# Current advances in pediatric surgery

#### **Edited by**

Gunadi and Patrick Ho Yu Chung

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## Current advances in pediatric surgery

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## Editorial: Current advances in pediatric surgery

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#### KEYWORDS

cell-Based therapy and tissue engineering, congenital anomaly, advances on fetal surgery, genetic disorders, molecular genetics, minimally invasive & robotic surgery, organ transplantation, prenatal diagnosis

#### Editorial on the Research Topic

Current advances in pediatric surgery

The rapid development of recent technologies and research in the world has helped advance medical care in terms of how we diagnose, give therapies, and predict disease prognosis. It also improves our understanding of diseases leading to more personalized medicine. These developments have undeniably improved surgical practice, especially in many pediatric surgery fields. However, there still needs to be more knowledge among physicians and academics worldwide about these advancements, especially in developing countries. This research topic provides space for clinicians, academics, or anyone who wishes to keep up to date with some advances in pediatric surgery. This research topic covered many pediatric surgery fields, including imaging, organ transplantation, minimally invasive surgery, robotic surgery, prognostic predictors, and others. In this editorial, we presented the summary of the papers based on the topics of diagnostic biomarkers, imaging techniques, non-surgical and surgical treatments, prognosis, and others.

The use of biomarkers are on the rise due to their advantages in early diagnosis and predicting outcomes. Therefore, many studies are evaluating the use of some biomarkers in diseases including pediatric surgery cases. A study in China analyzed the plasma amyloid-beta levels (A $\beta$ 42/A $\beta$ 40) as a biomarker for biliary atresia (BA)) and its correlation with hepatic dysfunctions. They found that A $\beta$ 42/A $\beta$ 40 is a good indicator for cholestasis but not enough for differentiating BA and non-BA. It must be combined with  $\gamma$ -glutamyl transpeptidase (GGT) and one other hepatic function parameter. This will enable early intraoperative cholangiography for BA confirmation and early treatment for better outcomes Lyu et al.

Proper imaging of the lesions in pediatric cases is essential to assess and deciding the most appropriate treatment. A report from the UK studied the use of micro-CT imaging in a pediatric thyroglossal duct Frauenfelder et al. They found that this imaging was helpful as a visual aid to traditional histopathological examination due to its 3D imaging capability. Another study evaluated the accuracy of hepatic scintigraphy in diagnosing biliary atresia as a cause of cholestatic jaundice. They concluded that this method is still limited in accuracy, and surgical exploration remains the gold standard Chan et al. Moreover, a study reported using indocyanine green (ICG) angiography to assess skinsparing in acute pediatric trauma. This technique has been proven helpful in assessing skin flap perfusion in reconstructive surgeries. They found that this technique may

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decrease the risk of postoperative necrosis and can be a feasible adjuvant technique in skin-sparing decision- making in acute pediatric trauma Han et al.

In recent years, non-surgical and surgical techniques in pediatric cases have developed rapidly worldwide. Pediatric surgeons must keep up to date with the latest and most effective techniques which produce the best outcome with the current evidence. A retrospective study in the Netherlands analyzed the use of botulinum toxin injections in children with chronic constipation. They found that botulinum toxin significantly reduced anal basal pressure when preinjection pressure exceeds 70 mmHg. However, in severely elevated anal basal pressure, rectal washout is recommended Sun et al. Total splenectomy is the most effective treatment in a patient with moderate or severe hereditary spherocytosis (HS). Partial splenic embolization (PSE) is a conservative treatment that is able to preserve part of the spleen's function. A retrospective study in a single center reviewed the cases of HS treated with super- selective PSE (SPSE) and total splenectomy. They found that SPSE is safe and effective in moderate or severe pediatric HS; however, more patients are needed, along with longer follow- ups Wang et al.

Regarding surgical techniques in pediatric surgery patients, Ritz et al. reported five patients with esophageal perforation (EP) treated with endoscopic esophageal vacuum- assisted closure (EVAC), ArgyleTM Replogle Suction Catheter (RSC), or both. They found EVAC, widely used to treat wounds and adult patients with EP, to be a promising therapy for pediatric EP. They also recommended an earlier switch to RSC to reduce the use of anesthesia in subsequent treatments. After one year, most patients gained sufficient weight. Another case series in China Huang et al. found that debridement of pleural empyema using uniportal video-assisted thoracic surgery (U-VATS) is a feasible and effective surgical technique in pediatric stage II and III empyema. This technique provides easier use and complete debridement with a low risk for conversion. Moreover, a retrospective study in 86 children with severe proximal hypospadias with incomplete penoscrotal transposition found that a novel repair method using tunica vaginalis flap-covering combined with modified Glenn-Anderson in one-stage repair was a safe, effective, and simpler method Wang et al. Another study of limb osteosarcoma in pediatric patients found that amputation is associated with a 1.5-fold increase in cancerspecific mortality (CSM). Therefore, limb salvage surgery should be preferred as a first choice without other contraindications Wang et al.

The use of minimally invasive surgery in pediatric cases, if possible, has been preferred, with less operating and recovery time as the main advantages. Huang et al. reported using U-VATS in patients with pulmonary sequestration and found satisfactory perioperative results. A study in China reported on two infants with congenital hyperinsulinism of infancy (CHI) who underwent laparoscopic pancreatic head resection and Roux-en-Y pancreaticojejunostomy. They found this technique to be safe and effective with a good prognosis Wen et al. A study in the same institution introduced a novel technique for pediatric inguinal hernia (PIH) repair, called transumbilical single-site

laparoscopic intraperitoneal closure (TUSLIC) of the internal inguinal ring (IIR) using a single instrument. This technique aimed to improve on the previous technique, such as transabdominal multiple-site laparoscopic extraperitoneal closure (TAMLEC) of IIR, which is known to cause some complications. They concluded that TUSLIC is a safe and reliable method for PIH, with lower complications and recurrence rates than TAMLEC Ji et al. With the rise of robotic surgery, its usage in pediatric surgery cases can also provide an alternative to otherwise complicated procedures with a high learning curve. A study in China reported using the da Vinci surgical system for choledochal cyst excision in children below one year old. They found that this system was safe and feasible to use Xie et al.

The need for new techniques in organ transplantation surgeries in pediatrics is also essential, and the reports of these, especially in pediatric cases, are still scarce. Wang et al. analyzed and compared the super minimal incision technique in pediatric kidney transplantation (SMIPKT) and conventional kidney transplantation (CKT). They found that SMIPKT produced better cosmetics, shorter operation time, and reduced bleeding and postoperative drainage volume within 24 h. Moreover, in terms of postoperative complications, no differences were found. This result could be an essential step forward in kidney transplantation in pediatric patients, and further study with a larger sample size is still needed. In another organ, there was a literature review and case report on using meso-Rex bypass (MRB) post-liver transplantation (LT). They concluded that MRB post-LT is a challenging procedure and needs careful planning. However, in a patient with advanced complications, this can benefit from autologous IJV graft and have adequate recovery Dalzell et al.

After any treatment, it is imperative to predict the outcomes or prognosis of the patients. Early detection of factors that can predict any long complications can affect the early treatment that can improve the outcomes. A study in Indonesia found that sex and necrotizing enterocolitis (NEC) staging might affect the survival of neonates with NEC, with female patients, who had a 3.1-fold higher risk of mortality than male patients. Moreover, patients with advanced stages of NEC will have thrombocytopenia within 24–72 h of disease onset. This study showed that NEC staging should be closely monitored and intervened as early as necessary Siahaan et al., Yuan et al.

There were also some case reports, animal studies, systematic review and meta-analyses that contributed to the growth of knowledge in the pediatric surgery field. A case report and literature review about primary omental lipoma in a pediatric case reported that although its etiology and pathology are unclear, the prognosis can be favorable with ultrasonography, CT, and MRI scanning for diagnosis and preoperative evaluation. The primary treatment is using laparoscopic surgery, and the prognosis is good Yuan et al. Another case report and literature review reported a case of idiopathic congenital abdominal aortic aneurysm. They conducted computed tomography angiography to reveal an isolated infrarenal abdominal aortic aneurysm and repaired it with artificial graft transplantation to prevent rupture. No genetic mutation was

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revealed in whole exome sequencing, and the outcome is favorable 40 months after surgery Zhou et al.

There was also a study that used an animal model in the form of gestated rabbits to study the use of oral spermine supplementation on villi height of immature intestines. Intestinal maturity in neonates due to premature birth was associated with a high risk of gut-derived infection and mortality. A morphological examination of hematoxylin-eosin-stained villi was performed. They found that oral spermine supplementation might improve intestinal villi height in immature intestines during gestation, achieving a mature newborn's height Tamba and Moenadjat.

Finally, some systematic reviews and meta-analyses were also published in this research topic. A team in China conducted a systematic review and meta-analysis of the remission effect of first- injection sclerotherapy for pediatric rectal prolapse, which is a common issue in clinical practice. They found that despite significant heterogeneity and low quality of evidence, the available data showed that the first injection of sclerotherapy might demonstrate therapeutic effects in achieving remission status in pediatric rectal prolapse patients Zhou et al. Another meta-analysis investigated the efficacy of polidocanol against venous malformations (VMs). They found polidocanol is a safe and effective treatment for VMs on different sides Liu et al.

The advancement of pediatric surgery is rapidly developing all around the world. Either in diagnosis and surgical treatment, the use of minimally invasive techniques and biomarkers to predict outcomes to future technologies such as robotic surgery. Keeping updated with the latest knowledge is essential for every clinician, researcher, and academic to finally be able to provide the best management for the well-being of patients.

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# Esophageal Perforation and EVAC in Pediatric Patients: A Case Series of Four Children

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**Introduction:** In pediatric patients, esophageal perforation (EP) is rare but associated with significant morbidity and mortality rates of up to 20–30%. In addition to standard treatment options, endoscopic esophageal vacuum-assisted closure (EVAC) therapy has shown promising results, especially in adult patients. Thus far, the only data on technical success and effectiveness of EVAC in pediatric patients were published in 2018 by Manfredi et al. at Boston Children's Hospital. The sparse data on EVAC in children indicates that this promising technique has been barely utilized in pediatric patients. More data are needed to evaluate efficacy and outcomes of this technique in pediatric patients.

**Method:** We reviewed five cases of therapy using EVAC, ArgyleTM Replogle Suction Catheter (RSC), or both on pediatric patients with EP in our institution between October 2018 and April 2020.

**Results:** Five patients with EP (median 3.4 years; 2 males) were treated with EVAC, RSC, or a combination. Complete closure of EP was not achieved after EVAC alone, though patients' health stabilized and inflammation and size of EP decreased after EVAC. Four patients then were treated with RSC until the EP healed. One patient needed surgery as the recurrent fistula did not heal sufficiently after 3 weeks of EVAC therapy. Two patients developed stenosis and were successfully treated with dilatations. One patient treated with RSC alone showed persistent EP after 5 weeks.

**Conclusion:** EVAC in pediatric patients is technically feasible and a promising method to treat EP, regardless of the underlying cause. EVAC therapy can be terminated as soon as local inflammation and C-reactive protein levels decrease, even if the mucosa is not healed completely at that time. A promising subsequent treatment is RSC. An earlier switch to RSC can substantially reduce the need of anesthesia during subsequent treatments. Our findings indicate that EVAC is more effective than RSC alone. In some cases, EVAC can be used to improve the tissues condition in preparation for a re-do surgery. At 1 year after therapy, all but one patient demonstrated sufficient weight gain. Further prospective studies with a larger cohort are required to confirm our observations from this small case series.

Keywords: esophageal perforation, VAC, vacuum therapy, children, pediatric patients

#### INTRODUCTION

In pediatric patients, esophageal perforation (EP) is rare but associated with high morbidity rates of up to 20–30% (1). The most common cause for EP (75%) in children is dilatation of preexisting stenosis (2). The overall risk of perforation after dilatation is only 0.6% for all types of stenosis (3) but this risk increases up to 3.4–18% for congenital stenoses of the esophagus (4, 5). Other reasons for perforation can be foreign body ingestion or anastomotic leak after esophageal anastomosis (1, 2). In neonates, nasogastric tube insertions and attempted endotracheal intubation are main sources of EP (6). Interrupted continuity of the esophageal wall can cause saliva, bacteria, and digestive enzymes to migrate into the mediastinum, which can lead to empyema, abscess formation, and mediastinitis and potentially progress to sepsis and necrosis of pulmonary tissue (7).

The treatment for EP used to be primary repair (8–10) or stenting (11) for both pediatric and adult patients. Whereas, EP in adults commonly occurs with underlying pathology (1), thus favoring invasive approaches, EP in children mostly occurs in vital tissue with greater propensity to heal (1, 2), in which case non-operative treatments (e.g., parenteral nutrition and broadspectrum antibiotics) may be preferred, as described by Van der Zee et al. (12).

Over the last several years, non-operative techniques have been introduced in adult patients. Among these, endoscopic esophageal vacuum-assisted closure (EVAC) therapy has shown promising results. This well-established technique originated as a treatment for infected wounds, burns, and ulcers (13–18). In 2011, Loske et al. (19) and Schorsch et al. (20) modified it for intraluminal use in adult patients with EP. Multiple studies have verified the success of EVAC (19–26) for this purpose and have shown that it is more effective than stents (27).

The only data on the technical success and effectiveness of EVAC among pediatric patients were published in 2018 by Manfredi et al. (28) from Boston Children's Hospital (29). This promising technique therefore needs further investigation in pediatric patients. We aim to describe our experience using a therapy combining EVAC with an Argyle<sup>TM</sup> Replogle Suction Catheter (RSC) in pediatric patients with EP.

In our hospital, stents are not used in the treatment of EP. The therapy used to be non-operative with parenteral nutrition and broad-spectrum antibiotics and was then extended by the additional use of EVAC.

In our patients EVAC was technically possible and effective. No patient experienced complete closure of EP after EVAC alone, though patients were subsequently treated with RSC until restitutio ad integrum.

#### MATERIALS AND METHODS

We performed an institutional review on all patients aged  $\leq$ 18 years with EP who were treated with EVAC or RSC between October 2018 and April 2020. Indication for EVAC therapy was a radiologically and endoscopically proven perforation of the esophagus with saliva leaking into the mediastinum. Patients

aged >18 years or those with perforation of the esophagus or fistulation into the trachea or bronchi were excluded.

The EVAC sponge was placed using a size-adapted gastroscope and inserted antegrade. Correct position was confirmed by a retrograde endoscopy over a gastrostomy, in analogy to Manfredi et al. (28). An Eso-SPONGE®-system (Braun, Melsungen, Germany) was cut to size according to the patient's size. If an extraluminal cavity was present, the sponge was initially placed in the cavity and retracted with every session. If there was no extraluminal cavity, the sponge was placed in the esophagus, overlapping the EP on both sides. The position of the sponge was secured by a holding thread which was passed out and secured over the gastrostomy. The tube was connected to a Medela Thopaz+® Digital Chest Drainage and Monitoring System (Medela, Baar, Switzerland). Negative pressure of −100 cm H<sub>2</sub>O was applied. Blood inflammation levels, mainly C-reactive protein (CrP), were determined before and during treatment. Antibiotics were given in a weight-adjusted dosage according to the antibiotic susceptibility test results.

The correct position was confirmed using a flexible endoscope. In the first two patients, the sponge was initially changed every 3 days. To minimize the number of anesthesia, intervals were lengthened to 5 days for these and all patients thereafter. Prior to removal, the sponge was flushed with  $10\text{--}20\,\text{ml}$  of NaCl 0.9% to avoid mucosal defects. Removal was performed under the guidance of the holding thread. After several intervals of EVAC, the sponge was replaced by an ArgyleTM® Replogle Suction Catheter (RSC). The RSC was connected to a Medela Thopaz+® Digital Chest Drainage and Monitoring System using a negative pressure of  $-100\,\text{cm}$  H<sub>2</sub>O. The RSC was inserted endoscopically and placed at the level of the perforation. The correct position was checked radiologically. Patient 5 was treated with RSC alone.

The primary outcome was technical feasibility of EVAC in pediatric patients. The secondary outcome was the impact of an earlier switch to RSC on number of anesthesia. Finally, we assessed efficacy of RSC treatment alone and the medium-term outcome at 1 year after EVAC or RSC. Results are presented in a descriptive manner due to the size of our cohort.

Since this is a retrospective single-center study, data was collected prior to the study at our institution in the progress of treating the patients. As an inhouse-research, no approval of the ethics committee is needed.

#### **RESULTS**

Between October 2018 and April 2020, five pediatric patients (aged 7 months to 11.3 years, median 3.4 years; 2 males) with EP were treated with EVAC and/or RSC at our hospital. Patients 1 and 2 were born with esophageal atresia Gross type D and developed anastomotic leakage after failed primary repair and re-do surgery. Patient 3 was transferred to our hospital after iatrogenic EP post-dilatation of a congenital distal esophageal stenosis. Patient 4 suffered from anastomotic leakage after gastric transposition due to caustic injury at the age of 4 years. Gastric transposition was performed because of recurrent fistula

TABLE 1 | Patients treated with endoscopic esophageal vacuum-assisted closure (EVAC) between 2018 and 2020.

| Patient                      | 1                                   | 2               | 3                | 4              | 5                                       |
|------------------------------|-------------------------------------|-----------------|------------------|----------------|---|
| Age /month                   | 41                                  | 7               | 30               | 136            | 24                                      |
| Diagnosis                    | EA1 Gross D                         | EA Gross D      | CES <sup>2</sup> | Caustic injury | BA <sup>3</sup> , liver Tx <sup>4</sup> |
| Reason for treatment         | AL <sup>5</sup>                     | RF <sup>6</sup> | $IP^7$           | AL             | EGF <sup>8</sup>                        |
| Position of EP <sup>9</sup>  | T <sup>10</sup> 3                   | T5/6            | T11              | T6/7           | T11/12                                  |
| EP distance to dental arch   |                                     |                 |                  |                |   |
| Lenght of EVAC <sup>11</sup> | 30                                  | 21              | 11               | 12             | 24*                                     |
| Sessions                     | 8                                   | 6               | 2                | 3              | 4                                       |
| Subsequent therapy           | RSC <sup>12</sup>                   | Surgery         | RSC              | RSC            | RSC                                     |
| Days of treatment            | 45                                  | 21              | 26               | 23             | 38                                      |
| Diet after 1 year            | PEG <sup>13</sup> /SF <sup>14</sup> | Normal diet     | Normal diet      | Normal diet    | PEG                                     |

For Patients 1 and 2, the sponge was changed every 3 days. For the other patients, it was changed every 5 to 6 days, and duration of EVAC therapy was reduced. The Replogle Suction Catheter (RSC) was continued until the esophageal perforation (EP) healed. Patient 5 was treated with RSC alone and had a persistent fistula at 5 weeks after treatment.

<sup>1</sup>EA, Esophageal atresia; <sup>2</sup>CES, Congenital esophageal stenosis; <sup>3</sup>BA, Biliary atresia; <sup>4</sup>Tx, Transplantation; <sup>5</sup>AL, Anastomotic leak; <sup>6</sup>RF, Recurrent fistula; <sup>7</sup>IP, latrogenic perforation; <sup>8</sup>EGF, Esophageagastric fistula; <sup>9</sup>EP, Esophageal perforation; <sup>10</sup>Tx, Thoracic vertebral body; <sup>11</sup>EVAC, Endoluminal vacuum-assisted closure therapy; <sup>12</sup>RSC, Replogle suction catheter; <sup>13</sup>PEG, percutaneous endoscopic gastrostomy; <sup>14</sup>SF, Soft food; <sup>\*</sup>RSC only.

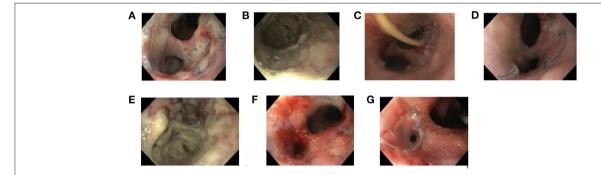


FIGURE 1 | Documentation of endoscopic findings during endoscopic esophageal vacuum-assisted closure (EVAC) and Replogle Suction Catheter (RSC) therapy (Patient 4). First impression of anastomotic insufficiency forming a second lumina into the mediastinum (A), shown more closely (B). Esophageal perforation after 4 days (C), 8 days (D), and 12 days (E) of EVAC therapy. After day 8, EVAC was replaced by an RSC (F). Fully healed esophageal perforation 10 days after RSC (G).

and refractory esophageal stenosis despite multiple dilatations. Patient 5 was born with biliary atresia and treated with a Kasai procedure (heopatoportoenterostomy) and liver transplantation. Over time, the patient developed esophageal varices. After massive bleeding with neurologic consequences, a nasogastric tube was blindly placed causing EP. Later, an esophagogastric fistula was detected endoscopically. **Table 1** summarizes the clinical data.

In Patients 1 and 2, the sponge was initially changed every 3 days, and EVAC therapy was administered for 21 and 30 days, respectively. In Patients 3 and 4, EVAC therapy was shortened to 11 and 12 days, respectively. None of these 4 patients experienced complete EP closure after EVAC alone (Figure 1). However, their health stabilized, EP size decreased, and initially high CrP-levels (as a marker of inflammation) normalized (Figure 2). All four patients were subsequently treated with RSC until their EP healed.

With increasing experience in EVAC therapy, time between EVAC sessions was prolonged from 3 to 5 to 6 days to minimize anesthesia. Learning from the experience of Patient 1 and 2, the RSC was placed as soon as CrP-levels began falling and when mucosal inflammation and size of EP macroscopically decreased (**Figure 1**). All patients were treated with antibiotics according to antibiotic susceptibility test results until the EP healed.

In Patient 2, recurrent fistula did not heal after 3 weeks (six sessions) of EVAC therapy, thus requiring surgery. But EVAC therapy reduced local inflammation in this patient, thereby reducing the risk of major or fatal complications during surgery. No other patient required surgery. Patient 1 and 3 developed stenosis after EVAC, and both were successfully treated with dilatations.

Based on shortening the duration of EVAC therapy from 21–30 days to 11–12 days, it was decided to completely dispense EVAC for Patient 5. The patient's EP was instead treated using an RSC and broad-spectrum antibiotics only. Despite normalizing

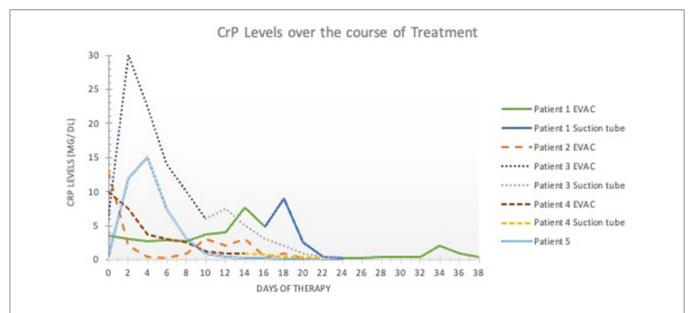
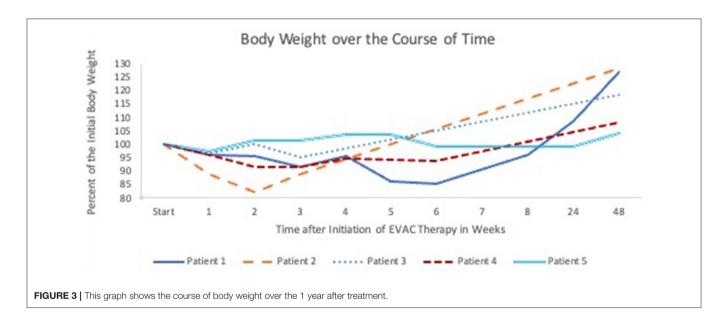


FIGURE 2 | C-reactive protein levels over time. The x- axis shows the duration of esophageal perforation therapy (in days). The y-axis shows the C-reactive protein levels in milligrams per deciliter (mg/dL). Values < 0.5 mg/dL are considered normal and without inflammation. EVAC, endoscopic esophageal vacuum-assisted closure; CRP, C-reactive protein.



CrP levels, the EP persisted after 5 weeks. The patient continues to be fed via gastrostomy.

Oral feeding is not possible during EVAC therapy. Prior to the EP, Patients 1 and 4 were already partially fed via gastrostomy. Patient 2 received a gastrostomy with the first placement of EVAC. Whether patients were enterally fed through a gastrostomy or with parenteral nutrition alone during the 6 week study period, weight loss, in general, was <10% (**Figure 3**). Patient 2 experienced rapid weight loss due to the persistent EP, and the patient's weight returned to normal within 4 weeks after surgery. Enteral nutrition was initiated in all patients after

fluoroscopy confirmed a closed EP. All but Patient 5 gained sufficient weight (30) at 1 year after EVAC therapy started.

#### **DISCUSSION**

Vacuum-assisted closure is a well-established technique of applying sub-atmospheric pressure to treat infected wounds, burns, or ulcers (13–18). The pressure is applied continuously or in alternating 5-min cycles with 2-min pauses (13). This technique helps remove bacteria and excess fluids to improve

blood flow and formation of granulation tissue (13, 17). Endoluminal vacuum-assisted closure was first described by Weidenhagen et al. o repair anastomotic leakage after anorectal surgery (31). In 2011, Loske et al. adapted this method for use in the upper gastro-intestinal tract (19). Since then, therapy of EP in adult patients has shifted from surgical repair (8, 10) or stenting (11) to conservative treatment (32).

The overall healing rate of EP treated with EVAC is reportedly between 70 and 100% (19–21, 23, 33–35), which is superior to that for stenting (28). Complete closure was not achieved in a single patient with EVAC alone. But the combination of EVAC and RSC was successful in 75%. Maybe the sponge was still too big, even after size adaption. Thus, the vulnerable tissue in children might be affected by the pressure of the sponge. Therefore, complete healing was only achieved by RSC as subsequent therapy.

All patients showed significant reduction in local and systematic inflammation, shortly after insertion of EVAC. Therefore, EVAC was helpful even in the one patient who needed re-do surgery in the end. In this case, local inflammation was reduced and operative field was well-prepared for the surgery. The results indicate that in some cases, EVAC facilitates improved outcomes in subsequent surgery even if the EVAC itself does not fully resolve the EP.

Finally, our results of a very small cohort showed a success rate of 75% for EVAC and RSC, which is similar to results of pediatric patients described by Manfredi et al. (28).

After treating the first two patients we learned that increasing the interval between EVAC sessions to 5 days was well tolerated by the patients, thus reducing the number of anesthesia. This approach was similarly described by Schorsch et al. (20) and Bludau et al. (34, 35).

Mean duration of EVAC therapy described in the first study was 17 days for adult patients (19). Later studies reported have a median duration of 11 to 12 days (20, 34, 35). In our cohort of four pediatric patients, the median EVAC duration was 18 days. When local inflammation and CrP levels decreased sufficiently in these patients, EVAC was replaced by RSC until the mucosa completely healed. The duration of EVAC therapy was shortened from initially 30 to 11 days in the last patient. RSC was installed earlier with good results. The space consuming effect of the sponge might have been a hindrance to a complete closure.

Nevertheless, the sponge seems to be important for healing, as a complete omission of EVAC, as in Patient 5, did not yield a satisfactory result. Although only a single patient, this case nevertheless emphasizes the benefit EVAC therapy in treating EP.

The prolonged EVAC intervals and earlier use of RSC reduced anesthesia sessions significantly from 8 to 2 days.

All 5 patients were treated with antibiotics until the EP healed. As shown in the graph, it is worth assessing whether treatment with antibiotics should be terminated earlier due to rapidly falling CrP levels. EVAC was technically possible in four patients. Initially, removal was difficult due to the sponge being too dry. Flushing the sponge with 10–20 ml NaCl 0.9% solved the problem.

At our hospital, stents have not been used, therefore we have only little experience in this field. After our opinion, stents are not a save option in children as they tend to dislocate (36) and bear the risk of damaging the vulnerable tissue in children.

#### CONCLUSION

EVAC in pediatric patients is a technically feasible and promising method to treat EP, regardless of etiology. EVAC therapy can be terminated as soon as local inflammation and CrP levels decrease sufficiently, even if the mucosa is not yet healed. A promising subsequent therapy is use of an RSC. An early switch can decrease anesthesia time drastically. Overall, EVAC appeared to be more effective than RSC alone. We also observed that EVAC improved the tissue condition in preparation for re-do surgery. At 1 year after therapy, all patients but one gained sufficient weight. Further prospective studies with a larger cohort are required to confirm our experience from this small case series.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **AUTHOR CONTRIBUTIONS**

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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### Midterm Outcomes of Crosslinked Acellular Bovine Jugular Vein Conduit for Right Ventricular Outflow Tract Reconstruction

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Qian T, Wu Z-S, Hu J-G, Yang Y-F, Wu Q, Lu T, Huang C and Li J (2021) Midterm Outcomes of Crosslinked Acellular Bovine Jugular Vein Conduit for Right Ventricular Outflow Tract Reconstruction. Front. Pediatr. 9:725030. doi: 10.3389/fped.2021.725030 **Objectives:** Conduits for reconstructing right ventricular outflow tract (RVOT) in children with congenital heart disease have evolved for better durability over the past decades, but conduits failure remains common. We designed decellularized and photooxidatively crosslinked bovine jugular vein conduit (DP-BJVC) and now aim to evaluate the midterm results of DP-BJVC for RVOT reconstruction.

**Methods:** Ninety patients (median age: 4.2 years) undergoing RVOT reconstruction using DP-BJVC were prospectively followed for median of 4.7 years (range: 0.2–16.1 years). Kaplan–Meier analysis was used to examine the survival, freedom from conduit explantation and catheter-based reintervention. Risk factors were analyzed with Cox regression analysis.

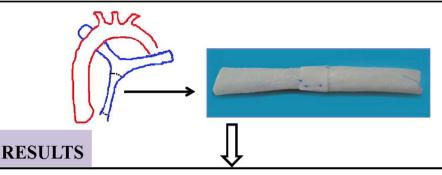
**Results:** Follow-up was completed in 92% of patients. There were five (5.6%) early deaths. The 10-year survival rate was 85.2%, with palliative procedure at DP-BJVC implantation as the risk factor. The 10-year freedom from conduit explantation and reintervention were 84.4 and 67.3% respectively, with previous cardiac operation as the only risk factor for explantation. Complications during the follow-up included conduit stenosis (peak gradient  $\geq$ 50 mmHg) in 12 (12.9%), severe regurgitation in 2 (2.4%), and infective endocarditis in 2 (2.4%). The annual increase in gradient was highest in the first year (P = 0.003), but not appreciably afterwards. The echo-measured annulus diameter trends to increase by an average of 0.37 mm per year. Calcification appeared mild in the failed conduits.

**Conclusions:** DP-BJVC provides satisfactory durability and functionality for RVOT reconstruction for children, with low morbidity of stenosis and endocarditis, as well as increase in diameter mildly with age in midterm follow-ups.

Keywords: bovine jugular vein conduit, decellularized and photooxidatively crosslink, right ventricular outflow tract reconstruction, congenital heart disease, outcomes

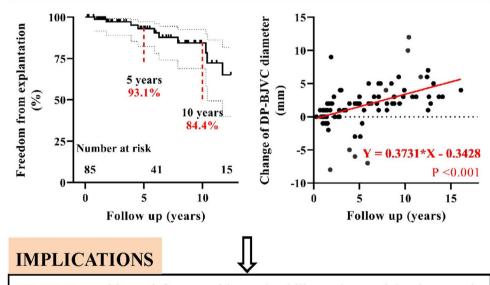
#### **METHODS**

RVOT reconstruction using DP-BJVC in 90 children with CHD (median age 4.2 years) with median follow-up of 4.7 years



The estimated 10-year freedom from DP-BJVC explantation was 84.4%.

The diameter of DP-BJVC increased by 0.37 mm per year.



DP-BJVC provides satisfactory midterm durability and potential to increase in diameter, is a promising material for RVOT reconstruction in children.

DP-BJVC, decellularized and photooxidatively crosslinked bovine jugular vein conduit. RVOT, right ventricular outflow tract. CHD, congenital heart diseases.

Graphical Abstract | Midterm performance of DP-BJVC for RVOT reconstruction in 90 patients with median follow-up of 4.7 years showed good durability and the potential to increase in diameter.

#### INTRODUCTION

The surgical reconstruction of right ventricular outflow tract (RVOT) with valved conduit remains a common cardiac procedure for patients with congenital heart diseases (CHD)

(1). However, explantation remains common for the currently available conduits, thus improving the durability of RVOT conduits in young children remains a challenge. Younger age at implantation was the leading adverse factor of the valved conduits failure (2–4), mainly as the result of fast somatic growth.

The durability of RVOT conduit in young children remains to be improved yet challenging.

Historically, cryopreserved pulmonary homograft was the "gold standard" from the mid-1980s through the late 1990s. Due to concerns of limited durability, availability and costs of homografts, attention has turned to xenograft and artificial material as alternatives. The Contegra conduit (Medtronic Inc., Minneapolis, Minnesota, USA), a glutaraldehyde-treated bovine jugular vein conduit (BJVC) developed in 1990s, is one of the most successful alternates. It makes up the shortage of homograft and costs less. Its hemodynamic performance and durability have been found comparable to homograft (5-8). Nonetheless, <25% of infants receiving the glutaraldehyde-treated BJVC were free from replacement at 10 years (4, 5, 9). The glutaraldehyde brings unpredictable cytotoxicity and possibility of early calcification (10). Moreover, the graft-related immune response induced by residual donor cells and cell debris plays an important role in the failure of glutaraldehyde-treated xenografts (11). The latter factor may be avoided by decellularization, which has been adopted in cardiovascular biomaterials over 30 years (12). The decellularized homografts have significantly improved functionality compared with standard cryopreserved homograft used for RVOT reconstruction (13). Recently, the Japanese approach of handsewn expanded polytetrafluoroethylene (ePTFE) valved conduit has attracted attention, with satisfactory long-term outcomes from Japanese multi-center studies (14). But its application was limited largely within Japan by the inconsistent quality control of hand-sewn valve until recent years.

Our team started working on the non-glutaraldehyde-treatment of BJVC combined with decellularization and photooxidatively crosslinking (DP-BJVC) since 2002 (15). We hypothesized that DP-BJVC prevents the immunogenicity from xenogeneic cells and avoids the toxic effects of glutaraldehyde. In a series of animal experiments in rats or dogs, DP-BJVC was shown to have favorable biocompatibility and tissue structure stability, and greater calcification resistance than glutaraldehyde-treated BJVC (16, 17). In the past 16 years, DP-BJVC has been used clinically in our center. The aim of this study was to evaluate the outcomes of our cohort.

#### PATIENTS AND METHODS

#### **Patients**

After approved by the Institutional Ethics Committee (reference number: LYF2004011) and written informed consent obtained from patients or their guardians, 122 patients were prospectively enrolled for DP-BJVC implantation. The study protocol was also approved (reference number: LYF2020097). Among 122 patients, 32 were excluded from this study. Two patients underwent superior vena cava reconstruction using DP-BJVC, and 30 received DP-BJVC implantation in the form of valved patch.

#### **DP-BJVC Preparation**

The conduit was prepared with the multi-step detergent-enzymatic decellularization and dye-mediated photooxidation procedures as previously reported (16, 17). The DP-BJVC (Yaxin Medical Technology Co., Ltd., Wuhan, Hubei, China.) consists

of natural tri-leaflet valve with size ranging from 12 to 20 mm with 1 mm as spacing. The annulus restraining device located at the level of valve annulus mainly fixed on the outer wall of the conduit, was made to enhance the conduit stability since 2013. The device was a rectangular Dacron patch wrapped loosely around the conduit, and gently held together circumferentially by several 6-0 Prolene sutures (Ethicon, Inc., Somerville, New Jersey, USA).

#### **Surgical Techniques**

Written informed consent was obtained from parents or guardians before surgery. Conduit size was determined by age and the body surface area and converted to z-score. A conduit with z-score over +1 was preferred up to 20 mm of the conduit diameter.

Standard cardiopulmonary bypass surgical procedures were performed. Intra-cardiac malformations were corrected. The end-to-end distal anastomosis was made away from the pulmonary artery bifurcation and leaving about 5 mm conduit above the valve. When the left pulmonary artery (LPA) and/or right pulmonary artery (RPA) were hypoplasia, extra bovine jugular vein patch was used for angioplasty before the implantation of DP-BJVC. Following the distal anastomosis, probes were used to measure the dimension of anastomosis and orifices of LPA and RPA. Next, the proximal orifice of DP-BJVC was anastomosed to the right ventricular infundibulum. All anastomoses were sutured continuously with single 6-0 or 5-0 Prolene suture.

Before discontinuation of cardiopulmonary bypass, transesophageal echocardiogram was performed to assess the hemodynamics of the neo-pulmonary arterial trunk. Before chest closure, the GORE<sup>®</sup> Pericardial Membrane (W.L. Gore and Associates, Arizona, USA) was used to keep the conduit and right atrium from adhering to the sternum in order to reduce the potential risks in any future sternotomy.

Postoperatively, antibiotics covering gram-negative and gram-positive bacteria were used for 3 days and then adjusted according to clinical assessment. The International Normalized Ratio was maintained between 1.6 and 2.0 with warfarin for 6 months.

#### Follow-Ups

Follow-ups were made via clinic visits at the 1, 6, 12 months after operation and then annually, including physical examination, transthoracic echocardiography, and cardiac MRI or CT when appropriate. Last follow-up was completed between January to June, 2020. Echocardiography was performed to measure conduit diameter at the level of annulus and hemodynamics. The peak gradient across the conduit (PG) was the sum pressure gradients at the proximal, valvular, and distal levels. Conduit stenosis was defined as PG  $\geq$ 50 mmHg (18). Conduit valve regurgitation was graded as none, trivial, mild, moderate and severe mainly according to the strength and size of the regurgitant jet (19). Patients with conduit stenosis or over moderate regurgitation received catheter based reintervention or surgical replacement.

The primary outcomes included patient death, conduit reintervention, and conduit explantation. Early mortality was

defined as death within 30 postoperative days. The time from the date of the conduit implantation to the date of the conduit replacement or the last follow-up was defined as the time of freedom from conduit explantation. The time from the date of the conduit implantation to the date of first catheter-based reintervention or the last follow-up was defined as the time of freedom from reintervention.

Other demographic and clinical variables were collected, including gender, age, weight, height, diagnosis of CHD, diameter and z-score of the conduit, preoperative SpO<sub>2</sub>, previous cardiac corrective or palliative procedures, LPA and/or RPA angioplasty, cardiopulmonary bypass time and aortic cross clamp time.

#### **Statistical Analysis**

Data were described as mean  $\pm$  SD, median (range) or frequency (%) when appropriate. Early deaths were censored for analysis of freedom from conduit explantation and reintervention and the associated factors. The Kaplan-Meier method was used to estimate the survival, freedom from conduit explantation, and freedom from conduit first reintervention which was further compared between groups using Log-rank (Mantel-Cox) test. Stepwise forward Cox multivariable analyses were performed to assess the risk factors for mortality, conduit explantation, and conduit reintervention. Variables were retained when P < 0.10. Results were reported as corresponding hazard ratios (HR) with 95% confidence intervals (CI). The PG across the conduit in different follow-up time were compared using mixedeffects analysis with the Geisser-Greenhouse correction and Sidak's multiple comparisons test for *post-hoc* analysis. Spearman correlation coefficient was used to analyze the correlations between the change of conduit diameter (defined as the diameter of pulmonary artery measured at the latest echocardiography minus that at implantation) and hemodynamics. P < 0.05 was considered significant. Data analysis was performed using IBM SPSS Statistics 23.0 (SPSS Inc., Chicago, Illinois, USA) and GraphPad Prism 8.0 software (GraphPad Software, San Diego, California, USA).

#### **RESULTS**

#### **Demographics and Operative Data**

Ninety patients (median age: 4.2 years, range: 0.2–20.0) were enrolled in this study, including 35 (38.9%) patients  $\leq$ 3 years old. Detailed demographic data, diagnosis of CHD, previous cardiac operations and operative data of RVOT reconstruction using DP-BJVC are presented in **Table 1**. Fourteen (15.6%) patients underwent DP-BJVC implantation as part of palliative operation, including VSD closure and pulmonary artery reconstruction (classic repair) for patients with congenitally corrected transposition of the great arteries (n=4), operation with Glenn shunt (n=3) or without taking-down of previous Glenn shunt (n=2), and operation without closure of ventricular septal defect (n=5).

The distribution of DP-BJVC size with age is shown in **Figure 1A**. The conduit diameter ranged from 12 to 20 mm (median 16), with mean z-score of +1.95. The conduit z-score trended to be lower with older age (**Figure 1B**). Specifically, four

TABLE 1 | Demographic and operative data of the cohort using DP-BJVC.

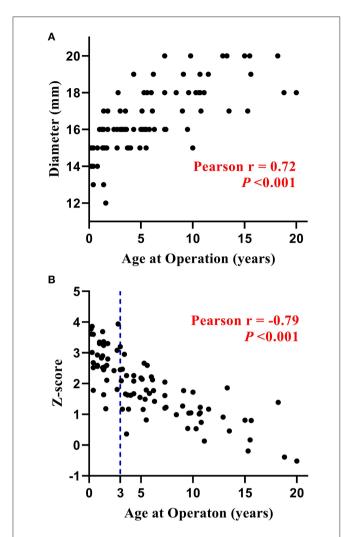
| Variables                           | <i>n</i> = 90    |  |  |  |  |  |
|-------------------------------------|------------------|--|--|--|--|--|
| Demographics                        |                  |  |  |  |  |  |
| Age (years)                         | 4.2 (0.2–20.0)   |  |  |  |  |  |
| Gender (male: female)               | 48:42            |  |  |  |  |  |
| Height (cm)                         | 95.5 (55–172)    |  |  |  |  |  |
| Weight (kg)                         | 13.3 (3.5–51.0)  |  |  |  |  |  |
| Body surface area (m <sup>2</sup> ) | 0.58 (0.23-1.56) |  |  |  |  |  |
| McGoon ratio                        | 1.7 (1.0-3.3)    |  |  |  |  |  |
| Preoperative SpO <sub>2</sub> (%)   | 82 (34–98)       |  |  |  |  |  |
| Anatomic diagnoses                  |                  |  |  |  |  |  |
| PA/VSD                              | 41 (45.6%)       |  |  |  |  |  |
| DORV/PS or DORV/PA                  | 12 (13.3%)       |  |  |  |  |  |
| AV diseases                         | 11 (12.2%)       |  |  |  |  |  |
| Truncus arteriosus                  | 7 (7.8%)         |  |  |  |  |  |
| TGA/PS or TGA/PA                    | 6 (6.7%)         |  |  |  |  |  |
| ccTGA/PS or ccTGA/PA                | 5 (5.6%)         |  |  |  |  |  |
| PR with repaired TOF                | 5 (5.6%)         |  |  |  |  |  |
| TOF/APV                             | 2 (2.2%)         |  |  |  |  |  |
| MV diseases                         | 1 (1.1%)         |  |  |  |  |  |
| Previous cardiac operations         |                  |  |  |  |  |  |
| Systemic-to-pulmonary shunt         | 13 (14.4%)       |  |  |  |  |  |
| TOF repair                          | 5 (5.6%)         |  |  |  |  |  |
| Bidirectional Glenn shunt           | 3 (3.3%)         |  |  |  |  |  |
| SAV                                 | 2 (2.2%)         |  |  |  |  |  |
| PDA stenting                        | 2 (2.2%)         |  |  |  |  |  |
| Intraoperative characteristics      |                  |  |  |  |  |  |
| Cardiopulmonary bypass time (min)   | 173 (72–563)     |  |  |  |  |  |
| Aortic cross-clamp time (min)       | 92 (0-239)       |  |  |  |  |  |
| DP-BJVC diameter (mm)               | 16 (12–20)       |  |  |  |  |  |
| DP-BJVC z-score                     | $1.95 \pm 0.11$  |  |  |  |  |  |
| Reinforced conduit                  | 52 (57.8%)       |  |  |  |  |  |
| Major combined procedures           |                  |  |  |  |  |  |
| LPA angioplasty                     | 16 (17.8%)       |  |  |  |  |  |
| RPA angioplasty                     | 6 (6.7%)         |  |  |  |  |  |
| ncVSD management                    | 8 (8.9%)         |  |  |  |  |  |
| ECMO                                | 5 (5.6%)         |  |  |  |  |  |
|                                     |                  |  |  |  |  |  |

AV, aortic valve; ccTGA, corrected transposition of the great arteries; DORV/PS, double-outlet right ventricle with pulmonary stenosis; ECMO, extracorporeal membrane oxygenation; LPA, left pulmonary artery; ncVSD, non-committed ventricular septal defect; PA/VSD, pulmonary arteria with ventricular septal defect; PR, pulmonary regurgitation; PDA, patent ductus arteriosus; RPA, right pulmonary artery; SAV, surgical aortic valvoplasty; TOF, tetralogy of Fallot; TOF/APV, TOF with absent pulmonary valve; TGA, transposition of the great arteries.

(11.4%) patients  $\leq$ 3 years old and 42 (76.4%) aged 3 to 20 years received a conduit with z-score < +2.

#### Follow-Up Results

Follow-up was completed in 83 (92%) patients. In the remaining seven (8%) patients, follow-up was lost at 1 (n = 3), 5 (n = 2), 6 (n = 1) and 8 (n = 1) years after DP-BJVC implantation. The duration of follow-up ranged 0.2 to 16.1 years (median 4.7).



**FIGURE 1** | Distribution of the diameter **(A)** and z-score **(B)** of DP-BJVC at implantation. The conduit diameter (range from 12 to 20 mm) is significant positive corrected with patients' age (P < 0.001), while the conduit z-score is significant negative corrected with patients' age (P < 0.001). Particularly, four patients  $\leq 3$  years, as well as most of the older patients, received a conduit with z-score < +2.

#### Mortality

There were five (5.6%) early deaths and five (5.6%) late deaths (**Table 2**) due to cardiac, pulmonary or cerebral events, and none from any DP-BJVC complications. One late death occurred on 65th days after operation while staying in the hospital, making the in-hospital mortality 6.7%. The Kaplan–Meier estimated overall survival was 89.1 and 85.2% at 5 and 10 years, respectively, for the entire cohort (**Figure 2A**). It was 91.4 and 81.3%, respectively, at 5 and 10 years in children  $\leq$  3 years old, similar to the older patients (87.4% both at 5 and 10 years) (P = 0.970, **Figure 2B**). Palliative procedure at the DP-BJVC implantation was the only risk factor for overall mortality both on univariate analysis (P = 0.028, **Supplementary Tables 1**, 2) and multivariate analysis (HR: 3.64, 95% CI: 1.03-12.93, P = 0.045).

#### Explantation

Ten patients required DP-BJVC explantation at median of 6.1 years (range: 0.8 to 11.7) follow-up, including four (40%) with previous shunt operations (**Table 3**). The indications for explantation included conduit stenosis in five patients, among whom it was the distal anastomotic level in four and the middle of the conduit due to sternal compression in one; conduit aneurysmal dilatation with severe regurgitation in two patients and moderate regurgitation in 1, all being over 10 years after implantation without the annulus restraining device; infective endocarditis in two patients, with one at 2 months after catheter-based intervention resulting in severe regurgitation and the other with conduit valvular stenosis. **Supplementary Figure 1** showed three examples of the failed DP-BJVCs with mild calcification and degeneration that was easily handled with tissue scissors.

The Kaplan-Meier estimated freedom from conduit explantation was 93.1 and 84.4% at 5 and 10 years, respectively, for the entire cohort (**Figure 2C**). It was 94.7% at 5 and 10 years in children  $\leq$  3 years old, similar to the older patients (91.9% at 5 years and 78.1% at 10 years) (P=0.264, **Figure 2D**). On univariable analysis, lower preoperative SpO<sub>2</sub> (P=0.032) and previous cardiac operation (P=0.018) were significantly associated with explantation (**Supplementary Tables 1, 2**). On multivariate analysis, previous cardiac operation was the only significant risk factor for conduit explantation(HR: 6.31, 95% CI: 1.12–35.66, P=0.037).

#### Reintervention

Fifteen (16.7%) patients required 18 catheter-based reintervention during the follow-ups, including 16 (89%) balloon dilations, 7 for LPA, 6 for distal anastomosis, 2 for RPA, and 1 for conduit valve; and 2 (11%) stent placements in LPA.

The first reintervention occurs at median of 4.1 years (range: 0.8 to 10.5) follow-up. The Kaplan–Meier estimated freedom from first reintervention was 83.4 and 67.3% at 5 and 10 years respectively (**Figure 2E**). It was 78.3 and 52.9%, respectively, at 5 and 10 years in children  $\leq$ 3 years old, which was not significantly different compared to older patients (87.0% at 5 years and 75.1% at 10 years) (P = 0.076, **Figure 2F**). On univariate analysis, PG before discharge (P = 0.008), previous cardiac operation (P = 0.091) and non-Ross operation (P = 0.084) were associated with increased reintervention rate (**Supplementary Tables 1, 2**). None of these were significant on multivariate analysis.

#### **Conduit Hemodynamics**

Before hospital discharge after the initial implantation, the median PG across the conduit was 23.0 mmHg (range: 2.0 to 40.0 mmHg). It became significantly higher during follow-ups (P < 0.001). The annual increase in PG was highest in the first postoperative year (P = 0.003), but without any significant annual difference in the subsequent consecutive year-to-year comparisons (Ps >0.30, **Figure 3A** and **Supplementary Figure 2**).

Eleven (12.9%) patients had conduit stenosis during follow-up with median PG of 68.0 mmHg (ranging 52.0 to 92.0), including 8 at the distal anastomosis level, 2 at the valvular level, and 1 at the middle of the conduit. Six of them were alleviated by

**TABLE 2** Operative data and the main causes for the early and late deaths (n = 10).

|           | Age (years) | Diagnosis          | Surgical strategy*  | Conduit's hemodynamics |           |             | Survival time | Cause of death                    |
|-----------|-------------|--------------------|---------------------|------------------------|-----------|-------------|---------------|-----------------------------------|
|           |             |                    |                     | Post-op. time**        | PG (mmHg) | PR          |               |                                   |
| Early dea | aths        |                    |                     |                        |           |             |               |                                   |
| Case 1    | 3.0         | Truncus arteriosus | Rastelli            | 1 day                  | <u>12</u> | Trivial     | 2 days        | Pulmonary hypertension crisis     |
| Case 2    | 10.7        | IE/AVS             | Ross                | 1 day                  | <u>8</u>  | <u>None</u> | 9 days        | Intracranial hemorrhage           |
| Case 3    | 6.3         | PA/VSD             | Rastelli            | 1 day                  | <u>10</u> | <u>None</u> | 11 days       | LCOS                              |
|           |             |                    | ECMO                |                        |           |             |               | Multiple organs failure           |
| Case 4    | 3.6         | PA/VSD             | RVOT reconstruction | 10 day                 | <u>26</u> | Trivial     | 14 days       | LCOS                              |
|           |             |                    | LPA angioplasty     |                        |           |             |               |                                   |
| Case 5    | 7.3         | AVS                | Ross                | 20 days                | <u>6</u>  | None        | 24 days       | Right heart failure               |
|           |             | Post- SAV          | CABG (RCA)          |                        |           |             |               | LCOS                              |
|           |             |                    | Glenn shunt         |                        |           |             |               |                                   |
|           |             |                    | ECMO                |                        |           |             |               |                                   |
| Late dea  | ths         |                    |                     |                        |           |             |               |                                   |
| Case 6    | 1.4         | DORV/PA            | RVOT reconstruction | 1 month                | <u>23</u> | None        | 65 days       | Sepsis                            |
|           |             | Post-PDA stenting  |                     |                        |           |             |               | Choking and asphyxia              |
|           |             |                    |                     |                        |           |             |               | Intracranial hemorrhage after CPF |
| Case 7    | 0.3         | PA/VSD             | Rastelli            | 3 months               | <u>12</u> | None        | 4 months      | Choking and asphyxia              |
| Case 8    | 10.3        | ccTGA/PS           | Senning             | 1 year                 | <u>21</u> | Trivial     | 13 months     | Heart failure                     |
|           |             |                    | Rastelli            |                        |           |             |               |                                   |
| Case 9    | 5.1         | DORV/PS            | Rastelli            | 4 years                | <u>8</u>  | Trivial     | 4.5 years     | Sudden death (unknown reason)     |
| Case 10   | 1.3         | PA/VSD             | RVOT reconstruction | 7 years                | 18        | Mild        | 8.5 years     | Heart failure                     |

AVS, aortic valve stenosis; CPR, cardiopulmonary resuscitation; CABG, coronary artery bypass grafting; ccTGA/PS, corrected transposition of the great arteries with pulmonary stenosis; DORV/PA, double-outlet right ventricle with pulmonary atresia; DORV/PS, double-outlet right ventricle with pulmonary stenosis; ECMO, extracorporeal membrane oxygenation; IE, infective endocarditis; LPA, left pulmonary artery; LCOS, low cardiac output syndrome; PG, peak gradient (across the conduit); PR, pulmonary regurgitation; PAVSD, pulmonary atresia with ventricular septal defect; PDA, patent ductus arteriosus; RVOT, right ventricular outflow tract; RCA, right coronary artery; SAV, surgical aortic valvoplasty; VSD, ventricular septal defect.

balloon dilation, and 5 ultimately received conduit explantation as described above.

Before hospital discharge, conduit valve regurgitation was described as trivial in 27 patients and none in the remaining. Conduit regurgitation measured at the last echocardiography was graded as trivial in 48 patients, mild in 6, moderate in 3, severe in 2, and none in the remaining. Both the two patients with severe regurgitation received conduit explantation as described above.

No serious thrombotic events were found during followup. **Supplementary Figures 3**, **4** provided the follow-up echocardiography, CT, and MRI examples for DP-BJVC, and the example of aneurysmal dilatation.

#### Change in Conduit Diameter

The overall change of conduit diameter significantly and positively correlated with follow-up time (P < 0.001), with regression coefficient of 0.37 (**Figure 3B**). The change of conduit diameter did not significantly correlate with the change of PG (defined as the PG measured at the last echocardiography minus that before discharge. P = 0.940), or the change of regurgitation grade (defined as the grade of regurgitation measured at the last echocardiography minus that before discharge. P = 0.680). The diameter change of reinforced conduit has similar regression coefficient with the non-reinforced conduit (P = 0.680).

0.806. **Supplementary Figure 5**). Of note, four conduits had a diameter decrease over 5 mm after implantation (marked by arrows in **Figure 3B**) due to stenosis mainly at the distal anastomosis, which had been replaced (case 2 to 5 in **Table 3**).

#### DISCUSSION

We examined the midterm outcomes of our novel designed DP-BJVC for RVOT reconstruction in children with CHD (**Graphical Abstract**). The overall survival rate was 85.2% at 10 years. The 10-year freedom from conduit explantation and reintervention was 84.4 and 67.3%, respectively. These figures were largely comparable between children  $\leq$  3 years old and the older patients.

The early mortality in our cohort was 5.6%, which was higher compared to previous reports up to 4.1% (3, 4, 20). This reflects the developing course in our center. Two of them received the Ross operation that was being implemented as learning curve. The other three deaths were due to the much older age at operation resulting in poorer cardiac and pulmonary vascular function. Indeed, the age at operation in children with CHD is generally older in our cohort (**Table 1**), representing the current situation in China and other developing countries.

<sup>\*</sup>Rastelli operation, including all Rastelli-type operations for patients with Truncus arteriosus, PAVSD, and DORV/PS et al.

<sup>\*\*</sup>Post-op. time, means the time from the date of operation to the date of last echocardiography examination.

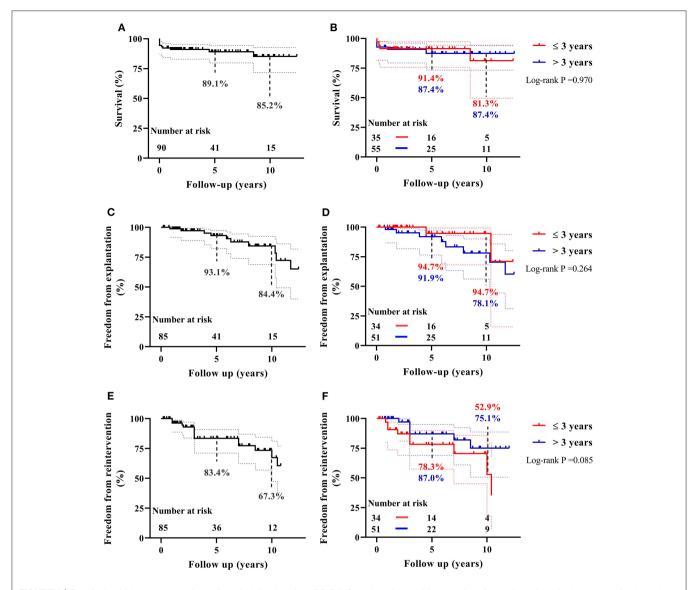


FIGURE 2 | The Kaplan-Meier estimates of overall survival, freedom from DP-BJVC explantation, and freedom from first catheter-based reintervention for the entire cohort are shown in (A), (C), and (E), respectively. The comparisons of these estimated values between children  $\leq$ 3 years and older patients are shown in (B), (D), and (F), respectively. Dashed lines represent 95% Cls. In summary, the performance of DP-BJVC in young children ( $\leq$ 3 years) is similar with that in larger patients.

Nonetheless, midterm survival of our cohort is comparable to previous reports on RVOT reconstruction in children with CHD (3, 4, 9, 20). The significant risk factor for overall mortality was palliative procedures, which are usually associated with more complex structural deformities and un-physiological postoperative hemodynamics.

The notion for us to design DP-BJVC included the followings: (1) It is a cell-free xenogeneic scaffold, thus preventing the immunogenicity from donor cells (21); (2) It is a non-glutaraldehyde-treated BJVC, thus avoiding the toxic effects of glutaraldehyde (11); (3) the structure and composition of the extracellular matrix are preserved by photooxidation and its effective crosslink with the collagen proteins (22). The hypothesized advantages were demonstrated in a series of

experimental studies *in vitro* and *in vivo* by our team (15–17, 23, 24). The tissue structure of DP-BJVC was stable after 12-week subcutaneous implantation in rats, with all-layer host cells repopulation and neo-capillaries formation (16). The conduit showed good valvular function without calcification after 6-month implantation in dogs, with the host cells infiltrated and migrated from outer layer to the middle layer of the conduits' walls (17).

These advantages are further demonstrated in the present cohort. The midterm durability of DP-BJVC is satisfactory and comparable with that of glutaraldehyde-treated BJVC, in which the 10-year freedom rate from replacement ranged from 59 to 90% (4, 7, 20, 25). Previous cardiac operation was the only risk factor for conduit explantation as most of those procedures

**TABLE 3** | Operative data and the causes for explantations (n = 10).

|         | Age<br>(y) | Diagnosis                    | Surgical strategy                       | Conduit diameter (mm) | Conduit durability (years) | Causes of failure     | Replacement material |
|---------|------------|------------------------------|---|-----------------------|----------------------------|-----------------------|----------------------|
| Case 1  | 15.0       | PR                           | RVOT reconstruction                     | 20                    | 0.8                        | IE                    | Homograft            |
|         |            | Post TOF repair              |   |                       |                            | PS (valvular)         |                      |
| Case 2  | 9.8        | AVS                          | Ross                                    | 20                    | 1.8                        | PS (distal)           | ePTFE conduit*       |
|         |            | Post SAV                     |   |                       |                            |                       |                      |
| Case 3  | 9.5        | Truncus arteriosus           | Truncus repair                          | 16                    | 3.9                        | PS (distal)           | DP-BJV patch**       |
|         |            |                              |   |                       |                            | PR                    |                      |
| Case 4  | 2.7        | PAVVSD                       | Rastelli                                | 15                    | 4.5                        | IE                    | ePTFE conduit        |
|         |            | Post B-T shunt               |   |                       |                            | PR                    |                      |
| Case 5  | 9.1        | DORV/PA/ncVSD Post B-T shunt | Rastelli (intra-ventricular conduit***) | 19                    | 5.9                        | PS (distal)           | DP-BJVC              |
| Case 6  | 13.5       | PA/VSD                       | Rastelli                                | 17                    | 6.3                        | PS (distal)           | DP-BJVC              |
|         |            | Post Melb. shunt             |   |                       |                            | PR                    |                      |
| Case 7  | 5.2        | TGA/PS/VSD                   | Rastelli                                | 16                    | 7.9                        | PS (middle)           | DP-BJVC              |
|         |            |                              | Glenn shunt                             |                       |                            |                       |                      |
| Case 8  | 3.5        | Truncus arteriosus           | Truncus repair                          | 17                    | 10.3                       | PR                    | DP-BJVC              |
|         |            |                              |   |                       |                            | Aneurysmal dilatation | า                    |
| Case 9  | 0.4        | TGA/PA/VSD                   | Rastelli                                | 14                    | 10.4                       | PR                    | DP-BJVC              |
|         |            | Post B-T shunt               |   |                       |                            | Aneurysmal dilatation | า                    |
| Case 10 | 3.2        | TOF/APV                      | TOF repair                              | 16                    | 11.7                       | PR                    | DP-BJVC              |
|         |            |                              |   |                       |                            | Aneurysmal dilatation | n                    |

DORV/PA, double-outlet right ventricle with pulmonary atresia; ePTFE, expanded polytetrafluoroethylene; IE, infective endocarditis; ncVSD, non-committed ventricular septal defect; PR, pulmonary regurgitation; PS, pulmonary stenosis; PA/VSD, pulmonary atresia with ventricular septal defect; RVOT, right ventricular outflow tract; TGA/PA, transposition of the great arteries with pulmonary atresia; TOF/APV, tetralogy of Fallot with absent of pulmonary valve.

were for pulmonary vascular dysplasia, which limits the size of distal anastomosis with heavier afterload for the conduit. More importantly, DP-BJVC appeared to do equally well in younger children, that is, ≤3 years old, which is, in other words, better than previously reported cohorts. Younger age has been identified as the independent risk factor for conduit failure (2–4). The Congenital Heart Surgeons Society in 2006 year reported that 58% of children ≤2 years old required reintervention within 3 years of implantation using glutaraldehyde-treated BJVC for RVOT reconstruction (26). Another single-center reports by Ugaki et al. demonstrated the 10-years freedom from BJVC replacement rate of 37.1%, with age <3 years as an independent risk factor (27).

Several factors may be attributable to the good durability of DP-BJVC for younger children. Firstly, the conduits used for young children were greater in z-score than that for older patients (**Figure 1B**). Secondly, DP-BJVC showed an increase in diameter with age, that is, conduit dilatation probably resulted from calcification resistance as non-glutaraldehyde treated (24). We found that calcification in the failed DP-BJVC was mild and could be easily handled. The degree of dilatation is further refined by the flexible Dacron restraining device. All the 3 conduits developed aneurysmal dilatation and valvular regurgitation were implanted before 2013 without such device.

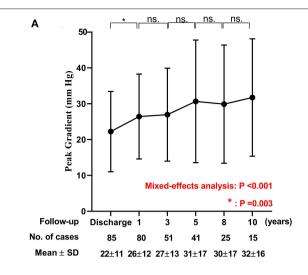
The PG across the DP-BJVC increased over time although only reaching statistical significance in the first year. This may be attributable to fairly fast somatic growth in young children. Additionally, the short-term anticoagulant therapy postoperatively might be helpful to reduce the subclinical conduit thrombosis (18). BJVC-related distal anastomotic stenosis has been reported as one of the main reasons for conduit failure. There were eight (9.4%) patients with distal anastomotic stenosis (PG ranged ≥50 mmHg) during the entire follow-up of our study, which trended to be less than that using glutaraldehydetreated BJVC, with reported incidence range 13.5 to 22.0% (3, 7, 18). This finding may be as a result of better biocompatibility with lower inherent immunogenicity (16, 28). In contrast, the glutaraldehyde-treatment has been reported as a contributor to the formation of neo-intimal peeling at the distal anastomosis (18, 29).

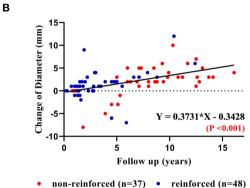
Late infective endocarditis is a particular concern for BJVC (25). In a systematic review involving 840 patients from 55 studies using Contegra conduits, the pooled incidences of endocarditis was 7.1% during follow-up period ranging 9 to106 months (30). Furthermore, the infection usually occurred late after BJVC implantation, and its risk increased with time (31). In our cohort, only two (2.4%) patients developed with infective endocarditis during follow-up. This may be attributable to the favorable

<sup>\*</sup>ePTFE conduit, Gore-Tex vessel (W.L. Gore and Associates, Arizona, USA) equipped with hand-sewn 0.1 mm thick ePTFE valve.

<sup>\*\*</sup>DP-BJV patch, valved decellularized-and-photooxidated bovine jugular vein patch.

<sup>\*\*\*</sup>Intra-ventricular conduit repair of DORV/ncVSD previous reported by our team (1).





**FIGURE 3** | The change of peak gradient and diameter of DP-BJVC. **(A)** Peak pressure gradient across the conduit measured by echocardiography before discharge and at 1, 3, 5, 8 and 10 years after implantation are presented with mean and standard deviation (SD). The gradient significantly increased with time (P < 0.001). The comparisons of adjacent time show that the increase mainly occurred in the first postoperative year (P = 0.003), which become slightly thereafter (P > 0.05). ns, no significance. **(B)** The change of DP-BJVC diameter measured by echocardiography at the annular level from implantation to the last follow-up significantly and positively linear correction with the follow-up years. Each dot represents one patient. The diameter change of reinforced conduits (red dots) have similar regression coefficient with the non-reinforced conduits (blue dots. P = 0.806). Four conduits (arrows) had a diameter decrease over 5 mm early after implantation, which were all caused by stenosis and had been replaced.

hemodynamics and the lack of thrombosis as the site for bacterial adhesion due to anticoagulation treatment (1, 32).

#### **LIMITATIONS**

Our study has a couple of limitations. First, this is a cohort study, rather than a randomized and control trial. There were no control group using other types of conduits. During the study period, DP-BJVC was the only available material for RVOT reconstruction in our center, except for cryopreserved homograft used in a few children and ePTFE valved conduit

(14) used in adolescents and adults recently. Second, the indications for conduit reintervention remain controversial and have been broadened over the past decade, which has influenced our management. The incidence of conduit reintervention substantially increased in the past 5 years.

#### CONCLUSION

Our novel designed DP-BJVC performed satisfactory functionality and durability in midterm follow-up, particularly for those younger than or equal to 3 years old. It showed advantages in resistance to calcification and infection, as well as appropriate dilation with age. DP-BJVC is a promising alternative for RVOT reconstruction in children with CHD.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### **ETHICS STATEMENT**

The clinical application of the conduit was approved by the IEC in April 1, 2004 (reference number: LYF2004011). The study protocol was approved in January 10, 2020 (reference number: LYF2020097). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **AUTHOR CONTRIBUTIONS**

J-GH and Z-SW developed the conduit. Z-SW and JL make substantial contributions to conception and design. TQ contributed to data collection and draft the article. All authors contributed to the article and approved the submitted version.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fped. 2021.725030/full#supplementary-material

**Supplementary Figure 1** Intraoperative photographs of failed DP-BJVC in two patients. **(A)** 10.4 years after implantation in Rastelli operation for pulmonary atresia, the lumen surface of the conduit was smooth without visible thrombosis and calcification. **(B)** 10.3 years after implantation for truncus arteriosus repair, the conduit was aneurysmal dilated with mild calcification. Residual valve tissue is displayed with tweezers.

**Supplementary Figure 2** | Results of mixed-effects analysis and Sidak's multiple comparisons of peak gradient across the DP-BJVC during follow-ups. Peak gradient across the conduit significant increased during follow-up (P = 0.0019).

The difference mainly occurred during the first year after implantation (t1 vs. t0, P = 0.0027), and no significant difference was found thereafter (Ps > 0.3). t0, before discharge (n = 85); t1, 1 year after implantation (n = 80); t2, 3 years after implantation (n = 41); t4, 8 years after implantation (n = 25); t5, 10 years after implantation (n = 15).

Supplementary Figure 3 | Examples of imaging examinations of implanted DP-BJVC. (A,B) Echocardiography at 2 years after Rastelli operation for pulmonary atresia. The conduit valve leaflets (PV) are clearly visible in 2-dimensional in the long-axis view along the conduit. Pulse-wave Doppler signal at conduit level showed normal laminar flow. (C,D) Cardiac CT examination at 10 years after truncus arteriosus repair. The conduit (PA) was mildly dilated in the proximal end, with scattered calcification at the distal (white arrow). The size of the right ventricle was normal. (E,F) Cardiac CT examination at 11 years after Rastelli operation for pulmonary atresia. The conduit was well-shaped with scattered calcification. (G,H) Cardiac MRI at 12 years after Rastelli operation for corrected

transposition of the great arteries, showing the normal-sized heart and unobstructed ventricular outflow tracts.

Supplementary Figure 4 | Severe aneurysmal dilatation of DP-BJVC in a 3.5 years old girl. The conduit was reinforced with a Dacron ring. However, the diameter dilated almost 170% (from 12 to 20 mm) after 23 months at the level of annulus, which was even larger at the proximal and distal level. Although the conduit is currently in a undesirable state due to the extremely heavy afterload, it indirectly reflect that the annulus restraining device does not strictly limit the diameter of the conduit.

**Supplementary Figure 5** | The results of comparison of diameter change between reinforced and non-reinforced DP-BJVC. The change of diameter significantly and positively linear correlated with follow-up time both in non-reinforced and reinforced conduit, with regression coefficient (slopes) of 0.53 and 0.48 respectively. The differences between the slopes are not significant (P = 0.806)

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### Micro-CT Imaging of Pediatric **Thyroglossal Duct Cysts: A Prospective Case Series**

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Frauenfelder C. Shelmerdine SC. Simcock IC, Hall A, Hutchinson JC, Ashworth MT, Arthurs OJ and Butler CR (2021) Micro-CT Imaging of Pediatric Thyroglossal Duct Cysts: A Prospective Case Series. Front. Pediatr. 9:746010. doi: 10.3389/fped.2021.746010 Objectives: To determine the feasibility of micro-CT as a high-resolution 3D imaging tool for thyroglossal duct cysts and to evaluate its role augmenting traditional histopathological examination of resected specimens.

Methods: A single centre, prospective case series of consecutive children undergoing excision of a thyroglossal duct cyst was performed at a quaternary paediatric referral hospital in the United Kingdom. Consecutive children listed for excision of a thyroglossal duct cyst whose parents agreed to participate were included and there were no exclusion criteria.

Results: Surgically excised thyroglossal duct cyst or remnant specimens from five patients (two males, three females) were examined using micro-CT alongside traditional histopathological examination. In all cases, micro-CT imaging was able to demonstrate 3D imaging datasets of the specimens successfully and direct radio-pathological comparisons were made (Figures 1–5, Supplementary Video 1).

Conclusions: The study has shown the feasibility and utility of post-operative micro-CT imaging of thyroglossal duct cysts specimens as a visual aid to traditional histopathological examination. It better informs the pathological specimen sectioning using multi-planar reconstruction and volume rendering tools without tissue destruction. In the complex, often arborised relationship between a thyroglossal duct cyst and the hyoid, micro-CT provides valuable image plane orientation and indicates proximity of the duct to the surgical margins. This is the first case series to explore the use of micro-CT imaging for pediatric thyroglossal duct specimens and it informs future work investigating the generalizability of micro-CT imaging methods for other lesions, particularly those from the head and neck region where precisely defining margins of excision may be challenging.

Keywords: diagnosis, radiology/imaging, thyroglossal duct cyst, head and neck, pathology

#### INTRODUCTION

Thyroglossal duct cysts account for up to 70% of pediatric neck masses encountered in clinical practice (1, 2). A clear understanding of the embryological path of the thyroid gland and its relationship to the hyoid bone, tongue base musculature, and anterior neck tissue are key to successful surgery. As far back as 1893, Schlange described excision of the mid-portion of the hyoid bone during thyroglossal duct cyst surgery (3), while Sistrunk in his eponymous procedure for excision of thyroglossal duct cysts expanded the operation to include a block of midline tissue (4, 5). Removing the entirety of the remnant tract along the path of the primitive thyroid descending confers higher likelihood of complete excision of the lesion and significantly decreases recurrence, which occurs in up to 10% of patients following primary surgical excision and over 20% following revision procedures (6–8).

Histopathological assessment of the removed tissue is the current standard in confirming the diagnosis and complete surgical excision of the tract. One of the main drawbacks of this traditional approach is that sectioning is only possible in a single plane (typically longitudinal) as the tissue is destroyed during sectioning. In the past, imaging methods for reviewing surgical specimens were unable to provide the magnification and resolution required for detailed assessment, however novel strategies are now emerging.

Micro-focus computed tomography (micro-CT) imaging is being increasingly used as a non-destructive method for digital specimen analysis. It uses multiple X-rays to create a high-resolution 3D volume imaging dataset at a spatial resolution comparable to light microscopy (voxel size down to 1 micron) (9). At our institution, we currently provide a post-mortem fetal micro-CT clinical imaging service (10–12), however only a few publications have reported using this technology for excised human surgical specimens (13–16) and the utility of this imaging modality for pediatric thyroglossal duct cyst specimens is unknown, but clearly has appeal in providing high resolution 3D imaging of complex neck structures to aid pathological dissection.

The objectives of this study were two-fold: to determine whether micro-CT can provide high resolution imaging datasets to diagnose thyroglossal duct cysts and tracts, and, to evaluate the role for micro-CT imaging in aiding histopathological evaluation in this setting.

#### **MATERIALS AND METHODS**

#### **Setting and Participants**

In this single center, prospective study we recruited consecutive children undergoing excision of a thyroglossal duct cyst over 11 months whose parents agreed to participate (5 November 2019–5 October 2020). Only those with written parental consent for micro-CT imaging of the post-operative specimen were included in the final study cohort. There were no exclusion criteria.

All patients underwent a routine extended Sistrunk's procedure (17). A midline neck skin incision was made with subplatysmal flaps elevated. A comprehensive block of midline

neck tissue was excised, including infrahyoid tissue to the level of the thyroid isthmus, the medial adjacent strap muscles, the mid-portion of the hyoid bone, and the submucosal tongue base musculature superiorly. The cyst and any remnant thyroglossal duct tract are contained within the specimen block and may not be separately visualized if not active or previously ruptured during infection (or unsuccessful primary surgery). Where previous infection had ruptured through skin, the scarred overlying skin was excised as well.

#### **Tissue Preparation**

All specimens were immersed in a solution of 10% formalin and potassium triiodide ( $I_2KI$ ; total iodine content of 63.25 mg/mL). Specimens were stored at room temperature for 72 h until fully iodinated then rinsed and dried before micro-CT imaging.

## Micro-Focus Computed Tomography Examination

Imaging of the specimens were acquired using 1 of 2 micro-CT scanners located on-site (XTH 225 ST or Med-X Alpha; Nikon Metrology, Tring, United Kingdom), both equipped with a multimetal target. All imaging was undertaken by trained researchers (I.C.S or S.C.S). Specimens were secured within the scanner using foam supports, moisture absorbent wrapping material, and Parafilm M (Bemis Company, Inc., Oshkosh, WI) to ensure mechanical stability.

Imaging parameters varied according to specimen size, with X-ray energies and beam current ranging between 100 and 120 kV and 133 and 180 mA, respectively. Exposure times ranged from 354 to 500 ms, with 2 X-ray frames per projection and total number of projections varying between 2,301 and 3,141. Projection images acquired by the scanner were reconstructed using modified Feldkamp filtered back-projection algorithms with proprietary software (CTPro3D; Nikon Metrology, United Kingdom) and post-processed using VGStudio MAX 3.0 (Volume Graphics GmbH, Heidelberg, Germany). Isotropic voxel sizes varied according to specimen size and magnification, ranging from 8.18 to 22.19 microns.

#### **Histopathological Examination**

After imaging samples were embedded in paraffin and processed by standard protocols, including staining with Haematoxylin and Eosin (H&E). The histopathological sections were reviewed by a pediatric pathologist (M.T.A) with >30 years of experience.

#### Image Analysis

All micro-CT images were evaluated side by side with the histopathology results by two pediatric radiologists (O.J.A. and S.C.S.) with 4 years of micro-CT imaging reporting experience and a pediatric pathologist (M.T.A.). The radiologists and pathologist were not blinded to the clinical history. Images were evaluated to gain comparative radiological-pathological views, and contribution to diagnosis was discussed.

#### Reporting

This case series has been reported in line with the PROCESS Guideline (18).

#### **RESULTS**

#### **Patient and Specimen Details**

Over the 11-month study period, five patients who underwent primary excision of presumed thyroglossal duct cysts were recruited. The patient demographics, abbreviated clinical histories, and histopathological results are presented in **Table 1**. Lesions were excised from two male and three female patients aged 16 months to 14 years old.

In two cases, a primary extended Sistrunk's procedure was performed (i.e., no previous infection or rupture of the thyroglossal duct cyst, nor surgical intervention). In three cases, the surgery was performed due to recurrent cyst infections, which had led to discharge through the skin on at least one occasion prior to the surgery. For these cases, the overlying skin incorporating the scar was also excised in continuity with the routine operative specimen. Post-operative recovery for all patients was unremarkable. There was no evidence of recurrence in the 12 months following surgery.

#### **Radio-Pathological Correlation**

In three cases, a thyroglossal duct cyst was identified, and in two cases a thyroglossal duct remnant (without cyst) was found. In all cases, micro-CT imaging was able to demonstrate 3D imaging datasets of the specimens, which were reformatted to create the same macroscopic appearances as histopathology on low magnification, light microscopy assessment. There were no additional structural features seen at histological assessment that were not visible on the micro-CT imaging. Direct radio-pathological comparisons between the micro-CT imaging and histopathological specimen appearances are provided in Figures 1–5, Supplementary Video 1. There were no cases of malignancy or cellular atypia in the specimens.

Surgeons were able to subjectively understand the Micro CT images more easily than the histopathology sections, and in combination they provided an excellent guide to interpreting complete surgical excision in these cases. Micro-CT also allowed for a 3D imaging "stack" to be stored in a digital format, which was particularly helpful for one of the cases (**Figure 3**, **Supplementary Video 1**) where the thyroglossal duct was not included in the pathological section but could be clearly delineated by reconstructing the micro-CT images.

Cellular appearances from high magnification, histopathological review (for ruling out cellular atypia or malignancy) were beyond the image resolution and magnification for the micro-CT imaging technology, however having a global overview of the specimen appearances allowed the pathologist to have a more informed approach when sectioning the specimens for subsequent histopathological assessment and for multidisciplinary discussions with the surgeons regarding completeness of the excision margins.

#### **DISCUSSION**

In this case series, we demonstrate the feasibility of micro-CT for imaging thyroglossal duct cyst specimens and reveal how high-resolution 3D imaging datasets can aid pathological dissection

and demonstrate surgical excision, using histopathology results as a comparator/comparison.

The main advantages therefore conferred by using the micro-CT technique over traditional histopathological sectioning included the ability to review internal structures using multiplanar reconstruction and volume rendering tools without tissue destruction or sectioning. This was of particular relevance in this particular context because it helped to provide a detailed overview of the anatomical appearances of the thyroglossal duct, relationship to the hyoid bone and information on proximity of the duct to the surgical margins in a variety of planes. Postexcision recurrence is often attributed to the complex structure of the thyroglossal duct and incomplete excision of the arborised tract. Micro-CT enabled the pathologist to understand the optimal plane for tissue sectioning to display the key findings. The visualization of the micro-CT images, from a surgical perspective, were also subjectively easier to understand than those of the histopathology sections, and provided a useful adjunct in clinicians' interpretation of the cases.

To our knowledge, this is the first case series to explore the use of micro-CT imaging for pediatric thyroglossal duct specimens. Previous work has already discussed the benefits of this technique for other uses, such as for imaging excised whole organs [e.g., cardiac (19), brain (20)] as well as assessing cartilage rings in a pediatric case of tracheal stenosis (13). Micro-CT is becoming a more widely accepted tool for non-invasive postmortem whole body fetal imaging (10, 12), as it allows internal visualization without surgical dissection. These studies have also demonstrated that iodine based micro-CT does not affect the histologic or immunohistochemical phenotype of normal tissues [skin biopsies and blood vessels (21) or diseased tissues, including cardiomyopathic cardiac tissue (22), cystic kidneys (23), and in neoplastic lesions of the heart (24), and brain (20)]. It has also been extensively used in mouse studies (25) and in human fetal autopsy (10) with subsequent histological examination, without reported alteration in subsequent tissue phenotype.

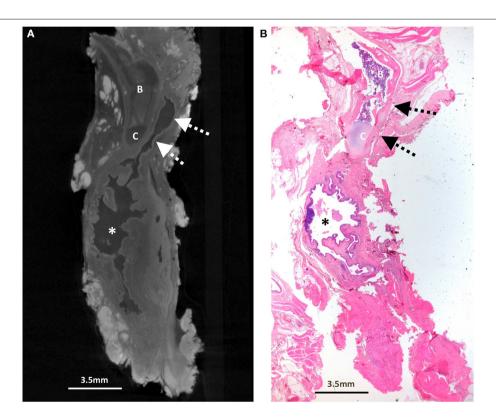
However, micro-CT availability is currently limited to specialist centres, and requires technological expertise for image acquisition and interpretation. It also cannot achieve imaging resolution to provide detailed cellular assessment, thus not replacing traditional histopathological assessment in its entirety. Through multi-disciplinary collaboration and comparison with histopathological sectioning, our centre has rapidly built experience in interpreting these radio-pathological appearances.

Micro-CT has the drawback of requiring exogenous contrast for surgical specimen imaging, necessitating a 72-h delay in our study. This was not an issue in this specific indication but could limit the usage of this technique where more urgent histopathology feedback is required. It is also currently unknown whether this iodination staining would affect more specialized pathology techniques (e.g., DNA extraction methods) downstream, if later required. For most thyroglossal duct cysts this may not be relevant but may impact the rare clinical situations where deposition of thyroid malignant tissue may be present within the excised cyst (26, 27). A recent study of micro-CT in thyroid cancer successfully evaluated capsular and vascular invasion and metastatic lymph node volume in

TABLE 1 | Patient demographics and clinical details.

| Case | Age/Gender        | Primary/Previous infections                     | Clinical and procedural details  | Specimen size (cm) | Outcome  |
|------|-------------------|---|--|--------------------|--|
| 1    | 4 years, Female   | Primary   | 18-month history of recurrent midline neck<br>swelling. No previous infections or surgery.<br>Extended Sistrunk's procedure performed.                                       | 3.0 × 0.6 × 1.0    | Completely excised cystic tract, consistent with thyroglossal duct cyst. No atypia or malignancy.              |
| 2    | 5 years, Male     | Primary   | 4-month history soft midline neck swelling.  No previous infections or surgery. Extended Sistrunk's procedure performed.   | 3.5 × 2.5 × 1.5    | Completely excised thyroglossal duct cyst. No atypia or malignancy.  |
| 3    | 6 years, Male     | Previous infections with discharge through skin | Recurrent midline neck mass from 6 months of age. Multiple infections and discharge through skin. Overlying scarred skin excised during extended Sistrunk's procedure.       | 3.0 × 2.5 × 1.5    | Completely excised thyroglossal duct cyst with overlying skin. No atypia or malignancy.                        |
| 4    | 16 months, Female | Previous infections with discharge through skin | Recurrent midline neck swelling from 8 months of age. Multiple infections and discharge through skin. Overlying scarred skin excised during extended Sistrunk's procedure.   | 1.0 × 0.4 × 2.0    | Completely excised thyroglossal duct remnant with overlying skin. No cyst identified. No atypia or malignancy. |
| 5    | 14 months, Female | Previous infections with discharge through skin | 6-year history of recurrent neck swelling right of midline with multiple infection. Small scar present. Overlying scarred skin excised during extended Sistrunk's procedure. | 4.0 × 2.0 × 1.2    | Completely excised thyroglossal duct remnant. No atypia or malignancy.   |

Case number assigned in chronological order by date of operative procedure. Patient age denotes the age at time of the operation.



**FIGURE 1** Excised thyroglossal duct cyst specimen from a 4-year-old female patient (case 1). **(A)** Paired iodinated micro-CT imaging at 17.3 micron resolution and **(B)** histopathological section with H&E staining in sagittal section demonstrate the thick-walled thyroglossal duct cyst (\*) and the thyroglossal duct (dashed arrows) anterior to the hyoid bone (B) and hyoid cartilage (C).

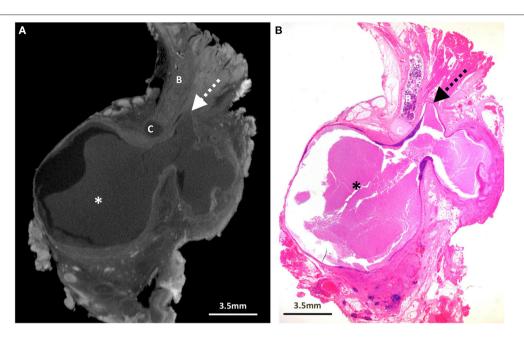


FIGURE 2 | Excised thyroglossal duct cyst specimen from a 5-year-old male patient (case 2). (A) Paired iodinated micro-CT imaging at 20.0 micron resolution and (B) histopathological section with H&E staining in sagittal section demonstrate a large bilobed thyroglossal duct cyst (\*) with mixed internal contents containing blood and pus, leading to a blind ending thyroglossal duct (dashed arrows) anterior to the hyoid bone (B) and hyoid cartilage (C).

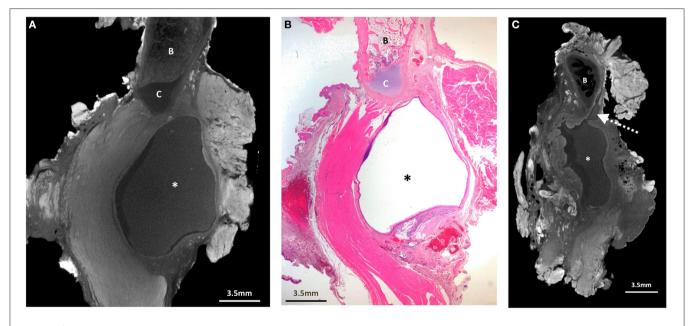


FIGURE 3 | Excised thyroglossal duct cyst specimen from a 6-year-old male patient (case 3). (A) Paired iodinated micro-CT imaging at 19.9 micron resolution, and (B) histopathological section with H&E staining in sagittal section demonstrate a well encapsulated thyroglossal duct cyst (\*), just anterior to the hyoid cartilage (C). The hyoid bone is demonstrated by the "B." Although the thyroglossal duct was not present in the histopathological section, it was possible from the 3D imaging dataset to reconstruct the (C) sagittal viewing plane for better duct visualisation (dashed arrow).

specimens and was incorporated into pathology department workflow (28).

Limitations to our study include the small sample size and single centre design, although we have included a range of patient ages with varying clinical history including previously infected cysts, those which have been decompressed and primary surgical cases with an intact cyst. Pre-iodination contrast enhancement of our specimens could have caused a small amount of tissue shrinkage secondary to dehydration of the specimen [as previously reported (28)], and although we did not assess this in detail, no specimen degradation or significant impact on the histopathological diagnosis was found. Even at the highest

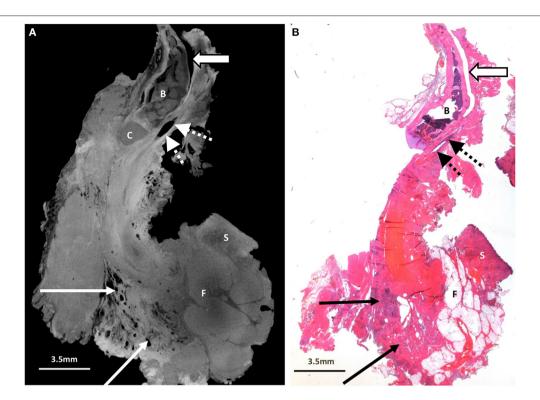


FIGURE 4 | Excised thyroglossal duct cyst specimen from a 16-month old female patient (case 4). (A) Paired iodinated micro-CT imaging at 14.2 micron resolution and (B) histopathological section with H&E staining demonstrate the thyroglossal duct (dashed arrows) anterior to the hyoid bone (B) and hyoid cartilage (C). An artefactual cleft is demonstrated with the open arrow. There is no cystic structure on this image. Some skin (S) and fatty tissue (F) from the neck was removed at surgery, and areas of chronic inflammation with tethering of the adjacent musculature and surrounding tissues (solid arrows) are shown.

