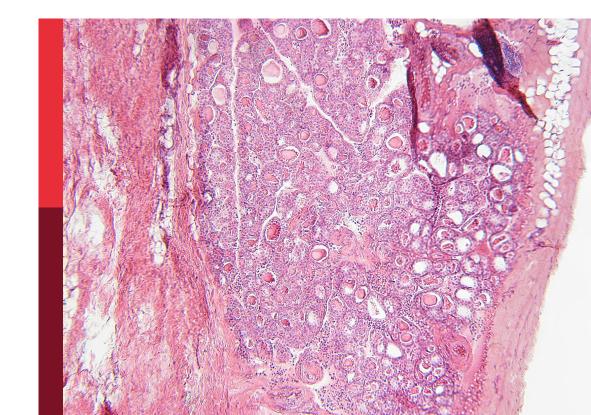
## Biomolecular modifications in endocrine-related cancers

**Edited by** Xianquan Zhan

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## Biomolecular modifications in endocrine-related cancers

#### Topic editor

Xianquan Zhan — Shandong First Medical University, China

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EDITED AND REVIEWED BY Claire Perks, University of Bristol, United Kingdom

\*CORRESPONDENCE
Xianquan Zhan

yjzhan2011@gmail.com

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# Editorial: Biomolecular modifications in endocrine-related cancers

#### Xianquan Zhan\*, Junwen Su and Lamei Yang

Medical Science and Technology Innovation Center, Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University & Shandong Academy of Medical Sciences, Jinan, Shandong, China

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#### Editorial on the Research Topic:

Biomolecular modifications in endocrine-related cancers

The central dogma of genetics explains the sequence of information flow among three levels of biomolecules: from DNA, to RNA, to proteins (1). Modifications occurring in these biomolecules are key molecular events that regulate their structures and functions, and even the entire biological processes and systems in which they are involved (2). Biomolecular modifications are recognized as playing extensive roles in various pathophysiological conditions, including diabetics, metabolic disease, neurodegenerative disease, inflammatory disease, and cancer. Biomolecular modification is also a highly important factor in biomolecule diversity (3). It is estimated that at least 10 types of modifications, such as hydroxymethylation and cytosine methylation, occur in DNA, while at least 20 posttranslational modifications (PTMs), such as acetylation, ubiquitination, and phosphorylation, occur in DNA-binding protein histones, which regulate the structures and functions of DNA; at least 170 post-transcriptional modifications, such as N1- and N6methyladenosines (m1A, m6A, m6Am), 5-hydroxymethylcytosine (hm5C), 2'-Omethylation (Nm), 3- and 5-methylcytosines (m3C, m5C), and pseudouridine (Ψ), occur in RNA and thereby regulate the structures and functions of RNA; and 400-600 PTMs, such as glycosylation, acetylation, methylation, phosphorylation, ubiquitylation, SUMOylation, nitration, sulfation, hydroxylation, deamidation, prenylation, nitrosylation, succinylation, palmitoylation, and myristoylation, occur in proteins and thereby regulate the structures and functions of proteins (Figure 1) (1-3). Each biomolecular modification has its own characteristics and associated research methodologies. The development of various omics, including genomics, transcriptomics, proteomics, proteoformics, and bioinformatics, has been a significant driver of the large-scale analysis of biomolecular modifications to determine the modified sites and levels of modification, and to further elucidate the molecular mechanisms and biofunctions mediated by biomolecular modifications (3). Moreover, synergistic and antagonistic interactions between different biomolecular modifications can complicate the biological effects of a biomolecule to a remarkable extent. Up to this point, the number of biomolecular modification studies undertaken in the area of medical and life sciences has been far from adequate (3). It is time to put further effort into the broad and deep study of biomolecular modifications.

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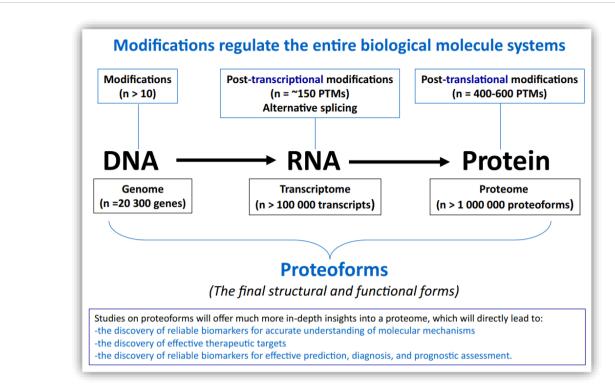


FIGURE 1

The relationships between biomolecular modifications in DNA, RNA, and protein, and the proteoforms that constitute the end-point structural and functional forms of a gene or protein. Constructed from Li, Desiderio, and Zhan (1) with copyright permission from Wiley, and from Zhan, Long, and Lu (3) with copyright permission from Elsevier. Reproduced from Zhan X et al. (2) with copyright permission from Springer Nature.

Endocrine-related cancers, such as ovarian cancer, pituitary adenoma, thyroid cancer, lung cancer, and breast cancer, are important endocrine system disorders and each involves a series of biomolecular modifications at the levels of DNA, RNA, and proteins. These biomolecular modifications are crucial factors contributing to the formation and development of endocrine-related cancers, in that they precisely regulate the molecular mechanisms underlying tumor-related pathophysiological processes, which in turn are a source of effective therapeutic targets and drugs, and reliable biomarkers of endocrine-related cancers (1–3). The present Research Topic includes articles discussing the presentation, functional roles, and mechanisms of various biomolecular modifications in endocrine-related cancers; this will pave the way for the systematic study of biomolecular modifications at the levels of DNA, RNA, and proteins in endocrine-related cancers.

A total of 11 articles are presented in this issue. (i) The first article addresses quantitative acetylomic alterations and the signaling pathway changes mediated by these alterations in human nonfunctional pituitary adenomas (Wen et al.). The authors identify 296 acetylated proteins with 517 acetylation sites, observing that most of these exhibit decreased acetylation levels in pituitary adenomas and play a role in multiple biological processes, including oxidative stress, cell adhesion, translation, and metabolism. Additionally, this study indicates that acetylation-mediated metabolic reprogramming contributes to invasive behaviors of the pituitary adenoma. (ii) The second article addresses protein pupylation; the authors construct the first pupylation prediction model for accurate prediction of pupylation sites and pupylated

proteins, which is of great significance for the study of basic biological processes and the development of pupylation-related drugs (Qiu et al.). (iii) The third article addresses the use of quantitative ubiquitinomics to reveal abnormal ubiquitinated ATP7A involved in down-regulation of ACTH secretion in silent corticotroph adenomas (Zhao et al.), and clearly demonstrates the effect of ubiquitylation on ACTH secretion in silent corticotroph adenomas. (iv) The fourth article addresses the roles and potential mechanisms of RSUME proteoforms, which are a set of small RWD domain-containing proteins that play a role in enhancing SUMO conjugation in tumorigenesis (Fuertes et al.). (v) The fifth article addresses the roles and therapeutic implications of epigenetic modifications, such as histone modifications, DNA methylation, and non-coding RNA regulation, in ovarian cancer; the authors also discuss the relationships of epigenetic modifications with multidrug resistance, the tumor microenvironment, and the immune response in tumorigenesis (Wang et al.). (vi) The sixth article addresses the concept of glycomics and associated methodologies, reviewing glycomics-derived biomarkers and therapeutic targets in cancers within the framework of 3P medicine (Guo et al.). (vii) The seventh article addresses ubiquitinationmediated signaling pathway changes in human lung squamous cell carcinomas (LSCC). The authors identify 627 ubiquitinated proteins (UPs) with 1209 ubiquitination sites, thereby providing an initial view of the landscape of UPs and molecular networks for human LSCC tissue (Zhan et al.). (viii) The eighth article addresses concepts and methodologies relating to protein acetylation, which is dynamically regulated by histone deacetylases (HDACs) and histone

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acetyltransferases (HATs) in homeostasis; the authors review insights into acetylation-based mechanisms in carcinogenesis and targeted drug discovery in cancers (Yang et al.). (ix) The ninth article addresses eight types of RNA modification (m3C, ac4C, m7G, m5C, m6A, m1A, m6Am, and  $\Psi$ ) and the corresponding RNA-modification regulatory genes (RRGs; n = 59). The authors analyze the variation in expression and the clinical relevance of these 59 RRGs in ovarian cancers, thereby constructing a differentially expressed RRG signature model for ovarian cancer consisting of four RRGs (ALYREF, ZC3H13, WTAP, and METTL1), which can be used as an independent prognostic model to classify ovarian cancer patients into high- and low-risk groups (Zheng et al.). (x) The tenth article addresses the biomolecular modifications occurring in dedifferentiated thyroid cancer, such as ubiquitination, phosphorylation, acetylation, glycosylation, and DNA methylation, and identifies new targets for radiological imaging and therapy, promising an era of precise diagnosis of and treatment for dedifferentiated thyroid cancer (Li et al.). (xi) Finally, the eleventh article presents clear evidence that PKC-mediated phosphorylation and activation of the MEK/ERK pathway is the mechanism underlying acquired trastuzumab resistance in HER2-positive breast cancer. This represents a typical application of targeted phosphorylation profiling in a given signaling pathway in the study of HER2-positive breast cancer (Scerri et al.).

As the above summary clearly demonstrates, the present issue covers the spectrum of biomolecular modifications at three different levels (those of DNA, RNA, and proteins), including histone modification, DNA methylation, and non-coding RNA regulation at the DNA level for ovarian cancer (Wang et al.) or dedifferentiated thyroid cancer (Li et al.); post-transcriptional modifications, such as m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ, at the RNA level for ovarian cancer (Zheng et al.); and post-translational modifications, such as acetylation (Wen et al.; Yang et al.), pupylation (Qiu et al.), ubiquitination (Zhao et al.; Zhan et al.), glycosylation (Guo et al.), phosphorylation (Scerri et al.), and RSUME proteoforms (Fuertes et al.), at the protein level for different forms of carcinogenesis. The aforementioned studies examining these molecular modifications contribute to the development of in-depth insight into the molecular mechanisms of cancers, such as the mediation of signaling pathway alterations by biomolecular alterations (Wen et al.; Zhan et al.; Scerri et al), the identification of effective cancer biomarkers (Yang et al.; Guo et al.; Wen et al.; Zhan et al), and the discovery of effective therapeutic targets and drugs in cancer treatment (Yang et al.; Guo et al.). Omics represent effective approaches in the study of biomolecular modification for the large-scale identification of modification sites and quantification of modification levels (Wen et al.; Zhan et al.; Zhao et al.). Moreover, data on biomolecular modifications, in combination with other types of omics data, have the potential for clinical applications. For example, an understanding of RNA modifications can be usefully combined with transcriptomics data (Zheng et al.); acetylation can be exploited in combination with transcriptomics to resolve invasive behaviors in pituitary adenomas (Wen et al.); and protein posttranslational modifications can be used in combination with radiomics (Li et al.). For these reasons, biomolecular modifications are of crucial importance, but have not been sufficiently investigated in the field of cancers, where they are involved in every aspect of predictive, preventive, and personalized medicine (3P medicine). Biomolecular modifications are an important factor in diversity in proteoforms, each of which is defined by its amino acid sequence + PTMs + spatial conformation + cofactors + binding partners + localization + a function (4, 6–8). Proteoforms are the basic units of a proteome, and represent the final structural and functional forms of a gene or a protein. We recommend that the study of "proteoformics" be strengthened in order to clarify the precise structural and functional alterations of a given molecule; this will enable the discovery of effective biomarkers for an accurate understanding of molecular mechanisms in cancer, the identification of reliable therapeutic targets and drugs, and the precise prediction, diagnosis, and assessment of prognosis in any given cancer (9–12).

In summary, significant advances have been achieved through biomolecular modification studies in the domain of endocrine-related cancers. The present issue collects various important articles on the topics of biomolecular modifications in DNA, DNA-binding protein histones, RNA, and proteins in endocrine-related cancers, and on the combination of various biomolecular modifications with other omicsrelated data in the identification of cancer biomarkers. However, it must be acknowledged that this special issue tackles only a very small fraction of the biomolecular modifications that are relevant in endocrine-related cancers. This Research Topic serves as a catalyst to stimulate and encourage researchers to conduct further biomolecular modification studies, which will result in important scientific developments in research and clinical practice in the domain of endocrine-related cancers. Future special issues will collect further multiomics-based studies of biomolecular modifications involving the large-scale use of clinical information in basic, translational, and clinical practice research in endocrine-related cancers. We will emphasize studies examining synergistic and antagonistic interactions among biomolecular modifications in a given biomolecule and their biological roles in various endocrine-related cancers. We strongly believe that biomolecular modification-based proteoformics studies represent a brighter future for the treatment of endocrine-related cancers within the framework of predictive, preventive, and personalized medicine (PPPM; 3P medicine) and precision medicine.

#### **Author contributions**

XZ conceived the concept; collated and analyzed the literature; planned, wrote, and critically revised the manuscript; and was responsible for financial support for this and related work. JS and LY participated in the collation of the literature and in part of the writing. All authors approved the final manuscript.

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### **Quantitative Acetylomics Revealed Acetylation-Mediated Molecular Pathway Network Changes in Human Nonfunctional Pituitary Neuroendocrine Tumors**

Sigi Wen 1,2, Jiajia Li 1,2, Jingru Yang 2, Biao Li 1,2, Na Li 2,3 and Xianguan Zhan 2,3,4\*

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#### Edited by:

Peivuan Yin. Dalian Medical University, China

#### Reviewed by:

Sumana Venkat. University of Texas Southwestern Medical Center, United States Farida Tripodi. University of Milano-Bicocca, Italy

#### \*Correspondence:

Xianguan Zhan yjzhan2011@gmail.com

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<sup>1</sup> Key Laboratory of Cancer Proteomics of Chinese Ministry of Health, Central South University, Changsha, China, <sup>2</sup> Medical Science and Technology Innovation Center, Shandong First Medical University, Jinan, China, 3 Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University, Jinan, China,

Acetylation at lysine residue in a protein mediates multiple cellular biological processes, including tumorigenesis. This study aimed to investigate the acetylated protein profile alterations and acetylation-mediated molecular pathway changes in human nonfunctional pituitary neuroendocrine tumors (NF-PitNETs). The anti-acetyl antibody-based label-free quantitative proteomics was used to analyze the acetylomes between NF-PitNETs (n = 4) and control pituitaries (n = 4). A total of 296 acetylated proteins with 517 acetylation sites was identified, and the majority of which were significantly down-acetylated in NF-PitNETs (p<0.05 or only be quantified in NF-PitNETs/controls). These acetylated proteins widely functioned in cellular biological processes and signaling pathways, including metabolism, translation, cell adhesion, and oxidative stress. The randomly selected acetylated phosphoglycerate kinase 1 (PGK1), which is involved in glycolysis and amino acid biosynthesis, was further confirmed with immunoprecipitation and western blot in NF-PitNETs and control pituitaries. Among these acetylated proteins, 15 lysine residues within 14 proteins were down-acetylated and simultaneously up-ubiquitinated in NF-PitNETs to demonstrate a direct competition relationship between acetylation and ubiquitination. Moreover, the potential effect of protein acetylation alterations on NF-PitNETs invasiveness was investigated. Overlapping analysis between acetylomics data in NF-PitNETs and transcriptomics data in invasive NF-PitNETs identified 26 overlapped molecules. These overlapped molecules were mainly involved in metabolism-associated pathways, which means that acetylation-mediated metabolic reprogramming might be

<sup>&</sup>lt;sup>4</sup> Gastroenterology Research Institute and Clinical Center, Shandong First Medical University, Jinan, China

the molecular mechanism to affect NF-PitNET invasiveness. This study provided the first acetylomic profiling and acetylation-mediated molecular pathways in human NF-PitNETs, and offered new clues to elucidate the biological functions of protein acetylation in NF-PitNETs and discover novel biomarkers for early diagnosis and targeted therapy of NF-PitNETs.

Keywords: acetylomics, label-free quantitative proteomics, gene ontology (GO), signaling pathway, biomarker, pituitary neuroendocrine tumor (PitNET)

#### INTRODUCTION

Pituitary neuroendocrine tumors (PitNETs) are the second most common primary central nervous system tumors in adults (1, 2). Based on serum hormone level, PitNETs are divided into clinically functional and nonfunctional PitNETs (F-PitNETs and NF-PitNETs). NF-PitNETs account for 15% to 54% of diagnosed PitNETs (3). F-PitNETs patients are generally diagnosed at early stage because of their hormonal hypersecretory syndrome and some of them are obtained efficient medical therapies to inhibit pituitary hormone secretion. Whereas, NF-PitNETs are not easily diagnosed at early stage because of no hormonal hypersecretory syndrome and lack effective medicine for noninvasive therapy (4). Currently, the only way to control NF-PitNET tumor mass effect (hypophysis dysfunction, visual field defect, and headache) is surgical resection. However, some NF-PitNETs seem to be more invasive and have higher postoperative recurrence rates, which severely decrease the quality of life of patients (5, 6). NF-PitNETs are becoming a challenging clinical problem. It is necessary to clarify molecular mechanisms of the occurrence and development of NF-PitNETs, and discover effective biomarkers for early diagnosis and treatment of NF-PitNETs to improve their quality of life.

Acetylation is a reversible post-translational modification (PTM), and is co-regulated by lysine acetyltransferases (KATs) and lysine deacetylases (KDACs). KATs catalyze lysine residue to be acetylated with acetyl-coenzyme A (acetyl-CoA) as a cofactor, and KDACs reverse this process. Acetylation modulates biological functions of many proteins related to tumorigenesis. Histone acetylation facilitates chromatin decondensation to regulate transcriptional activation (7). When DNA suffers from damage, particular sites of p53 protein are acetylated to modulate its functions in damage repair or cell apoptosis (8). The level of c-MYC oncoprotein tightly relates to cell cycle progression, its acetylation dramatically increases its protein stability (9). Study

Abbreviations: BPs, biological processes; CCs, cellular components; DAPs, differentially acetylated proteins; DEGs, differentially expressed proteins; DTT, dithiothreitol; F-PitNET, functional pituitary neuroendocrine tumor; GEO, Gene Expression Omnibus; GO, gene ontology; HEPEs, 2-hydroxyethyl; HPLC, high performance liquid chromatography; IAP, immunoaffinity purification; IP, immunoprecipitation; KATs, lysine acetyltransferases; KDACs, lysine deacetylases; LC, liquid chromatography; MFs, molecular functions; MS, mass spectrometry; MS/MS, tandem mass spectrometry; NF-PitNET, nonfunctional pituitary neuroendocrine tumor; PitNETs, pituitary neuroendocrine tumors; PGK1, phosphoglycerate kinase 1; PTM, post-translational modification; S/N, signal-to-noise; TFA, trifluoroacetic acid.

found that many cancers existed aberrant expression, mutation, and translocation of one of specific lysine acetylation regulators (KATs, KDACs, and acetyl-lysine readers) or a group of them (10). These abnormalities might impact stabilities and expressions of many oncoproteins, tumor suppressor proteins, chaperones, and other functional proteins by altering their acetylation levels to initiate some of cancer-related signaling pathways and affect tumor growth, invasion, and metastasis (8, 9, 11–16). Thereby, it emphasizes that the altered acetylation levels of proteins have potential to affect tumorigenesis and development of NF-PitNETs.

However, acetylomics analysis in NF-PitNETs has not been reported. Previous studies on the effect of acetylation on pituitary tumorigenesis only focused on some specific molecules (17–19). Thus, elucidation of acetylome in NF-PitNETs might offer new insights into the role of lysine acetylation played in the pathophysiology of NF-PitNETs and lead to the discovery of novel biomarkers for its early diagnosis and efficacious therapeutic targets.

Anti-acetyl antibody-based label-free quantitative proteomics is widely used to detect, identify, and quantify acetylome in a given condition, such as substrates of acetyltransferases and deacetylases, and tumors vs. controls (16, 20, 21). This study selected a rigorous anti-acetyl antibody-based label-free quantitative mass spectrometry (MS) to identify and quantify acetylated proteins between NF-PitNET and control pituitary tissues. Subsequently, functional and pathway network analyses were performed to investigate the functional characteristics of differentially acetylated proteins (DAPs) and molecular network alterations that protein acetylation was involved in. The randomly selected acetylation status of phosphoglycerate kinase 1 (PGK1) that was identified with acetylomics in NF-PitNETs relative to normal pituitaries was confirmed with immunoprecipitation and western blot analyses.

In addition, approximately one-third of acetylation sites are also subjected to ubiquitination in human cells, which presents a competition and synergy relationship between acetylation and ubiquitination (22). Some proteins involved in important biological processes might affect tumor formation and progression through the regulatory crosstalk between acetylation and ubiquitination, such as p53, histone H3, and splicing factor SRSF5 (23–25). Thereby, an overlapping analysis between acetylated proteins data and ubiquitinated proteins data identified from the same NF-PitNET and control pituitary samples was performed to investigate the potential competition and synergy effects of protein acetylation and ubiquitination on NF-PitNETs.

Moreover, invasiveness is a challenging clinical problem. This study further investigated the relationship of protein acetylation and invasive characteristics in NF-PitNETs. Differentially expressed genes (DEGs) were obtained between invasive NF-PitNETs and control tissues from Gene Expression Omnibus (GEO) database. The overlapping analysis between acetylated protein data and invasive DEG data was performed to identify acetylation-mediated molecular events for invasiveness of NF-PitNETs.

This study will provide promising scientific data for insights into molecular mechanisms of NF-PitNETs, and discover potential biomarkers for early diagnosis and therapy of NF-PitNET patients.

#### MATERIALS AND METHODS

#### Tissue Samples

Quantitative acetylomics was performed between the mixed NF-PitNET samples (n =4) and mixed control samples (n =4) (**Supplementary Table 1**). NF-PitNET samples were obtained from Department of Neurosurgery, Xiangya Hospital, China, with approval of the Xiangya Hospital Medical Ethics Committee of Central South University. Control pituitary tissues were obtained from the Memphis Regional Medical Center, with approval of the University of Tennessee Health Science Center Internal Review Board. Each sample was collected after obtaining written informed consent from each patient or the family of each control pituitary subject (autopsy tissues). The detailed information on samples was described previously (26), and collected (**Supplementary Table 1**).

## **Protein Extraction and Quality Assessment of Protein Sample**

Each tissue sample was dealt with 1 mL urea pyrolysis solution [9 M urea (U5378, Merck), 20 mM 2-hydroxyethyl (HEPES; H3375, Merck), 1 mM sodium orthovanadate (S6508, Merck), 2.5 mM sodium pyrophosphate (P8010, Merck), and 1 mM βglycerophosphate (G9422, Merck), pH 8.0] and ice bath ultrasonic treatment. The solution was centrifuged (18000×g, 30 min, and 4°C), and the supernatant of each sample was equally divided into three parts. The protein content of each part was measured with a Bradford Protein Quantification Kit (YEASEN, Cat# 20202ES76). An amount (20 µg) of each extracted protein sample (NF-PitNETs; Controls) was mixed with 6X loading buffer (P0015F, Boyetime) in a ratio of 6:1(v/v), boiled (5 min), and centrifuged (14000×g, and 10 min). The supernatant was loaded onto 12.5% SDS-PAGE gel (P0012A, Boyetime) for electrophoretic separation (constant current 15 mA, and 60 min), followed by staining with Coommassie brilliant blue (P0017A, Boyetime).

#### **Enzymatic Hydrolysis of Proteins**

Dithiothreitol (DTT; D9760, Merck) was added to each extracted protein sample (NF-PitNETs; Controls), and achieved a final concentration of 10 mM DTT; and the mixture was incubated

(2.5h, and 37°C), and cooled to room temperature. Then indole-3-acetic acid (IAA; I3750, Merck) was added into each mixture, and achieved a final concentration of 50 mM IAA; and the mixture was kept (dark, 30 min). The water that was 5 times the volume of the mixture was added to make the concentration of urea to 1.5 M, followed by addition of trypsin into the mixture in a ratio of 1:50 to digest proteins for 18 h at 37 °C. The SPE C18 column (Waters WAT051910, Waters Corporation, Milford, CT, USA) was used to desalt and lyophilize tryptic peptides.

#### **Enrichment of Acetylated Peptides**

A volume (1.4 mL) of pre-cooled immunoaffinity purification (IAP) buffer was used to resuspend each lyophilized peptide sample (3x). The pre-processed anti-Ac-K antibody beads [PTMScan Acetyl-Lysine Motif (Ac-K) Kit, Cell Signal Technology] were added in each tryptic peptide sample, and incubated (1.5h, 4°C). Afterwards, anti-Ac-K antibody beads with acetylated peptides were washed with 1 mL pre-cooled IAP (3x), and with 1 mL pre-cooled water (3x). A volume (40 µl) of 0.15% trifluoroacetic acid (TFA; 302031, Merck) was added to the washed anti-Ac-K antibody beads, and incubated (room temperature, 10 min), and then the same volume of TFA was added once again. The mixture was centrifuged (2000×g, 30s). The supernatant was desalted with C18 STAGE Tips (27). The desalted supernatant was the enriched acetylated peptide sample.

## LC-MS/MS Analysis of Enriched Acetylated Peptides

LC-MS/MS was used to analyze the enriched acetylated peptides (NF-PitNETs; controls). Each enriched acetylated peptide sample was separated by high performance liquid chromatography (HPLC) system EASY-nLC1000 at nanoliter flow velocity. Chromatography column was balanced with 100% buffer A (0.1% acetonitrile formate aqueous solution that contained 2% acetonitrile). The enriched acetylated peptides were loaded onto the sample spindle, Thermo scientific EASY column (2 cm\*100 μm 5 μm-C18), with an autosampler in buffer A, and then were separated when the sample flowed through analytical column (75  $\mu$ m  $\times$  250 mm 3  $\mu$ m-C18) at a flow rate of 250 nL/min in buffer B (0.1% acetonitrile formate aqueous solution that contained 84% acetonitrile). The liquid-phase gradient was buffer B linear gradient from 0 to 55% for 220 min, buffer B linear gradient from 55 to 100% for 8 min, and then maintained 100% buffer B for 12 min. The Q-Exactive mass spectrometer (Thermo Finnigan) was used to perform MS/MS analysis when the enriched acetylated peptides were separated with capillary HPLC. The parameter of mass spectrometer was set as time 240 min, positive ion detection mode, and scan range of precursor ion m/z 350-1800. The top 20 intensive ions in MS scan (MS1) were selected for ion fragmentation with higherenergy collision dissociation (HCD) to generate MS/MS spectra (MS2). The MS1 resolution was 70,000 at m/z 200, and the MS2 resolution was 17,500 at m/z 200.

#### Label-Free Quantification With MaxQuant

The Maxquant software (version 1.3.0.5) was used for database searching and data analysis of 6 original LC-MS/MS datasets

(NF-PitNETs: n =3; Controls: n =3). The database was uniprot\_human\_154578\_20160815.fasta (154,578 entries, downloaded on 15 August 2016). Its primary parameters were set as main search ppm = 6, missed cleavage = 4, MS/MS tolerance ppm = 20, de-isotopic =TRUE, enzyme=trypsin, database = uniprot\_human\_154578\_20160815.fasta, fixed modification = carbamidomethyl (C), variable modification = oxidation (M), acetyl (protein N-term), and acetyl (K), decoy database pattern = reverse, iBAQ = TRUE, match between runs = 2 min, peptide false discovery rate (FDR) = 0.01, and protein FDR = 0.01. The MS/MS data were used to determine the amino acid sequence and acetylation sites, label-free quantification was used to determine the acetylation level.

### Immunoaffinity Experiments Confirmed DAPs

Immunoprecipitation (IP) and western blot were used to semiquantify PGK1 acetylation level in NF-PitNETs compared to controls. Three NF-PitNET tissue samples were equally mixed as the NF-PitNET sample, and five control protein samples were equally mixed as the control sample (Supplemental Table 1), which were used to extract protein samples, respectively. An amount (1 mg) of each protein sample (NF-PitNETs; controls) was incubated with the specific antibody against PGK1 (6 µg; sc-130335, Santa Cruz Technology) to immunoprecipitate PGK1 from total proteins. The negative control IP experiment was performed with the use of the normal mouse IgG antibody (6 µg; B900620, Proteintech) to replace the anti-PGK1 antibody, which tested the specificity of anti-PGK1 antibody. The IP products (PGK1 product; IgG product), anti-PGK1 antibody (2 µg), and total protein samples (NF-PitNETs: 60µg; Controls: 60µg) were simultaneously immunoblotted with anti-acetyl-lysine antibody (1:1000; A2391, ABclonal).

#### **Bioinformatics Analysis of DAPs**

DAPs were used for KEGG pathway analysis and gene ontology (GO) analysis through David database. GO analysis included three categories - biological processes (BPs), cellular components (CCs), and molecular functions (MFs). The results of KEGG, BP, CC, and MF data were further clustered into different functional categories. Moreover, acetylation motif analysis was carried out by analysis of the sequences from -13 to +13 amino acid residues at those 517 acetylation sites within 296 acetylated proteins with Motif-X software to identify any motifs that were prone to be acetylated in NF-PitNETs.

#### Overlapping Analysis of Acetylated Protein Data and Ubiquitinated Protein Data

The acetylated protein data identified in this study were compared to the ubiquitinated protein data in our previous study (26), which found that acetylation and ubiquitination occurred at the same site in proteins. This overlapping analysis was based on the fact that quantitative acetylomics and quantitative ubiquitinomics were performed in the same samples (NF-PitNETs; controls).

### Overlapping Analysis of Acetylated Protein Data and Invasive DEG Data

In total, 2751 statistically significant DEGs in invasive NF-PitNETs vs. controls were mined from the GEO database (**Supplementary Table 2**). The overlapped molecules between 166 DAP data in NF-PitNETs relative to controls and 2751 DEG data in invasive NF-PitNETs relative to controls were obtained, and further analyzed with GO and KEGG pathway enrichments to obtain functional characteristics and signaling pathways mediated by these overlapped molecules.

#### **RESULT**

#### Protein Acetylation Profiling in NF-PitNETs

Antibody enrichment-based label-free quantitative acetylomics identified 517 acetylation sites within 296 proteins in NF-PitNETs and control pituitaries (Supplementary Table 3). A representative MS/MS spectrum was from acetylated peptide ALMDEVVK\*ATSR ( $[M + 2H]^{2+}$ , m/z = 681.4, K\* = acetylated lysine residue) derived from PGK1 (Swiss-Prot No.: P00558) (Figure 1A), with a high-quality MS/MS spectrum, excellent signal-to-noise (S/N) ratio, and extensive product ions b-ion and y-ion series  $(b_2, b_3, b_4, b_5, b_9, y_1, y_2, y_3, y_4, y_5, y_6, y_7, y_8, y_9, and y_{10})$ . Its acetylation site was localized at residue K\*361, which was only acetylated in controls (N) but not in NF-PitNETs (T) (Supplementary Table 3). Another representative MS/MS spectrum was from acetylated peptide TATPQQAQEVHEK\*LR  $[(M + 2H)^{2+}, m/z = 889.5, K^* = acetylated lysine residue] of$ triosephosphate isomerase (Swiss-Prot No.: P60174) (Figure 1B), with a high-quality MS/MS spectrum, excellent S/N ratio, and extensive product ions b-ion and y-ion series (b2, b3, y1, y2, y3, y4,  $y_5$ ,  $y_6$ ,  $y_7$ ,  $y_8$ ,  $y_9$ ,  $y_{10}$ ,  $y_{12}$ , and  $y_{13}$ ). Its acetylation site was localized at residue K\*225, and its acetylation level was significantly decreased in NF-PitNETs compared to controls (ratio of T/N = 0.44; P = 3.28E-04) (Supplementary Table 3).

Among these 517 acetylation sites (**Figure 2**), (i) 341 sites were identified and quantified, including 58 sites only quantified in NF-PitNETs, 158 only quantified in controls, and 125 sites quantified in both NF-PitNET and control tissues, and 76 of these 125 sites had statistically significant difference at the acetylation level (p<0.05) in NF-PitNETs compared to controls (53 decreased acetylation levels, and 23 increased acetylation levels); and (ii) 176 sites were only identified but not quantified in neither NF-PitNETs or controls. The acetylation level change of 292 (76 + 58+158) quantified lysine residues with statistically significant difference were visualized by heatmap based on their acetylation intensities in NF-PitNETs and control tissues (**Figure 3**), which revealed that the majority of quantified lysine residues were down-acetylated in NF-PitNETs relative to controls.

## Amino Acid Motifs That Are Prone to Be Acetylated in NF-PitNETs

Two significantly distinguished motifs  $EK^*$  and  $K^*R$  ( $K^*$  = the acetylated lysine residue) were identified (**Figure 4A**), which

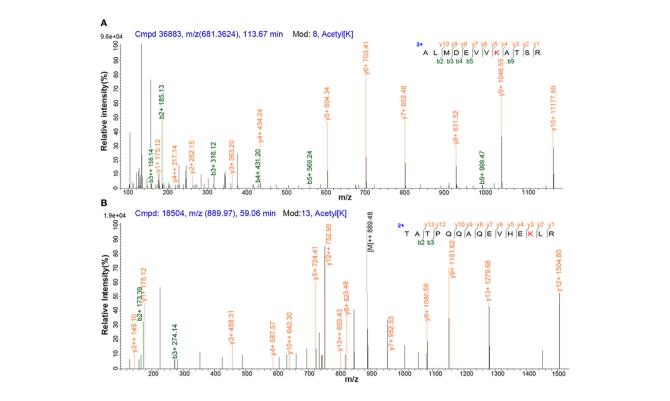


FIGURE 1 | MS/MS spectrum of the tryptic peptide. (A) The tryptic peptide ALMDEVVK\*ATSR from PGK1. (B) The tryptic peptide TATPQQAQEVHEK\*LR from triosephosphate isomerase.  $K^* =$  acetylated lysine residue.

referred to 83 and 70 acetylated peptides, respectively. These two types of acetylation motifs had different abundances, which together accounted for 30.5% [(83 + 70)/501] of the identified acetylated peptides (**Figures 4B, C**). It indicates that the residue K within motifs EK and KR is prone to be acetylated in NF-PitNETs.

### Functional Characteristics of DAPs in NF-PitNETs

The functional characteristics of DAPs in NF-PitNETs were analyzed with GO enrichment analysis, including BPs, MFs, and CCs. (i) For BPs, a total of 97 BPs was identified, covering almost all cellular biological processes, including gene expression, metabolism, cell-cell (matrix) adhesion, apoptosis, and immune system regulation (Supplementary Table 4). In the aspect of gene expression, those DAPs participated in nucleosome assembly, adenine transport, translation, epigenetics, and post-translational processing and secretion of proteins. In the aspect of metabolism, those DAPs mainly participated in the metabolism of glucose and amino acid, and oxidative phosphorylation to yield ATPs. In the aspect of immune system regulation, those DAPs participated in B cell activation, macrophage phagocytosis, and response to interleukin-4. In addition, DAPs participated in the response to reactive oxygen species, catabolism of superoxide, and affect cellular detoxification process. (ii) For MFs, those DAPs also had extensive MFs (Supplementary Table 5). Those DAPs were able to bind mRNA, ADP, NADP, oxygen, cell adhesion molecule, kinds of proteins, enzymes, and receptors, and exert their functions in translation, energy yield, oxygen transport, cell adhesion, proteins processing, cell signal transduction, immune regulation, and catalyzing many kinds of enzyme activities such as oxidoreductase and ubiquitin protein ligase. (iii) For CCs, those DAPs played their roles in different positions. Those DAPs located in almost everywhere in cell, including nucleus, cytoplasm, plasma membrane, and organelles such as mitochondrion, endoplasmic reticulum, and peroxisome. They were also distributed in extracellular region such as cell-cell adherens junction (Supplementary Table 6).

Cluster analysis grouped BPs, MFs, CCs, and KEGG pathways enriched from DAPs into 14 functional clusters (**Figure 5**, **Supplementary Table 7**), including 3 clusters related to biosynthesis, metabolism, and energy yield (Clusters 2-4), 4 clusters related to gene expression (Clusters 6, 8, 11, and 12), 2 clusters related to oxygen transport, and oxidant detoxification in cell (Clusters 5, 9), 1 cluster related to protein location and apoptosis (Cluster 14), 1 cluster related to cell adhesion (Cluster 1), 1 cluster related to immune system regulation (cluster 13), and clusters 7 and 10 related to blood coagulation and movement of cells or muscle, respectively (**Figure 6**).

## Acetylation-Mediated Signaling Pathways in NF-PitNETs

A total 18 statistically significant signaling pathways was identified to involve DAPs with KEGG pathway enrichment

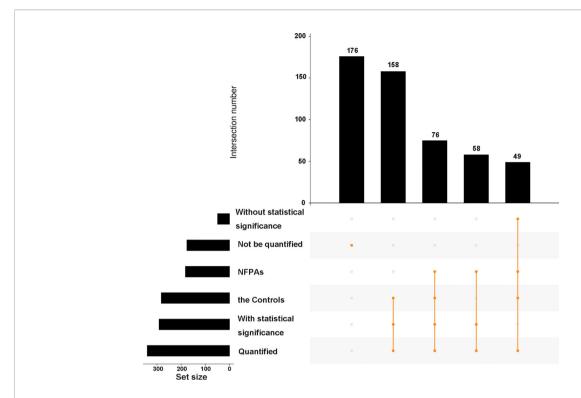


FIGURE 2 | An upset plot showing the overview of 517 identified acetylation sites in 296 proteins in NF-PitNETs relative to controls. All of the identified acetylation sites were classified into 6 groups according to whether quantified or not, whether with statistical significance or not and different sample sources. The horizontal bar chart on the left-hand side that shows the number of acetylation sites identified in NF-PitNET group, control group, statistically significant group, non-statistically significant group, quantified group, and non-quantified group. The intersection matrix in the center of the plot consists of rows that correspond to different groups, and columns that correspond to the intersection sets. The intersections between corresponding groups are presented as vertically connected filled orange circles (exclusive intersections sets). The top vertical bar chart shows the intersection size, the height of which represents the total number of acetylation sites included in the intersection. NFPAs = NF-PitNETs.

analysis (**Figure 7**, **Supplementary Table 8**). Of them, 9 pathways were associated with metabolism and energy yield, 3 associated with nervous system diseases, 3 associated with infectious diseases, 1 was about anti-infection, 1 was about cellular oxidant detoxification, and 1 was about complement and coagulation.

The pathways about metabolism and energy yield included carbon metabolism, glycolysis/gluconeogenesis, pyruvate metabolism, citrate cycle (TCA cycle), glyoxylate and dicarboxylate metabolism, metabolic pathways, biosynthesis of amino acids, oxidative phosphorylation, and valine, leucine and isoleucine degradation. (i) Carbon metabolism consisted of one-carbon metabolism and central carbon metabolism (Supplementary Figure S1.1). One-carbon metabolism integrates carbon units from amino acids to generate proteins, nucleotides, and lipids, maintain redox status, and provide substrates for methylation reactions (28). Central carbon metabolism, including glycolysis, TCA cycle, and pentose phosphate pathway, is essential to gain energy from carbohydrate, and provide precursors for many biosynthetic pathways (29). This study found that acetylation mainly occurred at the enzymes that were enriched on the central carbon metabolism, including acetylation at residues K89, K5, K228, K71, and K262 (only acetylated in controls) in alpha-enolase (ID: P06733), K77

(only acetylated in NF-PitNETs) in mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559), K135, and K89 (only acetylated in controls) in pyruvate kinase PKM (ID: P14618), K7 (ratio of T/N = 0.58, p = 1.52E-02) in transaldolase (ID: P37837), K194 (ratio of T/N = 1.74, p = 4.24E-03), and K117 (ratio of T/N =0.25, p = 2.50E-02) in glyceraldehyde-3phosphate dehydrogenase (ID: P04406), K81 (ratio of T/N =5.38, p = 3.75E-03) in cytoplasmic isocitrate dehydrogenase [NADP] (ID: O75874), K314 (ratio of T/N =2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925), K7 (only acetylated in controls) in alcohol dehydrogenase class-3 (ID: P11766), K39 (ratio of T/N =0.06, *p*=7.09E-04) in phosphoglycerate mutase 1 (ID:P18669), K302 (ratio of T/N=1.71, p=8.69E-03) in mitochondrial aspartate aminotransferase (ID: P00505), K291, K146, K156 and K361 (only acetylated in controls) in phosphoglycerate kinase 1 (ID: P00558), K538 (only acetylated in NF-PitNETs) mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K231 (only acetylated in controls), and K225 (ratio of T/N=0.44, p=3.28E-04) in triosephosphate isomerase (ID: P60174), K169 (only acetylated in controls) in catalase (ID: P04040), K330 and K200 (only acetylated in controls) in fructose-bisphosphate aldolase

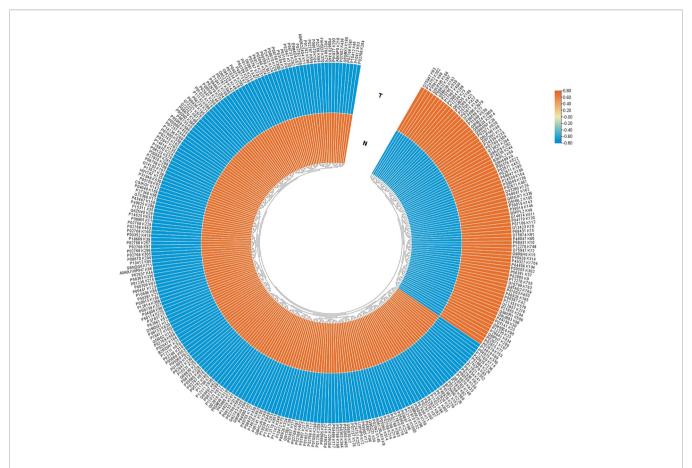
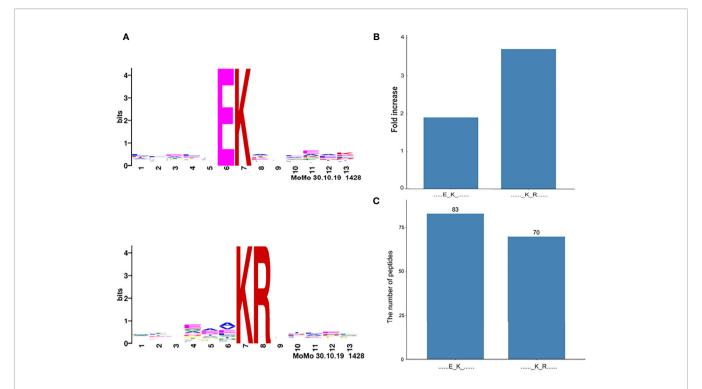


FIGURE 3 | Acetylation quantification heatmap of differentially acetylated lysine sites at proteins in NF-PitNETs and control tissues. "K" means the lysine site. The protein name is able to be got according to the accession number. Orange means increased acetylated level, and blue means decreased acetylated level.

A (ID: P04075), K202 (ratio of T/N=4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02), and K124 (only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752), and K267 (ratio of T/N =1.95, p = 1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622). The acetylation level of most lysine residues at these enzymes decreased in NF-PitNETs. (ii) Most microbe and mammalian cells depend on glycolysis to convert glucose into lactate, and produce energy in the absence of oxygen. However, most tumor cells uptake more glucose, and produce more lactate even in the presence of oxygen even though mitochondria function well, which is noted as aerobic glycolysis, or Warburg effect (30). Gluconeogenesis converts lactate or amino acids to glucose, which is the reverse pathway of glycolysis in essence (31) (Supplementary Figure S1.2). This study found that acetylation occurred at glycolysis/gluconeogenesis-related enzymes, including acetylation at residues K30 (only acetylated in NF-PitNETs) in 4trimethylaminobutyraldehyde dehydrogenase (ID: P49189), K89, K5, K228, K71, and K262 (only acetylated in controls) in alphaenolase (ID: P06733), K77 (only acetylated in NF-PitNETs) in mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559), K135, and K89 (only acetylated in controls) in pyruvate kinase PKM (ID: P14618),

K194 (ratio of T/N =1.74, p = 4.24E-03), and K117 (ratio of T/ N=0.25, p=2.50E-02) in glyceraldehyde-3-phosphate dehydrogenase (ID: P04406), K7 (only acetylated in controls) in alcohol dehydrogenase class-3 (ID: P11766), K39 (ratio of T/ N=0.06, *p*=7.09E-04) in phosphoglycerate mutase 1 (ID: P18669), K291, K146, K156, and K361 (only acetylated in controls) in phosphoglycerate kinase 1 (ID: P00558), K231 (only acetylated in controls), and K225 (ratio of T/N=0.44, p=3.28E-04) in triosephosphate isomerase (ID: P60174) and K330 and K200 (only acetylated in controls) in fructose-bisphosphate aldolase A (ID: P04075), and K267 (ratio of T/N =1.95, p = 1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622). The acetylation levels at more than 4/5 lysine residues in these enzymes enriched in glycolysis/gluconeogenesis pathways were decreased in NF-PitNETs, which might result in the convert of glycolysis to the aerobic glycolysis in NF-PitNETs, and further affect tumor progression. (iii) Pyruvate, the end product of glycolysis, is reduced to lactate in cytoplasm or transport into mitochondria to enter TCA cycle for full oxidation for ATP production, and sit at the switch point between these two important carbohydrate metabolism pathways (Supplementary Figure S1.3) (32, 33). Pyruvate kinase (ID: P14618) is the rate-limiting enzyme at the last step of glycolysis to catalyze phosphoenolpyruvate to pyruvate.



**FIGURE 4** | Acetylation motifs of proteins in pituitaries (*Homo sapiens*). **(A)** Two acetylation motifs of proteins predicted with acetylated peptides. The central K stands for the acetylated lysine residue sites in proteins. The size of each letter is related to the frequency of amino acid residues occurring at that position. **(B)** The increased fold-change of each acetylation motif. **(C)** The number of acetylated peptides in each motif. K\* = acetylated lysine residue.

Its acetylation levels at residues K135 and K89 were decreased in NF-PitNETs (only acetylated in controls) in this study. Mitochondrial dihydrolipoyl dehydrogenase (ID: P09622) and mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559) are two components to form pyruvate dehydrogenase complex, which is able to catalyze pyruvate to acetyl-CoA for entering TCA cycle. Their acetylation levels at corresponding residues K267 (ratio of T/N=1.95, p=1.42E-04) and K77 (only acetylated in NF-PitNETs) were increased in NF-PitNETs in this study. In addition, this study found acetylation occurred at other enzymes enriched on pyruvate metabolism pathway, including acetylation at residues K30 (only acetylated in NF-PitNETs) in 4-trimethylaminobutyraldehyde dehydrogenase (ID: P49189), K314 (ratio of T/N =2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925), and K202 (ratio of T/ N=4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02) and K124 (only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752). (iv) TCA cycle is the final metabolic pathway of carbohydrates, lipids, and amino acids, which is the central route for cellular oxidative phosphorylation and provides precursors for many anabolic pathways (34) (Supplementary Figure S1.4). TCA cycle occurs in mitochondria. This study found that acetylation occurred at the four enzymes residing in mitochondria, including acetylation at residues K77 (only acetylated in NF-PitNETs) in mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559), K314 (ratio of T/N =2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K267 (ratio of T/N=1.95, p=1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622), and K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040). The acetylation levels at most lysine residues in these enzymes were increased in NF-PitNETs. In addition, this study found acetylation occurred at other cytoplasmic enzymes enriched on TCA cycle, including acetylation at residues at K81 (ratio of T/N =5.38, p=3.75E-03) in cytoplasmic isocitrate dehydrogenase [NADP] (ID: O75874), and K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925). (v) Glyoxylate is a highly toxic substance because it is able to be rapidly oxidized to oxalate that forms insoluble crystals with calcium, which precipitates in various organs, especially the kidneys to cause renal failure. Therefore, glyoxylate metabolism in human mainly referred to glyoxylate detoxification (35). Dicarboxylate, also called dicarboxylic acids, included oxaloacetic acid, malic acid, and aspartic acid, which participated in many metabolism pathway such as ω-oxidation of fatty acids, TCA cycle, etc. (36) (Supplementary Figure S1.5). This study found that acetylation occurred at glyoxylate and dicarboxylate metabolism-related molecules, including acetylation at residues K314 (ratio of T/N =

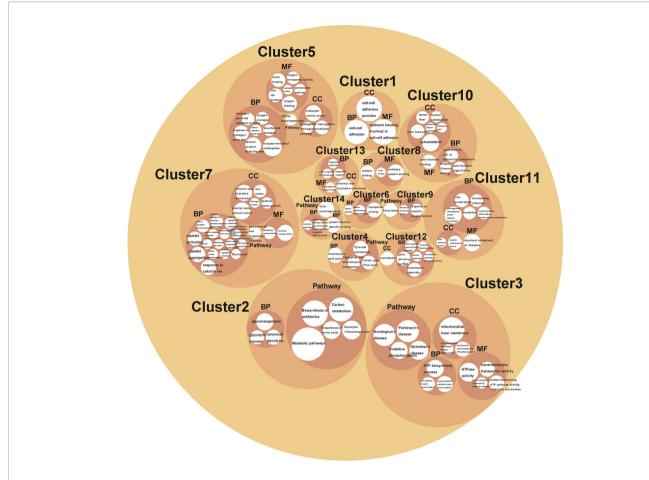


FIGURE 5 | A circle packing chart showing the cluster analysis results. The white circle size is associated to the count of genes enriched on each BP, MF, CC, or pathway. The meaning that each circle represented is annotated in or nearby the circle.

2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925), K169 (only acetylated in controls) in catalase (ID: P04040), K202 (ratio of T/N = 4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02), and K124 (only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752), and K267 (ratio of T/N =1.95, p=1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622). (vi) Valine, leucine and isoleucine, also known as branched-chain amino acids, are essential amino acids that have to be derived from diet, which can be oxidized in skeletal muscle for energy supply when exercise, and are also preferentially used by many tumor cells for protein synthesis and energy purposes. It is reported that many enzymes that catalyzed the degradation of valine, leucine and isoleucine were overexpressed in many cancers (37-40) (Supplementary Figure S1.6). This study found acetylation occurred at valine, leucine and isoleucine degradationrelated molecules, including acetylation at residues K48 (ratio of T/ N=2.97, p=6.90E-03) in mitochondrial hydroxymethylglutaryl-CoA lyase (ID: P35914), K294 (only acetylated in NF-PitNETs)

in mitochondrial 2-oxoisovalerate dehydrogenase subunit beta (ID: P21953), K30 (only acetylated in NF-PitNETs) in 4trimethylaminobutyraldehyde dehydrogenase (ID: P49189), K204 (only acetylated in NF-PitNETs) in mitochondrial methylglutaconyl-CoA hydratase (ID: Q13825), K202 (ratio of T/ N=4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02), and K124 (only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752), and K267 (ratio of T/N=1.95, p=1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622). The acetylation levels of most lysine residues at enzymes enriched in the valine, leucine and isoleucine degradation pathway increased in NF-PitNETs. (vii) Oxidative phosphorylation is the primary pathway for ATP synthesis and responsible for setting and maintaining metabolic homeostasis (41). It is reported that oxidative phosphorylation levels were abnormally altered in many cancers (42, 43) (Supplementary Figure S1.7). Except the decreased acetylation levels of residues K86 (ratio of T/N=0.36, p=7.61E-04) in mitochondrial cytochrome c oxidase subunit 5B (ID: P10606) and K21 (ratio of T/N=0.38, P=2.11E-03) in mitochondrial ATP synthase subunit epsilon (ID: P56381), the acetylation levels of all other lysine residues in enzymes enriched in

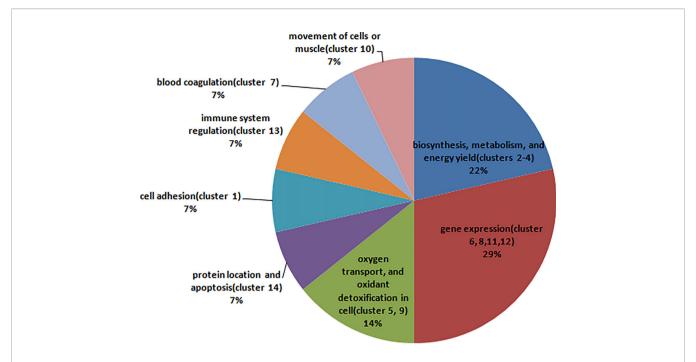


FIGURE 6 | The pie chart shows the main biological function that each cluster involved in, and the percentage that the count of the clusters involved in this biological function accounted for all clusters.

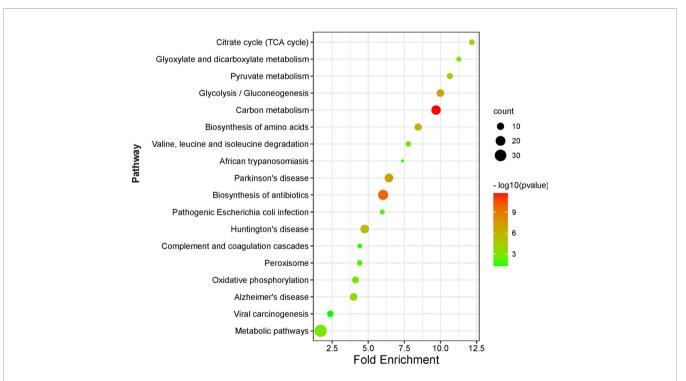


FIGURE 7 | KEGG pathway enrichment analysis of DAPs between NF-PitNETs and the controls. The Y axis shows different pathway terms, the X axis shows fold enrichment. Fold enrichment is calculated as followed: Generality Generality Generality Generality Represents the number of hits in the selected pathway; Generality Generality Represents the number of genes in the selected pathway of KEGG background; Hitstotal is the number of total hits in all pathways; Generality Represents the number of total genes in all pathways of KEGG background. The circle size represents the count of genes enriched on the pathway. The circle color shows the -log10(p value) of the pathway.

oxidative phosphorylation pathway were increased in NF-PitNETs, including residues K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K233, K154, and K244 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase F(0) complex subunit B1 (ID: P24539), K522 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit beta (ID: P06576), K60 (ratio of T/N=3.79, p=4.00E-02), K199 and K162 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit O (ID: P48047), K136 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit delta (ID: P30049), K539 (ratio of T/N=1.77, p=4.68E-02), and K427 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit alpha (ID: P25705), K63 (ratio of T/N=5.48, p=9.47E-05), and K72 (ratio of T/N= 2.94, p=2.02E-03) in mitochondrial ATP synthase subunit d (ID: O75947), and K37 (ratio of T/N=1.61, p=1.19E-02) in mitochondrial ATP synthase subunit epsilon (ID: P56381). (viii) Metabolic pathway involves many interconnected cellular pathways that ultimately provide cells with energy required to execute their function (44). In cancer, oncogene activation and tumor suppressor loss promote metabolic reprogramming, and cause enhanced nutrient uptake to supply malignant cell energetic and biosynthetic pathways (45). Therefore, metabolic pathway alteration, in another word, metabolic reprogramming, might also be key for NF-PitNETs tumorigenesis and progression (Supplementary Figure S1.8). This study found that metabolic pathway enriched the largest number of DAPs (n = 34), and acetylation occurred at the metabolic pathway-related molecules, including acetylation at residues K89, K5, K228, K71, and K262 (only acetylated in controls) in alpha-enolase (ID: P06733), K77 (only acetylated in NF-PitNETs) in mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559), K135, and K89 (only acetylated in controls) in pyruvate kinase PKM (ID: P14618), K7 (ratio of T/N=0.58, p=1.52E-02) in transaldolase (ID: P37837), K194 (ratio of T/N=1.74, p=4.24E-03), and K117 (ratio of T/N=0.25, p=2.50E-02) in glyceraldehyde-3phosphate dehydrogenase (ID: P04406), K81 (ratio of T/N=5.38, p=3.75E-03) in cytoplasmic isocitrate dehydrogenase [NADP] (ID: O75874), K314 (ratio of T/N =2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925), K7 (only acetylated in controls) in alcohol dehydrogenase class-3 (ID: P11766), K39 (ratio of T/ N=0.06, p=7.09E-04) in phosphoglycerate mutase 1 (ID: P18669), K302 (ratio of T/N=1.71, p=8.69E-03) in mitochondrial aspartate aminotransferase (ID: P00505), K291, K146, K156 and K361 (only acetylated in controls) in phosphoglycerate kinase 1 (ID: P00558), K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K231(only acetylated in controls), and K225 (ratio of T/N=0.44, p=3.28E-04) in triosephosphate isomerase (ID: P60174), and K330 and K200 (only acetylated in controls) in fructose-bisphosphate aldolase A (ID: P04075), K202 (ratio of T/N =4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02), and K124 (only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752), K267 (ratio of T/N=1.95, p=1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622), K63 (ratio of T/N= 5.48, p=9.47E-05), and K72 (ratio of T/N= 2.94, p=2.02E-03) in mitochondrial ATP synthase subunit d (ID: O75947), K30 (only acetylated in NF-PitNETs) in 4-trimethylaminobutyraldehyde dehydrogenase (ID: P49189), K539 (ratio of T/N=1.77, p=4.68E-02 ), and K427 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit alpha (ID: P25705), K233, K154, and K244 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase F (0) complex subunit B1 (ID: P24539), K522 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit beta (ID: P06576), K60 (ratio of T/N = 3.79, p= 4.00E-02), K199 and K162 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit O (ID: P48047), K86 (ratio of T/N=0.36, p=7.61E-04) in mitochondrial cytochrome c oxidase subunit 5B (ID: P10606), K37 (ratio of T/N=1.61, p=1.19E-02), and K21 (ratio of T/N=0.38, p=2.11E-03) in mitochondrial ATP synthase subunit epsilon (ID: P56381), K294 (only acetylated in NF-PitNETs) in mitochondrial 2-oxoisovalerate dehydrogenase subunit beta (ID: P21953), K26 (only acetylated in controls) in nucleoside diphosphate kinase A (ID: P15531), K70 (ratio of T/N=13.46, p = 1.97E-03) in mitochondrial NAD(P) transhydrogenase (ID: Q13423), K1704 (ratio of T/N = 2.28, p = 6.37E-03) in fatty acid synthase (ID: P49327), K189 (only acetylated in NF-PitNETs) in mitochondrial monofunctional C1-tetrahydrofolate synthase (ID: Q6UB35), K419 (ratio of T/N=13.46, p=5.17E-03) in retinal dehydrogenase 1 (ID: P00352), K48 (ratio of T/N=2.97, p=6.90E-03) in mitochondrial hydroxymethylglutaryl-CoA lyase (ID: P35914), K204 (only acetylated in NF-PitNETs) in mitochondrial methylglutaconyl-CoA hydratase (ID: Q13825), and K136 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit delta (ID: P30049). These acetylated proteins and acetylation sites involved in the metabolic pathway would expand the research data for the study of NF-PitNETs pathogenesis. (ix) Biosynthesis of amino acids is a crucial process constructing the precursor of proteins to participate in vital movement. The deregulated catabolism/ anabolism of amino acids, especially serine, glutamine and glycine, were reported to function as metabolic regulators in promoting cancer cell growth (46) (Supplementary Figure S1.9). The acetylated proteins enriched in this pathway mainly consisted of enzymes involved in glycolysis, which were able to catalyze syntheses of 3-hosphoglycerate, phosphoenolpyruvate, and pyruvic acid, and provided carbon skeleton for serine, tyrosine and alanine, etc. This study found acetylation occurred at the enzymes involved in biosynthesis of amino acids, including acetylation at residues K89, K5, K228, K71, and K262 (only acetylated in controls) in alpha-enolase (ID: P06733), K135, and K89 (only acetylated in controls) in pyruvate kinase PKM (ID: P14618), K7 (ratio of T/N=0.58, p=1.52E-02) in transaldolase (ID: P37837), K194 (ratio of T/N=1.74, p=4.24E-03), and K117 (ratio of T/N=0.25, p=2.50E-02) in glyceraldehyde-3-phosphate dehydrogenase (ID: P04406), K81 (ratio of T/N=5.38, p=3.75E-03) in cytoplasmic isocitrate dehydrogenase [NADP] (ID: O75874), K39 (ratio of T/N =0.06, p=7.09E-04) in phosphoglycerate mutase 1 (ID: P18669), K302 (ratio of T/N=1.71, p=8.69E-03) in mitochondrial aspartate aminotransferase (ID: P00505), K291, K146, K156 and K361

(only acetylated in controls) in phosphoglycerate kinase 1 (ID: P00558), K231 (only acetylated in controls), and K225 (ratio of T/N=0.44, p=3.28E-04) in triosephosphate isomerase (ID: P60174), and K330 and K200 (only acetylated in controls) in fructose-bisphosphate aldolase A (ID: P04075).

