

# THYROID HORMONE IN BRAIN AND BRAIN CELLS

EDITED BY : Frédéric Flamant, Juan Bernal and Noriyuki Koibuchi  
PUBLISHED IN: Frontiers in Endocrinology





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ISSN 1664-8714

ISBN 978-2-88919-702-6

DOI 10.3389/978-2-88919-702-6

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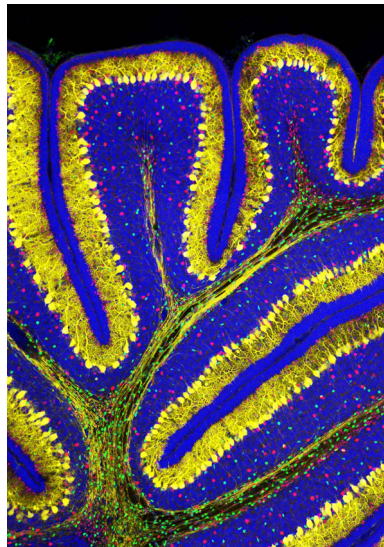
# THYROID HORMONE IN BRAIN AND BRAIN CELLS

Topic Editors:

**Frédéric Flamant**, Ecole Normale Supérieure de Lyon, France

**Juan Bernal**, Consejo Superior de Investigaciones Científicas, Spain

**Noriyuki Koibuchi**, Gunma University Graduate School of Medicine, Japan



Hypothyroid cerebellum. Sagittal section of mouse cerebellum at post-natal day 15. The external granular layer (DAPI, blue) remains thick. Arborization of Purkinje cells (yellow) is reduced. Oligodendrocytes progenitor cells (Olig2+ green) and GABAergic neuron progenitors (Pax2+) differentiation is retarded. Picture by Teddy Fauquier.

Thyroid hormone signaling has been known for a long time to be required for proper neurodevelopment and the maintenance of cognitive functions in the adult brain. As thyroid hormone excess or deficiency is usually well handled by clinicians, research dedicated to the neural function of thyroid hormone, have not been a priority within the field. This is changing mainly for two reasons. First, new genetic diseases have been discovered, altering thyroid hormone signaling in brain (THRA, MCT8, SBP2), with neurodevelopmental consequences which are currently incurable. Second, there is a growing concern that exposition of the general population to environmental chemicals able to interfere with thyroid hormone signaling compromises children neurodevelopment or induces central disorders in adults. Finally thyroid hormone is acting directly on gene transcription, by binding nuclear receptors, and is therefore an interesting entry point to identify genetic programs controlling brain development and function. Reaching a broad understanding of the multiple processes involving thyroid hormone in brain is a tremendous task which will necessitate a multidisciplinary approach: animal genetics, molecular biology, brain imaging, developmental biology, genomics, etc... This topic will be the occasion to combine recent contributions in the field and to identify priorities for future investigations.

Due to devastating consequences of congenital hypothyroidism, the neurodevelopmental consequences of altered thyroid hormone signaling have been extensively studied over the years. The discovery of new genetic diseases, the concern about the possible neurotoxicity of

environmental thyroid hormone disruptors, recently renewed the interest for an important research field. This Ebook gathers reviews and original data from experts in various disciplines. It provides a broad view of ongoing research and outlines key issues for future investigation.

**Citation:** Flamant, F., Bernal, J., Koibuchi, N., eds. (2016). Thyroid Hormone in Brain and Brain Cells. Lausanne: Frontiers Media. doi: 10.3389/978-2-88919-702-6



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# Editorial: “Thyroid hormone in brain and brain cells”

Frédéric Flamant<sup>1,2\*</sup>, Noriyuki Koibuchi<sup>3</sup> and Juan Bernal<sup>4</sup>

<sup>1</sup> CNRS, INRA, Université de Lyon, Université Lyon 1, Lyon, France, <sup>2</sup> CNRS, Institut de Génétique Fonctionnelle de Lyon, Université de Lyon, Université Lyon 1, Lyon, France, <sup>3</sup> Department of Integrative Physiology, Gunma University Graduate School of Medicine, Maebashi, Gunma, Japan, <sup>4</sup> Consejo Superior de Investigaciones Científicas, Center for Biomedical Research on Rare Diseases (CIBERER), Instituto de Investigaciones Biomédicas “Alberto Sols”, Universidad Autónoma de Madrid, Madrid, Spain

**Keywords:** thyroid hormones, thyroid hormone signaling, gene transcription, editorial, neurodevelopmental function of TH

## OPEN ACCESS

### Edited by:

Terry Francis Davies,  
Icahn School of Medicine at Mount  
Sinai, USA

### Reviewed by:

Francesco S. Celi,  
Virginia Commonwealth University,  
USA

### \*Correspondence:

Frédéric Flamant  
frederic.flamant@ens-lyon.fr

### Specialty section:

This article was submitted to Thyroid  
Endocrinology, a section of the journal  
Frontiers in Endocrinology

**Received:** 28 April 2015

**Accepted:** 29 May 2015

**Published:** 22 June 2015

### Citation:

Flamant F, Koibuchi N and Bernal J  
(2015) Editorial: “Thyroid hormone in  
brain and brain cells”.  
Front. Endocrinol. 6:99.  
doi: 10.3389/fendo.2015.00099

Thyroid hormones (TH) function in brain has been known for a very long time. In 1813, Jean-François Coindet, a Swiss physician, made the discovery that iodine was efficient at treating goiter and cretinism, a disease associated to mental retardation, which was endemic in his country. This started a persisting tradition of research, which first identified TH [first thyroxine (T<sub>4</sub>) and then 3,5,3'-tri-iodo-L-thyronine (T<sub>3</sub>)] as the active iodinated compounds, which early deficiency explained cretinism. It also revealed a number of other functions for TH, not only during development but also in adult brain. It is now well established that most brain cell types need TH for a proper and timely differentiation. What makes the situation in brain different than in other organs is that the consequences of TH deficiency become quickly irreversible. After the elucidation of the corresponding signaling pathway, and the identification of the two genes, now called THRA and THRB, which encode the nuclear receptors of T<sub>3</sub> (TRs, including TR $\alpha$ 1, TR $\beta$ 1, and TR $\beta$ 2), one would expect that this research field would eventually run out of unsolved mysteries. This is far from being the case and it seems that new questions keep arising all the time. This special issue is a snapshot on some of current hot topics, which bring a stimulating overview of the current situation.

One key aspect of the neurodevelopmental function of TH, bringing major complications, is that TH does not freely circulate in all brain areas and cell types, as originally postulated. TH signaling seems therefore to be heterogeneous and dynamic in brain. First of all, local metabolism by deiodinases can modulate the availability of TH. This has been exemplified in anterior cortex (1), and studied in details in inner ear (2). Second, specific transporters play a major role for the distribution of TH. Therefore, although most brain cells possess at least one of the TR, the levels of TH in serum provide little indication for the TH-signaling level in different brain areas. The physiopathological relevance of the question of TH transport in brain is best illustrated by the Allan–Herndon–Dudley syndrome, a devastating genetic disease because of a genetic mutation in MCT8, a gene encoding one of the TH transporters (3). Schroeder and Privalsky provide a clear introduction to this difficult question, which involves local metabolism of TH by deiodinases, and specific transporters required for TH to cross the blood–brain barrier or to reach the cell nucleus (4). Anticipating recent reports for the importance of non-genomic pathways for TH signaling in brain (5) they raise the hypothesis that T<sub>4</sub> itself may be more than a prohormone, having a function different from T<sub>3</sub> in some situations. They also explain that differential expression of coregulators may modulate TH signaling during development, a possibility that has not yet been extensively explored (6, 7). Muller and Heuer (8) provide a novel and extensive description of the main TH transporters expression patterns in mice. These new data confirm that the transporter can potentially generate a very heterogeneous distribution of TH in brain. Wirth et al. (9) provide a comprehensive overview of the growing knowledge on TH transporters in brain, in various vertebrate models. They also discuss the possibility that other iodinated compounds, which are also transported in brain, may have a neglected function, independent of the classical TR pathway.



Although neurons are the primary target of T3 actions, most of the T3 present in brain is made by T4 deiodination, which takes place predominantly, if not exclusively, in glial cells: the tanocytes lining part of the third ventricle surface and in the astrocytes throughout the brain. Morte and Bernal (10) show that how the combination of primary cell cultures, genome-wide expression analysis, and mouse genetics recently revealed a dynamic evolution of this astrocytes–neurons dialog during neurodevelopment. This also led to the puzzling conclusion that the source of T3 matters: some genes, which expression is down-regulated by T3, would respond differently, depending on whether T3 crossed the brain–blood barrier, or was produced by local deiodination of T4. A general picture emerges, where TH become much more than a trophic factor, their tightly regulated distribution providing positional information to the developing neurons.

The neurodevelopmental consequences of altered TH signaling have been studied in great details over the years. Cerebellum proved to be a brain area suitable for in-depth investigation in rodent models. The first advantage, compared to other brain areas, is its relatively simple neuroanatomy, which few main cell types. The other is that its maturation takes place at a late stage of brain development, within the first post-natal weeks in rodent, when the circulating level of TH is normally high. This probably explains why the histological consequences of early TH deficiency are particularly dramatic in this brain area. Faustino and Ortega-Carvalho review the recent progresses in our understanding in the way TH coordinate cellular interactions during this process, and the limited knowledge that we have on TR $\alpha$ 1 and TR $\beta$ 1 target genes in this promising model (11). In an ambitious reflection, Berbel et al. (12) generalize these concepts to cortex development, carefully discussing the relevance of rodent models to human pathology, and placing animal studies in an evolutionary perspective. In-depth examination of T3 regulated genes reveals hidden connection between TH deficiency and major neurodevelopmental diseases: epilepsy, autism, attention deficit hyperactive disorder, and schizophrenia. This landmark review should have far reaching consequences for later investigations, as it outlines that T3 is an essential timer of brain development, and that any alteration in T3 signaling has long-term consequences on neurological and cognitive functions. Remaud et al. (13) show that TH neurodevelopmental functions in brain do not stop after maturation, but persist throughout life, as the

differentiation of adult neural stem cells, present in the hippocampus and the subventricular zone, also depends on TH. This leads to the proposal that the known decline of TH levels upon aging, may partially explain several adverse effects on cognitive functions.

One site in the adult brain where TH has been proved to exert a number of important functions is the hypothalamus. This is especially important because hypothalamus is a brain area, which communicates with peripheral organs and a place where many physiological processes can cross-talk. Some TH functions, which are thought to involve peripheral organs, may actually stem in the hypothalamus. One important example is that the control of energy homeostasis, originally believed to result from direct stimulation of liver, muscles, white, and brown adipose tissue. It is now demonstrated that this important function of TH also involves the hypothalamus, which secretes a number of signaling peptides and set the sympathetic tone (14). Three reviews focus on one hypothalamic function of TH, which is an area of intense investigations: the involvement of TH in the so-called seasonal clock, which allow many animal species to reproduce at a specific season. Using a fish model, Ogawa et al. present new data showing that TH can activate, directly or indirectly, the expression of *Kiss2* and *Gnrh* genes in hypothalamus, which are important upstream effectors of the gonadotrophic axis (15). Shinomiya et al. explain how initial studies of the photoperiodic change in gene expression in hypothalamus, performed in quail by the Nagoya group, led to the discovery of a general mechanism, common to vertebrate, which allow to couple the seasonal change in day length and reproduction (16). Dardente et al. highlight several missing links in this general model, which suggest that important contributions are still ahead (17).

All these contributions provide a timely update of an abundant literature, and suggest exciting avenues for new investigations. These will also be stimulated by new questions raised by the discovery of new genetic diseases altering TH signaling in brain (18) and by the concern that some environmental contaminants acting as TH disruptors might compromise normal brain development (19). Most of all, as TH act primarily on gene expression, studies of TH function in brain will continue to provide an outstanding opportunity to explore the basic genetic mechanisms, which govern neurodevelopment and adult brain functions.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# An evo-devo approach to thyroid hormones in cerebral and cerebellar cortical development: etiological implications for autism

Pere Berbel<sup>1\*</sup>, Daniela Navarro<sup>1</sup> and Gustavo C. Román<sup>2,3</sup>

<sup>1</sup> Departamento de Histología y Anatomía, Facultad de Medicina, Universidad Miguel Hernández, Alicante, Spain

<sup>2</sup> Department of Neurology, Weill Cornell Medical College, Cornell University, New York, NY, USA

<sup>3</sup> Methodist Neurological Institute, Houston, TX, USA

## Edited by:

Frédéric Flamant, Ecole Normale Supérieure de Lyon, France

## Reviewed by:

Giorgio Innocenti, Karolinska Institutet, Sweden

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## \*Correspondence:

Pere Berbel, Instituto de Neurociencias, Universidad Miguel Hernández, Av. Ramón y Cajal s/n, Sant Joan d'Alacant, Alicante 03550, Spain  
e-mail: pere.berbel@umh.es

The morphological alterations of cortical lamination observed in mouse models of developmental hypothyroidism prompted the recognition that these experimental changes resembled the brain lesions of children with autism; this led to recent studies showing that maternal thyroid hormone deficiency increases fourfold the risk of autism spectrum disorders (ASD), offering for the first time the possibility of prevention of some forms of ASD. For ethical reasons, the role of thyroid hormones on brain development is currently studied using animal models, usually mice and rats. Although mammals have in common many basic developmental principles regulating brain development, as well as fundamental basic mechanisms that are controlled by similar metabolic pathway activated genes, there are also important differences. For instance, the rodent cerebral cortex is basically a primary cortex, whereas the primary sensory areas in humans account for a very small surface in the cerebral cortex when compared to the associative and frontal areas that are more extensive. Associative and frontal areas in humans are involved in many neurological disorders, including ASD, attention deficit-hyperactive disorder, and dyslexia, among others. Therefore, an evo-devo approach to neocortical evolution among species is fundamental to understand not only the role of thyroid hormones and environmental thyroid disruptors on evolution, development, and organization of the cerebral cortex in mammals but also their role in neurological diseases associated to thyroid dysfunction.

**Keywords:** evo-devo, cortical development, autism, thyroid hormones, hypothyroidism

## INTRODUCTION

Evolutionary developmental biology (evo-devo) studies the developmental processes of different organisms to determine the ancestral relationships between them and to discover how developmental processes evolved. It addresses the origin and evolution of embryonic development and the modifications of developmental process that produced novel features (Wikipedia, accessed August 2014). Evo-devo teaches us that some fundamental developmental processes are preserved by the evolution among species (1). The evo-devo approach is not only becoming crucial for the modern study of evolution but also it helps in the understanding of morphofunctional alterations in human psychiatric diseases. For instance, autism spectrum disorders (ASD) show abnormal function of cortical areas, such as the frontal or associative neocortices that are minimally present in rodents (2, 3). An approach to the etiologic factors of psychiatric diseases can be inferred by the study of homologous genetic pathways that lead to similar developmental processes in both humans and other mammals. A second issue is that several psychiatric diseases, including ASD, show a wide spectrum of different phenotypes, which are the result of both genetic (nature) and environmental (nurture) factors (4); including among the latter the interaction

of comorbid disorders such as hypothyroidism and hypothyroxinemia (5). We begin this review with a summary of thyroid hormone synthesis, transport, and cell actions, which are regulated by a very complex assembly of transporters, deiodinases, receptors, and cofactors. As such, tissues have some control over thyroid hormone action, independent of circulating levels of thyroid hormones. We continue with the analysis of the role of thyroid hormones at different phases of brain development and maturation, focusing our attention on vulnerable periods. These periods occur during gestation and lactation when genetic and environmental factors, which include nutrients and chemical contaminants, interfere with maternal and offspring thyroid health. There is evidence that anatomical characteristics of autistic brains represent defects in processes that occur early in development, in the first half of gestation. Moreover, genomic studies have revealed a catalog of critical genes for these processes that are regulated by thyroid hormones. Finally, recent studies have reported that thyroid hormone deficiency might contribute to increase the number of autism phenotypes, and that disorders associated with hypothyroidism and hypothyroxinemia, such as intellectual impairment, seizures, and anxiety, are comorbid of ASD.

## THYROID FUNCTION DURING BRAIN DEVELOPMENT

Thyroid hormones (T<sub>4</sub>, thyroxine; and T<sub>3</sub>, 3,5,3'-triiodo-L-thyronine) are synthesized in the thyroid gland and are transported to different tissues and organs where they regulate growth, maturation, and function in many organs and systems of vertebrates. In particular, the mammalian central nervous system (CNS) is an important target of thyroid hormones from fetus to adult. However, the maximal vulnerability of the CNS to thyroid hormone imbalance occurs during the earliest stages of brain development (6–15).

In target cells, thyroid hormones can exert their action at three levels: nuclear and mitochondrial (genomic) and non-genomic (16). Genomic actions include (1) thyroid hormone cell membrane transport, (2) thyroid hormone metabolism (involving its activation/degradation), and (3) binding to nuclear thyroid hormone receptors (TRs, also known as THR<sub>s</sub>), which are ligand-regulated transcription factors (17–25).

### THYROID HORMONE CELL MEMBRANE TRANSPORT

Thyroid hormone cell membrane transport is mediated by four families of transporters: the Na<sup>+</sup>/taurocholate cotransporting polypeptide (NTCP), the organic anion transporting polypeptide (OATP), monocarboxylate transporter (MCT), and the heterodimeric amino acid transporter (HAT) (26). From these, Oatp14, Mct8, Mct10, Lat1, and Lat2 have been found to be expressed in the brain (20, 26–33).

### THYROID HORMONE METABOLISM (ACTIVATION/DEGRADATION)

Three selenoproteins catalyzing the deiodination of T<sub>4</sub> (thyroxine) and T<sub>3</sub> (the active form for the genomic action) have been identified: type 1 (D1), type 2 (D2), and type 3 (D3) iodothyronine deiodinases. Only D2 and D3 have been found expressed in the CNS. D2 has been found in the astrocytes and tanycytes [special ependymal cells, Ref. (34)] and mediates the local generation of T<sub>3</sub>. D3 mediates the degradation of T<sub>3</sub> to T<sub>2</sub> (diiodothyronine, 3,5-diiodo-L-thyronine) and T<sub>4</sub> to rT<sub>3</sub> (35–37). In addition to deiodination, iodothyronines are also metabolized by conjugation of the phenolic hydroxyl group with sulfate or glucuronic acid (38).

### THYROID HORMONE NUCLEAR RECEPTORS

In the CNS, there are three nuclear TR isoforms with high-affinity to T<sub>3</sub>: TR $\alpha$ 1 (codified by the *THRA* gene), TR $\beta$ 1, and TR $\beta$ 2 (codified by the *THRB* gene) (17, 20, 39, 40). TR $\alpha$ 1 is the most ubiquitous; it has been detected in the rat brain by embryonic day 12 (E12) and in the human brain by the 10th week of gestation (41–43), regulating the expression of genes involved in the development and maturation of the brain (44), while TR $\beta$ 1 is mostly expressed in the adult. In addition, N-terminal truncated TR $\alpha$ 1 (also known as p43) can serve as a T<sub>3</sub>-dependent transcription factor that initiates global mitochondrial transcription (16, 45, 46).

Recent studies have shown that thyroid hormone signaling is more diverse and complex than initially concluded. For instance, apart from the canonic role of thyroid hormones mentioned above, novel THR<sub>s</sub> synthetic ligands might also modulate TR<sub>s</sub> action, and intra and extracellular signals can affect cell sensitivity to T<sub>3</sub> influencing TR<sub>s</sub> gene expression, TR<sub>s</sub> translation and its

transport into the nucleus, and the recruitment of co-activators/-inhibitors (21, 24, 47). Furthermore, thyroid hormones can show non-genomic actions by binding to cell surface or cytoplasmic receptors and by interacting with other signaling pathways (16, 21, 48).

In rodents and humans, almost all T<sub>3</sub> found in the fetal cerebral cortex is generated through local deiodination of circulating maternal T<sub>4</sub> (13, 49, 50). The fetal dependence on maternal T<sub>4</sub> is due (i) to the late development of the fetal thyroid gland (in rodents thyroid function begins by E17–18 and in humans by the 18–20 gestational week) and (ii) to the increased activity of D2 and D3 deiodinases in placenta and fetal tissues (13, 35, 51, 52). As a consequence of the increased activity of deiodinases in the fetus, serum T<sub>3</sub> levels are maintained low and the local generation of cerebral T<sub>3</sub> from T<sub>4</sub> is enhanced (13, 50). To respond to this requirement, there is an estrogen-dependent increase of maternal thyroid function that transiently induces an increase of (i) circulating thyroxine-binding globulin, affecting the T<sub>4</sub> extra-thyroidal pool, and of (ii) human chorionic gonadotropin, transiently stimulating thyrocytes (53). This increased maternal thyroid function consequently needs increased iodine intake.

## NUTRITIONAL AND ENVIRONMENTAL FACTORS AFFECTING THYROID FUNCTION

Several factors can affect thyroid function during gestation and early postnatal development, including genetic mutations, infections, nutrients, and environmental contaminants. Iodine deficiency from inadequate alimentary habits is the most common cause of maternal and fetal thyroid dysfunction (54–57). In addition, selenium (a component of deiodinases), iron (a component of the prosthetic heme group associated to the thyroperoxidase), and other micronutrients are required for an adequate life-long thyroid function, especially during development and adolescence (58). Moreover, environmental anti-thyroid contaminants are acquiring increased importance (55, 59–67).

### THYROID FUNCTION-DISRUPTING CHEMICALS FROM ENVIRONMENTAL CONTAMINANTS

A thyroid function-disrupting chemical is an exogenous chemical, or mixture of chemicals, that can interfere with any aspect of hormone action (67). The mechanisms of action of disrupting chemicals on thyroid function are not fully understood; some may reduce serum T<sub>4</sub> without increasing serum TSH while others may interfere with thyroid hormone action at sites other than the thyroid gland without altering serum TSH levels (21, 67). Howdeshell (59) listed synthetic chemicals that interfere with thyroid hormone synthesis, transport, and metabolism. Some are quite specific such as perchlorate salts that block the sodium/iodide symporter (68), but the majority affects several phases of thyroid hormone action. Some thyroid disruptors are consumed in the diet (5, 63); for instance, plant isoflavonoids such as genistein and daidzein from soy inhibit thyroperoxidase that catalyzes iodination and thyroid hormone biosynthesis; thiocyanate from cassava not only blocks iodine uptake by thyroid and mammary glands but also interferes with thyroid peroxidase. Organochlorides (including mostly DDT and its derivative: *p,p'*-DDE, dichlorodiphenyl dichloroethylene; HCB, hexachlorobenzene; PBB, polybrominated biphenyls;



and PCB, polychlorinated biphenyls) interfere with thyroid function acting upon iodine uptake, thyroid peroxidase action, thyroid hormone binding proteins, and thyroid hormone metabolism, resulting in a wide spectrum of thyroid-related syndromes (59, 69). The increased use of nanoparticles in several industrial, consumer, and medical applications has revealed their unique physico-chemical properties. However, *in vitro* and *in vivo* studies have shown that they may have toxic effects on the endocrine system (70). It has been found that Ag-nanoparticles and cadmium telluride-quantum dots alone induced a reduction in the expression TR $\beta$  (71).

## IODINE DEFICIENCY DISORDERS AND NEURODEVELOPMENTAL DAMAGE

As mentioned before, during gestation, the mother must produce sufficient amounts of thyroid hormones (fundamentally T<sub>4</sub>) for herself and her fetus. Iodine intake is the principal source of circulating inorganic iodine; therefore, sufficient iodine is critical for the thyroid gland to produce adequate amounts of thyroid hormones (9, 13, 53, 57, 72–77). The fetus also depends on the mother for its iodine supply, as does the neonatal thyroid during lactation (78). To achieve this, expecting mothers need to double the recommended normal daily intake of iodine for non-pregnant women by 250–300  $\mu$ g/day (79).

Useful food strategies developed to increase iodine intake in iodine-deficient areas include (i) use of iodinated salt in the household, (ii) incorporation of iodine to industrially elaborated foods (i.e., bread, milk, and cheese), and (iii) dietary diversification (i.e., consuming food from iodine-sufficient areas and seafood). Despite these strategies, inadequate iodine intake actually affects a large number of women during pregnancy and lactation, and this situation currently persists even in countries classified as free of iodine deficiency where iodized salt consumption has been promoted for years (79–84).

Iodine deficiency is one of the most frequent causes worldwide of preventable mental retardation in children (85). A wide spectrum of iodine deficiency disorders has been described during gestation and the early postnatal period (<3 years of age), ranging from abortion, stillbirths, congenital anomalies, deafness, cretinism, neurocognitive delay, epilepsy, schizophrenia, ASD, as well as attention deficit hyperactive disorder (ADHD), among others (3, 8, 63, 72, 86–94). In children, the severity of the neurodevelopmental damage caused by iodine deficiency during gestation depends on several factors: (i) the developmental period affected, (ii) its severity, (iii) the deficiency of other nutrients such as selenium and iron, and (iv) the interaction with thyroid function disruptors (9, 10, 14, 57, 58). Epidemiological studies performed in several countries have shown that hypothyroxinemia due to mild iodine deficiency during gestation causes neurological alterations, including low IQ in children (8, 87, 88, 95–99). As mentioned above, iodine deficiency in conjunction to the deficiency of other nutrients and the interaction with thyroid function disruptors will cause a wide spectrum of syndromes associated to thyroid pathologies. In countries with severe iodine and selenium deficiencies, a high incidence of Kashin–Beck osteoarthropathy associated with cretinism has been observed (100). The incidence of myxedematous cretinism increases in countries where severe

iodine and selenium deficiency is associated with high intake of thyroid disruptors found in foodstuffs such as cassava, which contain thiocyanate (5, 101). The study of the alterations resulting from nutritional deficiencies in combination with thyroid function disruptors should contribute to our understanding of the multiple syndromes observed in thyroid diseases.

## CRITICAL ISSUES OF CEREBRAL CORTEX DEVELOPMENT

For ethical reasons, the role of thyroid hormones on brain development is currently studied using animal models, usually mice and rats. However, although there are basic common developmental principles regulating brain development between mammals, there are also important differences. For instance, the understanding of how different types of neocortex evolved depends on determining not only the numbers and types of cortical areas that exist but also how the internal organization of those areas was modified in the various lines of evolution, including modifications in columnar organization (102). Sexual dimorphism among species also plays an important role, particularly in humans, while in rodents little is known about sex differences between cerebral hemispheres (103). Changes in the organization and size of neocortex also are reflected in the size of cortico-cortical and subcortical projections, in turn affecting target areas (104). Thus, increasing our evo-devo knowledge on neocortical evolution among species (2) will help us to understand not only the role of thyroid hormones and environmental thyroid disruptors on the development, organization, and evolution of the cerebral cortex in mammals (105), but also their role in human associated diseases. The evo-devo considers crucial for the evolution that homologous developmental gene networks are shared among species (1), and it emerges from the relationship between developmental biology and evolution, which in turn are dynamically coupled (106). For instance, basic gene networks involved in symmetric divisions of ventricular neuroblasts during cerebral corticogenesis are common in rodents and humans, while humans evolved by increasing the number of symmetrical divisions, which results in an increased number of cortical columns and therefore an increased cortical surface [see figure 2A by Rakic (2)]. Apart from homology, convergence can also bring solutions for common functional problems. However, little is known on how functional needs have selected different functional networks to generate a similar function between different species, such as the wings of birds and bats (1). Genetic (nature) and environmental (nurture) factors cooperate along time, resulting in differentiation (4). Psychiatric diseases, such as ASD, occur in cerebral areas (e.g., frontal and associative) that are not present in rodents; however, many homologous functional networks, like those involved in radial migration, have been preserved. Furthermore, ASD show a wide spectrum of different phenotypes, resulting in different degrees of morphofunctional alterations and in the concurrence of different comorbid disorders (107). Thyroid hormone deficiency increases comorbidity and the risk of developing ASD (3). For instance, thyroid hormone deficiency during neocortogenesis results in abnormal development of cortical gamma-aminobutyric acid (GABA)-ergic neurons, which cause altered columnar function in the cerebral cortex and ASD comorbid seizures (108).

The cerebral cortex in all mammalian species, including humans, differs from the development of other organs of the body and even from the rest of the brain. It is a three-dimensional sheet of layers, parallel to the pial surface, mostly composed of projection (or glutamatergic pyramidal) and local neuronal circuits (or glutamatergic and GABAergic interneurons) organized in vertical (or radial) columns that are stereotypically interconnected and share extrinsic connectivity in order to achieve their functions (109). During telencephalic corticogenesis in mammals, including humans, layer I and subplate (deriving from the superficial primordial plexiform layer) are the first cortical layers to appear (110). Subsequently, young cortical neurons begin to migrate radially from the ventricular zone into the superficial cortical plate, adjacent to layer I, following an “inside-out” gradient (111). While in rodents, the neurogenesis of layer I is arrested when radial migration begins, in primates neurogenesis continues during all the periods of corticogenesis (112). Neurons migrate radially to the increasingly distant cortex following the scaffolding of a transient population of radial glial cells (113), in which many signaling pathways – such as *reelin*, metabolic functions, and gene expression must be involved (114). This phase of corticogenesis is of capital importance because an evo-devo approach of neocortical development and evolution can be explained by the radial unit hypothesis proposed by Rakic (115). As reported by Rakic (2) and mentioned above, increased number of symmetrical divisions will increase the number of functional columns, resulting in increased tangential cortical surface, while that of asymmetrical ones will increase the number of cells per column, resulting in increased cortical thickness [see also figure 2A by Rakic (2)]. The final number of these divisions will depend of apoptotic, anti-apoptotic, or inhibitory factors, and will give rise to either the small lissencephalic cerebrum of rodents or to the larger convoluted cerebrum of humans, as well as to the emergence of new functional areas, such as the prefrontal cortex and associative perisylvian areas (2). The graded expression of transcription factors such as *Emx2*, *Pax6*, *Coup-Tf1*, and *Sp8* are implicated in the arealization of the neocortex (116, 117). Deletion or overexpression of these factors results in changes in gene expression, contractions, and expansions in the sizes of cortical fields, and altered patterns of connectivity from the dorsal thalamus (117). *Emx2* and *Fgf* genes share reciprocal functions in regulating cortical patterning; in the frontal cortex, this is accomplished at least in part through controlling the levels of *Erm*, *Er81*, *Pea3*, and *Sp8* expression (118, 119). These results support the protomap model (115, 120, 121) because neurons are committed to their areal position at the time of their last cell division (the asymmetrical one) in the proliferative zones in the absence of thalamic afferent inputs, although individual cortical areas may be selectively changed in size during the course of evolution by altered expression signals of their downstream transcription factor signaling mechanisms, as mentioned above (2). In addition, changes in gene expression extrinsic to the neocortex in response to physical stimuli in a particular environmental context might play a crucial role in the formation of domains and areas in the neocortex (117, 122, 123).

In rodents, radially migrating neurons comprise about 80% of the total cortical neurons and will become glutamatergic neurons. The remaining 20% of the cortical neurons migrate

tangentially (i.e., parallel to the pial surface) from the ganglionic eminences to their target area and will become local circuit neurons, mostly GABAergic neurons (124–126). In humans, differently from rodents, a subset of neocortical GABAergic neurons [*Mash1*-positive; a marker for precursors of glutamic acid decarboxylase (GAD)-expressing cells] originates in the ventricular/subventricular zones of the dorsal telencephalon as a distinct neuronal stem cell lineage [Ref. (127, 128); see figure 5 by Rakic (2)]. The identification of the telencephalic origin of local circuit neurons in cerebral cortex of mammals is of capital importance to understand mechanisms operating during primate brain evolution (2, 129, 130) and the pathogenesis of congenital and acquired neurological disorders, such as ASD, related to defects of separate classes of local circuit neurons (131, 132).

In rats, the bulk of neocortical radial migration starts by embryonic day 13 (E13), while the last cohort of cells leaves the ventricular zone by E20 (133). During this process of radial and horizontal migrations, the subplate neurons attract “waiting” afferents from ipsilateral and contralateral cortical areas (including associative and commissural connections), and subcortical connections [including thalamic, nucleus basalis, and monoamine connections (2), see also the figure 2B of this reference]. At the end of this process, neurons and glial cells grow and differentiate, including the loss of juvenile transient connections, to express their mature phenotype, which also contributes to the radial and tangential expansion of the cortex (134). In humans, neocortical development occurs between the 6th and 24th week of gestation (110, 135). The main waves of radial migration in the human neocortex occur during the first half of gestation, with peaks at 11 and 14 weeks of gestational age (110, 135), and mostly before onset of fetal thyroid hormone secretion by the 18th week of gestation (136). This roughly corresponds to waves of cell migration studied in rats (10), which also occur before onset of fetal thyroid hormone secretion, by E17.5–18 (136). Despite the longer development and maturation of the CNS in humans compared with rats, similarities may be established when the onset of fetal thyroid gland secretion is taken as the reference point. However, when comparing the rodent lissencephalic and the primate convoluted mature neocortex, the major differences are found in the tangential rather than in the radial expansion [see figure 1 by Rakic (2)].

## EXPERIMENTAL MODELS TO STUDY CORTICAL ALTERATIONS CAUSED BY THYROID HORMONE DEFICIENCY

Several experimental models have been developed to study alterations in the CNS caused by thyroid hormone deficiency. These models can be grouped into (i) genetic mutants, (ii) surgically induced hypothyroidism, (iii) metabolite deficient diets, and (iv) thyroid function disruptor models.

Several genetic models were developed during the last decades to study different forms of developmental and postnatal hypothyroidism, such as congenital hypothyroidism (137). Genetic models can be classified into two main groups: (1) mutations affecting thyroid gland development and function, and (2) mutations affecting thyroid hormone sensitivity, which includes thyroid hormone cell membrane transport, metabolism, and action (25). The first group includes mutations of the TSH receptor (*hyt*<sup>-/-</sup> mice) (138) and agenesis or functional impairment of thyrocytes



(*TTF1*<sup>-/-</sup>, *TTF2*<sup>-/-</sup>, and *Pax8*<sup>-/-</sup> mice) (139). The second group includes thyroid hormone transporters mutants such as *Mct8*<sup>-/-</sup> (140, 141), *Mct8*<sup>-/-</sup> (142), and *Lat2*<sup>-/-</sup> (143). These mutant mice have provided new data to understand thyroid hormone transport in the cell membrane and clarified the physiopathology of the Allan–Herndon–Dudley syndrome, which is caused by MCT8 defect (141, 144–146). Thyroid hormone metabolism in the brain has been studied using different mutant mice affecting D2 and D3 expression (*Dio2*<sup>-/-</sup>, *Dio3*<sup>-/-</sup>, and *Dio2*<sup>-/-</sup>*Mct8*<sup>-/-</sup> mice) (147–149). Important genes associated to cortical development are affected in Dio mutants. In particular, the neuronal genes *Gls2* (glutaminase 2), *Nefh* and *Nefm* (heavy and medium neurofilament polypeptide), *Sema7a* (semaphorin 7A), *Shh* (sonic hedgehog), *Col6a1* and *Col6a2* (type VI  $\alpha 1$  and  $\alpha 2$  collagen), as well as *Slc1a3* (glial high-affinity glutamate transporter) and *Itga7* (integrin  $\alpha 7$ ), among others, found in glial cells (148). Mutations of the *TR* gene include *TR $\alpha$* <sup>-/-</sup>, *TR $\beta$* <sup>-/-</sup>, and *TR $\alpha$* <sup>-/-</sup>*TR $\beta$* <sup>-/-</sup> mice, as well as *TR $\alpha$*  and *TR $\beta$*  knock-in mutations (23, 150). Mutations of *TR $\beta$*  gene are associated to the Refetoff syndrome (151, 152). A classification of these mutations and their associated syndromes of impaired sensitivity to thyroid hormone has been recently published (25).

The most common models are based on the administration of anti-thyroid drugs interfering either with the thyrocytes iodine uptake by inhibiting the sodium/iodine symporter (e.g., potassium perchlorate and thiocyanate) or with the iodination of thyroglobulin by thionamide and thiourylene drugs such as propylthiouracil (PTU) and methimazole (MMI) (153–155). In addition, PTU (and less MMI) partially inhibits iodothyronine deiodinases affecting the peripheral deiodination of T4 (154, 156). Anti-thyroid treatments result in maternal, fetal, and neonate hypothyroidism of greater or lesser severity (157). MMI treatment was also used experimentally to induce mild and transient maternal hypothyroxinemia at the onset (E12) of fetal neocortico-genesis (158, 159). Models for iodine deficiency during gestation include monkeys (160), sheep (161), and rats (162, 163). These studies have shown changes in the cerebellum with reduction in weight and cell number, and delayed maturation. The influence of iodine deficiency on neocortical development has been studied in rats that are fed a low iodine diet during pregnancy (163–166).

Alternatively, surgical thyroidectomy can be used to induce hypothyroidism (167, 168), when performed in pregnant dams it causes maternal but neither fetal nor neonate hypothyroidism. Recently, late maternal hypothyroidism (LMH) during gestation has been used as a model to study the role of maternal thyroid hormones from the onset of fetal thyroid function (169).

## ALTERATIONS IN CORTICAL DEVELOPMENT CAUSED BY THYROID HORMONE DEFICIENCIES

### GENES REGULATED BY THYROID HORMONES INVOLVED IN BRAIN DEVELOPMENT

Fundamental genes involved in brain development are regulated by thyroid hormones. The irreversibility and importance of damage will depend on when, where, and how the alterations of gene expression occur (10, 20). Early studies showed that maternal thyroid hormones regulate gene expression in fetal development modulating the expression of *NSP* and *Oct-1* genes; T4 injections

produced rapid, transient, and selective effects on gene expression in the fetal brain (170). Additional genes regulated by maternal thyroid hormones included *Nrgn* (neurogranin, also known as RC3), found to be significantly decreased (171), as well as reelin, apolipoprotein E receptor 2 (ApoER2; a reelin receptor involved in the migration young neocortical neurons), very-low-density lipoprotein receptor (VLDLR; a reelin receptor that mediates the stop signal), integrin genes, and genes involved in the downstream phosphorylation of Dab1 (very-low-density lipoprotein receptor 1) (172, 173). cDNA microarray studies have shown a number of genes to be transcriptionally or functionally modulated by T3; most of these are involved in cell division, migration, growth, connectivity, and function of neural cells. Using rat pituitary GC cell line, Miller et al. (174) showed that 358 out of 4,400 genes were regulated by T3; and, in a recent study, Morte et al. (44) found 552 out of 14,209 genes regulated by fetal and maternal thyroid hormones at the end of gestation in rats. The function of some of these genes is unknown but most of them are involved in the regulation of key pathways for the development of the cerebral cortex in rodents and humans. **Tables 1–6** list some of the most relevant T3-regulated genes at the transcriptional level. Among those of relevant importance for the development of cortical connections are *Nefh*, *Nefl*, and *Nefm* (coding neurofilament proteins); *Slit1*, *Slit2*, *Nos1*, *Camk4*, and *Creb1* (involved in bifurcation and growth of neural processes); *Sema3B*, *Slit1*, and *Slit2* (guiding axons); and *Slc17a7* (coding vesicular glutamate transporter 1; VGluT1). T3 action on the regulation of the *Camk4*/*Creb* pathway and downstream targets (175) in neurons of the CNS is highly relevant since *Camk4* has not been found expressed in glial cells (169, 176, 177). *Camk4* is directly induced by T3 at the transcriptional level (44), and phosphorylates *Creb*. Many of the genes under thyroid hormone control contain *Creb* binding sites in their promoter region (149). On the other hand, *Camk4* regulates the transcriptional activity of the *TR*, which might be due to direct phosphorylation of co-activators or by changing the equilibrium between the co-activators and the silencing mediator for retinoid and thyroid hormone receptors (SMRT) (178, 179). *Camk4*/*Creb* pathway and downstream targets are involved in processes such as neurogenesis, biosynthesis, and assembly of cytoskeleton, cell movement and migration, neurite development and maturation, synaptic plasticity, and neurotransmission (44, 180). In humans, *Camk4*/*Creb* pathway is involved in psychiatric disorders (181–183). There is a strong evidence for the action of *Camk4*/*Creb* pathway in the expression of *FMR1* gene, encoding fragile X mental retardation protein (FMRP) (184, 185). Lack of FMRP causes fragile X syndrome, which is the most common cause of inherited mental retardation and ASD (186, 187). In addition, brain-derived neurotrophic factor (BDNF)/Erk signaling modulates FMRP function, affecting neuronal proliferation and differentiation in the cerebral cortex [Ref. (188, 189); **Table 5**].

### ALTERED NEUROGENESIS AND MIGRATION DURING CORTICOGENESIS

Indirect observations based on the cell density estimates and brain size measurements suggested a reduced number of cells in the neocortex of developmentally hypothyroid rats (190). Neural progenitors in the ventricular zone of mouse telencephalon express *TR $\alpha 1$* , *Mct8* transporters, and deiodinases, and maternal hypothyroidism

**Table 1 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in cell division and differentiation: relationship with ASD.**

Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<i>ADCYAP1R1</i>	Adenylate cyclase-activating polypeptide receptor (PAC1)	Signaling pathway	Decreased second messenger
<i>CASP3</i>	Caspase 3	Protease	Apoptosis. Alzheimer's disease
<i>CCND1</i>	G1/S-specific cyclin-D1	Interact with tumor suppressor protein Rb	Abnormal cell cycle G1/S transition
<i>CNN1</i>	Calporin (actin binding protein; fimbrin type)	Actin associated protein	Abnormal cohesion between parental centrioles
<b><i>CREB1</i></b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b><i>CREM</i></b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<b><i>CTNNB1</i></b>	β-catenin	Regulates the coordination of cell–cell adhesion and gene transcription	Altered asymmetric cell division, epithelial-to-mesenchymal transition. ASD
<b><i>DYRK1A</i></b>	Dual specificity tyrosine-phosphorylation-regulated kinase 1A	Nuclear signaling	Abnormal cell proliferation and may be involved in brain development. ASD
<b><i>GNB1L</i></b>	Guanine nucleotide-binding protein subunit β-like protein 1	Six WD40 repeat-containing protein	Abnormal cell cycle progression. Schizophrenia. ASD
<b><i>FLT1</i></b>	Vascular endothelial growth factor receptor 1	Protein kinase	Abnormal control of cell proliferation and differentiation. ASD
<i>HIST1H1T</i>	Histone H1t	Compaction of chromatin	Abnormal cell cycle and differentiation
<i>HSD11B2</i>	Corticosteroid 11-β-dehydrogenase isozyme 2	Hydrolysis of cortisol	Cortisol induction of growth-inhibition and/or pro-apoptosis embryonic development
<b><i>MAPK1</i></b>	Mitogen-activated protein kinase 1 (ERK2)	CREB1 phosphorylation signaling pathway	ASD
<i>RGS3</i>	Regulator of G-protein signaling 3	Ephrin-B signaling pathway	Early cell cycle exit and precocious differentiation

<sup>a</sup> *Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.*

reduces the cell cycle length of these progenitors (191). Since in hypothyroid fetuses the bulk of the neocortical BrdU-labeling occurs between E12 and E19 as in control rats (192), the data by Mohan et al. (191) clearly indicated that the total neuronal progenitor number is reduced in the cerebral cortex. Using <sup>3</sup>H-thymidine labeling, a significant reduction was observed in cell acquisition in the granular layer of the hippocampal dentate gyrus in postnatal PTU treated pups (193). These authors also observed that the radial migration of newly generated hippocampal granular cells could be arrested and that the decreased number of labeled cells in the granular layer might result from deficient migration rather than decreased mitotic activity. Several genes involved in cell cycle regulation during neurogenesis have been found to be regulated by T3 [Ref. (44); **Table 1**]. *CCND1* (G1/S-specific cyclin-D1) is downregulated by T3, resulting in an abnormal cell cycle progression (194). In addition, T3-regulated regulator of G-protein signaling 3 (*RGS3*) plays a key role in ephrin-B signaling, controlling cell cycle exit, and differentiation of neural progenitors (195), and dual specificity tyrosine-phosphorylation-regulated kinase 1A (*DYRK1A*) is involved in the control of cell proliferation in ASD,

causing arrested brain growth (196). Other T3-regulated genes such as *CNN1* (calporin), which is an actin associated protein, also exerts a control of cell cycle during neurogenesis (197). Recently, it has been found that developmental mild and severe hypothyroxinemia and MMI-induced hypothyroidism alters *Shh* signaling pathway in the cerebellar granule cell precursors, resulting in downregulation of D1 and D2 cyclins, of E2F1 expression, and in reduced cell proliferation (198). However, it still remains unclear to what extent thyroid hormones affect symmetrical and asymmetrical divisions of neocortical progenitor cells. Studies on the mouse barrel cortex (192) suggest that both symmetrical and asymmetrical divisions are altered in hypothyroid rats, because the tangential area of the posteromedial barrel subfield stained with cytochrome oxidase (resulting from symmetrical divisions) and the thickness of the barrel cortex (resulting from asymmetrical divisions) are reduced by 27 (**Figures 1A–D**) and 12.5% (**Figure 1E**), respectively, in hypothyroid rats. Nevertheless, from these data we could argue that most likely the symmetrical divisions are comparatively more affected in hypothyroid rats. The reduced thickness of the cortex in hypothyroid rats could be explained by a reduction

**Table 2 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in cytoskeleton organization and cell migration: relationship with ASD.**

Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<i>APOER2</i>	Apolipoprotein E receptor 2 (Lrp8)	Reelin signaling pathway	Alzheimer, major depressive disorder
<i>CALR</i>	Calreticulin	Endoplasmic reticulum calcium-binding protein	Abnormal calcium storage in the hippocampus. Alzheimer's disease
<b><i>CREB1</i></b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b><i>CREM</i></b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<i>CTSS</i>	Cathepsin S	Protease	Abnormal microglial function
<b><i>DAB1</i></b>	Disabled-1	Reelin signaling pathway	Abnormal migration. Alzheimer's disease, temporal lobe epilepsy. ASD
<i>DYNLL1</i>	Dynein light chain 1, cytoplasmic	Microtubule associated protein	Abnormal intracellular transport and motility
<i>FMOD</i>	Fibromodulin	Proteoglycan that sequesters TGF- $\beta$ into the extracellular matrix	Abnormal regulation of proliferation and differentiation of hippocampal granule neurons
<b><i>FN1</i></b>	Fibronectin	Extracellular matrix protein	Abnormal cell adhesion, growth, migration, and differentiation. ASD
<b><i>GNAS</i></b>	G-protein $\alpha$ subunit (Gs- $\alpha$ )	Signaling pathway	ASD. ADHD
<i>HSPD1</i>	Chaperonin (HSP60)	Chaperone	Prevent traumatic brain injury
<b><i>MAPK1</i></b>	Mitogen-activated protein kinase 1 (ERK2)	CREB phosphorylation signaling pathway	ASD
<b><i>NEFH, NEFM, NEFL</i></b>	Neurofilament protein (heavy, medium, and light)	Intermediate filaments	Abnormal neuronal cytoskeleton. ASD
<i>NOV</i>	Nephroblastoma overexpressed	Extracellular matrix protein that binds to integrin receptors	Abnormal cell adhesion, migration, proliferation, differentiation, and survival
<i>OPCML</i>	Opioid-binding protein/cell adhesion molecule	Cell adhesion molecule	Abnormal proliferation and growth of cortical astrocytes
<b><i>PAFAH1B1</i></b>	Platelet-activating factor acetylhydrolase IB subunit $\alpha$ (Lis1)	Interact with dynein and VLDLR	Lissencephaly. ASD
<b><i>RELN</i></b>	Reelin	Extracellular matrix protein	Abnormal migration. Alzheimer's disease, temporal lobe epilepsy. ASD
<b><i>SERPINH1</i></b>	Heat shock protein 47	Chaperone	Abnormal collagen binding. ASD
<i>SLIT1, SLIT2</i>	Slit homolog 1 and 2 proteins	Extracellular matrix protein. Chemorepulsive signal	Abnormal axon guidance. Abnormal angiogenesis
<i>TGFB2</i>	Transforming growth factor- $\beta$ 2	Extracellular matrix protein	Abnormal regulation of proliferation and differentiation of hippocampal granule neurons
<i>TPM1</i>	Tropomyosin $\alpha$ -1 chain	Actin associated protein	Abnormal neuronal cytoskeleton
<b><i>VLDLR</i></b>	Very-low-density-lipoprotein receptor	Reelin signaling pathway	Abnormal migration. Alzheimer's disease, temporal lobe epilepsy. ASD

<sup>a</sup> *Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.*



**Table 3 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in neurite growth, guidance, branching, and maturation: relationship with ASD.**

Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<b>ANK3</b>	Ankyrin-3	Cytosol protein that interacts with voltage-gated sodium channels and cytoskeletal proteins	Abnormal clustering of voltage-gated sodium channels at the axon hillock and node of Ranvier abnormal action potential firing. ASD
<b>ARX</b>	Aristaless-related homeobox	Transcription factor	X-linked intellectual disability, epilepsy, lissencephaly, agenesis of the corpus callosum. ASD
<b>BDNF</b>	Brain-derived neurotrophic factor	Extracellular signal	Abnormal synaptic structure, function, and plasticity. Fragile X syndrome. ASD
<b>CAMK4</b>	Calcium/calmodulin-dependent protein kinase type IV	CREB phosphorylation signaling pathway	ASD
<i>CHN1</i>	Chimerin 1 (GTPase-activating protein)	Signal transduction	Abnormal axon pruning
<b>CNTN4</b>	Contactin-4	Cell adhesion molecule	Abnormal connectivity in the developing nervous system. ASD
<b>CREB1</b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b>CREM</b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<b>FLT1</b>	Vascular endothelial growth factor receptor 1	Protein kinase. Signal transduction	Abnormal control of cell proliferation and differentiation. ASD
<b>FN1</b>	Fibronectin	Extracellular matrix protein	Abnormal cell adhesion, growth, migration, and differentiation. ASD
<i>HAP1</i>	Huntingtin-associated protein 1	Interacts with huntingtin and cytoskeletal proteins	Abnormal vesicular trafficking and organelle transport
<i>KLF9</i>	Kruppel-like factor 9	Transcription factor	Altered development of neurons
<b>MAPK1</b>	Mitogen-activated protein kinase 1 (ERK2)	CREB phosphorylation signaling pathway	ASD
<b>NEFH, NEFM, NEFL</b>	Neurofilament protein (heavy, medium, and light chains)	Intermediate filaments	Abnormal neuronal cytoskeleton. ASD
<b>NOS1</b>	Nitric oxide synthase 1	Neurotransmitter, signaling pathway	Abnormal signaling pathway. Neuroglial inflammation. ASD
<i>PLXNA2,3</i>	Plexin-A2	Semaphorin co-receptor	Abnormal axon guidance. Schizophrenia, anxiety
<i>SEMA3B</i>	Semaphorin-3B	Signal transduction	Abnormal axon guidance
<i>SLIT1, SLIT2</i>	Slit homolog 1 and 2 proteins	Extracellular matrix protein. Chemorepulsive signal	Abnormal axon guidance. Abnormal angiogenesis
<i>TGFB2</i>	Transforming growth factor- $\beta$ 2	Extracellular signaling protein	Abnormal regulation of proliferation and differentiation of hippocampal granule neurons

<sup>a</sup> *Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.*

of the columnar neuropile more than by a reduction in the cellular components of the columns. T3-regulated *CASP3* (caspase 3) and *CTNNB1* ( $\beta$ -catenin) genes are crucial for cerebral cortex expansion (Table 1). Experimental studies using caspase 3 and 9 KO mice (lacking apoptotic signals) (2, 199) and transgenic mice expressing  $\beta$ -catenin (which increases the number of precursor

cells) lead to an abnormally convoluted mouse cortex (200). Caspase 3 pathway is downregulated in the cerebral and cerebellar cortices of hypothyroxinemic and hypothyroid rats (201, 202), while  $\beta$ -catenin is T3-downregulated in rat pituitary cultured cells (174). These data show that thyroid hormone deficiency alters the tangential and radial organization of the cortex and might have

**Table 4 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in synaptogenesis and plasticity: relationship with ASD.**

Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<i>ANXA6</i>	Annexin A6	Calcium-binding protein	Abnormal vesicle aggregation and fusion in the hippocampal neuron's axon initial segment
<b><i>ATP2B2</i></b>	Ca <sup>(2+)</sup> -ATPase	Plasma membrane calcium-ATPase	Abnormal translocation of calcium to the endoplasmic reticulum in hippocampal neurons. ASD
<b><i>BDNF</i></b>	Brain-derived neurotrophic factor	Synaptic structure, function, and plasticity. fragile X syndrome autism	Abnormal synaptic structure, function, and plasticity. Fragile X syndrome. ASD
<b><i>CAMK4</i></b>	Calcium/calmodulin-dependent protein kinase type IV	CREB phosphorylation signaling pathway	ASD
<b><i>CNTN4</i></b>	Contactin-4	Cell adhesion molecule	Abnormal connectivity in the developing nervous system. ASD
<b><i>CREB1</i></b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b><i>CREM</i></b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<i>EXOC7</i>	Exocyst complex component 7	Rho3 signaling	Abnormal cell polarity, regulation of actin polarity and transport of exocytic vesicles
<i>HAP1</i>	Huntingtin-associated protein 1	Interacts with huntingtin and cytoskeletal proteins	Abnormal vesicular trafficking and organelle transport
<i>HRH3</i>	Histamine H3 receptors	Signal transduction	Abnormal presynaptic inhibition of neurotransmitter release
<b><i>MAPK1</i></b>	Mitogen-activated protein kinase 1 (ERK2)	CREB phosphorylation signaling pathway	ASD
<i>NR4A1</i>	Nuclear receptor related 1 protein (NURR77)	Transcription factor	Abnormal synaptic plasticity in the hippocampus. Altered long-term potentiation. Schizophrenia
<b><i>NRGN</i></b>	Neurogranin	Calmodulin-binding protein. Component of postsynaptic density	Abnormal synaptic plasticity and long-term potentiation. Schizophrenia. ASD
<b><i>PAFAH1B1</i></b>	Platelet-activating factor acetylhydrolase IB subunit $\alpha$ (Lis1)	Interacts with dynein and VLDLR	Lissencephaly. ASD
<i>PICALM</i>	Phosphatidylinositol binding clathrin assembly protein	Coated vesicles	Abnormal coated vesicles. Alzheimer's disease
<i>SLIT1, SLIT2</i>	Slit homolog 1 and 2 proteins	Extracellular matrix protein. Chemorepulsive signal	Abnormal axon guidance. Abnormal angiogenesis
<i>SNAP23</i>	Synaptosomal-associated protein 23	SNARE associated protein	Abnormal exocytosis
<i>SNX16</i>	Sorting nexin 16	Membrane associated protein	Protein sorting
<i>SQSTM1</i>	Sequestosome-1	Ubiquitin binding protein	Abnormal regulation of the nuclear factor kappa-B (NF- $\kappa$ B) signaling pathway
<i>SYT2</i>	Synaptotagmin-2	Synaptic vesicles docking	Abnormal exocytosis
<i>SYTL5</i>	Synaptotagmin-like protein 5	Synaptic vesicles docking. Marker for parvalbumin immunoreactive buttons	Abnormal exocytosis
<i>TGFB2</i>	Transforming growth factor- $\beta$ 2	Extracellular signaling protein	Abnormal regulation of proliferation and differentiation of hippocampal granule neurons
<i>VAMP4</i>	Vesicle-associated membrane protein 4 (synaptobrevin)	Synaptic vesicles docking	Abnormal exocytosis

<sup>a</sup>Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.

