

# TECTONIC AND ENVIRONMENTAL RECONSTRUCTIONS: PERSPECTIVES FROM GEOCHEMISTRY AND ISOTOPES OF SEDIMENTARY ROCKS

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# TECTONIC AND ENVIRONMENTAL RECONSTRUCTIONS: PERSPECTIVES FROM GEOCHEMISTRY AND ISOTOPES OF SEDIMENTARY ROCKS

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# Editorial: Tectonic and Environmental Reconstructions: Perspectives From Geochemistry and Isotopes of Sedimentary Rocks

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## Editorial on the Research Topic

### Tectonic and Environmental Reconstructions: Perspectives From Geochemistry and Isotopes of Sedimentary Rocks

Sedimentary sequences hold the remarkable advantage that they comprise a vertically accumulated and relatively undistorted record of erosion, sedimentation, and climatic-tectonic environments and, therefore, obviate many of the difficulties intrinsic in disentangling complex structural overprints (McLennan et al., 2001; Zhang, 2004; Pietranik et al., 2008; Zhang et al., 2007; 2012, 2017). The geochemical and isotopic studies of sedimentary rocks and minerals therein form a vital approach to tectonic and environmental reconstructions. Latest decades have witnessed significant progress in geochemistry and isotopes of sedimentary rocks and minerals and their applications to fundamental geological issues. For example, advances in dating on calcite using U–Pb isotopic system (e.g., Roberts et al., 2017; Godeau et al., 2018) and dating on illite using K–Ar isotopic system (e.g., Hamilton et al., 1989; Pevear, 1999) provide additional methods to define the ages of sedimentation/strata besides the conventional paleontological method; documentation of U–Pb–Lu–Hf isotope systematics of detrital zircons from siliciclastic rocks makes it possible to examine the nature of major crustal growth events (e.g., McLennan et al., 2001; Pietranik et al., 2008), both regional and global; work on the geochemistry of limestones deposited in various plate tectonic settings permits the development of proxies for the discrimination of depositional regimes (Zhang et al., 2017). Therefore, the collection of works regarding this topic is timely and essential to exchange new ideas in the international community and provoke and promote studies in this field.

In the collection of this Research Topic, 11 contributions were published, with a focus on the recent advances in sedimentary geochemistry and isotopes at whole-rock and/or single mineral scale and their applications to tectonic and environmental reconstructions. Besides, this topic collected provocative ideas regarding the methodology and give summaries of its recent development. Importantly, this Research Topic presented new datasets or summaries on the geochemistry and isotopes of specific sedimentary rock or mineral on key regimes. Moreover, this Research Topic published practice examples on a regional scale that can be tracked and referred to by researchers in the same field.

The collection covers the following several major themes.

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- 1) Sedimentary geochemistry and isotopes and their applications to unraveling the paleogeographic, paleoclimatic, and sedimentary evolution of the maritime space in the western Pacific.

For example, Li et al. and Liu et al. explored the sources of organic carbon in the Mariana Trench, the deepest part of the earth, and the paleoclimate of the middle Okinawa Trough Since the Middle Holocene, respectively, both based on analysis of glycerol dialkyl glycerol tetraether (GDGT). Wang et al. documented the hydrocarbon geochemistry of core sediments from the SW Basin of the South China Sea and probe the implications for sedimentary environment. Xu et al. envisaged topographic and climatic control on chemical weathering of mountainous riverine sediments of Hainan Island, South China Sea, based on investigation of sedimentary geochemistry.

- 2) New ideas on the methodology regarding sedimentary geochemistry and isotopes as well as their applications to geological issues.

Liu et al. analyzed Mesozoic tectono-thermal events of the Qinshui Basin in central North China Craton, based on measurements on illite crystallinity and vitrinite reflectance. Li et al. reconstructed the maximum burial temperature of the Sichuan Basin, southern China in use of clumped isotopes. Shaogong et al. dated the paragneiss in the Dabie-Sulu UHP orogen of eastern China by means of zircon U-Pb isotope systematics.

- 3) Geochemistry and isotopes of specific sedimentary rock or mineral on important regimes.

Yang et al. investigated the whole-rock and *in situ* geochemistry of siderites in the Lopingian coal-bearing series, western Guizhou of southern China to reveal their genetic mechanism and environment implications. Chenrai et al. reported the occurrence and genesis of bedding-parallel fibrous calcite veins in Permian siliciclastic and carbonate rocks in central Thailand.

- 4) Applications of sedimentary geochemistry to petroleum industry

This is the most characteristic brightness of this collection. For example, Yingjie et al. reported the variation of clay minerals during alkaline surfactant polymer flooding in the oilfields in the Songliao Basin of eastern China. Fan et al. carried out pyrolysis experiment on water-saturated shale plunger samples to shed insight to oil generation and expulsion of shales.

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# The Sources of Organic Carbon in the Deepest Ocean: Implication From Bacterial Membrane Lipids in the Mariana Trench Zone

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Hadal trenches have higher microbial carbon turnover rates as compared to adjacent abyssal plains. However, the source of organic carbon in the trench remains enigmatic. In this study, we show that a fraction of organic carbon is possibly derived *in situ* and correlated with chemoautotrophic communities supported by the fluid discharge of water-rock interaction in the trench wall, based on analysis of glycerol dialkyl glycerol tetraether (GDGT) membrane lipids, including archaeal isoprenoid GDGTs (IsoGDGTs) and bacterial branched GDGTs (BrGDGTs), in sediments and rocks of the Mariana and Yap Trenches, northwest Pacific Ocean. These trench sediments contained relative higher BrGDGTs ratios, which was a rare observation in the open ocean. The BrGDGT-to-IsoGDGT ratios ranged in 0.02–0.88 (mean =  $0.10 \pm 0.11$ ) in sediments and 0.09–0.38 (mean =  $0.17 \pm 0.13$ ) in altered rocks. The calculated values of branched and isoprenoid tetraether (BIT) index ranged from 0.02–0.73 (mean =  $0.18 \pm 0.11$ ) in sediments and from 0.16–0.9 in altered rocks (mean =  $0.37 \pm 0.27$ ). Moreover, these GDGTs exhibited similar characteristics to those of altered basalt rocks, indicating inputs of organic carbon from the trench subsurface environment. Thus, in addition to organic-rich material settling, we propose chemoautotrophic activity in oceanic crust could be an additional source of organic carbon in the deepest part of the ocean, with an important role in deep-sea carbon cycles.

**Keywords:** hadal trenches, organic carbon, water-rock interaction, membrane lipids, deep-sea carbon cycles, chemoautotrophic activity

## INTRODUCTION

Hadal trenches, which are located on the axis of subduction zones, are covered by water depths ranging from 6,500 m to 11,000 m and represent some of the most remote and least-explored regions on Earth (Jamieson et al., 2010; Jamieson et al., 2017, 2018). It has recently been revealed that there is a significantly higher microbial carbon turnover rate in hadal sediment than that in adjacent abyssal plain (Glud et al., 2013; Luo et al., 2018). This environment is also known to



sustain a diverse array of metazoan organisms (Jamieson et al., 2010) and heterotrophic microbial populations (Nunoura et al., 2015). It has been speculated that the supply of nutritious food at such great depths largely relied on the flux of fresh organic-rich particulate matter (Glud et al., 2013; Nunoura et al., 2015) and input of the decaying biota and carcasses from the upper ocean (Oguri et al., 2013). Moreover, low temperature water-rock interaction between bedrock and seawater, such as serpentinization and basalt rock alteration, is a common phenomenon at the bottom of trenches (Fryer et al., 1999; Stern et al., 2006; Du et al., 2019) and chemosynthetic microbial communities utilizing crustal fluid are also known to occur in trenches (Fujikura et al., 1999; Hand et al., 2012; Ohara et al., 2012). For example, the existence of fluid discharge features, including fluid discharge points and associated pockmarks, as well as chemosynthetic microorganisms, in the outer rise region was directly observed by submersible investigations in the southern Mariana trench (Du et al., 2019). Around these fluid discharge features, iddingsite-rich muds with high hydrogen and methane concentration were close association with augite, indicating the occurrence of iddingsitisation in these altered basalts (Du et al., 2019). However, the potential contribution of carbon fixation by these chemosynthetic microbial communities, which related to water-rock interaction, in the trench bottom remains enigmatic.

The membrane lipid GDGTs of prokaryotes, including IsoGDGTs and BrGDGTs (for structures see **Supplementary Figure 1**), in marine sediments are important biomarkers for tracing organic matter sources (Hopmans et al., 2004; Schouten et al., 2013; Weijers et al., 2014). BrGDGTs have been predominantly found in terrestrial settings such as soils and peat deposits. Although BrGDGTs may also be *in situ* formed in normal marine environments, the abundance of marine BrGDGTs differs from that of terrestrial soil by orders of magnitude (Peterse et al., 2009; Schouten et al., 2013; Weijers et al., 2014). The BIT index was proposed based on BrGDGTs and Crenarchaeol (representative of marine IsoGDGTs) contents (see **Supplementary Equations**) (Hopmans et al., 2004). In terrestrial soils, the BIT value is approximately  $0.90 \pm 0.14$ , whereas that of open marine sediments is  $0.04 \pm 0.03$  (Schouten et al., 2013). Therefore, this index is previously used to characterize the contribution of terrestrial soil inputs in marine environments (Schouten et al., 2013). However, several previous studies have found that relatively high BrGDGT content also presented in some chemoautotrophic systems, such as cold seep (Zhang et al., 2020), hydrothermal fields (Hu et al., 2012; Lincoln et al., 2013; Pan et al., 2016), and serpentinite-hosted ecosystems (Newman et al., 2020). These findings indicated that the high relative abundance of BrGDGT in the sedimentary environment of Open Ocean may be an indicator of the chemoautotrophic ecosystem.

To elucidate the source of organic matter at the bottom of trenches, we examined the GDGT lipid profiles of the sediments and rock samples retrieved from the southern Mariana Trench and northern Yap Trench, northwest Pacific Ocean. Our results demonstrated relatively high BrGDGT ratios within trench sediments, with similar composition to those of altered basaltic rocks rather than of sediments in open oceans, suggesting

chemoautotrophic carbon fixation in the subduction zone could be an effective source of organic carbon in the deepest parts of the ocean.

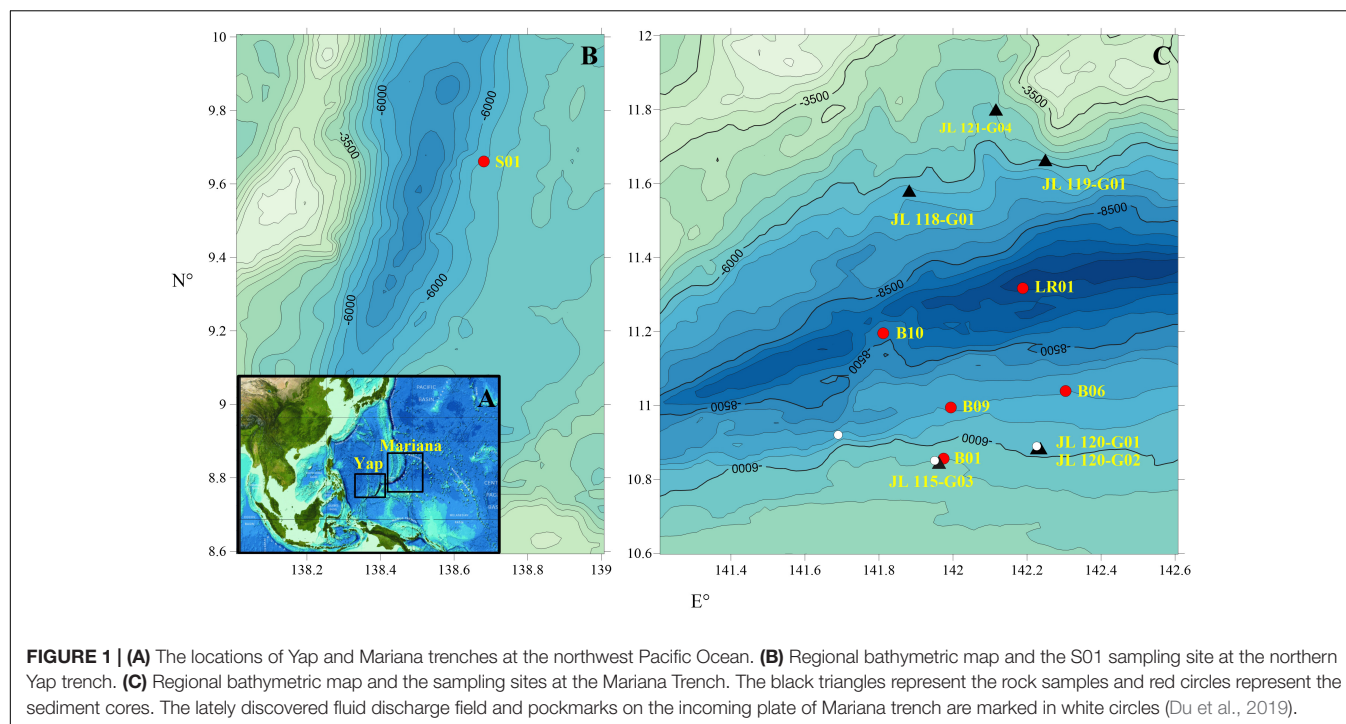
## MATERIALS AND METHODS

### Sample Collection

Sediment and rock samples were collected from the Yap and Mariana Trenches during cruises that were conducted in 2016 and 2017 (**Figure 1** and **Supplementary Table 1**). The multiple sediment core sample, S01, was collected from the northern Yap Trench at a water depth of 5,058 m using a sediment multi-core sampler on the R/V XIANG-YANG-HONG-09, during cruise DY-37 conducted by the China Ocean Mineral Resource R&D Association in 2016.

Nine dives (Dive 114–112) were also performed at the “Challenger deep” of Mariana trench by the “Jiaolong” Human Occupied Vehicle during cruise DY-37. On the two sides of the trench walls, a large number of rock fragments were scattered on the seafloor which is covered with thin sediments (**Supplementary Figures 2A–C**). These rock samples have undergone varying degrees of alteration. During dive 114 and 115, a few fluid discharging points (1 m in height and 2–5 m diameter) and small pockmarks (3–6 m in diameter) were observed at the southern wall with water depths ranging from 5,448 m to 6,669 m (Du et al., 2019). During dive 121, high altered basement rocks were observed at the Northern trench wall (**Supplementary Figure 2D**). A total of six rock samples (7 subsamples) were used in this study (**Supplementary Figure 3**). They were collected from the two sides of the “Challenger Deep”. Three samples, including JL118-G01, JL119-G01, and JL121-G04, were collected from northern wall with water depth ranging from 5,552 m to 6,697 m; while the other three samples JL115-G03, JL120-G01, and JL120-G02, were collected from its southern wall with water depths from 5,544 m to 6,296 m.

Four sediment box cores (B01, B06, B09, and B10) were collected from the southern Marianna Trench with a water depth from 5,525 m to 8,638 m using the box sediment sampler onboard the R/V TAN-SUO-YI-HAO, during cruise TS01 conducted by the Chinese Academy of Sciences in 2016. After the sediment boxes were collected on deck, short sediment cores were obtained by inserting PVC plastic pipes into the sediments. LR01 was a 23 cm long sediment core, and was sampled at the deepest site of the “Challenger Deep” onboard the R/V TAN-SUO-YI-HAO, during cruise TS03 conducted by the Chinese Academy of Sciences in 2017. It was collected by using the “Tianya” deep-sea Lander system, designed by the Institute of Deep-sea Science and Engineering, Chinese Academy of Sciences, with the features of sediment sampling, capturing macroorganisms and recording HD videos below 11,000 m water depth (**Supplementary Figure 4**). The sediment sampler of “Tianya” Lander, a cuboid cavity made of aluminum alloy, was installed at the foot of the lander frame, and inserted into the sediments by the dive gravity to complete the sampling process. The cores in each recovery were immediately sectioned into slices of 2 cm in thickness onboard, using an aseptic scalpel.



The LR01 sample was stored at  $-80^{\circ}\text{C}$ , whereas the remaining sediment and altered rock samples were stored at  $-20^{\circ}\text{C}$ . To avoid pollution, we removed the surface of rock sample using sterile hammer, cleaned with ethanol (70% v) and milliQ water after returning to the laboratory, and extracted the lipids from the interior part.

## Lipids Analysis

In the laboratory, the selected sediments and rocks were ground into powders using an agate pestle and mortar after freeze-drying. An aliquot of each sample (5–10 g) was extracted ( $\times 5$ ) ultrasonically with a mixture of dichloromethane and methanol (9:1, V/V) to obtain the total lipid extract. After condensation via a rotary evaporator, the extracted total lipids were further separated into alkanes and polar lipids using silica gel (60–100 mesh) flash column chromatography with *n*-hexane and methanol eluents, respectively. The polar fractions were then passed through 0.45- $\mu\text{m}$  PTFE syringe filters and dried under a stream of nitrogen gas.

The *n*-alkanes and GDGTs were measured at the State Key Laboratory of Biogeology and Environmental Geology, China University of Geosciences (Wuhan), China. The aliphatic fraction containing *n*-alkanes was analyzed in an Agilent 6890 gas chromatography and 5,973 mass spectrometer (GC-MS), equipped with a silica capillary column (DB-5MS; 60 m  $\times$  0.25 mm  $\times$  0.25  $\mu\text{m}$ ). The GC oven temperature program for *n*-alkanes ramped from  $50^{\circ}\text{C}$  to  $120^{\circ}\text{C}$  at  $8^{\circ}\text{C}/\text{min}$ , and then from  $120^{\circ}\text{C}$  to  $300^{\circ}\text{C}$  at  $5^{\circ}\text{C}/\text{min}$ , held at  $300^{\circ}\text{C}$  for 20 min with helium as the carrier gas. The ionization energy was 70 eV and the temperature of interface between GC and MS was set as  $280^{\circ}\text{C}$ .

With respect to GDGTs analysis, the dried polar fraction was redissolved in *n*-hexane/isopropanol (99:1, v/v) for further analysis. Synthesized  $\text{C}_{46}$  GDGT was added as an internal standard in each redissolved sample. The GDGTs were analyzed using an Agilent 1200 series liquid chromatography tandem mass spectrometer, with ChemStation management software. Following injection by an autosampler, the GDGT compounds were separated using an Alltech Prevail Cyano column (150 mm  $\times$  2.1 mm, 3  $\mu\text{m}$ ). Archaeal IsoGDGTs and bacterial BrGDGTs were then analyzed using single ion monitoring at  $m/z$  1302, 1300, 1298, 1296, 1292, 1050, 1048, 1046, 1036, 1034, 1032, 1022, 1020, and 1018. A few GDGT isomers, including 5- and 6- methyl GDGTs could not be separated by using the single ion monitoring method of this study.

## TOC, TN, and Carbon Stable Isotope Analysis

The total organic carbon (TOC), total nitrogen (TN), and carbon isotopic ( $\delta^{13}\text{C}$ ) compositions of the sediments were measured at the State Key Laboratory of Biogeology and Environmental Geology, China University of Geosciences (Wuhan), China. The freeze-dried sediment samples were rapidly homogenized by grinding and weighed aliquots of the sample were acidified by adding 2 mL of 1 M HCl to every 100 mg of sample. The acidified samples were dried at  $>60^{\circ}\text{C}$  under a stream of filtered air, then mixed with 1 mL of Milli-Q water and freeze-dried again. The samples were weighed again to account for the change in weight during the acid treatment. Aliquots of approximately 20 mg were added into 5  $\times$  8 mm tin capsules for the measurement of TOC, TN, and carbon isotopes ( $\delta^{13}\text{C}$ ) using a continuous-flow isotope-ratio mass spectrometer (Delta V Advantage, Thermo



Scientific, Germany) coupled to an elemental analyzer (Flash EA 1112 Thermo Scientific, Italy) in the laboratory. The  $\delta^{13}\text{C}$  results are expressed relative to Vienna PeeDee Belemnite. Replicates of an acetanilide standard (Thermo Scientific) were analyzed along with samples, which indicated that the analytical errors were  $<0.1\%$  for  $\delta^{13}\text{C}$ . The C/N ratios were determined as mol/mol ratios, which were transformed from the %TOC and %TN weight data that were obtained as a part of the stable isotope analyses.

## X-Ray Diffraction Analysis

After freeze-drying, the selected sediment and rock samples were thoroughly ground using a mortar and pestle before passing through a 200-mesh sieve. X-ray diffraction analyses were performed on the powdered sub-samples. Sample mounts were step scanned from  $2.5^\circ$  to  $65^\circ$   $2\theta$  with a step size of  $0.02^\circ$  and 50 s counting time. The samples were run on a D/max2550VB3 + /PC X-ray diffractometer at 20 kV and 30 mA with CuK-alpha radiation ( $1.54061 \text{ \AA}$ ) and a graphite monochromator. Phase analyses were performed on a PDF2 (2004) computer with Jada 5.0 software.

## RESULTS

### Bulk Organic Geochemical Parameters

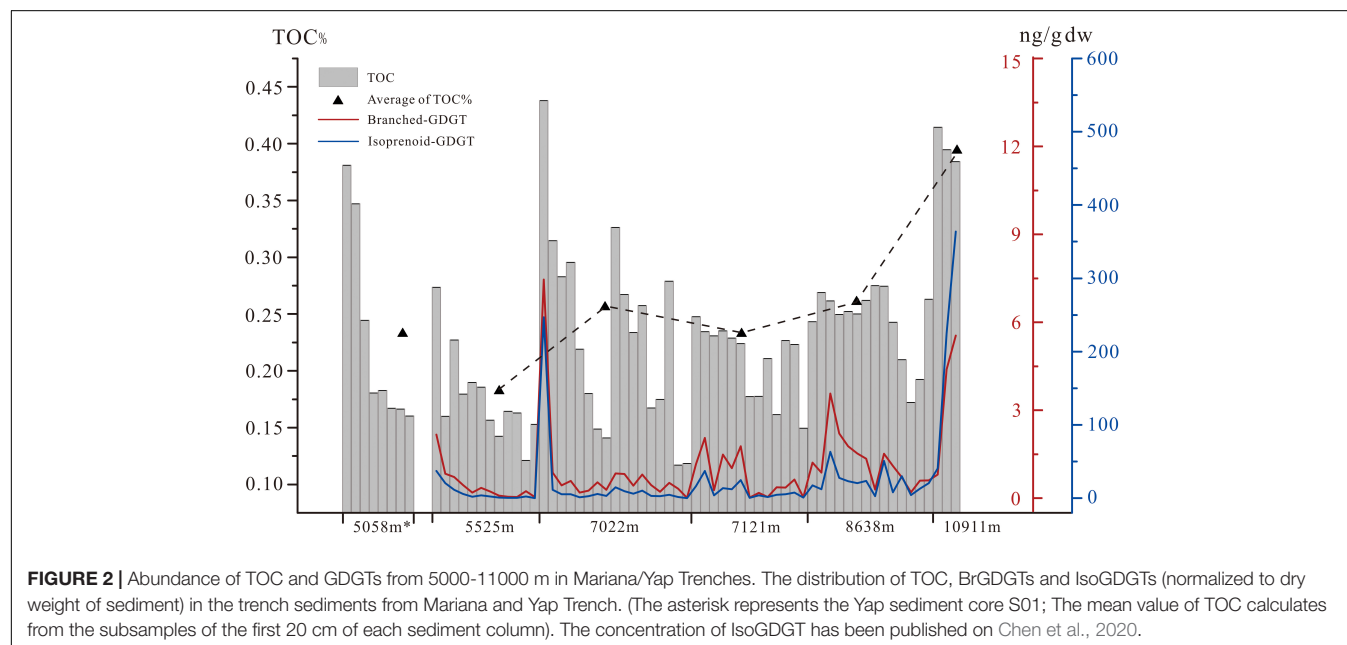
In this study, the abundance of TOC ranged from 0.12 to 0.42% in all sediment samples, with highest abundance at the core top sediment in B06 (1,0911 m) and lowest abundance at 33–34 cm of core B01 (5,525 m) (Figure 2 and Supplementary Table 2). The  $\delta^{13}\text{C}$  and C/N molar ratio of the bulk TOC of the sediment samples ranged between  $-21.72\%$  and  $-16.70\%$  (mean =  $-19.02 \pm 1.05\%$ ,  $n = 67$ ) and between 3.00 and 8.21 (mean =  $4.96 \pm 1.12$ ,  $n = 67$ ), respectively, with no obvious trend

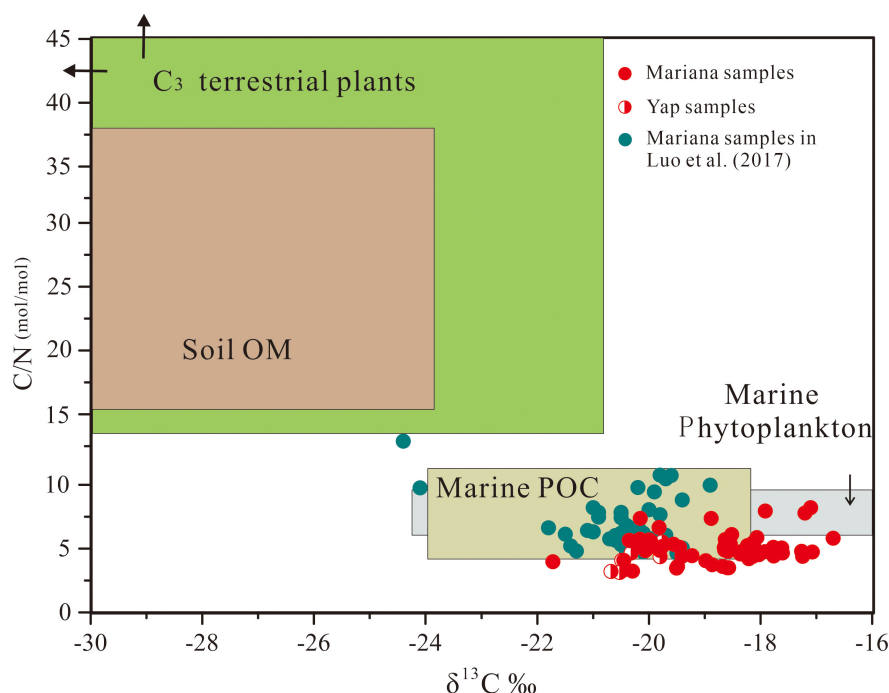
with the increasing water depth or core depth (Supplementary Table 2 and Figure 3). As for reference site of 5,000 m water depth, mean TOC of S01 (5,058 m) in Yap Trench was a bit higher than that of B01 in Mariana Trench but still lower than other deeper sites. Therefore, the mean TOC of sediment cores of were generally increased with the increasing water depth in Mariana Trench. Regarding to each sediment core, TOC showed peak abundance at the surface (0–5 cm) of sediment core and fluctuatingly decreased with the sediment core depth (Figure 2).

### GDGTs in Trench Sediments and Altered Rocks

The sum abundance of BrGDGT and IsoGDGT (Chen et al., 2020) in sediment was generally increased with increased water depth as TOC (Figure 2), varied from 0.02 to 7.48 ng/g dw and 0.16 to 363.85 ng/g dw, respectively. The distribution of the BrGDGTs was dominated by hexamethylated BrGDGTs (16–74%), followed by tetramethylated BrGDGTs (13–57%) and pentamethylated BrGDGTs (13–64%) (Supplementary Table 2). The domination of hexamethylated groups was coherent with another investigation of the sedimentary BrGDGTs in the bottom of Mariana trench (Xiao et al., 2019). The BrGDGT-to-IsoGDGT (Br/Iso) ratios ranged in 0.02–0.88 (mean = 0.10,  $n = 67$ ) and 0.09–0.38 (mean = 0.17,  $n = 7$ ). The calculated BIT values ranged in 0.02–0.73 (mean = 0.18,  $n = 67$ ) (Supplementary Table 2). Approximately 58% of the sediment samples had BIT values over 0.15.

BrGDGTs of altered rock samples had also been identified. The sum abundance of BrGDGTs in these altered rock samples ranged from 0.03 to 0.41 ng/g dw. Similar distribution of BrGDGT composition was found in altered rock as in sediments. Dominated hexamethylated BrGDGTs were ranged from 44 to 72%, tetramethylated and pentamethylated groups contributed





**FIGURE 3 |** Relationship between  $\delta^{13}\text{C}$  of TOC and molar C/N ratios of organic matter. The domains of marine particle organic matter (Marine POC), marine phytoplankton, soil organic matter (Soil OM), and C3 vascular plant are based on Goni et al., 2008; Khan et al., 2015 and references therein. The data of blue-green points in this figure are derived from Luo et al., 2017.

10–28% and 15–29%, respectively. Br/Iso ratio in altered rocks was ranged from 0.03 to 0.38 (mean = 0.17,  $n = 7$ ). The calculated BIT values ranged in 0.16–0.90 (mean = 0.37,  $n = 7$ ) (Supplementary Table 2).

### *n*-Alkane and Related Index in Sediments

*n*-Alkanes in the range of  $\text{C}_{16}$  –  $\text{C}_{29}$  were mainly discovered in the trench sediments. The *n*-alkanes presented unimodal distribution pattern centered at  $\text{C}_{21}$  –  $\text{C}_{23}$  for S01 (5,058 m) and B01 (5,525 m), while cantered at  $\text{C}_{16}$  –  $\text{C}_{18}$  for the sediment cores below 7,000 m without odd/even predominance (Figure 4). Inhomogeneous distribution of *n*-alkanes was found in both abundance and composition in trench sediments. The total *n*-alkanes concentrations ranged from 0.003 to 119.4 mg/g dw. The *n*-alkane of  $\text{C}_{16}$  –  $\text{C}_{18}$  were the major components that led to the increase in abundance of the total *n*-alkane below 7,000 m (Figure 4 and Supplementary Table 3). The average chain length (ACL) is the weight-averaged number of carbon atoms. The majority value ACL ranged from 17.0 to 22.7 (only one sample in B06 reached singularly high as 36.4). The carbon preference index (CPI) of  $\text{C}_{15}$  –  $\text{C}_{25}$  ranged from 0.37 to 3.82, with majority samples (65%) less than one ( $\text{CPI} < 1$ ). Unresolved Complex Mixture (UCM) was obviously observed in most of the samples from trench slope (7,000–8,000 m) and several samples at 5,000 m sites in this study, accompanying with substantial branched alkanes and cycloalkanes indicated by Mass Spectrum (Supplementary Figure 5). UCM was presented for 73, 46, and

80% of the samples in B06 (7,022 m), B09 (7,121 m) and B10 (8,638 m), respectively.

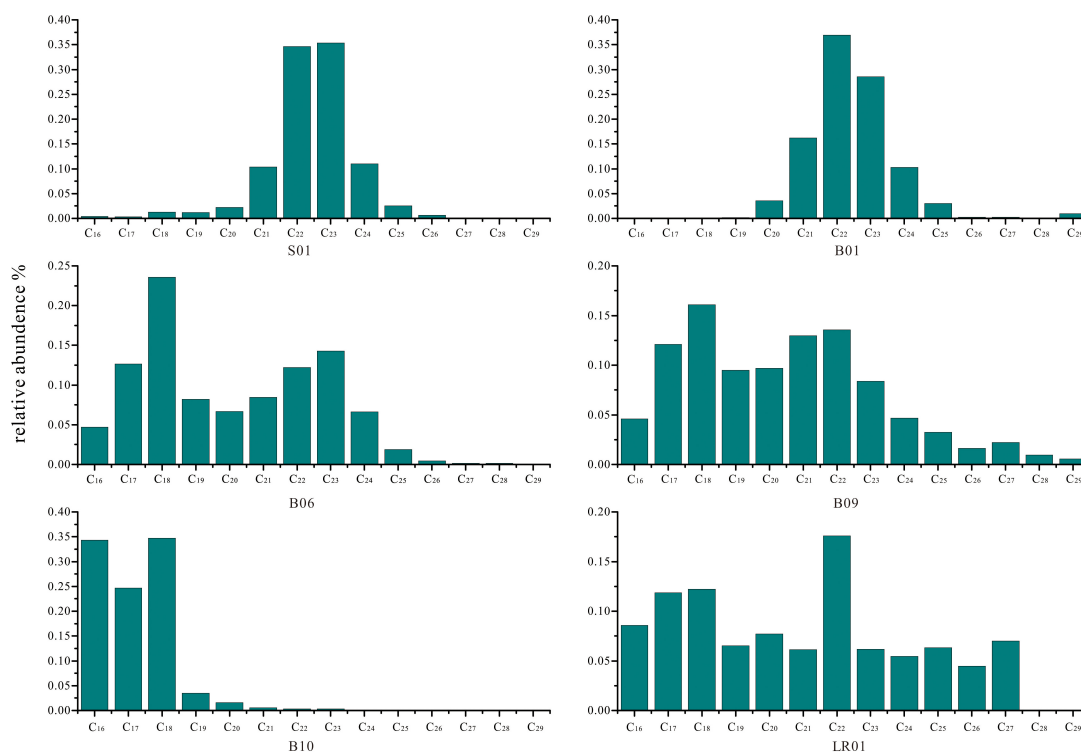
### Mineral Composition of the Sediments and Altered Rocks

We analyzed the mineral composition of selected sedimentary layers and all altered rocks samples. The X-ray diffraction results showed that quartz, feldspar, zeolite, montmorillonite, chlorite and halite were commonly detected in these sediment samples. While in these altered rocks, quartz, feldspar, chlorite, sepiolite, daubreelite, and talc were detected. Among them, it should be noted that zeolite presented in all of these altered rock samples (Supplementary Table 4).

## DISCUSSION

### Unusually High Proportions of BrGDGTs in Oceanic Trench Sediments and Altered Rocks

BrGDGTs have been reported in the trench sedimentary environments, such as the Mariana Trench (Ta et al., 2019; Xu et al., 2020), the Kermadec Trench (Xu et al., 2020), and Atacama Trench (Xu et al., 2020). One notable observation was that relative high abundance of BrGDGTs was examined at two sites with water depths shallower than 6,000 m in the Mariana trench (Ta et al., 2019). In consistent to result of Ta et al. (2019), our data further shows that approximately 58% of the sediment samples



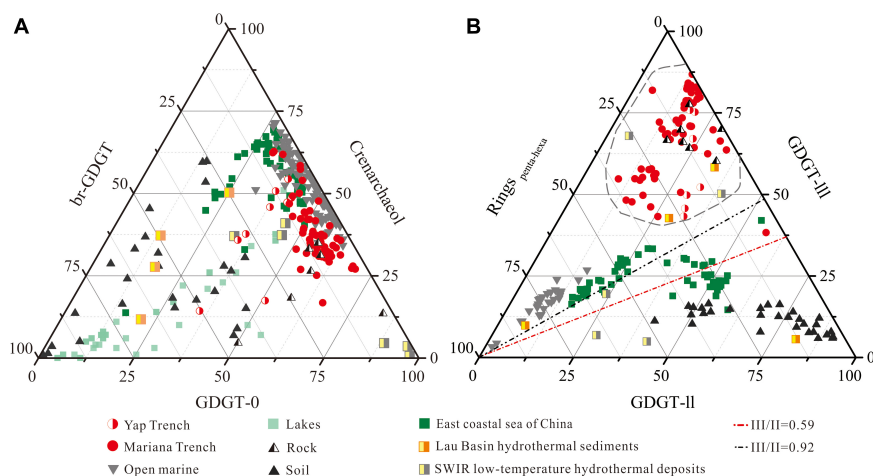
**FIGURE 4 |** The mean relative concentration of *n*-alkanes in the trench sediment cores.

had BIT values over 0.15. Although the BIT values of these trench sediments were lower than those observed in terrestrial soils, they were on average far greater than those previously reported for open marine sediments, and approached those of river mouth sediments (Weijers et al., 2014). However, the Mariana and Yap Trench are more than 2,000 km away from large landmasses, and marine production of IsoGDGTs rapidly dilutes the terrestrial BrGDGTs from river flows and dust inputs.

These BrGDGTs were possibly produced *in situ* in the ocean. The abundance ratio of hexamethylated to pentamethylated (IIIa/IIa) was inferred to be an indicator for BrGDGT source with higher values in deep sea sediments (2.6–5.1) than in soil (<0.59) (Xiao et al., 2016). BrGDGT was  $3.87 \pm 1.38$  ( $n = 67$ ) in the trench sediments (Supplementary Table 2), indicating little contribution of terrestrial soil inputs (Xiao et al., 2016). This conclusion was consistent with two recent studies, which reported that BrGDGTs in the Mariana trench were characterized by high cyclopentyl rings (Ta et al., 2019) and predominance of hexamethylated 6-methyl BrGDGT (Xiao et al., 2019). Furthermore, other organic geochemical indices also did not support its terrestrial origin. Typically, TOC/TN molar ratio of aquatic organic matter is restricted within values from ~4 to ~10, and  $\delta^{13}\text{C}$  from  $-34\text{‰}$  to  $-12\text{‰}$  (Lamb et al., 2006). Based on the bulk TOC/TN molar ratios (4.2–11) and  $\delta^{13}\text{C}$  values of TOC ( $-21.8\text{‰}$  to  $-18.9\text{‰}$ ), Luo et al. (2017) suggested that the organic matter in the Mariana sediments was primarily marine origin. In this study, the TOC/TN molar ratio and  $\delta^{13}\text{C}$  of the bulk organic matter of the sediment samples, which ranged

between 3.00 and 8.21 (mean =  $4.96 \pm 1.12$ ,  $n = 67$ ) and between  $-21.72\text{‰}$  and  $-16.70\text{‰}$  (mean =  $-19.02 \pm 1.05\text{‰}$ ,  $n = 67$ ), respectively (Supplementary Table 2 and Figure 3), confirmed they were of marine sources (Lamb et al., 2006; Khan et al., 2015). This conclusion was also supported by the characteristics and distributions of *n*-alkanes in the trench sediments ( $n < 27$ , Figure 4 and Supplementary Table 3), with a dominance of short chain *n*-alkane and absence of odd-even preference (Nelson, 1978; Serrazanetti et al., 1995). Therefore, it seems likely that the measured BrGDGTs have formed by *in situ* production in the marine environment. Additionally, since the surface ocean and deep seawater column in this region have constant aerobic condition (Nunoura et al., 2015) and very low BrGDGT ratios (Schouten et al., 2013; Yamamoto et al., 2016), the relative abundance of BrGDGTs in trench sediments cannot be explained by funnelled inputs from the upper layers of the ocean. Therefore, the relative abundance of BrGDGTs in trench sediments might therefore be *in situ* production of the trench bottom (trench sediments or altered rocks).

Comparative analysis of trench sediment BrGDGT distributions with trench altered rocks and those from other sources suggests BrGDGTs in trench sediments were primarily derived from altered trench wall rocks. A ternary diagram of BrGDGT-Crenarchaeol-GDGT-0 abundance (Figure 5A) revealed significant differences between the GDGT characteristics of trench samples (both of sediments and altered rocks) and of terrestrial soil samples. Trench samples also showed higher levels of BrGDGTs and GDGT-0, on average,



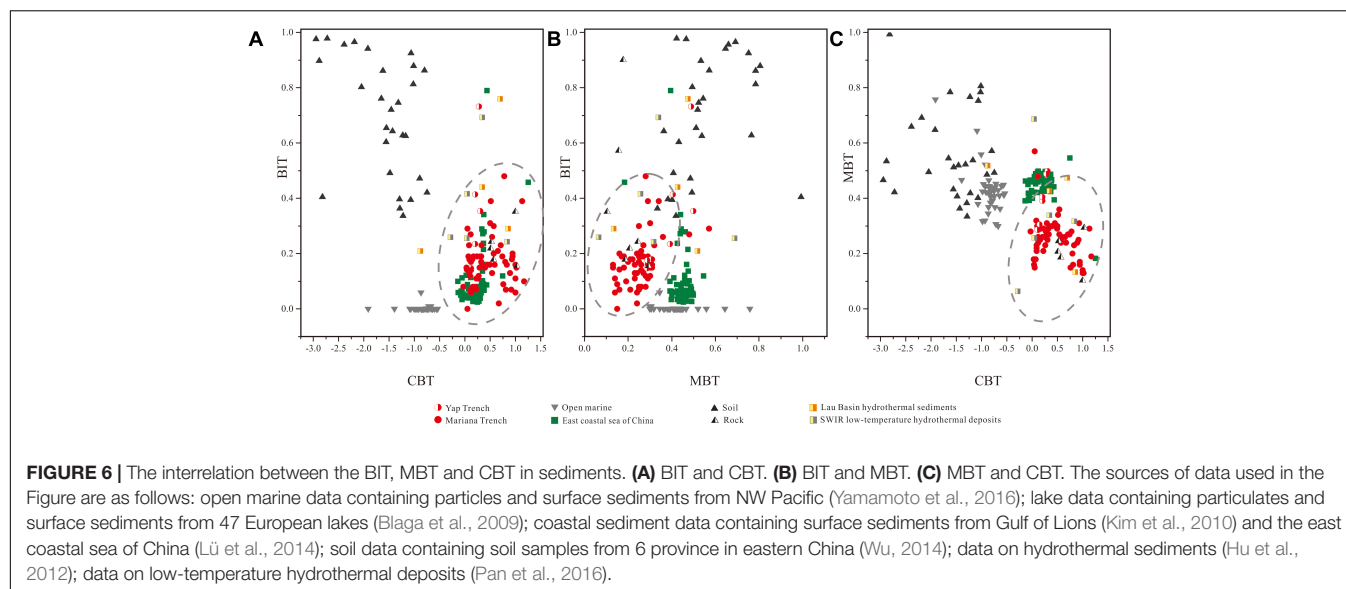
**FIGURE 5 |** Ternary diagram revealing the source of the GDGTs in the trench sediment. **(A)** GDGT-0, Crenarchaeol and sum of BrGDGTs; **(B)** GDGT-II, GDGT-III and rings of penta-hexa. In this figure, III/II refers to the ratio between GDGT-IIIa and GDGT-IIa (Xiao et al., 2016), whereas  $\text{rings}_{\text{penta-hexa}}$  is the sum of the abundances of ring-shaped GDGT-II and GDGT-III. Br-GDGT refers to bacterial branched GDGT. The sources of data used in this Figure are as follows: open marine data containing particles and surface sediments from NW Pacific (Yamamoto et al., 2016) and surface sediments from SE Pacific (Kaiser et al., 2015); lake data containing particulates and surface sediments from 47 European lakes (Blaga et al., 2009); coastal sediment data containing surface sediments from Gulf of Lions (Kim et al., 2010) and the east coastal sea of China (Lü et al., 2014); soil data containing soil samples from 6 provinces in eastern China (Wu, 2014); data on hydrothermal sediments (Hu et al., 2012); data on low-temperature hydrothermal deposits (Pan et al., 2016). For structures see **Supplementary Figure 1**.

when compared to open marine sediments. When BrGDGTs alone were taken into consideration, a ternary diagram was drawn by plotting the composition of GDGT-II, GDGT-III, and  $\text{Rings}_{\text{penta-hexa}}$  (the sum of GDGT-IIb, GDGT-IIc, GDGT-IIId, and GDGT-IIIf) (**Figure 5B**). This result shows that the lipids of microbes in trench sediments differ significantly from those of waters nearby this region (Yamamoto et al., 2016) yet shared similar characteristics with lipids of microbes associated with altered rocks from this study and some previous reported hydrothermal sediment and deposits (Hu et al., 2012; Pan et al., 2016). This conclusion is further supported by comparative analysis of the interrelation between the BIT and two other parameters calculated from BrGDGTs, the methylation of branched tetraethers (MBT) and cyclization of branched tetraether (CBT) ratio (Weijers et al., 2007) [see the **Equation (2)** and **(3)**]. Again, the trench sediments cluster together with the altered trench wall rocks as well as some of the hydrothermal samples (**Figure 6**; Hu et al., 2012; Pan et al., 2016), characterized by higher CBT values and lower MBT values when compared to those of open ocean, soil and coastal sediments. Therefore, these results indicated that most part of BrGDGTs in the trench sediments might be sourced from the altered trench rocks.

Meanwhile, relatively high levels of BrGDGTs have also been reported in studies on chemoautotrophic systems, such as seafloor cold seep (Zhang et al., 2020), hydrothermal fields (Hu et al., 2012; Lincoln et al., 2013; Pan et al., 2016), and serpentinite-hosted ecosystems (Newman et al., 2020). For example, the BIT of hydrothermal sediments of the Lau Basin (Hu et al., 2012), and the low temperature hydrothermal deposits from the Southwest Indian Ridge (Pan et al., 2016) ranged in 0.1–0.76 and 0.24–0.69, respectively. Although they might not be directly sourced from these chemoautotrophic microorganisms

(Pan et al., 2016), they were likely derived from heterotrophic BrGDGT-producing bacteria which were fueled by the organic production from the chemoautotrophic communities (Pan et al., 2016). This inference was consistent with the recent findings by Weber et al. (2018), which reported that some BrGDGTs were indeed closely related to chemoautotrophic microorganisms under methanotrophic conditions. Having similar microbial composition is a sound explanation for the close BrGDGTs characteristics between trench sediments, altered rocks, and hydrothermal sediments and deposits. Additionally, widely distributed UCM in range of short carbon chain of hydrocarbon fractions in slope sediments may further indicated similar synthesis that found in petroleum contaminated or thermal reaction influenced sediments (Fryberger et al., 2003; McCollom et al., 2015), suggesting strongly biodegradation or weathering (Wenger and Isaksen, 2002; Hasinger et al., 2012). UCM in sediment of Mariana (4,000–7,000 m) was previously reported in Guan et al. (2019) which suggested UCM source from biodegraded oils with marine source and likely transported by normal faults and strike-slip faults on the seafloor (Tao et al., 2015; Guan et al., 2019).

In the hydrothermal microbial communities, one of the most conspicuous manifestations is the dominance of chemoautotrophic microorganisms. With regard to the marine trenches, chemoautotrophic communities are far from uncommon (Fujikura et al., 1999; Hand et al., 2012; Ohara et al., 2012). On one hand, the geological settings of the trench bottom can provide habitat niches (e.g., methanotrophic and ammonia oxidizing conditions) for chemolithoautotrophic life (Spang et al., 2010; Nunoura et al., 2015, 2018). The distribution of IsoGDGT in Mariana Trench revealed increasing presence of deep dwelling ammonia oxidizing archaeal group



(*Thaumarchaeota*) in the sediments (Chen et al., 2020). On the other hand, chemolithoautotrophic group of  $\text{CH}_4$  and  $\text{H}_2$  utilizing in sediment may raise its attention based on conspicuous abundance of dissolved  $\text{H}_2$  and  $\text{H}_2$ -utilizing chemolithotrophs that were recently identified in the altered rocks and muds of the fluid discharge field at the incoming plate of the southern Mariana trench (Du et al., 2019). Meanwhile, the process of serpentinization, which can generate substantial amounts of crustal fluids for microbial  $\text{CH}_4$  and  $\text{H}_2$  utilization, has been reported to widely occur at the southern Mariana forearc (Ohara et al., 2012; Plümper et al., 2017). Moreover, Tarn et al. (2016) suggested that the microbial communities of Mariana Trench bottom water indeed characteristically display a degree of overlap with microbial communities from low-temperature diffuse flow hydrothermal vents and deep-subsurface locations. Specifically, taxa frequently encountered in vent datasets, such as *Gammaproteobacteria*, *Epsilonproteobacteria*, SAR324, *Thiovulgaceae*, and SUP05, were also detected in the trench sediments (Tarn et al., 2016). In addition, abundant of hydrocarbon-degrading bacteria and unknown sources of alkanes were also unexpectedly detected in the sediment of the Mariana trench (Li et al., 2019; Liu et al., 2019). This phenomenon may also be related to the activity of water-rock interaction in the trench bottom (Li et al., 2019). Overall, high similarity in GDGTs between the trench sediments and altered rocks together with these previous microbial and geochemical evidence might imply the wide distribution of chemoautotrophically-based microbial communities in the trench walls, supported by active geological fluid discharge from water-rock interaction.

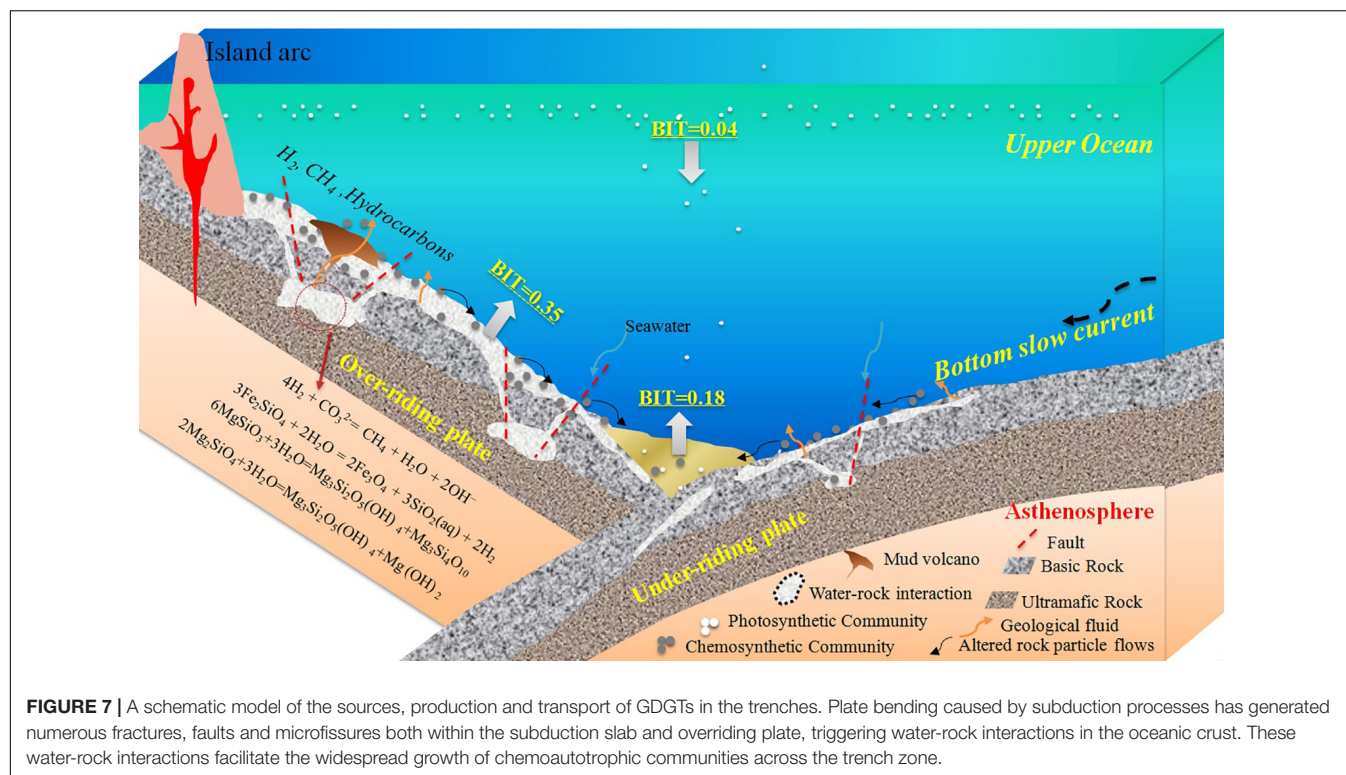
## The Schematic Model of Chemoautotrophic Organic Carbon Production in the Trench Zone

We proposed a schematic model to explore this relationship between water-rock interaction and chemoautotrophic

organic carbon in the trench zone (Figure 7). On one hand, fluid discharge and mud volcanoes induced by large-scale serpentinization were widely distributed along the forearc of the Mariana Trench (Fryer et al., 1999; Stern et al., 2006). The chemoautotrophic communities fed by these fluids have been reported in the literature. For example, the serpentinization-formed “Shinkai Seep Field” was discovered at the Southern Mariana Forearc (Ohara et al., 2012). Based on this discovery, they proposed that fluid discharge from serpentinization could be widespread in oceanic trenches. Moreover, Plümper et al. (2017) found organic matter encapsulated in rock clasts from an oceanic serpentinite mud volcano above the Izu-Bonin-Mariana subduction zone. Because of this result, they predicted that the serpentinization-fueled systems in the hydrated forearc mantle of Mariana subduction zone may represent one of Earth’s largest hidden microbial ecosystems. On the other hand, low-temperature alteration reactions between basaltic rocks and seawater also would be a pervasive phenomenon in the trench environments. This conclusion is supported by the common detection of zeolite in the trench sediments and altered rocks (Supplementary Table 4). Zeolite is possibly formed by the low-temperature hydrothermal alteration of basalt (Liou, 1979). This process can also fuel the chemoautotrophic communities, which constitute a trophic base of the basalt habitat in the oceanic crust (Cowen et al., 2003; Santelli et al., 2008). At present, chemoautotrophic carbon fixation associated with carbon and sulfur cycling in seafloor basalts has been proved by multiple lines of indirect evidence, such as depletions in  $\delta^{34}\text{S}$ -pyrite (Rouxel et al., 2008) and DNA sequences (Cowen et al., 2003; Orcutt et al., 2011; Lever et al., 2013). Additionally, the occurrence of fluids discharge feature and  $\text{H}_2$ -utilizing chemolithotrophs (Du et al., 2019) in the outer rise zone of the incoming plate at the southern Mariana trench further supported this conclusion.

In our schematic model, the plate bending caused by subduction processes has generated numerous faults, fractures and microfissures, both within the outer rise zone of the





subduction slab and the forearc of the overriding plate, which provides channels for the influx of water into the oceanic crust, thereby triggering water-rock interactions on a large scale. The geological fluids generated by these interactions are then released in the same channels from the oceanic crust. The fluids are rich in hydrogen, methane, hydrocarbons, and other reduced inorganic matter (e.g., Fe, Mn, and S), making them excellent substrates for chemoautotrophic microorganisms. These water-rock interactions facilitate the widespread growth of chemoautotrophic communities across the trench. As shown in **Supplementary Figure 6**, an increasing trend of BIT was observed in S01, B01 and B06, that corresponds to results in Ta et al. (2019), where an identical trend from surface to bottom was reported in a meter-long sediment core recovered from the Mariana Trench subduction zone (~5000 m). Higher BIT values in the core bottom at water depths of 5,000–7,000 m, underlain by subducted oceanic crust, suggested abnormal distribution of BrGDGT, compared to those recorded from open oceans with low BIT values. However, such distribution pattern was weak or even reversed at deeper water sites (see B09 and B10). Previously, low BIT values were reported in the sediment cores at the bottom of the Trench (~11,000 m) by Xiao et al. (2019), which is in line with our findings in core LR01. We speculate that with weakening tectonic distortion of the subducted plate at deeper water depths, increasing BIT values are rarely observed at surface and subsurface sediment (at least 40 cm in this study).

Finally, in the schematic model, the excess labile organic matter produced by these communities are transported laterally to the deeper parts of the trenches through gravity flows, leading to relatively higher microbial carbon turnover rate. No direct

evidence of synthesis of BrGDGTs by the chemoautotrophic group is evident yet. However, increasing trend of BIT values from surface to bottom core at subduction zones, and similar composition of BrGDGTs with altered rocks and minerals implies a strong connection between the ecology shaped by chemical fluids in deep sediments and microbial synthesizers of BrGDGT. Overall, we propose that chemoautotrophy driven by geological activity could be an effective supplement to the trench organic carbon pool in addition to photosynthetic products sourced from the upper ocean.

## CONCLUSION

In this study, mineralogical compositions, bulk organic geochemical parameters and membrane lipids compositions were examined in sediments and rocks of the Mariana and Yap Trenches, northwest Pacific Ocean. The results shown that these trench sediments and altered rocks contained relative higher BrGDGTs contents, with the BrGDGT-to-IsoGDGT ratios ranged in 0.02–0.88 (mean =  $0.10 \pm 0.11$ ) and 0.09–0.38 (mean =  $0.17 \pm 0.13$ ), respectively. Meanwhile, the BIT index ranged in 0.02–0.73 (mean =  $0.18 \pm 0.11$ ) in sediments and from 0.16–0.9 in altered rocks (mean =  $0.37 \pm 0.27$ ), which are much higher than those of open ocean. Together with bulk TOC, TN and *n*-alkanes data, we suggested that these BrGDGTs were *in situ* production in the trench zone. Furthermore, these GDGTs exhibited similar characteristics to those of altered basalt rocks, indicating a fraction of organic carbon was possibly derived from chemoautotrophic communities supported by the fluid discharge

of water-rock interaction in the trench walls. This was further supported by the common detection of zeolite, indicative of water-rock alteration, in both altered rocks and trench sediments. Thus, we propose chemoautotrophic activity in oceanic crust could be an additional source of organic carbon in the trench sedimentary environments.

## DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: <https://doi.org/10.5281/zenodo.3551342>.

## AUTHOR CONTRIBUTIONS

XP devised the project. JL provided the main conceptual ideas and wrote the manuscript. ZC worked out almost all of the experiments and performed the numerical calculations. XL and SD worked with the analysis of membrane lipid data. SC, HX, and KT helped with the sampling in voyages. SB, MD, and SL worked

in technical details and part of mineral data analysis. All authors contributed to the article and approved the submitted version.

## FUNDING

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2021.653742/full#supplementary-material>

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# Geochemical Characteristics of Hydrocarbons in Core Sediments From the Southwest Sub-Basin of the South China Sea and Its Implications for the Sedimentary Environment

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Marine sediments are found to record various information for example the evolution of ocean and the exchange of matter and energy between the surrounding continents and oceans. The Southwest Sub-basin is one of the most important tectonic unit in the South China Sea Basin. The geochemical information of the sediments provides potential to understand the sedimentary history of the Southwest Sub-basin of South China Sea. In this paper, the aliphatic hydrocarbon compounds in two core sediments from the Southwest sub-basin were analyzed using lipid biomarker analysis. The average concentration of the total organic carbon (TOC) and the total nitrogen (TN) for both core sediment A and sediment B are similar, falling in the range of  $0.64\% \pm 0.18$  and  $0.10\% \pm 0.02\%$ , respectively. The C/N ratios vary from 3.2 to 11.1, reflecting that the organic carbon was a mixture of terrestrial and marine sources with more contributions from marine sources in core sediment B than sediment A. The long-chain *n*-alkanes of both core sediments show an even-odd predominance, reflecting the contributions of terrestrial higher plants and short-chain *n*-alkanes from marine plankton or bacteria. The Pr/Ph of core sediments A and B are 0.3–0.5 and 0.2–0.4, respectively, both of which are far less than 1, indicating that the sedimentary environment was dominated by strong reduction and long-term stability. The odd-even distribution of medium-chain *n*-alkanes ( $n\text{-C}_{14-20}$ ) in the core sediments A and B is due to the reduction of *n*-fatty acids with an odd carbon dominance in a strongly reductive depositional environment.

**Keywords:** core sediments, organic matter, aliphatic hydrocarbons, Southwest sub-basin of South China Sea, sedimentary environment

## INTRODUCTION

The South China Sea, one of the marginal sea of the Pacific Northwest Sea. According to water depth, topography and geology, and geophysical data, the South China Sea Basin was divided into the Northwest sub-basin, Southwest sub-basin and Eastern sub-basin (Briais et al., 1993; Sun et al., 2009). The Southwest sub-basin is located among the Xisha Islands, Zhongsha Islands and Nansha

Islands. It is a NE-trending triangular basin with a northeast direction of approximately 600 km and a northeast margin of approximately 400 km with a water depth of 3,000–4,000 m (Li, 2011). It is the lowest depression in the South China Sea basin (Figure 1). As the interaction zone between the continent and the ocean, the South China Sea's unique geographical location, complex tectonic environment and special ocean currents have formed its complex sedimentary environment, and its sedimentary material sources are various and diverse (Hamilton, 1979; Tapponnier et al., 1982).

Due to bordering on many countries, the Southwest Sub-basin of South China Sea is lack of comprehensive and systematic investigations over decades. Most of the research was concentrated on the northern South China Sea. For the southern South China Sea, organic geochemistry research has also been done (Duan, 2000; Hu et al., 2009; Tahir et al., 2015; Kerimov et al., 2019). However, compared with other sea areas, the geochemical studies in the southern South China Sea also appear to be lagging and weak. There are few reports on the geochemical characteristics, influencing factors and environmental records of hydrocarbon compounds in the core sediments in this area.

Molecular fossils could maintain the carbon skeleton of original biochemical components during the evolution processes of organic matter, recording information about original organisms and thus can be used to reveal information of biological input, sedimentary environment and diagenetic changes (Meyers and Lallier-Vergés, 1999; Meyers, 2003). In this paper, two core sediments collected from the Southwest sub-basin as the research object, analyzed the organic geochemical index of *n*-alkanes and their carbon molecular assemblages, the aim of this study was to trace the source of organic matter and understand the sea-land interaction during modern marine sedimentation in the southwest sub-basin, and to provide a scientific basis for the indication of climate and environment.

## SAMPLE AND ANALYSIS METHODS

### Sample Collection

The sampling sites were located in the southwest sub-basin of the South China Sea (Figure 1). Sediment cores were collected by the “Haiyang 4” ship of the Guangzhou Marine Geological Survey in September 2015, using a large gravity sampler. The sediment cores were collected from water depths of 4,050–4,030 m with their lengths of 4.75 and 5 m, respectively. Man-made damages and obvious biological disturbances were not observed during collection and sampling processes, therefore, the geochemical information can be used as indicators for original sedimentary characteristics. Core sediments were subsampled immediately and stored at  $-20^{\circ}\text{C}$  for the further analyses.

### Experimental Analysis

#### Total Organic Carbon (TOC)

Sediments were freeze-dried at  $-50^{\circ}\text{C}$  and ground into powder about 200 mesh. Approximately 120 mg of powder was put into a crucible, and excess HCl at a concentration of 6 mol/l was added. The samples were laid over night, followed by being heated at

$80^{\circ}\text{C}$  for 2 h to remove the carbonate and rinsed with deionized water until neutral. The cleaned samples were dried at  $110^{\circ}\text{C}$  and measured with LecoC230.

### Sample Extraction and Separation of Soluble Organic Matter

The organic matters were extracted by Soxhlet for 72 h with a mixed solvent of dichloromethane/methanol (9:1, volume ratio). Before extraction, the appropriate amount of activated copper sheet was added to the receiving bottle of the extraction liquid to remove the elemental sulfur. The internal standard  $\text{C}_{20}\text{D}_{42}$  was added to the receiving bottle of extraction liquid. After extraction, the extracts were concentrated by rotary evaporation and transferred to a suitable bottle, which was then blown to dryness with nitrogen and get soluble organic matter. The soluble organic matter was saponified with  $\text{KOH}/\text{CH}_3\text{OH}$  (1 mol/l) (i.e.,  $70^{\circ}\text{C}$  heating reflux 2 h), then neutral components were extracted by adding *n*-hexane. After the organic matter was transferred to a silica gel column, the organic matter was washed with 3 volumes of *n*-hexane to obtain hydrocarbon components.

### Gas Chromatography—Mass Spectrometry (GC-MS)

Instrument Model: Thermo Trace GC Ultra-AL/AS3000 GC-MS; ion source for the electron bombardment source ( $70\text{ eV}$ ); ion source temperature:  $230^{\circ}\text{C}$ ; inlet temperature:  $290^{\circ}\text{C}$ ; number of scans: 0.7911/s; scanning rate: 500 amu/s, 30–750 amu. The carrier gas was high-purity helium.

Hydrocarbon Detection: The column model was a HP-1 capillary column (60 m  $\times$  0.32 mm, i.d.,  $0.25\text{ }\mu\text{m}$  coating). The temperature program was as follows. The initial temperature was  $80^{\circ}\text{C}$ , the temperature was maintained for 3 min, and the temperature was raised to  $315^{\circ}\text{C}$  at  $3^{\circ}\text{C}/\text{min}$  for 30 min. Using a splitless injection mode, the carrier gas was high-purity helium with a flow rate of 1.1 ml/min.

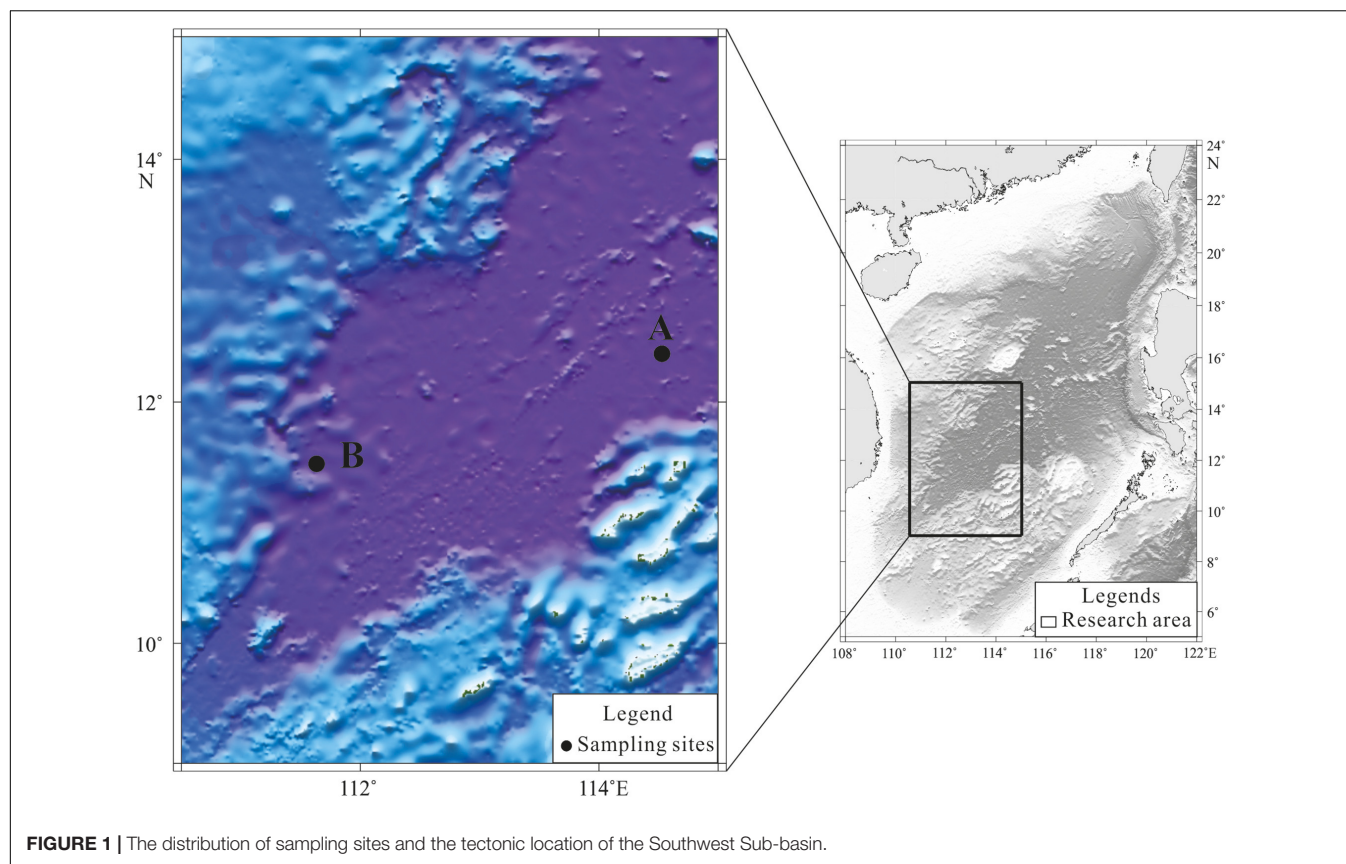
## RESULTS

### The Results of the TOC and Total Nitrogen (TN)

The TOC, total TN and TOC/TN of the two core A and B in the southwest sub-basin are listed in Table 1. The mean TOC of core sediment A was  $0.64\% \pm 0.18\%$  (0.29–0.88%). The average concentration of TN was  $0.10\% \pm 0.02\%$  (0.04–0.12%), and the average C/N was  $6.91 \pm 2.03$  (3.22–11.15). The mean TOC of core sediment B was  $0.64\% \pm 0.12\%$  (0.43–0.86%). The average concentration of TN was  $0.10\% \pm 0.01\%$  (0.08–0.11%), and the average C/N was  $6.38 \pm 1.33$  (4.8–9.3). The average TOC of cores A and B were equivalent to 0.7 and 0.53% of the two cores measured by Duan (2000) in the Nansha Sea.

### Hydrocarbon Compounds

The hydrocarbons detected in the two cores sediments A and B ranged from  $\text{C}_{14}$  to  $\text{C}_{33}$ , mainly including *n*-alkanes and acyclic diolefins (pristane and phytane) (Table 2). The concentrations of total *n*-alkanes of core A varied from 716.7 to 2799.8 ng/g (Table 2), and the concentration of total *n*-alkanes of core



**FIGURE 1 |** The distribution of sampling sites and the tectonic location of the Southwest Sub-basin.

sediment B were 1008.3–2109.9 ng/g (**Table 3**). In the two core A and B,  $n\text{-C}_{27}$ ,  $n\text{-C}_{29}$ , and  $n\text{-C}_{31}$  were the main peak carbons, and the values of  $\text{CPI}_{24-35}$  of long-chain  $n$ -alkanes were 3.6 (2.1–5.0) and 2.9 (1.8–3.6). The sum of the most abundant  $n$ -alkanes related to terrestrial origins ( $n\text{-C}_{27}$ ,  $n\text{-C}_{29}$ , and  $n\text{-C}_{31}$ ) were defined as  $\Sigma\text{Ter-alkanes}$ , which were 211.4–1004.0 ng/g,

accounting for 18.8–48.9% of the total  $n$ -alkanes (averaging 31.1%) at station A. The  $\Sigma\text{Ter-alkanes}$  for B ranged from 224.4 to 670.6 ng/g, representing 14.5–34.0% of the total  $n$ -alkanes (averaging 23.4%).

Short-chain  $n$ -alkanes are attributed to be derived from marine algae and bacteria (Blumer et al., 1971). Low-carbon alkanes show a significant advantage of even carbon number, and the maximum relative abundances are  $n\text{-C}_{16}$ ,  $n\text{-C}_{18}$ , and  $n\text{-C}_{20}$ . For core sediment A, the  $\Sigma\text{Mar-alkanes}$ , defined as the sum of  $n\text{-C}_{15}$ ,  $n\text{-C}_{17}$ , and  $n\text{-C}_{19}$ , were 52.2–325.4 ng/g, accounting for 2.7–13.2% (averaging 8.9%) of the total  $n$ -alkanes. The  $\Sigma\text{Mar-alkanes}$  at B were 64.5–266.5 ng/g, accounting for 5.2–12.6% (averaging 8.4%) of the total  $n$ -alkanes.

The concentrations of pristane in cores A and B were 10.2–95.9 and 12.3–78.7 ng/g, respectively, and the concentrations of phytane were 32.8–212.5 and 51.9–184.1 ng/g, respectively.

## DISCUSSION

### Downcore Profiles of TOC and TN in Sediments and Their Source Indication

Carbon and nitrogen form the basis of life and play important roles in many terrestrial and aquatic biogeochemical cycles (Likens et al., 1981). Carbon in marine sediments is an important part of the carbon cycle. Nitrogen is the most basic bioactive element that limits the growth of phytoplankton in the ocean.

**TABLE 1 |** The total organic carbon (TOC), total nitrogen (TN), carbon to nitrogen ratio (C/N).

A				B			
Depth	C (%)	N (%)	C/N	Depth	C (%)	N (%)	C/N
20 cm	0.76	0.12	6.5	20 cm	0.75	0.11	6.7
60 cm	0.88	0.12	7.3	60 cm	0.65	0.11	5.9
100 cm	0.82	0.12	7.1	100 cm	0.56	0.10	5.7
140 cm	0.55	0.11	5.1	140 cm	0.76	0.09	8.7
180 cm	0.65	0.10	6.3	180 cm	0.43	0.08	5.2
220 cm	0.80	0.10	8.0	220 cm	0.59	0.11	5.4
260 cm	0.37	0.04	9.6	260 cm	0.70	0.10	6.9
300 cm	0.50	0.10	5.1	300 cm	0.86	0.09	9.3
340 cm	0.66	0.10	6.4	340 cm	0.69	0.11	6.2
380 cm	0.60	0.10	6.1	380 cm	0.61	0.11	5.5
420 cm	0.29	0.09	3.2	420 cm	0.69	0.10	6.8
460 cm	0.76	0.09	8.1	460 cm	0.62	0.10	6.0
470 cm	0.67	0.06	11.1	495 cm	0.45	0.09	4.8

**TABLE 2** | Concentration (ng/g sed.dry weight) of hydrocarbon in A station of the Southwest Sub-basin.

Depth	20 cm	60 cm	100 cm	140 cm	180 cm	220 cm	260 cm	300 cm	340 cm	380 cm	420 cm	460 cm	470 cm
<b>Compounds</b>													
<i>n</i> -C <sub>14</sub>	36.2	3.1	4.5	3.6	0.6	1.0	0.1	8.3	4.0	2.9	0.7	0.5	3.1
<i>n</i> -C <sub>15</sub>	43.7	9.6	21.5	20.2	6.0	8.3	1.9	14.9	11.9	8.4	5.3	5.1	7.2
<i>n</i> -C <sub>16</sub>	306.4	133.5	157.1	153.8	101.3	115.5	56.1	138.9	120.5	88.5	87.9	59.7	64.8
<i>n</i> -C <sub>17</sub>	187.7	84.6	114.3	110.9	86.4	89.4	59.3	92.0	114.3	34.2	31.0	28.4	25.5
Pr	95.9	40.0	53.4	68.9	45.9	45.6	35.9	47.7	75.3	13.7	11.9	10.7	10.2
<i>n</i> -C <sub>18</sub>	371.1	197.8	203.0	177.4	147.3	156.9	95.3	159.9	180.8	115.1	129.3	100.6	85.9
Phy	212.5	97.3	118.6	140.5	95.4	107.8	69.1	101.4	198.0	44.6	45.2	32.8	32.2
<i>n</i> -C <sub>19</sub>	94.1	40.8	90.7	52.7	37.0	50.5	19.0	36.3	62.0	27.1	25.5	21.9	19.5
<i>n</i> -C <sub>20</sub>	286.1	157.7	153.2	132.2	103.8	113.9	59.3	102.6	100.5	80.2	93.8	74.8	65.3
<i>n</i> -C <sub>21</sub>	97.1	47.6	69.2	54.0	42.3	54.8	21.2	40.6	40.3	33.5	33.8	37.1	32.2
<i>n</i> -C <sub>22</sub>	199.7	99.0	87.0	83.5	67.5	69.5	34.3	62.9	60.1	46.5	61.1	54.5	45.6
<i>n</i> -C <sub>23</sub>	102.7	59.3	56.8	59.4	51.1	58.8	23.4	48.3	45.3	40.3	53.0	74.5	57.5
<i>n</i> -C <sub>24</sub>	132.0	61.4	55.2	59.2	46.7	49.5	21.8	49.1	43.2	35.0	48.0	50.3	41.6
<i>n</i> -C <sub>25</sub>	55.0	69.7	67.1	65.4	61.1	66.7	27.9	55.7	54.0	51.4	69.6	116.5	85.9
<i>n</i> -C <sub>26</sub>	107.5	51.6	77.1	48.5	45.8	48.4	18.5	38.7	38.4	32.4	43.8	51.1	41.7
<i>n</i> -C <sub>27</sub>	91.6	84.6	77.1	81.2	76.7	82.0	37.7	59.3	74.2	75.9	105.6	197.9	157.3
<i>n</i> -C <sub>28</sub>	82.0	55.3	42.6	53.5	43.9	42.8	18.7	33.5	39.8	37.2	44.2	72.5	55.7
<i>n</i> -C <sub>29</sub>	213.1	176.7	147.6	169.7	157.3	157.8	86.1	109.1	150.1	160.8	243.6	382.1	286.1
<i>n</i> -C <sub>30</sub>	53.4	36.8	34.4	39.8	36.2	37.4	13.4	23.0	30.5	28.4	36.5	73.3	58.5
<i>n</i> -C <sub>31</sub>	220.7	193.3	167.4	172.3	172.8	173.4	87.6	119.8	158.8	161.9	244.0	424.0	315.2
<i>n</i> -C <sub>32</sub>	27.5	17.3	18.5	22.2	20.0	20.8	6.5	10.6	14.6	14.3	18.8	41.2	33.0
<i>n</i> -C <sub>33</sub>	92.2	72.1	69.1	79.7	74.5	87.3	28.5	41.5	61.4	59.2	75.9	187.5	133.4
Total <i>n</i> -alkanes	2799.8	1651.8	1713.4	1639.1	1378.3	1484.5	716.7	1245.0	1404.7	1133.2	1451.5	2053.5	1615.0
ΣTer-alkanes	525.3	454.6	392.1	423.2	406.7	413.1	211.4	288.2	383.1	398.6	593.1	1004.0	758.6
ΣMar-alkanes	325.4	135.0	226.6	183.7	129.4	148.2	80.3	143.2	188.2	69.6	61.8	55.3	52.2
TAR	1.6	3.4	1.7	2.3	3.1	2.8	2.6	2.0	2.0	5.7	9.6	18.2	14.5
CPI <sub>24–33</sub>	2.1	3.2	2.7	3.0	3.3	3.3	4.0	3.1	3.5	4.0	4.5	5.0	4.7
Pr/Ph	0.5	0.4	0.5	0.5	0.5	0.4	0.5	0.5	0.4	0.3	0.3	0.3	0.3

Pr, pristane; Phy, phytane; CPI, carbon preference index; ΣTer-alkanes, ΣC<sub>27+29+31</sub>; ΣMar-alkanes, ΣC<sub>15+17+19</sub>; TAR, ΣTer-alkanes/ΣMar-alkanes; N.D., not detected.

Therefore, it is very important to study the distribution of carbon and nitrogen in core sediments.

The downcore profiles of TOC, TN and TOC/TN with depth of core sediment A are shown in **Figure 2**. From bottom to top, according to the vertical concentration changes of TOC and TN, 4 stages were identified: (1) Under the layer below a depth of 380 cm, the concentration of TOC was low and varied significantly. The concentration first increased from the bottom, dropped to the lowest value (0.29%) at 420 cm, and then increased again. The concentration of TN had a similar trend but did not change as strong as TOC; (2) At depths between 380cm and 340 cm, the concentration of TOC was low and consistent; the concentration of TN was also relatively uniform; (3) The concentration of TOC varied greatly at depths between 340m and 220 cm, and the lowest TN value were found at a depth of 260 cm (0.04%); (4) Above the depth of 220 cm, the concentration of TOC showed a high, rising, but fluctuating trend; however, the change was small; the concentration of TN generally rose, with the highest value (0.04%) appearing at the depth of 60 cm. Overall, the vertical concentration variation trends of TOC and TN in core sediment A was generally the same, with differences in individual locations.

The curves of TOC, TN and TOC/TN with depth for core sediment B are shown in **Figure 3**. From bottom to top, 3 stages were identified. (1) In the layer below 300 cm, the TOC of core sediment B changed slightly, showing an upward trend overall, with a high value (0.86%) at a depth of 300 cm and a low value of TN (0.09%). (2) At a depth of 300–180 cm, the concentration of TOC showed a downward trend, and the concentration of TN fluctuated greatly. (3) At a depth above 180 cm, the TOC showed an upward trend but fluctuated while the concentration of TN increased gently. According to the change of TOC, we can find that the trend of TOC and TN are the same at a depth of 340 cm and below, while TOC and TN have an opposite trend at a depth of 340 cm and above.

