screened out with the largest AUC value in all the constructed models (10).

## Statistical and Bioinformatics Analysis

Kaplan–Meier analysis was used to assess the two survival risk groups separated by the median risk score. Cox regression





analysis was performed to explore the independence of the signature. ROC and TimeROC were used to analyze survival prediction performance. Function prediction of prognostic PCGs was analyzed by clusterProfiler (11). R program (www.r-project.org) with R packages including pROC, TimeROC, randomForestSRC, and survival was used to perform the above analyses.

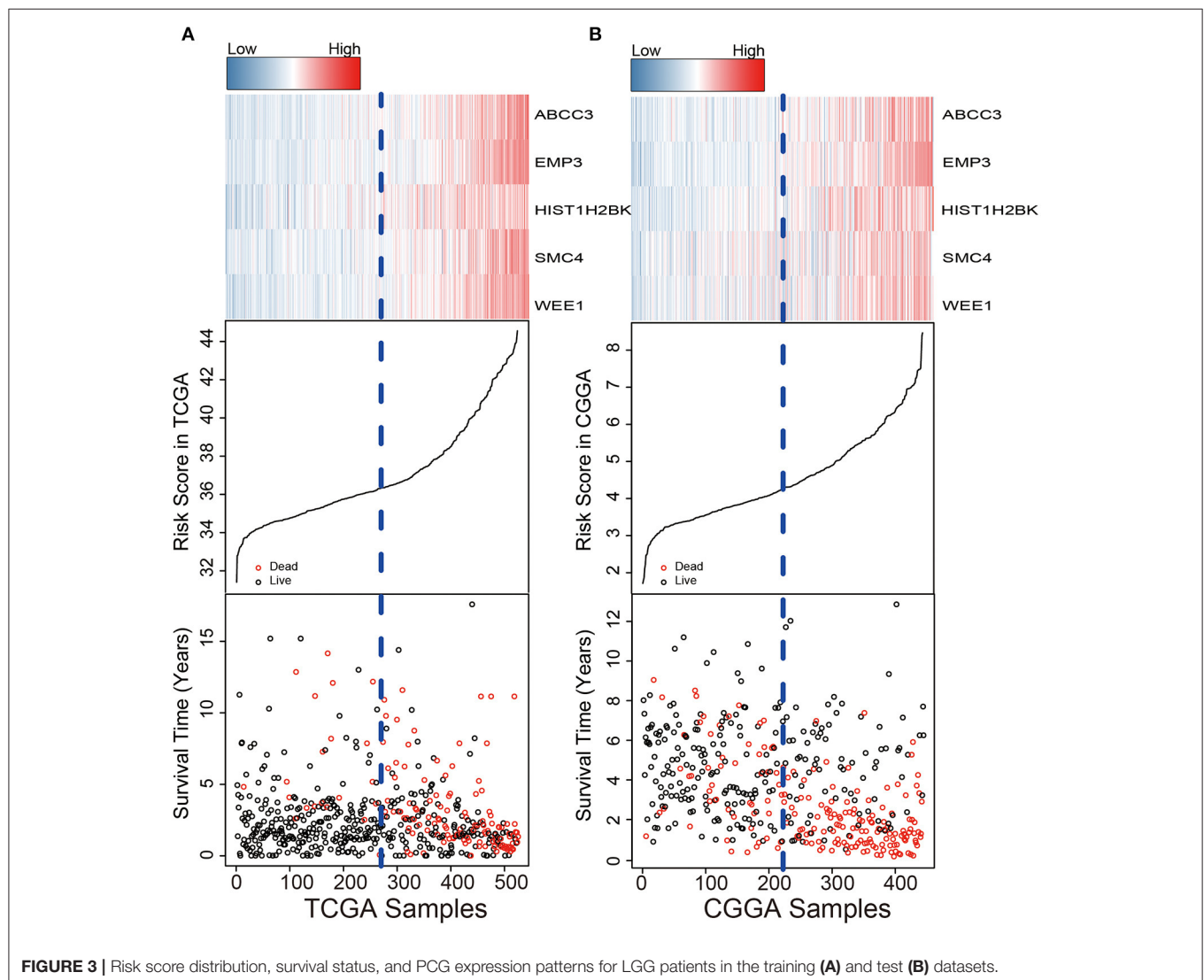
## RESULTS

### The Process of Developing the Prognostic Signatures in the Training Dataset

All 955 patients diagnosed with LGG were collected from the TCGA ( $n = 524$ ) and CGGA ( $n = 431$ ) datasets, and a total of 16,246 expressed PCGs were identified. From **Table 1**, we found that the median age of the enrolled patients was 40 years (11–87 years) and that there were more male patients than female patients, indicating that LGG is more likely to

occur in adult males. When focusing on the survival status and survival time of these patients, we found that more than one-third of patients (326 of 955) had died and the median survival time was only 2.11 years (0.2–14.15 years). In addition, we also obtained IDH mutation status, 1p19q co-deletion, radiotherapy, and chemotherapy information for further analysis.

After Kaplan–Meier and ROC analysis in the TCGA dataset, a total of 1,702 PCGs were discovered (red dots in **Figure 1A**), which were significantly associated with OS and had a good ability to predict survival (KM  $P < 0.05$  and AUC  $> 0.6$ , **Supplementary Table 2**). Further, we screened out 11 prognostic PCGs by RSFVH analysis based on importance scores (**Figure 1B**). Then, we brought the prognostic PCGs into the risk prediction model and got  $2^{11}-1 = 2,047$  possible signatures in the training dataset. ROC analyses were performed in all the 2,047 signatures to find out the signature with the strongest predictive ability (**Supplementary Table 3**). The final signature including five PCGs (ABCC3, SMC4,



EMP3, WEE1, and HIST1H2BK) related to LGG prognosis significantly (**Supplementary Figure 1A**) was screened out with the maximum AUC (AUCsignature = 0.739; **Figure 1C**). The selected risk model is as follows: risk score =  $(0.28 \times \text{expression value of ABCC3}) + (0.66 \times \text{expression value of SMC4}) + (0.44 \times \text{expression value of EMP3}) + (0.61 \times \text{expression value of WEE1}) + (0.45 \times \text{expression value of HIST1H2BK})$ . In addition, the survival curves with univariable Cox hazard ratio for each gene in the signature in the CGGA group are also shown in **Supplementary Figure 1B**. The five genes, significantly associated with LGG prognosis, were also observed in the CGGA dataset. The result suggested that the five genes were reliable prognostic biomarkers for patients with LGG.

## The Performance of PCG Signature in Predicting LGG Patient Survival

We used the risk model to calculate the risk scores for each patient. The median risk score was used to divide patients in the training dataset into either the high-risk ( $n = 262$ ) or low-risk group ( $n = 262$ ). The Kaplan–Meier analysis results showed that patients in the low-risk group lived longer than patients in the high-risk group (median survival time: 12.18 years vs. 3.84 years,  $P < 0.001$ ; **Figure 2A**). Then, we tested the prognostic value of the PCG signature in another large independent LGG dataset (CGGA,  $n = 431$ ). After the median risk score in CGGA-separated patients into high- or low-risk group, Kaplan–Meier analysis found that the 5-year survival of patients with high risk scores was lower than that of patients with low risk scores (5-year survival: 34.16 vs. 77.05%, log-rank test  $P < 0.001$ ; **Figure 2B**). We showed the relationship of PCG expression, risk score, and survival information in **Figure 3**. With the increase of gene expression value, risk scores and death toll increased in the training (**Figure 3A**) and test datasets (**Figure 3B**).

## The Five-PCG Signature Is an Independent Predictive Factor

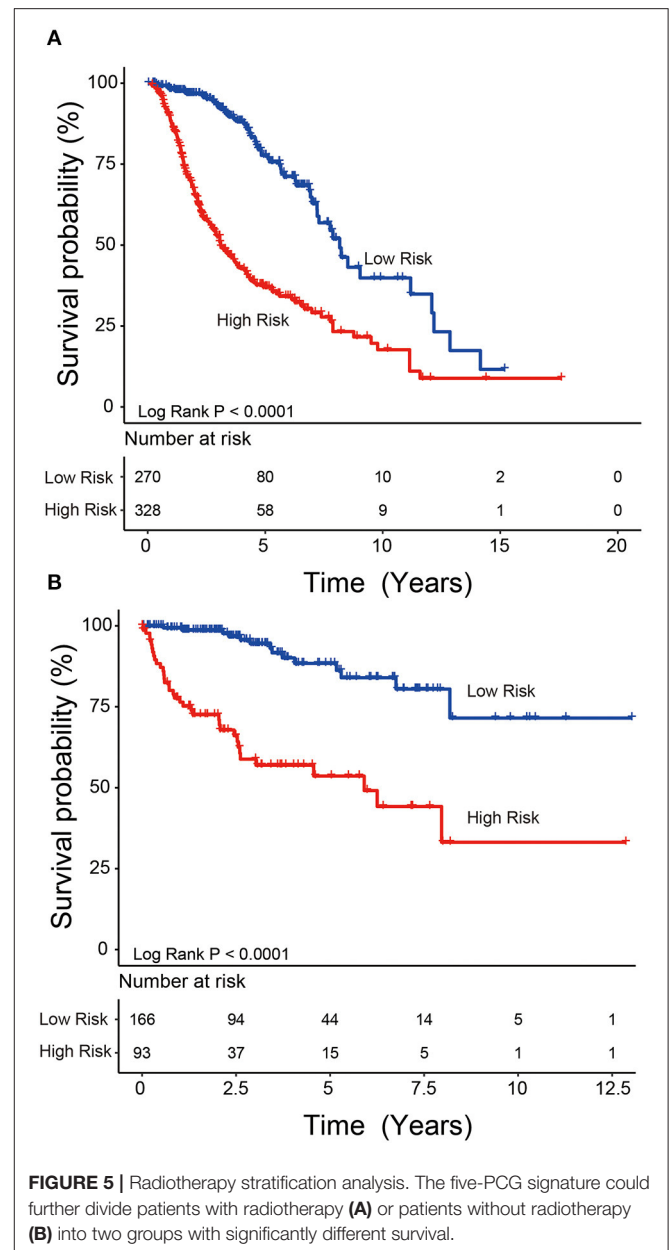
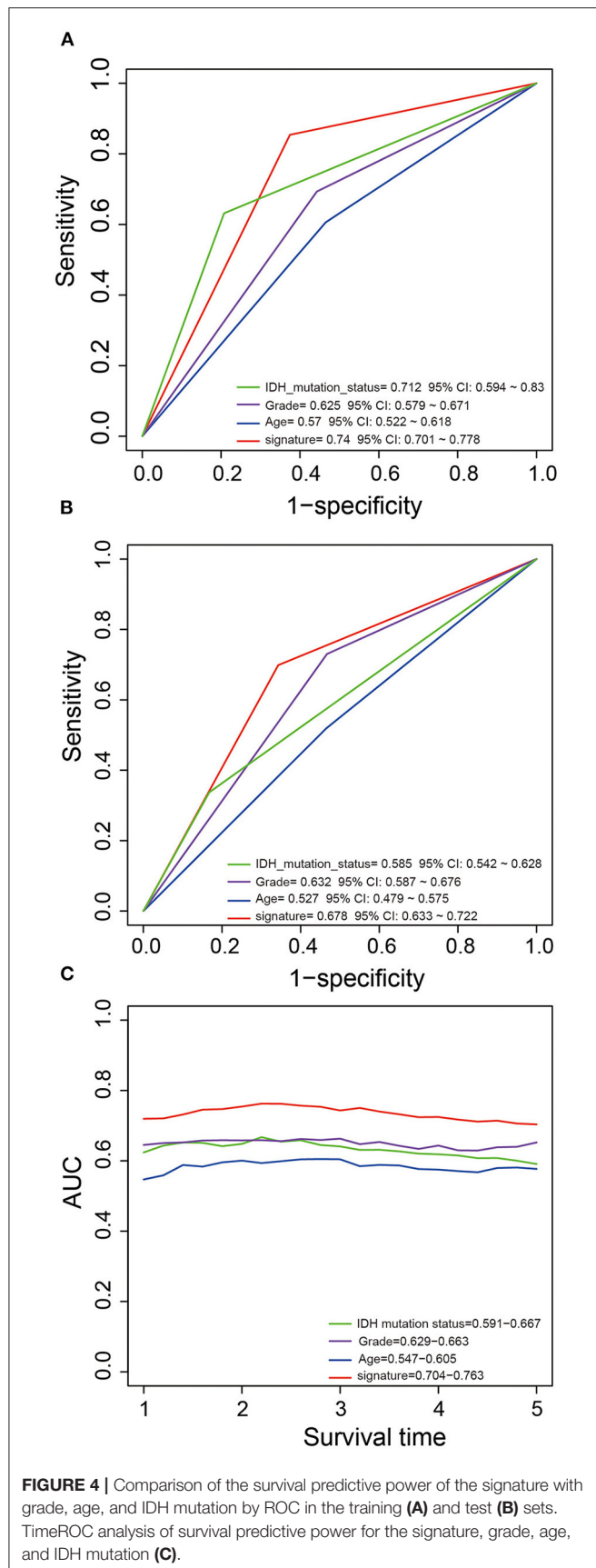
In the two LGG groups ( $n = 524/431$ ), we found that the signature was related with clinical variables such as IDH mutation status and Grade by chi-square test ( $P < 0.001$ ; **Table 1**). In addition, we found that the 1p19q co-deletion status could predict the patients with LGG significantly (**Supplementary Figure 2**) and the signature was also associated with 1p19q co-deletion status based on the CGGA dataset ( $P < 0.001$ ; **Table 1**). Then, we further performed univariate and multivariable Cox regression analyses to test the predictive independence of the signature. Multivariable Cox regression results verified that the signature was an independent predictive factor and could independently predict patients' clinical outcome in training or test datasets (high- vs. low-risk, HR training = 1.70, 95% CI 1.31–2.21,  $P < 0.001$ ,  $n = 524$ ; HR test = 3.01, 95% CI: 2.12–4.27,  $P < 0.001$ ,  $n = 431$ ; **Table 2**).

## Predictive Performance Comparison Between the Five-PCG Signature With Other Clinical Variables

We performed ROC analysis to compare the predictive performance of the five-PCG signature with other clinical variables including IDH mutation status, age, and grade. **Figures 4A,B** shows that the PCG signature outperformed the above clinical variables in both the training and test sets (AUCsignature 0.739/0.678 vs. AUCIDH 0.712/0.585; AUCgrade 0.625/0.632; AUCage 0.57/0.527). Further, TimeROC analysis found that the AUC values of the signature from 1 to 5 years were greater than that of IDH mutation status, grade, or age, indicating that the PCG signature had better survival prediction when integrating the TCGA and CGGA datasets (**Figure 4C**).

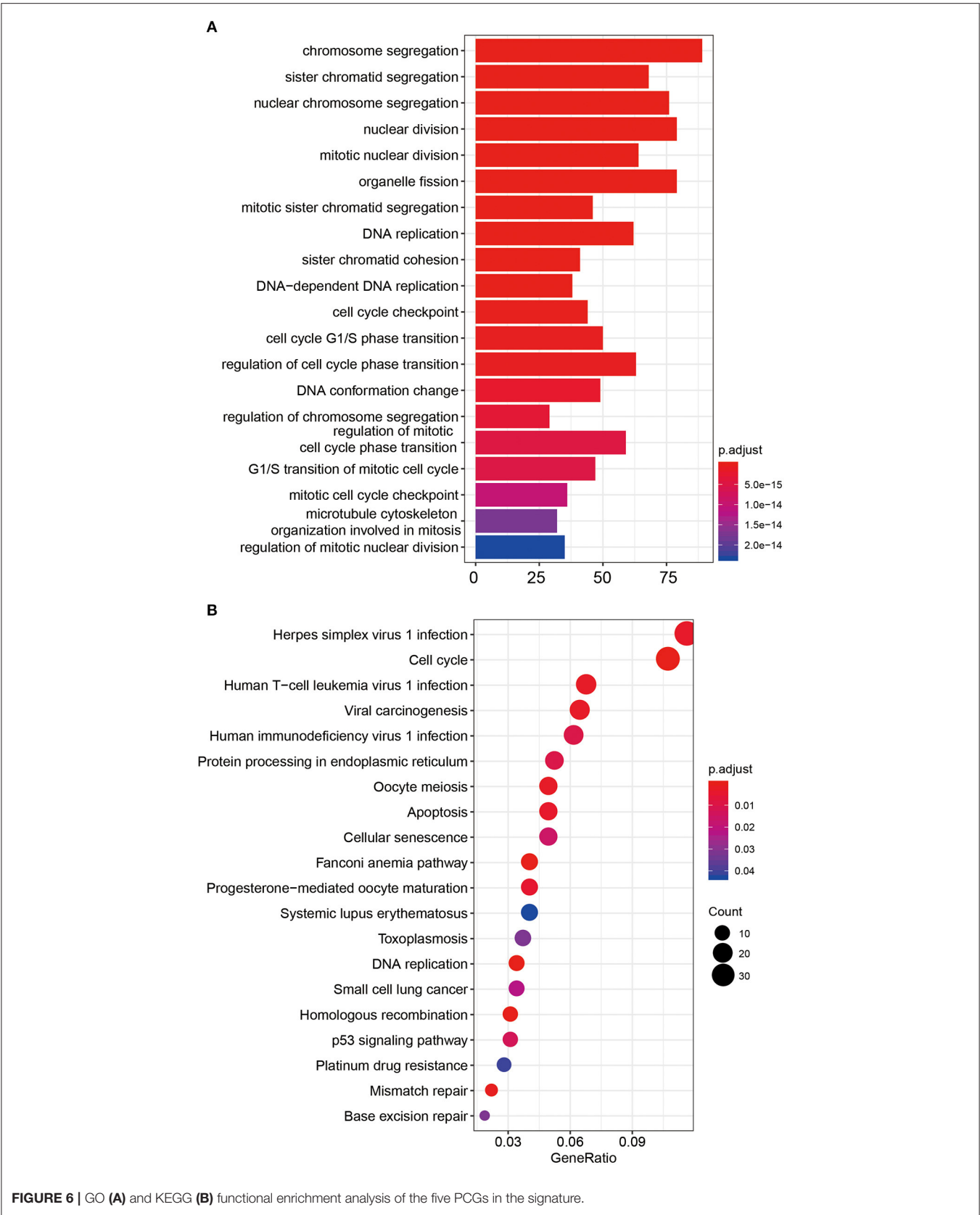
**TABLE 2 |** Univariable and multivariable Cox regression of the signature with patient survival in two LGG datasets.

Variables		Univariable				Multivariable			
		HR	95% CI of HR		P	HR	95% CI of HR		P
			Lower	Upper			Lower	Upper	
TCGA set									
Age	>40 vs. ≤40	2.82	1.96	4.04	<0.001	1.99	0.52	7.60	0.32
Gender	Male vs. female	1.14	0.81	1.60	0.45	2.00	0.66	6.09	0.22
IDH status	Wild type vs. mutant	5.53	2.07	14.82	<0.001	0.94	0.22	4.07	0.94
LGG Grade	G3 vs. G2	3.31	2.28	4.79	<0.001	0.79	0.22	2.81	0.72
Signature	High risk vs. low risk	6.86	4.26	11.04	<0.001	1.70	1.31	2.21	<0.001
CGGA set									
Age	>40 vs. ≤40	1.19	0.89	1.58	0.24	1.10	0.82	1.48	0.54
Gender	Male vs. female	1.00	0.75	1.34	0.98	1.14	0.85	1.54	0.38
IDH status	Wild type vs. mutant	2.24	1.64	3.07	<0.001	1.48	1.06	2.07	0.02
Grade	G3 vs. G2	2.62	1.89	3.64	<0.001	2.58	1.81	3.66	<0.001
Signature	High risk vs. low risk	3.68	2.69	5.03	<0.001	3.01	2.12	4.27	<0.001



## Radiotherapy Stratification Analysis

Because radiotherapy is the most commonly used treatment in LGGs, we further explore the clinical value of the signature in LGG patients treated with radiotherapy in TCGA and CGGA. According to the radio-status information of all the 955 LGG patients, we found that 598 received radiotherapy, 259 patients did not, and 98 patients had unknown radiotherapy information. For patients after radiotherapy, the five-PCG signature could further divide patients into low- and high-risk groups with significantly different survival (5- or 10-year survival: 77.70/39.84% vs. 37.10/17.69%, log-rank test  $P < 0.001$ ; **Figure 5A**). Patients without radiotherapy can also be grouped into different risk groups by the five-PCG signature (5- or 10-year





survival: 88.39/71.53% vs. 53.59/33.15%, log-rank test  $P < 0.001$ ; **Figure 5B**).

## Function Prediction for the Five Selected PCGs

To explore the role and function of the five selected PCGs screened in this study, we obtained a total of 741 co-expressing PCGs (Pearson coefficient  $>0.5$ / $<-0.5$ ,  $P < 0.05$ ) using the Pearson test in the TCGA and CGGA datasets, respectively, and then performed KEGG and GO analysis. The co-expressing genes of the five PCGs were significantly enriched in 425 Go terms and 21 KEGG pathways ( $P < 0.05$ ), such as cell cycle, DNA replication, and p53 signaling pathway, indicating the specific pathway or mechanism in which the prognostic PCGs might play a key role (top 20 shown; **Figure 6**).

## DISCUSSION

The heterogeneity among patients is a major contributing factor in the adverse clinical outcome of gliomas (12). Consequently, the latest edition (2016 edition) of the WHO glioma classification incorporates molecular features into the classification criteria, thereby improving the homogeneity of clinical outcomes in patients with the same subtype (1). However, as one of histological subtypes of glioma, LGG has substantial variation in patient survival and lacks effective prognostic markers. In the current study, we analyzed the survival and gene expression information of 955 patients with LGG and found that the five-PCG signature could be a good prognostic molecular marker. In addition to predicting prognosis of LGG patients, the five-PCG signature has also been found to have a role in guiding radiotherapy.

Tumor heterogeneity and therapeutic advancements have prompted clinicians to make individualized prognosis and treatment choices for cancer patients, thereby achieving precision medicine. Gene biomarkers have always been at the forefront of the development of personalized medicine, especially in the field of cancer. Gene signature-based RNA expression obtained by analyzing gene profiling has been shown to predict the tumor behavior and to distinguish patients with specific tumor grades and/or prognosis (13, 14) in various types of cancer, such as esophageal squamous cell carcinoma, hepatocellular carcinoma, bladder carcinoma, breast cancer, and glioblastoma. In the current study, we aimed to analyze the gene expression profile and develop an effective gene signature for accurate prognosis prediction of LGG patients. After a detailed analysis of gene expression profiles of 955 patients with LGG from the TCGA training set and CGGA validation set, a five-PCG-based prognostic risk model and the five-PCG signature that could distinguish LGG patients with high risk of poor prognosis from patients with low risk were developed. The five-PCG signature has the following two advantages in prognosis prediction: First, it is an independent factor and does not depend on known prognostic factors such as IDH mutation and tumor grade II/III; second, it has excellent prediction performance for its AUC value was higher than IDH mutation and tumor grade.

Notably, the five-PCG signature was found to be a predictive marker for radiotherapy in LGG patients. More specifically, the marker can identify who can benefit from radiotherapy or who is suitable for radiotherapy. As a result, LGG patients have more scientific guidance on whether to accept radiotherapy, and clinicians can also have more standardized guidelines for radiotherapy to facilitate their implementation. This finding shows that the five-PCG signature not only makes the prognosis assessment of patients more precise but also can play the role of individualized treatment. In addition, we noted that the five PCGs in the signature had positive risk factors, meaning they were all prognostic risk factors. By searching the existing literature, we found that the important role of these genes in prognosis prediction had been reported in a variety of tumors. ATP-binding cassette subfamily C member 3 (ABCC3), also named multidrug resistance-associated protein 3 (MRP3), is an organic anion transporter and contributes to drug resistance of cancer cells (15). Consistent with the results in this article, the poor prognosis predictive role of ABCC3 has been reported not only in acute myeloid leukemia (16), gastric cancer (17), pancreatic cancer (18), and lung cancer (19) but also in gliomas (20). In addition to being found as a prognostic marker for gliomas in this article, structural maintenance of chromosomes 4 (SMC4) has also been found to be a survival marker for colorectal cancer (21), breast cancer (22), and prostate cancer (23). Epithelial membrane protein 3 (EMP3) is considered to be a tumor suppressor, but this article found that this gene is a prognostic risk gene for LGG. Similar to our results, Wang et al. (24) also found that EMP3 was associated with the worse prognosis of LGG patients and Guo et al. (25) discovered that EMP3 was also a risk gene in the process of developing a prognostic four-gene panel for glioblastoma patients. WEE1 G2 checkpoint kinase (WEE1) is reported to be an oncogenic nuclear kinase and a regulator of the G2 checkpoint. Expression of WEE1 has been found to be associated with poor prognosis in a variety of tumor types including gliomas (26). Two other gene signatures constructed to predict the prognosis of LGG are also consistent with the results of this article and found that WEE1 is a prognostic risk factor (27, 28). H2B-clustered histone 12 (H2BC12 or HIST1H2BK) is a replication-dependent histone and belongs to the histone H2B family. The prognostic role of HIST1H2BK was identified in ovarian cancer (29), breast cancer (30), and pancreatic ductal adenocarcinoma (31). Although we had some findings on the function of the five prognostic genes by KEGG and GO analysis, further functional exploration of these genes is needed.

## CONCLUSION

Our study developed a prognostic five-PCG signature for LGG patients that can predict individual clinical outcome with high accuracy. Surprisingly, the five-gene signature can also predict radiotherapy response, which makes the biomarker have a broad clinical value.

## DATA AVAILABILITY STATEMENT

Publicly available datasets were analyzed in this study. This data can be found here: TCGA: <https://xenabrowser.net/datapages/>; CGGA: <http://www.cgga.org.cn/download.jsp>.

## AUTHOR CONTRIBUTIONS

QZ and WL: data collection, data analysis, interpretation, and drafting. X-JL, R-AX, LC, and ZS: study design,

study supervision, and final approval of the manuscript. S-BL and F-CX: technical support and critical revision of the manuscript. All the authors read and approved the final manuscript.

## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fneur.2021.633390/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Expression of TCF7L2 in Glioma and Its Relationship With Clinicopathological Characteristics and Patient Overall Survival

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**Background:** The TCF7L2 gene is known as transcription factor 7-like 2 which has been identified as a novel transcription factor epithelial-mesenchymal transition (EMT) in tumor cells at 10q25.3. TCF7L2 may affect cancer progression and plays a central role in cancer proliferation, migration, and invasion. However, its clinical and prognostic value have not been researched in glioma. The purpose of our study was to research TCF7L2 expression and evaluate the clinical value of prognosis.