**Table 5 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in neurotransmission: relationship with ASD.**

Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<i>ADCYAP1R1</i>	Adenylate cyclase-activating polypeptide receptor (PAC1)	Signaling pathway	Decreased second messenger
<i>CACNG8</i>	Calcium channel, voltage-dependent, $\gamma$ subunit 8	Transmembrane AMPA receptor regulatory protein (TARP)	Altered long-term potentiation
<b><i>CAMK4</i></b>	Calcium/calmodulin-dependent protein kinase type IV	CREB phosphorylation signaling pathway	ASD
<b><i>CREB1</i></b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b><i>CREM</i></b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<i>HAP1</i>	Huntingtin-associated protein 1	Interacts with huntingtin and cytoskeletal proteins	Abnormal vesicular trafficking and organelle transport
<b><i>HOMER1</i></b>	Homer protein homolog 1	Major component of postsynaptic density	Abnormal synaptic plasticity and long-term potentiation. ASD
<i>HRH3</i>	Histamine H3 receptors	Signal transduction	Abnormal presynaptic inhibition of neurotransmitter release
<i>KCNC1</i>	Potassium voltage-gated channel subfamily C member 1	Membrane channel	Abnormal repolarization of cortical interneurons
<b><i>KCNJ10</i></b>	ATP-sensitive inward rectifier potassium channel 10	Membrane channel	Abnormal repolarization. Epilepsy, ataxia, and deafness. ASD
<i>KCNK2</i>	Potassium channel subfamily K member 2 (TREK1)	Membrane channel	Abnormal neuroprotection against epilepsy and brain and spinal cord ischemia
<i>KCNS2</i>	Potassium voltage-gated channel subfamily S member 2	Membrane channel	Abnormal repolarization
<i>KCNT2</i>	Potassium channel subfamily T, member 2	Membrane channel	Abnormal repolarization epilepsy, Alzheimer disease
<b><i>MAPK1</i></b>	Mitogen-activated protein kinase 1 (ERK2)	CREB phosphorylation signaling pathway	ASD
<b><i>NRGN</i></b>	Neurogranin	Calmodulin-binding protein. component of postsynaptic density	Abnormal synaptic plasticity and long-term potentiation. Schizophrenia. ASD
<b><i>NTS</i></b>	Neurotensin	Neuropeptide	Abnormal modulation of dopamine signaling. ASD
<i>PACSLN2</i>	Protein kinase C and casein kinase substrate in neurons protein 2	Binding to endocytic proteins	Arrested endocytosis
<b><i>PAFAH1B1</i></b>	Platelet-activating factor acetylhydrolase IB subunit $\alpha$ (Lis1)	Interacts with dynein and VLDLR	Abnormal signaling. Lissencephaly. ASD
<b><i>SLC17A7</i></b>	Vesicular glutamate transporter 1 (VGLUT1)	Synaptic vesicle membrane protein	Abnormal neurotransmission neuropsychiatric disorders. ADHD, and schizophrenia. ASD

<sup>a</sup> *Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.*

contributed in the evolutionary elaboration of radial columns, modulating both cortical surface and thickness.

Altered T3-regulated opioid-binding protein/cell adhesion molecule (OPCML; also known as OBCAM) expression affects

radial glia function and its transdifferentiation to astrocytes [Ref. (203); Table 2]. In agreement, impaired maturation of radial glia was observed in the hippocampus of pups born to chronic hypothyroxinemic rats (163) and in the neocortex of



**Table 6 | Significant T3-regulated genes at the transcriptional level found in the cerebral cortex of rodents, involved in memory and behavior: relationship with ASD.**

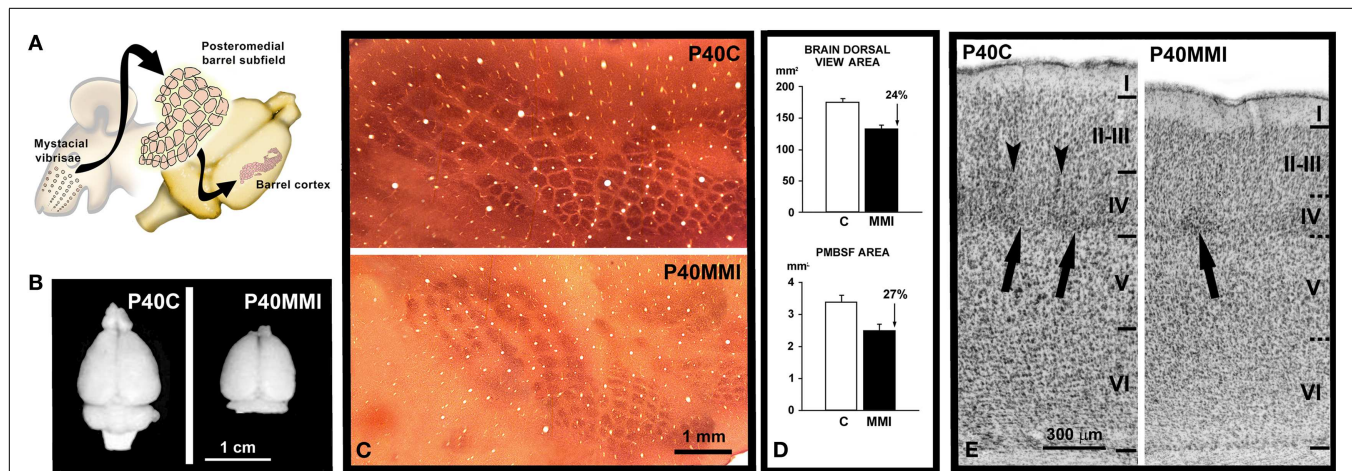
Symbol <sup>a</sup>	Protein	Process	Alteration/disease
<i>ADCY8</i>	Adenylate cyclase type 8	G-protein associated enzyme	Abnormal cAMP signaling
<i>ADRBK2</i>	β-adrenergic receptor kinase 2 (GRK3)	G-protein-coupled receptor kinase 3	Abnormal dopamine metabolism. Schizophrenia and bipolar disorder
<b><i>CALB1</i></b>	Calbindin-D28k	Calcium-binding protein	Abnormal synaptic plasticity and long-term potentiation. ASD
<b><i>CAMK4</i></b>	Calcium/calmodulin-dependent protein kinase type IV	CREB phosphorylation signaling pathway	ASD
<b><i>CREB1</i></b>	cAMP-responsive element binding protein 1	Transcription factor	Altered development. ASD
<b><i>CREM</i></b>	cAMP-responsive element modulator	Transcription factor modulating CREB	Altered development. ASD
<i>DBP</i>	D site of albumin promoter (albumin D-box) binding protein	Transcription factor	Abnormal spatial learning and enhanced susceptibility to kainate-induced seizures. Epilepsy, schizophrenia, and bipolar disorder
<i>GRK5</i>	G-protein-coupled receptor kinase 5	Signal transduction	Memory impairment. Alzheimer's disease
<b><i>HOMER1</i></b>	Homer protein homolog 1	Major component of postsynaptic density	Abnormal synaptic plasticity and long-term potentiation. ASD
<b><i>HTR7</i></b>	5-HT7 receptor	Neuroreceptor	Abnormal learning and memory. Neuropsychiatric disorders. ASD
<b><i>MAPK1</i></b>	Mitogen-activated protein kinase 1 (ERK2)	CREB phosphorylation signaling pathway	ASD
<b><i>NOS1</i></b>	Nitric oxide synthase 1	Neurotransmitter, signaling pathway	Abnormal signaling pathway. ASD
<i>NR4A1</i>	Nuclear receptor related 1 protein (NURR77)	Transcription factor	Abnormal synaptic plasticity in the hippocampus. Altered long-term potentiation. Schizophrenia
<b><i>NTS</i></b>	Neurotensin	Neuropeptide	Abnormal modulation of dopamine signaling. ASD
<b><i>PVALB</i></b>	Parvalbumin	Calcium-binding protein	Alzheimer's disease and nervous system disorders. ASD

<sup>a</sup> *Bold shows T3-regulated genes that have been found to be abnormally expressed in autistic humans. Other genes found in autistic humans not regulated by T3 at the transcriptional level have not been included.*

developmentally hypothyroid pups (204). Abnormal radial migration in the neocortex of developmentally hypothyroid rats was first described in the auditory cortex by combining BrdU and tracer labeling (205). As a result, the radial positioning of migrating neurons was altered, including abnormally located heterotopic neurons in the subcortical white matter (192, 205) (**Figure 2C**) and corpus callosum (206). Also, altered neuronal migration in the neocortex and hippocampus has been confirmed in hypothyroxinemic rats (158, 159, 164) (**Figures 2A–C**). Apart from TRs, other nuclear receptors are involved in radial glia maturation and radial migration in the neocortex such as the liver X receptor β (LXRβ) that also regulates the expression of ApoER2 receptor (207). *LXRβ*<sup>-/-</sup> mice showed altered cortical migration of later-born neurons (208) and delayed transdifferentiation of radial glial cells into astrocytes (209). Interestingly, LXRs bind to the same response element on DNA as TRs and sometimes regulate the

same genes (150, 210). In fact, it has been shown recently that TRα compensates for the lack of LXRβ in cortical development, and a reciprocal compensatory action can also be hypothesized (207).

Heterotopic cells in the external granular layer of the cerebellar cortex have also been observed (212), as well as in *Mct8*<sup>-/-</sup> mice (213). The stunted migration of cerebellar cells found in previous studies (6, 214, 215) and in TRα1 mutant mice (212, 213) suggests that thyroid hormones interfere with different mechanisms involved in the migration of cortical and cerebellar neurons. Cortical neurons retain most of their migratory capacity as can be observed either in studies combining BrdU and tracer labeling (205) or using organotypic cultures (**Figure 2D**) (159). In the latter, it was found that cells from transient hypothyroid medial ganglionic eminence explants migrate as well as cells from control explants when they were placed on normal host cortex; and reversely, both control and transient hypothyroid median



**FIGURE 1 | Reduced development of cortical maps in developmental hypothyroidism.** (A) Cartoon showing the posteromedial barrel subfield of the primary somatosensory cortex in the brain of a rat. Note the correspondence between mystacial vibrissae and the barrels of the posteromedial barrel subfield. (B) Brain dorsal views at P40 of control (C) and MMI pups. (C) Computer reconstruction from photomicrographs of serial tangential sections through layer IV, showing cytochrome oxidase labeling in the barrel cortex of normal and hypothyroid rats. Note the reduced tangential extension of the cytochrome oxidase labeling in hypothyroid with respect to normal rats. (D) Area measurements in normal and hypothyroid rats. The dorsal view brain area was, on average, 24% smaller in hypothyroid rats (upper). A similar reduction (on average, 27%)

was observed in the PMBSF tangential area (lower). (E) Photomicrographs of cresyl violet stained coronal sections showing the cytoarchitecture of the barrel cortex of the primary somatosensory cortex at P40 in control (C) and transient MMI treated pups (MMI treatment begun at E12 and finished at E15). Borders between layers (horizontal lines) are clear-cut in C whereas they are more blurred in MMI12 pups. In layer IV of C and dMMI pups, barrels (arrow) are normal and well-defined and demarcated by septae (arrowheads). In contrast, barrels in layer IV of MMI1 pups are not seen. In developmentally hypothyroid pups there is a 10–15% reduction in the cortical thickness of MMI pups compared to controls. (A) Modified from Berbel and Morreale de Escobar (57). (C,D) Modified from Berbel et al. (192). (E) Modified from Ausó et al. (158).

ganglionic eminence cells showed altered latero-medial migration when placed on transient hypothyroid host cortex, which suggests that in the transient hypothyroid cortex the expression of chemo-attractive/-repulsive/-stop signals and/or of their receptors [see review in Ref. (126)] is altered. In fact, some of them, such as Slit1, Slit2, and Sema3B, are regulated by thyroid hormones [Ref. (44); Table 2].

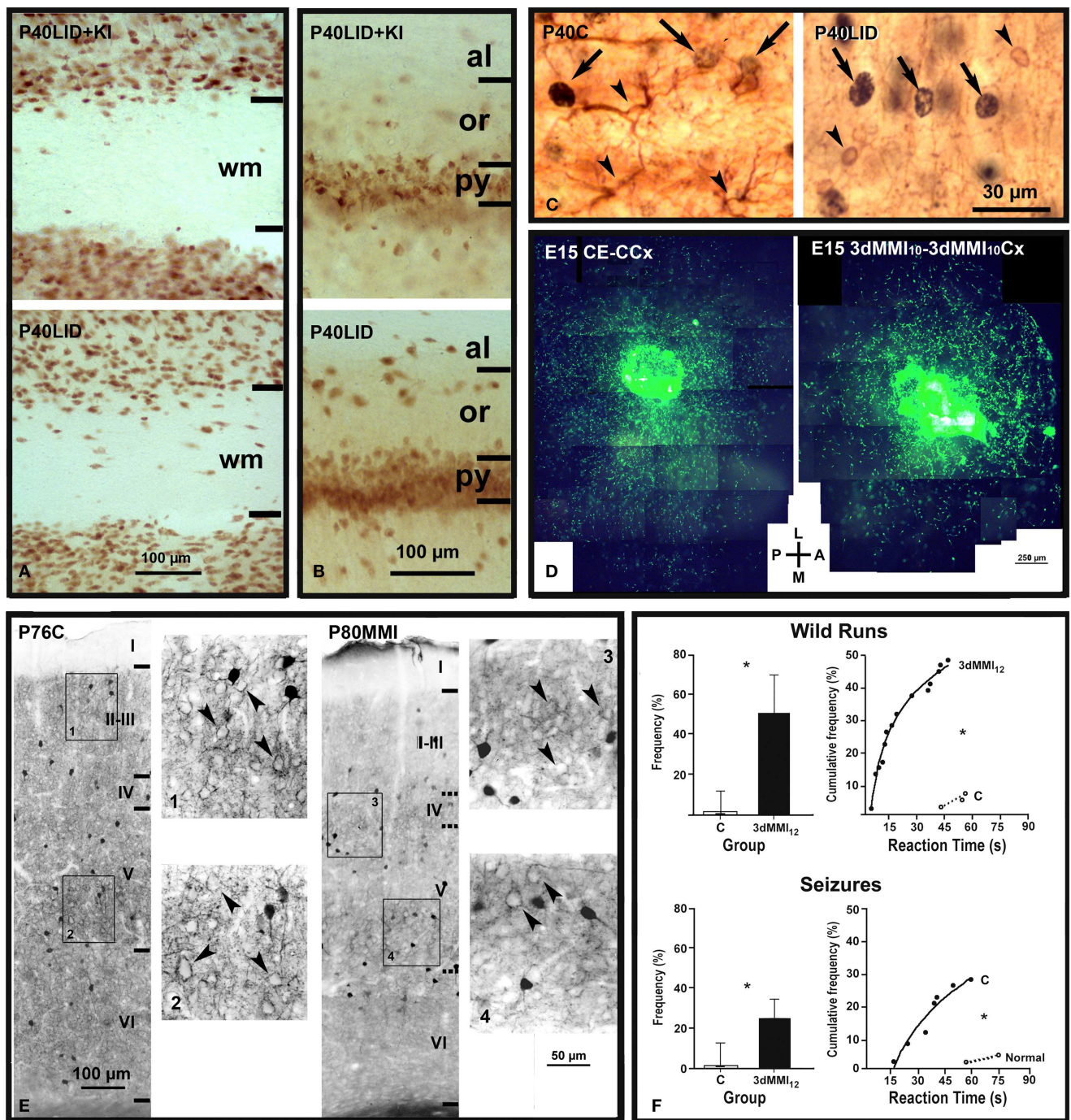
#### ABNORMAL CORTICAL CYTOARCHITECTURE AND CONNECTIVITY

Blurred neocortical layering can be assessed in the rodent somatosensory barrel cortex owing to the characteristic cytoarchitecture of layer IV (192, 216). The parvalbumin immunostaining pattern in hypothyroid rats is severely altered in the neocortex (211, 217, 218) (Figure 2E) and hippocampus (217, 219). Interestingly, parvalbumin positive neurons (i.e., GABAergic chandelier and basket neurons that migrate tangentially from the medial ganglionic eminence) also exhibit altered tangential migration in the transient hypothyroxinemic cortex (159). The decreased chandelier and basket parvalbumin immunoreactive terminals in the neocortex (211, 217) and hippocampus (217) will affect the inhibitory control of glutamatergic neurons (220) and might explain the high incidence of audiogenic seizures reported in hypothyroid rats (221) and in the pups of mild and transient hypothyroxinemic pregnant rats (158) (Figure 2F).

Early postnatal hypothyroidism affects the growth of dendrites in both the cerebral (193, 222) and cerebellar (223) cortices. Qualitative and quantitative ultrastructural studies of the cerebellar molecular layer in rats show that the retardation in synaptogenesis

between Purkinje cell dendritic spines and parallel fibers was associated to hypoplasia of Purkinje cell dendrites and to the retarded development of parallel fibers (223). In the neocortex, it has been found that  $\beta$ -catenin is downexpressed in the dentate gyrus of postnatal hypothyroid rats (165) and the Wnt/ $\beta$ -catenin signaling plays a crucial role for the growth and branching of dendrites (224). Developmental hypothyroidism affects maturation of commissural axons (225–228). In adult hypothyroid rats, the number of myelinated axons was 76 and 66%, respectively, in both the anterior commissure and the corpus callosum compared to controls (228); also, the maturation of cytoskeletal components was altered (226, 229) and the growth of axon caliber was arrested (225, 228). Development and maturation of oligodendrocytes in the forebrain commissures of hypothyroid rats may also be affected. In fact, cortical expression of myelin-associated glycoprotein, proteolipid protein, and myelin basic protein in oligodendrocytes is strongly reduced (230).

Callosal-projecting neurons were found mostly in infragranular layers of the auditory cortex of developing (205) and adult hypothyroid rats (216). In addition to altered radial distribution, the total number of callosal neurons was increased in auditory (216) and visual (226) cortices, and in cortical projecting neurons such as in the occipito-spinal connections (231), revealing maintenance of exuberant projections in hypothyroid rats. Interestingly, in the hypothyroid MMI model, the heterotopic white matter neurons, in particular, the early BrdU-labeled ones normally destined for the subplate, could provide a target to the transient callosal axons as they might in normal development (134, 232).



**FIGURE 2 | Abnormal neuronal radial and tangential migration, inhibitory local circuits and increased audiogenic seizures in developmental hypothyroidism and hypothyroxinemia. (A,B)** Photomicrographs of NeuN-immunostained coronal sections of the primary somatosensory cortex (A) and hippocampal CA1 (B) in LID + KI (rats fed low iodine diet plus approximately 10  $\mu$ g iodine per day, during gestation and postnatally) and LID (rats fed low iodine diet) progeny at P40. The number of NeuN-labeled neurons increases both in subcortical white matter [wm (A)] and in strata oriens (or) and alveus [al (B)] of hippocampal CA1 of LID pups as compared with LID + KI pups. (C) GFAP- and CNP-positive astrocytes (left panel) and oligodendrocytes (right panel), respectively (arrowheads), and BrdU-positive nuclei (arrows) are

shown in layer V of C and LID pups. LID rats received single BrdU injections at E14, E15, and E16. Note that both GFAP-positive astrocytes and CNP-positive oligodendrocytes are BrdU-negative. (D) Low power fluorescent photomicrograph collage illustrating the tangential distribution of GFP-MGE control migrating neurons (control explant, CE) in wild control flat cortical mounts at E15 (control cortex, CCx; left) and GFP-MGE hypothyroxinemic migrating neurons (3dMMI<sub>10</sub>) in hypothyroxinemic flat cortical mounts (3dMMI<sub>10</sub>Cx; right). Note that migrating neurons toward the medial (M) region in the hypothyroxinemic cortical mount (right) expand less than those migrating in the control cortical mount (left). 3dMMI<sub>10</sub> rats received MMI treatment

(Continued)



**FIGURE 2 | Continued**

from E10 to E12. **(E)** Photomicrographs through layer V of the auditory cortex immunostained for parvalbumin in normal (C) and hypothyroid (MMI treatment from E14 onward) rats. In normal rats, immunoreactive cells, processes and perisomatic puncta can be seen. In MMI rats, immunoreactive cells, processes and perisomatic puncta can also be seen but they are less prominent than in normal rats. **(F)** Responses of C and 3dMMI12 (MMI treatment from E12 to E15) pups to an acoustic stimulus. Histograms on the left correspond to the proportion (median

with 25th and 75th percentiles) of pups responding with wild runs and with wild runs followed by a seizure, respectively. Graphs on the right represent the cumulative frequency of pups from the same groups that respond with wild runs alone or followed by a seizure, respectively, at the intervals after onset of the stimulus that are shown in the abscissa. (\*) Indicates a statistically significant difference compared with control. **(A–C)** Modified from Lavado-Autric et al. (164). **(D)** Modified from Cuevas et al. (159). **(E)** Modified from Berbel et al. (211). **(F)** Modified from Ausó et al. (158).

**DELAYED CORTICAL MATURATION**

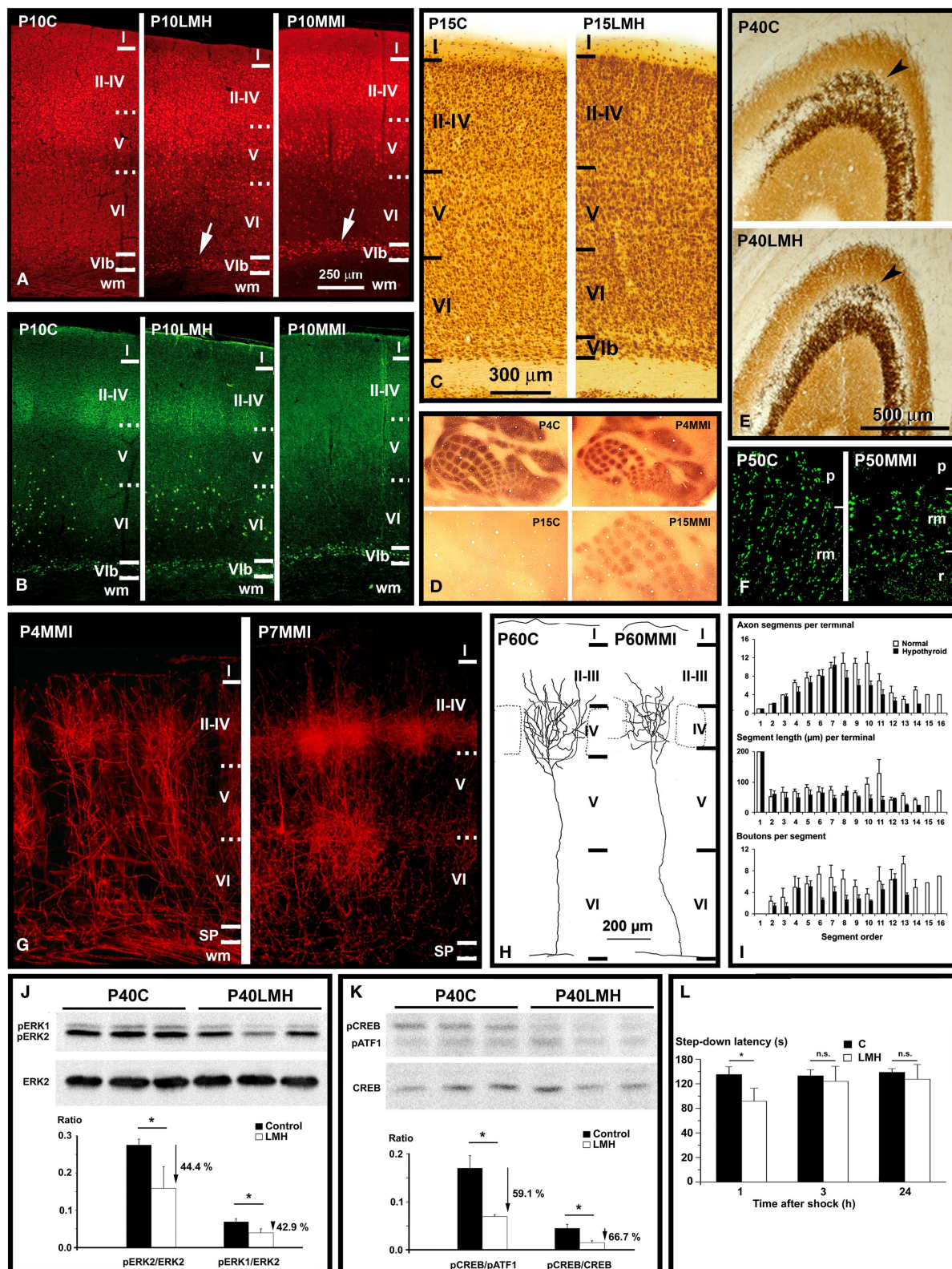
There is a strong evidence that subplate neurons play an important role in thalamocortical axon path finding (233, 234). Subplate neurons may fire action potentials (235) and they are necessary for the establishment of ocular dominance and orientation columns (236) and for the maturation of inhibitory circuits in layer IV (237). The dynamic integration of subplate neurons into the rodent neocortex during postnatal development may play a key role in establishing the cytoarchitectonic pattern in layer IV and to refine layer IV circuitry (238). Recent studies have shown that subplate neurons remain expressing Camk4 in adult hypothyroid rats, while in normal rats, Camk4 is not longer expressed in subplate neurons by P10 [Ref. (239); **Figures 3A–C**]. Subplate and white matter abnormalities have been related to the pathogenesis of various brain developmental disorders other than ASD, such as periventricular leukomalacia, schizophrenia, and cerebral palsy (240–244). A recent study shows the crucial importance of the identification of subplate cell subpopulations, which may have very different roles in various pathologies such as ASD and schizophrenia (245).

Serotonin (5-HT) immunostaining is a good transient marker for thalamic afferents in the visual, auditory, and somatosensory areas of rats during the first postnatal days. In the barrel cortex of hypothyroid rats, immunolabeling persisted for 5 days until P16–17 [Ref. (246); **Figure 3D**]. A similar protracted expression of 5-HT transporter (5-HTT) occurred in the ventro-basal thalamic nucleus and cerebral cortex (246). Reduced 5-HT levels during barrel formation delay the differentiation of layers II, III (247, 248) and reduce the tangential extent of thalamocortical arbors within barrels (249). Thus, prolonged 5-HTT expression in the hypothyroid ventro-basal thalamic nucleus should decrease the concentrations of 5-HT in the extracellular space of sensory cortices, affecting their organization and differentiation.

In the barrel cortex of adult hypothyroid rats, the radial distribution of thalamic afferents, anterogradely labeled with dextran-biotin amine and DiI, was reduced compared to normal rats [Ref. (246); **Figure 3G**]. By single reconstructions of terminal arbors (**Figure 3H**), these authors showed a reduction of the number of axonal branches reaching layers II–IV, and a 49% reduction in the total length of terminal axon arbors in hypothyroid rats. This arrested growth was also reflected by a 58% reduction in the number of buttons per terminal (**Figure 3I**). In hypothyroid rats, ramification of the thalamocortical axons would appear to be stalled postnatally, resulting in reduced synaptogenesis as suggested by the reduced number of buttons in thalamocortical axons [Ref. (246); **Figure 3I**] and in a decreased number of spines along the apical shafts of the hypothyroid pyramidal cells (250). All of the above data show that many target pyramidal cells fail to reach their

correct cortical location, not only failing to complete their normal maturation but also that the afferents have arrested growth. In fact, GAP-43 is downregulated, while Sema3A is upregulated in developmentally hypothyroid and hypothyroxinemic pups (251). In agreement, *GAP-43*<sup>−/−</sup> mice failed to express 5-HTT in the barrel cortex causing a disrupted segregation of thalamic afferents in the barrel cortex. In addition, recent data show that the density of VGluT1-immunoreactive buttons is decreased in layer IV of the parietal cortex of hypothyroid rats (180). These data show that there is an asynchrony in the maturation of thalamocortical afferents and their cortical targets in hypothyroid rats. Cortical cells could be at a stage of maturation that does not allow them to respond to thalamocortical signals, resulting in abnormal communication between thalamic axons and target cells (e.g., by reduced synaptogenesis). Hypothyroidism seems to dissociate stabilization of juvenile axons from maturation, growth in caliber and myelination, processes, which were previously thought to be necessarily linked (134, 232, 252).

Abnormal patterns of connectivity have been also found in the hippocampus of developmentally hypothyroid rats (193). These authors found in the hippocampus of pups born to hypothyroid dams that CA pyramidal neurons developed atrophic apical (15% shorter) and fewer number of ramifications (about 31 and 36% less in dentate gyrus and CA, respectively). Blurred layering and heterotopic neurons were also found in the hippocampus of pups born to hypothyroxinemic pregnant rats [Ref. (158, 164); **Figure 2B**]. Decreased mossy fiber zinc density (33–45% reduction) was found in perinatal hypothyroid rats after PTU treatment from E18 to P31 (253) and in postnatal hypothyroid rats (254). P40 pups born to late hypothyroid dams (thyroidectomized by E16; LMH pups), showed a 41.5% decrease in the Zn-positive area in the stratum oriens, in parallel to down expression of the Zn transporter-3 (ZnT-3; **Figure 3E**) and reduced density of VGluT1-immunoreactive buttons (**Figure 3F**). In addition, pCreb/pATF1, pCreb/Creb, pErk1/Erk2, and pErk2/Erk2 ratios in the hippocampus decreased in LMH pups (59.1, 66.7, 44.4, and 42.9%, respectively) [Ref. (169); **Figures 3J,K**]. Recently, the hippocampus of developmentally hypothyroid pups showed altered VGluT1/VGAT immunoreactivity (180). Although Camk4/Creb pathway plays a fundamental role in neurites growth and establishment of synapses, other genes are involved in the development of hippocampal connections. It has been found that the T3-regulated BDNF is involved in the regulation of the translational expression of VGluT1 in cultured hippocampal neurons (255, 256). Interestingly, BDNF was also found involved in the activation of Erk1/2 signaling pathway (188), which affects not only the differentiation of hippocampal neurons but also almost all



**FIGURE 3 | Thyroid hormones affect connectivity, maturation, and function of the cerebral cortex. (A,B)** Collages from confocal photomicrographs (taken with the  $\times 20$  objective) showing double immunolabeling for Camk4 [red; (A)] and Nurr1 [green; (B)] in the parietal cortex of P10

control (C), LMH (pups born to dams thyroidectomized at E16), and MMI (MMI treatment starting at E10) pups. Most of Camk4-immunoreactive neurons were located in layers II–IV and upper layer V. Numerous

(Continued)

**FIGURE 3 | Continued**

Camk4-immunoreactive neurons can be seen in layer VIb of P10LMH and P10MMI pups (arrows) compared with P10C pups. At P10, about 60% of Camk4-immunoreactive neurons of layer VIb are also Nurr1-immunoreactive.

**(C)** Photomicrographs of coronal sections of the parietal cortex showing NeuN-immunoreactive neurons in C and LMH pups at P15. At P15, the border between the subplate and adjacent layer VI is more clear-cut in LMH than in C pups, showing that a remaining subplate is still present.

**(D)** Photomicrographs of flattened neocortex tangential sections showing 5-HT immunostaining in the posteromedial barrel subfield of the parietal cortex of C and MMI rats at P4 and P15. At P4, heavily immunostained barrels can be seen. Decay of 5-HT labeling occurs by P11 in C and by P16 in MMI rats. Note that in P15C rats, no barrels were immunostained, whereas in P15MMI rats, they are still immunopositive. **(E)** Photomicrographs of coronal sections of the area CA3 of the hippocampus showing the Zn-labeling of mossy fibers in C and LMH pups at P40. Note the heavier labeling of mossy fibers in the stratum oriens (arrowhead) of CA3 in C compared with LMH pups. **(F)** Confocal deconvoluted images of vesicular glutamate transporter type 1 (VGLUT1, which labels excitatory buttons) of the stratum radiatum (rm) in CA3 in C and MMI rats at P50, labeling mossy fiber buttons. Note the decreased density of immunoreactive buttons in P50MMI pups compared to controls. **(G)** Photomicrographs of coronal sections from P4 and P7 MMI rats that had the lipophilic carbocyanine Dil tracer (1,1'-diiododecyl-3,3',3'-

tetramethylindocarbocyanine perchlorate) implanted in the ventro-basal thalamic nucleus. At P4, Dil-labeled thalamic afferents enter the somatosensory cortex, and form clusters in layer IV. At P7, collaterals in layer IV form more dense clusters than at P4. These images show that hypothyroid thalamic axons reach their somatosensory target areas as in normal rats. **(H)** Coronal views of thalamocortical terminal arbors in layer IV in the posteromedial barrel subfield of C and MMI rats at P60. The barrel limit is marked with dashed lines. Note that in MMI rats terminal arbors have shorter and tortuous branches. **(I)** Histograms representing mean values for the number of axon segments per terminal (upper), segment length (middle), and buttons per segment (bottom) in C (white bars) and MMI (black bars) rats for each segment order. Note that in general MMI mean values are lower. **(J,K)** Western blots obtained from the hippocampus of C and LMH pups at P40, immunolabeled for ERK2, pERK1, and pERK2 **(J)** and pATF1, pCREB, and CREB **(K)**. Histograms showing that the pERK1/ERK2 and pERK2/ERK2 **(J)** and pCREB/pATF1 and pCREB/CREB **(K)** ratios are reduced by 44.4, 42.9, 59.1, and 66.7%, respectively, in LMH compared with C pups. **(L)** Histogram showing step-down latencies in seconds at 1, 3, and 24 h after the initial foot shock in C and LMH pups at P39. Pups from LMH dams show 24.9% reduction in the step-down latency at 1 h after the foot shock. **(J–L)** Error bars represent  $\pm$  SD; n.s., no significant differences; \* $P < 0.001$  for LMH compared with C group. **(A,B)** Modified from Navarro et al. (239). **(C,E,J,K,L)** Modified from Berbel et al. (169). **(D,G,H,I)** Modified from Ausó et al. (246).

aspects of corticogenesis (**Tables 3–6**). However, the inactivation of other pathways such as the Gsk3 $\beta$ /CRMP2 pathway will result in delayed axonal growth (251, 257). Decreased p-Gsk3 $\beta$  labeling and increased labeling of its inactivated p-CRMP2 target protein was seen in the developmentally hypothyroid and hypothyroxinemic rat hippocampus (251).

Altered postnatal synaptogenesis has been observed in the molecular layer of the cerebellar cortex of hypo- and hyperthyroid rats (214). Neurotrophins BDNF and NT3 are down-expressed in postnatal PTU treated rats, resulting in atrophy of Purkinje cell dendrites and in a decreased number of synapses (258) and BDNF is also downexpressed in the hippocampus of developing hypothyroid rats (256). However, neurotrophins might have a dual role in developing and adult hypothyroid rats, because, BDNF is highly expressed in layers II, III, and V of the neocortex and in all hippocampal areas of adult hypothyroid rats (259). These authors observed an increased number of apoptotic neurons and astrocytes in the adult hypothyroid cortex, and they suggested that the increase of BDNF in the hypothyroid adult neocortex and hippocampus might have a protective role against cellular stress associated to degenerative processes. Interestingly, in young and adult autistic human cerebellar samples (ages ranging from 7.5 to 34 years) a 40.3% increase in NT3 expression was found (260). Other T3-regulated genes, involved in synaptogenesis, plasticity, and neurotransmission, have been found [Ref. (23, 44); **Tables 4 and 5**]. The T3-regulated ANXA6 gene codes for the calcium-binding protein annexin 6 that may play a structural role in facilitating membrane association at the cisternal organelle level and/or in stabilizing IP3R1 microdomains in the axonal initial segment of hippocampal neurons (261). NR4A1 codes for the transcription factor Nurr77 that mediates in mechanisms of long-term synaptic plasticity in the hippocampus and consequently, in the consolidation of long-term hippocampus-dependent memory (262). PACSIN2 codes for protein kinase C and casein kinase substrate in

neurons protein 2, which interacts with dynamin and synapsin, which affect the recruitment of synaptic vesicles (263), while TGB2 codes for transforming growth factor-beta 2, which is involved in the differentiation of granule neurons of the dentate gyrus (188).

Structural changes in the cerebral cortex result in altered electrophysiology and behavior of thyroid deficient rats. Most of the electrophysiological studies have been performed in the CA1 hippocampal area. Decreased long-term potentiation (LTP) was shown in developing rats with severe and chronic hypothyroidism (166, 264), as well as in adult hypothyroid rats (265). Altered LTP was also observed in pups born to rats treated with MMI from E12 to E15 (266). Recent studies have shown that developmental hypothyroidism decreases the number of bursting CA1 cells, as well as the number of spikes per burst, resulting from altered low-threshold Ca<sup>2+</sup> current (267). As mentioned above, the growth of axon caliber was arrested in the anterior commissure and the corpus callosum of hypothyroid rats (225, 228), which might be relevant for the signal transmission velocity of commissural axons (268). The behavior of hypothyroid rats related to cerebral cortex alterations are mainly based on tests to measure (i) locomotor functional excitability and seizure susceptibility (158, 218, 221, 269), and (ii) learning, attention, and memory deficits (169, 219, 264, 266, 270, 271) (**Figure 3L**). Despite the differences between rodent and human cerebral cortices, these studies might be useful to find morphofunctional alterations in hypothyroid rodents that might also occur in neurological and mental disorders associated to hypothyroidism in humans, such as ASD and ADHD. Significant T3-regulated genes, involved in memory and behavior have been found [Ref. (23, 44); **Table 6**]. Among these, ADCY8, ADRBK2, and GRK5 code for proteins associated to G-protein signaling (272–274). The transcription factors DBP, involved in kainite-involved seizures and hippocampal plasticity (275), and NR2A1 were mentioned above.



## ASD AND THYROID HORMONES DURING BRAIN DEVELOPMENT

Experimental studies in rodents clearly show that thyroid hormone deficiency results in delayed, temporarily, or permanently suppressed, or abnormal, connections, resulting in behavioral and brain dysfunction (10). Abnormal morphophysiological and behavioral traits established during gestation and early postnatal ages might be maintained throughout life and thereby be a risk factor for the development of behavioral and mental disorders later in life.

Despite differences resulting from age at autopsy and the concomitant effects of seizures and of intellectual disability of variable severity, a substantial body of evidence has accumulated since the 1970s on the fundamental morphological changes affecting the brain of patients with ASD (276, 277). Wegiel et al. (278) reviewed available neuropathological data and concluded that ASD results from dysregulation of the normal mechanisms of neurogenesis and neuronal migration, plus dysplastic changes and defects of neuronal maturation. Thus, the neuropathology of ASD is consistent with a prenatal time of onset. A likely etiological hypothesis posits that ASD may be caused by thyroid hormone deficiencies during cerebral cortex development, either due to a genetic deficiency of the *TRIP8* gene (thyroid receptor interacting protein), which codes for a transcriptional regulator associated with nuclear thyroid hormone receptors (279) or associated to maternal hypothyroidism, which increases fourfold the risk of ASD in the child (3, 63).

The morphological brain changes and the genes found to be transcriptionally or functionally involved in ASD will be briefly reviewed here, both from *post-mortem* data and from *in vivo* imaging, along with a summary of the neurotransmitters affected. Finally, the relevance of thyroid hormones in accepted animal models of ASD is presented. Thyroid hormone deficiency diseases and ASD share common altered gene pathways and comorbid disorders, and epidemiological studies reported a relationship between thyroid hormone deficiency and ASD, although morphofunctional differences between these two conditions exist.

### BRAIN ALTERATIONS

Young children with ASD are megalencephalic, with increased brain size and weight (276, 277). Brain imaging data and head circumference studies have shown two phases of early brain growth in ASD pathology: early brain overgrowth during the first postnatal years and arrest of growth during early childhood (280), which might be overlapped with neuronal degeneration in some brain regions by preadolescence and continued into adulthood (280, 281). Increased number of neurons could contribute to increase brain volume. Macroscopically and on imaging, the cerebral cortex in ASD exhibits an abnormal pattern of convolutions (282) involving the orbitofrontal cortex (283) and the temporal lobes with hyperconvoluted hippocampus (276). Counts performed in Nissl stained sections suggests increased density of cells in the frontal cortex (284). However, other factors, besides of the increase in the number of neurons, can contribute to increase brain volume. Increased cerebrospinal fluid volume, and slight reductions of gray and white matter volume in frontal, temporal, and parietal lobes have been reported (285). In addition, recent studies have

shown focal brain inflammation (286, 287) and increased gliosis subjacent to neuronal degeneration (281). Changes do not affect the brain uniformly, i.e., the fusiform face area and the limbic system have increased cell packing density and smaller neuronal size involving hippocampus, subiculum, and amygdala, and to a lesser extent the entorhinal cortex, mammillary bodies, and septal nuclei (277), while other areas are normal; for instance, the posteroinferior occipitotemporal gyrus showed no differences in pyramidal neuron number or size in layers III, V, and VI (288). In Brodmann areas 44–45, Jacot-Descombes et al. (289) demonstrated reduced pyramidal neuron size suggesting impairment of neuronal networks relevant to communication and social behaviors. However, owing to the relatively small number of autistic brains studied up to date and the enormous heterogeneity in ASD phenotypes and comorbid diseases, more neuropathological studies will be need for clarification of neuroanatomy of ASD (107, 290).

Despite changes in brain volume in ASD, some anatomical alterations are common with hypothyroid brains. Microscopic examination reveals dysgenesis of the cerebral cortex (276, 277) with increased cortical thickness, abnormal laminar patterns, high density of hippocampal neurons, presence of neurons in the molecular layer, neuronal disorganization, poor differentiation of the gray–white matter boundary, and neuronal heterotopias. Cortical neurons are small, closely packed, lack dendritic arbors, and appear immature; these changes are consistent with an arrest of cerebral maturation (290, 291). Also, the cortical organization is altered with narrower cortical minicolumns (292, 293). The focal cortical dysplasia of ASD appears to result from loss of synchronized radial and tangential migration of glutamatergic and GABAergic neurons, respectively (294). The *CNTNAP2* gene, which codes for contactin associated protein-like 2, is expressed in human frontal areas and has been found to be involved in ASD and language impairment (295, 296). A finding consistent with this view is the demonstration by Kotagiri et al. (297) of cytoarchitectural changes in the ependymal cells of the subventricular zone in ASD, with lower cell density in the septal but not in the striatal zone. A subset of ependymal, astrocyte ribbon, and rostral migratory stream (RMS) cells expressed PCNA, Ki67, PLP, and  $\alpha$ -tubulin. In addition, the white matter shows areas of focal increase in the number of heterotopias, reflecting abnormal neuronal migration (278). Using imaging, Gozzi et al. (298) showed that the magnetization transfer ratio of the corpus callosum was significantly higher in children with ASD than in normal controls, indicating abnormal myelination in ASD.

