

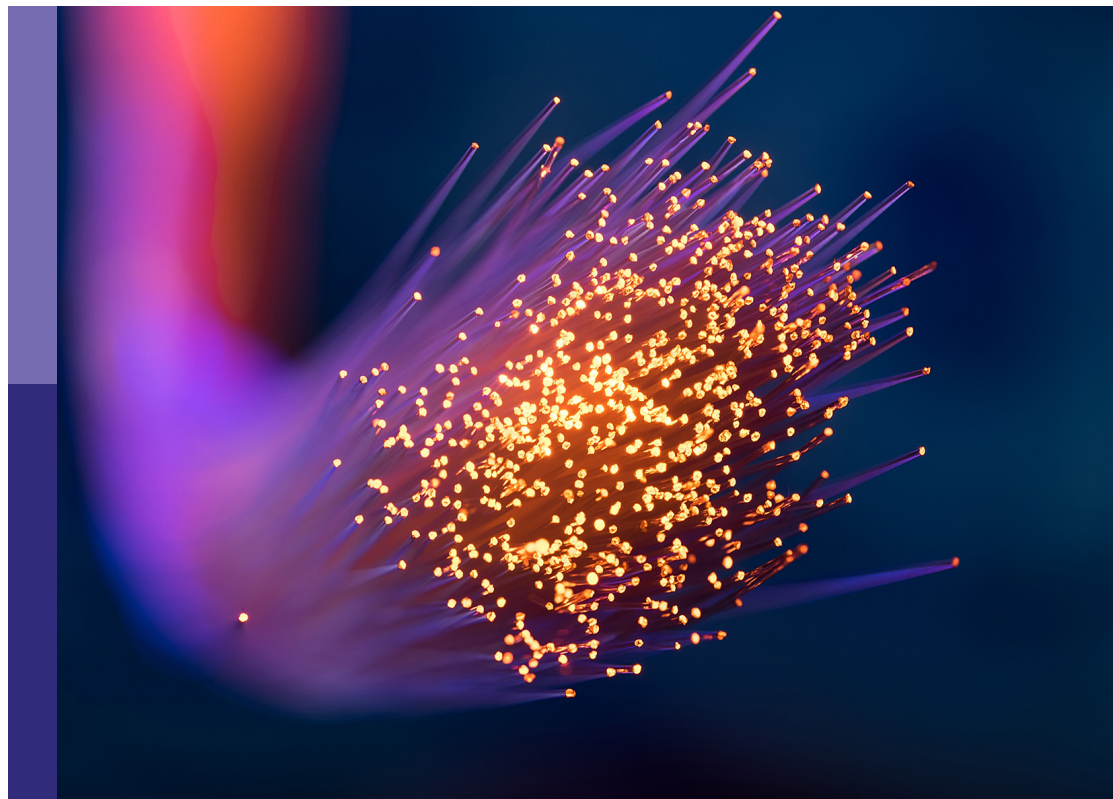
Digital holography: Applications and emerging technologies

Edited by

Peter Wai Ming Tsang, Ting-Chung Poon, Yaping Zhang and Pietro Ferraro

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Digital holography: Applications and emerging technologies

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Editorial: Digital holography: Applications and emerging technologies

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acoustic field imaging, chemiluminescence tomography, deep neural network, digital holography, fourier ptychographic reconstruction, fringe printer, noise suppression, visual cryptography

Editorial on the Research Topic

Digital holography: Applications and emerging technologies

A lot of researchers in optics have mentioned that holography, pioneered by Gabor in the late 40s could be a major, and possibly the ultimate solution towards three-dimensional (3-D) display. This may not be an overstatement, for as early as 1962, Yuri Denisjuk and his peers have realized optical holograms for recording 3-D images of real-world objects. When lit with a coherent light source, a hologram reconstructs a realistic visual image of the 3-D objects it records. Being different from another effective and widely adopted 3-D technology based on the lenticular lens, observing a hologram does not lead to accommodation-vergence conflict, which could induce visual fatigue or headaches to some people. Despite all its advantages, optical holography does not gain equal acceptance in the consumers market as compared with traditional photography. The discrepancy is mainly due to the need of expensive and delicate optical setups, mounted in a practically vibration-free optical table in a dark room, in capturing a hologram. These kind of stringent requirements basically limit the production of holograms to a laboratory environment that is generally unavailable to consumers at large. Similar to photography, optical holograms records magnitude of light waves encapsulating both amplitude and phase information on photographic films, and the contents cannot be changed afterwards. To produce a hologram with animated content, multiple frames of object images are sequentially recorded onto a multiplexed hologram. In this approach, the optical waves of each object image is mixed with a unique off-axis reference beam, and exposed onto the photographic film. The number of frames is rather limited and only a short video clip can be recorded onto a multiplexed hologram. Insofar, what the holography technology can be provided to the community is perhaps the 3-D holograms that we can purchase from the specialty stores.

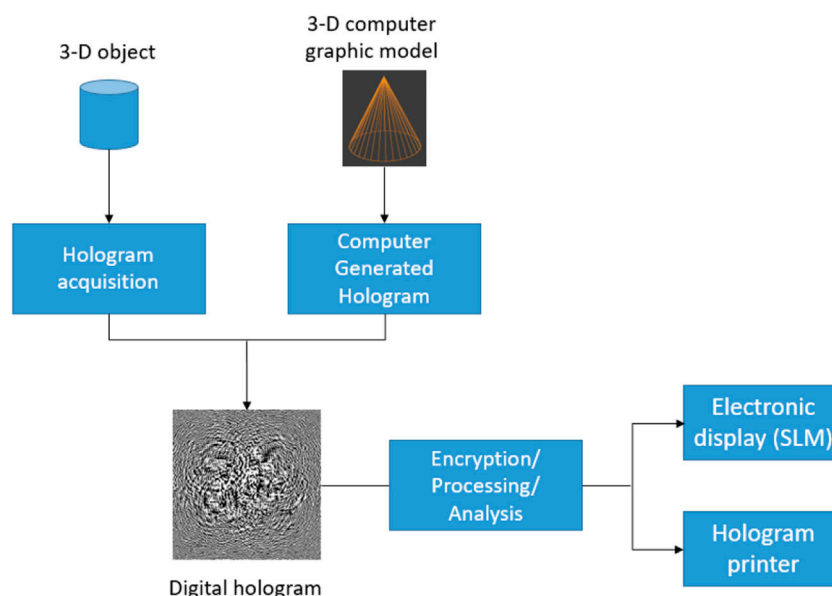


FIGURE 1
Typical infrastructure of digital holography.

However, the rapid advancement of optical, display, and computing technologies have casted light on a promising framework and future for holography, probably extending it to a realm that is comparable, if not exceeding the current digital photography. The new paradigm is realized with the integration of classical optical holography with the digital technology, an amalgamation that is generally referred to as ‘digital holography’. For ease of explanation, let’s refer to a typical infrastructure of digital holography in [Figure 1](#).

From [Figure 1](#), we observe that digital holography bears similar infrastructure as digital photography. A digital hologram can be captured from a physical object, or numerically generated from a computer graphic model. The digital hologram can be further processed and/or encrypted, and subsequently displayed with a high resolution device, or printed with a hologram printer. However, digital holography can be applied to capture, process, and display 3-D images, which is not possible with digital photography. These processes are more complicated to realize as holographic signals are complex-valued with both magnitude and phase information. Existing cameras and displays are only effective in recording and reconstructing the magnitude component of optical waves, which cannot be directly applied in handling complex-valued signals. Apart from recording and displaying 3-D images, digital holography can also be used in encryption. A digital hologram is comprised of high frequency fringe patterns that do not reveal much clue on the object image it represents. Hence if a digital hologram is encrypted, it is

much more difficult to crack the decryption key through trial and error. However, this also makes a digital hologram more difficult to classify, as traditional image analysis techniques (such as contour tracing and corner detection) cannot be applied to extract a meaningful object shape from the hologram fringe patterns. In the past 3 decades, numerous research works have been conducted to develop various components in the digital holography framework. Despite the encouraging progress achieved to date, the art of digital holography keeps on evolving at a rapid pace as it involves sophisticated integration of both existing and emerging technologies. This Research Topic, comprising of 13 papers contributed by renowned scholars and experts in the field, aims to provide readers with a quick overall view on some of the latest and exciting development on digital holography. Hereafter, we shall briefly outline the main emphasis of each paper, so as to provide readers with a summary on the topics that are being covered. For those who have specific interested in selected areas, the succinct descriptions can also facilitate them to identify the relevant paper(s) to focus on.

[Shen et al.](#) in their paper, “*High-throughput artifact-free slightly off-axis holographic imaging based on Fourier ptychographic reconstruction*”, describe a method for reconstructing the amplitude and phase images from a hologram that is acquired with slightly off-axis digital holographic microscopy. The authors point out that although this hologram capturing method improves the space-bandwidth product, and partially suppressing the background intensities, it

is imposed with a spectral aliasing problem which cannot be discarded with filtering techniques. The phase information is jeopardized as a consequence. To overcome this issue, a non-linear optimization method based on Fourier ptychographic microscopy (FPM), is proposed to recover the object amplitude and phase information from the hologram. Experimental results reveal that the proposed method outweighs conventional off-axis method, as well as the Kramers–Kronig (KK) method in both amplitude and phase reconstruction.

Askari and Park in their paper, “Augmentation of 3D holographic image graticule with conventional microscopy”, develop a method that projects a graticule image on test subjects. Producing a graticule pattern is often faced with the dilemma that while enlarged (extended) depth-of-field (DOF) is required for lateral measurement, a shallow DOF is needed for longitudinal measurement. The authors overcome this method by generating a hologram at minimum, and maximum angular spectrum range for extended, and shallow DOF, respectively. Experimental results, based on numerical simulation and optical reconstruction, reveal that a hologram generated with the proposed method can produce focused graticule patterns across a depth range of 80 mm. At the same time, it can also generate shallow DOF images that are only focused within a narrow depth range.

Hassad et al. in their paper, “Multi-view acoustic field imaging with digital color holography”, apply digital holography for acoustic field imaging. Although sound signals can be measured with microphone array, the resolution and fidelity of the measurement are affected by the size and the presence of the sensors. These shortcomings could be avoided with optical imaging. The authors have proposed to use multicolor laser beams to capture three spatially multiplexed off-axis digital holograms of the acoustic field of a volume from three different directions. Experimental results reveal that the amplitude and phase components of the acoustic signal can be reconstructed from the digital holograms. A deviation between the integrated amplitude along the laser direction, and the true amplitude is noted, suggesting good research potential based on the proposed method.

Coherent noise is often a problem in digital holography as it affects the quality of measurement as well as for optical display. Li et al. in their paper, “Speckle noise suppression algorithm of holographic display based on spatial light modulator (Invited)”, provide an overview on the speckle noise suppression of holographic display.

Past research has demonstrated that coherent noise can be reduced with deep neural network which is trained with a large dataset. The need of the large dataset is overcome in the paper by Tang et al., “Coherent noise suppression of single-shot digital holographic phase via an untrained self-supervised network”. The

authors propose to use a constant random uniform noise, and a single-phase noise as the input and ground truth for training the network.

Zhou et al. in their paper, “Visual cryptography using binary amplitude-only holograms [Invited]”, investigate visual cryptography (VC). In VC, the useful information can be rendered without the usage of decryption algorithms. However, many VC schemes cannot withstand occlusion attacks. The authors propose the use of holography along with VC to verify their technique that is able to withstand occlusion attacks and noise contamination.

Coherent holographic imaging has a serious drawback as it is extremely susceptible to speckle noise. On the other hand, incoherent holography allows a higher signal-to-noise ratio as compared to its coherent counterpart. Tahara in his paper, “Review of incoherent digital holography: applications to multidimensional incoherent digital holographic microscopy and palm-sized digital holographic recorder—Holosensor,” provides a review on the advancement of modern incoherent digital holography.

Pixelated spatial light modulators (SLMs) introduce errors during holographic display. Chen et al. in their paper, “Compact computational holographic display (invited article),” use the use of phase shifting holography along with automatic differentiable (AD) optimization to improve the quality of holography reconstruction.

Yamaguchi and Yoshikawa in their paper, “Development of a fringe printer with 0.35 μm pixel pitch,” give a review of the development of a fringe printer that can achieve 0.35 μm pixel pitch computer-generated holograms and the specification of the printer has been verified experimentally.

Jin and Situ in their paper, “A survey for 3D flame chemiluminescence tomography: theory, algorithms, and applications (invited),” give an extensive review on the progress of chemiluminescence tomography (FCT), which is a 3D imaging technique of key physical parameters in the combustion process.

Liu et al. In their paper, “Compressive interferenceless coded aperture correlation holography with high Imaging quality (Invited),” use compressive sensing to improve the low signal-to-noise ratio inherent in one of the incoherent digital holographic techniques—interference coded aperture correlation holography (I-COACH). They have been able to suppress the background noise and improved the reconstruction quality of conventional I-COACH without sacrificing the imaging speed.

Zhou et al. in their paper, “Elimination of quadratic phase aberration in digital holographic microscopy by using transport of intensity,” take advantages of the merits of transport and intensity (TIE) and digital holography (DH) to eliminate quadratic phase aberration introduced by the microscope objective in digital holographic microscopy. A regularization

parameter is employed within the TIE method for phase retrieval.

Deep-learning has been developing rapidly in recent years. Shimobaba et al. in their paper, “*Deep-learning computational holography: a review (invited)*,” provide a comprehensive review on computational holography using deep learning. The authors believe that the combination of deep learning and physically-based calculations will lead to ground-breaking computational holography research.

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Visual Cryptography Using Binary Amplitude-Only Holograms

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Visual cryptography (VC) is developed to be a promising approach to encoding secret information using pixel expansion rules. The useful information can be directly rendered based on human vision without the usage of decryption algorithms. However, many VC schemes cannot withstand occlusion attacks. In this paper, a new VC scheme is proposed using binary amplitude-only holograms (AOHs) generated by a modified Gerchberg-Saxton algorithm (MGSA). During the encryption, a secret image is divided into a group of unrecognizable and mutually-unrelated shares, and then the generated shares are further converted to binary AOHs using the MGSA. During image extraction, binary AOHs are logically superimposed to form a stacked hologram, and then the secret image can be extracted from the stacked hologram. Different from conventional VC schemes, the proposed VC scheme converts a secret image into binary AOHs. Due to the redundancy of the generated binary AOHs, the proposed method is numerically and experimentally verified to be feasible and effective, and possesses high robustness against occlusion attacks.

Keywords: optical security, visual cryptography, binary amplitude-only holograms, modified gerchberg-saxton algorithm, occlusion attacks

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INTRODUCTION

Information security plays an important role nowadays, and has attracted much current attention (Javidi, 1997; Alfalou and Brosseau, 2009; Chen et al., 2014). One promising approach to realizing information security is optical encryption, which exploits physical properties of light (e.g., amplitude, phase, frequency and polarization) to secure information (Javidi, 1997; Alfalou and Brosseau, 2009; Chen et al., 2014). Owing to the striking properties of optical means, optical encryption opens up a new research perspective for information security in the field of data transmission and data storage. Since double random phase encoding (DRPE) was demonstrated (Refregier and Javidi, 1995), its variations have been continuously developed in different domains, e.g., Fresnel domain and fractional Fourier domain (Situ and Zhang, 2004; Wang et al., 2014). Other optical technology-based encryption schemes have been studied based on DRPE architecture, e.g., diffractive imaging and computer-generated hologram (Johnson and Brasher, 1996; Zhang and Wang, 2008; Chen et al., 2010; Xi et al., 2017). However, many optical encryption schemes use digital decryption algorithms to decode secret information. There is also a high demand of new types of cryptographic schemes, which could enable the authorized users to realize decryption of secret information in a simple way. Since visual cryptography (VC) was developed (Naor and Shamir, 1995), there are many relevant studies for its applications. The VC offers a feasible and straightforward solution for the decryption of secret information based on human vision (Naor and Shamir, 1995; Blundo et al., 2000; Hou, 2003; Wan et al., 2018; Yang et al., 2018; Jiao et al., 2019; Li et al., 2019; Jiao et al., 2020). The first visual cryptographic technique was proposed by Naor and Shamir in 1995, which broke up a secret image

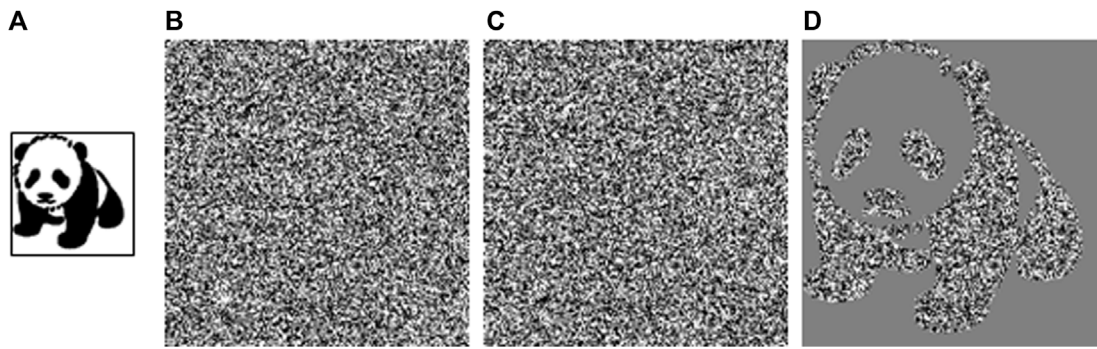


FIGURE 1 | A typical example for conventional VC scheme to encrypt a secret image into two visual key images, and then the secret image can be retrieved by overlapping these two visual key images. **(A)** A secret image. **(B)** and **(C)** Two visual key images generated by using conventional VC scheme. **(D)** A retrieved image obtained by overlapping **(B)** and **(C)**.

into multiple shares (i.e., visual key images) (Naor and Shamir, 1995). Subsequently, the secret image can be directly and visually decrypted by overlapping all the shares. In practice, these visual key images are printed onto separate transparent sheets, and then the secret image can be decoded by overlaying these sheets. It is worth mentioning that no meaningful information about the secret image can be retrieved from any one of the shares. The VC has been rapidly developed, and recent developments of VC are focused on the generation of visual key images. In the developed VC techniques, the generated visual key images can be random binary patterns, natural binary images, grayscale images and color images (Naor and Shamir, 1995; Blundo et al., 2000; Hou, 2003). Moreover, visual key images can be further designed using quick response patterns or phase holograms (Wan et al., 2018; Jiao et al., 2020). The visual key images are printed onto holographic optical elements (HOEs) or metasurface, and then the secret images can be visually decoded when these visual key images are overlapped (Yang et al., 2018; Li et al., 2019). Although VC scheme provides an effective way to realize encryption by using pixel expansion rule and decode the secret information based on human vision, conventional VC techniques could have some disadvantages. It is required that visual key images should be printed onto transparent sheets but not opaque materials (Naor and Shamir, 1995; Blundo et al., 2000; Hou, 2003). There are also some concerns with visual key images printed on HOEs or meta-devices, e.g., fabrication difficulty (Yang et al., 2018; Li et al., 2019). Furthermore, many VC techniques have a risk due to information occlusion, which usually happens in data transmission and data storage. Therefore, the potential of VC schemes has not been fully explored. It is desirable that new VC schemes can be continuously proposed to explore the potentials with enhanced robustness and reduced fabrication difficulty.

In this paper, we propose a new VC scheme using binary amplitude-only holograms (AOHs) with a modified Gerchberg-Saxton algorithm (MGSA). During the encryption, a secret image is expanded into a set of random binary patterns using pixel expansion rule, and then these random binary patterns are transformed into binary AOHs using the designed MGSA. Owing to the redundancy of binary AOHs, a high level of robustness is achieved in the proposed method to withstand

occlusion attacks. During image extraction, binary AOHs are logically superimposed to form a stacked hologram, and then the secret image can be extracted from the stacked hologram. Feasibility and effectiveness of the proposed method are fully demonstrated in numerical simulations and optical experiments. It is numerically and experimentally verified that the proposed VC scheme can achieve high robustness to withstand occlusion attacks. It is believed that the proposed method could provide a promising solution for visual cryptography.

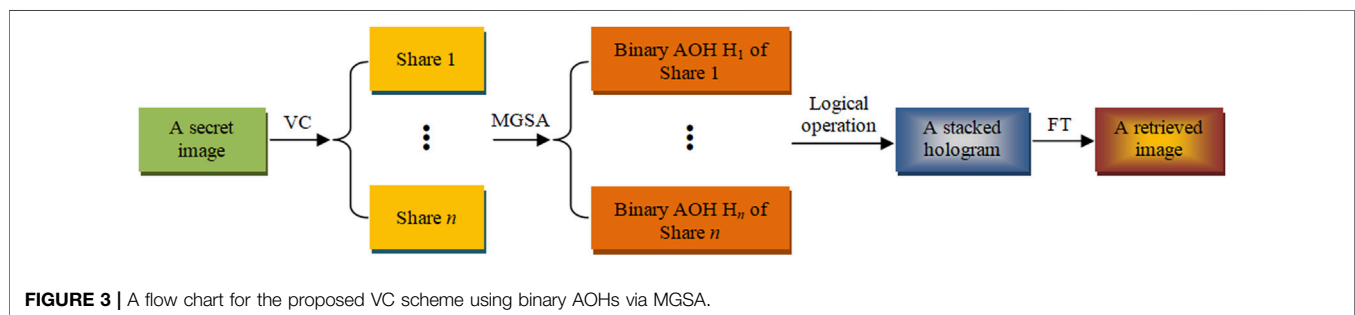
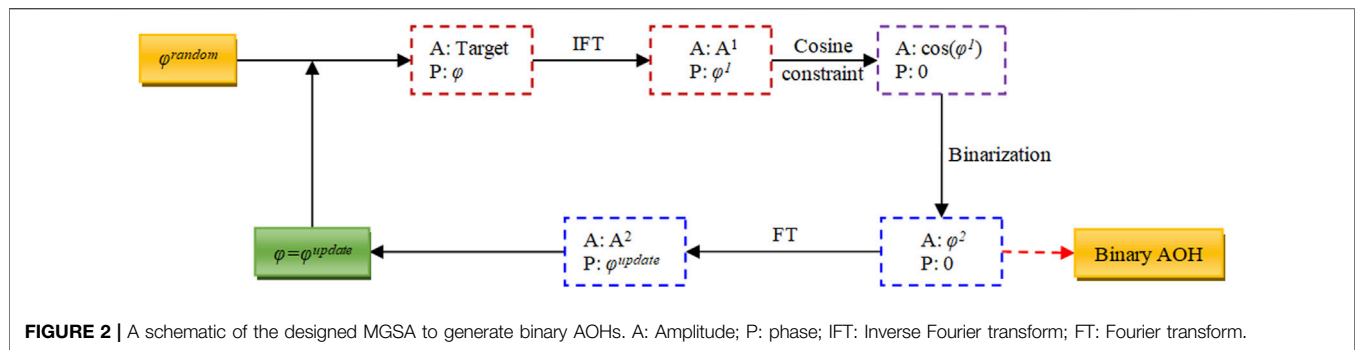
PRINCIPLES

Conventional VC Scheme

Conventional VC scheme is developed based on pixel expansion rules to expand each pixel to be a set of sub-pixels (Naor and Shamir, 1995). **Figure 1** shows a typical example of conventional VC scheme. A secret image with 64×64 pixels in **Figure 1A** is encrypted into two random binary shares with 256×256 pixels (i.e., visual key images) in **Figures 1B,C**. In this case, each pixel is expanded to be four sub-pixels. As can be seen in **Figures 1B,C**, no information about original secret image can be visually obtained from visual key images. If the two shares (i.e., visual key images) are overlapped, the secret image can be visually rendered as shown in **Figure 1D**. However, many conventional VC schemes are proven to be vulnerable to occlusion attacks owing to the dependence on expansion rules of pixels. Furthermore, high fabrication difficulty of the shares could also limit the application of VC schemes. It is desirable that VC schemes can be developed with enhanced robustness and reduced fabrication difficulty.

The Proposed VC Scheme Using Binary AOHs via MGSA

Here, the redundancy of digital holograms is applied to enhance capability of VC scheme to withstand occlusion attacks. To reduce fabrication difficulty, binary AOHs rather than phase-only holograms are integrated into VC scheme to convert visual key images into binary AOHs. **Figure 2** shows a schematic of the



proposed MGSA to generate binary AOHs (Xu et al., 2020; Zhou et al., 2021). A target image with a random phase φ^{random} is inverse Fourier transformed, and then the generated phase φ^1 is constrained by a cosine function to form an amplitude-only pattern $\cos(\varphi^1)$. To reduce complexity of amplitude retrieval, amplitude-only pattern $\cos(\varphi^1)$ is binarized to generate a binary AOH φ^2 . With the usage of Fourier transform to the binary AOH φ^2 , new complex amplitude can be obtained and an updated phase φ^{update} is correspondingly retrieved. Then, the updated phase φ^{update} together with the target image is inverse Fourier transformed in a new iteration. When a preset condition is satisfied, the final binary AOH is used as an optimal binary AOH of the target image. To solve the twin-image problem, the target image can be placed at the upper left corner. Since the redundancy of digital holograms provides high robustness, the generated binary AOHs can be used for secret-image retrieval, e.g., under occlusion attacks (Gerritsen et al., 1968; Kreis, 2005; Schnars and Jüptner, 2005; Hwang et al., 2009; Xu et al., 2017; Xu et al., 2020; Zhou et al., 2021).

Owing to the generation of binary AOHs to withstand occlusion attacks, a new VC scheme is proposed by integrating binary AOHs into VC scheme. **Figure 3** shows a flow chart for the proposed VC scheme using binary AOHs with the MGSA. During the encryption, a secret image is encoded into a set of visual key images (i.e., Share 1, ..., Share n) using conventional VC scheme. Then, the visual key images are further processed by the designed MGSA, yielding n binary AOHs (i.e., H_1, \dots, H_n). The binary AOHs are used as new shares to be delivered to the authorized users in the proposed VC scheme. During image extraction, a logical operation (e.g., AND, OR, or XOR) is implemented on these new shares (i.e., binary AOHs) to

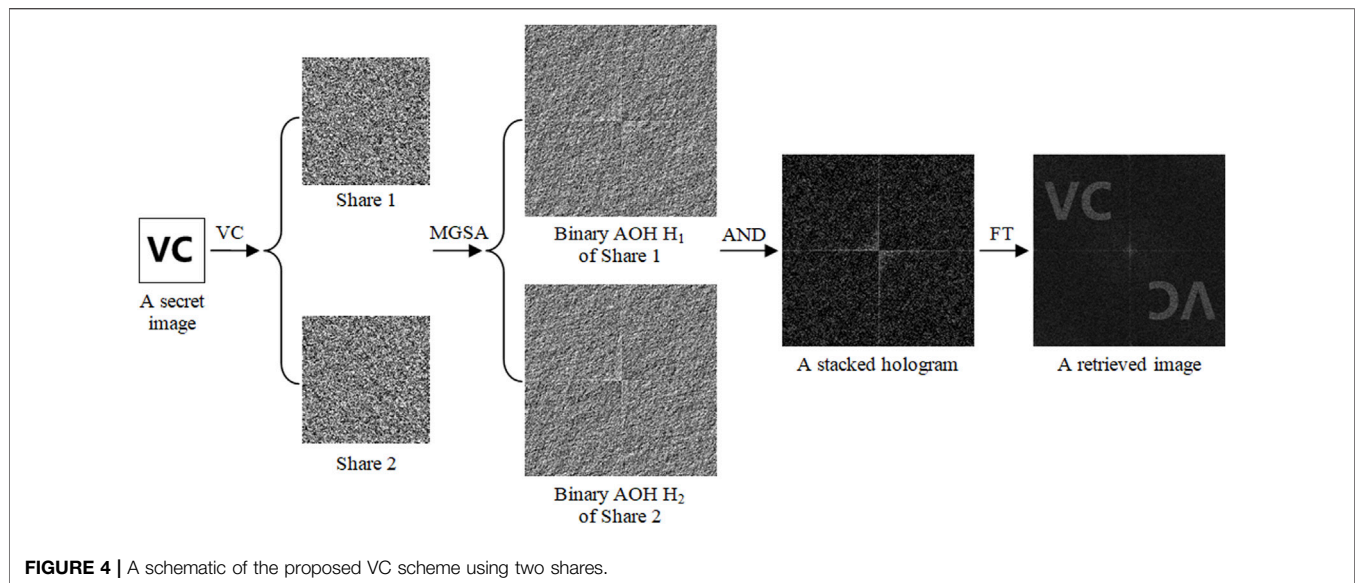
generate a stacked hologram. Finally, an image can be retrieved from the stacked hologram to visually render information of the secret image.

RESULTS AND DISCUSSION

Simulation Results and Discussion

To verify feasibility and effectiveness of the proposed VC scheme, numerical simulation is first conducted. **Figure 4** shows a schematic of the proposed VC scheme. For the sake of brevity, encoding a secret image into only two shares is conducted. In addition, an AND operation is adopted to illustrate the proposed VC scheme. It is worth noting that the generated stacked hologram is still a binary AOH when an AND operation is applied. By using conventional VC algorithm, a secret image with 64×64 pixels is encoded into two visual key images (i.e., Share 1 and Share 2 with 256×256 pixels). Then, these two shares are converted to binary AOHs (i.e., H_1 and H_2) to further enhance the robustness. Here, size of binary AOHs is 512×512 pixels to avoid the overlapping with twin image. To extract the secret image, the two binary AOHs are collected and processed by an AND operation to generate a stacked hologram with 512×512 pixels. Finally, an image can be retrieved from the stacked hologram to visually render information of the secret image.

By using the proposed VC scheme, each secret image can be encoded into a pair of binary AOHs, and then the two binary AOHs are delivered to two authorized users. When the pair of binary AOHs is collected and processed by an AND operation, a stacked hologram can be generated. Then, the generated stacked



hologram can be further used for the retrieval of the secret image. **Figure 5** shows several secret images (64×64 pixels) encoded by using the proposed VC scheme into binary AOHs (512×512 pixels). As can be seen in **Figures 5A,B,E,F,I,J**, three secret images have been respectively converted to binary AOHs, which do not visually render any information about secret images. To extract the secret images, an AND operation is implemented to each pair of binary AOHs (i.e., **Figures 5A,B**, **Figures 5E,F** and **Figures 5I,J**) to generate the stacked holograms as respectively shown in **Figures 5C,G,K**. Finally, the corresponding images are retrieved from the stacked holograms by using Fourier transform, as shown in **Figures 5D,H,L**. It is illustrated that the retrieved images can clearly render information of the secret images based on human vision. To quantitatively evaluate the retrieved images, peak signal-to-noise ratio (PSNR) is calculated. Since twin images are generated in the retrieved images as shown in **Figures 5D,H,L**, only area of interest (i.e., the top left corner with 256×256 pixels) is used. Meanwhile, original secret images are resized from 64×64 pixels to 256×256 pixels to calculate PSNR. PSNR values of the retrieved images in **Figures 5D,H,L** are 10.30, 14.08 and 14.88 dB, respectively.

The proposed method uses binary AOHs to enhance robustness of VC schemes. When Fourier transform is directly **Figure 6** applied to retrieve the shares from binary AOHs, it is also studied whether secret images can be extracted by overlapping the retrieved shares without the usage of logical operations. For a comparison, original secret images used in **Figure 6** are the same as those used in **Figure 5**. **Figures 6A,B,F,G,K,L** show three pairs of binary AOHs, and the images in **Figures 6C,D,H,I,M,N** are obtained by directly using Fourier transform to the images in **Figures 6A,B,F,G,K,L**, respectively. Then, the image retrieval is conducted by overlapping **Figures 6C,D,H,I,M,N**, respectively. As can be seen in **Figures 6E,J,O**, no information about secret images can be visually rendered in the retrieved images without

the usage of logical operations. Therefore, it is compulsory for the proposed VC scheme to use logical operations for secret-image retrieval. It is also demonstrated that the proposed VC scheme is feasible and effective.

Experimental Results and Discussion

Optical experiments are also conducted to demonstrate feasibility and effectiveness of the proposed VC scheme. **Figure 7** shows a schematic experimental setup for extracting secret images from the stacked holograms. He-Ne laser beam (Newport, R-30993) with wavelength of 633.0 nm is expanded and collimated. The collimated optical wave is reflected by a mirror to illuminate an amplitude-only spatial light modulator (SLM, Holoeye LC-R720). The stacked holograms are sequentially embedded into the SLM. Then, the modulated wave propagates through a lens ($f = 10.0$ cm), and is recorded by a CCD camera with $1,280 \times 1,024$ pixels and pixel size of $5.30 \mu\text{m}$ (Thorlabs, DCC3240M). When the pairs of binary AOHs are collected, stacked holograms are generated by applying an AND operation. The secret images are experimentally retrieved from the stacked holograms. **Figures 8A–D** show the stacked holograms generated by using binary AOHs, and **Figures 8E–H** show the corresponding images recorded by CCD camera. Information of the secret images is visually recognized, which is sufficient in optical encryption field. To quantitatively evaluate quality of the retrieved images in optical experiments, visibility is used and calculated by (Kellock et al., 2011; Ghaleh et al., 2018)

$$\text{Visibility} = \frac{\langle I_s \rangle - \langle I_b \rangle}{\langle I_s \rangle + \langle I_b \rangle} \quad (1)$$

where I_s and I_b respectively denote intensity in the signal part and background part, and average intensity is respectively denoted as $\langle I_s \rangle$ and $\langle I_b \rangle$. Visibility of the retrieved images in **Figures 8E–H** is 0.17, 0.16, 0.19 and 0.22, respectively.

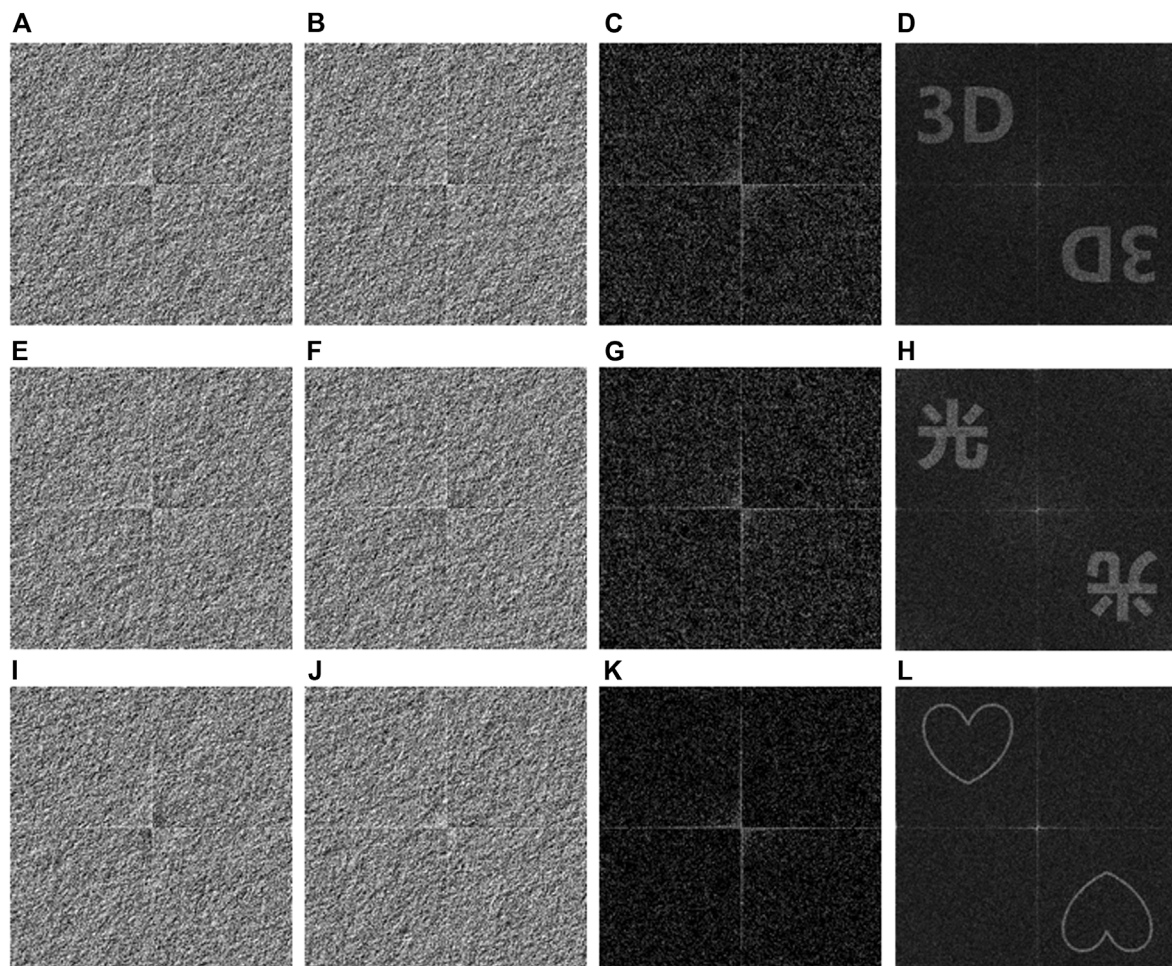


FIGURE 5 | Typical examples of the proposed VC scheme. (A, E, I) Binary AOHs H_1 . (B, F, J) Binary AOHs H_2 . (C, G, K) Stacked holograms respectively generated by an AND operation between (A) and (B) (E) and (F), and (I) and (J). (D, H, L). The retrieved images obtained by respectively using Fourier transform to (C, G, K).

In optical experiments, Fourier transform of binary AOHs for image retrieval without the usage of logical operations is also investigated. **Figures 9A,B** show two binary AOHs corresponding to a secret image 'EIE'. Then, binary AOHs in **Figures 9A,B** are experimentally Fourier transformed to retrieve the shares as shown in **Figures 9C,D**, respectively. Finally, the retrieved shares are overlapped to extract the secret image, and the retrieved image is shown in **Figure 9E**. As can be seen in **Figure 9E**, the retrieved image cannot visually provide any information about secret image 'EIE'. It is experimentally verified that secret images cannot be retrieved without the usage of logical operations, and Fourier transform of binary AOHs will not result in information leakage.

Since occlusion of binary AOHs could happen during data transmission or data storage, occlusion attacks have also been experimentally conducted to demonstrate robustness of the proposed VC scheme. Here, a secret image 'EIE' is used and tested, and its corresponding binary AOHs are shown in

Figures 9A,B. **Figures 10A–E** show the stacked holograms generated by occlusion contamination of the first binary AOH H_1 in **Figure 9A**, when the second binary AOH H_2 in **Figure 9B** remains unchanged. When the first binary AOH is respectively occluded with 3.81% (100×100 pixels), 15.26% (200×200 pixels), 34.33% (300×300 pixels), 61.04% (400×400 pixels) and 77.25% (450×450 pixels), the generated stacked holograms are correspondingly occluded at the top left corner as shown in **Figures 10A–E**. The secret images are experimentally retrieved and shown in **Figures 10F–J**. When occlusion percentage of the first binary AOH is lower than 61.04%, the retrieved images can still be recognized as shown in **Figures 10F–I**. **Figure 11** shows the performance of the proposed VC scheme, when the second binary AOH in **Figure 9B** is occluded from 3.81 to 77.25% and the first binary AOH in **Figure 9A** remains unchanged. In this case, the corresponding stacked holograms are generated and shown in **Figures 11A–E**. It is also demonstrated that information of the secret image can be extracted when occlusion percentage of

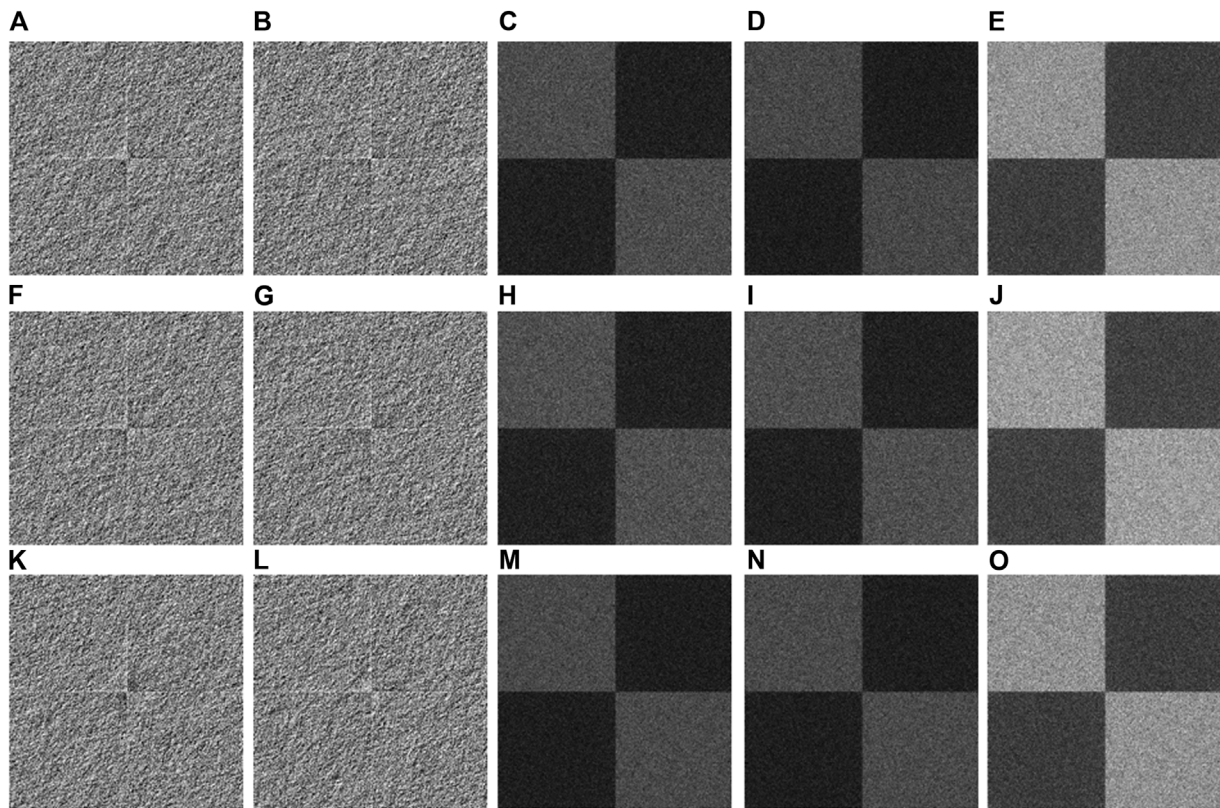


FIGURE 6 | Secret images retrieved without the usage of logical operations. (A, F, K) Binary AOHs H_1 . (B, G, L) Binary AOHs H_2 . (C, H, M) Share 1 respectively extracted from (A, F, K). (D, I, N) Share 2 respectively extracted from (B, G, L). (E, J, O) The images obtained by respectively overlapping (C) and (D), (H) and (I), and (M) and (N).

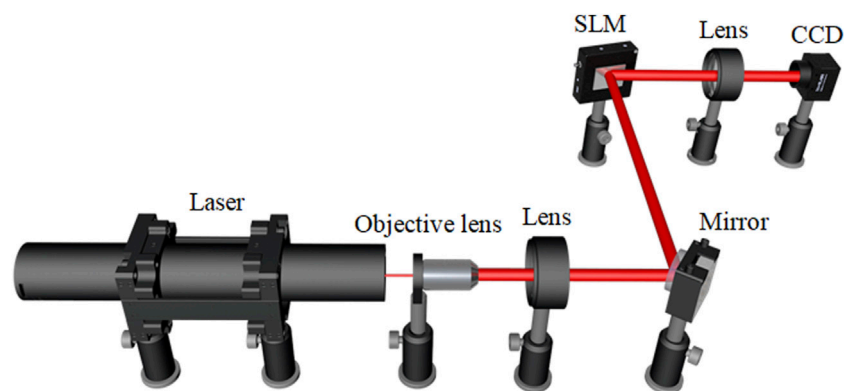


FIGURE 7 | A schematic optical setup for retrieving secret images from the stacked holograms. CCD: charge-coupled device.

the second binary AOH is lower than 61.04%, as shown in Figures 11F–I.

Figure 12 shows the effect of occlusion attacks on visibility of the retrieved images in optical experiments. In Figure 12A, only the first binary AOH in Figure 9A is occluded with the increased percentage from 0.000381 to 95.37%, and the occluded region is

from the upper left to the lower right. As can be seen in Figure 12A, there is a downward trend of visibility values from 0.21 to 0.06. The same trend is found for the occlusion attack on the second binary AOH, as shown in Figure 12B. Although quality of the retrieved images decreases with the increased occlusion percentage, effective information of the

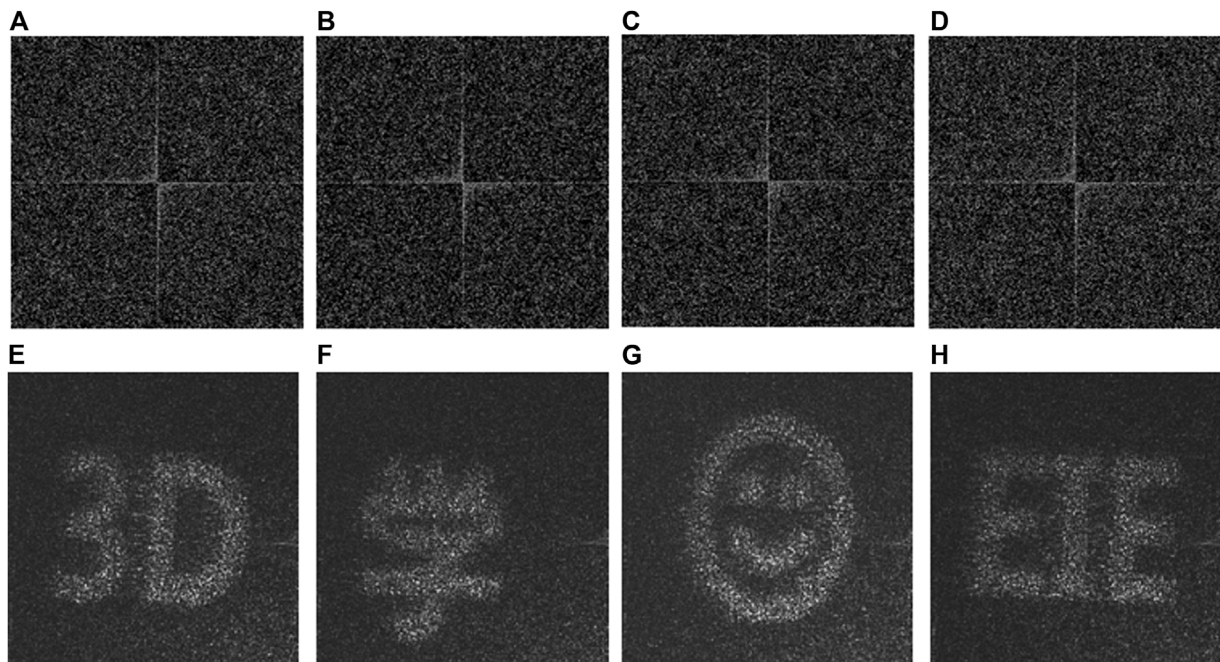


FIGURE 8 | The four images retrieved in optical experiments. **(A-D)** The stacked holograms. **(E-H)** The retrieved images respectively corresponding to **(A-D)**.

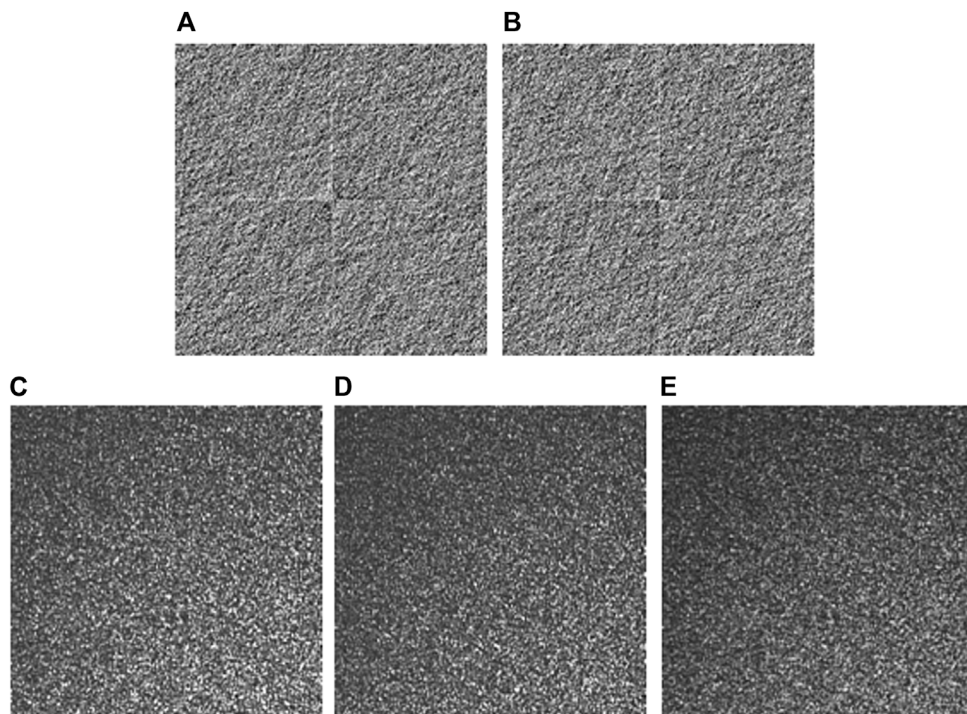


FIGURE 9 | The proposed VC scheme without the usage of logical operations. **(A)** and **(B)** Binary AOHs H_1 , and H_2 for a secret image 'EIE'. **(C)** and **(D)** The retrieved shares experimentally obtained respectively using Fourier transform to **(A)** and **(B)**. **(E)** A retrieved image by overlapping **(C)** and **(D)**.

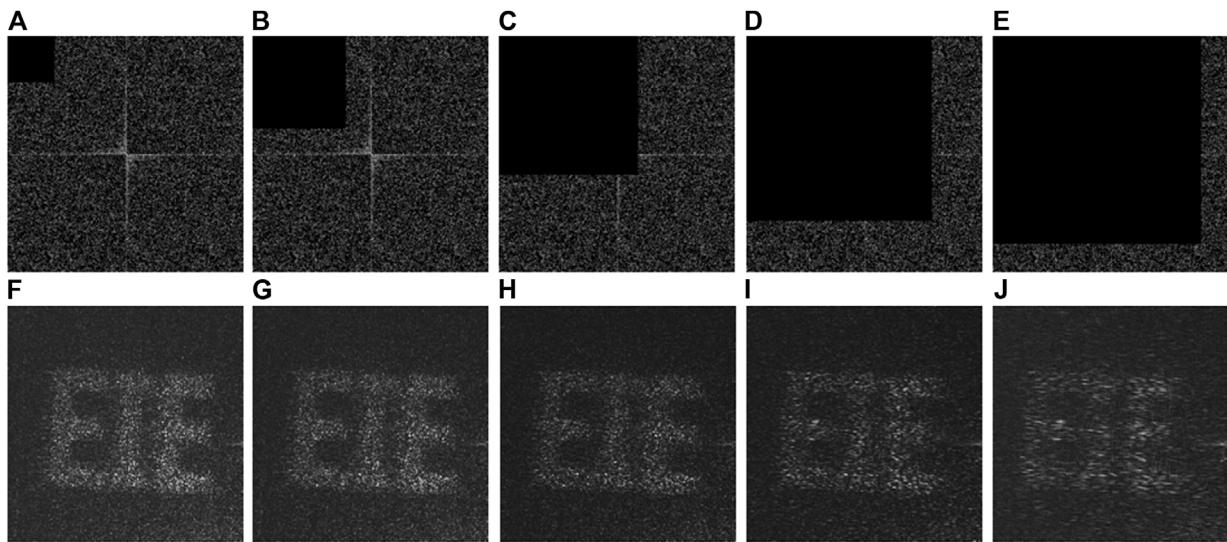


FIGURE 10 | Occlusion contamination on the first binary AOH in **Figure 9A** when the second binary AOH in **Figure 9B** remains unchanged in optical experiments. **(A-E)** The stacked holograms generated when occlusion percentage of 3.81, 15.26, 34.33, 61.04 and 77.25% is respectively used. **(F-J)** The retrieved images experimentally obtained respectively corresponding to **(A-E)**.

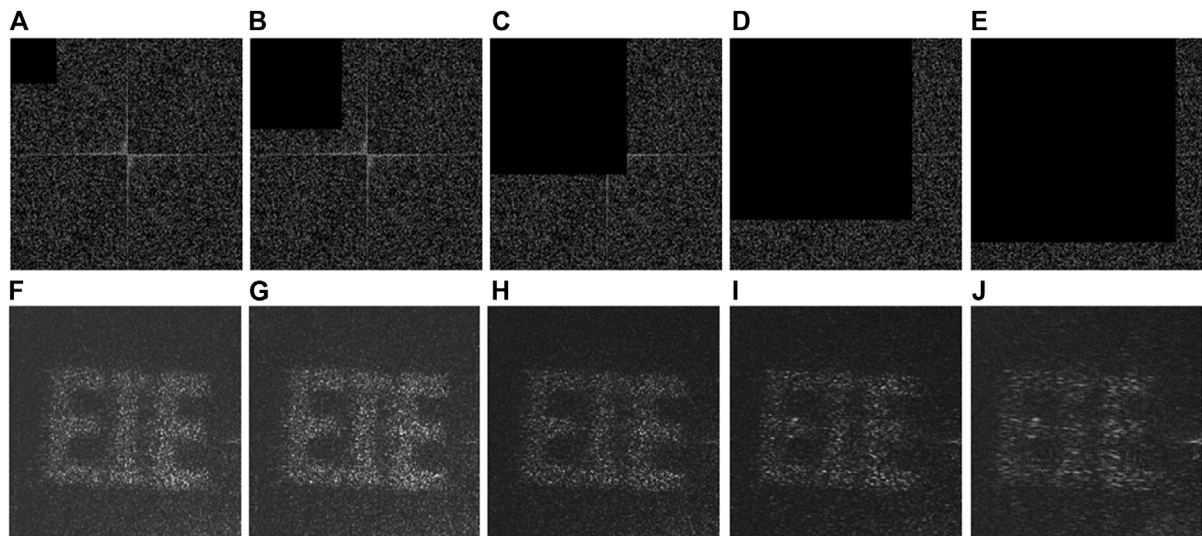


FIGURE 11 | Occlusion contamination on the second binary AOH in **Figure 9B** when the first binary AOH in **Figure 9A** remains unchanged in optical experiments. **(A-E)** The stacked holograms generated when occlusion percentage of 3.81, 15.26, 34.33, 61.04 and 77.25% is respectively used. **(F-J)** The retrieved images experimentally obtained respectively corresponding to **(A-E)**.

secret images can still be visually recognized from the retrieved images, as can be seen in **Figures 10F–J** and **11F–J**. Therefore, it is experimentally verified that the proposed VC scheme possesses high robustness against occlusion attacks.

In practice, both binary AOHs could be occluded at the same time. Optical experiments are further conducted to demonstrate performance of the proposed VC scheme when

occlusion attacks on the two binary AOHs happen, and experimental results are shown in **Figures 13A–H**. For instance, the first binary AOH is occluded by 3.81% as shown in **Figure 13A**, and the second binary AOH is occluded by 46.73% (350×350 pixels) as shown in **Figure 13B**. By using an AND operation between **Figures 13A,B**, a stacked hologram is obtained and shown in

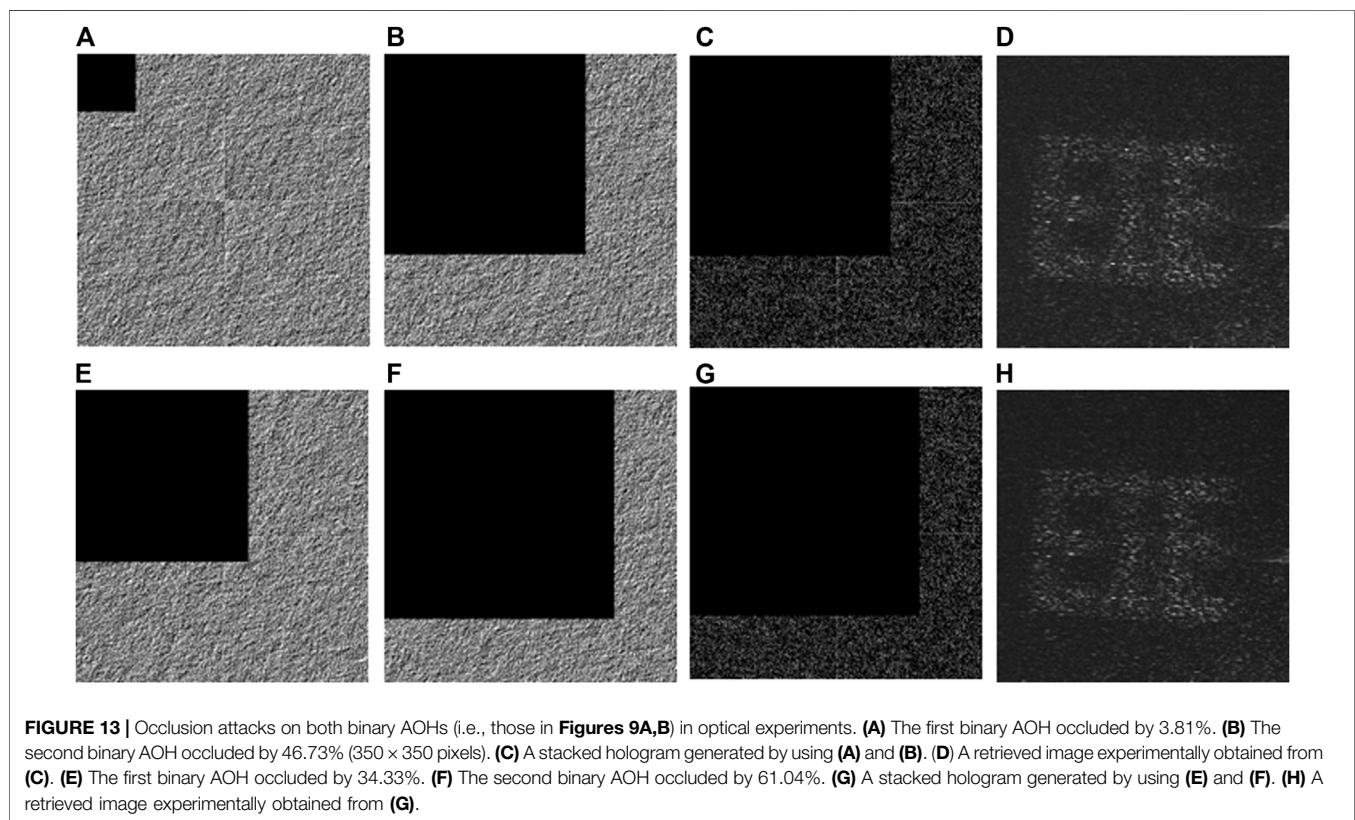
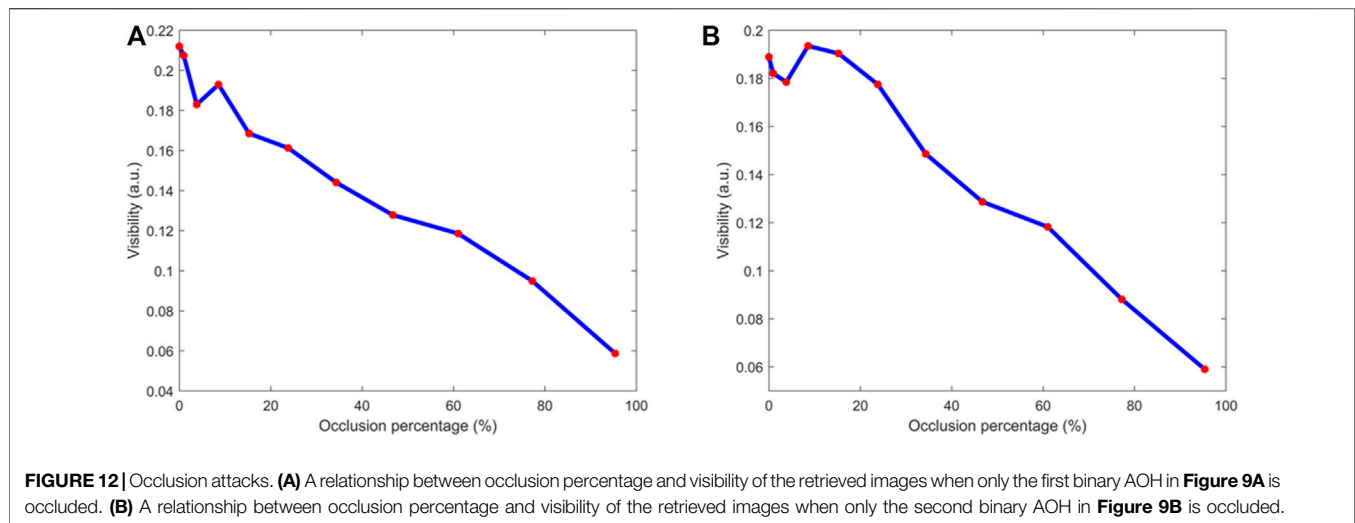


Figure 13C. Therefore, an image can be experimentally retrieved and shown in **Figure 13D** to visually render information of the secret image. When occlusion percentage for the first binary AOH is 34.33% and that for the second binary AOH is 61.04%, a retrieved image still can visually render information of secret image as shown in **Figure 13H**. It is experimentally verified that the proposed VC scheme is robust against occlusion attacks.

CONCLUSION

In this paper, a new VC scheme has been proposed by using binary AOHs with the MGSA. During the encryption, a secret image can be divided into a group of unrecognizable and mutually-unrelated shares by using conventional VC schemes, and then the generated shares are further converted to binary AOHs using the MGSA. During image extraction, binary AOHs are logically

superimposed to form a stacked hologram, and then an image can be directly extracted from the stacked hologram to visually render information of the secret image. Numerical simulations and optical experiments have been conducted to demonstrate validity of the proposed VC scheme. Owing to the usage of binary AOHs, the proposed VC scheme can reduce fabrication difficulty when metasurface devices or other materials are used, and is also able to withstand occlusion attacks and noise contamination.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

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AUTHOR CONTRIBUTIONS

LZ conducted data recording and validation, developed methodology, and wrote original draft. YX conducted data validation, and reviewed and edited the writing. ZP reviewed and edited the writing. YC reviewed and edited the writing. WC developed methodology, reviewed and edited the writing, and supervised research project.

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Speckle Noise Suppression Algorithm of Holographic Display Based on Spatial Light Modulator

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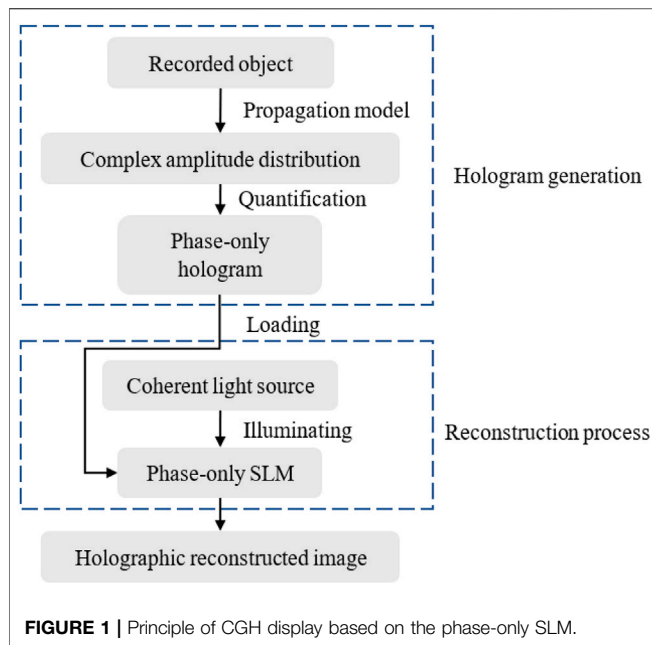
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Holography has emerged as one of the most attractive three-dimensional display technologies. With the technological development of computer science and coherent light source, the computer-generated holography has been applied in many fields. However, the speckle noise of the holographic reconstructed image seriously affects the viewing experience. In this paper, the cause of speckle noise generation in holographic display is introduced. Then, discussions about the speckle noise suppression methods are provided. The discussions are categorized into iterative and non-iterative approaches. Besides, we also introduce other speckle noise suppression techniques which are proposed from the perspective of light coherence, human visual system and optical system. Finally, the prospects of different types of approaches are summarized.

Keywords: speckle noise, computer-generated holography, holographic display, three-dimensional display, speckle noise suppression method

INTRODUCTION

Holography was first proposed by D. Gabor in 1948 (Gabor, 1948). It can record and reconstruct the entire wave-front information of the object. In 1965, A.W. Roman successfully made the first computer-generated hologram (CGH) and the theoretical foundation of CGH was established (Brown and Lohmann, 1966). In this period, the researchers mainly focused on the hologram encoding methods, and the main device for producing holograms was a plotter. Then, Lohmann proposed three different forms of circuitous phase-encoded holograms (Brown and Lohmann, 1969). Subsequently, Paris applied the fast Fourier transform (FFT) algorithm to speed up the calculation of the Fourier hologram, which greatly shortened the calculation time (Lohmann and Paris, 1967). After the 1980s, various types of spatial light modulators (SLMs) and new recording materials were developed (Zhan et al., 2020), which created new opportunities for holographic display. Many researchers gradually paid attention to the display effects of the different types of SLMs, and the researchers focused on the various hologram generation algorithms. So, different methods were developed, such as the look-up table method (Lucente, 1993), stereo holography method (Yamaguchi et al., 1992) and integrated holography method (St. Hilaire et al., 1992). Since 2000, liquid crystal on silicon (LCoS) has become a new research hotspot. The LCoS uses electrical signals to control the deflection of liquid crystal molecules for achieving modulation of the incident light phase (Chen et al., 2018). Then, the focus of researches was to improve the calculation speed of holograms by using GPU acceleration and optimizing physical models based on the LCoS (Nishitsuji et al., 2018; Lin et al., 2021). In addition, an increasing number of researchers paid attention to the field of view (FOV) and image quality of the holographic display (Maimone et al., 2017; Shi et al., 2017; Jang et al., 2018; Wang et al., 2020a; Zhao et al., 2020; Wu and Zhang, 2021; Yoo et al., 2021).



Although CGH has many advantages, there still exists many problems needed to be solved in the display field. One of the key issues is the speckle noise because it seriously affects the viewing experience. It is generally considered that the speckle noise in holographic displays is generated from the quantization error and the spatial coherence. In the past 20 years, more and more researchers have focused on the method for speckle noise suppression. Recently, the requirements to improve the quality of reconstructed images become more urgent. There are a large variety of methods for speckle noise reduction.

This paper gives the overview on the speckle noise suppression of holographic display based on spatial light modulator, and the main body is divided into the following sections. Firstly, the reason for speckle noise in CGH is described. Meanwhile, three criteria for quantizing the effect of speckle noise in the holographic reconstruction are provided. Besides, we introduce the speckle noise suppression process by considering various strategies. The described speckle noise reduction methods are mainly categorized into iterative method and non-iterative methods. In addition, other speckle noise suppression techniques are also introduced, which are proposed from the perspective of light coherence, human visual system and optical system. Finally, the prospects of these methods are summarized.

SPECKLE NOISE PROBLEM IN HOLOGRAPHIC DISPLAY

Since the commercial SLMs are either phase-only type or amplitude-only type, the holograms need to be encoded into phase-only or amplitude-only type to fit the type of SLM. Among them, the phase-only SLM has higher diffraction efficiency, so it is currently widely used in the holographic display. The principle of CGH display based on the phase-only SLM is shown in **Figure 1**.

In the hologram generation process, the complex amplitude distribution of the recorded object can be obtained by using the point-based propagation model. Then, the phase-only hologram can be generated by encoding the complex amplitude distribution of the recorded object. The encoding process can also be regarded as a quantization process, which is the sampling and approximation of the complex amplitude distribution. In the reconstruction process, the phase-only SLM is needed to realize the optical reconstruction of the CGH. By loading the phase-only hologram on the phase-only SLM and illuminating it with the coherent light source, the image can be reconstructed.

In general, the speckle noise in holographic display is considered generated from the perspective of software and hardware. The software and hardware refer to the computer generation process of the hologram and the optical reconstruction process, respectively. Speckle noise results from the interference. In encoding process, the approximate sampling is regarded as the quantization error of the holographic reconstruction and it is inevitable. Due to the quantization error, the speckle noise is generated by the uncontrolled coherence effects of the reconstructed image. When the phase in the reconstructed image is a random distribution, the reconstructed image points will disturb each other and produce a randomly changed intensity because of the phase difference. In order to simulate the scattered light on the surface of the recorded object, the random phase is added to the recorded object in hologram algorithms. As shown in **Figure 2**, the recorded object consists of parts with low frequencies and high spatial frequencies. For a recorded object without random phase, only high-frequency and part of low-frequency of the information can be recorded on the CGH, while the edge of the low-frequency cannot be recorded. Although the random phase is a generator to ensure that the recorded object information spread completely over the CGH, it is also the main cause of the speckle noise generation (Shimobaba and Ito, 2015).

Moreover, during the optical holographic reconstruction, coherent light sources and optical devices are also the causes of the speckle noise generation. The reconstructed points exist in the form of Airy disks because of the limited aperture of the optical elements and the diffraction effects, as shown in **Figure 3**. The speckle noise becomes more serious with increasing of the superposition area. The optical reconstruction process is imperfect since the dust, scratches in the lens and aberrations can produce additional speckle noises. Different from the quantization error, this kind of error caused by the hardware is called systematic error.

Finally, to assess the speckle noise suppression effect, three criteria can be used to investigate: the peak signal-to-noise ratio (PSNR), structural similarity (SSIM) index and speckle contrast (SC). Besides, the foveal perception, wirtinger flow method and subjective quality evaluation can also be used for evaluation (Bolek and Makowski, 2019; Chakravarthula et al., 2021). PSNR is the most commonly used objective measurement method for evaluating image quality, which can be defined as follows:

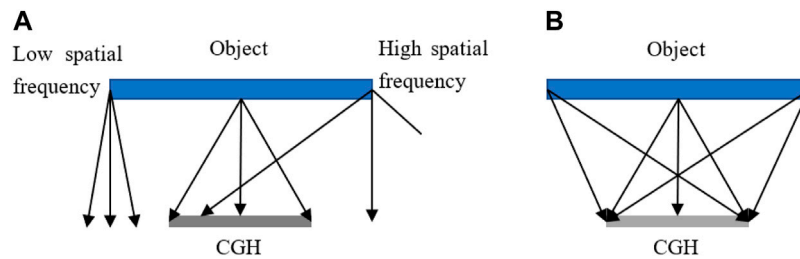


FIGURE 2 | Propagation model for the hologram generation **(A)** without random phase **(B)** with random phase.

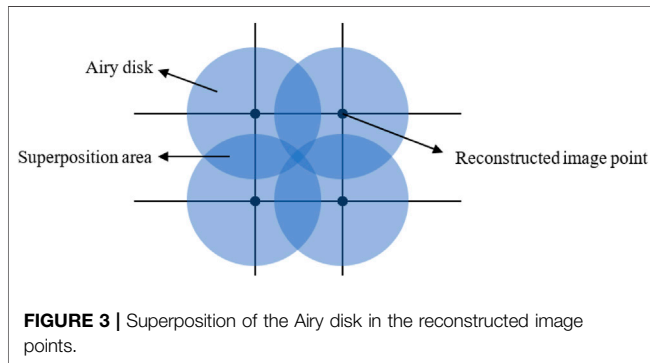


FIGURE 3 | Superposition of the Airy disk in the reconstructed image points.

$$PSNR = 20 \cdot \lg \left(\frac{255}{\sqrt{\frac{1}{mn} \sum_{i=0}^{m-1} \sum_{j=0}^{n-1} \|X(i, j) - Y(i, j)\|^2}} \right), \quad (1)$$

where X and Y represent the object image and the reconstructed image, respectively, m and n represent the resolutions. The unit of PSNR is 1dB. A higher value of the PSNR usually means the effect of speckle noise suppression is better.

SSIM is also a very important parameter for evaluating the reconstructed image quality. For the holographic reconstructed image x and recorded object y , the SSIM of the two images can be expressed as follows:

$$SSIM(x, y) = \frac{(2\mu_x\mu_y + c_1)(2\sigma_{xy} + c_2)}{(\mu_x^2 + \mu_y^2 + c_1)(\sigma_x^2 + \sigma_y^2 + c_2)}, \quad (2)$$

where μ_x and μ_y are the average of image x and image y , respectively. σ_x^2 and σ_y^2 are the variance of image x and image y , respectively. σ_{xy} is the covariance between images x and y . $c_1=(k_1L)^2$ and $c_2=(k_2L)^2$ are two constants used to maintain stability and L is the dynamic range of pixel values, where K_1 equals to 0.01 and K_2 equals to 0.02 (Duan et al., 2019).

SC is the simplest parameter to evaluate the speckle noise of the reconstructed image, and it satisfies the following equation:

$$C = \frac{\sigma}{\bar{I}}, \quad (3)$$

where σ and \bar{I} represent the standard deviation of intensity and the average of intensity, respectively. The lower SC indicates the less speckle noise in the reconstructed image.

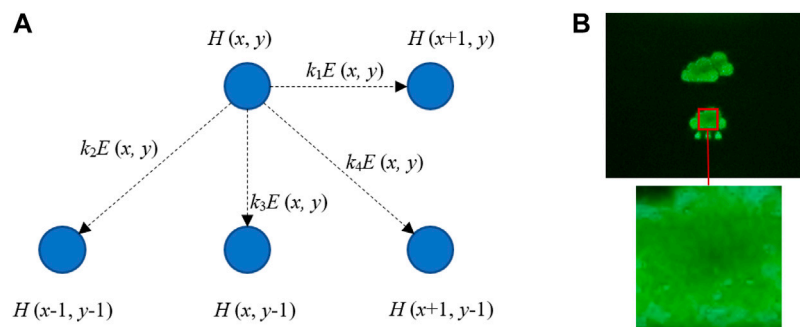
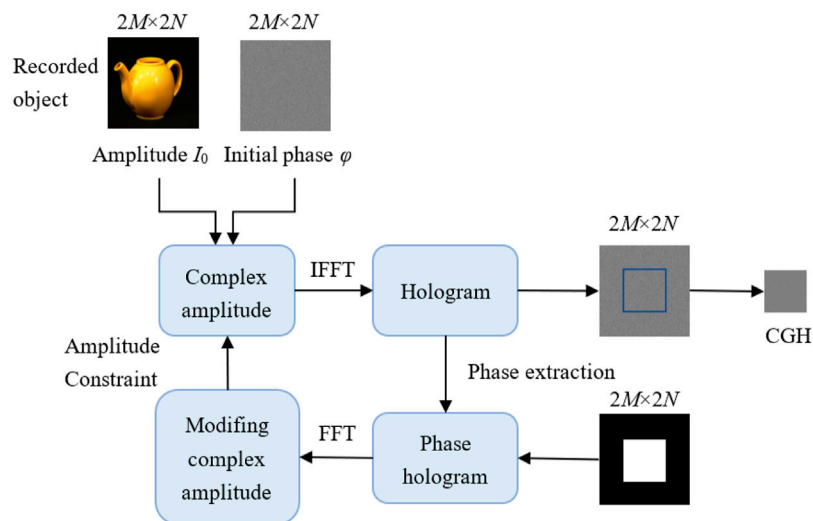
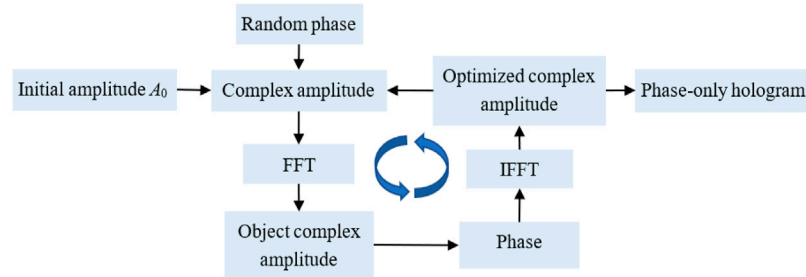
METHODS OF SPECKLE NOISE SUPPRESSION

There are many kinds of methods for suppressing the speckle noise, and they can be discussed based on the hologram generation process and the reconstruction process. Based on the hologram generation process, the CGH algorithm can be divided into two categories: iterative and non-iterative methods. Based on the reconstruction process, we also introduce other speckle noise suppression techniques which are proposed from the perspective of light coherence, human visual system and optical system.

Iterative Methods

Among the iterative methods that can generate phase-only holograms, the iterative Fourier transform algorithm is a representative method. The characteristic of this type of algorithm is the iterative propagation in two planes by using the Fourier transform (Bu et al., 2021). The most famous and mostly used method is the Gerchberg-Saxton (GS) algorithm (Gerchberg and Saxton, 1972). As shown in Figure 4, the amplitude constraint is imposed on the object plane and hologram plane, then the phase is continuously iterated between the hologram plane and object plane. After several iterations, the phase distribution on the hologram plane converges to an optimal value. Therefore, the amplitude information of the object can be encoded into the phase-only hologram. Moreover, it is also possible to use the Fresnel transform instead of the Fourier transform to simulate light field propagation.

Many improved CGH algorithms have been developed based on the GS algorithm. The GS algorithm with weight coefficients (GSWC) has been proposed to improve the convergence rate and suppress the speckle noise (Kuzmenko et al., 2011; Wu et al., 2021a). The GSWC algorithm replaces the original constraint with the weight coefficients multiplied by the amplitude of the recorded object. The quality of the reconstructed image using the GSWC algorithm is improved by 75% compared with the GS algorithm. Moreover, the uniform random phase generator used



Different from the iteration between the object plane and the hologram plane, the error diffusion (ED) method is another type

of iterative algorithm, which iterates between the pixels of the hologram (Barnard et al., 1989). As shown in **Figure 6**, H represents a pixel of the CGH, (x, y) represents the pixel coordinate point, E and k represent the quantization error of the pixel point and the weight coefficient, respectively. Since the ED method diffuses the quantization error to adjacent pixels of the phase-only hologram and homogenizes them, the speckle noise generated by the quantization error can be suppressed. However, the calculation time of the ED method increases proportionally with the resolution of the hologram.

Based on the ED method, some researchers have introduced two enhanced methods which are known as the localized error diffusion and redistribution (LERDR) and bidirectional error diffusion (BERD). In these methods, the conversion of a complex Fresnel hologram to a phase-only hologram can be conducted in a parallel manner (Ysang et al., 2014). Compared with the localized error diffusion (LERD) algorithm, the PSNR of the reconstructed image by using LERDR and BERD algorithm is nearly 10 dB higher on average. Besides, the combination of the ED and the digital lens has been proposed (Chang et al., 2015; Wang et al., 2020b; Jiao et al., 2020; Li et al., 2020). The suppression effect of speckle noise can be improved by more than 60% on average compared with the ED method.

Recently, with the rapid development of the camera and computation, the camera with an algorithm can be used to optimize the phase distribution of the CGH. Camera-in-the-loop (CITL) holographic algorithm has been proposed to reduce the gap between the numerical simulation and optical reconstruction, since the optical system is imperfect. However, the direct current (DC) noise from the SLM cannot be eliminated in CITL on-axis setup. To solve this problem, a holographic display system has been proposed by using a new CITL optimization and two phase-only SLM (Choi et al., 2021a). This display system is inspired by the design of Michelson interferometers, so that called Michelson holography (MH). Compared with the double phase-amplitude coding (DPAC) method, the PSNR of the reconstructed image by using MH method is about 6 dB higher in the optical experiment. Nonetheless, the calculation time of the MH method is too much for the multi-depth recorded object. To solve this problem, a multi-depth holographic display method has been proposed by using stochastic gradient descent (SGD) with complex loss function (Chen et al., 2021b). For the recorded object with 20 depth layers, the calculation time of the proposed method is faster 94.36% than that of the SGD based on amplitude loss method.