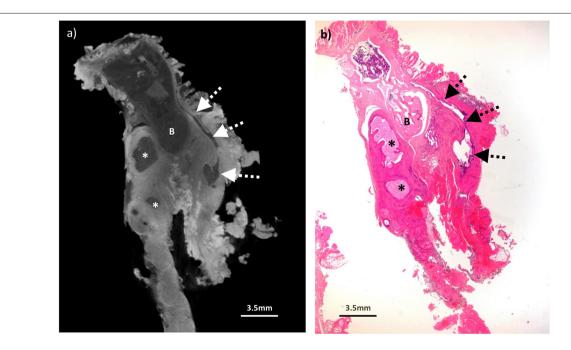


FIGURE 5 | Excised thyroglossal duct cyst specimen from a 14-year old female patient (case 5). (a) Paired iodinated micro-CT imaging at 22.2 micron resolution and (b) histopathological section with H&E staining demonstrate the thyroglossal duct (dashed arrows) anterior to the hyoid bone (B) with areas of cystic change (remnants) from a previously infected and ruptured cyst (\*).

possible micro-CT image magnification, microscopic cellular detail was not possible. Future studies could investigate whether micro-CT imaging could be undertaken to a similar effect and quality across a larger case load.

#### CONCLUSION

In conclusion, we have shown the feasibility and utility of post-operative micro-CT imaging of thyroglossal duct cysts specimens, particularly in allowing for 3-D reconstruction in different planes without tissue destruction, and ability to store the specimen data in a digital format. It appears to be a helpful adjunct in specimen evaluation but does not currently replace histopathological assessment. Future work will focus on the generalizability of micro-CT imaging methods for other paediatric ENT specimens particularly those from the head and neck region where precisely defining margins of excision may be challenging (e.g., malignancies close to key structures) as well as investigating techniques to reduce time delays for tissue staining.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the National Health Service (NHS) Health Research Authority (HRA) (Research Ethics Committee Reference 17/WS/0089, awarded April 2017; IRAS ID: 222334). All samples were handled in accordance with the Human Tissue Act 2004. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

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#### **AUTHOR CONTRIBUTIONS**

CF, SS, AH, CB, and IS contributed to conception and design of the study. CF wrote the first draft of the manuscript. SS, AH, CB, IS, JH, MA, and OA wrote sections of the manuscript. All authors contributed to manuscript revision, read, and approved the submitted version.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fped. 2021.746010/full#supplementary-material

Supplementary Video 1 | Sagittal & Axial micro-CT images of excised thyroglossal duct cyst specimen in a 6-year-old patient. At histopathology section, the thyroglossal duct was not included, however in this video it is clearly shown anterior to the hyoid bone leading to the large cystic structure inferiorly. Please review in conjunction with Figure 3.

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# Oral Spermine Supplementation in Gestated Rabbit: A Study on Villi Height of Immature Intestines

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**Introduction:** Immature intestines are the major problem in prematurity. Postnatal oral spermine has been shown in studies to improve intestinal maturation in rats and piglets. This study aimed to find out the efficacy of spermine in rabbits during gestation.

**Method:** An experimental study was done in an unblinded, randomized manner on those treated with and without spermine administration. A morphological examination of hematoxylin–eosin-stained villi was performed under a light microscope with a focus on villi height. Data were subjected to analysis.

**Results:** The median of the spermine-treated group was found to be higher at 24, 26, and 28 days than the non-spermine group, but was not significantly different.

**Conclusion:** Oral spermine supplementation during gestation might improve intestinal villi height in immature rabbit intestines.

Keywords: spermine, rabbit, gestation, immature intestines, villi height

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#### **INTRODUCTION**

Premature birth was associated with a high risk of developing gut-derived infection and intestinal immaturity, as well as a 50% risk of death (1). According to one study, 90% of neonatal deaths were caused by gut-derived infections associated with intestinal immaturity (1). Thus, intestinal immaturity is a major problem in premature infants (2). In the term neonate, intestinal maturity is found in the first 3 weeks of life. However, premature babies' maturity takes longer, although there is no clear definition. The various anatomical and physiological structures of the cells/tissues constructing the digestive tract are not fully developed yet in immaturity. The epithelium of fetuses has not yet developed into the adults' type of epithelium, which is found in four types: enterocytes, entero(neuro)-endocrine, goblet cells, and Paneth cells, and no mucosal integrity assembling the epithelial lining. Likewise, microfold cells (M cells) and Peyer patches, lymphoid tissue in the lamina propria as gut-associated lymphoid tissue, play a role in inducing the defense system, in addition to the insufficient mucus layer (3, 4). Lamina muscularis, which plays a role in propulsion, is also found to be insufficient. Oral intake, including breastfeeding, is frequently delayed in this condition because it may be followed by severe effects associated with propulsion (3). On the other hand, the homeostasis of commensal bacteria, which is influenced by oral intake, has not been achieved. In contrast, commensal bacteria are known to induce maturity and gastrointestinal mucosa's natural defense system as well (4-7).

In addition, mucin insufficiency, and mucosal permeability that is not achieved due to unassembled integrity of epithelial lining, allow bacterial translocation. Thus, immaturity of the gastrointestinal leads to sepsis, which is fatal (3). Besides sepsis, the clinical entity associated with intestinal immaturity in preterm neonates is necrotizing enterocolitis (NEC), which is also fatal (8, 9). The immature intestine reacts to molecular patterns of colonizing bacteria and endogenous inflammatory stimuli by mounting excessive inflammation, a hallmark of NEC, due to developmental immaturity in the innate immune response gene (3).

Studies focused on gastrointestinal immaturity have shown a positive effect of oral spermine administration followed by intestinal maturation in mice (10-13) and piglets (14, 15). Polyamines (spermine, spermidine) are ubiquitous lowmolecular-weight polycationic compounds that play an essential role in cell proliferation, growth, and differentiation in various cells/tissues (16, 17). In the digestive tract, spermine is known to interact with the constituent proteins of the intestinal barrier and play an essential role in wound healing and the immune system (13, 15, 18). The role of spermine was the interplay of molecules resembling epithelial junctions of the intestinal mucosa and associated cytoskeleton molecules responsible for providing an intestinal barrier. These studies showed achievement of intestinal maturity following postnatal spermine administration with different parameters. The morphological parameters investigated were villi height and crypt depth (19). Another study focused on biochemically showing the permeability and expression of junctional proteins, cytokines, etc (20, 21). However, spermine administration's efficacy during gestation is yet unknown. Therefore, this study focused on spermine administration during gestation to determine the efficacy of postnatal maturation in premature newborns, focused on the height of intestinal villi. It was hypothesized that the maturity level of newborns soon after delivery is achieved, but not a mature level in the first 3 weeks of a newborn's life.

#### **MATERIALS AND METHODS**

An experimental study was carried out on 3 kg weighted New Zealand White adult female rabbits (Oryctolagus cuniculus) prepared for a study by The Animal Lab, Ciawi, Bogor. Twenty-four rabbits were enrolled in the study. They were fed with standard DM20 pellets, bred, and handled with care during gestation. These rabbits were assigned in an unblinded, randomized manner to those treated with spermine administration and non-spermine administration. The subjects were randomly selected and set in the treatment and control groups, respectively. Spermine of 20 mg per kg body weight once daily was supplemented with food during the gestation period. The dose was set based on a previous study by Peulen et al., converted to a rabbit dose using a human equivalent dose conversion (22). In this study, the crew fed one rabbit and waited for it to finish its food before moving on to the next rabbit to ensure all rabbits ate the whole portion of their meal. Feeding was

**TABLE 1** | Ileal villi height in spermine and non-spermine treated groups.

|         | Villi height<br>(μm) | Spermine (µm)<br>Median (min-max) | Non-spermine (μm)<br>Median (min-max) | p-value |
|---------|----------------------|-----------------------------------|---------------------------------------|---------|
| Control | 380                  | _                                 | _                                     |         |
| 24 days | -                    | 143 (68-207)                      | 117 (74–135)                          | 0.344   |
| 26 days | -                    | 108 (78-115)                      | 95 (72-138)                           | 1.000   |
| 28 days | -                    | 138 (137–139)                     | 92.5 (60–164)                         | 0.355   |

proceeded with close monitoring to assure these animals take the food completely, which was given once daily.

The prematurity was established at various times, namely 24, 26, and 28 days of gestation, which represents the third trimester of pregnancy in humans, 28–38 weeks. These prematurely born fetuses were enrolled in a parallel assigned, non–masking randomized manner. The gestation was terminated by Cesarean section. The Cesarean section was carried out with ketamine of 10–40 mg per kg body weight and xylazine 3–5 mg per kg body weight, intramuscularly. Fifty fetuses of these rabbits were prematurely born. Furthermore, laparotomy was carried out on the newborn under ketamine per kg body weight and 1.5 mg of xylazine per kg body weight. A sample of terminal ileum measuring 5–6 cm was taken as the specimen for the study. Following laparotomy, the newborns were sacrificed according to the regulations in the animal lab. The control group referred to those normally delivered.

The specimens were stained with hematoxylin–eosin and examined under a light microscope (OptiLab Advance, Miconos) at 10 times objective magnification to determine villi height. The height of the villi of a fetus of a 14-days-old fetus was used as the control (23). Data was subjected to analysis using the ANOVA test. This study was approved by the Committee of Ethics, Faculty of Medicine, University of Indonesia with reference number 18-03-0249. The study was registered on ClinicalTrials.gov (No. NCT04004091).

#### RESULTS

Of the spermine-treated group, seven specimens were 24 days, four specimens were 26 days, and two specimens were 28 days. Of the non–spermine group, four specimens were 24 days, three specimens were 26 days, and four specimens were 28 days. The mean of villi height in 24–, 26–, and 28-days spermine groups was  $100\,\mu\text{m} + 23.5, \, 135\,\mu\text{m} + 39.142$ , and  $138\,\mu\text{m} + 1.0$ , respectively. While the mean of villi height in nonspermine groups of 24–, 26–, and 28 days were  $100.33\,\mu\text{m} + 14.88, \, 102.25\,\mu\text{m} + 36.75$ , and  $106.28\,\mu\text{m} + 1.0$ , respectively. The distribution was not the normal one, median (min–max) was used for statistical analysis purposes (Table 1, Figures 1, 2). The difference was not significantly different with p values of 24–, 26–, and 28 days were 0.344, 1.000, and 0.355, respectively.

#### DISCUSSION

This study is the first investigation focused on the immature ileum of premature rabbits. Unlike the previous studies on mice

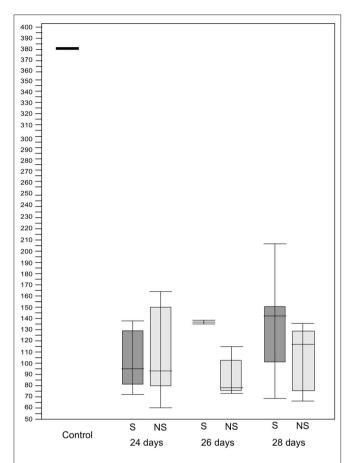


FIGURE 1 | Box-Whisker plot showing the difference in villi height between the spermine-treated and non-spermine groups in the immature intestines. The median of the spermine-treated group was higher than the non-spermine groups in three treated periods, namely 24, 26, and 28 days, though this difference was not statistically significant. For details, see the text.

and piglets, focusing on intestinal maturation after oral spermine administration in the postnatal period, the present study had a different approach. Firstly, this experiment was carried out on rabbits. There were references directly comparing rat and human intestinal epithelial cells, but to date, there are fewer studies carried out on rabbits, particularly those focused on spermine. Although rat intestines' morphology closely resembles humans' intestines, rabbit intestines resemble pH 7.5 as in humans, and the microflora in the intestines is similar to humans (24). For this reason, we use rabbits for study. Overall, rabbits are phylogenetically closer to primates and have a more diverse genetic background than inbred and outbred rodent strains (25). This makes the model a better overall approximation of humans, mimicking human genetic diversity more accurately (26).

Secondly, the treatment, i.e., spermine supplemented food, was given during the gestation period, and the outcome was observed in the intestine of the premature newborn rabbit. Previous studies have shown the efficacy of oral spermine supplementation in intestinal maturation. Maturation is a complex process that may be explained differently, namely,

morphologically, and biochemically. Morphologically, the villi height of the crypt represents the parameters observed using a conventional hematoxylin-eosin-stained specimen. However, Goblet cells, Paneth cells, and M cells require a particular staining method. Another aspect is the absorptive parameter, representing the barrier integrity and intestinal permeability using fluorescence and other biochemical properties, such as the expression of some molecules that build the epithelial junctions.

To date, those studies have been carried out on mice and piglets. Because no other parameters exist for rabbits, this study concentrated on villi height and crypt depth as parameters; they are simple, feasible, and reliable for representing maturation. Maturation is the development of cells' individual characteristics through growth, while growth is a physical and quantifiable process in development, which is measurable. Studies have shown that DNA methylation, for instance, shows that maturation represents the development of fetal cells into well-developed (matured) cells (27). Somehow, development is closely related to morphogenesis.

The study by Sabater-Molina et al. showed that the mucosa's polyamine concentration is followed by deepening of the intestinal crypt but is not significantly associated with the mucosa's concentration (14). The study by Peulen et al. empowered the statement (28). Furthermore, Peulen's study showed that the maturation is associated with microflora that synthesized the mucosa's polyamine and uptake by the stem cells in the crypts (29). The regulation, as well as the utilization, is then associated with average growth and development. In rabbits, the crypts can be seen as an invagination of a villi base in the duodenum of a 1-day-old rabbit. Villi, goblet cells, and other glands develop later at the end of gestation. Primitive villi could be seen in the first 21 postnatal days, much higher in 22 days, resembling a cylindric shape in the first 28 days. The villi height increased within days in both the spermine-treated and nonspermine groups, according to the study (30). However, intestinal structure development starts earlier in humans in the first trimester of gestation, and maturation is achieved during delivery (30). The maturation remains, and the maturation remains in process. Thus, insignificancy in the analysis is not a big issue as maturation refers to a dynamic process.

Despite the controversy, most studies have shown the efficacy of oral supplementation, referring to close contact with the mucosa—particularly intestinal villi—associated with an increase in villi height (4, 31), as in this present study. The unanswered question was the influence of spermine supplementation during gestation as the aim of the study. The present study was not designed to determine the evidence regarding polyamines, both maternal and fetal or placental. The reason is that there was sufficient information regarding the ability to cross the placenta barrier (32–34).

In summary, we found that oral supplementation in gestated rabbits showed increased intestinal villi height in the premature rabbit's ileum, achieving a mature newborn's height. Still, the achievement was not mature intestines because, in a mature newborn, intestinal maturation is achieved within the first 3 weeks of life. In other words, Spermine improves intestinal maturity intrauterine—although this finding is not significant.

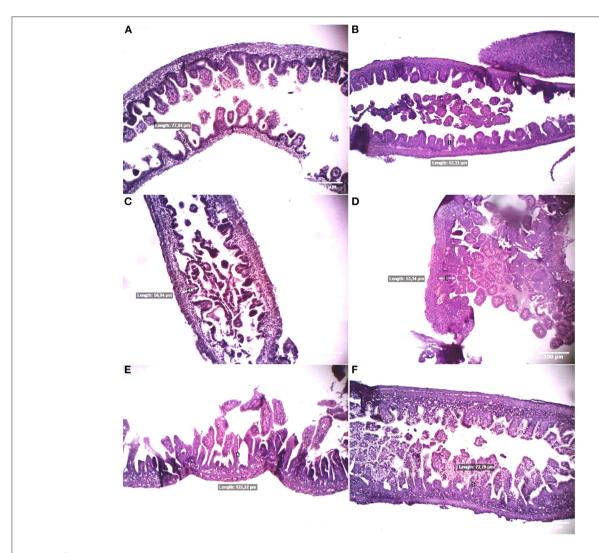


FIGURE 2 | Morphological presentation of villi height in hematoxylin-eosin (HE)-stained specimens of immature rabbit's ileum at 24 days (A,B), 26 days (C,D), and 28 days postnatal (E,F) under objective magnification of 10 times. The left-sided figures show those of the spermine-treated group, and the right-sided figures represent the non-spermine group. It is shown that the spermine group's ileal villi are longer than the non-spermine group and more regularly. The spermine group's regular-shaped villi are configured by day 28 postnatal, whereas the non-spermine group remains discretely distributed.

However, there are limitations to the study. Firstly, the small sample size concerned the 3Rs regulations on using experimental animals. Secondly, the problem of broodstock led to finding samples with appropriate gestation periods and, consequently, different samples in each group. Thirdly, the unanswered question regarding the influence of spermine on intrauterine needs to be elaborated further through an investigation into epithelial molecular junctions.

#### CONCLUSION

During gestation, oral spermine supplementation might improve intestinal maturity of intestinal villi in immature rabbits, as indicated by an increase in height.

#### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### **ETHICS STATEMENT**

The animal study was reviewed and approved by Committee of Ethics, Faculty of Medicine, University of Indonesia.

#### **AUTHOR CONTRIBUTIONS**

RT designed the study and performed the experiments. YM analyzed the data. RT and YM wrote the manuscript. Both authors contributed to the article and approved the submitted version.

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## Efficacy Analysis of Day Surgery A1 Pulley Release for Pediatric Trigger Thumb

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**Objective:** To investigate clinical application of day surgery A1 pulley release for pediatric trigger thumb.

**Methods:** We retrospectively analyzed the clinical data of 1,642 children with trigger thumb who were treated with day surgery A1 pulley release at our hospital, including satisfaction surveys, functional recovery, and complications.

**Results:** The operative time for unilateral and bilateral tenolysis was  $4.8 \pm 3.1$  and  $9.2 \pm 3.8$  min, respectively. Three children had postoperative fever and were discharged on the 2nd day after surgery. The rest of the children were discharged on the day of surgery. All incisions healed primarily, and no complications of vascular and nerve injury were reported. The patients' degree of satisfaction with the medical treatment process, diagnosis and treatment workflow, treatment effectiveness, length of hospital stay and hospitalization cost, and discharge guidance were 97.9, 96.1, 99.3, 91.1, and 98.5%, respectively. The follow-up period was between 5 months and 3 years and 1 month. Four children experienced symptom relapse after the operation, and re-tenolysis was performed in one of them. At the final follow-up, the appearance and function of the thumb had recovered well in all cases.

**Conclusion:** Day surgery A1 pulley release can effectively release tendon sheaths and has a short operative time, no complications of vascular and nerve injury, and good recovery of thumb function. It is a safe and reliable procedure with high patient satisfaction, and it is worthy of clinical promotion.

Keywords: children, patient satisfaction, pulley release, surgical complications, thumb

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#### **INTRODUCTION**

Trigger thumb is a commonly encountered disease in pediatric practice, accounting for 87–93% of finger-stenosing tenosynovitis. It is most common in children aged 1–4 years, with an incidence of 1–3% (1). The main pathological changes are collagen degeneration of the flexor pollicis longus muscle tendon and thickening and narrowing of the tendon sheath (2). To date, non-surgical and surgical treatments for pediatric trigger thumbs are available (3, 4). Non-surgical treatments include local hot compress, massage, medicine fumigation, and intrathecal injection of medicine (5). The success rate of non-surgical treatment may vary, and the treatment duration is long (6). Open A1 pulley release is currently considered to be a safe and effective treatment for pediatric trigger thumb (7).

Day surgery refers to a procedure that requires the participation of an anesthesiologist and the completion of admission, operation, and discharge in a single working day (8). A large number of clinical studies have shown that the day surgery model has the advantages of safety and efficiency. Day surgery is safer than outpatient surgery (9, 10). Compared with traditional inpatient surgery, day surgery can effectively shorten the length of hospital stay, reduce hospitalization costs, and optimize the allocation of medical resources (11). In developed countries such as Europe and the US, most common surgical indications are treated with day surgery, which accounts for  $\sim$ 40-70% of all surgeries (12). In the 1960's, day surgery for indirect inguinal hernia in children was performed in China (13). With the development of medical technology and the continuous advancement of anesthesia technology, the types of diseases indicated for day surgery and the amount of day surgeries performed in the field of pediatric surgery have increased yearly (14). Nonetheless, day surgery for pediatric trigger thumb has not been reported.

Day surgery has been performed since 2014 at the Children's Hospital of Chongqing Medical University, China. With the aim of ensuring the safety of clinical medical care and the continuous improvement of the medical management system and standards, we improved the day medical services workflow and gradually created a day medical service system for pediatric patients (15). This article retrospectively analyzes the clinical data of 1,642 children who underwent tenolysis of the thumb under the day surgery model at our hospital and explores the clinical experience of day surgery A1 pulley release for the treatment of pediatric trigger thumb.

#### **METHODS**

#### **Study Subjects**

We retrospectively analyzed the clinical data of children who underwent day surgery tenolysis of the thumb at Children's Hospital of Chongqing Medical University from April 2014 to December 2019. Patients who were lost to follow-up or had incomplete clinical data were excluded. A total of 1,642 children were included in this study, including 644 males and 998 females. Their average age was  $3.17 \pm 1.92$  years (range: 1 year 3 months to 8 years 1 month). From all the subjects, 1,381 patients were under 3 years old, 194 patients were between 3 and 6 years old, and 67 were over 6 years old. Unilateral trigger thumb was diagnosed in 1,354 patients (on the left side in 604 patients and on the right side in 750 patients), and bilateral trigger thumb was diagnosed in 288 patients. The general data of the children is shown in **Table 1**.

#### Diagnostic and Treatment Workflow for Day Surgery

The diagnostic and treatment workflow for day surgery in our hospital is shown in **Figure 1**. The thumb A1 pulley release is performed. Vital signs, the incision condition and the blood supply of the fingertip are observed after the surgery. The child is evaluated for discharge. The dressing is changed 2 days after the operation, and finger function training begins. The child is

**TABLE 1** | Demographics of the pediatric patients.

|                    |                                    | Numbers (n) | Ratio (%) |
|--------------------|------------------------------------|-------------|-----------|
| Age                |                                    |             |           |
|                    | ≤3 years                           | 1,381       | 84.1      |
|                    | >3, ≤6 years                       | 194         | 11.8      |
|                    | >6 years                           | 67          | 4.1       |
| Sex                |                                    |             |           |
|                    | Male                               | 644         | 39.2      |
|                    | Female                             | 998         | 60.8      |
| Hand involvement   |                                    |             |           |
|                    | Left                               | 604         | 36.8      |
|                    | Right                              | 750         | 45.7      |
|                    | Biolateral                         | 288         | 17.5      |
| Stage of triggers* |                                    |             |           |
|                    | Stage I (tumor type-pretriggering) | 0           | 0         |
|                    | Stage II (active triggering)       | 135         | 8.2       |
|                    | Stage III (passive triggering)     | 281         | 17.1      |
|                    | Stage IV (rigid type-contracture)  | 1,226       | 74.7      |
|                    |                                    |             |           |

<sup>\*</sup>According to modified Green DP classification.

followed up at the Pediatric Orthopedic Specialty Clinic regularly after the surgery.

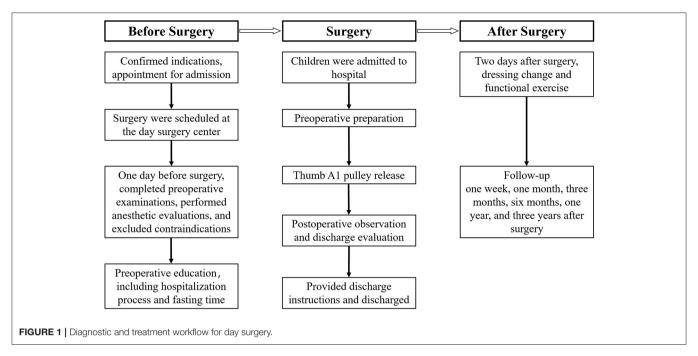
#### **Surgical Procedure**

After successful intravenous induction of anesthesia, median nerve block anesthesia is performed under ultrasound guidance (16). An 0.5-1.0-cm incision is made along the transverse lines at Notta's nodule on the palm side of the metacarpophalangeal joint to incise the skin layer by layer and separate the subcutaneous tissue. Attention is paid not to injure the blood vessels and nerves. The flaps and neurovascular tissue are retracted to opposite sides to expose the flexor pollicis longus muscle tendon sheath, and the fibrous layer of the hyperplastic tendon sheath is incised along the longitudinal axis of the tendon sheath. The synovial layer is not opened. After pulley release, the ROM of the interphalangeal joint of the thumb is examined. If the ROM is normal, with smooth motion of the tendon, and the tendon is not entrapped, the incision is closed. The incision is dressed, and the thumb is immobilized in the dorsal extension position (open position of the thumb-index web space) (17).

#### Postoperative Observation and Discharge Evaluation

The vital signs and incision condition are observed carefully after the operation. The discharge criteria are based on the Aldrete and Marshall Chung scoring system (18) and are as follows: ① Vital signs are stable for more than 2 h; ② The child can drink water and urinate; ③ No frequent nausea and vomiting; ④ Good orientation ability; ⑤ No bleeding in the incision and good blood supply at the fingertips.

With reference to relevant domestic and foreign literature regarding day surgery and based on the 8-dimensional Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) scale covering the core experience of patients during



hospitalization (19), the "Child Satisfaction Questionnaire" was designed. The satisfaction evaluation includes satisfaction with the medical treatment process, treatment effectiveness, service of the medical staff, and discharge guidance. Before a child is discharged from the hospital, the surveyors distribute and retrieve this questionnaire at bedside.

#### **RESULTS**

The wait time for day surgery was 1–13 days in pediatric patients. The operative time for unilateral or bilateral injury was 4.8  $\pm$  3.1 min and 9.2  $\pm$  3.8, respectively. After the release of the tendon sheath, unrestricted passive movement of the interphalangeal joint of the thumb was observed. No complications of vascular or nerve injury occurred during or after the operation. None of the children had frequent nausea, vomiting, severe pain, and no serious complications, such as death, occurred after the operation. Three children developed postoperative fever and were transferred to a specialty ward. After symptomatic treatment, they were discharged the next day. All of the children were discharged on the day of surgery except for the three who had delayed discharge. All incisions were free of infection and healed primarily. The sutures were removed 12–14 days after surgery.

In terms of the patients' satisfaction with the service of the medical staff, the satisfaction with the doctor was 97.8%, the satisfaction with the nursing staff and anesthesiologist was 99.6%, and the satisfaction with the laboratory service staff and the operating room staff was 96.2%. The patients' satisfaction with the medical treatment process, diagnostic and treatment workflow, treatment effectiveness, length of hospital stay and hospitalization cost, and discharge guidance were 97.9, 96.1, 99.3, 91.1, and 98.5%, respectively (Table 2).

The follow-up period was ranged from 5 months to 3 years and 1 month. Relapse occurred in 4 children after the operation.

Repeat tenolysis was performed in one of them due to incomplete release. The other 3 patients were cured after conservative treatment, and their recurrence might be related to postoperative tendon adhesion. At the final follow-up, the Notta's nodules at the metacarpophalangeal joints disappeared in 1,341 cases but were still palpable in 301 cases. There was no significant difference in the range of flexion and extension of the interphalangeal joint of the affected thumb compared with that of the healthy thumb, and the thumb had good functions of abduction, adduction, and thumb opposition. The appearance of the thumb was normal, and no sympathetic muscle atrophy occurred in the hand. A typical case is shown in **Figure 2**.

#### DISCUSSION

The following principles apply to diseases indicated for pediatric day surgery: (1) The disease is common in children, the identical procedure is performed in a number of patients, and the operation can be implemented according to clinical pathway specifications; (2) the surgical technique is mature and minimally invasive, with a short operative time; and (3) the procedures are associated with mild postoperative pain, quick recovery, few complications, and no requirements for special care (20). Han et al. used A1 pulley release to treat trigger thumb in children and achieved good outcome of complete tendon release and well-recovered joint function, without postoperative pain or complications such as vascular or nerve damage (21). Dinham et al. reported the use of A1 pulley release to treat 105 patients with trigger thumb (131 thumbs). The range of motion (ROM) of the interphalangeal joints of the thumb was recovered completely in 100 thumbs. Reoperation was required in one thumb due to incomplete release. Incision infection was reported in one patient. Three patients had more than 15° flexion deformity of the interphalangeal joints for unknown reasons. The surgical remission rate was 95.2% (22). McAdams et al. retrospectively

TABLE 2 | Patient satisfaction [n(%)].

|                                      | Very Satisfied | Satisfied  | Somewhat satisfied | Dissatisfied |
|--------------------------------------|----------------|------------|--------------------|--------------|
| Environment of the medical facility  | 704 (42.9)     | 713 (43.4) | 190 (11.6)         | 35 (2.1)     |
| Diagnostic and treatment workflow    | 594 (36.2)     | 698 (42.5) | 286 (17.4)         | 64 (3.9)     |
| Treatment effectiveness              | 553 (33.7)     | 880 (53.6) | 197 (12.0)         | 12 (0.7)     |
| Length of hospital stay and expenses | 682 (41.5)     | 642 (39.1) | 172 (10.5)         | 146 (8.9)    |
| Discharge guidance                   | 499 (30.4)     | 965 (58.8) | 153 (9.3)          | 25 (1.5)     |

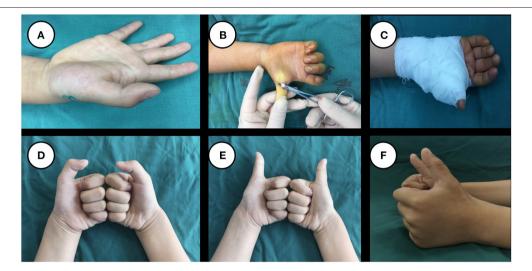


FIGURE 2 | A 3-year and 5-month-old boy presented with inability to extend his right thumb for 4 months. Before the operation, extension of the interphalangeal joint of the thumb was limited (A). A1 pulley release was performed. After the tendon sheath was released, the motion of the interphalangeal joint of the thumb returned. The tendon glided well without entrapment and with a normal ROM (B). The incision was closed with sutures, and the thumb was immobilized in the dorsal extension position (C). A follow-up visit at 1 year and 9 months after the operation showed a well-healed incision, no obvious scar formation, normal appearance of the thumb, and unrestricted movement of the interphalangeal joint of the thumb, frontal view of the thumb in flexion (D), frontal view of the thumb in straight position (F).

analyzed the clinical data of 21 patients with trigger thumb (30 thumbs) with an average of 15 years of follow-up and reported no recurrence or interdigital dysfunction. They concluded that A1 pulley release is an effective treatment for pediatric trigger thumb (23). In this study, 1,642 patients with thumb-stenosing tenosynovitis (1,930 thumbs) were included. The operative time was only a few minutes, and no complications such as vascular or nerve damage or severe pain after the operation were reported. This study shows that as a procedure for the treatment of pediatric trigger thumb, A1 pulley release is appropriate for day surgery.

The quality and safety of day surgery have become important factors in its development. Therefore, day surgery must implement a perioperative management system that is same as that used in traditional inpatient surgery (12, 13). Ma et al. analyzed the clinical data of 129,869 pediatric patients undergoing day surgery under the centralized admission management model and found that strict adherence to the "three requirements" and "three evaluations" standards, a reasonable hospital observation time, standardized discharge education and follow-up, and a sound day surgery safety

system can effectively reduce the rates of delayed discharge and postoperative complications. Therefore, the quality, safety, and overall management of day surgery are the same as or even higher than those of traditional surgery (14). In this study, day surgery A1 pulley release for pediatric trigger thumb was selected as the research object. The patient admission and chief surgeon privilege systems were strictly implemented, and a standardized preoperative evaluation, anesthesia evaluation, and discharge evaluation were carried out. Postoperative follow-up showed complete release of the tendon sheath, well-recovered thumb function, and no complications such as vascular or nerve injury. The results suggest that day surgery A1 pulley release for pediatric trigger thumb is a safe and reliable procedure.

In this study, the wait time for scheduled surgery was between 1 and 13 days. Three children had postoperative fever and were discharged on the 2nd day after surgery. The other children were discharged on the day of surgery. The patient satisfaction survey showed a high degree of satisfaction with the medical treatment process, diagnostic and treatment workflow, treatment effectiveness, length of hospital stay, hospitalization cost, and discharge guidance.

#### CONCLUSION

A1 pulley release is a procedure for the treatment of pediatric trigger thumb that offers a short operative time, minimal trauma, quick recovery, and reduced complications. It is appropriate for completion as a day surgery. Standardized perioperative management is an important guarantee of the safety and quality of day surgery. Reasonable surgical workflow management provides considerable support for the promotion of day surgery. Day surgery A1 pulley release can effectively release tendon sheaths, with good recovery of thumb function and no complications, such as vascular nerve damage. It is safe and reliable procedure with high patient satisfaction, and it is worthy of clinical promotion.

#### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Children's Hospital of Chongqing Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

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Written informed consent was obtained from the individual(s) legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

WJ, QX, LX, and LM were involved in the design of the project and participated in the surgery and follow-up. YL and JL participated in the preoperative preparation and discharge education. YL, JL, and QX collected the data and conducted the analysis. WJ, YL, and QX drafted the manuscript. LX and LM made the critical revisions. All of the authors read and approved the final manuscript.

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## Inflow Occlusion Combined With Bleomycin Sclerotherapy for Management of Macro/Mixed Cystic Lymphatic Malformation in Children

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**Background:** The link between cystic lymphatic malformation (cLM) and normal lymphatic system has become the focus of research. This study aimed to assess the outcomes of indocyanine green (ICG) lymphography-guided inflow occlusion combined with bleomycin sclerotherapy for the management of macro or mixed cLM in children.

**Methods:** Between June 2018 and October 2020, inflow occlusion combined with bleomycin sclerotherapy was performed in 81 cLM patients (age range from 6 months to 8 years). All cases were evaluated by the following parameters: cLM location, histological typing, number of afferent lymph vessels, dermal backflow, curative effects, treatment frequency, and postoperative complications. The duration of postoperative follow-up was from 10 to 16 months.

**Results:** All cLM cases could be found with at least one lymphatic inflow. Excellent outcomes were observed in 68 cases (84.0%), 11 cases (13.6%) experienced good outcomes, and two (2.5%) cases had fair outcome. No case experienced repeated treatment for more than three times. Wound infection, fever, and scar hyperplasia were the independent adverse events, which were managed by symptomatic treatment.

**Conclusion:** Inflow occlusion combined with bleomycin sclerotherapy renders a safe and efficient approach for the management of macro or mixed cLM.

Keywords: cystic lymphatic malformation, inflow occlusion, bleomycin, indocyanine green, lymphography

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#### INTRODUCTION

Cystic lymphatic malformations (cLM) are nonmalignant vascular malformations of the lymphatic system, characterized by the dilated cysts lined by lymphatic endothelial cells (1, 2). According to the classification system of the International Society for the Study of Vascular Anomalies (ISSVA), cLM can be histologically categorized into three subtypes, including macrocystic, microcystic, and mixed cystic. It may change in size resulting from local infection, intracapsular hemorrhage or trauma, and even resolve spontaneously in rare cases (3–5). cLM has been managed by multidisciplinary teams worldwide, each according to their own preferences regarding therapeutic intervention approaches, including surgical resection, sclerotherapy, oral sirolimus, radiofrequency ablation, and laser therapy (6–11). In recent years, sclerotherapy plays a main role in the management of macro cLM in terms of safety and aesthetics.

However, given that some of cLMs with inflow pattern are connected to lymphatic system, posttreatment accumulation of lymph in the lesion could always be observed, which may eventually lead to local recurrence and repeated sclerotherapy (12, 13). For improved outcomes, the link between cLM and normal lymphatic system has gradually become the focus of research nowadays. Recently, indocyanine green (ICG) fluorescence imaging system has gained increasing interest with its significant detection of both inflow and outflow of cLM and is considered to be useful for the interventions of cLM (14).

With our previous ICG lymphography study, we found that only one afferent lymph vessel was detected in most cases of macro cLM and mixed cLM, while all micro cLM cases had more than two inflows. Based on our experience and relevant literatures, we herein hold the thought that it is feasible for macro/mixed cLM cases to perform inflow occlusion for blocking the link to the lymphatic system, which could be beneficial for reducing lymph accumulation postoperatively. The purpose of this study was to present our experience in performing inflow occlusion combined with bleomycin sclerotherapy as an alternative management of macro/mixed cLM in children.

#### PATIENTS AND METHODS

#### **Patients**

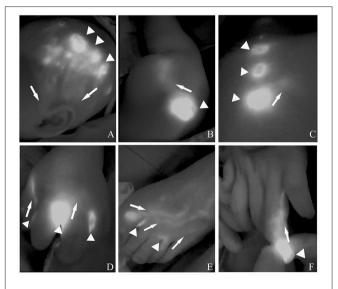
Between June 2018 and October 2020, we conducted a prospective research for this treatment in 81 children diagnosed with macro or mixed cystic LM in our center. The maleto-female ratio was 48:33, with an age range of from 6 months to 8 years. Preoperative physical examination and MRI were performed to determine both region and extent of cLM lesions. All patients were evaluated by the following parameters: cLM location, histological typing, number of afferent lymph vessels, dermal backflow, curative effects, treatment frequency, and postoperative complications. Adverse events included wound infection, fever, scar hyperplasia, and temporary ICG-related pigmentation. The inclusion criteria included the following: (1) no previous intervention (including surgery or sclerotherapy), (2) rapidly involving symptoms, (3) macro/mixed cLM confirmed by preoperative MRI and postoperative pathology, and (4) inflows from lymphatic system confirmed by ICG lymphography. The exclusion criteria included the following: (1) history of iodine allergy, (2) micro cLM, (3) syndromic cLM (e.g., Klippel-Trenaunay syndrome, Gorham-Stout disease), and (4) isolated cLM (no inflow detected). All study protocols were conducted subsequent to receiving consents from the parents of cLM patients. This clinical study was approved by the Ethics Committee of the Children's Hospital of Nanjing Medical University.

#### **Surgical Technique**

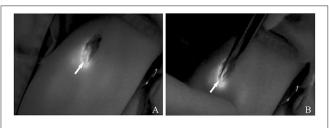
Bleomycin powder (15 mg, Hisun Pfizer Co., Ltd., Hangzhou, China) was reconstituted with 15 ml of normal saline, yielding a 1-mg/ml concentration. The amount of bleomycin injection depended on the size of cLM. The dose of injection was 0.5–1 mg/kg, and the maximum dose was limited to 15 mg per session.

Under general anesthesia, both intradermal and subcutaneous injections of ICG were performed in multipoints distal to the cLM lesion. At each injection site, 0.05 to 0.1 ml of ICG solution (concentration: 2.5 mg/ml, Dandong Yichuang, China) was administered. The maximum dose of ICG was 0.5 mg/kg per session. To minimize the possibility that injected ICG does not enter the lesion and stays in the soft tissue around the injection site or in the lymph node, we routinely performed a 10-min local massage from the distal to proximal regions. ICG lymphography was performed by using near-infrared fluorescence imaging system (Mingde Medical Diagnosis Inc., Langfang, China) with its optical handheld probe. The probe, perpendicular to the skin, was covered with a sterile drape for adjusting its position at a distance of 10 cm. The tissue penetration was limited to 10 mm for visualization, and real-time video images captured during the procedure were stored for later review. The injection points for detecting inflows of cLM at specific locations were summarized as follows: (1) cLM at the cervicofacial region: temporal scalp, (2) cLM at the chest: ipsilateral para-areolar area, (3) cLM at the abdomen or back: midline area, 3-5 cm distal to the cLM, (4) cLM at the upper extremities: first and third web spaces, ulnar and radial volar wrist area, (5) cLM at the lower extremities: first and third web spaces, and (6) cLM at digits: both sides of the tiptoe/fingertip, nail bed (Figure 1).

With completion of ICG injections and observations, we confirmed both location and number of inflows. The detected inflows were visualized gradually from distal to proximal region, and a skin incision was performed in the region where afferent lymph vessels drained into the cLM lesion. The lymph vessels were separated from the incision and confirmed by ICG lymphography (**Figure 2A**). Subsequently, identified inflows



**FIGURE 1** | The selection of indocyanine green (ICG) injection points for detecting inflows of cystic lymphatic malformations (cLM) at the **(A)** cervicofacial region, **(B)** chest, **(C)** abdomen or back, **(D)** upper extremities, **(E)** lower extremities, and **(F)** digits. *Arrow head*, lymph vessel; *triangle*, ICG injection point.



**FIGURE 2 | (A)** ICG lymphography indicates the localization of the afferent lymph vessels. **(B)** Inflow occlusion is conducted by bipolar electrocoagulation. *Arrow head*, lymph vessel.

were occluded by bipolar electrocoagulation with the power of 50 W (Figure 2B). A pair of scissors was used to pass through the cystic wall, and lymph in the lesion was drained by suction, and then a small part of the cystic tissue was resected for postoperative pathology. For reducing the postoperative accumulation of lymph, fluorescence imaging probe (without another ICG injection) was used again to rule out both inflow visualization and lymphatic leak with the focus on the previous detected site.

Thereafter, we placed a drainage tube into the cyst from the incision, and bleomycin was then administered through this tube. After 2 h, a syringe of negative pressure was then connected to the intralesional drainage tube. It was removed on the fifth to seventh day of postoperation when no lymph could be aspirated. For all patients enrolled in our study, the duration of postoperative follow-up was from 10 to 16 months (mean length: 12.4 months).

#### **Curative Effect Evaluation**

Both physical examination and MRI images were compared preoperatively and postoperatively; the effective response was evaluated by assessing the reduction rate of the lesion (reduced size/pre-op size  $\times$  100%) as follows: (1) excellent, defined as a reduction rate of more than 90%, (2) good, defined as a reduction rate from 75 to 90%, (3) fair, defined as a reduction rate from 50 to 75%, and (4) poor, defined as a reduction rate of <50% (15).

#### **RESULTS**

The characteristics and outcomes of all 81 cases with macro or mixed cLM are summarized in Table 1. After injection of ICG, the afferent lymph vessels were gradually visualized from the distal to proximal region, and eventually drained into cLM lesions. As shown by ICG lymphography, no morphological or structural abnormality in afferent lymph vessels was detected. Remarkably, all cLM cases could be found with at least one lymphatic inflow, but without outflow. After occlusion, the afferent lymph vessels could not be visualized on the previous detected site. Neither lymphatic leak nor regeneration of the collateral pathway was observed postoperatively. One mixed cLM case with lymphatic dermal backflow was revealed in this study. This might be caused by the high pressure or dysfunction of lymph vessels, and ICG/lymph flowed back abnormally to the dermal tissue space. Sixty-eight cases (84.0%) with excellent clinical outcomes resulted in notably improved appearance

**TABLE 1** | Characteristics and outcomes of patients.

| Demographics         | Patients ( $n = 81$ ) | %    |  |  |
|----------------------|-----------------------|------|--|--|
| Gender               |                       |      |  |  |
| Male                 | 48                    | 59.3 |  |  |
| Female               | 33                    | 40.7 |  |  |
| Age group (years)    |                       |      |  |  |
| <1                   | 27                    | 33.3 |  |  |
| 1~3                  | 40                    | 49.4 |  |  |
| >3                   | 14                    | 17.3 |  |  |
| Location             |                       |      |  |  |
| Cervicofacial region | 41                    | 50.6 |  |  |
| Trunk                | 29                    | 35.8 |  |  |
| Extremities          | 11                    | 13.6 |  |  |
| Histological typing  |                       |      |  |  |
| Macro cLM            | 50                    | 61.7 |  |  |
| Mixed cLM            | 31                    | 38.3 |  |  |
| Inflow (no.)         |                       |      |  |  |
| 1                    | 74                    | 91.4 |  |  |
| 2                    | 7                     | 8.6  |  |  |
| Dermal backflow      | 1                     | 1.2  |  |  |
|                      |                       |      |  |  |

cLM, cystic lymphatic malformation.

(Figures 3–5), 11 cases (13.6%) experienced partial reduction in the size of cLM lesion, two cases (2.5%, one at the right scapular region, one at the right upper extremity) with fair outcomes required subsequent treatment, and their follow-up was still in progress. Besides, majority of our cases (91.4%) experienced only one session of this treatment. Repeated treatments were needed in 8.6% of cases, in which inflow was no longer visualized by ICG lymphography. Therefore, inflow occlusion was not necessary to perform again, and only bleomycin sclerotherapy was repeated in a subsequent procedure. It is worth noting that no case in our research was treated for more than three times (Table 2).

In this study, fever (8.3%) and scar hyperplasia (8.3%) were the independent adverse events, which were managed by symptomatic treatment. All patients experienced temporary ICG-related pigmentation in the injection sites, which faded away gradually within 1 month postoperatively. There was no ICG allergy or secondary lymphedema occurring in all cases (**Table 3**).

#### DISCUSSION

Given a localized lesion, surgery resection and sclerotherapy are always the main choices for the management of both macro and mixed cLM (3, 16–19). However, a series of cLM cases treated by surgery or sclerotherapy suffer from limited efficacy or local recurrence, especially for the cLM at the cervicofacial region, axilla, and joints. The uncertain border of cLM at these regions makes radical resection very difficult, and the lymph from afferent vessels accumulates again after sclerotherapy, leading to multiple sessions and even focal relapse of cLM (20, 21). Therefore, exploring the link between cLM and the lymphatic

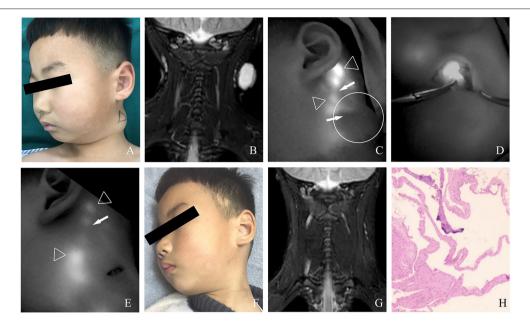


FIGURE 3 | (A) A 4-year-old male patient with macro cLM of left neck preoperatively. (B) MRI preoperatively. (C) A normal lymph vessel from the left temporal scalp ran into the posterior auricular region, from which another afferent lymph vessel was observed flowing into the lesion cite. (D) The cLM lesion confirmed by ICG lymphography during surgery. (E) No inflow visualization or lymphatic leak on the previous detected site after inflow occlusion. (F) Ten months postoperatively, complete regression of cLM met the criterion of excellent curative effect. (G) MRI postoperatively. (H) Pathology postoperatively. Arrow head, lymph vessel; circle, region of cLM; hollow triangle, lymph node.

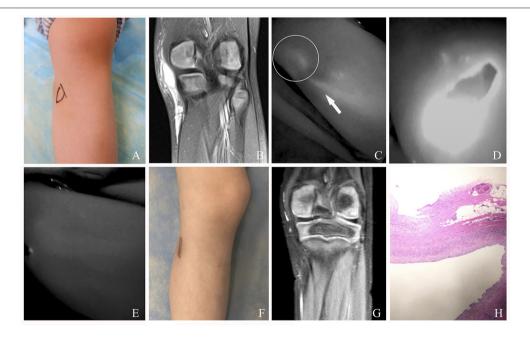


FIGURE 4 | (A) A 5-year-old female patient with mixed cLM of left knee preoperatively. (B) MRI preoperatively. (C) ICG finding with one afferent lymph vessel draining into a lesion. (D) The cLM lesion confirmed by ICG lymphography during surgery. (E) No inflow visualization or lymphatic leak on the previous detected site after inflow occlusion. (F) Twelve months postoperatively, complete regression of cLM met the criterion of excellent curative effect. Remarkably, this patient suffered from skin scar hyperplasia. (G) MRI postoperatively. (H) Pathology postoperatively. Arrow head, lymph vessel; circle, region of cLM.

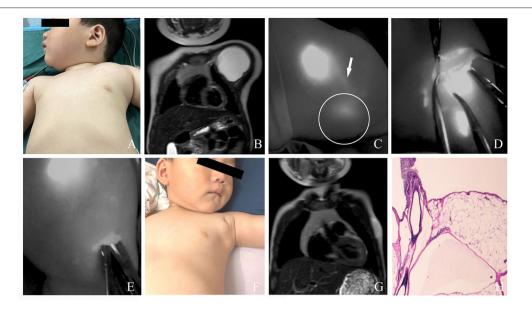


FIGURE 5 | (A) A 2-year-old male patient with macro cLM of the left chest preoperatively. (B) MRI preoperatively. (C) ICG finding with one afferent lymph vessel draining into a lesion. (D) The cLM lesion confirmed by ICG lymphography during surgery. (E) No inflow visualization or lymphatic leak on the previous detected site after inflow occlusion. (F) Ten months postoperatively, complete regression of cLM met the criterion of excellent curative effect. (G) MRI postoperatively. (H) Pathology postoperatively. Arrow head, lymph vessel; circle, region of cLM.

TABLE 2 | Outcomes of the postoperative period.

| Patients ( $n = 81$ ) | %                             |
|-----------------------|-------------------------------|
|                       |                               |
| 68                    | 84.0                          |
| 11                    | 13.6                          |
| 2                     | 2.5                           |
| 0                     | 0                             |
|                       |                               |
| 74                    | 91.4                          |
| 7                     | 8.6                           |
| 0                     | 0                             |
|                       | 68<br>11<br>2<br>0<br>74<br>7 |

system, could gain insight into its pathogenesis and, furthermore, offers a new thought for targeted therapeutic approaches.

MRI examination, as the principal method of diagnosing cLM, is widely employed in evaluation of the lesion and its relationship with adjacent structures (22, 23). MRI is particularly useful for indicating its border, septum, lesion range, and content within the cysts, with low-signal intensity on T1 and high-signal intensity on T2. However, the peripheral lymph flow around cLM cannot be demonstrated by MRI, which hinders the understanding of changes in the local lymphatic drainage in cLM patients. Therefore, it is necessary to combine lymphography imaging to improve the assessment of cLM.

ICG, as a safe and stable fluorescent dye, can be easily absorbed by lymphatic capillaries (24). The original applications of ICG lymphography in the field of lymphatic surgeries were to detect lymph flow patterns or to confirm the treatment

TABLE 3 | Postoperative complications.

| Subject                            | Patients ( $n = 81$ ) | %   |
|------------------------------------|-----------------------|-----|
| Fever                              | 2                     | 2.5 |
| Scar hyperplasia                   | 2                     | 2.5 |
| Temporary ICG-related pigmentation | 81                    | 100 |

ICG, indocyanine green.

outcomes in both primary and secondary lymphedema (25–27). Recently, its capability to penetrate deep into soft tissue (up to 10 mm for visualization) opens the door to real-time intraoperative navigation for the management of cLM. Kato et al. (13, 14, 28) reported that the flow patterns of cLM can be classified into four types, and flow-oriented venous anastomosis for creating drainage bypass was considered an effective surgical approach for micro or mixed cLM. Kubota et al. (29) reported an adult case with axillary cLM that was successfully treated with ICG lymphography for visualization of lymph vessel and lesion. Additionally, Shirota et al. (30) revealed that following ICG injection into the skin above the lesion, the precise surgical margins of cLM could be identified, which, in turn, aid in complete resection.

In the study presented here, we performed intraoperative ICG lymphography to detect afferent lymph vessels. The skin incision was selected in the region where afferent lymph vessels drained into the lesion, so that it was beneficial for exposure of both inflow and cLM. For stable postoperative outcome, we performed inflow occlusion combined with bleomycin sclerotherapy, which had not been previously reported in the treatment of macro or mixed cLM. The principle behind this technique is to block the

communication between the lesion and lymphatic system, and to induce shrinkage of the cysts. Fluorescence imaging probe was intraoperatively used to assess inflow occlusion and ruled out lymphatic leak, so as to reduce the postoperative accumulation of lymph in the lesion.

It is worth mentioning that, it is not feasible to ligate the inflow without lesion resection, which may lead to a regeneration of the collateral pathway postoperatively (13). Besides, for patients with micro cLM, our technique is not the ideal treatment modality of choice. Due to multiple small inflows observed in most micro cLM cases, occluding all of them may impede normal lymphatic drainage and cause secondary lymphedema subsequently.

The selection of management of cLM relies on lesion location, patient preference, and experience of the surgeon. Sclerotherapy has emerged as a first-line treatment for macro cLM in the cervicofacial region with its advantages, including simple operation, less trauma, and fewer side effects (31, 32). Bleomycin, an antibiotic derivative with cytostatic properties, induces obliteration and fibrosis of cysts by its sclerosant effect on lymphatic endothelium (33, 34). However, therapeutic responses of bleomycin are always delayed for >3 months after initial treatment, and posttreatment accumulation of lymph may cause multiple sessions of treatment (35-37). Thus, for cLMs connecting to the lymphatic system, we think that inflow occlusion can inhibit lymph accumulation after sclerotherapy, so as to improve curative effect and reduce treatment frequency. Two hours after bleomycin sclerotherapy, we applied negative pressure for continuous drainage to promote cyst shrinkage and reduce posttreatment accumulation of the remaining lymph. Our technique provided rapid results, and 91.4% of cases did not need extra treatment postoperatively. Therefore, we believe that it could be an effective alternative for the management of macro or mixed cLM.

Our research has several limitations. Because ICG tissue penetration was limited to 10 mm for visualization, we cannot assure inflow occlusion in the deep tissue. Postoperative accumulation of lymph from deep inflows might be the cause of two cases with fair outcomes. In addition, further investigations with both larger sample sizes and longer follow-up period

(1.5–2 years) would provide more concrete evidence for the effectiveness of this new technique.

In conclusion, this research introduces a novel technique, which combines inflow occlusion and bleomycin sclerotherapy based on intraoperative ICG lymphography. This procedure is performed with easy operation, satisfactory curative effect, and favorable treatment frequency. We therefore believe that the findings of this study could offer an effective and safe alternative for the management of macro or mixed cLM.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Ethics Committee of the Children's Hospital of Nanjing Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

WS and JC performed the surgery and conducted the data analyses. YJ performed postoperative follow-up, analyzed the data, wrote sections of the article, and edited the figures. TH wrote a draft of the article and edited the figures. All authors contributed to the article and approved the submitted version.

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# Preliminary Experiences With Robot-Assisted Choledochal Cyst Excision Using the Da Vinci Surgical System in Children Below the Age of One

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Xie X, Wu Y, Li K, Ai C, Wang Q, Wang C, Chen J and Xiang B (2021) Preliminary Experiences With Robot-Assisted Choledochal Cyst Excision Using the Da Vinci Surgical System in Children Below the Age of One. Front. Pediatr. 9:741098. doi: 10.3389/fped.2021.741098 The purpose of this study is to introduce our preliminary experiences with using the da Vinci surgical system to treat choledochal cysts in children under 1 year old and discuss the application of this robot-assisted surgery. We retrospectively analyzed all available clinical data of children below the age of 1 who underwent surgery for choledochal cysts using the da Vinci robotic surgical system between January 2015 and December 2020. Data collection mainly included demographic information, imaging data, perioperative details, and postoperative outcomes. Ten patients were included in this study. The average patient age was 8.5 months, and the average weight was 9.11 kg. Half of these patients suffered from abdominal pain, while 30% exhibited vomiting and 10% jaundice. Eight of them were type Ia, and two were Ic. The average operation time among the patients was 219.5 min. None of the 10 patients had to receive a blood transfusion or conversion. The average time of the patients' subsequent fluid diet was 3.28 days, and the solid diet was 3.76 days. Meanwhile, the average length of hospital stay was 7.6 days. All 10 patients recovered and were eventually discharged. We believe that the da Vinci surgical system is a safe and feasible form of treatment for choledochal cysts in children <1 year old.

Keywords: robot, choledochal cyst excision, da Vinci, children, 1 year old

#### INTRODUCTION

Choledochal cysts are the most common congenital malformation found in the biliary tract and are characterized by cystic or fusiform dilatation of the common bile duct. These have also been known to simultaneously appear alongside intrahepatic bile duct dilatation (1–3). Without effective treatment, patients with choledochal cysts may suffer from cyst perforation, recurrent pancreatitis, cancer, or even severe cholestasis, which can then lead to liver cirrhosis, portal hypertension, and eventually liver failure (4).

The best option for treatment of choledochal cysts is surgery, which mainly involves choledochal cyst resection, cholecystectomy, and hepaticojejunostomy (5). Minimally invasive approaches toward choledochal cysts are currently the mainstream method, and this includes both laparoscopeassisted and robot-assisted surgeries. However, the laparoscopic procedure is as of yet not widely

promoted throughout the world because a laparoscopic choledochojejunostomy is technically demanding, as well as requiring a certain learning curve. Therefore, robotic procedures are usually proposed an alternative form of minimally invasive surgery on choledochal cysts due to their unique threedimensional (3D) imaging and the flexible design of their simulation manipulator, which significantly improve operability and accuracy (6). Woo et al. reported the first robot-assisted choledochal cyst resection in children and their success with it in 2006 (7). The technology has been reported time and time again since then, with several other successful operations taking place (6, 8, 9). However, there are still few reports regarding robot-assisted choledochal cyst resection in the under 1-year-old age group available in the literature (8). Our department alone completed 134 operations on choledochal cyst resection using the da Vinci surgical system between January 2015 and December 2020. Of these, 10 cases were in children below the age of 1, and in this study, we present our experiences and discuss the relevant technical points.

#### **METHODS**

#### **Study Population**

We retrospectively analyzed clinical data from patients below the age of 1 year who had undergone robot-assisted surgery to treat choledochal cysts from January 2015 to December 2020 in the West China Hospital of Sichuan University. Informed consent was naturally obtained from the children's parents. The study passed the ethics review of our hospital ethics committee (No. 1082). Candidates for inclusion in the study were based on the following requirements: (1) patients were diagnosed with choledochal cysts through preoperative history, physical examination, B-ultrasound, computed tomography (CT), or magnetic resonance cholangiopancreatography (MRCP); (2) patients would be able to tolerate CO2 pneumoperitoneum during general anesthesia and robotic surgery; and (3) the patient's coagulation function was normal, and they had no serious organ dysfunction. Conversely, exclusion criteria included secondary operations, cyst perforation, and malignant transformation of the choledochal cyst before the operation.

#### **Procedure of the Operation**

A gastric tube was first set in place for gastrointestinal decompression after general anesthesia had been induced. The patient's right upper abdomen should be raised with his/her head elevated 15° with a left incline of 15°. End-to-side anastomosis of the jejunum was performed extracorporeally with a 1.2- to 1.5-cm incision below the umbilicus, and the intestine was returned to the abdominal cavity. A 12-mm trocar was then set as a 3D endoscopic port at the subumbilical incision and constructing pneumoperitoneum with a 12-mmHg pressure (**Figure 1**). With the use of images from the camera, two 8-mm trocars were placed in the right upper abdomen 5–8 cm away from the umbilicus and 4 cm below the front rib of the left axillary line. Following this, No. 1 and No. 2 arms were introduced, and a 5-mm trocar was placed between the endoscopic port and No. 1 arm as an auxiliary port. The ligamentum teres hepatis and the middle



FIGURE 1 | Port placement in robot-assisted surgery for choledochal cysts.
(1) Camera port. (2) Port I. (3) Port II. (4) Assistant port.

part of the gallbladder were suspended with a 3-0 sliding line to expose the cyst and hilar (Figure 2A). If the cyst was large, decompression was performed first. The anterior and posterior walls of the cyst were dissected using an electric hook close to the cyst wall. The distal end would then be dissected to the proximal pancreaticobiliary junction, and the distal end ligated with a 5-0 synthetic clip (JY1004-2103005; Zhejiang Wedu Medical; No. 3766, South Circular Road, Binjiang District, Hangzhou, China) (Figure 2B). This led to the dissection of the triangle of the gallbladder and ligation of the cystic artery and cystic duct. The proximal end of the cyst was dissected in reverse along the cyst wall to the hepatic duct of the hilar part and was removed (Figure 2C). After this, the biliary loop was lifted up to the hepatic hilum through the right mesentery of transverse colon. A 4-0 StratafixTM (SXMD1B402; Surgical Specialties Corporation; 247 Station Drive, NE1 Westwood, MA, USA) was used for end-to-side choledochojejunostomy. The anastomosis was performed from the posterior wall to the anterior wall and from the right side to the left side of the child (Figure 2D). The transverse mesocolonic hiatus was closed with a 3-0 absorbable suture, and the gallbladder was removed with the electric hook. Wrapping up the operation, a drainage tube was placed around the anastomotic site.

### Intraoperative and Postoperative Observations and Recording Indicators

A liquid diet was initiated following the recovery of intestinal function. Discharge would only be arranged when a patient could eat normally without abdominal pain or any other discomfort. Demographic information was monitored and recorded, as well as clinical manifestation, cyst type, diameter of cyst, operation time, anesthesia time, intraoperative bleeding, transfusion, time to taking water, hospital stay, and postoperative complications.

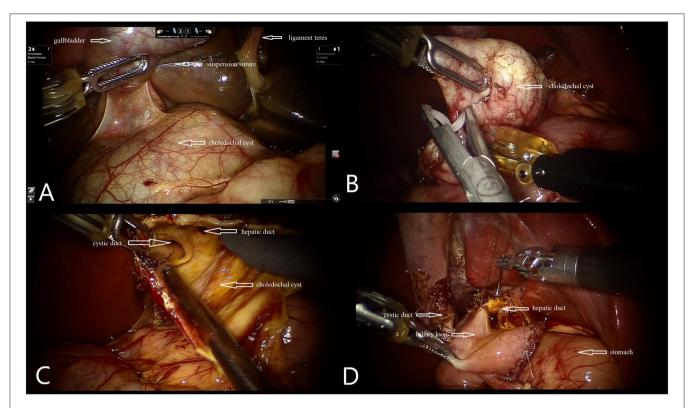


FIGURE 2 | Intraoperative photographs. (A) Suspension of gallbladder and ligamentum teres. (B) Ligation of the distal end of the cyst. (C) Confirm cystic duct and hepatic duct. (D) Hepaticojejunostomy with robotic instruments.

#### **Statistical Analyses**

The statistical data were entered into Excel 2007 and analyzed using SPSS 23.0 software. The numerical variables were expressed by both the mean and standard deviation, and the categorical variables were expressed by counts (N) and percentage (%).

#### **RESULTS**

Ten patients below the age of 1 year and diagnosed with choledochal cysts were treated with robot-assisted procedures and subsequently analyzed in our study. The average follow-up time was 24 months. The baseline data of the 10 children are shown in **Table 1**. Three of the patients were male and two were female. The children's average age was 8.5 months, and the average weight was 9.11 kg. About 50% of these patients suffered from abdominal pain, while 30% experienced vomiting and 10% jaundice. Palpable abdominal masses were observed in 40% of the patients. Eight of them were Todani type Ia, and two were Todani type Ic. The average diameter of the choledochal cyst was 4.26 cm.

Perioperative details and postoperative outcomes are presented in **Table 2**. The total average operation time was 219.5 min. The average docking time was 15.6 min, and the console time was 178.5 min. The average volume of blood loss was 17 ml. None of the 10 patients received blood transfusions or conversions. The average time of their fluid diet was 3.28 days and solid diet 3.76 days. Meanwhile, the average length

of hospital stay was 7.6 days. Only one patient developed an incomplete intestinal obstruction following the operation, and this was dealt with using conservative treatment. All 10 patients eventually recovered and were discharged.

#### DISCUSSION

Our team has previously published papers regarding our experiences with total robot-assisted resections of choledochal cysts in children (10). The purpose of this article is to summarize and share our valuable experience with robot surgery for choledochal cysts in children below the age of 1 year. At present, the main methods of surgery for choledochal cysts include the open approach, laparoscopic approach, and robotic approach. Laparoscopic procedures and robot-assisted procedures are both minimally invasive and thus have the advantage of being more cosmetic, leading to a faster recovery and providing a better view of deep anatomical structures such as the bile duct, portal vein, and hepatic artery than open procedures (11). However, laparoscopic surgery has not been widely used thus far because of its high technical requirements, and this is especially true for the laparoscopic hepaticojejunostomy. A steep learning curve is needed in the initial stage due to the limited operation space, limited movement of the operating instruments, and instability of the two-dimensional imaging platform. However, along with the progress of surgical technology and increased experience with

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**TABLE 2** | Intraoperative and postoperative outcomes and complications.

| <i>N</i> = 10 | Operation time<br>(min) <sup>a</sup> | Docking time | Console time  | Anesthesia time<br>(min) <sup>a</sup> | Intraoperative bleeding (mL) <sup>a</sup> | Transfusion<br>rate <sup>a</sup> | Conversion to open surgery | Time to taking<br>water (days) <sup>a</sup> | Time to starting<br>solids diet<br>(days) <sup>a</sup> | Complications          | Hospital stay<br>(days) <sup>a</sup> |
|---------------|--------------------------------------|--------------|---------------|---------------------------------------|---|----------------------------------|----------------------------|---|--|------------------------|--------------------------------------|
| Case 1        | 219                                  | 17           | 175           | 244                                   | 20  | 0                                | 0                          | 3   | 3.7  | /                      | 7.4                                  |
| Case 2        | 222                                  | 17           | 178           | 252                                   | 10  | 0                                | 0                          | 3.1   | 3.8  | /                      | 7.1                                  |
| Case 3        | 213                                  | 15           | 169           | 234                                   | 20  | 0                                | 0                          | 3.2   | 3.5  | /                      | 8                                    |
| Case 4        | 219                                  | 15           | 180           | 250                                   | 25  | 0                                | 0                          | 3.4   | 3.6  | /                      | 7.2                                  |
| Case 5        | 195                                  | 16           | 161           | 236                                   | 10  | 0                                | 0                          | 3.3   | 3.5  | /                      | 8                                    |
| Case 6        | 219                                  | 16           | 176           | 252                                   | 20  | 0                                | 0                          | 3.7   | 4  | /                      | 7.3                                  |
| Case 7        | 212                                  | 17           | 177           | 247                                   | 20  | 0                                | 0                          | 3.5   | 3.7  | /                      | 7                                    |
| Case 8        | 233                                  | 15           | 189           | 267                                   | 15  | 0                                | 0                          | 2.9   | 3.9  | /                      | 6                                    |
| Case 9        | 228                                  | 14           | 187           | 260                                   | 10  | 0                                | 0                          | 3.5   | 3.9  | Intestinal obstruction | 1                                    |
| Case 10       | 235                                  | 14           | 193           | 259                                   | 20  | 0                                | 0                          | 3.2   | 4  | /                      | 8                                    |
| N = 10        | 219.50 (11.55)                       | 15.60 (1.17) | 178.50 (9.50) | 250.10 (10.41)                        | 17.00 (5.38)                              | 0 (0.00%)                        | 0 (0.00%)                  | 3.28 (0.25)                                 | 3.76 (0.19)  | 1 (10.00%)             | 7.60 (1.04)                          |

amean, standard deviation.

<sup>&</sup>lt;sup>a</sup>Median, interquartile range; <sup>b</sup>mean, standard deviation. WBC, White blood cell count; N, Neutrophils; ALT, Alanine transferase; AST, Aspartic aminotransferase; TBIL, Total bilirubin; DBIL, Direct bilirubin; IBIL, Indirect bilirubin.

this procedure, the possibility of conversion to open surgery and postoperative complications is significantly reduced. When compared with laparoscopic surgery, robotic surgery has some obvious advantages (12). Firstly, a 3D three-dimensional field of vision with magnification of up to 10 times can reveal the deep anatomical structure more clearly. In addition to this, surgeons can adjust the depth and angle of the lens according to their own preference and requirements (13). Secondly, the da Vinci robotic surgery system can completely remove the choledochal cyst to its maximum extent, from the pancreaticobiliary junction to the hilar bile duct. Moreover, the da Vinci robot surgery system's simulation manipulator is highly flexible and can simulate the translation, bending, opening, closing, rotation, and other operations of the human hand (14). It can even rotate 540° to accurately grasp, free, cut, and sew all while eliminating the problem of shakiness and providing advanced motion calibration (15). Altogether, the advantages of robotic surgery significantly reduce the difficulty of surgery. Of course, robotic surgery is not without its drawbacks though. Firstly, generally speaking, the cost of robotic procedures can be prohibitive, as it is significantly higher than that of other techniques. Yoon et al. reported that the total hospital and operation charges of robotic surgery are about 1,000 USD higher than those of laparoscopic surgery. However, making matters even worse, the patient's actual bill for robotic surgery is 4,000 USD higher than that of laparoscopic surgery (16). Moreover, in a country such as China, for example, the cost of robotic surgery rises by 20,000-40,000 RMB (the equivalent of roughly 3,000–6,000 USD) compared with open and laparoscopic methods, as evidenced from our hospital's experiences. Further making matters more complicated, the da Vinci surgical system does not allow for tactile feedback; that is, the operator cannot directly feel the mechanical response when separating, suturing, or knotting. However, it is hoped that with the overcoming of the learning curve, visual feedback through hand-eye coordination can make up for this lack of tactile feedback.

Kim et al. reported on one patient and Alizai et al. reported on five patients who after initially undergoing robotic procedures were converted to open procedures shortly thereafter (9, 17). Although the patients in our study are younger than those reported in these studies, we found no similar experience of such conversion to open surgery. Through our preliminary experiences, there are some measures that can be taken to maximize the working space in these younger and smaller children, which we would like to make clear in this following section, as it would allow for smoother surgery. (1) Creating an incision below the umbilicus offered a better visual field of the cyst than from above the umbilicus. And a 1.5cm subumbilical incision provided enough space to perform intestinal anastomosis extracorporeally and place the camera. Port I and port II were placed in the right upper abdomen at least 5-8 cm away from the umbilicus and 4 cm below the front rib of the left axillary line. And the position of the assistant port was on the triangle diagonal line with the cyst as the apex with the 3D camera port and port II forming the bottom line. The assistant port was lower than the umbilical plane to reduce any interference with the camera port and port II (No. 2 arm). The 3D camera port and operative ports only need to be inserted into a few millimeter port to maximize the working space between the head of scope and the operating area. (2) The cysts found in patients who were <1 year old were frequently large, and cyst decompression could provide sufficient operating space. (3) In the end, it is suggested to remove the gallbladder because the middle part of the gallbladder should be suspended to allow for a clear visual field. Besides, it is not so suitable to remove the gallbladder from the abdominal cavity during operation after coming into contact with the machine.

In addition to our tips for increasing the operating space as much as possible, we would also like to offer some additional advice to ensure that the operation runs smoothly. (1) It is strongly suggested that the cyst be free as a whole without being transected. Dissect the anterior side of the cyst first and then the distal part of the cyst to the pancreatic segment close to the wall afterwards. After distal ligation, the posterior wall of the cyst can be dissected in reverse, and the direction and dissociation should be from the lower side of cyst to the upper side. (2) It needs to be remembered that there is a learning curve in robot-assisted choledochal cyst resection. Dealing with older patients is recommended in the early stages of one's operation of this procedure to become more familiar and confident with it. Then, with the accumulation of experience and the flattening of the learning curve, the age of patient being operated on could be gradually lowered. In our study, the youngest patient was 6 months old. Our initial experience suggested that robotic surgery was not recommended in children under 6 months old for safety, chiefly due to lack of space and maneuverability. Along with improvements in the area of prenatal diagnosis though, there are more reports of the discovery of prenatal choledochal cysts (18, 19). However, there is still some controversy regarding the timing of surgery in this particular group of patients (19). On the one hand, one has to consider the gestational age, comorbidity of the patient, and the difficulty in performing complex reconstructive operations in infants (20). On the other hand, early surgery is advocated in view of the risks posed by the increase in size of the cyst, inflammation, or even ruptures while under observation (21). Our experience was that children under the age of 6 months with severe inflammation, severe liver injury, or a risk of perforation with a choledochal cyst can be operated on through open surgery or even traditional laparoscopy. (3) We used three ports to complete the operation without the need for a fourth port. Specifically, we made use of the camera port, ports 1 and 2, and ultimately completed the operation with the help of an assistant port. It is very important to cultivate a skilled team to prevent any complications potentially caused by robotic instruments, and a skilled assistant can ensure the successful completion of the operation and monitor the robot arm during the operation to avoid any injury to patients. During the cyst dissection process, the assistant can use wave forceps to form tension and expose the cyst and surrounding tissues. This is done so that the chief surgeon can dissect the free cyst with the No. 1 arm electric hook. If there is bleeding affecting the visual field, the assistant can then use the suction device alternately through the assistant port to suck up the blood to ensure a clear field of vision. Likewise, during a hepaticojejunostomy, the assistant mainly lifts the Stratafix with curved pliers through the assistant port to expose the visual field, so that the chief surgeon can perform a hepaticojejunostomy using No. 1 and No. 2 arms. And if intestinal fluid and bile affect the field of vision, the assistant can also use the suction device to suck up this intestinal fluid and bile. The whole process requires skilled cooperation and understanding between the assistant and chief surgeon.

However, our study also has some limitations. Firstly, the study includes a relatively small amount of samples of children below the age of 1 undergoing choledochal cyst resection using the da Vinci surgical system. Secondly, our study is a retrospective study. Multicenter and long-term follow-up data are needed to demonstrate the true benefits of robotic surgery for treating choledochal cysts in children below the age of 1. However, our overall experience thus far has found that robot-assisted choledochal cyst excisions in patients under 1 year old is safe and feasible in pediatrics.

#### CONCLUSION

The da Vinci surgical system is safe and feasible in the treatment of choledochal cysts in children below the age of 1.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

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#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the West China Hospital of Sichuan University Ethics Committee (# 1,082). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

BX and JC: study conception and design, analysis and data interpretation, and critical revision. XX: study concept and design, data analysis, drafting of the manuscript, and critical revision of the manuscript. YW: study conception, data collection, and analysis. KL: data collection, conducting a research, and investigation process. CA and QW: data collection, scrub data, and maintaining research data. CW: data collection. All authors contributed to the article and approved the submitted version.

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## A Randomized Controlled Study of Caudal Dexmedetomidine for the Prevention of Postoperative Agitation in Children Undergoing Urethroplasty

#### **OPEN ACCESS**

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**Background:** Postoperative agitation is a common complication in children undergoing general anesthesia. This study aimed to investigate the effect of caudal dexmedetomidine for the prevention of postoperative agitation in children undergoing urethroplasty.

**Materials and Methods:** Eighty children were prospectively recruited to this study and randomized to two groups (40 cases in each group), specifically, a dexmedetomidine group (group D) who received 0.2% ropivacaine + 0.5  $\mu$ g/kg dexmedetomidine for caudal block, and a control group who received 0.2% ropivacaine alone. The time to wake up, the time to discharge from the postanesthesia care unit (PACU), the duration of the caudal block, and the Ramsay sedation scale (RSS) were evaluated in the patients. Adverse events such as postoperative agitation, respiratory depression, bradycardia, hypotension, excessive sedation, nausea, and vomiting were also recorded during the first postoperative 24 h.

**Results:** The incidence of postoperative agitation was lower in group D compared with patients in the control group (2.5 vs. 22.5%, p=0.007). The time to wake up and the time to discharge from PACU were longer in group D than in the control group (15.2  $\pm$  2.6 vs. 13.4  $\pm$  1.3 min, 48.2  $\pm$  7.7 vs. 41.5  $\pm$  8.0 min, respectively, p<0.001). However, the extubation times were similar between the two groups. The duration of the caudal block was longer in group D compared with the control group (8.8  $\pm$  1.6 vs. 4.6  $\pm$  0.7 h, p<0.001).

**Conclusions:** Caudal dexmedetomidine prolongs the duration of caudal block and decreases the incidence of postoperative agitation in children undergoing urethroplasty.

Clinical Trial Registration: ChiCTR1800016828.

Keywords: dexmedetomidine, caudal block, postoperative agitation, children, general anesthesia

#### INTRODUCTION

Postoperative agitation is one of the common complications in pediatric patients after general anesthesia (1, 2). It is characterized by crying, shouting, screaming, non-purposeful restlessness, and disorientation (3). The rate of postoperative agitation has been reported to range from 10 to 80% in pediatric patients (4).

Dexmedetomidine is an  $\alpha_2$  adrenergic agonist that is used for sedation by intravenous infusion. Studies have shown that intravenous dexmedetomidine can reduce the incidence of postoperative agitation in pediatric patients receiving general anesthesia (5, 6). Also, venous infusion of dexmedetomidine may lead to delayed discharge from the hospital (7). Ropivacaine (0.2%) with or without adjuvants is usually used for the caudal block in children. However, studies on the use of caudal dexmedetomidine to prevent postoperative agitation are yet to be reported in the literature. The purpose of this study was to investigate the efficacy of caudal dexmedetomidine in reducing postoperative agitation in children undergoing urethroplasty.

#### MATERIALS AND METHODS

This study was conducted in accordance with the Declaration of Helsinki and approved by the Ethical Committee of the Jiaxing Children's Hospital (approval number: 2018-36, Chairman: Prof L. Xia). Written informed consent was obtained from the parents or guardians of the children recruited to the study (www.chictr.org.cn, registration number: ChiCTR1800016828).

From July 2018 to July 2019, a total of 80 children undergoing urethroplasty with ASA I–II who weighed between 10 and 30 kg and were aged 1 to 6 years were recruited to this study. Children with cardiopulmonary diseases, body mass index (BMI) >29 kg/m², and contradictions to caudal block were excluded from the study. Children were randomized to the control group or the dexmedetomidine group (the group D) with 40 patients in each group. The anesthesiologists, nurses, investigators, and children were blinded to the allocated groups.

All children were fasted for 6–8 h before treatment and had no premedications. Upon arrival in the operating room, venous access was established. Routine monitoring included an electrocardiogram, pulse oxygen saturation ( $S_PO_2$ ), noninvasive systolic blood pressure (SBP), diastolic blood pressure (DBP), and heart rate (HR). After the induction of anesthesia with intravenous fentanyl (3  $\mu$ g/kg) and propofol (3 mg/kg), a laryngeal mask airway (LMA) (classical type, Tuoren Company, Changyuan, China) was inserted. Subsequently, the lungs were mechanically ventilated with pressure-controlled ventilation. The ventilation parameters were set as a driving pressure of 12–15 cmH<sub>2</sub>O, a respiratory frequency of 14–20 breaths/min, an oxygen flow rate of 2 L/min, the fraction of inspired oxygen was 0.5, an I:E ratio of 1:1.5, and a positive end-expiratory pressure of zero.

The caudal block was performed under general anesthesia in the left lateral position. The D group received 1 ml/kg of analgesic solution that consisted of 0.2% ropivacaine (AstraZeneca Pharmaceutical Company, Beijing, China) and 0.5  $\mu$ g/kg dexmedetomidine (Jiangsu Hengrui Pharmaceutical Company, Lianyungang, China) for the caudal block. The control

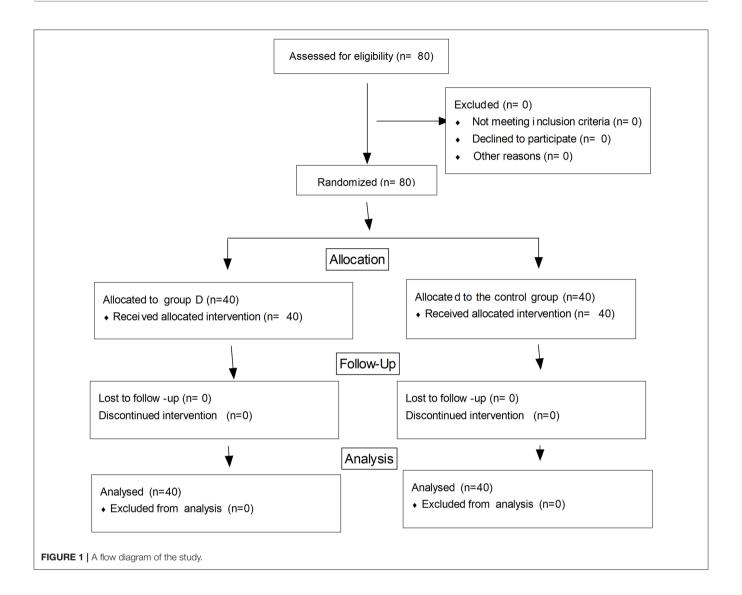
group received 0.2% ropivacaine 1 ml/kg alone. The analgesic medications were prepared by the nurses. The driving pressure was adjusted to keep the end-tidal carbon dioxide partial pressure ( $P_{\rm ET}CO_2$ ) between 35 and 50 mmHg. Anesthesia was maintained with 2%-3% end-tidal sevoflurane to keep the blood pressure within a 20% range of baseline. Anesthetic agents were stopped 5 min before the end of the operation and the children were transferred to the postanesthesia care unit (PACU) at the end of the operation.

The SBP, DBP, and HR were recorded at 5-min intervals during the operation. The time to remove the LMA (extubation time), wake-up time, time to discharge from the PACU, the duration of the caudal block, and the Ramsay sedation scale (RSS) during the first postoperative 24h were also noted. The adverse events (postoperative agitation, respiratory depression, bradycardia, hypotension, excessive sedation, nausea, and vomiting) were recorded. The LMA was removed when the tidal volumes were >6 ml/kg, the  $\rm S_PO_2$  was >96%, and the  $\rm P_{ET}CO_2$  was <50 mmHg during inhalation. The children were discharged from the PACU when the modified Aldrete score was >9. The standards for the modified Aldrete scores were as follows:

- a. Movements: 2 = spontaneous movement of the arms, legs, and head; 1 = spontaneous movement of the arms or legs with restricted spontaneous head movements; and 0 = no movement of the limbs or head.
- b. Breathing: 2 = Deep breathing and effective coughing with a normal respiratory rate; 1 = Difficult or restricted breathing but spontaneous breathing is shallow and slow. 0 = Paused or weak breathing that requires assisted breathing.
- c. Blood pressure:  $2 = \text{Within } \pm 20\%$  before anesthesia;  $1 = \pm 20\% 49\%$  before anesthesia; and  $0 = > \pm 50\%$  before anesthesia.
- d. Consciousness: 2 = Completely awake and can answer questions accurately; 1 = the patient can wake up but is drowsy; and 0 = nonresponsive.
- e.  $SpO_2$ : 2 = air breathing  $SpO_2 > 92\%$ ; 1 = oxygen breathing  $SpO_2 > 92\%$ ; and 0 = oxygen breathing  $SpO_2 < 92\%$ .

The duration of the caudal block was defined as the time from the caudal injection to the first occasion when the children complained of incisional pain. Respiratory depression was defined as  $SpO_2$  levels <94% while receiving oxygen and a respiratory frequency of <10 times/min. Hypotension was defined as SBP reduction to >20% from the baseline values and bradycardia was defined as a HR <60 beats/min or reduction to >20% from the baseline values. Children were treated with propofol (1 mg/kg) if postoperative agitation occurred.

The level of sedation was assessed using the Ramsay sedation scale (RSS) (1 indicated that the patient was anxious, agitated, or restless, 2 indicated that the patient was cooperative, oriented, and alert, 3 indicated that the patient was responsive to commands, 4 indicated that the patient was asleep but had a brisk response to a light glabellar tap or loud auditory stimulus, 5 indicated that the patient was asleep with a sluggish response to a light glabellar tap or loud auditory stimulus, and 6 that the patient was asleep and not responsive) (8). The RSS values were recorded at intervals of 1 h during the first



**TABLE 1** | Data of children (n = 40).

| Index                             | Group D        | Control group  | P-value |
|-----------------------------------|----------------|----------------|---------|
| Age (year)                        | $3.7 \pm 0.9$  | 3.5 ± 1.2      | 0.466   |
| Weight (kg)                       | $15.2 \pm 2.0$ | $14.7 \pm 2.2$ | 0.305   |
| BMI (kg/m²)                       | $23.6 \pm 3.5$ | $23.5 \pm 3.2$ | 0.869   |
| Duration of anesthesia (min)      | $97.6 \pm 6.3$ | $98.4 \pm 6.3$ | 0.447   |
| Duration of surgery (min)         | $83.4 \pm 7.7$ | $84.3 \pm 8.2$ | 0.586   |
| Duration of caudal block (h)      | $9.7 \pm 1.6$  | $4.5 \pm 0.7$  | < 0.001 |
| Extubation time (min)             | $8.1 \pm 2.0$  | $8.5 \pm 2.1$  | 0.447   |
| Wake-up time (min)                | $15.2 \pm 2.5$ | $13.4 \pm 1.3$ | < 0.001 |
| Time to discharge from PACU (min) | $48.2 \pm 7.7$ | $41.5 \pm 8.0$ | < 0.001 |
|                                   |                |                |         |

Data are expressed as the mean + standard deviation or number. BMI, body mass index; LMA, laryngeal mask airway; PACU, post-anesthesia care unit.

postoperative 24 h. Excessive sedation was defined as when the RSS value was >4. Postoperative agitation was defined as an RSS value of 1.

#### Statistical Analysis

In this study, the primary outcome was the incidence of postoperative agitation and the secondary outcome was the duration of the caudal block. According to our pilot study, 40 samples in each group were required to allow for dropouts using a two-sided Chi-square test at a significance level of 0.05 with a power of 80%. Data analysis was performed with the SPSS 20.0 statistical software (SPSS Inc., Chicago, IL, USA). Data are presented with mean  $\pm$  standard deviation. Comparison of the numerical variables between the two groups was performed using a Student's t-test for independent samples. The categorical data were compared using a Chi-square test. P-values < 0.05 were considered statistically significant.

#### **RESULTS**

Eighty children were recruited to and completed the study (Figure 1). No significant differences in age, weight, BMI, the duration of operation, and the duration of anesthesia were

observed between the two groups (p>0.05) (Table 1). The extubation time was similar between the two groups ( $8.1\pm2.0$  vs.  $8.5\pm2.1$  min, p=0.447), while the time to wake up and discharge from PACU were significantly longer in group D compared with the control group ( $15.2\pm2.5$  vs.  $13.4\pm1.3$  min,  $48.2\pm7.7$  vs.  $41.5\pm8.0$  min, respectively, p<0.001) (Table 1). The duration of the caudal block was significantly longer in group D compared with the control group ( $8.8\pm1.6$  vs.  $4.6\pm0.7$  h, p<0.001). The postoperative RSS was higher in group D compared with the control group within the first postoperative 4 h but was similar between the two groups during 5-24 h after the operation (Figure 2).

There was one case of postoperative agitation in group D, while nine cases were reported in the control group (2.5 vs. 22.5%, p=0.007). There were no significant differences in the incidence of respiratory depression, bradycardia, hypotension, nausea, and vomiting between the two groups. Postoperative hypoxemia and excessive sedation were not observed in either of the groups during the study period (**Table 2**).

#### DISCUSSION

Emergence agitation may cause injury to patients and may also result in the accidental removal of intravenous catheters, dislodgement of urinary catheters, postoperative wound bleeding, and increases in the nursing requirements in PACU. This study indicated that caudal dexmedetomidine prolonged the duration of analgesia and reduced the incidence of postoperative agitation in children undergoing urethroplasty.

The duration of the caudal block was longer in group D compared with the control group. These data indicated that dexmedetomidine prolonged the duration of the caudal block

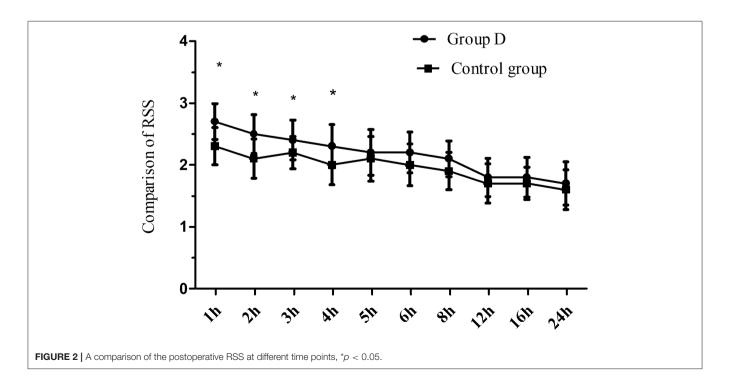
and maintained long-term effective analgesia. Dexmedetomidine can produce analgesia by activating the spinal  $\alpha_2$  adrenergic receptor (9). In our study, the RSS in the first postoperative 4 h was higher in group D than in the control group, but the RSS was similar between the two groups at 4–24 h after the operation suggesting that dexmedetomidine could increase the sedative effect of the caudal block. Dexmedetomidine can produce a sedative effect by activating the  $\alpha_2$  adrenergic receptor (10–12). As the sedative effect of dexmedetomidine gradually disappeared, the RSS after dexmedetomidine administration decreased. Hassan et al. (13) reported that caudal bupivacaine combined with dexmedetomidine prolonged the analgesic time of bupivacaine and increased the sedation scores in pediatrics undergoing hypospadias surgery. These observations are in agreement with our findings.

The extubation time (time to remove LMA) was similar between the two groups, but the wake-up time and discharge time from PACU were longer in group D than in the control

**TABLE 2** Adverse events of children (n = 40).

| Index                       | Group D | Control group | P-value |
|-----------------------------|---------|---------------|---------|
| Postoperative agitation (n) | 1(2.5)  | 9(22.5%)      | 0.007   |
| Respiratory depression (n)  | 0       | 0             | 0.999   |
| Bradycardia (n)             | 2       | 0             | 0.494   |
| Hypotension (n)             | 1       | 0             | 0.999   |
| Nausea and vomiting (n)     | 1       | 2             | 0.999   |
| Excessive sedation (n)      | 0       | 0             | 0.999   |
| Postoperative hypoxemia (n) | 0       | 0             | 0.999   |
|                             |         |               |         |

Data are expressed as number (percent).



group. Dexmedetomidine did not cause respiratory depression when used for sedation (14), so it did not result in prolongation of the time to remove LMA. Dexmedetomidine provided lasting sedation and affected the Aldrete score and led to prolongation of the wake-up time and delaying discharge from PACU.