According to a consensus by Fatemi et al. (299), reduction in Purkinje cell and cerebellar granule cell density is consistently observed in ASD (300), along with developmental abnormalities of the inferior olives (301), consistent with abnormal neuronal migration before the 3rd month of gestation. Purkinje cells are decreased in the posterolateral neocerebellar cortex and the archicerebellar cortex (302) with vermis hypoplasia on brain imaging (303, 304). Using MRI tractography in children with ASD, Jeong et al. (305) showed decreased fiber numbers connecting cerebellar cortex to ventral and dorsal dentate nuclei confirming a decrease in connectivity and numbers of Purkinje cells.



## NEUROTRANSMITTERS IN ASD

Perry et al. (306) investigated cholinergic biomarkers in the basal forebrain, frontal cortex, and parietal cortex of children with ASD, mental retardation, and epilepsy and found decreased binding of the  $\alpha 4$  nicotinic and the muscarinic M1 receptors ( $\alpha 4$  nAChR and m1AChR, respectively). In the cerebellum, Lee et al. (307) found decreased  $\alpha 3$  and  $\alpha 4$  nAChR binding in granule cells, Purkinje cells, and molecular layers along with increased  $\alpha 7$  nAChR binding in the granule cell layer. Blatt et al. (308) found that only the GABAergic system was significantly reduced in the hippocampus in ASD; the serotonergic, cholinergic, and glutamatergic systems were normal. GABA<sub>A</sub> and GABA<sub>B</sub> receptor density in the anterior cingulate cortex and fusiform gyrus is decreased (309, 310). The dysregulation of the GABAergic system pathway includes downregulation of GABA<sub>A</sub> and GABA<sub>B</sub> receptors (309–311) and reduction of glutamic acid decarboxylase enzymes (312) and metabotropic glutamate receptor type 5 [mGluR5; (313)]. FMRP and mGluR5 are reduced in cerebellar vermis and frontal cortex in ASD (314, 315). In addition, 5-HT neurotransmission has been found to be deficient in ASD; in particular, Oblak et al. (316) showed decrease in 5-HT<sub>1A</sub> receptor and 5-HT<sub>2A</sub> receptor-binding density, as well as in 5-HTT in posterior cingulate cortex and fusiform gyrus. Mutations in the GABA<sub>A</sub> receptor subunit have been associated with ASD and epilepsy (317).

Two relevant genes in the diagnosis of ASD are *SHANK3* (318) and *GABRB3* (311). *SHANK3* is a synaptic scaffolding protein enriched in the postsynaptic density of excitatory synapses, and plays important roles in the formation, maturation, and maintenance of synapses. Several *SHANK3* mutations have been identified in a particular phenotypic group of patients with ASD (318). A study of the Danish Newborn Screening Biobank revealed levels of BDNF in the lower 10th percentile during the neonatal period in children later diagnosed with ASD (319). *SHANK3* mutations may be involved in ASD, cerebellar development, and cerebellar vermis hypoplasia (320). *GABRB3* codes for GABA<sub>A</sub> $\beta 3$  receptor, and is downexpressed in brains of autistic children, particularly in the cerebellum (311).

## THYROID-RELATED GENES INVOLVED IN ASD

Recently, Betancur (321) concluded that despite the more than 100 genetic and genomic disorders associated with ASD, we still lack a clear understanding of its pathogenesis. In 2007, Castermans et al. (279) identified in a subject with ASD a *de novo* chromosomal anomaly on chromosome 10q21.3 that disrupted the *TRIP8* gene and the nearby *REEP3* gene that codes for receptor expression-enhancing protein 3, which is a microtubule associated protein sequestering the endoplasmic reticulum away from chromosomes during mitosis. The authors concluded that *TRIP8* codes for a protein predicted to be a transcriptional regulator associated with nuclear thyroid hormone receptors but noted that, “no link between thyroid gland and ASD has been reported so far.”

We summarize in **Tables 1–6** a list of relevant genes that have been found to be T3-regulated at the transcriptional level in the rodents cerebral cortex (149, 322), and their human homolog genes (marked in bold) that have been found mutated in ASD patients. The list is far to be exhaustive and, most probably, the overlapping between T3-regulated and ASD-mutated (T3/ASD)

genes will increase in the near future. Relevant are Creb/Crem transcription factors that are involved in all critical events of corticogenesis, and Camk4 and Erk1/2 kinases that participate in the Camk4/Creb/Crem and Erk1/2/Creb/Crem signaling pathways (44, 183, 323–325).

As mentioned earlier, critical events at the beginning of corticogenesis are cell division and differentiation of neuroblasts to become young migrating neurons, and the migration of young neurons to their final destinations. T3/ASD genes involved in cell division and differentiation (**Table 1**) are *CTNNB1* codes for  $\beta$ -catenin that is involved in the transition of epithelial-to-mesenchymal transition (symmetrical-to-asymmetrical divisions; see above) and in the astrocytes' differentiation (326); *DYRK1A* codes for dual specificity tyrosine-phosphorylation-regulated kinase 1A, which is a regulator of brain growth (196); *GNB1L* code for a 6 WD40 repeats-containing protein most likely involved in cell cycle regulation (327); and *FLT1* that codes for vascular endothelial growth factor receptor 1, a tyrosine kinase involved in the control of cell proliferation and differentiation in angiogenesis and neurogenesis; *FLT1* has been found reduced in severe autism (328). T3/ASD genes involved in cytoskeleton organization and cell migration (**Table 2**) are *GNAS* that codes for G-protein  $\alpha$  subunit (Gs- $\alpha$ ) (329); *FN1* that codes for fibronectin, an extracellular matrix protein involved in cell adhesion and migration, found increased in serum of children with autism (330); *SERPINH1* that codes for heat shock protein 47 that binds collagen and was found abnormally expressed in the temporal cortex of ASD patients (331); and *NEFH*, *NEFM*, and *NEFL* code for neurofilament subunits and has been found altered in the frontal cortex neurons in children with autism (332). The genes involved in the reelin signaling pathway include *RELN* (reelin), *DAB1* (disabled-1), *VLDLR* (very-low-density-lipoprotein receptor) (331–335), and *PAFAH1B1* (platelet-activating factor acetylhydrolase IB subunit  $\alpha$ ; Lis1) that interacts with dimerin and VLDLR. Fatemi et al. (312) reported decreased blood levels of reelin in children with ASD. Also, using post-mortem material from superior frontal, parietal, and cerebellar cortex from autistic brains and matched controls, significant reductions in reelin protein, reelin mRNA, and *dab1* mRNA along with elevations in *VLDLR* mRNA in frontal and cerebellar cortex, indicative of impairments in the reelin/*dab1* signaling pathway in ASD were observed (336). Genetic susceptibility polymorphisms of the *RELN* gene have been described in ASD (337–340), although other studies have been negative (341–344). A recent meta-analysis by Wang et al. (345) revealed that the *RELN* variant rs362691, rather than rs736707 or the GGC repeat variant, might contribute significantly to ASD risk.

The T3/ASD genes involved in neurite development and maturation (**Table 3**) are *ANK3* that codes for ankyrin-3, which participates in the recruitment of voltage-gated sodium channels at the axon hillock and node of Ranvier (346); *ARX* that codes for the transcription factor Aristaeless-Related Homeobox, associated to several neurological and psychiatric disorders, including ASD (347, 348); BDNF has high-affinity for TrkB receptor and is involved in neurite development, neuronal plasticity, LTP, and apoptosis of CNS neurons (348, 349); *CNTN4* codes for contactin-4, an Ig-cell adhesion molecule involved in the development

and plasticity of neuronal circuits (350); NOS1 codes for nitric oxide synthase 1 that is involved in glutamate-mediated neurotransmission and toxicity (351); *FLT1*, *FN1*, and *NEFs* were mentioned above. T3/ASD genes involved in synaptogenesis and plasticity (Table 4) are *ATP2B2* that codes for plasma membrane calcium-ATPase, involved in the translocation of calcium to the endoplasmic reticulum (352); *NRGN* that codes for neurogranin, involved in synaptic plasticity and LTP (353); *BDNF*, *CNTN4*, and *PAFAH1B1* mentioned above.

The T3/ASD genes involved in neurotransmission (Table 5) are *HOMER1* that codes for homer protein homolog 1, is a major component of postsynaptic density involved in metabotropic glutamate receptor signaling (354); *KCNJ10* that codes for ATP-sensitive inward rectifier potassium channel 10, involved in axonal membrane repolarization (355); *NTS* that codes for neurotensin is involved in modulation of dopamine signaling and focal brain inflammation, and was found increased in serum of ASD children (286); *SLC17A7* codes for vesicular glutamate transporter 1 (VGluT1), and is involved in glutamatergic transmission (333); *NRGN* and *PAFAH1B1* were mentioned above.

The T3/ASD genes involved in memory and behavior (Table 6) are *CALB1* and *PVALB* that encode calbindin-D28k and parvalbumin, respectively, are involved in GABAergic transmission (332); *HTR7* that codes 5-HT7 receptor is involved in serotonin signal transduction (333, 356); *HOMER1*, *NOS1*, and *NTS* were mentioned above.

## ANIMAL MODELS OF ASD

A number of animal models of ASD are the result of insertion/deletion of different ASD-related genes and exposure to environmental factors [reviewed by Gadad et al. and Provenzano et al. (357, 358)]. Sadamatsu et al. (359) proposed the rat with mild and transient neonatal hypothyroidism as a novel model for ASD. Other models include the repetitive behavior observed in C58/J, C57BL/6J, and *Grin1* knockdown mice (360). The homeobox-containing transcription factor engrailed-2 (*En2*) is involved in patterning and neuronal differentiation; Sgadò et al. (361, 362) showed that adult *En2*<sup>-/-</sup> mice exhibit reduced brain interneuron expression of GABAergic marker mRNAs, and reduction in parvalbumin, somatostatin, and neuropeptide Y in the cerebellum and cerebral cortex (including hippocampus). The genetically inbred *BTBR* T<sup>+</sup>*Itpr3*<sup>td/J</sup> mouse model of ASD exhibits social impairment and stereotypic behavior suggestive of mTOR overactivation (363). The BTBR model shows extensive anatomical abnormalities in the white matter of the corpus callosum and the hippocampal commissure (364). Uchino and Waga (365) identified novel *SHANK3* transcripts whose transcription started at the vicinity of the CpG-island 2 in the mouse brain and developed the *Shank3* mutant mice that exhibit autistic-like behaviors. Waga et al. (366) identified two different amino-terminus truncated *Shank3* transcripts, *Shank3c-3* and *Shank3c-4*, expressed from the intron 10 of the *Shank3* gene, and suggested the epigenetic regulation of the expression of these transcripts via methyl CpG-binding protein 2 (MeCP2). Interestingly, MeCP2 mediates activity-dependent regulation of synaptic strength during the process of circuit formation and prevents uncontrolled recurrent excitation that may result in a pathophysiological increase of neuronal excitability,

aberrant network activity, and seizures, which are common Rett patients (182).

The valproic acid model of ASD has become widely used (367–371). However, it is not widely known that valproic acid at the usual therapeutic doses used for the treatment of epilepsy has anti-thyroid effects (372) and induces hearing loss in patients (373).

## CONCLUSION

Thyroid hormones exert both genomic and non-genomic actions in many tissues, organs, and systems over the course of a lifetime. In particular, they are crucial during early neurodevelopment, since key phases of the CNS development depend of the expression of thyroid hormones regulated genes. These genes affect, among other things, proliferation, migration, and maturation of neurons and glial cells, which under certain circumstances can result in abnormal connectivity, and consequently in behavioral dysfunction. Morphofunctional alterations caused during pregnancy and early postnatal are permanent, and thus they are a risk factor for the development of behavioral and mental disorders later in life. The knowledge of how thyroid hormones regulate these phases of development may help to understand altered regulatory mechanisms in neurodevelopmental diseases such as ASD, ADHD, schizophrenia, and epilepsy with cytoarchitectonic alterations similar to those found in hypothyroidism and hypothyroxinemia and vice versa. By combining basic and clinical investigation, new data will be obtained to better understand the basic phases of brain development and the genetic and physiological events underlying some of the human diseases mentioned above. Despite of obvious differences between humans and other mammals in cortical organization and function, animal models might be a useful tool to approach the understanding of common etiological factors in hypothyroidism and ASD since, as the evo-devo tell us, both rodents and humans share homologous gene pathways involved in these diseases.

## ACKNOWLEDGMENTS

Supported by grants from the Spanish Ministerio de Ciencia e Innovación SAF2009-10689 and the Universidad Miguel Hernández Institutional Funding for Research to Pere Berbel, and from the Nancy Lurie Marks Family Foundation, Wellesley, MA, USA, to Gustavo C. Román.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 12 April 2014; accepted: 25 August 2014; published online: 09 September 2014.

Citation: Berbel P, Navarro D and Román GC (2014) An evo-devo approach to thyroid hormones in cerebral and cerebellar cortical development: etiological implications for autism. *Front. Endocrinol.* 5:146. doi: 10.3389/fendo.2014.00146

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Transport of thyroid hormone in brain

Eva K. Wirth<sup>1</sup>, Ulrich Schweizer<sup>2</sup> and Josef Köhrle<sup>1\*</sup>

<sup>1</sup> Institut für Experimentelle Endokrinologie, Charité-Universitätsmedizin Berlin, Berlin, Germany

<sup>2</sup> Institut für Biochemie und Molekularbiologie, Rheinische Friedrich-Wilhelms-Universität Bonn, Bonn, Germany

## Edited by:

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Germany

## \*Correspondence:

Josef Köhrle, Institut für  
Experimentelle Endokrinologie,  
Charité-Universitätsmedizin Berlin,  
Augustenburger Platz 1, Berlin 13353,  
Germany  
e-mail: josef.koehrle@charite.de

Thyroid hormone (TH) transport into the brain is not only pivotal for development and differentiation, but also for maintenance and regulation of adult central nervous system (CNS) function. In this review, we highlight some key factors and structures regulating TH uptake and distribution. Serum TH binding proteins play a major role for the availability of TH since only free hormone concentrations may dictate cellular uptake. One of these proteins, transthyretin is also present in the cerebrospinal fluid (CSF) after being secreted by the choroid plexus. Entry routes into the brain like the blood–brain-barrier (BBB) and the blood–CSF-barrier will be explicated regarding fetal and adult status. Recently identified TH transmembrane transporters (THTT) like monocarboxylate transporter 8 (Mct8) play a major role in uptake of TH across the BBB but as well in transport between cells like astrocytes and neurons within the brain. Species differences in transporter expression will be presented and interference of TH transport by endogenous and exogenous compounds including endocrine disruptors and drugs will be discussed.

**Keywords:** blood–brain-barrier, transthyretin, deiodinase, flavonoids, endocrine disruptors, Mct8, L-type amino acid transporter, organic anion transporters

## MOLECULES INVOLVED IN TH TRANSPORT IN THE BRAIN

The hydrophobic but amphipathic charged amino acid-derived thyroid hormones (TH) are carried and distributed by several binding proteins from their site of production, storage, and secretion, the thyroid gland, to their target tissues including the brain. In human blood, four major proteins, thyroxine-binding globulin (TBG), transthyretin (TTR), albumin, and apolipoprotein B 100 (ApoB100), bind more than 99% of the circulating TH  $T_4$ ,  $T_3$ , and 3-iodo-thyronamine (3-TIAM). In contrast, only TTR has been found as one of the main proteins in CSF where it is produced and directionally secreted by choroid plexus (CP) epithelial cells into the liquor, which does not contain the high affinity TBG or the high capacity albumin TH binding proteins. Whether 3-TIAM, a TH-derived biogenic amine and its high affinity binding protein ApoB100 occur in CSF, remains to be studied.

Thyroid hormone enters the brain either directly via the blood–brain barrier (BBB) or indirectly via the blood–CSF-barrier (B–CSF-B), with the BBB route as the major entry path for the prohormone  $T_4$ .  $T_4$  is locally metabolized by selenoenzymes to either active  $T_3$  via Type 2 deiodinase (Dio2) or inactivated by Type 3 deiodinase (Dio3), to yield reverse  $T_3$  ( $rT_3$ ).  $rT_3$ , devoid of  $T_3$ -like action, might be involved in developmental regulation of neuronal migration guided by astrocytes and glial cells (1). Dio2 is mostly expressed in astrocytes and tanycytes while Dio3 is mainly found in neurons. Whether Type 1 deiodinase (Dio1), catalyzing both 5'-deiodination (activation of  $T_4$  to  $T_3$ ) and 5-deiodination (inactivation of  $T_4$  and  $T_3$ ) is species-dependently expressed in brain remains controversial (2, 3). Dual entry paths of TH and cell type-specific expression of functional Dio enzymes in the brain raise the issues of (i) coordinated transport of active TH and TH metabolites between various brain cell types, (ii) organized communication between peripheral, thyroid-derived, and brain

TH, and (iii) demands, supply, and disposal of TH precursors, metabolites, and active TH.

Adequate TH supply for the brain is of eminent importance during development but not less relevant in the differentiated adult organism with its changing hormonal requirement for metabolic and environmental adaptation.

Components controlling TH availability and action have been described in brain stem and progenitor cells (4) and TH receptor (TR) expression in the human brain has been demonstrated decades ago (5). Already during the first trimester human brain expresses various TH transporters (see Table 1), Dio enzymes, TR-isoforms, and isotypes in a development- and cell type-specific manner. Later in human pregnancy during weeks 17–20, endothelial cells and astrocytes organize the BBB [see Ref. (6)]. Endothelial cells express the TH transporter organic anion transporter polypeptide 1C1 (OATP1C1), which limits brain access of TH, especially  $T_4$ . At this time point fetal thyroid already starts producing TH, thus disconnecting the fetal TH responsive system from the maternal source of TH, but still depending on further adequate maternal iodide supply.

Facilitated uptake and release of TH by TH transmembrane transporters (THTT) is essential for their intracellular availability. TH have to cross multiple membranes in order to reach their nuclear and mitochondrial receptors. Especially, the entry of TH into the brain via the BBB and their subsequent distribution throughout all brain areas poses challenges in form of membranes of different cell types to be crossed. The complex interaction and communication between astrocytes and neurons, demonstrated for metabolic as well as synaptic processes, is in place regarding TH metabolism and distribution throughout the brain. Therefore, the specific spatio-temporal distribution of TH in different areas and cell types of the brain is required during embryonic development

**Table 1 | Summary of expression profiles of thyroid hormone transmembrane transporters (THTT) in various cell types of the brain of several species.**

Transporter	Species	Areas of the brain	References
Slc16a2 (Mct8)	Mouse	Protein: cortex, hippocampus, cerebellum, choroid plexus, hypothalamus, tanocytes, vessels	(7–9)
	Human	Protein: cortex, hippocampus, choroid plexus, hypothalamus, tanocytes	
		Widespread expression in fetal brain	(6–8, 10–12)
	Rat	Protein: hippocampus, tanocytes, vessels	(8, 11, 13)
	Chicken	Transcript: brain	(14, 15)
	Siberian hamster	Transcript: hypothalamus	(16)
	Rabbit	Transcript: hypothalamus	(17)
	Zebrafish	Transcript: brain	(18, 19)
	Fathead minnow	Transcript: cortex, cerebellum, hypothalamus	(20)
	<i>Xenopus tropicalis</i>	Transcript: brain	(21)
Slc16a10 (Mct10)	Mouse	Transcript: cortex, hippocampus, choroid plexus	(7, 9)
	Human	Protein: cortex, choroid plexus, hypothalamus	(7, 10, 22)
	Rabbit	Transcript: hypothalamus	(17)
	Fathead minnow	Transcript: cortex, cerebellum, hypothalamus	(20)
	<i>Xenopus tropicalis</i>	Transcript: brain	(21)
Slc7a5 (Lat1)	Mouse	Transcript: hippocampus, choroid plexus	(7, 9)
		Protein: cortex, cerebellum	
	Human	Transcript: cortex	(7, 12)
	<i>Xenopus tropicalis</i>	Transcript: brain	(21)
Slc7a8 (Lat2)	Mouse	Protein: cortex, hippocampus, cerebellum, choroid plexus	(7, 9)
	Human	Protein: adult: cortex, hippocampus, choroid plexus; fetal: microglia	(7, 12)
Slco1c1 (Oatp14)	Mouse	Transcript: cortex, hippocampus	(7–9, 23)
		Protein: choroid plexus, tanocytes, vessels	
	Human	Transcript: cortex	(7, 8, 10, 12, 22)
		Protein: choroid plexus, hypothalamus	
	Rat	Protein: choroid plexus, vessels	(8, 24)
	Chicken	Transcript: brain	(14, 15)
	Rabbit	Transcript: hypothalamus	(17)
	Fathead minnow	Transcript: cortex, cerebellum, hypothalamus	(20)
	<i>Xenopus tropicalis</i>	Transcript: brain	(21)

If available, protein data is preferably mentioned. Transcript data is only mentioned if no or only minimal protein data is available.

and differentiation, but also for adult maintenance and regulation of brain activity and metabolism.

### ROLE OF TH BINDING AND DISTRIBUTOR PROTEINS FOR TH AVAILABILITY TO BRAIN CELLS

Tissue and cellular uptake depends on free TH concentrations in blood and both free  $T_4$  and free  $T_3$  are available for cellular uptake by THTT, while TH bound with high affinity to serum distributor proteins TBG and TTR is assumed not to be directly available for cellular uptake during organ perfusion by blood (25). In contrast, TH bound to albumin with high capacity but low affinity is easily liberated based on the high TH off-rate constants shown in liver perfusion (26, 27). While peripheral sensory neurons internalize TTR in megalin-dependent manner in context of neuritogenesis *in vitro* (28), evidence is missing, that TH–TTR ligand–protein complexes are taken up by neurons, astrocytes, or glial cells via receptors for these proteins expressed on brain cells. Such “trojan horse” mechanisms of ligand transmembrane transfer have been demonstrated during fetal development for several protein bound (pro-)hormones and vitamins such as TH, retinol, vitamin D3, and steroid hormones (29–31) for several peripheral target tissues including adult kidney but not yet for the fetal brain. Such mechanisms, mediated by megalin or cubilin receptors, might provide entry routes for hormones bypassing the concept of the “free hormone hypothesis” (32) and use of THTT.

Independent from such a mechanism, the high expression of evolutionary conserved TTR in CP and meninges of the developing and adult brain offers a further exchange compartment for TH, especially  $T_4$ , a high affinity ligand of TTR. During fetal brain development, the prominent voluminous CP is required for proper CNS growth and differentiation. TTR is the only TH binding protein expressed and directionally secreted into CSF. TTR, first described in CSF and subsequently in plasma, constitutes up to 20% of CSF protein and its secretion into CSF starts already in fetal week 8 before its hepatic production (28). Whether its adequate function as binding and distribution protein for two major morphogen precursors,  $T_4$  and RBP-bound retinol, is essential or redundant, remains to be established. Lack of a major phenotype of TTR gene inactivation in the mouse came as a surprise with respect to normal brain development, HPT axis, TH homeostasis, and retinol-dependent functions (33). These mice grew normal, were fertile and had normal tissue  $T_4$  levels though plasma TH concentrations were significantly reduced. Apparently, lack of TTR can be compensated by a shift of TH binding to rodent TBG, which has lower TH affinity compared to human TBG (34). TH metabolism and  $T_3$ -responsive gene expression of HPT axis and liver was unchanged in TTR knock-out mice. These observations either suggest a minor role for TTR-dependent TH binding and directional transport into CSF for proper brain development (35) or indicate existence of still unknown compensatory mechanisms active in absence of TTR during mouse development. At least this mouse model did not support the hypothesis that hormone binding and distribution proteins such as TTR in case of TH directly contribute to cellular hormone uptake as proposed by Pardridge (36) in distinction to several observations by the group of Willnow (31).

In contrast to these observations in the TTR knock-out mouse model are some findings on effects of endogenous or exogenous

ligands of TTR, such as (iso-)flavonoids and endocrine disruptors, interfering with TH homeostasis in circulation, in CNS, and during fetal development. Several natural flavonoids, secondary metabolites of plants contained in our regular diet, avidly bind to TTR and displace TH from TTR binding based on their structural resemblance to TH. Resulting elevated free TH blood concentrations increase renal TH loss (transiently), elevate TH tissues levels, and enhance TH transfer via the placenta into fetal circulation including the fetal brain. *In vitro* as well as rodent animal studies provided evidence for interference of flavonoids and other TTR binding endocrine disruptors with CP-derived TTR-mediated TH transfer into CSF and the brain, resulting in disturbed homeostasis of brain TH levels and bioavailability (37).

More studies are needed analyzing (i) interference by natural and synthetic flavonoids with TH transport, (ii) action of endocrine disruptors such as the flame retardants polybrominated diphenylethers (PBDE) during neuronal stem cell development (38), or (iii) impact of ligands and pharmaceuticals, structurally related to TH (39) and interfering with THTT function (40). Recently, molecular actions and biological functions have been initially characterized for so far “neglected or minor” endogenous TH metabolites such as acetic acid- (Tetrac, Triac) or amine-derivatives (3-TIAM) of TH (41) and 3,5-T<sub>2</sub>, the latter abundantly present in the CNS (42). This raises the questions, (i) whether they are active players in TH-regulated brain function during development and in the adult organism, (ii) whether and how these metabolites are generated and transported in the brain, and (iii) how their mode of action interferes with classical TH action, which is mainly mediated via  $T_3$ -liganded TR. New modes of action may be envisaged for these TH metabolites at the plasma membrane, on cytosolic signaling cascades, or on other subcellular compartments of brain cells (43–45).

### THTT AMONG SPECIES

Many transporters have been shown to transport TH. The most specific THTT is the monocarboxylate transporter 8 (Mct8; Slc16a2). Up to date, it is the only transporter with TH as the exclusive substrate. All other transporters out of the classes of monocarboxylate transporters (MCT), organic anion transporting polypeptides (OATP), and L-type amino acid transporters (LAT) also transport other substrates like amino acids. Most data about the presence and localization of THTT has been generated in mice and humans. The following table summarizes expression of the most researched THTT Mct8, Mct10, Lat1, Lat2, and Oatp1c1 in various vertebrate species (**Table 1**).

Research focus has been on the only THTT identified to cause a human disease so far, i.e., Mct8. MCT8 is widely expressed in the human fetal brain in several cell types (**Table 1**). Strong transcript and protein signals were observed in the cortical plate and subplate, as well as in ventricular and subventricular zones. Throughout fetal development CP epithelial cells and ependymal cells express high levels of MCT8 (6–8).

Comparably high MCT8 expression has been reported for monkey brain, which expresses OATP1C1 and LAT1 albeit at much lower levels (46). MCT8 mutations cause a severe syndrome of psychomotor retardation, the Allan–Herndon–Dudley syndrome (AHDS) (47–50). This syndrome also comprises endocrine

manifestations with high circulating  $T_3$ , low  $T_4$ , and normal to elevated TSH levels. The mouse model for *Mct8*-deficiency replicates the endocrine phenotype, but it does not mimic the psychomotor retardation of the human syndrome (7, 51, 52). Since AHDS is not comparable to the classical phenotype of congenital hypothyroidism or cretinism, it is of great importance to identify THTT in brain areas of human brains, as well as in the model organism used for analyzing TH transport to be able to understand phenotypic variations between these models. Other animal models apart from *Mct8*-deficient mice will be needed to evaluate the involvement of THTT in basic brain development. Recently, zebrafish has been evaluated for developmental effects of *Mct8*-deficiency. Significant species differences with respect to cell types, time point, dynamics, and regulation of THTT especially in the developing but also the adult brain have been reported for humans, monkey, chicken, rodent, fish, and amphibian brain (Table 1). For example, we demonstrated the expression of an additional transporter, Lat2, in mouse neurons during development, which is not expressed in human developing neurons (7). Research on double knock-out mice of *Mct8* with either *Mct10* or *Oatp1c1* yielded valuable data on the interplay and possible compensation between these transporters (53, 54). Simultaneous deletion of *Mct8* and *Oatp1c1* lead to the ablation of both  $T_3$  and  $T_4$  transport across BBB. Symptoms of brain hypothyroidism were intensified underlining the importance of THTT for proper brain development and function. Further research analyzing the developmental expression of THTT and comparing effects of loss of function among different species will yield important information on the temporal effects of these transporters on brain development.

## STRUCTURAL ASPECTS OF THTT

Thyroid hormone transport proteins MCT8, MCT10, OATP1C1, as well as LAT1 and LAT2 belong to different subfamilies within a huge protein superfamily of transport proteins, the major facilitator superfamily, MFS (55). General insights into the function of such transmembrane proteins can be derived from pathogenic mutations, e.g., in MCT8 from patients affected by AHDS (56).

Substrate recognition by transport proteins is fundamentally different from ligand binding in, e.g., nuclear receptors: while receptors are optimized for high affinity binding of their ligands, this would be detrimental for transporters, as these have to release their substrates easily. Most receptors have one binding site, while THTT should have at least two – one accessible from the exterior and one accessible from the interior of the cell. These two binding sites may overlap and differ only according to conformational changes associated with transport. The question is thus, how can a transporter achieve specificity and at the same time prevent tight binding?

With the exception of MCT8, all other THTT transport additional substrates, namely amino acids, bile acids, or conjugated steroids. It should therefore be of particular interest to compare how different protein families have adapted to transport TH and whether the substrate–protein interactions are similar or not. Experimental structures are not available for any of the THTT. Homology models based on experimental structures have been created for OATP1C1 (57), MCT8 (39), and LAT1 (58).

The homology model of rat *Oatp1c1* was based on three high resolution crystal structures of bacterial transport proteins, lactose permease LacY, glycerol–phosphate transporter GlpT, and multidrug resistance protein EmrD. Authors achieved similar models with all templates and highlighted sequence identities between *Oatp1c1* and templates below 10%. Conserved amino acids between *Oatp1c1* and bacterial transporters were known to be functionally important in LacY and GlpT and may therefore not be involved in substrate specificity. While this work nicely shows that *Oatp1c1* conforms to the overall structure of the bacterial transporters, there is no information on how specificity is established (57).

Chemical probes reactive with cysteines (*p*-chloromercuric benzenesulfonate, pCMBS) or histidines (diethylpyrocarbonate, DEPC) were used to modify MCT8 and to test its activity afterward (59, 60). This approach suggested that Cys481, Cys497, and His192 may be close to the substrate translocation channel. Mutation to Ala of these critical amino acids rendered MCT8 resistant to pCMBS and DEPC.

We created a MCT8 homology model based on the inward-open conformation of GlpT and identified two charged amino acids within the transmembrane domains, Asp498 and Arg445, which are essential for transport (39). The homology model predicted a salt bridge between both residues. We suggested interaction of TH carboxyl and amino groups with these amino acids during transport, since TH analogs lacking the carboxyl or amino groups are not transported by MCT8 (39). The salt bridge was later independently confirmed by charge reversal mutants (61). MCT8 accepts only L- $T_3$ , L- $T_4$ , L-r $T_3$ , and L-3,3'- $T_2$  as substrates (39). Based on the occurrence of a His–Arg clamp pinching  $T_3$  in the  $T_3$  receptor  $\beta$  structure, we tested the hypothesis that a His–Arg pair spaced by about 15Å could serve the same purpose in MCT8 (62). Mutation of His192 (which may work together with Arg445 in an outward open conformation) clearly demonstrated His192 participation in substrate recognition (63). Interestingly, His192 corresponds to Gln88 in MCT10, a closely related homolog of MCT8 unable to transport  $T_4$  (64). Mutation of His415 and Arg301, conserved in MCT8 and MCT10, affected transport kinetics as expected from substrate interactions (63). These findings corroborate the usefulness of the MCT8 homology model and suggest how the substrate is bound by MCT8 – at least in the inward-open conformation.

Recently, a LAT1 homology model was presented based on the crystal structure of bacterial agmatine antiporter AdiC (58). Iodotyrosines were identified *in silico* as LAT1 substrates and confirmed experimentally. Interestingly, while carboxy and amino groups are present in all LAT1 substrates, modeling suggests that these functions are sampled by the transporter by backbone polar contacts instead of side chain contacts as predicted in MCT8 (58, 63). Different transporters may have adapted different strategies to recognize iodinated TH substrates.

## THTT IN CELL TYPES OF THE BRAIN

Analyses of brain regions provide important insight into THTT distribution. Immunohistochemical staining for Mct8 in mouse brains did not only show typical neuronal staining patterns, but also staining in astrocytes, CP, and tanycytes (7). However, most techniques like *in situ* hybridization or immunohistochemistry



do not allow for a real cell type-specific resolution and detection. Effects of TH uptake into neurons vs. astrocytes can not be dissected in complete brains. Primary cultures of mouse brain cells facilitate selection of a single cell type and the evaluation of effects of THTT deletion. Primary cultures of mouse neurons, astrocytes, and microglia can be used to detect THTT expression and functionality, as well as the reaction of specific cells on different conditions of TH access. Genetically engineered mouse lines can be used to create cell cultures originating from wildtype and transporter-deficient mice. Employing these cultures, we detected expression of *Mct8*, *Lat1*, and *Lat2* transcripts and proteins in neurons and astrocytes, while *Lat2* is additionally expressed in microglia (9, 65). Functional uptake studies in *Mct8*- and *Lat2*-deficient primary neuronal and astrocyte cell cultures demonstrated involvement of both transporters in  $T_3$  and  $T_4$  uptake into neurons and astrocytes (7, 9). The fraction of TH uptake mediated by transporters of the *Mct*, *Lat*, and *Oatp* groups can also be monitored by utilizing inhibitors of these transporters. Transport by *Mct* can be inhibited with bromosulphophthalein (BSP), while 2-aminobicyclo-(2,2,1)-heptane-2-carboxylic acid (BCH) is a *Lat* inhibitor and probenecid inhibits transport by *Oatps*. Uptake studies with these inhibitors gave very similar results on the involvement of these groups of transporters in TH uptake to genetic inactivation (7, 9).

Up to date, all concepts of interaction and cooperation between neurons and astrocytes imply astrocytes as providers of energy, communication and  $T_3$  for neurons. However, it has been shown that neurons are generally able to carry out all functions needed for energy metabolism by themselves. It is therefore quite possible that neurons are able to convert  $T_4$  to  $T_3$  by expressing functional *Dio2*. Cell type-specific animal models and primary cell cultures are of great importance to study the interaction of neurons and astrocytes regarding transfer of TH metabolites and regulation of deiodinases independent of TH uptake at the BBB.

## ACKNOWLEDGMENTS

Eva K. Wirth, Ulrich Schweizer, and Josef Köhrle were supported by funding of the Deutsche Forschungsgemeinschaft (WI3768/1-1, WI3768/2-1, KO922/16-1, GRK1208/2 TP3, Schw914/3-1, and SPP 1629 ThyroidTransAct).

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 10 April 2014; accepted: 07 June 2014; published online: 24 June 2014.

Citation: Wirth EK, Schweizer U and Köhrle J (2014) Transport of thyroid hormone in brain. *Front. Endocrinol.* **5**:98. doi: 10.3389/fendo.2014.00098

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Expression pattern of thyroid hormone transporters in the postnatal mouse brain

Julia Müller<sup>1</sup> and Heike Heuer<sup>1,2\*</sup>

<sup>1</sup> Leibniz Institute for Age Research – Fritz Lipmann Institute, Jena, Germany

<sup>2</sup> Leibniz Research Institute for Environmental Medicine, Düsseldorf, Germany

## Edited by:

Frédéric Flamant, École Normale Supérieure de Lyon, France

## Reviewed by:

Ann Marie Zavacki, Brigham and Women's Hospital, USA

Xuguang Zhu, National Institutes of Health, USA

## \*Correspondence:

Heike Heuer, Leibniz Institute for Age Research – Fritz Lipmann Institute, Beutenbergstr. 11, Jena D-07745, Germany  
e-mail: hheuer@fli-leibniz.de

For a comprehensive description of the tissue-specific thyroidal state under normal as well as under pathophysiological conditions it is of utmost importance to include thyroid hormone (TH) transporters in the analysis as well. The current knowledge of the cell-specific repertoire of TH transporters, however, is still rather limited, although several TH transporting proteins have been identified. Here, we describe the temporal and spatial distribution pattern of the most prominent TH transporters in the postnatal mouse brain. For that purpose, we performed radioactive *in situ* hybridization studies in order to analyze the cellular mRNA expression pattern of the monocarboxylate transporters Mct8 and Mct10, the L-type amino acid transporters Lat1 and Lat2 as well as the organic anion transporting peptide Oatp1c1 at different postnatal time points. Highest TH transporter expression levels in the CNS were observed at postnatal day 6 and 12, while hybridization signal intensities visibly declined after the second postnatal week. The only exception was Mct10 for which the strongest signals could be observed in white matter regions at postnatal day 21 indicating that this transporter is preferentially expressed in mature oligodendrocytes. Whereas Mct8 and Lat2 showed an overlapping neuronal mRNA expression pattern in the cerebral cortex, hippocampus, and in the hypothalamus, Oatp1c1 and Lat1 specific signals were most prominent in capillary endothelial cells throughout the CNS. In the choroid plexus, expression of three transporters (Mct8, Lat2, and Oatp1c1) could be detected, whereas in other brain areas (e.g., striatum, thalamus, and brain stem nuclei) only one of the transporter candidates appeared to be present. Overall, our study revealed a distinct mRNA distribution pattern for each of the TH transporter candidates. Further studies will reveal to which extent these transporters contribute to the cell-specific TH uptake and efflux in the mouse CNS.

**Keywords: Mct8, Mct10, Oatp1c1, Lat1, Lat2, T3, T4, CNS**

## INTRODUCTION

Thyroid hormone (TH) action requires the presence of TH transporters that facilitate its cellular uptake and efflux (1–4). Since TH metabolizing deiodinases as well as TH receptors are intracellularly active, TH transporter deficiency can greatly compromise tissue TH homeostasis. As the most prominent example, inactivating mutations in the monocarboxylate transporter 8 (MCT8; SCL16A2) gene, which encodes a very specific TH transporter (5), result in an abnormal serum TH profile with highly elevated levels of the receptor active hormone T3 (3,3',5'-triiodothyronine) and low T4 concentrations of the prohormone T4 (3,3',5,5'-tetraiodothyronine) (6–8). Moreover, patients with MCT8 mutations suffer from a severe form of psychomotor retardation suggesting that in the absence of MCT8, neural differentiation and function is severely impaired possibly due to insufficient TH supply during critical stages of development (9). The exact role of MCT8 is still enigmatic since only limited information is currently available concerning the cellular localization of MCT8 in the developing and adult human CNS (10–12).

Studies of Mct8 knockout (ko) mice unequivocally revealed that Mct8 is not the only protein involved in TH transport processes in the murine CNS (11, 13, 14). Although immunohistochemical studies and *in situ* hybridization (ISH) experiments showed pronounced Mct8 expression in distinct neuronal populations of the cerebral cortex, hippocampus, striatum, hypothalamus, and cerebellum (15), Mct8 ko mice do not display overt neurological symptoms (11), although they faithfully replicate the abnormal serum TH parameters characteristic for human MCT8 deficiency. These Mct8 ko mice also do not show immunohistochemically any abnormalities such as a delayed Purkinje cell development or an altered differentiation of inhibitory neurons in the cerebral cortex, both strong neuronal indicators for TH deprivation (11, 14). Based on these observations, it was hypothesized that other TH transporting proteins can compensate for the absence of Mct8 in the mouse CNS. Indeed, analysis of primary neuronal cultures from the mouse cortex revealed a collaborative action of Mct8 and the L-type amino acid transporter Lat2 that in addition to large neutral amino acids also accepts TH as substrates (11). Which

transporters, however, are co-expressed with Mct8 *in vivo* has not been sufficiently addressed yet.

Apart from neurons, Mct8 is present in capillary endothelial cells as well as in the choroid plexus structures, thus in cells that build up the blood–brain (BBB) and the blood–cerebrospinal fluid–barrier (BCSFB), respectively (10, 11, 15). That Mct8 is indeed involved in the passage of TH via the BBB and/or BCSFB could be demonstrated by *in vivo* transport studies. Uptake of T3 from the circulation into the CNS was strongly diminished in Mct8 ko mice, whereas the transport of T4 was only mildly compromised (14, 16) due to the presence of the T4 transporting organic anion transporting peptide Oatp1c1 (Slco1c1) (17–19). Indeed, the generation and analysis of Mct8/Oatp1c1 double knockout (dko) mice confirmed the physiological significance of both TH transporters for proper TH homeostasis in the murine brain since the brain T3 and T4 content of these animals was reduced to 10% of wild-type levels (20). Obviously, Mct8 and Oatp1c1 act as a pair in mediating TH access to the CNS, although the residual TH amounts found in brain homogenates of Mct8/Oatp1c1 dko mice suggest that additional, not yet identified TH transporters contribute to this process as well.

From all these mouse studies it became strikingly clear that a detailed determination of the tissue- and cell-specific repertoire of TH transporters is highly needed for a comprehensive understanding of TH trafficking, metabolism, and action under normal as well as pathological conditions. Recently, Braun et al. generated a developmental profile of TH transporter expression patterns in different brain regions by performing western blot analysis and qPCR studies (21). However, these studies did not provide any information regarding the cellular localization pattern. We therefore conducted a series of ISH experiments that allow the temporal and spatial analysis of TH transporter expression with a cellular resolution in the postnatal mouse brain. In addition to Mct8, Oatp1c1, and Lat2, we included the aromatic amino acid transporter Mct10 (Slc16a10) and the L-type amino acid transporter Lat1 (Slc7a5) in our study since both proteins have been shown to accept TH as substrates (22, 23) and may play an important role for TH transmembrane passage in the CNS as well.

## MATERIALS AND METHODS

### ANIMALS

All mice were provided with standard laboratory chow and tap water *ad libitum* and were kept at constant temperature (22°C) and controlled light cycle (12 h light, 12 h dark). Male wild-type mice were killed in accordance with local regulations (TLLV Thüringen, Erfurt, Germany; approval number TöA-FLI149-08) by CO<sub>2</sub> at different postnatal time points (P6, P12, P21, P84) and brains were frozen in isopentane cooled on dry ice. For each time point, three brains were prepared. Coronal cryosections with a thickness of 20 µm were cut with a cryostat and thaw mounted on superfrost slides (Thermo Scientific). Slides were stored at –80°C until further processing.

### IN SITU HYBRIDIZATION HISTOCHEMISTRY

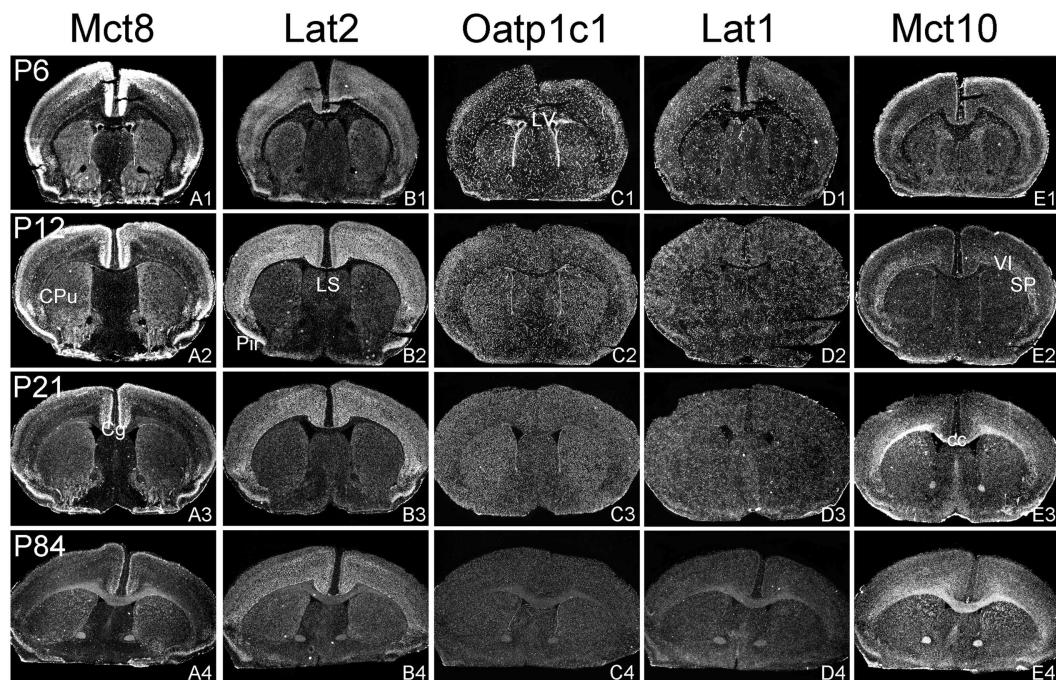
A cDNA fragment corresponding to nt 1251–1876 of mouse Mct8 (GenBank accession number AF045692), nt 911–1663 of mouse Mct10 (NM\_001114332), nt 921–1357 of mouse Lat1

(NM\_011404.3), nt 972–1457 of mouse Lat2 (NM-016972.2), nt 360–470 of mouse Oatp1c1 (NM\_021471.1) were generated by PCR and subcloned into the pGEM-T Easy Vector (Promega). Radiolabeled riboprobes were generated by *in vitro* transcription using <sup>35</sup>S-UTP as labeled substrate (Hartmann Analytik, Braunschweig, Germany). ISH was carried out as published elsewhere (24). In brief, frozen sections were air-dried, followed by an 1-h fixation in a 4% phosphate-buffered paraformaldehyde (PFA) solution (pH 7.4) and then permeabilized by incubation in 0.4% Triton-X 100/PBS for 10 min. Acetylation was carried out in 0.1 M triethanolamine (pH 8.0) containing 0.25% (v/v) acetic anhydride. Sections were dehydrated and then covered with hybridization mix containing cRNA probes diluted in hybridization buffer (50% formamide, 10% dextran sulfate, 0.6 M NaCl, 10 mM Tris–HCl pH 7.5, 1× Denhardt's solution, 100 µg/ml sonicated salmon sperm DNA, 1 mM EDTA, and 0.5 mg/ml t-RNA). <sup>35</sup>S-labeled riboprobes were diluted in hybridization buffer to a final concentration of 1 × 10<sup>4</sup> cpm/µl (Mct8) or 2 × 10<sup>4</sup> cpm/µl (Lat1, Lat2, Oatp1c1). Hybridization was performed over night at 58°C. Slides were rinsed in 2× standard saline citrate (0.3 M NaCl and 0.03 M sodium citrate, pH 7.0) and subsequently treated with ribonuclease A/T1 at 37°C for 30 min. Final washes were carried out in 0.2× standard saline citrate at 65°C for 1 h. For detecting radioactive hybridization signals, the sections were dehydrated and then exposed to x-ray film (BioMax MR, Eastman Kodak Co.) for 24–48 h. Thereafter, sections were dipped in Kodak NTB nuclear emulsion (Kodak) and stored at 4°C for 8 days (Mct8), 7 days (Lat1), 6 days (Lat2), or 11 days (Oatp1c1). Autoradiograms were developed and analyzed under dark-field illumination. As controls, consecutive brain sections were probed with the sense-strand probes of the same size and specific activity and processed in the same manner as the sections covered with the respective antisense probe. For none of these sense probes has a positive signal been encountered.

## RESULTS

The aim of the current study was to perform a comparative analysis of TH transporter expression patterns in the murine CNS at different postnatal time point. In particular, we aimed to determine the cellular distribution pattern of the transporters Mct8 (Slc16a2), Mct10 (Slc16a10), Lat1 (Slc7a5), Lat2 (Slc7a8), and Oatp1c1 (Slco1c1) that have all been described to facilitate the cellular transport of TH (25). Since only for a subset of these proteins specific antibodies are available, we employed a highly sensitive ISH technique using <sup>35</sup>S-labeled RNA probes. Brains were collected from C57/BL6 male mice at postnatal day 6 (P6), P12, P21, and P84 and consecutive frozen brain sections were subjected to the ISH procedure as described previously (24). For the description of the mRNA distribution at a cellular resolution, nuclear emulsion coated slides were examined under dark-field illumination using a light-microscope. Each antisense probe indeed produced a positive hybridization signal with a highly distinct cellular distribution pattern as visualized exemplarily in **Figures 1–4** for four different anatomical regions. In particular, **Figure 1** illustrates cortical and striatal expression at around Bregma 0.50 mm; **Figure 2** (between Bregma –1.8 and –2.2 mm) shows mRNA expression patterns in the cortex, hippocampus, thalamus, and hypothalamus;





**FIGURE 1 | Illustration of TH transporter mRNA expression in the murine forebrain.** Coronal cryosections of mouse brains collected at different postnatal days were hybridized with radioactively labeled riboprobes specific for Mct8 (A1–A4), Lat2 (B1–B4), Oatp1c1 (C1–C4), Lat1 (D1–D4), and Mct10

(E1–E4). Dark-field autoradiograms illustrate the mRNA expression patterns at the level of the striatum (CPU, caudate–putamen) around Bregma 0.5 mm. VI, layer 6 of the cerebral cortex; cc, corpus callosum; Cg, cingulate cortex, LV, lateral ventricle; Pir, piriform cortex; SP, subplate neurons (cerebral cortex).