**Method:** We collected glioma specimens including low-grade glioma ( $n = 46$ ) and glioblastoma ( $n = 51$ ) from September 2015 to September 2017. Expression of TCF7L2 in 97 specimens was detected by quantitative real-time PCR (qRT-PCR). The chi-square test was applied to analyze the relationship between TCF7L2 expression and clinicopathological characteristics. The overall survival (OS) was estimated by log-rank tests among strata, and the survival curves were drawn by Kaplan-Meier. Univariate and multivariate analysis were utilized to analyze the relationship between prognosis and clinicopathological characteristics including TCF7L2 expression.

**Results:** Compared with the low-grade glioma group, the expression of TCF7L2 was significantly increased in the glioblastoma group ( $p = 0.001$ ). TCF7L2 overexpression was associated with higher WHO grade ( $p = 0.001$ ), isocitrate dehydrogenase (IDH) wild-type ( $p = 0.001$ ), and lack of O(6)-methylguanine-DNA methyltransferase (MGMT) methylation ( $p = 0.001$ ). Moreover, Kaplan-Meier analysis proved that overexpressed TCF7L2 was associated with poor OS ( $p = 0.010$ ). The multivariate analysis suggested that TCF7L2 expression was an independent prognostic factor ( $p = 0.020$ ).

**Conclusions:** Our research proved that TCF7L2 was overexpressed in glioblastoma, and related with tumor long-term prognosis, which, therefore, could be an independent prognostic factor for glioma patients.

**Keywords:** glioma, TCF7L2, overall survival, prognosis, clinicopathological characteristics



## BACKGROUND

Glioblastoma is a common malignant brain tumor owing to its stronger invasive ability and resistance to treatment. The morbidity rate of glioblastoma is approximately 0.59–0.69/100,000 people worldwide, affecting those aged 20 years or older, especially those aged 40–70 years (1). The morbidity is higher in men (3.97/100,000) than in women (2, 3) (2.53/100,000).

According to NCCN Guidelines, the standard therapies include surgery, radiotherapy with concomitant temozolomide (TMZ), adjuvant TMZ chemotherapy, and TTF therapies, but the 5-year overall survival (OS) is only 9.8% (3). Despite comprehensive therapy, the median survival time is ~12–15 months for GBM patients after diagnosis (4, 5).

An increasing number of molecular markers have been discovered, which improves our understanding of the mechanism of glioma and development. To date, the final histological diagnosis, final integrated diagnosis, pathological classification, and prognosis assessment are more accurate, which can help develop personalized therapy for glioma. Therefore, it is important to find novel biomarkers which can predict the prognosis, and explore its potential as a therapeutic target for glioma patients.

The Wnt/ $\beta$ -catenin signaling pathway is important in the majority of tumor progression. There is over 90% of aberrant activation of Wnt signaling in colorectal cancer (6). TCF7L2 is a member of the Wnt/ $\beta$ -catenin signaling pathway, which plays an important role in metabolism, cell differentiation/proliferation, and cell death (7). Several meta-analyses have evaluated cancer risk induced by TCF7L2 gene variants (8–10).

A study (11) provided summary evidence that TCF7L2 genes are associated with the risk of breast, colorectal, and lung cancer and glioma. The loss of TCF7L2 enhances tumor cell growth, whereas a gain inhibits tumor cell growth (12). TCF7L2 plays a significant role in the pathogenesis of human cancers. A recent study (13) demonstrated that LEF/TCF-specific transcriptional regulation of Wnt target genes is associated with cancer progression and survival in human colorectal tumor samples.

However, the study of TCF7L2 mutation mainly focused on breast, colorectal, and lung cancer. Therefore, the aim of our study was to analyze the clinical value of TCF7L2 in glioma. We collected samples including 51 GBM tissues and 46 low-grade glioma tissues WHO (I–II). We focused on the TCF7L2 effect and the expression level in glioma tissue. Subsequently, we analyzed the relation between TCF7L2 expression level and clinicopathological characteristics. In our study, we performed a preliminary analysis between the expression level of TCF7L2 and overall survival risk of glioma among Chinese people.

**Abbreviations:** TCF7L2, Transcription factor 7-like 2; qRT-PCR, Real-time quantitative PCR; OS, Overall survival; TMZ, Temozolomide; EMT, Epithelial-mesenchymal transition; TTF, Tumor treating fields; GBM, Glioblastoma; cDNA, Complementary DNA; HR, Hazard ratios; CI, Confidence interval; MGMT, O(6)-methylguanine-DNA methyltransferase; IDH, Isocitrate dehydrogenase.

## METHODS

### Patients and Tissue Samples

Patients who underwent initial surgery in the Sanbo Brain Hospital of Capital Medical University from September 2015 to September 2017 were retrospectively selected for this research. All samples were diagnosed by pathologists and stored in liquid nitrogen.

As for patients with low-grade glioma, we identified high-risk patients according to the literature (14), including at least three factors (age >40 years old, astrocytomas, tumor maximum diameter  $\geq 6$  cm, tumors across the midline, and preoperative neurological impairment). Those patients were treated with radiochemotherapy, while the patients at low risk were followed up. Patients with glioblastoma received radiotherapy with concomitant temozolomide (TMZ) and adjuvant TMZ chemotherapy.

The patients' characteristics are described in **Table 1**. Tissue sample usage was approved by Ethics Committee of the Sanbo Brain Hospital of Capital Medical University and written informed consent was obtained from all study participants.

### RNA Extraction and qRT-PCR Analyses

The total RNA was extracted from the frozen sample by TRIzol reagent (Invitrogen, Carlsbad, CA, USA). RNA was

**TABLE 1 |** Relationship between the expression level of TCF7L2 and clinicopathological parameters.

Characteristic	Case number	TCF7L2 expression $R_s$		$p$
		Low ( $n = 47$ )	High ( $n = 50$ )	
Age (years)				0.980
≤45	35	17	18	
>45	62	30	32	
Gender				0.970
Male	60	29	31	
Female	37	18	19	
Tumor size (cm)				0.085
≤3	33	20	13	
>3	64	27	37	
Necrosis				0.618
Yes	47	24	23	
No	50	23	27	
WHO grade				0.41
I–II	46	33	13	
IV	51	14	37	
IDH status				0.32
Wild-type	54	18	36	
Mutation	43	29	14	
1p/19q status				0.180
No deletion	72	32	40	
Co-deletion	25	15	10	
MGMT status				0.37
No methylation	55	17	38	
Methylation	42	30	12	0.001

reverse-transcribed to complementary DNA (cDNA) using a PrimeScript RT reagent kit (Thermo, Beijing, China) according to the manufacturer's protocol. Its synthesis was conducted at 37°C for 15 min, then 85°C for 5 s according to the experimental protocols. Real-time PCR reactions were carried by Applied Biosystems 7500. Real-time PCR was carried in triplicate. We selected glyceraldehyde3-phosphate dehydrogenase (GAPDH) as a suitable endogenous reference gene. The relative TCF7L2 expression was computed and normalized using the  $2^{-\Delta CT}$  method relative to GAPDH. The primers for TCF7L2: 5'-TGCT CTGCGGTTGCTATGTTGAC-3', 3'-GCT GCGAGTCCTCAC CAATGTC-5' and for GAPDH: 5'-CAGACCACAG TCCATGC CATCAC-3', 3'-GACGCTGCTTCACCACCTTC-5'.

## Data Analysis

The comparison of the TCF7L2 expression level between GBM and low-grade glioma was performed by the two-sample Student's *t*-test. The chi-square test was used to examine the associations between TCF7L2 expression and the clinicopathological characteristics.

In addition, survival curves were drawn by the Kaplan-Meier method and analyzed with log-rank test. Cox proportional-hazards regression analysis was applied to estimate univariate and multivariate hazard ratios for OS. A value of  $P < 0.05$  was considered as statistically significant. SPSS software 20.0 was applied in the present study.

## RESULTS

### TCF7L2 Was Upregulated in Glioblastoma Tissues

We measured TCF7L2 expression levels in 97 patients with glioma by qRT-PCR. The data revealed that TCF7L2 had a higher expression in GBM tissues than the low-grade group ( $p = 0.001$ ) (Figure 1).

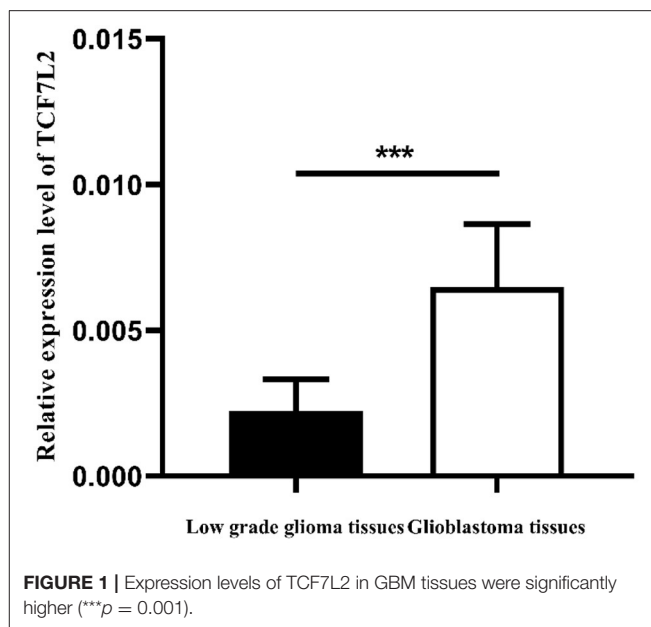
### Relation Between TCF7L2 Expression and Clinicopathological Factors of GBM Patients

We found high TCF7L2 expression in GBM. The median expression level of TCF7L2 was used as a dividing point, and we divided 97 patients into two groups (high and low expression). Table 1 summarizes the relationship between TCF7L2 expression and clinicopathological parameters in glioma. The results showed that TCF7L2 high expression was significantly related to higher WHO grade ( $p = 0.001$ ), isocitrate dehydrogenase (IDH) wild-type ( $p = 0.001$ ), and lack of O(6)-methylguanine-DNA methyltransferase (MGMT) methylation ( $p = 0.001$ ).

### Upregulation of TCF7L2 Confers Poor Prognosis in Patients

Univariate and multivariate analyses were utilized to evaluate the association between OS and various clinicopathological features including TCF7L2 expression level (Table 1).

The Kaplan-Meier method indicated that the 5-year OS of patients was significantly shorter in patients with high TCF7L2

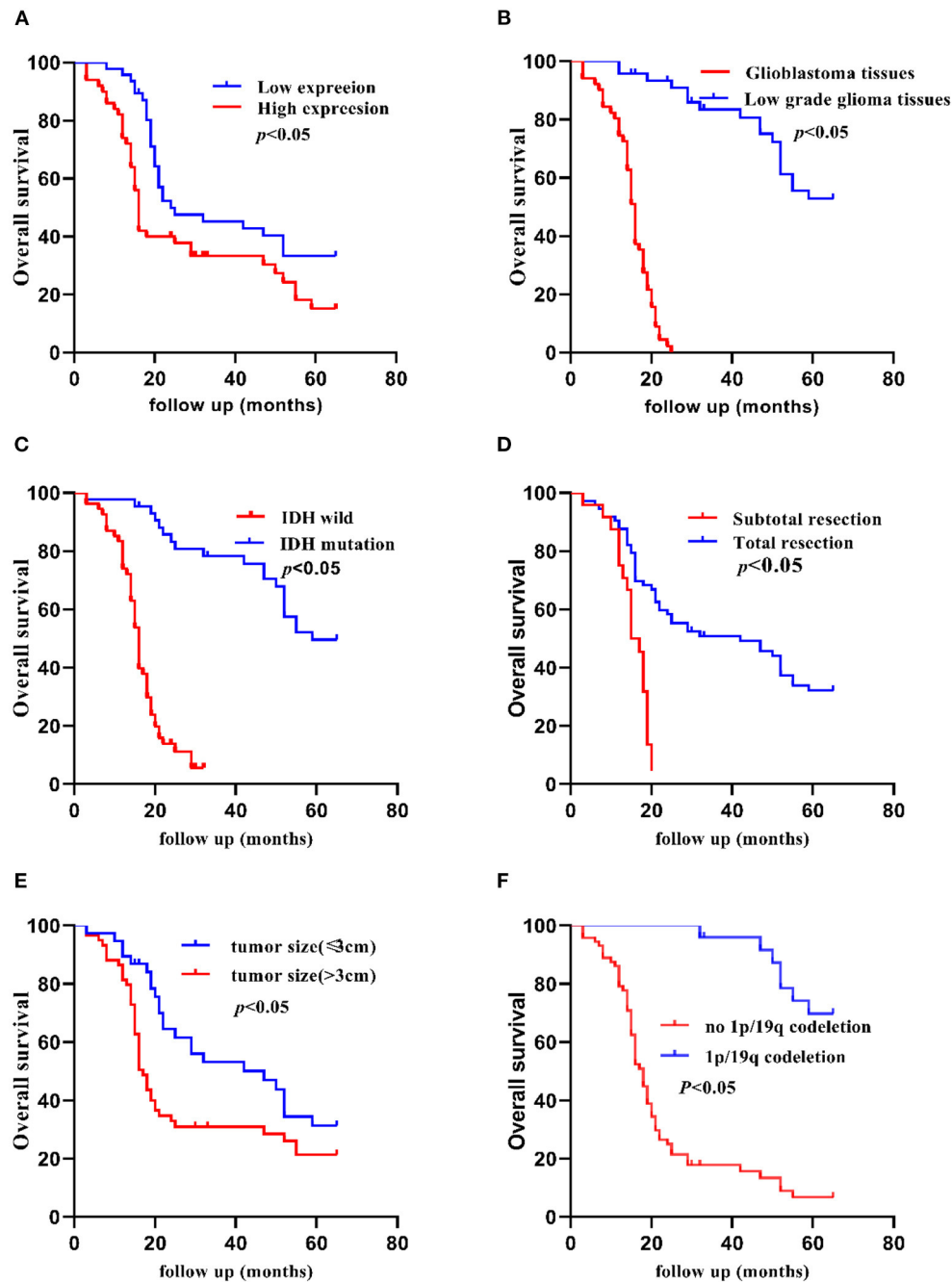


expression than in those with low TCF7L2 expression ( $p = 0.010$ ), but there were no significant differences in 2-year OS ( $p = 0.070$ ). The OS was significantly longer with small tumor size ( $\leq 3$  cm) compared to those with large tumor size ( $> 3$  cm) ( $p = 0.020$ ). The OS was significantly shorter in patients with IDH wild-type than in those with IDH mutation ( $p = 0.010$ ). The OS was significantly shorter in patients receiving subtotal resection than those receiving total resection ( $p = 0.010$ ), but was significantly longer in patients in the low-grade group compared to those with glioblastoma ( $p = 0.001$ ). The OS was significantly shorter in patients with no 1p/19q deletion than in those with 1p/19q codeletion ( $p = 0.001$ ). The OS was significantly shorter in patients with no MGMT methylation than in those with MGMT methylation ( $p = 0.010$ ). There were no significant differences between necrosis and non-necrosis patients ( $p = 0.420$ ) (Figures 2, 3).

As for multivariate Cox regression analysis including TCF7L2 expression, WHO grade, extent of resection, 1p/19q status, IDH status, and MGMT status, we found a 5-year OS advantage of the low expression vs high expression of TCF7L2 (HR 2.56, 95% CI: 1.16–5.68,  $p = 0.020$ ), low-grade glioma vs. glioblastoma (HR 79.94, 95% CI: 18.88–338.39,  $p = 0.001$ ), total resection vs subtotal resection (HR 2.09, 95% CI: 1.09–4.01,  $p = 0.030$ ), IDH mutation vs IDH wild-type (HR 0.36, 95% CI: 0.15–0.87,  $p = 0.020$ ), 1p/19q codeletion vs no 1p/19q deletion (HR 0.33, 95% CI: 0.12–0.93,  $p = 0.040$ ), and MGMT methylation vs no MGMT methylation (HR 0.34, 95% CI: 0.16–0.71,  $p = 0.010$ ) (Table 2).

## DISCUSSION

TCF7L2 has been reported to have an effect on the suppression of cell proliferation, migration, and invasion in the literature (15). The transcription factor 7-like 2 (TCF7L2) gene may affect

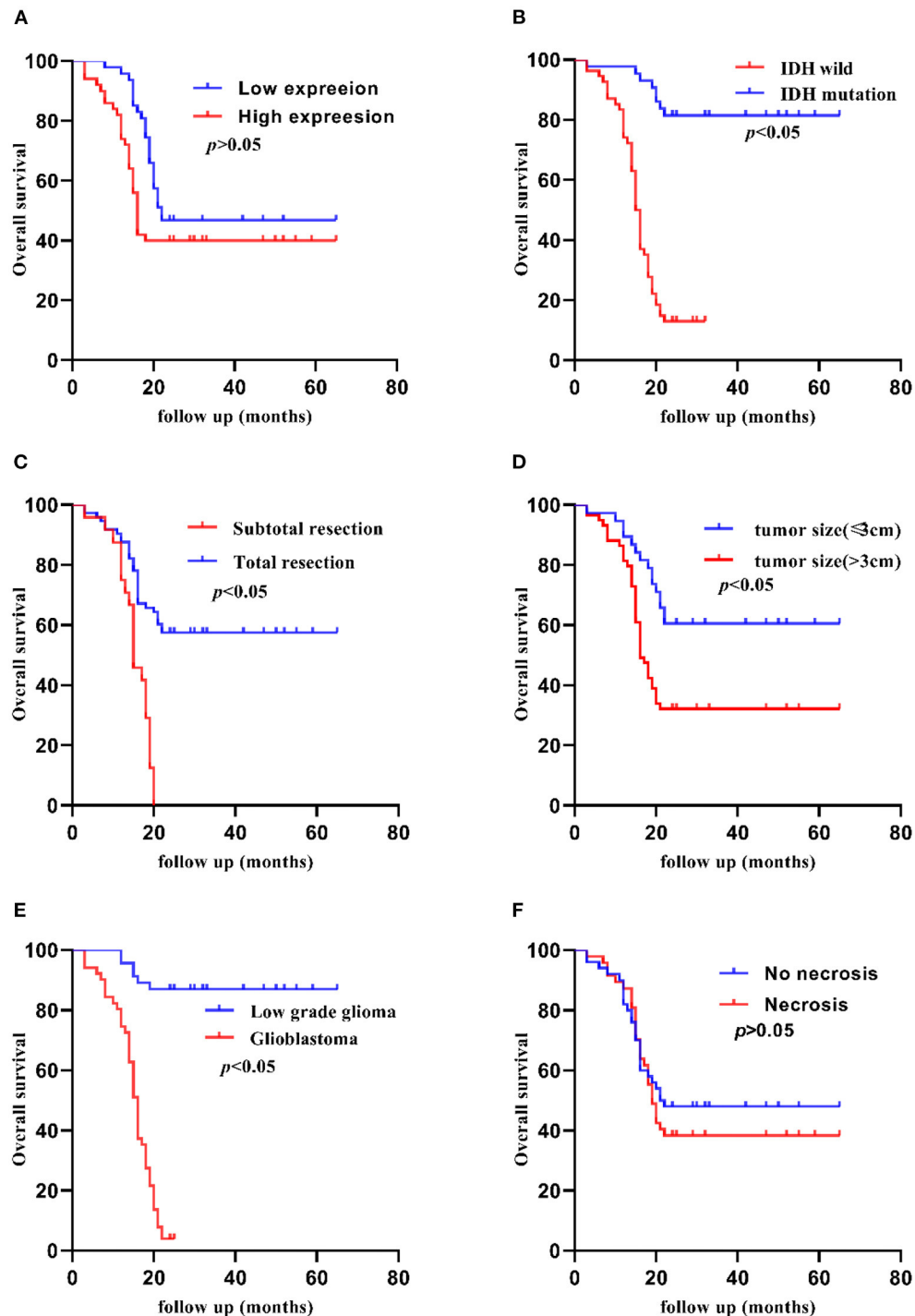


**FIGURE 2 |** Kaplan-Meier 5-year OS curves of patients with glioma according to clinicopathological features. **(A)** Patients with higher TCF7L2 expression showed shorter OS compared with those with a lower expression ( $p = 0.010$ ). **(B)** Glioblastoma patients showed worse OS compared with low-grade patients ( $p = 0.001$ ). **(C)** Patients with IDH wild-type showed worse OS compared with those with an IDH mutation ( $p = 0.010$ ). **(D)** Patients who had a subtotal resection showed worse OS compared with those who had a total resection ( $p = 0.010$ ). **(E)** Patients with a larger tumor size ( $>3$  cm) showed worse OS compared with those with a small tumor size ( $\leq 3$  cm) ( $p = 0.020$ ). **(F)** Patients with no 1p/19q codeletion showed worse OS compared with those with 1p/19q codeletion ( $p = 0.001$ ).

cancer development and prognosis because the TCF7L2 gene plays an important role in the Wnt/ $\beta$ -catenin signaling pathway (16, 17).

To date, various biological markers have been reported in glioma (18, 19). TCF7L2 represents a central factor in

metabolism, cell proliferation, and cell apoptosis (20, 21). Bo Yu et al. (15) found that TCF7L2 overexpression increased cell viability, migration, and invasion in cells with CRNDE inhibition. The TCF7L2 expression and prognostic value in glioma have rarely been reported.



**FIGURE 3 |** Kaplan-Meier 2-year OS curves of patients with glioma according to clinicopathological features. **(A)** Patients with higher TCF7L2 expression showed no significant differences compared with those with a lower expression ( $p = 0.008$ ). **(B)** Patients with IDH wild-type showed worse OS compared with those with an IDH mutation ( $p = 0.001$ ). **(C)** Patients who had a subtotal resection showed worse OS compared with those who had a total resection ( $p = 0.001$ ). **(D)** Patients with a larger tumor size ( $> 3$  cm) showed worse OS compared with those with a small tumor size ( $\leq 3$  cm) ( $p = 0.003$ ). **(E)** Glioblastoma patients showed worse OS compared with low-grade patients ( $p = 0.001$ ). **(F)** Patients with necrosis showed no significant differences compared with those with no necrosis ( $p = 0.486$ ).



**TABLE 2 |** Univariate and multivariate analyses of 5-year OS.

Parameter	Univariate			Multivariate		
	HR	95% CI	P	HR	95% CI	P
Age ( $\leq 45 / > 45$ )	1.01	1 0.61–1.67	0.960			
Sex (Male/female)	0.85	0.52–1.38	0.510			
Tumor size ( $\leq 3$ cm/ $> 3$ cm)	1.77	1.07–2.92	0.020			
Necrosis (Yes/no)	1.21	0.75–1.95	0.430			
WHO grade (I–II/IV)	38.64	12.84–116.24	0.001	79.94	18.88–338.39	0.001
TCF7L2 expression (High/low)	1.86	1.14–3.01	0.010	2.56	1.16–5.68	0.020
IDH status (Wild-type/mutation)	0.09	0.04–0.19	0.010	0.36	0.15–0.87	0.020
Extent of resection (Subtotal/total)	6.12	3.36–11.17	0.010	2.09	1.09–4.01	0.030
1p/19q status (No deletion/co-deletion)	0.10	0.05–0.23	0.001	0.33	0.12–0.93	0.040
MGMT status (No methylation/methylation)	0.53	0.32–0.85	0.010	0.34	0.16–0.71	0.010

In our study, we detected significantly higher TCF7L2 expression in GBM tissues than in the low-grade group. Moreover, TCF7L2 overexpression was significantly related with higher WHO grade, IDH wild-type, and no MGMT methylation, and the coefficients were 0.41, 0.32, and 0.37, respectively.

Our study reported shorter OS in patients with higher TCF7L2 expression, however, there was no significant difference in 2-year OS in the two groups. We found that the 2-year OS was relatively high in the patients who underwent standard therapies including radiotherapy with concomitant temozolomide (TMZ) and adjuvant TMZ after surgery in the high expression group. There was statistical significance in 5-year survival rate. On the other hand, TCF7L2 might be more advantageous in judging long-term prognosis.

Multivariate analysis revealed that TCF7L2, WHO grade, IDH status, extent of resection, 1p/19q status, and MGMT methylation status were independent prognostic factors for OS. The hazard ratio in the high TCF7L2 expression group was 2.56 times more than the low expression group (95% CI: 1.16–5.68,  $p = 0.020$ ). TCF7L2 expression was independently related with OS, indicating that higher TCF7L2 level was a marker of poor prognosis for patients.

IDH mutation was found in both low-grade glioma and glioblastoma in our study, suggesting the IDH gene played an important role in the pathogenesis of tumors in glioma. The mutation rate was 15.6%, which was practically consistent with that reported in the literature (22). The multivariate analysis revealed that the patients of IDH mutation were closely associated with better prognosis, as compared with those of IDH wild-type.

Previous studies have reported that IDH mutations have been identified as one of the most important diagnostic and prognostic factors of gliomas (23). Due to intra-group heterogeneity, we need additional prognostic factors to subdivide the prognosis results in gliomas. There was a correlation between IDH status and TCF7L2 expression level, therefore, we would combine IDH status and TCF7L2 expression to further refine the stratified study and better judge the prognosis in a future study.

Previous studies have reported that patients with 1p/19q co-deletion have better prognosis and response to treatment (24, 25). 1p/19q co-deletion is a typical molecular genetic

feature of oligodendroglioma, which provides an important reference for pathological diagnosis. We found that patients with 1p/19q co-deletion had a better prognosis than those no 1p/19q co-deletion. The results were consistent with literature reports.

Surgical resection plays a critical role in glioma therapy, which can reduce tumor load and provide an opportunity for postoperative adjuvant therapy. We found that patients receiving gross total resection had a better prognosis than those receiving subtotal resection. The results were consistent with other literature reports (26).

Glioblastoma patients showed worse OS compared with low-grade patients. In our study, we did not include WHO III patients, therefore, the hazard ratio was larger in the multivariate analysis. In addition, the sample size of data was relatively small, which might have introduced a bias. Currently, MGMT methylation is a widely accepted biomarker in glioblastoma, which can predict the effect of chemotherapeutic drugs (27). Patients with MGMT methylation showed a better prognosis than those with no methylation.

The sample size of data was relatively small. Some patients were reluctant to attend follow-up appointments, or the follow-up was interrupted in our study. We will increase the sample size for detailed study in the future.