Non-Iterative Methods

As non-iterative methods, the multi-random phase method and multiple fractional Fourier transform method have been used for reducing the speckle noise (Jun et al., 1995; Zheng et al., 2009; Wang et al., 2020c). These methods are effective in speckle noise suppression, but they are time-consuming. To reduce the calculation time and suppress the speckle noise at the same time, the random phase-free method has been proposed by multiplying the recorded object with the virtual convergence light (Shimobaba and Ito, 2015; Nagahama et al., 2019). As

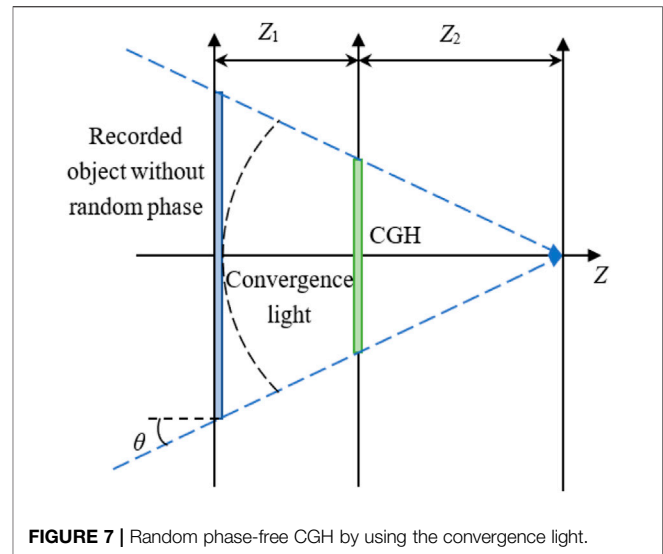
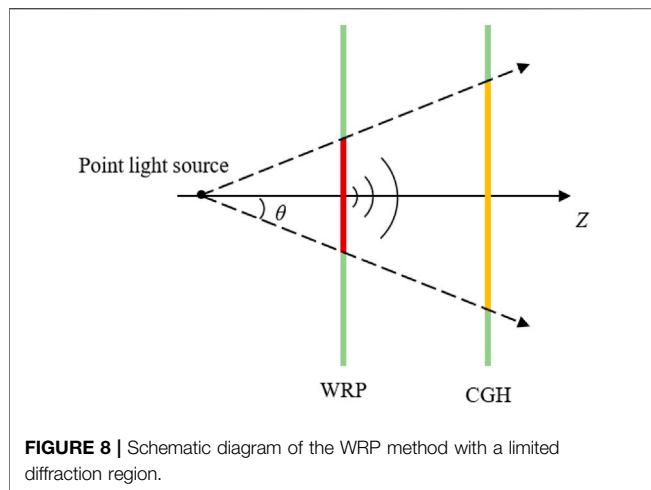


FIGURE 7 | Random phase-free CGH by using the convergence light.

shown in **Figure 7**, the recorded object multiplies with the virtual convergence light instead of the random phase. The recorded object information is completely diffused in the CGH by using the virtual convergence light. The virtual convergence light also avoids the speckle noise generated by the random phase. It should be noted that the incident angle θ of the convergence light must be smaller than the maximum diffraction angle of the SLM to avoid the aliasing error. Compared with the random phase method, the PSNR of the numerically reconstructed image using the random-phase-free method is nearly 30 dB higher.

Since the random phase could lead to the excessive diffusion of information and degrades the image quality, the gradient-limited random phase (GL-RP) addition method and the limited-random-phase (L-RP) time average method have been developed to avoid excessive diffusion of the object information (Ma et al., 2017; Zhao et al., 2019). In the numerical simulation of the GL-RP method, the PSNR and SSIM of the reconstructed image increase by 10 and 13%, respectively. The L-RP method finds that the random phase of the recorded object has an appropriate range, which is limited to 1.2π – 1.5π . When the limited random phase is applied in the hologram generation process, the speckle noise can be reduced significantly.

The pixel separation method is also one of the most famous methods. The recorded object is separated into different point groups by pixel separation. For each point group, the sub-CGHs with independent initial random phases are generated. Then, the speckle noise is reduced by the time average (Mori et al., 2014; Liu et al., 2019; Masaya and Yuji, 2019). Compared with the non-separation method, the speckle contrast of the reconstructed image by using these methods is reduced by more than 80%. Therefore, a combination of the pixel separation method and the time average can suppress the speckle noise effectively. However, the high-refresh SLM is necessary for these methods since the time average reduces frame rates of the holographic display. The speckle noise can be further suppressed with the increase of the separated interval and the number of the sub-CGHs.



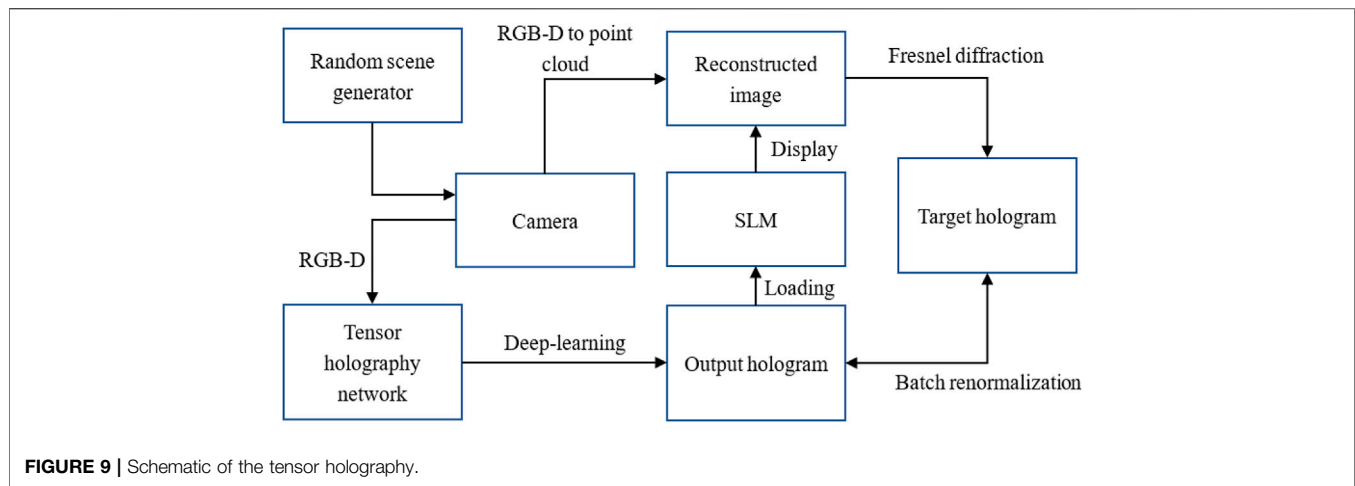
In the generation process, the Fresnel CGH can be binarized in a non-iterative manner through down-sampling the recorded object with a grid lattice prior. Meanwhile, the down-sampling method can suppress the speckle noise as well. However, the results of the down-sampling method have a prominent texture of regularly spaced voids in the shaded regions. To solve this problem, an enhanced method based on grid-cross down-sampling (GCD) has been proposed (Cheung et al., 2011; Tsang et al., 2013). The reconstructed images of these methods have proved that the speckle noise can be suppressed effectively. However, a sparse appearance with many empty voids is generated in reconstructed image when using the GCD method. To suppress the speckle noise and the sparse appearance at the same time, a combination of the GCD and a time-division comb filtering (TDCF) approach has been proposed (Liu et al., 2020; Tsang et al., 2020). The PSNR of the reconstructed image by using the LRDS method can reach almost 30 dB. Moreover, the intermediate angular-spectrum method (IASM) has been proposed by avoiding the numerical circular convolution (Chen et al., 2019). Compared with the zero-padding method, the PSNR of the reconstructed image based on the IASM method can be improved by 36%.

On the other hand, the size of the diffraction region affects the quality of the reconstructed image. In order to reduce the speckle noise caused by the useless diffraction region, a wave-front recording plane (WRP) method with a limiting diffraction region has been proposed (Yanagihara et al., 2020). As shown in the green area in **Figure 8**, the useless diffraction region can be avoided in CGH generation process. The experimental results demonstrate that the speckle noise can be reduced efficiently by using the WRP method with the limited circular diffraction region. Besides, a method to suppress the speckle noise in the holographic display based on effective utilization of two phase-only SLMs has also been verified available (Wang et al., 2019). This method combines the pixel separation, the time multiplexing and the limit diffraction region to reduce the speckle noise. Compared with the conventional point-based method, the speckle contrast of the reconstructed image by using this

method is reduced by 21.57%, and the calculation time is reduced by 48.53%.

The speckle noise can also be efficiently suppressed by using the complex amplitude encoding method. For the speckle reduction, an efficient coding method by using lossy compression has been verified (Bernardo et al., 2021). Both the effect of the speckle noise caused by the encoding process and the speckle noise caused by the compression in the recorded object have been discussed in this method. In addition, a combination of the time-multiplexing and the hologram optimization approach has been proposed (Hamann et al., 2018). This approach suppresses the speckle noise by an alternating direction method of multipliers optimization. The simulation demonstrates a significant improvement in the PSNR of the reconstructed image under higher rank factorization. Besides, the double-phase hologram (DPH) provides a better approximation to encode complex amplitude fields. Recently, the speckle-less holographic display based on DPH method has been proposed (Mendoza-Yero et al., 2014; Qi et al., 2016). In DPH method, the complex amplitude information is encoded as two-phase values, then the two phase values are combined into the pixel of the phase-only CGH by using two binary complementary chessboard masks. Since the two-phase values can be synthesized into the corresponding complex amplitude values, the quantization error is reduced and the speckle noise is suppressed. Furthermore, these methods can suppress the speckle noise and reduce the calculation time at the same time because of the free of iteration. Compared with the iterative Fourier transform algorithm, the speckle contrast of the reconstructed image by using the DPH method is reduced by more than 60%.

The hologram generation method based on deep learning is also a non-iterative method, which has great potential to realize real-time and speckle-free holographic display (LeCun et al., 2015; Horisaki et al., 2018; Eybposh et al., 2020; Lee et al., 2020a; Curtis et al., 2021; Park and Park, 2020). The convolutional neural network (CNN) has been demonstrated usefully in revealing the deep relationships between the input object and output hologram (Lee et al., 2020a). The learned CNN has the advantages of speckle reduction and fast calculation speed. Recently, a combination of the CNN and a modified double phase approach has been proposed to achieve a high-quality real-time holographic display (Shi et al., 2021). As shown in **Figure 9**, they introduce an anti-aliasing double phase method (AA-DPM) to generate the hologram dataset, which can reduce both high-frequency noise and speckle noise. The CNN is utilized to learn the relationship between the input 3D object and the Fresnel hologram dataset. The loss function in the training period consists of two types of loss functions: one is to measure the error of the predicted hologram, and the other one is to measure the quality of the reconstructed 3D object. Through the training strategy, the CNN can learn the characteristic of the hologram with high precision, so as to eliminate speckle noise effectively (Chakravarthula et al., 2020; Peng et al., 2020; Choi et al., 2021b; Wu et al., 2021b).



Methods Based on the Light Coherence and Optical System

During the optical holographic reconstruction, the coherent light source is one of the main causes of speckle noise generation. Therefore, reducing the coherence of the light source is helpful to suppress the speckle noise. To reduce the coherence, a random laser has been demonstrated usefully (Redding et al., 2012). In conventional coherent light sources, the low spatial coherence and the laser-level intensity are mutually exclusive. As a new kind of light source, the random laser is ideal for display because it has both properties.

Furthermore, in conventional holographic display, the reconstructed images suffer from the trade-off between the speckle noise and the resolution. To alleviate the trade-off problem, the theoretical models for quantifying the effect of the low-coherence have been introduced (Lee et al., 2020b). In this theoretical model, an optimal coherence light source with the maximum effect of the speckle suppression can be found. However, although the light source is optimized for considerable speckle noise suppression, the sacrifice of the resolution and the depth of field is inevitable. Then, this method has been demonstrated to alleviate the trade-off. Moreover, by combining the tunable-focus lens and the local illumination module, a speckle reduced holographic display prototype has been built (Lee et al., 2020c). In order to reduce the speckle noise while maintaining resolution, depth of field and frame rate, the tomographic synthesis method has been applied. The experimental results demonstrate that this method can suppress the speckle contrast averagely by 37.8% while keeping the resolution and depth of field unchanged.

Besides, a novel CGH encoding method based on a low-coherence light source has also been proved feasible for speckle noise reduction (Duan et al., 2019). Compared with the angular spectrum method, the PSNR of the reconstructed image by using this novel encoding method is improving by more than 60%. It is meaningful that this novel encoding method can be combined with other algorithms based on the

low-coherence light source. The previous methods of suppressing speckle noise do not take the perceptual characteristics of the human visual system (HVS) into account (Chakravarthula et al., 2021). Considering the HVS, a method by incorporating anatomically-informed model of human perception has been proposed to reduce the speckle noise. Some users have been invited to evaluate the reconstructed image by using the proposed method.

Finally, we summarize the prospects of three types of methods. Iterative algorithms based on GS method have good flexibility to suppress the speckle noise. However, the computational speed of these algorithms is limited by the number of iterations, which makes it difficult to implement real-time holographic display. As another iterative method, the ED method requires fewer computational resources and reduces the speckle noise well. However, it is not suitable for the CGH with random phase involved because of the limited complex amplitude information correction ability.

As the non-iterative algorithms, the random-phase-free method, the pixel separation method, the down-sampling method, the double phase method and the deep learning method can suppress the speckle noise and improve the quality of the reconstructed image. Generally, the non-iterative algorithms are suitable for the real-time holographic display because they occupy less computational resources. Among them, deep-learning-based hologram generation methods are the most promising one because of the effectiveness in the speckle noise suppression. However, although the speckle noise suppression method based on the current deep-learning-based algorithms is impressive, the whole display system is still a bit huge and complex to commercialization.

On the other hand, reducing the coherence of the light source and systematic errors are also helpful to suppress the speckle noise. However, the low-coherence light source has drawbacks, which are the serious impact on the sharpness and contrast of the reconstructed image (Memmo et al., 2014; Montrésor et al., 2019). It is important to find an intermediate point balance value between the coherence and incoherence of the

light source. In practice, the strategy can include one or more of these methods.

CONCLUSION

In this paper, the reason of the speckle noise generation in holographic display is provide by analyzing the hologram generation process and the reconstruction process. Meanwhile, the evaluation criteria for speckle noise in holographic display is introduced. In addition, the speckle noise suppression methods are introduced by considering the hologram generation process and the reconstruction process. Based on the hologram generation process, the discussed methods are divided to the iterative and non-iterative methods. In the reconstruction process, other speckle noise reduction methods are also introduced based on the perspective of light coherence, human visual system and optical system. Finally, we summarize the prospects of each type of method.

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Conceptualization: N-NL and DW; writing-original draft preparation, N-NL, CC, DW, BL, and Q-HW.

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Review of Incoherent Digital Holography: Applications to Multidimensional Incoherent Digital Holographic Microscopy and Palm-Sized Digital Holographic Recorder—Holosensor

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We review advancements in incoherent digital holography (IDH) with an image sensor and its applications to multidimensional microscopy and a palm-sized hologram recorder termed “holosensor”. There are two types of representative IDH technique: IDH with a structured illumination and a single photodetector termed optical scanning holography and self-interference IDH. The latter IDH is a technique to obtain an incoherent digital hologram by modulating an incoherent light wave between an object and an image sensor. Multidimensional information such as three-dimensional space and wavelengths is simultaneously recorded without changing optical filters by introducing interferometric techniques invented in laser holography. Applications to high-speed color-multiplexed holographic fluorescence microscopy, single-shot incoherent full-color holographic microscopy with white light, and a palm-sized multidimensional incoherent hologram recorder have been developed using multidimensional IDH systems. Schematics and experimental results obtained using IDH techniques, incoherent holographic microscopy systems, and compact IDH systems are introduced.

Keywords: incoherent digital holography, digital holography, digital holographic microscopy, color holography, three-dimensional microscopy, holographic fluorescence microscopy, computational coherent superposition, holosensor

1 INTRODUCTION

Multidimensional imaging is one of the most actively researched themes in both science and industry. Multidimensional information, such as three-dimensional (3D), wavelength, and polarization images, has been applied to observe realistic scenes of remote locations, microscopic and nanoscopic fields of view, and invisible images at infrared wavelength bands. 3D information is important, particularly when a person and a machine perceive and observe 3D structures of samples and scenes. Color and polarization information is useful for accurately identifying and distinguishing objects. Image sensors at various wavelength bands and polarization-imaging cameras have been developed, and multimodal imaging with such sensors has been performed to date. Multiple image

sensors and various optical filters are generally used to record multidimensional information. However, advanced optical techniques have been desired to make it possible to realize a compact multidimensional imaging system.

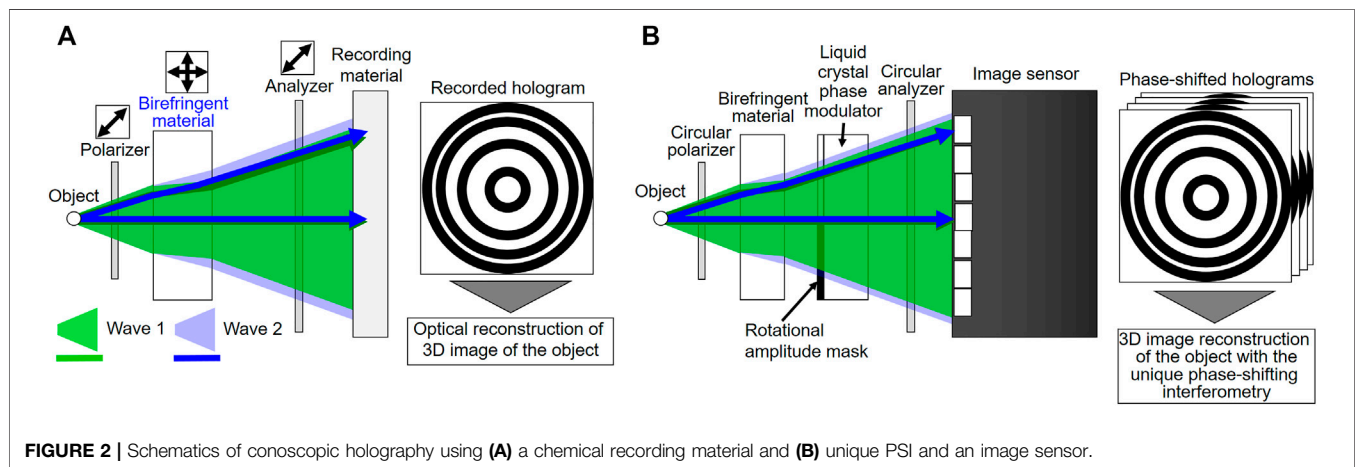
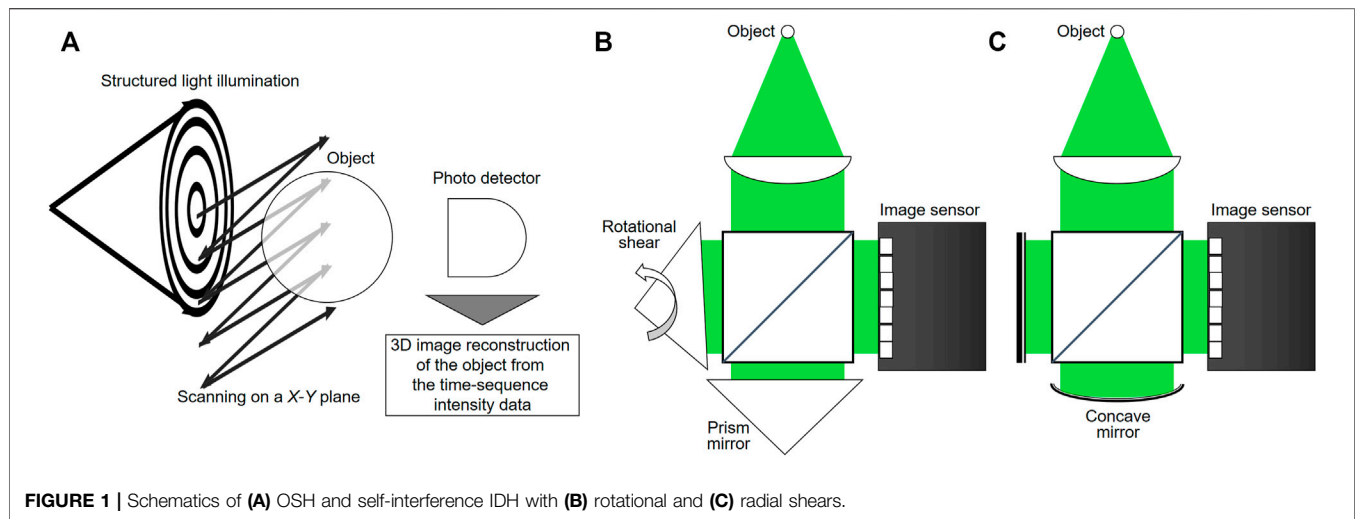
Incoherent digital holography (IDH) is an optical technique of 3D imaging with a single sensor. 3D information of a light wave is recorded using light interference. A hologram is digitally recorded even for a spatially incoherent light wave. The 3D image of a light wave is reconstructed from the recorded hologram using a computer. Multiple image sensors are not required for 3D imaging. The invention of IDH originated from the proposal of incoherent holography (Lohmann, 1965). Lohmann proposed several optical implementations including self-interference and self-reference holography to obtain a hologram of spatially incoherent light and demonstrated holographic imaging with an implementation (Lohmann and Bryngdahl, 1968). After that, Poon *et al.* invented IDH with a single photodetector termed optical scanning holography (OSH) (Poon and Korpel, 1979; Poon, 1985). OSH is a single-pixel DH technique with a structured illumination. By exploiting point-spread-function (PSF) engineering of illumination light and a temporal heterodyne technique, one can encode 3D information of fluorescence light as time-series intensity values. The 3D information of fluorescence light is recorded by a single photodetector. Holographic fluorescence microscopy was initially invented with OSH in 1997 (Schilling *et al.*, 1997), and IDH imaging was performed for fluorescence light. On the other hand, research on incoherent holography with a 2D recording material has been continued. Sirat and Psaltis proposed and experimentally demonstrated an incoherent holography system equipped with a birefringent material and polarizers to generate interference light, and they termed the technique conoscopic holography (CH) (Sirat and Psaltis, 1985). CH has been implemented with a unique phase-shifting interferometry (PSI) system employing a liquid crystal phase modulator and a rotational amplitude mask (Mugnier and Sirat, 1992; Mugnier *et al.*, 1993; Mugnier, 1995). Such a single-path polarimetric interferometer contributes to the construction of today's compact IDH system. Then, Yoshimori proposed an IDH technique to conduct hyperspectral 3D imaging of natural light based on Fourier spectroscopy (Yoshimori, 2001). After that, Rosen and Brooker proposed an IDH technique that adopts a phase-only spatial light modulator (SLM) both to construct a self-interference IDH system and to conduct PSI, and the technique was termed Fresnel incoherent correlation holography (FINCH) (Rosen and Brooker, 2007). An incoherent digital hologram is obtained without the use of a structured illumination, and incoherent holographic imaging is conducted with several exposures. Application to holographic fluorescence microscopy with an image sensor was demonstrated (Rosen and Brooker, 2008), and then PSF improvement in incoherent imaging by FINCH was achieved (Rosen *et al.*, 2011). As another application of IDH, Kim performed high-quality incoherent holographic imaging, including full-color 3D imaging of an outdoor scene illuminated by sunlight (Kim, 2013; Clark and Kim, 2015). From these research achievements, IDH can be applied to the holographic imaging of various light waves.

Progress on IDH comes from exploiting optical devices and state-of-the-art holographic techniques that are invented in incoherent and laser holography. An image sensor with a wide dynamic range and low noise enables us to record low-visibility incoherent holograms. The combination of PSI (Bruning *et al.*, 1974; Yamaguchi and Zhang, 1997) with a phase-only SLM or a highly accurate piezo actuator improves image quality because undesired-order diffraction images are removed by digital signal processing based on interferometry. Further advancement of IDH is expected by adopting a laser holography technique to IDH.

Research on laser DH has been continuously conducted, and techniques invented in laser DH have been actively adopted to IDH in the last 5 years: single-shot IDH (Tahara *et al.*, 2017a; Nobukawa *et al.*, 2018; Choi *et al.*, 2019; Tahara *et al.*, 2020a; Liang *et al.*, 2020) with single-shot phase-shifting (SSPS) (Zhu and Ueda, 2003; Awatsuji *et al.*, 2004; Millerd *et al.*, 2004), single-path multiwavelength-multiplexed IDH (Tahara *et al.*, 2019; Tahara *et al.*, 2020b; Hara *et al.*, 2020; Tahara *et al.*, 2021a; Tahara *et al.*, 2021b) based on computational coherent superposition (CCS) (Tahara *et al.*, 2015a; Tahara *et al.*, 2015b; Tahara *et al.*, 2017b; Tahara *et al.*, 2018a), and single-shot multicolor IDH techniques (Tahara *et al.*, 2020c; Tahara *et al.*, 2021). Applications of IDH, such as multidimensional holographic microscopy with incoherent light and the palm-sized hologram recorder “holosensor” (Tahara, 2021; Tahara and Oi, 2021; Tahara *et al.*, 2021; Tahara *et al.*, 2021c), are proposed using the developed IDH techniques. In this review, IDH techniques are explained and applications to multidimensional microscopy and holosensor are introduced.

2 INCOHERENT DIGITAL HOLOGRAPHY

IDH is implemented by PSF engineering of an illumination light wave or an object wave. OSH is for the former and other proposed IDH techniques are for the latter. **Figure 1** illustrates examples of IDH with a structure illumination, which is termed OSH, and IDH with self-interference light. **Figure 1A** is a schematic of OSH. A Gabor zone plate (GZP) pattern illuminates an object. The generation of the GZP pattern means PSF engineering of illumination light in 3D space. The GZP pattern moves along an X-Y plane. A single-pixel photo detector records time-sequence intensity data and this data contains 3D information of the object. A 3D image without the zeroth-order diffraction wave and the conjugate image is reconstructed using a temporal heterodyne technique. This technique is categorized as single-pixel DH and spatially incoherent DH. A book and comprehensive review articles for OSH have been written by experts to date, and these will help readers understand DH techniques with a single photodetector (Poon, 2007; Ting-Chung Poon *et al.*, 1996; Poon, 2009; Liu *et al.*, 2018). **Figures 1B,C** are schematics of self-interference IDH using rotational and radial shears, respectively. A shear is utilized to generate self-interference in many IDH techniques. Yoshimori and co-workers have proposed and experimentally demonstrated hyperspectral 3D imaging with commonly used light by constructing a Michelson-type IDH system utilizing a



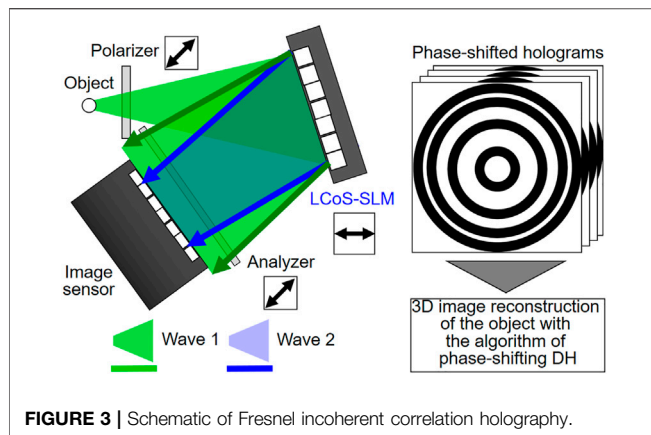
rotational shear shown in **Figure 1B** (Yoshimori, 2001; Teeranutrakorn and Yoshimori, 2013). Kim and co-workers and other researchers have proposed a Michelson-type self-interference IDH system using a concave mirror to generate a radial shear shown in **Figure 1C** (Kim, 2012; Hong and Kim, 2013; Kim, 2013). Kim has demonstrated full-color 3D imaging of an outdoor scene using sunlight and the IDH system. As another way, IDH has also been implemented with Mach-Zehnder-type radial shearing self-interference interferometer by many researchers (Pedrini et al., 2012; Naik et al., 2014). Furthermore, a unique IDH technique exploiting the nature of coherence has been proposed by Takeda *et al.*, which is termed Coherence holography (Takeda et al., 2005; Naik et al., 2009).

A self-interference IDH system using an image sensor described above adopts one of two-arm interferometers and tolerance against external vibration is a serious problem. It is said that an IDH system has been constructed on a wagon table to record phase-shifted incoherent holograms of an outdoor scene. However, motion-picture recording for the scene has not been successfully demonstrated until now. It seems that this is because it is difficult to stably record phase-shifted holograms. Therefore,

such IDH systems have been constructed on an anti-vibration table to obtain the reproductivity. On the other hand, a single-path self-interference IDH system is highly stable and enables us to construct an IDH system on a commonly used table. It is notable that such a phase-shifting IDH system using an image sensor was, in my knowledge, initially proposed in 1990s, based on CH (Mugnier and Sirat, 1992; Mugnier et al., 1993; Mugnier, 1995). In this section, we describe CH as an important single-path polarimetric interferometer and then IDH techniques with a single-path interferometer and an image sensor.

2.1 Conoscopic Holography

CH (Sirat and Psaltis, 1985) was proposed for recording 3D information of incoherent light as an incoherent hologram. The main feature of CH is that it enables us to construct a compact single-path incoherent holography system by exploiting the polarization of light. CH is also useful for IDH and we briefly explain the technique. **Figure 2** illustrates the schematic of CH. In the initially proposed system (Sirat and Psaltis, 1985), between an incoherent object-wave point and an image sensor, a polarizer, a birefringent material, and an analyzer are set to generate self-



interference light of the object wave as shown in **Figure 2**. A polarizer aligns the polarization direction of the object wave. A birefringent material such as a crystal introduces different wavefront modulations against the orthogonally polarized light waves. In **Figure 2**, a birefringent material has fast and slow axes for vertical and horizontal directions. Two wavefronts of vertically and horizontally polarized object waves are generated from an object wave. Different wavefront curvature radii are introduced to the orthogonal polarizations when using a birefringent lens or a thick birefringent plate. An analyzer aligns the polarization directions of the two waves, and the two waves interfere with each other when the optical-path-length difference is carefully adjusted. A recording material such as a photographic plate and a film records an interference fringe image. Here, a 3D object illuminated by spatially incoherent light is regarded as the summation of spatially incoherent object-wave points in 3D space. Therefore, incoherent superpositions of GZP patterns of multiple object-wave points are formed on the recording material, and the formed image is recorded as an incoherent hologram of the 3D object. A 3D image of the object is optically reconstructed using the recorded incoherent hologram. Undesired-order diffraction images such as zeroth-order diffraction light and the conjugate image are suppressed with optical filtering in CH. A clear interference fringe pattern is formed owing to the proposed single-path polarimetric interferometer. Then, CH has adopted PSI with the designed PSI method to remove undesired-order diffraction waves. **Figure 2B** illustrates its schematic. A liquid crystal phase modulator and a designed amplitude mask attached with a rotational stage are set to conduct the designed PSI. The detailed explanations can be seen in refs. (Mugnier and Sirat, 1992; Mugnier et al., 1993; Mugnier, 1995). It is notable that phase-shifting DH was proposed and implemented for CH and spatially incoherent light before the proposal of famous phase-shifting DH using a laser (Yamaguchi and Zhang, 1997).

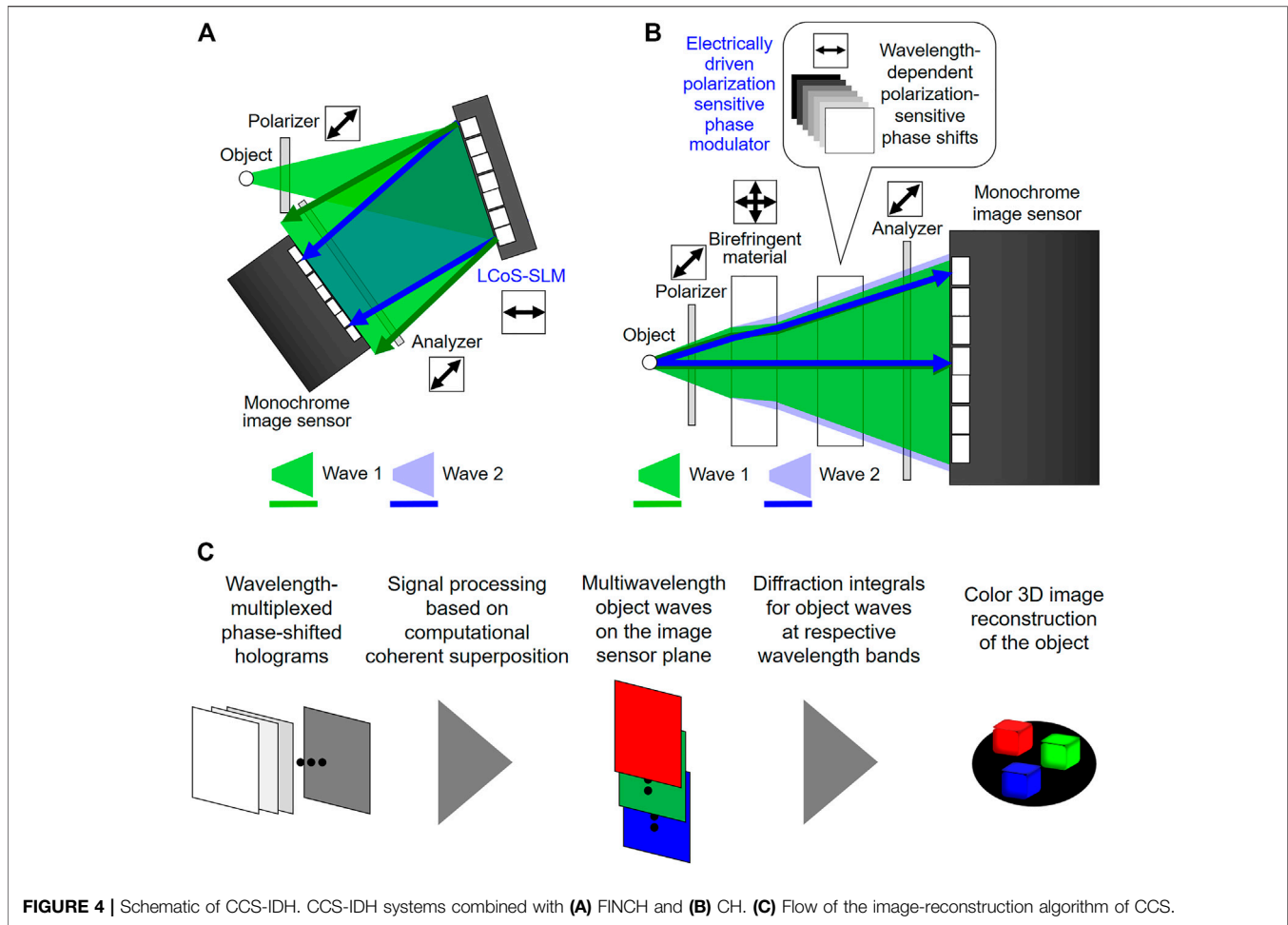
2.2 Fresnel Incoherent Correlation Holography

FINCH (Rosen and Brooker, 2007; Rosen et al., 2019) is an IDH technique exploiting a phase-only SLM and digital signal

processing based on DH with PSI. Instead of a solid birefringent material, FINCH adopts a diffractive optical element as shown in **Figure 3**. A liquid crystal on silicon SLM (LCoS-SLM) is set to generate two object waves with different wavefront curvature radii. Phase-shifted Fresnel phase lens patterns are displayed on the LCoS-SLM, and phase-shifted incoherent holograms are sequentially recorded by changing the phases of the phase lenses. A compact single-path phase-shifting IDH system has been realized by exploiting the LCoS-SLM as a two-wavefront generator and a phase shifter. Space-division (Rosen and Brooker, 2007) and polarization (Brooker et al., 2011) multiplexing techniques were proposed to generate two waves. PSF improvement in incoherent imaging was clarified after the experimental demonstrations (Rosen et al., 2011). FINCH has clarified that IDH is effective for not only incoherent holographic 3D imaging but also improving the resolution in general incoherent imaging techniques.

2.3 Computational Coherent Superposition Incoherent Digital Holography

DH techniques that are invented in laser holography contribute to the development of IDH. OSH and FINCH have demonstrated incoherent 3D imaging without undesired-order diffraction images by applying digital signal processing based on interferometry. Progress on laser DH techniques is continuing, and PSI selectively extracting wavelength information was invented (Tahara et al., 2015a; Tahara et al., 2015b; Tahara et al., 2017b; Tahara et al., 2018a). Not only 3D information but also wavelengths and polarization directions are simultaneously and selectively extracted with PSI by introducing different phase shifts for different physical information (Tahara et al., 2018a). Therefore, multidimensional information (3D space, phase, wavelengths, and polarization) are multiplexed on the image sensor plane with phase shifts and individually reconstructed by applying the PSI technique. This PSI is termed the computational coherent superposition (CCS) scheme and CCS has been applied to IDH (Tahara et al., 2019; Tahara et al., 2020b; Hara et al., 2020; Tahara et al., 2021a; Tahara et al., 2021b). **Figure 4** shows the schematic of CCS applied to IDH. CCS-IDH is implemented with arbitrary IDH systems that adopt PSI. **Figures 4A,B** are the combinations of CCS with FINCH and CH, respectively. The main difference of CCS from CH and FINCH is the recording of wavelength-multiplexed phase-shifted incoherent holograms. Wavelength information is simultaneously recorded without changing optical filters and obtained with digital signal processing based on CCS. The combination of CCS and FINCH is implemented by introducing an LCoS-SLM whose phase modulation range is sufficiently wide to apply a CCS algorithm (Hara et al., 2020). This is because different phase shifts for different wavelength bands should be introduced in CCS. The LCoS-SLM displays phase-shifted Fresnel phase lens patterns that are required for CCS-FINCH. The high spatial resolution of an LCoS-SLM enables us to generate fine interference fringes and to conduct high-resolution incoherent holographic 3D imaging. However, a



diffractive optical element has strong selectivity and dependence in wavelength. A Fresnel phase lens works as a lens correctly only for the designed wavelength. Diffraction efficiency is decreased, and undesired-order diffraction waves are generated, as the wavelength of the incident light is different from the designed wavelength. Such a problem causes multiple object-image generations and image-quality degradations. To solve this problem, CCS is combined with CH as another optical implementation as shown in **Figure 4B** (Tahara et al., 2019; Tahara et al., 2020b; Tahara et al., 2021a; Tahara et al., 2021b). The combination of CCS with CH is implemented by inserting an electrically driven polarization-sensitive phase modulator such as a liquid crystal phase retarder or a nonlinear optical element. A liquid crystal generally has wavelength dependence for phase shifts and therefore applicable to phase encoding required for CCS. An SLM is not always required when using the IDH system shown in **Figure 4B**. **Figure 4C** illustrates the flow of the image-reconstruction algorithm of CCS-IDH. From the recorded wavelength-multiplexed phase-shifted incoherent holograms, multiwavelength object waves are selectively extracted using a CCS algorithm. As mathematical expressions, where $A_{ok}(x, y)$ and $\phi_k(x, y)$ are respectively the amplitude and phase distributions at wavelength λ_k , $k = 1, \dots, N$ is the number of the wavelength bands, α_{kl} is the l th phase shift at a wavelength λ_k ,

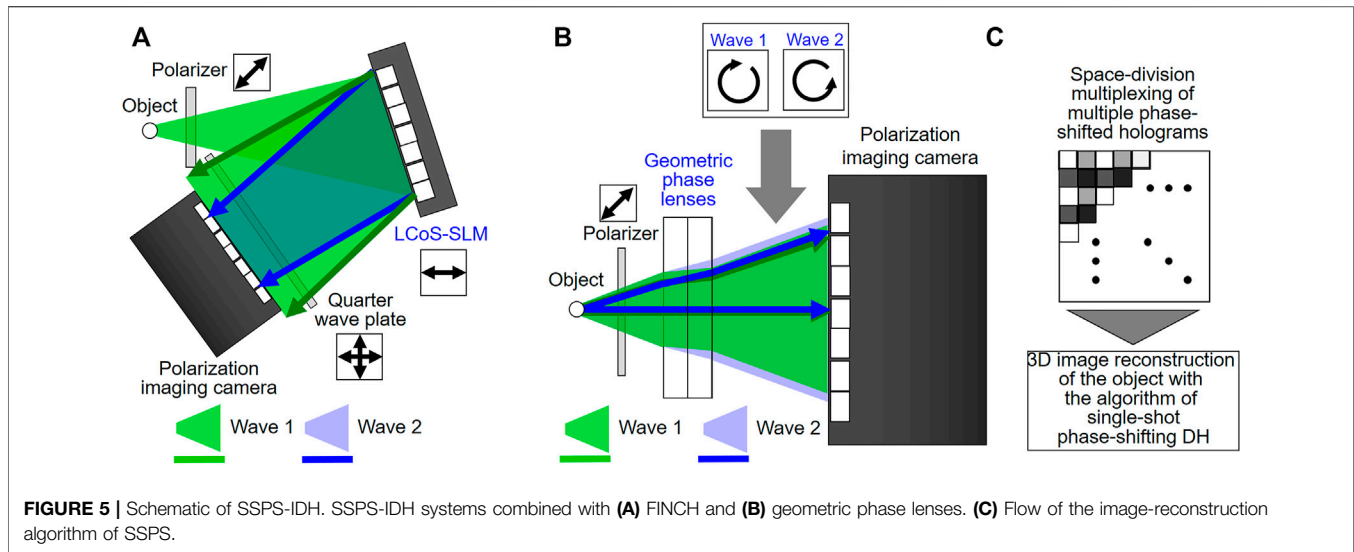
C_k is a coefficient, j is the imaginary unit, and $I_{0th}(x, y)$ is the summation of zeroth-order diffraction waves, the relationship between $I(x, y; \alpha_{1b}, \dots, \alpha_{kb}, \dots, \alpha_{Nl})$ and complex amplitude distributions at wavelengths $U_k(x, y) = C_k A_{ok}(x, y) [\cos \phi_k(x, y) + j \sin \phi_k(x, y)]$ is expressed as

$$I = PU, \quad (1)$$

where

$$I = \begin{pmatrix} I_1(x, y) \\ I_2(x, y) \\ I_3(x, y) \\ \vdots \\ I_{2N}(x, y) \\ I_{2N+1}(x, y) \end{pmatrix}, \quad (2)$$

$$P = \begin{pmatrix} 1 & 1 & 0 & \dots & 1 & 0 \\ 1 & \cos \alpha_{11} & \sin \alpha_{11} & \dots & \cos \alpha_{N1} & \sin \alpha_{N1} \\ 1 & \cos \alpha_{12} & \sin \alpha_{12} & \dots & \cos \alpha_{N2} & \sin \alpha_{N2} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & \cos \alpha_{1(2N-1)} & \sin \alpha_{1(2N-1)} & \dots & \cos \alpha_{N(2N-1)} & \sin \alpha_{N(2N-1)} \\ 1 & \cos \alpha_{1(2N)} & \sin \alpha_{1(2N)} & \dots & \cos \alpha_{N(2N)} & \sin \alpha_{N(2N)} \end{pmatrix}, \quad (3)$$



$$U = \begin{pmatrix} I_{0th}(x, y) \\ C_1 A_{o1}(x, y) \cos \phi_{o1}(x, y) \\ C_1 A_{o1}(x, y) \sin \phi_{o1}(x, y) \\ \vdots \\ C_N A_{oN}(x, y) \cos \phi_{oN}(x, y) \\ C_N A_{oN}(x, y) \sin \phi_{oN}(x, y) \end{pmatrix}, \quad (4)$$

Then, complex amplitude distributions at multiple wavelengths are derived by solving

$$U = P^{-1}I, \quad (5)$$

Equation 5 means that object waves at multiple wavelengths are selectively extracted from multiplexed holograms using CCS. Diffraction integrals are calculated for the retrieved multiwavelength object waves, and a multiwavelength 3D image of the object is reconstructed.

It is noted that phase shifts are introduced to respective wavelengths simultaneously. Arbitrary phase shifts can be set to $\alpha_{1b} \dots, \alpha_{Nl}$ in **Eq. 3**. When P is a regular matrix, N -wavelength object waves are generally derived from $2N + 1$ wavelength-multiplexed phase-shifted holograms. A small condition number of P should be selected for a CCS algorithm, and phase shifts to set a small condition number should be designed for high-quality imaging. The image quality becomes higher as the condition number becomes smaller. The design of the smallest P using the prepared phase shifter and the measured wavelengths is effective. This is due to the numerical stability of a CCS algorithm and finite signal-to-noise ratio and finite bit depth of the recorded digital images.

2.4 Single-Shot Phase-Shifting Incoherent Digital Holography

Single-shot phase-shifting (SSPS) DH (Zhu and Ueda, 2003; Millerd et al., 2004; Awatsuji et al., 2004) is a technique used in laser holography and has been applied to IDH (Tahara et al.,

2017a; Nobukawa et al., 2018; Choi et al., 2019; Liang et al., 2020; Tahara et al., 2020a). Several optical implementations have been presented in IDH to date. **Figure 5** shows examples of optical implementations in SSPS-IDH. SSPS-IDH can be implemented with the combinations of optical systems in SSPS with FINCH (Tahara et al., 2017a; Tahara and Sato, 2019), geometric phase lens (es) (Choi et al., 2019; Liang et al., 2020; Tahara et al., 2020a), and a grating (Nobukawa et al., 2018). SSPS is implemented using the polarization of interference light and a polarization-imaging camera (Millerd et al., 2004). FINCH also utilizes polarization light and can be easily combined with SSPS as shown in **Figure 5A** (Tahara et al., 2017a; Tahara and Sato, 2019). On the other hand, the use of a geometric phase lens for IDH was proposed and then several IDH systems have been presented (Choi et al., 2019; Liang et al., 2020; Choi et al., 2018). A geometric phase lens generates two circularly polarized light waves whose polarization is orthogonal. This feature is suitable for implementing self-interference DH with SSPS and therefore applicable to SSPS-IDH. After that, a thin SSPS-IDH system without a refractive lens was proposed as shown in **Figure 5B** (Tahara et al., 2020a; Tahara and Oi, 2021). In SSPS, multiple phase-shifted holograms are simultaneously recorded by space-division multiplexing as shown in **Figure 5C** (Zhu and Ueda, 2003; Awatsuji et al., 2004; Millerd et al., 2004). A de-mosaicking procedure is conducted in a computer, and then an image-reconstruction algorithm of PSDH is applied with multiple de-mosaicked holograms to reconstruct a holographic 3D image of the object. Note that single-shot incoherent holographic 3D imaging is conducted with a compact single-path IDH system (Tahara and Oi, 2021).

3 APPLICATIONS OF INCOHERENT DIGITAL HOLOGRAPHY

IDH has potential to considerably extend the applicability of DH. Laser light is no longer mandatory when using IDH. Any light

source including self-luminous light and natural light can be recorded as a hologram. Various techniques such as 3D microscopy for self-luminous light (Schilling et al., 1997; Rosen and Brooker, 2008; Jang et al., 2015; Yanagawa et al., 2015; Quan et al., 2017; Liebel et al., 2020a; Liebel et al., 2020b; Kumar et al., 2020; Marar and Kner, 2020; Potcoava et al., 2021), 3D imaging with a single image sensor (Kim, 2013; Clark and Kim, 2015; Jang et al., 2015; Vijayakumar et al., 2016; Vijayakumar and Rosen, 2017; Nobukawa et al., 2018; Choi et al., 2019; Nobukawa et al., 2019; Wu et al., 2020; Tahara et al., 2021d; Wu et al., 2021; Yoneda et al., 2021; Tahara et al., 2022), 3D thermal measurement (Imbe, 2019), and spectroscopic 3D imaging (Yoshimori, 2001; Teeranutrakorn and Yoshimori, 2013; Naik et al., 2014; Kalenkov et al., 2019) have been proposed to date. Research on applications of IDH is ongoing and a challenging theme. In this section, applications of IDH to multidimensional microscopy and the holoSensor are presented.

3.1 Incoherent Digital Holographic Microscopy: Microscopy Application of Incoherent Digital Holography

Incoherent digital holographic microscopy (IDHM) is considered a prospective application of IDH. In the field of microscopy, it is important to record both 3D information and wavelength information simultaneously with weak illumination-light intensity and a compact optical setup. In the fluorescence microscopy application, wavelength information is used as the label for molecule compositions. Measurements with weak illumination-light intensity are required to suppress phototoxicity in cells and to conduct high-speed sensing of molecules. Full-color 3D imaging and restoration as quantitative and digital information are also highly required for Raman scattering microscopy and the widely used optical microscopy with a halogen lamp. Hyperspectral incoherent holography with a two-arm interferometer has been proposed as a conventional spectroscopic and incoherent holographic 3D imaging technique (Yoshimori, 2001; Teeranutrakorn and Yoshimori, 2013; Naik et al., 2014; Kalenkov et al., 2019). However, tolerance against vibrations is low owing to the use of a two-arm interferometer. However, two arms were required to adopt a temporal heterodyne technique in IDH with the Fourier spectroscopy. To solve this problem is one of the challenging research topics in multiwavelength IDH. In this section we present several ways to solve this problem.

3.1.1 CCS Incoherent Digital Holographic Microscopy

We can realize spectroscopic IDHM with high tolerance against external vibrations, using CCS-IDH. We have constructed a CCS-IDHM system that is shown in **Figure 6** to apply a novel type of spectroscopic IDHM. CCS-IDHM is composed of a CCS-IDH system and an incoherent optical microscope (Tahara et al., 2020b; Tahara et al., 2021a; Tahara et al., 2021b). An optical microscope with incoherent light such as self-luminous light including fluorescence light, Raman scattering light, thermal light generated from a halogen lamp, and light generated from a light-emitting diode (LED) can be combined with a CCS-IDH system. The magnified 3D image of a specimen is irradiated from

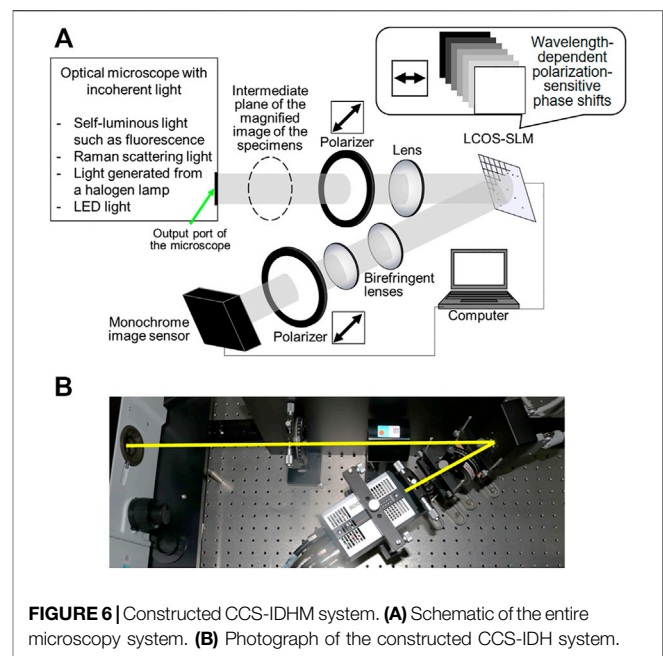
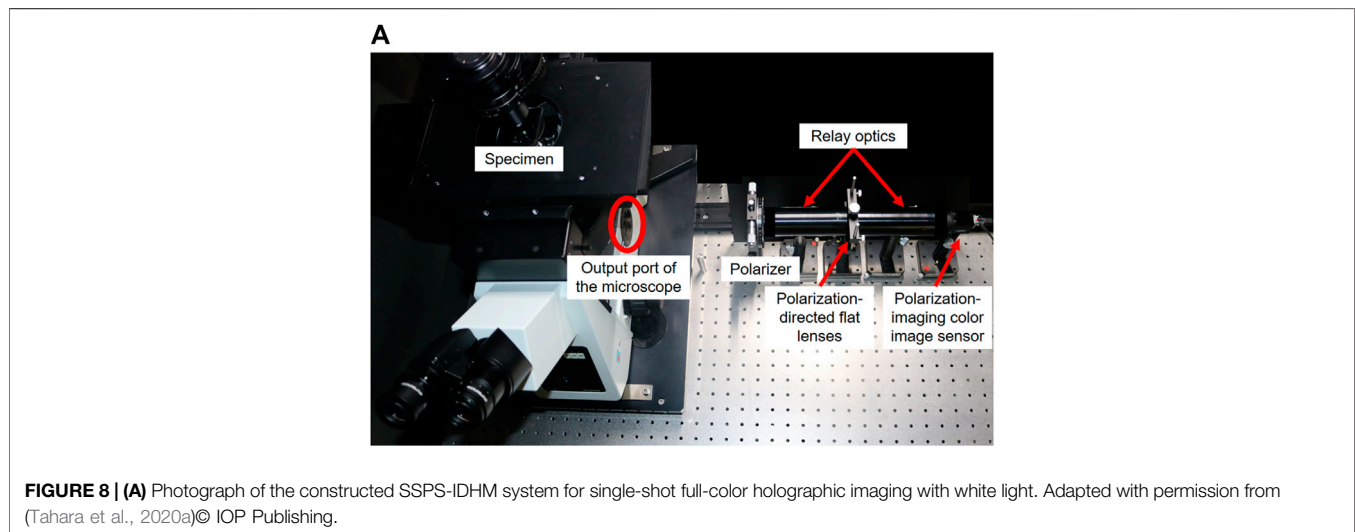
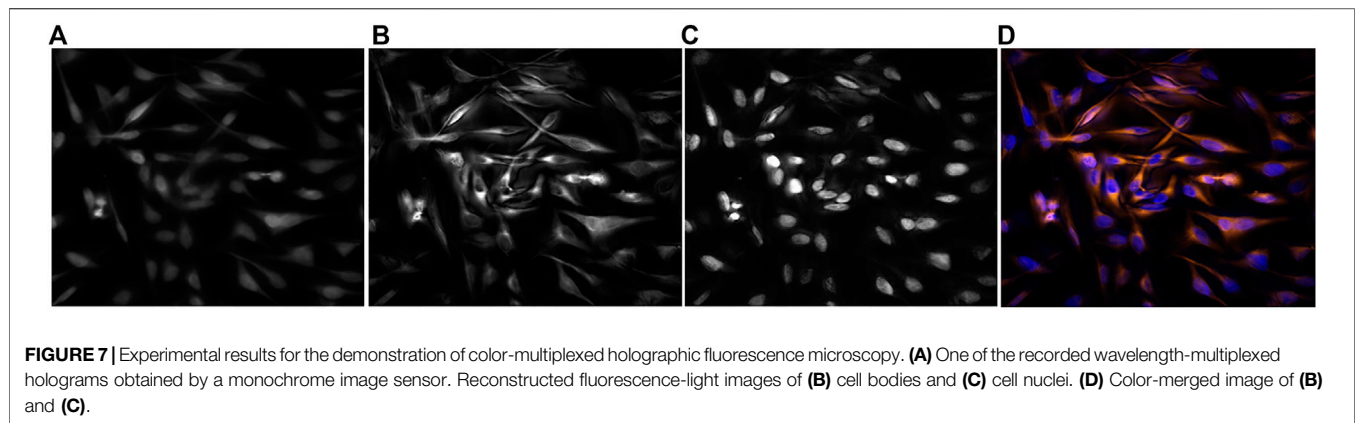


FIGURE 6 | Constructed CCS-IDH system. **(A)** Schematic of the entire microscopy system. **(B)** Photograph of the constructed CCS-IDH system.

the output port of the microscope, and the magnified 3D image that is focused on the intermediate plane is treated as the 3D specimen in the CCS-IDH system. **Figure 6** also shows the single-path wavelength-multiplexed IDH system constructed as described in **section 2.3**, which provides high tolerance against vibrations in spectroscopic holographic interferometry. A full-color holographic 3D microscope with a halogen lamp and a multiband-pass filter was constructed to first demonstrate CCS-IDHM (Tahara et al., 2020b). Experiments on HE-stained mouse kidney cells were successfully conducted. Then, PSF improvement in full-color incoherent imaging was achieved (Rosen et al., 2021). The CCS-IDH system was also combined with a fluorescence microscope, and color-multiplexed holographic fluorescence microscopy was presented (Tahara et al., 2021a; Tahara et al., 2021b). In the experimental demonstration, fluorescence-stained HeLa cells were prepared. Cell nuclei and cell bodies were stained by different fluorescence markers. As a result, different molecule compositions were labelled by different fluorescence light wavelengths. Detailed conditions for cell staining and the constructed optical setup were described in (Tahara et al., 2021a). **Figure 7** shows the experimental results, which indicate that a monochrome image sensor records wavelength-multiplexed fluorescence holograms, and wavelengths are successfully separated by the CCS. Different molecule compositions are separately obtained by wavelength separations, and focused color fluorescence images of the cells are reconstructed. It is expected that CCS-IDHM is applied to spontaneous Raman imaging and works as multicolor holographic Raman scattering microscopy with a single-path wavelength-multiplexed interferometer.

3.1.2 Full-Color SSPS-IDHM With White Light

Utilization of SSPS-IDH is also effective as another way to realize multiwavelength IDHM with high tolerance against external



vibrations. Currently, one can construct an SSPS-IDH system (Tahara et al., 2017a; Nobukawa et al., 2018; Choi et al., 2019; Liang et al., 2020; Tahara et al., 2020a; Tahara and Sato, 2019). Optical components and a polarization-imaging camera shown in **Figures 5A,B** are commercially available and can be obtained at a low cost. In comparison to CCS-IDHM, higher-temporal resolution is obtained using SSPS-IDHM with a color polarization-imaging camera. Full-color holographic 3D imaging with SSPS-IDHM and a halogen lamp was experimentally demonstrated. **Figure 8A** shows a photograph of one of the constructed SSPS-IDHM systems. The constructed system is composed of an optical microscope with a halogen lamp and an SSPS-IDH system. The SSPS-IDH system treats the magnified 3D image that is focused on the intermediate plane as the 3D specimen. In the constructed SSPS-IDH system, the relay optics is set to collect the light wave of the magnified specimen and to conduct Fourier transform (FT) and inverse FT optically. Polarization-directed flat lenses that are ones of geometric phase lenses are set on the FT plane of relay optics for shift-invariant PSF engineering. A red, green, and blue (RGB) color-filter array of a polarization-imaging color camera selects a wavelength band from the continuous spectral bandwidth of white light generated from a halogen lamp. RGB channels of the

color-filter array select the corresponding RGB wavelength bandwidths. The selected bandwidths are within 100 nm. With the color-filter array, not only RGB color information is obtained but also temporal coherency is improved. Detailed experimental conditions are described in (Tahara et al., 2020a). **Figure 9** shows the experimental results, which indicate that both color information and 3D information are reconstructed from the recorded single incoherent hologram. Defocused images on the image sensor plane can be seen at red and green channels, although a focused image was obtained at the blue channel as shown in **Figures 9A–C**. This is attributable to the chromatic aberration of optical elements in the constructed SSPS-IDH system. However, focused images at RGB channels are obtained by the digital refocusing of DH as shown in **Figures 9D–G**. The results indicate that aberration can be compensated with digital signal processing based on holography. Thus, single-shot incoherent color 3D imaging of the specimen is successfully performed. An application to color holographic fluorescence microscopy was also attempted.

3.1.3 The Combination of CCS and SSPS for IDHM

Single-shot wavelength-multiplexed IDH is realized by combining CCS-IDH and SSPS-IDH (Tahara et al., 2020c).

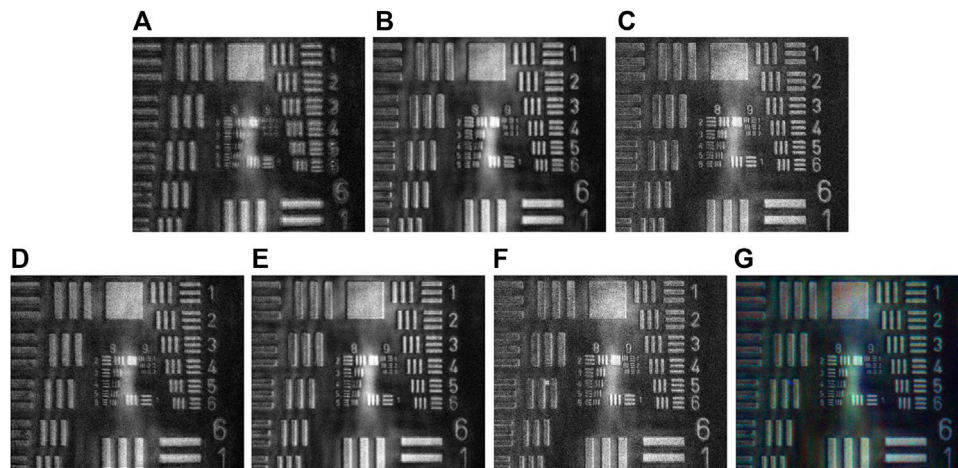


FIGURE 9 | Experimental results of full-color imaging of USAF1951 test target. Images reconstructed on the image sensor plane at (A) red, (B) green, and (C) blue channels. Images numerically focused on the magnified image plane at (D) red, (E) green, and (F) blue channels. (G) Color-synthesized image from (D–F). Adapted with permission from (Tahara et al., 2020)© The Optical Society.

Here, we call the combined technique single-shot CCS (SS-CCS) IDH. **Figure 10** shows the schematic of the SS-CCS IDH. Light generated from objects/specimens is converted two light waves by an IDH system using polarization. A monochrome image sensor with a wavelength-dependent polarization-sensitive phase-modulation (WPP) array and a polarizer records two light waves as a wavelength-multiplexed self-interference hologram. In the recorded hologram, the information of multiple wavelength-multiplexed holograms required for CCS is contained, based on space-division multiplexing of holograms. Instead of a micropolarizer array, a WPP array is inserted to apply both CCS and SSPS. De-mosaicking procedure used for pixelated/parallel PSI can also be applied to the recorded single image and then complex amplitude distributions at multiple wavelengths are retrieved using a CCS algorithm. A multiwavelength 3D image is reconstructed by numerical focusing such as diffraction integrals.

A WPP array was developed to combine the two IDH techniques. **Figure 11** shows the schematic of the WPP array and a photograph of the image sensor with the WPP array. Each WPP cell is composed of a photonic crystal, and a photonic-crystal array is fabricated by the self-cloning technique (Sato et al., 2007). The phase shifts of cells A, C, D, and E at the wavelength of 532 nm are 240, 107, 213, and 320°. Wavelength dependency of the phase shift of the photonic crystal fabricated is used for a CCS algorithm. More detail of the developed image sensor is described in ref. (Tahara et al., 2020c). We have constructed an SS-CCS IDHM system to experimentally show its validity, which is the combination of a fluorescence microscope, a CCS-IDH system, and the image sensor. Detailed experimental conditions are described in (Tahara et al., 2020c). Experimental results shown in **Figure 12** indicate that fluorescence object waves at different wavelength bands are selectively extracted, and 3D information at respective wavelength bands is reconstructed successfully. Different types of fluorescence particles are identified using wavelength separations with CCS. Experimental results show

that SS-CCS IDHM performs color 3D imaging of fluorescence light from the single wavelength-multiplexed hologram. Improvements of image quality and frame rate are ongoing, and color 3D motion-picture recording of incoherent holograms with more than 70 fps and 4 megapixels has been performed to date (Tahara et al., 2021).

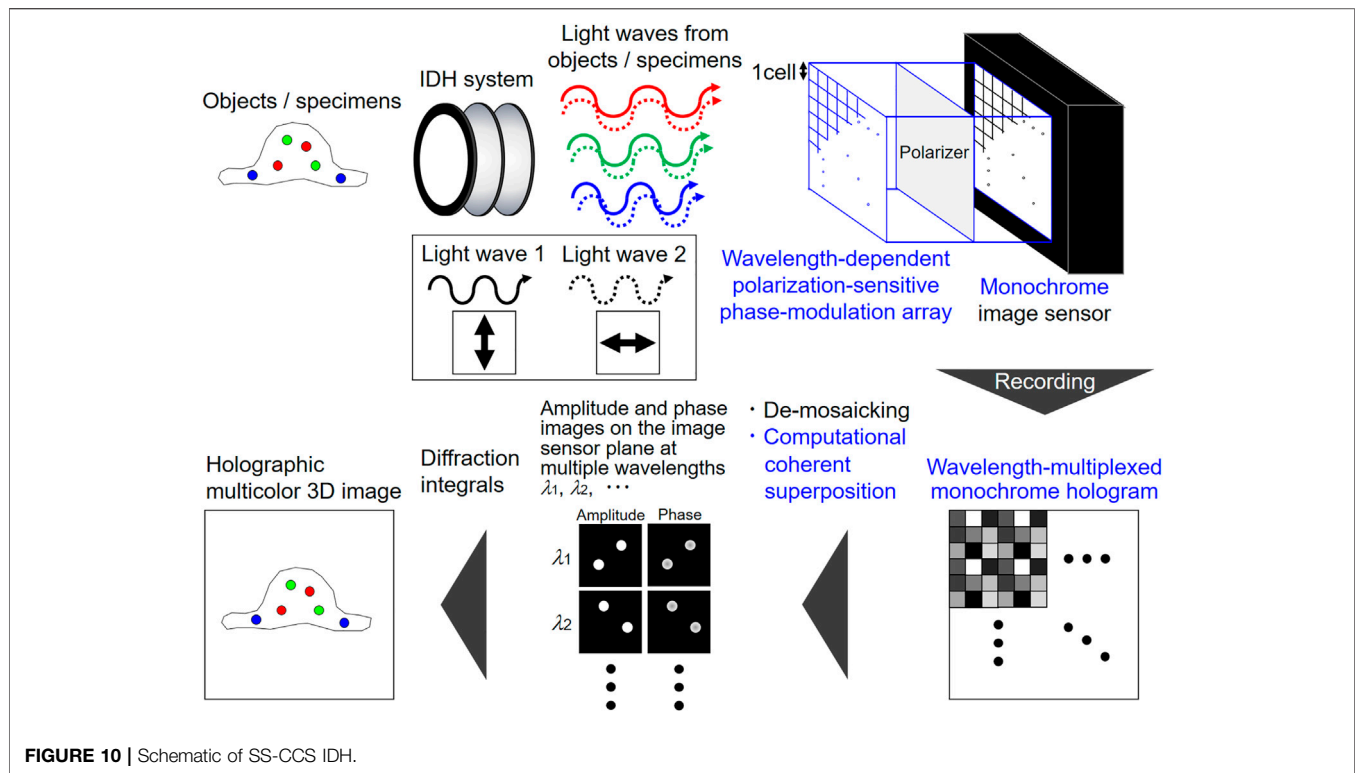
3.2 Compact Hologram Recorder—HoloSensor—

As described in the **section 2**, an IDH system can be constructed with a small-size optical setup. A compact and portable hologram recorder is strongly desired in many fields of scientific research and industry. We introduce our compact hologram recorders, which is termed holoSensor.

3.2.1 Multiplexed HoloSensor Based on CCS-IDH

CCS-IDH is implemented with a compact optical system by adopting CH without an amplitude mask. In previous sections, we have shown how a compact system can be constructed in comparison with a two-arm laser interferometer. However, a more compact optical system can be constructed. **Figure 13A** shows the schematic of the basic concept of the ultimately compact hologram recorder based on CCS-IDH (Tahara, 2021; Tahara et al., 2021; Tahara et al., 2021c). Such a hologram recorder is composed of the integrations of the optical elements shown in **Figure 4B**. As a first step, we have succeeded in designing and constructing the holoSensor as shown in **Figures 13B,C**. Experimental results with the prototypes of the CCS-holoSensor can be seen in (Tahara et al., 2021; Tahara et al., 2021c).

Color imaging and 3D imaging have been performed using the developed CCS-holoSensors (Tahara et al., 2021; Tahara et al., 2021c). However, several research topics are raised. Measurement speed is closely related to the speed of phase shifts and the frame rate. A compact and transparent polarimetric phase modulator is commercially available. A liquid crystal is used for such a phase



modulator, and its working speed is much lower than the video rate. Although the working speed is much improved by using a high-speed LCoS-SLM, the size of the CCS-holosensor is increased. The size is also increased when using a Faraday rotator (Ueda and Takuma, 1984). A high-speed, compact, and transparent polarimetric phase modulator is strongly desired for the CCS-holosensor. Otherwise, an architectural design for constructing a compact optical system with an LCoS-SLM is required. As another research theme, careful design of birefringent materials is important. The resolution of IDH is closely related to the pitch of interference fringes. A curvature-radius difference between the two object waves should be large to generate a fine interference fringe image. A transparent material with a large birefringence is effective for the generation of a fine interference fringe image. However, the optical-path-length difference increases as the curvature-radius difference increases. The coherence length is small in IDH and interference fringes easily disappear. Therefore, the optical-path-length difference is adjusted by inserting a birefringent phase plate. After that, an image sensor to record a fine interference fringe image should be selected, considering the sampling theorem.

The initially developed wavelength-multiplexed holosensor is palm-sized. Phase-shift error owing to vibrations was a serious problem in two-arm laser holography with CCS. Accurate phase shifts are mandatory for CCS, and object-wave extraction at the desired wavelength easily fails if a phase-shift error occurs. A single-path IDH system achieved high tolerance against vibrations and reduction of phase-shift error during recording of holograms. Integrations of optical elements and construction of an extremely compact optical system will further help to achieve accurate multidimensional measurement with CCS. A

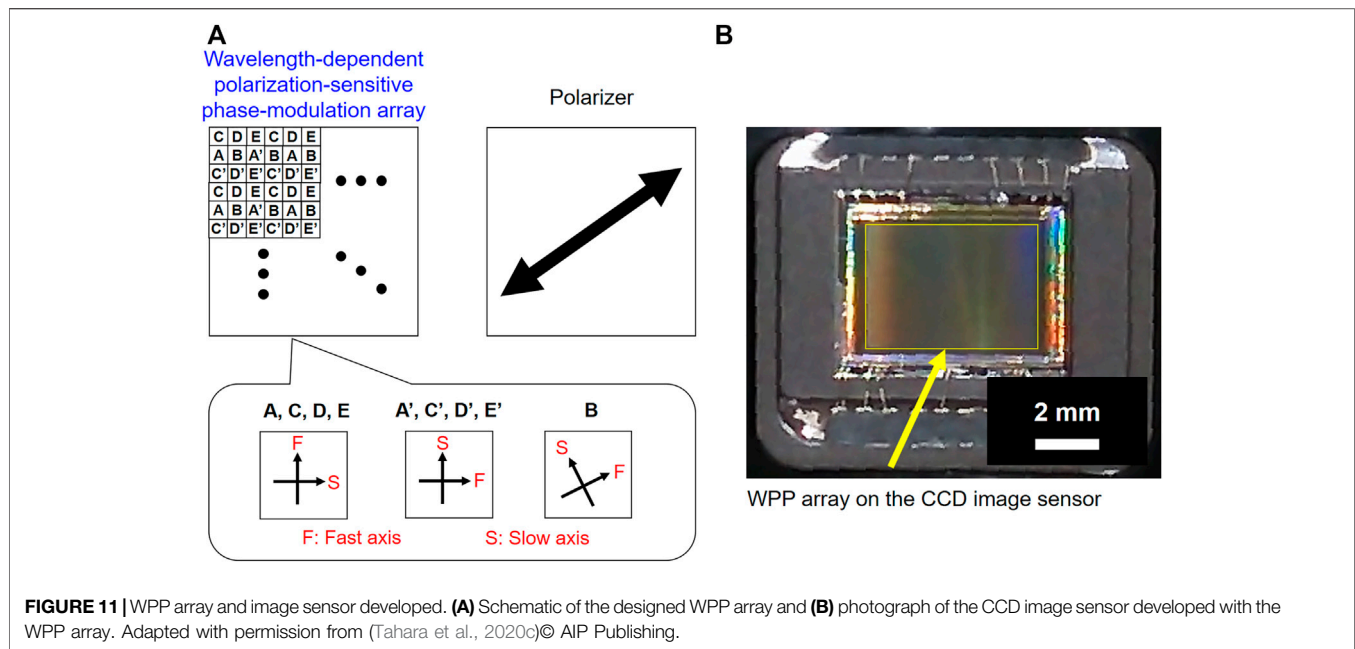
chip-sized hologram recorder will be realized in the near future by integrating the optical elements.

3.2.2 Single-Shot Holosensor Based on IDH With SSPS and SS-CCS

A single-shot multidimensional compact hologram recorder can be fabricated using IDH with SSPS and SS-CCS. **Figure 14** illustrates the schematics. These systems can be constructed by integrating optical elements in the optical systems of SSPS and SS-CCS IDH. **Figure 14A** shows the integrated system shown in **Figure 5**. **Figure 14B** is the combination of **Figure 13A** and the image sensor with a WPP array. The finest pitch of interference fringes is determined by the design of birefringent materials and the pixel pitch of each phase-shifted holograms. A palm-sized single-shot holosensor can be constructed using commercially available optical elements and a polarization-imaging camera. As an experimental demonstration, we have developed a prototype of a single-shot holosensor based on SSPS as shown in **Figure 15A** (Tahara and Oi, 2021). With this single-shot holosensor, an object wave generated with an LED is recorded with a single-shot exposure of an image sensor as shown in **Figure 15B**. A focused object image is successfully reconstructed from a pixelated incoherent image as shown in **Figure 15C**. Detailed experimental conditions are described in (Tahara and Oi, 2021).

4 DISCUSSION AND CONCLUSION

Various IDH techniques have been proposed and continuously researched to date. We discuss the comparative merits of the introduced multidimensional IDH techniques. **Table 1** shows the



characteristics of various IDH techniques on tolerance against vibrations and information capacities for spatial, temporal, and wavelength imaging. Firstly, we discuss tolerance against vibrations and spatial and temporal information capacities. Spatial and temporal specifications of OSH depend on the range and speed of scan of illumination light and pitch of a GZP pattern. Tolerance against external vibrations is improved using a temporal heterodyne technique. CH is a spatially incoherent polarimetric phase-shifting DH technique. However, the use of a birefringent lens is not adopted. As a result, the resolution is limited although 3D imaging has been performed successfully. IDH has been implemented with various two-arm interferometers and many research achievements have been reported. However, tolerance against external vibrations is a problem. Coherence holography adopts Sagnac interferometer and is highly tolerant to external vibrations. Single-shot holographic imaging of spatially incoherent light has been demonstrated experimentally using an off-axis interferometer (Takeda et al., 2005; Naik et al., 2009) and therefore high temporal information capacity is obtained. On the other hand, spatial information capacity is limited owing to the use of an off-axis geometry. FINCH has clarified that, light sources with limited temporal coherency such as a lamp and an LED are recorded with a bandpass filter as holograms and in-plane PSF is improved at the cost of depth resolution. FINCH has been combined with PSI and off-axis holography. Therefore, spatial and temporal information capacities are flexibly designed. COACH improves depth resolution in comparison to self-interference DH with radial shearing. However, the acquisition of a PSF library on the measured 3D area is required. In IDH with a spatially incoherent light source containing single wavelength band, SSPS-IDH has contributed to the improvement of the product of spatial and temporal information capacities. Single-shot

imaging can be conducted with an in-line configuration by using SSPS. In comparison to the off-axis geometry, space-bandwidth product (SBWP) is improved, and the visibility of interference fringes is improved by using an in-line configuration. As a result, field of view (FOV) extension owing to the increase of the SBWP and image-quality improvement owing to the enhancement of visibility are achieved in principle.