In the present study, the incidence of postoperative agitation was decreased in group D compared with the control group (2.5 vs. 22.5%, p = 0.007). It indicated that a single bolus dose of caudal dexmedetomidine 0.5 µg/kg decreased the incidence of postoperative agitation in children undergoing urethroplasty. Postoperative agitation is related to many factors including postoperative pain, the use of inhalant anesthetics, anoxia, the types of surgical procedures, and airway obstruction (15). Postoperative pain and discomfort are the main causes of postoperative agitation. In our study, dexmedetomidine prolonged the duration of the caudal block and maintained longterm analgesia. Excellent analgesia would reduce the incidence of postoperative agitation in pediatric patients. Hence, we concluded that the use of caudal dexmedetomidine at a dose of 0.5 µg/kg reduced the incidence of postoperative agitation in children undergoing urethroplasty. In agreement with our findings, previous studies have shown that the venous infusion of dexmedetomidine decreases the incidence of postoperative agitation in children (1-3).

No significant differences in the incidence of respiratory depression, bradycardia, hypotension, excess sedation, nausea, and vomiting between the two groups in this study were found. Two patients developed bradycardia in group D, but no patients required treatment with atropine. Hypotension and bradycardia are common side effects of neuraxial dexmedetomidine administration. Konakci et al. (16) reported that the hemodynamic adverse events are less pronounced in children compared with adults and may be dose dependent.

#### **LIMITATIONS**

Our study has several limitations. Currently, the FDA has not approved the use of neuraxial dexmedetomidine and the levels

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of pain cannot be accurately assessed in young children (age <6 years). Further studies are needed to assess the side effects of caudal dexmedetomidine.

#### **CONCLUSIONS**

This study showed that caudal dexmedetomidine is effective in the prevention of postoperative agitation in children undergoing urethroplasty and prolongs the duration of the caudal block without excessive sedation.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this manuscript will be made available by the authors, without undue reservation, to any qualified researcher.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethical Committee of Jiaxing Children's Hospital (approval number: 2018-36, Chairman: Prof L. Xia). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **AUTHOR CONTRIBUTIONS**

WeZ, JH, and MS: study design and data analysis. WaZ: patient recruitment and data collection. JS and WaZ: writing of the paper. All authors contributed to the article and approved the submitted version.

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## Outcomes and Prognostic Factors for Survival of Neonates With Necrotizing Enterocolitis

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**Background:** Due to the survival of preterm neonates being continually improved, the modifiable prognostic factors of necrotizing enterocolitis (NEC) are essential to be investigated and considered in making a suitable treatment to decrease the prevalence and effect of NEC. Many prognostic factors have been associated with the survival of neonates with NEC; however, the studies show conflicting results. Moreover, the study from developing countries regarding NEC outcomes is minimal. Here, we aimed to determine the survival of neonates with NEC and associate it with the prognostic factors.

**Methods:** A retrospective study was conducted using medical records of neonates with NEC at our institution from January 2014 to December 2019.

**Results:** Fifty-two neonates with NEC were involved with the overall survival of 44.2%. Log-rank analysis showed that NEC staging and birth weight were significantly associated with the survival of neonates with NEC with a p-value of 0.010 and 0.002, respectively, while sex, APGAR score, platelet count, and type of treatment were not (p = 0.068, 0.752, 0.087, and 0.343, respectively). Multivariate analysis revealed that sex and NEC staging were strongly associated with the survival of neonates with NEC with a p-value of 0.018 [HR = 3.10 (95% CI = 1.21–7.93)] and 0.019 [HR = 0.44 (0.22–0.87)], respectively.

**Conclusions:** Our study shows that sex and NEC staging might affect the survival of neonates with NEC. It implies that NEC staging should be closely monitored and intervened as early as necessary to prevent further morbidity and mortality.

Keywords: developing country, necrotizing enterocolitis, prognostic factors, NEC staging, survival

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#### INTRODUCTION

Necrotizing enterocolitis (NEC) is the leading cause of morbidity and mortality of neonates with intestinal disorders in the neonatal intensive care unit (NICU) (1). Its incidence is 1 per 1,000 live births, and more than 90% of cases are premature infants (2). Its incidence is increasing because of the increasing number of preterm birth and the advances in neonatal care (3, 4).

Due to the continually improving survival of preterm neonates, the modifiable prognostic factors of NEC are essential to be investigated and considered in making a suitable treatment to decrease the prevalence and effect of NEC (4). Many prognostic factors have been associated with

the survival of neonates with NEC; however, the studies show conflicting results (1, 5, 6). Moreover, the study from developing countries regarding NEC outcomes is minimal (7, 8). Here, we aimed to determine the survival of neonates with NEC and associate it with the prognostic factors.

#### **METHODS**

### **Subjects and Necrotizing Enterocolitis Staging**

A retrospective study was conducted using medical records of neonates with NEC at our institution from January 2014 to December 2019. We included 56 diagnosed with NEC, with the International Classification of Diagnosis (ICD) X code of P.77. According to modified Bell's staging, the diagnosis and staging of NEC were established, consisting of the severity of systemic, intestinal, radiographic, and laboratory findings (9). The exclusion criteria were incomplete medical records. We excluded four neonates due to incomplete medical records and investigated 52 neonates for final analysis.

The Ethical Committee of the Faculty of Medicine, Universitas Gadjah Mada/Dr. Sardjito Hospital, Indonesia, approved the study (KE/FK/0375/EC/2020).

#### **Prognostic Factors**

We evaluated the following prognostic factors for the survival of neonates with NEC: sex, birth weight, NEC staging, platelet count, APGAR score, and type of treatment. Birth weight was classified into extremely low birth weight (<1,000 g), very low birth weight (<1,500 g), low birth weight (<2,500 g), and normal ( $\geq$ 2,500 g) according to World Health Organization classification, while the platelet count was defined as thromb ocytosis (>350,000/mm³), normal ( $\geq$ 150,000–350,000/mm³), and thrombocytopenia (<150,000/mm³) according to a previous study (6). The type of treatment is divided into conservative and surgical procedures, while the APGAR Score was classified as asphyxia (<8) and non-asphyxia ( $\geq$ 8).

#### **Enteral Feeding**

The decision to start enteral feeding was according to the following parameters: bowel sounds, no greenish gastric residual, and the volume of gastric residual was <1 ml/kg/day. Most of the enteral feeding was breastfeeding (94.2%) (Table 1).

#### **Statistical Analysis**

The survival of neonates with NEC was determined using a logrank test, while the probabilities of the survival of the neonates were plotted using the Kaplan–Meier curve. The IBM SPSS Statistics version 16 (SPSS Chicago, IL, USA) was utilized to perform all statistical analyses.

**Abbreviations:** APGAR, appearance pulse grimace activity respiration; CI, confidence interval; HR, hazard ratio; NEC, necrotizing enterocolitis; NICU, neonatal intensive care unit.

TABLE 1 | Baseline characteristics of neonates with NEC in our institution.

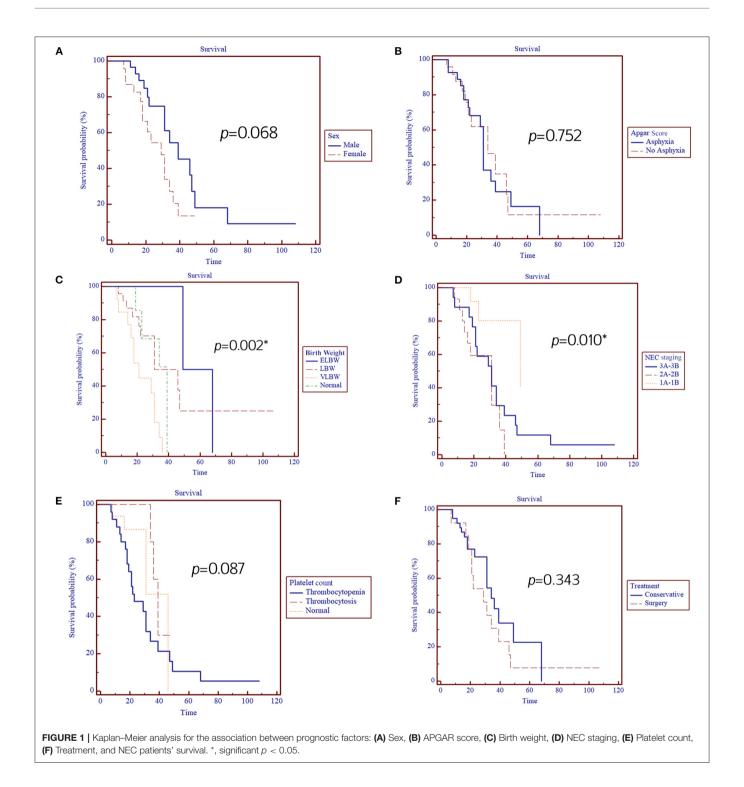
| Characteristics                     | N (%)    |
|-------------------------------------|----------|
| Sex                                 |          |
| Male                                | 29 (55.8 |
| Female                              | 23 (44.2 |
| Asphyxia (APGAR score)              |          |
| Yes (<8)                            | 27 (51.9 |
| No (≥8)                             | 25 (48.1 |
| Birth weight (g)                    |          |
| Normal birth weight (≥2,500)        | 12 (23)  |
| Low birth weight (<2,500)           | 20 (38.5 |
| Very low birth weight (<1,500)      | 17 (32.7 |
| Extremely low birth weight (<1,000) | 3 (5.8)  |
| Platelet count (/mm³)               |          |
| Thrombocytosis (>350,000)           | 6 (11.5) |
| Normal (≥150,000-350,000)           | 20 (38.5 |
| Thrombocytopenia (<150,000)         | 26 (50)  |
| NEC staging                         |          |
| IA                                  | 18 (34.6 |
| IB                                  | 2 (3.9)  |
| IIA                                 | 15 (28.9 |
| IIB                                 | 0        |
| IIIA                                | 7 (13.4) |
| IIIB                                | 10 (19.2 |
| Type of treatment                   |          |
| Conservative                        | 39 (75)  |
| Operative                           | 13 (25)  |
| Feeding                             |          |
| Breastfeeding                       | 49 (94.2 |
| Formula                             | 3 (5.8)  |
| Sepsis                              |          |
| Yes                                 | 48 (92.3 |
| No                                  | 4 (7.7)  |
| Mechanical ventilation              |          |
| PCAC                                | 4 (7.7)  |
| PCMV                                | 15 (28.8 |
| SIMV                                | 2 (3.8)  |
| NIMV                                | 4 (7.7)  |
| CPAP                                | 10 (19.2 |
| Survival                            |          |
| Survived                            | 23 (44.2 |
| - Male                              | 14 (60.9 |
| - Female                            | 9 (39.1) |
| Died                                | 29 (55.8 |
| - Male                              | 14 (48.3 |
| - Female                            | 15 (51.7 |

NEC, necrotizing enterocolitis

#### **RESULTS**

#### **Baseline Characteristics**

We involved 52 neonates with NEC with overall survival of 44.2%. Most of them were male (55.8%), with asphyxia (51.9%), low birth weight or less (77%), thrombocytopenia (50%), sepsis (92.3%), and breastfeeding (94.2%) (**Table 1**).



### Association Between Prognostic Factors and Survival of Neonates With Necrotizing Enterocolitis

Log-rank analysis showed that NEC staging and birth weight were significantly associated with the survival

of neonates with NEC with *a p*-value of 0.010 and 0.002, respectively. At the same time, sex, APGAR score, platelet count, and type of treatment were not (p = 0.068, 0.752, 0.087, and 0.343, respectively) (**Figure 1**; **Table 2**).

**TABLE 2** | Association between prognostic factors and survival of neonates with NEC in our institution.

| Variables                               | HR (95% CI)       | p-Value |
|---|-------------------|---------|
| Sex                                     |                   |         |
| Female                                  | 1.89 (0.89-4.04)  | 0.068   |
| Asphyxia                                |                   |         |
| APGAR score <8                          | 1.12 (0.54-2.34)  | 0.752   |
| Birth weight (Ref: normal birth weight) |                   |         |
| Low birth weight                        | 0.87 (0.33-2.32)  | 0.002*  |
| Very low birth weight                   | 2.75 (0.83-9.13)  |         |
| Extremely low birth weight              | 0.45 (0.14-1.46)  |         |
| Platelet count (Ref: Normal)            |                   |         |
| Thrombocytopenia                        | 1.84 (0.75-4.53)  | 0.087   |
| Thrombocytosis                          | 0.62 (0.21-1.80)  |         |
| NEC staging (Ref: IA-IB)                |                   |         |
| IIA-IIB                                 | 5.83 (2.07-16.40) | 0.010*  |
| IIIA-IIIB                               | 3.89 (1.71-8.84)  |         |
| Type of treatment                       |                   |         |
| Surgery                                 | 1.41 (0.65–3.05)  | 0.343   |

\*p < 0.05; Cl, confidence interval; HR, hazard ratio; NEC, necrotizing enterocolitis; Ref, reference.

**TABLE 3** | Multivariate analysis of survival of neonates with NEC in our institution.

| Variables         | HR (95% CI)       | p-value |
|-------------------|-------------------|---------|
| Sex (female)      | 3.10 (1.21–7.93)  | 0.018*  |
| Asphyxia          | 1.04 (0.36–2.98)  | 0.940   |
| Birth weight      | 1.41 (0.82-2.41)  | 0.211   |
| Platelet count    | 1.94 (0.93- 4.06) | 0.079   |
| NEC staging       | 0.44 (0.22-0.87)  | 0.019*  |
| Type of treatment | 1.84 (0.54–6.34)  | 0.332   |

<sup>\*</sup>p < 0.05; CI, confidence interval; HR, hazard ratio; NEC, necrotizing enterocolitis.

### Multivariate Analysis of Prognostic Factors for Survival of Neonates With Necrotizing Enterocolitis

Multivariate analysis revealed that sex (female) and NEC staging were strongly associated with the survival of neonates with NEC with a p-value of 0.018 {hazard ratio [HR] = 3.10 [95% confidence interval (CI) = 1.21–7.93]} and 0.019 [HR = 0.44 [95% CI = 0.22–0.87)], respectively (**Table 3**).

#### DISCUSSION

Here, we show that the overall survival of our NEC neonates is 44.2%, which is similar to a previous study (10). One reason for the high mortality rate was withdrawal from critical care, while another reason was the unavailability of pediatric surgery services in some hospitals; thus, surgery was not possible (10). Our institution, as an academic referral hospital, has pediatric surgery services. However, our findings were not compatible with

a previous report (10) since the type of treatment did not affect the outcome of NEC infants (**Table 3**).

We reveal that NEC staging is a strong prognostic factor for the survival of neonates with NEC. Our findings were compatible with a previous study that revealed that the NEC stage III in VLBW and LBW infants is an independent prognostic factor for the survival of neonates with NEC (7). Moreover, lower birth weight was significantly associated with NEC incidence and mortality (11, 12). Immaturity of the gastrointestinal tract, digestive function, circulation regulation, barrier function, and immune defense are essential factors in explaining NEC occurring in infants with LBW (13). We show new evidence to support this hypothesis by providing data from a population ethnically different from the previous report (7). The previous report showed that the prognostic factors for NEC had been expanded, including ethnicity (4). Moreover, due to the survival of preterm neonates being continually improved, it is suggested that clinicians and researchers should look for the prognostic factors for NEC, particularly the modifiable one, to be taken into consideration in making a suitable treatment to decrease the prevalence and effect of NEC (4).

Interestingly, female patients had a 3.1-fold higher risk of mortality than male patients. A previous study showed that male is a risk factor for mortality (11). These differences might be due to different ethnicities. Ethnicity has been considered as a prognostic factor for NEC (4). In addition, a previous study showed no association between sex and NEC (9). They suggested continuous assessment on the impact of sex on the severity of NEC since the male has tended to suffer from NEC (9).

Our study presented that thrombocytopenia almost reached a significant level affecting the mortality of NEC infants with the HR of  $\sim$ 2 (p=0.07) (**Table 3**). Most neonates with advanced stages of NEC will have thrombocytopenia within 24–72 h of disease onset (14). Thrombocytopenia level is strongly correlated with the clinical staging of NEC, and a progressive decrease in thrombocyte level implies the development of intestinal gangrene (15). In addition, thrombocytopenia has been shown as a strong predictor of the mortality of neonates with NEC (16).

Treatment type is a significant prognostic factor in NEC patients (17). Infants with NEC who underwent surgery had higher morbidity and mortality than those who received conservative treatment (17, 18). However, our findings showed that type of treatment did not affect the mortality of NEC neonates. While Hull et al. (19) revealed that different surgical approaches affected the mortality of NEC neonates, none of the specific surgical approaches is suggested for NEC (19). It depends on several variables, including the birth weight, hemodynamic status, comorbidities, existing resources, intraoperative findings, and attending physician preference of the neonate (19).

Our study noted several limitations, including a small sample size and a single-center report, implying that a further multicenter study with larger sample size is necessary to clarify and confirm our findings. These weaknesses should be noted during the interpretation of our findings. Due to its retrospective design, we have difficulty evaluating the long-term complications of NEC, including neurodevelopmental impairment, poor growth, gastrointestinal sequels, such as

strictures, adhesions, feeding difficulties, cholestasis, short bowel syndrome, and intestinal failure (20).

#### CONCLUSION

Our study shows that sex and NEC staging might affect the survival of neonates with NEC. It implies that NEC staging should be closely monitored and intervened as early as necessary to prevent further morbidity and mortality.

#### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Faculty of Medicine, Public Health and

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Nursing, Unversitas Gadjah Mada/Dr. Sardjito Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **AUTHOR CONTRIBUTIONS**

AD and G conceived the study. ESEDS, WA, APS, ARF, and G drafted the manuscript. ESEDS and G analyzed the data. AD and G facilitated all project-related tasks. All authors read and approved the final manuscript.

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### Medium-Term Pulmonary Function Test After Thoracoscopic Lobectomy and Segmentectomy for Congenital Lung Malformation: A Comparative Study With Normal Control

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**Purpose:** This study aimed to compare the outcomes and pulmonary function test (PFT) of thoracoscopic segmentectomy and lobectomy in infants with congenital lung malformation and study the result of PFT on a medium-term basis.

**Methods:** The clinical data of 19 infants with congenital lung malformation who underwent thoracoscopic surgery in our hospital from January 2018 to March 2019 were retrospectively studied; these infants were paired with another 19 infants who underwent thoracoscopic lobectomy during the same period using propensity score matching. Age-matched healthy individuals with similar body sizes were recruited for PFT as the control group. Patient characteristics, postoperative PFT, and outcomes were extracted for statistical analysis.

**Results:** The average length of hospital stay did not significantly differ between segmentectomy and lobectomy groups. The segmentectomy group had more chest tube drainage than the lobectomy group. PFT 1 month after the operation showed that the tidal volume of the lobectomy group was lower than that of the segmentectomy group. Time to peak expiratory flow/time of expiration and peak flow/terminal airway velocity (V25%) indicated small airway dysfunction in the lobectomy group, and no obvious abnormalities were found in "time of inspiratory/time of expiration" in either group. Reexamination of pulmonary function 2 years after the operation showed that the small airway function of the segmentectomy group returned to normal, and no significant difference in pulmonary function was noted among the three groups.

**Conclusion:** The short-term pulmonary function recovery was better after segmentectomy than after lobectomy. Patients who underwent thoracoscopic lobectomy and segmentectomy have normal lung function 2 years after the operation.

Keywords: congenital lung malformation, segmentectomy, lobectomy, pulmonary function test, thoracoscopy

### INTRODUCTION

Congenital lung malformation (CLM) is an uncommon pathology that involves the proliferation of terminal respiratory bronchioles at the expense of alveoli, leading to cysts of various sizes. The incidence is ~9–13.6 per 100,000 (1). It is accepted that abnormal airway patterning and branching during lung morphogenesis results in the appearance of lung cysts (2). Giubergia et al. (3) proposed that the alteration is considered a hamartomatous abnormality of the bronchial tree by some authors, whereas others favor the etiology of an arrest in the development of the fetal bronchial tree with airway obstruction.

Most infants with CLM are asymptomatic at birth, and some of them experience symptomatic infections, pneumothorax, and respiratory distress during growth. The complication rate ranges from 12 to 47% (4). Wong (5) suggested that asymptomatic CLM patients would later develop symptoms and that early surgery might be beneficial to avoid complications. Regarding the necessity of surgical treatment, Pelizzo et al. (6) considered that the potential infection and risk of malignant transformation of CLM justified surgical resection within 1 year. A radionuclide imaging study of long-term lung function in children undergoing lobectomy by Komori (7) found that the optimal age of surgery for CLM appears to be younger than 1 year to allow sufficient time for lung regrowth. With advances in surgical technology, surgeons are now able to minimize the risk of thoracoscopic surgery (8). Many pediatric thoracic diseases, including CLM, can be treated by thoracoscopic surgery (9). Thoracoscopic surgery is widely used mainly because it has the advantages of short perioperative thoracic drainage time, short hospitalization time, and fewer chest wall deformities in the long term (10). Experienced surgeons can now perform thoracoscopic lobectomy in newborns. Our center also reported thoracoscopic lobectomy for a 4-day-old neonate with a large congenital pulmonary airway malformation (11). Although thoracoscopic surgery has become a conventional technique for the treatment of CLM (12), there are few reports on segmentectomy and the clinical comparison of lobectomy and segmentectomy for CLM. We compared the outcomes and mid-term follow-up of thoracoscopic segmentectomy and lobectomy for infants and evaluated the pulmonary function of CLM patients after thoracoscopic surgery on a medium-term basis.

### PATIENTS AND METHODS

The present study was approved by the ethics committee of our hospital and adhered to the tenets of the Declaration of Helsinki. The written informed consent was obtained from the parents of the children.

### **Patients**

From January 2018 to March 2019, we examined 19 consecutive infants who underwent thoracoscopic segmentectomy in our institution. These infants were paired with another 19 infants who underwent thoracoscopic lobectomy during the same period, based on their sex, age, weight, lesion location, and preoperative pulmonary function test (PFT), using propensity

score matching. Our basic selection criteria for thoracoscopic segmentectomy was one of the following: (1) Patients with a peripheral small-sized lesion; (2) the lesion was localized to only one segment; (3) the lesions were located in two adjacent lung segments (excluding the middle lobe of the right lung). Exclusion criteria were patients with other preoperative complications such as congenital heart disease, immunocompromised state, or restrictive or obstructive chest wall disease. PFTs were carried out 1 month and 2 years after surgery.

### **Surgical Technique**

The same thoracic surgery team consecutively operated on all patients. In the segmental approach, ultrasonic scalpel and LigaSure were used to divide the vessels individually. Segmental bronchi were clamped, as inflating the operative side of the lung, the line between inflation and deflation became clear (Figure 1). The segmental bronchi were ligated with Hem-o-Lok clips (Sinolinks Clips; Sinolinks Medical Innovation, Inc. Jiangsu, China) and resected after identifying the correct segment. The lung parenchyma was dissected from the hilum to the periphery with ultrasonic scalpel and LigaSure; intersegmental veins were preserved. For lobectomy, pulmonary arteries, veins, and bronchi were dissected one by one, and the incomplete fissure was cut off with an ultrasonic scalpel and LigaSure. After reinflation of the lung and if no air leakage was detected, a closed thoracic drainage tube was indwelled, and the thoracic cavity was closed. The chest tube was removed when there was no air leak, and the amount of daily drainage was <1 ml/kg. Patients were discharged 1 day after removal of the chest tube if the follow-up chest X-ray showed no signs of pneumothorax and no signs of complications.

### **Pulmonary Function Test**

All patients received oral choral hydrate (0.5 ml/kg) to be kept asleep during PFTs, which were performed at least 4h after feeding to avoid abdominal distension or vomiting. Temperature and humidity in the test room were maintained at 22°C and 40%. Infants laid flat on the testbed on their back; a face mask was attached to a face covering the nose and mouth to prevent air leakage and then connected to the MasterScreen PFT System (Jaeger, Germany). The PFTs were measured by a trained physician after smooth breathing had been established, and 15–20 cycles of tidal breathing were recorded, with five repeats. Age-matched healthy individuals with similar body sizes were recruited for PFT as the control group with informed consent obtained. Data analysis includes the following (13, 14):

- ① Tidal volume (VT): expressed in milliliters per kilogram.
- ② Tidal breath flow volume loop: The ratio of expiratory flow to inspiratory flow at 50% tidal volume (TEF50/TIF50), the ratio of the volume reaching the peak expiratory flow rate to the expiratory volume (VPEF/VE), the ratio of the time to peak expiratory flow to time of expiration (TPTEF/TE), the ratio of the peak flow to the terminal airway velocity (PF/V25%), and the ratio of the time of inspiratory to the time of expiration (TI/TE).

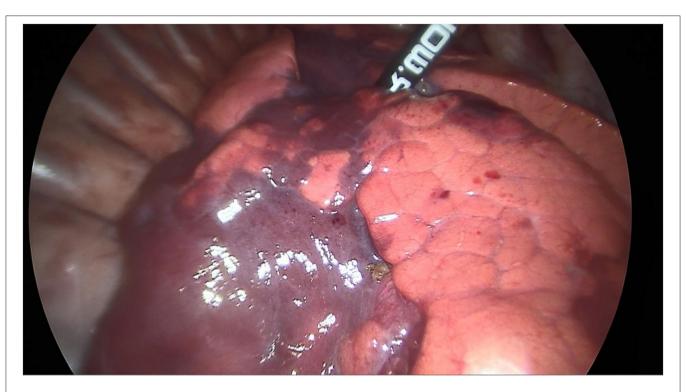


FIGURE 1 | The appearance of the line between inflation and deflation under both clamping the segmental bronchi (RS9+10) and inflating the lung.

### **Result Criterion**

- ① Restricted lesion: VT per kilogram is less than normal (6–10 ml/kg).
- ② Small airway obstruction: TPTEF/TE. The normal range is 28–55%, mild obstruction 23–28%, moderate obstruction 15–22%, and severe obstruction <15%.
- ③ Large airway obstruction: TI/TE. The normal range is  $\geq$ 80%, mild obstruction is 60–79%, moderate obstruction is 40–59%, and severe obstruction <40%.

### **Statistical Analysis**

SPSS software (version 17; SPSS, Chicago, IL, USA) was used for statistical comparison between the two groups. Continuous variables were tested by Student's t-test, categorical variables were tested by the chi-square test, and one-way analysis of variance was used for statistical comparison among three groups. P < 0.05 was considered statistically significant.

### **RESULTS**

The patient characteristics and the results of the overall operation are summarized in **Table 1**. The average operation time values were  $86.631 \pm 3.71$  min in segmentectomy and  $52.898 \pm 0.74$  min in a lobectomy. Although the volume of the chest tube in the segmentectomy group ( $53.162 \pm 1.72$  ml) was more than that in the lobectomy group ( $34.211 \pm 0.29$  ml), the length

of the chest tube and the length of hospital stay were not statistically significant. Pathological examination confirmed the diagnosis of congenital pulmonary airway malformation for all cases.

All of the children received regular follow-up without loss. PFT was carried out 1 month and 2 years after surgery, and there was no statistically significant difference in the average weight of the children at the time of PFT. No current respiratory infections, chest wall deformity, or other factors that could impact lung function happened at the time of testing. Table 2 shows that 1 month after the operation, the lobectomy group's VT (7.6333  $\pm$  0.523 ml/kg) was lower than that of the segmentectomy group (8.4632  $\pm$  0.614 ml/kg) (P < 0.01). Both TPTEF/TE and PF/V25% showed that the lobectomy group had small airway dysfunction 1 month after the operation. No significant abnormalities were observed in the large airway function (TI/TE) of the two groups (0.8280  $\pm$ 0.105 in the segmentectomy group and 0.8170  $\pm$  0.150 in the lobectomy group). Two years after the operation, PFT showed that VT was improved in the lobectomy group. A group of 19 healthy individuals, age and body size-matched, were selected as a normal control for comparison. Statistical analysis showed that the age, sex ratio, and body weight were comparable between the three groups (Table 3), and the PFTs of the segmentectomy group and lobectomy group returned to normal (not significantly different from the normal control group) (Table 4). Examinations of chest computerized tomography (CT)

TABLE 1 | Patients' characteristics and outcomes.

|                                |       | Segmentectomy group | Lobectomy group   | p          |
|--------------------------------|-------|---------------------|-------------------|------------|
| Numbers                        |       | 19                  | 19                |            |
| Sex (male)                     |       | 12 (63%)            | 12 (63%)          | NS         |
| Age (months)                   |       | $4.39 \pm 0.78$     | $4.46 \pm 0.91$   | 0.790 (NS) |
| Body weight (kg)               |       | $7.78 \pm 0.91$     | $7.75 \pm 0.88$   | 0.900 (NS) |
| Location of CLM                | Left  | 11 (57.9%)          | 11 (57.9%)        | NS         |
|                                | Right | 8 (42.1%)           | 8 (42.1%)         | NS         |
| Duration of operation (min)    |       | $86.63 \pm 13.71$   | $52.89 \pm 8.74$  | < 0.01     |
| Time of chest tube (days)      |       | $3.95 \pm 0.85$     | $3.74 \pm 0.84$   | 0.455 (NS) |
| Chest tube output (mL)         |       | $53.16 \pm 21.72$   | $34.21 \pm 10.29$ | 0.02       |
| Postoperative Complications    |       | 3 (15.8%)           | 3 (15.8%)         | NS         |
| Pneumothorax                   |       | 3                   | 2                 |            |
| Subcutaneous emphysema         |       | 0                   | 1                 |            |
| Length of hospital stay (days) |       | $5.05 \pm 0.78$     | $4.79 \pm 0.85$   | 0.328 (NS) |

Results are given as the number (%), average or as the mean  $\pm$  standard error of mean. NS indicates not significant.

TABLE 2 | Pulmonary function test results (1 month after surgery).

|             | Segmentectomy group | Lobectomy group   | р          |
|-------------|---------------------|-------------------|------------|
| VT(ml/kg)   | $8.463 \pm 2.614$   | $7.633 \pm 3.523$ | <0.01      |
| TEF50/TIF50 | $0.842 \pm 0.120$   | $0.831 \pm 0.144$ | 0.797 (NS) |
| VPEF/VE     | $0.260 \pm 0.030$   | $0.254 \pm 0.025$ | 0.462 (NS) |
| TPTEF/TE    | $0.319 \pm 0.053$   | $0.255 \pm 0.062$ | < 0.01     |
| PF/V25%     | $1.633 \pm 0.143$   | $2.038 \pm 0.754$ | 0.027      |
| TI/TE       | $0.827 \pm 0.079$   | $0.817 \pm 0.107$ | 0.731 (NS) |

Results are given as the mean  $\pm$  standard error of mean.

NS indicates not significant.

TABLE 3 | Comparison of demographic data at PFT (2 years after surgery).

|              | Segmentectomy group | Lobectomy group  | Control group    | F     | p          |
|--------------|---------------------|------------------|------------------|-------|------------|
| Numbers      | 19                  | 19               | 19               |       | NS         |
| Sex (male)   | 12 (63%)            | 12 (63%)         | 12 (63%)         |       | NS         |
| Age (months) | $28.37 \pm 0.81$    | $28.53 \pm 1.09$ | $28.11 \pm 0.97$ | 0.877 | 0.422 (NS) |
| Weight (kg)  | $13.67 \pm 1.16$    | $14.06 \pm 1.52$ | $14.29 \pm 0.96$ | 1.148 | 0.325 (NS) |

Results are given as the mean  $\pm$  standard error of mean.

 ${\it NS indicates \ not \ significant.}$ 

TABLE 4 | Comparison of pulmonary function test results in segmentectomy group, lobectomy group and control group (2 years after surgery).

|             | Segmentectomy group | Lobectomy group   | Control group     | F     | р          |
|-------------|---------------------|-------------------|-------------------|-------|------------|
| VT(ml/kg)   | 8.051 ± 0.423       | 8.114 ± 0.540     | $8.500 \pm 0.863$ | 2.772 | 0.071 (NS) |
| TEF50/TIF50 | $0.831 \pm 0.144$   | $0.836 \pm 0.076$ | $0.834 \pm 0.091$ | 0.014 | 0.987 (NS) |
| VPEF/VE     | $0.254 \pm 0.025$   | $0.254 \pm 0.027$ | $0.244 \pm 0.028$ | 0.839 | 0.438 (NS) |
| TPTEF/TE    | $0.255 \pm 0.062$   | $0.271 \pm 0.081$ | $0.270 \pm 0.052$ | 0.360 | 0.699 (NS) |
| PF/V25%     | $1.634 \pm 0.165$   | $1.595 \pm 0.316$ | $1.676 \pm 0.235$ | 0.517 | 0.599 (NS) |
| TI/TE       | $0.805 \pm 0.076$   | $0.817 \pm 0.159$ | $0.844 \pm 0.100$ | 0.567 | 0.570 (NS) |

Results are given as the mean  $\pm$  standard error of mean.

NS indicates not significant.

2 years after the operation showed that all children recovered well without residual lesion.

### **DISCUSSION**

The dramatic growth of minimally invasive technology had allowed segmentectomy and lobectomy to be performed thoracoscopically. In our data, the operative time in the segmentectomy group was longer than that in the lobectomy group, which was considered due to more surgical procedures. We operate in an artery-oriented manner: open the sheath of the pulmonary artery and further dissect the corresponding segmental artery, whereas lobectomy only needs to dissect the pulmonary lobar artery without dissecting the pulmonary parenchyma. It is reassuring that there was no significant difference in length of hospital stay between the two groups.

Our study found that the segmentectomy group had a greater chest tube output than the lobectomy group. Thoracic drainage after surgery was associated with lymph node dissection in adult non-small cell lung tumors (15), and thoracic drainage after thoracoscopic surgery in children was mainly derived from the exudation of intersegmental and perihilar wounds. The lung parenchyma was dissected from the lung hilum to the periphery by ultrasonic scalpel and LigaSure in the segmentectomy group, which resulted in much more intersegmental wounds and thermal damage than the lobectomy group. Another reason may be that the operation time and one-lung ventilation time of the segmentectomy group are inevitably longer than that of the lobectomy group due to the increase of operation procedure, which may lead to more pulmonary edema and pulmonary exudation (16, 17).

Pneumothorax (air-leakage >2 days) after thoracic surgery is mainly derived from the leakage of the lung parenchyma, which is related to the operation mode (18). We observed three cases of asymptomatic pneumothorax in the segmentectomy group and two cases in the lobectomy group. However, by increasing the thoracic closed drainage time, these cases were cured, and no invasive operation or secondary surgery was performed again.

Postoperative recovery of pulmonary function is another focus. The assessment of pulmonary function in children is indeed a vexing problem. The regrowth of the lung after pulmonary resection is still debated. Komori (7) tried a radionuclide CT scan and found that alveolar multiplication of the remaining lung occurs after lobectomy in patients. However, as imaging studies are difficult to quantify the extent of compensatory emphysema and increase the radiation exposure of children, they are difficult to be widely accepted by parents. Tocchioni (19) considers that the long-term prognosis after lobectomy is good for the majority of patients. For asymptomatic patients, surgery before the age of 1 year ensures optimal catch-up of pulmonary function. Lau CT's researches showed that CLM patients have normal lung function after thoracoscopic lobectomy at medium and long-term (20, 21). Those are basically

consistent with our research, but there are some peculiarities to our data. In our study, 1 month after surgery, the PFT results showed that the VT of the lobectomy group was 8.4632  $\pm$ 0.614 ml/kg, which was lower than that of the segmentectomy group (7.6333  $\pm$  0.523 ml/kg) (P < 0.01). In small airway functions, we found that the TPTEF/TE of the lobectomy group was  $0.2550 \pm 0.092$  and that of the segmentectomy group was  $0.3050 \pm 0.060$  1 month after the operation (P < 0.01), indicating that the lobectomy group had increased small airway dysfunction, which was also verified in the PF/V25% results. In the comparison of large airway function data, no significant differences in TEF50/TIF50 and TI/TE were noted between the two groups. We reviewed the postoperative chest radiographs of the two groups and found that the lobectomy group presented increased lung transparency and a slightly elevated diaphragmatic surface on the affected side, which was more significant than that in the segmentectomy group. We considered that the lobectomy group had more compensatory emphysema after surgery, suggesting compensatory dilation of the respiratory bronchioles and alveoli, which may have led to increased small airway dysfunction. This compensatory dilatation was still present 1 month after surgery (22). It is reassuring that we found no statistically significant differences in TPTEF/TE, PF/V25%, TEF50/TIF50, and TI/TE between the two groups 2 years after the operation. We consider that increased pulmonary parenchyma leads to improved airway function over a 2-year period of compensatory lung growth. Further prospective studies are needed to confirm the effect of short-term small airway dysfunction on the quality of life of infants.

However, there are still many limitations in our study. First, the lack of PFT data on older CLM children in our center makes it impossible to know how the compensatory growth potential changes with age. Second, obviously, the wide range of CLM is too extensive to justify segmentectomy in most cases at present. In our entire series, segmentectomy was chosen in <13% of cases. We recognized the importance of postoperative multidisciplinary follow-up, and we will also continue to observe the recovery of pulmonary function with more cases to obtain more comprehensive data and long-term follow-up outcomes.

### CONCLUSIONS

The thoracoscopic approach in infants with CLM does not interfere with normal lung function and represents a safe procedure in pediatric age. Thoracoscopic segmentectomy makes better short-term pulmonary function recovery than lobectomy. Children who underwent thoracoscopic lobectomy and segmentectomy have normal pulmonary function 2 years after the operation.

### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

### **AUTHOR CONTRIBUTIONS**

J-XH and HC made a significant contribution to the conception and design of the work. J-XH and S-MH drafted the work and revised it critically for important intellectual content. QC and J-XH are responsible for all aspects of the work and ensure that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors read and approved the final manuscript.

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### SUPPLEMENTARY MATERIAL

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## Successful Outcome After Intralesional Curettage for Spindle Cell Hemangioma of Fibula in an Infant: A Case Report

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Spindle cell hemangioma (SCH), a non-neoplastic reactive vascular lesion, rarely locates in bones. We herein report a successful case of intralesional curettage for an infant with SCH of fibula. An 11-month-old boy was admitted to our center with a painless mass in the right proximal calf. Preoperative digital radiograph demonstrated a massive vascular lesion with an irregular bone destruction of proximal fibula. The lesion was removed via the intralesional curettage approach and pathologically diagnosed as SCH. The patient gained bone structure recovery of right proximal fibula. Two years after the surgery, he experienced no local recurrence. For the management of SCH of fibula with partial bone destruction, we suggest early-stage intralesional curettage as its safety and effectiveness.

Keywords: spindle cell hemangioma, intralesional curettage, outcome, vascular lesions, fibula

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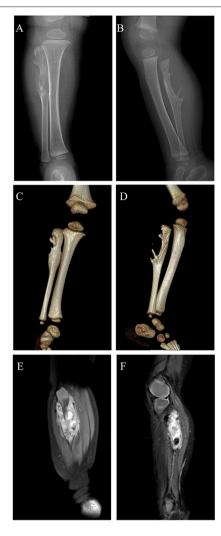
### INTRODUCTION

Spindle cell hemangioma (SCH), characterized by cavernous blood vessels and spindle cell proliferation, has recently been considered as a non-neoplastic reactive vascular lesion (1, 2). SCH often occurs at early age with high risk of recurrence after surgery due to its uncertain border with surrounding tissue. It commonly arises in the dermal or subcutaneous tissue of the distal extremities (3, 4). Reports on SCH cases involving bone are rare, most of which focus on histopathological description, but lack sufficient clinical and follow-up data (5, 6). Herein, we present a case of SCH in proximal fibula that was managed successfully by intralesional curettage and, moreover, discuss its clinical characteristics and long-term surgical outcome.

### CASE REPORT

An 11-month-old boy patient presented to our center with a 2-month history of painless mass in the right proximal calf. The mass had been noted to be slowly enlarging in 3 weeks after presentation. No significant symptom was found in this patient. Initial workup performed included radiograph, three-dimensional CT (3d CT) reconstruction, and MRI. Radiographs of the right tibia and fibula indicated an irregular bone destruction of proximal fibula (**Figures 1A,B**), and the lytic bone destruction was confirmed by 3d CT reconstruction (**Figures 1C,D**). MRI revealed a massive vascular tumor with surrounding soft tissue hyperplasia and involvement of the proximal fibular epiphyseal plate (**Figures 1E,F**).

Curettage for Hemangioma of Fibula



**FIGURE 1** | Digital radiograph preoperatively: **(A,B)** Radiographs of the right tibia and fibula showing an irregular bone destruction of proximal fibula; **(C,D)** 3d CT reconstruction demonstrating lytic bone destruction of right proximal fibula; **(E,F)** MRI revealing a massive vascular tumor with surrounding soft tissue hyperplasia and involvement of the proximal fibular epiphyseal plate.

Considering partial bony structure of proximal fibula was normal, intralesional curettage was performed on the right proximal fibula under general anesthesia. After lesion exposure, a 4.02 × 0.0-cm-sized vascular mass was identified with extension to proximal fibular. Gross examination showed a reddish spongious solid mass, containing topical hemorrhage, partial thrombosis, and irregular bone destruction (Figure 2A). With protection of common peroneal nerve and peripheral vessels, complete curettage of lesion was performed to normal periosteum of fibular (Figures 2B,C). Histologically, the lesion was characterized by the fissure-like vessel lumens lined with flattened endothelial cells among the spindle cells (Figure 3A). The spindle-shaped cells arranged in fascicular pattern in solid area, with similar cell morphology and no atypia (Figures 3B,C). Immunohistochemically, the endothelial cells lining the vessel spaces stained positive for CD31, CD34, and ERG (Figures 3D-F). Therefore, with standard of international society for study of vascular anomalies (ISSVA) classification (7), the diagnosis of spindle cell hemangioma was made in this patient according to the clinical and histopathologic manifestations. On postoperative follow-up, this patient was asymptomatic without any evidence of recurrence. Two years after this surgery, he returned to hospital for outpatient review. Radiographs showed the reformation of the cortex of the proximal fibula (Figures 4A,B), and both uniform bone mineral density and continuous cortical of right proximal fibula were confirmed by 3d CT reconstruction (Figures 4C,D). Besides, MRI demonstrated remarkable regression of lesion without any signs of tumor growth through the fibula (Figures 4E,F).

### DISCUSSION

This case is rare in comparison with majority of reported SCH cases and merits discussion on following points: location of lesion, selection of surgical intervention, histopathologic characteristics, and long-term postoperative follow-up. SCH is a benign vascular lesion which generally locates in the subcutis at the distal extremities and presents as solitary and multifocal masses. It also can be associated with several clinical syndromes,



FIGURE 2 | The photograph during the surgery: (A) Intraoperative image of the surgical finding of a vascular mass attached to proximal fibula; (B) Complete curettage of lesion to normal fibular surface; (C) Macroscopic appearance of the excised lesion.

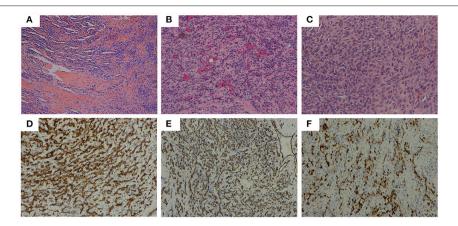


FIGURE 3 | Histopathological features: (A) (HE, ×40) the fissure-like vessel lumens lined with flattened endothelial cells among the spindle cells, (B) (HE, ×100), (C) (HE, ×200) the spindle shaped cells arranging in fascicular pattern in solid area. Immunohistochemical analysis revealing positive staining for (D) CD31 (×100), (E) CD34 (×100), and (F) ERG (×100) in the majority of spindle cells.

among which Maffucci syndrome is the most common (8, 9). In several uncommon cases, SCHs have been found in lips, nasal passage, temporal muscle, and even in lungs and spleen (2, 10-13). In comparison, the reported cases of SCH arising in bones are even more unusual so far (14-16). In our case, a solitary lesion of SCH involved the proximal fibula with surrounding soft tissue hyperplasia, while the superficial skin and tissues were normal.

To date, the main treatment choice for fibular tumor is segmental or subperiosteal resection, in case of local recurrence at surgical site (17–19). Wide excision for clear margin has always been first choice for SCHs, and repeated surgical resection is performed if a recurrence occurs (3, 20). However, given that preoperative digital radiograph indicated that the vascular mass on fibula was solitary, and part of both cortex and cancellous fibula were not involved, intralesional curettage was selected as the surgical intervention in this case for achieving the maximum retention of healthy bony structure. During the operation, complete curettage was performed to the normal fibular surface without residual lesion.

The histologic appearance in this case consisted of the fissure-like vessel lumens lined with flattened endothelial cells among the spindle cells, which arranged in fascicular pattern in solid area. CD31, CD34, and ERG, as vascular endothelial markers, were reported positive expression in various kinds of vascular tumors. In this case, immunohistochemical analysis revealed positive staining for CD31, CD34, and ERG in the majority of spindle cells, consistent with the diagnosis of SCH (21, 22). Metastasis of SCH is rare, although local recurrence may occur (20, 23). On the most recent imaging examination, 2 years after the initial surgery, our patient was still disease free and found to experience entire reformation of bone structure of the right proximal fibula. This indicates the safety and effectiveness of intralesional curettage for the management of this case.

In conclusion, for SCH of fibula with partial bone destruction, intralesional curettage renders a safe and efficient intervention at early stage. Meanwhile, our study was limited by lacking further evaluation for treatment approaches owing to small sample size.



**FIGURE 4** | Digital radiograph at 2 years postoperatively: **(A,B)** Radiographs showing reformation of the cortex of the proximal fibula; **(C,D)** 3d CT reconstruction demonstrating both uniform bone mineral density and continuous cortical of right proximal fibula; **(E,F)** MRI revealing remarkable regression of lesion without evidence of local recurrence.

Long-time and consistent follow-up could establish the efficacy of our management.

### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethics Committee of the Children's Hospital

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of Nanjing Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

### **AUTHOR CONTRIBUTIONS**

XZ revised the manuscript and approved the final manuscript as submitted. RW performed the surgery and conducted the data analyses. TH wrote sections of the article and edited the figures. TH and RW contribute to this work equally. All authors read and approved the final manuscript.

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### Uniportal Thoracoscopic Debridement for Children With Refractory Pleural Empyema: Case Series of 21 Patients

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Huang J-X, Chen Q, Hong S-M, Hong J-J and Cao H (2021) Uniportal Thoracoscopic Debridement for Children With Refractory Pleural Empyema: Case Series of 21 Patients. Front. Pediatr. 9:777324. doi: 10.3389/fped.2021.777324 **Purpose:** The effectiveness of video-assisted thoracic surgery (VATS), even uniportal VATS (U-VATS), in the treatment of pleural empyema has recently been demonstrated. However, few works have evaluated its safety and feasibility for children. We review our experience with U-VATS in the treatment of pleural empyema for children under 11 years old.

**Methods:** From January 2019 to December 2020, we consecutively enrolled 21 children with stage II and stage III pleural empyema in our institution. A 1.0 cm utility port was created in the 5th intercostal space at the anterior axillary line. A rigid 30°5 mm optic thoracoscope was used for vision, and two or three instruments were used through the port. Surgery was based on three therapeutic columns: removal of pleural fluid, debridement, and decortication. A chest tube was inserted through the same skin incision. Perioperative data and outcomes were summarized.

**Results:** The procedures were successful, and satisfactory debridement of the pleural cavity was achieved in all cases. The mean age was 4.1 years (range: 6 months to 11 years old). The mean operating time was  $65.7 \pm 23.2 \, \text{min}$ . No intraoperative conversion or major complications were identified among the patients. The mean hospital stay was  $5.0 \pm 0.6$  days. At a follow-up of more than 4 months after operating, all patients had recovered well without recurrence.

**Conclusion:** According to our experience, U-VATS debridement is feasible for the surgical management of stage II and III empyema in the pediatric population. Indeed, U-VATS permits easier performance and complete debridement and decortication, with a very low risk for conversion.

Keywords: video-assisted thoracoscopic surgery, uniportal, children, pleural empyema, pyothorax

Uniportal VATS for Pleural Empyema

### INTRODUCTION

Pleural empyema is defined as the presence of purulent fluid in the pleural cavity. It is due to pleural space infection resulting from post-bacterial pneumonia in the majority of cases (1). According to its radiological (X-ray, computed tomography scan, and ultrasonography) features, empyema is classified into three stages (2): Stage I: Parapneumonic effusion, with an increase in pleural effusion; Stage II: Fibrinopurulent stage with loculations of pleural fluid and fibrinous septa formation; Stage III: Chronic organizing stage with scar adhesions and progressive constriction resulting in incarcerated lung. For patients with advanced disease (Stages II and III), early surgical intervention is beneficial to avoid more complex surgical procedures, higher morbidity, mortality, and longer disease duration (3). For pleural empyema in children: A systematic review of 44 retrospective studies comparing different treatment strategies (4). They were chest tube therapy (16 studies, 611 cases), chest tube with fibrinolytic drug (10 studies, 83 cases), video-assisted thoracic surgery (VATS) (22 studies, 449 cases) and thoracotomy (13 studies, 226 cases). This study found that patients who received VATS or thoracotomy had shorter hospital stays than those who were non-operatively treated. The American Association for Thoracic Surgery (AATS) recommends VATS debridement rather than open thoracotomy for the surgical management of empyema in the pediatric population (5).

Articles on surgical vs. non-surgical treatment have been widely reported in recent years. A 2017 meta-analysis (6) included eight randomized controlled trials with a total of 391 participants. The authors concluded that there was insufficient evidence to assess the impact of fibrinolytic therapy and that VATS may reduce the length of hospital stay compared to thoracostomy drainage. To date, very few works have evaluated the role of the uniportal VATS (U-VATS) approach in the treatment of pleural empyema in children, even though it currently represents the most innovative and less invasive thoracoscopic approach (7). We report our experience with U-VATS in the treatment of pleural empyema in children.

### PATIENTS AND METHODS

The present study was approved by the ethics committee of our hospital and adhered to the tenets of the Declaration of Helsinki. Additionally, written informed consent was obtained from the parents of the patients.

### **Patients**

From January 2019 to December 2020, 39 children with empyema were treated in our department. Our inclusion criteria were as follows: (1) patients with primary pleural empyema; (2) no surgical treatment received before admission to our hospital; and (3) Stage II and Stage III empyema with no improvement after conservative treatment. The exclusion criteria were patients with other pre-operative complications, such as congenital heart disease, immunocompromised state, restrictive or obstructive chest wall disease, and patients with additional foci of infection. The diagnosis and the stages of empyema were confirmed

by chest X-ray plus ultrasound and then further verified by computed tomography (CT) scan (Figure 1). Thirteen of them had Stage I empyema, which improved after chest drainage and anti-infection treatment. One child was referred to our hospital after surgery in another hospital. Four children had other preoperative complications. Once the diagnosis of pleural empyema was determined, a further ultrasound scan was performed to identify the presence of fibrinous septa and loculated fluid. Patients underwent closed placement of chest drainage and antibiotic therapy as the first procedure. If the following clinical and radiological examinations showed the failure of medical therapy with persistence of septic status, trapped lung or several loculations of pleural fluid and the presence of fibrinous septa, U-VATS treatments were performed. Finally, after eliminating contraindications such as the inability to tolerate one lung ventilation and severe coagulopathy (5), 21 patients received U-VATS during the study period (Figure 2). Their clinical information is summarized in Table 1.

### **Surgical Technique**

All patients were consecutively operated on by the same team of thoracic surgeons. We used a uniportal approach as described and defined by Migliore et al. (8). All patients were operated on under general anesthesia with selective one-lung ventilation using a single-lumen endotracheal tube with a bronchial blocker (Tappa, Hangzhou, China). The patients were positioned in the full lateral decubitus position, and the surgeon always stood at the ventral side of the patient. A 1.0 cm utility port was created in the 5th intercostal space (ICS) at the anterior axillary line. A wound protector (disposable wound protectors, Changzhou Anker Medical Co., LTD, Changzhou, China) was applied at the utility port. A rigid 30° 5 mm optic thoracoscope was used for vision, and two or three instruments, such as suction/irrigator devices, electrocantery, endoscopic graspers or scissors, endoscopic ultrasonic scalpels or open surgical instruments, were used through the utility port. The operation proceeded with septal rupture, debridement, and removal of all adhesions and inflammatory effusions from the diaphragmatic and parietal pleura to the apex of the chest cavity with the aim of creating a unique pleural cavity (without septa and loculations) and restoring the physiological movement of the lung. Multiple washings with warm physiological solution were carried out to eliminate all the residual effusion and organized pus from the visceral pleura. Under thoracoscopic control, lung inflation was performed to evaluate the efficacy of decortication. One chest tube was placed for pleural drainage after surgery. The chest tube was removed when there was no air leak, and when the amount of daily drainage was <1 mL/kg (9). Patients were discharged 1 day after removal of the chest tube if the follow-up chest X-ray showed no signs of pneumothorax and no signs of complications.

### **Statistical Analysis**

Continuous data are presented as the mean  $\pm$  standard deviation and range, while categorical variables are presented as frequencies (%). SPSS Statistics (Windows version 19.0 IBM Corp., Armonk, NY) was used for analysis.



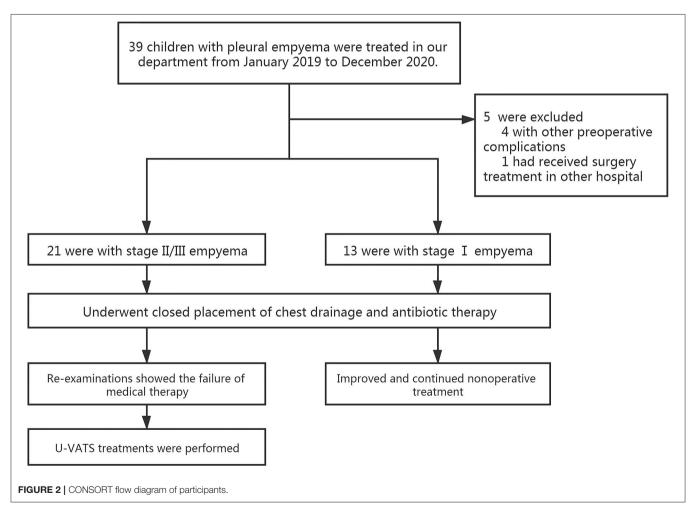


TABLE 1 | Demographical and clinical characteristics of the patients.

| Patient<br>number | Age (years) | Gender   | Weight (kg)    | Location | Empyema<br>stage   | Duration of<br>post-<br>operative<br>fever (days) | Operative time (min) | Drainage<br>duration<br>(days) | Hospital<br>stay (days) | Post-operative complications |
|-------------------|-------------|----------|----------------|----------|--------------------|---|----------------------|--------------------------------|-------------------------|------------------------------|
| 1                 | 3           | F        | 15             | R        | Stage II           | 13  | 60                   | 3                              | 4                       | No                           |
| 2                 | 4           | М        | 16.5           | L        | Stage II           | 15  | 60                   | 4                              | 5                       | No                           |
| 3                 | 11          | F        | 24             | L        | Stages III         | 12  | 110                  | 3                              | 4                       | No                           |
| 4                 | 3           | F        | 13.9           | R        | Stage II           | 13  | 120                  | 4                              | 5                       | No                           |
| 5                 | 10          | М        | 22             | R        | Stages III         | 14  | 100                  | 4                              | 5                       | No                           |
| 6                 | 2           | М        | 12.5           | L        | Stage II           | 15  | 50                   | 3                              | 4                       | No                           |
| 7                 | 2           | М        | 13             | R        | Stages III         | 12  | 55                   | 3                              | 4                       | No                           |
| 8                 | 6           | М        | 21             | L        | Stage II           | 13  | 60                   | 5                              | 6                       | Subcutaneous emphysema       |
| 9                 | 3           | М        | 15.5           | L        | Stages III         | 10  | 65                   | 5                              | 6                       | Pneumothorax                 |
| 10                | 5           | М        | 19             | L        | Stage II           | 14  | 70                   | 4                              | 5                       | No                           |
| 11                | 1           | М        | 9              | R        | Stages III         | 10  | 95                   | 4                              | 5                       | No                           |
| 12                | 1           | F        | 11             | R        | Stage II           | 14  | 75                   | 5                              | 5                       | No                           |
| 13                | 0.6         | М        | 7              | R        | Stages III         | 10  | 120                  | 5                              | 6                       | No                           |
| 14                | 0.5         | F        | 6.5            | L        | Stages III         | 12  | 100                  | 4                              | 5                       | No                           |
| 15                | 3           | М        | 14.5           | L        | Stages III         | 10  | 50                   | 4                              | 5                       | No                           |
| 16                | 2           | F        | 13             | R        | Stage II           | 12  | 55                   | 5                              | 5                       | No                           |
| 17                | 5           | F        | 20.5           | R        | Stages III         | 8   | 60                   | 4                              | 5                       | No                           |
| 18                | 7           | М        | 25             | L        | Stage II           | 12  | 65                   | 5                              | 6                       | Subcutaneous emphysema       |
| 19                | 6           | М        | 22             | L        | Stages III         | 8   | 70                   | 4                              | 5                       | No                           |
| 20                | 9           | F        | 23             | R        | Stages III         | 13  | 100                  | 3                              | 5                       | No                           |
| 21                | 3           | F        | 11             | R        | Stages III         | 12  | 50                   | 3                              | 5                       | No                           |
|                   | 4.1 ± 3.0   | M: 57.1% | $15.9 \pm 5.5$ | R: 42.9% | Stage II:<br>42.9% | 12 ± 2.0  | $65.7 \pm 23.2$      | 4 ± 0.76                       | 5 ± 0.62                | 3 (14.3%)                    |

### **RESULTS**

The mean age of the patients was 4.1 years (range 6 months to 11 years old), and 57.1% (12 patients) were males. Twelve patients (57.1%) presented Stage III empyema, and 9 patients (42.9%) presented Stage II empyema. All cases were related to complicated parapneumonic effusion. Pleural culture was positive in 6 (28.6%) patients. The main aetiologic agents were Staphylococcus aureus (2 patients), Streptococcus pneumoniae (3 patients), and Streptococcus viridans (1 patient). Of the 2 patients with Staphylococcus aureus, 1 had methicillin-resistant strain. All patients were treated with broad-spectrum antibiotic therapy. A chest tube was placed to evacuate pleural effusion, provided microbiologic insights. No fibrinolytic therapy had been administered. Among patients treated with chest tube insertion, 19 patients (90.5%) showed a trapped lung. All patients were treated with antibiotics plus thoracic drainage for at least 2 weeks (range 14-21 days), and with the failure of these medical treatments, the operation was carried out. The mean operation time for the U-VATS approach was 65.7  $\pm$  23.3 min. Complete debridement and decortication were obtained in all patients, and no conversion or further access was needed for any reason. Post-operative complications occurred in 3 patients, including pneumothorax (air leakage >2 days) in 1 patient and subcutaneous emphysema in 2 patients. The drainage tube was removed after 4.0  $\pm$  0.8 days, and patients were discharged after 4.9  $\pm$  0.7 days. The long-term outcome was excellent in all cases, and all patients were alive with no recurrence.

### DISCUSSION

To our knowledge, this is the first report of children with pleural empyema undergoing U-VATS for treatment. In 2001, Waller and his colleagues (10) concluded that VATS was proven to be as effective as open surgery in the treatment of Stage III empyema and had the advantages of being minimally invasive, plus reduced pain and hospitalization. Some authors (11–14) reported that the clinical effect of VATS decortications was better than that of thoracotomy. A recent meta-analysis (15) reviewed 14 published articles in 2010, and the results showed that VATS was superior to open surgery in terms of post-operative pain, complications, morbidity, 30-day mortality, and length of stay, with no significant difference in recurrence rates. U-VATS was first reported by Rocco in 2004 and has often been performed (16). Mahmoud Ismail and his colleagues (7) concluded that U-VATS allows for an easier performance, complete debridement

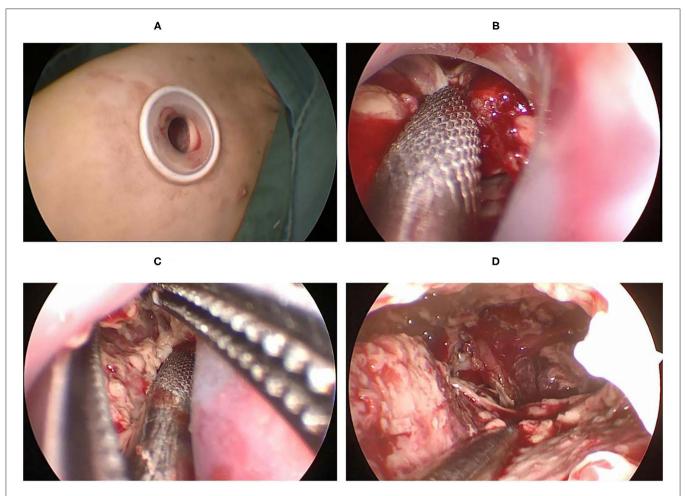


FIGURE 3 | (A) A 1.0 cm port created in the 5th ICS at the anterior axillary line. (B) The aspirator was used to suck and remove the tissue around the incision. (C) Debride the area around the incision with aspirator and curved forceps. (D) Create a suitable surgical space.

and decortication, with a very low risk for conversion and excellent post-operative outcomes in terms of less pain, faster recovery, and cosmetic results. However, beyond that, few studies have evaluated the usefulness of the U-VATS method in the treatment of pleural empyema in children, and all the above reports are adult cases. Hung Do Manh and his colleagues (17) described a single trocar thoracoscopic surgery for pediatric pleural empyema, in which a 10 mm trocar was inserted with a scope and a single instrument for pleural dissection. Although different from the U-VATS as defined (8), some good results have been achieved. We tried to use a similar method and found that the main difficulty was that just one instrument could not perform debridement while aspirating the blood and pus at the same time.

Martin-Ucar and Socci (18) reported the advantages of U-VATS in many factors, including post-operative pain, post-operative recovery, view of the thoracic cavity, angle of vision, no rotational effect, and the ergonomic position of the operating surgeon. When performing U-VATS for children with pleural empyema, we noticed the above advantages and found some

others from our single-center experience. How to expose the surgical field was the first question we faced. All the cases had severe pleural adhesions, and the surgeon needed to create a surgical space at the beginning of the operation. Tander et al. (19) reported a balloon-aided single-port thoracoscopic technique to achieve a wider field of vision, and a satisfactory conclusion was obtained. We found that the separation of adhesions from the edge of the incision using double-joint instruments of different curvatures could also gradually create a satisfactory surgical area (Figure 3).

There are some characteristics of the children's chest, including small cavum space and narrow intercostal space. The distance between the surgical area and the chest surface was short, which resulted in a limited angle of instrument activity. Angulated and narrow-shaft double-hinged instruments have become essential instruments for U-VATS, while articulating instruments help bring the operative fulcrum inside the chest. It is worth mentioning that, after early exploration, we found that a small incision is unique in its instrumentation requirement. Customized double-hinged surgical instruments with a 4-mm

Uniportal VATS for Pleural Empyema

rod diameter were used in our U-VATS, and electrocantery was able to change the angle at will with a rod diameter of 2 mm. All the instruments made it advantageous to operate from multiple angles and to multiple regions.

Although pulmonary collapse would not be good due to pleural adhesion, we still recommend bronchial occlusion in our experience. Because the degree of lung collapse is gradually restored with debridement, without tracheal occlusion, the surgical space would be limited. There is a propensity for bleeding during empyema debridement and decortication, which can result in limited vision of the surgical field, making the procedure dangerous, especially near the hilum. We considered it safe and effective to perform debridement and aspirate the blood at the same time. When multiportal VATS or singleport VATS is performed, the chest is a closed environment. Although the surgical procedure is exercisable under artificial pneumothorax, the use of an aspirator would significantly reduce the surgical space, which is not a concern for U-VATS with tracheal occlusion. In addition, two or three instruments can simultaneously perform operative procedures such as suction, exposure, separation and hemostasis. Due to the limitation of retrospective studies, its superiority needs to be confirmed by further prospective research.

The application of the U-VATS technique marked a milestone innovation in thoracic surgery. However, there is often a long learning curve for the conversion from conventional multiportal VATS to U-VATS. Our surgeons have had many years of experience in U-VATS, so we conducted this study and aimed to evaluate the safety and feasibility of U-VATS for children with pleural empyema. As a result of the 21 successful cases mentioned, our experience was limited. We are also moving forward with more cases and more complex U-VATS

procedures to further confirm our findings with prospective, comparative studies.

### **CONCLUSIONS**

The U-VATS approach is safe and feasible for children with pleura empyema. We presented U-VATS for the surgical management of Stage II and III empyema with satisfactory perioperative results.

### **DATA AVAILABILITY STATEMENT**

The data of this study are available on request from the corresponding author. Requests to access these datasets should be directed to Hua Cao, caohua0791@163.com.

### **AUTHOR CONTRIBUTIONS**

J-XH and HC made a significant contribution to the conception and design of the work. J-JH and S-MH drafted the work and revised it critically for important intellectual content. QC and J-XH are responsible for all aspects of the work and ensure that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors read and approved the final manuscript.

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# Uniportal Video-Assisted Thoracoscopic Resection and Lobectomy for Infants With Pulmonary Sequestration: Case Series and Initial Experience

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**Background:** The present study aimed to evaluate the safety and feasibility of uniportal video-assisted thoracoscopic surgery (U-VATS) for infants with pulmonary sequestration (PS).

**Methods:** From January 2019 to July 2020, 19 infants with PS were admitted to a provincial hospital in the Fujian Province of China. A 1.5-cm utility port was created in the fifth intercostal space at the anterior axillary line. A rigid 30° 5-mm optic thoracoscope was used for vision, and two or three instruments were utilized through the port. Surgical options include standard lobectomy, wedge resection, and resection of the extralobar sequestration. Only one intercostal space was entered, and a chest tube was inserted through the same skin incision if necessary.

**Results:** The procedure was successful in all patients with an average operation duration of  $58.3 \pm 31.5$  min. The length of post-operative hospital stay was  $5.4 \pm 1.5$  days, and no post-operative deaths or serious complications were observed. The mean post-operative drainage volume was  $164.6 \pm 45.9$  mL, and the mean post-operative thoracic tube indwelling duration was  $5.5 \pm 1.0$  days. No intraoperative conversion, surgical mortality, or major complications were identified among the patients.

**Conclusion:** Our preliminary experience presented a series of U-VATS lobectomy, wedge resection, and resection of the PS for infants with satisfactory perioperative results.

Keywords: video-assisted thoracoscopic surgery, infant, lobectomy, pulmonary sequestration, uniportal

### INTRODUCTION

Pulmonary sequestration (PS) is the second most common type of congenital dysplasia of the lungs and a non-functioning lung mass with an abnormal connection to tracheobronchial trees and an anomalous systemic artery supply (1, 2). The two subtypes of PS are intralobar sequestration (ILS) and extralobar sequestration (ELS). Patients with PS usually manifest upper airway syndrome, hemoptysis, or repeated lung infections (2). The infection rate in children with ILS was 71.17%, and that in children with ELS was 31.37% (3). The currently available data suggested that early (<6-months-old) surgery allows for ease of operative intervention, adequate recovery, and a reasonable time for compensatory lung growth while avoiding the potential infectious complications (4). Video-assisted thoracoscopic surgery (VATS) is a common and effective surgical method for PS, and lobectomy and lung-sparing resection are the two major operative approaches (3, 4).

Nearly a decade after the initial reports from Rocco et al. on the use of video-assisted thoracoscopic surgery (U-VATS) for intermediate therapeutic procedures in the form of nonanatomical wedge resection of nodules (5), the first lobectomy was performed by Gonzalez et al. (6), and Successive reports of anatomical segmentectomies (7), pneumonectomies (8), complex bronchial (9), and en bloc chest wall resections (10) followed. Shaqqura et al. (11) reported on U-VATS lobectomy in a 9week-old patient, Chang SL reported three cases of one-lung ventilation using uniblocker bronchial blockers for infants in U-VATS (12), but no other study has yet described the U-VATS for infants with PS. The children's chest includes small cavum space and narrow intercostal space, making it challenging to perform U-VATS compared to other surgical procedures, such as multiportal VATS (13). Among clinical advantages, pain control after U-VATS has been reported to be superior to conventional, three-port access VATS (14). The survival and impact of the potentially limited immune response to trauma are areas that lack extensive studies, U-VATS lobectomy has been shown to reduce the humoral immune response to trauma (13). However, there was another answer to the question "why do a U-VATS lobectomy": embrace the challenge is the only way to grow (15). The aim of this study is to evaluate the safety and feasibility of U-VATS for infants. The results are encouraging.

### PATIENTS AND METHODS

The present study adhered to the tenets of the Declaration of Helsinki and was approved by the Ethics Committee of our hospital. Additionally, the written informed consent was obtained from the parents of the patients.

### **Patients**

The selection criteria were as follows: (1) Patients with PS, including ILS or ELS; (2) the ILS lesion was localized to only one pulmonary lobe; (3) all the patients were newly treated by surgery. The exclusion criteria were patients with other preoperative complications, such as congenital heart disease, immunocompromised state, restrictive or

obstructive chest wall disease, and multiple lesions. From January 2019 to July 2020, two infants with complications (one with foregut cyst and another had coexistent left lower lobe ELS and left upper lung congenital cystic adenomatoid malformation) were excluded, and 19 infants with PS received U-VATS in our institution. Preoperative enhanced computed tomography (CT) was used to confirm the diagnosis, identify the lesions, and locate the systemic feeding artery. Routine examinations included a standard electrocardiogram, echocardiography, and blood tests. Subsequently, seven patients were found to be symptomatic, which included frequent respiratory infections and shortness of breath. Demographics, characteristics of PS (location, subtype, and origin of systemic artery), and perioperative data (operation time, blood loss, complications, duration of pleural drainage, and duration of post-operative hospital stay) were recorded for all patients.

### **Surgical Technique**

All patients were consecutively operated on by the same experienced thoracic surgical team. Herein, we used a uniportal approach as described and defined by Migliore et al. (16). All patients were operated under general anesthesia with selective one-lung ventilation using a single-lumen endotracheal tube with a bronchial blocker. The patients were positioned in the full lateral decubitus position, and the surgeon always stood at the ventral side of the patient. A 1.5-cm utility port was created in the fifth intercostal space (ICS) at the anterior axillary line. A wound protector (Disposable wound protectors, Changzhou Anker Medical Co., Ltd., Changzhou, China) was applied at the utility port. A rigid 30° 5-mm optic thoracoscope was used for vision, and two or three instruments, such as suction/irrigator device, electrocautery, endoscopic grasper or scissors, and endoscopic ultrasonic scalpel or open surgical instruments, were used through the utility port.

The majority of the dissections were performed using endoscopic hook electrocautery and a 5-mm endoscopic ultrasonic scalpel (Harmonic Scalpel; Ethicon Endo-Surgery Inc., Cincinnati, OH, USA). The systemic feeding artery was the first structure dissected out. It was divided using Hem-o-Lok clips (Sinolinks clips; Sinolinks Medical Innovation Inc., Jiangsu, China) at the beginning of the operation. The extent of parenchymal resection depended on the extent of PS. The surgical options include standard lobectomy, wedge resection, and resection of the ELS. Endo-GIA (Covidien, Mansfield, MA, USA) and Hem-o-Lok were used to carry out lobectomy. Because of the small incision, we use a 3-mm thoracoscope and a 1.2mm forceps for operation when Endo-GIA was used. When the lesion is large, we cut the specimen into small pieces before extracting out. One chest tube was placed for pleural drainage after lobectomy or wedge resection, and no chest tube was placed after resection of the ELS. The chest tube was removed when there was no air leak, and the amount of daily drainage was <20 mL. Patients were discharged 1 day after removal of the chest tube if the follow-up chest X-ray did not show any signs of complications, such as pneumothorax and hydrothorax.

Uniportal VATS Lobectomy for Infants

Huang et al.

TABLE 1 | Clinical data of infants undergoing U-VATS.

| Patient<br>number | Age<br>(months) | Gender | Weight (kg) | Type<br>(ILS/ELS) | Location | Origin of<br>systemic artery  | Symptomatic                    | Operation ways | Operative time (min) | Blood loss<br>(mL) | Drainage<br>duration<br>(days) | Drainage<br>volume (mL) | Hospital<br>stay (days) |
|-------------------|-----------------|--------|-------------|-------------------|----------|-------------------------------|--------------------------------|----------------|----------------------|--------------------|--------------------------------|-------------------------|-------------------------|
| 1                 | 4               | М      | 7.8         | ILS               | LLL      | Thoracic aorta                | Frequent respiratory infection | Wedge-shaped   | 60                   | 20                 | 6                              | 220                     | 7                       |
| 2                 | 4               | F      | 10.1        | ELS               | RLL      | Thoracic aorta                | No                             |                | 15                   | 1                  |                                |                         | 4                       |
| 3                 | 4               | М      | 7.5         | ILS               | LLL      | Thoracic aorta                | No                             | Wedge-shaped   | 60                   | 15                 | 6                              | 210                     | 7                       |
| 4                 | 3               | М      | 5.65        | ELS               | LLL      | Thoracic aorta                | Frequent respiratory infection |                | 38                   | 5                  |                                |                         | 3                       |
| 5                 | 5               | М      | 9.65        | ILS               | LLL      | Thoracic aorta                | Shortness of breath            | Lobectomy      | 110                  | 85                 | 5                              | 170                     | 6                       |
| 6                 | 5               | М      | 7.5         | ILS               | RLL      | Thoracic aorta                | Frequent respiratory infection | Lobectomy      | 120                  | 80                 | 4                              | 120                     | 5                       |
| 7                 | 6               | F      | 6.9         | ILS               | LLL      | Celiac trunk                  | No                             | Lobectomy      | 100                  | 75                 | 5                              | 160                     | 6                       |
| 8                 | 3               | F      | 5.1         | ELS               | LLL      | Superior<br>mesenteric artery | No                             |                | 40                   | 10                 |                                |                         | 4                       |
| 9                 | 4               | М      | 6.9         | ILS               | RLL      | Thoracic aorta                | Frequent respiratory infection | Wedge-shaped   | 50                   | 10                 | 5                              | 120                     | 6                       |
| 10                | 5               | F      | 6.5         | ILS               | LLL      | Thoracic aorta                | No                             | Wedge-shaped   | 55                   | 20                 | 6                              | 155                     | 7                       |
| 11                | 4               | F      | 6.75        | ELS               | LLL      | Abdominal aorta               | No                             |                | 20                   | 1                  |                                |                         | 4                       |
| 12                | 6               | M      | 9           | ILS               | LLL      | Abdominal aorta               | No                             | Wedge-shaped   | 60                   | 20                 | 6                              | 200                     | 7                       |
| 13                | 3               | M      | 5.5         | ELS               | RLL      | Thoracic aorta                | No                             |                | 35                   | 5                  |                                |                         | 3                       |
| 14                | 5               | F      | 8           | ILS               | RLL      | Thoracic aorta                | Frequent respiratory infection | Wedge-shaped   | 65                   | 25                 | 7                              | 220                     | 8                       |
| 15                | 5               | M      | 7.5         | ELS               | LLL      | Intercostal aorta             | No                             |                | 10                   | 1                  |                                |                         | 4                       |
| 16                | 4               | F      | 6.5         | ILS               | RLL      | Thoracic aorta                | Shortness of breath            | Wedge-shaped   | 70                   | 50                 | 7                              | 200                     | 7                       |
| 17                | 5               | М      | 8.5         | ILS               | LLL      | Thoracic aorta                | No                             | Lobectomy      | 95                   | 50                 | 5                              | 100                     | 6                       |
| 18                | 6               | М      | 9.1         | ILS               | LLL      | Abdominal aorta               | No                             | Lobectomy      | 75                   | 30                 | 4                              | 100                     | 5                       |
| 19                | 5               | М      | 7.6         | ELS               | RLL      | Abdominal aorta               | No                             |                | 30                   | 2                  |                                |                         | 4                       |

RLL, right lower lobe; LLL, left lower lobe.

### **Statistical Analysis**

Continuous data are presented as mean  $\pm$  standard deviation and range, and the categorical variables are presented as frequencies (%). Clinical parameters are shown in **Table 1**. SPSS (Windows version 19.0 IBM Co., Armonk, NY, USA) was used for all statistical analyses.

### **RESULTS**

### **Medical History and Diagnosis**

A total of 19 infants (12 males and 7 females), aged  $34.5 \pm 0.9$  (range: 3–6) months and weight  $7.5 \pm 1.4$  (5.1–10.1) kg were recruited in this study. Most patients with PS had manifestations of lung infection, while some patients also presented dry cough and shortness of breath. PS was detected by prenatal ultrasonography in all patients. A total of 12 patients had ILS, and 7 had ELS. ILS was observed in the left lower lobe in 8 patients and the right lower lobe in 4 patients. Extralobar PS was detected near the left lower lobe in 4 patients and near the lower right lobe in 3 patients. The anomalous arterial blood supplies was via the thoracic aorta in 12 patients, abdominal aorta in 4, the celiac trunk in 1, intercostal aorta in 1, and superior mesenteric artery in 1 patient.

### Intraoperative Conditions and Post-operative Management

The surgery was smooth in all 19 patients. The operative time was  $58.3 \pm 31.5$  (10–120) min, and the intraoperative blood loss volume was  $26.6 \pm 27.9$  (1–85) mL. All 7 patients with ELS underwent resection of the diseased lung tissues. Among 12 patients with ILS, lobectomy was performed in five patients and wedge-shaped lung resection in seven patients. Systemic artery identification and ligation were completed in all cases. The mean post-operative drainage volume was  $164.6 \pm 45.9$  mL, and the mean post-operative thoracic tube duration was  $5.5 \pm 1.0$  days. No intraoperative conversion, surgical mortality, or major complications were observed among these patients. However, in some patients, respiratory symptoms, such as shortness of breath and repeated pneumonia, were noted before surgery, but none displayed any symptoms after surgery. The operative findings and post-operative outcomes are summarized in **Table 1**.

### Follow-Up

Follow-up and chest radiographs were performed 1-month postsurgery, and chest CT assessment was performed 1 year after surgery. All 19 patients were followed up for 1 year without loss. The symptoms had been either resolved totally or improved significantly in all symptomatic patients, and no complications were detected during the follow-up period. During a 2-year follow-up period after surgery, none of the patients developed symptoms. The incision in the chest was minor and cosmetic (Figure 1).

### **DISCUSSION**

PS is an uncommon congenital anomaly due to the continuous advances in VATS. The approach has become the main surgical

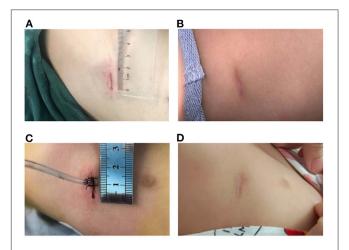


FIGURE 1 | Incisions were minor and cosmetic (A) Incision without tube. (B) Incision without tube 3 months after surgery. (C) Incision with a chest tube. (D) Incision with a chest tube 3 months after surgery.

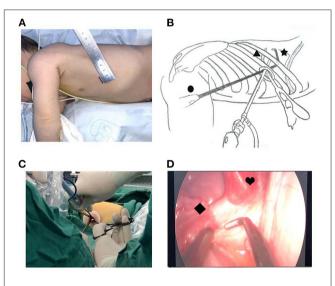


FIGURE 2 | Uniportal thoracoscopic resection of pulmonary sequestration.

(A) Location and length of the U-VATS incision. (B) •, thoracoscope; ▲, angulated forceps; ★, plasticity electrocoagulation hook. (C) Operation photos. (D) Thoracoscopic image. ♥, PS; ♦, systemic feeding artery.

technique in treating PS (17). The surgical resection of the diseased lung is recommended for symptomatic patients and should also be performed for some asymptomatic patients to control and prevent recurrent pulmonary infection by blocking the inflammatory process and lowering the incidence of airway complications (1). The feasibility and safety of VATS lobectomy for PS have been investigated (18, 19).

For PS, U-VATS lobectomy has been applied to both ELS (20, 21) and ILS (22–24). However, these reported cases were all in adults and children after 1 year of age. Komori et al. examined long-term pulmonary function in 93 patients who underwent lobectomy between 2 days and 15 years of life (25).





FIGURE 3 | (A,B) Expose the surgical area.

Alveolar growth (as opposed to emphysematous change) as measured by radionucleotide imaging was lower in patients who had undergone lobectomy after 1 year of age, suggesting an improvement in long-term pulmonary function in those who had undergone lobectomy before 1 year of age. Other than the case report from Shaqqura et al. (11), no studies have yet been reported about U-VATS in infants.

There is no consensus on the location and length of the U-VATS incision in infants. For adults, a 3 to 5-cm utility port was created in the fourth or fifth ICS at the anterior axillary line. In our opinion, a 4-cm incision is extremely traumatic for an infant. Hence, we recommend a 1.5-cm incision in the fifth ICS at the anterior axillary line for U-VATS. The pulmonary ligament and the aberrant systemic artery could be approached easily. Some characteristics of the infant's chest were small cavum space and narrow intercostal space. The distance between the surgical area and the chest surface was short, which resulted in a limited angle of instrument activities. Angulated and narrow-shaft double-hinged instruments have become a part of the essential armamentarium for the U-VATS, while articulating instruments bring the operative fulcrum inside the chest. The customized biarticular surgical instruments with a rod diameter of 4 mm were used in the current U-VATS, and the electrocoagulation hook was able to change the angle at will with a rod diameter of 2 mm. All the instruments made it advantageous to operate from multiple angles and in various regions (Figure 2).

The U-VATS for infants require close cooperation with the anesthesiologist and the camera-holding assistant. Maintaining the collapse of the lung on the operated side is also crucial. However, due to the small volume of the infant's chest cavity, the space with the collapsed lung was not sufficient to expose the surgical area. We also observed that the occlusion of the main bronchus, combined with the use of surgical instruments to remove the lobes blocking the view, produces satisfactory results. Notably, the lung tissue of the infants is vulnerable to being clipped by surgical instruments, and hence an angulated forceps holding a small piece of gauze was used to brush away the lobe from the surgical area (Figure 3).

The application of the U-VATS technique in thoracic surgery is a milestone innovation. However, there is often a long learning curve for the conversion from the conventional multiportal

VATS to U-VATS. Our surgeons have had several years of experience in adult U-VATS; thus, we aimed to evaluate the safety and feasibility of U-VATS for infants in this study. Despite the success of 19 cases described above, the present study has many limitations; it was a single-center, small sample study, a retrospective analysis, and lacked a control group. Therefore, in the future, additional cases and complex U-VATS procedures, such as segmentectomy, are required to confirm the current findings using prospective, comparative studies.

### CONCLUSION

Although U-VATS is challenging, the surgical approach is safe and feasible for infants with PS. Herein, we presented a series of U-VATS lobectomy, wedge resection, and resection of the PS for infants with satisfactory perioperative results.

### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethics Committee of Fujian Children's Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

### **AUTHOR CONTRIBUTIONS**

J-XH participated in clinical practice, contributed to the collection and analysis of data, and drafting and revising the manuscript. J-JH carried out data collection. QC participated in clinical practice. S-MH helped in the study design and drafting of the manuscript. HC carried out patient recruitment and clinical practice, contributed to the conception and design of the study, and drafting and revising the manuscript. All authors read and approved the final manuscript.

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# Cerebral Oxygenation and Activity During Surgical Repair of Neonates With Congenital Diaphragmatic Hernia: A Center Comparison Analysis

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**Background and aim:** Neonatal brain monitoring is increasingly used due to reports of brain injury perioperatively. Little is known about the effect of sedatives (midazolam) and anesthetics (sevoflurane) on cerebral oxygenation (rScO<sub>2</sub>) and cerebral activity. This study aims to determine these effects in the perioperative period.

**Methods:** This is an observational, prospective study in two tertiary pediatric surgical centers. All neonates with a congenital diaphragmatic hernia received perioperative cerebral oxygenation and activity measurements. Patients were stratified based on intraoperatively administrated medication: the sevoflurane group (continuous sevoflurane, bolus fentanyl, bolus rocuronium) and the midazolam group (continuous midazolam, continuous fentanyl, and continuous vecuronium).

**Results:** Intraoperatively, rScO<sub>2</sub> was higher in the sevoflurane compared to the midazolam group (84%, IQR 77–95 vs. 65%, IQR 59–76, p=<0.001), fractional tissue oxygen extraction was lower (14%, IQR 5–21 vs. 31%, IQR 29–40, p=<0.001), the duration of hypoxia was shorter (2%, IQR 0.4–9.6 vs. 38.6%, IQR 4.9–70, p=0.023), and cerebral activity decreased more: slow delta: 2.16 vs. 4.35  $\mu V^2$  (p=0.0049), fast delta: 0.73 vs. 1.37  $\mu V^2$  (p=<0.001). In the first 30 min of the surgical procedure, a 3-fold increase in fast delta (10.48–31.22  $\mu V^2$ ) and a 5-fold increase in gamma (1.42–7.58  $\mu V^2$ ) were observed in the midazolam group.

**Conclusion:** Sevoflurane-based anesthesia resulted in increased cerebral oxygenation and decreased cerebral activity, suggesting adequate anesthesia. Midazolam-based anesthesia in neonates with a more severe CDH led to alarmingly low rScO<sub>2</sub> values, below hypoxia threshold, and increased values of EEG power during the first 30 min of surgery. This might indicate conscious experience of pain. Integrating

population-pharmacokinetic models and multimodal neuromonitoring are needed for personalized pharmacotherapy in these vulnerable patients.

**Trial Registration:** https://www.trialregister.nl/trial/6972, identifier: NL6972.

Keywords: neonates, surgery, midazolam, sevoflurane, cerebral activity, cerebral oxygenation

### INTRODUCTION

Clinicians are increasingly monitoring the neonatal brain during high-risk neonatal surgery, due to the increased number of neonates who develop brain injury perioperatively, and subsequently impaired neurodevelopmental outcome (1–4).

Multimodal brain monitoring is needed to monitor the interplay between cerebral oxygenation and activity (5). Near-infrared spectroscopy (NIRS) presents a continuous, non-invasive measurement of regional cerebral oxygen saturation (rScO<sub>2</sub>), with reference values between 55 and 85% for awake neonates (6). Baseline rScO<sub>2</sub> can vary as a result of sensor placement (7, 8), sensor type (9, 10), and the measurement device (11, 12). Furthermore, it is possible to analyse cerebral oxygen consumption by using the fractional tissue oxygen extraction (FTOE) (13). Intraoperative use of NIRS has been explored, but no coherent results have been reported in non-cardiac neonatal surgery (5) and NIRS-guided treatment guidelines are only available in pediatric cardiac surgery (14).

The activity of the neonatal brain is quantified using electroencephalography (EEG), which measures the overall electrical activity of the cortical pyramidal neurons (15). Consequently, EEG has a great sensitivity of showing changes in neural functioning (16). The power of the EEG is computed in different frequency bands. Delta oscillations ( $\delta$ : 0.5–4 Hz) dominate the neonatal EEG and regulate basic homeostatic need (17), which can be divided into slow delta ( $\delta$ <sub>1</sub>: 0.5–2 Hz) or fast delta ( $\delta$ <sub>2</sub>: 2–4 Hz). Noxious-evoked EEG activity can be studied by analyzing gamma oscillations ( $\gamma$ : 32–100 Hz) over the contralateral somatosensory cortex and by analyzing the fast delta band ( $\delta$ <sub>2</sub>) (18). Strong increased gamma  $\gamma$  oscillations and increased energy in the fast delta  $\delta$ <sub>2</sub> band was shown to reflect nociceptive pain in neonates following heel lance (18).

To date, little is known about the effect of anesthetic approaches on cerebral oxygenation (5, 19). In this prospective center comparison study, neonates with a congenital diaphragmatic hernia (CDH), treated according to a standardized international guideline (20) received two different anesthetic approaches intraoperatively: midazolam with analgesia and muscle relaxation vs. an sevoflurane with analgesia and muscle relaxation. We hypothesize that cerebral oxygenation is affected to a lesser extent by the administration a sedative-agent (midazolam), compared to the administration of anesthetic-agent (sevoflurane), assuming that the cerebral activity is less affected by a sedative-agent compared to an anesthetic-agent. The aim of this study was to determine the effects of midazolam vs. sevoflurane on cerebral oxygenation and cerebral activity in the perioperative period.