**Figure 3** depicts TH transporter expression between Bregma  $-3.4$  and  $-4.4$  including mesencephalic areas; **Figure 4** shows cerebellar and brainstem expression pattern around Bregma  $-6.2$  [according to Franklin and Paxinos (26)].

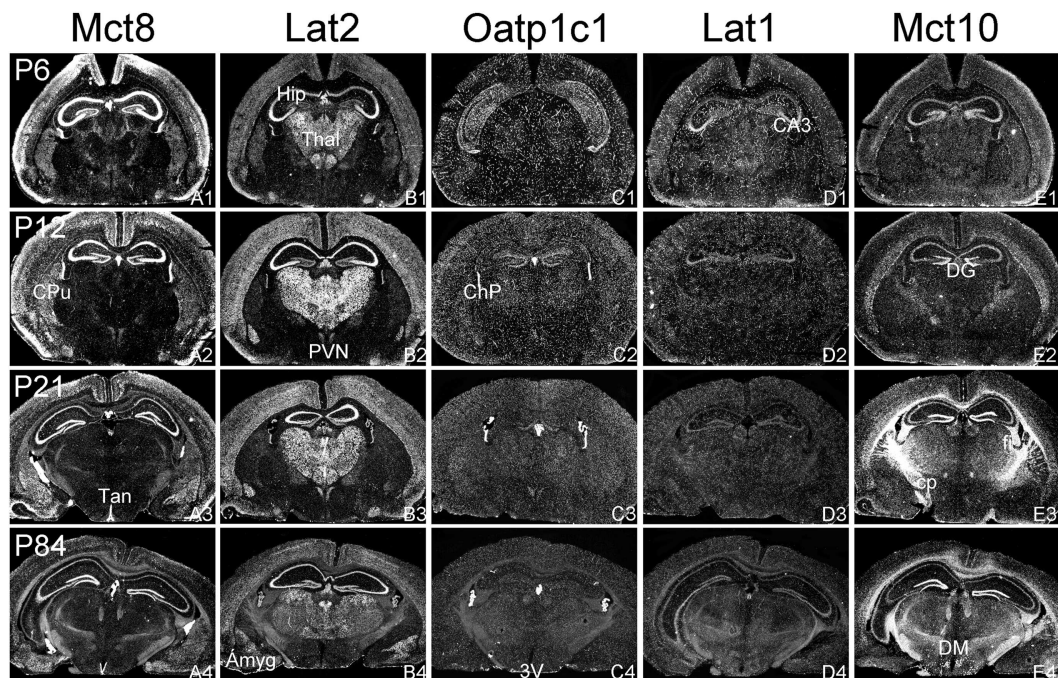
#### DISTRIBUTION PATTERN OF Mct8 AND Lat2

In agreement with our previous analysis (15) and immunohistochemical studies (11), Mct8 was found in different brain areas with strongest expression levels in pyramidal and granule cells of the hippocampus, in the choroid plexus and in tanyocytes of the third ventricle (**Figures 2A1–A4**). Pronounced hybridization signals were also found in the upper layers of the cerebral cortex, throughout the striatum (**Figures 1A1–A4**) as well as in cerebellar Purkinje cells particularly during the first postnatal weeks (**Figures 4A1–A4**). In these areas, Mct8 signal intensities were visibly reduced in the adult animals indicating a temporal decline in expression. A similar trend was also observed for Lat2 that exhibited an overlapping expression pattern with Mct8 in distinct areas such as the choroid plexus, the hippocampus, the cerebral cortex, and the hypothalamic neurons of the PVN (**Figures 2B1–B4**). Highest mRNA expression of Lat2, however, was observed throughout the thalamic region, an area devoid of Mct8 specific hybridization signals (**Figures 2B1–B4**). Lat2 specific mRNA signals were also found in the pontine nucleus (**Figures 3B1–B4**), various brain stem nuclei such as the facial nucleus, hypoglossal nucleus, and the raphe nuclei (**Figures 4B1–B4**) where Mct8 expression could not be detected. Another example for a complementary expression of Mct8 and Lat2 was observed in the

cerebellar cortex where Mct8 transcript levels could be detected in granule precursor cells of the external granule cell layer at P6 as well as in developing Purkinje cells (at P6 and P12), whereas Lat2 was most intensely expressed in mature granule cells of the internal granule cell layer (**Figures 4A1–A4, B1–B4**). Overall, our results indicate a co-expression of both TH transporters only in distinct areas of the mouse CNS.

#### DISTRIBUTION PATTERN OF Oatp1c1 AND Lat1

Our ISH analysis confirmed published studies indicating a preferential expression of Oatp1c1 in choroid plexus structures, ventricle ependymal cells, and capillary endothelial cells (**Figures 1C1–C4** and **2C1–C4**) (10, 18, 27). In addition to the elongated hybridization signals typically for vessel structures, ISH analysis for Oatp1c1 also revealed scattered signals throughout the CNS with slightly stronger intensities in the hippocampal formation (**Figures 2C1–C4** and **3C1–C4**). Such an expression pattern points to an astroglial localization of this transporter in line with previous findings (28). A capillary localization could also be confirmed for Lat1. However, in contrast to Oatp1c1, Lat1 is not expressed in the choroid plexus. Moreover, at P6 and, to a lesser extent at P12, Lat1 specific hybridization signals were detected in few neuronal populations such as in pyramidal neurons of the hippocampal CA3 region and the cerebellar granule cells indicating that during early stages of postnatal development, Lat1 is also present in distinct neurons (**Figures 2D1–D4** and **4D1–D4**). For both transporters Oatp1c1 and Lat1, hybridization signal intensities were



**FIGURE 2 | mRNA distribution patterns of TH transporters in the murine hippocampus and diencephalon.** Mct8 (A1–A4) and Lat2 (B1–B4) exhibited an overlapping mRNA expression in the cerebral cortex, hippocampus (Hip), amygdala (Amyg), hypothalamic paraventricular nucleus (PVN), and choroid plexus (ChP). Mct8 but not Lat2 is highly expressed in tanycytes (Tan) lining the third ventricle (3V). In contrast, Lat2 but not Mct8 is strongly expressed in thalamic nuclei (Thal). Elongated hybridization signals scattered throughout

the CNS indicate a capillary localization of Oatp1c1 (C1–C4) and Lat1 (D1–D4). In addition, Lat1 is present in the hippocampal CA3 neurons at postnatal day P6. Mct10 (E1–E4) is also temporarily expressed in hippocampal and cortical neurons. At P21, however, highest hybridization signal intensities are observed in white matter areas [e.g., in the cerebral peduncle (cp) or the hippocampal fimbria (fi)]. DG, dentate gyrus; DM, dorsomedial hypothalamic nucleus.

significantly reduced at P84 indicating an age-dependent decline in the respective transporter expression.

#### DISTRIBUTION PATTERN OF Mct10

Determination of Mct10 mRNA expression in the mouse brain at P6 and P12 revealed overall only very weak signal intensities in specific areas such as layer 6, and subplate neurons of the cerebral cortex as well as granule cells of the dentate gyrus and of the cerebellar cortex (Figures 1E1–E4 and 2E1–E4). At P21, strong signals could be detected throughout white matter regions suggesting that Mct10 is highly enriched in mature oligodendrocytes. In addition, Mct10 expression was clearly visible in the adult brain in neurons of the dentate gyrus and in distinct hypothalamic nuclei such as the dorsomedial nucleus (Figures 2E1–E4). Overall, Mct10 mRNA expression appeared to increase with increasing age of the animals.

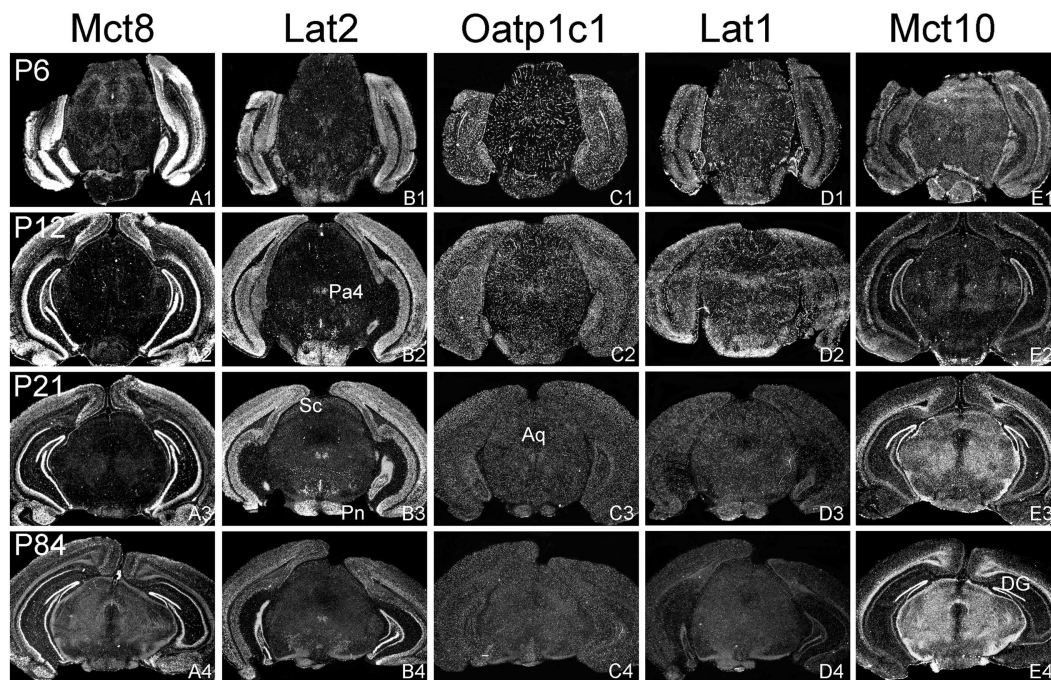
#### DISCUSSION

Though the importance of TH transporters for proper TH action in the developing and mature CNS has been widely acknowledged, very limited information has been provided so far concerning the localization of TH transporter candidates both in the human as well as mouse CNS. In particular, the generation and analysis of Mct8 deficient mice have raised many questions since these animals lack any overt neurological symptoms, an unexpected finding in light of the pronounced expression of Mct8 in neuronal

populations (15). Indeed, an area- as well as cell-type specific analysis of the thyroïdal state revealed overall only a mild T3-deprivation such as in striatal cells (14), a normal T3-status in cerebellar neurons (14, 16) and very subtle changes in the cerebral cortex (29). The latter finding has been explained by the presence of Lat2 in cortical neurons that may compensate for the absence of Mct8 in the mouse brain (11). In support for this hypothesis, we could observe a pronounced neuronal expression of Lat2 throughout the cortex with highest signal intensities at P12. Mct8 and Lat2 may also co-operate in mediating TH transport in pyramidal cells of the hippocampus and in neurons of the hypothalamic paraventricular nucleus where transcripts for both transporters could be detected. Possibly, TRH expressing neurons are dependent on Mct8 and Lat2 for a proper negative feedback regulation within the hypothalamus–pituitary–thyroid axis. In this respect, it would be of most interest to study the TH-sensitivity of these neurons in Mct8/Lat2 double deficient animals.

Our analysis, however, also revealed striking differences in the Mct8 and Lat2 distribution pattern with the striatum, thalamus, and brain stem nuclei as the most evident examples. Based on these observations, we assume that besides Lat2, additional proteins must be present in the mouse brain that can facilitate the transmembrane passage of TH in neurons in the absence of Mct8.

One possible candidate is Mct10 that accepts in addition to aromatic amino acids TH as substrate as well (22). Mct10 protein



**FIGURE 3 | Differential expression of TH transporters in midbrain regions.**

Whereas Mct8 mRNA expression is close to the detection limit in the midbrain area (**A1–A4**), Lat2 specific hybridization signals (**B1–B4**) are observed in distinct nuclei such as the paratrochlear nucleus (Pa4) and the

pontine nuclei (Pn). Weak signals for Lat2 are also found in the superior colliculi (Sc). Oatp1c1 (**C1–C4**) and Lat1 (**D1–D5**) exhibit a capillary expression pattern whereas Mct10 (**E1–E4**) is strongly expressed in the white matter and dentate gyrus neurons (DG). Aq, aqueduct.

was localized in neurons of the human hypothalamus (12), but its localization in the mouse brain has not been experimentally addressed yet. Here, we could detect Mct10 transcripts in neurons of the hippocampus where Mct10 showed an overlapping expression with Mct8. In contrast, Mct8 and Mct10 exhibited a complementary distribution pattern in the cerebral cortex, which makes a compensatory function of Mct10 in the absence of Mct8 rather unlikely. Moreover, our recent characterization of Mct10/Mct8 deficient animals did not reveal a pronounced TH deficiency in the CNS (30) indicating that at least in the developing murine brain, Mct10 does not significantly contribute to TH transport processes.

Intense Mct10 specific signals were found in white matter regions of the murine CNS at P21 and P84 suggesting that this transporter is highly expressed only in mature oligodendrocytes. It therefore remains to be investigated, which proteins are involved in supplying immature oligodendrocytes with TH particularly since TH represents a critical factor for proper differentiation of these cells (31, 32).

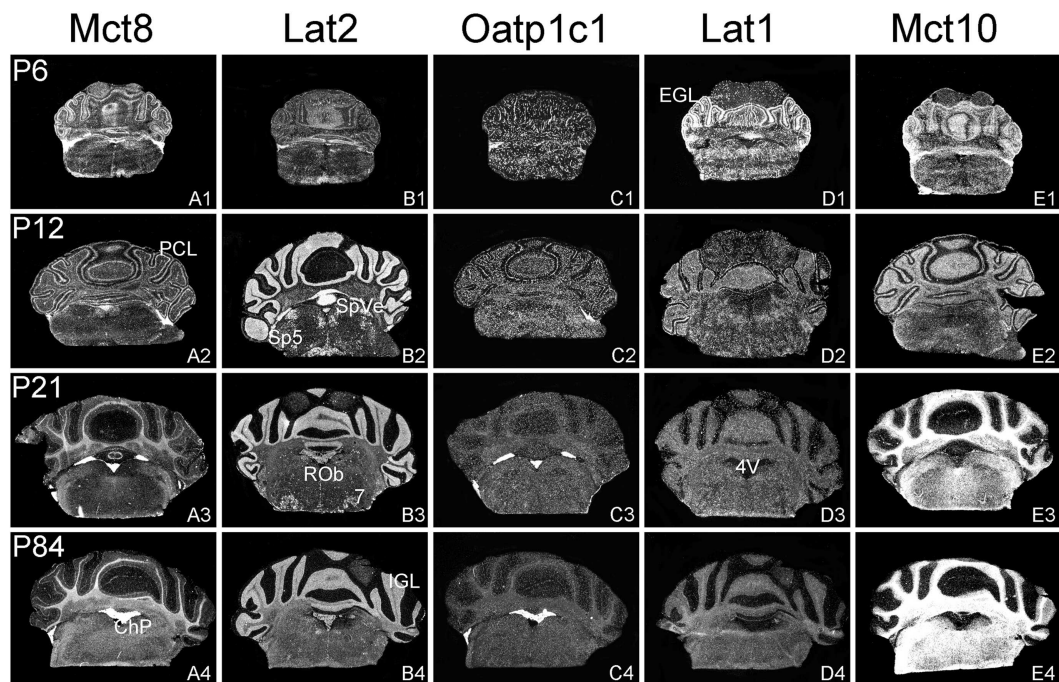
Previous studies of Mct8 ko mice demonstrated a critical role of this transporter in mediating the uptake of T3 via the BBB and/or the BCSFB (14, 16). This physiological function correlates with a strong expression of Mct8 in choroid plexus structures, tanycytes of the third ventricle as well as in capillary endothelial cells as evidenced by immunohistochemical studies (10, 11). Remarkably, Mct8 mRNA expression could only be detected by ISH in larger vessels while choroid plexus structures and tanycytes

displayed strong Mct8 mRNA specific signals. The reason for the discrepancy between transcript and protein levels in capillary Mct8 expression cannot be solely related to possible limitations of the ISH procedure since smaller capillaries throughout the brains were successfully visualized with radioactive Oatp1c1 and Lat1 specific RNA probes. It therefore needs to be further assessed in a cell-specific manner how Mct8 expression is regulated on the transcriptional as well as on the translational level.

While in mice, inactivation of Oatp1c1 alone had only mild consequences on the thyroid state of the CNS (27), Mct8/Oatp1c1 dko mice displayed a highly diminished transport of T4 and T3 into the CNS, a finding that underscores the concerted function of Mct8 and Oatp1c1 in facilitating the brain entry of TH via the BBB and/or BCSF (20). Moreover, brain TH concentrations in Mct8/Oatp1c1 were reduced to 10% of the respective wild-type levels indicating a robust hypothyroid state in the CNS. However, it is currently unclear by which pathway the residual TH enters the brain in these animals. In this process, Lat1 may possibly be involved as our ISH analysis revealed a preferential expression of this transporter in capillary cells as well. The exact physiological contribution of Lat1 for TH traffic in the brain will only be unraveled following the generation and analysis of the respective mouse mutants that are either deficient in Lat1 alone or lack even all three TH transporters.

In summary, our ISH analysis revealed a distinct and unique temporal and spatial mRNA expression pattern for all five TH transporter candidates in the murine brain. Although we cannot





**FIGURE 4 | Cerebellar and brainstem expression pattern of TH transporters.** In the developing cerebellum, Mct8 specific hybridization signals can be found in the external granule cell layer (EGL) and the Purkinje cell layer (PCL) (**A1,A2**) whereas after weaning Mct8 mRNA expression is largely restricted to choroid plexus structures of the fourth ventricle (4V) (**A3,A4**). In comparison, Lat2 mRNA levels are high in the internal granule cell layer (IGL) indicating that mature granule cells express this transporter

(**B1–B4**). Lat2 specific signals could also be found in various brainstem nuclei such as the facial nucleus (7), the raphe obscurus nucleus (ROb), the spinal 5 nucleus (Sp5), and the spinal vestibular nucleus (SpVe). Oatp1c1 is highly expressed in choroid plexus as well as in endothelial cells (**C1–C4**) where also Lat1 staining can be observed. In addition, Lat1 expression is found in the EGL and PCL of the cerebellar cortex at P6 (**D1–D4**). Mct10 expression pattern indicates a pronounced localization in mature oligodendrocytes (**E1–E4**).

provide any information about the respective protein levels and subcellular localization, our study will provide a solid ground for determining the cell-specific function of these transporters by taking advantage of conditional mouse mutants. Our data, however, also disclosed that additional TH transporter proteins need to be discovered in order to fully understand TH traffic in such a complex and important TH target organ as the CNS.

## ACKNOWLEDGMENTS

This work was supported by grants of the DFG [HE3418/5-1, HE3418/7-1 (SPP1621), and RTG1715]. We would like to thank Sabine Landmann (FLI, Jena) for excellent technical assistance as well as Christian Hahn (FLI, Jena) and Edith Friesema (Erasmus Medical Center, Rotterdam, Netherlands) for providing us with the respective Lat2 and Mct10 cDNA templates for generating cRNA probes.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 05 May 2014; paper pending published: 22 May 2014; accepted: 04 June 2014; published online: 18 June 2014.

Citation: Müller J and Heuer H (2014) Expression pattern of thyroid hormone transporters in the postnatal mouse brain. *Front. Endocrinol.* 5:92. doi: 10.3389/fendo.2014.00092

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Thyroid hormone action: astrocyte–neuron communication

Beatriz Morte\* and Juan Bernal\*

Instituto de Investigaciones Biomédicas “Alberto Sols,” Consejo Superior de Investigaciones Científicas, Center for Biomedical Research on Rare Diseases (CIBERER), Universidad Autónoma de Madrid, Madrid, Spain

## Edited by:

Noriyuki Koibuchi, Gunma University  
Graduate School of Medicine, Japan

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Veerle M. Darras, Katholieke  
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Heike Heuer, Leibniz Institute for Age  
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Germany

## \*Correspondence:

Beatriz Morte and Juan Bernal,  
Instituto de Investigaciones  
Biomédicas “Alberto Sols,” Consejo  
Superior de Investigaciones  
Científicas, Center for Biomedical  
Research on Rare Diseases  
(CIBERER), Universidad Autónoma de  
Madrid, Arturo Duperier 4, Madrid  
28029, Spain  
e-mail: bmorte@iib.uam.es;  
jbernal@iib.uam.es

Thyroid hormone (TH) action is exerted mainly through regulation of gene expression by binding of T3 to the nuclear receptors. T4 plays an important role as a source of intracellular T3 in the central nervous system via the action of the type 2 deiodinase (D2), expressed in the astrocytes. A model of T3 availability to neural cells has been proposed and validated. The model contemplates that brain T3 has a double origin: a fraction is available directly from the circulation, and another is produced locally from T4 in the astrocytes by D2. The fetal brain depends almost entirely on the T3 generated locally. The contribution of systemic T3 increases subsequently during development to account for approximately 50% of total brain T3 in the late postnatal and adult stages. In this article, we review the experimental data in support of this model, and how the factors affecting T3 availability in the brain, such as deiodinases and transporters, play a decisive role in modulating local TH action during development.

**Keywords: thyroid hormone, type 2 deiodinase, astrocytes, fetal and postnatal brain, thyroid hormone transporters, T3 availability**

## THE MODEL

Thyroid hormone (TH) action is exerted mainly through regulation of gene expression by binding of T3 to the nuclear receptors (1, 2). T4 plays an important role as a source of intracellular T3 in the central nervous system via the action of the type 2 deiodinase (D2). This process is regulated physiologically. D2 activity in brain increases during development in correlation with the more T3 sensitive developmental period. D2 activity and T3 concentrations are synchronized in a spatial and temporal fashion to determine critical brain processes such as myelination, neuronal migration, glial differentiation, and neurogenesis. On the other hand, D2 activity is inversely regulated by T4, so that brain T3 levels fluctuates less than circulating TH levels, due to changes in D2 activity in response to changes in T4 availability at least at postnatal and adult stages (3).

Although neurons are the primary target of T3 actions, Guadaño-Ferraz et al. (4) demonstrated that D2 expression takes place predominantly, if not exclusively, in glial cells: the tanycytes (5) lining part of the third ventricle surface and in the astrocytes throughout the brain. The *Dio2* mRNA was not restricted to the cell body but was also present along the cellular processes. This observation indicated an important role for glial cells in TH homeostasis in the brain and a close coupling between glial cells and neurons in TH metabolism. According to these observations, the authors suggested a model of T3 availability to neural cells. On the one hand, circulating T4 and T3 would enter the brain through the blood–brain barrier (BBB). T4, upon entering the brain would reach the astrocytes through their end-feet in contact with the capillaries, and produce additional T3 by D2-mediated deiodination.

## EVIDENCE FROM TRANSCRIPTOMIC DATA

Support for the glial specificity of *Dio2* expression came from transcriptomic studies by Cahoy et al. (6). These authors performed transcriptomic studies in primary neural cells isolated from the mouse brain, without further manipulations to establish the patterns of gene expression representative from the different cell types *in vivo*. *Dio2* was enriched up to 50 times in the astrocytes, and therefore may be considered as a highly specific astrocyte gene. Despite this cellular specificity, there is evidence that *Dio2* is also expressed in cells other than the astrocytes in some situations. For example, in profound hypothyroidism in the rat, *Dio2* expression was also observed in a fraction of cerebral cortex interneurons (7). It was more recently demonstrated that astrocyte-specific inactivation of *Dio2* (GFAP–Cre–*Dio2*KO mice) reduced D2 activity in brain to less than 10% of the control (8).

## EVIDENCE FROM PARACRINE INTERACTIONS *IN VITRO*

Freitas et al. (9) studied *in vitro* the evidence for a paracrine interaction between astrocytes and neurons. The goal was to check whether the T3 generated in glial cells was able to activate neuronal gene expression. This experimental system was based on an *in vitro* co-culture system of H4 human glioma cells expressing D2 and neuroblastoma cells. The two cell types were located in two adjacent compartments bathed with the same culture media. The authors demonstrated that upon incubation with T4, D2 activity in glial cells resulted in increased T3 production that reached neurons and promoted TH-responsive gene expression.

## EVIDENCE FROM TRANSPORTER PATHOPHYSIOLOGY: DIRECT FUNCTIONAL DEMONSTRATION OF THE PARACRINE MODEL *IN VIVO*

A large body of evidence has accumulated in recent years demonstrating the crucial importance of transporters in mediating the cellular uptake of THs through the cell membranes (10). The most specific and physiologically relevant transporters for THs identified so far are the monocarboxylate transporter 8 (MCT8, SLC16A2), and the organic anion transporting polypeptide 1C1 (OATP1C1, SLCO1C1). MCT8 mutations cause an X-linked syndrome with severe psychomotor retardation and elevated serum T3 levels, indicating the importance of this transporter in TH availability to the brain (11, 12). Other transporters may also contribute to this process although their specific roles are less clear.

MCT8 transports T4 and T3 (13) and OATP1C1 exhibits a remarkable affinity and specificity toward T4 and rT3 (14, 15). Roberts et al. (16) reported the presence of Oatp1c1 protein in the abluminal side of endothelial capillary cells forming the BBB. The Oatp1c1 signal overlapped partially with aquaporin 4, a marker of astrocytes' end-feet, which are in contact with brain micro capillaries. Mct8/MCT8 is also expressed in the micro capillaries in rodent and human, but not in the astrocytes' end-feet. In addition, Mct8 is also expressed in neurons and choroid plexus (17).

Based on the preferences for TH transport and on the expression patterns of these two transporters, delivery of circulating T4 and T3 to the brain may be formulated in the following way: circulating T3 and T4, crossing the BBB through Mct8 would be delivered to the extracellular fluid, reaching directly the neural cells in the proximity of the micro capillaries. On the other hand, T4, but not T3, would be delivered directly to the astrocytes after Oatp1c1-mediated transport through the BBB. It is important to notice that OATP1C1 is poorly expressed in the BBB of human fetus (16) and adult primates (18) and therefore in the human brain, T4 transport is dependent on MCT8.

We provided experimental evidence in support for this model in rodents by measuring the relative effects of T4 and T3 on brain gene expression in *Mct8* knockout mice (*Mct8KO*) (19). T3 or T4 were administered to wild-type (WT) and to *Mct8KO* mice previously made hypothyroid, and expression of two neuronal target genes was measured in the cerebellum and striatum. Whereas T4 and T3 were similarly active in WT mice, the *Mct8KO* mice only responded to T4. The data suggested that the critical restriction to T3 transport in the absence of Mct8 is located at the BBB rather than at the plasma membrane of individual neurons, where other transporters can substitute for Mct8. The similarity in the effects of T4 in the *Mct8*-deficient and in the WT mice, suggested that T4 can reach the astrocytes in the *Mct8*-deficient mice through a different transporter, most probably Oatp1c1, and produce enough T3 to regulate neuronal gene expression through a paracrine interaction. Actually, the increased D2 activity present in the *Mct8KO* mice (20, 21), would facilitate this pathway in face of lower circulating T4, and restricted brain uptake of T3. The consequence is that the *Mct8KO* mice maintain brain gene expression similar to WT mice, with a few exceptions. Direct proof that D2 activity was indeed responsible for normal expression of most brain TH-regulated genes in the absence of Mct8 was also provided (22). In the *Mct8KO* mice, inactivation of D2 led to patterns of brain gene

expression that were similar to that of severely hypothyroid mice, highlighting the importance of D2.

Therefore, the studies on the *Mct8*-deficient mice evidenced that the factors affecting T3 availability in the brain, namely deiodinases and transporters, play a decisive role in modulating local TH action. These studies also gave a functional direct demonstration of the critical role of astrocytes in regulating the amount of T3 available for neuronal uptake *in vivo*. The model also explains why the double inactivation of *Mct8* and *Oatp1c1* transporters (23) leads to a situation in the brain similar to hypothyroidism, as in the double *Mct8* and *Dio2* KO.

## THE RELATIVE CONTRIBUTIONS OF DIRECT T3 UPTAKE FROM THE CIRCULATION AND THE LOCAL T3 PRODUCTION IN THE ASTROCYTES

### POSTNATAL AND ADULT RODENTS

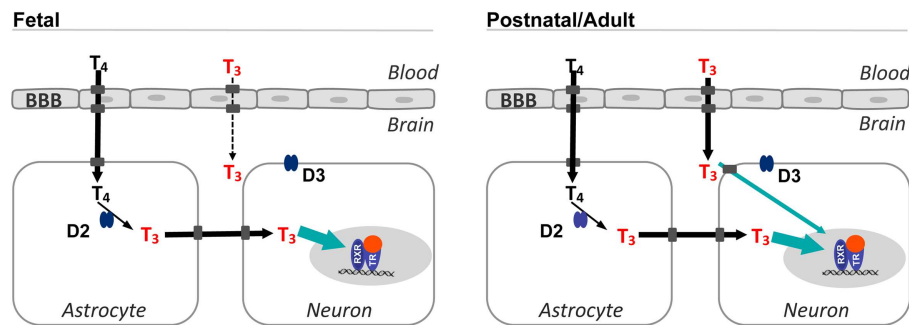
In the *D2*-deficient mice, brain T3 concentrations at postnatal day 15 are about half of normal, suggesting that at least from this post-natal age onward, 50% of the total brain T3 derives from direct T3 uptake from the circulation and another 50% derives from local T3 production in the astrocytes (24) (Figure 1). In support of this, *Mct8* inactivation also leads to roughly 50% reduction of brain T3 (21).

Local production of T3 accounts for a much higher occupancy of brain nuclear receptors compared to the liver. Crantz et al. (25) estimated that local conversion of T4 to T3 accounted for 77% of the nuclear T3 receptor occupancy in the cerebral cortex and up to 37% in the cerebellum. Circulating T3 was responsible for about 20–25% of nuclear receptor occupancy. These authors concluded that the nuclear receptors were almost saturated by T3 in the cortex, and at 60% of total receptor capacity in the cerebellum. Full receptor saturation under euthyroid conditions may explain why TH-responsive genes in the cortex of euthyroid animals do not respond or do so modestly above the normal expression level after administration of excess T3. In studies of T3 administration to *Dio3* KO mice, we found that the response to high doses of T3 was higher in *D3*-deficient mice than in the WT euthyroid mice (26). Therefore, nuclear occupancy was not a limiting factor in gene responses to T3, and the reason why the euthyroid brain does not respond genomically to excess T3 is due to the protective action of *D3*.

Despite the importance of *D2* for the local generation of T3, *D2*-deficient mice showed normal expression of several genes positively regulated by T3 (24). We confirmed these observations for additional genes, and showed that in the absence of *D2*, the expression levels of positively regulated genes are maintained by T3 from the circulation (22). However, expression of the negatively regulated genes is more frequently affected by *D2* inactivation, suggesting that they are sensitive to the source of T3. The mechanism underlying these observations is unknown, and somehow the source of T3 may influence directly or indirectly the cellular T3 content.

### THYROID HORMONE TRANSPORT IN THE FETAL BRAIN

The fetal brain depends almost entirely from T4 monodeiodination, so that most brain T3 is produced locally from T4 (Figure 1). In the human fetal brain, despite the presence of T3 receptors



**FIGURE 1 | Sources of T3 in the fetal and postnatal brain.** T4 from the circulation crosses the BBB and reaches the astrocytes and is converted to T3 by type 2 deiodinase. This is the main source of T3 in the fetal brain. In postnatal and adult animals, T3 from the circulation can also access the brain. The proportion of T3 from the circulation increases up to 50% in the late postnatal stages. *In situ* T3 production accounts for a high occupancy of thyroid hormone receptors (green arrow), and is also important for expression of genes regulated negatively by thyroid hormone. Transporters in the cell membranes are represented by gray squares,

without naming any specific transporter. Although in the rodent brain, the BBB expresses Mct8 and Oatp1c1, the latter is not present in the primate BBB. T4 enters the astrocytes most likely through Oatp1c1. Passage of T3 from astrocytes to neurons is facilitated by Mct8 and also other transporters, since in Mct8 KO mice there is no apparent restriction for the passage of astrocytic T3 to neurons. Type 3 deiodinase is localized in the plasma membrane of neurons. BBB, blood–brain barrier; D2, type 2 deiodinase; D3, type 3 deiodinase; TR, thyroid hormone receptor; RXR, 9-cis retinoic acid receptor.

and T4 in tissues by the second trimester, and even before, only the brain showed a significant T3 concentration in the nucleus as compared with other tissues (27). This observation indicates that the main source of T3 in the fetal brain is local T4 deiodination. In support of this idea, D2 activity rises in the human cerebral cortex during the second trimester in parallel with T3 concentrations (28).

In the rat (29, 30), physiological doses of T4 administered to hypothyroid pregnant rats could normalize T3 concentrations in the brain and increase neuronal gene expression. In contrast, administration of even large doses of T3 to the mother failed to increase T3 concentration in the fetal brain despite reaching other tissues, and was not able to normalize fetal gene expression. The rat fetal brain contains significant D2 activity (31) making it plausible that most if not all brain T3 is produced locally.

### WHY DOES CIRCULATING T3 CANNOT ENTER THE FETAL BRAIN?

The reason why the fetal brain is apparently impermeable to circulating T3 is unknown. A possible explanation could be a lack of expression of the Mct8 transporter. To address this issue, the expression of Mct8 and of the specific T4 transporter Oatp1c1 were analyzed by confocal microscopy in the prenatal rat cortex. Both proteins were present in the brain capillaries and in the epithelial cells of the choroid plexus (30).

If T3 cannot enter the brain despite expression of Mct8, what is the role of this transporter in the fetal brain? It is possible that Mct8 at early stages of development has a more prominent role in TH efflux from the brain (32, 33) as proposed in the liver for Mct8 and Mct10 (34). Another possibility is that circulating T3 crossing the BBB through Mct8-mediated transport is deposited directly in the extracellular fluid where it could be rapidly degraded by neuronal D3 activity (35). D3 is abundantly expressed in fetal tissues including the brain (36, 37), where it is expressed in neurons (38, 39) and serves as a fine regulator of tissue TH concentrations. For

example, in the human fetal brain, D3 activity in the cerebellum prevents the accumulation of T3 during mid-gestation (28), at the same time that D2 activity is responsible for T3 accumulation in the cortex. Hernandez et al. (40) demonstrated that D3 plays a critical role in maintaining low levels of TH during fetal and early neonatal life in mice. During postnatal development, disruption of the *Dio3* gene increases basal expression of the T3-target gene *Hr* (41). The catalytic site of D3 was earlier proposed to face the extracellular fluid (42, 43). If this was true, T3 in the extracellular fluid of the fetal brain would be easily accessible to D3-mediated degradation. However, more recent evidence derived from *Dio3* transfection experiments indicates that D3 substrates need to be internalized for degradation (44). Even so, it may be speculated that the topography of D3 localization in the plasma membrane could allow easier and faster degradation of substrates crossing the neuronal membrane from the extracellular fluid. Within this context, one attractive, but still speculative hypothesis is that the increased proportion of T3 entering the brain from the circulation, taking place from fetal to postnatal stages, is due a decrease of D3 activity. The contribution of systemic T3 would then increase in parallel to account for approximately 50% of the total brain T3.

### LOCAL GENERATION OF T3 FROM T4 IN THE CONTEXT OF ASTROGLIAL MATURATION

The contention that in the fetal brain most T3 derives from T4 has to be examined in the context of D2 activity and maturation of D2-expressing astrocytes during development. The main surge of D2 activity in the rat brain is postnatal, with a peak around postnatal day 15, a time in which the highest T3 concentration in the brain is reached. In the fetal brain, D2 activity is low, and shows a discrete peak just before birth, at prenatal days 18–21 (31, 45). Consequently, brain T3 concentrations during the perinatal period are low, about half to one-third of adult rats.

Some aspects of the model are not well-understood, and should be refined to take into account several factors. One is astrocyte

development, which is mainly postnatal (46), increasing in number during postnatal stages, and following a pattern similar to D2 activity. Although the role of astrocytes during the fetal and early postnatal periods has been questioned (47), native astrocytes isolated from the P1 mouse brain already contain a high concentration of *Dio2* mRNA (6). It may be that the small population of astrocytes present in the last few days of fetal stages has an important role in the local formation of T3 in the brain. Another difficulty is to extrapolate the model to the human situation. The human brain expresses little OATP1C1 in the BBB, and T4 and T3 transport apparently rely exclusively on MCT8. Therefore, entry of T4 to the astrocytes has to take place through a different transporter. Specifically addressing the patterns of thyroid hormone transporter, and deiodinases in the fetal and postnatal human brain should shed light on these issues.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 01 April 2014; paper pending published: 22 April 2014; accepted: 16 May 2014; published online: 30 May 2014.

Citation: Morte B and Bernal J (2014) Thyroid hormone action: astrocyte–neuron communication. *Front. Endocrinol.* 5:82. doi: 10.3389/fendo.2014.00082

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Thyroid hormone role on cerebellar development and maintenance: a perspective based on transgenic mouse models

Larissa C. Faustino and Tania M. Ortega-Carvalho\*

Laboratório de Endocrinologia Molecular, Instituto de Biofísica Carlos Chagas Filho, Universidade Federal do Rio de Janeiro, Rio de Janeiro, Brazil

## Edited by:

Frédéric Flamant, Ecole Normale Supérieure de Lyon, France

## Reviewed by:

Heike Heuer, Leibniz Institute for Age Research – Fritz Lipmann Institute, Germany

Isabelle Dusart, Centre National de la Recherche Scientifique, France

## \*Correspondence:

Tania M. Ortega-Carvalho, Laboratório de Endocrinologia Molecular, Instituto de Biofísica Carlos Chagas Filho, Universidade Federal do Rio de Janeiro, Av. Carlos Chagas Filho, s/n Cidade Universitária, Rio de Janeiro 21941-902, Brazil  
e-mail: taniaort@biof.ufrj.br

Cerebellum development is sensitive to thyroid hormone (TH) levels, as THs regulate neuronal migration, differentiation, and myelination. Most effects of THs are mediated by the thyroid hormone receptor (TR) isoforms TR $\beta$ 1, TR $\beta$ 2, and TR $\alpha$ 1. Studies aimed at identifying TH target genes during cerebellum development have only achieved partial success, as some of these genes do not possess classical TH-responsive elements, and those that do are likely to be temporally and spatially regulated by THs. THs may also affect neurodevelopment by regulating transcription factors that control particular groups of genes. Furthermore, TH action can also be affected by TH transport, which is mediated mainly by monocarboxylate transporter family members. Studies involving transgenic animal models and genome-wide expression analyses have helped to address the unanswered questions regarding the role of TH in cerebellar development. Recently, a growing body of evidence has begun to clarify the molecular, cellular, and functional aspects of THs in the developing cerebellum. This review describes the current findings concerning the effects of THs on cerebellar development and maintenance as well as advances in the genetic animal models used in this field.

**Keywords:** thyroid hormones, genes, cerebellum, brain development, animal models

## INTRODUCTION

The thyroid hormones (THs) thyroxine (T<sub>4</sub>) and 3,5,3'-triiodothyronine (T<sub>3</sub>) are essential for embryonic development and play critical roles in cellular metabolism, acting primarily through the stimulation of oxygen consumption and basal metabolic rate (1, 2). THs are necessary for proper central nervous system (CNS) development, and they have long been known to regulate neuronal differentiation and migration, synaptogenesis, and myelination (3–6). The cerebellum is located near the rear of the brain stem at the midbrain–hindbrain junction, and this structure is generally thought to coordinate proprioceptive–motor functions, although more recently, it has also been associated with neurocognition (7, 8). The cerebellum was one of the first targets of THs to be identified, and it is a useful model for studying the mechanisms by which THs influence the CNS. In particular, the cerebellum has a relatively homogenous and simple structure with a well-characterized laminar organization and a small number of cell types that develop within spatially defined regions (9–11).

The majority of TH actions are mediated through the binding of T<sub>3</sub> to nuclear thyroid hormone receptors (TRs), which act as ligand-modulated transcription factors that modify the expression of target genes (12). Fundamentally, TH nuclear signaling is mediated by interactions between TRs and specific DNA sequences known as thyroid response elements (TREs), which associate with a variety of co-factors within the regulatory regions of target genes (12, 13). TR isoforms are expressed in several brain regions, including the cerebellum (14, 15). However, the target genes of THs and the cells that express genes likely to be involved in cerebellar development and maintenance are still not well-established (6, 16).

In addition to the classical roles of TH in the nucleus, TH can also initiate rapid effects at the cell surface, within mitochondria and via cytoplasmic TRs (17, 18). The fact that brain development in TR knockout (KO) animals is only slightly affected (19) suggests the existence of non-genomic morphogenic roles for TH in the CNS. One of the best characterized non-genomic roles for TH in the brain is illustrated by the induction of actin polymerization in astrocytes by T<sub>4</sub> *in vitro* (20), which is very important for the organization of extracellular neural guidance molecules during neurodevelopmental processes. Finally, TH metabolism and transport, which are mediated mainly by deiodinases (21) and monocarboxylate transporters (22, 23), respectively, have also been shown to be important for cerebellar function.

The aims of this review are to briefly describe the current knowledge concerning the effects of THs on cerebellar development and functional maintenance as well as to summarize advances in the genetic animal models used in this field.

## THE INFLUENCE OF THs ON CEREBELLAR ONTOGENESIS

In humans, T<sub>3</sub>, T<sub>4</sub>, and TRs are already present within the developing cortex prior to the onset of fetal thyroid gland activity, or gestational week 12, which suggests an important role for maternal TH during this critical window of brain development (24–27). Congenital hypothyroidism leads to structural and intellectual impairment in infants (28). Furthermore, TH administration to human infants with congenital hypothyroidism immediately after birth was shown to promote near-normal intellectual development (29). The majority of studies on the role of THs in neurodevelopment have been carried out in rodent models in which THs,

deiodinases, and TRs are present prior to the onset of fetal TH synthesis and secretion (30, 31). *Paired box 8* (*Pax8*) KO mice are a commonly used animal model for studying the effects of postnatal TH on CNS development, as *Pax8* is an essential transcription factor for thyroid follicular cell differentiation, and its absence leads to thyroid gland dysgenesis (32). Therefore, the *Pax8*-KO mouse is a model for congenital hypothyroidism that displays extensive abnormalities in cerebellar development, resulting in an ataxic phenotype (32–34) (Table 1).

Rodent cerebellar development is complete within the first 2–3 weeks after birth, when the cerebellar foliation process, which encompasses the transition from a smooth cerebellar surface to an X lobule cerebellum, is completed (7). It has long been known that cerebellar ontogenesis is closely linked to TH regulation (60–62), although the molecular mechanisms through which THs modulate this process remain unclear. Hypothyroidism results in a number of morphological alterations in the cerebellum, including increased neuronal death within the internal granular layer (IGL), increased perdurance of the external granular layer (EGL), defects in granular cell migration, impaired Purkinje cell dendritogenesis, delayed myelination, defects in the late differentiation pattern of Golgi interneurons and mossy fibers, reduced protrusions of Bergmann glial cells, and increased cell apoptosis (9, 46, 63–65). TH administration prior to the end of postnatal week 2 prevented these structural changes. Moreover, the expression levels of neurotrophins and growth factors, such as BDNF, NT3, and EGF, as well as cell adhesion molecules, such as NCAM and L1, are modified by TH in the developing cerebellum (63, 66–68). For example, TH was shown to promote cerebellar neuronal migration and the differentiation of Bergmann glia by inducing EGF secretion (69).

## PERSPECTIVES FROM TRANSGENIC MOUSE MODELS

T<sub>3</sub> and T<sub>4</sub> enter the cell through plasma membrane transporters, including the monocarboxylate transporter family members MCT8 and MCT10, organic anion transporting peptides (OATP), and carriers of L-amino acids (LATS) (70, 71). Recent studies have indicated that TH transporters such as MCT8, which are found in a subset of neuronal populations (23), may play critical roles in neurodevelopment processes mediated by THs. Patients harboring inactivating mutations in the MCT8 gene (*Slc16a2*) exhibit Allan–Herndon–Dudley syndrome, which is characterized by psychomotor retardation, lack of speech development, increased serum T<sub>3</sub> concentrations, and low T<sub>4</sub> levels (72, 73).

Although MCT8-KO mice have been generated, they do not display the same neurological abnormalities observed in human patients (Table 1). This phenomenon is likely due to the presence of other neuronal TH transporters, such as OATP14, LAT1, and LAT2, during earlier stages of mouse brain development that compensate for the absence of MCT8 (36, 74). However, another possible explanation for the difference between the mouse and human phenotypes is that human MCT8 is necessary for the transport of an unknown signaling molecule necessary for CNS development, which is consistent with clinical evidence indicating that the neurological syndromes observed in patients with MCT8 mutations are more severe than those observed in patients with congenital hypothyroidism (36). A recent study performed in MCT8-KO mice demonstrated that 3,5,3',5'-tetraiodothyroacetic acid (tetrac), a T<sub>4</sub> metabolite that is not transported by MCT8 or

OATP1C1, is capable of replacing TH during brain development (35). Tetrac can be converted into 3,3',5-triiodothyroacetic acid (triac) by deiodinase type 2, which can subsequently interact with TRs, thereby replacing T<sub>3</sub> activity. Indeed, treatment of MCT8-KO mice with tetrac led to improvements in TH-dependent neuronal differentiation in the striatum, cortex, and cerebellum during the first three postnatal weeks.

A mouse model lacking LAT2 (*Slc7a8*) was generated to further characterize the role of this transporter in TH physiology. However, LAT2-KO mice exhibited normal cerebral and cerebellar development, with the exception of slight defects in movement coordination on rotarod tests (40) (Table 1).

The iodothyronine deiodinase enzymes D1 (*Dio1*) and D2 (*Dio2*) modulate the intracellular availability of the active hormone T<sub>3</sub>. In particular, D2 catalyzes the conversion of T<sub>4</sub> to T<sub>3</sub>, whereas D3 inactivates T<sub>4</sub> and T<sub>3</sub> by converting them to T<sub>2</sub> and reverse T<sub>3</sub> (rT<sub>3</sub>), respectively (75). Studies have demonstrated that nearly 80% of T<sub>3</sub> is generated by local conversion within the brain (3, 5) through the activity of D2, which is primarily found in astrocytes (41). Therefore, the presence of D2 together with increased levels of T<sub>3</sub> suggests a role for D2 in supplying the developing brain with T<sub>3</sub> derived from maternal T<sub>4</sub>. However, some unexpected findings in *Dio2*-KO mice are inconsistent with the hypothesis that D2 is essential for all TH-dependent neurodevelopment processes.

Although *Dio2*-KO mice display elevated brain T<sub>4</sub> levels and reduced T<sub>3</sub> content, surprisingly, the observed neurological impairments, which included changes in the cerebellar expression of TH-dependent genes and behavioral defects, were found to be mild compared with those observed in hypothyroidism (42, 76). These data suggest that decreased local T<sub>3</sub> production can be largely compensated for by increased T<sub>3</sub> uptake from circulation, and indeed, this was later confirmed by experiments carried out in double *Dio1/Dio2*-KO mice, which demonstrated normal serum T<sub>3</sub> concentrations and only mild neurological phenotypes (21). On the other hand, *Dio3*-KO animals were characterized by high T<sub>3</sub> levels during perinatal development, which induced the upregulation of TH-responsive genes in the cerebellum (43, 44). Recently, it was reported that *Dio3*-KO mice exhibited impaired cerebellar foliation, early premature disappearance of the EGL, rapid expansion of the molecular layer, and abnormal locomotor behavior. Furthermore, the cerebellar phenotypes of these mice could be partially rescued by deletion of the TR $\alpha$ 1 isoform (45) (Table 1).