The C/N of organic matter in marine sediments can be used to determine the source of organic matter. Organic matter is usually thought to originate from marine organisms at a C/N of 5–7 (Redfield et al., 1963), while the C/N of organic matter in higher plants from terrestrial sources is generally greater than 15 (Meyers, 1997). As seen from **Figures 2, 3**, the C/N of the core A and B vary greatly with depth, and the average C/N of core sediment A is  $6.91 \pm 2.03$ , ranging from 3.22 to 11.15 and indicating that organic carbon in sediments is a mixed source



**TABLE 3** | Concentration (ng/g sed.dry weight) of hydrocarbon in B station of the Southwest Sub-basin.

Depth	20 cm	60 cm	100 cm	140 cm	180 cm	220 cm	260 cm	300 cm	340 cm	380 cm	420 cm	460 cm	495 cm
<b>Compounds</b>													
<i>n</i> -C <sub>14</sub>	18.6	8.7	42.2	0.9	4.7	1.9	0.4	0.3	0.7	19.6	24.4	6.9	30.7
<i>n</i> -C <sub>15</sub>	26.5	25.8	31.1	11.2	15.2	11.2	5.0	4.1	8.5	22.5	31.2	26.9	66.9
<i>n</i> -C <sub>16</sub>	235.9	166.8	204.3	104.8	181.4	148.1	98.9	86.5	142.0	175.2	183.2	226.8	296.7
<i>n</i> -C <sub>17</sub>	78.4	70.1	80.1	54.2	58.2	52.8	41.0	32.0	61.6	55.6	56.1	88.2	124.4
Pr	32.1	26.2	24.1	26.9	21.6	20.9	18.9	12.3	26.7	22.4	22.4	39.4	78.7
<i>n</i> -C <sub>18</sub>	245.4	206.4	228.5	143.6	218.6	195.9	199.1	135.0	224.4	190.5	176.1	227.1	282.4
Phy	113.8	101.9	98.5	78.4	90.2	80.7	75.1	51.9	92.0	77.7	80.8	124.1	184.1
<i>n</i> -C <sub>19</sub>	60.4	44.5	60.1	36.9	55.8	41.1	38.9	28.5	50.1	43.8	41.4	62.1	75.3
<i>n</i> -C <sub>20</sub>	165.4	109.2	164.3	75.7	163.3	139.1	133.5	98.6	171.0	129.7	118.8	155.9	185.3
<i>n</i> -C <sub>21</sub>	71.8	47.1	68.1	40.4	63.6	50.1	38.8	36.5	65.8	55.1	52.3	68.9	88.0
<i>n</i> -C <sub>22</sub>	88.5	91.9	94.9	58.2	93.1	74.4	65.5	60.8	102.5	76.4	69.8	87.7	109.8
<i>n</i> -C <sub>23</sub>	71.6	85.7	71.3	81.4	71.1	59.4	55.5	42.8	77.3	65.3	55.8	71.8	92.5
<i>n</i> -C <sub>24</sub>	59.8	86.4	60.2	70.0	56.8	46.8	42.2	38.0	78.6	58.5	52.2	60.2	69.0
<i>n</i> -C <sub>25</sub>	62.0	96.8	70.9	114.4	76.3	65.2	54.7	47.4	72.7	67.1	61.8	67.4	63.1
<i>n</i> -C <sub>26</sub>	43.4	91.8	51.6	78.7	53.6	41.4	40.4	35.9	64.4	48.3	41.1	46.6	59.3
<i>n</i> -C <sub>27</sub>	40.5	80.8	52.7	153.0	75.4	71.5	62.7	50.7	69.1	70.1	63.7	56.4	68.9
<i>n</i> -C <sub>28</sub>	28.7	64.4	38.0	89.2	52.6	41.1	30.8	28.1	51.2	38.5	42.2	45.3	51.0
<i>n</i> -C <sub>29</sub>	94.1	164.2	113.1	265.0	184.3	166.4	127.7	101.8	149.1	151.7	136.5	136.9	169.0
<i>n</i> -C <sub>30</sub>	20.8	47.8	25.2	85.7	33.3	26.0	23.6	21.3	33.0	29.3	30.1	24.1	32.0
<i>n</i> -C <sub>31</sub>	89.7	196.0	115.5	252.6	174.4	147.6	129.7	108.9	151.3	157.8	146.3	136.2	170.6
<i>n</i> -C <sub>32</sub>	10.8	36.0	12.7	64.5	15.4	11.3	10.6	10.5	14.1	12.8	14.1	12.4	14.5
<i>n</i> -C <sub>33</sub>	30.7	98.5	42.9	190.8	69.2	52.2	39.8	40.6	54.6	54.5	54.3	48.8	60.5
Total <i>n</i> -alkanes	1542.7	1818.9	1627.6	1971.3	1716.4	1443.5	1238.8	1008.3	1642.0	1522.2	1451.4	1656.7	2109.9
ΣTer-alkanes	224.4	441.0	281.3	670.6	434.2	385.5	320.2	261.4	369.5	379.6	346.5	329.4	408.5
ΣMar-alkanes	165.2	140.4	171.4	102.3	129.2	105.1	84.8	64.5	120.2	121.9	128.7	177.2	266.5
TAR	1.4	3.1	1.6	6.6	3.4	3.7	3.8	4.1	3.1	3.1	2.7	1.9	1.5
CPI <sub>24–33</sub>	2.5	2.3	2.6	2.8	3.2	3.6	3.4	3.1	2.6	3.3	3.1	1.8	2.9
Pr/Ph	0.3	0.3	0.2	0.3	0.2	0.3	0.3	0.2	0.3	0.3	0.3	0.3	0.4

Pr, pristane; Phy, phytane; CPI, carbon preference index; ΣTer-alkanes, ΣC<sub>27+29+31</sub>; ΣMar-alkanes, ΣC<sub>15+17+19</sub>; TAR, ΣTer-alkanes/ΣMar-alkanes; N.D., not detected.

of terrigenous and marine. Meanwhile, the average C/N of core sediment B is  $6.38 \pm 1.33$  (4.79–9.34), which also reflects the input of both terrigenous and marine organic matter. The C/N of A and B are both lower, indicating that the organic carbon is mainly from a marine source, while the lower C/N value of B indicates that the contribution of marine sources to organic carbon in core sediment B are larger than that of A.

In the two cores, the TOC and TN have a positive correlation (Figure 4), the positive relationship of TOC and TN suggests that TN measured in the sediments mostly exists in the form of organic nitrogen (Goñi et al., 1998). In addition, Qian et al. (1997) also proposed a method to quantitatively estimate the concentration of aquatic organic carbon (Ca), terrestrial organic carbon (Ct), aquatic nitrogen (Na) and terrestrial nitrogen (Nt). According to this method, assuming that the TOC/TN ratios of aquatic and terrestrial organic matter are 5 and 20, respectively (as a zero-order approximation) (Jia et al., 2002), the above parameters have the following relationship:

$$TOC = Ca + Ct$$

$$TN = Na + Nt$$

$$Ca/Na = 5$$

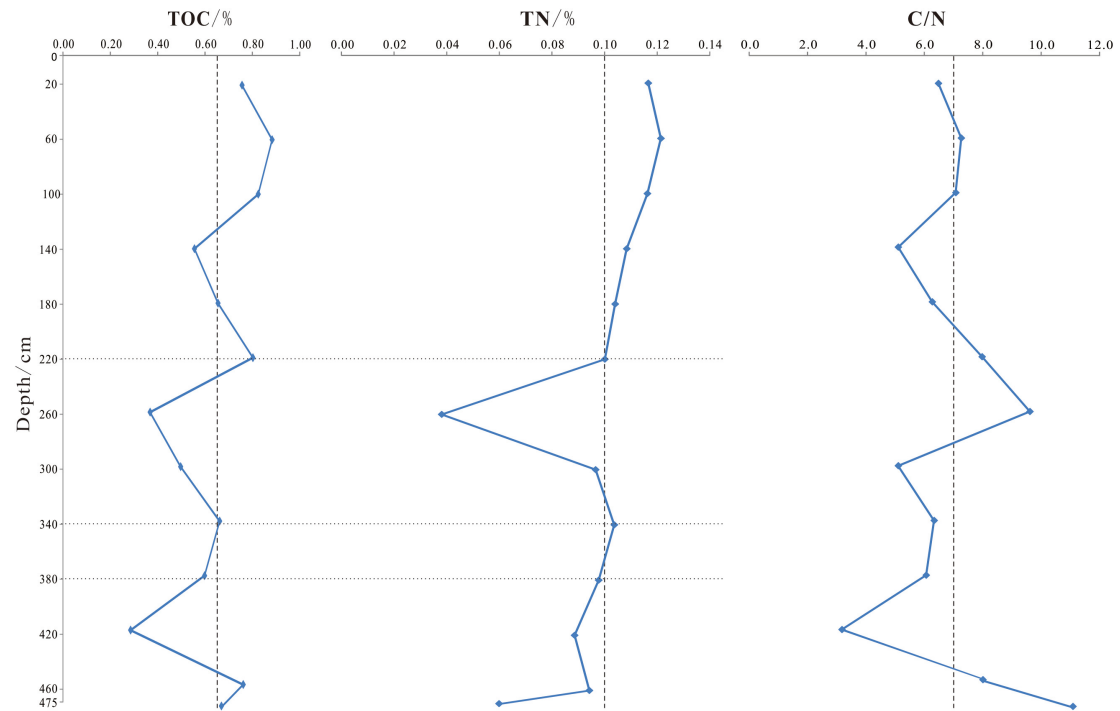
$$Ct/Nt = 20$$

Among them, TOC and TN represent the measured values. The solution of this equation formed by the above relationship calculates the available aquatic organic carbon and terrestrial organic carbon as follows:

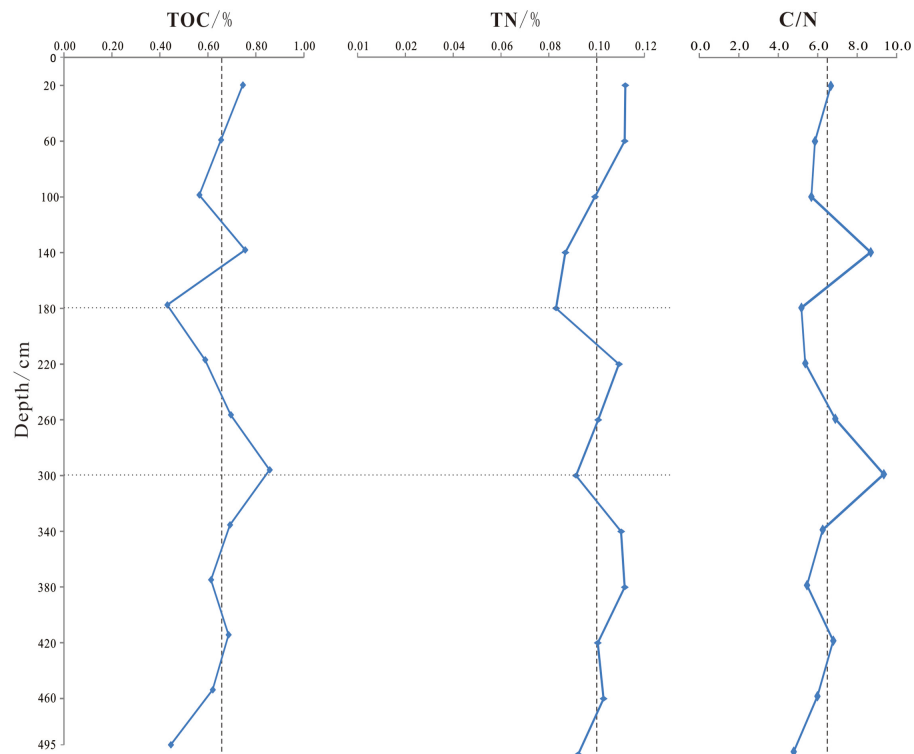
$$Ca = (20TN - TOC)/3$$

$$Ct = 4(TOC - 5TN)/3$$

The concentrations of aquatic organic carbon (Ca) and terrestrial organic carbon (Ct) in the core sediment A are 72.2 and 27.8% of the TOC, as calculated by the above formula; aquatic nitrogen (Na) and terrigenous nitrogen (Nt) account for 87.2 and 22.8% of the TN, respectively. Therefore, TOC and TN in the core sediment A are mainly marine sources. The concentration of aquatic organic carbon (Ca) and terrestrial organic carbon (Ct) in core sediment B account for 74.9 and 25.1% of the total organic carbon, and aquatic N and Nt account for 90.8 and 9.2% of the total nitrogen, respectively. Therefore, organic carbon and



**FIGURE 2 |** Vertical profiles of TOC, TN, and CN in core sediment A.



**FIGURE 3 |** Vertical profiles of TOC, TN, and C/N in core sediment B.

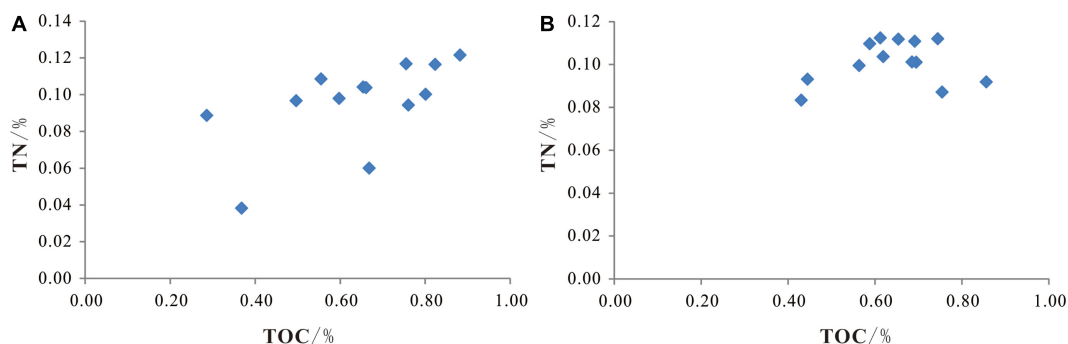


FIGURE 4 | The correlation of TOC and TN in core sediments (A,B).

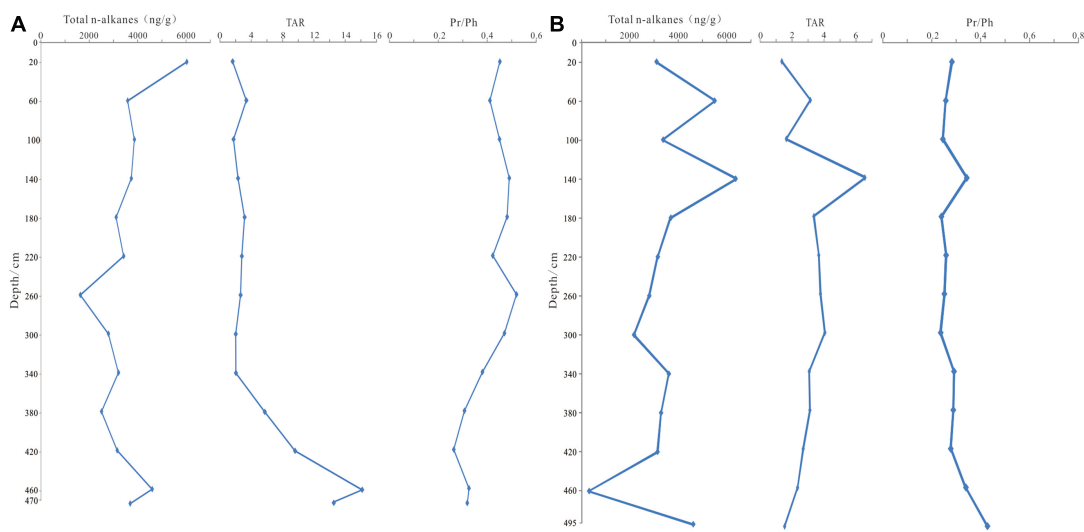


FIGURE 5 | Vertical profiles of the typical molecular compositional patterns of core (A,B).

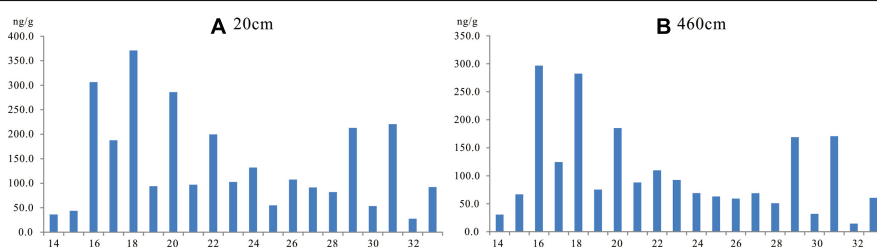


FIGURE 6 | Distribution of *n*-alkanes in the representative horizon in the core sediments (A,B).

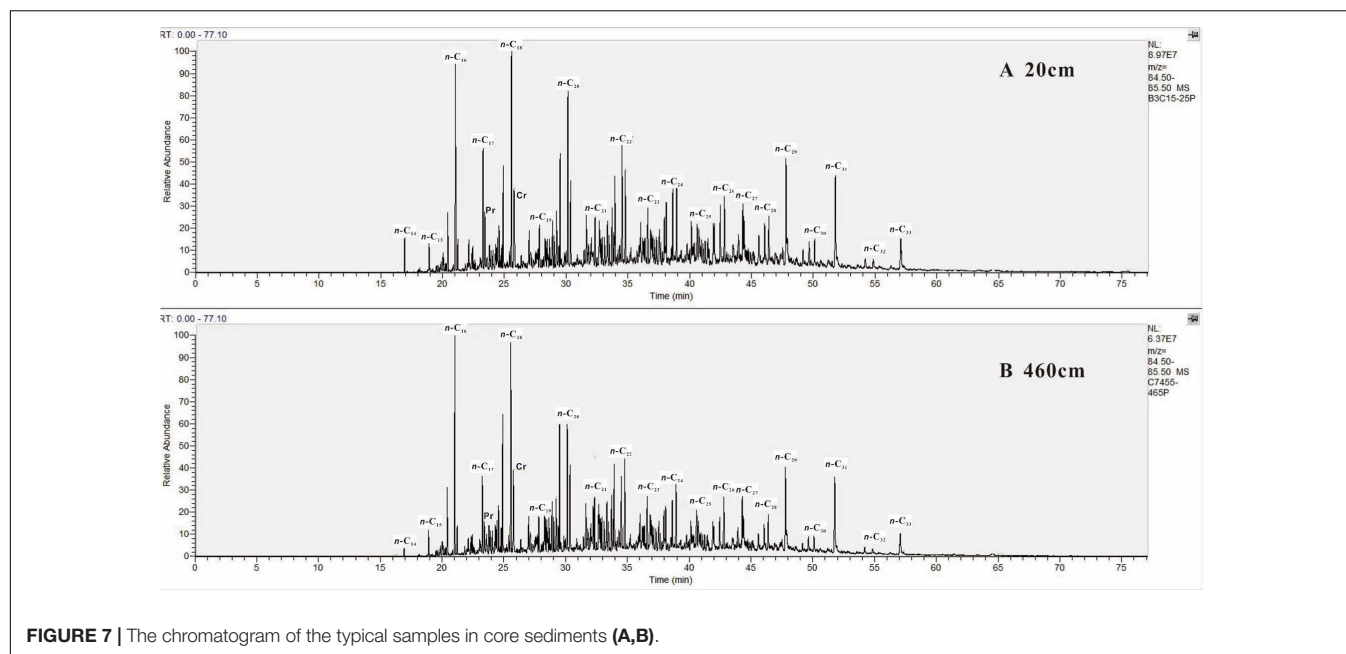
nitrogen in the core sediment B are also mostly marine sources, which is consistent with the result of the C/N.

## Molecular Composition of Hydrocarbons and Indication Sedimentary Environment

*n*-alkanes are widely distributed in organisms such as bacteria, algae and higher plants. The *n*-alkanes derived from specific organisms usually with distinct distribution patterns and

compositions (Parker et al., 1967; Han and Calvin, 1969; Winters et al., 1969). The distribution of *n*-alkanes detected in sediment samples was C<sub>14</sub> – C<sub>33</sub>, with an average CPI<sub>24–33</sub> of long-chain *n*-alkanes for A and B stations being 3.6 and 2.9, respectively, while the CPI was 2–10, which is a hallmark of terrestrial organic matter inputs in typical marine sediment (Clark and Blumer, 1967). Short-chain *n*-alkanes are derived from marine plankton or bacteria (Blumer et al., 1971). In addition, *n*-C<sub>29</sub>, *n*-C<sub>31</sub> and *n*-C<sub>33</sub> are the main peak carbons of long-chain *n*-alkanes





**FIGURE 7 |** The chromatogram of the typical samples in core sediments (A,B).

in all layers of sediments, reflecting the organic characteristics of typical modern sediments (Bray and Evans, 1961; Eglinton et al., 1962; Eglinton and Hamilton, 1963; Clark and Blumer, 1967; Cranwell, 1973; Weete, 1976; Meyers, 2003), in which the  $n$ -C<sub>27</sub> and  $n$ -C<sub>29</sub> represent the input of woody plants and  $n$ -C<sub>31</sub> and  $n$ -C<sub>33</sub> represent the inputs of herbs (Meyers, 2003; Cranwell, 2010); pristane and phytane are mainly produced from degradation products of chlorophyllophyton-based side chains from photosynthetic plants (Powell and McKirdy, 1973). There are isotope data on the surface sediments of the Southwest Sub-basin. Most of the hydrocarbons cannot be detected due to their low concentration. The carbon isotope composition detected is between  $-25.41\text{‰}$ – $-32.41\text{‰}$  (Wan et al., 2019).

The concentration of total  $n$ -alkanes in core A was ranging from 716.7 to 2799.8 ng/g (Table 2), with the lowest content was found in the middle layer and higher contents were observed in the top and bottom layers. The concentration of total  $n$ -alkanes in core B was varying from 1008.3 to 2109.9 ng/g (Table 3), and the vertical variation was quite fluctuant. TAR (TAR =  $\Sigma C_{27} + C_{29} + C_{31} / \Sigma C_{15} + C_{17} + C_{19}$ ) was used to evaluate the relative contributions of ternary alkanes from terrestrial and marine sources (Meyers, 1997). The TAR in the core sediment A range from 1.6 to 18.2 with an average of 5.4 (Table 2). The TAR ranged from 1.4 to 6.6 in the core sediment B with an average of 3.1 (Table 3), it shows that the contribution of terrestrial organic matter to  $n$ -alkanes is dominant in the two core sediments. The vertical features of TAR in the core sediment A can be divided into two sections: TAR values were bigger below the depth of 340 cm in the core sediment A, while those above the depth of 340 cm remained stable, indicating that the terrigenous organic matter had a greater contribution to  $n$ -alkanes at the bottom of the core sediment A.

Pr/Ph is usually used as a parameter for the degree of oxidation-reduction of sedimentary environments and

reconstruction of paleoenvironment (Powell and McKirdy, 1973; Didyk et al., 1978). Both pristane and phytane are derived from phytol, which is favorable for the formation of Pr under oxidative conditions during the evolution process, whereas production of Ph is favored in reducing conditions (Didyk et al., 1978). Therefore, it is generally believed that Pr/Ph < 1.0 indicates a depositional environment of anoxia reduction, whereas Pr/Ph > 1.0 reveals an oxidized depositional environment (Peters and Moldowan, 1993). The Pr/Ph value of core sediment A was 0.3–0.5, with an average of 0.4, and was slightly increased downward the vertical direction. The Pr/Ph value of the core sediment B was 0.2–0.4 with an average of 0.3, and it vertically decreased. The Pr/Ph values of the both cores are far less than 1, similar to the Pr/Ph values of 0.44–0.73 in the four samples measured by Duan et al. (1996) in the Nansha Sea area. The Pr/Ph values of the core sediments remain the same with depth (Figure 5), which shows that the depositional environment was strongly reduced and stable over long term, which is beneficial for the preservation of marine organic matter. These conclusions thus highlight the contribution of marine organic matter (Meyers, 1997; Hu et al., 2013) and are consistent with the conclusion of the C/N ratio of both core sediments.

## Indication of Medium-Chain $n$ -Alkanes Distribution

The even/odd predominance patterns in the  $n$ -alkane ( $n$ -C<sub>14</sub>–<sub>20</sub>) of core A and B (Figures 6, 7) show an anomalous sedimentary environment (Kuhn et al., 2010). It is generally believed that this phenomenon may be caused by (1) high-salt carbonate environments (Dembicki et al., 1976; Guoying et al., 1980; Ten Haven et al., 1985); (2) anthropogenic fossil fuel contamination or hydrocarbon leaks in the underlying high-maturity formations (Lichtfouse et al., 1997); (3) the strong

reduction of the environment and the reduction in the *n*-fatty acids with an odd carbon dominance (Welte and Ebhardt, 1968; Welte and Waples, 1973).

Both cores were predominantly comprised of medium-fine sand and clay silt, suggesting that the presence of high-salt carbonate environments is impossible. The distribution of *n*-alkanes (CPI = 1.8–5.0) of both cores shows the characteristics of modern sedimentation. Therefore, it is also impossible for the even/odd predominance patterns in the medium-chain *n*-alkane in sediments to be dominated by the fossilization of modern fossil fuels or the leakage of hydrocarbons from deeper strata to lower strata (CPI  $\approx$  1) (Nishimura and Baker, 1986; Freeman and Colarusso, 2001). The ratio of Pr/Ph in the sediment ranged from 0.2 to 0.5, indicating a strong reduction depositional environment, and strong reduction makes the odd-carbon *n*-fatty acids reduce to even-carbon *n*-alkanes. Therefore, we speculate that the even/odd predominance patterns in the *n*-alkane (*n*-C<sub>14–20</sub>) of core A and B are results from the intense reduction environment during deposition.

## CONCLUSION

- (1) The mean TOC of core sediment A is  $0.64\% \pm 0.18\%$ , and the average concentration of TN is  $0.10\% \pm 0.02\%$  (0.04–0.12%). The average concentration of TOC of core sediment B is  $0.64\% \pm 0.12\%$ , and the average concentration of TN is  $0.10\% \pm 0.01\%$ . The C/N ratio reflects that the organic carbon in the sediments is a mixture of terrestrial and marine sources, the contribution of marine sources to organic carbon in core sediment B is bigger than that of A.

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- (2) The long-chain *n*-alkanes in all layers of the both core sediments show an odd-even predominance, with *n*-C<sub>29</sub>, *n*-C<sub>31</sub> and *n*-C<sub>33</sub> being the main carbons, which reflect the contribution of terrigenous higher plants and short-chain *n*-alkanes from marine plankton or bacteria. The Pr/Ph of core A and B were 0.3–0.5 and 0.2–0.4, respectively, which are both far less than 1, and the Pr/Ph values remained the same with depth changes, indicating that the sedimentary environment was dominated by strong reduction and long-term stability.
- (3) The even-odd distribution patterns of medium-chain *n*-alkanes (*n*-C<sub>14–20</sub>) in the core A and B possibly caused by the strong reductive depositional environment. The strong reduction allowed the conversion of odd-carbon *n*-fatty acids to even-carbon *n*-alkanes.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

## AUTHOR CONTRIBUTIONS

XW: writing-original draft, experimental analysis, and data analysis. ZW: main idea, methodology, writing—review and editing, project administration, and funding acquisition. CC: data analysis and writing—review and editing. SC: experimental analysis. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Using Clumped Isotopes to Reconstruct the Maximum Burial Temperature: A Case Study in the Sichuan Basin

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For strata that have experienced continual burial in the early stage and uplift in the late stage, the present-day temperature is lower than the maximum burial temperature (MBT), which is a key parameter for studying the hydrocarbon generation history of source rocks in petroliferous basins. In this paper, a new method for reconstructing the MBT is proposed based on the solid-state reordering model of carbonate clumped isotopes ( $\Delta_{47}$ ). The MBT reconstructed using the  $\Delta_{47}$  was compared with the MBT constrained using the traditional Easy% $R_o$  model. The clumped isotope temperature ( $T_{\Delta_{47}}$ ) of the Permian micritic limestone from the Xibeixiang outcrop (about 62°C) is much higher than its initial formation temperature (20–25°C), suggesting that the limestone experienced partial solid-state reordering during the late burial process. The MBT of the calcite obtained from the solid-state reordering model is 139–147°C, which is quite similar to the MBT determined using the Easy% $R_o$  model (139.5–147.5°C).  $T_{\Delta_{47}}$  of the Permian and Triassic limestone and calcite cements in the Puguang gas field are 150–180°C, while  $T_{\Delta_{47}}$  of the micritic dolostone is about 70°C, suggesting that the  $\Delta_{47}$  of the limestone and calcite cements experienced complete solid-state reordering and the dolostone only experienced partial solid-state reordering. The MBT of the dolomite determined using the solid-state reordering model is 200–220°C, which is also similar to the MBT determined using the Easy % $R_o$  model (202–227°C). Therefore, the case studies from the Sichuan Basin suggest that  $\Delta_{47}$  can be used to reconstruct the MBT of ancient carbonate strata lacking vitrinite and detrital zircon data. However, different types of carbonate samples should be used to reconstruct the MBT for strata that have experienced different temperature histories. Micritic limestone and very finely crystallized dolostone can be used to reconstruct the MBT of strata that have experienced MBTs of <150–200°C and >200–250°C, respectively.

**Keywords:** clumped isotopes, maximum burial temperature, carbonate, solid-state reordering, Sichuan Basin, vitrinite reflectance



## INTRODUCTION

The burial temperature (especially the maximum burial temperature, MBT) of certain strata in a particular sedimentary basin is closely related to the maturity of the organic matter and the hydrocarbon generation of the source rocks (Hunt, 1996; Helgeson et al., 2009; Qiu et al., 2012), and it can also affect the phases in oil and gas reservoirs (Barker, 1990; Hill et al., 2003; Zhu et al., 2018). As a result, the MBT is one of the key parameters for basin modeling and the evaluation of oil and gas resources (Kinley et al., 2008; Qiu et al., 2012; Wood, 2018). For sedimentary basins that have experienced continuous subsidence and burial, the burial depth of certain strata was the largest during the burial process, and its present-day burial temperature is the MBT, which can be determined using current heat flow or geothermal gradient models (Zuo et al., 2011). However, for sedimentary basins that subsided in the early stage and were uplifted and exhumed in the late stage, the present-day burial temperature of certain strata is usually lower than the MBT (Hao et al., 2008; English et al., 2016; Dou et al., 2021), which cannot be directly determined using current heat flow or geothermal gradient models. As a result, reconstructing the MBT of certain strata in a basin that experienced late uplift and exhumation is key to the evaluation of the oil and gas exploration potential, and it is also a research focus in basin modeling.

Common methods of MBT reconstruction include the maturity index of the organic matter (Héroux et al., 1979; Hackley et al., 2015; Luo et al., 2020b) and low temperature thermochronology (Carlson, 1990; Craddock and Houseknecht, 2016; Ehlers and Farley, 2003; Yamada et al., 2007). The maturity index of the organic matter is commonly characterized by the vitrinite reflectance (Mukhopadhyay, 1994; Katz and Lin, 2021), which is mainly controlled by the temperature (Hunt, 1996). Therefore, the current vitrinite reflectance ( $R_o$ ), combined with an organic matter maturation model (such as the Easy% $R_o$  model; Sweeney and Burnham, 1990), can be used to determine the MBT. For strata without vitrinite data, the bitumen reflectance and graptolite reflectance can be used to calculate the equivalent  $R_o$  (Jacob, 1989; Luo et al., 2020b), but different conversion methods exist, and they have different application scopes (Schmidt et al., 2019; Katz and Lin, 2021). Low temperature thermochronology mainly uses annealing kinetic models of fission tracks in minerals (such as apatite and zircon) and (U-Th)/He thermochronology to determine the temperature evolution (Carlson, 1990; Craddock and Houseknecht, 2016; Ehlers and Farley, 2003; Yamada et al., 2007). The annealing kinetic model of apatite fission tracks is only applicable at relatively low temperatures (below 125°C; Carlson, 1990). For strata that have experienced temperatures of >150°C, only the annealing of zircon fission tracks can be used (Yamada et al., 2007), but a unified annealing kinetic model of zircon has not yet been established. The (U-Th)/He thermochronology of zircon can be used to determine the corresponding MBT and time (Reiners, 2005), but for ancient marine strata, detrital zircons are often not easy to obtain, which limits the use of this method. Therefore, for ancient marine strata, both the maturity index of the organic matter and low temperature thermochronology have certain limitations in MBT reconstruction.

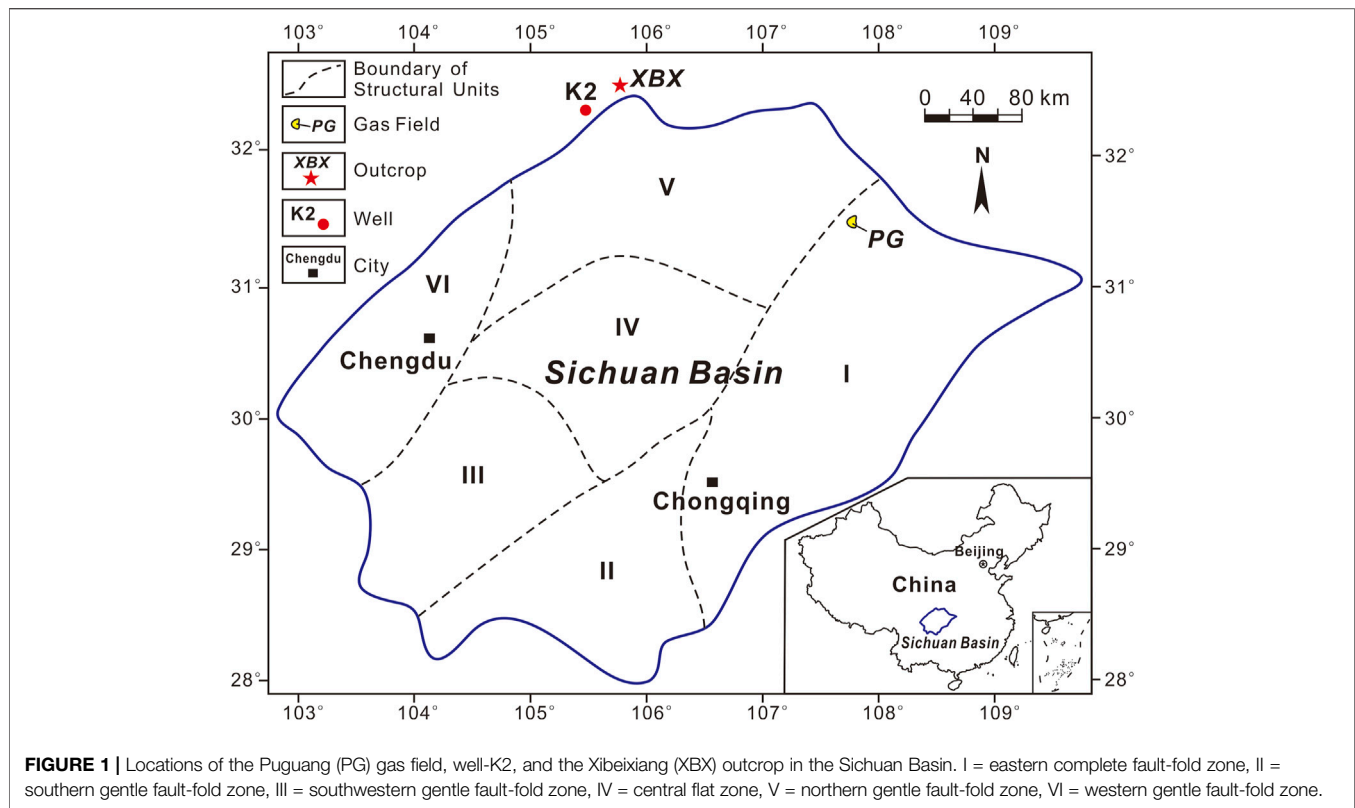
Carbonate minerals (such as calcite and dolomite) are commonly present in ancient marine strata. Carbonate clumped isotopes ( $\Delta_{47}$ ), developed in recent years, can be used to determine the formation temperature of carbonate minerals ( $T_{\Delta 47}$ ), which have been called clumped isotope thermometers (Ghosh et al., 2006; Eiler, 2007). Unlike traditional oxygen isotope ( $\delta^{18}\text{O}$ ) thermometers, clumped isotopes can be used to directly determine the formation temperature of carbonate minerals using the abundance of  $^{13}\text{C}$ - $^{18}\text{O}$  in the carbonate minerals, without relying on the  $\delta^{18}\text{O}$  of the fluid from which the carbonate minerals grew (Eiler, 2007). However,  $T_{\Delta 47}$  determined from the clumped isotopes can differ from the original formation temperature due to solid-state reordering. If the carbonate minerals experienced high temperatures during late burial, the  $^{13}\text{C}$  and  $^{18}\text{O}$  underwent solid-state diffusion, resulting in changes in the abundance of  $^{13}\text{C}$ - $^{18}\text{O}$  in the minerals and  $T_{\Delta 47}$  of the minerals (Passey and Henkes, 2012; Stolper and Eiler, 2015). Kinetic models of the solid-state reordering of carbonate minerals have been developed in recent years (Passey and Henkes, 2012; Stolper and Eiler, 2015; Lloyd et al., 2018; Hemingway and Henkes, 2021). The carbonate minerals in ancient carbonate strata have usually experienced high temperatures and solid-state reordering of  $^{13}\text{C}$  and  $^{18}\text{O}$ . Therefore, the solid-state reordering of calcite and dolomite in ancient carbonate strata can be used to reconstruct the MBT according to the established solid-state reordering models for calcite and dolomite (Passey and Henkes, 2012; Stolper and Eiler, 2015; Lloyd et al., 2018). This provides a potential new method for reconstructing the MBT of ancient marine carbonate strata lacking vitrinite and detrital zircon data.

The purpose of this study was to reconstruct the MBT using the clumped isotopes of the Permian and Triassic limestone and dolostone samples from the Sichuan Basin and to compare the MBT determined using carbonate clumped isotopes and the Easy% $R_o$  model in order to verify the reliability of using the carbonate clumped isotope method to reconstruct the MBT and to provide a good case study for MBT reconstruction of ancient marine carbonate strata.

## GEOLOGIC SETTING

The Sichuan Basin is a multi-cycle basin located in southwestern China (Figure 1), with the Ediacaran to Quaternary sediments. It experienced six main tectonic cycles: the Yangtze (before 630 Ma), Caledonian (630–320 Ma), Hercynian (320–252 Ma), Indosinian (252–195 Ma), Yanshanian (195–65 Ma), and Himalayan (65–0 Ma) movements (Zhai, 1989). Among them, the marine deposits were mainly developed from the Yangtze to the Early Indosinian, while the continental deposits were mainly developed from the Late Indosinian to the Himalayan.

The Sichuan Basin experienced early extension and late compression and uplift (Zhai, 1989). From the Yangtze to the Early Indosinian, two weak extension events resulted in the development of two intracratonic sags in the Sichuan Basin (the Early Cambrian Mianyang-Changning sag and the Late Permian Kaijiang-Liangping sag) (Liu et al., 2021). Strong



compression and uplift have occurred since the Late Indosinian, and the Sichuan Basin has evolved into a foreland basin. In particular, the Longmanshan, located in the western boundary of the Sichuan Basin, uplifted gradually from the northeast to the southwest (Burchfiel et al., 1995). During the Yanshanian, many large northeast-southwest striking folds and faults were formed in the eastern Sichuan Basin due to regional northwest-southeast compression (Zhai, 1989). Then, rapid uplift and exhumation occurred during the Late Yanshanian and Himalayan (Deng et al., 2013). It is a typical basin that has experienced early subsidence and late uplift and exhumation in western China.

Marine carbonates and shales were deposited during the Permian and Early Triassic. From the bottom to top, the Permian can be divided into the Liangshan ( $P_1l$ ), Qixia ( $P_1q$ ), Maokou ( $P_1m$ ), Longtan ( $P_2l$ )/Wujiaping ( $P_2w$ ), and Changxing ( $P_2c$ )/Dalong ( $P_2d$ ) formations, and the Lower Triassic can be divided into the Feixianguan ( $T_1f$ ) and Jialingjiang ( $T_1j$ ) formations (Zhai, 1989). The  $P_2l/P_2w$  and  $P_2d$  mainly consist of shales, which are the main source rocks of the  $P_2c$  and  $T_1f$  gas reservoirs (Li et al., 2005; Yu et al., 2021), while the  $P_2c$  and  $T_1f$  mainly consist of dolostone and limestone, which are the main reservoir rocks of the main large gas fields (such as the Puguang, Yuanba, and Longgang gas fields) discovered in recent years (Ma et al., 2007; Du et al., 2010; Guo et al., 2018). The dolostone in the  $P_2c$  and  $T_1f$  was mainly developed on the platform margins of the Kaijiang-liangping trough, and it was formed in a near surface to quite shallow burial environment. The dolomitization fluids were sourced from the  $T_1f$  evaporated sea water (Jiang et al., 2014; Li et al., 2021).

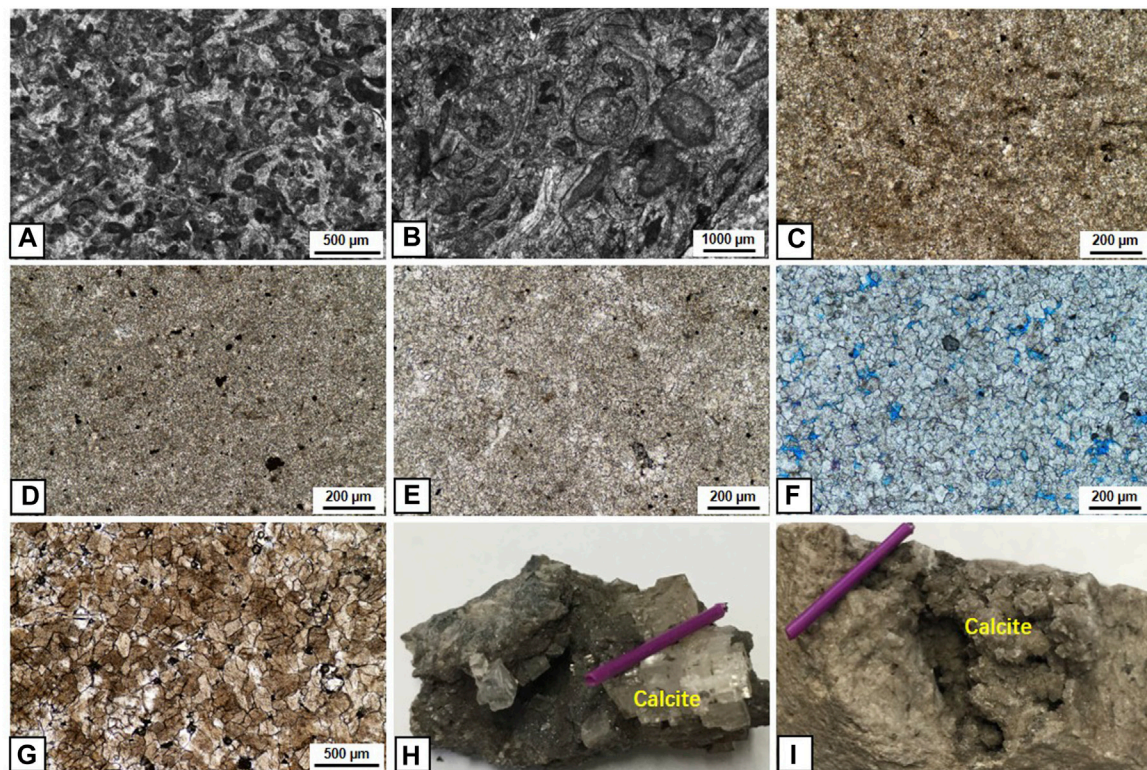
## SAMPLING AND ANALYTICAL METHODS

Three  $P_1q$  limestone samples (D1, D4, and D6) and two  $P_2d$  shale samples were collected from the XBX outcrop (Figure 1), and three  $P_2c$ - $T_1f$  limestone samples (L56, L61, and L62), four  $P_2c$ - $T_1f$  dolostone samples (D83, D89, D90, and D99), three  $P_2c$ - $T_1f$  calcite cement samples (Cem5, Cem8, and Cem25), and thirteen  $P_2w$  shale samples were collected from the Puguang (PG) gas field (Figure 1).

All of the shale samples were analyzed for  $R_o$  using conventional microphotometric methods (Stach et al., 1982) at the State Key Laboratory of Petroleum Resources and Prospecting, China University of Petroleum (Beijing). The number of readings was generally >20 for the random reflectance, and the error of each sample was within 0.2%.

Thin sections of all of the limestone and dolostone samples were prepared for petrographic observations. Then, all of the limestone and dolostone samples and calcite cement samples were powdered to less than 0.15 mm (100 mesh) for  $\delta^{13}C$ ,  $\delta^{18}O$ , and clumped isotope ( $\Delta_{47}$ ) analyses at the California Institute of Technology (Caltech). The detailed analytical procedures have been described in previously published papers (Ghosh et al., 2006; Huntington et al., 2009; Ryb and Eiler, 2018; Li et al., 2020). First, about 10 mg of carbonate sample was reacted with 103% phosphoric acid at 90°C, and the  $CO_2$  produced was purified using a gas-chromatography column. Then, the 44–49 masses of the  $CO_2$  were measured using a Thermo MAT253 isotope ratio mass spectrometer. Then, the  $\delta^{13}C$ ,  $\delta^{18}O$ , and  $\Delta_{47}$  values were calculated using the methods described by Huntington et al. (2009). Finally, the  $\Delta_{47}$  values were converted into the absolute reference frame (Dennis et al., 2011), and the





**FIGURE 2 | (A–G)** Thin-section photomicrographs of limestone and dolostone samples, and **(H,I)** photographs of calcite cements. **(A)** Sample D1, XBX outcrop, bioclastic limestone. **(B)** Sample L56, well PG6, 5383.1 m, P<sub>2c</sub>, bioclastic limestone. **(C)** Sample L62, well MB3, 4014.0 m, T<sub>1f</sub>, micritic limestone. **(D)** Sample D83, well MB4, 3892.5 m, T<sub>1f</sub>, micritic dolostone. **(E)** Sample D90, well PG2, 4878.0 m, T<sub>1f</sub>, micritic dolostone. **(F)** Sample D89, well PG12, 6007.0 m, T<sub>1f</sub>, fine crystallized dolostone. **(G)** Sample D99, well PG6, 5339.0 m, P<sub>2c</sub>, medium crystallized dolostone. **(H)** Sample Cem8, well MB3, P<sub>2c</sub>, 4394.6 m, coarse calcite cements (the length of the small purple stick is 2.0 cm). **(I)** Sample Cem5, well DW102, 4825.0 m, T<sub>1f</sub>, coarse calcite cements in vugs in dolostone. Note: the wells MB3, MB4, DW102, PG2, PG6 and PG12 are all located in the Puguang gas field.

clumped isotopes temperature ( $T_{\Delta 47}$ ) of each sample was calculated using the temperature calibrations developed by Bonifacie et al. (2017). Most of the samples were measured two or three times. The standard deviation of the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  measurement is  $<0.01\%$ , and that of the  $\Delta_{47}$  measurements is  $<0.02\%$ . The standard errors of the in-house standard (CIT Carrara and TV04) were  $<0.01\%$ . The raw data of d45-d79, D47 of samples, standards, equilibrated gases and heated gases were listed in the **Supplementary Table 1**.

## RESULTS

### Petrography

The crystal size of the carbonate minerals can be divided into micritic ( $<50\ \mu\text{m}$ ), very fine ( $50\text{--}100\ \mu\text{m}$ ), fine ( $100\text{--}250\ \mu\text{m}$ ), medium ( $250\text{--}500\ \mu\text{m}$ ), and coarse ( $>500\ \mu\text{m}$ ) (Bissell and Chilingar, 1967). All of the limestone samples collected from the XBX outcrop are bioclastic limestone, the cement between the bioclastics is micritic calcite with crystal sizes of  $<10\ \mu\text{m}$ , and no obvious crystallization was observed in the bioclastics and calcite cement (Figure 2A). The limestone samples collected from the Puguang gas field include bioclastic limestone (Figure 2B) and micritic limestone (Figure 2C). The crystal sizes of the calcite are  $<10\ \mu\text{m}$ , and no

obvious crystallization occurred in these limestone samples. The dolostone samples collected from the Puguang gas field include micritic dolostone (Figures 2D,E), very fine crystallized dolostone (Figure 2F), and fine crystallized dolostone (Figure 2G). The crystal size of the two micritic dolostone samples is about  $10\ \mu\text{m}$  (average of  $12\ \mu\text{m}$  for D87 and  $10\ \mu\text{m}$  for D90), and intercrystal pores were hardly observed in the two micritic dolostone samples. The average crystal size of the very fine crystallized dolostone (D89) is about  $70\ \mu\text{m}$ , with very limited dolomite recrystallization, and some pores are developed between the subhedral dolomite crystals (Figure 2F). The crystal sizes of the fine crystallized dolostone (D99) are  $150\text{--}200\ \mu\text{m}$  (average of  $194\ \mu\text{m}$ ), with obvious dolomite recrystallization, and black solid bitumen was observed in the pores between the subhedral dolomite crystals (Figure 2G). The calcite cement samples (crystal sizes of  $0.3\text{--}1.0\ \text{cm}$ ) collected from the Puguang gas field are mainly developed in vugs in dolostone, and it is generally associated with black solid bitumen (Figures 2H,I).

### $\delta^{13}\text{C}$ , $\delta^{18}\text{O}$ , $\Delta_{47}$ , and Clumped Isotope Temperature ( $T_{\Delta 47}$ )

The  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  $\Delta_{47}$ , and  $T_{\Delta 47}$  values of all of the carbonate samples collected from the XBX outcrop and the Puguang gas field are

**TABLE 1 |** The  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ ,  $\Delta_{47}$ , and  $T_{\Delta 47}$  values of the carbonate samples from the XBX outcrop and the PG gas field in the Sichuan Basin.

Area	Sample ID	Sample Type	Strata	Depth (m)	BT (°C)	N	$\delta^{13}\text{C}$ ‰VPDB	$\delta^{18}\text{O}$ ‰VPDB	$\Delta_{47}$ CDES, ‰	$T_{\Delta 47}$ (°C)
XBX	D1*	L	P <sub>2</sub> q	—	17	3	3.878 ± 0.027	−6.336 ± 0.109	0.494 ± 0.008	65.6 ± 3.6
XBX	D4*	L	P <sub>2</sub> q	—	17	3	3.451 ± 0.036	−5.296 ± 0.108	0.514 ± 0.017	56.7 ± 7.0
XBX	D6*	L	P <sub>2</sub> q	—	17	3	3.479 ± 0.008	−4.793 ± 0.038	0.499 ± 0.004	63.3 ± 1.8
PG	Cem5	C	T <sub>1</sub> f	4,825	115	2	−0.840 ± 0.003	−7.732 ± 0.036	0.355 ± 0.008	156.5 ± 7.9
PG	Cem8	C	P <sub>2</sub> c	4,395	108	1	−1.108 ± 0.003	−4.722 ± 0.007	0.368 ± 0.010	145.0 ± 8.9
PG	Cem25	C	P <sub>2</sub> c	4,360	108	2	−0.227 ± 0.004	−6.535 ± 0.005	0.359 ± 0.007	152.7 ± 6.1
PG	L56	L	P <sub>2</sub> c	5,383	133	1	2.505 ± 0.010	−6.943 ± 0.007	0.333 ± 0.013	178.4 ± 14.9
PG	L61	L	T <sub>1</sub> f	5,350	133	1	4.014 ± 0.006	−6.868 ± 0.004	0.347 ± 0.015	163.9 ± 15.6
PG	L62	L	T <sub>1</sub> f	4,014	101	1	3.701 ± 0.004	−6.366 ± 0.005	0.333 ± 0.014	179.1 ± 16.2
PG	D83	MD	T <sub>1</sub> f	3,892	100	3	2.478 ± 0.007	−4.257 ± 0.010	0.479 ± 0.009	72.5 ± 4.5
PG	D90	MD	T <sub>1</sub> f	4,878	123	2	2.748 ± 0.001	−4.044 ± 0.005	0.481 ± 0.019	71.7 ± 8.9
PG	D89	VFCD	T <sub>1</sub> f	6,007	137	2	2.765 ± 0.005	−4.209 ± 0.005	0.449 ± 0.009	88.5 ± 5.4
PG	D99	FCD	P <sub>2</sub> c	5,339	128	2	3.903 ± 0.005	−6.300 ± 0.008	0.391 ± 0.010	126.3 ± 7.6

Note: L = limestone, C = calcite, MD = micritic dolostone, VFCD = very fine crystallized dolostone, FCD = fine crystallized dolostone, BT = burial temperature. N is the number of sample tests, the  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ , and  $\Delta_{47}$  values are the average values of each measurement, the errors of the  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ , and  $\Delta_{47}$  values are average standard deviations.  $T_{\Delta 47}$  was calculated using the following equation:  $\Delta_{47} \text{ CDES}_{90} = 0.0422 \times 10^6 \times T^{-2} + 0.1262$  (Bonifacie et al., 2017). \* denotes from Li et al. (2020).

**TABLE 2 |** The vitrinite reflectance ( $R_o$ ) of the shale samples from the XBX outcrop and PG gas field in the Sichuan Basin.

Area	Well	Depth (m)	Strata	Number of measuring points	$R_o$ (%)
XBX	—	—	P <sub>2</sub> d	20	0.92
XBX	—	—	P <sub>2</sub> d	20	0.96
PG	MB3	4,891–4,892	P <sub>2</sub> w	34	2.39
PG	MB3	4,930–4,933	P <sub>2</sub> w	27	2.43
PG	MB3	4,952–4,954	P <sub>2</sub> w	33	2.67
PG	MB3	4,952–4,954	P <sub>2</sub> w	25	2.60
PG	MB3	5,153–5,157	P <sub>2</sub> w	34	2.71
PG	MB3	5,185–5,186	P <sub>2</sub> w	20	2.79
PG	MB3	5,185–5,186	P <sub>2</sub> w	21	2.76
PG	PG5	5,586–5,591	P <sub>2</sub> w	25	3.05
PG	PG5	5,596–5,602	P <sub>2</sub> w	25	2.95
PG	PG5	5,674–5,678	P <sub>2</sub> w	25	3.19
PG	PG5	5,681–5,687	P <sub>2</sub> w	30	2.68
PG	PG5	5,732–5,734	P <sub>2</sub> w	24	3.10
PG	PG5	5,744–5,747	P <sub>2</sub> w	36	3.11

presented in Table 1. The  $\delta^{13}\text{C}$ ,  $\delta^{18}\text{O}$ , and  $\Delta_{47}$  values of the three limestone samples from the XBX outcrop range from 3.451 to 3.788‰, −6.336‰ to −4.793‰, and 0.499–0.514‰. For the limestone, dolostone, and calcite cement samples collected from then Puguang gas field, the  $\delta^{13}\text{C}$  values range from 2.505 to 4.014‰, 2.478–3.903‰, and −1.108‰ to −0.227‰, respectively; the  $\delta^{18}\text{O}$  values range from −6.943‰ to −6.366‰, −6.300‰ to −4.044‰, and −7.732‰ to −4.722‰, respectively; and the  $\Delta_{47}$  values range from 0.333 to 0.347‰, 0.391–0.481‰, and 0.355–0.368‰, respectively. The  $\delta^{13}\text{C}$  values of the limestone and dolostone samples from the Puguang gas field are basically similar (2.505–4.014‰), while the  $\delta^{13}\text{C}$  values of the calcite cement (−1.108‰ to −0.227‰) are significantly lower than those of the limestone and dolostone samples.

$T_{\Delta 47}$  of all of the carbonate samples exhibit a relatively large variation (Table 1).  $T_{\Delta 47}$  of the limestone from the XBX outcrop are 57.6–65.6°C (average of 61.9°C); while  $T_{\Delta 47}$  of the calcite cement and limestone samples from the Puguang gas field are

145–156.5°C (average of 151.4°C) and 163.9–179.1°C (average of 173.8°C), respectively. There is a large difference in  $T_{\Delta 47}$  of the four dolostone samples from the Puguang gas field.  $T_{\Delta 47}$  of the two micritic dolostone samples are  $71.7 \pm 8.9^\circ\text{C}$  (D90) and  $72.5 \pm 4.5^\circ\text{C}$  (D83), that of the very fine crystallized dolostone is  $88.5 \pm 5.4^\circ\text{C}$ , and that of the fine crystallized dolostone is  $126.3 \pm 7.6^\circ\text{C}$  (D99).

## Vitrinite Reflectance ( $R_o$ )

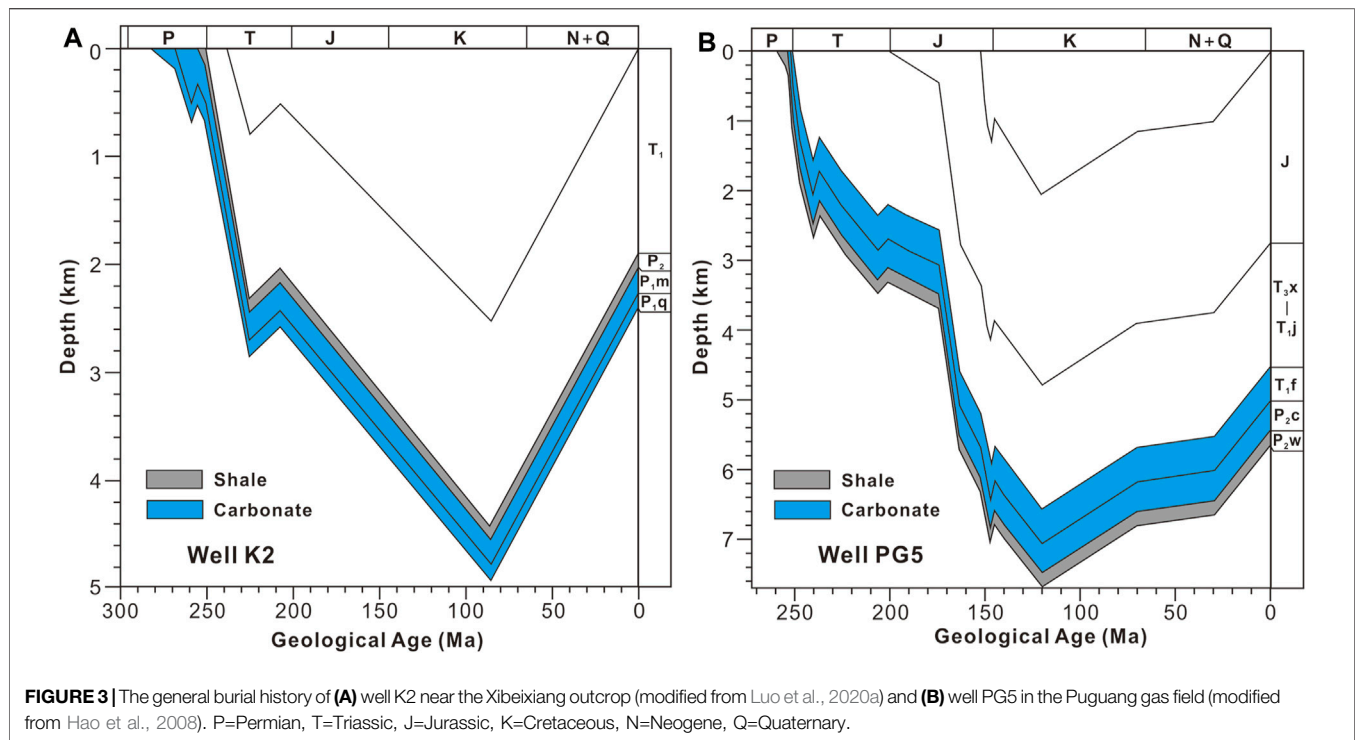
The  $R_o$  values of the Permian shales from the XBX outcrop and the Puguang gas field are presented in Table 2. The  $R_o$  values of the two P<sub>2</sub>d shale samples from the XBX outcrop are 0.92 and 0.96%, while the  $R_o$  values of the P<sub>2</sub>w shales from the Puguang gas field are 2.39–3.11% (average of 2.80%).

## DISCUSSION

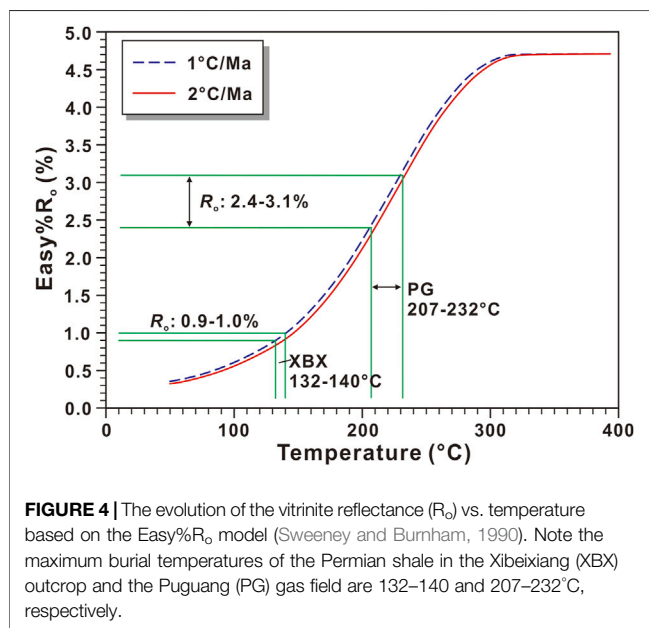
### EASY% $R_o$ Model and Maximum Burial Temperature Reconstruction for the Xibeixiang Outcrop and Puguang Gas Field

The maturity of organic matter, which is usually characterized by  $R_o$  and/or equivalent  $R_o$  (such as the solid bitumen reflectance or graptolite reflectance), is a commonly used method for paleotemperature reconstruction (Mukhopadhyay, 1994; Hackley et al., 2015; Luo et al., 2020b). The maturity evolution of organic matter is an irreversible process and can be characterized by a first-order chemical kinetics reaction. According to the Arrhenius equation, the maturity of organic matter has an exponential relationship with temperature and a linear relationship with time. As a result, temperature is the most important parameter affecting the maturity evolution of organic matter (Hunt, 1996). Theoretically, the MBT can be obtained based on a model of the evolution of the organic matter's maturity with temperature (such as the EASY% $R_o$  model; Sweeney and Burnham, 1990).





**FIGURE 3** | The general burial history of **(A)** well K2 near the Xibeixiang outcrop (modified from Luo et al., 2020a) and **(B)** well PG5 in the Puguang gas field (modified from Hao et al., 2008). P=Permian, T=Triassic, J=Jurassic, K=Cretaceous, N=Neogene, Q=Quaternary.

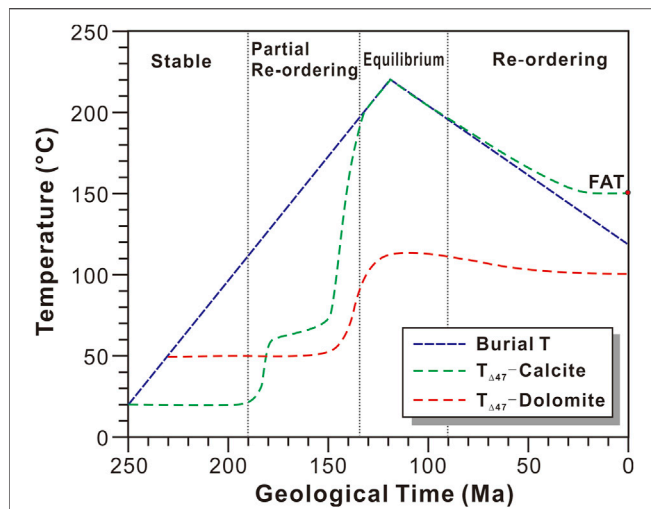


**FIGURE 4** | The evolution of the vitrinite reflectance ( $R_0$ ) vs. temperature based on the Easy% $R_0$  model (Sweeney and Burnham, 1990). Note the maximum burial temperatures of the Permian shale in the Xibeixiang (XBX) outcrop and the Puguang (PG) gas field are 132–140 and 207–232°C, respectively.

The EASY% $R_0$  model is a widely accepted model for the evolution of the maturity of organic matter, and the organic matter maturity ( $R_0$ ) can be calculated for a given heating rate (Sweeney and Burnham, 1990). According to apatite fission track analysis (Deng et al., 2013), the Permian and Triassic strata in the XBX outcrop and the Puguang gas field reached their maximum burial depths at about 80 and 120 Ma, respectively (Figure 3). The area of the XBX outcrop was uplifted in the Late Indosinian

(Burchfiel et al., 1995), and the  $R_0$  of the Permian shale near the XBX outcrop in the NW Sichuan Basin is <1.3% (Fu et al., 2010), so the MBT of the Permian shale should be less than 160°C (Figure 4). A lot of solid bitumen with a relatively high maturity ( $R_0$ >1.7%) is commonly present in the Permian and Triassic gas reservoir in the Puguang gas field, suggesting that large scale oil-cracking occurred in this gas field, and the maximum burial temperature may have exceeded 200°C (Hao et al., 2008). In addition, the heat flow has decreased gradually since the Late Permian (Zhu et al., 2016). Thus, the burial temperature of the Permian and Triassic strata in the XBX outcrop and the Puguang gas field should have reached the maximum values when the burial depths reached the maximum values at about 80 and 120 Ma (Figure 3), respectively. As a result, the heating rates should be about 1.0°C/Ma ( $150^\circ\text{C}/(260-80\text{ Ma}) = 0.83^\circ\text{C/Ma}$ ) and 2.0°C/Ma ( $220^\circ\text{C}/(250-120\text{ Ma}) = 1.7^\circ\text{C/Ma}$ ) for the XBX outcrop and the Puguang gas field, respectively.

The evolution of  $R_0$  with temperature based on the EASY% $R_0$  model for heating rates of 1.0 and 2.0°C/Ma is shown in Figure 4. According to this model,  $R_0$  of the  $P_2d$  shale in the XBX outcrop is 0.92–0.96%, so the MBT of the  $P_2d$  should be 132–140°C, while  $R_0$  of the  $P_2w$  shale in the Puguang gas field is 2.39–3.11%, so the MBT of the  $P_2w$  should be 207–232°C (Figure 4). The thicknesses of the intervals between the  $P_1q$  limestone and the  $P_2d$  shale in the XBX outcrop and between the  $P_2c$ – $T_1f$  dolostone and the  $P_2w$  shale in the Puguang gas field are about 300 and 200 m (Figure 3), respectively. In addition, the paleotemperature gradient in the Late Cretaceous was about 25°C/km (Zhu et al., 2016). As a result, the MBTs of the  $P_1q$  limestone in the XBX outcrop and the  $P_2c$ – $T_1f$  dolostone in the Puguang gas field should be 139.5–147.5 and 202–227°C, respectively. The MBT determined using the



**FIGURE 5** | The general clumped isotope temperature ( $T_{\Delta 47}$ ) evolution of the  $P_{2C-T1f}$  dolomite and calcite from the Puguang gas field in the Sichuan Basin, assuming that the maximum burial temperature reached 220°C and then decreased to 110°C during the late uplift event. The solid-state reordering models for calcite and dolomite are from Stolper and Eiler (2015) and Lloyd et al. (2018), respectively. FAT = Final apparent temperature.

EASY% $R_o$  model supplies a basic constraint on the MBT determined using the solid-state reordering model of carbonate clumped isotopes.

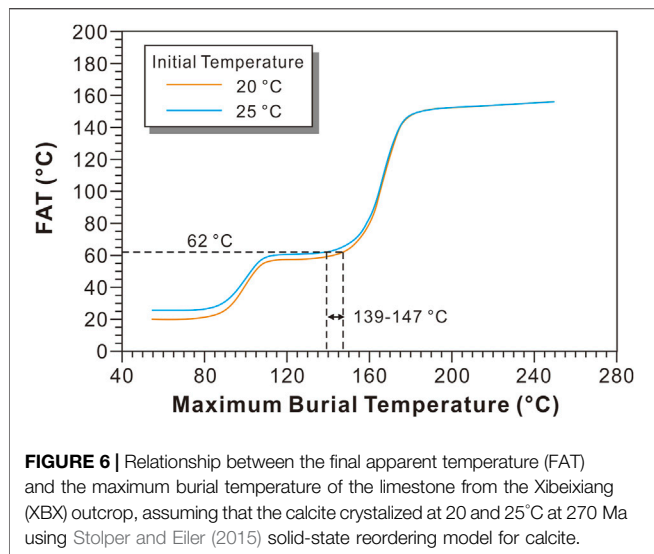
### Solid-State Reordering of Calcite and Maximum Burial Temperature of $P_{1q}$ in the Xibeixiang Outcrop

Carbonate clumped isotopes ( $\Delta_{47}$ ) can be used to directly determine the formation temperature or the equilibrium temperature of carbonate minerals, and they are independent of the  $\delta^{18}O$  of the fluid from which the carbonate minerals were deposited. This is called the clumped isotope thermometer (Ghosh et al., 2006; Eiler, 2007). The clumped isotope thermometer is mainly based on the exchange reactions of the  $^{13}C$  and  $^{18}O$  in carbonate minerals, and the abundance of  $^{13}C$ - $^{18}O$  in carbonate minerals is a function of temperature (Eiler, 2007). However, the clumped isotope temperature ( $T_{\Delta 47}$ ) may be altered by high temperatures and/or recrystallization during the late burial process and can be completely different from the original formation temperature (Passey and Henkes, 2012). Ancient carbonate minerals have usually experienced relatively high temperatures during late burial, which resulted in solid-state exchange (reordering) of the  $^{13}C$ - $^{18}O$  in the carbonate minerals. Consequently, the abundances of  $^{13}C$ - $^{18}O$  in carbonate minerals will differ from the original abundances when the carbonate minerals were formed, and the  $T_{\Delta 47}$  values should also be different from the original formation temperature of the carbonate minerals. In addition, recrystallization after mineral formation can also change the abundance of  $^{13}C$ - $^{18}O$  and  $T_{\Delta 47}$  of the carbonate minerals (Ryb and Eiler, 2018). Therefore, for ancient carbonate minerals, the

present-day  $T_{\Delta 47}$  is often the result of the combined effect of recrystallization and solid-state reordering. The solid-state reordering of  $\Delta_{47}$  can be characterized using a kinetic model, and the MBT of the carbonate minerals can be reconstructed if the carbonate minerals experienced no or limited recrystallization.

There are two main kinetic models for characterizing the solid-state reordering of carbonate minerals: the transient defect/equilibrium defect model (Henkes et al., 2014) and the exchange-diffusion model (Stolper and Eiler, 2015). The best agreement between model predictions and natural dolomite marbles was found when using the exchange-diffusion model (Lloyd et al., 2018). Therefore, the exchange-diffusion model was used to in this study. According to the exchange-diffusion model, the variation of  $T_{\Delta 47}$  was shown in Figure 5, if the Permian-Triassic limestone was formed at about 30°C and reached a maximum temperature of about 220°C at 120 Ma. When the burial temperature was <100°C, no solid-state reordering occurred and  $T_{\Delta 47}$  of the calcite would be stable. When the burial temperature was between 100 and 200°C, partial solid-state reordering occurred and  $T_{\Delta 47}$  of the calcite increased as the burial temperature increased. When the burial temperature was >200°C, full solid-state reordering occurred and  $T_{\Delta 47}$  of the calcite reached equilibrium with the burial temperature. When the burial temperature was <200°C during the late uplift and exhumation, partial solid-state reordering occurred again and  $T_{\Delta 47}$  of the calcite decreased as the burial temperature decreased. If the burial temperature was decreased from above 200°C to below 150°C during the uplift,  $T_{\Delta 47}$  of the calcite would have decreased to a blocking temperature (final apparent temperature) of about 175–200°C (Henkes et al., 2014), and it did not decrease as the burial temperature decreased (Figure 5). The solid-state reordering of dolomite is similar to that of calcite, but  $T_{\Delta 47}$  of dolomite is stable when the burial temperature is <150°C, and it does not reach equilibrium with the burial temperature when the burial temperature is <250–300°C (Lloyd et al., 2018).

$T_{\Delta 47}$  of the limestone from the XBX outcrop is 62°C on average, which is significantly higher than the seawater temperature (20–30°C) during the deposition of  $P_{1q}$  (Henkes et al., 2018), but is far lower than the blocking temperature after reaching the equilibrium temperature. According to the kinetic model of the solid-state reordering of calcite, it can be inferred that the limestone only underwent partial solid-state reordering, and it did not reach equilibrium. The petrological characteristics of the three bioclastic limestone samples (D1–D3) from the XBX outcrop show that the bioclastics were cemented with micritic calcite, and no obvious recrystallization was observed. Therefore, the  $T_{\Delta 47}$  values of the present-day limestone samples were mainly affected by the solid-state reordering process. As a result, the solid-state reordering model can be used to reconstruct the MBT of these limestone samples. Based on the solid-state reordering model for the calcite in the XBX outcrop, the relationship between  $T_{\Delta 47}$  and MBT is shown in Figure 6.  $T_{\Delta 47}$  of the present-day limestone is 62°C, and the corresponding MBT of the XBX limestone is about 139–147°C (Figure 6), which is basically consistent with the MBT constrained by the EASY%  $R_o$  model (139.5–147.5°C).



### Solid-State Reordering of Dolomite and the Maximum Burial Temperature of the P<sub>2</sub>c-T<sub>1</sub>f in the Puguang Gas Field

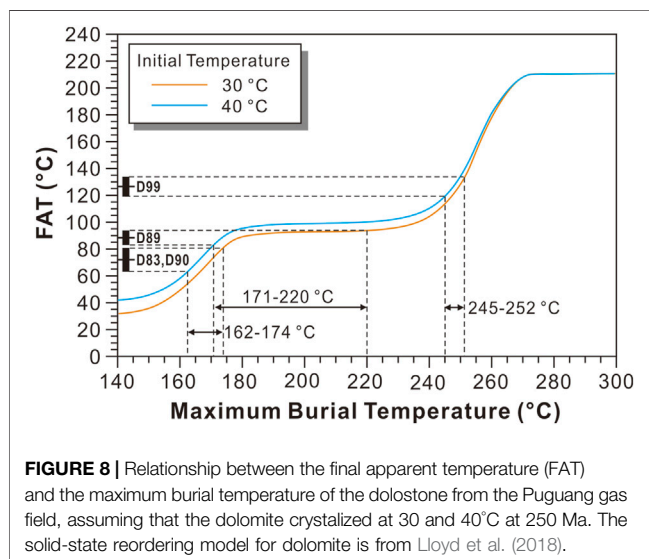
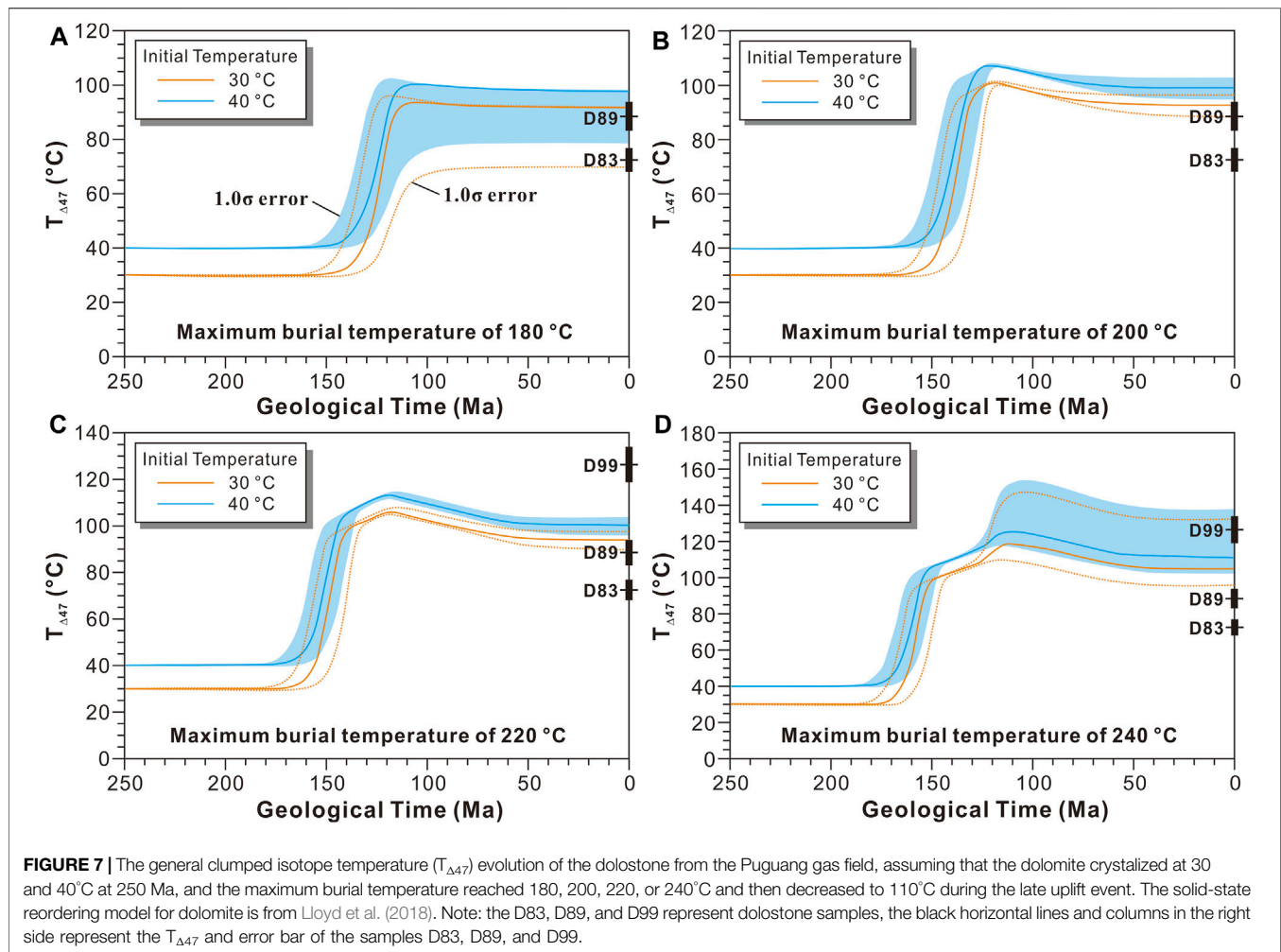
The MBT determined using the EASY%R<sub>0</sub> model is >200°C (202–227°C; **Figure 4**), which supports the fact that widespread oil cracking occurred in the Puguang gas field (Hao et al., 2008), while the present-day burial temperature of the P<sub>2</sub>c-T<sub>1</sub>f is 100–135°C. According to the solid-state reordering model of calcite (**Figure 5**) and the temperature history of the Puguang gas field, the limestone or early-formed calcite cements should have experienced complete solid-state reordering, and the final apparent temperature of the limestone and calcite cement should be similar to the blocking temperature (150–160°C) because the present-day burial temperature is 100–135°C due to the late uplift and exhumation. T<sub>Δ47</sub> of the micritic limestone and bioclastic limestone is 150–170°C, which is consistent with the blocking temperature predicted by the solid-state reordering model for calcite. The coarse calcite cements, associated with the solid bitumen and having more negative δ<sup>13</sup>C values (–1.108‰ to –0.227‰) than that of its bulk rock (dolostone), are considered to be the product of oil cracking and thermochemical sulfate reduction (TSR) processes (Hao et al., 2008), and they were deposited during the early burial process not during the late uplift and exhumation. T<sub>Δ47</sub> of the calcite cements in the Puguang gas field are 145–156.5°C (average of 151.4°C), which is also very close to the blocking temperature of calcite predicted by the model. The small differences in the final apparent temperatures of the limestone and the coarse calcite cements in the Puguang gas field according to the uniform solid-state reordering model may be due to the different kinetic parameters used in the solid-state reordering models of the coarse calcite and micritic limestone, especially during the cooling process. However, no published paper has discussed this problem, and it is not discussed in detail in this paper. However, it is certain that the relatively high temperature (>200°C) in the Puguang gas field resulted in the complete solid-state reordering of the micritic limestone and the

coarse calcite cements. Therefore, the micritic limestone and coarse calcite cements cannot be used to reconstruct the MBT in the Puguang gas field.