Three pathways associated with nervous system diseases were Parkinson's disease pathway, Huntington's disease pathway, and Alzheimer's disease pathway. The acetylated proteins enriched in these three pathways were mainly enzymes involved in metabolism and energy yield, which indicated that the acetylation mainly occurred at enzymes, and their alterations might result in extensive influence in metabolism by various pathway. (i) This study found acetylation occurred at the Parkinson's disease pathway-related molecules (Supplementary Figure S1.10), including acetylation at residues K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K63 (ratio of T/N=5.48, p=9.47E-05), and K72 (ratio of T/N=2.94, p=2.02E-03) in mitochondrial ATP synthase subunit d (ID: O75947), K539 (ratio of T/N=1.77, p=4.68E-02), and K427 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit alpha (ID: P25705), K233, K154, and K244 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase F(0) complex subunit B1 (ID: P24539), K522 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit beta (ID: P06576), K60 (ratio of T/N=3.79, p=4.00E-02), K199 and K162 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit O (ID: P48047), K86 (ratio of T/N=0.36, p=7.61E-04) in mitochondrial cytochrome c oxidase subunit 5B (ID: P10606), K37 (ratio of T/N=1.61, p=1.19E-02), and K21 (ratio of T/N=0.38, p=2.11E-03) in mitochondrial ATP synthase subunit epsilon (ID:P56381), and K136 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit delta (ID: P30049), K195 (only acetylated in controls) in ubiquitin carboxyl-terminal hydrolase isozyme L1 (ID: P09936), K72 (only acetylated in controls), and K427 (only acetylated in NF-PitNETs) in voltage-dependent anion-selective channel protein 2 (ID: P45880), K23 (only acetylated in NF-PitNETs) in ADP/ATP translocase 3 (ID: P12236), K23 (only acetylated in NF-PitNETs) in ADP/ATP translocase 1 (ID: P12235), K62 (only acetylated in controls) in protein deglycase DJ-1 (ID: Q99497), and K23 (only acetylated in NF-PitNETs) ADP/ATP translocase 2 (ID: P05141). (ii) This study found acetylation occurred at the Huntington's disease pathway-related molecules (Supplementary Figure S1.11), including acetylation at residues K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K63 (ratio of T/N=5.48, p=9.47E-05), and K72 (ratio of T/N= 2.94, p=2.02E-03) in mitochondrial ATP synthase subunit d (ID: O75947), K539 (ratio of T/N=1.77, p=4.68E-02), and K427 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit alpha (ID: P25705), K233, K154, and K244 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase F(0) complex subunit B1 (ID: P24539), K522 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit beta (ID: P06576), K60 (ratio of T/N=3.79, p=4.00E-02), K199 and K162 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit O (ID: P48047), K86 (ratio of T/N=0.36, p=7.61E-04) in mitochondrial cytochrome c oxidase subunit 5B (ID: P10606), K37 (ratio of T/N=1.61, p=1.19E-02), and K21 (ratio of T/N=0.38, p=2.11E-03) in mitochondrial ATP synthase subunit epsilon (ID: P56381), and K136 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit delta (ID: P30049), K72 (only acetylated in controls), and K427 (only acetylated in NF-PitNETs) in voltage-dependent anion-selective channel protein 2 (ID: P45880), K23 (only acetylated in NF-PitNETs) in ADP/ATP translocase 3 (ID: P12236), K23 (only acetylated in NF-PitNETs) in ADP/ATP translocase 1 (ID: P12235), K23 (only acetylated in NF-PitNETs) ADP/ATP translocase 2 (ID: P05141), K123 (ratio of T/N=0.30, p=1.38E-03) in superoxide dismutase [Cu-Zn] (ID: P00441), and K130 (only acetylated in NF-PitNETs) in mitochondrial superoxide dismutase [Mn] (ID: P04179). (iii) This study found acetylation occurred at the Alzheimer's disease pathway-related molecules (Supplementary Figure S1.12), including acetylation at residues K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K63 (ratio of T/N=5.48, p=9.47E-05), and K72 (ratio of T/N=2.94, p=2.02E-03) in mitochondrial ATP synthase subunit d (ID: O75947), K539 (ratio of T/N=1.77, p=4.68E-02), and K427 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit alpha (ID: P25705), K233, K154, and K244 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase F (0) complex subunit B1 (ID: P24539), K522 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit beta (ID: P06576), K60 (ratio of T/N=3.79, p=4.00E-02), K199 and K162 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit O (ID: P48047), K86 (ratio of T/N=0.36, p=7.61E-04) in mitochondrial cytochrome c oxidase subunit 5B (ID: P10606), K37 (ratio of T/N=1.61, p=1.19E-02), and K21 (ratio of T/N=0.38, p=2.11E-03) in mitochondrial ATP synthase subunit epsilon (ID: P56381), and K136 (only acetylated in NF-PitNETs) in mitochondrial ATP synthase subunit delta (ID: P30049), K194 (ratio of T/N=1.74, p=4.24E-03), and K117 (ratio of T/N=0.25, p=2.50E-02) in glyceraldehyde-3-phosphate dehydrogenase (ID: P04406), and K133 (only acetylated in controls) in calmodulin (Fragment) (ID: H0Y7A7).

Three pathways pathogenic *Escherichia Coli* infection pathway, viral carcinogenesis, and African trypanosomiasis were associated with infectious diseases. (i) The acetylated proteins enriched in pathogenic *Escherichia Coli* infection pathway extensively existed in cytoplasm and nucleus. Tubulin, actin, and ezrin constituted cytoskeleton, maintained cell morphology and motility, and regulated cell cycle or cell-cell adhesion. Nucleolin participated in the cleavage of rRNA precursors, DNA replication, and cell cycle regulation (47) (**Supplementary Figure S1.13**). This study found that acetylation occurred at the pathogenic *Escherichia Coli* infection-related molecules, including acetylation at residues K449 (only acetylated in controls) in nucleolin (ID: P19338), K440 (only acetylated in controls) in tubulin alpha-1C chain (ID:

F5H5D3), K61 (ratio of T/N=0.22, p=2.59E-04) and K326 (only acetylated in controls) in actin cytoplasmic 1 (ID: P60709), K394 (ratio of T/N=0.29, p = 2.08E-02) and K336 (ratio of T/N=0.21, p=1.77E-04) in tubulin alpha-1B chain (ID: P68363), and K35 (only acetylated in controls) in ezrin (ID: P15311). (ii) One of proteins enriched in viral carcinogenesis pathway is 14-3-3 protein. 14-3-3 protein has many subtypes, including 14-3-3 protein gamma, theta, and epsilon, and many of them have carcinogenic potential (48–50) (Supplementary Figure S1.14). This study found that acetylation occurred at the viral carcinogenesis-related molecules, including acetylation at residues K135 and K89 (only acetylated in controls) in pyruvate kinase (ID: P14618), K152 (only acetylated in controls) in 14-3-3 protein gamma (ID: P61981), K9 (ratio of T/N=1.60, p=2.36E-02) in histone H4 (ID: P62805), K398 (ratio of T/N=0.40, p=4.72E-02) in alpha-actinin-1 (ID: P12814), K47 (only acetylated in controls) in histone H2B type 1-K (ID: O60814), K68 (only acetylated in controls) in 14-3-3 protein theta (ID: P27348), K150 (only acetylated in controls) in ranspecific GTPase-activating protein (ID: P43487), and K3 and K9 (only acetylated in controls) in 14-3-3 protein zeta/delta (ID: P63104). The acetylation level decreased in 14-3-3 protein in NF-PitNETs, the alteration of which might support NF-PitNETs tumorigenesis. (iii) Human African trypanosomiasis is a potentially fatal disease caused by the Trypanosoma Brucei sp (a kind of parasite) (51) (Supplementary Figure S1.15). This study found that acetylation occurred at the African trypanosomiasis-related molecules, including acetylation at residues K12 (ratio of T/N=0.37, p=4.29E-02) and K91 (ratio of T/N=0.13, p=1.13E-02) in hemoglobin subunit alpha (ID: P69905), K96 (only acetylated in controls) in mutant hemoglobin beta chain (Fragment) (ID: Q9BWU5), K57 (only acetylated in controls) in hemoglobin alpha-1 globin chain (Fragment) ID: E9M4D4), K12 (ratio of T/N=0.37, p =4.29E-02) in alpha globin chain (Fragment) (ID: U3PXP0), K96 (ratio of T/N=0.54, p=2.14E-02) in hemoglobin subunit beta (ID: P68871), K157 (ratio of T/N=0.18, p=3.58E-03), K262, K230, and K206 (only acetylated in controls) in apolipoprotein A-I (ID: P02647), and K17 (ratio of T/N=0.62, p=2.01E-02) in alpha-2 globin chain (ID: D1MGQ2).

In recent years, mammalian immune cells were found to synthesize antibiotics, itaconic acid, from citric acid cycle intermediate, to prevent bacteria from surviving in cells (52) (Supplementary Figure S1.16). All proteins enriched in biosynthesis of antibiotic pathway were also enriched in the nine metabolism and energy yield pathways. This study found that acetylation occurred at the biosynthesis of antibioticsrelated molecules, including acetylation at residues K89, K5, K228, K71, and K262 (only acetylated in controls) in alphaenolase (ID: P06733), K77 (only acetylated in NF-PitNETs) in mitochondrial pyruvate dehydrogenase E1 component subunit alpha (somatic form) (ID: P08559), K26 (only acetylated in controls) in nucleoside diphosphate kinase A (ID: P15531), K135, and K89 (only acetylated in controls) in pyruvate kinase PKM (ID: P14618), K7 (ratio of T/N=0.58, p=1.52E-02) in transaldolase (ID: P37837), K194 (ratio of T/N=1.74, p=4.24E- 03), and K117 (ratio of T/N=0.25, p=2.50E-02) in glyceraldehyde-3-phosphate dehydrogenase (ID: P04406), K294 (only acetylated in NF-PitNETs) in mitochondrial 2oxoisovalerate dehydrogenase subunit beta (ID: P21953), K81 (ratio of T/N=5.38, p=3.75E-03) in isocitrate dehydrogenase [NADP] cytoplasmic (ID: O75874), K314 (ratio of T/N=2.71, p=2.20E-02), K185 (only acetylated in NF-PitNETs), K324 and K239 (only acetylated in controls) in mitochondrial malate dehydrogenase (ID: P40926), K298, and K236 (only acetylated in controls) in cytoplasmic malate dehydrogenase (ID: P40925), K7 (only acetylated in controls) in alcohol dehydrogenase class-3 (ID: P11766), K39 (ratio of T/N=0.06, p=7.09E-04) in phosphoglycerate mutase 1 (ID: P18669), K302 (ratio of T/N= 1.71, p=8.69E-03) in mitochondrial aspartate aminotransferase (ID: P00505), K291, K146, K156 and K361 (only acetylated in controls) in phosphoglycerate kinase 1 (ID: P00558), K538 (only acetylated in NF-PitNETs) in mitochondrial succinate dehydrogenase [ubiquinone] flavoprotein subunit (ID: P31040), K231 (only acetylated in controls), and K225 (ratio of T/N=0.44, p=3.28E-04) in triosephosphate isomerase (ID: P60174), K330 and K200 (only acetylated in controls) in fructose-bisphosphate aldolase A (ID: P04075), K202 (ratio of T/N=4.13, p=3.34E-02), K257 (ratio of T/N=0.35, p=1.13E-02), and K124(only acetylated in controls) in mitochondrial acetyl-CoA acetyltransferase (ID: P24752), and K267 (ratio of T/N=1.95, p=1.42E-04) in mitochondrial dihydrolipoylde hydrogenase (ID: P09622), K30 (only acetylated in NF-PitNETs) in 4trimethylaminobutyraldehyde dehydrogenase (ID: P49189), and K169 (only acetylated in controls) in catalase (ID: P04040). The acetylation levels of most of these proteins were decreased in NF-PitNETs.

Peroxisome is consisted of many kinds of oxidases, and contributes to cellular lipid metabolism and redox balance. Peroxisome has ability of detoxification, including removal of superoxide radicals originated from respiratory chain (53). The dysfunction of peroxisome is associated with the development of many cancers (54–56) (**Supplementary Figure S1.17**). This study found that acetylation occurred at the peroxisome complex, including acetylation at residues K48 (ratio of T/N=2.97, p=6.90E-03) in mitochondrial hydroxymethylglutaryl-CoA lyase (ID: P35914), K81 (ratio of T/N=5.38, p=3.75E-03) in isocitrate dehydrogenase [NADP] cytoplasmic (ID: O75874), K130 (ratio of T/N=0.31, p=3.31E-03), and K169 (only acetylated in controls) in peroxiredoxin-1 (ID: Q06830), K169 (only acetylated in controls) in catalase (ID: P04040), K123 (ratio of T/N=0.30, p=1.38E-03) in superoxide dismutase [Cu-Zn] (ID: P00441), and K130 (only acetylated in NF-PitNETs) in mitochondrial superoxide dismutase [Mn] (ID: P04179).

The last pathway was complement and coagulation cascade pathway, and all these DAPs enriched in this pathway were from blood. In the blood circulation, the coagulation system, platelets, complement system, and fibrinolysis system constructed a close and complex network. They activated and regulated each other, and mutually mediated tissue homeostasis and immune monitoring. The deregulation of each cascade system caused clinical manifestations and the progression of different diseases,

such as C3 glomerulonephritis, sepsis, and systemic lupus erythematosus (57) (**Supplementary Figure S1.18**). This study found that acetylation occurred at the complement and coagulation cascade-related molecules, including acetylation at residues K148, K620, K167, and K195 (only acetylated in NF-PitNETs) in fibrinogen alpha chain (ID: P02671), K153 (only acetylated in NF-PitNETs) and K231 (only acetylated in controls) in fibrinogen gamma chain (ID: P02679), K77 (only acetylated in NF-PitNETs) in fibrinogen beta chain (ID: P02675), K298 (ratio of T/N=0.07, *p*=2.05E-03) and K153 (only acetylated in controls) in alpha-1-antitrypsin (ID: P01009), and K1176 (only acetylated in controls) in alpha-2-macroglobulin (ID: P01023).

## Integration of Acetylomics and Ubiquitinomics Data in NF-PitNETs *Versus* Controls

A total of 15 lysine sites within 14 proteins was modified by both acetyl group and ubiquitin (Table 1). Of them, histone H2A type 1 (P04908), histone H2A (A0A0U1RRH7), and histone H2B (B4DR52) were histone to constitute nucleosome, whose main molecular functions were DNA binding and protein heterodimerization, histone H2A type 1 (P04908) and histone H2A (A0A0U1RRH7) maintained the structure of chromatin and their silence repressed transcription (58). Histone H2A type 1 (P04908) negatively regulated cell proliferation. Epididymis luminal protein 112 (B2RDW1) had two lysine sites that were both acetylated and ubiquitinated, which contributed to form the complex structure of ribosome and participated in translation - a cellular metabolic process to form proteins. Vimentin (P08670) attached to the nucleus, mitochondria, and endoplasmic reticulum was found in various cells, especially mesenchymal cells. Vimentin (P08670) had extensive molecular functions; for example, vimintin (P08670) bound scaffold proteins to activate and localize signaling components to specific areas of cell (59, 60), also participated in SMAD protein signal transduction that was the key step of TGF-β pathway regulating cell proliferation, differentiation, migration, and death (61). Ubiquitin carboxylterminal hydrolase (D6R956) facilitated protein deubiquitination to affect protein catabolism (62). Vesicle-associated membrane protein 2 (L7N2F9) mediated membrane fusion, which was a basic step of many biological processes, such as neurotransmitter transmission and antigen presenting. Growth hormone A1 (Q5I0G2) was coded by PRL gene, and regulated the hormone activity. Actin cytoplasmic 1 (P60709) localized in the cytoplasm and nucleus, and participated in cytoskeleton formation, cell motility, gene transcription, and repair of damaged DNA (63, 64). Tubulin alpha-1C chain 1 (F5H5D3) was a member of tubulin superfamily, and played functions in cytoskeleton maintaining and spindle fiber constitution in mitosis. Alpha-2 globin chain (D1MGQ2), hemoglobin subunit beta (P68871), hemoglobin subunit alpha (P69905), and hemoglobin subunit delta (P02042) were the parts of hemoglobin, and played roles in oxygen transport, hemoglobin formation, and cellular oxidant

detoxification. Thereby, these proteins that were both acetylated and ubiquitinated at the same site in NF-PitNETs were involved in multiple biological processes, including gene expression, protein metabolic process, cell motility, oxygen transport, and hemostatic process. Furthermore, comparative analysis of these proteins (D1MGQ2, P6887, P04908, P60709, L7N2F9, F5H5D3, B2RDW1, and Q5I0G2), which were quantified with statistically significant ratio of T/N in both acetylomics and ubiquitinomics data, found that their acetylation levels were decreased but their ubiquitination levels were increased in NF-PitNETs, which showed the competitive characteristics of acetylation and ubiquitination at the lysine site in a protein in NF-PitNETs.

## Integration of Acetylomics Data and Invasive Transcriptomics Data in NF-PitNETs *Versus* Controls

A total of 26 overlapped molecules was identified between DAP data and invasive DEG data to investigate the effect of acetylation on the invasive behavior of NF-PitNETs (Figure 8; Table 2). These overlapped molecules (DAPs; Invasive DEGs) were enriched in eight statistically significant KEGG signaling pathways, including glycolysis/gluconeogenesis, carbon metabolism, oxidative phosphorylation, fructose and mannose metabolism, biosynthesis of amino acids, Parkinson's disease, Alzheimer's disease, and Huntington's disease (Supplementary Table 9). GO analysis revealed that these overlapped molecules were significantly enriched in multiple MFs (Supplementary Table 10), CCs (Supplementary Table 11), and BPs (Supplementary Table 12). For example, TPI1 (triosephosphate isomerase) was located in extracellular space, extracellular exosome, and cytosol, performed protein binding molecular function, and participated in canonical glycolysis, glycolytic process, and gluconeogenesis. Clustering analysis of these KEGG pathways, MFs, CCs, and BPs showed that most overlapped molecules were related to metabolism and energy production (Table 3). Metabolic reprogramming, such as "Warburg effect", had been recognized as a promotion mechanism for tumorigenesis and malignant activity (30, 65), and acetylation regulated the physiological functions of most metabolic enzymes (66). Thereby, the invasiveness of NF-PitNETs might be associated with acetylation-mediated metabolic reprogramming.

#### **Confirmation of DAPs in NF-PitNETs**

A randomly selected DAP - PGK1 was used for further analysis with IP and western blot experiments (**Figure 9**). PGK1 was a down-acetylated protein in NF-PitNETs relative to controls identified with acetylomics. Acetylation at different lysine residues in PGK1 was able to positively or negatively regulate its enzymatic activities, which initiated or altered some signaling pathways, such as metabolism or autophagy, leading to tumor formation or progression (67–69). Acetylated PGK1 functioned in signaling pathways such as glycometabolism, carbon metabolism, and biosynthesis of amino acids. The decreased

**FABLE 1** | The proteins that were simultaneously acetylated and ubiquitinated at the same sites in NF-PitNETs and controls

Accession	Gene	Protein name	Modified peptide	Modified	Modified	Average (N) Average (T)	Average (T)	Ratio	P-value	Accession	Gene	Protein name	Modified peptides	Modified	Modified	Modified	Modified	Ratio	t-test	
O	name			positions	probabilities			(N/L)	(t-test)	No.	name			positions	probabilities	level (N)	level (T)	(N/L)	p-value	
D1MGQ2	HBA2	Alpha-2 globin chain	AAWGKYGAHAGEYGAEALER	17	-	418780000	260586667	0.62	2,01E-02	D1MGQ2	HBA2	Alpha-2 globin chain	AAWGKVGAHAGEYGAEALER	17	-		0000602			
P68871	HB	Hemoglobin subunit beta	GTFATLSELHCDK*LHVDPENFR	96	-	3518300000	1890233333	0.54	2.14E-02	P68871	НВВ	Hemoglobin subunit beta	GTFATLSELHCDK*LHVDPENFR	%	-	18900000	91300000	4.83	1.23E-02	
P04908	HIST1H2AB	Histone H2A type 1-B/E	NDEELNK*LLGR	96	-	18919333	7585433	0.40	1.25E-03	P04908	HIST1H2AB	Histone H2A type 1-B/E	NDEELNK'LLGR	96	-		3450000			
P60709	ACTB	Actin, cytoplasmic 1	DSYVGDEAGSK*R	61	-	107031000	23652333	0.22	2.59E-04	P60709	ACTB	Actin, cytoplasmic 1	DSYNGDEAQSK*R	61	-		2050000			
A0A0U1RRH7	7	Histone H2A	NDEELNK*LLGK	96	-	17805333	3620733	0.20	3.35E-05	A0A0U1RRH7		Histone H2A	NDEELNK*LLGK	96	966.0					
P69905	HBA1	Hemoglobin subunit	TYFPHFDLSHGSAQVK*GHGK	22	-	319430000	425226667	1.33	9.01E-02	P69905	HBA1	Hemoglobin subunit	TYFPHFDLSHGSAQVK*	22	-	1940000	206000000	106.3	5.10E-03	
		alpha										alpha								
D6R956	UCHL1	Ubiquitin carboxyl-	OFEK*NEAIQAAHDAVAQEGQCR	135	-	10472100	7052300	0.67	1.84E-01	D6R956	UCHL1	Ubiquitin carboxyl-	OFEK*NEAIQAAHDAVAQEGQOR	135	-		5860000			
		terminal hydrolase										terminal hydrolase								
P02042	HBD	Hemoglobin subunit	GTFSQLSELHCDK*LHVDPENFR	96	-	2649686667	964430000	96.0	5.16E-01	P02042	HBD	Hemoglobin subunit	GTFSQLSELHCDK*LHVDPENFR	96	-	15700000	20100000	1.28	1.43E-01	
		delta										delta								
P08670	VIM	Vimentin	QVDQLTNDK*AR	168	-	7992500				P08670	VIM	Vimentin	QVDQLTNDK*AR	168	-	1990000	2970000	1.49	2.74E-01	
L7N2F9		Uncharacterized protein	ADALQAGASQFETSAAK*LK	88	-	10451000				L7N2F9		Uncharacterized protein	ADALQAGASQFETSAAK*LK	88	0.876		20100000			
F5H5D3	TUBA1C	Tubulin alpha-1C chain1	VGINYQPPTVVPGGDLAK"VQR	370	-	21804500				F5H5D3	TUBA1C	Tubulin alpha-1C chain1	VGINYQPPTWPGGDLAK*VQR	370	-	22700000	37800000	1.67	1.38E-03	
B2RDW1	RPS30A	Epididymis luminal	TITLEVEPSDTIENVK*AK	27	-	51187500				B2RDW1	RPS30A	Epididymis luminal	TITLEVEPSDTIENVK*AK	27	0.956		19500000			
		protein 112										protein 112								
Q510G2	PRL	Prolactin	AVEIEEQTIK'R	152	-	103715667				Q510G2	PRL	Growth hormone A1	AVEIEEQTK*R	153	-		5210000			
B4DR52		Histone H2B	HAVSEGTK*AVTK	117	-					B4DR52		Histone H2B	HAVSEGTK*AVTK	117	-		47000000			
B2RDW1	RPS30A	Epididymis luminal	IQDK*EGIPPDQQR	33	-					B2RDW1	RPS30A	Epididymis luminal	IQDK*EGIPPDQQR	33	-	2680000	36800000	13.74	9.55E-03	
		protein 112										protein 112								

acetylation level of PGK1 in NF-PitNETs might trigger one switch, such as metabolic reprogramming, to induce pituitary tumorigenesis or NF-PitNET development. It emphasized the potential roles of the decreased acetylation level of PGK1 in the occurrence and development of NF-PitNETs.

#### DISCUSSION

This present study provided the first quantitative profiling of protein acetylation in NF-PitNET tissues. A total of 296 proteins with 517 acetylated sites was identified in NF-PitNETs compared to control pituitaries. The KEGG pathways, MFs, CCs, and BPs enriched with DAPs were clustered into 14 functional categories, which demonstrated that DAPs were widely involved in cellular biological processes and signaling pathways associated with metabolism, gene expression, cell adhesion, and immune system. Among 18 statistically significant KEGG signaling pathways enriched with DAPs, twelve pathways were metabolism-related pathways. Immunoprecipitation and western blotting analysis semi-quantitatively validated that acetyl-PGK1, a protein widely involved in glycometabolism, was decreased in NF-PitNETs. Furthermore, overlapping analysis of acetylomics and ubiquitinomics, and of DAP data and invasive DEGs data, found: (i) proteins that were modified by both acetyl and ubiquitin in NF-PitNETs were involved in nucleosome or ribosome, hemoglobin, prolactin, ubiquitin hydrolase, membrane proteins, and proteins constituting cytoskeleton; and acetylation levels of these proteins were decreased, whereas their ubiquitination levels were increased in NF-PitNETs. (ii) The invasiveness-related acetylated proteins were mainly involved in biological processes and signaling pathways about metabolism and energy yield, which suggested that NF-PitNET invasive behaviors might be acetylationmediated metabolic reprogramming process.

Many tumor cells prefer to provide bioenergetics and growth requirements through glycolysis, rather than oxidative phosphorylation, during tumor growth progression, even with sufficient oxygen and normal mitochondria. Because glycolysis is able to provide sufficient cellular ATPs, and additional important metabolites to support the biosynthetic demands of consecutive cell proliferation (70). It is recognized that PitNETs also displayed very low levels of oxygen consumption, which was similar with other malignant tumors (71). A recent study found that PitNETs presented lactate progressive accumulation in cells, which suggests a bioenergetic metabolic shift from aerobic oxidation towards glycolysis metabolism to make tumor cells adapt to different energy requirements and enhance their survival chances (72). In NF-PitNETs, the vast majority of acetylation levels of lysine residues in proteins were decreased in glycolysis pathway, but increased in aerobic oxidation-related pathways, including TCA cycle and oxidative phosphorylation. This opposite acetylation status presented between glycolysis pathway and aerobic oxidation-related pathways indicated that the altered protein acetylation levels might involve in NF-PitNET metabolic

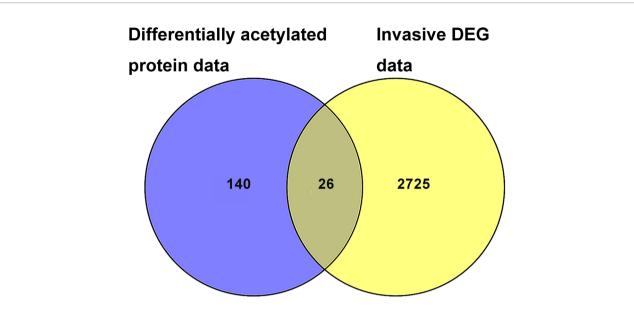


FIGURE 8 | The overlapping analysis between DAP data in NF-PitNETs vs. controls and DEG data in invasive NF-PitNETs vs. controls. The invasive DEG data were mined from the GEO database.

reprogram through changing enzyme activity, stability, or other potential ways to affect NF-PitNET progression. This study found that PGK1 acetylation status was decreased in NF-PitNETs with LC/MS analysis, which was further semi-quantitatively validated with IP in combination with an acetyl-lysine immunoblot. PGK1 functions in glycolysis metabolism, which reversibly catalyzes 1,3diphosphoglycerate to 3-phosphoglycerate, and subsequently transfers a phosphoryl group to ADP, and yields a molecule of ATP. Study found that PGK1 acetylation affected brain tumorigenesis through mediating autophagy and the increased acetylation level of PGK1, which was correlated with the poor prognosis in glioblastoma (73). The PGK1 acetylation was also found to promote its enzymatic activity and liver cancer cell metabolism, and significantly associate with poor prognosis of liver cancer patients (68). Thus, the decreased acetylation level of PGK1 in NF-PitNETs might also affect NF-PitNET tumorigenesis and progression through metabolic reprogramming, autophagy or other underlying mechanisms, but of which detail is needed to be further studied.

The invasive characteristics of NF-PitNETs have been a hot pot for a long time. Invasive PitNETs tend to suffer tumor postoperative residual and re-growth because of cavernous sinus invasion or the internal carotid artery encircling, which is able to cause poor prognosis. However, their underlying invasive mechanism remains unclear (74). This study found 26 molecules were differentially acetylated, and were invasiveness-related DEGs, which were obtained through comparative analysis of DAP data and invasive DEG data. Most of these overlapped molecules (DAPs; Invasive DEGs) mainly functioned in metabolism-associated biological processes and pathways. Thus, it seems reasonable to propose that acetylation-mediated

metabolic reprogramming is associated with NF-PitNET invasive characteristics. Previous study found that metabolic stress, such as oxidative stress or hypoxia, was able to enhance invasiveness, angiogenesis, stemness, and metastatic potential of tumor cells (75). According to previous study, aerobic glycolysis was considered as one metabolic reprogram paradigm that might occur in NF-PitNETs (71, 72). It acidified extracellular matrix (ECM), and subsequently activated matrix metalloproteinase and cathepsin to increase ECM degradation, which paved the way for many basic cell behaviors, including cell migration (76). Some overlapped molecules, such as HSPA8 and GAPDH, were found to be regulated by acetylation and deacetylation and associated with invasiveness of cancers (77-81). The interesting mechanisms that how protein acetylation affects NF-PitNETs metabolic reprogram to enhance its invasiveness are worthy further investigating, which is promised to provide a novel therapeutic target for NF-PitNET radical treatment.

The co-regulation of acetylation and ubiquitination at specific lysine sites in some proteins might affect tumor development, such as Lys382 of p53 and Lys125 of SRSF5 (23, 25). One of mechanisms of acetylation and ubiquitination co-regulation is the direct competition between acetyl and ubiquitin at the same lysine sites to control protein stability. Under the complex of histone deacetylases and E3 (a factor transferring ubiquitin to proteins) actions, the substrate protein lysine sites relieve acetyl and are subsequently ubiquitinated to be degraded by the proteasome. The complex of histone acetyltransferases and ubiquitin-specific proteases in contrast would be free these ubiquitinated lysine residues, and acetylated lysine residues, which protected the target proteins from proteasome-mediated protein degradation and maintained their stability (82). This

TABLE 2 | The overlapped molecules between differentially acetylated protein (DAP) data and invasive DEG data in NF-PitNETs. LogFC = log2(Fold change).

DAP data ii	n NF-PitNETs											DE	G data in ir	nvasive N	F-PitNET	s	
Accession No.	Gene name	Protein name	Modified positions	Modified probabilities	Charge	m/z	Average (N)	Average (T)	Ratio (T/N)	P- value (t-test)	DEG name	logFC	AveExpr	t	p- value	Adjusted p-value	В
P60174	TPI1	Triosephosphate isomerase	225 231	1	2	889.5 880.9	28466667 15229500	12484667	0.44	3.28E- 04	TPI1	1.7746	10.1649	6.8527	5.53E- 05	2.64E-03	2.2279
Q9HCJ6	VAT1L	Synaptic vesicle membrane protein VAT-1 homolog- like	240	1	2	632.3	3193300				VAT1L	-2.8646	8.1336	-4.2145	1.97E- 03	1.87E-02	-1.459 <sup>-</sup>
P02787	TF	Serotransferrin	676	1	2	826.9	7204767				TF	-3.9417	8.8827	-3.5149	5.98E- 03	3.63E-02	-2.5952
			546	1	2	703.9	11366000										
			37	1	3	680.0	18033333										
			60	1	2	793.4	19133000										
			659	1	3	495.6	20861333										
P11142	HSPA8	Heat shock cognate 71 kDa protein	348	1	2	746.9	2893050				HSPA8	1.3891	11.6235	4.6920	9.61E- 04	1.24E-02	-0.7175
		protein	589	1	2	894.4	9957600										
P00738	HP	Haptoglobin	321	1	2	658.8	6138650	2443767	0.40	1.66E- 02	HP	-4.7051	9.9317	-5.5917	2.71E- 04	6.08E-03	0.5913
P05060	CHGB	Secretogranin-1	465	1	3	512.3	12902000	3935700	0.31	2.52E- 02	CHGB	-1.3288	14.5253	-3.2997	8.51E- 03	4.53E-02	-2.9530
		455	1	2	630.8	7019633											
			586	1	2	898.9	7349667										
			482	1	3	927.8	7493900										
			386	1	3	667.6	7725600										
			324	1	3	787.0	15918667										
			159	1	4	631.3	28833333										
			62	1	2	437.3	39083500										
			653	1	2	709.9	41254000										
			228	1	2	902.9	57414333										
			514	1	4	347.2	171960000										
P25705	ATP5A1	ATP synthase subunit alpha, mitochondrial	539	1	2	580.8	30030333	53227000	1.77	4.68E- 02	ATP5A1	1.1189	14.7620	5.8239	1.99E- 04	5.20E-03	0.9097
			427	1	2	553.8		2483867									
P12235	SLC25A4	ADP/ATP translocase 1	23	1	3	695.7		5633800			SLC25A4	1.1105	13.4174	3.9198	3.12E- 03	2.44E-02	-1.9315
Q6UB35	MTHFD1L	Monofunctional C1- tetrahydrofolate synthase, mitochondrial	189	1	2	828.5		1644450			MTHFD1L	-1.9847	6.1122	-4.1324	2.24E- 03	2.01E-02	-1.5898
O60814	HIST1H2BK	Histone H2B type	47	1	2	775.9	14843000				HIST1H2BK	1.2059	11.2191	3.8511	3.48E- 03	2.60E-02	-2.0430

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TABLE 2 | Continued

DAP data ii	n NF-PitNET	s										DE	G data in ii	nvasive N	F-PitNET	s	
Accession No.	Gene name	Protein name	Modified positions	Modified probabilities	Charge	m/z	Average (N)	Average (T)	Ratio (T/N)	P- value (t-test)	DEG name	logFC	AveExpr	t	p- value	Adjusted p-value	В
P01189	POMC	Pro- opiomelanocortin	215	1	3	992.5	14740667				POMC	-7.4874	13.4887	-3.8110	3.71E- 03	2.70E-02	-2.1083
P02768	ALB	Serum albumin	198	1	2	1084.0	21382667	6127633	0.29	3.96E- 03	ALB	1.9536	6.1289	4.5055		1.45E-02	-1.0035
			305	1	2	794.9	212540000	27026667	0.13	1.96E- 03							
			298	1	2	863.9	216883333	26548667	0.12	1.44E- 04							
			97	1	2	987.5	135626333	13136433	0.10	7.11E- 03							
			257	1	2	847.0	87783000	6540100	0.07	1.14E- 03							
			117	1	4	793.8	59130667	3887750	0.07	6.50E- 03							
			160	1	3	940.8	575220000	28546333	0.05	1.68E- 03							
			463	1	2	536.2	69895000	2682550	0.04	1.92E- 02							
			402	1	3	696.4	148740000	5320100	0.04	1.20E- 02							
			597	1	2	620.8	163196667	5693467	0.03	2.67E- 03							
			229	1	2	619.3	284810000	9082167	0.03	8.56E- 05							
			569	1	3	628.3	4536400										
			588	1	2	771.3	13804000										
			499	1	2	754.9	21198333										
			75	1	3	891.1	22158667										
			581	1	2	916.9	26848667										
			264	1	3	967.1	34013000										
			310	0.5	4	1080.5											
			558	1	2	654.4	68081667										
			383	1	3	854.0	68391333										
			219	1	2	630.8	104322667										
			214	1	3	520.9	166796667										
P04406	GAPDH	Glyceraldehyde-3- phosphate dehydrogenase	194	1	2	629.3	57157667	99222000		03	GAPDH	1.0920	14.8930	6.9715	4.82E- 05	2.43E-03	2.3707
			117	1	2	554.3	29715667	7332267	0.25	2.50E- 02							
P01236	PRL	Prolactin	215	1	2	581.3	60229667	13244000	0.22	6.75E- 05	PRL	-11.8908	12.9988	-7.0186	4.56E- 05	2.37E-03	2.4268
			209	1	3	425.6	62347667	6615500	0.11	4.54E- 03							

(Continued)

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TABLE 2 | Continued

DAP data ir	n NF-PitNET	s										DE	G data in i	nvasive N	F-PitNET	s	
Accession No.	Gene name	Protein name	Modified positions	Modified probabilities	Charge	m/z	Average (N)	Average (T)	Ratio (T/N)	P- value (t-test)	DEG name	logFC	AveExpr	t	p- value	Adjusted p-value	В
P06576	ATP5B	ATP synthase subunit beta, mitochondrial	522	1	2	564.8		40025000			ATP5B	1.7318	13.8762	7.3689	3.06E- 05	1.99E-03	2.8353
P08865	RPSA	40S ribosomal protein SA	11	1	2	930.0	2031750				RPSA	-1.1474	12.2183	-5.7994	2.06E- 04	5.28E-03	0.8765
P01242	GH2	Growth hormone variant	198	1	2	648.3	20045333				GH2	-11.4395	7.8750	-30.7801	6.85E- 11	5.16E-07	13.8468
Q6ZN40	TPM1	Tropomyosin 1 (Alpha)	29	1	2	516.3	2542500				TPM1	2.2713	10.5676	7.2960	3.32E- 05	2.05E-03	2.7515
P0DMV8	HSPA1A	Heat shock 70 kDa protein 1A	319	1	2	642.9	5140100				HSPA1A	-1.7989	11.7926	-4.7067	9.40E- 04	1.22E-02	-0.6952
P05109	S100A8	Protein S100-A8	92	1	2	512.7	3562033				S100A8	4.4654	9.6276	4.6025	1.10E- 03	1.33E-02	-0.8542
P04075	ALDOA	Fructose- bisphosphate aldolase A	330	1	2	568.3	9008850				ALDOA	1.2343	14.3796	5.4707	3.19E- 04	6.64E-03	0.4222
			200	1	3	1073.5	12204667										
P07602 PSAP	PSAP	Prosaposin	413	1	2	621.3	13188333	2534867	0.19	5.37E- 03	PSAP	1.1000	11.9910	3.5359	5.78E- 03	3.56E-02	-2.5605
			438	1	3	783.7	23948333										
P67936	TPM4	Tropomyosin alpha-4 chain	82	1	2	759.8	11726800				TPM4	-2.4119	9.3950	-3.9310	3.07E- 03	2.42E-02	-1.9133
			11	1	2	621.3	20472333										
P14136	GFAP	Glial fibrillary acidic protein	260	1	2	654.3	6595600				GFAP	-4.2956	8.0496	-4.7014	9.48E- 04	1.23E-02	-0.7033
P02647	APOA1	Apolipoprotein A-I	157	1	2	597.8	6918400	1258900	0.18	3.58E- 03	APOA1	-1.8597	5.1153	-8.3617	1.06E- 05	1.14E-03	3.9117
			262	1	2	778.9	6098750										
			230	1	2	728.9	8688850										
			206	1	2	600.3	10627500										
			130	1	2	711.9	18472967										
			164	1	3	656.3	59276000										
P56381	ATP5E		37	1	2	624.3	1963150	3165967	1.61	1.19E- 02	ATP5E	1.8347	8.0226	4.8737	7.37E- 04	1.06E-02	-0.4437
			21	1	2	619.3	7391700	2780333	0.38	2.11E- 03							

LogFC >= 1: upregaled DEG. LogFC <= -1: downregulated DEGs.

TABLE 3 | Cluster analysis of KEGG pathways, MFs, CCs, and BPs enriched with overlapped molecules (DAPs; invasive DEGs) in NF-PitNETs.

Cluster	Category	ID	Term	Count	%	P Value	Overlapped molecules (DAPs; invasive DEGs)
Cluster 1	Cellular components	GO:0043209	myelin sheath	6	23.08	1.41E- 06	HSPA8, ATP5B, ATP5A1, ALB, SLC25A4, GFAP
	Biological process	GO:0006754	ATP biosynthetic process	4	15.38	9.15E- 06	ATP5B, ATP5E, ATP5A1, ALDOA
	Molecular functions	GO:0016887	ATPase activity	5	19.23	1.41E- 04	HSPA8, ATP5B, ATP5E, ATP5A1, HSPA1A
	Molecular functions	GO:0046933	proton-transporting ATP synthase activity, rotational mechanism	3	11.54	2.82E- 04	ATP5B, ATP5E, ATP5A1
	Cellular components	GO:0005753	mitochondrial proton-transporting ATP synthase complex	3	11.54	3.44E- 04	ATP5B, ATP5E, ATP5A1
	Biological process	GO:0042776	mitochondrial ATP synthesis coupled proton transport	3	11.54		ATP5B, ATP5E, ATP5A1
	Molecular functions	GO:0046961	proton-transporting ATPase activity, rotational mechanism	3	11.54		ATP5B, ATP5E, ATP5A1
	Molecular functions	GO:0022857	transmembrane transporter activity	3	11.54		ATP5B, ATP5E, ATP5A1
	Pathway	hsa05012	Parkinson's disease	4	15.38		ATP5B, ATP5E, ATP5A1, SLC25A4
	Cellular components	GO:0005759	mitochondrial matrix	4	15.38		ATP5B, MTHFD1L, ATP5E, ATP5A1
	Pathway	hsa05010	Alzheimer's disease	4	15.38	1.04E- 02	ATP5B, ATP5E, ATP5A1, GAPDH
	Pathway	hsa05016	Huntington's disease	4	15.38	1.49E- 02	ATP5B, ATP5E, ATP5A1, SLC25A4
	Cellular components	GO:0005739	mitochondrion	6	23.08	2.73E- 02	ATP5B, MTHFD1L, ATP5A1, PSAP, SLC25A4 HSPA1A
	Pathway	hsa00190	Oxidative phosphorylation	3	11.54	5.12E- 02	ATP5B, ATP5E, ATP5A1
Cluster 2	Molecular functions	GO:0016887	ATPase activity	5	19.23	1.41E- 04	HSPA8, ATP5B, ATP5E, ATP5A1, HSPA1A
	Biological process	GO:0046034	ATP metabolic process	3	11.54	9.46E- 04	HSPA8, ATP5B, HSPA1A
Cluster 3	Biological process	GO:0061621	canonical glycolysis	3	11.54	6.23E- 04	TPI1, ALDOA, GAPDH
	Biological process	GO:0006096	glycolytic process	3	11.54	1.07E- 03	TPI1, ALDOA, GAPDH
	Biological process	GO:0006094	gluconeogenesis	3	11.54		TPI1, ALDOA, GAPDH
	Pathway	hsa00010	Glycolysis/Gluconeogenesis	3	11.54		TPI1, ALDOA, GAPDH
	Pathway	hsa01230	Biosynthesis of amino acids	3	11.54		TPI1, ALDOA, GAPDH
	Pathway	hsa01200	Carbon metabolism	3	11.54		TPI1, ALDOA, GAPDH
Cluster 4	Cellular components	GO:0031012	extracellular matrix	4	15.38		HSPA8, ATP5B, ATP5A1, GAPDH
	Cellular components	GO:0016020	membrane	8	30.77		HSPA8, ATP5B, TPM4, MTHFD1L, ATP5A1, RPSA, ALDOA, GAPDH

study found that lysines co-regulated by acetylation and ubiquitination were down-acetylated but up-ubiquitinated in NF-PitNETs, which indicated that acetyl and ubiquitin directly competed for the same lysine, which might result in proteasomemediated degradation of these proteins, and affect NF-PitNET development.

Moreover, NF-PitNET and control tissue samples were very limited and precious, only very limited amount of proteins were obtained for subsequent quantitative acetylomics analysis. More acetylated sites and acetylated proteins are promised to be

identified when the increased NF-PitNET protein samples available in future acetylomics analysis. This acetylome map of human NF-PitNETs described in this study is one component in the long-term program to find out NF-PitNET-specific acetylated proteins to clarify molecular mechanisms of NF-PitNETs. To achieve this goal, quantitative acetylomics needs to be further developed in the future.

In summary, the current study provided the first human acetylomic data in NF-PitNETs, offered a valuable resource for further study in NF-PitNET tumorigenesis and progression,

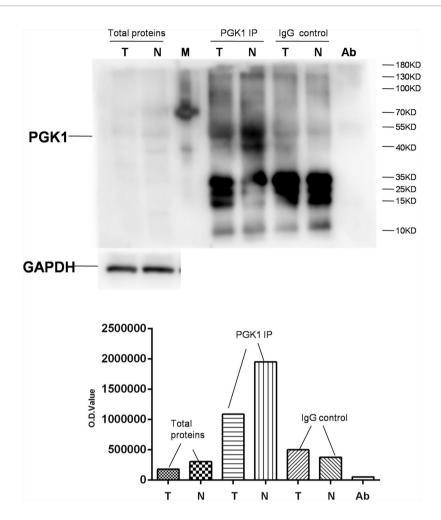


FIGURE 9 | Semiquantitative analysis of acetylated PGK1 between NF-PitNETs and controls. PGK1 in protein samples extracted from NF-PitNET and control tissues was immunoprecipitated (IP) with anti-PGK1 antibody. A negative control immunoprecipitation experiment was performed with the normal mouse IgG antibody but not anti-PGK1 antibody to test the specificity of anti-PGK1 antibody. The IP products (PKG1 and IgG), anti-PGK1 antibodies (Ab), and total protein samples (tumor; control) were simultaneously immunoblotted with anti-acetyl-lysine antibody. T = NF-PitNETs. N = controls. M = markers.

which contributed to the discovery of effective biomarkers for early diagnosis and therapy of NF-PitNETs.

PitNETs. Further investigation is needed to ascertain the biological significance of these lysine acetylation events and their relevance to NF-PitNET pathogenesis.

#### CONCLUSION

This study provided a comprehensive approach that integrated anti-acetyl antibody-based enrichment, LC-MS/MS, and literature-based bioinformatics to discover *in vivo* acetylated proteins and their acetylation sites, and to rationalize the functions of DAPs. A total of 296 acetylated proteins with 517 acetylated lysine sites provided a quantitative status of lysine acetylation in NF-PitNETs, and their bioinformatics analysis provided a new insight into the roles of protein lysine acetylation in formation and development of NF-PitNETs. The acetylation levels of more than half acetylated proteins were decreased in NF-PitNETs. Acetylation-mediated metabolic reprogramming might be considered as one of the underlying mechanisms in tumorigenesis and invasiveness of NF-

#### **DATA AVAILABILITY STATEMENT**

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/**Supplementary Material**.

#### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by the Xiangya Hospital Medical Ethics Committee of Central South University; the University of Tennessee Health Science Center Internal Review Board. The patients/participants provided their written informed consent to participate in this study.

#### **AUTHOR CONTRIBUTIONS**

SW analyzed data, carried out western blot experiment and immunoprecipitation experiment, prepared figures and tables, designed and wrote the manuscript. JL, JY, BL, and NL participated in partial data analysis and experiments. XZ conceived the concept, designed experiments and manuscript, instructed experiments, analyzed data, obtained the acetylomics data, supervised results, coordinated, wrote and critically revised manuscript, and was responsible for its financial supports and the corresponding works. All authors contributed to the article and approved the submitted version.

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#### SUPPLEMENTARY MATERIAL

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# Impact of RSUME Actions on Biomolecular Modifications in Physio-Pathological Processes

Mariana Fuertes <sup>1†</sup>, Belén Elguero <sup>1†</sup>, David Gonilski-Pacin <sup>1</sup>, Florencia Herbstein <sup>1</sup>, Josefina Rosmino <sup>1</sup>, Nicolas Ciancio del Giudice <sup>1</sup>, Manuel Fiz <sup>1</sup>, Lara Falcucci <sup>1</sup> and Eduardo Arzt <sup>1,2\*</sup>

<sup>1</sup> Instituto de Investigación en Biomedicina de Buenos Aires (IBioBA) - Consejo Nacional de Investigaciones Científicas y Técnicas (CONICET) - Partner Institute of the Max Planck Society, Buenos Aires, Argentina, <sup>2</sup> Departamento de Fisiología y Biología Molecular y Celular, Facultad de Ciencias Exactas y Naturales, Universidad de Buenos Aires, Buenos Aires, Argentina

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#### \*Correspondence:

Eduardo Arzt earzt@ibioba-mpsp-conicet.gov.ar

<sup>†</sup>These authors have contributed equally to this work and share first authorship

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Fuertes M, Elguero B, Gonilski-Pacin D, Herbstein F, Rosmino J, Ciancio del Giudice N, Fiz M, Falcucci L and Arzt E (2022) Impact of RSUME Actions on Biomolecular Modifications in Physio-Pathological Processes. Front. Endocrinol. 13:864780. The small RWD domain-containing protein called RSUME or RWDD3 was cloned from pituitary tumor cells with increasing tumorigenic and angiogenic proficiency. RSUME expression is induced under hypoxia or heat shock and is upregulated, at several pathophysiological stages, in tissues like pituitary, kidney, heart, pancreas, or adrenal gland. To date, several factors with essential roles in endocrine-related cancer appear to be modulated by RWDD3. RSUME regulates, through its post-translational (PTM) modification, pituitary tumor transforming gene (PTTG) protein stability in pituitary tumors. Interestingly, in these tumors, another PTM, the regulation of EGFR levels by USP8, plays a pathogenic role. Furthermore, RSUME suppresses ubiquitin conjugation to hypoxia-inducible factor (HIF) by blocking VHL E3-ubiquitin ligase activity, contributing to the development of von Hippel-Lindau disease. RSUME enhances protein SUMOylation of specific targets involved in inflammation such as IkB and the glucocorticoid receptor. For many of its actions, RSUME associates with regulatory proteins of ubiquitin and SUMO cascades, such as the E2-SUMO conjugase Ubc9 or the E3 ubiquitin ligase VHL. New evidence about RSUME involvement in inflammatory and hypoxic conditions, such as cardiac tissue response to ischemia and neuropathic pain, and its role in several developmental processes, is discussed as well. Given the modulation of PTMs by RSUME in neuroendocrine tumors, we focus on its interactors and its mode of action. Insights into functional implications and molecular mechanisms of RSUME action on biomolecular modifications of key factors of pituitary adenomas and renal cell carcinoma provide renewed information about new targets to treat these pathologies.

Keywords: RSUME, RWDD3, SUMOylation, ubiquitin, pituitary, RCC, VHL, PTTG

#### INTRODUCTION

RSUME is a small protein containing an RWD domain that has a role in enhancing SUMO conjugation (1). The function of this domain is unknown and extends from amino acid 7 to 114 of the human protein. RSUME was first identified following a screen of GH3 pituitary tumor cells over-expressing gp130, which typically generate aggressive and highly vascularized tumors in nude mice (2).

Fuertes et al. RSUME and Biomolecular Modifications

In endocrine-related cancers, RSUME appears as responsible for regulatory actions over several factors with essential roles in tumorigenesis. For many of these effects, RSUME acts by modulating post-translational modification (PTM) of proteins. Given the strong connection between RSUME and PTMs, it is important to better understand how RSUME associates with regulatory proteins of ubiquitin and ubiquitin-like protein cascades and its pathophysiological consequences.

We briefly review the body of related work that is available on this field and discuss the mechanisms of action and regulatory impact of RSUME action. In none of the known actions and examples provided does the mechanism involve a modification on RSUME, but on the interacting protein, by modifying either its PTM or the interacting capability of this protein.

#### **RSUME OVERVIEW**

Human RSUME is codified by the *RWDD3* gene located on chromosome 1. This gene generates seven mRNA splice variants, five of which code for different RSUME proteoforms (between 185 and 267 amino acids) and the rest are non-coding RNAs that are degraded by non-sense-mediated RNA decay due to their premature termination codons (3) (**Figure 1A**). RSUME is highly conserved in vertebrates (1) and is distributed in both the cytoplasm and the nucleus (1). *Rwdd3* gene gives rise to two

mRNA splice variants in mouse and only one mRNA in rat, coding for two murine RSUME proteoforms (267 and 339 amino acids) and one rat RSUME protein (267 amino acids), respectively.

All RSUME proteoforms contain an RWD domain, a protein–protein interaction motif, which has been shown to share significant structural homology to the mammalian E2 SUMO-conjugating enzyme Ubc9 and the yeast E2 ubiquitin conjugase Mms2 (4). A comparative analysis showed that the core structure of RWD appears to be common among RWD-containing proteins, while the specificity of interaction and/or the function of each RWD-containing protein seems to be given by variations on the surface residues. This also suggests that each RWD domain interacts with different E2-conjugating enzymes in the same way (4).

Under stress conditions such as hypoxia, CoCl<sub>2</sub> (hypoxia-mimicking stimulus), and heat shock, RSUME amounts were induced (1, 5) with a marked localization in the necrotic inner zone of tumor explants (1). Phosphorylation site prediction with KinasePhos software indicated that the RSUME protein sequence contains at least two putative JAK–STAT-sensitive tyrosine phosphorylation sites at positions 123 and 169 (6), a signaling pathway whose activation, either through elevated cytokine signaling during inflammation, or during hypoxic conditions, could lead to increased RSUME expression or activity (6).

The RSUME proteoforms are equally induced by hypoxia and exert similar actions, which may be related to the fact that all of them contain the same RWD domain (3). Since studies

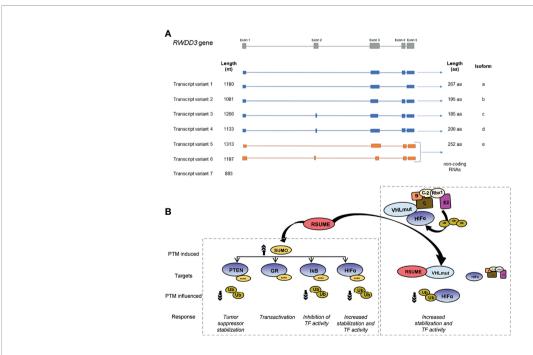


FIGURE 1 | Scheme of human RWDD3 transcript variants and RSUME targeted proteins. (A) Seven transcript variants of RWDD3 human gene, of which five are translated into protein and two of these proteins are the best characterized proteoforms, RSUME-195 and RSUME-267. (B) RSUME interacts and enhances SUMOylation of targeted proteins (PTEN, GR, IkB and HIFα), affecting other PTMs, occurring in the same protein. The reduction of ubiquitination enhances activity of transcription factors (TFs) such as HIFα or transcription factor regulators (IkB, PTEN). RSUME promotes HIFα accumulation and activity by another mechanism independent of SUMOylation: the interaction of RSUME with VHL (the HIFα ubiquitin E3 ligase that promotes its degradation in normoxia) decreases VHL-HIFα binding and consequently HIFα ubiquitination.

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performed so far and revised here have been performed with the proteoform of 195 amino acids of length, the evaluation of others would be interesting in the future.

Tissue distribution of RSUME mRNA showed higher expression in cerebellum, pituitary, heart, kidney, liver, stomach, pancreas, adrenal gland, prostate, and spleen (1) (**Figure 2**). RSUME expression is upregulated in pituitary adenomas at mRNA (7) and protein levels (8, 9).

In glioblastoma tumors, RSUME is overexpressed and correlates with shorter overall survival time of patients (10). Mechanistically, RSUME downregulation in glioblastoma cancer cells leads to diminished proliferative and invasive abilities by modulation of the PI3K/AKT signaling (10). It is important to note that the distribution of RSUME proteoforms differs between glioma samples, demonstrating that while the shorter RSUME proteoform is present in all gliomas studied, the longest RSUME proteoform is differentially expressed in those samples (3). These results suggest separate roles for RSUME proteoforms in this kind of tumor, which remains an open question to be studied.

The *RWDD3* gene, between a subset of 16 genes, has been associated with breast cancer recurrence, metastases, and mortality in survival analyses in patients (11). Furthermore, genome-wide association studies (GWAS) in breast cancer patients showed an allele dose dependent association of single-nucleotide polymorphisms (SNPs) residing in *RWDD3* gene with time to neuropathy (common toxicity criteria), in patients undergoing taxane therapy (12).

In pancreatic neuroendocrine tumors (PaNETs), the down-modulation of RSUME expression is associated to an increased tumoral size and metastatic capacity in a murine model. Accordingly, PanNET patient's tissues show reduced RSUME expression compared with normal pancreatic tissue. This action is mediated by its role in SUMOylation and stabilization of the tumor suppressor Phosphatase and Tensin Homolog deleted on Chromosome 10 (PTEN) (13) (Figure 1B).

#### RSUME CONTRIBUTES TO VHL SYNDROME THROUGH ITS INTERACTION WITH VHL AND HIF

RSUME emerges as a tumor-associated protein since it is expressed in many other tumors such as pheochromocytoma, hemangioblastoma and renal carcinoma (RCC) (**Figure 2**). This leads to link RSUME to the von Hippel–Lindau (VHL) tumor syndrome (14), being those neoplasms the most frequently associated with the disease. VHL tumor syndrome is caused by mutations in VHL tumor suppressor gene. VHL-related tumors are highly angiogenic, originated by hypoxia-inducible factoralpha (HIF $\alpha$ ) deregulation (15). The mechanism mediated by RSUME to promote angiogenesis by HIF $\alpha$  stabilization has been described in clear cell RCC (16) and is discussed in detail below.

A bioinformatic analysis revealed that RSUME expression is increased in RCC tumors bearing VHL mutated form and it rises from earlier to late tumor stages during RCC progression (16). In this kind of cancer, high RSUME expression correlates with worse overall survival compared with patients expressing low RSUME levels (16). Metabolomics analysis unveiled that RSUME is also involved in metabolic changes associated to RCC malignant phenotype, particularly linked to fatty acid metabolism and antioxidant response (17). Thus, RSUME participates in tumor progression through several pathways.

## RSUME EXPRESSION IN PATHOLOGICAL TISSUE RESPONSES

Besides its role in tumor progression, recent studies reveal that RSUME is connected with cardiac tissue response to ischemia

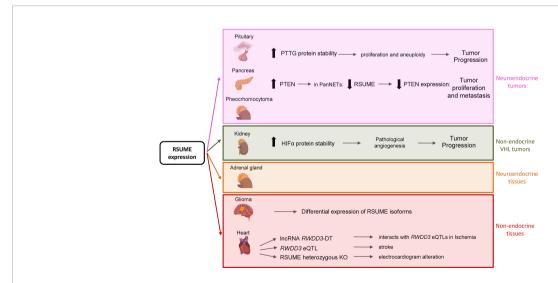


FIGURE 2 | Summary of RSUME actions in neuroendocrine or non-endocrine tissues and tumors. RSUME is expressed and acts in normal and tumoral tissues. Of particular interest are those tissues in which it is highly expressed, such as pituitary, pancreas, kidney, brain and heart, where it exerts different functions through the interaction with the indicated key factors. Interestingly it is also expressed at high levels in normal and tumoral (pheochromocytoma) adrenal gland, a VHL type of tumor, in which its functions remain to be studied.

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and stroke (18, 19) (**Figure 2**). Enhanced SUMOylation has been proposed to protect from stroke and ischemia of the brain (20, 21). In line with this, RSUME has been associated with cardioembolic stroke in an Indian stroke patient's study (19). Interestingly, in this GWAS study, the authors discovered loci related to different stroke types and located close to certain genes, where *RWDD3* is one of them. Further analysis revealed that this locus is an expression quantitative trait locus (eQTL) for RSUME and also associated with platelet distribution width and lipid metabolism (19), suggesting that RSUME expression levels are tightly regulated in stroke. This finding points RSUME level as an important factor to the proper response of cardiac tissue.

Another pathological response involving differential modulation of RSUME levels is neuropathic pain. Rojewska et al. found thirty-nine genes modulated by this pathology, of which RSUME together with six other genes are differentially repressed under sciatic nerve injury in a rat model and rescued after treatment that alleviates pain (22). This treatment has a key effect in reduction of the microglia activation and neuroinflammation. In fact, RSUME is expressed in brain but it shows higher expression in glial cells (https://www.proteinatlas.org/ENSG00000122481-RWDD3/single+cell+type) from human tissues. Further studies will be needed to unveil the role of SUMOylation, described as a regulator of brain development (23), and RSUME in normal or activated glia and pain.

## RSUME REGULATES PTMS AND IMPACTS IN DIFFERENT CELLULAR PROCESSES

Since its discovery, RSUME has been linked to several regulators of PTMs. Through its RWD domain, RSUME presents structural similarity to UEVs (ubiquitin E2 variant proteins) and to E2 conjugases (4) that allow its interplay with ubiquitin and ubiquitin-like protein machinery. RSUME interacts with the E2-conjugating enzyme of the SUMO pathway, Ubc9, increases its activity, and colocalizes with it (1). RSUME also interacts with SUMO-1 (1).

Additionally, RSUME interacts physically with VHL protein (14), an essential component of the E3 ubiquitin ligase ECV complex, which also includes Elongin B, Elongin C, Cullin-2, and Rbx-1 (24) (Figure 1B). The most known targets of ECV E3 ubiquitin ligase are HIF-1 and 2α (25). VHL-RSUME interaction is independent of VHL-substrate, HIF-1α, as is demonstrated by in vitro interaction of these proteins. This observation is also confirmed by RSUME and VHL interaction in a cellular system with a mutant HIF unable to bind VHL (14). In spite of this, RSUME also interacts with VHL in the presence of the VHL-HIFα complex. Even more, increasing RSUME protein quantities displace HIF-VHL binding progressively (14), suggesting a competitive interaction between both proteins for VHL. This reduction in VHL-HIF binding suppresses HIFα ubiquitin conjugation by VHL promoting HIF stabilization. Additionally, RSUME interacts and downregulates the assembly of other components from the ECV complex (14, 16). Under

hypoxia, VHL is SUMOylated by SUMO-1 conjugation predominantly at 171 lysine residue (K171) promoted by Protein Inhibitor of Activated STATy (PIASy) SUMO ligase (26). This SUMO-1 modification of VHL blocks its ubiquitin E3 ligase action on HIFa proteolysis (26). RSUME also increases VHL K171 SUMOylation (14). SUMO conjugation to VHL as well as RSUME-VHL interaction can suppress HIF-2 $\alpha$  degradation, as demonstrated by HIF-2a stabilization mediated by RSUME in cells bearing VHL K171R mutation (16). In fact, RSUME is able to promote SUMOylation of VHL mutated variants observed in VHL syndrome, but also abrogates HIF-2α degradation by interacting with these VHL variants in a VHL-SUMOvlation independent way (16). This HIF-2α accumulation has a major consequence in the context of RCC tumors, where angiogenesis becomes relevant for tumor growth, mainly promoted through the HIF-2α-VEGF pathway (27). In this cancer type, mutations in VHL gene are key drivers of carcinogenesis. As mentioned above, RSUME high expression is related to adverse prognosis in RCC patients. Mechanistically, RSUME promotes the wrong functioning of VHL mutated forms on HIF-2 $\alpha$  degradation (16). Consequently, reduced angiogenesis is observed in RCC models carrying VHL mutations when RSUME is silenced (16). This RSUME action on HIF-2α ubiquitination mediated by VHL also occurs independent of VHL SUMOylation. It could be possible that both types of regulation, SUMOylation and protein interactions, act at different instances of VHL ubiquitin ligase activity.

RSUME also modulates two central players in inflammation. RSUME inhibits NF-kB activity through the stabilization of IkB (**Figure 1B**), which leads to the inhibition of two of its targets, interleukin-8 (IL-8) and cyclooxigenase-2 (Cox-2) (1). RSUME increases IkB SUMOylation at lysines 21 and 22, and enhances IkB protein stability in mammalian cells. RSUME also regulates the glucocorticoid receptor (GR) PTM (5) as detailed below. Further level of complexity appears because members of the PIAS family of SUMO E3 ligases also regulate GR-directed transcription (28) and HIF-1 $\alpha$  SUMOylation (29), just like RSUME.

### BIOMOLECULAR MODIFICATIONS BY RSUME IN NEUROENDOCRINE CELLS

The hypothalamus–pituitary–adrenal axis (HPA axis) is a complex neuroendocrine system in which the GR plays a central role in regulating inflammation, glucose and lipid metabolism, stress response, and development, among other important processes. Some PTMs such as phosphorylation, ubiquitination, and SUMOylation modulate GR activity (30–33). RSUME is an important regulator of heat shock-induced GR SUMOylation, by interacting with the GR and increasing its SUMOylation (5) (**Figure 1B**). The lysine K721 of GR is critical for the RSUME effect, showing that this site has a positive action on GR transcriptional activity and the expression of its endogenous target genes, FKBP51 and S100P. In addition, both K721 mutation and RSUME knockdown compromise coactivator GRIP1-mediated GR activation. Thus, RSUME manages GR-mediated transcription, modulating the cellular outcome to glucocorticoid exposure.

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RSUME is a novel and important player in pituitary tumor pathogenesis. RSUME also acts on the HPA axis at the pituitary stage, increasing pituitary tumor transforming gene (PTTG) protein stability (9) (Figure 1B). PTTG is the vertebrate securin (34, 35) whose overexpression correlates with tumor invasiveness and recurrence. RSUME and PTTG are both upregulated in human pituitary adenomas (9, 36), and this positive correlation of expressions involves not only an increment of PTTG protein in pituitary tumor cells, but also an improved half-life of PTTG protein and a co-regulation with estrogens of the PTTG induction. Accordingly, RSUME upturns PTTG transcription factor (over targets such as c-Myc or cyclin D3) and securin activities, allowing the appearance in the tumor of aneuploid cells or multinucleated as a consequence, RSUME knockdown reduces securin PTTG and its tumorigenic potential in xenografted mice. This explains the effect of RSUME modulating PTTG high protein levels that account for PTTG tumor abundance and demonstrates an important role of RSUME in tumor cells of the pituitary. Regarding the molecular mechanism, we have described that PTTG protein levels decrease when the SUMOylation pathway is inhibited by the viral Gam1 protein (37), and the consequent reversal of this effect when an inactive mutant is used (9), suggesting that SUMO signaling is involved in the stabilization of PTTG. For many proteins, SUMOylation could protect them from degradation by the ubiquitin/proteasome system, in addition to increasing their stability, changing their subcellular localization or distribution, and/or modifying their molecular interactions. Furthermore, PTTG is targeted by other PTMs such as ubiquitination (38) or phosphorylation (39), which could also be modulated by RSUME.