IDH for speckleless color holographic 3D imaging is a highly interested research theme. Using such an IDH technique, spatially and temporally incoherent light is recorded as incoherent hologram (s). Wavelength information is recorded using various wavelength-sensing techniques presented in DH (Tankam et al., 2010; Tahara et al., 2018b) and IDH adopts the techniques as shown in **Table 1**. Simultaneous RGB color sensing can be conducted using the principle of the Fourier spectroscopy. In OSH, when using a temporal heterodyne technique, different temporal frequencies of respective color GZP patterns are introduced and RGB information of the object is separated in the temporal frequency domain. The Fourier spectroscopy is also adopted to IDH with a two-arm interferometer. However, more than 250 exposures are required to conduct RGB color 3D imaging, and to reduce the number of exposures is a research problem when the number of the wavelength bands is small. FINCH adopts a diffractive phase lens and such a lens correctly works for the designed wavelength. Therefore, FINCH is not suitable for simultaneous multiwavelength measurement, and multiple bandpass filters and diffractive phase lenses are changed to obtain wavelength information sequentially. The filters should be sequentially changed using a filter wheel and this procedure loses temporal information capacity. The use of a color camera is straightforward and effective for color holographic imaging. On the other hand, spatial information capacity is partly lost when using a color camera with a color-filter

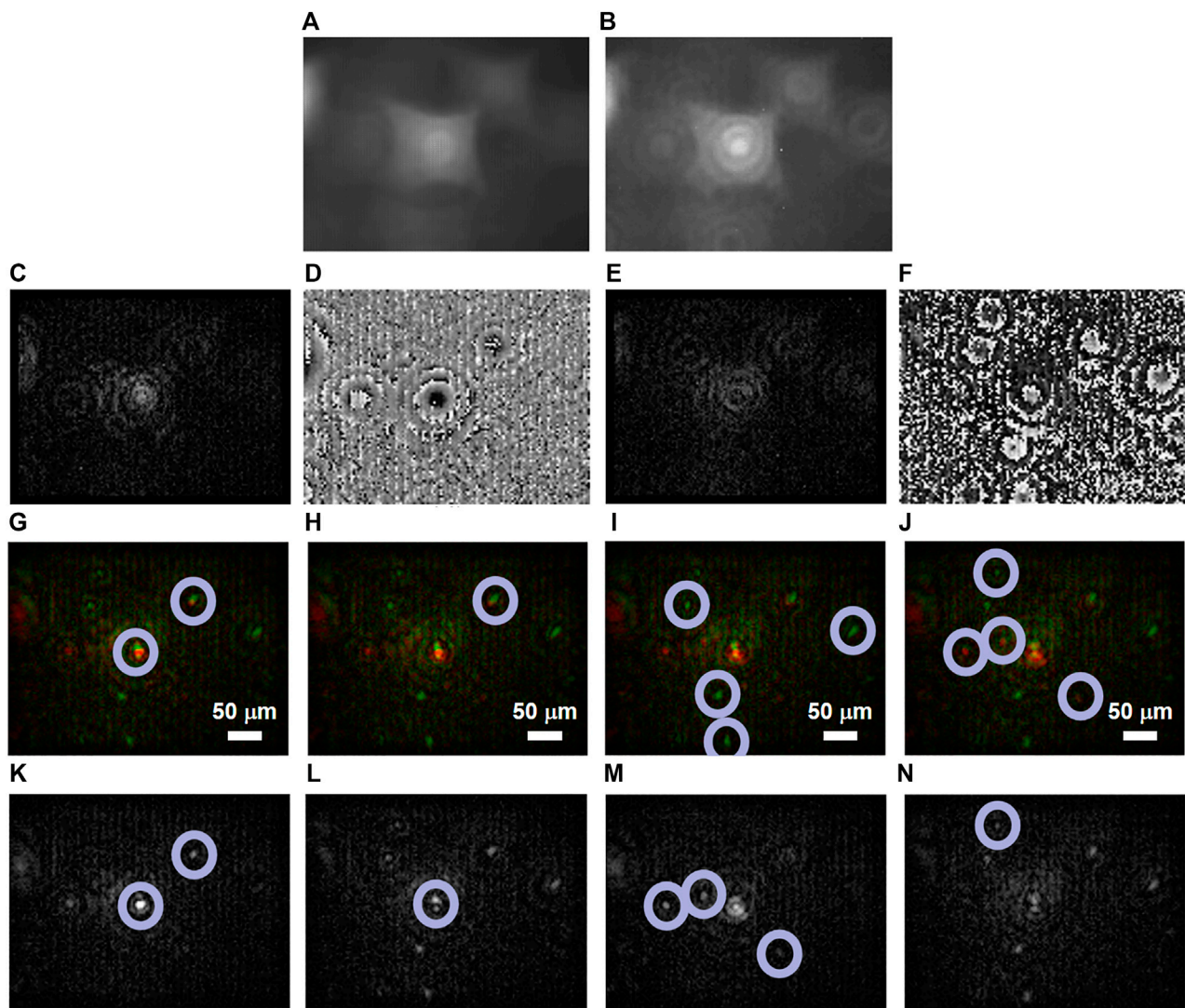
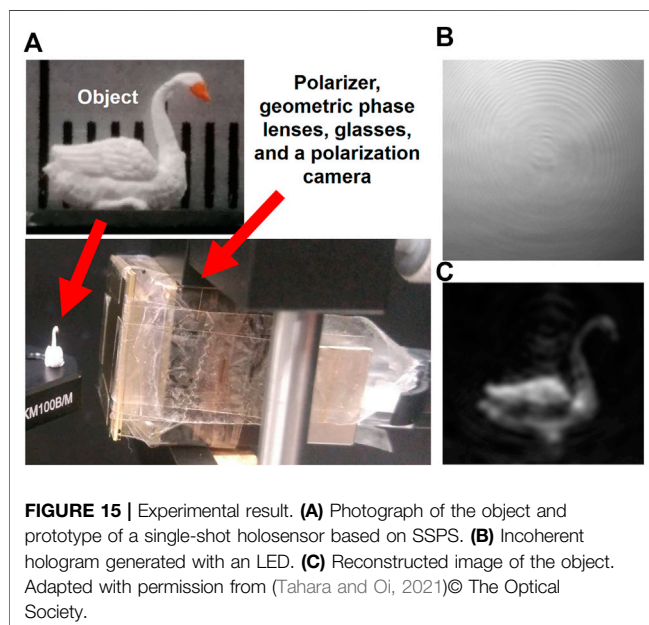
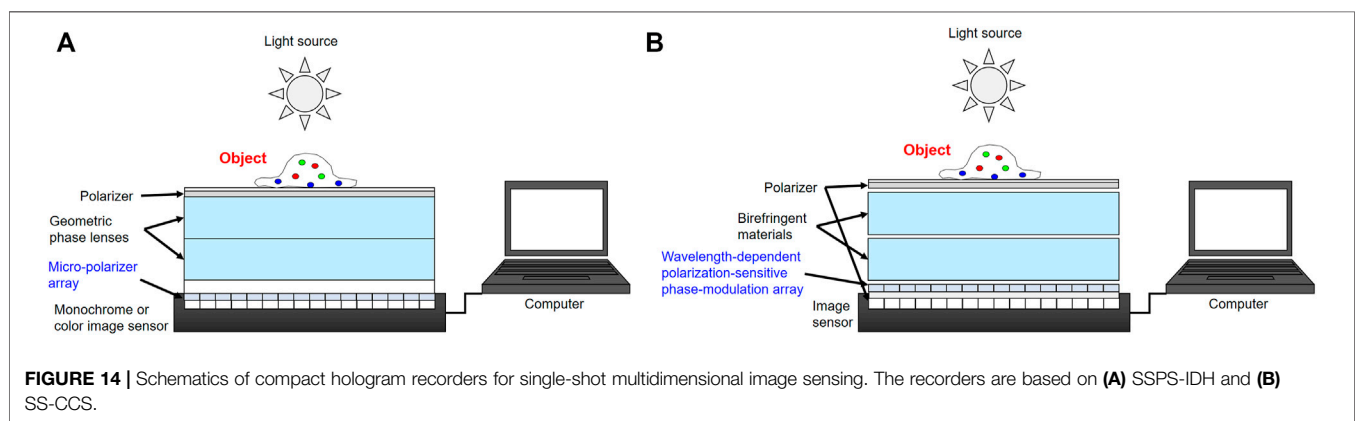
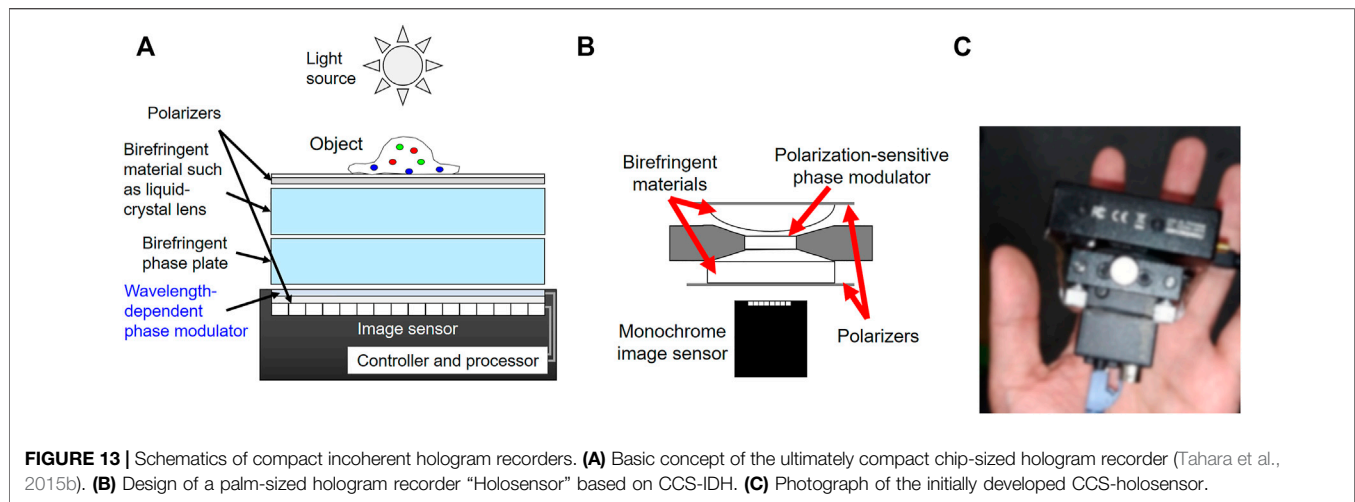


FIGURE 12 | Experimental results. **(A)** Recorded hologram and **(B)** a wavelength-multiplexed hologram de-mosaicked from **(A)**. **(C)** Intensity and **(D)** phase images of the object wave on the image sensor plane at a wavelength of 618 nm. **(E)** Intensity and **(F)** phase images on the image sensor plane at 545 nm. Color-synthesized images focused at depths of **(G)** 20.7 μm , **(H)** 23.7 μm , **(I)** 26.6 μm , and **(J)** 29.6 μm in the object plane. **(K)** 618 nm and **(L)** 545 nm components of **(G)**. **(M)** 618 nm and **(N)** 545 nm components of **(J)**. Blue circles in Figure 12 highlight focused complex molecules. Adapted with permission from (Tahara et al., 2020c) © AIP Publishing.

array. COACH utilizes wavelength dependency of a diffractive phase lens to separate wavelength information. The difference of the PSFs between different wavelength bands is used for the wavelength separation. FOV in the depth direction was limited but many researches are conducted to solve the problem. The main features of CCS-IDH are the single-path spectroscopic holographic interferometer with a phase modulator and wavelength separation with a small number of wavelength-multiplexed holograms. RGB holographic imaging has been conducted with seven wavelength-multiplexed holograms without a mechanical movement. The number of exposures is much less than that required for IDH adopting the Fourier spectroscopy when conducting RGB holographic imaging. Single-shot CCS is implemented using a WPP array, and

temporal information capacity is enhanced at the partly cost of spatial information capacity owing to space-division multiplexing. In comparison to IDH using an RGB color camera, SS-CCS IDH can improve the SBWP available for recording an RGB object wave. This is because the number of multiplexing is reduced, and spatial density of respective phase-shifted holograms is increased.

However, there are problems to limit the specifications of CCS-IDH, SSPS-IDH, and SS-CCS IDH. The research topics of CCS-IDH are described in the **section 3.2.1**. CCS-IDH will proceed toward a highly stable hyperspectral holographic 3D imaging technique and a phase modulator to enhance the specification of the technique will be a key optical device. An advanced signal-processing algorithm in CCS is also important to



enhance wavelength-sensing ability for IDH. In SSPS-IDH, a geometric phase lens is particularly useful for constructing a compact IDH system. A commercially available geometric phase lens is usable for white light. However, an undesired-order diffraction wave generated from the lens is not completely avoidable to date. Figure 9 shows an example. The residual light seen in the centers of **Figures 9A–G** is owing to the wave generated from the lens. Developments of some algorithms to remove such light are important to apply the SSPS-IDH system for scientific research. In SS-CCS IDH, the development of an advanced WPP array is important. Until now, it is difficult to attach an image sensor and a WPP array with a large number of cells and high spatial density.

Many IDH techniques have been proposed and developed as listed in **Table 1**. Experimental demonstrations of IDH techniques have been performed and various applications have been indicated with experimental results. However, researches and enhancements on IDH should be conducted toward applications to both scientific research and industry. Firstly, light-use efficiency should be improved toward the applications to fluorescence, Raman scattering, or other weak-

TABLE 1 | Comparisons of various IDH. *N* means the number of the wavelength bands measured.

	Tolerance against external vibrations	Monochrome imaging		Method to obtain wavelength information	RGB color imaging		Challenge to spectroscopic measurement
		Spatial information	Temporal information		Spatial information	Temporal information	
Optical scanning holography Poon and Korpel. (1979), Poon (1985)	High	Dependent on scan	Dependent on scan	Temporal frequency-division multiplexing	Dependent on scan	Dependent on scan	Requirement of multiple lasers
Conoscopic holography Sirat and Psaltis (1985), Mugnier and Sirat (1992), Mugnier et al. (1993), Mugnier (1995)	High	Difficulty in high resolution	Several exposures	—	—	—	—
IDH with a two-arm interferometer							
Rotational shearing Yoshimori (2001), Teeranutrannont and Yoshimori (2013) and Mach-Zehnder-type Pedrini et al. (2012), Naik et al. (2014)	Low	Full bandwidth	Several exposures	Temporal frequency-division multiplexing	Full bandwidth	More than 250 exposures	Acceleration of the measurement
Michelson-type with a concave mirror Kim (2012), Kim (2013), Clark and Kim (2015)	Low	Full bandwidth	Several exposures	Color camera with a color-filter array	A quarter of full bandwidth	Several exposures	Requirement of hyperspectral camera
Off-axis Michelson-type with a concave mirror Hong and Kim (2013)	Low	A ninth of full bandwidth	Single-shot exposure	—	—	—	—
Coherence holography Takeda et al. (2005), Naik et al. (2009) that adopts Sagnac interferometer	High	A ninth of full bandwidth	Single-shot exposure	—	—	—	—
Fresnel incoherent correlation holography Rosen and Brooker (2007), Rosen et al. (2011), Rosen et al. (2019)	High	Full bandwidth and PSF improvement	Several exposures	Use of multiple bandpass filters	Full bandwidth	3 <i>N</i> –4 <i>N</i> exposures	Requirement of multiple filters
Fourier incoherent single channel holography Kelner and Rosen (2012) and single-shot off-axis FINCH Quan et al. (2017)	High	A ninth of full bandwidth	Single-shot exposure	—	—	—	—
Coded aperture correlation holography Vijayakumar et al. (2016), Vijayakumar and Rosen (2017)	High	Full bandwidth	Several exposures	PSF engineering and a diffractive phase lens	FOV limited in the depth direction	Several exposures	Requirement of additional/modified signal processing
CCS-IDH Tahara et al. (2019), Tahara et al. (2020b), Hara et al. (2020), Tahara et al. (2021a), Tahara et al. (2021b)	High	—	—	Wavelength-dependent phase shifts	Full bandwidth	2 <i>N</i> + 1 exposures	Speed and range of phase modulation
SSPS-IDH Tahara et al. (2017a), Nobukawa et al. (2018), Choi et al. (2019), Tahara et al. (2020a), Liang et al. (2020)	High	A fourth of full bandwidth	Single-shot exposure	Color camera with a color-filter array	A 16th of full bandwidth	Single-shot exposure	Requirement of hyperspectral polarization-imaging camera
SS-CCS IDH Tahara et al. (2020c), Tahara et al. (2021)	High	—	—	Monochrome camera with a WPP array	A seventh of full bandwidth	Single-shot exposure	Development of an advanced WPP array

light nonlinear microscopy, and night-vision sensor. A polarimetric interferometer and a two-arm interferometer are frequently adopted in IDH. However, only a quarter of the intensity of the object wave can be utilized for the generation of an incoherent hologram in generally proposed IDH. An advanced optical system is effective for the improvement of the light-use efficiency. Furthermore, in the application to 3D fluorescence microscopy, light intensity is severely limited, and the number of photons in hologram recording should be

considered when conducting holographic measurements. The importance of quantum optics on weak-light holographic sensing for the estimation of holographic measurement accuracy (Okamoto and Tahara, 2021) will be further increased, particularly in IDH. Image-quality improvement is also important research theme. In IDH, visibility of a recorded incoherent hologram decreases as the size of the object increases. An image sensor with high dynamic range is used to obtain a high-quality incoherent hologram. However, higher dynamic

range is required to record an incoherent hologram of larger size of the object. As another research problem, most of self-interference incoherent DH has the problem of the depth resolution. PSF of the IDH system is generally not the same as that of DH with a plane reference wave. This is because a spherical wave whose wavefront curvature depends on the depth position of the object point is used to generate interference fringes in IDH. COACH can obtain higher depth resolution than FINCH and other many self-interference IDH techniques. However, PSF library is required in advance. Depth-resolution improvement by a simple method will extend applicability of IDH. As a demonstration for the applicability of IDH to depth imaging, the generation of a depth map from the recorded incoherent hologram(s) is also highly desired research topic. Quantitative visualization of depth information as a depth map was not strongly required in the field of IDH. In contrast, such a depth map has been generated in laser DH by using quantitative phase information and phase unwrapping. The importance on the generation of a depth map will be increased to indicate applicability to other research fields such as 3D particle and flow measurements, 3D surface inspection, and machine vision. The problem on the depth resolution will be indicated when the generation of a depth map.

As an additional discussion, we analyze the composition of the CCS-holosensor. The CCS-holosensor shown in Figure 13 is the combinations of CH, single-path spectroscopy exploiting polarization (Ueda and Takuma, 1984), and a PSI technique. Instead of a Faraday rotator used in ref. (Ueda and Takuma, 1984), a liquid crystal phase retarder is utilized as a wavelength-dependent polarization-sensitive phase modulator. Using a liquid crystal, the CCS-holosensor can work with low voltage and without additional controller. Instead of the use of single-wavelength PSI in IDH, which has been successfully performed by CH and FINCH (Mugnier and Sirat, 1992; Mugnier et al., 1993; Mugnier, 1995; Rosen and Brooker, 2007), multidimensional-multiplexed PSI termed CCS is adopted to conduct simultaneous multiwavelength holographic image sensing without changing optical filters such as color filters. The proposed CCS-holosensor is based on many pioneers including Lohman's idea for the use of a birefringent dual-focus lens. Considering the applications, the CCS-holosensor will be effective particularly for holographic sensing of multicolor self-luminous light. This is because one of the merits in IDH is to be able to acquire digital holograms of self-luminous light such as fluorescence light, which was initially performed by Poon *et al.* with OSH. Furthermore, CCS can perform the recording of multicolor fluorescence light as wavelength-multiplexed fluorescence digital holograms with a monochrome image sensor and no change of wavelength filters. Therefore, the CCS-holosensor will be able to work as an ultimately compact holographic fluorescence microscopy system. As another aspect, the CCS-holosensor can work as a compact spectroscopic

hologram recorder with self-luminous light including sunlight and Moon light. Compact IDH systems have been proposed using various IDH techniques, which is described in this review and ref. (Rosen et al., 2021). It is now possible to realize portable holographic imaging systems for sensing outdoor 3D scenes with IDH techniques. Using CCS-holosensor, spectroscopic holographic 3D imaging of outdoor 3D scenes will be realized without mechanically moving parts.

We have reviewed progress on IDH with an image sensor and its applications to microscopy and compact hologram recorders. Improvements of specifications such as measurement speed, image quality, and further downsizing are important next steps in IDH. Dynamic range, sensitivity, and low noise of an image sensor are now particularly important to obtain an incoherent digital hologram because the visibility and light intensity of such a hologram are lower than those of a hologram generated with a laser. Its importance on advanced digital signal processing techniques based on informatics such as deep learning and compressive sensing will also be increased (Wu et al., 2020; Wu et al., 2021). Algorithms for accelerating holographic image reconstruction are continuously developed and these will lead to real-time holographic measurement (Tsuruta et al., 2021; Shimobaba et al., 2022). Applications to the development of multidimensional imaging and measurement apparatus will be realized with the advancements in state-of-the-art optics, photonics, optical devices, and information science.

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The author has written the review article. The experimental results shown in this review article were obtained with the co-authors of the related papers.

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Elimination of Quadratic Phase Aberration in Digital Holographic Microscopy by Using Transport of Intensity

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We propose to reconstruct 3D images by combining the merits of transport of intensity and digital holography. The proposed method solves the transport-of-intensity equation by using digital holographic reconstructed images as inputs. Our simulation and experimental results show that this method can eliminate quadratic phase aberration introduced by the microscope objective in digital holographic microscopy. This proposed phase retrieval method is free of phase unwrapping process. It is thus efficient in removing quadratic phase aberration introduced by the microscope objective.

Keywords: phase retrieval, aberration elimination, digital holography, transport of intensity, phase unwrapping

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1 INTRODUCTION

Holography was invented in 1948 by Dennis Gabor (1948) to improve the resolution of an electron microscope. Leith and Upatnieks (1964) proposed off-axis illumination with an off-axis reference beam, thus eliminating the spectral overlap of the zeroth-order beam and the twin image inherent in Gabor's in-line configuration. Schnars and Jüptner (1994) first used a CCD camera to capture a hologram and subsequently reconstruct the hologram numerically. They termed the technique as Digital Holography (DH).

In order to improve the transverse resolution of holographic measurements, digital holographic microscopy (DHM) was developed. In combination with microscopy, DHM provides label-free, quantitative phase imaging (Cuche et al., 1999; Mann et al., 2005). Even though DHM has significant advantages such as being simple, non-intrusive, and dynamic. However, quadratic phase aberration introduced by the microscope objective is a great issue (Zuo et al., 2013a). In addressing this issue, quadratic error compensation method has been applied (Zhou et al., 2009). It includes an optical design compensation (Rappaz et al., 2005) such as the use of a telecentric architecture (Sánchez-Ortega et al., 2011) and a tunable lens compensation (Deng et al., 2017). The removal of quadratic phase aberration by software-based methods has also been investigated (Liu et al., 2018). Computer simulations of quadratic phase compensation (Colomb et al., 2006; Wang et al., 2019), least squares surface fitting compensation (Di et al., 2009), and automatic spectral energy analysis (Liu et al., 2014) have also been proven successfully. Most recently, deep learning compensation based on convolutional neural network has also shown great success (Nguyen et al., 2017). While these methods are promising, they mostly involve post processing steps for the quadratic phase removal, e.g., after holographic reconstruction. It is also feasible that intensity images can be reconstructed from a digital hologram to provide inputs for the transport-of-intensity equation

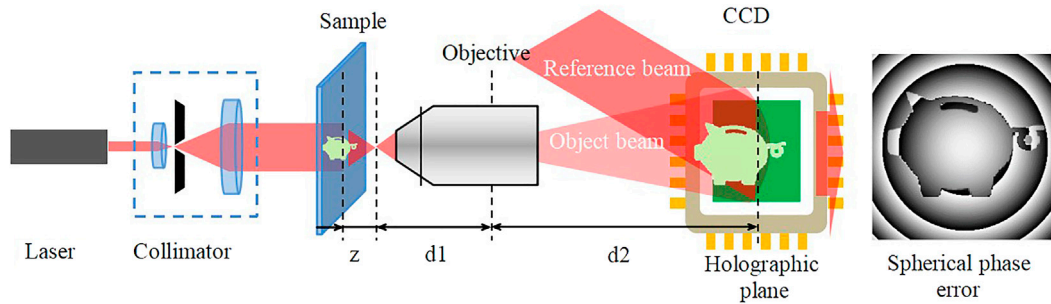


FIGURE 1 | Diagram of digital holographic plane microscopy.

(TIE) for unwrapped phase recovery. By doing this, we avoid shifting the sample or the camera in the experiment (Yan et al., 2019). In this research, we combine DH and TIE (DH-TIE) algorithm with regularization parameters. The TIE is a second-order elliptic partial differential equation for the phase ϕ . We use a fast method based on *FFT* to solve the TIE. Within the method of DH-TIE, a single hologram can provide phase retrieval without phase unwrapping (Zhou et al., 2018; Yan et al., 2019; Zuo et al., 2020; Lu et al., 2021).

Many scholars have combined digital holography and TIE in many applications. In the works by Zuo et al., TIE was invoked following the numerical reconstruction and propagation of the digital hologram, and the absolute phase without 2π discontinuities has been directly recovered (Zuo et al., 2013b). Whittkopp et al. described a microscopic setup implementing phase imaging by DHM and TIE, which allowed the results of both measurements to be quantitatively compared for either live cell or static samples (Whittkopp et al., 2020). Gupta et al. combined TIE with DH to overcome the artifacts caused by TIE phase recovery under low-light conditions by reconstructing the desired multiple out-of-focus intensity maps from the captured coaxial digital holograms (Gupta et al., 2020; Gupta and Nishchal, 2021). Kelly et al. (2013) compared Fresnel-based digital holography and phase retrieval from TIE. All these studies provide a lot of new ideas and methods for DH-TIE applications. In this paper, we employ the technique of DH-TIE to eliminate quadratic phase aberration introduced by a microscope objective in DHM. However, a suitable regularization parameter γ needs to be selected during the TIE phase retrieval process. By simulating the quadratic phase with different curvature factors, we provide some analysis for the appropriate selection of the regularization parameter. We will also present experimental results to verify our idea. In **Section 2**, we present some of the key formulas of the DH-TIE algorithm. In **Section 3**, a simulated phase object is used for the demonstration of the removal of quadratic phase aberration caused by a microscope objective in the DH system. In particular, we compare results using the DH-TIE and DH methods. We also analyze the effect of zero padding on phase retrieval. In **Section 4**, experimental results on a USAF resolution chart as a sample are presented to show the

effectiveness of quadratic phase aberration removal. In the last section, we make some concluding remarks.

2 KEY FORMULAS OF DH-TIE ALGORITHM

In DH, a laser beam illuminates an object and the amplitude (A_o) and phase (ϕ_o) of the light waves on the holographic plane form the object wave are as follows:

$$O_o(x, y) = A_o(x, y) \exp[i\phi_o(x, y)]. \quad (1)$$

In DHM (**Figure 1**), the object wave is magnified on the holographic plane by a microscope objective (MO), and the image of the object beam satisfies the rule of lens imaging. The wavefront of the object wave therefore contains an additional quadratic phase aberration introduced by the microscope objective and is given by

$$O(x, y) = A_o\left(\frac{x}{M}, \frac{y}{M}\right) \exp\left[i\phi_o\left(\frac{x}{M}, \frac{y}{M}\right)\right] \exp\left\{\frac{ik}{2\mu}[x^2 + y^2]\right\}, \quad (2)$$

where M is the magnification of the microscope objective, μ is the radius of curvature of quadratic phase aberration (also called the quadratic phase aberration factor) introduced by the microscope objective, and k is the wave number of the laser. In off-axis holography, there is an angle between the reference beam and the holographic plane, which generates a linear phase reference wave on the holographic plane:

$$R(x, y) = A_r \exp[ik(t_x x + t_y y)], \quad (3)$$

where t_x and t_y are the inclination factors along the x and y directions, respectively.

For off-axis holography, the digital hologram is generated through the interference between the object wave and the reference wave given by

$$H(x, y) = |R(x, y)|^2 + |O(x, y)|^2 + R^*(x, y)O(x, y) + R(x, y)O^*(x, y), \quad (4)$$

where $R^*(x, y)O(x, y)$ and $R(x, y)O^*(x, y)$ are the positive and negative first order images, respectively (Nguyen et al., 2017). We

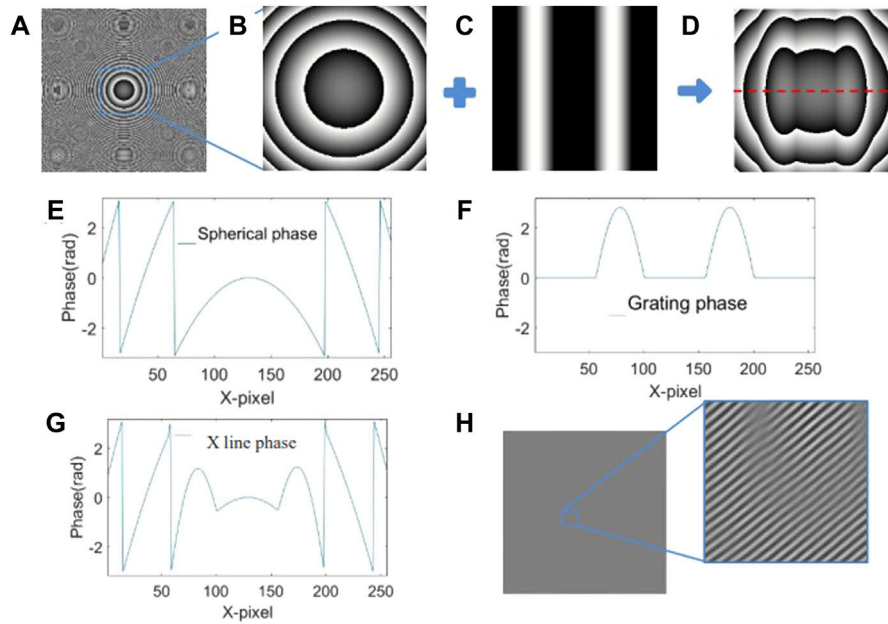


FIGURE 2 | Simulation of object wave and digital hologram. **(A)** Quadratic phase aberration simulated as the effect due to the microscope objective (wrapped), **(B)** Spherical phase map in center 256×256 region, **(C)** Object phase (sine grating function of two periods) located at the center of 256×256 region, **(D)** Wrapped phase map of quadratic phase combined with object. **(E)** Line trace of the quadratic phase extracted from the red line in **(B)**, **(F)** Line trace of the sine grating object from **(C)**, **(G)** Line trace of the combined phase extracted from the red line of **(D)**, **(H)** Simulated off axis digital hologram map with 50 mm recording distance.

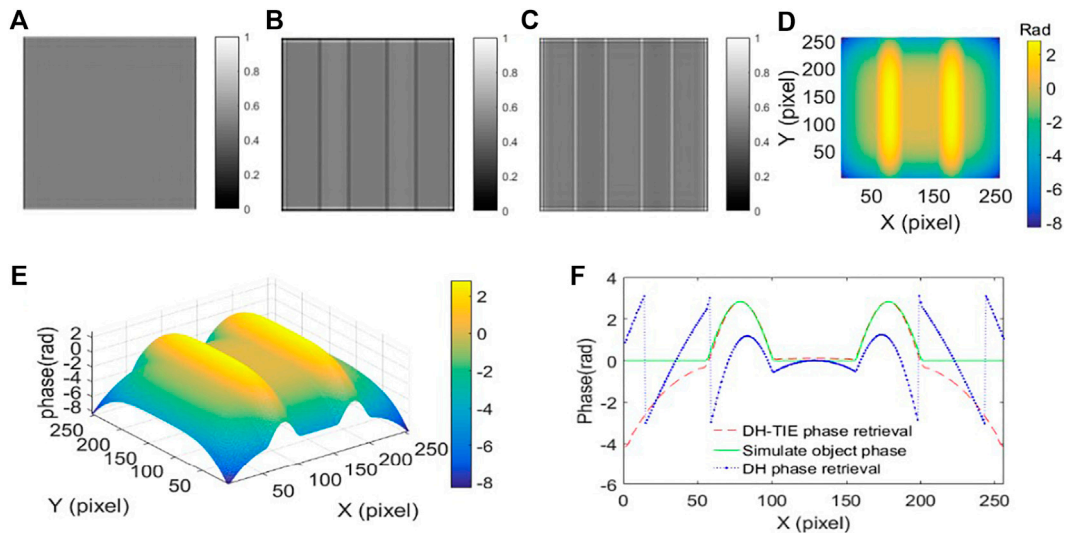


FIGURE 3 | Holographic reconstruction intensity images and TIE phase retrieval results. **(A)** Reconstruction intensity image (256×256 size of the center of the region) with 50 mm reconstruction distance. **(B)** Reconstruction intensity image with 49 mm reconstruction distance. **(C)** Reconstruction intensity image with 51 mm reconstruction distance. **(D)** Phase retrieval by DH-TIE with 1 mm Δz (vertical view). **(E)** 3D profile view of **(D)**. **(F)** Line traces of simulated object (Green), DH (Blue) and DH-TIE (Red) retrieval phase.

have used a Fourier spectrum window filter to extract the positive first order image of the hologram. Moreover, the tilted phase (with factors t_x, t_y) of the reference light can be eliminated by a Fourier frequency shift. The distribution of the filtered complex amplitude ($H^F(x, y)$) on the holographic plane is then given by

$$H^F(x, y) = F^{-1} \left\{ F^{filter} [R^*(x, y) \cdot O(x, y)] \right\} \\ = A_r A_0 \left(\frac{x}{M}, \frac{y}{M} \right) \exp \left[i \varphi_0 \left(\frac{x}{M}, \frac{y}{M} \right) \right] \exp \left[\frac{ik}{2\mu} (x^2 + y^2) \right]. \quad (5)$$

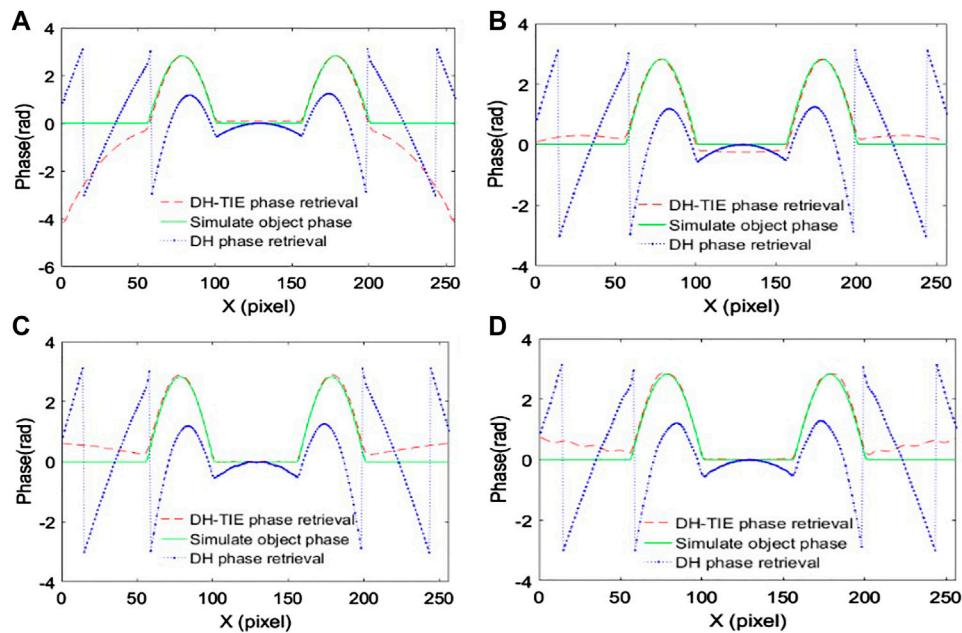


FIGURE 4 | Reconstruction under zero padding of different sizes and the line traces of simulated object (Green), DH (Blue) and DH-TIE (Red) phase retrieval. Reconstruction intensity images are with $\Delta z = 1$ mm. **(A)** The image file is 256×256 . **(B)** The image file is 512×512 . **(C)** The image file is 768×768 . **(D)** The image file is $1,024 \times 1,024$.

Finally, the complex amplitude distribution of the original sample needs to be calculated by a convolution formula under paraxial approximations based on Kirchhoff scalar diffraction (Poon and Liu, 2014). The diffraction process can be regarded as a linear and space invariant system. After illuminating the hologram with R . The reconstructed image is given by

$$O'(x, y) = \iint R \cdot H^F \cdot g_z(x - x_z, y - y_z; z) dx_z dy_z, \quad (6)$$

where

$$g_z(x, y; z) = \frac{1}{i\lambda} \frac{\exp(ik\sqrt{x^2 + y^2 + z^2})}{\sqrt{x^2 + y^2 + z^2}}, \quad (7)$$

is the point spread function in free space. Note that the quadratic phase aberration, $\exp\{ik_{2u}[x^2 + y^2]\}$, is contained in H^F . The angular spectrum numerical propagation method is used to propagate a small distance Δz , the value of Δz cannot be too small in the case of intensity measurement noise, otherwise the differential estimation of the light intensity will be drowned by the noise. However, when the value of Δz is too large, the phase ambiguity effect will become more obvious. In light of this, we choose Δz between the intensity measurement noise and nonlinear error as follows:

$$\frac{k\sigma}{\sqrt{2}IV^2\varphi} < \Delta Z \ll \frac{1}{\pi\lambda f_{max}^2}, \quad (8)$$

where $I(x, y; z)$ is the intensity distribution around the image plane, and $\varphi(x, y; z)$ is the corresponding phase distribution of

the phase object. The upper limit of the defocused distance is determined by the highest spatial frequency of the object f_{max} , and the lower limit is determined by the intensity measurement noise (assuming Gaussian noise of standard deviation σ). The next two defocused intensities from the focal plane are generated by the angular spectrum method (ASM) numerically, giving $I(x, y; z + \Delta z)$ and $I(x, y; z - \Delta z)$. The two intensities are used as input data to solve the TIE. The axial differential intensity $\frac{\partial I}{\partial z}$ is estimated by the following central finite difference method:

$$\frac{\partial I}{\partial z} \approx \frac{I(x, y; z + \Delta z) - I(x, y; z - \Delta z)}{2\Delta z}. \quad (9)$$

Figure 1 shows a diagram of the digital holographic microscopy system. The laser passes through the beam collimator and is divided into two beams, one of which passes through the object sample and is magnified by the microscope, and the other, which does not pass through the object, is served as a reference beam at the CCD to obtain the hologram of the sample. Furthermore in **Figure 1**, z is the reconstruction distance, d_1 is the object distance, and d_2 is the image distance.

The transport-of-intensity equation (TIE) is an elliptic partial differential equation (Zuo et al., 2014). In order to improve the speed of solving the equation, it can be approximated by the following Poisson equation:

$$\nabla(I\nabla\varphi) = -\frac{2\pi}{\lambda} \frac{\partial I}{\partial z}, \quad (10)$$

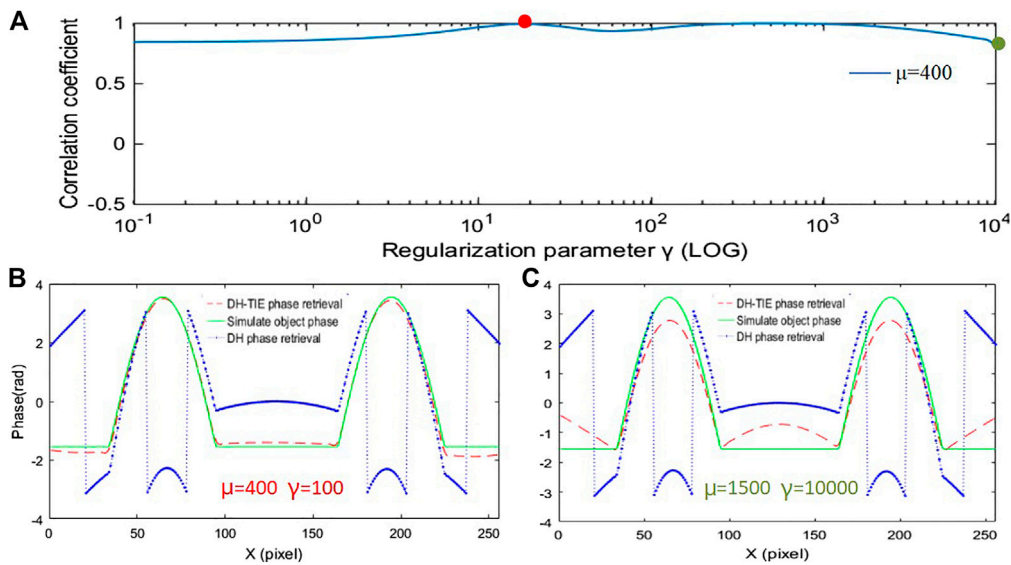


FIGURE 5 | (A) Correlation coefficient plots corresponding to different regularization parameters when the quadratic phase aberration factor $\mu = 400$. **(B)** Reconstruction results, line traces when the value of the regularization parameter γ is taken as 100. **(C)** Reconstruction results, line traces when the value of the regularization parameter γ is taken as 10,000.

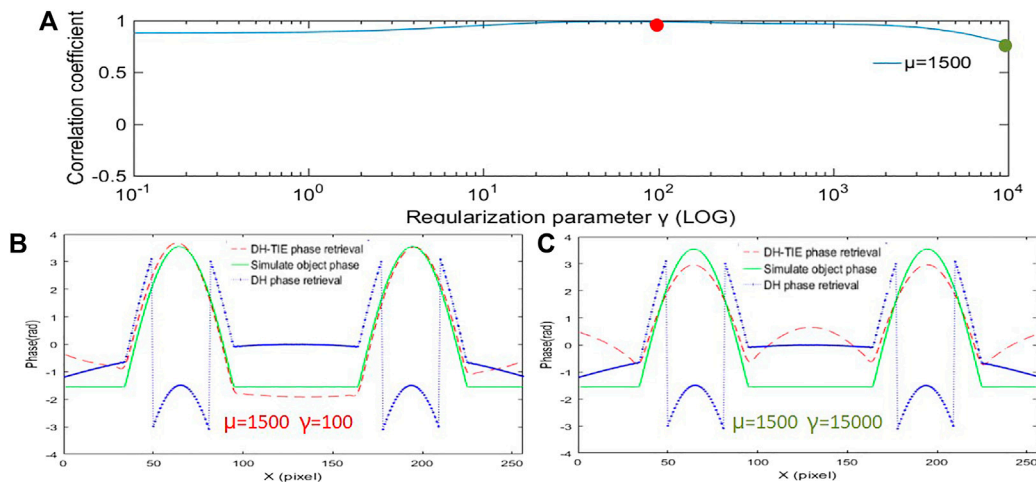


FIGURE 6 | (A) Correlation coefficient plots corresponding to different regularization parameters when the quadratic phase aberration factor $\mu = 1,500$. **(B)** Reconstruction results, line traces when the value of the regularization parameter γ is taken as 100. **(C)** Reconstruction results, line traces when the value of the regularization parameter γ is taken as 15,000.

where $\frac{\partial I}{\partial z}$, as shown in Eq. 9, can be approximated as the difference of defocused image intensities in two planes. The phase of the object can be reconstructed using the FFT-based Poisson solver (Teague, 1983; Zhou et al., 2018):

$$\varphi = \mathcal{F}^{-1} \left\{ \frac{f_x^2 + f_y^2}{(f_x^2 + f_y^2) + \gamma} \mathcal{F} \left\{ \mathbf{k} \cdot \frac{\partial I}{\partial z} \right\} \right\}, \quad (11)$$

where \mathcal{F} and \mathcal{F}^{-1} denotes forward and inverse Fourier transform notations, respectively. γ is the regularization

parameter, an important factor under the Tikhonov-regularization treatment. f_x and f_y are the spatial frequencies in the x and y directions, respectively. I_o is the intensity distribution at the focused plane and usually can be taken as the average intensities of the two defocused planes. Since the TIE is being solved using Fourier transforms, the boundary conditions are implicitly assumed as the same as that for the existence of the Fourier transform of the function (Banerjee, 2022). The Tikhonov-regularization treatment is commonly used to remove very low frequency artifacts, and

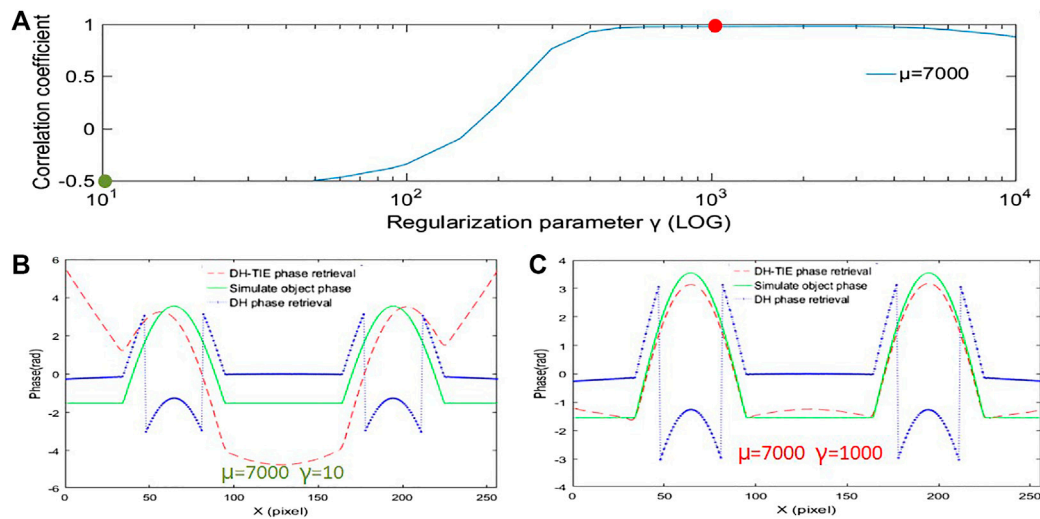


FIGURE 7 | (A) Correlation coefficient plots corresponding to different regularization parameters when the quadratic phase aberration factor $\mu = 7,000$. **(B)** Reconstruction results, line traces when the value of the regularization parameter is taken as 10. **(C)** Reconstruction results when taking the red point in **(A)**, line trace when the value of the regularization parameter is taken as 1,000.

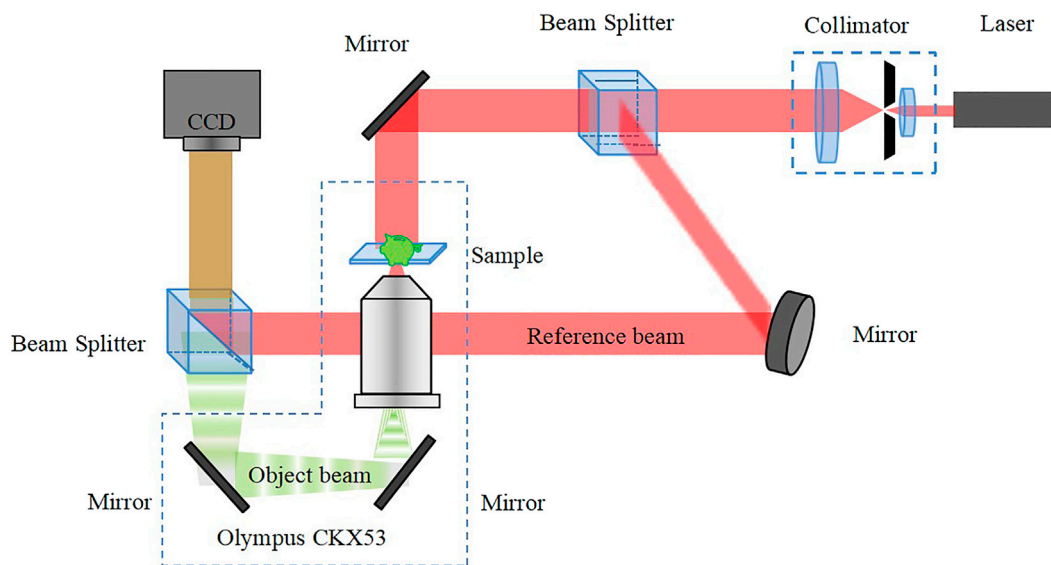


FIGURE 8 | Diagram of off-axis digital holographic microscopy system setup.

in the next Sections, we will demonstrate that the treatment can also filter out the slowly varying feature corresponding to spherical phase aberration introduced by the microscope objective in the DH system.

3 SIMULATION ANALYSIS

We have simulated a sine grating as a sample. The sinusoidal grating is expressed as follows:

$$t(x, y) = \begin{cases} e^{j \sin \omega x} \sin \omega x > 0 \\ 0 & \sin \omega x \leq 0 \end{cases} \quad (12)$$

The wavelength used is 632.8 nm . The square size of the hologram is $1,024 \text{ pixels} \times 1,024 \text{ pixels}$ with the pixel size of $4.65 \mu\text{m}$. The defocused distances are $\Delta z = \pm 1 \text{ mm}$. Quadratic phase aberration with spherical factor $\mu = 150$ is shown in **Figure 2A**. We add quadratic phase aberration on the object phase (sine grating of two periods) shown in **Figure 2C**, to simulate the object after imaged by the microscope objective, as

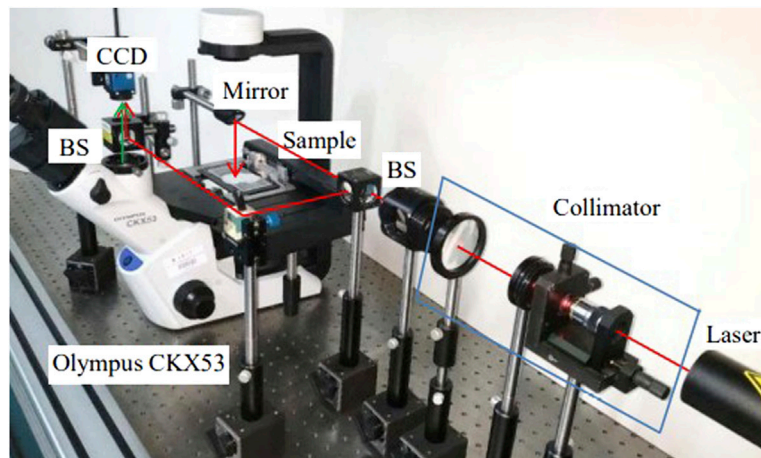


FIGURE 9 | Experimental setup diagram of off-axis digital holographic microscopy system.

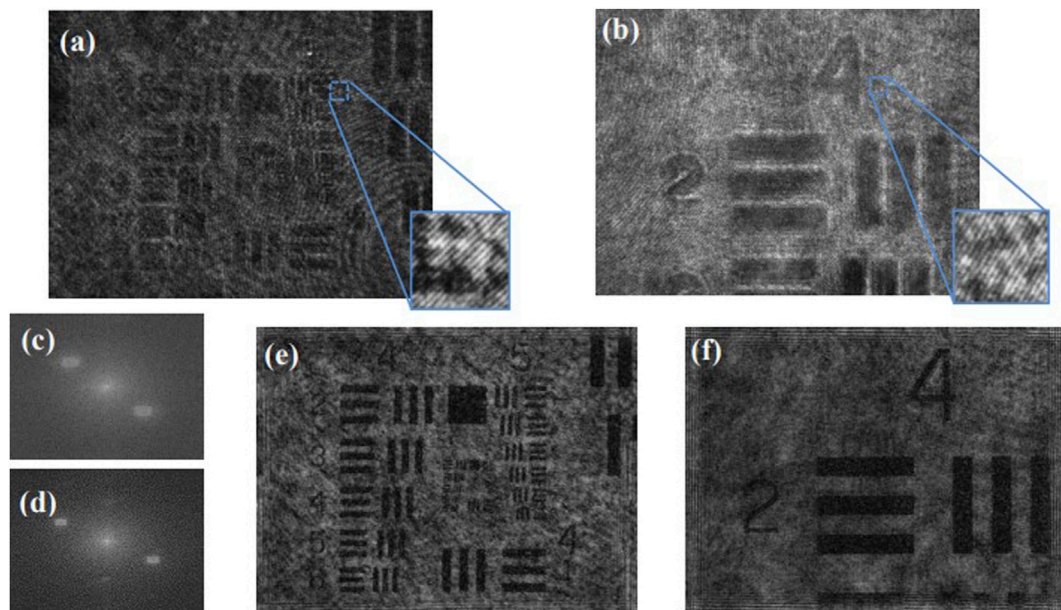


FIGURE 10 | Recorded holograms, two-dimensional spectra and reconstructed intensity images. **(A)** Hologram under X4 MO with 20 mm recording distance, **(B)** Hologram under $\times 10$ MO with 30 mm recording distance, **(C,D)** are the two-dimensional spectra of holograms **(A,B)**, respectively, **(E)** Focused intensity reconstructed image of **(A)**, **(F)** Focused intensity reconstructed image of **(B)**.

shown in **Figure 2D**. **Figure 2E** is a line trace across center of wrapped quadratic phase aberration from **Figure 2B**. **Figure 2F** is the line trace of the object phase from **Figure 2C**. **Figure 2G** shows the line trace of the wrapped object phase mixed with quadratic phase aberration. An off-axis reference beam is simulated to interfere with the aberrated object complex amplitude. The digital hologram is shown in **Figure 2H**, with 50 mm recording distance and the zeroth-order term in the hologram has been eliminated. The complex amplitude distributions of the focal plane and the defocused planes can be obtained by multiplying the digital hologram with the reconstructed reference light and perform the convolution reconstruction process for different distances.

As shown in **Figures 3A–C**, in the holographic reconstruction process, the simulated phase of the object wave modulates the intensity image, but quadratic phase aberration added to the object only affects the boundary region of the phase retrieval. **Figure 3A** is the intensity image with 50 mm reconstruction distance equal to the recording distance. **Figures 3B,C** are the intensity image with 49 and 51 mm reconstruction distance, respectively. We solve the TIE to calculate the object phase with three intensity images. **Figures 3D,E** show the phase retrieval by DH-TIE with $\Delta z = \pm 1$ mm from vertical view and the 3-D profile view, respectively. In general, we observe that the center area of phase retrieval yields fairly accurate results. Around the boundary region phase retrieval

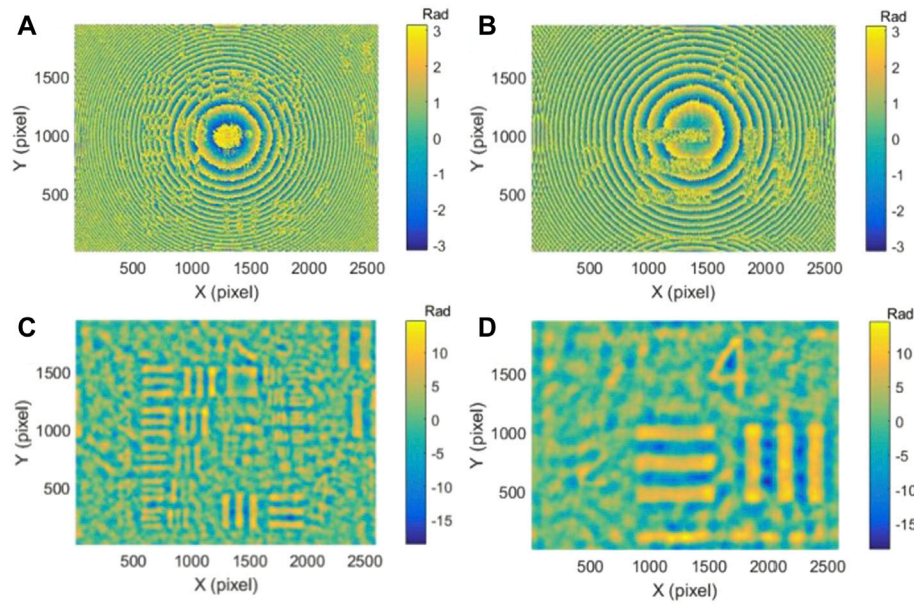


FIGURE 11 | Phase retrievals of DH and DH-TIE. (A,B) are the DH phase map retrievals from **Figures 10A,B**, respectively. (C,D) are the DH-TIE phase map retrievals from **Figures 10A,B**.

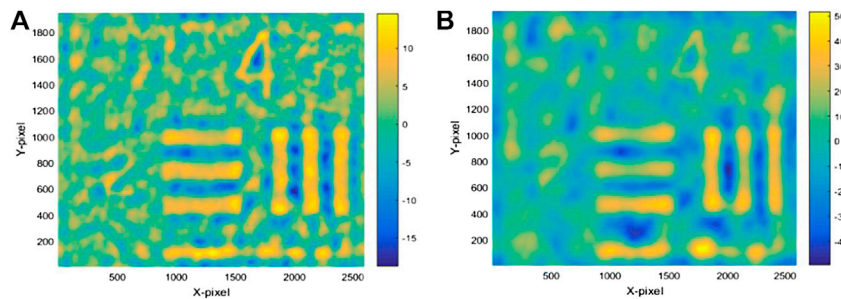


FIGURE 12 | Phase retrievals of DH-TIE under $\times 10$ MO. (A) Phase map retrieval when $\gamma = 1,000$ (B) Phase map retrieval when $\gamma = 10,000$.

is, however, associated with large errors as evidenced by **Figure 3F**, where we present line traces of simulated object (Green), DH (Blue) and DH-TIE (Red) phase results.

As it turns out, the DH-TIE phase retrieval is improved drastically by zero padding. We summarize the results in **Figure 4**. In **Figure 4A**, we have used a 256×256 image. In **Figures 4B–D**, we perform zero padding on the image file in **Figure 4A** to have 512×512 , 768×768 , $1,024 \times 1,024$ image files, respectively. It is evident that phase retrieval of using 512×512 , 768×768 , $1,024 \times 1,024$ image files are better than that from the 256×256 image file, and the reconstruction effect of 512×512 image file is the best among them. It is also clear that quadratic phase aberration added to the object does not impact phase retrieval using the DH-TIE method. Simulation results show that the DH-TIE method can effectively eliminate quadratic phase aberration in DHM, greatly simplifying the phase reconstruction process of real objects. Zero padding provides a larger uniform background on the original image file and can achieve better retrieval results.

We also study the reconstruction effectiveness due to the regularization parameter under different severities of quadratic phase aberration. A two-dimensional correlation coefficient $r(A, B)$ can effectively represent the similarity between the retrieved phase and the original phase (ground truth) and it is given by

$$r(A, B) = \frac{\sum_m \sum_n (A_{mn} - \bar{A})(B_{mn} - \bar{B})}{\sqrt{(\sum_m \sum_n (A_{mn} - \bar{A})^2)(\sum_m \sum_n (B_{mn} - \bar{B})^2)}}, \quad (13)$$

where A, B are two arrays in the same size, \bar{A} is the mean of A , and \bar{B} is the mean of B . When the correlation coefficient is closer to one, DH-TIE achieves better phase reconstruction. **Figure 5A** shows that when the quadratic phase aberration factor $\mu = 400$, the correlation coefficient is higher when the regularization parameter is 100–1,000, and the reconstruction effect is better. When the regularization parameter is 0.1–90 or more than 1,000, the correlation coefficient becomes lower with adverse reconstruction quality. **Figure 6A** shows that when the

quadratic phase aberration factor $\mu = 1,500$, the regularization parameter is optimized around 100. **Figure 7A** shows that when the quadratic phase aberration factor $\mu = 7,000$, the regularization parameter is optimized around 1,000.

4 EXPERIMENT AND RESULTS

To verify the effectiveness of the proposed method, a DHM system of off-axis Mach-Zehnder interferometer combined with an inverted microscopy (Olympus CKX53) has been constructed. The wavelength of He-Ne laser source (Da Heng DH-NH250) is 632.8 nm. The resolution of the CCD (Da Heng MER-500-7UM) is 2592(H)×1944(V) with single pixel size $2.2 \mu\text{m} \times 2.2 \mu\text{m}$. The off-axis digital holographic system setup is shown in **Figure 8**.

A collimated laser beam is divided into two beams after passing through a laser collimator and a beam-splitting prism. One of the beams is reflected by a reflector mirror and modulated by the sample phase to become the object beam, where the object wavefront (green) is magnified by an Olympus microscope and diffracted onto the CCD plane. The other beam is used as the reference light. An off-axis angle between the two beams is created to form an interference between the object and reference light waves on the CCD plane.

The actual off-axis digital holographic system is shown in **Figure 9**. The light beam emitted by the laser passes through a collimator and then passes through a beamsplitter (BS) which is divided into an object beam and a reference beam. The object beam passes through another beamsplitter and passes down through the object and the microscope, while the reference beam passes through the two beamsplitters and is incident onto the CCD together with the object beam (green arrow) to form an interference beam. In the system, the laser enters the Olympus microscope through a mirror, and by this way, the laser replaces the original light source of the microscope with vertical incidence, and the inverted microscope has been used to form a post-amplification digital holographic microscope. The merit of using an inverted microscope is that the microscope objective lens can be flexibly switched to change the magnification of the system.

We have obtained the holograms of a USAF 1951 resolution chart (Edmund Optics) using the holographic microscope. The digital holograms that we have captured under $\times 4$ and $\times 10$ microscope objectives are shown in **Figures 10A,B**, respectively. **Figures 10C,D** are the spectra of these two holograms, respectively, and **Figures 10E,F** are the focused intensity reconstructed images of **Figures 10A,B**, respectively.

In the DH-TIE phase retrieval process, we have used a focused image and two defocused images with 1 mm away from the focused image. We have reconstructed two holograms (**Figures 10A,B**) by the DH and DH-TIE methods. **Figures 11A,B** are the DH retrieved phase, and **Figures 11C,D** are the DH-TIE retrieved phase. In the DH-TIE phase reconstruction, the regularization parameter has been set to 5,000.

It can be seen in **Figures 11A,B** that quadratic phase aberration is sharp in the DH reconstruction. Quadratic phase aberration introduced by the microscope objective is so strong that the phase information of the objects have been completely buried. Under the $\times 4$ and $\times 10$ microscope objective, quadratic phase aberration has

different curvatures. The quadratic phase factor in **Figure 11A** is $\mu = 200$, and the quadratic phase factor in **Figure 11B** is $\mu = 400$. This problem does not exist in the phase retrieval process using DH-TIE as quadratic phase aberration has been eliminated and the object phase has been retrieved successfully as shown in **Figures 11C,D**. In order to verify the effect of different regularization parameters on the reconstruction phase, we compare reconstructions when $\gamma = 1,000$ and $\gamma = 10,000$ under the $\times 10$ microscope objective. It can be seen from **Figure 12** that reconstruction is worse when $\gamma = 10,000$ as compared to that when $\gamma = 1,000$. Clearly, regularization parameter plays an important role in reconstruction.

5 CONCLUDING REMARKS

Off-axis DHM simulation results show that, for quadratic phase aberration generated by a microscope objective, the DH-TIE method along with regularization can effectively eliminate quadratic phase aberration. The unique advantage of DH-TIE is that phase unwrapping is not needed. In contrast, conventional DH phase retrieval method is not able to remove quadratic phase aberration since there is no such process (regularization within TIE) for the removal of the quadratic phase. We have performed simulations that provide guidance for the proper selection of the regularization process under the TIE.

Through optical experimental results, along with regularization the DH-TIE method shows consistency in phase retrieval under quadratic phase aberration introduced by the microscope objective in the DH system. It should be noted that the uniformity of the beam brightness in the holographic plane should affect the accuracy of the DH-TIE reconstruction, because only when the light intensity is uniformly distributed on the hologram plane, the TIE equation can then be directly reduced to a Poisson equation, which can be solved directly by using Fourier transforms. This aspect should be further studied.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

WZ, HZ, and T-CP contributed to conception and design of the study. CW organized the database. YY performed the statistical analysis. SL wrote the first draft of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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Compact Computational Holographic Display

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Holographic display is an ultimate three-dimensional (3D) display technique that can produce the wavefront of 3D objects. The dynamic holographic display usually requires a spatial light modulator (SLM) with a following $4f$ system to eliminate the unnecessary orders produced by the grating structure of the SLM. We present a technique that displays the images without the $4f$ system. We detect the unnecessary wavefield by phase-shifting holography and suppress it using computational optimization. Experimental results are presented to verify the proposed method.

Keywords: holography, computational display, optimization, display, imaging

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1 INTRODUCTION

Holography could create a light field identical to the one generated by a real three-dimensional (3D) object. Thus, it is regarded as the ultimate display technique for 3D displays (Hong et al., 2011). One of the most challenging issues in holographic displays is modulating the complex wavefield at wavelength-scale, pixel by pixel, yielding ultra-high spatial resolution. The typical light modulation devices are spatial light modulators (SLMs) or digital micromirror devices (DMDs). The commercial SLMs or DMDs are mainly phase-only or amplitude-only, and the practical realization of a complex one is challenging. Various approaches have been reported to conduct complex field modulation in optical or computational manners (Hsueh and Sawchuk, 1978; Juday et al., 1991; Gregory et al., 1992; Neto et al., 1996; de Bougrenet de la Tocnaye and Dupont, 1997; Arrizón et al., 1998; Birch et al., 2000; Hsieh, 2007; Bingxia Wang et al., 2021). The optical approaches usually achieve complex modulation by integrating and controlling phase-only or amplitude-only SLMs in different ways (Juday et al., 1991; Gregory et al., 1992; Neto et al., 1996; de Bougrenet de la Tocnaye and Dupont, 1997; Hsieh, 2007), while the computational techniques convert the complex field into equivalent phase-only (Hsueh and Sawchuk, 1978; Birch et al., 2000) or amplitude-only (Arrizón et al., 1998; Bingxia Wang et al., 2021) ones that adapt to the physical constraints of commercial light modulators. The latter is also referred to as hologram encoding. Analytical solutions and computational optimization are two main approaches for hologram encoding. Detour phase (Arrizón et al., 1998; Bingxia Wang et al., 2021) and double-phase methods (Hsueh and Sawchuk, 1978; Song et al., 2012; Mendoza-Yero et al., 2014) are typical analytical techniques, which are fast but with compromised qualities, and can be easily applied to arbitrary complex holograms. Optimization techniques such as Gerchberg-Saxton (GS) (Gerchberg, 2002; Sun et al., 2018; Chen et al., 2020; Wu et al., 2021) are time-consuming with improved image quality. However, these are difficult to be applied to arbitrary complex fields (Chakravarthula et al., 2019). The rasterization structure of SLM or DMD also introduces multiple orders. The fill factor of the pixels results in the zero-order issue, which interferes with and distorts the modulated light wave. To improve the displayed image quality, it requires suppression of the zero-order. The $4f$ optical filter system (Arrizón et al., 2007; Liang et al., 2012; Ronzitti et al., 2012; Siemion et al., 2012; Improso et al.,

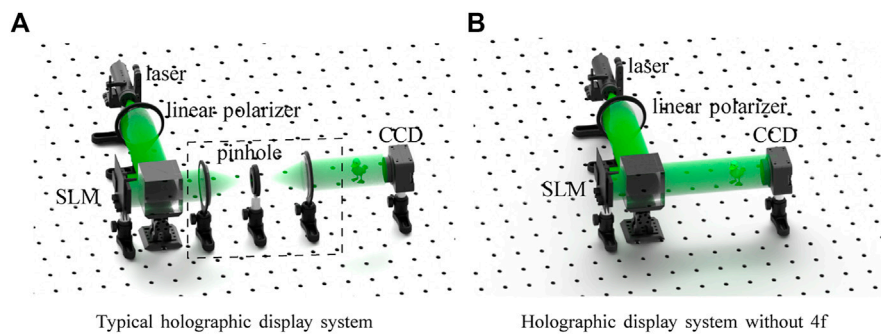


FIGURE 1 | Holographic display with (A) and without (B) 4f system.

2014) is a common approach that can block the zero-order in the Fourier plane. The 4f system and other variants of zero-order blocking techniques result in a non-accessible region in the final reconstruction because any part of the desired pattern near the zero-order area would also be affected. An ideal solution would be to create a correction beam with the same profile as the zero-order together with the desired target (Palima and Daria, 2007), which could create a destructive interference with the unwanted beam. However, developing complex field optimization may be the barrier to this approach (Improso et al., 2017).

Herein, we propose a computational holographic display technique that integrates phase-shifting holography and automatic differentiable (AD) optimization. The former helps us detect the unwanted complex wavefield in a holographic display system, and the latter achieves complex field optimization to suppress the unwanted wavefields. It shows that optimization through AD can obtain a phase-only hologram that acts equally as a complex field in an efficient way. In the simulation, the peak signal-to-noise (PSNR) and structural similarity index measure (SSIM) can reach 50 dB and 0.9 in around one second. Thanks to the powerful AD optimization, we can achieve comparable image quality in hologram display while deputing the 4f system. We present the methodology in Section 2 and experimental results in Section 3.

2 METHODS

Figure 1A represents a typical holographic display system, wherein a laser light beam illuminates an SLM that shows the holograms. The diffracted light goes through a 4f system and reproduces the desired objects. The 4f system, as denoted by the dashed rectangle in **Figures 1A**, is used to block unwanted terms induced by the rasterized SLM and its limited fill factor. It usually keeps only the first order of the diffraction (Zaperty et al., 2018). The 4f makes the system bulky and may introduce extra aberrations if the focal lengths of the lenses are small. Therefore, it is demanded to be eliminated. The ideal display system is shown in **Figure 1B**.

However, without the 4f system, the detected images along the optical axis is an interference pattern between the directly

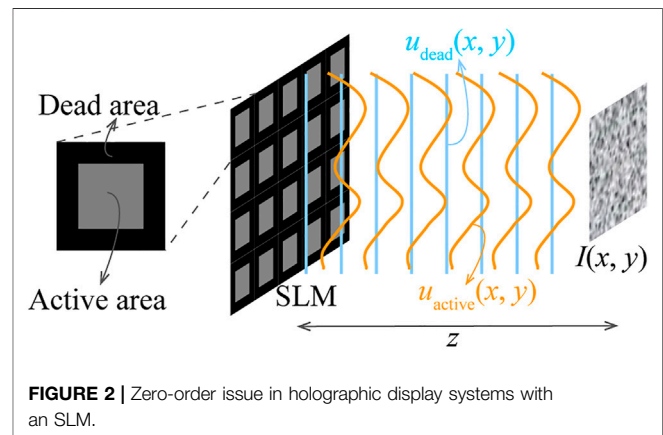


FIGURE 2 | Zero-order issue in holographic display systems with an SLM.

reflected beam and the diffracted beam from the SLM, as shown in **Figure 2** and **Eq. 1**:

$$I(x, y) = |\mathcal{P}(u_{dead}(x, y), z) + \mathcal{P}(u_{active}(x, y), z)|^2, \quad (1)$$

where $u_{dead}(x, y) = A(x, y)e^{j\phi_{dead}(x, y)}$ and $u_{active}(x, y) = A(x, y)e^{j\phi_{active}(x, y)}$ are the beams from the dead area and active area of the SLM, respectively, $\mathcal{P}(\cdot, z)$ is the free-space propagator of a complex amplitude of a wavefield with a distance z (described in Section 2.3), and $I(x, y)$ is the detected image in the camera sensor. The proposed method detects the complex amplitude of the wavefield $u_{dead}(x, y)$ and eliminates it with a computational optimization approach. It should be mentioned that $u_{dead}(x, y)$ is not only the directly reflected beam from the SLM but also contains some system aberrations. We obtain it through phase-shifting holography. With the known $u_{dead}(x, y)$, the computationally designed phase hologram displayed by the active area of the SLM can cancel it in the camera plane. We achieve this by automatic differentiable complex field optimization. The following two sub-sections describe the proposed method in more detail.

2.1 Unwanted Wavefront Detection

Figure 2 and **Eq. 1** show the unwanted complex amplitude of the wavefield we want to suppress is an interference pattern. Obtaining it is a holographic imaging problem. Therefore, we

introduce the four-step phase-shifting holography (Yamaguchi and Zhang, 1997; Jeong et al., 2008) to measure it. We treat the wavefield $u_{dead}(x, y)$ at the camera sensor plane as the target and the wavefield from the SLM active area as the reference beam and perform phase-shifting hologram recording. The four holograms are taken by shifting the reference beams' phase with a step of 0.5π ; that is, α is of $0\pi, 0.5\pi, \pi$ and 1.5π , respectively. Thus, the captured holograms under phase-shifting α can be represented by

$$\begin{aligned} I(x, y; \alpha) &= |u_{dead}(x, y) + u_{active}(x, y; \alpha)|^2 \\ &= |A_{dead}(x, y)|^2 + |A_{active}(x, y; \alpha)|^2 + \\ &= 2A_{dead}(x, y)A_{active}(x, y; \alpha) \cos(\phi_{dead}(x, y) - \phi_{active}(x, y) - \alpha). \end{aligned} \quad (2)$$

Thus, the phase and amplitude of the light beam of $u_{dead}(x, y) = A_{dead}e^{j\phi_{dead}(x, y)}$ can be obtained by (Jeong et al., 2008)

$$\phi_{dead}(x, y) = \tan^{-1} \left(\frac{I(x, y; 0.5\pi) - I(x, y; 1.5\pi)}{I(x, y; 0) - I(x, y; \pi)} \right), \quad (3)$$

$$A_{dead}(x, y) = \frac{1}{4} \frac{I(x, y; 0) - I(x, y; \pi)}{\cos(\phi_{dead}(x, y) - \phi_{active}(x, y))}. \quad (4)$$

It should be mentioned that we suppose the dead area of the SLM acts as a "mirror." However, the cover glass of the SLMs may be imperfect, which could result in an inaccurate estimation of the zero-order.

2.2 Unwanted Wavefront Suppression Through Automatic Differentiable Optimization

With the detected complex amplitude of the wavefield $u_{dead}(x, y)$, we need to calculate a desired phase only hologram that can be loaded into the active area of the SLM to produce the desired image after interfering with $u_{dead}(x, y)$. Suppose b is the known target, the problem is to find a phase $\phi(x, y)$, such that for a known distance z , the interference between the propagated wavefield and $u_{dead}(x, y)$ approaches the target b , which can be presented as

$$\min_{\phi} \mathcal{L}(\phi) = \|\mathcal{P}(e^{j\phi}, z) + u_{dead}(x, y)\|^2 - b\|^2, \quad (5)$$

We can optimize the above equation using a gradient descent. At iteration n , update ϕ^{n+1} given a step size τ :

$$\phi^{n+1} \leftarrow \phi^n - \tau \nabla \mathcal{L}(\phi^n), \quad (6)$$

with the derivatives of

$$\nabla \mathcal{L}(\phi^n) = \frac{\partial \mathcal{L}}{\partial \phi} \bigg|_{\phi=\phi^n}. \quad (7)$$

Computing $\frac{\partial \mathcal{L}}{\partial \phi}$ is critical because the partial derivatives indicate how the phase affects the error metric locally. The analytic expression of Eq. 7 is typically derived by writing an explicit expression for the error metric $\mathcal{L}(\phi)$ and symbolically differentiating with respect to each of the input parameters. Herein, we can expand Eq. 7 using the chain rule as follows:

$$\frac{\partial \mathcal{L}}{\partial \phi} = \frac{\partial \mathcal{L}}{\partial x_7} \frac{\partial x_7}{\partial x_6} \frac{\partial x_6}{\partial x_5} \frac{\partial x_5}{\partial x_4} \frac{\partial x_4}{\partial x_3} \frac{\partial x_3}{\partial x_2} \frac{\partial x_2}{\partial x_1} \frac{\partial x_1}{\partial \phi}, \quad (8)$$

where

$$\begin{aligned} x_1 &= \exp(j\phi) \\ x_2 &= \mathcal{F}\{x_1\} \\ x_3 &= x_2 \circ H \\ x_4 &= \mathcal{F}^{-1}\{x_3\} \\ x_5 &= x_4 + u_{dead} \\ x_6 &= |x_5|^2 \\ x_7 &= x_6 - y \\ \mathcal{L} &= |x_7|^2, \end{aligned} \quad (9)$$

where H is the transfer function of free-space wave propagation, \circ is the element-wise product, and \mathcal{F} and \mathcal{F}^{-1} are the Fourier and inverse Fourier operator, respectively. Calculating Eq. 8 is mathematically straightforward, but somewhat laborious. Herein, we apply the reverse-mode AD (Griewank and Walther, 2008), which is a cheap technique for a computing derivative of a scalar function with many variables by the chain rule (Blennow, 2018; Congli Wang et al., 2021). From Eqs 8, 9, it is clear that we need to optimize real-valued loss functions with complex variables, that is, $f(z): \mathbb{C} \rightarrow \mathbb{R}$. However, a non-constant real-valued function of a complex variable is not (complex) analytic and therefore is not differentiable. Generally, the same real-valued function viewed as a function of the real-valued real and imaginary components of the complex variable can have a (real) gradient when partial derivatives are taken with respect to those two (real) components, that is, $f(z) = f(x, y): \mathbb{R}^2 \rightarrow \mathbb{R}$. However, taking the real or imaginary part of a complex number (Peng et al., 2020; Chen et al., 2021), do not satisfy the Cauchy-Riemann equations and cannot be addressed via a complex differentiation. In this work, we use the Wirtinger derivative (Remmert, 1991; Kreutz-Delgado, 2009), which can rewrite a real differentiable function $f(z)$ as two-variable holomorphic function $f(z, z^*)$, where $z = x + jy$ and $z^* = x - jy$. We can use the chain rule to establish a relationship between partial derivatives of $\frac{\partial}{\partial z}$, $\frac{\partial}{\partial z^*}$ and the partial derivatives with respect to the real and imaginary components of z :

$$\begin{aligned} \frac{\partial}{\partial x} &= \frac{\partial z}{\partial x} \frac{\partial}{\partial z} + \frac{\partial z^*}{\partial x} \frac{\partial}{\partial z^*} = \frac{\partial}{\partial z} + \frac{\partial}{\partial z^*} \\ \frac{\partial}{\partial y} &= \frac{\partial z}{\partial y} \frac{\partial}{\partial z} + \frac{\partial z^*}{\partial y} \frac{\partial}{\partial z^*} = 1j \times \left(\frac{\partial}{\partial z} - \frac{\partial}{\partial z^*} \right) \end{aligned} \quad (10)$$

From the aforementioned equations, we get the classic definition of Wirtinger calculus:

$$\begin{aligned} \frac{\partial}{\partial z} &= \frac{1}{2} \left(\frac{\partial}{\partial x} - 1j \times \frac{\partial}{\partial y} \right) \\ \frac{\partial}{\partial z^*} &= \frac{1}{2} \left(\frac{\partial}{\partial x} + 1j \times \frac{\partial}{\partial y} \right) \end{aligned} \quad (11)$$

For step s and loss L , we have $z_{n+1} = z_n - s \times \frac{\partial L}{\partial z^*}$. This tells us that we can simplify the complex variable update formula above to only refer to the conjugate Wirtinger derivative $\frac{\partial L}{\partial z^*}$, giving us exactly the step we take in optimization.

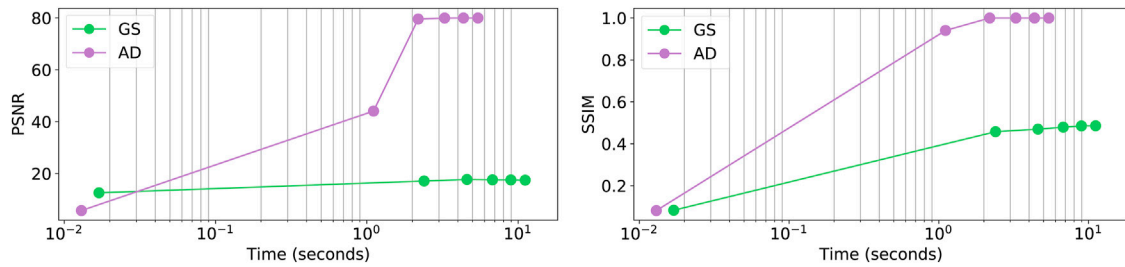


FIGURE 3 | Image quality of the proposed AD and the traditional Gerchberg–Saxton (GS) versus computation time.

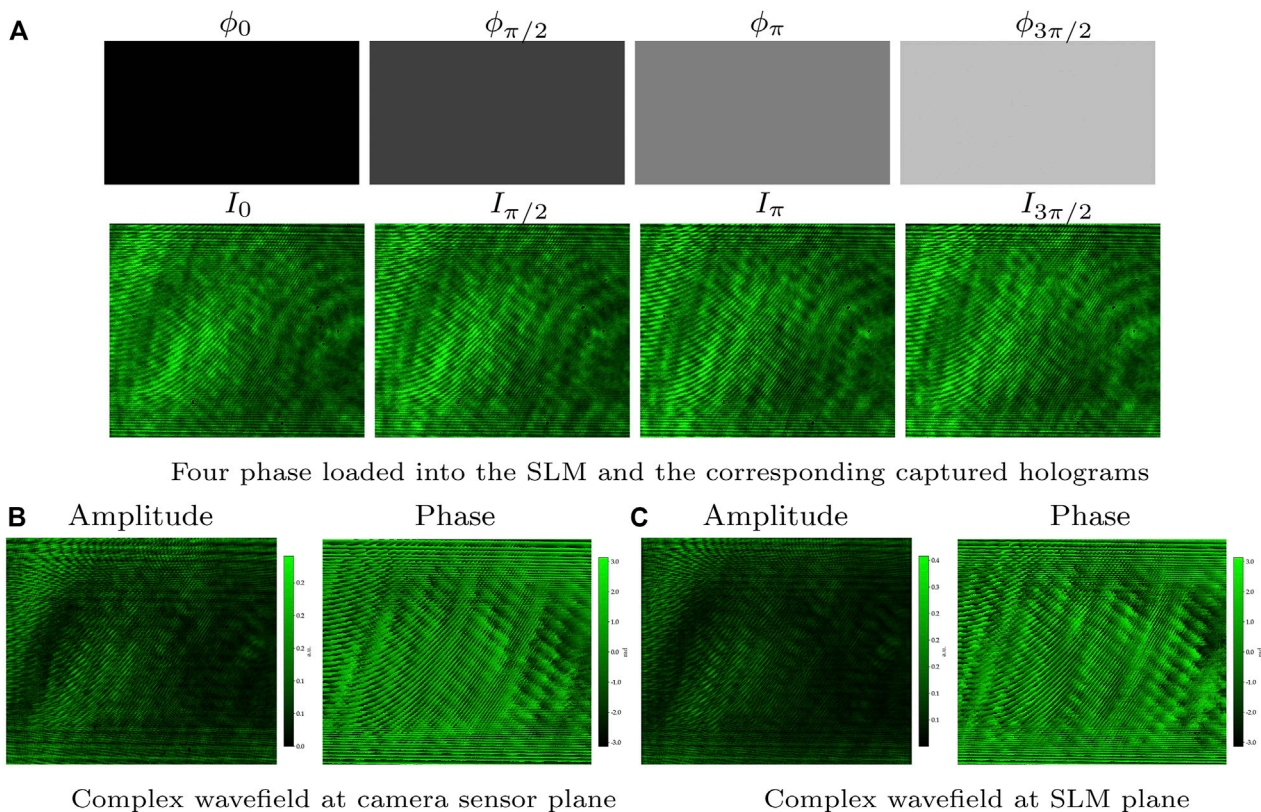


FIGURE 4 | Four phases and the holograms (A), and the calculated wavefield at the image sensor (B) and SLM (C) planes.

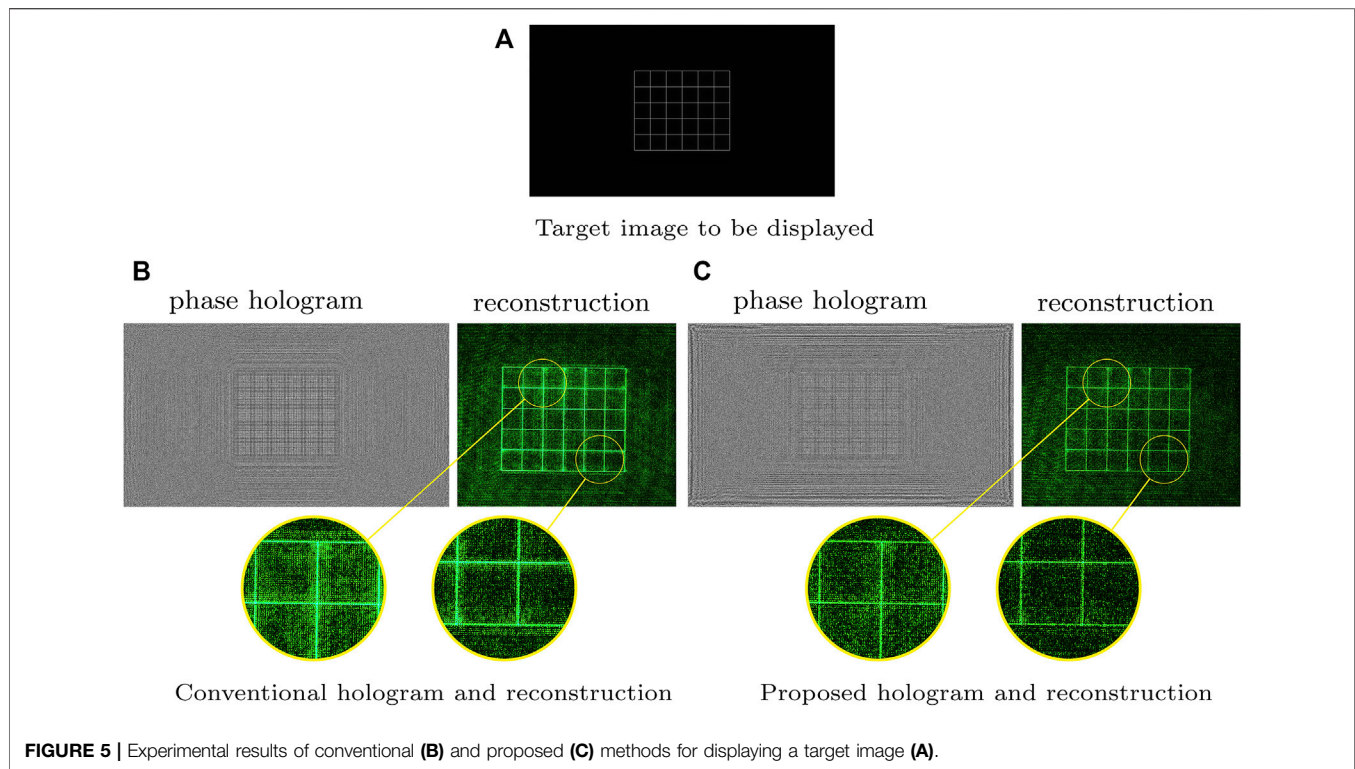
The complex numbers are represented by the cascading of real and imaginary parts in the last dimension. The derivative implementation of the complex elementary functions follows the gradient rules in Table 1 of Jurling and Fienup (2014). We implement the proposed method with PyTorch 1.8 (Chilamkurthy and Tanamala, 2019), while the derivatives are calculated automatically. All presented results in the following sections were performed on a workstation with an Intel Core i7-6820 CPU and an NVIDIA GTX1080 GPU. **Figure 3** shows the image quality of the proposed AD and the traditional Gerchberg–Saxton (GS) concerning the computation time. It takes around one second to optimize one $1,024 \times 1,024$ hologram with a PSNR ratio more

significant than 70 dB and SSIM larger than 0.8. In comparison, the GS method can hardly reach a PSNR larger than 20 dB and SSIM larger than 0.6.