However, the temperature of the onset of the partial reordering of dolomite is much higher than that of calcite, and the complete solid-state reordering temperature of dolomite can be as high as 250–300°C (Lloyd et al., 2018). As a result, the solid-state reordering of the dolostone samples can be used to reconstruct the MBT in the Puguang gas field. The T<sub>Δ47</sub> values of the dolostone with different dolomite crystal sizes from the Puguang gas field are significantly different (**Table 1**). T<sub>Δ47</sub> of the micritic dolostone (71.7–72.5°C) is the lowest, followed by T<sub>Δ47</sub> of the very finely crystallized dolostone (88.5 ± 5.4°C), but T<sub>Δ47</sub> of the two types of dolostone are very close to each other. T<sub>Δ47</sub> of the finely crystallized dolostone (126.3 ± 7.6°C) is significantly higher than that of the micritic dolostone and the very finely crystallized dolostone, suggesting that T<sub>Δ47</sub> of the finely crystallized dolostone was also affected by relatively strong recrystallization, while the very finely crystallized dolostone only experienced very limited recrystallization.

It is widely accepted that the micritic and very finely crystallized dolostones were caused by penecontemporaneous dolomitization, which occurred under near surface conditions or quite shallow burial conditions. As a result, the initial formation temperatures of the micritic and very finely crystallized dolostones should be similar to the temperature of the coeval seawater. The temperature (30–40°C) in Southern China was lethally hot during the Late Permian–Early Triassic (Sun et al., 2012), so the initial formation temperature of the micritic and very finely crystallized dolostones can be assumed to be 30–40°C. Assuming that the MBT reached 180°C, 200°C, 220°C, and 240°C, the corresponding T<sub>Δ47</sub> evolution of the dolostone can be predicted using the solid-state reordering model for dolomite (**Figure 7**). If the MBT reached 180°C, only T<sub>Δ47</sub> of the micritic dolostone (D83) and the very finely crystallized dolostone (D89) are within the predicted range (1.0 σ error included; **Figure 7A**), while T<sub>Δ47</sub> of the finely crystallized dolostone (D99) is significantly higher than the predicted range. If the MBT reached 200°C or 220°C, only T<sub>Δ47</sub> of the very finely crystallized dolostone (D89) is within the predicted range, and T<sub>Δ47</sub> of the micritic dolostone and the finely crystallized dolostone (D99) are slightly lower and significantly higher than the predicted range, respectively (**Figures 7B,C**). If the MBT reached 240°C, only T<sub>Δ47</sub> of the finely crystallized dolostone (D99) is within the predicted range, and T<sub>Δ47</sub> of the micritic dolostone and the very finely crystallized dolostone are lower than the predicted range (**Figure 7D**). As was discussed above, T<sub>Δ47</sub> of the limestone and calcite cements were similar to the blocking temperature during the late cooling process, suggesting that the calcite experienced complete solid-state reordering, and the MBT should be >200°C. Combined with the T<sub>Δ47</sub> evolution shown in **Figure 6**, the MBT of the P<sub>2</sub>c-T<sub>1</sub>f in the PG gas field should be 200–220°C, which is similar to the MBT determined using the EASY%R<sub>0</sub> model (202–227°C).

Based on the model of the relationship between the final apparent temperature (present-day T<sub>Δ47</sub>) and the MBT (**Figure 8**), the MBTs determined using the present-day T<sub>Δ47</sub> of the micritic dolostone (D83 and D90), the very finely crystallized dolostone (D89), and the finely



crystallized dolostone (D99) are 162–174, 171–220, and 245–252 °C, respectively. Only the MBT determined using the finely crystallized dolostone falls within the temperature range determined using the

EASY% $R_o$  model. The MBT determined using the micritic dolostone is slightly lower than the temperature range determined using the EASY% $R_o$  model. This may be due to the kinetic parameter of the solid-state reordering model for dolomite was constructed based on dolomite with some recrystallization. While the MBT determined using the finely crystallized dolostone is significantly higher than the temperature range determined using the EASY% $R_o$  model, which is most likely due to the occurrence of significant recrystallization. However, the time and temperature at which the recrystallization reached final equilibrium is unknown. Consequently, the present-day  $T_{\Delta 47}$  of the finely crystallized dolostone cannot be used to determine the MBT. Perhaps U-Pb dating of carbonate can be used to accurately determine the final equilibrium age of the dolostone (Pan et al., 2020), and combined with the general burial and thermal gradient information, it can be used to reconstruct the MBT based on the solid-state reordering model, which is a valuable research direction for future studies.

## CONCLUSION

The maximum burial temperature (MBT) of the XBX outcrop and the Puguang gas field was reconstructed using the solid-state



reordering models for calcite and dolomite. The MBTs of the  $P_1q$  limestone in the XBX outcrop and the  $P_2c$ - $T_1f$  dolostone in the Puguang gas field determined using the solid-state reordering models for calcite and dolomite are 139–147 and 200–220°C, respectively, which are similar to the ranges determined using the EASY% $R_o$  model (139.5–147.5 and 202–227°C, respectively). Based on this study in the Sichuan Basin, the solid-state reordering models for calcite and dolomite provide a new potential method for reconstructing the MBT of ancient carbonate strata with MBTs of <150–200 and 250–300°C, respectively. In particular, the MBT determined using the solid-state reordering model for dolostone with limited crystallization is acceptable, while that of dolostone with obvious crystallization is significantly higher than the actual MBT. In addition, the solid-state reordering kinetic model for micritic dolostone also needs to be further optimized in future research.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Materials**, further inquiries can be directed to the corresponding author.

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# Mesozoic Tectono-Thermal Event of the Qinshui Basin, Central North China Craton: Insights From Illite Crystallinity and Vitrinite Reflectance

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The Qinshui Basin is in the Central Orogenic Belt of the North China Craton (NCC), and the production of coalbed methane accounts for 70% of China's total coalbed methane output. Aiming at the unclear dynamic causes of large-scale coalbed methane accumulation in the basin and the unclear response relationship with the destruction of the NCC, we present joint illite crystallinity and vitrinite reflectance study across the Zijin Mountain and Qincan 1 wells of the Qinshui Basin, respectively. Inverse modeling suggested that tectono-thermal events occurred during the Early Cretaceous, associated with the maximum burial depth and heat flow. The maximum paleo-geothermal temperature and gradient reconstruction results recorded at the Carboniferous strata are 180–190°C and 6.5°C/100 m. The denudation thicknesses recorded by illite crystallinity of Zijinshan and the vitrinite reflectance of the Qincan 1 Well are 3,180.63 m and 3,269.32 m, respectively. We propose that the Qinshui Basin was affected by the extensional environment of the NCC, which caused deep lithospheric thinning and magma upwelling, and a tectono-thermal event occurred during the Early Cretaceous in Qinshui Basin. In addition, the accumulation of coalbed methane, triggered by a tectono-thermal event during the Early Cretaceous, is consistent with the early Cretaceous accumulation and mineralization events in the NCC. Overall, our results reflect the subduction event influence of the western Pacific plate into the East Asian continental plate on the tectono-thermal history of the Central Orogenic Belt of the NCC, which is theoretically significant for clarifying the thermal lithospheric thickness and rheological structure of the basin, as well as the evolutionary history of coalbed methane, and the basin response relationship to the destruction of the NCC.

**Keywords:** Qinshui Basin, North China Craton, Tectono-thermal event, Illite, Basin modeling

## INTRODUCTION

Structurally, the Qinshui Basin belongs to the Shanxi block in the center of the North China Craton (NCC) (He, 2015; Zhu et al., 2015). It is one of the areas with the most abundant coal resources within the NCC, and the production of coalbed methane ranks first in China. At present, there are about 11,000 coalbed methane wells in the basin, accounting for more than 70% of the total number

of coalbed methane drilling wells in China, making it one of China's most important energy sources (Zhao et al., 2016; Li Z. et al., 2018; Li et al., 2018 R.).

During the late Mesozoic, the NCC has experienced intense transformation and destruction, and the early Cretaceous was a critical period of lithospheric thinning and destruction for the eastern NCC (Li et al., 2012b). Numerous studies, therefore, focused on the spatial distribution of the transformation and of the destruction of the NCC (Zhang et al., 2006; Zhang, 2012; Zhu et al., 2015; Meng et al., 2019; Liu, 2020). Up to now, the timing, the spatial range, the specific process, and the dynamic mechanism of lithospheric thinning and destruction have been investigated (Ying et al., 2011; Zhu et al., 2012, 2014, 2017; Zhu and Xu, 2019; Zhai et al., 2020, 2021a, 2021b). The range of destruction of the NCC is thought to be limited to only the eastern region. However, additional evidence shows that the lithospheric thickness in the central and western parts of the NCC varies greatly (Chen, 2009; Chang et al., 2011; Ling et al., 2017), indicating that the thinning and reformation of the lithospheric mantle also occurred in the central and western NCC, but the influence of destruction event on the Qingshui Basin remains unclear.

Due to the limited spatial distribution of mantle xenoliths and magmatic rocks, the research on lithospheric thinning and the evolution history of the Ordos Basin in the western part of the NCC is limited, and the research on whole strata of the Qingshui Basin in the central part is even weaker. It is still under debate whether there is excessive thinning of lithosphere and cratonic destruction in the central (Qingshui Basin) and western (Ordos Basin) parts of the NCC (SongLin et al., 2011; Zhu et al., 2012; Ling et al., 2017; Xinhui et al., 2017; Liu, 2020). In addition to abnormal changes in the geothermal field, which can to a certain extent reveal the process of deep lithospheric activity, the tectono-thermal history during the Mesozoic and Cenozoic in the basin and the restoration of the paleotemperature field are the keys to recovering the thermal structure of the lithosphere in different periods. In other words, the tectono-thermal history of different parts of the Qingshui Basin needs to be accurately described and compared to improve the understanding of its tectono-thermal history, which is directly related to the generation, migration, and accumulation processes of coal/gas and the evaluation of the mature evolution of the organic matter.

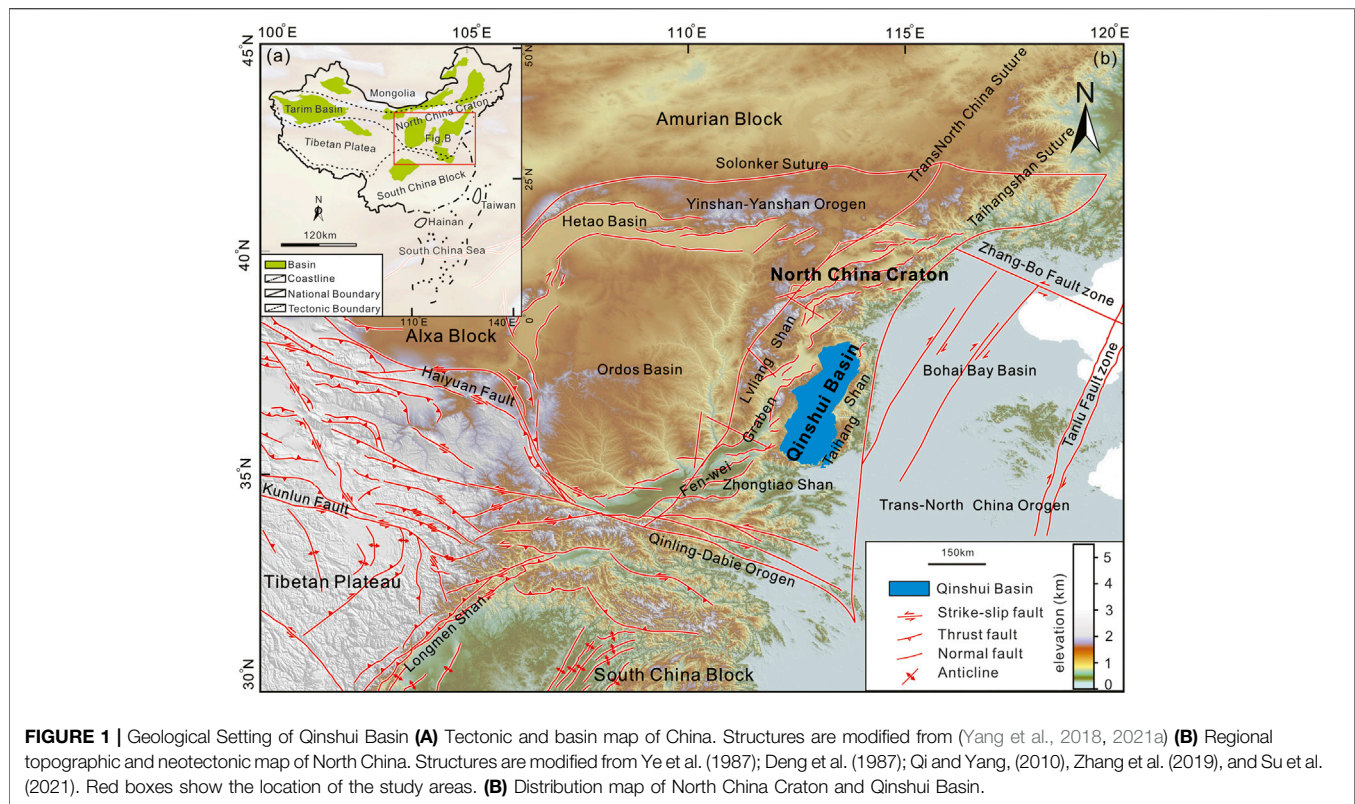
To date, many petroleum geologists have focused on the tectonic uplift history, thermal evolution history, and coalbed methane of the Qingshui basin, suggesting that the Qingshui Basin was affected by the destruction of the NCC, which caused a tectonic thermal event in the basin during the Early Cretaceous, prompting the rapid over-maturation of source rocks and the production of coalbed methane (Ren et al., 2005; Sun et al., 2005; Ren et al., 2006; Sun et al., 2006; Wei et al., 2007; Meng et al., 2015a, 2015b; Sun et al., 2018; Meng et al., 2019; Yu et al., 2020a; Ren et al., 2020). It has been demonstrated by hydrocarbon explorations that a large amount of coalbed methane was preserved in the north and south parts of the basin. All of these past works provide a very important foundation for our research. In addition, the study of both the geothermal field and the thermal structure of the lithosphere is an effective way to

examine the deep geodynamics and the evolution of the craton. Strengthening the study of geothermal events in The Qingshui Basin in the Early Cretaceous is not only of great theoretical significance to improve the understanding of the dynamic evolution mechanism of the lithosphere in NCC, but it is also of great practical significance to mineralization research, reservoir-forming, and the exploration of coal, coalbed methane and other mineral resources in the Qingshui Basin of the central NCC. Recently, geologists and industry paid close attention to the dynamic evolution of the lithosphere and its relationship with mineral accumulation (mineralization) in the central and western parts of the NCC.

The purpose of this study is to reveal the tectonic thermal evolution history and its deep mechanism of different strata, and to discuss the response relationship with basin hydrocarbon source generation and the NCC craton destruction, using the paleotemperature scale and a standard basin modeling procedure. Therefore, in this work, an integrated method combining the illite crystallinity, vitrinite reflectivity, and Basin Mod 1D is proposed to improve the understanding of the thermal history of the formation in the central Qingshui basin. For such a purpose, we systematically collected, tested, and analyzed samples from different layers in the central basin, combined with vitrinite reflectance ( $R_o$ ) data from different layers in Well Qincan 1. Based on our data, we obtained a comprehensive reconstruction of the thermal history of different stratum in the central basin, which is of theoretical significance for clarifying the thermal lithospheric thickness and rheological structure of the basin, as well as the evolution history of coalbed methane, and the basin response relationship to the destruction of the NCC.

## GEOLOGICAL SETTING

The intracratonic NCC is located between the Central Asian Orogen Belt (CAOB) and the Central China Orogenic Belt (CCOB), and by convention, the NCC has been subdivided into the West Block, Eastern Block, and the Trans-North China Orogen (Zhao et al., 2009, 2001) (Figure 1). Variably exposed Archean to Paleoproterozoic rocks compose the basement of the NCC, it is unconformably overlaid on the Mesoproterozoic unmodified volcano-sedimentary sequence and Phanerozoic caprock (Shanxi, 1989). Archean stratigraphy is represented by strata of the Wutai Group and Huping Group, dominated by Tiebao movement and forms a lithology represented by schist, gneiss, marble, and migmatite, whereas Proterozoic stratigraphy consists of schist, gneiss, sandstone, powder sandstone, and mud shale, from bottom to top. The early Paleozoic strata in the Qingshui Basin are mainly represented by the Cambrian-Middle Ordovician thick carbonate rocks, including argillaceous limestone, oolitic limestone, dolomite, and other huge thick deposits, and a large-scale unconformity denudation event was experienced between the Middle Ordovician and the Early Carboniferous, leading to the absence of the Silurian, Devonian, and part of the Early Carboniferous strata. Late Carboniferous to Early Permian alternate marine and terrestrial sequences, and is



characterized by coal-bearing strata, overlaid by the Late Permian to Triassic red beds and conglomerates (Shanxi, 1989; Zhu et al., 2014).

The Qingshui Basin is located in the south-central part of the Trans-North China Orogen, surrounded by the Taihang Mountains in the east, the Huo Mountains in the west, the Zhongtiao Mountains in the south, and the Wutai Mountains in the north (Cai et al., 2011) (Figure 2). The crust of the study area is relatively thin, and the strata of Paleozoic are widely exposed around the basin while the Mesozoic strata are exposed in the center part of the basin. Besides, the provenance of the strata is extensive, the tectono-thermal history of the Qingshui Basin is complex, and the stratigraphic denudation discontinuity is obvious and its development experienced multi-cyclic tectonic-sedimentary evolution (Cai et al., 2011; Li et al., 2012a; Zhu et al., 2014; Chen et al., 2018). It is an ideal place to study the tectono-thermal history of the south-central NCC during the Paleozoic and Mesozoic (Figure 1; Figure 2).

## SAMPLING AND METHODOLOGY

### Sample Collection and Preparation

To investigate the tectono-thermal history and denudation thickness of the Qingshui Basin, we collected the vitrinite reflectance data of the Qincan 1 well from PetroChina Huabei Oilfield Company, the vitrinite reflectance was tested with the

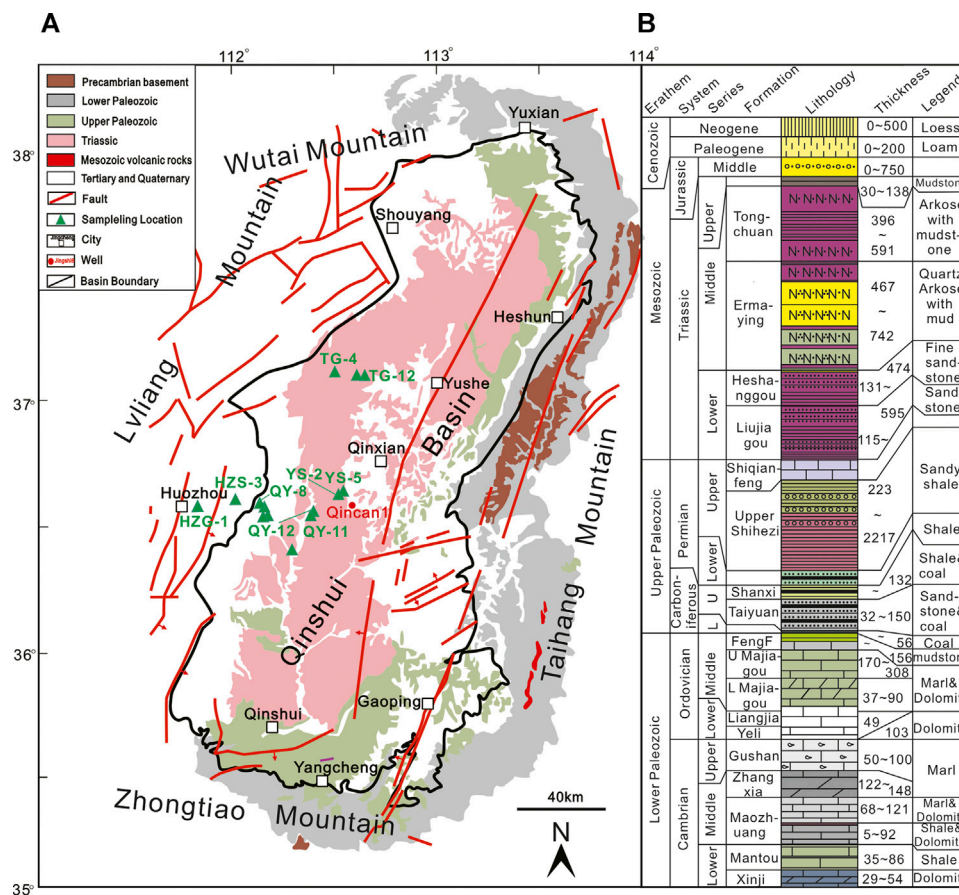
same methods as described in Yu et al. (2020a) (Table 3); note that the abnormal high reflectance of vitrinite near the unconformity of the Carboniferous and Ordovician strata has been eliminated.

We also collected mudstones and shale stones from the western edge of the basin to the center of the basin to purify illite (Figures 2, 3). We sampled unaltered outcrops of fresh rocks in the elevated area to maximize the relief wherever lithology and access were permitted. All samples collected in this work are Permian–Jurassic mudstones at an elevation ranging from 956 to 1,680 m (Supplementary Table S1; Figure 2). All the samples were analyzed for XRF to calculate the illite crystallinity and relative content of clay minerals and analyzed at the Exploration and Development Research Institute of Daqing Oilfield (China National Petroleum Corporation), China.

### Scanning Electron Microscopy Analysis

Polished thin sections were made from representative samples for microscopic observation. To further identify the clay minerals and composition of the illite structure, scanning electron microscopy (SEM) observations and energy dispersive spectrometry (EDS) analyses were carried out with an acceleration voltage of 15 kV and a working distance of 10 cm at the Electron Microprobe Analysis and Scanning Electron Microscope Laboratory of the Institute of Geology and Geophysics, Chinese Academy of Sciences (IGGCAS). To obtain high-quality images, the polished samples were coated with 8 nm carbon before analysis.





**FIGURE 2 | (A)** Sample location in Qingshui Basin, includes crack, well location, and city location. Structures modified from Meng et al. (2015), Zhu et al. (2014). **(B)** Regional stratigraphy column of the Cambrian-Cenozoic strata in the Qingshui Basin (Shanxi, 1989; Zhu, 2013; Zhu et al., 2014; Li Z. et al., 2018; Liu, 2020).

## XRD Analysis

To achieve illite XRD analysis tests, the mudstone, siltstone, and calcareous mudstone samples needed to be ~150 g with more than 40% clay content. We chose clay-rich samples (argillaceous siltstone, silty mudstone, mudstone, etc.,) and crushed them to the diameter of 0.5–1 cm with a hammer; we subsequently used a DF-4 hammer crusher to crush the samples for less than 30 s. We used the Stock method to collect 40 mg of particles having diameter <2 μm and removed the liquid in suspension using an LXJ-64-1 centrifuge (Kisch, 1987; H.J.; Kisch, 1990).

We prepared the Oriented Clay Tablets by Precipitation Method with density >3 mg/cm<sup>2</sup> and dried the tablets naturally at room temperature (Kisch, 1987; H.J.; Kisch, 1990). We carried out the ethylene glycol expansion experiment at 50°C, then according to the clay minerals contained in the sample, we heated the sample at 500°C, the precision on the temperature of the muffle furnace used for heating is ±2°C. The experimental instrument is the Rigaku D/max-2200X diffractometer, with the following measurement parameters: 40 kV voltage, 40 mA current, Cu target, 1/2° emitting slit, 5.5 mm receiving slit, 0.04° Soller slit, scanning step length of 0.017°Δ2θ, and scan time of the 20 s by X' Celerator detector. The scanning range for mineral phase and polytype identification is 4–60°Δ2θ. The test range of crystallinity of illite and chlorite for oriented flake is 2.6–15°Δ2θ.

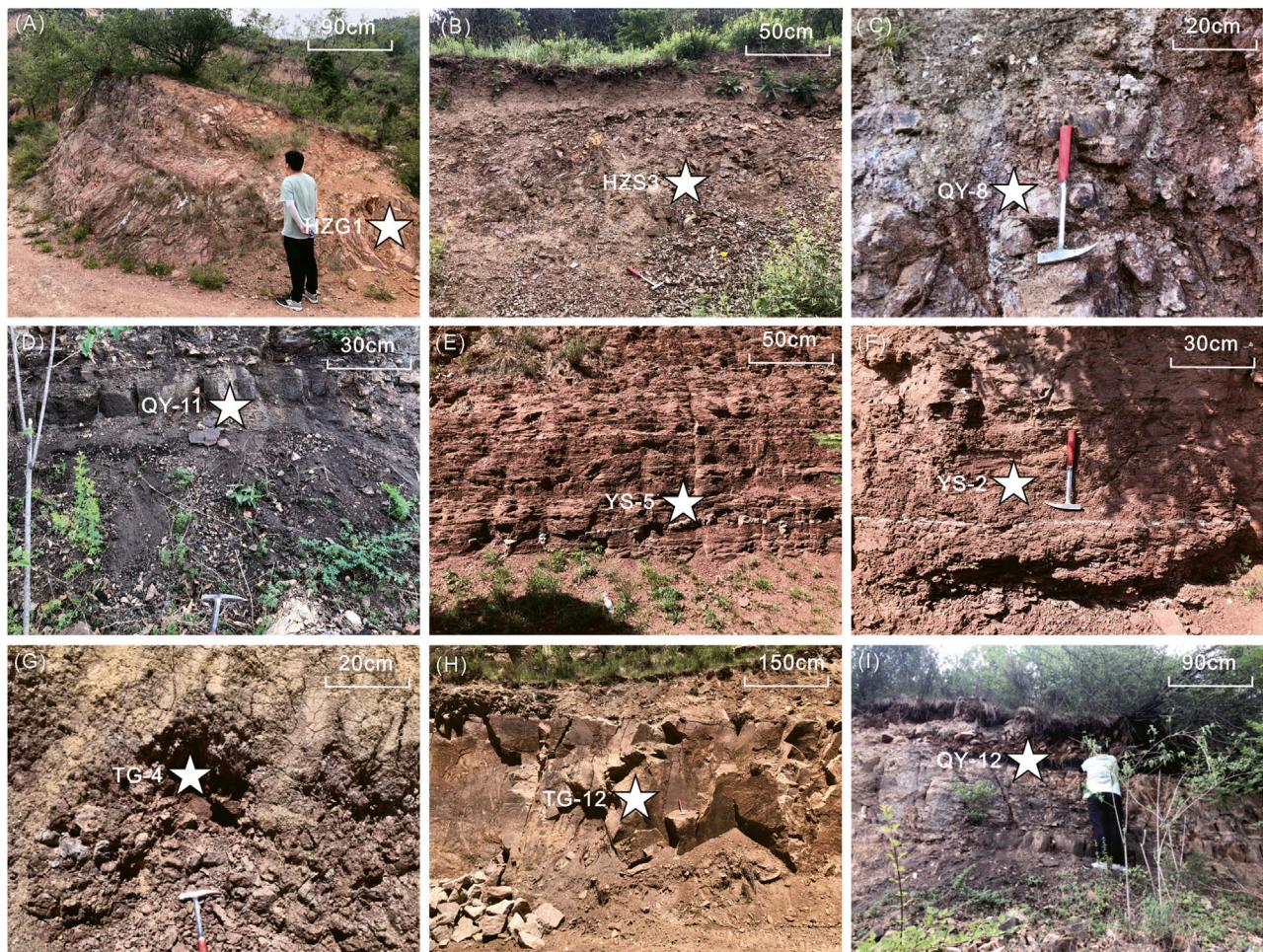
## RESULTS

### Clay Mineral Assemblage

Through the X-ray diffraction analysis of the sample, we can determine the dominant authigenic clay mineral assemblages in each stratigraphic unit (**Supplementary Table S2**). The clay minerals in the Triassic, Jurassic, Permian, and Cambrian strata in the central area of the Qingshui Basin are dominated by illite, and the combination of clay minerals is I + C + K. The interlayer ratio of I/S disorder is high, and illite accounts for 70–90%. The clay mineral assemblage in the Carboniferous strata is dominated by kaolinite, and the clay mineral assemblage is I + C + K with the high interlayer ratio of I/S disorder, and illite accounts for 80–90% (**Figure 4**; **Figure 5A**).

According to **Figure 5**, there is a high illite content in each layer, most samples account for 50–80% of the total content of clay minerals (**Figure 5A**), and the illite proportion in the shallow layer is higher than that the deep layer (**Figure 5B**), which may be caused by the sample difference. Kaolinite and the I/S mixed layer account for less than 30% of clay minerals. As the burial depth increases, kaolinite will turn into chlorite when the medium changes from acidic to alkaline (Gao et al., 2020; Zhu et al., 2021). The I/S trend of different layers stays stable, but the proportion of illite is high, indicating the high TTI (Time-Temperature Indicator) of the





**FIGURE 3 |** Geological profile of the Qingshui Basin. (A) Dark purple red shale of Guanjiashan, Guanjiailiang village, Huozhou (B) Cambrian Mantou formation shale in East Qiliyu village, Huozhou (C) Maroon ferruginous claystone of the Carboniferous Hutian section, Huozhou (D) Gray black mud shale of the Shihezi Formation in Donggou, Qinyuan (E) Purple-red mudstone in the Triassic Heshanggou formation, Qinyuan (F) Sandy mudstone in the Triassic Liujiagou formation, Qinyuan (G) Fuchsia mudstone of the Jurassic Ruqu formation, Zijinshan (H) Hujiacun Stage of Yanchang Formation, Zijinshan (I) Gray-black silty mudstone of the Permian Shihezi 1-2 Formation, Qinyuan.

study area (Figure 5B) (Ramseyer and Boles, 1986). The distribution of clay mineral content shows that the basin has experienced a high paleogeothermal evolution process.

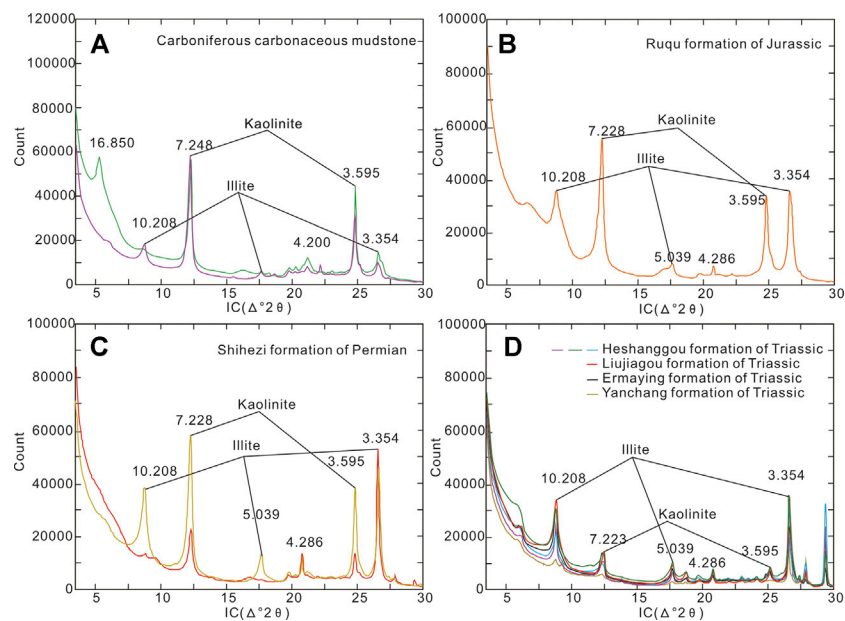
Through SEM observation (Figure 6 and Supplementary Material), except for the upper Paleozoic Carboniferous Hutian section, the interlayer illite of mudstone and sandy mudstone in other layers is the main clay mineral of most samples. Illite associated with illite/smectite (I/S) and illite without I/S can be observed in different horizons, we can identify illite in four forms according to microstructure: ①. Strip illite associated with I/S (Figure 6A). ②. Feather and filamentous illite associated with I/S (Figure 6D). ③. Feather and Bridge illite (Figure 6E). ④. Rosette illite (Figure 6I).

The illite energy spectrum is characterized by Al/Si ratio is close to 0.8 and high K content, and the main elements are O, Al, Si, and K, and the K/Al ratio is close to 0.4 (Uysal, 2000; Uysal et al., 2000, 2001, 2006; Zhu et al., 2021). I/S ( $R = 3$ ) mainly appears in the form of cotton floc (Figures 6A,G), and its energy spectrum is characterized by K/Ca content close to 0.5 and Al/Si ratio close to 0.5 (More details

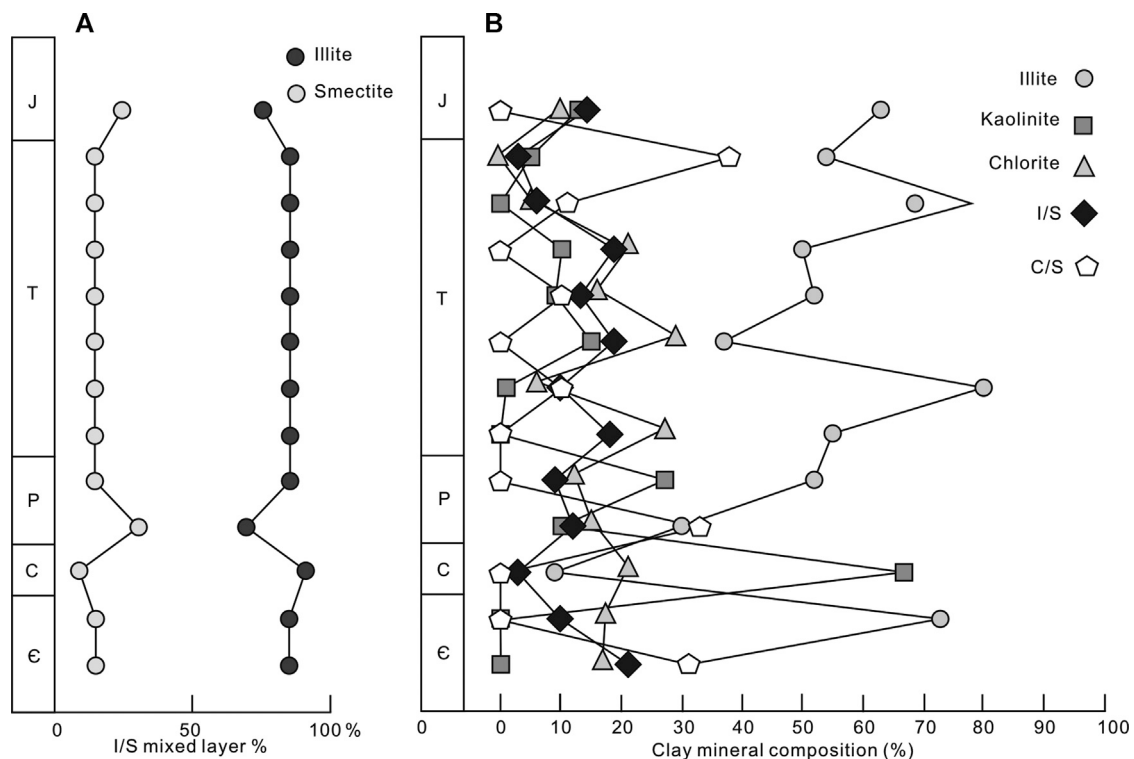
in the Supplementary material). Chlorite mainly occurs in the Shihezi Formation of Upper Paleozoic and Heshanggou formation of Lower Triassic of Mesozoic. Leaf-like (Figure 6C) and needle/layered liked chlorite can be observed, and its energy spectrum is characterized by high Fe content, and the Fe/Si ratio is greater than 0.5 (Figure 6F). Kaolinite is mainly observed in the maroon iron clay rock of the upper series of the Hutian section of the Carboniferous in the upper Paleozoic, and it is hard to find kaolinite in the other horizons, which is in the form of vermicular porous (Figure 6B), and its energy spectrum characteristics show that the elements only contain O, Al, and Si, with Si/O  $\sim 1$ , the Si/Al  $\sim 0.8$  (More details in the Supplementary material). It can be observed that kaolinite rarely contains other clay mineral components (Uysal, 2000; Uysal et al., 2000, 2001, 2006; Zhu et al., 2021).

In the SEM experimental observation, it is obvious that illite is the main component of sandstone interlayer clay minerals, and the other clay mineral components are relatively rare, indicating that the higher paleogeothermal temperature is conducive to the formation of illite in the process of basin evolution. Illite associated with I/S





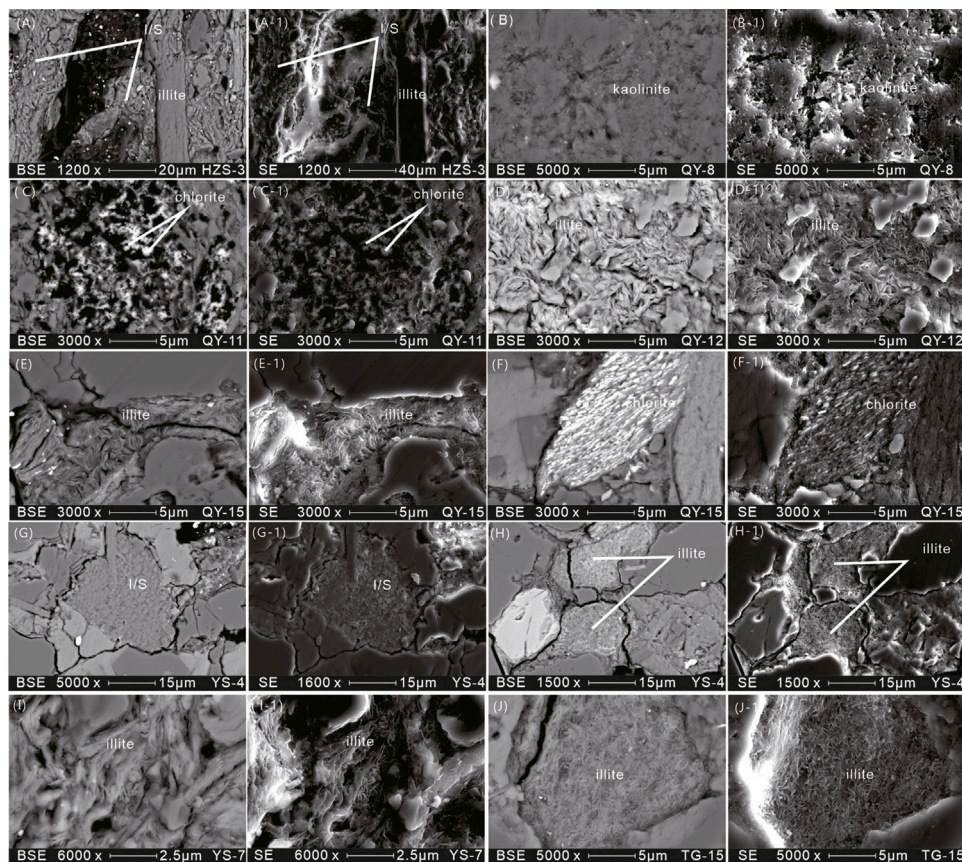
**FIGURE 4 |** X-ray diffraction of illite in central Qingshui Basin. **(A)** Carboniferous carbonaceous mudstone. **(B)** Ruqu formation of Jurassic sample. **(C)** Shihezi formation of Permian sample. **(D)** Triassic formation sample.



**FIGURE 5 |** Distribution of crystallinity and clay minerals map **(A)** Illite content and stratum change diagram in I/S layer **(B)** Clay mineral and stratum distribution diagram.

may be authigenic illite, but it may be affected by grinding flakes resulting in the formation of imperfect plates. However, it can be seen that many illite crystals growing alone have good crystal forms, showing rose and feather crystal forms (Figure 6I). Generally, illite

with good crystal form represents the authigenic illite, but the crystalline morphology of terrigenous clastic illite is generally poor and is easy to be damaged in the process of long-distance transportation.



**FIGURE 6 |** The “(A)” represents BSE section photomicrographs and “(A-1)” represents SE section photomicrographs, which are the same structure, and so are other pictures. (A) and (A-1) illustrating textural features of cotton wadded I-S ( $R = 3$ ) and strip illite in Cambrian mudstone (HZS-3). (B) and (B-1) illustrating textural features of vermicular porous kaolinite in maroon iron clay rock of the Carboniferous Hutian section (QY-8). (C) and (C-1) illustrating textural features of blade chlorite in Grayish black mud shale of the upper Paleozoic Shihezi Formation (QY-11). (D) and (D-1) illustrating textural features of feathery and filamentous illite in Grayish black silty mudstone of the upper Paleozoic Shihezi Formation (QY-12). (E) and (E-1) illustrating textural features of feathery and bridged illite in the purple-red mudstone of Lower Triassic Heshanggou Formation of Mesozoic (QY-15). (F) and (F-1) illustrating textural features of needle-shaped and laminated chlorite in the purple-red mudstone of Lower Triassic Heshanggou Formation of Mesozoic (QY-15). (G) and (G-1) illustrating textural features of cotton wadded I/S ( $R = 3$ ) in mudstone of the Lower Triassic Heshanggou Formation of Mesozoic (YS-4). (H) and (H-1) illustrating textural features of ribbon-packed, strip-shaped illite in mudstone of the Lower Triassic Heshanggou Formation of Mesozoic (YS-4). (I) and (I-1) illustrating textural features of roseate illite in purple mudstone of the Middle Triassic Ermaying Formation of Mesozoic (YS-7). (J) and (J-1) illustrating textural features of filamentous and feathery illite in purple mudstone of the Upper Triassic Yanchang Formation of Mesozoic (TG-15).

## Paleotemperature Restoration

### Paleotemperature Restored by Vitrinite Reflectance

The vitrinite reflectance of the Qincan 1 well is between 1.0 and 2.5% at the range of 500–1,000 m depth (Supplementary Table S3). The evolution degree of organic matter belongs to high maturity or over-maturity, combined with low current geothermal gradient, indicating that the basin has experienced high paleotemperature.

Based on the strong correlation between vitrinite reflectance and maximum paleotemperature, the maximum paleotemperature that the stratum experienced can be calculated (Barker et al., 2016; Yang et al., 2017a, 2020). According to the relationship between the maximum paleotemperature and the burial depth, we can determine the paleo-gradient, the relationship between  $\ln(R_o)$  and  $T_{max}/^{\circ}\text{C}$  is calculated as follows:

$$\ln(R_o) = 0.0096T_{max} - 1.4$$

According to this formula, the relationship between the maximum paleotemperature and depth of the Qincan 1 well

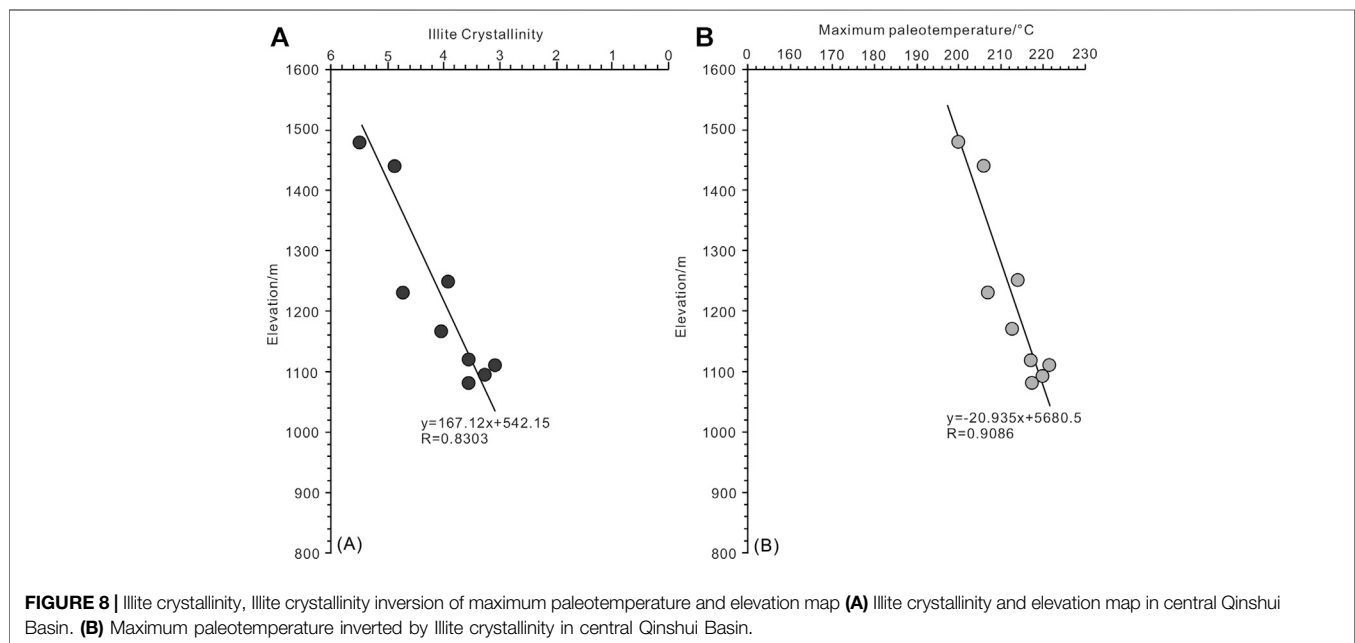
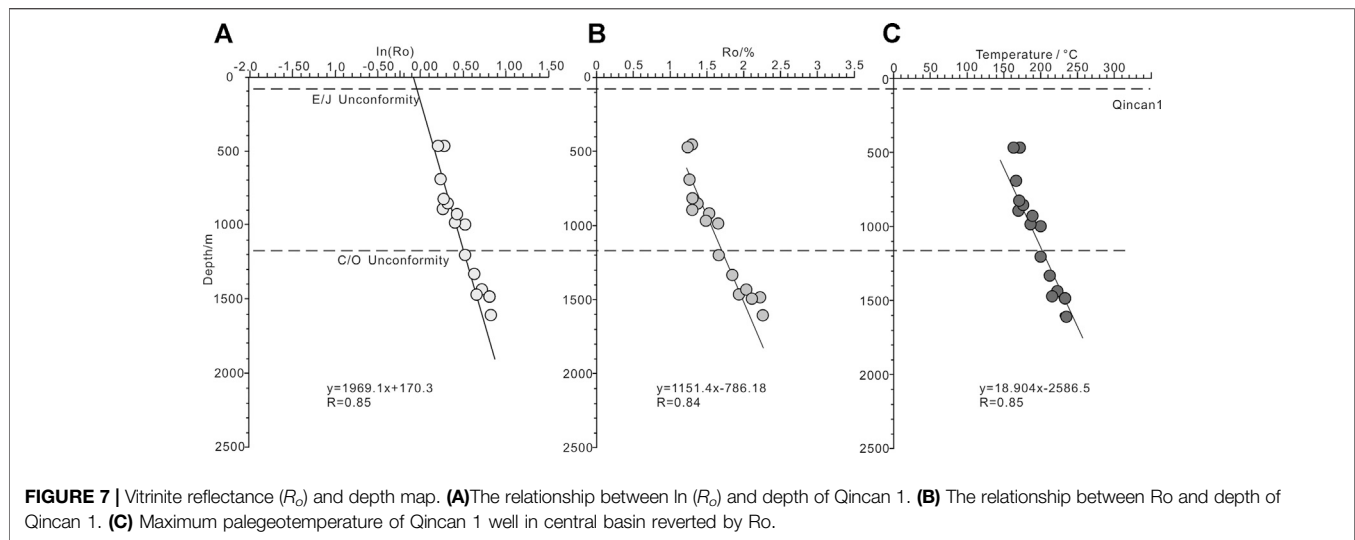
in the central part of the Qingshui Basin can be obtained (Supplementary Table S3; Figure 7).

According to Vitrinite reflectance data from the Qincan 1 well, we calculated the maximum paleotemperature gradient to be  $6.5^{\circ}\text{C}/100\text{ m}$ , however, the current geothermal gradient of the Qincan 1 well is  $2.4^{\circ}\text{C}/100\text{ m}$ , which means the paleotemperature gradient is significantly higher than the current geothermal gradient (Figure 7).

### Paleotemperature Restored by Illite Crystallinity

We tested the illite crystallinity of mudstone samples from Permian to Triassic, which we also calibrated with international standard samples.

According to Supplementary Table S1, we observed a correlation between the age of the stratum and the altitude; when altitude decreases and formation ages get older, the illite crystallinity of outcropping samples in the field gradually decreases, implying that the paleotemperature gradually increases. Therefore, there is a strong correlation between the



sampling altitude and the maximum paleotemperature calculated by illite crystallinity (Figure 8).

The characteristics of illite crystallinity of mudstone in the central Qinsui Basin decrease from top to bottom, indicating that the basin and surrounding orogenic belt were continuously uplifted and denuded to deeper and higher metamorphic degrees after the Late Triassic. For some of the samples, illite crystallinity is between 0.25 and 0.42°, it belongs to low-grade metamorphism, reflecting the characteristics of illite of denuded Precambrian metamorphic around the basin. Combined with the high content of illite in the I/S mixed layer (Figure 5A) and moderate contents of kaolinite in the clay minerals (Figure 5B), this indicated that the clay minerals in the mudstone were affected by a high-temperature geothermal field.

Illite/smectite (I/S) mixed-layer minerals are the most widely distributed mixed-layer clay minerals in sedimentary rocks. It is produced due to the mixing of the two terminal elements of illite and smectite in different proportions. Experimental studies have confirmed that when the temperature or depth increases, the proportion of the illite layer in the I/S layer gradually increases (Ramseyer and Boles, 1986). This is associated with the gradual transformation of the mixed layer from a disordered to an ordered structure. The degree of order of various types of I/S layer mineral structure and its existence temperature ranges are respectively (Harvey and Browne, 1991; M, 1993):

$R = 0$  type,  $R_0$  I/S, illite content <60%, stable temperature <140°C;  $R = 1$  type,  $R_1$  I/S, illite content 60–85%, stable temperature 100–180°C;  $R = 3$  type,  $R_3$  I/S, illite content >85%, stable temperature >190°C; The relationship between



the crystallinity of illite and its formation temperature has already been established in previous studies from Ji (2000). Our work relies on these results to calculate the formation temperature of authigenic illite. The relationship between the crystallinity of authigenic illite ( $IC^\circ$ ) and its formation temperature ( $T^\circ C$ ) is as follows:

$$T = 384.98e - 0.6219 \times IC$$

To use crystallinity of illite minerals (including I/S minerals), recovery paleotemperatures, and stratum denudation depths, we considered the distribution of outcrop strata and studied two sections, the paleo-geothermal temperature of illite crystallinity recovery is between 200 and 220 °C (**Supplementary Table S1**); overall, the temperature increases with the age of the stratum, and decreases with the sample elevation.

## Erosion Thickness Restoration

### Erosion Thickness Restored by Vitrinite Reflectance

With the increase of burial temperature, the degree of the thermal evolution of organic matter gradually increases, and the value of vitrinite reflectance ( $R_o$ ) increases. However, the thermal evolution process of vitrinite reflectance is irreversible; in other words, when a formation is uplifted and cooled, the Vitrinite reflectance value will not decrease as the temperature decreases. Therefore, through a certain conversion relationship (Barker and Pawlewicz, 1986), it is possible to restore the highest ancient geothermal temperature reached in the geological period recorded by vitrinite reflectance. Based on the vitrinite reflectance distributed in the upper and lower structural layers of the unconformity, Dow (1977) used a different method to estimate the denudation thickness of a certain period for a formation. The application of the vitrinite reflectance difference method in the research fields of basin subsidence history, tectonic evolution history, or tectono-thermal evolution history, has shortcomings, as explained by several authors (Chen et al., 1999; Hu et al., 1999; Tong et al., 2005; Tong and Zhu, 2006), who made reasonable improvements, which we as describe below.

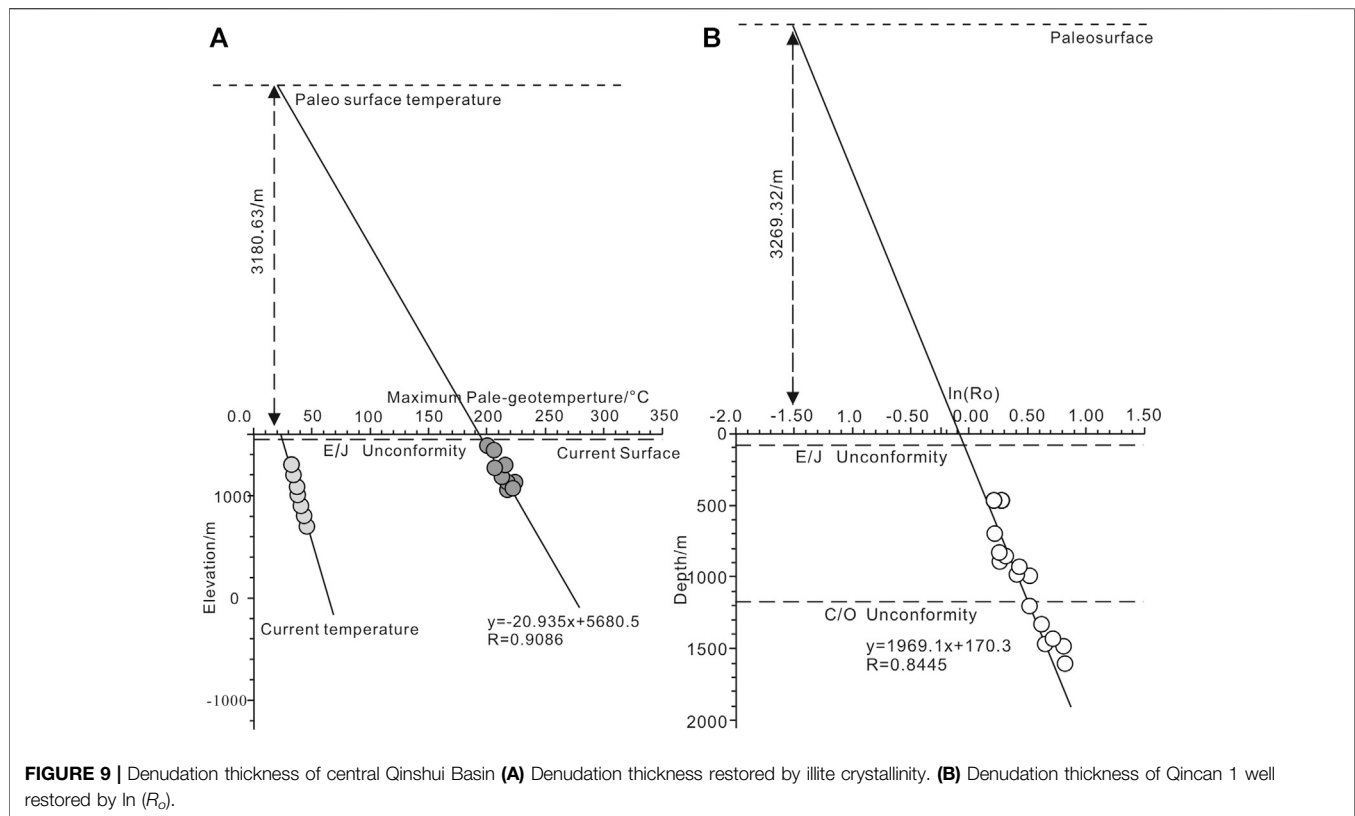
Hu et al. (1999) pointed out that the method proposed by Dow (1977) to directly estimate the uplift scale and denudation amount of strata by using the Vitrinite reflectance data above and below the unconformity surface lacks theoretical basis, and the results obtained by this method does not correspond to the represented denudation thickness but is equivalent to the loss amount of strata caused by normal fault dislocation. Chen et al. (1999) considered that the effect of reburial on the vitrinite reflectance distributed above and below the unconformity surface is different, and considered that in the early stage of reburial, the vitrinite reflectance in the upper part of the unconformity surface changes greatly, while that in the lower part of the unconformity surface it changes less. When the reburial process reaches a certain extent, the difference between the vitrinite reflectance above and below the unconformity surface will become smaller and smaller, even unable to be distinguished, which brings some difficulties to estimating the denudation thickness by using the alternate method by Dow (1977). Therefore, Chen et al. (1999)

proposed a vitrinite reflectance method based on the Dow (1977) method to restore the denudation thickness. Based on the theory of Dow (1977), Chen et al. (1999), Tong et al. (2005), and Tong and Zhu. (2006) further developed the maximum paleotemperature method which links the denudation thickness and Vitrinite reflectance value. First, this new method has the advantage of being similar in the determination of the highest paleotemperature, and second, it has a simple calculation process. We reconstructed the denudation thickness by using the vitrinite reflectance distributed in the underlying stratum of the unconformity. Based on vitrinite reflectance, we also established a linear regression relationship between Vitrinite reflectance and the depth, and by extrapolating it to  $\ln(0.2) = 1.61$ , we obtained the approximate paleo-surface elevation. The elevation difference between the paleo-surface and unconformity is the denudation thickness of the formation. Through this method, we estimated the denudation thickness of Jurassic formation in the Qincan 1 well to be ~3,269 m (**Figure 9**).

### Erosion Thickness Restored by Illite Crystallinity

The principle of using paleogeotemperature and paleotemperature gradient to estimate the denudation thickness of the formation is similar to the calculation of the denudation thickness by vitrinite reflectance, both being based on the difference between paleo-surface and current surface. Additionally, we can use the diversity of the paleotemperature and the current temperature for a similar depth to reconstruct the denudation thickness (**Figure 9**). Firstly, we should calculate the paleo-gradient using both paleotemperature and depth data (**Supplementary Table S1; Figure 9**). Concerning heat balance basin (the paleo-gradient is similar to the current gradient), we use the difference between paleogeotemperature and the current temperature at denudation surface or stratum interface to calculate the denudation thickness by the relationship between geotemperature and depth (Dow, 1977). For our purposes, we should first apply heat balance correction for the heat imbalance basin, in other words, we should subtract the temperature drop caused by the nature of the basin, cooling from paleogeotemperature to the current geotemperature, and then calculate the ablation thickness based on geotemperature difference between paleogeotemperature and current geotemperature at the denudation surface (Dow, 1977). Here, the ablation thickness represents the height difference between ancient and present surfaces (**Figure 9**).

Such corrections, as described above, must be applied in the case of the Qingshui Basin, an imbalance basin, since the paleotemperature gradient is much higher than the present gradient. Therefore, we use the paleotemperature data (**Supplementary Table S1**) which was calculated by illite crystallinity from mudstone in the Zijinshan mountain section, and by extrapolation on the graph of temperature vs depth, we obtain the trend line of paleotemperature gradient (**Figure 8**). By comparing the current geotemperature gradient with heat balance correction, we calculate an erosion thickness of ~3181 m (**Figure 9**).



**FIGURE 9 |** Denudation thickness of central Qingshui Basin **(A)** Denudation thickness restored by illite crystallinity. **(B)** Denudation thickness of Qincan 1 well restored by  $\ln(R_o)$ .

## Burial History and Tectono-Thermal History Restoration

Based on the reconstruction of the maximum paleotemperature of the basin, the tectono-thermal history of well Qincan 1 was simulated by the software Basin Mod 1D (Figure 10). The software includes several models, such as burial history, tectono-thermal history, hydrocarbon generation history, migration, and accumulation history. In this study, we mainly use the Basin Mod 1D module to simulate the burial history and tectono-thermal history of the Qingshui basin.

Firstly, the relationship between vitrinite reflectance and depth is used to calculate the denudation thickness since the Early Cretaceous thermal event (Figure 9), estimated at 3,269.32 m. Secondly, combined with geological parameters such as stratum stratification data of the Qincan 1 well and the geological background in the middle of Qingshui Basin, the burial history curve of the Qincan 1 well is established. According to the apatite fission-track (AFT) study results (Zhu et al., 2014), the AFT data (Zhu, 2013) reflect the uplift process since the Early Cretaceous. Finally, by adjusting the amount of strata denudation and geothermal gradient, the simulated Vitrinite reflectance should be consistent with the measured Vitrinite reflectance, and the accurate tectono-thermal history evolution path can be obtained.

From Figure 10, it appears clear that the change of geothermal flow can be divided into two stages. The first stage is characterized by a large geothermal flow of  $\sim 68 \text{ mW/m}^2$  in the Early Carboniferous, and slowly decreasing to  $60 \text{ mW/m}^2$  in the Late Triassic; the second stage corresponds to a geothermal

flow gradually rising, reaching a peak value of  $84.8 \text{ mW/m}^2$  in the Early Cretaceous, and then decreasing to  $61.69 \text{ mW/m}^2$  at the present-day.

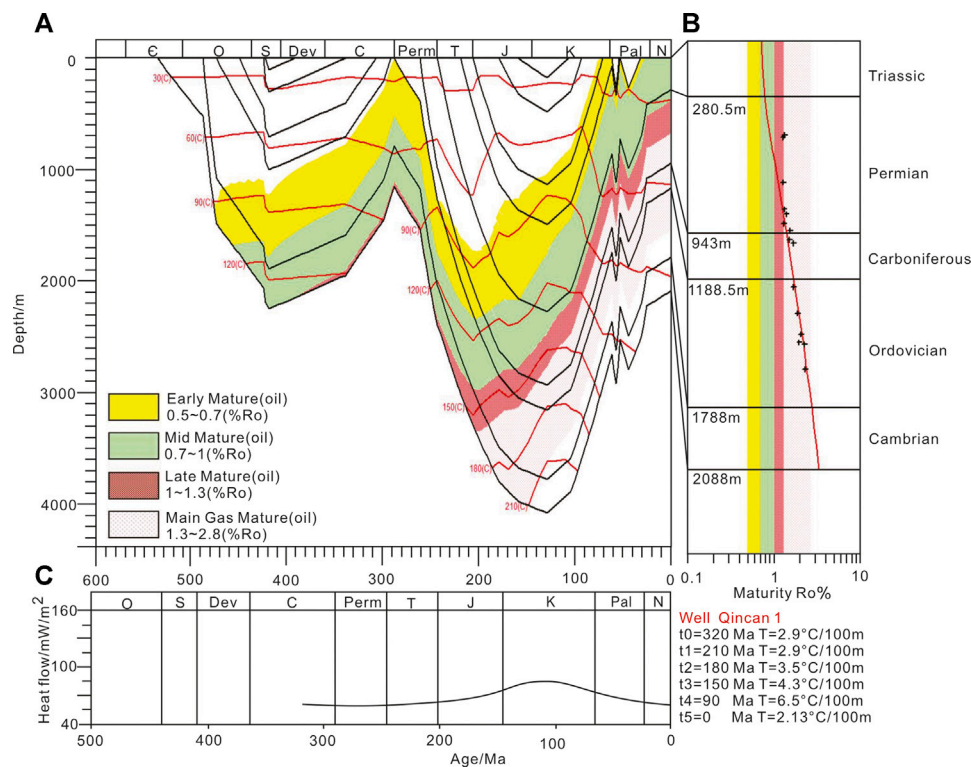
According to simulations, the evolution of the paleogeotemperature gradient is also divided into two stages. The first stage, from the Early Carboniferous to the Late Triassic, is relatively stable at  $2.9^\circ\text{C}/100 \text{ m}$ , and a second stage, taking place after the Late Triassic, where the paleogeotemperature gradient increased to a maximum value of  $6.51^\circ\text{C}/100 \text{ m}$  during the Early Cretaceous (Figure 10). According to the burial history (Figure 10), the basin reached its maximum depth at the Early-Middle Cretaceous, and gradually uplifted from the Middle-Late Cretaceous. The relationship between the simulated vitrinite reflectance curve and the measured vitrinite reflectance curve fit well, indicating that the tectono-thermal history recovery is reliable.

## DISCUSSION

### Separation of Illite

If the separation of illite is not so pure, the determined value of the crystallinity of illite may include some bias due to the presence of detrital illite. Detrital illite refers here to terrigenous illite detritus deposited concomitantly with sediments, mainly from metamorphic illite originating from the study area. Consequently, the paleo-geotemperature reflected by the crystallinity of illite may not reflect the paleo-geotemperature of the source strata, but the provenance. Therefore, the separation and





**FIGURE 10 |** Recovery of burial history and tectono-thermal history of Qincan 1 well in central Qingshui Basin. **(A)** Burial history and tectono-thermal history of Qincan 1 well. **(B)** Fitting relationship between measured Ro and simulated Ro. **(C)** Geothermal flow evolution in different periods of Qincan 1 well.

purification of authigenic illite is an important step to avoid the presence of detrital illite. To determine the crystallinity of authigenic illite, we must separate the authigenic illite from sandstone, mudstone, fault gouge, and other types of rock samples first, then remove the non-authigenic illite components from the rock samples and finally extract the authigenic illite.

As explained, the separation of authigenic illite will have a direct influence on the quality of the testing results; separation and purification of illite are essential to maximize the complete removal of detrital K-bearing minerals such as detrital K-feldspar and detrital illite. Moreover, the authigenic illite should also be enriched to the greatest extent, whereas the content of kaolinite, chlorite, smectite, and other non-authigenic illite components should be reduced to the greatest extent. Judging from the current situation, the only effective way to achieve this goal is to extract more fine-grained clay components.

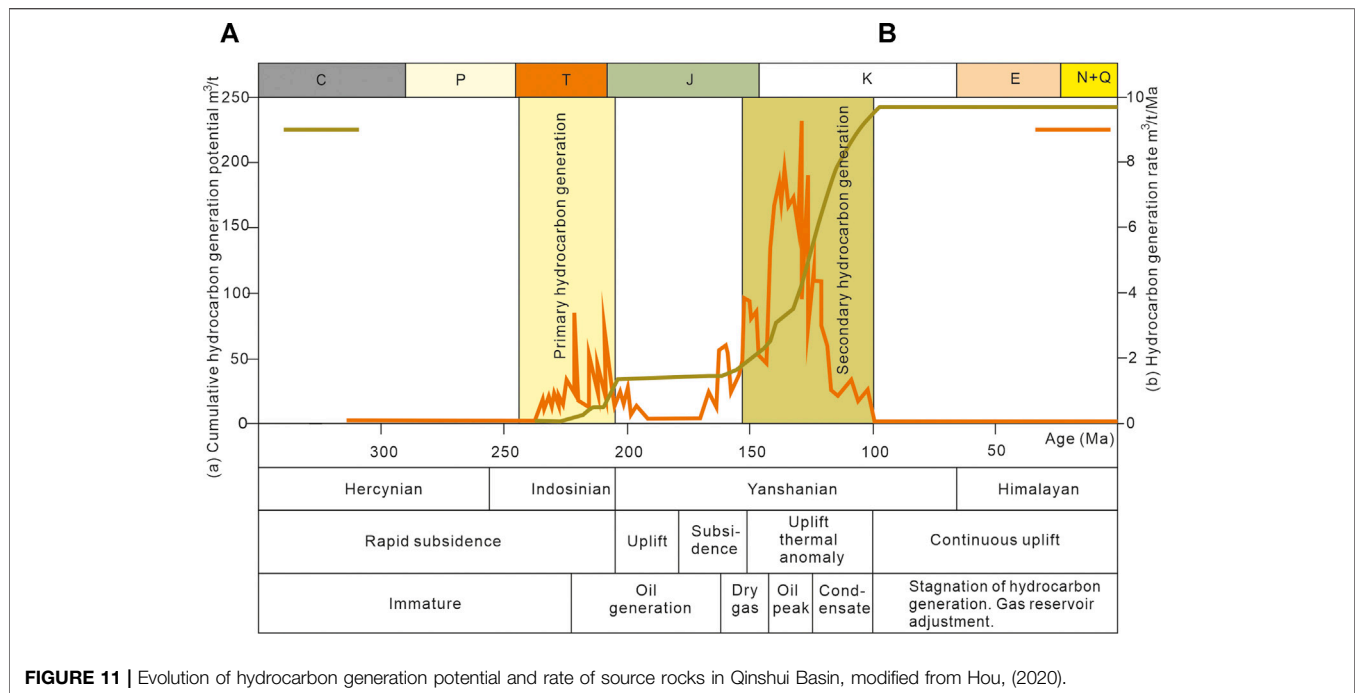
The separation of illite is quite challenging for two reasons. Firstly, the separation of authigenic illite requires a fine particle size. Conventional clay separation generally requires the extraction of  $<2\ \mu\text{m}$  components, while the separation of authigenic illite requires at least particle size of  $<0.3\ \mu\text{m}$ ; Secondly, the separation of authigenic illite requires successive separation steps to extract different continuous fractions simultaneously, e.g.,  $1\text{--}0.5\ \mu\text{m}$ ,  $0.5\text{--}0.3\ \mu\text{m}$ ,  $0.3\text{--}0.15\ \mu\text{m}$ , and  $<0.15\ \mu\text{m}$ . The separation and purification of illite are generally limited by instruments and equipment, and the separation was carried out to fraction sizes of  $0.5\ \mu\text{m}$ . Therefore, the clay minerals finally separated and

purified may contain some detrital illite, chlorite, kaolinite, and other clay minerals, which might affect the test results. According to the XRD patterns (Figure 6 and Figure 4), we can observe diffraction patterns characteristics of chlorite and kaolinite, which therefore indicate that the separation of early authigenic illite is not completely pure. Consequently, the calculated illite crystallinity might include detrital illite crystallinity, which might have an impact on the paleotemperature inversion calculation. But through the SEM (Supplementary Material, Figure 6), Most of the clay minerals in the sandstone interlayer are illite with a good crystal shape (Figure 6), and some illites are associated with I/S (Figure 6A), indicating that there are many authigenic illites to some extent.

## Tectono-Thermal Event Time and Coalbed Methane Accumulation Period

According to the calculation results of the illite crystallinity and the vitrinite reflectance of well Qincan 1, a strong tectono-thermal event occurred in the basin. As a result, the maximum ancient geothermal gradient is  $6.5^\circ\text{C}/100\text{m}$ , which is larger than the current geothermal gradient of  $2.4^\circ\text{C}/100\text{m}$ , and the maximum paleo-geotemperature was around  $180\text{--}190^\circ\text{C}$  as recorded at the Carboniferous strata.

Previous studies have attempted to determine the occurrence time of tectono-thermal events in Qingshui Basin; the fission-track age of apatite, for example, is estimated at  $\sim 20\text{--}40$  Ma, and the



zircon fission-track age is ~100–150 Ma (Ren et al., 2005; Zhu et al., 2014). If the fission-track age of zircon happened to be smaller than the age of the formation, it would indicate that the formation temperature was once greater than 250 °C and that the zircon has undergone annealing (Hurford, 1986; Fitzgerald and Gleadow, 1988; Yan et al., 2010; Malusà and Fitzgerald, 2019). Secondly, the isotope age determination results of the igneous rocks around the Qingshui Basin indicate that the time of magma intrusion and eruption is between the Late Jurassic and Early Cretaceous. For example, the Taershan-Erfengshan alkaline complex, which is in the southwest of the Qingshui Basin, has an Ar-Ar age of about  $124 \pm 0.3$  Ma. In addition, the U-Pb age of the Wanrong-Gufeng Mountain in the southern Qingshui Basin ranges between  $127 \pm 1$  Ma– $140.5 \pm 5.3$  Ma (Yan et al., 1988; Shanxi, 1989; Wu et al., 1996, 2008; Ying et al., 2011; Si, 2015; Huo, 2016; Yang, 2016; Chang et al., 2017; Yang et al., 2017). It shows that the tectono-thermal events mainly occurred in the Late Jurassic to the Early Cretaceous period (More details in **Supplementary Table S4** and **Supplementary Figure S1**).

Among the geological factors, thermal field, tectonic stress field, hydrodynamic field, and formation conditions have an important influence on the formation of coalbed methane (Wu et al., 2014). The coal deposits in the Qingshui Basin began to form in the Late Carboniferous and gradually ceased when the delta deposits were formed in the early Permian (Sun et al., 2005; Ma et al., 2016; Chen et al., 2019; Yu et al., 2020a). The subsidence of the basin lasted until the Late Triassic when the temperature of the coal seam reached 135°C (the burial depth was up to 4 km). Influenced by the increase of temperature, the first stage of methane generation began, and the vitrinite reflectance maturity of the coal seam reached a medium level ( $R_o \approx 1.2\%$ ) (Zeng et al., 1999; Cai et al., 2011). Then, the subsequent Indosinian orogenic movement caused the uplift and

inversion of the basin during the Early Jurassic, and it remained in a state of slow deposition until the Late Jurassic (Zeng et al., 1999; Ma et al., 2016). At the same time, the exposed rocks around the Qingshui Basin, which include Taershan, Huyanshan, Zijinshan, Erfengshan, Gufengshan, etc, started to form (Yan et al., 1988; Shanxi, 1989; Wu et al., 1996, 2008; Ying et al., 2011; Si, 2015; Huo, 2016; Yang, 2016; Chang et al., 2017; Yang et al., 2017). It can be seen that there was a tectono-thermal event represented by intense magmatic activity in the Late Jurassic to Early Cretaceous, which is generally considered to be closely related to the secondary gas peak of coal seams in the basin at the same time (**Figure 11**) (Zeng et al., 1999; Xu et al., 2004; Jiang et al., 2005; Ren et al., 2005; Sun et al., 2005; Duan et al., 2011; Chen et al., 2019, 2019; Yu et al., 2020a; Gao et al., 2021).

From Late Jurassic to Early Cretaceous, magmatic thermal events caused rapid temperature increase of coal measures. A large amount of hydrocarbon was generated by coal measures, with coal rock as the main source of hydrocarbon generation. The rapid increase of gas led to hydrocarbon generation pressurization in the coal body (Ju et al., 2018); furthermore, the increase of temperature led to the expansion of gas to form abnormal high pressure (**Figure 11**).

## Response of Thermal Events in Qingshui Basin to Destruction of NCC

The tectono-thermal event in Qingshui Basin between the Late Jurassic to Early Cretaceous was associated with the large-scale magmatic eruption and the formation of the Ultra-High-Pressure metamorphic belt (Yan et al., 1988; Shanxi, 1989; Wu et al., 1996; Zhang, 1997, 2002; Wu et al., 2008; Ying et al., 2011; Chen and Ding, 2012; Si, 2015; Huo, 2016; Yang,

2016; Chang et al., 2017; Yang et al., 2017; Yu et al., 2020a). Combined with the estimation of the maximum paleotemperature and paleotemperature gradient of illite crystallinity and vitrinite reflectance, the deep mantle of the basin was active during the Late Jurassic–Early Cretaceous with the lithosphere thinned and led to the formation of a high geothermal background value of mantle upwelling, which was the main reason for the tectono-thermal event and uplift denudation event of the basin in this period.

Previous studies have shown that the thickness of the thermal lithosphere of the present orogenic belt in the central part of the NCC is about 76–90 km (He et al., 2001; Wang et al., 2001; Zhang et al., 2006; Zhang, 2012). Based on their data, these authors estimated that the thickness of the thermal lithosphere of the Qingshui Basin in the Early Cretaceous was about 50–60 km, indicating that the lithosphere of the basin was thinned during the Early Cretaceous. At the same time, previous studies suggest that the NCC experienced strong lithospheric thinning and lithospheric mantle property transformation during the Mesozoic (Menzies et al., 1993; Griffin et al., 1998; Xu, 2001; Wu et al., 2008), accompanied by strong magmatism (F. Wu et al., 2005a; F.-Y. Wu et al., 2005b; Yang et al., 2008). Combined with the magmatism around the Qingshui Basin, we suggest that the lithospheric thinning in the Qingshui Basin is controlled by the thinning and destruction of the NCC.

Previous investigations have been conducted on the destruction of the NCC (Zhu et al., 2012, 2017; Yang et al., 2021b). According to Zhu et al. (2017), at 165 Ma, from the beginning of the Middle Jurassic Yanshanian movement episode A, the West Pacific plate subducted to the East Asian continental margin with a high speed and low angle, the tectonic environment in Qingshui Basin, therefore, corresponds to a compression environment, and angular unconformity events were generally developed. Starting from the Late Jurassic at 155 Ma, the western Pacific plate subducted from a low angle to a high angle subduction toward the edge of the East Asian continent (Zheng et al., 2018). With the rotation and retreat of the subducted plate, the corresponding tectonic environment of the Qingshui Basin became extensional, and magmatic activity began to appear; during this period, the Taihang Mountains began to uplift, and the eastern part of the Qingshui Basin as the western wing of the Taihang Mountains began to fold and uplift (Zhu, 2013). Accompanied by strong magmatism, the Late Paleozoic coal-measure strata experienced a high-temperature heating process. The regional tectonic stress field of the basin during the Middle-Late Jurassic is consistent with that of the whole of eastern China. The NNE trending folds are the most developed in the basin, covering the whole area on a large scale, reflecting the horizontal compressive stress field in the direction of NWW-SEE in the Yanshanian period. Under the action of this stress field, a wide and gently deformed syncline, Qingshui Basin, is formed (Zhu et al., 2015). At 140 Ma, the Yanshanian movement episode B began in the early Cretaceous, and the subduction angle of the Western Pacific plate to the East Asian continental margin began to decrease again. The tectonic

environment corresponding to the Qingshui Basin was compressional, and crustal shortening, folding and stratigraphic angular unconformity events were widely developed (Zheng et al., 2018). From 125 Ma in the Early Cretaceous, the subduction angle of the Western Pacific plate to the East Asian continental margin changed to a high angle again, and the rate of subduction, rotation, and retreat reached the maximum, and finally left a retentive body in the mantle transition zone (Zhu et al., 2015). The corresponding area of Qingshui Basin, which was subjected to compression, thrust, and folds uplifting, began to develop structural inversion and normal faults. Since the late Cretaceous, the young and buoyant paleo Western Pacific plate gradually entered the subduction zone, and magmatic activity in the corresponding area of the Qingshui Basin gradually ceased, and the extensional tectonic activity was much weaker than that of the early Cretaceous (Shanxi, 1989). Throughout the Cretaceous period, there was a major structural system transition in eastern China, that is, the early continental collision and compression thrust structures were transformed into extensional structures, and the eastern NCC and Ordos basin became active with the lithosphere thinned (Ren et al., 2002; Chen and Ding, 2012; Yu et al., 2020b).