In addition, RSUME is involved in pituitary adenoma progression by means of initiating pituitary tumor neovascularization through regulating HIF-1 $\alpha$  levels and subsequent VEGF-A production under hypoxia in murine pituitary tumor cell lines and human pituitary adenoma cells (7, 8).

Regulation of protein stability by PTMs appears to be a key pathway in the control of these types of tumors. Almost half of ACTH-secreting pituitary tumors were reported to develop because of ubiquitin-specific peptidase 8 (USP8) somatic mutation (40), which leads to an increased USP8 deubiquitinating activity and triggers the release of adrenocorticotropic hormone (ACTH). Mutant USP8 inhibits EGFR ubiquitination and rescues it from proteasomal degradation, increasing the EGFR in the plasma membrane and returning to the cell surface by reversing the endocytosis and thus ultimately promoting ACTH secretion by activated EGFR signaling pathway (41). The overexpressed EGFR and E2F transcription factor 1 (E2F1) were implicated in the aggressiveness of pituitary tumors (42). E2F1 is also deubiquitinated and stabilized by a deubiquitinating enzyme named POH1 (43). Thus, the deubiquitinating enzyme USP8 could represent a great link within EGFR, E2F1, and ACTH in pituitary cancer.

### RSUME AS A BIOMODULATOR IN DEVELOPMENT

In an extensive transcriptomic study in 12.5- to 16-day-old rat embryos, *Rwdd3* gene was identified as part of a large set of

upregulated genes of ganglionic eminence (GE), an embryonic structure that supplies the brain with diverse sets of GABAergic neurons (44). This pool of GE-enriched genes, including *Hod, Rwdd3, Nr2f2, Egr3, Cpta1, Cyp26b1*, and *Slit3*, may be important in telencephalic neural development. Taking into consideration the relevant role of SUMOylation in different regulatory mechanisms of brain development (23), future studies in *Rwdd3* KO mice will clarify its contribution in developmental processes in the brain.

RSUME has increasingly shown to be involved in cardiac pathologies. Ward et al. carried out a detailed study in which the comparison of ventricular tissue after and before ischemia in humans shows that several long non-coding RNAs (lncRNAs) are differentially expressed and related to fast response to ventricular ischemia (18). From the novel lncRNA group, a particular lncRNA targets five regulatory loci (expression quantitative trait loci, eQTL) for RSUME, and consequently, this lncRNA's expression correlates with RSUME expression in this study group (18).

Similarly, the previously described GWAS study that associates an SNP affecting an RSUME's QTL with cardioembolic stroke (19) provides a novel view for the hypoxia-mediated regulation of RSUME expression in cardiac tissue. Moreover, a different study found a suggestive locus near the RSUME gene that is involved in inter-individual levels of the Proprotein convertase subtilisin/kexin type 9 (PCSK9), which is a regulator of LDL receptor degradation and is associated with cardiovascular risk (45). Although the incidence of congenital heart defects is high, only a reduced number of them are caused by Mendelian inheritance (46). Noteworthy, defects in SUMOylation balance seem to play an important role in heart development as demonstrated by congenital heart defects observed in knockout mice for components of the SUMOylation system (46). Considering RSUME's role in SUMOylation, it is likely that RSUME may modulate cardiac development, a hypothesis supported by the cardiac phenotypic alteration in electrocardiogram of heterozygous Rwdd3 KO mice developed under an international project to discover new functions of genes [International Mouse Phenotyping Consortium (IMPC), www.mousephenotype.org]. Subsequently, RSUME gene has been included between essential genes, since its KO mice displayed homozygous lethality (47), which opens new interesting avenues in the study of Rwdd3.

### CONCLUSIONS AND FUTURE PERSPECTIVES

In the light of reported research at protein and mRNA levels, RSUME shows up as an important regulator of cellular function in various physiological and pathological processes, in which it appears as a promising biomarker and therapeutic target.

Although RSUME-mediated PTM regulation studies have been mainly performed in cancer, mainly neuroendocrine tumors, its participation in other processes including neuropathic pain and, more recently reported, stroke and ischemia has been described (**Figure 2**).

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Since cancer must face an evolving environment, reversible modifications on proteins show additional regulation, more related to levels and cross-talk of PTMs in a specific moment. In this scenario, RSUME has an extensive participation by modulating key pathways such as VHL/HIF, PTTG, or NFkB.

Interestingly, two important mechanisms controlling pituitary growth, the regulation of PTTG protein stability and tumor abundance by RSUME, and the USP8/EGFR regulation, point to the involvement of the SUMO/ubiquitin pathways in pituitary pathogenesis.

VHL disease shows a non-predictable pattern of tumor development. The remaining questions about why some tissues are sensitive to tumor growth are still unanswered. In RCC, RSUME emerges as a modulator of VHL ubiquitin action on the HIF pathway, opening new perspectives on therapeutic strategies for this cancer type.

Considering the role of RSUME on regulatory mechanisms in several pathways, mostly described in pathology but relevant in physiology, research on RSUME KO will help to answer central questions about RSUME/RWDD3 modulatory actions, highlighting the relevance of coordinated PTMs.

#### **AUTHOR CONTRIBUTIONS**

MarF, BE, and EA conducted literature review, and conceptualized and wrote the manuscript. DG-P, FH, JR, NC-G,

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ManF, and LF conducted literature review, designed the figures, and contributed to editing the manuscript. All authors contributed to the article and approved the submitted version.

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# Identifying Pupylation Proteins and Sites by Incorporating Multiple Methods

Wang-Ren Qiu\*, Meng-Yue Guan, Qian-Kun Wang, Li-Liang Lou and Xuan Xiao\*

School of Information Engineering, Jingdezhen Ceramic Institute, Jingdezhen, China

Pupylation is an important posttranslational modification in proteins and plays a key role in the cell function of microorganisms; an accurate prediction of pupylation proteins and specified sites is of great significance for the study of basic biological processes and development of related drugs since it would greatly save experimental costs and improve work efficiency. In this work, we first constructed a model for identifying pupylation proteins. To improve the pupylation protein prediction model, the KNN scoring matrix model based on functional domain GO annotation and the Word Embedding model were used to extract the features and Random Under-sampling (RUS) and Synthetic Minority Over-sampling Technique (SMOTE) were applied to balance the dataset. Finally, the balanced data sets were input into Extreme Gradient Boosting (XGBoost). The performance of 10-fold cross-validation shows that accuracy (ACC), Matthew's correlation coefficient (MCC), and area under the ROC curve (AUC) are 95.23%, 0.8100, and 0.9864, respectively. For the pupylation site prediction model, six feature extraction codes (i.e., TPC, AAI, One-hot, PseAAC, CKSAAP, and Word Embedding) served to extract protein sequence features, and the chi-square test was employed for feature selection. Rigorous 10-fold cross-validations indicated that the accuracies are very high and outperformed its existing counterparts. Finally, for the convenience of researchers, PUP-PS-Fuse has been established at https://bioinfo.jcu.edu.cn/PUP-PS-Fuse and http://121.36.221.79/PUP-PS-Fuse/as a backup.

Keywords: pupylation, multiple features, post-translational modification, chi-square test, word embedding

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#### \*Correspondence:

Wang-Ren Qiu qiuone@163.com Xuan Xiao jdzxiaoxuan@163.com

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#### 1 INTRODUCTION

Pupylation is a kind of prokaryotic ubiquitin-like protein (Pup), a posttranslational protein modification (PTM) that occurs in actinomycetes, and has made a great contribution to the life process of many cells (1, 2). Ubiquitylation is one of the most common PTM modifications (3). In eukaryotes, ubiquitylation modification plays an important role in DNA repair, transcription regulation, control signal transduction, endocytosis, and sorting (4); research has shown that ubiquitylation modification is closely related to human health, such as lung cancer, breast cancer, type II diabetes, and other complex diseases (5–8). Pupylation is similar to ubiquitin in that Pup is attached to specific lysine residues. Since the PTM small protein modification was originally

discovered in prokaryotes, the Pup in Mycobacterium tuberculosis (Mtb) plays an important role in the selection of protein degradation (5).

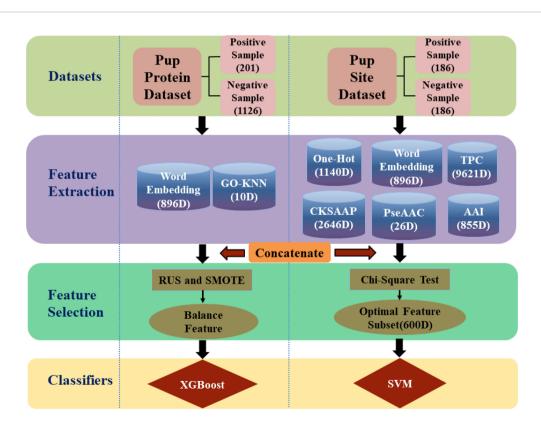
To better understand the biological mechanism of pupylation, the basic goal and fundamental task is to accurately and effectively predict the pupylation proteins and sites. For identifying PTM proteins, to the best of our knowledge, Qiu is the first one to have tried to identify phosphorylated (9) and acetylated (10) proteins, and nobody has done a similar work on pupylation protein until now. For a predictive analysis of pupylation sites, Liu proposed a GPS-PUP predictor for predicting pupylation sites with a group-based prediction system (GPS) method (11). Tung developed an iPUP predictor that implemented the support vector machine (SVM) algorithm with the composition of pairs of k-space amino acids (CKSAAP) (12). Chen designed a predictor called PupPred based on support vector machines (SVM), in which amino acid pairs were used to encode lysine-centered peptides (13). Hasan established a web server named pbPUP (14), which was a profile-based feature method to predict pupylation sites. Recently, FN Auliah developed PUP-Fuse web server for predicting pupylation sites (15); this algorithm was based on a variety of sequence features to predict pupylation sites. Although these algorithms could output higher specificity, their sensitivity scores are much lower.

In this work, a framework has been developed for predicting pupylation proteins and sites named as PUP-PS-Fuse, shown in **Figure 1**. In predicting the pupylation protein model, the KNN scoring matrix, the Word Embedding model (16–18), the Synthetic Minority Oversampling Technique(SMOTE) (19), and Random Under-sampling(RUS) (20) were applied to enhance the operation engine. Moreover, in the pupylation site prediction model, TPC (15, 21), AAI (22, 23), One-Hot (24), PseAAC (25, 26), CKSAAP (21, 27, 28), and Word Embedding (16–18) were used for feature extraction, and the chi-square test (15, 29, 30) was used to reduce the dimensionality of the feature space. Both these two models were verified with 10-fold crossvalidation and compared with other existing predictors, the performance proved that this work is promising for the issue.

#### **2 MATERIALS**

### 2.1 Datasets for Predicting Pupylation Proteins

In this work, the negative samples were collected from UniProKB (2021\_4), and the positive sample set was composed of 35 pupylation proteins collected from UniProKB and 233 pupylation proteins from PupDB (31). At least one pupylation



**FIGURE 1** | The framework of PUP-PS-Fuse (rounded squares represent data sets, cylinders represent feature extraction methods, rectangles and ellipses represent feature selection methods, and diamonds represent classifiers. RUS is the abbreviation of Random Under-sampling and SMOTE is the abbreviation of Synthetic Minority Over-sampling).

site must exist in any positive protein sequence, and none of the pupylation sites must appear in the negative samples. A given protein sequence can be expressed as  $P=R_1R_2R_3...R_i...R_L$ ; here,  $R_i$  represents the *i*th amino acid residue, and L represents the length of the protein sequence.

In order to make the results more rigorous, CD-HIT was used to remove 30% of the redundancy from 268 positive sampling as and 1,463 negative samples. Finally, 201 positive samples and 1,126 negative samples were collected for the proposed benchmark with a positive–negative ratio of 1:5.6.

#### 2.2 Datasets for Predicting Pupylation Sites

This article used the same data set as that of Aulia (15). The data set was retrieved and obtained from the publications of PupDB (31) and contained 233 pupylation proteins which were subject to a cutting of redundancy treatment to remove those sequences that had ≥80% pairwise sequence identity with any other. After strictly following the aforementioned procedures, the training set consists of 186 amino acid fragments with pupylation site as positive samples and 372 negative samples without any pupylation site. As a result, the positive-negative ratio is 1:2. Since the imbalance of the data will affect the prediction results of the model, we balanced the training set with a positive-negative ratio of 1:1 (186 positive samples and 186 negative samples) by randomly deleting negative samples. The test set is composed of 87 positive samples and 191 negative samples by randomly extracting from the benchmark data set. Table 1 summarizes the data sets for predicting pupylation proteins and pupylation sites.

In order to formulate the pupylation site sequence in more detail and more comprehensively, the sequence fragment of the potential pupylation site can be expressed in the form of formula (1):

$$\theta_{\delta}(K) = R_1 R_2 - R_{\delta-1} R_{\delta} K R_{\delta+1} R_{\delta+2} \cdots R_{2\delta-1} R_{2\delta}$$
 (1)

Where  $R_1$  to  $R_{\delta}$  represent the amino acid residues on the left of K,  $R_{\delta+1}$  to  $R_{2\delta}$  represent the amino acid residues on the right of K,  $\delta$  is an integer, and the middle K means Lysine (32). In addition, the peptide sequence  $\theta_{\delta}(K)$  can be divided into  $\theta_{\delta}^+(K)$  and  $\theta_{\delta}^-(K)$  (see formula (2)), where  $\theta_{\delta}^+(K)$  represents a pupylation protein sequence fragment whose center point is K, and  $\theta_{\delta}^-(K)$  denotes non-pupylation protein sequence fragments whose center point is K. The sliding window method was used to segment pupylation protein sequences with different window sizes. Judging from the analysis of the pupylation protein sequence preferred by FN Aulia et al. (15), it can be seen that the prediction is the best when the window size is 57 with  $\delta = 28$ .

**TABLE 1** | Data set for prediction of pupylation protein and pupylation site.

Datasets	Positive	Negative	Ratio
Pupylation proteins	201	1126	1:5.6
Pupylation site training	186	186	1:1
Pupylation site test	87	191	1:2.2

Positive represents the number of positive samples, and Negative represents the number of negative samples.

When the sequence fragments were divided, in order to make the site sequence equal in length, the missing amino acids were filled in with X residues. As a result, the pupylation site data set adopts the form of formula (2):

$$\boldsymbol{\theta}_{\delta}(K) = \boldsymbol{\theta}_{\delta}^{+}(K) \cup \boldsymbol{\theta}_{\delta}^{-}(K)$$
 (2)

Among them, the subset of positive samples  $\theta^+_\delta(K)$  represents a true pupylation site segment with K at its center, and the subset of negative samples  $\theta^-_\delta(K)$  represents the false pupylation site fragment.

#### 3 FEATURE EXTRACTION AND METHODS

### 3.1 Feature Extraction Methods for Predicting Pupylation Proteins

The basic step for predicting pupylation protein is to extract features of the protein sequence, and it is a key step that affects the effectiveness of the prediction model. When predicting pupylation protein, we chose GO-KNN (10) and Word Embedding coding schemes to extract protein sequence information.

#### 3.1.1 GO-KNN

GO-KNN (10) is based on the KNN scoring matrix of functional domain GO annotations to extract features. In this study, we need to obtain the GO information of all proteins. For a protein without any GO information, we replace it with GO terms of its homologous protein and then calculate the distance between any two protein sequences. Taking protein  $R_1$  and  $R_2$  as example, their GO annotations can be expressed by  $R_{GO}^1 = \{GO_1^1, GO_2^1, \cdots, GO_M^1\}$  and  $R_{GO}^2 = \{GO_1^2, GO_2^2, \cdots, GO_N^2\}$ ,  $GO_i^1$  and  $GO_i^2$  represent the *i*th GO of the proteins  $R_1$  and  $R_2$ , respectively, and M and N are the numbers of their GO terms. The feature extraction steps are listed as follows:

(a). Calculating the distance between two proteins, as in formula (3).

$$Distance(R_1,R_2) = 1 - \frac{\lfloor R_{GO}^1 \cap R_{GO}^2 \rfloor}{\lfloor R_{GO}^1 \cup R_{GO}^2 \rfloor}$$
 (3)

Where  $\cup$  and  $\cap$  represent the intersection and union of sets, and  $\lfloor \rfloor$  represents the number of elements in the set.

- (b) Sorting all the calculated distances from small to large.
- (c) Calculating the percentage of positive samples in the *Y* neighbors.

In this study, the *Y* values were selected in order of 2, 4, 8, 16, 32, 64, 128, 256, and 1,024. Finally, a 10-dimensional feature vector was formed. Therefore, the digital feature vector of protein  $R_1$  can be expressed as:  $(x_1,x_2,...,x_{10})$ .

#### 3.1.2 Word Embedding

Word Embedding (16–18) is a method for converting words in text into digital vectors. The Word Embedding process was used to embed the high-dimensional space containing all the number of words into a low-dimensional continuous vector space, each word or phrase was mapped to a vector in the real number

domain, and the word vector was generated as a result of the Word Embedding. In this study, we quoted the word embedding method of Qiu (33, 34). This briefly introduces how word embedding was applied in this research as described below.

Step 1: Firstly, the pupylation protein sequence was split into fragments and a wordbook is created. In this study, we used three different word embedding models, and the pupylation protein sequence is cut into different fragment lengths. Their fragment lengths can be set to 2, 3, or 4, respectively, and the step size of the moving window is 1.

Step 2: The CBOW (Continuous Bag-of-Words) model was used to train the data. In order to speed up the training speed of word vectors, the negative sampling technique (35) and backpropagation algorithm (36) were adopted in the CBOW model. At this step, the dimension sizes of the word vectors were selected as 128, 256, and 512, respectively, and we then obtained three vectors  $W_{128}$ ,  $W_{256}$ , and  $W_{512}$  for a given protein sequence.

Step 3: >A protein sequence was represented by combining CBOW vectors. At this step, we merge the features of each pupylation protein sequence of the three aforementioned words vector, as shown in formula (4), and finally get an 896-dimensional vector.

$$V = W_{128} \oplus W_{256} \oplus W_{512} \tag{4}$$

Among them,  $W_{128}$ ,  $W_{256}$ , and  $W_{512}$  mean 128-, 256-, and 512-dimensional word vectors, and  $\oplus$  means to concatenate a two-word vector.

### 3.2 Feature Extraction Methods for Predicting Pupylation Sites

For predicting pupylation sites, TPC (15, 21), AAI (22, 23), One-Hot (21, 37), PseAAC (25, 26), CKSAAP (21, 27, 28), and Word Embedding (17, 18) coding schemes were involved in extracting protein fragment [for example, formula (2)] information and are briefly described as follows.

#### 3.2.1 TPC

The first feature extraction algorithm applied for predicting pupylation sites in this paper is TPC (15, 21) which codes protein fragment information by calculating the frequency of occurrence of three consecutive amino acid pairs. Bian et al. (38) identified mitochondrial proteins of *Plasmodium*. In this method, we divide the number of occurrences of each of the three consecutive amino acid pairs in the fragment by the total number of all possible tripeptides [refer to formula (5)], and finally form a 9,261-dimensional digital feature vector.

$$p_i = \frac{N_i}{\sum_{1}^{9261} N_i} \tag{5}$$

where  $N_i$  represents the number of occurrences of the *i*th three consecutive amino acid pairs in the fragment.

#### 3.2.2 AAI

The second algorithm, AAI code, is based on AAindex (22, 23), which is a database that collects more than 500 amino acid

indexes. After evaluating the different physicochemical and biological properties of amino acids, the top 15 useful and informative amino acid indexes selected by FN Auliah et al. (15) were used in this paper (fifteen types of AAI properties can be found at https://www.mdpi.com/1422-0067/22/4/2120/s1), with a window sequence length of 57. Therefore, AAI encoding produced 855 (57  $\times$  15) dimensional feature vectors.

#### 3.2.3 One-Hot

One-Hot coding (21, 37) is based on the 0–1 coding scheme. In this coding scheme, each amino acid is represented by a 20-dimensional binary vector. For example, alanine A is transformed into a vector (10000000000000000000), cysteine C is transformed into a vector (0100000000000000000), tyrosine Y is transformed into a vector (0000000000000000000), etc. In this study, a pseudo-amino acid code X was selected to represent it, which is represented by a (00000000000000000000) vector. The sequence length of the window is 57, so the total dimension of the proposed One-Hot feature vector is  $20 \times (2\delta+1)$ , i.e., 1,140, dimensions.

#### 3.2.4 PseAAC

PseAAC (25, 26) coding has been widely used in the study of protein and protein-related problems. It can be called a "pseudoamino acid composition" model to represent protein samples. Here, six physical and chemical properties of amino acids, hydrophobicity, hydrophilicity, molecular side chain mass, PK1, PK2, and PI, were selected to convert the protein sequence into the feature vector. The parameters  $\omega$  and  $\lambda$  were set to 0.05 and 5, respectively [the values of  $\omega$  and  $\lambda$  are clearly explained by Chou (39) et al.]. Finally, a 25-dimensional digital feature vector is formed.

$$p_{i} = \begin{cases} \frac{f_{i}}{\sum_{i=1}^{20} f_{i} + \omega \sum_{j=1}^{\lambda} \theta_{j}} (1 \leq i \leq 20) \\ \frac{\omega \theta_{i-20}}{\sum_{i=1}^{20} f_{i} + \omega \sum_{j=1}^{\lambda} \theta_{j}} (20 + 1 \leq i \leq 20 + \lambda) \end{cases}$$
(6)

#### **3.2.5 CKSAAP**

CKSAAP (21, 27, 28) coding is a coding scheme based on K-spaced amino acid pairs. In the coding process, a protein sequence contains 441 (21  $\times$  21) amino acid pairs (AA, AC, AD,..., XX) and is expressed by formula (7).

$$\left(\frac{F_{AA}}{F_N}, \frac{F_{AC}}{F_N}, \frac{F_{AD}}{F_N}, \dots, \frac{F_{XX}}{F_N}\right) 441 \tag{7}$$

Where,  $F_{AA}$ ,  $F_{AC}$ ,  $F_{AD}$ ,  $F_{XX}$ , represents the number of times the corresponding amino acid pair appears in the protein sequence, and L is used in this article to represent the length of the protein sequence,  $F_N = L - k - 1$ . For each k, 441 pairs of residues are formed, where k represents the space between two amino acids, the values of k are 0, 1, 2, 3, 4, 5, and the best  $k_{max}$  setting is 5. Therefore, each corresponding protein sequence can be represented with a 2,646 (21 × 21 × ( $k_{max}$  +1)) dimensional feature vector.

#### 3.3 Data Balancing and Feature Selection

In the model of pupylation protein prediction, the number of positive samples is 201 and the number of negative samples is 1126, and the ratio of positive to negative samples is approximately 1:5.6. Since it is an unbalanced data set, Random Under-sampling (RUS) (20) and Synthetic Minority Oversampling (SMOT) (19, 20) were used to process the sample data. Actually, the RUS is a very simple and popular undersampling technique and the SMOT is one of the most popular methods in oversampling proposed by Chawla et al. (40).

In the model of pupylation site prediction, fusion of multiple features would generate a high-dimensional vector, and there may be some redundant or irrelevant features. Therefore, the chi-square test (15, 29, 30) was used to select the most beneficial feature. The chi-square test was first proposed by Karl Pearson (41), usually called the Pearson chi-square test, which is currently the most popular non-parametric(or no distribution) test based on the hypothesis of the chi-square  $\chi^2$  distribution test method (42). In the model, the first 600-dimensional features were selected to get a better prediction result.

### 4 MODEL EVALUATION METRICS AND OPERATION ENGINE

#### 4.1 Model Evaluation Metrics

In this study, four indicators were used to evaluate the performance of the model. They are Accuracy (ACC) (43), Sensitivity (SN), Specificity (SP), and Matthews Correlation Coefficient (MCC) (44–47), which are defined as Eq. (8).

$$\begin{cases}
Sn = \frac{TP}{TP+FN} \\
Sp = \frac{TN}{TN+FP} \\
ACC = \frac{TP+TN}{TP+FP+TN+FN} \\
MCC = \frac{TP\times TN-FP\times FN}{\sqrt{(TP+FP)\times (TP+FN)\times (TN+FP)\times (TN+FN)}}
\end{cases}$$
(8)

In addition, the prediction accuracy can also be measured and analyzed using the ROC curve. For the prediction method, the ROC (48) curve plots the true positive rate (Sn) and false positive rate (Sp) of all possible thresholds as a function of the relationship. The calculation of AUC also provides a comprehensive understanding of the proposed prediction method. Generally, the closer the AUC (49) value is to 1, the better the prediction method.

#### 4.2 Operation Engine

Most of the classification algorithms can handle the data with the digital vector; thus, this work tried diverse approaches include Random Forest (RF), Support Vector Machine (SVM), K nearest neighbor (KNN), eXtreme Gradient Boosting (XGBoost), and Ensemble Learning. Since they have been widely used in various fields such as marketing management (50), bioinformatics (51), and image retrieval (52), we would not repeat their principles in this manuscript in detail.

In fact, the Random Forest (RF) (51, 53) algorithm is based on the classification and regression tree (CART) (54) technology which is formed by integrating multiple decision trees through the idea of integrated learning. In the RF model, each decision tree is a classifier. For a given sample, each tree will get a classification result. All the voting results are integrated, and the final output is the category with the most votes. The SVM (55) is a supervised learning model whose main idea is to find the hyperplane that distinguishes the two types, to maximize the margin, some points in the sample that are closest to the hyperplane; these points are called support vectors. The KNN (56, 57) is a supervised learning model, and its main idea is to determine which category it belongs to when predicting a new value based on the category of the nearest *K* points. XGBoost (58) is an open-source machine learning project developed by Chen et al. It efficiently implements the GBDT (59) algorithm and has made many improvements to the algorithm and engineering.

Ensemble learning (60) is an important method for improving prediction accuracy in current data mining and machine learning. It is frequently used in the field of machine learning (5) due to its "fault tolerance." It has better classification results than individual classifiers. The ensemble method is a meta-algorithm that combines several machine learning techniques into a predictive model. There are three commonly used frameworks for ensemble learning: Bagging (61) to reduce variance, Boosting (62) to reduce bias, and Stacking (63) to improve prediction results. In this research, we used the Stacking ensemble learning algorithm. The main idea of Stacking is as follows: we firstly train multiple different models, and then use the output of each model trained before as input to train a model to get a final output. For predicting Pupylation sites, we use three base classifiers, namely, RF, SVM, and KNN, and then use LogisticRegression (LR) to classify the results of the base classification to get the final classification results.

#### **5 RESULTS AND DISCUSSION**

### 5.1 Results and Discussion of Pupylation Proteins Prediction

#### 5.1.1 Effect of the Different Features

In this study, the two single feature encoding methods are GO-KNN and Word Embedding, and 10 dimensions and 896 dimensions are obtained respectively. These two kinds of features have been fused into a 906-D feature vector PUP-P-Fuse. Through the 10-fold cross-folding verification, the prediction results of different features are shown in **Table 2**.

From **Table 2**, we can know that the prediction results after fusion are not as good as we expected; the best prediction performance is GO-KNN's with ACC of 94.36%, Sn of 77.08%, Sp of 97.45%, MCC of 0.7731, and AUC of 0.9530, which are slightly higher than those of CBOW and PUP-P-Fuse (see to the first 4 line of **Table 2**).

#### 5.1.2 Effect of the RUS and SMOTE

Using Random Under-sampling (RUS) and Synthetic Minority Over-sampling (SMOTE) to balance the data, and then through

**TABLE 2** | The prediction results of different feature extraction and balance methods for predicting pupylation proteins.

	Feature	ACC (%)	Sn (%)	Sp (%)	MCC	AUC
Unbalanced	GO-KNN	94.36	77.08	97.45	0.7731	0.9530
	CBOW	91.91	67.42	96.27	0.6700	0.9553
	PUP-P-Fuse	92.07	60.25	97.77	0.6615	0.9647
Balanced	PUP-P-Fuse	95.40	92.03	96.00	0.8327	0.9840

GO-KNN and CBOW represent two feature extraction methods for predicting pupylation proteins, and PUP-P-Fuse is a fusion of the above two methods.

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.

10-fold cross-folding verification, the prediction results of ACC, Sn, Sp, MCC, and AUC on balanced and unbalanced data sets were obtained and are shown in **Table 2**.

From the last line of **Table 2**, we can see that the PUP-P-Fuse's ACC, Sn, MCC, and AUC predictive indicators have increased by 3%, 32%, 17%, and 2%, respectively, after the RUS and SMOTE technology balance. Therefore, the results show that multifeature fusion (PUP-P-Fuse) can improve the performance. In order to better analyze the influence of different features on pupylation protein prediction, the results obtained by two single coding and fusion features are as shown in **Figure 2**.

From **Figure 2**, we can see that the ACC, Sn, Sp, MCC, and AUC of GO-KNN are 93.37%, 82.64%, 95.36%, 0.7519, and 0.9509, respectively. Those of CBOW and PUP-P-Fuse are denoted with red and green bars, respectively. Compared with GO-KNN and CBOW's ACC, Sn, Sp, MCC, and AUC predictive indicators, the PUP-P-Fuse increased by 2%–4%, 10%–11%, 0.6%–2%, 8%–13%, and 3%, respectively. In summary, all indicators of PUP-P-Fuse are higher than the other two models after data balancing. Therefore, it is proper to use RUS and SMOT in this issue.

#### 5.1.3 Effect of Classifiers

Classifiers play an important role in prediction. In this work, we used the above five classifiers to identify pupylation proteins. After 10-fold cross-folding verification, the results of ACC, Sn, Sp, MCC, and AUC of each classifier are shown in **Table 3**. From **Table 3**, we can see that XGBoost gained the best performance on each evaluation index. In order to better compare the effects of different classifiers, the prediction results of the five classifiers are as shown in **Figure 3**.

The area under the ROC curve can evaluate the predictive performance of the model. It is seen in **Figure 3** that the XGBoost classifier, of which AUC is 0.9840, is the best choice for the proposed model.

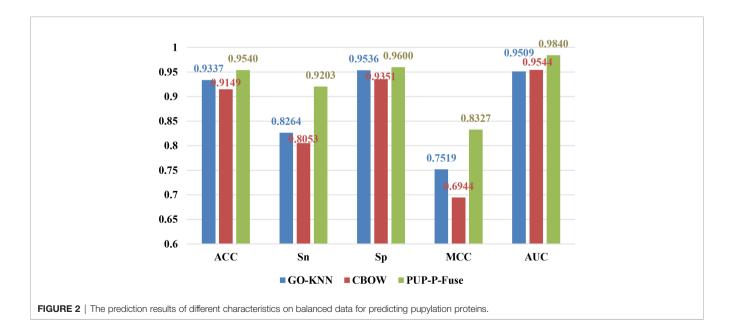
#### 5.1.4 Effect of Features on the Independent Dataset

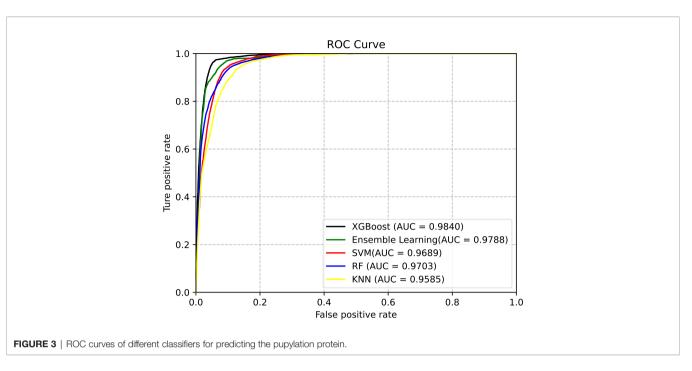
To verify the effect of the PUP-P-Fuse model, we used 67 pupylation proteins and 134 negative samples for independent testing; PUP-P-Fuse has the highest performance, as shown in **Table 4**. It can be seen that the effect of the PUP-P-Fuse model is still very good. However, from **Table 4** we can see that the overall

**TABLE 3** | The prediction results of different classifiers for predicting pupylation proteins.

Algorithms	Acc (%)	Sn (%)	Sp (%)	MCC	AUC
XGBoost	95.40	92.03	96.00	0.8327	0.9840
Ensemble Learning	93.87	90.61	94.48	0.7874	0.9788
SVM	91.36	93.65	90.96	0.7335	0.9689
RF	92.87	82.40	94.75	0.7355	0.9703
KNN	83.88	96.90	81.55	0.6104	0.9585

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.





**TABLE 4** | The prediction results of different classifiers on the testing set of pupylation proteins.

Algorithms	Acc (%)	Sn (%)	Sp (%)	мсс	AUC
XGBoost	84.66	80.99	86.62	0.6630	0.9251
Ensemble Learning	85.34	80.96	87.41	0.6738	0.9376
SVM	85.48	88.78	83.85	0.6955	0.9317
RF	84.55	79.15	87.79	0.6571	0.9270
KNN	78.56	83.97	75.61	0.5653	0.8868

performance of the SVM classifier is better than those of other classifiers.

### **5.2 Results and Discussion of Pupylation Site Prediction**

#### 5.2.1 Effect of Features on the Training Dataset

In this study, six single-feature codes are AAI, One-Hot, PseAAC, Word Embedding, CKSAAP, and TPC, and the feature PUP-S-Fuse was obtained after fusion. The six features are coded separately and obtained 855, 1140, 26, 896, and 2,646 dimensions, respectively. Through 10-fold cross-folding

**TABLE 5** | The effect of different feature extraction methods on the training set of pupylation sites.

Features	ACC (%)	Sn (%)	Sp (%)	мсс	AUC
AAI	56.71	56.21	57.52	0.1380	0.6148
One-Hot	57.49	59.49	55.95	0.1550	0.6296
PseAAC	61.56	62.00	61.64	0.2367	0.6597
Word Embedding	69.92	73.36	66.55	0.4001	0.7645
CKSAAP	68.84	68.92	69.20	0.3818	0.7596
TPC	70.36	70.69	70.65	0.4143	0.7697
PUP-S-Fuse	74.00	80.00	68.55	0.4883	0.7951

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.

verification, we choose the SVM classifier for training. Without feature selection, we obtain the prediction results of different feature extractions with a ratio of positive samples to negative samples of 1:1, as shown in **Table 5**.

From **Table 5**, we can see that the ACC, Sp, MCC, and AUC indicators of TPC are all higher than other single codes, and the Sn indicators of Word Embedding are all higher than other single codes. The fusion feature code PUP-S-Fuse performs better than any single feature on ACC, Sn, Sp, MCC, and AUC indicators. Therefore, feature fusion is very necessary for this issue.

### 5.2.2 Effect of the Chi-Square Test on the Training Dataset

As regards the model for predicting the pupylation site, we selected different *K* values for the chi-square test and compared them and found that the prediction effect has been relatively greatly improved after the chi-square test was used to select features.

It is seen in **Table 6** that when the *K* value is selected as 600, the ACC, Sn, and MCC of the pupylation site are predicted to be higher than other *K* values. When the *K* value is selected as 1,000,

 $\textbf{TABLE 6} \ | \ \text{The effect of feature fusion Pup-S-Fuse by using the chi-square test} \\ \text{for predicting pupylation sites.}$ 

Features	ACC (%)	Sn (%)	Sp (%)	мсс	AUC
K = 200	89.09	88.82	89.57	0.7830	0.9531
K = 400	91.21	92.89	89.52	0.8256	0.9565
K = 600	92.30	93.97	90.71	0.8477	0.9599
K = 800	91.99	93.31	90.55	0.8400	0.9634
K = 1,000	92.00	92.27	91.78	0.8394	0.9641
K = 1,200	90.70	91.77	89.70	0.8145	0.9604

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.

**TABLE 7** | The prediction results of different classifiers for predicting pupylation sites.

Algorithms	Acc (%)	Sn (%)	Sp (%)	MCC	AUC
EL	92.30	93.97	9071	0.8477	0.9599
SVM	91.72	95.27	88.59	0.8377	0.9659
RF	86.72	87.50	86.24	0.7361	0.9347
KNN	81.34	90.37	75.63	0.6706	0.9388
XGBoost	78.49	79.02	77.94	0.5703	0.8622

EL. ensemble learning.

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.

the Sp and AUC values of the pupylation site are higher than those of other *K* values. Therefore, from the overall effect, we finally selected 600 for predicting the pupylation site.

#### 5.2.3 Effect of Classifiers on the Training Dataset

Choosing the right machine learning (ML) algorithm is also a crucial step for predicting results. When predicting pupylation sites, we used RF, SVM, KNN, Ensemble Learning (EL), and XGBoost algorithms. In order to verify the effectiveness and superiority of the EL algorithm used to predict pupylation sites, we compared these algorithms through 10-fold cross-validation on the same training set. The prediction results are shown in **Table 7**.

From **Table 7**, although we know that the prediction effect of the EL classifier and SVM classifier is better, the overall prediction effect of the EL is better than that of the SVM. The prediction results of RF, KNN, and XGBoost are relatively poor. In order to evaluate the performance of the classifier more comprehensively, the ROC curves of different classifiers are as shown in **Figure 4**.

From **Figure 4**, we can clearly see that the area under the ROC curve of EL and SVM is the largest, and the AUC of EL is

about 2%-10% higher than that of other ML models. Therefore, EL was selected as the best classifier for predicting pupylation sites.

### 5.2.4 Comparison With Other Methods on Independent Datasets

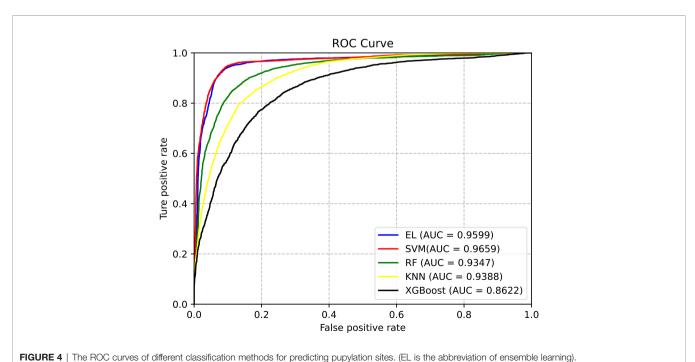
In order to compare PUP-S-Fuse with the existing five methods (GPS-PUP, iPUP, PUPS, PbPUP, and PUP-Fuse), tests were performed on the same independent set which contains 86 pupylation sites and 1,136 non-pupylation sites from 71 pupylation proteins. PUP-S-Fuse and PUP-Fuse were trained with the same training data set mentioned above, and the other four methods were quoted from the references. In the fairly compared performance, PUP-S-Fuse provided the highest performance, as shown in **Table 8**.

From **Table 8**, we know that the performance of PUP-S-Fuse on the test set is also better than that of PUP-Fuse. Acc, Sn, Sp, and MCC are increased by 9%, 19%, 6%, and 24%, respectively, which proves that PUP-S-Fuse is superior to existing predictors.

**TABLE 8** | Comparison of methods on Independent Dataset for predicting pupylation sites.

Methods	Acc (%)	Sn (%)	Sp (%)	мсс	AUC
Metrious	ACC (70)	311 (70)	Sp (70)	WICC	AUC
iPUP	73	40	88	0.32	
GPS-PUP	68	21	89	0.13	
PUPS	67	17	89	0.08	
pbPUP	79	48	82	0.45	
PUP-Fuse	82	59	91	0.55	
PUP-S-Fuse	91.35	78.26	97.38	0.7953	0.9550

The bold values are means the best performance of the column with the same metric and are showed in following tables with the same meaning.



#### 6 WEB SERVER AND USER GUIDE

The actual application value of a prediction method can be significantly improved if it has a web server that can be viewed by the public; accordingly, the PUP-PS-Fuse web server has been established. To maximize the convenience of most experimental scientists, a guide for users is provided below.

Step 1. Opening the web server at "https://bioinfo.jcu.edu.cn/PUP-PS-Fuse," the server consists of four main modules, namely, Pupylation Protein, Pupylation Site, Download (data download), and **Help** (website usage guide). You will see the top page of PUP-PS-Fuse on your computer screen.

Step 2. In the Pupylation Protein prediction module, you can enter the protein sequence in the input file box, but it must be in FASTA format. You can also click the example button where you will see that there are a correct example and an incorrect example as well as the text input format. Click the Close button, and you will return to the pupylation Protein prediction interface. Click the Submit button to get the prediction results. After 20 seconds or so since your submitting, you will see the following on the screen of your computer: "The Pupylation protein list includes ..." and "The non-Pupylation protein list includes ..."

Step 3. In the Pupylation Site prediction module, you can enter the protein sequence in FASTA format in the input file box. In the example\_site submodule, you will see that there are a correct example and an incorrect example as well as the text input format. Click the Close button, and you will return to the pupylation Site prediction interface. Click the Submit button to get the predicted results. After 2 min or so since your submitting, you will see the following on the screen of your computer: 'The number of "K" is X. Location  $M_1$ ,  $M_2$ ,  $M_3$ , ... is(are) predicted to be Pupylation Site(s).'

In the Download module, you can download the Pupylation protein dataset and Pupylation site dataset (also available in the **Supplementary Material**). By the way, you can click on the Help button to see a brief introduction about the predictors.

#### 7 CONCLUSION

PUP-PS-Fuse was developed to predict pupylation proteins and sites. In order to predict pupylation proteins, GO-KNN and Word Embedding served as feature extraction methods. In the work, GO-KNN extracted features based on the KNN score matrix of functional domain GO annotations, and Word Embedding converted information of the amino acid sequence into digital feature vectors. In addition, RUS and SMOT technology were used to deal with the imbalance of the data set to reduce the negative impact of imbalance on the model. Finally, the XGBoost classifier was selected to make predictions. In order to predict pupylation sites, six feature extraction codes

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 Li T, Chen Y, Li T, Jia C. Recognition of Protein Pupylation Sites by Adopting Resampling Approach. *Molecules* (2018) 23(12):3097–110. doi: 10.3390/molecules23123097 and one fusion feature extraction code are used, named as TPC, AAI, One-Hot, PseAAC, CKSAAP, Word Embedding, and PUP-S-Fuse. In order to improve the computational efficiency and eliminate the redundancy and noise generated by the fusion feature, the chi-square test served to reduce the dimensionality of the fusion feature. The selected feature subset was input into the Ensemble Learning for classification, and then 10-fold crossfolding was used for verification. The performance of PUP-S-Fuse is evaluated based on an independent test data set, and compared with other existing methods, it is concluded that the predictive performance of PUP-S-Fuse is better than other existing methods. These processes only require calculation models and do not require any physical and chemical experiments, which saves experimental costs and improves work efficiency. We hope that this work will be helpful for dealing with some related biological problems with computational methods.

#### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

#### **AUTHOR CONTRIBUTIONS**

W-RQ conceived and designed the experiments. M-YG, Q-KW, and L-LL performed the extraction of features, model construction, model training, and evaluation. M-YG drafted the manuscript. XX and W-RQ supervised this project and revised the manuscript. All authors contributed to the article and approved the submitted version.

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#### SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fendo.2022. 849549/full#supplementary-material

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### The Emerging Roles and Therapeutic Implications of Epigenetic Modifications in Ovarian Cancer

Yu Wang<sup>1†</sup>, Zhao Huang<sup>1†</sup>, Bowen Li<sup>1</sup>, Lin Liu<sup>2\*</sup> and Canhua Huang<sup>1\*</sup>

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#### \*Correspondence:

Lin Liu 104797809@qq.com Canhua Huang bcanhua@scu edu cn

<sup>†</sup>These authors have contributed equally to this work

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Wang Y, Huang Z, Li B, Liu L and Huang C (2022) The Emerging Roles and Therapeutic Implications of Epigenetic Modifications in Ovarian Cancer. Front. Endocrinol. 13:863541. doi: 10.3389/fendo.2022.863541 Ovarian cancer (OC) is one of the most lethal gynecologic malignancies globally. In spite of positive responses to initial therapy, the overall survival rates of OC patients remain poor due to the development of drug resistance and consequent cancer recurrence. Indeed, intensive studies have been conducted to unravel the molecular mechanisms underlying OC therapeutic resistance. Besides, emerging evidence suggests a crucial role for epigenetic modifications, namely, DNA methylation, histone modifications, and noncoding RNA regulation, in the drug resistance of OC. These epigenetic modifications contribute to chemoresistance through various mechanisms, namely, upregulating the expression of multidrug resistance proteins (MRPs), remodeling of the tumor microenvironment, and deregulated immune response. Therefore, an in-depth understanding of the role of epigenetic mechanisms in clinical therapeutic resistance may improve the outcome of OC patients. In this review, we will discuss the epigenetic regulation of OC drug resistance and propose the potential clinical implications of epigenetic therapies to prevent or reverse OC drug resistance, which may inspire novel treatment options by targeting resistance mechanisms for drug-resistant OC patients.

Keywords: ovarian cancer, drug resistance, cancer epigenetics, DNA methylation, histone modifications, non-coding RNA, epigenetic therapy

#### 1 INTRODUCTION

With around 239,000 new cases and 152,000 deaths each year, ovarian cancer (OC) is the seventh most prevalent cancer and the second leading cause of death from gynecologic cancer (1). Despite advances in surgical procedures, platinum-based chemotherapy, targeted medicines, and immunotherapy in recent decades, patients with OC still have a poor prognosis due to advanced and extensive disseminated tumors (2–4). The high death rate of OC is partly attributable to its extremely invasive growth pattern, which is hard to detect in early stages and frequently resistant to drugs (5, 6).

<sup>&</sup>lt;sup>1</sup> State Key Laboratory of Biotherapy and Cancer Center, West China Hospital, and West China School of Basic Medical Sciences & Forensic Medicine, Sichuan University, and Collaborative Innovation Center for Biotherapy, Chengdu, China,

<sup>&</sup>lt;sup>2</sup> Department of Anesthesiology, The Affiliated Hospital of Medical School, Ningbo University, Ningbo, China

Drug resistance is a significant barrier to treating OC and leads to a poor prognosis. While 80% of individuals initially diagnosed with OC respond to conventional first-line therapy, such as platinum-based chemotherapy and surgical cytoreduction, roughly 75% of patients with advanced OC relapse within three years, which is usually fatal (2, 5). With notable therapeutic advantages, poly (ADP-ribose) polymerase (PARP) inhibitors have emerged as the first targeted medicines for patients with platinum-sensitive recurrent OC (7, 8). However, the effectiveness of PARP inhibitors is severely limited in OC due to the narrow spectrum of administration and various resistance mechanisms (9, 10). To improve the prognosis of patients with OC, it is vital to understand the underlying mechanisms of treatment resistance in OC and develop techniques to postpone or overcome drug resistance (**Figure 1**).

It is now generally accepted that drug resistance may arise from the diminished intracellular accumulation of drugs, alterations of drug targets, deregulation of immune response, and issues with the cell death executioner machinery, as well as the generation and maintenance of drug-resistant cells (11–15). Furthermore, a recent study suggested that epigenetic regulation (namely, DNA methylation, histone modifications, and noncoding RNA regulation) is one of the key mechanisms in OC that drives both intrinsic and acquired treatment resistance (16–19) (**Figure 2**). For instance, as one of the most effective

broad-spectrum anti-cancer drugs, cisplatin kills tumor cells via DNA damage. However, epigenetic alterations are frequently observed in this process and are associated with cisplatin resistance (20, 21). For example, DNA methylation plays an indispensable role in OC drug resistance. Early studies suggested that hypermethylation of the BRCA1 gene in OC cells confers susceptibility to platinum-based chemotherapy (22, 23). At least 20 post-translational modifications occur in histone to govern the structures and activities of DNA. Accumulating evidence demonstrates that histone modifications, namely, acetylation, methylation, and phosphorylation, are linked to OC development and treatment resistance (24-26). Moreover, non-coding RNAs (ncRNAs), such as long non-coding RNAs (lncRNAs) and microRNAs (miRNAs), are currently recognized to be involved in various biological processes, including drug resistance (27, 28). As discussed above, we have summarized the epigenetic regulation in OC drug resistance (Table 1). Importantly, epigenetic alterations are reversible, and emerging epigenome-targeted therapy strategies can overcome OC drug resistance by reversing histone modifications and DNA methylation or by targeting ncRNAs. In this review, we will discuss the detailed mechanisms of epigenetic regulation that contribute to drug resistance in OC and highlight the advantages and challenges of epigenome-targeted therapy strategies for treating OC.

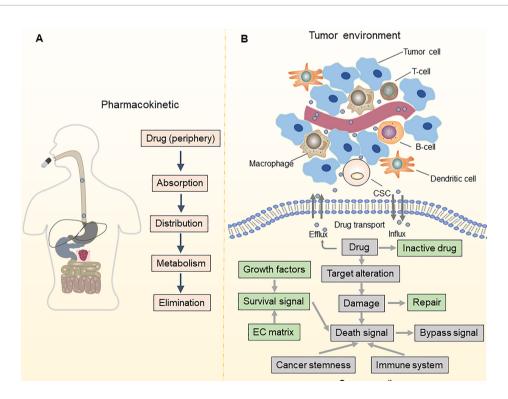


FIGURE 1 | Pharmacokinetic and TME-associated factors contribute to drug resistance. (A) Mechanisms of drug resistance can be due to pharmacokinetics, including drug absorption, distribution, metabolism, and elimination; (B) Alterations in drug influx and efflux system impact the intracellular accumulation of anti-cancer drugs in tumor cells. The leading determinants of drug resistance are altered in drug targets, bypass signaling pathways, DNA damage and repair, and cell death signaling. Besides, maintenance of cancer stemness and a tumor-promoting immune microenvironment also contribute to drug resistance.

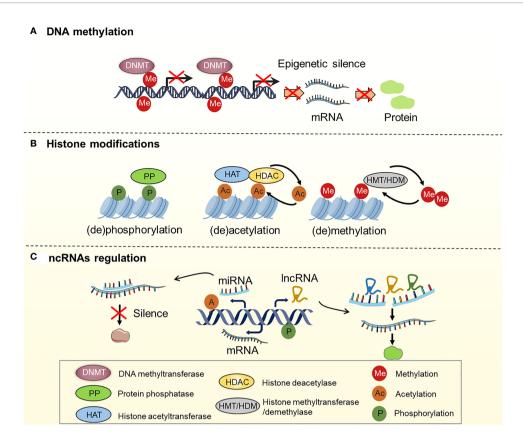


FIGURE 2 | Regulation of DNA methylation, histone modifications and ncRNAs in OC. (A) Genes are silenced by hypermethylation, which is catalyzed by DNA methyltransferases (DNMTs); (B) Histone modifications, including histone (de)phosphorylation, (de)acetylation, (de)methylation, etc. Histone (de)phosphorylation is catalyzed by protein phosphatase (PP). Histone (de)acetylation is catalyzed by histone acetyltransferase (HAT) and histone deacetylase (HDAC). Histone (de) methylation is catalyzed by histone methyltransferase (HMT) and histone demethylase (HDM); (C) ncRNAs regulation: miRNAs and lncRNAs regulate gene expression by interacting with mRNA.

TABLE 1 | Summary of epigenetic regulation in OC drug resistance.

Epigenetic regulation	Resistance against	Function	Target/pathway	References
MGMT	Paclitaxel	Chemoresistance	MGMT. DUB3,	(29)
	Cisplatin		MCL1	
DNMT1	Paclitaxel	Reverse paclitaxel resistance	DNMT1/CHFR/	(30)
			Aurora A	
DNMT3A	Cisplatin	Cisplatin resistance	miR-143	(31)
DNMT3B	Cisplatin	Autophagy,	RBP1	(32)
		cisplatin resistance		
H3K14ac	Paclitaxel	Chemoresistance	RBP2/KDM5A/	(33)
	Cisplatin		Jarid1A	
H3K27ac	Platinum	Platinum resistance	IL2/STAT5, TGF-β	(34)
miR-136	Paclitaxel	Inhibition of proliferation	Notch3	(35)
miR-98-5p	Cisplatin	Promotion of drug resistance	miR-98-5p/	(36)
			Dicer1/miR-152	
miR-142-5p	Cisplatin	Inhibition of drug resistance	XIAP, BIRC3,	(37)
			BCL2	
miR-509-3p	Platinum	Enhance drug sensitivity	GOLPH3, WLS	(38)
miR-34a-5p	Cisplatin	Inhibition of proliferation	PD-L1	(39)
HOTAIR	Cisplatin	DNA damage response	NF-κB, miR-200c	(40, 41)
UCA1	Paclitaxel Cisplatin	Drug effluent system	miR-143/FOSL2	(42)
BC200	Carboplatin	Tumor suppressor	Proliferation	(43)
GAS5	Platinum	Induction of apoptosis	Cyclin D1, p21, APAF1	(44)
LSINCT5	Paclitaxel	Promotion of proliferation, migration, invasion	CXCL12/CXCR4	(45)

### 2 EPIGENETIC MODIFICATIONS IN OC DRUG RESISTANCE

Since the discovery of methylation of DNA repair genes by O6-methylguanine-DNA methyltransferase (MGMT), the role of epigenetic modifications in the context of inherent or acquired drug resistance has been extensively explored (46). Multiple mechanisms, namely, altered drug targets and bypass signaling pathways, enhanced drug efflux and metabolism, downstream adaptive responses, and maintenance of cancer stemness, are the primary causes of decreased anti-cancer drug effectiveness (47–50). Furthermore, emerging evidence suggests that the tumor microenvironment (TME) is crucial to multidrug resistance (MDR) in cancer cells (51–53). The molecular mechanisms of epigenetic regulation-mediated OC drug resistance, namely, enhanced drug efflux and metabolism, alteration of drug targets and bypass signaling pathways, downstream adaptive responses, maintenance of cancer stemness, and TME, are discussed here.

#### 2.1 Epigenetic Modifications Involved in Drug Transport and Metabolism

Effective cytotoxic drug treatment requires a sufficient intracellular drug concentration in tumor cells. Drug concentration is coordinated by transporters mediating the influx and efflux of drugs and enzymes mediating drug metabolism. The effectiveness of chemotherapeutic and targeted drug delivery into cancer cells is determined by drug inflow and efflux transporters. The most known such transporters are the ATP-binding cassette (ABC) transporter family members, namely, ABCB1 (MDR1), ABCC2 (MRP-2), and ABCG2 (BCRP/MXR1), which have been widely shown to be

associated with drug resistance (54, 55). The epigenetic control of ABC transporter-induced OC resistance has recently progressed significantly (Figure 3). ABCB1 is the first ABC transporter identified and plays a crucial role in determining drug sensitivity. The epigenetic regulation of ABCB1 is related to drug transportation in OC cells. For instance, paclitaxel therapy increased histone H3 acetylation and targeted the ABCB1 promoter in conjunction with the androgen receptor (AR), resulting in ABCB1 gene expression and the establishment of the paclitaxel resistance phenotype (56). Wu et al. found that overexpression of miR-873 improved the susceptibility of OC cells to paclitaxel and cisplatin by targeting ABCB1 (57). Besides, Tian et al. demonstrated that miR-490-3p enhances the sensitivity of OC cells to cisplatin by downregulating ABCC2 expression (58). Nevertheless, hnRNPA2B1 was shown to bind to the 5'UTR of ABCC2 mRNA and promote its translation, leading to cisplatin resistance in OC (59). Furthermore, ABCG2 is strongly expressed in cisplatin- or paclitaxel-resistant OC and OC stem cells, indicating the key role of ABCG2 in drug resistance and stemness acquisition in OC (60). Calcagno and colleagues discovered that elevated acetylation of histone H3 in the ABCG2 promoter is a cellular response to the treatment of doxorubicin, which underlies its doxorubicin resistance (61). Some lncRNAs and miRNAs found in extracellular vesicles (EVs) generated by drug-resistant cells control the expression of ABCG2, hence impacting tumor drug resistance (62-65). These investigations indicate that epigenetic regulation plays an essential role in OC drug resistance by modulating the ABC transporter family.

Drug metabolism regulates bioactivation, catabolism, conjugation, and excretion, determining drug clinical efficacy and toxicity (66). Drug metabolism enzymes can be affected by

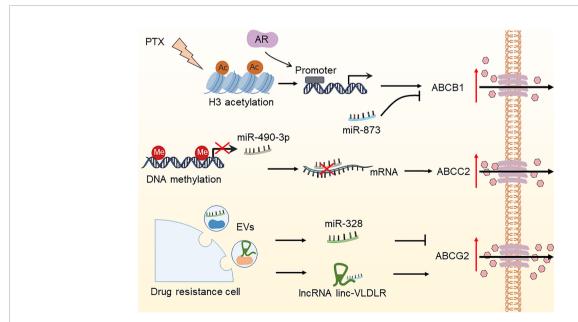


FIGURE 3 | Epigenetic modifications regulate the expression of ABC transporters in OC. The DNA methylation of miR-490-3p, increased histone H3 acetylation due to paclitaxel treatment, miR-328, and IncRNA linc-VLDLR in EVs have been reported to increase drug efflux by regulating the expression of ABCC2, ABCB1, and ABCG2, thereby leading to OC drug resistance. PTX, paclitaxel; AR, androgen receptor; EVs, extracellular vesicles.

alterations in the expression level of affect drug metabolism. For instance, epigenetic alterations regulate the expression of the cytochrome P450 (CYP) enzyme family, which is the most wellknown drug-metabolizing enzyme, thus affecting the metabolism of several anti-cancer medications. A recent study showed that histone modification enzyme G9a regulates the expression of CYP450 by affecting histone 3 lysine 4 and histone 3 lysine 27 methylation, suggesting G9a alters drug sensitivity (67). Luo and colleagues emphasized an unconventional epigenetic regulation mechanism of CYP gene expression; that is, miR-148a stimulates CYP2B6 expression by binding to the 3'UTR region to improve mRNA stability (68). Furthermore, miR-543 overexpression increases the production of CYP3A4, which is involved in the metabolism of ruxolitinib (69). Other drug-metabolizing enzymes are controlled by epigenetic alterations, which must be investigated further.

#### 2.2 Epigenetic Modifications Regulate Drug Targets and Bypass Signaling Pathways

Over the past decade, targeted agents have been steadily introduced into clinical trials for treating recurrent OC, namely, the anti-VEGFR agent bevacizumab, PARP inhibitors (olaparib, niraparib, and rucaparib), the anti-MEK inhibitor trametinib, and anti-HER2 pertuzumab. However, chemotherapy resistance resulting from epigenetic alterations limits the effectiveness of these targeted treatments. Resistance to targeted therapy arises primarily through reduced expression of drug targets and activated bypass signaling pathways during treatment with targeted drugs (70–72). Here, we summarize the epigenetic modification-mediated drug resistance in OC, caused by the deregulated expression of drug targets, mutation of drug targets, and the persistent activation of bypass signaling pathways.

Angiogenesis is critical in the etiology of OC, which is associated with the generation of vascular endothelial growth factor (VEGF), linked to the progression of malignant ascites and OC (73, 74). In clinical trials, bevacizumab, an anti-VEGF monoclonal antibody, has been proven to improve outcomes in OC patients in clinical trials (75, 76). However, epigenetic modifications may diminish VEGF expression. Vesna and colleagues found twenty significant CpG sites in promoter regions, suggesting that the concentration of VEGF-A can be regulated by DNA methylation (77). Based on The Cancer Genome Atlas (TCGA) databases, Zhou and colleagues discovered that overexpression of insulin-like growth factor 2 mRNA-binding protein 3 (IGF2BP3) was related to cancer progression and poor survival of patients. Mechanistically, IGF2BP3 can bind with the mRNA of VEGF, where IGF2BP3 serves as a reader to recognize the m6A modification on VEGF mRNA. This effect controls both the production and stability of VEGF mRNA, which may affect drug sensitivity (78). Besides, VEGFA is the direct target of miR-652-5p, and miR-652-5p is down-regulated by hypermethylation at the upstream CpG site. Increasing VEGF synthesis is linked to tumor progression and metastasis (79). Moreover, it has been shown that cells with methylated BRCA1 have defective homologous recombination

(HR) activity, thus being highly responsive to PARP inhibitors (olaparib, niraparib, and rucaparib), implying that BRCA1 inactivated by epigenetic mechanisms contributes to drug sensitivity (80–82).

In addition to alterations in drug targets, epigenetic modifications are implicated in the activation of bypass signaling pathways to regulate OC drug resistance. Although the Notch pathway is closely related to the growth of OC tumors, its clinical significance and molecular mechanisms remain unclear. Hu et al. found that alterations in the Notch pathway are prevalent and closely related to poor clinical outcomes in patients with OC (83, 84). For instance, epigenetic regulation of multiple Notch target genes (such as PPARG, CCND1, and RUNX1) can regulate the activation of the Notch pathway, which is associated with the prognosis of OC patients (85). Liu and colleagues found that Fas deficiency inhibits the release of miR-29b, thereby increasing intracellular miR-29b levels and subsequently downregulating DNA methyltransferase 1 (DNMT1) expression, which results in hypomethylation of the Notch1 promoter region and activation of Notch signaling (86). Hirsch et al. discovered that inhibition of histone deacetylase might alleviate abnormalities in the Notch and Eph axis in prion protein PrP deficient and prion-infected cells (87). Besides, studies have demonstrated that lncRNA HOTAIR induces OC drug resistance to cisplatin through activating the Wnt/β-catenin pathway (88). Further studies of epigenetic modifications that regulate drug targets and bypass signaling pathways may increase our understanding of the development of potential strategies to reverse drug resistance.