### **MATERIALS AND METHODS**

This is an observational, prospective center comparison study on perioperative neuromonitoring in neonates with a CDH. All patients underwent surgery in a tertiary pediatric referral center: the Sophia Children's Hospital (Rotterdam, the Netherlands), or the Mannheim University Hospital (Mannheim, Germany), which treat ~20 and 60 neonates a year, respectively. Local institutional review board approval (Erasmus MC, Rotterdam, The Netherlands, MEC-2017–145, and Universitätsmedizin Mannheim, Mannheim, Germany 2018-578N-MA) and written informed consent of parents or legal guardians were obtained. The study is registered in the Netherlands trial register (www.trialregister.nl), clinical trial number NL6972.

### **Patients**

This study focused on neonates with a CDH, a major non-cardiac anomaly, that requires surgical repair within the 1st days of life. CDH neonates were eligible for inclusion if surgical repair was scheduled between July 2018 and April 2020 within the first 28 days of life, regardless of the type of surgery (open or thoracoscopic surgery), or the need for extracorporeal membrane oxygenation (ECMO) therapy until 24h before surgery, for which both centers used the same entry criteria (20). Neonates were excluded if they had major cardiac or chromosomal anomalies, syndromes associated with altered cerebral perfusion, or syndromes associated with major neurodevelopmental impairment. In addition, neonates on ECMO during the start of the procedure were also excluded. Patients were treated according to the CDH-EURO consortium guidelines (20).

### **Perioperative Management**

The neonates enrolled in this study were stratified in two groups. In Rotterdam, surgery was performed in the operating room (OR) and anesthesia was sevoflurane based (end expired concentration between 1 and 2%), with bolus fentanyl (induction 1–5 ug kg<sup>-1</sup>) and rocuronium (0.5–1.0 mg kg<sup>-1</sup>) performed by a pediatric anaesthesiologist. Patients operated at the OR underwent thoracoscopic surgery if they were hemodynamically stable and if the liver was not herniated. In Mannheim, surgery was performed at the neonatal intensive care unit (NICU), and anesthesia was based on continuous midazolam (70–100 ug kg<sup>-1</sup> h<sup>-1</sup>), fentanyl (2–5 ug kg<sup>-1</sup> h<sup>-1</sup>), vecuronium (0.10–0.30 mg kg<sup>-1</sup>), and bolus fentanyl (2–10 ug kg<sup>-1</sup>), performed by a neonatologist/ECMO specialist according to center specific routine for many years. Performing surgery in the NICU was partly based on the cardiorespiratory instability of the

patient. Repeated administration of analgesia was based on clinical evaluation.

### **Data Collection**

Patient demographics were collected according to the international consensus about standardized reporting for CDH (21). Measurements were performed in a continuous fashion, started at least 6 h before surgery and continued up to 24 h after surgery. Perioperative management, such as the administration of medication and the analysis of arterial blood gasses, was recorded in the patient data management system (HiX, Chipsoft BV, Amsterdam, the Netherlands).

Heart rate (HR), intra-arterial mean arterial blood pressure (MABP) and peripheral arterial oxygen saturation (SpO<sub>2</sub>) were measured at 1 Hz (Primus, Draeger, Luebeck, Germany). rScO<sub>2</sub> was measured at a sampling rate of 1 Hz using NIRS (neonatal sensor, INVOS 5100C, Covidien, Boulder, Colorado, United States) with a hypoxic threshold of 63% for this device-sensor combination (10, 11). EEG was recorded using a 4-channel EEG at 256 Hz (Rotterdam: BrainRT, OSG, Rumst, Belgium, Mannheim: Braintrend, Fritz Stephan GMBH, Gackenbach, Germany). The power of the EEG was computed in the slow delta ( $\delta_1$ : 0.5–2 Hz), fast delta ( $\delta_2$ : 2–4 Hz), and gamma ( $\gamma$ : 32–100 Hz) frequency bands. Clinicians were blinded for EEG, but not for rScO<sub>2</sub>.

In Rotterdam, the Shell+ RT software Suite of the BrainRT was reprogrammed for real-time data extraction. In Mannheim, AnStat software (Carepoint, Ede, the Netherlands) was used for real-time data extraction. Both software extracted data in the same manner. The vasoactive-inotropic score (VIS) reflects the need and grade of vasoactive/inotropic pharmaceutical intervention and was calculated to quantify necessity of cardiovascular support (22).

### **Data Processing and Statistical Analysis**

The signal processing pipeline started with three pre-processing steps. First, artifacts were detected and removed. For EEG, segments were excluded from the analysis if the absolute amplitude exceeded 500  $\mu$ V, which is the maximum voltage that could be detected by the monitor. For the other signals, segments outside of the physiological range, segments containing motion artifacts were removed. Second, the spectral power in the EEG was computed using the continuous wavelet transform and ridge extraction (23). Three frequency bands of interest were defined: slow delta  $\delta_1$  (0.5–2 Hz), fast delta  $\delta_2$  (2–4 Hz), and gamma  $\gamma$  (30–100 Hz) frequency bands. Third, FTOE was computed from rScO<sub>2</sub> and SpO<sub>2</sub> values as FTOE = (SpO<sub>2</sub> – rScO<sub>2</sub>)/SpO<sub>2</sub>. Since rScO<sub>2</sub> mainly reflects the oxygenation of the venous return from the brain, FTOE defines the amount of oxygen extracted in the brain.

After these processing steps, signal parameters were extracted in four data windows: the *preoperative* window (Pr: 6–3 h before the start of surgery), the *intraoperative* window (In: entire surgical procedure), and two *postoperative* windows (Po3: 3–6 h and Po15: 15–18 h after the end of surgery). These data windows were used to balance the data and to remove transitional

effects, such as artifacts of transport, artifacts of care, the effect of intraoperative administered medication.

T-test was used for the comparison of the patient demographics. Generalized least-squares models were used for the statistical analysis of the primary endpoint, since they showed to be the best fit for the data as indicated by the Akaike information criterion (24). *Post-hoc* comparisons were based on analysis of marginal means, implemented with Tukey's correction for multiple comparisons. All statistical computations were carried out in R, with significance defined as  $\alpha < 0.05$  (25).

### Correlations

Three correlations were studied to determine their effect on our results. Firstly, the correlation between vasoactive and inotropic medication on rScO $_2$  and FTOE was studied to clarify possible effects of cerebral vasoconstriction. Secondly, the correlation between the dosage of anesthesia and cerebral oxygenation and activity was studied to clarify dosage related changes in rScO $_2$  and EEG-power values. Thirdly, the correlation between cerebral oxygenation and activity was investigated to study whether the assumption of reduced cerebral activity resulting in increased rScO $_2$  holds true in this study.

### **Endpoints**

The primary endpoint of this study was measurement of the differential effect of sevoflurane vs. midazolam on cerebral oxygenation perioperatively. The secondary endpoint of this study was measurement of the differential effect of sevoflurane vs. midazolam on cerebral activity and the vital parameters perioperatively.

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### **RESULTS**

### **Demographics**

Informed consent was obtained in 49 CDH neonates. Five patients were excluded following the absence of multiple signals due to data transfer and/or storage problems, six patients were excluded due to the need of extracorporeal membrane oxygenation (ECMO) treatment and one patient was excluded due to cardiopulmonary resuscitation intra-operatively. Therefore, 37 neonates could be analyzed: 20 neonates in the sevoflurane group (all patients from Rotterdam and received surgery in the OR), 17 neonates in the midazolam group (all patients from Mannheim and received surgery in the NICU) (Appendix 1). In the midazolam group, five neonates received VA-ECMO treatment until 1 day before surgery. Both groups were comparable (Table 1), except for a lower amount of herniated livers (25 vs. 71%, p = 0.005), a lower VIS preoperatively (0, IQR 0-5 vs. 17, IQR 10-25, p = <0.001), a lower postnatal age on the day of surgery (3 days, IQR 2-4 vs. 6 days, IQR 3-12, p = 0.008), more thoracoscopic surgery

(40 vs. 23%, p = 0.026), and shorter surgery (95 min, IQR 70–125 vs. 182 min, IQR 114–203, p = <0.001) in the sevoflurane group compared to the midazolam group. Eight patients who underwent thoracoscopic surgery were converted to an open approach because of the need for a patch.

### **Anesthesia**

Preoperatively, in the sevoflurane group, five neonates were not sedated while on mechanical ventilation, two neonates received continuous administration of morphine, seven neonates received continuous administration of midazolam, and six neonates received continuous administration of both midazolam and morphine (**Appendix 2**). In the midazolam group, all neonates received continuous midazolam, supplemented with continuous administration of fentanyl (**Appendix 2**) and were intubated multiple days before surgery. The patients in the sevoflurane group that were intubated and sedated before start of surgery received comparable dosages of midazolam (47 ug kg $^{-1}$  h $^{-1}$ , IQR 0–92 and 40 ug kg $^{-1}$  h $^{-1}$ , IQR 30–50, p=0.735, respectively, **Table 1**) compared to the midazolam group.

Intraoperatively, the end expired sevoflurane concentration was 1.5% (IQR 1.1-1.9). Time between the start of administration of sevoflurane and start of the surgical procedure was 66 min (IQR 45-76.6). In six neonates, the preoperative continuous midazolam administration was continued intraoperatively and in two neonates the preoperative continuous morphine administration was continued intraoperatively sevoflurane anesthesia (Appendix 2). Three neonates received a bolus of propofol (2.9, 3.7, and 7.3 mg  $kg^{-1}$  for endotracheal intubation). In the midazolam group, the midazolam dosage was 100 ug kg<sup>-1</sup> h<sup>-1</sup> (IQR 68-100). The time between the administration of midazolam and the start of the surgical procedure was 21 min (IQR 1-30). The midazolam dosage in the sevoflurane group of those in whom the midazolam was continued from PICU (n = 6) was significantly lower (47 ug  $kg^{-1} h^{-1}$ , IQR 0-67, p = 0.003) compared to the midazolam group (100 ug kg $^{-1}$  h $^{-1}$ , IQR 68–100) (Table 1). The fentanyl bolus dosage during the induction of anesthesia was lower in the sevoflurane group compared to the midazolam group (2 ug  $kg^{-1}$ , IQR 2-3 vs. 5 ug  $kg^{-1}$ , IQR 4-7 vs. p = <0.001), although the cumulative fentanyl bolus dosages that were administrated intraoperatively did not differ (10 ug kg<sup>-1</sup>, IQR 7-17 vs. 6 ug  $kg^{-1}$ , IQR 4–12, p = 0.119). Yet, the midazolam group received additional continuous administration of fentanyl (4 ug kg $^{-1}$  h $^{-1}$ , IQR 3-5), whereas the sevoflurane group did not.

Postoperatively, the midazolam dosages did not differ significantly between the sevoflurane (39 ug kg $^{-1}$  h $^{-1}$ , IQR 26–99) and the midazolam group (48 ug kg $^{-1}$  h $^{-1}$ , IQR 20–50) (**Table 1** and **Appendix 2**).

### **Vital Parameters**

Preoperatively, HR was significantly lower in the sevoflurane group (137 bpm, IQR 126–141) compared to the midazolam group (142 bpm, IQR 138–152) (**Figure 1A**, **Appendix 3**). MABP did not differ, although the VIS was significantly lower in the sevoflurane group (0, IQR 0–5) than in the midazolam group (17, IQR 10–25). Intraoperatively, HR and MABP significantly

dropped in sevoflurane group (HR: 138 bpm, IQR 132–156 and MABP: 44 mmHg, IQR42–48) compared to the midazolam group (HR: 162 bpm, IQR 153–171 and 55 mmHg, IQR 50–60). Intraoperatively, the VIS score was again significantly lower in the sevoflurane group (9, IQR 5–17) than in the midazolam group (17, IQR 12–35).

Three until 6 h postoperatively, HR remained significantly lower in the sevoflurane group (127 bpm, IQR 120–135) compared to the midazolam group (141 bpm, IQR 139–148). VIS was still significantly lower in the sevoflurane group (2, IQR 0–11) compared to the midazolam group (17, IQR 10–28).

Fifteen until 18 h postoperatively, HR remained significantly lower in the sevoflurane group (131 bpm, IQR 127–135) compared to the midazolam group (144 bpm, IQR 137–153).  $SpO_2$  and  $PaCO_2$  did not differ between the group perioperatively.

### **Cerebral Oxygenation**

Preoperatively, rScO<sub>2</sub>, FTOE and duration of cerebral hypoxia did not differ significantly between the groups (**Figure 1**).

Intraoperatively, the rScO<sub>2</sub> values were significantly higher in the sevoflurane group (84%, IQR 77–95) compared to the midazolam group (65%, IQR 59–76, p < 0.001). The opposite was true for FTOE, which was lower in the sevoflurane group (14%, IQR 5–21) compared to the midazolam group (31%, IQR 29–40, p <0.001). The duration of hypoxia was significantly shorter in the sevoflurane group (2%, IQR 0.4–9.6) compared to the midazolam group (38.6%, IQR 4.9–70, p = 0.023).

Three until 6h and 15 until 18h postoperatively, rScO<sub>2</sub>, FTOE, and the duration of cerebral hypoxia did not differ between the groups.

### **Cerebral Activity**

Preoperatively, power in the EEG slow delta  $\delta_1$  and gamma  $\gamma$  frequency bands was significantly higher in the sevoflurane group (7.9  $\mu V^2$ , IQR 5.5–8.6; 0.19  $\mu V^2$ , IQR 0.16–0.28) compared to the midazolam group (4.1  $\mu V^2$ , IQR 3.4–6.2, p=0.009 vs. 0.12  $\mu V^2$ , IQR 0.09–0.14, p=0.0017), but not for the fast delta  $\delta_2$  frequency (2.0  $\mu V^2$ , IQR 1.5–2.3 vs. 1.7  $\mu V^2$ , IQR 1.4–2.5) (**Figure 1**).

Intraoperatively, slow delta  $\delta_1$ , fast delta  $\delta_2$  and gamma  $\gamma$  power decreased in the sevoflurane group and remained decreased during the entire intraoperative period. In the midazolam group a 3-fold increase of fast delta  $\delta_2$  power was observed in the first 30 min of the surgical procedure, which decreased later on. A comparable increase was observed for the gamma  $\gamma$  frequency, which was characterized by a 5-fold increase (**Figure 2**). The intraoperative median values of slow delta  $\delta_1$  and fast delta  $\delta_2$  power decreased significantly in the sevoflurane group (2.2  $\mu V^2$ , IQR 1.9–3.0, 0.73  $\mu V^2$ , IQR 0.59–0.91) compared to the midazolam group (4.4  $\mu V^2$ , IQR 3.1–6.0, p = 0.0002, 1.6  $\mu V^2$ , IQR 1.0–1.7, p = <0.001), but not for gamma  $\gamma$  power (0.09  $\mu V^2$ , IQR 0.08–0.10, 0.10  $\mu V^2$ , IQR 0.08–0.11).

Higher maximal sevoflurane concentration (end expired concentration) was not associated with lower cerebral activity (**Figure 3**). Higher maximum dosages of midazolam were associated with lower cerebral activity (p = 0.023) (**Figure 3**).

TABLE 1 | Patient demographics of the sevoflurane and the midazolam group.

|  | Sevoflurane group  | Midazolam group          | p-value |
|--|--------------------|--------------------------|---------|
| N  | 20                 | 17                       |         |
| Male   | 55% (11)           | 59% (10)                 | 0.821   |
| Gestational age (wk)                                       | 38+1 (36+5 - 38+5) | 37+6 (34+5 - 38+1)       | 0.111   |
| Birth weight (kg)  | 3.0 (2.7-3.3)      | 2.8 (1.9-3.1)            | 0.070   |
| Apgar 5 min  | 8 (8,9)            | 8 (7-8)                  | 0.478   |
| o/e LHR  | 50 (41–58)         | 40 (33-54)               | 0.337   |
| Preoperative mechanical ventilation (%)                    | 85% (17)           | 100%                     | 0.101   |
| Preoperative VA-ECMO                                       | 0%                 | 29% (5)                  | 0.022   |
| Intraoperative VA-ECMO                                     | 0%                 | 0%                       | 1.00    |
| Left sided defect (%)                                      | 85% (17)           | 65% (11)                 | 0.977   |
| Liver-up   | 25% (5)            | 71% (12)                 | 0.005   |
| Age at surgery (d)   | 3 (2-4)            | 6 (3-12)                 | 0.008   |
| Thoracoscopy/laparotomy                                    | 40/60% (8/12)      | 23/77% (4/13)            | 0.026   |
| Conversion   | 100% (8)           | 0%                       | 0.002   |
| Duration of surgery (min)                                  | 95 (70–125)        | 182 (114–203)            | 0.000   |
| Defect size (n)  | 6A, 8B, 5C, 1D     | 1A, 9B, 6C,1D            | 0.185   |
| Patch (%)  | 60% (12)           | 88% (15)                 | 0.056   |
| VIS-score preoperative                                     | 0 (0-5)\$          | 17 (10–25) <sup>\$</sup> | 0.000   |
| VIS-score intraoperative                                   | 9 (5-25)\$         | 17 (12–35) <sup>\$</sup> | 0.010   |
| VIS-score postoperative (ug/kg)                            | 2 (0-11)*          | 17 (10–28) <sup>\$</sup> | 0.001   |
| Rocuronium bolus dosage intraoperative (mg/kg)             | 0.8 (0.6–1.0)      | -                        |         |
| Vecoronium bolus dosage during induction (mg/kg)           | -                  | 0.2 (0.15-0.21)          |         |
| Vecoronium perfusor dosage intraoperative (mg/kg/h)        | -                  | 0.09 (0.05–0.10)         |         |
| Fentanyl bolus dosages during induction (ug/kg)            | 2.3 (1.7-2.9)      | 5 (4–7)                  | 0.000   |
| Cumulative fentanyl bolus dosages intraoperative (ug/kg/h) | 6.2 (4.1–11.5)     | 10 (7–28)                | 0.119   |
| Fentanyl perfusor dosages intraoperative (ug/kg/h)         | -                  | 4 (3–5)                  |         |
| Sevoflurane concentration [end expired concentration (%)]  | 1.5 (1.1–1.9)*     | -                        | -       |
| Midazolam perfusor dosage preoperative (ug/kg/h)           | 47 (0–92)          | 40 (30–50)               | 0.735   |
| Midazolam perfusor dosage intraoperative (ug/kg/h)         | 47 (0–67)          | 100 (68–100)             | 0.003   |
| Midazolam perfusor dosage postoperative (ug/kg/h)          | 39 (26–99)         | 50 (20–50)               | 0.647   |
| Midazolam bolus intraoperative (%)                         | 5% (1)             | 59% (10)                 | 0.000   |

<sup>\$</sup>Data presented as median (IQR) or (range).

The neonates who received a bolus of propofol had EEG delta frequency power (2.15–2.16  $\mu V^2$ ) comparable to the other neonates in the sevoflurane group. Three until 6 h postoperatively, the EEG slow delta  $\delta_1$ , fast delta  $\delta_2$ , and gamma  $\gamma$  frequencies increased again in the sevoflurane group (5.0  $\mu V^2$ , IQR 4.2–6.0, 1.4  $\mu V^2$ , IQR 1.2–1.7, 0.11  $\mu V^2$ , IQR 0.10–0.13), decreased in the midazolam group (2.9  $\mu V^2$ , IQR 2.4–4.8, 1.36  $\mu V^2$ , IQR 0.95–1.93, 0.11  $\mu V^2$ , IQR 0.08–0.13) compared to the intraoperative period.

Fifteen until 18 h postoperatively, the EEG slow delta  $\delta_1$ , fast delta  $\delta_2$ , and gamma  $\gamma$  frequencies increased further in the sevoflurane group (6.7  $\mu V^2$ , IQR 5.6–7.6, 1.7  $\mu V^2$ , IQR 1.5–2.4, 0.14  $\mu V^2$ , IQR 0.10–0.17), increased in the midazolam group (3.1  $\mu V^2$ , IQR 2.4–4.8, 1.31  $\mu V^2$ , IQR 0.98–2.23, 0.13  $\mu V^2$ , IQR 0.08–0.17) compared to the intraoperative period.

### **Correlations**

Preoperative, no correlation between rScO<sub>2</sub> or FTOE and cerebral activity was observed (**Figure 4**).

Intraoperatively, a significantly (p = 0.01) positive correlation between rScO<sub>2</sub> and cerebral activity in the midazolam group was found (**Figure 4**). In contrast, there was no correlation between FTOE and cerebral activity. Higher maximum dosages of midazolam resulted in a significant decrease in rScO<sub>2</sub> and slow delta EEG power (**Figure 3**). The same trend was observed for maximum sevoflurane concentration, although not significant. In the sevoflurane group, rScO<sub>2</sub> was negatively correlated with VIS ( $R^2 = 0.23$ , p = 0.04) and positively correlated with FTOE ( $R^2 = 0.21$ , P = 0.04). These correlations were not found in the midazolam group.

Postoperatively, rScO<sub>2</sub> was negatively correlated with VIS ( $R^2$  = 0.35, p = 0.01) and FTOE positively correlated with VIS ( $R^2$ 

<sup>\*</sup>Range of the mean values.

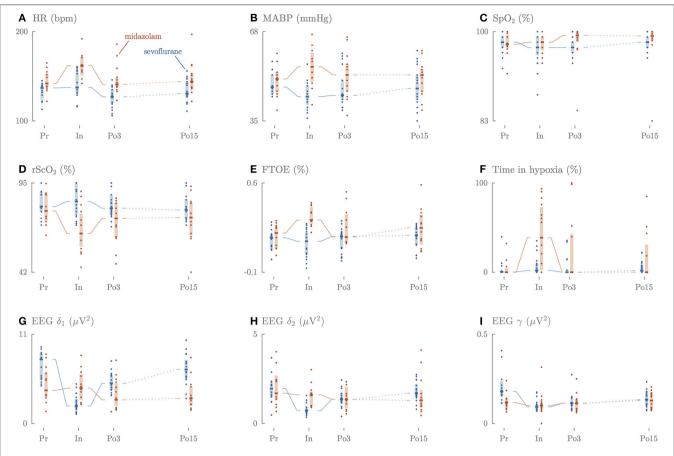


FIGURE 1 | Longitudinal overview of the perioperative changes in HR (A), MABP (B), SpO<sub>2</sub> (C), rSO<sub>2</sub> (D), FTOE (E), time in hypoxia (F), slow delta (G), fast delta (H), gamma (I) power.

= 0.32, p = 0.001) in the sevoflurane group, and not in the midazolam group.

### **DISCUSSION**

In our study surgery during sevoflurane-based anesthesia resulted in stable cerebral oxygenation, decreased oxygen consumption and decreased cerebral activity. The EEG power did not indicate pain stimuli awareness. Surgery during midazolam-based anesthesia resulted in low rScO $_2$ , increased cerebral oxygen consumption and increased cerebral activity throughout the first 30 min of the surgical procedure. The increased EEG power of the fast delta and the gamma band indicated conscious pain perception.

Intraoperatively, rScO<sub>2</sub> values were observed to be significantly higher in the sevoflurane group compared to the midazolam group, which reached alarmingly low rScO<sub>2</sub> values (**Figure 1**). In the sevoflurane group, we observed a stable rScO<sub>2</sub> and a decreased FTOE, despite a reduction in MABP (**Figure 1**), which is in line with a study in children between 0 and 2 years (26). In the midazolam group, a decrease in rScO<sub>2</sub> and an increase in FTOE were observed, despite an increase in MABP and HR (**Figure 1**). Vascular resistance and subsequently

cerebral perfusion are affected by the partial pressure of carbon dioxide (PaCO<sub>2</sub>) and by vasoactive and inotropic medication (27, 28). In this study, both groups had comparable PaCO<sub>2</sub> levels, which were stable and within clinical range. In the sevoflurane group, increased VIS was associated with lower rScO<sub>2</sub> values and higher FTOE intra- and postoperatively, which might reflect increased vascular resistance. Yet, the hemodynamic support, mostly with norepinephrine, was the highest in the midazolam group (Table 1), without affecting rScO<sub>2</sub> or FTOE. A recent study signals that norepinephrine elevates MABP, while reducing cerebral perfusion in adults (29). This is in line with our result in the sevoflurane group.

A recent study showed that higher sevoflurane doses significantly correlated with more suppressed background patterns (30). This correlation was not observed in our study, but this could be due to the relatively small range in sevoflurane concentrations that were administered (**Figure 3**). Overall, EEG power didn't decrease during midazolam-based anesthesia, but increased midazolam dose was found to be associated with a decrease in brain activity. The median time between bolus administration or increased perfusor dosages of midazolam and the start of surgical procedure was 21 min. During the first 30 min of surgery, a 3-fold increase in  $\delta_2$  power and a 6-fold

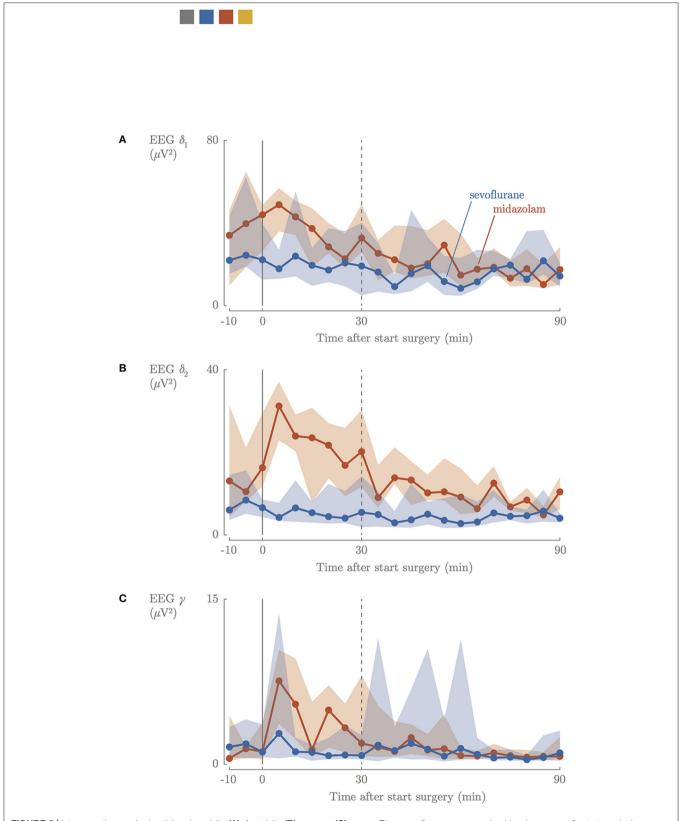


FIGURE 2 | Intraoperative cerebral activity; slow delta (A), fast delta (B), gamma (C) power. Blue, sevoflurane group; red, midazolam group; 0, start surgical procedure.

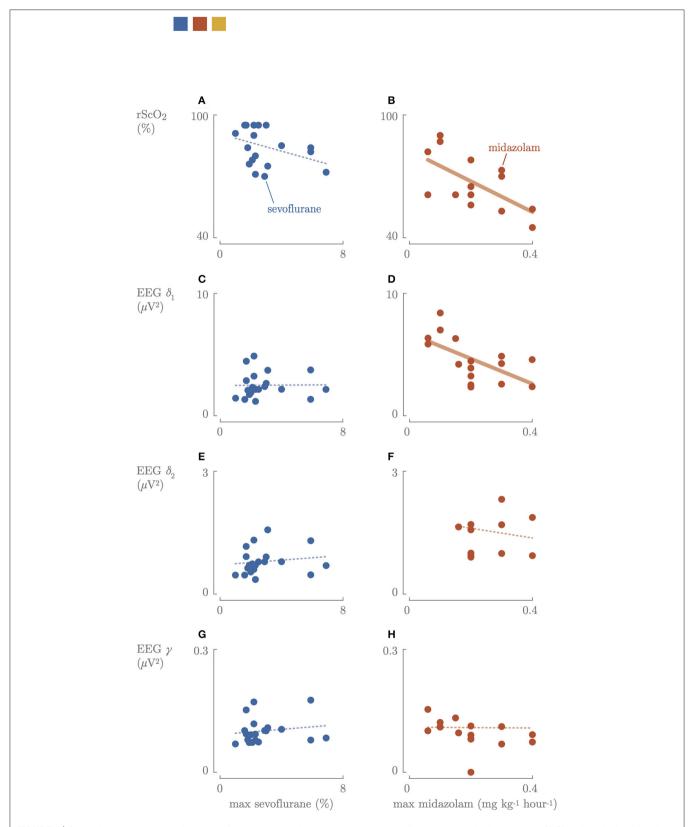


FIGURE 3 | Correlation between the maximum sevoflurane concentration or maximum dosages of midazolam cerebral oxygenation (A,B) and cerebral activity: slow delta (C,D), fast delta (E,F), gamma (G,H) power. Blue, sevoflurane group; red, midazolam group; fat line, significant correlation.

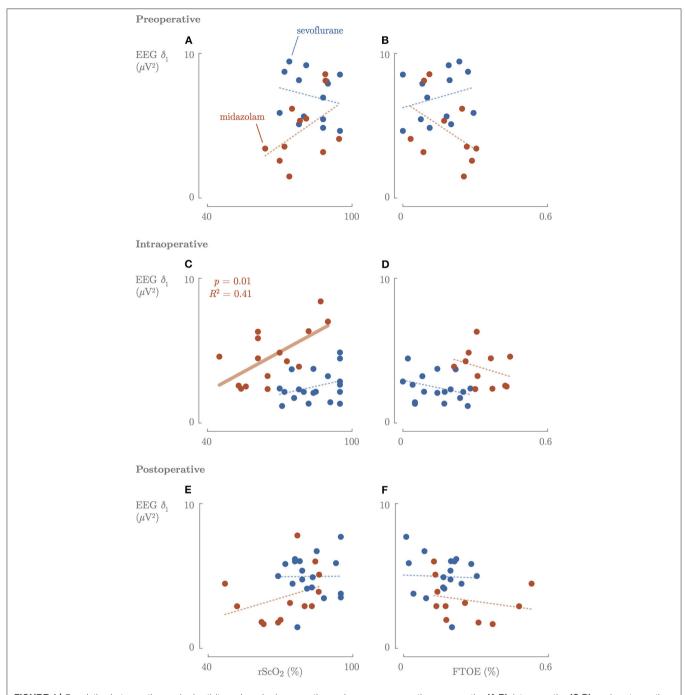


FIGURE 4 | Correlation between the cerebral activity and cerebral oxygenation and oxygen consumption preoperative (A,B), intraoperative (C,D), and postoperative (E,F). Blue, sevoflurane group; red, midazolam group; fat line, significant correlation.

increase in gamma  $\gamma$  power were observed, suggesting that nociceptive stimuli were registered by the brain (**Figure 2**) (18). After 30 min, the power in the different EEG bands stabilized to lower values. This might indicate that the increase in midazolam dose requires (at least) 21 plus 30 min to reach a new steady state concentration, and/or that surgery started too soon after administering midazolam and analgesia, since cerebral activity decreased after 30 min. A loading dose helps to induce the effect

quicker, although this was given in 59% of the neonates in the midazolam group.

Patients in the midazolam group received midazolam preoperatively for multiple days and had a median midazolam dosage of  $0.1 \text{ mg kg}^{-1}\text{h}^{-1}$  intraoperatively. This is substantially higher than the dosing advice of  $0.06 \text{ mg kg}^{-1}\text{h}^{-1}$  for sedation with midazolam in neonates with a gestational age above 32 weeks (31), although this dosing advice was already questioned by

our research group (32). The elimination half-life of midazolam is ~6h in the 1st week of life in full-term neonates (33), although severity of disease and inflammation may also affect the elimination of midazolam in critically ill neonates (34). A recent Cochrane review concluded that midazolam was an effective sedative in neonates (35), although transient cerebral hypoperfusion was observed after a bolus of midazolam, as well as significant higher rates of adverse neurological events in neonates treated with midazolam compared to morphine (36, 37). In this study, neonates in the midazolam group all received continuous administration of fentanyl. Single use of fentanyl dosages of 50-100 ug kg<sup>-1</sup> is a commonly used anesthetic approach during congenital cardiac surgery or if the neonate has limited hemodynamic reserve (38). A randomized trial in 1987 already proved the additional value of fentanyl in the stress response of neonates intraoperatively (39). Another study compared the effect of fentanyl with fentanyl plus midazolam on stress response during neonatal cardiac surgery, and concluded that intraoperative administration of fentanyl plus midazolam did not reduce stress response compared fentanyl (38). This suggests that high doses of fentanyl might be a better anesthetic approach than midazolam with lower dosages of fentanyl.

The strengths of this study are that both centers have long-lasting experience treating high-risk CDH patients and have acted as "founding fathers" for well-established international guidelines. In addition, neither the treatment modalities, nor the composition of the treatment teams changed during the study period. Both centers used validated pain assessment instruments (Comfort-B) as pharmacodynamic endpoint to evaluate and treat pain.

This study has two main limitations. First, exposure to medication was compared based on administered dosages instead of plasma concentrations, following the strict rules on blood sampling in neonates. Second, this is a center comparison study and not a randomized controlled trial. In this study, the neonates in the midazolam group were more critically ill. The neonates in the midazolam group were operated in the NICU, partly because of the preference of the clinicians and partly because of cardiorespiratory instability of the patient. In this group, the defect of the diaphragm was more severe (more patient with a herniated liver) and the neonates in this group needed more hemodynamic support preoperatively (higher VIS). Intraoperatively, however, the hemodynamic support did not differ between the midazolam and the sevoflurane group. In addition, we found a negative correlation between rScO2 and VIS and a positive correlation between rScO2 and FTOE in the sevoflurane group and not in the midazolam group. Furthermore, more critically ill patients may have a longer elimination time of midazolam.

### CONCLUSION

This comparison of two approaches for CDH surgery showed that sevoflurane-based anesthesia resulted in increased cerebral oxygenation and decreased cerebral activity, suggesting adequate anesthesia. Midazolam-based anesthesia in neonates with a more

severe CDH (more liver-up and higher VIS) led to alarmingly low rScO<sub>2</sub> values, below hypoxia threshold, and increased values of EEG power during the first 30 min of surgery. This might indicate conscious experience of pain.

These results stimulate the integration of populationpharmacokinetic models in combination with multimodal neuromonitoring to reach evidence-based perioperative pharmacotherapy in these vulnerable patients.

### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Erasmus MC, Rotterdam, The Netherlands, MEC-2017–145, and Universitätsmedizin Mannheim, Mannheim, Germany 2018-578N-MA. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

### **AUTHOR CONTRIBUTIONS**

SC and DH: substantial contributions to the conception or design of the work, the acquisition, analysis, interpretation of data for the work, drafting the work, final approval of the version to be published, and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. JI, KZ, AP-O, SV, RF, AC, RW, LW, JG, DT, and GN: substantial contributions to the conception and design of the work, analysis, interpretation of data for the work, revising it critically for important intellectual content, final approval of the version to be published, and agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All authors contributed to the article and approved the submitted version.

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### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fped. 2021.798952/full#supplementary-material

Appendix 1 | Flowchart of the included patients.

**Appendix 2** | Overview of administrated medication in the perioperative period.

Appendix 3 | Overview of exact values Pr, In, Po3.

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### Primary Omental Lipoma in a Child: A Case Report and Literature Review

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**Background:** Lipoma is a common benign tumor derived from adipose tissue, with an incidence of nearly 10%. It is the most common mesenchymal tumor throughout the body. However, the pathogenesis of lipoma is not clear yet, and the increased incidence is attributable to obesity, elevated serum cholesterol, diabetes, trauma, radiation, familial predisposition, and chromosome. Primary omental tumor is a rare lipoma occurring in the greater omentum, most of which is reported in the form of clinical case reports. Nevertheless, primary omental tumor is even rarer in children. To date, there have been few reports of clinical cases.

Case Presentation: We report a rare case of primary omental lipoma in a 6-year-old boy. After an accidental fall, a CT scan found that he had a tumor in the left upper abdomen. He had no history of abdominal pain, abdominal mass, vomiting, etc. The boy was admitted to the hospital within 3 days, and was diagnosed with an intraabdominal tumor. After admission, abdominal ultrasound and enhanced CT showed a  $71 \times 40 \times 60 \,\mathrm{mm}$  mass in the left middle abdomen, which was considered a lipoma. There was no abnormality in tumor markers. Through laparoscopic surgery, intraoperative exploration revealed that the tumor was located in the left mid-upper abdomen, and was yellow, solid, soft, and isolated. The intraoperative diagnosis was an omental lipoma. We used an ultrasonic knife to resect the omentum close to the base of the tumor. The tumor was completely resected, put in a retrieval bag and sealed. Finally, the left and right sides of the umbilical incision were extended to take out the tumor tissue. The child received liquid food 6 h after the operation and was discharged 3 days later. The postoperative pathological diagnosis was an omental lipoma. He was seen at follow-up 3 months after discharge and had no complaints, an abnominal ultrasound showed no tumor recurrence.

**Conclusion:** Primary omental lipoma in children is a rare benign tumor of the omentum. Its etiology and pathology are not clear. US, CT, and MRI can facilitate clinical diagnosis and preoperative evaluation. Laparoscopic surgery is an effective treatment, and the prognosis of children is favorable. This case is beneficial to improve the clinical knowledge of pediatric surgeons about this rare disease.

Keywords: primary omental lipoma, laparoscopy, child, US, resect

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Primary Omental Lipoma in a Child

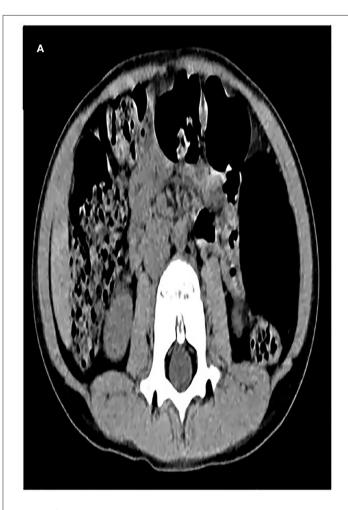
#### INTRODUCTION

Primary omental lipoma is a rare benign tumor of the omentum. The pathogenesis is not clear, and there is no statistical data on its incidence. This lipoma in children is even rarer, most of which are reported in the form of clinical case reports. This study reports the diagnosis and treatment of a 6-year-old boy with primary omental lipoma. Meanwhile, it discusses the clinical characteristics as well as methods of diagnosis and treatment of primary omental lipoma based on previous literature data. This study was approved by the Research and Ethics Committee of our institution, and written informed consent was obtained from the patient's family.

#### CASE PRESENTATION

A 6-year-old male child was found to have a tumor in the left mid-upper abdomen on a CT examination of his abdomen after an accidental fall. The boy had no history of abdominal pain, abdominal mass, and vomiting. He was admitted to the hospital with an abdominal tumor within 3 days. The initial diagnosis was an intraperitoneal tumor. After admission, laboratory tests showed no abnormality in blood cell count, biochemical analysis, and tumor markers. Abdominal ultrasound and enhanced CT showed a mass in the left middle abdomen, which size was 71  $\times$  40  $\times$  60 mm. Intra-abdominal lipoma should be considered, and the blood-supplying vessels were derived from branches of the omental artery (**Figures 1A,B**).

We performed laparoscopy surgery on the child: three incisions for 5 mm trocars were made on the insufflated abdomen respectively at the left and right sides of the umbilicus and at the anti-Mc Burney's point. Intraoperative laparoscopic exploration revealed a tumor in the left part of middle and upper abdomen, with a size of about  $70 \times 60 \times 50$  mm. It was yellow, solid, soft, isolated, and its base was derived from the greater omentum without torsion (**Figure 2A**). Preoperative and intraoperative assessments revealed that the tumor could be successfully and completely resected by laparoscopic surgery. The ultrasound





**FIGURE 1 | (A)**, Computed tomography (CT) scan shows an elliptical, very low-density mass in the left middle abdomen, which is wrapped in the left abdominal cavity, and the surrounding intestine is slightly compressed and displaced. **(B)**, The tumor was not enhanced on enhanced CT scan, and its blood supply arteries were not shown.

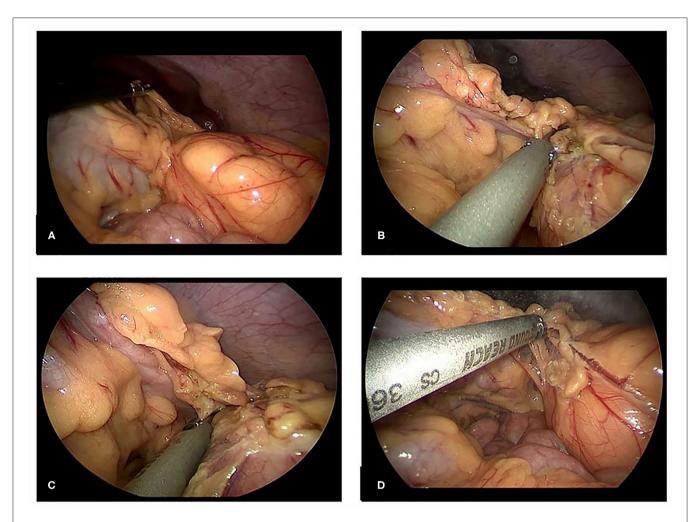


FIGURE 2 | (A), Laparoscopic examination of the left mid-upper abdominal tumor, which was yellow, solid, isolated, derived from the greater omentum, without torsion. (B,C), Ultrasonic knife separates the omentum and the tumor. (D), Ultrasonic scalpel coagulates the tumor blood supply artery.

knife cut off the greater omentum and the blood-supplying vessels at the base of the tumor. The tumor was completely removed and was placed in the specimen retrieval bag which was then closed. The ultrasound knife coagulated the residual end of the greater omentum to stop bleeding. No other abnormality was found in the abdominal cavity below the umbilicus to take out the tumor tissue completely (**Figures 2B–D**).

The operation time was 27 min. The weight of the excised tumor tissue was 295 g, size of about  $80 \times 55 \times 30$  mm with; the tumor capsule intact; and the cut surface is grayish-yellow and soft (**Figure 3A**). Microscopic examination showed that the tumor was composed of mature adipocytes without atypia (**Figure 3B**); and the diagnosis was of a benign omental lipoma. The patient was discharged 3 days postoperatively without further complication, follow-up abdominal ultrasonography after 3 months showed no recurrence of lipoma.

Pubmed, Springer Link, CNKI, and Wanfang databases were searched before June 2021 to retrieve the related literature of omental lipoma, a total of 12 clinical reports of primary omental

lipoma in children were retrieved in the previous literature  $(Table \ 1)$ .

#### **DISCUSSION AND CONCLUSIONS**

Lipoma is a common benign tumor derived from adipose tissue, with an incidence of nearly 10% (1). It is the most common mesenchymal tumor throughout the body. However, the pathogenesis of lipoma is not clear yet, and the increased incidence is attributable to obesity, elevated serum cholesterol, diabetes, trauma, radiation, familial predisposition, and chromosome abnormality (2, 3). The omentum is a double-layer membrane composed of peritoneum and adipose tissue, which is attached to the greater curvature of the stomach and transverse colon. It covers the abdominal organs in the abdominal cavity in the shape of a skirt, including blood vessels, nerves, lymphatic vessels, and connective tissue (4, 5). Primary omental lipoma is very rare, and most of which is described in the form of case reports.

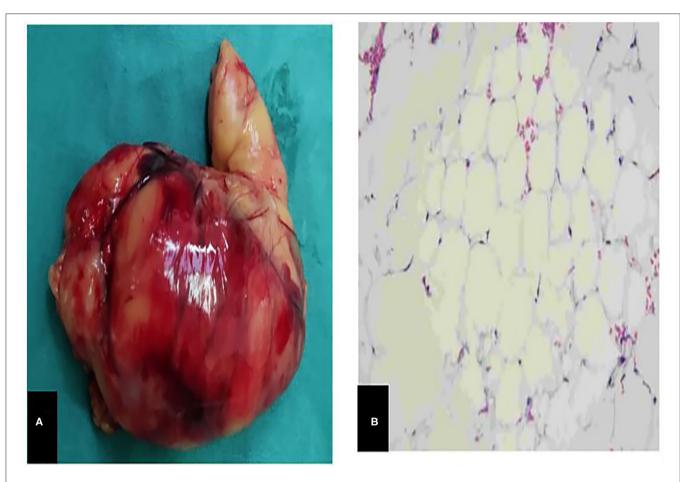


FIGURE 3 | (A), A piece of yellow tissue can be seen with the naked eye, 295g in weight, about 80mm\* 55mm \* 30mm in size, with intact capsule, solid and soft. (B), Microscopically, the tumor is composed of mature adipocytes without atypia.

 $\textbf{TABLE 1} \ | \ \text{Cases of children reported to have omental lipoma found in the available literature}.$ 

| Case | Authors             | Year | Age | Sex | Clinical presentation | Weigh (g) | Size (cm) | Treatment |
|------|---------------------|------|-----|-----|-----------------------|-----------|-----------|-----------|
| 1    | Haller              | 1978 | Зу  | F   | NR                    | NR        | 8*5*4     | Excision  |
| 2    | Giubilei            | 1980 | 8y  | M   | NR                    | NR        | 18*15*8   | Excision  |
| 3    | Joulak              | 1998 | Зу  | М   | NR                    | NR        | 13*9*6    | Excision  |
| 4    | Barauskas           | 2004 | 8y  | F   | NR                    | 720       | 11*10*8   | Excision  |
| 5    | Luo                 | 2005 | 11m | М   | Abdominal distention  | 1820      | 21*15*12  | Excision  |
| 6    | Srinivasan          | 2009 | 9m  | NR  | NR                    | 1500      | NR        | Excision  |
| 7    | Abubakar            | 2009 | 13y | F   | NR                    | 12300     | 34*26*22  | Excision  |
| 8    | Chaudhary           | 2011 | 2y  | М   | NR                    | NR        | NR        | Excision  |
| 9    | Cascini             | 2012 | 19m | М   | Abdominal distention  | 1185      | 22*18*8   | Excision  |
| 10   | Cascini             | 2012 | 7у  | F   | Abdominal distention  | 2070      | 25*22*10  | Excision  |
| 11   | Cascini             | 2012 | 10y | F   | Abdominal pain        | 1370      | 18*12*10  | Excision  |
| 12   | Kinjo               | 2014 | 5y  | F   | NR                    | 335       | 8*6*3     | Excision  |
| 13   | Present case (2021) | 2021 | 6y  | М   | NR                    | 295       | 8*5.5*3   | Excision  |

NR, not reported; y, years; m, moths.

A variety of pathologies have been reported in the clinic, such as leiomyosarcoma, fibrosarcoma, hemangiopericytoma, liposarcoma, leiomyoma, lipoma, fibroma, and mesothelioma

tumors. Lipoma may be the rarest of all the above forms, with an extremely low incidence, accounting for about 7-9% of omental tumors (6,7).

Review-of the retrieved literature of 12 cases of primary omental lipoma in children, found that children with omental lipoma are usually asymptomatic and are discovered incidentally during medical examination, trauma, or abdominal diseases. The main symptoms of these children include abdominal pain, abdominal distention, and abdominal mass. Nausea, weight loss, and intraperitoneal hemorrhage occurred occasionally, and a few children were admitted to the emergency department due to torsion of the omentum. It has been reported that adult patients were hospitalized due to intussusception and intestinal obstruction (8, 9).

Common imaging methods for diagnosing omental tumors include ultrasound, CT, and MRI. It is clinically difficult to discriminate benign and malignant omental tumors. The differential diagnosis of omental lipoma includes lymphangioma, lymphoma, duplication of the digestive tract, and neuroblastoma. However, the main differential diagnosis is lipoblastoma (10). Omental lipoma is easily found by ultrasound examination. Ultrasound can show that the tumor has heterogeneous echo and abundant blood flow signals, it also reveals the size of the tumor. Smaller omental lipomas are sometimes misdiagnosed as normal mesenteric fat (11). Ultrasound elastography is a non-invasive imaging technology based on different tissue hardness which have been developed in recent years. It can measure the elasticity of the tissue, according to the various performance of the tissue under different external pressure, then to distinguish benign and malignant lesions. Zhang et al. (12) explored whether ultrasound elastography is effective in the diagnosis of benign and malignant omentum thickening. The results showed that the elasticity score of the malignant omentum thickening group was higher than that of the benign omentum thickening group (P < 0.01). In addition, ultrasoundguided percutaneous needle biopsy has been a commonly used method for the diagnosis of intra-abdominal lesions, such as liver, kidney, pancreas lesions, and other solid organs, but it is not commonly used for peritoneal and omental lesions. It may cause controversy by seeding tumor cells along the needle path (13). CT examination can show clear, uniform, and lowdensity intra-abdominal mass, which may have fibrous partitions and a few calcification points inside. Enhanced CT helps to assess the relationship between the tumor and surrounding organs, and also helps to identify the blood-supplying arteries of the tumor (14). MRI has high specificity for the diagnosis of simple lipoma and can distinguish it from well-differentiated liposarcoma. By using an MRI pulse sequence, lipomas show signal intensity similar to that of fat on high T1 signal and intermediate T2 signal. If there are thicker intervals inside the tumor, nodules, and non-fat-like masses, the proportion of fat in the lesion will decrease. It suggests the diagnosis of liposarcoma (15, 16).

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In conclusion, we reported a rare case of primary omental lipoma in children. By reviewing the previous literature, we found that primary omental lipoma in children is very rare. Preoperative ultrasound and enhanced CT can determine intraabdominal lipoma, which can also help to identify the origin of the tumor's blood vessels. Intraoperative exploration of laparoscopic surgery can confirm that the lipoma originates from the greater omentum. The lipoma can be completely resected under laparoscopic surgery. If combined with torsion of the greater omentum, partial resection of the greater omentum can be performed at the same time. Laparoscopic surgery is minimally invasive and generally without complications. The prognosis of the child is good.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Wuhan Children's Hospital (Wuhan Maternal and Child Healthcare Hospital), Tongji Medical College, Huazhong University of Science and Technology. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

QY and XY designed the study, collected the clinical data, performed the statistical analysis, participated in the operation, and drafted the manuscript. XD participated in the operation and revised the article. All authors read and approved the final manuscript.

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# Amputation Predisposes to Higher Cancer-Specific Mortality Than Limb Salvage Surgery in Pediatric Patients With Osteosarcoma of the Limbs: A Propensity Matching Analysis

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**Objective:** With the development of osteosarcoma treatment, limb salvage surgery is gradually replacing amputation as the primary surgical option. Most pediatric osteosarcomas of the limbs undergo limb-salvage surgery. We aimed to use propensity score matching (PSM) analysis test the difference in cancer-specific mortality (CSM) between amputation and limb-salvage surgery in pediatric patients with Osteosarcoma of the limbs. PSM is a statistical method used to deal with data from an Observational Study. The PSM method is designed to reduce the influence of biases and confounding variables to make a more reasonable comparison between experimental and control groups.

**Methods:** Patient information was downloaded from the SEER (surveillance, epidemiology, and End Results) database from 2004 to 2018. We included all primary pediatric osteosarcoma patients who underwent limb salvage or amputation. Multivariate logistic regression models were used to explore the factors influencing patient choice of amputation. Differences in CSM and other causes of mortality (OSM) between limb salvage and amputation were analyzed using cumulative incidence plots and competitive risk regression tests after 1:1 proportional propensity score matching.

**Results:** A total of 1,058 pediatric patients with limbs Osteosarcoma were included. Patients who underwent amputations were more likely to be male (OR 1.4, P = 0.024) and more likely to have distant metastasis (OR 2.1, P < 0.001). Before propensity matching, CSM was 1.4 times higher in patients undergoing amputation than in patients undergoing limb salvage (P = 0.017) and 3.4 times higher in OSM (P = 0.007). After adjustment for propensity matching, CSM was 1.5 times higher in patients undergoing amputation than in patients undergoing limb salvage (P = 0.028), but there was no significant difference in OSM (HR 3.2, P = 0.078).

**Conclusions:** Our results suggested that amputation is associated with a 1.5-fold increase in CSM in pediatric patients with limbs Osteosarcoma. Therefore, in the surgical selection of pediatric patients with Osteosarcoma, limb salvage surgery should be the first choice in the absence of other contraindications.

Keywords: Osteosarcoma, limbs, amputation, limb salvage, mortality

#### INTRODUCTION

Osteosarcoma is the most common bone malignancy in children and young adults (1). Previous studies have shown that the incidence varies with age, with an annual incidence of 1.7 per 100,000 children under ten years of age and 8.2 per 100,000 between 10 and 19 years of age (2). Another study found Osteosarcoma in 2-3 per million in all populations and 8-11 per million in people aged 15-19 years (3). Osteosarcomas peak mainly during adolescence between the ages of 10 and 14, with another peak after 80 (4). Osteosarcomas often occur in the metaphysis of long bones, mainly in the lower limbs. Progression of Osteosarcoma is associated with rapid bone growth during adolescence (5). The etiology of Osteosarcoma includes a variety of factors; currently recognized risk factors include ionizing radiation, alkylation agents, chromosomal abnormalities, hereditary retinoblastoma and Paget's disease (6). Current treatment strategies include neoadjuvant chemotherapy, surgical resection of primary tumors and metastases, and additional adjuvant chemotherapy after surgery (7). The treatment of Osteosarcoma has improved over the past 20 years, including neoadjuvant chemotherapy and radiotherapy, but the overall prognosis of Osteosarcoma remains poor (8-10). Osteosarcoma is one of the health hazards in children, accounting for 8.9% of childhood cancer-related deaths. The 5vear survival rate for Osteosarcoma without distant metastasis is 65 to 70% (11), but only 19 to 30% for Osteosarcoma with distant

Surgery has been recognized as treating primary and recurrent or metastatic Osteosarcoma (13). In the past 30 years, with the development of neoadjuvant chemotherapy, limb salvage therapy has become one of the standard treatment methods for limb osteosarcoma, 90% of patients can be treated with limb salvage therapy, and the success rate of limb salvage is 60~80% (14, 15). Limb salvage surgery can improve patients' quality of life and health psychology, and more than 80% of patients are willing to accept limb salvage surgery (16). However, sometimes amputation is necessary, such as Osteosarcoma of the distal tibia, which is challenging to achieve a negative margin (17). At present, the survival outcome of amputation and limb-salvage surgery is still controversial. Some studies have shown no significant difference in survival rates between amputation and amputation (15, 18), while others have shown significantly higher survival rates for amputation (18, 19). However, the sample size of these articles is small, and there are many selection biases. Although there have been meta-analyses comparing survival rates for amputations and limb salvage, the heterogeneity of the articles makes the results less convincing (20, 21). Therefore, patients from the National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) program were used for analysis. We performed a propensity score analysis to reduce selection bias and the effect of confounding factors. We created a matched cohort to analyze the difference in survival rates between amputation and salvage surgery for pediatric limb osteosarcoma. Most of the previous studies were singlecenter studies with fewer patients. In addition, meta-analysis is a synthesis of the results of multiple studies, which has great heterogeneity. Therefore, we used big data analysis to compare survival differences between amputation and limb salvage in children with limb osteosarcoma.

#### **METHODS**

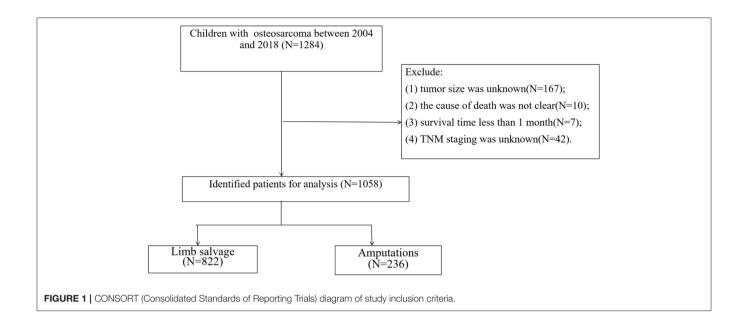
#### **Data Source and Study Population**

The patient information was downloaded from the SEER Database, the leading cancer database in the United States, collecting data from 18 cancer registries and covering approximately 28% of the US population (22). The SEER database is public, and patient information is anonymous, so our study does not require ethical approval and informed consent from patients. Our methodology follows the guidelines of the SEER database.

We collected clinicopathological information from all pediatric osteosarcoma patients from 2004 to 2018. Inclusion criteria: (1) Pathological diagnosis of Osteosarcoma (ICD-O-3 histological codes: 9180-9187 and 9192-9194); (2) The primary site is limb (ICD-O-3 anatomical code: 400-409); (3) The patient was younger than or equal to 18 years old. Exclusion criteria were: (1) tumor size was unknown; (2) the cause of death was not clear; (3) survival time < 1 month; (4) TNM staging was unknown. The screening flow chart of all patients is shown in **Figure 1**.

#### Study Variables

In this study, the clinicopathological information of patients was collected. Demographic characteristics include age, sex, race and year of diagnosis; basic tumor information including the pathological grade of tumor, TNM stage of tumor and tumor size; treatment information including surgery, radiotherapy and chemotherapy. To see if the year of diagnosis affected mortality, we divided the patient's years of diagnosis into two categories: 2004-2011 and 2012-2018. Patients were grouped into three racial groups: white, black, and other races (American Indian /AK Indian, Asian/Pacific Islander). There were four tumor grades: grade I (highly differentiated), grade II (moderately differentiated), grade III (poorly differentiated) and grade IV



(undifferentiated). Surgical methods include limb salvage and amputation. According to the SEER definition of death, patients die from cancer (CSM) or other causes (OSM). CSM is the death of a patient from cancer, including cancer recurrence and metastasis. OCM is the death of a patient from causes other than cancer, mainly cardiovascular disease, lung disease, etc.

#### **Statistical Analyses**

Categorical variables were described using frequency and proportion, and Continuous variables are described using mean and standard deviation. The proportion difference of categorical variables was analyzed by chi-square test, and the contrast of median was analyzed by T-test and Kruskal-Wallis test.

In a cohort of all patients, we used a multivariable logistic regression model to analyze the predictors of choice for amputation and limb-salvage surgery. Variables included age (continuous), gender (male vs. female), race (White vs. black vs. other), year of diagnosis (2004-2011 vs. 2012-2018), laterality (left vs. right), T staging (T1 vs. T2 vs. T3), N staging (N0 vs. N1), M staging (M0 vs. M1), tumor size (continuous).

We used nearest neighbor 1:1 matching in the primary study cohort, which makes the comparison cohort more reliable. The propensity-matched cohort was balanced by age, sex, race, year of diagnosis, tumor grade, laterality, tumor size, TNM stage, chemotherapy, and radiation. Finally, we examined the effects of limb salvage surgery and amputation on CSM and OCM using cumulative incidence plots and multivariable competitive risk regression (CRR) models (23). Competitive risk is that more than one type of event may occur in some cases. The occurrence of one type of event may hinder the observation or change the probability of other kinds of events being observed. The incident prevented another incident under investigation or fundamentally changed the likelihood of that other incident (23). In other words, we used a multivariate CRR model to explore independent risk factors for CSM and

OCM in patients. We also compared patient's CSM and OCM differences between amputations and limb salvage under the CRR model.

Then, we performed three sensitivity analyses to optimize the matching results and ensure that our conclusions were reliable. (1) We compared the risk of CSM between amputation and limb salvage using a CRR model in the original cohort. (2) We used a 1:2 and 1:4 propensity match to compare CSM with amputation and limb salvage. (3) We compared the CSM of limb amputation and limb salvage in patients with complete tumor grade information. (4) After inverse probability of treatment weighting, we compared CSM and OCM in patients with amputation and limb salvage.

All statistical analyses were performed using R version 3.4.1 (http://www.r-project.org/) and SPSS software (version 23.0, SPSS, Chicago, IL, USA). We used "Matching," "nonrandom," "reshape2," and "survey" R software packages to create matched sample. We used "foreign," "survival," "cmprsk." and "rms" R software packages to construct a competitive risk model. *P*-values < 0.05 were considered statistically significant.

#### **RESULTS**

#### **Clinical Features**

A total of 1,058 patients were enrolled, with 822 patients undergoing limb salvage and 236 patients undergoing amputation. The basic information and clinicopathological features of patients are shown in **Table 1**. Compared with patients undergoing limb salvage surgery, more patients with amputation were male (61.9% vs. 54.1, P = 0.042), with higher T stage (T2-T3, 72.5% vs. 63.7, P = 0.034), with distant metastasis (28.8% vs. 15.5, P < 0.001), larger tumors (median size 103 vs. 90 mm).

TABLE 1 | Sociodemographic and clinical characteristics of patients receiving limb salvage vs. amputation in pediatric osteosarcoma patients.

|                               |                                     | Unmatched                          |                                    |         |       |                                    | Matched                            |                                    |         |       |
|-------------------------------|-------------------------------------|------------------------------------|------------------------------------|---------|-------|------------------------------------|------------------------------------|------------------------------------|---------|-------|
|                               | Overall N = 1,058                   | Limb salvage N = 822               | Amputation N = 236                 | p-value | SMD   | Overall N = 472                    | Limb salvage N = 236               | Amputation N = 236                 | p-value | SMD   |
| Age [mean (SD)]               | 12.751 (3.377)                      | 12.809 (3.264)                     | 12.551 (3.745)                     | 0.3009  | 0.073 | 12.449 (3.529)                     | 12.347 (3.304)                     | 12.551 (3.745)                     | 0.5319  | 0.058 |
| Sex                           |                                     |                                    |                                    | 0.042   | 0.157 |                                    |                                    |                                    | 0.638   | 0.052 |
| Male                          | 591 (55.9%)                         | 445 (54.1%)                        | 146 (61.9%)                        |         |       | 286 (60.6%)                        | 140 (59.3%)                        | 146 (61.9%)                        |         |       |
| Female                        | 467 (44.1%)                         | 377 (45.9%)                        | 90 (38.1%)                         |         |       | 186 (39.4%)                        | 96 (40.7%)                         | 90 (38.1%)                         |         |       |
| Year of diagnosis             |                                     |                                    |                                    | 0.289   | 0.084 |                                    |                                    |                                    | 0.051   | 0.189 |
| 2004-2011                     | 517 (48.9%)                         | 394 (47.9%)                        | 123 (52.1%)                        |         |       | 268 (56.8%)                        | 145 (61.4%)                        | 123 (52.1%)                        |         |       |
| 2012-2018                     | 541 (51.1%)                         | 428 (52.1%)                        | 113 (47.9%)                        |         |       | 204 (43.2%)                        | 91 (38.6%)                         | 113 (47.9%)                        |         |       |
| Race                          |                                     |                                    |                                    | 0.054   | 0.181 |                                    |                                    |                                    | 0.205   | 0.165 |
| white                         | 788 (74.5%)                         | 610 (74.2%)                        | 178 (75.4%)                        |         |       | 347 (73.5%)                        | 169 (71.6%)                        | 178 (75.4%)                        |         |       |
| black                         | 154 (14.6%)                         | 129 (15.7%)                        | 25 (10.6%)                         |         |       | 63 (13.3%)                         | 38 (16.1%)                         | 25 (10.6%)                         |         |       |
| other                         | 116 (11.0%)                         | 83 (10.1%)                         | 33 (14.0%)                         |         |       | 62 (13.1%)                         | 29 (12.3%)                         | 33 (14.0%)                         |         |       |
| Grade                         |                                     |                                    |                                    | 0.847   | 0.092 |                                    |                                    |                                    | 0.871   | 0.104 |
| I                             | 17 (1.61%)                          | 14 (1.70%)                         | 3 (1.27%)                          |         |       | 7 (1.48%)                          | 4 (1.69%)                          | 3 (1.27%)                          |         |       |
| II                            | 28 (2.65%)                          | 22 (2.68%)                         | 6 (2.54%)                          |         |       | 13 (2.75%)                         | 7 (2.97%)                          | 6 (2.54%)                          |         |       |
| III                           | 247 (23.3%)                         | 198 (24.1%)                        | 49 (20.8%)                         |         |       | 106 (22.5%)                        | 57 (24.2%)                         | 49 (20.8%)                         |         |       |
| IV                            | 497 (47.0%)                         | 382 (46.5%)                        | 115 (48.7%)                        |         |       | 220 (46.6%)                        | 105 (44.5%)                        | 115 (48.7%)                        |         |       |
| Unknown                       | 269 (25.4%)                         | 206 (25.1%)                        | 63 (26.7%)                         |         |       | 126 (26.7%)                        | 63 (26.7%)                         | 63 (26.7%)                         |         |       |
| Laterality                    | , ,                                 | ,                                  | , ,                                | 0.996   | 0.006 | ,                                  | , ,                                | , ,                                | 1.000   | 0.008 |
| Left                          | 558 (52.7%)                         | 433 (52.7%)                        | 125 (53.0%)                        |         |       | 249 (52.8%)                        | 124 (52.5%)                        | 125 (53.0%)                        |         |       |
| Right                         | 500 (47.3%)                         | 389 (47.3%)                        | 111 (47.0%)                        |         |       | 223 (47.2%)                        | 112 (47.5%)                        | 111 (47.0%)                        |         |       |
| T                             | ( ),                                | , ,                                | (,                                 | 0.034   | 0.195 | - (                                | (,                                 | (,                                 | 0.511   | 0.107 |
| T1                            | 363 (34.3%)                         | 298 (36.3%)                        | 65 (27.5%)                         |         |       | 140 (29.7%)                        | 75 (31.8%)                         | 65 (27.5%)                         |         |       |
| T2                            | 658 (62.2%)                         | 498 (60.6%)                        | 160 (67.8%)                        |         |       | 313 (66.3%)                        | 153 (64.8%)                        | 160 (67.8%)                        |         |       |
| T3                            | 37 (3.50%)                          | 26 (3.16%)                         | 11 (4.66%)                         |         |       | 19 (4.03%)                         | 8 (3.39%)                          | 11 (4.66%)                         |         |       |
| N                             | 0. (0.0070)                         | 20 (01.070)                        | ( , . ,                            | 0.438   | 0.049 | 10 (110070)                        | 0 (0.0070)                         | ( , . )                            | 1.000   | 0.028 |
| N0                            | 1037 (98.0%)                        | 807 (98.2%)                        | 230 (97.5%)                        | 01.00   | 0.0.0 | 461 (97.7%)                        | 231 (97.9%)                        | 230 (97.5%)                        |         | 0.020 |
| N1                            | 21 (1.98%)                          | 15 (1.82%)                         | 6 (2.54%)                          |         |       | 11 (2.33%)                         | 5 (2.12%)                          | 6 (2.54%)                          |         |       |
| M                             | 21 (1.0070)                         | 10 (1.0270)                        | 0 (2.0 170)                        | < 0.001 | 0.326 | 11 (2.0070)                        | 0 (2.1270)                         | 0 (2.0 170)                        | 0.681   | 0.047 |
| MO                            | 863 (81.6%)                         | 695 (84.5%)                        | 168 (71.2%)                        | νο.σσ1  | 0.020 | 341 (72.2%)                        | 173 (73.3%)                        | 168 (71.2%)                        | 0.001   | 0.017 |
| M1                            | 195 (18.4%)                         | 127 (15.5%)                        | 68 (28.8%)                         |         |       | 131 (27.8%)                        | 63 (26.7%)                         | 68 (28.8%)                         |         |       |
| Radiation                     | 100 (10.470)                        | 127 (10.070)                       | 00 (20.070)                        | 0.121   | _     | 101 (27.070)                       | 00 (20.7 70)                       | 00 (20.070)                        | 0.5738  |       |
| No/Unknown                    | 1036 (97.9%)                        | 808 (98.3%)                        | 228 (96.6%)                        | 0.121   |       | 459 (97.25)                        | 231 (97.88)                        | 228 (96.61)                        | 0.0700  |       |
| Yes                           | 22 (2.08%)                          | 14 (1.70%)                         | 8 (3.39%)                          |         |       | 13 (2.75)                          | 5 (2.12)                           | 8 (3.39)                           |         |       |
| Chemotherapy                  | ZZ (Z.UO70)                         | 14 (1.7070)                        | 0 (3.3970)                         | 0.238   |       | 10 (2.70)                          | J (Z. 1Z)                          | U (U.US)                           | 0.0925  |       |
| No/Unknown                    | 38 (3.59%)                          | 33 (4.01%)                         | 5 (2.12%)                          | 0.230   | -     | 18 (3.81)                          | 13 (5.51)                          | 5 (2.12)                           | 0.0920  | -     |
|                               | ,                                   |                                    | ,                                  |         |       | , ,                                |                                    | , ,                                |         |       |
| Yes<br>Tumor.size [mean (SD)] | 1020 (96.4%)<br>107.319<br>(60.855) | 789 (96.0%)<br>105.439<br>(62.501) | 231 (97.9%)<br>113.869<br>(54.354) | 0.0607  | 0.144 | 454 (96.19)<br>111.750<br>(61.667) | 223 (94.49)<br>109.631<br>(68.252) | 231 (97.88)<br>113.869<br>(54.354) | 0.456   | 0.069 |

## Original Study Cohort Multivariate Logistic Regression Analysis

In the original cohort of propensity score matching, multivariate Logistic regression analysis was performed on all patients to identify the factors contributing to selecting reasons for limb salvage or amputation. We found that the predictors of patient choice for amputation were male (OR 1.4, P=0.024), other races (OR 1.4, P=0.047), and distant metastasis (OR 2.1, P<0.001). The results of multivariate logistic regression are shown in **Table 2**.

## Multivariate Competitive Risk Regression Model After Propensity Score Matching

In the original cohort before matching, the CSM of amputation was significantly higher than that of limb salvage (P=0.017). At the same time, the OSM of amputation was also higher than that of limb salvage (P=0.007) (Figure 2). After 1:1 propensity matching, 236 children underwent amputation, and 236 children underwent limb salvage surgery, allowing for subsequent statistical analysis. The propensity score density before and after matching was shown in Figure 3. The patient's

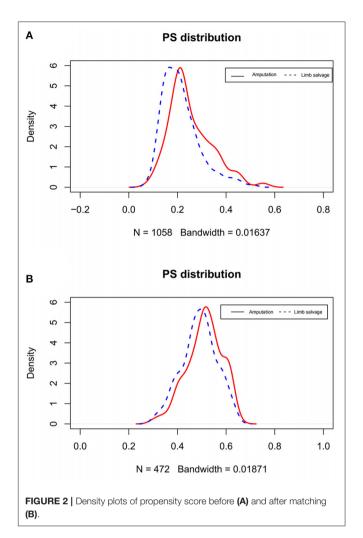
**TABLE 2** | Multivariate Logistic regression model to predict limb salvage surgery or amputation in pediatric osteosarcoma patients.

|                   | OR        | (5–95 | % CI) | p-value |
|-------------------|-----------|-------|-------|---------|
| Age               | 0.965     | 0.923 | 1.009 | 0.12    |
| Sex               |           |       |       |         |
| Male              | Reference |       |       |         |
| Female            | 0.703     | 0.517 | 0.955 | 0.024   |
| Year of diagnosis |           |       |       |         |
| 2004-2011         | Reference |       |       |         |
| 2012-2018         | 0.765     | 0.566 | 1.032 | 0.08    |
| Race              |           |       |       | 0.047   |
| white             | Reference |       |       |         |
| black             | 0.64      | 0.4   | 1.023 | 0.062   |
| other             | 1.365     | 0.871 | 2.139 | 0.175   |
| Laterality        |           |       |       |         |
| Left              | Reference |       |       |         |
| Right             | 0.963     | 0.715 | 1.297 | 0.804   |
| Т                 |           |       |       | 0.351   |
| T1                | Reference |       |       |         |
| T2                | 1.322     | 0.892 | 1.959 | 0.165   |
| T3                | 1.46      | 0.645 | 3.304 | 0.364   |
| N                 |           |       |       |         |
| N0                | Reference |       |       |         |
| N1                | 1.083     | 0.394 | 2.977 | 0.877   |
| М                 |           |       |       |         |
| MO                | Reference |       |       |         |
| M1                | 2.111     | 1.474 | 3.023 | < 0.001 |
| Tumor size        | 1         | 0.997 | 1.003 | 0.826   |

information in the two groups was shown in **Table 1**, and there was no statistical difference in clinicopathological details between the two groups. In the 1:1 propensity matching cohort, the cumulative incidence plot showed a significant difference in CSM between limb salvage surgery and amputation (P=0.028), but no significant difference in OSM (P=0.078) (**Figure 4**). After propensity matching, multivariable CRR model analysis showed higher CSM in amputation than limb salvage (HR 1.49, P=0.028), but no significant difference in OCM (HR 3.17, P=0.078). Finally, CSM and OSM were analyzed using the multivariate Cox regression model, demonstrating the risk of amputation (**Table 3**).

#### **Sensitivity Analyses**

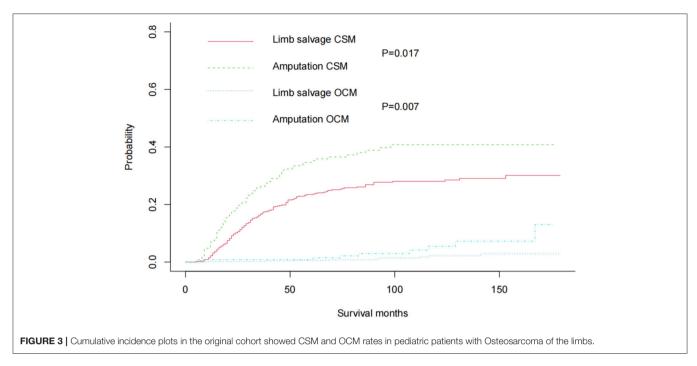
After an optimized propensity matching analysis, a multivariable CRR model was used to compare CSM between limb salvage and amputation. (1) In the original cohort, amputation had a higher CSM than limb salvage (HR 1.4, P=0.017); (2) There was no significant difference in CSM between amputation and limb salvage in the 1:2 cohort (HR 1.3, P=0.065); but amputations still had higher CSM in the 1:4 cohort (HR 1.4, P=0.017). (3) Patients who retained complete tumor grade information had higher CSM after amputation (HR1.4, P=0.019). (4) We used inverse probability of treatment Weighting to match

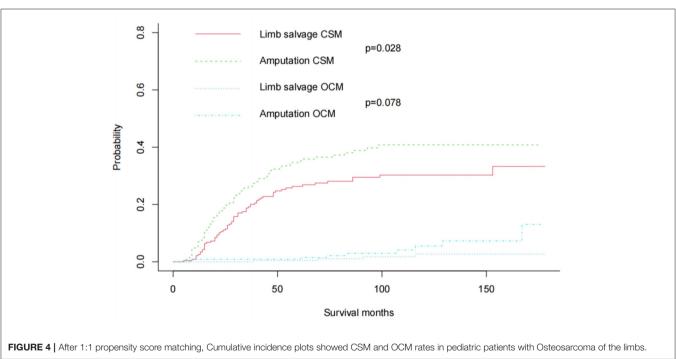


patients (**Figure 5**). After the inverse probability of treatment weighting, the Kaplan-Meier curve showed that patients who received amputation had a lower survival rate than those who had limb salvage (**Figure 6**).

#### DISCUSSION

In this study, amputation was found to have a higher CSM rate than limb salvage in children with limb osteosarcoma, similar to previous studies. However, compared with previous studies, this study included more patients and used PSM analysis to exclude confounding factors, resulting in more accurate results. The comparison between amputation and limb-salvage surgery was first studied by Simon et al. on 227 patients with Osteosarcoma and found that limb salvage surgery did not significantly improve the survival rate of patients (24). A subsequent analysis of 227 patients with Osteosarcoma at 26 institutions by Rougraff et al. (25) found the same results. The findings of Bacci et al. (26) also support the appellate results. However, with the development of multidisciplinary treatment and the maturity of prosthesis technology, the proportion of





limb salvage surgery has been increasing (27). Recent studies have shown that limb salvage surgery significantly improves patient survival compared with amputation in patients with osteosarcoma (28–30). Although current osteosarcoma surgery tends to be limb salvage, previous studies have been small sample sizes and single-center studies, and few studies have specifically addressed pediatric Osteosarcoma. And the conclusions of previous studies may be influenced by confounding factors. Han

et al. (20) conducted a meta-analysis showing that patients who underwent amputation had significantly lower survival rates than those who had limb preservation. But the heterogeneity between studies also leads to inevitable bias.

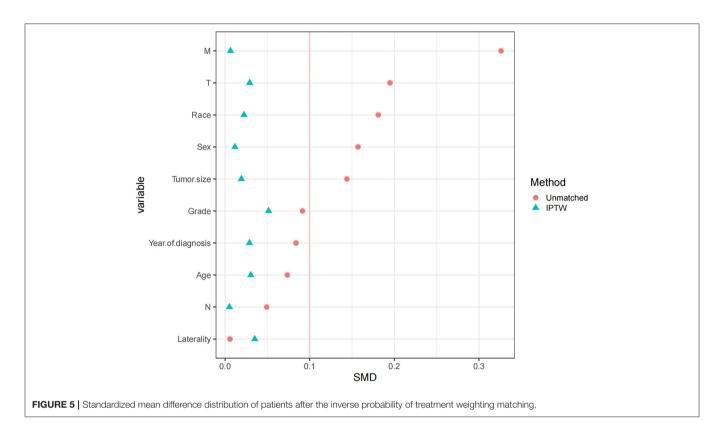
Moreover, there is another important outcome that we need to keep an eye on. Local recurrence of the tumor also puts the patient at risk for a second operation or amputation. Local recurrence is related to the tumor response

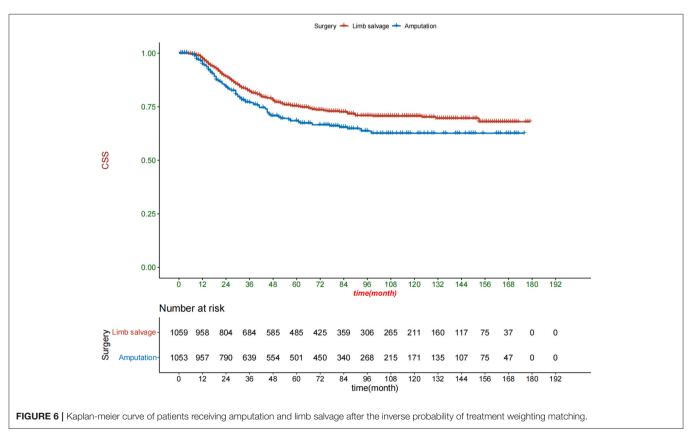
TABLE 3 | Propensity score-adjusted multivariate Cox regression models predict cancer-specific mortality and other causes mortality in pediatric osteosarcoma patients.

|                   | Cancer-sp | ecific mortality |         | Other ca  | use mortality |         |
|-------------------|-----------|------------------|---------|-----------|---------------|---------|
|                   | HR        | (5–95% CI)       | p-value | HR        | (5–95% CI)    | p-value |
| Age               | 1.028     | 0.979–1.08       | 0.272   | 1.004     | 0.864–1.167   | 0.957   |
| Sex               |           |                  |         | 1.132     | 0.366-3.503   | 0.83    |
| Male              | Reference |                  |         | Reference |               |         |
| Female            | 0.932     | 0.661-1.312      | 0.685   | 1.132     | 0.366-3.503   | 0.83    |
| Year of diagnosis |           |                  |         |           |               |         |
| 2004-2011         | Reference |                  |         | Reference |               |         |
| 2012-2018         | 1.071     | 0.746-1.537      | 0.709   | 0.411     | 0.043-3.917   | 0.44    |
| Race              |           |                  |         |           |               |         |
| white             | Reference |                  |         | Reference |               |         |
| black             | 0.843     | 0.506-1.403      | 0.511   | 0.899     | 0.184-4.384   | 0.895   |
| other             | 1.3       | 0.807-2.092      | 0.28    | 0.645     | 0.079-5.297   | 0.683   |
| Grade             |           |                  |         |           |               |         |
| 1                 | Reference |                  |         | Reference |               |         |
| II                | 0.843     | 0.506-1.403      | 0.511   | 0.882     | 0-1.82E+143   | 0.999   |
| III               | 1.3       | 0.807-2.092      | 0.28    | 2071.991  | 0-7.63E+120   | 0.956   |
| IV                | 0.843     | 0.506-1.403      | 0.511   | 3033.215  | 0-1.11E+121   | 0.954   |
| Unknown           | 1.3       | 0.807-2.092      | 0.28    | 3850.809  | 0-1.42E+121   | 0.952   |
| Laterality        |           |                  |         |           |               |         |
| Left              | Reference |                  |         | Reference |               |         |
| Right             | 0.804     | 0.571-1.132      | 0.212   | 0.683     | 0.221-2.112   | 0.508   |
| Т                 |           |                  |         |           |               |         |
| T1                | Reference |                  |         | Reference |               |         |
| T2                | 0.942     | 0.589-1.506      | 0.802   | 0.446     | 0.103-1.921   | 0.278   |
| T3                | 1.291     | 0.581-2.868      | 0.53    | 0         | 0-3.58E+78    | 0.924   |
| N                 |           |                  |         |           |               |         |
| N0                | Reference |                  |         | Reference |               |         |
| N1                | 1.422     | 0.601-3.364      | 0.423   | 0         | 0-3.53E+114   | 0.954   |
| М                 |           |                  |         |           |               |         |
| MO                | Reference |                  |         | Reference |               |         |
| M1                | 3.347     | 2.34-4.786       | < 0.001 | 2.689     | 0.602-12.021  | 0.195   |
| Surgery           |           |                  |         |           |               |         |
| Limb salvage      | Reference |                  |         | Reference |               |         |
| Amputation        | 1.525     | 1.097-2.121      | 0.012   | 3.171     | 0.972-10.342  | 0.056   |
| Tumor size        | 1.003     | 1-1.005          | 0.037   | 1.001     | 0.99-1.012    | 0.839   |

to chemotherapy and the surgical margin, not the surgical method itself. Although limb salvage surgery has a higher survival rate, surgeons still prefer amputation for larger or more aggressive tumors. Still, some studies offer exciting news. Reddy et al. (15) analyzed 360 patients with Osteosarcoma and found that the survival rate of the second amputation after local tumor recurrence was similar to that of the first amputation. Grimer et al. (31) have also shown that patients with locally recurrent tumors can be cured by reoperation or amputation and radiotherapy. Therefore, limb salvage surgery remains the surgical option for pediatric osteosarcoma patients. However, amputation is still appropriate for stage IIB tumors that do not respond to chemotherapy, significant vascular and nerve tract involvement, and lack of bone or soft tissue reconstruction opportunities.

In this study, we focused on pediatric patients with Osteosarcoma, using the SEER database for a series of analyses. We used rigorous statistical methods, including propensity score matching and competitive risk models, to compare mortality differences between limb salvage surgery and amputation. Our study showed that girls are more likely to opt for limb salvage surgery, similar to a previous study (32). Previous studies have shown that tumor stage, tumor grade, and tumor size are all risk factors for the prognosis of Osteosarcoma and factors affecting surgical decision-making (30, 33). Limb salvage surgery has a higher survival rate than amputation, but this may be due to selection bias due to more aggressive tumors, such as neurovascular wrapping, poor response to chemotherapy, and tumor metastasis. In this study, the 5-year survival rate was 65.1% for patients with amputation and 76.5% for patients





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with limb salvage. However, these data have not been well recorded in the SEER database, and propensity matching cannot exclude confounding factors. Therefore, amputation is still recommended for highly invasive tumors.

In addition, the final endpoint of the patients was CSM. According to the SEER database, amputation has a higher CSM than limb salvage, and our results confirmed that patients with amputation have a 1.5-fold increase in CSM compared with patients with limb salvage. Further, we used various statistical methods to eliminate the differences caused by confounding factors. This included detailed adjustments for the patient's demographic information and underlying tumor characteristics using propensity matching. A competitive risk model was also used to adjust for the potential bias caused by OCM. We looked at the risk of death from amputation primarily in the 1:1 propensity matching cohort, and the same phenomenon was observed in the 1:4 matched cohort and the original cohort. In the end, the same results were found when unknown tumor grades were removed, confirming our concerns. Thus, amputation does indeed have a higher risk of death than limb salvage in pediatric osteosarcoma patients. Besides, we focused on OCM. Before matching, amputation had a higher OCM than limb salvage (HR3.4, P = 0.007), and there was no significant difference in survival between amputation and limb salvage after matching (HR3.2, P = 0.056). Thus, OCM was evenly distributed between amputees and patients with limb salvage, excluding differences in other causes of death. Since our study was a retrospective observational study, there were many data biases and confounding variables due to various reasons. We used PSM to reduce the impact of these biases and confounding variables.

Although our study used rigorous statistical methods, there are some limitations. First, this study was still retrospective, with no standardized specimen handling, lack of central pathological review, and no data on local recurrence and disease-free survival. Second, we also lack the patient's comorbidities information, cannot adjust the patient's other comorbidities. Although propensity score matching and the use of OCM to

exclude comorbidities were performed to minimize bias, this is not equivalent to a prospective clinical randomized controlled trial. Finally, the SEER database does not contain information about the hospital, such as the level and capacity of the hospital. It does not include information about repeated treatments, such as surgery or radiotherapy after recurrence.

#### CONCLUSIONS

Our results suggested that amputation is associated with a 1.5-fold increase in CSM in pediatric patients with limbs Osteosarcoma. Therefore, in the surgical selection of pediatric patients with Osteosarcoma, limb salvage surgery should be the first choice.

#### **DATA AVAILABILITY STATEMENT**

Publicly available datasets were analyzed in this study. This data can be found here: https://seer.Cancer.gov/.

#### **ETHICS STATEMENT**

The data of this study is obtained from the SEER database. The patient's data is public and anonymous, so this study does not require ethical approval and informed consent.

#### **AUTHOR CONTRIBUTIONS**

JT, DH, and JW contributed to the conception, design, contributed to manuscript writing, and revision. CZ, JW, XT, TM, and JT collected and analyzed the data. ZZ, ML, XT, LJ, JW, and JT drew the figures and tables. JT and JW wrote the draft. All authors approved the final manuscript.

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# The Remission Effects of First Injection of Sclerotherapy for Pediatric Rectal Prolapse: A Systematic Review and Meta-Analysis

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Zhou W, Shi Y, Zhang M and Li L (2022) The Remission Effects of First Injection of Sclerotherapy for Pediatric Rectal Prolapse: A Systematic Review and Meta-Analysis. Front. Surg. 9:835235. doi: 10.3389/fsurg.2022.835235 **Background:** Pediatric rectal prolapse is a common issue in clinical practice. Among various managements, sclerotherapy is an important method to successfully treat pediatric rectal prolapse, especially for the first injection. The knowledge of the first injection of sclerotherapy can be revealed by a systemic review and meta-analysis of randomized clinical trials.

**Methods:** We performed a systematic search and a meta-analysis for the retrospective clinical studies of sclerotherapy in pediatric rectal prolapse. The comparison between remission and recurrence after the first injection of sclerotherapy was performed to find if the first injection of sclerotherapy can treat rectal prolapse completely. After a restricted selection, 17 studies involving 1,091 pediatric rectal prolapse subjects with sclerotherapy were enrolled in a variety of classifications of injection agents. The focused outcome was to check whether the first injection of sclerotherapy can achieve a remission status. The meta-analysis was performed by Review Manager 5.4.

**Results:** Among the subjects receiving sclerotherapy, the meta-analysis favors the remission status after receiving the first injection of sclerotherapy. The meta-analysis results showed significant remission tests for the overall effect and significant heterogeneities in odds ratio and the fixed-effects model. The significant therapeutic effects remained, however, even after testing in the relative risk and the random-effects model.

**Conclusions:** Despite significant heterogeneity and relatively low quality of evidence, the first injection of sclerotherapy may conceivably demonstrate therapeutic effects to help the patients of pediatric rectal prolapse achieve a remission status.

Keywords: pediatric, rectal prolapse, sclerotherapy, first injection, remission, meta-analysis

#### INTRODUCTION

Pediatric rectal prolapse is a significant issue in clinical practice. The weak pelvic musculature might predispose the children to such disease. In addition, the loose attachment of rectal mucosa to the muscularis will contribute to rectal prolapse (1). Most patients of pediatric rectal prolapse belong to the mucosal subtype, which is related to straining due to constipation during the toilet training process in children. Pediatric rectal prolapse in older children might be related to congenital neuromuscular abnormalities, autism or developmental delay, and anorectal malformations (2, 3).

The incidence rate of pediatric rectal prolapse was still not clear (4, 5). Spontaneous resolution would be around 60-90% in pediatric rectal prolapse. Compared to pediatric rectal prolapse, rectal prolapse in adults was rarely spontaneously resolving (2). Despite the high rate of spontaneous resolution, pediatric patients with rectal prolapse will suffer much if the condition will not spontaneously resolve. Therefore it is still necessary to receive the management if there is no spontaneous resolution. Before the ultimate choice of operation (6, 7), sclerotherapy would be an important procedure to relieve the pediatric prolapse (3, 5, 8-11). Therefore, it is important for us to understand the intermediate choice (sclerotherapy) between operation and conservative treatment. In this study, we planned to enroll the related articles of sclerotherapy in pediatric rectal prolapse. We wanted to confirm the remission effects of the first injection of sclerotherapy in pediatric rectal prolapse in the study design of systematic review and meta-analysis. We hypothesized that the first injection of sclerotherapy would favor remission rather than recurrence (no remission after the first injection of sclerotherapy and necessary subsequent injection of sclerotherapy).