The Qingshui Basin is a transitional zone between rift basins in the east of the NCC and the Ordos Basin in the West, the thinning of the lithosphere and crustal extension also affected this area, forming a small amount of magmatic activity. The basin was subjected to weak NW-SE extensional tectonism and formed a series of small to normal faults based on the Yanshanian conjugate joints. Previous studies have shown that the deep cause of the early Cretaceous tectono-thermal events and the uplift and denudation events in the Qingshui Basin is mainly due to the subduction of the western Pacific plate into the deep part of the NCC, and the current low geothermal gradient in the Qingshui Basin is also affected by the withdrawal of the West Pacific Plate. Combined with the results of previous studies (Ren et al., 2020), our work suggests that the Qingshui Basin experienced a tectono-thermal event during the Late Jurassic to Early Cretaceous which is in response to deep lithospheric thinning of the NCC.

## CONCLUSION

Paleotemperature and denudation restoration by vitrinite reflectance and illite crystallinity shed new light on the tectono-thermal history and mechanisms for the evolution of the Qingshui Basin of the NCC, which also expounded the generation mechanism of coalbed methane and its response to the destruction of the NCC from the tectono-thermal history perspective. The Qingshui basin experienced a tectono-thermal event during the Late Jurassic–Early Cretaceous. The deep reason for this thermal event may be that relate to the subduction of the Western Pacific Plate to the East Asian Continent resulting in the upwelling of deep asthenosphere material and the thinning of lithosphere thickness in the Qingshui area. During the Late Jurassic–Early Cretaceous, the maximum pale-geotemperature and the highest pale-geotemperature gradient are 200°C, and

6.5°C/100 m, respectively, which is the main control on the scale of hydrocarbon generation.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

RL: Conceptualization, Methodology, Software, Experiment, Writing—original draft, Writing—review and editing. ZR: Modeling, Supervision, Funding acquisition. PY: Supervision, Conceptualization, Writing—original draft, review and editing. HH: Supervision, Funding acquisition. TS: Writing—review and editing. WG: Writing—original draft, Writing—editing. WL: Software, Experiment.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2021.765497/full#supplementary-material>

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# Genetic Mechanism and Environment Implications of Siderites in the Lopingian Coal-Bearing Series, Western Guizhou of China: Constrained by Whole-Rock and *In Situ* Geochemistry

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A large number of siderites have been found in the Lopingian (Late Permian) coal-bearing series in western Guizhou, which occurs in various microscopic morphologies and has potential insights into the sedimentary and diagenetic environments. An integrated set of analyses, such as microscopic observation; X-ray diffraction; whole-rock major and trace element, carbon, and oxygen isotope; and *in situ* major and trace element, has been carried out to unravel the genetic mechanism of the siderites and their environmental implications. According to the microscopic morphology, the siderites can be generally divided into three types and six subtypes, including gelatinous siderites (I), microcrystal-silty siderite [II; microlite siderites (II<sub>1</sub>), powder crystal siderites (II<sub>2</sub>)], and spheroidal siderite [III, petal-like siderite (III<sub>1</sub>), radiating fibrous siderite (III<sub>2</sub>) and concentric siderite (III<sub>3</sub>)]. Whole-rock geochemical results show that the iron source for the formation of the siderites was mainly from extensive weathering of the Emeishan high-titanium basalts in hot climate conditions. The carbon and oxygen isotopic results indicate that the origin of CO<sub>2</sub> in type I siderites is derived from the dehydroxylation of organic matter. The CO<sub>2</sub> in types II<sub>1</sub> and II<sub>2</sub> siderites is mainly derived from deposited organic matter and marine carbonate rocks, respectively. The CO<sub>2</sub> source of type III siderites is sedimentary organic matter and marine carbonate rocks and is affected by different fluids during diagenesis. The whole-rock and *in situ* geochemical characteristics further point to that type I siderites were formed in the synsedimentary period most strongly affected by seawater. Redox proxies, such as V/Sc, V/(V+Ni), and  $\delta$  Ce, constrained their formation in a stable and weakly reduced condition. Type II siderites could have been developed in saltwater. Among them, type II<sub>1</sub> siderites were formed in the early diagenetic stage, whereas type II<sub>2</sub> siderites originated from recrystallization of type II<sub>1</sub> siderites and accompanied by metasomatism with calcites under diagenetic fluids of weak reduction to weak oxidation conditions. Type III siderites were formed under the influence of multistage diagenetic fluids. Among them, type III<sub>1</sub>

siderites formed by the growth of powder crystal siderites (II<sub>2</sub>) under diagenetic fluids with a weak reducing condition. Type III<sub>2</sub> siderites formed by growth around microlite siderites under weak reducing diagenetic fluids. Type III<sub>3</sub> siderites formed by concentric growth in diagenetic fluids with weak reduction to weak oxidation conditions and relatively active conditions.

**Keywords:** siderites, sedimentary environments, diagenetic environments, coal-bearing series, Lopingian, western Guizhou

## 1 INTRODUCTION

Siderite is a common carbonate mineral, and sedimentary siderite is formed by complex reactions of iron and organic matter precipitated during quasi-syngensis (Sánchez-Román et al., 2014; Wittkop et al., 2014; Weibel et al., 2016). Siderite mostly forms in sediments with organic-rich, low Eh (oxidation–reduction potential), weak oxidation to weak reduction conditions, high alkalinity, and low sulfur concentrations (Berner, 1981; Mozley, 1989; Haese et al., 1997; Uysal et al., 2000; Passey, 2014; Phillips et al., 2018; Hiatt et al., 2020). The geochemical characteristics of siderite have been used to characterize the porewater during diagenetic phases (Curtis et al., 1986; Mozley, 1989; El Albani et al., 2001; Passey, 2014; Hiatt et al., 2020), and the combination with other minerals can be used to distinguish marine and freshwater environments (Mozley and Wersin, 1992; Ludvigson et al., 1998; Choi et al., 2003; Lim et al., 2004; Rodrigues et al., 2015). For example, siderites in marine mudstones usually point to a rapid transgression in early diagenetic phase (Laenen and Craen, 2004). Moreover, the siderite morphology has been widely studied, and it is generally believed that cryptocrystalline siderite is mostly occurring in the marine environment, and authigenic spheroidal siderite is common in freshwater and organic-rich environment (Mozley, 1989; Laenen and Craen, 2004; Passey and Jolley, 2009; Passey, 2014; Weibel et al., 2016).

The siderite-bearing strata are widely developed in coal measures in western Guizhou, and some studies have been conducted to determine its characteristics, as well as the genesis (Shen et al., 2017; Shen et al., 2019; Zhang et al., 2018; Zhang et al., 2020). For example, various forms of siderite have been recently identified by Zhang et al. (2020), and the suggested morphology of siderites is controlled by sedimentary sequence. However, how the sedimentary and diagenetic environments control the formation of siderites has always been an extensively debated topic. In this article, we identified multiple siderite-bearing strata with variable micromorphological types of siderite at Lopingian coal-bearing series in Panxian, western Guizhou, China, and performed an integrated analysis on the samples and obtained a set of new petrological, mineralogical, and geochemical data. Based on these data, we analyzed the formation model of siderite and their implications for the depositional and diagenetic environment.

## 2 GEOLOGICAL BACKGROUND

The study area is located in Panxian, western Guizhou, at the junction of Yunnan and Guizhou, on the margin of an

epicontinental basin covering South China (**Figure 1A**). During the late Permian, this area was in the Liupanshui fault depression (Xu and He, 2003). The Lopingian is a representative Upper Permian coal-bearing sequence in the region, and there are various sedimentary environments including continental, delta, and marine facies from west to east (Wang et al., 2011; Shen et al., 2016; Shen et al., 2019; Qin et al., 2018). Lopingian can be divided into Wuchiapingian and Changhsingian from bottom to top. The Wuchiapingian is mainly composed of gray, dark gray, and gray–yellow mudstones, silty mudstones, siltstones, fine sandstones, carbonate rocks, and coal seams. Multiple layers of marine key beds and regionally stable coal seams as products of pulsating transgression events can be used as good correlation marker beds (Wang et al., 2011; Shen et al., 2016). Meanwhile, the Changhsingian is mainly composed of gray and dark gray argillaceous siltstone, mudstone, limestone, and fine sandstone, with 6 to 20 coal seams (Shen et al., 2016). According to the sequence stratigraphic framework established by previous studies (Shao et al., 2011; Shen et al., 2016; Shen et al., 2019), the study strata can be divided into four third-order sequences.

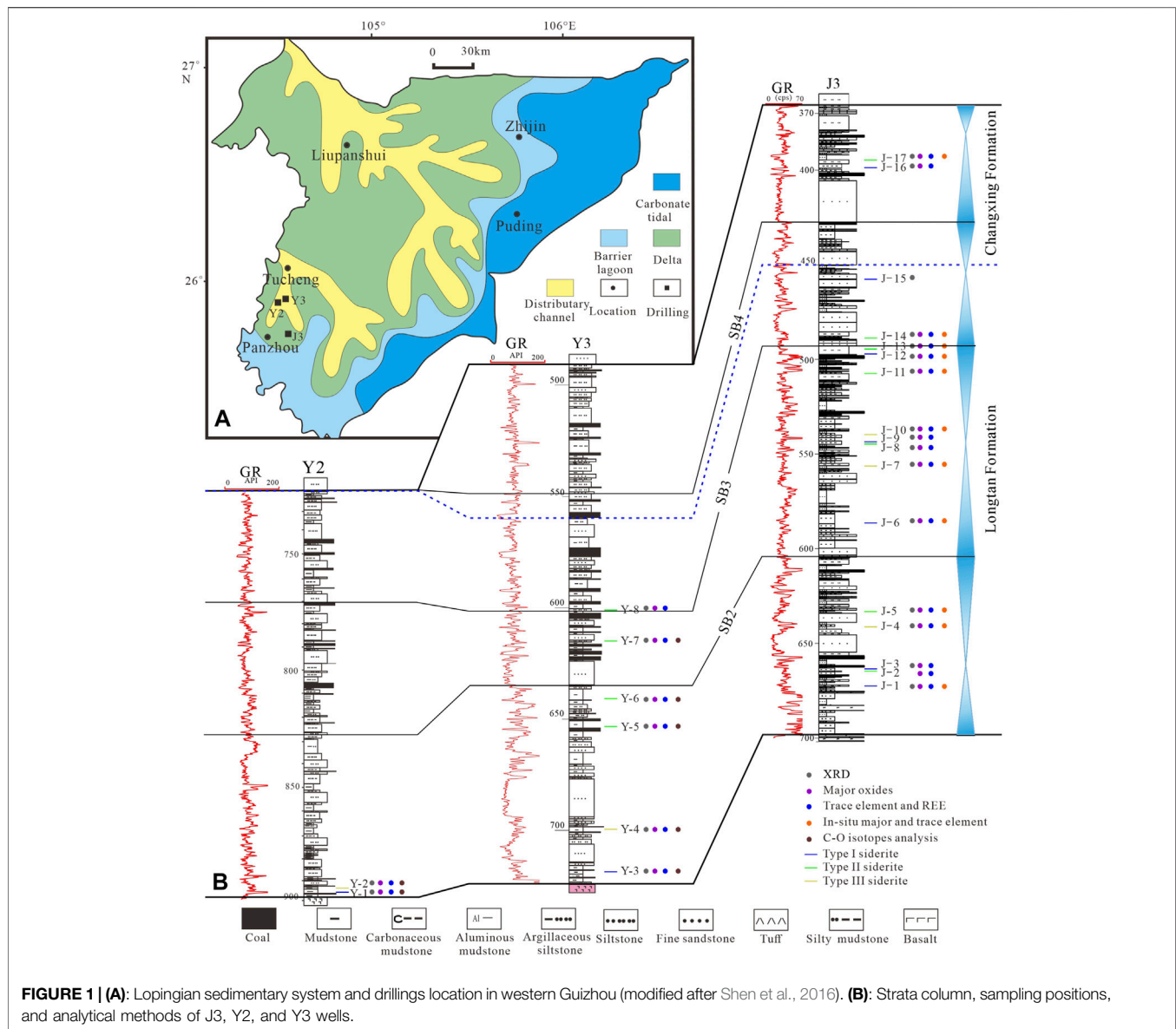
The Y2 and Y3 drillings are located between Tucheng and Panzhou; Y3 drilling is located west of Panzhou (**Figure 1A**). The study strata are interpreted as delta, lagoonal tidal flat facies (Xu and He, 2003). Note that the siderite-bearing strata are usually grayish black to grayish yellow, which is different from the surrounding rock (**Figures 2A–C**). The main lithologies are mainly mudstone, silty mudstone, and few siltstones.

## 3 SAMPLES AND ANALYTICAL METHODS

A total of 25 siderite samples were taken from three drilling wells in the study area, among which J3 well samples were evenly distributed in the Late Permian coal-bearing series. To investigate the formation model of siderite and their implications for the sedimentary and diagenetic environments the following analytical methods were performed (**Figure 1B**).

The petrographical and mineralogical characteristics of the samples were detected by an Olympus BX53M microscope in the School of Resources and Geosciences, China University of Mining and Technology.

The whole-rock X-ray diffraction (XRD) analysis by X' Pert Pro X-ray powder diffractometer was conducted in Regional Geology and Mineral Resources Institute of Hebei. The test conditions were as follows: (1) working voltage 40 kV; (2) working current 40 mA; (3) Cu-Kα ray, wavelength 0.15416 nm, Ni filter; and (4) continuous step scanning with a



**FIGURE 1 | (A):** Lopingian sedimentary system and drillings location in western Guizhou (modified after Shen et al., 2016). **(B):** Strata column, sampling positions, and analytical methods of J3, Y2, and Y3 wells.

step size of 0.017, the scanning speed is of 0.417782/s and the preset time is of 2' 36".

Whole-rock major element analyses were conducted on XRF (Primus II, Rigaku, Japan) at the Wuhan Sample solution Analytical Technology Co., Ltd., Wuhan, China. Detailed analysis procedure referred to "Methods for chemical analysis of silicate rocks-Part 28: Determination of 16 major and minor components" in the standard GB/T14506.28-2010.

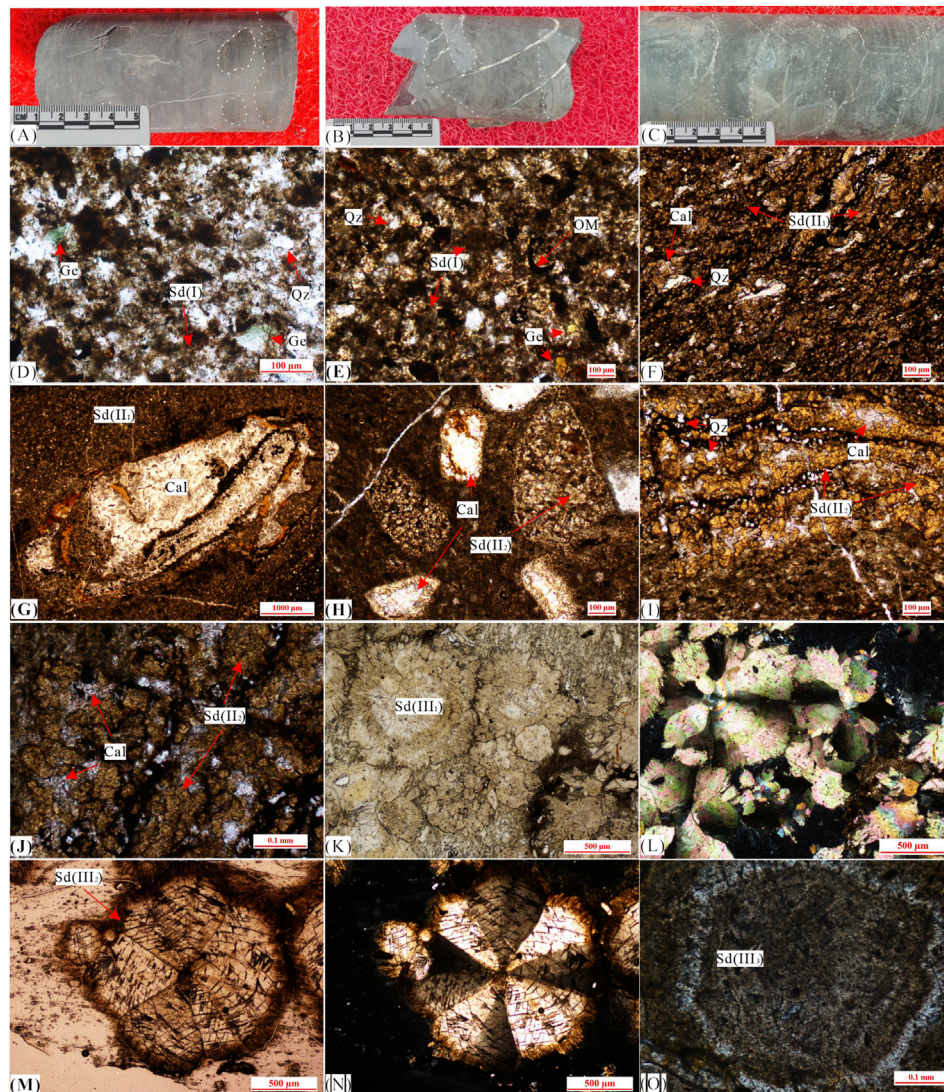
Whole-rock trace element analyses were conducted on Agilent 7700e ICP-MS at the Wuhan Sample Solution Analytical Technology Co., Ltd., Wuhan, China. Detailed analysis procedure referred to "Methods for chemical analysis of silicate rocks-Part 30: Determination of 44 elements" in the standard GB/T14506.30-2010.

The samples for carbon and oxygen isotopic analysis were collected from Y2 and Y3 wells, and the analysis was conducted in

the Geological and Environmental Institute of CUG (Wuhan). The siderite samples were first ground to less than 200-mesh and then reacted with phosphoric acid in a constant temperature tank at 72°C for 1 h. The collected CO<sub>2</sub> was transferred to the MAT-253 for the C-O isotopes analysis. The analysis precision better is than ±0.2‰.

*In situ* major and trace element analysis of siderite by LA-ICP-MS was conducted at the Wuhan Sample Solution Analytical Technology Co., Ltd., Wuhan, China. Laser sampling was performed using a GeolasPro laser ablation system that consists of a COMPexPro 102 ArF excimer laser (wavelength of 193 nm and maximum energy of 200 mJ) and a MicroLas optical system. Beam size of 32 μm and laser frequencies of 5 Hz were used during the analyses. An Agilent 7700e ICP-MS instrument was used to acquire ion-signal intensities. Each analysis incorporated a background acquisition of





**FIGURE 2 |** Observation of drilling core and characteristics of siderites. **(A)** Siderite nodules and banded are discovered in the gray-black mudstone, J-3. **(B)** Siderite is lenticular and irregular agglomerated in the silty mudstone, J-7. **(C)** Irregular and banded siderite, J-11. **(D)** Gelatinous siderite mixed with clay minerals, showing angular glauconite, Y-3. **(E)** Gelatinous siderite is aggregated, and pyrite is locally developed, J-1. **(F)** Microlite siderite aggregate, evenly distributed, J-5. **(G)** Microlite siderite and powder crystal siderite are recrystallized around the shell of paleontology, J-13. **(H)** The paleontological shell is recrystallized into powder crystal siderite, J-14. **(I)** Powder crystal siderite, with large grains and developed to petal-like siderite, J-17. **(J)** Powder crystal siderite is recrystallized around calcite, and some are developed to petal-like siderite, Y-8. **(K)** Petal-like siderite, lane-polarized light, Y-2. **(L)** Cross-polarized light of "K." **(M)** Radiating fibrous siderite, lane-polarized light, Y-4. **(N)** Cross-polarized light of "M." **(O)** Concentric siderite, lane-polarized light, J-4. Sd, siderite; Qz, quartz; Ge, glauconite; OM, organic matter; Cal, calcite.

approximately 20 s followed by 50-s data acquisition from the sample.

## 4 RESULTS

### 4.1 Petrographic Characteristics

There are many siderite-bearing strata in western Guizhou, and the occurrence forms are diverse (Figure 2), mainly including nodular (Figure 2A), lenticular (Figure 2B), irregular (Figures 2B,C), and banded (Figure 2C). The banded siderite is usually

surrounded by horizontal bedding and does not cut across the bedding (Figure 2C).

According to the microscopic morphology, the siderites can be generally divided into three types and six subtypes (Figure 2): gelatinous siderites (I), microlite siderites (II<sub>1</sub>), powder crystal siderites (II<sub>2</sub>), petal-like siderites (III<sub>1</sub>), radiating fibrous siderites (III<sub>2</sub>), and concentric siderites (III<sub>3</sub>).

#### 4.1.1 Gelatinous Siderite (I)

The gelatinous siderite is yellowish-brown under the polarizing microscope, and it is difficult to observe the grain boundary

**TABLE 1** | Mineral compositions of the studied samples determined by XRD analysis (wt%).

Sample	Clays	Quartz	Siderite	Plagioclase	Calcite	Ankerite	Anatase	Pyrite	Hematite	Thenardite
J-1	17.0	20.6	49.4	—	5.3	—	5.2	—	—	2.4
J-3	9.0	38.6	37.4	—	6.3	—	8.7	—	—	—
J-4	77.6	13.0	3.06	3.4	—	—	2.9	—	—	—
J-5	16.6	18.0	62.8	—	—	—	2.6	—	—	—
J-6	13.5	37.4	28.2	—	1.9	16.8	—	—	2.3	—
J-7	19.4	11.2	66.7	1.7	1.0	—	—	—	—	—
J-8	11.0	52.0	23.6	1.3	5.1	—	6.8	—	—	—
J-9	21.0	39.1	31.1	1.4	—	—	7.4	—	—	—
J-10	20.0	23.6	48.2	2.8	—	—	5.4	—	—	—
J-11	16.4	39.6	23.9	3.0	1.3	—	6.0	—	—	9.7
J-12	42.8	26.3	21.7	4.7	—	4.5	—	—	—	—
J-13	14.9	8.2	72.3	—	1.6	—	—	3	—	—
J-14	23.4	13.3	50.8	—	—	—	—	2.4	—	—
J-15	19.4	23.2	12.0	—	—	42.8	—	—	2.6	—
J-16	9.0	39.1	10.9	4.5	11.2	11.5	12.0	1.8	—	—
J-17	16.5	26.1	42.6	1.7	12.1	1.0	—	—	—	—
Y-3	24.9	32.4	19.5	—	16.6	—	6.6	—	—	—
Y-4	3.6	4.4	90.7	—	1.4	—	—	—	—	—
Y-5	24.4	43.4	18.6	—	13.6	—	—	—	—	—
Y-6	16.3	12.4	57.9	—	13.4	—	—	—	—	—
Y-7	15.0	7.0	57.0	1.7	5.7	13.6	—	—	—	—
Y-8	3.0	9.4	77.7	—	9.9	—	—	—	—	—

(Figures 2D,E). Note that they were divided into two forms. One of which is evenly distributed in the pores between clastic particles, and the other is aggregated into blocks. Among them, uniform gelatinous siderite distributed in rocks is often filled in the pores between clastic particles in the form of cement and mixed with clay minerals (Figure 2D). The block-like gelatinous siderite is often surrounded by clastic particles, clay minerals, and organic matter (Figure 2E).

#### 4.1.2 Microcrystal-Silty Siderite (II)

Crystal boundaries can be observed in microlite and powder crystal siderites under the microscope, which appear as hypidiomorphic or automorphic granular single crystal or aggregates with various shapes and sizes (Figures 2F–J). Among them, the microlite siderite often has color of light brown–yellow to light yellow, grain size between 0.004 and 0.03 mm, and mainly hypidiomorphic shapes. This kind of siderite is evenly distributed and mixed with clay minerals and organic matter or appears surrounding clastic particles (Figure 2F). The powder crystal siderite (II<sub>2</sub>) is light yellow, and the grain size is between 0.015 and 0.09 mm with a good degree of crystallization. Siderite crystals are mainly automorphic and often develop at the edge of the cavity filled with calcite (Figures 2G,H) or in the area with high calcite content (Figures 2I,J).

#### 4.1.3 Spheroidal Siderite (III)

This type of siderite has relatively large grain size, ranging from 0.1 to 1 mm, and appears light yellow to light yellowish brown under plane-polarized light (Figure 2). Although the petal-like siderite (III<sub>1</sub>) has similar ring shapes, differences in morphology still exist. The fully developed siderite is spherical, and the incomplete siderite is bow-tie morphology (Figure 2K). Under

cross-polarized light, the interference color is from pink to bright green (Figure 2L). Radiating fibrous siderite has a radiating texture, internal cleavage, and cross extinction under cross-polarized light (Figures 2M,N). Concentric siderite (III<sub>3</sub>) has obvious concentric ring morphology (Figure 2O), and its distribution in the bedding is highly heterogeneous, usually concentrated in the form of aggregate.

XRD results show that the minerals in the samples include clay, quartz, siderite, plagioclase, calcite, ankerite, anatase, pyrite, hematite, and thenardite (Table 1). The siderite content ranges from 3.06 to 90.7 wt%. Other main components quartz, clay minerals, and calcite range from 4.4 to 52 wt%, 3 to 77.6 wt%, and 0 to 16.6 wt%, respectively. Other components are low, and some samples contain high ankerite. The content of type I siderite in rocks is relatively low. The XRD results show that the average content is only 26.3 wt%, with an exception of J-3 sample more than 40%. Type II siderite is common in rocks with high relative content (Table 1). The XRD analysis results showed that the average content in rocks reached up to 48.72% (Table 1). The distribution of spheroidal siderite in the rock is heterogeneous. The XRD analysis results show that the content varied largely among different samples (Table 1).

## 4.2 Whole Rock Geochemistry

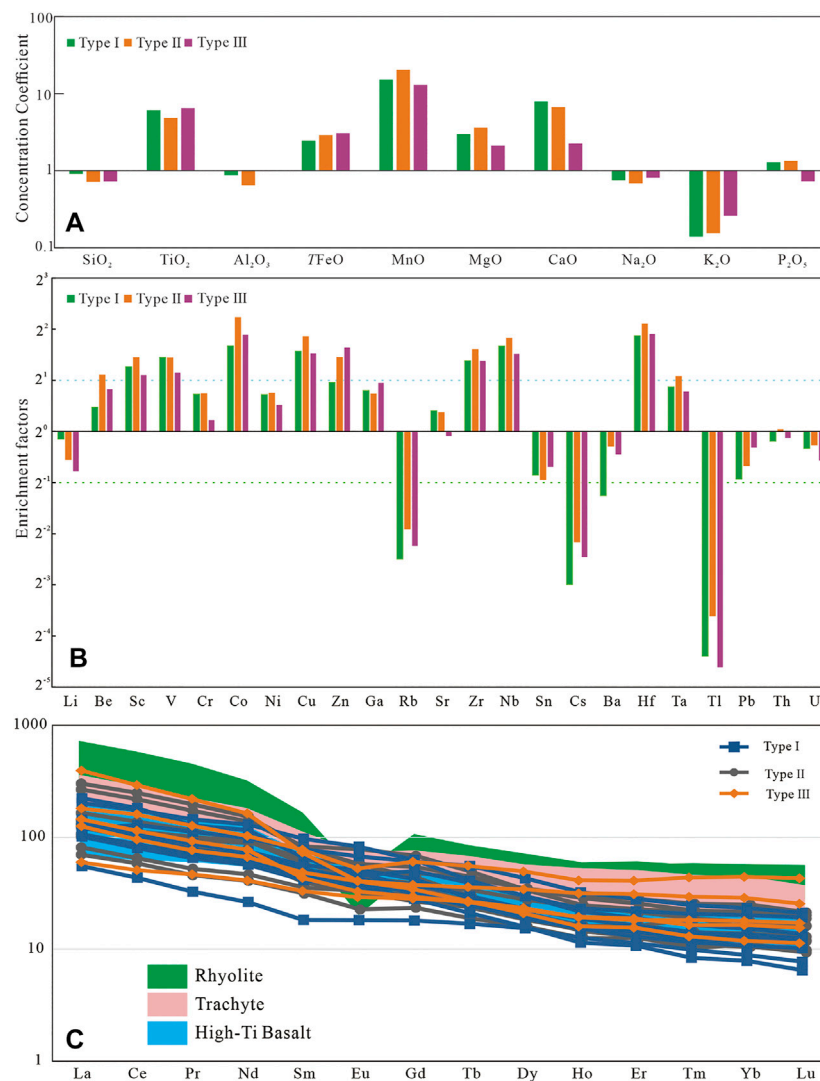
### 4.2.1 Major Elements

The whole-rock major elements results are listed in Table 2. By normalizing the major elements results based on the upper crust (UC) (Taylor and McLennan, 1985), we find the concentration of main oxides in the studied samples is comparable to that in the UC, except the depletion of K<sub>2</sub>O and the enrichment of TiO<sub>2</sub>, TFeO (total iron), MnO, MgO, and CaO. The type I siderite-bearing samples have the highest content of SiO<sub>2</sub>, and the samples containing the other two types of siderite are similar. All of

**TABLE 2 |** Statistics of major element oxide content (wt%), trace element, and rare earth element concentrations ( $\mu\text{g/g}$ ) of the studied samples.

Sample	J-1	J-2	J-3	J-4	J-5	J-6	J-7	J-8	J-9	J-10	J-11	J-12	J-13	J-14	J-16	J-17	Y-1	Y-2	Y-3	Y-4	Y-5	Y-6	Y-7	Y-8
SiO <sub>2</sub>	27.29	54.7	47.42	48.77	34.68	32.07	28.11	31.81	26.24	25.43	41.77	37.38	33.44	21.13	39.61	15.34	36.03	25.12	39.73	15.29	28.89	11.03	24.96	12
TiO <sub>2</sub>	1.98	3.8	3.76	5.12	3.41	1.21	2.24	1.41	1.69	1.99	2.23	2.34	2.1	0.97	2.68	1.03	2.84	15.79	2.07	0.93	1.01	1.25	2.14	1.01
Al <sub>2</sub> O <sub>3</sub>	9.1	19	18.04	23.64	14.85	6.24	9.92	5.8	7.51	8.38	10.36	11.08	8.79	5.42	12.34	5.02	14.15	2.07	11.3	6.28	4.97	4.92	8.21	4.31
TFeO	33.74	9.46	14.36	7.98	22.6	26.91	34.42	34.27	35.43	35.4	23.87	25.71	27.72	35.15	21.18	43.57	26.77	32.19	17.25	47.13	27.68	38.72	25.05	39.17
MnO	0.72	0.03	0.18	0.03	0.26	0.66	0.86	0.69	0.65	0.6	0.4	0.27	0.38	0.5	0.36	1.21	0.27	0.17	0.56	0.3	1.24	1.04	0.42	0.59
MgO	1.51	1.09	1.18	1.5	1.56	3.88	2.16	3.68	3.76	3.91	3.32	4.3	4.42	5.64	3.96	3.2	1.05	0.52	0.9	1	1.81	3.16	3.54	2.96
CaO	4.04	0.96	1.74	0.39	1.06	6.06	1.81	2.46	3.16	2.39	2.23	3.02	3.38	5.23	4.94	3.24	5.93	0.72	9.18	1.48	7.04	5.93	7.05	5.54
Na <sub>2</sub> O	0.34	0.6	0.58	1.04	0.67	0.49	0.63	0.14	0.32	0.47	0.74	0.81	0.74	0.26	0.68	0.29	0.08	0.17	0.22	0.09	0.15	0.23	0.47	0.16
K <sub>2</sub> O	0.6	1.34	0.98	2.9	0.87	0.33	0.7	0.12	0.27	0.41	0.51	0.38	0.41	0.16	0.54	0.34	0.02	0.2	0.53	0.04	0.36	0.35	0.87	0.24
P <sub>2</sub> O <sub>5</sub>	0.36	0.43	0.42	0.11	0.28	0.64	0.3	0.35	0.38	0.42	0.21	0.48	0.53	0.64	0.91	0.38	0.35	0.3	0.28	0.22	0.77	0.97	0.42	0.5
LOI	20.05	8.3	11.02	7.46	19.75	20.88	19	19.7	20.66	20.97	14.3	14.29	17.49	24.05	12.39	26.63	11.73	22.21	17.49	26.52	25.77	31.67	26.59	31.16
SUM	99.73	99.69	99.69	98.96	99.98	99.37	100.14	100.42	100.06	100.36	99.93	100.06	99.41	99.15	99.58	100.24	99.22	99.44	99.5	99.29	99.68	99.27	99.71	97.64
CIA	83.69	84.77	85.84	81.68	82.49	76.07	77.72	90.79	84.7	80.69	77.61	78.2	75.28	84.18	81.33	79.11	97.92	95.38	89.68	94.95	84.92	81.16	76.82	84.38
Li	15.5	25.5	28	13.3	32	32.8	37	34.1	38.4	38.4	46.6	54.5	38.9	29.4	46.2	15	14.6	17	15.1	9.7	12.5	9.3	7.7	6.5
Be	2.6	3.4	3.4	5.5	3	1.9	2.7	2.2	2.5	3.3	2.5	1.9	2.3	2.1	2	2.8	1.4	3.8	2.9	2.9	2.8	2.9	2.4	3.4
Sc	16.2	27.8	24.8	32.3	22	11.9	18.6	15.9	20.5	20.4	17	21.6	14.6	10.8	19.1	13.2	23.5	14.3	15.4	11.2	9.4	13.3	14.7	17
V	169.4	325.1	297.8	357.1	260.6	109.6	206.1	151.2	199.5	220	194.5	213.2	166.3	153.4	221	126.1	391.1	147.8	153	93	137.9	86.9	158.8	118.9
Cr	72.5	105.3	123.2	227.3	72.7	62.3	73.8	37.9	55	75.9	105.1	157.2	108.1	79.2	89.5	38.6	63.9	41.7	62.5	17.1	33.5	60.1	143.7	37.2
Co	49.2	30.4	36.9	22.2	39.5	15.4	57.7	22.2	26.5	28	33.2	21	26.4	20.1	31.6	67.3	84.5	45.2	38.7	51.6	11.2	31.6	22	76.1
Ni	53.3	71.9	81.2	67.7	53.1	31.5	81.6	61.9	67.8	68	97.2	89	71	46.8	118.3	47.7	44.1	42.1	49	47.4	11.3	30.8	33.6	57.1
Cu	92.3	193.5	187	212.6	146.9	35.6	99.3	63.9	71	83.1	85.5	79.7	85.3	30.9	113.3	51.1	105	60.5	101.5	38.1	40.1	51.5	70.7	45.7
Zn	81.5	133.4	168.2	158.9	130.8	61.3	150.3	71.7	76.6	88.3	96.8	120.4	89.9	54.3	111.9	115.2	197.8	130.5	173.8	302.1	49.6	81	105.6	221.1
Ga	18	35.3	34.2	41.8	27.1	11.3	20.6	13.4	15	16.7	19.6	21.6	16.3	11.1	23.6	9.9	31.7	32.4	21.2	19.6	9.3	9.4	15.6	6.4
Pb	22.7	46.7	36.2	88.5	28.2	11.4	22.7	4.6	9.5	13.7	17.5	14.3	14.4	5.5	20.1	12.2	1.8	9.6	25.3	3.7	14.6	13.7	33.3	8
Sr	180.2	276.8	248.8	441	229.5	224.2	243.3	102	241.5	150.9	198.2	195.9	155.8	159.5	365.1	138.5	190.9	199.1	140.1	64.2	148.8	145.1	234.2	181.3
Zr	250.7	574	492.3	592	361.8	159.7	238.2	157.3	185.7	222.6	271.9	251.6	235.7	130.7	358.8	137.5	196.1	463.2	359	124.9	147.1	163.8	193.9	194
Nb	41.3	87.5	77.6	75	51.1	22.4	32.8	18.9	24.3	29.5	41.6	38.7	36.9	18.7	39.3	17.7	25.5	48.7	46.2	15	17.5	21.4	28.5	14.3
Sn	2	4.1	3.8	4.5	3	1.2	2.2	1.3	1.5	1.8	2.3	2.2	1.8	1.2	2.7	1.1	1.7	4.9	2.7	1.1	1	0.9	1.5	
Cs	0.7	1.8	1.6	3.1	1.3	0.3	0.7	0.2	0.3	0.5	0.8	0.5	0.5	0.2	0.5	0.4	0	0.3	0.6	0.2	0.4	0.4	0.8	0.3
Ba	136.6	341	275.3	1,142.9	459.4	144.7	341	135.9	171.6	263.8	257.7	169.7	215.2	107.2	167.4	167.2	140.5	184.5	122.5	103	193.4	223.7	354.6	224.1
Hf	6.2	14	12.3	15.6	8.7	4	6	3.6	4.3	5.2	6.7	6.2	5.8	3.2	8.2	3.1	5.8	11.5	9	3.3	3.9	4.3	5.4	4.6
Ta	2.3	5.4	5	4.4	3.1	1.4	2	1.1	1.4	1.8	2.4	2.2	2.1	1.1	2.3	1.1	2.3	3.9	3.7	1.2	1.4	1.7	2.2	1
Tl	0.1	0.2	0.3	0.2	0.1	0	0	0	0	0	0.1	0	0.1	0	0.1	0	0	0	0	0	0	0	0.1	0
Pb	4.5	8.7	7.8	13.8	6.2	4.1	4.3	3.3	4.8	3.3	7.9	2.3	7.3	4.5	6.1	3.5	10.3	21	11.3	12.9	2.9	4.9	4.4	8.5
Th	6.2	16	13.6	16.7	8.4	3.9	5.7	3.3	4.2	5	7.1	6.1	6.1	3.6	8.5	3.2	5.3	13.7	10.1	3.3	4.6	4.6	5.2	3.8
U	1.4	3.7	3.2	3.7	1.9	1.8	1.2	0.8	1	1.1	1.4	1.4	1.4	1.5	1.6	0.8	1.5	2.5	2.3	1.1	1.9	1	1.2	0.8
La	44	93.4	82.5	118.3	50.9	39	43.5	25.1	30.9	37.7	43.6	38.6	40	32.2	61.7	21.6	35.8	54.3	68	18	38	40.8	32.9	31.4
Ce	86.5	201.1	176	228.9	110.8	79	89.7	52.4	63.4	74.8	87.8	83.7	84.4	69.2	139	47.1	102.4	125.6	144.9	39.8	78.4	90.5	68.5	66.3
Pr	10.6	24	21	26	14	9.4	10.9	6.4	7.8	9	10.2	10.4	10	8.4	17.4	5.6	13.5	15	15.3	5.5	11.5	12.3	9.1	8.5
Nd	42.3	91	82.9	95.1	55	39.6	45.1	27.9	33.6	38.8	39.5	44.2	42.1	36	76.8	24.3	57.9	59.9	68.5	23.9	53.1	54.4	38.4	35.5
Sm	8.9	15.8	15.5	13.8	11.3	8.4	8.2	6.9	7.9	9.2	7.6	10.7	9.6	8.5	18.6	6.1	15	14.6	12.6	6.3	16.1	12.4	8.6	7.9
Eu	3	3.7	4.2	2.9	3.2	2.7	2.3	2.4	2.6	2.9	2.4	3.7	3.2	3.5	6	1.7	4.9	3.7	3.3	2.1	5.7	4.2	2.8	2.4
Gd	7.9	12.4	14	9.4	10	7.2	7.4	7.9	8.5	8.7	7.5	10.8	9.2	8.9	16	6	15.5	15.2	12.8	7.1	17.6	11.3	8.7	6.9
Tb	1.1	2	2.1	1.7	1.6	1	1.2	1.1	1.2	1.2	1.3	1.3	1.2	1.9	0.9	0.9	2.6	2.6	1.8	1.2	2.3	1.4	1.3	1.1
Dy	6.6	11.2	11	10.8	8.8	5	7	5.8	5.9	6.6	6.5	6.7	6.2	6.4	9.4	5.1	13.6	15.5	9.4	7.3	10.8	6.3	6.6	6.2
Ho	1.1	2.2	2	2.2	1.7	0.8	1.4	1.1	1.1	1.1	1.2	1.1	1	1.1	1.5	0.9	2.3	2.9	1.6	1.4	1.8	1	1.2	1.2
Er	3.2	5.9	5.3	6.3	4.5	2.2	3.9	3	3	3.2	3.2	3	2.8	2.7	3.9	2.6	5.8	8.4	4.5	3.7	4.7	2.7	3.1	3.4
Tm	0.4	0.8	0.7	0.9	0.6	0.3	0.5	0.4	0.4	0.4	0.4	0.4	0.4	0.4	0.5	0.3	0.8	1.4	0.7	0.6	0.7	0.4	0.5	0.6
Yb	2.8	5.2	4.5	5.8	3.6	1.6	3.3	2.5	2.6	2.4	2.6	2.4	2.2	2.2	3.1	2.3	4.8	9	4.1	3.6	4.3	2.2	2.9	3.2
Lu	0.4	0.7	0.6	0.8	0.5	0.2	0.5	0.4	0.4	0.4	0.4	0.4	0.3	0.3	0.5	0.3	0.7	1.4	0.6	0.6	0.7	0.3	0.4	0.5
Sr/Ba	1.3	0.8	0.9	0.4	0.5	1.6	0.7	0.8	1.4	0.6	0.8	1.2	0.7	1.5	2.2	0.8	1.4	1.1	1.1	0.6	0.8	0.7	0.7	0.8
V/Cr	2.3	3.1	2.4	1.6	3.6	1.8	2.8	4	3.6	2.9	1.9	1.4	1.5	1.9	2.5	3.3	6.1	3.5	2.5	5.4	4.1	1.4	1.1	3.2
V/(V + Ni)	0.8	0.8	0.8	0.8	0.8	0.7	0.7	0.7	0.8	0.8	0.7	0.7	0.7	0.8	0.7	0.7	0.9	0.8	0.8	0.7	0.9	0.7	0.8	0.7
V/Sc	10.4	11.7	12	11.1	11.9	9.2	11.1	9.5	9.7	10.8	11.5	9.9	11.4	14.2	11.6	9.6	16.7	10.3	10	8.3	14.7	6.5	10.8	7
Sr/Cu	2	1.4	1.3	2.1	1.6	6.3	2.5	1.6	3.4															





**FIGURE 3 | (A)** Major elements concentration coefficients (CC) of the studied samples, normalized by the UC. **(B)** Enrichment factors of trace elements of different types of siderite; **(C)** REE distribution pattern of different types of siderite. The pink and green areas are for trachyte and rhyolite (Xu et al., 2010), and the blue area is for high-Ti basalt (Xiao et al., 2004).

siderite-bearing samples have a high content of TiO<sub>2</sub>, MgO, and MnO, which is likely related to the provenance. In addition, the TFeO content of samples containing type I siderite is the lowest, and the content of CaO gradually decreases from Samples containing type I to those containing type III.

#### 4.2.2 Trace Elements

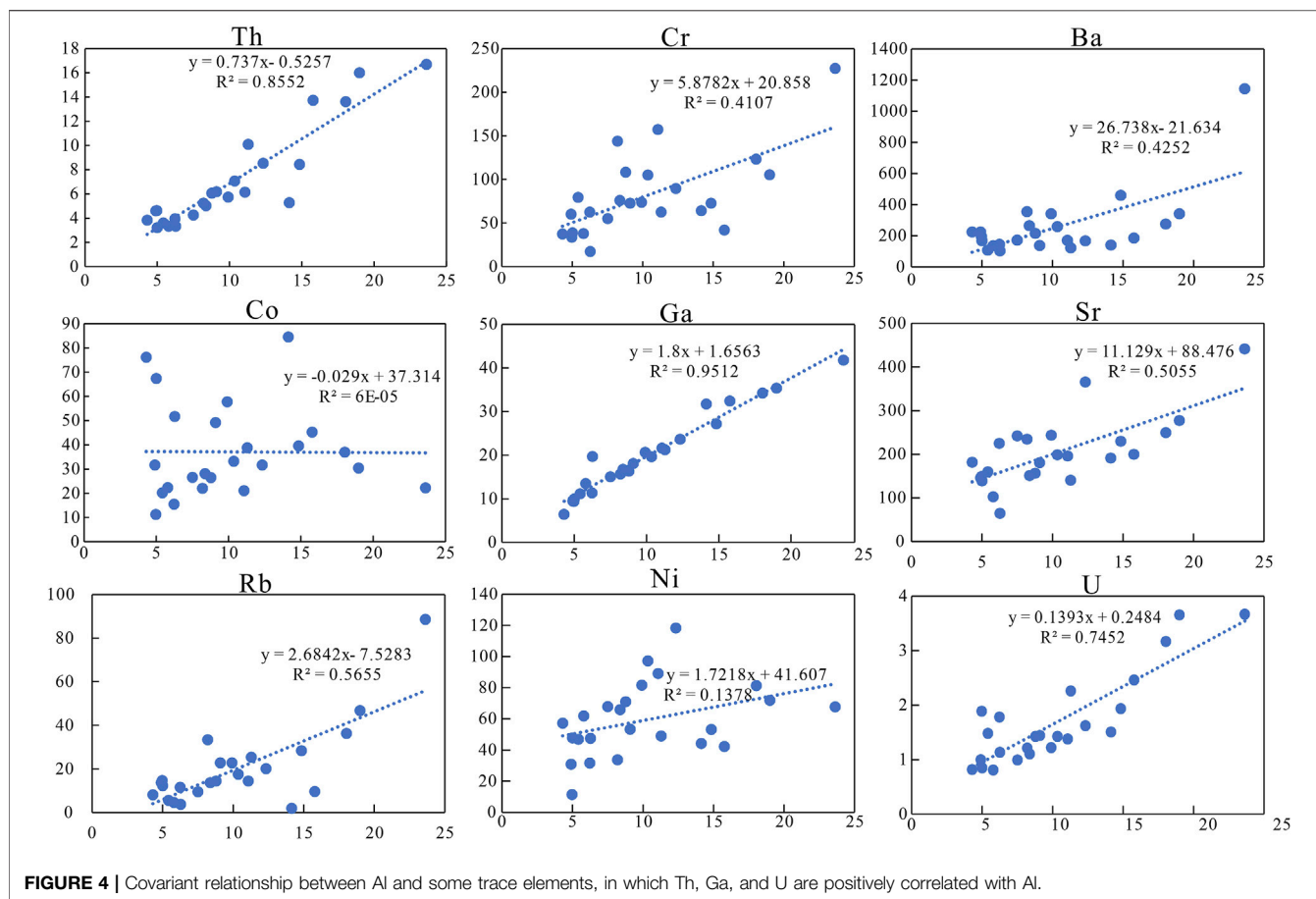
For most sedimentary deposits, some trace elements and aluminum can be well preserved and transported along with clastic materials and are usually immobile during diagenesis. These elements can be used for paleoenvironmental reconstruction only if their contents deviate greatly from average shale and have a good correlation with Al (Tribovillard et al., 2006). The enrichment factors of elements [ $EF_{\text{element}} = X/Al_{\text{sample}} / (X/Al_{\text{average shale}})$ ] are obtained by Al normalization, and the results are shown in Figure 3B

(Tribovillard et al., 2006). Among them, the enrichment factors of Cr, Ni, Ga, Sr, Ba, Th, and U are between 0.5 and 2, close to the average shale. Th, Ga, and U have a good positive correlation with Al, whereas Sr, Ba, Ni, Cr, and Rb have a poor correlation (Figure 4).

#### 4.2.3 Rare Earth Elements

The  $\Sigma\text{REE}$  values of the siderite-bearing samples are relatively high varying between 121 and 523 ppm. Figure 3C shows the distribution pattern of REE. The REE distribution pattern of all samples shows a significant enrichment of LREE. By comparing them with the values of the high-titanium basalts, trachytes, and rhyolites in the study area (Xiao et al., 2004; Xu et al., 2010) (Figure 3C), it was found that all samples are similar to the high-titanium basalts. The  $\delta\text{Ce}$  values [ $\delta\text{Ce} = \lg(3 * Ce_N) / (2 * La_N + Nd_N)$ ] range from -0.09 to 0.02, whereas  $\delta\text{Eu}$





**TABLE 3 |** The results of carbon–oxygen isotope.

Sample	Siderite type	$\delta^{13}\text{C}_{\text{PDB}}$	$\delta^{18}\text{O}_{\text{SMOW}}$
Y-1	I	−10.06	17.34
Y-2	III	−6.33	28.38
Y-3	I	−9.20	20.65
Y-4	III	−11.94	25.78
Y-5	II	−10.58	23.23
Y-6	II	−2.80	24.64
Y-7	II	−4.01	23.31

values of samples with different types of siderite are different (Table 2). Most samples containing gelatinous siderite have  $\delta$  Eu value larger than 1, whereas samples with type II siderite have  $\delta$  Eu greatly variable from 0.77 to 1.04, (Table 2). The samples with type III siderite have  $\delta$  Eu values ranging from 0.76 to 0.97, indicating slightly negative anomalies in element Eu.

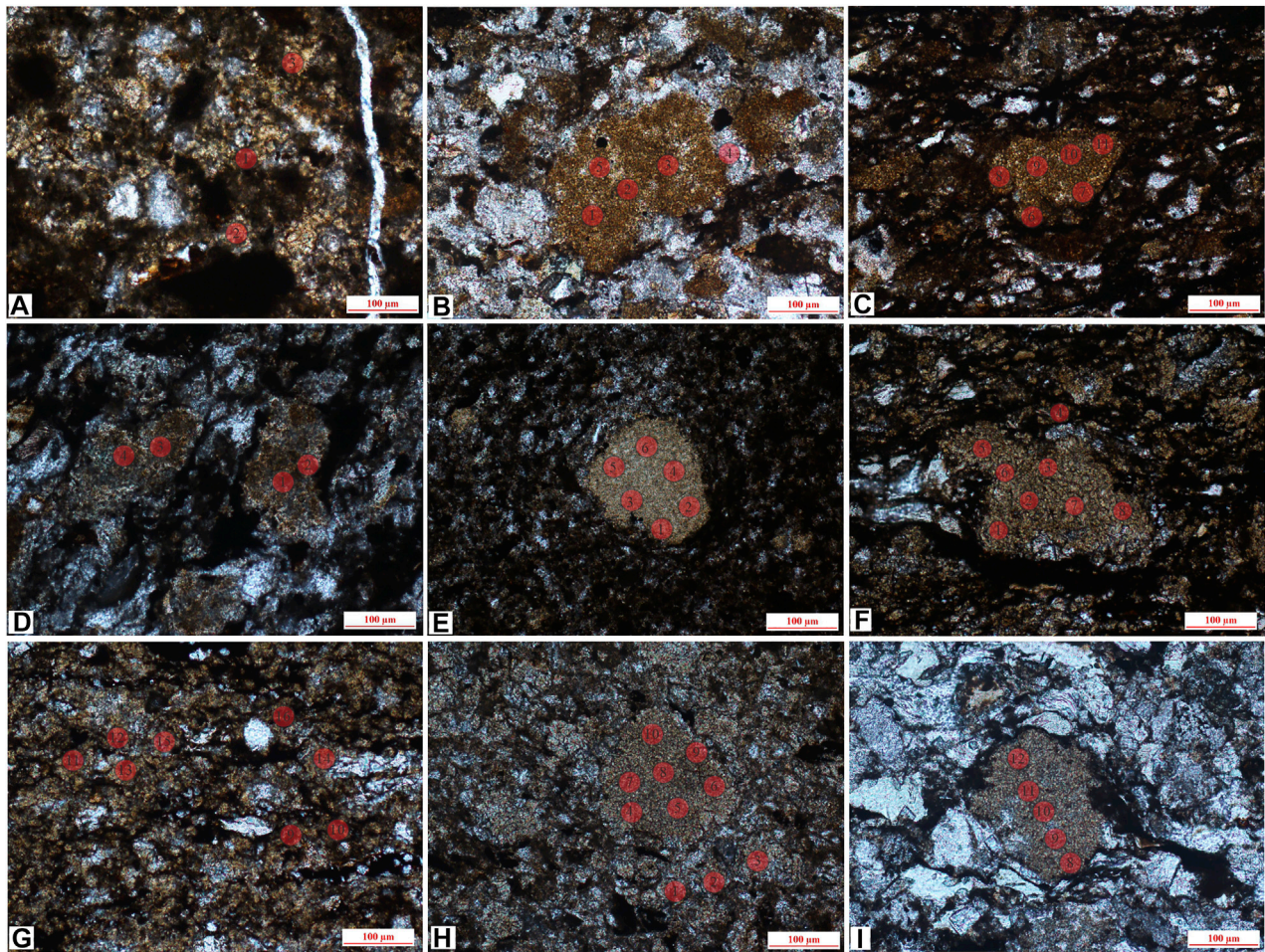
### 4.3 Carbon–Oxygen Isotopic Compositions

The results of carbon–oxygen isotopes are shown in Table 3. The samples with type I siderite have uniform  $\delta^{13}\text{C}_{\text{PDB}}$  and  $\delta^{18}\text{O}_{\text{SMOW}}$  values of −9.20‰ to −10.06‰ and 17.34‰ to 20.65‰, respectively. The  $\delta^{13}\text{C}_{\text{PDB}}$  values of samples with type II siderite range greatly from −2.80‰ to −10.58‰, which are dependent on the crystal types. The  $\delta^{18}\text{O}_{\text{SMOW}}$  values are

similar ranging from 23.23‰ to 24.64‰. The samples with type III siderite have  $\delta^{13}\text{C}_{\text{PDB}}$  and  $\delta^{18}\text{O}_{\text{SMOW}}$  of −6.33‰ to −11.94‰ and 25.78‰ to 28.38‰, respectively, with large fluctuation.

### 4.4 In Situ Geochemistry

The detailed results are shown in Supplementary Appendix S1, and the *in situ* spots are shown in Figures 5, 6. Among them, the type I siderite has  $\text{FeCO}_3$  (wt%) ranging from 26.62% to 51.64%, with an average of 36.63%, average  $\text{CaCO}_3$  of 3.56%, and average  $\text{MgCO}_3$  of 6.76%. For microlite siderite, the content of  $\text{FeCO}_3$  (wt%) ranges from 47.34% to 86.8%, with an average of 72.67%. The contents of  $\text{CaCO}_3$  and  $\text{MgCO}_3$  vary greatly in different samples. The average content of  $\text{CaCO}_3$  and  $\text{MgCO}_3$  in J-5 is 4.43% and 2.79%, whereas that in J-11 is 7.64% and 7.99%, and that in J-13 is 8.58% and 10.27%, respectively. The environment formed by microlite siderite may be more extensive than that of gelatinous. The content of  $\text{FeCO}_3$  (wt%) in powder crystal siderite ranges from 64.11% to 90.68%, with an average of 76.39%; the content of  $\text{CaCO}_3$  ranges from 5.62% to 11.22%; and the content of  $\text{MgCO}_3$  ranges from 2.76% to 16.76%, which are similar to the results of microcrystalline siderite samples. The content of  $\text{FeCO}_3$  in type III siderite ranges from 71.26% to 91.87%, and the contents of  $\text{CaCO}_3$  and  $\text{MgCO}_3$  are lower than those in the other two types of siderite.



**FIGURE 5 |** *In situ* sites of gelatinous siderite and microlite siderite, (A) J-1, gelatinous siderite and partly recrystallized into powder crystal siderite (lane-polarized light); (B,C) J-6, mass aggregate, distributed among debris particles (lane-polarized light); (D) J-12, siderite is evenly distributed (lane-polarized light); (E) J-16, mass aggregate siderite and evenly distributed gelatinous siderite (lane-polarized light); (F,G) J-5, uniform distribution, microlite siderite (lane-polarized light); (H) J-11, microlite siderite is evenly distributed (lane-polarized light); (I) J-10 (1), mass microlite siderite is distributed between clastic particles (lane-polarized light).

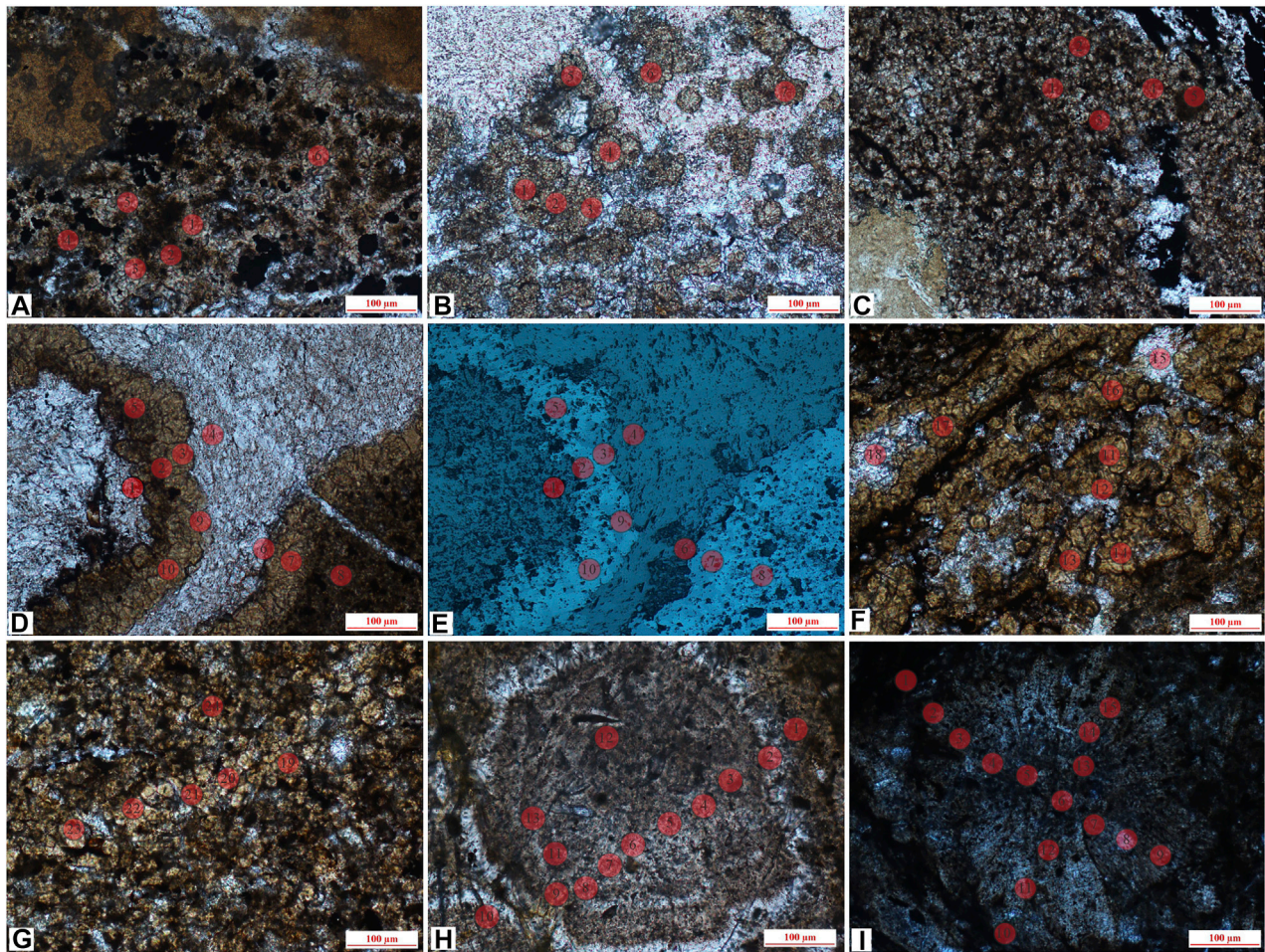
The Sr/Ba value of type I siderite ranges from 0.79 to 3.75, with an average of 2.26, higher than that of the whole rock.  $\delta$  Ce is between  $-0.08$  and  $0.06$ , with an average of  $0.01$ ; V/(V+Ni) is between  $0.58$  and  $0.90$ , with an average of  $0.73$ ; and V/Cr is between  $1.34$  and  $11.12$ , with an average of  $2.36$ , which are also close to the whole rock. The V/Sc value is higher than the whole rock, with an average of  $35.85$ . Different samples of type II<sub>1</sub> siderite are different in composition, although the *in situ* results are similar to that of the whole rock. The Sr/Ba value of type II<sub>2</sub> siderite ranges from  $0.38$  to  $1.66$ , with an average of  $0.58$ , which is quite different from the whole rock. There is little difference in Sr/Ba values of type III siderite, and the total average value is  $0.50$ . The  $\delta$  Ce value is between  $-0.19$  and  $0$ , and types III<sub>1</sub> and III<sub>2</sub> are different, reflecting the different diagenetic water where type III siderite formed. The V/(V+Ni), V/Cr, and V/Sc of III<sub>1</sub> and III<sub>2</sub> are also different.

## 5 DISCUSSION

### 5.1 Provenance Analysis of Siderite-Bearing Strata

The abundance of trace elements and the ratio of some immobile elements such as  $Al_2O_3/TiO_2$ ,  $\Sigma REE$ , and La/Yb in mudstone can help to perform provenance analysis (Spears and Rice, 1973; McLennan et al., 1993; He et al., 2007; Dai et al., 2017; Xie et al., 2018; Liu et al., 2020). Rare earth elements are not easy to migrate during sedimentation and diagenesis, and the distribution pattern of REE can be used for provenance analysis (Boynton, 1984; Dai et al., 2017; Liu et al., 2019). Figure 3C shows that the REE distribution curves of all of siderite-bearing strata samples are relatively similar, which are characterized by enrichment in LREE and depletion in HREE. Eu has no obvious anomaly similar to Emeishan high-titanium basalt (Xiao et al., 2004). To study the provenance of the siderite-bearing strata, the discrimination



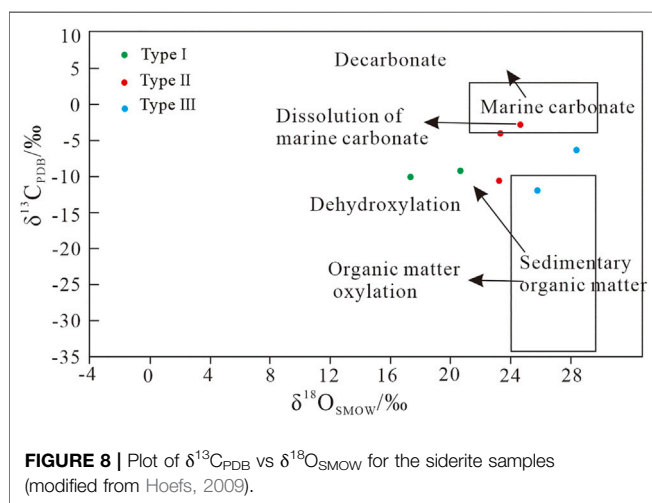
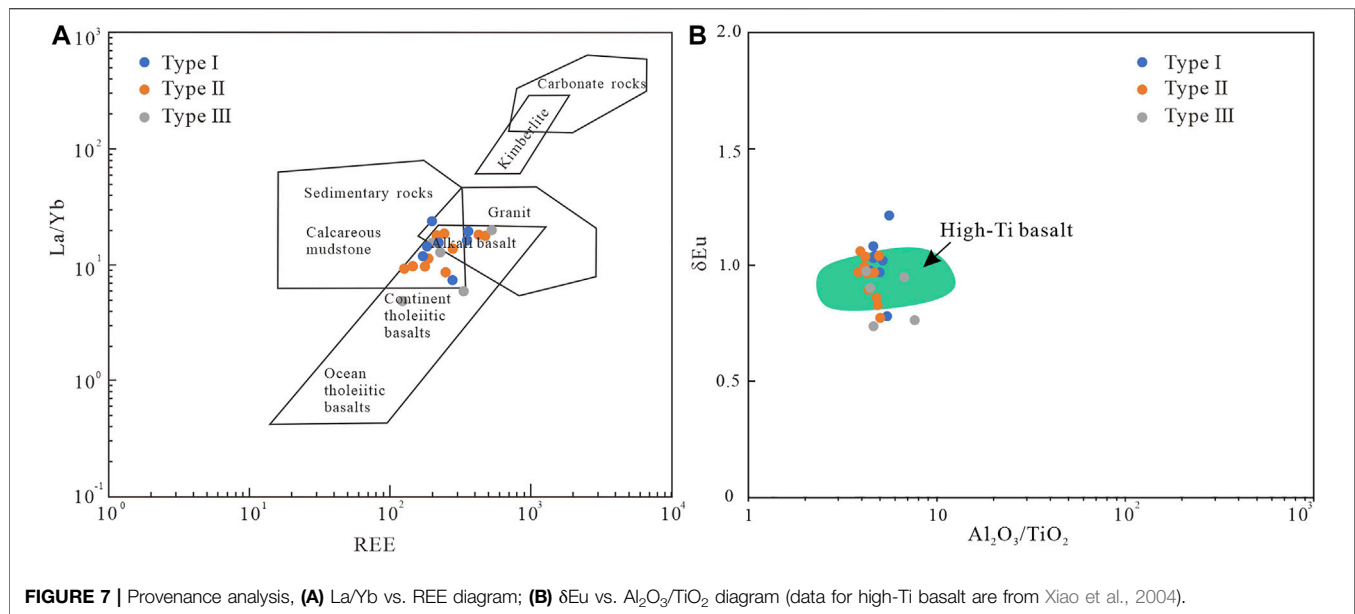


**FIGURE 6 |** *In situ* sites of microlite siderite, powder crystal siderite and sphaerosiderite, (A) J-13, microlite siderite, surrounded by clay minerals (lane-polarized light); (B) J-10 (2), powder crystal siderite, partially developed to petal-like siderite (lane-polarized light); (C) J-14, the powder crystal siderite is evenly distributed (lane-polarized light); (D) J-17 (1), powder crystal siderite and calcite (lane-polarized light); (E) reflecting light of (D); (F) J-17 (2), powder crystal siderite, partially developed to petal-like siderite (lane-polarized light); (G) J-17 (3), powder crystal siderite (lane-polarized light); (H) J-4, concentric siderite (lane-polarized light); (I) J-7, petal-like siderite (lane-polarized light).

diagram of  $\Sigma\text{REE}$  versus  $\text{La/Yb}$  was applied (Allègre and Michard, 1974; Xie et al., 2018) (Figure 7A). Apart from a small number of samples with gelatinous siderite that fall within the region of sedimentary rock, the others fall in the alkaline basalt (Figure 7A). Positive Eu anomalies indicate the existence of a large amount of mafic rock detritus, whereas strong negative Eu anomalies indicate the incorporation of felsic magmatic material (Dai et al., 2017; Liu et al., 2020).  $\text{Al}_2\text{O}_3/\text{TiO}_2$  is also a reliable index used to discriminate the provenance of sedimentary rocks (Spears and Rice, 1973; He et al., 2003; He et al., 2007; Dai et al., 2017; Liu et al., 2020), and previous studies have suggested that  $\text{Al}_2\text{O}_3/\text{TiO}_2 < 7$  is characteristic of the Emeishan high-titanium basalt (He et al., 2007). Figure 7B shows that the  $\delta\text{Eu}$  value of samples ranges from 0.74 to 1.21, and  $\text{Al}_2\text{O}_3/\text{TiO}_2$  values are less than 7, falling in or similar to the field of Emeishan high-titanium basalt (Xiao et al., 2004; Dai et al., 2016; Liu et al., 2020). The high-titanium basalt in the east of Emeishan Large Igneous Province located in the Kangdian Upland is the most likely provenance

area of the Late Permian coal-bearing strata in western Guizhou (Chung and Jahn, 1995; Xiao et al., 2004). During Late Permian, the South China was hot and humid (Bercovici et al., 2015; He et al., 2020; Zhang et al., 2020). In this case, the source rock suffered strong chemical weathering, and the iron was continuously leached under strong weathering and brought into the sedimentary basin after frequent regression and transgression, providing a sufficient iron source for the formation of siderite.

There are two major possible sources of carbon in sedimentary rocks: sedimentary organic matter and marine carbonate rocks (Ohmoto, 1972; Veizer et al., 1980; Liu et al., 2021). The  $\text{CO}_2$  source was determined based on the discrimination diagram of  $\delta^{13}\text{C}_{\text{PDB}}$  versus  $\delta^{18}\text{O}_{\text{SMOW}}$  (Figure 8). The data of gelatinous siderite indicate that the source of  $\text{CO}_2$  was derived from the dehydration of organic matter, and its formation was affected by seawater and organic matter. The data distribution of microcrystal-silty siderite (II) is scattered, and its  $\text{CO}_2$  source



may be sedimentary organic matter and marine carbonate. Microlite siderite is evenly distributed in the strata (Figure 2), and its source is more likely sedimentary organic matter, whereas powder crystal siderite is formed by metasomatic calcite or paleontological shell. The  $\text{CO}_2$  sources of spheroidal siderite are sedimentary organic matter and marine carbonate rocks, which are affected by different water during diagenesis. Moreover, the analysis results of spheroidal siderite may indicate the genesis of various spheroidal siderite.

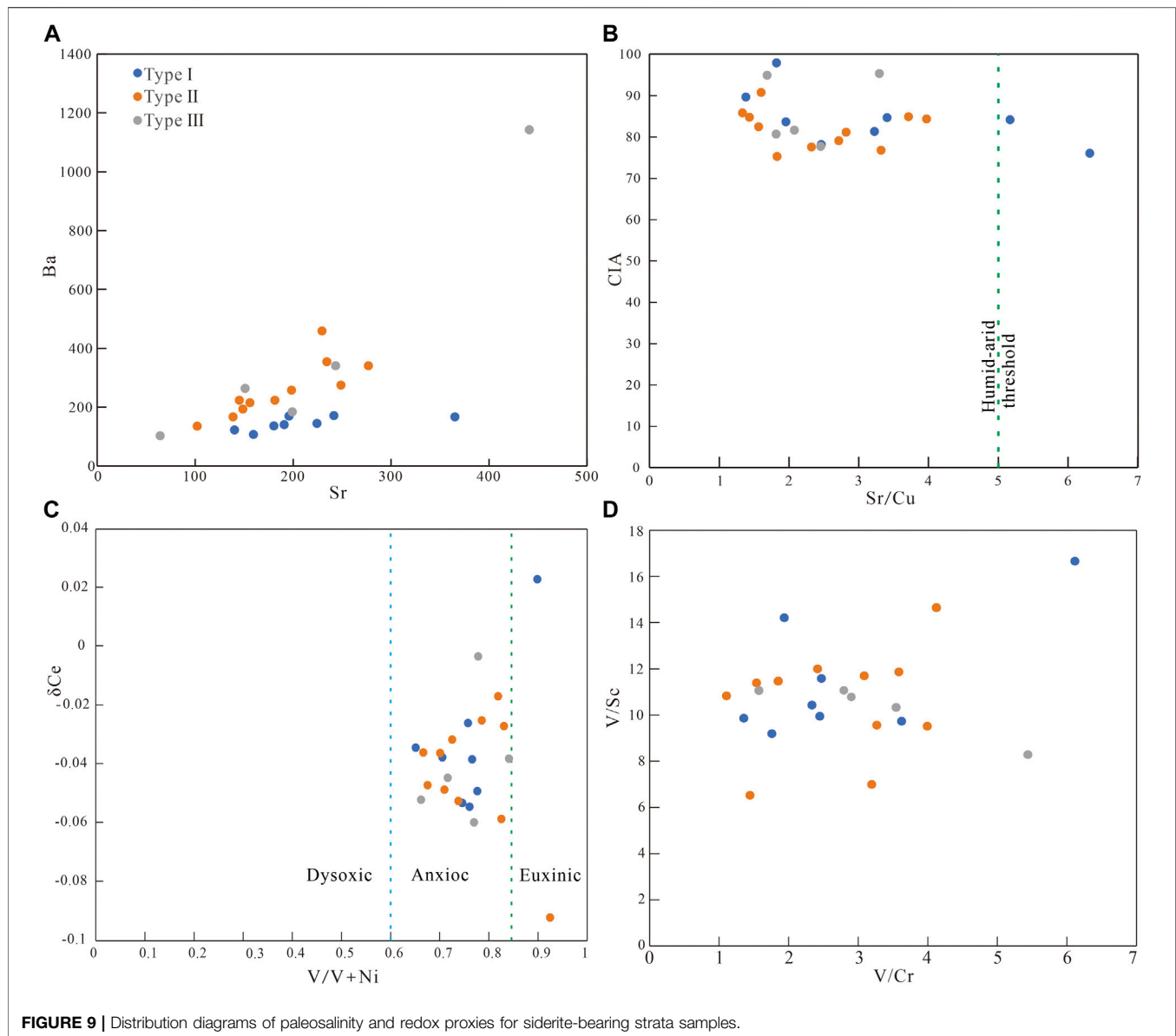
## 5.2 Depositional Conditions of Siderite-Bearing Strata

Elemental concentration ratios such as Sr/Ba, Sr/Cu, V/(V+Ni) in clastic rocks can indicate the depositional conditions (Hatch and Leventhal, 1992; Mongenot et al., 1996; Rimmer et al., 2004;

Akinlua et al., 2010; Zhao et al., 2016; Xu et al., 2017). Generally, Sr is enriched in the marine, and Ba is concentrated in the continental deposition. The Sr/Ba ratio reflects the influence degree by seawater and freshwater during the deposition period. The higher the value, the stronger the influence degree by seawater (Johnsson, 1993; Armstrong-Altrin et al., 2015). The whole-rock Sr/Ba value of samples with gelatinous siderite ranged from 1.14 to 2.18, with an average of 1.45 (Figure 9A). In addition, the gelatinous siderite-bearing strata contain glauconite (Figure 2D), indicating that the gelatinous siderite is strongly affected by seawater (Odin and Matter, 1981; Johnsson, 1993; Armstrong-Altrin et al., 2015; Banerjee et al., 2016). The whole-rock Sr/Ba value of samples with microlite-powder crystal siderite ranges from 0.5 to 0.9, with an average of 0.74, indicating that this kind of siderite was deposited under the joint action of seawater and freshwater (Johnsson, 1993; Armstrong-Altrin et al., 2015). The whole-rock Sr/Ba value of samples with sphaerosiderites ranged from 0.39 to 1.08, indicating that the paleosalinity of the water column during the sedimentary period of this type was relatively low, and the morphology was different under different paleosalinity.

The Sr/Cu ratio can indicate the paleoclimate (Roy and Roser, 2013; Sarki Yandoka et al., 2015). Under warm and humid climatic conditions, sediments usually show a low Sr/Cu ratio (Roy and Roser, 2013; Sarki Yandoka et al., 2015). Figure 9B shows that the whole-rock Sr/Cu of samples with gelatinous siderite ranges from 1.38 to 6.30 (mostly from 1 to 5), with an average of 3.21; that of the microlite-powder crystal siderite ranges from 1.33 to 3.97, with an average of 2.42. The spheroidal siderite has an Sr/Cu ranging from 1.69 to 3.29, and there is no significant difference in the value. The chemical index of alteration (CIA) indicates that the paleoclimate where types II and III siderite formed is warm and humid. The CIA of gelatinous siderite is greater than 80,

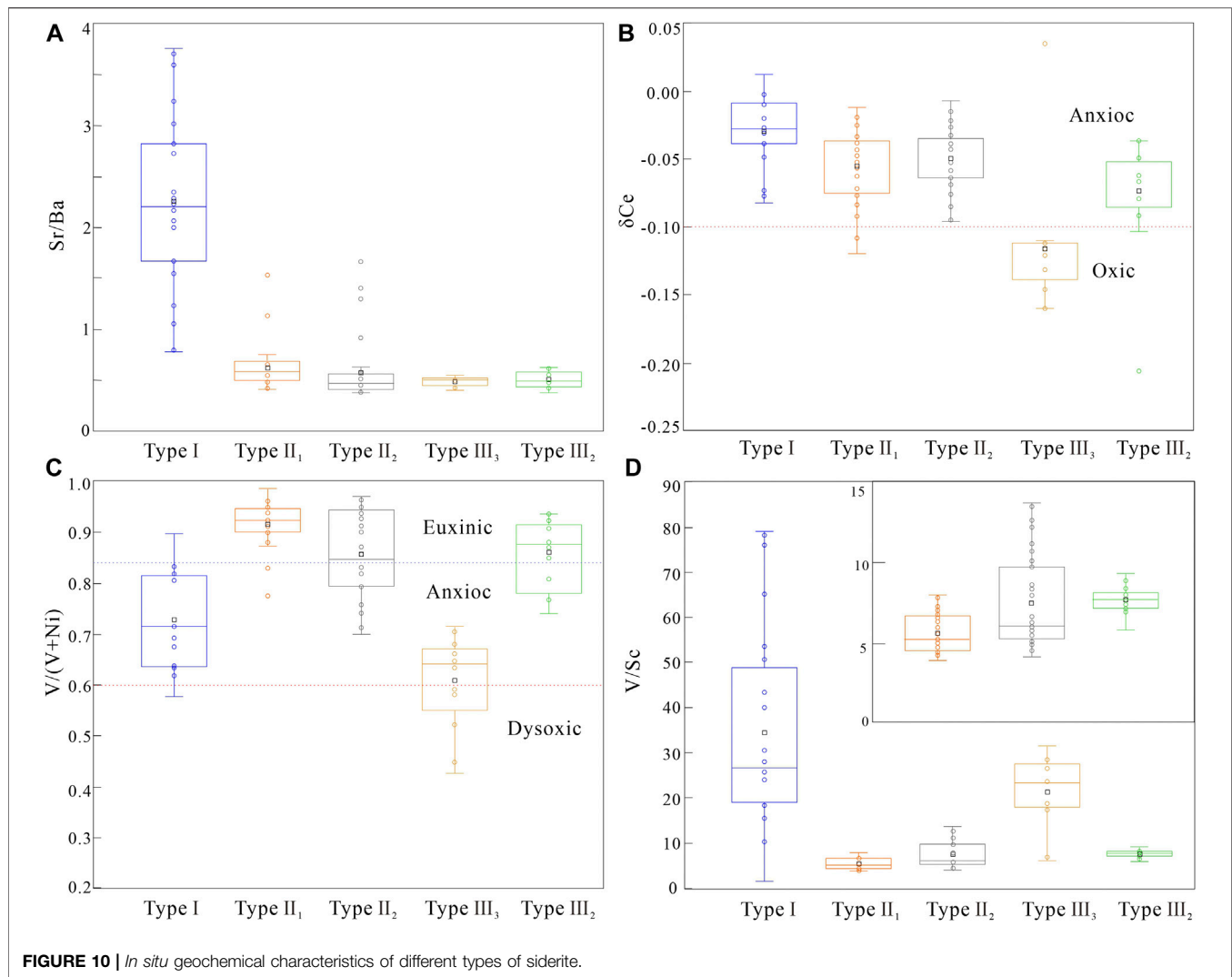




reflecting the hot and humid climate (Nesbitt and Young, 1982; McLennan, 1993).