### 2.3 Epigenetic Modifications Modulate DNA Damage and Repair

Most chemotherapeutic drugs induce cell death through apoptosis due to DNA damage (89, 90). After treatment with cytotoxic agents, eukaryotic cells usually undergo damage repair to avoid apoptosis, leading to drug resistance (91). Therefore, components of the DNA repair system, such as O6methylguanine-DNA methyltransferase (MGMT), can promote chemotherapeutic resistance. MGMT mediates the direct removal of O6-methylguanine (O6-MEG), a mark of DNA damage induced by temozolomide (TMZ), thereby facilitating DNA repair in melanoma cells. Other TMZ-induced lesions are mostly repaired by base excision repair (BER) or direct removal mechanisms catalyzed by DNA demethylase ALKBH2/3 (91, 92). Poly-ADP-ribose polymerase inhibitors (PARPis) are the most effective therapies approved for treating OC, and poly-ADPribose polymerase (PAPR) inhibitors (olaparib, niraparib, and rucaparib) are already being investigated in OC clinical trials. Indeed, all PARPis exhibit radio- or chemo-potentiation effects in vitro and in vivo, which is consistent with their ability to inhibit DNA damage repair (93, 94). According to a recent study by Nephew and colleagues, platinum-induced DNA damage contributes to the activation of the NF-KB pathway by the lncRNA HOTAIR and cellular senescence. Furthermore, DNA damage response activated NF-KB, which in turn triggered HOTAIR and created a positive-feedback loop, resulting in

sustained NF-KB activation and persistent DNA damage signaling (40). Mutations in p53 are observed in 42% of human tumors (95). The regulation of RNA m6A on TP53 has been proven to overcome drug resistance by controlling downstream pathways and DNA damage repair, suggesting that it is a viable therapeutic approach (96). Meng et al. discovered that AZD1775, not only carboplatin, can increase sensitivity to gemcitabine and olaparib in TP53-mutated gynecologic cancer cells (97). WEE1 is a tyrosine kinase that blocks the CDK1/cyclin B complex by inducing CDK1 phosphorylation at tyrosine 15 (Y15), inducing cell cycle arrest and allowing DNA repair (98, 99). AZD1775 is a first-in-class, powerful, and selective WEE1 inhibitor that has shown a considerable anti-tumor effect in OC patients with TP53 mutations when combined with carboplatin (100). In conclusion, uncovering the mechanisms that influence epigenetic modification function in DNA damage repair may develop new strategies for the sensitization of OC cells to DNA damage inducers.

### 2.4 Epigenetic Modifications Activate Downstream Adaptive Responses

The interaction of anti-cancer drugs with corresponding cellular targets can induce cell death. However, a variety of adaptive cellular responses governed by epigenetic modifications are engaged to support the survival of tumor cells, which is the major target of cancer therapy. These include inhibition of apoptosis, initiation of autophagy, ferroptosis, and activation of pro-survival signals (101–103).

#### 2.4.1 Apoptosis

After medication therapy, cancer cells with enhanced DNA repair capacities can survive drug-induced DNA damage. The anti-apoptotic proteins (Bcl-2), inhibitor of apoptosis proteins (IAPs), and FLICE inhibitor proteins (FLIP) are upregulated in tumor cells, which contributes to their medication resistance (90). As an anti-apoptotic member of the Bcl-2 family, MCL1 plays an important role in the advanced chemotherapy resistance of OC. Wu et al. found that the deubiquitinating enzyme 3 (DUB3) in the cytoplasm of OC cells interacts with and deubiquitinates MCL1, thereby protecting MCL1 from degradation. Furthermore, they discovered that histone deacetylase inhibitors (HDACis) could increase the expression level of MGMT/DUB3, and HDACis combined with PaTrin-2 treatment has a significant effect on OC (29). Zhu et al. found that ALKBH5 is a potential target for OC therapy, which activates the EGFR-PIK3CA-AKT-mTOR signaling pathway, enhancing the stability of BCL-2 mRNA and promoting the interaction between Bcl-2 and Beclin1 (104). Abedini et al. discovered that p53 could promote the ubiquitination and subsequent proteasomal degradation of FLIP in response to cisplatin treatment, leading to the apoptosis of OC cells, potentially improving the therapeutic effects of cisplatin on OC.

#### 2.4.2 Autophagy

Autophagy partly enables tumor cells to cope with external stress, leading to the survival of cancer cells treated with anti-

cancer drugs (105-107). Bi et al. have demonstrated that blocking autophagy can overcome resistance to HDAC inhibitors in gynecologic cancers (108). Autophagy can be activated by the upregulation of autophagy-related gene 14 (ATG14), and the abnormal expression of autophagy-related proteins contributes to drug resistance in OC. MiR-29c-3p inhibits autophagy and cisplatin resistance in part by downregulating the FOXP1/ATG14 pathway, suggesting that miR-29c-3p is a novel target for overcoming cisplatin resistance in OC (109). Bi et al. found that inhibition of methyltransferase-like 3 (METTL3) inhibits miR-126-5p's upregulation of PTEN by regulating m6A modification, thereby preventing the activation of the PI3K/Akt/mTOR pathway and inhibiting the occurrence of OC. This finding highlights the role of m6A modification as a potential target for future OC treatment (110). O-Glcnacylation is a posttranslational modification in which the O-GlCNAc transferase (OGT) transfers glucosamine (GlcNAc) to serine or threonine residues. Zhou et al. found that the levels of O-GlCNAC and OGT in OC chemically sensitive tissues were significantly higher than those in chemoresistant OC tissues, which reduced apoptotic cell death, resulting in increased resistance of OC cells to cisplatin (107). Together, the epigenetic modifications play an orchestrated role in regulating autophagy, and the effects of the epigenetic modifications on autophagy are cancer context-dependent. Additional studies are needed to elucidate other determinants of autophagy regulation related to epigenetic modifications.

#### 2.4.3 Ferroptosis

Using anti-cancer drugs to trigger apoptotic cell death is one of the principal ways to kill cancer cells. However, due to the acquired or intrinsic resistance of cancer cells to apoptosis, the effect of apoptosis inducers is limited (111, 112). Recently, a growing number of compounds and anti-cancer drugs kill tumor cells by ferroptosis (113-116). For instance, PARP inhibitors like olaparib kill BRCA mutant OC cells through ferroptosis (117). Ferroptosis is a newly discovered form of oxidative cell death caused by iron-dependent peroxidation of lipids. Thus, the redox balance controlled by various redox-active enzymes, which detoxify free radicals and lipid oxidation products, is critical for cells to avoid ferroptosis (118). Several regulatory molecules, such as GPX4, Nrf2, and members of the solute carrier (SLC) family of molecules, play essential roles in the aberrant iron metabolism and maladjustment of the antioxidant system. Studies have shown that epigenetic modification of these potent genes allows cancer cells to escape drug-induced ferroptosis, leading to drug resistance. Among them, ROS scavenger GPX4 is a key regulatory molecule of ferroptosis, which can convert lipid hydrogen peroxide into non-toxic lipid alcohols (119, 120). Due to upstream DNA hypomethylation and high levels of H3K27ac and H3K4me3, the expression level of GPX4 in OC tissues is higher than that in normal tissues, and it is negatively correlated with the prognosis of patients, indicating that aberrant GPX4 expression in cancer may result from epigenetic regulation (121). Additionally, they found that the GPX4 inhibitor RSL3 improves the anti-cancer effects of cisplatin by enhancing ferroptosis in vitro and in vivo (121, 122). Studies

have shown that the activity of GPX4 depends on glutathione produced by system Xc (also known as SLC7A11 or xCT) (123). Indeed, p53 or the histone deubiquitinating enzyme BAP1 inhibiting SLC7A11 expression promotes ferroptosis (124, 125).

In addition to the above, the epigenetic alterations of transcription factor nuclear factor erythroid 2-related factor 2 (NRF2), a vital regulator of cellular antioxidant response and ferroptosis by upregulating SLC7A11, can affect the treatment resistance of OC (126, 127). For instance, hypermethylation of the gene promoter in the KEAP1/NRF2 axis has been described in various tumor tissues and is closely related to tumor recurrence and drug resistance (128–130). Van Jaarsveld et al. demonstrated that miR-141-mediated regulation of the KEAP1/NRF2 axis plays a crucial role in the OC response to cisplatin (131). Taken together, these findings provide evidence that epigenetic modifications play a significant role in ferroptosis and drug resistance of OC cells.

#### 2.4.4 Pro-Survival Signaling

Cell survival and death are determined by the epidermal growth factor receptor (EGFR) and AKT (protein kinase B) signaling pathways. Cell survival and death (132, 133) are regulated by HR and non-homologous terminal junctions (NHEJ). The activation of EGFR and AKT can be induced by epigenetic alterations, which may lead to chemotherapy resistance in OC treatment. Overexpression or gene amplification of EGFR and HER2 is frequent in multiple cancers, including OC (134). Cao et al. found that EGFR, phosphorylated EGFR (P-EGFR), and phosphorylated AKT (P-Akt) were up-regulated in miR-125A-5p- and TAZ-transfected OC cells through m (6) A modification (135). Lin et al. discovered that the RNA methyltransferase METTL3, which is involved in mRNA biosynthesis, degradation, and translation regulation, enhances the translation of EGFR and the TAZ in human cancer cells (136). Furthermore, Luo et al. have identified that the RNA polymerase II transcriptional mediator subunit 12 (MED12) down-regulates EGFR expression by binding to the EGFR promoter and mediates chemotherapy resistance in OC (137). Therefore, targeting epigenetic modifications might be an effective strategy to sensitize tumor cells to DNA-damaging agents.

### 2.5 Epigenetic Modifications Involved in the Maintenance of Cancer Stem Cells

Cancer stem cells (CSCs) are a sub-population of tumor cells that are responsible for driving tumor growth, metastasis, and therapy resistance (52, 138, 139). Emerging results indicate that CSCs contribute to chemoresistance and poor clinical outcomes in various malignancies, including OC (140). Accumulating evidence has shown that CSCs display an innate predisposition to be chemotherapy-resistant and result in tumor relapse (141–143). Furthermore, ovarian cancer stem cells (OCSCs) contribute to resistance to chemotherapy (144). Therefore, solving this thorny problem might lead to the development of new strategies to tackle drug-resistant OC. Because epigenetic regulation plays an integral role in the control of normal stem cell differentiation, strategies to target

cancer stem cells can be developed through epigenetics. Epigenetic modifications enable cancer cells to self-renew and generate CSCs, leading to drug resistance (140).

Wang and colleagues hypothesized that hypomethylation drugs might target resistant OCSCs to cure tumors along with chemotherapy drugs. In an orthotopic mouse model, they identified and analyzed ALDH (+) OCSC from OC cell lines and clinical samples, finding that ALDH (+) cells were more chemoresistant than ALDH (-) cells. Treatment with SGI-110, a second-generation DNA methyltransferase inhibitor (DNMTi), re-sensitizes OCSCs to platinum (140). Xu et al. demonstrated that STON2 could negatively regulate the stemness in OC cells by DNMT1-MUC1. STON2 plays a role in OCSC biology and could be used as a therapeutic target for OC treatment (145). Studies have shown that MYPT1 encoding myosin phosphatase target subunit 1 is down-regulated in OC, leading to resistance to platinum-based therapy (146). Similarly, miR-30b could target MYPT1 to lead to enhanced CSC-like properties in OC cells and activate the Hippo pathway. Moreover, inhibition of YAP sensitizes cells to platinum-based therapy (50). Taken together, these studies suggest that epigenetic modifications of these signaling pathways play an essential role in the development of drug resistance in OC.

### 2.6 Epigenetic Modifications and the Tumor Microenvironment

A tumor microenvironment (TME) refers to the ecological niche in which tumor cells interact with the host stroma, including various immune cells, endothelial cells, fibroblasts, tumor cells, and metabolites. It is now generally accepted that TME significantly influences the efficacy of anti-cancer drugs (51). TME reprogramming caused by epigenetic dysregulations has recently been recognized as an important factor in the progression and drug resistance of OC (2, 147). Here, we systematically generalize the epigenetic modifications of non-malignant OC-related microenvironment cells (namely, cancer-associated fibroblasts, mesenchymal stem cells, and tumor-associated macrophages), which is conducive to evaluating the therapeutic potential of epigenetic regulation of TME-associated cells (Figure 4).

#### 2.6.1 Cancer-Associated Fibroblasts (CAFs)

Fibroblasts located in the TME, also termed CAFs, are the main components of host stromal cells and the main source of collagenous cells in solid tumors (148). They play an essential role in supporting tumors by reconstructing the extracellular matrix. Multiple studies have identified the important role of CAFs in tumor-stroma communication through the excretion of various growth factors and chemokines, contributing to tumor growth, immunosuppression, angiogenesis, cell stemness, and drug resistance (149, 150). Therefore, epigenetic modifications associated with CAFs may affect the resistance of OC.

Studies have reported that the DNA methylation state of genes in mesenchymal fibroblasts from various cancer tissues conforms to the methylation features identified in nearby malignant cells (151, 152). Furthermore, the expression of

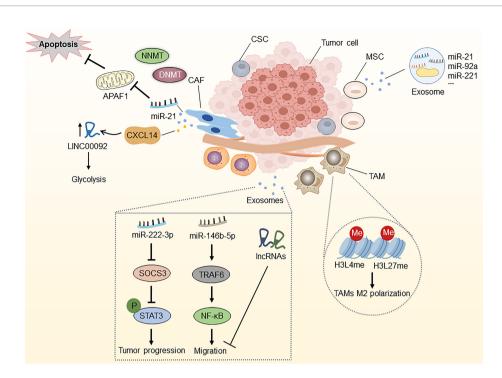


FIGURE 4 | Epigenetic modifications in the tumor microenvironment. NNMT can diminish histone methylation in CAFs, and CAFs secrete miR-21 and CXCL14, which enhance OC development, metastasis, and therapy resistance. MiR-21, miR-92a, and miR-221, which are abundant in MSC-derived exosomes, are associated with OC development. Epigenetic alterations in histone H3 lysine4 and histone H3 lysine27 methylation in TAMs can induce macrophage M2 polarization. Epithelial ovarian cancer (EOC)-released exosomal miRNAs and IncRNAs via the SOCS3/STAT3 pathway and miR-146b-5P/TRAF6/NF-κB/MMP2 pathway regulate the progression, migration and drug resistance of OC. CAF, cancer-associated fibroblast; MSC, mesenchymal stem cell; TAM, tumor-associated macrophage; CSC, cancer stem cell; NNMT, nicotinamide N-methyltransferase; DNMT, DNA methyltransferase; APAF1, apoptosis protease activator-1; APAF6, TNF receptor associated factor 6.

stromal nicotinamide N-methyltransferase (NNMT) is essential for the functional aspects of the CAF phenotype and supports the growth and metastasis of OC. Stromal NNMT expression in CAFs depletes S-adenosyl methionine and histone methylation, which is related to the global alternations of gene expression in the tumor stroma (153). Additionally, CAFs secrete miR-21, which targets apoptosis protease activator-1 (APAF1), leading to paclitaxel resistance in metastatic or recurrent OC (154). New evidence suggests that OC cells reprogram fibroblasts into CAFs through the action of miRNAs in the TME, including miR-31, miR-214, and miR-155. Targeting these miRNAs in stromal cells might be of therapeutic value, suggesting these miRNAs as novel therapeutic targets for halting OC progression (155). Curtis and colleagues discovered that CAF-secreted CXCL14 promoted OC development and invasion by interacting with the major restriction enzyme, 6-Phosphofructo-2-Kinase/Fructo-2,6-Biphosphatase 2 (PFKFB2) and boosting LINC0009, a long non-coding RNA, to accelerate glycolysis (156, 157). These observations suggest that molecular insights into the abnormally expressed lncRNAs in CAFs are essential for the further diagnostic and therapeutic strategies of OC.

#### 2.6.2 Mesenchymal Stem Cells (MSCs)

MSCs contain various pluripotent cell subpopulations, and MSCs have been reported in most organs and tissues, including the

ovaries (158). Because of their potential to develop into other active cancer-promoting stromal components (such as CAFs and cancer-associated adipocytes) and sustain cancer stem cell (CSC) populations, MSCs are strongly linked to cancer progression. In particular, OC-associated MSCs exhibit pluripotency and promote stem cell growth, increase resistance to platinum-based chemotherapy, and provide tumor matrix support and neovascularization (159–161). Although the great bulk of research has concentrated on understanding the impact of cellular signaling pathways, the epigenetic interactions between MSCs and OC remain largely unexplored.

Considering the function of epigenetic modifications in CSC reprogramming, MSCs can be efficiently transformed into CSCs by custom chromatin remodeling. Besides, MSCs can also differentiate into distinct stromal cells by epigenetic regulation (162–164). Through exosomal RNA sequencing, Reza et al. demonstrated numerous miRNAs that exhibit anti-cancer activity by targeting different molecules associated with OC survival (165). New evidence suggests that miR-21, miR-92a, and miR-221, abundant in MSC-derived extracellular vesicles, are associated with OC development (166). Taken together, the above findings revealed a direct or indirect epigenetic relationship between MSCs and OC cells, which should be investigated further and might lead to the identification of new therapeutic targets for OC.

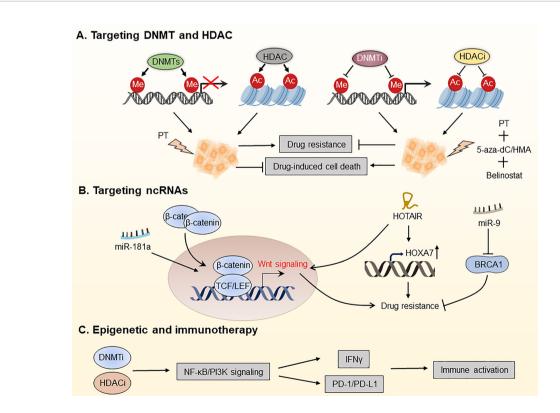
#### 2.6.3 Tumor-Associated Macrophages (TAMs)

TAMs are the most abundant myeloid cell type in the TME and are involved in cancer-related inflammation, matrix remodeling, angiogenesis, metastasis, cancer cell stemness, tumor immune escape, and drug resistance (167-170). The unique TME determines macrophage diversity and the ability to switch between M1 (pro-inflammatory with anti-tumor activity) and M2 (anti-inflammatory with pro-tumor activity) phenotypes (171, 172). Epigenetic regulation plays a significant role in TAM differentiation and activation, which is significantly associated with tumor drug resistance. For instance, studies have found that epithelial ovarian cancer (EOC)-released exosomal miR-222-3p activated M2 polarization and tumorpromoting capacities in ovarian TAMs by the SOCS3/STAT3 pathway. Similarly, exosomal miR-940 released from hypoxic epithelial ovarian tumors induces a shift in the macrophage M2 phenotype (173, 174). Furthermore, TAM-secreted exosomes prevented endothelial migration by targeting the miR-146b-5P/ TRAF6/NF-κB/MMP2 pathway, and the lncRNAs in OCsecreted exosomes effectively inhibit migration (175). Ishii et al. described M2 macrophage polarization through STAT6mediated reciprocal epigenetic alterations in histone H3 lysine4 and histone H3 lysine27 methylation, which resulted in transcriptional activation of particular M2 manufacturing

genes (176). Together, these findings highlight the importance of TAM-regulated epigenetic alterations in OC, as they may reveal novel diagnostic and therapeutic approaches.

### 3 THERAPEUTIC TARGETING OF THE OC EPIGENOME

OC treatment prioritizes surgery and cytoreduction, followed by cytotoxic platinum and taxane chemotherapy. Although most OC patients respond effectively in the early phases of therapy, new therapeutic options are urgently needed to enhance outcomes for the most advanced patients (1). In the last decade, immunotherapy and targeted therapy have shown remarkable benefits for treating OC (177–179). Furthermore, due to the reversibility of epigenetic alterations, the prevention of treatment resistance through epigenetic drugs has become an attractive therapeutic concept, and the combination of epigenetic therapies with chemotherapy, immunotherapy, and molecularly targeted treatments holds a lot of promise for OC treatment. Based on this, epigenetic regulation, namely, DNA methylation, histone modifications, and ncRNA regulation, has emerged as a potential therapeutic target for OC (Figure 5).



**FIGURE 5** | Targeting the OC epigenome and overcoming drug resistance in OC. (A) Platinum therapy combined with DNA methyltransferase inhibitors (DNMTis) and histone deacetylation inhibitors (HDACis) has been used for chemotherapeutic sensitization. (B) Overcoming OC drug resistance by targeting certain ncRNAs. (C) DNMTi and HDACi increased the responsiveness of OC to immune checkpoint therapy by reducing the immunosuppressive milieu *via* the type I IFN signaling pathway. PT, platinum; 5-aza-dC, 5-aza-2-deoxy-cytidine; HMA, hypomethylating agent; PD-1, programmed death protein 1; PD-L1, programmed death ligand 1.

#### 3.1 Targeting DNMT

As one of the most well-known epigenetic modifications, DNA methylation plays an essential role in DNA repair, apoptosis, angiogenesis, gene expression regulation, and drug resistance. To date, aberrant DNA hypermethylation has been found in drugresistant cancer cells, and drug-induced DNA hypermethylation has been proposed as a potential mechanism and biomarker of drug resistance. DNA methylation is mediated by three DNA methyltransferases (DNMTs), primarily by DNMT1, which mediates maintenance (one strand) methylation, and by DNMT3A and DNMT3B, which catalyze de novo methylation (180). The activity of DNMTs can be blocked by DNMT inhibitors (DNMTis), which are analogs of deoxycytosine and effectively block methyl transfer (181). 5-aza-2-deoxy-cytidine (decitabine) and 5-azacytidine were first successfully studied in hematological malignancies and myelodysplastic syndrome (MDS) and were approved by the FDA in 2006 for treating MDS, where they exhibit anti-tumor activity through the inhibition of DNA methylation (182).

To date, DNMTis has been successfully used for treating chemotherapy-resistant OC, restoring platinum sensitivity in refractory to standard chemotherapy of patients. DNA hypomethylation caused by DNMTi decitabine renders OC patients more susceptible to platinum treatment and is associated with a better prognosis. Matei et al. found that platinum resistance is mostly induced by epigenetic abnormalities, including abnormal DNA methylation. The regimen consists of a modest dosage of decitabine 5 days before the administration of carboplatin, which decreases toxicity and boosts the demethylation effect of decitabine, leading to the recovery of carboplatin sensitivity in patients with advanced OC (183). Decitabine has been shown in studies to be more effective than 5-azocytidine for treating platinumresistant OC. It is capable of regulating the methylation status of the tumor antigen NY-ESO-1 to improve immunotherapy efficacy (184). Furthermore, decitabine improves responses of OC patients to platinum therapy by influencing signaling pathways that promote tumor progression, such as the TGF-β signaling pathway (185). Taken together, DNMTis plays a promising role in reversing or preventing chemotherapeutic and molecular-targeted drug resistance in OC patients.

#### 3.2 Targeting HDAC

Histone deacetylase (HDAC) enzymes, which remove acetyl groups from histone and non-histone proteins, downregulate the transcription of genes (186). In cancer cells, HDAC inhibitors (HDACis) can restore transcriptional inhibition of tumor suppressor genes and generate an anti-cancer environment. Meng et al. showed that a combination of proteasome and HDAC inhibitors can inhibit gynecologic cancer growth (187). Inhibitors of enzyme-catalyzed histone modifications, among which HDACis are the most rapidly developed, have been investigated in solid tumors (188).

Fukumoto et al. found that ARID1A mutations confer sensitivity to pan-HDAC inhibitors such as SAHA in OC, which is associated with more significant effects of growth inhibition owing to suppression of HDAC2 activity (189). By suppressing HDAC6 with the small molecule ACY1215, Bitler et al. revealed that mice with ARID1A mutant tumors had dramatically increased survival. Mechanistically, HDAC6 deacetylates Lys120 on p53, thereby inactivating the proapoptotic function of p53 (190). However, not all HDAC subtypes are abnormally expressed in all malignancies, so paninhibition of HDAC is not an effective way to treat cancer (191). Besides, because of its poor activity as a single agent, HDACis have been investigated along with radiotherapy, chemotherapy, and other epigenetic drugs. For instance, belinostat re-sensitized drug-resistant OC cells to platinum, and the combination of decitabine and belinostat was more effective in re-sensitizing platinum than belinostat alone (192, 193). Furthermore, there is mounting evidence showing that HDACs-mediated deacetylation of non-histones is involved in many key cellular processes associated with drug resistance, such as apoptosis, suggesting that enhanced acetylation of non-histones using HDACis is promising for overcoming the drug resistance of OC. Taken together, targeting HDACs is an appealing strategy for OC treatment.

#### 3.3 Targeting ncRNAs

Multiple ncRNAs, including miRNAs and lncRNAs, are increasingly implicated in the treatment resistance in OC (194, 195). Therefore, targeting tumor-specific miRNAs and lncRNAs is expected to overcome drug resistance of OC. Recently, miRNAs have received increasing attention as biomarkers and therapeutic targets for OC. For instance, Belur Nagaraj and colleagues found that miR-181a is an activator of Wnt/βcatenin signaling, driving stemness and chemotherapy resistance in high-grade serous ovarian cancer (HGSOC), thus being a potential target for treating recurrent OC (194). Using next-generation sequencing (NGS) techniques, Au Yeung et al. identified miR-21 in exosomes and tissue lysates isolated from cancer-associated adipocytes and fibroblasts, which is significantly higher than OC cells. They discovered that miR21 is transferred from CAAs or CAFs to OC cells, suppresses apoptosis and confers chemotherapeutic resistance in OC cells by binding with APAF1 (154). Additionally, Vescarelli et al. have demonstrated that miR-200c significantly enhanced the anticancer effect of the PARP inhibitor olaparib in drug-resistant OC cells (196). This finding indicates that combining olaparib with miRNA-based therapy is a potential therapeutic option for drugresistant OC. Sun et al. found that miR-9 increases the sensitivity of OC cells to DNA damage by down-regulating BRCA1, thus improving the efficacy of chemotherapy (197).

In addition to miRNAs, LncRNAs are considered promising therapeutic targets for OC. For instance, the non-coding RNA HOTAIR has been shown to be a potential target for overcoming carboplatin resistance in OC (195). Furthermore, it has been discovered that HOTAIR is overexpressed in cisplatin-resistant OC cells, and knocking down HOTAIR enhances apoptosis in cisplatin-resistant OC cells by down-regulating HOXA7, thereby restoring cisplatin sensitivity (198, 199). Additionally, HOTAIR-mediated platinum resistance in

OC can also be attributed to the upregulation of HOXA7 and activation of the Wnt/ $\beta$ -catenin pathway (88). These findings point to it as a possible target for re-sensitizing OC cells to platinum therapy. Besides, Wu et al. demonstrated that the lncRNA WDFY3-AS2 modulates the hsa-miR-139-5p/SDC4 axis and may play an essential role in the platinum resistance of OC (200). Taken together, the above studies show that it is promising to prevent or overcome OC resistance by targeting ncRNAs.

### 3.4 Epigenetic Therapy in Combination With Immunotherapy in OC

Antibodies against inhibitory immune receptors, namely, cytotoxic T-lymphocyte-associated protein 4 (CTLA-4/CD152), programmed death protein 1 (PD-1/CD279), and programmed death ligand 1 (PD-L1/B7H1/CD274), have become effective standard immunotherapy for many advanced malignancies (177, 201). However, its effectiveness has yet to be successfully applied to the treatment of OC (202). Although a small fraction of OC patients respond well to immunotherapy, most patients fail to respond or develop secondary resistance to immunotherapy. Therefore, a combinatorial strategy is needed to enhance the efficacy of immunotherapy for OC, which can be achieved by drug repurposing or *de novo* drug development that stimulates the immune response.

Initial findings showed that epigenetic repressive processes were linked to a "cold" immunological environment in OC. Based on them, epigenetic modifiers were used in several of preclinical and clinical trials to explore immune targeting techniques (188). For instance, Stone and colleagues have demonstrated that DNMTi 5-azacytidine (AZA) activates the type I interferon signaling pathway, boosts IFNy+ T cells and natural killer (NK) cells, and reduces the percentage of macrophages in the TME. They also discovered that clinically relevant dosages of DNMTi and HDACi increased the responsiveness of OC to immune checkpoint therapy by reducing the immunosuppressive milieu via the type I IFN signaling pathway (203). The triple combination of DNMTi/ HDACi with the immune checkpoint inhibitor  $\alpha$ -PD-1 showed significantly better anti-tumor efficacy than DNMTi 5azacytidine (AZA) alone or AZA along with HDACi, which may be a therapeutic option for treating OC. In a follow-up study, Travers et al. employed a hypomethylating agent (HMA) in conjunction with 2-difluoromethylornithine (DFMO) to rewire TME in OC, leading to a longer-lasting anti-tumor response. They found that AZA and DFMO, either alone or in combination, significantly recruited CD4<sup>+</sup>/CD8<sup>+</sup> T and NK cells, decreased tumor burden, and improved the survival of OC patients (204). Another trial used decitabine in conjunction with paclitaxel and carboplatin to treat 55 patients with recurrent OC and found that the triple combination treatment group had a 58% overall response rate, which is superior to other groups (205). Therefore, combinational therapy with epigenetic modifiers may be able to avoid the loss of tumor antigens and expand the T cell pool by recognizing the initially targeted

antigens and epitopes, showing a new and intriguing promise for vaccine and cell transfer platforms.

#### **4 CONCLUSIONS AND PERSPECTIVES**

Although notable progress has been made in treating OC recently, most patients with advanced OC still relapse and eventually die from chemotherapy resistance, notably platinum. In fact, tumorigenesis, progression, and treatment resistance of OC are largely mediated by epigenetic regulation. These epigenetic alterations, such as DNA methylation and histone modifications, may occur before or during drug treatment and develop drug resistance by controlling several essential signaling pathways. Recently, with the improvement of our understanding of cancer-specific epigenetic alterations, targeting the epigenome to prevent or overcome OC drug resistance may be a viable therapeutic option.

In this article, we reviewed how epigenetic modifications play a key role in drug resistance in OC cells by affecting pivotal processes, namely, drug transport and metabolism, downstream signaling pathways, cancer stemness, and the immune microenvironment. Meanwhile, we also highlighted the biological functions of several key epigenetic modifiers, such as DNMT, HDAC, and ncRNAs. As the machinery embroidering epigenetic regulation continues to be deciphered, we conclude that the epigenome may emerge as a novel therapeutic target for OC. In fact, some small-molecule inhibitors targeting epigenetic alterations have entered the stage of clinical trials, which are expected to prevent or overcome OC resistance by changing the drug-responsive epigenome of OC. However, for complex reasons, the response rates of OC to monotherapy are typically modest. Although ongoing clinical trials have shown that epigenetic agents can significantly improve the drug sensitivity of OC when combined with targeted agents (e.g., PARP inhibitors) or immune drugs (e.g., anti-PD-1), leveraging the unique properties of epigenetics to obtain the optimal therapeutic advantage still requires considerable effort in the following research.

#### **AUTHOR CONTRIBUTIONS**

Conceptualization, CH and LL. Investigation, YW. Writing-original draft preparation, YW and ZH. Writing-review and editing, YW, ZH, and BL. Visualization, YW and BL Supervision, CH and LL. All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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#### **GLOSSARY**

OC ovarian cancer

PARP poly (ADP-ribose) polymerase IncRNA long non-coding RNA

miRNA microRNA

MGMT O6-methylguanine-DNA methyltransferase

TME tumor microenvironment MDR multidrug resistance ABC ATP-binding cassette

EVs vesicles

CYP cytochrome P450

VEGF vascular endothelial growth factor
TCGA The Cancer Genome Atlas

IGF2BP3 insulin-like growth factor 2 mRNA-binding protein 3

HR homologous recombination DNMT1 DNA methyltransferase 1

TMZ temozolomide

PARPis Poly-ADP-ribose polymerase inhibitors

FLIP FLICE inhibitor proteins
DUB3 deubiquitinating enzyme 3
HDACis histone deacetylase inhibitors
ATG14 autophagy-related gene 14
METTL3 methyltransferase-like 3
OGT O-GICNAc transferase

NRF2 nuclear factor erythroid 2-related factor 2
EGFR epidermal growth factor receptor
NHEJ non-homologous terminal junction

CSCs Cancer stem cells
OCSCs ovarian cancer stem cells
CAFs Cancer-Associated Fibroblasts
NNMT nicotinamide N-methyltransferase
APAF1 apoptosis protease activator-1

PFKFB2 Phosphofructo-2-Kinase/Fructo-2,6-Biphosphatase 2

MSCs Mesenchymal Stem Cells
TAMs tumor-Associated Macrophages
DNMTs DNA methyltransferases
MDS myelodysplastic syndrome
HDAC histone deacetylase

HGSOC high-grade serous ovarian cancer NGS next-generation sequencing

CTLA-4/CD152 cytotoxic T-lymphocyte-associated protein 4

PD-1/CD279 programmed death protein 1 PD-L1/B7H1/CD274 programmed death ligand 1

AZA azacytidine

DFMO 2-difluoromethylornithine



### **Quantitative Ubiquitinomics Revealed Abnormal Ubiquitinated** ATP7A Involved in Down-Regulation of ACTH in Silent **Corticotroph Adenomas**

Sida Zhao<sup>1</sup>, Yue He<sup>1</sup>, Hongyun Wang<sup>1</sup>, Dan Li<sup>1</sup>, Lei Gong<sup>1</sup>, Yazhuo Zhang<sup>1,2\*</sup> and Chuzhong Li3\*

<sup>1</sup> Beijing Neurosurgical Institute, Capital Medical University, Beijing, China, <sup>2</sup> Beijing Institute for Brain Disorders Brain Tumor Center, Capital Medical University, Beijing, China, 3 Beijing Tiantan Hospital, Capital Medical University, Beijing, China

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#### \*Correspondence:

Yazhuo Zhang zvz2004520@yeah.net Chuzhong Li lichuzhong@163.com

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Ubiquitination is reported to be a critical biological event on ACTH secretion in corticotroph adenomas. However, the effect of ubiquitylation on ACTH secretion in silent corticotroph adenomas (SCAs) remains unclear. The aim of our study was to explore the mechanism of decreased secretion of ACTH in SCAs with ubiquitinomics. The differently expressed ubiquitinated proteins between SCAs and functioning corticotroph adenomas (FCAs) were identified by 4D label-free mass spectrometer, followed by bioinformatics analysis. The function of the candidate ubiquitinated protein ATP7A (K333) was validated in AtT20 cells. A total of 111 ubiquitinated sites corresponding to 94 ubiquitinated proteins were typically different between SCAs and FCAs. Among all the ubiquitinated sites, 102 showed decreased ubiquitination in SCAs, which mapped to 85 ubiquitinated proteins. Pathway enrichment analysis revealed that ubiquitinated proteins were mainly enriched in vesicle pathway and protein secretion pathway. ATP7A (K333) was one of the proteins enriched in vesicle pathway and protein secretion pathway with decreased ubiquitination level in SCAs. In vitro assay indicated that both ATP7A siRNA and omeprazole (ATP7A protein inhibitor) increased the secretion of ACTH in AtT20 cell supernatant compared to control groups (p<0.05). These results indicated that ATP7A might be related to the abnormal expression of ACTH in SCAs and potential for the treatment of SCAs.

Keywords: silent corticotroph adenomas, ATP7A, ubiquitination, mass spectrum, ACTH

#### INTRODUCTION

Silent Corticotroph Adenoma (SCA) is a kind of high-risk pituitary adenoma. It composed of 20% of all corticotroph adenomas (1). SCAs often exhibit characters of highly proliferative, and up to 43% of SCAs exihibit an aggressive growth into cavernous sinus (2) which lead to a more difficult surgery treatment. Additionally, SCAs patients showed a character of high recurrence rate (nearly 36%) (3) which makes surgery of SCAs difficult. Accordingly, an alternative method is required for a better treatment of SCAs. In the search to establish a reasonable treatment strategy, some scientists have struggled to enlighten the biological mechanism of abnormal blood ACTH level in SCA patients in the past few years.

Different from FCAs patients with Cushing's Diseases, SCAs patients have no clinical and biological features of Cushing's Diseases and they show lower blood ACTH level (4). The clinical and endocrinological appearances of SCAs are more like nonfunctioning pituitary adenomas (NFPAs). Several studies propose hypotheses about the silencing mechanism of SCAs. Kovacs et al. proposed that a large number of lysosomes fused with ACTH secretory granules and since contribute to the damage of secretory granules before release (5). Others suggest that SCAs mainly secret biological inactive ATCH instead of normal ACTH (1–39) (6). Single cells in SCAs may secret ACTH insufficiently or inactively (7).

Ubiquitination is a kind of reversible post-tanslational modification (PTMs) that deeply reported (8). Ubiquitination process is a cascade response regulated by ubiquitin activating (E1), conjugating (E2), and ligating (E3) enzymes, and the ubiquitin molecule (76 amino acids, 8.5Kd) was attached to the lysine residue of the substrate proteins (9). This process controls the dynamic balance of proteins synthesis and degradation. Ubiquitination leads to the degradation of proteins by ubiquitin-proteasome system (UPS) (10). Disfunctional ubiquitination of protein relates to many cell process, such as intracellular trafficking, enzymatic activity regulation and assembly of multiprotein complexes (11). Sesta A et. announced that inhibition of the ubiquitin-proteasome pathway increased ACTH secretion in corticotroph adenomas (12). However, there are no clear reports about the expression profiling of ubiquitination in SCAs and the potential mechanism of ubiquitination in ACTH secretion.

In this study, we clarified the ubiquitinomics in SCAs by 4D mass spectrometer and identified the ubiquitinated proteins which may play important roles in regulating the secretion of ACTH in SCAs. These findings may be meaningful and provide a target for the treatment of SCAs.

#### MATERIALS AND METHODS

#### **Human Samples Collection**

Tumor samples used in this study were obtained from Beijing Tiantan Hospital by transsphenoidal surgery. Fresh tumor samples were stored in liquid nitrogen. Five SCA tissue samples and five functioning corticotroph adenoma (FCA) were used for 4D leble free mass spectrometer. All tumor samples were classified according to the 2017 WHO classification.

This study was approved by the ethics committees of Beijing Tiantan Hospital (KY2018-053-02). Informed consent was obtained from all enrolled subjects, and the study was performed in full compliance with all principles of the Declaration of Helsinki.

#### **Protein Extraction**

The sample was grinded with liquid nitrogen into cell powder and then transferred to a 5-mL centrifuge tube. After that, four volumes of lysis buffer (8 M urea, 1% protease inhibitor cocktail) was added to the cell powder, followed by sonication three times on ice using a high intensity ultrasonic processor (Scientz). (Note: For PTM experiments, inhibitors were also added to the lysis buffer, e.g. 3 µM TSA and 50 mM NAM for acetylation, 1% phosphatase inhibitor for phosphorylation). The remaining debris was removed by centrifugation at 12,000 g at 4°C for 10 min. Finally, the supernatant was collected and the protein concentration was determined with BCA kit according to the manufacturer's instructions.

#### **Trypsin Digestion**

For digestion, the protein solution was reduced with 5 mM dithiothreitol for 30 min at 56°C and alkylated with 11 mM iodoacetamide for 15 min at room temperature in darkness. The protein sample was then diluted by adding 100 mM TEAB to urea concentration less than 2 M. Finally, trypsin was added at 1:50 trypsin-to-protein mass ratio for the first digestion overnight and 1:100 trypsin-to-protein mass ratio for a second 4 h-digestion. Finally, the peptides were desalted by C18 SPE column.

#### **Pan-Antibody-Based PTM Enrichment**

To enrich modified peptides, tryptic peptides dissolved in NETN buffer (100 mM NaCl, 1 mM EDTA, 50 mM Tris-HCl, 0.5% NP-40, pH 8.0) were incubated with pre-washed antibody beads (Lot number xxx, PTM Bio) at 4°C overnight with gentle shaking. Then the beads were washed for four times with NETN buffer and twice with H2O. The bound peptides were eluted from the beads with 0.1% trifluoroacetic acid. Finally, the eluted fractions were combined and vacuum-dried. For LC-MS/MS analysis, the resulting peptides were desalted with C18 ZipTips (Millipore) according to the manufacturer's instructions.

#### **4D Mass Spectrometer**

The tryptic peptides were dissolved in solvent A (0.1% formic acid, 2% acetonitrile/in water), directly loaded onto a homemade reversed-phase analytical column (25-cm length, 75/100  $\mu m$  i.d.). Peptides were separated with a gradient from 6% to 24% solvent B (0.1% formic acid in acetonitrile) over 70 min, 24% to 35% in 14 min and climbing to 80% in 3 min then holding at 80% for the last 3 min, all at a constant flow rate of 450 nL/min on a nanoElute UHPLC system (Bruker Daltonics).

The peptides were subjected to capillary source followed by the timsTOF Pro (Bruker Daltonics) mass spectrometry. The electrospray voltage applied was 1.60 kV. Precursors and fragments were analyzed at the TOF detector, with a MS/MS scan range from 100 to 1700 m/z. The timsTOF Pro was operated in parallel accumulation serial fragmentation (PASEF) mode. Precursors with charge states 0 to 5 were selected for fragmentation, and 10 PASEF-MS/MS scans were acquired per cycle. The dynamic exclusion was set to 30 s.

#### **Database Search**

The resulting MS/MS data were processed using MaxQuant search engine (v.1.6.15.0). Tandem mass spectra were searched against the human SwissProt database (20422 entries) concatenated with reverse decoy database. Trypsin/P was specified as cleavage enzyme allowing up to 2 missing cleavages. The mass tolerance for precursor ions was set as 20 ppm in first search and 5 ppm in main search, and the mass tolerance for fragment ions was set as 0.02 Da. Carbamidomethyl on Cys was specified as fixed modification, and acetylation on protein N-terminal and oxidation on Met were specified as variable modifications. FDR was adjusted to < 1%.

#### **Cell Culture and Hormone Measurement**

AtT20 cells were purchased from American Type Culture Collection (ATCC; Manassas, VA, USA) and were cultured in F12K medium (ATCC; Manassas, VA, USA) supplemented with 2.5% fetal bovine serum (FBS; Gibco) and 15% horse medium (Gibco).

Cells were plated into 24-well dishes with 200,000 cells and 1ml of medium per well and incubated for 72h. AtT20 cells treated with omeprazole (HY-B0113A, MedChemExpress) and the ACTH levels were assessed by an enzyme-linked immunosorbent assay (ELISA) (SBJ-M0483, SBJbio) according to the manufacture's protocol.

#### **Transfection and RNA Interference**

siRNA transfection was performed using Lipofectamine 3000 (L3000001, Thermo Fisher), according to the manufacturer's protocol. siRNA synthesis was performed by Beijing Syngentech and the siRNA sequences for mouse ATP7A is 5'GAACAUGAGUAAUGAAGAAT-T3'.

#### **Bioinformatic and Statistical Analysis**

UbiBrowser (13) was used to generate known and predicted human ubiquitin ligase (E3)-substrate interaction (http://ubibrowser.ncpsb.org.cn). Functional annotation databases

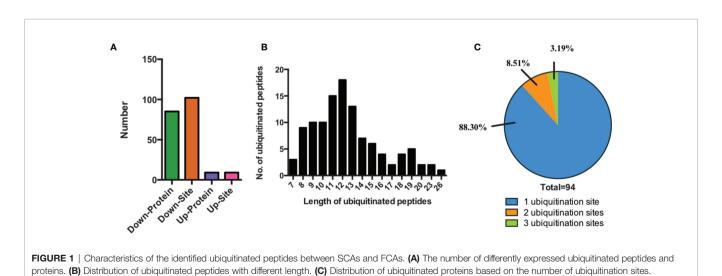
were utilized based on the biological process, molecular function, and cellular component classifications of differently expressed ubiquitinated proteins between SCAs and FCAs as determined by Gene Ontology (GO) (available online at http://www.geneontology.org). The enrichment pathway analysis of differently expressed ubiquitinated proteins between SCAs and FCAs was performed based on the Hallmark Gene Sets of Molecular Signatures database (Gene Set Enrichment Analysis, GSEA, http://software.broadinstitute.org/gsea/msigdb/index.jsp). STRING was used to build (protein-protein interactions) PPI networks with the ubiquitinated proteins.

All statistical analyses were conducted using the GraphPad Prism software package (GraphPad Software, San Diego, CA 92108). Unpaired Student's t-tests and chi-squared (Fisher's exact) tests were used for comparisons of quantitative and qualitative data, respectively. Differences with a p < 0.05 were considered significant.

#### **RESULTS**

#### **Profiling of Ubiquitination Sites in SCA**

In the profiling result, we identified 111 lysine-ubiquitinated sites totally between SCAs and FCAs (score>40, p>0.05 and fold change>1.5, **Supplementary Table 1**). The 111 lysine-ubiquitinated sites includes 102 down-regulated and 9 upregulated sites in SCAs. 111 ubiquitinated sites were mapped to 94 proteins, which includes 85 down-regulated and 9 upregulated proteins in SCAs (**Figure 1A**). The 111 ubiquitinated sites mapped to 110 unique peptides, and only 1 peptide had 2 ubiquitinated sites. The lengths of ubiquitinated peptides were obviously different (**Figure 1B**). For example, the identified ubiquitinated peptides with 11, 12 or 13 amino acid were separately 13.51% (15/111), 16.22%(18/111), and 11.71% (13/111). Meanwhile, most of the identified proteins had single ubiquitination site (88.30%, 83/94) and 8 proteins get 2



ubiquitination site (8.51%, 8/94) and 3 proteins had at least 3 ubiquitination sites (3.19%, 3/94, (**Figure 1C**).

A representative ubiquitinated peptide spectrum from ATP7A (Protein Session: Q04656) was shown: <sup>333</sup>K\*AIEAVSPGLYR<sup>344</sup> (m/z=709.39), was a high-quality spectrum, with excellent b ion and y ion series (b2, b3, b4, b5, b6, b7, b10, b11, y5, y6, y7, y8, y9, y10, y11). The ubiquitination site of ATP7A was localized at K<sup>333</sup> residue, and the ubiquitination level was significantly decreased in SCAs compared to FCAs (ratio of S/F=0.17, **Figure 2**).

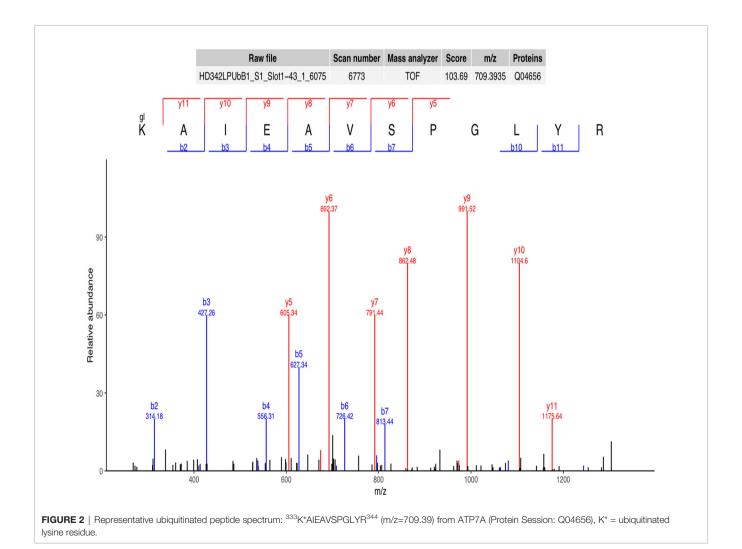
### Ubiquitination Motifs Analysis in SCA

Motif-X was used for analysis of the significant ubiquitination motifs that were preferred to be ubiquitinated in SCAs. We identified 3 significant motifs that were prone to be ubiquitinated in the tumor, including K<sup>ub</sup>-L, K<sup>ub</sup>-E, and R-X-X-X-X-X-X-K<sup>ub</sup> (K<sup>ub</sup> represent for the ubiquitinated lysine residue and X represents for the amino acid), with 13, 9 and 4 ubiquitinated sites respectively among all of the 111 differently expressed ubiquitinated sites (**Figure 3**). Of them, K<sup>ub</sup>-L was the most significant motif to be ubiquitinated and leucine residue (L) was a vital downstream ubiquitation site. Arginine residue (R) was a

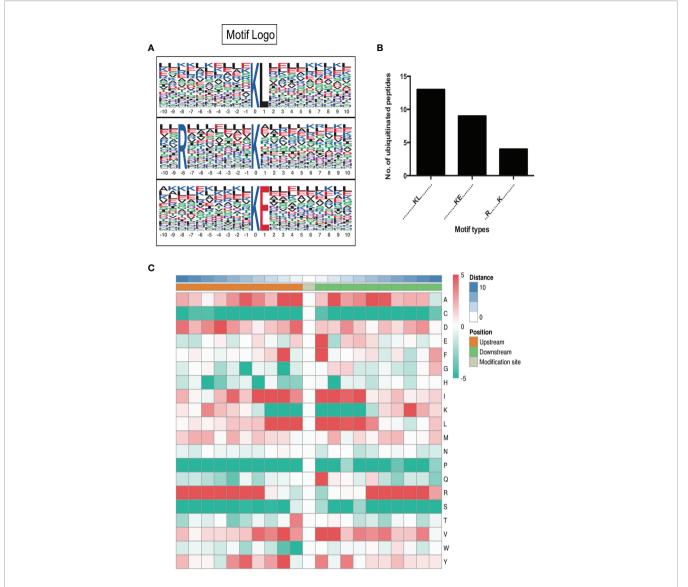
representative upstream amino acid for the ubiquitination at K residue. The above 3 types of modification account for 23.42% of all the identified ubiquitinated site in SCAs and FCAs (26/111).

# Functional Enrichment Analysis of the Identified Ubiquitinated Proteins

GO enrichment analysis was used to identify the functional clusters of 94 ubiquitinated proteins. Comprehensive analysis revealed that these proteins were obviously involved in many vesicle-related cellular component (**Figure 4A** and **Supplementary Table 2**), such as vesicle membrane (p=6.46E-04), vesicle (p=1.14E-03), cytoplasmic vesicle membrane (p=1.77E-03), cytoplasmic vesicle (p=2.28E-03), and intracellular vesicle (p=2.30E-03), which may indicate the ubiquitinated proteins were deeply involved in cell storage transport and secretion functions. Besides, ubiquitinated proteins were found to be enriched in multiple biological process (**Figure 4B**) including regulation of protein catabolic process (p=8.19E-05), developmental cell growth (p=2.55E-04), morphogenesis of an endothelium (p=2.20E-03), cell projection morphogenesis (p=3.11E-03), epithelial cell migration (p=3.17E-03), and cell



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**FIGURE 3** | Motif analysis of differently expressed ubiquitination sites. **(A)** Potential ubiquitin recognition motif logos. The height of each letter represents the frequency of the residue in that position. K in the middle represent for the ubiquitinated lysine. **(B)** The number of identified peptides among the three motifs. **(C)** The heatmap for the amino acid distribution flanking ubiquitination sites.

part morphogenesis (p=4.28E-03). The most important molecular functions (**Figure 4C**) were related to Ras GTPase binding (p=8.64E-04) and small GTPase binding (p=1.42E-03). Menawhile, proteasome-activating ATPase activity pathway (7.33E-03) were enriched. Based on the above enrichment analysis result, we concluded that the identified ubiquitinated proteins participate in a variety of biological process and functions.

Meanwhile, Kyoto Encyclopedia of Genes and Genomes (KEGG) was used to analyze the pathway enrichment (**Figure 5A** and **Supplementary Table 3**). The result showed that Rap1 signaling pathway (p=2.57E-03), Focal adhesion (p=1.39E-02), Synaptic vesicle cycle (p=3.04E-02) and SNARE interactions in vesicular transport (p=4.57E-02). The above result indicated that ubiquitinated proteins in our profiling

involved in a series of fundamental biological process critical for the development of SCA.

Gene Set Enrichment Analysis (GSEA) was also used for the pathway enrichment of the ubiquitinated proteins (**Figure 5B** and **Supplementary Table 4**). The result showed that the most significant pathways were heme metabolism (p=2.46E-08) and protein secretion (p=1.07E-07) pathway. There were 6 proteins were enriched in the protein secretion pathway, including EGFR, CLTC, ATP7A, AP2S1, RER1, and ERGIC3, indicated that these ubiquitinated proteins were responsible for protein secretion process. The ubiquitinated levels of these proteins were all decreased (**Table 1**). As the table showed that EGFR had 6 ubiquitinated sites while other proteins had 1 ubiquitinated sites.

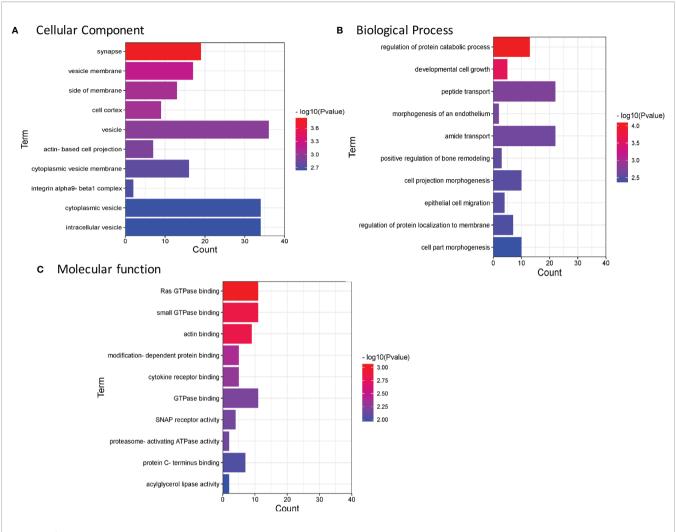


FIGURE 4 | Enrichment analysis of GO annotations in identified ubiquitinated proteins (top 10). (A) Cell component. (B) Biological process. (C) Molecular function. GO, Gene Ontology.

# Molecular Network of Ubiquitinated Proteins in SCAs

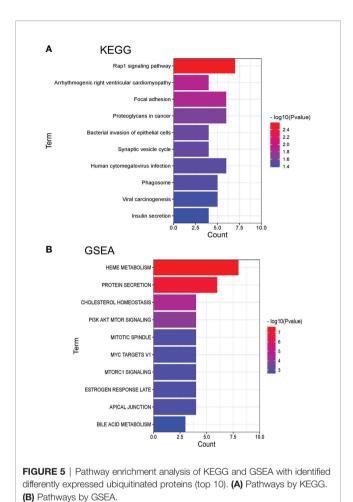
POMC was an important molecule in corticotroph adenomas and were closely related to the secretion of ACTH, so we explored relation between POMC and ubiquitinated proteins. All of the 94 differently ubiquitinated proteins and POMC were analyzed by STRING database to build PPI networks. There are 95 nodes (proteins) and 195 edges (protein-protein associations) in the network (Figure 6). The average node degree and average local clustering coefficient in the network were 4.11 and 0.436, respectively. The PPI enrichment p-value of the network was 4.59E-09, indicating that ubiquitinated proteins in the network exhibit more interactions among themselves than would be expected for a random set of proteins of similar size obtained from the genome. The network indicated that POMC was interacted with ATP6AP1, ATP7A, CHGB, GNAO1, and PRKAR1A. The combined score of POMC and ATP7A was 0.726 (high confidence, interaction score>0.7, Table 2), which was the highest among the 5 POMC related ubiquitinated proteins.

### **Function of ATP7A in SCAs**

ATP7A was ubiquitinated at K333 residue. The ubiquitinated level of ATP7A in SCAs was clearly lower compared to FCAs (ratio of S/F=0.17, p=0.049, **Figure 7A**). To validate the function of ATP7A in SCAs, we construct ATP7A siRNA. ATP7A siRNA was transfected to AtT20 cells. ATP7A siRNA result in increased ACTH level in cell culture sodium compared to control groups (**Figure 7B**).

Omeprazole, proved to exert the function of inhibiting ATP7A (14). In our study, omeprazole was used to regulate the expression and detect the function of ATP7A. AtT20 cell was incubated with or without 20uM omeprazole for 72h, and the level of ACTH in culture medium was detected. The result proved that omeprazole increased the expression of ACTH (**Figure 7C**).

38 E3 ligases were predicted to promote the ubiquitination of ATP7A (**Supplemental Table 5**) with high confidence. The top 20 E3 ligases of ATP7A were shown in **Figure 7D**. The top 5 E3 ligases were PRKN, MARCHF7, MUL1, OSTM1, and RC3H1, with the confidence score from 0.85-0.87 and PRKN had the



highest confidence score of 0.87. It suggested that PRKN may be a E3 ligases for ATP7A.

### **DISCUSSION**

Ubiquitination of proteins exhibit a critical role in approximately every biological process (15). This study systematically introduced the ubiquitinome profiling of SCAs. In the study, we identified 94 ubiquitinated proteins with 111 ubiquitinated

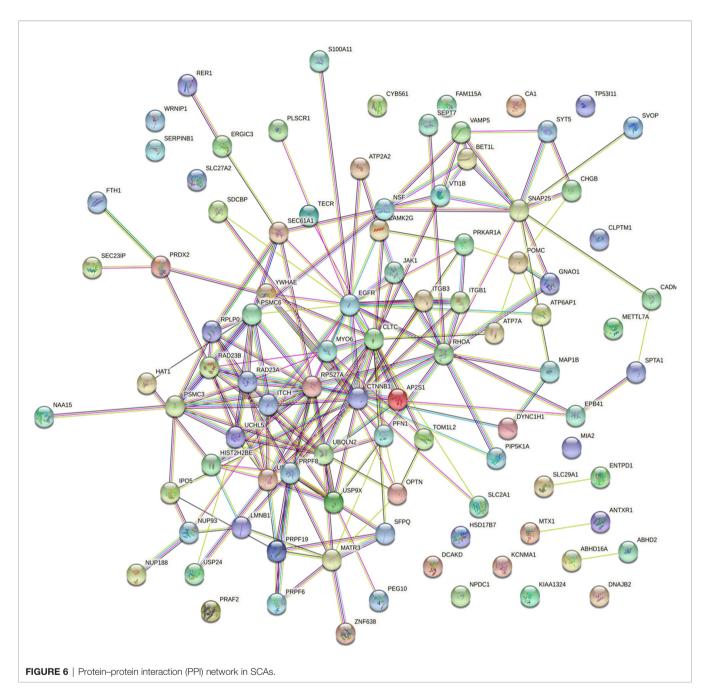
sites in SCAs compared to FCAs. The pathway enrichment analysis result showed that ubiquitinated proteins were involved in various of biological process, such as vesicle process and protein secretion process. ATP7A, a ubiquitinated protein enriched in vesicle process and protein secretion process, was proved to be able to regulate the expression of ACTH in AtT20 cells. Our findings may help explain the biological behaviors of SCAs and provide promising targets for advanced treatment for SCAs.

Some vesicles are present in neurons or especially endocrine cells including pituitary cells (16), and responsible for the secretion of pituitary hormones (17). Previous studies confirmed that the release of ACTH required vesicles dock with the cell membrane (18). In our study, we concluded that differently expressed ubiquitinated proteins were obviously involved in many vesicle-related pathways, such as vesicle, cytoplasmic vesicle, and intracellular vesicle. On the other hand, GSEA enrichment analysis showed that differently expressed ubiquitinated proteins were enriched in protein secretion pathway. ACTH is a kind of small molecule protein secreted from cells. Taken together, we speculated that these ubiquitinated proteins enriched in vesicle-related pathways and protein secretion pathway may be involved in ACTH release.

Corticaltroph adenomas are immnopositive for ACTH and exhibit with increased circulating ACTH (19). The secretion of ACTH is a flexible process. POMC (Proopiomelanocortin) is a type of polypeptide precursor of neuropeptides and hormones (20). It is cleaved by pro-hormone convertase 1/3 (PC1/3) to vield pro-ACTH and β-LPH (18) in the anterior pituitary. Pro-ACTH was then cleaved by PC1/3 to produce functional ACTH. Meanwhile, ACTH can be cleaved by pro-hormone convertase 2 (PC2) to form  $\alpha$ -MSH (21). Different from ACTH,  $\alpha$ -MSH need to be amidated by PAM (Peptidylglycine Alpha-Amidating Monooxygenase) enzymes to be active (22). PAM is a kind of peptide-processing enzymes. It catalyzes the post-translational modification of inactive peptidylglycine precursors to the corresponding bioactive alphaamidated peptides (23).  $\alpha$ -MSH is a kind of peptides needs to be amidated and processed by PAM (18). Alpha-amidation involves two sequential reactions, both of which are catalyzed by separate catalytic domains of the enzyme (24). The first step, catalyzed by peptidyl alpha-hydroxylating monoxygenase (PHM) domain, is the copper-, ascorbate-, and O2- dependent stereospecific hydroxylation (with S stereochemistry) at the alpha-carbon (C-

TABLE 1 | Ubiquitinated proteins enriched in protein secretion pathway by GSEA.

Gene name	S/F Ratio	S/F P value	Regulated Type
EGFR	0.32	0.03	Down
EGFR	0.38	0.01	Down
EGFR	0.13	0.00	Down
EGFR	0.30	0.02	Down
EGFR	0.24	0.03	Down
EGFR	0.23	0.01	Down
CLTC	0.39	0.02	Down
ATP7A	0.17	0.05	Down
AP2S1	0.20	0.01	Down
RER1	0.11	0.04	Down
ERGIC3	0.25	0.01	Down

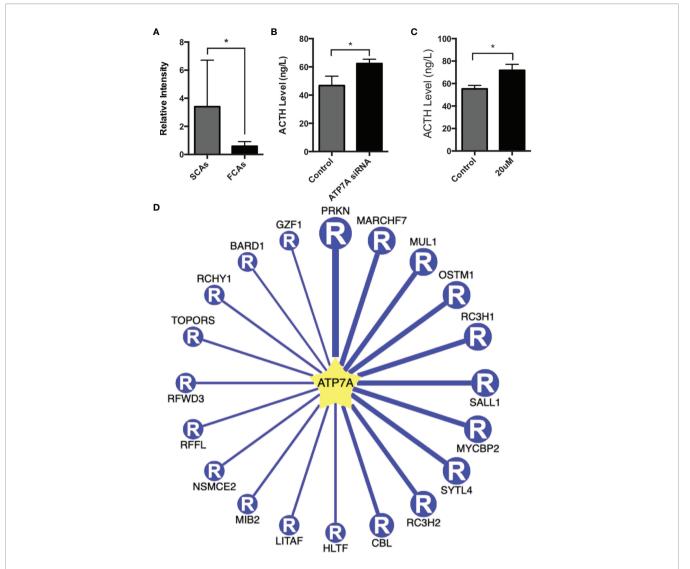


alpha) of the C-terminal glycine of the peptidylglycine substrate (25). The second step, catalyzed by the peptidylglycine amidoglycolate lyase (PAL) domain, is the zinc-dependent cleavage of the N-C-alpha bond, producing the alpha-amidated

peptide and glyoxylate (26). Several metals are involved in the secretory granule formation of anterior pituitary (27). The accumulation and depot of growth hormone and prolactin in somatotrope and lactotrope secretory granules is separatively

TABLE 2 | Proteins interacted with POMC.