2.3 Free-Space Wavefield Diffraction

The wave propagation mentioned in the previous sections is free-space diffraction and is implemented with the angular spectrum method (ASM) of the Rayleigh–Sommerfeld diffraction (Goodman, 2005):

$$u(x, y) = \int_{z_1}^{z_2} \mathcal{F}^{-1} \{ \mathcal{F} \{ u(x, y, z) \} H(f_x, f_y, z - z_1) \} dz, \quad (12)$$



where $u(x, y, z)$ is the complex amplitude at a plane located at z , \mathcal{F} is the Fourier transform, and H is the ASM transfer function given by

$$H(f_x, f_y, z) = \begin{cases} \exp\left(j2\pi z \sqrt{\frac{1}{\lambda^2} - (f_x^2 + f_y^2)}\right), & \sqrt{f_x^2 + f_y^2} < \frac{1}{\lambda} \\ 0, & \text{otherwise.} \end{cases} \quad (13)$$

3 EXPERIMENTS

The SLM in the experiment is HOLOEYE PLUTO with a full resolution of $1,920 \times 1,080$ pixels and $8 \mu\text{m}$ pixel pitch. Moreover, the image sensor is Point Grey Research GS3-U3-50S5C, with a resolution of $2,248 \times 2,048$ pixels and $3.45 \mu\text{m}$ pixel pitch. The light source was a laser diode with a center wavelength of 532 nm , and the image sensor distance was 250 mm away from the SLM. The four phases used for detection $u_{\text{dead}}(x, y)$ are shown in the above row of **Figure 4A**, and the corresponding captured holograms are shown in the below row. The phase-shifting hologram reconstruction is shown in **Figure 4B**, and the propagated wavefield at the SLM plane is shown in **Figure 4C**. We conducted a calibration to match the size difference between the image sensor and the SLM.

For a target image shown in **Figure 5A**, we calculated the phase-only holograms without and with the unwanted term

suppression, which are shown in the left column of **Figures 5B,C**. Whether the difference between the conventional and the proposed method is optimizing the zero-order term or not, the computational calculation time is the same. However, we conducted the phase-shifting holography in the proposed method to obtain the zero-order field. The calculation time can be overlooked, but the capture takes time. This can be improved by automatically synchronizing and controlling the SLM and the camera. The corresponding experimentally reconstructed images are shown in the right columns. Comparing the proposed method with the conventional one, we can observe less background noise in **Figure 5C**, indicating that the proposed technique suppresses some of the unwanted terms. However, some noise still exists, which may be due to the inaccurate modeling of the optical system. This could be improved if we further consider the laser speckle and the SLM's fill factor that reflects only part of the incident light wave.

4 DISCUSSION AND CONCLUSION

We presented a computational holographic display technology that can achieve a lightweight holographic display with high quality. The results show that the automatic differentiable complex wavefield optimization can suppress the unwanted wavefield with the assistance of phase-shifting holography. The automatic differentiable complex wavefield optimization can also

be applied to other optical systems requiring aberration or system error corrections.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

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AUTHOR CONTRIBUTIONS

NC proposed the idea and conducted the simulation and experiments. CW and WH worked together on the manuscript writing.

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Compressive Interferenceless Coded Aperture Correlation Holography With High Imaging Quality

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Interferenceless coded aperture correlation holography (I-COACH) provides an alternative way for the 3D imaging of spatial incoherent illuminated or fluorescent sample. However, the low imaging signal-to-noise ratio (SNR) is one of the bottlenecks that restrict the application of I-COACH. The limitation is mainly originated from the strong bias level that presents in the recorded holograms. Phase shifting methods were implemented in I-COACH to eliminate the background noise while the multiple-exposures recording mechanism significantly reduces the temporal resolution of the system. In this paper, we proposed a compressive I-COACH imaging method with high reconstruction quality and without the sacrifice of the imaging speed. The 3D holographic image reconstruction was implemented under compressive sensing framework while only one single-exposure object hologram and one point spread hologram are necessary. High quality reconstructions were obtained using the proposed method, even for the down-sampled holograms. The imaging SNR of the I-COACH system was improved by a factor of more than 16.5% when comparing with the imaging SNR obtained by the conventional cross-correlation reconstruction method. The proposed method provides a fast and high-fidelity imaging method that can potentially benefit the imaging through scattering medium, partial aperture imaging, and other fields.

Keywords: incoherent holography, coded aperture imaging, 3D imaging, compressive sensing, high quality reconstruction

INTRODUCTION

Holography based on two-beam mutual-coherent and interference has unique advantages in the field of quantitative phase contrast imaging and 3D imaging because it can record and reconstruct not only the amplitude but also the phase of the light that emitted or reflected from the object. However, coherent sources are not always practically available, especially in some important applications such as astronomical imaging and fluorescence microscopy. In addition, the coherent speckle noise in the reconstructed images has significantly affected the imaging performance of the holographic system (Men et al., 2017). On the other hand, incoherent holography that first was proposed by Mertz and Young in 1961 has extended the application of holography to the field of spatial incoherent imaging (Rogers, 1950; Mertz et al., 1961). The basic idea of original incoherent holography is that the spatial incoherent objects can be 3D reconstructed from their Fresnel zone plate projection patterns (hologram). The incoherent holographic techniques were then further developed and improved by Lohmann, Stroke, and Cochran (Lohmann, 1965; Stroke and Restrict, 1965; Cochran, 1966). One significant difference between incoherent holography and conventional holography is that the

mutual coherence between any two different points on the sample is no longer necessary for holographic recording. Instead, the holographic recording scheme was based on the characteristics of spatial self-coherence by splitting the light that emitted from each point on the object into two beams and then recording the resulting interference patterns. However, incoherent holographic methods are normally failed in providing images with sufficient SNR. The problems are mainly arising from the strong bias in the recorded holograms as the results of the incoherent superposition of all the point holograms.

A new type of incoherent digital holography, coined as coded aperture correlation holography (COACH), was proposed in 2016 (Vijayakumar et al., 2016), which originated from Fresnel incoherent correlation holography (FINCH) (Men et al., 2017). COACH possesses the advantages of higher axial-resolution and higher spectral-resolution when comparing with FINCH. In COACH, the pseudorandom coded phase mask (CPM) generated using Gerchberg-Saxton (GS) algorithm is loaded onto the spatial light modulator (SLM), and the self-interference holographic recording is realized based on the beam splitting using the polarization sensitive characteristics of the SLM (Vijayakumar et al., 2016). The 3D reconstruction of the object is realized by cross-correlating the object hologram (OH) with the point spread holograms (PSHs) that have been recorded at all possible axial planes. That the 3D information of an object can be encoded and decoded even without the use of self-interference recording was found by later research. The interferenceless coded aperture correlation holography (I-COACH) was proposed for 3D imaging without any two-wave interference (Vijayakumar and Rosen, 2017). Comparing with COACH, I-COACH has a much simpler optical setup and improved photon-energy efficiency. However, the temporal resolution of the system is sacrificed because multi-exposure phase shifting technique and image averaging method were used to suppress the background noise that dominates the reconstructed images. Different techniques such as phase filtering (PF) (RatnamRai et al., 2017), non-linear reconstruction (NLR) (Rai and Rosen, 2019; Liu et al., 2020), and adaptive reconstruction (Wan et al., 2021) were used to suppress the background noise and improve the temporal resolution of the I-COACH system. However, the increases of temporal resolution normally come with the decreases of reconstruction quality. On the other hand, compressive sensing (CS) theory has demonstrated that signals, assumed to be sparse in some basis and sampled by multiplex encoding systems, may be accurately inferred with high probability from much fewer measurements than suggested by Nyquist's sampling theorem. In digital holography, the CS technique provides a high-efficiency way for 3D object reconstruction from single real-valued (intensity) hologram. Specifically, the 3D sample is modulated by the optical system to form a 2D hologram, and the reconstruction process is implemented under the CS framework to achieve 3D tomographic imaging of the sample (Zhang et al., 2018). In compressive digital holography, the theoretical model of the holographic recording procedure of a 3D object was first established based on vector diffraction theorem. The forward and backward propagation models, with sparsity regularization imposed on the object, were then used to solve the so-called under-determined inverse problem that will be met when trying to reconstruct 3D objects from their

single-exposure digital hologram. As a result, the bias and twin-images can be suppressed (Brady et al., 2009; Lim et al., 2011; Brady et al., 2015; Zhang et al., 2018).

In this paper, inspired by the basic idea of compressive holography, we propose a compressive I-COACH 3D imaging method with high imaging quality and most importantly without the sacrifice of the system temporal resolution. In CS-I-COACH, only single-exposure PSH and OH are necessary for the 3D reconstruction of the object. The imaging model under the CS frame of the I-COACH was first discussed, followed by the preliminary experimental results on 2D and 3D objects that demonstrate the validity of the proposed method. The dependence of the CS reconstruction accuracy in I-COACH on the scattering degree of the CPM was analyzed. The implementation of CS high fidelity reconstruction for under-sampled holograms was also discussed. We believe the proposed method provides a powerful research tool and benefits the optical research fields such as 3D tracking, imaging through scattering medium, and partial aperture imaging.

THE SENSING MODEL OF THE I-COACH SYSTEM

Nyquist sampling frequency is a sufficient but not necessary condition for the high-fidelity retrieval of a discrete signal. CS theory demonstrates that if the signal is sparse itself or can be represented sparse in a certain domain (i.e., Fourier domain or wavelet domain), the original signal can be reconstructed with high probability using numerical optimization approaches, even when the sampling rate is much lower than the value required by the Nyquist criterion. CS can be used for image reconstruction in imaging systems because most natural images are sparse or have sparsity in some transform domains. Assume the image f can be expressed on the orthogonal base $\varphi = \{\varphi_1, \varphi_2, \dots, \varphi_n\}$ as:

$$f = \sum_{i=1}^n \varphi_i \mu_i = \varphi \mu \quad (1)$$

where the coefficient $u = [u_1, u_2, \dots, u_n]^T \in \mathbb{R}^{n \times n}$. If $\|\mu\|_0 \leq k$, ($\|\cdot\|_0$ is defined as the l_0 -norm and k is the number of non-zero elements in u , $k \ll n$), then f is a k -sparse under matrix φ , while φ is a sparse operator. Given the measurement matrix $R \in \mathbb{C}^{m \times n}$, the matrix that describes the imaging procedure and details of object-image transformation, the measured image g can be expressed as:

$$g = Rf = R \sum_{i=1}^n \varphi_i \mu_i = R\varphi\mu = \Theta\mu \quad (2)$$

where $\Theta \in \mathbb{C}^{m \times n}$ is the sensing operator, $g \in \mathbb{C}^{m \times 1}$ is the measurement, and the dimension m of g is much smaller than the dimension n of f . Then an accurate reconstruction can be obtained with high probability by solving:

$$\hat{\mu} = \arg \min_u \|g - \Theta\mu\|_1 \quad s.t. \quad g = \Theta\mu \quad (3)$$

An I-COACH optical setup is shown in **Figure 1**. The object or pinhole is critically illuminated with spatial incoherent light, the

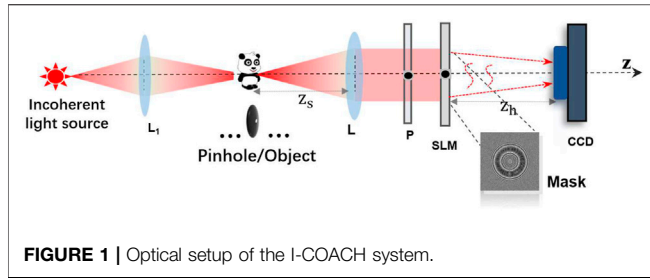


FIGURE 1 | Optical setup of the I-COACH system.

diffracted light is collected and collimated by the lens L , and then modulated by the phase mask which comprises pseudorandom CPM and a quadratic phase mask (QPM) with focal length f_s . The light scattered by the phase mask is then projected to the sensor plane by the QPM. The hologram of the object is recorded by the image sensor.

In the system as shown in **Figure 1**, for a point object at the position of (x_s, y_s, z_s) , the intensity distribution I_{psh} on the CCD plane can be calculated and given as (Wan et al., 2021):

$$\begin{aligned} I_{psh}(\bar{r}_h; \bar{r}_s, z_s) \\ &= \left| C \sqrt{A_s(\bar{r}_s; z_s)} L\left(\frac{\bar{r}_s}{z_s}\right) Q\left(\frac{1}{z}\right) \exp[i\varphi(\bar{r})] \otimes Q\left(\frac{1}{z_h}\right) \right|^2 \\ &= I_{psh}\left(\bar{r}_h - \frac{z_h}{z_s} \bar{r}_s; z_s\right) \end{aligned} \quad (4)$$

where the sign \otimes is 2D convolution, $z = z_s f_L f_s / (f_L f + z_s f_L - z_s f_s)$, and $\sqrt{A_s(\bar{r}_s; z_s)}$ is the amplitude of the object point at (x_s, y_s, z_s) . C is a complex constant. $\bar{r}_s = (x_s, y_s)$ is the transverse location vector. $\bar{r}_h = (x_h, y_h)$ is the transverse location vector on the CCD. z_h/z_s is the transverse magnification. The function $\varphi(\bar{r})$ represents the phase of the pseudorandom CPM calculated by the GS algorithm. L and Q are linear and quadratic phase functions, given by $L(\bar{r}_s/z) = \exp[i2\pi(b_x x + b_y y)/\lambda z]$ and $Q(b) = \exp[-i\pi b(x^2 + y^2)/\lambda]$, respectively. In the I-COACH system, the point spread function of the imaging system is actually the point spread hologram (PSH) of the point object.

The system of I-COACH is treated as a linear space-invariant imaging system (Wan et al., 2021). Assume that the intensity response of the K -th axial position plane of the 3D object at $z_s = z_k$ to the CCD plane is the convolution of the system point spread function and the 2D object plane. Therefore, the intensity pattern of the object at a certain depth level on the CCD and the hologram reconstruction with pure phase filtering can be given as **Eqs. 5, 6**, respectively, which show the sensing model under the CS frame of I-COACH.

$$\begin{aligned} I_{oh}(\bar{r}_h; z_k) &= O(\bar{r}_s; z_k) \otimes I_{psh}(\bar{r}_h; z_k) \\ &= \sum_j a_j \delta(\bar{r}_s - \bar{r}_j) \otimes I_{psh}\left(\bar{r}_h - \frac{z_h}{z_s} \bar{r}_j; z_k\right) \\ &= \sum_j a_j I_{psh}\left(\bar{r}_h - \left(1 + \frac{z_h}{z_s}\right) \bar{r}_j; z_k\right) \end{aligned} \quad (5)$$

$$\begin{aligned} O'(\bar{r}_s; z_k) &= I_{oh}(\bar{r}_h; z_k) \otimes I_{psh}^*(\bar{r}_h; z_k) \\ &= \mathfrak{F}_{2D}^{-1} \{ \mathfrak{F}_{2D}(I_{oh}) \cdot \mathfrak{F}_{2D}(I_{psh}^*) \} \\ &= [\mathfrak{F}_{2D}^{-1} E \mathfrak{F}_{2D}] \mu = \Theta \mu \end{aligned} \quad (6)$$

where $\mathfrak{F}_{2D} \mu$ corresponds to the calculation of $\mathfrak{F}_{2D}(I_{oh})$, \mathfrak{F}_{2D} represents the 2D discrete Fourier transform matrix, and \mathfrak{F}_{2D}^{-1} represents the inverse 2D discrete Fourier transform matrix. The sensing matrix of CS-I-COACH can be obtained from **Eq. 6**:

$$\Theta = \mathfrak{F}_{2D}^{-1} E \mathfrak{F}_{2D} = \mathfrak{F}_{2D}^{-1} \exp(-i \arg(\bar{I}_{psh})) \mathfrak{F}_{2D} \quad (7)$$

The matrix $\Theta = \mathfrak{F}_{2D}^{-1} E \mathfrak{F}_{2D}$ denotes the I-COACH reconstruction process of the hologram. The object reconstruction is done with the pure phase filtering of E given by $E = \exp[-i \arg(\mathfrak{F}_{2D}(I_{psh}(\bar{r}_h; z_k)))]$. The compressed reconstruction problem of I-COACH can be denoted as an optimal solution problem, which is solved by the TwIST algorithm (Bioucas-Dias and Figueiredo, 2007). Further considering that the fluctuation of high frequency noise in the reconstructed image is small in the space domain, the total variable (TV) algorithm is chosen to suppress the reconstructed image noise:

$$\hat{u} = \arg \min_u \|g - \Theta u\|_2^2 + \tau \|u\|_{TV} \quad (8)$$

As described above, the CS model of I-COACH and the optimized solution algorithm are established, combined with the sensing matrix to suppress the influence of the bias term on the reconstructed image, which is expected to obtain a high quality reconstructed image quickly by single exposure of PSH and OH.

EXPERIMENTS AND RESULTS

Preliminary experiments were carried out to demonstrate the validity and imaging performance of the proposed CS-I-COACH system. In the first experiment, the transmitted object was imaged, and the imaging performances of the CS-I-COACH were quantitatively evaluated and compared with conventional I-COACH methods. The next experiment verified the high SNR imaging capabilities of CS-I-COACH for under-sampled holograms.

As shown in **Figure 2**, the pinhole or object was illuminated by the incoherent light emitting diode (LED) (Thorlabs LED 625L4, 700 mW, center wavelength of $\lambda = 625$ nm, $\Delta\lambda = 17$ nm) placed at the front focal plane of the lens L_0 with focal length $f_0 = 150$ mm. The light from the beam splitter BS_1 was collimated by the lens L_0 and passed through a polarizer P . The polarizer P polarizes the light along the orientation of the active axis of the spatial light modulator (SLM, Holoeye PLUTO, $1,080 \times 1,920$ pixels, $8 \mu\text{m}$ pixel pitch, phase-only modulation) located at a distance of 55 mm from the L_0 . On the SLM, a phase mask is displayed whereas its phase is the combination of a CPM and a QPM with a focal length of $f_s = 150$ mm. The light modulated by the SLM was

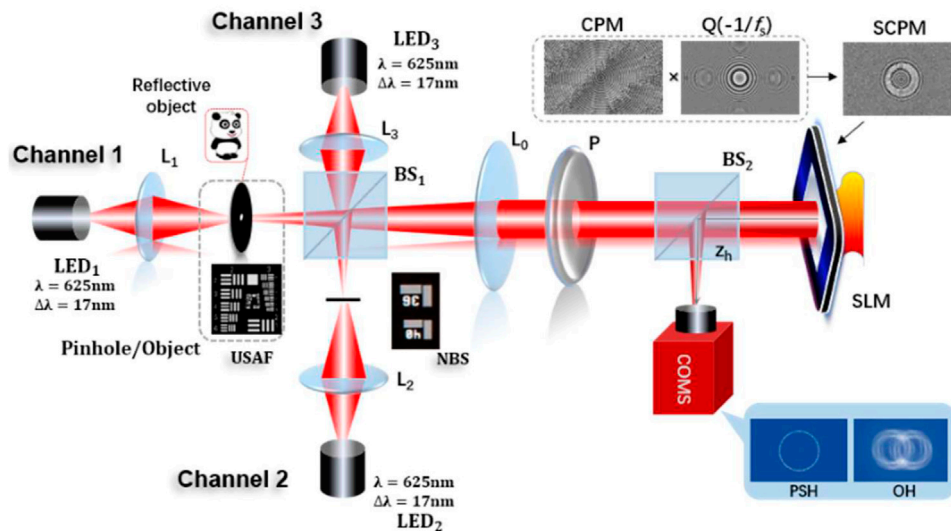


FIGURE 2 | Experimental setup of the CS-I-COACH.

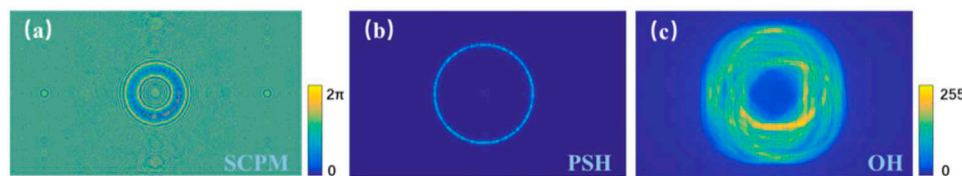


FIGURE 3 | The SCPM used in hologram recording and experimentally recorded holograms. **(A)** Phase of the SCPM; **(B)** the Point spread hologram; **(C)** the object hologram.

collected by a Charge Coupled Device (CCD, Thorlabs CS235MU, $1,200 \times 1920$ pixels, $5.86 \mu\text{m}$ pixel pitch, and monochrome) located at a distance of $z_h = 171 \text{ mm}$ from the SLM.

In the first experiment, the ability of CS-I-COACH to image two-dimensional transmissive objects was demonstrated. Similar with our work before (Wan et al., 2021; Liu et al., 2022), the scattering degree $\sigma = 0.167$ and the annular width $\omega = 1$ pixel were chosen as the optimization parameters of the CPM in the modified GS algorithm. The PSH was recorded by placing in Channel-1 a $20 \mu\text{m}$ diameter pinhole at the front focal plane of the input lens L_0 . Channel-2 was blocked during the recording of PSH. Then with Channel-1 blocked, the object hologram OH was recorded with a United States Air Force resolution chart (USAF 1951 RES-1, Newport, placed at the front focal plane of the L_0 , line-groups of 4 and 5 have been illuminated) as the 2D object. The synthesized coded phase mask (SCPM), the hologram of a $20 \mu\text{m}$ diameter pinhole (PSH), and the hologram of a USAF target chart (OH) are shown in **Figure 3**, respectively.

The reconstructed images of the single-exposure PSH and OH were obtained using different traditional reconstruction methods and the CS-I-COACH reconstruction method,

respectively, and the results are shown in **Figure 4**. The reconstructed images from the single-exposure PSH and OH as shown in **Figures 3B,C** were obtained using different methods of cross-correlation (CC), pure phase filtering (PF), non-linear reconstruction (NLR), and CS-I-COACH, respectively. The results are shown in **Figure 4**. For the CS-I-COACH, Eq. 8 was solved using the TwIST algorithm with $\lambda_{\text{TwIST}} = 0.001$ (iteration = 50). Regularization parameter of $\tau_{TV} = 0.01$ was used. For comparison, the direct imaging result obtained in the same system (by using the SLM as a lens) is shown in **Figure 4A**. The strong background noise that presents in the CC results (**Figure 4B**) was suppressed partially by the PF (**Figure 4C**) and more effectively by the NLR (**Figure 4D**) reconstruction method. However, the imaging performances of the I-COACH system, especially the background noise level, were still much worse than the direct imaging (**Figure 4A**). The CS-based methods, on the other hand, provide results with much better quality (**Figure 4E**) than their conventional reconstruction counterparts. The improvements on the imaging quality can be seen more clearly from the inserted line profiles and the peak-signal-to-noise-ratio (PSNR) (Bioucas-Dias and Figueiredo, 2007) data of the reconstructions (**Figure 4F**).

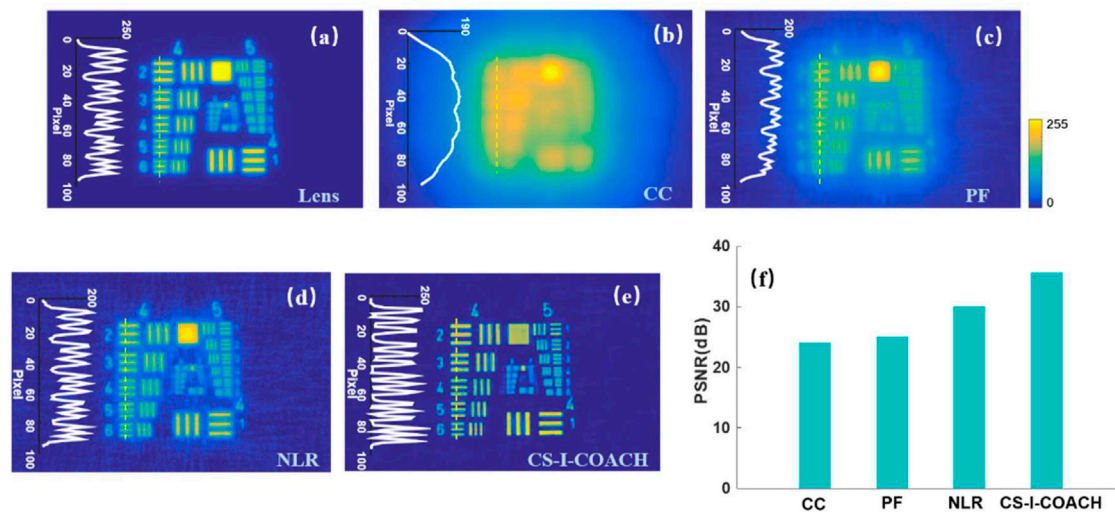


FIGURE 4 | Comparison of the results of different reconstruction methods of PSH and OH for single exposure. (A) Lens imaging; (B) cross-correlation; (C) phase filtered cross-correlation; (D) non-linear reconstruction with parameters $\alpha = 0.8$ and $p = -0.2$; (E) our proposed CS-I-COACH compression reconstruction method; (F) the PSNR of the reconstructed images from different methods.

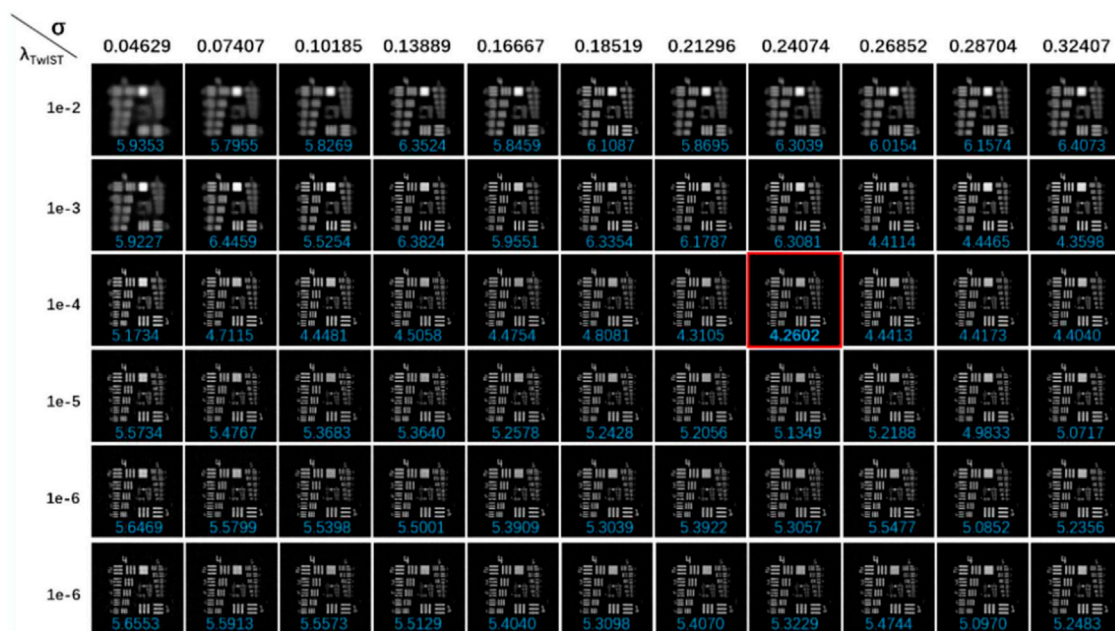
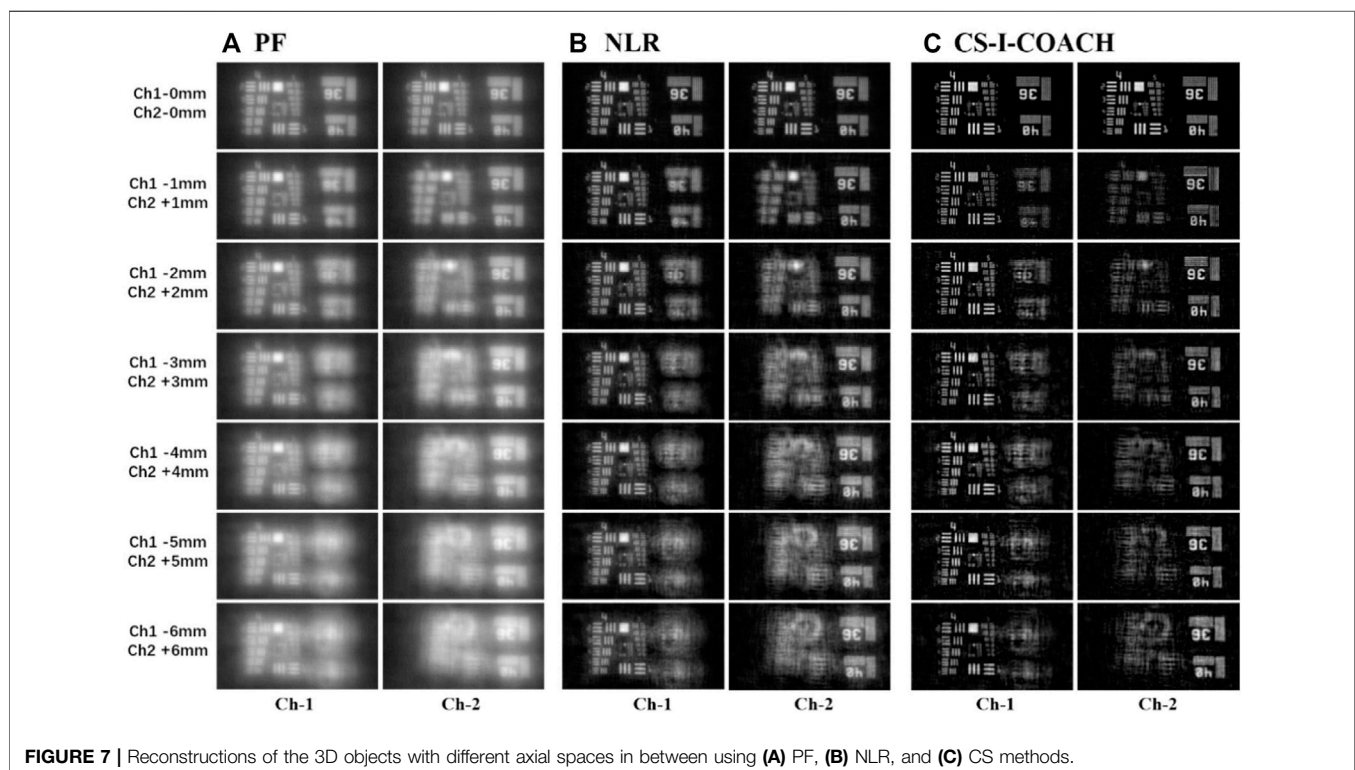
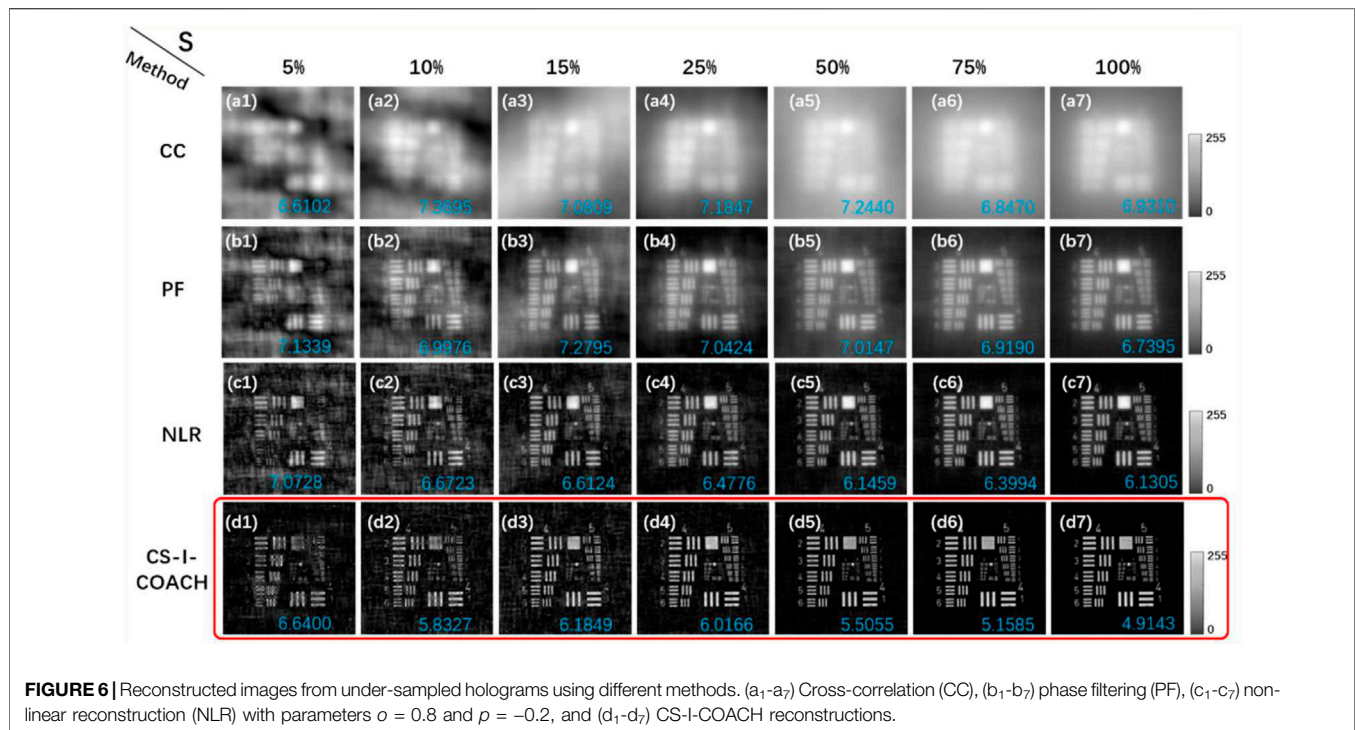


FIGURE 5 | The dependence of CS-I-COACH reconstruction accuracy on the scattering degrees σ of the CPM. Inserted blue-colored numbers indicate the entropy of the corresponding image.

Among those, one can notice that the CS-I-COACH reconstruction has better SNR than all the conventional I-COACH reconstruction methods and direct imaging results. It turned out to be that for all the different methods, CS-based I-COACH reconstructions can always have an improved PSNR by a factor of 45.8% (CC), 42% (PF), and 16.5% (NLR). Since additional processing is required in NLR methods to find the optimal modulation

parameters (α and p in Eq. 7) (Liu et al., 2020), the more time-efficient phase-filtering-based methods (PF and CS-I-COACH) that provide similar imaging performance were used in the following experiments on 3D objects.

The CS reconstruction accuracy is highly correlated with the incoherence between the sparse operator (i.e., the sparsity transform matrix that has been applied on the object) and the imaging modal of the system (i.e., the matrix that describes the



transform relationship between the object and the measurement). The incoherence can be described theoretically by the number of the non-zero off-diagonal elements in the autocorrelation of the sensing matrix. In the

I-COACH system, the incoherence can be controlled by using different scattering degrees σ of the CPM. To obtain the optimal scattering degree σ in the modified GS algorithm (Liu et al., 2020) corresponding to the best imaging quality

of the CS-I-COACH system, the entropy merit was used to quantitatively evaluate the quality of the reconstructed images in this paper (Men et al., 2017). Entropy is a measure of the disorder in a system, which in this case is maximized when the magnitude of the reconstructed image is distributed over the entire image plane and minimized when the entire image points are accumulated in the smallest area as possible. Therefore, for the relatively simple objects that have been used in the following experiments, a minimum entropy is expected to yield the reconstruction with the optimal SNR. From the intensity distribution function of the reconstructed image $O'(m, n)$, the entropy can be calculated as:

$$\mathcal{R}_{en} = - \sum_m \sum_n \psi(m, n) \log[\psi(m, n)] \quad (9)$$

where m and n are the pixel coordinates of the image and $\psi(m, n) = \frac{|Q'(m, n)|}{\sum_m \sum_n |Q'(m, n)|}$. The dependence of the CS reconstruction accuracy (evaluated by the entropy of the reconstructed images, inserted blue-colored numbers) on the scattering degree σ of the CPM is shown in **Figure 5**. It was expected that higher accuracy would be obtained under higher σ . However, we found that this is not always true in our experiments and there exists an optimal value of σ that provides the reconstructed image with the relative optimal quality (indicated by the red rectangle in **Figure 5**). These can be explained by the fact that the imaging performances of the CS-I-COACH system are not disrupted only by the above-mentioned mathematical coherence, but also by physical factors such as the read-out noise of the camera and numerical factors in the reconstruction algorithm such as the coefficient of the regulator and iteration times.

We further demonstrated in our system one of the most interesting applications of CS in holography, i.e., the high-fidelity image retrieval from under-sampled holograms. The recorded holograms of the PSH and OH were down-sampled randomly in the spatial frequency domain, and then used to reconstruct the object image. The dependence of the reconstruction accuracy (again evaluated by the entropy of the image, inserted blue-colored values) on the sampling rate S is shown in **Figure 6**. That the CS reconstructed images still preserve a reasonable quality until $S = 10\%$ was found in the results. Meanwhile, under the sample condition, the reconstructions obtained using conventional reconstruction methods were dominated by the strong background noise.

In the next experiment the proposed method was used for 3D imaging. The 20 μm diameter pinhole was moved within a range of 12 mm (6 mm above and 6 mm below) around the front focal plane of L_0 , with 1 mm step interval. The PSHs library at 13 different axial positions were then recorded. To simulate a 3D object, two transmission resolution targets (NBS, 1963A 1X R2L2S1N, and USAF 1951 1X, USAF GO Edmund optics), with an axial distance of 6 mm, were placed at the front focal plane of L_0 , in Channel-1 and Channel-2, respectively. The line-groups of 4, 5 of the USAF targets, and the line-groups of 36 and

40 of the NBS target, were illuminated by the LED source. Initially, two targets were placed in the front focal plane of the lens L_0 . Then, the two targets in Channels 1 and 2 are moved simultaneously in reverse directions at 1 mm step, and the corresponding 7 object holograms are recorded to simulate 13 different depth planes of the 3D objects. The reconstructed images obtained using different reconstruction methods are shown in **Figure 7**. It can be seen from the results that 3D imaging performances of I-COACH can be improved by the proposed method since the defocus images attenuate more quickly with the increase of the axial space in the CS reconstructions when comparing it with PF and NLR results.

CONCLUSION

In this paper, we have successfully suppressed the background noise and improved the reconstruction quality of the conventional I-COACH methods without sacrificing the imaging speed. This was achieved by establishing the compressive sensing model and then by numerically reconstructing the holograms under the CS framework. Our experimental results have demonstrated that the PSNR of the reconstructed images can be improved by a factor of 16.5% via the proposed method. Meanwhile, CS reconstructions can still preserve reasonable quality for a 10% under-sampled hologram. In our method, the 3D imaging performance of I-COACH was improved by suppressing the effects of out-of-focus images that are present in the reconstructions. With those improved system imaging performances, we believe the proposed CS-I-COACH method will benefit the research areas such as imaging through scattering medium and partial aperture imaging.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

YW: Conceptualization, Methodology, Supervision, Writing-Reviewing and Editing, Project administration, Funding acquisition. CL: Software, Writing-Original draft preparation, Methodology, Investigation. TM: Conceptualization, Methodology, Software, Investigation.

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Development of a Fringe Printer With 0.35 μm Pixel Pitch

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The development of computational devices can provide computer-generated holograms (CGH) of over 100 Giga-pixel easily. The performance improvement of the graphical processing unit makes calculations faster with a normal personal computer. In contrast, the output device of CGH is not reported much. Since CGH is a fringe pattern, high resolution and fine pixel pitch are required for the output device. We have been developing a direct fringe printer, which consists of a laser, an X-Y stage, an SLM, and optical parts available on the market. Our previous report presented a liquid crystal panel, which was used for SLM that had full HD resolution and 7 μm pixel pitch. Since the Pixel pitch of the liquid crystal panel is not small enough for CGH, the optical setup works to demagnify the pixel pitch of the printed fringe pattern. To record high resolution CGH, the calculated fringe pattern is split and exposed in tiles by the X-Y stage. The results showed a 0.44 μm pixel pitch and over 100 Gpixel CGHs. However, to output more high-quality CGH, the development of pixel pitch and resolution is very important. In this paper, we review the optical system of a fringe printer that achieved an output of 0.35 μm pixel pitch CGH. We also investigate the performance of the new fringe printer.

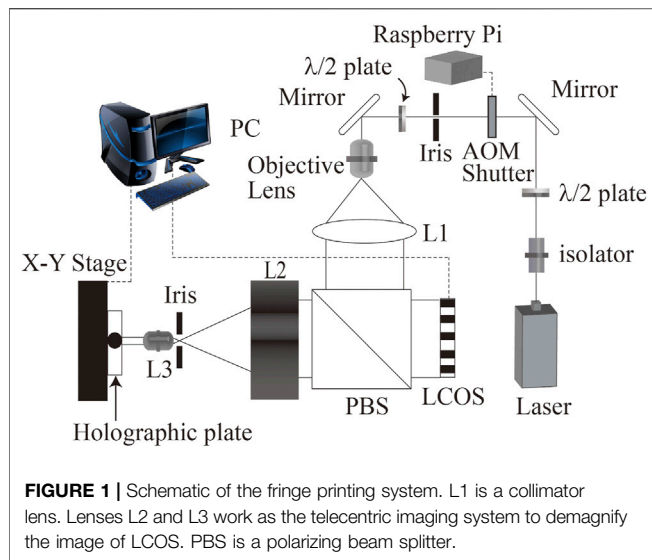
Keywords: Holography, computer-generated hologram, fringe pattern, high resolution, pixel pitch

INTRODUCTION

A holographic display can reconstruct true 3-D images that have the binocular parallax, convergence, accommodation, and so on. The hologram records the wavefront of the object beam as the interference fringe pattern with the reference beam. The fringe pattern can be calculated by the computer and is called a computer-generated hologram (CGH). As the recording wavefront, CGH is usually calculated from the virtual object. Calculated CGH is displayed on a spatial light modulator (SLM) and reconstructs the 3D image. However, there are two problems in the reconstruction with the conventional SLM. One is low pixel resolution, and the other is large pixel pitch. Both parameters are related to the viewing angle and size of the reconstructed 3D image. Therefore, commercially available SLM could not reconstruct a practical 3D image.

Some reports outline that the output high resolution CGH can be achieved with special devices. Reference (Hamano and Yoshikawa, 1998) uses an electron beam writer, which provides an excellent quality CGH. CGHs output using a laser lithography system has also been reported (Nishi and Matsushima, 2017). The quality of both CGHs is good, but both the equipment and running costs of the electron beam writer are very expensive. On the other hand, Sakamoto et al. have proposed a CGH printer with a CD-R writer (Sakamoto et al., 2004). The running cost of this system is very low, but the size of this CGH is limited by the size of the CD-R.

We have been developing a CGH output device named a fringe printer since 2004 (Yoshikawa et al., 2004). The fringe printer consists of a laser, an SLM, X-Y moving stage, and optical components available on the market. Since output CGH is recorded to the holographic plate or



film, the running cost of the fringe printer is not expensive. Our previous paper, discusses a printed CGH of 129 Gpixels ($412,800 \times 307,200$) with a pixel resolution of $0.44 \mu\text{m}$ and 8 bits gray-scale level (Nakaguchi et al., 2010; Yoshikawa et al., 2013). In the present paper, we achieved and developed fringe printer outputs of $0.35 \mu\text{m}$ pixel pitch CGH by changing the lens pair of the optical reduction system. Additionally, we confirmed the specification of the developed fringe printer.

COMPUTER-GENERATED HOLOGRAM

CGH is usually defined as the numerical simulation of the interference fringe pattern or its output. The interference fringe pattern is formed by the wavefront of the object beam and the wavefront of the reference beam. Since no material can record optical phase information directly, it is necessary to introduce the reference beam to encode the phase information as an interference fringe pattern that is represented as the intensity distribution. The pixel pitch d of the interference fringe pattern is described as

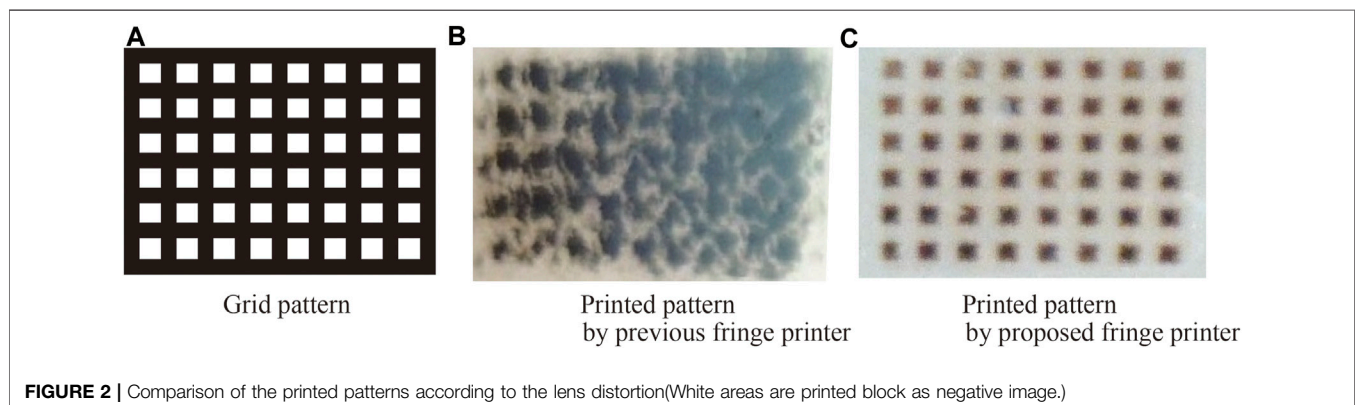
$$d = \frac{\lambda}{2(\sin \theta_{obj} - \sin \theta_{ref})}, \quad (1)$$

where λ is the free space wavelength of the light, θ_{obj} and θ_{ref} are the incident angles of the object beam and the reference beam, respectively.

Geometrical 3D models are usually employed to record the object of CGH. To calculate the wavefront of the object beam, a 3D model was supposed to be a collection of the self-illuminated points. Each point has 3D coordinates, real-valued amplitudes of three primary colors of light, and relative phase. The fringe pattern is calculated by the collection of spherical waves from each object point. It is, therefore, necessary to set several parameters, such as object size and position, pixel pitch, resolution, reference beam, and so on, to be determined. Each parameter is also suitable for Eq. 1. In the CGH, fine pixel pitch and high resolution provide

TABLE 1 | Components of the fringe printer.

(0.1em) component Name	Parameter name	Value
Blue laser [BLK73100TS (LASOS)]	Wavelength (nm)	473
	Power (mW)	100
L2 [TTL200 (Thorlabs)]	focal length (mm)	200
L3 [CFI Plan Apo λ 20x (Nikon)]	focal length (mm)	10
X Stage [KXL06300-C2-FC (Suruga Seiki Co.)]	Moving area (mm)	300
Y Stage [KXL06200-N2-FC (Suruga Seiki Co.)]	Moving area (mm)	200
AOM [AOMO 3080-125 (Gooch and Housego)]	Operating Frequency (MHz)	80
LCOS [Video Projector VPL-VW50 (Sony)]	Resolution (pixels)	$1,920 \times 1,080$
	Pixel pitch (μm)	7.0



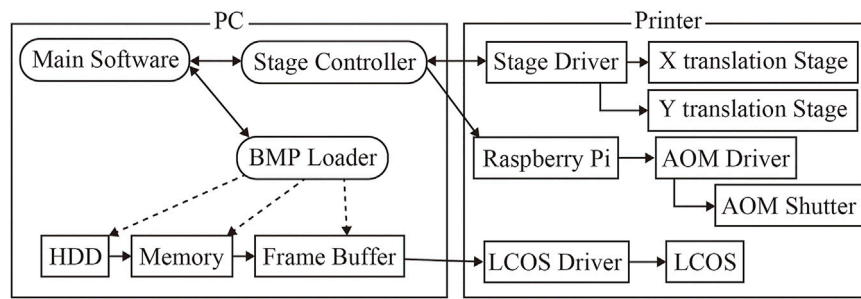


FIGURE 3 | Block diagram of the fringe printer system.

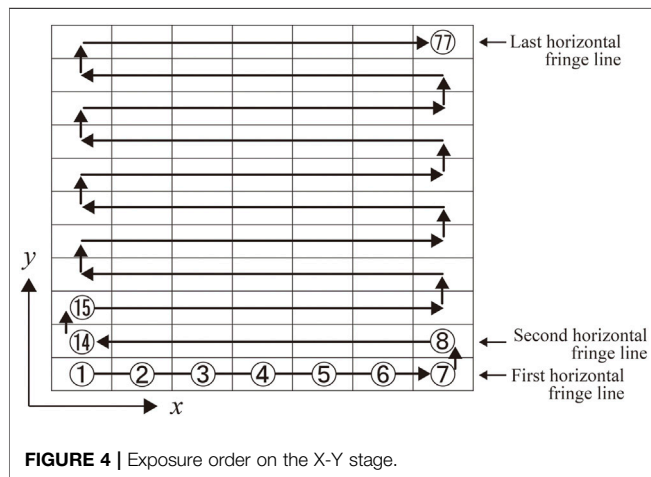


FIGURE 4 | Exposure order on the X-Y stage.

a wide viewing angle and a large image size of reconstructed image.

CGH can be categorized into two types: one is the plane type on which the fringe pattern is constructed into the surface direction; the other is volume type which the fringe pattern is constructed into thickness direction. The fringe printer can output the plane type CGH. We have also developed a volume type CGH printer (Yamaguchi et al., 2017). Due to the difference in shape or position of the reconstructed image, there are many plane type CGHs. Our group has published several plane type CGH such as Fresnel type, image type (Yamaguchi and Yoshikawa, 2011), rainbow type (Yoshikawa and Taniguchi, 1999), cylindrical type (Yamaguchi et al., 2008) and alcove type (Yamaguchi et al., 2011). Image type and rainbow type CGHs reconstruct a 3D image that is placed on or close to the hologram, and these holograms are collectively referred to as image type CGH. The pixel pitch of image type CGH affects the viewing angle of the reconstructed image, and the size of image type CGH affects the size of the reconstructed image. On the other hand, the pixel pitch of Fresnel type CGH affects the size of the reconstructed image, and the size of the Fresnel type CGH affects the viewing angle of the reconstructed image. Therefore, the output device of plane type CGH requires fine pixel pitch and high resolution.

OPTICAL SYSTEM OF FRINGE PRINTER

The optical system of the fringe printer is shown in **Figure 1**. The fringe printer has a laser (473 nm), an LCOS (a liquid crystal on silicon) as an SLM, an X-Y translation stage, an acousto-optic modulator (AOM) shutter with Raspberry Pi, optics, and a control computer. The AOM is used together with the iris. It can be used as a shutter by transmitting the first-order diffracted light that is generated when the modulation signal is turned on. The LCOS used in this study is a disassembled projector. Therefore, when displaying a calculated fringe pattern on the LCOS, it can be displayed through the hardware of the projector.

Important parameters of output CGH are pixel pitch and resolution. A lens pair of L2 and L3 are used for fine pixel pitch. Since the pixel pitch of LCOS is not small enough for practical CGH for 3D display, the reflected beam from LCOS is reduced by a lens pair of L2 and L3. Demagnification ratio M is described as,

$$M = \frac{f_3}{f_2}, \quad (2)$$

where f_2 and f_3 are focal length of L2 and L3, respectively. In our system, a part of the computed fringe pattern is displayed on the LCOS that gives the intensity modulation to the illuminated light, and a plane hologram can be output by reducing and exposing it with this optical system.

In our previous report, the demagnification ratio was 1/16 and the pixel pitch of output CGH was 0.44 μm (Yamaguchi et al., 2009b). **Table 1** shows the specifications of the printer components. In this paper, we changed lenses L2 and L3 whose focal length is 200 and 10 mm, respectively. The new demagnification ratio is 1/20 and the pixel pitch of output CGH is 0.35 μm . An iris, which is located between L2 and L3, filtered the high order diffraction and the diffraction due to the LCOS structure. Many lens pairs show a better demagnification ratio. However, each lens must pass not only the non-diffracted beam but also the diffracted beam, large ± 1 -st order diffraction image occurs around L3. Therefore, L3 requires a small F-number. In the previous fringe printer, a Fresnel lens was employed to fulfill the small F-number. **Figure 2** shows the output patterns by the previous and proposed fringe printer. Since the previous system employs the Fresnel lens, the output pattern by the previous system was not printed sharply by the lens distortion. On the

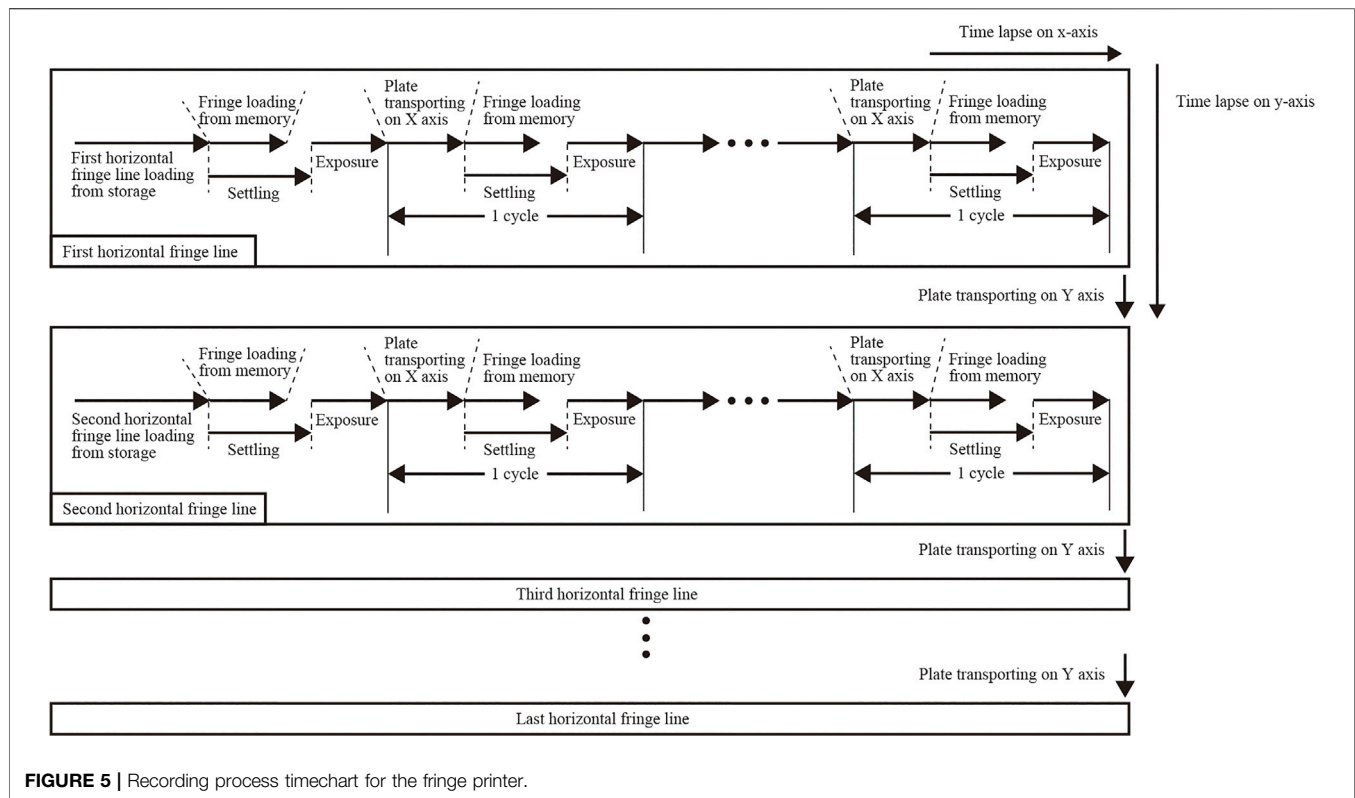


FIGURE 5 | Recording process timechart for the fringe printer.

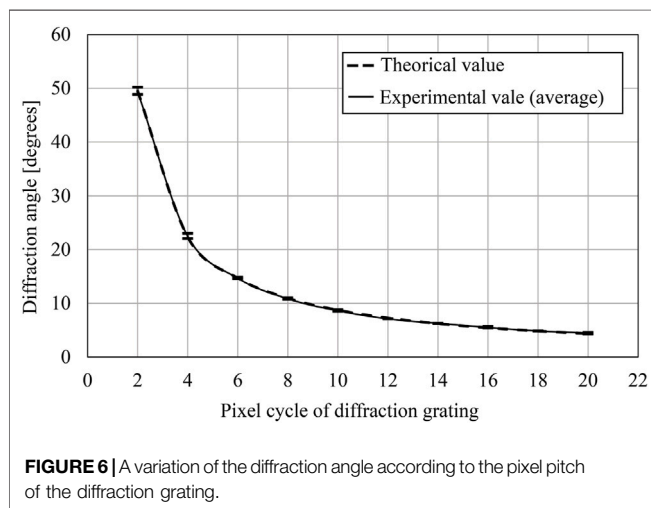


FIGURE 6 | A variation of the diffraction angle according to the pixel pitch of the diffraction grating.

other hand, the output pattern by the proposed system is printed sharply.

The X-Y stage is used for tiling of fringe pattern which is displayed on LCOS. Since the resolution of LCOS is not suitable for CGH, the fringe printer repeats exposure. The AOM with Raspberry Pi are used as a shutter. The Raspberry Pi sends a signal to control an open/close operation. Since the number of shutter operations necessary to output one CGH exceed 10,000, for example, $300,000 \times 150,000$ pixels CGH requires 10,981 times shutter operations, the fringe printer does not employ a mechanical shutter. In our previous fringe printer, a

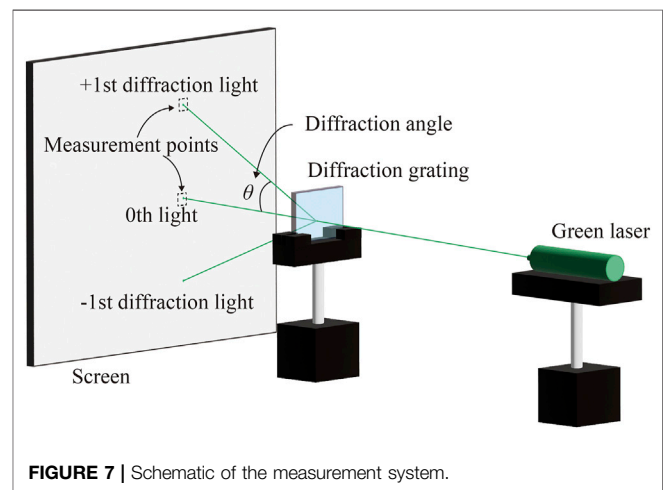


FIGURE 7 | Schematic of the measurement system.

mechanical shutter is used. However, since the durable number of the mechanical shutter is around one million, around 100 times the output exceeds the shutter's durable number. On the other hand, the AOM shutter is an electronic shutter, so it is suitable for a fringe printer.

COMPUTATIONAL OPERATION

Three software packages control the fringe printer. The first software, named BMP Loader, displays part of the fringe

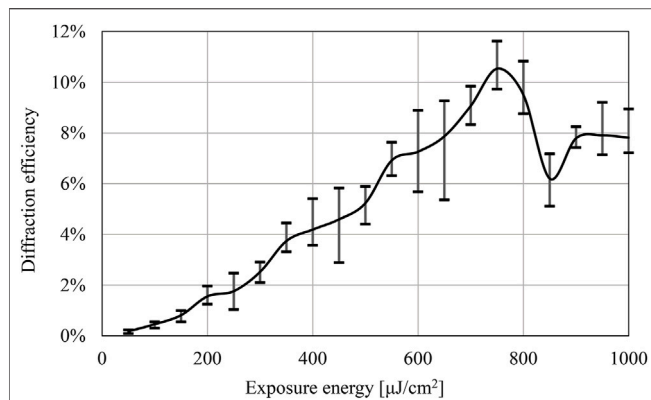


FIGURE 8 | A variation of the diffraction efficiency according to exposure energy (Settling time: 1,500 msec)

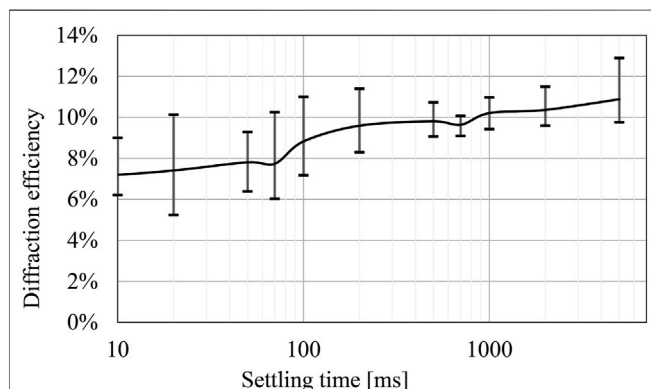


FIGURE 9 | A variation of the diffraction efficiency according to the settling time (Sutter time: 1.3 msec)

pattern on the LCOS panel. The second software, named the Stage Controller, controls the X-Y stage and the AOM shutter. The third software, named the Main Software, manages and connects each software. **Figure 3** shows a simple block diagram of the

proposed fringe printing system. These software perform serial communication, and each software runs independently.

Tiling exposure sequence requires a computational operation. **Figure 4** shows the exposure order of divided fringe pattern on the X-Y stage. **Figure 5** shows the exposure and translation timing for the tiling exposure cycle. Since the image capacity of the fringe pattern sometimes exceeds 100 GB, the whole fringe pattern cannot be stored in the main memory. Therefore, the fringe printer stores a single fringe line, for example, a single fringe line of $300,000 \times 150,000$ pixels CGH is $300,000 \times 1,080$, which is determined by the vertical resolution of LCOS. Each fringe pattern is displayed on LCOS and is stored in the frame buffer before exposure. There is also a settling time after stage translation to suppress the stage vibration. As an example, when the exposure time was 15 msec and the settling time was 900 msec, the duration of one cycle was 1.49 msec, although it depended on the exposure conditions.

PERFORMANCE OF FRINGE PRINTER

To verify the specification of proposed fringe printer, several experiments were performed. The first parameter is the diffraction angle. The demagnification ratio of the proposed fringe printer has been improved from 1/16 to 1/20. Therefore, diffracted light by the output CGH is measured. The second parameter is the diffraction efficiency. The fringe printer uses the recording material that is VRP-M manufactured by Slavich. The VRP-M is silver halide photomaterial and green-blue sensitive. In the usual holographic recording, the proper exposure energy of VRP-M is $75\text{--}100 \mu\text{J}/\text{cm}^2$. However, single exposure of the fringe printer is a small area, and exposure time is very short compared with usual holographic recordings. Therefore, the diffraction grating is used for the measurement of the diffraction efficiency. Enough settling time is also revealed by the experimental result. In each measurement, the output pattern of the fringe printer is bleached and turns into the phase hologram from the amplitude hologram.

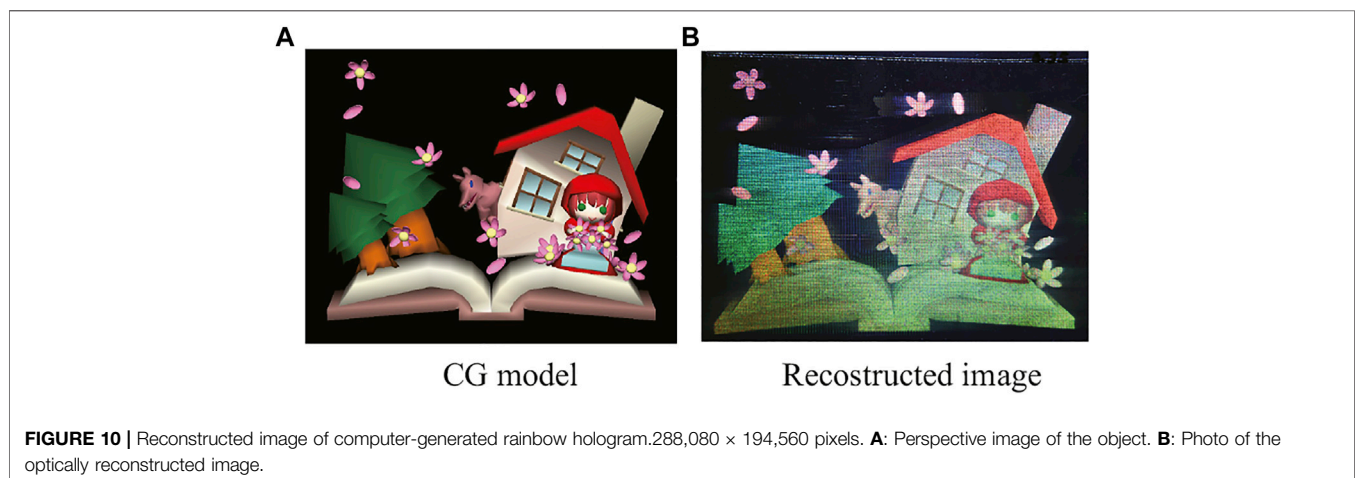


FIGURE 10 | Reconstructed image of computer-generated rainbow hologram. $288,080 \times 194,560$ pixels. **A**: Perspective image of the object. **B**: Photo of the optically reconstructed image.

Diffraction Angle

To measure the diffraction angle, the diffraction gratings of the different cycles are prepared. These diffraction gratings have $9,600 \times 6,480$ pixels and are output by the fringe printer. **Figure 6** shows the experimental result of the diffraction angle against the cycle of the diffraction grating. A schematic of the measurement system is shown in **Figure 7**. The theoretical value in **Figure 6** is defined as follows,

$$\sin \theta = \frac{\lambda}{2d}, \quad (3)$$

The graph shows the experimental result is almost the same as the theoretical value. Therefore, the proposed system can output finer pixel pitch CGH than the previous system. The larger diffraction angle makes it possible to reproduce a larger image in general Fresnel holograms when the incident angle of the reference beam is the same. On the other hand, image-type holograms can provide a wider viewing area when the incident angle of the reference beam is the same.

Diffraction Efficiency

To obtain the optimum exposure parameter of the fringe printer, the exposure energy and the settling time are measured. **Figure 8** shows the variation of the relative diffraction efficiency (International Organization for Standardization, 2015) according to the single exposure energy of the fringe printer. The diffraction grating had enough settling time when it was output. **Figure 9** shows the variation of diffraction efficiency according to the settling time. The X-Y stage is used to change the exposure position during the output. If there is any vibration left after the movement, the fringe pattern cannot be recorded correctly, so sufficient settling time is required. Both measurements used **Figure 7**, and the diffraction efficiency is obtained from the ratio of the transmitted laser power and the +first order diffraction light power. **Figure 8** shows the proper exposure energy is about $750 \mu\text{J}/\text{cm}^2$. **Figure 9** shows the stable settling time is over 700 ms.

Reconstructed Image

Figure 10 shows the reconstructed image of the computer-generated rainbow hologram (CGRH) by the proposed fringe printer. The computational method of CGRH is described in detail in Ref. (Yamaguchi and Yoshikawa, 2018).

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CONCLUSION

This paper has proposed an improved fringe printer with new lens pair to reduce pixel pitch. The new fringe printer can output CGH with a pixel pitch of $0.35 \mu\text{m}$. We measured the diffraction angle of the output diffraction grating and confirmed the accuracy of the optical system. We also measured the suitable condition of the exposure. The new fringe printer can provide a large 3D image or a wide viewing area compared with the previous fringe printer. The authors have output various other holograms, such as a computer-generated disk hologram (Yamaguchi et al., 2009a), a holographic stereogram, a computer-generated image hologram (Yamaguchi and Yoshikawa, 2011), and a computer-generated alcove hologram (Yamaguchi et al., 2011), and plan to output them with the new fringe printer in the future.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

Conceptualization, TY and HY; methodology, TY and HY; software, TY; validation, TY and HY; formal analysis, TY and HY; investigation, TY; resources, TY and HY; data curation, TY; writing—original draft preparation, TY; writing—review and editing, TY and HY; visualization, TY; supervision, TY; All authors contributed to manuscript revision, read, and approved the submitted version.

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Deep-Learning Computational Holography: A Review

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Deep learning has been developing rapidly, and many holographic applications have been investigated using deep learning. They have shown that deep learning can outperform previous physically-based calculations using lightwave simulation and signal processing. This review focuses on computational holography, including computer-generated holograms, holographic displays, and digital holography, using deep learning. We also discuss our personal views on the promise, limitations and future potential of deep learning in computational holography.

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1 INTRODUCTION

Holography (Gabor, 1948) can record three-dimensional (3D) information of light waves on a two-dimensional (2D) hologram as well as reproduce the 3D information from the hologram. Computer-generated holograms and holographic 3D measurements (digital holography) can be realized by simulating this physical process on a computer. Computer-generated holograms can be generated by calculating light wave propagation (diffraction) emitted from 3D objects. If this hologram is displayed on a spatial light modulator (SLM), the 3D image can be reproduced in space. Holographic displays can successfully reproduce the wavefront of 3D objects, making them ideal 3D displays (Hilaire et al., 1990; Takaki and Okada, 2009; Chang et al., 2020).

In contrast, digital holography (Goodman and Lawrence, 1967; Kim, 2010; Liu et al., 2018; Tahara et al., 2018) is a technique that uses an image sensor to capture a hologram of real macroscale objects or cells. Diffraction calculations are used to obtain numerically reproduced images from the hologram. Digital holography has been the subject of much research in 3D sensing and microscopy. In addition to coherent light, the technique of capturing holograms with incoherent light has been actively studied in recent years (Liu et al., 2018; Rosen et al., 2019).

Computational holography is the general term for handling holography on a computer. It has been widely used in 3D display, projection, measurement, optical cryptography, and memory. The following are common problems of computational holography that need to be addressed:

- (1) A high computational complexity for hologram and diffraction calculations.
- (2) A limited image quality of the reproduced images from holograms, due to speckle noise, optical aberrations, etc.
- (3) A large amount of data required to store holograms.

The computational complexity of hologram calculations increases with the complexity of 3D objects and the resolution of a hologram. Digital holography requires diffraction calculations to obtain the complex amplitude of object light, followed by aberration correction of the optical system,

and phase unwrapping, if necessary. Additionally, autofocusing using an object position prediction may be necessary. These are time-consuming calculations.

The quality of the reproduced images from a hologram is also a critical issue in holographic displays and digital holography. The following factors degrade reproduced images: high-order diffracted light due to the pixel structure of SLMs, quantized and non-linear light modulation of SLMs, alignment accuracy, and aberration of optical systems.

The amount of data in holograms is also a major problem. Data compression is essential for real-time hologram transmission and wide-viewing-angle holographic displays, which require holograms with a large spatial bandwidth product (Blinder et al., 2019). Hologram compression using existing data compression methods, such as JPEG and JPEG2000, and original compression methods for hologram have been investigated (Blinder et al., 2014; Birnbaum et al., 2019; Stepien et al., 2020) and recently the JPEG committee (ISO/IEC JTC 1/SC 29/WG 1) initiated the standardization of compression technology for holographic data.

Many studies have developed algorithms based on the physical phenomena of holography (diffraction and interference of light) and signal processing. In this paper, we refer to these algorithms as physically-based calculation. In 2012, AlexNet (Krizhevsky et al., 2012), which uses deep neural networks (DNNs), achieved an improvement of more than 10% over conventional methods in the ImageNet large-scale visual recognition challenge, a competition for object recognition rates. This led to a great deal of interest in deep learning (LeCun et al., 2015). In 2017, research using deep learning started increasing in computational holography. Initially, simple problems using deep learning, such as the hologram identification problem and restoration of holographic reproduced images, were investigated (Shimobaba et al., 2017a; Shimobaba et al., 2017b; Jo et al., 2017; Muramatsu et al., 2017; Pitkäaho et al., 2017). Currently, more complex deep-learning-based algorithms have been developed, and many results have been reported that outperform physically-based calculations.

This review presents an overview of deep-learning-based computer-generated hologram and digital holography. In addition, we outline diffractive neural networks, which are closely related to holography. It is worth noting that deep learning outperforms conventional physically-based calculations in terms of computational speed and image quality in several holographic applications. Additionally, deep learning has led to the development of techniques for inter-converting images captured by digital holographic and other microscopes, blurring the boundaries between research areas. Furthermore, we will discuss our personal views on the relationship between physically-based calculations and deep learning in the future.

2 HOLOGRAM COMPUTATION USING DEEP LEARNING

Computer-generated holography has many applications, such as 3D display (Hilaire et al., 1990; Takaki and Okada, 2009; Chang

et al., 2020), projection (Buckley, 2011; Makowski et al., 2012), beam generation (Yao and Padgett, 2011), and laser processing (Hasegawa et al., 2006). This section focuses on hologram calculations for holographic display applications.

Figure 1 shows the data processing pipeline of holographic displays. From 3D data, acquired using computer graphics and 3D cameras, the distribution of light waves on a hologram is calculated using diffraction theory. The generated hologram is usually complex-valued data (complex holograms); however, SLMs can only modulate amplitude or phase. Therefore, we must encode the complex hologram into amplitude or phase-only holograms. The encoded hologram can be displayed on the SLM and the 3D image can be observed through the optical system.

The 3D data format handled in physically-based hologram calculations can be classified into four main categories: point cloud (Lucente, 1993; Yamaguchi et al., 1993; Kang et al., 2008; Shimobaba et al., 2009; Hiroshi Yoshikawa and Yoshikawa, 2011; Blinder and Schelkens, 2020), polygon (Ahrenberg et al., 2008; Matsushima and Nakahara, 2009; Zhang et al., 2018a), layered (RGBD images) (Okada et al., 2013; Chen et al., 2014; Chen and Chu, 2015; Zhao et al., 2015), and light field (multiviewpoint images) (Yatagai, 1976; Zhang et al., 2015). Fast computation methods for each 3D data format have been proposed (Shimobaba et al., 2015; Nishitsuji et al., 2017; Tsang et al., 2018; Blinder et al., 2019). For the hologram computation using deep learning, some research has been conducted on the point cloud method (Kang et al., 2021). However, the layer method has been the focus of research using deep learning. To the best of our knowledge, polygon and light-field methods using deep learning have not been investigated yet.

2.1 Supervised Learning

In 1998, hologram generation using a neural network with three fully-connected layers was investigated (Yamauchi et al., 1998). However, this is not deep learning, but it is similar to current deep-learning-based hologram calculations. To the best of our knowledge, this is the pioneering work using neural networks for hologram computation. It performed end-to-end learning to train the neural network using a dataset consisting of 16×16 -pixel input images and holograms. The end-to-end learning method is a supervised learning technique and allows a DNN to learn physical processes used in physically-based calculations from a dataset alone. This study showed that the neural network could optimize holograms faster than direct binary search (Seldowitz et al., 1987). It was impossible to adopt the current deep network structure due to poor computing resources. Additionally, even if DNN could be created, there was no algorithm (optimizer) to optimize its large number of parameters. For a while, neural networks were not the mainstream in hologram calculation, and physically-based calculations were actively studied. However, since 2018, hologram calculations have developed rapidly using deep learning.

Figure 2 shows the DNN-based hologram computation using supervised learning. Horisaki et al. (2018) designed a DNN that directly infers holograms from input 2D images using end-to-end learning. For end-to-end learning, it is necessary to prepare a large dataset of input images \mathcal{X} and their holograms \mathcal{Y} . DNNs can be represented as an arbitrary function by combining

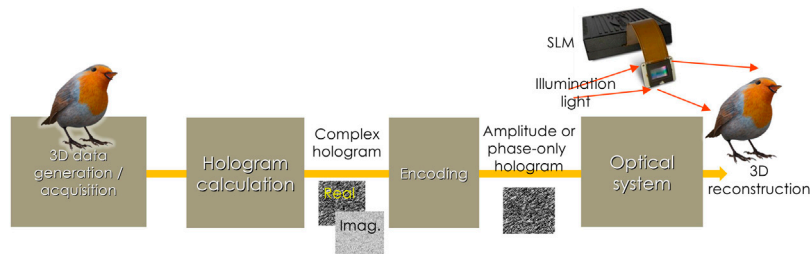


FIGURE 1 | Data processing pipeline for holographic displays.

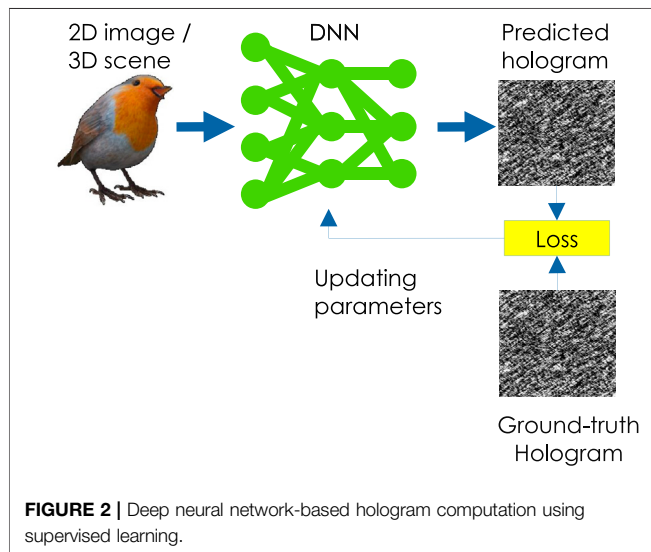


FIGURE 2 | Deep neural network-based hologram computation using supervised learning.

convolutional and other layers with nonlinear activation functions. In this paper, a DNN function is represented as $\mathcal{N}(\mathcal{X}; \Theta)$, where Θ are the network parameters. The parameters Θ of the DNN in Horisaki et al. (2018) are updated by solving the minimization problem:

$$\underset{\Theta}{\text{minimize}} \mathcal{L}(\mathcal{N}(\mathcal{X}; \Theta), \mathcal{Y}), \quad (1)$$

where \mathcal{L} is the loss function for calculating the error between the predicted hologram output from the DNN ($\mathcal{N}(\mathcal{X}; \Theta)$) and the ground-truth hologram (\mathcal{Y}). This DNN can infer a hologram from a 64×64 -pixel 2D image several times faster, and the image quality is the same as obtained with the Gerchberg–Saxton (GS) algorithm (Gerchberg, 1972; Fienup, 1982).

Goi et al. (2020) proposed a method for generating binary holograms from 2D images directly using DNN. This study prepared a dataset of binary random patterns (binary holograms) and its reproduced images (original objects). The DNN was trained using end-to-end learning with the reproduced images as input of the DNN and the binary holograms as output. The output layer of the DNN should be a step function since it should be able to output binary values; however, this is not differentiable. The study Goi et al. (2020) used a differentiable activation function that approximates the step function.