TCF7L2 could be a potential prognostic factor and therapeutic target for patients with glioma. The underlying molecular mechanisms of TCF7L2 involvement in the Wnt/ $\beta$ -catenin signaling pathway needs to be investigated in future studies.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Capital Medical University Hospital Ethics

Committees. The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

LC, SH, and NL analyzed and interpreted the glioma patient data. SJ performed the qRT-PCR of the glioma tissues. CY and SJ were

major contributors in writing the manuscript. All authors read and approved the final manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The Reviewer YW declared a shared affiliation, with no collaboration, with the authors SJ, LC, SH, NL, MH, YY, and CY.

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# SPAG5 Is Involved in Human Gliomagenesis Through the Regulation of Cell Proliferation and Apoptosis

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**Background:** Glioma is the most frequent malignant primary brain tumor in adults.

**Objective:** To explore the role of sperm-associated antigen 5 (SPAG5) in glioma.

**Methods:** The association between SPAG5 expression and clinical features was investigated based on The Cancer Genome Atlas (TCGA) datasets. The function of SPAG5 in glioma was analyzed using U87 and U251 cells. Knockdown glioma cells were constructed by shRNA interference. qRT-PCR and Western blotting were used to measure the expression of SPAG5 and Cadherin 2 (CDH2). Cell proliferation and apoptosis were measured by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, caspase 3/7 assay, and high-content screening (HCS) proliferation analysis and colony formation assay. Transwell assays and wound-healing assays were used to investigate cell migration and invasion.

**Results:** The increased expression of SPAG5 was correlated with poor outcomes in glioma patients. Knocking down SPAG5 could inhibit the proliferation and colony formation and promoted the apoptosis of glioma cells. Knocking down SPAG5 could also inhibit cell migration and invasion and the expression of CDH2. Overexpression of CDH2 with SPAG5 depletion could restore the proliferation and inhibit the apoptosis of glioma cells, which also promoted cell migration and invasion.

**Conclusions:** SPAG5 is a promising prognostic factor and potential therapeutic target for clinical intervention in glioma.

**Keywords:** SPAG5, glioma, proliferation, apoptosis, migration and invasion

## INTRODUCTION

Glioma is a neuroectodermal tumor arising from glial or precursor cells (1), which represents one of the most frequent malignant neoplasm in the central nervous system (2). Studies demonstrated that glioma accounts for about 75% of primary malignant brain tumors in adults (3, 4). Despite current advances in the therapy of glioma, the overall 5-year survival rate of glioma patients undergoing comprehensive treatments, including surgical resection, adjuvant radiotherapy, and chemotherapy, is disappointingly low (5). Particularly, most of the glioma patients with high grade succumb to this

disease within 2 years of diagnosis (6). Therefore, it poses great challenges to understand the potential molecular pathogenesis of glioma, to identify novel prognostic molecular markers, and to develop new therapeutic strategies (7).

Sperm-associated antigen 5 (SPAG5, also called astrin and hMAP126), which maps to Ch17q11.2 and codes for a mitotic spindle-associated protein (8), plays a key role in the regulatory network of mitosis by forming a molecular switch with a mass of protein partners (9). During mitosis, SPAG5 could interact with many proteins, such as CLASP1, astrin, and Kif2b, to regulate the centromere-microtubule dynamics and thus promotes mitotic processes and their fidelity (10). It was reported that SPAG5 has participated in growth and progression of various tumors, which was overexpressed in breast cancer (11, 12), osteosarcoma (13), lung cancer (14), bladder urothelial carcinoma (15), prostate cancer (16), and cervical cancer (17). Thus, it is deduced that SPAG5 may also take part in the tumorigenesis and progression of glioma. However, the clinical significance of SPAG5 and its biological role in glioma remain obscure.

The epithelial-to-mesenchymal transition (EMT) is a very complex process underlying cell movement during embryonic development and morphogenesis, in which several family transcription factors form a network through many signaling pathways, allowing cancer cells to acquire invasive properties and penetrate adjacent stroma (18, 19). *In vitro*, SPAG5 silencing inhibits the EMT process of osteosarcoma cells, and SPAG5 may serve as a prognostic indicator and potential therapeutic target for patients with osteosarcoma (13). Although the significance of EMT in gliomagenesis is still unclear, it has been confirmed to be closely related to glioblastomas (GBMs) (20). Cadherin 2 (CDH2) encodes N-cadherin, which is also a hallmark of EMT. Tumor endothelial cell-derived CDH2 promotes angiogenesis and has prognostic significance for lung adenocarcinoma (21). A growing body of evidence suggests that CDH2 is closely associated with glioma.

In the present study, we intended to characterize the role of SPAG5 in gliomagenesis and explore the underlying mechanisms. Our results provided the evidence that downregulation of SPAG5 represses glioma cell proliferation and attenuates glioma cell migration and invasion *in vitro*. To further explore the regulatory mechanism of SPAG5 in glioma cells, the relationship between SPAG5 and CDH2 was also analyzed. Taken together, these data demonstrate the biological and clinical significance of SPAG5 as a potential biomarker.

## METHODS

### The Cancer Genome Atlas Database Analysis

We downloaded clinical characteristics and the data of SPAG5 mRNA expression profile chip expression data from The Cancer Genome Atlas (TCGA) database (<http://www.cbioportal.org>), including 667 glioma specimens and 10 normal specimens. The RNA-seq level 3 data of the expression profile of these samples were downloaded and sorted and directly used for the analysis of the mRNA expression of SPAG5. For pathological

analysis, the RNA-seq level 3 data from the 667 glioma specimens, which was divided into low-grade gliomas (LGGs,  $n = 515$ , WHO II and WHO III grade gliomas) and GBMs ( $n = 152$ ). We use the Affy and Limma packages in the R language to standardize and T test our data and then filter according to  $P$  value  $< 0.05$  and  $|FC| \geq 2$ . According to the median of the SPAG5 mRNA expression, the 667 glioma specimens were further divided into low SPAG5 expression group ( $n = 334$ ) and high SPAG5 expression group ( $n = 333$ ). The association between the mRNA expression level of SPAG5 and the overall survival time of glioma patients was then analyzed by Kaplan–Meier curves.

### Cell Culture and Transfection

Glioma cell lines (U87 and U251) were purchased from Shanghai Genechem Co., Ltd. (Shanghai, China). Cells were cultured in Dulbecco's modified Eagle's medium (DMEM; Corning) supplemented with 10% fetal bovine serum (FBS; Ausbian) and cultured in a 5% CO<sub>2</sub> incubator at 37°C. To knock down SPAG5 and overexpress CDH2, the plasmids specifically expressing SPAG5 shRNA and CDH2 mRNA were constructed using pAdTrack-CMV plasmid (Addgene, Cambridge, MA, USA) as the vector. The plasmids expressing the mRNA or shRNA that are not targeting any known human gene were used as the negative control. The cells were transfected with shSPAG5, CDH2 mRNA, or control mRNA or shRNA by Lipofectamine 2000 (Invitrogen, CA, USA) according to the instruction.

### Quantitative Real-Time Polymerase Chain Reaction

The total RNAs were extracted with TRIzol reagent (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's protocol and reversed to cDNA (Invitrogen, CA, USA). qRT-PCR was performed, and the sequences of the PCR primers are as follows: SPAG5 F: 5'-TTGAGGCCCGTTTAGATACCA-3' and R: 5'-GCTTTCCTTGAGGC-AATGTAGTT-3'; glyceraldehyde 3-phosphate dehydrogenase (GAPDH), F: 5'-TGACTTC AACAGCGACACCCA-3' and R: 5'-CACCTGTTC TGTAGCCAA-3'. The relative expression of SPAG5 was presented as  $2^{-\Delta\Delta Ct}$  value.

### Western Blotting

Western blotting was carried out according to the literature description (17). The primary antibodies are as follows: rabbit polyclonal SPAG5 (1:200, Sigma-Aldrich, Germany), mouse monoclonal GAPDH (1:2,000, Santa-Cruz, CA, USA), rabbit polyclonal CDH2 (1:100, Cell Signaling Technology, MA, USA), rabbit IgG (1:2,000, Santa-Cruz, CA, USA). A horseradish peroxidase (HRP)-conjugated anti-rabbit or anti-mouse IgG antibody was used as the secondary antibody (1:2,000, Santa-Cruz, CA, USA).

### Cell Proliferation and Apoptosis Assays

The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay was used to measure cell proliferation rate. Glioma cells ( $2 \times 10^3$  cells/well) were seeded onto 96-well plates. Subsequently, 20  $\mu$ l MTT (5 mg/ml) solution was added to each well and incubated for 4 h at 37°C. After aspirating the



medium, 100  $\mu$ l dimethyl sulfoxide (DMSO) was added to solubilize the formazan crystals formed by viable cells. Optical density (OD) was measured at 490 nm. The observation duration lasted for 5 days.

### Colony Formation Assay

Adherent glioma cells in the logarithmic phase were trypsinized and counted to measure viability. Then, viable cells (600 cells/well) were seeded onto each well of a six-well plate. Glioma cells were allowed to adhere and grow for 15 days. Media were replaced every 3 days. When colonies were formed, we removed media and added 1 ml 4% paraformaldehyde to each well to fix cells for 30 min and stained them with crystal violet solution (22). Finally, colonies were counted. Data gathered from three independent experiments were expressed as mean colony number  $\pm$  SD.

### Apoptosis Assay

Caspase 3/7 assay was used to assess apoptosis according to the manufacturer's instructions (Caspase-Glo<sup>®</sup> 3/7 Assay, Promega Corporation, Cat. No. G8092).

### Wound-Healing Assay

The  $5 \times 10^4$  glioma cells were inoculated in 96-well plate. When the cells grew to 90% confluence, we scratched the bottom of the dishes across each well using a scratch tester. Cells were rinsed 2–3 times with serum-free medium and cultivated in 0.5% FBS. The wound-healing process was observed for 24 h, and photos were taken at 8 and 24 h.

### Cell Migration and Invasion Assays

The cell migration and invasion assays were carried out by Transwell kit (Corning, US) following the manufacturer's instructions. In brief, some chambers were inserted in a new 24-well plate, and  $5 \times 10^3$  cells in 100  $\mu$ l medium without FBS were seeded on the upper chamber in Transwell apparatus. Then, 600  $\mu$ l medium with 10% FBS was added in the lower chamber. After the cells were incubated for 16 h at 37°C, the medium in chambers were removed with absorbent paper, and cells on the chambers were wiped off with a cotton swab. The cells adhering to chambers were treated with 4% paraformaldehyde for 30 min to fix and stained with Giemsa solution and counted and visualized under a microscope in nine random fields ( $\times 200$ ). The process of the invasion assay was similar to the cell migration experiment, except that the Transwell membrane was precoated with Matrigel basement membrane and the cells were cultured for 18 h at 37°C. The cell count method was the same as the cell migration assay.

### High-Content Screening Proliferation Analysis

Cells in the logarithmic growth phase were trypsinized and completely resuspended into cell suspension and counted. The cells (1,500 cells/well) were seeded onto each well of a 96-well plate and cultured at 37°C with 5% CO<sub>2</sub>. The day after plating, the number of green fluorescent cells was counted under Celigo cytometry system (Nexcelom, Beijing, China) for 5 consecutive days. Lentivirus 2000 was used to infect cells to make the glioma

cells express SPAG5 and green fluorescent proteins, so as to facilitate the automatic cell count. To further explore the effect of SPAG5 knockdown, CDH2 was overexpressed. The experiment was divided into the following: NC+NC group (parental glioma cells + vector), KD+NC group (parental glioma cells + knockdown-SPAG5 + vector), and KD+OE group (parental glioma cells + knockdown-SPAG5 + overexpression-CDH2).

### Statistical Analysis

All quantified data were obtained from at least three independent experiments and analyzed using SPSS 17.0 software. Data are shown as mean  $\pm$  SD. The Kaplan–Meier method was used for survival analysis. The log-rank test was used to assess differences in survival. Spearman's method was applied in analyzing the relationship between gene expression level and clinical variables. Comparison of gene expression between groups was conducted using Mann–Whitney *U*. The differences between groups were analyzed using two-tailed Student's *t*-test and ANOVA. Differences were considered statistically significant when  $P < 0.05$ .

## RESULTS

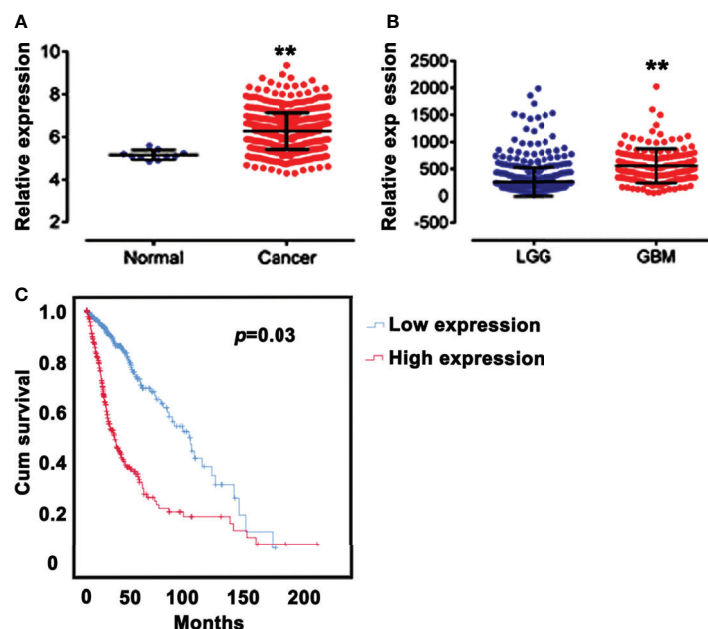
### SPAG5 Expression Is Correlated With Prognosis

Analysis of the mRNA expression profiles of SPAG5 in TCGA revealed that the mRNA expression of SPAG5 was higher in glioma tissues ( $n = 667$ ) than that in normal tissues ( $n = 10$ ) ( $P < 0.05$ ; **Figure 1A**). Meanwhile, the mRNA expression of SPAG5 was higher in GBMs ( $n = 152$ ) than that in LGGs ( $n = 515$ ) ( $P < 0.05$ ; **Figure 1B**).

We next analyzed the relationship between the mRNA expression of SPAG5 and clinic characteristics, including age, sex, and grade (**Table 1**) in 667 glioma patients. According to the median of the SPAG5 mRNA expression, the 667 glioma specimens were further divided into low SPAG5 expression group ( $n = 334$ ) and high SPAG5 expression group ( $n = 333$ ). The mRNA expression levels of SPAG5 were significantly associated with overall survival time. And the high mRNA level of SPAG5 indicated poor prognosis. The expression levels of SPAG5 were not significantly associated with sex but were significantly associated with age ( $P < 0.001$ ) and grade ( $P < 0.001$ ). Furthermore, the overall survival between glioma patients with low ( $n = 334$ ) and high ( $n = 333$ ) expression of SPAG5 was compared, who were grouped according to the median of the expression of SPAG5. A Kaplan–Meier curve was obtained (**Figure 1C**). Glioma patients with low expression of SPAG5 showed a higher overall survival rate than glioma patients with high expression of SPAG5 [log-rank  $P = 0.03$ ; hazard ratio (HR) = 3.324, CI 2.521–4.328].

### SPAG5 Knockdown Inhibited Cell Proliferation and Promoted Apoptosis *In Vitro*

The biological function of SPAG5 in glioma was next studied. Cell proliferation analysis was performed in the two cell lines (U87 and U251) transfected with SPAG5-shRNA. The mRNA and protein



**FIGURE 1** | SPAG5 expression is correlated with prognosis. **(A)** Relative expression of SPAG5 in normal (n = 10) and glioma tissues (n = 667). The SPAG5 mRNA expression profile chip data including 667 glioma specimens and 10 normal specimens were all from TCGA database. vs Normal group, \*\* $P < 0.01$ . **(B)** Relative expression of SPAG5 in low-grade gliomas (LGGs, n = 515) and glioblastomas (GBM, n = 152). The SPAG5 mRNA expression data of 667 glioma specimens were from TCGA database. vs LGG group, \*\* $P < 0.01$ . **(C)** Kaplan-Meier curves for glioma patients with low (n = 334) and high (n = 333) expression of SPAG5. According to the median, 667 glioma specimens from TCGA database were further divided into low SPAG5 expression group (n = 334) and high SPAG5 expression group (n = 333).

**TABLE 1** | The relationship between SPAG5 expression level and clinic characteristics in glioma patients.

		SPAG5 expression level		Total	P value
		Low	High		
Age	≤46	212	128	340	<0.001
	>46	122	205	327	
Total		334	333	667	
Sex	Male	188	196	384	0.502
	Female	146	137	283	
Total		334	333	667	
Grade	LGG	317	198	515	<0.001
	GBM	17	135	152	
Total		334	333	667	

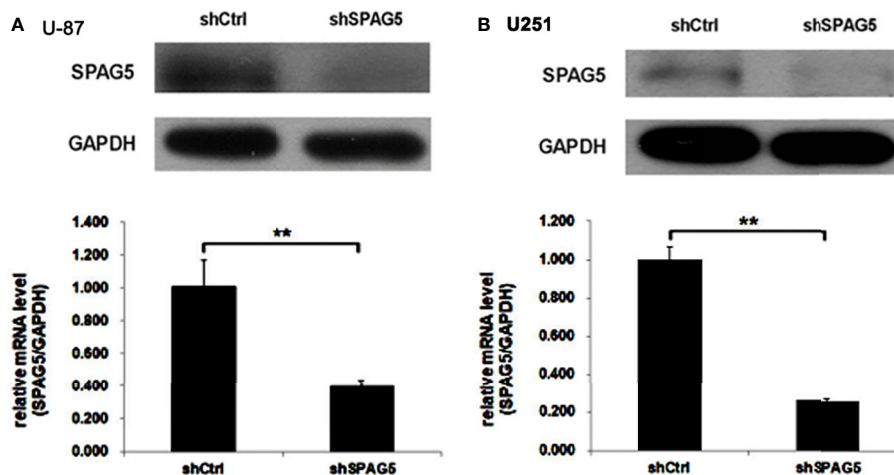
According to the median of the SPAG5 mRNA expression, the 667 glioma specimens were further divided into low SPAG5 expression group (n = 334) and high SPAG5 expression group (n = 333). LGG, low-grade glioma; GBM, glioblastoma; SPAG5, sperm-associated antigen 5.

of SPAG5 in stable cell lines were examined by qRT-PCR and Western blotting. After transfection with SPAG5-shRNA, the mRNA and protein expression levels of SPAG5 in U87 and U251 cell lines were all downregulated (Figure 2). Knockdown of SPAG5 markedly suppressed the cell proliferation in the U87 cell lines and U251 cell lines (all  $P < 0.05$ ; Figure 3). In accordance, colony formation was also significantly decreased in shSPAG5 group compared with control group on the 15th day after shRNA transfection (Figure 4). Caspase 3/7 assay was further carried out to assess the effect of SPAG5 on apoptosis (Figure 5). In the glioma cell lines (U87 and U251) transfected with SPAG5-shRNA, cell apoptosis was significantly enhanced compared with that of the normal control group. Our results revealed that SPAG5

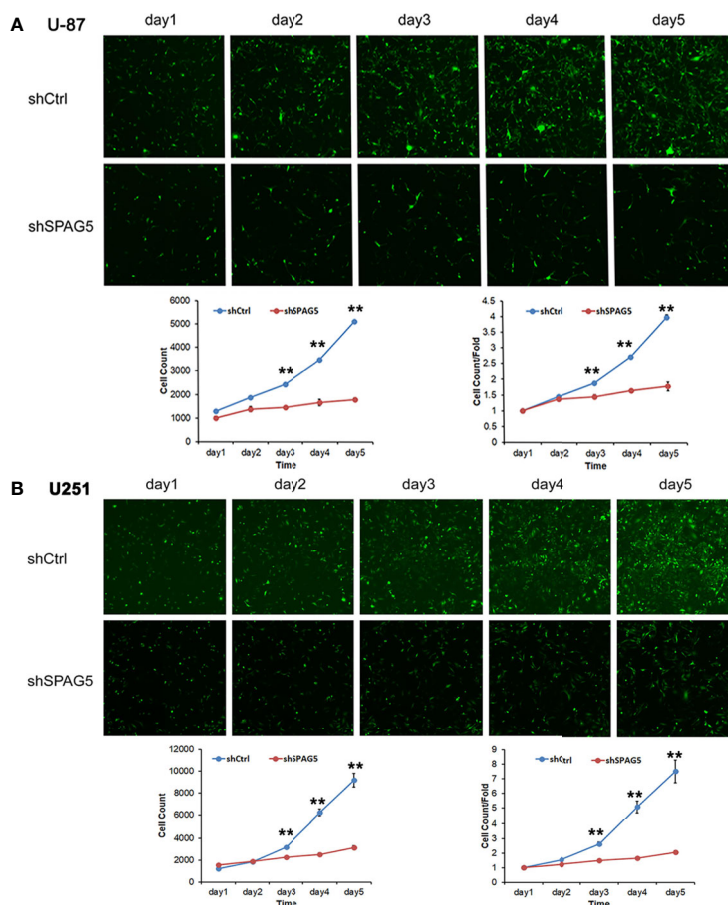
knockdown inhibited cell proliferation and promoted apoptosis *in vitro*.

### SPAG5 Facilitates Cell Migration and Invasion

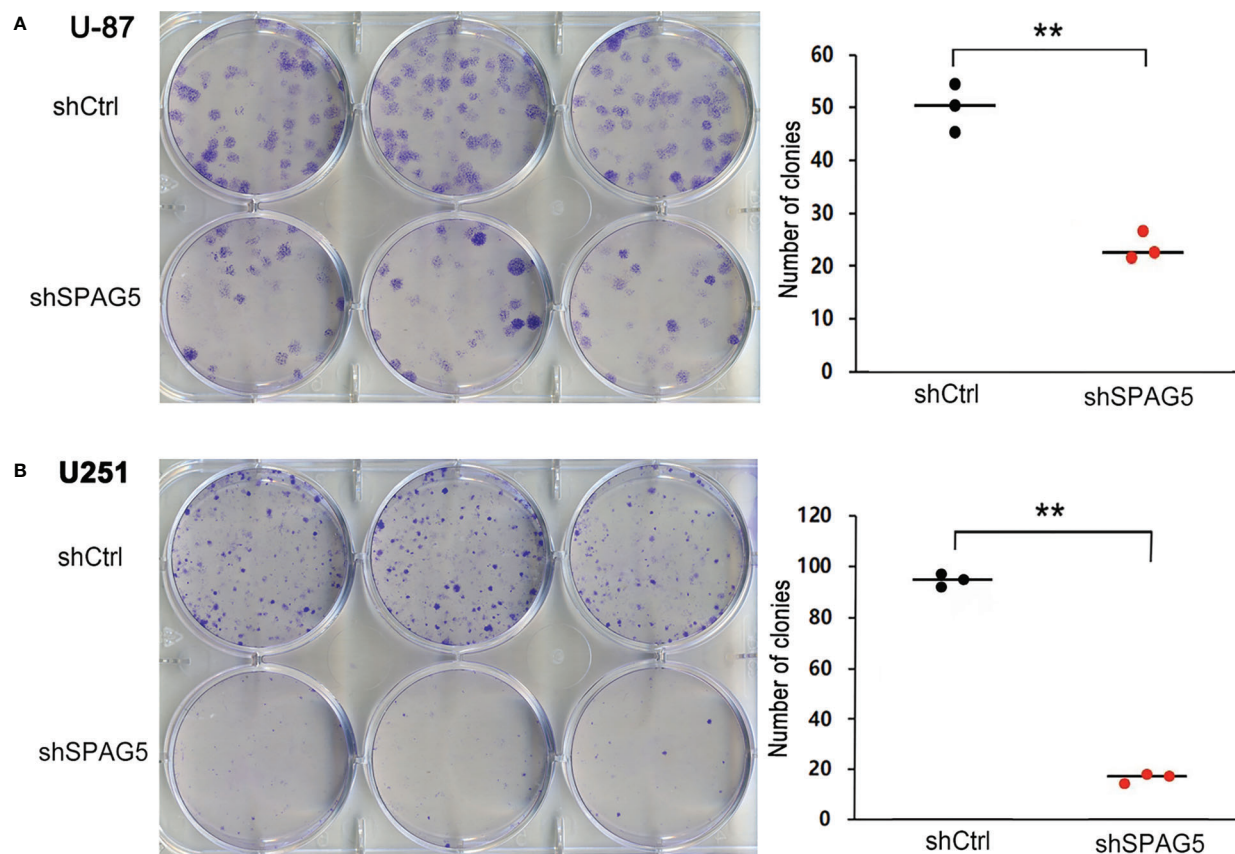
To further analyze the effect of SPAG5 on cell migration and invasion, wound-healing assays and invasion assays were performed. The results of wound-healing assays showed that SPAG5 depletion at 24 h inhibited the migrated cells in U87 cells ( $P < 0.01$ ; Figure 6A) but had no obvious effect on U251 cells (Figure 6B). Invasion assays demonstrated that SPAG5 enhanced the ability of cell invasion in U87 and U251 cells (Figure 7). The results suggested that SPAG5 might be involved in tumor progression.



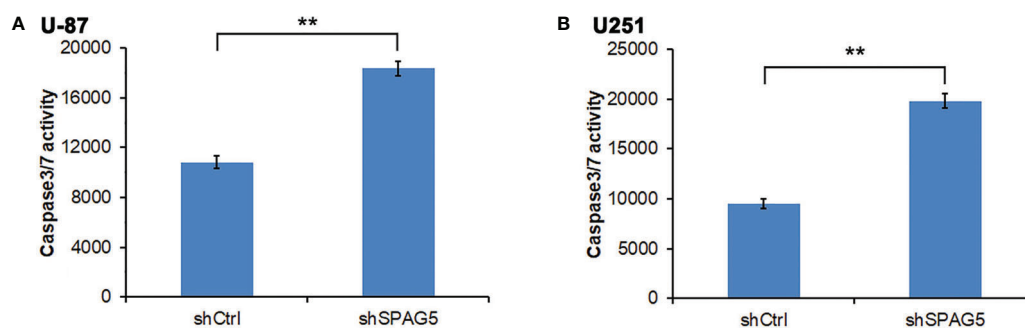
**FIGURE 2** | The expression of sperm-associated antigen 5 (SPAG5) in (A) U-87 and (B) U251 cells transfected with SPAG5-shRNA was measured by Western blotting and qRT-PCR, respectively. Results were expressed as mean  $\pm$  SD from three independent experiments.  $**P < 0.01$ .