The concentrations of V, Ni, and Ce in fine-grained sediments are sensitive to redox conditions and can help to characterize the depositional conditions (Wright et al., 1987; Jones and Manning, 1994; Holser, 1997; Chen et al., 2015). In general, the value of  $\delta \text{Ce}$  > -0.1 indicates the anoxic condition, and less than -0.1 indicates the oxic condition (Wright et al., 1987; Holser, 1997; Chen et al., 2015).  $\text{V}/(\text{V}+\text{Ni})$  ratios >0.84, 0.84–0.6, and <0.6 represent euxinic, anoxic, and dysoxic conditions, respectively (Hatch and Leventhal, 1992; Zhao et al., 2016; Xu et al., 2017). Moreover,  $\text{V}/\text{Sc}$  and  $\text{V}/\text{Cr}$  ratios can also provide information of depositional conditions (Jones and Manning, 1994; Rimmer et al., 2004). **Figure 9C** shows that the whole-rock  $\delta \text{Ce}$  values of all samples are greater than -0.1, indicating that the water column in the sedimentary period was

anoxic. The whole-rock  $\text{V}/(\text{V}+\text{Ni})$  ratio of most samples is between 0.6 and 0.84, showing that they were formed under the weak reducing condition with weak stratification of the water column (Hatch and Leventhal, 1992; Zhao et al., 2016; Xu et al., 2017). The whole-rock  $\text{V}/\text{Sc}$  value of the samples varies little (**Figure 9D**). Among them, the type I siderite was 9.19 to 16.66, with a mean value of 11.45; the types II and III siderite ranges from 6.53 to 14.65 and 8.29 to 11.07, with an average value of 10.59 and 10.31, respectively. It shows that most siderite-bearing strata are formed in a weak reduction environment (Jones and Manning, 1994). The whole-rock  $\text{V}/\text{Cr}$  values of gelatinous siderite, microcrystal-silty siderite, and spheroidal siderites are 1.36 to 6.12, 1.11 to 4.12, 1.57 to 5.44, with an average value of 2.76, 2.69, and 3.25, respectively. Although the  $\text{V}/\text{Cr}$  values of some samples are low, the existing geochemical indicators show that most siderite-bearing strata



samples are formed in a weak reduction to reduction environment (Jones and Manning, 1994; Rimmer et al., 2004).

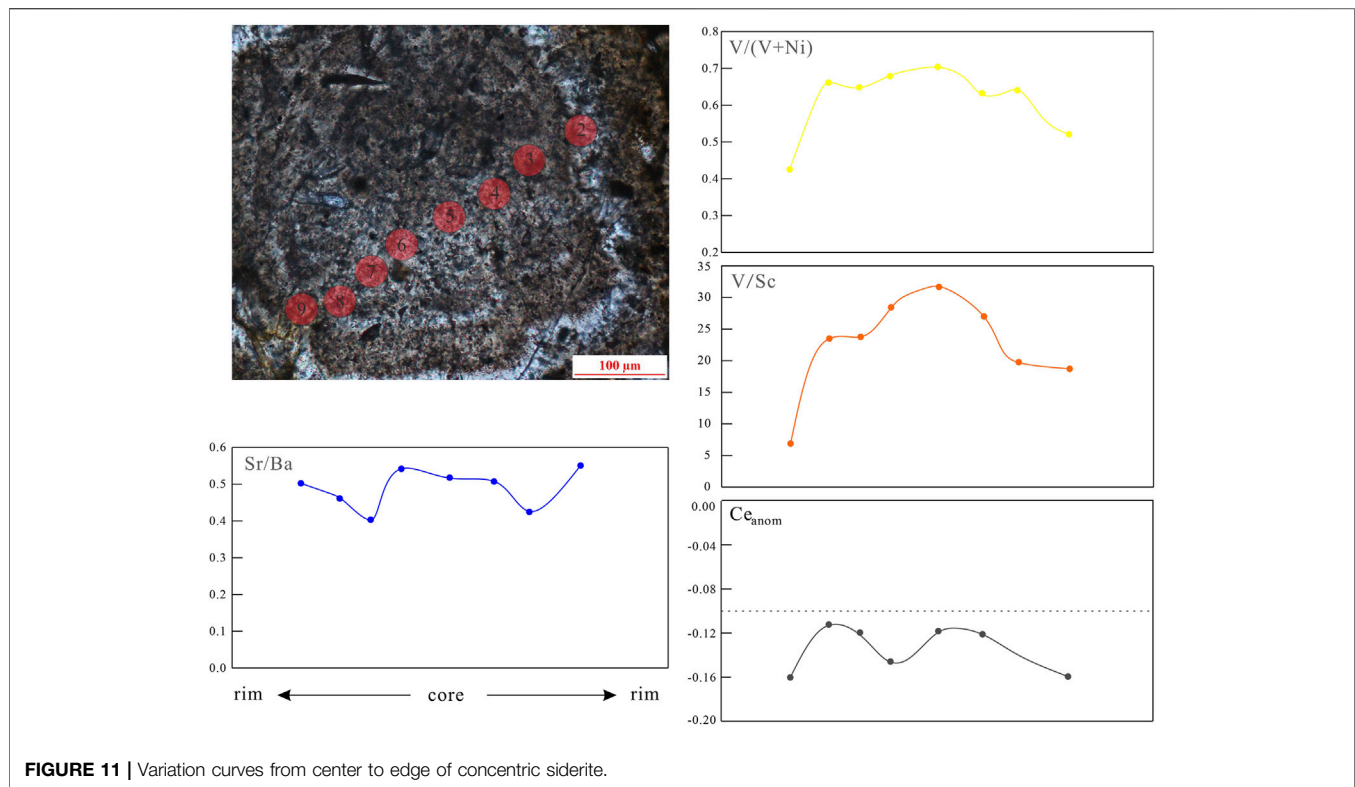
### 5.3 Diagenetic Environment and Genesis of Siderite

Siderite is an authigenic mineral, and thus, different micro forms of siderite retain the information of diagenetic water during its formation.

According to the *in situ* test results, the  $\text{FeCO}_3$  content of type I siderite is the lowest among the three types. The Al and Si contents are high, and the Al/Si ratio is almost the same, close to 0.9. The results show that gelatinous siderite is symbiotic with fine clay. The Sr/Ba value of each spot in type I siderite is between 0.79 and 3.75, with an average of 2.26 (Figure 10A), higher than that of the whole rock (Figure 9A). These characteristics indicate that the diagenetic fluid is mainly seawater with high paleosalinity, similar to sedimentary water (Johnsson, 1993; Armstrong-Altrin et al., 2015). The average value of  $\delta \text{Ce}$  is  $-0.03$  (Figure 10B), which is similar to the whole rock (Table 2). The mean value of

$\text{V}/(\text{V}+\text{Ni})$  of the *in situ* mineral is 0.73. Compared with the whole-rock  $\text{V}/(\text{V}+\text{Ni})$  of the corresponding samples, the  $\text{V}/(\text{V}+\text{Ni})$  value of the *in situ* mineral is basically unchanged. The value of  $\text{V}/\text{Sc}$  of the *in situ* mineral is 10.25 to 79.02, with an average of 35.85, significantly higher than the whole rock (Figure 10D). These characters indicate that the type I siderite was formed in an early diagenetic environment, and the diagenetic water is mainly reduced and stagnant seawater (Hatch and Leventhal, 1992; Zhao et al., 2016). During the gelatinous siderite formation, the content of the clastic particles is low, and a large number of clay minerals are distributed in the sediments. During the syngenetic stage, the cryptic crystalline siderite precipitates from the seawater and filled in the limited space as cements.

The  $\text{FeCO}_3$  content of type II<sub>1</sub> siderite is higher than that of type I siderite, whereas the content of Al and Si is lower, with average Al/Si value of 0.41. The type II<sub>1</sub> siderite appears hypidiomorphic granular aggregates, and the crystal morphology is not obvious, only with the boundary between crystal particles vaguely observed (Figure 2F). Moreover, the clay mineral content in the pores is relatively low, and the siderite is

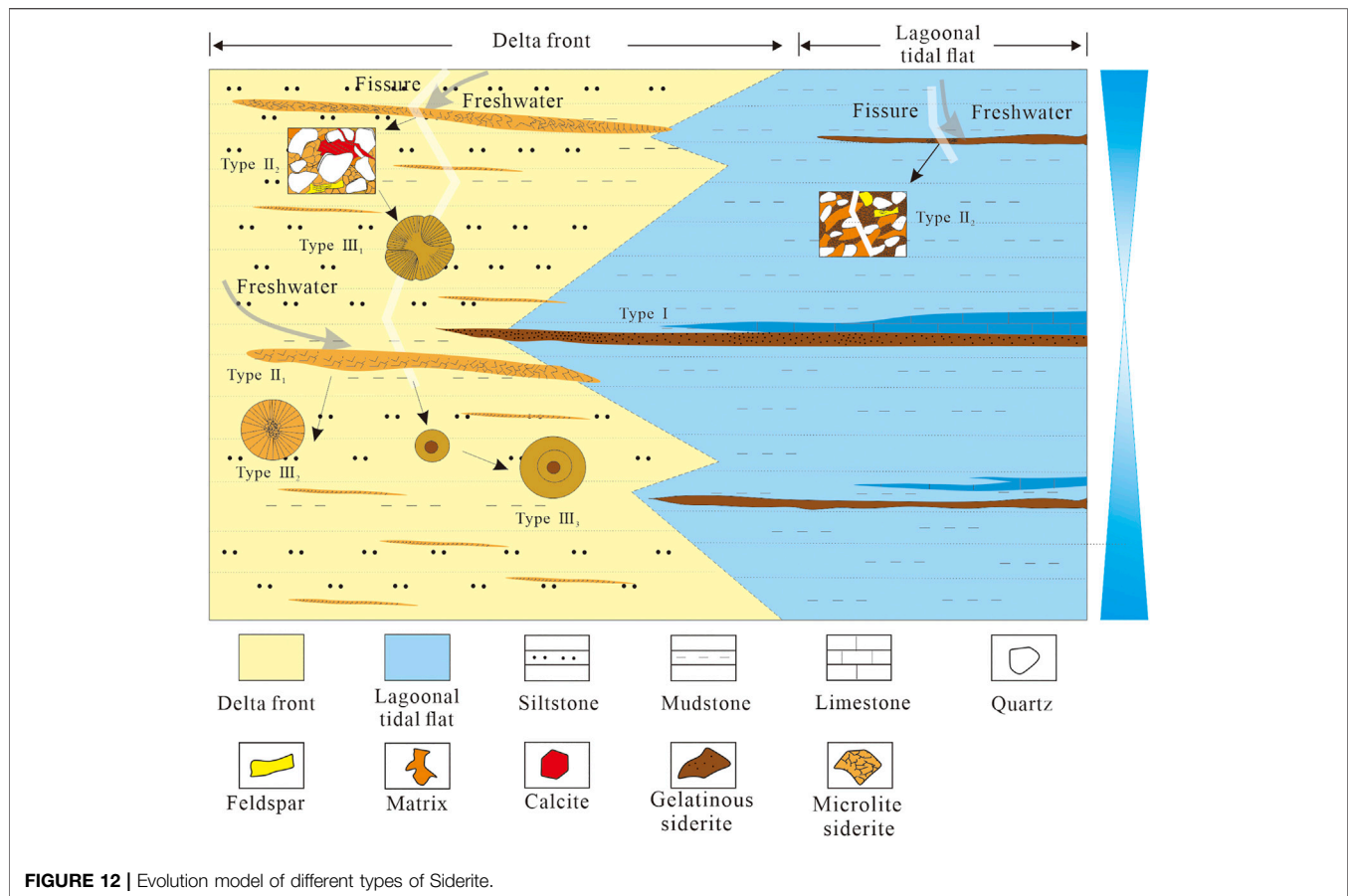


relatively pure. The above characteristics indicate that the microlite siderite is likely to be formed in the original intergranular pores. The average *in situ* Sr/Ba of type II<sub>1</sub> siderite is 0.64, and that of the whole rock is 0.66. There is almost no difference between them, meaning that the paleosalinity of the diagenetic water is similar to the sedimentary water body, that is, saltwater (Johnsson, 1993; Armstrong-Altrin et al., 2015). The average value of  $\delta$  Ce in *in situ* mineral is  $-0.06$ ,  $V/(V+Ni)$  is 0.93, and  $V/Sc$  is 4.80;  $\delta$  Ce and  $V/(V+Ni)$  are similar to the average values of whole rock, whereas  $V/Sc$  is obviously lower than the average value of whole rock (Figure 10D; the average value of whole rock is 11.58). Therefore, the diagenetic water where type II<sub>1</sub> siderite formed is weakly reduced (Hatch and Leventhal, 1992; Jones and Manning, 1994; Rimmer et al., 2004; Zhao et al., 2016). The diagenetic environment of type II<sub>1</sub> siderite is similar to its sedimentary environment. It is a saltwater fluid with weak oxidation-reduction, and the diagenetic water is likely to be primary porewater. During the sedimentation of the strata with type II<sub>1</sub> siderite, the fluid washed the original pores, and the intergranular clay minerals decreased. The primary porewater through layers provides Fe-forming materials for the crystallization of siderite, which promotes the precipitation of siderite in intergranular pores and forms microlite siderite. However, because of the limited crystallization space, the siderite is filled in the pores and developed in the form of microlite siderite aggregate.

The type II<sub>1</sub> siderite appears as silty-sized crystal aggregates, and some develop into petal-like siderite (Figures 2L,J). The

$FeCO_3$  content is approximately 80 wt%. The Sr/Ba ranges from 0.38 to 1.66, with an average value of 0.58 (Figure 10A), different from the average Sr/Ba of the whole rock of 0.96. The Sr/Ba value indicates that the type II<sub>2</sub> siderite was transformed by freshwater in the later diagenetic stage (Johnsson, 1993; Armstrong-Altrin et al., 2015). The *in situ* V/Sc values are 2.9 to 9.0, with an average of 5.24, which are lower than those of the whole rock (Figure 9B) (averagely 11.52). The  $V/(V+Ni)$  value is between 0.7 and 0.97 (Figure 10C), with an average of 0.85, which is higher than the whole rock (average 0.75). The  $\delta$  Ce values range from  $-0.1$  to  $-0.01$  (Figure 10B), which is slightly lower than whole rock (from  $-0.03$  to  $-0.06$ , average  $-0.04$ ). These characteristics reveal the diagenetic water where type II<sub>2</sub> siderite formed is different from its sedimentary water; that is, the diagenetic water is not seawater sealed in sedimentary period or primary porewater, but relatively freshwater with weak oxidation to weak reduction (Hatch and Leventhal, 1992; Jones and Manning, 1994; Rimmer et al., 2004). During the burial period, the siderite had been transformed by the diagenetic fluid, which promoted the recrystallization of siderite crystals with smaller particles formed in the sedimentary period and formed powder crystal siderite with silty size and better crystal morphology.

The type III siderite can be divided into three subtypes based on microscopic morphology. According to the observed type III<sub>1</sub> siderite, the morphologies range from powder crystals through fan-shaped to petal-like morphologies (Figures 2K,L); it is likely to be formed by a single crystal, and the morphology is affected by pores and diagenetic fluid (Passey, 2014). According to the *in situ* results (Figure 10), the Sr/Ba ranges from 0.38 to 0.63, indicating



that the formation environment was affected by freshwater. The *in situ* V/Sc, V/(V+Ni), and  $\delta$  Ce values are 5.6 to 9.3, 0.74 to 0.94, and  $-0.21$  to  $-0.04$ , respectively. These characteristics indicate that the type III<sub>2</sub> siderite grows radially around the core with weak oxidation to weak reduction. The variation curves of many geochemical indexes from the core to the rim of type III<sub>3</sub> siderite show obvious symmetry (Figure 11), indicating that its growth is slow and continuous and carried out together with the diagenesis. Under the repeated action of diagenetic fluid, the type III<sub>3</sub> siderite grows in a circle around the cryptocrystalline core in a fluid with the weak oxidation–reduction property.

## 5.4 Evolution Model of Siderite and Geological Significance

Siderite in the study area shows various forms and has been affected by sedimentary environment and diagenetic environment. Regarding the sedimentary environment, the Upper Permian coal-bearing strata developed under carbonate tidal flat-barrier-lagoon-shallow delta conditions (Wang et al., 2011; Shen et al., 2016; Shen et al., 2019; Qin et al., 2018), and the climate was hot and humid. Such sedimentary condition was beneficial to the formation of siderite (Bercovici et al., 2015). The development and evolution model of siderite is shown in Figure 12. The formation of type I siderite is strongly affected

by seawater during transgression, and the sedimentary water is stagnant seawater. During diagenesis, the diagenetic water along the fissures and beddings promoted the recrystallization of the gelatinous siderite to form the powder siderite (Figure 12). The sedimentary water of type II siderite gradually changed from seawater to brackish water in the transitional environment. During diagenesis, the diagenetic fluid of freshwater promoted the recrystallization of siderite along fissures and beddings or metasomatism with calcite to evolve into type II<sub>2</sub> siderite and sometimes into petal-like siderite (Figure 12). The type III<sub>2</sub> siderite grows radially around the core. The type III<sub>3</sub> siderite grows in a circle around the cryptocrystalline core in a relatively active fluid with weak oxidation–reduction under the repeated diagenetic fluid. Under the influence of multistage diagenetic fluid, the type III<sub>3</sub> siderite grows around the cryptocrystalline core.

The formation of siderite is obviously controlled by the different sedimentary and diagenetic environments. Among them, types I and II<sub>1</sub> siderite mainly formed in the syndiagenetic and early diagenetic stages, and their morphology is controlled by the sedimentary environment. Therefore, types I and II<sub>1</sub> siderite can indicate the sedimentary environments. Gelatinous siderite was most affected by seawater, mostly formed in the transgression or near the maximum flooding surface (Shen et al., 2019; Zhang et al., 2020).



Microlite siderite was influenced by freshwater during its formation and was most developed in the early stage of transgression or high-stand system tract.

## 6 CONCLUSION

Based on the morphology of siderite in coal-bearing series, it can be divided into three types and six subtypes. There is barely any difference in the sedimentary sources of various siderite-bearing strata, which are Emeishan high-titanium basalt developed in the west of the study area. Type I siderite CO<sub>2</sub> comes from sedimentary organic matter. Types II and III siderite CO<sub>2</sub> is mainly derived from sedimentary organic matter and marine carbonate.

The most significant difference in the sedimentary period of various siderite-bearing strata lies in the influence degree of seawater. Among them, type I siderite-bearing strata are strongly affected by seawater, whereas types II and III siderite-bearing strata are affected by seawater and freshwater. Different types of siderite bearing strata are formed in depositional conditions from weak oxidation to weak reduction.

The *in situ* results show that types I and II<sub>1</sub> siderites were formed in the early diagenetic stage. The diagenetic water of type I siderite is sedimentary seawater, and type II<sub>1</sub> siderite is mainly primary porewater. The diagenetic water of type II<sub>2</sub> siderite is freshwater, and type III is multistage fluid.

The siderite morphologies are controlled by the sedimentary environment and diagenetic environment. Among them, gelatinous siderite and microlite siderite are mainly formed in the syndiagenetic stage and early diagenetic stage, thus indicating the sedimentary environment. Gelatinous siderite is strongly influenced by seawater and is mainly formed in the carbonate tidal flat and barrier lagoon. Microlite siderite is formed in the

shallow delta with relatively low sea level by the interaction of seawater and freshwater.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

TY: Conceptualization, methodology, writing—original draft. YS: Conceptualization, supervision, project administration, funding acquisition. YQ: Writing—original draft, visualization, investigation. YiZ: Software, validation. JJ: Investigation, resources, supervision. YoZ: Writing—reviewing and Editing. YLZ: Writing—reviewing and Editing. YfZ: Data curation, validation.

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## SUPPLEMENTARY MATERIAL

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# Response to the Variation of Clay Minerals During ASP Flooding in the Saertu Oilfield in the Songliao Basin

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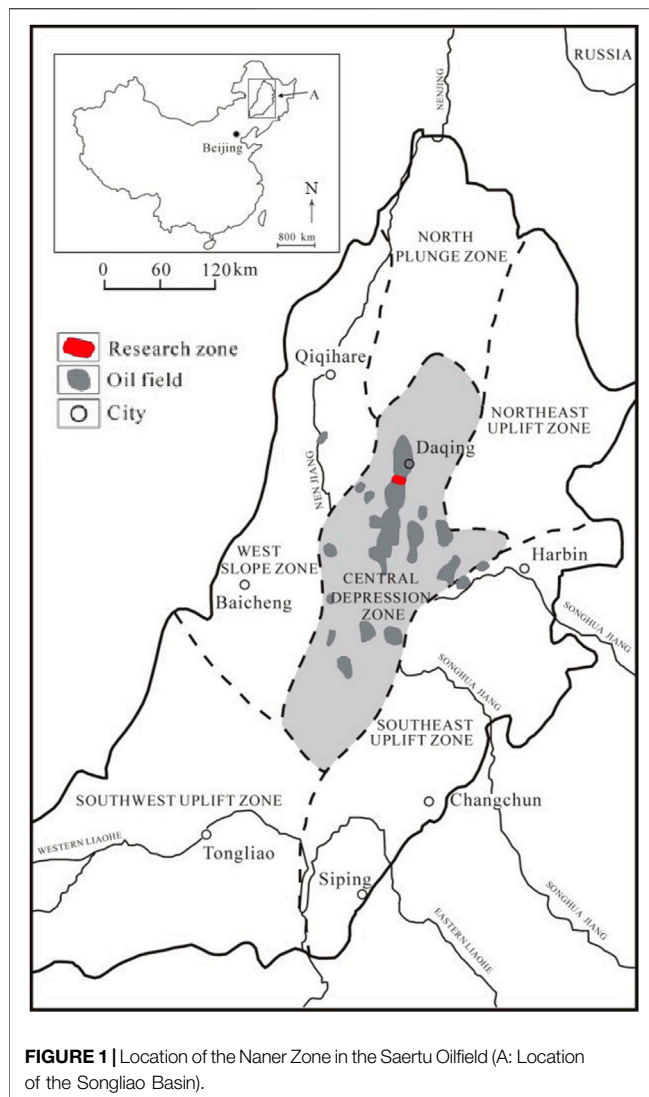
In this paper, the variation of clay minerals and their influence on reservoir physical properties and residual oil before and after ASP flooding are analyzed. The results show that the total amount of clay minerals in reservoirs decreases after ASP flooding in the ultra-high-water-cut-stage reservoirs of the Naner Zone in the Saertu Oilfield, Songliao Basin. Therein, the illite content reduces, while the content of illite smectite mixed-layer and chlorite increases. The content of kaolinite varies greatly. The content of kaolinite decreases in some samples, while it increases in other samples. The clay minerals block the pore throat after ASP flooding. As a result, the pore structure coefficient and the seepage tortuosity increase, the primary intergranular pore throat shrinks, and the pore-throat coordination number decreases. Nevertheless, the dissolution of clay minerals reduces the pore-throat ratio and increases porosity and permeability. The variation of clay minerals after ASP flooding not only intensifies the reservoir heterogeneity but also affects the formation and distribution of residual oil. The residual oil of the oil-clay mixed adsorption state is a newly formed residual oil type related to clay, which accounts for 44.2% of the total residual oil reserves, so it is the main occurrence form of the oil in reservoirs after ASP flooding. Therefore, the exploitation of this type of residual oil has great significance to enhance the oil recovery in ultra-high-water-cut-stage reservoirs.

**Keywords:** ASP flooding, clay minerals, pore structure and physical properties, residual oil, ultra-high water cut stage, the Songliao basin

## INTRODUCTION

ASP flooding refers to a chemical flooding method that utilizes a composite system of alkali, surfactant, and polymer for petroleum exploitation. It is one of the enhanced oil recovery (EOR) technologies used in many ultra-high-water-cut-stage reservoirs (Zhang et al., 2009; Cheng et al., 2012; Cheng et al., 2014; Wu et al., 2015a; Xv et al., 2015). A large amount of studies indicates that the composition, distribution, and content of clay minerals in the reservoirs have a great influence on the pore structure and physical properties (e.g., porosity and permeability), which will directly affect the oil production efficiency (Chen et al., 2016; Zhao et al., 2017; Zhu et al., 2021). There are many physical and chemical reactions between pore fluids and rock components in the formation during ASP flooding (Song et al., 2011; Wu et al., 2015a; Song et al., 2015; Sheng, 2016), especially the strong water-rock reaction of clay minerals. Pore structure and physical properties in





reservoirs change under the chemical dissolution of rock minerals and the precipitation and agglomeration of clay mineral particles in the pore fluid because of the adsorption-flocculation of the polymer (Kazempour et al., 2013; Huang et al., 2020). Ultimately, all these changes of the pore structure and physical properties of reservoirs will affect the formation and distribution of residual oil (Liu et al., 2013; Liu et al., 2014; Liu et al., 2015; Mansa et al., 2017; Wu et al., 2015b). Therefore, it is significant for the effective development of residual oil to investigate the variation of clay minerals after ASP flooding in ultra-high-water-cut-stage reservoirs. In the paper, the response to the variation of clay minerals in reservoirs during ASP flooding is studied for the ultra-high-water-cut-stage reservoirs of the Naner Zone in the Saertu Oilfield, Songliao Basin. Besides, the effect of these variations on pore structure, physical properties, and residual oil distribution are also investigated. The results of this study provide technical support for the effective development of residual oil after ASP flooding.

## GEOLOGICAL SETTING

The Naner Zone (research zone) of the Saertu Oilfield is located in the northern part of Daqing Placanticline from the Songliao Basin (**Figure 1**) (Hu et al., 2005). Three sets of oil reservoirs (Saertu, Putaohua, and Gaotaizi) are developed in the Naner Zone (**Figure 2**). These reservoirs belong to the fluern part of the daqivial-delta deposition, and their burial depth is about 827–1,200 m (Sui et al., 2000; Zhao et al., 2000). In this study, the second segment of the Saertu reservoir (member Sa II) is selected as the target layer.

Member Sa II is a sandstone reservoir with good permeability, and its thickness is about 52–56 m. The sandstone type is arkose, and a small part is hard sandy arkose with a fine sand-like structure. The detrital minerals are mainly composed of feldspar and quartz. Feldspar accounts for 43%–54% of the total content, consisting of a large amount of orthoclase and a small amount of plagioclase and microcline. In addition, quartz accounts for 30%–43% of the total content. The cement is mainly composed of argilla, and the argillaceous content is more than 3%. The clay mineral composition of cement is mainly kaolinite, followed by chlorite, illite, and illite smectite mixed layers. The cementation type is pore-contact, and the intergranular pore is the main type of pores (Xing and Jiang, 1993; Gao, et al., 2015).

At present, ASP flooding has been conducted on the Sa II reservoir, that is, injection of an alkali (sodium hydroxide)–surfactant (petroleum sulfonate)–polymer (polyacrylamide) system to the Sa II reservoir for oil displacement. The injection concentrations of the ASP system of alkali, surfactant, and polymer are 1.2, 0.3, and 0.18 wt%, respectively. The molecular weight of the polymer is 25 million. The salinities of formation water before and after ASP flooding are 7,178 and 7,463 mg/L, respectively, and the type of formation water is sodium bicarbonate (NaHCO<sub>3</sub>).

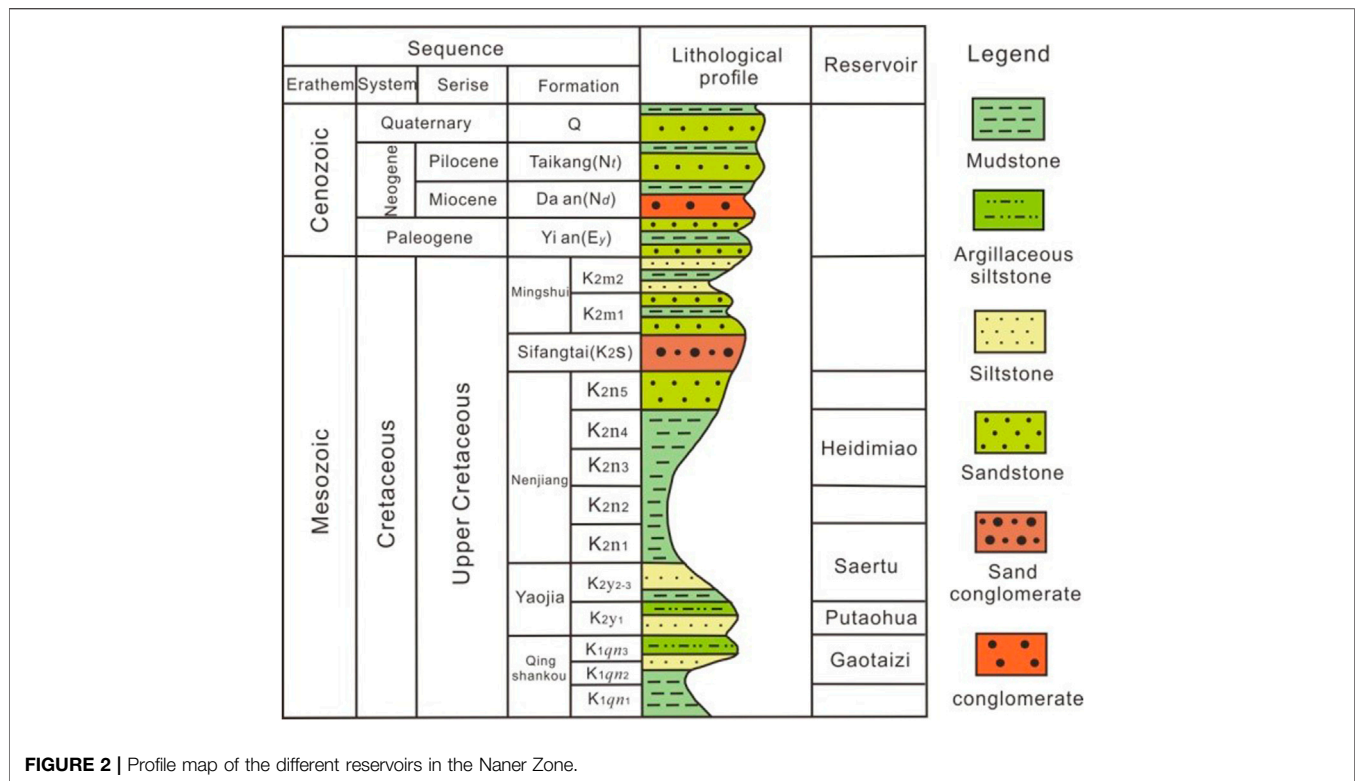
## MATERIALS AND METHODS

### Materials

The core samples required for this study were collected from the Sa II reservoir of the Saertu Formation in the Naner Zone of the Saertu Oilfield, and the core depth is 930–943 m. A total of 10 core samples were taken, including five ASP flooding samples with an average air permeability of 0.638  $\mu\text{m}^2$  and an average porosity of 27.9% and five water flooding samples (before ASP flooding) with an average air permeability of 0.443  $\mu\text{m}^2$  and an average porosity of 26.9%. The lithologies are all oil-bearing siltstones with good reservoir properties.

### Methods

Scanning electron microscopy (SEM) and X-ray diffraction methods were applied to the surface morphology, and whole-rock mineral and clay mineral analysis of the core samples was conducted to determine the pore structure and mineral composition of the cores and to explore the characteristics of



**FIGURE 2 |** Profile map of the different reservoirs in the Naner Zone.

changes in the pore structure and clay minerals of the cores before and after ASP flooding (Fang, et al., 2016; Zhu et al., 2021).

### Scanning Electron Microscope Analysis Method

SEM was used to directly observe the morphology and pore structure of core samples, including the shape, size, and distribution characteristics of pores, inter-pore connectivity, and the distribution characteristics of solid particle skeleton and clay minerals (Houben et al., 2013; Zhu et al., 2018). A scanning electron microscope was used to observe the samples, which were then firstly dried by the critical point drying method, i.e., using the property that the surface tension of a substance is equal to zero at the critical state to completely vaporize the liquid of the sample and exhaust it as a gas to achieve the purpose of complete drying. Then the sample is treated with conductive treatment to make the sample surface conductive. In this paper, the metal coating method is used, which uses a special device to cover the sample surface with metals of low resistivity, such as gold, platinum, and palladium, after evaporation.

### X-Ray Diffraction Analysis Method

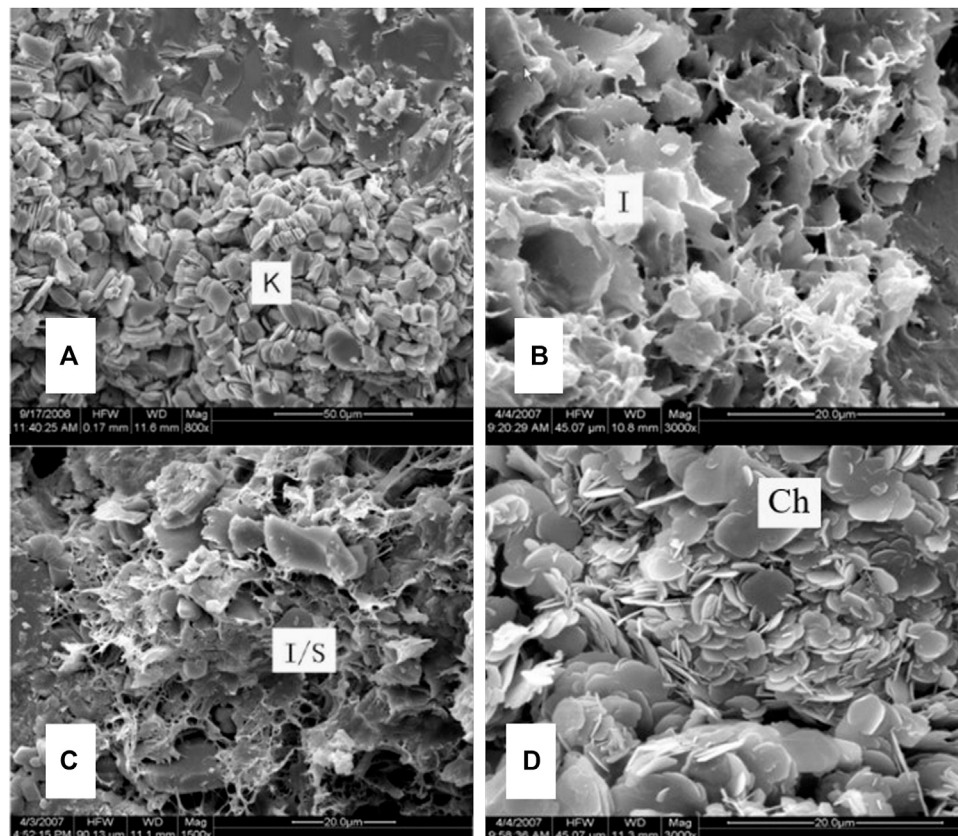
X-ray diffraction is applied to the analysis of whole-rock minerals and clay minerals in core samples (Wang et al., 2019). To determine the core samples by X-ray diffraction, the natural orientation sheet (N) is firstly prepared by the extraction method; i.e., start the vacuum pump, put the soaked microporous filter membrane on the funnel, pour the suspension in several times, pump the suspension in 10 min each time, remove the filter membrane when the clay

membrane reaches a thickness of 30–40  $\mu\text{m}$ , put the membrane on the slide backward, and put it in the culture blood to dry. Then the naturally oriented slides were thermostated with ethylene glycol vapor at 45°C for 7 h and cooled to room temperature to make ethylene glycol-saturated slides (EG), and the ethylene glycol-saturated slides were thermostated at (550 + 10)°C for 2 h and cooled naturally to room temperature to make heated slides (550°C). And the ray diffraction spectra of the naturally oriented sheet (N), glycol-treated sheet (EG), and heated sheet (550°C) were completed under certain experimental conditions. Finally, for the specific characteristics of clay minerals in sedimentary rocks, the percentages of every single mineral in clay minerals were calculated by taking advantage of the phenomenon where swelling minerals in clay minerals are heated and dehydrated and their crystalline spacing shrinks to 1.0 nm, and the characteristic peaks of kaolinite and chlorite overlap at 0.7 nm in the diffractogram of natural orientation.

## VARIATION CHARACTERISTICS OF RESERVOIR CLAY MINERALS BEFORE AND AFTER ASP FLOODING

### Morphology and Distribution Characteristics of Clay Minerals

SEM images show that different types of clay minerals have different morphologies and distribution characteristics. Kaolinite is an alteration product of feldspar, and its



**FIGURE 3 |** SEM images of clay minerals [(A) kaolinite, (B) illite, (C) illite smectite mixed layer, (D) chlorite].

morphologies are book-page-like, worm-like, and accordion-like. Kaolinite is mostly distributed in the intergranular pores in the form of pore filling (**Figure 3A**). Kaolinite is easy to move with fluids under the scouring of fluids because of the loose intracrystalline structure and then block and divide the pores and throats. Therefore, kaolinite is an important velocity-sensitive mineral. Illite is attached to the particle surface or filled in the intergranular pores in the form of leaves or silk hairs (**Figure 3B**). The leaf-like crystallites separate the pores into several fine pores, which increase the tortuosity of flow channels. The hair-like crystallites are easily washed away by water and then block pores and throats, which leads to the decrease of porosity and permeability of reservoirs. The illite smectite mixed layer is an intermediate product of montmorillonite transformed to illite, and its morphologies are burr and curl. It has three typical structures, namely, lamellar structure, honeycomb structure, and flocculent structure (**Figure 3C**). Compared with other clay minerals, the illite smectite mixed layer has very strong water sensitivity. Chlorite is often symbiotic with authigenic quartz, and its morphologies are needle-like, pompon-like, and rose-like. The chlorite is distributed in pores in the form of pore filling and pore backing. Generally, the needle-like chlorite is mostly filled with pore backing on the surface of the particles, while the pompon-like and rose-like chlorites are filled in the

pores (**Figure 3D**). Chlorite can be transformed from minerals such as biotite, hornblende, and montmorillonite. However, the authigenic chlorite is generally rich in high-valence iron ions, which easily react with the acid solution (e.g., HCl) in the drilling fluid to cause precipitation and reservoir damage. Therefore, chlorite is an acid-sensitive mineral.

## Variation Characteristics of the Content and Composition of Clay Minerals

The 10 selected core samples were extracted and dried at first, and then the X-ray diffraction method was used for the quantitative analysis of whole-rock minerals and clay minerals. The analysis results are presented in **Table 1**. As shown in **Table 1**, the alkali in the ASP system affects the content of the rock-forming minerals and clay mineral rock. The total amount of quartz is reduced after ASP flooding, and the potassium feldspar content is also reduced, while the plagioclase (albite) content increases slightly. Furthermore, the total content of clay minerals decreases after ASP flooding; therein, the relative content of the illite decreases, but the relative content of the chlorite and illite smectite mixed layer increases. However, the variation of kaolinite content in different core samples is quite different. Kaolinite contents in some samples decrease, while they increase in others.

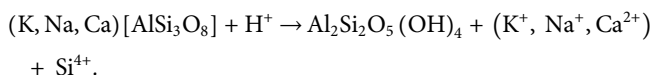
**TABLE 1** | Variation of rock mineral composition and content before and after ASP flooding.

Core number	Depth (m)	Mineral composition and relative content (%)				Mixed-layer ratio (%S)		Type and relative content of clay mineral (%)						Total amount of clay minerals (%)	Remark
		Quartz	Potassium feldspar	Anorthose	Calcite	I/S <sup>a</sup>	C/S <sup>b</sup>	S <sup>c</sup>	I <sup>d</sup>	K <sup>e</sup>	C <sup>f</sup>	I/S	C/S		
W1	941.42	50.8	20.9	24.6	—	15	—	—	20	69	4	7	—	3.7	Water flooding
W2	941.44	51.9	21.7	22.8	—	15	—	—	18	71	6	5	—	3.6	Water flooding
W3	941.99	52.5	19.8	23.5	0.3	15	37	—	8	73	5	5	9	3.9	Water flooding
W4	942.01	48.4	26.5	21.6	—	15	—	—	15	76	6	3	—	3.5	Water flooding
W5	942.03	50.2	21.6	22.1	—	15	—	—	10	77	8	5	—	6.1	Water flooding
S1	941.43	38.9	17.8	39.8	—	20	—	—	3	83	8	6	—	3.5	ASP flooding
S2	942.00	37.1	18.2	41.1	—	20	—	—	2	85	6	7	—	3.6	ASP flooding
S3	942.07	36.2	18.6	42.8	—	20	—	—	8	58	20	14	—	2.4	ASP flooding
S4	942.13	34.8	18.9	42.9	—	20	—	—	7	56	21	16	—	3.4	ASP flooding
S5	942.14	44.3	16.9	35.7	—	20	—	—	4	61	18	17	—	3.1	ASP flooding

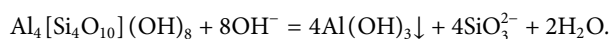
<sup>a</sup>Illite smectite mixed mineral.<sup>b</sup>Chlorite smectite mixed mineral.<sup>c</sup>Smectite.<sup>d</sup>Illite.<sup>e</sup>Kaolinite.<sup>f</sup>Chlorite.

In addition, ASP flooding changes the chemical composition of the rock. The ASP system injected into the reservoir, especially the alkali, on the one hand, will dissolve the rock minerals (e.g., kaolinite and feldspar), thereby causing the elemental components (e.g., silicon and aluminum) in the rock minerals to be transferred from the solid phase to the formation water; on the other hand, the aluminum and silicon ions which are dissolved by the alkali will regenerate the crystalline precipitate of aluminosilicate in other places under certain conditions (Maggio et al., 2010; Wu et al., 2015a; Song et al., 2015).

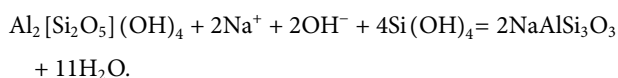
The feldspar of rock-forming minerals and some kaolinites are mostly eroded by alkali in the ASP flooding system. As a non-expanded clay mineral, kaolinite is one of the most common authigenic clay minerals in sandstone reservoirs, and it is also an indicator mineral for feldspar dissolution (Xing, 1983). The feldspar can generate kaolinite under eluviation, and its chemical reaction is as follows:



The reaction equation for the dissolution of kaolinite by alkali is as follows:



Kaolinite can be transformed into albite under the action of alkali, and the reaction equation is as follows:



It can be concluded that the increase of anorthose content after ASP flooding is due to the formation of albite from kaolinite under the action of alkali. The content of the illite smectite mixed layer is increased, which is the aluminosilicate scale formed by

mineral recrystallization (Lu and Guan, 1999). There are two reasons for the increase in kaolinite content. One is the newly formed kaolinite by feldspar under eluviation, and the other is the precipitation and accumulation of kaolinite particles in formation water caused by the adsorption flocculation of the polymer in the ASP system (Liu et al., 2014; Mansa et al., 2017). The increase in chlorite content is an aluminosilicate precipitate formed by mineral recrystallization in an alkaline environment.

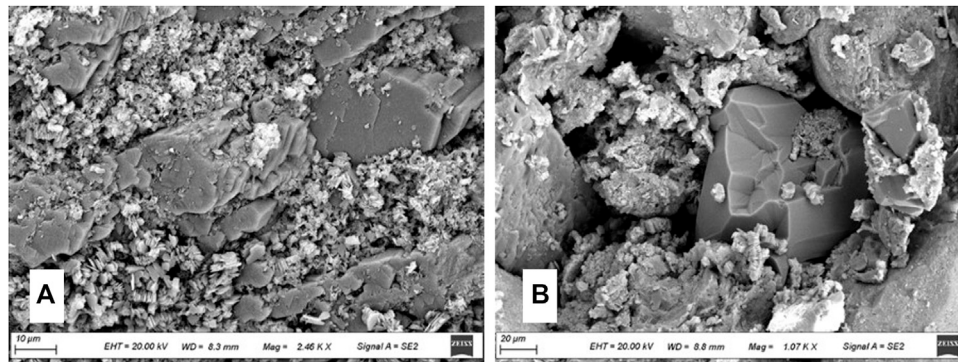
## INFLUENCE OF VARIATION OF CLAY MINERALS ON RESERVOIR PHYSICAL PROPERTIES

### Influence on the Pore Structure of Reservoir

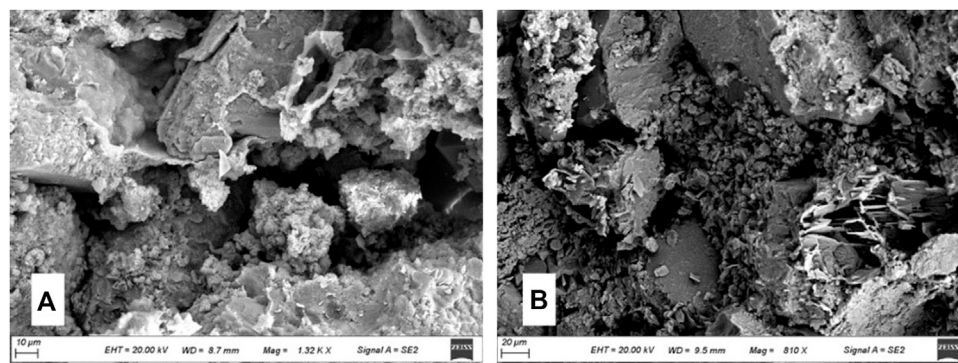
It can be seen from the SEM images of core samples before ASP flooding that the core intergranular pores include the primary intergranular pores and the secondary intergranular pores. The main cements in the core are clay minerals. Among the clay mineral cements, it can be seen from **Figure 4A** that the kaolinite cements in the pores in the form of pore-filling and blocks the pore throats; parts of illite and chlorite are cemented on the surface of the particles in the form of pore-backing to decrease the pore space, and the other part is cemented in the pores in the form of pore-filling and blocks the pore throats (**Figure 4B**).

It can be seen from the SEM images of core samples after ASP flooding that the clay minerals block the pore throat, and the pore structure coefficient and the tortuosity of pore throat increase after ASP flooding. The kaolinite and illite in the clay minerals adhere to the surface of the rock particles and extend into the pore space, thereby causing the shrinkage of the primary intergranular pore throat (**Figure 5A**). In addition, the local pore throat is enlarged due to the dissolution of clay minerals and rock particles by alkali (**Figure 5B**).

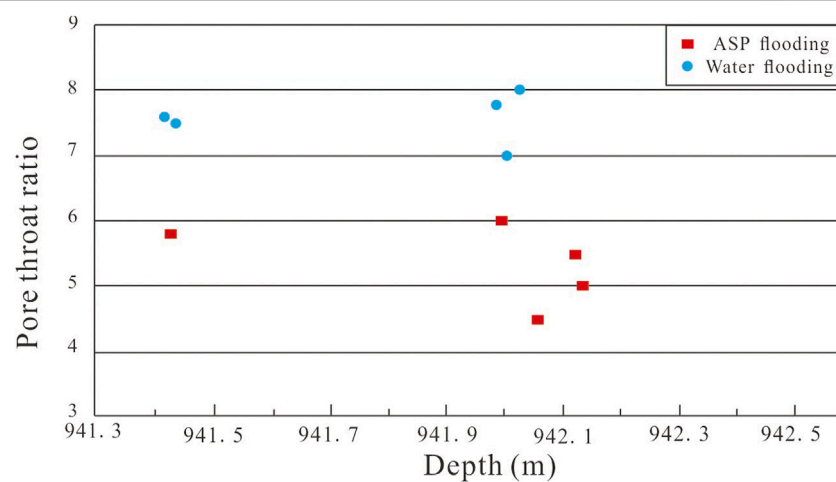




**FIGURE 4 |** Intergranular pore morphology before ASP flooding (**A**: surface of particles and pore throats, **B**: pore throats).



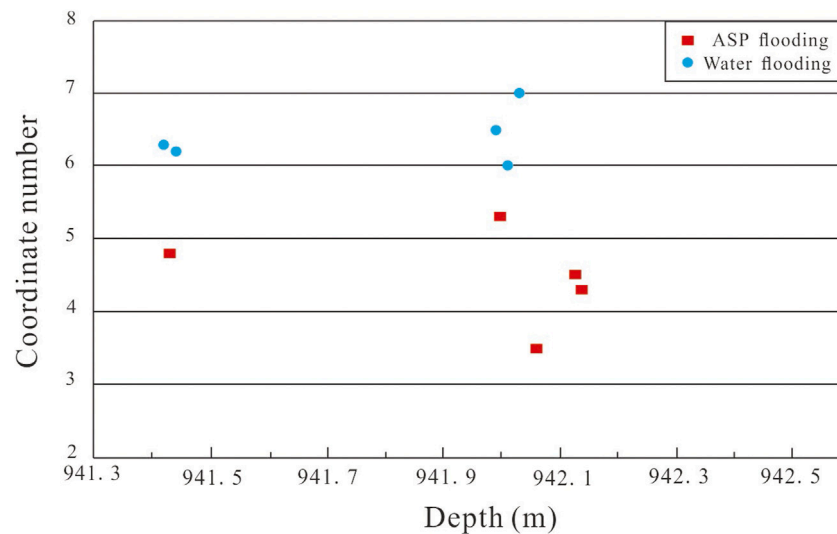
**FIGURE 5 |** Intergranular pore morphology after ASP flooding (**A**: primary intergranular pore throat, **B**: local pore throat).



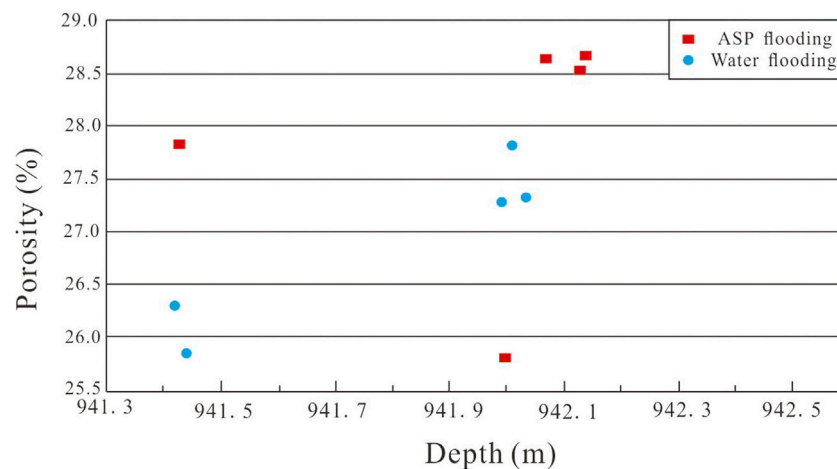
**FIGURE 6 |** Variation characteristics of pore-throat ratio before and after ASP flooding.

In addition, according to the analysis of the 3D nondestructive core sample pore structure obtained by CT scanning, the reservoir pore-throat ratio (ratio of pore radius and throat radius) and pore throat coordination number (throat

number connecting each pore) decreased significantly after ASP flooding (**Figure 6** and **Figure 7**). The reason for this phenomenon is that the alkali component in the ASP system erodes the clay minerals and rock particles (feldspar) in the



**FIGURE 7 |** Variation characteristics of pore throat coordinate number before and after ASP flooding.



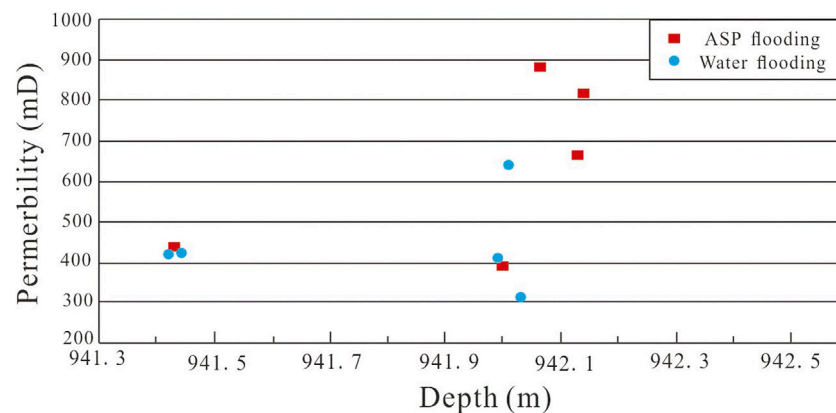
**FIGURE 8 |** Variation characteristics of porosity before and after ASP flooding.

reservoir during ASP flooding. The clay minerals and rock particles are chemically unstable, which are then dissolved by alkali migrating with the composite system, thereby increasing the diameter of the local pore throat (Loucks et al., 2012). In addition, the fine particles in reservoir fluids are easily adsorbed, trapped, and retained in the narrow throat by the polymer, causing throat blockage and reducing the effective communication in throats.

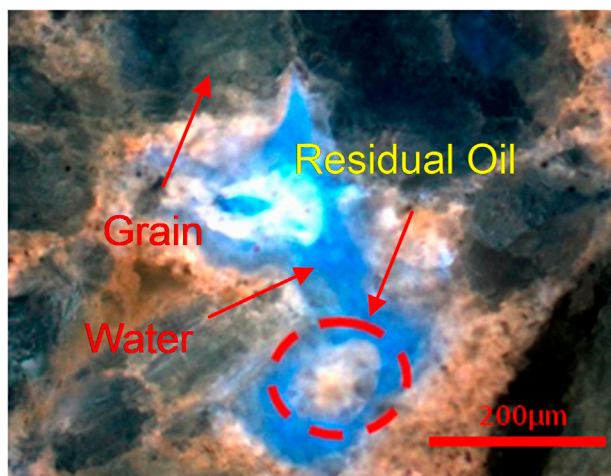
## Influence on Porosity and Permeability of Reservoir

Figure 8 and Figure 9 show the physical properties of core samples before and after ASP flooding; we can conclude that the changing trends of porosity and permeability are consistent after

ASP flooding. The average porosity of the core samples increases, which is 0.97% higher than the value before ASP flooding. Sixty percent of the core porosity increases by more than 28%, and the maximum value is 28.66%. The core permeability after ASP flooding is mainly distributed between 663.19 and 882.88 mD. Compared with that of the cores before ASP flooding, the permeability increases significantly, and the increment is 195.09 mD. The influence of ASP flooding on the physical properties of cores is mainly caused by the dissolution of rock skeleton, clay minerals, and cuttings by alkali components in the ASP system (Olajire, 2014). Part of the pore throats become large because of alkali dissolution and fine particle migration; therefore, the physical properties become better. However, some parts of pore throats become smaller, and the tortuosity of the pore throat increases because of the accumulation and



**FIGURE 9** | Variation characteristics of permeability before and after ASP flooding.



**FIGURE 10** | Distribution of petroleum-clay mixed residual oil.

precipitation of fine particles in the pore fluids, thereby leading to a decrease in porosity and permeability. The porosity and permeability of the reservoir are polarized and the heterogeneity is enhanced after ASP flooding.

## INFLUENCE OF VARIATION OF CLAY MINERALS ON RESIDUAL OIL

The transformation of clay minerals leads to changes in the pore structure of the reservoir, which affects the formation and distribution of residual oil. It can be seen from the fluorescence images of core samples after ASP flooding in ultra-high-water-cut-stage reservoirs that there are many isolated “petroleum-clay mixed” residual oil in the middle of pores after ASP flooding (Figure 10).

At present, the Naner Zone in the north of Daqing placanticline in Songliao Basin has entered the stage of ultra-

high water cut development. The kaolinite in the Sa II reservoir is a velocity-sensitive mineral. The kaolinite aggregation has poor adhesion to the skeleton particles, and the binding force between kaolinite wafers is also very weak. The kaolinite lamellar crystal aggregation is mechanically and chemically eroded by the injected ASP flooding solutions, causing the crystal skeleton of aggregation to be destroyed to form fine particles. In addition, the feldspar eluviation also produces new kaolinite. These tiny mineral fragments or newly formed kaolinite are driven by the injection fluid flow to produce particle migration. Then, the kaolinite particles migrating with the fluid are mixed with the microspheres of emulsified crude oil and are adsorbed and accumulated by the polymer in the local intergranular pores (Zhang et al., 2007; Zhang et al., 2010; Ren et al., 2015; Mansa et al., 2017). As a result, the isolated petroleum-clay mixed residual oil is formed and distributed in the intergranular pores and pore throats of the reservoir (Figure 10). The laser confocal scanning microscopy technique was used to quantify the proportion of different types of residual oil (Liang et al., 2018). The average content of petroleum-clay mixed residual oil is 4.8% (a percentage of the total volume of the sample), accounting for 44.2% of the total residual oil reserves. Compared with the core samples before the ASP flooding, the proportion of petroleum-clay mixed residual oil content in the total residual oil reserves after ASP flooding increased by 12.9%. The petroleum-clay mixed residual oil associated with clay minerals is the main occurrence state of residual oil after ASP flooding.

## CONCLUSION

- 1) After ASP flooding, the total amount of clay minerals decreases, and the content of illite decreases, while the contents of the illite smectite mixed layer and chlorite increase. The kaolinite content varies greatly. On the one hand, the kaolinite is dissolved by alkali, and its content decreases; on the other hand, the kaolinite particle in pore fluid precipitates and gathers under the adsorption flocculation of the polymer, and its content increases.

Because kaolinite can block the reservoir pore throat, it increases the difficulty of subsequent enhanced oil recovery (EOR).

- 2) After ASP flooding, the clay minerals block the pore throat, increase the tortuosity of pore fluid flow, and shrink the original intergranular pore throats. In addition, the dissolution of the clay minerals enlarges the local pore throat, decreases the pore-throat ratio and coordination number, and increases the porosity and permeability. Variation of clay minerals after ASP flooding not only enhances the reservoir heterogeneity but also influences the formation and distribution of residual oil.
- 3) The petroleum-clay mixed residual oil associated with clay minerals is a new type of residual oil. It is formed by the mixed aggregation of clay minerals and oil under the action of polymer in the process of ASP flooding and is mainly distributed in the intergranular pores or the pore throats with high clay mineral content. The clay-petroleum mixed oil is the main occurrence state of residual oil after ASP flooding, and the development of this type of residual oil will greatly improve the oil recovery of ultra-high-water-cut-stage oilfields.

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## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

YL was responsible for the main experiment, analysis, and part of the writing work; WL was responsible for the data sorting and revision work; HW was responsible for part of the writing work and revision work. ZL was responsible for the experimental design and part of the writing work.

## FUNDING

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# Evolutions of Oil Generation and Expulsion of Marine-Terrestrial Transitional Shales: Implications From a Pyrolysis Experiment on Water-Saturated Shale Plunger Samples

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Shale reservoirs are characterized by self-generation and self-accumulation, and the oil generation and expulsion evolution model of organic-rich shales is one of important factors that obviously influence the enrichment and accumulation of shale oil and gas resources. At present, however, relevant studies on marine-terrestrial transitional shales are inadequate. In this study, a pyrolysis experiment was performed on water-saturated marine-terrestrial transitional shale plunger samples with type II<sub>b</sub> kerogen to simulate the evolutions of oil generation and expulsion. The results indicate that marine-terrestrial transitional shales have wider maturity ranges of oil generation and expulsion than marine and lacustrine shales, and the main stages of oil expulsion are later than those of oil generation, with corresponding  $R_o$  values of 0.85%–1.15% and 0.70%–0.95%, respectively. Although the oil generation and expulsion process induced a fractionation in compositions between the expelled and retained oils, both the expelled and retained oils of marine-terrestrial transitional shales are dominated by heavy compositions (resins and asphaltenes), which significantly differs from those of marine and lacustrine shales. The kerogen of marine-terrestrial transitional shales initially depolymerized to transitional asphaltenes, which further cracked into hydrocarbons, and the weak swelling effects of the kerogen promoted oil expulsions. The oil generation and expulsion evolutions of these shales are largely determined by their organic sources of terrigenous higher organisms. This study provides a preliminary theoretical basis to reveal the enrichment mechanism of marine-terrestrial transitional shale oil and gas resources.

**Keywords:** marine-terrestrial transitional shale, pyrolysis experiment, oil generation and expulsion, organic sources, maturity

## INTRODUCTION

Organic-rich shales are widely developed in marine, lacustrine, and marine-terrestrial transitional strata in China, and the three types of shales significantly contributed to the oil and gas resources of both conventional and unconventional reservoirs (Zou et al., 2019; Zou et al., 2020). In the Sichuan Basin, for example, giant gas fields in the central Sichuan Basin, including the Anyue, Weiyuan, and Ziyang shale gas plays, were mainly sourced from the Lower Paleozoic marine shales (Zou et al., 2014); several tight sandstone gas reservoirs in the paleo-uplift of the central Sichuan Basin largely came from the Jurassic lacustrine shales (Huang et al., 2019); the Xinchang gas field in the western Sichuan Basin were basically derived from the Upper Triassic marine-terrestrial transitional shales (Chen et al., 2010; Wu et al., 2016). In the last 2 decades, developments of marine shale oil and gas resources in the North America have achieved a great success (Zhou et al., 2019). Marine shale gases and lacustrine shale oils in China also have been commercially developed in the past 10 years (Jin et al., 2019; Zhao et al., 2020). Although marine-terrestrial transitional shales were believed to have a large potential of shale oil and gas resources, few of them have achieved commercial developments until now (Guo et al., 2015; Guo et al., 2021).

Marine-terrestrial transitional source rocks generally have low yields of oil generation, and most of the generated oils remained in source rocks (Liu et al., 2005; Mao et al., 2012). Therefore, these source rocks are commonly believed to have few contributions to normal petroleum reservoirs. However, the oil and gas resources of marine-terrestrial transitional shale reservoirs are largely determined by their oil generation and expulsion model because shale strata generally acted as both source rocks and reservoirs. Although oil generation and expulsion models have been well documented for marine and lacustrine shales in many previous studies (Wei et al., 2012; Han et al., 2015; Tang et al., 2015; Han et al., 2017; Ziegls et al., 2017; Hakimi et al., 2018; Wu et al., 2018), relevant studies on marine-terrestrial transitional shales are still inadequate presently. Marine-terrestrial transitional shales generally have thin single layers and thick cumulative thickness. The sedimentary facies of marine-terrestrial transitional shales vary obviously with burial depth resulting in their organic and inorganic compositions exhibiting obvious heterogeneity, and these shales are obviously richer in terrestrial organic sources than the marine and lacustrine shales (Qin et al., 2016; Su et al., 2016). Because marine-terrestrial transitional shales are quite different from marine and lacustrine shales in both geological and geochemical characteristics, the oil generation and expulsion models deduced from the latter shales failed to be applied on the former shales. At present, oil generation and expulsion characteristics of marine-terrestrial transitional shales are still not fully understood, which limits the development of their oil and gas resources.

In previous studies, dried shale powder samples were commonly used for pyrolysis experiments (Inan and Schenk, 2001; Carr et al., 2009; Liang et al., 2015; Ko et al.,

2018). However, this method has several disadvantages to simulate oil generation and expulsion of shale samples. Shale pore structures, especially the microfractures, may be obviously broken during crushing process, which affects the expulsion and storage of generated shale oils. In addition, connate pore waters in source rocks significantly influence oil generation process and the properties of generated oils (Sun et al., 2015). For example, artificially simulated oils generated under water-bearing conditions are more similar with natural oil samples than those generated under anhydrous conditions (Lewan et al., 1979). Differing from the methods in previous studies, in this study, small shale plunger samples that drilled from a lowly mature marine-terrestrial transitional shale core sample were first saturated with water, and then they were used for a pyrolysis experiment to simulate the oil generation and expulsion characteristics. This study intends to provide a preliminary theoretical model to further reveal the enrichment mechanism of marine-terrestrial transitional shale oil and gas resources.

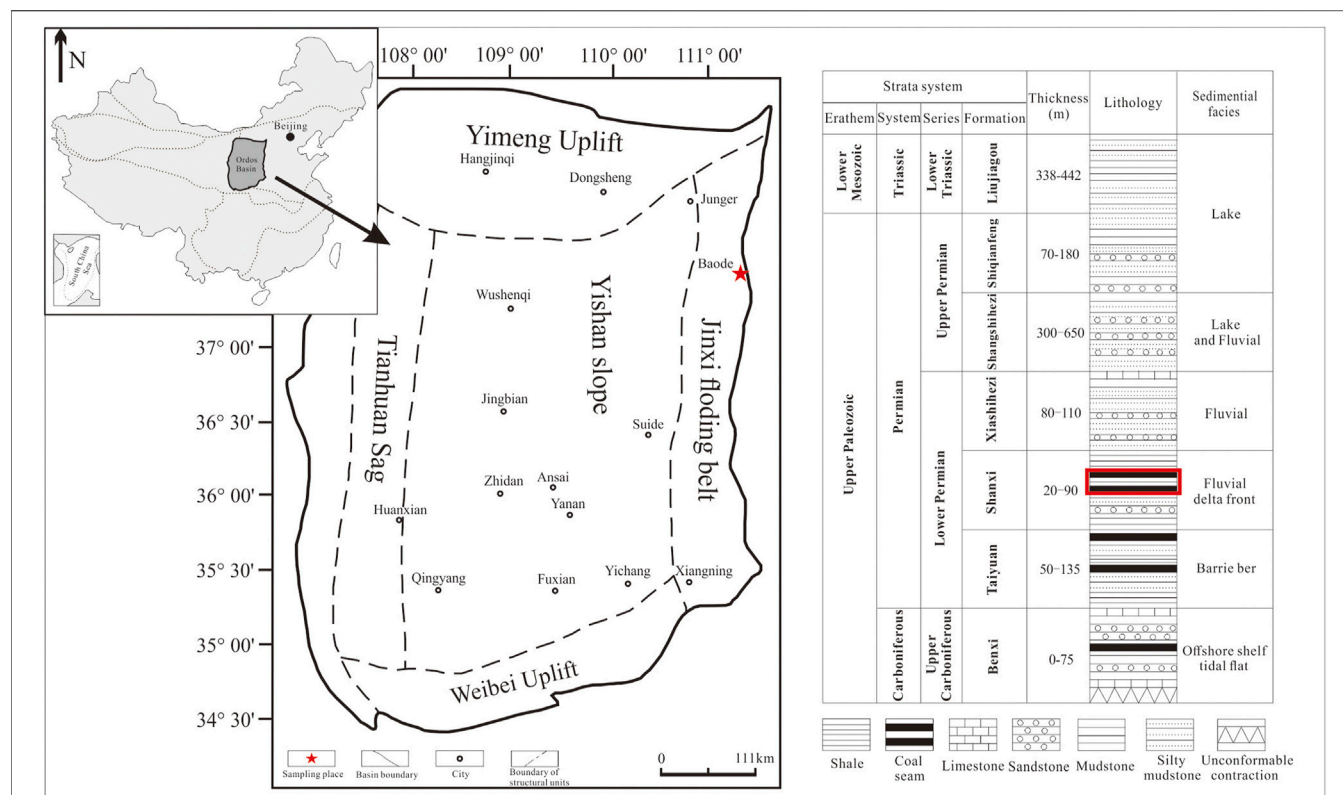
## EXPERIMENTS

### Pyrolysis Experiments

In this study, water-saturated shale plunger samples were used for the pyrolysis experiment performed in gold tube reactors (Tang et al., 2005; Tian et al., 2012; Gai et al., 2015; Ko et al., 2018). The main experimental procedures are as follows: small shale plunger samples (30 mm in height × 8 mm in diameter) were drilled from the inner part of a big shale core sample in vertical stratification direction. After they were dried in an oven at 105°C for 24 h, the shale plunger samples were saturated with deionized water in a BH-1 pressurized instrument (Cheng et al., 2019). Then, the water-saturate shale plungers were loaded in gold tubes (70 mm length × 9 mm inside diameter), and both sides of the gold tube were filled with quartz sands to collect expelled oils. Next, the gold tubes were separately loaded into pressure vessels in a high-temperature high-pressure pyrolysis oven. The given temperatures of this experiment were 350°C, 375°C, 395°C, 415°C, 435°C, and 460°C, respectively. During the experiment, the pyrolysis instrument was heated at a rate of 20°C/h, and the experimental pressure was maintained approximately 50 MPa (±1 MPa).

### TOC and Mineralogical Analysis

Shale samples were powdered to a grain size of less than 180 µm and were eluted by diluted hydrochloric acids to remove the carbonates. After the shale powders were dried in an oven, their TOC contents were analyzed by an Eario El Cube Elemental Analyzer. The analytical errors of the instrument is less than 0.1%. The mineralogical compositions of shales were analyzed by a Bruker D8 Advance x-ray diffractometer. The measurements were performed on shale grains less than 75 µm at 40 kV and 30 mA with Cu Kα radiation. The relative content of minerals was semi-quantitatively calculated based on their



**FIGURE 1 |** Schematic maps showing the location and stratum of the studied shale sample (modified after Cao et al., 2019 and Su et al., 2005).

**TABLE 1 |** Geological and geochemical data of the shale sample used for pyrolysis experiment in this study.

Sample	Region	Stratum	Lithology	TOC (%)	R <sub>o</sub> (%)	Rock-eval			Mineral compositions (%)						
						T <sub>max</sub> (°C)	HI (mg/g TOC)	OI (mg/g TOC)	Quart	Clay	Pyrite	Siderite	Feldspar	Anatase	Dolomite
BLG14	Ordos Basin	Lower Permian Shanxi Formation	Black shale	15.65	0.68	434	292	2	33.6	47.5	13.6	1.5	1.3	1.6	0.9

peak areas and was corrected with Lorentz-Polarization method (Chalmers and Bustin, 2008).

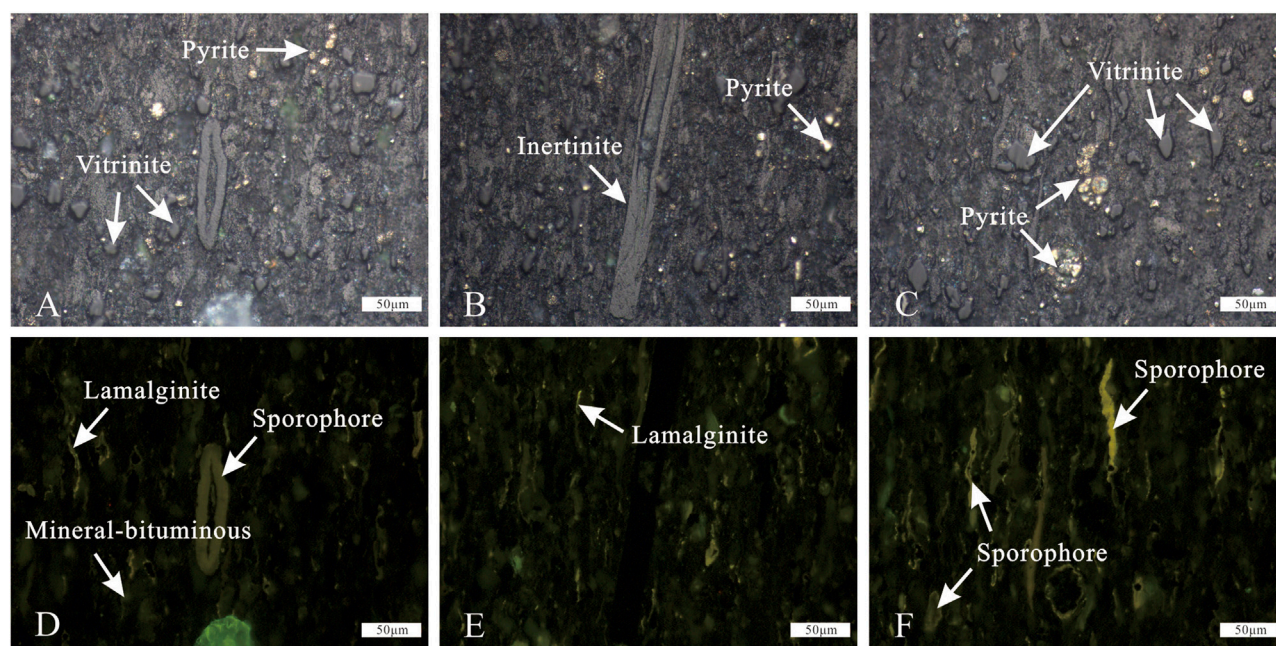
## Measurement of Vitrinite Reflectance (R<sub>o</sub>)

The R<sub>o</sub> values of shale samples were measured by a 3Y-DMR microphotometer equipped with a Leica microscope. The light source of this instrument is a high-pressure mercury vapor lamp with an excitation filter of 420–490 nm, and an oil immersion objective 50/1.0 is used for the measurement. Before the measurements, the instrument was calibrated by a standard yttrium aluminum garnet sample (YAG-08–57). A total of 50 individual vitrinite particles was measured for each shale sample, and the average value of these measured R<sub>o</sub> values was used in this study.

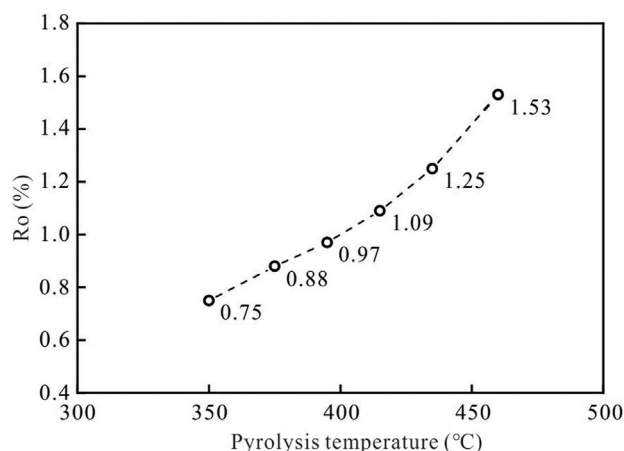
## Collection and Pretreatment of Liquid Hydrocarbons

After the pyrolysis experiment, shale plunger samples and quartz in the gold tube were separated. The shale plunger samples were crushed to powders less than 80 mesh, and then they were extracted with dichloromethane to obtain the retained oils. The collected quartz and gold tube were extracted together with dichloromethane to obtain the expelled oils. Both the retained and expelled oils were weighted by a Sartorius electronic balance with an analytical precision of 0.01 mg. The sum of the expelled and retained oils was the total generated oils. The gross compositions of these oil samples were further separated into four fractions, including saturates, aromatics,





**FIGURE 2 |** Photomicrographs showing different macerals and organic sources of the studied marine-terrestrial transitional shale sample. **(A, D)** The exinite (sporophore), lamalginite, and mineral-bituminous under reflected light and fluorescence, respectively; **(B, E)** the inertinite, lamalginite, and its debris under reflected light and fluorescence, respectively; **(C, F)** the vitrinite and exinite (sporophore) under reflected light and fluorescence, respectively.



**FIGURE 3 |** Correlations of the  $R_o$  values with the experimental temperatures in this study.

resins, and asphaltenes, by a liquid chromatography on silica columns (Tang et al., 2005).

## RESULTS AND DISCUSSION

### Geological and Geochemical Characteristics of the Studied Shale

The shale sample used for the pyrolysis experiment was collected from the marine-terrestrial transitional strata of the Lower

Permian Shanxi Formation in the northeast of the Ordos Basin (**Figure 1**). It has a low maturity with a  $R_o$  value of 0.68% and a high TOC content of 15.65%. The kerogen type of the shale belongs to type II<sub>b</sub> based on the van Krevelen diagram (van Krevelen, 1961), and its HI and OI values are 292 mg/g TOC and 2 mg/g TOC, respectively. The minerals of this shale mainly include clays and quartz, with contents of 47.5% and 33.6%, respectively (**Table 1**).

Organic petrology analysis shows that this shale sample has various macerals. It contains plenty of vitrinite and inertinite that

**TABLE 2** | Yields of the expelled oil, retained oil, and total oil for the studied marine-terrestrial transitional shale sample at different maturity stages.

Sample number	$R_o$ (%)	Retained oil		Expelled oil		Total oil		Percentage of retained oil (%) <sup>a</sup>	Percentage of expelled oil (%) <sup>b</sup>
		(mg/g rock)	(mg/g TOC)	(mg/g rock)	(mg/g TOC)	(mg/g rock)	(mg/g TOC)		
BLG14-1	0.75	6.92	44.22	1.07	6.84	8.00	51.12	86.57	13.43
BLG14-2	0.88	11.30	72.20	2.84	18.15	14.14	90.35	79.89	20.11
BLG14-3	0.97	13.72	87.67	5.05	32.27	18.77	119.94	73.12	26.88
BLG14-4	1.09	13.67	87.35	6.86	43.83	20.53	131.18	66.60	33.40
BLG14-5	1.25	10.47	66.90	9.49	60.64	19.95	127.48	52.46	47.55
BLG14-6	1.53	5.36	34.25	7.79	49.78	13.15	84.03	40.78	59.22

<sup>a</sup>Percentage of retained oil ( $P_{RO}$ ): ratio of the retained oil yield to the total oil yield.

<sup>b</sup>Percentage of expelled oil ( $P_{EO}$ ): ratio of the expelled oil yield to the total oil yield.

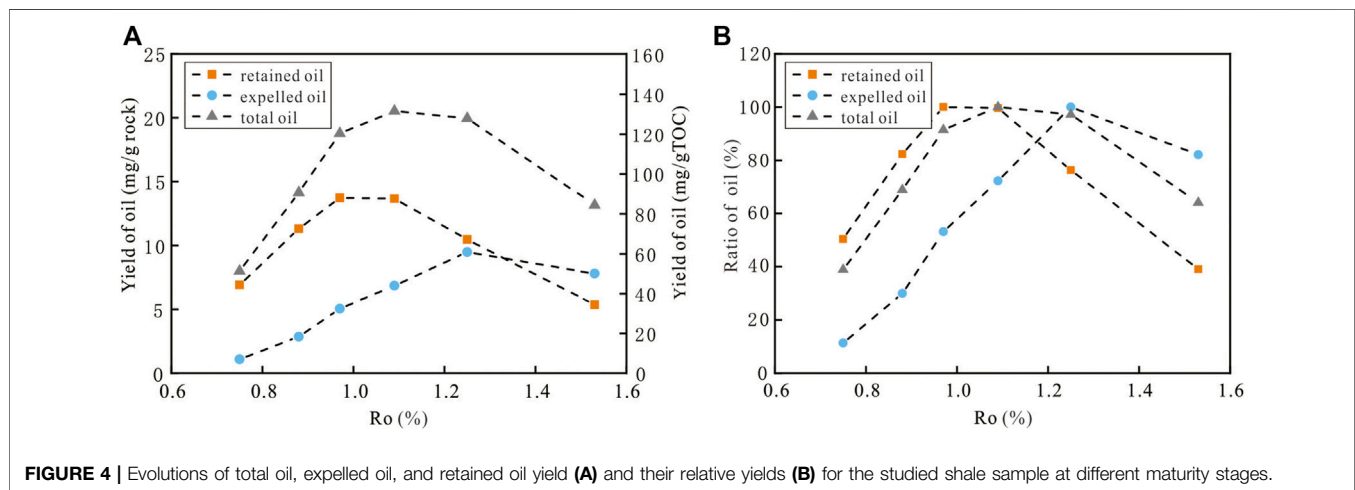


exhibit gray color under reflected light (Figure 2A–C), and it also contains abundant exinite (sporophore) and lamalginite, which exhibit dark and bright yellow colors, respectively, under fluorescence excitation (Figure 2D–F). These characteristics indicate that the organic matter of this shale came from both terrigenous higher organisms and aquatic alga, and the contribution of the former sources was greater than that of latter sources.

## Evolutions of Oil Generation and Expulsion

In this study, the  $R_o$  values of the artificially matured shale samples correspond well with experimental temperatures, and they exhibit a significant positive relationship (Figure 3). With the experimental temperature increasing from 350°C to 460°C, the  $R_o$  value increases from 0.75% to 1.53% (Table 2). The maturity range of artificially matured shales covers from the early oil generation stages to the wet gas stages.