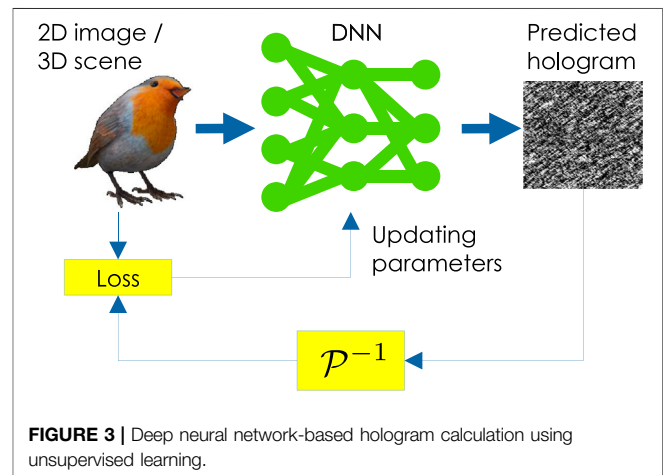


FIGURE 3 | Deep neural network-based hologram calculation using unsupervised learning.

2.2 Unsupervised Training

Unsupervised learning does not require the preparation of a dataset consisting of original images and its holograms, as discussed in Section 2.1. Figure 3 shows the DNN-based hologram calculation using unsupervised learning (Hossein Eybposh et al., 2020; Horisaki et al., 2021; Wu et al., 2021). We input the original 3D scene (or 2D image) \mathcal{X} into the DNN and compute an inverse diffraction calculation (\mathcal{P}^{-1}) from the predicted hologram to the location of the original object to obtain the reproduced image. We calculate a loss function between the reproduced image and the original data. Then, we update the DNN parameters by solving the following minimization problem:

$$\underset{\Theta}{\text{minimize}} \mathcal{L}(|\mathcal{P}^{-1}(\mathcal{N}(\mathcal{X}; \Theta))|, \mathcal{X}). \quad (2)$$

We can use any diffraction calculation for the propagation calculation, provided that it is differentiable. We usually use the angular spectrum method (Goodman and Goodman, 2005). The lightwave distribution on a plane u_d , which is z away from a plane u_s , can be calculated using the angular spectrum method expressed as follows:

$$u_d = \mathcal{P}(u_s) = \mathcal{F}^{-1} \left(\mathcal{F}(u_s) \exp \left(2\pi i z \sqrt{\frac{1}{\lambda^2} - (f_x^2 + f_y^2)} \right) \right), \quad (3)$$

where $i = \sqrt{-1}$, \mathcal{F} and \mathcal{F}^{-1} are the forward and inverse Fourier transforms, respectively; λ is the wavelength, and (f_x, f_y) represent the spatial frequencies.

Wu et al. (2021) showed that a hologram of a 4K 2D image could be generated in 0.15 s using unsupervised learning. The network structure of the issued DNN is U-Net (Ronneberger et al., 2015). Instead of the angular spectrum method, an inverse diffraction calculation to obtain the reproduced images was a single fast Fourier transform (FFT) Fresnel diffraction, which is computationally light. The DNN was trained using Eq. 2 a weighted combination of a negative Pearson correlation coefficient and a perceptual loss function (Johnson et al., 2016). The DNN method is superior to the GS method and Wirtinger holography (Chakravarthula et al., 2019) in computational speed; i.e., $\times 100$ faster for the same reconstruction quality (Wu et al., 2021).

Hossein Eybposh et al. (2020) developed an unsupervised method called DeepCGH to generate holograms of 3D scenes using DNN. They have developed this method for two-photon holographic photostimulation, which can also be used for holographic displays. The network structure is U-Net. When 3D volume data $\mathcal{X}(x, y, z)$ representing a 3D scene are input to the DNN, the DNN outputs its hologram. From the output hologram, multiple inverse propagations (\mathcal{P}^{-1}) are performed to compute the 3D reproduced image $\mathcal{X}'_{(x,y,z)} = |\mathcal{P}(\mathcal{N}(\mathcal{X}(x, y, z)))|$. The DNN was trained by Eq. 2 with a loss function using the following cosine similarity

$$\mathcal{L}(\mathcal{X}, \mathcal{X}') = 1 - \frac{\sum_{x,y,z} \mathcal{X}(x, y, z) \mathcal{X}'(x, y, z)}{\sqrt{\sum_{x,y,z} \mathcal{X}^2(x, y, z)} \sqrt{\sum_{x,y,z} \mathcal{X}'^2(x, y, z)}} \quad (4)$$

Since 3D volume data requires much memory, DNNs tend to be large. Therefore, the study Hossein Eybposh et al. (2020) used a method called interleaving (Shi et al., 2016) to reduce the DNN size.

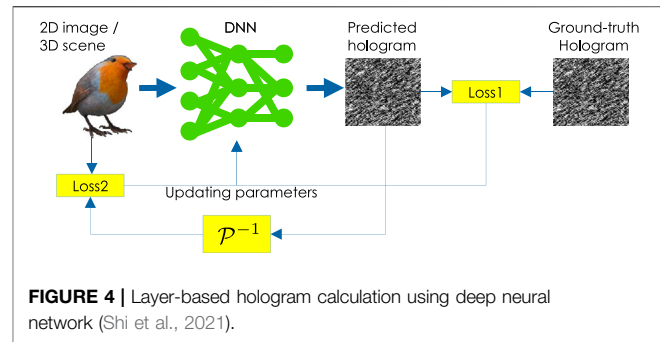
By employing the method of Figure 3, Horisaki et al. (2021) trained an U-Net-based DNN using the following 3D mean squared root error (MSE) for the loss function,

$$\mathcal{L}(\mathcal{X}, \mathcal{X}') = \sqrt{\sum_{x,y,z} (\mathcal{X}(x, y, z) - \mathcal{X}'(x, y, z))^2}. \quad (5)$$

The hologram computation using DNN (Wu et al., 2021) introduced in this subsection showed that it can produce higher quality reproductions than conventional methods. However, the reproduced images were limited to two dimensions. The Methods (Hossein Eybposh et al., 2020; Horisaki et al., 2021) for calculating holograms of 3D objects using DNNs were also proposed, but the number of layers was limited to a few due to the resources of the computer hardware. A method introduced in the next subsection solves these limitations.

2.3 Layer Hologram Calculation Using the Deep Neural Network

Generally, layer-based hologram calculations (Okada et al., 2013; Chen et al., 2014; Chen and Chu, 2015; Zhao et al., 2015) generate



sectional images at each depth from RGB and depth images. We compute diffraction calculations to the sectional images. Consequently, we employ these results to obtain the final hologram. Although the diffraction calculation can be accelerated using FFTs, the computational complexity of the layer method is still large, making it difficult to calculate 2K size holograms at video rate.

Layer-based hologram calculations using DNN have been investigated in Hossein Eybposh et al. (2020) and Horisaki et al. (2021). The study by Shi et al. (2021) published in Nature in 2021 had a great impact on holographic displays using the layer method. Figure 4 shows the outline of layer-based hologram calculations using DNN. This result significantly outperforms the computational speed and image quality of existing physically-based layer methods. The network structure was similar to that of ResNet (He et al., 2016). Additionally, DNNs were trained using two types of label data: RGBD images and their holograms. Since DNNs are suitable for 2D images, they work well with RGBD images used in layer hologram calculations.

This DNN was trained using two loss functions. The first loss function, \mathcal{L}_1 , calculates the error between the hologram output from the DNN and the ground-truth hologram. The second loss function, \mathcal{L}_2 , calculates the error between a reproduced image, obtained by an inverse diffraction calculation (\mathcal{P}^{-1}) with the propagation distance z from the predicted hologram, and its corresponding sectional image at z . Here, the hologram output from the DNN is in complex amplitude at an intermediate position between the 3D scene and final hologram. The study Shi et al. (2021) explained the reason for using intermediate holograms as follows:

The convolutional layers of DNN use a 3×3 filter. If a 3D scene and hologram are far apart, it is impossible to represent the spread light waves without connecting many convolution layers, making the DNN very large. The DNN outputs a complex hologram at an intermediate position to alleviate the above problem. In the middle position, the light wave does not spread; thus, reducing the number of convolution layers.

Additionally, if the 3D scene and intermediate hologram are sufficiently close, these images will be similar, facilitating the DNN training. The intermediate hologram is propagated to the final hologram plane using the angular spectrum method and converted to an anti-aliased double phase hologram (Hsueh and Sawchuk, 1978; Shi et al., 2021). By displaying the anti-aliased double phase hologram on a phase-only SLM, speckle-free,

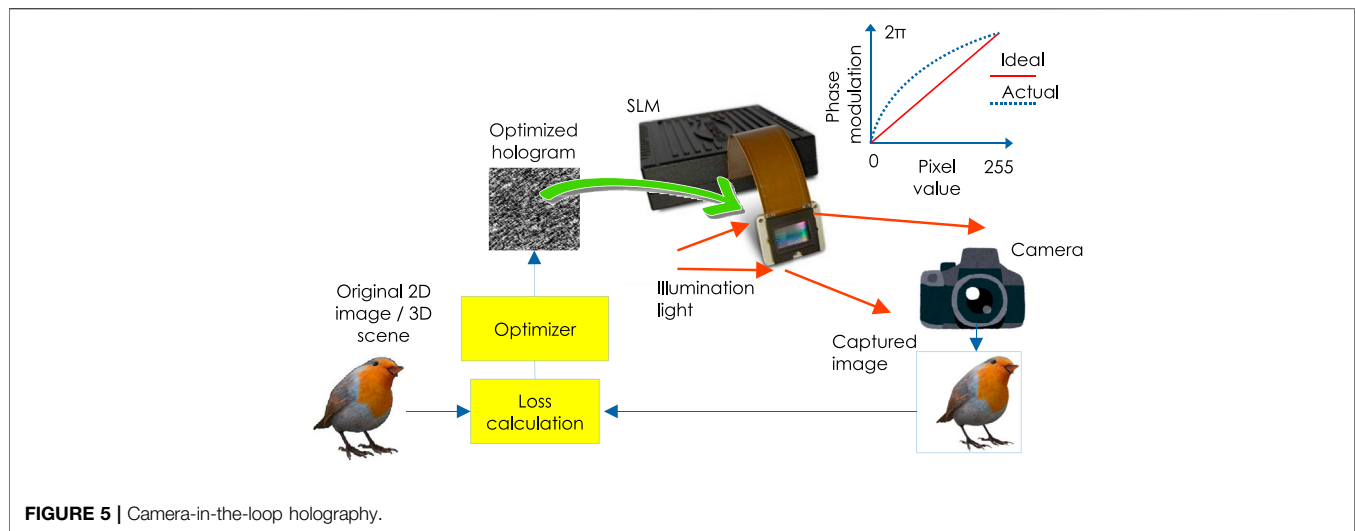


FIGURE 5 | Camera-in-the-loop holography.

natural, and high-resolution 3D images can be observed at video rates.

The study trained the DNN using their RGBD image dataset called MIT-CGH-4K. This dataset consists of 4,000 sets of RGBD images and intermediate holograms. It allows DNNs to work well with RGBD images rendered by computer graphics and real RGBD images captured by RGBD cameras. In many DNN-based color 3D reproductions, including this study, the time-division method (Shimobaba and Ito, 2003; Oikawa et al., 2011) is employed. The time-division method enables color reproduction by displaying the holograms of the three primary colors synchronously with the RGB illumination light. However, it requires an SLM capable of high-speed switching.

The trained DNN can generate 1,920 × 1,080 pixel holograms at a rate of 60 Hz using a graphics processing unit. It can also generate holograms interactively at 1.1 Hz on a mobile device (iPhone 11 Pro) and at 2.0 Hz on an edge device with Google tensor processing unit (TPU). For the TPU a float 32 precision DNN was compressed into an Int8 precision DNN using quantization, which is one of the model compression methods for DNNs.

2.4 Camera-in-the-Loop Holography

The quality of reproduced images of holographic displays will be degraded because of the following factors: misalignment of optical components (beam splitters and lenses), SLM cover glass, aberrations of optical components, uneven light distribution of a light source on the SLM, and quantized and non-linear light modulation of SLM, as shown in the graph of Figure 5.

The GS algorithm, Wirtinger holography, and stochastic gradient methods (Chakravarthula et al., 2019) determine a hologram that yields the desired reproduced image using $\text{minimize } \mathcal{L}(\mathcal{P}_{ideal}(\phi), a_o)$. Here ϕ , a_o , and \mathcal{L} represent a hologram, target image, and loss function (defined as the error between the target and reproduced images). Successful optimization with this method will be achieved when the

actual optical system and ideal light wave propagation model \mathcal{P}_{ideal} are consistent.

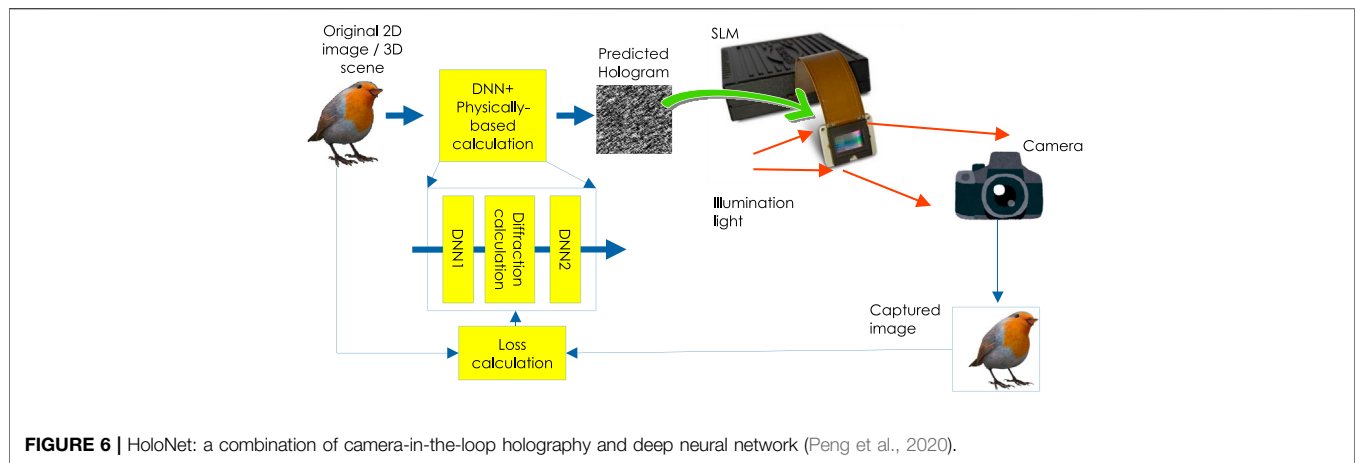
Although some studies have been conducted to manually correct aberrations to get closer to the ideal propagation model \mathcal{P}_{ideal} , the camera-in-the-loop holography (Peng et al., 2020) has been proposed to automatically correct these image quality degrading factors. Figure 5 shows the outline of the camera-in-the-loop holography. The camera-in-the-loop holography differs from the GS algorithms, Wirtinger holography, and gradient descent methods because it uses actual reproduced images in the optimization loop.

In the camera-in-the-loop holography, a gradient descent method was used to find an ideal hologram as $\phi \leftarrow \phi - \alpha \partial \mathcal{L} / \partial \phi$, where α is the learning rate, \mathcal{L} is the loss function used to calculate the error between an actual reproduced image captured by a camera and target image, and $\frac{\partial \mathcal{L}}{\partial \phi} = \frac{\partial \mathcal{L}}{\partial \mathcal{P}} \frac{\partial \mathcal{P}}{\partial \phi}$, where \mathcal{P} represents the actual optical system, including unknown aberrations. However, the gradient $\frac{\partial \mathcal{P}}{\partial \phi}$ cannot be calculated due to the unknown parameter. The camera-in-the-loop holography approximates the unknown gradient as follows

$$\frac{\partial \mathcal{L}}{\partial \phi} = \frac{\partial \mathcal{L}}{\partial \mathcal{P}} \frac{\partial \mathcal{P}}{\partial \phi} \approx \frac{\partial \mathcal{L}}{\partial \mathcal{P}} \frac{\partial \mathcal{P}'}{\partial \phi}, \quad (6)$$

where \mathcal{P}' is a known propagation model. For example, if \mathcal{P}' is a free-space propagation between the SLM and reproduced image, it can simply use a diffraction calculation as $\mathcal{P}' = \mathcal{P}_{ideal}$. The gradient $\frac{\partial \mathcal{L}}{\partial \mathcal{P}}$ can be calculated using reproduced images captured by a camera.

The following research is an extension of the camera-in-the-loop holography: high-quality holographic display using partially coherent light (LED light source) (Peng et al., 2021), holographic display using Michelson setup to eliminate undiffracted light of SLM (Choi et al., 2021), optimizing binary phase holograms (Kadis et al., 2021), holographic display that suppresses high-order diffracted light using only computational processing without any physical filters (Gopakumar et al., 2021), and



further improvement of image quality by using a Gaussian filter to remove noise that is difficult to optimize (Chen et al., 2022).

The above camera-in-the-loop holography needs to be re-optimized for each target image, which can take several minutes. To solve this problem, HoloNet, a combination of camera-in-the-loop holography and DNN, was proposed (Peng et al., 2020). **Figure 6** shows a schematic of HoloNet. HoloNet consists of two DNNs and a physically-based calculation (diffraction calculation). The camera is required for the training stage of the DNN; however, it is not required for the inference stage. DNN1 outputs the optimal phase distribution of the target image. The phase distribution and target image are combined to form a complex amplitude. Then, a Zernike-compensated diffraction calculation is performed by considering the aberrations of the optical system. DNN2 transforms the complex amplitude obtained by the diffraction calculation into a phase-only hologram suitable for SLM. HoloNet can generate full-color holograms with 2K resolution at 40 frames per second.

Chakravarthula et al. (2020) proposed an aberration approximator. The aberration approximator uses a U-Net-based DNN. The DNN infers the aberrations of an optical system to obtain holograms that are corrected for the aberrations. The conditional GAN (Isola et al., 2017) was used to train the DNN, and the training datasets were numerical reproduced images of holograms generated assuming an ideal optical system and reproduced images from the actual optical system captured by a camera.

Kavaklı et al. (2022) pointed out that the algorithms of Wu et al. (2021) and Peng et al. (2020) are complex processing. The study Kavaklı et al. (2022) obtained an optimized point spread function for diffraction calculation from the error between numerically reproduced images from holograms calculated from the ideal diffraction calculation and the actual reproduced images captured by a camera. It is worth noting that the optimized point spread function has an asymmetric distribution different from the point spread function in the ideal case. Additionally, the optimized point spread function reflects the aberrations of the optical system. We can obtain holograms that give an ideal reproduction image by calculating holograms with the optimized point spread function.

2.5 Other Applications Using Deep Neural Network

2.5.1 Image Quality Enhancement

A reproduced image of a hologram calculated using random phase will have speckle noise. Park and Park (2020) proposed a method for removing speckle noise from random phase holograms. In this method, the reproduced image (light-field data) is first numerically computed from a random phase hologram. Since the reproduced light-field data also contains speckle noise, this method employs a denoising convolutional neural network (Zhang et al., 2017a) to remove this noise. Furthermore, a speckle-free reproduction image can be observed by recalculating the hologram from the speckle-free light-field data.

Ishii et al. (2022) proposed the image quality enhancement of zoomable holographic projections using DNNs. To obtain a reproduced image larger than the hologram size, it is necessary to use a random phase; however, this gives rise to speckle noise. The random phase-free method (Shimobaba and Ito, 2015), which applies virtual spherical waves to the original image and calculates the hologram using a scaled diffraction calculation (Shimobaba et al., 2013), can avoid this problem. However, it does not apply well to phase-only holograms. A DNN of (Ishii et al., 2022) converts a phase-only hologram computed using the random phase-free method in an optimized phase-only hologram. Two layers for computing the forward and inverse scaled diffraction (Shimobaba et al., 2013) are introduced before and after DNN. Then, the DNN is trained using unsupervised learning, as discussed in **Section 2.2**. In the inference, the two layers are removed, and a phase-only hologram is computed using the random phase-free method and a scaled diffraction calculation is input to the DNN to optimize a zoomable phase-only hologram.

2.5.2 Hologram Compression

The amount of data in holograms is a major problem. Data compression is essential for real-time hologram transmission and wide-viewing-angle holographic displays, which require holograms with large spatial bandwidth products. Existing

compression techniques [e.g., JPEG, JPEG 2000, and high-efficiency video coding (HEVC)] and distinctive compression techniques have been proposed (Blinder et al., 2014; Birnbaum et al., 2019; Stepien et al., 2020), which aim to take the distinctive signal properties of digital holograms into account. Compression of hologram data is not easy because holograms have different statistical properties from general natural images, so standard image and video codecs will achieve sub-optimal performance. Several DNN-based hologram data compression algorithms have been proposed to address this matter.

When JPEG or other compression algorithms targeted to natural image data are used for hologram compression, essential high-frequency components are lost, and block artefacts will perturb the hologram viewing. In Jiao et al. (2018), a simple DNN with three convolution layers was used to restore the JPEG-compressed hologram close to the original one. The DNN learns the relationship between the JPEG-degraded hologram and the original hologram using end-to-end learning. Although it was tested on JPEG, it can easily be applied to other compression methods, making it highly versatile.

In Shimobaba et al. (2019a) and Shimobaba et al. (2021a), holograms were compressed through binarization using the error diffusion method (Floyd, 1976). The U-Net-based DNN restored binary holograms to the original grayscale. If the input hologram is 8 bits, the data compression ratio is 1/8. DNN can obtain better reproduction images than JPEG, JPEG2000, and HEVC at the same bit rate.

2.5.3 Hiding of Information in Holograms

Steganography is a technique used to hide secret images in a host image (also called cover image). The hidden images must not be known to others. A closely related technique is watermarking: it embeds copyright information (e.g., a copyright image) in the host image. The copyright information can be known by others, but it must be impossible to remove. These techniques are collectively referred to as information hiding. Many holographic information hiding techniques have been proposed (Jiao et al., 2019). For example, the hologram of a host image can be superimposed on that of a hidden image to embed hidden information (Kishk and Javidi, 2003). The hidden information should be encrypted with double random phase encryption (Refregier and Javidi, 1995) to prevent it from being read. An important difference with digital information hiding is that holographic information hiding allows for optical encryption and decryption of the hidden image, and handling 3D host and hidden information.

The combination of holographic information hiding and DNN can improve the resistance to attacks and the quality of decoded images. In Wang et al. (2021), the holograms of host and hidden images were superimposed on a single hologram using a complementary mask image. Each hologram was converted into a phase-only hologram by patterned-phase-only holograms (Tsang et al., 2017). The hologram of the hidden image is encrypted with double random phase encryption (Refregier and Javidi, 1995). When the final hologram is reconstructed, we can observe only the host image. Since the mask image is the key, we can observe the hidden image when the mask image is multiplied with the

hologram, but the image quality is considerably degraded. This degradation is recovered using a DNN; DenseNet (Huang et al., 2017) was used as the DNN. It is trained by end-to-end learning using the dataset of degraded and ground-truth hidden images.

In Shimobaba et al. (2021b), a final hologram u recorded a hologram u_h of a host image and a hologram u_e of a hidden image was calculated as $u = \mathcal{P}_{z_1}\{u_h\} + \alpha \mathcal{P}_{z_2}\{u_e\}$. Here, \mathcal{P}_z is the diffraction calculation of the propagation distance z ; z_1 and z_2 are the distance between the hologram and each image; α is the embedding strength of the hidden hologram. We can make the reproduced hidden image less noticeable by making α sufficiently small. Here, it was set to 4% of the amplitude of the host hologram. It is not easy to identify the reproduced hidden image at this value. Therefore, if we want to identify it, DNN recovers the hidden image. The DNN was trained using reproduced hidden and ground-truth hidden images. U-Net and ResNet were used as the network structure. Both networks could recover the hidden images.

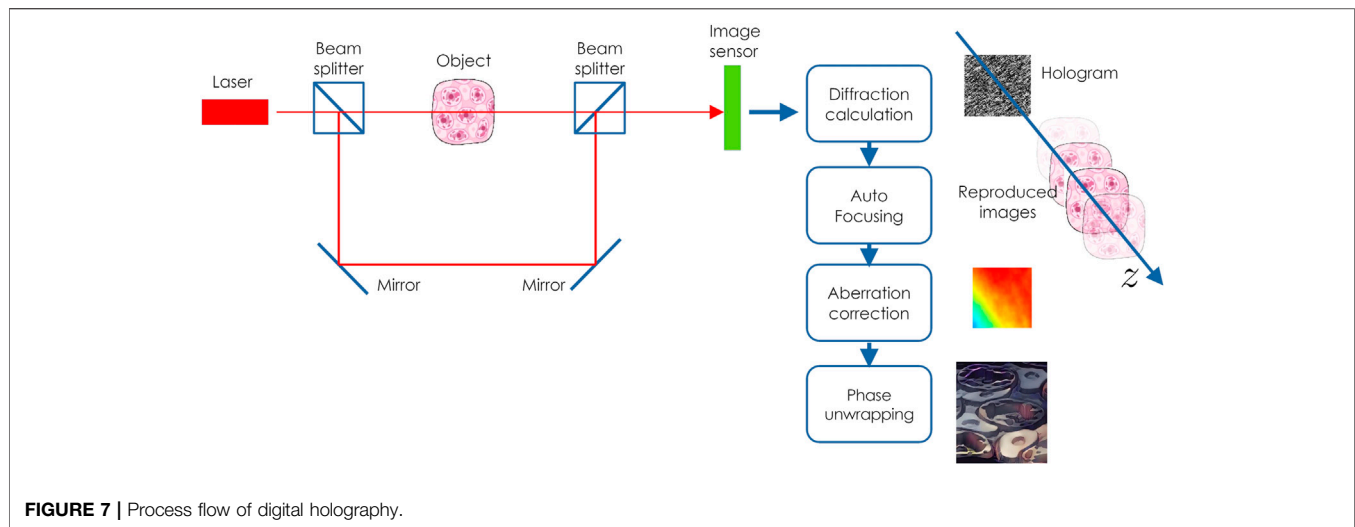
3 DIGITAL HOLOGRAPHY USING DEEP LEARNING

In digital holography (Goodman and Lawrence, 1967; Kim, 2010; Liu et al., 2018; Tahara et al., 2018) image sensors are used to capture holograms of real macroscale objects and cells. It is possible to obtain a reproduced image from the hologram using diffraction calculation. Digital holography has been the subject of much research in 3D sensing and microscopy. Figure 7 shows the process of digital holography. We calculate a diffraction calculation from a hologram captured by an image sensor to obtain a reproduction image in a computer. If the reconstructed position of the target object needs to be known accurately, autofocusing is required to find the focus position by repeating diffraction calculations. Autofocusing looks for a position where the reconstructed image is sharp. Aberrations are superimposed due to optical components and alignment errors. Meanwhile, it is necessary to correct this aberration. Since digital holography can obtain complex amplitudes, simultaneous measurement of amplitude and phase is possible. The phase can be obtained by calculating the argument of a complex value using the arctangent function, but its value range is wrapped into $[-\pi, +\pi)$. Therefore, phase unwrapping is required to reproduce the thickness of an object from its phase.

However, the above processes are time-consuming computations. In this section, we introduce digital holography using DNNs. We can speed up some (or all) of the time-consuming processing using DNNs. Furthermore, DNNs have successfully obtained reproduced images with better image quality than conventional methods. For a more comprehensive and detailed description of digital holography using DNNs, see review papers Rivenson et al. (2019), Javidi et al. (2021), and Zeng et al. (2021).

3.1 Depth Estimation

A general method for estimating the focus position is to obtain the most focused position by calculating reproduced images at



different depths from the hologram. The focus position is determined using metrics, such as entropy, variance, and Tamura coefficient (Zhang et al., 2017b). This process requires an iterative diffraction calculation, which is computationally time-consuming. An early investigation of autofocusing using DNNs was to estimate the depth position of a target object from a hologram. The depth prediction can be divided into two categories: classification and regression problems.

Pitkäaho et al. (2019) proposed the depth position prediction as a classification problem. They showed that DNNs for classification commonly used in the MNIST classification problem could classify the range of 260–272 mm, where the target object is located, into five depths at 3 mm intervals.

DNNs for estimating the depth location as a regression problem (Ren et al., 2018; Shimobaba et al., 2018) infer a depth value z directly from a hologram image (or its spectrum) H . This network is similar to that of the classification problem but with only one neuron in the output layer. The training is performed using end-to-end learning as *minimize* $\mathcal{L}(\mathcal{N}(H; \Theta), z)$, where z is the ground-truth depth value. The MSE and other metrics are usually used as loss functions. We can obtain a focused reproduced image through a diffraction calculation using the estimated depth distance from a hologram.

3.2 Phase Unwrapping

Phase unwrapping in physically-based calculation (Ghiglia and Pritt, 1998) connects wrapped phases to recover the thickness (or optical path length) of a target object. Phase unwrapping algorithms have global, region, path-following, and quality-guided algorithms. Additionally, a method that applies the transport intensity equation has been proposed (Martinez-Carranza et al., 2017). These methods are computationally time-consuming.

Many methods have been proposed to perform phase unwrapping by training DNNs with end-to-end learning using a dataset of wrapped phase and their unwrapping images (Wang et al., 2019a; Qin et al., 2020). Once trained, DNNs can rapidly

generate unwrapped phase images. Phase unwrapping using Pix2Pix (Isola et al., 2017), a type of generative adversarial network (GAN) (Goodfellow et al., 2014), has been proposed (Park et al., 2021). Pix2Pix can be thought of as a supervised GAN. This study prepared a dataset of wrapped phase and their unwrapped phase images generated using the quality-guided algorithm (Herráez et al., 2002). The U-Net-based generator employs this dataset to generate a realistic unwrapped phase image from the unwrapped phase image to fool the discriminator. The discriminator is trained to detect whether it concerns a generated or real unwrapped phase image. Such adversarial learning can produce high-quality unwrapped images.

3.3 Direct Reconstruction Using the Deep Neural Network

As a further development, research has been conducted to obtain aberration-eliminated, autofocusing, and phase unwrapping images directly by inputting holograms into DNNs.

3.3.1 Supervised Learning

A reproduced image can be obtained by propagating holograms captured by inline holography back to the object plane. However, since the reproduced image contains a twin image and direct light, it is necessary to remove unwanted lights using physically-based algorithms, e.g., phase recovery algorithms. This requires multiple hologram recordings and computational costs for diffraction calculations.

Rivenson et al. (2018) inputs a reproduced image obtained using an inverse diffraction calculation (\mathcal{P}^{-1}) to the object plane into a DNN (\mathcal{N}) to obtain a twin image-free reproduced image. The prepared dataset consists of a hologram H and a ground-truth complex amplitude field \mathcal{V} . Then, they trained the DNN using end-to-end learning as *minimize* $\mathcal{L}(\mathcal{N}(\mathcal{P}^{-1}(H); \Theta), \mathcal{V})$. They used MSE as the loss function \mathcal{L} . The ground-truth complex amplitudes were obtained from eight holograms with different recording positions using the multiheight phase retrieval algorithm (Greenbaum and Ozcan, 2012). This study showed

that this DNN could reproduce images comparable to those obtained using the multiheight phase retrieval algorithm without time-consuming phase recovery.

Although the study of Rivenson et al. (2018) required the results of propagation calculations from a hologram to be input to the DNN, eHoloNet (Wang et al., 2018) developed DNN that does not require propagation calculations and directly infers object light from a hologram. They created a dataset consisting of a hologram \mathcal{H} and its ground-truth object light \mathcal{Y} . The DNN was trained with the following end-to-end learning: $\underset{\Theta}{\text{minimize}} \mathcal{L}(\mathcal{N}(\mathcal{H}; \Theta), \mathcal{Y})$. MSE was used as the loss function \mathcal{L} . They employed phase distributions displayed on SLM for collecting ground-truth object lights instead of real objects.

Y-Net (Wang et al., 2019b) separates the upsampling path of U-Net (Ronneberger et al., 2015) into two parts and outputs the intensity and phase of a reproduced image. The dataset includes captured holograms and their ground-truth intensity and phase images. Y-Net is trained using end-to-end learning. Compared with the case where the output layer of U-Net has two channels, and each channel outputs an intensity image and a phase image, Y-Net has successfully obtained better reproduction images.

Y4-Net (Wang et al., 2020a) extends the Y-Net upsampling paths by four for use in dual-wavelength digital holography (Wagner et al., 2000). Dual-wavelength holography uses two wavelengths, λ_1 and λ_2 , to make synthetic wavelength for long wavelength measurements $\lambda_1\lambda_2/(\lambda_1 - \lambda_2)$ and short wavelength measurements $\lambda_1\lambda_2/(\lambda_1 + \lambda_2)$. Y4-Net outputs the real and imaginary parts of the reproduced image at each wavelength by inputting holograms captured at λ_1 and λ_2 .

The above researches are about digital holographic measurement of microorganisms and cells. However, 3D particle measurement is essential to understand the spatial behavior of tiny particles, such as bubbles, aerosols, and droplets. It is applied to flow path design of flow cytometers, environmental measurement, and 3D behavior measurement of microorganisms. Digital holographic particle measurement can measure one-shot 3D particles; however, it requires time-consuming post-processing using diffraction calculations and particle position detection. 3D particle measurement using holography and DNN has been proposed. The study Shimobaba et al. (2019b) prepared a dataset consisting of holograms and their particle position images, a 2D image showing the 3D position of the particle. The position of a pixel indicates the position of the particle in the plane, and its color indicates the depth position of the particle. U-Net was trained using end-to-end learning with the dataset. The DNN can transform holograms to particle position images. The effectiveness of the method was confirmed by simulation.

The study of Shimobaba et al. (2019b) was conducted using simple end-to-end learning. However, Shao et al. (2020) inputs two more pieces of information (depth map and maximum phase projection, both obtained by preprocessing the hologram) to their U-Net in addition to holograms. Additionally, by developing a loss function, this study successfully obtained 3D particle images with a particle density 300 times higher than that of Shimobaba et al. (2019b).

Chen et al. (2021) incorporated compressive sensing into DNN and trained it using end-to-end learning. The input of

the DNN were 3D particle holograms, whereas the output was 3D volume data of the particles. Unlike (Shimobaba et al., 2019b; Shao et al., 2020), Zhang et al. (2022) used the Yolo network (Joseph et al., 2016). When a hologram is an input to the DNN, it outputs a 6D vector containing a boundary box that indicates the location of the particle, its objectiveness confidence, and the depth position of the particle.

3.3.2 Unsupervised Learning

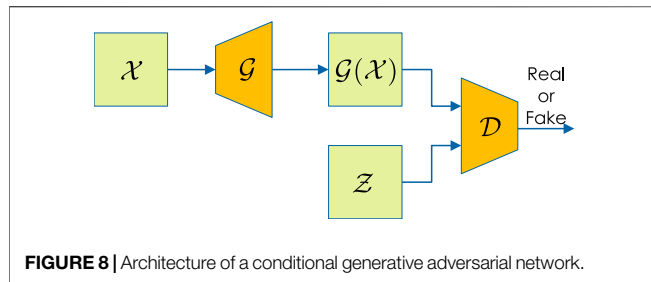
End-to-end learning requires a dataset consisting of a large amount of paired data (captured hologram and object light recovered using physically-based algorithms). Since the interference fringes of holograms vary significantly depending on the holographic recording conditions and target objects, there is no general-purpose hologram dataset. Therefore, it is necessary to create an application-specific datasets, which requires much effort. Unsupervised learning is also used for DNNs for digital holography.

Li et al. (2020) showed that using a deep image prior (Ulyanov et al., 2018), a twin image-free reproduced image can be obtained using only a captured inline hologram without large datasets. Furthermore, an auto-encoder was used for the DNN network structure. The deep image prior (Ulyanov et al., 2018) initializes the DNN with random values and inputs a fixed image to the DNN for training. For example, the deep image prior can be used to denoise an image from noisy input. This technique works due to the fact that DNNs are not good at representing noise. The deep image prior is also useful for super-resolution and inpainting. In Li et al. (2020), DNN was trained using the following unsupervised learning: $\underset{\Theta}{\text{minimize}} \mathcal{L}(\mathcal{P}(\mathcal{N}(\mathcal{P}^{-1}(H_{\text{fix}}); \Theta)), H_{\text{fix}})$, where H_{fix} is a captured inline hologram, and \mathcal{N} is the DNN with the network parameter Θ . The reproduced image of an inline hologram ($\mathcal{P}^{-1}(H_{\text{fix}})$) includes a twin image, which can be considered noise. By inputting the noisy reproduced image into the DNN, the DNN outputs the complex amplitude field of the target object with reduced twin image using the principle of the deep image prior. This study conducted a diffraction calculation (\mathcal{P}) of the DNN output to generate a hologram. It learns Θ to minimize the error between computed and captured holograms. Consequently, the study obtained that the quality of a reproduced image is better than using a state-of-the-art compressed sensing (Zhang et al., 2018b).

PhysenNet (Wang et al., 2020b) was also inspired by the deep image prior. PhysenNet can infer the phase image of a phase object by inputting its hologram into a DNN. The network is a U-Net, trained using the following unsupervised learning: $\underset{\Theta}{\text{minimize}} \mathcal{L}(\mathcal{P}(\mathcal{N}(H_{\text{fix}}); \Theta), H_{\text{fix}})$. The phase distribution output from the DNN is computed by diffraction to generate holograms. The DNN is trained to minimize the error between the measured and generated holograms. The minimization formula is slightly different from Li et al. (2020).

3.3.3 Generative Adversarial Network

GANs (Goodfellow et al., 2014), one of the training methods for DNNs, have been widely used in computational holography because of their excellent image transformation capabilities.



Liu et al. (2019a) used the conditional GAN for super-resolution in digital holographic microscopy. Conditional GAN is a method that adds ground-truth information to GAN; it is a supervised learning method. **Figure 8** shows a schematic of Liu et al. (2019a). As shown in the figure, \mathcal{X} is the low-resolution hologram; \mathcal{G} is the generating network (using U-Net); $\mathcal{G}(\mathcal{X})$ is the high-resolution hologram output from the generating network; \mathcal{Z} is a ground-truth high-resolution hologram; \mathcal{D} is a discriminating network that can distinguish whether a high-resolution hologram is a generated or a ground-truth hologram. The datasets of low- and high-resolution holograms are taken with on-chip digital holographic microscopy. The high-resolution holograms are captured by changing objective lenses with different numerical apertures. Alternatively, the image sensor can be laterally shifted to capture multiple low-resolution holograms, which are super-resolved using the physically-based algorithm (Greenbaum et al., 2014) to generate high-resolution holograms.

Similar to Liu et al. (2019a), Liu et al. (2019b) employed the conditional GAN to generate accurate color images from holograms captured at three wavelengths suitable for point-of-care pathology. Conditional GAN can produce holographic images with high accuracy. However, a dataset must be prepared since it is supervised learning, which requires much effort. To overcome this problem, holographic microscopy using cycle GANs with unsupervised learning has been investigated (Yin et al., 2019; Zhang et al., 2021).

3.4 Interconversion Between Holographic and Other Microscopes

Many microscopes, such as bright-field, polarized light, and digital holographic microscopes, have been developed, each with its strengths and weaknesses. Interconversion between the reproduced image of a holographic microscope and that of another microscope has been investigated using deep learning. It has become possible to overcome each other's shortcomings. In many cases, GANs, which are excellent at transforming images, are used to train DNNs.

Bright-field microscopy allows simple observation of specimens using a white light source; however, transparent objects must be stained. Additionally, only 2D amplitude information of a target object can be obtained due to the shallow depth of focus. Wu et al. (2019) showed that digital holographic reproduced images could be converted to bright-field images using GAN. In contrast, Go et al. (2020) converted an

image taken by bright-field microscopy into a hologram. They showed that it is possible to recover the 3D positional information of particles from this hologram. Additionally, they developed a system that can capture bright-field and holographic images simultaneously to create a dataset. The GAN generator produces holograms from bright-field images, and the discriminator is trained to determine whether it is a generated or captured hologram.

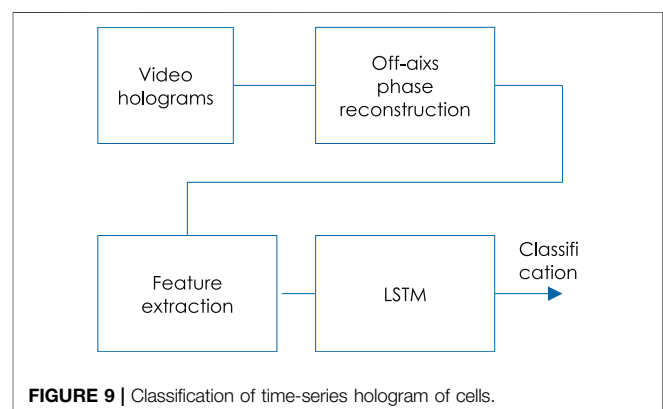
Liu et al. (2020) converted the reproduced image of digital holographic microscopy into a polarized image of polarized light microscopy. Polarized light microscopy has problems, such as a narrow field of view and the need to capture several images with different polarization directions. The study Liu et al. (2020) showed that a DNN trained by a GAN could infer a polarization image from a single hologram. The dataset consists of data pairs of holograms taken using a holographic microscope and polarized light images taken with single-shot computational polarized light microscopy (Bai et al., 2020) of the same object.

3.5 Holographic Classification

Holographic digital microscopy can observe the phase of transparent objects, such as cells, allowing for label-free observation of cells. By using this feature, a rapid and label-free screening of anthrax using DNN and holographic microscopy has been proposed (Jo et al., 2017). The DNN consists of convolutional layers, MaxPoolings, and classifiers using fully-connected layers.

O'Connor et al. (2020) classified holographic time-series data. They employed a low-cost and compact shearing digital holographic microscopy (Javidi et al., 2018) made with a 3D printer to capture and classify holographic time-series data of blood cells in animals, and healthy individuals, and those with sickle cell disease in humans.

Figure 9 shows a schematic of O'Connor et al. (2020). In the second step of the off-axis phase reconstruction, only the object light component is Fourier filtered, as in a conventional off-axis hologram, to obtain the phase image in the object plane using a diffraction calculation (Takeda et al., 1982; Cuche et al., 2000). The feature extractor extracts features from the phase image. The manually extracted and automatically extracted features from DNNs, which are transfer-learned from DenseNet (Huang et al.,



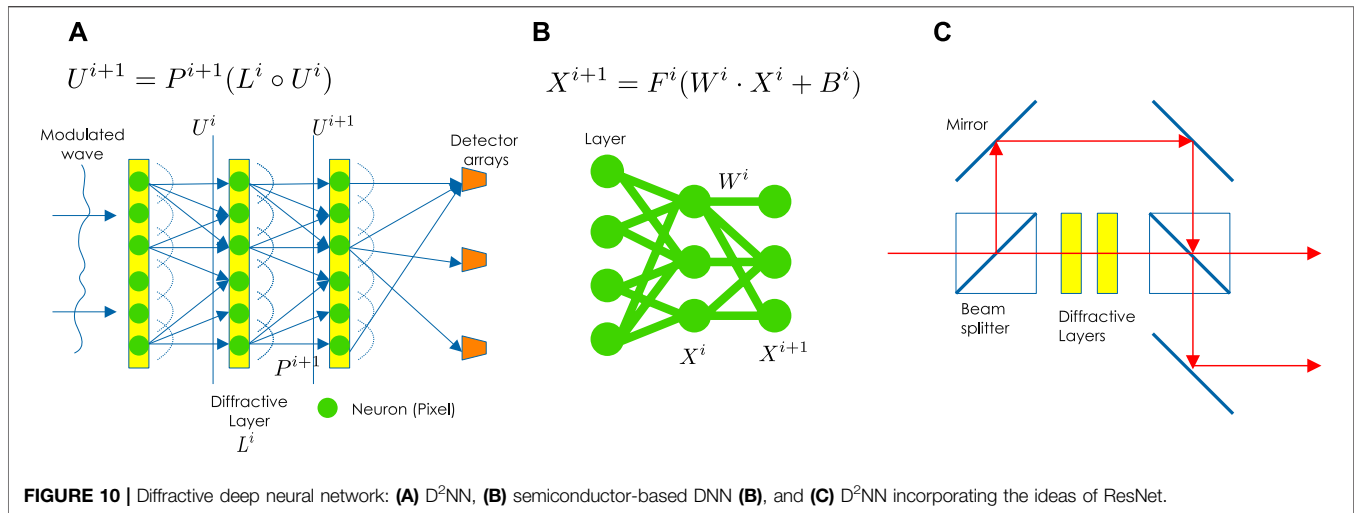


FIGURE 10 | Diffractive deep neural network: **(A)** D²NN, **(B)** semiconductor-based DNN **(B)**, and **(C)** D²NN incorporating the ideas of ResNet.

2017), are input to a long short-term memory network (LSTM) to classify the cells. LSTM is a recurrent neural network (RNN). RNNs have a gradient vanishing problem as the time-series data become longer; however, LSTMs can solve this problem. The study O'Connor et al. (2020) showed that LSTM significantly improved the classification rate of the cells compared to traditional machine learning methods, such as the random forest and support vector machine. The classification of spatiotemporal COVID-19 infected and healthy erythrocytes was reported (O'Connor et al., 2021) using this technique.

4 FASTER DEEP NEURAL NETWORKS

Deep learning, as introduced above, entails a neural network running on semiconductors. The switching speed of transistors governs its speed, and its power consumption is high. To solve this problem, an optical neural network has been proposed (Goodman and Goodman, 2005; Genty et al., 2021).

Research on optical computers has a long history. For example, pattern recognition by optical computing was reported in 1964 (Vander LUGH, 1964). This research used optical correlation to perform simple recognition. Optical computers use a passive hologram used as a modulator of light. Therefore, it requires little power and can perform the recognition process at exactly the speed of light. Research has been recently conducted on optical deep learning (Genty et al., 2021). In this study, we introduce one of them, the diffractive DNN (D²NN), which is closely related to holography (Lin et al., 2018).

Figure 10 shows the D²NN and semiconductor-based DNN. A D²NN modulates the input light modulated by some information with multiple diffractive layers (holograms). It learns the amplitude and phase of the diffractive layers to strengthen the light intensity of the desired detector. For example, in the case of classification, the input light of the classification target is modulated in each diffractive layer, and the diffractive layer is learned to strengthen the light intensity of

the detector corresponding to the target. Existing deep-learning frameworks, such as Keras, Tensorflow, or PyTorch, can be used to train the diffractive layers.

In **Figure 10A**, a light wave U^i is diffracted by i th diffractive layer. The propagated light wave U^{i+1} before the next diffractive layer is expressed as follows:

$$U^{i+1} = \mathcal{P}^{i+1}(L^i \circ U^i), \quad (7)$$

where \mathcal{P}^{i+1} is the diffraction between the layers of i and $i + 1$, and \circ is the Hadamard product. For \mathcal{P}^i , general diffraction calculations, such as the angular spectrum method, can be used. The forward calculation of D²NN is completed by iterating **Eq. 7** as many times as the number of diffractive layers.

Since the calculation of **Eq. 7** consists of the entirely differentiable operations, each diffractive layer can be optimized by automatic differentiation from the forward calculation. The D²NN is trained on a computer, and the trained diffractive layers are recorded on an optical modulator (photopolymer or SLM). These optical modulators correspond to the layers of the semiconductor-based DNN. A D²NN can be constructed by arranging these layers in equal intervals. The classification rate can be further improved (Watanabe et al., 2021) by arranging the diffractive layers in a non-equally spaced manner. The spacing of diffractive layers is a hyperparameter, which is not easy to tune manually. Watanabe et al. (2021) employed a Bayesian optimization technique, the tree-structured Parzen estimator (James et al., 2011), for hyperparameter tuning.

Figure 10B shows a semiconductor-based DNN. The output X^{i+1} of the input X^i at the i th layer of this DNN can be expressed by

$$X^{i+1} = F^i(W^i \cdot X^i + B^i), \quad (8)$$

where W^i is the weight parameters, B_i is the bias (not shown in the figure), \cdot is the matrix product, and F^i is the activation function. Semiconductor-based DNNs can represent arbitrary functions because **Eq. 8** contains nonlinear activation functions. However,

Eq. 7 of D^2NN has no activation function; therefore, it can only handle linear problems. Still, there are many applications where D^2NN s work effectively for linear problems.

The study Lin et al. (2018) investigated the MNIST classification accuracy using D^2NN . When a five-layer D^2NN was validated through simulation, it achieved a 91.75% classification rate. Meanwhile, the classification rate improved to 93.39% for a seven-layer. The state-of-the-art classification rate for electrical DNNs was 99.77%. When the layers were 3D printed and optically tested, a classification rate of 88% was achieved despite the manufacturing and alignment errors of the layers.

D^2NN s are usually constructed with relatively shallow layers. Dou et al. (2020) applied the idea of ResNet (He et al., 2016) to D^2NN and reduced the gradient vanishing problem in deep diffractive layers. ResNet reduced gradient vanishing by introducing shortcuts, whereas Res- D^2NN (Dou et al., 2020) introduces optical shortcut connections, as shown in **Figure 10C**. When a 20-layer D^2NN and Res- D^2NN were run through the MNIST classification problem, the identification rates were 96.0% and 98.4%, respectively, with the Res- D^2NN showing superior performance.

Sakib Rahman and Ozcan (2021) showed through simulations that a twin image-free holographic reproduced image could be obtained using a D^2NN . When holograms captured by inline digital holography are reproduced, blurry conjugate light is superimposed on the object light. Phase recovery algorithms, compressive sensing, and deep learning are used to remove this conjugate light, all of which operate on semiconductors. The study Sakib Rahman and Ozcan (2021) trained a D^2NN to input light from a hologram into the D^2NN and pass it through several diffractive layers to obtain a twin image-free reproduced image. The loss function \mathcal{L} is defined as follows:

$$\mathcal{L} = \|I - \mathcal{Y}\|_2 + \alpha_1 \|\mathcal{F}(I) - \mathcal{F}(\mathcal{Y})\|_2 + \alpha_2 (1 - P_I/P_{illum}), \quad (9)$$

where $\|\cdot\|_2$ denotes the ℓ_2 norm, and the first term is the error between the inferred image I of D^2NN and the ground-truth image \mathcal{Y} ; the second term is the spectral error; the third term is the diffraction efficiency defined as the ratio of the power P_I of the reproduced image to the total power P_{illum} of the illumination light. The third term subtracts the diffraction efficiency from 1 so that the loss function becomes smaller as the diffraction efficiency increases. α_1 and α_2 are hyperparameters. The amount of modulation of the diffractive layers is determined by minimizing this loss function.

5 OUR PERSONAL VIEW AND DISCUSSION

In previous sections, we introduced computational holography, including computer-generated holograms, holographic displays, digital holography, and D^2NN , using deep learning. Several studies have shown that deep learning outperforms existing physically-based calculations. In this section, we briefly discuss our personal view on deep learning.

Algorithms for computer-generated hologram in holographic display include point-cloud, polygon, layer, and light-field

methods. Several physically-based algorithms have been proposed for layer methods (Okada et al., 2013; Chen et al., 2014; Chen and Chu, 2015; Zhao et al., 2015). The DNN-based method (Shi et al., 2021) proposed by Shi et al. (2021) has been a near-perfect layer method in computational speed and image quality. Physically-based layer methods are inherently computationally expensive due to the iterative use of diffraction calculations. The DNN in Shi et al. (2021) skips this computational process and can map input RGBD images directly to holograms. This study showed that DNN could generate holograms two orders of magnitude faster than sophisticated physically-based layer methods.

Holograms generated using the layer method are suitable for holographic near-eye display because a good 3D image can be observed from the front of holograms (Maimone et al., 2017). These holograms have a small number of hologram pixels. Additionally, since the holograms do not need to have a wide viewing angle, they have only low-frequency interference fringes, indicating low spatial bandwidth product (Blinder et al., 2019). These features are suitable for DNNs, which is why current hologram generation using DNNs is mainly for layer holograms. Holograms with a large spatial bandwidth product have a wide viewing angle, allowing a large 3D image to be observed by many people. However, this would require large-scale holograms. Such holograms require a pixel pitch of about a wavelength and billions to tens of billions of pixels (Matsushima and Sonobe, 2018; Matsushima, 2020). Holograms are formed from high-frequency interference fringes, and hologram patterns appear noisy at first glance. Current DNNs have difficulty handling such large-scale holograms due to memory issues and computational complexity. Additionally, deep image prior (Ulyanov et al., 2018) points out that current DNNs based on convolutions are not good at generating noisy patterns. Therefore, hologram generation with large spatial bandwidth products using DNNs is a big challenge.

Since DNNs were developed from image identification, RGBD images used in the layer method are suitable for DNNs. However, it is not easy for DNN to handle coordinate data formats used in the point cloud and polygon methods. So far, few studies exist on how to handle the point cloud method (Kang et al., 2021) using DNN. The authors look forward to further progress in these studies.

Deep learning is a general-purpose optimization framework that can be used in any application involving signals. However, it is difficult to answer whether it can outperform existing methods in all applications and use cases. Using optical cryptography and single-pixel imaging as examples, Jiao et al. (2020) compared a well-known linear regression method (GeorgeSeber and AlanLee, 2012) with deep learning. They concluded that the linear regression method is superior in both applications. DNNs require a lot of tuning: tuning the network structure and hyperparameters, selecting appropriate loss functions and optimizers, and preparing a large dataset. If we tune them properly, which is not necessary in existing physically-based methods, we may obtain excellent results. However, it requires much effort. Ultimately, deep learning is a sophisticated fitting technique, so analytical models matching the ground truth

physics may be favorable whenever knowable and efficiently computable. Thus, it is essential to choose appropriate physically-based methods and deep learning in the future.

Deep learning requires the preparation of a large number of datasets, which generally require much effort. Computer-generated holograms using DNNs also require the preparation of datasets; however, they can be generated on a computer. Therefore, there is no need to take holograms with an actual optical system, except for systems such as the camera-in-the-loop holography. Digital holography is more problematic, as it requires a great deal of effort to acquire information about target objects and their holograms. Unsupervised learning, as discussed in **Section 3.3**, is ideal. However, unlike DNNs trained in supervised and unsupervised manners, phase recovery algorithms and compressed sensing can recover target object lights using only few known information about the target objects. Thus, they do not require a dataset. For supervised learning, DNNs should be trained by generating data pairs of holograms and their object lights using phase recovery algorithms and compressed sensing, as stated in Rivenson et al. (2018).

The generalization performance of DNNs is also essential. For example, in the case of digital holography, there is no guarantee that a DNN trained on a dataset with a particular object and optical system will be able to accurately recover object lights from holograms captured in other situations. Therefore, to improve the generalization performance of DNNs, we can use datasets that include various types of data, and techniques such as domain adaptation (Tzeng et al., 2017), which has been the subject of much research in recent years.

Furthermore, DNNs have outperformed physically-based calculations in many applications of computational holography. So, will there still be a need for physically-based calculations in the future? The answer is yes, because DNNs require large datasets which need to be generated using sophisticated physically-based calculations. Additionally, the validity of the results generated using DNNs should be benchmarked with the results obtained using physically-based calculation. Meanwhile, several attempts have been made on

introducing layers of physically-based calculations in DNNs (Rivenson et al., 2018; Wang et al., 2020b; Hossein Eybposh et al., 2020; Li et al., 2020; Chen et al., 2021; Horisaki et al., 2021; Shi et al., 2021; Wu et al., 2021; Ishii et al., 2022; Kavaklı et al., 2022). Therefore, it will be necessary to continue research on physically-based calculations in terms of speed and image quality to speed up these layers.

6 CONCLUSION

In this review, we comprehensively introduced computational holography, including computer-generated holography, holographic display, digital holography using deep learning, and D^2NN s using holographic technology. Computational holography using deep learning has outperformed conventional physically-based calculations in several applications. Additionally, we briefly discussed our personal view on the relationship between DNNs and physically-based calculations. Based on these discussions, we believe that we need to continue research on deep learning and physically-based calculations. The combination of deep learning and physically-based calculations will further lead to a groundbreaking computational holography research.

AUTHOR CONTRIBUTIONS

TS, DB, and TB wrote the manuscript. TS, DB, TB, IH, HS, PS, and TI also discussed the techniques introduced in the manuscript and reviewed the manuscript.

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A Survey for 3D Flame Chemiluminescence Tomography: Theory, Algorithms, and Applications

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Combustion diagnostics play an essential role in energy engineering, transportation, and aerospace industries, which has great potential in combustion efficiency improvement and polluting emission control. The three-dimensional (3D) visualization of the combustion field and the measurement of key physical parameters such as temperature, species concentration, and velocity during the combustion process are important topics in the field of combustion diagnostics. Benefiting from the non-contact and non-intrusive advantages of the optical detection method as well as the advantages of the 3D full-field measurement of the measured field by computational tomography, flame chemiluminescence tomography (FCT) has the ability to realize non-intrusive and instantaneous 3D quantitative measurement and 3D full-field visualization of key physical parameters in the combustion process, which has crucial research significance in combustion diagnostics. In this study, we review the progress of FCT technique. First, we provide an extensive review of practical applications of FCT in state-of-the-art combustion diagnostics and research. Then, the basic concepts and mathematical theory of FCT are elaborated. Finally, we introduce the conventional reconstruction algorithm and proceed to more popular artificial intelligence-based algorithms.

Keywords: 3D optical computerized tomography, spectroscopy measurement, flame chemiluminescence, reconstruction algorithm, projection model, deep learning, combustion diagnostics

INTRODUCTION

As a kind of complex reaction process, combustion phenomenon combines chemical, physical, and mechanical knowledge, which is accompanied by the formation of a large number of intermediate products such as OH^{*}, CH^{*}, and C₂^{*} radicals. It has been reported that CH^{*}, C₂^{*}, and OH^{*} radicals can be considered the critical parameters in the combustion process of hydrocarbon fuels as well as be intimately related to the combustion status (Gaydon and Wolfhard, 1953; Nori and Seitzman, 2008; Alviso et al., 2017; Navakas et al., 2018). As a consequence, with the aid of the detection and measurement of OH^{*}, CH^{*}, and C₂^{*} radicals in the combustion process, the diagnosis of combustion characteristics such as combustion components, combustion structure, temperature, velocity, pollution emissions, and the heat release rate of the combustion field can be realized, which will further facilitate the control of the combustion process and gain an in-depth understanding of the combustion reaction mechanism in the industrial fields of aerospace and energy (Kojima et al., 2005; Jeong et al., 2006; Orain and Hardalupas, 2010; Hossain and Nakamura, 2014; Sun et al., 2015; Ax and Meier, 2016).

During the combustion process, the radicals emit spectra of specific wavelengths from the excited state to the ground state, which is defined as the flame chemiluminescence spectrum (Gupta et al., 1999). For instance, the chemiluminescence spectrum measurement of high-temperature propane flame has been provided in Gupta et al. (1999). On account of the flame chemiluminescence spectrum being the inherent optical property of flame in the combustion process, the measurement of flame chemiluminescence spectrum becomes the most straightforward strategy to analyze the combustion properties (Griffiths and Barnard, 1995; Kathrotia et al., 2012). Compared to the commonly employed methods in combustion diagnostics, such as the laser induced fluorescence (LIF) technique (Daniele et al., 2013; Wellander et al., 2014; Lee et al., 2015) and the particle image velocimetry (PIV) technique (Weinkauff et al., 2013; Liu et al., 2018; Liu and Ma, 2020), flame chemiluminescence spectrum technology eliminates the demand for an external detection source and the requirement of spreading tracer particles in the tested flame. Flame chemiluminescence spectrum technology focuses on the wavelength of specific radicals; the intensity of radicals can be accessed directly after filtering and acquiring *via* industrial cameras or optical fiber detectors. Furthermore, in contrast with spatial single-point or planar detection methods, the chemiluminescence 3D imaging strategy shows better performance in full-field 3D quantitative visualization and detection of crucial physical parameters in a complex combustion phenomenon, not only in modern aviation, aerospace, and military fields, for instance, the 3D combustion structure detection of rocket motor exhaust flames, but also for a wide range of industrial and civil applications, such as energy engineering of an industrial power plant boiler chamber and high-temperature cutting and smelting. As a consequence, benefited by the non-contact, easy implementation, and simple arrangement of the experiment setup as well as full-field measurement ability, chemiluminescence 3D imaging tactics has attracted a lot of attention in the field of combustion diagnostics.

In a bid to achieve 3D visualization as well as measurement of the combustion field, computerized tomography (CT) technology is used and integrated with the flame chemiluminescence spectrum method, and then the flame chemiluminescence tomography (FCT) technique is developed (Sebald, 1980; Melnikova and Pickalov, 1984; Iwama et al., 1989). With the advantages of the non-contact and non-intrusiveness of the optical detection method and the ability of 3D full-field measurement of CT, FCT is capable of achieving both instantaneous 3D quantitative measurement and full-field visualization of key physical parameters in the combustion field, which has essential research significance in combustion diagnostics. Recently, with the continuous advancement in the performance of photoelectric detection equipment and the tremendous improvement of computing power, numerous scholars have carried out extensive research in the acquisition and calibration of multidirectional projection data, the establishment of projection models, and the improvement of 3D reconstruction algorithms of FCT.

In order to provide a comprehensive review of flame chemiluminescence-based tomographic imaging and technology, the remainder of this article is organized as follows: the applications of FCT in practical combustion diagnostics are given in **Section 2**. **Section 3** focuses on background information of FCT and begins with concepts of the projection model before presenting an explanation of projection acquirement as well as multi-view calibration; **Section 4** depicts the mathematical formulation of the reconstruction algorithm of tomographic inverse problem; furthermore, **Section 5** concludes the review with a summary and an outlook on the potential developments of FCT in the future.

APPLICATION OF FCT

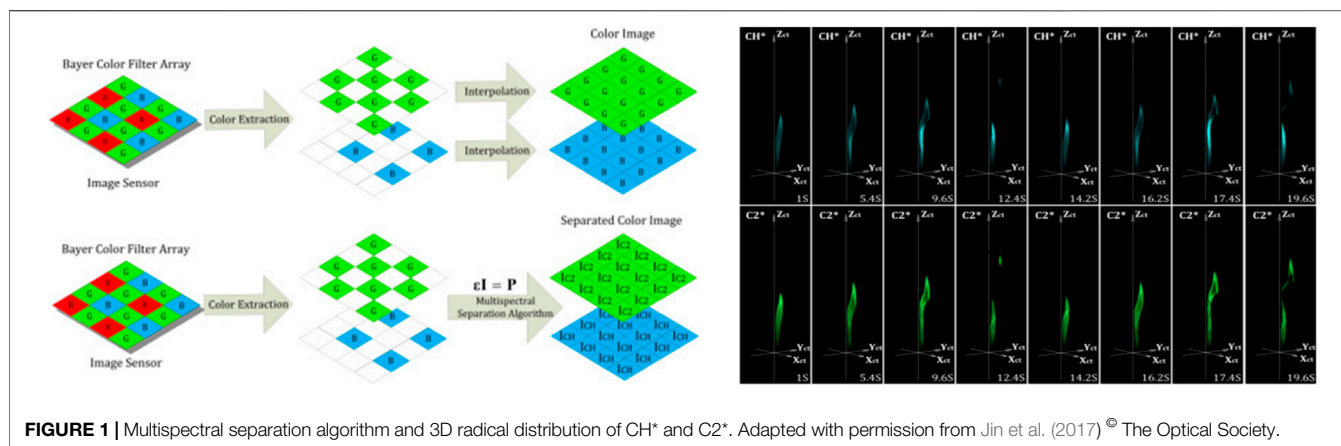
FCT technique has been extensively employed in practical combustion measurement of laboratory and industry to provide key insights into flame physics. This section surveys four main aspects of application of FCT, including combustion status, geometric measurement, temperature measurement, and propagation speed measurement.

Combustion Status

The chemiluminescence intensity of excited OH^* , CH^* , and C_2^* has been reported having maxima of the flame spectra at 309, 431.5, and 516.5 nm, respectively (Gupta et al., 1999). Many scholars focus on the measurement of OH^* , CH^* , and C_2^* concentration and pay extensive attention to figure out the relationship between the measured chemiluminescence and flame combustion status with various experimental conditions, such as the equivalence ratio and fuel type in practical systems.

The relationship between the intensity ratios (C_2^*/CH^* , C_2^*/OH^* , and CH^*/OH^*) of methane/air partially premixed flame with different equivalence ratios were investigated and compared with the results of a photomultiplier tube (PMT) in the study by Y. Jeong. Abel inversion was applied here to reconstruct cylindrically symmetric flame to yield 2D “slices” (Jeong et al., 2006). Likewise, Denisova et al. (2013) attempted to reconstruct the spatial distributions of CH^* , OH^* , and C_2^* chemiluminescence of axisymmetric as well as non-axisymmetric flames to further monitor the fuel–air ratio and completeness of combustion. Similarly, Liu et al. (2020) employed intensified-CCD and the Abel inversion method to retrieve 2D radial distribution of chemiluminescence characteristics of OH^* and CH^* in a low swirl burner with the equivalence ratio varying from 0.8 to 1.2. Analogous work has been mentioned in D. Sellan’s research. The OH^*/CH^* signals of a swirl-stabilized axisymmetric flame with changing equivalence ratios were measured and reconstructed by Abel inversion (Sellan and Balusamy, 2021).

In contrast to aforementioned 2D reconstruction, taking the transmissivity of a customized double-channel band-pass filter and a color camera into account, Y. Jin et al. proposed a quantitative multispectral separation technique to realize both



CH* and C2* intensities. As seen in **Figure 1**, the dynamic 3D chemiluminescence emission structure of CH* and C2* were reconstructed simultaneously. Afterward, quantitative analysis of CH* and C2* components was conducted in details (Jin et al., 2017).

Temperature Measurement

The measurement of flame temperature plays an essential role in combustion diagnostics and is necessary to access an in-depth understanding of combustion physics. Several methods have been utilized for flame temperature measurements, such as LIF, moiré deflection, and tunable diode laser absorption spectroscopy (TDLAS) (Kaminski and Kaminski, 2014). However, limited by the complex arrangement of the aforementioned technique, they are somehow unsuitable for industrial requirement. With the increasing employment of the FCT method, it also gives a potential tactic for recovering 3D flame temperature distribution.

On the basis of two-color pyrometric techniques and optical CT theory, 3D temperature and emission distributions of propane flame were reconstructed simultaneously by M. M. Hossain. In their study, two RGB CCD cameras coupled with eight OFB were used to acquire flame images. Red and green components were extracted to further achieve temperature and emission measurements in view of the two-color method (Hossain et al., 2013). Similar methods were reported in Brisley et al. (2005). Also, double-wave spectral tomography was adopted to retrieve distribution features of the 3D temperature field. Different from the aforementioned studies, Gao et al. (2010) established an orthographic CCD array coupled with the narrowband filters (central wavelength: 696.5 and 763.5 nm) to acquire images *via* four views. Using 4D temperature measurements a weakly turbulent diffusion flame was studied in the same way in Yu et al. (2021). Recently, Y. Liu et al. proposed a light-field sectioning pyrometry (LFSP) method which combined light-field imaging and color ratio pyrometry (CRP) to achieve *in situ* temperature measurement of ethylene flame. Moreover, the spatial resolution of reconstruction was improved in lateral and depth directions based on their cage-typed light-field camera (Liu et al., 2021a; Shi et al., 2022).

Geometric Measurement

Flame geometrical characteristics give instantaneous information on the quality of the combustion process. The monitoring and measurement of flame geometric are essential for deepening the understanding and optimizing the combustion conditions. Benefiting from the rise of 3D tomography technology, FCT technique has been a promising tactic for providing 3D whole-field geometric measurement of the flame, which is generally impossible by using traditional 2D measurement methods.

Several parameters, such as orientation, volume, length, surface area, and circularity, were defined to characterize the flame geometry by H. C. Bheemul. Combined with the mesh-generating technique and the FCT method, a 'fishnet' was generated to cover the flame surface. The aforementioned geometric parameters were measured and analyzed based on 3D reconstruction results of the diffusion flame (Bheemul et al., 2002). By means of FCT, T. D. Upton investigated structural details of a turbulent, premixed propane/air flame. The 3D flame front and 2D contours were recovered with high resolution (Upton et al., 2011). It is worth pointing out that 3D flame topography and curvature were inspected in detail by L. Ma. The projections of premixed turbulent Bunsen flame were captured from six perspectives at a rate of 5 kHz. Probability density function (PDF) of flame topography was derived from a series of 3D reconstruction results, and the calculation of flame curvature was achieved in Ma et al. (2016). Recently, R. Dong focused on the relationship between flame edge deformations and oscillations. The 3D instantaneous flame edge structures with fine-scale corrugations were retrieved using the FCT tactic, which enabled the observation of small vortices' evolution (Dong et al., 2021a). An analogous strategy was employed in 4D fire events imaging for temporal evolution of flame (Windle et al., 2021).

Flame Propagation Speed Measurement

Flame propagation speed plays a crucial role in combustion diagnostics owing to the straightforward reflection of flame stabilization. Generally, flame propagation speed refers to the moving speed of the flame surface in the combustion process, which is also called the absolute flame velocity in some cases. In contrast to the commonly used tactic for velocity measurement, such as PIV and PLIF methods, the FCT technique shows

privileged advantages in the measurement of flame propagation speed, owing to the successful application in geometric measurement.

The flame propagation and local burning velocity of a propane/air turbulent flame were investigated in Ishino et al. (2009). A set of flame images were acquired from 40 views with a short time interval to reveal the 3D distributions of the turbulent flame and to further calculate the local burning velocity. On the basis of geometric measurement of two non-axisymmetric premixed flames, Wiseman et al. (2017) depicted the surface speed measurement algorithm and especially focused on the influence of the number of perspective. Considering the frequently used surface fitting and normal vectors methods being not suitable for turbulent flame of laboratory, Y. Chi proposed two novel methods to deal with this problem. Numerical and experimental validations on the turbulent jet flame were conducted. Meanwhile, the relationship between the flame curvature and the propagation speed was analyzed (Chi et al., 2021). Recently, Liu et al. (2021b) concentrated on the influence of the external direct current electric field on the propagation speed of swirl flames. With the help of FCT strategy, the velocity vectors and magnitude contours at various horizontal cross sections were accessed.

BACKGROUND INFORMATION

In this section, some background information about FCT are presented, including a brief demonstration of the acquirement of projections, an introduction to the multi-camera calibration method, and several kinds of projection models often employed for FCT research.

Acquirement of Projections

In FCT practical measurement, the acquirement of projections of the test field is the fundamental for further tomography reconstruction. According to the different combustion state of the test field, the achievement of projections acquisition in practical experiment can be divided into two categories: single directional arrangement and multidirectional arrangement.

A single directional arrangement can be utilized to reveal an axisymmetric flame. Based on the assumption of rotational symmetry of the laboratory-scale flame in their study, Brisley et al. (2004) developed a single monochromatic CCD camera instrumentation system to complete the 3D temperature measurement of a gaseous flame. Similarly, in order to investigate the partial premixing effects of a laminar CH₄-air flames with different equivalence ratios, an intensified charge-coupled device (ICCD) system was presented by Jeong et al. (2006) to detect OH*, CH*, and C₂* radicals and tomographic reconstruction. Furthermore, the single directional acquirement assembly could be capitalized on stable flame tomographic measurement, which can be achieved by rotating the test flame. Hertz and Faris (1988) proposed a simple experiment setup and sequentially recorded the projections of a steady-state Bunsen flame by rotating for recovering CH* emission distribution. Although the single-direction projection

acquisition system has a simple structure as well as low cost, it is only applicable for test flame with good axial symmetry or stable-state combustion measurement, which is not suitable for instantaneous non-axisymmetric and unstable flame tomographic experiments.

Benefited by the rapid development of optical sensing and computing power, simultaneous multidirectional projection acquirement can be realized. H. C. Bheemul and coworkers built a detection system with three monochromatic CCD cameras to visualize and quantitatively demonstrate the gaseous flames. In their study, three CCD enclosed the burner with equidistance and an equal angle and obtained the 2D projections of the flame simultaneously from three different views (Bheemul et al., 2002). Moreover, a 10 direction Kepler telescopes tomographic system was reported by Anikin et al. (2010) to measure the OH*-chemiluminescence distribution of diffusion as well as premixed flames, respectively. L. Ma et al. reported a five CMOS camera arrangement to record CH* chemiluminescence simultaneously and further retrieved the instantaneous structures of McKenna burner and jet flame volumetrically (Cai et al., 2013a; Li and Ma, 2014; Li and Ma, 2015). A 24-view acquirement setup was proposed by Mohri et al. (2017) to investigate the strengths of the FCT technique in a real highly turbulent swirl flame measurement. It is worth noting that a semicircular shape of cameras equipped with forty small high-performance lenses was designed by Ishino et al. (2005); Ishino and Ohiwa (2005); Ishino et al. (2007); and Ishino et al. (2009). On the basis of their famous forty-lens equipment, further expansion has been applied to achieve a 158-lens FCT system, which was awarded the Guinness world record in 2009 (Ishino et al., 2011).

Additionally, multidirectional capture could be accessed by the combination of a camera and a mirror. GilabertLuYan et al. (2007) established a special imaging system incorporating three identical RGB cameras and an optical transmission unit and captured flame projections concurrently from six perspectives. Similarly, combined with a mirror array, Upton et al. (2011) presented a six camera optical system to collect a turbulent premixed flame projection data from 12 views for 3D reconstruction. Floyd and Kempf (2011) demonstrated an instantaneous computed tomography of the chemiluminescence (CTC) experimental setup, which comprises five cameras and mirrors providing two perspectives for each camera. As demonstrated in Figure 2C, Wei presented a high-speed FCT system which included three customized mirror-prism-camera blocks to capture multiple projections of an unconfined turbulent swirl flame from distinct views (Yu et al., 2018a; Ruan et al., 2019).

With the expeditious development of optical fiber technology as well as the advantage of an excellent optical waveguide property, devices based on the optical fiber and industrial cameras have also become a way of multidirectional projection acquisition for FCT. Considering the complex arrangement of multi-camera system, G. Lu et al. designed an imaging fiber-based FCT system coupled with two cameras to acquire flame projections simultaneously from eight fiber bundles around the burner (Hossain et al., 2011; Moinul Hossain et al., 2012).

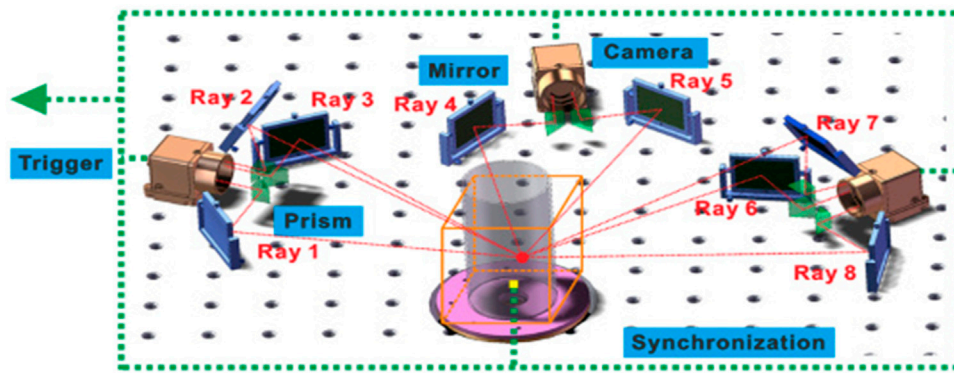


FIGURE 2 | Multidirectional system with mirror–prism–camera blocks. Adapted with permission from Yu et al. (2018a) © The Optical Society.

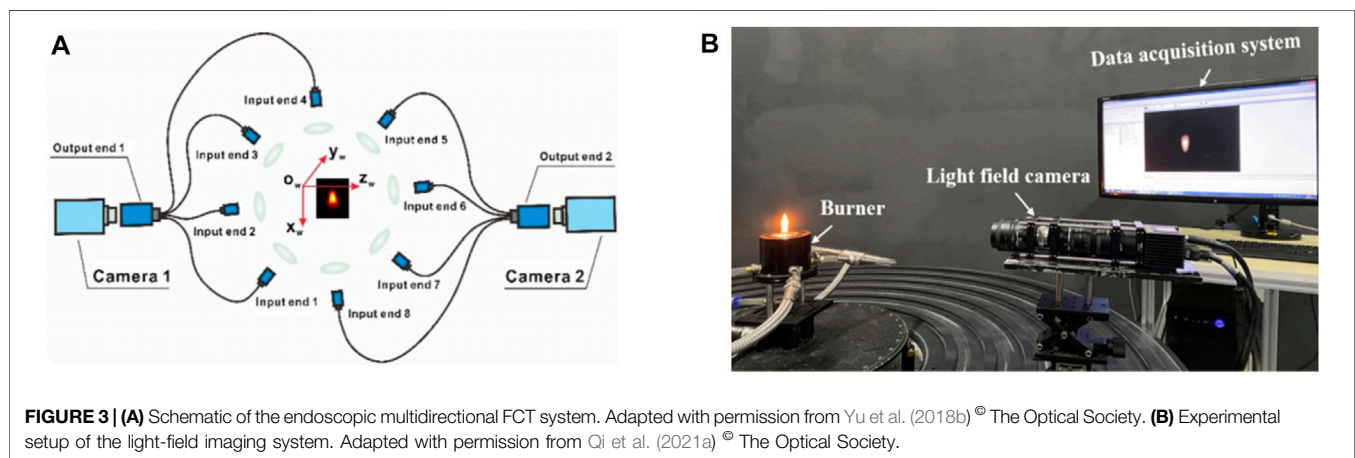


FIGURE 3 | (A) Schematic of the endoscopic multidirectional FCT system. Adapted with permission from Yu et al. (2018b) © The Optical Society. (B) Experimental setup of the light-field imaging system. Adapted with permission from Qi et al. (2021a) © The Optical Society.

Wan et al. (2009; Wan et al. (2013) established an optical fiber bundle tomography (OFBT) system consisting of four telecentric lens and a number of fiber bundle units. Similarly, W. Cai et al. developed a variety of endoscopy-based multidirectional FCT projection acquisition systems (Yu et al., 2018b; Liu et al., 2019a; Liu et al., 2019b; Yu et al., 2019a; Yu et al., 2019b). As indicated in **Figure 3A**, two synchronized cameras equipped with customized fiber bundles were utilized to obtain the Bunsen flame projections from eight views simultaneously. In order to maximize the differences between flame projections, eight input fiber bundles were arranged in nearly an equiangular manner in a circular shape (Yu et al., 2018b). A nine inputs optical fiber bundle-based experimental setup was proposed by Q. Lei et al. to transmit the CH^* chemiluminescence signals of the swirl flame in the gas turbine to one high-speed camera (Dong et al., 2021b; Rising et al., 2021). Recently, considering the drawbacks of FBT of low SNR imaging and signal diminishment with various equivalence ratios, C. Rising et al. proposed a filter-intensified FBE approach to capture the CH^* radical and obtained more precise reconstruction results (Ishino and Ohiwa, 2005).

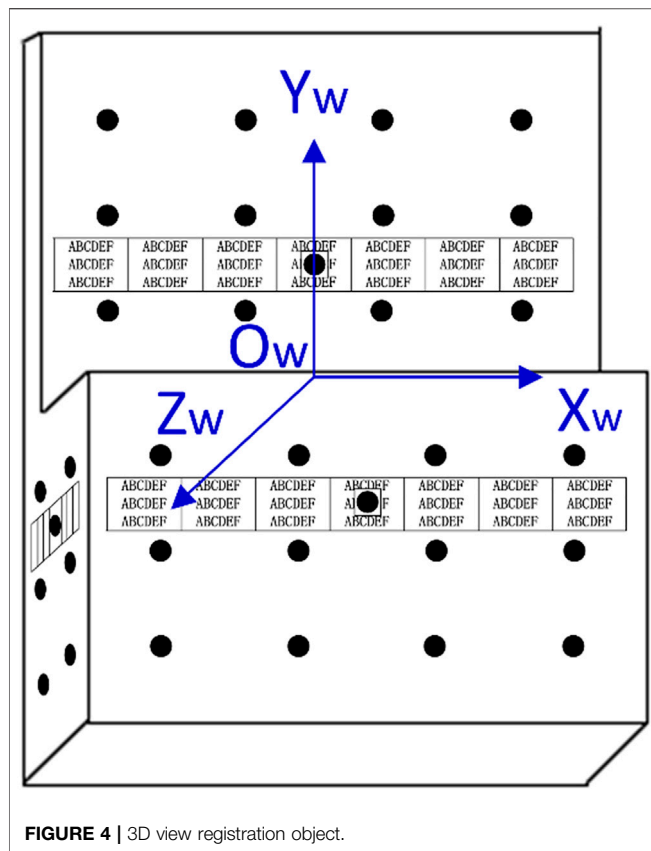
Recently, taking into account the complexity of installing and operating multidirectional FCT system, as shown in **Figures 3B,C**, Xu et al. designed a cage-typed light-field camera (LFC)

system and conducted a series of studies on 3D reconstruction of flame temperature distribution under different combustion operating conditions, including the improvement of spatial resolution as well as the reduction of sampling redundancy (Liu et al., 2017a; Zhao et al., 2018; Liu et al., 2021a; Qi et al., 2021a). Likewise, a light-field camera was used in H. Qi's study. Based on their light-field convolution imaging model, multidimensional radiation information of the flame was collected by LFC, and 3D temperature distribution was further retrieved (Qi et al., 2021b; Niu et al., 2021; Shi et al., 2022).