**FIGURE 3** | The proliferation capacities were detected by Celigo cytometry system in (A) U-87 cells and (B) U251 cells transfected with SPAG5-shRNA. Results were expressed as mean  $\pm$  SD from three independent experiments. vs shCtrl group,  $**P < 0.01$ .



**FIGURE 4** | Sperm-associated antigen 5 (SPAG5) silencing reduces colony formation of **(A)** U-87 cells and **(B)** U251 cells. Bar chart showed the number of colony formation on the 15th day. Results were expressed as mean  $\pm$  SD from three independent experiments.  $**P < 0.01$ .



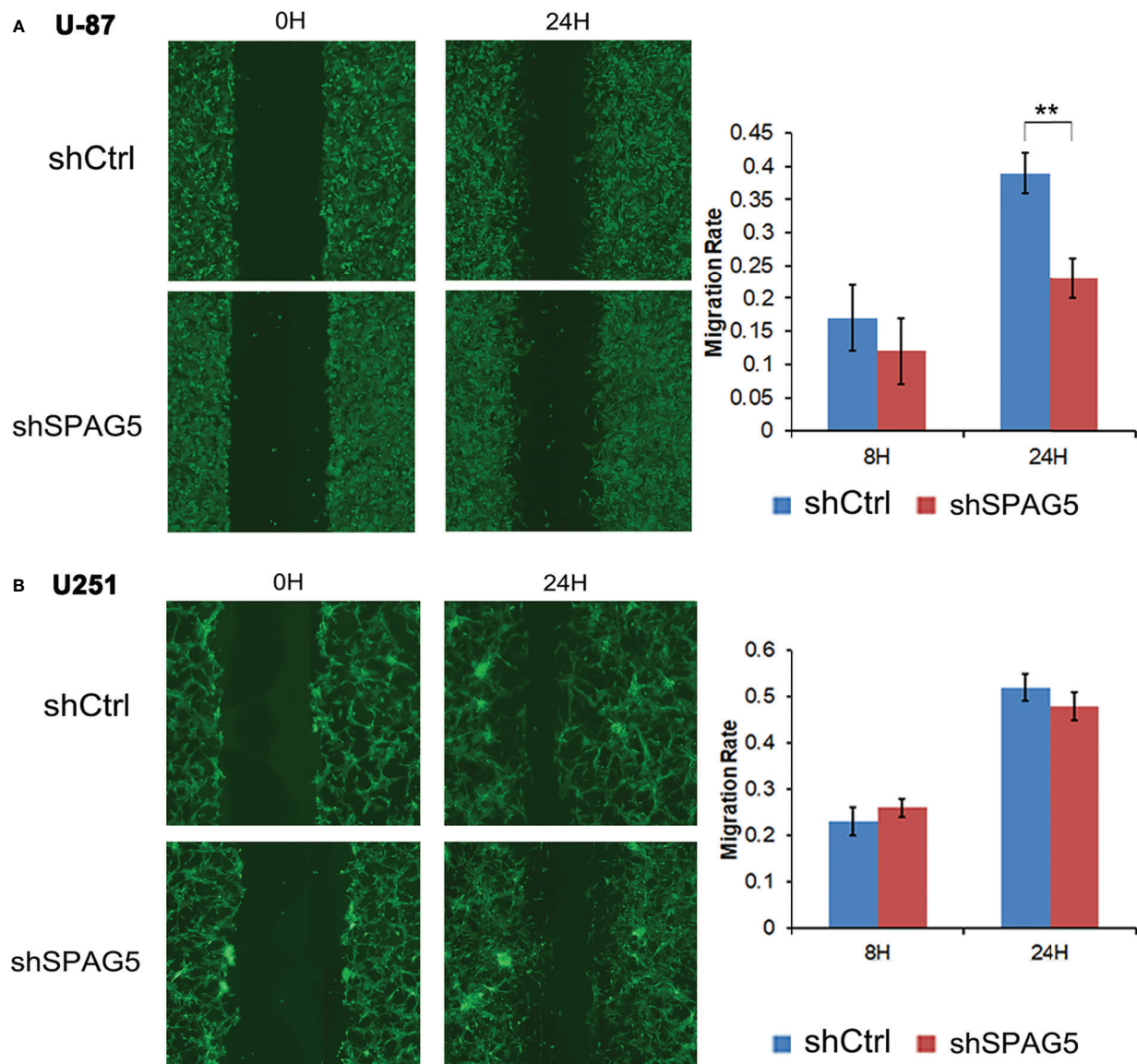
**FIGURE 5** | Measurement of apoptotic cells under sperm-associated antigen 5 (SPAG5) downregulation in **(A)** U-87 cells and **(B)** U251 cells. Results are to calculate the percentage of Caspase 3/7-positive cell population. Results were expressed as mean  $\pm$  SD from three independent experiments.  $**P < 0.01$ .

## SPAG5 Knockdown Could Reduce +CDH2 Expression in Glioma Cells and Overexpression of CDH2 Could Antagonize the Effects of SPAG5 Knockdown

To further explore the mechanisms of SPAG5 in glioma cells, several important signaling pathway molecules were examined

using Western blotting. We found that the expression of CDH2 was correlated with SPAG5 knockdown (**Figures 8A, B**). The results of HCS proliferation screening analysis showed that compared with the NC group, the proliferation of the KD group was significantly reduced, which was consistent with the expectation. Compared with KD group, the expression of



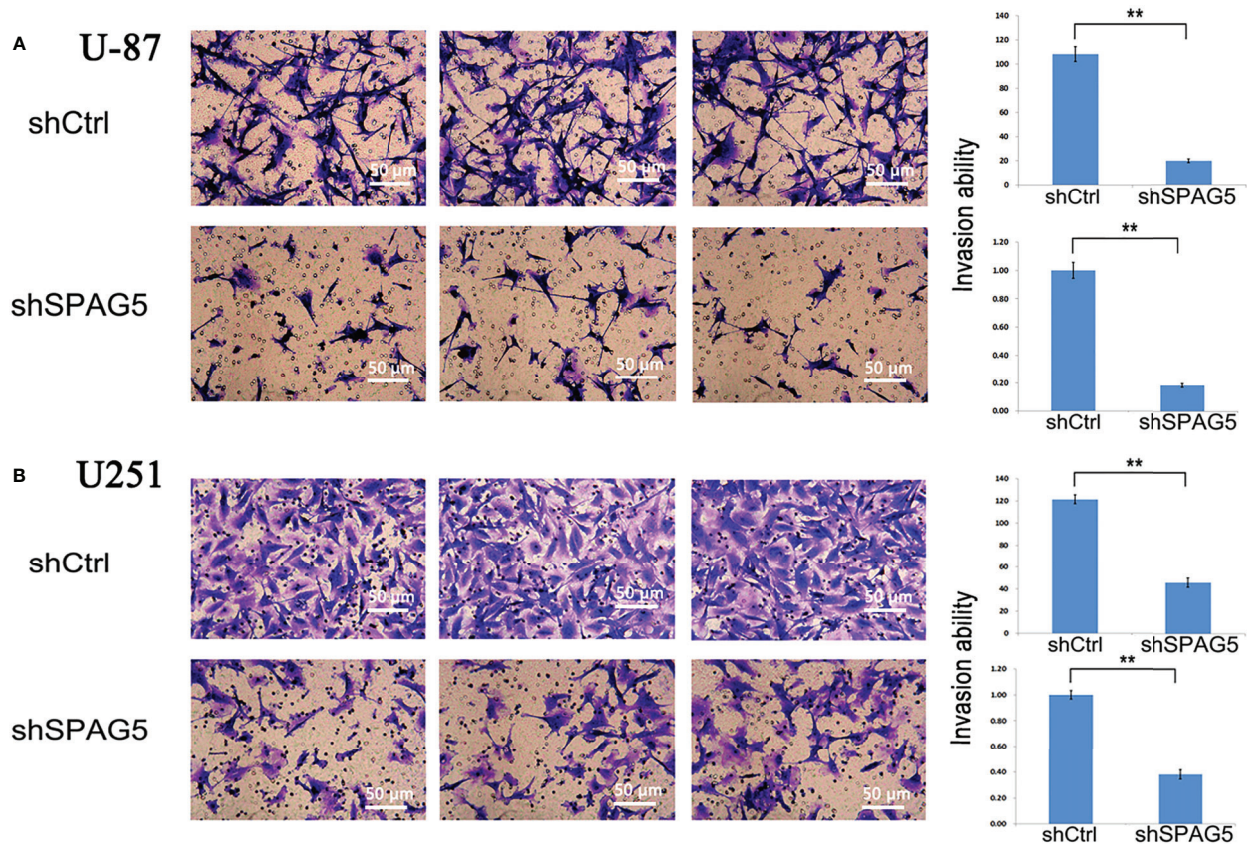


**FIGURE 6** | Wound healing assay showed that shRNA-sperm-associated antigen 5 (SPAG5) transfection into **(A)** U-87 cells and **(B)** U251 cells for 24 h hampered cell migrating capacity compared with that of the negative control group. Bar chart showed the relative migration ability at 8 and 24 h. Results were expressed as mean  $\pm$  SD from three independent experiments.  $**P < 0.01$ .

CDH2 gene in OE group was significantly increased (**Figures 8C, D**). MTT showed that the proliferation of KD+NC group was decreased compared with that in NC+NC group ( $P < 0.05$ ). Compared with KD+NC group, glioma cell proliferation was increased in KD+OE group ( $P < 0.05$ ) (**Figures 8E, F**). Compared with the NC+NC group, the Transwell transfer rate in the KD+NC group decreased ( $P < 0.05$ ), and the Transwell transfer rate in the KD+NC group increased ( $P < 0.05$ ) (**Figures 8G, H**). Our results revealed that SPAG5 knockdown could reduce CDH2 expression, and overexpression of CDH2 could antagonize the effects of SPAG5 knockdown in glioma cells.

## DISCUSSION

Glioma represents the most common primary malignant cerebral tumor in adults, and especially GBM is a severe disease (7). Although glioma has a large number of studies, the precise molecular mechanisms about the disease's development are still unclear. Additional potential markers are needed to predict glioma progression and prognosis to provide clinical significance. In this study, we reported that SPAG5 overexpression was associated with the clinical poor prognosis of glioma patients in TCGA database. Notably, SPAG5 depletion by shRNA silencing led to reduced proliferation and viability of

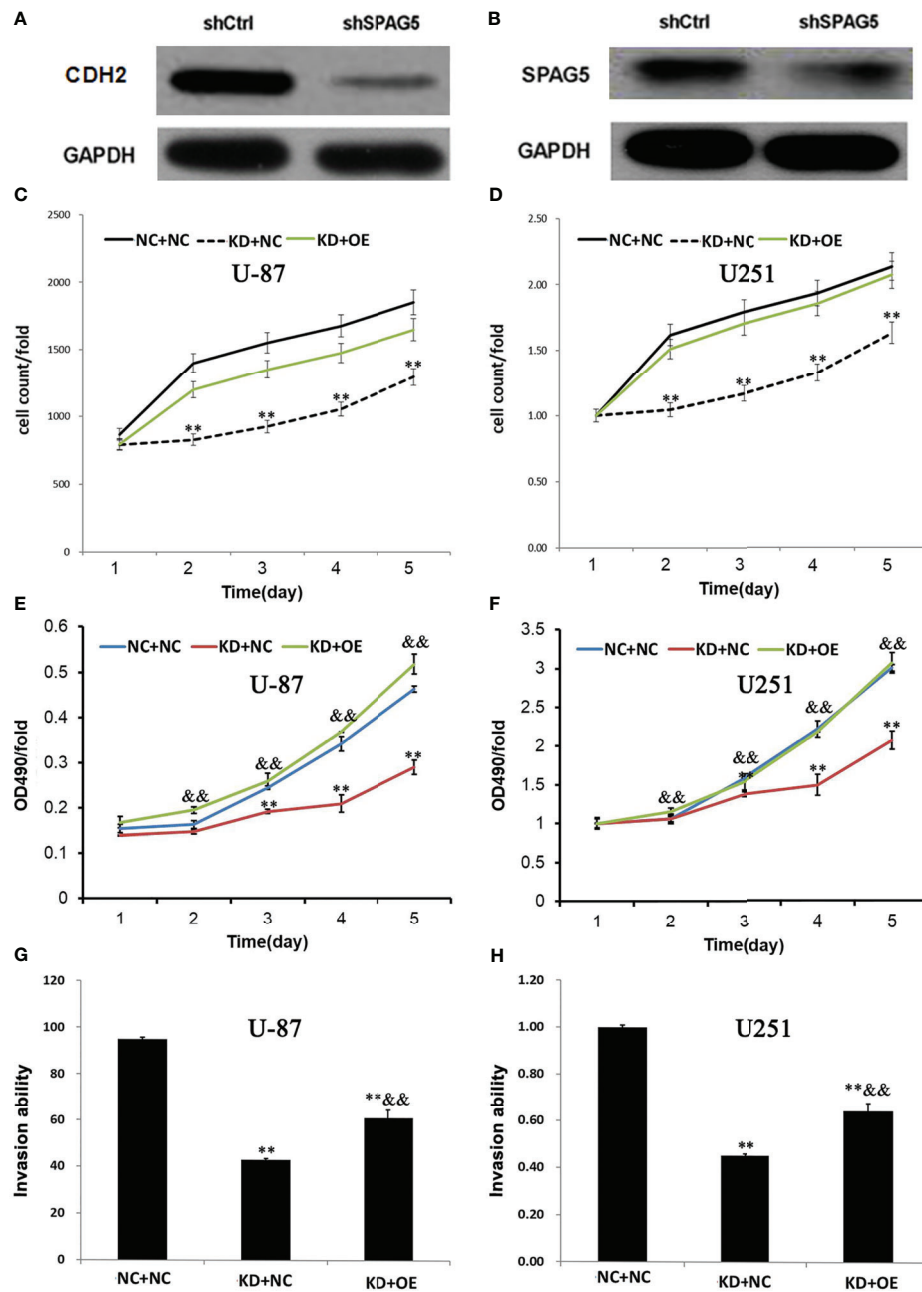


the glioma cells. In addition, SPAG5-depleted glioma cells displayed increased apoptosis *in vitro*. In agreement, clone formation was observably decreased after SPAG5 silencing. Our data suggest that SPAG5 is an oncogene that promotes glioma by downregulating CDH2.

Ideally, identification of genes contributing to tumor genesis and progression will improve the objectivity and accuracy of tumor diagnosis and grading and will probably lead to more accurate judgments of prognosis and treatment response (1). Therefore, identification of novel diagnostic markers and therapeutic targets in glioma is urgently needed. Previous studies have fully uncovered the clinical impact of SPAG5 in breast cancer (11, 12, 23–25). Copy number aberration resulting in SPAG5 gain or amplification, as well as the high-level expression of SPAG5 transcript and protein, were accompanied by shorter overall and tumor-specific survival of patients suffering from breast cancer (25). Upregulation of SPAG5 was associated with poor prognosis in cervical cancer (17). In two independent cohorts, it was reported that HCC patients who had enhanced expression of SPAG5 frequently had a shorter survival (26, 27). SPAG5, which interacts with centrosomal protein CEP55 resulting in the phosphorylation of AKT at Ser473, promotes hepatocellular carcinoma growth *via* CEP55-mediated Phosphatidylinositol -3-

hydroxykinase (PI3K)/(protein kinase B) AKT pathway (28). SPAG5 overexpression was an independent predictor of poor prognosis in gastric cancer patients. Mechanistically, SPAG5 facilitates the progression of gastric cancer cell *via* intensifying the Wnt/ $\beta$ -catenin/survivin signaling *in vitro* and *in vivo* (29). This probably may be due to the fact that overexpression of SPAG5 was associated with infaust clinical factors, including poor tumor histological differentiation, large tumor volume, advanced TNM stage, lymph node metastasis status, and tumor vascular invasion. Furthermore, our findings suggested that glioma cells overexpressing SPAG5 were more aggressive. EMT-related molecules have been reported to play a key role in glioma progression.

CDH2 encodes the N-cadherin protein, and the previous study has confirmed that the expression of CDH2 in patients with high-grade glioma is higher than that in patients with LGG, and patients with high expression of CDH2 show poor prognosis (30). Our results demonstrated that deletion of the SPAG5 gene reduced the expression of CDH2 and inhibited the proliferation of glioma cells, whereas restoration of CDH2 restored the proliferation of glioma cells. This suggests that in glioma cells, the SPAG5 gene regulates tumor cell proliferation through the CDH2 signaling pathway.



**FIGURE 8 |** SPAG5 knockdown decreased CDH2 expression in glioma cells. The expression of (A) CDH2 and (B) SPAG5 in cells transfected with SPAG5-shRNA were measured by western blotting. The HCS proliferation screening analysis of (C) U-87 cells and (D) U251 cells. ShRNA lentivirus-infected (E) U-87 and (F) U251 cells were cultured for 5 d and used in MTT assay. The absorption rate of light at wavelength of 490 nm was compared with time in each group. OD490 reflects the number of active cells. The invasion ability of transwell transferred (G) U-87 and (H) U251 cells in each experimental group was compared with that in the control group. NC+NC: Parental glioma cells+Vector; KD+NC: Parental glioma cells+Knockdown-SPAG5+Vector; KD+OE: Parental glioma cells+Knockdown-SPAG5+overexpression-CDH2. Results were expressed as mean  $\pm$  SD from three independent experiments. vs NC+NC group, \*\* $P < 0.01$ ; vs KD+NC group, && $P < 0.01$ .

Our findings imply that SPAG5 plays a role in the development of gliomas. Certain limitations of our research should be noted. Though we described that SPAG5 is related to proliferation, migration, and invasion of glioma cells at the

molecular and cellular levels, the exact mechanism is not clear. Whether SPAG5 has an effect on glioma after overexpression and *in vivo* experiments are our next step need further investigation to unveil the mechanism.



## CONCLUSIONS

In short, we show that increased expression of SPAG5 in glioma was closely correlated with poor prognosis, indicating that SPAG5 serves as a promising prognostic factor in glioma. SPAG5 may represent a potential therapeutic target for the clinical intervention of glioma.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors without undue reservation.

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## AUTHOR CONTRIBUTIONS

Conception: HJ. Interpretation or analysis of data: HS and RC. Preparation of the manuscript: CW, HS, and RC. Revision for important intellectual content: CW. Supervision: CW and HJ. All authors contributed to the article and approved the submitted version.

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# Epidemiologic Features, Survival, and Prognostic Factors Among Patients With Different Histologic Variants of Glioblastoma: Analysis of a Nationwide Database

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**Background:** Glioblastoma (GBM) is the most common primary intracranial malignancy. Previous studies found incidence of GBM varies substantially by age, sex, race and ethnicity, and survival also varies by country, ethnicity, and treatment. Gliosarcoma (GSM) and giant cell glioblastoma (GC-GBM) are different histologic variants of GBM with distinct clinico-pathologic entities. We conducted a study to compare epidemiology, survival, and prognostic factors among the three.

**Methods:** We identified GBM patients diagnosed between 2000 and 2016 using the Taiwan Cancer Registry and followed them using the death registry. Survival was compared among conventional GBM and two histologic variants. The potential confounding factors evaluated in this study included registered year, age, sex, and treatment modality (resection, radiotherapy, and chemotherapy).

**Results:** We enrolled 3,895 patients, including 3,732 (95.8%) with conventional GBM, 102 (2.6%) with GSM, and 61 (1.6%) with GC-GBM. GC-GBM patients had younger mean age at diagnosis (49.5 years) than conventional GBM patients (58.7 years) and GSM patients (61.3 years) ( $p < 0.01$ ). The three groups had similar sex distributions ( $p = 0.29$ ). GC-GBM had a longer median survival [18.5, 95% confidence interval (CI): 15.8–25.3 months] than conventional GBM (12.5, 95%CI: 12.0–13.0 months) and GSM (12.8, 95%CI: 9.2–16.2 months), and the differences in overall survival did not attain statistical significance ( $p = 0.08$ , log-rank test). In univariate analysis, GC-GBM had better survival than conventional GBM, but the hazard ratio (0.91) did not reach statistical significance (95%CI: 0.69–1.20) in the multivariate analysis. Young ages ( $\leq 40$  years), female sex, resection, radiotherapy, and chemotherapy were factors

associated with better survival in overall GBMs. In subtype analyses, these factors remained statistically significant for conventional GBM, as well as radiotherapy for GSM.

**Conclusion:** Our analysis found conventional GBM and its variants shared similar poor survival. Factors with age  $\leq 40$  years, female sex, resection, radiotherapy, and chemotherapy were associated with better prognosis in conventional GBM patients.

**Keywords:** glioblastoma, gliosarcoma, giant cell glioblastoma, histologic variant, epidemiology

## INTRODUCTION

Primary brain tumors account for about 1% of all malignant neoplasms. Glioma is the most common brain tumor, and glioblastoma (GBM) is the most common primary intracranial malignancy in adults, which has a dismal prognosis despite multimodality therapy (1). Previous studies found the incidence of central nervous system tumors in the Western world is higher than that in the Eastern world, and the occurrence is also higher in developed countries compared to less developed countries (2). The incidence of GBM varies substantially by age, sex, race, and ethnicity, and prognosis also vary by country, ethnicity, and treatment (2–5). Ostrom et al. reported that non-Hispanic whites had a higher incidence and lower survival rates compared to individuals of other racial or ethnic groups in the US (3). Chien et al. also found disparities by histologic type and grade of primary malignant brain and central nervous system tumors between the US and Taiwan (2).

Glioblastomas comprise a group of morphologically highly heterogeneous neoplasms, as the original designation “multiforme” implies (6). “Glioblastoma” is synonymous with WHO grade IV astrocytoma, GBM multiforme, or conventional GBM in the previous WHO classification. Variants are subtypes of entities that are sufficiently well-characterized pathologically to take a place in the classification and have potential clinical utility (6, 7). Two histologic variants of GBM are recognized as distinct clinicopathologic entities since the 2000 WHO classification: gliosarcoma (GSM) and giant cell glioblastoma (GC-GBM) (6–8). The variants of GSM and GC-GBM possess distinct histologic identities, which may be relevant for tumor behavior and clinical outcomes. The prognosis of GSM appears to be equal or even worse than that of conventional GBM (9–13). GC-GBM also bears a distinct clinico-pathologic picture, traditionally thought to occur more in younger patients and has better survival (13–16).

According to the literature, GSM accounts for 2–8% of overall GBM patients, while GC-GBM comprises only about 1–5% (11–17). The reported outcome of GC-GBM and GSM are limited in a retrospective hospital database or case series with small patient size. Nonetheless, the differences between GSM and GC-GBM may not be fully evaluated, especially in different countries, in the literature. Therefore, they may not fully reflect the distinct clinical features of GBM variants.

To overcome the limitations associated with low incidence, we used the Taiwan Cancer Registry (TCR) database to study histologic variants of GBM. The aims are to identify epidemiologic features, survival, and prognostic factors of the

GBM patients with different histologic variants. Modest, yet clinically meaningfully, differences in the effects of treatment modality may surface with the study of a large series. We also conduct a literature review on the incidence and prognosis of histologic variants of GBM reported on the basis of population-based databases in the world.

## MATERIALS AND METHODS

### Database Sources

The databases of TCR and Taiwan’s death registry from 1996 to 2016 were used in this study. The TCR has been organized and funded by the Ministry of Health and Welfare of Taiwan since 1979. Following the enactment of the Cancer Control Act in 2003, all hospitals are mandated to submit cancer data to TCR. The TCR had to monitor the completeness and audit data quality to assure the accuracy of cancer registration data from hospitals reporting, so lag time for reporting cancer incidence is about 4 years. Additionally, TCR data are subjected to periodic quality control audits. It is also overseen by an advisory board and run by the National Public Health Association, which works to standardize terminology, coding, and procedures for the registry. The TCR covers nearly 99% of the cancer patients in Taiwan and records their related information, including the individual demographics, cancer primary sites, tumor histology, and treatment modality. However, the database did not record the exact date of death before 2000. For research purposes, the Health and Welfare Data Science Center (HWDC) set an integrated database center to help academic usage of these databases with de-identified forms in an anonymous format.

### Definition of Study Subjects

The subjects of this study were selected from patients registered in the TCR between 2000 and 2016, and we identified brain tumor patients with the coding of the International Classification of Diseases for Oncology, third edition (ICD-O-3). The percentage of microscopically confirmed cases of malignant brain and central nervous system tumors was around 90% in TCR. Three histologic types of brain tumor were chosen for comparison: GBM not otherwise specified (ICD-O-3 histology code: 9440/3; noted as conventional GBM in this study), GC-GBM (ICD-O-3 histology code: 9441/3), and GSM (ICD-O-3 histology code: 9442/3). Cases without pathologically confirmed, prior diagnosis of glioma or other brain tumor, and also those that were without required data on the TCR such as the date of registration, diagnosis, or treatment were excluded in our analysis.

## Literature Search Strategy

We conducted a search of literature published between January 1995 and December 2019 in PubMed (National Library of Medicine) using “glioblastoma,” “gliosarcoma,” “giant cell glioblastoma,” or “brain tumor” combined with “population-based” or “epidemiology” as keywords. We included epidemiological studies published in full-text English. Case reports, animal studies, reports of GBMs secondary to other conditions, and reports of surgical or radiological management of GBMs were not included.

## Measurements

The primary outcome in this study was mortality. All study subjects were followed up until death or the end date of the study (December 31, 2016). Mortality was identified using the death registry database. The potential confounding factors evaluated in this study included registered year, age, sex, and treatment modality (resection, radiotherapy, and chemotherapy). The TCR database focuses on new cases and thus does not have information about the definite tumor recurrence. Therefore, we are unable to perform disease-free survival analysis.

## Statistical Analysis

We used Pearson’s chi-square tests to evaluate the differences in distributions of categorical variables among patients with conventional GBM, GC-GBM, and GSM and used analyses of variance to evaluate the differences in continuous variables. The survival curves were plotted using the Kaplan-Meier method, and the differences were evaluated using log-rank tests. We used Cox proportional regressions to compare survival among the three groups of patients. Multivariate analysis was performed to identify independent factors associated with survival and adjust for effects of potential confounders. We also conducted stratified analyses by histologic type and paired comparisons using conventional GBM as the reference group. We conducted all statistical analyses using SAS 9.4 (SAS Institute Inc., Cary, NC, USA) and performed statistical tests at a two-tailed significance level of 0.05.