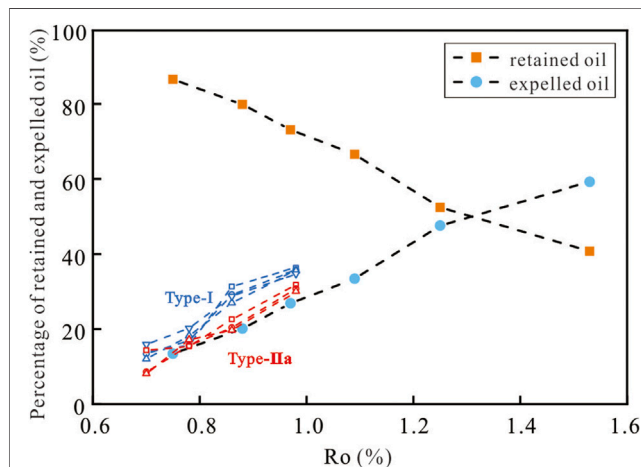
The yields of the expelled oils ( $Y_{EO}$ ), retained oils ( $Y_{RO}$ ), and total generated oils ( $Y_{TO}$ ) are listed in Table 2, and the  $Y_{EO}$ ,  $Y_{RO}$ , and  $Y_{TO}$  exhibit different evolutions with the increasing maturity (Figure 4A). The  $Y_{EO}$  increases from 1.07 mg/g rock to the maximum yield of 9.49 mg/g rock with the  $R_o$  increasing from 0.75% to 1.25%. However, when the  $R_o > 1.25\%$ , the  $Y_{EO}$  gradually decreases to 7.79 mg/g rock as the  $R_o$  increases to 1.53% (Table 3 and Figure 4A). The  $Y_{RO}$  increases from

6.92 mg/g rock to the maximum yield of 13.72 mg/g rock with the  $R_o$  increasing from 0.75% to 0.97%. However, when the  $R_o > 0.97\%$ , the  $Y_{RO}$  gradually decreases to 5.36 mg/g rock as the  $R_o$  increases to 1.53% (Table 3 and Figure 4A). The  $Y_{TO}$  increases from 8.00 mg/g rock to the maximum yield of 20.53 mg/g rock with the  $R_o$  increasing from 0.75% to 1.09%, which indicates that the generation rates of oils are greater than their pyrolysis rates at this stage. However, when the  $R_o > 1.09\%$ , the  $Y_{TO}$  gradually decreases to 13.15 mg/g rock as the  $R_o$  increases to 1.53%, which indicates that the generation rates of oils are smaller than their pyrolysis rates at this stage (Table 2 and Figure 4A). The main oil generation and expulsion stages are generally defined in the range of 30%–80% of the maximum oil yield (Sun et al., 2019). According to this definition, the main stages of oil generation and expulsion are 0.85%–1.15% and 0.70%–0.95%, respectively, for the studied marine-terrestrial transitional shale sample. The main stages of oil expulsion are later than those of oil generation (Figure 4B).

Previous pyrolysis experiments performed on lacustrine shales with type I or type II kerogen indicate that these shales begin to generate oils when the  $R_o > 0.70\%$ , and the oil generation windows are in the  $R_o$  range of 0.75%–0.90% (Sun et al., 2019). The differences in oil generation stages of various shales are largely determined by their organic sources. For example, the oil generation thresholds of terrestrial organic

**TABLE 3** | Gross compositions of the expelled and retained oils at different maturity stages.

Sample number	$R_o$ (%)	Expelled oil (%)				Retained oil (%)			
		Saturates	Aromatics	Resins	Asphaltenes	Saturates	Aromatics	Resins	Asphaltenes
BLG14-1	0.75	7.27	18.18	5.45	69.09	1.93	8.82	11.30	77.96
BLG14-2	0.88	6.33	25.32	13.90	54.43	2.45	7.34	20.00	70.17
BLG14-3	0.97	9.79	20.28	20.30	49.65	3.87	9.61	22.40	64.11
BLG14-4	1.09	15.85	16.67	30.10	37.40	7.17	11.03	22.00	59.85
BLG14-5	1.25	18.75	17.75	31.80	31.75	14.30	16.93	27.80	40.94
BLG14-6	1.53	19.59	26.32	32.20	21.93	20.17	17.85	35.50	26.50

**FIGURE 5** | Relative percentages of the expelled ( $P_{EO}$ ) and retained oils ( $P_{RO}$ ) in total generated oils at different maturity stages for the studied marine-terrestrial transitional shale. The data of type I and II<sub>a</sub> lacustrine shales are cited from Sun et al. (2021).

matters, such as vitrinite and exinite, are generally at relative low maturity stages with  $R_o$  values of 0.50%–0.55%, and the main oil generation stages covered a wide maturity range with  $R_o$  values of 0.55%–1.00% (Li et al., 2002; Shuai et al., 2009). However, the oil generation threshold of aquatic alginates is generally at a higher maturity stage with the  $R_o$  value of 0.70%, and its main oil generation stage generally covers a shorter maturity range with  $R_o$  values of 0.70%–0.90%. In contrast to lacustrine shales, the studied marine-terrestrial transitional shale is richer in terrestrial organic matter, which results in an earlier oil generation threshold and a wider oil generation window.

The relative percentages of expelled ( $P_{EO}$ ) and retained oils ( $P_{RO}$ ) in total generated oils obviously vary at different maturity stages (Figure 5). With the  $R_o$  increasing from 0.75 to 0.97%, the  $P_{EO}$  successively increases from 14% to 34%, while the  $P_{RO}$  progressively decreases from 86% to 67%. When the  $R_o$  values < 1.25%, the  $P_{RO}$  is higher than the  $P_{EO}$ ; however, the  $P_{RO}$  is lower than the  $P_{EO}$  when the  $R_o$  values > 1.25% (Figure 5). Heavy liquid hydrocarbons in the retained oils progressively cracked into light liquid hydrocarbons and promoted oil expulsions during the high maturity stages (Li et al., 2017). Shao et al. (2020) also reported that the peak  $P_{EO}$  value of Barnett lacustrine shales occurred in

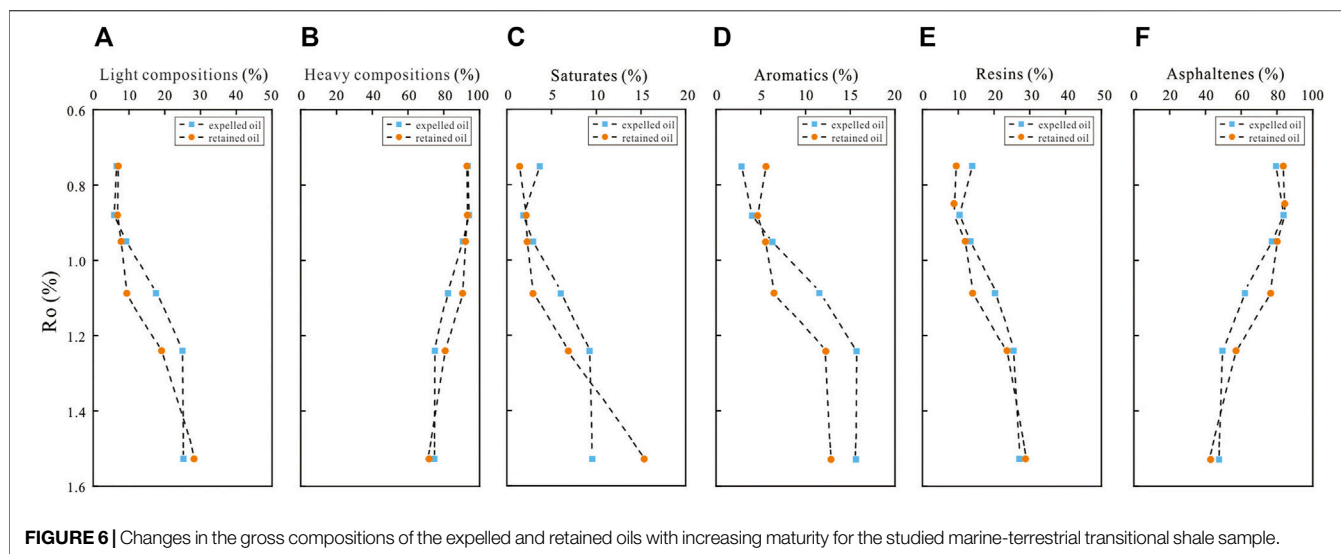
the late wet gas stage with the  $R_o$  value of 1.75%. For the Shahejie Formation lacustrine shales in the Bohaiwan Basin (Sun et al., 2021), the shales with type I and II<sub>a</sub> kerogen generally have higher  $P_{EO}$  values than the shales with type II<sub>b</sub> and III kerogen (Figure 5). Under similar maturity conditions, the studied marine-terrestrial transitional shale has lower  $P_{EO}$  values than the lacustrine shales; however, its  $P_{EO}$  values significantly increase at high maturity stages, which indicates that oil expulsions are enhanced at high maturity stages for the studied shale.

The evolution model of oil generation and expulsion of the studied marine-terrestrial transitional shale is different from that of lacustrine shales reported by previous studies. Compared with the lacustrine shales, the marine-terrestrial transitional shales have earlier oil generation thresholds and wider oil generation windows. In addition, the  $P_{EO}$  values are similar for the two types of shales, while the  $P_{EO}$  values of marine-terrestrial transitional shales are significantly enhanced at high maturity stages. However, it should be pointed out that further studies need to be performed on more marine-terrestrial transitional shale samples to verify the applications of this oil generation and expulsion model under geological conditions.

## Changes in Gross Compositions of the Expelled and Retained Oils

The changes in gross compositions of the expelled and retained oils can be approximately divided into three stages. At the early stage with  $R_o$  < 0.97%, the gross compositions slightly changed with the maturity for both expelled and retained oils (Figure 6), which indicates that the fractionation effect caused by oil generation and expulsion is weak at this stage. At the middle stage with the  $R_o$  ranging from 0.97% to 1.25%, the light compositions (saturates and aromatics) obviously enriched while the heavy compositions (resins and asphaltenes) significantly decreased for both expelled and retained oils (Figure 6), because the kerogen generated more light compositions at this maturity stage. The percentages of the light compositions in expelled oils are significantly higher than those in retained oils (Figure 6), because the light compositions have low viscosity and tend to migrate (Pepper and Corvi, 1995). Therefore, a significant fractionation occurred between the expelled and retained oils at this maturity stage. At the late stage with  $R_o$  > 1.25%, only a small number of light compositions were generated from kerogen; meanwhile, part of the retained and expelled oils cracked into gaseous





**FIGURE 6** | Changes in the gross compositions of the expelled and retained oils with increasing maturity for the studied marine-terrestrial transitional shale sample.

hydrocarbons. Therefore, with the increasing maturity, the differences in gross compositions between the two types of oils gradually decrease.

It is noteworthy that heavy compositions, especially the asphaltenes, are dominated in both retained and expelled oils during the oil generation and expulsion processes. At the maturity stages with the  $R_o$  range from 0.75% to 0.97%, the percentages of asphaltene in the expelled and retained oils account for 50%–69% and 63%–77%, respectively. At the high maturity stage with  $R_o$  of 1.53%, the percentages of asphaltene in the two types of oils account for 54% and 62%, respectively (Table 3). The gross compositions of expelled and retained oils significantly differ from those of lacustrine shales. At the stages with  $R_o$  values of 0.71%–1.41%, the light compositions account for 50%–69% and 63%–77% for the Eocene Shahejie lacustrine shales in the Bohaiwan Basin, respectively; moreover, with the increasing maturity, the resin content decreases while the asphaltene content increases for the lacustrine shales (Sun et al., 2021). Asphaltenes are dominated in the retained and expelled oils of marine-terrestrial transitional shales because of their abundant terrestrial organic sources.

## Mechanisms of Oil Generation and Expulsion

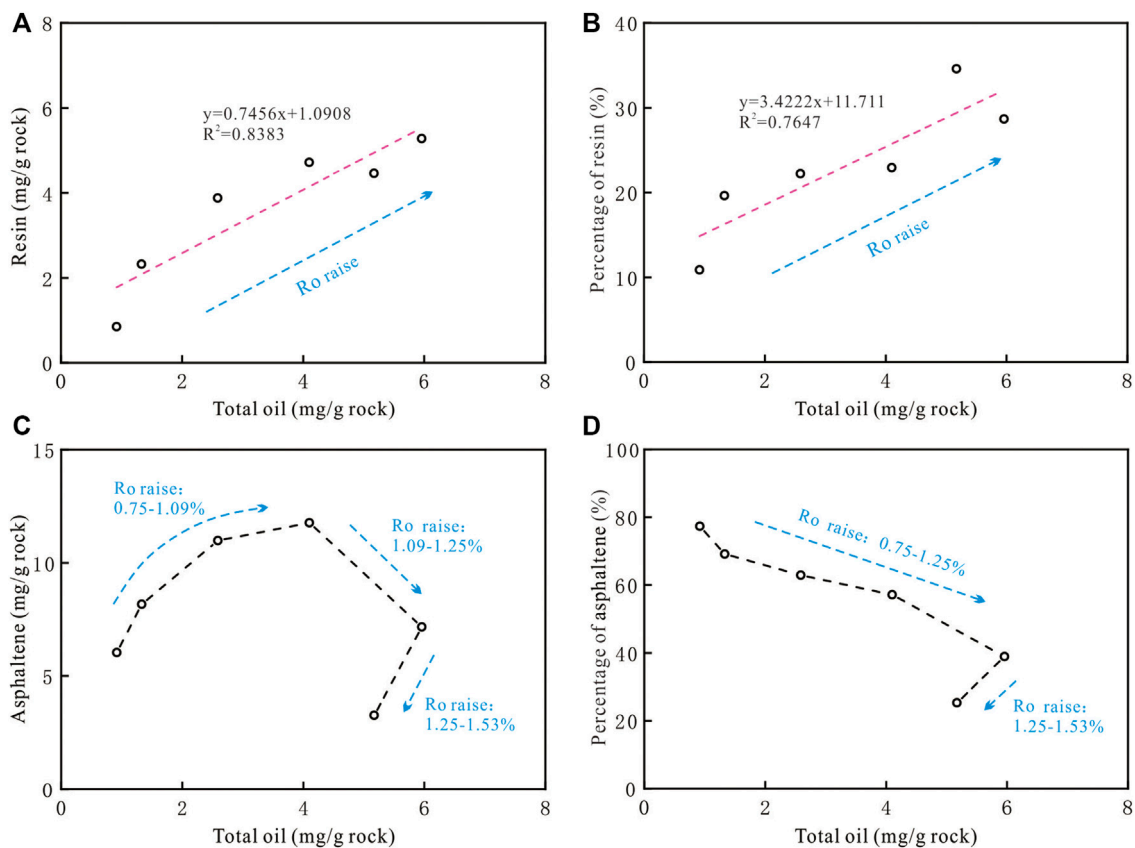
Ungerer et al. (1990) proposed that hydrocarbon generations from kerogen pyrolysis were mainly through two approaches, i.e., the depolymerization and functional group desorption. The former refers to the idea that kerogen initially cracks to intermediate products (resin and asphaltene), and then these intermediate products are further decomposed to oils and gases. The latter refers to the notion that functional groups initially bonded in kerogen skeletons can be directly liberated from the kerogen and form hydrocarbons. In this study, the  $Y_{TO}$  exhibits obvious positive correlations with the resin yield ( $Y_R$ ) and its percentage during the main oil generation and expulsion stages

(Figures 7A,B). The  $Y_{TO}$  is positively correlated with the asphaltenes yield ( $Y_A$ ) and its percentage when the  $R_o < 1.09\%$ , while it shows no obvious correlations with the  $Y_A$  and its percentage when  $R_o > 1.09\%$  (Figures 7C,D). Combined with the gross compositions and their evolutions of the expelled and retained oils (Figure 6), this study indicates that the organic matter of this studied marine-terrestrial transitional shale first depolymerized to asphaltenes at the early stages of oil generation, and then the transitional asphaltenes were further cracked into hydrocarbons at the late stages of oil generation, while the organic matter of lacustrine shales generates hydrocarbons mainly via transitional resins (Sun et al., 2021). Therefore, shales with different sedimentary facies are diverse in oil generation mechanism.

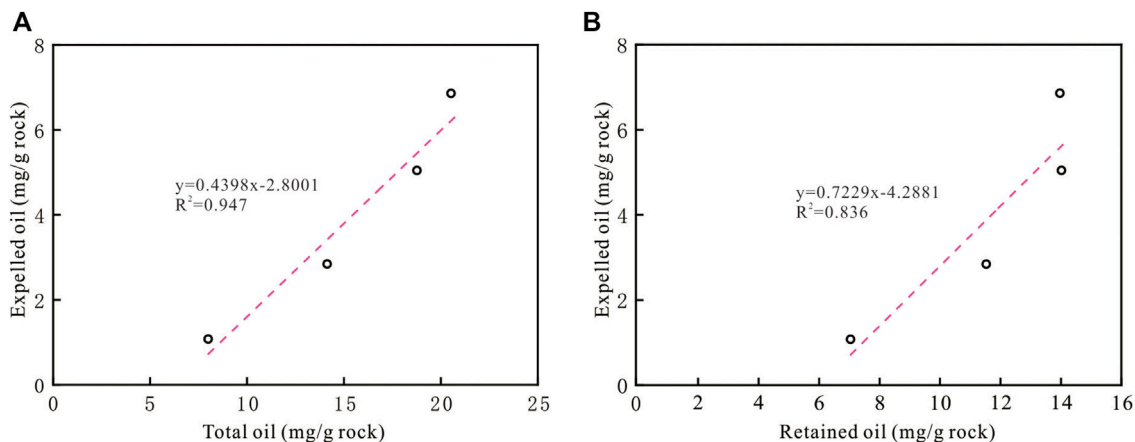
The oil generation and expulsion of shales are mainly controlled by their geochemical properties, including TOC content, kerogen type, maturity, and mineral compositions (Pepper and Corvi, 1995; Chen et al., 2014; Liang et al., 2015), and by their geological conditions, including geological temperature and pressure, water-bearing characteristic, strata thickness, and its boundary condition (Lewan 1997; Chen and Cha, 2005). Previous studies indicated that the pore systems of shales were fully adsorbed and filled by generated oils before oil expulsions, and kerogen swelling effects were the controlling factor on the oil retention in shale reservoirs (Li et al., 2016; Han et al., 2017; Ziegs et al., 2017; Shao et al., 2018). This study further shows that the marine-terrestrial transitional shale exhibits obvious oil expulsion under experimental conditions. Under similar maturity and kerogen-type conditions, marine-terrestrial transitional shales have similar  $P_{EO}$  values with lacustrine shales. The high  $P_{EO}$  values of the studied marine-terrestrial transitional shale can be illustrated by the following factors.

The kerogen swelling effect is closely related to kerogen types, and it decreases in the order of type I, type II<sub>a</sub>, and type II<sub>b</sub> kerogen. For example, the swelling amounts of type I, II<sub>a</sub>, and II<sub>b</sub>





**FIGURE 7** | Correlations of the total oil yield ( $Y_{TO}$ ) with the resin ( $Y_R$ ) and asphaltene yields ( $Y_A$ ) as well as their percentages in total oils at different maturity stages.



**FIGURE 8** | Correlations of the expelled oil contents with the total generated oil contents (A) and the retained oil contents (B) for the studied shale sample during the main oil generation and expulsion stages with the  $R_o$  value of 0.75%–1.09%.

kerogen, with a low maturity of 0.5%, are 142 mg/g TOC, 119 mg/g TOC, and 95 mg/g TOC, respectively (Tian et al., 2014). According to the intercept of the linear correlation equations in Figure 8, this study further estimated that the oil expulsion threshold of the studied marine-terrestrial transitional shale

corresponded to the  $Y_{TO}$  value of 6.4 mg/g rock and the  $Y_{RO}$  value of 5.9 mg/g rock. The maximum  $Y_{RO}$  value of this shale is 88 mg/g TOC (Figure 4) and is much lower than the maximum  $Y_{RO}$  values of the type I and type II<sub>a</sub> lacustrine shales, with values of 300–350 mg/g TOC and 150–200 mg/g TOC, respectively (Sun

et al., 2021). Although some retained oils are stored in inorganic-hosted nanopores of minerals, most of the retained oils are associated with the kerogen swelling effect (Li et al., 2016; Zhao et al., 2019). The studied marine-terrestrial transitional shale with type II<sub>b</sub> kerogen has a low capacity of retaining oils resulting from its weak kerogen swelling effect, which may be the main mechanism for its high oil expulsion efficiency.

Under geological conditions, shale reservoirs generally contain some pore waters that significantly influence the accumulation and enrichment of shale oil and gas resources (Lewan 1997; Burnham 1998; Carr et al., 2009; Lewan and Roy, 2011; Cheng et al., 2018). The pore waters not only take up the pore spaces available for oil storage, but also occupy pore surfaces and change the interfaces between hydrocarbons and pore walls, which significantly prompts oil migrations and expulsions (Korb et al., 2014; Ma et al., 2015; Cheng et al., 2017; Zolfaghari et al., 2017). Moreover, pore waters may also cause an overpressure in shale pore systems, and thus enhance the oil expulsion efficiency (Zhang et al., 2011; Huang et al., 2017). In addition, since the oil expulsion efficiency is positively correlated with the TOC content (Zhang et al., 2006; Sun et al., 2021), the high TOC content of the studied shale sample may also raise its oil expulsion efficiency.

At present, the oil generation and expulsion model of marine-terrestrial transitional shale remains unclear. The results of this study show that oil expulsions of marine-terrestrial transitional shales with high TOC contents may occur under geological conditions, and the oil expulsion efficiency and the geochemical properties of expelled and retained oils are largely determined by the organic sources and maturities of shales. The new understanding on the oil generation and expulsion model in this study may have a certain theoretical significance for the exploration and development of marine-terrestrial transitional shale resources. However, the oil generation and expulsion model of marine-terrestrial transitional shales with type III kerogen needs to be investigated in further studies.

## CONCLUSION

In this study, a pyrolysis experiment was performed on water-saturated marine-terrestrial transitional shale plunger samples, to simulate the evolutions of oil generation and expulsion. The main conclusions are as follows:

- 1) In contrast to marine and lacustrine shales, marine-terrestrial transitional shales have wider maturity ranges of oil generation and expulsion, and the main stages of oil expulsion are later than those of oil generation, with corresponding  $R_o$  values of 0.85%–1.15% and 0.70%–0.95%, respectively.

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- 2) The expelled and retained oils of marine-terrestrial transitional shales exhibit obvious fractionations in compositions during the oil generation and expulsion process. However, the two types of oils are dominated by heavy compositions, which significantly differs from the generated oils from marine and lacustrine shales.
- 3) The oil generation and expulsion evolutions of marine-terrestrial transitional shales are largely determined by their organic sources of terrigenous higher organisms. The kerogen of these shales initially depolymerized to transitional asphaltenes, which further cracked into hydrocarbons, and the weak swelling effects of the kerogen promoted oil expulsions.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material. Further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

QF: Conceptualization, Methodology, Data curation, Writing - Original Draft. PC: Conceptualization, Methodology, Review and Editing. XX: Supervision, Review and Editing. HG: Methodology and Review. QZ: Investigation and Editing. TL: Review and Editing. PG: Review and Editing. All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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# The Occurrence of Bedding-Parallel Fibrous Calcite Veins in Permian Siliciclastic and Carbonate Rocks in Central Thailand

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Bedding-parallel fibrous calcite veins crop out at two Permian carbonate localities in the Phetchabun area, central Thailand, within the Nam Duk and Khao Khwang Formations. Samples are studied to determine their petrographic, geochemical and isotopic character, depositional and diagenetic associations and controls on the formation of fibrous calcite across the region. Biomarker and non-biomarker parameters are used to interpret organic matter sources in the vein-hosting units, the depositional environment and levels of source rock maturation in order to evaluate source rock potential in the two Formations. Carbon and oxygen isotope values of the veins and the host are determined to discuss the source of carbonates and diagenetic conditions. The petroleum assessment from the Khao Khwang and Nam Duk Formations suggests that both Formations are a petroleum potential source rock with type II/III kerogen deposited in an estuarine environment or a shallow marine environment and a slope-to-basin marine environment or an open marine environment, respectively. The bedding-parallel fibrous calcite veins from the Khao Khwang and Nam Duk Formations are divided into two types: 1) beef and, 2) cone-in-cone veins. The carbon and oxygen isotope compositions from the fibrous calcite veins suggest that the calcite veins could be precipitated from a carbon source generated in the microbial methanogenic zone. The results in this study provide a better understanding of the interrelationship between the bedding-parallel fibrous calcite veins and petroleum source rock potential.

**Keywords:** fibrous calcite vein, stable isotope, petroleum source rock, Permian carbonate platform, petrography

## 1 INTRODUCTION

Bedding-parallel fibrous calcite veins are found in sedimentary rocks from Cambrian to Palaeogene strata in many locations worldwide (Cobbald and Rodrigues, 2007; Cobbald et al., 2013; Heindel et al., 2015; Kershaw and Guo, 2016; Ma et al., 2016; Cabral et al., 2019). Rocks hosting the veins are typically mixed siliciclastic-carbonate rocks, such as calcareous mudstones, argillaceous limestones and lime muds consistently rich in organic matter with low permeabilities (Franks, 1969; Cobbald and Rodrigues, 2007; Rodrigues et al., 2009; Cobbald et al., 2013; Heindel et al., 2015; Meng et al.,

2017; Tribovillard et al., 2018). Such fibrous calcite veins are globally identified across organic-entraining sedimentary basins, and are used in petroleum exploration as monitors of hydrocarbon generation (e.g., Zanella, and Cobbold, 2012; Meng et al., 2017; Luan et al., 2019; Zhang et al., 2021). Despite many studies of these veins, their origin is still debated; many studies infer the veins relate to overpressuring at times of hydrocarbon generation (e.g., Warren et al., 1998; Zhang et al., 2016; Meng et al., 2017; Luan et al., 2019). Other studies suggest the veins precipitate in unconsolidated sediments at shallow depth beneath the seafloor during times of increased concentrations of carbon dioxide in seawater and the atmosphere (Greene et al., 2012; Heindel et al., 2015; Kershaw and Guo, 2016). Times of elevated carbon dioxide concentration are generally associated with mass extinction events, including end-Permian (P-T) boundary and the end-Triassic (T-J) boundary, and are tied to large scale volcanic eruptions (e.g., Greene et al., 2012; Kershaw and Guo, 2016).

The studied fibrous calcite veins are divided into two endmembers; 1) beef and, 2) cone-in-cone veins (Cobbold et al., 2013). Calcite beef vein contains subvertical fibrous calcite crystals perpendicular to bedding in the host rock, with a smooth boundary between the host rock and the vein. Cone-in-cone veins generally consist of conical bundles of calcite fibrous representing a crystal growth character radiating from the bedding plane of the host rock. The mechanism of the calcite vein development is interpreted open-fracture fill into accommodation space created once pore pressure exceeds lithostatic pressure and hydrofracturing occurs (Zanella and Cobbold, 2012; Cobbold et al., 2013). The overpressure process can be driven by sediment degassing, especially in organic-rich rocks with low permeability, or during hydrocarbon generation (Osborne and Swarbrick, 1997; Simms, 2004; Zhang et al., 2016).

Bedding-parallel fibrous calcite veins have been found (this study) within petroleum carbonate source rocks in Thailand. In addition, the carbonate rocks hosted the fibrous calcite veins are believed to be the main petroleum source rock that contributes hydrocarbons to commercial gas fields in the Khorat Plateau located in northeast Thailand (Racey, 2011). These carbonate source rocks are Permian rocks having hydrocarbon peak generation in Late Triassic (Kozar et al., 1992). Thus, the fibrous calcite veins from this study could contribute to the ongoing discussion on the forming mechanism of fibrous calcite veins and their use as potential indicators of hydrocarbon expulsion in sedimentary basins. Carbon and oxygen isotope signatures of calcite veins offer valuable information as to the nature of changing fluid activity and geochemistry in sedimentary basins during diagenesis. Recent publications of isotope studies of fibrous calcite crystals in sedimentary basins have quantified formation mechanisms and the nature of parent waters (e.g., Demeny et al., 2010; Yu et al., 2015; Hooker et al., 2019; Luan et al., 2019).

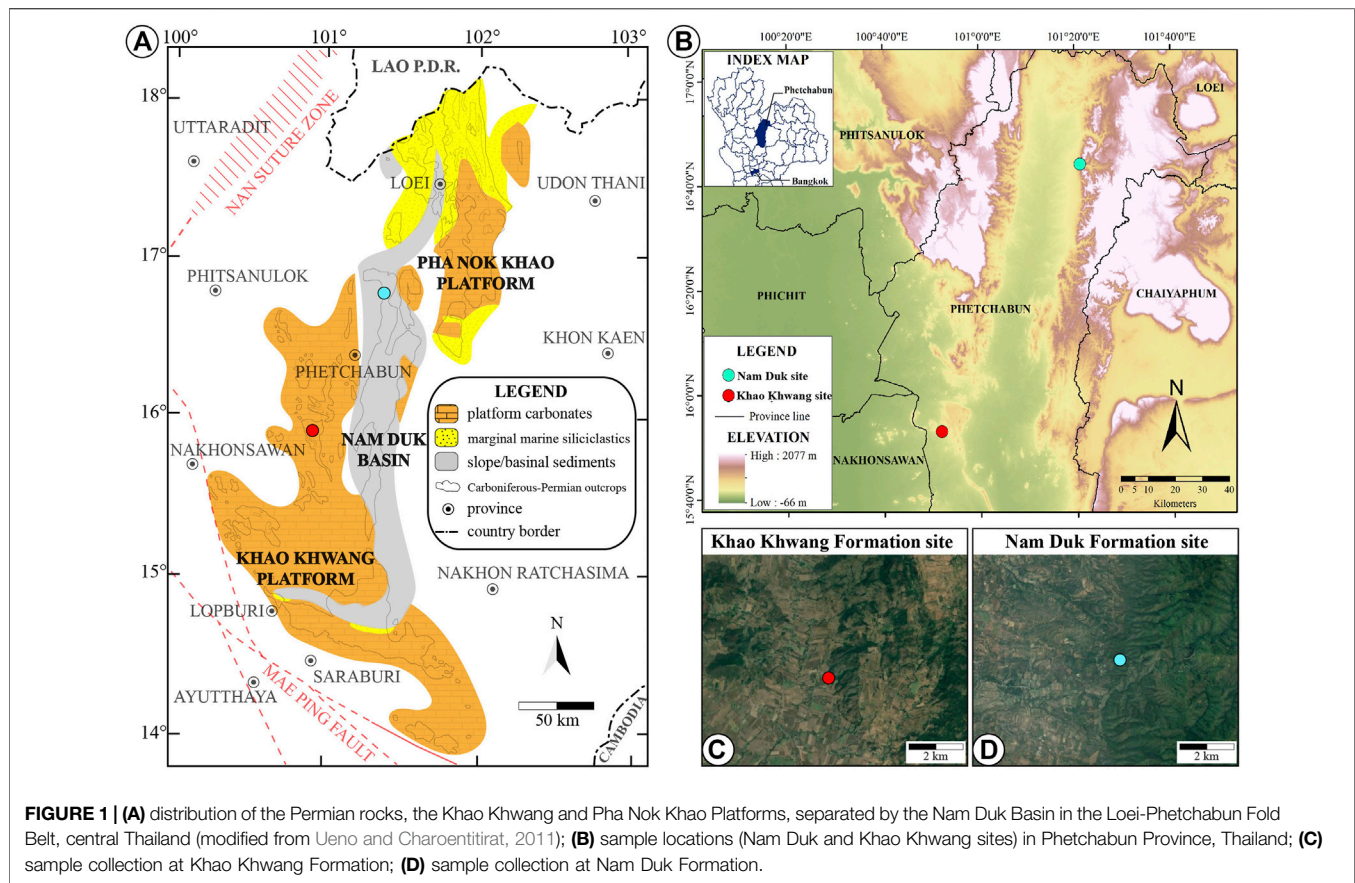
This paper is the first to document and quantify bedding-parallel fibrous calcite veins in Thailand. It is part of our groups ongoing geochemical and isotopic documentation of Permian carbonate and siliciclastic rocks in Thailand. The aim of this study

is to describe characteristics of the calcite fibrous veins and to assess the petroleum source rock potential of the hosting fine-grained Permian carbonates. Results of our study are relevant to petroleum exploration in the region and worldwide.

## 2 GEOLOGICAL BACKGROUND

Permian carbonates in central Thailand are exposed along the western edge of the Khorat Plateau (**Figure 1**). Permian rocks in this region consist of three major elements: the Khao Khwang Platform, the Pha Nok Khao Platform and the Nam Duk Basin located in the west, the east and middle of the Loei-Phetchabun Fold Belt, respectively (Wielchowsky and Young, 1985; **Figure 1A**). Geologically, the Permian carbonate rocks are interpreted as a suite of Permian rift basins on the southern margin of the Indochina terrane, controlled by extensional faults (Booth and Sattayarak, 2011; Morley et al., 2013). The Indochina terrane drifted away from the northern margin of Gondwana by the Early-Middle Permian (Yan et al., 2018). Both Permian carbonate platforms, Khao Khwang and Pha Nok Khao, are extensive, distally-steepened ramps, composed of varying proportions of carbonates and lesser siliciclastics. Based on outcrop data, in what is a structurally complex orogenic region, a simplified regional model is made up of a siliciclastic-rich Nam Duk basin lies adjacent to and separates two large carbonate-dominant platforms (Wielchowsky and Young, 1985; **Figure 1A**). To the south, the Nam Duk Basin also forms outer western boundary of the Indochina terrane. Our study focuses on the carbonates and siliciclastic rocks of the Khao Khwang and Nam Duk Formations that crop out in this area (Udchachon et al., 2014).

The Khao Khwang Formation was deposited in a shallow-marine carbonate platform characterized by mixing of carbonate rocks (massive to medium-to-thick, well-bedded to muddy limestones) mixed with siliciclastic sediments (Nakornsri, 1981; Ridd et al., 2011). The age of the Khao Khwang Formation is late Early Permian (Kungurian) to early Middle Permian (Guadalupian) (Metcalf and Sone, 2008; Chitnarin et al., 2012). To the east, the somewhat deeper water Nam Duk Formation is dominated by siliciclastic and pelagic rocks of Early to Late Permian age, deposited in a slope-to-basin marine environment (Booth and Sattayarak, 2011; Ueno and Charoentitirat, 2011). The Nam Duk Formation comprises cherts, tuffs, shales, sandstones and calci-turbidites and limestone-dominated mass transport deposits (Helmcke and Kraikhong, 1982; Chonglakmani, 2005; Vattanasak et al., 2020). At the end of the Guadalupian across the Khao Khwang Platform, the limestone sequence is truncated by two major erosional surfaces which were responses to global sea-level lowstands (Udchachon et al., 2014). Tectonic uplift in parts of the Loei-Phetchabun Fold Belt began around the Guadalupian-Lopingian boundary and continued up to the Triassic (e.g., Bunopas, 1983; Chonglakmani and Sattayarak, 1984; Chonglakmani and Helmcke, 2001). Permian strata are now separated from overlying Mesozoic sediments by the Indosinian I unconformity; moreover, most Paleozoic strata in



the region were widely deformed during this same Indosinian I event (Ridd et al., 2011).

Potential source rocks in these Formations are both marine shales and micritic limestones and are known to be regionally extensive throughout the various Permian carbonate platforms (Racey, 2011; Chenrai and Fuengfu, 2019). Although Permian source rocks, based on limited well and outcrop data, are interpreted as thermally overmature with peak generation in Late Triassic (Kozar et al., 1992), regionally they are still attractive, with potential for further exploration in central and northern Thailand.

### 3 MATERIALS AND METHODS

#### 3.1 Field Sampling and Sample Preparation

Field study and rock sampling are performed in two study areas in Phetchabun Province (Figure 1B). In total, 12 rock samples were selected for detailed study from a range of samples from the Khao Kwang and Nam Duk Formations. The 12 samples contained fibrous calcite veins suitable for petrography and geochemical analyses. Generally, bedding-parallel fibrous calcite veins documented in this study occur within calcareous mudstones and argillaceous limestones. Samples referred to as “outcrop samples” in this paper are not taken directly from the surface. Instead, samples were collected by digging some 0.10 and 0.30 m

into the outcrop to ensure that visibly unweathered material was obtained. Any remaining weathered parts of the samples were removed before proceeding with detailed analysis. Samples were then cleaned with distilled water, acetone, and dichloromethane to eliminating any remaining surface contamination. All washed samples for organic geochemical analysis were crushed into small chips in a hammer and jaw-crusher and then into powder by dish mill grinder. Powder samples were then put into pre-washed glassware for further extractable organic matter and gas chromatography–mass spectrometric determinations. Petrographic samples were cut into rock slabs, and thin sections were made to observe fibrous calcite characteristics. Samples for isotope analysis were extracted from vein regions in rock slabs by using a dental technician’s drill in traverses that extracted a sample volume every 5 mm along traverses than ran from the host rock across a vein, or stacked veins, and back into host rock on the other side of the vein.

#### 3.2 Gas Chromatography–Mass Spectrometry

Gas chromatography–mass spectrometry (GC–MS) is an instrumental technique for identifying the molecular mass of organic compounds by their ionized compositions. In this study, GC–MS was performed at the Scientific and Technological Research Equipment Centre Chulalongkorn University to

analyze biomarker and non-biomarker compounds from bitumen extracts. The saturated hydrocarbon fraction from the rock samples was dissolved in *n*-pentane and analyzed with an Agilent 7000C GC/MS (triple quadrupole) machine, with an ion source temperature of 250°C and ionizing energy of 70 eV. The column used in this study is a 30 m long DB-5 (5% Phenyl Methyl Siloxane) with a 250 µm inner diameter and 0.25 µm film thickness. Starting temperature is 80°C and is held for 3 min. The column temperature is heated from 80°C to 310°C at 4°C/minutes and held for 30 min at 310°C. The total analysis time is 90 min. Chromatograms were acquired in scanning: 35–700 molecular weight and selected-ion-monitored (SIM) for compound identification and integration. The distribution of organic compounds in the bitumen extracts was monitored by fragmentograms of *n*-alkane (*m/z* 85) and steranes (*m/z* 217). Details of the analytical procedure used are summarized in Moustafa and Morsi (2012).

### 3.2.1 Long-Chain Saturated Hydrocarbon (*n*-Alkane) Distribution

Chromatogram distribution of *n*-alkane and isoprenoids from the saturated hydrocarbon extraction displays aliphatic fractions of all analyzed samples. The distribution of *n*-alkanes can be used to indicate organic matter source. Short chain *n*-alkanes (lower than C<sub>20</sub>) are characteristic of microbial input. In addition, medium chain *n*-alkanes (C<sub>11</sub>–C<sub>20</sub>) are considered to indicate algal and/or bacterial origin for the organic materials. Long chain *n*-alkanes (more than C<sub>20</sub>), high boiling point *n*-alkanes, are commonly attributed to the contribution of terrestrial waxes, especially in the C<sub>25</sub>–C<sub>33</sub> range (Peters and Moldowan, 1993). In this study, the Pr/Ph, Pr/*n*-C<sub>17</sub> and Ph/*n*-C<sub>18</sub> outputs are also used for determining the source of organic matter and conditions in the depositional environment (e.g., Shanmugam, 1985; Wang et al., 2010; Al-Khafaji et al., 2020). Biomarker parameters used in this study are presented as follows:

- 1) Pristane (C<sub>19</sub>H<sub>40</sub>) and phytane (C<sub>20</sub>H<sub>42</sub>) are regular isoprenoid hydrocarbons, which both were derived from the phytol side chain of chlorophyll molecule (Miles, 1989). Pristane and phytane ratio (Pr/Ph) is commonly used for determining redox conditions and organic matter inputs in various depositional environments during sedimentation and diagenesis (Powell, 1988; Chandra et al., 1994; Large and Gize, 1996). High Pr/Ph values (>3.0) indicates oxic conditions often associated with terrigenous organic matter input, while low values (<1.0) typify anoxic conditions, commonly hypersaline or carbonate environments and values between 1.0 and 3.0 suggest intermediate conditions (sub-oxic conditions) (Amane and Hideki, 1997; Peters et al., 2005). In addition, Pr/Ph ratio can be used to indicate lithology from analyzed samples, for instance, marine carbonates (Pr/Ph < 1), marine shales (Pr/Ph 1–3), and non-marine shales/coal (Pr/Ph > 3) (Hughes et al., 1995).
- 2) The combination of acyclic isoprenoids compared to *n*-alkanes (Pr/*n*-C<sub>17</sub> and Ph/*n*-C<sub>18</sub>) provides valuable information about organic matter source, biodegradation, maturation and depositional environment. In general, isoprenoids are more resistant to biodegradation than *n*-alkanes, and are used to determine any influence from early microbial degradation (Landais, 1996). Moreover, the Ph/*n*-C<sub>17</sub> ratio tends to be less than 0.5 in environments with abundant aerobic bacterial activity, whereas values of more than 1 tend to indicate low levels of aerobic bacterial activity (Lijmbach, 1975).
- 3) Sterane biomarker measures indicate carbon number distribution of sterols that can be used to interpret organic matter source and depositional environment by plotting regular sterane compositions (C<sub>27</sub>, C<sub>28</sub> and C<sub>29</sub>) in a tertiary diagram (Huang and Meinschein, 1979; Moldowan et al., 1985; Shanmugam 1985). The domination of C<sub>27</sub> steranes represents a marine-influenced system and marine plankton, C<sub>28</sub> sterane predominance suggests an organic matter deposited in lacustrine facies, and the influence of C<sub>29</sub> sterane suggests a terrestrial environment as higher plant input and a swamp deposit environment (Peters and Moldowan, 1993).

## 3.3 Carbon and Oxygen Stable Isotope Analysis

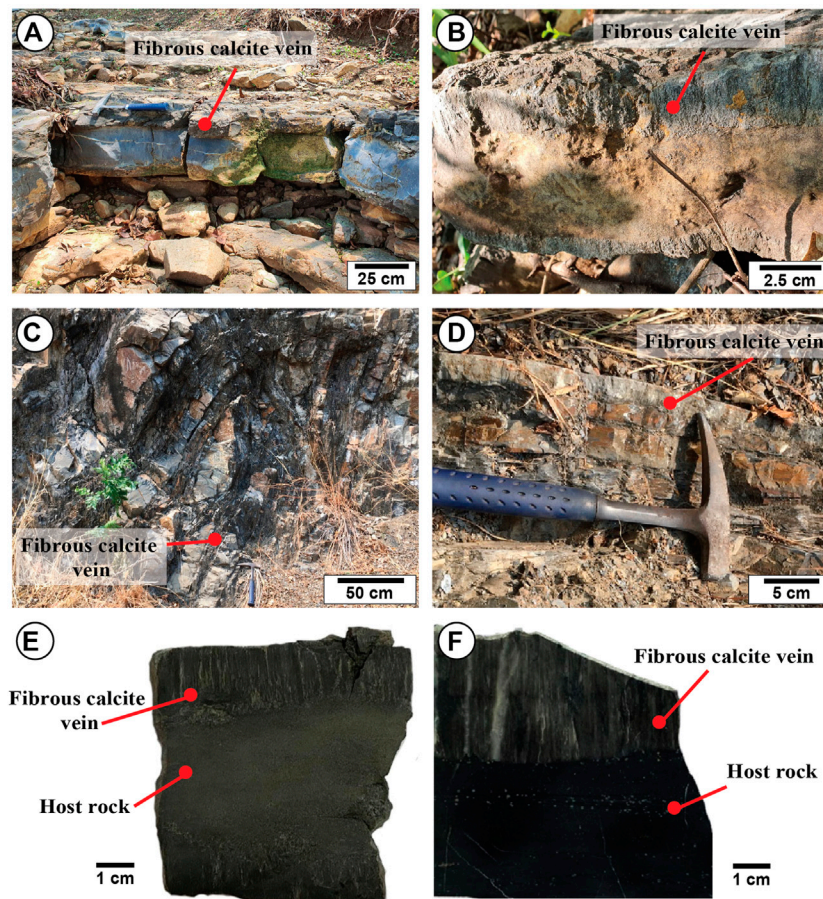
Stable isotopes of δ<sup>13</sup>C and δ<sup>18</sup>O of the veins and host rock samples are measured by utilizing techniques outlined in Allègre (2008) after extracting powder samples using a dental technician's drill. The stable isotopes were carried out at Monash University, Australia. The purpose of this study is to better understand fluid carbon sources for the fibrous calcite veins and fluid evolution during different burial stages, tied to calcite precipitation. The carbon sources for the fibrous calcite vein in organic-rich rocks come from inorganic carbon in the host sediment and organic carbon from organic matter (both depositional and catagenic). The inorganic carbon source is expected to have δ<sup>13</sup>C values near the seawater δ<sup>13</sup>C value through time, whereas the organic carbon source is significantly depleted in the host rock by biological processes (Luan et al., 2019). Therefore, if no external fluids contributed to the host rock, especially within low permeability host rocks, the fibrous calcite vein is expected to have bulk isotopic compositions close to the host rock. All analyses are reported in per mill (‰) relative to the Vienna-PeeDee Belemnite (V-PDB) standard.

## 4 RESULTS

### 4.1 Bedding Parallel Fibrous Character

The dominant lithology of samples from the Khao Khwang Formation is argillaceous limestone. In general, the succession in the study area comprises limestone (approximately 1–50 cm) interbedded with calcareous mudstone to argillaceous limestone (approximately 1–10 cm) (Figures 2A,B). In contrast, the rock samples from the Nam Duk Formation are calcareous mudstones interbedded with sandstones (Figures 2C,D). Bedding-parallel fibrous calcite veins are around 1–7 cm from





**FIGURE 2 | (A),(B)** the fibrous calcite veins of the Khao Khwang Formation; **(C),(D)** the fibrous calcite veins of the Nam Duk Formation; **(E),(F)** polished slab of bedding-parallel fibrous calcite veins from the Khao Khwang Formation **(E)** and the Nam Duk Formation **(F)**.

exposed bedding plane surfaces in their host rock outcrops (**Figures 2E,F**) and oriented approximately parallel to the inferred bedding planes in both Khao Khwang and Nam Duk Formations (**Figures 2E,F**).

#### 4.1.1 Khao Khwang Formation

Both types of the fibrous calcite vein (beef and cone-in-cone) are observed in this Formation, but cone-in-cone veins tend to dominate. Most fibrous calcite crystals commonly entrain solid inclusions composed of variable proportions of insoluble mud components, organic matter, and pyrite (**Figure 3A**). In some samples, cone-in-cone veins and granulars grade into beef veins at the base of the cone interval (**Figures 3A,B**). Generally, solid (host-mud) inclusions outline sinusoidal patterns within the fibrous calcite of the cone-in-cone vein intervals (**Figure 3C**). In contrast, there are few to no solid inclusions in the beef veins (**Figure 3D**). Accompanying pressure solution features are seen in the host rock matrix in Khao Khwang samples, especially stylolites and microstylolite (**Figure 3E**). Framboidal pyrite ranging from 5.7 to 65  $\mu\text{m}$  is common in most recrystallized host rock (**Figure 3F**).

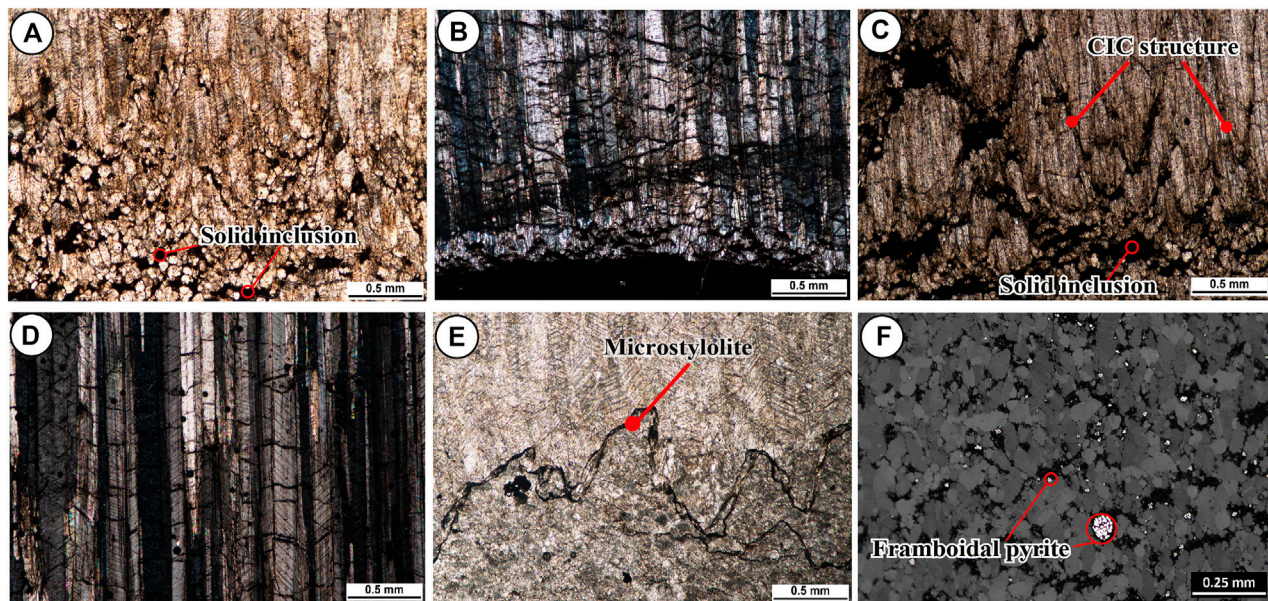
#### 4.1.2 Nam Duk Formation

In contrast to the Khao Khwang samples, fibrous calcites in the Nam Duk Formation are mostly in beef veins, with sharp contacts with the adjacent the host rock surfaces (**Figure 4A**). A median suture line is observed in the Nam Duk vein samples indicating the growth direction of the fibrous calcites (**Figure 4B**). The fibrous calcite crystals have widths around 0.2–1.0 cm, with twinning (**Figure 4C**). Solid inclusions are indistinctive to absent in the samples from this Formation. When present they are mostly small amounts of insoluble clay minerals, organic matter and pyrite. In addition, irregular quartz vein crystals are found in the calcite veins and in the siliciclastic rock matrix of this Formation (**Figure 4C**). Shear deformation is seen in sand grains floating in a clay-dominated matrix (**Figure 4D**). Pyrite is also present within the host rocks in various forms, including euhedral, framboidal, and aggregate framboid morphologies ranging from 0.1 to 0.5 mm.

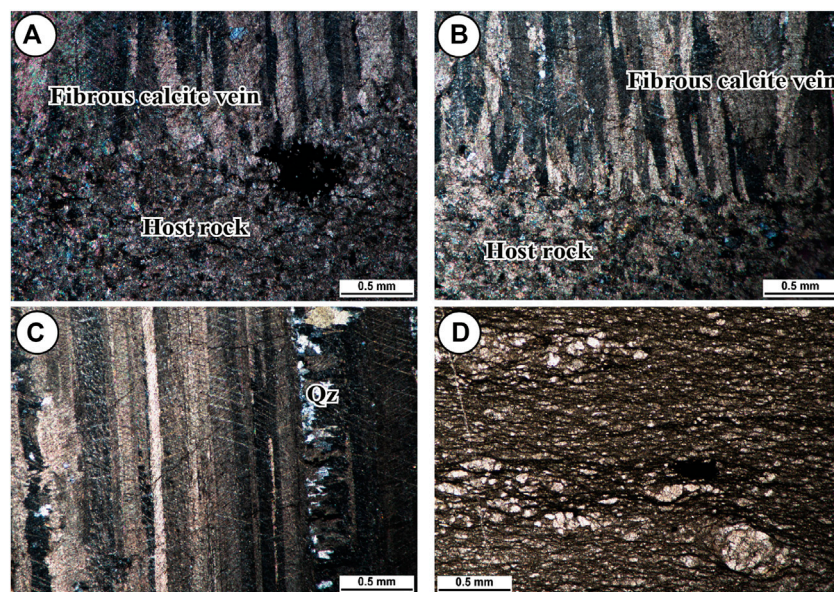
### 4.2 Molecular Geochemistry

The quality of TOC and pyrolysis data as published in previous studies, using standard petroleum industry methodologies, is





**FIGURE 3 |** Photomicrographs of the Khao Khwang Formation. **(A)** Solid inclusions in the fibrous calcite vein and a change from equant calcite to fibrous calcite; **(B)** a change from cone-in-cone to beef vein textures; **(C)** beef veins in the Khao Khwang samples; **(D)** cone-in-cone veins are commonly found in the Khao Khwang samples and are characterized by a nested cone geometry; **(E)** microstylolite; and **(F)** framboidal pyrite is common in the host rock matrix.



**FIGURE 4 |** Photomicrographs of the Nam Duk Formation. **(A)** Beef veins typify the Nam Duk samples; **(B)** a change from the host rock to beef vein with a median suture line; **(C)** calcite twinning in beef vein associated with quartz crystals; **(D)** sand grains floating in the host rock matrix.

compromised, likely due to strong maturation (e.g., Chenrai and Fuengfu, 2019). Accordingly, in this study, organic matter source and the depositional conditions are determined using alternative tools such as non-biomarker and biomarker parameters. Molecular geochemical results and biomarker ratios from samples measured in this study are summarized in **Table 1**.

#### 4.2.1 *n*-Alkanes Distribution

Gas chromatograms of saturated hydrocarbon fractions from the analyzed samples are shown in **Figure 5** and display a full suite of saturated hydrocarbons including  $C_8$ – $C_{35}$  *n*-alkanes, isoprenoids, pristane (Pr) and phytane (Ph). The *n*-alkane distribution from the two Formations suggests that the

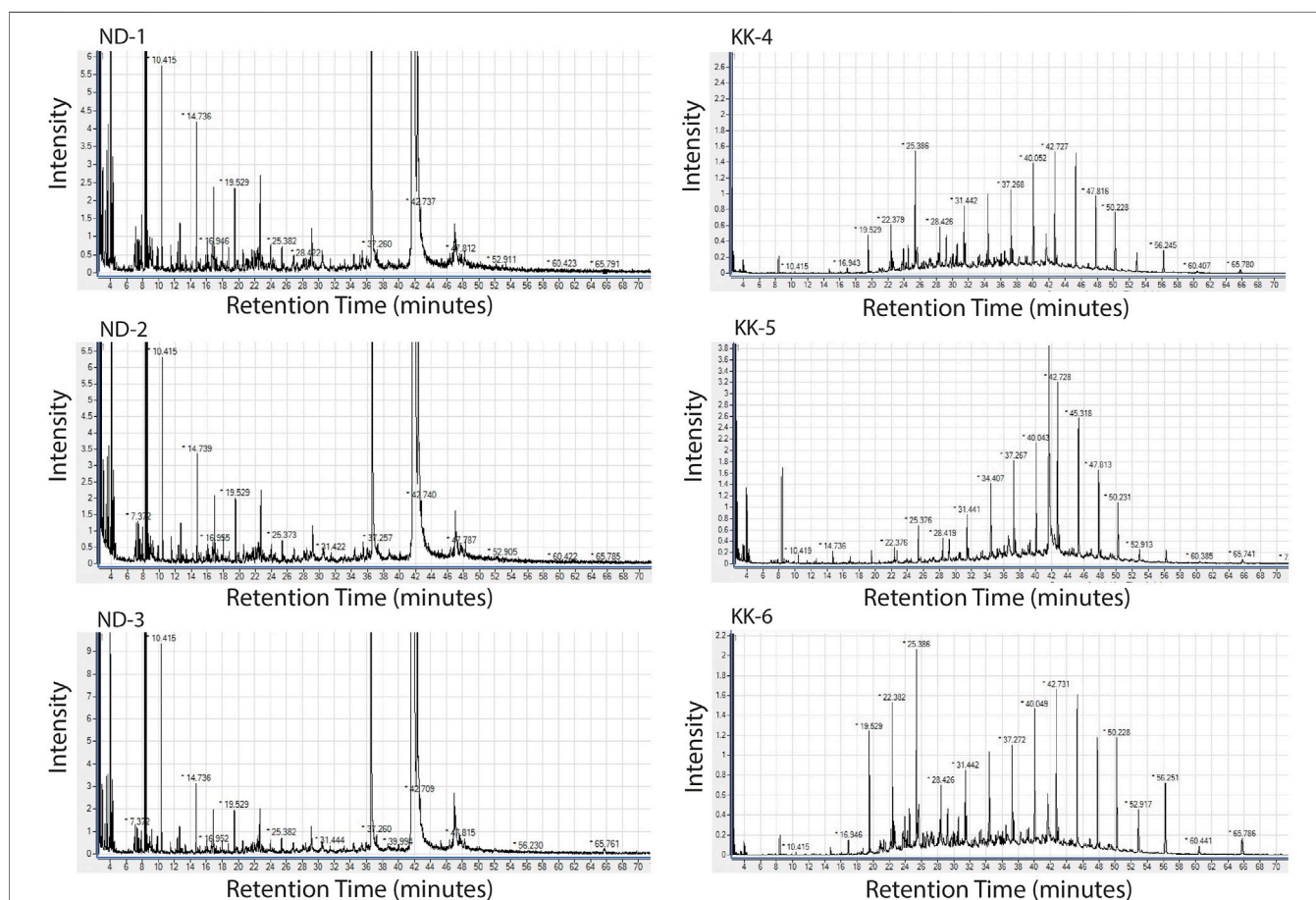
**TABLE 1** | The molecular geochemical results and biomarker ratios of the Khao Khwang (KK) and Nam Duk (ND) samples.  $C_{27}$ ,  $C_{28}$  and  $C_{29}$  are regular sterane compositions converted to a percentage.

Sample	Pr/Ph	Pr/n- $C_{17}$	Ph/n- $C_{18}$	$C_{27}$ (%)	$C_{28}$ (%)	$C_{29}$ (%)
KK-1	1.55	0.48	0.34	38.57	25.68	35.75
KK-2	1.29	0.58	0.16	37.95	30.86	31.19
KK-3	0.94	0.57	0.17	37.89	18.68	43.43
KK-4	0.32	0.25	0.31	28.13	27.74	44.13
KK-5	0.38	0.23	0.20	33.03	29.50	37.47
KK-6	0.47	0.21	0.32	30.61	27.15	42.24
ND-1	0.84	0.22	0.13	55.40	17.93	26.67
ND-2	1.20	0.32	0.14	53.29	14.21	32.50
ND-3	1.58	0.38	0.14	51.85	21.95	26.20
ND-4	1.04	0.14	0.14	54.36	18.46	27.18
ND-5	0.96	0.06	0.04	53.68	18.69	27.62
ND-6	1.22	0.15	0.09	48.87	18.80	32.33
KK average	0.83	0.39	0.25	34.36	26.60	39.04
ND average	1.14	0.22	0.12	52.91	18.34	28.75

organic materials are derived from different sources. A predominance of medium to long molecular weight compounds ( $nC_{20}$ – $nC_{30}$ ) in the Khao Khwang samples suggest a mixed contribution from terrigenous and marine organic matter (**Figure 5A**). Nam Duk samples exhibit a predominance of short molecular weight compounds ( $nC_{15}$ – $nC_{18}$ ) suggesting marine organism inputs (**Figure 5B**; Tissot et al., 1978). Pr/Ph ratios (less than 3) also confirm that samples from both Formations were deposited in a marine environment (**Table 1**).

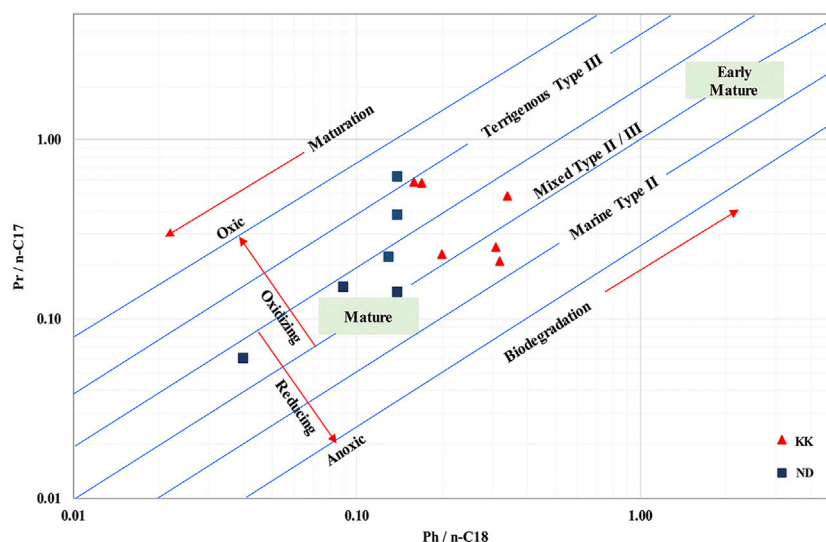
#### 4.2.2 Pristane (Pr)/n- $C_{17}$ and Phytane (Ph)/n- $C_{18}$

A cross-plot diagram between Pr/n- $C_{17}$  and Ph/n- $C_{18}$  shows that the Khao Khwang and Nam Duk samples are dominated by a combination of terrigenous kerogen type III and marine kerogen type II (**Figure 6**). These plots also suggest both Formations were deposited in sub-oxic to oxic condition and that the Nam Duk samples are more mature than the Khao Khwang samples. Pristane and phytane concentrations of both Formations are typically lower

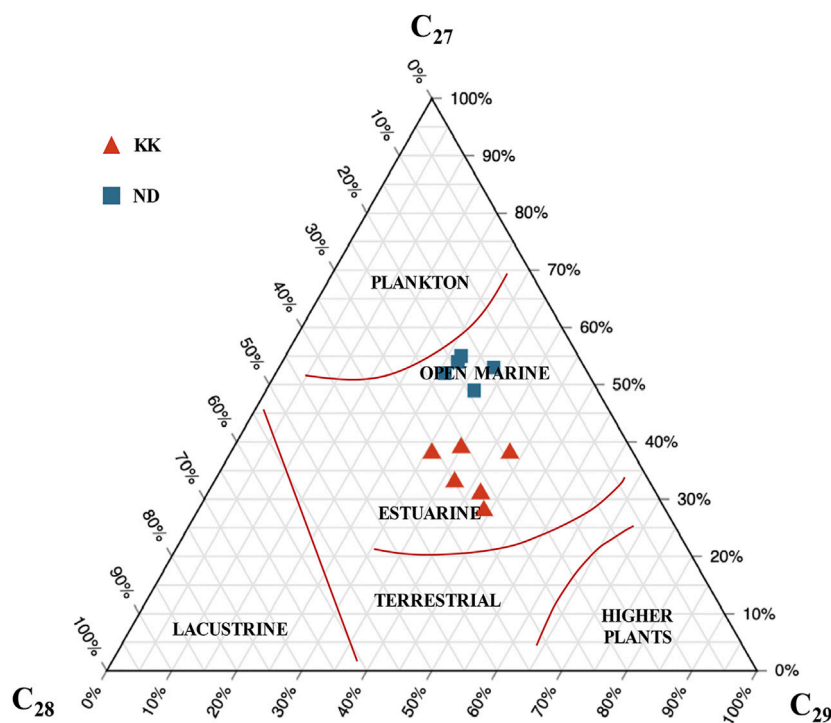


**FIGURE 5** | The examples of mass chromatograms showing n-alkane distributions for (A) the Khao Khwang Formation (KK-4, KK-5, KK-6) and (B) the Nam Duk Formation (ND-1, ND-2, ND-3).





**FIGURE 6** | Plot of pristane/n-C<sub>17</sub> (Pr/n-C<sub>17</sub>) versus phytane/n-C<sub>18</sub> (Ph/n-C<sub>18</sub>) for the Khao Khwang (KK) and Nam Duk (ND) Formations.



**FIGURE 7** | Ternary diagram of relative abundance of C<sub>27</sub>, C<sub>28</sub> and C<sub>29</sub> regular steranes shows organic matter source and depositional environment of the samples from the Khao Khwang (KK) and Nam Duk (ND) Formations.

than n-C<sub>17</sub> and n-C<sub>18</sub>, respectively. The Pr/n-C<sub>17</sub> ratios of the Khao Khwang samples range from 0.2 to 0.6, and from 0.1 to 0.9 for the Nam Duk samples (Table 1). Additionally, the Ph/n-C<sub>18</sub> ratios of the Khao Khwang samples range from 0.2 to 0.3, and from 0.04 to 0.1 for the Nam Duk samples (Table 1).

#### 4.2.3 Steranes and Terpanes

The relative abundance of C<sub>27</sub>, C<sub>28</sub> and C<sub>29</sub> steranes from this study was converted to a percentage and plotted in a ternary diagram (Table 1; Figure 7). The average abundances of C<sub>27</sub>, C<sub>28</sub> and C<sub>29</sub> regular steranes of the Khao Khwang samples are 34.36,



**TABLE 2** | The carbon and oxygen isotope compositions of the fibrous calcite vein and host rock matrix of the Khao Khwang (KK-5) and Nam Duk (ND-1) samples.

Sample	$\delta^{18}\text{O}$ PDB (‰)	$\delta^{13}\text{C}$ PDB (‰)	Sample	$\delta^{18}\text{O}$ PDB (‰)	$\delta^{13}\text{C}$ PDB (‰)
KK-5			ND-1		
Vein	-10.65	2.87	Vein	-12.42	3.75
Vein	-11.09	2.77	Vein	-11.45	4.15
Vein	-10.36	2.96	Vein	-11.19	4.36
Vein	-10.56	2.97	Vein	-11.91	3.13
Vein	-10.67	2.96	Matrix	-12.53	2.69
Matrix	-10.20	2.69	Matrix	-13.79	2.20
Matrix	-10.38	2.78	Matrix	-13.49	2.45
Matrix	-10.48	2.70	Matrix	-14.03	2.04
Matrix	-11.83	2.20	Matrix	-13.34	2.23
Matrix	-10.06	2.50	Matrix	-14.27	1.67
Vein	-9.98	3.01	Matrix	-13.64	2.12
Vein	-9.96	3.15	Matrix	-14.02	2.16
Vein	-11.14	2.82	Matrix	-13.85	2.26
			Matrix	-14.10	2.14
			Matrix	-14.88	1.95
Avg. total	-10.57	2.80		-13.26	2.62
Avg. vein	-10.55	2.94		-11.74	3.85
Avg. matrix	-10.59	2.57		-13.81	2.17

26.60 and 39.04%; and 52.91, 18.34 and 28.75% for the Nam Duk samples (Table 1). The regular steranes suggest that the organic matter came from a marine environment with elevated  $\text{C}_{27}$  and  $\text{C}_{29}$ , and were deposited in estuarine (or shallow marine) to open marine settings. This interpretation is also supported by  $\text{Pr}/\text{n-C}_{17}$  and  $\text{Ph}/\text{n-C}_{18}$  ratios (Figure 6).

### 4.3 Carbon and Oxygen Stable Isotopes

The results of stable carbon and oxygen isotope analyses of the samples from both Formations are summarized in Table 2 and

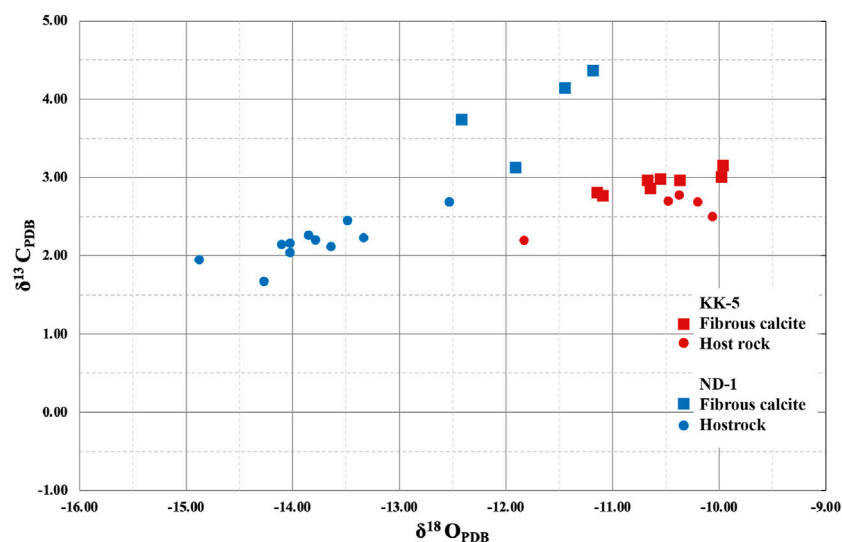
plotted in Figure 8. The  $\delta^{18}\text{O}$  values of the fibrous calcite veins and host rock matrices of the Nam Duk samples are more depleted than the Khao Khwang samples. The fibrous calcite veins from the Nam Duk samples also show higher values of  $\delta^{13}\text{C}$  compared to the Khao Khwang samples, indicating overall heavier carbon isotope compositions. The carbon and oxygen isotope compositions of the Khao Khwang and Nam Duk samples are plotted along with the sample profile in Figure 9 and Figure 10, respectively. The carbon and oxygen isotope compositions of the fibrous calcite veins are generally quite similar to those in the host rock matrix, especially within the Khao Khwang samples (Figures 9, 10). The carbon isotope compositions of the fibrous calcite vein are slightly more elevated than in the host rock matrix, presumably reflecting incorporation of organic carbon into vein cement.

#### 4.3.1 Khao Khwang Formation

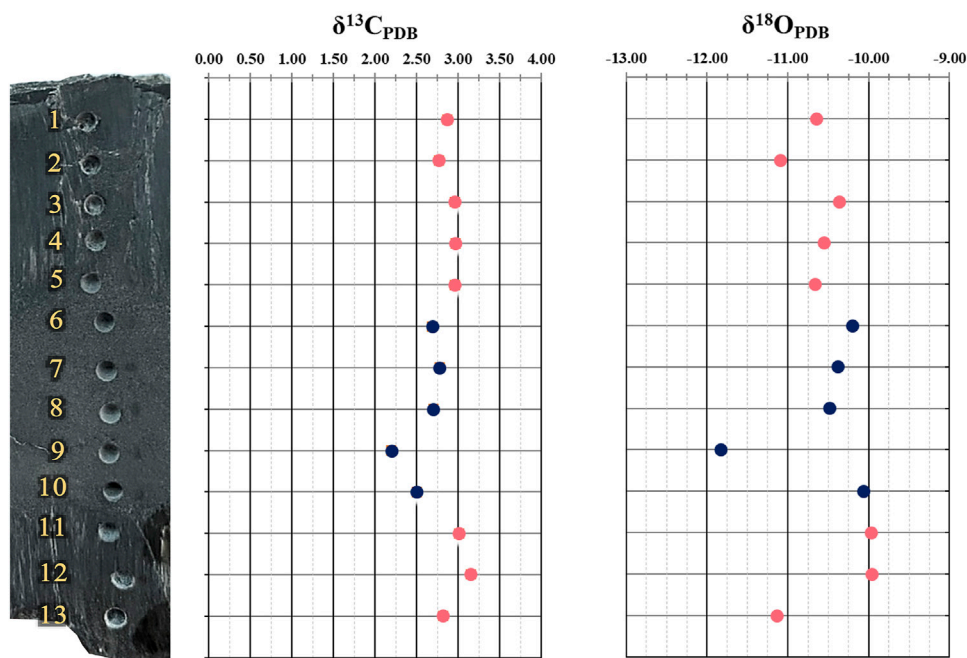
The  $\delta^{13}\text{C}$  values of the fibrous calcite veins range from +2.77 to +3.15‰ with an average value of +2.94‰. The  $\delta^{13}\text{C}$  values of the host rock matrix ranges from +2.20 to +2.78‰ with an average value of +2.57‰. The  $\delta^{18}\text{O}$  values of the fibrous calcite veins range from -9.96 to -11.09‰ with an average value of -10.55‰ and between -10.06 and -11.83‰ in the matrix with an average value of -10.59‰. Accordingly, the  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  values of the fibrous calcite are slightly different from the matrix.

#### 4.3.2 Nam Duk Formation

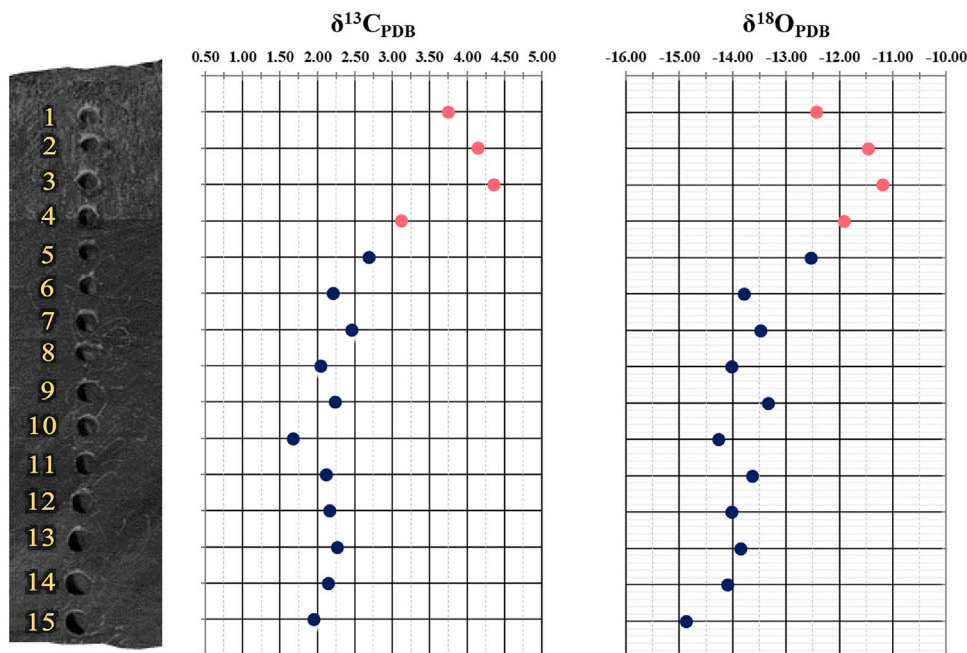
The  $\delta^{13}\text{C}$  values of the fibrous calcite vein range from +3.13 to +4.36‰ with an average value of +3.85‰. In the host rock matrix, the  $\delta^{13}\text{C}$  values range from +1.67 to +2.69‰ with an average value of +2.17‰. The  $\delta^{18}\text{O}$  values of the fibrous calcite vein are between -11.19 and -12.42‰ with an average value of -11.74‰ and are between -12.53 and -14.88‰ in the host rock matrix with an average value of -13.26‰. The  $\delta^{18}\text{O}$  values from the host rock matrix are more depleted than from the fibrous



**FIGURE 8** | Carbon and oxygen isotope compositions from the fibrous calcite vein and host rock of the Khao Khwang (KK-5) and Nam Duk (ND-1) samples.



**FIGURE 9 |** The transection diagram of the Khao Khwang sample (KK-5) shows  $\delta^{13}\text{C}_{\text{PDB}}$  and  $\delta^{18}\text{O}_{\text{PDB}}$  isotopic composition of host rock (black dots) and fibrous calcite veins (red dots) from top to bottom of the samples. The boundary between the fibrous calcite vein and host rock is indicated by yellow dashed lines.



**FIGURE 10 |** The transection diagram of the Nam Duk sample (ND-1) shows  $\delta^{13}\text{C}_{\text{PDB}}$  and  $\delta^{18}\text{O}_{\text{PDB}}$  isotopic composition of host rock (black dots) and fibrous calcite veins (red dots) from top to bottom of the samples. The boundary between the fibrous calcite vein and host rock is indicated by a yellow dashed line.

calcite veins. The  $\delta^{13}\text{C}$  values of the fibrous calcite veins are heavier than from the host rock matrix.

## 5 DISCUSSION

### 5.1 Paleo-Depositional Environment

Biomarker analysis of soluble organic matter (bitumen) from the rock samples hosting the fibrous calcite veins has contributed to a better understanding of the characteristics of the depositional environments (e.g., Waples and Machihara, 1991; Sivan et al., 2008). In this study, organic facies and depositional environment are interpreted using Pr/Ph ratio, Pr/n-C<sub>17</sub> and Ph/n-C<sub>18</sub> cross plots and sterane distributions (e.g., Shanmugam, 1985). Samples from both Formations have similar isoprenoid, *n*-alkane and sterane distributions, and thus are similar in depositional environment, organic matter type and maturity (Figures 5–7; Table 1). Molecular geochemical data from both Formations suggest that the rock samples are deposited in a marine environment with a mixed source of organic matter from the marine and terrestrial. However, there are slight differences in likely paleo-geography and thermal maturation between the two Formations.

Based on these data, rock samples from the Khao Khwang Formation are believed to have been deposited in a lagoonal/estuarine or shallow marine environment. In contrast, based on *n*-alkane and sterane distributions, the Nam Duk Formation was deposited in a somewhat deeper water slope environment. That is, a higher proportion of land plant material was deposited within the Khao Khwang Formation. These interpretations coincide with results from previous studies on depositional environments for both Formations (e.g., Chitnarin et al., 2008; Chenrai and Fuengfu, 2019). The same settings of the relevant organic facies are confirmed by the bivariate plot trends of the Pr/Ph ratio, the Pr/n-C<sub>17</sub> and Ph/n-C<sub>18</sub> cross plot and the tertiary diagram of regular steranes (Figure 7).

### 5.2 Petroleum Potential Source Rock

Total organic carbon (TOC) contents in the Khao Khwang Formation imply fair to excellent source rock potential (Racey, 2011; Chenrai and Fuengfu, 2019). Unfortunately, there is no similar data measure for the Nam Duk Formation. However, the Pr/n-C<sub>17</sub> and Ph/n-C<sub>18</sub> cross plot reveals that the samples from both Formations contain a mixed kerogen, between type III and type II, deposited under sub-oxic to oxic conditions. It should be noted that these analyzed data are from outcrop samples that may be somewhat oxidized, tied to exposure to the more oxic conditions near the present-day landsurface. If organic matter was first deposited in anoxic to sub-oxic conditions, the rock samples would be considered potential oil and gas sources for both Formations. Abundant framboidal pyrites having a various size from 5.7  $\mu\text{m}$  to 0.5 mm within the rock samples, especially from the Khao Khwang Formation, also suggest that the samples were deposited under relatively anoxic conditions (Wilkin et al., 1996; Liu et al., 2019). The Nam Duk Formation was likely deposited further from a terrestrial organic matter source than the Khao Khwang Formation, based on *n*-alkane and sterane

distributions. This implies fine-grained rocks in the Khao Khwang Formation have a higher petroleum potential than the Nam Duk Formation. Thermal maturation of the Nam Duk Formation is interpreted to be higher than the Khao Khwang Formation, based on the Pr/n-C<sub>17</sub> and Ph/n-C<sub>18</sub> cross plot (Figure 6). This higher thermal maturation interpretation is supported by the  $\delta^{18}\text{O}$  values of the Khao Khwang samples being more negative than the Nam Duk samples (Figure 8), indicating that the calcites within the Khao Khwang samples were precipitated at somewhat higher temperatures (Al-Aasm et al., 1993).