Calibration of Multi-Camera

The measurement of the spatial positions of multiple acquirement directions and the internal parameters of camera play a critical role in FCT research. View registration is able to unify the placement and orientation of each camera into the same world coordinate system, which have a significant effect on following reconstruction quality.

The spatial location of multiple cameras was generally roughly determined by a very simple angle determination device in previous research. An illuminated alignment tool was utilized in T. D. Upton's study to determine the angular viewing directions, image orientation, and image magnification of a 12



view FCT system. The numbers near the bottom of the tool was separated azimuthally by $\pi/12$ radians to indicate the orientation of different projections (Upton et al., 2011). Similarly, J. Floyd et al. employed a cylindrical calibration object to locate the view angles by the scale affixed around the circumference (Moinul Hossain et al., 2012). Additionally, the rectangular object in target contributed to locate the object domain center in each perspective. However, the aforementioned calibration methods can only roughly determine the spatial location of the camera. For the sake of improving the spatial resolution and reconstruction precision of FCT technology, there is an urgent need to develop a more flexible and accurate multi-camera calibration technology.

In the field of computer vision, a large number of studies have been reported on the camera calibration of internal and external parameters (Remondino and Fraser, 2006; Zhang, 2000; Zhang, 1999; Hwang et al., 2013; Huang et al., 2019a). Generally, the commonly used camera calibration method is mainly based on the pinhole camera model, and the spatial position as well as internal parameters of the camera is determined by 2D checkerboard. First, the world coordinate system is established. As a consequence, the position of the corner points of checkerboard in the world coordinate system can be determined. Next, the images of checkerboard are captured *via* camera from various views. On the basis of the image processing algorithm, the identification and location confirmation of the corner points in the camera image coordinate system are completed. Finally, the internal and external parameters of the

camera are derived from the world coordinates and image coordinates of the corner points. Worth and Dawson (2012) utilized a custom-made calibration plate to accomplish the view registration in OH* chemiluminescence measurement of two interacting turbulent flames. Plate images were recorded at translation positions corresponding to the measurement volume. World and image coordinates for each camera were established and related *via* a third-order polynomial calibration function. L. Ma et al. adapted 2D check board and an open source camera calibration tool of MATLAB to complete the view registration of the multiple fiber-based endoscopes tomography system (Worth and Dawson, 2012; Kang et al., 2014). Likewise, in order to figure out the geometrical relationship between the reconstruction domain and flame projections, 2D check board was applied in W. Cai's research and placed in the burner's position to conduct the view registration process (Liu et al., 2019a; Yu et al., 2017). Furthermore, J. Wang et al. proposed a 3D pattern with dot arrays for the camera calibration of the multidirectional FCT system. As illustrated in **Figure 4**, three specified points on the surface were used to indicate the focus level of cameras (Wang et al., 2015; Wang et al., 2016a). Nonetheless, the accuracy of this approach is limited by the manufacturing precision of calibration object. Recently, without the special calibration object, Cai et al. (2020) developed a convenient multi-view registration method that capitalized on a synergistic combination of rotating calibration plate and multi-view stereo vision.

Additionally, a number of combustion phenomena of practical environment happen in a confined space, which means the light refractions fostered by the imaging through optical walls will seriously influence the imaging process, and further result in the degradation of calibration precision. As a consequence, the developed view registration method based on an open space will be not applicable for the confined-space problems. In view of this question, Falkhytten (2018) presented a polynomial camera calibration model to address view registration in the FCT system for annular combustion chambers. However, the polynomial calibration model does not indicate a clear physical meaning. Meanwhile, higher-order polynomial functions are often required to ensure higher calibration accuracy, which inevitably introduces a large number of fitting parameters and computational consumption in the computational model. On the basis of the calibration model that takes into account the refraction effect of cylindrical glass (Paolillo and Astarita, 2019), H. Liu et al. proposed a refined model combined with the pinhole camera model with Snell's laws in a swirl flame measurement confined within a 20 mm thick glass. In their strategy, the reverse ray-tracing approach was utilized to incorporate the effects of light refraction (Liu et al., 2019c). As indicated in **Figure 5**, inspired by the study of Liu, a new algorithm was built by Ling et al. (2020) to figure out the ray tracing of the reconstructed domain through the optical cylinder to overcome the time consumption of multiple iterations.

Projection Model of FCT

In order to describe the relationship between the reconstructed domain and the corresponding image, a large and growing body

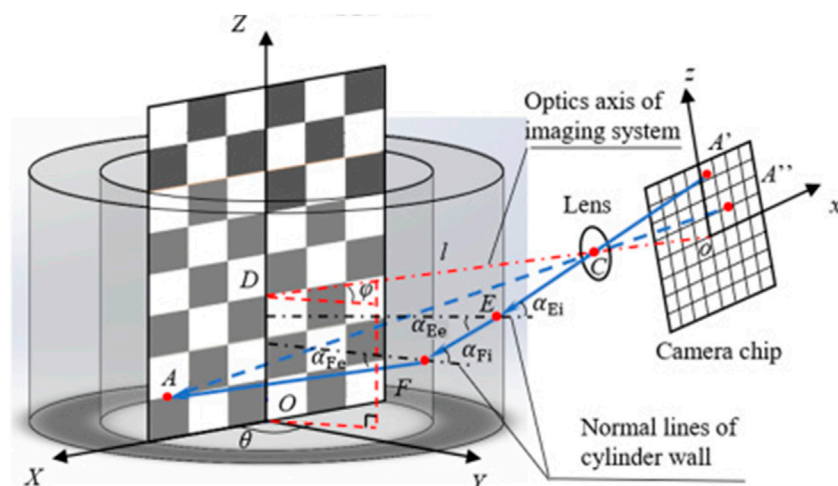


FIGURE 5 | Reversed ray-tracing model with the optical cylinder. Adapted with permission from Ling et al. (2020) © The Optical Society.

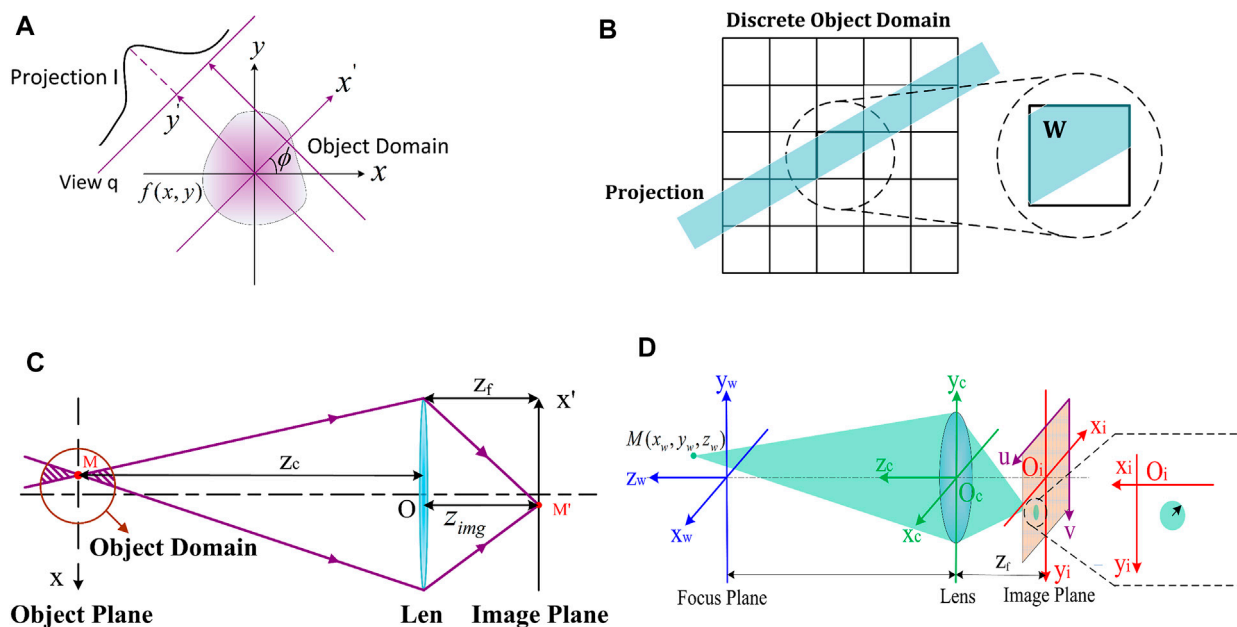


FIGURE 6 | (A) Schematic of projection based on line integrals. (B) Example of the calculation of weight factor by the exact intersection area. (C) Diagram of the conic projection model. (D) Light propagation of a single voxel to the image plane.

of literature has investigated the establishment of the projection model of FCT. Generally, the reconstructed domain is discretized into several voxels with equal size, and different projection models depict various calculation methods of the weight factor, which refer to the contribution by each voxel to the pixels of the image plane.

To date, the parallel projection model is one of methods adopted in FCT research. Based on the parallel projection model, the light intensity value of pixels on the camera image plane can be considered as a linear integration of the light

intensity along the parallel projection direction, as shown in **Figure 6A** (Floyd, 2009). In this context, the weight factor represents the intersection length of the light line and the pixel. However, considering the discrete measurement of each perspective has an associated bin width, and strip integrals are a more appropriate way to calculate the weight factor. Taking into account the limitation of computing resources, the original calculation of the weight factor based on strip integration adopted a binary approximation tactic, which means when the center of a pixel was within the boundary of the strip, a unity

value was given to the weight factor; otherwise, the weight factor was set as zero. However, this assumption leads to salt and pepper noise in the reconstruction result. As indicated in **Figure 6B**, with the development of computer technology as well as the increase of computing power, the calculation of the weight factor becomes available by dealing with the exact intersection area (2D) or volume (3D) of the projection beam and pixels (Floyd, 2009). In 1990, Deutsch (1990) of Placer Dome Inc. designed the FORTRAN program to achieve the calculation process. In the light of the aforementioned theory and two-color pyrometric techniques, Hossain et al. (2013) investigated the 3D temperature and emissivity distribution of the laboratory-scale gas-fired flame.

However, the most widely used projection acquisition devices in FCT are industrial cameras, and the impact of the perspective effect of camera lens on the imaging process becomes particularly important and non-negligible, especially when the depth-of-field of the camera is small or the reconstruction domain is large. In this case, the description of the imaging process based on the parallel projection model is not suitable. An alternative projection model was presented in light of a weighted double cone, derived from geometric optics to provide a complimentary modification, which is able to use non-parallel projections to account for perspective effects. **Figure 6C** briefly demonstrates the light propagation of a point source in the reconstructed domain through the lens to the image plane (Floyd, 2009). It is noticeable that the light intensity of point *p* on the image plane can be obtained by summing the intensity in two conical regions before and after point *v* on the object plane, instead of the simple approximation of the linear integration of the light intensity along the projection ray (Floyd, 2009; Anikin et al., 2010). Walsh et al. (2000) paid special attention to analyze the influence of a perspective effect of the camera lens on the reconstruction results of an axisymmetric diffusion flame measurement. However, Walsh's study predominantly focused on considering the reconstruction field with axisymmetric distribution and within the depth-of-field of the camera; as a consequence, the weight factor of all voxels located within the cone region was uniformly simplified to 1. In essence, for the voxel located outside the depth-of-field of the camera, it cannot be clearly imaged on the image plane, and in this regard, the weight factor of this voxel can no longer be treated as 1.

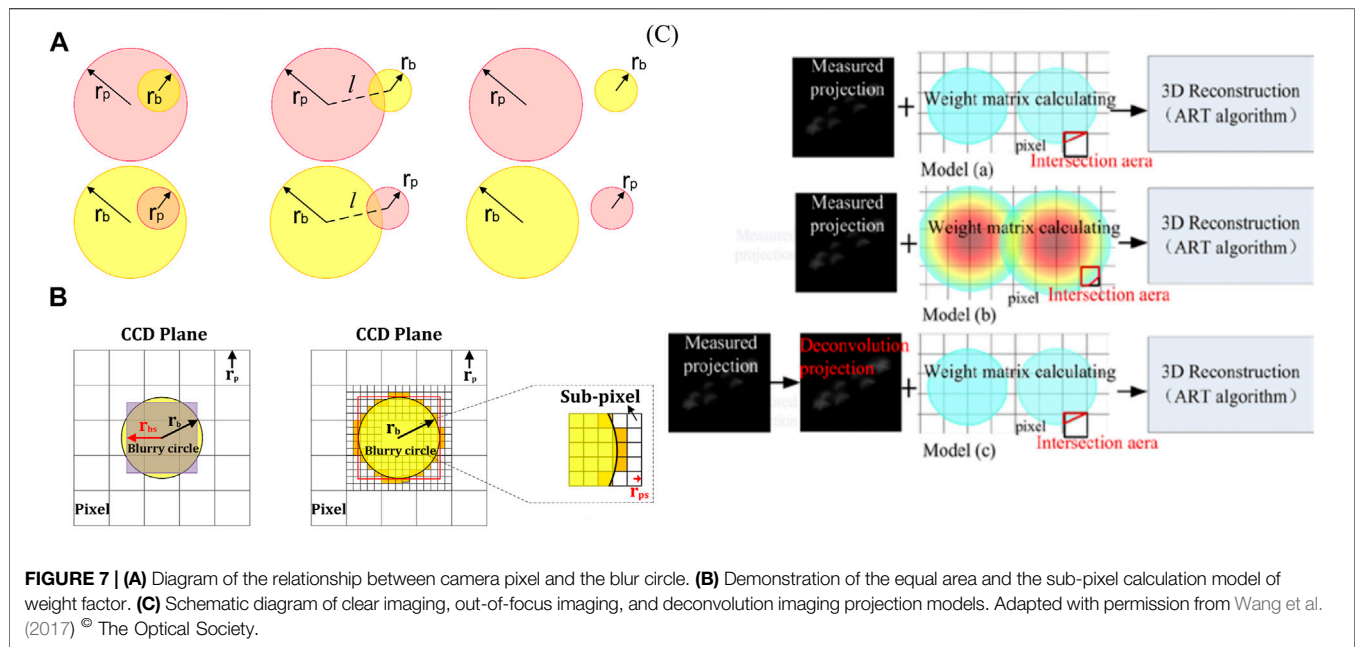
In view of the perspective imaging effect, J. Floyd et al. established a 3D projection model considering the depth-of-field effect of lens, which involved the blurring effect of the reconstructed domain outside the depth-of-field range of lens in the imaging process. The weight factor in this projection model can be obtained as follows: first, the cone area of the 3D reconstructed domain corresponding to the pixel on the image plane is determined according to the ray-tracing method; secondly, the position on the image plane of each spatially discrete voxel within the cone area is analyzed; and finally, the weight factor of a voxel to the pixel on image plane is procured *via* estimating the intersection area of the imaging blur circle with the pixel. Their model was validated in the measurements of a methane–oxygen matrix burner as well as a turbulent opposed jet flame. Furthermore, the instantaneous flame-surface density, wrinkling factor, flame normal direction, and heat release were

figured out (Floyd et al., 2009; Floyd and Kempf, 2011; Floyd et al., 2011, 2011). As illustrated in **Figure 6D**, on the basis of Floyd's study, Wang et al. (2015) developed a 3D projection model including light collection effect of lens. Additionally, the relationship between the camera pixel and the blur circle was analyzed in detail to provide a more complete calculation of the weight factor, as can be seen in **Figure 7A**. However, it is inevitable that some image spots appear within a pixel while some out of the corresponding circle in the practical imaging process. As a result, the ignored weight factors in such circumstances always cause information missing, which influence the quality of further reconstructions. For the sake of overcoming the drawback of the simplified calculation model, Jin et al. (2016a) presented the equal area and the sub-pixel calculation model of weight factor, respectively, as demonstrated in **Figure 7B**. Moreover, K. Wang et al. further investigated the performance of clear-imaging, out-of-focus imaging, and deconvolution models, as indicated in **Figure 7C**. Considering the bokeh effect, the deconvolution model provided the best precision with low computational time (Wang et al., 2017). However, the aforementioned models are on the basis of the uniform distribution of voxel, which further leads to the large gradient between neighboring voxels and certain discretization errors. In light of the finite element and interpolation operation, Liu et al. (2021c) estimated the distribution within each voxel to defeat uniform voxel problem.

Likewise, the point spread function (PSF) was utilized in W. Cai's study to represent the projection formed by a point-source located at the reconstruction domain, which was only related to the location angle and position of the acquisition device rather than the intensity distribution of the reconstruction domain. Meanwhile, the projections that the camera acquired in the image plane were composed of the summation of all voxels in the reconstruction domain with the interplay of respective PSF (Cai et al., 2013b). A large number of statistical calculations were carried out that capitalized on the Monte Carlo statistical method, and finally the weight factor of one voxel was figured out (Cai et al., 2013a; Li and Ma, 2014). However, the tremendous demand of computing power and relatively low computational efficiency inevitably become the drawback of the Monte Carlo statistical method. In contrast to Cai's method, M. Wan et al. applied the low-discrepancy sequence of the Monte Carlo method instead of the pseudorandom sequence to achieve the Meker burner flame measurement with higher reconstruction accuracy (Wan et al., 2015). Furthermore, by combining the ray-tracing technique and the Monte Carlo method, Wan and Zhuang (2018) took the inhomogeneous distribution of captured radiance on the image plane into account and analyzed the performance numerically.

RECONSTRUCTION ALGORITHM

Apart from the projections acquirement and imaging model establishment, another research focus is the reconstruction algorithm of FCT. As a branch of optical tomography, the reconstruction algorithm of FCT is also derived from the



algorithm study of X-ray CT (Beister et al., 2012). On the other hand, due to the different experimental conditions of practical combustion diagnostics and limitation of optical access, the reconstruction of FCT belongs to incomplete data reconstruction problem. Numerous scholars have conducted extensive research in reconstruction algorithm of FCT. This section reviews the mainly employed reconstruction algorithm: analytical and iterative types. Finally, the emerging artificial intelligence-based reconstruction algorithm of FCT is depicted as well.

Analytical Reconstruction Algorithm

Analytical reconstruction algorithm is separated into Abel Inverse Transform, Radon Inverse Transform and Filtered Back Projection (FBP) method. Abel Inverse Transform is commonly utilized to tackle axisymmetric reconstruction fields. For instance, Y. K. Jeong et al. investigated the combustion structure of axisymmetric methane flame relied on Abel Inverse Transform (Jeong et al., 2006). The traditional 2D Radon Inverse Transform is usually divided into three steps: partial differentiation, Hilbert Transform and inverse projection. On the basis of Radon Inverse Transform, S. Cha and C. M. Vest reconstructed the asymmetric refractive index fields (Vest, 1974; Cha and Vest, 1979). Meanwhile, it was proven that the reconstruction process of Abel Inverse Transform and Radon Inverse Transform are equivalent on the condition of axisymmetric field.

In contrast to the Radon Inverse Transform, the FBP method is more representative of analytical reconstruction algorithm. The FBP method introduces Fourier Transform in Radon Inverse Transform to reduce this blurring in the reconstruction by filtering each perspective before the back projection step. Eq. 1 describes how a point (x, y) in the back projection reconstruction $f_a(x, y)$ is given by the

accumulation of the integral values of projections I that intersect with that point. This accumulation is performed over all view angle θ . Z is the propagation length of light. \hat{I} is the filtered version of I . The FBP method was utilized by R. N. Bracewell and A. C. Riddle in the study of radio astronomy (Bracewell and Riddle, 1967). Moreover, G. N. Ramachandran et al. applied the FBP method for medical X-ray CT issue (Ramachandran and Lakshminarayanan, 1971; Shepp and Logan, 1974). G. W. Fairs et al. employed the FBP method for deflection tomography successfully (Faris and Byer, 1988). Furthermore, the application of the FBP reconstruction method in moiré deflection tomography has been investigated in detail by Song et al. (2016).

$$f_a(x, y) = \int_0^\pi \hat{I}(Z, \theta) d\theta. \quad (1)$$

The analytical reconstruction algorithm generally requires numerous low noise views for successful reconstruction. In consequence, it is utilized extensively in applications where static subjects and good access are available, which means many measurements can be taken and is generally less favored in the incomplete data reconstruction problem. In contrast, the iterative class reconstruction algorithm is more robust to the effect of noise in the reconstructed data and is therefore more favored by scholars in the field of CT reconstruction. In contrast, the iterative reconstruction algorithm is more robust to the effect of noise in data and is therefore more commonly utilized in FCT reconstruction.

Iterative Reconstruction Algorithm

The fundamental of iterative reconstruction algorithm is discrete reconstruction domain F as well as the projection data I . According to the weight matrix W , the connection between the reconstruction domain and projection data could

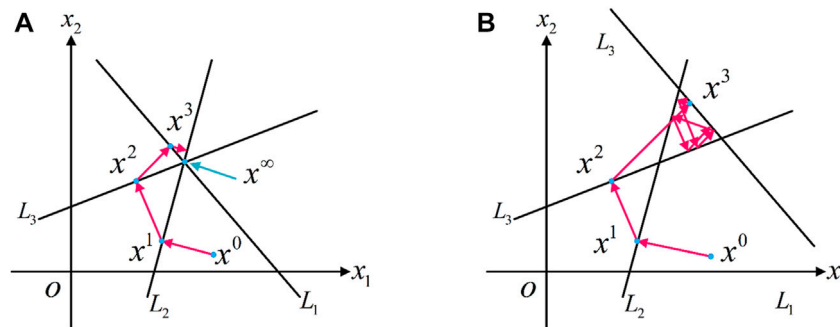


FIGURE 8 | (A) Diagram of compatible equations. **(B)** Diagram of incompatible equations.

be established in Eq. 2. F is divided into N discrete voxels in the reconstruction domain. The voxel indices are represented by the single index i , j is defined as the index of projection direction of q views and p pixels of each view. I_j is regarded as the projection of the direction j , and f_i refers to the intensity of the voxel i . Weight factor w_{ij} can be considered as the contribution coefficient of the voxel i to the direction j (Jin et al., 2016b), as follows:

$$I_j = \sum_{i=1}^{N_i} w_{ij} f_i \quad (2)$$

Equation 2 can recast the reconstruction problem as a system of linear equations as indicated in the matrix form in Eq. 3. It is worth noting that the weight matrix W has as many rows as the projection number and as many columns as the reconstructed voxel numbers.

$$I = WF \quad (3)$$

In accordance with the solution method of the system of equations, iterative reconstruction algorithms are divided into direct algebraic and algebraic iterative methods. Meanwhile, the direct algebraic methods consist of inverse and decomposition methods. The inverse method refers to reconstructing the field by computing the inverse of the weight matrix (Hartley, 1994), while the decomposition method decomposes the weight matrix into several specific matrices and recovers the reconstruction field in view of the properties of these specific matrices, such as singular value decomposition (SVD) (Selivanov and Lecomte, 2001) and QR decomposition (Iborra et al., 2015). Considering that the dimension of the weight matrix W is determined by both the number of voxels in the reconstruction domain and the number of projection data, which is too large to apply direct algebraic methods, it is more practical to use an algebraic iterative method to find an approximate solution for system of equations.

The most widely used iterative algorithm is called the algebraic reconstruction technique (ART). The original ART algorithms were proposed by Gordon and Herman (1971), and it was found to be a special case of the well-known Kaczmarz algorithm of integral equation (Guenther et al., 1974). In concept of ART, I_j is treated as a hyperplane in the solution space of the system of

equations. Therefore, the number of projections determines the number of hyperplanes. If the system of linear equations is noiseless and compatible, the unique solution could be accessed when all hyperplanes intersect at a point. As seen in Figure 8A, the three projection rays, L_1 , L_2 , and L_3 , represent three equations. The intersection point of three rays is the solution of the system of equations. An arbitrary initial value x^0 is given and projected onto L_1 in the light of Eq. 2 to obtain an update value x^1 in the iteration process. Next, x^1 is projected onto L_2 in the same way and the updated value x^2 is determined. Eventually, the iterative process converges to the solution of the system of equations. However, the noise influence is inevitable in a practical experiment, as a consequence the system of equations is incompatible and the solution of equations cannot converge to a point. As illustrated in Figure 8B, the iteration process of incompatible equations converges to a small region of solution space. In this case, iteration continues until some convergence criteria is achieved.

Figure 9 indicates the working principle of the ART algorithm on a simple 2×2 tomographic problem with four perspectives. FCT problem is demonstrated in upper left panel, where the numbers represent the measured projections and the values of object domain are calculated according to the measured projections. In step 1, the initialization of object domain is completed and the values are designed as 0; next, the differences between the initial and measured projections are defined as e and calculated for each view; in step 3, e is distributed evenly along the perspective to update the value of object domain; the calculation and distribution of e are repeated in step 4 and step 5 until the termination criterion is met. Generally, the update of object domain can stop when the difference e is smaller than the designed error, while the ART algorithm can be considered as convergence.

The iteration process of ART is shown in Eq. 4. $f^{(0)}$ represents the initial value. Projection error is defined as the difference between the measured projection I_j and equivalent projection estimated by f of current iteration. This error is following normalized and back projected into the reconstruction domain via w_{ij} , and then the next projection is addressed. The next iteration begins once all projections have been considered. In general, the higher accuracy is available with more iterations.

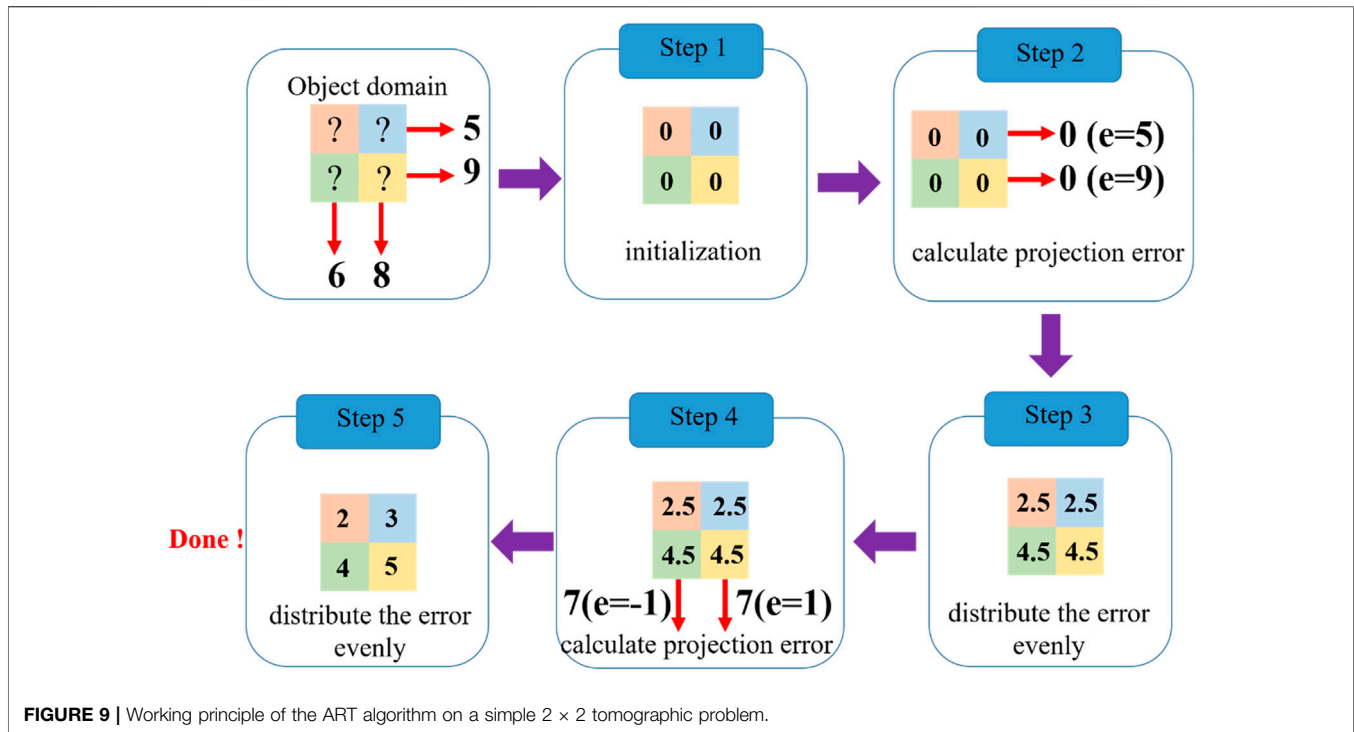


FIGURE 9 | Working principle of the ART algorithm on a simple 2 × 2 tomographic problem.

$$\begin{cases} f^{(0,0)} = f^{(0)} \\ f_i^{(k,j+1)} = f_i^{(k,j)} + \varepsilon \frac{I_j - \sum_i w_{j,i} f_i^{(k,j)}}{\sum_i (w_{j,i})^2} w_{j,i} \\ f^{(k+1,0)} = f^{(k,p \times q)} \end{cases} \quad (4)$$

where ε refers to the laxation factor. The choice of relaxation factor affects the convergence speed and iteration accuracy during the iterative process. The small relaxation factor leads to a low convergence speed with high iteration accuracy; on the contrary, the relaxation factor is large and its convergence speed is fast, but the iteration accuracy is low. Generally, $\varepsilon \in (0,2)$ (Herman et al., 1978).

Furthermore, the pseudo-code for the ART algorithm is provided to illustrate the work process.

Initialization: $k = 0, \varepsilon = 0.6, f^{(0)} = 0$

Repeat:

$k = k + 1$

for $j = 1$ to $p \times q$ do
for $i = 1$ to N do

$$f_i^{(k,j+1)} = f_i^{(k,j)} + \varepsilon \frac{I_j - \sum_i w_{j,i} f_i^{(k,j)}}{\sum_i (w_{j,i})^2} w_{j,i}$$

end for

end for

until the termination criterion is met

Stop

As a variation of ART, multiplicative algebraic reconstruction technique (MART) not only changes the correction method of the initial value, which means the iterative results can be updated

multiplicatively during the iteration process, but also maximizes the entropy of reconstruction domain. It is worth noting that the entropy mentioned here is in the context of information theory not thermodynamic entropy (Floyd, 2009). MART shows better performance on improving iteration speed. Especially for reconstruction fields with high gradient, MART is capable of giving superior reconstruction quality (Verhoeven, 1993). Eq. 5 shows the expression of MART given by Verhoeven (1993), as follows:

$$\begin{cases} f^{(0,0)} = f^{(0)} \\ f_i^{(k,j+1)} = f_i^{(k,j)} \times \left(1 - \frac{\varepsilon w_{j,i}}{\sum_i (w_{j,i})^2} \left(1 - \frac{I_j}{\sum_i w_{j,i} f_i^{(k,j)}} \right) \right) \\ f^{(k+1,0)} = f^{(k,p \times q)} \end{cases} \quad (5)$$

It is worth mentioning that the multiplicative correction of MART causes the values of the voxels with zero in the reconstructed domain to remain unchanged. Therefore, the widely used initial estimate of zero in ART is not appropriate and the mean value of projections is suggested to be more suitable for MART (Floyd, 2009).

Additionally, another kind of ART algorithm called simultaneous algebraic reconstruction technique (SART) is proposed by A. H. Aderson. Compared with standard ART algorithm, SART tackles all the error from a single projection simultaneously, instead of view-by-view. This operation averagely reduces the error oscillation when two adjacent projections consecutive correct the same pixel and shows better noise immunity (Andersen and Kak, 1984). As seen in

Eq. 6, SART can be achieved by correcting standard ART of one view q , as follows:

$$f_i^{(k+1)} = f_i^{(k)} + \frac{1}{\sum_i^N w_{j,i}} \sum_j^p w_{j,i} \frac{I_j - w_{j,i} f_i^{(k)}}{\sum_i^N w_{j,i}}. \quad (6)$$

The ART algorithm has been extensively used in reconstruction problem of FCT. Numerous scholars (L. Ma, Y. Ishino, G. Lu, M. M. Hossain, W. Cai, to name a few) have conducted comprehensive research in the feasibility and reliability of ART via numerical simulation as well as practical experiments (Wang et al., 2016b; Lei, 2016; Ma et al., 2016). For instance, T. Yu investigated multiple kinds of series expansion reconstruction algorithm on the basis of previous study of ART (Liu et al., 2017b). S. M. Wiseman and J. Zhuan employed MART as well as SART separately to inspect the 3D combustion structure (Wiseman et al., 2017; Wan and Zhuang, 2018).

Although the ART algorithm has become the conventional method for FCT reconstruction, but the limited optical accessibility of practical applications results in the inversion problem of FCT is ill-posed mathematically. As a consequence, the inevitable line artifacts introduced by ART will certainly affect the further reconstruction quality. It is worth mention that novel reconstruction algorithms have been developed in terms of the utilization of the additional information on the reconstruction field, which can be treated as the a priori information incorporating into the iteration algorithm to mitigate the ill-posed problem (Ma and Cai, 2008). For instance, profited by Tikhonov's regularization, Zhou et al. measured the 3D temperature distribution of a large-scale furnace numerically and experimentally (Zhou et al., 2005). Similarly, Daun et al. applied Tikhonov's regularization to reconstruct the axisymmetric flame properties (Daun et al., 2006). Häber et al. retrieved the tomographic multispecies visualization of laminar and turbulent methane/air diffusion flames based on a kind of variation Tikhonov's regularization (Häber et al., 2020a; Häber et al., 2020b). As another type of priori information of temperature field, Total Variance (TV) indicates the sparseness of field (Cai et al., 2013b; Yu and Cai, 2017; Dai et al., 2018). Compared with Tikhonov's regularization, TV regularization shows better performance in preserving sharp discontinuities between distinct regions of reconstruction domain, which is capable for providing representative features of combustion such as the flame front (Rudin et al., 1992; Strong and Chan, 2003). TV regularization was utilized for practical FCT applications and its ability of significantly reducing the typical line artifacts was proved numerically and experimentally (Jin et al., 2021). Furthermore, the regularization minimizing p-norm ($0 < p < 1$) was applied to enhance the spatial resolution in photoacoustic (PA) tomography (Okawa et al., 2020). A weighted Schatten p-norm minimization was proposed by Xu et al. for reconstruction issue of sparse-view cone beam computed tomography (CBCT) (Xu et al., 2020a). A compression sensing-based algorithm was employed by G. -J. Yoon et al. to achieve simplification of amount of data in reconstruction process. On the condition of limit-view, they proved the feasibility of this method experimentally (Yoon et al., 2019).

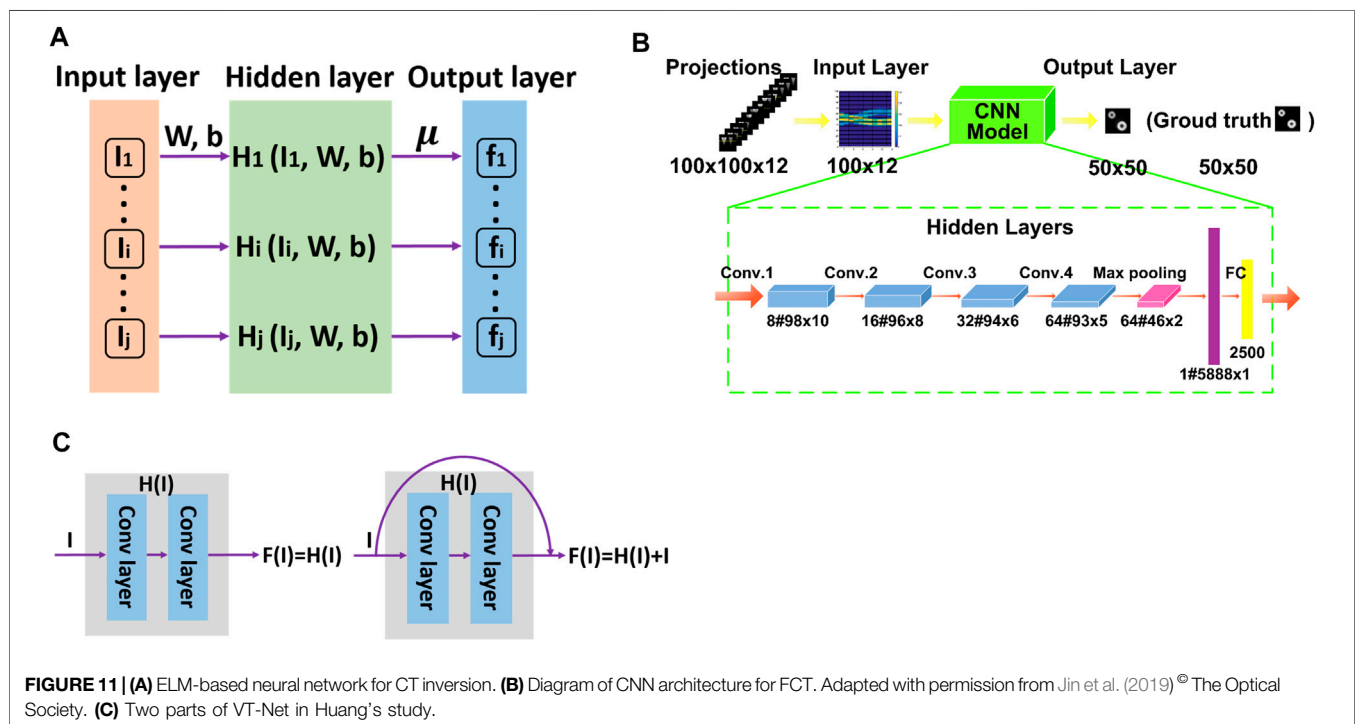
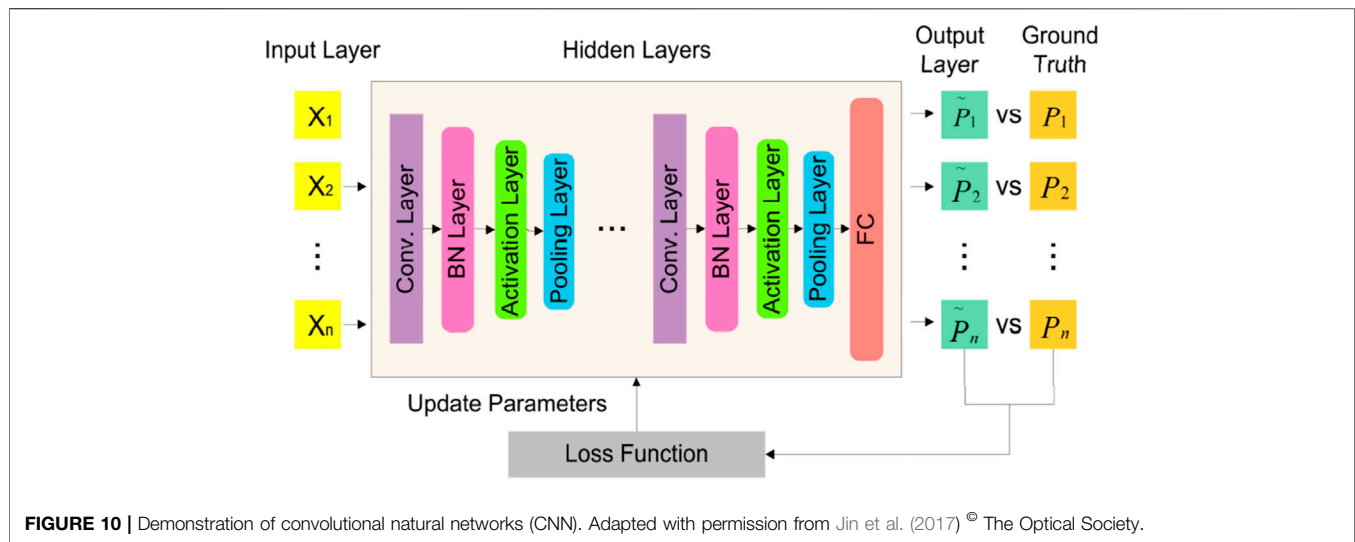
Analogous method was mentioned and validated in single-pixel laminar flames reconstruction (Zhang et al., 2019). Moreover, Bayesian optimization is also proficient in integrating the measurement data with prior information by a statistically robust method (Jin et al., 2021). In light of Bayesian framework, S. Grauer et al. recovered the instantaneous refractive index distribution of a turbulent flame (Grauer et al., 2018).

In addition, A. Unterberger et al. developed an evolutionary reconstruction technique (ERT), which integrated a genetic algorithm (GA) with a ray-tracing software. Evaluations were carried on the reconstruction results of three different kinds of flames, and the reconstruction ability of ERT was proved to be consonant with ART (Unterberger et al., 2019). Besides, several types of reconstruction algorithm have also been reported in CT problem, for instance, Landweber algorithm (Rossberg and Funke, 2010), maximum entropy algorithm (Denisova, 2004), maximum likelihood estimation algorithm (Busa et al., 2014) and maximum expectation algorithm (Dey and King, 2009), to name a few. Meanwhile, some research focused on the comparisons of various algorithms have been reported, such as (Yu and Cai, 2017) and (Shui et al., 2021).

Although iterative reconstruction algorithms (such as ART) are extensively used in FCT problem, it is still important to note that the major drawback of iteration algorithm is semi-convergence, which means the true solution may be figured out at an early stage while diverges away as the iteration steps increase. As a consequence, the iteration number and termination criterion play essential part in FCT. However, the iteration number as well as termination criterion of iterative reconstruction algorithms are usually determined by experience, which will influence the accuracy of reconstruction results evidently. Moreover, on account of the time consuming and high data throughput of conventional iteration methods, it causes the FCT technique hard to be utilized for online combustion monitor and diagnostics in practical environment. As a result, the 3D reconstructions in FCT are generally conducted off-line.

Artificial Intelligence-Based Reconstruction Algorithm

In the last few years, with the rapid development of the artificial intelligence-based technique, deep learning method has aroused extensive attention in academia and industry, which leads to excellent performance on sorts of problems, such as image classification (Colburn et al., 2019), object detection (Ren et al., 2017), face recognition (Yu and Tao, 2019) and natural language processing (Agrawal et al., 2019), to name a few. Especially in the field of computational optical imaging, the artificial intelligence-based technique has been effectively applied in ghost imaging (Lyu et al., 2017), digital holography (Ren et al., 2018; Wang et al., 2018) and phase retrieve (Wu et al., 2018). Meanwhile, a variety of neural network frameworks have developed, for example, LeNet-5 (LeCun et al., 1998), AlexNet (Krizhevsky et al., 2017), VGG (Simonyan and Zisserman, 2014) and GoogLeNet (Szegedy et al., 2015). In addition, deep learning methods are induced to handle CT reconstruction in medical field



(Wang, 2016). A new noise reduction method and residual encoder-decoder convolutional neural network were presented by Wang et al. to tackle low-dose CT imaging problem with high computed speed (Chen et al., 2017). Besides, DD-Net (Zhang et al., 2018), Generative Adversarial Network (GAN) (Yang et al., 2018), wavelet-based network (Kang et al., 2018, 2018) and 3D U-Net (Kim et al., 2020) were proved to realize noise immunity and edge enhancement in medical reconstruction problems.

The superiority of artificial intelligence-based algorithm in medical CT problem has attracted the attention of scholars related to FCT field, which make it becomes a promising

tactic to deal with the time consuming limitation of prevailing iteration method. Artificial intelligence-based reconstruction method can be considered as a “black-box”. Taking one of the popular deep neural networks as example, the architecture of convolutional neural network (CNN) usually contains an input layer, multiple hidden layers as well as an output layer. As illustrated in **Figure 10** the hidden layer generally includes the convolutional layer, batch normalization (BN) layer, activation layer, pooling layer and fully connected layer. The FCT reconstruction procedures *via* artificial intelligence-based tactic are composed of training stage and testing stage. In training stage,

the projections as well as the distributions of reconstruction field which refer to the corresponding ground-truth constitute the data pairs and are fed into CNN model together. The training stage contains forward propagation process and back propagation process. During the forward propagation process, the salient features of input projections are extracted by convolution operations as well as down sampled via pooling operations to generate the feature column vector, which is multiplied by the coefficient and added with the biases to obtain the output results. The error between the output and ground-truth are calculated by loss function during back propagation process. Based on the gradient descent method, the parameters of neural network are adjusted gradually. Once the training stage is completed, the projections of test data are feeding into the system and the reconstruction results can be predicted rapidly.

As depicted in **Figure 11A**, the extreme learning machine (ELM) is adopted by T. Yu et al. to extract useful information from the previous reconstructions of 3,600 training samples. 50 samples were generated as the test data to validate the feasibility and high computing speed of ELM-based reconstruction algorithm via phantom study (Yu et al., 2018c). For the sake of investigating the performance of deep learning method in practical flame measurement, a rapid FCT reconstruction system based on convolutional neural networks (CNN) model was established in Y. Jin et al. shown in **Figure 11B**. The reconstruction capability of the proposed model was qualitatively and quantitatively verified by numerical simulation as well as experimental measurement with various field distributions. Additionally, the determination of the architecture of CNN framework was analyzed in detail. Compared with ART, MART, and TV method, their CNN model provides prominent advantage in term of computational efficiency (Jin et al., 2019). It is worth mentioning that the output data as well as input data are the horizontal slice of the 3D field and the corresponding projections, which mean the 3D reconstruction result were derived from the overlay method. Afterward, as illustrated in **Figure 11C**, J. Huang et al. investigated the capability of two kinds of CNN framework with distinct connections between layers for volumetric tomography of turbulent flames. The proof-of-concept and a series of comparative experiments were conducted to prove the noise immunity of proposed method with different classes of noise (Huang et al., 2020). Compared to the reconstruction network in (Jin et al., 2019), the CNN framework of Huang's research achieve the 3D reconstruction of FCT directly, which further decrease the time consuming of recovering.

Furthermore, in order to handle sparse view tomography problem, a 4D tomographic reconstruction framework, called TomoFluid, was presented by G. Zhang. A morphing-based view interpolation method of projection was considered as a novel regularization to equalize the missing projections neighboring perspectives. Meanwhile, the re-projection consistency constraint was employed as the optimization term to improve reconstruction quality (Zang et al., 2020). Although the practicability of TomoFluid was validated in their study, a thorough physical interpretation of the proposed view interpolation method still needs to investigate in further. Deep

learning strategy has been proved to improve reconstruction spatial resolution in FCT. In general, it is a contradictory demand of the size of the reconstruction domain and spatial resolution. W. Xu reported a data-driven 3D super-resolution approach in light of the GAN framework and the architecture of 3D-SR-GAN, which is composed of a generator and a discriminator network to figure out the topographic information. Based on the given low-resolution counterpart, the high-resolution 3D structure of turbulent flame can be recovered by two times via proposed method (Xu et al., 2020b). Deep learning-based algorithm can be adopted not only to handle 3D reconstruction, but also to enable monitoring and prediction the combustion states. A combination of convolutional auto-encoder, principal component analysis, and the hidden Markov model was developed to generate an unsupervised classification framework by T. Qiu. By means of the projections collected from the furnace flame, the identification of combustion condition changing as the coal feed rate falls was achieved via their model (Qiu et al., 2019). J. Huang developed a hybrid CNN-long short-term memory (LSTM) network, which combined the FCT technique with DL algorithms, to predict the evolution of 3D flame structures on the basis of its history 2D projections via the data-driven approach. The CNN part was trained to extract flame features from projections, while the LSTM part was trained to model the temporal sequence in view of features (Huang et al., 2019b). The successful application of CNN-LSTM model is in light of the similarity of the training dataset and the testing dataset. As a consequence, the enhancement of generalization of the proposed model will be a tough challenge.

Artificial intelligence-based reconstruction algorithm has become another kind of critical algorithms of FCT. Although it shows significant superiority in terms of computational efficiency, the physical explanation of how deep learning methods work is still being explored. For the commonly used a data-driven approach of deep learning methods, a large number of pre-acquired data pairs are not only directly related to the quality of the reconstruction results, but also affects the generalization ability of reconstruction algorithm. As a result, it is not an appropriate tactic for practical reconstruction situation without sufficient ground-truth (e.g., real distribution of practical flame). Furthermore, the increase in reconstruction scene complexity as well as the number of data samples acquired makes deep learning methods suffer from the bottleneck of computing power.

SUMMARY AND OUTLOOK

To summarize, this study has reviewed the application demonstrations of FCT for practical 3D tomography experiments, and the definition, imaging acquirement and calibration, projection models, and reconstruction algorithms. The tomographic chemiluminescence spectroscopy method is complementary to point or planer detection tactics and has been successfully illustrated in laboratory as well as industrial

scenarios. Recent progress is going to extend the applicability of FCT to more complicated combustion environment, such as deflagration phenomenon monitoring, and, consequently, there still remain several issues to be addressed. One of the toughest challenge is that due to the presence of large amounts of combustion particle (e.g., soot), the frequently employed linear imaging models that ignore the effects of scattering and absorption of particle would be not appropriate to indicate the imaging process of FCT. As a consequence, a non-linear imaging model considers the scattering, and absorption is required for accurate 3D reconstruction in practical combustion diagnostics. Meanwhile, the severe vibration of combustion phenomenon becomes a risk to the stability of the system and the accuracy of the camera calibration. Additionally, although artificial intelligence-based reconstruction algorithm shows significant superiority in terms of computational efficiency, its data-driven method cannot be ideally adopted to practical situation without sufficient ground-truth (e.g. real distribution of practical flame), further resulting in limited generalization ability. A more systematic and theoretical analysis is required for designing a new paradigm by combining the physical imaging model of FCT and the neural model to overcome the limitations of the sample number and generalization issue. Transfer learning would enable a potential way for combining physical priors with sample data. Furthermore, with the increasing complexity of reconstruction scene and the bottleneck of computing power, the lightweight of the reconstruction algorithm framework (e.g., the decrease of neural model parameter number) is also imminent for in-line combustion monitoring and measurements. Finally, combined with other optical detection

tactics, for instance, PIV and moiré deflection, FCT can potentially achieve simultaneous multidimensional information reconstruction of physical fields, such as spatiality, temporality, and hyper spectrum and provide the temperature, components, and velocity distribution of the combustion field. In brief, FCT technology faces challenges in building accurate imaging models, developing fast as well as lightweight reconstruction algorithms and accommodating multidimensional parameter experimental measurements. The FCT technique holds a significant promise for future; we hope that this review will serve as a reference for the development directions of FCT in the field. Last but not least, the theory of FCT is a kind of mathematical approach for 3D imaging, which can potentially be applied to other tomographic areas, such as electrical capacitance tomography, interferometric tomography, and medical tomography.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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Coherent Noise Suppression of Single-Shot Digital Holographic Phase Via an Untrained Self-Supervised Network

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In digital holography, the coherent noise affects the measurement accuracy and reliability greatly due to the high spatial and temporal coherence of the laser. Especially, compared with the speckle noise of intensity in digital holography, the coherent noise of phase contains more medium- and low-frequency characteristics, which hinders the effectiveness of noise suppression algorithms. Here, we propose a single-shot untrained self-supervised network (SUSNet) for the coherent noise suppression of phase, requiring only one noisy phase map to complete the optimization and learning. The SUSNet can smoothen and suppress the background fluctuations, parasitic fringes, and diffraction loops in a noisy phase and shows good generalization performance for samples with different shapes, sizes, and phase ranges. Compared with the traditional algorithms and the ground truth-supervised neural network (DnCNN), the SUSNet has the best noise suppression performance and background smoothing effect. As a result, the SUSNet can suppress the fluctuation range to ~20% of the original range.

Keywords: digital holography, coherent noise suppression, single-shot untrained self-supervised network, neural network, background smoothing

INTRODUCTION

Coherent noise is a vexing problem and has attracted many researchers in solving it. However, there is still no perfect solution for the coherent noise problem nowadays due to the high spatial and temporal coherence of the laser. In digital holography, coherent noise will lead to haphazardly distributed granular noise in the reproduced intensity, named as speckle noise, and the background fluctuations, parasitic fringes, and diffraction loops in the reconstructed phase, which we simply refer to as the coherent noise of phase. If the random fluctuation in intensity or phase is generated due to the object itself, it does not change over time. At this point, the fluctuation actually contains detailed object information. However, if the fluctuation is generated due to other factors within the system, it needs to be suppressed as much as possible to reduce the effect on observation and measurement. For speckle noise suppression, there are some methods, such as the averaging ideas with multiwavelength (Nomura et al., 2008), multi-polarization (Xiao et al., 2011), multi-angle illumination (Kang et al., 2007; Feng et al., 2009), slight displacements (Pan et al., 2011), and numerical multi-look (Bianco et al., 2013), and the decoherence idea with the replaced laser source (Kemper et al., 2008; Remmersmann et al., 2009; Langehanenberg et al., 2010). Nevertheless, the averaging method

always requires capturing a large number of holograms for suppression, while the decoherence method requires replacing the light emitting diode (LED) as the laser source which will limit the interference range of measurement, although those methods may be useful in suppressing the coherent noise of phase relatively.

In addition to optical methods, digital image processing approaches are also helpful in solving the coherent noise problem. The image processing methods mainly adopt the filtering idea, according to the theory of information optics, considering the differences between the distribution and characteristics of object and noise in the signal domain. At present, they are divided into the space-domain filtering-based (Darakis and Soraghan, 2006; Shortt et al., 2006; Uzan et al., 2013), transform-domain filtering-based (Maycock et al., 2007; Sharma et al., 2008; Choi et al., 2010), and deep learning-based methods (Zhang et al., 2017; Jeon et al., 2018; Wang et al., 2019; Montresor et al., 2020; Yin et al., 2020). Especially, the deep learning-based methods have achieved excellent performance over the traditional algorithms as soon as they appeared (Di et al., 2021). For example, Wang et al. discussed the suppression and resistance of deep neural networks to additional Gaussian and salt-and-pepper noises in phase unwrapping (Wang et al., 2019); Montresor et al. applied a residual network (DnCNN) (Zhang et al., 2017) to compare the generalization capability among three models, which were trained by the natural images with Gaussian noise, the noise-free fringe patterns with added Gaussian noise, and the phase data with realistic speckle noise, (Montresor et al., 2020); Yin et al. used the Noise2Noise strategy (Lehtinen et al., 2018) to reduce the speckle noises in computer-generated holography and digital holography without noise-free data as the ground truth (Yin et al., 2020).

Nevertheless, the low-level speckle noise has some statistical similarity with the regular additional noises, such as Gaussian noise, uniform noise, and salt-and-pepper noise, which have many high-frequency and low-correlation characteristics. Compared with these noises, the additional coherent phase noise may contain more medium- and low-frequency characteristics and some non-stationary features, such as background fluctuations, parasitic fringes, and diffraction loops. Facing these problems, it is difficult to play a good generalization with the network model only trained under high-frequency noise. Moreover, most of the existing deep learning methods are ground truth-supervised training with a large amount of data, but it is difficult and time-consuming to get the noise-free data or other noisy data additionally in practice.

Is it possible to train the network with one single image? We have tried to train a network to predict the diffraction distance from a pair of images for autofocusing (Tang et al., 2022), inspired by the deep image prior (Ulyanov et al., 2017). Facing the noise suppression requirements of the single-shot phase of digital holography, here, we propose a single-shot untrained self-supervised network (SUSNet). The SUSNet only needs a noisy phase for learning and optimization and can generalize to various samples with different noise disturbances. We describe the physical generation of coherent noise and our

denoising model in **Section 2**. Subsequently, we measure some objects through a common-path off-axis digital holographic microscope system and compare and analyze the denoising results of various samples, including different shapes, sizes, and phase ranges, by traditional algorithms, DnCNN and SUSNet in **Section 3**.

PRINCIPLE AND METHOD

Physical Generation of Coherent Noise

In the recording stage of a digital hologram, the object wavefront $O(x, y)$ and the reference wavefront $R(x, y)$ interfere on the target plane of the camera, and the intensity distribution $I(x, y)$ of the obtained hologram can be expressed as

$$I(x, y) = |O(x, y)|^2 + |R(x, y)|^2 + O(x, y)R^*(x, y) + O^*(x, y)R(x, y), \quad (1)$$

where (x, y) is the coordinates of the recording plane and the symbol $*$ describes the complex conjugation. In the reconstruction stage, the reconstructed object wavefront $U_d(\xi, \eta)$ can be expressed as follows:

$$U_d(\xi, \eta) = \frac{\exp(jkd)}{j\lambda d} \exp\left[j\frac{k}{2d}(\xi^2 + \eta^2)\right] \cdot \text{FT}\left\{R(x, y)I(x, y) \exp\left[j\frac{k}{2d}(x^2 + y^2)\right]\right\}, \quad (2)$$

where (ξ, η) is the coordinates of the object plane, d is the distance from the recording plane to the object plane, $k = 2\pi/\lambda$ is the wave number, λ is the wavelength, and $\text{FT}\{\cdot\}$ is the Fourier transform operation. Ideally, the reconstructed wavefront $U_d(\xi, \eta)$ is equivalent to the initial object wavefront $U_o(\xi, \eta)$. However, a series of sub-waves occur when the laser beam passes through (or reflects from) some uneven or non-smooth objects and will be scattered by dust particles in the air. These sub-waves have subtle optical path differences, and coherent superposition occurs between sub-waves due to the high coherence of the laser, which is eventually recorded in the hologram. The additional components result in random fluctuations in reconstructed intensity and phase, called the coherent noise. In fact, the reconstructed wavefront $U_d(\xi, \eta)$ is the product of the ideal object wavefront $U_o(\xi, \eta)$ and the random complex amplitude $U_n(\xi, \eta)$ of noise

$$U_d(\xi, \eta) = U_o(\xi, \eta) \cdot U_n(\xi, \eta) = A_o \exp(j\varphi_o) \cdot A_n \exp(j\varphi_n) = A_o A_n \exp[j(\varphi_o + \varphi_n)], \quad (3)$$

where A_o and φ_o are the amplitude and phase of the object wavefront, while A_n and φ_n are those of the noisy complex amplitude, respectively. The phase distribution of the reconstructed wavefront is obtained as

$$\varphi(\xi, \eta) = \arctan\left\{\frac{\text{Im}[U_d(\xi, \eta)]}{\text{Re}[U_d(\xi, \eta)]}\right\} [\text{mod}(2\pi)] = \varphi_o(\xi, \eta) + \varphi_n(\xi, \eta), \quad (4)$$

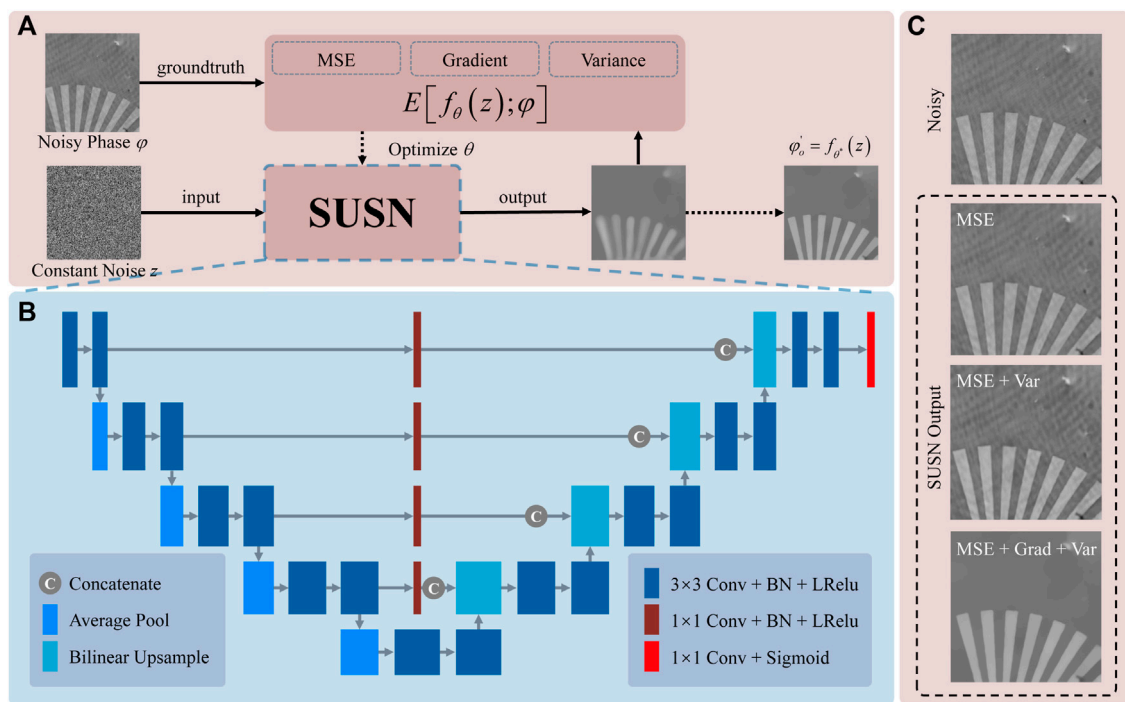


FIGURE 1 | Schematic diagram of the single-shot untrained self-supervised network (SUSNet). **(A)** Implementation procedure for learning and optimization. **(B)** Details for the U-shaped network structure. **(C)** Different outputs by the SUSNet with the same learning parameters except loss function.

where $\text{Im}(\cdot)$ and $\text{Re}(\cdot)$ take the real and imaginary parts of the complex amplitude, respectively, and $\text{mod}(\cdot)$ is the remainder function. It is obvious that the measured noisy phase $\varphi(\xi, \eta)$ obtained by digital holography is the sum of the true object phase $\varphi_o(\xi, \eta)$ and coherent noise term $\varphi_n(\xi, \eta)$. The presence of a coherent noise term will introduce additional phenomena, such as random fluctuations in the phase background, parasitic interference fringes, and dust diffraction loops, and decrease the signal-to-noise ratio of the whole phase map. In particular, the confidence of measurement is reduced for those objects with a small spatial scale or phase changes due to the noise drowning.

Single-Shot Untrained Self-Supervised Network

The traditional deep neural network is almost trained under the supervision of ground truth, based on a large amount of standard data. The learning process of the network can be formulated as

$$\theta^* = \arg \min_{\theta} E[f_{\theta}(\varphi); \bar{\varphi}], \quad \varphi'_o = f_{\theta^*}(\varphi), \quad (5)$$

where φ and $\bar{\varphi}$ are the input and ground truth of the network, respectively; θ is the learnable parameter for optimization; θ^* is the suitable parameter after learning; $E[\cdot]$ is the denoising task term; and φ'_o is the final noise-free phase by the network $f_{\theta^*}(\cdot)$. In general, the ground truth can be the true object phase φ_o or another measured noisy phase φ' which consists of the same true phase φ_o and another noise term φ'_n , named as the Noise2Noise strategy (Lehtinen et al., 2018; Yin et al., 2020). However, either

the true phase or another noisy phase is extremely difficult to obtain consistently with the large demand of datasets. Moreover, the diversity of the datasets limits the generalization capability of the trained network model. Although the neural network can greatly outperform the traditional algorithms on specific problems, its application is still resistant in practice.

Here, we focus on the problems of dataset requirement, ground truth, and generalization capability and propose the single-shot untrained self-supervised network (SUSNet). The learning process is changed as

$$\theta^* = \arg \min_{\theta} E[f_{\theta}(z); \varphi], \quad \varphi'_o = f_{\theta^*}(z), \quad (6)$$

where the noisy phase φ is set as the ground truth to supervise the optimization and z is a constant matrix with the same size as the ground truth, which is set as a random uniform noise during each training. The implementation procedure for learning and optimization is shown in **Figure 1A**, and the details of the U-shaped SUSNet structure are clearly shown in **Figure 1B**. The constant noise z and the noisy phase φ are regarded as the input and ground truth, respectively. During each step of learning, low-level random Gaussian noise is added in the input and ground truth to make the optimization more robust. It is regarded as a self-supervised way because no additional data acquisition is required except one noisy phase. Moreover, unlike the traditional network which needs to be trained first for a long time before implementing, SUSNet can directly optimize and achieve the denoising task using only one single-shot noisy phase with a little time. Moreover, it can generalize to arbitrary objects with targeting and adaptation for better results.

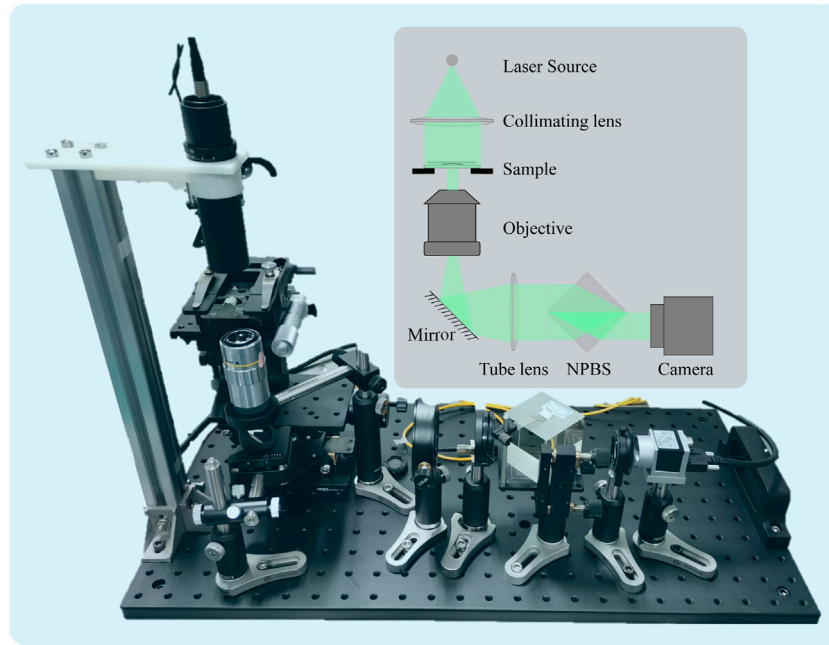


FIGURE 2 | Common-path off-axis digital holographic microscope system for hologram capture and noisy phase acquisition. NPBS: non-polarized beam splitter.

The denoising task term $E[\cdot]$ can be quantitatively represented by the sum of loss functions as

$$E[f_\theta(z); \varphi] = \text{MSE}[f_\theta(z), \varphi] + \beta_1 \cdot \text{Grad}[f_\theta(z)] + \beta_2 \cdot \text{Var}[f_\theta(z)], \quad (7)$$

$$\text{MSE}[f_\theta(z), \varphi] = \frac{\|f_\theta(z) - \varphi\|_2^2}{M \cdot N}, \quad (8)$$

$$\text{Grad}[f_\theta(z)] = \frac{\sum_1^M \sum_1^N \sqrt{G_\xi^2 + G_\eta^2}}{M \cdot N}, \begin{cases} G_\xi = g_\xi * f_\theta(z) \\ G_\eta = g_\eta * f_\theta(z) \end{cases}, \quad (9)$$

$$\text{Var}[f_\theta(z)] = \frac{\sum_1^M \sum_1^N [f_\theta(z) - \mu]^2}{M \cdot N}, \mu = \frac{\sum_1^M \sum_1^N f_\theta(z)}{M \cdot N}. \quad (10)$$

Where $\text{MSE}(\cdot)$, $\text{Grad}(\cdot)$, and $\text{Var}(\cdot)$ are the mean squared error function, tenengrad gradient function, and variance function, respectively; μ is the mean value; M and N are the numbers of pixels; and β_1 and β_2 are the hyperparameters to balance the influence of different loss functions. In Eq. 9, G_ξ and G_η are the gradients in the horizontal and vertical directions, respectively, which are obtained by the convolution * with Sobel operators g_ξ and g_η . The effect of SUSNet with different loss functions is shown in Figure 1C. It is clear that SUSNet with MSE or MSE + Var just generates the noisy phase without noise suppression, while SUSNet with MSE + Grad + Var suppresses and smoothens the background fluctuations, parasitic fringes, and other coherent noise phenomena effectively. In brief, the MSE term allows SUSNet to learn quickly from the noise z to the object phase information, and the edge gradient of the output is computed and minimized to abandon overfitting the coherent noise by the Grad term. The Var term plays a role in balancing the smoothing effect

caused by the Grad term in the late training period. The initial learning rate is 0.002 and subsequently reduces by one-tenth every 100 steps with the Adam optimizer. $\beta_1 = 0.02$ and $\beta_2 = -0.15$ are used with possible fine-tuning. The optimization process takes ~35 s for 400 steps with the Intel Core i7-10700K CPU and NVIDIA GeForce RTX 1080Ti GPU.

Experimental Setup

Here, we implement the common-path off-axis digital holographic microscope system for the hologram capture and noisy phase acquisition, as shown in Figure 2B. A green light beam from a diode-pumped solid-state laser (Cobolt Samba™ 50 532 nm, Linewidth <1 MHz) is collimated by a lens and is incident to the sample. Then, the laser is magnified and collimated again with the microscope objective (Mitutoyo M Plan Apo ×50) and the tube lens, and a non-polarized beam splitter (NPBS) is used to form the common-path structure. The wave is refracted and reflected by the NPBS placed at an angle of 45° and finally divided into two parts. The camera (Basler acA 2040-90 μm) is placed on a suitable plane to capture the in-focus image of the sample. This common-path setup benefits the object-free region of the other half spot as the reference wavefront, so the propagation path of the object and reference wavefronts is the same. The common-path design can improve the stability of the system simply and greatly but requires the sample to be sparse and sacrifices half of the field of view (FOV) (Zhang et al., 2021). After hologram acquisition, the double-exposure method is implemented to compensate the system aberration, and the measured noisy phases of different samples are obtained by numerical reconstruction according to Eqs 1–4.

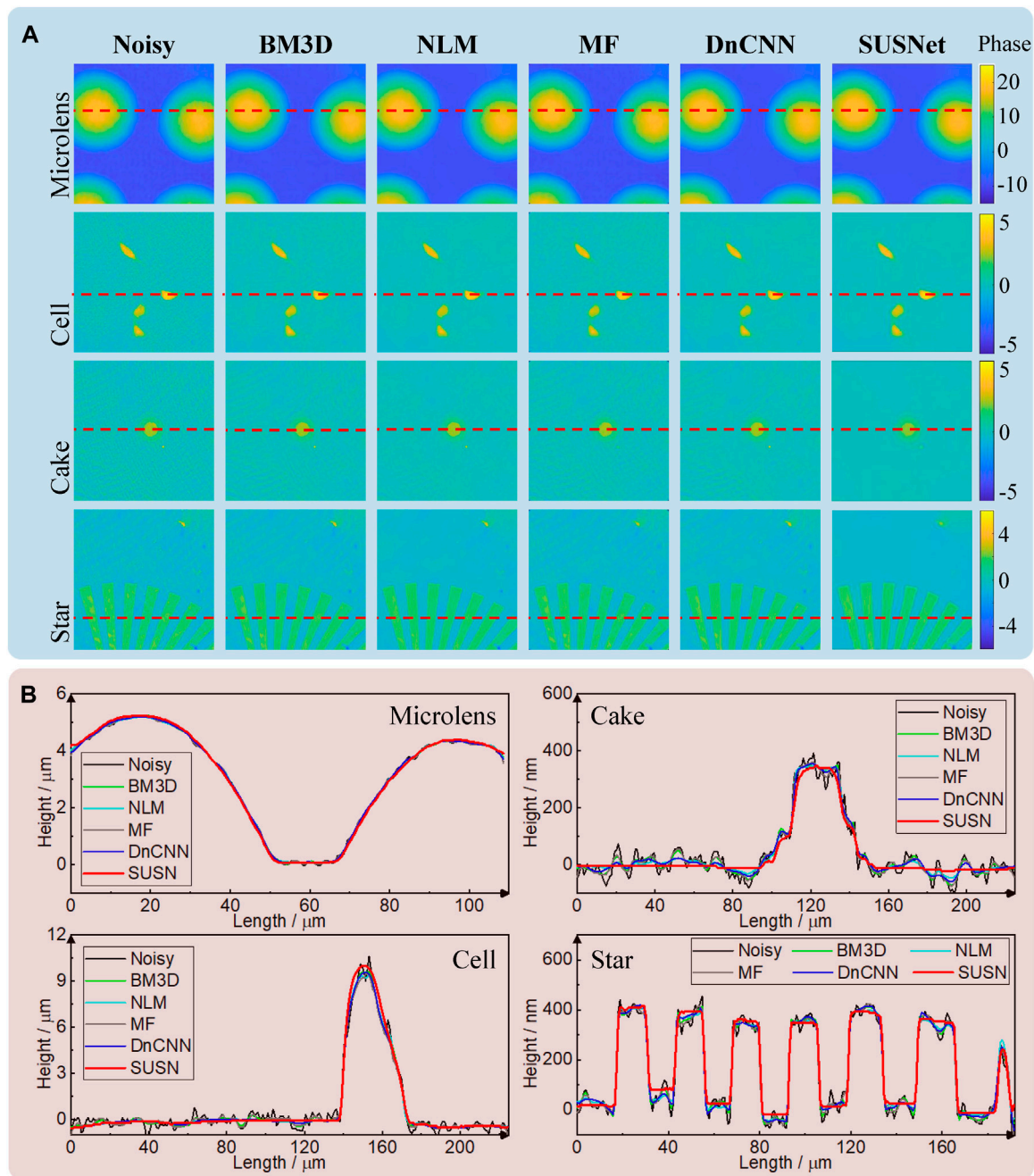


FIGURE 3 | Comparison of different noise suppression algorithms on experimental holographic phases with 532-nm green laser illumination. **(A)** Noisy phase maps of microlens, cell, cake, and star samples and their denoise results with BM3D, NLM, MF, DnCNN, and SUSNet. **(B)** Comparison of the height distribution along the truncated lines in **(A)**.

EXPERIMENTAL RESULTS

Noise Suppression With Various Samples

Here, we measure four samples, including microlens, cell, cake structure, and star structure, to obtain the noisy phases of various samples. The microlens array has a maximum height of 5 μm and a refractive index of 1.458, while the cake and star structures are both on the benchmark quantitative phase target with the

refractive index of 1.52. The HT22 cells after overnight culturing have a uniform refractive index of 1.375, while the cell culture has a refractive index of 1.3377 (Lue et al., 2012). To observe the actual denoising effect of SUSNet, we take several traditional noise suppression algorithms for comparison, including BM3D (Dabov et al., 2007), non-local means filtering (NLM) (Uzan et al., 2013), median filtering (MF) (Darakis and Soraghan, 2006), and DnCNN (Zhang et al., 2017).

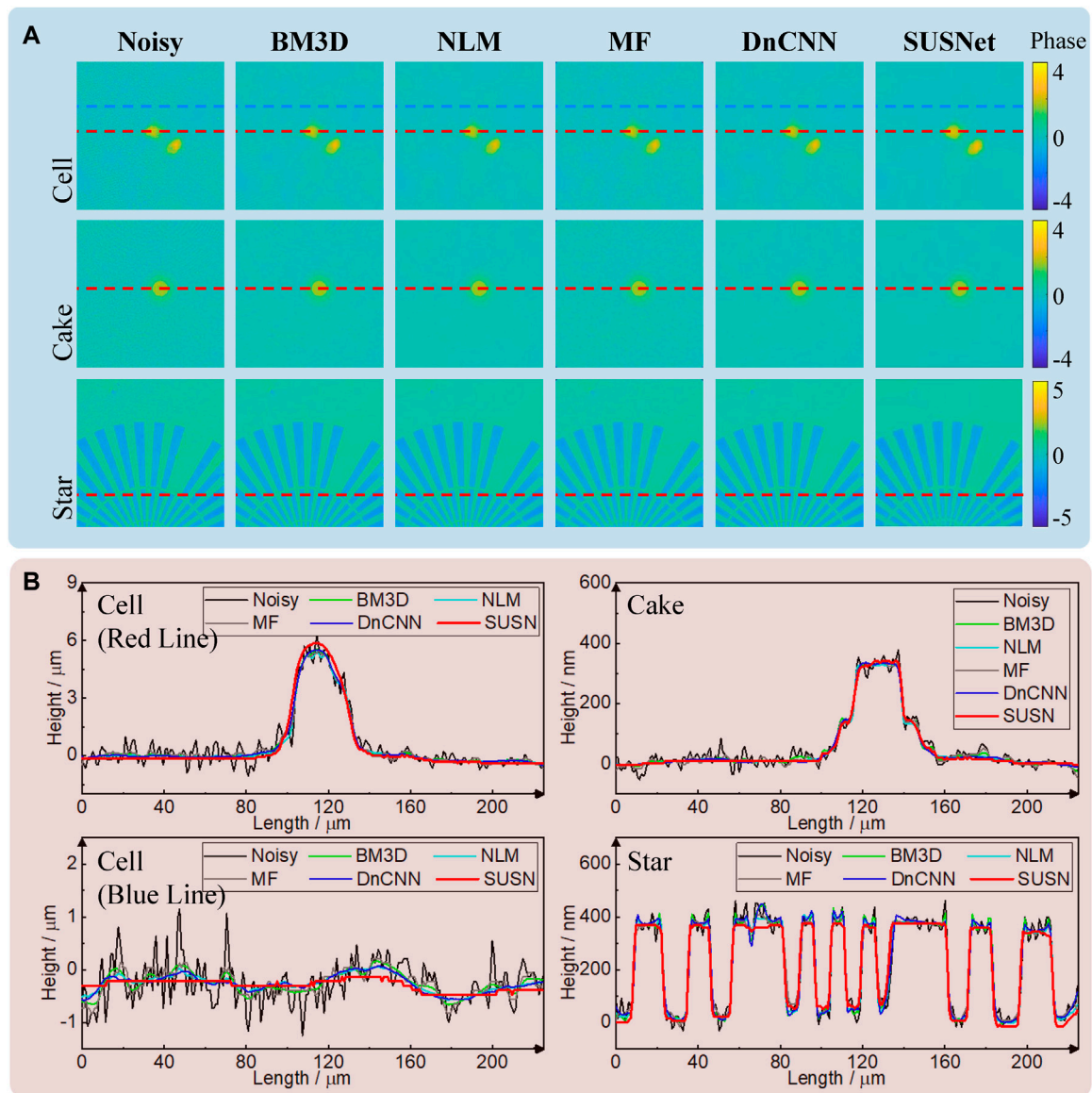


FIGURE 4 | Comparison of different noise suppression algorithms on experimental holographic phases with 640-nm red laser illumination. **(A)** Noisy phase maps of cell, cake, and star samples, and their denoise results with BM3D, NLM, MF, DnCNN, and SUSNet. **(B)** Comparison of height distribution along the truncated lines in **(A)**.

From **Figure 3A**, it is obvious that all noisy phases have background fluctuations, parasitic fringes, and even some damage defects. Intuitively, the BM3D, MF, and DnCNN can relatively suppress the fluctuations and fringes and improve the overall signal-to-noise ratio, but their actual effect is limited with insufficient smoothing and fringe residue. In comparison, the NLM is the most effective one among these noise suppression algorithms, but there are still some gaps compared with the SUSNet. We set several truncated lines on these phases and converted them to the height distribution along the lines in **Figure 3B**. From the truncated lines of microlens, the coherent noise is not drastic because the phase change of microlens is much

larger than the fluctuation caused by the noise. However, these fluctuations on the truncated lines of cell, cake, and star structures are more pronounced and intense. In contrast, the red curves of the SUSNet ensure a flatter curve than other algorithms with the same fundamental shape. We select the truncated lines of the cell to calculate the ranges ($R = \text{Max}(\cdot) - \text{Min}(\cdot)$) as the reference values of background fluctuation. The noisy phase of the cell has 0.980 rad fluctuation, and the denoising results of BM3D, NLM, MF, and DnCNN have 0.292, 0.342, 0.588, and 0.265 rad fluctuations, respectively, while the noise-free phase of the SUSNet has 0.235 rad fluctuation, which is only 23.9% of the original range.