## RESULTS

From the TCR database, we enrolled 3,895 histologically confirmed GBM patients in the final analyses, including 3,732 (95.8%) with conventional GBM, 102 (2.6%) with GSM variant, and 61 (1.6%) with GC-GBM. We found the distribution of these three groups of GBMs was quite similar before and after 2007 ( $p = 0.20$ ) (Table 1). Patients with GC-GBM had a younger mean age at diagnosis than patients with conventional GBM or GSM (49.5 vs. 58.7 or 61.3 years,  $p < 0.01$ ). While 26.2% of GC-GBM patients were in the youngest age group ( $\leq 40$  years), only 12.6% of conventional GBM patients and 6.9% of GSM patients were in this age group ( $p < 0.01$ ). The differences in the distribution of sex among the three groups did not reach statistical significance ( $p = 0.29$ ). Patients with conventional GBM were more likely to receive conservative operation (i.e., incisional biopsy only) compared to GC-GBM or GSM patients (18.8 vs. 6.6 or 5.9%,  $p < 0.01$ ). Differences in the percentage of patients who received

adjuvant radiotherapy among the three groups did not reach statistical significance ( $p = 0.23$ ). However, a higher proportion of GSM patients (72.1%) had undergone adjuvant chemotherapy in comparison with patients with conventional GBM or GC-GBM (48.2 or 57.8%,  $p < 0.01$ ).

The prognosis of overall GBM cohort is poor, with an overall median survival of 12.6 [95% confidence interval (CI): 12.1–13.2] months. GC-GBM patients had a median survival of 18.5 (95%CI: 15.8–25.3) months, longer than that of conventional GBM [12.5 (95%CI: 12.0–13.0) months] or GSM [12.8 (95%CI: 9.2–16.2) months]. The 5-year mortality of conventional GBM, GSM, and GC-GBM were 87.9%, 86.3%, and 82%, respectively ( $p = 0.34$ ). The differences in overall survival did not reach statistical significance ( $p = 0.08$ , log-rank test) (Figure 1).

In univariate analyses, GC-GBM had better survival than conventional GBM with a hazard ratio (HR) of 0.73 (95%CI: 0.55–0.97) (Table 2). Older ages (HR = 1.34, 95%CI: 1.20–1.49 for 40–70 years old and HR = 2.64, 95%CI: 2.35–2.98 for  $\geq 70$  years old as compared to  $\leq 40$  years old) and male sex (HR = 1.16, 95%CI: 1.09–1.24) were also associated with poor survival. Tumor resection (HR = 0.64, 95%CI: 0.59–0.70), adjuvant radiotherapy (HR = 0.51, 95%CI: 0.48–0.55), and chemotherapy (HR = 0.57, 95%CI: 0.53–0.61) were all associated with favorable survival. In multivariate analyses, the 5-year mortality rates of patients with conventional GBM, GC-GBM, and GSM were similar after adjustment for other factors. Nonetheless, younger ages ( $\leq 40$  years) ( $p < 0.01$ ), female sex ( $p < 0.01$ ), resection ( $p < 0.01$ ), adjuvant radiotherapy ( $p < 0.01$ ), and chemotherapy ( $p < 0.01$ ) were shown to be associated with better prognosis of the overall GBM cohort following multivariate analyses (Table 2).

In stratified analyses by histologic type, older ages (HR = 1.46, 95%CI: 1.30–1.63 for 40–70 years old and HR = 2.58, 95%CI: 2.29–2.92 for  $\geq 70$  years old as compared to  $\leq 40$  years old) and male sex (HR = 1.13, 95%CI: 1.06–1.21) were still independent unfavorable factors for survival in conventional GBMs (Table 3). However, the HRs did not reach statistical significance in GC-GBM or GSM. In fact, a lower HR associated with 40–70 years old was observed for both GC-GBM (HR = 0.69, 95%CI: 0.35–1.38) and GSM (HR = 0.91, 95%CI: 0.38–2.20). Tumor resection was associated with a better survival for conventional GBM (HR = 0.81, 95%CI: 0.74–0.89), but not GC-GBM (HR = 2.49, 95%CI: 0.63–9.93); the HR associated with operation did not reach statistical significance for GSM (HR = 0.74, 95%CI: 0.31–1.81), neither. Radiotherapy was associated with better survival for all three histologic types (HR = 0.65, 95%CI: 0.60–0.71 for conventional GBM and HR = 0.46, 95%CI: 0.28–0.78 GSM), but the HR associated with GC-GBM did not reach statistical significance (HR = 0.51, 95%CI: 0.21–1.21). Chemotherapy was associated with better survival for all three histologic types (HR = 0.73, 95%CI: 0.67–0.79 for conventional GBM), but the associated HR did not reach statistical significance for GC-GBM (HR = 0.51, 95%CI: 0.24–1.07) or GSM (HR = 0.65, 95%CI: 0.40–1.08) (Table 3).

In paired comparisons, after adjusting for potential confounders, we found GC-GBM and GSM variants have similar survival compared to conventional GBM in all strata by age group, sex, and treatment modality (Supplementary Table).

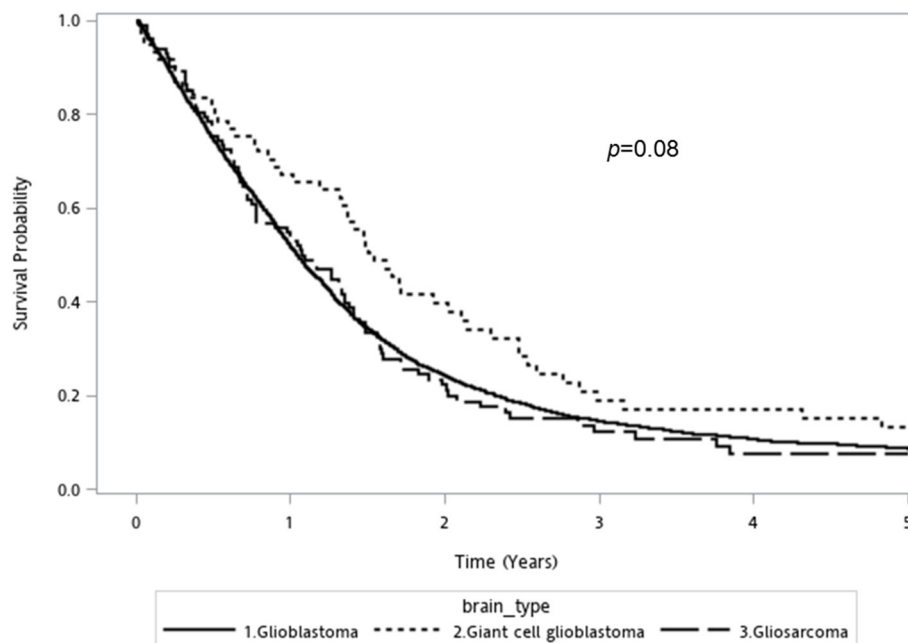


**TABLE 1** | Demographic and clinical characteristics of patients diagnosed with glioblastoma and its histologic variants in 2000–2016, Taiwan.

Characteristics	All patients	Histologic variants			p-value
		Conventional GBM	Giant cell GBM	Gliosarcoma	
<b>Patients</b>	3,895 (100.0)	3,732 (95.8)	61 (1.6)	102 (2.6)	
<b>Period of diagnosis</b>					0.20
2000–2007	1,647 (42.3)	1,603 (97.0)	17 (0.4)	27 (1.6)	
2008–2016	2,248 (57.7)	2,129 (94.7)	44 (2.0)	75 (3.3)	
<b>Age at diagnosis</b> (mean $\pm$ SD year)	58.6 $\pm$ 16.8	58.7 $\pm$ 16.8	49.5 $\pm$ 17.8	61.3 $\pm$ 15.5	<0.01
<b>Age group (year)</b>					<0.01
$\leq 40$	493 (12.7)	470 (12.6)	16 (26.2)	7 (6.9)	
40–70	2,266 (58.2)	2,174 (58.3)	35 (57.4)	57 (55.9)	
$\geq 70$	1,136 (29.2)	1,088 (29.2)	10 (16.4)	38 (37.3)	
<b>Sex</b>					0.29
Male	2,229 (57.2)	2,136 (54.8)	30 (49.2)	63 (61.8)	
Female	1,666 (42.8)	1,596 (45.2)	31 (50.8)	39 (38.2)	
M/F ratio	1.34	1.34	0.97	1.62	
<b>Resection<sup>a</sup></b>					<0.01
Yes	3,185 (81.8)	3,032 (81.2)	57 (93.4)	96 (94.1)	
No	710 (18.2)	700 (18.8)	4 (6.6)	6 (5.9)	
<b>Radiotherapy</b>					0.23
Yes	2,669 (68.5)	2,548 (68.3)	47 (77.1)	74 (72.6)	
No	1,226 (31.5)	1,184 (31.7)	14 (22.9)	28 (27.4)	
<b>Chemotherapy</b>					<0.01
Yes	1,902 (48.8)	1,799 (48.2)	44 (72.1)	59 (57.8)	
No	1,993 (51.2)	1,933 (51.8)	17 (17.9)	43 (42.2)	

CI, confidence interval; GBM, glioblastoma; SD, standard deviation.

<sup>a</sup>Subtotal or gross-total resection, other than biopsy only.

**FIGURE 1** | Kaplan-Meier overall survival curve for conventional glioblastoma, giant cell glioblastoma, and gliosarcoma (log rank p-value = 0.08).

**TABLE 2 |** Univariate and multivariate Cox regression analyses of potential factors associated the survival of overall glioblastoma cohort.

Variables	Univariate HR (95% CI)	p-Value	Multivariate <sup>a</sup> HR (95% CI)	p-Value
<b>Variants</b>				
Conventional GBM	(reference)		(reference)	
Giant cell GBM	0.73 (0.55–0.97)	0.03	0.91 (0.69–1.20)	0.51
Gliosarcoma	1.03 (0.83–1.27)	0.82	1.06 (0.86–1.31)	0.59
<b>Age at diagnosis (year)</b>				
≤40	(reference)		(reference)	
40–70	1.34 (1.20–1.49)	< 0.01	1.42 (1.27–1.59)	< 0.01
≥70	2.64 (2.35–2.98)	< 0.01	2.56 (2.27–2.88)	< 0.01
<b>Sex</b>				
Female	(reference)		(reference)	
Male	1.16 (1.09–1.24)	< 0.01	1.12 (1.05–1.20)	< 0.01
<b>Resection<sup>b</sup></b>				
No	(reference)		(reference)	
Yes	0.64 (0.59–0.70)	< 0.01	0.82 (0.75–0.89)	< 0.01
<b>Radiation</b>				
No	(reference)		(reference)	
Yes	0.51 (0.48–0.55)	< 0.01	0.65 (0.59–0.70)	< 0.01
<b>Chemotherapy</b>				
No	(reference)		(reference)	
Yes	0.57 (0.53–0.61)	< 0.01	0.72 (0.67–0.78)	< 0.01

GBM, glioblastoma; HR, hazard ratio; CI, confidence interval.

<sup>a</sup>Adjusted for age, sex, and treatment modality.<sup>b</sup>Subtotal or gross-total resection, other than biopsy only.

## DISCUSSION

Glioblastoma comprise a group of morphologically highly heterogeneous neoplasms, as the original designation “multiforme” implies. The cellular composition, even within a GBM tumor *per se*, can vary widely, and mixed histologic features are typical. “Glioblastoma” is synonymous with WHO grade IV astrocytoma in the previous WHO classification or GBM multiforme (6–8). The 2016 WHO classification of central nervous tumors first introduced molecular parameters to define GBM tumor entities, preserved two (GC-GBM and GSM) variants under the umbrella of isocitrate dehydratase (IDH)-wild type GBM. The variants GSM and GC-GBM possess distinct histologic identities, whereas it is seemingly a coherent category of GBM variants, at least based on microscopic tumor morphology alone without regard to biological markers. The GSM variant retains morphologic features of the conventional GBM, while the tumor has differentiated into both biphasic glial and sarcomatous components, and the tumor behavior possesses a higher potential of metastasizing to different lobes of the brain or even to extra-cranial sites clinically. The prognosis of GSM appears to be equal or even worse than that of conventional GBM (9–13). The GC-GBM variant has conventional GBM differentiation, and is further characterized to share a predominance of bizarre multinucleated giant cells and lymphocytic infiltration. GC-GBM also manifests distinct clinical

pictures, traditionally thought to occur more often in younger patients and has better outcome compared to conventional GBM (13–16).

We aimed to recruit a large GBM cohort to define the epidemiology and survival factors using the population-based databases in Taiwan. Our results suggest that GSM and GC-GBM variants represent approximately 2.6% and 1.6% of all GBM patients in Taiwan, respectively. Surveillance, Epidemiology, and End Results (SEER) in the US showed that GSM and GC-GBM only accounted for 2.2% and 1% of overall GBM (11, 14), and the ratio of GBM variants National Cancer Database and SEER in the US reported 2.2%–2.9% for GSM and 0.8%–1% for GC-GBM (11, 13–17). The GSM variant, like conventional GBM, shows a propensity to affect the elderly, with a median age at diagnosis around 60 years old in Taiwan and the US. Similarly, both GBM and GSM variants demonstrate comparable male predominance. The occurrence of GC-GBM in Taiwan tended to occur in younger patients with a mean age at 49.5 years, in contrast to 51–56 years in the US (11, 13–17). Nonetheless, with regard to the Asian or Chinese population, reported data on GBM variants were limited. From a hospital-based series, 51 GSM patients were identified with slightly male predominance (59%) and younger age (median age 45 years) in 518 GBM patients at a Chinese hospital (18). The report showed a higher incidence of GSM (9.8%) and a younger age compared to our analysis. However, the hospital-based study might not have sufficient power to precisely characterize Asian GBM variants. Our study is population-based, which enhances generalizability relative to *ad-hoc* hospital-based case series.

Glioblastoma GSM is the most deadly primary brain tumor, with a 5-year survival rate of only about 5%–10%, there are no clinical or pathologic stage classifications of GBMs that are generally accepted (5, 6, 19). Conventional GBM and its histologic variants had a similar worse outcome in our study, and the 5-year mortality rates (87.9% for conventional GBM, 86.3% for GSM, and 82.0% for GC-GBM) are in line with the reported literature (9–19). Our database analyses found GC-GBM patients had a higher median survival of 18.5 months, compared to conventional GBM (12.5 months) and GSM (12.8 months). Our population study had slightly lower median OS than that reported by Stupp et al. (12.6 vs. 14.6 months) (20). Differences in eligibility for the clinical trial in the study by Stupp et al. and the inclusion criteria in our population-based study might explain the discrepancy. In univariate analysis, GC-GBM was found to be associated with a 27% lower risk of mortality in comparison with conventional GBM, but the difference was not significant in multivariable analysis. The prognosis of GC-GBM variant and conventional GBM was found to be equally poor in a review of cases series and hospital-based cancer database (6, 7). However, other studies found a slightly better prognosis for the GC-GBM variant in comparison with conventional GBM (11, 15). The US cancer registry study reported median survival of 11–15.5 months in GC-GBM patients, which is better than conventional GBM in the period of 1988–2004, 1998–2011, and 2004–2014 reported from US SEER or National Cancer Database, respectively (13–15). However, an analysis of the US SEER database of years 1985–2014 showed that GC-GBM and

**TABLE 3 |** Univariate and multivariate stratified Cox regression analyses of survival of conventional glioblastomas and histologic variants.

Variables	Conventional glioblastoma		Giant cell glioblastoma		Gliosarcoma	
	Univariate HR (95%CI)	Multivariate HR (95%CI)	Univariate HR (95%CI)	Multivariate HR (95%CI)	Univariate HR (95%CI)	Multivariate HR (95%CI)
<b>Age at diagnosis (year)</b>						
≤40	(reference)	(reference)	(reference)	(reference)	(reference)	(reference)
40–70	1.37 (1.22–1.53)*	1.46 (1.30–1.63)*	0.65 (0.34–1.25)	0.69 (0.35–1.38)	0.92 (0.39–2.15)	0.91 (0.38–2.20)
≥70	2.68 (2.37–3.02)*	2.58 (2.29–2.92)*	1.53 (0.68–3.46)	1.29 (0.40–3.34)	2.33 (0.98–5.57)	2.32 (0.95–5.67)
<b>Sex</b>						
Female	(reference)	(reference)	(reference)	(reference)	(reference)	(reference)
Male	1.17 (1.10–1.26)*	1.13 (1.06–1.21)*	1.05 (0.60–1.83)	1.20 (0.65–2.21)	0.75 (0.49–1.15)	0.87 (0.54–1.40)
<b>Resection<sup>a</sup></b>						
No	(reference)	(reference)	(reference)	(reference)	(reference)	(reference)
Yes	0.64 (0.59–0.70)*	0.81 (0.74–0.89)*	1.12 (0.35–3.59)	2.49 (0.63–9.93)	0.47 (0.20–1.07)	0.74 (0.31–1.81)
<b>Radiotherapy</b>						
No	(reference)	(reference)	(reference)	(reference)	(reference)	(reference)
Yes	0.51 (0.48–0.55)*	0.65 (0.60–0.71)*	0.50 (0.26–0.94)*	0.51 (0.21–1.21)	0.36 (0.22–0.57)*	0.46 (0.28–0.78)*
<b>Chemotherapy</b>						
No	(reference)	(reference)	(reference)	(reference)	(reference)	(reference)
Yes	0.58 (0.54–0.62)*	0.73 (0.67–0.79)*	0.28 (0.21–0.70)*	0.51 (0.24–1.07)	0.51 (0.33–0.78)*	(0.40–1.08)

HR, hazard ratio; CI, confidence interval.

\*p-value &lt; 0.05.

<sup>a</sup>Subtotal or gross-total resection, other than biopsy only.

conventional GBM shared similar poor prognosis (16). This indicates that a longer study period with a large sample size might reflect the true outcome between the GC-GBM and GBM cohort.

Some previous studies reported that GSM had a similar survival to conventional GBM, or even worse (9, 10, 12, 13). Our survival analysis showed that GSM and conventional GBM had similar poor overall survival (12.8 vs. 12.5 months). The US cancer registry database showed that, the median survival for GSM was 9–10.7 months (11–13, 17). A review article identified 219 cases of GSM from 34 reports before 2010 and found survival ranging from 4 to 11.5 months. This review provided distinct clinical and pathogenetic features of GSM, including increased metastatic dissemination and worse prognosis than conventional GBM (10). Our analysis is also in line with our previous study that showed no difference in survival between conventional GBM and GSM, and two GSM cases progressed to intra- or extra-cranial metastasis (21, 22). A Chinese study reported a similar median overall survival between GSM (13.0 months) and conventional GBM (14.0 months) (18). Despite the dismal outcome of GSM, adjuvant radiotherapy was found to be an independent predictor in the study.

To the best of our knowledge, this is the first population-based study in Asian patients. Therefore, this is a useful study looking into these rare histologic variants of GBM especially for patients of Asian nationality or heritage. We conducted a literature review to identify epidemiologic studies on the clinical features and prognosis of GBM variants in the databases of Medline and PubMed. **Table 4** listed various epidemiologic reports in different national population-based studies, including the US SEER and National Cancer database, and three national registries from

Australia, France, and England. However, the three studies only reported case numbers of the variants of GBM without detailed demographics and outcomes (23–25). The results reported the incidence with 1.3%–2.7% GSM and 0.7%–1.8% GC-GBM in other population-based studies. Our study does provide authoritative demographic and survival information on GBM variants in an Asian population, considering that a majority of studies are from American and European populations.

Currently, all GBM patients underwent tri-modal therapy, including tumor excision, following chemo-radiotherapy as the standard therapy. We found GBM patients survived longer following standard therapy including operation, radiotherapy, and chemotherapy. These findings parallel the literature, which all confirmed more favorable survival through tri-modality therapy (6, 20, 26). However, regarding GSM patients, we only found the radiotherapy was associated with favorable survival. For GC-GBM patients, we were unable to find favorable prognostic predictors, even following operation, radiotherapy, or chemotherapy. Due to the rarity, even TCR case number might not be sufficiently powered to precisely characterize GSM and GC-GBM. To sum up, the clinical implications of the prognosis of GC-GBM or GSM might share similar or different risk factors compared with conventional GBM. So, the best management of the two rare entities (GSM and GC-GBM) should be further investigated in future clinical trials with hints taken from the epidemiologic study.

The 2016 WHO classification of central nervous tumors introduced molecular parameters in addition to histology to define GBM tumor entities (7). Although there is a trend to incorporate molecular markers into the classification of GBM,

**TABLE 4 |** Literature review on the epidemiologic data on histologic variants of glioblastoma from population-based cancer registries.

Registry [year, reference]	Period	All GBM patients	Histologic variants						
			Conventional GBM		GC-GBM		GSM		Survival outcomes
			N (%)	Median age (year)	N (%)	Median age (year)	N (%)	Median age (year)	Median survival (month)
US, SEER, [2009, (11)]	1988–2004	16,388	16,035 (97.8)	62	–	–	353 (2.2)	63	NS 9 (GBM) 8 (GSM)
US, SEER, [2009, (14)]	1988–2004	16,430	16,259 (99.0)	62	171 (1.0)	51	–	–	GC-GBM > GBM 8 (GBM) 11 (GC-GBM)
Australia, [2011, (23)]	2000–2008	2,275	2,197 (96.5)	–	17 (0.7)	–	62 (2.7)	–	–
US, NCDB, [2014, (13)]	1998–2011	69,935	67,509 (96.5)	61	592 (0.8)	56	1,834 (2.6)	61	GC-GBM > (GBM, GSM) 9.8 (GBM) 13.4 (GC-GBM) 8.8 (GSM)
US, NCDB, [2018, (17)]	2004–2013	37,760	36,658 (97.1)	61.7 <sup>a</sup>	–	–	1,102 (2.9)	61.1 <sup>a</sup>	NS 11.9 (GBM) 10.7 (GSM)
England, [2018, (24)]	1995–2015	37,786	37,046 (98.0)	–	263 (0.7)	–	477 (1.3)	–	–
US, SEER, [2019, (16)]	1985–2014	25,117	24,909 (99.2)	–	208 (0.8)	–	–	–	NS No survival data
US, SEER, [2019, (15)]	2004–2014	79,543	78,860 (99.1)	62	683 (0.9)	57			GC-GBM > GBM 11.7 (GBM) 15.5 (GC-GBM)
France, FBTDB, [2019, (25)]	2008–2015	2,053	1,988 (96.8)	–	36 (1.8)	–	29 (1.4)	–	–
Taiwan, current study	2000–2016	3,895	3,732 (95.8)	58.7 <sup>a</sup>	61 (1.6)	49.5 <sup>a</sup>	102 (2.6)	61.3 <sup>a</sup>	NS 12.5 (GBM) 18.5 (GC-GBM) 12.8 (GSM)

FBTDB, French brain tumor database; GBM, glioblastoma; GC-GBM, giant cell glioblastoma; GSM, gliosarcoma; NCDB, National Cancer Database; NS, difference not statistically significant; SEER, surveillance epidemiology and end results.

<sup>a</sup>Mean age.

none of the markers has been introduced to routine clinical practice before 2016. That is why data on such markers from population-based studies are very limited. Taking SEER for example, we found there were no molecular data between 2001 and 2011 (5), which cover most of our study period. Likewise, IDH1 mutation status was not available in the National Cancer Database between 2004 and 2014 (5). We enrolled GBM patients between the period of 2000 and 2016, and the IDH marker was not mandatory for routine pathologic reports during that period and not registered in the TCR database in Taiwan. Due to lack of data, we were unable to evaluate the prognostic significance of these molecular markers in our study. Further, the more recently defined subtype of epithelioid GBM, there were no registered case data in the study.

It is well-known a younger age is significantly associated with good survival in conventional GBM patients in the literature (6, 20, 26–28). A younger age experienced favorable survival in our GBM cohort. In contrast, aging was not a prognostic factor in GSM or GC-GBM. This may indicate GBM variants possibly

differ in clinical manifestation, or it may reflect the relevant chance of hidden bias from a small case number; so caution is advised in interpreting these results.

In the US analysis of GBM variants, the sex factor revealed conflicting results, with some studies showing the female sex experienced a favorable survival in GSM and GC-GBM, yet others reported no difference (11–17). Nonetheless, the sex disparity was not identified in GSM or GC-GBM variants in the study. However, the female sex was associated with improved survival of GBM in the literature (29–31).

The TCR database, similar to other national databases, lacks accuracy in documenting pre-existing comorbidities, and detailed cancer management, which could all impact the outcome analysis. Genetic factors also contribute closely to the prognosis of conventional GBM and its variants. Due to the lack of data, we were unable to evaluate the prognostic significance of these molecular markers in our study. Furthermore, even with large number of cases (3,895 in total), the case number in some subgroup were relatively small and thus might



not able to provide sufficient statistical power. For example, chemotherapy was associated with better survival for both GC-GBM and GSM, and the HRs (0.51 and 0.65) were even smaller than that for GBM (0.73), but did not reach statistical significance.

## CONCLUSION

Utilizing a large national cohort and literature review, this paper adds more information on the epidemiology of GBM in both Asian and Western populations. We confirmed the similar incidence of GSM and GC-GBM in Asian and Western population. Our study showed GBM and its variants shared similar worse outcomes. Resection, post-operative radiotherapy, and chemotherapy did improve survival in conventional GBM, but had different effects on histologic variants.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The name of the repository and accession number can be found below: Open Science Framework (OSF), <https://osf.io/HFN3D> (project name: histologic variants of glioblastoma in Taiwan).

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Chi Mei Medical Center Institutional Review

Board (IRB No. 10710-L05). Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

## AUTHOR CONTRIBUTIONS

S-YH contributed to conception and design of the study. C-HH organized the database. C-HH and H-RG performed the statistical analysis. L-TS and S-YH wrote the first draft of the manuscript. H-RG, C-CH, and Y-CH wrote sections of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fneur.2021.659921/full#supplementary-material>

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# The Profiles of Tet-Mediated DNA Hydroxymethylation in Human Gliomas

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Gliomas are the most common primary malignant intracranial brain tumors. Their proliferative and invasive behavior is controlled by various epigenetic mechanisms. 5-hydroxymethylcytosine (5-hmC) is one of the epigenetic DNA modifications that employs ten-eleven translocation (TET) enzymes to its oxidation. Previous studies demonstrated altered expression of 5-hmC across gliomagenesis. However, its contribution to the initiation and progression of human gliomas still remains unknown. To characterize the expression profiles of 5-hmC and TET in human glioma samples we used the EpiJET 5-hmC and 5-mC Analysis Kit, quantitative real-time PCR, and Western blot analysis. A continuous decline of 5-hmC levels was observed in solid tissue across glioma grades. However, in glioblastoma (GBM), we documented uncommon heterogeneity in 5-hmC expression. Further analysis showed that the levels of TET proteins, but not their transcripts, may influence the 5-hmC abundance in GBM. Early tumor-related biomarkers may also be provided by the study of aberrant DNA hydroxymethylation in the blood of glioma patients. Therefore, we explored the patterns of TET transcripts in plasma samples and we found that their profiles were variously regulated, with significant value for *TET2*. The results of our study confirmed that DNA hydroxymethylation is an important mechanism involved in the pathogenesis of gliomas, with particular reference to glioblastoma. Heterogeneity of 5-hmC and TET proteins expression across GBM may provide novel insight into define subtype-specific patterns of hydroxymethylome, and thus help to interpret the heterogeneous outcomes of patients with the same disease.