The majority of TH functions are mediated through nuclear TRs, which are members of a superfamily of ligand-modulated transcription factors that can either upregulate or downregulate target gene transcription (2). The consensus for positively regulated genes is that TRs bind to activating TREs both in the presence and absence of T<sub>3</sub>. In the absence of T<sub>3</sub>, TR represses target gene transcription by recruiting co-repressors, whereas in the presence of T<sub>3</sub>, co-repressors are released and co-activators are recruited, leading to transcriptional up regulation (1, 12). In mammals, two different genes encode at least three high-affinity TRs: TR- $\beta$ 1 (*Thrb*), TR- $\beta$ 2 (*Thrb*), and TR- $\alpha$ 1 (*Thra*) (77). TR- $\alpha$ 1 is the isoform that is predominantly expressed both prenatally and postnatally throughout the brain, including the developing cerebellum, and it is responsible for nearly 80% of total receptor T<sub>3</sub> binding (14, 78, 79). In contrast, TR- $\beta$  expression is confined to a

**Table 1 | Summary of mutant animal models and their cerebellar phenotypes.**

Animal model	Etiology	HPT axis	Brain TH state	Cerebellar phenotype	Locomotor behavior	Reference
<i>Pax8</i> -KO	Pax8 knockout	Thyroid gland dysgenesis	Increased TRH and TSH expression; elevated cerebellar D2 activity; decreased cerebellar D3 activity	Increased cell number in the EGL; reduced dendritic growth in Purkinje cells	Ataxic phenotype	(32, 33, 35)
<i>Slc16a2</i> KO	MCT8 knockout	Elevated serum levels of T <sub>3</sub> and TSH; decreased serum levels of T <sub>4</sub>	Reduced T <sub>3</sub> and T <sub>4</sub> brain content; increased TRH expression; increased cerebellar D2 activity; decreased cerebellar D3 activity	Milder neurological phenotype than that observed in patients; no alterations in Purkinje cells	Locomotor activity similar to WT mice	(35–38)
<i>Pax8/Slc16a2</i> double KO	Pax8 and MCT8 knockout	Thyroid gland dysgenesis	Increased TRH and TSH expression; increased cerebellar D2 activity; decreased cerebellar D3 activity	Reduced dendritic arborization; thinner molecular layer		(35)
<i>Slco1c1</i> KO	OATP1C1 knockout	Normal serum T <sub>3</sub> and T <sub>4</sub> levels	Mild decrease in T <sub>4</sub> brain content; normal T <sub>3</sub> brain content	Normal Purkinje cell morphology	Normal motor activity on rotarod test	(39)
<i>Slco1c1/Slc16a2</i> double KO	OATP1C1 and MCT8 knockout	Elevated serum levels of T <sub>3</sub> and TSH; decreased serum levels of T <sub>4</sub>	Brain-specific hypothyroidism increased TRH expression; elevated cerebellar D2 activity; reduced cerebellar D3 activity	Impaired arborization and dendritic growth of Purkinje cells at P12; no alterations in Purkinje cells at P33 or P120	Impaired motor coordination and locomotor activity	(38)
<i>Slc7a8</i> KO	LAT2 knockout	Normal serum T <sub>3</sub> , T <sub>4</sub> , and TSH levels	Normal TSH expression; normal pituitary D2 expression; normal cerebellar D3 expression	Normal cerebellar gene expression and morphology	Mildly impaired movement coordination on rotarod test	(40)
<i>Dio2</i> -KO	D2 knockout	Normal serum T <sub>3</sub> levels; elevated serum T <sub>4</sub> , and TSH levels	Decreased T <sub>3</sub> brain content; increased brain D3 activity	Milder alterations in cerebellar TH-responsive genes ( <i>Srg1</i> and <i>Hr</i> ) than in hypothyroidism		(41, 42)
<i>Dio3</i> -KO	D3 knockout	Increased serum T <sub>3</sub> levels during perinatal development	Brain thyrotoxicosis; increased cerebellar D2 activity; reduced cerebellar D3 activity	Upregulated cerebellar TH-responsive genes ( <i>Hr</i> ); impaired cerebellar foliation; early dissipation of EGL; rapid expansion of the molecular layer	Defective locomotor activity on vertical pole and rotarod test	(43–45)
<i>Thra</i> <sup>-/-</sup>	TRα1 deletion	Normal serum T <sub>3</sub> levels; slightly decreased serum T <sub>4</sub> levels; reduced serum TSH levels	Decreased TSHα expression; increased TSHβ expression	Non-hypothyroid cerebellar phenotype	Normal locomotor activity	(46, 47)
<i>Thrb</i> <sup>-/-</sup>	All TRβ deletion	Increased levels of TSH, T <sub>3</sub> , and T <sub>4</sub>	Increased T <sub>3</sub> brain content decreased TSH expression	No alterations in TH-responsive genes in the cerebellum	No behavioral defects	(48, 49)

(Continued)

**Table 1 | Continued**

Animal model	Etiology	HPT axis	Brain TH state	Cerebellar phenotype	Locomotor behavior	Reference
<i>Thrb</i> Δ337T	TRβ mutation	Elevated levels of T <sub>3</sub> , T <sub>4</sub> , and TSH	Hypothyroid-like brain (low levels of TH-responsive genes BDNF and <i>Pcp2</i> )	Impaired cerebellar foliation; altered laminar organization; abnormal Purkinje cell dendritogenesis; reduced Bergmann glia fibers; reduced cerebellar gene expression ( <i>Pcp2</i> )	Severe impairment in balance and coordination	(50, 51)
<i>Thra</i> PV	TRα1 mutation	Mild increase of T <sub>3</sub> , T <sub>4</sub> , and TSH levels		Reduced cerebellar gene expression ( <i>Srg1</i> )		(52)
<i>Thra</i> R384C	TRα1 mutation	Normal serum levels of T <sub>4</sub> , T <sub>3</sub>	Normal TSH expression	Delayed migration of EGL to IGL; mild alterations of Purkinje cells	Reduced locomotor activity	(53, 54)
<i>Thra</i> L400R	TRα1 mutation	Normal serum levels of T <sub>4</sub> , T <sub>3</sub>	Normal TSH expression Hypothyroid-like brain (low levels of TH-responsive genes)	Late granule cell differentiation pattern similar to congenital hypothyroidism; mild alterations of Purkinje cell arborization; low expression of TH-responsive genes ( <i>Hr</i> and <i>Pcp2</i> ); delayed loss of Purkinje cells axonal regenerative capacity; impaired differentiation of Purkinje cells and Bergmann glia		(55–58)
<i>Ncoa1</i> <sup>−/−</sup>	SRC-1 deletion	Elevated TSH, T <sub>4</sub> , and T <sub>3</sub> levels		Delayed Purkinje cells development and maturation	Reduced motor coordination and strength	(59)

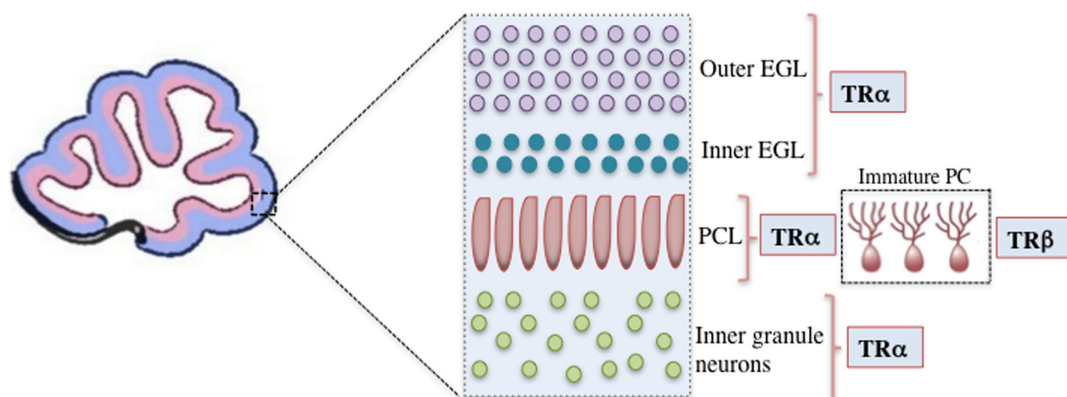
BDNF, brain-derived neurotrophic factor; EGL, external granular layer; IGL, internal granular layer; *Srg1*, synaptotagmin-related gene 1, *Hr*, hairless; *Pcp2*, Purkinje cell protein 2.

few postnatal neuronal populations, including the paraventricular hypothalamus, cerebellar Purkinje cells, and hippocampal pyramidal and granule cells (80, 81). In rodents, TR-α1 is already present at E11.5 in the neural tube and at E12.5 in the diencephalon and ventral rhombencephalon (14). Both TRα and TRβ are expressed in the cerebellum. TRα is primarily expressed in the early cerebellar neuroepithelium, granular cell precursors, and later in the transient EGL, whereas TRβ is predominantly expressed during later stages, notably in the Purkinje cell layer (PCL) and in deep internal layers (14, 81, 82) (**Figure 1**).

*Thra*- and *Thrb*-KO mouse models, which exhibit abrogated nuclear signaling, have been created to address the roles of different TR isoforms in proper brain development and function (47, 48, 83). However, it was reported that these mice exhibit only a mild neurological phenotype compared with hypothyroid animals, indicating that the absence of T<sub>3</sub> binding (unliganded TR) is more harmful to the CNS than the absence of TR isoforms (46, 84) (**Table 1**). Later, *Thra*- and *Thrb*-knock-in mutant mice expressing dominant-negative TRs were generated, and it was reported

that these mice were phenotypically distinct from TR-KO mice (50, 53–55). Specifically, in mice harboring the *Thrb* Δ337T mutation – a point mutation in the ligand-binding domain that prevents T<sub>3</sub> binding but not binding to DNA or co-factors (85) – cerebellar morphogenesis was similar to that observed in congenital hypothyroidism, presumably because TR remained constitutively bound to its co-repressors, thereby mimicking a hypothyroid state (50). Hashimoto et al. (50) demonstrated that *Thrb* Δ337T mice displayed impairments in balance and coordination, reductions in the molecular and PCLs, and decreases in the number and branching of Purkinje cells, which may account for the decreased cerebellar size observed in these mutant animals.

Therefore, functional TR-β is required for TH-dependent cerebellar development, which was further demonstrated by the phenotypes observed in *Thrb* Δ337T mutant mice, including defects in cerebellar foliation, altered laminar organization, abnormal Purkinje cell dendritogenesis, and reduced Bergmann glia fibers (51). Cerebellar foliation is characterized by the presence of 10 well-formed lobules and sub-lobules (7). In *Thrb* Δ337T



**FIGURE 1 | A representation of the mouse cerebellar cortex during the initial postnatal days showing the positions of cells expressing specific TR isoforms.** Only the outer EGL, inner EGL, Purkinje cell layer (PCL), and inner granule layer are shown. TRα is primarily expressed in granule cell precursors and subsequently in

the transient outer and inner EGL. In the Purkinje cells, TRα is the first isoform to be detected, however, after the second postnatal week TRβ is predominantly expressed. TR, thyroid hormone receptor; EGL, external granular layer; P14, postnatal day 14; PCL, Purkinje cell layer.

homozygotes at postnatal day (PND) 21, researchers observed decreases in the molecular and granular layers as well as a failure in the subdivision of lobule VI, which is subdivided into sub-lobules VIa and VIb in wild-type and heterozygous animals. During PND 9, which is the initial period of cerebellar development, *Thrb* Δ337T mice fail to form fissures between lobules VI–VII, and lobule IX is also severely affected. During both the initial and final stages of cerebellar foliation, the *Thrb* Δ337T mutation leads to extreme defects in fissure and lobule formation (51). Unfortunately, the identification of direct target genes that are regulated by TH in the developing brain using RNA-based techniques has been problematic. However, recent studies using chromatin immunoprecipitation combined with DNA microarray analysis (ChIP on chip) identified a large number of TR-β binding sites and target genes in the developing mouse cerebellum, reinforcing the role of TR-β in mediating gene transcription through TH in this brain structure (86, 87). Chatonnet et al. introduced TR-α1 and TR-β1 into a neural cell line lacking endogenous TRs and demonstrated that the majority of the T<sub>3</sub> target genes analyzed were regulated by both TR-α1 and TR-β1. Nevertheless, a significant number of the analyzed genes showed strong preferences for one receptor isoform over the other (88).

In the cerebellum of mice carrying a cell-specific L400R mutation in the ligand-binding domain of TR-α1 (*Thra* L400R), which prevents histone acetyltransferase recruitment and facilitates the permanent recruitment of co-repressors, there is a delay in the pattern of granule cell differentiation similar to what is observed in congenital hypothyroid animals; however, Purkinje cell arborization is not strongly affected in these mutants (55). Another study involving *Thra* L400R mice highlighted the importance of TRα-dependent signaling in postnatal brain development by showing that it promotes the secretion of neurotrophins from astrocytes and Purkinje cells and that it maintains adult brain function by limiting the proliferation of oligodendrocyte precursor cells (56). Late in their development, these mutant mice displayed a loss of axonal regenerative capacity in Purkinje cells, which is thought to

play a role in the brain maturation process. These data indicate an important role for TR-α1 in mediating T<sub>3</sub>-induced inhibition of axonal regeneration in Purkinje cells (57). In addition, it was very recently reported that the L400R mutation primarily affects the differentiation of two specific cerebellar cell populations, Purkinje cells, and Bergmann glia, which indicates that the autonomous effects of TH on these cells indirectly impact global cerebellar cortex development (58). In Purkinje cells, T<sub>3</sub> acts through TR-α1 to promote dendritic tree development and the secretion of neurotrophic factors, whereas in Bergmann glia, T<sub>3</sub> promotes the development and organization of radial fibers and the alignment of cell bodies within the PCL (58) (Table 1). In humans, a role for TR-α1 in brain development is supported by descriptions of patients with cognitive impairment phenotypes similar to those observed in congenital hypothyroidism who harbor primary mutations in the *THRA* gene (89, 90).

Taken together, these data suggest that TR-α and TR-β function together to mediate the processes of cerebellar ontogenesis controlled by THs. Compared with *Thrb* mutants, *Thra*-knock-in mice show more severe cerebellar defects, indicating that TR-α may play a key role in regulating the expression of target genes involved in cerebellar ontogeny (52). Other relevant mutant animal models with impaired neurological phenotypes also exist, such as *Ncoa1*-KO animals. Steroid receptor co-activator 1, which is encoded by the *Ncoa1* gene, has been shown to modulate TH activity via specific TR isoforms (91, 92). This co-activator is highly expressed in the cerebellum; thus, *Ncoa1*-KO mice exhibit cerebellar abnormalities that are similar to those observed in congenital hypothyroid mice (59).

## CONCLUDING REMARKS

It has been known for decades that cerebellar development is regulated by THs. Although the molecular mechanisms through which THs impact CNS development are becoming better understood, primarily due to studies in genetic animal models, many issues remain to be addressed. Only a few T<sub>3</sub> targets in neural



cells have been described to date, it is important to identify additional direct target genes of THs and to determine how these genes are temporally and spatially regulated during specific neurodevelopment. Finally, the rapid non-genomic actions of THs and the role of the recently described thyronine derivatives require further analysis. Therefore, additional studies will be necessary before our model of TH activity within the developing cerebellum is complete.

## ACKNOWLEDGMENTS

Grants and fellowships: FAPERJ to Larissa C. Faustino; CNPq and FAPERJ to Tania M. Ortega-Carvalho.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 26 February 2014; accepted: 02 May 2014; published online: 20 May 2014.  
 Citation: Faustino LC and Ortega-Carvalho TM (2014) Thyroid hormone role on cerebellar development and maintenance: a perspective based on transgenic mouse models. *Front. Endocrinol.* 5:75. doi: 10.3389/fendo.2014.00075  
 This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.  
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# Thyroid hormone signaling and adult neurogenesis in mammals

Sylvie Remaud, Jean-David Gothié, Ghislaine Morvan-Dubois and Barbara A. Demeneix\*

UMR CNRS 7221, Evolution des Régulations Endocriniennes, Département Régulations, Développement et Diversité Moléculaire, Muséum National d'Histoire Naturelle, Paris, France

## Edited by:

Frédéric Flamant, Ecole Normale Supérieure de Lyon, France

## Reviewed by:

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## \*Correspondence:

Barbara A. Demeneix, UMR CNRS 7221, Evolution des Régulations Endocriniennes, Département Régulations, Développement et Diversité Moléculaire, Muséum National d'Histoire Naturelle, Paris 75231, France  
e-mail: bdem@mnhn.fr

The vital roles of thyroid hormone in multiple aspects of perinatal brain development have been known for over a century. In the last decades, the molecular mechanisms underlying effects of thyroid hormone on proliferation, differentiation, migration, synaptogenesis, and myelination in the developing nervous system have been gradually dissected. However, recent data reveal that thyroid signaling influences neuronal development throughout life, from early embryogenesis to the neurogenesis in the adult brain. This review deals with the latter phase and analyses current knowledge on the role of  $T_3$ , the active form of thyroid hormone, and its receptors in regulating neural stem cell function in the hippocampus and the subventricular zone, the two principal sites harboring neurogenesis in the adult mammalian brain. In particular, we discuss the critical roles of  $T_3$  and  $TR\alpha 1$  in commitment to a neuronal phenotype, a process that entails the repression of a number of genes notably that encoding the pluripotency factor, *Sox2*. Furthermore, the question of the relevance of thyroid hormone control of adult neurogenesis is considered in the context of brain aging, cognitive decline, and neurodegenerative disease.

**Keywords:** thyroid hormones, adult neurogenesis, brain functions, adult neural stem cells, plasticity, physiology

## THYROID HORMONES AND ADULT BRAIN FUNCTION

Thyroid hormones (THs) are vital for brain organization and function throughout life. In the developing mammalian embryo prior to instigation of fetal thyroid function maternal THs are required for optimal neurogenesis (1, 2). At all life stages, but particularly during perinatal growth,  $T_3$  is implicated in multiple processes including neurogenesis (cell cycle control and exit), synaptogenesis, migration, plasticity, and myelination (3). In adults, thyroid dysfunction correlates with neurological and behavioral disorders. Even if developmental hypothyroidism produces more deleterious, irreversible effects, adult hypothyroidism alters hippocampus function: memory impairment, anxiety, and depression-like symptoms in rodent models and humans (4, 5). In adults, the mechanisms underlying these cognitive problems are less well understood than during perinatal development. However, it is established that reduced neurogenesis, especially in the rodent hippocampus, due to either aging or stress, is associated with neurocognitive deficits such as anxiety, depression (6), and with neurodegenerative disease such as Alzheimer's (7, 8). In mammals, including humans, the subgranular zone (SGZ) of the hippocampal dentate gyrus and the subventricular zone (SVZ) represent the two main neurogenic niches. These niches produce newborn neurons from neural stem cells (NSC) throughout life and so, contribute to brain plasticity during learning, memory, and recovery from brain damage (9). Many extrinsic and intrinsic signaling factors regulate different stages of adult neurogenesis (10), with TH signaling being well known to control NSC homeostasis [see below and (11–16)]. Understanding the mechanisms underlying  $T_3$  regulation of adult neurogenesis is crucial to develop treatments for neurocognitive disorders.

A rich literature links thyroid physiology and neurocognitive dysfunction in humans. Hypothyroidism is associated with mood instability and depression, dementia, memory impairment, and psychomotor problems (17). Most often, mood abnormalities reverse under  $T_4$ -supplementation, but can persist after long-term hypothyroidism (18). The mechanisms implicated are unknown, although  $T_3$  levels affect serotonergic and catecholaminergic signaling at multiple levels (19, 20), systems often targeted by antidepressants. Further, in children and adolescents (21), as well as adults (22), hypothyroidism, and reduced memory function are associated with decreased hippocampal size, suggesting that TH deficiency causes structural alterations. Thus, it is plausible that neurogenesis in rodents, and depression or other psychiatric diseases associated with hypothyroidism in humans, may be related to reduced hippocampal neurogenesis.

However, the links between cognitive deficits and neurogenesis – “the neurogenic hypothesis of depression” – are still poorly understood. Even if there is evidence for adult neurogenesis in both SVZ (23) and SGZ (24) in humans, the contribution of adult neurogenesis to human brain function, and in particular to behavioral outputs, is still questioned, a point discussed in the next section.

However, there is increasing cellular and molecular understanding of the links between TH signaling and adult neurogenesis in rodents. Adult-onset hypothyroidism reduced the number of newborn neuroblasts in the dentate gyrus (14). Furthermore, in adult hypothyroid animals displaying depressive-like behavior, neurogenesis in the dentate gyrus is reduced and dendritic arborization is impaired. TH supplementation rescues these modifications (14).

## THYROID HORMONE REGULATES ADULT NEUROGENESIS

Neural stem cells in adult SGZ and SVZ slowly divide asymmetrically, giving rise to progenitors. In rodents, these highly proliferative progenitors generate neuroblasts that migrate and integrate into the pre-existing neuronal networks of the hippocampus and the olfactory bulb (OB). More recent findings highlight a third neurogenic niche within the adult rodent hypothalamus, a region regulating energy balance, food intake, and body weight (25, 26).

In humans, the functional role of adult neurogenesis is controversial (27–30). Both generation of new neuroblasts and their functional incorporation, especially in the OB, is still questioned. However, recent data showed that new neurons, probably produced from the adult SVZ, are observed in the human striatum, showing that adult human SVZ can contribute to neurogenesis at least in this region (31). A decrease of neuroblasts, expressing the neuronal precursor marker doublecortin (DCX), is observed continuously from the first year after birth, in the SVZ and SGZ (29, 30, 32, 33). However, a recent study shows that a subpopulation of hippocampal neurons is able to renew, supporting the concept that adult neurogenesis occurs in humans and could contribute to cognitive functions (24).

### SVZ AND SGZ NICHES

Thyroid hormone signaling is one of the main pathways vital for adult neurogenesis. Recently,  $T_3$  was demonstrated to exert critical roles in cell proliferation and NSC commitment toward neuroblasts in both the rodent SVZ and SGZ *in vivo* (15, 16).  $T_3$  acts on transcription through nuclear receptors, Thyroid Hormone Receptors (TRs). In vertebrates, different isoforms derive from the *Thra* (TR $\alpha$ 1 and TR $\alpha$ 2) and *Thrb* (TR $\beta$ 1 and TR $\beta$ 2) genes. The adult hippocampus expresses TR $\alpha$ 1, TR $\beta$ 1, and  $\beta$ 2 isoforms (16, 34), whereas only TR $\alpha$ 1 is expressed in the adult mouse SVZ (13, 15).

$T_3$  regulates adult neurogenesis at different steps (proliferation, survival, differentiation, and maturation). Hypothyroidism significantly reduces progenitor proliferation in the SVZ of adult mice, whereas a short  $T_3$  pulse restores mitotic activity to euthyroid levels (13). Similarly, using Ki67 as a proliferation marker and a BrdU incorporation protocol to measure cell proliferation limiting labeling of postmitotic cells, Montero-Pedrazuela et al. (14) demonstrated that hypothyroidism in adult rats, induces a decrease of proliferation (about 30%) in the adult SGZ that is reversed by  $T_4$  treatment. Furthermore, hypothyroidism does not affect cell survival. In contrast, two others studies shown that hypothyroidism had no observable effect on numbers of proliferative progenitors in the adult SGZ progenitor proliferation but their survival was reduced, suggesting a role of  $T_3$  on the postmitotic progenitors (11, 12). The reasons for these differences may reside in (i) methods for the induction of hypothyroidism (ii) and potential differences in BrdU protocols used in these studies that may or may not include postmitotic cells.

In the SGZ, TR $\alpha$ 1 has different effects on proliferation and differentiation (16, 35). First, progenitor proliferation is unaffected by TR $\alpha$ 1 loss (TR $\alpha$ 1 $^{-/-}$  mutant) or overexpression (TR $\alpha$ 2 $^{-/-}$  mutant) (35). This finding correlates with the fact that TR $\alpha$ 1 is not expressed in progenitors within the SGZ, but is highly expressed

in post-mitotic progenitors corresponding to immature neurons (35). Second, neurogenesis is increased in TR $\alpha$ 1 $^{-/-}$  mice, whereas in TR $\alpha$ 2 $^{-/-}$  mice (overexpression of TR $\alpha$ 1), decreased survival reduces numbers of post-mitotic neuroblasts (35). These studies suggest that in the SGZ,  $T_3$  acts at later steps than in the SVZ, in the post-mitotic progenitors (16, 35) (Figure 1A). Interestingly, the damaging effects of adult hypothyroidism on hippocampal neurogenesis are recapitulated in TR $\alpha$ 2 $^{-/-}$  mice (35). The TR $\alpha$ 2 $^{-/-}$  mutant, in which TR $\alpha$ 1 is overexpressed due to the ablation of TR $\alpha$ 2, exhibit a mixed hypo- and hyperthyroid phenotype: reduced levels of  $T_4/T_3$  in serum, decreased growth rate and body weight, elevated heart rate suggesting that the increased TR $\alpha$ 1 levels is associated with increased receptor effects (35, 36). In a hypothyroid context, TR $\alpha$ 1 – in this mutant – acts as an aporeceptor due to limited  $T_3$  availability. How the role of TR $\alpha$ 1 aporeceptor affects adult SVZ neurogenesis is unknown. Examining this possibility should identify new TR $\alpha$ 1 targets (of both liganded and unliganded receptors) involved in regulating adult neurogenesis.

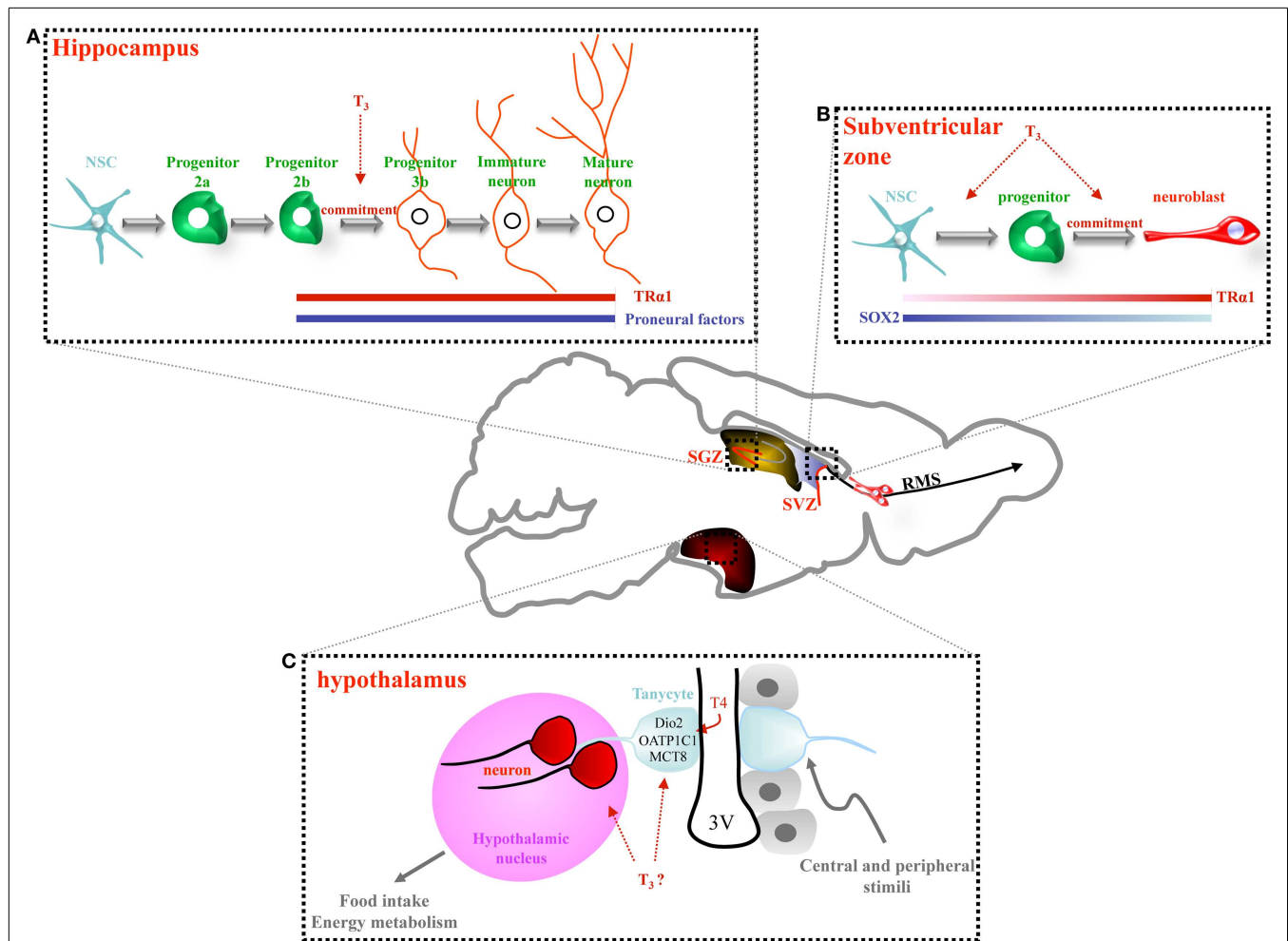
In the SVZ, although TR $\alpha$ 1 is absent from NSCs, it appears in proliferative Dlx2+ progenitors and is high in DCX+ neuroblasts, suggesting that TR $\alpha$ 1 favors NSC commitment toward a neuronal phenotype [(15), Figure 1B]. This hypothesis is bolstered by the observation that TR $\alpha$ 1 gain of function *in vivo* generates migrating neuroblasts entering the rostral migratory stream. Inversely, shRNA-mediated TR $\alpha$ 1 loss of function increases numbers of SVZ NSC/progenitors. Moreover, hypothyroidism also increases NSC/progenitor populations, a situation recapitulated in mutant TR $\alpha$  $^{\circ}/^{\circ}$  mice (lacking all isoforms encoded by the TR $\alpha$  locus). In hypothyroidism, NSC/progenitors are blocked during interphase (13). Thus, absence of either TR $\alpha$ 1 or  $T_3$  induces similar effects: increasing NSC and progenitors pools, while decreasing neuroblast numbers.

In the adult SVZ,  $T_3$ , through TR $\alpha$ 1, acts as a neurogenic switch by repressing a key gene involved in NSC pluripotency, Sox2 (15) (Figure 1B). *In vivo* loss and gain of TR $\alpha$ 1 function approaches demonstrated that Sox2 is directly repressed by  $T_3$ /TR $\alpha$ 1 in progenitors. Moreover, the progenitor to neuroblast transition – governed by  $T_3$ /TR $\alpha$ 1 – may be reinforced by  $T_3$  repression of *CyclinD1* and *c-Myc*, involved in cell cycle progression (13, 15, 37). Thus,  $T_3$  could regulate adult SVZ homeostasis at two levels: (i) repression of a master gene involved in NSC pluripotency and (ii) repression of cell cycle regulators.

### TH SIGNALING AND HYPOTHALAMIC NEUROGENESIS?

Some authors consider that certain tanycytes (glial-like cells) in the ependymal layer are NSCs. An emerging idea is that these tanycytes are diet-responsive adult NSCs, linking food intake, body weight, and energy balance to neuronal plasticity [for reviews, see (25, 26)]. Interestingly,  $T_3$  is a strong regulator of energy metabolism at both peripheral and central, hypothalamic, levels (15). An exciting hypothesis is that  $T_3$  may regulate adult hypothalamic neurogenesis and thereby modulate plasticity of hypothalamic neuronal networks regulating energy balance. Many components of TH signaling are expressed in tanycytes in the rodent brain (D2, OATP1C1, MCT8, see Figure 1C) and in turn, tanycyte activity is critical to control of the hypothalamic/pituitary/thyroid (HPT)





**FIGURE 1 | Thyroid hormone signaling regulates adult neurogenesis in the hippocampus, the subventricular zone and, potentially, the hypothalamus. (A)**

In the hippocampal niche (SGZ), NSC gives rise to proliferating progenitors (2a) and then, more committed progenitors (2b) and post-mitotic neuroblasts (type 3). Type 3 progenitors give rise to immature and mature granule neurons. A role of T<sub>3</sub>, in concert with TRα1, has been observed in non-proliferating progenitors, from type 2b cells to mature granule cell neurons. Adult-onset hypothyroidism or TRα1 overexpression (TRα2<sup>-/-</sup> mice) alters survival of post-mitotic neuroblasts, decreasing hippocampal neurogenesis. **(B)** In the adult SVZ, lining the lateral ventricle, three main cell types are located: NSCs that divide asymmetrically to give rise to proliferating progenitors. Progenitors divide rapidly producing neuroblasts that migrate along the rostral migratory stream (RMS) to the olfactory bulb (OB) where they differentiate into interneurons. SOX2 and TRα1 are inversely expressed within the SVZ: cells

expressing high levels of TRα1 express low levels of SOX2 (neuroblasts). T<sub>3</sub> is involved in both progenitor proliferation and determination. TRα1 overexpression in NSC and progenitors drives progenitor commitment toward a neuronal phenotype since cells overexpressing TRα1 are found in clusters entering the RMS. **(C)** In the adult hypothalamus, the third ventricle is lined by ependymal cells (in gray) interspersed with tanycytes (in blue). Some of these tanycytes are stem cells. They possess a long process that projects into hypothalamic nuclei (in pink). Some recent data support the idea that tanycytes are able to generate new neurons that migrate into adjacent hypothalamic nuclei. Tanycytes express many key actors of the TH pathway (Dio2, OATP1C1, and MCT8) thus, facilitating TH entry into the hypothalamus. These tanycytes could be considered as an “integrative platform” relaying central and peripheral signals to adapt adult neurogenesis to food intake and energy metabolism. A key role of TH in the regulation of adult hypothalamic neurogenesis is an exciting hypothesis.

axis (38). How TH status and signaling affect adult hypothalamic neurogenesis in relation to feeding and energy balance is an important future research question.

### CONTROL OF T<sub>3</sub> AVAILABILITY DURING ADULT NEUROGENESIS

Some T<sub>3</sub> effects on stem cell biology can seem paradoxical, T<sub>3</sub> enhancing both proliferation and differentiation and exerting different actions at successive steps of neural commitment. The

biological outcome of TH signaling clearly relates to cellular context, notably, chromatin state and presence of ligand, TRs, and co-factors.

One hypothesis is that adult NSCs do not integrate T<sub>3</sub> signaling until neural determination is underway, as TRα1 appears in neural progenitors, with the signal increasing in neuroblasts (15). In the TRα1:GFP knock-in mouse (39), expression of TRα1:GFP was not investigated closely in the SVZ. Although more data is needed on the kinetics of TR expression, a critical factor will

be  $T_3$  availability, largely determined by deiodinases. Two deiodinases are expressed in the brain, the activating deiodinase 2 (or D2, encoded by *Dio2*) and the inactivating deiodinase 3 (or D3, encoded by *Dio3*). However, there is little published data on control of TH availability during neural determination and the little available is from *in vitro* systems. For instance, during *in vitro* neuronal differentiation of a human embryonal carcinoma stem cell line (NT2 cells derived from a teratocarcinoma),  $TR\alpha 1$  and  $TR\beta 1$  expression is down regulated, with  $TR\alpha 2$  expression unchanged (40).  $T_3$  treatment induced stronger upregulation of *Dio3* in NT2 precursors than in differentiated cells.

Though hypothyroid brains show reduced NSC/precursor proliferation, no clear relationship between  $T_3$  availability and control of NSC cell cycle has yet been established. Interestingly, *Dio3* expression correlates with proliferative status in solid tumors (41). This finding fits with *in vitro* data [from Ref. (42)] where *Dio3* expression is high in early progenitors compared to human embryonic stem cells and neural progenitors. The biological significance of this finding in terms of NSC biology is hard to decipher. According to current data, local hypothyroidism favors maintenance of NSC/progenitor populations (13, 15) with  $T_3$  being a proliferation and neurogenic factor (15, 43). Similarly, expression of *Dio3* within the imprinted *dio3-dlk1* locus is associated with stemness (44). From an evolutionary point of view, the conservation of synteny in this locus among vertebrates seems to indicate that control of TH signaling is associated with stemness.

## TH CONTROL OF ADULT NEUROGENESIS IN THE AGING BRAIN

Circulating TH levels decrease as a function of age in humans (45, 46) and rodents (47). In the aging human population, both increases and decreases in circulating TSH have been observed (48–51), suggesting reduced or impaired pituitary responses in elderly people. However, higher TSH is associated with greater longevity in numerous human cohorts [see for example: (52)]. Further, neurogenesis decreases with age (53–55). THs being vital for adult neurogenesis (13), it will be interesting to address the links between these phenomena during aging.

Among the numerous genes involved in adult neurogenesis, an increase in  $p16^{INKA4}$  (CDKN2a) has been causally related to neurogenic decline during aging (56).  $p16^{INKA4}$  can itself be inhibited by the synergistic action of Bmi1 and c-Myc (57, 58). Direct activation of c-Myc by  $T_3$  through a TRE was shown in *Xenopus* intestinal stem cells (59), whereas in adult SVZ  $T_3$  directly inhibits a c-myc reporter construct through an identified TRE (13). Thus, a potential indirect regulation of  $p16^{INKA4}$  by  $T_3$  could differ according to species, cell populations and function of developmental context.

## DECREASING CIRCULATING THs ARE ASSOCIATED WITH COGNITIVE DECLINE AND NEURODEGENERATION

Cognitive deficiency is frequently observed in the elderly humans and in aging rodents (60, 61). Marked effects are seen on learning and memory, processes that implicate neurogenesis in the dentate gyrus of the hippocampus (62, 63), a structure that diminishes with age and in many neurodegenerative pathologies (62, 64). TH treatment can improve cognitive performances in hypothyroid

mice (8) and in humans (65), leading to speculation that cognitive deficiency can be causally linked to reduced TH signaling in aging. Despite declining neurogenesis with age, Yeung et al. recently demonstrated that 13-month-old mice still have the capacity to generate new neurons after a selective neuronal loss in the hippocampus, but without cognitive recovery (66). These results suggest that although some neurogenesis can still occur in aged mice, it might not be sufficient to compensate for neurodegeneration. TH facilitate repair after neurodegenerative lesions (67, 68). It is plausible that their decline is linked to decreased repair in neurodegenerative diseases of aging.

Mitochondrial biogenesis also reduces with aging (69), along with an increase in mitochondrial dysfunction (70). Thyroid signaling influences cellular metabolism and mitochondrial functions (71). Impaired thyroid signaling impacts mitochondrial respiration and hence reactive oxygen species (ROS) production, with either beneficial or damaging cellular effects (72). Since activity changes in mitochondrial respiration are linked to changes in cell proliferation rates (73), such as those occurring in the early phases of NSC differentiation, it can be postulated that mitochondrial dysfunctions impact neurogenesis, again linking reduced neurodegenerative repair capacity to decreased circulating  $T_3/T_4$  levels. However, little is known about control of  $T_4/T_3$  availability (deiodinase and TH transporter expression) during aging in the NSC niches, nor on the consequences of these modification for NSC metabolism, questions that it will be interesting to address.

Circadian rhythm perturbations also increase with age (74, 75). TSH (and to a lesser extent  $T_3$ ) levels display circadian rhythms (76–78), as does neurogenesis (79). Moreover, circadian clock-associated genes influence neuronal differentiation of adult NSC/progenitors (80). Two major circadian rhythm regulation genes, *Bmal1* and *Clock*, are cooperatively activated by *Sirt1* and *Pgc1a*, a function that changes with age (81). In turn, SIRT1 can act as a coactivator of  $TR\beta$  (82) and is implicated in neurogenesis (83). Further, *Pgc1a* is directly regulated by  $T_3$  (84), and can itself modulate *Thra* expression (85). Some circadian clock-related genes are regulated by  $T_3$  (86). Thus, multiple arguments converge to suggest that impairments of circadian rhythm with age can be linked to changes in thyroid signaling, thereby impacting neurogenesis.

Induction of a chronic inflammatory state has been associated with aging (87, 88), and inflammation can significantly reduce neurogenesis (89–91). Brain inflammation is characterized by macrophages and microglia producing proinflammatory cytokines (TNF $\alpha$ , IL-1 $\beta$ , and IL-6) during prolonged inflammation. These same cytokines increase in the aging brain (92), and may enhance gliogenesis at the expense of neurogenesis (93–96). TNF $\alpha$  activates the p38 MAP kinase (MAPKp38) that triggers IL-1 $\beta$  production (97). As  $T_3$  can represses MAPKp38 activation by TNF $\alpha$  (98), reduced  $T_3$  dependent repression of proinflammatory cytokines with aging could negatively impact neurogenesis.

## CONCLUSION

Thyroid hormone is one of the few endocrine signals that exerts marked effects on both hippocampal and SVZ neurogenesis in adult mammalian brains. Although distinct differences are noted in expression of TRs and the consequences of their activation in these respective niches, it is well established that hypothyroidism

adversely affects both populations. Given the frequency of thyroid disorders in the general population, notably in women and during aging, it is important to consider the consequences of these disorders on the incidence and severity of psychiatric and neurodegenerative disease.

## ACKNOWLEDGMENTS

This work was supported by the Association Française contre les Myopathies (AFM) [grant number MNM1 2012-14685], European Union contract Switchbox [grant number FP7-Health-2010 n° 259772] and the French ANR Thrast [grant number 11BSV2 019 02].

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 31 January 2014; accepted: 14 April 2014; published online: 28 April 2014.

Citation: Remaud S, Gothié J-D, Morvan-Dubois G and Demeneix BA (2014) Thyroid hormone signaling and adult neurogenesis in mammals. *Front. Endocrinol.* **5**:62. doi: 10.3389/fendo.2014.00062

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Thyroid hormones, T<sub>3</sub> and T<sub>4</sub>, in the brain

Amy C. Schroeder and Martin L. Privalsky\*

Department of Microbiology and Molecular Genetics, College of Biological Sciences, University of California Davis, Davis, CA, USA

**Edited by:**

Frédéric Flamant, Ecole Normale Supérieure de Lyon, France

**Reviewed by:**

Maria Moreno, University of Sannio, Italy

Pere Berbel, University Miguel Hernández, Spain

**\*Correspondence:**

Martin L. Privalsky, Department of Microbiology and Molecular Genetics, College of Biological Sciences, University of California Davis, One Shields Avenue, Davis, CA 95616, USA  
e-mail: mlprivalsky@ucdavis.edu

Thyroid hormones (THs) are essential for fetal and post-natal nervous system development and also play an important role in the maintenance of adult brain function. Of the two major THs, T<sub>4</sub> (3,5,3',5'-tetraiodo-L-thyronine) is classically viewed as a pro-hormone that must be converted to T<sub>3</sub> (3,5,3'-triiodo-L-thyronine) via tissue-level deiodinases for biological activity. THs primarily mediate their effects by binding to thyroid hormone receptor (TR) isoforms, predominantly TR $\alpha$ 1 and TR $\beta$ 1, which are expressed in different tissues and exhibit distinctive roles in endocrinology. Notably, the ability to respond to T<sub>4</sub> and to T<sub>3</sub> differs for the two TR isoforms, with TR $\alpha$ 1 generally more responsive to T<sub>4</sub> than TR $\beta$ 1. TR $\alpha$ 1 is also the most abundantly expressed TR isoform in the brain, encompassing 70–80% of all TR expression in this tissue. Conversion of T<sub>4</sub> into T<sub>3</sub> via deiodinase 2 in astrocytes has been classically viewed as critical for generating local T<sub>3</sub> for neurons. However, deiodinase-deficient mice do not exhibit obvious defects in brain development or function. Considering that TR $\alpha$ 1 is well-established as the predominant isoform in brain, and that TR $\alpha$ 1 responds to both T<sub>3</sub> and T<sub>4</sub>, we suggest T<sub>4</sub> may play a more active role in brain physiology than has been previously accepted.

**Keywords: T<sub>4</sub> thyronine, T<sub>3</sub> thyronine, thyroid hormone receptor, brain, coregulator, deiodinase 2**

## INTRODUCTION

Thyroid hormones (THs) are synthesized by the thyroid gland and are critical regulatory molecules with important roles in vertebrate physiology and development, including fetal and post-natal nervous system development and the maintenance of adult brain function (1, 2). The TH requirement for development is most apparent in the central nervous system (CNS) where severe TH deficiency in fetal and neonatal periods results in cretinism, a disease characterized by mental retardation, deafness, and ataxia; these consequences are irreversible if not treated soon after birth (3–5). Additionally, untreated hypothyroidism in the adult is associated with severe intellectual defects, abnormal balance and defects in fine motor skills, spasticity, and deafness (6). Correcting TH deficiencies is critical for normal brain development and function.

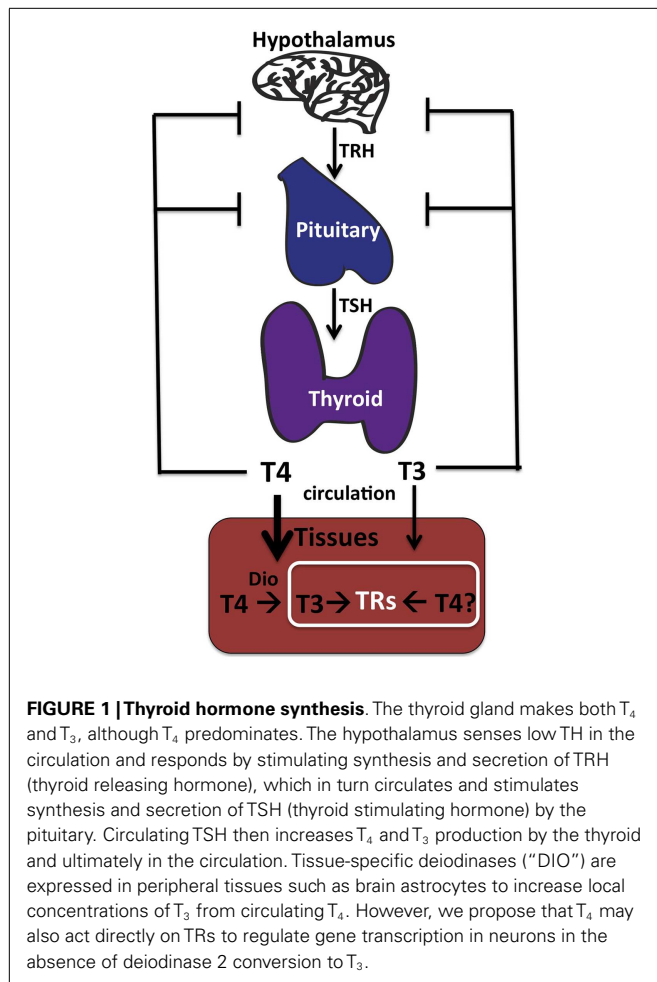
Thyroid hormones mediate CNS effects primarily through thyroid hormone receptors (TRs), members of the nuclear hormone receptor family (4, 7, 8). TRs bind to the DNA regulatory regions of target genes to activate or repress transcription through interactions with accessory proteins known as coregulators. There are two major THs, which bind to and activate TRs: T<sub>3</sub> (3,5,3'-triiodo-L-thyronine) and T<sub>4</sub> (3,5,3',5'-tetraiodo-L-thyronine, also known as thyroxine). T<sub>4</sub> differs from T<sub>3</sub> by an additional iodine located at the 5'-position of the first thyroxine ring. T<sub>3</sub> has been assumed to be the active form of TH, as T<sub>3</sub> binds to TRs with a greater affinity than T<sub>4</sub>. In this model, T<sub>4</sub> is thought to simply act as a pro-hormone, existing only to be circulated in the serum and converted at the tissue-level to T<sub>3</sub> through an enzymatic reaction involving the removal of the 5'-iodine atom from T<sub>4</sub> by local deiodinases (9, 10). Nonetheless, it is notable that most of the TH produced under normal conditions in the thyroid is secreted in the form of T<sub>4</sub> and steady-state serum concentrations of T<sub>4</sub> are

many fold greater than those of T<sub>3</sub> (11–14). Notably, iodine intake is important for the maintenance of both of these TH levels in circulation. In fact, during gestation and lactation in females, double the normal iodine intake is required to maintain adequate T<sub>3</sub> and T<sub>4</sub> in circulation to ensure normal fetal development (15, 16). Under conditions of low iodine intake, the serum T<sub>3</sub>/T<sub>4</sub> ratio is somewhat increased reflecting the reduced abundance of iodine atoms (16). Although the ready availability of dietary iodized salt has largely eliminated these iodine deficiencies for school children in most developed countries today, these advances are often not adequate for pregnant and lactating women (17).

Indeed the primary TH crossing the adult blood–brain barrier (BBB) is believed to be T<sub>4</sub>; therefore, the adult brain may have access to sufficiently high levels of T<sub>4</sub> to allow for direct binding to and transcriptional activation of TRs (18, 19). In fact, we know that both T<sub>4</sub> and T<sub>3</sub> binding by TRs lead to very similar structural changes in the receptor (12). Several reports have also shown that T<sub>4</sub> exhibits non-genomic effects by interacting with integrin cell membrane receptors (20). These studies suggest that T<sub>4</sub> may exhibit a greater role in physiology than merely acting as a pro-hormone. Therefore, the precise role of T<sub>4</sub> as a pro-hormone and whether T<sub>4</sub> might function directly as an active hormone in the CNS, remain incompletely answered questions.

## T<sub>4</sub> SYNTHESIS, TRANSPORT, AND AVAILABILITY IN THE BRAIN

Determining the effective cellular concentrations of T<sub>4</sub> and T<sub>3</sub> in the brain, or in any tissue, is difficult due to the complexities of TH synthesis, transport, and regulation. Vertebrates have developed multiple mechanisms to ensure delivery of appropriate levels of TH to peripheral tissues such as the brain. These include regulation of secretion of THs from the thyroid into serum (21, 22),



**FIGURE 1 | Thyroid hormone synthesis.** The thyroid gland makes both T<sub>4</sub> and T<sub>3</sub>, although T<sub>4</sub> predominates. The hypothalamus senses low TH in the circulation and responds by stimulating synthesis and secretion of TRH (thyroid releasing hormone), which in turn circulates and stimulates synthesis and secretion of TSH (thyroid stimulating hormone) by the pituitary. Circulating TSH then increases T<sub>4</sub> and T<sub>3</sub> production by the thyroid and ultimately in the circulation. Tissue-specific deiodinases (“DIO”) are expressed in peripheral tissues such as brain astrocytes to increase local concentrations of T<sub>3</sub> from circulating T<sub>4</sub>. However, we propose that T<sub>4</sub> may also act directly on TRs to regulate gene transcription in neurons in the absence of deiodinase 2 conversion to T<sub>3</sub>.

control of free versus bound levels of THs determined by reversible binding to serum-binding proteins (22), cell-specific expression of TH cell membrane transporters (23, 24), and finally intracellular deiodination of T<sub>4</sub> to form T<sub>3</sub> [(22, 25); **Figure 1**].