#node1	node2	coexpression	database_annotated	automated_textmining	combined_score
POMC	GNAO1	0.062	0.6	0.175	0.663
POMC	ATP7A	0	0	0.726	0.726
POMC	ATP6AP1	0	0	0.508	0.508
POMC	CHGB	0	0	0.427	0.426
POMC	PRKAR1A	0	0	0.602	0.602



**FIGURE 7** | Function of ATP7A in AtT20 cells. **(A)** The intensity of ubiquitinated peptides of ATP7A in SCAs and FCAs. **(B)** Up-regulation of the ACTH level in AtT20 cells with the knockdown of ATP7A by ATP7A siRNA. **(C)** Up-regulation of the ACTH level in AtT20 cells treated with 20uM omeprazole. All assays were performed in triplicate. **(D)** The top 20 potential E3 ligases of ATP7A. \*Compared to control, p < 0.05.

promoted by zinc (28). ATP7A (ATPase Copper Transporting Alpha) is a copper transporter which plays a critical role in intracellular copper ion homeostasis (29). It may supply copper ion to enzyme PAM in the first step (29). In our study, we identified the decreased ubiquitination of ATP7A in SCAs compared to FCAs. Previous researched reported that biquitinated proteins were degraded *via* the ubiquitin-proteasome system (UPS) (30). Thus, we speculated that the decreased ubiquitination of ATP7A in SCAs lead to the increased expression of ACTH compared to FCAs. Since ATP7A is crucial for  $\alpha$ -MSH amidation by PAM, the high expression of ATP7A tend to produce more  $\alpha$ -MSH and contribute to less production of ACTH. This may explain the decreased blood level of ACTH in SCAs.

Moreover, ATP7A was a crucial ubiquitinated protein enriched in both vesicle-related pathway and protein secretion

pathway. It may regulate the ACTH release by protein secretion pathway and vesicle process. There was a study revealed that omeprazole inhibits the function of ATP7A, since ATP7A is a P-type ATPase (14). So we choose omeprazole to block the expression of ATP7A in AtT20 cells. *In vitro* assay proved that omeprazole may up-regulate the level of ACTH. PRKN was predicted to be a E3 ligases for ATP7A with a high confidence score. It suggests that ATP7A and PRKN may be potential targets for the treatment of SCAs. ATP7A is a potential ubiquinated protein which was speculated to be a molecule associated with the decreased expression of the ACTH secretion. Ubiquitination is a kind of post-tanslational modification that leads to the degradation of proteins (1). Hence, we speculated that the ubiquitination of ATP7A contribute to the decreased expression of ATP7A. So we used ATP7A siRNA and 20uM

omeprazole to change the expression of ATP7A to imitate the function of ubiquitination of ATP7A. However, besides of the function of degradation of proteins, ubiquitination exerts other function on proteins. Here is a limitation that we have not detect the function of ATP7A (K333) in cells. We are now doing this part of work and will report the result in the future study.

4D label-free mass spectrometer is an efficient method to identify differently ubiquitinated proteins and ubiquitination sites in SCAs. Totally 111 differently expressed ubiquitination sites were identified between SCAs and FCAs which mapped to 94 ubiquitinated proteins. Pathway enrichment analysis proved the ubiquitinated proteins were obviously contained in vesicles and involved in protein transport and release. We detected the function of ATP7A in AtT20 cells. Our ubiquitinome profiling result declared the differently expressed ubiquitination sites and proteins in SCAs and FCAs, and also classified the candidate proteins to investigate the hidden mechanism for the decreased expression of ATP7A in SCAs. These discoveries contribute to further researched about the adjustment of ubiquitination in SCAs.

### DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

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### **ETHICS STATEMENT**

The studies involving human participants were reviewed and approved by Ethics committees of Beijing Tiantan Hospital (KY2018-053-02). The patients/participants provided their written informed consent to participate in this study.

### **AUTHOR CONTRIBUTIONS**

SZ have drafted the work or substantively revised it. HW, DL, and YH collected tumor samples and analysis the data. LG interpret the data, YZ and CL contribute to the conception of the work. All authors contributed to the article and approved the submitted version.

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### SUPPLEMENTARY MATERIAL

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EDITED BY
Dragana Nikitovic,
University of Crete, Greece

REVIEWED BY
Atit Silsirivanit,
Khon Kaen University, Thailand
David Mitchell Lubman,
University of Michigan, United States

\*CORRESPONDENCE Xianquan Zhan yjzhan2011@gmail.com

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# Cancer glycomics offers potential biomarkers and therapeutic targets in the framework of 3P medicine

Yuna Guo<sup>1,2</sup>, Wenshuang Jia<sup>1,2</sup>, Jingru Yang<sup>1,2</sup> and Xianguan Zhan<sup>1,2</sup>\*

<sup>1</sup>Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University, Jinan, China, <sup>2</sup>Medical Science and Technology Innovation Center, Shandong First Medical University, Jinan, China

Glycosylation is one of the most important post-translational modifications (PTMs) in a protein, and is the most abundant and diverse biopolymer in nature. Glycans are involved in multiple biological processes of cancer initiation and progression, including cell-cell interactions, cell-extracellular matrix interactions, tumor invasion and metastasis, tumor angiogenesis, and immune regulation. As an important biomarker, tumor-associated glycosylation changes have been extensively studied. This article reviews recent advances in glycosylation-based biomarker research, which is useful for cancer diagnosis and prognostic assessment. Truncated O-glycans, sialylation, fucosylation, and complex branched structures have been found to be the most common structural patterns in malignant tumors. In recent years, immunochemical methods, lectin recognition-based methods, mass spectrometry (MS)-related methods, and fluorescence imaging-based in situ methods have greatly promoted the discovery and application potentials of glycomic and glycoprotein biomarkers in various cancers. In particular, MSbased proteomics has significantly facilitated the comprehensive research of extracellular glycoproteins, increasing our understanding of their critical roles in regulating cellular activities. Predictive, preventive and personalized medicine (PPPM; 3P medicine) is an effective approach of early prediction, prevention and personalized treatment for different patients, and it is known as the new direction of medical development in the 21st century and represents the ultimate goal and highest stage of medical development. Glycosylation has been revealed to have new diagnostic, prognostic, and even therapeutic potentials. The purpose of glycosylation analysis and utilization of biology is to make a fundamental change in health care and medical practice, so as to lead medical research and practice into a new era of 3P medicine.

### KEYWORDS

glycosylation, cancer biomarker, immunochemical method, lectin recognition, mass spectrometry, fluorescence imaging, immunotherapy, 3P medicine

### 1 Introduction

Post-translational modifications (PTMs) are chemical modifications of proteins during or after translation (1, 2), which include phosphorylation (3), glycosylation (4), ubiquitination (5), acetylation (6), alkylation (7), nitration (8), etc., according to the functional groups modified (1-8). Of these, glycosylation is the most common type of PTMs, and approximately half of all proteins in the human body are glycosylated (9). Glycosylation is a basic enzymatic modification in which glycans are covalently linked to proteins or lipids under the action of enzymes to form glycoproteins and lipopolysaccharides, respectively (4). Glycoproteins are divided into N-linked and O-linked glycosylations according to the modification site. N-glycosylation covalently modifies Nacetylglucosamine (GlcNAc) to the nitrogen atom on the side chain of asparagine (Asn). O-glycosylation covalently modifies Nacetylgalactosamine (GalNAc) to the oxygen atom of a serine (Ser) or threonine (Thr) residue (4,9). Glycosylation is the most complex PTM process. The diversity of monosaccharides and their combinations greatly increases the diversity of the glycoproteome (10). Glycosylation affects the spatial conformation, activity, and stability of a protein, which in turn affects its subcellular localization and protein-protein interactions (11). Glycosylation is involved in a series of cancer pathophysiological processes, which offers effective and reliable biomarkers for patient stratification, early diagnosis, and prognostic assessment of cancer patients, and effective therapeutic targets/drugs for targeted prevention, and personalized therapy of cancer, in the framework of predictive, preventive, and personalized medicine (PPPM; 3P medicine).

# 2 Structure and functions of glycosylation

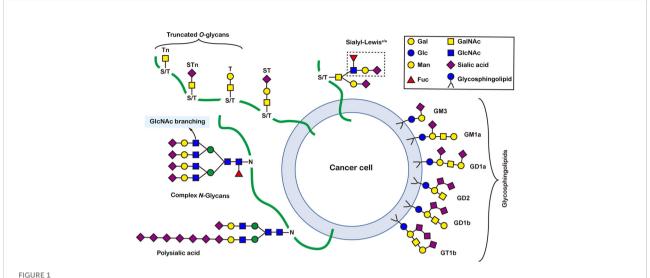
There are more than seven thousand configurations of glycan chains in mammals (9). Ten kinds of monosaccharides, namely glucose (Glc), galactose (Gal), mannose (Man), xylose (Xyl), fucose (Fuc), GlcNAc, GalNAc, glucuronic acid (GlcA), iduronic acid (IDOA), and sialic acid (Sia) are the main monomers for glycosylation (4, 9). Glycosylation is a non-templated and highly coordinated process that requires coordination between different glycosyltransferases, glycosidases, nucleotide sugar transporters, and appropriate substrates. Glycosylation changes rapidly with the changes of physiological and pathological conditions (4, 9). Unlike other general types of PTMs such as phosphorylation and ubiquitination that occur in the cytoplasm or nucleus, most glycosylation processes, with the exception of O-GlcNAcylation, occur in the endoplasmic reticulum (ER) and the lumen of the Golgi apparatus middle (10). N-glycosylations occur in a very large number of proteins and play key roles to regulate many intracellular and extracellular functions. The structural features of N-glycans are that they contain a GlcNAc2(Man)3 core, with the addition or

removal of other monosaccharides (Figure 1). These additives include Gal, GlcNAc, Sia, and Fuc. N-glycosylation in cells starts in ER, and is generally completed in Golgi apparatus. Many glycoproteins have both N- and O-linked sugar chains. O-glycans contain 6 major basic core structures that occur on amino acids with functional hydroxyl groups. O-glycosylation takes place in the Golgi apparatus, usually the first linked sugar unit is N-GalNAc, and then the sugars are sequentially transferred onto it to form oligosaccharide chains. Glycosylation changes the conformation of polypeptides and increases protein stability (11). Glycosphingolipids are composed of ceramides and oligosaccharide chains, and are common components of eukaryotic plasma membranes. Glycosphingolipids play an important role in cell recognition and communication, especially in the nervous system. In recent years, abnormal glycosylation has received more and more attention in cancer research, mainly in two aspects: (i) Aberrant glycosylation is a non-invasive tumor biomarker, and most FDA-approved tumor markers are glycoprotein or glycan antigens (12). (ii) Glycosylation plays an important role in the occurrence, development, and metastasis of cancer. Researches have shown that glycosylation is associated with cell proliferation, invasion, cell-cell interactions, and cell-matrix interactions (13). In addition, abnormal glycosylation also affects immune regulation (14) and promotes tumor metastasis (15). These have facilitated the development of efficient and innovative analytical methods for glycosylation.

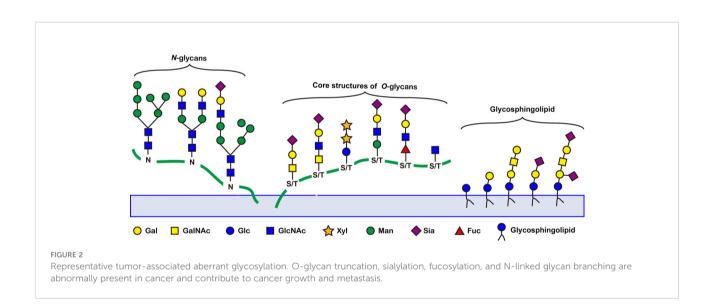
### 3 Aberrant glycosylation in tumors

Abnormal glycosylation is a hallmark of cancer. A number of tumor-associated aberrant glycosylation (Figure 2), such as O-linked glycan (16-25), sialylation (26-42), fucosylation (43-48), N-linked glycan branching (13), are aberrantly present in cancer and contribute to cancer growth and metastasis (Table 1). The exploration of aberrant glycosylation that accompanies tumorigenesis and progression began in the 1960s (16, 17). Lectins were used to compare the glycosylation difference between breast cancer and normal cells, which found that tumor cells had stronger binding affinity for lectins, indicating that tumor cells have a higher abundance of specific mucopolysaccharides (17). Mucin is one of the earliest breast cancer serum biomarkers, and truncated O-glycans are found in 90% of breast cancers (18). Truncated O-glycans, also known as CA72-4 antigen, including Tn (GalNAc-Ser/Thr), T (gal-GalNAc-Ser/Thr), and Sia-Tn (STn, Sia-GalNAc -Ser/Thr) (19). Truncated O-glycans are one of the representatives of abnormal glycans in cancer, with increased expressions in gastric (20), pancreatic (21), ovarian (22), bladder (23), and colon cancers (24). STn that is associated with tumor recurrence is considered an important prognostic biomarker, and has been used as a target for antitumor vaccine design (25).

By the 1980s, researchers successively discovered that glycosyltransferase activity was differentially expressed between normal and tumor cells. Especially compared to normal cells,



Common glycoconjugates in mammalian cells. N-glycans have a pentasaccharide core or a trimannosyl core, and the ends are further modified by GlcNAc, Gal, and Sla. O-glycans contain 6 main basic core structures and are further extended to generate structures of various core and different terminal glycans. Glycosphingolipids are composed of ceramides and a series of variable glycans.



tumor cell sialyltransferase and fucosyltransferase activities were significantly increased (26, 27). Abnormally high expressions of Sia and Fuc were quickly recognized as a tumor biomarker due to altered glycosyltransferase expressions. Sialylation is an important modification of cellular glycosylation, and sialylation plays an important role in cell-cell interactions and signal transduction (28). Lewis antigens, including sialyl-Lewis X (SLex,  $\alpha$ 1,3 fucosylation) and sialyl-Lewis A (SLea,  $\alpha$ 1,4 –fucosylation, also known as CA19-9), are highly expressed in many malignancies (29–33), such as pancreatic (29), colonic (30), gastric (31), breast (32), and biliary tract (33) cancers, and high expression of CA19-9 is associated with poor survival in

cancer patients (34). Polysialic acid is an  $\alpha$ -2,8-glycosidically linked polymer of Sia, usually expressed as N-glycans on neural cell adhesion molecule 1 (NCAM1) (35). The abnormal expression of polysialic acid is associated with the occurrence of lung cancer (35), breast cancer (36), and neuroblastoma (37), and is associated with poor prognosis (38). The increased level of sialylation also involves overexpression of gangliosides (39–42). Gangliosides refer to sialylated glycosphingolipids that are abnormally expressed in tumors such as neuroblastoma (39), lung cancer (40), cervical cancer (41), and breast cancer (42).

Fucosylation plays an important role in tumor pathology, including regulation of signaling pathways and tumor metastasis

TABLE 1 Representative glycosylated protein or glycan biomarkers in tumors.

Cancer	Biomakers	Sample types	Methods	Effect	Reference
Breast cancer	LY6G6F, VWF, BSG, C1QA, ANGPT1, CDH6	Serum of human	LC-MS	Diagnosis	(12)
Breast cancer	Mucin	Cell of human	Lectin method	Diagnosis	(17)
Breast cancer	Tn	Tissue of mouse	Immunohistological	Functional reseach	(18)
Gastric cancer	Mucin	Tissue of mouse	ELISA	Functional reseach	(20)
Pancreatic cancer	Tn, T, sTn	Tissue of mouse	Immunohistological	Functional reseach	(21)
Ovarian cancer	Tn, T, sTn	Cell of human	Immunohistological	Functional reseach	(22)
Colorectal cancer	Tn	Tissue of human	WB	Diagnosis	(24)
Pancreatic cancer	CA19-9	Tissue of mouse	Immunohistological	Functional reseach	(29)
Colorectal Cancer	CEA, CA19-9	Tissue of human	Public DataBase	Statistics	(30)
Gastric cancer	CEA, CA19-9, CA72-4	Tissue of human	ELISA	Diagnosis	(31)
Metastatic breast cancer	CA15.3, CEA, CA-125, CA19.9	Serum of human	ELISA	Diagnosis	(32)
Gallbladder carcinoma	CA19-9 and CEA	Serum of human	ELISA	Diagnosis	(33)
Breast cancer	Polysialic acid	Tissue of human	HPLC	Diagnosis, functional reseach	(36)
Neuroblastoma	Polysialic acid	Cell of human	WB	Functional reseach	(37)
Cervical cancer	GM1	Serum of human	PCR	Functional reseach	(41)
Breast cancer	GM3	Serum of human	LC-MS	Diagnosis	(42)
Hepatocellular carcinoma	AFP-L3	Serum of human	ELISA	Diagnosis	(44)
Ovarian cancer	Integrins and haptoglobin	Tissue of human	Immunofluorescence	Functional reseach	(45)
Gastric cancer	Haptoglobin	Serum of human	TOF-MS	Diagnosis	(46)
Pancreatic cancer	Fucosylated haptoglobin	Serum of human	L-ELISA	Diagnosis	(47)
Lung cancer	Sialylation, fucosylation	Cell of human	MALDI-TOF MS	Functional reseach	
Lung cancer	Hsp90á	Serum of human	ELISA	Diagnosis	(49)
Liver cancer	AFP	Serum of human	ELISA	Diagnosis	(50)
Breast cancer	AFP	Cell of huma	ELISA	Diagnosis	(51)
Pancreatic cancer	SLex	Tissue of human	Immunofluorescence	Diagnosis	(52)
Pancreatic cancer	MUC6, GlcNAc	Cell and tissue of human	WB	Functional reseach	(53)
Breast cancer	CD82	Tissue of human	Immunohistochemical	Diagnosis	(54)
Lung Cancer	EGFR	Cell	WB	Functional reseach	(55)
Prostate cancer	PSA	Serum and urine of human	L-ELISA	Functional reseach	(56)
Breast cancer	CA15-3	Serum of human	L-ELISA	Diagnosis	(57)
Breast Cancer	Alpha-1-acid glycoprotein (AGP	Serum of human	ELISA	Diagnosis	(58)
Pancreatic cancer	Sialylation	Tissue of human	Lectin microarray	Functional reseach	(59)
Liver cancer	Tn, á-GalNAc, GlcNAc, Sia	Tissue of human	Lectin microarray	Functional reseach	(60)
Ovarian cancer	Complex N-glycans	Tissue of human	MS	Functional reseach	(61)
Colorectal cancer	Complex N-glycans		MS	Functional reseach	(62)
Triple-negative breast cancer	Polylactosamines	Tissue of human	MS	Functional reseach	(63)
Non-small Cell Lung	Sialylation, fucosylation	Cell of human	MS	Functional reseach	(64)
Colorectal cancer	Carcinoembryonic antigen (CEA)	Tissue of human	MS	Diagnosis	(65)
Liver cancer	GlcNAc, Sialylation,fucosylation	Serum of human	MS	Diagnosis	(66)

(43). Aberrant fucosylation has been reported in many cancer types, such as the Lewis antigen is typically associated with tumor progression and metastasis (29–33). The FDA-approved core fucosylated  $\alpha$ -fetoprotein (AFP-L3) is widely used as an early diagnosis of hepatocellular carcinoma, and AFP-L3 is more specific than AFP (44). Blood samples from 2447 patients were

analyzed for both AFP and AFP-L3. The sensitivity, specificity, and diagnostic odds ratio of AFP and AFP-L3 for hepatocellular carcinoma were analyzed and compared, which found that AFP-L3 had high-specificity and low-sensitivity in diagnosing early hepatocellular carcinoma. It suggests that AFP-L3 could be used to exclude hepatocellular carcinoma in the presence of elevated

AFP. Haptoglobin is a protein normally present in the blood that promotes angiogenesis (43). Fucosylated haptoglobin is associated with a variety of diseases, including ovarian (45), gastric (46), and pancreatic (47) cancers. The sensitivity of fucosylated haptoglobin to pancreatic cancer exceeds CA19-9 and CEA (47). When Fuc is highly expressed in epidermal growth factor receptor (EGFR), EGFR dimerization and phosphorylation are increased, and EGFR-mediated tumor cell growth and malignancy-related signaling pathways are increased (48).

### 4 Recent advances in glycomicsbased biomarker discovery

Since tumor-associated glycosylation alterations are a distinct feature of cancer diagnosis and prognosis, a great deal of effort has been devoted to identify, label, and characterize glycosylation in recent years. Four types of glycosylation research tools have been developed, including immunochemical methods, lectin recognition-based methods, mass spectrometry (MS)-related methods, and fluorescence imaging-based *in situ* analysis methods. These studies not only focus on the analysis of glycosylation, but also reveal the regulatory mechanism of glycosylation.

### 4.1 Immunochemical methods

Immunochemical methods mainly include enzyme-linked immunosorbent assay (ELISA) and western blotting (WB). ELISA uses specific antibodies to specifically recognize and quantify glycans/glycosylated proteins of interest (67). ELISA is widely used in clinical disease diagnosis and is the gold standard (68) for protein detection due to its simple sample pretreatment and quick results. Four main types of ELISAs, including direct, indirect, sandwich, and competition, are used (Figure 3) (49, 67, 68). (i) For the direct method (Figure 3A), the target is adhered to the well plate, the HRP-labeled antibody binds to the antigen (target), and the enzyme catalyzes the chromogenic substrate to produce a visible colorimetric output, which is measured by a UV-Vis spectrophotometer. The concentration of the analyte is proportional to the intensity of the color. (ii) For the indirect method (Figure 3B), the target is adhered to the well plate, the enzyme is bound to the secondary antibody that can recognize the primary antibody, and the signal recognized by the primary antibody is displayed by the secondary antibody. The enzyme catalyzes a chromogenic substrate, and the degree of color development is proportional to the concentration of the analyte. (iii) For the sandwich method (Figure 3C), the captured antibody is adhered to the well plate, the antigen in the analyte is bound to the captured antibody, and the HRP-labeled antibody binds to the antigen and catalyzes the coloration of the substrate. Sandwich methods

generally have higher sensitivity and specificity than direct and indirect methods. For the competition method (Figure 3D), both the target and competitor can bind the HRP-labeled antibody. The more antigens in the sample, the weaker the signal. The antigen concentration in the sample is therefore inversely proportional to the color intensity. A wide range of samples have been analyzed with ELISA, and new markers of tumor progression and prognosis have been discovered (49). Traditional ELISA relies on the chromogenic reaction of substrate and enzyme, which has low sensitivity, and cannot meet the needs of biomarker analysis in complex biological samples (67, 68). Moreover, the enzyme tag used by ELISA is a natural protein, and heat, pH, or chemical induction can make the enzyme lose its catalytic activity, which is not conducive to the stability of the method (Table 2). Researchers have developed several strategies to improve the performance of traditional ELISAs, which can be broadly classified into biotechnologybased (50, 69) and nanotechnology-based (51, 68, 70) methods. For biotechnology-based ELISA, blocking agents have been innovatively used to effectively reduce the background noise of ELISA and eliminate various false positive and false negative signals in serum assays (69). Peptides with binding affinity have been designed and utilized to develop recombinant proteins with higher affinity and thermostability to AFP than natural antibodies (50). For nanotechnology-based ELISA, metalorganic frameworks (MOF)@Hemin-Au composite (70) have been utilized to enhance the stability of AFP immunoassays with labeled antibodies. Nanocomplexes can accelerate electron transfer in electrochemical ELISA, and the research achieved high-sensitivity analysis of EGFR (51) and tumor antigen 125 (68) with nanocomplexes, in which the sensitivity of tumor antigen 125 was more than 6 times that of traditional ELISA (68). WB is a traditional protein analysis method to identify and quantify specific proteins in biological samples (48). Tumor biomarkers such as MUC1 (52), MUC6 (53), CD82 (54), EGFR (55), PSA (56), and other glycosylated proteins (71) have been extensively studied with WB. These methods are very sensitive, capable of detecting up to 0.1 nanograms of proteins in a sample (72). Despite its high sensitivity and specificity, WB can still produce incorrect results. For example, false negatives can occur when large proteins do not have enough time to transfer to the membrane. Moreover, processing samples at high temperature may destroy their PMTs (72), which is not conducive to revealing the true state of the samples. Therefore, we hold the view that WB can only be used as an aid in early diagnosis (Table 2).

### 4.2 Lectin-based method

Immunochemical methods require the use of well-validated antibodies to ensure diagnostic sensitivity and specificity (67, 72). However, the lack of glycan/glycosylated protein-specific

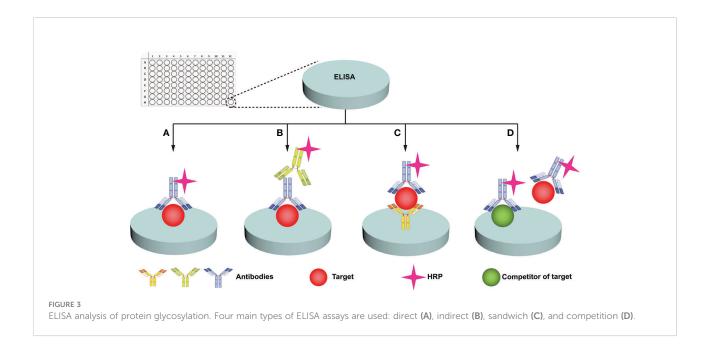


TABLE 2 Advantages and disadvantages of representative glycosylation analysis techniques.

Technical classification	Research methods	Advantages	Disadvantages	References
Immunochemical Methods	ELISA	Simple sample pretreatment; short analysis time; gold standard for clinical diagnosis	Low-Stability of the kit; lack of glycosylation antibodies; inability to obtain glycosylation site and structure information	(49–51, 67– 70)
	WB	Ultrahigh sensitivity; high throughput; wide range of applications	Lack of glycosylation antibodies; False negatives may occur; inability to obtain glycosylation site and structure information	(52–56, 71, 72)
Lectin-based method	L-ELISA	Wide variety of lectins; simple sample preparation; short analysis time	Low-Stability of the kit; inability to obtain glycosylation site and structure information	(57, 58, 73, 74)
	lectin blotting	Ultrahigh sensitivity; high throughput; wide application	False negatives can occur; inability to obtain glycosylation site and structure information	(75)
	lectin cytochemistry	Ultrahigh sensitivity; in-situ information acquisition; dynamic tracking possible	Inability to obtain glycosylation site and structure information	(76)
	lectin microarray	High dynamic range; ultrahigh sensitivity; high throughput	Inability to obtain glycosylation site and structure information; no in -situ information available	(59, 60, 77– 79)
MS	Top-down MS	Simple sample pretreatment; short analysis time; without any digestion; suitable for proteoform analysis Identify glycan structure information	Not suitable for analysis of hydrophobins; difficult to obtain glycosylation sites; low abundance protein signal suppressed; expensive	(80–85)
	Bottom-up MS	Antibody-free; Identify glycosylation site and structure information; wide range of application	Complex sample preprocessing; no <i>in-situ</i> information available; expensive	(61–66, 86– 115)
Fluorescence imaging		High dynamic range; ultrahigh sensitivity; <i>in-situ</i> information acquisition; dynamic tracking possible	Inability to obtain glycosylation site and structure information; expensive	(116–128)

antibodies hinders the widespread application of immunochemical methods. Fortunately, lectins have broader specificities, and are considered to be very useful tools for glycan research, and the information on lectins specifically recognizing glycans is summarized (Table 3) (57–60, 73–78). Lectin-based methods include lectin-antibody sandwich ELSA (L-ELISA) (67), lectin blotting (67), lectin cytochemistry (67), and lectin microarray (67). L-ELISA and lectin blotting are the extension of ELISA and WB. The principle is the same as that of immunochemical methods, just lectin is used to replace antibody as the recognition molecule (74). L-ELISA has been designed and implemented for the analysis of two breast cancer biomarkers,

CA15-3 (57) and  $\alpha$ -1-acid glycoprotein (AGP) (58), showing a higher sensitivity than ELISA, distinguishing breast cancer stages I, IIA, and IIB. Lectin blotting has been utilized to explore the link between the development ofliver cancer and the intracellular action of GALNT glycosyltransferase (75). Lectins are widely used for *in situ* tracking of glycan signatures on surfaces; for example, Huang evaluated and compared the glycan structures among 64 cell lines, 37 tissues, and primary colon tumor tissues with 19 fluorescently conjugated lectins (76). Lectin microarray is a fast, sensitive, high-throughput glycan analysis technique suitable for studies with large sample numbers (77) (Table 2). Lectin microarrays was used to analyze and compare glycosylation

TABLE 3 Properties of representative lectins (57–60, 73–78).

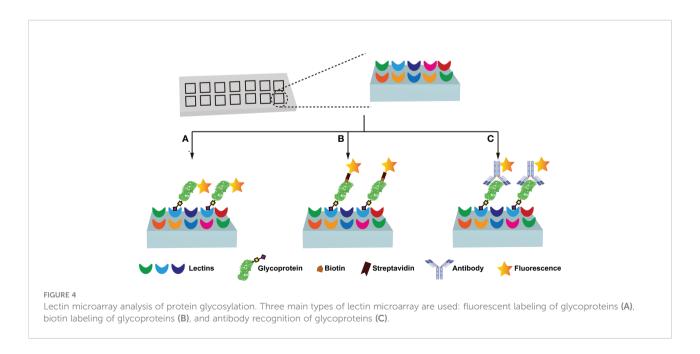
Lectin	Abbreviation	Glycoprotein	Metal Ions	Specificity
Aleuria aurantia	AAL	No	-	Fucα6GlcNAc
Concanavalin A	Con A	No	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αMan, αGlc
Succinylated Concanavalin A	Succinylated Con A	No	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αMan, αGlc
Datura stramonium	DSL	Yes	No	(GlcNAc) <sub>2-4</sub>
Euonymus europaeus	EEL	Yes	Ca <sup>2+</sup> , Zn <sup>2+</sup>	Galα3Gal
Galanthus nivalis	GNL	No	No	αMan
Griffonia (Bandeiraea) simplicifolia I	GSL I, BSL I	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αGal, αGalNAc
Hippeastrum hybrid	HHL, AL	No	No	αMan
Jacalin	Jacalin	Yes	No	Galβ3GalNAc
Lens culinaris	LCA, LcH	No	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αMan, αGlc
Lotus tetragonolobus	LTL	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αFuc
Lycopersicon esculentum	LEL, TL	Yes	_	(GlcNAc) <sub>2-4</sub>
Maackia amurensis I	MAL I, MAL	Yes	No	Galβ4GlcNAc
Maackia amurensis II	MAL II, MAH	Yes	No	Neu5Acα3Galβ4GalNAc
Maclura pomifera	MPL	No	No	Galβ3GalNAc
Narcissus pseudonarcissus	NPL, NPA,	No	No	αMan
Peanut	PNA	No	Ca <sup>2+</sup> , Mg <sup>2+</sup>	Gaβ3GalNAc
Pisum sativum	PSA	Trace	Ca <sup>2+</sup> , Mn <sup>2+</sup>	αMan, αGlc
Psophocarpus tetragonolobus I	PTL I, WBA I	Yes	-	GalNAc, Gal
Psophocarpus tetragonolobus II	PTL II, WBA II	Yes	-	GalNAc, Gal
Ricinus communis I	RCA I, RCA120	Yes	No	Gal
Ricin B Chain	Ricin B Chain	Yes	No	Gal
Sambucus nigra	SNA, EBL	Yes	No	Neu5Acα6Gal/GalNAc
Solanum tuberosum	STL, PL	Yes	No	(GlcNAc) <sub>2-4</sub>
Sophora japonica	SJA	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup>	βGalNAc
Soybean	SBA	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup>	α>βGalNAc
Ulex europaeus I	UEA I	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup> , Zn <sup>2+</sup>	αFuc
Vicia villosa	VVL, VVA	Yes	Ca <sup>2+</sup> , Mn <sup>2+</sup>	GalNAc
Wheat Germ	WGA	No	Ca <sup>2+</sup>	GlcNAc
Succinylated Wheat Germ	Succinylated WGA	No	Ca <sup>2+</sup>	GlcNAc
Wistera floribunda	WFA, WFL	Yes	-	GalNAc

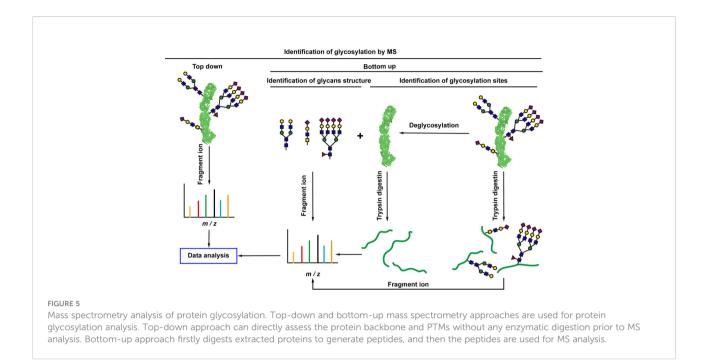
in non-tumor and tumor regions of pancreatic ductal adenocarcinoma (59). Lectin microarray requires less sample, simple pretreatment, and no glycan release or purification, enabling high-throughput, rapid and sensitive glycosylation analysis of different clinical samples. Its analytical methods usually include fluorescent labeling of glycoproteins (Figure 4A), biotin labeling of glycoproteins (Figure 4B), and antibody recognition of glycoproteins (Figure 4C) (59, 77). The most commonly used method is fluorescent labeling of proteins. Briefly, the protein is pre-labeled with a fluorescent dye, and after the glycoprotein is captured by the lectin, the amount of the corresponding glycoprotein is reflected by the fluorescence intensity. Fluorescent dyes such as Cyanine3 and tetramethylrhodamine were widely used (60). Biotin labeling of proteins can further increase the sensitivity of glycosylation assays with streptavidin. However, these two methods have an obvious disadvantage - in order to ensure the reproducibility of the analysis using the direct labeling strategy, a relatively large number of glycoproteins need to be labeled. One way to overcome this deficiency is to employ an antibody-covered lectin microarray strategy, which is more applicable. Increased abundances of sialylated glycans and N-GalNAc were found in tumor regions, and the mechanisms underlying these glycosylation-related abnormalities were explored (59). The protein glycosylation changes induced by the drug Sorafenib during tumor therapy have been explored with lectin arrays (78); the high-throughput advantages of lectin arrays were also exploited to systematically analyze the glycosylation of 56 lectins in 207 samples (79). Further, normal and cancerous breast cells were differentiated using lectin microarrays, which have also been used to study cell development and differentiation (60, 74). Another point worth mentioning is that the reversed lectin arrays modify carbohydrates on microarrays,

which can be used to analyze carbohydrate-binding proteins such as lectins, and can also be used to study different carbohydrate structures and various biological targets (RNA, virus and whole cell) interactions (60). These studies provided ideas for revealing the molecular mechanism related to glycosylation and designing new anticancer drugs.

### 4.3 MS-based method

Cells are complex living organisms, and subtle changes in glycosylation may transmit different signals and produce different biological effects (129). There is an increasing demand for detailed analysis of the structure and modification sites of glycans. The most significant advantage of MS is the ability to obtain detailed structural information of glycans, which makes MS the best tool for analyzing glycosylations. Matrix-assisted laser desorption/ionization MS (MALDI-MS) and electrospray ionization MS (ESI-MS) are two commonly used MS approaches (130, 131). MALDI is often combined with time-of-flight (TOF) MS, which has a theoretically infinite m/zrange and fast scan speed, enabling extremely low detection lines. ESI is a form of soft ionization protonation that progressively desolvates the sample and forms analyte ions at lower temperatures. A major advantage of ESI is that it can be easily combined with high performance liquid chromatography (HPLC) to pre-separate complex mixtures of glycans (130, 131). Generally, two types of protein glycosylation MS analyses are used, including top-down and bottom-up (80) (Figure 5). Top-down glycoprotein analysis is the direct assessment of PTMs of the protein backbone without any digestion, which





has found some new proteoforms (81-84), and some of these proteoforms cannot be reliably identified by bottom-up method (83). It is significant to better understand the molecular mechanisms of a disease. The top-down method is more efficient and rapid for the analysis of glycosylation. The analysis of 38 glycoforms has been achieved in a few hours in combination with bioinformatics tools (82). However, despite the strong potential and technological advancement of the topdown approach, it has rarely seen its widespread and clinical application. Top-down MS study has its own limitations: (i) it is not suitable for the analysis of hydrophobic proteins such as membrane proteins; (ii) it inhibits low-abundance proteins such as glycoproteins, and lack of separation methods for glycosylated proteins; (iii) it is difficult to accurately locate unstable glycosylation modification site (85); and (iv) it requires additional bioinformatics tools to process the complex data generated by top-down approaches (86). These are the problems that need to be solved urgently in the development of top-down MS. Whereas, the bottom-up MS approach digests extracted proteins to generate peptides suitable for MS analysis (87). Glycopeptides are easier to be analyzed with MS than intact glycoproteins because they may exhibit higher ionization efficiencies and yield simpler tandem mass spectrometry (MS/ MS) spectra, partialy due to their smaller size than glycoproteins (87). Glycopeptides are often required to be enriched prior to MS/MS analysis, and glycopeptides have relatively low ionization efficiencies compared to non-glycosylated peptides. Analysis of glycan structure requires the release of glycans. Nglycans are released from glycopeptides by peptide-Nglycosidase F (PNGase F) digestion (61). O-glycans have many core structures, and no general release enzymes are used;

however, there are corresponding enzymes for specific core structures. Alternatively, any O-glycans can be released through the chemical process of  $\beta$ -elimination, with the potential for undesirable side effects (61). In recent years, bottom-up MS has been widely used to study glycosylation changes in tumorigenesis and development at different specificity levels including global, cell-specific, and local-specific.

### 4.3.1 MS analysis of global glycosylation

MS is widely used in cancer diagnosis and mechanism research. It has been found that N-glycan structure is closely related to tumor molecular subtypes, and fucosylation is differentially modified in different subtypes of ovarian cancers (62). MS has been used to characterize changes in glycosylation in sera and tissues from colon cancer patients with stages II and III. Oligosaccharides, hypogalactosylated, and tetra-antennary forms are ur-regulated in tumor tissues (63). The structural distribution of specific types of glycans in the stroma, necrosis, and tumor areas of breast cancer has been studied, and high-Man, branched, and fucosylated glycans were predominantly present in the tumor region (64). More abundant fucosylated and sialylated glycopeptides are found in drug-resistant nonsmall cell lung cancer cells (65). Researchers also improved MS methods in many ways to obtain more sensitive and comprehensive information. For example, CEA samples purified from human colon cancer and its liver metastastic tissues were cleaved by specific enzymes such as trypsin, intracellular protease gluc, and nonspecific enzyme pronase, respectively; which identified 28 N-glycosylations of CEA. Of them, three more N-glycosylation sites were identified by gluc digestion than trypsin digestion. This research provides a better

understanding of the heterogeneity of CEA glycosylation pattern (88). Virtual multi-stage MS was utilized to simultaneously obtain glycan, peptide sequence, and glycosylation sites. The deglycosylated peptides and intact glycopeptides were mixed for MS analysis. MS<sup>2</sup> spectra of intact glycopeptides were used to determine glycosyl groups, while MS<sup>2</sup> spectra of deglycosylated peptides were used to identify peptide backbone sequences. Compared to the traditional multi-stage strategy, the MS<sup>2</sup> spectrum of deglycosylated peptide can directly recognize the peptide backbone with higher sensitivity (66). Researchers also improved the detection sensitivity and breadth of MS from the methods of glycan labeling (89) and data analysis (90).

# 4.3.2 MS analysis of membrane protein glycosylation

The cytoplasmic membrane provides a highly interactive platform for intracellular and extracellular information transfer. Proteins on the cell surface are extremely important for the development of tumors (13). Most membrane proteins are glycosylated to regulate life activities such as cell-cell interaction and signal transduction (14). About 70% of FDAapproved drugs target cell surface proteins (91). MS itself cannot distinguish cell surface glycoproteins from intracellular glycoproteins. Compared to the whole cell glycoproteins, cell membrane glycoproteins are less abundant, and are easily confused with intracellular proteins. The enrichment of surface glycoproteins is of great significance for comprehensive analysis by MS. To better investigate the glycosylation on the cell membrane, researchers use density-gradient centrifugation to separate the plasma membrane from the cell, especially sucrosegradient centrifugation is the most widely used method, which is also a classic method for membrane protein separation (92, 93). However, ultracentrifugation does not separate membrane glycoproteins but all membrane proteins, and this method cannot completely eliminate cytoplasmic proteins (93). In recent years, with the development of chemical biology and MS-based proteomics, more precise analysis of cell surface glycoproteins has become possible.

A typical method for *in situ* labeling of membrane glycoproteins is to oxidize cell surface glycoproteins with sodium periodate, followed by hydrazine chemical capture to enrich the membrane proteins and identify them with MS. A method, cell-surface-capturing (CSC) technology, was first developed by Wollscheid et al. in 2009 for large-scale analysis of surface glycoproteins (94). It optimized the periodate concentration and reaction conditions to maintain cell viability and minimize side-effects. The aldehyde group oxidized by periodate can react orthogonally with biotin hydrazine (BH). After cell lysis, the biotinylated glycopeptides were enriched by streptavidin beads, and then glycopeptides were eluted by PNGase F treatment. CSC enables site-specific analysis of cell surface glycoproteins, which significantly reduces the false-positive rate of surface glycoprotein identification.

Characterization of the cell surface proteome of lymphoid malignancies is a first step toward improving personalized diagnosis and treatment of leukemias and lymphomas. The CSC technique was utilized to characterize the cell surface Nglycoproteinome of four human malignant lymphocyte cell lines, and a total of 404 cell surface N-glycoproteins were identified. Of them, 82 N-glycoproteins had not been previously mentioned in the cell surface protein map. Cluster analysis of these MS data was used to reveal the most representative proteins of each cell type, which would facilitate mapping their stages of differentiation, and help identify associated malignancies (95). With the use of this CSC strategy, researchers constructed an extensive database of cell surface proteins. The Cell Surface Protein Atlas (CSPA; wlab.ethz.ch/cspa/) is a public resource containing experimental evidence for cell surface proteins identified in 41 human cell types (96). To improve the performance of CSC technology, researchers made some changes to it, mainly focusing on optimizing the conditions for labeling aldehyde groups, and optimizing peptide enrichment procedures. The bioorthogonal reaction rates of hydrazide and aldehyde groups used in the CSC strategy are slow and inefficient. Aniline was used as a catalyst to increase the labeling rate. Furthermore, biotinylated surface glycoproteins ware enriched at the levels of protein rather than peptide. Biotinylated proteins were enriched with streptavidin-coated beads. Beads were rigorously washed, and bound proteins were trypsinized. The resulting peptide mixture was analyzed by liquid chromatography-MS/MS (LC-MS/MS). As a result, approximately 900 plasma membrane and secreted proteins were identified, including more than 300 transporters and ion channels (97). CSC method requires a large amount of starting material (10<sup>7</sup> to 10<sup>8</sup> cells per experiment), possibly due to the large number of sample processing steps resulting in severe loss of samples. Cell surface protein isolation protocols were also optimized to increase cell surface protein coverage. With a special pipette tip, the new workflow is suitable for very small numbers of cells, 10 times less than traditional CSC methods. A total of ~600 cell surface-associated proteins were identified from 1105 cells alone (98).

The oxidative properties of periodate often harm the active state of cells, and labeling conditions based on enzyme-catalyzed cell surface glycoproteins are milder, and the reaction is more efficient (99). Galactose oxidase can specifically oxidize the hydroxyl group at  $C_6$  position on Gal/GalNAc to an aldehyde group. The reaction rate of galactose oxidase on the cell membrane surface was also improved by the researchers (99). Galactose oxidase releases  $H_2O_2$  when it oxidizes glycoproteins.  $H_2O_2$  inhibits the activity of galactose oxidase. The authors added HRP to consume  $H_2O_2$  at the same time of oxidation, which promoted the completion of the oxidation reaction. The number of identified glycoproteins increased by ~25% after the addition of HRP, and 953 N-glycosylation sites within 393 surface glycoproteins were identified in MCF7 cells (99).

Combined with quantitative proteomics, ones performed a systematic quantitative analysis of the changes in the surface glycoproteome of breast cancer under drug treatment. The resulting data contribute to a better understanding of the functions of glycoproteins and molecular mechanisms of a disease (99). Moreover, GlcNAc and GalNAc are two common glycosylations, with the same molecular weights and glycosylation sites, which two are difficult to be distinguished with MS. This problem was solved by exploiting the specificity of galactose oxidase. GalNAc can be oxidized by galactose oxidase but GlcNAc cannot. Combined with MS analysis of glycoproteins, 96 Tn antigen-containing glycoproteins were identified in Jurkat cells (100). These data clearly show that this method can clearly distinguish the two glycoforms, mainly due to the specificity of galactose oxidase (100). We believe that this method can be widely used in the biomedical research of Tn antigen. Compared to periodate, galactose oxidase is promising for oxidizing glycans on the cell surface: (i) The reaction is mild, and the oxidation process does not affect cell viability and growth; (ii) With high specificity, the enzyme, a large molecular weight protein, cannot penetrate the cell membrane of living cells, and only extracellular glycans are labeled. This method is suitable for the analysis of surface glycoproteins.

The unnatural sugar metabolism-labeling technology was first proposed by Bertozzi's team (101). The basic principle is to use the original metabolic pathway of the organism to metabolize the unnatural sugar with bioorthogonal groups to the original position of the natural glycan, and then realize the labeling and research of the unnatural sugar through the bioorthogonal reaction. This method made outstanding contributions to the identification (101) and dynamic changes (101) of glycosylation. Metabolic labeling techniques were also utilized to explore the glycosylation of cell surface glycoproteins. Cell surface glycoproteins are metabolically labeled with functionalized sugars and then labeled with biotin by copper-free click chemistry. Biotin-containing surface glycopeptides were selectively enriched and analyzed with MS. On average, 683 glycosylation sites and 354 surface glycoproteins are identified per cell (102). Glycoproteins were quantified in combination with label-free quantification, distinguishing between cell-specific and cell-ubiquitous glycoproteins (102). This study led to a better understanding of cell surface glycoproteins, and provided important information for the discovery of new biomarkers and drug targets.

Metabolic labeling techniques were also used to visualize, identify, and quantify proteins. The labeling of three carbohydrate analogs (GalNAz, ManNAz and GlcNAz) was compared, and the results showed that GalNAz labelled more cell surface protein glycosylation sites than GlcNAz or ManNAz (102). Not only the metabolic ratios of different sugars on *N*-glycans are different, but also the incorporation efficiency of the same sugar among *N*-/*O*-glycans, glycosides and glycosphingolipids is significantly different (104). A comprehensive, site-specific analysis of changes in *N*-

glycosylation of surface proteins on statin-treated versus untreated cells was performed. Compared to untreated cells, many glycopeptides were downregulated in statin-treated HepG2 cells because statins prevented the synthesis of dolichol, which is essential to form dolichol-linked precursor oligosaccharides. Nglycosylation on surface proteins associated with Alzheimer's disease was found to be downregulated (103). Furthermore, with the use of stable isotope labeling of amino acids in cell culture (SILAC), time-dependent changes in cell surface glycoprotein abundance were localized and quantified for the first time (105). Briefly, after cells were subjected to full heavy isotope incorporation and full light isotope incorporation with SILAC method, cell collection was performed every two hours until the 48-hour time course was completed. Over time, heavy proteins are degraded, and newly synthesized proteins are theoretically light proteins, thus measured protein half-life (105, 106). However, a limitation of SILAC method is that proteins with very long half-lives may not be accurately determined because the protein may not be renewed over the course of the assay time. Also, SILAC strategy was used to explore the conversion rate of O-GlcNAcylated proteins (107). Glycoproteins on the cell surface are dynamic to adapt to the changing extracellular environment. Thus, the dynamic changes of these glycoproteins can guide disease states with important biomedical significance.

Currently, few unnatural carbohydrate metabolism precursors are widely used, which greatly limits the systematic research of glycosylation in a cell membrane protein (93). Unnatural sugar incorporation relies on competing cellular metabolic processes with natural sugars, which is inefficient and time-consuming. The use of glycosyltransferases and nucleotide-sugar analogs to directly label cell surface glycans was also pursued by researchers (93). Selective exo-enzymatic labeling (SEEL) method was developed to efficiently label cell surface glycans with recombinant sialyltransferases and nucleotide-Sia analogs. Two sialyltransferases, ST6Gal1 and ST3Gal1, were used to label the Sia of N- and O-glycans, respectively. SEEL in combination with MS identified 37% more sialylated proteins than metabolic labeling methiod. This SEEL study compared the levels of sialylated proteins in undifferentiated vs. differentiated human erythroleukemia cells (HEL), and found that differentiated cells had more N-linked sialylated proteins (108). Biotin-functionalized nucleotide-Sia analogs were synthesized to label cell surface Sia in one step, which labels nearly twice as many proteins as the two-step SEEL method. The protocol of this one-step strategy is technically simple, and the transport and turnover of glycoproteins can be easily explored (109), with higher sensitivity compared to typical two-step reporting strategy (110). The glycosyltransferase approach is also flawed and limited to study glycoproteins that can serve as enzyme substrates. However, due to the simple steps and high efficiency, it is especially suitable to detect lowabundance glycan epitopes on living cells.

# 4.3.3 MS analysis of regional membrane protein glycosylation

Glycoprotein interaction networks are important in many intracellular and extracellular events, and abnormal protein interactions are closely related to various diseases including cancers (14). A proteomic approach in combination with chemical cross-linking, enzymatic reaction, and MS identification was developed to systematically characterize proteins that interact with surface glycans. Bis(sulfosuccinimidyl)suberate (BS<sup>3</sup>), a membrane-impermeable crosslinker, was first used to covalently crosslink surface glycoproteins and their interacting proteins. For the extraction of glycoproteins, a strategy similar to the CSC technique was adopted. Galactose oxidase was used to oxidize glycans on surface glycoproteins, which were then enriched for surface glycoproteins and their interactors by hydrazine chemistry, followed by quantitative proteomics. As a result, it identified more than 300 proteins interacting with surface glycoproteins, and the glycoprotein interaction network was constructed (111). Combined with chemical cross-linking, the analysis of cell surface interaction networks, especially glycan-interacting proteins, became more precise. Azide-labeled Sia on the cell surface through the metabolic pathway, and the bifunctional linker was used to covalently couple Sia and Sia-interacting proteins. Cells were lysed and trypsinized, and the cross-linked glycan-peptides were purified with reversed-phase chromatography columns and strong cation exchange cartridges, and analyzed with reversed-phase liquid chromatography-high-resolution Orbitrap MS. The enriched glycan-peptides were identified by an improved proteomic approach with fragmentation using high-energy collision-induced dissociation. This study unequivocally provides direct information on the network of Sia-mediated protein action on the cell membrane (112). For glycan-protein interactions, covalent bonds created by cross-linking between interacting proteins cannot be cleaved by MS, which is difficult to make direct identification with search software. Proximity labeling-based methods are frequently used to analyze protein interactions; especially APEX2-based methods were widely used in proteomics because of its high catalytic activity, small size (28 kDa), and activity in different cellular compartments (113). APEX2 is fused to the N-terminus of Galectin-3 and mapped glycoproteins that interact with Galectin-3. Quantitative proteomics based on tandem mass tag (TMT) labeling identified these interacting glycoproteins with high sensitivity. At the same time, the glycoprotein interacting with galectin-3 was further verified by in vitro experiments such as WB (114). In addition to enzymes, chemical probes with catalytic activity are also developed to study lectin-interacting proteins. Iron (S)-1-(p-bromoacetamidobenzyl) EDTA (FeBABE) was used as a catalyst to coupling lectins. Free radicals are generated in the presence of H<sub>2</sub>O<sub>2</sub> to oxidize lectin-interacting proteins, and lectininteracting cell surface glycoproteins were identified with MS. This method was extended to study surface glycoproteins that interact with different types of lectins, such as SNA, MAL, AAL, and WGA (115). Similar methods are also developed to identify Sia-interacting proteins (116). Such methods provide an unprecedented insight into the interaction of lectins with specific glycoproteins.

MS has made outstanding contributions to the comprehensive analysis of glycosylation studies of plasma, cell membrane proteins, and glycan-interacting proteins, and the widespread use of MS is mainly due to several key advantages: (i) MS analysis does not require antibodies that are expensive, cumbersome to obtain, and limited in types. Moreover, the performance of antibodies varies to affect the test results. (ii) MS does not require prior knowledge of the type of protein to be studied. WB, immunoprecipitatioin (IP), and other methods commonly used in traditional biology require prejudgment of the proteins to be analyzed. MS is a common technique in analysis of proteins. Without prior knowledge of proteins, MS enables large-scale marker screening in complex biological samples. (iii) The advent of tagging methods, such as iTRAQ and TMT labeling, can efficiently perform deep quantification of biomarkers, which has an essential feature in comparison of multiple samples. (iv) Perhaps, the most significant advantage of MS-based methods is able to obtain the detailed structural information on glycan structures and to identify glycosylation sites, which might directly impact cell function. In recent years, the combination of chemical biology and MS has made an indelible contribution to the research of glycosylation at different specificity levels, which deepens one's understanding of the synergistic regulation of cellular protein activities. We believe that it is the focus and direction of future research (Table 2).

# 4.4 Fluorescence imaging-based *in situ* cellular glycan analysis

Although proteomics and glycomics have made great advances in the *in vitro* research of glycoglycan structure and function, they cannot provide *in situ* real-time qualitative or quantitative information on glycoglycans on cells, especially the spatial distribution information. Moreover, the complex cleavage and separation process prior to MS analysis might lead to unpredictable loss of glycan information (117). Some studies used lectin, metabolism and other methods to study the overall state of glycosylation of tumor cells (118). In order to achieve more precise analysis, more comprehensive surface accessibility, higher sensitivity, and wider applicability, cell-specific (119–123) and protein-specific (124–128, 132–134) glycan *in situ* analytical methods have been continuously developed in the past five years.

### 4.4.1 Cell-specific glycosylation analysis

A common method to image glycans of specific cells is to design the caged probes, and this probe can be activated by enzymes that are produced by target cells, such as cancer-associated proteases. A cathepsin B-specific cleavable substrate (KGRR) was conjugated to an azide-modified metabolic sugar precursor, where specific sites of the azide sugar are blocked from being taken up by cells (119). When cathepsin B was

present on the surface of tumor cells, it acted like "scissors", chopping peptide fragments, releasing metabolic precursors, and causing tumor cells to generate unnatural glycans containing azide groups (119). For cell culture and tumor-bearing mice, unnatural glycans on the surface of tumor cells were conjugated to near-infrared fluorescent (NIRF) dye-labeled molecules via a bioorthogonal click reaction (119). However, this method is only applicable to a limited number of cells with extracellular protease expression. To overcome this limitation, a metabolic labeling method that target to specific cells was developed by encapsulating unnatural sugars in liposomes modified with the targeting integrin αvβ3. Azide-labeled unnatural saccharides were selectively present in specific cells via receptor-mediated endocytosis, followed by coupling to fluorescent dyes via copper-free click chemistry (120). This strategy was also extended further to the in vivo level, where intravenously injected liposomal nanoparticles selectively bind to cancer cellspecific receptors to install azide into melanoma glycans in a tissue-specific manner (120). Such studies are promising for tumor-specific imaging or drug delivery. Some studies also directly act on the cells with enzymes modified with targeting ligands, and achieve cell selectivity by adjusting the concentration of probes (121). To avoid non-specific reactions caused by collisions, this method must use very low enzyme concentrations, and cell specificity is not optimistic. A strategy was developed to achieve cell-selective glycan remodeling by modulating enzymatically active center accessibility (SEA). Encapsulation of enzymes with MOFs prevents them from reacting with macromolecular enzyme substrates, and the encapsulated enzymes bind to target cells and degrade encapsulates to remodel the target cells. The SEA protocol adopts a modular design and is expected to be a general tool for cell-selective glycan analysis (122). Furthermore, the thermosensitive microgel was used to encapsulate sialidase, combined with the targeted recognition of aptamers, to achieve cell-specific desialylation, and for the first time, tumorspecific desialylation of complex tissue sections was achieved. This method enhances the killing ability of NK cells to target tumor cells through heat-triggered cell-specific desialylation, which provides a new idea for cancer therapeutic intervention targeting glycoimmune checkpoints (123).

### 4.4.2 Protein-specific glycosylation analysis

Glycans on specific proteins play an important role in regulating the structure and function of proteins to further affect the biological function and physiological state of cells. Therefore, protein-specific glycan analysis provides a powerful tool to reveal glycan-related biological processes. Fluorescence resonance energy transfer (FRET) is the main method to analyze protein-specific glycans (117, 124). Methods based on site-specific duplexed luminescence resonance energy transfer (D-LRET) (125), hierarchical coding (HieCo) (126), localized chemical remodeling (LCM) (127), and DNA enzymatic

reactions (128, 132) have also been developed in the past five years. A FRET strategy based on hybridization chain reaction (HCR) amplification was reported (124). Briefly, target cells were subjected to metabolic labeling of glycans to modify FRET donors. Aptamers that can trigger HCR and generate a large number of receptors were added, and the HCR nanoassembly induced the amplification and labeling of the target protein, which resulted in a high FRET signal for enhanced imaging of cell surface glycosylation. To achieve simultaneous imaging of two glycans on a specific protein, upconversion nanoparticles (UCNPs) with multicolor luminescence properties was used as a common donor to construct a D-LRET system on a specific protein on the cell surface (125). Aptamer-modified UCNPs were able to specifically bind to the target MUC1. Meanwhile, two different fluorescent receptors, AF555 and AF660, were labeled with metabolic techniques on two target monosaccharides, Sia and Fuc. Two glycan-labeled fluorescent acceptors on MUC1 were simultaneously excited by D-LRET under near-infrared excitation. This system enabled simultaneous imaging of Sia and Fuc on MUC1 on different cell surfaces. Relative quantification of Sia and Fuc on MUC1 was also achieved with O-GalNAc as an internal control (126).

The FRET strategy needs to use two different fluorophores that can undergo fluorescence resonance energy transfer when used for protein-specific glycoform imaging. It is very difficult to obtain two pairs of fluorescent donor-acceptor pairs that do not interfere with each other at the same time, so it is difficult for this strategy to simultaneously image multiple glycans in a specific protein. This problem was addressed by designing a hierarchical coding strategy. DNA sequences were used to encode proteins and different classes of glycans. Decoding process started with the addition of a "time code" that exposed the "protein code". Exposed "protein code" was hybridized with a hairpin that was covalently modified on the sugar, opening the hairpin and exposing the "monosaccharide code". The clever introduction of "time encoding" in this strategy strictly distinguishes the encoding and decoding process, and the decoding event can be started at any time (126). A similar method was developed to visualize signal amplification of glycans on specific proteins with metabolic labeling and proximity-induced hybridization chain reaction (133). The number of DNA sequences that could be used as markers was theoretically infinite, so this method, with its ability to image many different glycoforms simultaneously, is a scalable and versatile platform.

LCM strategy was proposed to remodel Gal/GalNAconspecific proteins. Galactose oxidase activity was inhibited in the presence of potassium ferrocyanide, and galactose oxidase activity was "on" when potassium ferricyanide was added. This switch enabled the specific oxidation of Gal/GalNAc at the end of MUC1 on the cell surface. Bioorthogonal labeling was then performed for the purpose of localized glycan analysis (127). DNA technology was used to design a hairpin structure with filter function for the imaging of specific protein glycoforms on

the cell surface. The platform relied on the nicking action of restriction endonuclease (NE), and the two ends of the designed hairpin molecular probe were modified with fluorophore and quencher, respectively (128). The site specifically recognized by NE was designed in the middle region of the hairpin. The probe was not cleaved by NE in the hairpin configuration, but could be cleaved by NE when the hairpin was opened by the protein probe. Fluorescent signal of the closed-loop structure was covalently retained at the end of the sugar chain, and the fluorescent signal of the open-loop structure was released into the solution. Based on this method, a multifunctional DNA localization nanomachine was further improved and developed to analyze multiple targeted modifications (MOIs) at the protein-specific level (132).

In summary, it clelarly demonstrates that the current protein-specific glycoform imaging strategies need to use a "gating" guarantee mechanism. For example, the FRET strategy relies on distance as the "gating", the LCM strategy uses ions as the "gating", and the HieCo strategy designs DNA as the "gating". These strategies are cleverly designed, but also suffer from disadvantages such as limited generalizability (LCM) and complex design (HieCo). Therefore, a fundamental shift in detection mode is also required in the future to achieve highly sensitive protein-specific glycoform imaging with a simplified "gated-free" design (Table 2).

### 5 Glycan-related tumor therapy

### 5.1 Glycan-related targeted therapy

As previously discussed, tumor-associated glycans/glycoproteins have been widely used as biomarkers for clinical diagnosis and prognostic assessment of patients (133). The abnormal changes in glycosylation on the surface of tumor cells relative to normal cells can also be extended to therapeutic targets (134). In the context of 3P medicine, abnormal glycosylation provides a clear label for tumor cell identification, and individualized diagnosis and treatment plans can be implemented according to the special situation of each patient (135). It can greatly improve the specificity of tumor treatment and has a huge impact on monitoring and treatment. Research on targeting glycosylation mainly covers two levels:

(i) tumor suppressive effects of glycan-binding molecules (136–138). Since cancer-specific glycan biomarkers are only highly present on the surface of tumor cells, glycan-binding molecules can be used to discriminate tumor cells from normal cells. The glycan-binding molecule contains lectins and antiglycan antibodies. The addition of the glycan-binding molecule blocks the corresponding signaling pathway and triggers cancer cell death. For example, the ganglioside focusyl-GM1 is a tumor-associated antigen that is aberrantly expressed in human small cell lung cancer but not in most normal adult tissues, making it a

promising target in tumors (136). A new fully human antifocusyl-GM1 antibody was discovered. In multiple mouse SCLC models, the focusyl-GM1 antibody showed good efficacy and was well tolerated. The focusyl-GM1 antibody was used in a preclinical model of small cell lung cancer and showed strong in vitro and in vivo antitumor activity. As a common tumor biomarker, GD2 antibody was also used in the treatment of neuroblastoma (137). It was found that interleukin 2 in combination with anti-GD2 antibody therapy improves outcomes of high-risk neuroblastoma patients who respond to standard induction and consolidation therapy. The GM3 (Neu5Gc) ganglioside, a tumor-specific antigen, was identified as a promising target for cancer immunotherapy (138). A humanized antibody against this ganglioside, 14F7hT, was developed and demonstrated to have significant antitumor effects. Studies have shown that 14F7hT has antibodydependent cytotoxicity and anti-tumor effects in vivo.