### 5.3 Fibrous Calcite Development

Bedding-parallel calcite veins are widely documented, as are interpretations of the formative mechanisms driving aligned fibrous crystal growth within sedimentary strata during burial diagenesis and compaction (Franks, 1969; Cobbold et al., 2013). Nam Duk samples are dominated by beef veins with a smooth continuous boundary between the fibrous calcites and host rock bedding surface. This probably indicates that the beef veins grew at a consistent rate. On the other hand, the Khao Khwang samples mostly fibrous calcite cone-in-cone veins, with abundant inclusions of host-mud. These samples likely grew under more fluctuating conditions, with times of rapid growth indicated by intervals enclosing more mud inclusions. The ability of the calcite to encase mud inclusions likely indicates that the host rock was also semi to unconsolidated at the time of calcite crystal growth. Beef veins without mud inclusions suggest a more brittle or consolidated host rock at the time of fibrous calcite precipitation (e.g., Meng et al., 2017). Hence, beef veins in the Nam Duk Formation likely formed during deeper burial diagenesis and in more compacted situations than cone-in-cone veins in the Khao Khwang Formation. Both the cone-in-cone and beef vein fabrics are a fibrous growth response to saturated conditions in a hydrologic regime derived from local carbonaceous fluids within the host rock. Individual crystal fibers grew vertically and inward during the periodic opening of the vein space.

Stable isotope compositions can be used to refine interpretations of growth style and relative timing of fibrous calcite development. Negative signatures in organic matter can provide evidence of an organic bicarbonate ( $\text{HCO}_3^-$ ) sourced in zones of bacterial sulphate reduction in shallow-burial anaerobic environments (Irwin et al., 1977). The bicarbonate fixed in fibrous calcites precipitated in this zone usually inherit  $\delta^{13}\text{C}$  signatures from this organic matter; hence a much-depleted carbon isotope composition is expected to occur in calcites formed in this zone ( $\delta^{13}\text{C} < -25\text{‰}$  PDB; Irwin et al., 1977). After sulphate is totally consumed in the bacterial sulphate reduction zone, the microbial methanogenic zone is entered; there Archaeal methanogens can continue to be active until temperatures reach  $\sim 75^\circ\text{C}$  (the petroleum generation window; Morad, 2009).

Samples from the two Formations show positive  $\delta^{13}\text{C}$  values, suggesting that the heavy carbon in the formative bicarbonate was influenced by microbial activity in a methanogenic zone that underlay the bacterial sulphate reduction zone. There the carbon

dioxide (CO<sub>2</sub>) generated within the microbial methanogenic zone tends to have heavy carbon isotope compositions ( $\delta^{13}\text{C} \approx 0\text{--}15\text{‰}$  PDB) via microbial fermentation (Raiswell, 1987; Wolff et al., 1992). Thus, if the buried organic-rich rocks still have organic matter remaining after passing through the bacterial sulphate reduction zone, they can generate carbon dioxide with  $\delta^{13}\text{C}$  enriched carbon. This leads to progressive  $^{13}\text{C}$  enrichment in bicarbonate fixed as calcite forming within the rocks. Fibrous calcite veins in this zone are expected to be similar to the veins in the two Formations. Overall  $\delta^{13}\text{C}$  carbon composition will be positive if there is no subsequent external fluid intrusion, as is likely due to the ongoing low permeability of the host rocks (Luan, et al., 2019). Thus, moderate positive  $\delta^{13}\text{C}$  values from the Khao Khwang and Nam Duk Formations possibly suggest a carbon source from carbon dioxide influenced by microbial methanogenesis.

Oxygen isotope compositions of the fibrous calcite veins from both Formations are more depleted when compared to the range of Permian carbonate rock precipitation in equilibrium with Permian seawater as  $-4.8\text{‰}$  PDB (Veizer et al., 1999). The measured negative oxygen isotope signatures ( $\approx -10$  to  $-13\text{‰}$ ) are too negative and likely reflect ongoing local rock-buffered rock-fluid interactions, carbonate precipitation and re-equilibration is occurring in modified marine pore waters within diagenetic systems at somewhat deeper burial depths where the temperature are progressively increasing (Hodgson, 1966; Dickson and Coleman, 1980; Marshall, 1982; Nuriel, et al., 2011; Uysal, et al., 2011; Warren et al., 2014).

## 6 CONCLUSION

The bedding-parallel fibrous calcite veins from the Khao Khwang and Nam Duk Formations are divided into two types; beef and cone-in-cone veins. Their carbon and oxygen isotope compositions suggest that the calcite veins were precipitated from a carbon source by carbon dioxide generated in the zone of microbial methanogenesis, giving  $\delta^{13}\text{C}$ -enriched carbon isotope compositions. Thus, the fibrous calcite veins of the two Formations exhibit moderate positive  $\delta^{13}\text{C}$  values at the present day. Their  $\delta^{18}\text{O}$ -depleted isotope compositions indicated that the fibrous calcite veins re-equilibrated in locally-derived warmer fluids (no external carbon source) likely tied to somewhat deeper burial. The fibrous calcite veins are interpreted to be

precipitated in the surrounding semi-consolidated sediments, and so calcite crystals are preserved as cone-in-cone veins with abundant host-mud inclusions. Beef veins that typify the Nam Duk Formation are believed to have precipitated in more consolidated fine-grained sediment hosts in the somewhat deeper burial zone compared to the Khao Khwang Formation.

Our petroleum assessment of the Khao Khwang and Nam Duk Formations suggests that both Formation are a petroleum potential source rock with type II/III kerogen. The depositional environment of organic matter in the Khao Khwang Formation was either an estuarine environment or a shallow marine environment. Organic signatures in the Nam Duk Formation suggest a somewhat deeper water slope-to-basin marine setting or an open marine environment. Thus, results in this study provide a better understanding between the bedding-parallel fibrous calcite veins and petroleum source rock potential in a sedimentary basin using analytical approaches that could also be applied for petroleum exploration in a frontier area.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

PC and TA: Conceptualization, field collection, methodology, formal analysis, investigation, writing manuscript; JW: review and editing manuscript; SS: field collection, laboratory investigation, preparing manuscript; SM: petrography investigation; IC: isotope analysis.

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# Topographic and Climatic Control on Chemical Weathering of Mountainous Riverine Sediments of Hainan Island, South China Sea

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Hainan Island, the largest island in the northwestern South China Sea (SCS), is characterized by many tropical mountainous rivers that flow into the SCS. The geochemistry of these riverine sediments and the factors controlling the weathering intensity are still not well understood. In this study, sedimentary geochemistry has been investigated by using 45 riverine sediments collected from 18 major rivers on Hainan Island. The Hainan riverine sediments are only first-cycle rather than polycyclic sediments, and they faithfully reflect the present weathering intensity. The high and steady values of the Chemical Index of Alteration (CIA) indicate that the riverine sediments at different parts of the Hainan Island have overall undergone intensive chemical weathering. The low values of Weathering Index of Parker (WIP) and high  $\alpha_{Ca}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of north Hainan indicate the highest weathering degree, which is mainly influenced by the flat topography. The values of  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  of southwest Hainan which are higher than those of east Hainan suggest that the leaching of elements such as Na, K, Sr, and Ba is more extreme (i.e., more intensive weathering). These are mainly caused by the different physical denudation due to different summer precipitation. Overall, the Hainan Island is featured by intensive chemical weathering and is classified as a typical transport-limited weathering regime. Therefore, the geochemistry of the riverine sediments of the Hainan Island is different from that of sediments in tectonically active regions (e.g., Taiwan Island).

**Keywords:** geochemistry, riverine sediment, weathering, Hainan Island, South China Sea

## HIGHLIGHTS

The Hainan riverine sediments have undergone high weathering conditions.  
The highest chemical weathering intensities of sediments in north Hainan are mainly influenced by the flat topography.  
Weathering difference between southwest and east Hainan is caused by different summer rainfall conditions due to the orographic effect of the central mountains.  
The weathering processes of the sediments in Hainan are typical transport-limited weathering regimes.



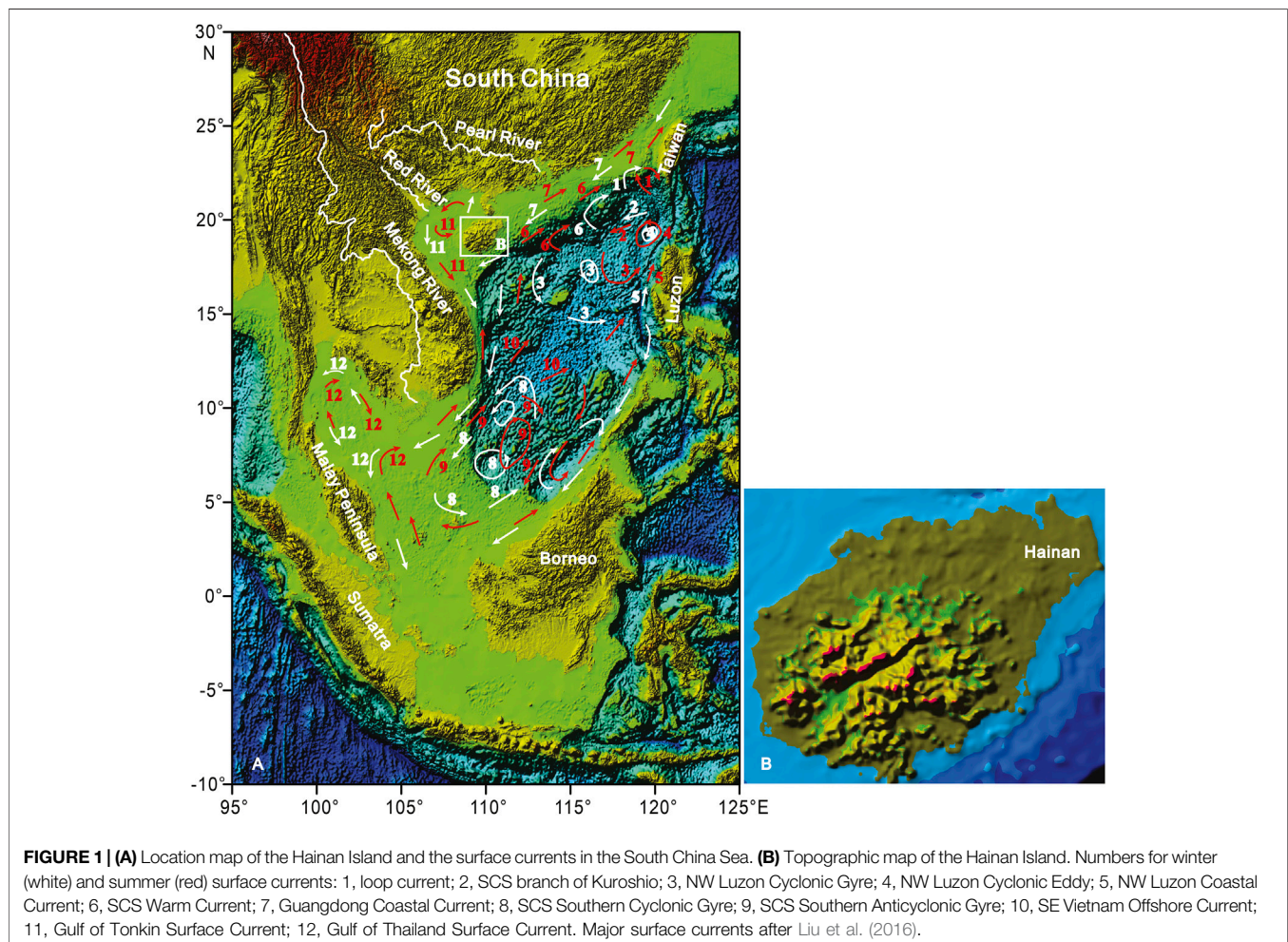
## INTRODUCTION

Rivers are the main passages of land-derived sediments into the sea. The mineralogical and geochemical characteristics of sediments are controlled by lithology, topographic settings, weathering, diagenesis, sedimentary sorting, and recycling in catchments, and can be used to better understand the geochemical cycle, land–ocean interaction, and global change (Gaillardet et al., 1997; Yang et al., 2004; Liu et al., 2007; Li and Yang, 2010; Clift et al., 2014; Li G. et al., 2016; Jian et al., 2020; Xu et al., 2021).

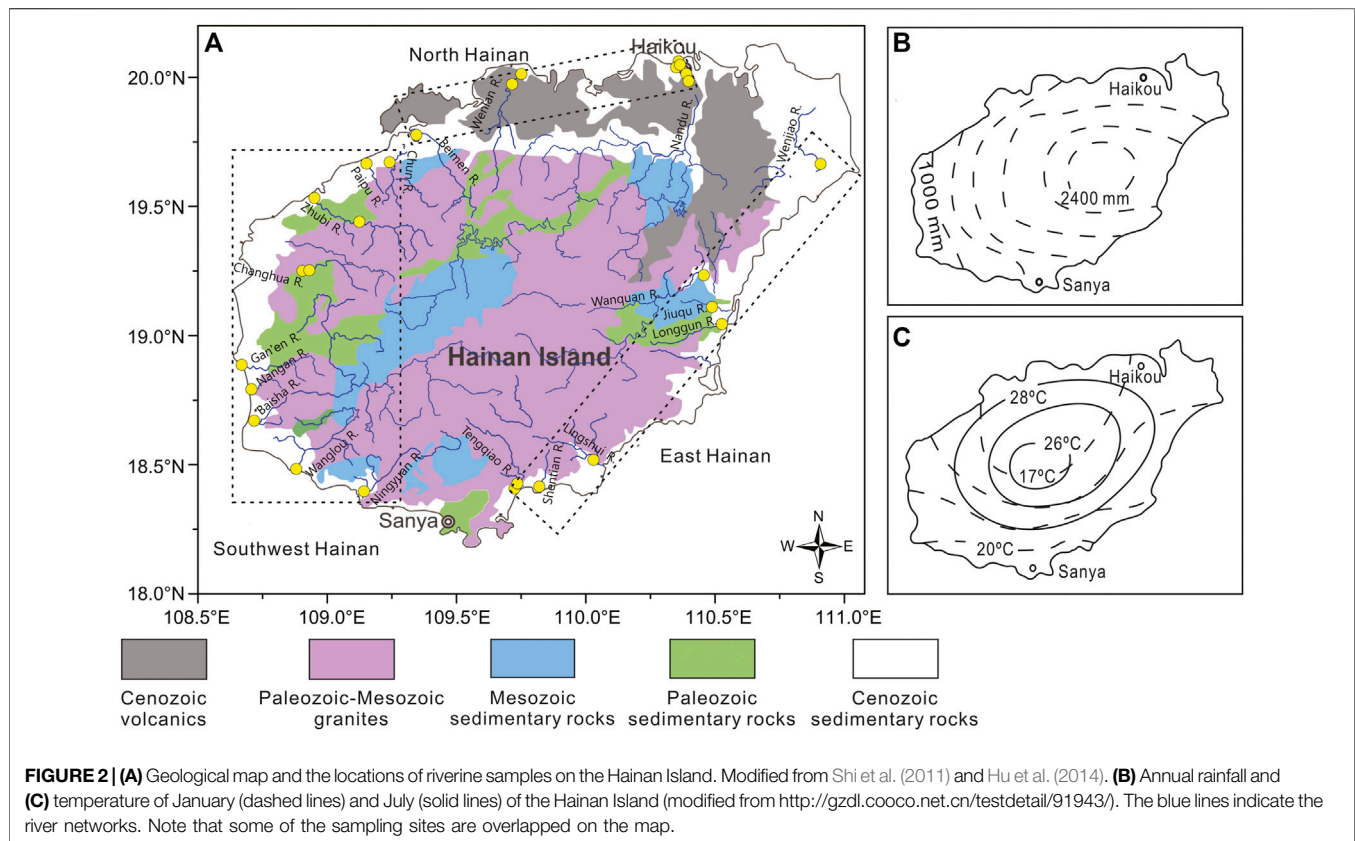
Numerous studies have focused mainly on world-class rivers, such as the Amazon (Gaillardet et al., 1997; Bouchez et al., 2011), Mekong (Egashira et al., 1997), Congo (Dupré et al., 1996; Dinis et al., 2020), Yangtze and Yellow (Yang et al., 2004), and Pearl (Liu et al., 2007) rivers, because they play leading roles in delivering the great mass of water and terrestrial materials to the sea. However, subsequent studies have found that small rivers may have distinctive features in comparison to the major river systems in the world (Milliman and Syvitski, 1992; Milliman and Farnsworth, 2011; Yang and Yin, 2018). Thus, the significance of rivers with small drainage basins has been reconsidered. Recently, the characteristics of sediment geochemistry in small rivers, for

example, central Japan (Ohta et al., 2005), western Korea (Xu et al., 2009), southeast China (Zhou et al., 2010; Su et al., 2017; Jian et al., 2020), Taiwan Island (Li et al., 2013; Deng et al., 2019), Malaysia, Sumatra, Borneo (Liu et al., 2012), Luzon, and Philippines (Liu et al., 2009), have aroused wide concern.

Hainan Island is the second largest island in China and the highest island in the northern South China Sea (SCS) and is located at the low latitude tropical area with heavy rainfall but low sediment load (~4 Mt/yr, million tons per year, Zhang et al., 2013). Clay mineralogy analysis suggested that the weathering status of Hainan is comparable with that of the Pearl River and is inconsistent with that of rivers in Taiwan (Hu et al., 2014). To our knowledge, the geochemistry of the riverine sediments of Hainan Island and the weathering mechanism have not been constrained systematically, which are characterized by different lithological and topographic settings, and along with the tropical East Asian monsoon climate but with different rainfall. In this study, sediment geochemistry has been investigated on 45 riverine sediments collected from 18 major rivers on the Hainan Island. Our objectives are to 1) reveal the characteristic features of sediment geochemistry, 2) establish a geochemistry database for riverine sediments from Hainan Island, and 3)







discuss the factors controlling the chemical weathering intensity of sediments in tropical Hainan.

## Regional Setting

Hainan Island is the second largest island ( $35.4 \times 10^3 \text{ km}^2$ , **Figure 1A**) in China. The Hainan Island is tectonically stable (Metcalf, 2009) and is characterized by central high mountains surrounding low hills, platforms, and plains in coastal regions (**Figure 1B**). The high mountains are located south of the central part of the island, accounting for 25.1% of the island's area. The vast platforms are mainly distributed in the north Hainan (20–50 m above sea level), accounting for about one-third of the total area of the island (<http://www.hnszw.org.cn/xiangqing.php?ID=54349>, **Figure 1B**). The main rock types are granitic rocks which are mainly exposed in the southwest and east Hainan, and basalts are distributed predominantly in north Hainan. Sedimentary rocks, including slate, sandstone, limestone, and volcanic clastic, are scattered on the island, while Quaternary sediments have existed mainly in the coastal plain regions (**Figure 2A**, Shi et al., 2011).

The Hainan Island is located on the northern edge of the tropics and is dominated by a tropical monsoon climate with dense vegetation cover (Committee of Vegetation Map of China, 2007; Zhang et al., 2013). The annual mean rainfall is ~1,500 mm, with ~80% of it occurring between May and October (<http://www.worldweather.org>), especially during the tropical cyclone period (Zhang et al., 2013). A large rainfall difference occurred

between different parts of Hainan because of the orographic effect of the central mountains. The annual mean rainfall is distributed in a ring pattern, tending to reduce from more than 2,000 mm in the east and central Hainan to less than 1,000 mm in the southwest Hainan (**Figure 2B**). Fluvial drainage systems are well developed in the region. There are more than 100 rivers with a drainage area of over  $100 \text{ km}^2$  each. Over the past several decades, the total discharge and the total riverine sediment load from Hainan are  $\sim 31 \times 10^9 \text{ m}^3/\text{yr}$  and  $\sim 4 \text{ Mt}/\text{yr}$  (Zhang et al., 2013), respectively.

The annual mean temperature is  $25.4^\circ\text{C}$ , with a maximum between May and October (<http://www.worldweather.org>). In July, the isotherm showed concentric circles, increasing from the central mountain to the coastal areas. In January, temperatures were lower in north Hainan, increasing from the northern to the southern areas (**Figure 2C**). In general, there is a relatively wet and dry climate in east and southwest Hainan.

## MATERIALS AND METHODS

### Sampling and Analytical Methods

A total of 45 riverine samples were collected downstream of estuary sites from 18 rivers on the Hainan Island in 2013 (**Figure 2A**). According to the lithology and climate conditions, the 18 studied rivers were grouped into three provinces: north Hainan (3 rivers), east Hainan (6 rivers), and

southwest Hainan (9 rivers). These sediment samples were collected from surface channels or bed deposits. The fine-grained fraction (<63  $\mu\text{m}$ , after eliminating sand) used for geochemical analysis was wet-sieved from the bulk samples to minimize the grain-size effect (Yang et al., 2002; Bouchez et al., 2011; Li et al., 2013; Guo et al., 2018), then oven-dried, and ground to a powder. The major and trace elements were analyzed using an X-ray fluorescence spectrometer (XRF, Axios PW4400) and Perkin Elmer ELAN DRC II ICP-MS, respectively. The analytical accuracy was determined by analyzing certified reference materials (BCR-2, BHVO-2, GBW07315, and GBW07316). The analytical precision, as verified by replicate analysis, was better than 5%. The clay mineral compositions of the sediments have been reported by Hu et al. (2014).

## Calculation of Weathering Indexes

The Chemical Index of Alteration (CIA) and the Weathering Index of Parker (WIP) have been widely used as proxies for the chemical weathering intensity in drainage basins. The CIA value is used to quantify the chemical weathering degree experienced by sediments, referring to the progressive loss of mobile elements such as Na, Ca, and K (Nesbitt and Young, 1982). The higher CIA values indicate stronger weathering which could be interpreted as a measure of the conversion extent of feldspars to clays. In contrast, the WIP simply reflects concentrations of Mg, Ca, Na, and K, and thus, it is considerably affected by quartz dilution and used to evaluate weathering in quartz-rich sediments (Garzanti et al., 2013; Garzanti et al., 2014). Using molecular proportions, the CIA and WIP were calculated as follows:

$$CIA = 100 \times \left( \frac{Al_2O_3}{Al_2O_3 + CaO^* + Na_2O + K_2O} \right), \quad (1)$$

$$WIP = 100 \times \left( \frac{CaO^*}{0.7} + \frac{2Na_2O}{0.35} + \frac{2K_2O}{0.25} + \frac{MgO}{0.9} \right), \quad (2)$$

where  $CaO^*$  represents  $CaO$  associated with the silicate fraction only and is corrected for carbonate and phosphate (apatite). If the mole fraction of  $CaO \leq Na_2O$ , then the value of  $CaO$  was used. If  $CaO > Na_2O$ , then the mole of  $CaO^*$  was taken equivalent to  $Na_2O$  (McLennan, 1993).

Weathering intensities can be calculated for each single element (Ca, Na, K, Sr, and Ba) mobilized during incongruent weathering of silicates by comparing its concentration to that of an immobile element in samples and the upper continental crust (UCC, i.e.,  $\alpha$  value, Gaillardet et al., 1999; Garzanti et al., 2013). We calculated the  $\alpha$  value with reference to the concentration of an immobile element whose magmatic compatibility is close to that of the mobile element (Gaillardet et al., 1999):

$$\alpha_{Ca} = \frac{[Ti/Ca]_{\text{Sample}}}{[Ti/Ca]_{\text{UCC}}}, \quad (3)$$

$$\alpha_{Na} = \frac{[Sm/Na]_{\text{Sample}}}{[Sm/Na]_{\text{UCC}}}, \quad (4)$$

$$\alpha_K = \frac{[Th/K]_{\text{Sample}}}{[Th/K]_{\text{UCC}}}, \quad (5)$$

$$\alpha_{Sr} = \frac{[Nd/Sr]_{\text{Sample}}}{[Nd/Sr]_{\text{UCC}}}, \quad (6)$$

$$\alpha_{Ba} = \frac{[Th/Ba]_{\text{Sample}}}{[Th/Ba]_{\text{UCC}}}. \quad (7)$$

The  $\alpha$  ratios could also minimize the effects of quartz dilution, grain size, and recycling. According to the definition of the  $\alpha$  value,  $\alpha < 1$  indicates enrichment and  $\alpha > 1$  implies depletion with respect to the UCC.

## Major and Trace Elements, and the Chemical Weathering Intensity

The element concentrations are shown in Table 1. Most of the Hainan riverine sediments have  $Sc/Th$  values smaller than 1, while two samples have values higher than 1 on the north Hainan (Figure 3A). The CIA values of all the samples range from 72 to 90 (mean of 81), which indicates moderate to intensive weathering occurred in the Hainan Island. The CIA values exhibit no obvious correlation with  $Sc/Th$  ratios (Figure 3A). The WIP values of all the samples range from 18 to 52 (mean of 34). The CIA and WIP values of all the samples display an inverse linear relationship (Figure 3B), showing a similar trend with the Taiwan rivers (Selvaraj and Chen, 2006), Pearl, Red, and Mekong (Liu et al., 2007), Malay Peninsula, Sumatra, Borneo (Liu et al., 2012), and Luzon rivers (Liu et al., 2009). As shown in Table 2, the similar values of the CIA at different parts of the Hainan Island suggest the similar weathering degree of Hainan riverine sediments. However, the lower mean WIP value of north Hainan suggests a higher weathering degree.

The weathering indexes, such as  $\alpha_{Ca}$ ,  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$ , are also  $> 1$  (Table 2). As shown in Figure 3C, a high coefficient correlation can be observed between some indexes indicating that these indexes give relatively consistent information on the weathering degree of Hainan riverine sediments. Overall, the  $\alpha_{Ca}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of north Hainan are higher than those of the southwest and east Hainan (Figure 3C). This also suggests the higher weathering degree of the north Hainan Island.

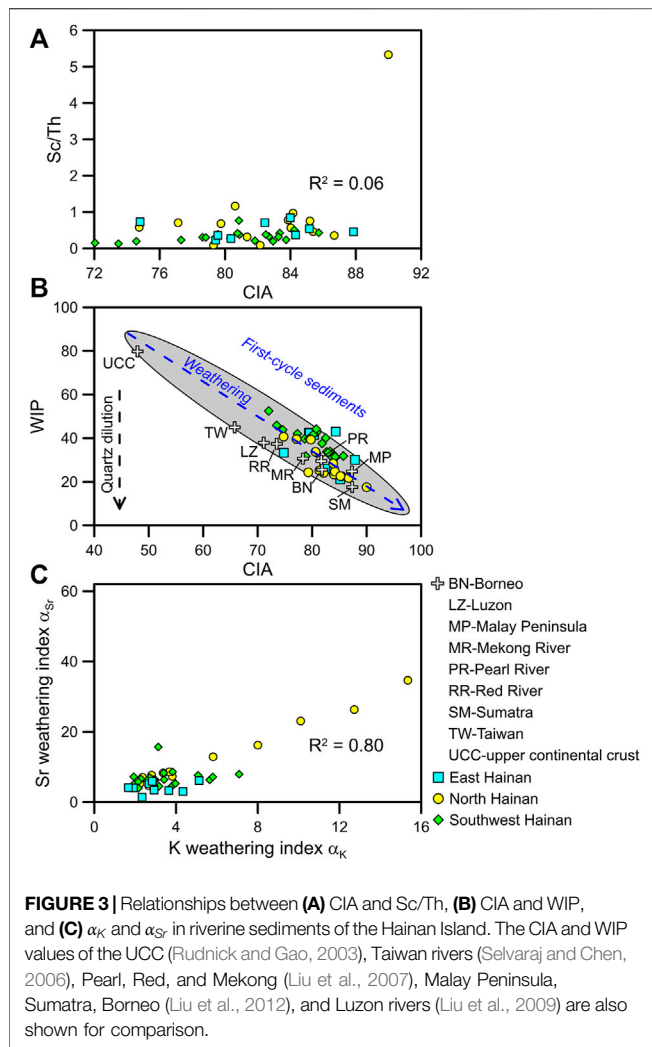
## DISCUSSION

### Topographic Control on the Highest Weathering of North Hainan

The elements  $Sc$  and  $Th$  are largely water immobile during chemical weathering. Typically, felsic rocks generally have  $Sc/Th$  values smaller than 1, and mafic rocks have higher  $Sc/Th$  values (Taylor and McLennan, 1985). Most of the Hainan riverine sediments have  $Sc/Th$  values smaller than 1, indicating the dominance of the felsic rocks in the catchment (i.e., granitic rocks and Quaternary sediments). Only two samples have  $Sc/Th$  values higher than 1 on the north Hainan, indicating the influence of the mafic rocks in the catchment (i.e., basalt rocks). The CIA values exhibit no obvious correlation with  $Sc/Th$  ratios (Figure 3A), suggesting that the chemical weathering intensities are mostly independent of the lithology of their source areas. This is consistent with the previous studies on

**TABLE 1 |** Major (unit %) and trace element (unit mg kg<sup>-1</sup>) concentrations in the Hainan riverine sediments.

	Station	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe <sub>2</sub> O <sub>3</sub>	MgO	MnO	CaO	Na <sub>2</sub> O	K <sub>2</sub> O	TiO <sub>2</sub>	P <sub>2</sub> O <sub>5</sub>	Sc	V	Rb	Sr	Zr	Nb	Ba	Hf	Th	Nd	Sm
SW Hainan	NYH-1C	56.0	18.5	6.0	2.20	0.15	0.84	0.26	3.60	0.92	0.19	10.2	74	175	117	343	27.5	446	11.4	26.3	51.2	9.0
	NYH-2C	56.4	21.2	7.5	2.49	0.21	0.84	0.24	3.89	1.01	0.27	12.6	78	205	96	305	25.5	413	9.5	33.4	53.7	9.7
	WLH-1C	53.8	18.3	5.5	2.06	0.17	0.71	0.36	3.50	0.93	0.20	12.0	78	217	105	136	25.9	446	4.5	39.3	55.3	9.8
	WLH-2C	53.4	21.5	3.8	2.11	0.03	0.62	0.37	3.73	1.05	0.10	15.0	89	269	103	114	29.6	424	3.9	53.5	74.7	13.5
	WLH-4C	52.3	19.6	6.0	2.11	0.19	0.80	0.42	3.40	0.94	0.20	12.9	88	233	103	116	25.5	439	3.7	43.6	55.3	10.5
	BSH-2C	58.2	16.2	3.6	1.98	0.06	0.85	0.16	5.30	0.75	0.13	10.1	54	307	103	299	34.4	438	10.5	67.5	71.6	12.8
	NGH-1C	57.5	15.1	4.6	2.07	0.09	1.17	0.19	4.45	0.88	0.17	11.3	64	253	116	293	31.1	454	9.5	84.7	74.3	12.8
	NGH-2C	58.5	15.0	4.0	2.10	0.07	0.89	0.14	4.27	0.90	0.16	9.2	58	227	114	292	28.5	481	9.9	46.9	60.1	10.3
	GEH-2C	60.1	16.2	4.1	2.09	0.11	0.33	0.07	4.16	0.80	0.14	11.2	55	256	51	227	31.5	415	7.2	48.8	67.8	13.8
	CHJ-1C	46.3	18.1	13.3	2.69	0.44	1.31	0.24	2.76	0.75	0.27	12.9	72	178	151	283	23.5	506	9.4	39.5	58.7	11.4
	CHJ-2C	44.6	17.9	13.9	2.64	0.42	1.21	0.23	2.58	0.71	0.27	11.8	69	162	156	246	20.8	588	8.1	28.0	53.3	10.2
	CHJ-3C	43.8	17.9	14.4	2.73	0.49	1.25	0.18	2.53	0.73	0.26	13.2	77	176	153	169	19.9	591	5.2	30.1	58.1	11.7
	CHJ-4C	45.3	18.9	15.6	2.62	0.52	1.65	0.22	2.72	0.82	0.26	13.5	80	184	159	559	25.4	573	16.9	57.7	84.7	16.2
	CHJ-5C	46.2	18.8	15.8	2.64	0.55	1.65	0.22	2.82	0.85	0.26	13.4	82	188	153	528	24.2	550	15.1	42.0	67.9	14.0
	CHJ-6C	43.6	18.5	16.2	2.62	0.67	1.53	0.26	2.71	0.85	0.27	14.0	88	192	137	710	26.5	468	20.4	72.0	91.3	18.2
	ZBJ-1C	51.5	17.8	6.7	2.30	0.26	0.87	0.46	2.50	0.89	0.27	13.8	110	154	64	168	16.5	411	5.0	18.1	38.7	7.4
	ZBJ-2C	51.5	17.7	6.9	2.48	0.26	0.89	0.10	2.75	0.87	0.32	14.2	115	180	77	239	17.8	467	6.8	28.0	45.4	9.1
	ZBJ-3C	54.0	20.3	7.3	2.80	0.25	0.78	0.39	2.96	1.14	0.27	13.5	94	181	102	603	26.0	328	18.0	64.3	61.4	11.6
	ZBJ-4C	50.6	19.2	6.9	2.70	0.24	0.67	0.06	2.77	0.97	0.36	13.1	96	184	102	245	21.3	312	7.2	30.2	41.9	8.1
	PPQ-1C	48.8	21.3	6.6	2.92	0.24	0.62	0.24	3.43	1.03	0.34	10.5	95	178	89	432	27.1	300	11.6	27.7	43.2	8.2
	PPQ-2C	51.8	22.1	7.3	3.15	0.27	0.66	0.42	3.59	1.05	0.38	14.5	97	232	95	229	26.5	303	6.8	34.8	49.9	9.4
	CJ-1C	61.2	12.5	2.9	2.07	0.09	0.92	0.02	3.02	0.59	0.16	7.4	52	142	117	322	14.8	400	9.0	24.5	38.8	7.7
N Hainan	BMJ-1C	66.7	11.1	3.1	1.76	0.05	0.40	0.23	1.98	0.99	0.15	8.2	57	89	62	796	24.8	256	25.5	94.5	137.3	23.1
	BMJ-2C	65.1	12.4	3.0	1.83	0.05	0.41	0.15	2.03	1.08	0.18	10.2	57	88	64	1,438	29.3	256	40.8	116.9	186.2	34.3
	BMJ-3C	63.4	11.8	2.9	1.81	0.04	0.38	0.08	2.26	0.77	0.13	10.2	61	115	65	269	18.9	304	7.8	32.3	39.7	7.5
	WLJ-1C	55.4	17.2	6.2	1.80	0.09	0.46	0.51	1.47	1.61	0.33	11.4	100	73	54	225	26.0	273	6.2	20.1	39.1	6.9
	WLJ-2C	52.5	18.4	7.4	1.73	0.09	0.50	0.48	1.44	1.88	0.38	14.4	120	79	56	303	31.0	279	8.3	31.4	60.4	10.9
	WLJ-3C	49.1	20.0	8.7	1.70	0.10	0.57	0.49	1.34	2.00	0.37	14.4	136	79	52	336	31.8	284	9.1	40.3	71.6	13.2
	WLJ-4C	49.2	17.8	9.8	1.93	0.13	0.43	0.51	1.59	2.02	0.23	16.2	154	94	57	210	29.6	287	5.5	16.7	36.9	7.5
	WLJ-5C	52.3	17.8	7.8	1.74	0.13	0.40	0.47	1.46	1.88	0.31	13.9	123	81	53	202	28.9	264	5.5	18.4	36.8	7.0
	WLJ-6C	31.0	23.3	20.9	1.25	0.17	0.10	1.37	0.14	3.25	0.19	29.0	255	11	9	206	26.9	87	5.4	5.4	16.6	4.2
	NDJ-1C	38.1	22.4	12.6	2.28	0.12	0.91	1.13	1.71	2.21	0.34	21.5	192	101	76	197	31.2	277	5.2	18.5	40.7	7.9
	NDJ-2C	50.0	17.9	8.5	2.82	0.07	0.59	0.78	2.70	1.00	0.25	16.0	132	147	76	173	20.7	377	4.8	22.7	34.1	6.4
	NDJ-3C	44.5	19.2	8.3	2.47	0.04	1.05	1.32	2.22	1.05	0.69	12.9	111	122	106	150	21.7	333	4.0	22.2	41.8	8.0
E Hainan	NDJ-5C	48.9	20.7	8.2	2.94	0.10	0.58	0.88	2.54	1.17	0.33	15.3	123	141	80	147	23.2	336	4.3	22.4	47.5	8.8
	NDJ-6C	47.0	21.0	9.6	2.01	0.15	0.72	0.62	1.84	2.12	0.29	15.1	145	103	74	195	29.3	347	5.4	19.3	35.9	7.1
	WJH-1C	50.2	17.7	5.8	1.33	0.04	0.35	0.74	1.14	2.15	0.24	11.8	112	65	62	274	35.8	191	7.2	21.8	32.0	6.0
	WJH-2C	53.0	15.3	7.6	2.89	0.08	4.14	1.06	1.53	1.25	0.39	9.8	97	63	171	140	21.6	262	3.6	13.4	19.8	4.1
	WQH-8C	56.0	25.0	10.8	2.98	0.18	2.24	0.13	3.89	1.32	0.36	14.5	136	186	144	247	24.0	477	6.5	39.1	63.8	12.2
	JQJ-1C	60.9	15.3	4.8	2.24	0.04	0.35	0.43	1.76	0.74	0.30	8.9	88	71	71	192	12.1	323	5.2	12.6	24.3	4.4
	JQJ-2C	57.0	15.3	5.5	2.13	0.05	0.34	0.27	1.86	0.77	0.36	9.8	99	82	66	178	12.9	345	4.8	11.6	22.9	4.1
	LSH-1C	49.4	22.5	6.0	2.25	0.08	1.10	0.56	3.37	1.04	0.19	12.6	82	193	200	313	28.7	525	9.8	46.2	54.7	9.7
	LSH-2C	50.2	21.5	5.7	2.29	0.08	1.21	0.52	3.55	1.02	0.18	13.0	79	195	214	349	28.2	549	11.3	57.7	55.1	10.0
	STH-1C	47.8	25.6	6.2	1.82	0.07	0.85	0.19	2.67	1.03	0.13	13.4	100	147	158	270	22.8	551	7.6	29.3	45.8	7.8
	TQH-3C	52.0	21.0	6.1	2.25	0.06	0.64	0.44	3.64	0.92	0.18	13.7	78	191	115	174	23.1	460	5.3	38.7	57.1	10.5



silicate weathering in river basins of mainland China (Li and Yang, 2010; Shao et al., 2012; Bi et al., 2015).

The comparison of CIA and WIP indexes could be used to identify the composition changes caused by weathering and recycling. The relationship between CIA and WIP is linear for first-cycle muds as well as for quartz-poor igneous materials (Garzanti et al., 2013; Garzanti et al., 2014; Guo et al., 2018). The inverse linear relationship of the CIA and WIP (Figure 3B) indeed suggests that the Hainan sediments only experienced a first sedimentary cycle, and thus faithfully reflects the present weathering intensity. Thus, the significantly low WIP values of sediments in north Hainan indicate strong chemical weathering intensity in the area.

Alkaline and alkaline earth metals tend to be depleted in riverine sediments due to their mobility during water–rock interactions (Stallard, 1988; Canfield, 1997; Garzanti et al., 2013). The  $\alpha_{Ca}$ ,  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values are always >1 (Table 2), indicating that most alkali and alkaline elements in parent rocks on the Hainan Island can be easily and intensively weathered under warm and humid climate conditions. As shown in Table 2, the  $\alpha_{Ca}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of the north Hainan are much higher than those of the east

**TABLE 2** | Proxies for the chemical weathering intensity of the Hainan riverine sediments.

	CIA	WIP	$\alpha_{Ca}$	$\alpha_{Na}$	$\alpha_K$	$\alpha_{Sr}$	$\alpha_{Ba}$
Southwest Hainan	80.5	37.9	6.0	52.5	3.4	6.6	5.9
North Hainan	82.5	27.7	16.1	27.9	5.6	12.4	7.5
East Hainan	82	33.8	9.9	17.9	3.1	4.1	4.3

and southwest Hainan, also indicating higher weathering degree than the latter. As noted above, the vast platforms are mainly distributed in the north Hainan, while the high mountains are located south of the central part of the island. Indeed, the topography could exert an important impact on sediment and its comminution process (Bi et al., 2015; Li C. et al., 2016). Considering that there is no obvious difference in climate conditions between the north and east Hainan (Figure 2), the chemical weathering intensities are also independent of the lithology of their source areas. We suggest that the highest chemical weathering intensities of sediments in north Hainan are mainly influenced by the flat topography under a favorable monsoon climate.

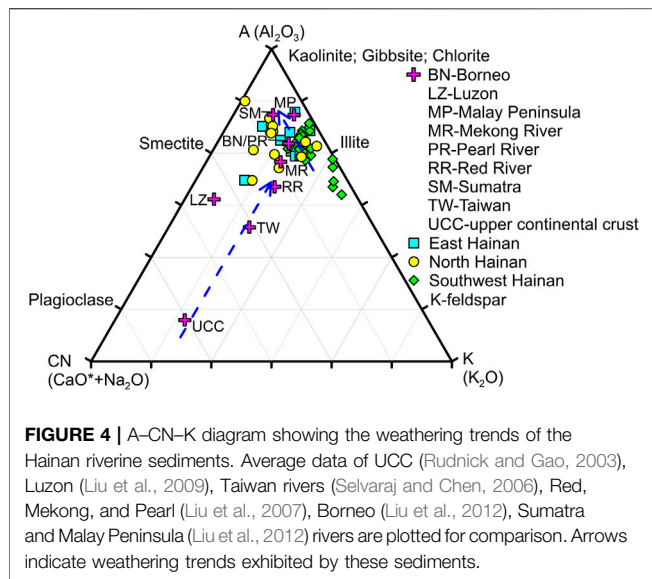
## Lower Rainfall Control on Higher Weathering of Southwest Than East Hainan

The CIA and WIP values of sediments on the southwest and east Hainan Island are similar, indicating the similar weathering degree of the riverine sediments. However, the  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of southwest Hainan are much higher than those of the east Hainan. These suggest that although the overall weathering degree of the southwest Hainan is comparable with the east Hainan, the leaching of elements such as Na, K, Sr, and Ba is more extreme. This is also supported by the more negative Eu anomalies at the southwest than the east Hainan (0.45 and 0.65, respectively, unpublished data), reflecting stronger feldspar dissolution. The east and southwest Hainan are both located in a tectonically stable area (Metcalf, 2009). Moreover, the main rock types are the same, that is, granitic rocks (Shi et al., 2011). As noted above, located in the rain shadow because of the orographic effect of the central mountains, the summer rainfall of southwest Hainan is lower than that of east Hainan. In addition, southwest Hainan is rich in light and heat, leading to a large amount of evaporation, while evaporation of the east part is relatively small due to heavy rainfall and the long rain period (Yang et al., 2013). Thus, the physical denudation should be stronger in the east than the southwest Hainan Island. The riverine sediments in southwest Hainan may experience longer residence time and stronger weathering intensity than those in east Hainan. Further studies on the “residence time” (Suresh et al., 2013) or “comminution age” (DePaolo et al., 2006; Li et al., 2015) of sediments from the small mountainous rivers in the southwest and east Hainan are needed to confirm this proposal.

## Intensive Weathering of Hainan Compared With the Adjacent Area

The weathering trend can be further examined by ternary diagrams of  $Al_2O_3$ – $CaO^*$ – $Na_2O$ – $K_2O$  (A–CN–K) (Nesbitt and





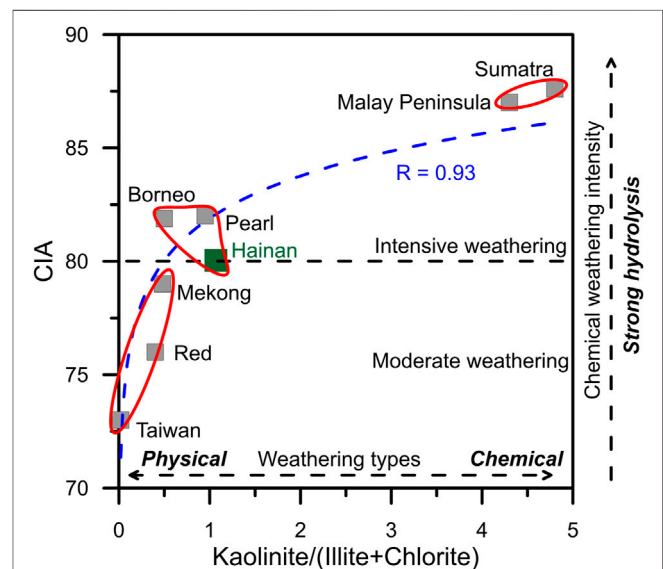
Young, 1984). Most of the samples of the Hainan Rivers are subparallel to the A-K axis (Figure 4). This reflects the strong removal of K-bearing minerals from the parent rocks, indicating the riverine sediments of the Hainan Island have undergone extensive weathering conditions. The chemical weathering intensity of Hainan Island is higher than that of UCC (Rudnick and Gao, 2003), Luzon (Liu et al., 2009), Taiwan rivers (Selvaraj and Chen, 2006), and Red and Mekong (Liu et al., 2007), and comparable with that of Pearl (Liu et al., 2007) and Borneo rivers (Liu et al., 2012), but less than that of Sumatra and Malay Peninsula rivers (Liu et al., 2012).

Clay minerals in riverine sediments originate mainly from the weathering products of parent rocks, and thus can also provide abundant information about weathering types and intensities. The kaolinite formation strongly depends on intensive hydrolysis under warm and humid climates. Illite and chlorite are mainly formed by weak hydrolysis and/or strong physical erosion of bedrock under relatively cold and dry climates (Chamley, 1989). Thus, the kaolinite/(illite + chlorite) ratios could be used to indicate the weathering types (physical erosion vs chemical weathering) of the sediments. The higher kaolinite/(illite + chlorite) values indicate stronger chemical weathering and weaker physical erosion, and *vice versa* (Colin et al., 2010; Wan et al., 2010; Alizai et al., 2012; Hu et al., 2014).

As shown in Figure 5, the CIA and kaolinite/(illite + chlorite) show good correlations of the different weathering degrees in the surrounding areas of the SCS. The weathering status of Hainan is comparable with that of the Pearl River in South China, Borneo, and weaker than those of the Malay Peninsula and Sumatra in tropical Southeast Asia. The Malay Peninsula and Sumatra are benefited from stable tectonic settings and tropical climate (Liu et al., 2012), favoring the intensity of chemical weathering with strong hydrolysis. However, the sediments of Taiwan in tropical/subtropical climate, highland part of the Mekong and Red River drainage basins in a subtropical climate, and even North Borneo in a tropical climate are characterized by strong physical erosion

and moderate weathering conditions due to the combined effects of tectonic uplift and abundant monsoon rainfall (Liu et al., 2007; Liu et al., 2008; Liu et al., 2012; Sang et al., 2018). The correlations of CIA with kaolinite/(illite + chlorite) support our previous understandings based on the A-CN-K diagram analysis.

For the first and second largest islands in China, the sediment weathering intensities are different on Taiwan and Hainan Island. We can ascribe this to the tectonically active and stable conditions on the two islands (Selvaraj and Chen, 2006; Metcalfe, 2009). The silicate weathering processes of the small rivers in Taiwan Island are typical weathering-limited regimes because of the steep topographic relief and rapid erosion due to plate collision (Bi et al., 2015). The intensive chemical weathering of Hainan suggests that the weathering processes exceed the transportability to remove materials due to the dense vegetation cover (Committee of Vegetation Map of China, 2007) although the heavy rainfall and tropical cyclones can trigger short-term extreme flood events (Zhang et al., 2013). Soils and sediments exposed for long periods could be gradually leached and become strongly depleted in soluble cations (Stallard, 1988; Garzanti et al., 2013). Thus, the weathering processes of the sediments in the Hainan Island are typical transport-limited weathering regimes. This can also be supported by the ~4 Mt/yr riverine sediment load from Hainan (Zhang et al., 2013), which shows a huge difference with Taiwan Island, that is, ~384 Mt/yr (Dadson et al., 2003). Located in a tectonically stable area with



high temperature and rainfall, the Hainan Island could afford sediments with intensive chemical weathering to the sea.

## CONCLUSIONS

The concentrations of major and trace elements in the Hainan riverine sediments were analyzed. The high CIA, low WIP, and high weathering indexes (such as  $\alpha_{Ca}$ ,  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values) indicate an advanced stage of weathering of Hainan sediments. The similar values of the CIA at different parts of the Hainan Island suggest that there is little difference in weathering products although the parent rocks are different. The low WIP and high  $\alpha_{Ca}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of north Hainan indicate the highest weathering degree, which is mainly influenced by the flat topography. The higher  $\alpha_{Na}$ ,  $\alpha_K$ ,  $\alpha_{Sr}$ , and  $\alpha_{Ba}$  values of southwest Hainan suggest that although the overall weathering degree of the southwest Hainan is comparable with the east Hainan, the leaching of elements such as Na, K, Sr, and Ba is more extreme. Their main rock types are the same, that is, granitic rocks. The significant difference between the southwest and east Hainan is the low and high summer rainfall. The stronger physical denudation should lead to shorter residence time and lower leaching intensity in the east Hainan than those of the southwest Hainan. Further studies on the “residence time” or “comminution age” of sediments from the small mountainous rivers on the Hainan Island are needed in the future. Compared with the adjacent area, the weathering degree of the Hainan Island is intensive. The weathering status is comparable with that of the Pearl River and Borneo, and relatively weaker than that of the Malay Peninsula and Sumatra due to their stable tectonic settings, and warm and humid climate. The weathering status of Hainan sediments is stronger than those of the Taiwan, Red, and Mekong rivers. Compared to the Taiwan riverine sediments, the weathering processes of the sediments in the Hainan Island are typical transport-limited weathering regimes.

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## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

BH contributed to conceptualization; JZ, RC, and XD performed formal analysis; FX, JZ, XL, GW, and JH contributed to original draft preparation; and BH and FX performed review and editing. All authors have read and agreed to the published version of the manuscript.

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# Zircon U-Pb Dating for Paragneiss in the Xinxian Area in the Dabie–Sulu Orogenic Belt and Its Geochemical Characteristics

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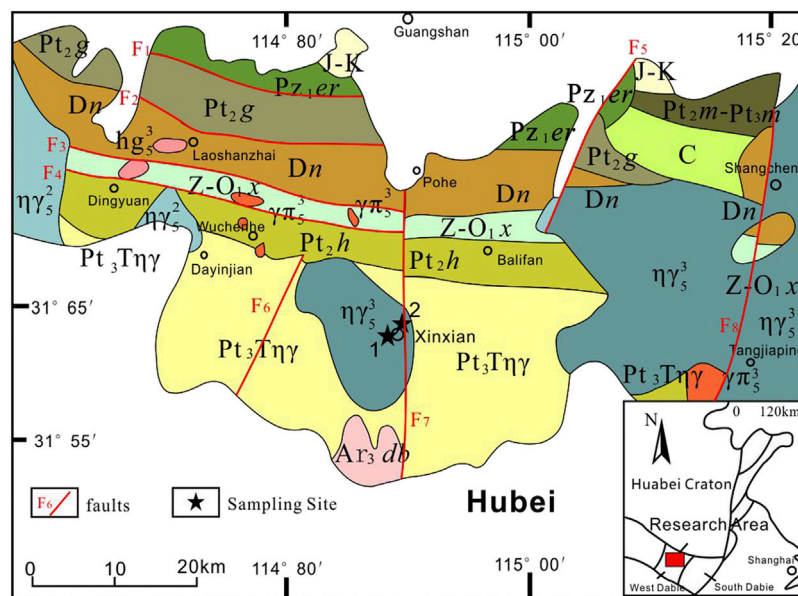
In order to understand the subduction of the Yangtze block and its collision with the North China Craton, we performed the elements analysis and zircon U-Pb dating on paragneiss from Xinxian area, which located along the west edge of the Dabie-Sulu ultrahigh-pressure (UHP) metamorphic belt. The major elements analysis showed SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, and K<sub>2</sub>O were enriched and the rare-earth element (REE) analysis showed the paragneiss had a high content of REEs, especially light rare Earth elements (LREEs). The elements analysis suggest that the protolith of paragneiss may be formed by terrestrial materials. As reflected by cathode luminescence (CL), zircon in rocks was metamorphic and had a core-edge microstructure. The edge of zircon was dated by LA-ICP-MS at 233 ± 24 Ma, which suggests that the paragneiss was formed later than the Triassic. The inherited zircon had a large age range of 456–1,727 Ma, mainly in the range of 705–811 Ma. The wide range of ages suggests that the protolith of paragneiss was sedimentary rocks formed no earlier than the Paleozoic. And the paragneiss from Xinxian area were generated in the Dabie-Sulu ocean basin or abortive ocean basin in the Paleozoic era.

**Keywords:** zircon U-Pb age, dabie-sulu UHP metamorphic belt, paragneisses, xinxian, protolith of paragneiss

## INTRODUCTION

As one of the largest UHP metamorphic belts in the world, the Dabie-Sulu belt, located between the northern margin of the Yangtze Craton and the southern margin of the North China Craton, has received much attention. It has been widely investigated since the discovery of coesite and microgranular diamond in its eclogite (Okay et al., 1989; Wang et al., 1989; Shutong et al., 1992; Shu-tong et al., 2003). Many geochronological researches have shown that the Dabie-Sulu UHP metamorphic belt was formed by the subduction of the Yangtze block and its collision with the North China Craton during the Triassic (Liu et al., 2003; Shu-tian and You, 2005). The tectonic evolution of the subduction-collision was recorded by the rock assemblages in this metamorphic belt.

The metamorphic belt is mainly composed of eclogite, gneiss and jadeitite quartzite, among which gneiss is the dominant rock and accounts for a larger proportion than any other rock (Dao-gong et al., 2000; Wang et al., 2000; Zheng et al., 2000; Liu et al., 2004). Some geochronological and geochemical studies showed that the protolith of gneiss was related to the Neoproterozoic rift magmatism on the northern edge of the Yangtze block (Zheng et al., 2003; Zheng et al., 2006). Besides, other studies found that the protolith also contained Paleoproterozoic and Archean



**FIGURE 1** | Geological map of the Xinxian area in the Dabie orogenic belt (modified after Kun-guang et al., 2009; Dong-xing et al., 2011). J-K, Jurassic–Cretaceous volcanic rocks and volcano-sedimentary rocks; Kc, Lower Cretaceous Chenpeng Formation; Dn, Devonian Nanwan Formation; Pz<sub>1er</sub>, Lower Paleozoic Erlangping Group; Z-O<sub>1x</sub>, Sinian–Lower Ordovician Xiaojiamiao Formation-Complex; Pt<sub>2h</sub>, Middle Proterozoic Huwan Formation-Complex; Pt<sub>2g</sub>, Middle Proterozoic Guishan Formation-Complex; Ar<sub>3db</sub>, Neoarchean Dabie Formation-Complex; η<sub>γ</sub><sup>2</sup>, Late Yanshanian monzonitic granite; γ<sub>π</sub><sup>3</sup>, Yanshanian granite porphyry; hg<sub>3</sub><sup>3</sup>, Yanshanian quartz diorite; Pt<sub>3T</sub>ηγ, Neoproterozoic Tianpu Sequence granite paragneiss.

metamorphic rocks and some Neoproterozoic and Paleozoic granites (Chen et al., 2013). Geochronological and geochemical analysis of gneiss is helpful for the determination of the characteristics of the protolith and understanding of the magmatic activities of the Yangtze Plate during different ages. Meanwhile, it also helps to understand the subduction of the Yangtze block and its collision with the North China Craton.

Although several geochronological and isotopic studies on metamorphic rocks in the Dabie-Sulu UHP metamorphic belt were carried out in the 1990s, most of them only focused on the northern Dabie area in the east of the metamorphic belt (Wu et al., 2001). The researches of the Xinxian area at the western edge of the metamorphic were largely limited to eclogite and gneiss (Wang et al., 1993; Xue-xie et al., 1993), while paragneiss was less studied (Yang et al., 2009; Yang et al., 2009). Based on these previous studies, we investigated the paragneiss and ages of zircon in paragneiss and further discussed the protolith of paragneiss.

## REGIONAL GEOLOGY

The paragneiss in the Xinxian area is distributed in the west edge of the Dabie-Sulu UHP metamorphic belt and is classified into the quartz andesite gneiss assemblage as shown on the 1:500,000 geological map of the Dabieshan orogenic belt (**Figure 1**): The assemblage refers to mica plagioclase gneiss with lenticles of marble, jadeitite, and eclogite (YG<sub>1</sub>) and mica plagioclase gneiss with lenticles of eclogite (YG<sub>2</sub>) (Shu-tong et al., 2005). Outcropping strata in the Xinxian area mainly include the

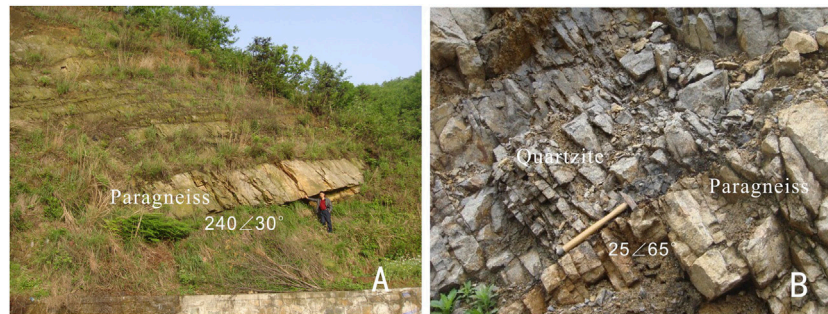
Lower Paleozoic Erlangping Group (Pz<sub>1er</sub>), Middle Proterozoic Huwan Formation (Pt<sub>2h</sub>), Middle Proterozoic Guishan Formation-Complex (Pt<sub>2g</sub>), Sinian to Ordovician Xiaojiamiao Formation (Z-O<sub>1x</sub>), Devonian Nanwan Formation (Dn), Lower Cretaceous Chenpeng Formation (Kc), and Quaternary (Q).

The paragneiss in the Xinxian area was earlier classified into the Archean Dabie Group (Ar<sub>3db</sub>) and Neoproterozoic Tianpu Sequence granite paragneiss (Pt<sub>3T</sub>ηγ). It is dominated by the Dabie gneissic complex composed of metamorphic supracrustal rocks and metamorphic plutonic rocks. Metamorphic supracrustal rocks consisted of biotite monzonite paragneiss, plagioclase hornblende paragneiss, dolomite quartz schist and magnetite quartzite, among others. Metamorphic plutonic rocks were composed of monzonite granite gneiss, muscovite diorite paragneiss and biotite plagioclase paragneiss (Liu et al., 2004). The Archean Dabie Group (Ar<sub>3db</sub>) is distributed in the Tongbai-Dabieshan subzone of the Qinling Stratigraphic Zone and is the oldest strata of the Qinling Fold System. It mainly outcrops in the mountainous area at the junction of the three provinces of Henan, Hubei, and Anhui, and its main body is located in the territories of Hubei and Anhui provinces. This group was composed of quartz schist, biotite schist, dolomite plagioclase paragneiss, dolomite monzonite paragneiss, biotite plagioclase paragneiss, hornblende diorite paragneiss and banded migmatite, etc. It is in unconformable contact with the underlying Archean Dabie Group.

Paragneiss samples were collected for subsequent analysis from the Xinxian Qianhe quarry and the north of Xinxian county (the No. 1 and 2 sampling points marked by black stars in **Figure 1**). Samples QH-1, QH1A, and QH-2 were

**TABLE 1** | Analytical results of major (%) and trace elements (μg/g) for the Xinxian paragneisses.

Samples	QH-1	QH-2	QH-3	XX4-1	XX4-2	Samples	QH-1	QH-2	QH-3	XX4-1	XX4-2	Samples	QH-1	QH-2	QH-3	XX4-1	XX4-2
SiO <sub>2</sub>	76.42	76.10	76.41	75.93	68.29	As	8.22	8.56	8.86	0.00	0.00	Ba	162.00	167.00	181.00	864.90	1176.60
TiO <sub>2</sub>	0.09	0.09	0.10	0.18	0.56	Li	1.30	1.15	1.65	0.00	0.00	Hf	9.80	8.76	8.73	7.16	4.90
Al <sub>2</sub> O <sub>3</sub>	11.65	11.58	11.91	12.58	16.06	Be	4.17	3.79	4.90	0.00	0.00	Ta	1.68	1.61	1.81	1.05	0.77
Fe <sub>2</sub> O <sub>3</sub>	1.56	1.56	1.49	1.59	3.02	Sc	3.94	4.37	4.17	4.26	6.55	W	0.48	1.06	0.35	0.00	0.00
MnO	0.03	0.03	0.04	0.06	0.07	V	1.35	0.72	2.18	6.42	30.27	Tl	0.40	0.40	0.45	0.00	0.00
MgO	0.00	0.00	0.00	0.15	1.07	Cr	12.30	18.70	6.98	307.50	213.10	Pb	17.40	17.00	20.60	16.74	11.29
CaO	0.13	0.17	0.26	0.14	0.53	Co	0.91	0.82	0.62	2.81	4.28	Bi	0.07	0.05	0.08	0.00	0.00
Na <sub>2</sub> O	4.09	3.90	4.07	3.70	4.43	Ni	5.92	7.84	3.22	20.96	14.73	Th	10.20	11.30	11.70	9.22	8.40
K <sub>2</sub> O	4.26	4.48	4.43	4.93	3.71	Cu	6.89	5.19	2.68	33.10	24.35	U	2.16	2.05	2.45	1.83	1.67
P <sub>2</sub> O <sub>5</sub>	0.01	0.01	0.01	0.01	0.09	Zn	55.10	42.70	68.60	30.44	52.59	La	35.20	43.20	43.40	20.29	53.53
LOI	0.45	0.44	0.38	0.23	1.68	Ga	16.90	17.20	18.30	18.20	21.40	Ce	70.70	87.10	84.60	45.50	99.66
Total	98.69	98.35	99.09	99.50	99.51	Ge	1.25	1.40	1.52	2.09	1.65	Pr	8.07	9.75	9.87	6.14	11.64
Na <sub>2</sub> O + K <sub>2</sub> O	8.35	8.38	8.50	8.63	8.14	As	8.22	8.56	8.86	0.00	0.00	Nd	29.00	34.90	34.90	23.71	43.14
Na <sub>2</sub> O/K <sub>2</sub> O	0.96	0.87	0.92	0.75	1.19	Rb	97.80	101.00	117.00	147.00	116.00	Sm	6.32	7.50	7.84	5.39	7.41
Li	1.30	1.15	1.65	0.00	0.00	Sr	18.80	19.50	10.40	50.24	116.60	Eu	0.25	0.27	0.28	0.91	1.69
Be	4.17	3.79	4.90	0.00	0.00	Y	42.18	54.10	59.07	38.54	43.26	Gd	5.69	6.61	6.66	5.03	6.83
Sc	3.94	4.37	4.17	4.26	6.55	Zr	230.00	212.00	216.00	210.10	178.10	Tb	1.20	1.39	1.45	0.92	1.10
V	1.35	0.72	2.18	6.42	30.27	Nb	18.90	19.60	22.30	14.70	11.38	Dy	7.69	9.04	9.30	5.61	6.28
Cr	12.30	18.70	6.98	307.50	213.10	Mo	6.39	3.86	2.66	0.00	0.00	Ho	1.75	2.13	2.22	1.21	1.29
Co	0.91	0.82	0.62	2.81	4.28	Ag	0.46	0.41	0.48	0.00	0.00	Er	4.99	6.24	6.53	3.52	3.50
Ni	5.92	7.84	3.22	20.96	14.73	Cd	0.18	0.17	0.19	0.00	0.00	Tm	0.78	1.01	1.05	0.54	0.50
Cu	6.89	5.19	2.68	33.10	24.35	In	0.06	0.06	0.07	0.00	0.00	Yb	5.19	6.79	7.29	3.64	3.28
Zn	55.10	42.70	68.60	30.44	52.59	Sn	2.84	3.25	3.63	0.00	0.00	Lu	0.72	0.96	1.04	0.56	0.52
Ga	16.90	17.20	18.30	18.20	21.40	Sb	0.63	0.65	1.77	0.00	0.00	TREE	177.55	216.89	216.43	122.97	240.37
Ge	1.25	1.40	1.52	2.09	1.65	Cs	0.45	0.45	0.96	1.04	2.64	LREE/HREE	5.34	5.35	5.09	4.85	9.32



**FIGURE 2 |** Outcrops of paragneiss in the north of Xinxian county **(A)** and in the Xinxian Qianhe quarry **(B)**.

collected from the quarry (N 31°41.551', E 114°56.921') located on the east side of the road to the south of Qianhe village. Samples XX4-1 and XX4-2 were collected from a hill behind the coach station in the north of Xinxian county (N 31°41.329', E 114°54.262') (**Figure 2**). These rocks are off-white and medium to fine-grained and have black and white strips. They are composed of potassium feldspar, plagioclase, quartz, biotite, etc. Samples XX4-1 and XX4-2 were obtained from thick-layered and thin-layered paragneiss, respectively.

## ANALYTICAL METHODS

### Petrochemical Analysis

Petrochemical tests were conducted on 5 samples from Qianhe and the north of Xinxian county for major and trace elements analyses. Experiments were carried out at the State Key Laboratory of Isotope Geochemistry, Guangzhou Institute of Geochemistry, Chinese Academy of Sciences. Major elements were determined by the Varian Vista-PRO ICP-AES (CCD full-spectrum direct reading ICP-AES), with an analytical error (RSD) of less than 5%. Detailed procedures can be found in (Goto and Tatsumi, 1994). Trace elements were determined by the PE-Elan 6000 ICP-MS in the ultra-purification laboratory of the Guangzhou Institute of Geochemistry, Chinese Academy of Sciences, with a RSD of less than 10%. Detailed procedures can be found in (Liu et al., 1996).

### Zircon U-Pb Dating

Zircon samples were processed before U-Pb dating to get rid of mixtures. First, *ca.* 500 g of rock sample was weighed out and crushed into 1 cm<sup>3</sup> grains. Then these grains were put into a 20 cm-size stainless steel bowl and were ground repetitively for 3–5 s each time in an XZW100 vibrating mill until they were broken into 60 mesh. Dust was removed and an aluminum-made batea was used to concentrate heavy minerals. Then through magnetic and electromagnetic processing, magnetic minerals were removed and refined zircon was obtained. Finally, zircon grains with good crystals were hand picked under binocular for dating (Zhang et al., 2004).

LA-ICP-MS zircon U-Pb dating was conducted at the Key Laboratory of Isotope Geochronology and Geochemistry, Guangzhou Institute of Geochemistry, Chinese Academy of Sciences. Zircon grains were fixed by epoxy resin and made

into laser ablation sample targets. The sample targets were ground and polished until the central surface of the zircon was exposed. Carbon dust was sprayed on zircon for CL imaging and then was wiped off for LA-ICP-MS U-Pb analysis (single spot laser ablation was used with a diameter of the laser spot of 31 μm and at a firing frequency of 8 Hz). Detailed analytical procedures can be found in (Tu et al., 2011). <sup>204</sup>Pb data were used to correct Pb data in zircon. The errors of single data points were within 1σ. The <sup>206</sup>Pb/<sup>238</sup>U age was obtained, whose weighted averages were at the 95% confidence level. Experimental results were plotted into concordia diagrams using the software of Isoplot 3.0 (Ludwig, 2012).

## EXPERIMENTAL RESULTS

### Characteristics of Major Elements

As shown in **Table 1**, results were obtained through the geochemical analysis of major elements. It can be seen that the paragneiss in the Qianhe area has a high content of silicon (SiO<sub>2</sub> = 76.10–76.42%), aluminum (Al<sub>2</sub>O<sub>3</sub> = 11.58–11.91%), and alkali elements (Na<sub>2</sub>O + K<sub>2</sub>O = 8.35–8.50%) but shows a deficiency in titanium (TiO<sub>2</sub> = 0.09–0.10%) and calcium (CaO = 0.13–0.26%). Its potassium content is comparatively high (Na<sub>2</sub>O/K<sub>2</sub>O = 0.57–0.76) and Aluminous Saturation Index (A/CNK) is in the range of 1.35–1.38, suggesting that the rock is peraluminous.

The paragneiss in the north of Xinxian county is consistent with the one in the Qianhe area in terms of chemical composition, as it is rich in silicon (SiO<sub>2</sub> = 68.29–75.93%), aluminum (Al<sub>2</sub>O<sub>3</sub> = 12.58–16.06%) and alkali elements (Na<sub>2</sub>O + K<sub>2</sub>O = 8.14–8.63%) but poor in titanium and calcium (CaO = 0.14–0.53%). By comparison, its content of potassium (Na<sub>2</sub>O/K<sub>2</sub>O = 0.79–1.19) is lower than that of the paragneiss in the Qianhe area. As the A/CNK is in the range of 1.43–1.85, it is evident that the rock is peraluminous.

### Characteristics of Trace and Rare Earth Elements

The results of trace element analysis are shown in **Table 1**. The total amount of REE was high in the Qianhe paragneiss (QH-1,



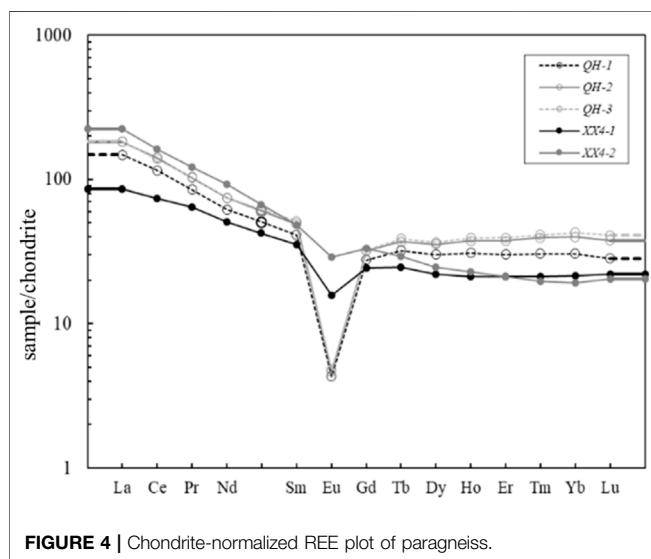
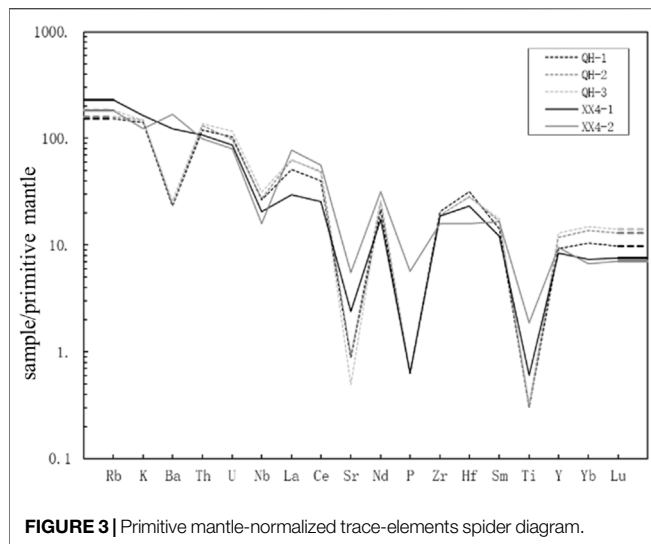
**TABLE 2 |** Zircon LA ICP MS U-Pb age data of Qianhe and Chengbei paragneisses in Xinxian.

No.	Sample	Pb	Th	U	Th/U	207Pb/206Pb	207Pb/235U	206Pb/238U	206Pb/238U
1	QH-1-01	40	210	229	0.92	0.0714 ± 37	1.1861 ± 662	0.1195 ± 20	727.7 ± 11.4
2	QH-1-02	51	276	270	1.02	0.0664 ± 24	1.2130 ± 495	0.1311 ± 22	793.9 ± 12.6
3	QH-1-03	99	139	2381	0.06	0.0764 ± 25	0.3534 ± 119	0.0334 ± 4	211.5 ± 2.3
4	QH-1-04	69	145	1536	0.09	0.0732 ± 27	0.3682 ± 147	0.0361 ± 5	228.6 ± 3.0
5	QH-1-05	106	235	1941	0.12	0.0702 ± 21	0.4249 ± 128	0.0436 ± 6	275.0 ± 3.5
6	QH-1-06	50	253	274	0.92	0.0639 ± 25	1.1170 ± 436	0.1261 ± 27	765.9 ± 15.3
7	QH-1-07	59	222	297	0.75	0.1149 ± 57	1.9402 ± 899	0.1222 ± 16	743.4 ± 9.1
8	QH-1-08	28	113	156	0.72	0.0606 ± 23	1.1113 ± 447	0.1324 ± 22	801.6 ± 12.7
9	QH-1-09	103	753	2008	0.37	0.0920 ± 35	0.4554 ± 174	0.0358 ± 6	227.0 ± 3.9
10	QH-1-10	128	324	2348	0.14	0.0814 ± 31	0.4535 ± 206	0.0398 ± 8	251.7 ± 5.1
11	QH1A-01	63	341	357	0.95	0.0628 ± 30	1.1044 ± 530	0.1261 ± 20	765.4 ± 11.3
12	QH1A-02	39	211	221	0.96	0.0665 ± 38	1.1492 ± 657	0.1237 ± 21	752.1 ± 12.0
13	QH1A-03	45	252	252	1	0.0603 ± 39	1.1180 ± 707	0.1328 ± 24	803.8 ± 13.9
14	QH1A-04	60	355	354	1	0.0608 ± 39	1.0201 ± 639	0.1189 ± 20	724.4 ± 11.7
15	QH1A-05	76	481	429	1.12	0.0639 ± 45	1.1445 ± 786	0.1266 ± 24	768.7 ± 13.8
16	QH1A-06	60	314	333	0.94	0.0622 ± 50	1.1760 ± 915	0.1332 ± 28	805.9 ± 15.9
17	QH1A-07	35	173	214	0.81	0.0621 ± 51	1.1070 ± 858	0.1259 ± 30	764.6 ± 17.2
18	QH1A-08	52	315	306	1.03	0.0633 ± 48	1.1247 ± 830	0.1236 ± 27	751.1 ± 15.4
19	QH1A-09	127	271	358	0.76	0.1749 ± 308	6.9620 ± 2.0643	0.1523 ± 190	913.6 ± 106.5
20	QH1A-10	32	42	532	0.08	0.0810 ± 120	0.8841 ± 2528	0.0563 ± 40	352.9 ± 24.6
21	QH1A-11	60	352	312	1.13	0.0646 ± 55	1.2665 ± 1035	0.1337 ± 26	809.1 ± 14.9
22	QH1A-12	49	276	258	1.07	0.0615 ± 61	1.2061 ± 1155	0.1327 ± 31	803.0 ± 17.4
23	QH1A-13	27	118	157	0.75	0.0597 ± 55	1.0881 ± 981	0.1233 ± 28	749.5 ± 16.3
24	QH1A-14	53	309	295	1.05	0.0563 ± 43	1.0710 ± 796	0.1294 ± 27	784.3 ± 15.6
25	QH1A-15	42	227	271	0.84	0.0548 ± 44	0.8942 ± 675	0.1119 ± 24	684.0 ± 14.0
26	QH1A-16	29	141	161	0.87	0.0490 ± 44	0.9469 ± 795	0.1342 ± 31	811.9 ± 17.7
27	QH1A-17	38	193	209	0.92	0.0478 ± 40	0.9223 ± 719	0.1332 ± 27	806.0 ± 15.6
28	QH1A-18	49	241	278	0.87	0.0529 ± 45	0.9714 ± 789	0.1268 ± 32	769.5 ± 18.1
1	XX4-1-1	34.9	329	230	1.43	0.0781 ± 84	1.0141 ± 1135	0.0914 ± 21	564.0 ± 12.6
2	XX4-1-2	12.7	80	77	1.04	0.1279 ± 157	1.5972 ± 1976	0.0861 ± 27	532.7 ± 15.8
3	XX4-1-3	10.8	92	49	1.88	0.0589 ± 79	1.1037 ± 1517	0.1338 ± 43	809.7 ± 24.5
4	XX4-1-4	30	115	570	0.2	0.0541 ± 66	0.3111 ± 369	0.0406 ± 11	256.3 ± 7.1
5	XX4-1-5	12.6	78	35	2.26	0.2597 ± 331	5.8428 ± 9430	0.1471 ± 102	884.5 ± 57.4
6	XX4-1-6	7.3	87	27	3.28	0.0829 ± 114	1.4493 ± 1909	0.1276 ± 56	774.4 ± 32.1
7	XX4-1-7	50.7	1029	366	2.81	0.0595 ± 62	0.6289 ± 605	0.0734 ± 21	456.8 ± 12.9
8	XX4-1-8	36.5	195	227	0.86	0.0444 ± 46	0.7755 ± 755	0.1181 ± 39	719.7 ± 22.7
9	XX4-1-9	38.1	59	52	1.14	0.2620 ± 372	17.1777 ± 2.9396	0.3072 ± 336	1727.1 ± 165.8
10	XX4-1-10	13.5	73	106	0.69	0.0319 ± 47	0.5059 ± 808	0.1063 ± 56	651.4 ± 32.6
11	XX4-1-11	21.8	116	114	1.01	0.0492 ± 59	0.8956 ± 1072	0.1235 ± 41	750.7 ± 23.5
12	XX4-1-12	20.8	593	83	7.15	0.1119 ± 171	1.7061 ± 4296	0.1011 ± 77	620.8 ± 45.2
13	XX4-1-13	18.8	89	106	0.84	0.0536 ± 73	0.9852 ± 1320	0.1278 ± 36	775.1 ± 20.8
14	XX4-1-14	183.5	346	605	0.57	0.1024 ± 130	3.6523 ± 4709	0.2446 ± 77	1410.5 ± 40.0
1	XX4-2-3	12	125	52	2.41	0.0659 ± 87	1.0539 ± 1352	0.1207 ± 39	734.8 ± 22.3
2	XX4-2-4	19.3	173	100	1.72	0.0915 ± 103	1.3240 ± 1624	0.1032 ± 30	633.4 ± 17.7
3	XX4-2-5	16.1	138	90	1.53	0.0639 ± 72	0.9180 ± 982	0.1078 ± 33	660.2 ± 18.9
4	XX4-2-6	21.5	209	130	1.61	0.0496 ± 69	0.6700 ± 907	0.0966 ± 29	594.5 ± 17.3
5	XX4-2-7	14.9	105	75	1.4	0.0830 ± 90	1.4476 ± 1451	0.1289 ± 45	781.6 ± 25.5
6	XX4-2-8	11.7	93	62	1.49	0.0647 ± 86	1.0191 ± 1356	0.1156 ± 36	705.4 ± 20.8
7	XX4-2-9	8.1	72	41	1.74	0.0734 ± 137	1.1542 ± 1995	0.1171 ± 44	713.8 ± 25.5
8	XX4-2-10	16.1	136	74	1.84	0.0845 ± 102	1.3956 ± 1534	0.1208 ± 35	735.1 ± 20.2
9	XX4-2-11	11.9	64	68	0.94	0.0561 ± 121	0.9941 ± 1955	0.1276 ± 59	774.1 ± 33.7
10	XX4-2-12	22.2	224	104	2.16	0.0667 ± 217	1.1527 ± 3379	0.1229 ± 87	747.4 ± 50.1
11	XX4-2-13	10	81	45	1.82	0.0879 ± 395	1.6321 ± 6640	0.1293 ± 12	783.7 ± 73.0
12	XX4-2-14	14.1	73	53	1.39	0.2033 ± 1171	5.3510 ± 2.7974	0.1599 ± 208	956.0 ± 115.8

QH-2, and QH-3), up to 117.55–216.89 µg/g. As shown in the chondrite-normalized REE diagram (Figure 3), LREEs were enriched as La/Yb was between 4.27 and 4.86 and the negative Eu anomaly was evident ( $\delta\text{Eu}$  is 0.12–0.13) (Sun and McDonough, 1989). Besides, its pattern curve was skewed to the right and had an obvious V valley. As shown in the primitive mantle-normalized trace element spider diagram (Figure 4),

elements such as Rb, K, Th, and U were enriched in the paragneiss in the Qianhe area whereas elements such as Ba, Nb, Sr, and Ti were depleted.