It is clear that SUSNet can effectively generalize and suppress noise for various samples of different shapes, sizes, and phase variations, which has reached the generalization capability of traditional algorithms, such as BM3D, MF, and NLM, and the neural network model, such as DnCNN, trained by a large amount of data. Another advantage of SUSNet is that it does not require a prior evaluation of the noise level, while the traditional algorithms need this prior as one hyperparameter for adjustment. For example, the results in **Figure 3A** are calculated using the standard deviation of 10 as a prior. The DnCNN is more necessary to load the corresponding model trained by the dataset with a similar noise level because the traditional neural networks limited by the dataset have difficulty generalizing to other noise levels and types beyond the training set. In contrast, the SUSNet prioritizes the extraction of object information while ignoring the noises during learning, even if the noises are not all high frequencies. As for the calculation cost, the SUSNet needs ~35 s for 400 steps of optimization, while BM3D, NLM, MF, and DnCNN spend 0.3, 30, 0.02, 8.35 s in calculating by CPU, respectively.

Noise Suppression at Another Level of Noise

Here, we change a red diode laser with a wavelength of 640 nm (Changchun New Industries, MRL-III-640-100 mW, Linewidth <4.4 GHz) as the source of the common-path off-axis digital holographic microscope system and re-measure the previous samples as shown in **Section 3.1**. The actual coherence length of the green laser is thousands of times longer than that of the red laser in the experiment, so the noisy phase obtained under the red laser will have different noise levels and distributions. The noisy phases and their denoising results of all algorithms, including SUSNet, are shown in **Figure 4A**. Compared with those under the green laser, the noises under the red laser have less parasitic fringes and damage defects, and the background fluctuations have lower amplitudes and higher frequencies. Intuitively, the performance of the SUSNet is still comparable to, or even better than that of these traditional algorithms. From **Figure 4B**, the effect of background fluctuations is evident in truncated lines, even affecting the fundamental shape. However, the red curves of the SUSNet are the flattest and smoothest compared with other color curves, especially in the blue truncated lines of the cell. We also calculate the range of curves in the blue truncated lines of the cell as the reference values of background fluctuations. The noisy phase has 0.878 rad fluctuation, and the denoising results of BM3D, NLM, MF, and DnCNN have 0.228, 0.300, 0.376, and 0.242 rad fluctuations, respectively, while the noise-free phase of the SUSNet has 0.125 rad fluctuation, which is only 14.2% of the original range. It is obvious that the SUSNet has a very powerful smoothing ability

for fluctuations by ensuring accurate object information. However, SUSNet still has some shortcomings to be researched and overcome. It may not apply to the detailed structures (e.g., scratch and damage) as objects, and its smooth effect will bring some impact for some small-sized objects. In general, in the case of a single-shot noisy phase, it is surprising enough that an untrained network can learn a noise-free phase directly from a noisy image with the self-supervised method, not to mention that its noise suppression performance is relatively excellent.

CONCLUSION

In summary, we propose an untrained self-supervised network SUSNet for the coherent noise suppression of the phase map in digital holography. The proposed SUSNet can smooth and suppress background fluctuations, parasitic fringes, and diffraction loops and has good generalization performance for the samples with different shapes, sizes, and phase ranges. Compared with the conventional algorithms, such as BM3D, NLM, and MF, and the ground truth-supervised neural network DnCNN, the SUSNet has the best noise suppression performance and background smoothing effect. As a result, the SUSNet can reduce the fluctuation range to ~20% of the original range. The most important point is that SUSNet requires only one noisy phase to complete the optimization and learning without the ground truth and a large amount of data, which is the main challenge of traditional neural networks in applications.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding authors.

AUTHOR CONTRIBUTIONS

JT wrote the draft of the manuscript. JT and JW contributed to data analysis. JT and JZhang performed the experiments. JD and JZhao conceived and supervised the project. All the authors edited the manuscript.

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Augmentation of 3D Holographic Image Graticule With Conventional Microscopy

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In this paper, we report an implementation of a computer-generated holographic projection technique to display a holographic scene like a measuring graticule around the magnified sample image in a reflected bright-field microscopy. The implemented system acts as a gauging tool for lateral and longitudinal measurements of a sample that is being observed under a microscope through the assistance of a holographic measuring graticule. Numerical and experimental verifications have been performed, demonstrating the successful augmentation of a holographic projection system as a measuring tool with a conventional bright-field microscopic system.

Keywords: hologram, microscopy, augmentation, 3D, graticule

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INTRODUCTION

Conventional optical microscopes have been around for many years and are extensively used in biological and medical science applications as well as in the electronics industry. An optical microscope has a simple optical geometry comprising an infinite-corrected objective lens and a tube lens to form a real, inverted, and magnified image of the sample at the back focal plane. This image is further magnified by an ocular lens or eyepiece responsible for presenting an upright magnified virtual image to the user's eye. Bright-field microscopy is one of the simplest optical microscopy in which a sample is illuminated, and the contrast is generated from the reflected light (McArthur, 1945; McArthur, 1958). For the measurement purpose of the sample on the microscopic stage, the optical microscopes are usually equipped with a reticle or graticule. A graticule is a two-dimensional (2D) disk that is present in an ocular lens to measure the lateral distances of samples on the microscope stage at the focal plane. Due to its 2D structure, it is not suitable for the depth estimation of a magnified sample on a longitudinal axis. Moreover, the 2D graticule needs to be calibrated whenever there is a need to change an objective lens. Usually, calibration can be done by adjusting the 2D graticule to lie side by side with a microscopic scale on the sample stage. From that, a conversion factor is calculated and used in the measurement of lateral distances of a magnified sample. In this paper, we propose implementing an augmentation of a three-dimensional (3D) holographic projection display with a conventional bright-field microscopic system for the lateral and longitudinal measurement of a magnified sample. With a conventional 2D graticule, only latitude measurements in a 2D plane are possible. Also, calibration has to be done with the micrometer scale on the stage sample. This needs to be done every time an objective lens has changed. A 2D graticule needs to be replaced with the appropriate one for different measurement purposes. With the proposed configuration, only complex field information needs to be synthesized without an actual replacement of a physical disk-shaped graticule. Also, longitudinal measurements are possible with the proposed method, which helps in the depth estimation or thickness measurement of an object sample. The proposed augmentation

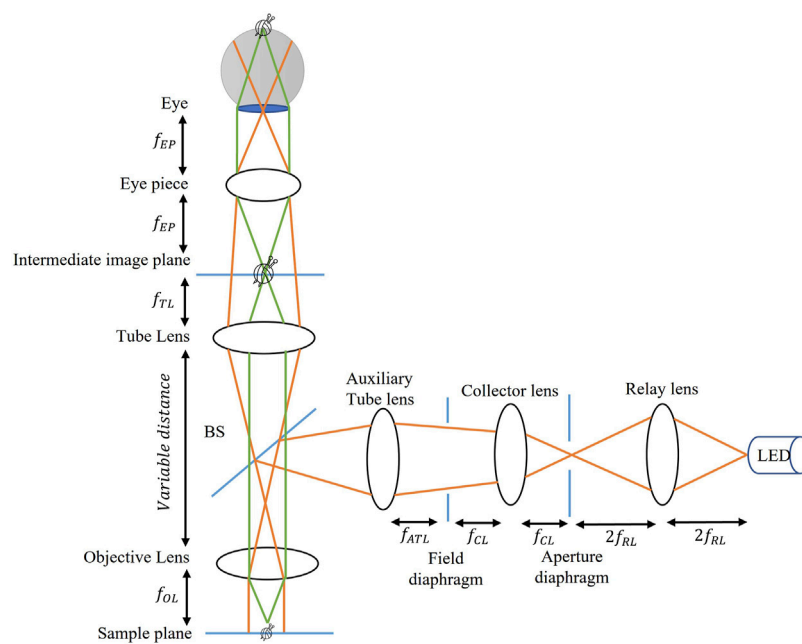


FIGURE 1 | Schematic of a reflected bright-field microscope.

of the 3D holographic projection graticule can be applied to other types of microscopy, e.g., reflected/transmitted brightfield or darkfield microscopic techniques.

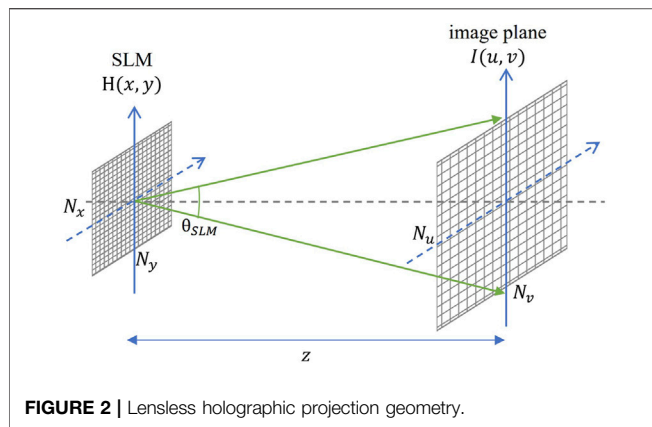
For the generation of holographic contents in 3D displays and holographic projectors, computer generated hologram (CGH) plays a vital role. The 3D data of objects in CGH generation can be represented in various forms, such as point cloud (Lucente, 1933), light rays (Wakunami and Yamaguchi, 2011), depth layers (Zhao et al., 2015), and triangular meshes (Kim et al., 2008; Ji et al., 2016). The synthesized complex field is then encoded to either amplitude-only or phase-only CGH before being uploaded to the spatial light modulator (SLM) in the reconstruction process. A peculiar advantage of the CGH over 2D images is the conservation of depth information. Among various CGH techniques, the lensless holographic projection technique is attractive for its robustness and compact geometry, eliminating lens aberration and producing high contrast images (Buckley et al., 2011; Makowski et al., 2016a).

In this paper, we augment a 3D holographic graticule for the microscopic measurement of a magnified sample image around the intermediate image plane, i.e., the back focal plane of the tube lens. For the calculation of the complex field at the SLM plane, we consider a lensless holographic projection geometry that is capable of generating zoomable images with the use of the scaled and shifted Fresnel diffraction (Muffoletto et al., 2007; Shimobaba et al., 2012; Shimobaba et al., 2013; Zhang et al., 2019) algorithm. The scaled and shifted Fresnel diffraction is a fast Fourier transform (FFT) based diffraction calculation considering different sampling rates in the SLM and target image plane. We calculate a 2D

holographic image graticule with a large depth of focus (DOF) for the lateral measurement by applying a slowly varying spherical phase distribution to the target image plane (Makowski et al., 2016b). We compute a 3D holographic layer-based graticule around an intermediate image plane for the longitudinal measurement, with each layer having a shallow DOF. Taking advantage of the capacity of superposition of the hologram, we can combine the two complex amplitude distributions before final encoding to a phase-only CGH at the reconstruction step. In the following sections, the principle and configuration of the proposed system is explained. The numerical simulation and optical experiment results are also presented for verification.

BRIEF INTRODUCTION TO REFLECTED BRIGHT-FIELD MICROSCOPY

Reflected bright-field microscopy is frequently used in industrial applications, especially in the semiconductor area with a metallic sample, integrated circuits, or surface of ceramics. Bright-field or dark-field illumination technique represents dark and bright images against bright and dark backgrounds, respectively (McArthur, 1945; McArthur, 1958). Each illumination technique has its merits and demerits depending on the observed sample. Our experiment considered the bright-field reflected-light microscopy with Kohler's illumination to eliminate incoherent light-emitting-diode (LED) source image in the intermediate image plane. **Figure 1** shows the schematic of a bright-field reflected-light microscope with light ray representation. Orange rays show



the focus plane of the light source image, and green rays show the focus plane of the magnified sample image. The magnified sample image is focused in the intermediate image plane or user's retina, and the source image is highly defocused for better visual acuity. The sample object is placed in the front focal plane of an infinity-corrected objective lens to sharply focus the magnified image in the intermediate image plane with a designed tube lens. The objective lens has two functions: it acts as a condenser lens to focus light on the object sample and to form an image by collecting the reflected light. The parallel green rays from the objective lens to the tube lens constitute a variable distance and can be used to add optical components to the system, such as optical filters and beam splitters. The aperture diaphragm changes the illumination cone to project into the objective lens, which controls the contrast of the sample image. The field diaphragm controls the width of the bundle of light rays reaching the condenser or the objective lens in this reflected microscope case. The overall magnification of the system is calculated by multiplying the objective magnification with the ocular or eyepiece magnification. If a CCD sensor is used to capture the real magnified, inverted sample image in the intermediate image plane, the only magnification to be considered is that of the objective lens. The objective lens has different lateral and longitudinal magnifications like other optical imaging systems. Lateral magnification is defined as the ratio of image height to the object height and is given by the lens manufacturer as "×5", "×10" means the lens magnifies the object to look five or ten times larger than the actual size. The longitudinal magnification is defined as the square of lateral magnification along an optical axis. So, with a ×10 objective lens, the longitudinal magnification for an object sample would be ×100.

CALCULATION OF A COMPLEX HOLOGRAM

For the generation of complex amplitude distribution at the SLM plane, we consider the lensless holographic projection

technique, as shown in **Figure 2**. It has a compact geometry with a single SLM and a projection screen at a propagation distance z . Note that the intermediate image plane in **Figure 1** and the image plane in **Figure 2** are overlapping image planes for a magnified sample image and a holographic image, respectively. They are given different names to avoid misunderstanding while discussing their optical geometries individually. At the reconstruction image plane, $I(u, v)$, the optical field is given by the 2D Fresnel diffraction (Goodman, 2005) formula as

$$I(u, v) = \frac{\exp(jkz)}{j\lambda z} \iint_{-\infty}^{\infty} H(x, y) \exp\left[j\frac{k}{\lambda z} \{(u-x)^2 + (v-y)^2\}\right] dx dy \quad (1)$$

which can be expressed in terms of 2D Fourier transform upon simplification as

$$I(u, v) = \frac{\exp(jkz)}{j\lambda z} \exp\left[j\frac{k}{2z} (u^2 + v^2)\right] \iint_{-\infty}^{\infty} H(x, y) \exp\left[j\frac{k}{\lambda z} (x^2 + y^2)\right] \exp\left[-j\frac{k}{z} (ux + vy)\right] dx dy \quad (2)$$

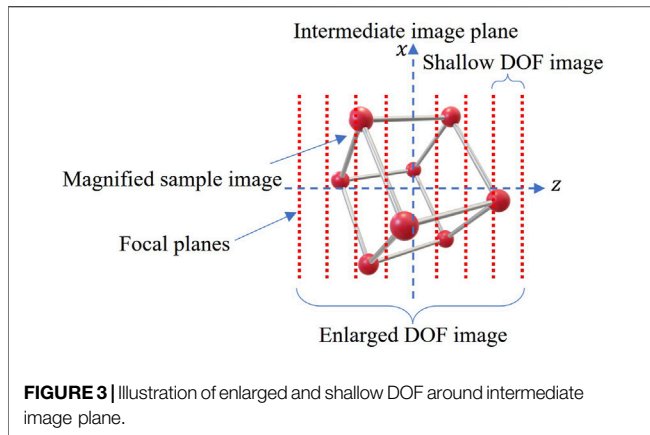
$$I(u, v) = \frac{\exp(jkz)}{j\lambda z} \exp\left[j\frac{k}{2z} (u^2 + v^2)\right] F\left\{H(x, y) \exp\left[j\frac{k}{\lambda z} (x^2 + y^2)\right]\right\} \quad (3)$$

where $H(x, y)$ represents the hologram at the SLM plane. λ is the wavelength, k is the wave number, $k = 2\pi/\lambda$. F denotes the Fourier transform with spatial frequencies given as $f_x = u/\lambda z$ and $f_y = v/\lambda z$. According to the sampling rule of discrete Fourier transform, there is a relation between sampling intervals of source and reconstruction plane given as

$$\Delta_u = \frac{\lambda z}{N_x \Delta_x}, \quad \Delta_v = \frac{\lambda z}{N_y \Delta_y} \quad (4)$$

where we can see that the sampling intervals Δ_u and Δ_v at an image plane is inversely proportional to the sampling intervals Δ_x and Δ_y at the SLM plane. N_x and N_y are the sampling numbers in the source plane. For $\Delta_x = \Delta_y = \Delta_{SLM}$, $\Delta_u = \Delta_v = \Delta_I$, and N_h and N_I as total number of pixels in a hologram and the reconstructed image plane, the size of a hologram, L_h and the reconstructed image, L_I can be expressed as $L_h = N_h \times \Delta_{SLM}$ and $L_I = N_I \times \Delta_I$ respectively.

We focus on complex field information that produces graticule images at the image plane of different shapes and sizes for different measurement requirements like sample alignment, size or shape comparison, or area counting of a specimen. However, the sampling intervals here are constrained by Nyquist theory. To counter this limitation, we have two most common approaches. The first method increases the number of pixels while keeping the sampling pitch as defined in **Eq. 4**. However, the computation cost will increase in terms of time and memory. Nevertheless, we can use **Eq. 1** for sampling limitation, but again it is not computationally efficient as it does not use FFT. Second, we can consider variable sampling intervals at the SLM and the reconstructed image plane by keeping the number of pixels, N same in both planes. For this purpose, we use the scaled and shifted Fresnel diffraction algorithm, which computes the scaled Fourier transform by imposing a convolution theorem to



the discrete 2D Fresnel diffraction algorithm as well as the off-axis image reproduction scheme by offsetting the target image plane from the optical axis to separate it from the DC term. The complex field is expressed as

$$I(\mathbf{u}', \mathbf{v}') = C_z * F^{-1}\{F[\mathbf{a}(\mathbf{x}', \mathbf{y}')]F[\mathbf{b}(\mathbf{x}', \mathbf{y}')]\} \quad (5)$$

where the details for C_z , \mathbf{a} , and \mathbf{b} are given in (Zhang et al., 2019). Here, $\mathbf{x}' = \mathbf{x}_0 + \mathbf{x}\Delta_{SLM}$, $\mathbf{y}' = \mathbf{y}_0 + \mathbf{y}\Delta_{SLM}$, $\mathbf{u}' = \mathbf{u}_0 + \mathbf{u}\Delta_I$, and $\mathbf{v}' = \mathbf{v}_0 + \mathbf{v}\Delta_I$ to represent the offset parameters \mathbf{x}_0 , \mathbf{y}_0 , \mathbf{u}_0 , and \mathbf{v}_0 in offsetting the holographic image from the optical axis to separate the DC and conjugate terms from the target image. The algorithm is fast, keeping the same number of pixels, and involves three FFTs. Unfortunately, aliasing error may occur when propagating to a short distance. This is due to the diffraction angle, θ_{SLM} limited by the pixel pitch of the SLM expressed as

$$\theta_{SLM} = \sin^{-1}\left(\frac{\lambda}{\Delta_{SLM}}\right) \approx \frac{\lambda}{\Delta_{SLM}} \quad (6)$$

The projected image size is limited by

$$L_{I-max} = N_I \Delta_I \leq \frac{\lambda z}{\Delta_{SLM}} \quad (7)$$

where the relation shows the image size is proportional to propagation distance and inversely proportional to the pixel pitch of SLM. To avoid an aliasing error by keeping the projected image size unchanged, the shorter propagation distance requires a much smaller sampling pitch, which is usually constrained by the pixel pitch of the physical SLM used in the experiment.

3D Layer-Based Hologram With Varying DOF

We need to reconstruct a holographic graticule to measure the sample on the microscope stage in a lateral and longitudinal direction. Lateral measurement is done in any planar dimension for the sample at the microscopic stage. It requires an enlarged DOF due to the sweeping of focal planes across the magnified sample image. On the other hand, longitudinal measurement

requires a shallow DOF to axially evaluate the thickness and depth estimation of the magnified sample image.

The minimum angular spectrum range can be used to have enlarged or extended DOF. This can be done by multiplying the target image with a slowly varying spherical converging phase as in the random-phase-free method (Makowski et al., 2016a). In this way, the low-frequency information of a larger image can be spread to the hologram of a smaller size. The DOF is extended as each point in the image is formed by the limited circular effective aperture of the calculated hologram. The holographic image remains in focus in all focal planes, as illustrated in **Figure 3**.

To have a shallow DOF, we can generate a hologram at a maximum angular spectrum range limited by the pixel pitch of the SLM. This can be done by multiplying a target image with the random phase distribution. We calculate the 3D hologram in a layer-based manner with 2D images reconstructed at different focal planes across the intermediate image plane with shallow DOF. The distance between layers is fixed to evaluate sample thickness while sweeping through different focal planes. Each layer has its sampling intervals defined based on the distance from the hologram plane in the scaled and shifted Fresnel diffraction algorithm. Finally, we follow the algorithm discussed in the previous section to obtain corresponding holograms for the extended and shallow DOF. The obtained two holograms are added together to form the final hologram which is then encoded to the phase hologram before being uploaded to the SLM. Other methods such as Gerchberg-Saxton (GS) algorithm (Chang et al., 2015) or error diffusion method (Jiao et al., 2020) can be applied to the accumulated complex field for the optimization of phase-only hologram.

Aliasing Condition

To avoid aliasing error in the lensless optical configuration under consideration, the convergent angle of the spherical phase in calculating an enlarged DOF should not exceed the diffraction angle limit of the SLM. The focal length, f of the spherical phase can be set for the propagation distance, z considering the hologram size and image size as

$$f = \frac{z}{1 - \frac{L_h}{L_I}} \quad (8)$$

where f is proportional to the propagation distance, z , and inversely proportional to the hologram size at the SLM plane.

In **Figure 4**, the blue line shows the maximum diffraction angle limited by the given SLM, and the red line shows the angle of a convergent spherical phase. **Figure 4A** shows the geometry model where the hologram fits perfectly inside the converging spherical phase with $\phi = \theta_{SLM}$. **Figure 4B** shows the geometry model in which $\phi < \theta_{SLM}$. Although some part of the SLM area is used, the image can be reconstructed without aliasing. **Figure 4C** shows the case for aliasing where $\phi > \theta_{SLM}$, the information from the image reaching the SLM exceeds its total area. However, the image will still be reconstructed with aliasing noise due to the feature of the Fresnel diffraction algorithm. The bottom row of

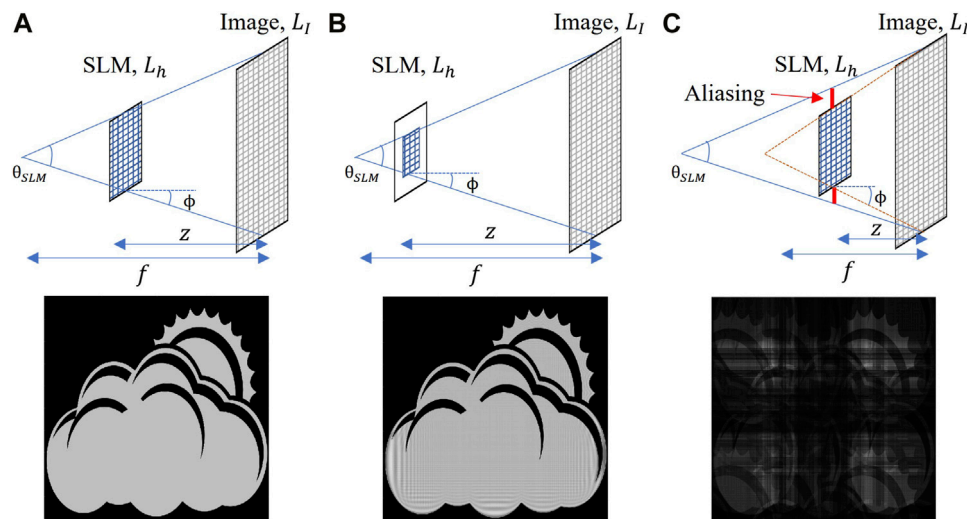


FIGURE 4 | Aliasing condition for implemented holographic projection geometry. **(A)** Geometric projection model when $\phi = \theta_{SLM}$ (no aliasing). **(B)** Geometric projection model when $\phi < \theta_{SLM}$ (no aliasing). **(C)** Geometric projection model when $\phi > \theta_{SLM}$ (aliasing occurs).

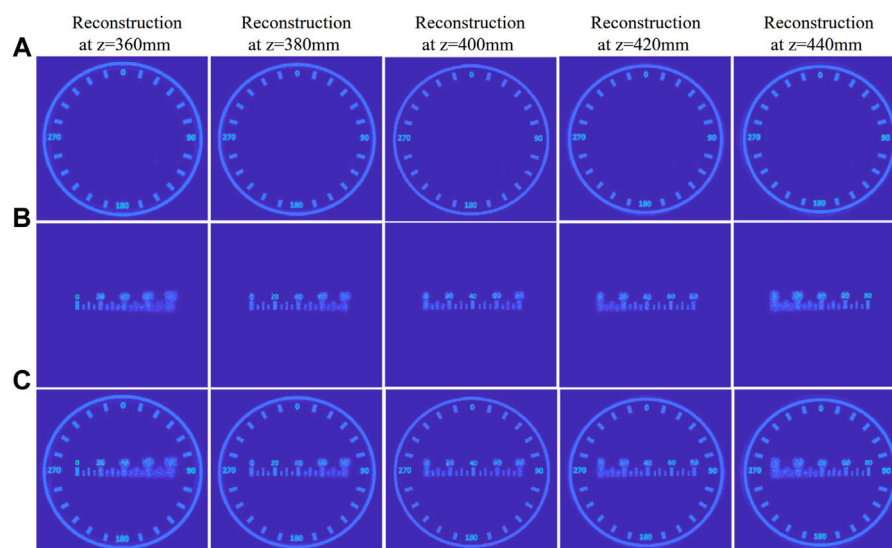


FIGURE 5 | Numerical simulated results. **(A)** Reconstruction results at different planes show enlarged DOF. **(B)** Reconstruction results at different planes show shallow DOF. **(C)** The reconstruction results of the combined hologram at different planes simultaneously showed enlarged and shallow DOF.

Figure 4 shows the numerically reconstructed result to demonstrate the aliasing effect.

NUMERICAL SIMULATION

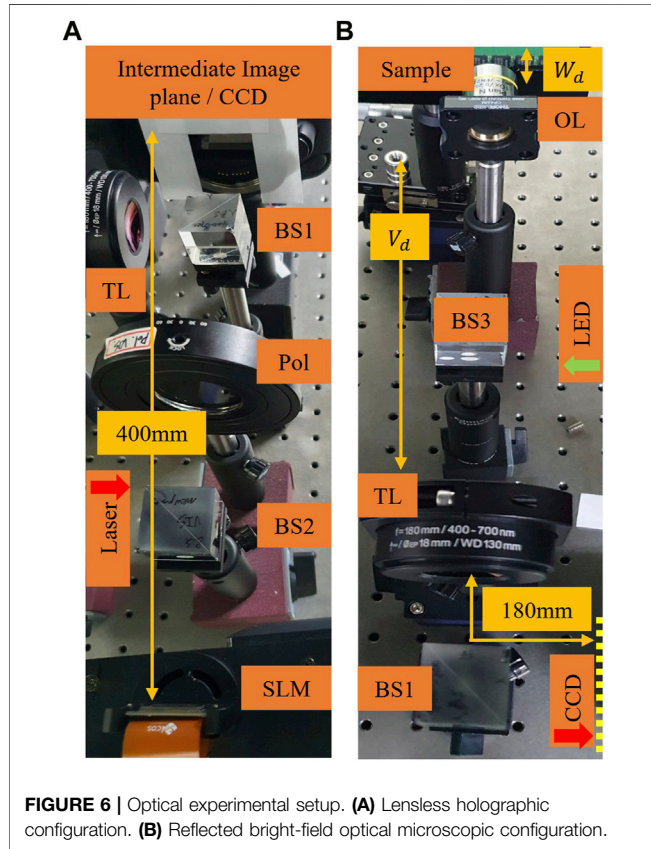
In the numerical simulation, a holographic content is generated and centered around an intermediate image plane at the desired propagation distance, z . Two holograms are calculated for the extended and shallow DOF, respectively. The hologram with the extended DOF remains in focus over a large depth range with a

slowly varying spherical phase distribution. On the other hand, the hologram with the shallow DOF is calculated in a layer-based fashion with layers having a random phase distribution and are separated by a particular distance. These two holograms are then accumulated in a single hologram for the numerical simulation results in Figure 5.

The pixel resolution of the computation window used in the simulation is identical for the hologram and the target image plane, which is $N \times N = 2160 \times 2160$ pixels. The sampling rate on the hologram plane is $3.6 \mu\text{m}$ (which gives the size of the hologram, L_h to be about $7.7 \text{ mm} \times 7.7 \text{ mm}$). The propagation

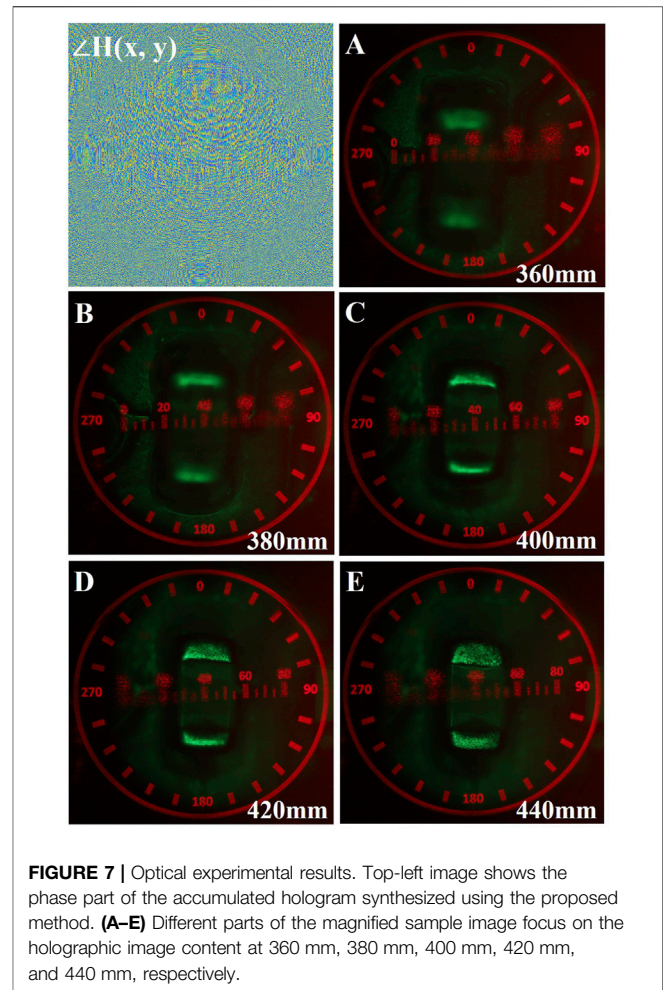
TABLE 1 | Image quality measurement using PSNR and SSIM.

Quality metric	T_1	T_2	T_3	T_4	T_5
PSNR (dB)	28.29	31.59	35.38	31.01	28.05
SSIM	0.966	0.988	0.990	0.980	0.962



distance, z is 400 mm. The sampling rate of the reconstructed image at an intermediate image plane is about 32 μ m. The wavelength of light is 633 nm.

Figure 5A shows the reconstructed results for a hologram synthesized with enlarged DOF at different focal planes. The image can be seen as a sample alignment graticule, each division with an angular separation of 15 degrees. We can see that the image is reconstructed with enlarged DOF. **Figure 5B** shows the results for a layer-based hologram synthesized for having a shallow DOF. Here, we have five 2D layers with numbers showing 0, 20, 40, 60, and 80 centered around an intermediate image plane spanning 80 mm longitudinal depth with the separation of 20 mm between each layer. Depending on the maximum angular range defined by the SLM, the separation between each layer can be increased or decreased, making the final reconstructed image show reasonable blur among different layers. The reconstructed scene here can be used for the depth estimation of a magnified sample image at the microscopic stage. As the reconstruction depth increases from left to right, different



numbers in layers come into focus at a particular distance. **Figure 5C** shows the reconstructed results for an accumulated hologram at different reconstruction planes.

For the quantitative evaluation of the reconstructed holographic images at different planes for the enlarged DOF, we used two metrics: peak-signal-to-noise ratio (PSNR) and structural similarity index (SSIM). **Table 1** shows the results. T_1 , T_2 , T_3 , T_4 and T_5 represents the reconstructed image results in **Figure 5A** (top row) respectively. It can be seen from **Table 1** that the highest quality is at the intermediate image plane ($z = 400$ mm), and the quality degrades slowly while sweeping across the intermediate image plane.

EXPERIMENTAL RESULTS

Figure 6 shows the experimental optical setup for the lensless holographic and reflected bright-field microscopic configurations. In **Figure 6A**, the hologram is loaded to a spatial light modulator (SLM) after the phase encoding. We shifted the target image to separate it from the DC and conjugate term at the reconstruction plane. The reconstructions are captured around the intermediate image plane or CCD at a

distance of 400 mm from the SLM plane. The wavelength of the laser light source used in the experiment is 633 nm. The reflection-type phase modulating SLM (model name: IRIS-U62) from MAY DISPLAY is used in the experiment that has the specifications of 3.6 μm pixel pitch and 3840×2160 resolution. A hologram with enlarged DOF is synthesized with a slowly varying converging spherical phase, and a layer-based hologram with a shallow DOF is synthesized with a random phase distribution in each layer. Holograms are synthesized for the same pixel resolution of 2160×2160 as used in the numerical simulation. Finally, the two holograms are accumulated. In the encoding process, for the phase modulating SLM, the phase part of the final hologram was extracted and loaded to the SLM.

In **Figure 6B**, we used an infinity-corrected plan achromatic objective lens (OL) of design magnification of $\times 10$ at the working distance, W_d of 1.2 mm from a sample stage. A tube lens (TL) of the effective focal length of 180 mm is used, designed for the infinity-corrected objective lens used in the experiment. The tube lens focuses the magnified sample image on the intermediate image plane. With such an arrangement of using an infinity-corrected objective lens and the tube lens, we obtain the variable distance, V_d that is useful in positioning additional optical components. We used a M530L3 LED from Thorlabs as an incoherent light source with a wavelength centered around 530 nm. We used Kohler's illumination technique to eliminate the source image at the CCD plane.

Figure 7 shows the optical experimental results. The phase part of the accumulated hologram, $H(x, y)$, used in the reconstruction process is shown in the top-left image. **Figures 7A–E** shows the reconstructed image results at various distances, i.e., 360–440 mm, with 20 mm separation from the SLM plane.

In **Figure 7A**, no part of the magnified sample image comes in focus with the holographic image number “0” (at 360 mm). At the distance of 380 mm in **Figure 7B**, the bottom part of the magnified sample focuses on the holographic image number “20”. By moving the CCD plane on the longitudinal axis further away from the SLM plane, different parts of the magnified sample image come into focus with the contents of holographic images. At the distance of 440 mm in **Figure 7E**, the top part of the sample focuses on the holographic image showing the number “80”. From this, we can analyze that the approximate thickness of the magnified sample is around 60 mm, from when the bottom part comes in focus at 380 mm up to the top part at 440 mm. As the longitudinal magnification of the magnified sample image is square of the lateral's magnification, which is $\times 10$ in our case, the magnified sample image has a longitudinal magnification of 100 times. From this, the total thickness of the sample approximates about $60 \text{ mm}/100$ (0.60 mm). The object sample we used is the multilayer ceramic capacitor from Samsung Electro-Mechanics (SEMCO) with the product code (CL05) having a thickness of $0.60 \pm 0.10 \text{ mm}$, which is in good

agreement with the experimental result. The error in the longitudinal measurement from the holographic graticule comes from the total number of 2D layers used in the hologram synthesis. As the layers are separated by 20 mm each, the maximum error in the longitudinal measurement of the object sample could be around $\pm 20 \text{ mm}$. By increasing the number of layers used in the layer-based hologram synthesis, we can minimize the error but at the cost of higher computation. The outer circular part of the holographic image shows the 360-degree alignment graticule with a 15-degree angular separation between each bar. We can see that it remains in focus at all reconstruction depths showing enlarged DOF.

CONCLUDING REMARKS

We proposed an augmentation of a 3D holographic content with the microscopic image to have an application of measurement graticule for a microscopic sample in lateral and longitudinal directions. This is done by combining two holograms synthesized for having an enlarged and shallow DOF. The optical geometry we used is the lensless holographic projection for its compactness and production of high contrast images. It is also easy to augment such a system with the conventional optical microscope as it requires no additional lenses between SLM and the projection screen to avoid lens aberrations. We have discussed aliasing conditions for considered projection geometry to avoid discrepancies with high-order diffracted results. Finally, we combined the two holograms and presented the numerical and experimental results to verify the proposed augmentation of the two systems.

DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

AUTHOR CONTRIBUTIONS

MA conceived the idea, conducted the experiments, and wrote the manuscript. J-HP reviewed the experimental results and supervised this project. The manuscript has been revised and corrected by all authors.

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Multi-View Acoustic Field Imaging With Digital Color Holography

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This paper proposes an approach for acoustic field imaging using simultaneous multi-view digital holography based on three-color digital off-axis holography. Considering spatio-chromatic multiplexing and the recording with a monochrome sensor, the numerical processing of time-sequences of holograms yields both the amplitude and phase of the acoustic field along three different directions of observation. Distortion analysis is presented and the acousto-optic interaction along the optical beam is discussed using a theoretical modelling. Experimental results with an emitter at 40 kHz establish the proof-of-concept of the proposed multi-view imaging for acoustic fields.

Keywords: holography, digital holography, color holography, spatial multiplexing, acoustic field imaging, multi-view imaging

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1 INTRODUCTION

The characterization and control of waves in acoustics, and more generally in wave physics, is of great interest because resulting technological innovations may impact several domains: environmental and energy transition (frugal engineering, lightening of structures, energy recovery, bio-sourced materials), health sector (medical imaging, remote consultation, diagnostic assistance), and industrial sector in the broadest sense (transportation, audio, musical instrument making, agriculture, electronics, and telecommunications). The characterization requires to develop new approaches to provide qualitative and quantitative insight of the acoustic fields of interest. Generally, imaging acoustic fields is performed by using microphone arrays (Flanagan et al., 1985; Hafizovic et al., 2012; Groschup and Grosse, 2015). Unfortunately, these methods intrinsically have several problems. Especially, microphone arrays have a low spatial resolution because the pitch of the microphones of the array is several millimeters (or more), and the presence of microphones may affect the acoustic field to be investigated.

In order to overcome these limitations, optical techniques for measuring the acoustic field have been reported, for example, imaging with schlieren (Hargather et al., 2010), laser Doppler velocimetry (LDV) (Frank and Schell, 2005; Malkin et al., 2014), acousto-optic tomography (AOT) (Torrás-Rosell et al., 2012), or laser feedback interferometry (LFI) (Bertling et al., 2014; Ortiz et al., 2018). Although schlieren simultaneously yields a collection of data points, its sensitivity to the air fluctuation due to acoustic wave is overall reduced because the approach is not directly sensitive to the refraction index of the medium. LDV, AOT and LFI are intrinsically sensitive to the refractive index of the medium in which the acoustic waves do propagate, but they require scanning to get a collection of data points. That makes these approaches less attractive than alternatives able to directly provide full-field data. Indeed, data acquisition and processing may be a critical issue for high-speed applications. Digital holography is a potential approach that can quantitatively measure the three-dimensional distribution of the refractive index of any transparent specimen or medium. This method takes a particular place because it records and retrieves the phase of the optical waves

interacting with the medium (Schnars and Jüptner, 1994; Picart, 2015). Since the optical phase is closely related to the optical path difference, it includes the variation in the refractive index experienced by the light beam having crossed the acoustic field. Recent works reported the use of phase shifting digital holography (Yamaguchi and Zhang, 1997; Yamaguchi et al., 2002) to investigate acoustic waves (Matoba et al., 2014; Ishikawa et al., 2016; Ishikawa et al., 2018; Rajput et al., 2018; Ruiz et al., 2019; Takase et al., 2021; Hashimoto et al., 2022). Using off-axis digital holography (Picart, 2015), other authors reported the quantitative investigation of the acoustic field in acoustic wave guides (Penelet et al., 2016; Gong et al., 2018). However, acoustic wave guides are a particular case and the case of free space acoustic fields has to be investigated.

In this paper, we aim at demonstrating the proof-of-concept of simultaneous full-field and multi-view imaging of acoustic field in the free space using digital color holography and a single monochromatic high-speed sensor. The simultaneous acquisition of the necessary set of data is thus realized “single shot” and then numerical process yields images of both the amplitude and phase of the acoustic field along three different directions of observation. This has for advantage of permitting consistent and rapid data acquisition. The paper is organized as follows. **Section 2** presents the theoretical basics of digital holography and spatio-chromatic multiplexing of holograms, **Section 3** presents the amplitude and phase retrieval of the acoustic field along each view and discusses on the distortion in the measurement and **Section 5** discusses on the experimental results obtained with the proposed method. Finally, **Section 6** draws conclusions about the study.

2 THEORETICAL BASICS

2.1 Off-Axis Hologram Recording and Reconstruction

In this paper, one considers off-axis digital holograms. This approach is the most adapted to dynamic events, especially to the investigation of acoustic fields which are time-varying. Recording a single hologram per time instant is powerful for carrying out high-speed data acquisition. At the sensor plane, the reference wave \mathcal{R} is mixed with the wave \mathcal{O} from the probed area to produce the digital hologram, expressed as,

$$\mathcal{H} = |\mathcal{R}|^2 + |\mathcal{O}|^2 + \mathcal{R}^* \mathcal{O} + \mathcal{R} \mathcal{O}^*. \quad (1)$$

Note that term $\mathcal{R}^* \mathcal{O}$ refers to the +1 order of the hologram, and is considered in this paper. In the off-axis arrangement, the reference wave impacts the image sensor with an angle. Thus, the reference wave can be written as follows (a_λ is considered as constant and (u_λ, v_λ) denotes spatial frequencies, at wavelength λ):

$$\mathcal{R}(x, y) = a_\lambda \exp(2i\pi(u_\lambda x + v_\lambda y)). \quad (2)$$

The recovery of the complex-valued amplitude of the image of the object is obtained by filtering the +1 order in the Fourier spectrum of the hologram. Filtering can be written as follows

to yield the recovered complex image (FT means Fourier transform):

$$A_r = a_r \exp(i\varphi_r) = \mathcal{R}^* \mathcal{O} \simeq FT^{-1} [FT[\mathcal{H}] \times G]. \quad (3)$$

Equation 3 is a convolution formula, and the transfer function G is given by the bandwidth-limited angular-spectrum transfer function in the Fresnel approximation:

$$G(u, v) = \begin{cases} \exp(-i\pi d_r \lambda ((u - u_\lambda)^2 + (v - v_\lambda)^2)) \\ \text{if } |u - u_\lambda| \leq \Delta u_\lambda / 2 \text{ and } |v - v_\lambda| \leq \Delta v_\lambda / 2 \\ 0 \text{ if not.} \end{cases} \quad (4)$$

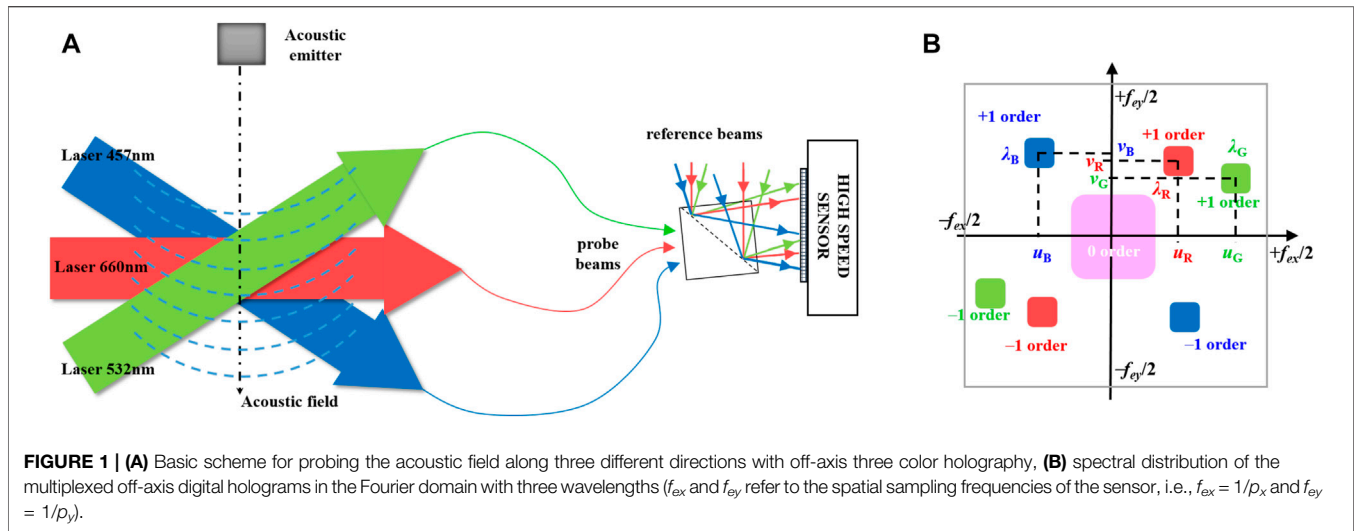
In **Equation 4**, $(\Delta u_\lambda, \Delta v_\lambda)$ are the cut-off spatial frequencies for wavelength λ , and d_r is the refocus distance between the sensor and the area of interest. The spatial bandwidth of the filtering must be adapted to the extension of the +1 order to be filtered, and not too large in order to avoid oversensitivity to noise. In (Gong et al., 2021), the noise standard deviation of the phase φ_r was demonstrated to be depending on the spatial bandwidths according to **Eq. 5** (with the hypothesis of white noise),

$$\sigma_\varphi = \frac{2\sqrt{p_x p_y \Delta u_\lambda \Delta v_\lambda}}{m \alpha N_{sat}} \sqrt{\alpha N_{sat} + \sigma_{ro}^2 + \frac{N_{sat}^2}{12(2^{nb} - 1)^2}}, \quad (5)$$

where (p_x, p_y) are the pixel pitches of the sensor, m the modulation of the hologram, α the saturation rate of the hologram, N_{sat} is the maximum number of photo-electrons in the pixels, σ_{ro} is the read out noise and nb is the number of bits of the sensor. So, in order to minimize the noise in phase data, one has to increase m , α and to minimize $(\Delta u_\lambda, \Delta v_\lambda)$. It follows that $(\Delta u_\lambda, \Delta v_\lambda)$ have to be carefully chosen.

2.2 Multiplexed Three-Wavelength Digital Holography

Multi-view digital holography was discussed in literature and several architectures were proposed and demonstrated to be quite efficient for information encoding (Seo et al., 2007; Shaked et al., 2009; Shimobaba et al., 2010; Takaki, 2015; Ren et al., 2019). In this paper, we aim at considering multi-color digital holography. The use of three-wavelength digital holography has advantages compared to single-wavelength holography because it makes it possible to multiplex data from different sight views of the volume under interest. Having three laser beams at three different wavelengths generating three probe beams and three reference beams enable simultaneously recording the complex-valued data from three different sight-views in a single three-color hologram. The basic principle is depicted in **Figure 1A** with three laser beams propagating in the measurement volume along three different directions, and the probe beams being then combined with the three reference beams at the sensor plane. The reference waves have different incident angles in order to provide different spatial frequencies (u_λ, v_λ) . **Figure 1B** illustrates the power spectrum density of the spatially-multiplexed three color hologram. Note that the simultaneous recording of three holograms at three different wavelengths has for consequence



to increase the sensitivity to noise. Indeed, the total number of photo-electrons available for one single hologram is now divided by three, to be equal to $N_{sat}/3$. Considering Eq. 5, σ_φ is thus increased.

2.3 From Optical Phase to Acoustic Pressure

The laser beam crossing the acoustic field generated by any emitter (refer to Figure 1B) is perturbed by the acoustic pressure at any point in the propagation medium. The phase φ_r is related to the refractive index $n_O(s, t)$ along the light path s according to the integral relationship Eq. 6.

$$\varphi_r = \frac{2\pi}{\lambda} \int_L (n_O(s, t) - n_R) ds, \quad (6)$$

with n_R the reference refractive index along the reference optical path. So, the holographic measurement provides an average value of the refractive index along the interaction distance L . With help of the Gladstone-Dale relationship, the phase is related to the fluid density (Merzkirch, 2012). The density of the fluid at the pixels (x, y) of the image of the probed area is given by Equation 7 according to:

$$\langle \rho \rangle(x, y, t) = \frac{2}{3\hat{r}} (\langle n_O \rangle(x, y, t) - n_R). \quad (7)$$

In Equation 7, $\hat{r} = 0.1505 \times 10^{-3} \text{ kg}^{-1} \cdot \text{m}^3$ is the specific refractivity of air measured at temperature 288 K and wavelength 660 nm (Merzkirch, 2012), which does not exhibit significant variation over the visible range of wavelengths. When air is submitted to the acoustic field, the air pressure fluctuates so that, holography measures, through the phase φ_r , the mean density $\langle \rho \rangle$ and the fluctuating component due to the propagation of the sound wave, $\langle \rho' \rangle$, included in the fluctuating part of phase, φ_r' . With the assumption of adiabatic process, the fluctuating part of the density is related to the pressure fluctuation, p' , according to (c_0 is the sound velocity in air) (Pierce and Beyer, 1990):

$$p' = \langle \rho' \rangle c_0^2 = \frac{2}{3\hat{r}} \frac{\lambda c_0^2}{2\pi L} \varphi_r'. \quad (8)$$

Note that in Equations 6, 8, L is the length of the line of sight. So, the value of L must be known in order to convert holographic phase data to acoustic pressure. In addition, in case where the acoustic field is not organized as plane waves, the effect of the interaction length with the laser beam is not straightforward to evaluate and requires minimum knowledge about the acoustic field.

3 ACOUSTIC AMPLITUDE AND PHASE RETRIEVAL

3.1 Digital Synchronous Estimation

The optical phase extracted from the reconstructed object field, at any instant t , is given by,

$$\psi(t) = \varphi_r + \varphi_r'(t) = \varphi_r + \varphi_{ac} \sin(\omega_{ac} t + \phi_{ac}), \quad (9)$$

where φ_{ac} is the phase due to the acoustic fluctuation, and according to Eq. 8, is equal to:

$$\varphi_{ac} = \frac{3\hat{r}}{2} \frac{2\pi L}{\lambda} \frac{p_{ac}}{c_0^2}, \quad (10)$$

with p_{ac} is the maximum acoustic pressure at pulsation ω_{ac} (period is $T_{ac} = 1/f_{ac}$ and f_{ac} the acoustic frequency) and ϕ_{ac} is the acoustic phase. Considering a time-sequence at sampling rate $f_s = 1/T_s$, including n_h digital holograms, the phase difference between two consecutive instants $t_{n+1} = (n+1)T_s$ and $t_n = nT_s$ is thus,

$$\begin{aligned} \Delta\psi_n &= \psi(t_{n+1}) - \psi(t_n) \\ &= 2\varphi_{ac} \sin(\beta\pi) \cos(\phi_{ac}) \cos((2n+1)\beta\pi) \\ &\quad - 2\varphi_{ac} \sin(\beta\pi) \sin(\phi_{ac}) \sin((2n+1)\beta\pi). \end{aligned} \quad (11)$$

In Equation 11, $\beta = T_s/T_{ac}$. From Equation 11, both φ_{ac} and ϕ_{ac} can be retrieved by L2 norm minimization. Equation 11 can be

approached with matrices. So, matrix \mathbf{X} corresponds to known theoretical coefficients, vector $\Delta\psi$ includes the measured phase differences and vector \mathbf{y} includes the two unknown $(\varphi_{ac}, \phi_{ac})$ to be retrieved. We have $\mathbf{y} = [y_1 \ y_2]^T$ (upper script T meaning transpose matrix), with $y_1 = 2\varphi_{ac} \sin(\beta\pi) \cos(\phi_{ac})$ and $y_2 = 2\varphi_{ac} \sin(\beta\pi) \sin(\phi_{ac})$. From **Equation 11**, matrix \mathbf{X} is explicated according to:

$$\mathbf{X} = \begin{pmatrix} \cos(\beta\pi) & -\sin(\beta\pi) \\ \cos(3\beta\pi) & -\sin(3\beta\pi) \\ \cos(5\beta\pi) & -\sin(5\beta\pi) \\ \dots & \dots \\ \dots & \dots \\ \dots & \dots \\ \cos((2n_h+1)\beta\pi) & -\sin((2n_h+1)\beta\pi) \end{pmatrix}. \quad (12)$$

The minimization of the $L2$ norm leads to the estimation of \mathbf{y} using the cost function $\mathbf{J} = (\Delta\psi - \mathbf{X}\mathbf{y})^T \mathbf{I} (\Delta\psi - \mathbf{X}\mathbf{y})$. Minimizing according to the calculation of $\partial\mathbf{J}/\partial\mathbf{y}$ yields the optimal solution $\mathbf{y}_{opt} = (\mathbf{X}^T \mathbf{I} \mathbf{X})^{-1} \mathbf{X}^T \mathbf{I} \Delta\psi$, with \mathbf{I} being the identity matrix. Finally, one gets $\phi_{ac} = \tan^{-1}(y_2/y_1)$ and $\varphi_{ac} = \sqrt{(y_1^2 + y_2^2)/2} \sin(\beta\pi)$. So, from the optical phase extracted from the hologram sequence can be retrieved the amplitude-phase (φ_{ac}) due to the acoustic fluctuation and its oscillating phase (ϕ_{ac}) . In the next sections, the method for acoustic amplitude and phase retrieval refers to *SoundRetrieval*.

3.2 Distortion in the Measurement

When recording the hologram sequence, the exposure time of the sensor has a major role in the accuracy of the retrieved amplitude and phase of the acoustic field. Indeed, the instantaneous hologram is time-integrated by the image sensor. Consider ΔT the exposure time, then the effectively recorded hologram is given by:

$$\mathcal{H}_{eff} = |\mathcal{R}|^2 + \int_{t_1}^{t_1+\Delta T} (|\mathcal{O}(t)|^2 + \mathcal{R}^* \mathcal{O}(t) + \mathcal{R} \mathcal{O}^*(t)) dt. \quad (13)$$

The temporal integration in **Equation 13** can be derived considering the acoustic fluctuation in **Equation 9**, so that we have:

$$\begin{aligned} & \int_{t_1}^{t_1+\Delta T} \exp(i\varphi_{ac} \sin(\omega_{ac}t + \phi_{ac})) dt \\ &= \Delta T \sum_k J_k(\varphi_{ac}) \text{sinc}\left(k\omega_{ac} \frac{\Delta T}{2}\right) \exp\left(ik\left(\omega_{ac}t_1 + \phi_{ac} + \frac{\omega_{ac}\Delta T}{2}\right)\right). \end{aligned} \quad (14)$$

Since the sinc function can be expanded as:

$$\begin{aligned} \text{sinc}\left(k\pi \frac{\Delta T}{T_{ac}}\right) &= 1 + P\left(k\pi \frac{\Delta T}{T_{ac}}\right) \\ P(x) &= \sum_{n=1}^{n=\infty} (-1)^n \frac{x^{2n}}{(2n+1)!}, \end{aligned} \quad (15)$$

it follows that the time-averaging of the acoustic amplitude can be rewritten,

$$\begin{aligned} & \int_{t_1}^{t_1+\Delta T} \exp(i\varphi_{ac} \sin(\omega_{ac}t + \phi_{ac})) dt \\ &= \Delta T \exp\left(i\varphi_{ac} \sin\left(\omega_{ac}t_1 + \phi_{ac} + \frac{\omega_{ac}\Delta T}{2}\right)\right) + \Delta T q \exp(i\gamma), \end{aligned} \quad (16)$$

where q and γ both depend on the expansion of the sinc function according to:

$$\gamma = \arg \sum_k J_k(\varphi_{ac}) P\left(k\pi \frac{\Delta T}{T_{ac}}\right) \exp\left(ik\left(\omega_{ac}t_1 + \phi_{ac} + \frac{\omega_{ac}\Delta T}{2}\right)\right), \quad (17)$$

and

$$q = \left| \sum_k J_k(\varphi_{ac}) P\left(k\pi \frac{\Delta T}{T_{ac}}\right) \exp\left(ik\left(\omega_{ac}t_1 + \phi_{ac} + \frac{\omega_{ac}\Delta T}{2}\right)\right) \right|. \quad (18)$$

From **Equations 3, 17, 18**, the phase extracted from the hologram and due to the time average of the acoustic pressure from the temporal integration of the sensor is given by:

$$\psi = \arg(A_r) = \varphi_r + \psi_{ac} - \tan^{-1}\left(\frac{q \sin(\psi_{ac} - \gamma)}{1 + q \cos(\psi_{ac} - \gamma)}\right), \quad (19)$$

with

$$\psi_{ac} = \varphi_{ac} \sin\left(\omega_{ac}t + \phi_{ac} + \frac{\omega_{ac}\Delta T}{2}\right). \quad (20)$$

Equation 20 shows that the phase from the hologram includes the expected phase fluctuation from acoustics and a phase error which depends on both the acoustic phase fluctuation and the ratio between ΔT and T_{ac} . The phase error is given by:

$$\psi_{err} = \tan^{-1}\left(\frac{q \sin(\psi_{ac} - \gamma)}{1 + q \cos(\psi_{ac} - \gamma)}\right). \quad (21)$$

The phase error can be high and may strongly distort the measured phase fluctuation. In order to illustrate the error generated by the temporal integration due to the exposure time, one considers those physical parameters: $f_{ac} = 40$ kHz, $p_{ac} = 20$ Pa, $\phi_{ac} = \pi/4$, $\Delta T = 10$ μ s, $\lambda = 660$ nm, $L = 50$ mm, and $c_0 = 340$ m/s. Then, the optical phase fluctuation amplitude due to acoustics is $\varphi_{ac} = 0.0185$ rad $\approx 2\pi/340$. The error is calculated using **Eq. 21** and the distortion ratio of the amplitude φ_{ac} is estimated at $\tau_{err} = 100 \times \psi_{err}/\varphi_{ac} = 24.3\%$.

Figure 2A shows the temporal acoustic oscillation with comparison with the exposure time and the acoustic period for the chosen parameters. In **Figure 2B** is displayed the comparisons between the acoustic oscillations in the ideal case (red curve, no distortion), the ideal case with phase shift $\omega_{ac}\Delta T/2$ (black curve, no distortion), and the acoustic oscillation distorted by the time-average of the exposure time (blue curve). The comparison between the simulated phase error by considering numerical integration of the ideal signal in **Figure 2A** and the theoretical expression (**Eq. 21**) is provided in **Figure 2C**. It can be observed that the theoretical expression very well fits the numerical estimation, thus validating the proposed theoretical

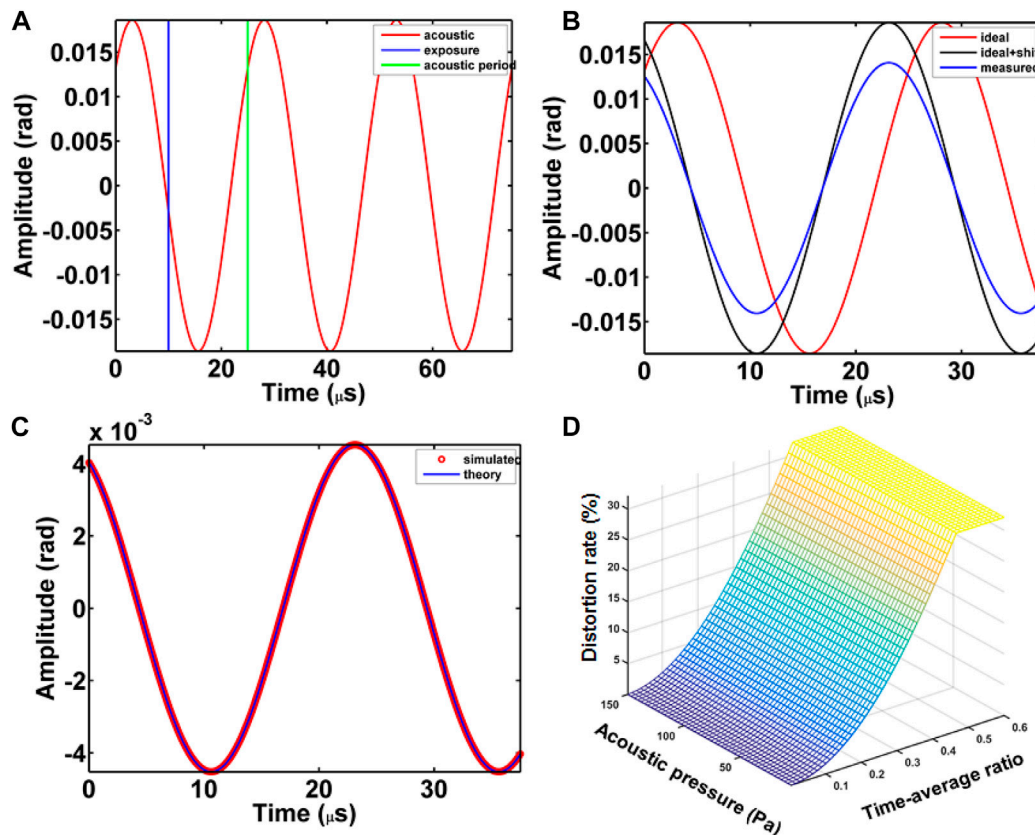


FIGURE 2 | (A) Temporal acoustic oscillation with comparison with the exposure time and the acoustic period for $f_{ac} = 40$ kHz, $\phi_{ac} = \pi/4$, and $\Delta T = 10$ μs , **(B)** comparisons of acoustic oscillations, red: ideal as in **(A)**, black: ideal with phase shift of $\omega_{ac}\Delta T/2$, blue: distorted by the time-average of the exposure time, **(C)** comparison between the simulated phase error and the theoretical expression from Eq. 20, **(D)** evolution of the distortion rate (%) versus the acoustic pressure from 5 to 150 Pa and the time-average ratio $\Delta T/T_{ac}$ for exposure time varying from 1 to 10 μs .

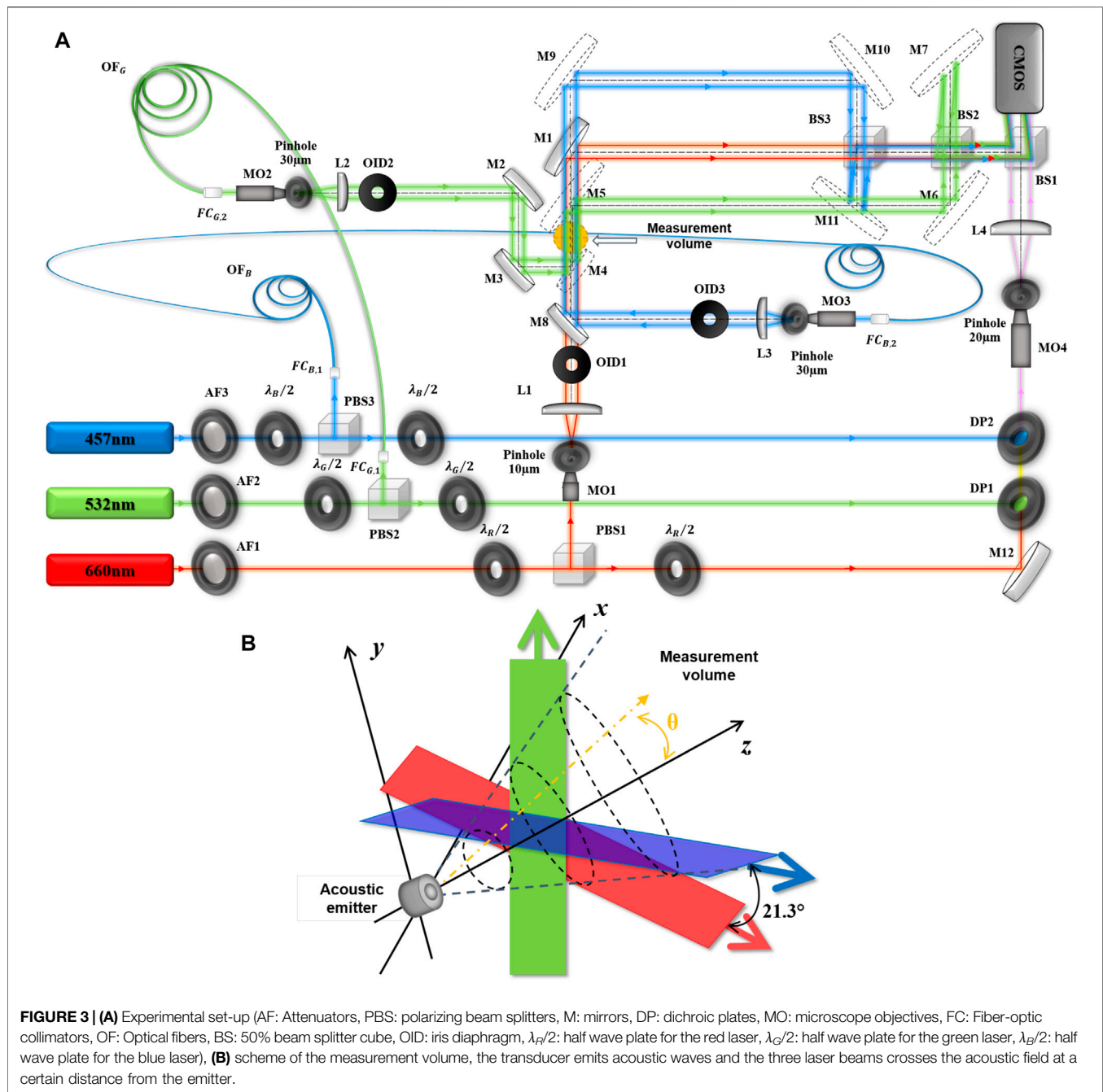
approach. When considering the variation of the acoustic pressure from 5 to 150 Pa and exposure time varying from 1 to 10 μs , the distortion rate plotted versus the time-average ratio $\alpha = \Delta T/T_{ac}$ is given in **Figure 2D**. It can be seen that the distortion rate mainly depends on the acoustic amplitude and that it may be larger than 30% for ratio $\Delta T/T_{ac} \approx 0.6$ and $P_{ac} = 150$ Pa. Note also that the measured acoustic oscillation includes a phase shift $\omega_{ac}\Delta T/2 = \alpha\pi$ compared to the emitted signal. This phase shift is irrelevant if α tends to 0, that is $\Delta T \ll T_{ac}$.

4 EXPERIMENTAL SET-UP

4.1 Three Color Digital Holographic Set-Up

The optical set-up is described in **(Figure 3)** Three continuous lasers at $\lambda_R = 660$ nm (300 mW), $\lambda_B = 457$ nm (150 mW) and $\lambda_G = 532$ nm (300 mW) are split into three reference beams and three illumination beams. Half wave plates for the three laser beams are used, namely, $\lambda_R/2$ the half wave plate for the red laser, $\lambda_G/2$ the half wave plate for the green laser, and $\lambda_B/2$ that for the blue laser. These are used to adjust, on one hand, the amount of power in the reference and probe beams, and on the other hand, to turn the polarisation in the R-G-B reference

beam so that interference may occur. The three reference beams are then combined into a single R-G-B beam using dichroic plates. The object volume is illuminated simultaneously along the different propagation directions by the red beam along the direct direction, “direct view”, along the orthogonal direction by the green beam, “orthogonal view”, and in one direction inclined from almost 21° for the blue beam, “tilted view”. Thus, three simultaneous illumination angles (0° , 21.31° , 90°) are produced. The measurement volume corresponds to the zone of space in which the three color laser probes are mixed. The volume is almost a sphere 20 mm in diameter localized at $d_r \approx -1,310$ mm from the sensor area. Each measurement and reference beam is spatially filtered and expanded to produce plane and smooth waves. Using beam splitter cubes, the six beams are recombined onto a high-speed camera (Photron SA-X2; $nb = 12$ bits) with a spatial resolution of $1,024 \times 1,024$ pixels at 12,500 fps. The pixel pitches of the sensor are $p_x = p_y = 20$ μm and the exposure time is set at $\Delta T = 1$ μs , in order to avoid distortion from the time-averaging. The off-axis recording is adjusted by tilting cubes in order to produce the three different carrier frequencies along each viewing direction. This has for consequence that the monochrome recorded hologram



includes three spatially multiplexed color holograms at each wavelength. It follows that the three color holograms can be separated in the Fourier spectrum of the digital hologram. The localized filtering with adapted spatial bandwidth (Δu , Δv) for each wavelength, permits to extract the complex images A_r along each view. Then, the temporal phase differences are calculated for each color and processed with the *SoundRetrieval* algorithm to get the amplitude and phase of the acoustic oscillation for each sight view. It follows that the set-up permits to simultaneously measure the amplitude and phase of the acoustic field along three different lines of views.

4.2 Acoustic Emitter Characterization

The acoustic field is generated using an acoustic emitter as an ultrasonic piezoelectric transducer (MA40S4S; diameter 9.9 mm). The transducer is localized at a few centimeter from the measurement volume and emits acoustic waves at frequency $f_{ac} = 40$ kHz and is driven by sinusoidal voltage (typ. 10V peak-to-valley). The acoustic wavelength is then $\lambda_{ac} = c_0/f_{ac} = 8.5$ mm. From the provider, the sound pressure may reach 120 dB in the far field (so almost 120 Pa). The characterization of the acoustic emitter is carried out using a microphone (GRAS 40BP 1/4" Ext. Polarized Pressure Microphone) which has a sensitivity

TABLE 1 | Sensitivities of the acoustic emitter MA40S4S at different distances.

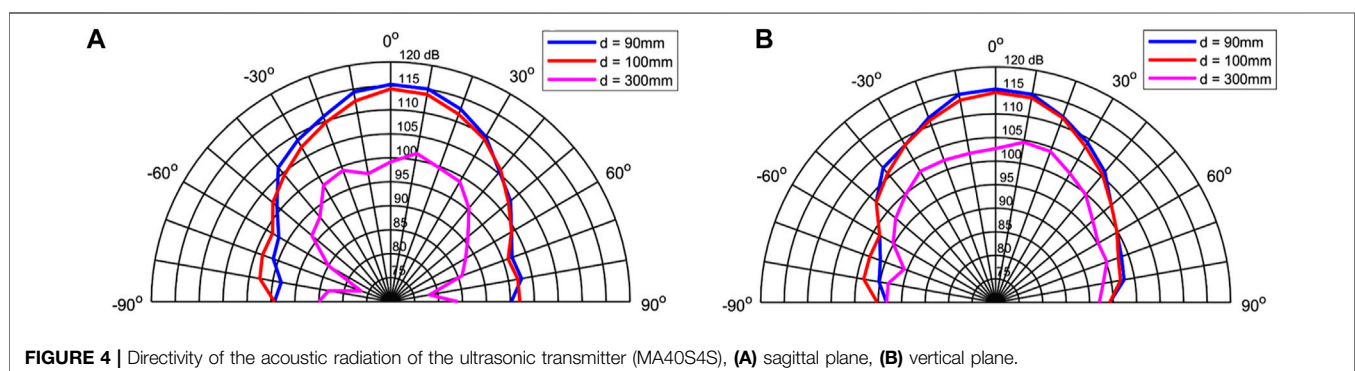
Distance (mm)	4	90	100	300
Sagital (dB)	1,050	136	126	43.2
Parallel (dB)	1,050	136	128	55.9

of 1.6 mV/Pa over a frequency range 4–70 kHz and a dynamic range of 34–169 dB. The microphone is powered by a NEXUS conditioning amplifier type 2692-C linked to an oscilloscope to measure the amplitude of the sound pressure oscillations. In order to measure the sound pressure, or the sensitivity S delivered by the ultrasonic transducer, as a function of the angle θ of emission, the transducer is mounted on one rotating header. The microphone is also mounted on a static support in front of the center of the acoustic emitter. The transducer header is adjusted so that angle $\theta = 0$ coincides with the maximum emission (acoustic signal is maximum). The sensitivity of the receiver is set at 1.651 mV/Pa. This value is considered as a reference acoustic pressure S_0 . **Table 1** gives the sensitivities calculated at different distances between the transmitter and the receiver in the sagital and parallel planes. The sensitivity is estimated by calculating $S(\text{dB}) = 20 \log \frac{S_v}{S_0}$, with S_v the measured microphone voltage at each emission angle. The sensitivities in **Table 1** reach almost the 1,050 dB, which is measured at a reference sound pressure equal to 10 V/Pa. Note that for measuring such high sound pressure close to the emitter, the excitation signal was lowered so as to avoid saturation from the microphone and then the pressure was estimated by assuming linear behavior of the microphone. The experimental radiation patterns of the acoustic wave from the transducer are shown in **Figures 4A,B**, both at 40 kHz. The measurements of the voltages are carried out in the sagital and parallel planes. As expected, the piezoelectric emitter produces an almost Lambertian radiation, with a strong directivity in the central axis for both distances $d = 90$ mm and $d = 100$ mm. By comparing the measurements presented in the technical sheet carried out in an anechoic chamber and those presented in **Figures 4A,B**, we observe a relative error of 13.3% for the sensitivity measured at a distance $d = 90$ mm at both sagital and parallel planes. That is almost acceptable considering that our measurements were not realized in a perfectly controlled acoustic environment.

5 EXPERIMENTAL RESULTS

5.1 Spatio-Chromatic Multiplexed Hologram and Related Power Spectrum Densities

Figure 5A shows the recorded three-color digital hologram with exposure time set at 1 μs . In **Figure 5B**, a zoom of the digital hologram exhibits the fine structure of the hologram where the micro fringes related to each of the individual R, G and B colors are mixed to produce a kind of mosaic. The color bar in **Figure 5A** relates to gray levels of the digital hologram. The sensor has $nb = 12$ bits quantization, so the maximum value of the gray levels is 4095. In **Figure 5A**, the maximum value of the color bar is around 1000. It follows that digital holograms occupy almost 1/4 of the full sensor dynamics. In **Figure 5C**, the spatial frequency power spectrum density is displayed. The different orders along each color can be observed and they are marked with squares and related R-G-B letter. As can be seen, the +1 orders can be easily filtered since they are well separated in the power spectrum. The spatial frequencies of the centers of each order are $(u_R, v_R) = (-17.76, -12.55) \text{ mm}^{-1}$ for the red laser, $(u_G, v_G) = (+20.74, -18.66) \text{ mm}^{-1}$ for the green one and $(u_B, v_B) = (+5.32, -15.52) \text{ mm}^{-1}$ for the blue laser. The filtering bandwidth according to **Eq. 4** depends on the laser beam it follows that for the set-up, they were chosen to be $\Delta u_R = \Delta v_R = 1.11 \text{ mm}^{-1}$, $\Delta u_G = \Delta v_G = 2.17 \text{ mm}^{-1}$ and $\Delta u_B = \Delta v_B = 0.83 \text{ mm}^{-1}$ respectively for the R-G-B beams. From the average amplitude of the +1 and 0 orders extracted from the numerical processing, along each color, the average modulation and saturation rate of the holograms can be estimated. For the red beam, $(m, \alpha) = (66, 7.6)\%$, for the green beam, $(m, \alpha) = (4.1, 6.1)\%$ and for the blue one, $(m, \alpha) = (21, 3)\%$. As can be seen, the G hologram has the weakest modulation and this increases its sensitivity to noise, whereas the two others have reasonable modulation although the optimal modulation would be close to 1. The reason for average modulations is not clear in the set-up, although the beam polarisation and optical path difference are well managed. The B beam is saturated at only 3% and this is due to lack of laser power. Overall, the saturation rate of the three beams does not exceed 10%. Ideally, that would be better to be close to $\alpha \approx 1/6$, that is almost 16%. But considering the exposure time at 1 μs and the available average power per



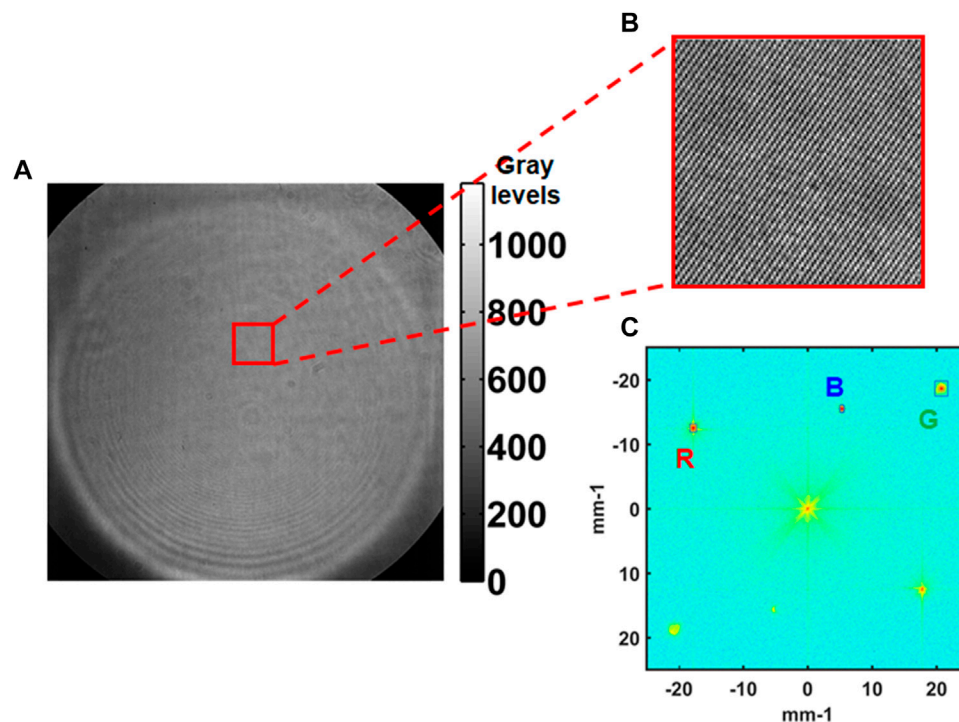


FIGURE 5 | (A) Recorded three color hologram, **(B)** zoom of the hologram exhibiting the fine structure due to the spatio-chromatic multiplexing of the three R, G and B holograms, **(C)** power spectrum density of the three color hologram with the diffraction orders and the spatial bandwidth of the filtering; the useful +1 orders are framed by squares which correspond to the spatial filtering bandwidth.

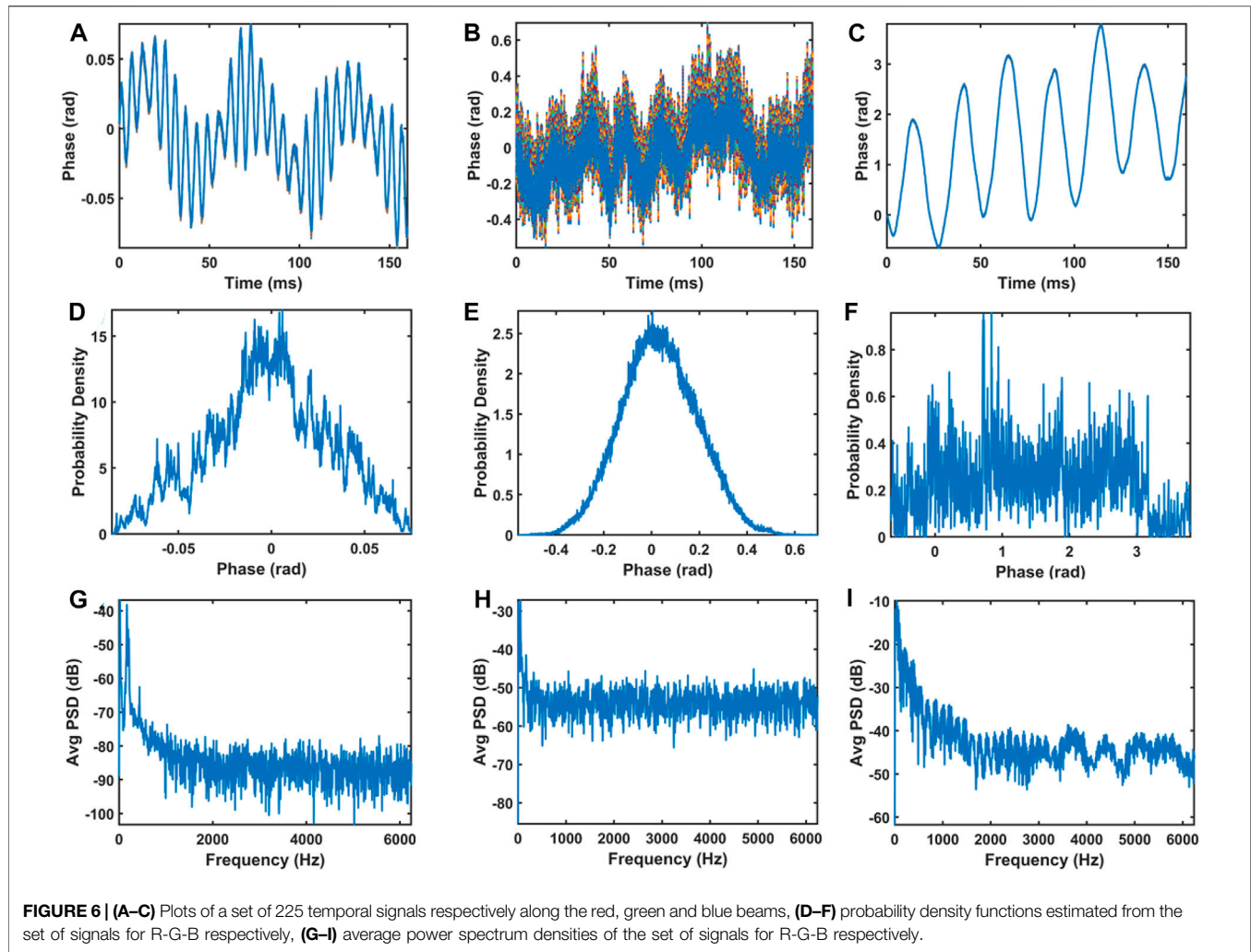
channel (< 300 mW), such ratio is not reachable. Using the values of (m, α) and Eq. 5, the estimation of the standard deviation of noise can be given and correlated with the experimental estimation. This point is discussed in the next section.