(ii) Targeting function of glycan-binding molecules (139-149). Glycan-binding ligands can be used to increase the selectivity and efficiency of antineoplastic drugs against cancer cells and increase their concentration at tumor sites. For example, Tn antigens are highly specific to tumors, and Tn antibodies have been used in targeted drug delivery (139). With the use of the specificity of Tn monoclonal antibodies and the strong cytotoxicity of anticancer drugs, a new type of antibodydrug targeting Tn antigens was introduced. The specificity of monoclonal antibody in vivo was first explored, and the antibody-drug conjugate was further applied to Tn-positive tumor cells in vitro, showing effective cytotoxicity, and the cytotoxicity was positively correlated with the expression level of Tn in the tumor. Antibody-drug conjugates also showed potent antitumor activity in vivo. The study demonstrated for the first time the effectiveness of Tn antibodies as antibody-drug conjugates. Further, Tn antibody was used to provide targeting effect for the drug-encapsulated nanocapsules, the encapsulation efficiency of nanocapsules for antitumor drugs reached 99.9% and the biotin-avidin method was used to attach antibodies to nanocapsules (140). In vitro uptake studies and viability assays in the A549 human lung cancer cell line demonstrated that Tn antibodies enhanced nanoparticle internalization and decreased cell viability. MUC1 is aberrantly expressed in epithelial malignant cells, making it an interesting diagnostic and therapeutic target (141). NK cells express abundant Fc receptors, which promote the binding of NK cells and tumor cells, thereby enabling NK cells to precisely target and destroy cancer cells. A protein coupled with MUC1 antibody and Fc receptor was developed, which was highly specific to tumors, and applied to tumor immunotherapy. In addition to antibodies, aptamers are also widely used for targeted binding of proteins due to their small molecular weight and high specificity (142). A novel MUC1 aptamer-modified nanocomplex was developed for the targeted delivery of epirubicin (143). In addition to chemotherapeutic drug delivery, the study of MUC1 aptamer-

functionalized hybrid nanoparticles for targeted delivery of miRNA-29b to non-small cell lung cancer was proposed (144). The MUC1 aptamer was coupled to the nanoparticle surface and enhanced the selectivity of miRNA-29b for tumor cells and tissues. Sialic acid and fucose are typical tumor cell biomarkers that have been widely used in tumor-targeted therapy (145). Bispecific Janus agglutination can simultaneously bind sialic acid and fucosylated glycoconjugates, and Janus lectin-mediated targeted tumor therapy was developed (146). Janus lectins were used to modify giant unilamellar vesicles to induce lipid internalization, leading to precise drug uptake by human epithelial cancer cells. In addition to macromolecular proteins, small molecules such as phenylboronic acid can also specifically bind sialic acid (147). Boronic acid-targeting sialic acid nanocomposites were proposed for the combined delivery of etoposide and the herbal berberine for local treatment of lung cancer. Etoposide, as a potential lung cancer therapy, is limited in its application due to its poor solubility and overall side effects. This study shows that the inhalable nanocomposite has a good antitumor effect. SLea functionalization is a glycan known to mediate tumor metastasis (148). Monoclonal antibody-targeted nanoparticles targeted the controlled release of cytotoxic drugs for intravenous and oral support. The nanocapsules also reduced the initial toxicity of the drug to gastric cells. The study of CD19 antibody chimeric CAR T cells for targeted immunotherapy of tumors resulted in remission in most refractory and relapsed patients (149).

Altered glycosylation is a key change in tumors, and aberrant glycosylation is a component of tumor growth, survival, metastasis, and immune evasion (150). Targeting glycosylation has many advantages in tumor 3P medicine. Cancer-associated glycans represent a valuable opportunity for cancer diagnosis, prognosis, and treatment. Molecules exhibiting glycan recognition properties, as described herein, may represent a powerful strategy for stem cancer diagnosis and treatment (151, 152).

# 5.2 Glycan-related vaccine design and immunotherapy

Immune checkpoint inhibitors, such as anti-PD1 and anti-CTLA-4 blocking antibodies, were widely used in tumor therapy and improved long-term survival of patients (153, 154). However, not all patients benefit from checkpoint inhibitor therapy. The reason for these lack of benefit is often the absence of T-cell infiltration in the tumor microenvironment, a subset of patients who could benefit from tumor vaccination (154, 155). Antitumor vaccines can be divided into preventive tumor vaccines and therapeutic tumor vaccines. Preventive tumor vaccines are represented by the HPV (human papillomavirus) vaccine approved by the FDA in 2006 (136). The HPV vaccine was developed by Merck & Co., which is immunized to healthy people before the occurrence of tumors, and the human body obtains tumor immune responses in advance (156).

The HPV vaccine can effectively prevent the occurrence of cervical cancer in women, and has achieved great clinical success. Therapeutic tumor vaccines are injected after the occurrence of tumors. It is hoped that the vaccines can induce specific anti-tumor immune responses in tumor patients to achieve the purpose of tumor treatment. Therapeutic tumor vaccines developed with glycoconjugates are a research hotspot and are regarded as an innovative biologic that can be used in a variety of therapeutic settings. Glycoconjugate vaccines are divided into three categories: (i) glycolipid antigens, such as gangliosides Fuc-GM1 (157), GM3 (158, 159), GD2 (160), GD3 (161, 162), SLeA (163), SLeX (163), and Globo H (164). (ii) glycoproteins, such as mucin-associated epitopes Tn, TF, and STn (62, 165); (iii) proteoglycans, such as polysialic acid (147). Glycoconjugate vaccines have high efficiency, low toxicity and high specificity, and are a hot spot in tumor immunotherapy (Table 4).

### 6 Future perspective

# 6.1 Glycosylation analysis and 3P medicine

Tumor-related glycosylation changes are a significant feature of cancer diagnosis and prognosis. In recent years, researchers have made great efforts to better analyze and utilize glycosylation. For glycosylation analysis, methods including immunochemical methods, lectin recognition-based methods, MS-related methods, and fluorescence imaging-based in situ analysis methods have been developed. Immunochemical methods have high sensitivity and specificity, but are overly antibody-dependent and prone to false positives. Lectin microarray is a fast, sensitive, high-throughput glycan analysis technique suitable for studies with large sample sizes. Immunochemical methods, lectin recognition-based methods, and fluorescence imaging-based in situ analysis methods all require prior knowledge of the protein being analyzed, which is disadvantageous for 3P medicine PPPM (166). MS is a versatile technique that enables large-scale marker screening in complex biological samples without prior knowledge of proteins, enabling detailed structural information about glycan structure, and identifying potential implications for cellular function. Traditional MS technology cannot perform in situ analysis at different specificity levels. The combination of chemical biology methods with MS technology makes up for this drawback. A thorough investigation of a patient's inability to undergo glycosylation at different levels of specificity can help map precise glycophenotypes (167).

# 6.2 Glycan-related immunotherapy and 3P medicine

Cell surface glycans are key cellular components that influence cell recognition behavior. Injecting glycan-related vaccines often

TABLE 4 Representative polysaccharide vaccine in tumor immunotherapy.

Tumor-associated polysaccharide vaccines		Structure	Cancer type	References	
Type	Glycan				
Ganglioside	Fuc-GM1	β4 α4 β3 β3 α	Liver cancer, lung cancer	(156)	
	GM3	β1 β4 β3	Lung cancer, brain cancer, breast cancer, and melanoma	(157, 158)	
	GD2	β1 β4 α3 α8	Neuroblastoma, lymphoma, melanoma, and osteosarcoma	(159)	
	GD3	β1 β4 α3 α8	Breast cancer, melanoma	(160, 161)	
	SLeA SLeX	β3 β3 α2,3	Colon, stomach, biliary, and pancreatic cancer	(162)	
	Globo H	β3 β4 α2,3	Small cell lung, prostate, pancreatic, gastric, and ovarian cancers	(163)	
Glycoproteins	Tn	β1 β4 β3	Bladder, colorectal, ovarian, and breast cancer	(164, 165)	
	STn	<u>α1</u>			
	TF	α1 α2,6			
Proteoglycan	Polysialic acid	$\frac{\alpha 1}{\beta 3}$	Lung cancer, breast cancer, and neuroblastoma	(62)	

brings problems of poor specificity, which is not conducive to 3P medicine. Glycan editing on the cell surface to achieve glycoform remodeling and further modification of other biomolecules can modulate cellular recognition and communication functions. Using glycan editing to enhance anti-tumor immune responses by blocking glycan immune checkpoints has brought new breakthroughs in the field of cancer therapy (121, 168, 169). Sia residues send a healthy signal to the body, suppressing immune activation through multiple pathways. The high sialylation status of tumor cells/tissues plays an important role in the ability to evade immune recognition. Sia upregulation has been associated with poor tumor prognosis and decreased immunogenicity. Removal of cell surface Sia was found to enhance NK cell activation. An antibody-enzyme conjugate biotherapeutic molecule was designed (121). The antibody specifically recognizes tumor cells, guides sialyl cleavage enzymes to specifically desialylate tumor cells, and guides immune cells to kill desialylated cancer cells. The conjugate increased tumor cell killing compared to the antibody alone. This method was successfully used in breast cancer mice (168). However, this method lacks cell-specific controls, thermosensitive smart microgels were used to modulate the editing ability of glycan editing enzymes, and in combination with aptamers, an in situ, cell-specific glycan editing strategy was developed. Sia cleavage was limited to the surface of the target cell, thus enabling thermoresponsive cell-specific glycan editing. This method achieves the enhancement of innate anti-tumor immunity and avoids the interference of glycan editing with the normality of other cells. Redirecting or boosting the immune response is an effective treatment (118). A key challenge of this immunotherapy is to selectively install molecules that recruit immune responses on the surface of relevant cells. By selectively delivering metabolic sugar precursors to folate receptor-overexpressing cells, an azide group was added to cell surface glycans. Rhamnose was efficiently introduced to the surface of expressing cells. Studies have demonstrated that rhamnose mounted on the target surface recruits anti-rhamnose antibodies and promotes apoptosis of folate receptor overexpressing cells through complementationdependent cytotoxicity (CDC) and antibody-dependent phagocytosis (ADCP) (169, 170). In turn, customized treatment algorithms can be created to provide optimal clinical approaches for personalized, predictive and preventive medical services, which we believe will be the focus and direction of future research.

# 7 Conclusion and expert recommendation in framework of 3P medicine in cancer

Glycosylation modification is one of the most important post-modification modifications of proteins. Glycans greatly enrich the biological information of proteins, thereby

enhancing their role in cellular behavior. Glycosylation is a template-free process, and the expression of related genes is affected by transcription factors, epigenetic changes, microenvironment, etc. The complexity of carbohydrates and the limitations of research methods make the research of glycomics seriously lag behind the research of genomics and proteomics. In the past five years, driven by the latest technological advancements, analytical methods based on immunochemical methods, lectin recognition, MS, and fluorescence imaging have gained momentum in cancer research, in terms of providing new glycosyl-based markers, has considerable potential. Although immunochemical-based methods are limited by antibody species and affinity, assays for specific glycosylated target proteins are easier to standardize and reduce redundancy, making them suitable for clinical applications. The in situ analysis methods based on fluorescence imaging have made great efforts to study the dynamic changes and spatial distribution of glycans and functions; however, it cannot obtain specific glycan structures. A significant advantage of MS-based method is the ability to obtain detailed information about glycan structure and to identify glycosylation sites that may have direct effects on cellular function. However, they cannot provide real-time information about glycoglycans on intact cells, especially the spatial distribution. The organic combination of chemical biology and MS has made an indelible contribution to the research of glycosylation at different levels of specificity, deepening our understanding of the synergistic regulation of cellular activities by proteins. Combining biochemical, in situ analysis, and omics techniques to research glycosylation together provides interdisciplinary insights into deciphering diseases at multiple levels, which we believe is also the focus and direction of future research. In addition, glycans in organelles, such as mitochondria, Golgi and nucleus, need more attention. At the same time, recent research results have also highlighted the relationship between glycosylation and immunity, suggesting that the use of glycan editing can enhance anti-tumor immune responses, which has brought new breakthroughs in the field of cancer therapy. In the future, glycosylation will surely be revealed with new diagnostic, prognostic, and even therapeutic applications. After entering the new century, medicine has entered a new 3P era, which represents the ultimate goal and highest stage of medical development. Glycosylation-based

marker analysis and immunotherapy have achieved rapid development in the past decade. This new model of early warning, prevention and individualized treatment has also promoted the rapid development of oncology to certainly improve people's quality of life.

### **Author contributions**

YG collected and analyzed literature, designed and wrote the manuscript. WJ and JY participated in the collection and analysis of literature. XZ conceived the concept, coordinated, critically revised manuscript, and was responsible for the corresponding works. All authors approved the final manuscript.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Glossary

AAL Aleuria aurantia lectin

ADCP antibody-dependent phagocytosis

AFP-L3 fucosylated  $\alpha$ -fetoprotein AGP  $\alpha$ -1-acid glycoprotein

Asn asparagine
BH biotin hydrazine

CDC complementation-dependent cytotoxicity

CSC cell-surface-capturing
CSPA Cell Surface Protein Atlas

D-LRET duplexed luminescence resonance energy transfer

EGFR epidermal growth factor receptor
ELISA enzyme-linked immunosorbent assay

ER endoplasmic reticulum
ESI electrospray ionization

FRET fluorescence resonance energy transfer

Fuc fucose Gal galactose

GalNAc N-acetylgalactosamine

Glc glucose
GlcA glucuronic acid
GlcNAc N-acetylglucosamine
HCR hybridization chain reaction

HCR hybridization chain reaction
HEL human erythroleukemia cells

HieCo hierarchical coding
HPV human papillomavirus

IDOA iduronic acid

L-ELISA lectin-antibody sandwich enzyme-linked immunosorbent assay

LCM localized chemical remodeling

MAL Maackia amurensis leukoagglutinin

MALDI Matrix-assisted laser desorption/ionization

Man mannose

MOF metal-organic frameworks

MOIs multiple targeted modifications

MS mass spectrometry

NCAM1 neural cell adhesion molecule 1

NE nicking action of restriction endonuclease

NIRF near-infrared fluorescent

PNGase F peptide-N-glycosidase F

PTMs post-translational modifications

Ser serine
Sia sialic acid

SILAC stable isotope labeling of amino acids in cell culture

SEEL selective exo-enzymatic labeling

SLea sialyl-Lewis A SLex sialyl-Lewis X

SNA Sambucus nigra agglutinin

Thr threonine
TMT tandem mass tag

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TOF time-of-flight

UCNPs Upconversion nanoparticles

WB Western blotting
WGA Wheat germ agglutinin

Xyl xylose



### **OPEN ACCESS**

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\*CORRESPONDENCE Xiaorong Sun 251400067@qq.com

<sup>†</sup>These authors have contributed equally to this work and share first authorship

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# Towards an era of precise diagnosis and treatment: Role of novel molecular modification-based imaging and therapy for dedifferentiated thyroid cancer

Jing Li<sup>1,2†</sup>, Yingjie Zhang<sup>2†</sup>, Fenghao Sun<sup>2</sup>, Ligang Xing<sup>3</sup> and Xiaorong Sun<sup>2\*</sup>

<sup>1</sup>Department of Graduate, Shandong First Medical University and Shandong Academy of Medical Sciences, Jinan, China, <sup>2</sup>Department of Nuclear Medicine, Shandong Cancer Hospital and Institute, Shandong First Medical University and Shandong Academy of Medical Sciences, Jinan, China, <sup>3</sup>Department of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University and Shandong Academy of Medical Sciences, Jinan, China

Dedifferentiated thyroid cancer is the major cause of mortality in thyroid cancer and is difficult to treat. Hence, the essential molecular mechanisms involved in dedifferentiation should be thoroughly investigated. Several studies have explored the biomolecular modifications of dedifferentiated thyroid cancer such as DNA methylation, protein phosphorylation, acetylation, ubiquitination, and glycosylation and the new targets for radiological imaging and therapy in recent years. Novel radionuclide tracers and drugs have shown attractive potential in the early diagnosis and treatment of dedifferentiated thyroid cancer. We summarized the updated molecular mechanisms of dedifferentiation combined with early detection by molecular modification-based imaging to provide more accurate diagnosis and novel therapeutics in the management of dedifferentiated thyroid cancer.

### KEYWORDS

dedifferentiated thyroid cancer, radioactive iodine resistance, biomolecular modifications, molecular imaging, targeted therapy

### Introduction

Thyroid cancer (TC) is the most frequent type of cancer in the endocrine system, the incidence of which has been increasing globally in recent years (1). Although differentiated thyroid cancer (DTC) has a good prognosis, the dedifferentiated thyroid cancer, including DTC with gradual dedifferentiation, poorly differentiated thyroid cancer (PDTC) and anaplastic thyroid cancer (ATC), is the key to treatment dilemma, and leads to the death of patients.

Approximately 6-12% of DTC patients gradually lose iodine uptake ability due to dedifferentiation and eventually develop resistance to radioactive iodine (RAI) therapy, identified as RAI-refractory DTC (RAIR-DTC), demanding additional effective treatments (2, 3) The 10-year survival rate of RAIR-DTC patients with distant metastasis is only about 10% (4). PDTC and ATC account for nearly 6% and 2% of all thyroid malignancies, respectively, and usually have a poor prognosis and high mortality (5). Thus, the treatment of patients with dedifferentiated thyroid cancer remains a major clinical challenge.

In the past decade, several studies emerged and illuminated molecular mechanisms responsible for dedifferentiated thyroid cancer. The discovery of molecular modification targets has raised high hope for new potential avenues for the management of dedifferentiated thyroid cancer. In this review, there will be a focus on investigating the comprehensive and updated molecular modification-based management strategies in dedifferentiated thyroid cancer.

# Molecular modifications of dedifferentiation

Dedifferentiated thyroid cancers lose their differentiation characteristics by various mechanisms, the most important of which is the decreased expression, localization, or abnormal function of sodium/iodide symporter (NIS) proteins (6, 7).

Biomolecular modifications such as DNA methylation, protein phosphorylation, acetylation, ubiquitination, and glycosylation are significant epigenetic factors in thyroid cancer. The potential molecular basis for RAIR is the silencing of expression of thyroid-specific genes NIS, thyroglobulin (Tg), TSH receptor (TSHR), thyroperoxidase, transcription factors paired box gene-8 (PAX-8), and thyroid transcription factor-1, which are involved in alterations in cell surface receptors, signaling pathways, and nuclear receptors and epigenetics, respectively (4, 8) (Figure 1). The following detailed description is based on the site of molecular modifications and the expression levels.

### Cell surface receptors

Receptor tyrosine kinase (RTKs) is a transmembrane protein expressed in the cell membrane or adjacent to the plasm, which binds to specific ligands resulting in its autophosphorylation, and mutations in the gene could constitutively activate different downstream signaling pathways, ultimately leading to dysregulation of cell proliferation, dedifferentiation, and reduced apoptosis.

### Neurotrophic tyrosine receptor kinase (NTRK)

NTRK genes include NTRK1, NTRK2, and NTRK3. The autophosphorylation of NTRK1 tyrosine residues in the tyrosine-kinase domain increase NTRK1 activity. NTRK gene

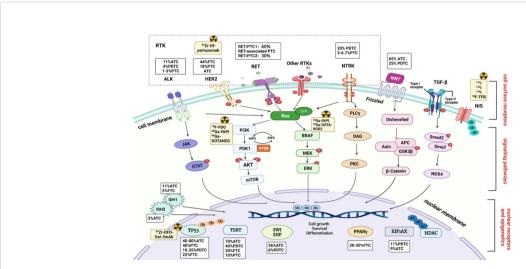


FIGURE 1

Mechanisms and molecular imaging involved in dedifferentiation of thyroid cancer. Molecular modifications and genetic mutations are described at the three levels of cell surface receptors, signaling pathways, nuclear receptors, and epigenetics. The incidence in different histologies are indicated in boxes. And the radioactive sign indicates the target for molecular imaging. RTK, receptor tyrosine kinase; ALK, anaplastic lymphoma kinase; HER2, human epidermal growth factor receptor 2; NTRK, neurotrophic tyrosine receptor kinase; NIS, sodium/iodide symporter; P, phosphorylation; JAK, Janus kinase; STAT, signal transducers and activators of transcription; ERK, extracellular signal-regulated kinase; PLCγ, phospholipase C-γ, DAG, diacylglycerol; PKC, protein kinase C; TGF-β, transforming growth factor-β; PI3K, phosphoinositide 3-kinase; APC, adenomatous polyposis coli; AXIN1, axis inhibition protein 1; GSK3β, glycogen synthase kinase 3β; NOX4, NADPH oxidase 4; PPAR-γ, peroxisome proliferator activated receptor gamma; HDAC, histone deacetylase; TERT, telomere reverse transcriptase; IDH, isocitrate dehydrogenase; EIF1AX, eukaryotic translation initiation factor 1A.

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fusions are oncogenic drivers that lead to NTRK gene fusions due to intra- or inter-chromosomal rearrangements, and cytoplasmic Trk fusion proteins activate downstream signals through phosphoinositide 3-kinase (PI3K), mitogen-activated protein kinase (MAPK), and phospholipase C- $\gamma$  (PLC $\gamma$ ) to drive tumor proliferation and spread. NTRK fusions have been found in 3-6.7% of papillary thyroid cancer (PTC) and 20% of PDTC (9).

### Anaplastic lymphoma kinase (ALK)

The ALK is a transmembrane tyrosine kinase of the insulin receptor family that, when the ligand binds to its extracellular structural domain, promotes activation of multiple downstream signaling pathways, such as PI3K/AKT, MAPK, and Janus kinase (JAK)-signal transducer and activator of transcription (STAT). ALK mutations and rearrangements are most common in ATC (11.1%) and PDTC (4%), and they play a role in disease progression and aggressiveness (10).

### **RET**

The RET gene is a proto-oncogene that encodes the RET protein of the tyrosine kinase receptor superfamily. RET protein is a receptor tyrosine kinase, undergoing phosphorylation at several tyrosine residues, that can activate various downstream signaling pathways, such as MARK and PI3K, to induce cell proliferation. Rearrangements of the RET and other genes are common (5% - 25%) in PTC (3). The expression of thyroid-specific genes, increasing the differentiation process was suppressed by conditional activation of RET/PTC1 or RET/PTC3 (6). RET/PTC1 accounts for about 60% of RET-associated PTC, with RET/PTC3 accounting for approximately 30%. Although uncommon, RET/PTC rearrangements have been discovered in ATC and PDTC, primarily in carcinomas with a differentiated component.

### Other RTKs

Copy number increases have been found in different subtypes of thyroid cancer such as epidermal growth factor receptor (EGFR), platelet-derived growth factor receptor A/B (PDGFRA/B), vascular endothelial growth factor receptor 1,2 (VEGFR1,2), mast/stem cell growth factor receptor kit (c-Kit) and metabotropic proto-oncogene receptor tyrosine kinase (MET). Missense mutations such as fibroblast growth factor receptor 2 (FGFR2) and FMS-like tyrosine kinase 3 (FLT3) were found in 11% and 17% of PDTC, respectively (3). The human epidermal growth factor receptor 2 (HER2) gene (ERBB2) overexpression was discovered in follicular thyroid cancer (FTC) (44%), PTC (18%), and some ATC (11). HER2 and HER3 are essential actors upstream of the signal-regulated kinase Extracellular Signal-Regulated Kinase (ERK) and AKT signaling pathways. Overexpression of HER2 and HER3 may

provide a RAIR-DTC tumor escape mechanism for BRAF mutant cells treated with the BRAF inhibitor vemurafenib (12).

### Signaling pathway

## PI3K pathway

The RAS proto-oncogene is one of the most common mutation targets in the PI3K/AKT cascade, and the G protein-like signaling protein it encodes is located on the inner surface of the cell membrane and is active when combined with GTP. Signaling pathways transmit signals from cell membrane RTKs and G protein-coupled receptors. The RasGRP3 mutation was shown to be more common in metastatic RAIR-DTC, promoting cell proliferation, invasion, and migration. RAS mutations, harboring more DNA hypermethylations, show the preferential association with AKT phosphorylation and are more likely to activate the PI3K/AKT pathway, which can occur in 30%-50% of FTC, 15% of PTC, 30%-45% of follicular variant papillary thyroid cancer (FVPTC), and 20%-40% of PDTC and ATC (13).

### PIK3CA

Activating mutations or increases in the copy number of PIK3CA can increase the protein's expression. Some studies have found that activation of PIK3CAE<sup>545K</sup> plays a role in the progression of well-differentiated thyroid cancer to ATC. PIK3CA mutations are common in ATC (18%) but less common in PDTC (2%) and PTC (0.5%) (14).

### АКТ

The PI3K/AKT signaling pathway has long been recognized to regulate a variety of cellular and molecular processes, including cell growth, proliferation, and cell motility. AKT mutations represent a late event in thyroid cancer and, therefore, are more common in PDTC (19%) (15). In thyroid cancer cells, the phosphorylation of AKT reduced NIS and TSHR expression and RAI absorption. These findings imply that an activated AKT signaling pathway might be engaged in RAIR-DTC through mediating RasGRP3 mutation. Furthermore, suppression of the PI3K/AKT signaling pathway has been shown to promote NIS expression and RAI absorption in thyroid cancer cells (6).

### PTEN

PTEN is a tumor suppressor gene that is found on chromosome 10 and is altered or deleted in heritable and spontaneous malignancies. PTEN is one of the most important downstream regulators of PI3K signaling, and its dysregulation could have a significant impact on this pathway. PTEN

mutations, decreasing the conversion from PIP3 to PIP2 followed by increasing AKT phosphorylation, may prevent NIS from being glycosylated and inhibit it from reaching the plasma membrane. As a result, cytoplasmic NIS expression increases (16). PTEN mutations have been found in ATC (15%), FTC (14%), PDTC (4%), and PTC (2%) (3).

### MAPK pathway

Many human cancer types exhibit activation of the MAPK signaling pathway, which is accomplished by activating mutations or overexpression of MAPK upstream activators such as RTKs, Ras, and Raf, leading to MEK phosphorylation followed by ERK phosphorylation. Changes in the MAPK pathway are prevalent in thyroid cancer, particularly in PTC (40-80%), ATC (10-50%), and PDTC (5-35%) (17). It mostly includes mutations in the BRAF gene, which result in cell differentiation loss and apoptosis inhibition. Furthermore, patients with BRAF mutations show hypermethylation of the TSHR gene promoter. The most frequent BRAF mutation is the V600E gene replacement, which boosts BRAF protein activity and keeps it active. It forms a monomer independent of the upstream RAS kinase, leading to persistent activation of MEK/ERK, cell differentiation loss, tumor development, and apoptosis inhibition. BRAF V600E mutations are found in 45-50% of PTC and 36% of ATC (18).

### WNT pathway

Molecular alterations in the Wnt/ $\beta$ -catenin signaling pathway involved in adenomatous polyposis coli (APC), axis inhibition protein 1 (AXIN1), and catenin beta 1 (CTNNB1) contribute to thyroid tumorigenesis. Furthermore, the direct phosphorylation of glycogen synthase kinase 3 $\beta$  (GSK3 $\beta$ ) activates the WNT/ $\beta$ -catenin pathway and the association between  $\beta$ -direct catenin and PAX-8 boosts its gene transcription for NIS expression. These changes become more common in ATC (66%) and PDTC (25%) (19).

# Transforming growth factor- $\beta$ (TGF- $\beta$ )/Smad signaling pathway

Several studies have found that TGF- $\beta$  is essential for the proliferation and differentiation of thyroid cells (6). The TGF- $\beta$ -type II receptor complex motivates the phosphorylation of Smad2 and Smad3. Some researchers demonstrated that a BRAF mutation could increase NADPH oxidase 4 (NOX4) expression in thyroid cancer cells by the TGF $\beta$ /SMAD3 signaling pathway. NOX4-reactive oxygen species (ROS) generation suppresses NIS expression in follicular cells by interfering with the binding of the PAX8 to the NIS gene promoter. NOX4 might be used as a therapeutic target in conjunction with other MAPK-kinase inhibitors to enhance their efficacy on RAIR-DTC redifferentiation (20).

# Nuclear receptors and epigenetic alterations

### **TP53**

TP53 gene is an oncogene that encodes a protein involved in a variety of cellular activities, which could cause cell cycle arrest, apoptosis, senescence, DNA repair, or metabolic alterations in response to cellular stress. TP53 inactivation, its degradation mediated by p53 poly-ubiquitination, has long been thought to be a final step in tumor growth. TP53 mutations were found in around 40-80% of ATC, 10-35% of PDTC, 40% of PTC, and 22% of oncocytic FTC (21).

### Telomere reverse transcriptase (TERT)

TERT maintains the length and stability of chromosomes by adding telomeres to the ends of chromosomes, which is of great significance to the lifespan of the body and various cellular activities. Mutations of the TERT promoter are mostly late events in the development of thyroid cancer, the incidence of which is high, especially in ATC (70%), PDTC (40%), FTC (20%), and PTC (10%) (15). TERT promoter mutations have also been shown to be strongly associated with aggressive clinicopathological characteristics and the probability of recurrence or distant metastasis (22).

### SWI/SNF

The SWI/SNF complexes gene mutations have been detected in ATC (36%) and PDTC (6%) (3). SWI/SNF complexes are critical for maintaining differentiated function in thyroid cancer, and their loss imparts radioiodine refractoriness as well as resistance to MAPK inhibitor-based redifferentiation therapy (23).

# Eukaryotic translation initiation factor 1A (EIF1AX)

The EIF1AX gene encodes an essential eukaryotic translation initiation factor. EIF1AX mutations have been reported in PDTC (11%) and ATC (9%) associated with oncogenic RAS. In advanced disease, the dramatic interplay of EIF1AX and RAS mutations shows that they may work together to induce tumor progression (24). The mechanism of EIF1AX mutation in thyroid cancer tumorigenesis and dedifferentiation still needs to be further studied.

### Isocitrate dehydrogenase (IDH1/IDH2)

The IDH1 mutations are frequently found in thyroid cancer, identified in ATC (11%), FTC (5%), and PDTC (1.25%). While IDH2 mutation was identified in 3% of ATC (25). However,

further research is needed to identify their functions in the pathogenesis of thyroid carcinomas.

# Peroxisome proliferator activated receptor gamma (PPARγ)

PAX8/PPARγ rearrangement is the second most common genetic alteration in 20-50% of FTC besides RAS mutation, with an incidence of 30-35%, and it is also present in a minority of FVPTC (5%). It plays a role in the control of cell proliferation and redifferentiation. PPAR agonists have been proven to trigger redifferentiation in thyroid cancer in some studies (6).

### Histone deacetylase (HDAC)

Notably, dysregulated histone acetyltransferase and HDAC activity are linked to cancer cell growth, proliferation, and differentiation. Some researchers have discovered that histone acetylation is altered in thyroid tumorigenesis and H3 histone is turned off in the progression from differentiated to undifferentiated thyroid cancer (26).

# Molecular imaging in detection of dedifferentiated thyroid cancer

Nowadays, molecular imaging, which uses radionuclides or intentionally changed molecules to find biomarkers, prospective therapy targets, or define signaling networks, has grown in popularity. These targets are important in the diagnosis and treatment of dedifferentiated thyroid cancer because they allow the molecular component of tumor tissue to be characterized and quantified. Molecular imaging has been demonstrated to help with thyroid cancer diagnosis, individualized treatment, and prognostic indicators prediction (27) (Figure 1). Furthermore, molecular imaging is required for multimodality-based thyroid cancer treatment options, which could drive the invention of novel therapeutic or diagnostic tracers (28). Recently, a growing number of clinical studies have explored molecular imaging in dedifferentiated thyroid cancer.

# Sodium iodide symporter targeted molecular imaging (NIS)

### Radioiodine

A widely used radioisotope, radioiodine, plays a critical role in the diagnosis and treatment of DTC, such as <sup>123</sup>I, <sup>124</sup>I, and <sup>131</sup>I. <sup>131</sup>I SPECT/CT has become a routine tool for visualizing the lesions and evaluating distant metastases in patients receiving radioactive iodine therapy (29). <sup>124</sup>I PET/CT could improve the sensitivity and spatial resolution of SPECT/CT, leading to superior diagnostic performance of post-therapy 131I-WBS. However, it is expensive and has low accessibility (30) (Table 1).

### Fluorine-18-tetrafluoroborate (18F-TFB)

TFB is a sodium/iodide symporter substrate with similar NIS affinities to radioiodine. <sup>18</sup>F-TFB has recently been established as a flexible PET probe for imaging the activity of human sodium/

TABLE 1 The diagnostic efficacy of radiotracers in dedifferentiated thyroid cancer with negative post-therapy <sup>131</sup>I-WBS and elevated Tg

Radiotracers	Study Phase	Population	n	Sensitivity	Specificity	Accuracy	PPV	NPV
124 <sub>I</sub>	Prospective (2016) (30)	DTC	17	44%	100%	NA	100%	62%
<sup>18</sup> F-TFB	Retrospective (2020) (32)	recurrent DTC	25	64%	NA	64%	100%	NA
<sup>18</sup> F-FDG	Retrospective (2021) (34)	DTC	113	92%	94%	93%	87%	93%
<sup>68</sup> Ga-DOTANOC	Prospective (2019) (36)	DTC	62	78.4%	100%	82.3%	100%	50%
<sup>68</sup> Ga-DOTA-RGD <sub>2</sub>	Prospective (2020) (37)	RAIR-DTC	44	82.3%	100%	82.4%	NA	NA
<sup>68</sup> Ga-PSMA	Retrospective (2020) (39)	RAIR-DTC	5	NA	NA	NA	NA	NA
<sup>68</sup> Ga-FAPI	Prospective (2022) (40)	metastatic DTC	35	83% in neck lesions, 79% in distant metastases	NA	NA	NA	NA

RAIR, radioactive iodine-refractory; DTC, differentiated thyroid cancer; Tg, thyroglobulin; n, number; NA, not available; PPV, positive prognostic value; NPV, negative prognostic value; <sup>18</sup>F-TFB, fluorine-18-tetrafluoroborate; <sup>18</sup>F-FDG, fluorine-18-fluorodeoxyglucose; PSMA, prostate-specific membrane antigen; FAPI, fibroblast activation protein inhibitor.

iodide symporters. As a result, <sup>18</sup>F-TFB PET could be a valuable method for evaluating NIS expression in human diseases and be able to visualize DTC metastases in negative <sup>124</sup>I PET (31). Compared to conventional diagnostic WBS and SPECT-CT, <sup>18</sup>F-TFB PET could detect more local recurrence or metastases of DTC (32). The combination of <sup>18</sup>F-TFB PET and fluorine-18-fluorodeoxyglucose (<sup>18</sup>F-FDG) PET appears to be a feasible technique for characterizing DTC tumor presentations in terms of differentiation and, as a result, individually planning and monitoring therapy. Prospective studies evaluating the potential of <sup>18</sup>F-TFB PET in recurrent DTC are needed in the future.

# Glucose transporter targeted molecular imaging

### <sup>18</sup>F-FDG

<sup>18</sup>F-FDG is well-known radiopharmaceutical glucose that is mostly carried by glucose-transporter family-1 (GLUT1), and its uptake has been reported to be influenced by the degree of tumor proliferation and differentiation. Additionally, the surface expression of GLUT is controlled by the PI3k/AKT pathway. Advanced TC with low radioiodine uptake usually had high <sup>18</sup>F-FDG uptake. Some researchers observed that <sup>18</sup>F-FDG showed positive uptake in 50 patients (17%) among 258 DTC patients, 39 (78%) of which did not show positive lesions on post-therapy WBS (33). <sup>18</sup>F-FDG PET/CT might allow RR-DTC patients to classify their prognosis by revealing tumor aggressiveness. <sup>18</sup>F-FDG PET/CT has shown good diagnostic performance in noniodine avid DTC with a sensitivity, specificity, and accuracy of 92%, 94%, and 93%, respectively. Therefore, <sup>18</sup>FDG PET/CT could enable clinicians in identifying individuals with RAIR-DTC and developing a treatment strategy earlier (34).

### Peptide-based molecular imaging

### Somatostatin receptor (SSTR)

SSTRs are highly expressed in neuroendocrine tumors. But in recent studies, SSTRs have been found to be overexpressed in dedifferentiated thyroid cancer. Less differentiated carcinomas are more likely to express a wider range of SSTR subtypes, primarily subtypes 2, 3, and 5, bolstering the theories of peptide receptor-based nuclear diagnosis and treatment. SSTR1-5 activation suppresses PI3K/AKT signaling (35). Parveen et al. evaluate the value of <sup>68</sup>Ga-DOTANOC PET/CT in DTC with negative <sup>131</sup>I WBS and elevated serum Tg levels. The detection of recurrent disease in DTC with a sensitivity and specificity of 78.4%, and 100%, respectively. It may also assist in the selection of possible peptide receptor radionuclide treatment candidates (36).

### αvβ3 Integrin

The integrin  $\alpha\nu\beta3$  is overexpressed in the tumor vascular system. The tripeptide sequence arginine-glycine-aspartate (RGD) shows a high affinity and specificity for integrin  $\alpha\nu\beta3$ . Recently, a prospective study has indicated that <sup>68</sup>Ga-DOTA-RGD<sub>2</sub> PET/CT showed a better diagnostic performance in RAIR-DTC with negative post-therapy <sup>131</sup>I-WBS with an accuracy, sensitivity, and specificity of 86.4%, 82.3%, and 100%, respectively, compared to <sup>18</sup>F-FDG PET/CT [75%, 82.3%, 50% (37)]. Moreover, the novel radiotracer could provide the potential for the selection of eligible RAIR-DTC candidates for treatment with <sup>177</sup>Lu-DOTA-RGD<sub>2</sub>.

### Prostate-specific membrane antigen (PSMA)

PSMA is a type II transmembrane glycoprotein receptor expressed in prostate cancer cells and the endothelium of tumorassociated neovasculature in several malignancies. Similarly, PSMA expression has been observed in 62% of persistent or recurrent DTC. Some studies have shown that PSMA expression was also related to poor prognosis and that very high PSMA expression was associated with poorer PFS (38). For patients with RAIR-DTC, <sup>68</sup>Ga-PSMA PET/CT can be useful for staging because it could identify different types of lesions and may discover lesions that <sup>18</sup>FDG PET/CT does not detect. Additionally, <sup>68</sup>Ga-PSMA might be utilized to screen patients for <sup>177</sup>Lu-PSMA targeted therapy in the future (39).

### Other molecular imaging

# <sup>68</sup>Ga-labeled fibroblast activation protein inhibitor (FAPI)

In over 90% of epithelial carcinomas, FAP is significantly expressed in cancer-associated fibroblasts. Increased FAP expression is associated with dedifferentiation and aggressiveness outcome of thyroid cancer. In some cases, <sup>68</sup>Ga-FAPI PET/CT revealed high activity in the metastatic DTC with elevated Tg and negative iodine scan. <sup>68</sup>Ga-FAPI might perform better than <sup>18</sup>F-FDG in detecting metastatic DTC, particularly in pulmonary and lymph node metastases (40). Another research has also found that <sup>68</sup>Ga-DOTA-FAPI-04 PET/CT may have a good performance in the detection of lymph node metastasis and distant metastasis in 87.5% (21/24) of RAIR-DTC patients (41). More multicenter prospective studies with bigger sample sizes are needed to confirm these findings.

### Lectin galactoside-binding soluble 3

Galectin-3 (Gal-3) is a  $\beta$ -galactoside binding protein of the lectin family that is absent in normal and benign thyroid tissues but overexpressed in the cytoplasm, cell membranes, and intercellular components of DTC and ATC (42). Meanwhile, Gal-3 is a physiological target of p53 transcriptional activity, and

its downregulation mediated by p53 is essential for p53-induced apoptosis. <sup>89</sup>Zr-DFO-GaI-3mAb detected specific and reliable uptake of human thyroid cancer xenograft *in vivo*. <sup>89</sup>Zr-DFO-F (ab')2 anti-gal-3 exhibited specific uptake in tumor tissue, while the normal thyroid tissue had no uptake. Besides, in the absence of radioiodine uptake, specific and selective detection of thyroid tumors was achieved by targeting Gal-3 (43). Gal-3 immunoPET is still a new field of research, and these findings imply that diagnostic and clinical applications of Gal-3 targeted radiotracers for thyroid cancer need further investigation.

#### HER2

In a recent study, a HER2-specific PET imaging probe <sup>89</sup>Zr-Df-pertuzumab was developed to assess the diagnostic effectiveness in orthotopic ATC. These findings suggested that noninvasive HER2 molecular imaging offers a great potential for detecting HER2 status in ATC (11). With extensive clinical translation and use of <sup>89</sup>Zr-Df-pertuzumab PET, this imaging method may be able to identify the diverse levels of HER2 around the body. This suggests that this unique imaging method could identify ATC patients who may react to HER2-targeted therapy (such as pertuzumab and trastuzumab) and dynamically monitor therapeutic responses.

## Landscape of treatment in dedifferentiated thyroid cancer

#### Tyrosine kinase inhibitors (TKIs)

In the past decade, the findings of signaling pathways and activating mutations have spurred the development of biomarker-driven targeted therapies. Most extensively investigated and clinically approved targeted therapies in thyroid cancer include the TKIs that target antiangiogenic markers, BRAF mutation, and MAPK pathway components. The initiation into systemic treatment is based on tumor burden and tumor growth rate. Watchful surveillance can be considered in patients with stable or slowly progressive thyroid cancer. Patients with rapidly progressive and/or symptomatic diseases are candidates for TKIs (44).

#### Multi-kinase inhibitors (MKIs)

MKIs inhibit the activity of multiple receptor tyrosine kinases such as VEGFR, PDGFR, FGFR, and various Raf kinases, thereby suppressing tumor cell proliferation and angiogenesis. Novel MKIs have been evaluated and approved by FDA for advanced RAIR-DTC such as sorafenib, lenvatinib and cabozantinib (45–47). Other commercially available MKIs (such as anlotinib, donafenib, surufatinib, sunitinib, and pazopanib) can be considered if clinical trials are not available or appropriate (Tables 2, 3) (48–52). MKIs have demonstrated

clinical efficacy to prolong median progression-free survival (PFS), but in most cases, no significant benefit was observed in overall survival (OS), except in the SELECT study of lenvatinib, OS was significantly improved among patients aged > 65 years compared with placebo (53). Due to these multiple target effects, molecular testing does not predict clinical responses. And the off-target side effects are common and sometimes severe. The most common treatment-related adverse events (TRAEs) include diarrhoea, fatigue, hypertension, hand-foot skin reactions et al. Most adverse effects can be managed and are reversible with discontinuation. Below, we summarize the most important results of TKIs clinical trials in advanced or dedifferentiated thyroid cancer.

#### Sorafenib

Sorafenib is an orally active TKI that targets VEGFR, RET, RAF, and PDGFR et al. In the DECISION trial, sorafenib showed significantly longer PFS (10.8 months vs. 5.8 months in the placebo arm) and a 12% objective response rate (ORR) (45). Sorafenib was approved by U.S. Food and Drug Administration (FDA) in 2013 as the first TKI for RAIR-DTC. In subsequent clinical practice, cases are reported and reveal tumor shrinkage efficacy of sorafenib as neoadjuvant treatment for unresectable thyroid carcinoma (54).

#### Lenvatinib

Lenvatinib, an orally active multi-targeted TKI, has been approved by both the FDA and the European Medicines Agency (EMA) for advanced and progressive RAIR-DTC. The phase III SELECT trial demonstrated significant improvements in median PFS (18.3 months vs 3.6 months) and ORR (64.8% vs. 1.5%) compared lenvatinib to placebo in 392 RAIR-DTC with or without previous TKI (46). Of note, OS was significantly improved among patients aged > 65 years (53). Lenvatinib may have a neoadjuvant role in selected cases of locally advanced DTC to reduce tumor volume and facilitate complete resection (55, 56). A real-world study demonstrated that treatment with first-line lenvatinib followed by another second-line therapy, including other TKI such as sorafenib or cabozantinib/chemotherapy/immunotherapy, may deliver a clinical benefit for RAIR-DTC. This study added evidence to a sequential strategy for the treatment of RAIR-DTC (57).

#### Cabozantinib

Cabozantinib is a selective inhibitor of MET, VEGFR-2, and RET et al. In the COSMIC-311 phase III trial for RAIR-DTC patients who failed first-line therapy with sorafenib and/or lenvatinib, cabozantinib showed significant improvement in PFS over placebo (median PFS not reached vs. 1.9 months) and in ORR (15% vs. 0%). Based on the COSMIC-311 study, FDA approved cabozantinib for advanced thyroid cancer as second-line therapy in September 2021 (47).

TABLE 2 Published pivot clinical trials for RAIR DTC and ATC.

Agents	Targets	Phase	Clinical Trials	population	n	PFS (month)	OS (month)	ORR	Dosage	Common TRAEs
Sorafenib (2014) (45)	VEGFR1-3, PDGFR, RET, RAF, c-KIT	III	NCT00984282 (DECISION)	RAIR-DTC	417	10.8 vs. 5.8 of placebo arm	Not reached	12.2% vs. 0.5%	400 mg orally twice daily	hand-foot skin reaction, diarrhoea, alopecia
Sorafenib (2013) (87)	VEGFR1-3, PDGFR, RET, RAF, c-KIT	II	NCT00126568	ATC	20	1.9	3.9	10%	400 mg orally twice daily	fatigue, anemia, hypocalcemia
Lenvatinib (2015) (46)	VEGFR1-3, PDGFR, RET, FGFR I-4, c-KIT	III	NCT01321554 (SELECT)	RAIR-DTC	392	18.3 vs. 3.6 of placebo arm	Not reached	64.8% vs. 1.5%	24 mg orally once daily	hypertension, diarrhoea, fatigue
Lenvatinib (2017) (88)	VEGFR1-3, PDGFR, RET, FGFR I-4, c-KIT	II	NCT01728623	ATC	17	7.4	10.6	24%	24 mg orally once daily	decreased appetite, hypertension, fatigue, nausea, proteinuria
Lenvatinib (2021) (89)	VEGFR1-3, PDGFR, RET, FGFR I-4, c-KIT	II	NCT02657369	ATC	34	2.6	3.2	2.9%	24 mg orally once daily	hypertension, decreased appetite, fatigue, and stomatitis
Cabozantinib (2021) (47)	Tie-2, c- MET, KIT, VEGFR1, VEGFR2, RET	III	NCT03690388 (COSMIC- 311)	RAIR-DTC	227	Not reached vs. 1.9 of placebo arm	Not reached	15% vs. 0	60 mg orally once daily	hand-foot syndrome, diarrhoea, nausea
Anlotinib (2020) (48)	VEGFR, PDGFR, FGFR, and c-Kit	II	NCT02586337	RAIR-DTC	113	40.5 vs.8.4 of placebo arm	Not reached	59.2% vs. 0	12 mg orally once daily	hypertension, hypertriglyceridemia
Donafenib (2021) (49)	VEGF, PDGF, RAF	II	NCT02870569	RAIR-DTC	35	14.98 in 300 mg arm and 9.44 months in 200 mg arm	NA	13.3% in 300 mg arm and 12.5% in 200 mg arm	200 mg/300 mg orally twice daily	palmar-plantar erythrodysesthesia and hypertension
Surufatinib (2020) (50)	VEGFR, FGFR	П	NCT02588170	RAIR-DTC, MTC	59	11.1	NA	23.2%	300 mg orally once daily	hypertension, proteinuria, elevated blood pressure, hypertriglyceridemi, pulmonary inflammation
Sunitinib (2017) (51)	PDGFR, FLT3, c- KIT, VEGFR, RET	II	NCT00510640	RAIR-DTC/ ATC	41/4	13.1/NA	26.4/NA	22%/0%	50 mg orally once daily	asthenia/fatigue, mucosal cutaneous toxicities, hand-foot syndrome
Pazopanib (2010) (52)	VEGF, PDGFR, c- kit	II	NCT00625846	RAIR-DTC	37	NA	NA	49%	800 mg orally once daily	atigue, hair hypopigmentation, diarrhoea, nausea
Apatinib (2022) (59)	VEGFR-2	III	NCT03048877 (REALITY)	RAIR-DTC	92	22.2 vs. 4.5 of placebo arm	Not reached vs.29.9	54.3% vs. 2.2%	500 mg orally once daily	hypertension, hand-foot syndrome, proteinuria
Axitinib (2014) (60)	VEGF, PDGFR, c- kit	II	NCT00094055	advanced thyroid cancer of any histology	60	15	35	38%	5 mg orally twice daily	hypertension, proteinuria, diarrhea, weight decrease

(Continued)

TABLE 2 Continued

Agents	Targets	Phase	Clinical Trials	population	n	PFS (month)	OS (month)	ORR	Dosage	Common TRAEs
Vemurafenib (2016) (62)	BRAF	II	NCT01286753	RAIR-DTC (BRAF <sup>V600E</sup> +)	51	18.2 in TKI- naïve cohort; 8.9 in non- TKI-naïve cohort	Not reached	38.50% in TKI-naïve cohort; 27.3% in non- TKI- naïve cohort	960 mg orally twice daily	rash, fatigue, asthenia, alopecia
Dabrafenib + Trametinib (2018) (66)	BRAF <sup>V600E</sup> , MEK	II	NCT02034110	RAIR-DTC (BRAF <sup>V600E</sup> +)	16	Not reached	Not reached	66%	Dabrafenib 150 mg orally twice daily + Trametinib 2mg orally once daily	fatigue, pyrexia, nausea
Selumetinib +RAI (2022) (68)	MEK 1/2	III	NCT01843062 (ASTRA)	DTC at high risk of primary treatment failure	233	NA	NA	CR rate for selumetinib+ RAI (40%) vs. placebo+RAI (38%)	75 mg orally twice daily	rash, fatigue, diarrhea, peripheral edema
Larotrectinib (2018) (71)	NTRK1/2/3, ROS1, ALK	I/II	NCT02122913 NCT02637687 NCT02576431	TRK fusion (+) solid tumor	55 (5 thyroid cases)	Not reached	NA	75%	100 mg orally twice daily	increased ALT or AST leve, fatigue, vomiting
Entrectinib (2020) (73)	NTRK1/2/3, ROS1, ALK	I/II	NCT02650401 NCT02097810 NCT02568267	NTRK fusion (+) solid tumor including TC	54	NA	NA	57%	600 mg orally once daily	increased weight, anaemia
Everolimus (2018) (75)	mTOR	II	NA	RAIR-DTC/ ATC	33/7	12.9/NA	Not reached/ NA	3%/14.3%	10 mg orally once daily	mucositis, acneiform rash, fatigue, cough
Temsirolimus + Sorafenib (2017) (77)	mTOR + VEGFR, PDGFR, BRAF	II	NCT01025453	RAIR-DTC	36	NA	NA	22.0%	sorafenib 200 mg orally twice a day and temsirolimus 25 mg intravenous weekly	hyperglycemia, fatigue, anemia, and oral mucositis
Pralsetinib (2021) (79)	RET	I/II	NCT03037385 (ARROW)	RET fusion (+) thyoird caner	20	Not reached	Not reached	89%	400 mg orally once daily	hypertension, neutropenia, lymphopenia,

RAIR, radioactive iodine-refractory; DTC, differentiated thyroid cancer; ATC, anaplastic thyroid cancer; n, number; NA, not available; PFS, progression-free survival; OS, overall survival; ORR, objective response rate; TRAEs, treatment-related adverse events. VEGFR, vascular endothelial growth factor receptor; PDGFR, platelet-derived growth factor receptor; EGFR, epidermal growth factor receptor; FGFR, fibroblast growth factor receptor; c-kit, mast/stem cell growth factor receptor kit, NTRK, neurotrophic tyrosine receptor kinase; FLT3, FMS-like tyrosine kinase 3; ALK, anaplastic lymphoma kinase.

#### **Anlotinib**

Anlotinib is a novel multitarget tyrosine kinase inhibitor targeting VEGFR, PDGFR and FGFR et al. Outcome from a phase II trial of anlotinib vs. placebo for RAIR-DTC showed promising clinical efficacy with a prolonged median PFS (40.5 months vs. 8.3 months) and ORR of 59.2% (48). Notably, all of the enrolled patients were TKI-naive, which may contribute partially to the extraordinary clinical efficacy. Phase III study of anlotinib for RAIR-DTC has been completed and the trial data will be published soon. Based on its promising efficacy, anlotinib is currently approved by the Chinese National Medical Products Administration (NMPA) for the indication of RAIR-DTC. A report in 2021 ASCO showed that 10 out of 13 (76.9%) locally advanced thyroid cancer patients achieved partial response (PR),

reflecting a significant prospect of anlotinib for neoadjuvant therapy in unresectable RAIR-DTC.

#### Donafenib

Donafenib, a modified form of sorafenib with a trideuterated N-methyl group, inhibits VEGFR, PDGFR, and various Raf kinases with improved molecular stability and pharmacokinetic profile (58). In the phase II dose exploratory study of donafenib for RAIR-DTC, the 300 mg arm showed clinical benefit in terms of PFS (14.98months) and ORR (13.3%) as well as tolerable safety profile (49). Phase III clinical trial to assess donafenib vs. placebo among patients with RAIR-DTC has been completed and is expected to unveil soon.

TABLE 3 Ongoing TKIs clinical trials for RAIR-DTC and ATC.

Drugs	Mechanism/Targets	Clinical Trials	Population	Phase	Status
Anlotinib	VEGFR, PDGFR, FGFR, and c-Kit	NCT02586337	DTC	III	Terminated
Donafenib	VEGF, PDGF, RAF	NCT03602495 (DIRECTION)	RAIR DTC	III	Terminated
Vandetanib	RET, VEGFR, EGFR	NCT01876784 (VERIFY)	locally advanced or metastatic DTC	III	Active, not recruiting
Lenvatinib, Denosumab	VEGFR1-3, PDGFβ, RET, FGFR-I +RANKL(Bone metastases from RAI-R DTC)	NCT03732495 (LENVOS)	Bone Metastatic RAIR DTC	II	Recruiting
Dabrafenib, Trametinib	BRAF <sup>V600E</sup> , K601E + MEK	NCT03244956 (MERAIODE)	Metastatic RAIR TC	II	Active, not recruiting
Dabrafenib, Trametinib	BRAF <sup>V600E</sup> , K601E + MEK	NCT04940052	$BRAF^{V600E}(+)$ RAIR-DTC with previous treatment	III	Recruiting
Dabrafenib, Trametinib (Neoadjuvant)	BRAF <sup>V600E</sup> , K601E + MEK	NCT04739566 (ANAPLAST- NEO)	ATC	II	Recruiting
Dabrafenib, Lapatinib	BRAF <sup>V600E</sup> , <sup>K601E</sup> + EGFR, HER	NCT01947023	RAIR TC	I	Active, not recruiting
Selpercatinib (Neoadjuvant)	RET	NCT04759911	RET-altered thyroid cancer	II	Recruiting
Crizotinib	ALK, ROS1	NCT02465060	Solid cancer	II	Recruiting
Sorafenib, Everolimus	VEGFR, PDGFR, BRAF + mTOR	NCT02143726	Advanced, RAIR Hurthle Cell Thyroid Cancer	II	Active, not recruiting

RAIR, radioactive iodine refractory; DTC, differentiated thyroid cancer; TC, thyroid cancer; PTC, papillary thyroid cancer; ATC, anaplastic thyroid cancer; VEGFR, vascular endothelial growth factor receptor; PDGFR, platelet-derived growth factor receptor; EGFR, epidermal growth factor receptor; FGFR, fibroblast growth factor receptor; PD-L1, programmed deathligand 1; c-kit, mast/stem cell growth factor receptor kit; HER, human epidermal growth factor receptor; RANKL, receptor activator for nuclear factor-κ B ligand.

### Anti-angiogenic agents Apatinib

Apatinib is a selective VEGFR-2 inhibitor with potent antiangiogenic activity. In a most recent REALITY phase III trial for RAIR-DTC (n=92) (59), apatinib showed promising efficacy over placebo in median PFS (22.2 months vs. 4.5 months) and ORR (54.3% vs. 2.2%). It is worth noting that apatinib also showed significant clinical benefits in OS (not reached vs. 29.9 months).

#### Axitinib

Another selective inhibitor of VEGF to block angiogenesis is axitinib. In a phase II trial (n=60) (60), axitinib appears active and well-tolerated in RAIR-DTC of any histology with ORR of 38% and median PFS of 15 months. Another study evaluated the comparative efficacy of axitinib as first-line or second-line treatment options. More favorable efficacy was observed in first-line treatment with an ORR of 53% and a median PFS of 13.6 months, while the counterparts in second-line treatment descended to 16.7% and 10.6 months, which might be ascribed to anti-angiogenic cross-resistance (61). More studies are warranted to explore the mechanism of TKI resistance and schedules of sequential treatment for RAIR-DTC.

#### MAPK signaling pathway inhibitors

As mentioned above, MKIs do not target specific mutations, which may compromise the safety and durability. Screening molecular abnormalities and practicing genotype-tailored agent selection may boost both anti-tumor efficacy and improve safety profile. Specifically, kinase inhibitors targeted BRAF<sup>V600E</sup> and MEK have been studied in advanced thyroid cancer (62–66).

#### Vemurafenib

Vemurafenib is an oncogenic BRAF kinase inhibitor that has been approved for BRAF-positive melanoma. In a phase II study of vemurafenib for advanced thyroid cancer (n=51), the PR rate is 38.5% in the TKI-naive cohort (n=26) and 27.3% in the cohort with previous TKIs (n=25) (62). Vemurafenib also showed the ability to restore RAI avidity in BRAF mutant RAIR-DTC patients with 4 out 10 patients responding to radioactive iodine (63).

#### Dabrafenib and trametinib

Dabrafenib is a BRAF inhibitor and trametinib is a MEK inhibitor. In two preliminary trials for advanced thyroid cancer patients harboring BRAF $^{\rm V600E}$ mutation, dabrafenib demonstrated clinical efficacy with PR of 30.1% (n=13) and

the ability of RAI resensitization (6 out of 10 patients) (64, 65). Another landmark phase II trial enrolled 16 BRAF<sup>V600E</sup>positive ATC patients receiving the combination of dabrafenib and trametinib. The ORR was 69% and the estimated 12-month OS was 80% (66). Based on this study, the combination of dabrafenib and trametinib was approved by FDA for ATC with BRAF<sup>V600E</sup> mutation in 2018.

#### Selumetinib

Selumetinib is another potent selective inhibitor of MEK1/2. In a phase II 'proof of concept' trial, selumetinib showed the ability to reverse refractoriness to radioiodine in patients with metastatic thyroid cancer, especially in RAS-mutant disease (67). However, in the phase III ASTRA trial, selumetinib plus adjuvant RAI failed to improve complete remission (CR) rates in patients with DTC at high risk of primary treatment failure versus RAI alone (68).

#### **ALK** inhibitor

ALK is a kinase that activates MAPK and PI3K/AKT pathways and is associated with younger age and aggressive behavior in DTC. As reported, ATC patients with ALK rearrangement responded well to ALK inhibitor crizotinib (69, 70). But the experience of the ALK inhibitor in advanced thyroid cancer is still limited and studies are needed to evaluate the efficacy and safety profile of ALK-dependent advanced thyroid cancer.

#### NTRK inhibitors

Though rarely thyroid cancers can be driven by rearrangements of the NTRK gene, selective inhibitors of TRK kinases larotrectinib or entrectinib provide clinical efficacy in patients with thyroid cancer harboring mutations or rearrangements in the NTRK genes (71–73). In a phase I/II trial, larotrectinib proved to be highly potent with 75% ORR for tumors harboring TPK-fusions including thyroid carcinoma (71). In another phase I/II trial for patients with NTRK fusion-positive solid tumors (n=54), entrectinib resulted in a favorable outcome with an ORR of 57%, including 4 (7%) of CR and 27 (50%) of PR (73). The promising efficacy and safety profile highlight NTRK inhibitors as an optional treatment for selective advanced thyroid cancer though more investigations are warranted.

#### PI3K/AKT/mTOR signaling pathway inhibitors

Dysregulation of the PI3K pathway has been implicated in oncogenesis and tumor progression, however, buparlisib, a pan-PI3K inhibitor, failed to show the benefit of PFS in RAIR FTC and PDTC (74). As for the inhibitors of downstream mTOR, studies showed that PI3K/mTOR/Akt-mutated dedifferentiated thyroid cancer patients appeared to benefit from mTOR inhibitors, such as everolimus, sirolimus and temsirolimus (75, 76). However, given the relatively low ORR observed, the mTOR

inhibitors have not been clinically used as a single agent in the treatment of advanced thyroid cancer. Notably, given that inhibition of mTORC1 may lead to MAPK pathway activation through a PI3K-dependent feedback loop, the potential of a combined therapeutic approach with mTOR and MAPK inhibitors may be underscored. In a phase II trial, 36 metastatic RAIR-DTC received treatment with the combination of sorafenib and temsirolimus. PR was observed in 8 patients (22%), SD in 21 (58%), and PD in 1 (3%); patients who received no prior systemic treatment had a better response rate (77).

#### **RET** alteration inhibitors

Multikinase inhibitors with RET inhibitor activity, such as cabozantinib and vandetanib, have been evaluated for tumors with activating RET gene alterations including thyroid cancer, mainly in MTC. However, due to the nonselective nature of multikinase inhibitors, the safety and durability of responses to these agents are at least partially limited by off-target toxic effects. Noval generation of high selective RET alteration inhibitors, pralsetinib and selpercatinib demonstrated promising efficacy and favorable safety profile, and have been approved by FDA for RET-mutant medullary thyroid cancer and RET fusion-positive thyroid cancer (78–80). Though RET mutations occur mainly in medullary thyroid cancers and RET fusions occur rarely in follicular-derived thyroid cancers, novel RET alteration inhibitors may also alter the landscape of RET-dependent advanced thyroid cancers.

#### **HDAC** inhibitors

HDAC seems to play a role in regulating the transcription of genes involved in ATC. HDAC inhibitors (HDACIs) can induce tumor growth arrest, differentiation, and apoptosis, and sensitize tumor cells to radiation, increase radioiodine uptake and intratumoral radioiodine accumulation (81). In preclinical models, HDACIs represent anti-tumor activity and the ability to restore RAI-avidity both as monotherapy and in combination with other anticancer agents (82). However, in two clinical trials, valproic acid, a HDAC inhibitor, failed to show anticancer activity in RAIR-DTC or ATC (83, 84).

#### Targeted therapy in ATC

According to heterogeneous mutation and heavy mutant burden, ATC remains intractable to existing treatments. Several novel therapeutic approaches have been proposed in ATC. As mentioned above, dabrafenib and trametinib, BRAF and MEK inhibitors, have been approved by FDA for BRAF<sup>V600E</sup>-mutated ATC patients (66). The combination of dabrafenib and trametinib is also used as a novel neoadjuvant attempt for

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patients with initially unresectable BRAF<sup>V600E</sup>-mutated ATC (85, 86). As for other MKIs, sorafenib exhibited modest efficacy with a PR rate of 10%, SD rate of 25% and median PFS of 1.9 months in patients with ATC (n=20) (87). Lenvatinib demonstrated clinical activity in ATC patients (n=17) with a median PFS of 7.4 months, median OS of 10.6 months, and ORR of 24% (88). But in a most recent study for ATC (n=34), lenvatinib showed limited efficacy with ORR of 2.9%, PFS of 2.6 months, and OS of 3.2 months (89). Taken together, monotherapy of TKI may be not potent enough for ATC, and more investigations are needed to evaluate TKIs in combination with other novel agents such as anti-PD-1/L1 antibodies for the treatment of ATC (Table 4).

Agents targeted on rarer drivers in ATC may also provide clinical efficacy though further studies are warranted, such as NTRK inhibition with larotrectinib or entrectinib (71, 73), mTOR inhibition with everolimus (90), ALK inhibition with Crizotinib (69, 70) or ceritinib and the RET inhibition with selpercatinib or pralsetinib (78, 79, 91).