#### **METHODS**

#### **Literature Search and Selection Criteria**

We used the following keywords: "rectal" or "rectum" or "prolapse" or "children" or "pediatric" or "sclerotherapy" and "rectal prolapse" to search and to collect the related articles in the PubMed, ScienceDirect, Embase, Web of Science, and Scopus databases. The articles were limited to those published or e-published online before December 2021.

The inclusion criteria of this study were as follows: (1) Sclerotherapy treatment for pediatric patients with rectal prolapse; (2) The studies with sclerotherapy outcome and related clinical profiles; (3) The studies with detailed data of sclerotherapy and pediatric rectal prolapse; (4) These studies were also published in English language in the journals of science citation index database; and (5) Retrospective or prospective study. The exclusion criteria were the following: (1) Some parts of detailed data were unavailable in the content of the articles (unavailable even after inquiring the corresponding authors about the data needed for this meta-analysis); (2) The authors did not respond or could not have access to the dataset, in which case the articles would be excluded as the category without detailed data; (3) The studies that do not belong to patients with rectal prolapse or sclerotherapy; and (4) Review articles.

#### **Quality Assessment and Data Extraction**

The study was conducted according to the preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines (23). The risk of bias for each study was assessed by the random sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete outcome data, and selective reporting. We extracted the following data from the eligible articles. First, the success rate and patient number of the first injection of sclerotherapy in pediatric patients with rectal prolapse were gathered. Second, the remission rate and patient number under the first injection of sclerotherapy were collected. Third, the recurrence and patient number of the first injection of sclerotherapy in pediatric patients with rectal prolapse were gathered. Fourth, the probable side effects of sclerotherapy in pediatric patients with rectal prolapse was noted.

#### **Data Extraction and Critical Appraisal**

WZ reviewed the abstracts to screen the articles. WZ and YS performed the extraction of clinical outcome data from the text, tables, and figures of the enrolled articles independently. The enrolled articles had the clinical outcome data in the text, tables, figures, or supplementary material. Then, a collaborative review was performed to resolve any discrepancies. All authors participated to review the final results.

#### **Meta-Analysis and Statistical Analysis**

The odds ratio (OR) with 95% CI (a summary statistic) was obtained by the Mantel–Haenszel method for dichotomous variables. Chi-square tests were used to study heterogeneity between the enrolled studies. The derived I<sup>2</sup> statistic was used to estimate the statistical heterogeneity of studies included in the meta-analysis. The I<sup>2</sup> statistic was used to estimate the percentage of the total variation across studies due to heterogeneity rather than chance. The I<sup>2</sup> values of 25, 50, and 75% represented low, medium, and high heterogeneity, respectively. If the heterogeneity is high, the random-effects model will be used for the analysis and the fixed-effects model will be used for studies with low or moderate heterogeneity. Subgroup analyses were not possible due to the lack of patient-level data. All *P* values were two-sided. All statistical analyses were conducted with Review Manager Version 5.4 (The Cochrane Collaboration, London).

#### **RESULTS**

#### **Description of Studies**

The initial literature search through the dataset found 124 articles and the additional records from other sources were 14 articles. Then, 55 duplicates were removed and the residual 83 articles were screened according to the relevance of abstracts and titles. Of that, 40 articles were discarded after this step. Full-text contents of the remaining 43 articles were assessed for eligibility. Then, 27 articles were excluded due to review articles, not nurseled studies, not randomized trials, and not perioperative setting. The qualitative analysis of these 16 articles was performed and no articles were excluded. Therefore, only 16 studies were included in this meta-analysis (1, 4, 5, 10–22). The flow diagram was

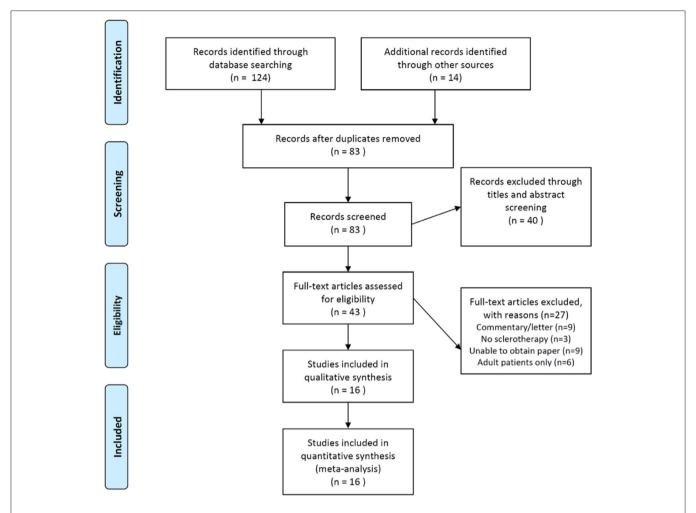


FIGURE 1 | The preferred reporting items for systematic reviews and meta-analyses (PRISMA) flow diagram of the current meta-analysis. The current meta-analysis followed the PRISMA guideline to identify the potentially relevant literature and to screen the identified literature using the abstract and title selection. The full text of screened literature was assessed to find the eligible studies and to include the suitable ones for the final meta-analysis.

presented according to the PRISMA guideline (Figure 1). The detailed characteristics of the 16 studies were also summarized in Table 1.

## Odds of Remission for the First Injection of Sclerotherapy in Pediatric Rectal Prolapse

The I² was 95% CI, which revealed high heterogeneity. Therefore, the random-effects model was applied. The test for the overall effect was Z=5.07~(p<0.00001) and the meta-analysis results favored remission after the first injection of sclerotherapy in pediatric patients of rectal prolapse (**Figure 2**). However, the range of 95% CI of several studies included "1" (5, 15, 20). One study revealed the tendency to favor recurrence (13).

#### **DISCUSSION**

In the current meta-analysis, the results showed that the first injection of sclerotherapy might have a higher OR to help the pediatric patients with rectal prolapse achieve a remission status. However, the high heterogeneity and the 95% CI of several studies that included an OR of 1 might bias our findings. In a previously published review (24), they focused on the success rate of a single injection (77%), overall complication rate (14%), and the significant difference between different sclerosing agents (no significant differences). Based on their findings, our meta-analysis enrolled all kinds of sclerosing agents in the first injection of sclerotherapy, which should not have a bias from the different sclerosing agents. Our study also supported that there was a high OR of remission after the first injection of sclerotherapy on the pediatric rectal prolapse. Our results suggested that the first injection of sclerotherapy should play a crucial role in the treatment of pediatric patients with rectal prolapse.

In clinical practice, the management for pediatric rectal prolapse has yet to reach a consensus. There are over 100 surgical procedures in the field of rectal prolapse (25). The majority of infants and children can be spontaneously resolved without an aggressive management. Some patients can resolve after conservative management, such as medical treatment for constipation. However, a subgroup of patients might need

TABLE 1 | Summary of enrolled studies for sclerotherapy of pediatric rectal prolapse.

|                          | Study design   | Subjects  | Injection agent                             | Remission vs. recurrence |
|--------------------------|----------------|---|---|--------------------------|
| Abes and Sarihan (12)    | Retrospective  | Rectal prolapse   | Normal saline                               | 15 vs. 1                 |
| Antao et al. (13)        | Retrospective  | Rectal prolapse (median age: 3.3 years (4 months-10 years)                        | Phenol                                      | 7 vs. 17                 |
| Bahador et al. (14)      | Retrospective  | Rectal prolapse (108 male, 45 female) (mean age: 2.2 years (9 months to 5 years). | 96% ethyl alcohol                           | 147 vs. 6                |
| Batool et al. (15)       | Retrospective  | Prolonged rectal prolapse   | Phenol in almond oil                        | 29 vs. 21                |
| Chan et al. (5)          | Retrospective  | Rectal prolapse   | D50 water                                   | 9 vs. 5                  |
| Dolejs et al. (4)        | Retrospective  | Rectal prolapse   | Phenol in peanut oil                        | 35 vs. 16                |
| Fahmy and Ezzelarab (16) | Retrospective  | Rectal prolapse   | Ethyl alcohol, phenol in almond oil, deflux | 78 vs. 7                 |
| Freeman (1)              | Retrospective  | Rectal prolapse   | Phenol in almond oil                        | 18 vs. 0                 |
| Kay and Zachary (11)     | Retrospective  | Rectal prolapse (29 males, 22 females)  | Normal saline                               | 40 vs. 11                |
| Malyshev and Gulin (17)  | Retrospective  | Rectal prolapse   | Ethyl alcohol                               | 339 vs. 14               |
| Sahay et al. (18)        | Retrospective  | Rectal prolapse   | Phenol                                      | 16 vs. 7                 |
| Sarmast et al. (19)      | Retrospective  | Rectal prolapse   | Normal saline                               | 48 vs. 2                 |
| Sasaki et al. (20)       | Restrospective | Rectal prolapse 5 boys, 4 girls mean age: 6.5 years; (2.5–14 years)               | Phenol in almond oil                        | 6 vs. 3                  |
| Shah et al. (21)         | Retrospective  | Rectal prolapse median age: 2.5 years; (2–4.5 years)                              | Normal saline                               | 12 vs. 5                 |
| Wyllie (10)              | Retrospective  | Rectal prolapse average age: 2.5 years  | Phenol in almond oil                        | 86 vs. 5                 |
| Zganjer et al. (22)      | Retrospective  | Rectal prolapse mean age: 6.2 years; (4–8 years)                                  | Cow's milk                                  | 80 vs. 6                 |

|                                   | remission recurrence |          |              | Odds Ratio | Odds Ratio |                           |  |
|-----------------------------------|----------------------|----------|--------------|------------|------------|---------------------------|--|
| Study or Subgroup                 | Events               | Total    | Events       | Total      | Weight     | M-H, Random, 95% Cl       | M-H, Random, 95% CI  |
| Abes 2004                         | 15                   | 16       | 1            | 16         | 5.2%       | 225.00 [12.85, 3939.51]   |  |
| Antao 2005                        | 7                    | 24       | 17           | 24         | 6.5%       | 0.17 [0.05, 0.59]         | <del></del>  |
| Bahador 2008                      | 147                  | 153      | 6            | 153        | 6.5%       | 600.25 [189.22, 1904.16]  |  |
| Batool 2005                       | 29                   | 50       | 21           | 50         | 6.7%       | 1.91 [0.86, 4.22]         | <del></del>  |
| Chan 1998                         | 9                    | 14       | 5            | 14         | 6.3%       | 3.24 [0.69, 15.20]        | -  |
| Dolejs 2017                       | 35                   | 51       | 16           | 51         | 6.7%       | 4.79 [2.07, 11.05]        |  |
| Fahmy 2004                        | 78                   | 85       | 7            | 85         | 6.6%       | 124.16 [41.59, 370.64]    | -  |
| Freeman 1984                      | 18                   | 18       | 0            | 18         | 4.2%       | 1369.00 [25.77, 72720.79] |  |
| Kay 1970                          | 40                   | 51       | 11           | 51         | 6.7%       | 13.22 [5.15, 33.98]       |  |
| Malyshev 1973                     | 339                  | 353      | 14           | 353        | 6.7%       | 586.33 [275.32, 1248.66]  | ·  |
| Sahay 2017                        | 16                   | 23       | 7            | 23         | 6.5%       | 5.22 [1.49, 18.35]        | <del></del>  |
| Sarmast 2015                      | 48                   | 50       | 2            | 50         | 6.0%       | 576.00 [77.92, 4257.71]   | →  |
| Sasaki 2004                       | 6                    | 9        | 3            | 9          | 6.0%       | 4.00 [0.56, 28.40]        | <del></del>  |
| Shah 2005                         | 12                   | 17       | 5            | 17         | 6.3%       | 5.76 [1.32, 25.19]        |  |
| Wyllie 1979                       | 86                   | 91       | 5            | 91         | 6.5%       | 295.84 [82.66, 1058.85]   | <b>+</b>   |
| Zganjer 2008                      | 80                   | 86       | 6            | 86         | 6.5%       | 177.78 [55.00, 574.67]    | _  |
| Total (95% CI)                    |                      | 1091     |              | 1091       | 100.0%     | 30.60 [8.16, 114.77]      |  |
| Total events                      | 965                  |          | 126          |            |            |                           |  |
| Heterogeneity: Tau <sup>2</sup> = | 6.60; Ch             | i² = 283 | 8.96, df = 1 | 15 (P <    | 0.00001);  | I <sup>2</sup> = 95%      |  |
| Test for overall effect:          | Z = 5.07             | (P < 0.0 | 0001)        |            |            |                           | 0.01 0.1 1 10 100  Favours [reurrence] Favours [remission] |

FIGURE 2 | The odds ratio of remission vs. recurrence for the first injection of sclerotherapy in the enrolled studies of pediatric rectal prolapse. The first injection of sclerotherapy showed a favorable result toward remission rather than recurrence. The heterogeneity was high and the result was statistically significant.

aggressive intervention due to persistent symptoms or the lack of spontaneous resolve (2). Therefore, when the clinicians make a clinical judgment, that is, whether they want to take a more aggressive management, sclerotherapy might be an intermediate choice between conservative treatment and surgery.

However, due to the high probability of spontaneous resolution (60–90%) of pediatric rectal prolapse (2), we can observe that it is difficult to enroll a high number of patients for the sclerotherapy, except the studies of Bahador et al. (14) and Malyshev et al. (17). Therefore, the meta-analysis results might

be influenced by the two relatively big studies in patient numbers. In addition, the lack of standardization of sclerosing agents, the number, and the location of injections also contributed to the difficulty to standardize the treatment procedures of sclerotherapy. The proportion of patients who would experience a resolution of rectal prolapse with non-operative management remains unknown, even with a recent meta-analysis with such data (24). Another review article showed that the success rate of sclerotherapy in pediatric rectal prolapse was 79.5% (25), which was similar to the meta-analytic data of Hintz et al. (24). However, the review article just used a systematic review strategy to conclude the success rate of sclerotherapy. Therefore, we still need more data to confirm the remission effects of the first injection of sclerotherapy in pediatric rectal prolapse.

There were several limitations in the current study. First, the high heterogeneity and the relatively poor quality of data might bias our findings. Even if the random-effect model can adjust such bias, the influences for our study results were still significant. Second, the lack of demographic data for the "real subgroup" of sclerotherapy in most enrolled studies might limit our ability to analyze the subgroup differences. The lack of demographic data was because we focused on sclerotherapy for pediatric rectal prolapse. However, not all enrolled studies would provide the demographic data "purely" for "sclerotherapy for rectal prolapse," which is the reason for the lack of some demographic data in Table 1. Third, the lack of a detailed information on subgroups was also an obstacle in performing the subgroup analysis. Fourth, the different kinds of sclerosing agents were included in the current study, which might bias our interpretations. However, the meta-analysis of Hintz et al. suggested that there was no significant difference in the treatment effects between different sclerosing agents (24). Fifth, all the enrolled studies had a retrospective design, which would also influence our meta-analysis results. The references we searched also revealed that the published studies were all retrospective, probably due to the characteristics of the clinical practice of pediatric rectal prolapse, and it might be difficult to enroll such patients in a design of randomized clinical trials or double-blinded trials. Further studies of randomized trials might be warranted to confirm the treatment and remission effects of the first injection of sclerotherapy.

#### CONCLUSION

Despite significant heterogeneity and a relatively low quality of evidence, the first injection of sclerotherapy might demonstrate the therapeutic effects to help the patients of pediatric rectal prolapse achieve remission status. However, more randomized trials with more standardization of sclerotherapy procedures will be warranted in the future to confirm the remission effects of the first injection of sclerotherapy in pediatric rectal prolapse.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

#### **AUTHOR CONTRIBUTIONS**

WZ reviewed the abstracts to screen the articles. WZ and YS performed the extraction of clinical outcome data from text, tables, and figures of the enrolled articles independently. MZ checked the manuscript and gave instructions. LL provided the idea of the study design and gave instructions. All authors contributed to the article and approved the submitted version.

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### Super-Selective Partial Splenic Embolization for Hereditary Spherocytosis in Children: A Single-Center Retrospective Study

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Wang R-j, Xiao L, Xu X-m, Zhang M-m and Xiong Q (2022) Super-Selective Partial Splenic Embolization for Hereditary Spherocytosis in Children: A Single-Center Retrospective Study. Front. Surg. 9:835430. doi: 10.3389/fsurg.2022.835430 **Background:** Hereditary spherocytosis (HS) is the most common hemolytic anemia due to erythrocyte membrane defects. Total splenectomy is the most effective treatment for moderate or severe HS. As a conservative alternative, partial splenic embolization (PSE) can preserve part of the spleen's function, thus reducing the risk of overwhelming post-splenectomy infection (OPSI) or sepsis, especially for pediatric patients. However, it is not easy to precisely control the scope of interventional embolization, limiting PSE applications. The present study aims to optimize the PSE procedure on smaller, which is named super-selective PSE (SPSE), to improve the controllability and assess the feasibility and effectiveness of SPSE.

**Results:** This study was conducted by retrospectively reviewing clinical data from HS patients treated by surgical treatments, which were diagnosed at the children's hospital of Chongqing medical university from January 2015 to December 2019. Patients were divided into two groups according to their treatment preference: SPSE (16 patients) group and total splenectomy (41 patients) group. The mean proportion range of splenic embolism by SPSE was 82.4%, close to the expected value (70–85%). The average hemoglobin value was increased significantly from 6.85 (5.6–8.0) g/dl before SPSE to 12.4 (10.4–13.3) g/dl after SPSE (p < 0.001). All children after SPSE suffered mild post-embolization syndrome, such as pain, fever, and vomiting, which could easily be controlled with appropriate supportive therapy.

**Conclusions:** Super-selective partial splenic embolization is a safe and effective treatment for moderate or severe HS in children. However, with a longer follow-up, more patients further assess the value of SPSE.

Keywords: hereditary spherocytosis, super-selective partial splenic embolization, children, interventional therapy, surgery therapy

#### INTRODUCTION

Hereditary spherocytosis (HS) is hemolytic anemia of varying severity caused by red blood cell membrane defects. Defects in the erythrocyte membrane and selective destruction of defective erythrocytes by the spleen are two critical factors in the pathophysiological process of HS. The destruction of the red blood cells by the spleen can lead to proliferative enlargement of the spleen, which leads to increased red blood cell accumulation, increased hemolysis, or both, thus creating a vicious cycle (1).

The treatment of HS aims to minimize the complications of chronic hemolysis and anemia. In addition to symptomatic treatment and blood transfusion, current guidelines recommend splenectomy if the patient has severe anemia complications or is transfusion-dependent (1-3). Since the spleen is a lymphoid organ, the overwhelming post-splenectomy infection (OPSI) is the most severe post-operative complication, especially in children. In addition to surgical risks, splenic resection is also prone to severe surgical complications, such as venous thromboembolism (VTE), pulmonary arthritis after splenectomy (4). Patients who have indications for splenectomy but were unwilling to undergo surgery, or who have contraindications to surgery, have been reported to be treated with relatively conservative surgery, such as partial splenectomy, partial splenic embolization (PSE), and partial splenic artery ligation, which exhibited better outcomes and fewer surgical complications due to residual partial splenic immunity (5, 6). However, PSE had not been widely studied and applied in pediatric HS patients due to the poor controllability of the embolic range.

Our study aims to accurately predict the extent of splenic embolism by further improving and optimizing PSE for superselective cannulation of the splenic artery. The present study reports on the feasibility and efficacy of super-selective partial splenic embolization (SPSE) in a cohort of 16 children with HS.

#### STUDY PATIENTS

This study was conducted by retrospectively reviewing clinical data from 58 children patients with HS diagnosed at the Children's Hospital of Chongqing Medical University from January 2015 to December 2020, and the last follow-up visit was October 2021. The Children's Hospital approved our study of Chongqing Medical University (No: 2020-288), and informed consent was obtained to review patients' medical records. We excluded one patient with splenectomy because follow-up data were lacking, leaving 57 patients for the study. All eligible patients were assessed according to the BCSH 2011 guidelines and European Hematology Association 2017 guidelines (2, 3), while only patients with moderate, severe HS, or impact daily life were operated on. Grouping was based on the treatment intention, 41 patients had undergone total splenectomy (median age 9, range 2-17 years), and 16 patients had undergone SPSE (median age 7 years, range 5-11 years). Before receiving the surgery, all patients and guardians were provided with two surgical consent forms for splenectomy and SPES surgery. The consent forms consist of detailed explanations on the two surgical treatment options to ensure that each patient and guardian fully understood the advantages and disadvantages of both surgical options before making their decision (for details, see Appendix).

#### SPSE SURGERY PROCEDURE

We performed super-selective embolization of the middle and lower parts of the spleen using splenic artery trunk angiography to analyze the distribution of splenic segmental arteries. The expected embolism range was 70–85%. The specific surgical procedure was as follows:

First, splenic arteriogram procedure: The patients were placed in the supine position, a 4Fr introducer sheath (RS\*A40G07SQ, TERUMO) was inserted through the right common femoral artery by using the Seldinger technique, and a 4Fr catheter (RF\*ZB54110, TERUMO) was passed through the main trunk of the splenic artery for imaging. Based on the visualization of the splenic vessels, adopting splenic segmental arteries in the lower and middle spleen as the target vessel, the arterial embolization, which was confirmed, by contrast, was performed by a 2.7 Fr microcatheter (MC-PE27131, TERUMO) to super-select the splenic segmental arteries.

Secondly, the configuration of embolic agents: Mixing polyvinyl alcohol granules (PVA-500, COOK) 1 g and lodixanol 320 (GE Healthcare Ireland) 5 ml thoroughly, extract 2 ml of suspension and add 0.25 g of triple cephalosporin, then add the appropriate amount of contrast agent to make a total of 15 ml of the embolic agent.

Third, embolization procedure: The embolization area was mainly in the middle and lower spleen, preserving most of the upper spleen and the splenic hilum. At the same time, the degree of embolization was judged by the intraoperative flow velocity of the embolized artery. The degree of embolization was determined according to a slight slowing of the flow as 30-40%, a marked slowing as 50-60%, a peristaltic advance after briefly stopped as 70-80%, a significant regurgitation as 90% and above. The extent of splenic embolism was predicted by the above two aspects. After completing embolization, the degree of embolization was determined again by imaging the main trunk of the splenic artery. If the embolic scope is insufficient, additional embolization operations can be performed until the embolic scope reaches 70-85%. Sterile gauze and pressure were applied to the puncture site for hemostasis, followed by bandaging and braking for 6-8 h. The operation time only requires 30 min maximum. The patency of splenic vein flow was detected by vascular ultrasound 3 days after surgery, and third-generation cephalosporin was applied by intravenous prophylactic in 48 h after surgery.

#### DATA COLLECTION AND FOLLOW-UP

We collected pre- and post-operative examination data of patients who underwent total splenectomy and SPSE, with a minimum 12-months follow-up. The last follow-up was in September 2020. The patients underwent CT scan using a 64-channel multidetector CT system (Lig\_x0002\_htspeed VCT, GE Medical Systems, USA). The Volume Rendering function

analyzed splenic volumes with the Philips intellispace portal software platform, version 6.0.1.20700.

#### STATISTICAL METHODS

Statistical analyses were performed using the SPSS software version 22.0. Measurement data were expressed as mean  $\pm$  SD and analyzed by Student's t-test. Enumeration data were expressed as a rate (%), and a Chi-square test was adopted. Comparison of laboratory parameters after splenic artery embolization was carried out using the paired t-test, the Wilcoxon signed ranks test, the Mann–Whitney U-test, or the chi-square test. Statistical significance was defined as p < 0.05.

#### **RESULTS**

No cases of serious post-operative complications or post-splenectomy infections were found in either group within 6 months after surgery during the follow-up period. There was no statistical difference in the pre-operative condition of the two groups (**Table 1**), and hemolysis was significantly improved in both groups. The average hemoglobin value was increased significantly from 7.4 g/dl before total splenectomy to 11.7 g/dl after total splenectomy (p < 0.001). The average hemoglobin value was increased significantly from 6.97 g/dl before SPSE to 12.2 g/dl after SPSE (p < 0.001).

The surgical results are in Table 2. Before and after embolization, the arterial phase showed the branches and

TABLE 1 | Comparison of clinical characteristics of patients undergoing total splenectomy and super-selective partial splenic embolization (SPSE).

|   | to     | tal splenectomy       |        | SPSE               | chi-square value /Z value | P     |
|---|--------|-----------------------|--------|--------------------|---------------------------|-------|
|   | N = 41 | median (range)        | N = 16 | median (range)     |                           |       |
| male (%)  |        | 53.7%                 |        | 37.5%              | 1.202                     | 0.273 |
| Mean age at surgery, y                                      |        | 9 (2-17)              |        | 7 (5–11)           | -1.563                    | 0.118 |
| Mean hemoglobin before surgery, g/L                         |        | 74 (18–95)            |        | 68.5 (56-80)       | -0.275                    | 0.783 |
| Mean hemoglobin after surgery, g/L                          |        | 117 (97–175)          |        | 124 (104-133)      | -0.703                    | 0.482 |
| Mean free bilirubin before surgery, μmol/L                  |        | 109.45 (21.80-237.80) |        | 61.4 (16.0-146.0)  | -0.071                    | 0.943 |
| Mean free bilirubin after surgery, µmol/L                   |        | 42.87 (2.80-66.80)    |        | 13.35 (7.00-86.00) | -1.633                    | 0.102 |
| Mean platelet count beforesurgery, $\times 10^9/L$          |        | 188 (27–364)          |        | 121 (77-333)       | -1.368                    | 0.171 |
| Mean platelet count after surgery, ×109/L                   |        | 418 (165–1416)        |        | 442 (311-643)      | -2.246                    | 0.025 |
| Mean white blood cell count before surgery, $\times 10^9/L$ |        | 5.57 (3.28-25.06)     |        | 7.37 (5.27-18.00)  | -0.906                    | 0.365 |
| Mean white blood cell count after surgery, $\times 10^9/L$  |        | 7.09 (3.68–13.12)     |        | 10.11 (3.40–15.70) | -2.790                    | 0.005 |

TABLE 2 | General conditions and surgical results in children with partial splenic artery embolization.

| NO | Age at<br>diagnosis<br>(y) | Age at<br>surgery, (y) | Estimated embolism range (%) | preoperative<br>spleen<br>volume<br>(cm³) | Postoperative<br>spleen<br>volume <sup>a</sup><br>(cm <sup>3</sup> ) | Actual<br>embolism<br>range (%) | Duration of postoperative abdominal pain (d) | Duration of postoperative fever (d) | Number of<br>postoperative<br>vomiting |
|----|----------------------------|------------------------|------------------------------|---|--|---------------------------------|--|-------------------------------------|--|
| 1  | 1                          | 5                      | 75                           | NA  | NA   | NA                              | 7  | 6                                   | 0                                      |
| 2  | 4                          | 5                      | 85                           | NA  | NA   | NA                              | 6  | 5                                   | 1                                      |
| 3  | 1                          | 6                      | 80                           | NA  | NA   | NA                              | 8  | 0                                   | 0                                      |
| 4  | 1                          | 7                      | 75                           | NA  | NA   | NA                              | 9  | 0                                   | 0                                      |
| 5  | 2                          | 7                      | 85                           | NA  | NA   | NA                              | 6  | 0                                   | 0                                      |
| 6  | 6                          | 11                     | 85                           | 254.9                                     | 30.0   | 88.2                            | 5  | 0                                   | 1                                      |
| 7  | 1                          | 7                      | 75                           | 534.0                                     | 98.5   | 81.6                            | 3  | 0                                   | 3                                      |
| 8  | 6                          | 7                      | 80                           | 545.3                                     | 135.0  | 75.2                            | 7  | 0                                   | 0                                      |
| 9  | 4                          | 6                      | 75                           | 292.8                                     | 78.5   | 73.2                            | 0  | 0                                   | 0                                      |
| 10 | 2                          | 7                      | 90                           | 826.8                                     | 90.3   | 89.1                            | 6  | 0                                   | 0                                      |
| 11 | 5                          | 6                      | 80                           | 263.9                                     | 60.9   | 76.9                            | 5  | 2                                   | 0                                      |
| 12 | 10                         | 11                     | 90                           | 679.0                                     | 112.2  | 83.5                            | 8  | 0                                   | 2                                      |
| 13 | 3                          | 8                      | 80                           | 719.5                                     | 88.1   | 87.8                            | 3  | 0                                   | 0                                      |
| 14 | 3                          | 9                      | 85                           | 598.6                                     | 153.9  | 74.3                            | 6  | 0                                   | 0                                      |
| 15 | 7                          | 8                      | 85                           | 346.1                                     | 48.5   | 86.0                            | 0  | 0                                   | 1                                      |
| 16 | 1                          | 5                      | 80                           | 651.2                                     | 190.7  | 70.7                            | 3  | 1                                   | 0                                      |

<sup>&</sup>lt;sup>a</sup>CT scan was arranged 6–9 days after SPSE.

distribution of the splenic arteries, and the equilibrium phase showed the distribution of the parenchymal blood supply to the spleen (**Figure 1**).

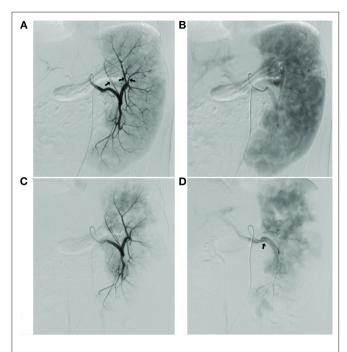


FIGURE 1 | (A) Image of the splenic segment arteries in arterial phase, with the planned preservation of the superior pole splenic segment artery shown by the arrow; (B) Image of the spleen in the equilibrium phase, showing the full projected area of the spleen; (C) Image of splenic segment artery after super-selective partial splenic embolization (SPSE) with preserved superior pole splenic segment artery; image of the spleen in equilibrium after SPSE with the area of residual spleen projection, with estimated embolization extent of up to 90% compared to (B); (D) Splenic vein imaging.

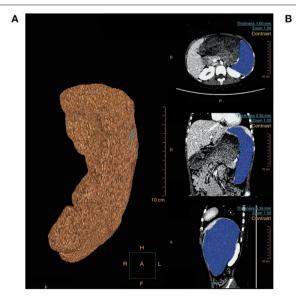
The 16 children underwent embolization of the middle and lower pole of the spleen, and the expected intraoperative embolization extent was 75–90%. Pre-embolization and post-embolization (7–9 days) CT examinations were completed in 11 patients. The pre- and post-embolization spleen volumes were calculated, and the actual splenic embolic extent was 73.2–89.1% (**Figure 2**). The difference between the pre-assessed embolic extent and the actual post-operative embolic extent was not statistically significant. This result suggests that SPSE can accurately determine the extent of splenic embolization intraoperatively.

All patients treated with SPSE had no serious complications (**Table 2**) but experienced a milder post-embolization syndrome. Approximately 87.5% (14/16) of children presented with mild abdominal pain with median abdominal pain duration of 6 days (range 0–9 days), which could easily be controlled with appropriate supportive therapy. Post-operative fever and vomiting occurred in 25.0% (4/16) and 31.3% (5/16) of the children, both of which resolved rapidly on autonomy. No other serious complications, such as splenic abscess, portal vein embolism, pancreatitis, and pulmonary atelectasis, occurred.

The median follow-up time for this group of patients was 26 months (19–41 months), all children showed significant improvement in hemolysis, and no patient underwent transfusion for hemolysis during the follow-up period. Notably, there were no significant abnormalities in the common immune indicators before and after surgery, suggesting that the patient retained splenic immune function after surgery (**Table 3**).

#### DISCUSSION

Total splenectomy is a proven and effective method for treating patients with moderate to severe HS (3). The spleen is the largest peripheral lymphoid organ in the body, and it is an



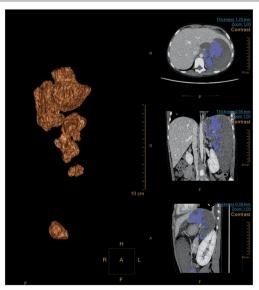


FIGURE 2 | (A) Spleen volume (679.0 cm<sup>3</sup>) before embolization; (B) Spleen volume (112.2 cm<sup>3</sup>) 1 week after embolization.

**TABLE 3** Comparison of clinical indicators before and after surgery in patients undergoing SPSE.

|  | preoperative       | Postoperative <sup>a</sup> | t/Z    | P       |
|--|--------------------|----------------------------|--------|---------|
| Spleen volume (cm <sup>3</sup> )                       | 545.72 ± 190.97    | 105.66 ± 45                | 7.90   | < 0.001 |
| white blood cell count before surgery, $\times 10^9/L$ | $8.38 \pm 3.05$    | $9.78 \pm 1.91$            | -1.59  | 0.132   |
| hemoglobin before surgery                              | $69.73 \pm 6.88$   | $122.13 \pm 9.86$          | -16.19 | < 0.001 |
| platelet count, $\times 10^9/L$                        | $220.19 \pm 60.90$ | $425.20 \pm 70.72$         | -11.64 | < 0.001 |
| free bilirubin, $\mu$ mol/L                            | 61.40 (16–146)     | 13.35 (7.00–86.00)         | -3.52  | < 0.001 |
| IgG, g/L   | $11.51 \pm 2.81$   | $12.21 \pm 3.84$           | -0.99  | 0.336   |
| IgA, g/L   | $1.97 \pm 0.81$    | $2.17 \pm 0.83$            | -1.30  | 0.214   |
| IgE, g/L   | 10.3 (0.6–152)     | 12.55 (2.6–202)            | -1.24  | 0.211   |
| IgM, g/L   | $1.32 \pm 0.53$    | $1.28 \pm 0.52$            | 0.38   | 0.711   |
| Complement C3, g/L                                     | $0.57 \pm 0.08$    | $0.82 \pm 0.21$            | -5.90  | < 0.001 |
| Complement C4, g/L                                     | $0.15 \pm 0.04$    | $0.20 \pm 0.05$            | -4.75  | < 0.001 |

<sup>&</sup>lt;sup>a</sup>6 months after SPSE.

indispensable immune barrier when the body receives external viruses and bacteria. The absence of a spleen might lead to an infection outbreak. For pediatric patients, possible post-operative aggressive complications, such as OPSI and surgical trauma, have been a long-term problem for physicians and patients. In 1973, Maddison (7) proposed splenic artery embolization as a minimally invasive procedure for treating hypersplenism that solves the problem of severe trauma. Spigos et al. (8) developed transcatheter PSE in adult HS to preserve the immune function of the spleen (5, 6). However, PSE has not been widely promoted in pediatric patients because of the poor controllability of the extent of PSE embolization. Later, conservative surgical options (such as partial splenectomy and partial splenic artery ligation) were considered viable options for HS because they preserved sufficient splenic tissue and relieving anemia, but neither could avoid the trauma of laparotomy.

In our study, based on the unique anatomy of the spleen and splenic artery, selective embolization of splenic segmental arteries branches was performed in the spleen by intraoperative angiography. We measured the embolic artery blood flow velocity and performed a comprehensive re-evaluation of the extent of splenic embolization to predict splenic embolic volume accurately. The extent of spleen volume examined by CT before and after embolization was 70-85%, consistent with the pre-evaluation results. Therefore, we believe SPSE can precisely control the degree of splenic embolization to achieve a therapeutic effect of reducing hemolysis. In this study, all the patients had moderate or severe HS. Post-operative hemolysis was significantly improved; no further transfusions were performed in our patients. Previous studies had shown that PSE effectively reduced the degree of hemolysis while maintaining the phagocytic function of the splenic remnant and the selective destruction of the spleen to defective red blood cells (5).

As with partial splenic artery resection and partial splenic artery ligation, this study was also effective in reducing the volume of the spleen and maintaining the immune function of

the splenic to some extent (9). Compared to the preoperative period, the mild elevation of the complement system was considered an effect possibly related to surgical embolization (10, 11). The complement system exerts innate immune by lysing or killing bacteria, modulating phagocytosis, or participating in antibody-mediated specific immune responses. Our study also showed that all splenic immune functions were maintained in the normal range after SPSE and no surgery-related infection of SPSE during the follow-up period. Thus, we demonstrated that SPSE substantially improved in maintaining normal immune function than total splenectomy. We are aware that the younger the patients with HS diagnosis, the severer the symptoms of hemolytic anemia may have. Considering the risk of postsplenectomy fulminant infection, many patients with total splenectomy were significantly older. We believe SPSE preserves normal immune function while treating HS offers the possibility of surgery at earlier ages, and reduces complications for patients with HS.

These study's post-embolization syndromes are minor and controllable, mainly manifested by abdominal pain, fever, and vomiting. Most patients' symptoms resolve within 1 week after surgery. 87.5% (14/16) of children had mild abdominal pain with a median duration of 6 days (range 0-9 days), which most children tolerated without medication, and children with more severe abdominal pain were given 1-2 oral nonsteroidal antiinflammatory drugs (NSAIDs) daily (e.g., ibuprofen), postoperative fever lasting 1-6 days was observed in 25.0% (4/16) of the children, who also received ibuprofen orally and had a rapid decrease in temperature to normal, without recurrent daily fever and signs of infection. 31.3% (5/16) of the children had post-operative vomiting lasting 1-3 days, 1-2 times daily, and the vomitus was all gastric juice. All SPSE-treated patients did not develop other serious complications, such as splenic abscess, portal vein embolism, pancreatitis, or pulmonary atelectasis, during the follow-up time. All children treated with splenectomy had wound pain lasting 3-5 days, which most children tolerated without medication, and the more severe children were given oral NSAIDs (e.g., ibuprofen) 1-2 times daily for 2-3 days, all tolerating the pain. All children had fasted for 1-3 days after surgery. 7.3% (3/41) of the children had electrolyte disturbance after surgery, corrected with intravenous rehydration, and recovered. 7.3% (3/41) of the children had severe infections after surgery. On the fourth day after surgery, one case had severe pneumonia and respiratory failure. It was treated with a ventilator in ICU, and one case had pneumonia and atelectasis on the right side 5 days after surgery, which was treated with fiberoptic bronchoscopy and improved. One case developed abdominal infection 33 months after surgery and improved after reoperation. A child with splenectomy was found to have partial portal vein thrombosis during follow-up and improved after anticoagulation.

The previous studies have shown that common complications of total splenectomy include postoperative infection, OPSI, surgical bleeding, injury of adjacent organs, and VTE (2, 12, 13). The most severe complications of PSE are abdominal infection and spleen abscess formation, which is deadly for patients in severe cases (14). The cause of spleen abscess formation includes

excessive embolization, which leads to liquefied necrosis of a large amount of spleen tissue and secondary bacterial infection. There are two possible sources of bacteria; one of them is interventional contamination. The other is that the enteric-derived bacteria retained in the portal venous system reverse into the spleen since the slow flow speed in the splenic vein after massive embolization of the splenic parenchyma (15). However, no patient had a splenic abscess in this study. The reason to do this study is as follows. Firstly, the number of patients is still small. Secondly, SPSE achieved the purpose of accurate embolization by the accurate selection of secondary arteries and narrowing the possibility of infection caused by stagnation of splenic vein blood flow, which is the result of over embolization of splenic artery trunk. Thirdly, the minified dosage of embolic agent during treatment also indirectly diminishes the risk of contamination. There is no exposure of significant flow stagnation or reflux in the splenic artery angiogram after SPSE had been confirmed by the color ultrasonography of the blood flow of the splenic vein 3 days after the procedure. In addition, triple cephalosporin was intravenously applied within 48 h postoperatively. Since these preventative anti-infection actions were actively performed, the risk of splenic infection was minimized by avoiding possible intraoperative contamination and post-operative entheogenic infection to the greatest extent.

A few reports of the previous study showed the growth of residual accessory spleen after total splenectomy (16), or growth of residual spleen after partial splenectomy trigger recurrence of anemia which requires secondary surgery (17, 18). In a long-term follow-up study of partial splenectomy (19), mild and moderate hemolysis may be a long-term symptom after partial splenectomy, and a small number of patients may be at risk of secondary gallstones and hemolytic anemia. In our observed SPSE patients with a median follow-up time of 22 months, there are no cases of hemolytic anemia which requires transfusion therapy, only a few children have mild hemolysis, and all patients with SPSE recovered well after surgery. We believe that the better results were mainly because the accurate control of embolization preserved only a tiny portion of the upper splenic (10-25%); moreover, the growth of the normal residual spleen was effectively limited by the blockage of the diaphragm and embolized spleen. Since we preserved the splenic artery trunk upfront, there is an opportunity to do another embolization as a re-intervention if symptomatic recurrence of anemia appeared at a later stage. The shortage of this study is the short follow-up period. Hence, long-term follow-up should be applied to clarify the dynamic changes of spleen volume and hemolysis after embolization. We considered modified super selective partial splenic artery embolization as a conservative surgical treatment for posterior globules.

The current study focuses on the safety of SPSE, so the initial case selection tends to be for the traditional splenectomy indication. We suggest splenectomy for children with HS who remain transfusion-dependent or have severe symptoms related to anemia after 1 year of age. If necessary, a total splenectomy can be postponed until after 6 years of age. Our current study

follows the willingness of the child's guardian to treat the choice of surgical approach after detailed knowledge of the advantages and disadvantages of both surgical options, so the fact that the children treated with SPES were above 5 years of age is related to the small sample size. SPES is technically feasible for children with HS at 5 years or even younger. We have treated a 1-year-old child with Wiskott–Aldrich syndrome, and after a multidisciplinary assessment that splenectomy may pose a high risk of death, we successfully managed the symptoms and safely treated the child with SPES until he was treated with a hematopoietic stem cell transplant.

In conclusion, SPSE is a reliable, safe, and effective alternative to splenectomy for childhood HS, which resolved the disadvantage of difficulty in estimating the volume of splenic artery embolization and avoided the trauma of partial splenectomy and partial splenic artery ligation. SPSE may have more tremendous advantages on expanding the age range of surgery, reducing the severity of surgical trauma, and minimizing the possibility of infection. However, a more extended follow-up period, a larger sample size, and applying other indications, such as hypersplenism, are mandatory for the further assessment of SPSE.

#### DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### ETHICS STATEMENT

The studies involving human participants were reviewed and approved by ethical approval for this study was provided by the Institutional Review Board of CHCMU and registered at No: 2020-288. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

QX and M-mZ conceived the study and are the principal investigator. QX and R-jW initially designed the study protocol and wrote the first draft of this manuscript. M-mZ coordinated the doctors and nurses. At the same time, QX, R-jW, and M-mZ mainly provided clinical support and led the surgery. LX, X-mX, and R-jW collected and analyzed the data. R-jW and LX revised this manuscript. All authors contributed to subsequent drafts and approved the final manuscript.

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### Congenital Abdominal Aortic Aneurysm: A Case Report and Literature Review

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Congenital abdominal aortic aneurysm is a rare disease with unknown etiology, and the common symptoms are abdominal pulsatile mass and pain caused by aneurysm rupture. The disease has a high mortality rate and fewer reports of surgical treatment. Here, we present a case of an idiopathic congenital abdominal aortic aneurysm. A 4-year-old boy had an abdominal pulsatile mass, and computed tomography angiography revealed an isolated infrarenal abdominal aortic aneurysm. To prevent rupture of the aneurysm, we repaired the aneurysm with artificial graft transplantation. No genetic mutation of the known congenital aneurysmal diseases was found in the whole-exome sequencing of the patient and his parents. There was no graft obstruction, and the patient grew well 40 months after surgery. Open surgery is the best treatment for idiopathic congenital abdominal aortic aneurysms. Surgical details such as timing and graft selection need to be further explored.

Keywords: aortic aneurysm, aortic diseases, congenital, children, surgical treatment

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#### INTRODUCTION

Abdominal aortic aneurysms in children are very rare. The common causes are congenital connective tissue disorders, vasculitis, traumatic umbilical artery intubation, and infection, while congenital abdominal aortic aneurysms (cAAAs) is rarely reported and has an unknown etiology and high mortality. This article reports the case of a 4-year-old child with isolated cAAA and the results of a 40-month follow-up of open surgery.

#### **CASE DESCRIPTION**

In June 2018, a 4-year-old boy was hospitalized because of the discovery of a left abdominal pulsatile mass for 2 months. Computed tomography angiography (CTA) revealed an isolated infrarenal AAA with a maximum diameter of 67 mm (**Figure 1**). The patient was 112 cm tall and weighs 23 kg and he had no family history of aneurysmal disease, connective tissue disorders, a history of trauma, umbilical cannulation, and infection. Laboratory tests revealed no abnormalities, and blood pressure was normal.

## DIAGNOSTIC ASSESSMENT, THERAPEUTIC INTERVENTION, FOLLOW-UP, AND OUTCOME

In July 2018, we repaired the AAA using open surgery to prevent aneurysm rupture. When we blocked the blood flow at both ends of the aneurysm and opened the aneurysm through a midline

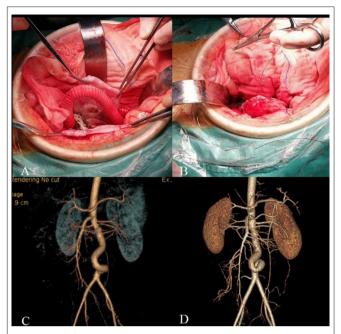


**FIGURE 1** | Computerized tomography angiography demonstrated isolated infrarenal abdominal aortic aneurysm.

incision, we found that the intima of the aneurysm was smooth without thrombus. We then used a 10-mm Dacron aorto-aortic tube graft to replace the AAA; the graft was oversized by 6 cm and formed a "C" shape to allow aortic growth. The aneurysmal sac was wrapped around the graft to avoid aortoduodenal fistula. The patient recovered well after the operation. Whole-exome sequencing of the boy and his parents revealed no genetic mutations of the known congenital aneurysmal diseases. The patients had frequent follow-ups outside the hospital, and at 40 months post-operative follow up without any antiplatelet drugs, the patient was 140 cm tall and weighs 43 kg, and the CTA revealed that the graft blood flow was unobstructed (Figure 2).

#### **DISCUSSION**

AAA is more common in the elderly with arteriosclerosis, and is rare in children and infants, and is commonly caused by congenital connective tissue disorders, vasculitis, umbilical cannulation, and infection, while cAAA is extremely rare and has an unknown etiology. One relevant hypothesis is that cAAA results from a developmental defect during embryogenesis that creates a focal narrowing of the abdominal aorta, which leads to poststenotic turbulent blood flow and subsequent aneurysm formation (1). There are no epidemiological data related to cAAA, and as of December 2021, only 31 cases have been reported (Table 1) (2-8). There are fifteen patients diagnosed before 1 year of age, eight patients were diagnosed after 1 year of age, and the remaining eight patients were diagnosed at 19-30 weeks of gestation. The male-to-female ratio was 18:10, 20 of 31 patients had infrarenal AAA, and 19 of 30 patients had other aneurysms. The reason for admission of patients is usually abdominal pulsatile mass or rupture of aneurysm;



**FIGURE 2** I Intraoperative and follow-up images. **(A)** A 10 mm Dacron aorto-aortic tube graft replace the AAA with a "C" shape. **(B)** The aneurysmal sac was wrapped around the graft. **(C)** CTA 7 days after surgery. **(D)** CTA 40 months after surgery showed twisted but unobstructed graft.

suspected diseases should receive vital imaging examination, such as ultrasound, which can provide a clear diagnosis.

The histopathological changes in the intima of cAAA include calcifications, thromboses, and ulcerations and ruptures of the layers (2, 9). Molecular genetic defects considered to be associated with AAA, Marfan's syndrome, and Loeys-Dietz syndrome are caused by mutations in the genes encoding TGF- $\beta$ 2 or TGF- $\beta$ 7 receptor (TGFBR) I or II. Mutations in the fibrillin-1(FBN1) gene have also been found to be associated with the occurrence of coronary aneurysms (10–13). Unfortunately, no similar genetic or molecular changes have been found in cAAA, including this case.

The mortality caused by cAAA rupture and renal failure was 30.76% (2). There is no universal approach to the management of cAAA. Although steroids, cyclophosphamide, antihypertensive drugs, non-steroidal anti-inflammatory drugs, and statins have certain curative effects, the reported mortality of conservative treatment is still as high as 57.14% (4/7). It is still unclear how to judge the diameter of aneurysms in the intervention, and the uncertainty of children's activity cannot refer to the surgical standards of adults. Surgical repair after diagnosis should be considered. Endovascular aneurysm repair (EVAR) is not feasible in infants or children because of the lack of an appropriate endograft and the impact on patients' growth and development. Artificial grafts and allografts were most frequently selected for revascularization, and in the past, 13 cases were reported using Dacron graft or polytetrafluoroethylene (PTFE) graft, 4 cases of allografts, and 1 case of native vessels. Although allografts have the advantages of high long-term patency and low risk of

 TABLE 1 | Previously reported cases of idiopathic congenital abdominal aortic aneurysms.

| Author         | Gender           | Age at discovery       | Location   | Other aneurysms   | Other aneurysms   | Surgical treatment                                   | Outcome  |
|----------------|------------------|------------------------|------------|---|---|--|--|
| Howorth Jr. MB | Female           | 1 day                  | Infrarenal | None  | Large abdominal mass, vomiting, anorexia  | Exploratory laparotomy                               | Ruputure and death during operation  |
| Darden WA      | Male             | 2.5 years              | Infrarenal | None  | None  | Dacron aortic graft                                  | Died of pneumonitis at 5 months after surgery  |
| Sterpetti AV   | Male             | 19 years               | Infrarenal | None  | Middle epigastric<br>pain, abdominal<br>fullness, dysuria,<br>abdominal pulsatile<br>mass | Dacron aortic graft<br>18 mm                         | Died of pneumonitis at<br>5 months after surgery   |
| Odagiri S      | Male             | 1 year                 | Infrarenal | Multiple left renal artery<br>aneurysrre, bilateral<br>corrmon iliac artery<br>aneurysms            | None  | Dacron aortic graft<br>12 mm                         | Healthy at 10 months after surgery   |
| Latter D       | Male             | 1 month                | Infrarenal | None  | Pulsatile abdominal mass  | Polytetrafluoroethyler tube graft 8 mm               | neHealthy at 10 months after surgery   |
| Saad SA        | Male             | 6 weeks                | Infrarenal | Left common iliac artery aneurysm mass  | Pulsatile abdominal mass  | Aneurysmorrhaphy                                     | Healthy at 3 months after surgery  |
| Myrmel T       | Male             | 30 years               | Infrarenal | None  | Pulsatile abdominal<br>mass, acute<br>abdominal pain                                      | Albumin coated USCI graft sized 16 × 8 mm            | Healthy at 1 year after surgery  |
| Malee MP       | Female           | 32 weeks'<br>gestation | Juxtarenal | Aneurysmal dilation of the bilateral iliac artery (details unknown)                                 | Palpable abdominal<br>mass, ileus<br>compression from an<br>aneursysm                     | None   | Died of acute<br>pulmonary<br>hypertension and<br>cardiac dysfunction at<br>age 9 days     |
| Kim ES         | Female           | 9 days                 | Juxtarenal | None  | None  | None   | Died of heart failure<br>secondary to<br>renovascular<br>hypertension at age<br>20 days    |
| Mehall JR      | Male             | 6 weeks                | Juxtarenal | Right common iliac artery aneurysm  | None  | Bifurcated GoreTex graft 7–4 mm                      | Healthy at 1 month after surgery   |
| Laing AJ       | Male             | 12 months              | Infrarenal | None  | Pale, shocked, in an<br>urresponsive state,<br>vomiting, abdominal<br>distention          | Exploratory laparotomy                               | Rupture and death during operation   |
| Dittrick K     | Male             | 12 years               | Infrarenal | None  | None  | Collagen<br>impregnated Dacron<br>aortic graft 14 mm | Healthy at 2 years after surgery   |
| Bell P         | Female           | 1 day                  | Infrarenal | None  | Billous vomiting, large abdominal mass  | Cryopreserved allograft 5 mm                         | Healthy at 14 months after surgery   |
| Cheung SCW     | Male             | 6 months               | Juxtarenal | Bilateral common and<br>external iliac artery<br>aneurysms, right internal<br>iliac artery aneurysm | None  | None   | Progression of<br>thrombosis of the<br>aneurysm and renal<br>dysfunction at age 3<br>years |
| Buddingh KT    | Male             | 1 day                  | Juxtarenal | Descending thoracic<br>aortic aneurysm, left<br>common iliac artery<br>aneurysm                     | Bilous vomiting,<br>anorexia, pulsatile<br>abdominal mass                                 | None   | Alive at 7 months,<br>aneurysm has grown<br>to a maximum<br>diameter of 93 mm              |
| Kim JI         | None<br>reported | 21 weeks'<br>gestation | Infrarenal | Bilateral common iliac<br>artery aneurysms, left<br>internal iliac artery<br>aneurysm               | None  | Dacron aortic graft<br>12 mm                         | Uneventful postoperative recovery  |
| Malikov S      | Male             | 28 weeks'<br>gestation | Juxtarenal | None  | Pulsatile abdominal mass  | Repair with native iliac vessels                     | Healthy at 39 months after surgery   |

(Continued)

TABLE 1 | Continued

| Author       | Gender           | Age at discovery       | Location             | Other aneurysms   | Other aneurysms   | Surgical treatment   | Outcome   |
|--------------|------------------|------------------------|----------------------|---|---|--|---|
| Cantinotti M | None<br>reported | 22 weeks'<br>gestation | Unspecified          | None reported   | None reported   | None reported  | None reported   |
| Tsunematsu R | Male             | 25 weeks'<br>gestation | Unspecified          | None  | Pulsatile abdominal mass  | None   | Stable after 6 months follow up   |
| McAteer J    | Female           | 32 weeks'<br>gestation | Thoracoab<br>dominal | None  | None  | None   | Died of rupture at age 4 weeks  |
| Cho YP       | Male             | 23 months              | Infrarenal           | None  | Irritability, vomiting,<br>poor oral intake,<br>diffuse tenderness,<br>palpable pulsatile<br>abdominal mass | Cryopreserved<br>cadaveric artery<br>7 mm                        | Healthy at 10 months after surgery  |
| Meyers RL    | None<br>reported | Neonate                | Infrarenal           | None  | None  | Decellularised,<br>antigen reduced<br>cryopreserved<br>allograft | Healthy at 29 months after surgery  |
| Ko Y         | Male             | 2 months               | Supraceliac          | Two descending thoracic aortic aneurysms  | None reported   | Dacron aortic graft<br>10 mm                                     | Uneventful postoperative recover  |
| Fettah ND    | Female           | 1 day                  | Infrarenal           | None  | Vomiting, abdominal<br>distention, palpable<br>pulsatile abdominal<br>mass                                  | Repair with polytetrafluorethylene patch                         | Died of sepsis and<br>cardiopulmonary<br>insufficiency at 4<br>weeks after surgery  |
| Bivins HS    | Male             | 19 weeks'<br>gestation | Infrarenal           | lliac artery aneurysms<br>(details unknown)   | Large abdominal mass  | None   | Died of renal failure at age 12 days  |
| Bansal A     | Male             | 1 year                 | Infrarenal           | None  | Abdominal distension  | Dacron aortic graft<br>10 mm                                     | Uneventful postoperative recover  |
| Sirisabya A  | Female           | 1 day                  | Infrarenal           | Left common iliac artery<br>aneurysm, two small right<br>renal artery aneurysms                                 | Marked abdominal<br>distension with a<br>large pulsatile mass   | Gore-Tex vascular<br>graft                                       | Thrombosis of the aortic graft and bilateral common iliac internal iliac, and external iliac arteries a 13 months after surgery. Living a fairly normal life at 26 months after surgery |
| Kuboi T      | Female           | Neonate                | Infrarenal           | None  | Lower back mass<br>(subcutaneous<br>vascular<br>malformation)   | None reported  | None reported   |
| Higuchi K    | Female           | 4 years                | Infrarenal           | Multiple intracranial<br>aneurysms, bilateral<br>hypogastric artery<br>aneurysms, left renal<br>artery aneurysm | Palpable pulsatile<br>abdominal mass  | Dacron aortic graft<br>10 mm                                     | Healthy at 21 months after surgery  |
| Tanga C F    | Male             | 11 years               | Infrarenal           | Bilateral common iliac<br>artery aneurysms, bilateral<br>internal iliac artery<br>aneurysm                      | Abdominal pain,<br>shock  | Dacron aortic graft<br>12 mm                                     | Healthy at 10 months after surgery  |
| LeNguyen A   | Female           | 36 weeks'<br>gestation | Infrarenal           | Bilateral common iliac<br>artery aneurysms, bilateral<br>internal iliac artery<br>aneurysm                      | None  | Cryopreserved cadaveric artery 5 mm                              | Healthy at 12 months after surgery  |

Modified from Wang and Tao (2).

postoperative graft infection, there are difficulties with the long-term use of immune-suppressants and allograft sources. Malikov reported a successful case of revascularization with native iliac vessels (14).

The common complications of artificial vascular grafts are graft stenosis and obstruction. The diameter of the artificial graft should be considered to match with the artery and ensure blood supply to the lower limbs. At present, the reported diameter

is mostly between 8 and 12 mm, which is easier for older children to choose. There is a high risk of synthetic vascular graft occlusion with a diameter of <6 mm for a neonatal patient; it may, therefore, be more appropriate to delay surgery for smaller aneurysms to produce better results and prevent the need for follow-up surgery (15). It is necessary to reserve appropriate length for artificial grafts to adapt to the patient's growth; however, most reports do not indicate the specific appropriate length. Dueppers et al. (16) reserved 4-cm graft to meet the growth needs of children. We considered the patient's age, preoperative aortic diameter and adult physique estimated from his parents' physique, and decided to use 10-mm Dacron graft to reconstruct the diseased artery with a 6-cm long graft reserved to form a "C" shape; our length selection principle is to keep a certain length on the premise of avoiding angulation.

The average follow-up time of the 16 patients who underwent surgical repair with follow-up records was 19 months. The longest follow-up time with artificial grafts under the age of 18 years was 26 months. Of these 16 patients, two died of infection during follow-up, an anastomotic stenosis of allografts occurred 7 days after surgery, and one PTFE graft was completely occluded 13 months after the operation. The graft patency rate was 93.3% (14/15). There is no literature recommending the routine use of anticoagulant or antiplatelet drugs for AAA patients with reconstructed branches. LeNguyen et al. (8) continued to use low-molecular-weight heparin for patients with anastomotic stenosis, resulting in graft patency at 1-year follow-up.

During the 40-month follow-up in our case, the artificial graft was twisted, but there was no obvious angulation and it remained unobstructed. The patient's physical development was not affected. We will continue to follow up the patient to observe graft patency.

CAAA is rare and has unknown etiology, and for patients with confirmed aneurysms, it is suggested to improve the systemic examination and long-term follow-up to exclude other lesions. Due to the high mortality rate, the long-term results of

open repair in children are still unclear. The patency of grafts also requires long-term follow-up observations and necessary drug adjuvant treatment. In cases of complications, timely and effective interventions are necessary.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding authors.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Medical Ethical Committee of the First Affiliated Hospital of Zhengzhou University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

ZZ was wrote the manuscript and was assistant in surgery. KM and YY were assistant in surgery and participate in editing the articles. ZH and ZL designed the operation and revising the manuscript. All authors contributed to the article and approved the submitted version.

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## Transumbilical Single-Site Laparoscopic Intraperitoneal Closure of the Internal Inguinal Ring for Pediatric Inguinal Hernia

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**Background:** A new novel technique for pediatric inguinal hernia (PIH) repair, namely, transumbilical single-site laparoscopic intraperitoneal closure (TUSLIC) of the internal inguinal ring (IIR) with a single instrument, was introduced. The short-term follow-up of TUSLIC for PIH was compared with that of transabdominal multiple-site laparoscopic extraperitoneal closure (TAMLEC) for PIH.

**Methods:** Descriptive variables, perioperative clinical features, and short-term outcomes were retrospectively analyzed and compared between the patients who underwent TUSLIC and those who underwent TAMLEC.

**Results:** In total, 289 patients were enrolled in this study. Of these, 190 patients received TUSLIC, and 99 patients received TAMLEC. The descriptive variables (including sex, age, weight, and preoperative diagnosis of patients) were comparable between the two groups (P-values were 0.12, 0.71, 0.69, and 0.23, respectively). The mean operative times for unilateral hernia repair and bilateral hernia repairs in TAMLEC group were significantly less than those in TUSLIC group (P < 0.01). The values of surgical site infection, umbilical bleeding, testicular atrophy, iatrogenic ascent of the testis, and secondary hydrocele were not significantly different between the two groups. There were no suture granulomas, and recurrence occurred in TUSLIC group, though at a significantly lower rate than in TAMLEC group (P < 0.05).

**Conclusions:** TUSLIC is a feasible, safe, and reliable minimally invasive method for PIH. Compared with TAMLEC, TUSLIC has the advantages of minimized complications and a low recurrence rate.

Keywords: pediatric inguinal hernia, transumbilical laparoscopic herniorrhaphy, internal inguinal ring, follow-up, outcomes

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#### INTRODUCTION

The laparoscopic pediatric herniorrhaphy (LPH) operation was first described by Montupet in 1993 using a purse-string suture technique, in which the internal inguinal ring (IIR) was closed with nonabsorbable threads (1, 2). The method of laparoscopic percutaneous extraperitoneal closure of IIR, as introduced by Takehara, is one of the simplest and most reliable operations for pediatric

inguinal hernia (PIH) (3). Conventional laparoscopic suturing procedures required three ports. These procedures are technically challenging and were not easily performed even by experienced pediatric surgeons (4).

The procedure of transabdominal multiple-site laparoscopic extraperitoneal closure (TAMLEC) of IIR has been developed for PIH repairs. However, extraperitoneal hernia sac ligation and knot burial subcutaneously in the management of TAMLEC have resulted in some complications, such as stitch sinus, infection, granuloma, and puckering of the skin. In addition, recurrent PIHs may occur due to loose sutures, which slowly cut through muscle tissue, especially in obese children with thick abdominal walls (5, 6). Recently, the percutaneous internal ring suturing (PIRS) requiring only a single umbilical port is used for pediatric inguinal hernia repair (3, 7-10). PIRS is technically easy with a short learning curve (11, 12). PIRS has satisfactory cosmesis and the advantage of identifying the patency of the contralateral processus vaginalis. However, it has been reported that PIRS had relatively higher rates of postoperative complications and recurrence (13). In the present study, we have established a new approach for PIH repair: transumbilical single-site laparoscopic intraperitoneal closure (TUSLIC) of IIR with a single instrument. In this study, we will present this new novel procedure of TUSLIC and analyze our initial experiences.

#### **MATERIALS AND METHODS**

#### **Design and Study Population**

This was a retrospective study of patients with PIH who underwent TUSLIC and TAMLEC between January 2020 and January 2021. Institutional review board approval for this retrospective case series was obtained at West China Hospital of Sichuan University. The inclusion criteria were as follows: patients age of 0–14 years and presence of clinically confirmed groin hernia (by ultrasonography examination); patients had received either TUSLIC or TAMLEC. The exclusion criteria were: patients with comorbidities, including Hirschsprung's disease (HD), abdominal tumors, and cryptorchidism. Patients' parents were given the option to choose the treatment (either TUSLIC or TAMLEC). The patients' parents or guardians gave written, informed consent.

#### **Study Outcomes**

Descriptive variables, perioperative clinical features, and short-term outcomes were analyzed and compared between the patients with TUSLIC and patients with TAMLEC. The primary outcome of this study was recurrence. Secondary outcomes included intraoperative and postoperative complications, conversions to open surgery, and operative time (ORT). We hypothesized that patients receiving TUSLIC might have

Abbreviations: PIH, pediatric inguinal hernia; TUSLIC, transumbilical single-site laparoscopic intraperitoneal closure; IIR, internal inguinal ring; TAMLEC, transabdominal multiple-site laparoscopic extraperitoneal closure; LPH, laparoscopic pediatric herniorrhaphy; HD, Hirschsprung's disease; PPV, potent processus vaginalis; ORT, operative time; SSI, surgical site infection; SD, standard deviation; 2-DGA, 2-dimensional gripping angle.

less recurrence and postoperative complications than those receiving TAMLEC.

## Surgical Technique The Procedure of TAMLEC

In the TAMLEC procedure, the patient was placed in a supine position with a monitor at the patient's feet. The operator stood on the opposite side of the inguinal hernia, and the camera assistant stood on the other side. A 5 mm incision was made through the center of the umbilicus with the open Hasson technique (14) to establish the pneumoperitoneum at a pressure of 6–8 mmHg with a flow rate of 3–6 L/min. A 5 mm trocar and a 30° laparoscope were introduced into the peritoneal cavity. Then, a second 3 mm incision was made for direct insertion of a 3 mm grasper without a trocar at the intersection point between the anterior midline and the level of 2.0–4.0 cm distal to the umbilicus.

Laparoscopy was started by inspection of the pelvis and bilateral IIRs. A modified Kirschner wire with a single 2-0 nonabsorbable thread was introduced vertically through a 2 mm eyelet at the surface projection of IIR to the preperitoneal space, in which the ilioinguinal nerve, as well as penetration of the peritoneum, were avoided. With the help of a 3 mm grasper traction on the peritoneum, the Kirschner wire easily traversed the epigastric vein and vas deferens in males beneath the peritoneum along the medial and inferior border of the IIR. The peritoneum was pierced medially by the wire, and the loop end of the thread was left intraperitoneally with the other end outside the abdomen when the wire was pulled out of body. Subsequently, the wire with another single 2-0 non-absorbable thread was inserted through the previous eyelet again, guided under the peritoneum of the superior and lateral border of the IIR, advanced over the spermatic cord vessels, and then pierced into the peritoneum where the loop end of the suture was left before. The end of the loop thread was placed through the loop at the tip of the Kirschner wire using a 3 mm grasper, after which the end of the double threads was pulled out by withdrawing the wire, the hernia sac was highly ligated extraperitoneally by tying both corresponding threads tightly, and the knots were buried subcutaneously.

If a contralateral potent processus vaginalis (PPV) was present, the TAMLEC procedure was repeated immediately without additional trocars and incisions. Finally, all the instruments were removed, the abdomen was desufflated, and the incisions (three in unilateral repair, four in bilateral repairs) over the abdominal wall were closed and covered with adhesive paper strips. In patients with hydrocele, the hydrocele was punctured from the scrotum.

#### The Procedure of TUSLIC

In the TUSLIC procedure, the patient was placed in a supine position with a monitor at the patient's feet. The surgeon stood on the head side of the patient. A 5 mm incision was made through the left rim of the umbilical ring with the open Hasson technique to establish pneumoperitoneum at a pressure of 6–8 mmHg with a flow rate of 3–6 L/min (**Figure 1**). A 5 mm trocar and a  $30^{\circ}$  laparoscope were introduced into the peritoneal cavity.



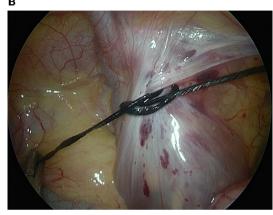
**FIGURE 1** | A 5 mm trocar and a 30° laparoscope were introduced into the peritoneal cavity through the left rim of the umbilical ring with the open Hasson technique. Another 3.0 mm incision was made for placement of the 3 mm laparoscopic needle holder or scissors at the right rim of the umbilical ring without a trocar.

A second 3 mm incision was made for placement of the working instrument at the right rim of the umbilical ring without a trocar.

Laparoscopy was started by inspection of the pelvis and both IIRs. An 18-G vascular catheter was pierced into the preperitoneal space between the peritoneum and the structures of the vas deferens. The spermatic cord in males was expanded with 1-5 ml saline solution. The needle with double 2-0 braided polyester threads punctured the body surface from the outside at the right or left lower quadrant into the peritoneal cavity, 2 cm above and lateral to the IIR, leaving one end of the double threads outside the abdominal wall. Under direct vision, the needle was driven to pierce the peritoneum at 5 o'clock for the beginning point of suture, advanced carefully in front of the spermatic cord vessels and vas deferens, beneath the peritoneum along the inferior margin of IIR, and pulled out of the peritoneum at 7 o'clock. Then, the needle was manipulated headward and reinserted into the preperitoneal space at 7 o'clock, guided along the medial, superior, and lateral margins of the IIR, passed over the inferior epigastric vein, and then drawn from the previous peritoneum hole at 5 o'clock. If the opening of the IIR was large, more steps were carried out to ensure the uninterrupted circle seam surrounding the IIR.

Once the circular seam around the IIR was completely established without any skip areas, the long end of the double threads was held outside of the abdomen by the surgeon's left





**FIGURE 2** | The tip of the short end of the threads was grasped by a needle holder and rotated 360° either under or above the long limb of the thread, forming a loop that was passed through the first loop (A). The loop was pushed in a downward and medial direction to the pelvic cavity, while the long end of the double threads outside the body was pulled upward (B).



**FIGURE 3** | The 5 and 3 mm incisions on the bilateral rims of the umbilical ring were closed. The puncture holes in the abdominal wall that were formed by the needle were left open without a dressing.

hand. The tip of the short end of the double threads was grasped and rotated 360 degrees either under or above the long limb of the

**TABLE 1** | Data of the descriptive variables and perioperative features of the two groups.

| Patients (N)                 | TUSLIC group    | TAMLEC          | P                 |
|------------------------------|-----------------|-----------------|-------------------|
| radents (v)                  | (190)           | group (99)      |                   |
| Sex (male/N)                 | 0.88            | 0.82            | 0.12 <sup>†</sup> |
| Age (months)                 |                 |                 |                   |
| Mean (SD)                    | $30.5 \pm 24.6$ | $29.4 \pm 21.8$ | 0.71#             |
| Median (IQR)                 | 26.3            | 26.1            |                   |
|                              | (19.2-35.6)     | (18.4-33.9)     |                   |
| Body weight (kg)             |                 |                 |                   |
| Mean (SD)                    | $16.7 \pm 8.4$  | $15.8 \pm 13.1$ | 0.69#             |
| Median (IQR)                 | 13.6            | 12.9            |                   |
|                              | (11.5–17.0)     | (11.2–16.6)     |                   |
| Preoperative diagnosis       |                 |                 |                   |
| Left                         | 59              | 24              | 0.23              |
| Right                        | 108             | 59              | 0.65 <sup>†</sup> |
| Bilateral                    | 23              | 16              | 0.34              |
| Unilateral:bilateral repairs | 92:88           | 55:44           | 0.25 <sup>†</sup> |
| ORT (unilateral) (min)       | $18.8 \pm 4.8$  | $12.2 \pm 2.1$  | < 0.0             |
| ORT (bilateral) (min)        | $29.9 \pm 5.6$  | $21.5 \pm 2.6$  | < 0.01            |
| Contralateral PPV (N)        | 65              | 28              | 0.31‡             |
| Conversion (N)               | 0               | 0               | 1.08              |
|                              |                 |                 |                   |

TUSLIC, transumbilical single-site laparoscopic intraperitoneal closure; TAMLEC, transabdominal multiple-site laparoscopic extraperitoneal closure; ORT, operative time; PPV, patent processus vaginalis; min, minutes; SD, standard deviation; IQR, interguartile range.

double threads, forming a loop (**Figure 2A**), which was passed through that loop and circulated twice around the long limb to make a surgeon's knot. The short end of the double threads was pushed in a downward and medial direction to the pelvic cavity, while the long end of the double threads was pulled upward (**Figure 2B**), which was repeated as above 2–3 times to form a locking knot. All threads were cut off, and a 5 mm long stump of the knot was left.

If a contralateral PPV was present, the TUSLIC procedure was performed simultaneously without additional trocars or incisions. Finally, all the instruments were removed, and the incisions at the bilateral rim of the umbilical ring were closed (**Figure 3**) and covered with adhesive paper strips. The puncture holes on the abdominal wall in the right or left lower quadrant were left open without dressing. In patients with hydrocele, the hydrocele was punctured from the scrotum.

#### **Data Collection and Statistical Analysis**

Patients were analyzed for their descriptive variables and perioperative clinical features (age at operation, sex, body weight, preoperative diagnosis, ORT, unilateral: bilateral repairs, contralateral PPV, and conversion) by reviewing their medical charts. The follow-up data, including the level of surgical site infection (SSI), umbilical bleeding, testicular atrophy, iatrogenic ascent of the testis, secondary hydrocele, suture granuloma, and recurrences, were collected at the last visit to our outpatient clinic according to the medical files.

TABLE 2 | Short-term follow-up data compared between the two groups.

| Patients (N)                    | TUSLIC<br>group (190) | TAMLEC<br>group (99) | P                 |  |
|---------------------------------|-----------------------|----------------------|-------------------|--|
| SSI (N)                         | 2                     | 0                    | 0.31 <sup>†</sup> |  |
| Umbilical bleeding              | 3                     | 0                    | 0.21 <sup>†</sup> |  |
| Testicular atrophy (N)          | 0                     | 0                    | N/A               |  |
| latrogenic ascent of the testis | 0                     | 0                    | N/A               |  |
| Secondary hydrocele (N)         | 0                     | 1                    | 0.17†             |  |
| Suture granuloma (N)            | 0                     | 4                    | 0.01†             |  |
| Recurrence (N)                  | 0                     | 3                    | 0.04†             |  |
| Follow-up (m)                   | $9.8 \pm 3.4$         | $9.6 \pm 3.2$        | 0.81 <sup>‡</sup> |  |

TUSLIC, transumbilical single-site laparoscopic intraperitoneal closure; TAMLEC, transabdominal multiple-site laparoscopic extraperitoneal closure; SSI, surgical site infection; m, month; N/A, data not available.

Independent sample Student's t-tests (or Mann–Whitney U-test) and chi-squared tests (or Fisher's exact test) were used to compare continuous and categorical descriptive variables, respectively. The results are expressed as the mean with standard deviation (SD) or median with interquartile range. The software applied for statistical calculation was IBM SPSS 22.0 for Windows 10.0 (IBM Corp.). A P of <0.05 was considered statistically significant.

#### **RESULTS**

## Data on Descriptive Variables and Perioperative Clinical Features

There were 299 patients with PIH under 14 years of age who underwent TUSLIC and TAMLEC between January 2020 and January 2021. Among them, 10 patients were excluded from the study because of combined comorbidities (four patients had HD, three patients had retroperitoneal lymphangioma, two patients had oval cystic teratoma, and one patient had cryptorchidism). The remaining 289 patients were enrolled in this study. Of them, 190 patients underwent TUSLIC, and 99 patients underwent TAMLEC. Among 190 patients in TUSLIC group, there were 168 males and 22 females with a mean age of  $30.5 \pm 24.6$  months. Among 99 patients in TAMLEC group, there were 81 males and 18 females with a mean age of  $29.4 \pm 21.8$  months. The descriptive variables, including sex, age, body weight, and preoperative diagnosis, between the two groups were comparable (P > 0.05; Table 1).

During the operation, all patients performed well in both groups. No patient needed to convert to conventional herniorrhaphy. The value of contralateral PPV was 34.2% in TUSLIC group, which was not significantly different from the 28.3% in TAMLEC group (P=0.31). The ORTs for unilateral hernia repair and bilateral hernia repair in TAMLEC group were significantly lower than those in TUSLIC group (P<0.01). No intraoperative complications occurred in the two groups.

<sup>&</sup>lt;sup>†</sup> P-value was calculated using the chi-square test.

<sup>\*</sup>P-value was calculated using the Mann–Whitney U-test.

<sup>&</sup>lt;sup>‡</sup>P-value was calculated using an independent sample Student's t-test.

<sup>§</sup>P-value was calculated with Fisher's exact test.

P-value was calculated with Fisher's exact test.

P-value was calculated using an independent sample Student's t-test.

#### **Short-Term Follow-Up Results**

The response rate for the telephone questionnaire and/or clinic interview was 94.8%, including 190 patients with TUSLIC (93.7%) and 99 patients with TAMLEC (97.0%). The data of 15 patients (TUSLIC 12, TAMLEC 3) were not collected. They had incorrect phone numbers, and no family member was contactable for the telephone or clinic interview.

The follow-up time was 9.8  $\pm$  3.4 months in TUSLIC group and 9.6  $\pm$  3.2 months in TAMLEC group (P=0.81). Postoperative complications, including SSI, umbilical bleeding, testicular atrophy, iatrogenic ascent of the testis, and secondary hydrocele, were not significantly different between the two groups (P>0.05; **Table 2**). Compared with TAMLEC group, there was no suture granuloma and recurrent PIH occurred in TUSLIC group (P=0.01 and 0.04, respectively). In TAMLEC group, one obese patient and two patients with postoperative suture granuloma had inguinal hernias that recurred.

#### DISCUSSION

Transumbilical two-port laparoscopic intraperitoneal closure, which is a well-developed minimally invasive surgery for PIH, leaves almost invisible scars on the abdominal wall and avoids the disadvantages of extraperitoneal closure of IIR (15-18). However, it has not achieved wide acceptance because of its demanding techniques and difficult learning curve (19). In response to these challenges, the TUSLIC of IIR with a single instrument was established in January 2020, which is an improved transumbilical two-port laparoscopic intraperitoneal closure. In the present study, we provided evidence that TUSLIC is a safe and effective procedure for IIR in pediatric population. In addition, the results of our study revealed that TUSLIC had the advantages of minimized postoperative complications and a low recurrence rate comparing to those of TAMLEC. The peri- and postoperative complications, such as SSI, umbilical bleeding, testicular atrophy, iatrogenic ascent of the testis and secondary hydrocele after TUSLIC, were not significantly different from those after TAMLEC. However, the ORT during TUSLIC was significantly longer than that during TAMLEC due to the complex techniques in TUSLIC of IIR with the single instrument.

Recurrence rate is one of the most important outcome measures in PIH operation. It has been demonstrated that recurrence rates after open PIH repair and standard 3 port laparoscopic hernia repair ranged from 0.5 to 4% and 0.7 to 4.5%, respectively (8, 13, 20). In the present study, no cases of recurrence were recorded in patients receiving TUSLIC after a mean follow-up of 9.8 months.

Enlargement of the preperitoneal space with saline solution between the peritoneum along the inferior border of the IIR and the structures of the spermatic cord vessels and vas deferens is a prerequisite for successful TUSLIC. Moreover, normal saline for preperitoneal hydrodissection could predispose patients to the formation of preperitoneal local adhesions and fibrosis (21). It has been demonstrated that peritoneal trauma prior to repair could decrease the possibility of recurrence (3). Therefore, during passing of the threads, the saline liquid in the preperitoneal space

may cause more tissue trauma, further promote the formation of healing around the IIR and reduce later recurrences.

Avoidance of damaging vas deferens and spermatic blood vessels is also a major concern during PIH operation. In TUSLIC, a volume of 1–5 ml of saline injection is needed for most patients. For children with a large opening of the hernia sac, a 5-10 ml or greater volume of saline injection could help unfold the redundant peritoneum along the inferior border of the IIR, which is convenient for seaming without a jumping zone and protects the spermatic cord vessels and vas deferens from damage. In the present study, no injury of epigastric or iliac blood vessels occurred in TUSLIC. These complications have been commonly reported in patients receiving PIRS (3, 10). Importantly, it has been revealed that more experienced pediatric surgeon had a lover incidence of these intraoperative complications (7, 12). However, questions still exist as whether TUSLIC, PIRS, or any other PIH repair technique may damage the spermatic cord. In this regard, testicular atrophy has been observed in patients who receiving open inguinal hernia repair. Remarkably, no case of testicular atrophy was recorded in a study of 188 patients receiving PIRS, with a median follow-up of 46 months (7).

During TUSLIC, it is important to note that when the working instrument repeatedly passes the incision at the right edge of the umbilicus, a false path into the peritoneal cavity may be formed, leading to more tissue trauma. This may have been responsible for the two cases of SSI and three cases of umbilical bleeding after TUSLIC. However, these complications were observed to decrease as we gained experience in TUSLIC. For small infants with a small abdominal space, the supine position is tilted 15° with the head down, the emptied bladder and the appropriately raised pneumoperitoneum, all of which can contribute a satisfactory working space. Similarly, it has been reported that complications significantly decreased after 10–25 or 30–35 patients in PIRS (7, 9).

Previous studies confirmed that there was a learning curve for intraoperative complications that reached the benchmarks after each pediatric surgeon performed at least 30–35 cases (11, 12).

The basic technique in TUSLIC is to control the needle tip direction with a single needle holder, which can be practiced by changing the 2-dimensional gripping angle (2-DGA) between the axis of the needle body and needle holder. The 2-DGA can be altered by adjusting the needle end when the needle tip is anchored by the sutured tissue or by rotating the needle body when the needle end is suspended by attached threads from the outside abdominal wall. However, the learning curve of TUSLIC may be long and steep, which requires much simulator training, even for a senior surgeon. Therefore, this may explain why the ORT in patients receiving TUSLIC was much longer than that of patients receiving TAMLEC or PIRS (20, 22–24).

Our study cohort included patients who aged 0–14 years. A subgroup of our patients were adolescents, with an age of 10–14 years. Form the pathophysiology of view, inguinal hernias in adolescent are more similar to that in children than in adults. We could not analyze clinical outcomes of the adolescent subgroup in our current study due to a relatively small sample size. However, no severe complications and recurrence were observed in our adolescent subgroup. In a recent study, the authors reported no

postoperative complications and recurrences occurred in a group of 51 adolescents who receiving PIRS with an average follow-up of 44 months (25). These findings, together with our observation, further support that laparoscopic high ligation is a reliable procedure for inguinal hernia repair in adolescent patients.

It is important to consider the limitations of this study. First, this is a retrospective study conducted in a single institution and may not reflect all institutions. A multicenter randomized trial comparing the two treatment modalities is necessary. Second, the follow-up data for a small number of patients enrolled in this research were missing, which may have affected the final statistical results. Third, our study had a relative short follow-up period (mean about 9.5 months). This may be too short to draw some serious conclusions. A more convincing conclusion may require a longer follow-up in the future.

#### CONCLUSION

In conclusion, TUSLIC for PIH is a feasible, safe and reliable minimally invasive procedure for a well-trained surgeon. Compared with TAMLEC, TUSLIC has the advantages of a lower recurrence rate and fewer complications. The TUSLIC procedure for IIR with a single instrument may be considered an alternative option for PIH.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethics Committee of West China Hospital (No. 2019-006). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **AUTHOR CONTRIBUTIONS**

ZX designed the study. ZX and YJ collected data and managed its quality. ZX, YJ, YL, XZ, and TQ performed the statistical analysis and drafted the manuscript. SC and YJ contributed substantially to its revision. All authors read the manuscript carefully, approved the final version, and participated data interpretation.

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# The Role of ICG Angiography in Decision Making About Skin-Sparing in Pediatric Acute Trauma

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**Background:** Indocyanine green (ICG) angiography has proven useful in assessing skin flap perfusion in plastic and reconstructive surgeries. This research aimed to explore its role in decision making about skin-sparing in children's acute trauma.

**Methods:** A total of 19 patients suffering with acute trauma from January 2019 to September 2021 were retrospectively assessed. Both ICG angiography and clinical judgment were performed to evaluate skin tissue viability. The intraoperative decisions for each case depended on the specific condition of the traumatic wound, including tissue perfusion, skin defect area, and location of the wound. Postoperative vascular imaging software was used to quantify the tissue perfusion, and the duration of postoperative follow-up was from 6 to 18 months.

**Results:** Among them, 18 (94.7%) patients experienced treatments according to ICG angiography and did not develop postoperative necrosis. One case with right forearm trauma suffered from partial necrosis. Hypertrophic scar and local infection were the independent complications, which were managed by symptomatic treatment.

**Conclusion:** ICG angiography may reduce the risk of postoperative necrosis and renders a promising adjunctive technique for surgeons to make reasonable decisions in skin sparing in acute pediatric trauma.

Keywords: indocyanine green angiography, trauma, necrosis, perfusion, children

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#### **INTRODUCTION**

The evaluation of skin tissue viability is the key to the management of children's acute trauma and is generally associated with the prognosis of local appearance or function (1, 2). An appropriate debridement of skin tissues with hypoperfusion, which can remarkably reduce the occurrence of postoperative necrosis, however, is mainly based on subjective experience. Therefore, decision making about skin sparing is a test for young surgeons, especially in cases of laceration or avulsion injury, that create a state of vasoconstriction intraoperatively (3, 4). Due to inaccurate judgment and individual differences, local necrosis often occurs after operation, resulting in surgical complications, such as scar hyperplasia, delayed wound healing, and infection.

Intraoperative indocyanine green (ICG) angiography has been widely used in assessing skin flap perfusion in various kinds of plastic and reconstructive surgeries (5–8). It can be an efficient adjuvant to enhance the surgeon's judgment of skin tissue viability and significantly decrease the odds of local necrosis after flap surgery. Moreover, an increasing amount of research focuses on the application of ICG angiography in both burn depth estimation and precise marking for burn

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excision (9–11). However, the current clinical study of ICG angiography on acute trauma surgery is extremely limited. Therefore, this study aimed to characterize the efficacy and safety of ICG angiography in decision making about skin sparing in children's acute trauma.

#### PATIENTS AND METHODS

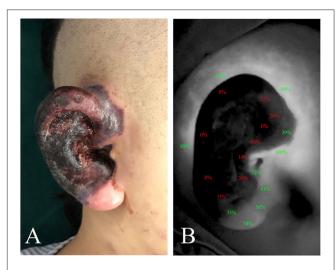
We retrospectively assessed the clinical features, management, and follow-up of 19 patients suffering with acute trauma. All of the included cases were treated in our center between January 2019 and September 2021. Skin tissue viability was evaluated by both ICG angiography and clinical judgment (capillary refill, skin color, and bleeding of wound margin). Inclusion criteria included (1) hypoperfusion by clinical judgment, (2) the traumatic wound involving only skin and soft tissue, and (3) more than 6 months of follow-up. Exclusion criteria included (1) patients having undergone previous intervention and (2) a history of iodine allergy.

Under general anesthesia, 2.0–4.0 ml ICG (concentration: 2.5 mg/ml, Dandong Yichuang, China) was applied intravenously in 10 s followed by a 10-mL saline bolus injection. The maximum dose of ICG was 0.5 mg/kg per session. It took 20–30 s to observe the full effect of fluorescence, and then the area of skin tissue perfusion was visualized by using a fluorescence imaging system (Mingde Medical Diagnosis Inc., Langfang, China). Subsequently, real-time ICG angiography was continuously conducted for 5–10 min. The percentage of wound skin viability was estimated by viable skin area/total trauma area (cm²). The interval should be more than 20 min if repeated ICG angiography is required. The intraoperative decisions for each case depended on the specific condition of the traumatic wound, including tissue perfusion, skin defect area, and location of the wound. Tissue with hypoperfusion was excised when identified by ICG results.

Moreover, postoperative vascular imaging software (Version 1.0, Mingde Medical Diagnosis Inc., Langfang, China) was used to quantify the tissue perfusion at a random point (**Figure 1**). A perfusion intensity of <33% of maximal perfusion in the trauma site was regarded as an indicator of hypoperfusion (7, 12). For all cases enrolled in this study, the duration of postoperative follow-up was from 6 to 18 months.

#### **RESULTS**

In this study, the male:female ratio was 13:6 with an age range of 2–10 years. The vast majority (14 cases, 73.7%) suffered with craniofacial trauma. Time to intraoperative ICG perfusion was from 15 to 75 s. A summary of clinical features of this study population is shown in **Table 1**. Precise marking for tissue with hypoperfusion was shown by excision ICG angiography. In 12 of 19 cases (63.2%), the skin viable portion judged by clinical finding was larger than that detected by ICG angiography (**Figure 2**). ICG angiography indicated acceptable perfusion in three cases (15.8%), which were determined to be an at-risk area by clinical judgment (**Figure 3**). Besides this, clinical findings were in accordance with ICG angiography in four cases (21.1%).



**FIGURE 1** The intraoperative perfusion area was assessed by ICG angiography, and postoperative vascular imaging software was used to quantify the tissue perfusion at random points. **(A)** Clinical appearance of right ear with crush injury. **(B)** The percentage in the image represented tissue perfusion compared with the maximal area of perfusion, which was set as 100%. A perfusion intensity of <33% of maximal perfusion in the trauma site was regarded as an indicator of hypoperfusion. ICG, indocyanine green.

Notably, 18 (94.7%) patients experienced appropriate treatment according to ICG angiography and did not develop postoperative necrosis. The case with partial necrosis was a fat boy. Part of the wound tissue with pale color and poor capillary refill was observed from clinical manifestation, and we performed resection of the tissue with hypoperfusion according to ICG assessments. A local skin flap with a thick fat layer was used to cover the defect of the wound, and postoperative skin necrosis was shown in the distal edge of the flap.

All cases underwent this investigation without any reports of allergic reactions, nausea, hematoma, or ICG-related pigmentation. Some minor complications were observed in this cohort, including two cases of hypertrophic scar and one case of local infection, which were managed by symptomatic treatment.