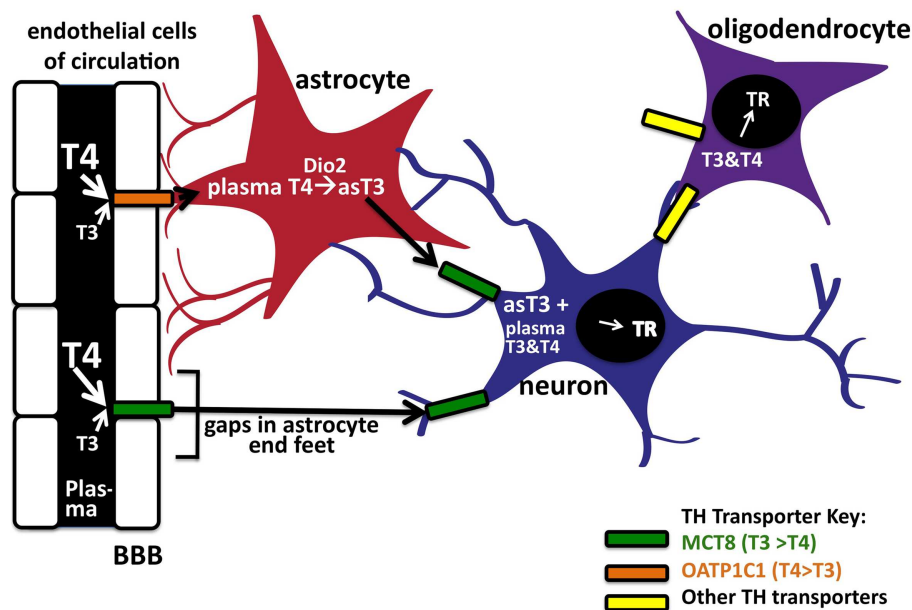
Transplacental TH transfer from maternal to fetal circulation is particularly important in vertebrate CNS development [reviewed by Ref. (26)] to ensure appropriate levels of TH are available to the fetus throughout development (16). Throughout the first trimester when TH levels are solely obtained through maternal transfer, free T<sub>4</sub> levels are high in the fetus, similar to levels of biologically active T<sub>4</sub> in adults, whereas fetal concentrations of T<sub>3</sub> are at least 10× lower than T<sub>4</sub> (16). Notably, T<sub>3</sub> levels in the fetal cerebral cortex increase somewhat between 12 and 20 weeks PMA (post-menstrual age) when placental deiodinase 2 levels increase (see below), although maternal serum levels of T<sub>3</sub> are still low. Both T<sub>4</sub> and T<sub>3</sub> in the fetus continue to be transferred from maternal origins through the placenta until half-way through pregnancy when endogenous THs are produced by the fetal thyroid. However, because fetal T<sub>4</sub> synthesis is elevated over that of T<sub>3</sub> for several weeks at this time, it is possible that an additional window in development exists where fetal circulating T<sub>4</sub> is quite high and may act as an active hormone with TRs (16).

Outer ring 5′-monodeiodination via cell-specific deiodinases converts a small fraction of the normal serum T<sub>4</sub> pool to T<sub>3</sub> (10, 22). Deiodinase 2 is the primary enzyme responsible for intracellular conversion of T<sub>4</sub> into T<sub>3</sub> in most local tissues including brain, whereas deiodinase 1 is found primarily in the liver (25, 27). Deiodinase 2 is only expressed in selected cell types within the CNS: astrocytes and tanycytes. These are both glial cell-derived and are located in the hypothalamus (28–30). The other deiodinase enzyme expressed in the CNS is deiodinase 3, selectively expressed in neurons. Deiodinase 3 inactivates both T<sub>4</sub> and T<sub>3</sub> by inner ring deiodination to rT<sub>3</sub> and T<sub>2</sub> so as to down-regulate local TH concentrations and protect the neuron from supraphysiological levels of TH. Currently it is believed that astrocytes generate active T<sub>3</sub> from circulating pro-hormone, T<sub>4</sub>, whereas neurons degrade both T<sub>4</sub> and T<sub>3</sub> to inactive rT<sub>3</sub> and T<sub>2</sub>, respectively, and thereby regulate local TH availability within the brain. When levels of TH are low, deiodinase 2 levels in brain increase and contrastingly when there are high levels of TH, deiodinase 3 levels increase (19, 30, 31). This balancing act protects the brain from the detrimental effects of hyper- or hypothyroidism.

T<sub>3</sub> concentrations equilibrate rapidly in peripheral tissues such as the liver and kidney but appear to take longer to equilibrate in the brain. In general, TH concentrations in the CNS are approximately 20% that of serum concentrations (32); this is likely due to the added complexity of TH transport across the BBB, which is comprised of the endothelial cells of brain capillaries surrounded by astrocyte end feet. To enter the brain, the THs cross the BBB of the choroid plexus via the MCT8 or OATP1C1 TH transporters. T<sub>4</sub> is thought to predominately enter the CNS in preference to T<sub>3</sub> as the majority of BBB TH transporters exhibit greater affinities for T<sub>4</sub> transport [(19, 33); **Figure 2**]. As mentioned above, after T<sub>4</sub> is taken up into astrocytes likely by OATP1C1, deiodinase 2 can in turn convert it locally to T<sub>3</sub>. Finally, the astrocyte-generated T<sub>3</sub> can enter neuronal cells via the MCT8 transporter to bind and activate TRs. Therefore, it is intriguing that the T<sub>4</sub>-activating deiodinase is not expressed in the neurons themselves, where the relevant TRs are located, but in the astrocytes. T<sub>4</sub> and/or T<sub>3</sub> also enter the CNS directly via gaps in the end feet of the astrocytes, which do not completely cover the capillaries in contact with the interstitial spinal fluid (34).

#### DIFFERENT TR ISOFORMS DIFFER IN THEIR ABILITY TO BIND TO T<sub>4</sub>

Thyroid hormones bind TRs, ligand-regulated transcription factors, which bind to specific target DNA sequences and repress or activate target genes through the recruitment and release of accessory proteins. TRs contact their DNA-binding elements as protein dimers, heterodimerizing with another member of the nuclear receptor family, RXRs (primarily Retinoid X Receptors), or homodimerizing with themselves (35–39). TRs exhibit bimodal regulation, typically binding corepressors to repress transcription of target genes in the absence of TH, but releasing corepressors and recruiting coactivators to activate transcription of these “positive response” target genes in the presence of TH (40, 41). These corepressor and coactivator proteins alter the chromatin template or interact with the general transcription machinery to produce the appropriate transcriptional outputs. However, many TR target



**FIGURE 2 | Entry of TH into brain via the blood–brain barrier.** TH can enter neurons by two pathways. The first is by crossing the endothelial cells of the blood–brain barrier (BBB) by the OATP1C1 transporter to enter astrocyte end feet (in red). After entering astrocytes, T<sub>4</sub> can be converted into T<sub>3</sub> via deiodinase 2, to enter the neuron (in blue) by the MCT8 transporter. Circulating T<sub>4</sub> and T<sub>3</sub> may

also enter neurons (and astrocytes) directly via these transporters through gaps in the astrocyte end feet. Oligodendrocytes (purple), which express TRs, are also known TH cell targets in the CNS. There is also evidence of as-yet unknown TH transporters in the brain; the TH transporters, and their known preferences for T<sub>4</sub> or T<sub>3</sub>, are indicated in color codes on the right.

genes display the opposite properties in that they are expressed in the absence of TH and are repressed in the presence of TH; the molecular mechanisms involved in this “negative response” is not well-understood.

Thyroid hormone receptors are encoded by two distinct genetic loci, denoted THRA and THRB, which are each expressed as alternatively spliced mRNAs to create additional receptor diversity [reviewed in Ref. (42)]. Two of the major TR isoforms are referred to as TR $\alpha$ 1 and TR $\beta$ 1; both bind TH and yet exhibit distinct biological roles [reviewed in Ref. (43)]. TR $\alpha$ 1 is expressed early in embryonic development and then widely in adults whereas TR $\beta$ 1 is expressed later in embryonic development and exhibits a more restricted tissue-expression pattern in adults (31, 44–49). Genetic disruption in mice of TR $\alpha$ 1 or TR $\beta$ 1 indicates that these isoforms have somewhat overlapping, yet distinct roles in normal physiology (45–47, 49, 50).

These two different TR isoforms differ in their ability to respond to T<sub>4</sub>, with TR $\alpha$ 1 generally exhibiting a much stronger response to T<sub>4</sub> than TR $\beta$ 1. We suggest that different cell types may modulate their relative ability to respond to T<sub>4</sub> versus T<sub>3</sub> by altering the relative abundance of different coactivators and corepressors that have distinct responses to T<sub>4</sub> and T<sub>3</sub>, raising the possibility that T<sub>4</sub> may be able to function as a direct-acting hormone agonist with TR $\alpha$ 1 (Amy C. Schroeder and Martin L. Privalsky, unpublished observations).

### TR $\alpha$ 1 EXPRESSION IN THE BRAIN

Notably, TR $\alpha$ 1 encompasses 70–80% of all TR expression in the adult vertebrate brain (2) and TR $\alpha$ 1 is present in nearly all

neurons (51). Intriguingly, TR $\alpha$ 1 is also the predominating TR isoform early in fetal brain development (detected by 8.1 weeks and increasing until 13.9 weeks post-menstrual age). Critical roles in CNS development are known to be mediated by TR $\alpha$ 1 including TH-dependent oligodendrocyte differentiation (52). If TR $\alpha$ 1 is inactivated, the number of mature oligodendrocytes after T<sub>3</sub> treatment is decreased (52). The commitment of these cells as oligodendrocytes is therefore believed to be linked to cell-specific TR $\alpha$ 1 expression while the availability of TH regulates the timing of differentiation (52). In fact, maturation of several cell types in the brain in development may depend on specific windows of TR $\alpha$ 1 expression and involve a complicated interplay between TRs, THs, and coregulators (2). Additionally, TR $\alpha$ 1 is known to exhibit important roles in later stages of neurodevelopment and its expression persists in adult neurons. Therefore, it is interesting that expression of the TR $\alpha$ 1 isoform predominates in both fetal and in adult brain at the same times when free T<sub>4</sub> levels appear to be at biologically active levels (16), suggesting windows in brain development may exist where T<sub>4</sub> may act on TR $\alpha$ 1.

### DEIODINASE 2-DEFICIENT MICE EXHIBIT NORMAL CNS DEVELOPMENT AND FUNCTION

As noted above, deiodinase 2 expression does not overlap TR receptor expression in the brain. Deiodinase 2 is expressed instead in astrocytes whereas the TRs are expressed in neurons along with deiodinase 3 [(28, 29); Figure 2]. The current theory therefore suggests astrocytes are involved with T<sub>4</sub> uptake from capillaries to subsequently generate a source of locally generated T<sub>3</sub>. Conversion of T<sub>4</sub> into T<sub>3</sub> via deiodinase 2 in astrocytes has been estimated to

produce as much as 80% of the T<sub>3</sub> bound to the TRs in the brain (18), suggesting astrocyte deiodinase 2 is important for generating local T<sub>3</sub> concentrations. Therefore, many argue that deiodinase 2 likely plays a critical role in developing brain by providing the necessary amount of T<sub>3</sub>. If this were in fact the case, one would predict the absence of deiodinase 2 would result in detrimental defects in CNS development similar to that seen in hypothyroidism.

However, the Galton lab produced a deiodinase 2-deficient and a deiodinase 2/deiodinase 1 dual-deficient mouse (KOs) without any evident defects in brain development or function (27, 53). The deiodinase KO mice demonstrated slightly elevated circulating T<sub>4</sub> and TSH levels, and normal thyroid-secretion of T<sub>3</sub> but no tissue-level production of T<sub>3</sub> from T<sub>4</sub> (27). Notably, these mice did not display any signs of hypothyroidism and have no gross physiological or behavioral abnormalities (27). The deiodinase KO was also combined with an MCT8 TH transporter knockout (54, 55); this combination resulted in minor neuronal defects mostly noted by decreased expression of genes in the neural cortex, which are usually positively regulated by T<sub>3</sub>, however, most neural development and function was normal. KO mice studies suggest that T<sub>3</sub> transport into the brain and local conversion of T<sub>4</sub> to T<sub>3</sub> in the brain are not essential for normal brain function in mice, and suggest that CNS T<sub>3</sub>-defects do not produce syndromes as severe as that seen in the hypothyroid mice (27).

Many suggest that there might be compensation in the deiodinase KO mice through the absorption of more T<sub>3</sub> directly from circulation via the MCT8 transporter in endothelial cells of the BBB, but it should be again noted that the parallel transporters such as OATP1C1 and OATP2 favor T<sub>4</sub> transport (56, 57) and it is unlikely that T<sub>3</sub> can be transported into the brain at rate equivalent to T<sub>4</sub> transport. We suggest that in the absence of available T<sub>3</sub>, T<sub>4</sub> can act as an active TH in the brain working on, most likely, TR $\alpha$ 1. Interestingly, in the absence of deiodinase 1 and 2, positively regulated TH genes in the cerebral cortex remain unaffected but negatively regulated TH genes appear to be impaired in a way that parallel the hypothyroid mice (27, 58). Perhaps in the absence of deiodinase 2, T<sub>4</sub> can act as an active hormone in brain cells to activate positively regulated TH genes, but not to repress negatively regulated TH genes.

It should be noted that humans with MCT8 mutations display severe neurodevelopmental defects with psychomotor retardation and abnormal serum TH levels (57, 59)). Contrastingly, MCT8 KO mice mimic the human MCT8 mutations in their thyroid phenotype but display no obvious brain developmental defects (57, 59). It is therefore possible that the need for locally produced T<sub>3</sub>, and/or the presence of alternative T<sub>3</sub>-specific transporters, differ in mice and in humans (55).

## TR COREGULATORS AND THE BRAIN

T<sub>4</sub> efficiently recruits many coactivators to TR $\alpha$ 1, with certain well-established TR coactivators (SRC1 and TRAP220) exhibiting a T<sub>4</sub> response equal or near equal to that induced by T<sub>3</sub> (Amy C. Schroeder and Martin L. Privalsky, unpublished data). SRC1 mRNA is expressed in many tissues during development including the CNS (60). TRAP220 is also expressed in the developing brain and is thought to play a regulatory role in the process of cell proliferation and differentiation, in learning, and in memory formation

(61). The widespread expression of TRAP220 in the developing brain appears to parallel TR $\alpha$ 1 expression. Therefore, CNS development correlates with a high level of expression of TR $\alpha$ 1 together with TRAP220 and/or SRC1 and may provide an opportunity for T<sub>4</sub> to directly regulate gene transcription. CNS cell-specific differences in TR isoform and cofactor levels or function are likely to contribute to differences in T<sub>4</sub> hormone response and may suggest a means by which the T<sub>4</sub> sensitivity of a given CNS cell type can be regulated in response to internal or external signals.

## A POSSIBLE DIRECT ROLE FOR T<sub>4</sub> IN BRAIN: ARE THERE CONTEXTS IN THE BRAIN IN WHICH T<sub>4</sub> IS A DIRECT-ACTING TR $\alpha$ 1 AGONIST?

Several recent studies have led to the view that T<sub>4</sub> exhibits non-genomic roles that do not require conversion to T<sub>3</sub> (20) but which have not challenged the general view that T<sub>3</sub>, not T<sub>4</sub>, is the only direct, biologically relevant agonist for nuclear TR function. Our own experiments indicate that TR $\alpha$ 1 has the potential to act as a dual sensor of both T<sub>4</sub> and T<sub>3</sub> (Amy C. Schroeder and Martin L. Privalsky, unpublished observations).

Although the effective concentration of T<sub>4</sub> in the brain is difficult to determine, it is plausible that T<sub>4</sub> levels are sufficient to induce activation of TR $\alpha$ 1-regulated genes in the brain even in the absence of T<sub>3</sub>. We suggest that the normal mix of T<sub>4</sub> and T<sub>3</sub> in the brain may actually confer a mixed T<sub>4</sub>/T<sub>3</sub> transcription response mediated primarily by TR $\alpha$ 1, together with a more pure T<sub>3</sub> response mediated primarily by TR $\beta$ 1. Notably, mice in which both deiodinase 1 and 2 have been genetically ablated, and thus lack astrocyte deiodinase conversion of T<sub>4</sub> to T<sub>3</sub>, display only very mild defects in their physiological with little to no neurological defects (27). If, as indicated by these knockouts, T<sub>4</sub> is not absolutely required in its traditional role as a pro-hormone, the dominance of T<sub>4</sub> to T<sub>3</sub> in the circulation and transport into the CNS may instead reflect a novel role of T<sub>4</sub> as a direct-acting hormone and this direct role may be helping to ameliorate the effects of the deiodinase knockouts in the CNS.

In conclusion, TH endocrinology in the CNS is tightly regulated at multiple tiers. Negative feedback loops in the hypothalamus and the pituitary control T<sub>3</sub> and T<sub>4</sub> output by the thyroid gland itself. Further, multiple phenomenon functions together to modulate the transport of circulating TH through the BBB, and multiple transporters act together to directly alter TH availability in the CNS itself. Additionally, conversion of intracellular T<sub>4</sub> into T<sub>3</sub> by deiodinase 2, inactivation of both T<sub>3</sub> and T<sub>4</sub> by deiodinase 3, and, the ability of different TR isoforms and different coregulators to respond directly to T<sub>4</sub> versus T<sub>3</sub> further regulate the CNS response to TH. Operating together, we propose these mechanisms serve to maintain proper endocrine homeostasis while permitting the CNS to respond to developmental and physiological needs.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 10 January 2014; accepted: 17 March 2014; published online: 31 March 2014.

Citation: Schroeder AC and Privalsky ML (2014) Thyroid hormones, T3 and T4, in the brain. *Front. Endocrinol.* 5:40. doi: 10.3389/fendo.2014.00040

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Thyroid hormone and seasonal rhythmicity

Hugues Dardente<sup>1,2,3,4\*</sup>, David G. Hazlerigg<sup>5</sup> and Francis J. P. Ebling<sup>6</sup>

<sup>1</sup> Physiologie de la Reproduction et des Comportements, INRA, UMR085, Nouzilly, France

<sup>2</sup> CNRS, UMR7247, Nouzilly, France

<sup>3</sup> Université François Rabelais de Tours, Tours, France

<sup>4</sup> Institut français du cheval et de l'équitation, Nouzilly, France

<sup>5</sup> Department of Arctic and Marine Biology, University of Tromsø, Tromsø, Norway

<sup>6</sup> School of Life Sciences, University of Nottingham, Nottingham, UK

## Edited by:

Frédéric Flamant, Ecole Normale Supérieure de Lyon, France

## Reviewed by:

Valerie Simonneaux, Centre National de la Recherche Scientifique, France  
Sulay Tovar, University of Cologne, Germany

## \*Correspondence:

Hugues Dardente, INRA, UMR85  
Physiologie de la Reproduction et des  
Comportements, CNRS, UMR7247,  
Université François Rabelais de Tours,  
IFCE, F-37380 Nouzilly, France  
e-mail: hdardente@tours.inra.fr

Living organisms show seasonality in a wide array of functions such as reproduction, fattening, hibernation, and migration. At temperate latitudes, changes in photoperiod maintain the alignment of annual rhythms with predictable changes in the environment. The appropriate physiological response to changing photoperiod in mammals requires retinal detection of light and pineal secretion of melatonin, but extraretinal detection of light occurs in birds. A common mechanism across all vertebrates is that these photoperiod-regulated systems alter hypothalamic thyroid hormone (TH) conversion. Here, we review the evidence that a circadian clock within the pars tuberalis of the adenohypophysis links photoperiod decoding to local changes of TH signaling within the medio-basal hypothalamus (MBH) through a conserved thyrotropin/deiodinase axis. We also focus on recent findings which indicate that, beyond the photoperiodic control of its conversion, TH might also be involved in longer-term timing processes of seasonal programs. Finally, we examine the potential implication of kisspeptin and RFRP3, two RF-amide peptides expressed within the MBH, in seasonal rhythmicity.

**Keywords:** seasonality, reproduction, pars tuberalis, melatonin rhythm, kisspeptins, RF-amide, GnRH neurons

## INTRODUCTION

Seasonality is a critical property of most organisms. At temperate latitudes, photoperiod is the main synchronizer of seasonal functions. Photoperiodism defines the use of the annual cycle of day and night length to coordinate functions such as reproduction, fattening, hibernation, and migration with predictable changes in the environment, for example in food availability or climatic conditions. Seasonal changes in physiology and behavior typically are innately timed long-term processes, requiring weeks or months to wax and wane. Therefore, additional to photoperiodic readout mechanisms, living creatures have evolved endogenous long-term timing devices, which allow them to anticipate forthcoming seasonal changes. In the most extreme cases, cycles of about 365 days recur for years in animals kept under constant photoperiods; such so-called circannual rhythms exist in a variety of birds and longer-lived mammals.

Species with relatively short life spans such as voles and hamsters usually do not display circannual rhythms, but their seasonal cycles also comprise an endogenously generated part, which corresponds to the overwintering period and allows timely emergence from the burrow and reproductive recrudescence in early spring. Endogenous long-term timing is commonplace in vertebrates but its mechanistic basis remains mysterious [for reviews, see Ref. (1–6)]. Here we review findings, essentially in birds and mammals, which clarify the mechanisms of photoperiodic readout and provide a rationale for the seasonal control of thyroid hormone (TH) metabolism within the hypothalamus.

## PHOTOPERIODISM: MELATONIN AND THE PARS TUBERALIS

The crucial role of melatonin in mammalian photoperiodism has been established in many species including hamsters, ferrets, and sheep (7–9). Within the pineal, melatonin is produced and released during the night and therefore constitutes an internal neurochemical representation of photoperiod. Timed melatonin-infusion experiments established that duration is the key parameter of the melatonin pattern that triggers the photoperiodic response [for review, see Ref. (10)]. In order to map central binding sites, autoradiography with 2-iodo-melatonin was used in a wide range of mammals (11). Surprisingly, across all species the highest density of melatonin-binding sites was found in the *pars tuberalis* (PT), a region of the pituitary stalk apposed to the median eminence. The suprachiasmatic nuclei (SCN) also showed moderate labeling in most species while many brain nuclei showed weak to moderate labeling, with very little species overlap [for reviews, see Ref. (12, 13)]. The presence of melatonin receptors within the SCN was consistent with the effects of melatonin on daily timing in mammals (14). Conversely, since the PT was the only neuroendocrine structure labeled in the highly photoperiodic ferret, a role in seasonality was anticipated (15). However, melatonin-binding sites were also disclosed within the PT of species, which are not overtly photoperiodic such as mouse, rat, and human.

Melatonin-binding studies also led to the recognition that the binding site(s) for melatonin was a classical GPCR, with picomolar affinity for its ligand. In mammals, two high-affinity melatonin receptors (MT1 and MT2) were cloned (16, 17). Subsequent studies showed that MT1 is the predominant subtype, both necessary

and sufficient to mediate the photoperiodic effect of melatonin (18–22). The number of central sites expressing melatonin receptors as revealed by *in situ* hybridization was comparatively more restricted – mostly the PT and the SCN – than that observed with melatonin-binding studies. This may reflect the difference in sensitivity of the techniques and/or the existence of a low-affinity melatonin-binding site. The latter would be physiologically irrelevant, and probably corresponds to quinone reductase 2 rather than a true melatonin receptor (23).

### MELATONIN-DEPENDENT TSH RELEASE IN THE PARS TUBERALIS

The PT is the most rostral part of the adenohypophysis. Many reviews detailing the ontogeny, morphology, and immunohistochemical characteristics of the PT are available (24–28). The PT was once considered an “undifferentiated embryological remnant of the hypophysis” whose “only function is to provide mechanical support role for the hypothalamo-hypophyseal portal vessels” [see Ref. (29)]. However, its location and anatomical features pleaded in favor of a specific role: the PT extends along the ventral aspect of the median eminence, surrounds the pituitary stalk in its most caudal part, and is in contact with nerve endings of the median eminence and capillaries of the pituitary primary plexus.

The PT is phylogenetically conserved in tetrapods, but is generally absent in fish (30), and consists of endocrine cells, which exhibit early secretory activity compared to the pars distalis (PD). Three different cell types occur in the PT: (i) follicular cells; (ii) gonadotropes, which constitute ~10% of the endocrine PT cells, have dense-core granules and occur mostly in the caudal PT (known as the *zona tuberalis*); (iii) PT-specific cells, which are virtually agranular thyrotropes and constitute ~90% of endocrine PT cells. The PT gonadotropes appear identical to those in the PD, while shape and ultrastructure of PT-specific thyrotropes differ strikingly from those in the PD (24, 25). These thyrotropes were therefore suspected to be a peculiar pituitary endocrine cell type, possibly producing a novel glycoprotein [“tuberalin,” Ref. (31)]. These cells exhibit early secretory activity compared to PD endocrine cells (32). This depends upon the induction of *Tshβ* transcription by a transcription factor consequently called TEF [Thyrotroph Embryonic Factor; Ref. (33)].

Based on ultrastructure and immunohistochemistry, these PT-specific thyrotropes were predicted to be melatonin-responsive, a prediction which has since been validated (34, 35). TSH immunoreactivity within these cells displays dramatic melatonin-dependent photoperiodic changes, with high and low levels under long (LP) and short photoperiod (SP), respectively (36, 37). Finally, the TSH produced by these PT-specific thyrotropes may be identical to that produced by the PD, but the transcriptional control of the *Tshβ* gene in the two populations differs since PT thyrotropes do not express receptors for either TRH or TH (38). Hence, *Tshβ* expression by PT-specific thyrotropes is disconnected from the classical hypothalamic–pituitary–thyroid axis; instead it depends upon melatonin.

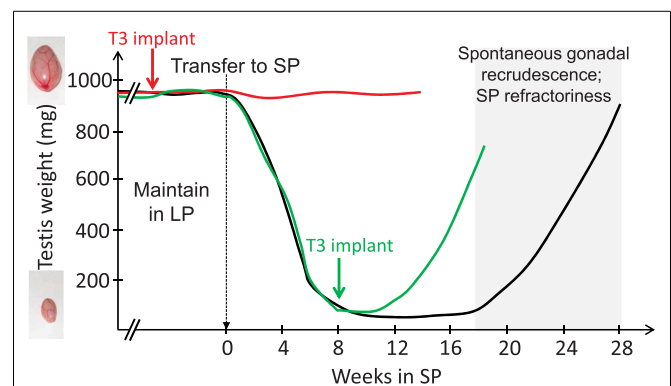
However, considering the Harris dogma of a descending flow of information from the hypothalamus to the pituitary, a role for PT-derived TSH was not forthcoming. Rather, it was assumed that, should the PT play a role in seasonality, it would most probably be

to release tuberalin(s) in the pituitary portal plexus, which would then target the PD. This might be the case for the seasonal control of the lactotropic axis, even though the mechanism is unclear (39). This aspect will not be considered further here as it has been discussed elsewhere (28, 40–42).

## THYROID HORMONE SIGNALING IN SEASONAL CYCLES

### AN OVERVIEW

The pioneering work of Benoit on ducks in the 1930s revealed that the thyroid gland is mandatory for seasonal transitions in reproductive states, a finding which applies to a wide range of vertebrates [reviewed by Nicholls et al. (43); Hazlerigg and Loudon (44); Yoshimura (45)]. Thyroidectomy prevents the cessation of breeding in starlings (46), quail (47), and sheep [Ref. (43, 48, 49); for review, see Ref. (50)]. In rams, thyroidectomy during the non-breeding season almost immediately reactivates the gonadotropic axis (51). Therefore, TH appeared to transmit the message of long-day lengths. Microimplants releasing small amount of TH were then surgically placed within the brain of the ewe (52, 53), which revealed that TH acts centrally, and most likely within the medio-basal hypothalamus (MBH), to impact seasonal reproduction. Studies in Siberian hamsters using a similar microimplants approach further showed that other seasonal axes are also controlled by central actions of T3: providing T3 directly within the MBH overrides the SP-induced inactivation of the gonadotropic axis (54) and triggers premature gonadal recrudescence in SP-exposed animals. T3 implants also override SP-induced seasonal inappetence, weight loss, and expression of torpor [Ref. (55); see Figure 1]. Similar outcomes are found when T3 is provided by daily subcutaneous injections to SP-exposed hamsters (56). In contrast to these effects on reproduction and energy metabolism, T3 implants do not impact the lactotropic axis, consistent with a distinct mechanism of control (57, 58) while not incompatible with a common melatonin target tissue as discussed later.



**FIGURE 1 | T3 implants prevent SP-induced inactivation of the gonadal axis (red line) and reactivate the gonadal axis in SP-adapted Siberian hamsters [green line; after data from Barrett et al. (54) and Murphy et al. (55)].** Siberian hamsters (black line) kept in LP remain indefinitely sexually active (broken lines) unless they are transferred to SP; gonads then progressively regress (testes depicted here, but data are similar for female reproductive organs). However, prolonged SP exposure leads to a spontaneous recrudescence of the gonads, which reflects SP-refractoriness.

These observations added to the well-documented role of TH in key transitions between life cycles, such as metamorphosis in amphibians and developmental growth and differentiation of the mammalian brain (59, 60). In adults, TH also has key roles in the control of metabolism and thermoregulation, two processes intertwined with the seasonal reproductive cycle. The seasonal program encompasses profound and coordinated changes in behavioral, reproductive, and metabolic states (61). The finding that TH regulates the basal metabolic rate is not new, but the recognition that it reflects a central action within the MBH is very recent (62, 63). Indeed, T3 injection within the MBH suffices to promote food intake and weight gain in rats (64). Interestingly, this effect is mimicked by LP, which triggers weight gain in many species, including sheep (65). Such a process bears critical adaptive value, best exemplified in species that hibernate (e.g., groundhog) or undergo daily torpor (e.g., Siberian hamster), which have evolved a strategy to build up abdominal fat depots during spring/summer to survive the harsh winter season (1, 61). Photoperiodic cues and the metabolic status interact in many seasonal breeders, including sheep (66, 67), goats (68, 69), and horses (70). In all these species, feeding modulates the duration of the breeding season and/or depth of the anestrus. Therefore, TH integrates and coordinates physiological changes, which are integral to the seasonal program.

#### LOCAL CONTROL OF TH METABOLISM WITHIN THE MBH

Although cold exposure activates thyroid activity, under constant ambient temperature conditions, TH concentrations do not display marked or consistent seasonal fluctuations in the plasma or cerebro-spinal fluid. Rather, fine temporal and local control of TH action is achieved through opposite actions of specific enzymes known as deiodinases (71–73). Deiodinase 2 (DIO2) converts the relatively inactive T4 into the active T3 while deiodinase 3 (DIO3) inactivates T4 by converting it into rT3, and also degrades T3 into T2. Very precise control of T3 concentrations is further achieved through reciprocal control of the expression and activity of these two enzymes by their ligand: a hypothyroid state up-regulates DIO2 and down-regulates DIO3, and vice-versa (72, 74, 75).

The central expression of *Dio2* is restricted to a few structures. The pineal gland is one of them (76), but the strongest expression occurs in astrocytes and tanycytes lining the third ventricle and median eminence (77, 78). These tanycytes also express two major TH transporters, MCT8 and OATP1c1 (79–81), and MCT8 is expressed at higher levels under SP than LP in the Siberian hamster (82, 83). Tanycytes are a heterogeneous and complex population of ependymal cells, which constitute a gateway between the CSF and the MBH and median eminence (84). In a pioneering study, Yoshimura and colleagues (85) showed that both *Dio2* and *Dio3* are expressed within tanycytes of the quail MBH. Crucially, the expression of these two enzymes displays opposite regulation by photoperiod: *Dio2* is highly expressed under LP while *Dio3* is highly expressed under SP. This predicted a local increase of T3 content within the MBH under LP, which was validated by radioimmunoassay (85). The opposite regulation of *Dio2* and *Dio3* by photoperiod has since been described in sparrows and Siberian and Syrian hamsters (86–89). Importantly, the expression of *Dio2* is down-regulated by melatonin, independently of sex steroids (88, 90). Melatonin is also required to trigger *Dio3* expression under

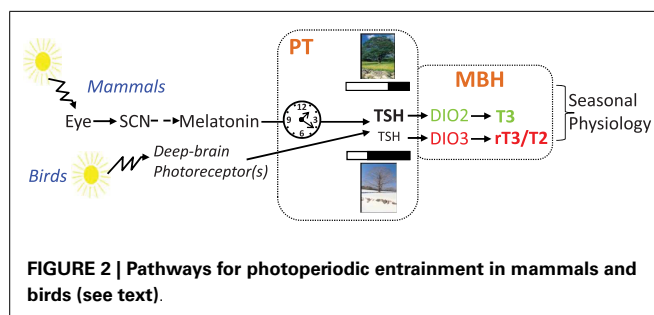
SP in Siberian hamster (54). Collectively, these data provided an enzymatic means through which local T3 levels in the MBH could increase under LP.

#### CLOSING THE LOOP: TSH OUTPUT FROM THE PT GOVERNS T3 REGULATION WITHIN THE MBH

The PT seemed well located to mediate photoperiodic switches in *Dio2–Dio3* usage. To decipher the mechanism of the photoperiodic response, Yoshimura and colleagues (91) set out an ambitious experimental set-up: hypothalamic blocks containing the MBH and PT/median eminence from quails submitted to a long-day transfer, known to activate the gonadotropic axis within 24 h, were used for hybridization on a chicken gene chip. This revealed that the expression of two genes, *Tshβ* and *Eya3*, is rapidly triggered by the transfer from SP to LP. A second wave of transcriptional changes was also observed for a handful of genes including *Dio2* and *Dio3*, which displayed acute and simultaneous induction and repression, respectively. Crucially, expression of the cognate TSH receptor (TSHR) was found in tanycytes, which express the deiodinases, providing the link between TSH output from the PT and T3 regulation within the MBH. The pathway was uncovered using an acute intracerebroventricular injection of TSH to SP-exposed quails, which induced *Dio2* expression and led to gonadal recrudescence.

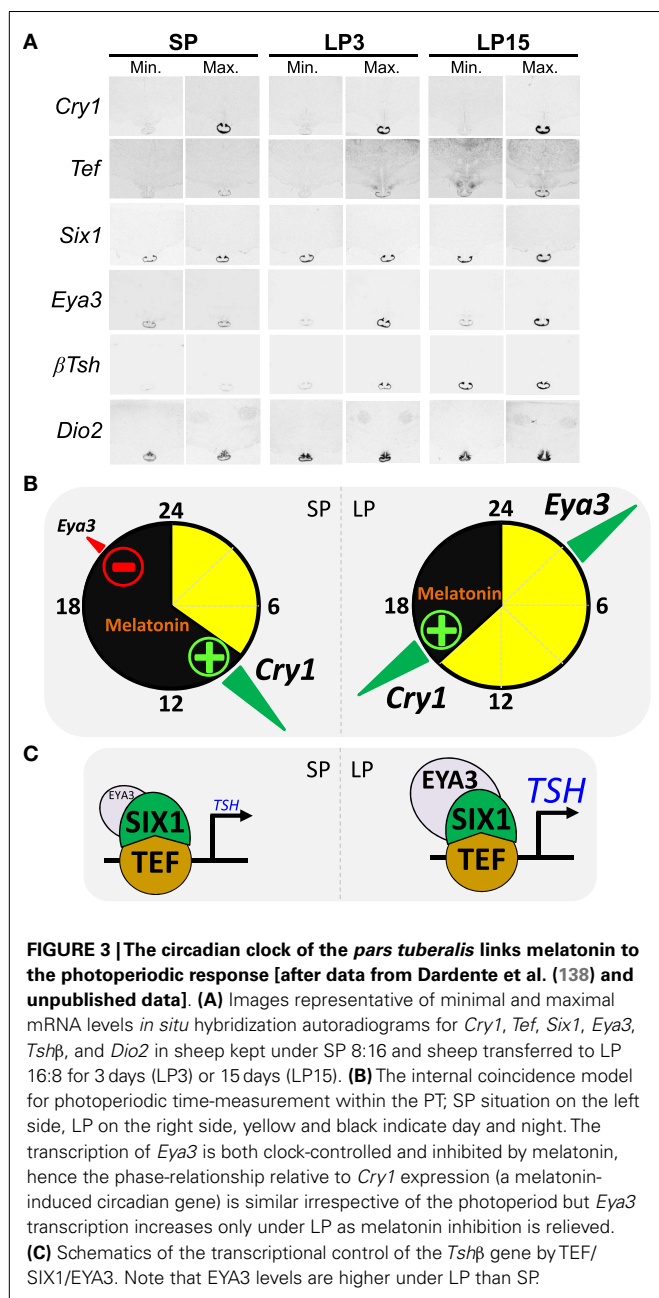
In a contemporaneous study in sheep, Hanon et al. (92) suggested this mechanism to be ancestral, since their data were similar in many respects: higher *Tshβ* expression within the PT under LP than SP (see Figure 3A), expression of the TSHR within tanycytes and PT/median eminence, higher *Dio2* expression under LP than SP (see Figure 3A), and TSH-dependent induction of *Dio2* both *in vitro* and *in vivo*. The latter finding was not unexpected, since TSHR signals through a Gs protein, and *Dio2* is a cAMP-responsive gene (93). In contrast, the MT1 receptor couples to a Gi protein and the interplay between TSHR and MT1 signaling within the PT may be part of the photoperiod decoding mechanism, at least in sheep (92, 94, 95). Under LP, the PT therefore functions as an “indirect T3-generator,” disconnected from both TRH and T3 feedback (see above).

Since these studies in quail and sheep, a similar TSH/deiodinases/T3 retrograde pathway (from the pituitary back to the hypothalamus, Figure 2) has been described not only in other photoperiodic species such as the European hamster (96), the Syrian hamster (97), the Siberian hamster (89), the common vole (98), but also in photoresponsive juvenile Fisher 344 rats (99) and in a melatonin-producing but non-photoperiodic CBA/N



**FIGURE 2 | Pathways for photoperiodic entrainment in mammals and birds (see text).**





mouse strain (22, 100, 101). Photoperiodic variations in *Dio2* expression were however not observed in the non-photoperiodic Wistar rat (88). The use of murine knock-out strains confirmed that the MT1 melatonin receptor (22) and TSHR (100) are mandatory for the LP induction of *Dio2* expression within tanycytes. Whether this pathway is present in all vertebrates remains to be determined (102).

As mentioned before, fish species investigated thus far do not have a distinct PT, but in masu salmon a TSH/DIO2 axis implicating the *saccus vasculosus*, located below the hypothalamus and caudally to the pituitary gland, has been proposed (103). However, the *saccus vasculosus* is absent in several species of fish such as the pike (104), which is nonetheless photoperiodic (105).

The other few studies on this matter in fish have yielded varied outcomes (106, 107). Regarding birds, studies in tits (108) and starlings (109) did not lend clear support to the model, but aspects of the experimental set-up prevent any conclusion to be drawn. For example, the studies of starlings were carried out in outdoor aviaries, so effects of fluctuating temperature on the peripheral thyroid axis may have obscured the photoperiodic regulation of DIO2 and DIO3 centrally. Finally, we are not aware of any study on this matter in either reptiles or amphibians.

## ENCODING AND DECODING THE PHOTOPERIODIC MESSAGE UPSTREAM OF THE PT

Birds and mammals possess a similar mechanism to respond to photoperiod, but they perceive the photoperiodic message in different ways. In mammals, light is exclusively perceived by the retina, with a key role for ganglion cells expressing the photopigment melanopsin [for review, see Ref. (110)]. This information is relayed to the circadian clock of the SCN, which governs melatonin production by the pineal gland through a multi-synaptic sympathetic pathway. Melatonin is the mandatory messenger of photoperiod in mammals. In striking contrast, removing the eyes and suppressing melatonin by pinealectomy does not disrupt photoperiodism in birds [for reviews, see Ref. (44, 45, 111–113)]. In birds, light goes through the skull and acts directly upon hypothalamic deep-brain photoreceptors to control seasonal reproduction (Figure 2). Several photopigments expressed by different cell types, all located within the MBH and projecting to the PT/median eminence, are plausible candidates: VA-opsin (114), neuropsin [Opn5, Ref. (115, 116)], and melanopsin [Opn4, Ref. (117)]. The neurotransmitter(s) and/or neuropeptide(s) used by these cells, and how they impinge on PT thyrotropes, remain to be elucidated.

## WITHIN THE PT: FROM THE CIRCADIAN CLOCK TO THE SEASONAL OUTPUT

Photoperiodic species such as quail (118) and Siberian and Syrian hamsters (119, 120) measure photoperiod length with remarkable accuracy. In these three species, reproduction switches off when the photoperiod is shorter than 12.5 h. The narrow photoperiod range over which physiological changes occur is one of the lines of evidence implicating some sort of daily timing device. The concept that circadian clock(s), clocks with a period of about 24 h, control seasonal timing is indeed not novel (120, 121).

The genetic and molecular bases and organization of circadian clocks have been recently identified (122–124). These clocks are not only located within the SCN, but are present in virtually every tissue and cell where they impact “local” physiology. The PT is no exception as it expresses a full set of clock genes and displays persistent circadian rhythmicity *in vitro* [Ref. (125–127); for review, see Ref. (28)]. The SCN and peripheral clocks share fundamental characteristics: they are cell-autonomous and self-sustained. However, individual cellular clocks within peripheral tissues rapidly become desynchronized and exhibit phase drifting in the absence of regular resetting by cues emanating, directly or indirectly, from the SCN. These cues include inputs from the autonomic nervous system, temperature cycles, and humoral factors such as glucocorticoids and melatonin.



The PT can be defined as a melatonin-dependent circadian oscillator (28, 50). Resetting of the PT clock by melatonin requires acute induction of *Cry1* expression [Ref. (128, 129), see **Figure 3A**]; *CRY1* being a key repressor of the circadian clock (130–132). The acute induction of *Cry1* expression involves EGR1-like factors (133) and the transcription factor NPAS4 (134, 135). In sheep, *Cry1* expression remains tightly linked to the onset of melatonin secretion and by implication night onset, irrespective of the duration of the day length [Ref. (136), see **Figure 3B**]. Interestingly, light given during the night induces *Cry1* expression within the quail PT (137), which suggests a phylogenetically conserved role for *Cry1* in the photoperiodic resetting of the PT clock.

How do we connect melatonin resetting of the PT clock with differential photoperiodic output of TSH and seasonal reproduction? The expression of the transcriptional co-activator EYA3 within the ovine PT displays large photoperiodic changes in both phase and amplitude [Ref. (39, 138); see **Figure 3A**]. Interestingly, *Eya3* was the other gene (besides *Tshb*) immediately induced in the quail PT during the first long-day release experiment (91). We therefore investigated the transcriptional control of *Eya3* and searched for a link between inductions of both genes. The expression of *Eya3* is clock-controlled, through conserved DNA binding motifs within its promoter, and therefore phase-locked to that of the circadian clock [Ref. (138), see **Figure 3B**]. Because of this, expression peaks during the night under SP but during the day under LP. The amplitude of the peak is higher under LP than SP because melatonin suppresses *Eya3* expression, a suppression which can only occur in SP-exposed animals [Ref. (138); see **Figure 3B**]. Finally, *in vitro* data showed that induction of *Tshb* expression is triggered by the circadian-controlled transcription factor TEF (33), which then recruits the co-activators SIX1 and EYA3. This leads to a marked increase in transcription under LP due to higher levels of EYA3 [Ref. (102, 138), **Figures 3B,C**]. A critical role for SIX1/EYA3, but not TEF, in the photoperiodic control of *Tshb* transcription in the mouse PT has been proposed (139, 140).

### IS T3 OUTPUT SUFFICIENT TO ELICIT THE FULL SPECTRUM OF SEASONAL CHANGES?

The data reviewed so far are consistent with a crucial role for the TSH output of the PT in driving seasonal changes in T3 availability within the MBH. However, swings in TSH/T3 may not be sufficient to elicit all seasonal changes. As mentioned before, since control of the lactotropic axis does not depend on T3 [for review, see Ref. (50)], complementary mechanisms are indeed expected. Neuromedin U (89, 141), histamine, and VGF secretion (82, 142, 143) may mediate seasonal effects on body weight and metabolism since their synthesis and cognate receptors display expression patterns and seasonal changes reminiscent of those seen for TSH/TSHR. However, since TSH infusion in SP-adapted Siberian hamster restores hypothalamic expression of somatostatin and body weight to LP levels (144), Neuromedin U, histamine, or VGF may be dispensable.

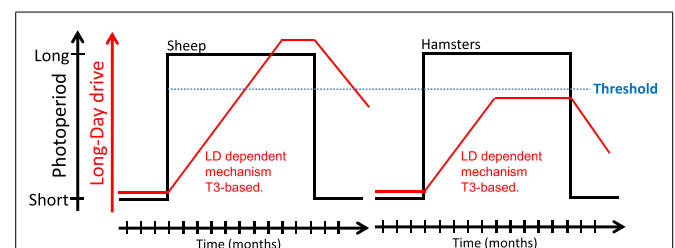
Retinoic acid signaling is also likely to be involved as retinoic acid receptors, transporters, and associated binding proteins display prominent photoperiodic regulation in the ependymal cell layer and posterior arcuate nucleus of Siberian hamsters and

juvenile Fischer F344 rats (142, 145, 146). Interestingly, the retinoic X receptor (RXR) can heterodimerize with either the TH receptors (THRA/THRB) or the retinoic acid related receptor (RAR). The target genes and downstream pathways governed by THR and RAR diverge, and therefore the photoperiodic regulation of RXR/RAR may fine-tune the seasonal adaptation of the metabolic status. From a more general standpoint, the notion that tanycytes coordinate a host of seasonal neuroendocrine cycles including reproduction, metabolism, and hibernation is emerging rapidly [Ref. (147, 148); for reviews, see Ref. (61, 149, 150)].

### PHOTOPERIODIC TIMING AND THE CIRCANNUAL CLOCK: T3 AS A UNIFYING COMPONENT?

As mentioned earlier, whether species are classified as photoperiodic (e.g., Siberian and Syrian hamsters) or circannual (e.g., sheep), part of the seasonal cycle is generated endogenously. Hamsters and sheep maintained under constant SP do spontaneously revert to the opposite physiological state after several months. This phenomenon, referred to as “SP refractoriness,” is typical of an interval timer/hourglass (5, 151). In contrast, sheep but not Siberian or Syrian hamsters, also display refractoriness to LP. Whether this species difference reflects fundamentally divergent underlying mechanisms is questionable. Indeed, Follett and Nicholls (47) proposed years ago that “it may well be that essentially identical physiological mechanisms underlie the photoperiodic responses of a wide range of vertebrates and that very minor modifications of these can cause surprisingly large (though superficial) changes in the overt responses of the animal in terms of reproduction.” These authors devised a model, based on differences in threshold sensitivity, which rationalizes the LP refractoriness process (see **Figure 4**). There are indeed similarities between the photoperiodic control of the seasonal program in photoperiodic and circannual species (43, 50, 152). Siberian or Syrian hamsters and sheep might therefore exemplify “variations on a theme” rather than fundamentally different models.

Because TH is involved in many long-term life cycles events, it seems plausible that photoperiod-induced changes in T3 levels may also trigger more profound long-term changes, culminating weeks to months later. In particular, TH-induced plasticity and



**FIGURE 4 | A model for long-day refractoriness [adapted from Figure 2 in Ref. (152)].** In sheep (left panel) and hamsters (right panel), exposure to long days (black line) leads to the development of a mechanism of unknown nature, most likely T3-dependent (red line). In sheep, the long-day drive eventually exceeds a “threshold” (blue dotted line); the animal then becomes refractory to long days and spontaneously reverts to an SP phenotype. In hamsters, the long-day drive never exceeds the threshold and the animal displays the LP phenotype indefinitely; exposure to SP is mandatory to get the SP physiological state.

cell-cycle related events have long time constants, which appear compatible with seasonal cycles (6, 153). Recent data in sheep demonstrate a photoperiodic gating of cell division within the PT and ependymal cells of the 3V and are consistent with this scheme (154–156). Nevertheless, whether photoperiodic gating of cell division depends on TH and/or is involved in seasonal transitions remains to be established.

To address a potential role for TH turn-over beyond the photoperiodic response, we investigated the expression of *Tsh $\beta$*  and *Dio2/Dio3* within the MBH of sheep under distinct physiological states: LP, LP refractory (LPR) obtained after prolonged LP exposure, SP and SP refractory (SPR) obtained after prolonged SP exposure (157). The expressions of *Tsh $\beta$*  and *Dio2* were diminished in LPR compared to LP animals but remained low in SP and SPR animals. The expression of *Dio3* was high in SP but very low in all other photoperiodic conditions, most notably under SPR; so the expression of *Dio3* under SP is transient (see **Figure 5A**).