#### Peptide receptor radionuclide therapy

The term theranostics is the combination of diagnosis and therapy. The first and most classic application of this concept is radioactive iodine treatment performed on thyroid cancer patients since 1946. Recently, theranostics using radiolabeled somatostatin analogs have proved to be a milestone in the management of SSTRexpressing tumors. 177Lu-labeled or 90Y-labeled somatostatin analogs that bind somatostatin receptors are the most common PRRT in clinical practice. 177Lu-DOTATATE demonstrated modest efficacy of biochemical or anatomic response for RAIR-DTC patients (92, 93). In another study of 90Y-DOTATOC for RAIR-DTC patients (n=11), disease control was observed in 63.6% (7/11) patients (2 of PR and 5 of SD) with a duration of response of 3.5-11.5 months (94). Despite of heterogeneous response, PRRT may be a potential choice for RAIR-DTC with high expression of SSTRs owing to the efficacy and promising safety profile, and more large-scale studies are needed.

In recent years, FAP-targeted radionuclide therapy with <sup>177</sup>Lu/<sup>90</sup>Y-labeled FAP inhibitors (FAPIs) have been reported as novel therapeutic options for refractory cancers, including pancreas, breast, and colorectal cancer. Most recently, a pilot study evaluated the efficacy of <sup>177</sup>Lu-DOTAGA.(SA.FAPi)<sub>2</sub> for RAIR-DTC patients who failed previous sorafenib/lenvatinib with <sup>68</sup>Ga-DOTA.SA.FAPi uptake in PET/CT(n=15) (95). PR was documented in four (26.7%), and SD in three patients (20%); the serum Tg level significantly decreased after treatment. Another recent study reported a RAIR-DTC patient received SD after 4 circles of treatments of FAP-targeted radionuclide <sup>177</sup>Lu-FAPI-46 (96). The results demonstrated that FAPi-based targeted theranostics might provide a novel treatment option for patients with advanced RAIR-DTC.

#### **Immunotherapy**

The relationship between thyroid cancer and the immune system has long been studied owing to the common cooccurrence of papillary thyroid cancer and Hashimoto's thyroiditis. The abnormality of the immune microenvironment and immune response partially contributes to DTC tumorigenesis and progression including the recruitment of immunosuppressive cells such as tumor-associated macrophages (TAMs), the expression of negative immune checkpoints, like programmed death-ligand 1 (PD-L1), cytotoxic T-lymphocyte associated protein (CTLA-4). PD-L1 was positively expressed in 6.1-53.2% of PTCs (97-99). The percentage increased to 61% in pT4 DTC and >70% in advanced-stage (III/IV) PTC, and 75%-80% in the ATC subset (97, 100). PD-L1 positive expression in PTC correlates with a greater risk of recurrence and shortened disease-free/overall survival (97, 101). Based on the above findings, immunotherapeutic strategy including immune checkpoint inhibitors (ICIs) may have a seat to manage advanced thyroid cancer. ICIs have two major classes: those targeting CTLA-4 such as ipilimumab and tremelimumab, and those targeting PD-1 such as nivolumab, pembrolizumab, spartalizumab or its ligand PD-L1 such as avelumab, atezolizumab, and durvalumab. ICIs act to enhance the effector T cells and inhibit the regulatory suppressor cells, and re-establish immune surveillance from which malignant cells are able to evade. Experience with ICIs in the treatment of RAIR-DTC is still limited.

A phase Ib KEYNOTE-028 trial of pembrolizumab enrolled 22 advanced thyroid cancer patients showed a manageable safety profile and clinical efficacy with PR of 9.1%, SD of 59.1%, and PD of 31.8% (102). The FDA approved the pembrolizumab for treatment of previously treated solid tumors with high tumor mutation burden in 2020 based on results of phase II KEYNOTE-158 trial, which included two patients with thyroid cancer (103).

It's worth noting that the identification of immune biomarkers is important for patient selection. PD-L1 might have selective significance as a promising screening indicator for immune therapy (104, 105). A recent phase II single-arm study of spartalizumab, a PD-1 inhibitor, showed a favorable ORR of 29% in PD-L1 (+) vs. 0% in PD-L1 (-) ATC patients (n=42); the highest rate of response was observed in the subset of patients with PD-L1 ≥ 50% (6/17; 35%); median PFS and OS are 1.7 and 5.9 months, respectively; OS also correlated with PD-L1 status, with a median OS of 1.6 months in patients with PD-L1 < 1%, compared with not yet reached in PD-L1(+) patients (106). Notably, the co-existence of thyroid cancer with thyroiditis is common, and PD-L1 expression can also be detected in inflammatory thyroid tissue (107). Therefore, it should be cautious to interpret PD-L1 expression for thyroid cancer combined with thyroiditis and more investigations are needed.

TABLE 4 Clinical trials of ICIs for RAIR-DTC and ATC.

Modality	Combination Type	Targets	Clinical Trials	Population	Phase	Status
Pembrolizumab (2019) (102)	ICI	PD-1	NCT02054806	Advanced solid tumors	I	Completed
Pembrolizumab (2020) (103)	ICI	PD-1	NCT02628067	Advanced solid tumors	II	Recruiting
Pembrolizumab	ICI	PD-1	NCT02688608	ATC, PDTC	II	Completed
Pembrolizumab	ICI	PD-1	NCT03012620	Rare cancers	II	Active, not recruiting
Spartalizumab(2020) (106)	ICI	PD-1	NCT02404441	Advanced solid tumors	I/II	Completed
Durvalumab	ICI	PD-L1	NCT03215095	Recurrent/Metastatic TC	I	Active, not recruiting
Nivolumab, Ipilimumab	ICI+ICI	PD-1, CTLA-4	NCT03246958	RAIR DTC, ATC	II	Active, not recruiting
Nivolumab Ipilimumab	ICI+ICI	PD-1, CTLA-4	NCT02834013	Rare tumors	II	Recruiting
Durvalumab, Tremelimumab	ICI+ICI	PD-L1, CTLA-4	NCT03753919 (DUTHY)	DTC, ATC	II	Recruiting
Pembrolizumab, Lenvatinib	ICI+TKI	PD-1,VEGFR1-3, PDGFR, RET, FGFR I-4,c-KIT	NCT02973997	RAIR DTC	II	Active, not recruiting
Pembrolizumab, Lenvatinib	ICI+TKI	PD-1,VEGFR1-3, PDGFR, RET, FGFR I-4,c-KIT	NCT04171622	ATC	II	Not yet recruiting
Nivolumab, Encorafenib/Binimetinib	ICI+TKI	PD-1	NCT04061980	RAIR BRAF-mutated DTC	II	Recruiting
Atezolizumab, Cabozantinib	ICI+TKI	PD-L1,Tie-2, c-MET, KIT, VEGFR1, VEGFR2, RET	NCT03170960	Locally advanced or metastatic solid tumors	Ib	Active, not recruiting
Atezolizumab, Cabozantinib	ICI+TKI	PD-L1Tie-2, c-MET, KIT, VEGFR1, VEGFR2, RET	NCT04400474	endocrinal tumors	II	Recruiting
Avelumab, Regorafenib	ICI+TKI	PD-L1,VEGFR 1-3, KIT, PDGFR- $\alpha$ , PDGFR- $\beta$ , RET	NCT03475953	RAIR DTC	I/II	Recruiting
Cemiplimab, Dabrafenib, Trametinib	ICI+TKI+TKI	PD-1, BRAF <sup>V600E</sup> mutation	NCT04238624	ATC (BRAF <sup>V600E</sup> +)	II	Recruiting
Pembrolizumab, Dabrafenib, Trametinib (neoadjuvant)	ICI+TKI+TKI	PD-1, BRAF, MEK	NCT04675710	ATC	II	Recruiting
Nivolumab, Ipilimumab, Cabozantinib	ICI+ICI+TKI	PD-1 andCTLA-4,Tie-2, c-MET, KIT,VEGFR1, VEGFR2, RET	NCT03914300	Advanced DTC	II	Active, not recruiting
Pembrolizumab, Docetaxel	ICI+CT	PD-1	NCT03360890	TC and salivary gland tumors	Ι	Recruiting
Pembrolizumab, Docetaxel, Doxorubicin	ICI+CT	PD-1	NCT03211117	ATC	II	Completed
Atezolizumab, Vemurafenib/ Cobimetinib//Bevacizumab/Paclitaxel	ICI+TKI/anti- angiogenesis agents/ CT	PD-L1, BRAF <sup>V600E</sup> /MEK/VEGF	NCT03181100	PDTC, ATC Cohort selection depending driver mutation	II	Recruiting
Durvalumab, Tremelimumab, SBRT	ICI+ICI+SBRT	PD-L1 and CTLA-4	NCT03122496	ATC	I	Completed
Pembrolizumab, docetaxel/ doxorubicin, radiation (2019) (115)	ICI+CT+RT	PD-1	NCT03211117	ATC	II	Completed

ICIs, immune checkpoints inhibitors; PD-1, programmed death protein-1; ATC, anaplastic thyroid cancer; CT, chemotherapy; RT, radiation therapy; TKI, tyrosine kinase inhibitors; RAIR, radioactive iodine refractory; DTC, differentiated thyroid cancer; SBRT, stereotactic body radiation therapy; VEGFR, vascular endothelial growth factor receptor; PDGFR, platelet-derived growth factor receptor; EGFR, epidermal growth factor receptor; FGFR, fibroblast growth factor receptor; PD-L1, programmed death-ligand 1; c-kit, mast/stem cell growth factor receptor kit; CTLA-4, cytotoxic T-lymphocyte associated protein.

## Treatment combination including immunotherapy

Dual targeting of the immune system in the thyroid tumor microenvironment may, in theory, tone up the clinical benefits. Several clinical trials are ongoing to evaluate dual immunotherapy, such as PD-1 inhibitors (nivolumab) plus CTLA-4 inhibitor (ipilimumab), and PD-L1 inhibitor (durvalumab) plus CTLA-4 inhibitor (tremelimumab) (Table 4).

Immunotherapy combined with TKIs may also augment efficacy in ATC. In the preclinical model, both VEGF-A and BRAF V600E are positively associated with upregulation of checkpoints expression, and the combination of BRAF V600E inhibitor and anti-PD-L1 treatment reduced tumor burden significantly more than either single agent (108-110). Another preclinical study showed that anti-PD-1/PD-L1 therapy augments lenvatinib's efficacy by favorably altering the immune microenvironment of murine ATC (111). Clinically, in a case report, an ATC patient with BRAF and PD-L1 positivity was treated with vemurafenib and nivolumab, the patient continues to be in complete remission for 20 months after initiation of treatment (112). A combination of lenvatinib and pembrolizumab also showed promising efficacy for ATC (n=6) with CR of 66.6%, SD of 16.7%, and PD of 16.6%; the median OS was 18.5 months with three ATC patients being still alive without relapse (40, 27, and 19 months) (113). More phase II studies are currently assessing the effect of combining MKIs with immune therapy, such as pembrolizumab plus lenvatinib, nivolumab plus encorafenib/binimetinib (Table 4).

A preliminary study evaluated RAI and anti-PD-L1 agent durvalumab in recurrent/metastatic thyroid cancer based on the hypothesis that RAI can enhance the presentation of thyroid protein immunogens and the putative neoantigens may amplify the effectiveness of ICIs. In a preliminary trial, eleven recurrent/metastatic thyroid cancer patients were treated with durvalumab and RAI (100 mCi); two patients had PR, 7 had SD, and 2 had PD (114)

Albeit disappointing outcome in a phase II study of pembrolizumab combined with chemoradiotherapy as initial treatment for anaplastic thyroid cancer, other combination strategies, such as ICIs plus SBRT and ICIs plus chemotherapy are ongoing (115) (Table 4).

#### Challenges and perspectives

Over the past few years, the understanding of the underlying molecular mechanisms involving thyroid dedifferentiation and the identification of key disease-causing driver genes have led to the introduction of several new radionuclide imaging. Some mutations such as EIF1AX, IDH1/IDH2, and other signaling pathways concerning the dedifferentiation process are still not

clear, the importance of which needs to be clarified. Several studies have found that glycosylation, acetylation, methylation, and ubiquitination are closely related to the epigenetics of oncogenesis (116). There are also some studies involving these proteomic analyses in thyroid tumorigenesis (6, 16, 26). But the molecular mechanisms remain unknown, and more studies are needed. Additionally, clinical evaluation of functional imaging of dedifferentiated thyroid cancer has shown the potential of disease diagnosis and treatment, and more studies related to molecular targeted probes are required for the diagnostic and even therapeutical purposes of dedifferentiated thyroid cancer in the future.

The emergence of new targeted therapy has undoubtedly provided us with more treatment options for advanced thyroid cancer. The upcoming results of the phase III trials of anlotinib and donafenib are expected to provide new options for the management of advanced thyroid cancer. But how to properly use these "news weapons" is worthy of attention, whether as a supplement to or complete subversion of the current standard treatment mode. The timing of the initiation with novel agents is of vital significance. Should the intervention be administrated at an early stage or be waited as the last resort of salvage treatment? Moreover, considering the relatively slow rate of disease progression for most RAIR-DTC, how to balance the benefit of PFS/OS and the quality of life of patients? More explorations and investigations are needed to address these issues. In the future, well-designed clinical trials especially head-to-head studies will help understand the comparative efficacy of novel agents. And it's of pivotal significance to identify an appropriate sequential and combined treatment strategy to minimize crossresistance or exposure to inactive drugs in the long clinical course for advanced thyroid cancer.

#### Conclusion

The overall prognosis of thyroid cancer is favorable yet the treatment of advanced thyroid cancer patients remains challenging. Thyroid cancer is a heterogeneous disease driven by variable molecular alterations. Over the past decade, advances in the understanding of oncogenic alterations and signaling pathways have helped clinicians diagnose and early recognize potential advanced thyroid cancer patients. The findings of molecular modifications involving DNA methylation, protein post-translational modification such as phosphorylation, acetylation, ubiquitination, and glycosylation also alter the therapeutic strategy for advanced thyroid cancer. Furthermore, the growing number of molecular imaging studies provide more potential for the diagnosis and treatment of advanced thyroid cancer. Targeted therapy, immunotherapy, and theranostic are making robust progress in the personalized management of

advanced thyroid cancer. Further investigations and more real-world clinical outcomes are warranted to develop more effective targeted therapies, and select candidate patients who might benefit and improve the treatment modalities of advanced thyroid cancer.

#### **Author contributions**

All authors contributed to the conception and design of the study and to data acquisition and analysis. The first draft of the manuscript was written by JL and YZ. FS contributed to the investigation and resources. LX and XS reviewed and edited the manuscript. All authors contributed to the article and approved the submitted version.

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The handling editor declared a shared affiliation, though no other collaboration, with the authors at the time of the review.

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EDITED BY
Tadashi Nakagawa,
Tohoku University, Japan

REVIEWED BY
Fengchao Lang,
National Institutes of Health (NIH),
United States
Isabel Castro-Piedras,
Texas Tech University Health Sciences
Center. United States

\*CORRESPONDENCE Xianquan Zhan yizhan2011@gmail.com

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# The role of protein acetylation in carcinogenesis and targeted drug discovery

Jingru Yang<sup>1,2</sup>, Cong Song<sup>2</sup> and Xianguan Zhan<sup>1,2\*</sup>

<sup>1</sup>Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University and Shandong Academy of Medical Sciences, Jinan, China, <sup>2</sup>Medical Science and Technology Innovation Center, Shandong First Medical University, Jinan, China

Protein acetylation is a reversible post-translational modification, and is involved in many biological processes in cells, such as transcriptional regulation, DNA damage repair, and energy metabolism, which is an important molecular event and is associated with a wide range of diseases such as cancers. Protein acetylation is dynamically regulated by histone acetyltransferases (HATs) and histone deacetylases (HDACs) in homeostasis. The abnormal acetylation level might lead to the occurrence and deterioration of a cancer, and is closely related to various pathophysiological characteristics of a cancer, such as malignant phenotypes, and promotes cancer cells to adapt to tumor microenvironment. Therapeutic modalities targeting protein acetylation are a potential therapeutic strategy. This article discussed the roles of protein acetylation in tumor pathology and therapeutic drugs targeting protein acetylation, which offers the contributions of protein acetylation in clarification of carcinogenesis, and discovery of therapeutic drugs for cancers, and lays the foundation for precision medicine in oncology.

KEYWORDS

acetylation, HAT, HDAC, post-translational modification, cancer, HDAC inhibitor

#### Introduction

Cancer is a malignant disease with heterogeneity, and its occurrence and development are affected by a variety of factors (1). It has strong ability to migrate, proliferate, and invade, and can adhere to the surrounding normal tissues. There are many factors to affect cancers, including genetic, epigenetic, and environmental factors, which all enhance tumor malignancy (2).

Epigenetics is the change in the level of gene expression without changes in the gene sequence (3). Abnormal changes in epigenetics may lead to the occurrence and development of various malignant diseases. Epigenetic research mainly includes DNA

covalent modifications such as DNA methylation and polymethylation, chromatin remodeling, and the regulation of gene expression levels by non-coding RNAs (3). Proteins are the ultimate executors of biological functions. Studies have shown that many abnormal post-translational modifications are closely associated with malignant tumors, such as acetylation, ubiquitination, and phosphorylation (4). Of them, protein acetylation was discovered in the 1960s, but acetylation has not been extensively studied until recent years (5). Acetylation occurs on histones and non-histones, and most of the current research focuses on acetylation on histones (6). Histone is an octamer that constitutes the ribosome, consisting of four core histones (H3\H4\H2A\H2B), which combine with surrounding DNA fragments to form subunits of the ribosome, and the histone tail is easily translated by different post-translational modification to affect chromatin state and gene expression (6). Histones are prone to be acetylated (6). Studies have shown that protein acetylation is closely related to transcriptional regulation (7).

Acetylation modification is the process of covalently binding acyl-CoA compounds to specific amino acid sites of proteins under the action of acetyltransferase, generally binding to lysine residues (8). This process can also be reversed by deacetylases. This process is reversible and plays an important role in chromatin remodeling, gene expression, and regulation of protein function (9). Acetylation processes in different organelles are independent of each other. For example, acetyl-CoA in mitochondria and acetyl-CoA outside mitochondria are independent of each other (8). Acetylation in mitochondria plays an important role in biological processes such as the tricarboxylic acid cycle and fatty acid oxidation (9, 10). Moreover, protein acetylation is involved in the transcriptional regulation of genes, and some transcriptional co-activators have acetylase activity and some transcriptional co-repressors have deacetylase activity (7). Protein acetylation is associated with novel drug targets for a variety of diseases such as cancer (11). Thereby, it emphasizes the important scientific merits of protein acetylation in carcinogenesis and targeted drug discovery.

This article reviews (i) the component and process of protein acetylation system in cancers, including types of acetylation (N-acetylation, O-acetylation, and K-acetylation), regulators of acetylation (writers-acetyltransferases, erasers-deacetylases, acetyl coenzyme A, and readers), (ii) biological role of acetylation in cancer pathophysiology, including apoptosis, autophagy, cellular metabolism, cell cycle, proliferation, migration, and invasion, and (iii) acetylation system-based targeted drugs in cancer, including HAT inhibitors, HAT activators, HDAC inhibitors, and BET inhibitors. Also, we proposed the future perspectives about the roles of protein acetylation in carcinogenesis and targeted drug discovery. In this review, we focus on the classification of acetylation and its impact on pathophysiological processes in tumorigenesis. We

link protein acetylation with epigenetic drugs for tumor treatment to promote the development of cancer precision medicine.

# The components and process of acetylation system in cancers

#### Types of acetylation in cancers

Protein acetylation is the process of covalently binding acyl-CoA class A compound to protein-specific amino acid sites under the action of acetyltransferases. Vincent Allfrey and his colleagues discovered histone lysine acetylation modification in 1964 (7). In subsequent studies, they gradually discovered the mechanism of acetylation modification, the discovery and identification of HAT and HDAC, and the discovery and identification of reader domains, which laid the foundation for protein acetylation. With the development of mass spectrometry and proteomics, non-histone acetylation was discovered and the regulatory process of non-histone acetylation was revealed (7). More and more studies have proved that histone acetylation and non-histone acetylation have the same importance in the regulation of biological processes in organisms (7). After the discovery of non-histone acetylation, histone acetyltransferases were also renamed lysine acetyltransferases and histone deacetylases were renamed lysine deacetylases (7). Histone acetylation occurs in the nucleus and is a type of epigenetic regulation that regulates chromatin structure to regulate transcription and DNA repair. Histone hyperacetylation by histone acetyltransferase is associated with transcriptional activation, while histone deacetylation by histone deacetylase is associated with transcriptional repression. Histone acetylation promotes transcription by remodeling higher-level chromatin structure, attenuating histone-DNA interactions, and providing binding sites for transcription activation complexes (12). Histone deacetylation inhibits transcription, and histone deacetylation and acetylation maintain homeostasis by opposing mechanisms, including the assembly of higher-order chromatin structures and the exclusion of bromo domaincontaining transcriptional activation complexes (12). Histone acetylation and tumorigenesis are also closely related, and histone acetylation promotes the expression of certain genes that can lead to tumors (13). For example, P300 is a histone lysine acetyltransferase that catalyzes the attachment of acetyl groups to lysine residues, which leads to the activation of several genes, including several oncogenes. Study finds elevated expression of p300 in breast cancer (13).

Non-histone acetylation is involved in most biological processes in organisms and occurs with very high frequency. Non-histone acetylation is involved in key cellular processes related to organism physiology and tumors, such as gene

transcription, DNA damage repair, cell division, protein folding, autophagy, cell signaling, and metabolism. For example, HDAC6 acts not only on histones, but also on non-histone substrates to maintain the balance of non-histone acetylation (14). α-Tubulin, the first non-histone substrate of HDAC6, reversibly modulates its homeostasis and in turn affects MT stability and function (15). The  $\alpha$ -tubulin acetylation affects intracellular trafficking events through the protein encoded by the cylindromatosis gene, thereby participating in mitosis and affecting the development of the cell cycle (14). Non-histone acetylation modifies protein expression through various mechanisms and affects protein function. For example, regulating protein stability, regulating protease activity, affecting subcellular localization, and regulating proteinprotein interactions, etc. Protein acetylation can be classified into three types (N-acetylation, O-acetylation, and Kacetylation) according to acetylation site in a protein amino acid sequence.

#### N-acetylation

N-terminal acetylation in a protein is one of the most common modifications in mammals, which transfers the acetyl group to the N terminus of the protein, the amino group of the first residue in the protein (4). Unlike O-acetylation and Kacetylation, N-acetylation is an irreversible post-translational modification. N-acetylation occurs in 80%-90% of human proteins and is controlled by N-acetyltransferases. The addition of the acetyl group to N-terminus changes the charge carried by the amino acid, neutralizes the positive charge of the amino acid residue itself, changes the molecular weight of amino acid residue, changes the properties of the protein, and then affects the biological function of the protein. Studies have shown that N-acetylation mainly affects protein-membrane binding and protein stability (16). N-acetylation is also one of many factors contributing to tumor progression; for example, slow Nacetylation is a factor in bladder carcinogenesis and muscle invasiveness, and NAT1 is recognized as a biomarker candidate in bladder cancer and a potential target for drug development point (17).

#### O-acetylation

O-acetylation was detected less frequently than N-acetylation and K-acetylation. O-acetylation occurred mainly on the hydroxyl group at the serine or threonine terminal. O-acetylation was discovered in 2006 by Orth while studying YopJ, a bacterial virulence factor that acts as an acetyltransferase during acetylation (18). Studies have shown that YopJ transfers acetyl groups to the hydroxyl residues of serine or threonine, which inhibits the activation of MAPKK6, thereby inhibits the activation of MAPK and NF-κB pathways, inhibites

the response of immune responses, and promotes the occurrence and development of malignant diseases (19). The discovery of Oacetylation adds to the complexity of the study of the regulation of gene expression by acetylation. Some studies have found that O-acetylation can compete with phosphorylation at some modification sites (20). Although there are few studies on Oacetylation, it has been found that O-acetylation is closely related to tumorigenesis in recent years (21). GD2 O-acetylation is elevated in neuroblastoma and glioblastoma, which is a potential biomarker of therapeutic target (21). In childhood acute lymphoblastic leukemia, the expression of 9-O-acetylated sialoglycoprotein was enhanced, decreased with the remission of clinical symptoms, and increased again when the disease relapsed (22). These studies indicate that O-acetylation might be a potential biomarker and target for drug-targeted therapy (22).

#### K-acetylation

Lysine acetylation is currently the most extensive research field of acetylation. Protein deacetylation is very extensive in the human body, with more than 3600 acetylation sites in more than 1750 proteins (23). Lysine acetylation mainly occurs on the histones of ribosomes and is jointly regulated by lysine acetyltransferase and lysine deacetylase to maintain the dynamic balance of lysine acetylation in cells (9). Lysine acetylation also occurs in non-histone proteins in the nucleus, cytoplasm, and mitochondria, and regulates various biological functions of cells (9). For example, DNA repair enzymes can be carried out in the nucleus through acetylation (24). The dynamic balance of lysine acetylation affects multiple functions in the cell, such as gene replication, gene transcription, stability of protein structure, interaction between proteins and proteins, cell cycle, cellular self-regulation, phagocytosis, and cell apoptosis (25). For example, there is a large amount of tubulin in the cytoplasm. Tubulin acts as a cytoskeletal component to maintain the stability of cells. The acetylation of  $\alpha$ -tubulin is a significant marker of microtubule stability (26). Studies have shown that the acetylation of cytoskeleton is related to the occurrence of tumors, and tubulin is the target of many anti-tumor drugs. Lysine acetylation is one of the most important post-translational modifications in cell signaling pathways (10). The occurrence and development of many malignant tumors are closely related to lysine acetylation (27). For example, most metabolic enzymes are targets for lysine acetylation, such as ATM, ABL1, CDK9, BTK, CDK1 (25, 28-32), and a large number of acetylated proteins mediated abnormal changes in cell signaling pathways (33). For instance, acetylated phosphoglycerate kinase 1 is involved in glycolysis and amino acid biosynthesis in nonfunctional pituitary neuroendocrine tumors (NF-PitNETs) (34).

#### Regulators of acetylation in cancers

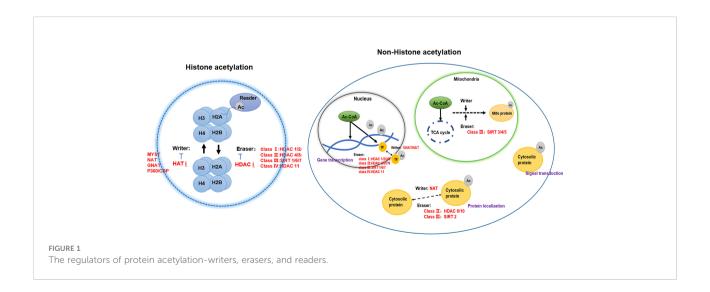
Acetylation in eukaryotic cells is in a dynamic equilibrium, which is jointly participated by writer-acetyltransferase, eraser-deacetylase, acetyl coenzyme A, and reader (4) (Figure 1).

#### Writer-acetyltransferases

Protein acetylation is a dynamic process by the joint action of acetyltransferases and deacetylases, including N-acetylation, Oacetylation, and K-acetylation (18). Most of the current studies focus on the acetylation of histones (6). Histone acetylation mainly occurs on lysine residues of histones (K-acetylation) in eukaryotic cells. The group is transferred to the side chain of the lysine residue, which in turn changes the R group of the lysine residue, neutralizes the positive charge on the lysine residue, and then affects the properties of the protein, and affects the structure and regulation of chromatin gene expression. According to structural and sequence similarity, mammalian lysine acetyltransferases are mainly divided into three categories: GCN5-related enzymes, p300-related enzymes, and MYST19related enzymes (35). These acetyltransferases are present in the nucleus, and there are also acetyltransferases such as ESCO1, ESCO2, and HAT1 present in the nucleus (7). In addition to acetyltransferase in the nucleus, tubulin also contains acetyltransferase TAT1 (36). Acetylation of  $\alpha$ -tubulin is a prominent marker of microtubule stability, and p27 promotes microtubule acetylation by binding and stabilizing ATAT-1 in glucose-deficient cells (37). Acetyltransferases have substrate specificity, which can regulate the structure of chromatin and thus regulate gene expression (7). For example, MOZ has a plant homeodomain-linked (PHD) type zinc finger that regulates chromatin by binding to trimethylated lysine 4 of histone 3 Structure (38). Acetyltransferases are also closely associated with

transcriptional activators. For example, loss of Kat2a affects transcription factor binding and reduces transcriptional burst frequency in a subset of gene promoters, thereby enhancing variability at the transcriptional level (39). CBP/p300 blocks the role of estrogen receptor alpha (ERα) in luminal breast cancer by inhibiting enhancer H3K27 acetylation (40). The mechanism of action of acetyltransferase depends on oncogene activation, which is closely related to the occurrence and development of tumors through signal transduction (41). Both Tip60 expression and ABCE1 acetylation were up-regulated in lung cancer cells (42). Downregulation of Tip60 reduced ABCE1 acetylation levels and inhibited cell proliferation, invasion and migration (42). In addition, downregulation of Tip60 activates the apoptotic pathway, thereby achieves its inhibitory effect (42). Naa10 can acetylate and stabilize TSC2, thereby inhibiting mTOR activity and inhibiting cancer development (43). Acetyltransferase can also control the occurrence and development of tumors by regulating kinases in tumor cells. Naa10 inhibits tumor cell migration by inhibiting MYLK kinase activity through acetylation (44). ESCO2 inhibits the nuclear translocation of hnRNPA1 and increases the binding of hnRNPA1 (heterogeneous nuclear ribonucleoprotein A1) to the intron sequence flanking exon 9 (EI9) of PKM RNA, which ultimately inhibites the formation of PKM1 isoforms and induces the formation of PKM2 isoforms to promote glycolysis of tumor cells, and accelerate the metabolism of tumor cells (45).

The abnormal expression of HATs is usually associated with the occurrence and development of several malignant tumors and poor prognosis, which indicates that HATs may be potential tumor therapy targets and potential biomarkers (46). It is still necessary to in-depth study the effect mechanism of HATs on tumors to clarify the applicability and effectiveness of HATs in the clinical treatment of tumors (Table 1).



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TABLE 1 Classification, localization and role of acetylases in cancers.

Family	Name	Location	Effects on cancers	Reference
NAT	Naa10 (NatA)	Nucleus	Represses tumor cell migration	(44)
	Naa20 (NAT5)			
	Naa30 (NAT12)	Cytoplasm		
	Naa40 (NAT11)		Negative regulator of apoptosis	(47)
	Naa50 (NAT5)			
	Naa60 (NAT15)			
	Naa11			
	NAT10			
GNAT	KAT1	Nucleus		
	GCN5 (KAT2A)		Deplete acute myeloid leukemia	(39)
	PCAF (KAT2B)		Modulate protein stability	(48)
	ELP3 (KAT9)		Wnt-driven intestinal tumor initiation	(49)
	ATAT-1			
	AT-1		Regulate apoptosis	(50)
	AT-2			
P300/CBP	CBP (KAT3A)		Breast cancer, hematological malignancies	(40, 51)
	P300 (KAT3B)		Breast cancer, hematological malignancies	(36, 52)
MYST	Tip60 (KAT5)		Lung cancer	(42)
	MOZ (KAT6A)		Acute myeloid leukemia	(38)
	MORF (KAT6B)		Leiomyoma	(53)
	HBO1 (KAT7)		Promotes DNA replication licening	(54)
	MOF (KAT8)		Tumor promoter in GBM	(55)
Others	ESCO1		Promote sister chromatid cohesion	(56)
	ESCO2		Promote LUAD malignant progression	(45)
	HAT1			
	TAT1	Tubulin		

#### **Eraser-deacetylases**

Protein deacetylase is called the "eraser" of acetyl group, which reduces the acetyl group attached to the amino acid residue to acetate, affects the R group structure of the amino acid residue, and then reduces the positive charge of the amino acid, and facilitates its binding to negatively charged DNA (52). Protein deacetylases are involved in regulating gene replication, gene transcription, protein structure stability, DNA damage repair, and other cellular functions (7). Mammalian genes encode 18 deacetylases, which act on histone and nonhistone proteins in cells to remove their acetyl groups (7). For example, sirtuin enzymes are divided into four classes and localized in different locations of cells (57). Class III belongs to NAD+-dependent sirtuin enzymes, which are localized in mitochondria, cytoplasm and nucleus (57). Zn2+-dependent HDACs have a highly conserved deacetylase domain, including classes I (HDAC 1, 2, 3, and 8), II (HDAC 4, 5, 7, and 9), and IV (HDAC 11) localized in the nucleus (58). Studies have found that HDAC can not only act on histone deacetylation, but also play other roles on histones, such as decrotonylation, and desumoylation (9). HDACs have been found to be abnormally expressed or altered in localization in a variety of cancers (59). Studies have shown that the abnormal expression of HDAC in cancer patients is closely related to the dynamic imbalance of acetylation in the human body (60). In addition, the specific domains of individual sirtuins also have their own specific functions, such as maintaining protein stability (52) (Table 2).

Transcription factors are a kind of non-histone proteins, and protein deacetylases regulate gene transcription activity by deacetylating transcription factors (27). For example, HDAC7 regulates the acetylation of H3K27 and the transcriptional activity of super-enhancer-related genes in breast cancer stem cells (80). A common mutation in AML is a chromosome 16 inversion that fuses the core-binding factor beta (CBFB) gene with the smooth muscle myosin heavy chain gene (MYH11) to form the oncogene CBFB-MYH11 (61). The expressed protein CBFbeta-SMMHC forms a heterodimer with the key hematopoietic transcription factor RUNX1, and CBFbeta-SMMHC acts together with RUNX1 to activate the transcription of specific target genes (61). HDAC1 promotes

TABLE 2 Classification, localization and role of deacetylases in cancers.

Family	Name	Location	Cancer	Effects on cancer	Reference
Class I	HDAC 1	Nucleus	Acute myeloid leukemia (AML) glioblastoma	Regulate apoptosis  Maintenance of the malignant phenotype	(61-63)
	HDAC 2	Nucleus	Hepatocellular carcinoma (HCC)	Regulate cell cycle, migration, apoptosis, and cell adhesion.	(64, 65)
	HDAC 3	Nucleus	Acute myeloid leukemia (AML), colorectal cancer, lung cancer, melanoma, human maxillary cancer, acute promyelocytic leukemia (APL), multiple myeloma (MM), hepatocellular carcinoma (HCC), breast cancer	Promotes cancer progression	(66-72)
	HDAC 8	Nucleus	Acute myeloid leukemia	Aberrant expression or deregulated interactions with transcription factors	(73, 74)
Class II a	HDAC 4	Nucleus	Breast cancer, Glioblastoma nasopharyngeal carcinoma	Promote proliferation, migration, and invasion in nasopharyngeal carcinoma	(75–77)
	HDAC 5	Nucleus	CAN in HCC	Regulate cell proliferation and invasion, the immune response, and maintenance of stemness	(78, 79)
	HDAC 7	Nucleus	Breast cancer	Regulates gene expression, cell proliferation, cell differentiation and cell survival	(80, 81)
	HDAC 9	Nucleus	Breast cancers	Antiestrogen resistance, promotes tissue-specific transcriptional regulation	(82, 83)
Class II b	HDAC 6	Cytoplasm	Prostate cancer	Regulate cell proliferation, metastasis, invasion, and mitosis	(14, 84)
	HDAC 10	Cytoplasm	Lung adenocarcinoma		(85)
Class III	SIRT 1	Nucleus	Lung cancer	Involved in gene regulation, genome stability maintenance, apoptosis, autophagy, senescence, proliferation, aging, and tumorigenesis	(86, 87)
	SIRT 2	Cytoplasm	Lung cancer, Glioblastoma melanoma	suppresses NK cell function and proliferation	(76, 86, 88)
	SIRT 3	Mitochondria	Lung cancer, Ovarian cancer	Regulate autophagy	(89, 90)
	SIRT 4		NSCLC, Endometrioid adenocarcinoma		(91) (92)
	SIRT 5		Acute Myeloid Leukemia		(93)
	SIRT 6	Nucleus	Acute Myeloid Leukemia		(94)
	SIRT 7	Nucleosome	Breast cancer, glioblastoma		(76, 95)
Class IV	HDAC11	Nucleus	HCC	High expression in HCC	(96)

transcriptional activation as a cofactor for the leukemic fusion protein CBFbeta-SMMHC (61). HDACs also act directly on proteins involved in tumor migration, metastasis and growth (97). For example, Api5 is a known anti-apoptotic and nuclear protein responsible for inhibiting cell death under conditions of serum starvation (97). The only known post-translational modification of Api5 is the acetylation of lysine 251 (K251) (97). p300 interacts with HDAC1 to regulate cell proliferation by

regulating Api5 acetylation and stability (97). Inactivation of SIRT6 in cancer cells results in the accumulation of nuclear ACLY protein, increasing nuclear acetyl-CoA, which in turn drives site-specific histone acetylation and the expression of cancer cell adhesion and migration genes that promote tumor aggressiveness (98). Novel mechanism by which SIRT6 suppresses aggressive cancer cell phenotypes revealed and acetyl-CoA-responsive cell migration and adhesion genes

identified as downstream targets of SIRT6 (98). Therefore, the regulatory mechanism of HDACs in tumors is difficult to be clearly described.

Class III HDACs are mainly located in mitochondria, which are the center of cellular energy metabolism (57). Acylated mitochondrial proteins are involved in many functions related to cellular metabolism, including TCA cycle, oxidative phosphorylation, nucleotide metabolism, amino acid metabolism, and urea cycle (99). SIRT can regulate energy production by regulating the acetylation and deacetylation of organisms involved in energy metabolism in mitochondria, thereby affecting cellular metabolism (7). For example, sirtuin 3 (Sirt3) is a key player in maintaining mitochondrial function and is involved in ATP production by regulating the acetyl and pyruvate dehydrogenase complex (PDH) (89). The underlying mechanism of SIRT is also related to the metabolic reprogramming of tumors (9). SIRT5 disruption-induced apoptosis is caused by a decrease in oxidative phosphorylation and glutamine utilization and an increase in mitochondrial superoxide, which is attenuated by ectopic superoxide dismutase 2 (93). SIRT5 controls and orchestrates key metabolic pathways in AML, so SIRT5 may be a potential therapeutic target in AML (93).

Class IV HDACs only contain HDAC11, which is highly expressed in HCC and is closely related to disease prognosis (96). Loss of HDAC11 promotes histone acetylation in the LKB1 promoter region, thereby activating the AMPK signaling pathway and inhibiting the glycolysis pathway, thereby increasing the transcription of LKB1, thereby inhibiting tumorigenesis and HCC progression (96). Histone deacetylases are abnormally expressed in clinical tumor patients and are associated with poor prognosis and survival (59). HDAC9 expression is positively associated with up-regulated genes in endocrine therapy-resistant breast cancer, and high HDAC9 levels are associated with poorer prognosis in patients treated with OHTam (82). HDAC10 regulates tumor stem cell properties in KRAS-driven lung adenocarcinoma, and HDAC10 regulates the stem-like properties of kras-expressing tumor cells by targeting SOX9 (85). The expression of SOX9 is significantly increased in HDAC10-depleted tumor cells, TGFβ pathway-related genes are enriched in HDAC10 knocked out tumor cells, and activation of TGFβ signaling contributes to the induction of SOX9 in HDAC10 knocked out lung adenocarcinoma cells (85). However, HDACs show activating activity in some tumors and inhibitory activity in some tumors, which suggests that their mechanism of action might not be a single one. SIRT1 may exert oncogenic effects by inactivating other tumor suppressors (eg, HIC1) and/or activating tumorpromoting genes (eg, via N-Myc stabilization or p53) or other proteins (cortatin) (100-102). There are interactions between HDACs. Studies have shown that inhibition or knockdown of HDAC1 and HDAC3 results in downregulation of HDAC7, which is associated with reduced histone 3 lysine 27 acetylation (H3K27ac) at transcription start sites (TSS) and super-enhancers (SEs), this is particularly evident in stem-like BrCa cells (80). Inactivation of HDAC7 can lead to suppression of the CSC phenotype, either directly or through the inhibition of HDAC1 and HDAC3, by downregulating multiple se-related oncogenes (80). HDAC7 may be a potential drug target (80).

HDACs inhibitors also have many adverse reactions in clinical application, such as drug resistance and toxic side effects (59). Aberrant expression of HDACs has also been shown to correlate with tumor resistance. HDAC8 increases the expression of p65, a key component of the NF-κB complex, and promotes the expression of IL-6 and IL-8 (103). This may be because HDAC8 can directly bind to the promoter of p65, increasing its transcription and expression. Thus, HDAC8 promotes DNR resistance in human AML cells by regulating IL-6 and IL-8 (103).

#### Acetyl coenzyme A

Acetyl Coenzyme A is a key precursor that used to synthesize acetyl. The progression of lysine acetylation can be controlled by regulating the concentration of acetyl-CoA. Acetyl-CoA is an important metabolite in cellular biological processes and is the only donor of acetyl groups during acetylation (104). Acetyl-CoA has different production pathways in different organelles. Acetyl-CoA produced in different organelles can be locally utilized in organelles, produced by decarboxylation of pyruvate in mitochondria, and produced by fatty acid  $\beta$ -oxidation in cytoplasm (105). ACLY, ACSS2, PDC can produce acetyl-CoA in organelles to regulate lysine acetylation (106). The interaction between lysine acetylation and acetyl-CoA is influenced by many factors, including the kinds of HATs, the acetyl-CoA/CoA ratio and intracellular pH gradient (107, 108).

Acetyl-CoA is derived from glycolysis and β-oxidation in the mitochondrial matrix, which ultimately leads to the production of cytoplasmic pyruvate, and enters the mitochondria for decarboxylation to form acetyl-CoA (109, 110). Branchedchain amino acids (i.e., valine, leucine, and isoleucine) can also be used to produce acetyl-CoA (111). Most of the acetyl-CoA in the cytoplasm comes from glutamine reductive carboxylation, which generates acetyl-CoA through the TCA cycle (112). Acetyl-CoA also has compartmentalized effects on protein acetylation. Acetyl-CoA exists in mitochondria, nucleus, and cytoplasm (105). Acetyl-CoA in mitochondria has a specific source pathway. Acetyl-CoA can pass through nuclear pores in the nucleus and cytoplasm. During the shuttle, acetyl-CoA has different abundances of acetyl-CoA in the nucleus and cytoplasm, and the occurrence of protein acetylation is also different (105). At the same time, studies have shown that the acetyl-CoA/CoA ratio may be a relevant regulator of HAT enzyme activity, rather than the absolute level of acetyl-CoA (105).

This establishes a link between the nuclear and cytoplasmic abundance of acetyl-CoA and the epigenetic regulation of genes (105). In the process of tumorigenesis, abnormal expression of acetyl-CoA was also found. Acetyl-CoA can affect the proliferation, invasion and migration of tumor cells directly or by affecting protein acetylation (113). Acetyl-CoA induces cell growth and proliferation by promoting acetylation of histones at growth genes (113), and increase the levels of acetyl-CoA and acetylated histones to maintain the accelerated proliferation of cancer cells (105).

#### Reader

For histone acetylation to exert their biological functions, they also need to be combined with specific recognition proteins. Acetylated lysine in a protein will provide a reading site, recruit proteins with special structural domains, affect biological functions such as gene replication, gene transcription, and repair after DNA damage, and jointly participate in the regulation of gene expression (8). Recognition proteins can contain multiple different recognition domains that cooperate with PTM sites. Studies have shown that lysine-containing acetylation modification sites can be specifically recognized by proteins such as bromodomains, dual-PHD finger domains, and YEATS domains (8).

Four BET proteins have been identified in humans, BRD2, BRD3, BRD4 and the testis-specific protein BRDT (114). BRDT is only present in male germ cells (115). The BET family controls the transcription of various proinflammatory and immunoregulatory genes by recognizing acetylated histones (mainly H3 and H4) and recruiting transcription factors (such as RELA) and transcription elongation complexes (such as P-TEFb) to chromatin, thereby promoting the phosphorylation of RNA polymerase II and subsequent transcription initiation and elongation (116).

Localized in the nucleus, BRD2 can bind to hyperacetylated chromatin and play a role in transcriptional regulation through chromatin remodeling (115). BRD2 can regulate the transcription of the CCND1 gene and play a role in nucleosome assembly (117). Abnormal expression of BRD2 affects the development of various malignant tumors (118). For example, Runx3 forms a complex with BRD2 in a KRasdependent manner in the early stages of the cell cycle, resulting in the inactivation of Runx3 and promoting the development of lung adenocarcinoma (118). Studies have shown that OCCC cells are susceptible to knockdown of epigenetic gene targets such as bromopseudomin and the extraterminal domain (BET) proteins BRD2 and BRD3, and targeting the BET proteins BRD2 and BRD3 in combination with PI3K-AKT inhibition may as a therapeutic strategy for ovarian clear cell carcinoma (119). The abnormal expression of BRD2 is also closely related to the drug resistance of patients. Studies have shown that BRD2 promotes drug resistance in adult T-LBL through the RasGRP1/Ras/ERK signaling pathway (120). Targeting BRD2 may be a new strategy to improve treatment efficacy and prolong survival in adults with T-LBL (120).

Localized to the nucleus, BRD3 is a chromatin reader that recognizes and binds hyperacetylated chromatin and plays a role in transcriptional regulation, possibly through chromatin remodeling and interactions with transcription factors (121). BRD3 regulates transcription by promoting the binding of the transcription factor GATA1 to its targets (122). The study found that BRD3 directly interacts with BCL6 and maintains the negative feedback regulatory loop of BCL6 (123). BRD2 and BRD3 preferentially associate with hyperacetylated chromatin throughout the length of transcribed genes *in vivo* (121). BRD2-and BRD3-associated chromatin was significantly enriched in H4K5, H4K12, and H3K14 acetylation reactions, and contained relatively less dimethylated H3K9 (121). Both BRD2 and BRD3 allow RNA polymerase II transcription by nucleosomes in a defined transcription system (121).

Localized in the nucleus, BRD4 is currently the most widely studied chromatin reader protein that recognizes and binds acetylated histones and plays a key role in the transmission of epigenetic memory across cell division and transcriptional regulation (124). Remains associated with acetylated chromatin throughout the cell cycle, and by preserving acetylated chromatin state and maintaining higher-order chromatin structure (125). Studies have shown that BRD4 is a transcriptional repressor of autophagy and lysosomal function (126). BRD4 plays a key role in regulating the transcription of signal-induced genes by binding to the P-TEFb complex and recruiting it to promoters. The P-TEFb complex is also recruited to the distal enhancer, an anti-pause enhancer that cooperates with JMJD6 (125). BRD4 and JMJD6 are required to form the transcriptionally active P-TEFb complex by replacing negative regulators such as HEXIM1 and the 7SK snRNA complex from P-TEFb, thereby converting it to the active form, which can then phosphorylate the C-terminal structure of RNA polymerase II Domain (CTD) (125). MYC regulates its own transcription by restricting its site for BRD4-mediated chromatin remodeling (127). The MYC-stabilizing kinase ERK1 regulates MYC levels directly or indirectly by inhibiting BRD4 kinase activity. These findings suggest that BRD4 negatively regulates MYC levels, which is counteracted by ERK1 activation (127).

BRD4 has three isoforms, BRD4 short isoform and BRD4 long isoform (128). There are two BRD4 short isoforms, which are spliced from other mRNAs. The short isoform of BRD4 promotes tumor metastasis, and the long isoform of BRD4 inhibits tumor metastasis and spread (128). Study shows BRD4 isoforms have opposing functions in breast cancer (128). The role of BRD4 in cancer is largely dependent on the long isoform (BRD4-L), and we demonstrated by isoform-specific knockdown and endogenous protein detection as well as transgene expression that the less abundant short isoform of

BRD4 (BRD4-L) S) is oncogenic and BRD4-L has a tumor suppressor role in breast cancer cell proliferation and migration as well as breast tumor formation and metastasis (128). An isoform of BRD4 that acts as a chromatin insulator in DNA damage response pathways (129). Inhibits DNA damage response signaling by recruiting condensin-2 complexes to acetylated histones, leading to remodeling of chromatin structure, shielding this region from DNA by limiting the spread of histone H2AX/H2A.x phosphorylation injury response (129).

Due to the abnormal expression of BRD4 in various tumors, targeting BRD4 has emerged as a potential therapeutic strategy (130). For example, the expression of BRD4 in glioma was significantly higher than that in adjacent normal brain tissue (130). BRD4 inhibitors effectively penetrate the blood-brain barrier and target glioma tumor tissue, but have little effect on normal brain tissue (130). BRD4 is overexpressed in NFPA and GHPA, and the effects of BRD4 inhibitors on PA cells *in vitro* and *in vivo* were evaluated, so BRD4 is a promising therapeutic target for NFPA and GHPA (131).

BRD4 promotes the progression and metastasis of gastric cancer, and the abundance of BRD4 in human gastric cancer tissue is associated with shorter survival in patients with non-metastatic gastric cancer (132). BRD4 recognizes acetylated K146 and K187 on snails in an acetylation-dependent manner to prevent snails from recognition by their E3 ubiquitin ligases FBXL14 and  $\beta$ -Trcp1, thereby inhibiting snail polyubiquitination and proteases body degradation (132).

The mode of action of I-BET151 is due to the repression of transcription of key genes (BCL2, C-MYC and CDK6) by displacing BRD3/4, PAFc and SEC components from chromatin (133). This suggests that replacing BET proteins from chromatin is a potential epigenetic therapy for aggressive leukemia. BRDT (Bromodomain testis-specific protein), localized in the nucleus, exists only in male germ cells, and not often studied in tumors (115).

YEATS family proteins include YAF9, ENL, AF9, TAF14, SAS5 proteins (4). As the "readers" of protein acetylation, YEATS family proteins can combine with proteins to form various chromatin-related complexes with different complex functions, and play a role in chromatin remodeling and gene expression (4). YEATS family proteins are closely related to the occurrence of various malignant tumors. For example, ENL binds to acetylated histone H3, and co-localizes with H3K27ac and H3K9ac on the promoters of actively transcribed genes that are critical for leukemia (134). ENL is a regulator of leukemia. oncogenic transcriptional program (134), and an intact YEATS chromatin-reader domain was essential for ENL-dependent leukemic growth (135). YEATS4 overexpression enhances the malignant features of breast cancer cells, especially inducing epithelial-to-mesenchymal transition, and YEATS4 is associated with poor prognosis in breast cancer (136). YEATS protein

promotes the proliferation of gastric cancer cells and affects tumor development by activating the Wnt/ $\beta$ -catenin signaling pathway (137). GAS41 is abundantly expressed in non-small cell lung cancer and is closely related to the proliferation of lung cancer cells (138). YEATS2, a target gene of HIF1 $\alpha$ , promotes pancreatic cancer development under hypoxia (139).

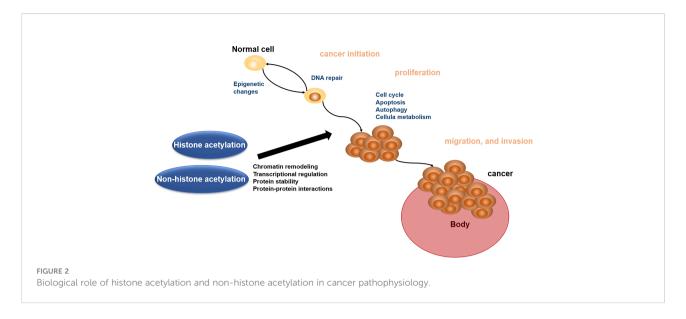
Complex post-translational modifications are affected by many factors, one of which is the way the recognition site binds to the recognition protein. Initial studies believed that a post-translational modification recognition site can only bind to one recognition protein. The researchers found that a PTM recognition site can interact with multiple recognition proteins, eg. At the same time, a single recognition domain can also bind to multiple different protein PTMs, eg. Also, since recognition proteins include multiple distinct domains, synergy is extremely common in recognition proteins.

## Biological role of acetylation in cancer pathophysiology

Acetylation of proteins is related to various kinds of cellular processes and human cancer (140). Here, we address the roles of acetylation in cancer cell apoptosis, autophagy, cell metabolism, cell cycle, proliferation, and migration and invasion, which will offer the basis for acetylation enzymes and BETs in reader as the important therapeutic targets (Figure 2).

#### Role of acetylation in apoptosis

Apoptosis refers to the orderly death of cells controlled by genes, which is a normal programmed death in order to maintain the stability of the internal environment. In the process of cell apoptosis, it can be divided into the initiation stage, which receives apoptosis signals, interacts with apoptosis regulators, and then activates proteolytic enzymes, resulting in apoptosis (141). However, tumors have the characteristics of avoiding apoptosis, and abnormal apoptosis leads to abnormal tumor growth (142). The abnormal expressions of acetyltransferase and deacetylase can affect the normal apoptosis of cells. For example, PDCD5, a protein associated with apoptosis in human cells, binds to Tip60 and enhances the stability of Tip60 protein under stress-free conditions (143). PDCD5 increases Tip60-dependent K120 acetylation of p53 and is involved in p53-dependent expressions of apoptosis-related genes such as Bax (143). The combination of PDCD5 and Tip60 accelerates DNA damage-induced apoptosis, whereas knockdown of PDCD5 or Tip60 inhibits apoptosisaccelerating activity (143). HDAC1 and HDAC2 double knockout cells show significant activation of apoptosis (144). HDAC6 negatively regulates pro-apoptotic acetylation of p53 at K120 in mesenchymal stem cells (MSCs) (145). Studies have



shown that targeting histone acetyltransferases and histone deacetylases can regulate tumor cell apoptosis, thereby affecting tumor growth and development (146). For example, histone deacetylase inhibitors induce apoptosis and autophagy in human neuroblastoma cells (147). Valproic acid induces cell cycle arrest and apoptosis *via* Hsp70 acetylation and inhibits proliferation of HER2-expressing breast cancer cells (148). When rRNA transcription was inhibited, nucleolar RNA content was reduced. The nucleolar protein Myb-binding protein 1A (MYBBP1A) translocates to the nucleoplasm and increases p53 acetylation as the level of nucleolar RNA content decreases (149). Acetylated p53 enhances p21 and BAX expression and induces apoptosis (149). Targeting protein acetylation to regulate tumor apoptotic activity can provide new therapeutic ideas for the clinical treatment of malignant tumors.

#### Role of acetylation in autophagy

Autophagy is a special substance degradation pathway in cells, which depends on lysosomes for its action (10). The degradation substrates of autophagy include proteins and organelles. The probability of autophagy occurring in normal cells is low, and it mainly occurs in cells under abnormal conditions, such as starvation, hypoxia or organelle damage (150). There are three main types of autophagy. (i) The first type is microautophagy, in which lysosomes wrap a part of the cytoplasm into lysosomes and degrade them. (ii) The second is macroautophagy, which first generates an autophagosome (151). The double-membrane structure of the phagosome, the fruiting body contains the substances that need to be degraded in the cytoplasm, the autophagosome and the lysosome are combined to generate the autophagolysosome, and the acidic substances in the lysosome are used to degrade the autophagosome (151).

Substances are degraded. (iii) The third is chaperone-mediated autophagy. Molecular chaperone-mediated autophagy uses heat shock protein 70 to bind to substrates with specific amino acid sequences and transport the substrates to lysosomes for further development (152). In 2004, Shao et al. found that HDAC inhibitor suberoylanilide hydroxamic acid β-D-glucur onide could induce autophagic death of cancer cells, and researchers gradually began to pay attention to the relationship between protein acetylation and autophagy (153). There is a close relationship between histone acetylation and cell autophagy. Histone acetylation can induce the occurrence of cell autophagy in the face of long-term stress, starvation and other harsh environments (154). The most widely studied is the relationship between H4K16ac and H3K56ac and autophagy. In eukaryotic cells, H4K16ac affects chromatin condensation and promotes gene transcription (155).

There is also a close link between non-histone acetylation and cell autophagy. Non-histone protein associated with autophagy that can be acetylated include transcription factors, autophagy-related proteins, and cytoskeletal proteins (7). The Fox O protein family is a transcriptional activator in eukaryotic cells, and acetylation can affect its biological activity. K on Fox O protein can be acetylated by HAT, and the activity of Fox O protein after acetylation is reduced, inhibiting DNA and its interaction, binding to regulate transcription (156). SIRT1 can also affect autophagy by regulating Fox O activity (157). TFEB protein is also a transcription factor that regulates the transcription of autophagy-related genes, such as LC3, and plays an important role in biological processes such as lysosomal biosynthesis and autophagy activation (158). The biological activity of the TFEB protein family is also affected by acetylation modification, and TFEB deacetylation can significantly enhance the autophagy and lysosomal function of cells (159). The TFEB-specific lysine acetylase is GCN5, which

can acetylate the K276 and K279 sites of TFEB, affect the formation of TFEB dimers, interfere with the binding of TFEB and its targets, and inhibit autophagy happened (160). Acetylations affect subcellular localization, thereby affecting autophagy (161). In general, BmP300-mediated acetylation sequesters components of the BmAtg8-PE ubiquitin-like system in the nucleus, leading to inhibition of autophagy. Conversely, BmHDAC1-mediated deacetylation leads to nuclear-to-cytoplasmic transfer of components of the BmAtg8-PE ubiquitin-like system, promoting autophagy (161).

Protein acetylation is an important process regulating autophagy and plays an important role in the development of malignant diseases. The phosphorylation of ATG5 (T101) in the lesion tissue of glioblastoma patients is positively regulated by the acetylation modification of the hypoxia-induced autophagy regulator PAK1, which plays an important role in hypoxia-induced autophagy and promotes the occurrence and development of tumors (162). Targeting protein acetylation modification to regulate autophagy activity can provide new therapeutic ideas for clinical treatment of malignant tumors.

#### Role of acetylation in cell metabolism

A major feature of tumors is uncontrolled proliferation, fueled by corresponding metabolic dysregulation (2). Tumors undergo metabolic reprogramming to promote tumor cell growth, division, invasion and migration. An abnormal response of tumor cell energy metabolism is called the Warburg effect (89). In the presence of oxygen, tumor cells reprogram glucose metabolism by limiting energy metabolism mainly to glycolysis, thereby generating energy for tumor growth (163). Lysine acetylation is a ubiquitous modification in enzymes that catalyze intermediate metabolism. Almost every enzyme in glycolysis, gluconeogenesis, tricarboxylic acid (TCA) cycle, urea cycle, fatty acid metabolism and glycogen metabolism is found to be acetylated in human liver tissue (10). All seven enzymes in the TCA cycle are acetylated (10). Acetylation occurs in most intermediate metabolic enzymes, and acetylation can directly affect the activity or stability of the enzyme (10). The bioenergetic preference of cancer cells promotes tumor acidosis, which in turn results in a marked reduction in glycolysis and glucose-derived acetyl-CoA (164). Protein acetylation affects tumor metabolism by affecting the TCA cycle. CBP acetylates STAT3 to undergo mitochondrial translocation, and STAT3 associates with pyruvate dehydrogenase complex E1, which in turn accelerates the conversion of pyruvate to acetyl-CoA, increases mitochondrial membrane potential and promotes ATP synthesis (165). SIRT5 removes the STAT3 acetyl group, thereby inhibiting its function in mitochondrial pyruvate metabolism (165). The protein also affects lipid metabolism in tumor cells and thus

affects tumor development (166). Dynamic regulation of ME1 phosphorylation and acetylation affects lipid metabolism and colorectal tumorigenesis (166). The manner in which SIRT6 deacetylase antagonizes ACAT1 function involves mutually exclusive ME1 S336 phosphorylation and K337 acetylation (166). ACAT1 acetylates GNPAT at K128, which inhibits TRIM21-mediated GNPAT ubiquitination and degradation (167). GNPAT deacetylation by SIRT4 antagonizes the function of ACAT1. GNPAT inhibits TRIM21-mediated degradation of FASN and promotes lipid metabolism. promote the occurrence of liver cancer (167). Studies have shown that lysine acetylation controls metabolic activity by directly blocking the active site of the enzyme (168).

#### Role of acetylation in cell cycle

Protein acetylation is closely related to gene transcription. Hyperacetylation promotes gene transcription and expression, while hypoacetylation inhibits gene transcription and expression (12). A large number of proteins involved in chromatin remodeling and cell cycle are acetylated (169). The cell cycle of tumor cells is greatly shortened and disordered. Studies have found that acetylation of tumor cells is also closely related to cell cycle progression (170). Protein acetylation affects tumor cell cycle progression by affecting chromatin remodeling, SIRT2 regulates H4K20me1 deposition through deacetylation of H4K16Ac (acetylation of H4K16), regulates chromatin localization, and affects cell cycle progression (169). Protein acetylation also has effects through the regulation of various factors in the cell cycle. For example, CDC2, a major cyclin-dependent kinase and regulator of S-phase progression and mitosis, is acetylated at residues K6 and K33 in CDC2 (25). SIRT1 interacts with CHK2 and is deacetylated at residure lysine 520, which inhibits CHK2 phosphorylation, dimerization, and thus activation (171). SIRT1 depletion induces CHK2 hyperactivation-mediated cell cycle arrest and subsequent cell death (171). Transcription factor Sp1 is a target of acetylation and is closely associated with cell cycle arrest in colon cancer cell lines (172). Simultaneous regulation of Api5 acetylation and deacetylation is an important factor in cell cycle progression (97).

#### Role of acetylation in cell proliferation

Cancer cells have unlimited replicative potential with continuous proliferative signals (2). Normal cells and tissues release growth signals in an orderly manner, and these growth signals instruct cells to grow, divide and differentiate in an orderly manner, thereby ensuring the stability of cell numbers and the homeostasis of the internal environment, thereby maintaining normal tissue structure and function (2).

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However, tumor cell proliferation signals are abnormal and can continuously obtain proliferation signals from a variety of different pathways. In the abnormal proliferation of tumor cells, protein acetylation plays an important role. For example, acetylation at the K323 site of PGK1 is an important regulatory mechanism that promotes its enzymatic activity and cancer cell metabolism (173).