**Keywords:** epigenetics, 5-hydroxymethylcytosine, ten-eleven translocation enzymes, brain tumors, glioblastoma

## INTRODUCTION

The vast majority (80%) of malignant brain tumors is represented by gliomas (1). They have been classified by the World Health Organization into four grades, with Grade IV glioblastoma (GBM) as the most aggressive form. From 2016 the WHO grading is based on histological and molecular characteristics that are observed amongst various stages of gliomas (2). Many studies focused on

genomic or transcriptomic profiles of human gliomas demonstrated that although histologically similar, they constitute distinct subtypes (3–5) that are associated with different survival outcomes (6, 7). Therefore, systematic molecular analysis of human gliomas is essential for their comprehensive understanding. Aberrations in genes and molecular pathways, include *IDH1/IDH2* and *H3.1/H3.3* mutation or loss of *TP53* tumor suppressor gene, can be used together with histopathological findings for gliomas classification (8, 9).

However, dysregulation of epigenomes seems to be the primary molecular mechanism involved in the pathogenesis of human gliomas. Epigenetic alterations, such as modifications of DNA (10, 11) and histones (12, 13), nucleosome remodeling (14, 15) and RNA-mediated silencing (16–18) are pointed out as a source of gliomas phenotypic heterogeneity. DNA methylation is the best-studied epigenetic change in this field (19–21), but some reports revealed the existence of other modifications in DNA methylome.

The ten-eleven translocation (TET) enzymes can alter DNA methylation status by converting 5-methylcytosine (5-mC) to 5-hydroxymethylcytosine (5-hmC), and later to 5-formylcytosine (5-fC) and 5-carboxylcytosine (5-caC) (22). 5-hmC may act as a transient intermediate in the process of 5-mC demethylation, as well as, may epigenetically regulate gene expression. Several reports found the relationship between 5-hmC level and glioma grades (10, 23–26). However, 5-hmC modification and its direct effect on gliomas biology need to be investigated.

Here, we picture the TET-dependent hydroxymethylation patterns in human gliomas. Using solid tumor tissues samples we demonstrate heterogeneity in 5-hmC expression across glioblastoma which can provide novel insight into define subtype-specific patterns of 5-hmC, and thus help to interpret the heterogeneous outcomes of patients with the same disease.

## MATERIALS AND METHODS

### Clinical Samples

34 pairs of matched glioma tissues and blood samples were collected during standard neurosurgical tumor removals at the Department of Neurosurgery, Institute of Psychiatry and Neurology (Warsaw, Poland). Additionally, five independent random controls of blood samples were obtained from healthy volunteers. All solid tissues were submerged in the stayRNA solution (A&A Biotechnology) and stored at  $-80^{\circ}\text{C}$ . Blood was collected into EDTA-treated tubes and centrifuged at 3500 rpm (MPW, Centrifuge MPW-350R, Rotor 1236B) for 10 min at  $4^{\circ}\text{C}$ . Then supernatant (plasma) was immediately transferred into the clean Eppendorf tubes and stored at  $-80^{\circ}\text{C}$ .

### 5-hmC Quantification

The absolute level of 5-hmC in genomic DNA, previously extracted from 34 glioma tissues, was estimated with the EpiJET 5-hmC and 5-mC Analysis Kit (ThermoFisher Scientific). Briefly, 100 ng of DNA was glucosylated by T4 phage  $\beta$ -glucosyltransferase (T4 BGT), followed by subsequent digestion with Epi MspI and Epi HpaII enzymes. Then the

percentage of cytosine modifications within CCGG sites was determined by quantitative real-time PCR (qRT-PCR) with a primer pair flanking recognition sequence. Primer sequences were as follows:

primer1\_forward 5'-CTGTCATGGTGACAAAGGCATC-3',

primer2\_reverse 5'-CAGGATTCTCTATTATGAAGACCTTG-3'.

The experiment was run in triplicate and the amount of 5-hmC was calculated as a percentage based on controls included in the kit.

### Quantitative Real-Time PCR

Following Chomczynski's protocol (27), total RNA of glioma tissues was extracted by TRIzol Reagent (Life Technologies), whereas Total RNA Mini Concentrator Kit (A&A Biotechnology) was used for the extraction of RNA from plasma samples. The concentration and purity of RNA samples were assessed by measuring the 260/280 ratio of absorbance values with the Synergy H4 spectrophotometer (BioTek). cDNA was synthesized from 500 ng of RNA using random hexamers and TaqMan Reverse Transcription Reagents (ThermoFisher Scientific) according to the manufacturer's instructions. Transcript levels of *TET* family genes were determined by the quantitative real-time PCR using 5x HOT FirePolEvaGreen qPCR Mix (Solis Biotyne) and primer sets for *TET1*, *TET2*, *TET3*, and the housekeeping gene *GAPDH*. The primer sequences are listed in **Supplementary Table S1**. All samples were run in triplicate, and data were normalized to the expression of *GAPDH* (28), according to the  $\Delta\text{Ct}$  method. While the  $\Delta\Delta\text{Ct}$  method was applied for relative quantification in blood samples.

### Western Blot

Thirty-four human glioma tissues were homogenized with TissueLyser LT homogenizer (Qiagen) in lysis buffer containing 2% SDS, pH 6.8 and protease inhibitors (Sigma). According to the protocol of Ericsson et al. (29), homogenates were incubated at  $70^{\circ}\text{C}$  and shaking at 1400 rpm (BioSan, Thermo-Shaker TS-100) for 10 min and then centrifuged at 12000 rpm (Eppendorf, Centrifuge 5415R, Rotor F45-24-11) for 5 min. The concentration of protein extracts was determined with the Bradford protein assay (Sigma). 15  $\mu\text{g}$  of each protein sample was separated with 7% SDS-polyacrylamide gels and transferred onto nitrocellulose membranes using the Bio-Rad MINI Protean system. Immunoblotting with primary antibodies against *TET1*, *TET2*, *TET3* (1:3000, ThermoFisher Scientific) and *GAPDH* (1:5000, Millipore) was performed overnight at  $4^{\circ}\text{C}$ , whereas secondary antibodies (a-mouse and a-rabbit, Vector) diluted 1:10000 were incubated with the membranes for one hour at room temperature. Blots were visualized with the WesternBright Quantum detection system (Advansta) on the UVITEC Cambridge scanner. Densitometry analysis was conducted using GelAnalyzer 2010 software.

### Statistical Analysis

GraphPad Prism 7.02 software was used to analyze the data. Statistical significance of differences between groups was determined by One-way ANOVA or nonparametric Kruskal-



Wallis tests followed by *post hoc* Tukey or Dunn analysis, as well as with Mann-Whitney U test (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ). The results are presented as the mean  $\pm$  standard deviation of the mean (SD).

## RESULTS

### Global Changes in 5-hmC Abundance in Gliomas

So far, decreased 5-hmC levels have been presented in a variety of tumors (30–32), suggesting that the loss of 5-hmC may be considered as an epigenetic hallmark of the disease. To evaluate the global changes in 5-hmC abundance in human brain tumors, we selected DNA of 34 glioma samples represented by different WHO grades and analyzed them with EpiJET 5-hmC and 5-mC Analysis Kit and quantitative real-time PCR. Clinical and epigenetic characteristics of glioma samples are shown in **Table 1**.

As can be seen in **Figure 1** and **Table 1**, gliomagenesis has generated the changes of DNA hydroxymethylation. The 5-hmC expression correlated negatively with WHO grading, ranged from 8.2% in Grade II gliomas to 3.0% in Grade IV-low<sub>5-hmC</sub> GBM. Significant differences were observed between Grades II and III ( $p < 0.01$ ), as well as Grades II and IV-low<sub>5-hmC</sub> ( $p < 0.01$ ). While we observed a decreasing trend in 5-hmC expression with a higher tumor stage, we documented a strong elevation in 5-hmC level in around 30% of Grades IV GBM (Grade IV-high<sub>5-hmC</sub>). In this study group, the 5-hmC level was 20% and was significantly higher than in Grade IV-low<sub>5-hmC</sub> samples (3%,  $p < 0.001$ ). To our knowledge, this is one of the

few reports describing high variability in 5-hmC abundance at the tumor mass (10, 24). To clarify observed diversity, the GBMs samples were categorized according to their *IDH1*, and developmental status. The results had not revealed molecular differences between both categories in this scope. A great majority of samples (95%) were primary glioblastomas, while all of them demonstrated the absence of mutation in *IDH1* gene.

Recognized differences might be a consequence of alterations in the expression of TET enzymes that catalyze the conversion of 5-mC to 5-hmC.

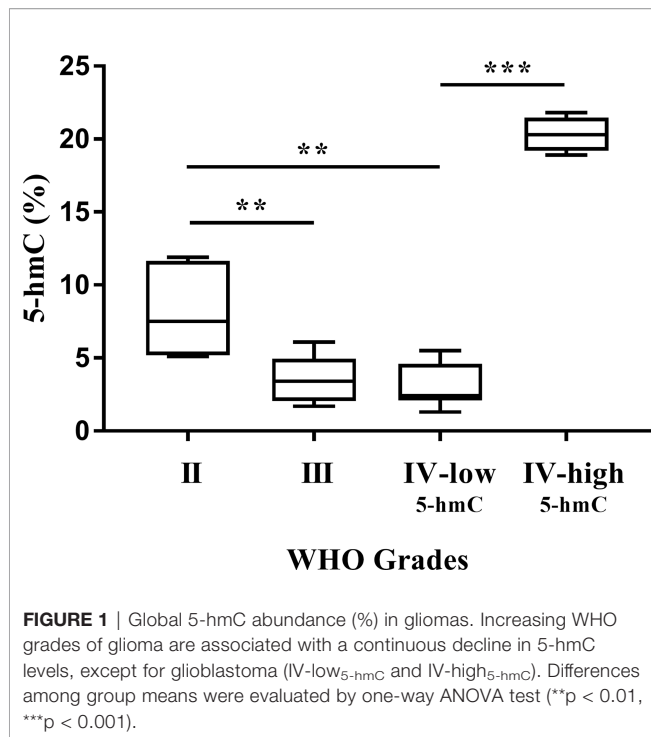
### TET Expression in Gliomas

To define the impact of TET enzymes on changes in DNA hydroxymethylation, we analyzed their expression at the gene and protein levels in solid tumor tissues. Quantitative real-time PCR was performed to examine the mRNA expression of *TET* family genes (*TET1*, *TET2*, and *TET3*) in 34 samples, including 6 Grade II gliomas, 7 Grade III gliomas and 21 Grade IV GBM. We found that the relative mRNA levels of all three *TET* genes were strongly reduced during glioma grades (**Figures 2A, C, E**). Their downregulation was significantly higher in Grade IV GBM in comparison with Grade II gliomas (*TET1* and *TET3*  $p < 0.001$ , *TET2*  $p < 0.01$ ) and Grade III gliomas (*TET1*  $p < 0.001$ , *TET2* and *TET3*  $p < 0.01$ ). As the decline in *TET* mRNA was associated with glioma grades and 5-hmC expression, we determined the levels of *TET* transcripts in two groups with high variability in total 5-hmC abundance across GBM (Grade IV-low<sub>5-hmC</sub> and Grade IV-high<sub>5-hmC</sub>, **Figure 1**). There were no significant differences in *TET1* ( $p = 0.1322$ ), *TET2* ( $p = 0.2434$ ) and *TET3* ( $p = 0.8208$ ) mRNA levels between glioblastoma Grade IV-low<sub>5-hmC</sub>.

**TABLE 1** | Characteristics of glioma patients.

**Total cases = 34**

WHO grade	II	III	IV-low 5-hmC	IV-high 5-hmC
Number of patients	6	7	15	6
Age at diagnosis (years)				
Mean	39.3	41.4	65.9	63.2
Range	24 - 54	26 - 59	53 - 77	52 - 77
Gender				
Male/Female	3/3	4/3	9/6	3/3
Hemisphere				
Left/Right	1/5	4/3	8/7	1/5
Location				
Frontal	2	2	5	–
Temporal	3	5	4	4
Pariental	1	–	2	–
Occipital	–	–	4	2
Tumor status				
Primary/Recurrent	5/1	6/1	15/0	5/1
<i>IDH1</i> status				
<i>IDH1</i> wild-type	6	6	15	6
<i>IDH1</i> mutant	0	1 (R132G)	0	0
5-hmC (%)	8.2	3.5	3.0	20.0



5-hmC and Grade IV-high<sub>5-hmC</sub> (Figures 2B, D, F). Next, we evaluated the levels of TET proteins in the same samples by Western blot. Expression levels of each TET proteins are shown in **Supplementary Table S2**. Immunoblotting revealed the absence of TET1 isoform at 235 kDa, which is mainly detected in embryonic stem cells. But, two additional bands at 162 kDa and 150 kDa were found in the majority of instances. TET2 and TET3 isoforms were discovered at 224 and 194 kDa, respectively (Figure 3A). As in the case of *TET* transcripts expression (Figures 2A, C, E), levels of TET proteins were negatively associated with advanced stages of gliomas. From Grade II gliomas to Grade IV GBM, we noticed 2-, 4- and 6-fold reduction in TET1<sub>162</sub> and 150 kDa, TET2<sub>224</sub> kDa and TET3<sub>194</sub> kDa levels, respectively. Interestingly, in Grade IV samples, we observed high variability in TET isoforms expression pattern, which could affect 5-hmC abundance. Quantification of normalized values showed significant differences in TET1<sub>162</sub> and 150 kDa ( $p < 0.01$ ,  $p < 0.05$ ), TET2<sub>224</sub> kDa ( $p < 0.001$ ) and TET3<sub>194</sub> kDa ( $p < 0.05$ ) protein levels between GBM Grade IV-low<sub>5-hmC</sub> and Grade IV-high<sub>5-hmC</sub> (Figures 3B–E). These findings suggested the potential role of TET proteins patterns in setting the 5-hmC level in Grade IV glioblastoma.

## TET Transcripts Profiling in Plasma Samples

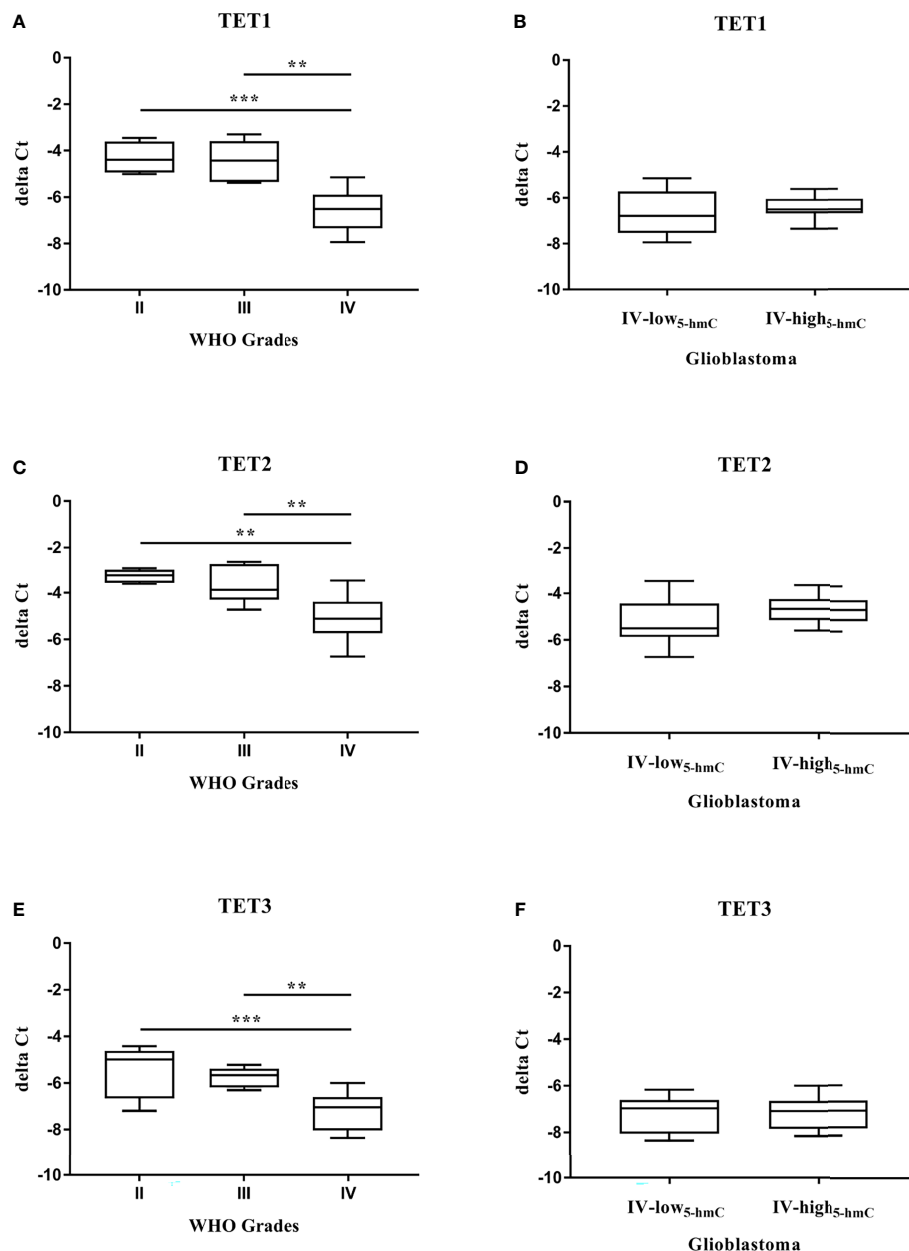
The prospect of distinguishing the aberrant DNA hydroxymethylation in the blood of glioma patients may indicate a powerful tool for early cancer detection or monitoring its progress. To explore the potential diagnostic features of *TET* transcripts profiling, we performed the quantitative real-time PCR on 34 plasma samples obtained

from patients with Grade II or III or IV gliomas, and 5 healthy controls. Expression of *TET* genes was observed in the majority of examined samples, followed by specific numbers for *TET1* (control: 80% vs. Grade: II-83%, III-57%, IV-70%), *TET2* (control: 80% vs. Grade: II-83%, III-71%, IV-91%) and *TET3* (control: 80% vs. Grade: II-50%, III-86%, IV-91%) transcript. Our results confirmed that the employed method was sensitive to low-input mRNA presented in plasma samples. The further analysis evaluated the relative mRNA levels of *TET* genes in plasma samples obtaining from patients with different WHO grades gliomas and compared them to healthy controls (Figures 4A–C). The results displayed various profiles for each transcript. While *TET1* characterized slightly lower expression than control, *TET3* was similar to control values. The expression of both transcripts was unaffected by WHO grading (Figures 4A, C). Whereas, the level of *TET2* was significantly increased in plasma samples derived from patients with Grade II gliomas compared to controls ( $p < 0.01$ , Figure 4B). Validation of our preliminary results in a larger population of patients is needed to evaluate their use as potential biomarkers for early-stage gliomas diagnostics.

## DISCUSSION

Currently, the molecular landscape of brain tumors is described by epigenetic mechanisms include DNA methylation and hydroxymethylation (10, 11), histone modifications (12, 13), nucleosome remodeling (14, 15) and RNA-mediated silencing (16–18), that may clarify their etiologic evolution. Recently rediscovered, oxidized form of 5-methylcytosine (5-hmC) may act as a transient intermediate in the process of 5-mC demethylation or may epigenetically mark the cellular state itself with different biological roles.

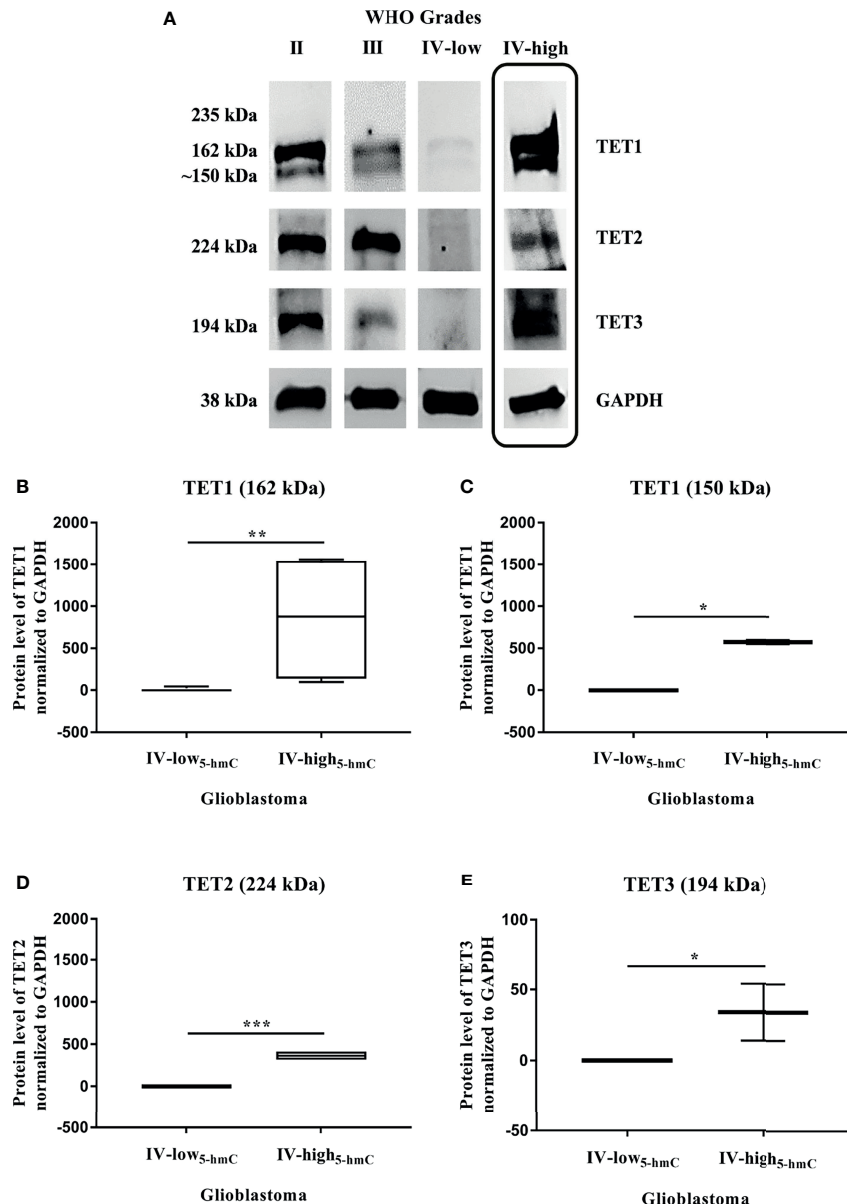
In the present study, we characterize the epigenetic profile of DNA hydroxymethylation in human gliomas. Decreased level of 5-hmC was observed in glioma patients compared to healthy controls (33–35), but also it was related to glioma grades (10, 23–26). Our results confirm that the loss of 5-hmC is a hallmark of high-grade gliomas. However, we documented a significant increase of global 5-hmC abundance in almost a third of Grades IV GBM. This is one of the few findings that demonstrated heterogeneity in 5-hmC expression across the bulk of the glioblastoma (10, 24). According to recent research, the intra-tumoral diversity of 5-hmC levels among single GBM cells, that represent the proliferative, stem-like and tumorigenic states, could clarify unexpected results (36). But, dysregulation of TET enzymes function may be a possible biological explanation for the observed variability as well. The influence of TETs, including their genetic alterations and subcellular localization, on 5-hmC status in glioma cells, was described by several studies (23–25, 37, 38). Recently, epigenetic repression of histone marks (H4K16ac, H3K4me3, H3K9ac, H3K36me3, H3K4me1, H3K27ac) in *TET3* gene has been postulated as a driver of glioblastoma development *via* genome-wide alteration of 5-hmC (39). Generally, it is believed that the decline in *TET* genes expression causes a widespread reduction of 5-hmC and poorer



**FIGURE 2 |** Quantitation of *TET* transcripts in gliomas. qRT-PCR analysis of *TET* mRNA (A–F). The expression of *TET1* (A), *TET2* (C), *TET3* (E) mRNA significantly decreased at a higher WHO grade of glioma. Differences among group means were evaluated by one-way ANOVA test (\*\* $p < 0.01$ , \*\*\* $p < 0.001$ ). High variability in 5-hmC abundance across glioblastoma (Grade IV-low<sub>5-hmC</sub> and Grade IV-high<sub>5-hmC</sub>) was not linked with levels of *TET1* (B), *TET2* (D), *TET3* (F) transcripts.

prognosis in glioma patients. We found that *TET1*, *TET2*, *TET3* mRNA, and 5-hmC levels were decreased during glioma grades. However, similarly to Jin and Glowacka results (40, 41), there was no correlation between expression of *TET* transcripts and variability in 5-hmC abundance across the bulk of the glioblastoma. To define the complete impact of TETs on changes in DNA hydroxymethylation, we evaluated their protein levels in the same samples. Proteins produced by the *TET2* and *TET3* isoforms were expressed as expected bands at 224 kDa and 194 kDa. Instead of the

235 kDa canonical *TET1* protein, we found two short isoforms (162 kDa and ~150 kDa). According to previous reports, they are exclusively activated from an alternate promoter in somatic cancer cells (42, 43). The levels of TET proteins, just as *TET* transcripts, were negatively associated with high-grade gliomas. However, Grade IV GBM samples were more variable in this field. Significant heterogeneity in the expression of *TET2* protein in glioblastoma was also noted by Briand (44). We furthermore pointed out the relation between variable levels of TET proteins and



**FIGURE 3 |** TET proteins expression in gliomas. Western blot analysis of TET proteins (A–E). Exemplary immunoblots of TET1, TET2, and TET3 proteins pictured loss of their expression across glioma stages, except some Grade IV samples (last column). Expression levels of these proteins were normalized with GAPDH (A). High variability in protein levels of TET1 (B, C), TET2 (D) and TET3 (E) correlated with 5-hmC abundance across glioblastoma (Grade IV-low<sub>5-hmC</sub> and Grade IV-high<sub>5-hmC</sub>). Differences between two groups were evaluated by Mann-Whitney U test (\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001).