#### DISCUSSION

Characteristics owned uniquely by children make management of acute trauma more challenging. Distinguished from adults, children have less soft tissue to absorb the transmission of traumatic energy, leading to deeper injury and a larger extent of skin involvement (13, 14). Inaccurate acquisition of history and incompliant physical examination interfere with the surgeon's estimation of injury severity. Moreover, severe pain and crying may induce vasospasm and poor perfusion in the wound. For young surgeons, one of the major problems is how to determine the accurate extent and perfusion of involved skin tissue. As a result, optimizing the decision on skin sparing in acute trauma surgery to minimize any negative impact on postoperative appearance is becoming increasingly important.

TABLE 1 | Clinical features of 19 cases with acute trauma.

| Caes<br>No. | Gender | Age (yr) | Location of trauma     | Skin viab            | le portion (%)  | Treatment  | Postoperative necrosis | Follow-up<br>(month) |
|-------------|--------|----------|------------------------|----------------------|-----------------|--|------------------------|----------------------|
|             |        |          | _                      | Clinical<br>judgment | ICG angiography |  |                        |                      |
| 1           | М      | 2        | Nose                   | 85                   | 100             | Suture in situ with skin-sparing                 | None                   | 12                   |
| 2           | F      | 5        | Left face              | 100                  | 100             | Suture in situ with skin-sparing                 | None                   | 6                    |
| 3           | F      | 6        | Left calf              | 90                   | 82              | Suture in situ after partial resection           | None                   | 12                   |
| 4           | М      | 3        | Scalp                  | 100                  | 91              | Suture in situ after partial resection           | None                   | 18                   |
| 5           | М      | 4        | Left forehead          | 94                   | 82              | Local flap after partial resection               | None                   | 10                   |
| 6           | М      | 4        | Bilateral upper eyelid | 100                  | 100             | Suture in situ with skin-sparing                 | None                   | 18                   |
| 7           | М      | 7        | Lower lip              | 100                  | 92              | Local flap after partial resection               | None                   | 10                   |
| 8           | F      | 6        | Right middle finger    | 85                   | 80              | Skin graft after partial resection               | None                   | 14                   |
| 9           | F      | 7        | Upper lip              | 95                   | 90              | Suture in situ after partial resection           | None                   | 12                   |
| 10          | М      | 10       | Left eyebrow           | 100                  | 100             | Suture in situ with skin-sparing                 | None                   | 6                    |
| 11          | М      | 8        | Right forearm          | 93                   | 85              | Local flap after partial resection               | Partial necrosis       | 12                   |
| 12          | F      | 9        | Right ear              | 35                   | 20              | Subtotal resection                               | None                   | 12                   |
| 13          | М      | 3        | Right ring finger      | 82                   | 100             | Skin graft after partial resection               | None                   | 18                   |
| 14          | М      | 6        | Nose                   | 100                  | 95              | Local flap after partial resection               | None                   | 18                   |
| 15          | М      | 7        | Left upper arm         | 85                   | 100             | Suture in situ after partial resection           | None                   | 12                   |
| 16          | М      | 8        | Neck                   | 100                  | 100             | Suture in situ with skin-sparing                 | None                   | 10                   |
| 17          | М      | 9        | Left index finger      | 100                  | 91              | Distal-based thenar flap after partial resection | None                   | 14                   |
| 18          | F      | 5        | Right face             | 96                   | 90              | Local flap after partial resection               | None                   | 10                   |
| 19          | М      | 3        | Right forehead         | 95                   | 87              | Suture in situ after partial resection           | None                   | 8                    |

ICG, indocyanine green; M, male; F, female.

Some non-invasive techniques are reported in wound management for the estimation of depth and severity, including infrared thermography, laser doppler imaging, and ICG angiography. Infrared thermography is easily operated and permits accurate evaluation of wound viability much earlier than clinical judgment (15-17). However, due to its high sensitivity to the surrounding environment, small changes in room temperature may remarkably affect the infrared thermography signal from the wound (18). Laser doppler with low-resolution fast scan is reported to be more accurate and effective than clinical judgment alone in predicting burn wound outcome. The laser light in wound tissue exhibits a frequency change, which is correlated with the amount of tissue perfusion (19-21). It is worth noting that the high cost of the laser doppler imaging device hinders its wide clinical application. Besides this, any body movement during the scanning could result in inaccurate imaging (22).

ICG angiography, as a widely applied fluorescence imaging technique, can provide confirmatory information of tissue perfusion in various kinds of flap surgery and affect decision making about skin sparing to minimize the potential of necrosis. Driessen et al. (23) studied female patients undergoing mastectomies with or without immediate reconstruction and revealed a substantial decrease in skin necrosis with the use of ICG angiography. Kawamoto et al. (24) report that, compared

with thermography, ICG angiography could confirm variation in perfusion of the intercostal muscle flap and make it possible for reducing bronchopleural fistula development. Abdelwahab et al. (25) notes that ICG angiography is an effective method to qualify and quantify neovascularization perfusion of forehead flaps, and time between stages and cartilage graft use were significantly associated with perfusion measures. However, the application of ICG on the prediction of extent and perfusion of traumatic wounds is still lacking.

To our knowledge, this study is the first clinical research to demonstrate a decreased risk of trauma-related necrosis after ICG angiography evaluation in children. Our analysis showed that 15 cases (78.9%) experienced inconsistent judgment on skin perfusion by both clinical findings and ICG angiography. All intraoperative decisions in skin sparing were based on ICG results, and no postoperative necrosis was observed in 18 cases (94.7%). This could provide surgeons with objective evidence, which was beneficial for judgment on perfusion, the surgical plan, and prediction of prognosis. Furthermore, postoperative imaging software was performed to quantify tissue perfusion as a percentage, which helped to objectively manifest the distribution of blood supply in the traumatic wound. By studying the comparison between clinical appearance and postoperative software results, it can facilitate a surgeon's ability to judge intraoperative skin sparing in the future. Due to the short plasma

ICG Angiography in Pediatric Trauma

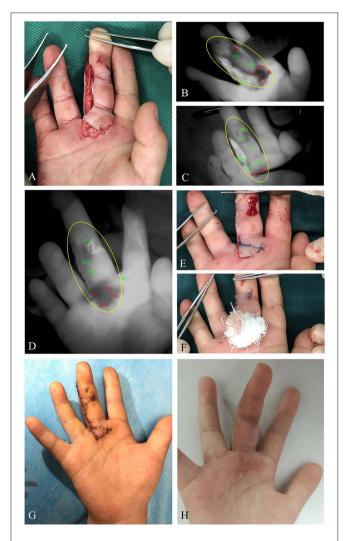


FIGURE 2 | A 6-year-old female patient suffered with a cut of right middle finger caused by an electric fan. (A) Clinical appearance of the right middle finger with retrograde skin avulsion injury. (B) Immediate ICG angiography indicated diminished ICG uptake of proximal wound portion. (C) After 5-min observation, hypoperfusion of the proximal wound edge was still detected. (D) With the wound closure, ICG angiography was performed again to evaluate the skin perfusion, demonstrating persistent hypoperfusion of the proximal wound portion. (E) The proximal wound portion with poor capillary refill was marked under angiography. (F) With resection of the poorly perfused tissue, a reverse full-thickness skin graft was performed in this area. (G) Fourteen days postoperatively, ideal skin survival was obtained. (H) Clinical appearance at the third month postoperatively. Yellow circle, region of trauma. ICG, indocyanine green.

FIGURE 3 | A 2-year-old male patient suffered with nose trauma caused by running against a glass door. (A) Clinical appearance of the distal wound edge with unhealthy color and poor capillary refill. (B) Immediate ICG angiography indicated diminished ICG uptake of the distal wound edge, which was consistent with clinical finding. (C) With 5-min continuous observation, improved perfusion of the distal wound was demonstrated by ICG angiography. (D) According to ICG findings, suture in situ with skin sparing was chosen to perform for the wound. (E) The wound was sutured in place, and ICG angiography was repeated immediately, demonstrating hypoperfusion again of the distal wound portion. (F) An acceptable perfusion was achieved after 5-min observation. (G) Four days postoperatively, clinical appearance with uneventful healing was obtained. (H) Clinical appearance at the 10th day postoperatively. Yellow circle, region of trauma. ICG, indocyanine green.

half-life time of ICG, intraoperative ICG angiography with a 20-min interval could be repeated if necessary, and no ICG-related side effect was observed in this study.

The weaknesses of this research includes its small sample size and lack of prospective control study. Due to incompliant physical examination of children, ICG angiography can only be performed under general anesthesia. In addition, perfusion of deep tissue cannot be assumed by ICG angiography (limited to

10 mm for visualization), and thus, postoperative hypoperfusion of deep fat tissue might be the cause of one case with partial necrosis. Besides this, given that randomness of acute trauma (e.g., location, cause, extent, type), the control group without ICG evaluation was not included in our research.

In conclusion, our findings support the likelihood that ICG angiography renders a promising adjunctive technique

for surgeons to make reasonable decisions in skin sparing in pediatric acute trauma.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Ethics Committee of the Children's Hospital of Nanjing Medical University. Written informed consent to

participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

WS revised the manuscript and approved the final manuscript as submitted. JC performed the surgery and conducted the data analyses. BS and WW performed postoperative follow-up and analyzed the data. TH wrote a draft of the article and edited the figures. All authors contributed to the article and approved the submitted version.

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# High Anal Canal Pressure and Rectal Washouts Contribute to the Decrease of Anal Basal Pressure After Botulinum Toxin Injections in Paediatric Patients With Chronic Constipation

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**Introduction:** Chronic constipation can be treated by injecting botulinum toxin into the anal sphincter to decrease anal basal pressure. To assess the effect of botulinum toxin, we investigated the factors that contribute to changes in anal basal pressure after injection.

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**Methods:** This was a retrospective study conducted in a tertiary hospital in the Netherlands. We included children with chronic constipation treated with botulinum toxin injections and measured anal basal pressure before and after each injection. Multivariable linear regression analyses were used.

**Results:** We investigated 30 cases with idiopathic constipation. Their median age was 20.5 (7.75–53.25) months. Anal basal pressure decreased after injection in 20 cases. The mean decrease of anal basal pressure after injection was  $18.17 \pm 35.22$  mmHg. The anal basal pressure change was linearly correlated with preinjection pressure ( $R^2 = 0.593$ , P < 0.001). A significant decrease of pressure was observed in patients with preinjection pressure > 70 mmHg. Preinjection anal basal pressure ( $\beta = -0.913$ , P < 0.001) and rectal washouts ( $\beta = -21.015$ , P = 0.007) contributed significantly to pressure changes. Changes in anal basal pressure were also significantly associated with patients' weights ( $\beta = 0.512$ , 95% CI, 0.011–1.013) and sex ( $\beta = 22.971$ , 95% CI, 9.205–36.736).

**Conclusions:** Botulinum toxin significantly decreases anal basal pressure when preinjection pressure is higher than 70 mmHg. In patients with severely elevated anal basal pressure, we recommend rectal washouts to promote the decrease of anal basal pressure.

Keywords: pediatric constipation, manometry, physiology, rectal washout, anal basal pressure, botulinum toxin

#### INTRODUCTION

Chronic constipation is often encountered in children and can be present as idiopathic constipation or as a result of an organic problem, such as anorectal malformation or Hirschsprung's disease (1-4). Dietary fibers, laxatives and enemas are often prescribed to treat idiopathic chronic constipation (5). If necessary, these remedies are also used to support defecation in children with organic constipation who have already been treated for the organic cause. Alternatively, if a child does not respond to conservative treatment, botulinum toxin injections in the anal sphincter can be administered (2, 3, 5-9). The idea underlying botulinum toxin therapy for treating chronic constipation stems from the assumption that elevated anal basal pressure indicates chronic contraction of the anal sphincter, disabling its relaxation when emptying the rectum is appropriate and thus hampering defecation (10, 11). Indeed, there are studies that confirm the association between elevated anal basal pressure and chronic constipation (12, 13). Botulinum toxin was introduced to force the anal sphincter to relax, thereby decreasing pressure in the anal canal and thus relieving intractable constipation (14, 15). This type of treatment, however, is not effective in all constipated patients (3). In the case of children, the efficacy regarding symptom improvement varies between 17 to 91% (16). This wide range may be the result of the different methodological designs of studies and of the fact that efficacy is based primarily on symptom improvement which, in case of children and toddlers in particular, relies mostly on their parents' opinions and might therefore be subjective. Nevertheless, it is undeniable that some patients do not respond to botulinum toxin therapy. To date, no factor has been identified that significantly determines the efficacy of botulinum toxin to reduce anal basal pressure. Seeing that constipation itself is associated with demographic factors such as age and sex (17), it could be that these factors also contribute to the efficacy of botulinum toxin therapy.

Botulinum toxin therapy requires anesthesia and as such it is invasive. Therefore, there is need to find predictive factors that enable us to distinguish between patients who will respond to the treatment and those who will not; the latter should subsequently be offered a different type of treatment (18–20).

Based on our clinical experience, we hypothesize that anal basal pressure measured before injection of botulinum toxin may be one of the factors that determines the response of the anal sphincter to this neurotoxin. In this study, we aimed to investigate factors that contribute to the decrease of anal basal pressure in pediatric patients whom we treated with botulinum toxin for chronic constipation.

#### **MATERIALS AND METHODS**

#### **Patients and Data Collection**

This was a retrospective observational study. We included pediatric patients who received at least one botulinum toxin injection for severe chronic constipation and who underwent anorectal physiology tests at the Anorectal Physiology Laboratory at the University Medical Center of Groningen before and after treatment between March 2013 and November 2020. The patients were diagnosed with chronic constipation and failed to respond to conservative treatment. This included laxatives, enemas, and finally, rectal washouts if their defecation remained troublesome even after all the conservative treatments had been tried. Some patients were treated with botulinum toxin more than once (Figure 1). We defined each injection as a separate case. We excluded those cases in which anal basal pressure was measured longer than 12 months before the botulinum toxin injection or more than 3 months afterwards. We also excluded the cases of patients who received anal surgery, which may influence anal function, between the botulinum toxin injection and manometry (Figure 1). This study was performed in accordance with the Ethical standards of our Institutional Research Committee and registered as M19.235067.

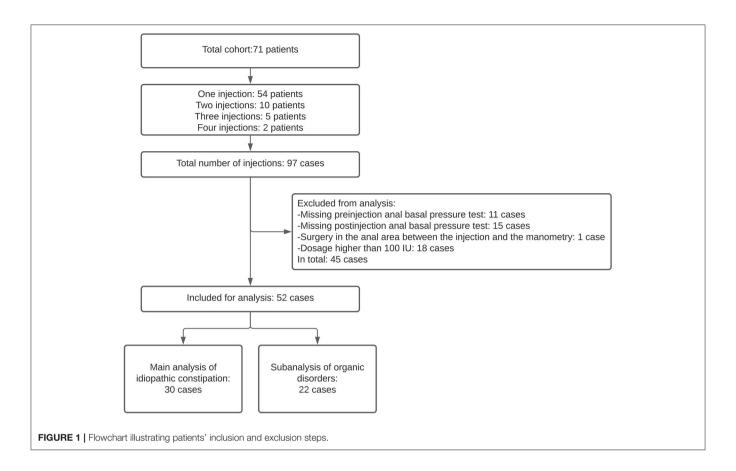
#### **Treatment Procedure**

All the patients referred for botulinum toxin therapy for chronic constipation were given injections in the anal sphincter according to the same protocol. We administered the injection with the patient in supine position. The patients were treated under general anesthesia, without locoregional anesthesia. A rectal speculum was used for clear vision. We administered the same dose of 100 IU of botulinum toxin (Botox, Allergen, the Netherlands) with each injection. We inserted a 27-guage needle into the anal sphincter parallel to the anal canal axis by penetrating the skin outside the anal verge and injected the botulinum toxin in four quadrants, at 3, 6, 9, 12 o'clock (Supplementary Figure 1). The injections were all administered by the same group of experienced pediatric surgeons.

The laxative treatments that had already been initiated before referring the patients for botulinum toxin therapy were continued for approximately 6 months after administering the botulinum toxin injections. In case patients were treated with rectal washouts before the botulinum toxin treatment, they used NaCl 0.9% once or twice a day. This procedure was continued during the first week after injection. The volume of NaCl was adjusted according to patients' age and weight. After the first week, depending on the severity of the constipation symptoms at that moment, the frequency of rectal washouts was gradually reduced. Instead of following a standardized protocol for the gradual reduction of bowel management, reduction of washouts was strictly personalized in that it was based on each patient's individual symptoms.

#### **Measuring Anal Basal Pressure**

We performed the anal basal pressure test within at most 12 months before the injection and within 3 months after the injection, thereby considering the 3–6 months' effect duration of botulinum toxin (15, 20, 21). We measured anal basal pressure with a Laborie/Unisensor K12981solid-state (Boston type) circumferential catheter (Laborie Portsmouth, NH, USA) with an outer diameter of 12F (**Supplementary Figure 1C**). After placing the catheter, time was allowed for the child to become



used to the catheter and for us to measure the resting pressure correctly. We defined a change in anal basal pressure as the value after injection minus the value before injection. We used the gastrointestinal, high-resolution manometry system Solar GI HRAM, Version 8.23 (Laborie/Medical Measurement Systems, Enschede, the Netherlands) to record and analyse the data. The measurements were performed without anesthesia.

#### **Evaluation of Symptomatic Improvement**

We based symptomatic improvement on the interviews held by medical specialists with the pediatric patients' parents during postoperative consultations. Symptomatic improvement was achieved if parents reported that their children were able to defecate without bowel management and/or without pain and/or effort after receiving botulinum toxin therapy. For analysis, the cut-off value of a 30% decrease in anal basal pressure after injection was considered as effective. We based this value on the report by Minkes and Langer (6).

#### Statistical Analysis

All statistical analyses were performed using IBM SPSS Statistics, Version 23.0 (IBM Corp, Armonk, NY, USA). The continuous variables were reported as means  $\pm$  standard deviations and compared with t-tests. Relation between categorical variables was analyzed with Fisher exact test. The Pearson test was used to analyse the correlation between the basal pressure changes after injecting botulinum toxin and other continuous variables.

Univariable analysis was used to search for possible predictors of the change in the basal pressure. The multivariable linear regression analysis was used to adjust for any possible cofactors and to find independent factors that may predict the change in basal pressure. The receiver operating characteristic (ROC) curve and Youden index were utilized to determine the optimal cut-off value. A *P*-value < 0.05 was accepted as significant. Figures were generated using GraphPad Prism 8.2.0 (GraphPad Software Inc, San Diego, CA, USA).

#### **RESULTS**

#### **Demographics and Clinical Characteristics**

We included 43 pediatric patients who were subjected to botulinum toxin treatment for chronic constipation, 35 (81%) of whom received 1 injection, 7 (16%) received 2 injections and 1 patient (2%) received 3 injections, which resulted in 52 cases (Figure 1). In 30 cases (57.7%) the patients suffered idiopathic constipation, that is they had no organic disorders that could be associated with chronic constipation. In 20 cases (38.5%) the patients had Hirschsprung disease and in 2 (3.8%) cases the patients had congenital anorectal malformation. For the main analysis we included the cases with idiopathic constipation, and for the subanalysis we included the patients with organic disorders. The clinical characteristics of the cases included in this study are summarized in Table 1.

TABLE 1 | Clinical characteristics of cases included in this study.

| Idiopathic constipation  30 20.5 (7.75–53.25) 19/11 (63%/37%) 11.1 (8.39–17.03) | 22<br>29.5 (20.5–108.5)<br>15/7 (68%/32%)                       |
|---|---|
| 20.5 (7.75–53.25)<br>19/11 (63%/37%)  | 29.5 (20.5–108.5)   |
| 19/11 (63%/37%)   | , ,   |
| ,   | 15/7 (68%/32%)  |
| 11.1 (8.39-17.03)   |   |
| . (2.2200)  | 13.55 (11.58–27.95)   |
|   |   |
| 9 (30%)   | 15 (68%)  |
| 21 (70%)  | 7 (32%)   |
| $101.47 \pm 61.81$  | $68.23 \pm 60.85$   |
| 21.3 ±10  | 27.68 ±15.26  |
|   |   |
| 25 (93%)  | 10 (63%)  |
| 1 (4%)  | 6 (38%)   |
| 1 (4%)  | 0   |
|   | 21 (70%) $101.47 \pm 61.81$ $21.3 \pm 10$ $25 (93\%)$ $1 (4\%)$ |

## The Change in Anal Basal Pressure After Botulinum Toxin Therapy in Patients With Idiopathic Constipation

In cases with idiopathic constipation, the anal canal basal pressure was  $91.33 \pm 28.25$  mmHg before the botulinum toxin injection and  $73.17 \pm 22.49$  mmHg after the injection (P=0.008, **Figure 2A**, **Table 2**). The time between the injection and measurement after injection was  $21.3 \pm 10$  days. In 20 cases (66.7%), anal basal pressure after injecting botulinum toxin had decreased when compared to the pressure before the injection. A graphical representation of the anal basal pressure change is presented as a 2D map in **Supplementary Figure 2**. No changes were observed in 3 (10%) cases, while pressure increased in 7 cases (23.3%). In these 7 cases the mean value of anal basal pressure before injection was significantly lower than in the other 20 cases whose anal basal pressure decreased after injection (77.14  $\pm$  24.3 mmHg vs. 100.75  $\pm$  26.12 mmHg, P=0.047) (**Table 2**).

Anal basal pressure before injection and the changes in pressure observed after injection were significantly correlated  $(R^2 = 0.593, P < 0.001,$  **Figure 2B**). Using the ROC curve analysis, we found that the preinjection anal basal pressure was 67.5 mmHg when reaching the highest Youden index of 0.50 (Figure 2C). When we rounded off the cut-off value to 70 mmHg, we observed that sensitivity was 100%, specificity was 50%, the positive prediction value was 80% and the negative prediction value was 100%. Consequently, we used 70 mmHg as a cut-off value to distinguish two subgroups: cases with anal basal pressure  $\leq$ 70 mmHg and cases whose anal basal pressure was >70 mmHg. Anal basal pressure decreased significantly after injection in cases whose anal basal pressure before injection was >70 mmHg (P =0.005, Figure 2D). In contrast, in cases whose anal basal pressure before injection was ≤70 mmHg, we observed no significant decrease of anal basal pressure (P = 0.07, **Figure 2D**).

#### Factors That May Influence Changes in Anal Basal Pressure in Patients With Idiopathic Constipation After the Botulinum Toxin Injection

Using the univariable linear regression analysis we found that in cases with idiopathic constipation the change in anal basal pressure was negatively associated with basal pressure before injection ( $\beta = -0.960$ , P < 0.001, **Table 3**). The change in anal basal pressure was not significantly associated with age at the time of injection ( $\beta = 0.179$ , P = 0.163), the time interval between injection and testing postinjection anal basal pressure ( $\beta = 0.441$ , P = 0.510), weight ( $\beta = 0.652$ , P = 0.177), number of injections ( $\beta = 13.293$ , P = 0.387), rectal washouts ( $\beta = -16.111$ , P = 0.258) and sex ( $\beta = 25.144$ , P = 0.058).

Weight was significantly correlated with the age (r = 0.951,P < 0.001). When analyzing correlation of anal basal pressure changes with weight and with age using Pearson' correlation, we found that the r and P-values were comparable for age and weight (r = 0.261, P = 0.163 and r = 0.253, P = 0.177, respectively). Because weight can differ between patients of the same age and based on our clinical experience, we think that it is weight itself rather than age that contributes to the efficacy of botulinum toxin therapy. Therefore, to investigate the predictive value of anal basal pressure before injection for anal basal pressure change, we chose to adjust only for weight in the multivariable analysis. The four variables included in the multivariate analysis, that is anal basal pressure before injection, rectal washout and weight and sex, were independent of each other (Supplementary Table 1). Using multivariable analysis, we found that the anal basal pressure change after injection was negatively associated with anal basal pressure before injection  $(\beta = -0.913, P < 0.001)$  and rectal washout  $(\beta = -21.015, P =$ 0.007). Furthermore, change in anal basal pressure was positively associated with weight ( $\beta = 0.512$ , P = 0.046) and with being a boy ( $\beta = 22.971$ , P = 0.002) (**Table 3**).

## Clinical Symptomatic Improvement in Patients With Idiopathic Constipation

Information regarding symptomatic improvement was available in 29 cases of patients with idiopathic constipation. We found that 23 (79%) cases experienced symptom improvement and that the symptomatic improvement was associated with neither preinjection anal basal pressure nor decrease of the anal basal pressure (P = 0.553 and 1.00, respectively, **Figure 3**).

## The Change in Anal Basal Pressure After Botulinum Toxin Injection in Patients With Organic Constipation

In cases with organic constipation, the anal canal basal pressure was  $88.86 \pm 15.81$  mmHg before the botulinum toxin injection and  $73.64 \pm 17.54$  mmHg after the injection (P < 0.001 **Figure 4A**, **Table 2**). The time between the injection and measurement after injection was  $27.68 \pm 15.26$  days. In 19 cases (86.4%), anal basal pressure after injecting botulinum toxin had decreased when compared to the pressure before the injection. No change was observed in none of the cases. Pressure increased

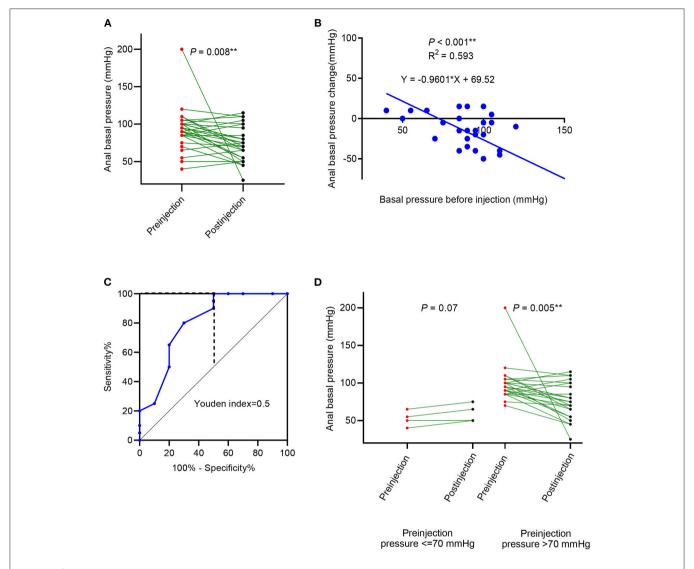


FIGURE 2 | Anal basal pressure change, after botulinum toxin injection in patients with idiopathic constipation. (A) The change in anal basal pressure after the botulinum toxin; (B) linear correlation between preinjection anal basal pressure and change in anal basal pressure; (C) receiver operating characteristic (ROC) curve using preinjection anal basal pressure to predict the decrease of anal basal pressure; (D) comparison of anal basal pressure before and after the botulinum toxin injection in patients whose anal basal pressure before injection was ≤70 mmHg and >70 mmHg.

in 3 cases (13.6%). In these 3 cases, the mean value of anal basal pressure before injection was lower than in the other 19 cases whose anal basal pressure decreased after injection. The difference, however, was not statistically significant (75  $\pm$  13.23 mmHg vs. 91.05  $\pm$  15.33 mmHg, P=0.103).

Anal basal pressure before injection and the change in pressure observed after injection were correlated ( $R^2 = 0.167$ , P = 0.059). Using the ROC curve analysis, we found that preinjection anal basal pressure was 72.5 mmHg when reaching the highest Youden index of 0.562 (**Figure 4B**). When we rounded off the cut-off value to 70 mmHg, we observed that sensitivity was 89.5%, specificity was 33.3%, the positive prediction value was 89.47% and the negative prediction value was 33.3%. Consequently, we used 70 mmHg as a cut-off value to distinguish two subgroups:

cases with anal basal pressure  $\leq$ 70 mmHg and cases whose anal basal pressure was >70 mmHg. Anal basal pressure decreased significantly after injection in cases whose anal basal pressure before injection was >70 mmHg (P < 0.001, **Figure 4C**). In contrast, in cases whose anal basal pressure before injection was  $\leq$ 70 mmHg, we observed no significant decrease of anal basal pressure (P = 1.00, **Figure 4C**).

## Factors That May Influence Change in Anal Basal Pressure After the Botulinum Toxin Injection in Cases With Organic Disorders

Using univariable linear regression analysis we found that also in cases with organic disorders the change in anal basal pressure

TABLE 2 | Anal basal pressure before and after botulinum toxin injection.

#### Patients with idiopathic constipation

| Variables             | Number of cases n (%) | Basal pressure before<br>injection <sup>†</sup><br>(mmHg) | <b>P</b> * | Basal pressure after<br>injection <sup>†</sup><br>(mmHg) |
|-----------------------|-----------------------|---|------------|--|
| Overall               | 30                    | 91.33 ± 28.25   | 0.008      | 73.17 ± 22.49  |
| Pressure change       |                       |   |            |  |
| Decreased             | 20 (66.7%)            | $100.75 \pm 26.12$  | 0.001      | $69.5 \pm 20.19$   |
| Unchanged             | 3 (10%)               | $61.67 \pm 20.21$   | -          | $61.67 \pm 20.21$  |
| Increased             | 7 (23.3%)             | $77.14 \pm 24.3$  | < 0.001    | $88.57 \pm 25.12$  |
| Sex                   |                       |   |            |  |
| Boys                  | 19 (63.3%)            | $90 \pm 16.83$  | 0.045      | $81.05 \pm 17.04$  |
| Girls                 | 11 (36.7%)            | $93.64 \pm 42.37$   | 0.05       | $59.55 \pm 24.95$  |
| Rectal washout        |                       |   |            |  |
| Yes                   | 9 (30%)               | $90 \pm 45$   | 0.165      | $60.56 \pm 19.44$  |
| No                    | 21 (70%)              | $91.90 \pm 18.61$   | 0.005      | $78.57 \pm 21.92$  |
| Patients with organic | disorder              |   |            |  |
| Overall               | 22                    | $88.86 \pm 15.81$   | < 0.001    | $73.64 \pm 17.54$  |
| Pressure change       |                       |   |            |  |
| Decreased             | 19 (86.4%)            | $91.05 \pm 15.33$   | < 0.001    | $72.11 \pm 17.82$  |
| Unchanged             | 0                     | -   | -          | -  |
| Increased             | 3 (13.6%)             | $75 \pm 13.23$  | 0.038      | $83.33 \pm 14.43$  |
| Sex                   |                       |   |            |  |
| Boys                  | 15 (68.2%)            | $91 \pm 17.03$  | 0.002      | $73.67 \pm 18.94$  |
| Girls                 | 7 (31.8%)             | $84.29 \pm 12.72$   | 0.094      | $73.57 \pm 15.47$  |
| Rectal washout        |                       |   |            |  |
| Yes                   | 15 (68.2%)            | $85.67 \pm 14.86$   | 0.001      | $67 \pm 13.73$   |
| No                    | 7 (31.8%)             | $95.71 \pm 16.69$   | 0.091      | $87.86 \pm 17.04$  |

<sup>†</sup>Data are presented as mean + standard deviation

was negatively associated with basal pressure before injection ( $\beta=-0.425$ , P=0.059). The change in anal basal pressure was not significantly associated with age at the time of the injection ( $\beta=0.058$ , P=0.488), the time interval between injection and testing postinjection anal basal pressure ( $\beta=0.048$ , P=0.845), weight ( $\beta=0.157$ , P=0.671), number of injections ( $\beta=-6.563$ , P=0.418), rectal washout ( $\beta=-10.81$ , P=0.155) and sex ( $\beta=-6.619$ , P=0.392).

Using multivariable analysis, we found that the anal basal pressure change after injection was negatively associated with anal basal pressure before injection ( $\beta = -0.577, P = 0.009$ ), and rectal washout ( $\beta = -16.607, P = 0.02$ ), but it was not statistically associated with weight (**Table 4**).

# Clinical Symptomatic Improvement in Patients With Organic Disorders Constipation

Information regarding symptomatic improvement was available for 20 cases with organic disorders constipation. We found that 16 (80%) cases experienced symptom improvement and that symptomatic improvement was associated with neither

preinjection anal basal pressure nor decrease of the anal basal pressure (P = 0.368 and 1.00, respectively, **Figures 3C,D**).

#### DISCUSSION

With this study we demonstrated that preinjection anal basal pressure and rectal washouts contribute to the decrease of anal basal pressure after botulinum toxin therapy. In patients with idiopathic constipation, weight and sex were also associated with the changes in anal basal pressure after treatment with botulinum toxin.

It is known that approximately 66% of patients treated with botulinum toxin for chronic constipation do not respond or show a suboptimal response to this treatment, but the reasons for this observation remain unclear (16). Although botulinum toxin therapy in patients with chronic constipation is intended to decrease the pathophysiologically elevated anal basal pressure, patients are usually referred for treatment without first measuring the pressure. Chumpitazi et al., suggested measuring anal basal pressure before the botulinum toxin injection (18). They proposed that only patients with high anal canal pressure would benefit from botulinum toxin therapy for functional

P\*, paired t-test between anal basal pressure before injection and anal basal pressure after injection.

TABLE 3 | Univariable linear regression analyses of factors that could influence changes in anal basal pressure change in cases with idiopathic constipation (n = 30).

#### Univariable analysis

| Independent variables                  | Beta coefficient <sup>†</sup> | 95%         | % CI        | Standard error | P       |
|--|-------------------------------|-------------|-------------|----------------|---------|
|  |                               | Lower bound | Upper bound |                |         |
| Basal pressure before injection (mmHg) | -0.960                        | -1.268      | -0.652      | 0.150          | < 0.001 |
| Age at injection§ (months)             | 0.179                         | -0.077      | 0.435       | 0.125          | 0.163   |
| Time interval (days)¶                  | 0.441                         | -0.912      | 1.793       | 0.660          | 0.510   |
| Weight (kg)                            | 0.652                         | -0.313      | 1.617       | 0.471          | 0.177   |
| Number of injections                   | 13.293                        | -17.686     | 44.271      | 15.123         | 0.387   |
| Rectal washout                         |                               |             |             |                |         |
| Yes                                    | -16.111                       | -44.691     | 12.469      | 13.952         | 0.258   |
| No                                     | O <sup>‡</sup>                |             |             |                |         |
| Sex                                    |                               |             |             |                |         |
| Boys                                   | 25.144                        | -0.916      | 51.203      | 12.722         | 0.058   |
| Girls                                  | O‡                            |             |             |                |         |
| Multivariable analysis                 |                               |             |             |                |         |
| Basal pressure before injection (mmHg) | -0.913                        | -1.153      | -0.672      | 0.117          | < 0.001 |
| Weight (kg)                            | 0.512                         | 0.011       | 1.013       | 0.243          | 0.046   |
| Rectal washout                         | -21.015                       | -35.598     | -6.432      | 7.081          | 0.007   |
| Sex                                    |                               |             |             |                |         |
| Boys                                   | 22.971                        | 9.205       | 36.736      | 6.684          | 0.002   |
| Girls                                  | O‡                            |             |             |                |         |

<sup>†</sup>Unstandardised beta coefficient; ‡Reference category.

obstruction. They did not, however, provide the cut-off value. Consequently, in clinical practice the definition for high pressure is a subjective matter. Our finding that anal basal pressure had only decreased significantly in patients whose anal basal pressure before injection of botulinum toxin was higher than approximately 70 mmHg, provides such a cut-off value. This finding is supported by the fact that the change in anal basal pressure after injection of botulinum toxin showed a negative linear correlation with the anal basal pressure observed before injection. A ROC analysis also confirmed that sensitivity and specificity regarding prediction of anal basal pressure was highest when the cut-off value was set at 70 mmHg. Interestingly, is seemed that the cut-off value we established could be used independently of the cause of increased anal basal pressure. We base this conclusion on the fact that we found that patients without organic disorders as well as patients with Hirschsprung's disease or patients with anorectal malformations responded to botulinum toxin therapy provided their anal basal pressure was >70 mmHg.

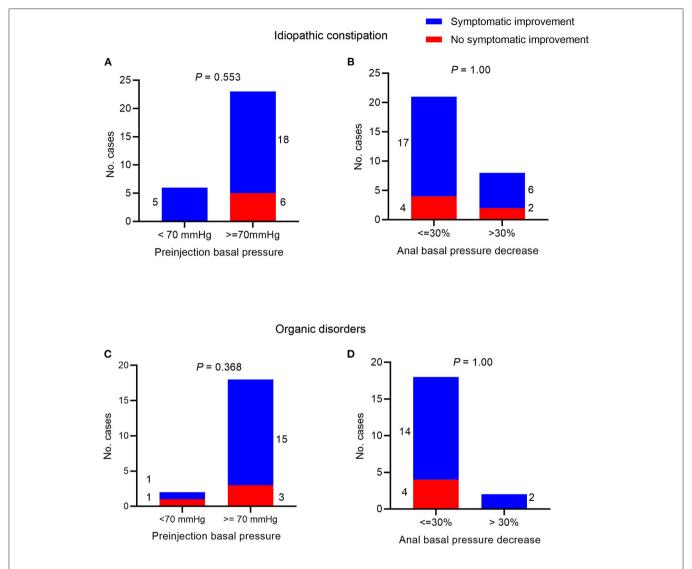
Like Minkes and Langer (6), we too found no relation between changes of anal basal pressure and clinical outcomes in terms of constipation-related symptoms. One might therefore question the value of manometric tests to assess anal basal pressure. With this study, however, we showed that manometry in constipated patients is useful because it can confirm or exclude the presence of increased anal basal pressure—one of the causes

of constipation (22). Furthermore, in case of increased anal basal pressure, manometric tests can indicate whether botulinum toxin therapy could be profitable. Nevertheless, manometric assessment should not be considered the only diagnostic tool with which to diagnose the causes of constipation. Moreover, particularly in children, monitoring anal basal pressure both prior to as well as after injecting botulinum toxin may have additional value. It provides a quantitative and objective outcome, viz. the magnitude of decrease of anal basal pressure, which can be used to follow the patient. Currently, treatment efficacy is based mostly on symptomatic improvement, which is extremely subjective, especially in case of young pediatric patients. A recent systematic review by Roorda et al., showed that the prevalence of symptomatic improvement of pediatric patients varies between 17 to 91% (16). Such a wide range might result from the fact that the youngest patients often cannot describe their symptoms themselves, while their parents are unable to provide objective information concerning the severity of certain symptoms.

Even though we were unable to pinpoint the exact reason why decreased anal basal pressure was not associated with symptomatic improvement in our study, we think it might be due to one or more of the following reasons. First, for this study the information regarding the symptoms was neither collected objectively nor investigated systematically. This was a result of the retrospective study design and because at

<sup>§</sup>Age and weight were significantly correlated and therefore, for multivariable analysis, age was not taken as a cofactor.

Time interval between injection and anal basal pressure measurement after injection.



**FIGURE 3** | Symptomatic improvement after botulinum toxin injection. In patients with idiopathic constipation and Chi-square test between symptom improvement and **(A)** preinjection anal basal pressure <70 mmHg; **(B)** decrease of anal basal pressure ≤30/>30% post-injection. In patients with organic disorders and Chi-square test between symptom improvement and **(C)** preinjection anal basal pressure <70 mmHg/≥70 mmHg; **(D)** decrease of anal basal pressure ≤30%/>30% post-injection.

our hospital medical specialists do not use a validated tool routinely during control visits. Second, although increased anal basal pressure is frequently considered to be the sole cause of constipation, other causes might coexist with increased pressure and, as a consequence reducing anal basal pressure alone may not be sufficient to lead to symptomatic improvement. There are also causes that could lead to constipation independent of anal basal pressure, for instance, decreased colon motility (23), in which case decreased anal basal pressure will also not result in symptomatic improvement. Finally, the reason that symptoms persist in congenital cases such as Hirschsprung's disease may reside in retained aganglionic segments or other dysganglionoses in the proximal colon, events that may explain

postoperative enterocolitis, but constipation as well (24, 25). A noteworthy finding by Meunier et al., is that among children with chronic constipation over 50% have low or normal anal basal pressure (12). This information, taken together with our finding, explains why not all patients respond positively to botulinum toxin therapy. Apparently, anal basal pressure needs to be sufficiently elevated in order to decrease after botulinum toxin injection. This finding indicates the importance of monitoring anal basal pressure prior to injecting botulinum toxin. However, currently such monitoring does not occur frequently according to the literature. Perhaps this is because anorectal manometry is not routinely available at all hospitals where botulinum toxin injections are administered. Moreover, the current criteria

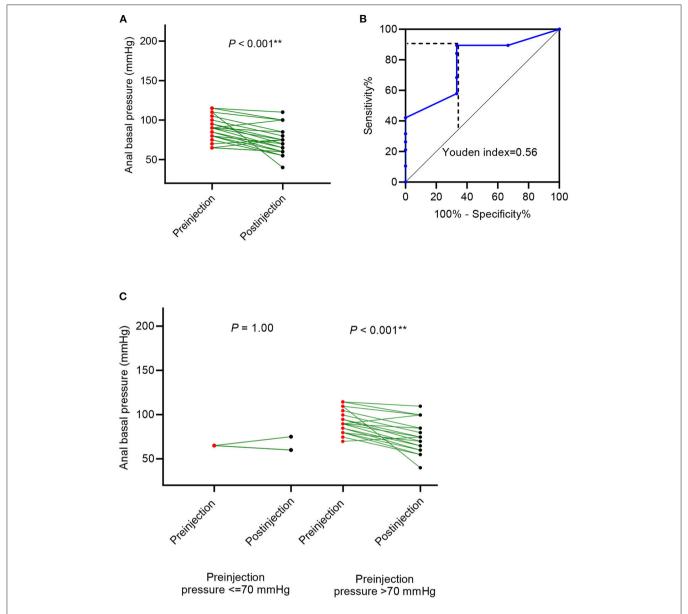


FIGURE 4 | Anal basal pressure change after botulinum toxin injection in patients with organic disorders, such as Hirschsprung's disease (HD) and congenital anorectal malformations (CARM). (A) The change in anal basal pressure after botulinum toxin injection; (B) receiver operating characteristic (ROC) curve using preinjection anal basal pressure to predict the decrease of anal basal pressure decrease; (C) comparison of anal basal pressure before and after the botulinum toxin injection in patients whose anal basal pressure before injection was ≤70 mmHg and >70 mmHg.

for referring a patient for botulinum toxin therapy has been undefined on account of the lack of a cut-off value. Thus, little could be gained by measuring the pressure before the injection. Now, the insight that injecting botulinum toxin into the anal sphincter when its pressure is lower than 70 mmHg does not decrease anal basal pressure, provides a clear indication which patients should not be referred for this treatment if the aim is to decrease anal basal pressure. Noteworthy, this value is valid only if the anal basal pressure is measured with a solid-state catheter. For other systems, such as a water perfused system, follow-up studies should be performed to determine such a cut-off value.

Our finding partially corroborates the study of Lindsay et al., who also found an association between the response of anal sphincter to botulinum toxin and anal basal pressure before the botulinum toxin injection (26).

In the group of patients with idiopathic constipation we found that patients' ages and weights both correlated with the changes in basal pressure. It is natural that as children grow older, their weight and musculature increases. Weight, however, may vary greatly even between the children of the same age. In clinical practice a medical specialist should therefore adjust the dose according to children's weights rather than to their ages. For the

TABLE 4 | Univariable linear regression analyses of factors which possibly influence changes in the anal basal pressure change in cases with organic disorders (n = 22).

#### Univariable analysis

| Independent variables                  | Beta coefficient <sup>†</sup> | 95%         | % CI        | Standard error | P     |
|--|-------------------------------|-------------|-------------|----------------|-------|
|  |                               | Lower bound | Upper bound |                |       |
| Basal pressure before injection (mmHg) | -0.425                        | -0.868      | 0.017       | 0.212          | 0.059 |
| Age at injection§ (months)             | 0.058                         | -0.112      | 0.228       | 0.082          | 0.488 |
| Time interval (days)¶                  | 0.048                         | -0.454      | 0.550       | 0.241          | 0.845 |
| Weight (kg)                            | 0.157                         | -0.601      | 0.914       | 0.363          | 0.671 |
| Number of injections                   | -6.563                        | -23.101     | 9.976       | 7.928          | 0.418 |
| Rectal washout                         |                               |             |             |                |       |
| Yes                                    | -10.810                       | -26.081     | 4.462       | 7.321          | 0.155 |
| No                                     | O <sup>‡</sup>                |             |             |                |       |
| Sex                                    |                               |             |             |                |       |
| Boys                                   | -6.619                        | -22.402     | 9.164       | 7.566          | 0.392 |
| Girls                                  | O <sup>‡</sup>                |             |             |                |       |
| Multivariable analysis                 |                               |             |             |                |       |
| Basal pressure before injection (mmHg) | -0.577                        | -0.990      | -0.164      | 0.197          | 0.009 |
| Rectal washout                         | -16.607                       | -30.310     | -2.903      | 6.547          | 0.02  |

<sup>†</sup>Unstandardised beta coefficient; ‡Reference category.

same dosage of botulinum toxin, the higher the child's weight, the less obvious the decrease in anal basal pressure. Moreover, male sex seems to contribute negatively to treatment outcomes when measured in terms of decrease in anal basal pressure. We explain this observation by the fact that male patients usually have a longer anal canal and that the volume of their anal sphincter muscle is larger (27). We did not confirm the correlation between the change in basal pressure and either age or weight in the group with organic constipation. This might have been caused by the smaller size of this group.

In our study the time interval between injection and the postinjection test was not correlated with changes in anal basal pressure. According to the literature, the effect duration of botulinum toxin varies between 3 to 6 months. To avoid any bias caused by the diminishing effect of botulinum toxin, we excluded all the cases with a time interval of more than 3 months between injection and the postinjection test. Additionally, we took the time interval between the injection and the postinjection anal basal pressure measurement as a cofactor in regression analysis to correct for it statistically (15, 20, 21).

Finally, we demonstrated that rectal washouts also contribute significantly to the magnitude of decrease of anal basal pressure after botulinum toxin therapy. This finding is corroborated by the study of Chan et al., who showed that rectal washouts are an useful tool to manage chronic constipation in adults (9). Some physicians consider rectal washouts to be relatively invasive in the sense that repeated washouts might irritate the rectum, damage the mucosa (28) and increase anal canal pressure and that, consequently, resulting in even more severe constipation. Our study showed that such concerns are

unjustified. Regarding the relation between bowel washouts and the decrease of anal basal pressure, we think that this relation might be caused by the fact that there are receptors of the anal external sphincter continence reflex (AESCR) in the mucosa of anal canal (29). In healthy subjects the AESCR controls fecal continence by involuntary contraction of the external anal sphincter. In constipated patients, hard feces passing the anal canal during defecation may irritate and damage the mucosa of the anal canal and make the AESCR receptors hypersensitive. In turn, this could lead to overactivation of the AESCR, causing the spasm of the anal sphincter, and thus lead to chronically increased anal basal pressure. We have described this hypothesis before (30). Bowel management softens the feces. This supports regeneration of anal mucosa and prevents it from damaging, which stops the AESCR from overreacting, and allows the anal basal pressure to decrease to its physiological level.

This study has several limitations. First, on account of its retrospective design, some information such as the frequency and duration of rectal washouts, is missing. Second, we were unable to analyse detailed symptomatic improvement in order to compare it to physiological changes. It is not a standard procedure in our hospital to use validated tools to assess constipation symptoms during control visits, which in case of this retrospective study disabled consistent collection of outcomes.

#### CONCLUSION

In summary, botulinum toxin therapy significantly decreases anal basal pressure when the preinjection pressure is higher

<sup>§</sup>Age and weight were significantly correlated and therefore, for multivariable analysis, age was not taken as a cofactor.

Time interval between injection and anal basal pressure measurement after injection.

than 70 mmHg. In our opinion, patients suffering from severely elevated anal canal pressure should be advised to use rectal washouts in combination with botulinum toxin therapy to increase treatment efficacy.

#### DATA AVAILABILITY STATEMENT

The datasets presented in this article are not readily available because of ethical concerns, the data has potentially identifiable information. Requests to access the datasets should be directed to corresponding author.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethical Committee of University Medical Center Groningen. Written informed consent to participate in this study was not required by the Ethical Committee.

#### **AUTHOR CONTRIBUTIONS**

GS: conducting the study, collecting, analyzing interpreting data, and drafting the manuscript. MT: conceptual design of the study, interpreting data, and critical revision of the manuscript. PB: conceptual design of the study, interpreting data, and critical revision of the manuscript. All authors contributed to the article and approved submitted version.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fped. 2022.819529/full#supplementary-material

**Supplementary Figure 1** | The administration of the botulinum toxin injections. **(A)** Injections were administered in four quadrants; **(B)** injection migratory direction and distance; **(C)** measurement of anal canal pressure with manometry.

Supplementary Figure 2 | The 2D map representing graphical outcome of anal canal pressure measured with manometry from one patient. (A) Before injection, the anal canal pressure was high; (B) after injection anal canal pressure has decreased

**Supplementary Table 1** | Correlation analysis<sup>†</sup> between the variables taken into the multivariable linear regression analysis in cases with idiopathic constipation (n=30).

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# Super-Minimal Incision Technique in Pediatric Kidney Transplantation: A Paired Kidney Analysis

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**Background:** Recently, the demand for minimally invasive techniques in kidney transplantation (MIKT) has increased. However, there is only a limited number of studies on MIKT, especially in pediatric kidney transplants. Hence, we evaluated whether there is a difference between the super-minimal incision technique in pediatric kidney transplantation (SMIPKT) and conventional kidney transplantation (CKT).

**Methods:** Between December 2018 and November 2021, 34 patients who underwent pediatric kidney transplantation with a follow-up of 1 month were enrolled. A paired kidney analysis was performed to minimize donor variability and bias. The SMIPKT and CKT groups included 17 patients.

**Results:** There was no difference in baseline clinical characteristics, including age, sex, the donor/ recipient weight ratio (DRWR), choice of dialysis modality, pretransplant dialysis time, BMI, renal artery number, cause of ESRD, DGF, length of the kidney and cold ischemic time, tacrolimus concentration at 3 and 7 days, serum creatinine at 1 month and postoperative complication rate between the SMIPKT and CKT groups (all P > 0.05). However, the length of the incision, operation time, intraoperative bleeding, postoperative drainage volume within 24 h and Vancouver scar scale at 1 month were statistically significant (all P < 0.05).

**Conclusion:** Compared with CKT, our results indicated that SMIPKT showed more satisfactory cosmetic results, shorter SMIPKT operating time, and reduced intraoperative bleeding and postoperative drainage volume within 24 h. There were also no statistical differences in postoperative complications. Hence, we suggest that SMIPKT is an appropriate method for pediatric kidney transplantation.

Keywords: pediatric kidney transplantation, super-minimal incision, conventional kidney transplantation, postoperative complications, cosmetic result

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#### INTRODUCTION

Minimally invasive surgery (MIS) in laparoscopic living donor nephrectomy has become an optimal choice for many transplant centers (1, 2). Compared with open, conventional operations in living donor nephrectomy, the advantages of MIS include reduced tissue trauma and postoperative pain, and pleasing cosmetic results (3, 4). However, in the past few years, there have only been limited reports of minimally invasive techniques for adult kidney transplantation (MIKT) (5, 6), but few publications on pediatric kidney transplantation (PKT). Considering the potential advantages

of reducing incision/tissue trauma in immunosuppressed pediatric kidney transplant recipients, a super-minimal incision technique ( $\sim$ 4–6 cm) in pediatric kidney transplantation (SMIPKT) was evaluated in our transplant center. Therefore, the aim of the present study was to evaluate whether there is a difference between SMIPKT and conventional kidney transplantation (CKT) and the effectiveness of SMIPKT in pediatric recipients.

#### **MATERIALS AND METHODS**

#### **Experimental Design**

This was a single-center retrospective study. A paired kidney analysis was performed to minimize donor variability and bias. Thirty-four grafts from 17 pediatric donors after cardiac death were distributed to our transplant center using China's organ distribution system. Pediatric recipients from the same pediatric donor were divided into the SMIPKT and CKT groups, depending on whether the incision was minimal or not. All surgeons have been performing kidney transplantation for over 10 years.

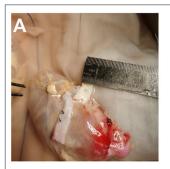
#### **Inclusion and Exclusion Criteria**

The inclusion criteria were <18 years, first kidney transplantation, and no previous surgery at the transplant site.

The exclusion criteria were older than 18 years, more than two kidney grafts, En bloc or dual kidney transplantation, or only one kidney was distributed to our transplant center by China's organ distribution system.

#### Surgical Technique SMIPKT

Before transplantation, we performed a careful back-table kidney preparation (Figures 1A,B). In SMIPKT, we used an incision starting 2-3 cm below the umbilicus and extending 4-6 cm along the outer edge of the rectus abdominis (Figures 2A,B). The length of the incision was selected based on the size of the graft. Only the "conjoined tendon" and hardly any muscular tissue were divided. The inferior abdominal vessels, spermatic cord, or ovoid ligament need not be dissected. The origin of the internal iliac artery and its terminal branches, the external iliac vein, and the bladder can be fully exposed and dissected in a minimalistic fashion (Figures 2C, 3A,B). After the back-table preparation of the kidney was completed, the renal graft was wrapped with gauze, leaving only vessels for anastomosis, and then placed into a custom-made ice bag wrapped with ice sludge for cooling. The graft vein was anastomosed to the external iliac vein (end-to-side), and the graft artery was anastomosed to the internal iliac artery (endto-end). A continuous suture with 6-0 SurgiPro was used for both vascular anastomoses (Figure 3C). Following anastomosis, intraluminal air was thoroughly excluded by infusing heparin saline. The graft blood flow was then opened (Figures 3D,E). The graft ureter was anastomosed to the recipient's bladder with running sutures using 5-0 absorbable suture via the Lich-Gregoir technique with a 3-4.7 Fr double J stent. All incisions were sutured subcutaneously using 3-0 absorbable



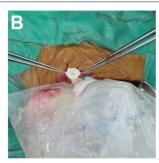


FIGURE 1 | A careful back-table preparation of the kidney. (A) The short right renal vein was extended by reconstruction using the vena cava (white arrow). (B) Tailored agric patch.

sutures. The blood loss of all patients was collected using a negative pressure suction device during surgery. Blood loss and abdominal fluid drainage were precisely measured using a self-control precision metering drainage bag (**Figure 3F**). The short right renal vein was extended by reconstruction using the vena cava.

#### CKT

Conventional pediatric Gibson's technique has been practiced for years (from 2008 to 2021, 60–100 pediatric kidney transplantations per year) in our transplantation center, with an incision ~8–14 cm in length in the lower right abdomen (Figures 2D,E). The inferior abdominal vessels and ovoid ligament were ligated, and the spermatic cord was dissociated. Through the skin and muscular layers, with wide extraperitoneal exposure of the internal/external iliac artery and external iliac vein, the lymphatic trunks alongside the vessels and the small branches of peripheral blood vessels were ligated. The remaining surgical steps were applied in a similar manner to the patients in the SMIPKT group.

#### **Postoperative Management**

All postoperative patients were admitted to the ICU of the kidney transplantation ward for 5 days. Central venous cannulation, with catheter placement by either the subclavian or internal jugular vein, has been well-established to monitor central venous pressure. Continuous non-invasive blood pressure monitoring is necessary during the 1st week after surgery, and the blood pressure is allowed to fluctuate between 100/60 and 120/80 mmHg. The drainage fluid and urine output were recorded per hour.

#### Immunosuppression Protocol

All recipients received a reduced dose of anti-human T lymphocyte rabbit immunoglobulin + methylprednisolone (cumulative 18–21 mg/kg) for preoperative induction and postoperative prevention of rejection. A triple immunosuppressive regimen of CNI combined with mycophenolate mofetil (MMF) and prednisone was administered postoperatively. The initial dose of tacrolimus was determined

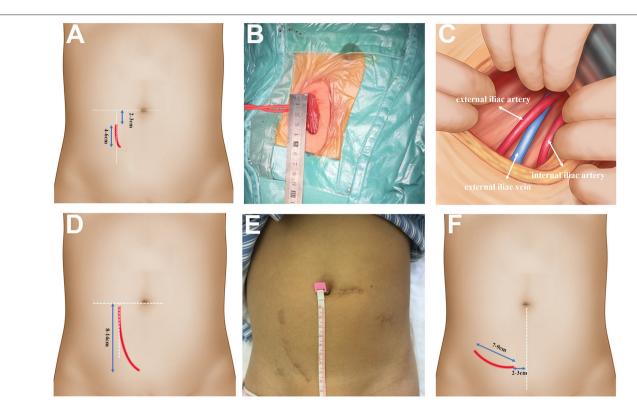


FIGURE 2 | The operative approach of SMIPKT and CKT. (A) The operative approach of SMIPKT. (B) A ~4 cm incision in SMIPKT in a 9-year-old patient. (C) The internal iliac artery and the external iliac vein were fully exposed and dissected free in a minimalistic fashion in SMIPKT. (D) The operative approach of CKT. (E) A ~8.5 cm incision in CKT (final result 2 years post-transplant in a 9-year-old patient). (F) The transverse incision of MIKT by Oyen and Kim.

according to the CYP3A5 genotype, and the target tacrolimus trough concentrations were monitored weekly during the first 3 months.

#### Statistical Analysis

The data were analyzed using SPSS for Windows (SPSS, version 19; IBM Corporation, Armonk, NY, USA). Parametric and non-parametric data are presented as mean  $\pm$  standard deviation (SD) or median with range. Categorical variables are expressed as frequencies and percentages. We used the *t*-test to compare the parametric continuous variables. Meanwhile, the Mann-Whitney *U*-test was used for non-parametric continuous variables. Statistical significance was set at p < 0.05.

#### **RESULTS**

The median age was 13 years in the SMIPKT group and 14 in the CKT group, and 64.7% of the patients were male. Among the SMIPKT and CKT groups, other clinical characteristics, including DRWR, the choice of dialysis modality, pretransplant dialysis time, body mass index (BMI), renal artery number, cause of ESRD, DGF, length of the kidney, and cold ischemic time were statistically insignificant (all P > 0.05) (**Table 1**). More details are shown in **Table 2**.

Naturally, the SMIPKT skin incision was much shorter, and there were significant differences in terms of operative time, intraoperative bleeding, postoperative drainage volume within 24 h, and Vancouver scar scale at 1 month (all P < 0.05). Considering the effect of immunosuppressants on wound healing, tacrolimus trough concentration was monitored on postoperative days 3 and 7, and there was no significant difference between the SMIPKT and CKT groups (P > 0.05). Although the serum creatinine level at 1 month in the SMIPKT group was slightly lower than that in the CKT group, there was no statistically significant difference between the two groups (P > 0.05) (Table 1).

During the 30 days follow-up, there was no wound infection, wound dehiscence, incisional hernia, or lymphocele. However, one pediatric recipient with a diagnosis of urologic stenosis in the SMIPKT group and one with a diagnosis of urine leakage in the CKT group was confirmed by computerized tomography (CT) urography. A child with urologic stenosis underwent early ureteral reimplantation. Two pediatric recipients with stable graft renal function and no hypertension (1 in each group, P=1.000) had a confirmed vascular complication of transplant renal artery stenosis on CT angiography.

The primary diseases of all patients are shown in **Table 1**. However, two patients showed non-surgical-related complications. One patient in each group relapsed with focal

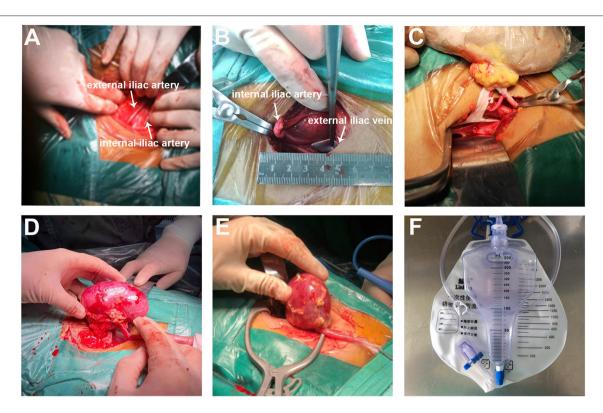


FIGURE 3 | The SMIPKT surgical technique. (A,B) Full exposure with minimal dissection of the external iliac artery (white arrow), iliac artery (white arrow), and the external iliac vein (white arrow). (C) The two vascular anastomoses were performed with renal graft in a custom-made ice bag wrapped in ice sludge. (D) Minimal incision can be smaller than the kidney. (E) The upper pole of renal graft was placed into the iliac fossa at first. (F) Blood loss and postoperative drainage volume are precisely measured through a self-control precision metering drainage bag.

segmental glomerular sclerosis (FSGS) in the early postoperative period after kidney transplantation.

#### DISCUSSION

A major point of the present MIS is the reduction of tissue trauma by using limited-sized incisions to obtain less pain and scarring, and a faster recovery period compared to traditional surgery (7, 8). Surprisingly, the original open technique of an oblique Gibson incision of  $\sim\!20\,\mathrm{cm}$  in length remains the gold standard since kidney transplantation was first successfully performed in the 1950's.

MIKT, using a 7–9 cm transverse incision, 3–5 cm above the inguinal ligament, was first described in 21 kidney transplants by Oyen in 2006 (6). Kim (5), Kacar (9), and other similar series published later confirmed that MIKT is feasible and safe (10). Although there are few publications about MIKT in adults, we believe that SMIPKT is feasible and a more obvious choice for several reasons. First, pediatric recipients with end-stage renal disease often experience anemia, hypoalbuminemia, edema, chronic malnutrition, and growth retardation. Furthermore, immunosuppressive drugs must be taken daily or twice daily; in particular, steroids and mycophenolate acid significantly impair wound healing ability. Therefore, pediatric kidney transplant recipients may have a higher risk of wound infection, poor

wound healing, and other wound complications than nonimmunosuppressed patients after open surgery. Second, some children, especially younger ones, have poor compliance. The advantages of SMIPKT include reduced tissue trauma and pain, faster recovery, and better compliance. Third, the longterm mental health of children after kidney transplantation is important, and small incisions with cosmetic results can weaken the impact of kidney transplants. Fourth, the majority of pediatric candidates in our transplantation center were underweight, with a low BMI (≤20 kg/m²) due to chronic malnutrition. Moreover, the surgical field could be adequately exposed and clearly visible. Fifth, all renal grafts from pediatric donors after cardiac death were small for size, with an average diameter of  $6.4 \pm 1.0 \, \text{cm}$  in the SMIPKT group and 6.3 $\pm$  1.1 cm in the CKT group, which did not require a large surgical space. Thus, a smaller incision approach could be easier to achieve.

In our study, among the baseline clinical characteristics, age, sex, DRWR, choice of dialysis modality, pretransplant dialysis time, BMI, renal artery number, cause of ESRD, DGF, length of the kidney and cold ischemic time, tacrolimus concentration at days 3 and 7, serum creatinine at 1 month were found to be statistically insignificant. However, the length of the incision, operation time, intraoperative bleeding, postoperative drainage volume within 24 h, and Vancouver

TABLE 1 | Characteristics of patients.

| Variable   | SMIPKT group $(n = 17)$ | CKT group $(n = 17)$ | P-value |
|--|-------------------------|----------------------|---------|
| Age (y), median (IQR)  | 13.0 (6.5–14.5)         | 14.0<br>(10.0–15.0)  | 0.198   |
| Men, n (%)   | 11 (64.7)               | 11 (64.7)            | 1.000   |
| DRWR   | 1.07                    | 0.93                 | 0.234   |
|  | (0.54-1.43)             | (0.45-1.14)          |         |
| Dialysis, n (%)  |                         |                      | 0.814   |
| HD   | 6 (35.3)                | 7 (41.2)             |         |
| PD   | 9 (52.9)                | 9 (52.9)             |         |
| Preemptive   | 2 (11.8)                | 1 (5.9)              |         |
| Pretransplant dialysis time (months), median (IQR)           | 9.0 (6.0–24.0)          | 12.5<br>(8.5–17.5)   | 0.342   |
| BMI (kg/m²), median (IQR)                                    | 15.8                    | 16.7                 | 0.428   |
|  | (14.5–17.5)             | (15.0-17.9)          |         |
| Multiple renal arteries ( $\geq$ 2), $n$ (%)                 |                         |                      | 0.368   |
| 2  | 2 (11.8)                | 2 (11.8)             |         |
| 3  | 0 (0.0)                 | 1 (5.9)              |         |
| 4  | 1 (5.9)                 | 0 (0.0)              |         |
| Cause of ESRD, n (%)   |                         |                      | 0.765   |
| Primary glomerular diseases                                  | 9 (52.9)                | 8 (47.1)             |         |
| Secondary glomerular disease                                 | 6 (35.3)                | 5 (29.4)             |         |
| Hereditary nephropathy                                       | 1 (5.9)                 | 1 (5.9)              |         |
| No data  | 1 (5.9)                 | 3 (17.6)             |         |
| DGF, n (%)   | 0 (0.0)                 | 0 (0.0)              | -       |
| Cold ischemic time (h), mean $\pm$ SD                        | $12.5 \pm 3.0$          | $12.7 \pm 2.6$       | 0.913   |
| Length of the kidney (cm), mean $\pm$ SD                     | $6.4 \pm 1.0$           | $6.3 \pm 1.1$        | 0.861   |
| Wound infections   | 0 (0.0)                 | 0 (0.0)              | -       |
| Wound dehiscence   | 0 (0.0)                 | 0 (0.0)              | -       |
| Incisional hernia  | 0 (0.0)                 | 0 (0.0)              | -       |
| Lymphocele   | 0 (0.0)                 | 0 (0.0)              | -       |
| Urologic complications                                       | 1 (5.9)                 | 1 (5.9)              | 1.000   |
| Vascular complications                                       | 1 (0.0)                 | 1 (0.0)              | 1.000   |
| Length of the incision (cm), median (IQR)                    | 4.5 (4.0–5.0)           | 12 (9.5–13.5)        | <0.001  |
| Operation time (min), mean (IQR)                             | 130 (116–143)           | 162 (136–190)        | 0.014   |
| Intraoperative bleeding (ml), median (IQR)                   | 40 (30–50)              | 60 (35–175)          | <0.001  |
| Postoperative drainage volume within 24 h (ml), median (IQR) | 60 (35–175)             | 160 (93–360)         | 0.007   |
| Vancouver scar scale at 1M, median (IQR)                     | 6 (5–6)                 | 7 (6–8)              | <0.001  |
| Tacrolimus concentration (ng/ml)at 3d, mean ± SD             | $13.0 \pm 5.0$          | $10.8 \pm 3.0$       | 0.137   |
| Tacrolimus concentration (ng/ml)at 1w, mean ± SD             | $11.1 \pm 2.5$          | $10.0 \pm 1.7$       | 0.122   |
| Serum creatinine (µmol/L) at 1M, median (IQR)                | 82 (54–103)             | 94 (69–124)          | 0.215   |

SMIPKT, super-minimal incision technique in pediatric kidney transplantation; CKT, conventional kidney transplantation; DGF, delay graft function; DRWR, donor/ recipient weight ratio.

scar scale at 1 month were statistically significant between the SMIPKT and CKT groups. We thought that SMIPKT may cause a higher complication rate in vascular and urinary complications, but there were no statistically significant differences in urologic complications (i.e., urologic stenosis or urine leakage), vascular complications (anastomotic stenosis), lymphocele, wound dehiscence, wound infections, and incisional hernia between SMIPKT and CKT groups, which is similar to other reports (6, 9).

Although there was no statistically significant difference in BMI between the SMIPKT and CKT groups, whether BMI really affects SMIPKT is unclear. Despite some limitations in space in obese patients using the small incision technique, there is no drawback in performing the anastomoses in overweight patients up to a BMI of 30 kg/m<sup>2</sup> in Claas Brockschmidt's and >30 kg/m<sup>2</sup> in Oyen's report (4, 6). However, in a subsequent study by Kim, a BMI <25 kg/m<sup>2</sup> was the inclusion criterion for MIKT recipients to ensure adequate exposure to the surgical field (5). Although SMIPKT has been proven successful in pediatric candidates with a BMI >25 kg/m<sup>2</sup> in our transplantation center, it should be noted that the majority of pediatric candidates in our group were lean, with a BMI of <20 kg/m<sup>2</sup>, which was much lower than the previously described results. This may be a beneficial factor for SMIPKT. However, considering the limitations in surgical space in obese patients, candidates with a BMI > 30 are still recommended for traditional kidney transplantation technique.

It has not been established whether DRWR mismatch truly affects the application of the super-minimal incision technique. According to our clinical experience with pediatric kidney transplantation, a DRWR mismatch  $\leq 3.0$  (maximum in this study) is not critical to preventing the use of the super-minimal incision technique. The width of the transplanted kidney (not kidney length) and the length of the recipient internal iliac artery that is sufficient to anastomose the graft artery (end to end) are the two key factors for the successful use of the super-minimal incision technique. However, considering the DRWR > 3, we prefer to recommend the CKT technique.

The operative approach is the key to SMIPKT. Oyen and Kim made a 7-9 cm transverse incision (Figure 2F). It is located 3-5 cm above the groin, with the medial endpoint of the incision 2-3 cm from the midline (5, 6). The difference was that the graft artery was anastomosed to the internal iliac artery at our transplant center. Hence, we used an incision starting 2-3 cm below the umbilicus and extending 4-6 cm along the outer edge of the rectus abdominis in SMIPKT. Only the "conjoined tendon" and hardly any muscular tissue were divided. The origin of the internal iliac artery and its terminal branch, the external iliac vein, and the bladder can be fully exposed and dissected in a minimalistic fashion. Currently, the minimum age and weight of the pediatric recipients using the super-minimal incision technique at our transplant center are 1 year old and 5 kg. However, in very young recipients with extremely low body weight  $\leq 5 \,\mathrm{kg}$  or <1 year old, the graft artery is often anastomosed with the common iliac artery or the distal aorta (end-to-side). The super-minimal

<sup>&</sup>quot;-": the two groups were not compared.

TABLE 2 | Detailed clinic characteristics of patients.

|    | Sex          |           | Age (ye      | ears)     | Weight       | (kg)      | BMI (kg/     | m²)       |
|----|--------------|-----------|--------------|-----------|--------------|-----------|--------------|-----------|
| n  | SMIPKT group | CKT group |
| 1  | Male         | Female    | 14           | 15        | 35.0         | 45.0      | 15.7         | 17.8      |
| 2  | Male         | Female    | 11           | 14        | 30.0         | 47.0      | 14.8         | 17.7      |
| 3  | Male         | Male      | 9            | 15        | 32.0         | 41.0      | 15.8         | 21.2      |
| 4  | Male         | Male      | 17           | 14        | 53.0         | 40.0      | 17.5         | 16.6      |
| 5  | Female       | Male      | 6            | 16        | 19.0         | 47.0      | 17.2         | 16.2      |
| 6  | Male         | Female    | 13           | 5         | 41.0         | 12.0      | 18.7         | 10.9      |
| 7  | Male         | Male      | 16           | 15        | 38.0         | 46.0      | 14.5         | 16.7      |
| 8  | Male         | Male      | 15           | 15        | 40.0         | 55.0      | 16.6         | 22.0      |
| 9  | Female       | Male      | 14           | 14        | 24.0         | 27.0      | 14.2         | 15.9      |
| 10 | Female       | Female    | 4            | 9         | 14.0         | 20.0      | 14.3         | 14.9      |
| 11 | Female       | Male      | 8            | 13        | 25.0         | 43.0      | 17.4         | 17.9      |
| 12 | Male         | Male      | 16           | 14        | 49.0         | 34.0      | 18.4         | 18.7      |
| 13 | Female       | Female    | 13           | 9         | 51.6         | 24.4      | 21.8         | 14.0      |
| 14 | Female       | Female    | 14           | 17        | 40.0         | 39.0      | 16.0         | 17.3      |
| 15 | Male         | Male      | 2            | 11        | 10.5         | 24.0      | 14.5         | 14.2      |
| 16 | Male         | Male      | 7            | 9         | 20.0         | 21.0      | 14.4         | 15.1      |
| 17 | Male         | Male      | 4            | 14        | 14.0         | 41.0      | 14.9         | 17.1      |

incision does not provide sufficient surgical space for easy dissection of the distal aorta and vascular anastomosis, as well as vesicoureteral replantation using the Lich-Gregoir technique. Therefore, the traditional pediatric kidney transplantation is recommended for very young recipients with extremely low body weight  $\leq 5\,\mathrm{kg}$  or <1 year old. Moreover, venous anastomosis sites should be evaluated before transplant surgery. A suitable venous anastomosis site and well-tailored allograft vein can avoid kinking. Meanwhile, after reperfusion of the transplanted kidney, the final position of the transplanted kidney can also be adjusted (including adjusting the angle of the allograft or allograft was implanted higher in the retroperitoneal iliac fossa) to avoid kinking.

Another criticism of SMIPKT is that it does not sufficiently cool renal grafts before revascularization. To avoid this, the kidney space was pre-cooled with ice sludge for 5 min in advance. The kidney was then placed with the retroperitoneal pouch into the iliac fossa, and all three anastomoses were performed in the final position. It is not possible to move the kidney from a nearly fitted retroperitoneal pouch. Compared to CKT, the duration of MIKT may be prolonged for all three anastomoses. Studies have revealed that prolonged anastomosis time leads to significantly inferior long-term graft outcomes and patient survival (11, 12). Furthermore, if the renal hilum is bleeding after reperfusion, the kidney needs to be removed from the incision for hemostasis and must be reimplanted into the iliac fossa. When the original incision is insufficient, it must be extended. Therefore, we prefer to perform the two vascular anastomoses outside of the retroperitoneal cavity with renal graft in a custom-made ice bag wrapped in ice sludge, leaving more space, better vision, a simpler inspection of the kidney, and hemostasis after reperfusion.

Our results showed that SMIPKT has a shorter operating time and more favorable cosmetic effects. Moreover, its use seems particularly promising among the immunosuppressed population. Based on our positive experience with SMIPKT, we found it to be technically feasible, and it can be executed safely and quickly by any experienced kidney transplant surgeon after a very short learning curve. Considering that SMIPKT is not only suitable for pediatric recipients with the end-stage renal disease but can also weaken the impact of kidney transplants in children's growth (especially in younger children), we believe that SMIPKT is worth recommending.

The shortcomings of the experimental design are as follows. First, the research took place at a single center with a small number of cases, which needs to be further supplemented. Second, the patients were followed up for a short time; hence, long-term follow-up is still needed.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the First Affiliated Hospital of Zhengzhou University Ethics Committee. Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

#### **AUTHOR CONTRIBUTIONS**

WS: conceived, designed, and supervised the study. WS, JW, and LZ: performed the experiments. JW: performed data analysis and wrote the paper. All authors revised and reviewed the paper. All authors contributed to the article and approved the submitted version.

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### Technical Aspects and Considerations of Meso-Rex Bypass Following Liver Transplantation With Left Lateral Segment Grafts: Case Report and Review of the Literature

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In pediatric patients with extrahepatic portal vein obstruction and complications of portal hypertension, but with normal liver function, a meso-Rex bypass (MRB) connecting the superior mesenteric vein to the intrahepatic left portal is the favored surgical management. Pediatric patients with a history of a partial liver transplant (LT), especially living donors, are at greater risk for portal vein complications. Hence, an adequate knowledge of this technique and its additional challenges in the post-LT patient setting is crucial. We provide an overview of the available literature on technical aspects for an MRB post-LT. Preoperative considerations are highlighted, along with intraoperative considerations and postoperative management. Special attention is given to the even-more-demanding aspect of performing an MRB post-liver transplantation with a left lateral segment. Surgical alternatives are also discussed. In addition, we report here a unique case in which this surgical technique was performed on a complex pediatric patient with a history of a living-donor LT with a left lateral segment graft over a decade ago.

Keywords: extrahepatic portal vein obstruction (EHPVO), living donor liver transplant (LDLT), left lateral segment graft, meso-Rex bypass, pediatric surgery

#### INTRODUCTION

The meso-Rex bypass (MRB), first described by de Ville de Goyet et al. involves using a vein conduit to direct flow from the superior mesenteric vein (SMV) to the left portal vein within the Rex recess in those patients with extrahepatic portal vein obstruction (EHPVO) but an otherwise normal intrinsic liver function (1, 2). It is a common approach to deal with the portal hypertension complications derived from a portal vein thrombosis (PVT) following liver transplantation in those pediatric patients with otherwise functioning liver grafts. It differs from the other mainstay of treatment, a portosystemic shunt (PSS), because blood flow is still directed from the splanchnic system through the non-cirrhotic liver, which may benefit pediatric patients who

are still undergoing growth and development (1). This approach requires a profound knowledge of the extrahepatic and intrahepatic anatomy. In this regard, the Rex recess can be identified by its relationship to specific landmarks—it lies within the umbilical scissure between segments II, III, and IV and the anterior part of the recess ends in the umbilical ligament. Understanding this anatomy provides surgeons with the opportunity to have this technique in their arsenal ready to be used when needed (2).

In the non-transplant patient, the most common cause of EHPVO is umbilical vein catheterization in the neonatal period (3). Other causes include previous abdominal surgeries and infection (2, 3). It is less likely related to coagulation disorders than its counterpart in adult patients, and the cause of thrombus formation can also be idiopathic in some cases (2). The main indications for surgical management of EHPVO include complications of portal hypertension such as splenomegaly, severe thrombocytopenia, and variceal bleeding (1, 4).

The cases of EHPVO after liver transplantation (LT) have been previously described in the literature (5, 6). Vascular complications, including portal vein obstruction (PVO), have been found to be associated with living donor liver transplantation (LDLT), especially when performed for biliary atresia (7–10). Furthermore, the creation of an MRB in pediatric patients who have received a partial graft has also been described in the literature (6, 11-15). However, MRB creation after LDLT with a left lateral segment (LLS) is associated with unique challenge due to the loss of important landmarks (6). In this study, we aim to gather and present the technical aspects of MRB in the post-LT setting. In addition, we describe our experience with MRB in a 15-year-old girl with a history of LDLT with an LLS graft when she was 9 months old presenting with EHPVO and life-threatening complications of portal hypertension over a decade after LT.

#### **Preoperative Considerations**

Planning an MRB creation post-LT requires a multidisciplinary evaluation of the patient. An important facet of preoperative workup is to exclude the presence of intrinsic liver disease and confirm anatomy using both non-invasive and invasive imaging techniques (16). Liver biopsy is often required to rule out intrinsic liver disease as a cause of portal hypertension (2). Hypercoagulable states or disorders must also be excluded with coagulation labs including commonly inherited hypercoagulable disorders (2). Surveillance esophagogastroduodenoscopy (EGD) should be performed to manage varices preoperatively, especially after a recent bleed (2). Preoperative abdominal imaging is necessary to confirm the patency of the mesenteric vein and left portal vein. This can been achieved with either abdominal ultrasound (US) with Doppler or low-dose postcontrast portal venous phase computed tomography of the abdomen (16, 17). In some cases, MRI or MR venography can also be used to better visualize the SMV-portal vein confluence (16, 17). The patency of the internal jugular vein (IJV), if intended to be used as jump graft, should also be confirmed with US preoperatively. In cases where the anatomy remains difficult to visualize due to variants, post-transplant, or collateralization, transjugular wedged hepatic venous portography is also an important technique (16, 17). This technique is invasive and is performed by interventional radiology. After right internal jugular (IJ) access is obtained, a catheter is directed into the left hepatic vein and a balloon is used to "wedge" and prevent outflow. This allows for retrograde portal venography *via* the injection of contrast (17). Pressure measurements can also be obtained during this procedure, including right atrial, caval, wedged hepatic vein and free hepatic vein pressures.

#### **Intraoperative Considerations**

In terms of the operation, the patient is positioned supine with the head and neck slightly facing right in order to have access to the left IJ, which is usually the preferred side for vein grafting. The IJV is the preferred vascular autograft due to excellent long-term patency rates when compared to unrelated or prosthetic grafts (6). The surgery can be performed through a midline or subcostal laparotomy (2). The approach followed will defer slightly if the creation of the MRB is in a patient with no previous liver surgery vs. a post-transplant patient. Likewise, the approach use for a full graft liver will defer from the approach used for a partial liver given that the usual anatomical landmarks are lost in the latter, making this procedure more challenging in these circumstances. The typical steps followed when creating an MRB in a patient with no previous abdominal surgeries include dividing the round ligament and falciform ligament to gain access to the umbilical scissure and identifying the Rex recess (2). Once the umbilical scissure is dissected, the intrahepatic left portal vein is identified and dissected. For this, it is crucial to resect the liver parenchyma bridging the scissure. This will not only allow exposure and access to the intrahepatic left portal vein but will also avoid the compression of the bypass and ensure a good patency of the graft postoperatively. The intrahepatic left portal vein is dissected for a length of approximately 3 cm on its ventral and lateral aspects, and all small branches taking off the Rex recess into segments 2, 3, and 4 of the liver are dissected and encircled with vessel loops in order to be safely occluded when the anastomosis is pursued. Next, a mesocolic window is created to expose the SMV. The left IJV, the preferred autologous vein graft for the MRB, is procured. The anastomoses are created using 7-0 absorbable Prolene sutures (2). The first anastomosis is typically performed to the Rex recess. A small Satinsky clamp is placed in the Rex recess, and the central portion of the intrahepatic left portal vein is anastomosed end to side to the vein autograft in a running fashion. The clamp is released to ensure that the bypass fills with blood from a patent intrahepatic system. Later, the distal end of the jump graft vein is positioned across the mesocolon and anastomosed to the SMV in an end-to-side fashion (2, 18).

The main difference when performing this procedure in a transplanted patient with a left lateral partial graft is that the anatomical references and landmarks that allow us to easily identify and locate the rex Recess are basically lost. Therefore, preoperative imaging and workup play a key role as a route map. Also, once the hilar structures are identified, one should stay above the biliary plate and understand that the Rex will be in close proximity to the cut edge of the liver that, due to hypertrophy, has rotated to the right upper quadrant.

#### **Postoperative Management**

Postsurgical imaging includes Doppler US to confirm bypass patency and measure flow (17). This is typically performed at postoperative days 1 and 3 and then at monthly intervals until 6 months post-bypass creation (17). Later, US are adequate only if clinically demanded due to new signs of portal hypertension (17, 19). CT and MRI can be used to supplement in cases with complex anatomy or if there is a concern for bypass patency (19). Anticoagulation remains a hallmark of treatment after bypass creation (2). In a study by Bhat et al. focused on evaluating the postoperative anticoagulation factors associated with bypass thrombosis, warfarin use was more common in pediatric patients with thrombosed bypass versus open bypass [63% versus 20%; OR 6.5 (95% CI 1.3–315) p = 0.022] (20). Thus, heparin is typically used in the immediate post-transplant period until the patient can be discharged on an oral regimen (20). Aspirin and dipyridamole have been reported in the literature, with patients typically discharged on a 3-6-month regimen (2, 6). In our experience, once the patient can tolerate orally, we start them on 81 mg of aspirin daily to maintain for life as the only antithrombotic treatment/prophylaxis.

#### **MESO-REX POST-LT**

## Meso-Rex Post-LT With Whole Liver Grafts

The creation of an MRB following LT comes with unique challenges. MRB creation after a whole deceased donor liver transplantation (DDLT) has been documented in a few case reports and case series (5, 11, 21-23). In an early series by de Ville de Goyet et al. five pediatric patients who underwent LT with a whole graft and developed PVT underwent a successful MRB creation (11). In a case report by Han et al. an adult DDLT recipient underwent MRB creation for portal vein cavernous malformation complicated by hypersplenism and elevated hepatic enzymes (21). A fresh iliac deceased-donor venous allograft was used to connect the left portal vein to the splenic vein. At 6-month follow-up, the bypass remained patent (21). In another case report by Bachman-Braun et al. a pediatric patient with a history of WLT after failed Kasai for biliary atresia developed complications of prehepatic portal hypertension due to PVT (5). She underwent MRB creation with a deceased donor iliac vein. At 6 months after surgery, the patient again developed symptoms of portal hypertension and required surgical revision (5). A large collateral vein was identified and used as an autologous vein conduit to revise the previous bypass (5). In a cohort study by Krebs-Schmitt et al., 14 pediatric patients developed PVT after WLT and underwent MRB creation (22). In this post-LT population, 8 bypass using an autologous jugular vein graft remained patent (22). Two patients who had an autologous jugular vein graft required revision and all 4 patients who had a cryopreserved iliac vein homograft required revision (22). Thus, MRB creation is an effective treatment for EHPVO in both adult and pediatric patients with a history of WLT (Figure 1A).

#### **Meso-Rex Post-LT With Partial Grafts**

Portal vein obstruction is especially common after pediatric LDLT transplantation performed for biliary atresia (10, 24). It is also more common in partial grafts than whole grafts; hence, there are more reports of MRB creation in this patient population (24, 25). A review published in 2012 by de Ville de Goyet et al. includes the instances of MRB creation in reduced or LLS grafts (6). The first case was performed by de Ville de Goyet et al. for a pediatric patient with biliary atresia who received a reduced-size graft (26). From 1992 to 2012, there are reports of 28 pediatric patients undergoing MRB creation with a history of biliary atresia and partial liver transplantation, including reduced-size grafts (segments unspecified) and LLS grafts (6, 11-15, 26). This includes 12 patients who had received an LLS graft from a livingrelated donor (12, 13, 15). Out of the LDLT patients, 11/12 had long-term survival (12, 13, 15). One patient passed away due to pulmonary sepsis on postoperative day 50 (15). One bypass revision was also required in a patient who received an unrelated donor vascular autograft (12). When WLT transplant recipients were also included for a total of 51 cases of MRB creation after LT, the overall patient survival was 96% with a 100% long-term patency rate with the use of the IJV for the bypass (6).

#### CASE PRESENTATION

Our patient was a 15-year-old girl with a history of a livingrelated donor liver transplant with an LLS graft at 9 months old for biliary atresia following a failed Kasai procedure. Her postoperative transplant course was complicated by persistent thrombocytopenia and hypersplenism. She underwent partial splenic artery embolization in 2013 for increasing splenomegaly and thrombocytopenia, consistent with splenic sequestration. In 2014, she had an evidence of PVT with cavernous transformation on imaging and presented with a gastrointestinal (GI) bleeding consistent with esophageal varices and portal hypertensive gastropathy on endoscopy. Since that initial episode in 2014, she underwent repeat surveillance EGD with banding but had no further episodes of bleeding. Her screening endoscopy result in early 2021 showed continued portal hypertensive gastropathy. The computed tomography scan of her abdomen and pelvis 4 months prior to MRB creation showed splenomegaly, measuring 15.6 cm craniocaudal. Preoperative lab results included a platelet count of 81.000. Due to her risk of GI bleeding due to continued portal hypertension, a decision was made to proceed with MRB creation (Figure 1B).

As part of her preoperative evaluation, the patient underwent portal venogram and transjugular liver biopsy, which showed no signs of intrinsic liver disease. Other aspects of preoperative evaluation included echocardiography and an US of bilateral IJVs and subclavian veins.

The patient was taken to the operating room for MRB creation (Figure 2). A bilateral subcostal incision was made. The LLS graft was hypertrophied and was mobilized and dissected off the right abdominal wall. The hilar structures were identified (Figure 2A), and a very small bridge of liver parenchyma connecting segment 3 and the small portion of segment 4 was found. This area was

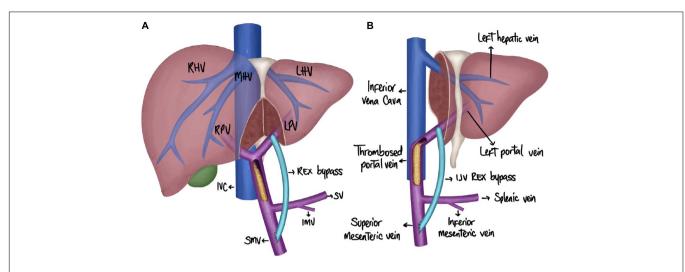


FIGURE 1 | Schematic representation of an MRB creation. (A) Meso-Rex post-LT with whole liver grafts. (B) Meso-Rex post-LT with partial grafts (LLS). IJV, internal jugular vein; IMV, inferior mesenteric vein; IVC, inferior vena cava; LHV, left hepatic vein; LPV, left portal vein; MHV, middle hepatic vein; RHV, right hepatic vein; RPV, right portal vein; SMV, superior mesenteric vein; SV, splenic vein.

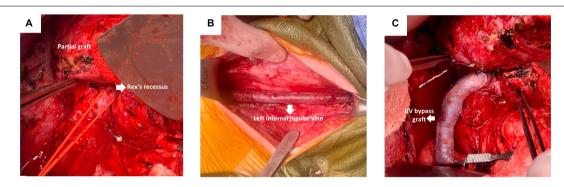


FIGURE 2 | Important steps during an MRB creation. (A) Identification of the hilar structures. (B) Exposure of the left IJV. (C) Anastomosis of the left portal vein and proximal end of the left IJV.

carefully dissected to identify the left portal vein (Rex recess). The portal plate and vein were subsequently exposed, and individual branches draining into the portal vein were isolated and protected with vessel loops. Next, the SMV was identified at the root of the mesentery.