Therefore, a diminished TSH output may cause the LPR state, while development of the SPR state would be disconnected from it. This would be consistent with the hourglass properties of the SPR mentioned before. However, changes in *Dio2/Dio3* may reflect an indirect effect of photoperiod: within the MBH, the local hyperthyroid state triggered by persistent LP exposure would eventually cross a certain threshold, thereby triggering *Dio3* induction and *Dio2* down-regulation (72, 74, 75). Following this, T3 levels would

be cleared by *DIO3*, ultimately leading to the demise of *Dio3* expression; LP exposure would then somehow be required to induce *Dio2* once more and prime a new cycle. This LP requirement to prime the seasonal sequence may explain why circannual cycles in sheep are most obvious under constant LP (50).

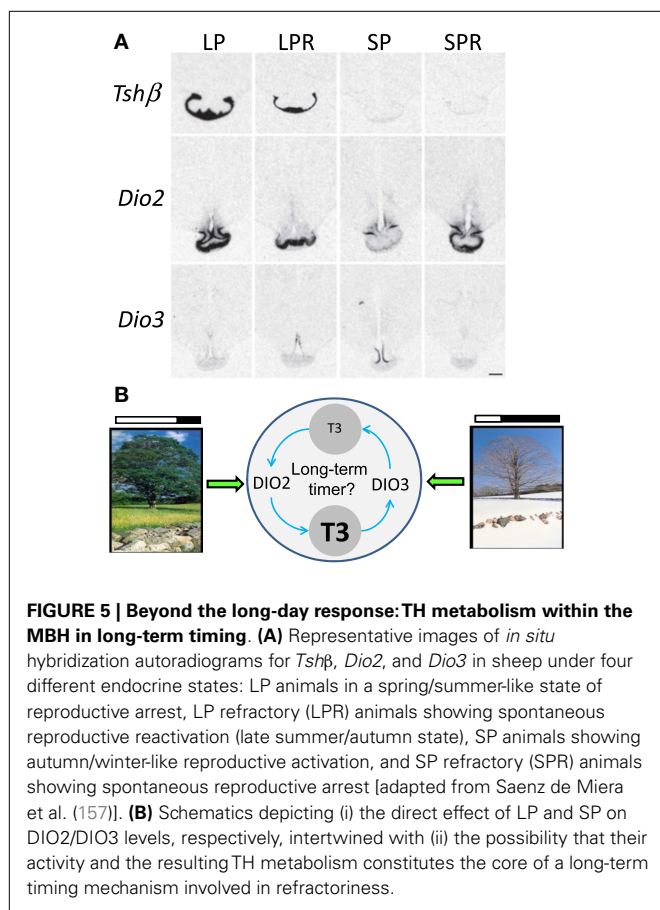
Interestingly, Syrian hamsters in SPR state do not exhibit spontaneous reactivation of *Dio2* expression (88) while Siberian hamsters do (83). Furthermore, Siberian hamsters express *Dio3* upon transfer from LP to SP but its expression is not sustained through time (54, 83, 87), similar to what occurs in sheep (157). Therefore, transient *Dio3* expression under SP appears as a common feature and may explain why *Dio3* expression has not been observed in Syrian hamster (54). Even though the relative variations of *Dio2* and *Dio3* differ between species (e.g., Siberian vs. Syrian hamster) the central tenet remains the same: T3 levels are higher within the MBH under LP compared to SP (158). Furthermore, TH metabolism within the MBH may not only intervene in the photoperiodic response but may also be integral to longer-term timing processes such as circannual rhythms (see **Figure 5B**).

## CONCLUSION

At this stage several outstanding questions remain: first, since the same TSH/deiodinase/T3 pathway is triggered by LP not only in long-day breeders (e.g., hamsters and quail) but also in short-day breeders (e.g., sheep) and non-photoperiodic species (e.g., mouse), how do we get opposite responses, or no response at all, of the hypothalamic–pituitary–gonadal axis? This is particularly intriguing because the increased intra-hypothalamic availability of TH is uniformly linked to an anabolic state across seasonal species. Second, through which mechanisms do local changes of T3 within the MBH ultimately impinge on gonadotropin-releasing hormone neurons? Pertinent to this second question, the MBH hosts two cell populations expressing RF-amide peptides which have attracted particular attention: neurons of the arcuate nucleus, which express *Kiss1* and neurons of the VMH/DMH, which express the *Rfrp* precursor. The concept that these RF-amide peptides are involved in seasonal breeding has been the topic of several excellent reviews (50, 158–161) and we will therefore only briefly review the most recent and salient findings.

Kisspeptin is a very potent GnRH secretagogue and governs most aspects of reproduction in mammals including sexual differentiation, steroid-dependent gonadotropin release, puberty onset, and the control of fertility by metabolic cues (162, 163). Interestingly, the annual onset of fertility in photoperiodic species had been compared to a reoccurrence of puberty, and common underlying processes were anticipated (2, 164, 165). Kisspeptin therefore appeared a prime candidate for the integration of photoperiodic and metabolic cues across the seasonal program, a prediction which has now received strong support (159–161).

In contrast to kisspeptin, the exact role(s) of peptides derived from the *Rfrp* precursor, RFRP1 and RFRP3, remain(s) unclear (160). RFRP3 may modulate feeding and various stress responses (166, 167). In the context of breeding, RFRP3 inhibits GnRH in sheep [Ref. (168), but see Ref. (169)] but inhibits or activates GnRH in Syrian and Siberian hamsters, depending on the photoperiod (170, 171). The *Rfrp* gene is orthologous to avian *GnIH*, which gives rise to gonadotropin inhibitory hormone (GnIH),



a peptide with well-characterized inhibitory effects upon the gonadotropic axis in birds (172). Interestingly, there is no avian ortholog of the *Kiss1* (or *Kiss2*) gene (173), which implies that the concept of a balance between KISS1 and RFRP3 in governing GnRH secretion in mammals (174) does not apply to birds.

Both *Kiss1* and *Rfrp* expression display marked photoperiodic, melatonin-dependent, changes in mammals (159, 160, 175). Even though melatonin receptors have been localized to several hypothalamic nuclei it seems likely that the photoperiodic control over *Kiss1* and *Rfrp* is indirect [see above, Ref. (50, 145, 161)]. In this context, a role for PT-derived TSH appeared plausible. In a landmark study, Klosien et al. (144) showed that intracerebroventricular delivery of TSH in Siberian and Syrian hamsters induces *Dio2* expression within ependymal cells, restores expression of *Kiss1* and *Rfrp* to their LP levels and, most importantly, triggers reactivation of the gonadal axis. Furthermore, Henson et al. (176) showed that T3 injections to SP-adapted Siberian hamsters reactivated the gonadotropic axis, thereby confirming prior data (see Section “An Overview” and Figure 1), but also led to LP-like levels of RF-amide peptides within the MBH.

Therefore, even though a theoretical possibility exists that another TSH-dependent – but T3-independent pathway – leads to seasonal changes of the reproductive axis, the most parsimonious model is one in which T3 action on RF-amide neurons link the photoperiodic production of TSH within the PT to the seasonal control of GnRH secretion.

## ACKNOWLEDGMENTS

Hugues Dardente is supported by a Marie Curie Career Integration Grant from the FP7-People-2012 program. Hugues Dardente wishes to thank Paul Pévet (INCI, Strasbourg, France) for continuing support and exciting discussions and Olivier Kah (Rennes, France) for sharing ideas about photoperiodism in fish.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 18 December 2013; paper pending published: 03 February 2014; accepted: 10 February 2014; published online: 26 February 2014.

Citation: Dardente H, Hazlerigg DG and Ebling FJP (2014) Thyroid hormone and seasonal rhythmicity. *Front. Endocrinol.* 5:19. doi: 10.3389/fendo.2014.00019

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Regulation of seasonal reproduction by hypothalamic activation of thyroid hormone

Ai Shinomiya<sup>1</sup>, Tsuyoshi Shimmura<sup>1,2</sup>, Taeko Nishiwaki-Ohkawa<sup>2,3,4</sup> and Takashi Yoshimura<sup>1,2,3,4</sup>\*

<sup>1</sup> Division of Seasonal Biology, National Institute for Basic Biology, Okazaki, Japan

<sup>2</sup> Laboratory of Animal Physiology, Graduate School of Bioagricultural Sciences, Nagoya University, Nagoya, Japan

<sup>3</sup> Institute of Transformative Bio-Molecules (WPI-ITbM), Nagoya University, Nagoya, Japan

<sup>4</sup> Avian Bioscience Research Center, Graduate School of Bioagricultural Sciences, Nagoya University, Nagoya, Japan

## Edited by:

Noriyuki Koibuchi, Gunma University  
Graduate School of Medicine, Japan

## Reviewed by:

Maria Moreno, University of Sannio,  
Italy

Efisio Puxeddu, University of Perugia,  
Italy

## \*Correspondence:

Takashi Yoshimura, Institute of  
Transformative Bio-Molecules  
(WPI-ITbM), Nagoya University,  
Furo-cho, Chikusa-ku, Nagoya  
464-8601, Japan  
email: takashiy@agr.nagoya-u.ac.jp;  
Division of Seasonal Biology, National  
Institute for Basic Biology, 38  
Nishigonaka Myodaiji, Okazaki  
444-8585, Japan  
e-mail: takashiy@nibb.ac.jp

Organisms living outside the tropics measure the changes in the length of the day to adapt to seasonal changes in the environment. Animals that breed during spring and summer are called long-day breeders, while those that breed during fall are called short-day breeders. Although the influence of thyroid hormone in the regulation of seasonal reproduction has been known for several decades, its precise mechanism remained unknown. Recent studies revealed that the activation of thyroid hormone within the mediobasal hypothalamus plays a key role in this phenomenon. This localized activation of the thyroid hormone is controlled by thyrotropin (thyroid-stimulating hormone) secreted from the pars tuberalis of the pituitary gland. Although seasonal reproduction is a rate-limiting factor in animal production, genes involved in photoperiodic signal transduction pathway could emerge as potential targets to facilitate domestication.

**Keywords: seasonal reproduction, mediobasal hypothalamus, ependymal cell, pars tuberalis, thyrotropin, thyroid hormone, iodothyronine deiodinase**

## INTRODUCTION

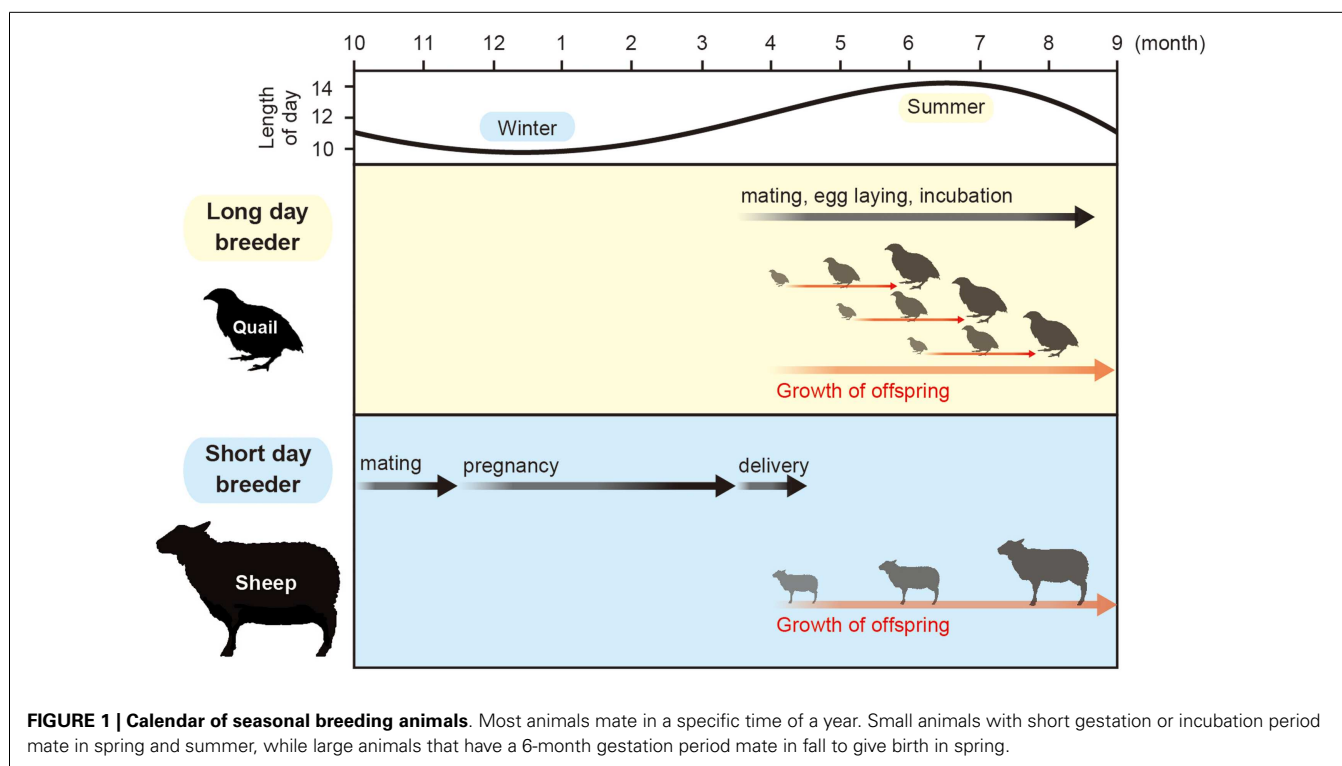
Orbiting of the earth around the sun causes changing seasons. To adapt to the seasonal changes in the environment, animals alter their physiology and behavior, which is characterized by the changes in growth, metabolism, immune function, reproductive activity, migration, hibernation, and molting. Most of the organisms use the changes in the length of the day (photoperiod) as a calendar, because temperature and precipitation varies throughout each year and are unreliable when compared with the length of the day. This phenomenon is called “photoperiodism” (1). Among the various seasonally regulated phenomena, the mechanism of seasonal reproduction has been extensively studied. Small mammals and birds breed during the spring and summer. Therefore, they are called long-day (LD) breeders. The gestation or incubation period of these animals last only a few weeks and their offspring are born during the spring and summer. In contrast, larger mammals, such as goats and sheep, breed during fall. Therefore, they are called short-day (SD) breeders. These animals have a gestation period of approximately 6 months. Therefore, their offspring are also born and raised during spring and summer. Accordingly, the offspring of both LD and SD breeders grow when the climate is moderate and food is abundant (Figure 1).

Seasonal reproduction of vertebrate species is regulated by the hypothalamic–pituitary–gonadal (HPG) axis. The secretion of gonadotropin-releasing hormone (GnRH) from the hypothalamus induces the secretion of gonadotropins [luteinizing hormone (LH) and follicle-stimulating hormone (FSH)] from the anterior

pituitary gland, which in turn activates gonadal activity. In other words, the HPG axis of seasonally breeding animals is only activated during the breeding season. Among the various vertebrate species, birds show the most dramatic changes in gonadal size (typically more than a 100-fold) (2). Therefore, birds have a highly sophisticated photoperiodic mechanism in comparison to other vertebrate species (3). In addition to the robust gonadal responses, most of the birds have very short breeding seasons, as the HPG axis is automatically switched off and their gonads start to regress even though the length of the day is still increasing. This phenomenon is known as photorefractoriness (4, 5). The length of the breeding season tends to be shorter in higher latitude due to the short benign season in higher latitude. Among mammals, hamsters and sheep are extensively studied, because they show dramatic photoperiodic responses. However, the magnitude of the seasonal gonadal development and regression is less robust in mammals than in birds, as their gonads change only by a few-folds.

## INFLUENCE OF THYROID HORMONE IN THE SEASONAL CHANGES

It has been known for many decades that thyroid hormone is somehow involved in the regulation of seasonal reproductive function in various organisms including fish, birds, and mammals (2, 6, 7). In some species, thyroidectomy prevents the transition to reproductive state (i.e., seasonal testicular development and/or regression) (8–11), and thyroxine (T<sub>4</sub>) treatment mimics the effects of a long photoperiod (12–14). However, photo-stimulated gonadal



maturation appears to have been largely unaffected by thyroidectomy in some species (2). Therefore, the reported effects of thyroidectomy on seasonal breeding are often contradictory and the role of  $T_4$  is thought to be permissive. Although the requirement of  $T_4$  for an appropriate response to photoperiod has been documented (15), the mechanism by which thyroid hormone regulates seasonal reproduction remained unknown for several decades.

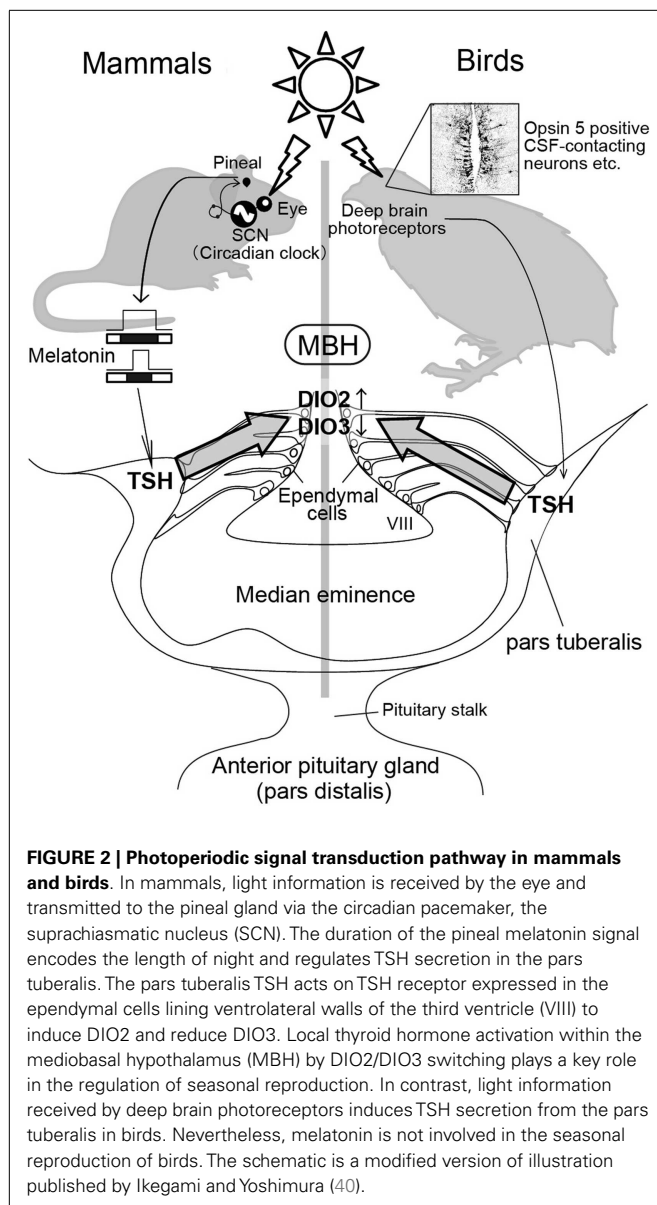
### PHOTOPERIODIC CHANGES IN TYPE 2 AND TYPE 3 DEIODINASES WITHIN THE HYPOTHALAMUS

The Japanese quail (*Coturnix japonica*) is an excellent model for studying photoperiodism, because of its rapid and robust responses to changing photoperiods (3). Local illumination of the mediobasal hypothalamus (MBH) by radioluminous-painted beads induce testicular growth (16), and lesions of MBH blocks the photoperiodic response of LH secretion and gonadal development (17, 18). In addition, expression of c-Fos, a marker of neuronal activation, is induced in the MBH by LD stimulus (19). The MBH is therefore considered central for the seasonal reproduction in quail. By using differential subtractive hybridization analysis, LD-induction of type 2 deiodinase gene (*DIO2*) and LD-suppression of type 3 deiodinase gene (*DIO3*) were observed in the ependymal cells (also known as tanycytes) that line the ventrolateral walls of the third ventricle within the MBH [Ref. (20, 21), **Figure 2**]. *DIO2* encodes the thyroid hormone-activating enzyme that converts the prohormone  $T_4$  to bioactive triiodothyronine ( $T_3$ ) (22), while *DIO3* encodes thyroid hormone-inactivating enzyme that metabolizes  $T_4$  and  $T_3$  to inactive reverse  $T_3$  ( $rT_3$ ) and 3,3'-diiodothyronine ( $T_2$ ), respectively. The reciprocal switching of *DIO2* and *DIO3* appears to regulate the local thyroid hormone

concentration precisely within the MBH. Moreover,  $T_3$  concentration within the MBH is about 10-fold higher under LD conditions than under SD conditions, even though plasma concentrations are similar to both photoperiods (20). The functional significance of this locally activated thyroid hormone has been demonstrated by pharmacological analyses. Intracerebroventricular (i.c.v.) infusion of  $T_3$  in SD conditions induced testicular development while infusion of a *DIO2* inhibitor (iopanoic acid) in LD conditions attenuated testicular development (20). Photoperiodic regulation of *DIO2* and/or *DIO3* has also been confirmed in a number of other avian species, such as the tree sparrow (23), chicken (24), great tits (25), and canary (26). Similarly, photoperiodic regulation of thyroid hormone metabolism in the MBH has been confirmed in various mammalian species, including LD breeders like Siberian hamsters (27–30), Syrian hamsters (31, 32), rats (33, 34), mice (35), and SD-breeding goats (36) and sheep (37). Activation of thyroid hormone within the MBH decodes the LD information. Therefore, daily  $T_3$  subcutaneous injections induce testicular development (28) and chronic replacement of  $T_3$  in the hypothalamus prevents the onset of testicular regression (27) in LD-breeding Siberian hamsters. In contrast, in the SD breeders, LD-induced *DIO2* appears to convert  $T_4$  to  $T_3$  to terminate the breeding season (37). In addition, LD stimulus induces the expression of *DIO2*, and  $T_4$  administration terminates the breeding season via a decrease in serum LH (38, 39).

### THYROID HORMONE TRANSPORT TO THE EPENDYMAL CELLS

Due to their lipophilic nature, thyroid hormones are believed to traverse plasma membranes by passive diffusion. However,



involvement of a membrane transport system for thyroid hormone has been reported recently and a mechanism that facilitates the transport of thyroid hormone into the ependymal cells was examined. Some members of the organic anion transporting polypeptide (Oatp) family have been shown to transport thyroid hormones in mammals (41, 42) and the involvement of a member of this family in transporting  $T_4$  into the quail brain has been investigated (43). Oatp1c1, which is expressed in the ependymal cells within the MBH, has been demonstrated to be a highly specific transporter of  $T_4$ . In addition to Oatp1c1, another thyroid hormone transporter, monocarboxylate transporter 8 (MCT8), has been found in the ependymal cells within hamster MBH (29). Although MCT8 appears to be involved in the regulation of photoperiodism, its expression is upregulated under SD conditions, which does not require thyroid hormone.

## REGULATION OF HYPOTHALAMIC DEIODINASES BY THE PARS TUBERALIS TSH

When quail are transferred from SD conditions to LD conditions, an increase in plasma gonadotropin (LH) is observed 22 h after the dawn of the first LD (3, 44, 45). As discussed previously, reciprocal switching of *DIO2* and *DIO3* plays a critical role in the regulation of seasonal reproduction in birds and mammals. In quail, the reciprocal switching of *DIO2* and *DIO3* precedes photoperiodic induction of gonadotropin release by roughly 4 h (21). Genome-wide gene expression analysis during the transition from SD conditions to LD conditions in Japanese quail (45) identified the induction of two genes 4 h prior to *DIO2*/*DIO3* switching (i.e., 14 h after dawn) in the pars tuberalis of the pituitary gland. The pars tuberalis consists of thin layers of cells surrounding the median eminence (Figure 2). One of these genes encode the thyroid-stimulating hormone  $\beta$  subunit (*TSHB*) and the other encode the transcriptional co-activator eyes absent 3 (*EYA3*). Although *EYA3* is a transcriptional co-activator, the expression sites of *EYA3* and *DIO2*/*DIO3* are different (i.e., *EYA3* in the pars tuberalis and *DIO2*/*DIO3* in the ependymal cells). Therefore, it appears that *EYA3* is not involved in the regulation of *DIO2*/*DIO3* switching. On the other hand, the expression of TSH receptor (TSHR) and binding of  $^{125}\text{I}$ -labeled thyroid-stimulating hormone (TSH) were observed in the ependymal cells where *DIO2* and *DIO3* are expressed. In addition to these, i.c.v. TSH administration induced *DIO2* expression and reduced *DIO3* expression in the ependymal cells even under SD conditions, while passive immunization against TSH attenuated LD-induction of *DIO2* expression (45). The involvement of TSHR-Gs $\alpha$ -cAMP signaling pathway in this TSH regulation of *DIO2* expression was demonstrated by the promoter analysis. Considering that the magnitude of testicular growth induced by i.c.v. TSH infusion was almost similar to that observed in birds exposed to LD stimulus, the LD-induced pars tuberalis TSH appears to be a major factor regulating the seasonal reproduction in birds.

In birds, eyes are not necessary for the regulation of seasonal reproduction because deep brain photoreceptors are involved in this process (46, 47). Although pineal organ is a photoreceptive organ in non-mammalian vertebrates (48, 49), pineal organ is not involved in the regulation of seasonal reproduction (50, 51). In contrast, local illumination of the septal region of the telencephalon or the MBH using radioluminous-painted beads caused testicular growth in quail, suggesting the existence of deep brain photoreceptors in these regions (16). Localization of several rhodopsin family proteins (rhodopsin; OPN4: melanopsin; OPN5: neuropsin and VA opsin: vertebrate ancient opsin) are reported in these brain regions and projections that link some of these photoreceptor cells to the pars tuberalis have also been reported (52–62). These photoreceptors are therefore thought to be involved in the seasonal regulation of reproduction in birds (Figure 2).

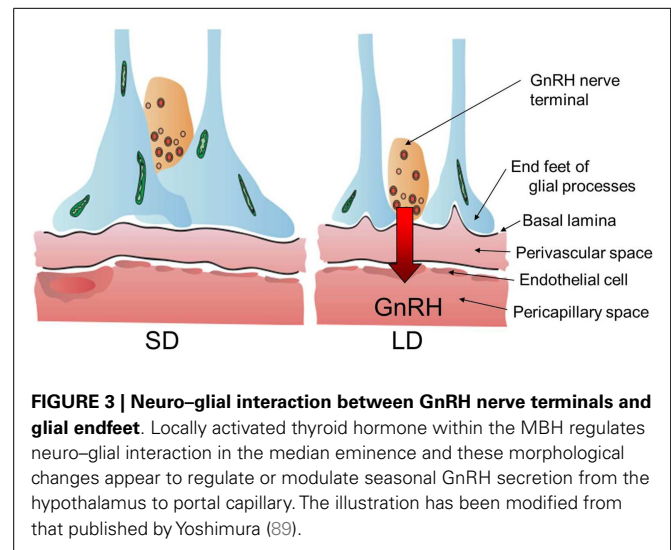
In a marked contrast to avian species, eyes are the only photoreceptive organ in mammalian species (63–69). Therefore, removal of the eyes abolishes the photoperiodic response (64, 68). Light information received by the eye is transmitted to the pineal gland through the suprachiasmatic nucleus (SCN), where the circadian



pacemaker is localized (68, 70–74). The duration of night corresponds to the nocturnal secretion profile of melatonin, which plays a crucial role in the regulation of seasonal reproduction in mammalian species. For example, in both LD and SD breeders, pinealectomy abolishes seasonal responses, while melatonin administration restores them (68, 74, 75). Melatonin acts via melatonin receptors and there are two subtypes of melatonin receptors (MT1 and MT2) in mammals (76, 77). However, these melatonin receptors are not expressed in the ependymal cells where *DIO2* and *DIO3* are expressed (78, 79). The MT1 receptor is reportedly expressed in the thyrotroph cells of the pars tuberalis (80, 81). Therefore, pars tuberalis TSH likely mediates the influence of melatonin in the *DIO2/DIO3* switching in mammalian species. Although it is generally considered that laboratory mice are non-seasonal breeders, many researchers noticed that mice do not breed well during the winter (e.g., small litter size) even though they are kept under standardized conditions. To determine whether pars tuberalis TSH mediates the influence of melatonin in the *DIO2/DIO3* switching, laboratory mice were analyzed as experimental models. Two key enzymes, arylalkylamine *N*-acetyltransferase (AA-NAT) and hydroxyindole-*O*-methyltransferase (HIOMT) are involved in melatonin biosynthesis from serotonin (74). However, most inbred mice genetically lack the ability to produce these enzymes, resulting in minimal melatonin generation (82, 83). Therefore, it was predicted that melatonin-producing strains would have the capacity to respond to photoperiodic changes, while melatonin-deficient strains would be resilient to such changes. As expected, clear photoperiodic regulation of *TSHB*, *DIO2*, and *DIO3* was observed in the melatonin-producing CBA strain, while such responses were not observed in the melatonin-deficient C57BL strain (35). In addition, daily intraperitoneal (i.p.) melatonin injections mimicked the effect of SD conditions on the expression of these genes (35). To test the involvement of the TSH–TSHR signaling pathway in the melatonin-mediated regulation of *DIO2/DIO3* expression, the effects of melatonin administration were examined in TSHR-null mice (35). The TSHR-null mice failed to respond to melatonin administration. This result clearly suggested the involvement of a TSH–TSHR signaling pathway in the melatonin-mediated regulation of *DIO2/DIO3* in mammals. In addition, the analysis of mice that lacked the MT1 and MT2 melatonin receptors revealed the involvement of MT1 melatonin receptors in this regulation (84). It is also interesting to note that TSH is involved in the LD-induction of *DIO2* in SD-breeding sheep (37). Thus, pars tuberalis TSH appears to relay the seasonal information in both LD and SD-breeding animals and sensitize them for spring.

### THYROID HORMONE ACTION WITHIN THE HYPOTHALAMUS

Thyroid hormone is involved in the development and plasticity of the central nervous system (22). The expression of thyroid hormone receptors (*THRα*, *THRβ*, and *RXRα*) in the median eminence suggested that the median eminence is the target site of action for the photo-induced increase in  $T_3$  in the quail MBH (20). To understand the action of thyroid hormone within the MBH, the ultrastructure of the median eminence was examined under SD and LD conditions using electron microscopy. Dynamic morphological changes were observed between the GnRH nerve



**FIGURE 3 | Neuro–glial interaction between GnRH nerve terminals and glial endfeet.** Locally activated thyroid hormone within the MBH regulates neuro–glial interaction in the median eminence and these morphological changes appear to regulate or modulate seasonal GnRH secretion from the hypothalamus to portal capillary. The illustration has been modified from that published by Yoshimura (89).

terminals and glial endfeet within the median eminence (85). In SD conditions, many GnRH nerve terminals are encased by the endfeet of glial processes and do not contact the basal lamina, while many GnRH nerve terminals are in close proximity to the basal lamina under LD conditions (Figure 3). It has been proposed that the nerve terminals of hypothalamic neurons are required to directly contact the pericapillary space for the secretion of the hypothalamic neurohormone from the hypothalamus into the portal capillary (86). Morphological changes between the GnRH nerve terminals and endfeet of glial processes are observed in SD quail treated with  $T_3$  to stimulate testicular growth (87). Therefore, these morphological changes appear to regulate or modulate the seasonal GnRH secretion from the median eminence. It is also interesting to note that the seasonal plasticity within the GnRH system is reported in ewes (88).

### PHOTOPERIODIC SIGNALING PATHWAY AND DOMESTICATION

Seasonal reproduction is a rate-limiting factor for the animal procreation. The photoperiodic signaling pathway could also be a potential target that facilitates human-driven domestication process. As discussed previously, most laboratory mice lack the enzyme activity of melatonin biosynthesis pathway (82, 83, 90, 91). In addition, occurrence of selective sweeps was found at the TSHR locus in all domestic chickens (92). This observation suggests that the TSHR may be a domestication locus in chicken (92). Although we still do not know the correlation with domestication, it is interesting to note that photoperiodic regulation of *DIO3* is absent in Syrian hamster (27). Thus, genes involved in the photoperiodic signaling pathway could emerge as useful targets for the domestication of wild animals.

### CONCLUSION

Involvement of thyroid hormone in the regulation of seasonal reproduction has been suggested in the past several decades. Recent comparative studies clearly reveal that the local activation of thyroid hormone within the hypothalamus is a key factor in the

regulation of seasonal reproduction in a number of mammalian and avian species. It is important to note that this mechanism is also conserved in fish (93) and is universal among various vertebrate species. Although thyroid hormone influences both LD and SD breeders, the mechanism that differentiates LD breeders from SD breeders remains unknown. Presumably, the responsiveness of pathways downstream of  $T_3$  activity (e.g., responsiveness of  $T_3$  target genes to LD-induced  $T_3$  etc.) differs in LD and SD breeders. The switching mechanism of LD breeder and SD breeder needs to be clarified in the future studies.

## ACKNOWLEDGMENTS

This work is supported by the Funding Program for Next Generation World Leading Researchers (NEXT Program) initiated by the Council for Science and Technology Policy (CSTP) (LS055). WPI-ITbM is supported by World Premier International Research Center Initiative (WPI), MEXT, Japan.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 25 December 2013; paper pending published: 28 January 2014; accepted: 31 January 2014; published online: 21 February 2014.

Citation: Shinomiya A, Shimmura T, Nishiwaki-Ohkawa T and Yoshimura T (2014) Regulation of seasonal reproduction by hypothalamic activation of thyroid hormone. *Front. Endocrinol.* **5**:12. doi: 10.3389/fendo.2014.00012

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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# Thyroid hormone upregulates hypothalamic *kiss2* gene in the male Nile tilapia, *Oreochromis niloticus*

Satoshi Ogawa<sup>1</sup>, Kai We Ng<sup>1</sup>, Xiaoyu Xue<sup>2</sup>, Priveena Nair Ramadasan<sup>1</sup>, Mageswary Sivalingam<sup>1</sup>, Shuisheng Li<sup>2</sup>, Berta Levavi-Sivan<sup>3</sup>, Haoran Lin<sup>2</sup>, Xiaochun Liu<sup>2</sup> and Ishwar S. Parhar<sup>1\*</sup>

<sup>1</sup> Brain Research Institute, School of Medicine and Health Sciences, Monash University Malaysia, Petaling Jaya, Malaysia

<sup>2</sup> State Key Laboratory of Biocontrol, School of Life Sciences, Institute of Aquatic Economic Animals and the Guangdong Province Key Laboratory for Aquatic Economic Animals, Sun Yat-Sen University, Guangzhou, China

<sup>3</sup> The Robert H. Smith Faculty of Agriculture, Food and Environment, Department of Animal Sciences, The Hebrew University of Jerusalem, Jerusalem, Israel

## Edited by:

Noriyuki Koibuchi, Gunma University Graduate School of Medicine, Japan

## Reviewed by:

Yoshitaka Oka, University of Tokyo, Japan

Ann Marie Zavacki, Brigham and Women's Hospital, USA

## \*Correspondence:

Ishwar S. Parhar, Jeffrey Cheah School of Medicine and Health Sciences, Brain Research Institute, Monash University Malaysia, Jalan Lagoon Selatan, 47500 Bandar Sunway, Selangor 46150, Malaysia  
e-mail: ishwar@monash.edu

Kisspeptin has recently been recognized as a critical regulator of reproductive function in vertebrates. During the sexual development, kisspeptin neurons receive sex steroids feedback to trigger gonadotropin-releasing hormone (GnRH) neurons. In teleosts, a positive correlation has been found between the thyroid status and the reproductive status. However, the role of thyroid hormone in the regulation of kisspeptin system remains unknown. We cloned and characterized a gene encoding kisspeptin (*kiss2*) in a cichlid fish, the Nile tilapia (*Oreochromis niloticus*). Expression of *kiss2* mRNA in the brain was analyzed by *in situ* hybridization. The effect of thyroid hormone (triiodothyronine, T<sub>3</sub>) and hypothyroidism with methimazole (MMI) on *kiss2* and the three GnRH types (*gnrh1*, *gnrh2*, and *gnrh3*) mRNA expression was analyzed by real-time PCR. Expression of thyroid hormone receptor mRNAs were analyzed in laser-captured kisspeptin and GnRH neurons by RT-PCR. The *kiss2* mRNA expressing cells were seen in the nucleus of the lateral recess in the hypothalamus. Intraperitoneal administration of T<sub>3</sub> (5 µg/g body weight) to sexually mature male tilapia significantly increased *kiss2* and *gnrh1* mRNA levels at 24 h post injection ( $P < 0.001$ ), while the treatment with an anti-thyroid, MMI (100 ppm for 6 days) significantly reduced *kiss2* and *gnrh1* mRNA levels ( $P < 0.05$ ). *gnrh2*, *gnrh3*, and thyrotropin-releasing hormone mRNA levels were insensitive to the thyroid hormone manipulations. Furthermore, RT-PCR showed expression of thyroid hormone receptor mRNAs in laser-captured GnRH neurons but not in *kiss2* neurons. This study shows that GnRH1 may be directly regulated through thyroid hormone, while the regulation of Kiss2 by T<sub>3</sub> is more likely to be indirect.

**Keywords:** cichlid, *in situ* hybridization, hypothalamus, thyroid receptor, kisspeptin

## INTRODUCTION

Kisspeptin, encoded by *Kiss1/KISS1* (rodents/human) gene and its cognate receptor, GPR54 (=Kiss-R), have recently been considered the major regulator of reproductive functions, in particular the onset of puberty (1). Administration of kisspeptin stimulates gonadotropin secretion (2), either by its direct action on gonadotrophs (3) or through gonadotropin-releasing hormone (GnRH) neurons (4). Variants of *kiss1* homologous sequences (*kiss1* and *kiss2*) have been identified in several non-mammalian vertebrates including amphibian and teleosts (5, 6). In the teleosts brain, cells expressing *kiss1* mRNA are seen in the ventral habenula and/or the ventral hypothalamus, while those of *kiss2* mRNA are seen in the hypothalamic nuclei and/or the preoptic area depending on the fish species (5, 7). With multiple kisspeptin types, multiple forms of Kiss-R encoding genes (*kissr1* and *kissr2*) have been cloned and characterized in various teleosts (5, 6). Several lines of evidence have demonstrated that Kiss2 is more potent than Kiss1 in the control of reproduction in teleosts (8–11). In the sexually mature zebrafish, *Danio rerio*, administration of Kiss2 peptides significantly increases the gonadotropins  $\beta$ -subunit mRNA levels in the pituitary (9). Similarly in prepubertal European sea bass, *Dicentrarchus labrax*, Kiss2 but not Kiss1 injection increases

plasma gonadotropins levels (8). We have previously identified Kiss-R (*kissr2*) in the Nile tilapia, *Oreochromis niloticus* and have shown its expression in GnRH neurons (12). These results suggest the potent role of Kiss2 in the reproductive axis during prepubertal development and sexually mature stages in teleosts. In mammals, kisspeptin neurons transmit gonadal steroid feedback signals to GnRH neurons, especially the positive feedback effect of ovarian estrogen that causes the preovulatory GnRH/luteinizing hormone (LH) surge in female (13). Although the *kiss2* gene is highly conserved in non-mammalian vertebrates, a potent trigger of Kiss2 neural activity has not been identified in teleosts. In the medaka, *Oryzias latipes*, only the hypothalamic *kiss1* but not *kiss2* neurons show prominent estrogen sensitivity in their kisspeptin gene expression (14). Similarly in the goldfish, *Carassius auratus*, the preoptic but not hypothalamic *kiss2* neurons show clear estrogen sensitivity (15). In addition, these estrogen sensitive kisspeptin neuron types in the fish express estrogen receptors (ER $\alpha$  and ER $\beta$ ) (14, 15). In the juvenile zebrafish, *kiss2* neurons are upregulated by estrogen treatment (16). These observations suggest that the hypothalamic Kiss2 neurons can be regulated by ovarian estrogen in a reproductive stage-dependent manner. However, the concept of an estrogen positive feedback mechanism that initiate the



preovulatory GnRH/LH surge is not relevant for males (17). In male aromatase knockout mice, Kiss1 expression in the hypothalamus is not reduced (18). Thus, it is possible that factors other than estrogen play an important role in the regulation of kisspeptin neurons in males (17).

Thyroid hormone is an important regulator of somatic growth, metabolism, brain development, and other vital processes in developing and adult animals (19). Additionally, thyroid hormone also plays an important role in reproductive functions during several physiological conditions (19). In fish, there are numerous studies that examined the effect of hyper- and hypo-thyroidism in sexual development, maturation, and reproductive behavior (20). Direct action of thyroid hormone on GnRH neurons as well as co-expression of thyroid hormone receptors in GnRH neurons has been previously demonstrated (21, 22). In ewe, thyroid hormones are necessary for GnRH and LH pulsatility (23, 24). Although pulsatile secretion of GnRH and kisspeptin are closely interlinked (25), the potential role of thyroid hormone in the regulation of kisspeptin system has never been studied.

In the present study, we cloned *kiss2* cDNA in the Nile tilapia. Gene expression of *kiss2* mRNA in the brain was examined by *in situ* hybridization. Furthermore, to examine the potential role of thyroid hormone in the regulation of the kisspeptin system, the effect of thyroid hormone (triiodothyronine, T<sub>3</sub>) and methimazole (MMI) on *kiss2* and GnRH types (*gnrh1*, *gnrh2*, and *gnrh3*) mRNA expression was analyzed by real-time PCR. MMI inhibits thyroperoxidase, which acts in thyroid hormone synthesis by oxidizing the anion iodide (I<sup>-</sup>) to iodine (I<sub>0</sub>), facilitating iodine's addition to tyrosine residues on the hormone precursor thyroglobulin, a necessary step in the synthesis of T<sub>3</sub> and thyroxine (T<sub>4</sub>). MMI has been shown to reduce plasma thyroid hormone levels and type III deiodinase (D3) activities (hypothyroid condition) in the brain, gill, and liver of tilapia (26). In the present study, to manipulate the plasma thyroid hormone levels in the male tilapia, we applied two different administration methodologies: for hyperthyroid condition, 24 h after intraperitoneal injection of thyroid hormone while for hypothyroid status, fish were immersed in water containing MMI for 6-days. We also measured mRNA expression levels of thyrotropin-releasing hormone (TRH) to validate the effect of thyroid hormone manipulation. Finally, to confirm the potential mechanism of thyroid hormone action on Kiss2 and GnRH neurons, the expression of thyroid hormone receptor (TR) types (*tra1*, *tra2*, and *trb*) mRNA were analyzed in laser-captured *kiss2* and GnRH neurons.

## MATERIALS AND METHODS

### ANIMALS

Sexually mature male Nile tilapia, *O. niloticus* (standard length: 11.6 ± 0.4 cm, body weight: 52.6 ± 5.0 g) were maintained in freshwater aquaria at 28 ± 0.5°C with a controlled natural photoregimen (14/10 h, light/dark). They were fed twice daily with commercial tilapia diets (Zeigler, USA). The fish were maintained and used in accordance with the Guidelines of the Animal Ethics Committee of Monash University (Approval Number: SOBSB/2009/58) and Sun Yat-Sen University.

### MOLECULAR CLONING OF *kiss2* IN THE TILAPIA

The fish were anesthetized by immersing in a 0.01% solution of tricaine methanesulfonate (MS222; Sigma, St. Louis, MO, USA) and killed by decapitation for sample collection. Total RNA from the tilapia brain (*n* = 1) was prepared using TRIzol reagent (Invitrogen, Carlsbad, CA, USA). One microgram of isolated RNA was used to synthesize the first-strand cDNA using the ReverTra Ace-a first-strand cDNA Synthesis Kit (Toyobo, Osaka, Japan). Partial cDNA fragments were obtained by PCR using degenerate primers or gene-specific primers designed based on the sequences of *kiss* genes of fugu, grouper, medaka, and mackerel (Table 1). Full-length cDNA sequences were obtained by 5' and 3' rapid amplification of cDNA ends (RACE) kit (Invitrogen). For all PCR reactions in this study, amplifications were performed with an initial denaturation step at 94°C for 3 min, followed by 40 cycles of 94°C for 15 s, 55–58°C for 15 s, and 72°C for 30 s. The reaction was ended by a further extension of 10 min at 72°C. The amplification products were purified using the E.Z.N.A. Gel Extraction Kit (Omega BioTek, GA, USA) and ligated into the pTZ57R/T vector (Fermentas, MD, USA). Three different individual positive clones were picked to confirm the sequence information using an ABI 3700 sequencer (Applied Biosystems, Foster City, CA, USA). Putative signal peptides and cleavage sites were predicted using SignalP 3.0<sup>1</sup>. Multiple sequence alignments of amino acids were performed with ClustalX (1.81) program. Protein phylogenetic analysis was conducted with MEGA4 using the neighbor-joining method.

Chromosomal location of tilapia *kiss2* gene was identified and its gene synteny with *kiss2* genes in other teleosts (zebrafish, medaka, *O. latipes*, and puffer fish, *Takifugu rubripes*) were examined using the Ensemble Genome Browser<sup>2</sup>.

The tilapia *kiss2* gene promoter sequence was identified *in silico* using the Ensemble Genome Browser. The 2.0-kb sequence upstream of the untranslated region was considered to be the putative promoter. The putative promoter sequence was analyzed for conserved regulatory elements using online bioinformatic tools (TESS<sup>3</sup>; TFSearch<sup>4</sup>; SignalScan<sup>5</sup>).

### TISSUE DISTRIBUTION

To determine the tissue distribution of *kiss2* mRNA in the tilapia, sexually mature male and female fish (*n* = 1 each) were anesthetized by immersing in a 0.01% solution of MS222 and killed by decapitation for sample collection. Tissue samples were collected and snap frozen in liquid nitrogen. Total RNA was isolated from the different brain regions (the olfactory bulb, telencephalon, optic tectum thalamus, hypothalamus, cerebellum, and medulla) and peripheral tissues (the pituitary, liver, spleen, intestine, kidney, gill, heart, muscle, testis, and ovary) with TRIzol. One microgram of total RNA from each sample was digested with deoxyribonuclease I (DNase I) and reverse-transcribed into cDNA using the ReverTra Ace-first-strand cDNA Synthesis Kit. PCR was carried

<sup>1</sup><http://www.cbs.dtu.dk/services/SignalP/>

<sup>2</sup><http://asia.ensembl.org/index.html>

<sup>3</sup><http://www.cbil.upenn.edu/cgi-bin/tess/tess>

<sup>4</sup><http://www.cbrc.jp/research/db/TFSEARCH.html>

<sup>5</sup><http://www.bimas.cit.nih.gov/molbio/signal/>

**Table 1 | Primers for tilapia genes used in present study.**

Purpose/ genes	Primer direction	5' to 3' sequences
5'RACE	Antisense1	AGGCACCTCCAGTTCTCG
	Antisense2	AGCCATTGTAGCGTTTCC
	Antisense3	CTGCCTCTGGTCTCGTT
	Antisense4	AGTCGCCTGCTGTTCTCC
3'RACE	Sense1	GAACGAGGACCAGAGGCA
	Sense2	TCTCAGCCTTCGCTTTGG
	Sense3	GGGAAACGCTACAATGGC
	Sense4	CTTGCGAGAACTGGAGGTG
ORF	Sense	TTTGGATCTGGTGCTGAA
	Antisense	GTTTGACTTTCCAAACAAAT
<b>TISSUE DISTRIBUTION</b>		
<i>kiss2</i>	Sense	GCTTTGGCTGTGGTTTGC
	Antisense	GCCTCTGGTCCTCGTTCT
$\beta$ -actin	Sense	ATGCCTGGCTGGTCCCTCTGTTCT
	Antisense	GGCGGCCAGGTTTGCTATGTA
<b>REAL-TIME PCR</b>		
<i>kiss2</i>	Sense	TGCACAGAGAACACATGCAA
	Antisense	CTCGCAAGAACAGAGAGAAGG
<i>gnrh1</i>	Sense	CTCGCAGGGACGGTGTTT
	Antisense	TCTTCCCTCCTGGGCTCAGT
<i>gnrh2</i>	Sense	TGGTCCCATGGTTGGTATCC
	Antisense	CCCTGCTTCACACAGCTTAATCT
<i>gnrh3</i>	Sense	TGCTGGCGTTGGTGGTT
	Antisense	CCTCAAGCTCTCCCACTTCT
<i>trh</i>	Sense	GCAGGATGAAGACGGAAGAAAT
	Antisense	GCCGCTTCTCCAAATCATCA
$\beta$ -actin	Sense	CCTGACAGAGCGTGGCTACTC
	Antisense	TCTCTTTGATGTCACGCACGAT
<b>LOCALIZATION OF TRs</b>		
<i>tra1</i>	Sense	AGTGGAAGCAGAAGCGCAA
	Antisense	TGATGTTGGAGCGACTGGAG
<i>tra2</i>	Sense	CCCATCGTCACACCAATGC
	Antisense	TCACAAGGCAGCAGGAATTTG
<i>trb</i>	Sense	GAAATTCCTGAGTGCAGCGG
	Antisense	CAGGTGCATTACCCGTGGA
$\beta$ -actin		Same as those used for real-time PCR
<i>kiss2</i>		Same as those used for real-time PCR

Primer pairs used for real-time PCR and TRs localization were designed to originate in different exons to exclude false positive bands in case of potential genomic DNA contamination.

out as described above. The PCR products were electrophoresed on 2% agarose gels, stained with ethidium bromide, and visualized by illumination under UV light. All PCR products were confirmed by sequencing.