5.2 Phase Noise Characterisation

The phase noise is characterized by recording a time sequence of digital color holograms without any acoustic wave in the measurement volume. Separately, in order to check the noise amount for each wavelength, a sequence of monochromatic holograms with duration 160 ms was recorded at frame rate 12,500 Hz (exposure time at 1 μ s) to yield almost 2000 digital holograms. Then, for each R-G-B sequence, the phase differences $\psi(t)$ are calculated and the standard deviations of noise are estimated. A region of 15×15 pixels at the center of the fields of view was selected to yield 225 temporal signals. The probability density function of the 225 signals is estimated. The power spectrum density $[S_\lambda(\nu)]$ of each signal was calculated using fast Fourier transforms (Oppenheim, 1999), and then averaged to yield $(\hat{S}_\lambda(\nu))$. The standard deviation of noise over a spectral bandwidth was calculated according to:

$$\sigma_n = \int_{f_1}^{f_2} \hat{S}_\lambda(\nu) d\nu. \quad (22)$$

Figure 6 summarizes the results obtained for the three beams. In Figures 6A–C, the plots of the 225 temporal signals respectively along the R, G and B wavelength are displayed. The plots clearly show that the phase fluctuation is the smallest in the R beam, whereas it is larger for the G, and more than π rad for the B beam. The R and B signals show that the noise fluctuations include deterministic parts whereas the G beam seems to be more randomly distributed. This is confirmed when considering Figures 6D–F which exhibit the probability density functions estimated from 225 signals for the R-G-B beams respectively. The G probability density function exhibits Gaussian shape, and none of the two others. The strong parasitic oscillation observed in the B channel is unexplained. In Figures 6G–I are plotted the average power spectrum densities of the set of signals for R-G-B respectively. As intuited by the temporal signals, the G spectrum is more related to white noise than the two others. According to these observations, the amount of pure noise can be estimated from both Eq. 5 and the averaged power spectrum densities. For the estimated values of (m, α) and $(\Delta u_\lambda, \Delta \nu_\lambda)$ for the R-G-B holograms, with $N_{sat} = 16,000$ electrons, $\sigma_{ro} = 27$ electrons, $nb = 12$ bits, the theoretical standard deviation of noise was calculated to $\sigma_{\phi,R} = 0.0027$ rad, $\sigma_{\phi,G} = 0.088$ rad and $\sigma_{\phi,B} = 0.011$ rad. The experimental standard deviation of noise was estimated with Eq. 22 for the bandwidth almost corresponding to white noise, between $f_1 = 4,500$ Hz and $f_2 = 6,000$ Hz, leading



to $\sigma_{\phi, R-\text{exp}} = 0.0024$ rad, $\sigma_{\phi, G-\text{exp}} = 0.094$ rad and $\sigma_{\phi, B-\text{exp}} = 0.24$ rad. So, it follows that for the R and G beams, the theoretical estimations are almost close to the experimental values in the white noise hypothesis. However, for the B beam, the difference is high because the hypothesis is broken since the bandwidth in the range (4,500, 6,000) Hz is not as flat as expected.

5.3 Multi-Views of the Acoustic Field

The acoustic transducer was excited at 40 kHz and placed at different distances from the measurement volume, that are $d = 0$ mm, $d = 90$ mm, $d = 100$ mm and $d = 200$ mm. For distance $d = 0$ mm, that is the transducer is close to the measurement volume, the acoustic pressure was measured with the microphone at almost 1,050 Pa. Temporal sequences of digital color holograms were recorded with frame rate at 12.5 kHz and exposure time at 1 μ s. At this frame rate, matrices of 1024×1024 pixels are recorded and $\alpha = 0.04$. With $f_{ac} = 40$ kHz, the Shannon conditions for temporal sampling are not fulfilled, since the basic requirement would lead to sampling rate larger than 80 kHz. However, at 80 kHz,

the spatial resolution would be drastically reduced. In order to keep a good spatial resolution at 1024×1024 pixels, the frame rate was voluntarily chosen less than the acoustic frequency. This requires to make adjustments of the parameters of the *SoundRetrieval* algorithm. Especially, since aliasing occurs, the acoustic frequency is observed at $f'_{ac} = 2500$ Hz in the power spectrum density. Considering **Figure 6**, the noise bandwidth becomes to be almost flat in this frequency band thus enabling the measurement of the acoustic frequency. Practically, one has to consider a virtual sampling period that depends on the ratio between the acoustic frequency and the actual sampling frequency, according to $T'_s = T_s - k_s \times T_{ac}$, with $k_s = \text{floor}(f_{ac}/f_s)$ (*floor* (...) meaning lower rounding). So, this yields the new virtual sampling frequency $f'_s = 1/T'_s$ that is required for the *SoundRetrieval*. With the experimental parameters, we have $k_s = 3$, $T'_s = 5 \times 10^{-6}$ s, $f'_s = 200$ kHz to be injected in the sound retrieval method.

It follows from the previous section that the distortion rate is estimated 0.26% for $\alpha = 0.04$ and phase amplitude ranging from 0.01 to 0.04 rad. So, it appears that for this range of α value and measured phase amplitude, there is no specific requirement for

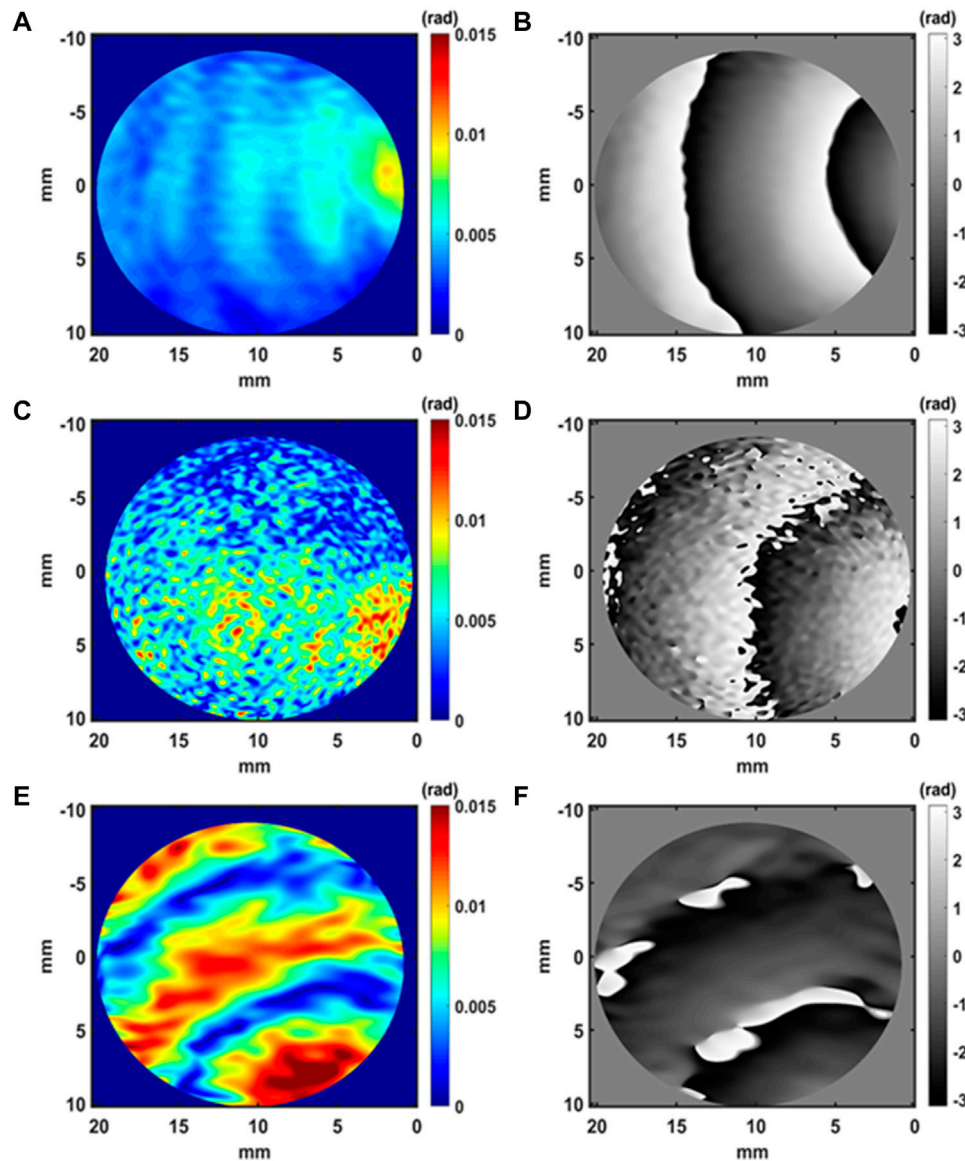


FIGURE 7 | Amplitude and phase of the acoustic field at 0 mm, **(A,B)** along the R view, **(C,D)** along the G view, **(E,F)** along the B view.

compensating for the distortion due to the time-average of the exposure time.

Figures 7A,C,E, 8A,C,E, 9A,C,E, 10A,C,E show the measured acoustic amplitude for respectively the red, green and blue channels for the excitation at $f_{ac} = 40$ kHz. The acoustic amplitude is expressed in radians rather than in pressure units. This point will be discussed in the next section. **Figure 7B,D,F, 8B,D,F, 9B,D,F, 10B,D,F** show the measured acoustic phase for respectively the red, green and blue channels. In **Figures 7, 8, 9, 10**, one clearly observes dark and bright fringes that demonstrate the existence of the acoustic wave in the free field. The acoustic wave is spherical when the transducer is close to the measurement volume (**Figure 7**). Then, it becomes to be plane

wave far from the emitter as in **Figure 8, 9, 10**. Note that, the image quality along the G and B channels are lower than for the R channel and this in close correlation with **Figure 6**.

If one considers **Equation 10**, then we can estimate the interaction length of the laser beam in the acoustic field as,

$$L = \frac{2\lambda}{3\hat{r}} \frac{c_0^2}{p_{ac}} \frac{\varphi_{ac}}{2\pi} \quad (23)$$

With the R channel at distance $d = 0$ mm, we can consider the measured value at $\varphi_{ac} \approx 0.010$ rad (**Figure 7A**). With the physical parameters, the estimated value of the interaction length is $L \approx 0.5$ mm. From **Figure 7A** we can estimate that the acoustic field

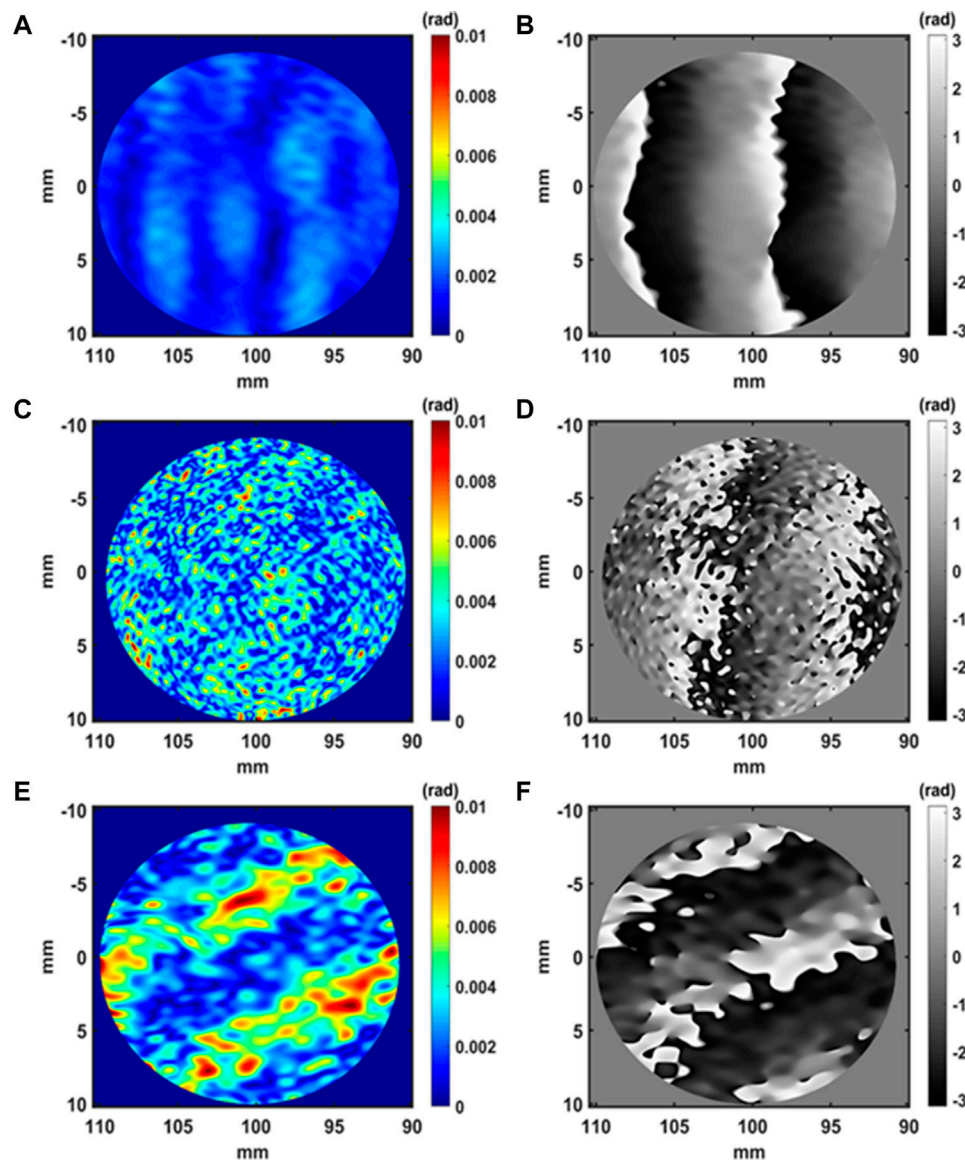


FIGURE 8 | Amplitude and phase of the acoustic field at 90 mm, (A,B) along the R view, (C,D) along the G view, (E,F) along the B view.

seems to be extended on a width quite larger than L , at almost ≈ 4 – 5 mm. Thus, this result obtained by the holographic method seems to be not in agreement with the microphone measurement in the sound field. With **Figure 9A**, one can estimate that $\varphi_{ac} \approx 0.0040$ rad. With the microphone at the center of the field at distance $d = 100$ mm, $P_{ac} = 126$ Pa, and one can then estimate $L \approx 1.07$ mm. We see that the estimated interaction distance increases, which is expected since the transducer produces a divergent wave. However, considering the strong divergence of the acoustic beam, this value is probably not correct, as for distance $d = 0$ mm.

The maximum values of the acoustic amplitudes measured for the R, G and B at the different distances from the measurement

volume (0, 90 mm, 100 mm, 200 mm) are presented in **Table 2**. This table requires a few comments. The amplitudes measured along the R-G-B channels follow a similar trend, except for channel B at distance 200 mm. Indeed, the measured amplitudes decrease with distance, which is expected for a spherical wave. For channel B at 200 mm, it is likely that the measurement is strongly influenced by noise because it should be lower than measurements at other distances, which is not the case. The measurements along G follow a consistent pattern although the maps of **Figures 7–10** appear to be the noisiest of all the measurements.

In order to investigate the noise contribution at $f_{ac} = 40$ kHz, the *SoundRetrieval* algorithm was applied to the noise sequence

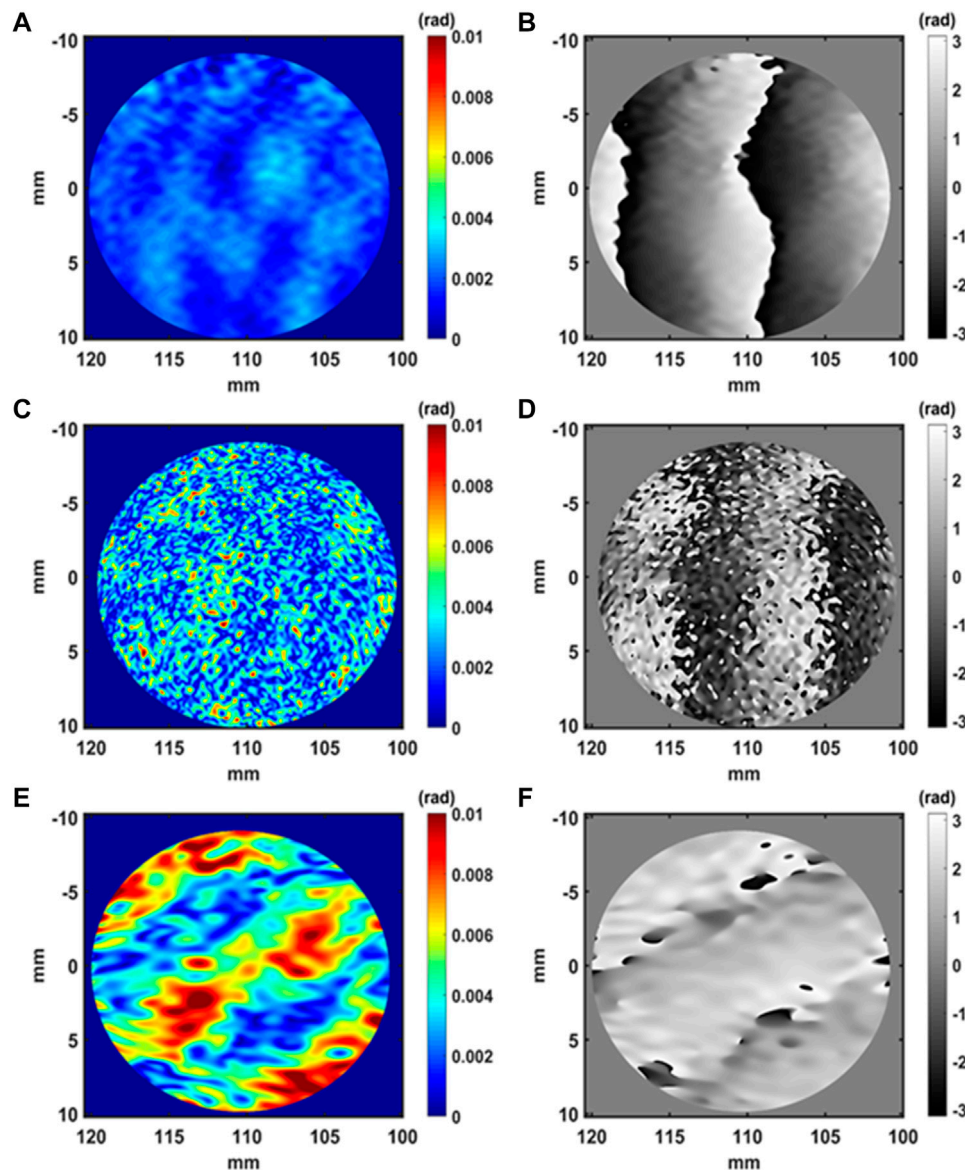


FIGURE 9 | Amplitude and phase of the acoustic field at 100 mm, (A,B) along the R view, (C,D) along the G view, (E,F) along the B view.

from the previous section. **Figure 11** shows the amplitude and phase of the noise contribution at 40 kHz for the three R-G-B channels. The standard deviations along each noise amplitude map were estimated to $\sigma_R = 8.23 \times 10^{-6}$ rad, $\sigma_G = 4.63 \times 10^{-4}$ rad, and $\sigma_B = 4.7 \times 10^{-5}$ rad. As mentioned before the red channel provides the lowest noise contribution to the acoustic measurement.

In **Figures 7–10**, phase jumps are observed in the phase map of the acoustic field. These indicate that each phase jump corresponds to change in the sign of the acoustic oscillation, in close relation with the acoustic wavelength as depicted in **Figure 12A**. **Figure 12B** plots the profile of the acoustic phase

along the horizontal direction in the R measurement. From that, the acoustic wavelength is estimated to $\lambda_{ac-exp} \approx 8.86$ mm and is close to the theoretical $\lambda_{ac} = 8.5$ mm. So the two wavelengths are in good agreement, confirming that the acoustic field is well measured by the holographic imaging system.

6 DISCUSSION

In this section, the acoustic field is recovered according to the theoretical basics described previously, that is integrated along the line of view of the laser beam. In order to qualitatively

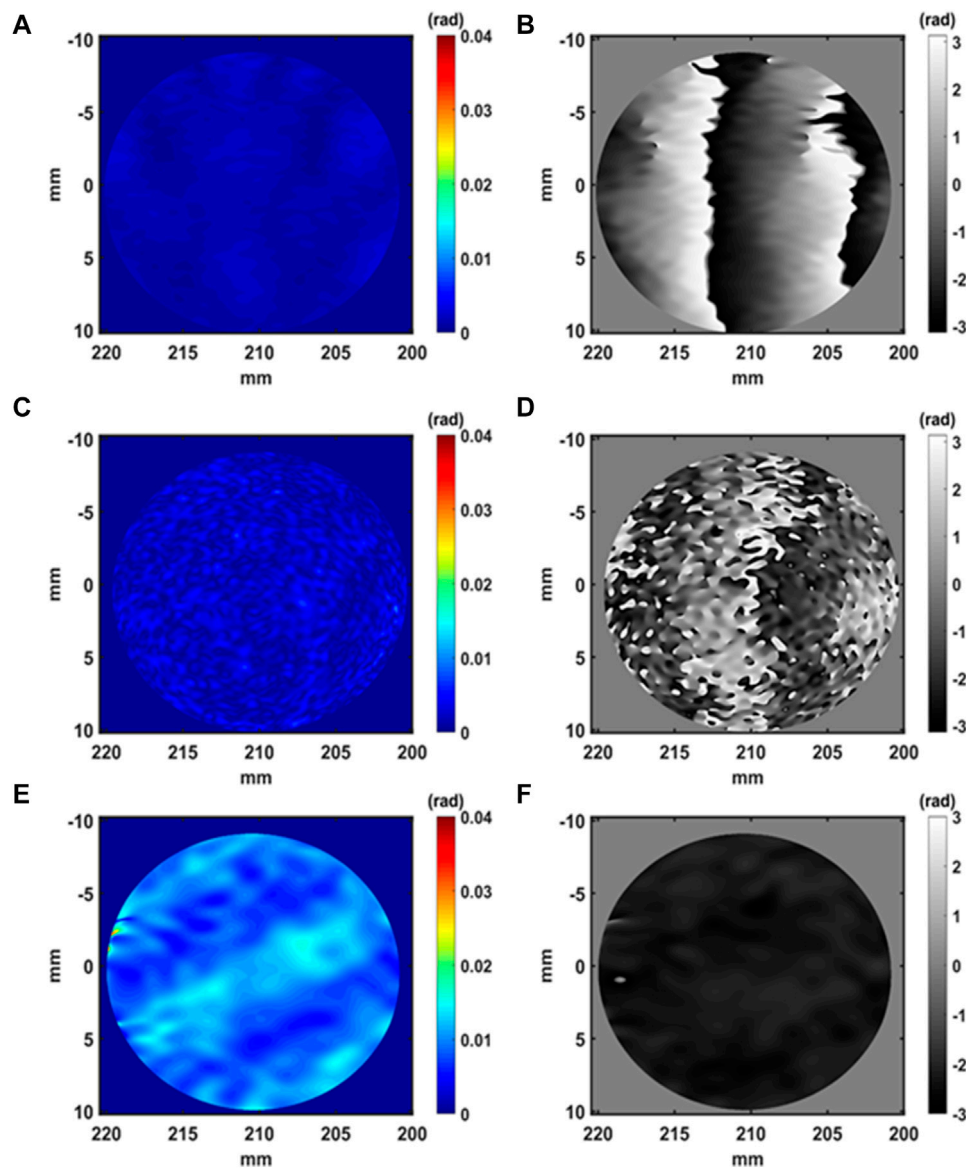


FIGURE 10 | Amplitude and phase of the acoustic field at 200 mm, (A,B) along the R view, (C,D) along the G view, (E,F) along the B view.

TABLE 2 | The maximum values of the acoustic amplitudes measured for the R, G and B views at different distances from the measurement volume.

Distance (mm)	0	90	100	200
Maximum amplitude R (rad)	0.010	0.003	0.0034	0.0031
Maximum amplitude G (rad)	0.0156	0.011	0.0106	0.0094
Maximum amplitude B (rad)	0.019	0.0127	0.0116	0.0334

appraise the effect of the integration along the optical path and to evaluate the relevance of the results obtained in the previous section, simulations were carried out. The aim is to investigate the

amplitude and the phase of the acoustic field when considering realistic simulations as close as possible to the experiments of the paper. The case of interest is that of non-plane acoustic wave. In the case of plane waves in an acoustic wave guide, the correspondence between the actual phase and the measured one is straightforward (Penelet et al., 2016; Gong et al., 2018; Gong et al., 2021). The simulation of the acoustic field was carried out for transducer as a rigid piston in a baffle with radius $a = 4.95$ mm, and executing harmonic oscillations at frequency $f_{ac} = 40$ kHz. The theoretical relation describing the acoustic field is given in Eq. 24 (Pierce and Beyer, 1990),

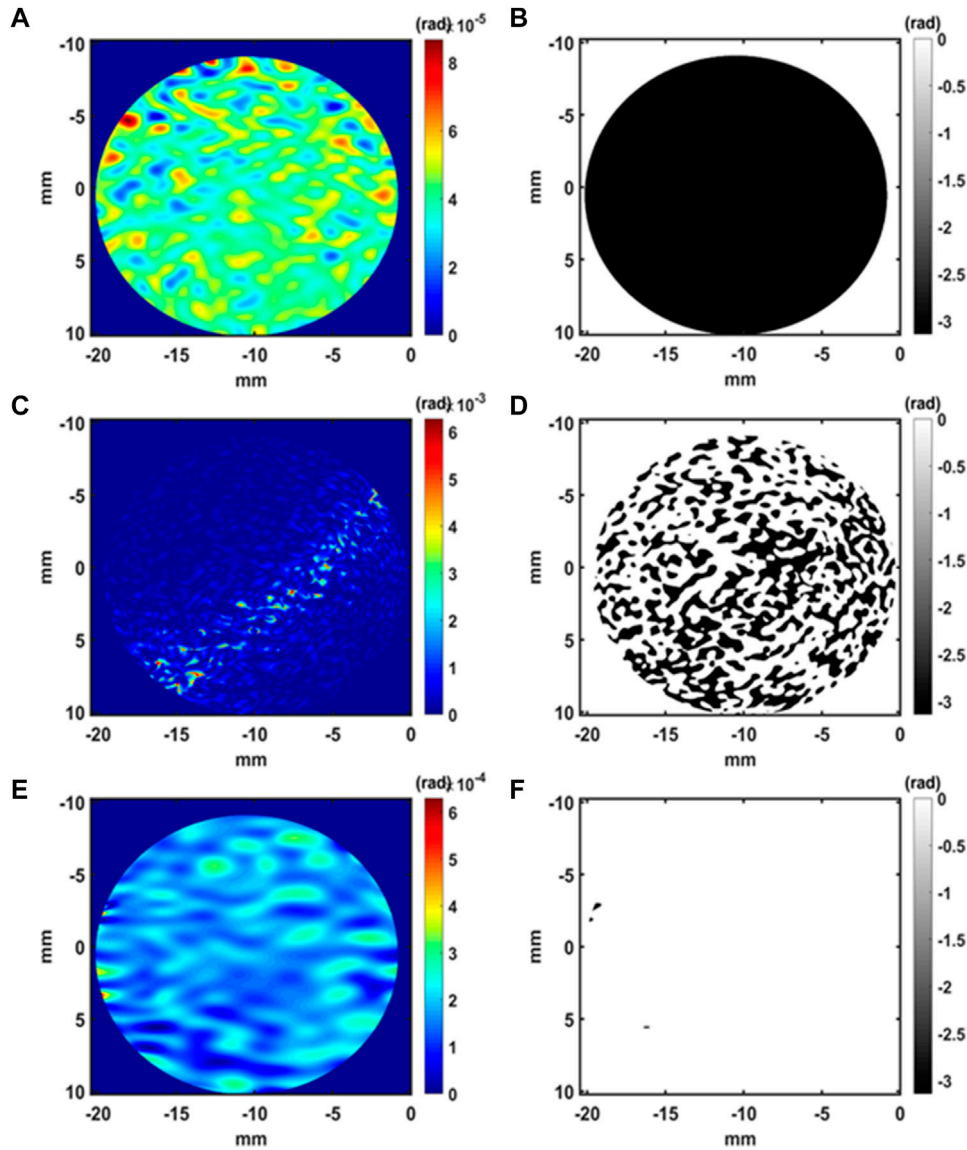


FIGURE 11 | Amplitude and phase of the at $f_{ac} = 40$ kHz, **(A,B)** along the R view, **(C,D)** along the G view, **(E,F)** along the B view.

$$p_{ac} \propto \frac{\exp(ik_{ac}r)}{r} \frac{J_1(k_{ac}a \sin(\theta))}{k_{ac}a \sin(\theta)}, \quad (24)$$

with $k_{ac} = 2\pi/\lambda_{ac}$ the acoustic wave vector, $r = \sqrt{(x-x_0)^2 + (y-y_0)^2 + (z-z_0)^2}$, (x_0, y_0, z_0) the coordinates of the center of the acoustic emitter and θ the angle of the propagation direction (refer to **Figures 13A,B**). Here, the main propagation direction of the acoustic wave is oriented along z . The simulation considers the length of integration at $L = 61.4$ mm and the measurement volume localized at $d = 90$ mm from the emitter. The width of the laser beam is 12.7 mm. **Figure 13** summarizes the simulation

principle and results. In **Figure 13A** the scheme of the acoustic emitter and of the amplitude of the acoustic field is depicted. The laser beam represented as a red line crossing the acoustic field is also shown. The length of the optical path is considered to be the length of the picture, almost 61.4 mm. In **Figure 13B** is represented the same scheme with the acoustic phase displayed. Phase jumps can be observed and the distance separating the phase jumps corresponds to the acoustic wavelength ($\lambda_{ac} = 8.5$ mm). **Figure 13C** shows the profile of the amplitude along the z direction and **Figure 13D** that of the phase. In **Figure 13E** the profile of the amplitude of the integrated acoustic field along the laser beam is shown, whereas **Figure 13F**

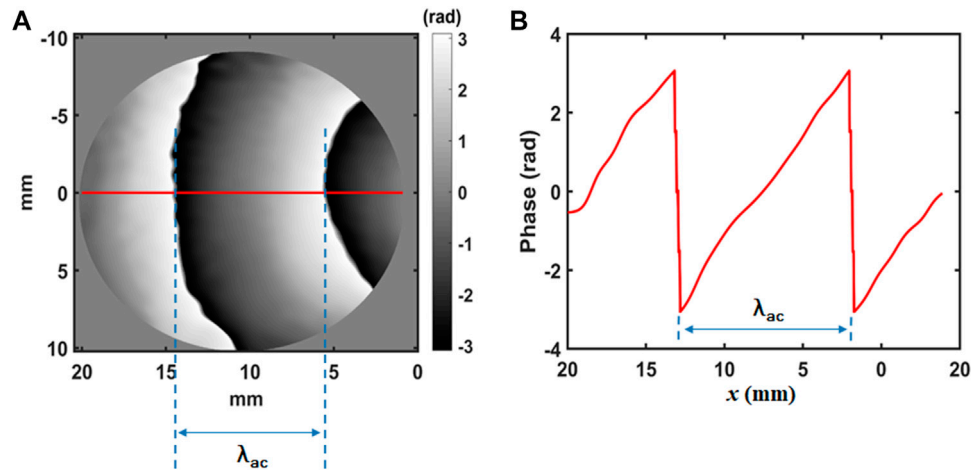


FIGURE 12 | Acoustic wavelength measured along the R view, **(A)** scheme of the phase of the acoustic field at distance 0 mm, **(B)** Phase profile of the acoustic field along the x direction for an excitation frequency of 40 kHz.

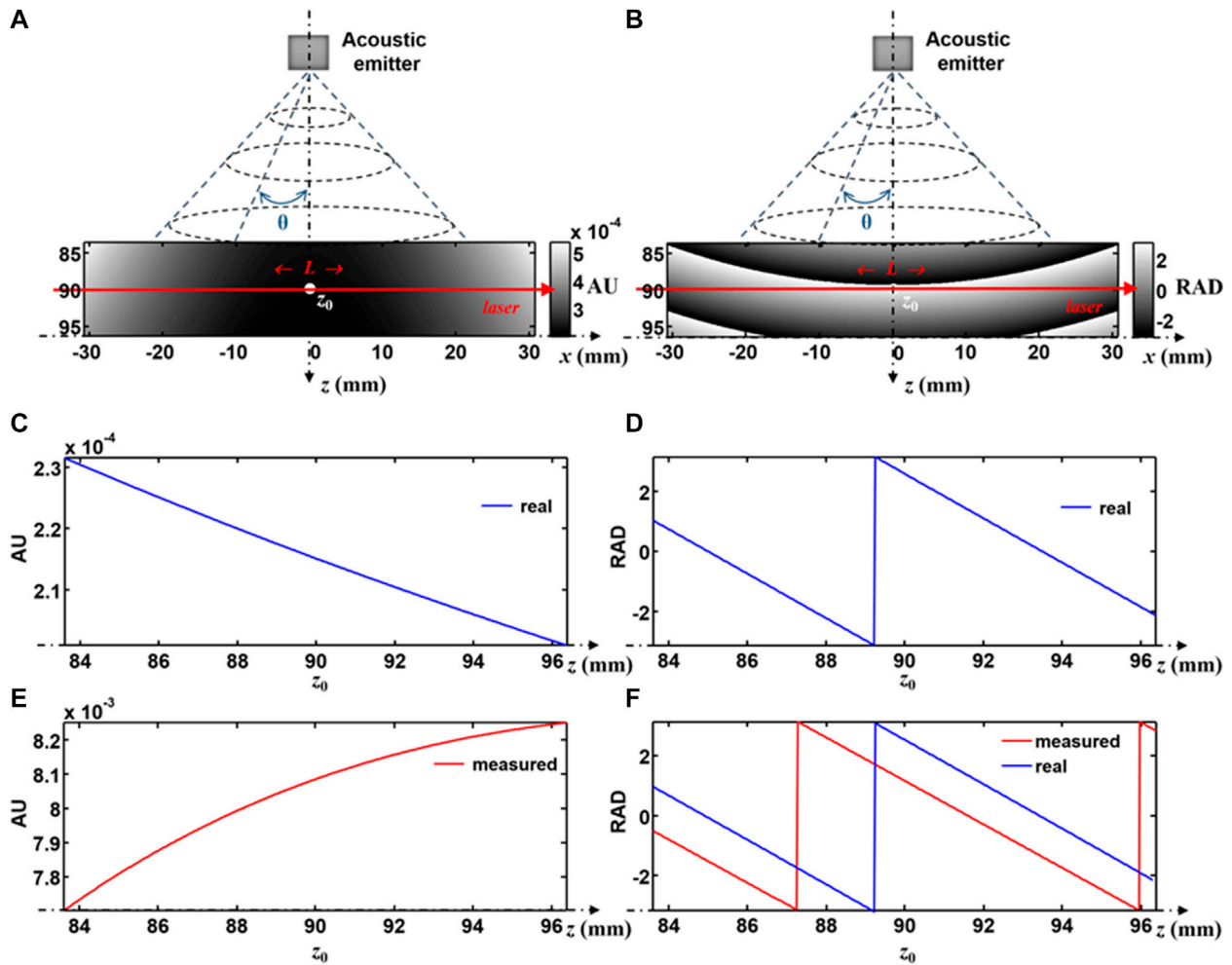


FIGURE 13 | Schemes of the acoustic emitter, **(A)** scheme of the amplitude of the acoustic field, **(B)** scheme of the phase of the acoustic field, **(C)** amplitude profile along the z direction, **(D)** phase profile along the z direction, **(E)** amplitude profile of the integrated acoustic field along the laser beam, **(F)** phase profile of the integrated acoustic field along the laser beam (red line), comparison with the real phase profile (blue line).

shows the profile of the measured phase with integration. Comparison with the phase profiles is provided (ideal: red line, integrated: blue line).

So, **Equations 10, 23** result from a “plane wave” consideration for the acoustic field. However, the transducer does not emit a plane wave because it is strongly divergent. The simulation shows that the further away from the acoustic source, the more the integrated amplitude deviates from the true amplitude. Thus, this probably explains the disagreement between interaction length estimation from holography and microphone measurements. It follows that the question of the conversion of the phase measurement into acoustic pressure remains open in the case of a non-plane acoustic field. The problem of quantitative measurement of the acoustic field by holography then remains to be investigated.

7 CONCLUSION

This paper presents the proof-of-concept for a simultaneous recording of multiple views for acoustic fields imaging. The principle is based on off-axis holography and spatial multiplexing of multi-wavelength holograms. Three wavelengths from three different laser lines are used to illuminate, at different incidence angles, the volume in which an acoustic wave propagates. The reference beams from the lasers are combined into a single three color beam and the spatial frequencies of the reference waves are adjusted so as to allow for the spatial multiplexing of digital holograms with the monochromatic sensor. After de-multiplexing and processing of the temporal sequence of digital color holograms, the amplitude and phase of the acoustic field along the views are obtained. The distortion of the acoustic amplitude is investigated with a theoretical modelling. Simulations permit to validate the modelling and the distortion rate can be estimated according to the experimental conditions. It follows that the distortion can be a posteriori compensated in order to get a correct amplitude

measurement. The way to get quantitative acoustic pressure measurement is discussed according to realistic acoustic simulations and opens the way for future investigations. The first experimental results are presented for the case of the acoustic field emitted by an ultrasound transducer exited at the frequency of 40 kHz. Since the transducer does emit a spherical wave, the integrated amplitude along the laser beam deviates from the true amplitude. Thus, the question of the conversion of the holographic data into acoustic pressure is still open for free-field acoustic waves. This would open the way to quantitative holographic tomography of acoustic fields.

DATA AVAILABILITY STATEMENT

Data underlying the results presented in this paper are not publicly available at this time but maybe obtained from Data underlying the results presented in this paper are not publicly available at this time but maybe obtained from the authors upon reasonable request.

AUTHOR CONTRIBUTIONS

PP and KF directed the project. SH and PP prepared the theory and simulations. SH and PP performed the experiments. SH, KF, LB, and PP analyzed the experimental results. All authors contributed to the discussions and the preparation of the paper.

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High-throughput artifact-free slightly off-axis holographic imaging based on Fourier ptychographic reconstruction

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Slightly off-axis digital holographic microscopy (DHM) has recently gained considerable attention due to its unique ability to improve the space-bandwidth product (SBP) of the imaging system while separating the object information from the background intensity to a certain extent. In order to obtain a decent image reconstruction, the spectral aliasing problem still needs to be addressed, which, however, is difficult to be achieved by the conventional linear Fourier domain filtering. To this end, in this paper, we propose a high-throughput artifact-free slightly off-axis holographic reconstruction method based on Fourier ptychographic microscopy (FPM). Inspired by the nonlinear optimized phase reconstruction algorithm of FPM, we perform constrained updates between the real and Fourier domains in an iterative manner to reconstruct the complex amplitude by the hologram intensity. Experimental results on live HeLa cell samples show that the proposed method can provide higher reconstruction accuracy and better image quality compared with the conventional Fourier method and the Kramers–Kronig (KK) relation-based method.

KEYWORDS

digital holographic microscopy, quantitative phase imaging, space-bandwidth product, fourier ptychography, nonlinear optimization

Introduction

In the field of optical microscopy, quantitative phase imaging (QPI) is an essential tool for biomedical research, possessing the distinctive ability of optical thickness measurement of live cells without exogenous contrast agents (Lee et al., 2013; Zuo et al., 2015; Sun et al., 2017; Zuo et al., 2017; Fan et al., 2019; Zuo et al., 2020). As a classical QPI technique, digital holographic microscopy (DHM) (Cuche et al., 1999; Mann et al., 2005; Chen et al., 2011) combines the principle of interferometry and holography, allowing for single-shot digital recording and numerical reconstruction

of the object wavefront to quantitatively recover amplitude and phase with high accuracy in real time. Based on the off-axis architecture, DHM introduces an additional coherent reference beam that is tilted superimposed with the object beam, which encodes the invisible phase information into an interferogram. Capture the intensity signal of the hologram by imaging devices such as a CCD and then perform phase demodulation with fringe analysis algorithms.

During the hologram acquisition process, the intensity of the two coherent beams (often referred to as the zero-order term) and the object complex amplitude information are superimposed on the recorded signal simultaneously (Gao et al., 2013). Conventional off-axis holography completely separates the real image from its twin image and zero-order term in the spatial frequency domain by adjusting the tilt angle. Combining band-pass filtering and deconvolution to realize high-speed single-shot measurement, i.e. Fourier method (Takeda et al., 1982). However, the linear solution involves solely Fourier domain filtering, sacrificing the utilization of spatial bandwidth. The imaging throughput is quantitatively described by the space-bandwidth product (SBP), which varies with the recovery method and the modulation direction of the reference beam. Since the zero-order has a bandwidth of twice the one of the imaging term (the twin images), the maximum spatial bandwidth achieved by this linear solution is $\frac{N}{2+3\sqrt{2}}$ (Pavillon et al., 2009) for a region of $N \times N$ pixels with uniform sampling in the absence of spectral overlap. The limited bandwidth of the off-axis system is inefficient regarding the SBP of the complex amplitude image. It is negative for enhancing the imaging resolution, while the realization of real-time high-throughput imaging is the fundamental target constantly pursued in the development of microscopic imaging technology (Trusiak, 2021).

To improve the SBP of off-axis holography, spectral aliasing of zero-order with diffraction terms in the spatial frequency domain is unavoidable, which leads to a loss of imaging quality, such as reduced resolution or produced artifacts. So how to make full use of the spatial bandwidth and achieve artifact-free imaging while maintaining the diffraction limit resolution is a crucial issue. Various zero-order suppression methods have been proposed (Trusiak et al., 2020). The nonlinear filtering (Pavillon et al., 2009) in the cepstral domain can confine the object wave modulation to one quadrant of the spectrum and enhance the utilization of spatial bandwidth to $\frac{N}{4}$. Alternatively, the zero-order can be suppressed by subtracting the object intensity from the hologram with the reconstructed object wavefront in an iterative manner, loosening the constraints on bandwidth (Pavillon et al., 2010). Furthermore, on the basis of the nonlinear filtering method, high SBP off-axis holographic imaging can be achieved by exploiting the Kramers–Kronig (KK) relations (Baek et al., 2019). With

the zero-padding operation in the frequency domain, the spectral aliasing is substantially reduced without an iterative process or imposing any restriction on objects, which theoretically increases the spatial bandwidth to $\frac{N}{2+\sqrt{2}}$. However, these methods are not applicable when the intensity of the reference beam is smaller than that of the object beam since the power series of the object-reference ratio is divergent in the Fourier domain.

With the proposal of phase retrieval (Fienup, 1982; Bauschke et al., 2002; Shechtman et al., 2015), nonlinear optimization algorithms provide a new demodulation perspective on optical phase microscopy. A particularly classic method in this field to recover phase from a single intensity measurement is the Gerchberg-Saxton (GS) algorithm (Gerchberg, 1972, 1971), and related improved algorithms have also been proposed (Gonsalves, 1976; Fienup, 1978). These methods are based on nonlinear optimal iterations, which usually define a cost function similar to the intensity difference, and reconstruct the phase by constrained updates back and forth between the intensity in the spatial domain and aperture in the frequency domain. Nonlinear optimal iterative reconstruction methods have been widely applied in the field of QPI, such as lens-free microscopic imaging (Ozcan and Demirci, 2008; Seo et al., 2009) and Fourier ptychographic microscopy (FPM) (Zheng et al., 2013), etc. The original solutions to FPM are based on the gradient descent method, which retrieves the phase information of the samples by alternating iterations in the real and Fourier spaces, and is a highly representative integration of nonlinear optimization algorithms and QPI techniques.

Nevertheless, nonlinear optimization algorithms are rarely reported in holographic phase recovery since conventional DHM already has convenient demodulation methods (Fourier method for off-axis holography (Takeda et al., 1982) and phase-shift method for on-axis holography (Hariharan et al., 1987)), which can recover the phase in a single step without complicated iterative processes (Latychevskaia, 2019). However, when breaking the SBP limitation of conventional off-axis holography, the Fourier method cannot reconstruct the phase correctly due to the aliasing of spectral information. Therefore, nonlinear optimization algorithms are considered to solve the above problem. We present and experimentally demonstrate a QPI technique for high-throughput artifact-free slightly off-axis holographic imaging based on a nonlinear optimization algorithm similar to Fourier ptychographic reconstruction. Inspired by the phase retrieval process of FPM, the complex amplitude recovery is viewed as a nonlinear optimization problem to be solved by a method like the GS algorithm. In the premise that the linear method cannot correctly solve for the object wavefront, the proposed optimal iterative solution algorithm is more universal with no restrictions on the intensity of two coherent beams and unconstrained spectral configuration, which is distinct from all previous off-axis holography phase recovery methods.

Principle

Based on the nonlinear iterative solution of FPM, first built the forward mathematical modeling of the off-axis digital holographic imaging process. The spectrum of the object beam that reaches the camera target surface is $O(u, v)P(u, v)$, where $O(u, v)$ is the spectrum of the complex amplitude distribution of the measured object, $P(u, v)$ represents the pupil function defined by the NA of the microscope objective and the illumination wavelength, and (u, v) denotes the frequency domain coordinates. The corresponding complex amplitude of the object beam is $O(x, y) = \mathcal{F}^{-1}[O(u, v)P(u, v)]$, where \mathcal{F}^{-1} denotes inverse Fourier transform. The reference beam is considered as a quasi-plane wave with a tilt angle θ to the object beam, which is expressed as $R(x, y) = |R| \exp(-ik \sin \theta x)$. According to the interference principle of coherent superposition, the complex amplitude distribution of the hologram is

$$U(x, y) = O(x, y) + R(x, y) \quad (1)$$

where the complex amplitude of the reference beam is reconstructed by the amplitude information obtained from the captured intensity image of the reference beam and the offset of ± 1 -order in the hologram spectrum. So $R(x, y)$ is regarded as a known quantity for the subsequent calculations.

Since only the intensity distribution of the optical wavefield can be converted to a digital signal while the phase information is completely lost during the camera recording process, the complex wavefront requires some phase recovery methods for reconstruction. We learn from the nonlinear optimization idea of FPM and use the alternating projection method similar to the GS iterative algorithm, which recognize the complex amplitude reconstruction of the hologram as a nonlinear optimization problem. Essentially, the proposed phase recovery method for the slightly off-axis holography is to define a cost function that will converge to a minimum by updating functions back and forth between the real and Fourier spaces.

Next, we specify the objective cost function and the optimal solution algorithm used in the proposed iterative method. Define the cost function with the purpose of minimizing the amplitude error

$$\varepsilon(O) = \sum_{x,y} \left| \sqrt{I(x, y)} - |\mathcal{F}^{-1}[O(u, v)P(u, v)] + R(x, y)| \right|^2 \quad (2)$$

where $I(x, y) = |U(x, y)|^2$ is the intensity of the hologram. As the iterations proceed, the amplitude of the hologram reconstructed from the object complex wavefront to be recovered will gradually approach that of the captured hologram. Theoretically, the cost function, i.e., the amplitude error function, can eventually converge to zero, at which point

the hologram complex amplitude update also converges to the real distribution.

Then we derive the update equation for the nonlinear optimization solution algorithm mentioned above. According to Parseval's theorem, the cost function ε can be simplified as

$$\varepsilon(O) = \sum_{u,v} \|U^u(u, v) - U^e(u, v)\|^2 \quad (3)$$

where $U^e(u, v) = O(u, v)P(u, v) + R(u, v)$ represents the subspectrum before the update and $U^u(u, v)$ represents the subspectrum after the update exploiting the captured hologram intensity $I(x, y)$. The update process is expressed by the equation $U^u(x, y) = \sqrt{I(x, y)} \frac{U^e(x, y)}{|U^e(x, y)|}$.

The first-order derivative of the cost function is thus a component of Eq 11 and can be expressed as

$$\nabla_O \varepsilon(O) = -[P^*(u, v)(U^u(u, v) - U^e(u, v))]. \quad (4)$$

The derivation process is explained in Appendix A. By minimizing the derivative with the gradient descent method to make it infinitely close to zero, thus the cost function Eq 2 can be reduced to the minimum value.

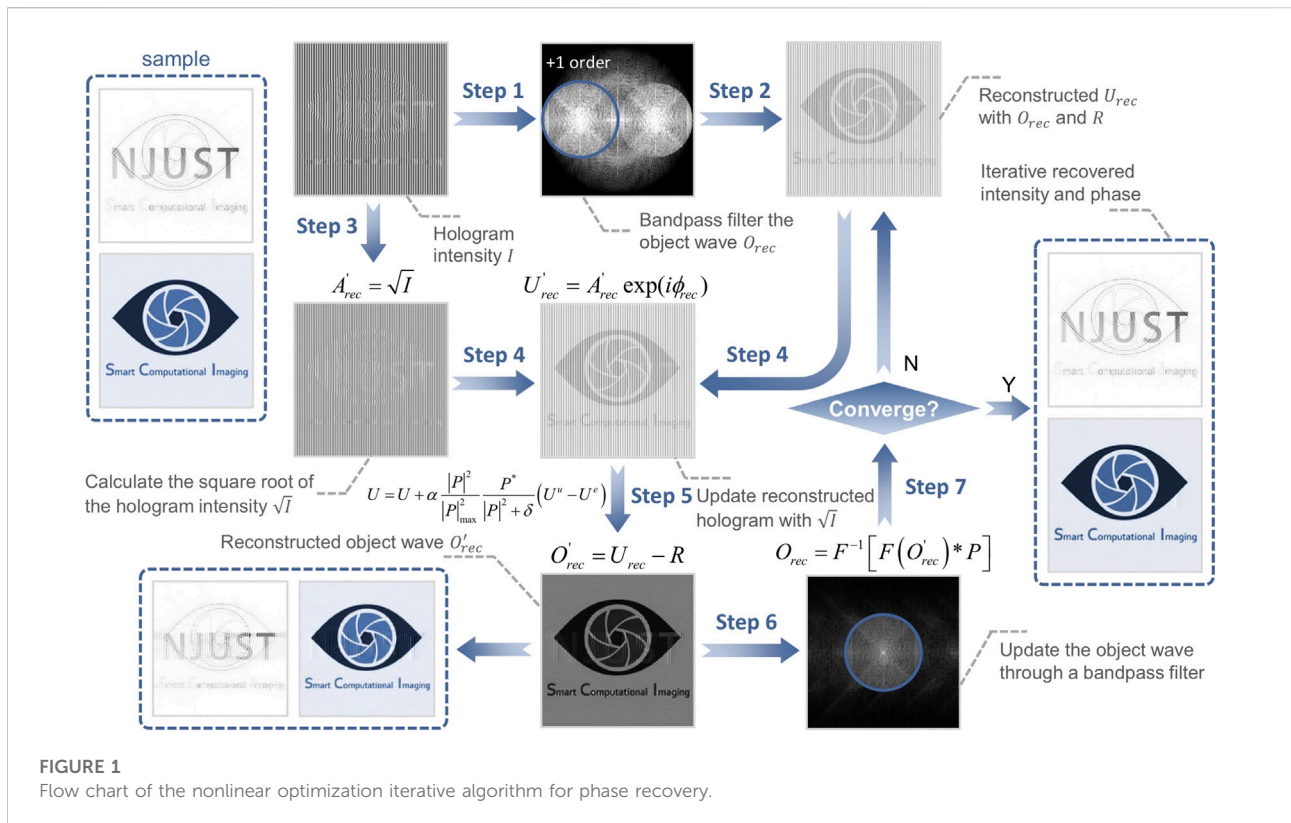
Finally, we achieve the update equation for the hologram complex amplitude distribution

$$U(u, v) = U(u, v) + \alpha \frac{|P(u, v)|^2}{|P(u, v)|_{\max}^2} \frac{P^*(u, v)}{|P(u, v)|^2 + \delta} [U^u(u, v) - U^e(u, v)]. \quad (5)$$

α is the update step length and usually ranges from 0.5 to 1. Values of α around 1 (not greater than 1) works well in our simulations and experiments. δ is a regularization parameter (a minimal value near 0) to prevent the denominator from going to zero. It should be noted that the $P(u, v)$ in Eqs 3–5 is a mask function for spectrum selection of the numerical reconstruction in the actual phase recovery process (the ideal state is the above-mentioned pupil function determined by NA).

The iterative procedures derived from Eq 5 are shown in algorithm 1 and Figure 1 as an example for the case where the value of update step α is 1. During the iterative process, the square root of the intensity of the recorded digital hologram is always employed to update the reconstructed complex amplitude. Based on the gradient descent method, the cost function gradually converges to zero by updating functions back and forth between the real and Fourier spaces. Then the complex amplitude distribution of the hologram is reconstructed to recover the phase information of the sample.

Exploiting the nonlinear optimization algorithm, we can construct an slightly off-axis holographic system with extreme resolution that makes full use of the space bandwidth, in which the spectral configuration of the twin images is exactly tangent at the origin without overlapping by diagonal modulation of the reference beam and selection of suitable system parameters. In



other words, it is able to iteratively reconstruct the object complex amplitude while guaranteeing a maximum spatial bandwidth of $\frac{N}{2+\sqrt{2}}$, and there is no additional requirement for the intensity of the object and reference beam in the iterative process.

Algorithm 1. Nonlinear optimization iterative algorithm based on FPM for slightly off-axis DHM phase recovery

Require: the hologram intensity distribution I ; the reference wave complex amplitude R ; the pupil function $P(u, v)$.

- 1: $I = |O + R|^2 = |O|^2 + |R|^2 + RO^* + R^*O$
- 2: $A'_{rec} = \sqrt{I}$
- 3: $O'_i = \mathcal{F}^{-1}[P(u, v) \cdot \mathcal{F}(I)]/R^*$
- 4: $O_{rec} = O'_i$
- 5: **repeat**
- 6: $U_{rec} = O_{rec} + R = A_{rec} \exp(i\phi_{rec})$
- 7: $U'_{rec} = A'_{rec} \exp(i\phi_{rec})$
- 8: $U_{rec} = U_{rec} + \alpha \frac{|P|^2}{|P|_{\max}^2} \frac{P^*}{|P|^2 + \delta} (U'_{rec} - U_{rec})$
- 9: $O'_{rec} = U_{rec} - R$
- 10: $O_{rec} = \mathcal{F}^{-1}[P(u, v) \cdot \mathcal{F}(O'_{rec})]$
- 11: **until converge**
- 12: **return** O_{rec}

Simulation

Simulations are carried out to verify the validity and effectiveness of the proposed algorithm. To investigate the performance of the proposed method for phase demodulation at $R < O$, we choose the case of $R/O = 0.7$ for simulation and

compare the reconstruction results with that of the conventional off-axis method and KK method. The simulation results are shown in Figure 2. Figure 2A1, A2 are used as amplitude image and phase image respectively to generate the hologram [Figure 2A3]. Here we used a vertical reference beam modulation in these simulations and the spectrum of the hologram is shown in Figure 2A4. To compare the QPI results of the above methods more intuitively, we also calculated the root mean square error (RMSE) images of the reconstructed amplitude and phase. It is obvious that the proposed algorithm can reconstruct the complex amplitude image of the object wave with high accuracy and its errors are almost indistinguishable to the naked eye, as shown in Figures 2B1–B4. In contrast, the conventional off-axis method has many artifacts in the reconstructed amplitude and phase due to the inability to suppress the 0-order term [Figures 2C1–C4], and the results of the KK method not only have artifacts, but also a constant term error on the background of the amplitude image [Figures 2D1–D4].

In order to further verify the stability, convergence, and robustness properties of the proposed nonlinear optimization algorithm, and further compare the effectiveness of the above methods at an arbitrary reference-object ratio (R/O), we simulate all cases with R/O from 0 to 1.2 and plotted the correlation curves demonstrated in Figure 3. It can be seen that the blue curve always lies above the curves of the other colors and tends to be

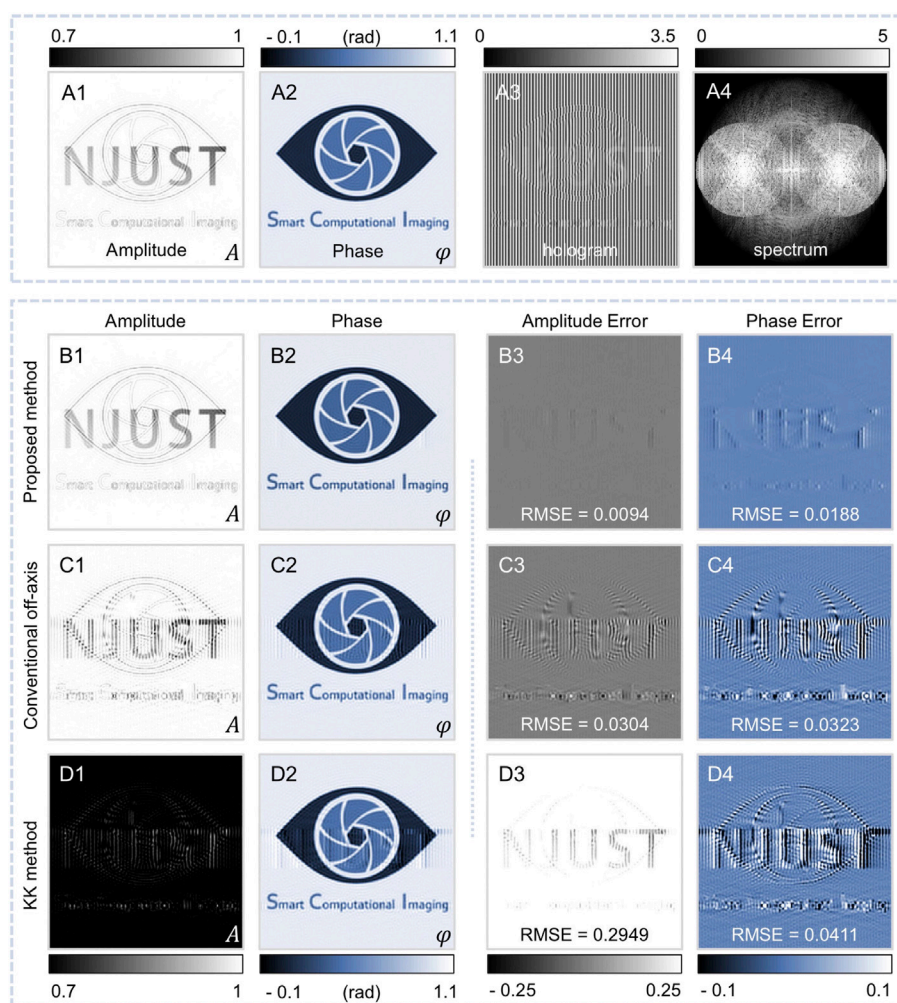


FIGURE 2

Comparison of the simulation results of the proposed method, conventional off-axis method and KK method in case of $R/O = 0.7$. (A1,A2) Simulated amplitude and phase. (A3) Hologram simulated with (A1,A2). (A4) Spectrum of the hologram. (B1–B4) Reconstructed amplitude, phase and the corresponding errors with the proposed method. (C1–C4) Reconstructed amplitude, phase and the corresponding errors with conventional off-axis method. (D1–D4) Reconstructed amplitude, phase and the corresponding errors with KK method.

close to one even when the intensity of the reference beam is as small as almost one-tenth of the object beam, much higher than the other curves. This shows that the proposed method is applicable to all reference-object ratios and has better performance than the linear methods even when $R > O$. We also compare the convergence of the RMSE between the proposed iterative method and Pavillon method for the case of $R/O = 0.7$ and $R/O = 0.9$ of the hologram in Figure 4 and display the best reconstruction result during the iteration ($R/O = 0.7$). It is obvious that the RMSE of the Pavillon method is divergent when $R/O = 0.7$ and its best result still has serious artifacts. In comparison, our method has a stable declining RMSE trend and maintains a very small error, which means that the RMSE converges in only 10 iterations, ensuring the efficiency of

phase recovery. (RMSE 0.0188 rad, total computation time 0.035s with a 2.60 GHz laptop).

Let's specifically analyze why the Pavillon method and KK method are not applicable in the case of object-reference ratios (O/R) greater than or equal to 1. The parasitic terms of the error between the estimator and the object 0-order of the Pavillon method are powers of O/R that must meet the conditions of $O < R$ to not diverge. The nonlinear filtering and KK methods convert the extraction of +1-order to the solution of $\log(1 + O/R)$, which is a power series of O/R through Taylor expansion. When $O/R < 1$, $(O/R)^n$ decreases rapidly with increasing order n and decays outward in the spectrum along the modulation direction, with the overflow superimposed on its conjugate image on the other side of the spectrum. The KK method ensures the continuity and

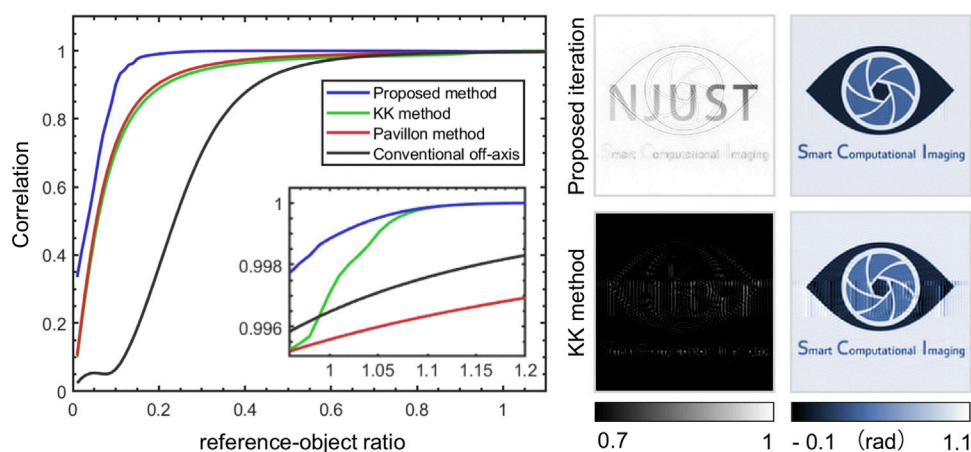


FIGURE 3

Correlation curves of the proposed method, KK method, Pavillon method and conventional Fourier method.

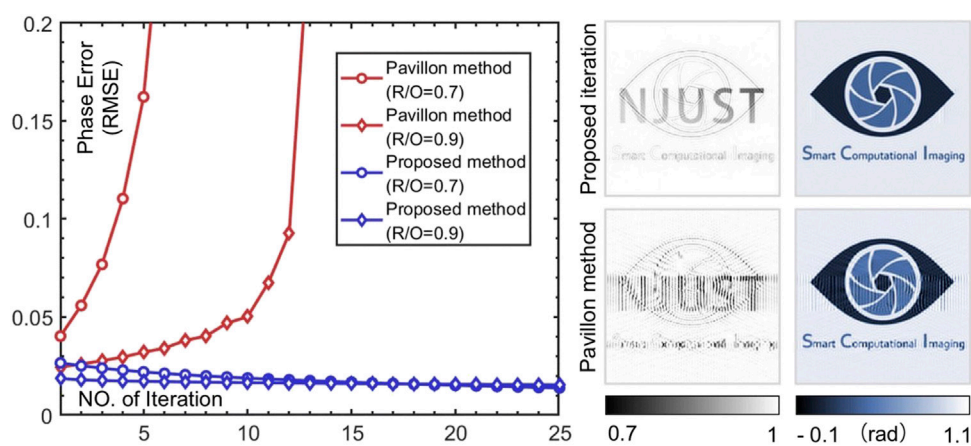


FIGURE 4

Comparison between two iterative methods. Left: RMSE curves versus the iteration number for the case of $R/O = 0.7$ and $R/O = 0.9$ of the hologram. Right: the best reconstruction results for the case of $R/O = 0.7$.

integrity of higher-order terms in the frequency domain by zero-padding. Nevertheless, if $O/R \geq 1$, the higher-order terms tend to diverge and overlap severely with the conjugate term. As a result, the Hilbert transform (HT) cannot separate $\log(1 + O/R)$ and thus the residual intensity information will damage the accuracy of phase recovery. Therefore, only when the reference-object ratio is greater than 1, the convergence of the Pavillon method can be guaranteed and high precision recovery of the KK method can be ensured. In addition, the KK method should change the direction of the zero-padding operation and HT for different spectral configurations, so the parameters have to be adjusted according to the imaging system in practice.

Experiment

To demonstrate the capability of the nonlinear optimization algorithm, various samples are imaged, under the condition where the zero-order and diffraction terms severely overlap in the frequency space. Digital holographic smart computational light microscope (DH-SCLM) (Fan et al., 2021) developed by SCILab is used to acquire the hologram. The beam is transmitted by the objective lens (UPLanSAPO $\times 10/0.4$ NA, Olympus, Japan) and recorded by the camera (The Imaging Source DMK 23U274, 1600×1200 , $4.4 \mu\text{m}$). The central wavelength of the illumination is 532 nm. With these system parameters, adjust the tilt angle of the reference beam until the imaging term is diagonally tangent

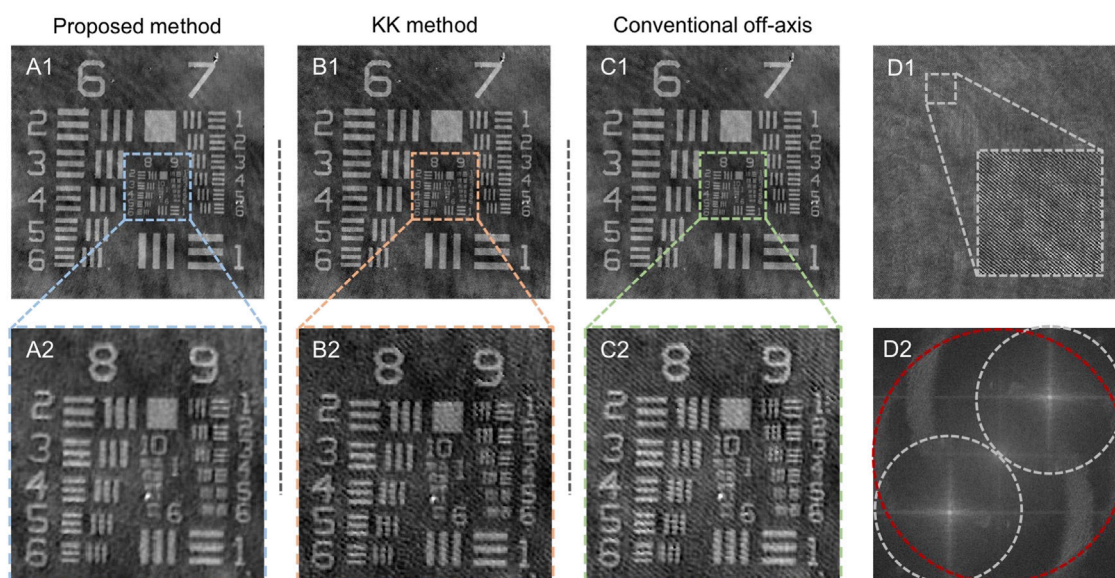


FIGURE 5

Comparison of the experimental results of the proposed method, KK method and conventional Fourier method. (A1) Reconstructed phase with the proposed method. (A2) The enlarged sub-region of interest of the reconstructed phase in (A1). (B1) Reconstructed phase with KK method. (B2) The enlarged sub-region of interest of the reconstructed phase in (B1). (C1) Reconstructed phase with conventional off-axis method. (C2) The enlarged sub-region of interest of the reconstructed phase in (C1). (D1) Hologram in the experimental condition. (D2) Fourier transform of (D1) (red circle indicates 0-order, white circles indicate ± 1 -order).

in the frequency space to achieve maximum utilization of the spatial bandwidth. The biggest advantage of the proposed method is the relaxed restriction on the reference-object ratio, so we conduct the experiments under the same conditions as the simulation ($R/O = 0.7$). Complex amplitudes are recovered by the proposed method, the KK method, and the conventional off-axis method, respectively. For comparison, an identical interferogram per sample is used for the three methods. In addition, the intensity of the reference beam is recorded for the subsequent phase reconstruction algorithm, which needs to be measured only once.

Interferogram of a standard phase resolution target (QPT^M , Benchmark Technologies Corporation, United States, $RIn = 1.52$) is imaged as shown in Figure 5. The proposed iterative method recovers the quantitative phase with high accuracy in the case of reaching the theoretical resolution of the holographic imaging system and without excess background [Figures 5A1, A2]. However, since the reference-object ratio of the system is less than 1, the KK method cannot suppress all the 0-order so that the reconstructed phase image still carries some artifacts formed by the intensity information of the hologram [Figures 5B1, B2]. And in the conventional method, the recovered phase image has severe artifacts [Figures 5C1, C2] due to the lack of ability to remove the part of the 0-order term that overlaps with the ± 1 -order in the frequency domain by bandpass filtering. In this case, the slightly off-axis hologram has a fringe pattern with high

spatial frequency [Figure 5D1] and a spectral configuration with the maximum SBP [Figure 5D2].

Then the nonlinear optimization algorithm is applied to the QPI of live HeLa cells as shown in Figure 6. The phase images reconstructed by the proposed iterative method are shown in Figures 6A1–A3, which obtain the internal structure of the cells without any artifacts since the unwanted zero-order term is completely suppressed. The field of view (FoV) of Figure 6A1 is 0.33×0.44 mm with a diffraction-limited size of $0.81 \mu\text{m}$. The SBP of the complex amplitude image is 257000 pixels [the area of the FoV, 0.1452 mm^2 , multiplied by the area of the spatial frequency band, $\pi(\text{NA}/\lambda)^2$]. For comparison, the KK method can suppress the zero-order term to some extent, but it cannot converge and result in blurring or even loss of cell details and obvious artifacts in the background [Figures 6B1–B3]. When the phase is recovered by the conventional method, simple filtering retains some of the intensity information of the object beam and reference beam, thus drastically reducing the correctness of the phase reconstruction [Figures 6C1–C3].

Finally, we perform an experiment to verify the enhancement in the SBP of the proposed method. For imaging, an Olympus $20\times$ (0.4 NA) objective lens is adopted. The total magnification of the setup is kept at 20, identical to the magnification of the objective lens. Adjust the tilt angle of the reference beam to generate an absolute diagonal off-axis hologram with the ± 1 -order completely separated from the 0-order. Image the same

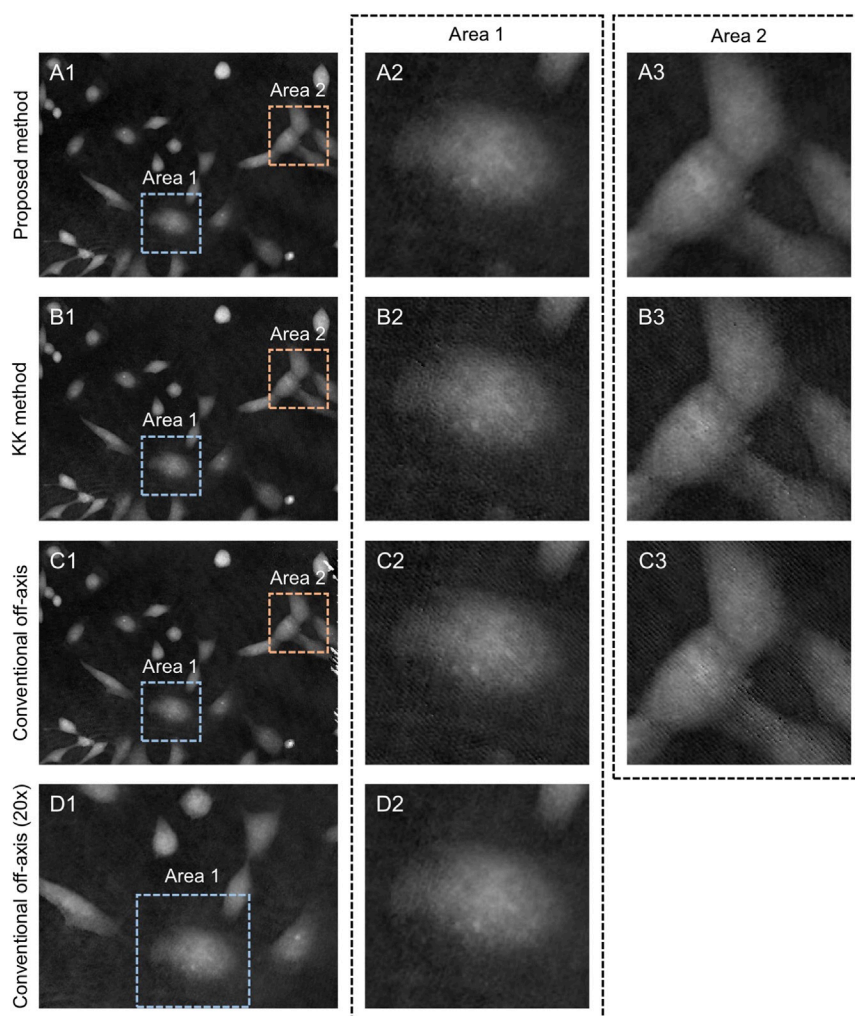


FIGURE 6

Comparison of the experimental results of the proposed method, KK method and conventional off-axis method. **(A1)** Reconstructed phase with the proposed method. **(A2,A3)** The sub-regions of interest of phase in **(A1)**. **(B1)** Reconstructed phase with KK method. **(B2, B3)** The sub-regions of interest of phase in **(B1)**. **(C1)** Reconstructed phase with conventional off-axis method (magnification = 10). **(C2,C3)** The sub-regions of interest of phase in **(C1)**. **(D1)** Reconstructed phase with conventional off-axis method (magnification = 20). **(D2)** The sub-regions of interest of phase in **(D1)**.

HeLa sample with the system and conduct phase recovery by the conventional off-axis method. The measured quantitative phase image is shown in [Figures 6D1, D2](#), which is almost the same as that reconstructed by our proposed nonlinear optimization method in a diagonal tangent spectral configuration. The $\times 10$ and $\times 20$ objectives have the same numerical aperture, which means both achieve the same lateral resolution. However, the difference in objective magnification results in the FoV and SBP at $\times 20$ objective reduced to 1/4 of that at $\times 10$ objective, where SBP is only 64200 pixels. In contrast, the proposed method provide a 4-fold increase in the SBP compared to the conventional method while performing the phase recovery correctly.

Discussion and conclusion

We have demonstrated a QPI technique for high-SBP slightly off-axis DHM based on Fourier ptychographic reconstruction. Exploiting the reconstruction principle of FPM, the optimal iterative solution algorithm reconstructs an exact complex amplitude of the object wavefront without imposing any constraint on the reference-object ratio. It effectively utilizes the spatial bandwidth to provide a 4-fold increase in the SBP compared to the linear solution and achieve the ultimate maximum bandwidth in all off-axis holography since there is no requirement for spectral configuration. The nonlinear optimization algorithm is experimentally demonstrated to have

high accuracy at an arbitrary reference-object ratio and can realize high-throughput artifact-free imaging with spectral aliasing.

The optimal iterative solution algorithm is fundamentally different from previous methods for zero-order suppression under the spectral overlap in slightly off-axis holography. The suppression principle of the nonlinear filtering and KK method involves constructing an intermediate function whose Taylor expansion is a power series of the object-reference ratio. Nonlinear filtering first proposes the idea of taking the logarithm of the intensity ratio of the hologram to the reference beam, which transforms the product into a sum, allowing for the subsequent separation of the interference terms from the zero-order term. The KK method brilliantly proves the analyticity of the intermediate function, i. e., a variant of the object-reference ratio, in the upper half-plane, thus guaranteeing the KK relationship between the real and imaginary parts of the intermediate function. However, these must be based on the condition that the object-reference ratio is less than 1. Otherwise, the higher-order terms will diverge in the frequency domain and severely overlap with other terms as the order increases. Similarly, the error between the estimator and the object zero-order in the Pavillon method contains a power series of the object-reference ratio, which also converges only under this condition.

In contrast, the proposed method uses the nonlinear optimization algorithm to derive the update equation by forward modeling the process of holographic imaging. As long as the spectrum of the +1-order and -1-order do not overlap (which is sufficiently fulfilled by all off-axis holographic systems), the optimal iterative solution algorithm can be applied. During the phase recovery process, the reconstructed hologram amplitude gradually approximates the recorded true value by iteration until the error converges to zero, i.e., the measured complex amplitude is exact. The nonlinear optimization iteration is unconstrained for object-reference ratio and spectral configuration, which greatly relaxes the requirements on the system parameters and exhibits enhanced robustness to system errors.

The proposed method can be combined with other techniques for further improvement. Referring to the adaptive step-size strategy introduced by Zuo (Zuo et al., 2016) et al., the stability and robustness of the phase reconstruction towards noise can be enhanced by altering the fixed step-size to an adaptive step-size. OU (Ou et al., 2014) et al. propose the embedded pupil function recovery (EPRY), which is expected to be combined with the proposed method to reconstruct the aberration of the objective lens while recovering the quantitative phase of the object. In addition, the integration of nonlinear optimization with synthetic aperture digital holography can also

be considered to improve the spatial resolution (Gao and Yuan, 2022). We envision that the proposed method will benefit off-axis holographic imaging with an enhanced SBP and contribute to the combination of nonlinear optimal phase reconstruction algorithm with more QPI techniques for application in large-scale studies of cells and other fields.

Data availability statement

The original contributions presented in the study are included in the article further inquiries can be directed to the corresponding authors.

Author contributions

CZ conceived the idea. QS did the experiments. CZ, JS, and QC supervised the project. All the authors contributed to discussion on the results for this manuscript.

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Conflict of interest

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Appendix A: Gradient calculation

Eq 3 can be transformed as follows (coordinates are omitted for convenience):

$$\varepsilon(O) = \sum \|U^u - U^e\|^2 = \sum (|U^e|^2 - 2\sqrt{I}|U^e| + I). \quad (6)$$

Then calculate the derivative of ε with respect to O , and it can then be expressed as

$$\nabla_O \varepsilon(O) = \sum \left[\frac{\partial \varepsilon(O)}{\partial O} \right]^*. \quad (7)$$

The equation in parentheses in Eq. 7 can be decomposed into three parts combined with Eq. 6 as follows:

$$\frac{\partial |U^e|^2}{\partial O} = \frac{\partial |OP + R|^2}{\partial O} = O^* |P|^2 + PR^* \quad (8)$$

$$\frac{\partial (2\sqrt{I}|U^e|)}{\partial O} = 2\sqrt{I} \frac{\partial |OP + R|}{\partial O} = \sqrt{I} P \frac{O^* P^* + R^*}{|OP + R|} \quad (9)$$

$$\frac{\partial I}{\partial O} = 0 \quad (10)$$

By plugging these three terms into Eq. 6, the gradient of ε with respect to O becomes

$$\begin{aligned} \nabla_O \varepsilon(O) &= \sum \left(O|P|^2 + P^* R - \sqrt{I} P \frac{OP + R}{|OP + R|} \right) \\ &= \sum [P^* (U^e - U^u)]. \end{aligned} \quad (11)$$

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