The SMV was dissected and exposed distal to the lesser arcuate branches and proximal to the middle colic vein. Next, an incision was made along the anterior border of the left sternocleidomastoid muscle to expose the left IJV. The vein was taken as proximal as possible, down to its takeoff from the subclavian vein (Figure 2B).

The following step consisted of the creation of the meso-Rex bypass anastomosis. The portal plate was opened with scissors, and good back bleeding was noted prior to starting the anastomosis. The anastomosis of the left portal vein and proximal end of the left IJV was constructed in a running end-to-side fashion with 7-0 Prolene (Figure 2C). Portal venography was performed and confirmed good flow into the liver from the bypass graft (Figure 3). The graft was tunneled through a hole in the mesentery to the prepared area of the SMV. The SMV was opened, and a running end-to-side anastomosis

was completed with 7-0 Prolene. The patient tolerated the procedure well, with no immediate postoperative complication and minimal blood loss.

She remained in the hospital for 1 week and was transitioned from a heparin drip (5 units/kg/h) to aspirin 81 mg daily when tolerating the PO intake. On POD #0, 1, and 3, US showed a patent bypass with appropriate flow. By the second postoperative week, her platelet count had increased to 228.000. On a follow-up US 2 months after MRB creation, her spleen size had decreased to 12.9 cm. At more than 8 months after the procedure, the patient's postoperative course has been uneventful. She remains on aspirin 81 mg daily.

#### DISCUSSION

The creation of a MRB in the post-LT is a challenging procedure. Despite its complexity, with a proper interdisciplinary management and comprehensive patient assessment, excellent postoperative outcomes and survival rates can be achieved. We presented the case of MRB creation in a female patient



FIGURE 3 | Portal venography via the anastomosed graft with evidence of good flow into the liver.

who underwent LDLT 14 years prior with an LLS graft from a living-related donor. MRB creation was pursued due to the complications of portal hypertension related to EHPVO. Her postoperative course has remained unremarkable. In contrast to previous case studies, this is the first reported case in which this surgical technique was performed on an LLS graft from a living donor over a decade after the original transplant.

Biliary atresia is the most common indication for pediatric LT (9). An increase in the incidence of PVT following LT in patients with biliary atresia has been well established in the literature (7, 9, 27). Portal vein hypoplasia is a classic anatomic feature in patients with biliary atresia, which increases the challenge of anastomotic construction and thus the risk for post-transplant PVT (2, 5, 6). PVT is limited to the extrahepatic portal vein in children and can occur acutely after surgery in 5-10% of cases, or years later, often manifesting with signs of portal hypertension (6). A study by Ou et al. focused on evaluating pre-and post-transplant ultrasonographic findings in patients with biliary atresia who underwent LDLT to predict PVO (7). On multivariate analysis, the only pretransplant independent risk factor associated with post-transplant portal vein occlusion was the small main portal vein size <4 mm (p = 0.008). This is consistent with another study by de Ville de Goyet et al. showing that the portal vein size <5 mm is associated with portal vein complications on multivariate analysis after LDLT (11).

There are small case series and case reports on MRB creation after pediatric liver transplantation (6). A few cases describe this procedure in an LLS graft after LDLT. In a series by Gibelli et al. two patients with biliary atresia underwent LDLT and developed PVT on postoperative day 1. They subsequently

underwent bypass creation on the same day (15). In a case report by Rivera et al., MRB creation was performed in a 13-month-old baby during the living-related donor transplant operation due to intraoperative PVT (13). In another case report by Caruso et al. a female child with biliary atresia underwent LDLT with surgical ligation of the splenic artery at 8 months. She had an episode of GI bleeding at age 5, with an evidence of complete PVT on imaging (28). The patient subsequently underwent MRB creation. However, the bypass thrombosed 4 months later and she had to undergo splenorenal shunt creation (28).

Meso-Rex bypass creation is especially difficult in patients with a partial graft. As evidenced by the case reports above, it is an uncommon procedure. The anatomic criteria, including a patent SMV and Rex recess with thrombus limited to the extrahepatic portal vein, must be met (6). This was confirmed on preoperative portal venography in our patient. Preoperative liver biopsy is also required to exclude cirrhosis before creating an MRB that will bring back blood to the transplanted liver. Bypass creation in an LLS graft is especially difficult because of the loss of landmarks in identifying the Rex recess and due to the route the bypass must take (6). In our patient, over a decade after a failed Kasai procedure and subsequent transplant, the graft was shifted to the right upper quadrant. IJV autograft is preferred, especially in the post-transplant setting, and has been associated with the best patency and overall outcomes, whereas the use of an unrelated donor vein or prosthetic material has been associated with a higher incidence of bypass thrombosis (6, 22).

Meso-Rex bypass creation differs from the other surgical option, a PSS, because it still allows blood flow through the liver. Studies have compared outcomes after the two techniques, with conflicting results (1, 29). In a retrospective study by Lautz et al., a cessation of variceal bleeding occurred in 96% of patients who underwent meso-Rex bypass versus 100% in PSS (29). However, there was a significant improvement in the platelet count, international normalized ratio, and serum ammonia level in the meso-Rex bypass group (29). In a systematic review by Zielsdorf et al., MRB creation was associated with a higher rate of bypass thrombosis (14.1% versus 5.8%; p = 0.021) and reoperation for thrombosis or stenosis (11.8% versus 4.1%; p = 0.019) versus PSS (1). Importantly, the neurological benefits of restoring portal blood flow to the liver have been established with meso-Rex bypass creation (30). In this study, neurocognitive testing was performed before and 1 year after surgery (30). Both PSS and MRB groups demonstrated similar fluid cognitive ability at initial evaluation; however, only the MRB group showed significant improvement 1 year after the surgery (30). In another study, patients who underwent MRB showed a significant improvement in their height and weight postoperatively (31). The negative association of EHPVO with growth has a multifactorial etiology, including a decrease in nutrient flow to the liver, malabsorption from portal hypertensive gastropathy, and early satiety from splenomegaly (4). These cognitive and growth benefits have led many surgeons to favor MRB over PSS creation in pediatric patients with non-cirrhotic livers.

Portal vein angioplasty with or without stent placement has also been described as an option for portal vein complications

in pediatric LDLT (32). In a retrospective study of 75 pediatric patients who underwent LDLT, there were 6 late-onset PV complications. The initial treatment of portal vein stenosis in 4 patients was PTA with stent placement (n=1) and PTA with balloon dilation (n=3). In the remaining 2 patients, the portal vein was unable to be cannulated due to complete obstruction (n=1) and restenosis with total thrombosis after the previous PTA with stent placement (n=1), so they underwent a successful MRB creation (32). Hence, although PTA may be a first-line option in patients with stenotic portal veins, MRB creation is preferred in late-onset portal vein complications due to complete obstruction.

#### CONCLUSION

In summary, MRB creation in patients following LT is a challenging procedure that requires careful preoperative planning with an adequate, multidisciplinary approach and close follow-up. We demonstrated that patients with a long-term history of LDLT, presenting with advanced complications of portal hypertension, could benefit from MRB with an autologous

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   Original extrahilar approach for hepatic portal revascularization and relief

IJV graft. Despite being a technically challenging procedure, we demonstrated an uncomplicated postoperative course with an adequate patient recovery. A MRB creation for chronic PVT with symptomatic portal hypertension is safe and feasible and remains the favored option for pediatric patients, even years after transplant with partial grafts.

#### **AUTHOR CONTRIBUTIONS**

NG, PV, and CD participated in conception and design of the manuscript, and writing and drafting of the manuscript. KS, FD, and GM participated in critical revision of the manuscript for important intellectual content. All authors approved the final version of the manuscript.

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# Incision and Drainage With Primary Fistulotomy of Perianal Abscess Is Safe and Effective in Neonates: A Long-Term Follow-Up Study

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**Objective:** Perianal abscess (PA) in neonates is poorly understood, and its management remains controversial. The aim of this study was to compare incision and drainage (ID) with or without primary fistulotomy in the management of neonatal first-time PA.

**Methods:** A retrospective comparative study was conducted for neonates with first-time PA treated with incision and drainage with primary fistulotomy (IDF) vs. ID between 2008 and 2017.

**Results:** In total, 138 patients (137 boys and 1 girl) were identified; 65 in the IDF group and 73 in the ID group. The median follow-up was 6.5 years (range 4–13 years). Baseline characteristics were similar between the 2 groups. The cure rate in the IDF group (98.5%, 64/65) was significantly higher than that in the ID group (80.8%, 59/73; p=0.001). The rate of fistula formation in the IDF group (1.5%, 1/65) was significantly lower than that in the ID group (13.7%, 10/73; p=0.01). The rate of abscess recurrence was not statistically different (p=0.12), even though the IDF group (0%, 0/65) seemed to have a better outcome than the ID group (5.5%, 4/73). No fecal incontinence was observed in any of our patients.

**Conclusions:** First-time PA in neonates can be treated safely and effectively by the IDF or by ID alone. The former may be advantageous over the latter in terms of the rate of cure and fistula formation.

Keywords: neonates, perianal abscess, incision and drainage, fistulotomy, fistula formation, abscess recurrence, long-term, follow-up

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#### INTRODUCTION

A perianal abscess (PA) in neonates is a relatively common disease, but it is poorly understood. It is usually considered a trivial disease by physicians. Nevertheless, the discovery of perianal swelling in a neonate can be a source of anxiety for parents. Parents' excessive worry and physicians' insufficient experience make the management of neonatal PA very challenging. However, only rare literature is devoted to it. Therefore, the treatment is usually based on the studies of PA in infants and children.

The management of PA in infants and children is controversial. It has traditionally been treated surgically by incision and drainage (ID) (1-11). However, in the past two decades, some studies have shown that the conservative management appears to be effective in selected cases (12-23). On

the other hand, the other studies have recommended that the surgical procedure should involve a careful identification for the fistula and treatment of that by fistulotomy (24–32). Thus, at present, the optimal management has not yet been established.

At our center, PA in infants can be treated either in the department of anorectal surgery or in the department of pediatric surgery. Some infants experienced fistula formation after ID, and were transferred to the department of anorectal surgery. Is ID enough? Is incision and drainage with primary fistulotomy (IDF) better than ID? These ideas prompted us to complete this long-term comparative study.

To the best of our knowledge, no published study in PubMed has directly compared the outcomes of IDF vs. ID for neonatal PA. This study aimed to compare the efficacy and safety of IDF and ID for PA in peonates.

#### **MATERIALS AND METHODS**

A retrospective case note review was carried out for all consecutive neonates with PA at a single tertiary center between January 2008 and December 2017. At our center, all neonates admitted to the department of anorectal surgery underwent IDF, while all neonates admitted to the department of pediatric surgery underwent ID. Patients with PA undergoing IDF were assigned to the IDF group, and those undergoing ID were assigned to the ID group. Although group allocation was not randomized, it was naturally formed according to the department in which the patients were hospitalized. Neonates with PA received intravenous antibiotics routinely (Ceftazidime in the IDF group and Cefathiamidine in the ID group) for 3–5 days.

The present study was approved by the Affiliated Hospital of Jining Medical University Institutional Review Board. Written informed consent was obtained from all parents.

#### **Diagnostic Criteria**

Perianal abscess was diagnosed by the presence of a firm or fluctuant tender mass close to the anus. Fistula-in-ano (FIA) was diagnosed by the presence of a hole with or without pus drainage at the site of the anus, persisting more than 3 weeks postoperatively. A recurrence of PA was defined as an abscess developed at the original location again after wound healing. New-onset PA was defined as a new abscess that developed at other locations.

#### **Inclusion and Exclusion Criteria**

Inclusion criteria were neonatal patients with first-time PA who presented to our center during the first 28 days after birth and underwent IDF or ID. Exclusion criteria were patients whose age at symptom onset is younger than 28 days but the age at admission was older than 28 days, inpatients discharged without surgery due to parental refusal, patients who had undergone prior surgical treatment at other centers, or patients lost to follow-up.

#### **Incision and Drainage**

A small incision was made through the dome of the abscess and pockets within the cavity were delicately broken by gentle exploration with a hemostatic forceps under local anesthesia.

### Incision and Drainage With Primary Fistulotomy

Subsequent fistulotomy was performed right after ID with the patient in the left lateral decubitus position under conscious sedation and local anesthesia. The most important step was to identify the internal opening. A fine probe was gently introduced through the abscess cavity to the affected anal crypt, then the fistula tract was unroofed and laid open with diathermy. If the internal opening could not be probed, then the corresponding internal opening, which was in the same location as the center of the abscess was laid open. All of the fistulas were low-type.

#### Follow-Up

Short-term follow-up was conducted by outpatient reviews within 3 weeks of discharge. Given the coronavirus disease 2019 (COVID-19) pandemic, long-term follow-up data were mainly obtained *via* telephone interview, supplemented by outpatient review and a home visit. As we all know, a high percentage of completed follow-up is essential for long-term outcomes and is necessary to minimize any bias that might result from failure to contact patients. Therefore, we made every effort to make contact with each parent.

#### **Data Collection**

Collected data included baseline characteristics, length of followup, fistula formation, recurrence of abscess, new-onset abscess, and fecal incontinence.

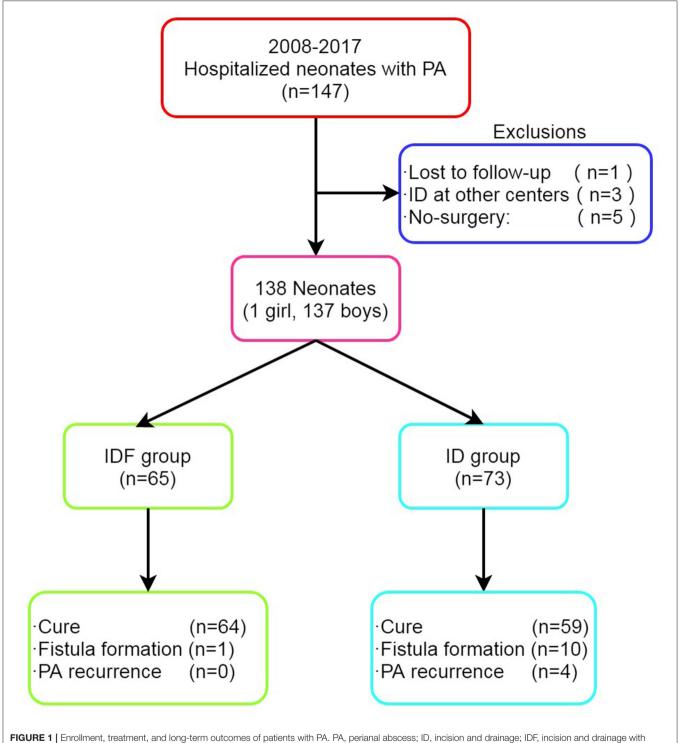
#### **Statistical Analysis**

Quantitative variables were summarized as mean  $\pm$  standard deviation (SD) or median with interquartile range (IQR). Categorical variables were summarized as percentages. Comparisons between 2 groups were made by using the 2-tailed t-test or Mann–Whitney U-test for continuous variables. The chi-square test and Fisher's exact test were used for comparing categorical variables. Differences were considered statistically significant at p < 0.05. Data were analyzed using the SPSS software, version 18.0.

#### **RESULTS**

A total of 147 hospitalized neonates with PA were identified from January 2008 to December 2017 (**Figure 1**). Of the 9 excluded patients, 5 were excluded because parents refused surgery. Of the 138 included patients, 65 (47.1%) underwent IDF and 73 (52.9%) underwent ID. Follow-up data were available for all but one who had been excluded according to exclusion criteria. The median follow-up was 6.5 years (range 4–13 years).

Patient and abscess characteristics are summarized in **Table 1**. The 2 groups were similar in respect of gender, age of onset, duration of symptoms, age at admission, number of the abscess, location of the abscess, and length of stay. Of note, inpatient costs were higher in the IDF group vs. the ID group (3,774.0 vs. 2,416.0 Yuan, p=0.000). Healing time (the time needed for the complete epithelialization of the wound bed) was about 3 and 2 weeks for the IDF group and ID group, respectively.



primary fistulotomy.

A comparison of long-term outcomes between the 2 groups is shown in **Table 2**. The median follow-up for patients undergoing IDF and ID was 5.00 years (IQR, 4.00–7.50) and 7.00 years (IQR, 5.50–9.00), respectively (p = 0.000). Patients in the IDF group had a higher cure rate (98.46 vs. 80.82%, p = 0.001)

and a lower fistula formation rate (1.54 vs. 13.70%, p = 0.01) than the ID group. After surgery, 11 patients developed FIA (**Figure 2**). Of the 3 patients developing FIA after ID, 2 patients were cured after more than 2 years of plaster and Chinese herbal preparations ointment (ingredients unknown), respectively. The

TABLE 1 | Clinical characteristics of neonates with PA.

| IDF (n = 65)        | ID (n = 73)  | P  |
|---------------------|--|--|
| 65 (100)            | 72 (98.63)   | 1.00   |
| $18.62 \pm 4.28$    | $18.73 \pm 4.00$   | 0.87   |
| 4.00 (2.00–5.50)    | 3.00 (2.00–5.00)   | 0.77   |
| $22.71 \pm 3.49$    | $22.36 \pm 4.51$   | 0.61   |
|                     |  | 0.13   |
| 58 (89.23)          | 70 (95.89)   |  |
| 6 (9.22)            | 3 (4.11)   |  |
| 1 (1.54)            | O (O)  |  |
|                     |  | 0.76   |
| 21 (28.77)          | 25 (32.89)   |  |
| 35 (47.95)          | 32 (42.11)   |  |
| 17 (23.29)          | 19 (25.00)   |  |
| 6.00 (5.00–7.75)    | 6.00 (5.00–8.00)   | 0.37   |
| 3,774 (3,497–4,007) | 2,416 (1,884–3,245)  | 0.000  |
|                     | 65 (100)<br>18.62 ± 4.28<br>4.00 (2.00–5.50)<br>22.71 ± 3.49<br>58 (89.23)<br>6 (9.22)<br>1 (1.54)<br>21 (28.77)<br>35 (47.95)<br>17 (23.29)<br>6.00 (5.00–7.75) | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ |

PA, perianal abscess; ID, incision and drainage; IDF, incision and drainage with primary fistulotomy; SD, standard deviation; IQR, interquartile range; RMB, renminbi, the currency of China

TABLE 2 | Long-term outcomes of IDF vs. ID.

| Long-term outcomes              | IDF              | ID               | P     |  |
|---------------------------------|------------------|------------------|-------|--|
|                                 | (n = 65)         | (n = 73)         |       |  |
| Follow-up time, median (IQR), y | 5.00 (4.00-7.50) | 7.00 (5.50–9.00) | 0.000 |  |
| Cure, n (%)                     | 64 (98.46)       | 59 (80.82)       | 0.001 |  |
| Fistula formation, n (%)        | 1 (1.54)         | 10 (13.70)       | 0.01  |  |
| PA recurrence, n (%)            | O (O)            | 4 (5.48)         | 0.12  |  |

ID, incision and drainage; IDF, incision and drainage with primary fistulotomy; IQR, interquartile range; PA, perianal abscess.

third patient was cured with an ointment (ingredients and duration unknown).

None of the patients in the IDF group had a recurrence, while 4 patients in the ID group experienced recurrence (**Table 3**). Patients undergoing IDF seemed to have a lower abscess recurrence rate (0 vs. 5.48%; p=0.12), albeit statistical significance was not achieved.

It is noteworthy that 2 (3.08%) patients developed new abscesses at the contralateral side of the anus in the IDF group, compared with 7 (9.59%) patients in the ID group (**Table 4**). However, the difference between the 2 groups is not statistically significant (p = 0.17).

No fecal incontinence was confirmed in any patients in our study. A 10-year-old boy (undergoing IDF in 2010) with mental illness was found to have stools in his underwear sometimes. It is not sure whether it was caused by surgery or mental illness.

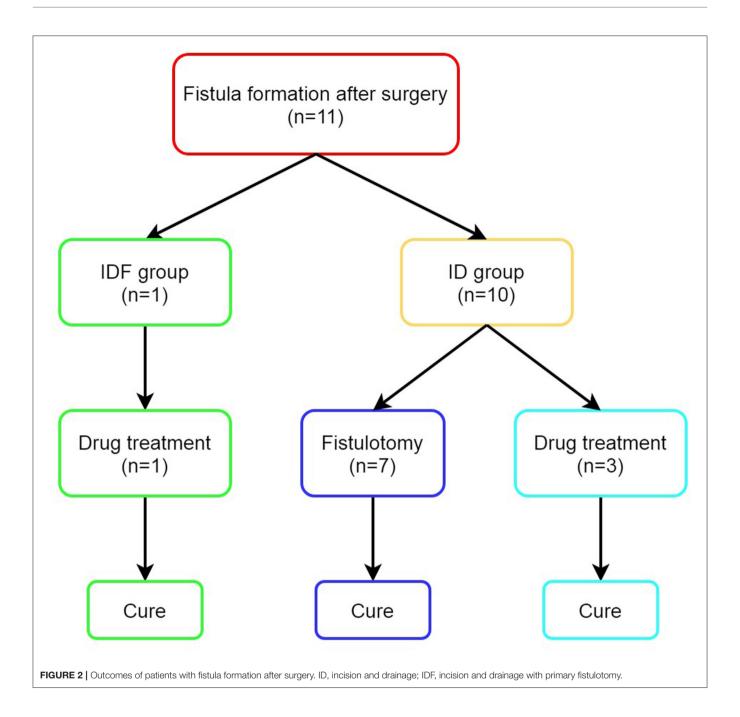
#### DISCUSSION

Our long-term study showed that IDF was associated with a higher rate of cure and a lower rate of fistula formation compared with ID. In addition, patients in the IDF group seemed to have a lower rate of abscess recurrence and new-onset abscess, albeit statistical significance was not achieved.

In our study, the cure rate in the IDF group and ID group was 98.46 and 80.82%, respectively. That was similar to a previous report (29) that cure occurred in 61/66 (92%) in whom a fistula was identified and treated by fistulotomy at the initial operation, compared with 19/25 (76%) in whom a fistula was not identified (ID alone). The high cure rate is attributable to careful identification and laying opening of the coexisting fistula. The rate of fistula (between the PA and the anal crypt) identified at the time of primary drainage varies widely in different studies. The percentage of communication between the PA and the internal (anal) opening was <20% (1, 4, 6, 7, 11) or more than 60% (25, 27, 29–32). Our results (approximately 80%) support the latter.

In the IDF group, the fistula formation rate was 1.54% (1/65) and there were no recurrences after surgery. Our findings are supported by several studies. There were no recurrences (26, 27, 31) or the recurrence rate was <15% (29, 30, 32) in patients who underwent fistulotomy at the time of ID. These findings are in excellent agreement with ours. Given this, we recommend that ID and laying open of the coexisting fistulous tract be performed for PA in neonates.

Surprisingly, in the ID group, the rate of fistula formation and recurrence was 13.7 (10/73) and 5.48% (4/73), respectively. These results are better than we expected. Our study with a large sample size confirms that neonates may have a lower rate of fistula formation after ID than infants and children. We believe that our results are valuable to the clinician. In the literature, the rate of fistula formation and recurrence was 10.5 (4/38) and 7.9% (3/38) (5), 6 (2/33) and 12% (4/33) (7), 20 (20/100) and 27 (27/100) (8), 30 (15/50) and 4% (2/50) (9), 3.8 (1/26) and 3.8% (1/26) (23), and 28 (8/29) and 7% (2/29) (24), respectively. It is well-known that fistula formation and abscess recurrence are the two major challenges for PA therapy. Unfortunately, some studies did not distinguish between them (1-3, 11, 14, 18, 29-34). Of those studies, the rate of fistula formation or recurrence after ID varies widely, which was <20% in 2 studies (2, 3), between 20 and 50% in 7 studies (1, 18, 29-32, 34), and more than 50% in 2 studies (14, 33). Altogether, previous studies differed substantially regarding the rate of fistula formation or recurrence after ID. There are several potential reasons for this difference. First, some reports did not distinguish between fistula formation and abscess recurrence. Since fistula formation and abscess recurrence are different, we call for distinguishing them in future studies. Second, the indications for ID varied in the literature. Some surgeons argue that the abscess should be incised as soon as possible, while other surgeons recommend ID should be reserved for patients with failed conservative management, large abscess, or systemic signs of infection. Third, surgical techniques may differ in detail. Fourth, the sample size in some studies was small. Thus, these results should be viewed with caution.



Remarkably, in the present study, 6.5% (9/138) of patients developed new abscesses on the contralateral side of the anus. Several studies have previously reported a similar phenomenon (16, 21, 25, 35, 36), but the reason was unknown.

Some studies show that PA is a self-limited entity. In our study, 4 patients who were excluded, experienced spontaneous drainage, and their parents refused surgery. Notably, 3 patients among them were cured without surgery. Similar results have been reported in other studies (18, 22). However, two other studies have shown different results (10, 12). We believe that there is a possibility of a self-resolution of abscesses. In future studies, we will further investigate the safety and

effectiveness of non-operative therapy for PA in neonates who spontaneously drained.

There are several strengths to our study. First, the subjects in this study were neonates with PA, excluding infants and children. It is well-known that different stages of life have different pathophysiological features. PA in neonates, infants, and children may have different clinical characteristics. Limiting the age to newborns helped reduce the potential confounding effects of age and made the conclusions more reliable. Second, to the best of our knowledge, this is the first study directly comparing the outcomes of IDF vs. ID for neonatal PA. Third, our sample size (138 neonates) was very large, which improved

**TABLE 3** | Characteristics and course of recurrent patients after initial surgery<sup>a</sup>.

| Case | Time to recurrence | Location    | Treatment | Outcome                        |
|------|--------------------|-------------|-----------|--------------------------------|
| 1    | 15 d               | Right front | Re-ID     | Cure                           |
| 2    | 18 d               | Right       | IDF       | Cure                           |
| 3    | 78 d               | Right front | Re-ID     | Fistula formation <sup>b</sup> |
| 4    | 20 m               | Right front | IDF       | Cure                           |

ID, incision and drainage; IDF, incision and drainage with primary fistulotomy; d, day; m, month.

**TABLE 4** | Characteristics and course of patients with new-onset abscess after initial surgery.

| Case | Initial<br>surgery | Time to new-onset | Location | Treatment | Outcome                        |
|------|--------------------|-------------------|----------|-----------|--------------------------------|
| 1    | IDF                | 36 d              | Left     | Re-IDF    | Cure                           |
| 2    | IDF                | 1 y               | Left     | Re-IDF    | Cure                           |
| 3    | ID                 | 2 d               | Left     | Re-ID     | Recurrence <sup>a</sup>        |
| 4    | ID                 | 3 d               | Right    | Re-ID     | Cure                           |
| 5    | ID                 | 4 d               | Left     | IDF       | Cure                           |
| 6    | ID                 | 15 d              | Right    | CHM       | Cure                           |
| 7    | ID                 | 17 d              | Right    | IDF       | Cure                           |
| 8    | ID                 | Not clear         | Right    | Plaster   | Fistula formation <sup>b</sup> |
| 9    | ID                 | Not clear         | Left     | Re-ID     | Cure                           |
|      |                    |                   |          |           |                                |

ID, incision and drainage; IDF, incision and drainage with primary fistulotomy; CHM, Chinese herbal medicine; d, day; y, year.

the power of the analysis and reduced the bias to a certain extent. Fourth, follow-up data were available for all but one who had been excluded according to exclusion criteria. This is a long-term study with a median follow-up time of 6.5 years. Although various difficulties were encountered, with the aid of the public security bureau, all but one patient were successfully contacted due to the ingenuity, perseverance, and intense effort of our team. Finally, our study included detailed characteristics and course of patients with fistula formation, abscess recurrence, and new-onset abscess after initial surgery, which have not been reported previously.

Of course, some limitations in our study need to be recognized. First, it is a single-center retrospective study, potentially limiting the generalizability of our results. Second, long-term follow-up data were mainly obtained by telephone interviews instead of outpatient reviews. Considering the COVID-19 pandemic, this may be a reasonable alternative method. Third, patients' assignment was not randomized. However, we could be rather certain that it was not assigned by disease severity. At our center, PA in neonates can

be treated at the department of anorectal surgery or the department of pediatric surgery. Why patients are admitted to a ward or to another depends on the cognition of the parents, not the severity of the disease. In addition, because of changes in the electronic medical records system, much data were not recorded consecutively, such as body temperature, abscess size, body mass index, white blood cell count, Creactive protein, procalcitonin, and pus cultures. However, our results showed that important clinical characteristics that might influence the outcome were considerable between the 2 groups (Table 1). Thus, there was no inclusion bias. Fourth, not all the surgeries were performed by the same surgeon. Nevertheless, all of those were performed by experienced surgeons using the standardized technique in each group. Finally, the children are too young to cooperate, so anorectal manometry was not performed, which should be performed in the near future.

Unfortunately, PA in neonates and infants is generally considered a trivial condition. However, there are considerable unresolved questions surrounding it. Long-term prospective studies will be necessary to determine the optimal treatment.

#### CONCLUSION

Our long-term follow-up study demonstrated that IDF and ID are both safe and effective treatments for PA in neonates. IDF is associated with a higher rate of cure and a lower rate of fistula formation compared with ID. Our findings support the value of careful identification and laying opening of the coexisting fistula when ID is performed.

#### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Affiliated Hospital of Jining Medical University. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **AUTHOR CONTRIBUTIONS**

WY: study design, data collection, and drafting of the manuscript. LL: data collection and analysis, article revision, and quality control. LS: data collection, statistical analysis, and article revision. SW: study design, data collection, article revision, and overall responsibility for the article. All authors read and approved the final manuscript.

<sup>&</sup>lt;sup>a</sup>There was no recurrence among patients undergoing IDF.

<sup>&</sup>lt;sup>b</sup>The patient was cured after subsequent IDF.

<sup>&</sup>lt;sup>a</sup>The patient was cured by conservative treatment.

<sup>&</sup>lt;sup>b</sup>The patient was cured after 2 years of plaster.

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# The Value of Hepatic Scintigraphy in the Diagnosis of Biliary Atresia

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**Introduction:** Biliary Atresia (BA) requires prompt diagnosis and surgical intervention to optimize its outcome. The aim of this study was to evaluate the accuracy of EHIDA in distinguishing between BA and other causes of cholestatic jaundice.

**Methods:** This was a retrospective study of all patients who underwent EHIDA in a tertiary center from 1997 to 2019. The sensitivity, specificity, Negative Predictive Value (NPV) and Positive Predictive Value (PPV) of EHIDA were evaluated. Factors that can potentially affect its accuracy were also analyzed.

**Results:** During the study period, 93 patients aged 10 to 110 days with cholestasis and suspected BA underwent EHIDA. The sensitivity and NPV were 91.2 and 85.3% while specificity and PPV were 80.6 and 88.1%. These results suggested that EHIDA is suboptimal in both diagnosing or excluding BA. Out of 59 patients who showed no tracer activities in the intestines after 24 h, 56 were subjected to surgical exploration and 52 (92.9%) were eventually diagnosed BA. The accuracy of EHIDA scan were different by the maturity of the patient, age at testing and severity of cholestasis.

**Conclusions:** EHIDA has a limited accuracy and surgical exploration remains the gold standard to establish the diagnosis of BA. Potential confounding factors that may affect the accuracy of EHIDA were identified but require further studies with larger sample sizes to validate.

Keywords: Biliary Atresia (BA), laparoscopy, neonatal cholestasis, Kasai portoenterostomy, hepatic scintigraphy

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#### INTRODUCTION

Biliary Atresia (BA) is a congenital disorder characterized by destructive inflammatory obliterative cholangiopathy of the entire biliary system resulting in life-threatening cholestasis (1). BA is one of the most challenging liver diseases in infants and a prompt diagnosis is required for the best outcome. There have been controversies regarding the diagnostic pathway for BA. The current gold standard for the diagnosis of BA is surgical exploration with or without liver biopsy to demonstrate the presence of an atretic bile duct as well as peribiliary fibrosis and ductular proliferation. A high sensitivity of 100%, specificity of 94.3% and an accuracy rate of 96.9% have been reported for this diagnostic approach (2, 3). Over the years, there is still no single non-invasive test that can effectively diagnosis BA. Hepatic scintigraphy (EHIDA) is a functional radioisotope study that has commonly been performed as one of the investigations for the evaluation of neonatal cholestasis. Some centers depend on the result of EHIDA scan for the decision to initiate surgical referral for suspected BA. The study required intravenous administration of radioisotopes such as

Tc-99m Mebrofenin and Disofenin. The hepatic uptake, excretion of tracer via the liver, bile ducts and intestines are subsequently evaluated. The absence of tracer activity in the intestines after 24 hours is a feature suggestive of extrahepatic occlusive disorders including BA (4). A previous study reported that the sensitivity and specificity of EHIDA was 98.7% and 37-74% respectively, revealing a doubtful reliability (5). Furthermore, misinterpretation of EHIDA scan has also been reported (6). The potential inaccuracy of EHIDA may lead to a delayed Kasai portoenterostomy. It was estimated that about 70% of the BA patients can establish bile flow if KPE is performed within the first 60 days of life; while only 25% of them can restore biliary drainage if KPE is performed after 90 days of life (3). Furthermore, treatment is different for other non-BA causes of neonatal cholestasis and therefore it is important to differentiate between the causes of cholestatic jaundice (7). The aim of this study was to evaluate the diagnostic accuracy of EHIDA in patients with suspected BA due to persistent cholestasis, as well as highlighting the limitations associated with EHIDA scan to reinforce the fact that it should not delay or replace surgical exploration for a diagnosis of BA. Furthermore, we attempted to examine factors that can potentially affect the accuracy of EHIDA scan.

#### **METHODS**

This was a retrospective study conducted in a tertiary referral center for BA. All infants aged 10 to 110 days who underwent EHIDA for conjugated hyperbilirubinemia in our institute from September 1997 to October 2019 were included. During the study, each patient was given an intravenous injection of 99m Tc-Mebrofenin. Imaging was then carried out on a Gamma Camera with the patient lying supine. Serial images were acquired at 15 min, 30 min, 45 min and 60 min for 3 h. If there is nonexcretion of the radiotracer in the intestines, a delayed image would be acquired at 24h for confirmation. Non-excretion of 99m Tc-mebrofenin in the intestines in the 24-h delayed image was considered a feature suggestive of BA. This was also the definition of positive EHIDA result in this study. For patients with drainage of radiotracer in the intestines or having inconclusive findings, they were defined as having a negative EHIDA result. In all patients, the diagnosis of BA was confirmed by laparoscopic exploration to reveal the presence of an atretic bile duct. If necessary, an intra-operative cholangiogram was also performed to examine the biliary patency. For the analysis, we determined the sensitivity, specificity, NPV and PPV of EHIDA in diagnosing BA. Factors that can potentially affect the diagnostic accuracy of EHIDA were also analyzed (prematurity, age of life to conduct EHIDA, conjugated bilirubin level latest to performing EHIDA, concomitant heart disease). Continuous and categorical variables were expressed as medians with range and frequency with percentage respectively. All descriptive analysis and statistical calculations were performed with SPSS Statistics Version 24 (SPSS Inc., Chicago, IL., USA). This study was performed in accordance with the Declaration of Helsinki.

TABLE 1 | Correlation between EHIDA results and the diagnosis.

|                | BA positive | BA negative |
|----------------|-------------|-------------|
| EHIDA positive | 52          | 7           |
| EHIDA negative | 5           | 29          |
|                |             |             |

A positive EHIDA result means "BA cannot be excluded" due to the absence of Mebrofenin in the intestines in the 24-h delayed image; whereas a negative EHIDA result means either the presence of tracer activities in the intestines after 24 h or inconclusive findings, suggesting BA was unlikely.

#### **RESULTS**

#### **EHIDA Results in All Patients**

There was a total of 93 patients who underwent EHIDA during the study period, of which 32 (34.4%) were male and 61 (65.6%) were female. The median age at performing the scan was 45 days of life. We first analyzed the based on the diagnosis. Fifty-seven (61.3%) patients were confirmed to have BA and 36 (38.7%) had other causes of cholestasis including neonatal hepatitis and choledochal cysts. Among the 57 BA patients, 52 (91.2%) and 5 (8.8%) had positive and negative EHIDA results respectively. For the 5 EHIDA negative cases, two of them had an early EHIDA examination (<the median time of 45 days of life) while the other 3 had EHIDA after 45 days of life (on day 56, 57 and 109 respectively).

We then performed the analysis based on the EHIDA findings. EHIDA was considered positive in 59 patients, of which 56 (94.9%) subsequently proceeded to surgical exploration at a median age of 65 days of life, while the median age for all patients was 59 days of life. Fifty-two (92.9%) patients were eventually diagnosed with BA. For the 3 EHIDA positive patients who did not undergo surgical exploration, cholestasis resolved in two patients prior to surgery while the other one defaulted our subsequent follow up. Out of the 34 EHIDA negative patients, 9 (26.5%) of them required surgical exploration and 5 (14.7%) of them were subsequently confirmed to suffer from BA.

The sensitivity, specificity, NPV and PPV of EHIDA scan in BA diagnosis were therefore 91.2, 80.6, 85.3, and 88.1% respectively (**Table 1**).

# Analysis of Factors That May Affect the Accuracy of EHIDA

#### **Prematurity**

Prematurity was defined as delivery before 37 weeks of gestation. In our study, 18 (19.4%) were premature babies while 75 (80.6%) were born at term. Among the premature patients, there were 1 false negative (5.6%) and 4 false positives results (22.2%). As for the term babies, there were 4 false negative (5.3%) and 3 false positive (4%) results (**Table 2**).

#### Age of Life to Conduct EHIDA

The age to conduct EHIDA of our subjects ranged from 10 to 110 days of life. We have classified them into examination before or after 45 days of life according to the median value for all ages of examination. For the 48 patients (51.6%) who had EHIDA before 45 days of life, there were 2 false negative (4.2%) and 1

TABLE 2 | Diagnostic accuracy of EHIDA in the context of different variables (Prematurity, age of life to conduct EHIDA, conjugated bilirubin level and concomitant heart disease).

|   | N (%)      | True positive rate (%) | False positive rate (%) | True negative rate (%) | False negative rate (%) |
|---|------------|------------------------|-------------------------|------------------------|-------------------------|
| Prematurity                               |            |                        |                         |                        |                         |
| Premature                                 | 18 (19.4%) | 22.2                   | 22.2                    | 50                     | 5.6                     |
| Term                                      | 75 (80.6%) | 64                     | 4                       | 26.7                   | 5.3                     |
| Timing of EHIDA (days of life)            |            |                        |                         |                        |                         |
| ≤45                                       | 48 (51.6%) | 64.6                   | 2.1                     | 29.2                   | 4.2                     |
| > 45                                      | 45 (48.4%) | 46.7                   | 13.3                    | 33.3                   | 6.7                     |
| Level of conjugated bilirubin ( $\mu mol$ | /L)        |                        |                         |                        |                         |
| ≤66                                       | 48 (51.6%) | 31.3                   | 14.6                    | 54.2                   | 0                       |
| >66                                       | 45 (48.4%) | 82.2                   | 0                       | 6.7                    | 11.1                    |
| Concomitant heart disease                 |            |                        |                         |                        |                         |
| Present                                   | 14 (15.1%) | 21.4                   | 14.3                    | 64.3                   | 0                       |
| Absent                                    | 79 (84.9%) | 62.2                   | 6.3                     | 25.3                   | 6.3                     |

N, Number of corresponding subjects.

false positive result (2.1%). For the 45 patients (48.4%) who had EHIDA after 45 days of life, there were 3 false negative (6.7%) and 6 false positive (13.3%) results respectively.

#### Conjugated Bilirubin Level

The conjugated bilirubin level prior to EHIDA scan of our subjects ranged from 10 to 238  $\mu mol/L$ . We have divided our patients into 2 groups according to the median value of conjugated bilirubin level latest to performing EHIDA. For the 48 patients (51.6%) who had conjugated bilirubin level of <66  $\mu mol/L$ , there were no false negative but 7 (14.6%) false positive results. For the remaining 45 patients (48.4%) who had conjugated bilirubin of more than 66  $\mu mol/L$ , there were 5 (11.1%) false negative but no false positive results respectively.

#### Concomitant Heart Disease

There were 79 patients (84.9%) without concomitant heart disease. For the 14 patients (15.1%) who suffered from concomitant heart diseases, their cardiac diagnoses were valvular heart disease such as patent ductus arteriosus and atrial/ventricular septal defects and cardiac arrhythmias. Among those with concomitant heart disease, there were 2 false positives (14.3%) but no false negative results. As for those without heart disease, there were 5 false positive (6.3%) and negative results.

#### DISCUSSION

EHIDA scan is a common investigation ordered for suspected BA. Many clinicians still depend on its result before the activation of BA management protocol. However, our data have revealed that this investigation is inaccurate for the diagnosis of BA, which reinforces the findings from previous studies (8). Among all parameters, the specificity is the lowest, suggesting the absence of radiotracer in the intestines should not be regarded as the pathognomonic of BA. More importantly, patients with EHIDA positive result should still be surgically explored for the definitive diagnosis (3, 9). On the other hand, false negative result can

potentially delay the diagnosis of BA and the narrow treatment window by KPE is missed. Misinterpretation of EHIDA should also be considered. It has been reported that tracer activities in the urinary bladder and kidneys were mistaken as bowel activities in previous studies (6, 10).

In some centers, the availability of radionuclide scan is limited and takes time to be scheduled. KPE could have been delayed should BA be the underlying diagnosis and this is unfavorable as the prognosis is influenced by the timing of surgery. A better alternative is to proceed to surgical exploration with high clinical suspicion of BA after initial non-invasive and readily available investigations such as liver function test and abdominal ultrasound. Although there are additional surgical and anesthetic risks associated with surgical exploration in comparison to the use of EHIDA, the highest diagnostic accuracy has outweighed the disadvantages as BA is a lethal condition. With the advances of minimally invasive surgery in infants, surgical trauma is minimized. KPE can also be readily performed once the diagnosis is confirmed. If BA is excluded, intra-operative liver biopsy, which is often required to look for alternative diagnosis for the liver dysfunction, can be performed during the same operation. In our opinion, laparoscopic exploration can be considered a tool to achieve an earlier diagnosis of BA.

Despite a diminishing role of EHIDA scan in the diagnosis of BA, we attempted to identify the factors that may influence the accuracy of this investigation. Prematurity was evaluated because it may affect the hepatic uptake of radiotracer. Both the true and false positive rate were low in premature patients that suggested a higher diagnostic inaccuracy among premature patients. A postulation is that premature patients have underdeveloped liver function that reduces the hepatic uptake of the radiotracer during EHIDA study, leading to potential inaccuracy in evaluating the tracer activities in the bile ducts and intestines. On the other hand, as BA is a disease that evolve during peri-natal period, the biliary obstruction could have happened after the EHIDA scan is performed. However, there was an unequal distribution of patients across the two groups and further analysis with more

evenly distributed sample is required. We also evaluated the impact of conjugated bilirubin level because of a postulation that Mebrofenin only allows biliary visualization on EHIDA images with bilirubin level up to 30 to 40 mg/dL, which suggested that a grossly elevated bilirubin level decreases the diagnostic accuracy of EHIDA (11). Milder jaundice seems to have a higher chance of false positive result. We next analyzed if the age of examination affects the accuracy of the scan. We found out that a delayed scan may result in higher false positive result. In advanced liver disease, the hepatic uptake an excretory function could be impaired that give rise to a false positive result. Last but not least, we evaluated if concomitant heart disease may affect liver function due to cardiac cirrhosis. Although there were differences in comparisons for this factor, as in the comparison for maturity, we could not draw a definitive conclusion due to the unequal distribution of samples.

As the passage of white stool is the first noticeable features of BA, stool color screening has been introduced in some regions as a non-invasive modality to screen for BA. It has been adopted as a screening programme in Taiwan and a high accuracy has been reported (12). This policy has also led to earlier diagnosis, treatment by Kasai operation and subsequently improved the 3-year jaundice-free survival in their population. Subsequently, the programme has been extended to other places that show similar efficacy. However, its applicability in regions with low prevalence of BA is limited due to a doubtful cost-effectiveness. Another issue concerns the compliance of using stool color cards and attending follow-ups for further management as it involves parents' incentive to use them. Nevertheless, we feel that for jaundiced-baby passing white color stool, direct proceed to surgical exploration without waiting for EHIDA scan should be considered.

The utilization of multiple non-invasive tests has been proposed to achieve an early diagnosis of BA (13). An example of this suggestion is the combination of B-US (high likelihood ratio+) and MRCP/hepatobiliary scintigraphy (low likelihood ratio-). The advantages of dual tests are the non-invasiveness in nature as well as improved diagnostic performances (14). However, there are also potential problems including the limited availability of MRCP in public institutions which may also lead to the delayed diagnosis of BA.

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This study has several limitations. First, the study was only conducted in a single center and the analysis was performed in a retrospective manner. Second, the decision to refer a patient for EHIDA scan is subjective and there might be some patients who suffered from cholestasis but were not scanned and hence not evaluated in this study. The interpretations of the scan were done by a few radiologists and the interpretation of tracer activities in the intestines can be inconsistent throughout the study period.

#### CONCLUSION

In conclusion, the accuracy of EHIDA scan in the diagnosis of BA is limited and maybe affected by prematurity, age at testing and the severity of cholestasis during examination. Future study with larger and more evenly distributed samples is required to identify factors that would improve its accuracy. For the best outcome of the patients, the decision of surgical exploration should not be delayed by EHIDA scan.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

#### **ETHICS STATEMENT**

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. Written informed consent from the participants' legal guardian/next of kin was not required to participate in this study in accordance with the national legislation and the institutional requirements.

#### **AUTHOR CONTRIBUTIONS**

WC manuscript writing, data acquisition, and data analysis. PC contributed to study design and critical revision. KW supervised the study. All authors contributed to the article and approved the submitted version.

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# Comprehensive Therapy for Infant Vascular Tumor Associated With Kasabach–Merritt Phenomenon—Single-Center Primary Experience

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Sun X, Xu M, Lv K, Ma X, Wu L and Ouyang T (2022) Comprehensive Therapy for Infant Vascular Tumor Associated With Kasabach–Merritt Phenomenon – Single-Center Primary Experience. Front. Pediatr. 10:924422. **Objective:** To introduce our single-center experience of infant vascular tumor associated with Kasabach–Merritt phenomenon (KMP) which received combined medicine treatment with intralesional laser photocoagulation (ILP) and sclerotherapy.

**Methods:** A retrospective study was conducted using medical records of all children with a diagnosis of kaposiform hemangioendothelioma (KHE) or tufted angioma (TA) associated with KMP treated with medicine, intralesional laser photocoagulation (ILP), and sclerotherapy between February 2017 and November 2020. Clinical features, response to comprehensive therapy, and outcomes were recorded.

**Results:** A total of 23 patients including nine females (39%) and 14 males (61%) were identified. The mean age was 6.9 months (age range, 11 days—2 years) at the time of treatment. Nine children (39%) demonstrated sensitivity to single corticosteroid therapy; 14 children (61%) received combined therapy with intravenous Vincristine (VCR) and corticosteroid therapy. All children had at least two ILP and sclerotherapy performed, with a mean of 3.5 procedures (range: 2–6). Of these 14 children, only one experienced a relapse of thrombocytopenia and the remaining 13 children had no clinical symptoms recurred.

**Conclusion:** The combined therapy modalities could induce a more rapid tumor response and resolution of KMP and decrease the rebound rates. This research presents a novel and safe multi-modality treatment for infant vascular tumors associated with KMP.

Keywords: Kasabach Merritt phenomenon, kaposiform hemangioendothelioma, tufted angioma, intralesional photocoagulation, sclerotherapy

#### INTRODUCTION

The Kasabach–Merritt phenomenon (KMP) is a condition that causes thrombocytopenia, microangiopathic hemolytic anemia, and consumption coagulopathy within a vascular tumor, either kaposiform hemangioendothelioma (KHE) or tufted angioma (TA). KHE is more frequently complicated by KMP than TA. KMP was first reported by Kasabach, a radiologist, and Merritt, a

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pediatrician in 1940. Although KMP is rare, affecting <1% of all children with vascular tumors, it can be life-threatening because of the involvement of multiple organs and massive bleeding. About 80% of patients present within 1 year after birth, and the reported mortality rate ranges from 10 to 37% (1). Severe complications and high mortality urge aggressive intervention.

Various approaches have been clinically used to treat KMP, including medicine, surgeries, intravascular embolization, and radiation therapy. Due to its rarity, there exists no strong evidence basis behind many of the treatment options for KMP. Clinicians rely on case reports, limited retrospective series, and expert opinions when selecting therapeutic interventions for complicated patients. Although consensus-derived treatment protocols for the first-line treatment have been issued in 2013 (2), the management of complicated and refractory cases is still difficult. In the present study, we report our single-center experience of infant vascular tumor associated with KMP which received combined medicine treatment with intralesional laser photocoagulation (ILP) and sclerotherapy.

#### PATIENT AND METHODS

#### **Clinical Data**

The retrospective chart review was performed on 23 patients who suffered from KMP between February 2017 and November 2020 at the Xinhua Hospital affiliated with Shanghai Jiaotong University School of Medicine. The Ethics Committee of Xinhua Hospital approved this study. The diagnosis of KMP was made by a hematologist and a plastic surgeon, defined as KHE or TA with thrombocytopenia, microangiopathic hemolytic anemia, and consumption coagulopathy. Magnetic resonance imaging was used to confirm the characteristics and range of the lesion.

Demographic data including patient age, gender, medications, and details of ILP and sclerotherapy were recorded. The hospital records were reviewed. The clinical presentation and physical findings were recorded in all cases. Bleeding symptoms included bruising, petechiae, melena, hematemesis, or overt bleeding. Laboratory data at presentation, during and post-treatment, were collected. Hematologic data included complete blood count, prothrombin time, activated partial thromboplastin time, fibrinogen, and D-dimers. The modalities of treatment, along with the response to therapy and outcome were documented.

Response to treatment was defined as a recovery in hemostatic parameters, with or without a reduction in the size of the vascular tumor. Radiological imaging was used to document a reduction in the size of the tumor by objective measurement.

# Systemic Corticosteroids and VCR Treatment

Patients received oral corticosteroids as first-line treatment (prednisone, 4–5 mg/kg of bodyweight per day). After observation for the initial pharmacologic therapy, the patients were discharged and returned for weekly blood tests to evaluate the curative effect. When the platelet count normalized nearly  $>80 \times 10^9/L$ , the patients were readmitted for ILP and sclerotherapy. For the steroid-resistant case whose platelet counts did not increase significantly ( $>20 \times 10^9/L$ ) within

2 weeks, intravenous VCR was added until the recovery of the thrombocytopenia.

#### **ILP and Sclerotherapy**

To achieve a more rapid resolution of KMP, ILP and sclerotherapy were carried out. Under general anesthesia, a core needle biopsy was attempted for the pathologic diagnosis if informed parental consent was obtained for invasive procedures. We applied ILP to the lesion at a power of 6–10 W and in the continuous mode using a Diode laser system at a 980-nm wavelength (LASEmaR1000; EUFOTON S.R.L, Italy) with a 600  $\mu m$  fiber. The laser fiber was inserted into the vascular lesion through perforations on normal skin near the lesion. Irradiation was delivered through the fiber. To fulfill the laser to the entire lesion, multiple perforations and multiple directions of laser tunnels were made. Several skin perforations were needed to access the entire lesion. To avoid an unexpected blister on the lesion surface, ice gauze was used to cool down the surface temperature of the lesion.

After ILP, multiple site percutaneous injections around the original tumor were performed by using 24G "butterfly" needles connected with a syringe. After confirming entrance into the vascular lumen by retrograde blood flow, 0.2-0.3 ml of absolute ethanol was injected slowly into each site with a total volume of <0.5 ml/kg. When a few clotting particles appeared in the retrograde blood flow, the needle was retained and the connecting syringe was changed to another one, which contained a mixture of 5 ml (50 mg) polyoxyethylene lauryl ether (Lauromacrogol, Tianyu Corp., China) and 1 ml (7 mg) compound betamethasone (Diprospan, Schering-Plough Corp., United States) with 5 mg methotrexate (Pude pharma, Shanxi, China). Appropriate volumes of the mixture (<0.5 ml) were injected slowly with repeated aspiration in the same site until more clotting particles appeared in the retrograde blood flow. After removing the needle and hemostasia by slight compression, the same procedure was performed in the adjacent site at 2-3 cm intervals.

Before the sclerotherapy, if the original tumor was located in the limbs, an attempt was made to reduce the blood flow back to the heart by tourniquet to reduce systemic complications of the sclerotherapy including cardiac arrest and pulmonary embolism. The tourniquet was then gradually removed to avoid sudden systemic release of the sclerosant.

Clinical observation including coagulation parameters was evaluated every other day for 1 week. Then, the patient was discharged, and attendance of regular follow-up visits was requested to assess the need for further IPL and sclerotherapy. Successive procedures were performed in a minimum of 4–8 weeks apart, on the patients with the recurrence of symptoms or non-involuting tumor. Systemic corticosteroid therapy was performed concurrently during the first sclerotherapy and then was slowly tapered through 1 month.

#### **RESULTS**

#### **Patients**

Between February 2017 and November 2020, 23 patients (14 males, 9 females) with KMP were identified. The demographic

data, clinical features, and treatment outcomes of these patients are summarized in **Table 1**. There was a slight male preponderance, and the median age at presentation was 7 days (range: 1 day—24 months). All children presenting to our hospital had a large mass combined with a decreased platelet count. All the children had a single cutaneous lesion, six of which involved multiple regions. Cutaneous lesions favored the extremities (15/23), especially overlying joints and functional impairment. The mean age was 6.9 months (age range, 11 days—2 years) at the time of treatment.

#### **Hematological Findings**

At presentation, the mean platelet count was  $23 \times 10^9$ /L (range:  $7-80 \times 10^9$ /L) while the mean fibrinogen level was 1.1 g/L (range: 0.6-1.45). Most patients (18/23) were anemic, with mean hemoglobin of 78.5 g/L (range: 49-145).

#### **Treatment and Response**

A total of nine children (39%) demonstrated sensitivity to single corticosteroid therapy with significant improvement in platelet and fibrinogen within 2 weeks. After a mean of 5.5 weeks (range: 4–7 weeks) of corticosteroid therapy, the platelet count was nearly normalized (> $80 \times 10^9$ /L). A total of 14 children (61%) received combined therapy with intravenous VCR and corticosteroid therapy. After a mean of 3.8 weeks (range: 2–5 weeks) of combined therapy, the platelet count was nearly normalized (> $80 \times 10^9$ /L).

Before ILP and sclerotherapy, the mean platelet count was improved to  $285 \times 10^9 / L$  (range: 81-450) by pharmacologic therapy. In total, all children had at least two ILP and sclerotherapy performed, with a mean of 3.5 procedures (range: 2-6). Of those, 56.5% (range: 1-4) were performed on the first admission. One week after the last procedure in the first admission, the mean platelet count increased to  $285 \times 10^9/L$ (range: 167-412). Meanwhile, the consumption coagulopathy also gradually recovered. The final results of the treatment of KMP are shown in Table 1. A 9-64 month follow-up evaluation was obtained for all treated children, and 14 children required readmissions for subsequent sclerotherapy aiming to promote tumor regression. Of these 14 children, only one experienced a relapse of thrombocytopenia and the remaining 13 children had no recurrence of clinical symptoms. After repeated pharmacologic therapy and four procedures of ILP and sclerotherapy, the recurring thrombocytopenia recovered finally with tumor regression.

#### **Complications**

The most common complication of ILP and sclerotherapy was localized skin blister and pigmentation. No severe adverse reactions were noted during the treatment courses, such as distal embolization, local tissue necrosis, or thinning of the skin.

#### **Case Presentation**

#### Patient 1

One week after the cesarean section, a full-term female newborn developed red patches on her submandibular region. The tumor progressed and resulted in the involvement of the lower face, neck, and chest wall. Clinical-laboratory findings indicated no consumptive coagulopathy in the first 2 months. The patient was also initially diagnosed with infantile hemangioma and received urea embolization. The lesion still progressed after urea embolization and the patient suffered labored breathing. At the age of 3 months, the patient visited our hospital and the platelet count was  $13 \times 10^9$ /L. Magnetic resonance imaging (MRI) demonstrated a vascular lesion extending from the lower face to the chest wall. The imaging-study findings and platelet consumption permitted the diagnosis of KHE with KMP.

Gamma globulin was given at ages 98 and 99 days. Methylprednisolone was transfused at age 98 days (starting dose 10 mg/kg/day for 3 days; thereafter, slowly tapering to 5 mg/kg/day for 3 days, and 3 mg/kg/day for 3 days). Oral methylprednisolone (12 mg/day) lasted for 11 weeks. Two doses of vincristine were given at ages 98 days (vincristine 1 mg/m²) and 105 days (vincristine 0.5 mg/m²). Two days after treatment (at age 100 days), the platelet count rose to  $102 \times 10^9/L$  and gradually to  $420 \times 10^9/L$  at age 106 days.

At the age of 114 days, the patient received ILP and sclerotherapy while the platelet count was normal. A total of six courses of ILP and sclerotherapy were carried out in the first 3 years of the patient and the platelet was normal during the whole period. The lesion decreased significantly in size and the KMP resolved without evidence of relapse after 6 years of follow-up (Figure 1).

#### Patient 2

A healthy full-term male was noted to have needle-point hemorrhagic spots on his right shoulder and upper arm 2 months after birth. The patient was initially diagnosed with infantile hemangioma and no treatment was initiated. The platelet count showed no thrombocytopenia during the period (124-248  $\times$ 10<sup>9</sup>/L). Two weeks before the patient visited our department, the lesion growth resulted in the involvement of the whole upper right arm, shoulder, and scapula region. The patient was treated with propranolol 4.4 mg, three times every day. Despite 2 weeks of therapy, the tumor continued to grow. The platelet count decreased to  $47 \times 10^9$ /L. On the day of visiting our department (at the age of 4 months), the platelet count decreased to 15 imes10<sup>9</sup>/L. Thus, therapy with propranolol was discontinued. Gamma globulin and methylprednisolone were carried out on the first day in the hospital. One unit single-donor platelet and half unit erythrocyte suspension were also transfused. The palate count reached  $490 \times 10^9/L$  on the second morning and decreased to  $319 \times 10^9$ /L on the fifth day. On the seventh day in our hospital, the patient received ILP and sclerotherapy while the platelet count was  $362 \times 10^9$ /L. On 1, 3, and 5 months after the first course of ILP and sclerotherapy, the patient received a second, third, and fourth course of ILP and sclerotherapy. During these 5 months, the platelet was between 183 and  $217 \times 10^9$ /L. The lesion decreased significantly in size and the KMP resolved without evidence of relapse after 6 years of follow-up (Figure 2).

#### **DISCUSSION**

Two types of vascular tumors are associated with KMP, namely KHE and TA. There are other vascular malformations associated with coagulopathy, such as congenital hemangioma,

TABLE 1 | Clinical data and results of 23 patients.

| Patient<br>No | Gender | Age at<br>treatment<br>(month) | Location of lesion                        | Methyl-<br>prednisolone | Vincristine | e Platelet count,109/L |                 | No of IPL +<br>sclerotherapy | Complication                         |
|---------------|--------|--------------------------------|---|-------------------------|-------------|------------------------|-----------------|------------------------------|--------------------------------------|
|               |        |                                |   |                         |             | Before<br>treatment    | After treatment |                              |                                      |
| 1             | М      | 4                              | Submandiblar region, neck, and chest wall | Yes                     | Yes         | 13                     | 388             | 6                            | Cushing appearance                   |
| 2             | М      | 4                              | Right upper arm                           | Yes                     | No          | 15                     | 217             | 4                            | Skin blister after IPL, pigmentation |
| 3             | F      | 4                              | Left back                                 | Yes                     | Yes         | 12                     | 276             | 3                            | Pigmentation                         |
| 4             | М      | 11 days                        | Left thigh                                | Yes                     | No          | 14                     | 245             | 3                            | Cushing appearance                   |
| 5             | F      | 16                             | Right knee                                | Yes                     | No          | 43                     | 310             | 2                            | Skin blister after IPL               |
| 6             | F      | 2                              | Right arm                                 | Yes                     | Yes         | 7                      | 411             | 4                            | Pigmentation                         |
| 7             | М      | 3                              | Left face and neck                        | Yes                     | No          | 27                     | 367             | 5                            | Skin blister after IPL, pigmentation |
| 8             | F      | 3                              | Left foot                                 | Yes                     | No          | 47                     | 490             | 2                            | Pigmentation                         |
| 9             | F      | 2                              | Right thigh                               | Yes                     | No          | 23                     | 280             | 4                            | Cushing appearance                   |
| 10            | М      | 7                              | Left foot                                 | Yes                     | Yes         | 18                     | 371             | 4                            | No                                   |
| 11            | М      | 3                              | Right knee and thigh                      | Yes                     | Yes         | 9                      | 316             | 3                            | Pigmentation                         |
| 12            | F      | 8                              | Back                                      | Yes                     | Yes         | 18                     | 410             | 5                            | No                                   |
| 13            | М      | 4                              | Right upper arm                           | Yes                     | Yes         | 15                     | 254             | 3                            | Skin blister after IPL, pigmentation |
| 14            | М      | 14                             | Right hip                                 | Yes                     | No          | 41                     | 306             | 2                            | Pigmentation                         |
| 15            | F      | 5                              | Left foot                                 | Yes                     | Yes         | 13                     | 298             | 4                            | No                                   |
| 16            | М      | 3                              | Left leg                                  | Yes                     | Yes         | 14                     | 216             | 4                            | Pigmentation                         |
| 17            | М      | 4                              | Right foot                                | Yes                     | Yes         | 17                     | 283             | 2                            | Pigmentation                         |
| 18            | F      | 15                             | Right arm                                 | Yes                     | Yes         | 23                     | 341             | 3                            | No                                   |
| 19            | М      | 12                             | Chest wall                                | Yes                     | No          | 41                     | 291             | 4                            | Cushing appearance                   |
| 20            | М      | 7                              | Left shoulder                             | Yes                     | Yes         | 12                     | 303             | 5                            | Pigmentation                         |
| 21            | F      | 8                              | Neck, chest wall                          | Yes                     | Yes         | 18                     | 318             | 2                            | Cushing appearance                   |
| 22            | М      | 24                             | Waist                                     | Yes                     | No          | 80                     | 248             | 3                            | Pigmentation                         |
| 23            | М      | 6                              | Left arm                                  | Yes                     | Yes         | 24                     | 327             | 4                            | No                                   |

angiofibroma, composite hemangioendothelioma, venous malformations, Kaposiform lymphangiomatosis (KLA), and even the malignancies. However, most of them only manifest as mild coagulopathy. In contrast to true KMP, the abnormal laboratory findings are self-limiting and are usually not complicated by bleeding episodes. Except for an essential close follow-up and recheck, the core needle biopsy also should be attempted for the pathologic diagnosis and the most appropriate treatment if informed parental consent was obtained for invasive procedures. Considering the widespread use of percutaneous sclerotherapy for vascular lesions, a simultaneously performed core needle biopsy is feasible without extrainvasive puncture or anesthesia procedures.

The significant morbidity and mortality associated with KMP necessitate aggressive treatment aiming at the primary vascular tumor, but the management tends to vary, with different responses to the same treatments. Complete surgical resection has been touted as the gold standard for the cure of tumors, but KHE and TA are often unresectable due to their large size and infiltrating nature. Tumor embolization in combination with pharmacologic, surgical, or radiation therapy has been used with a limited degree of success. On reviewing the literature, most first-line treatments of tumors with KMP were curative therapy

based, involving systemic corticosteroids, VCR, alpha-interferon (IFN), cyclophosphamide, and more recently, sirolimus (3). Since there are no prospective studies regarding the treatment of KMP or standardized outcome measures to document responses, or systematically obtained long-term follow-up data, the treatment guidelines for KMP have not been established. One of the few consensuses for KMP treatments was achieved in a multicenter and interdisciplinary survey reported by Tlougan in 2013 (4). In this survey, corticosteroid combined with vincristine had the highest degrees of approval in the first-line treatments, and the monotherapy of corticosteroid and vincristine ranked second and third, respectively.

Before the advent of propranolol in infantile hemangioma treatment, corticosteroids were commonly used for the suppression of the vasculogenic potential of hemangiomaderived stem cells (1). In KMP treatment, it appears to increase platelet longevity, increase vasoconstriction, and inhibit fibrinolysis (5). For the possible resistance to corticosteroids, VCR is often regarded as a reliable alternative to control KMP by the apoptosis of endothelial cells and antiangiogenesis (6). In our cohort, these two drugs were selectively combined and did show a positive response with an increase in the platelet count  $(>20 \times 10^9/L)$  more than the baseline and fibrinogen (>1.6)

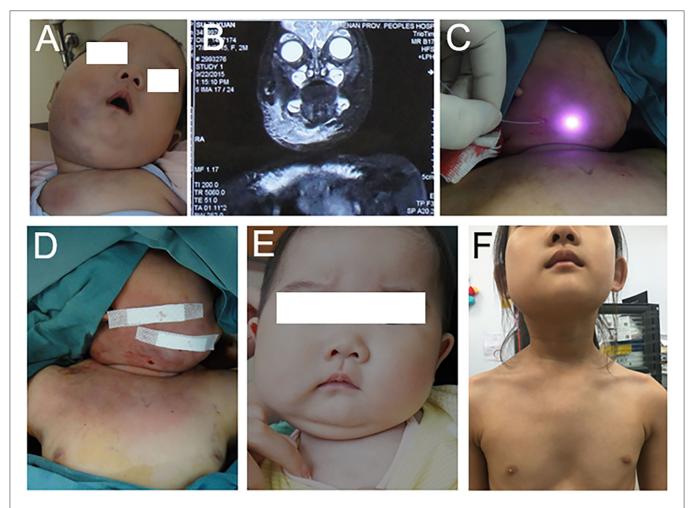


FIGURE 1 | The patient was diagnosed with KHE with KMP. (A) The patient showed a lesion on her right face, chin neck, and chest wall at 2 months. (B) Magnetic resonance imaging with contrast enhancement performed at 2 months. (C,D) The patient received the first course of IPL and sclerotherapy at 4 months. (E) The tumor recessed at 10 months. (F) The tumor recessed at 6 years.

g/L) after <4 weeks. The duration of pharmacologic therapy should depend on whether there has been a stabilization of the patient's hematologic status and not on whether there has been an obvious tumor regression. In literature, the intended length of corticosteroid and vincristine therapy was variable ranging between 1 month and 1 year and typically 20–24 weeks, respectively (5, 6). However, it is rare to see complete clearance of this tumor, even with prolonged pharmacologic therapy. Residual tumor or fibrosis is common, especially in more aggressive lesions, and is not a reason to continue therapy if high-risk symptoms have resolved and the tumor is stable on serial imaging (5).

Shapshay first reported ILP for the treatment of vessel anomalies (7). Subsequently, intralesional therapy has been utilized in different kinds of hemangioma and venous malformations, sole or in conjunction with other adjuvant modalities (8, 9). However, no report has been published about ILP in treating infant vascular tumors associated with KMP. Based on our previous treatment success with

proliferating infantile hemangiomas and vascular malformation, we treated pediatric KMP patients using ILP and percutaneous sclerotherapy to avoid relapse and promote tumor regression. According to the pathology research of KMP, arteriovenous shunts and the turbulence blood flow that results from the small, convoluted capillaries rising directly from large vessels serially or linearly cause abnormal platelet activation and aggregation (10, 11). By intratumoral laser, laser energy can be delivered directly into deep lesions through a fiber, thereby, effectively coagulating the malformed vessels in the deep lesion. The photocoagulation effect of intratumoral laser can immediately reduce the arteriovenous shunts and turbulence blood flow. KHE and TA cells express podoplanin, which is the only known endogenous ligand for CLEC2 (12). CLEC2 is a C-type lectin receptor that is highly expressed on platelets and is known to elicit powerful platelet activation upon engagement by podoplanin. Photocoagulation destroys the endothelial cells of the lesion and could reduce platelet aggregation and activation.

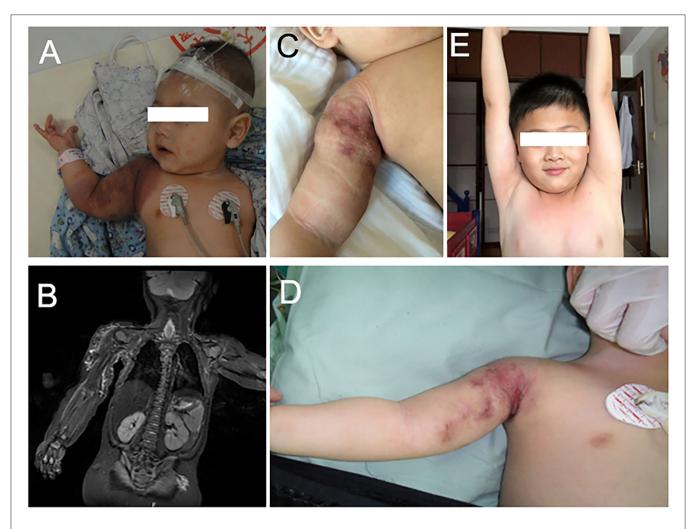


FIGURE 2 | (A) The patient was diagnosed with KHE with KMP on his right shoulder and arm at 4 months. (B) Magnetic resonance imaging with contrast enhancement revealed the vascular lesion on his right shoulder and arm. (C) The lesion recessed obviously after three courses of ILP and sclerotherapy. (D) The tumor recessed after three courses of ILP and sclerotherapy. (E) The tumor absolutely recessed at 6 years.

Afterward swelling of the lesion further results in pressure on the malformed vessels, which can slow down the blood flow in the lesion and make it safer to inject sclerosant. Embolization alone seems to be associated with a high relapse rate of KHE. The sole injection of absolute ethanol or corticosteroid as reported in literature might be diluted easily due to the high flow rates (13, 14). But, Brill (15) reported that transarterial embolization in adjunct to sirolimus treatment provides a more rapid resolution of KMP. Therefore, the sclerotherapy at present was performed in two-step each injection site. In the first step, the absolute ethanol destroyed the downstream vessel including the possible arteriovenous shunts within the tumor. It worked through inducing thrombosis by denaturing blood proteins, denuding the vascular wall of endothelial cells, precipitating their protoplasm, and segmentally fracturing the vascular wall to the level of the internal elastic lamina (16). With the increasing lumen pressure resulting from the obstructed downstream vessel, the originally closed potential vessels were turned on and then were further obstructed by the absolute ethanol in the blood flow. In the second step, Lauromacrogol combined with the compound betamethasone was used to block the remaining vessels and inhibit angiogenesis in the long term.

Intralesional laser photocoagulation is partly a "blind" technique, so there is a risk of unintended destruction of surrounding tissue. It is better to use ultrasound navigation for ILP (9). Nerve damage is a common complication. In the report of Burstein (8), 2 of 100 patients experienced residual weakness of midface branches of the facial nerve after laser treatment of the lesion of the face. Fortunately, no nerve damage occurred in our cases. Cutaneous burns are the other common complication. Since it happens frequently, we paid special attention during the ILP. We kept the fiber at 5-10 mm underneath the surface of the lesion as recommended. Ice cooling further reduced the thermal damage to the skin. No iatrogenic ulceration, perforation, or bleeding occurred in all cases. Potassium may be released from intracellular to extracellular milieu due to hemolysis after ILP and some cytokine or inflammatory factor. ECG, urine test, and blood tests (including whole blood count, potassium concentration, DIC, and renal function) should be carried out immediately after the treatment and the next morning. Intensive care and continued monitoring management collection of information are considered necessary.

The current series represents the first reported experience with a drug in conjunction with ILP and percutaneous sclerotherapy for infant vascular tumors associated with KMP. Theoretically, the combined therapy modalities could induce a more rapid tumor response and resolution of KMP and decrease the rebound rates (15). However, we did not design a cohort study to investigate in the present report. Whether the pharmacological manipulation and ILP with percutaneous sclerotherapy could obscure the effect of each modality remains unknown. Future multicenter and large cohort research should be carried out to disclose the efficacy.

In summary, the present research presents a novel and safe multi-modality treatment for infant vascular tumors associated with KMP.

#### **DATA AVAILABILITY STATEMENT**

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethics Committee of Xinhua Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the minor(s)' legal guardian/next of kin for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

XS and MX: conception, design, and administrative support. All authors are contributed to provision of study materials or patients, collection, assembly of the data, the data analysis, interpretation, manuscript writing, and final approval of manuscript.

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# Laparoscopic Surgery for Focal-Form Congenital Hyperinsulinism Located in Pancreatic Head

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Wen Z, Wang J, Liang Q, Chang X, Zhang W, Niu H and He Q (2022) Laparoscopic Surgery for Focal-Form Congenital Hyperinsulinism Located in Pancreatic Head. Front. Pediatr. 10:919238. doi: 10.3389/fped.2022.919238 **Background and Aims:** Congenital hyperinsulinism of infancy (CHI) is a rare condition that may cause irreversible severe neurological damage in infants. For children in whom medical management fails, partial or near-total pancreatectomy is then required according to the type of lesion. Currently, open surgery of near-total pancreatic head resection is a mature technique for the treatment of focal-form CHI located in the head of the pancreas, but a minimally invasive laparoscopic procedure has not been reported yet. The aim of this study was to verify the feasibility, safety, and efficacy of laparoscopic pancreatic head resection and Roux-en-Y pancreaticojejunostomy for focal-form CHI.

**Methods:** Two infants with persistent hypoglycemia and increased insulin levels were diagnosed with CHI and underwent laparoscopic near-total pancreatic head resection due to a suboptimal response to medical therapy and the likelihood of focal disease amenable to surgery. Clinical records, operative findings, and postoperative follow-up were collected and analyzed.

**Results:** The operative duration was 300–330 min, and the intraoperative blood loss was minimal. The duration of postoperative abdominal drainage was 4–5 days. Neither intra- nor postoperative abdominal complications occurred. Oral feeding was resumed 3–4 days after the operation, and the blood glucose level was gradually stabilized to within the normal range. Normal blood glucose was observed in both patients over a follow-up period of 3–6 months.

**Conclusions:** Laparoscopic pancreatic head resection and Roux-en-Y pancreaticojejunostomy can be considered a safe and effective procedure with minimal morbidity and excellent outcomes for the treatment of focal CHI in the head of the pancreas.

Keywords: hyperinsulinism, laparoscopy, near-total pancreatic head resection, pancreaticojejunostomy, surgery

#### INTRODUCTION

Congenital hyperinsulinism of infancy (CHI) is the most common cause of persistent hypoglycemia in neonates and can lead to irreversible brain damage (1). CHI is characterized by the inappropriate over secretion of insulin. Usually, the diagnosis of CHI is established by confirming hypoglycemia (glucose <2 mmol/L), along with an inappropriately high insulin level (>2 mU/ml), a negative urinary ketone result, and normal tandem mass spectrometry findings (2). Histologically, CHI in neonates and infants can be divided into three types: diffuse, focal, and atypical. Gene mutation is regarded as the main cause of CHI. Genetic testing is helpful to differentiate the focal and diffuse forms of CHI and determine the surgical strategy if conservative medical treatment fails (3–5).

Patients with CHI are managed with frequent feeding or glucose infusion and using medications, such as diazoxide, octreotide, or glucagon, to control hypoglycemia. Conservative treatment is effective in approximately half of patients with CHI (6). For those in whom medical treatment fails, surgical intervention is required.

For diffuse CHI, near-total resection of the pancreas is an effective way to control symptoms, but for focal lesions, local resection of the lesion can achieve the goal of cure. There are reports in the literature of open surgery being used for CHI with focal lesions located in the head of the pancreas, but there have been no reports of laparoscopic surgery in this context yet. In this article, we reported our first two cases of successful laparoscopic treatment for local-type CHI with near-total pancreatic head resection and reconstruction of the remained body and tail of the pancreas and gastrointestinal tract through transmesocolic pancreaticojejunal Roux-en-Y loop anastomosis.

#### **METHODS**

#### **Study Population**

Between July 2021 and November 2021, two patients (one boy and one girl, aged from 20 days to 1 month) with CHI who failed to respond to medical therapy were managed with laparoscopic pancreatic head resection and Roux-en-Y pancreaticojejunostomy in the Department of Pediatric Surgery, Guangzhou Women and Children's Medical Center. The surgical technical details and outcomes were analyzed. All procedures performed in the study involving human participants were in accordance with the ethics standards of the institutional research committee. Each patient's parents provided were written informed consent before the patient was enrolled in the study.

#### Presentation

Patient 1 was a male infant with a normal spontaneous full-term delivery. Three days after birth, shortness of breath and foaming at the mouth were observed. The patient was admitted to the local hospital and diagnosed with hyperinsulinemia when his blood glucose was 2.21 mmol/L and his insulin was 11.3  $\mu IU/ml.$  His blood glucose could not be maintained stably and was he then transferred to our center. Further examination of genetic test results suggested a heterozygous paternally inherited ABCC8

mutation [c.2113C>T(p.R705\*)], which is usually suggestive of focal disease. Ultrasound examination showed normal findings for the pancreas and other parenchymal organs. 18-Fluoro-dihydroxyphenylalanine (18F-DOPA) positron emission tomography (PET)/computed tomography (CT) examination showed focal CHI in the head of the pancreas. After unsuccessful medical treatment, the patient underwent surgery at the age of 68 days and a body weight of 6.5 kg.

Patient 2 was a 20-day-old female neonate whose mother was diagnosed with connective tissue disease and gestational diabetes mellitus. Sixteen hours after birth, she had episodes of cyanosis and hypoglycemia (2.1 mmol/L), and euglycemia had to be maintained by continuous intravenous glucose infusion. She was transferred to our center and the diagnosis of hyperinsulinemic hypoglycemia was established by the fasting test. The pancreatic sonographic findings were normal. Wholeexome sequencing identified a heterozygous mutation in the ABCC8 gene (p.Thr1042fs), which was inherited from her father. Further PET/CT scans demonstrated focally increased uptake of 18F-DOPA (0.6  $\times$  0.7 cm) in the head of the pancreas (Figure 1). Diazoxide and octreotide administration was initiated but still failed to achieve normoglycemia. Therefore, laparoscopic near-total pancreatic head resection with Roux-en-Y pancreaticojejunostomy was performed at the age of 43 days and body weight of 5.2 kg.

#### **Surgical Technique**

The surgical methods applied in the two cases were similar. The surgical procedure was as follows (a supplemental short operation video is available in Figshare, https://doi.org/10.6084/m9.figshare.20033057).

Under general anesthesia, the child was placed supine with the legs in a frog-like position at the lower end of the operating table, which was tilted in the reverse Trendelenburg position. The surgeon stayed at the lower end of the table with the camera person to his left and the assistant surgeon to his right. The screen was placed above the patient's head. The first port was established in an open manner through the inferior umbilical fold for a 5-mm  $30^{\circ}$  telescope. The CO<sub>2</sub> pneumoperitoneum pressure was 8 mmHg, and the flow was 10 L/min. Two additional 3.5-mm ports were placed just at the level of the umbilicus approximately 5 cm to the left or right side of the umbilicus for 3-mm working instruments (**Figure 2A**). The fourth 3.5-mm port was inserted into the left upper abdomen.

The gastrocolic ligament was opened transversely followed by suspension of the posterior wall of the stomach with two transabdominal stay sutures to expose the lesser sac. Then, the pancreas was inspected for any localized lesions, specifically focusing on the pancreatic head, where PET/CT indicated a lesion (Figure 2B). These two patients did not show any signs of localized disease. Then, the tail of the pancreas was mobilized first by freeing it from the splenic hilum. A 5-mm biopsy was collected from the tail of the pancreas for fast-frozen section analysis. The results showed a normal pancreatic structure, with no abnormal islet cells. Therefore, it was decided to proceed with the planned laparoscopic pancreatic head resection and pancreaticojejunostomy.

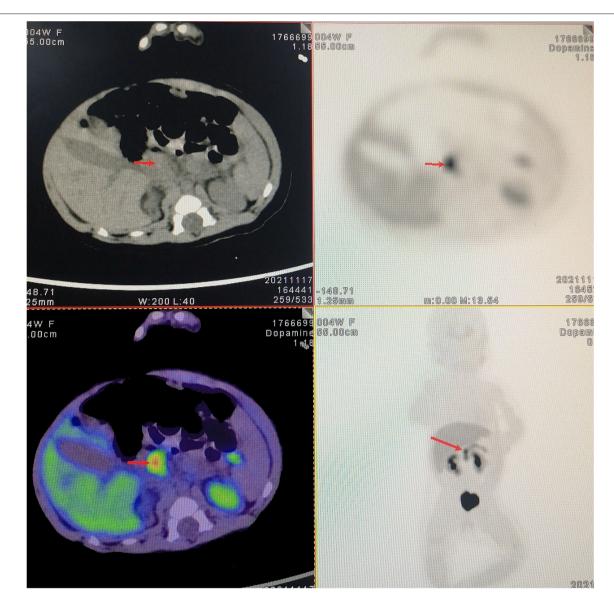


FIGURE 1 | 18-Fluoro-dihydroxyphenylalanine (18F-DOPA) positron emission tomography/computed tomography (PET/CT) scan. Preoperative 18F-DOPA PET/CT shows a focal lesion in the head of the pancreas.

The superior mesenteric vein and splenic vein were found along the middle colic vein. After dissecting the gap between the pancreas and the portal vein (Figure 2C), the pancreatic neck was cut with an ultrasonic scalpel just above the portal vein (Figure 2D).

The portal vein was pulled to the left, and the uncinate process was dissected along with the pancreatic capsule with electric cautery (Figure 2E). The branch vessels of the head of the pancreas into the portal vein were ligated. To fully expose the uncinate process, the Henle trunk was disconnected. The superior margin of the pancreas was dissociated below the duodenal bulb, exposing the pancreaticoduodenal artery and the common bile duct (CBD) below this artery (Figure 2F). The pancreatic tissue in front of the CBD was carefully cut bit

by bit along with the CBD from top to bottom with electric cautery so that the front wall of the CBD was completely exposed until it entered the duodenum (**Figure 2G**). The loose adhesion between the CBD and the pancreas was separated, and the surrounding pancreatic tissue was removed, leaving only a tiny residual piece of pancreatic tissue between the CBD and the duodenal wall to protect the arterial supply of the CBD. Neartotal pancreatectomy was completed by excising pancreatic tissue close to the inner part of the duodenum, leaving only a small amount of pancreatic tissue around the pancreaticoduodenal artery (**Figure 2H**).

The end-to-side jejunojejunostomy was carried out extracorporeally by exteriorizing the proximal jejunum through the extending umbilical incision. Subsequently,

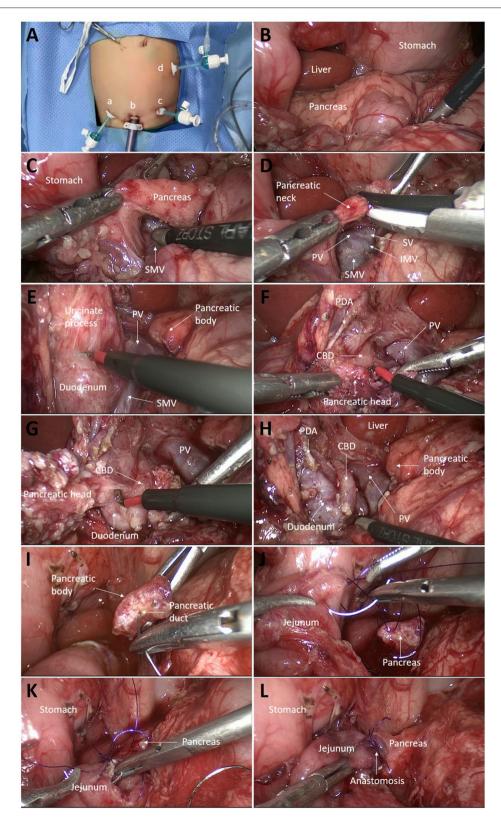


FIGURE 2 | Intraoperative images. (A) Trocar locations: a, first auxiliary hole; b, lens hole; c, second auxiliary hole; and d, third auxiliary hole. (B) The pancreas was inspected for any localized lesions. (C) The post-pancreatic tunnel was created. (D) The pancreatic neck was transected with an ultrasonic scalpel. (E) The uncinate (Continued)

FIGURE 2 | process was dissected along with the pancreatic capsule with electric cautery. (F) The superior margin of the pancreas was dissociated below the duodenal bulb, exposing the PDA and the CBD below the artery. (G) Pancreatic tissue surrounding the CBD was carefully removed bit by bit from top to bottom. (H) Near-total pancreatic head resection was completed, leaving only a small amount of pancreatic tissue around the PDA. (I) The pancreatic duct was verified by inserting the tail of a needle of 5-0 polydioxanone (PDS). (J) Roux-en-Y pancreatic tissue around the PDA. (II) The pancreatic duct was verified by inserting the tail of a needle of 5-0 polydioxanone (PDS). (J) Roux-en-Y pancreatic performed. First, the posterior wall of the jejunum and the pancreas was continuously sutured from the upper end of the jejunum, leaving the knot outside the intestinal wall. (K) The anterior wall of the jejunum was then continuously sutured. Finally, the two threads were tied at the lower end of the anastomosis with the knot outside. (L) The anastomosis was completed by enfolding the exposed pancreatic section in the jejunum. PDA, pancreaticoduodenal artery; CBD, common bile duct; SMV, superior mesenteric vein; IMV, inferior mesenteric vein; PV, portal vein; SV, splenic vein.

the Roux jejunal limb was brought through the transverse mesocolon to the pancreatic body intracorporeally. Laparoscopic pancreaticojejunostomy was then performed (**Figures 2I–L** and **3**). The pancreatic section was gently trimmed with scissors to remove the surface eschar and expose the pancreatic duct. The diameter of the pancreatic duct is small, but under the magnified view of the laparoscope, it can be identified by finding the small amount of flow of pancreatic fluid. Then, the pancreatic duct was verified by inserting the tail of a needle of 5-0 polydioxanone (PDS; **Figure 2I**). The end of a 25-cm-long Roux-en-Y jejunal limb was then pulled up through the mesocolon.

The ends of two 15-cm-long 5-0 monofilaments were tied together, and the two needles were used to suture the posterior and anterior walls respectively. First, one needle was inserted from the upper end of the jejunal anastomosis, leaving the knot outside the intestinal wall, and the posterior wall of the jejunum and the pancreas was continuously sutured (Figure 2J). Then, another thread was used to suture the anterior wall from the upper end of the jejunum (Figure 2K). Finally, the two threads were tied at the lower end of the anastomosis with the knot outside. The anastomosis was thus completed by enfolding the exposed pancreatic section in the jejunum (Figure 2L). The abdominal drain was placed just at the site of the anastomosis.

#### **RESULTS**

A laparoscopic procedure for near-total pancreatic head resection with Roux-en-Y pancreaticojejunostomy successfully conducted in both patients. Neither patient required conversion to an open procedure. The operative duration was 300-330 min, and the intraoperative blood loss was approximately 10 ml in both procedures. Postoperative recovery was uneventful, with no cases of a biliary fistula or pancreatic leakage, and the abdominal drain was removed 4-5 days after surgery. Oral feeding commenced 3-4 days postoperatively, after the return of bowel activity. The blood glucose level was normalized gradually after the operation. Both patients were discharged 14 days postoperatively without any complications. Histological examination confirmed the diagnosis of focal CHI, characterized by hypertrophied beta cells with abnormally large nuclei (Figure 4). The blood glucose level remained normal under a normal diet over the 6-month follow-up period.

#### **DISCUSSION**

The surgical strategy and prognosis for diffuse- or focal-type CHI are quite different. Usually, a subtotal of 90–98% pancreatic

resection is needed for diffuse-type CHI, but diabetes mellitus develops in 12–56% of patients, depending on the extent of surgery, age at surgery, and length of follow-up (7, 8). For patients with focal-type CHI, selective partial pancreatectomy is a curative surgical procedure (9, 10), and approximately 97% of patients were cured in a series of 246 cases of focal CHI reported by Adzick (9). Therefore, preoperative differentiation between the diffuse and focal types is critical for the treatment of CHI.

Usually, conventional imaging studies, such as ultrasound, CT, and magnetic resonance imaging (MRI), can only show nearly normal images of the pancreas and cannot be used to detect pancreatic lesions in patients with CHI (11). Genetic testing and PET/CT are regarded as reliable methods for distinguishing between the two types of CHI. The accuracy of 18F-DOPA PET/CT in locating pancreatic lesions can reach 100% (12–14); thus, it is helpful in formulating a precise surgical plan.

Superficial and small lesions in the pancreas can be treated by enucleation or simple resection. However, for deep pancreatic lesions, segmental resection, or limited pancreatectomy may be conducted. Local lesions can be cured after surgery. For lesions located at the head of the pancreas, surgery should include near-total resection of the head and reconstruction of pancreatic drainage (Roux-en-Y pancreaticojejunostomy). Open surgery is a mature technique for this procedure, but laparoscopic pancreatectomy has been previously reported only in central or distal pancreatectomy (2, 15, 16). Adzick reported the outcomes of a group of 59 CHI patients with lesions located at the head of the pancreas; 36 were amenable to enucleation, while 23 underwent total or near-total pancreatic head resection (two Whipple surgery). All patients were cured of CHI, and none developed diabetes or pancreatic exocrine insufficiency (17).