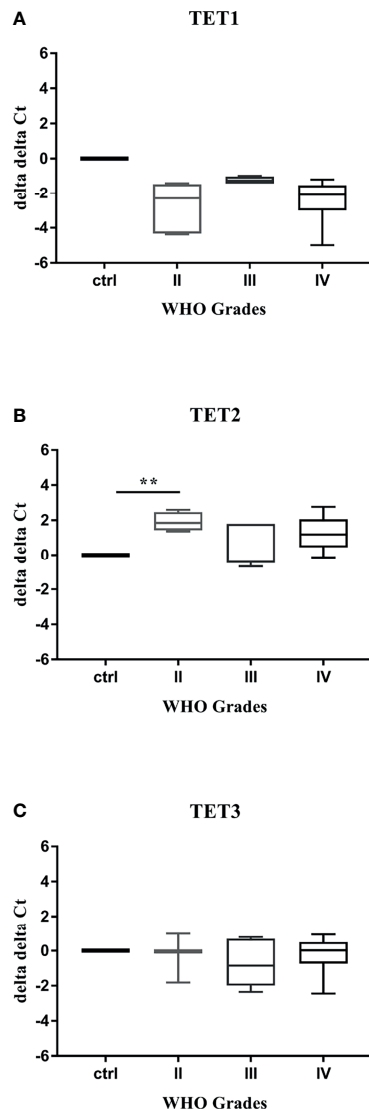
5-hmC abundance across glioblastoma. Our findings suggest that the regulation of TET transcription and translation can be made in a different way. For example, this observed imbalance may be a result of different actions of transcription factors, RNA binding proteins, miRNAs targeting mRNA or post-translational modifications (like phosphorylation, acetylation, glycosylation, etc.). Based on the patient-derived glioma stem cells (GSCs) model, the transcription factor (SOX2)-oncomiR (miR-10b-5p)-TET2 axis was identified, which plays an important role in promoting GBM oncogenesis (45).

However, it is one of many potential mechanisms involved in glioblastoma growth.

In summary, we demonstrated that expression patterns of TET proteins and the 5-hmC abundance are changed in Grade IV GBM, but the molecular mechanism of this process still needs to be clarified.

Recently, many studies on gliomas indicated the presence of circulating cell-free coding and non-coding nucleic acids in blood or other biofluid samples (46–48). Therefore, liquid





**FIGURE 4 |** *TET* transcripts profiling in plasma samples. qRT-PCR analysis of *TET* mRNA (A–C). Levels of *TET1* (A), *TET2* (B), and *TET3* (C) transcripts were variously regulated in plasma samples obtained from patients with gliomas. Statistical analysis performed using non-parametric Kruskal-Wallis test (\*\* $p < 0.01$ ).

biopsy has become a new diagnostic tool that may establish and track the stage of cancer with particular biomarkers. Cai's group, for example, has developed a noninvasive 5-hmC detection method in circulating cell-free DNA of glioma patients, which was able to distinguish the difference between GBM and lower-grade gliomas regardless of *IDH1* mutation status (49). Here, we explore the potential diagnostic features of *TET* transcripts in plasma samples obtained from patients with gliomas. All three *TET* transcripts were detected in plasma, but their profiles differed from those in solid tissue. We showed that the plasma relative mRNA level for *TET1* was decreased in every stage of glioma, while the *TET3* level remained unchanged. The most

promising results were provided by *TET2* gene that was significantly increased in Grade II glioma. Validation of our preliminary results in a larger population of patients is needed to evaluate their use as potential biomarkers for glioma diagnostics.

To conclude, we found that global abundance of 5-hmC was negatively correlated with glioma WHO grades and variable across the bulk of the glioblastoma. It was followed by various *TET* proteins patterns in solid tumor tissues. In contrast, profiles of *TET* transcripts in plasma samples displayed its heterogeneity. However, significant overexpression of *TET2* in Grade II gliomas might offer a new tool for effective diagnosis of lower-grade glioma patients. Our findings provide novel information about the potential role of *TET* epigenetic regulation in human gliomas, with particular reference to glioblastoma.

## DATA AVAILABILITY STATEMENT

All data generated during this study are included in the article. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

The study was conformed to the standards set by the Declaration of Helsinki and approved by the ethics committee of the Centre of Postgraduate Medical Education (code: 34/PB/2017). Written informed consent was obtained from participants prior to specimen collection.

## AUTHOR CONTRIBUTIONS

MG designed all experiments, interpreted the results, and wrote the manuscript. AB-P, GL performed the experiments, analyzed the data. KŻ-B performed part of molecular analysis. AS-S, MC contributed to sample collection and registered clinical information. MS and MR managed sample collection; critically commented on the manuscript. All authors read and approved the final version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fonc.2022.621460/full#supplementary-material>

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# Based on clinical Ki-67 expression and serum infiltrating lymphocytes related nomogram for predicting the diagnosis of glioma-grading

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**Background:** Compelling evidence indicates that elevated peripheral serum lymphocytes are associated with a favorable prognosis in various cancers. However, the association between serum lymphocytes and glioma is contradictory. In this study, a nomogram was established to predict the diagnosis of glioma-grading through Ki-67 expression and serum lymphocytes.

**Methods:** We performed a retrospective analysis of 239 patients diagnosed with LGG and 178 patients with HGG. Immunohistochemistry was used to determine the Ki-67 expression. Following multivariate logistic regression analysis, a nomogram was established and used to identify the most related factors associated with HGG. The consistency index (C-index), decision curve analysis (DCA), and a calibration curve were used to validate the model.

**Results:** The number of LGG patients with more IDH1/2 mutations and 1p19q co-deletion was greater than that of HGG patients. The multivariate logistic analysis identified Ki-67 expression, serum lymphocyte count, and serum albumin (ALU) as independent risk factors associated with HGG, and these factors were included in a nomogram in the training cohort. In the validation cohort, the nomogram demonstrated good calibration and high consistency (C-index = 0.794). The Spearman correlation analysis revealed a significant association between HGG and serum lymphocyte count ( $r = -0.238$ ,  $P < 0.001$ ), ALU ( $r = -0.232$ ,  $P < 0.001$ ), and Ki-67 expression ( $r = 0.457$ ,  $P < 0.001$ ). Furthermore, the Ki-67 expression was negatively correlated with the serum lymphocyte count ( $r = -0.244$ ,  $P < 0.05$ ). LGG patients had lower Ki-67



expression and higher serum lymphocytes compared with HGG patients, and a combination of these two variables was significantly higher in HGG patients.

**Conclusion:** The constructed nomogram is capable of predicting the diagnosis of glioma-grade. A decrease in the level of serum lymphocyte count and increased Ki-67 expression in HGG patients indicate that their immunological function is diminished and the tumor is more aggressive.

#### KEYWORDS

glioma, ki67, lymphocytes, isocitrate dehydrogenase 1, nomogram

## Introduction

Gliomas continue to be one of the most prevalent and malignant primary cancer-related morbidities in the central nervous system (CNS) (1). According to the World Health Organization (WHO, 2016), low-grade glioma (LGG) is classified as grade I–II, while high-grade glioma (HGG) is classified as grade III–IV (2). Although glioblastomas (GBMs, grade IV) are treated with maximally safe surgical resection and combined radio-chemotherapy, the median overall survival (OS) is 15 months and the 5-year survival rate is less than 5% due to the complexity of tumors, widespread invasiveness, and heterogeneity (3, 4). Furthermore, several studies have demonstrated that approximately 20%–25% of secondary glioblastomas are derived from previously lower grade (WHO grades II or III) gliomas (5, 6). Therefore, numerous studies indicate that the basic clinical–pathological features of serum laboratory indices or immunohistochemical (IHC) staining are significant variables in identifying secondary glioblastomas (7–10).

Histological, molecular features, and anatomical sites play an important role in the classification and diagnosis of glioma by the fifth edition of the WHO Classification of Tumors of the CNS (WHO CNS5) (11). The isocitrate dehydrogenase (IDH) gene encodes an enzyme that is involved in the control of cellular metabolism, epigenetic regulation, redox states, and DNA repair (12). The IDH mutation is critical for diagnosis, treatment efficacy evaluation, survival prediction, and reduced invasiveness of biomarkers associated with glioma, and is widely deemed the most significant genetic alteration (13, 14). It is associated with better outcomes in IDH1-mutant patients than in IDH1 wild-type patients (15, 16). Therefore, according to the new classification, all IDH-mutant diffuse astrocytic tumors are considered a single type (astrocytoma, IDH-mutant) and are graded as 2, 3, or 4. The restriction of the diagnosis of glioblastoma to IDH wild-type tumors means that IDH-mutant gliomas are not GBMs anymore (11, 17). This is perhaps one of the most important changes to the older version of the 2016 WHO classification. Theresia et al. found

an association between the Ki-67 labeling index and histopathological grading of glioma. LGG patients had a significantly lower Ki-67 labeling index than HGG patients, and a cut-off of 10% was used to differentiate LGG from HGG (18, 19). The relationship between Ki-67 expression and IDH1 mutation status demonstrated by Zeng et al. (20) showed that Ki-67 expression along with IDH1/2 can significantly differentiate prognosis in glioma, with low Ki-67 expression associated with increased IDH1/2 mutation and IDH1/2 mutant patients with low and moderate Ki-67 expression having the best prognosis. However, it is not known whether Ki-67 expression along with IDH1 can differentiate glioma-grading.

Tumor immune infiltration of the microenvironment with inflammatory factors is critical for the occurrence, development, and prognosis of glioma. Tumor locations, in particular, release immune infiltrates and inflammatory factors into the peripheral blood, triggering an inflammatory immune response that might provide prognostic information. Accumulating evidence suggests that elevated peripheral blood lymphocytes are associated with a favorable prognosis, particularly in lung, breast, and colorectal cancers (21–23). Additionally, macrophages originating from bone marrow mononuclear cells generate an inflammatory immune response, including the release of pro-inflammatory factors such as TNF- $\alpha$ , chronic factors IL-6 and IL-1, which migrate to the glioma site across the blood–brain barrier (24, 25). Numerous studies indicate that T-lymphocyte subsets may affect the prognosis of breast, melanoma, pancreatic, and colorectal cancers (21–23, 26). Kmiecik et al. (27) found a correlation between tumor-infiltrating lymphocytes and prolonged survival in GBS patients. However, Zhao et al. (28) found that local tumor-infiltrating lymphocytes were a poor prognostic marker in GBS. Therefore, the correlation between peripheral serum lymphocyte or lymphocyte infiltration at the tumor site and glioma grade or prognosis is contradictory, and only a few studies have been published.

Finally, the relationship between the immunohistochemical index of IDH1, Ki-67 expression, and the peripheral serum

lymphocyte count in glioma grading is unknown. Therefore, we conducted a retrospective study to determine the prognostic usefulness of preoperative peripheral serum lymphocyte count, postoperative immunohistochemical index of IDH1 mutation status, and Ki-67 expression for glioma grading. Because nomograms are widely used to predict the risk of cancer, we established a nomogram that uses Ki-67 expression and serum lymphocyte count to predict the differences between LGG and HGG. This nomogram will aid clinical doctors in predicting the glioma-grading and identifying potential risk factors for HGG patients and allow for early treatment intervention.

## Materials and methods

### Patients and data collection

Between January 2012 and December 2020, we conducted a retrospective review of glioma patients. This study was approved by the Ethics Committee of the First Affiliated Hospital of Nanchang University. The WHO classifies glioma grades I–II as LGG and grades III–IV as HGG. Data of patients included their age, sex, immunohistochemistry index, serum clinical laboratory indicators, and glioma grade. The inclusion criteria were as follows: 1) all patients were admitted for surgery and histologically-confirmed glioma postoperatively; and 2) patients with complete information and Ki-67 testing. The exclusion criteria were as follows: 1) preoperative chemoradiotherapy or incomplete information; 2) along with other malignant tumors;

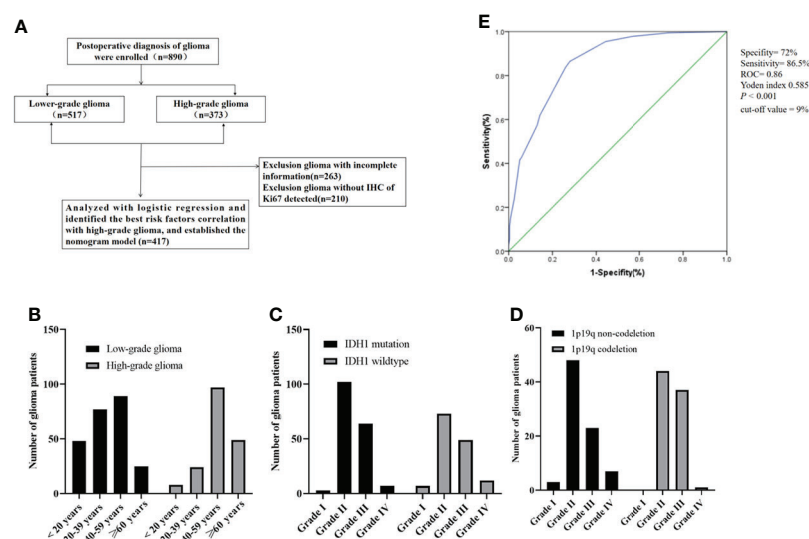
and 3) patients with previous blood system diseases and infection or antibiotic use.

### Establishment and validation of the nomogram

The R package “rms” was used to randomly divide the patients into two groups in a ratio of 2:1 training cohort ( $n = 292$ ) and validation cohort ( $n = 125$ ) (Figure 1A). A nomogram was established in the training cohort using multivariate logistic regression analysis, which revealed the most important predictive risk factors associated with HGG. The consistency index (C-index) ranged between 0.5 (no discrimination) and 1 (perfect discrimination), and a high C-index indicated a good prediction model. The calibration curve was used to determine the prediction compliance, while the decision curve analysis (DCA) was used to assess the clinical utility and threshold probability of the model.

### Statistical analysis

The continuous variables were represented as mean  $\pm$  standard deviation, and if the variables followed a normal distribution, the comparison between the two groups was carried out using the Student's t-test. To perform univariate analysis, continuous variables were converted to categorical variables. Univariate and multivariate logistic regression



**FIGURE 1** (A) Consort diagram, enrollment, and outcome; (B) the age distribution of patients with glioma; (C) IDH1 mutation status of patients with glioma; (D) the number of glioma patients with 1p19q codeletion status; (E) the Ki-67 curve of cut-off value (according to the LGG and HGG). ROC, Receiver operating characteristic; IDH1, Isocitrate dehydrogenase 1.

analyses were used to determine the independent risk factors as well as the odds ratio (OR) and 95% confidence interval (CI). The Spearman correlation coefficient was used to determine the correlation between the independent risk factors and HGG. IBM SPSS 22.0 software (SPSS Inc., Chicago, IL, USA) and GraphPad Prism version 8.0 software (Inc., La Jolla, CA, USA) were used to analyze the data. R statistical software version 4.0.0 (<http://www.R-project.org/>) was used to construct the nomogram model, calibration curve, and DCA. The optimal cut-off values for Ki-67 expression were determined by plotting the receiver operating characteristic (ROC) curves for glioma grading. The normal serum lactate dehydrogenase (LDH) levels were determined using appropriate assay kits (29, 30).  $P < 0.05$  was considered statistically significant.

## Results

### Correlation between glioma and clinicopathological characteristics

A total of 417 (239 with LGG and 178 with HGG) patients were postoperatively diagnosed with glioma and did not receive any treatment preoperatively. The clinicopathological features of glioma are summarized in Table 1. Serum white blood cells (WBCs), lymphocytes, neutrophils, neutrophil-to-lymphocytes ratio (NLR), platelets (PLTs), platelet-to-lymphocytes ratio (PLR), albumin (ALU), and LDH were used as hematological markers. There were 13 cases of grade I glioma, 226 cases of grade II glioma, 157 cases of grade III glioma, and 21 cases of grade IV glioma. The median age of the patients was 45 years (a range of 3–79). The relationship between age distribution and glioma grading revealed that the morbidity in LGG patients was mostly in the 20–59 year age range, while in HGG patients it was primarily in the more than 40 year age range (Figure 1B). A total of 141 IDH1 mutant patients were identified, 176 patients were identified as IDH1 wild-type, and 100 patients were identified as unknown. A total of 82 patients had co-deletion of chromosome 1 and the long arm of chromosome 19 (1p19q), 81 patients had non-codeletion, and 254 were unknown. There were more IDH1 mutation patients in grades II and III than in grades I and IV, with grade II having the highest number of patients with IDH1 mutations (Figure 1C). The number of grade II and III patients with 1p19q codeletion was more than those in grades I and IV, and there were no grade I patients with 1p19q codeletion (Figure 1D). Figure 1E shows the cut-off point for the Ki-67 ROC curve calculated using glioma grading (by LGG and HGG). Because the cut-off value of 9% had the highest sensitivity and specificity (sensitivity was 86.5%, specificity was 72%, Yoden index 0.585, ROC = 0.86,  $P < 0.001$ ), we divided the Ki-67 into low and high groups using a cut-off value of 10%.

### Univariate and multivariate logistic analyses in the training cohort

All glioma patients were randomly assigned to the training cohort ( $n = 292$ ) or the validation cohort ( $n = 125$ ) using the R package “rms.” There were 167 patients with LGG and 125 with HGG in the training cohort. Univariate logistic analysis revealed a significant correlation between age, Ki-67 expression, NLR, serum lymphocyte count, serum ALU, and glioma-grading ( $P < 0.05$ ) (Table 2). All significant factors in the univariate analysis were included in the multivariate logistic regression analysis. The result showed that Ki-67  $> 10\%$ , serum lymphocytes count  $\leq 1.7 (\times 10^9/L)$ , and serum ALU  $\leq 42.7$  g/L were all independent risk factors associated with HGG ( $P < 0.05$ ) (Table 3).

### Correlation analysis between the independent risk factors and HGG in all cohorts

The Spearman correlation analyses were used to determine the correlation between the independent risk factors and HGG in all cohorts. The serum lymphocyte count ( $r = -0.238$ ,  $P < 0.001$ ), ALU ( $r = -0.232$ ,  $P < 0.001$ ), and Ki-67 expression ( $r = 0.457$ ,  $P < 0.001$ ) were all shown to be significantly associated with glioma-grading (Table 4). Ki-67 expression increased gradually from grades I to IV and was significantly higher in HGG patients than in LGG patients ( $P < 0.05$ ), regardless of glioma type, IDH1 mutation, or wild type (Figures 2A–D). We performed subgroup analysis to determine the correlation between IDH1, 1p19q codeletion, and Ki-67 expression. The result indicated that Ki-67 expression was not associated with IDH1 mutation or 1p19q codeletion (Figures 2C–H). Additionally, serum ALU levels significantly decreased from grades I to IV, and the LGG group had better nutritional status than those in the HGG group (Figures 2K–L).

The serum inflammatory index plays an important role in the differentiation and proliferation of tumor cells. Therefore, we examined the relationship between serum lymphocyte count and glioma grade. The serum lymphocyte count significantly decreased from grades I to IV (Figure 3A). The serum lymphocyte count in LGG patients was significantly higher than in HGG patients, regardless of glioma type, IDH1 mutation, or wild-type status ( $P < 0.05$ ) (Figures 3B–D). Additionally, we performed a subgroup analysis of serum lymphocytes according to their IDH mutation status or 1p19q co-deletion status. Regardless of LGG or HGG status, the serum lymphocyte count in 1p19q codeletion groups, as well as in IDH1 mutation or wild-type groups, was not correlated with them ( $P > 0.05$ ) (Figures 3E–J). The serum lymphocytes in high Ki-67 expression groups were significantly lower than serum lymphocytes in low Ki-67 expression groups in all glioma patients (Figure 3K); the two groups exhibited a negative

TABLE 1 Demographic and clinical–pathological characteristics of the training cohort and validation cohort.

Characteristic	Groups	All cohort (n = 417, %)	Training cohort (n = 292, %)	Validation cohort (n = 125, %)
Gender	Male	230 (55.2)	160 (54.8)	70 (56.0)
	Female	187 (44.8)	132 (45.2)	55 (44.0)
Age (years)	<60	343 (82.3)	235 (80.5)	108 (86.4)
	≥60	74 (17.7)	57 (19.5)	17 (13.6)
Tumor diameter	≤2 cm	68 (16.3)	46 (15.8)	22 (17.6)
	>2 cm	349 (83.7)	246 (84.2)	103 (82.4)
Glioma grades	WHO I	13 (3.1)	6 (2.1)	7 (5.6)
	WHO II	226 (54.2)	161 (55.1)	65 (52)
	WHO III	157 (37.7)	112 (38.4)	45 (36)
	WHO IV	21 (5)	13 (4.4)	8 (6.4)
IDH1 mutation	Wilds	141 (33.8)	96 (32.9)	45 (36)
	Mutations	176 (42.2)	118 (40.4)	58 (46.4)
	Unknown	100 (24.0)	78 (26.7)	22 (17.6)
1p/19q codeletion	Negative	81 (19.4)	58 (19.9)	23 (18.4)
	Positive	82 (19.7)	56 (19.2)	26 (20.8)
	Unknown	254 (60.9)	178 (60.9)	76 (60.8)
Ki-67 <sup>a</sup>	≤10%	273 (65.5)	202 (69.2)	71 (56.8)
	>10%	144 (34.5)	90 (30.8)	54 (43.2)
ATRX	Negative	24 (5.8)	15 (5.1)	9 (7.2)
	Positive	87 (20.9)	63 (21.6)	24 (19.2)
	Unknown	306 (73.3)	214 (73.3)	92 (73.6)
CD56	Negative	5 (1.2)	5 (1.7)	0 (0)
	Positive	272 (65.2)	190 (65.1)	82 (65.6)
	Unknown	140 (33.6)	97 (33.2)	43 (34.4)
P53	Negative	96 (23)	68 (23.3)	28 (22.4)
	Positive	141 (33.8)	93 (31.8)	48 (38.4)
	Unknown	180 (43.2)	131 (44.9)	49 (39.2)
EMA	Negative	247 (59.2)	175 (59.9)	72 (57.6)
	Positive	60 (14.4)	38 (13)	22 (17.6)
	Unknown	110 (26.4)	79 (27.1)	31 (24.8)
GFAP	Negative	15 (3.6)	11 (3.8)	4 (3.2)
	Positive	279 (66.9)	195 (66.8)	84 (67.2)
	Unknown	123 (29.5)	86 (29.4)	37 (29.6)
WBC (10 <sup>9</sup> /L) <sup>b</sup>	≤7.07	255 (61.2)	178 (61.0)	77 (61.6)
	>7.07	162 (38.8)	114 (39.0)	48 (38.4)
RBC (10 <sup>12</sup> /L) <sup>b</sup>	≤4.54	212 (50.8)	153 (52.4)	59 (47.2)
	>4.54	205 (49.2)	139 (47.6)	66 (52.8)
HB (g/L) <sup>b</sup>	≤134	202 (48.4)	142 (48.6)	60 (48.0)
	>134	215 (51.6)	150 (51.4)	65 (52.0)
PLT (10 <sup>9</sup> /L) <sup>b</sup>	≤223	216 (51.8)	155 (53.1)	61 (48.8)
	>223	201 (48.2)	137 (46.9)	64 (51.2)
Lymphocyte (10 <sup>9</sup> /L) <sup>b</sup>	≤1.7	216 (51.8)	149 (51.0)	67 (53.6)
	>1.7	201 (48.2)	143 (49.0)	58 (46.4)
Neutrophils (10 <sup>9</sup> /L) <sup>b</sup>	≤4.78	270 (64.7)	193 (66.1)	77 (61.6)
	>4.78	147 (35.3)	99 (33.9)	48 (38.4)
NLR <sup>b</sup>	≤3.6	323 (77.5)	230 (78.8)	93 (74.4)
	>3.6	94 (22.5)	62 (21.2)	32 (25.6)
PLR <sup>b</sup>	≤152.7	259 (62.1)	190 (65.1)	69 (55.2)

(Continued)



TABLE 1 Continued

Characteristic	Groups	All cohort (n = 417, %)	Training cohort (n = 292, %)	Validation cohort (n = 125, %)
ALU(g/L)	>152.7	158 (37.9)	102 (34.9)	56 (44.8)
	≤42.7	214 (51.3)	150 (51.4)	64 (51.2)
	>42.7	203 (48.7)	142 (48.6)	61 (48.8)
LDH (U/L) <sup>c</sup>	≤250	318 (76.3)	220 (75.3)	98 (78.4)
	>250	99 (23.7)	72 (24.7)	27 (21.6)

IDH1, isocitrate dehydrogenase-1; 1p/19q co-deletion, chromosome 1 and the long arm of chromosome 19; ATRX, alpha-thalassemia/mental retardation syndrome X-linked; Ki-67, nuclear proliferation antigen 67; GFAP, glial fibrillary acidic protein; EMA, epithelial membrane antigen; PLR, platelet-to-lymphocyte ratio; NLR, neutrophil-to-lymphocyte ratio; ALU, albumin; LDH, lactate dehydrogenase; WHO, World Health Organization. <sup>a</sup>The cut-off points was used by ROC curve (according to LGG and HGG); <sup>b</sup>The cut-off points was used mean value; <sup>c</sup>The cut-off points was used relevant assay kits, and all those factors divided into high and low groups for statistical analysis.

correlation (Figure 3L). We then analyzed the diagnostic value of Ki-67 expression and serum lymphocyte count in glioma. The HGG patients were mostly classified as having a high Ki-67 expression and a low lymphocyte count. The Ki-67 index, along with serum lymphocytes, may be used to distinguish LGG from HGG. This approach may be critical in the diagnosis of glioma patients (Figure 3M).

## Construction and validation of the nomogram

The multivariate logistic analysis identified Ki-67 expression, serum lymphocyte count, and serum albumin (ALU) as independent risk factors associated with HGG in the training cohort, and these variables were included in a nomogram. The weight of each variable was assigned a value between 0 and 100, and the HGG possibility was calculated as a sum of the corresponding scores shown on the coordinates (Figure 4).

The bootstrap c-index of the nomogram was 0.794 (0.71–0.90), indicating that the nomogram model established had a high degree of accuracy in distinguishing LGG from HGG patients. Additionally, the calibration curve indicated that the regression fitting curve was very close to the standard curve and that there was no statistically significant difference between the two curves ( $P = 0.616$ ), indicating that the model had a high degree of calibration and was very close to the actual outcome (Figure 5). Additionally, the DCA demonstrated that the clinical value of the model presented more net benefits at 30%–73% and 78%–82% threshold probabilities, indicating that the postoperative LGG patients with high-risk factors who received treatment had a greater net benefit than either the treat all patients or treat none patients (Figure 6).