### IN SITU HYBRIDIZATION

Brains of sexually mature males ( $n = 3$ ) were dissected and fixed in buffered 4% paraformaldehyde for 16 h at 4°C. The brains were then cryoprotected in 20% sucrose and embedded in OCT compound (Sakura Finetechnical, Tokyo, Japan). Consecutive coronal sections (15  $\mu$ m thick) were cut on a cryostat and thaw-mounted

onto 3-aminopropylsilane-coated glass slides. Sense and antisense digoxigenin (DIG)-labeled riboprobes were synthesized from partial sequence of tilapia *kiss2* (266 nt) using MAXIscrip (Ambion, Austin, TX, USA) and DIG RNA Labeling Mix (Roche Diagnostics, Mannheim, Germany) according to the manufacturer's instruction. DIG-*in situ* hybridization was performed as described previously (9). Briefly, sections were subjected to permeabilization with 0.2M HCl for 10 min followed by proteinase K (1  $\mu$ g/ml) treatment for 15 min, and hybridized with the DIG-labeled riboprobes (50 ng/ml) at 58°C overnight in a humidified chamber. After hybridization, sections were washed and blocked with 2% normal sheep serum. DIG signals were detected with an alkaline phosphatase-conjugated anti-DIG antibody (Roche Diagnostics, diluted 1:500) with 4-nitro blue tetrazolium chloride/5-bromo-4-chloro-3-indolyl-phosphate (Roche Diagnostics).

### THYROID HORMONE TREATMENT AND INDUCTION OF HYPOTHYROIDISM

To induce hyperthyroidism, thyroid hormone ( $T_3$ ) was administered as described previously (22). Briefly, anesthetized sexually mature male fish were intraperitoneally (IP) injected with 30  $\mu$ l of  $T_3$  (3,3',5-Triiodo-L-thyronine sodium salt, Sigma, US; dissolved in 100% ethanol and then diluted with sesame oil) at 5  $\mu$ g/g BW or sesame oil (control) through a 25-gage syringe needle ( $n = 15$ /group, single injection). The selected dose of  $T_3$  has been reported to produce plasma  $T_3$  levels of  $4.6 \pm 1.2$  ng/ml in the male tilapia 24 h after the injection (22), which is within the physiological levels ( $2 \sim 5$  ng/ml) in the Mozambique tilapia, *O. mossambicus* (27). After the injection, the fish were released into the recovery tank. Twenty-four hours after the injection, the fish were anesthetized and killed by decapitation, and the brain was dissected for RNA isolation.

To induce hypothyroidism, fish were treated in water containing 100 ppm of methimazole (2-Mercapto-1-methylimidazole, MMI, Sigma; dissolved in 100% ethanol and then diluted in water) or in water containing equal volume of 100% ethanol ( $n = 5 \sim 8$ /group) for 6-days. The water containing MMI was changed every day, which was required to reduce amount of endogenous  $T_4$  levels from a euthyroid to hypothyroid state similar to the treatment in tilapia treated with MMI (26). The selected dose of MMI was calculated based on the concentrations that have been applied to the Nile tilapia and the sea bream, *Sparus auratus*, via diet in previous studies (26, 28). After the treatment, the brain tissue was dissected and frozen on dry ice, and stored at  $-80^\circ\text{C}$  until use for RNA isolation.

### REAL-TIME PCR FOR *kiss2*, *gnrh1*, *gnrh2*, *gnrh3*, AND *trh* GENES

Total RNA was extracted from the brain with TRIzol (Invitrogen) and 200 ng of total RNA were subjected to cDNA synthesis using High Capacity cDNA Reverse Transcription Kit (Applied Biosystems) in a final volume of 20  $\mu$ l reaction mixture containing  $1 \times$  RT buffer,  $1 \times$  dNTP mix,  $1 \times$  RT Random Primers, 20U ribonuclease inhibitor, and 10U MultiScribe Reverse Transcriptase according to the manufacturer's instruction. The cDNA samples were then subjected to real-time PCR for tilapia *kiss2*, *gnrh1*, *gnrh2*, *gnrh3* (GenBank accession numbers for three GnRH types: AB104861, AB101666, and AB104863) and  $\beta$ -actin (*b-actin*)

mRNAs with an ABI PRISM 7500 Sequence Detection System (Applied Biosystems). In addition, effect of thyroid hormone manipulation on TRH (also known as thyroliberin: GenBank Accession number, XM\_003438996) was also examined. The PCR reaction mixture (10  $\mu$ l) contained 1 $\times$  POWER SYBR Green PCR Master Mix (Applied Biosystems), 0.1  $\mu$ M each forward and reverse primer, and 1  $\mu$ l of sample cDNA. Nucleotide sequences of real-time PCR primers for tilapia *kiss2*, GnRH types, *trh* and  $\beta$ -actin are presented in **Table 1**. Reactions were carried out at 50°C for 2 min, 95°C for 10 min, 40 cycles of 95°C for 15 s and 60°C for 1 min followed by a dissociation stage. The cycle threshold (Ct) values of all genes was determined and normalized against  $\beta$ -actin mRNA levels. Data was then analyzed according to relative gene expression by  $2^{-\Delta\Delta C_t}$ . To check PCR specificity, representative PCR products were electrophoresed on 2% agarose gels, stained with ethidium bromide, and visualized by illumination under UV light. Nucleotide sequences of the PCR products were further confirmed by sequencing. Data are expressed as mean  $\pm$  SEM and statistical analysis was performed by one-way ANOVA followed by *post hoc* analysis with *t*-test for parametric data or the Mann–Whitney *U* test for non-parametric data with  $P < 0.05$  considered significant.

#### EXPRESSION OF TR TYPES IN LASER-CAPTURED *kiss2* AND GnRH NEURONS

The expression of TR mRNA types (*tra1*, *tra2* and *trb*) was examined in laser-captured DIG-labeled *kiss2* neurons and immunolabeled GnRH types neurons by RT-PCR. The fish were anesthetized by immersing in a 0.01% solution of MS222 and killed by decapitation for sample collection. Brains of sexually mature male fish were processed for DIG-*in situ* hybridization of *kiss2* gene and immunofluorescence labeling of three GnRH neurons types ( $n = 3$  for each cell types). DIG-*in situ* hybridization for *kiss2* was performed as described above. For harvesting of GnRH neurons, the brain sections were stained with rabbit anti-tilapia GnRH antibodies against their respective GnRH associated peptide (GAP) sequence (GAP1, #ISP105; GAP2, #ISP205, and GAP3, #ISP305), which were previously generated in our lab. Dilutions (1:1000) were made in an RNase-free phosphate buffer saline (pH 7.0) containing 2% bovine serum albumin and 0.5% triton X-100, and the antiserum was applied to sections mounted on slides for 24 h in a closed moist chamber at 4°C and detected with Alexa Fluor 546-labeled anti-rabbit IgG (1:500 dilution, Invitrogen, Carlsbad, CA, USA). DIG-labeled *kiss2* and immune-fluorescently labeled GnRH neurons were laser-microdissected using an Arcturus XT system (Molecular Devices, Sunnyvale, CA, USA). Each population of the laser-microdissected cells (*kiss2*, ~200 cells; GnRH1, ~100 cells; GnRH2, ~30 cells; GnRH3, ~30 cells/fish) were placed into sterile 0.2 ml PCR tubes containing 50  $\mu$ l of lysis solution [1 $\times$  RT buffer (Applied Biosystems, Foster City, CA, USA), 1% Nonidet P-40, and 0.05 mg/ $\mu$ l proteinase K] and lysed for 1 h at 50°C. After DNase I treatment, total RNA was isolated using TRIzol (Invitrogen) and dissolved in a 10- $\mu$ l of DEPC-treated water. The total RNAs were subsequently subjected to cDNA synthesis as above. The cDNA samples were then subjected to RT-PCR for tilapia *tra1*, *tra2*, and *trb* (GenBank accession numbers: AF302248, AF302249, and AF302247),  $\beta$ -actin (*b-actin*) and *kiss2* mRNAs. The PCR mixture

(20  $\mu$ l) contained 1 $\times$  PCR buffer, 160  $\mu$ M of dNTP mix, 250 nM of forward and reverse gene-specific primers (**Table 1**), 1U of AmpliTaq Gold DNA polymerase (Applied Biosystems), and one 20th of a single cell's cDNA solution. Reaction conditions for PCR were 94°C for 10 min, 40 cycles at 94°C for 15 s, 60°C for 15 s, 72°C for 15 s, and 72°C for 7 min. PCR products were electrophoresed on 2% agarose gels, stained with ethidium bromide, and visualized by illumination under UV light.

#### DOUBLE-IMMUNOFLUORESCENCE OF GnRH1 FIBERS OR GnRHR WITH *kiss2* NEURONS

To confirm possible associations between GnRH1 and Kiss2 neurons, double-labeling was performed. Kiss2 neurons were detected by fluorescent *in situ* hybridization, while GnRH1 and GnRHR was detected by immunofluorescence. *kiss2* mRNA expressing cells were detected using NEN Fluorescein Tyramide Signal Amplification (TSA™) Plus kit (Perkin Elmer, Wellesley, MA, USA) according to the manufacturer's instruction. GnRH1-immunoreactive fibers were detected with the anti-tilapia GAP1 antibody (#ISP105, dilution of 1:1000) or anti-tilapia GnRHR [#ISPR3, dilution of 1:500; (29)] with Alexa Fluor 594-labeled anti-rabbit IgG (1:500 dilution, Invitrogen). Separate images were captured by using a microscope (ECLIPS 90i, Nikon Instruments) that was attached to a digital cool CCD camera (DMX1200, Nikon) with appropriate excitation for Fluorescein and Alexa Fluor 594, and a computer software (NIS Elements D3.0, Nikon) was used to superimpose the two images. The red channel was then converted to magenta, and brightness and contrast adjustments were made in Adobe Photoshop CS2 (Adobe Systems, San Jose, CA, USA).

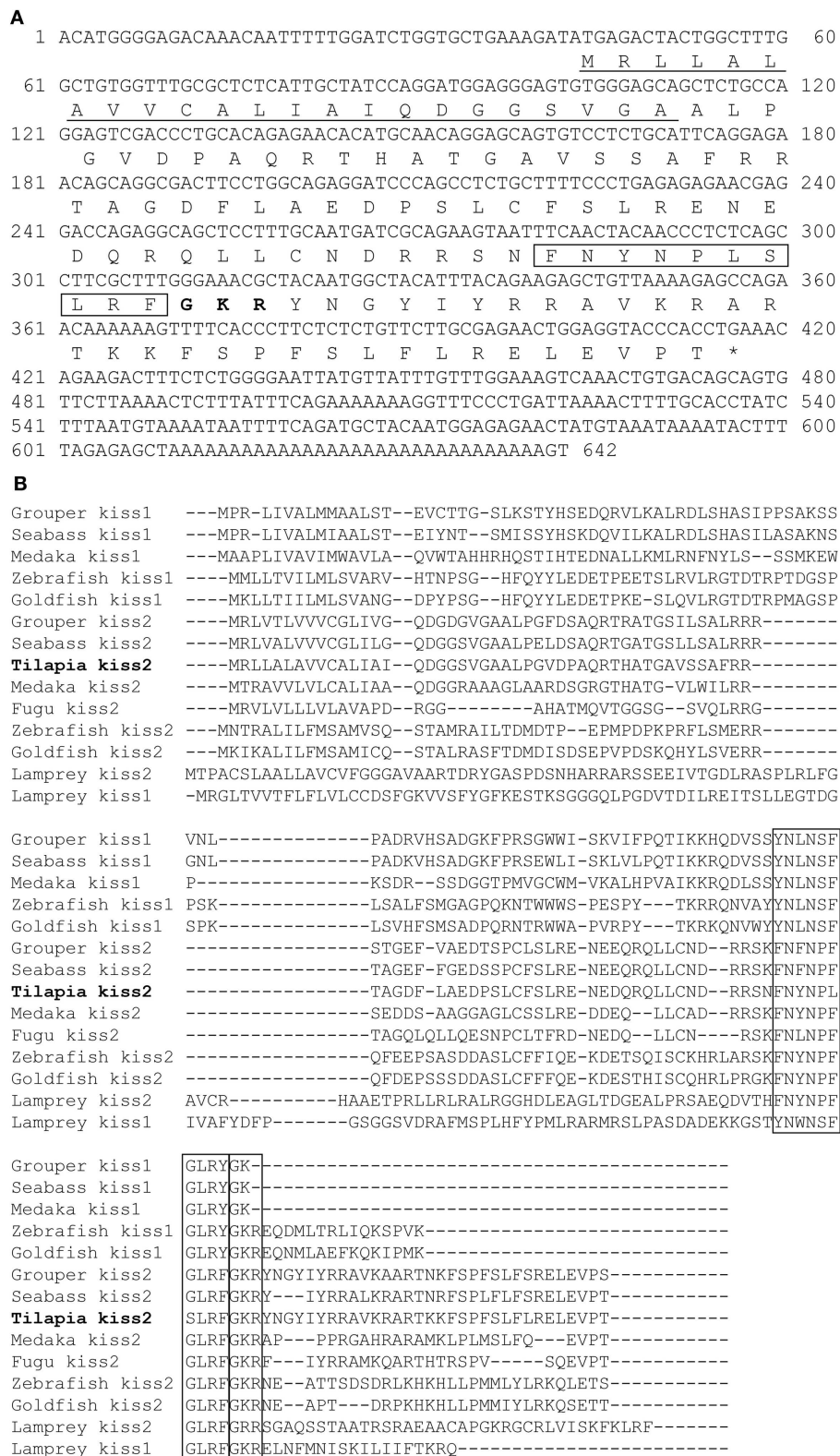
## RESULTS

#### CLONING AND SEQUENCE ANALYSIS OF TILAPIA *kiss2* cDNA

A full-length cDNA encoding the *kiss2* precursor was isolated from the tilapia, and the cDNA sequence has been deposited in the GenBank (accession number JN565693). The cDNA encoding tilapia *kiss2* is 633 base pairs (bp), containing an open reading frame of 375 bp, 35 bp of 5'-UTR, and 223 bp of 3'-UTR. The Kiss2 precursor protein has 124 amino acids (aa), with an N-terminal putative signal peptide sequence of 23 aa and a cleavage site (GKR) (**Figure 1A**). Sequence comparison of the deduced protein sequences showed that the tilapia and other vertebrate Kiss precursor proteins are poorly conserved (**Figure 1B**). However, the mature peptide (Kiss2–10) of tilapia and other species exhibit relatively conserved, differing by two amino acid at the position 6 and 7 (phenylalanine to leucine and glycine to serine) (**Figure 1B**). Phylogenetic analysis showed that kisspeptin deduced protein sequences are clustered into two separate clades: Kiss1 and Kiss2. The tilapia Kiss2 is clustered with the Kiss2 clade and shares the highest similarity with sea bass and grouper Kiss2 (**Figure 2A**).

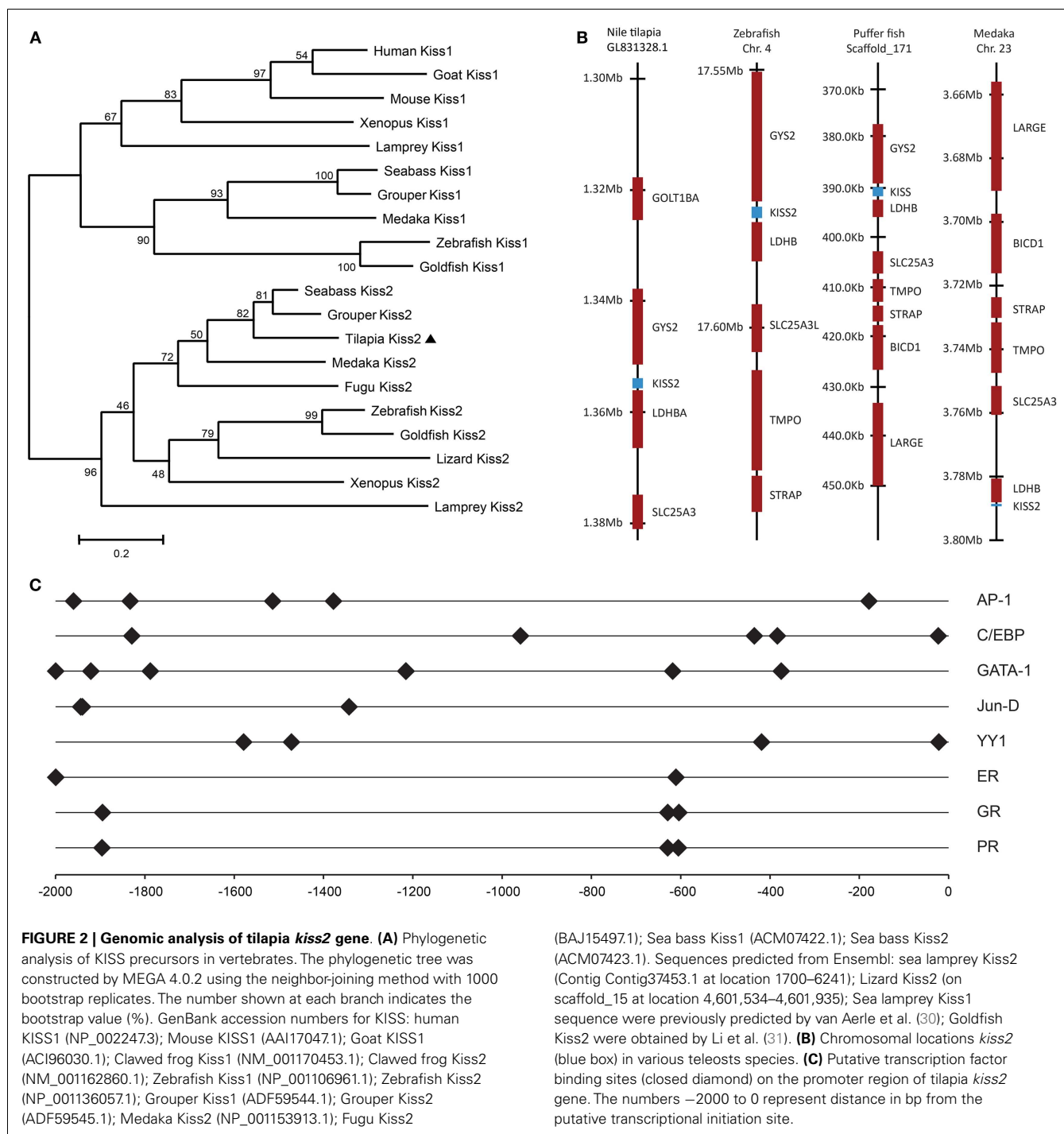
#### GENE SYNTENY ANALYSIS

Tilapia Kiss2 encoding sequence was found in the chromosome, scaffold GL831328.1 (location 1,353,904–1,355,714). Chromosome synteny analysis revealed that the neighborhood genes around the tilapia *kiss2* including *ldhba* and *slc25a3* are conserved in other fish Kiss2 genes (**Figure 2B**). Some of gene loci nearby the tilapia Kiss2 including *gol11ba* and *gys2* were also found on



**FIGURE 1 | cDNA and deduced amino acid sequence of tilapia *kiss2*.**  
**(A)** Nucleotide and deduced amino acid sequence of tilapia *kiss2*. Putative signal peptide (underlined) were predicted using SignalP 3.0 (<http://www.cbs.dtu.dk/services/SignalP/>). Putative core peptide is boxed. Potential cleavage amidation site (GKR) is bolded. The stop codon is denoted by an

asterisk. **(B)** Comparison of amino acid sequences of kisspeptin precursors from different species. The mature peptides and potential cleavage amidation site (GKR/GK/GKK) are boxed. Sequences were aligned by the ClustalW program. Gaps (indicated by hyphens) are introduced in some sequences to maximize alignment.



human chromosome 12 and mouse chromosome 6 as reported previously (9).

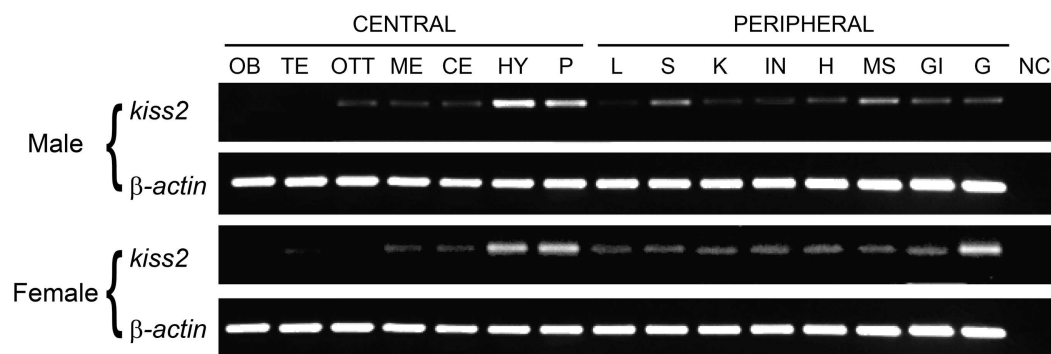
#### PUTATIVE TRANSCRIPTION FACTOR BINDING SITES ON THE PROMOTER REGION OF TILAPIA *kiss2* GENE

*In silico* analysis of putative transcription binding sites showed the presence of binding sites for several transcription factors such as AP-1, C/EBP, GATA-1, Jun-D, YY1, ER, GR, and PR on the putative promoter region of tilapia *kiss2* gene (Figure 2C).

#### TISSUE DISTRIBUTIONS AND BRAIN LOCALIZATION OF TILAPIA *kiss2* mRNA

RT-PCR analysis was performed to examine the tissue distribution patterns of the tilapia *kiss2* gene expression. In the brain, the tilapia *kiss2* mRNA was highly expressed in the hypothalamus and the pituitary in males and females (Figure 3). In peripheral tissues, there were sexual differences in the distribution patterns. In males, the tilapia *kiss2* mRNA was expressed in the spleen, medulla, gills, and testis, whereas in females, the tilapia *kiss2* mRNA was





**FIGURE 3 | RT-PCR analysis of tissue expression patterns of *kiss2* in male and female tilapia.** Amplification of  $\beta$ -actin was used as the house-keeping gene control. OB, olfactory bulb; TE, telencephalon; OTT, optic tectum

thalamus; ME, medulla; CE, cerebellum; HY, hypothalamus; P, pituitary; L, liver; S, spleen; K, kidney; IN, intestine; H, heart; MS, muscle; GI, gill; G, gonad; NC, negative control.

expressed in the spleen, kidney, intestine, heart, medulla, gills, and ovary (Figure 3).

Digoxigenin-*in situ* hybridization showed tilapia *kiss2* mRNA containing cells in the nucleus of the lateral recess [nRL, also been referred to as the dorsal zone of the periventricular hypothalamus (32)] in the brain (Figure 4). No DIG-labeled cells were detected in the brain using sense riboprobes (data not shown).

#### EFFECT OF THYROID HORMONE ( $T_3$ ) AND HYPOTHYROIDISM ON *kiss2*, GnRH TYPES AND TRH GENE EXPRESSION

Real-time PCR showed that administration of  $T_3$  significantly increased the amount of *kiss2* ( $\sim 2.3$ -fold,  $P < 0.001$ ) and *gnrh1* ( $\sim 3.2$ -fold,  $P < 0.001$ ) mRNA levels 24 h post administration when compared with control fish (Figure 5A). There was no effect of  $T_3$  treatment on *gnrh2* ( $P = 0.86$ ) and *gnrh3* ( $P = 0.47$ ) mRNA levels (Figure 5A).

In the fish treated with MMI, the amount of *kiss2* ( $\sim 0.1$ -fold,  $P < 0.05$ ) and *gnrh1* ( $\sim 0.6$ -fold,  $P < 0.05$ ) mRNA levels were significantly decreased compared with control fish (Figure 5B). There was no effect of MMI treatment on *gnrh2* ( $P = 0.08$ ) and *gnrh3* ( $P = 0.14$ ) mRNA levels (Figure 5B).

There was no significant effect of thyroid hormone injection and MMI treatment on TRH mRNA levels in the brain (Figure 6), indicating the absence of endogenous thyroid hormone feedback effect on *kiss2* mRNA levels.

#### EXPRESSION OF TR TYPES IN LASER-CAPTURED *kiss2* AND GnRH NEURON TYPES

RT-PCR showed no expression of TR types (*tra1*, *tra2*, and *trb*) mRNA in laser-captured *kiss2* cells (Figure 7). In GnRH neuron types, expression of *tra1* mRNA was found in GnRH1 and GnRH2 neurons, *tra2* mRNA was found in GnRH3 neurons, and *trb* mRNA was found in GnRH1, GnRH2, and GnRH3 neurons (Figure 7).

#### POSSIBLE NEURONAL ASSOCIATIONS BETWEEN GnRH1 AND *kiss2* NEURONS

Double-immunofluorescence showed neither close association of GnRH1-immunoreactive fibers with *kiss2* neurons

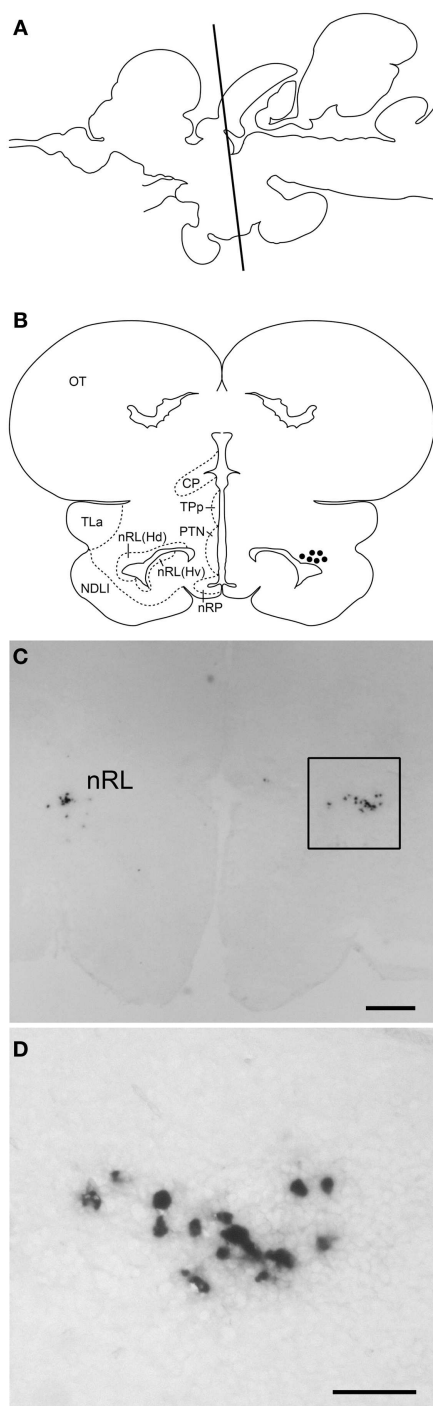
(Figures 8A–C) nor co-expression of GnRHR-immunoreactivity in *kiss2* neurons (Figures 8D–F).

## DISCUSSION

### Kiss2 GENE IN THE TILAPIA

The core sequence of tilapia Kiss2 showed high similarities with non-mammalian Kiss2 peptides sharing the F–F form. However, there are the two major amino acid substitutions at positions 6 and 7 (Leu–Ser instead of Phe–Gly) in the core sequence of tilapia Kiss2 decapeptides. As a result, the carboxyl half of core peptide of tilapia Kiss2 (position 6 to 10) is LSLRF, while those of all other Kiss2 identified thus far are FGLRF with complete conservation from lamprey, elephant shark through platypus that have been appeared to possess Kiss2 (7). These two amino acids substitution could be important for binding affinity to Kiss-R and calcium release activity (33). Comparison of genomic sequences showed conserved synteny between the tilapia, zebrafish, puffer fish and medaka, suggesting tilapia Kiss2 gene is ortholog. So far no *kiss1* and *kissr1* homologous sequences have been reported in the tilapia, similar to those fish that possess only one *kiss-kissr* gene (5, 11). Nevertheless, the presence of *kiss1* in the tilapia remains to be examined.

The expression pattern of *kiss2* mRNA in various tissues in the tilapia is similar to that in other fish species (5, 7). In the brain, *kiss2* mRNA containing cells were seen only in the nRL. However, no *kiss2* cells were seen in other brain region such as the posterior tuberal nucleus or the preoptic area where *kiss2* cells exist in the medaka, zebrafish, goldfish, red seabream (*Pagrus major*) and European sea bass (*D. labrax*) (9, 15, 34–36), which could be due to species difference or because of its low expression levels in the preoptic region. Expression of kisspeptin genes in the pituitary have been reported in several species including mammals and fish (7, 36, 37). The presence of *kiss2* mRNA in the pituitary of tilapia indicates the possibility of *kiss2* mRNA being transported to the nerve terminals as seen in some neuron types (38), or being expressed locally in the pituitary similar to *kiss1* mRNA in the European sea bass and Kiss2-immunoreactive cells in the zebrafish (16, 36). The target site of tilapia Kiss2 neurons is still unknown due to the lack of specific antibody. A recent study in the zebrafish has demonstrated projections



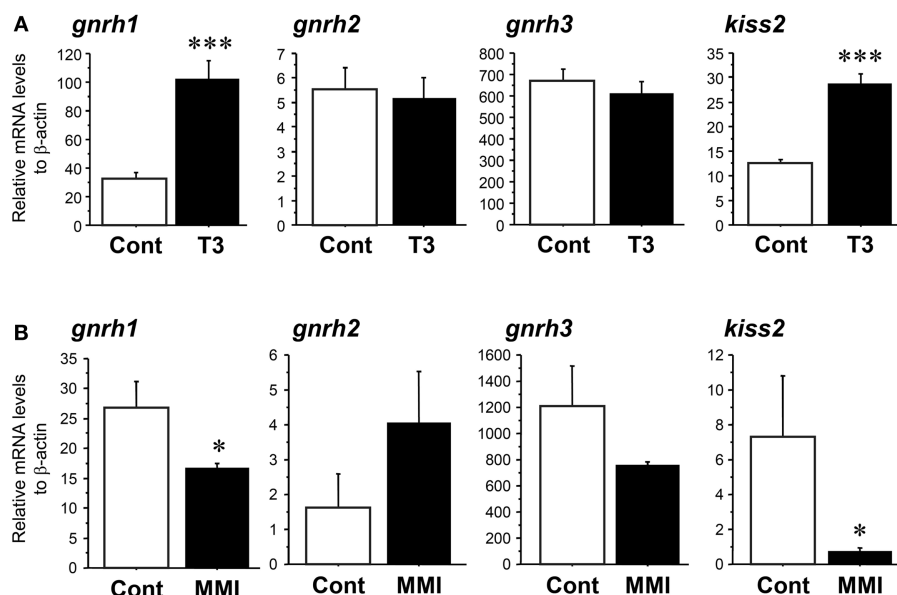
**FIGURE 4 | *kiss2* mRNA containing cells in the brain of male tilapia.** (A) Schematic drawing of a lateral view of the brain indicating levels of the corresponding transverse section. (B) Schematic drawing of transverse section of *kiss2* cells (closed circles). (C,D) Photomicrographs of DIG-labeled *kiss2* cells in the nucleus of lateral recesses (nRL) at (C) Low- and (D) high-magnification. CP, central posterior thalamic nucleus; Hd, dorsal zone of periventricular hypothalamus; Hv, ventral zone of periventricular hypothalamus; NDLI, diffuse nucleus of the inferior lobe; nRP, nucleus of posterior recess; OT, optic tectum; PTN, posterior tuberal nucleus; TLa, torus lateralis; TPp, periventricular nucleus of posterior tuberculum. Scale bars: (C) 200  $\mu$ m; (D) 50  $\mu$ m.

of Kiss2-immunoreactive fibers throughout the brain and their close association with GnRH3 (hypophysiotropic GnRH type in the zebrafish) neurons in the preoptic area (16), which suggest the primary role of Kiss2 neurons in gonadotropin secretion possibly through the stimulation of GnRH. It has been shown that electrical stimulation of the nRL in teleosts elicits feeding, gravel picking, and generally aggressive behaviors in cichlids (39). A recent study has shown significant increase in *kiss2* mRNA levels in the hypothalamus during fasting conditions in the Senegalese sole (*Solea senegalensis*) (40). These observations suggest the potential role of Kiss2 in homeostatic regulation as well as ingestive and sexual behaviors as suggested in mammals (41).

The predominant expression of *kiss2* in the brain, testis, and ovary suggests its role in reproductive functions. Specific localization of *kiss2* mRNA in the gonadal tissues has not been studied in teleost, but in the cyclic human and marmoset ovaries, kisspeptin-immunoreactive signals have been located in the theca layer of growing follicles, corpora lutea, interstitial gland, and ovarian surface epithelium (42). Similarly in teleosts, Kiss2 peptides could be locally synthesized in gonadal tissues and could regulate gonadal maturation.

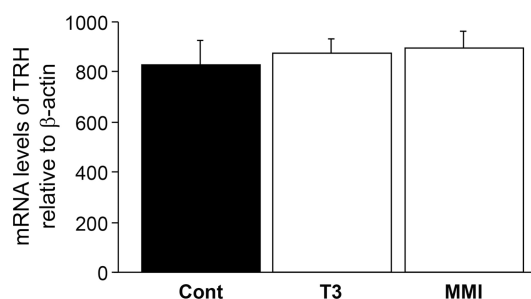
#### EFFECT OF THYROID HORMONE ON REPRODUCTIVE NEUROENDOCRINE SYSTEM

The manipulation of thyroid hormone levels significantly altered *kiss2* mRNA levels along with *gnrh1* mRNA levels in the brain of male tilapia. Furthermore, there was no effect of thyroid hormone manipulation on *gnrh2* and *gnrh3* mRNA levels. These results indicate that thyroid hormone may act on kisspeptin-GnRH1 system which plays an important role in the reproductive neuroendocrine axis in the tilapia. A recent study in primates has proposed kisspeptin neurons as candidate action target of thyroid hormone (43). The regulation of GnRH neurons by kisspeptin is critical for the onset of puberty. During the prepubertal stage, sex steroids as well as thyroid hormone are involved in the development of the sexually mature brain. In the quail, thyroid hormone has been reported to cause seasonal change in the morphology of GnRH nerve terminals at the median eminence (44). In monkeys, hypothyroid condition with MMI treatment during the juvenile stage delays the pubertal rise in LH secretion and only 50% of the hypothyroid animals exhibit reactivation of GnRH pulse generator activity (45). In teleosts, there are limited studies that examined the role of thyroid hormone in the regulation of GnRH neurons. In the larval tilapia, the concentration of thyroid hormone levels in the whole-body peak around day 25 after hatching (46), which correspond with the period when GnRH1-immunoreactive cells are morphologically detectable in the preoptic area (47). In the zebrafish, the timing of first appearance of preoptic GnRH3 neurons and that of the increase in *gnrh3* gene expression coincides with the second peak of *kiss2* gene expression (9). However, these studies only support the potential organizational effect of thyroid hormone on the reproductive axis in juvenile or seasonal breeding animals, which is not the case for the present study that demonstrates the activational effect of thyroid hormone on kisspeptin-GnRH axis in the sexually mature fish. Nevertheless, even in non-seasonal breeding animals, thyroid hormone levels are influenced by various factors such as diurnal rhythm



**FIGURE 5 | Effect of thyroid hormone manipulation in *kiss2*, *gnrh1*, *gnrh2*, and *gnrh3* mRNA levels in the male tilapia. (A)** Thyroid hormone ( $T_3$ , 5  $\mu$ g/g body weight) injection significantly increased *kiss2* and *gnrh1* mRNA levels ( $n = 15$ ). **(B)** Under hypothyroidism with methimazole (MMI, 100 ppm for 6 days), mRNA levels of *kiss2* and *gnrh1*

were significantly decreased. There were no effects of manipulation of thyroid hormone on *gnrh2* and *gnrh3* mRNA levels ( $n = 5-8$ ). The relative abundances of the mRNA were normalized to the amount of  $\beta$ -actin using the comparative threshold cycle method. \* $P < 0.05$ ; \*\*\* $P < 0.001$  vs. controls.



**FIGURE 6 | Effect of thyroid hormone manipulation on thyrotropin-releasing hormone (TRH) mRNA levels.** There was no significant effect of thyroid hormone ( $T_3$ ) and MMI on TRH mRNA levels in the male tilapia.

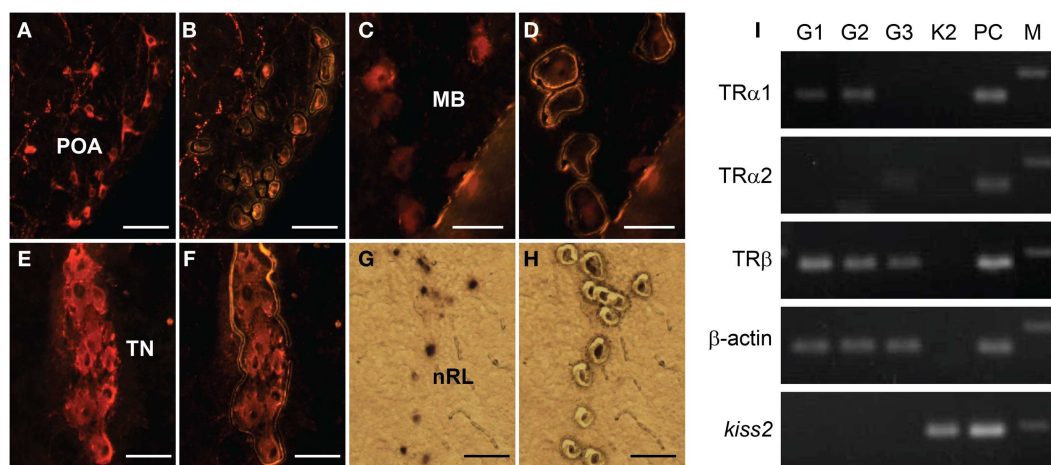
(photoperiod), metabolism, and stress, that have direct or indirect impact on the kisspeptin system (48, 49), which may alter the release of GnRH.

We previously found the significant effect of thyroid hormone on *gnrh1* mRNA levels in sexually mature but not in immature tilapia (22). This result suggests that in sexually mature fish, GnRH1 neurons may acquire sensitivity to thyroid hormone due to the presence of TR, which might be absent in sexually immature fish. Similarly in male monkeys, hypothyroidism fails to prevent the arrest of GnRH pulse generator activity during the infant-juvenile transition (43). Therefore, the action of thyroid hormone on kisspeptin-GnRH neurons could be regulated in a reproductive stage-dependent manner.

#### EFFECT OF THYROID HORMONE ON GnRH NEURONS: DIRECT AND INDIRECT PATHWAYS

The role of thyroid hormone in reproductive functions is important during developmental as well as in the adult stages. In rats, irregular estrous cycle, failure of LH surge, impairment in male sexual behavior, and reduction of GnRH biosynthesis has been shown when hypothyroidism was induced during their adult stage (50–52). A recent study in the rat has shown the presence of type II deiodinase in GnRH neuronal axons in the median eminence as well as in GT1-7 cells (53), indicating the possible synthesis of thyroid hormone within GnRH neurons and possible direct action of thyroid hormone on GnRH neurons. In the present study, we found the expression of TR mRNA types in GnRH1 neurons, which also has been reported in the sheep and hamsters (21). The promoter region of rat GnRH gene contains motifs resembling ER/TR response elements (54). Furthermore, the rat GnRH promoter contains a retinoic acid response element (54), which can interact with TRs alone or with TR/retinoic acid receptor heterodimers (21). Therefore, thyroid hormone can directly act on GnRH1 neurons to regulate the synthesis of GnRH peptides.

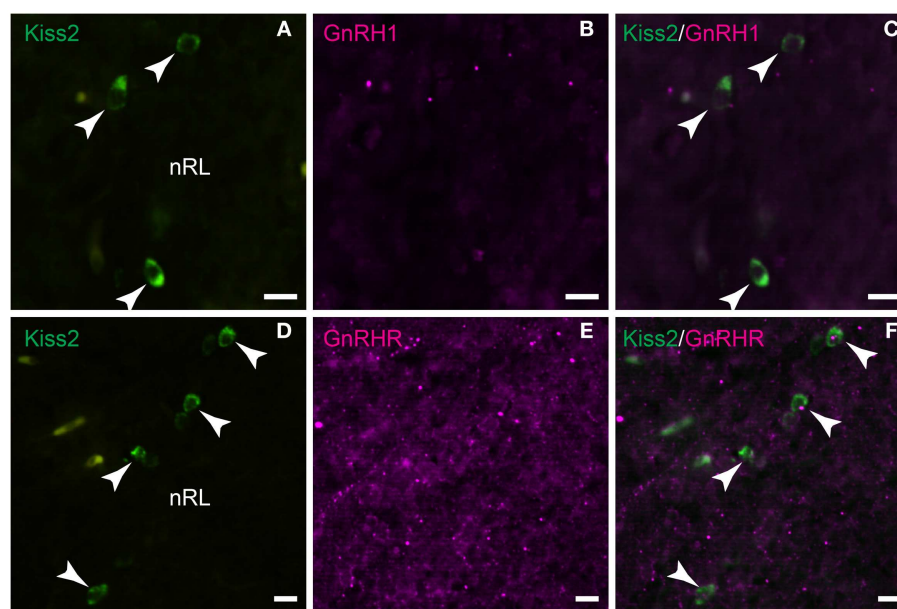
In the reproductive axis, pulse, and surge pattern of GnRH secretion are critical. Recent studies in mammals have suggested that kisspeptin neurons in the arcuate nucleus (Arc) are responsible for the pulsatile release of GnRH (55). In ewes, thyroid hormones are required for steroid-independent seasonal LH pulse frequency (24), in which LH pulse frequency and amplitude alters in the absence of estradiol (56). This could be mediated through TR localized in the Arc (57) that contains kisspeptin



**FIGURE 7 | Expression of thyroid hormone receptor (TR) types mRNA in laser-captured GnRH1, GnRH2, GnRH3, and *kiss2* neurons.**

(A–H) Photomicrographs of GnRH1 (A,B), GnRH2 (C,D), GnRH3-immunoreactive (E,F), and DIG-labeled *kiss2* (G,H) neurons before (A,C,E,G), and after (B,D,F,H) laser-capture microdissection. Scale bars, 50  $\mu$ m. POA,

preoptic area; MB, midbrain; TN, terminal nerve; nRL, the nucleus of lateral recesses. (I) RT-PCR of the *tra1*, *tra2*, *trb*,  $\beta$ -actin, and *kiss2* genes in the laser-captured immune-fluorescently labeled three GnRH types neurons (G1, G2 and G3) and DIG-labeled *kiss2* neurons (K2). PC, Whole-brain cDNA as a positive control; M, 100-bp DNA ladder.



**FIGURE 8 | Double-label immunofluorescence photomicrographs of tilapia *kiss2* and GnRH1 or GnRHR in the brain of male tilapia.** (A–C) There was no GnRH1 (GAP1)-immunoreactive fibers (magenta) nearby *kiss2* mRNA containing cells (green, arrow heads) in the nucleus of lateral recesses (nRL). (D–F) There was no co-expression of GnRHR immunoreactivity (magenta) in *kiss2* mRNA containing cells (green, arrow heads). Scale bars, 10  $\mu$ m.

neurons. Although kisspeptin has been considered a major regulator of GnRH neurons, a morphological study in the rhesus monkey has shown occasional contacts between GnRH axons and kisspeptin neurons in the Arc, indicating the possibility that GnRH could exert control over kisspeptin neuronal activity (58). However, in this study, we failed to observe any GnRH1 fibers or GnRHR in Kiss2 neurons. Therefore, it is possible

that the thyroid hormone indirectly regulates Kiss2 neurons via unidentified neuronal population expressing conventional TR.

In the present study, we failed to observe the expression of TR mRNA types in Kiss2 population, which could be due to low expression levels of TR genes in *kiss2* neurons. The absence of TR does not necessarily indicate the possibility of an indirect action of thyroid hormone. Several studies have suggested the presence

of a non-classical thyroid hormone signaling pathway, which is non-genomic and does not require thyroid hormone interaction with the TR (59). In addition, *kiss2* gene could also be influenced by estrogen feedback via thyroid hormone action on the hypothalamic-pituitary-gonadal axis (60). Our recent report in goldfish showed presence of ERs in *kiss1* and *kiss2* neurons as well as activation of *kiss1* and *kiss2* gene promoters by estrogen (61). Currently we have no direct evidence of steroid sensitivity of *kiss2* gene in the tilapia, but our promoter analysis showed the presence of two possible ER response elements in the upstream of tilapia *kiss2* gene. Therefore, tilapia *kiss2* gene could also be influenced by estrogen in the male tilapia.

It is well known that TRH and thyroid-stimulating hormone (TSH) genes are regulated by thyroid hormone in mammals via negative feedback mechanism (62). However, in the few fish species studied, both  $T_4$  and  $T_3$  have a negative feedback effect on TSH secretion by the pituitary (63). Furthermore, it is still unknown whether  $T_4$  or  $T_3$  influences hypothalamic release of TRH in teleosts (60). In the present study, we failed to see any change in TRH mRNA expression by thyroid hormone manipulation. Similar observation has been reported in Senegalese sole that hormonal treatments using thiourea and  $T_4$  showed no regulation at transcriptional levels of TRH by thyroid hormones (64) and they suggested that TRH could not participate in the hypothalamic-pituitary-thyroid axis in teleosts. This is further supported by other studies that failed to demonstrate an induction of TSH or  $T_4$  release after TRH treatments in fish (65, 66). Therefore, in the tilapia, TRH could be insensitive to thyroid hormone levels. In addition, in mammals, not all TRH expressing neurons are  $T_3$  responsive (67). Therefore, it is also possible that current treatment protocol (dose and duration) in this study was not sufficient enough to alter TRH mRNA levels. We noted large variation in *gnrh3* mRNA expression in the controls in the two experiments. Such variations in *gnrh3* mRNA levels have previously been reported in teleosts (68, 69), which could be due to different social and reproductive states of fish (70–72).

In summary, we cloned *kiss2* gene in the Nile tilapia. The *kiss2* mRNA was expressed in the central and peripheral tissues. DIG-*in situ* hybridization showed *kiss2* mRNA containing cells in the nRL. Thyroid hormone ( $T_3$ ) treatment significantly increased *kiss2* and *gnrh1* mRNA levels, while those genes were suppressed under hypothyroid condition with MMI treatment. Presence of TR mRNA types in GnRH1 neurons and their absence in Kiss2 neurons suggest that GnRH1 may be directly regulated through thyroid hormone, while the regulation of Kiss2 by  $T_3$  is more likely to be indirect.

## AUTHORS CONTRIBUTION

Satoshi Ogawa and Ishwar S. Parhar designed the study; Satoshi Ogawa performed *in silico* gene sequence analysis, hormone treatments, data analysis, and wrote the manuscript; Kai We Ng performed cloning and real-time PCR; Xiaoyu Xue, Shuisheng Li, Berta Levavi-Sivan, Haoran Lin, Xiaochun Liu performed cloning, sequence analysis, RT-PCR; Priveena Nair Ramadasan performed *in situ* hybridization; Mageswary Sivalingam performed double-immunofluorescence and laser capture microdissection; Ishwar

S. Parhar edited the manuscript, all authors approved and commented on the manuscript.

## ACKNOWLEDGMENTS

We thank to Ms. Rachel Anthonysamy for her technical assistance. This work was supported by grants from Malaysian Ministry of Higher Education, FRGS/2/2010/ST/MUSM/03/2 (to Satoshi Ogawa and Ishwar S. Parhar), Malaysian Ministry of Science, Technology, and Innovation, 02-02-10-SF0044 (to Ishwar S. Parhar and Satoshi Ogawa), and Monash University Sunway Campus, M-2-2-06 and M-2-07 (to Satoshi Ogawa), MM-2-5-06 and MM-7-07 (to Ishwar S. Parhar), Neuroscience Research Strength grant (to Ishwar S. Parhar), and Guangdong Provincial Key Laboratory for Aquatic Economic Animals, Sun Yat-Sen University (to Xiaochun Liu).

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 22 August 2013; paper pending published: 13 September 2013; accepted: 10 November 2013; published online: 25 November 2013.

Citation: Ogawa S, Ng KW, Xue X, Ramadasan PN, Sivalingam M, Li S, Levavi-Sivan B, Lin H, Liu X and Parhar IS (2013) Thyroid hormone upregulates hypothalamic kiss2 gene in the male Nile tilapia, *Oreochromis niloticus*. *Front. Endocrinol.* **4**:184. doi: 10.3389/fendo.2013.00184

This article was submitted to *Thyroid Endocrinology*, a section of the journal *Frontiers in Endocrinology*.

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