The technique of pancreatic head resection for focal lesions is similar to that for diffuse lesions. It is required to skeletonize the CBD and preserve a small amount of pancreatic tissue around the pancreaticoduodenal artery inside the duodenal loop (17). However, laparoscopic surgery is technically challenging. Although duodenal-preserving pancreatic head resection has been applied in surgery for benign lesions in adults (18), for neonates and infants, the small surgical space and small tissue structures make laparoscopic surgery quite difficult.

Adzick (9) believed that 98% of near-total resection was necessary for diffuse lesions to ensure surgical results and prevent a postoperative recurrence. In 98% of near-total resection, the CBD should be skeletonized, and only a tiny portion of the pancreatic head should be left between the CBD and the duodenal wall. Al-Shanafey (2) and Liem (16) reported 85–95% subtotal pancreatectomy for diffuse-form

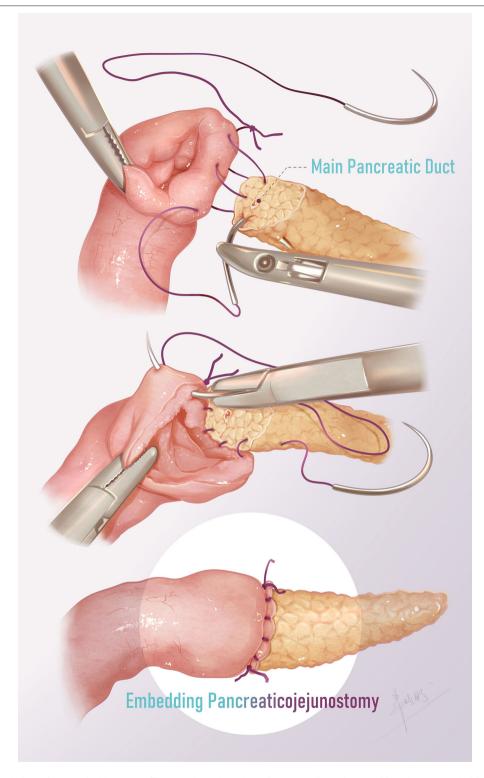
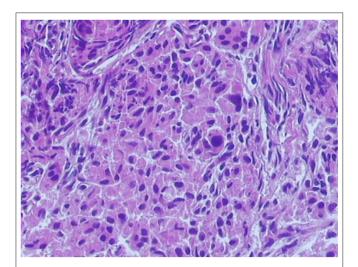


FIGURE 3 | Surgical technique of pancreaticojejunostomy. The posterior and anterior walls were continuously sutured from the upper end of the anastomosis, and the two threads were finally tied at the lower end with the knot outside.

Laparoscopic Surgery for Focal CHI



**FIGURE 4** | Histopathology section in high power view. Histological examination confirmed a diagnosis of focal congenital hyperinsulinism of infancy (CHI), characterized by the nodular aggregation of hypertrophic beta cells.

CHI by laparoscopy in 2009 and 2010, respectively. In the laparoscopic operation, the pancreas was removed, with 1 cm or more of pancreatic tissue along with the duodenum. Adzick (9) questioned the feasibility and effectiveness of laparoscopic surgery, arguing that instead of near-total resection, it should be called distal pancreatectomy (19). By comparing open surgery and laparoscopy in CHI, Al-Shanafey admitted that the extent of resection was significantly higher in the open group than in the laparoscopic group (10). CBD-related complications (intraoperative injury or postoperative stricture) have been reported to occur in up to 16% of pancreatectomies involving the pancreatic head (20, 21); thus, management of the CBD is one of the difficulties in laparoscopic pancreatic head resection in neonates and infants.

Histologically, focal CHI lesions are usually irregularly shaped and frequently have octopus-like tentacles; incomplete resection can lead to recurrence (17). Therefore, for local lesions of the head of the pancreas, near-total resection can prevent residual lesions and postoperative recurrence to the greatest extent. In the two cases in this study, the head of the pancreas was nearly completely resected, the CBD was skeletonized, and only a small amount of pancreatic tissue was preserved between the CBD and the inner part of the duodenum; additionally, the pancreatic and duodenal vasculature were not damaged.

There are several key points in the laparoscopic procedure. The identification and dissection of the CBD are the first one. In the laparoscopic procedure, the pancreaticoduodenal artery can be exposed after dissecting the upper margin of the pancreas, and the CBD can be observed below and behind it. The diameter of the CBD is approximately 2–2.5 mm, and it can be clearly identified under laparoscopic magnification. The CBD is enclosed in the pancreas, and its anterior wall can be exposed by opening the pancreatic tissue little by little with an electric knife.

The dissection continues along with the CBD until reaching the duodenal wall, exposing the CBD completely. After dissecting the loose adhesion between the CBD and pancreas, the pancreatic tissue is removed, and the CBD is skeletonized.

Managing the uncinate process is another key point in laparoscopic pancreatic head resection. Part of the uncinate process passes behind the portal vein. An assistant is required to pull the portal vein to the left to expose the surgical field. Sometimes, the Henle trunk affects the exposure to the uncinate process; it can be severed during the operation to achieve full surgical field exposure. The amputation of the Henle trunk does not affect the blood supply to the second or third segment of the duodenum. One of the two patients in this study underwent amputation of the Henle trunk.

Pancreaticoenterostomy is the third key point of the laparoscopic operation. Zani (22) reported a series of 19 patients with CHI, three of whom required pancreatic head resection and pancreaticojejunostomy. All three patients underwent laparoscopic surgery, which was eventually converted to open surgery. To date, no cases of successful pancreaticojejunostomy in neonates or infants have been reported. To the best of our knowledge, this is the first report of such an operation performed by a laparoscopic approach.

The small pancreatic ducts in neonates and infants are difficult to recognize by the naked eye and sometimes need to be identified by intraoperative ultrasound during open surgery (3). In laparoscopic surgery, the eschar in the section of the pancreas is carefully cut with scissors. With laparoscopic magnification, pancreatic fluid overflow can be observed to determine the location of the pancreatic duct, which can be confirmed by inserting the needle tail of a 5-0 PDS suture into the pancreatic duct. During anastomosis, pancreatic duct damage should be avoided.

Traditional open pancreaticojejunostomy is performed using interrupted sutures to wrap the exposed section of the pancreas in the jejunum. In laparoscopic surgery, we modified the suture method to simplify the operation. Two 5-0 PDS stitches, each 15-cm long, were tied at the end of the suture. Starting from the external jejunal needle, the posterior wall and anterior wall of the anastomosis were continuously stitched from top to bottom and finally tied at the lower end of the anastomosis. In addition, when suturing the jejunum, the needle was introduced in an oblique manner to hitch more serosa and less mucosa, such that the serosal surface could be fully attached to the cut surface of the transected pancreatic body. In this way, the operation was simplified, laparoscopic surgery could be successfully completed, and anastomosis was ensured to avoid the occurrence of pancreatic leakage.

#### CONCLUSION

To the best of our knowledge, this is the first report of successful laparoscopic near-total pancreatic head resection for focal pancreatic head CHI. In summary, we have demonstrated that laparoscopic pancreatic head resection with Roux-en-Y pancreaticojejunostomy is a safe and effective procedure in neonates and small infants with CHI.

#### **DATA AVAILABILITY STATEMENT**

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author/s.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Ethics Committee of Guangzhou Women and Children's Medical Center. Written informed consent to participate in this study was provided by the participants' legal

guardian/next of kin. Written informed consent was obtained from the individual(s), minor(s)' legal guardian/next of kin, and for the publication of any potentially identifiable images or data included in this article.

#### **AUTHOR CONTRIBUTIONS**

ZW, JW, QL, and WZ designed the study and drafted the initial manuscript. ZW, JW, XC, HN, and QH reviewed and revised the manuscript. All authors contributed to the article and approved the submitted version.

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### Effectiveness of Polidocanol in the Treatment of Venous Malformations: A Meta-Analysis

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**Objective:** The aim of this study was to investigate the efficacy of polidocanol against venous malformations (VMs).

**Methods:** Studies reporting the treatment of VMs using polidocanol (published until February 15, 2020) were reviewed in the Embase and PubMed databases. After excluding the same literature, part of the studies were excluded by reading the title, abstract, full text. Eleven studies (with 287 participants) that fulfilled the inclusion criteria were included. Systematic meta-analysis was performed using Reviews Manager 5.2, and a fixed-effects model was used to calculate the pooled effective rate of polidocanol against VMs and the 95% confidence intervals (CI).

**Results:** Lesion reduction of more than 50% was considered effective. A total of 287 patients were treated, and treatment in 271 was considered effective. The efficacy of polidocanol was 0.89 (95% CI = 0.83–0.93). Heterogeneity among the studies was small ( $I^2 = 0\%$ , P = 0.47). T The funnel plot was roughly symmetric.

**Conclusion:** Our study suggested that polidocanol is effective in the treatment of VMs. VMs at different sites can be treated without serious complications. Therefore, we have reason to believe that polidocanol is a safe and an effective drug for VMs.

Keywords: sclerotherapy, efficacy, vascular malformations, VMS, polidocanol

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#### **HIGHLIGHTS**

- Venous malformations (VMs), the most common vascular malformations, are vascular anomalies that mostly occur in the skin and the mucosa. Surgical intervention, which is associated with a high recurrence rate, has gradually been replaced by percutaneous sclerotherapy due to its superior efficacy and lower invasiveness. Several sclerosants have been reported for the treatment of VMs. Polidocanol is one such sclerosant with a mechanism of action that involves killing of the vascular endothelial cells.
- Though previous studies have reported the use of polidocanol in the treatment of VMs in various sites, these are mostly single-center experiences. Therefore, we have reviewed the existing literature from various centers for assessing and comprehensively presenting the safety, efficacy, and stability of polidocanol in the treatment of VMs.

- Our study suggested that polidocanol has an efficacy of 96% in the treatment of VMs. Its usage is not associated with any serious complications. Polidocanol appears to be safe and effective in the management of VMs.

#### INTRODUCTION

Venous malformations (VMs) are slow-flowing, proliferative vascular anomalies that mostly occur in the skin and the mucosa (1). These constitute the most common types of vascular malformations. Although most cases of VMs are asymptomatic, some cases are symptomatic (2). VMs have physical and psychological impacts on the patients: Their physical impact is characterized by pain, swelling, and dysfunction, while their psychological impact is characterized by changes in the appearance arising from these malformations. Surgical resection was previously considered as an important conventional treatment for VMs (3); however, due to the complex structure of the lesion, which often infiltrates the surrounding tissues, a recurrence of VMs was often observed even after their curative resection (4). Therefore, over the past 2 decades, owing to its superior efficacy and lesser invasiveness, percutaneous sclerotherapy has gradually replaced surgical resection as the main treatment for VMs (5). A variety of sclerosing agents have been reported for the treatment of VMs; common agents include foam sclerosants, polidocanol, sodium tetradecyl sulfate, anhydrous ethanol, and bleomycin (6). An ideal sclerosant should have a high efficacy, manageable complications, and wider application. Although each sclerosant has a different mechanism of action, all cause a shrinkage of the VM's nidus and resolve its symptoms (5). Polidocanol is an effective sclerosing agent, and its main mechanism of action involves killing the vascular endothelial cells (7). Previous studies have reported the use of polidocanol in the treatment of VMs in various sites; however, these were mostly single-center studies. Therefore, in this study, we have reviewed the existing literature for assessing the safety, efficacy, and stability of polidocanol in the treatment of VMs.

#### **MATERIALS AND METHODS**

#### **Literature Review and Search Strategy**

Using a computer-based retrieval system, 2 researchers explored the PubMed and the Embase databases for literature on polidocanol usage available from the databases' dates of launch to February 15, 2020. Subject terms and free text were used for data retrieval. The languages were restricted to English and Chinese. The following search terms were used: "[polidocanol (supplementary concept) OR polidocanol] AND ['vascular malformations' (mh) OR VMs]."

#### **Inclusion Criteria**

We included publications on the use of polidocanol in the treatment of VMs. Only published studies were taken into consideration. All publications included adults and/or children.

These publications detailed studies on the efficacy of polidocanol against VMs; the extent of lesion reduction was used as a criterion for efficacy. A detailed flowchart demonstrating the study selection process is shown in **Figure 1**.

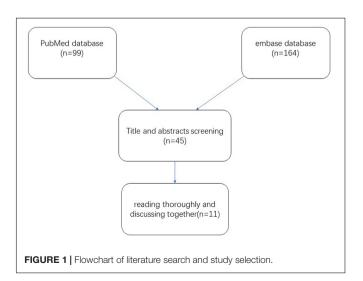
#### **Study Selection and Data Extraction**

Two researchers independently screened the search results. extracted data using pre-established criteria, and assessed the risk of bias. Disputes arising during data processing were resolved by 2 persons through consultation. Studies were selected based on the title and the abstract; the full text was referred to later for further screening. The following information was extracted from each study: (1) the name of the first author, (2) year of publication, (3) focal location, (4) number of cases with effective treatment, (5) sample size of the study, (6) types of studies, (7) duration of follow-up, (8) the concentration of polidocanol administered, (9) Clinical Evaluation, (10) Mean No. of sessions, (11) Mean number of treatments, (12) Number of Complication, (13) Number of recurrent cases. The study quality was evaluated with reference to the methodological index for non-randomized studies (MINORS) Collaboration tool (8). The same was used for evaluating the risk of bias.

#### **Data Synthesis and Analysis**

Meta-analysis was performed using the Reviews Manager 5.2 software. For dichotomous outcomes arising from the absence of a control group, 95% confidence intervals (CIs) were estimated. A fixed-effects model was used for the present meta-analysis. Heterogeneity among the studies was evaluated using  $I^2$  statistics;  $I^2$ -values greater than 50% indicated high heterogeneity. Furthermore, the funnel plot asymmetry was determined to assess publication bias. Probability values of < 0.05 were considered as statistically significant.

This study was a control with no dichotomous data, and the outcome measure was the effective rate of treatment, data on the type of ratio should be calculated by (9). Assuming an effective rate of P, a sample size of N, and an effective number of X, when the assumption of normal distributed data were not met, it is



calculated as:

$$P = \ln(x/(n-x))$$
  
SE(p) =  $\sqrt{1/x + 1/(n-x)}$ 

The Revman software was used to calculate that the results obtained needed a transformation calculation to obtain the pooled effective rate and 95% CI, and the conversion formula was as follows:

Conversion of effect measures:

$$Pf = OR/(1 + OR)$$

95% CI lower limit transformed:

$$LL = LL_{OR}/(1 + LL_{OR})$$

95% C<sub>I</sub> upper limit transformed:

$$UL = UL_{OR}/(1 + UL_{OR})$$

#### **RESULTS**

# Literature Search, Description, and Quality of the Included Studies

A total of 263 potentially relevant studies were identified in the literature search. Around 99 publications were excluded by reviewing the titles and abstracts. Around 119 publications were further excluded upon reviewing the full articles. After thoroughly reading and discussing the remaining 45 articles, 34 publications were excluded. Finally, 11 publications with 305 patients were selected for the meta-analysis (10–20). The quality of the included publications was relatively low.

#### **Characteristics of the Included Studies**

All included publications detailed single-center studies and were published between 2000 and 2020. The sample size ranged from 7 to 70 subjects. The basic characteristics of the studies are summarized in **Table 1**. Around 3 publications focused on VMs of the head and the neck, while 1 publication reported retrobulbar VMs. The remaining publications covered parts of the body such as the limbs, genitalia, and trunk. Of the 11 publications reviewed, 2 were prospective and 9 were retrospective in nature, as shown in **Table 1**.

#### **Efficacy Rate**

Polidocanol effectiveness was 86%. It was reported in all included studies and was measured by a reduction in the VM lesion size. Low heterogeneity was observed between all statistical analyses ( $I^2 < 50\%$ ), as shown in **Figure 2**.

Complication rate was 47%.

#### Adverse Events

Most studies reported postoperative complications. Eight studies reported swelling, pain, and skin necrosis, which disappeared within a week following surgical intervention. One study reported a small amount of postoperative pigmentation that disappeared within a short time, while another reported postoperative proteinuria. However, one study did not report any obvious complications. Because some literatures did not provide detailed data of complications, they were excluded when calculating the incidence of complications. High heterogeneity was observed between all statistical analyses ( $I^2 > 50\%$ ), the random effect model is used, as shown in **Figure 3**.

#### **Publication Bias**

The funnel plot generated from the studies reporting polidocanol efficacy was roughly symmetrical (**Figure 4**). This may be attributed to the smaller sample sizes, which produced a smaller effect. The funnel plot generated of complication rate was roughly symmetrical (**Figure 5**).

#### DISCUSSION AND CONCLUSION

VMs are congenital malformations of the vascular system (21). Their symptoms are known to persist from childhood to adulthood. The vast majority of VMs do not heal spontaneously and their symptoms worsen gradually.

Surgery, once the mainstream treatment for VMs, has gradually been replaced by sclerotherapy due to its limitations involving functional and aesthetic sequelae and high recurrence rates (3). However, some scholars believe that surgery cannot be completely ruled out, because sclerotherapy alone cannot completely eliminate residual fibrosis and phleboliths (4).

Compared to surgical treatment, percutaneous sclerotherapy is associated with a relatively lower trauma and has a lower cost (5). Polidocanol, a sclerosant, is widely used in the treatment of VMs. It was initially developed in France in the 1950s as a local anesthetic that could be used as a liquid or foam. In March 2020, it was approved by the US Food and Drug Administration as a sclerosing agent.

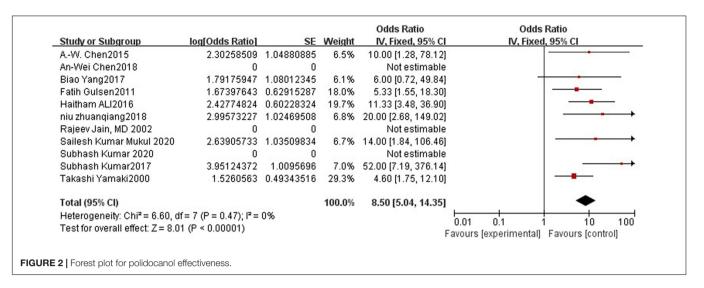
Our literature survey revealed that the VMs of the trunk, limbs, head, and neck in children and adults were treated with polidocanol with an efficacy of 86%. The definition of "effective" varied across literature. Therefore, in this study, an effective rate was determined on the basis of the effect indicators (change in lesion volume after treatment) in the literature studied. Symptoms were also observed to be important effect indicators; almost all publications (whether included in this study or not) reported that the symptoms of most patients alleviated or disappeared after sclerotherapy. Improvement of clinical symptoms after treatment was also mentioned in the literatures included in this study.

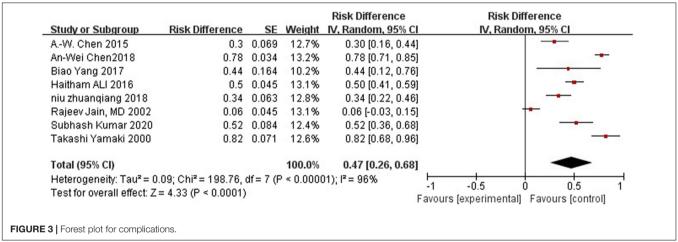
Only a few meta-analyses are available on the treatment of VMs with polidocanol. Five studies have focused on the use of polidocanol in the treatment of venous diseases including gastric variceal bleeding, telangiectasias of the lower limbs, and esophageal varices. Only one meta-analysis reported the efficacy of polidocanol for the treatment of VMs (22). The publications included in this study were limited to case studies in China and included another sclerosing agent, Lauromacrogol, which is similar to polidocanol and is mostly practical in China. The significance of our analysis lies in the fact that it reviewed

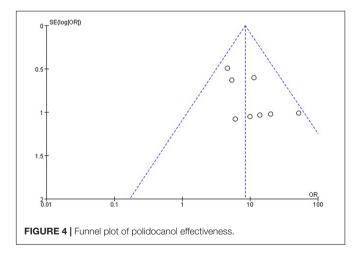
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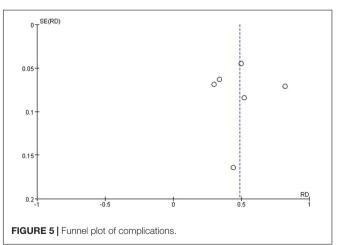
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literature on the use of polidocanol in the treatment of VMs at various sites.

Some issues require further investigation. Firstly, the specific details of the patient receiving sclerotherapy such as the

concentration of polidocanol administered, the time between consecutive treatments, and the type and location of the lesion should be considered in the analysis. In the literatures included in this study, different concentrations of polidocanol were used

to treat VMs, which did not explain the reason, possibly due to the local drug supply. In addition, the time interval between two treatments reported in different literatures is also different, which may be due to the doctor's judgment of the patient's condition. All the literatures were not sufficiently detailed to report the complications of polidocanol, which may be due to the relatively minor and rapid recovery of the related complications. Different literatures refer to different criteria to evaluate complications, however, most of the literatures included in this study only described the symptoms of complications and did not grade them. We counted all cases of complications (23). The degree of complications was evaluated, and most complications were grade 1, one study explicitly mentioned that patients' complications reached grade 2 (11). Secondly, the studies included in this meta-analysis reported different concentrations of polidocanol for treating VMs. The reason behind this remains unclear; It may be due to the local drug supply. Thirdly, the time interval between two treatments differed across the studies; this may be due to the doctor's judgment of the patient's condition. Thirdly, efficacy was not assessed in a uniform fashion. In the literature included in this review, VMs occurred in many parts of the body, such as the head and neck, trunk, extremities, oropharynx, etc., imaging is difficult to estimate lesion volume in VMs because of irregular morphology, and evaluation of treatment efficacy is judged by whether lesions disappear or become less symptomatic in most of this literature, some literatures use the change of volume or lesion diameter to evaluate the treatment effect. This inconsistency of evaluation

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criteria may affect the overall evaluation of efficacy. Furthermore, the studies included were insufficiently detailed regarding the complications of polidocanol; this may be due to the relatively minor and rapid recovery of the associated complications. Due to the large individual differences in patients with VMs, the optimal dose of polidocanol remains unknown. To address these issues, more well-designed randomized controlled trials are needed. Based on the current research, polidocanol is an effective and a safe sclerosant for treating VMs; no publication has concluded otherwise.

This meta-analysis provides strong evidence that supports the use of polidocanol in the treatment of VMs.

#### DATA AVAILABILITY STATEMENT

The original contributions presented in this study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

#### **AUTHOR CONTRIBUTIONS**

LG conceived and designed the meta-analysis. ZL and WH searched literatures and extracted data. JS was responsible for writing and revising articles. LW and DS analyzed the data. All authors reviewed the manuscript and approved the submitted version.

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## Plasma amyloid-beta levels correlated with impaired hepatic functions: An adjuvant biomarker for the diagnosis of biliary atresia

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**Background:** Biliary atresia (BA) is an infantile fibro-obstructive cholestatic disease with poor prognosis. An early diagnosis and timely Kasai portoenterostomy (KPE) improve clinical outcomes. Aggregation of amyloid-beta (A $\beta$ ) around hepatic bile ducts has been discovered as a factor for BA pathogenesis, yet whether plasma A $\beta$  levels correlate with hepatic dysfunctions and could be a biomarker for BA remains unknown.

Method: Plasma samples of 11 BA and 24 controls were collected for liver function test,  $A\beta40$  and  $A\beta42$  measurement by enzyme-linked immunosorbent assay (ELISA). Pearson's chi-squared test or Mann–Whitney U test was performed to assess differences between groups. Correlation between  $A\beta42/A\beta40$  and liver function parameters was performed using Pearson analysis. The area under the receiver-operative characteristic (ROC) curve (area under curve; AUC) was measured to evaluate the diagnostic power of  $A\beta42/A\beta40$  for BA. Diagnostic enhancement was further evaluated by binary regression ROC analysis of  $A\beta42/A\beta40$  combined with other hepatic function parameters.

Results: Plasma Aβ42/Aβ40 was elevated in BA patients. Aβ42 displayed a weak positive correlation with γ-glutamyl transpeptidase (GGT) (Pearson's correlation = 0.349), while there was no correlation for Aβ40 with hepatic functions. Aβ42/Aβ40 was moderately correlated with GGT, total bile acid (TBA), direct bilirubin (DBIL) (Pearson's correlation = 0.533, 0.475, 0.480), and weakly correlated with total bilirubin (TBIL) (Pearson's correlation = 0.337). Aβ42/Aβ40 showed an acceptable predictive power for cholestasis [AUC = 0.746 (95% CI: 0.552–0.941), p < 0.05]. Diagnostic powers of Aβ42/Aβ40 together with hepatic function parameters for cholestasis were markedly improved compared to any indicator alone. Neither Aβ42/Aβ40 nor hepatic function parameters displayed sufficient power in discriminating BA from choledochal cysts (CC); however, combinations of Aβ42/Aβ40 + GGT along with any other hepatic function parameters could differentiate BA from CC-cholestasis (AUC = 1.000, p < 0.05) with a cut-off value as 0.02371, -0.28387, -0.34583, 0.06224, 0.01040, 0.06808, and 0.05898, respectively.

Conclusion:  $A\beta42/A\beta40$  is a good indicator for cholestasis, but alone is insufficient for a distinction of BA from non-BA. However,  $A\beta42/A\beta40$  combined with GGT and one other hepatic function parameter displayed a high predictive power as a screening test for jaundiced neonates who are more likely to be BA, enabling them to early intraoperative cholangiography for BA confirmation and KPE to improve surgical outcomes. However, a multi-centers validation is needed before introduction into daily clinical practice.

KEYWORDS

biliary atresia, amyloid-beta, hepatic function, biomarker, diagnosis

#### Introduction

Biliary atresia (BA) is a progressive fibrosclerosing disease of the biliary tract, resulting in obstructive bile flow, cholestasis, and jaundice in young infants. BA affects all ethnicities with a noticeably higher incidence in the Asia–Pacific region (5–20:100,000 live-births) (1, 2). If untreated, patients develop progressive hepatic fibrosis leading to cirrhosis, portal hypertension, liver failure, and death by the age of two (1, 3). Kasai portoenterostomy (KPE) is the most widely accepted primary treatment. A timely KPE can potentially restore bile flow in 30%–80% of BA patients, but complications occur in many patients (4). It is estimated that up to 56%–74% of post-KPE BA patients at 10 years of age require liver transplantation (LT) (5).

Despite significant advances in BA management, clinicians face major challenges in establishing an early diagnosis for BA and predicting post-KPE outcomes. Early diagnosis is important because early KPE correlates with the best chances of good operative outcomes, delaying or even avoiding the need for LT (3). Currently, preoperative percutaneous liver biopsy has a higher specificity and sensitivity in early diagnosis of BA (6); however, an accurate, confirming diagnosis can only be established by surgical exploration and intraoperative cholangiography (7-9). Biomarkers are urgently needed for BA diagnosis. Plasma matrix metalloproteinase-7 (MMP7) (10-12) and  $\gamma$ -glutamyl transpeptidase (GGT) (13-16) have been suggested as promising tools in the diagnosis of BA; however, these studies have limitations such as different quantitation methods and different cut-off values were used. Furthermore, diagnostic accuracies of GGT for BA vary considerably in different age groups (16).

The amyloid precursor protein (APP) is pivotal in the pathophysiology of Alzheimer's disease (AD) since its abnormal cleavage by  $\beta$ -secretase and  $\gamma$ -secretase generates the hydrophobic amyloid-beta (A $\beta$ ) peptides including the two major A $\beta$  peptides amyloid-beta 40 (A $\beta$ 40) and amyloid-beta 42 (A $\beta$ 42), which aggregate into neurotoxic amyloid plaques in the brain tissues, one of the key pathological hallmarks of AD [for a review, see (17)]. Aggregation of the A $\beta$  peptides into amyloids is conceived as the pathogenic trigger of a

cascade leading to tau accumulation into neurofibrillary tangles, neuronal loss, and clinical dementia in AD. Plasma A $\beta$ 42 correlates with cerebrospinal fluid A $\beta$ 42 in AD patients, and blood A $\beta$ 42 has been proposed as an alternative biomarker for AD (18–20).

Using human and mouse liver organoid transcriptomics, we found (i) human and mouse BA liver organoids exhibited aberrant morphology, disturbed apicalbasal organization, defective cholangiocyte development and altered Aβ-related gene expression; (ii) Aβ peptide deposition in bile ducts of BA livers; and (iii) AB induced the aberrant morphology in control organoids (21). The aberrant organoid morphology and periductal Aβ deposition are novel pathobiological and diagnostic features of BA. Our data identified Aß deposition, the main pathological feature of AD and cerebral amyloid angiopathy, around BA bile ducts, suggesting that BA could be grouped under amyloid diseases. Plasma AB levels associate with hepatic functions in adults with liver cirrhosis (22). However, whether or not plasma Aβ levels correlate with hepatic functions in neonates and could be a non-invasive biomarker for BA is not known.

In the current study, we performed statistical and correlation analysis of plasma levels of A $\beta$ 40, A $\beta$ 42, and A $\beta$ 42/A $\beta$ 40 in BA and non-BA neonates to determine if plasma levels of A $\beta$  peptides correlate with hepatic functions and can be used as an adjuvant biomarker to enhance the diagnostic accuracy for BA.

#### Materials and methods

#### **Patients**

This study was conducted prospectively at Shenzhen Children's Hospital, China, based on a protocol developed by the Hong Kong-Macau research team. Infants diagnosed with BA (N=11) were enrolled from November 2021 to February 2022. The non-BA control group subjects included infants who suffered from choledochal cysts (CC; N=5) as well as those patients (N=19) with conditions unrelated to the liver. Intraoperative cholangiography and histologic examination

confirmed the diagnosis of BA. The study was approved by the ethical committee of Shenzhen Children's Hospital and informed consent was obtained from all guardians or legal representatives of patients. Patients' information was tabulated and shown in Supplementary Table S1.

#### Collection and preparation of plasma

Peripheral blood was collected into a vacuum tube containing EDTA at the time of KPE (BA patients) or at admission (non-BA subjects) and centrifuged (1,600 rpm for 10 min at 4 °C) to collect plasma for clinical laboratory tests and storage at -80 °C until A $\beta$  peptides level quantitation. The clinical laboratory tests included the alanine aminotransferase (ALT), aspartate aminotransferase (AST), GGT, total bile acids (TBAs), total bilirubin (TBIL), direct bilirubin (DBIL), and indirect bilirubin (IBIL) levels.

#### Plasma A\u00e340 and A\u00e342 measurement

Plasma Aβ40 and Aβ42 levels were measured using enzymelinked immunosorbent assay (ELISA) kits [no. 27,718 human amyloid β (1-40) (FL) Assay Kit and no. 27,719 human amyloid  $\beta$  (1–42) (FL) Assay Kit, IBL, Gunma, Japan] according to the manufacturer's instructions. In brief,  $100\,\mu l$ of undiluted plasma samples were added to each well of the assay plate and incubated overnight at 4 °C. After washing, 100 µl of labeled antibody solution was added to each well, and the plate was incubated for 1 h at 4 °C. After washing, color was developed by incubation with 100 µl of chromogen at room temperature in dark, and the reactions were stopped by the addition of stop solution. The absorbance was measured at 450 nm using a plate reader (Infinite F50, TECAN), and the concentrations of (pg/ml) were calculated with reference to standard curves. Aβ42/Aβ40 ratios were multiplied by 100 and log<sub>2</sub>-transformed before being subjected for statistical analysis.

#### Statistical analysis

Variables were presented as mean  $\pm$  SEM if normally distributed, and otherwise as Median (Q1, Q3) values. Continuous data if normally distributed were compared using analysis of variance (ANOVA). The Pearson's chi-squared test was performed to assess differences between groups. The Mann–Whitney U test was performed for the continuous variables which were non-normally distribution. The correlation between A $\beta$ 42/A $\beta$ 40 and each of the liver function biochemical parameters was performed using Pearson (0.2–0.4: weak correlation; 0.4–0.7: moderate correlation; >0.7:

strong correlation). The area under the receiver-operative characteristic (ROC) curve (area under curve; AUC) was calculated for A $\beta$ 42/A $\beta$ 40. For A $\beta$ 42/A $\beta$ 40 combined with positively correlated parameters of hepatic functions to predict BA, a binary regression for each independent variable was performed first, then a Logit(P) equation was derived, followed by ROC analysis. The sensitivity, specificity, Youden index of diagnostic test were calculated by discriminant analysis. All statistical analyses were performed with SPSS 26. A p-value <0.05 was considered statistically significant.

#### Results

#### Demographic characteristics of subjects

The demographic data of BA and non-BA patients are shown in **Table 1**. The age of BA group was younger that the non-BA group, but there was no difference in gender between the two groups. Significantly higher levels of TBA, TBIL, DBIL, IBIL, ALT, AST, GGT were detected in BA plasma, which indicated impaired hepatic functions in BA patients. A $\beta$ 42 and A $\beta$ 40 were not significantly different, but A $\beta$ 42/A $\beta$ 40 ratio was significantly elevated in BA as compared to the non-BA group (**Figure 1**).

## Positive correlation of plasma A $\beta$ 42/A $\beta$ 40 with hepatic functions

Next, Pearson correlation analysis was performed to determine if plasma A $\beta$ 42, A $\beta$ 40, and A $\beta$ 42/A $\beta$ 40 ratios correlated with hepatic functions in neonates. As shown in **Table 2**, plasma A $\beta$ 42 displayed a weak positive correlation with GGT, but no positive correlation with hepatic functions was detected for A $\beta$ 40. In contrast, Pearson correlation analysis revealed a statistically significant moderate positive correlation between A $\beta$ 42/A $\beta$ 40 and GGT, TBA, DBIL, and weak positive correlation between A $\beta$ 42/A $\beta$ 40 and TBIL (**Table 2**). Among children with non-liver related diseases, no significant correlation was identified between plasma A $\beta$ 42, plasma A $\beta$ 40, and plasma A $\beta$ 42/A $\beta$ 40 ratio and age, which suggested that age factor did not have much effect on their plasma levels (**Supplementary Table S2**).

### Plasma A $\beta$ 42/A $\beta$ 40 for the diagnosis of cholestasis

Positive correlation of plasma  $A\beta42/A\beta40$  with hepatic function parameters prompted us to examine if plasma  $A\beta$  peptides could be a biomarker for cholestasis. We performed ROC analysis to evaluate the efficacies of  $A\beta42$ ,  $A\beta40$ ,  $A\beta42/$ 

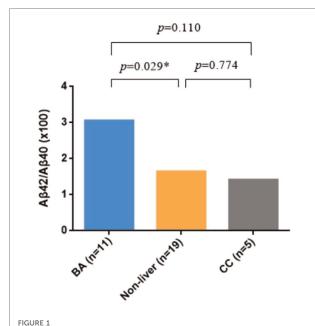
TABLE 1 Demographics of biliary atresia and non-biliary atresia patients.

|                          | BA $(N=11)$           | Non-liver $(N = 19)$ | CC (N = 5)           | p-Value <sup>a</sup> | p-Value <sup>b</sup> | <i>p</i> -Value <sup>c</sup> |
|--------------------------|-----------------------|----------------------|----------------------|----------------------|----------------------|------------------------------|
| Age (month)              | $1.7 \pm 0.2$         | $3.9 \pm 0.5$        | 19.4 ± 6.0           | 0.001                | 0.118                | 0.170                        |
| Gender (male)            | 5                     | 10                   | 5                    | 0.5                  | 0.106                | 0.047                        |
| Aβ42 (pg/ml)             | 7.7 (5.5, 9.3)        | 4.5 (2.3, 9.3)       | 4.7 (3.1, 7.3)       | 0.171                | 0.086                | 0.956                        |
| Aβ40 (pg/ml)             | 286.9 (201.4, 375.6)  | 303.7 (240.5, 413.7) | 355.6 (198.6, 405.2) | 0.657                | 0.582                | 0.944                        |
| Αβ42/Αβ40 (×100)         | 3.1 (1.6, 4.0)        | 1.7 (1.3, 2.3)       | 1.4 (1.4, 2.1)       | 0.029                | 0.110                | 0.774                        |
| ALT IU/L (8-71)          | 124.0 (76.0, 249.0)   | 27.0 (20.0, 31.0)    | 223.0 (13.5, 294.0)  | 0.003                | 0.394                | 0.188                        |
| AST IU/L (21-80)         | 173.0 (100.0, 225.0)  | 42.0 (34.0, 51.0)    | 58.0 (28.5, 208.0)   | 0.001                | 0.169                | 0.318                        |
| GGT IU/L (29-80)         | 300.0 (168.0, 1011.0) | 21.0 (16.0, 34.0)    | 375.0 (155.5, 621.0) | < 0.001              | 0.893                | 0.001                        |
| TBA μmol/L (0.5–10)      | 122.2 (99.2, 188.3)   | 12.5 (5.5, 26.1)     | 34.0 (6.0, 105.7)    | < 0.001              | 0.060                | 0.274                        |
| TBIL μmol/L (0–17.1)     | 148 (114.9, 183.4)    | 7.9 (6.8, 14.5)      | 13.5 (9.0, 179.2)    | < 0.001              | 0.178                | 0.105                        |
| DBIL $\mu$ mol/L (0-6.8) | 91.8 (80, 111.1)      | 2.9 (2.2, 5.6)       | 7.0 (3.1, 78.1)      | < 0.001              | 0.065                | 0.142                        |
| IBIL μmol/L (2–17)       | 47.7 (24.5, 56.2)     | 5.3 (3.2, 9.4)       | 9.6 (4.4, 101.1)     | < 0.001              | 0.222                | 0.161                        |

Values in parenthesis indicated normal range of hepatic function indicators. Levels of A $\beta$  peptides and hepatic function indicators in patients were shown as Median (Q1, Q3). Age was shown as mean  $\pm$  SEM.

BA, biliary atresia; CC, choledochal cysts; ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT, γ-glutamyl transpeptidase; TBA, total bile acid; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin.

<sup>&</sup>lt;sup>c</sup>Non-liver vs. CC.



Expression level of plasma Aβ42/Aβ40 ratio in biliary atresia (BA) vs. non-BA. Mann–Whitney test was performed for Aβ42/Aβ40 ratio in different groups, showing that it was significantly elevated in BA patients.

Aβ40, and hepatic function parameters in the diagnosis of cholestasis. Plasma Aβ42/Aβ40 had an acceptable predictive power for cholestasis [AUC = 0.746 (95% CI: 0.552–0.941), p < 0.05]; however, Aβ40 and Aβ42 did not show a significant predictive power for cholestasis (Table 3). Plasma ALT, AST, GGT, TBA, TBIL, DBIL, and IBIL had variable predictive

power for cholestasis [AUC ranging from 0.746 to 0.962; (95% CI: 0.604–1.000), p < 0.05]. ROC analysis for A $\beta$ 42/A $\beta$ 40 alone and in combinations with different hepatic function parameters showed that the diagnostic powers of A $\beta$ 42/A $\beta$ 40 and each of the hepatic function parameters for cholestasis were markedly improved if combined (Table 3 and Figure 2).

# Plasma $A\beta42/A\beta40$ together with hepatic function parameters for the diagnosis of biliary atresia

Neonatal pathological cholestasis can be caused by a number of disorders such as BA or non-BA cholestasis like CC, viral infections like cytomegalovirus (CMV), and metabolic liver diseases or genetic disorders like Alagille syndrome. Since BA was the most severe cholestatic disease, we next sought to test if Aβ42/Aβ40 and hepatic function parameters either alone or in combinations could well discriminate BA cholestasis from non-BA cholestasis by performing ROC analysis on the 11 BA patients and the two CC patients with cholestasis (patient nos. 12 and 16; Supplementary Table S1). Though Aβ42/Aβ40 was found to be a good indicator for cholestasis, it did not display sufficient power in differentiating BA cholestasis from CC-cholestasis, neither did any other hepatic function parameters (Tables 4, 5). However, when Aβ42/Aβ40 combined with GGT, a liver enzyme elevated in patients with biliary tract obstruction, it improved the efficiency to prone to BA (AUC = 0.955, p =0.048). While combination of Aβ42/Aβ40 and GGT, and then

<sup>&</sup>lt;sup>a</sup>BA vs. non-liver.

<sup>&</sup>lt;sup>b</sup>BA vs. CC.

TABLE 2 Pearson correlation analysis of variables.

|           |                     | Αβ42    | Αβ40    | $A\beta 42/A\beta 40$ | ALT     | AST     | GGT     | TBA     | TBIL    | DBIL    | IBIL    |
|-----------|---------------------|---------|---------|-----------------------|---------|---------|---------|---------|---------|---------|---------|
| Αβ42      | Pearson correlation | 1       | 0.544** | 0.233                 | -0.001  | 0.111   | 0.349*  | 0.157   | 0.276   | 0.241   | 0.211   |
|           | Sig. (two-tailed)   |         | 0.001   | 0.178                 | 0.998   | 0.524   | 0.040   | 0.384   | 0.109   | 0.163   | 0.224   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| Αβ40      | Pearson correlation | 0.544** | 1       | -0.312                | -0.172  | -0.128  | -0.236  | -0.230  | -0.117  | -0.190  | -0.004  |
|           | Sig. (two-tailed)   | 0.001   |         | 0.068                 | 0.324   | 0.462   | 0.172   | 0.199   | 0.504   | 0.274   | 0.983   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| Αβ42/Αβ40 | Pearson correlation | 0.233   | -0.312  | 1                     | 0.283   | 0.272   | 0.533** | 0.475** | 0.337*  | 0.480** | 0.078   |
|           | Sig. (two-tailed)   | 0.178   | 0.068   |                       | 0.099   | 0.114   | 0.001   | 0.005   | 0.048   | 0.004   | 0.657   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| ALT       | Pearson correlation | -0.001  | -0.172  | 0.283                 | 1       | 0.869** | 0.439** | 0.604** | 0.309   | 0.566** | -0.052  |
|           | Sig. (two-tailed)   | 0.998   | 0.324   | 0.099                 |         | 0.000   | 0.008   | 0.000   | 0.071   | 0.000   | 0.767   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| AST       | Pearson correlation | 0.111   | -0.128  | 0.272                 | 0.869** | 1       | 0.437** | 0.846** | 0.509** | 0.789** | 0.055   |
|           | Sig. (two-tailed)   | 0.524   | 0.462   | 0.114                 | 0.000   |         | 0.009   | 0.000   | 0.002   | 0.000   | 0.755   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| GGT       | Pearson correlation | 0.349*  | -0.236  | 0.533**               | 0.439** | 0.437** | 1       | 0.477** | 0.690** | 0.641** | 0.491** |
|           | Sig. (two-tailed)   | 0.040   | 0.172   | 0.001                 | 0.008   | 0.009   |         | 0.005   | 0.000   | 0.000   | 0.003   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| TBA       | Pearson correlation | 0.157   | -0.230  | 0.475**               | 0.604** | 0.846** | 0.477** | 1       | 0.648** | 0.926** | 0.146   |
|           | Sig. (two-tailed)   | 0.384   | 0.199   | 0.005                 | 0.000   | 0.000   | 0.005   |         | 0.000   | 0.000   | 0.417   |
|           | N                   | 33      | 33      | 33                    | 33      | 33      | 33      | 33      | 33      | 33      | 33      |
| TBIL      | Pearson correlation | 0.276   | -0.117  | 0.337*                | 0.309   | 0.509** | 0.690** | 0.648** | 1       | 0.812** | 0.824** |
|           | Sig. (two-tailed)   | 0.109   | 0.504   | 0.048                 | 0.071   | 0.002   | 0.000   | 0.000   |         | 0.000   | 0.000   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| DBIL      | Pearson correlation | 0.241   | -0.190  | 0.480**               | 0.566** | 0.789** | 0.641** | 0.926** | 0.812** | 1       | 0.339** |
|           | Sig. (two-tailed)   | 0.163   | 0.274   | 0.004                 | 0.000   | 0.000   | 0.000   | 0.000   | 0.000   |         | 0.046   |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |
| IBIL      | Pearson correlation | 0.211   | -0.004  | 0.078                 | -0.052  | 0.055   | 0.491** | 0.146   | 0.824** | 0.339** | 1       |
| _         | Sig. (two-tailed)   | 0.224   | 0.983   | 0.657                 | 0.767   | 0.755   | 0.003   | 0.417   | 0.000   | 0.046   | -       |
|           | N                   | 35      | 35      | 35                    | 35      | 35      | 35      | 33      | 35      | 35      | 35      |

ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT, γ-glutamyl transpeptidase; TBA, total bile acid; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin.

with any one liver function parameters could further enhance the power to inform cholestasis patients to be BA or non-BA (AUC = 1.000, p < 0.05) (Tables 4, 6, and Supplementary Table S3), which had higher power than the same combinations but without A $\beta$ 42/A $\beta$ 40 (Table 6), indicating the role of A $\beta$ 42/A $\beta$ 40 together with other parameters could be used as an adjuvant indicator to enhance the accuracy in distinguishing BA from non-BA.

#### Discussion

Neonatal jaundice is common, and up to two-thirds of all newborns develop this problem within the first 2 weeks of life (23). While most cases are physiological jaundice that are mild, transient, and self-limiting, more severe cases of pathological jaundice are not uncommon. Diseases including BA and CC are common pathological causes of neonatal cholestasis requiring timely intervention (24). However, BA is challenging to diagnose because many of the clinical and

imaging features of this condition overlap with those of other causes of neonatal cholestasis. In this study, we found that plasma A $\beta$ 42/A $\beta$ 40 correlated with hepatic function parameters, and combinations of plasma A $\beta$ 42/A $\beta$ 40 with GGT, TBA, TBIL, or DBIL displayed sensitivity and specificity for the diagnosis of BA.

Plasma levels of liver enzymes (ALT, AST, and GGT) and various forms of bilirubin (TBIL, DBIL, and IBIL) are hepatic function indicators, which are used to assist the diagnosis of cholestatic liver disease liver. In line with the use of these hepatic function indicators in the diagnosis of cholestasis, we have observed that plasma levels of ALT, AST, GGT, TBIL, DBIL, and IBIL have predictive power for cholestasis. Pearson correlation analysis revealed positive correlations of plasma Aβ42/Aβ40 with GGT, TBA, DBIL, and TBIL. In line with the positive correlation between Aβ42/Aβ40 and hepatic function indicators, plasma Aβ42/Aβ40 also has a predictive power for cholestasis [AUC = 0.746 (95% CI: 0.552–0.941), p < 0.05]. More importantly, combinations of Aβ42/Aβ40 and hepatic function indicators markedly improved the diagnostic

<sup>\*</sup>Correlation is significant at the 0.05 level (two-tailed).

<sup>\*\*</sup>Correlation is significant at the 0.01 level (two-tailed).

TABLE 3 Area under curve of A $\beta$ 42, A $\beta$ 40, A $\beta$ 42/A $\beta$ 40, and hepatic function parameters for the diagnosis of cholestasis.

| Test result variable(s)   | Area  | Std. error <sup>a</sup> | Asymptotic sig.b | Asymptotic 95% confidence interval |             |
|---|-------|-------------------------|------------------|------------------------------------|-------------|
|   |       |                         |                  | Lower bound                        | Upper bound |
| ALT   | 0.788 | 0.094                   | 0.007            | 0.604                              | 0.972       |
| AST   | 0.833 | 0.084                   | 0.002            | 0.669                              | 0.997       |
| GGT   | 0.898 | 0.053                   | 0.000            | 0.795                              | 1.000       |
| TBA   | 0.928 | 0.051                   | 0.000            | 0.828                              | 1.000       |
| TBIL  | 0.902 | 0.054                   | 0.000            | 0.795                              | 1.000       |
| DBIL  | 0.962 | 0.037                   | 0.000            | 0.889                              | 1.000       |
| IBIL  | 0.856 | 0.068                   | 0.001            | 0.723                              | 0.989       |
| Αβ42  | 0.682 | 0.090                   | 0.088            | 0.506                              | 0.858       |
| Αβ40  | 0.439 | 0.110                   | 0.570            | 0.224                              | 0.655       |
| Αβ42/Αβ40   | 0.746 | 0.099                   | 0.021            | 0.552                              | 0.941       |
| $A\beta 42/A\beta 40 + GGT$                                       | 0.875 | 0.063                   | 0.000            | 0.751                              | 0.999       |
| $A\beta 42/A\beta 40 + TBA$                                       | 0.983 | 0.019                   | 0.000            | 0.944                              | 1.000       |
| $A\beta 42/A\beta 40 + TBIL$                                      | 0.939 | 0.039                   | 0.000            | 0.863                              | 1.000       |
| $A\beta 42/A\beta 40 + DBIL$                                      | 0.977 | 0.024                   | 0.000            | 0.930                              | 1.000       |
| $A\beta 42/A\beta 40+GGT+TBA$                                     | 0.983 | 0.019                   | 0.000            | 0.944                              | 1.000       |
| $A\beta 42/A\beta 40 + GGT + TBIL$                                | 0.939 | 0.039                   | 0.000            | 0.863                              | 1.000       |
| $A\beta 42/A\beta 40 + GGT + DBIL$                                | 0.977 | 0.024                   | 0.000            | 0.930                              | 1.000       |
| $A\beta 42/A\beta 40 + TBA + TBIL$                                | 0.978 | 0.023                   | 0.000            | 0.933                              | 1.000       |
| $A\beta 42/A\beta 40 + TBA + DBIL$                                | 0.978 | 0.023                   | 0.000            | 0.933                              | 1.000       |
| ${\rm A}\beta 42/{\rm A}\beta 40 + {\rm TBIL} + {\rm DBIL}$       | 0.973 | 0.027                   | 0.000            | 0.920                              | 1.000       |
| $A\beta 42/A\beta 40+GGT+TBA+TBIL$                                | 0.978 | 0.023                   | 0.000            | 0.933                              | 1.000       |
| $A\beta 42/A\beta 40+GGT+TBA+DBIL$                                | 0.978 | 0.023                   | 0.000            | 0.933                              | 1.000       |
| ${\rm A}\beta 42/{\rm A}\beta 40+{\rm GGT}+{\rm TBIL}+{\rm DBIL}$ | 0.973 | 0.027                   | 0.000            | 0.920                              | 1.000       |
| $A\beta 42/A\beta 40 + TBA + TBIL + DBIL$                         | 0.978 | 0.023                   | 0.000            | 0.933                              | 1.000       |
| $A\beta 42/A\beta 40+GGT+TBA+TBIL+DBIL$                           | 0.983 | 0.019                   | 0.000            | 0.944                              | 1.000       |

ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT,  $\gamma$ -glutamyl transpeptidase; TBA, total bile acid; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin.

accuracies of A $\beta$ 42/A $\beta$ 40 and each of the hepatic function parameters for cholestasis, suggesting that plasma A $\beta$ 42/A $\beta$ 40 can be an additional biomarker enhancing the accuracies of those common hepatic function indicators for the diagnosis of cholestatic liver disease. There is no current evidence to show that A $\beta$ 42/A $\beta$ 40 varies with ages. In our study, we also found no correlation between age and plasma A $\beta$ 42, A $\beta$ 40, and A $\beta$ 42/A $\beta$ 40, indicating that age may not be a determining factor in their plasma levels.

Since neonatal cholestasis has many different causes including BA, the most severe and poorest prognosis, and other non-BA cholestasis such as viral infection including CMV and herpes viruses; metabolic liver diseases or genetic disorders such as alpha-1-antitrypsin deficiency and Alagille syndrome (25). Cholestasis caused by BA or non-BA diseases is difficult to differentiate clinically. Currently, liver function test parameters may have an indication for the diagnosis of

BA. For example, serum DBIL≥1.0 mg/dl had a better detection for BA with a sensitivity of 100% and specificity of 77.3% in infants aged from 3 to 60 days, but with a low positive predictive value (2.7%-5.4%) to distinguish BA from non-BA (26, 27). Hence it is important that new non-invasive biomarkers are discovered to allow early screening of BA from non-BA in jaundiced infants. Though AB42/AB40 was an indicator for liver dysfunction from our data, it was not sufficient on its own to distinguish BA from non-BA cholestasis. Similarly, the hepatic function parameters singly or collectively were insufficient for BA and non-BA differentiation. However, the combination of A $\beta$ 42/A $\beta$ 40 and GGT improved the efficiency of BA prediction compared to the use of either index alone. GGT, a liver enzyme elevated in patients with biliary tract obstruction, has been shown to have a contributory though not definitive role in the diagnosis of BA (16, 28). Addition of one of the other liver function

<sup>&</sup>lt;sup>a</sup>Under the nonparametric assumption.

<sup>&</sup>lt;sup>b</sup>Null hypothesis: true area = 0.5.

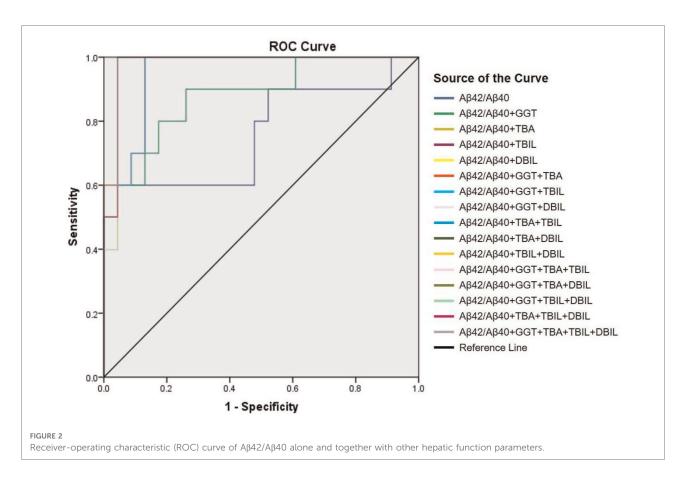


TABLE 4 Area under curve of Aβ42/Aβ40 and hepatic function parameters either alone or in combinations for the diagnosis of biliary atresia.

| Test result variable (s)  | Area  | Std. error <sup>a</sup> | Asymptotic sig <sup>b</sup> | Asymptotic 95% confidence Interval |             |  |
|---|-------|-------------------------|-----------------------------|------------------------------------|-------------|--|
|   |       |                         |                             | Lower bound                        | Upper bound |  |
| GGT   | 0.364 | 0.151                   | 0.554                       | 0.068                              | 0.659       |  |
| Αβ42/Αβ40   | 0.545 | 0.150                   | 0.844                       | 0.251                              | 0.840       |  |
| $A\beta 42/A\beta 40 + GGT$   | 0.955 | 0.062                   | 0.048                       | 0.833                              | 1.000       |  |
| $A\beta 42/A\beta 40+GGT+TBA$   | 1.000 | 0.000                   | 0.032                       | 1.000                              | 1.000       |  |
| $A\beta 42/A\beta 40+GGT+TBIL$  | 1.000 | 0.000                   | 0.030                       | 1.000                              | 1.000       |  |
| $A\beta 42/A\beta 40 + GGT + DBIL$                                      | 1.000 | 0.000                   | 0.030                       | 1.000                              | 1.000       |  |
| $A\beta 42/A\beta 40+GGT+TBA+TBIL$                                      | 1.000 | 0.000                   | 0.032                       | 1.000                              | 1.000       |  |
| $A\beta 42/A\beta 40+GGT+TBA+DBIL$                                      | 1.000 | 0.000                   | 0.032                       | 1.000                              | 1.000       |  |
| ${\rm A}\beta 42/{\rm A}\beta 40 + {\rm GGT} + {\rm TBIL} + {\rm DBIL}$ | 1.000 | 0.000                   | 0.030                       | 1.000                              | 1.000       |  |
| $A\beta 42/A\beta 40+GGT+TBA+TBIL+DBIL$                                 | 1.000 | 0.000                   | 0.032                       | 1.000                              | 1.000       |  |
| ALT   | 0.636 | 0.221                   | 0.554                       | 0.204                              | 1.000       |  |
| AST   | 0.682 | 0.242                   | 0.430                       | 0.208                              | 1.000       |  |
| TBA   | 0.575 | 0.253                   | 0.747                       | 0.080                              | 1.000       |  |
| TBIL  | 0.227 | 0.126                   | 0.236                       | 0.000                              | 0.474       |  |
| DBIL  | 0.545 | 0.326                   | 0.844                       | 0.000                              | 1.000       |  |
| IBIL  | 0.182 | 0.123                   | 0.167                       | 0.000                              | 0.424       |  |
| Αβ42  | 0.682 | 0.242                   | 0.430                       | 0.208                              | 1.000       |  |
| Αβ40  | 0.545 | 0.273                   | 0.844                       | 0.011                              | 1.000       |  |

(continued)

TABLE 4 Continued

| Test result variable (s)                  | Area  | Std. error <sup>a</sup> | Asymptotic sig <sup>b</sup> | , I         | otic 95%<br>e Interval |
|---|-------|-------------------------|-----------------------------|-------------|------------------------|
|   |       |                         |                             | Lower bound | Upper bound            |
| $A\beta 42/A\beta 40 + TBA$               | 0.750 | 0.159                   | 0.283                       | 0.439       | 1.000                  |
| $A\beta 42/A\beta 40 + TBIL$              | 0.727 | 0.134                   | 0.324                       | 0.464       | 0.990                  |
| $A\beta 42/A\beta 40 + DBIL$              | 0.727 | 0.214                   | 0.324                       | 0.309       | 1.000                  |
| $A\beta 42/A\beta 40 + TBA + TBIL$        | 0.800 | 0.134                   | 0.197                       | 0.537       | 1.000                  |
| $A\beta 42/A\beta 40 + TBA + DBIL$        | 0.750 | 0.202                   | 0.283                       | 0.355       | 1.000                  |
| $A\beta 42/A\beta 40 + TBIL + DBIL$       | 0.773 | 0.185                   | 0.236                       | 0.410       | 1.000                  |
| $A\beta 42/A\beta 40 + TBA + TBIL + DBIL$ | 0.800 | 0.170                   | 0.197                       | 0.466       | 1.000                  |

ALT, alanine aminotransferase; AST, aspartate aminotransferase; GGT,  $\gamma$ -glutamyl transpeptidase; TBA, total bile acid; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin.

TABLE 5 Sensitivity and specificity of A $\beta$ 42/A $\beta$ 40 and  $\gamma$ -glutamyl transpeptidase in combinations with any one hepatic function parameters in the diagnosis of biliary atresia vs. non-biliary atresia cholestasis.

| Parameters  | Cut-off<br>value | Sensitivity (%) | Specificity (%) | Equation   |
|---|------------------|-----------------|-----------------|--|
| $A\beta 42/A\beta 40+GGT+TBA$   | -0.34583         | 100.00          | 100.00          | $22.549 + 35.326 * A\beta 42/A\beta 40 - 0.298 * GGT - 0.332 * TBA$                      |
| $A\beta 42/A\beta 40 + GGT + TBIL$                                      | 0.02371          | 100.00          | 100.00          | $-526.079 + 287.021*A\beta 42/A\beta 40 - 2.250*GGT + 1.555*TBIL$                        |
| $A\beta 42/A\beta 40 + GGT + DBIL$                                      | -0.28387         | 100.00          | 100.00          | $83.795 + 98.348 *vA\beta42/A\beta40 - 0.805 *GGT - 1.383 *DBIL$                         |
| $A\beta 42/A\beta 40+GGT+TBA+TBIL$                                      | 0.01040          | 100.00          | 100.00          | $-48.789 + 25.050 * A\beta 42/A\beta 40 - 0.396 * GGT - 0.453 * TBA + 0.974 * TBIL$      |
| $A\beta 42/A\beta 40+GGT+TBA+DBIL$                                      | 0.06808          | 100.00          | 100.00          | $14.881 + 31.888 * vA\beta 42/A\beta 40 - 0.267 * GGT - 0.343 * TBA + 0.106 * DBIL$      |
| $A\beta 42/A\beta 40 + GGT + TBIL + DBIL$                               | 0.06224          | 100.00          | 100.00          | $-86.646 + 38.587 * A\beta 42/A\beta 40 - 0.585 * GGT + 1.631 * TBIL - 1.143 * DBIL$     |
| $\begin{array}{l} A\beta 42/A\beta 40+GGT+TBA+TBIL\\ +DBIL \end{array}$ | 0.05898          | 100.00          | 100.00          | $-43.153 + 24.039*A\beta42/A\beta40 - 0.378*GGT - 0.403*TBA + 0.934*TBIL \\ -0.092*DBIL$ |

GGT, γ-glutamyl transpeptidase; TBA, total bile acid; TBIL, total bilirubin; DBIL, direct bilirubin; IBIL, indirect bilirubin.

TABLE 6 Area under the curve of  $\gamma$ -glutamyl transpeptidase and other hepatic function parameters with/without A $\beta$ 42/A $\beta$ 40 for the diagnosis of biliary atresia.

| Test result variable(s) |                          | Area  | Std. error <sup>a</sup> | Asymptotic sig. <sup>b</sup> | Asymptotic 95% confidence interval |             |  |
|-------------------------|--------------------------|-------|-------------------------|------------------------------|------------------------------------|-------------|--|
|                         |                          |       |                         |                              | Lower bound                        | Upper bound |  |
| GGT + TBA               | Without Aβ42/Aβ40        | 0.600 | 0.239                   | 0.667                        | 0.132                              | 1.000       |  |
|                         | With Aβ42/Aβ40           | 1.000 | 0.000                   | 0.032                        | 1.000                              | 1.000       |  |
| GGT + TBIL              | Without Aβ42/Aβ40        | 0.636 | 0.151                   | 0.554                        | 0.341                              | 0.932       |  |
|                         | With Aβ42/Aβ40           | 1.000 | 0.000                   | 0.030                        | 1.000                              | 1.000       |  |
| GGT + DBIL              | Without Aβ42/Aβ40        | 0.545 | 0.326                   | 0.844                        | 0.000                              | 1.000       |  |
|                         | With Aβ42/Aβ40           | 1.000 | 0.000                   | 0.030                        | 1.000                              | 1.000       |  |
| GGT + TBA + TBIL        | Without Aβ42/Aβ40        | 0.750 | 0.136                   | 0.283                        | 0.483                              | 1.000       |  |
|                         | With $A\beta42/A\beta40$ | 1.000 | 0.000                   | 0.032                        | 1.000                              | 1.000       |  |
| GGT + TBA + DBIL        | Without Aβ42/Aβ40        | 0.550 | 0.323                   | 0.830                        | 0.000                              | 1.000       |  |
|                         | With Aβ42/Aβ40           | 1.000 | 0.000                   | 0.032                        | 1.000                              | 1.000       |  |
| GGT + TBIL + DBIL       | Without Aβ42/Aβ40        | 0.636 | 0.221                   | 0.554                        | 0.204                              | 1.000       |  |
|                         | With $A\beta42/A\beta40$ | 1.000 | 0.000                   | 0.030                        | 1.000                              | 1.000       |  |
| GGT + TBA + TBIL + DBIL | Without Aβ42/Aβ40        | 0.700 | 0.232                   | 0.390                        | 0.245                              | 1.000       |  |
|                         | With Aβ42/Aβ40           | 1.000 | 0.000                   | 0.032                        | 1.000                              | 1.000       |  |

 $<sup>\</sup>mathsf{GGT},\, \gamma\text{-glutamyl transpeptidase;}\,\,\mathsf{TBA},\,\mathsf{total}\,\,\mathsf{bile}\,\,\mathsf{acid;}\,\,\mathsf{TBIL},\,\,\mathsf{total}\,\,\mathsf{bilirubin;}\,\,\mathsf{DBIL},\,\mathsf{direct}\,\,\mathsf{bilirubin;}\,\,\mathsf{IBIL},\,\mathsf{indirect}\,\,\mathsf{bilirubin.}$ 

<sup>&</sup>lt;sup>a</sup>Under the nonparametric assumption.

<sup>&</sup>lt;sup>b</sup>Null hypothesis: true area = 0.5.

<sup>&</sup>lt;sup>a</sup>Under the nonparametric assumption.

<sup>&</sup>lt;sup>b</sup>Null hypothesis: true area = 0.5.

parameters to the combination of A $\beta$ 42/A $\beta$ 40 and GGT could further enhance the power to inform the cause of cholestasis as BA or non-BA (AUC = 1.000, p < 0.05). Taken all the above, our preliminary findings suggested that plasma A $\beta$ 42/A $\beta$ 40 could be a valuable adjuvant biomarker for BA diagnosis.

We acknowledge there are limitations in our study. As BA is a relatively rare disease, the sample size of our study is modest. Our plasma A $\beta$ 42/A $\beta$ 40 data are encouraging and corroborate with the tissue and organoids findings of our previous study (20). Nevertheless, the diagnostic accuracy and usefulness of A $\beta$ 42/A $\beta$ 40 as an adjuvant non-invasive biomarker in combination with other liver function parameters for BA needs to be further evaluated and validated in a separate larger patient cohort of BA, non-BA cholestatic liver diseases, and conditions unrelated to the liver in multiple centers before introduction into daily clinical practice.

In conclusion, by combining  $A\beta42/A\beta40$  as an adjuvant biomarker with other liver function parameters, we improve the sensitivity and the specificity of BA diagnosis. As an early screening tool, this may allow the identification of jaundiced neonates who are more likely to be suffering from BA to undergo early surgical exploration and intraoperative cholangiography for BA confirmation and KPE, thus improving the surgical outcome.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by Ethical committee of Shenzhen Children's Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **Author contributions**

HL, YY, and VL: study conception and design. HL and YY: data collection. HL, YY, and VL: data analysis and

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interpretation. HL, YY, VL, and PC: drafting of the manuscript. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fsurg. 2022.931637/full#supplementary-material.

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# Evaluation of tunica vaginalis flap-covering combined with modified Glenn—Anderson in one-stage repair of proximal hypospadias with incomplete penoscrotal transposition

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**Objective:** To explore a novel repair method for proximal hypospadias with incomplete penoscrotal transposition in children and evaluate its safety and outcomes.

**Methods:** A retrospective analysis of clinical data was conducted for 86 children with severe proximal hypospadias with incomplete penoscrotal transposition who were hospitalized in our department between June 2018 and February 2021. In total, 42 patients (Group A) underwent repair following a one-stage method in which tunica vaginalis flap-covering was combined with a modified Glenn–Anderson procedure, while 44 patients (Group B) underwent a two-step repair consisting of tunica vaginalis flap-covering using the Duplay technique and the modified Glenn–Anderson procedure. The two groups were compared on operation time, length of postoperative hospital stay, postoperative complications, and associated costs.

**Results:** All operations were successful in both groups. No statistical difference was observed between the two groups in incidence of stenosis of the urinary meatus (2.38% vs. 4.54%, P = 0.279), urethral stricture (2.38% vs. 2.27%, P = 0.948), urinary fistula (7.14% vs. 6.82%, P = 0.907), or urinary infection (7.14% vs. 4.55%, P = 0.309). Additionally, there was no statistical difference between the groups in operation time (63.21 + 5.20 vs. 62.07 + 4.47 min, P = 0.059), postoperative off-bed time (7.02 + 1.32 vs. 6.84 + 1.20 days, P = 0.456), or duration of hospitalization (10.55  $\pm$  1.15 vs. 10.15  $\pm$  1.45 days, P = 0.092). However, Group B patients underwent an additional second-stage operation, incurring extra costs. Three months after surgery, Group A were judged more positively on the PPPS (specifically receiving higher scores on shaft skin and general appearance) by both the parents (shaft skin:  $2.10 \pm 0.82$  vs.  $1.93 \pm$ 0.62, P = 0.024; general appearance:  $2.16 \pm 0.91$  vs.  $1.93 \pm 0.72$ , P = 0.042) and the surgeon (shaft skin:  $2.42 \pm 0.70$  vs.  $2.25 \pm 0.58$ , P = 0.025; general appearance:  $2.38 \pm 0.69$  vs.  $2.29 \pm 0.51$ , P = 0.041). In most cases, the parents and surgeon were satisfied with the appearance of the genitals after one-stage repair.

**Conclusion:** The advantages of the novel repair technique include use of a single-stage operation, producing a better appearance at a lower cost. The tunica vaginalis flap-covering method is not only demonstrated to be safe and effective, but it is also a simpler method than the conventional operation.

KEVWODDS

proximal hypospadias, penoscrotal transposition, one-stage repair, value of tunica vaginalis flap, modified glenn anderson

#### Introduction

Hypospadias is a common congenital condition, occurring in about 9.3 per 10,000 live births in China, with an upward trend in incidence (1). It is characterized by abnormal positioning of the urethral orifice, with proximal hypospadias being identified in 25% of cases. The proximal type usually has a higher incidence of complications (2). In cases of proximal hypospadias, penoscrotal transposition makes the repair extremely challenging. The more common form of this is incomplete penoscrotal transposition, in which the penis lies in the middle of the scrotum. Various methods for surgical correction of incomplete penoscrotal transposition have been described, with a modified Glenn-Anderson method being commonly used (3). Two-stage repairs for severe proximal hypospadias are safer and simpler (2); these include use of Bracka's or Byars' as the first stage of the procedure and the Duplay technique as the second stage (4). Subsequently, the modified Glenn-Anderson technique can be employed as a third stage for repair of incomplete penoscrotal transposition (5). During this course of treatment, patients must undergo multiple painful procedures for both surgery and other aspects of care. Furthermore, multi-stage procedures are invariably linked to a greater incidence of surgical complications and higher health care costs. Here we present a new modified technique combining Glenn-Anderson with tunica vaginalis flap-covering using the Duplay technique in a one-stage repair for proximal hypospadias with penoscrotal transposition.

#### Materials and methods

Our retrospective study enrolled 86 patients with proximal hypospadias with incomplete penoscrotal transposition who underwent surgical treatment in the urology department of Tianjin Children's Hospital from June 2018 to February 2021. These patients needed urethroplasty and correction of penoscrotal transposition. All patients had typical chromosomes (46XY). By February 2022, the average follow-up period was  $24.39 \pm 4.72$  months (range: 12-32 months). We confirmed all patient records by querying both the registration system of the medical records department and the electronic medical records system of Tianjin Children's Hospital. The

mean age across all patients was  $36.96 \pm 21.22$  months (6–97 months). The mean age of Group A patients was  $36.52 \pm 21.63$ months (6–86 months), and that of Group B patients was  $37.36 \pm 21.05$  months (8–97 months). All patients presented with severe proximal hypospadias with incomplete penoscrotal transposition (**Figure 1**). For the first stage of surgical treatment, Byars' approach was employed in all patients to reposition the foreskin ventrally, preserving the urethral plate, and straighten the penis. For the second stage, 42 patients (Group A) underwent repair in the form of a one-step method combining the tunica vaginalis flap-covering Duplay technique and the modified Glenn–Anderson, while the other 44 patients (Group B) underwent two-step repair. All operations were performed by the same doctor (Dr Guan).

#### Surgical technique

Byars' approach was adopted, the prepuce repositioned ventrally, and the penis straightened for all patients.

#### Group A

The patient was transferred to a supine position. An incision was made around the root of the penis, the penis was stretched, and the meatus was moved away from the glans penis. Chordee was corrected as required. A U-shaped skin incision was cut with its base proximal to the urinary meatus and an 8F urethral catheter was inserted. The bilateral penile skin was sutured to form a neourethra using 6-0 silk sutures. The testicular tunica vaginalis was separated, with care to preserve the vascular pedicles under the skin. The new urethra was reinforced and covered with the separated testicular sheath (Figure 2). Next, an inverted " $\Omega$ " incision was made at the root of the penis, the skin was removed, and the skin strip at the root of the penis was cut off (Figure 3). Both the scrotal halves were dissected to the subcutaneous level, with care to preserve vascular pedicles; subsequently, the penis was lifted and the scrotum pulled down to correct transposition. The incision at the root of the penis was sutured. Two scrotal wings were thus created and mobilized by subcutaneous dissection; these two scrotal wings were rotated and sutured together. Finally, the penis and scrotum were reconstructed with flap transfer (Figure 4).



FIGURE 1
The appearance of proximal hypospadias with incomplete penoscrotal transposition. (A) Dorsal view; (B) Ventral view.

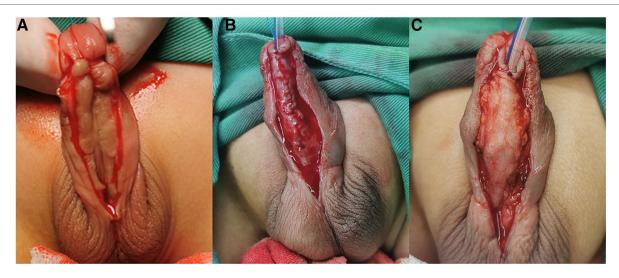


FIGURE 2

(A) A U-shaped skin incision was cut with its base proximal to the urinary meatus. (B) The bilateral penile skin was sutured to form a neourethra using 6-0 silk sutures without a testicular sheath. (C) The new urethra was reinforced and completely covered with the separated testicular sheath.

#### Group B

Urethroplasty was carried out in a similar way to Group A. The patient was transferred to a supine position. An incision was made around the root of the penis, the penis was stretched, and the meatus was moved away from the glans penis. Chordee was corrected as required. A U-shaped skin incision was cut with its base proximal to the urinary meatus and an 8F urethral catheter was inserted. The bilateral penile skin was sutured to form a neourethra using 6–0 silk sutures. The testicular tunica vaginalis was separated, with care to preserve the vascular pedicles under the skin. The new urethra was reinforced and covered with the separated

testicular sheath. Next, the prepuce flap was dissociated, the double-winged flap was transferred to the ventral side of the penis, and the penis was wrapped and sutured with plastic surgery. After an interval of 3–6 months, the modified Glenn–Anderson technique was employed to repair incomplete penoscrotal transposition. An inverted " $\Omega$ " incision was made at the root of the penis, and the skin strip at the root of the penis was removed. Both the scrotal halves were dissected to the subcutaneous level, with care to preserve vascular pedicles; subsequently, the penis was lifted and the scrotum pulled down to correct transposition. The incision at the root of the penis was sutured. Two scrotal wings were thus created and

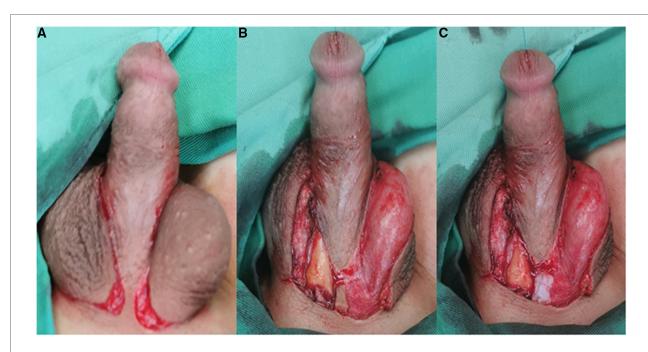


FIGURE 3
(A) An inverted " $\Omega$ " incision was made at the root of the penis. (B) The skin was removed. (C) The skin strip at the root of the penis was cut off.



FIGURE 4
Reconstruction of penis and scrotum with flap transfer. (A) Dorsal view; (B) Ventral view.

mobilized by subcutaneous dissection; these two scrotal wings were rotated and sutured together. Finally, the penis and scrotum were reconstructed with flap transfer.

#### Highlights:

- (1) We used the tunica vaginalis testis flap to repair the urethra.
- (2) We removed the extra skin flap at the dorsal root at the time of modified Glenn–Anderson surgery.
- (3) We combined the tunica vaginalis flap-covering Duplay technique with a modified Glenn–Anderson procedure in a one-step repair.

#### Follow-up methods

After all stages of the surgery were complete, patients were followed up 1 month after urinary catheter removal and then at 3-month intervals thereafter for at least 1 year. Postoperative outcomes were evaluated primarily by ultrasound and by observation of the appearance of the penis and any complications.

Daniel et al.'s PPPS (pediatric penile perception score) (6) was used to evaluate perceived outcomes; specific items scored on this scale include the appearance of the shaft skin, general appearance, the configuration and appearance of the glans, and the configuration and position of the meatus. The patient's parents, the surgeon, and surgical peers were asked to express their satisfaction in relation to each item on a 4-point scale 3 months after the operation. The response options were: very dissatisfied (0 points), dissatisfied (1), satisfied (2), or very satisfied (3). The total PPPS was calculated by summing these scores for each of the items relating to the meatus, glans, shaft skin, and general appearance.

We also administered a questionnaire on urinary function. Meatal stenosis was defined as a meatal caliber of less than 8 Fr. We also judged whether urethral stricture was present using a symptoms questionnaire, which included questions on stranguria, dysuria, and urinary tract infection. Finally, we administered urination questions specific to hypospadias, including questions on whether the patient stands to urinate, whether they urinate from the end of their penis, whether they have more than one stream when they urinate (7), whether they experience spraying urine, whether their stream is straight (8), and whether they experience terminal dribbling (9). However, due to economic considerations and for medical insurance reasons, we did not use cystoscopy to diagnose urethral stricture.

#### Statistical analysis

Data analysis was performed using SPSS 21.0. Mean values ( $\pm$ SD) are reported to describe patients' characteristics. All other variables are reported in the form of median values with the corresponding range. Student's t-tests were used to compare the groups, with P < 0.05 taken to denote statistical significance.

#### Results

All patients underwent surgery for incomplete penoscrotal transposition with severe hypospadias. In all 86 cases, the severe proximal hypospadias and incomplete transposition were successfully repaired. No complications during the perioperative period (such as hematoma of the scrotum, recurrent curvature of the penis, urethral diverticulum, urethral dehiscence, wound infection, or flap necrosis) were observed in our study, and all patients were discharged within 7-11 days after surgery. There was no statistical difference between the two groups in terms of other complications (Table 1), nor was there any statistical difference between Group A operations and the first-stage operations for Group B in terms of operation time, postoperative off-bed time, or number of days of hospitalization. However, Group B patients also underwent a second-stage operation, with its attendant additional costs (Table 2). Three months after surgery, PPPS judgments (specifically, shaft skin and general appearance scores), as provided by parents and by the surgeon, were higher for Group A than for Group B. Most parents and surgeons were satisfied with the appearance of the genitals after one-stage repair (Table 3). Finally, 1 year after surgery, there was no statistical difference between the two groups in terms of parents' judgments on the urinary function questionnaire (Table 4).

#### Discussion

Penoscrotal transposition is a rare abnormality of the external genitalia, characterized by malposition of the scrotum superior to the penis (10). The condition is also known as prepenile scrotum or scrotopenile inversion (2). Patients with penoscrotal transposition may also present with other genital

TABLE 1 Complications occurring in the two groups.

| Group        | Stenosis of urinary meatus (%) | Urethral stricture (%) | Urinary fistula (%) | Urinary infection (%) |
|--------------|--------------------------------|------------------------|---------------------|-----------------------|
| A $(n = 42)$ | 1 (2.38%)                      | 1 (2.38%)              | 3 (7.14%)           | 3 (7.14%)             |
| B $(n = 44)$ | 2 (4.54%)                      | 1 (2.27%)              | 3 (6.82%)           | 2 (4.55%)             |
| P value      | 0.279                          | 0.948                  | 0.907               | 0.309                 |

TABLE 2 Operation time, postoperative off-bed time, and days of hospitalization in the two groups.

| Group   | Operation time (min)            | Postoperative off-bed time (days) | Duration of hospitalization (days) | Cost (thousands)            |
|---|---------------------------------|-----------------------------------|------------------------------------|-----------------------------|
| A (one-stage operation) $(n = 42)$              | $63.21 \pm 5.20$                | $7.02 \pm 1.32$                   | $10.55 \pm 1.15$                   | $Y$ 21.48 $\pm$ 2.64        |
| B (first-stage/second-stage operation) (n = 44) | $62.07 \pm 4.47/50.59 \pm 1.52$ | $6.84 \pm 1.20 / 6.16 \pm 1.45$   | $10.15 \pm 1.45/9.16 \pm 1.45$     | ¥ 20.32 ± 2.49/19.05 ± 1.29 |
| P value   | 0.059                           | 0.456                             | 0.092                              | 0.869                       |

There were no statistical differences between the groups at first-stage surgery in terms of operation time (P = 0.059), postoperative off-bed time (P = 0.456), days of hospitalization (P = 0.092), or cost (P = 0.869). Group B underwent a subsequent second-stage operation, incurring additional costs.

TABLE 3 Comparison of the two groups on PPPS, as judged by parents, the surgeon, and surgical peers 3 months after surgery.

| PPPS item             |   | Group<br>A  | Group<br>B  | P<br>value              |
|-----------------------|---|---|---|-------------------------|
| Meatus                | Judged by parents<br>Judged by surgeon<br>Judged by surgical          | $1.98 \pm 0.75$ $2.76 \pm 0.57$ $2.36 \pm 0.69$       | $1.91 \pm 0.80$<br>$2.79 \pm 0.55$<br>$2.34 \pm 0.81$ | 0.869<br>0.625<br>0.217 |
| Glans                 | peers Judged by parents Judged by surgeon Judged by surgical peers    | $1.93 \pm 0.87$<br>$2.61 \pm 0.53$<br>$2.05 \pm 0.62$ | $1.93 \pm 0.87$ $2.63 \pm 0.48$ $2.13 \pm 0.55$       | 0.194<br>0.266<br>0.776 |
| Shaft skin            | Judged by parents<br>Judged by surgeon<br>Judged by surgical<br>peers | $2.10 \pm 0.82$<br>$2.42 \pm 0.70$<br>$1.97 \pm 0.60$ | $1.93 \pm 0.62$ $2.25 \pm 0.58$ $2.02 \pm 0.59$       | 0.028<br>0.025<br>0.871 |
| General<br>appearance | Judged by parents<br>Judged by surgeon<br>Judged by surgical<br>peers | $2.16 \pm 0.91$ $2.38 \pm 0.69$ $2.16 \pm 0.58$       | $1.93 \pm 0.72$ $2.29 \pm 0.51$ $2.25 \pm 0.49$       | 0.042<br>0.041<br>0.694 |

abnormalities, including hypospadias and chordee, as well as abnormalities of other organ systems (3). There are numerous possible approaches to surgical correction of proximal hypospadias following reconstruction of penoscrotal transposition, among which Thiersch-Duplay urethroplasty is one of the most common techniques (4). Severe proximal hypospadias is most often associated with incomplete penoscrotal transposition. Surgical treatment is based on the severity of the hypospadias and transposition (11). Both single-stage repair and multi-stage procedures for proximal hypospadias with incomplete penoscrotal transposition have been described in the literature (3, 12, 13). The complication rate for one-stage repairs, including Duckett repair, the Snodgrass procedure, and the Ehrlich and Scardino technique, is high because of the complicated nature of these repair procedures and a lack of blood supply (14). However, multistage repair usually means more pain and higher costs. To improve the viability of single-stage repair for proximal hypospadias and penoscrotal transposition, it is necessary to simplify the repair procedure and preserve the blood supply.

In our study, we probed a novel surgical method for proximal hypospadias with incomplete penoscrotal

TABLE 4 Comparison of the two groups on urinary function, as judged by parents.

| Question   | Yes/<br>No | Group A n = 42 (%)      | Group<br>B<br>n = 44<br>(%) | P<br>value |
|--|------------|-------------------------|-----------------------------|------------|
| Whether they stand to urinate                            | Yes<br>No  | 42 (100%)<br>0 (0%)     | 43 (97.7%)<br>1 (2.3%)      | 0.517      |
| Whether they urinate from the end of their penis         | Yes<br>No  | 42 (100%)<br>0 (0%)     | 44 (100%)<br>0 (0%)         | 1.000      |
| Whether they have more than one stream when they urinate | Yes<br>No  | 1 (2.4%)<br>41 (97.6%)  | 0 (0%)<br>44 (100%)         | 0.488      |
| Whether they experience spraying urine                   | Yes<br>No  | 5 (11.9%)<br>37 (88.1%) | 7 (15.9%)<br>37 (84.1%)     | 0.412      |
| Whether their stream is straight                         | Yes<br>No  | 39 (92.9%)<br>3 (7.1%)  | 40 (90.9%)<br>4 (9.1%)      | 0.526      |
| Whether they experience terminal dribbling               | Yes<br>No  | 2 (4.8%)<br>40 (95.2%)  | 3 (6.8%)<br>41 (93.2%)      | 0522       |

transposition. We have presented a new modified technique combining the Glenn-Anderson and the Duplay technique in a single stage to repair proximal hypospadias with penoscrotal transposition. To protect the blood supply, our method reinforces the newly-formed urethra with the pedicled tunica vaginalis of the testis. Additionally, in order to tighten the skin to achieve satisfaction in terms of appearance, we remove the extra skin flap at the dorsal root at the time of the modified Glenn-Anderson procedure. Both of the procedures are completed at the same stage. No complications during the perioperative period, such as urethral diverticulum, urethral dehiscence, wound infection, or flap necrosis, were observed in our study, and all patients were discharged within 7-11 days after surgery. There was no statistical difference between the groups undergoing single-stage repair and two-stage repair in terms of surgery time, complications, or duration of hospitalization. For patients and parents, the postoperative appearance of the penis is of paramount importance. The PPPS is a reliable instrument for urologist assessment and self-assessment of post-pubertal genitalia after hypospadias repair (15). In most cases, the parents, surgeon, and surgical peers were satisfied with the appearance of the genitals after one-stage repair.

There are few studies of severe hypospadias that have investigated the long-term outcomes of repair procedures using validated questionnaires. In the present study, we used a questionnaire to measure urinary function; this consisted of questions about urination specific to hypospadias, including whether the patient stands to urinate, whether they urinate from the end of their penis, whether they have more than one stream when they urinate (7), whether they experience spraying urine, whether their stream is straight (8), and whether they experience terminal dribbling (9). We also judged whether urethral stricture was present by asking about urination. The results indicated no statistical difference between the two groups on any of these variables. However, the general urinary function complication rate was lower than that occurring in other studies (7-9); the relatively short follow-up time is a possible reason for this. Measures of longterm outcomes need to be included to evaluate the therapeutic effects of this technique in future studies.

In some studies, uroflowmetry (volume, Qmax), endoscopy, and ultrasound for residual volume have been used to evaluate the outcome of hypospadias repair. However, as our center lacked sufficient equipment as a result of economic problems, we were unable to do so. Thus, more research is needed in the future to better understand the outcomes on these measures.

Some studies have described an alternative repair method for proximal hypospadias, in which urethroplasty is performed with the pedicled preputial skin flap, pedicled perimeatal connective tissue, pedicled scrotal fat, or a combination of these to protect the blood supply (16). However, limited tissue length often means that this tissue cannot extend down the entire length of the urethra. An unsatisfactory appearance and superficial skin necrosis are common outcomes (17). The original Koyanagi procedure might be one of the simplest and most effective methods for repair of proximal hypospadias with penoscrotal transposition. However, of 22 reported cases, three suffered from urinary fistula, one required re-operation because the external urethral orifice was retracted to the coronary sulcus of the penis, two showed recurrent curvature, and one showed slight stricture (18).

In contrast to the disadvantages of the abovementioned methods, the pedicled testicular tunica vaginalis can extend down the entire length of the urethra. Repair using the testicular tunica vaginalis is characterized by a thin, satisfactory appearance, elasticity, and an abundant blood supply. The tunica vaginalis flap could be an alternative to the preputial dartos fascia for covering the neourethra with a vascularized flap, resulting in fewer complications and acceptable results (19). The Glenn–Anderson technique is described as the correction of penoscrotal transposition *via* the design of rotational flaps that push the scrotum back while the penile skin remains attached by small strip to the skin of the mons pubis. However, chordee persists following

this operation and sunken skin forms on the mons pubis, leading to an unsatisfactory appearance and wound infection. In the method described here, we removed the extra skin flap at the dorsal root, released the penis to avoid chordee, and eliminated the formation of sunken skin on the mons pubis to avoid wound infection. We also preserved the superficial dorsal artery and vein of the penis in order to protect the blood supply.

The limitations of our study include the fact that it was carried out at a single academic center and its retrospective nature, which makes it inherently subject to selection bias. Additionally, the follow-up period was relatively short. Measures of long-term outcomes need to be included to evaluate the therapeutic effects of this technique in future studies.

#### Conclusion

In our study, we have demonstrated that the pedicled testicular tunica vaginalis flap can be used to cover the neourethra with a vascularized flap. For the purpose of preserving the superficial dorsal artery and vein of the penis to protect the blood supply, removal of the extra skin flap at the dorsal root was found to be safe. All of these procedures could be completed in a single stage, and produced acceptable results. However, long-term studies are required to support these conclusions.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author/s.

#### Ethics statement

The studies involving human participants were reviewed and approved by Ethics Committee of Tianjin Children's hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin.

#### **Author contributions**

XW wrote the manuscript and analyzed all the data. YW, CW, XM, ZZ, and DZ provided patient care and collected the data. YG performed the surgery. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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