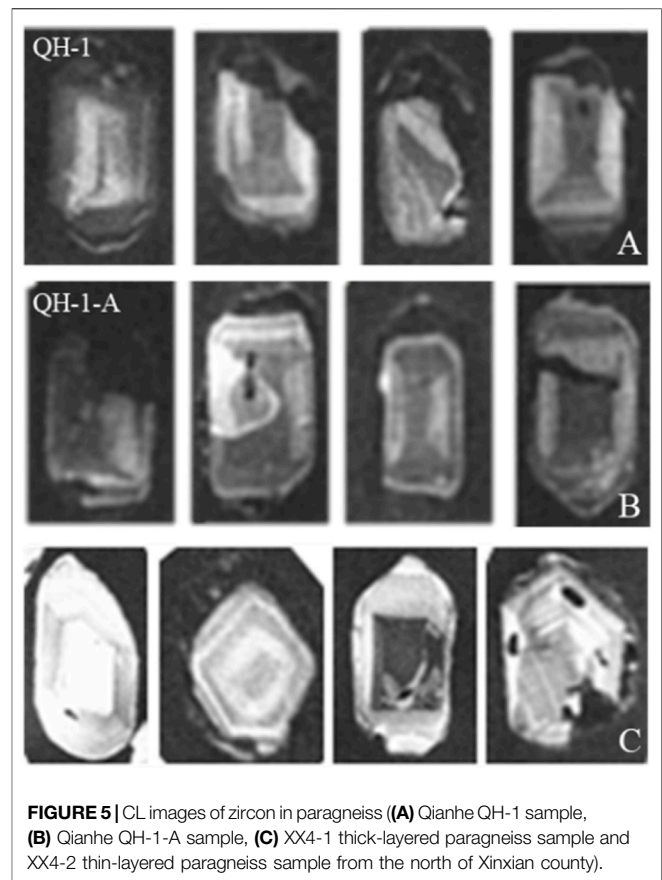
The total amount of REEs was also high in the Xinxian paragneiss (XX4-1, XX4-2), up to 122.97–240.37 µg/g. Its chondrite-normalized REE plot was similar to that of the paragneiss of the Qianhe area; however, the content of heavy



rare Earth elements (HREE) was higher with La/Yb in the range of 4.00–11.71. Besides, the negative Eu anomaly was relatively indistinct with  $\delta\text{Eu}$  of 0.53–0.73. As shown in the spider diagram (Figure 4), elements such as Rb, K, Ba, Th, and U were enriched in the paragneiss in the north of Xinxian county whereas elements such as Nb, Sr, and Ti were depleted.

## Results of Zircon Dating

Zircon U-Pb dating was conducted on Samples QH-1 and QH-1-A from the Qianhe area and Samples XX4-1 and XX4-2 from the north of Xinxian county. The CL image (Figure 5) shows that zircon grains were of similar sizes with a length to width ratio of about 1:2. All zircons contained detrital zircon and most of them had a clear core-edge double structure. Detrital zircon in the core of zircons had oscillatory zoning. For most zircons, the edge was darker than the core (Table 2).

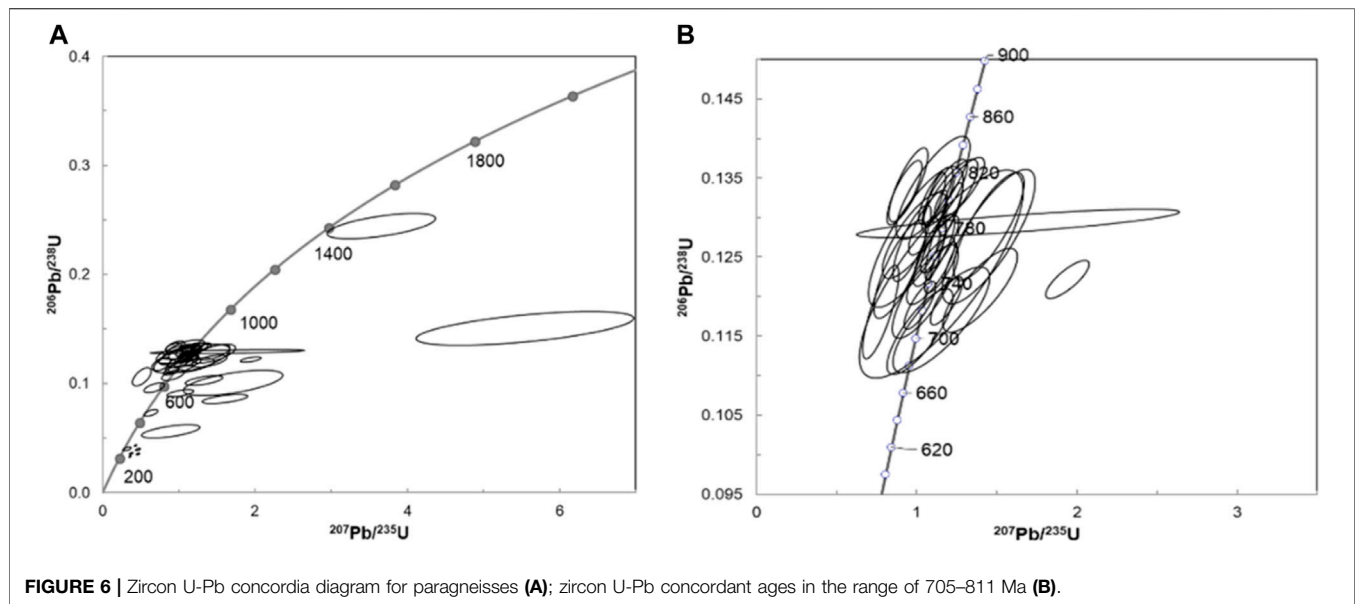


Five points on the detrital zircon of the metamorphic composite zircon sample QH-1 were selected for the determination of Th and U concentrations (Figure 5A). The ratio of Th/U suggests that these zircons were formed during the Proterozoic at the ages of 801, 793, 756, 743, and 727 Ma, respectively. Five points on the edge of the metamorphic composite zircon were dated at 251, 228, 226, and 211 Ma, respectively (Figure 6).

A total of 17 points on the detrital zircon of the metamorphic composite zircon sample QH-1-A were selected for the determination of Th and U concentrations (Figure 5B). Four age ranges were obtained: 913, 811–803, 784–724, and 684 Ma. One point on the edge of the metamorphic zircon was dated at 352 Ma.

A total of 24 points on the detrital zircon of Xinxian metamorphic composite zircon samples were selected for the determination of Th and U concentrations (Figure 5C). These points have a wide age range: 456–1,727 Ma. One point on the edge of the metamorphic zircon was dated at 256 Ma.

U-Pb dating results for the edge of the metamorphic zircon and the metamorphic composite zircon were more concentrated, with ages ranging from 211 to 352 Ma and concordant ages of  $233 \pm 24$  Ma. Besides, the Th/U ratios of these seven points are in the range of 0.08–0.37 while three of them are below 0.1, significantly smaller than the Th/U ratio of magmatic zircon (generally greater than 0.4) and closer to that of metamorphic



**FIGURE 6 |** Zircon U-Pb concordia diagram for paragneisses (A); zircon U-Pb concordant ages in the range of 705–811 Ma (B).

zircon (Bryant et al., 2002). As suggested by the U-Pb dating results, the detrital zircons in the samples were mainly formed during the Neoproterozoic (564–884 Ma), with their ages mainly in the range of 705–811 Ma. However, some detrital zircons were formed in the Paleozoic and Middle Proterozoic as shown by dating results.

## DISCUSSION

### Analysis of Zircon U-Pb Dating Results

Two results were obtained from zircon U-Pb dating. The first one was about the edge of metamorphic zircon, whose age was in the range of 211–352 Ma and concordant age was  $233 \pm 24$  Ma. The other result was about detrital zircon in metamorphic zircon, whose age ranged from 456 to 1,727 Ma, mostly between 705 and 811 Ma.

The edge of the metamorphic zircon in samples was dated to the Triassic, suggesting that paragneiss in Xinxian county experienced high-pressure metamorphism during the Triassic. It was consistent with a large amount of previous research on the metamorphic age of the Dabie-Sulu UHP metamorphic belt which confined the age to the Triassic. Hacker et al. (1998) suggested that the metamorphism of the Dabie-Sulu UHP metamorphic belt reached its peak at 245 Ma through zircon dating for paragneiss. Liu et al. (2006) found through U-Pb dating for the edge of metamorphic zircon with coesite that the metamorphic event of the Dabie-Sulu UHP metamorphic belt took place during 240–225 Ma. Our work suggests that the edge of metamorphic zircon is consistent with the data reported by previous researchers, providing further evidence that paragneiss in Xinxian county experienced ultrahigh-pressure metamorphic events during the Triassic.

The dating results of detrital zircon showed that many samples were dated to the middle of the Neoproterozoic,

which accounted for about 72% of the total number of samples. Their age range of 700–800 Ma was consistent with the characteristic zircon age of rocks in the Yangtze block (Rowley et al., 1997; Hacker et al., 1998). Considering no large-scale magmatic activity was recorded in the Middle Neoproterozoic in the North China craton, the protolith of the paragneiss in Xinxian county was hence supposed to originate from the Yangtze block. As the magmatic activity was caused by the breakdown of the Rodinia supercontinent, the protolith of the paragneiss of Xinxian county may contain granite formed in the Middle Neoproterozoic in the Yangtze block. In addition, part of detrital zircon in Sample XX4-2 was dated to the Paleozoic (456–532 Ma), which was consistent with data obtained by previous researches of the paragneiss of the UHP belt (Hacker et al., 1998; Liu et al., 2004). However, such age data were in small amount in previous researches and were considered to be the result of the loss of Pb, or due to the fact that the core and edge of zircon were not separated. Hence, these data were not widely discussed or constraining the age by the fugacity state of the paragneiss and orthogneiss (Fu-lai and Xue, 2007). Additionally, (Yuan et al., 2015) found that the core of metamorphic zircon in paragneiss in Luotian of Hubei province was mostly dated to the Paleozoic. No signs of Pb loss could be found, and the boundary between the margin and core was also clear on CL images. Further, considering parts of zircon were dated to the Paleozoic in our work and they had the Th/U ratio close to the ratio range of zircon of magmatic origin (Dao-gong et al., 2001), part of the protolith of the paragneiss was supposed to form later than 456 Ma. As some detrital zircon in the samples was dated to the Middle Proterozoic, the source material of the protolith could possibly come from the crustal residual of the Middle Proterozoic in the Yangtze block rather than from the Paleozoic sedimentary cover.

## Protolith Analysis

With regard to the protolith of the paragneiss of the Dabie-Sulu UHP metamorphic belt, it was considered in previous research to be mainly granite formed by the magma coming from the Rodinia rift in the Neoproterozoic at the north edge of the Yangtze block (Zheng et al., 2003). Based on geochemical analysis of the paragneiss in the Dabie belt and Cretaceous granite, the source materials were likely to come from the north edge of the subducting Yangtze block (Frost and Frost, 2011). Therefore, some researchers proposed that the paragneiss in the Dabie belt and Cretaceous granite were produced at different horizons of the Yangtze block (Shu-guang et al., 1997).

The samples of paragneiss from Xinxian had a high SiO<sub>2</sub> content, up to 68.29–76.42%, and their A/CNK reached 1.35–1.85, indicating they are peraluminous. The alkali content ranged between 8.14 and 8.63%, in which the content of potassium is relatively high as the ratio of Na<sub>2</sub>O/K<sub>2</sub>O is between 0.57 and 1.19. The content of calcium was low and in the range of 0.13–0.53% (Wong et al., 2009; Frost and Frost, 2011). Light rare Earth elements (LREEs) were enriched in the samples and negative Eu anomaly was distinct. Elements such as Rb, K, Th, and U were enriched whereas elements such as Nb, Sr, and Ti were depleted. This distribution pattern suggests that the protolith was formed by the materials of the continental crust (Chen et al., 2006). Felsic magma generated by partial melting of felsic rock under high pressure can extract Si, K, Na, LILEs, and LREEs (Hermann and Green, 2001), and hence, the magma can become enriched in silicon, alkali, aluminum and LREEs while depleted in calcium and magnesium and iron. As Gu found LILEs and LREEs were depleted in eclogite (Gu et al., 2013), it suggests that the paragneiss in Xinxian county might be partially melted, like the felsic magma formed under high pressure. The continuous process from sub-solidus dehydration to partial melting within the UHP gneissic rocks is also evidenced by experimental and petrological observations in Shuanghe paragneiss (Liu and Wu, 2013). According to the geochemical characteristics of the samples, the protolith is likely to be sedimentary rock that has been partially melted during the subduction and retreat of the Yangtze block (Wallis et al., 2005).

## Tectonic Setting of the Shuanghe UHP Metamorphic Protolith

By volume, the gneiss occupies between 70 and 80% of the Dabie-Sulu complex (e.g., Dao-gong et al., 2000; Wang et al., 2000; Hui-fen et al., 2001). Of these, 40–60% comprise foliated, garnet-bearing, A-type granitic rock (Shu-tian and You, 2005). These rocks are associated with jadeite-quartzites and marbles that formed from quartz sandstone and limestone respectively. According to the zircon U-Pb dating results, the protoliths of these rocks are controlled by Paleozoic Dabie - Sulu basin. Distributions of the major-elements of these rocks are high SiO<sub>2</sub>, alkali-rich, high Na<sub>2</sub>O and low Al<sub>2</sub>O<sub>3</sub>. The mineral component is more rich in alkaline ferromagnesian. The sedimentary origins of these protoliths appear to be neritic facies, some of which are clastic sediments (quartz sandstone

to arkoses) and chemical sediments (limestone). These are characteristic of the continental slope environment. The Dabie-Sulu ocean basin was not a mature ocean; it lacked deep-water sedimentation, such as siliceous deposits and turbidites. It evidently lacked contemporaneous pillow lavas and cumulates of ophiolite rock assemblages which is why we have not found remnants of ophiolitic melanges (Wang and Cong Bo-lin, 1998). As the Dabie-Sulu ocean basin was an aborted oceanic basin, it narrowed, with a resultant increase in salinity. This ultimately led to the oceanic basin sediments becoming increasingly alkaline. This provided the necessary material conditions for the formation of alkaline mafic minerals (sodic pyroxenes and amphiboles) during the Late Triassic metamorphism of these rocks.

## CONCLUSION

- 1) Paragneiss in the Qianhe and Chengbei areas of Xinxian county experienced a metamorphic event during  $233 \pm 24$  Ma, suggesting that the rock was formed later than the Permian.
- 2) Paragneiss in Xixian county is enriched in silicon, alkali, aluminum and LREEs while depleted in calcium, magnesium and iron. Besides, negative Eu anomalies are evident. It suggests that the protolith may be formed by terrestrial materials.
- 3) Detrital zircon in paragneiss in the Qianhe area and north of Xinxian county was dated to 456–1727 Ma, mainly in the range of 705–811 Ma. It suggests that the protolith should be formed no earlier than 456 Ma. And they were generated in the Dabie-Sulu ocean basin or abortive ocean basin in the Paleozoic era.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

LY was responsible for most of the experimental analysis and paper writing; ZY was responsible for sampling and experimental processing; LZ was responsible for the experimental design and the paper revision.

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# Paleoclimate Records of the Middle Okinawa Trough Since the Middle Holocene: Modulation of the Low-Latitude Climate

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The ubiquity of glycerol dibiphytanyl glycerol tetraethers (GDGTs) and their temperature sensitivity make them one of the most effective tools for paleoclimate reconstruction. High- and low-latitude climates influence the Okinawa Trough (OT). It receives diverse inputs from the East China Sea, the western Pacific, and the Kuroshio Current, providing good conditions for paleoclimate studies. Here, isoprenoid GDGTs (isoGDGTs), branched GDGTs, and hydroxylated GDGTs (OH-GDGTs) were studied to reconstruct the sea surface temperature (SST) of the central OT for the past 8.2 kyr using the tetraether index of 86 carbon atoms at low latitudes ( $\text{TEX}_{86}^H$ ) and the ring index of OH-GDGTs (RI-OH). The GDGT-0/crenarchaeol ratios ranged from 0.39 to 0.98. The branched and isoprenoid tetraether index and the methane index values were lower than 0.1 and 0.5, respectively, indicating that the isoGDGTs were mainly derived from marine *Thaumarchaeota* and that  $\text{TEX}_{86}^H$  could be used to reconstruct the paleotemperatures. The  $\text{TEX}_{86}^H$  SSTs ranged from 21.6 to 27.2°C during 8.2 kyr. The overall range of  $\text{TEX}_{86}^H$  SSTs is close to the  $U_{37}^K$  SST of the middle OT and reflects the mean annual SST. In contrast, RI-OH temperatures varied from 17.4 to 26.0°C, showing a lower trend than  $\text{TEX}_{86}^H$  SSTs. The core top RI-OH temperature is 24.1°C, in line with the mean annual seawater temperature at 40 m (24.2°C) in the study area, which likely reflects the subsurface temperature in this case. The small overall warming trend of  $\text{TEX}_{86}^H$  SSTs agrees with the increasing intensity of the Kuroshio Current during the last 8.2 kyr, indicating that the SST evolution is governed by the Kuroshio Current that transports heat from the western tropical Pacific. The decreasing temperature differences between  $\text{TEX}_{86}^H$  and RI-OH and between  $U_{37}^K$  and RI-OH showed increased mixing of the upper water column, which was in good accordance with the increasing low-latitude winter insolation decoupling from the East Asian summer monsoon. The cold event that occurred at 7.4–6.6 kyr was magnified (~5°C) at the  $\text{TEX}_{86}^H$  and RI-OH temperatures and possibly caused by tephra's significant input (~7.3 kyr).

**Keywords:** isoprenoid glycerol dibiphytanyl glycerol tetraethers, hydroxylated glycerol dibiphytanyl glycerol tetraethers,  $\text{TEX}_{86}^H$ , sea surface temperature, okinawa trough

## INTRODUCTION

The Okinawa Trough, located in the western North Pacific, was influenced by the East Asian monsoon (ESM). Paleoclimate records indicated that correlations between temperature variation and East Asian summer monsoon (EASM) precipitation have become weak since the middle Holocene (Liew et al., 2006; Peterse et al., 2011; Park et al., 2014). However, such records in marine sediments are sparse (Xu et al., 2018 and references therein). The Okinawa Trough (OT) has been regarded as an ideal location for paleoclimatic, paleohydrogeological, and paleoceanographic studies because it is within the influence of the climate of both the high-latitude North Atlantic and the low-latitude western Pacific Ocean (Sun et al., 2005; Kubota et al., 2010; Ruan et al., 2015; Xu et al., 2018). The seasonal variations in EAM are driven by Northern Hemisphere summer and winter solar insolation, reflecting teleconnection with the high-latitude North Atlantic (An et al., 2001; Wang et al., 2005; Ruan et al., 2015; Huang and Sarnthein, 2021). The Kuroshio Current (KC) originates from the western Pacific warm pool and transports massive amounts of warm and salty water into the OT (Hu et al., 2015; Zheng et al., 2016). Paleoclimate indicators have demonstrated the influences of both the EAM and KC on the OT paleoclimate, such as oxygen isotopes (Kubota et al., 2010), Mg/Ca ratios of planktonic foraminifera (Sun et al., 2005), alkenone unsaturation index ( $U_{37}^K$ ; Ruan et al., 2015), and the tetraether index of 86 carbon atoms ( $TEX_{86}$ ; Xu et al., 2018). Sea surface temperatures (SSTs) from different locations of the OT tend to reveal different controlling factors on the paleoclimate (Ruan et al., 2015; Zhao et al., 2015; Xu et al., 2018). The cold anomalies recorded in the northern Atlantic, such as the 4 kyr event, have affected the northern and southern OT paleoclimate (Sun et al., 2005; Ruan et al., 2015; Zhao et al., 2015). The  $U_{37}^K$  SST of the southern OT has been observed to have decreased since 7.4 kyr BP (Ruan et al., 2015), which was attributed to the reduction in Northern Hemisphere summer solar insolation and the weakening of EASM intensity (Ruan et al., 2015 and references therein). However, in the southern OT, Xu et al. (2018) found that paleotemperatures from  $U_{37}^K/TEX_{86}$  decoupled from the EASM during the last 13.3 kyr BP. In contrast, the western tropical Pacific and Northern Hemisphere winter solar insolation were demonstrated to be the controlling factors of SSTs. Whether EAM changes in the OT are in phase

with temperature changes in the EAM region during the Holocene remains unsolved (Liew et al., 2006; Peterse et al., 2011; Park et al., 2014). Therefore, further investigations are needed to elucidate the OT paleotemperature evolution and the controlling factors.

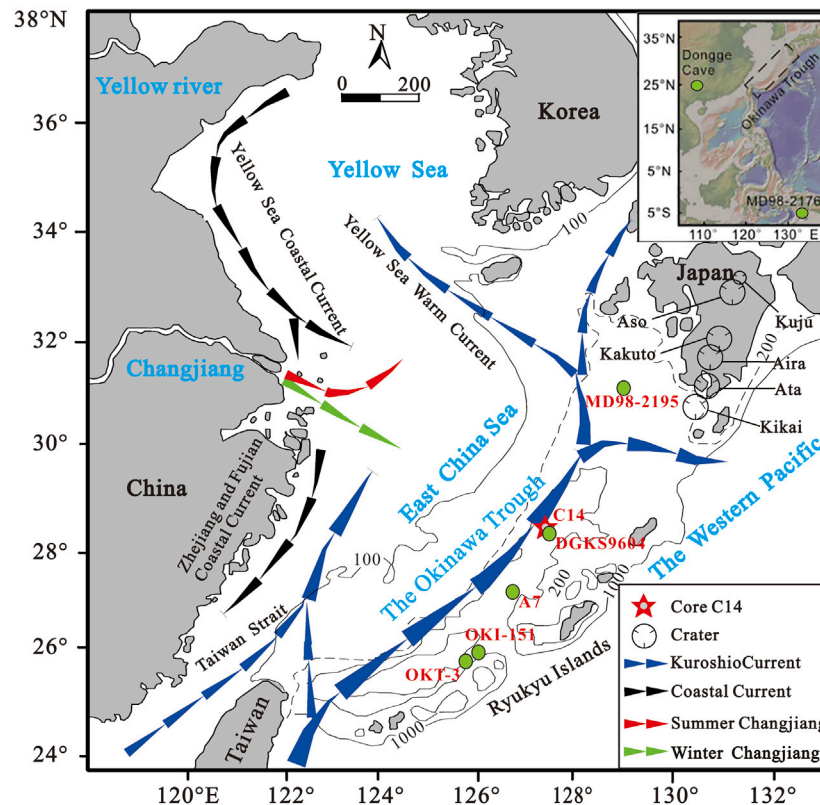
The  $TEX_{86}$  index (Table 1) was proposed by Schouten et al. (2002) based on the increasing number of cyclopentane rings in isoprenoid glycerol dibiphytanyl glycerol tetraethers (isoGDGTs) with increasing temperature (Gliozzi et al., 1983; Uda et al., 2001; Sinninghe Damsté et al., 2002). The export depth of the  $TEX_{86}$  signal in the water column is still under debate (Schouten et al., 2002, 2013; Ingalls et al., 2006; Rueda et al., 2009; Jia et al., 2012; Zhang and Liu, 2018). Most researchers now regard  $TEX_{86}$  as a better reflection of subsurface temperatures (Huguet et al., 2007; Jia et al., 2012). However, some studies have shown that  $TEX_{86}$  corresponds well with the annual mean SST (Ingalls et al., 2006; Rueda et al., 2009). Consequently, the use of  $TEX_{86}$  for temperature reconstruction in specific areas needs to be evaluated.

Marine Group I (MG-I) *Thaumarchaeota* were proposed to produce isoGDGTs used in the  $TEX_{86}$  equation (Table 1; Supplementary Figure S1; Brochier-Armanet et al., 2008; Spang et al., 2010). To achieve better accuracy, Kim et al. (2010) proposed  $TEX_{86}^L$  for high latitudes ( $<15^\circ\text{C}$ ) and  $TEX_{86}^H$  for low latitudes ( $>15^\circ\text{C}$ ) according to studies on global ocean samples (Table 1). To further assess the proxy, the Bayesian regression approach, which offers several advantages, was introduced to the  $TEX_{86}$ -SST calibration to provide a comprehensive estimation of past changes in SST from  $TEX_{86}$  in both modern and ancient environments (Tierney and Tingley, 2014). However, many environmental factors, except for SSTs, are included in the Bayesian regression approach for  $TEX_{86}$ -SST calibrations, making it more challenging to apply in geological sites than  $TEX_{86}^L/TEX_{86}^H$  (Kim et al., 2010; Tierney and Tingley, 2014). Since isoprenoid tetraethers produced by marine *Thaumarchaeota* can be mixed with those from *Euryarchaeota* thriving in seawater and *Thaumarchaeota* in soils, indicators such as branched and isoprenoid tetraether index (BIT; Hopmans et al., 2004) and methane index (MI; Zhang et al., 2011) are used to assess isoGDGTs contributed from soil origin and *Euryarchaeota*. For example, an MI less than 0.5 is used to preclude the contribution of methanotrophic archaea (Zhang et al., 2011). In addition to isoGDGTs, hydroxylated GDGTs (OH-GDGTs) are widespread in marine environments (Liu et al., 2012; Fietz et al., 2013; Huguet et al., 2013; Lü et al., 2015). In culture experiments, archaea affiliated with *Thaumarchaeota* Group I.1a have produced OH-GDGTs (Liu et al., 2012; Elling et al., 2014), which were not observed in *Thaumarchaeota* Group I.1b (Sinninghe Damsté et al., 2012b). The ratio of sum OH-GDGTs relative to sum isoGDGTs was related to SSTs and increased with increasing latitude (Huguet et al., 2013). In addition, a positive correlation between the number of cyclopentane rings of OH-GDGTs and SSTs was reported in the surface sediments from the subpolar and polar areas (Fietz et al., 2013). Although the mechanism for OH-GDGTs in response to SST is unclear, the ring index based on OH-GDGTs (RI-OH) is a potential approach for temperature reconstruction (Table 1; Lü et al., 2015; Yang et al., 2018).

**TABLE 1 |** Initial definitions of various proxies are used in this article.

Index Definitions	authors
$TEX_{86} = \frac{GDGT-2+GDGT-3+crenarchaeol\ isomer}{GDGT-1+GDGT-2+GDGT-3+crenarchaeol\ isomer}$	Schouten et al. (2002)
$TEX_{86}^L = \log \left( \frac{GDGT-2}{GDGT-1+GDGT-2+GDGT-3} \right)$	Kim et al. (2010)
$RI - OH = \frac{10H-GDGT-1+2 \times [OH-GDGT-2]}{[OH-GDGT-1]+[OH-GDGT-2]}$	Lü et al. (2015)
$BIT = \frac{([GDGT-1a]+[GDGT-1b]+[GDGT-11a])}{([GDGT-1a]+[GDGT-1b]+[GDGT-11a]+[Crenarchaeol])}$	Hopmans et al. (2004)
$MI = \frac{[GDGT-1]+[GDGT-2]+[GDGT-3]}{[GDGT-1]+[GDGT-2]+[GDGT-3]+[Crenarchaeol\ isomer]}$	Zhang et al. (2011)
$\%GDGT - 2 = \frac{GDGT-2}{[GDGT-1]+[GDGT-2]+[GDGT-3]+[Crenarchaeol\ isomer]}$	Sinninghe Damsté et al. (2012a)





**FIGURE 1** | Location of core C14 in the middle Okinawa Trough (OT) and selected paleoenvironmental settings, including Dongge Cave in southern China (Dykoski et al., 2005), core MD98-2176 in the western tropical Pacific (Stott et al., 2004), cores A7 (Sun et al., 2005) and DGKS9604 (Yu et al., 2009) in the middle OT, cores OKT-3 (Zhao et al., 2015) and OKI-151 (Xu et al., 2018) in the southern OT, and core MD98-2195 (Yamamoto et al., 2013) in the northern OT. The map was revised from Dou et al. (2010). The Contour unit is meter. Volcano locations around Japan were modified according to Machida (1999).

$TEX_{86}^H$  has been used to reconstruct the paleotemperature records of the southern and northern OT, and a gradual warming trend has been identified since the Holocene (Yamamoto et al., 2013; Zhao et al., 2015; Xu et al., 2018). Generally, shifts in the main axis and changes in the KC strength, variations in EAM, sea-level changes, and freshwater inputs are potential factors for paleoclimate changes in the OT (Jian et al., 2000; Kubota et al., 2010; Zhao et al., 2015). The influence of the EAM on SST variations was identified in the middle and northern OT (Yu et al., 2009; Kubota et al., 2010). However, low-latitude western tropical Pacific dominance via KC variations has been demonstrated in the southern OT (Xu et al., 2018). Therefore, factors controlling the paleotemperature in specific areas of the OT need to be clarified. Here, we used  $TEX_{86}^H$  temperatures and temperatures calibrated from RI-OH as an approach to reconstruct the paleoclimate and hydrological evolution of the middle OT since the middle Holocene.

## OCEANOGRAPHIC SETTING

The OT, a back-arc basin, formed from the subduction of the Philippine Sea Plate beneath the Eurasian Plate at the Ryukyu

Trench (Figure 1; Sibuet et al., 1998; Guo et al., 2022). The strike direction of the middle OT is approximately NE–SW, E–W to the south, and NNE–SSW to the north (Wu et al., 2014). The EAM and KC have been reported to dominate the modern oceanography of the middle OT (Ruan et al., 2015; Zhao et al., 2015). Two distinct seasons are present due to the seasonal reversals of the EAM, driven by the annual cycle of insolation and its effect on the land-sea thermal contrast (Dykoski et al., 2005; Sun et al., 2005; Wang et al., 2005; Huang and Sarnthein, 2021). In the boreal summer, a warm, wet season caused by the northward migration of the intertropical convergence zone and the maximum monsoonal convective rainfall dominates the OT (Wang et al., 2005; Zheng et al., 2014). In contrast, northeasterly winds lead to a cool, dry season in the boreal winter (Diekmann et al., 2008; Zheng et al., 2014). The KC, originating from the Western Pacific warm pool, flows northeast above the OT and returns to the North Pacific through the Tokara Strait (Yu et al., 2009; Hu et al., 2015), taking warm, saline water into the OT (Hu et al., 2015).

The sea level has been roughly constant for the study area during the last 8.2 kyr BP (Liu et al., 2004). The sediment core C14 is far from the coastline and the shelf of the East China Sea (ECS; Figure 1). At present, the mean annual SST is 24.8°C, with

**TABLE 2** | Planktonic foraminifera  $^{14}\text{C}$  age data.

series	Depth (cmbsf)	Planktonic foraminifera	AMS $^{14}\text{C}$ age (yr BP)	Calibrated age (yr BP)
498910	35–37.5 <sup>a</sup>	<i>G.ruber</i> + <i>G.sacculifer</i>	660±30	616–730
498911	65–67.5	<i>G.ruber</i> + <i>G.sacculifer</i>	930±30	994–820
498912	155–157.5 <sup>a</sup>	<i>G.ruber</i> + <i>G.sacculifer</i>	1890±30	1858–2045
498913	245–247.5	<i>G.ruber</i> + <i>G.sacculifer</i>	2800±30	3172–2957
498914	365–367.5 <sup>a</sup>	<i>G.ruber</i> + <i>G.sacculifer</i>	4350±30	4943–5227
498915	455–457.5	<i>G.ruber</i> + <i>G.sacculifer</i>	5840±30	6831–6646
498916	545–547.5	<i>G.ruber</i> + <i>G.sacculifer</i>	6500±30	7520–7385
498917	575–577.5 <sup>a</sup>	<i>G.ruber</i> + <i>G.sacculifer</i>	7340±30	8170–8327

<sup>a</sup>Data from Xu et al. (2020).

summer and winter seasonal temperatures of approximately 27.8 and 22.1°C, respectively. The maximum SST near the core site is 28.7°C in August, and the minimum is 21.5°C in February (Locarnini et al., 2013).

## METHODS

### Materials and Age Model

Sediment core C14 (580 cm in length) was retrieved from the middle OT at a water depth of approximately 1100 m (Figure 1; 28°39.587'N, 127°19.194'E). The top part of the core was lost during sampling. To make up the lost part, the upper 10 cm of core C10 (28°38.8'N, 127°21.283'E; water depth of 960 m) was utilized to represent the missing top part. After sampling, the sediment core was segmented, transported to the laboratory, and kept at –20°C until analysis. A tephra layer was found between depths of 532.5 and 442.5 cm.

To obtain AMS  $^{14}\text{C}$  dating for the core, eight samples of the planktonic foraminifer *Globigerinoides ruber* (*G. ruber*) and *G. sacculifer* were selected at equal intervals and analyzed by Beta Analytic Inc., United States (Table 2). The raw  $^{14}\text{C}$  dates were calibrated using the Marine13 dataset (Reimer et al., 2013) and expressed in calibrated years BP (years before AD 1950). We adopted a  $\Delta R$  value of  $30 \pm 41$  years in the calibration.

### Lipid Extraction

37 samples were collected at 15–30 cm intervals from core C14 (36 samples from core C14 and 1 from core C10). They were freeze-dried and homogenized. Twenty to 30 g of powder (dry weight) were Soxhlet-extracted for 72 h with a mixture of dichloromethane and methanol (9:1; v/v) to obtain the total lipid extracts (TLEs). The TLE was separated into neutral (n-hexane) and polar (methanol) fractions with a silica gel column. The polar fractions were filtered through a 0.45  $\mu\text{m}$  polytetrafluoroethylene filter membrane (PTFE) to remove particulate matter and stored at –20°C.

### Instrumental Analysis

The dried polar fractions were redissolved in 300  $\mu\text{l}$  n-hexane and isopropanol (98.2:1.8, v/v). No internal standard was

added. The analysis was performed on an Agilent 1200 series high-performance liquid chromatography–atmospheric pressure chemical ionization–6460 triple, quadrupole mass spectrometer (HPLC–APCI–MS<sup>2</sup>) following the analytical protocol of Hopmans et al. (2016). Separation was achieved on two HPLC silica columns (BEH HILIC columns,  $2.1 \times 150$  mm,  $1.7 \mu\text{m}$ ) in series, maintained at 30°C. The GDGTs were eluted isocratically for 25 min with 18% B, followed by a linear gradient to 35% B in 25 min and then a linear gradient to 100% B in 30 min and kept in for 10 min to clean the column with a constant flow rate of 0.2 ml/min. Finally, the gradient of B was back at 18% for 20 min to re-equilibrate the column. Solvent A was n-hexane, and B was n-hexane: isopropanol (9:1, v/v). The GDGTs were ionized in an atmospheric pressure chemical ionization chamber and detected using single ion monitoring (SIM) mode. The relative abundance of compounds was determined by integrating the areas of the  $(\text{M} + \text{H})^+$  (protonated molecular ion) peaks at 1302, 1300, 1298, 1296, and 1292 for isoGDGTs and OH-GDGTs (Liu et al., 2012) and 1050, 1048, 1046, 1036, 1034, 1032, 1022, 1020, and 1018 for branched GDGTs (brGDGTs). The analytical error for duplicate measurements was better than  $\pm 0.008$ . The positive ion APCI settings were set as follows (Hopmans et al., 2016): probe heater temperature, 400°C; sheath gas ( $\text{N}_2$ ) pressure, 50 AU (arbitrary units); auxiliary gas ( $\text{N}_2$ ) pressure, 5 AU; spray current, 5  $\mu\text{A}$ ; capillary temperature, 350°C; S-lens, 100 V.

### TEX<sub>86</sub><sup>H</sup>, RI-OH and BIT

In this study, the SST calibration of the TEX<sub>86</sub><sup>H</sup> proxy (Kim et al., 2010) was used to reconstruct the paleoclimate evolution due to the high temperatures of over 15°C (low latitude) in the OT. Cren' represents the crenarchaeol isomer (see Supplementary Figure S1 for details).

$$\text{TEX}_{86}^{\text{H}} = \log \left( \frac{\text{GDGT} - 2 + \text{GDGT} - 3 + \text{Cren}'}{\text{GDGT} - 1 + \text{GDGT} - 2 + \text{GDGT} - 3 + \text{Cren}'} \right);$$

$$\text{SST} = 68.4 \times (\text{TEX}_{86}^{\text{H}}) + 38.6 \quad (1)$$

The empirical equation of RI-OH (Lü et al., 2015) was used to supplement the SST reconstruction.

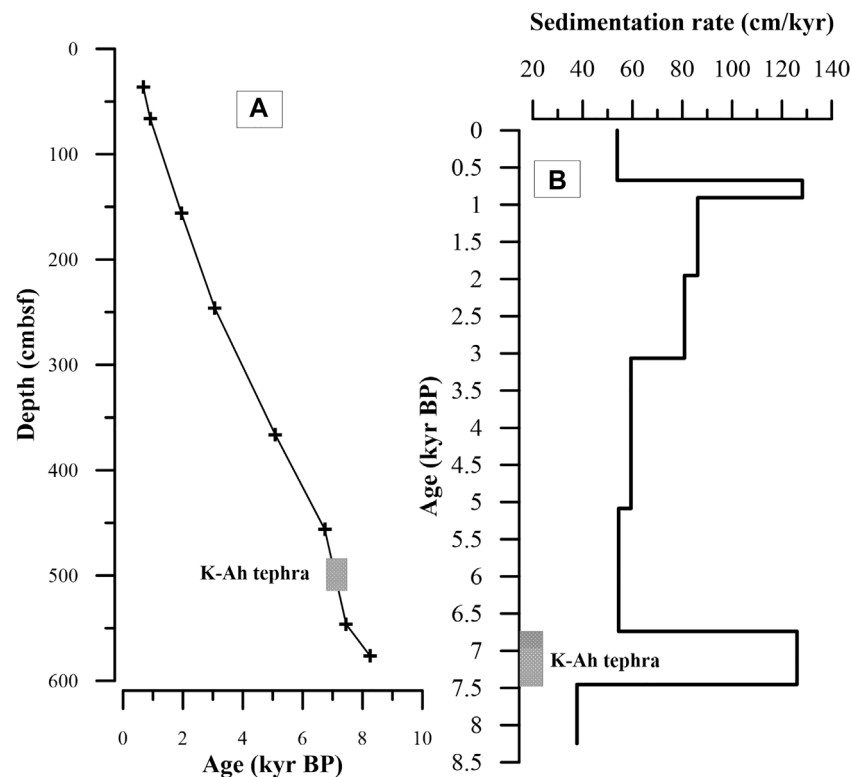


FIGURE 2 | (A) Age-depth model of core C14; (B) sedimentation rates of core C14.

$$RI - OH = \frac{[OH - GDGT - 1] + 2 \times [OH - GDGT - 2]}{[OH - GDGT - 1] + [OH - GDGT - 2]};$$

$$SST = 35.71 \times RI - OH - 32.86 \quad (2)$$

Based on the analytical error ( $\pm 0.008$ ), we calculated the corresponding errors, which are approximately  $\pm 0.4^\circ\text{C}$  and  $\pm 0.3^\circ\text{C}$  for calibrations 1) and (2), respectively.

As brGDGT regio isomers were detected (Supplementary Figure S1; De Jonge et al., 2014), the BIT formula used in this article was modified as follows:

$$BIT = \frac{GDGT - Ia + GDGT - IIa + GDGT - IIa' + GDGT - IIIa + GDGT - IIIa'}{Crenarchaeol + GDGT - Ia + GDGT - IIa + GDGT - IIa' + GDGT - IIIa + GDGT - IIIa' + GDGT - IIa'} \quad (3)$$

## RESULTS

### AMS $^{14}\text{C}$ Dating

The AMS  $^{14}\text{C}$  dating results are listed in Table 2. The time scale was established by linear interpolation and extrapolation. An age model was set for core C14 after calibration, and the mean sedimentation rate of core C14 was  $\sim 70$  cm/kyr during the last 8.2 kyr BP (Figures 2A,B).

For the tephra layer at 532.5–442.5 cm (7.4–6.6 kyr BP), an extremely high sedimentation rate of  $\sim 126$  cm/kyr was identified (Figure 2B).

### Distribution of GDGTs

The relative contributions of the isoGDGTs, brGDGTs, and OH-GDGTs are listed in Table 3. The isoGDGTs dominated all GDGTs, with contributions ranging from 88 to 98%, while brGDGTs accounted for 1.4–10.3%, and OH-GDGTs accounted for less than 6.0% of the total GDGTs. Crenarchaeol was the most abundant component among the isoGDGTs in all of the samples, accounting for 32–50% of all of the isoGDGTs, followed by GDGT-0 (range 16–32%), whereas only small amounts of GDGT-1, -2, -3, and the crenarchaeol isomer were detected in all of the samples.

### Past Temperatures Reconstructed by isoGDGT- and OH-GDGT-Based Proxies

For the past 8.2 kyr BP, the  $\text{TEX}_{86}^H$  values in core C14 ranged from  $-0.25$  to  $-0.17$ , corresponding to temperatures ranging from  $21.6$  to  $27.2^\circ\text{C}$  (Equation 2; Table 4; Figure 3C). The index of RI-OH in core C14 ranged from 1.41 to 1.65, revealing temperatures ranging from  $17.4$  to  $26.0^\circ\text{C}$  (Equation 3; Table 4; Figure 3C). To better understand  $\text{TEX}_{86}$  and RI-OH temperatures, the mean seasonal and annual temperatures and monthly mean water

**TABLE 3** | The contribution of specific GDGTs with depth in core C14.

Sample	Depth (cmbsf)	%isoGDGTs							% (b)	% (OH)		
		% [0]	% [1]	% [2]	% [3]	% [4]	%Cren	%cren'		% [OH-0]	% [OH-1]	% [OH-2]
C10_01	7.5	21.45	5.54	5.82	1.26	12.48	47.67	2.78	2.09	0.25	0.27	0.39
C14_01	37.5	21.68	5.10	5.75	1.21	12.22	47.81	3.16	1.96	0.31	0.33	0.47
C14_02	67.5	20.82	5.45	5.54	1.22	12.00	48.40	3.61	1.93	0.24	0.29	0.50
C14_03	83.75	18.28	9.52	9.78	3.10	3.93	46.79	5.66	2.94	-	-	-
C14_04	97.5	20.79	5.14	5.58	1.20	12.78	48.82	3.13	1.71	0.22	0.25	0.38
C14_05	127.5	20.54	5.14	6.13	1.31	12.46	47.98	3.56	1.87	0.23	0.31	0.47
C14_06	143.75	17.33	7.18	8.69	2.48	8.18	44.51	4.20	7.43	-	-	-
C14_07	157.5	20.96	5.29	5.93	1.26	11.62	48.91	3.41	1.80	0.20	0.27	0.35
C14_08	173.75	25.23	9.14	11.31	1.69	5.60	36.62	4.46	3.33	1.15	0.58	0.89
C14_09	187.5	21.57	5.26	6.07	1.24	11.53	48.52	3.11	1.85	0.20	0.23	0.42
C14_10	203.75	16.29	10.37	12.02	3.03	5.76	36.25	4.91	6.71	1.68	1.19	1.79
C14_11	212.5	20.34	5.45	6.14	1.29	11.86	49.36	3.15	1.57	0.21	0.24	0.39
C14_12	217.5	19.74	5.47	5.55	1.31	11.77	50.44	3.26	1.56	0.22	0.26	0.42
C14_13	228.75	29.09	8.98	9.72	2.30	5.86	33.58	4.74	5.73	-	-	-
C14_14	247.5	20.34	5.31	5.54	1.23	12.97	48.41	3.61	1.76	0.19	0.23	0.41
C14_15	263.75	23.73	9.36	9.82	2.80	4.97	35.58	4.34	5.77	1.74	0.75	1.14
C14_16	277.5	21.19	5.13	5.47	1.18	11.98	49.71	2.95	1.50	0.24	0.25	0.40
C14_17	293.75	27.12	9.35	8.92	2.26	6.14	34.96	5.35	5.90	-	-	-
C14_18	307.5	21.13	5.67	5.65	1.30	12.54	48.32	3.04	1.47	0.24	0.26	0.38
C14_19	323.75	21.95	9.35	10.71	2.11	5.16	34.73	5.66	10.33	-	-	-
C14_20	337.5	19.93	5.24	5.61	1.26	12.36	49.93	3.44	1.42	0.18	0.24	0.39
C14_21	353.75	19.68	9.25	10.39	2.93	6.70	35.68	5.49	5.94	1.80	0.84	1.30
C14_22	367.5	19.90	5.12	5.59	1.17	12.51	50.20	3.22	1.47	0.21	0.25	0.36
C14_23	383.75	18.78	12.18	13.76	3.63	6.19	37.11	5.63	2.72	-	-	-
C14_24	397.5	21.86	5.26	5.80	1.20	12.42	48.27	2.91	1.43	0.23	0.25	0.37
C14_25	413.75	24.83	7.63	9.14	2.24	6.38	37.73	3.98	4.58	1.23	0.94	1.32
C14_26	427.5	22.82	5.83	5.80	1.19	11.66	47.11	2.90	1.62	0.32	0.32	0.43
C14_27	443.75	29.44	7.63	7.50	1.92	4.85	38.14	4.21	3.63	1.24	0.73	0.71
C14_28	457.5	28.62	4.67	3.72	0.86	10.68	46.05	1.90	1.93	0.66	0.53	0.38
C14_29	473.75	23.81	8.90	7.59	1.77	6.13	38.92	2.74	5.37	2.50	1.31	0.96
C14_30	487.5	29.24	4.81	3.62	0.91	11.43	44.48	1.74	2.06	0.73	0.57	0.41
C14_31	503.75	26.67	7.06	6.04	1.46	7.27	40.36	2.16	4.53	2.60	1.10	0.75
C14_32	517.5	29.25	5.17	4.02	0.90	11.48	43.30	1.79	2.18	0.88	0.58	0.45
C14_33	533.75	31.63	6.41	6.54	1.48	8.39	32.20	2.37	5.32	2.32	1.66	1.68
C14_34	547.5	20.13	5.60	5.71	1.14	12.45	49.15	3.09	1.79	0.25	0.31	0.38
C14_35	563.75	23.21	8.50	9.94	2.38	5.47	33.10	5.71	8.29	1.27	1.01	1.12
C14_36	577.5	21.56	5.34	5.96	1.10	12.81	47.57	3.12	1.68	0.25	0.25	0.36

(x), GDGT-x; Cren, crenarchaeol; Cren', crenarchaeol isomer; (b), brGDGTs; (OH-x), OH-GDGT-x

temperatures at different depths are summarized in **Figures 3A,B**.

## DISCUSSION

### Constrains of GDGT Sources

The isoGDGTs used in TEX<sub>86</sub> are derived from marine *Thaumarchaeota* (Brochier-Armanet et al., 2008; Spang et al., 2010; Schouten et al., 2013). However, GDGTs-1, -2, -3, and the crenarchaeol isomer can also be synthesized by additional archaea (Sinninghe Damsté et al., 2002; Schouten et al., 2008; Pitcher et al., 2011). For example, marine group II (MG-II) *Euryarchaeota* thriving in shallow water can synthesize GDGTs-1, -2, and -3 (Turich et al., 2007; Schouten et al., 2008). IsoGDGTs from soil and brGDGTs produced *in situ* in marine environments may contribute to the GDGT pool (Hopmans et al., 2004; Peterse et al., 2009; Schouten et al., 2013). Such inputs may affect the application of TEX<sub>86</sub> in

paleotemperature reconstruction. Therefore, indicators such as BIT (Hopmans et al., 2004; De Jonge et al., 2014), GDGT-0/crenarchaeol (Blaga et al., 2009), MI (Zhang et al., 2011), GDGT-2/crenarchaeol (Weijers et al., 2011), %GDGT-2 (Sinninghe Damsté et al., 2012a), and GDGT-2/GDGT-3 (Taylor et al., 2013) were introduced to assess the biological precursors of the isoGDGTs (**Table 1**).

In this study, crenarchaeol was the most abundant component among isoGDGTs, with GDGT-0/crenarchaeol ratios ranging from 0.4 to 1.0 (between 0.2 and 2), suggesting that the isoGDGTs were predominantly produced by MG-I *Thaumarchaeota* in core 14 (Schouten et al., 2008, 2013; Spang et al., 2010). IsoGDGTs introduced by soil and rivers were negligible, as indicated by the low BIT values of 0.01–0.10 (<0.10; **Table 4**; Hopmans et al., 2004; Kim et al., 2010; De Jonge et al., 2014). Apart from terrestrial input, methanotrophic *Euryarchaeota* prevailing in cold seeps can contribute to GDGT-1, -2, and -3 and modify the distribution of isoGDGTs (Elvert et al., 2005; Guan et al., 2019). In this study, the ratios of GDGT-2/crenarchaeol varied from 0.1 to 0.4 (<0.4), GDGT-



**TABLE 4** | Various indices were used to evaluate the application of TEX<sub>86</sub><sup>H</sup> and reconstruct paleotemperatures in core C14.

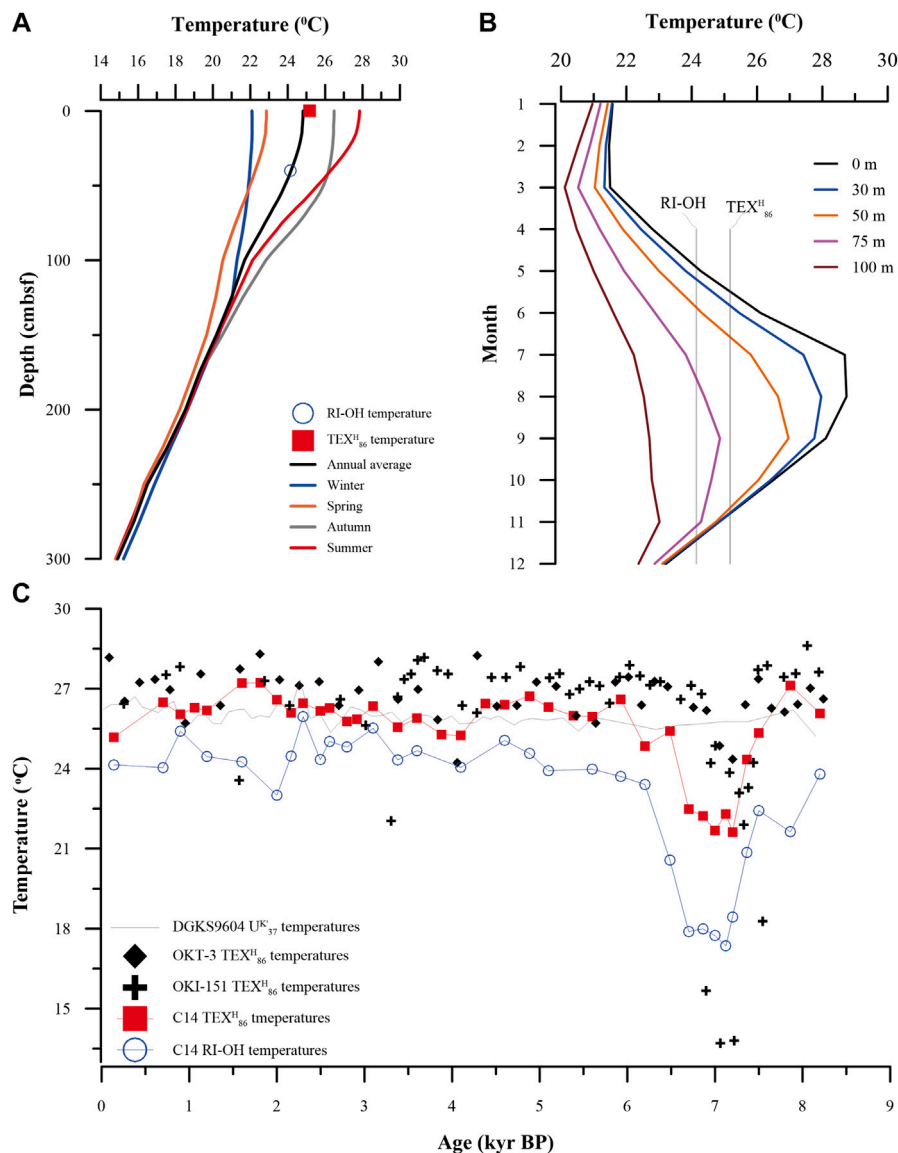
Sample	Depth (cmbsf)	Age (kyr)	%GDGT-2	GDGT-0/Cren	GDGT-2/Cren	GDGT-2/GDGT-3	MI	BIT	TEX <sub>86</sub> <sup>H</sup>		RI-OH	
									Values	SST (°C)	Values	SST (°C)
C10_01	7.5	0.1	38	0.5	0.1	4.6	0.2	0.02	-0.196	25.2	1.596	24.1
C14_01	37.5	0.7	38	0.5	0.1	4.7	0.2	0.02	-0.177	26.5	1.593	24
C14_02	67.5	0.9	35	0.4	0.1	4.6	0.2	0.01	-0.184	26	1.631	25.4
C14_03	83.75	1.1	35	0.4	0.2	3.2	0.3	0.02	-0.18	26.3	-	-
C14_04	97.5	1.2	37	0.4	0.1	4.7	0.2	0.01	-0.182	26.2	1.605	24.5
C14_05	127.5	1.6	38	0.4	0.1	4.7	0.2	0.02	-0.167	27.2	1.599	24.3
C14_06	143.75	1.8	39	0.4	0.2	3.5	0.3	0.1	-0.166	27.2	-	-
C14_07	157.5	2.0	37	0.4	0.1	4.7	0.2	0.01	-0.176	26.6	1.564	23
C14_08	173.75	2.2	43	0.7	0.3	6.7	0.4	0.06	-0.183	26.1	1.605	24.5
C14_09	187.5	2.3	39	0.4	0.1	4.9	0.2	0.01	-0.177	26.5	1.647	26
C14_10	203.75	2.5	40	0.5	0.3	4.0	0.4	0.05	-0.182	26.2	1.601	24.3
C14_11	212.5	2.6	38	0.4	0.1	4.8	0.2	0.01	-0.18	26.3	1.621	25
C14_12	217.5	2.8	36	0.4	0.1	4.3	0.2	0.01	-0.188	25.8	1.615	24.8
C14_13	228.75	2.9	38	0.9	0.3	4.2	0.4	0.06	-0.186	25.8	-	-
C14_14	247.5	3.1	35	0.4	0.1	4.5	0.2	0.01	-0.179	26.3	1.634	25.5
C14_15	263.75	3.4	37	0.7	0.3	3.5	0.4	0.06	-0.191	25.5	1.601	24.3
C14_16	277.5	3.6	37	0.4	0.1	4.6	0.2	0.01	-0.186	25.9	1.611	24.7
C14_17	293.75	3.9	35	0.8	0.3	4.0	0.3	0.07	-0.195	25.3	-	-
C14_18	307.5	4.1	36	0.4	0.1	4.3	0.2	0.01	-0.195	25.2	1.593	24
C14_19	323.75	4.4	39	0.6	0.3	5.1	0.4	0.1	-0.178	26.4	-	-
C14_20	337.5	4.6	36	0.4	0.1	4.5	0.2	0.01	-0.178	26.4	1.622	25.1
C14_21	353.75	4.9	37	0.6	0.3	3.5	0.4	0.06	-0.174	26.7	1.608	24.6
C14_22	367.5	5.1	37	0.4	0.1	4.8	0.2	0.01	-0.18	26.3	1.59	23.9
C14_23	383.75	5.4	39	0.5	0.4	3.8	0.4	0.03	-0.184	26	-	-
C14_24	397.5	5.6	38	0.5	0.1	4.8	0.2	0.01	-0.185	26	1.591	24
C14_25	413.75	5.9	40	0.7	0.2	4.1	0.3	0.04	-0.175	26.6	1.584	23.7
C14_26	427.5	6.2	37	0.5	0.1	4.9	0.2	0.01	-0.201	24.8	1.575	23.4
C14_27	443.75	6.5	35	0.8	0.2	3.9	0.3	0.03	-0.193	25.4	1.496	20.6
C14_28	457.5	6.7	33	0.6	0.1	4.3	0.2	0.02	-0.236	22.5	1.421	17.9
C14_29	473.75	6.9	36	0.6	0.2	4.3	0.3	0.04	-0.239	22.2	1.424	18
C14_30	487.5	7.0	33	0.7	0.1	4.0	0.2	0.02	-0.247	21.7	1.417	17.7
C14_31	503.75	7.1	36	0.7	0.2	4.1	0.3	0.04	-0.238	22.3	1.406	17.4
C14_32	517.5	7.2	34	0.7	0.1	4.5	0.2	0.02	-0.248	21.6	1.436	18.4
C14_33	533.75	7.4	39	1.0	0.2	4.4	0.3	0.04	-0.209	24.3	1.504	20.9
C14_34	547.5	7.5	37	0.4	0.1	5.0	0.2	0.01	-0.194	25.3	1.548	22.4
C14_35	563.75	7.9	38	0.7	0.3	4.2	0.4	0.08	-0.168	27.1	1.526	21.6
C14_36	577.5	8.2	38	0.5	0.1	5.4	0.2	0.01	-0.183	26.1	1.586	23.8

2/GDGT-3 showed a constant trend below 5.0, the MI index ranged between 0.2 and 0.4 (<0.5), and %GDGT-2 fell in the range of 33–39 (<45), indicating that the contributions of methanotrophic archaea and MG-II *Euryarchaeota* were low (Table 4; Blaga et al., 2009; Weijers et al., 2011; Zhang et al., 2011; Sinninghe Damsté et al., 2012a; Taylor et al., 2013). Consequently, isoGDGTs predominantly originating from MG-I *Thaumarchaeota* and TEX<sub>86</sub><sup>H</sup> can be used to reconstruct paleotemperatures in core C14.

### Implications of TEX<sub>86</sub><sup>H</sup> Temperatures

TEX<sub>86</sub><sup>H</sup> studies revealed relatively variable temperature records in the OT and ECS (Nakanishi et al., 2012; Xu et al., 2018; Yuan et al., 2018). Yamamoto et al. (2013) compared temperatures derived from Mg/Ca, U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub><sup>H</sup> at site MD98-2195 of the northern OT. Mg/Ca- and U<sub>37</sub><sup>K</sup>-derived temperatures were assigned to summer and spring seawater temperatures, respectively, because they behaved differently to seasonal variations in the sinking fluxes. Still, the core-top values

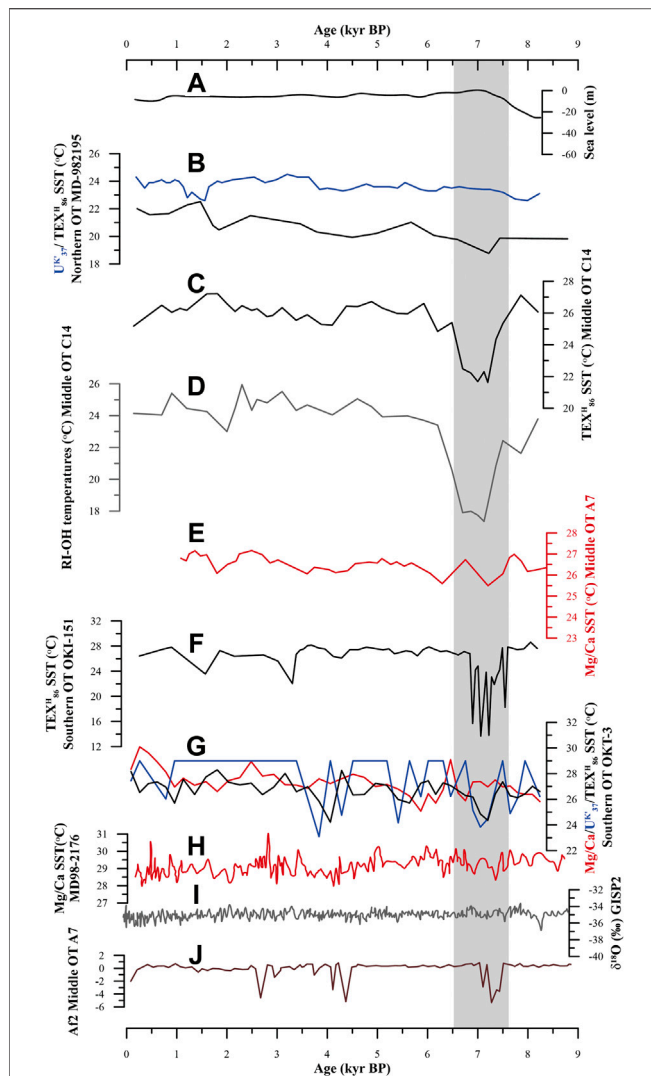
yielded similarities to summer and spring SSTs, respectively (Yamamoto et al., 2013 and references therein). Since GDGTs in sinking particles were well mixed, the lower TEX<sub>86</sub><sup>H</sup> temperature most likely represented the annual subsurface seawater temperature, as assumed by Yamamoto et al. (2013). However, Zhao et al. (2015) showed similarity between modern summer seawater temperature and core-top TEX<sub>86</sub><sup>H</sup> temperature at the southern OT and concluded that both U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub><sup>H</sup> temperatures represented summer SSTs. Similar observations were made by Xu et al. (2018), and both U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub><sup>H</sup> temperatures were used to indicate annual SST at the southern OT. Therefore, TEX<sub>86</sub><sup>H</sup> calibrations for specific locations may be closely related to the specific local hydrology. In this study, the core-top TEX<sub>86</sub><sup>H</sup> and RI-OH temperatures at the study site were 25.2 and 24.1°C, respectively. This is in line with the mean annual SST (24.8°C) and mean annual seawater temperature at 40 m below the sea surface (24.2°C) (Figure 3A). In addition, the core-top TEX<sub>86</sub><sup>H</sup> temperature agreed well with the SST in June and November and the average temperature from June to November



**FIGURE 3 |** Paleotemperatures derived from core C14 and nearby sites. **(A)** Mean seasonal and annual temperatures at the study site; **(B)** monthly mean water temperatures at different depths at the study site. Data are from Locarnini et al. (2013). **(C)** Comparisons between BIT, TEX<sub>86</sub><sup>H</sup> and RI-OH temperatures from core C14 from the middle OT, TEX<sub>86</sub><sup>H</sup> temperatures from OKT-3 and OKI-151 from the southern OT (Zhao et al., 2015; Xu et al., 2018), and U<sub>37</sub><sup>K</sup> temperatures from DGKS9604 from the middle OT (Yu et al., 2009).

at depths of 50–7 m (**Figure 3B**). However, TEX<sub>86</sub><sup>H</sup> reflects SST in a specific month, which is unlikely because the GDGTs produced in different seasons have been demonstrated to be suspended and well mixed in the surface water in both the western North Pacific (Yamamoto et al., 2012) and the northern OT (Nakanishi et al., 2012). In this study, the average TEX<sub>86</sub><sup>H</sup> SST ( $26.1 \pm 1.1^\circ\text{C}$ ; except for 7.4–6.6 kyr BP) in core C14 is comparable to the TEX<sub>86</sub><sup>H</sup> SST of the southern OT from 8.2 kyr BP to the present (**Figure 3C**; Zhao et al., 2015; Xu et al., 2018), which is close to the average U<sub>37</sub><sup>K</sup> SST ( $26.0 \pm 1.3^\circ\text{C}$ ; **Figure 3C**) when compared to a nearby site (core DGKS9604; Yu et al., 2009), indicating that the TEX<sub>86</sub><sup>H</sup> temperatures during the last 8.2 kyr BP reflected mean annual SSTs rather than temperatures in a specific short period.

Interestingly, the temperatures derived from RI-OH were generally lower than the TEX<sub>86</sub><sup>H</sup> and U<sub>37</sub><sup>K</sup> SSTs with a similar changing pattern and trend (**Table 4**; **Figures 3A,C**). To date, the biological precursors of OH-GDGTs remain unclear. To date, OH-GDGTs have been observed in cultures of archaea affiliated with *Thaumarchaeota* Group I.1a (Sinninghe Damsté et al., 2012b; Elling et al., 2014), whereas TEX<sub>86</sub>-related isoGDGTs are explicitly ascribed to *Thaumarchaeota* Group I.1b (Brochier-Armanet et al., 2008; Spang et al., 2010). The potentially different origins of OH-GDGTs suggest that the RI-OH index may supplement TEX<sub>86</sub><sup>H</sup> in marine environments (Lü et al., 2015). Lü et al. (2015) and Yang et al. (2018) analyzed the surface sediments of the marginal sea in China and revealed a high correlation between RI-OH and the local mean



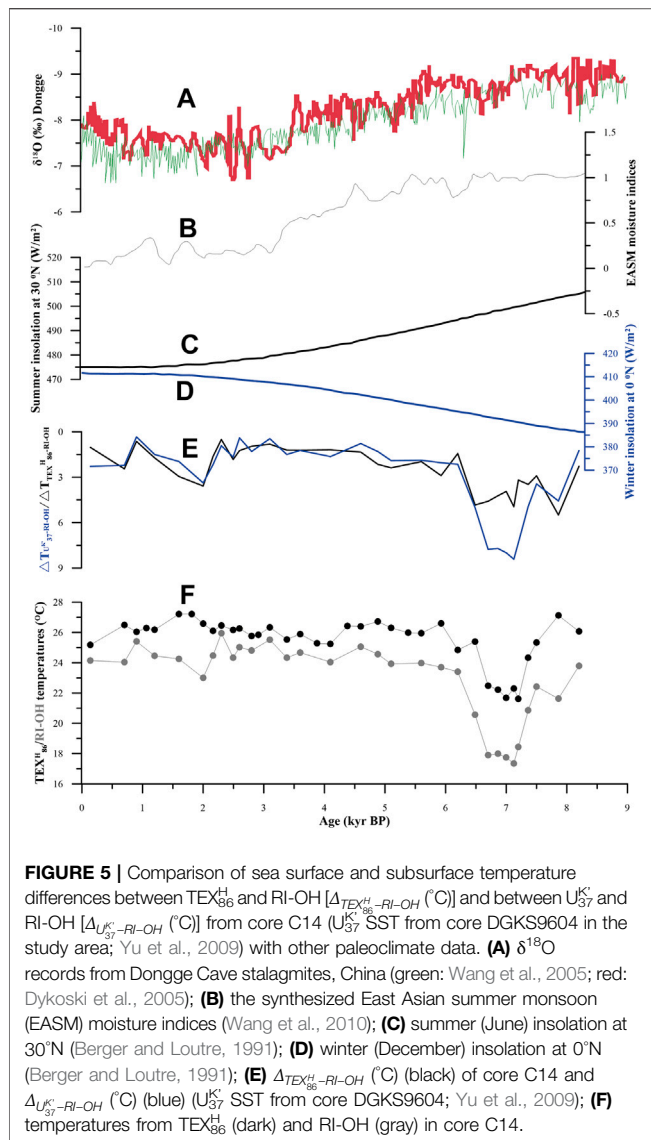
**FIGURE 4 |** Comparison of paleotemperature variations inferred from core C14 with other paleoclimate data. **(A)** Postglacial sea-level changes in the western Pacific (Liu et al., 2004); **(B)** Temperatures from  $U_{37}^K$  (blue) and  $TEX_{86}^H$  (dark) in core MD98-2195 (Yamamoto et al., 2013); **(C)**  $TEX_{86}^H$  SST of C14 in this article; **(D)** Temperatures from RI-OH in core C14 in this article; **(E)** Mg/Ca SST of A7 (Sun et al., 2005); **(F)** SST from  $TEX_{86}^H$  in core OKI-151 (Xu et al., 2018); **(G)** SST from Mg/Ca (red),  $U_{37}^K$  (blue) and  $TEX_{86}^H$  (black) in core OKT-3 (Zhao et al., 2015); **(H)** Mg/Ca SST data from core MD98-2176 in the western tropical Pacific (Stott et al., 2004); **(I)**  $\delta^{18}O$  record from the GISP2 ice core (Stuiver and Grootes, 2000); **(J)** the factor representing the western tropical Pacific (Stott et al., 2004); **(I)**  $\delta^{18}O$  record from the GISP2 ice core (Stuiver and Grootes, 2000).

annual SST. Such correlations possibly suggested that OH-GDGTs produced in different seasons have been well mixed in seawater before preservation in sediments (Lü et al., 2015). The core-top RI-OH temperature agreed well with the SSTs in May and December, which most likely reflected subsurface temperatures in the present study (Figure 3B).

## Cold Events Identified in the Middle Okinawa Trough

In core 14, a warming trend during the last 8.2 kyr BP with an apparent cold event at 7.4–6.6 kyr BP was found using  $TEX_{86}^H$  and RI-OH proxies. Paleoclimate studies have indicated that the cold anomalies in the North Atlantic may have spread signals of rapid climate changes via the westerlies to the Asian monsoon regions (Dykoski et al., 2005; Sun et al., 2005; Wang et al., 2005). However, studies on the Holocene paleoclimate suggested that the tropical Pacific likely controlled the paleotemperature in East Asia via KC variations rather than the North Atlantic (Lim and Fujiki, 2011; Xu et al., 2018). The KC, the northward branch of the North Pacific subtropical gyre, exerts an influence on the exchange of climatic properties of downstream regions in the Pacific Ocean and is a mediator of the Pacific to the OT (Hu et al., 2015). An intensified trend of the KC since the Holocene has been demonstrated (Sun et al., 2005; Xiang et al., 2007; Diekmann et al., 2008; Yamamoto, 2009). These warm and highly saline waters transported by the KC may have significantly increased the SST of the OT (Figure 4J; Zheng et al., 2016). Therefore, the warming of the middle OT may be caused by the enhancement of KC intensities driven by the tropical Pacific during the Holocene. Furthermore, the most remarkable cold event at 7.4–6.6 kyr BP (~7.3 kyr BP) was widely reported in the southern and middle OT (Sun et al., 2005; Zhao et al., 2015; Xu et al., 2018). Since this ~7.3 kyr BP cold event has not been reported in the North Atlantic, factors other than the North Atlantic were likely responsible for the SST decrease in the middle OT (Figure 4I; Stuiver and Grootes, 2000). During the 7.4–6.6 kyr BP period, the KC intensity was weakened (Xiang et al., 2007; Hu et al., 2015), potentially contributing to the decreased temperatures of the middle OT (Figures 4C,J; Zheng et al., 2016).

However, the ~7.3 kyr BP cold event was magnified in the GDGT-related SST records. In core C14, the  $TEX_{86}^H$  SST showed a drop of ~5°C at 7.4–6.6 kyr BP (Figure 4C). A similar observation has been reported in the southern OT: the  $TEX_{86}^H$  SST dropped approximately 3–14°C during 7.6–6.9 kyr BP, whereas the Mg/Ca and  $U_{37}^K$  SST only showed a drop of 1–3°C during this period (Sun et al., 2005; Kubota et al., 2010; Zhao et al., 2015; Xu et al., 2018). Xu et al. (2018) attributed the 7.6–6.9 kyr BP SST drop to an unknown cold event that occurred during KC intensity weakening. However, the cold event during that period may not be solely responsible for such a large amplitude of decline in  $TEX_{86}^H$  SST. Global climate change cycles could also have regular influences on the SST of marginal seas (Ho and Laepple, 2015; Ignatov and Gutman, 1999; Debret et al., 2007). Sometimes, the SST of the OT could have suffered from strongly enhanced temperature amplitudes across climate cycles (Debret et al., 2007; Zhao et al., 2014). However, no noticeable long- and short-term climate effect on OT hydrology was reported during the last 7.4–6.6 kyr BP (Bond et al., 1997; Zhao et al., 2014). Factors controlling the abnormal  $TEX_{86}^H$  SST drop at ~7.3 kyr BP have not been resolved. In core C14, a tephra layer was found from 532.5 to 442.5 cm (K-Ah tephra; 7.4–6.6 kyr BP). A volcanic eruption that occurred at ~7.3 kyr BP has been identified in southwestern Japan (K-Ah tephra; Kitagawa et al., 1995), suggesting that the core C14 site was likely under the scope of the volcanic eruption (Figure 1). The K-Ah tephra has been



widely reported in sediments from the northern to middle OT (Sun et al., 2005; Kubota et al., 2010; Zheng et al., 2016). Modeling studies on Toba volcano in Sumatra have indicated that volcanic eruptions could result in spreading tephra, blocking sunlight, and thus leading to global or regional temperature cooling (Rampino and Self, 1992; Machida, 1999; Oppenheimer, 2002). For core C14, the sedimentation rate during the last 7.4–6.6 kyr BP is exceptionally high (~126 cm/kyr) compared to a nearby site (~20 cm/kyr; DGKS9604; Yu et al., 2009). Therefore, except for the decrease in KC intensity, the cold event and the extremely high sedimentation rate at ~7.3 kyr in core C14 were probably related to volcanic eruptions. The differences between  $\text{TEX}_{86}^{\text{H}}$  SST and SST records from the  $\text{U}_{37}^{\text{K}}$  and Mg/Ca at ~7.3 kyr BP in the OT may result from distinct sensibilities and responses of specific organisms to climate events (Schouten et al., 2013; Steinke et al., 2008 and references therein). However, the specific and detailed mechanism

of the ash impacts on living communities remains unclear and requires further investigation.

## Decoupling of the East Asian Summer Monsoon and Paleotemperatures in the Middle OT

The temperature difference between the sea surface and subsurface ( $\Delta T$ ) is sensitive to trace heat and water exchanges, reflecting the depth of the thermocline (DOT) of marginal seas (Jian et al., 2000; Lopes dos Santos et al., 2010; Jia et al., 2012; Yuan et al., 2018). In marginal seas,  $\Delta T$  has been reported to have a negative relationship with DOT (Jian et al., 2000; Lopes dos Santos et al., 2010; Jia et al., 2012). In the OT, the DOT is mainly controlled by the EAM and KC, which stir the surface of the seawater and cause fluctuations in the DOT. Specifically, the enhancement in the EAM or KC intensities will lead to strengthened mixing of upper water and deepening of the DOT (a reduction in  $\Delta T$ ), and vice versa (Jian et al., 2000; Yamamoto et al., 2013).

In this study,  $\text{TEX}_{86}^{\text{H}}$  and  $\text{U}_{37}^{\text{K}}$  temperatures (DGKS9604; Yu et al., 2009) were used as SSTs, whereas RI-OH temperatures tentatively served as subsurface temperatures (Figure 3C). The temperature differences between  $\text{TEX}_{86}^{\text{H}}$  and RI-OH [ $\Delta_{\text{TEX}_{86}^{\text{H}}-\text{RI-OH}}$  (°C)] and between  $\text{U}_{37}^{\text{K}}$  and RI-OH [ $\Delta_{\text{U}_{37}^{\text{K}}-\text{RI-OH}}$  (°C)] were calculated (Figure 5E). From 8.2 kyr BP to the present, a slight overall decrease was found for  $\Delta_{\text{TEX}_{86}^{\text{H}}-\text{RI-OH}}$  (°C) and  $\Delta_{\text{U}_{37}^{\text{K}}-\text{RI-OH}}$  (°C), suggesting an increased mixing of the upper water column in the middle OT (Figure 5E). Studies on the Holocene EASM intensity showed that the EASM intensity reached a maximum at ~7.0 kyr or ~9.0 kyr (Yang et al., 2019; Liu et al., 2015; Wang et al., 2005; Dykoski et al., 2005). However, the EASM is primarily impacted by summer (June) insolation at 30°N, exhibiting a gradually decreasing trend since 8.2 kyr (Figure 5C; Berger and Loutre, 1991). Therefore, the increased mixing of upper water was inconsistent with the EASM intensity, especially over the last 7.0 kyr BP, indicating the decoupling of SSTs in the middle OT with the EASM (Figures 5A–C). In the middle Holocene, a decoupling trend between thermal conditions and precipitation has been revealed by pollen sequences from Taiwan (Liew et al., 2006). For land records, decoupling between temperature warming, which was likely caused by the strong influence of low-latitude warm currents, and the EASM intensities were common during the Holocene (Peterse et al., 2011; Park et al., 2014; Wu et al., 2017). The low-latitude warm currents flowing through the OT, such as the KC, may warm and stir the seawater and overstep the influence of high-latitude climates, causing the decoupling of SSTs in the middle OT with the EASM (Zheng et al., 2014, 2016; Xu et al., 2018).

In the present study, the  $\text{TEX}_{86}^{\text{H}}$  SST, revealed a gradual warming trend in the middle OT since 8.2 kyr BP (Figure 5F). Modeling of the Holocene climate showed that moderate temperature warming was caused by winter warming that slightly exceeded summer cooling in the tropics (Lorenz et al., 2006). Therefore, the warming trend in the middle OT might be caused by the increased boreal winter insolation at low latitudes (Figures 5D,F).



## CONCLUSION

Paleotemperatures were reconstructed for the last 8.2 kyr in the middle Okinawa Trough (OT) using  $\text{TEX}_{86}^{\text{H}}$  and RI-OH. IsoGDGTs were mainly derived from marine *Thaumarchaeota*, and  $\text{TEX}_{86}^{\text{H}}$  can be used to reconstruct temperatures of the middle OT, as revealed by GDGT-0/crenarchaeol, BIT, %GDGT-2, and MI.  $\text{TEX}_{86}^{\text{H}}$  temperatures in this study indicated mean annual SSTs close to the  $U_{37}^{\text{K}}$  SST of the middle OT. In contrast, RI-OH temperatures were interpreted to represent subsurface temperatures relatively lower than the  $\text{TEX}_{86}^{\text{H}}$  and  $U_{37}^{\text{K}}$  SSTs. The cold event at ~7.3 kyr BP and the general warming trend, as revealed by the  $\text{TEX}_{86}^{\text{H}}$  and RI-OH temperatures at 8.2 kyr BP, were attributed to the increasing Kuroshio Current intensity punctuated by a decline at ~7.3 kyr BP. The decreasing temperature differences between  $\text{TEX}_{86}^{\text{H}}$  and RI-OH and between  $U_{37}^{\text{K}}$  and RI-OH indicated the decoupling of the SSTs in the middle OT and the East Asian summer monsoon during 8.2 kyr BP. The magnification of the cold phase in  $\text{TEX}_{86}^{\text{H}}$  and the extremely high sedimentation rate at 7.4–6.6 kyr BP were probably partially due to the widespread Kikai-Akahoya tephra (~7.3 kyr).

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding authors.

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## AUTHOR CONTRIBUTIONS

LL and HG was responsible for writing manuscripts. LX and LL conducted tests. ZS and NW provided the studied samples. HG revised texts, and NW and ZS revised texts and provided comments.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2022.799280/full#supplementary-material>

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