## Discussion

Numerous studies have demonstrated that approximately 20%–25% of LGG can develop into HGG and eventually lead to death, and the 5-year survival rate of HGG is less than 5% (4, 32). Due to the limitations of imaging technology, we were unable to

detect micrometastasis sites in local tumor lesions even when sophisticated magnetic resonance imaging (MRI) was used to examine the postoperative LGG patients, resulting in the patients missing out on their best opportunity for therapy. IHC and serum Systemic Inflammatory Reaction (SIR) have been shown in several studies to play an essential role in glioma grading and prognosis (7–10). Therefore, MRI combined with immunohistochemistry and blood inflammatory biomarkers will be a highly effective method for predicting HGG in future studies.

In this study, we collected the postoperative IHC and preoperative serum inflammatory-related indicators and establish a correlation with HGG. We found that the Ki-67 expression gradually increased, but the peripheral blood lymphocyte count decreased with the grading of gliomas. Spearman correlation analysis showed that Ki-67 expression had a negative linear correlation with serum lymphocytes, thus, high Ki-67 expression was associated with a lower serum lymphocyte count. Furthermore, the nomogram model was established using Ki-67 and serum lymphocytes, and it was found to be highly accurate in predicting the HGG. This is the first study to incorporate Ki-67 expression, serum lymphocytes, and clinicopathological factors in predicting the glioma-grading, which may help clinical doctors in identifying potential risk factors for HGG patients.

The SIR tumor immune infiltration microenvironment releases immune cytokines and inflammatory factors into the peripheral blood, activating the inflammatory immune response, which is critical for regulating proliferation, invasion, distant metastasis, and prognosis in lung cancer, breast cancer, colon cancer, and glioma (21–25). This study discovered a correlation between the preoperative neutrophil-lymphocyte ratio (NLR) and glioma grade, and that an elevated NLR was an independent predictor of poor outcome in glioblastoma patients (33). Marinari et al. (34) found that peripheral immune signatures associated with increased inflammation, immune infiltration, and activation were associated with poor survival in HGG patients, and that lymphocyte infiltration at the tumor site was also associated with poor survival, implying that immune responses may play a pro-tumorigenic role in glioma. Kmiecik et al. (27) described the mechanisms of immunological escape in

TABLE 2 Univariate logistic proportional hazards regression analysis in the training cohort.

Characteristic		Glioma		OR (95% CI)	P-value
		Low grades	High grades		
Gender	Male	89	71	Ref	0.551
	Female	78	54	0.868 (0.544–1.384)	
Age (years)	<60	148	87	Ref	<0.001
	≥60	19	38	3.402 (1.847–6.268)	
Tumor diameter	≤2 cm	29	17	Ref	0.383
	>2 cm	138	108	1.335 (0.697–2.556)	
IDH1 mutation	Negative	58	38	Ref	0.68
	Positive	68	50	1.122 (0.649–1.942)	
1p/19q codeletion	Negative	37	21	Ref	0.269
	Positive	30	26	1.527 (0.721–3.233)	
Ki-67	≤10%	146	56	Ref	<0.001
	>10%	21	69	8.566 (4.808–15.262)	
ATR-X	Negative	9	6	Ref	0.841
	Positive	36	27	1.125 (0.357–3.543)	
CD56	Negative	4	1	Ref	0.289
	Positive	104	86	3.308 (0.363–30.147)	
P53	Negative	44	24	Ref	0.074
	Positive	47	46	1.794 (0.944–3.411)	
EMA	Negative	100	75	Ref	0.832
	Positive	21	17	1.079 (0.533–2.187)	
GFAP	Negative	5	6	Ref	0.363
	Positive	116	79	0.568 (0.167–1.924)	
WBC (10 <sup>9</sup> /L) <sup>a</sup>	≤7.07	102	76	Ref	0.962
	>7.07	65	49	1.012 (0.629–1.627)	
RBC (10 <sup>12</sup> /L) <sup>a</sup>	≤4.54	80	73	Ref	0.072
	>4.54	87	52	0.655 (0.41–1.046)	
HB (g/L) <sup>a</sup>	≤134	76	66	Ref	0.218
	>134	91	59	0.747 (0.469–1.188)	
PLT (10 <sup>9</sup> /L) <sup>a</sup>	≤223	81	74	Ref	0.071
	>223	86	51	0.649 (0.406–1.037)	
Lymphocyte (10 <sup>9</sup> /L) <sup>a</sup>	≤1.7	68	81	Ref	<0.001
	>1.7	99	44	0.373 (0.231–0.603)	
Neutrophils (10 <sup>9</sup> /L) <sup>a</sup>	≤4.78	115	78	Ref	0.249
	>4.78	52	47	1.333 (0.818–2.171)	
NLR <sup>a</sup>	≤3.6	142	88	Ref	0.003
	>3.6	25	37	2.388 (1.347–4.235)	
PLR <sup>a</sup>	≤152.7	115	75	Ref	0.117
	>152.7	52	50	1.474 (0.908–2.395)	
ALU (g/L)	≤42.7	69	81	Ref	<0.001
	>42.7	98	44	0.382 (0.237–0.618)	
LDH (U/L) <sup>b</sup>	≤250	126	94	Ref	0.961
	>250	41	31	1.013 (0.592–1.735)	

IDH1, isocitrate dehydrogenase-1; 1p/19q co-deletion, chromosome 1 and the long arm of chromosome 19; ATRX, alpha-thalassemia/mental retardation syndrome X-linked; Ki67, nuclear proliferation antigen 67; GFAP, glial fibrillary acidic protein; EMA, epithelial membrane antigen; PLR, platelet-to-lymphocyte ratio; NLR, neutrophil-to-lymphocyte ratio; ALU, albumin; LDH, lactate dehydrogenase.

TABLE 3 Multivariate logistic proportional hazards regression analysis in the training cohort.

Characteristic	Groups	OR (95% CI)	P-value
Ki-67	≤10%	Ref	<0.001
	>10%	8.758 (4.754–16.136)	
Lymphocyte (10 <sup>9</sup> /L)	≤1.7	Ref	0.003
	>1.7	0.436 (0.252–0.755)	
ALU (g/L)	≤42.7	Ref	0.001
	>42.7	0.378 (0.217–0.659)	

Ki67, nuclear proliferation antigen 67; ALU, albumin.

GBM, demonstrating that increased CD3(+) tumor-infiltrating T-lymphocyte cells were associated with prolonged survival in GBM patients and were also correlated with integrated immunosuppressive mechanisms in the tumor microenvironment and at the systemic level. Therefore, the association between tumor location and peripheral blood infiltrating lymphocytes in glioma is controversial. In this study, we found that the peripheral blood infiltrating lymphocyte count in LGG was higher than in HGG, and that grade I had the highest serum lymphocyte count. Additionally, a low serum lymphocyte count is an independent risk factor for HGG. Numerous studies have also demonstrated that IDH mutations play an important role in diagnosing, evaluating medication effectiveness, predicting survival, and reducing the invasiveness of biomarkers associated with glioma, and are widely deemed the most significant genetic alteration (13–16). Then, we further performed a correlation analysis between serum lymphocyte count and IDH1 mutation or 1p19q codeletion. In HGG or LGG patients, serum lymphocyte count showed no correlation with IDH1 mutation or 1p19q codeletion.

Additionally, we examined the IHC expression of Ki-67 and serum lymphocytes in glioma. The Ki-67 expression was gradually elevated with glioma grade, and the GBM had the highest Ki-67 expression, however, there was no association between the IDH1 mutation or 1p19q codeletion status and Ki-67 expression. Spearman correlation analysis revealed a negative linear association between Ki-67 expression and serum lymphocytes, with a higher Ki-67 expression corresponding to a lower serum lymphocyte count. Then, we examined the diagnostic utility of Ki-67 expression in glioma by combining with the serum lymphocyte count. We discovered that LGG patients have lower Ki-67 expression and a higher blood lymphocyte count than HGG patients and that a combination of these two factors may significantly distinguish LGG from HGG, perhaps playing a role

in diagnosing HGG patients. Taken together, a decrease in serum lymphocytes and increased expression of Ki-67 in HGG patients indicates that the tumor immune capacity of patients is diminished and tumors are more aggressive, which may contribute to the overall survival of LGG patients being longer than HGG patients. Furthermore, there was no association between Ki-67 expression and IDH1 mutation or 1p19q codeletion. As several studies have demonstrated that overexpression of Ki-67 increases tumor proliferation, invasion, and metastasis, it is also a critical reference index for the diagnosis and prognosis of breast cancer, lung cancer, and prostate cancer (35–39). Theresia et al. (18) demonstrated an association between the Ki-67 labeling index and the histopathological grade of glioma, with LGG having a significantly lower grade than HGG. Ki-67 expression may be utilized to quantify lymphocyte proliferation (40). Li et al. (41) demonstrated a negative correlation between pre- and postoperative expression and alterations of peripheral blood lymphocytes and their CD25 and Ki-67 expression in renal cell carcinoma cells using flow cytometry and immunohistochemistry. However, no investigation has been reported to determine the correlation between Ki-67 expression and serum lymphocytes.

Nomograms are widely used for predicting the risk of cancer, and using basic hematological and clinicopathological data to identify risk factors for survival prediction is also a useful and valuable tool in tumors (42, 43). Wu et al. (44) established and validated a novel nomogram for the preoperative diagnosis of GBM using feasible baseline characteristics and preoperative tests. The nomogram demonstrated excellent calibration and a significant clinical advantage in predicting GBM. Another study suggested that a nomogram based on inflammatory biomarkers can accurately predict overall survival rates in patients with glioma, with a high NLR rate associated with a poor prognosis (45). However, no relevant studies have been reported using the Ki-67 expression and serum lymphocytes of the nomogram to

TABLE 4 Spearman correlation analysis independent risk factors with HGG in all cohorts.

Spearman		Ki-67	Lymphocyte	ALU
Glioma	r	0.457	−0.238	−0.232
	P	<0.001	<0.001	<0.001

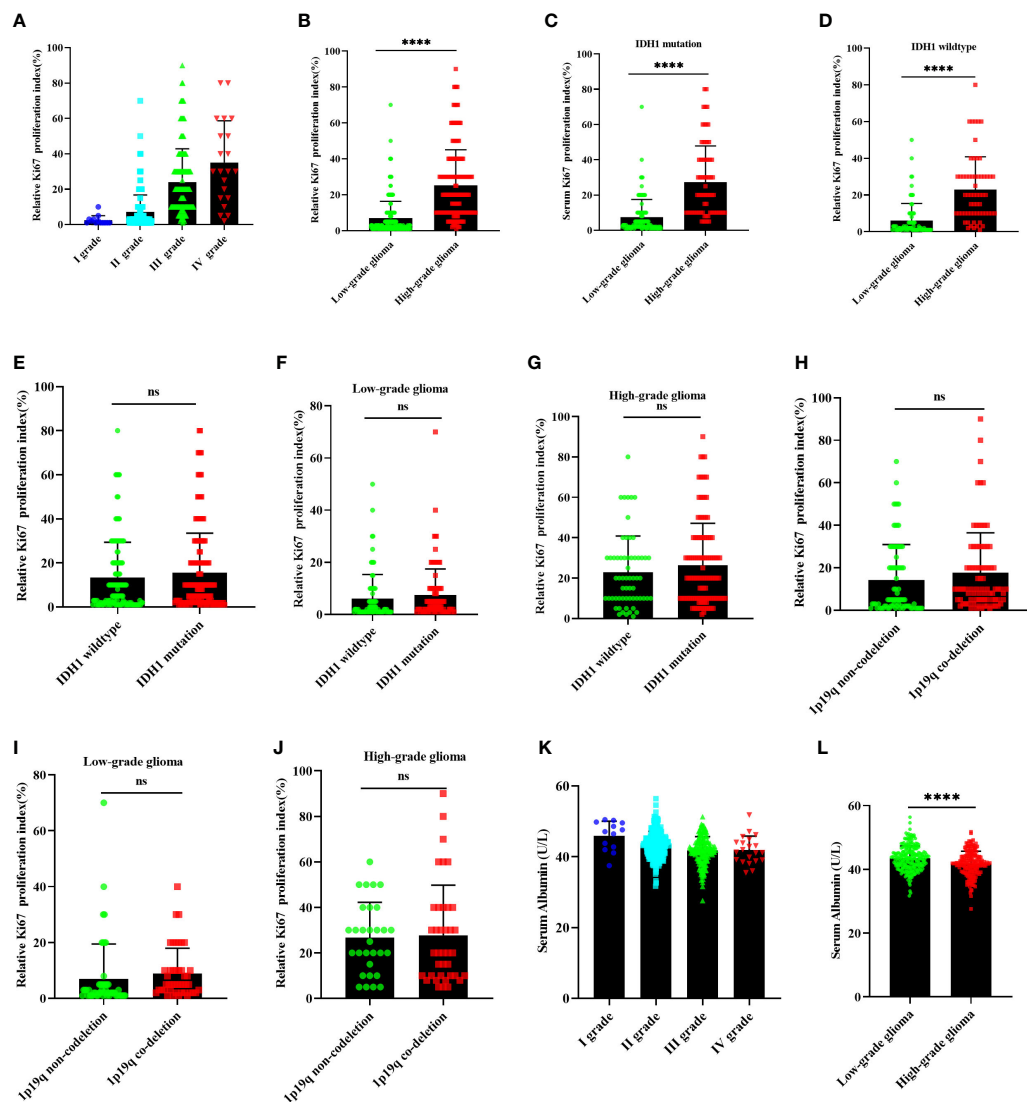


FIGURE 2

The relationship between Ki-67 expression and glioma, IDH1 mutation status, 1p19q co-deletion status. (A, B) the Ki-67 expression in glioma; (E–G) Ki-67 expression correlation with IDH1 mutation status in all glioma, LGG, and HGG; (H–J) Ki-67 expression correlation with 1p19q co-deletion status in all glioma, LGG, and HGG; (C, D), Ki-67 expression correlation with glioma in IDH1 mutation group and IDH1 wild type group; (K, L) serum ALU correlation with glioma. LGG, lower-grade glioma; HGG, high-grade glioma; ALU, albumin. <sup>ns</sup> $P > 0.05$ , \*\*\*\* $P < 0.0001$ , mean  $\pm$  standard deviation, t-test.

predict the risk of glioma grade. In this study, univariate and multivariate logistic regression analysis revealed that Ki-67 expression and serum lymphocytes were independent risk factors for HGG, and the established nomogram may be used to accurately predict HGG. This is the first study to assess the predictive value of Ki-67 expression and serum lymphocytes in patients with glioma.

There are some limitations to our study. Firstly, this study only examined the correlation between peripheral blood lymphocyte count and glioma grade, but not the survival of patients following surgery or adjuvant chemoradiotherapy. Secondly, we only examined the relationship between serum lymphocytes and IDH1

mutation or 1p19q codeletion status. However, the overall survival was unclear based on both mutation status and analysis of serum lymphocytes. Thirdly, we analyzed and verified the correlation between peripheral lymphocytes and HGG retrospectively, but the expression of O6-methylguanine-DNA methyltransferase (MGMT), CD3, CD4, or CD8, etc. in peripheral blood T-lymphocytes and which inflammatory factors were released and passed through the blood–brain barrier to influence tumors were unknown. Additionally, we classified glioma-grade and pathological classification according to WHO 2016 guidelines; nevertheless, the most recent pathological classification of glioma has undergone significant changes. Finally, because this was a single-center,



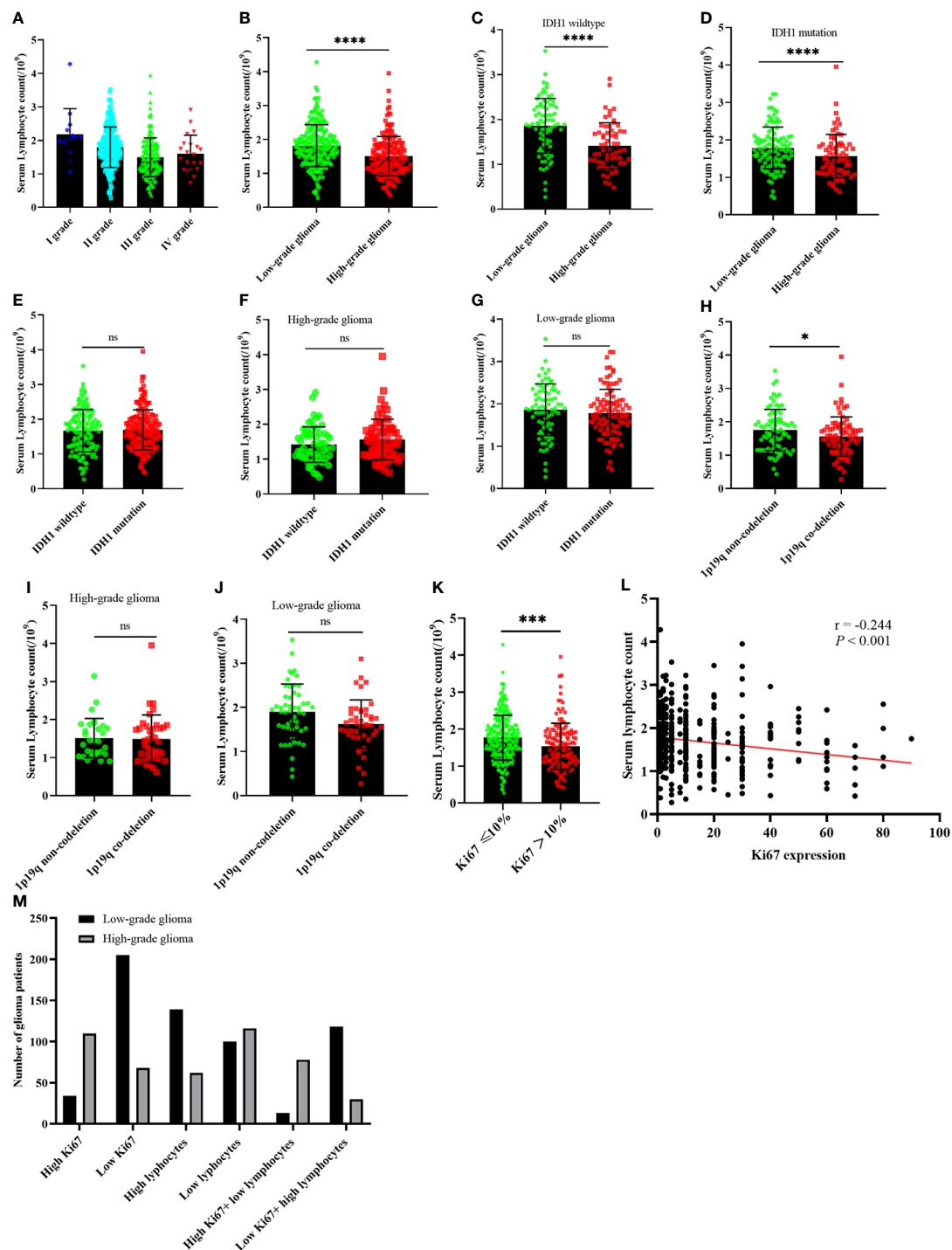
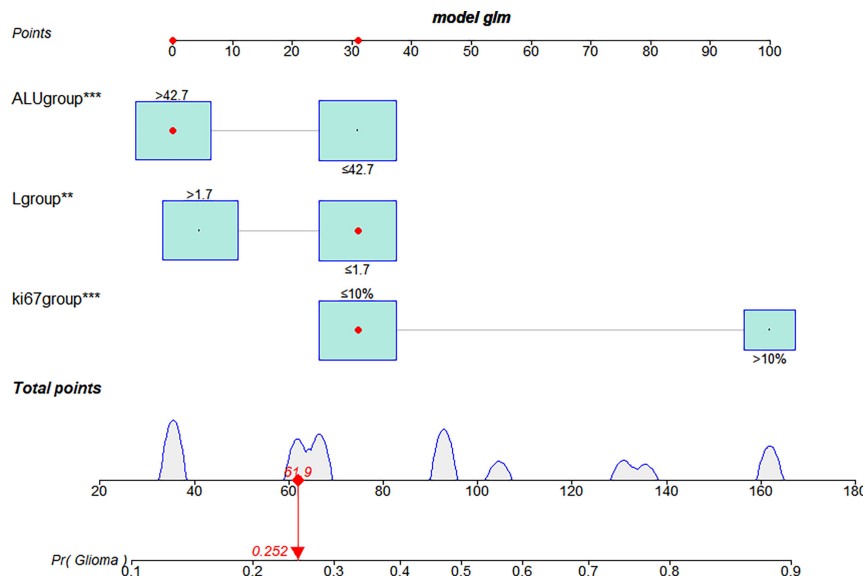


FIGURE 3

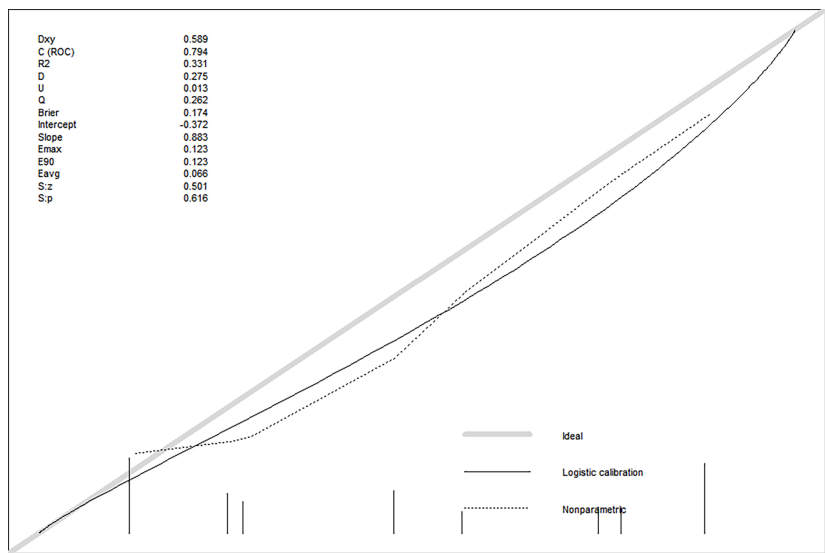
The relationship between serum lymphocyte count and glioma, IDH1 mutation status, 1p19q co-deletion status, and serum ALU correlation with glioma. (A, B) serum lymphocyte count in glioma; (C, D) serum lymphocyte count correlation with glioma in IDH1 mutation group and IDH1 wild-type group; (E–G) serum lymphocyte count correlation with IDH1 mutation status in all glioma, HGG, and LGG; (H–J) serum lymphocyte count correlation with 1p19q co-deletion status in all glioma, HGG, and LGG; (K) serum lymphocyte count correlation with Ki-67 expression in all glioma; (L) linear correlation between serum lymphocyte count and Ki-67 expression; (M) the number of patients with Ki-67 expression and serum lymphocytes count. LGG, lower-grade glioma; HGG, High-grade glioma; ALU, albumin. <sup>ns</sup> $P > 0.05$ , \* $P < 0.05$ , \*\*\* $P < 0.001$ , \*\*\*\* $P < 0.0001$ , mean  $\pm$  standard deviation, t-test.



**FIGURE 4**  
The nomogram used to predict the glioma grading in the training cohort. Three independent risk factors were incorporated into the nomogram model, and the data for those variables are shown on the interactive nomogram. Each predictive variable had a value ranging from 0 to 100, and the overall score was calculated by summing those variables. The red dot on the scale represents the corresponding score of the variable. LGG, lower-grade glioma; HGG, High-grade glioma; ALU, albumin. \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .

retrospective study with a limited sample size and a small number of grade I or IV glioma patients, the results may have been subject to selection bias. Therefore, a larger sample size, multi-centered clinical study of serum lymphocytes with glioma grade should be considered in the future.

In conclusion, the established nomogram may be used to predict HGG, and the HGG patients with greater serum lymphocyte counts and lower Ki-67 expression. The reduction in serum lymphocytes and increased expression of Ki-67 in HGG patients indicate that their immunological function is



**FIGURE 5**  
The discrimination and calibration curves of prediction model.

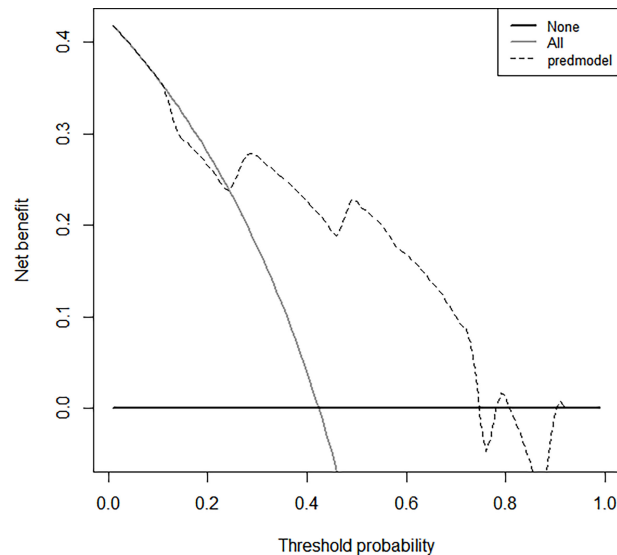


FIGURE 6

The clinical values of this nomogram model for decision curve analyses (DCA). The Y-axis represents the net benefit. The dotted line represents the clinicopathologic nomogram. The gray line represents the hypothesis that all patients are involved in HGG. The black solid line represents the hypothesis that no patients are involved in HGG. The X-axis represents the HGG possibility (31).

compromised and their tumors are more aggressive. Moreover, IHC of Ki-67 expression along with serum lymphocytes may accurately detect HGG. Therefore, we will further conduct long-term follow-up of patients and predict the risk of whose LGG will transform into HGG in the future. This may be useful in assisting clinical doctors in predicting secondary glioma in patients at high risk of postoperative LGG and allowing for early treatment intervention.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Ethics statement

The studies involving human participants were reviewed and approved by the First Affiliated Hospital of Nanchang University, Nanchang, China. The ethics committee waived the requirement of written informed consent for participation. All the patients' data are kept confidential.

## Author contributions

WG and ZZ were involved in collected the data and patient follow-up. WG and MH were responsible for the conception and

design of the study, assisted with the statistical analysis and wrote the manuscript. CW, FQ, and JM contributed in the correction of the manuscript. LX, FY, JX, JD, and GZ helped correcting and revising the manuscript. All authors approved the manuscript prior to submission.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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