Acetyltransferase and deacetylase dynamically regulate the balance of acetylation, affecting the apoptosis and autophagy of tumor cells and other death methods, thereby affecting the proliferation of tumor cells. For example, Api5 is a known anti-apoptotic and nuclear protein responsible for inhibiting cell death under serum starvation conditions (97). The only known post-translational modification of Api5 is acetylation at K251. The K251 acetylation in Api5 is responsible for its stability, whereas the deacetylated form of Api5 is unstable (97). Inhibition of acetylation by p300 results in a decrease in Api5 levels, whereas inhibition of deacetylation by HDAC1 results in an increase in Api5 levels (97). Acetylation also affects the proliferation of tumor cells by affecting the activities of various metabolic enzymes in cells. For example, PKM2 K305 acetylation reduces PKM2 enzymatic activity and promotes its lysosome-dependent degradation through chaperone-mediated autophagy (CMA) (174). Degrade and promote tumor growth through chaperone-mediated autophagy (174). Ribonucleotide reductase (RNR) catalyzes the de novo synthesis of deoxyribonucleoside diphosphates (dNDPs), which provide dNTP precursors for DNA synthesis (175). Acetylation at residue K95 in RRM2 results in a reduction of the dNTP pool, DNA replication fork arrest, and inhibition of tumor cell growth in vitro and in vivo (175). P300 acetylates MAT II $\alpha$  at K81 and destabilizes MAT IIa by promoting its ubiquitination and subsequent proteasomal degradation, inhibits tumor cell growth, and is reduced in human hepatocellular carcinoma (176). Inactivation of HDAC2 leads to elevated TPD52 acetylation, which impairs the interaction between TPD52 and HSPA8, resulting in impaired CMA function and tumor growth in vivo (177). Acetylation-dependent regulation of CMA oncogenic function in PCa by TPD52 suggests the possibility of targeting the TPD52-mediated CMA pathway to control PCa progression (177). p21 depletion converts KLF4 from a cell cycle inhibitor to a promoter of bladder cancer cell proliferation (178). Furthermore, KLF4 is acetylated in a p21-dependent manner to inhibit bladder cancer cell growth as a tumor suppressor (178). Since tumor cell proliferation is affected by acetylation modifications, drugs targeting acetylation can be used to treat abnormal tumor growth. For example, Rg3 extracted from ginsenosides has antiproliferative activity against melanoma by reducing HDAC3 and increasing p53 acetylation in vitro and in vivo (179). Therefore, Rg3 may serve as a potential therapeutic agent for the treatment of melanoma (179). Therapeutic modalities targeting acetyltransferases and deacetylases are also a potentially effective tumor treatment modality.

## Role of acetylation in migration and invasion

The development of tumor is divided into multiple stages. In the early stage, the primary lesion proliferates indefinitely, and after the formation of an obvious primary lesion, the function of the organ in which it is located is affected (2). Although the primary tumor is extremely malignant, the cause of death in most patients is the abnormal growth of metastatic tumors in sites other than the primary tumor (180). The reasons for these metastases are also unresolved and need to be discovered and solved urgently. Studies have found that protein acetylation is one of the important factors affecting tumor cell metastasis (6). For example, isocitrate dehydrogenase 1 (IDH1) is hyperacetylated in CRC primary tumors and liver metastases (181), sirtuin-2 is the deacetylase of IDH1, and SIRT2 overexpression significantly inhibits CRC cell proliferation, migration and invasion (181). COL6A1 is dysregulated in several human malignancies, and upregulation of H3K27 acetylation-activated COL6A1 promotes cell migration and invasion by inhibiting the STAT1 pathway in OS cells and promotes osteosarcoma lung metastasis (182). ZMYND8 acetylation of P300 at residues K1007 and K1034 is required for HIF activation and breast cancer progression and metastasis (183). TGF-β-activated kinase 1 (TAK1) stimulates phosphorylation by TGF-B and then induces acetylation of tubulin through aTAT1 activation, which subsequently activates AB cell migration and invasion (184). AFP acetylation promotes its oncogenic effects by blocking binding to the phosphatase PTEN and the pro-apoptotic protein caspase-3, thereby increasing signaling of proliferation, migration and invasion and reducing apoptosis (185). In HCC cells, hepatitis B virus X protein (HBx) and palmitic acid (PA) increased the levels of acetylated AFP by disrupting SIRT1mediated deacetylation (185). AFP acetylation plays an important role in hepatocellular carcinoma progression (185). miR-15a-5p reduces histone H4 acetylation by inhibiting ACSS2 expression, inhibiting acetyl-CoA activity (186). miR-15a-5p inhibits lipid metabolism by inhibiting ACSS2-mediated acetyl-CoA activity and histone acetylation, thereby inhibiting a novel mechanism of lung cancer cell metastasis (186). In addition to histone acetylation affecting tumor cell invasion and migration, non-histone acetylation also affects tumor metastasis. For example, elevated levels of alpha-tubulin acetylation are sufficient reasons for the metastatic potential of breast cancer (187). Metastatic breast cancer cells exhibit high levels of alpha-tubulin acetylation, extending along

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microantenna (McTN) protrusions (187). Mutation of acetylation sites on  $\alpha$ -tubulin and enzymatic regulation of this post-translational modification had a dramatic effect on McTN frequency and reattachment of suspended tumor cells (187). Reducing alpha-tubulin acetylation significantly inhibited migration but not proliferation (187). Targeting protein acetylation to affect tumor invasion and migration may serve as a potentially effective therapeutic strategy.

# Acetylation system-based targeted drugs in cancer

Research on abnormal protein acetylation in cancer mainly focuses on the mechanism of tumorigenesis, identification and prediction of new biomarkers for tumor invasion and migration, and tumor therapy. Since the process of protein acetylation is reversible, treating tumors can restore the acetylation process to normal levels for treatment. Therefore, some inhibitors of protein acetylation have also been approved for clinical treatment (59). For example, HAT inhibitors, HDAC inhibitors, HAT activators, and HDAC activators (59, 188, 189). HDAC activators are currently less studied.

Epigenetic regulation is an extremely promising strategy for the treatment of tumors, so many HAT- and HDAC-related modulatory drugs have been clinically tested (190). A research of NEO2734 in clinical trial revealed that there is an ongoing clinical trial. NEO2734 is a dual BET and CBP/p300 inhibitor targeting patients with advanced solid tumors and is in phase 1 clinical trials. Curcumin, a natural product-derived epigenetic modulator, the effect of curcumin on HDAC activity is variable and likely cell-line specific (190). Multiple clinical trials of curcumin have been completed, and other clinical trials are ongoing.

HDAC is considered to be a potential next-generation tumor therapy because HDAC inhibitors have been shown to have significant efficacy in a variety of tumor treatments (191, 192). Among them, vorinostat, romidepsin, panobinostat and belinostat have been approved by the US FDA for cancer treatment and are used in peripheral T-cell lymphoma, cutaneous T-cell lymphoma, and multiple myeloma (191, 192).

Vorinostat has been shown to be effective in the treatment of cutaneous T-cell lymphoma and is already in clinical use (192). Romidepsin regulates the expression of the immune checkpoint ligand PD-L1, and suppresses cellular immune function in colon cancer (193). Romidepsin has antitumor effects on several types of solid tumors (193). Romidepsin is used in clinical treatment of T-cell lymphoma (194). The safety and activity of panobinostat in relapsed/refractory Hodgkin lymphoma was also demonstrated in a multicenter phase II trial, and showed a significant reduction in tumor size (195). Belinostat has been found to be effective and well tolerated in patients with

peripheral T-cell lymphoma (PTCL) or cutaneous T-cell lymphoma (CTCL) (196). Abexinostat is an extremely promising new HDAC inhibitor. Clinical trials have been carried out simultaneously in the United States and China. The main indications include hematological tumors (197, 198), metastatic sarcoma (199), breast cancer (200). There are also a number of drugs in clinical trials. Trichostatin A, for example, is in phase I clinical trials and is being tested in the clinic for tolerability in relapsed or refractory hematological malignancies. Ricolinostat is in phase II clinical trials for the treatment of multiple myeloma. The clinical development of HDAC inhibitors illustrates an extremely promising avenue for the treatment of tumors through epigenetic modulation.

#### **HAT** inhibitors

HAT is one of the important targets of tumor therapy. HAT inhibitors are inhibitors of protein acetyltransferase, which can inhibit its activity and reduce the level of protein acetylation. Three types of HAT inhibitors have been reported, dual substrate inhibitors, natural compounds and synthetic compounds (201). HAT inhibitors are widely used in tumor treatment. Currently, the main researches are drug inhibitors targeting CBP/P300 and small molecule inhibitors of HAT domain (201) (Table 3).

Anacardiic acid, a natural compound extracted from natural plants, is a p300/CBP histone acetyltransferase inhibitor, significantly reduces the viability of PTEN-/- cells not in PTEN+/+ cells by inducing apoptosis (209). Delphinoside induces p53-mediated apoptosis in human prostate cancer LNCaP cells by inhibiting HDAC activity and activating p53 acetylation (211). Therefore, delphinidin may have a role in the prevention of prostate cancer (211). There are also synthetic compounds acting on HAT, targeting HAT as inhibitors to regulate intracellular acetylation homeostasis (210). A-485 competes with acetyl-CoA. A-485 selectively inhibits proliferation of lineage-specific tumor types, including several hematological malignancies and androgen receptor-positive prostate cancer (202). WM-3835 is a potent and highly specific HBO1 (KAT7 or MYST2) inhibitor that directly binds to the acetyl-CoA binding site of HBO1 33 WM-3835 activates apoptosis while inhibiting osteosarcoma (OS) cells proliferation, migration and invasion (216). WM-3835 has antitumor activity and potently inhibits the growth of osteosarcoma xenografts in mice (216). TH1834 dihydrochloride is a specific Tip60 (KAT5) histone acetyltransferase inhibitor (215). TH1834 dihydrochloride induces apoptosis and increases DNA damage in breast cancer cells. TH1834 dihydrochloride does not affect the activity of the related histone acetyltransferase MOF. Anticancer activity (215). Combination therapy of CK1 inhibitor SR3029 and Tip60 inhibitor MG149 had stronger

TABLE 3 Classification and targets of HAT inhibitors in cancers.

Class	Drug	Targets	Cancer	references
dual substrate inhibitor	A-485	P300/CBP	Prostate cancer, Growth hormone pituitary adenoma, Human melanoma	(202–204)
	PU139	GCN5 P300 PCAF CBP	Neuroblastoma	(205)
	NEO2734	P300/CBP	Prostate cancer, Acute myeloid leukemia, Multiple myeloma	(206–208)
Natural compounds	Anacardic acid	P300 PCAF	Breast cancer	(209)
	Garcinol	PCAF	Colon cancer, Breast cancer, Prostate cancer, Head and neck cancer, Hepatocellular carcinoma	(210)
	Curcumin	P300/CBP		
	Delphinidin	P300/CBP	prostate cancer	(211)
synthetic compounds	C646	P300	Pancreatic cancer	(212)
	Acetaminophen	NAT2		
	WM-1119	KAT6A	Lymphoma	(213)
	Remodelin hydrobromide	NAT10		
	MG 149	Tip60	Colon cancer	(214)
	TH1834 dihydrochloride	Tip60	Breast cancer	(215)
	PF-9363	KAT6A/KAT6B		
	WM-3835	KAT7/MYST2	Osteosarcoma	(216)

inhibitory effects on  $\beta$ -catenin acetylation, transcription of Wnt target genes, and viability and proliferation of colon cancer cells (214). Transcriptional activity of  $\beta$ -catenin can be regulated through the CK1 $\delta$ / $\epsilon$ - $\beta$ -catenin-Tip60 axis, which may be a potential therapeutic target for colon cancer (214).

#### **HAT** activators

HAT activators are activators that act on protein acetyltransferases and can activate acetyltransferases to increase the level of protein acetylation. For example, CTB can induce acetylation of P53 protein by increasing the expression of P300, thereby inducing significant cell death in MCF-7, but it may be well tolerated in MRC-5 (217). Therefore, CTB can be applied in cancer treatment (217). The research on HAT activators is not very extensive, and most of them are activators targeting the CBP/P300 complex (217) (Table 4).

#### **HDAC** inhibitor

HDACs are found to be abnormally expressed in malignant tumors (219). The expression of HDACs is closely related to

clinical treatment prognosis and tumor occurrence and development. In liver cancer, inhibition of HDAC2 expression can promote histone acetylation in the promoter region of MIR22HG, thereby upregulating the expression of MIR22HG, promoting the production of miR-22-5p, and ultimately increasing the sensitivity to radiotherapy (64). In acute B lymphocytic leukemia, inhibits the activity of HDAC3, which enhances the sensitivity of acute B lymphocytic leukemia cells to drugs by inhibiting the JAK/signal transducer and activator of transcription 3 signaling pathway (220). Inhibition of HDAC8 activity causes cytotoxic effects, cell cycle arrest in human monocytic leukemia followed by apoptosis, and cytostatic effects in p53-deficient human myelocytic leukemia cells (73). SIRT1/2 inhibition results in HSPA5 acetylation and dissociation from EIF2AK3, leading to endoplasmic reticulum stress response, which in turn upregulates ATF4 and dit4, triggering autophagy (86). Sirtuins have become a promising target for a novel class of anti-cancer drugs. HDAC inhibitor can reverse this phenomenon and reactivate the expression of tumor suppressors, and HDAC inhibitor can act on histone acetylation and non-histone acetylation to inhibit tumor growth, invasion and metastasis, and has become a clinically effective anti-tumor drug (221) (Table 5).

TABLE 4 Targets of HAT activators and associated cancers.

Drug	Targets	Cancer	References
СТВ	P300	Breast cancer	(217)
TTK21	CBP/P300		
СТРВ	P300		
I-CBP112	CBP/p300	Leukemia	(218)
YF-2	CBP PCAF GCN5		

Studies have shown that HDAC inhibitor has a significant inhibitory effect on P53, HSP90, NF-κB factors and multiple dephosphorylation enzymes, and a variety of HDAC inhibitors have been developed (59). The FDA has developed and approved several HDAC inhibitors for clinical cancer treatment. HDAC inhibitors are mainly divided into five categories according to different structures, including short-chain fatty acids, amides, hydroxamic acids, cyclic peptides, and chemical substances extracted from plants (265). Among histone deacetylase inhibitors, fatty acids are one of the less commonly used inhibitors. Valproic acid is an anticonvulsant drug that has been used clinically in bipolar disorder (266). The study found that valproic acid can also inhibit histone deacetylase 9, affect Notch cell signaling, and inhibit the activity of human neuroblastoma cells (267). The HDAC inhibitor of the benzamide class is the first inhibitor that selectively targets class I HDACs. There are also a large number of benzamide drugs in clinical trials for tumor treatment (59). The enzyme kinetics study of aminobenzamide-based HDAC inhibitors shows that the aminobenzamide motif has a tight binding mechanism (slow start/slow shutdown) unlike the classical fast-on/fast-off kinetics of hydroxamic acid-based HDAC inhibitors (268).

Hydroxamic acid HDAC inhibitors are the first class of HDAC inhibitors to be developed (59). Vorinostat is the first HDAC inhibitor on the market. At appropriate concentrations, vorinostat can inhibit HDAC1, 2, 3, 6, inhibit the activity of HDAC, and lead to significant hyperacetylation of H4 at residues lysine 5, 8, 12, 1, and 6 (269). These hyperacetylation are closely related to transcriptional changes, and vorinistat can simultaneously increase or decrease the transcription of specific genes in tumor cells, suggesting that HDAC inhibitor can have completely opposite effects throughout the genome (265). Virinostat is currently approved for the treatment of cutaneous T-cell lymphoma (CTCL). Studies have shown that vorinostat has activity in the treatment of recurrent glioblastoma multiforme (270). Clinically, it can be used in combination with other drugs to treat tumors (270). Vorinostat is clinically used in combination with gefitinib in the treatment of lung cancer to enhance the induction of apoptosis of lung cancer cells (271). Panobinostat is involved in many biological processes, including

DNA replication and repair, chromatin remodeling, gene transcription, cell cycle progression, protein degradation and cytoskeleton reorganization (226). For example, in prostate cancer, Panobinostat reverses HepaCAM gene expression and inhibits proliferation by increasing histone acetylation (226). Panobinostat can also be used in combination with other drugs to improve treatment efficiency, such as in acute myeloid leukemia, studies have shown that the combination of panobinostat differentiation and arsenic trioxide apoptosis can significantly improve survival (272). Another HDAC inhibitor is SIRT inhibitor, inhibition of SIRT1 and SIRT2 induces cancer cell apoptosis and plays multiple roles in regulating autophagy (86). Salermide in NSCLC cells, inhibiting SIRT1 and 2 by acetylating HSPA5, and then activating ATF4 and dit4 to inhibit the mTOR signaling pathway, thereby inducing prosurvival autophagy (86). Ginsenoside Rg1 inhibits cell proliferation and induces cellular senescence in acute myeloid leukemia cells CD34+CD38- leukemia stem cells by activating Sirtuin 1 (SIRT1)/tuberous sclerosis complex 2 (TSC2) signaling pathway (273). Capsaicin attenuates cell migration by enhancing corticosteroid and -catenin acetylation in bladder cancer cells through SIRT1 targeting and inhibition (274). Capsaicinreduced cell migration is associated with downregulation of sirtuin 1 (SIRT1) deacetylase, possibly through proteasomemediated protein degradation (274). Combination therapy of SIRT1/2 inhibitor and drug autophagy inhibitor is an effective therapeutic strategy (86). Some studies have found that synthetic HDAC inhibitors may have toxic side effects such as atrial fibrillation, researchers turned their attention to natural inhibitors extracted from plants (59). Plant-derived inhibitors also showed good activity in inhibiting tumors. For example, hawthorn polyphenol extract (HPE) can significantly reduce ROS levels, apoptosis and inflammation-related factor expression in cells, and also inhibit AMPK/SIRT1/NF-κB and miR-34a/SIRT1/p53 pathways by regulating acetylation (275). Pathway is involved in hyperglycemia-induced inflammation and apoptosis of human retinal epithelial cells (275). These inhibitors can significantly inhibit tumor proliferation, migration and invasion, and can induce apoptosis and induce autophagy (59). However, the application of these inhibitor drugs in clinical practice requires more in-depth research.

TABLE 5 Classification and targets of sirtuins in cancers.

Class	Drug	Targets	Cancer	Reference
Hydroxamates	Vorinostat	HDACs 1, 2, 3, 6	CTCL, BCR-ABL-negative myeloproliferative neoplasms, Triple-negative breast cancer, Melanoma	(222-225)
	Panobinostat	HDACs	Multiple myeloma, Prostate cancer, Acute myelogenous leukemia	(226, 227)
	Trichostatin A (TSA)	HDACs 7, 8	Esophageal squamous, Cholangiocarcinoma, Cholangiocarcinoma, Osteosarcoma	(228–231)
	Belinostat	HDACs	PTCL, Pancreatic cancer, Lung squamous cell carcinoma, Breast cancer	(232–235)
	Dacinostat (LAQ824)		Medulloblastoma, Malignant Melanoma	(236, 237)
	Givinostat	HDACs	Chronic myeloproliferative neoplasms, Hematological malignancies	(238, 239)
	Resminostat	HDACs	Hodgkin's lymphoma, Hepatocellular carcinoma, Lymphoma	(240, 241)
	Abexinostat	HDAC 1	Lymphoma, Leukemia, Lymphocytic	(198)
	Quisinostat	HDACs	Lymphoma, Neoplasms, Myelodysplastic syndromes, Hepatocellular carcinoma, Neuroblastoma, Tongue squamous cell carcinoma	(242–244)
	CUDC-101	HDACs	Lymphoma, Pancreatic cancer, Liver cancer, Breast cancer, Gastric cancer	(245, 246)
	CUDC-907	HDACs	Lymphoma, Solid tumors, Breast cancer, Multiple myeloma, NUT midline carcinoma	(247, 248)
	MPT0E028	HDACS 1, 2, 6		
	CHR-3996	HDACs		
Short-chain fatty acids	LMK235 Valproic acid (VPA)	HDACs 4, 5 HDACs 2, 9	Acute myeloid leukemia	(229, 249)
	Phenylbutyrate	HDACs 1-11	Cholangiocarcinoma Oral squamous cell carcinoma	(250, 251)
	Pivanex (AN-9)	HDACs	Lung cancer, Liver cancer	(252)
	AR-42	HDACs	Acoustic neuroma, Testicular lymphoma, Intraocular lymphoma, Esophageal squamous cell carcinoma, Adult T-cell leukemia, Lymphoma osteolytic bone tumors, Vestibular schwannoma	(253, 254)
Cyclic tetrapeptide	Romidepsin (Depsipeptide/FK228)	HDACs 1, 2, 4, 6	CTCL	(255)

(Continued)

TABLE 5 Continued

Class	Drug	Targets	Cancer	Reference
Benzamides	Mocetinostat (MGCD0103)	HDACs 1, 2, 3	Lymphoma, Urothelial carcinoma, Relapsed and refractory, Myelodysplastic syndrome, Metastatic leiomyosarcoma	(256)
	Entinostat (MS-275)	HDACs	Breast cancer, NCSLC, Osteosarcoma, Ovarian cancer, Hematologic malignancies, Oral squamous cell carcinoma	(257–262)
	Tacedinaline (CI-994)		Lung cancer, Multiple myeloma	
	Chidamide	HDAC 1, 2, 3, 10	T-cell lymphoma	(263)
	Ricolinostat (ACY-1215)	HDAC 6	Multiple myeloma	(264)

#### **BET** inhibitor

As a scaffold protein, BET can read epigenetic code, recognize histone acetylation or non-histone acetylation, and regulate gene expression, and play an important role in cell function (115). However, abnormal expression of BET leads to abnormal gene expression, resulting in abnormal cell function, which is related to the development of many malignant diseases. The study found that the abnormal expression of BRD4 is related to glioma, and the expression in glioma is significantly higher than that in normal tissue (130); BRD4 inhibitors effectively penetrated the blood-brain barrier and targeted glioma tumor tissue, but had little effect on normal brain tissue (130). Therefore, BRD4 is a target for the treatment of glioma (130). Targeting BET protein therapy is a very promising tumor treatment strategy. The BET-bromodomain-specific inhibitors JQ1, I-BET and I-BET151 represent initial successes in the development of BET inhibitors (276). The small molecule BET inhibitor drug, JQ1, is a potent growth inhibitor for many cancers and holds promise for cancer therapy (276). However, studies have found that JQ1 can activate other oncogenic pathways and may affect epithelial-to-mesenchymal transition (EMT) (276). That is to say, JQ1 has an unexpected role in promoting prostate cancer invasion (276). In the application of tumor treatment, attention should be paid to the possible toxic and side effects of JQ1. BET inhibitor treatment in HCC cell lines reduces cell migration by downregulating SMARCA4 (277). GS-5829 inhibits CLL cell proliferation and induces leukemia cell apoptosis by deregulating key signaling pathways such as BLK, AKT, ERK1/2, and MYC (278). BRD2 supports borderline activity and raises the possibility that pharmacological BET inhibitors may partially affect gene expression by interfering with regional borderline function (279). Disruption of negative autoregulation by BET inhibitor (BETi) leads to a marked increase in BCL6 transcription, which further activates the

mTOR signaling pathway by inhibiting tumor suppressor death-associated protein kinase 2 (123).

The effectiveness of BET-specific targeted inhibitors is often affected by tumor drug resistance (280). There is also an urgent need to address the issue of BET inhibitor resistance. Prostate cancer-associated SPOP mutations confer resistance to BET inhibitors by stabilizing BRD4 (281). Tumor-suppressive effects of SPOP in prostate cancer, where it acts as a negative regulator of BET protein stability, and also provides a molecular mechanism for resistance to BET inhibitors in individuals with prostate cancer carrying SPOP mutations (281). Prostate cancerassociated SPOP mutants display impaired binding to BET proteins, leading to reduced proteasomal degradation and accumulation of the protein in prostate cancer cell lines and patient specimens, and causing resistance to BET inhibitors (282). Transcriptomic and BRD4 enzymatic analysis revealed enhanced expression of GTPase RAC1 and cholesterol biosynthesis-related genes, and activation of AKT-mTORC1 signaling due to BRD4 stabilization (282). Resistance to BET inhibitors in SPOP-mutant prostate cancer can be overcome by combination with AKT inhibitors and further supports the evaluation of SPOP mutations as biomarkers to guide BET inhibitor-directed therapy in prostate cancer patients (282).

Although research on BET inhibitors is still a research focus, the combination use of BET inhibitors with other drugs is also being explored. BET inhibitors can be used in combination with other types of inhibitors in order to promote the therapeutic effect or reduce adverse reactions (283). For example, the combination of BET inhibitor I-BET762 and PARP inhibitor Talazoparib Synergy is used in the treatment of SCLC and has a synergistic effect (283). At the same time, a strategy of combined application of HDAC inhibitor and JQ1 inhibitor has shown good efficacy in the treatment of AML (284). Based on the combination drug strategy, dual-target inhibitors of HDAC and BET are also being developed, and have shown more significant

efficacy than single-target inhibitors in the treatment of pancreatic cancer (285). This multi-targeted drug can ensure the efficacy and durability of the anti-cancer effect, and this combination approach also reduces the possibility of tumor resistance (285). This provides a new scope of research for BET inhibitors in the treatment of tumors. BET and HDAC inhibitors are synergistic at reduced doses, suggesting a potential approach to avoid overlapping toxicities of the two drug classes (280). The combination of CPI-0610 with a PRAP inhibitor has been found to better address PRAP inhibitor resistance in ovarian cancer patients (286). It also proposes new therapeutic strategies to address PARP inhibitor resistance using drugs already approved or in clinical development that have the potential to rapidly transform and benefit a broad range of ovarian cancer patients (286) (Table 6).

#### **Future perspectives**

Tumor is currently the most troublesome problem in human life and seriously affects human health. The development of tumors is affected by many factors, including genetic factors and epigenetic factors (6). The development of tumor is the result of the joint

TABLE 6 Targets of BET inhibitors and related cancers.

Name	Targets	Cancer	References
Molibresib	BRD2, BRD3, BRD4	Hematological malignancies	(287)
ARV-771			
HJB97			
Birabresib	BRD2, BRD3, BRD4	Solid tumor	(288)
MS436	BRD4		
BRD4 D1- IN-2	BRD4		
AGB1			
JQ1		Prostate cancer,	(276, 289,
		Retinoblastoma,	290)
		Ovarian cancer	
I-BET762		SCLC, Pancreatic ductal	(283, 291,
		adenocarcinoma,	292)
		Hepatocellular carcinoma	
I-BET151	BRD4	Ovarian cancer,	(133, 293,
		Multiple myeloma,	294)
		MLL-fusion leukemia,	
CPI-0610		Multiple myeloma,	(286, 295)
		Ovarian cancer	
PFI-1		Prostate cancer	(296)
I-BET726		Human skin squamous cell	(297, 298)
		carcinoma, Neuroblastoma	
ABBV-744		Acute myeloid leukemia,	(299, 300)
11DD V = 744		Prostate cancer	(279, 300)

influence of many factors (6). Protein acetylation is at the junction of genetics, epigenetics and tumor microenvironment (9). Protein acetylation is affected by many aspects to promote the occurrence and development of tumors (9). For example, protein acetylation writer, eraser, and reader may be abnormally expressed (7). Regulatory factor or regulatory factors aberrantly promote tumorigenesis and are associated with multiple malignant phenotypes of tumors. The study of protein acetylation provides a deeper understanding of tumor-related mechanisms, facilitates the discovery of potentially effective biomarkers and therapeutic targets, and facilitates the discovery and application of therapeutic drugs (11). At the same time, it is beneficial to solve the drug resistance and recurrence of tumors. At the same time, we also emphasize the strengthening of these studies on protein acetylation in different cancers, combined with PPPM in clinical practice for the treatment of malignant tumors (301).

#### Conclusions

This review summarized current studies about the role of protein acetylation in tumors and related targeted therapy drugs, including the classification of protein acetylation, related regulators of protein acetylation, the pathological role of protein acetylation in tumors, and targeted proteins acetylated drugs. Protein acetylation affects various physiological functions of tumors and is therefore associated with tumor development and progression. Protein acetylation plays an important role in the link between cancer pathology and post-translational modifications. Therefore, protein acetylation plays an important role in tumor therapy. Drugs about protein acetylation have been extensively studied. Drugs targeting protein acetylation have promising applications in tumor therapy, and combined use with other pathway drugs is a potential therapeutic strategy.

#### **Author contributions**

JY collected and analyzed literature, and wrote the manuscript. CS participated in partial literature analysis. XZ conceived the concept, designed the manuscript, coordinated and critically revised manuscript, and was responsible for its financial supports and the corresponding works. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Continued

#### Glossary ACLY ATP- citrate lyase ACSS2 Acyl- CoA synthetase short- chain family member 2 Apoptosis inhibitor 5 Api5 ATAT-1 Alpha-tubulin N-acetyltransferase 1 BFT Bromodomain and extra-terminal BETi Bromodomain and extra-terminal inhibitor BRD2 Bromodomain 2 BRD3 Bromodomain 3 BRD4 Bromodomain 4 BRDT Bromodomain testis-specific protein CBFB Core-binding factor beta CBP CREB- binding protein CMA Chaperone-mediated autophagy CTCL Cutaneous T-cell lymphoma CTD C-terminal structure of RNA polymerase II Domain EMT Epithelial-to-mesenchymal transition ERalpha Estrogen receptor alpha Establishment of sister chromatid cohesion N-acetyltransferase 1 ESCO1 ESCO2 Establishment of sister chromatid cohesion N-acetyltransferase 2 Forkhead box-containing protein Fox O O subfamily GCN5 General control of amino acid synthesis protein 5 Н3 Histone 3 H3K14 Histone H3 lysine 14 H3K27 Histone H3 lysine 27 H3K27ac Histone H3 lysine 27 acetylation Histone H3 lysine 56 acetylation H3K56ac Н3К9 Histone H3 lysine 9 H3K9ac Histone H3 lysine 9 acetylation H4 Histone 4 H4K12 Histone H4 lysine 12 H4K16ac Histone H4 lysine 16 acetylation H4K20me1 Histone H4 lysine 20 mono-methylation H4K5 Histone H4 lysine 5 Histone acetyltransferase HAT HAT1 Histone acetyltransferase 1 HBO1 Histone acetyltransferase binding to ORC1 HBx Hepatitis B virus X protein HCC Hepatocellular carcinoma HDAC Histone deacetylase hnRNPA1 Heterogeneous nuclear ribonucleoprotein A1 HPE Hawthorn polyphenol extract Hsp70 Heat shock protein 70 HSPA5 Heat shock protein family A (Hsp70) member 5 HSPA8 Heat shock protein family A (Hsp70) member 8

IDH1

IL-6 IL-8 Isocitrate dehydrogenase 1

Interleukin- 6

Interleukin- 8

McTN	Microantenna
MOF	Males absent on the first
MOZ	Monocytic leukemia zinc finger protein
MSCs	Mesenchymal stem cells
mTOR	Mechanistic target of rapamycin kinase
Naa10	N-alpha-acetyltransferase 10
NAT1	Arylamine N-acetyltransferase 1
NF-PitNETs	Nonfunctional pituitary neuroendocrine tumor
NF-kB	Nuclear factor k-B
NSCLC	Non-small cell lung cancer
OCCC	Ovarian clear cell carcinoma
OS	Osteosarcoma
PA	Palmitic acid
PDH	Pyruvate dehydrogenase complex
PHD	Plant homeodomain-linked
PKM	Pyruvate kinase
PKM2	Pyruvate kinase M1/2
PRAP	Proline-rich acidic protein
PTM	Post-translational modification
RNR	Ribonucleotide reductase
SCLC	Small Cell Lung Cancer
Ses	Super-enhancers
SIRT1	Sirtuin 1
SIRT2	Sirtuin 2
SIRT3	Sirtuin 3
SIRT4	Sirtuin 4
SIRT5	Sirtuin 5
SIRT6	Sirtuin 6
snRNA	Small nuclearRNA
TAK1	TGF-β-activated kinase 1
TAT1	lpha-tubulin N- acetyltransferase 1
TCA	Tricarboxylic acid
Tip60	60 kDa Tat- interactive protein
TSC2	Tuberous sclerosis complex 2
TSC2	Tuberous sclerosis complex 2
TSS	Transcription start sites
YEATS2	YEATS domain containing 2
YEATS4	YEATS domain containing 4

Serine/threonine-protein acetyltransferase YopJ.

(Continued)

YopJ



#### **OPEN ACCESS**

EDITED BY
Tadashi Nakagawa,
Tohoku University, Japan

REVIEWED BY Yanfen Liu, ShanghaiTech University, China Fuminori Tokunaga, Osaka Metropolitan University, Japan

\*CORRESPONDENCE Xianquan Zhan yjzhan2011@gmail.com

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# Ubiquitination-mediated molecular pathway alterations in human lung squamous cell carcinomas identified by quantitative ubiquitinomics

Xianquan Zhan<sup>1,2\*</sup>, Miaolong Lu<sup>2,3</sup>, Lamei Yang<sup>2</sup>, Jingru Yang<sup>2</sup>, Xiaohan Zhan<sup>2,3</sup>, Shu Zheng<sup>2</sup>, Yuna Guo<sup>2</sup>, Biao Li<sup>2,3</sup>, Siqi Wen<sup>2,3</sup>, Jiajia Li<sup>2,3</sup> and Na Li<sup>1,2</sup>

<sup>1</sup>Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University and Shandong Academy of Medical Sciences, Jinan, China, <sup>2</sup>Medical Science and Technology Innovation Center, Shandong First Medical University, Jinan, China, <sup>3</sup>Key Laboratory of Cancer Proteomics of Chinese Ministry of Health, Xiangya Hospital, Central South University, Changsha, China

Abnormal ubiquitination is extensively associated with cancers. To investigate human lung cancer ubiquitination and its potential functions, quantitative ubiquitinomics was carried out between human lung squamous cell carcinoma (LSCC) and control tissues, which characterized a total of 627 ubiquitin-modified proteins (UPs) and 1209 ubiquitinated lysine sites. Those UPs were mainly involved in cell adhesion, signal transduction, and regulations of ribosome complex and proteasome complex. Thirty three UPs whose genes were also found in TCGA database were significantly related to overall survival of LSCC. Six significant networks and 234 hub molecules were obtained from the protein-protein interaction (PPI) analysis of those 627 UPs. KEGG pathway analysis of those UPs revealed 47 statistically significant pathways, and most of which were tumor-associated pathways such as mTOR, HIF-1, PI3K-Akt, and Ras signaling pathways, and intracellular protein turnover-related pathways such as ribosome complex, ubiquitin-mediated proteolysis, ER protein processing, and proteasome complex pathways. Further, the relationship analysis of ubiquitination and differentially expressed proteins shows that ubiquitination regulates two aspects of protein turnover - synthesis and degradation. This study provided the first profile of UPs and molecular networks in LSCC tissue, which is the important resource to insight into new mechanisms, and to identify new biomarkers and therapeutic targets/drugs to treat LSCC.

#### KEYWORDS

lung squamous cell carcinoma, ubiquitination, ubiquitinated protein, signaling pathway, ubiquitin-proteasome system, biomarker

#### Introduction

Lung squamous cell carcinoma (LSCC) is closely related to smoking (1), which is 25%-30% of non-small cell lung cancer (NSCLC), and >70% LSCC patients were diagnosed in late stage (2, 3), with high mortality (~0.4 million/year) in the world (4). Although the incidence has decreased due to tobacco control and changes in lifestyle in recent decades, LSCC still brings huge burdens to society (5). In terms of treatment, radical thoracic surgery is preferred for early-stage cases of LSCC; however, unfortunately the proportion of this type of patients is very small. Besides, chemotherapy and radiotherapy are also indispensable treatments, especially in advanced (or metastatic) LSCC subpopulation (6). Recent years, although some encouraging targeted drugs such as anaplastic lymphoma kinase inhibitors and epithelial growth factor receptor (EGFR) tyrosine kinase inhibitors have been used to significantly improve the therapy of late-stage lung adenocarcinoma (4), there is still lack of FDA-approved targeted therapy for advanced LSCC patients. For most patients with advanced LSCC, first-line standard chemotherapy is a 4-6-cycle platinum-based third-generation cytotoxic drug with an effective rate of 20%-30%. The median survival time (MST) is 8-10 months, the time to progression (TTP) is 3-5 months (7). In addition, LSCC still lacks universally accepted biomarkers for early diagnosis and prognostic evaluation. Obviously, the current predictive, preventive, and personalized medicine (PPPM) of LSCC is still unmet; therefore, it is necessary to clarify molecular mechanism and discover new biomarkers and therapeutic targets/drugs to manage LSCC.

Protein ubiquitination is an important molecular event, which covalently link the C-terminus of ubiquitin (8.5 kDa, 76

Abbreviations: ALK, anaplastic lymphoma kinase; AUC, area under the curve; BP, biological processes; CC, cellular components; CS, core subunit; DTT, dithiothreitol; E1, ubiquitin-activating enzyme; E2, ubiquitinconjugating enzyme; E3, ubiquitin ligase; EPC, edge percolated component; ER, endoplasmic reticulum; GO, gene ontology; IAP, immunoaffinity purification; ICAT, isotope-coded affinity tags; iTRAQ, isobaric tags for relative and absolute quantification; KEGG, Kyoto Encyclopedia of Genes and Genomes; LC, liquid chromatography; LSCC, lung squamous cell cancer; MCC, maximal clique centrality; MCODE, molecular complex detection; MF, molecular functions; MNC, maximum neighborhood component; MS/MS, tandem mass spectrometry; NSCLC, non-small-cell lung cancer; PMSF, phenylmethyl-sulfonyl fluoride; PPI, protein-protein interaction; PPPM, predictive, preventive and personalized medicine; PTM, post-translational modification; RACs, RS assembly chaperones; RQC, ribosome-associated quality control; RS, regulatory subunit; SILAC, isotope labels with amino acids in cell culture; TAC, tumor-adjacent control; TFA, Trifluoroacetic acid; TKI, tyrosine kinase inhibitors; TTP, time to progression; UPs, ubiquitinated proteins; 2D-DIGE, 2D fluorescence difference in-gel electrophoresis; 2D-PAGE, two-dimensional polyacrylamide gel electrophoresis.

amino acids, coded by four genes RPS27A, UBA52, UBC, and UBB) to the  $\epsilon$ -amino group at lysine residue in a protein (8, 9). Ubiquitination is a multi-step reaction process, which is catalyzed by enzymes E1, E2, and E3 (8). Protein ubiquitinations are classified into monoubiquitination that means one ubiquitin in one protein, multiubiquitination that means several ubiquitination sites in a protein and each ubiquitination site links one ubiquitin, and polyubiquitination that means a polyubiquitin chain to be added to lysine residues or N-terminus of the previous ubiquitin (10); and different types of ubiquitinations have different biological functions (11). Also, there are seven lysine residues in an ubiquitin, which make polyubiquitin chain more complicated. Moreover, ubiquitination process can be reversed, which is catalyzed by more than 100 deubiquitination enzymes (12). The reversible ubiquitination reaction regulates multiple biological processes including protein degradation (13), and associated with a wide range of diseases including cancers, and inflammatory diseases (14). Ubiquitin-proteasome system (UPS) is the important protein degradation pathway, which has important roles in tumorigenesis. Targeting the UPS system is the promising anti-tumor strategies. Thereby, several effective anti-tumor drugs targeting UPS have been approved by FDA, including bortezomib (15), carfilzomib (16), thalidomide, pomalidomide, and lenalidomide (17), for different cancer treatment. At present, LSCC still lacks specific early diagnostic biomarkers, and in addition to surgery, radiotherapy, and chemotherapy, there is lack of effective molecular therapeutic drugs. Therefore, the study of ubiquitinome might have important scientific merits for discovery of effective biomarkers and novel therapeutic targets/drugs for LSCC.

Liquid chromatography in combination with tandem mass spectrometry (LC-MS/MS) is an effective method to identify ubiquitination sites and quantify the abundance of ubiquitination (18). However, endogenous ubiquitination is low abundant event, it is necessary to enrich the ubiquitinated tryptic peptides prior to MS/MS analysis. The commercial anti-K-ε-GG antibodies is able to effectively enrich ubiquitinated tryptic peptides (19). For antibody-based enrichment of ubiquitinated tryptic peptides, it is the best to avoid any salt, acid, and basic factors to negatively impact this enrichment. Compared to the isotope-labeling quantitative proteomics such as iTRAQ (isobaric tags for relative and absolute quantification) and TMT (tandem mass tags) that introduce above interfered factors to negatively impact antibody-antigen reaction, label-free quantitative proteomics is a preferred approach to identify and quantify endogenous ubiquitination, which does not label the analyzed protein component in a proteome (20) but quantify the protein with area under the curve (AUC) and signal intensity in the MS spectrum, and spectral counting based on MS/MS analysis (21). Thus, anti-ubiquitin antibody in combination with label-free LC-MS/MS is an effective quantitative ubiquitinomics, which has identified up to 10,000

ubiquitination sites in an experiment (22). For lung cancer analysis, currently researchers mainly focused on the study on ubiquitinome of lung cancer cells (23, 24), and these studies were not based strictly on LSCC cell lines.

This study used anti-ubiquitin antibody coupled with label-free LC-MS/MS to detect, identify, and quantify ubiquitinated proteins (UPs) and ubiquitination sites in fresh human LSCC tissues compare to controls. Gene ontology (GO) enrichment analysis was used to determine the functional characteristics of UPs, and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analysis was used to mine the ubiquitination-involved pathway networks. This study provides the holistic profile of ubiquitination in LSCC tissue, and underscores ubiquitination may promote the occurrence and development of LSCC by affecting the intracellular protein turnover, and paving the way for further research on specific ubiquitination regulatory mechanisms.

#### Materials and methods

#### Tissue samples and protein preparation

Human LSCC tissues (n =5) and tumor-adjacent control (TAC) tissues (n=5) (Supplementary Table 1; these samples were at early stage of LSCC) were removed by thoracic surgery, and stored in -80°C, for protein extraction. A total of 750 mg LSCC tissues (n = 5; 150 mg per patient) and 750 mg TAC tissues (n=5; 150 mg per patient) derived from 5 patients were homogenized, after removal of blood contamination, in solution that included 2 M thiourea, 7 M urea, 1 mM phenylmethyl-sulfonyl fluoride (PMSF), and 100 mM dithiothreitol (DTT); followed by sonication (80 W, 10 s, and interval 15 s; 10 x), and centrifugation at 4°C (15,000xg, and 20 min). The supernatant was the extracted proteins, whose protein content was determined with Brandford assay.

# Preparation of tryptic peptides and enrichment of ubiquitinated tryptic peptides

The protein sample (LSCC; TAC) was reduced with final concentration of 10 mM DTT (37 °C, 1.5 h), and alkylated with final concentration of 50 mM iodoacetamide (30 min, dark). The mixture was diluted to 2 M uranyl acetate with 50 mM Tris-HCl (pH 8.0), follwed by addition of trypsin (1:50 for the ratio of trypsin to protein), and incubation (15-18 h; 37 °C) to digest the proteins. Then, the digestion reaction was stopped with addition of trifluoroacetic acid (TFA) to adjust pH  $\leq$  3. The tryptic peptide mixture was desalted, and lyophilized. The lyophilized

tryptic peptides were redisolved in 1.4 mL solution that contained 50 mM NaCl, 10 mM Na<sub>2</sub>HPO<sub>4</sub>, and 50 mM MOPS/NaOH pH 7.2, followed by addition of anti-K- $\epsilon$ -GG antibody beads [PTMScan ubiquitin remnant motif (K- $\epsilon$ -GG) kit, Cell Signal Technology], incubation (1.5 h, 4 °C), and centrifugation (30 s, 2,000×g). The beads with antibody-binding ubiquitinated peptides were washed for 3 times with 1 mL solution that contained 50 mM NaCl, 10 mM Na<sub>2</sub>HPO<sub>4</sub>, and 50 mM MOPS/NaOH pH 7.2, followed by washing with water for 3 times. The ubiquitinated tryptic peptides were eluted with 40  $\mu$ L 0.15% TFA, followed by centrifugation (30 s, 2,000×g), and desaltation with C18 STAGE Tips.

## LC-MS/MS analysis of enriched ubiquitinated peptides

The prepared ubiquitinated tryptic peptides were treated with a reverse-phase trap column (nanoViper C18, 2 cm x 100 µm), and then were separated in a reverse-phase analytical column (C18, 10 cm length x 75 µm i.d., and 3 µm resin) with buffers A (0.1% formic acid) and B (0.1% formic acid + 84% acetonitrile) for 2 h (flow-rate =300 nl/min) on the Q Exactive mass spectrometer (Thermo Scientific) to obtain MS/MS raw data. MaxQuant software (version 1.5.3.17) was used to search MS/MS data against human protein database uniprot\_human\_156639\_20170105.fasta, with database search parameters (4 missed cleavages, trypsin, 6 ppm for MS, 20 ppm for MS/MS, GlyGly at Lys, acetylation at protein N-term, oxidation at Met, and carbamidomethyl at Cys). The peptide was determined by MS/MS data with false discovery rate (FDR)  $\leq$ 0.01, ubiquitination site with FDR  $\leq$ 0.01, and protein with FDR ≤0.01. The abundance of ubiquitination was determined with MaxQuant label-free calculation based on peptide intensity and peptide counting.

#### Bioinformatics and statistical analysis

The functional characteristics of the identified UPs, including biological processes (BPs), molecular functions (MFs), and cellular components (CCs) were analyzed with DAVID software (version 6.8, https://david.ncifcrf.gov/) (25). Ubiquitination-mediated signaling pathways were analyzed with the KEGG tool KOBAS (http://kobas.cbi.pku.cn) (26). The protein-protein interaction (PPI) networks that UPs are involved in were analyzed with STRING software (https://string-db.org/; version 10.0) (27), with statistical significance of a combined score > 0.4, in the Cytoscape program (version 3.6.0) (28). Also, molecular complex detection (MCODE) in the Cytoscape program was used to identify significant modules in

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the PPI networks with cutoff values (max depth=100, node score=0.2, degree=2, k-score=2, and MCODE score>5) (29). The hub nodes of PPI network were determined with cytoHubba (version 0.1) in Cytoscape package through 6 different topological algorithms (30), including Maximal Clique Centrality (MCC; cutoff score=100 with this node that had at least 5 molecules linked), Maximum Neighborhood Component (MNC; cutoff score=10 with this node that had at least 5 molecules linked), Degree (cutoff score=10 with this node that had at least 5 molecules linked), Edge Percolated Component (EPC; cutoff score=10 with this node that had at least 5 molecules linked), Closeness (cutoff=150 with this node that had at least 5 molecules linked), and Raliality (cutoff score=5 with this node that had at least 5 molecules linked). The final hub nodes were identified with overlapped analysis of six sets of hub nodes that were derived from 6 topological algorithms. The transcriptomic data (level 2 count data) and corresponding clinical survival data of LSCC patients were obtained from TCGA database with R-languages "RTCGA.mRNA" (version 1.12.0) and "RTCGA.clinical" (version 20151101.14.0), respectively. The survival analysis was performed with R-languages "survival" (version 2.44-1.1) and "survminer" (version 0.4.6).

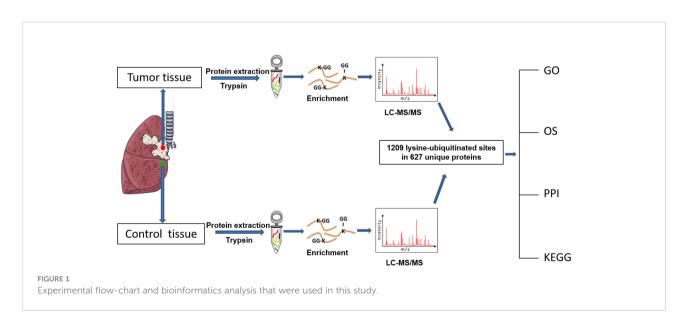
The overall flow-chart to identify and analyze the ubiquitination profile and the corresponding functional characteristics in LSCC was summarized (Figure 1).

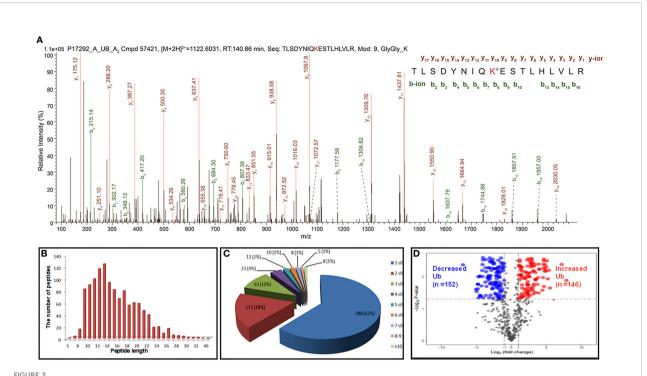
#### Results

#### Ubiquitination profile in human LSCC

In total, 627 UPs and 1209 ubiquitination sites were identified with MS/MS data, which referred to 1086 ubiquitinated tryptic

peptides, in human LSCC tissues (Supplementary Table 2). For example, the MS/MS spectrum of tryptic peptide <sup>55</sup>TLSDYNIQK\*ESTLHLVLR<sup>72</sup> ([M+2H]<sup>2+</sup> m/z =1122.6031, RT = 140.86 min) was derived from 40S ribosomal protein S27a (RPS27A; P62987) (Figure 2A), with high S/N (signal to noise) ratio, excellent y-ion (y<sub>1</sub>-y<sub>17</sub>) and b-ion (b<sub>2</sub>-b<sub>10</sub>, b<sub>13</sub>-b<sub>16</sub>) series, localization of ubiquitination site at residue K<sub>63</sub>, and a decreased ubiquitination level at residue K63 (Ratio T/N = 0.425; P=0.0529) in LSCCs compared to TAC controls (Supplementary Table 2). In addition, another six ubiquitination sites were also identified in this RPS27A (P62987) in LSCC tissues compare to TAC tissues, including ubiquitinations at residues K6 (Ratio T/N = 0.271; P=5.07E-03), K11 (Ratio T/N=0.496; P=1.28E-02), K27 (Ratio T/ N=3.812; P=4.53E-05), K29 (Ratio T/N=0.933; P=8.55E-01), K33 (Ratio T/N=2.903; P=3.75E-04), and K48 (Ratio T/N=0.839; P=5.47E-01) (Supplementary Table 2). It clearly demonstrated that 7 ubiquitination sites were identified in this RPS27A, with decreased ubiquitination levels at residues K6, K11, K29, K48, and K63 (of them, significantly decreased level at residues K6 and K11), and significantly increased ubiquitination levels at residues K27 and K33. This is an interesting result, because, in the same protein, the ubiquitination level at some Lys sites were decreased (K6, K11, K29, K48, and K63), and whereas the ubiquitination levels at some Lys sites were increased (K27 and K33). This phenomenon might be easily explained from the angle of proteoforms encoded by the same gene RPS27A. Another reason might be due to the kinetics change of ubiquitin linkages in LSCC because there are four ubiquitinencoding genes, including UBB, UBC, UBA52 (which encodes RPL40), and UBA80 (also known as RPS27A; RPS27A encodes a fusion protein consisting of ubiquitin at the N terminus and ribosomal protein S27a at the C terminus), and all of which produce the identical ubiquitin protein; if S27a protein does not change, but the ubiquitin chain linking pattern increases or decreases, it is not due to the RPS27A gene alone; thereby thus





Representative MS/MS spectra and holistic characteristics of ubiquitination sites in LSCC. (A) The identified ubiquitinated peptide 

55TLSDYNIQK\*ESTLHLVLR<sup>72</sup> from 40S ribosomal protein S27a (RPS27A, P62987). K\* = ubiquitinated lysine residue. (B) The length distribution of identified ubiquitinated peptides. (C) Pie-chart demonstrating the number of ubiquitination sites per protein. (D) Volcano plot demonstrated the changes in the degree of ubiquitination at a special lysine site among 642 ubiquitination sites (T+/N+) according to the fold change and p value between the LSCC and control groups. X axis: the log of the fold change between the two conditions (log base 2). Y axis: the negative log of the p value (log base 10). Red dot indicates that the degree of ubiquitination of the site is significantly increased (Ratio T/N>2.0; p<0.05) and blue indicates significantly decreased (Ratio T/N < 0.5; p<0.05).

results in the alteration of ubiquitin ligation dynamics mediated by ubiquitin ligases in LSCC.

For 1086 ubiquitinated tryptic peptides, their lengths were in the range of 5-40 amino acids (Figure 2B). Analysis of the number of ubiquitination sites in human LSCC UPs found that the number of putative ubiquitination sites per protein was arranged from 1 to 15. A total of 388 (388/627 = 62%) UPs had only one ubiquitination site, 18% (111/627) UPs had two sites, 10% (63/627) UPs had three sites, 4% (23/627) UPs had four sites, and 7% (42/627) UPs had five sites (Figure 2C). A total of 1133 (1133/1209 = 93.7%) ubiquitination sites had quantitative information, including 642 (642/1133 = 56.7%) sites with T+/N+, 395 (395/1133 = 34.9%) with T+/N-, and 96 (96/1133 = 8.4%) with T-/N+; and among these 1133 sites, 699 (61.7%) sites had increased ubiquitination levels and 434 (38.3%) sites had decreased ubiquitination levels in LSCC compared to TAC tissues. Another 76 (76/1209 = 6.3%) sites did not have quantitative information between LSCC and TAC tissues. Here showed the distribution of intensity changes of these 642 ubiquitination sites (T+/N+), including 146 sites had significantly increased ubiquitination levels (Ratio T/N >2; p<0.05), and 152 sites had significantly decreased ubiquitination levels (Ratio T/N <0.5; p<0.05) (Figure 2D), the corresponding detailed information was listed in Supplementary Table 2.

### Functional characteristics of indentified UPs in LSCC

The functional characteristics of 627 UPs were enriched with GO analysis, including BPs, MFs, and CCs. For BP analysis, UPs were mainly enriched in cellular process (258 UPs), metabolic process (147 UPs), biological regulation (100 UPs), and localization (85 UPs) (Figure 3A). For MF analysis, UPs were mainly enriched in binding activity (222 UPs), catalytic activity (180 UPs), transporter activity (44 UPs), structural molecule activity (38 UPs), molecular function regulator (26 UPs), and transcription regulator activity (16 UPs) (Figure 3B). For CC analysis, UPs were mainly enriched in cell (258 UPs), organelle (134 UPs), protein-containing complex (50 UPs), membrane (38 UPs), extracellular region (17 UPs), and cell junction (13 UPs) (Figure 3C).

These BPs, MFs, and CCs were further grouped into 7 functional clusters (Supplementary Table 3), including cell adhesion, multiple signaling pathways that were closely related to tumorigenesis, such as Wnt signaling, tumor necrosis factor-mediated signaling, NF-kappa B signaling, assembly and functional regulation of proteasome, assembly and functional

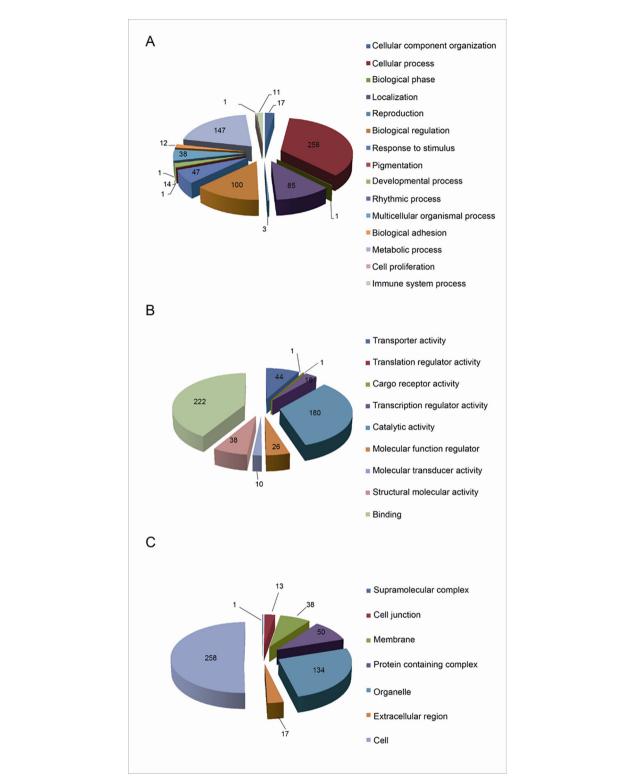


FIGURE 3
Functional characteristics of 627 Ups identified with GO enrichment analysis. (A) The BP profile of UPs. (B) The MF profile of UPs. (C) The CC profile of UPs.

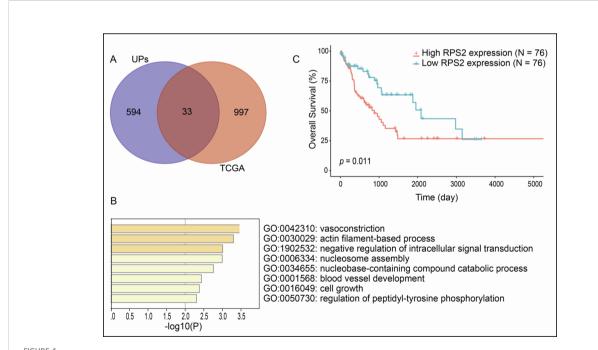
regulation of ribosome, haptoglobin hemoglobin complex, DNA damage recognition, and hemoglobin complex.

Survival-related UPs in LSCC

A total of 1030 overall survival-related genes were obtained from LSCC data in TCGA database (Supplementary Tables 4-6). A total of 33 overlapped molecules (UPs; survival-related genes) were obtained when overlapping analysis was performed between the identified 627 UPs and 1030 overall survivalrelated genes (Figure 4A), which showed that those 33 overlapped molecules were survival-related UPs. GO enrichment analysis of these 33 survival-related UPs showed that these UPs were significantly involved in different BPs, and were closely related to tumorigenesis, including cell growth, blood vessel development, and regulation of intracellular signal transduction (Figure 4B). Among them, the higher expressions of 11 overlapped molecules (UPs; survival-related genes), including SPG20, PFN2, SET, CBX1, HISTIH1B, DSC3, ENO1, NONO, HISTIH4H, ACTA2 and PGK showed a better prognosis, which suggested their protective effects; while the higher expressions of 22 overlapped molecules (UPs; survivalrelated genes), including PRKCKBP, DDX5, ATP11A, EPB2, RPS2, ITGB1, TANK, GPRC5A, TRIM47, MVP, RNF213, SAP18, HM13, ICAM1, SLC16A4, LRSAM1, TM9SF3, CALD1, SYNPO, CLTB, CA1 and GJA5 demonstrated a worse prognosis, which suggested their tumor-promoting effects. Here showed the overall survival curve of RPS2 (Figure 4C), and RPS2 was further identified as a hub molecule.

#### Ubiquitination-mediated molecular networks and network-based hub molecules derived from 627 UPs in LSCCs

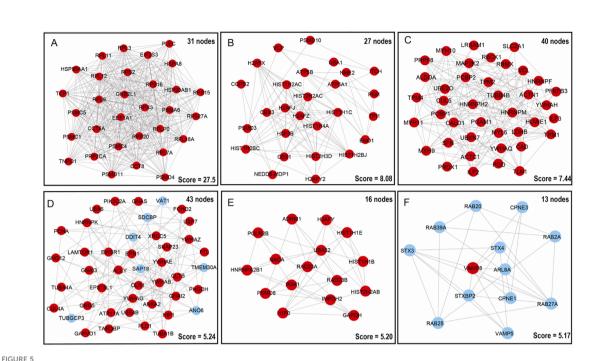
To deeper understand cellular processes regulated by ubiquitination in LSCC, 627 UPs were input into STRING online software, 457/627 UPs were legible for PPI network analysis, which identified 6 significantly molecular networks (Figures 5A-F and Supplementary Table 7). The functional analyses of genes within each network were carried out with DAVID, which found that network 1 (31 nodes and MCODE score=27.55) was mainly involved in multiple proteasome and ribosomal subunits to regulate UPS and ribosome large subunits assembly, translation, protein processing, and intracellular signaling pathway; network 2 (27 nodes and MCODE score=8.08) was mainly involved in intranuclear regulation of gene expression; network 3 (40 nodes and MCODE score=7.44) was mainly referred to cell movement; network 4 (43 nodes and MCODE score=5.24) mainly participated in cell adhesion and membrane organization; network 5 (16 nodes and MCODE score=5.20) did not have significant biological processes; and



Overall survival-related UPs identified with overlapping analysis between 627 UPs and survival-related genes derived from TCGA database.

(A) Venn diagram showed 33 molecules were associated with prognosis. (B) The biological processes involved in these prognostic related molecules. (C) Overall survival curve of RPS2.

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Molecular interaction modules and hub nodes based on PPI molecular networks of 627 UPs. (A—F) Six molecular interaction modules that meet screening criteria derived from the entire UPs PPI network. Red nodes represented the hub molecules. Blue node means it was not recognized as hub molecule due to low score.

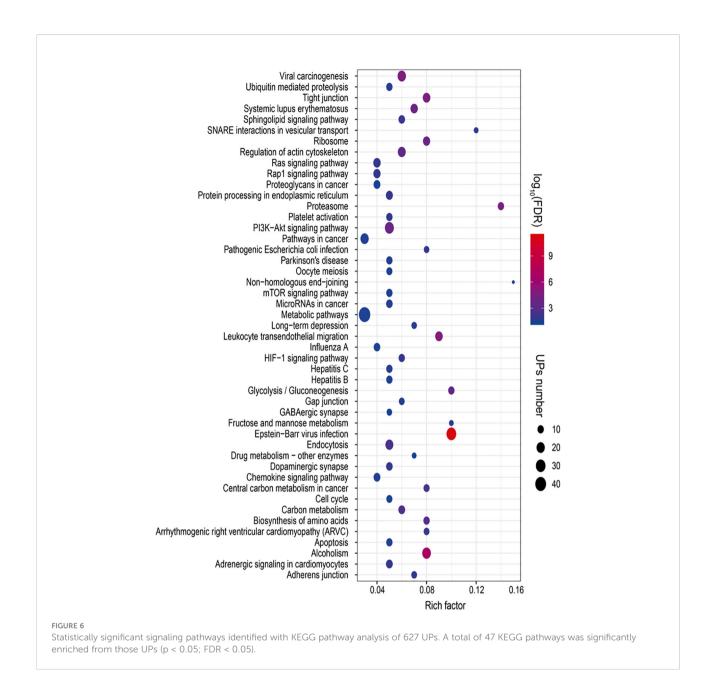
network 6 (13 nodes and MCODE score=5.17) played important roles in protein transport and small GTPase-mediated signal transduction (Supplementary Table 8).

Moreover, 627 UPs were input into cytoHubba to determine hub molecules with 6 different topological algorithms, respectively; which identified 263 hub molecules with MCC, 232 with MNC, 268 with Degree, 278 with EPC, 347 with Closeness, and 334 with Raliality (Supplementary Table 9). Overlapped analysis of these six sets of hub molecules finally obtained 234 hub molecules (Supplementary Table 9). These finally determined 234 hub molecules were clustered into 5 functional categories, including cell-cell adhesion, ribosome-related biological process, proteasome-related biological process, cellular signaling pathways, and cellular energy metabolism (Supplementary Table 10). Interestingly, these results were also consistent with those results of GO enrichment analysis of 627 UPs (Supplementary Table 3).

## Ubiquitination-mediated signaling pathways in LSCC

To reveal ubiquitination-mediated signaling pathway changes in LSCCs, 47 statistically significant pathways were revealed with KEGG pathway analysis of 627 UPs (Figure 6 and Supplementary Table 11). Multiple signaling pathways were

cancer-related pathways, including (i) ubiquitin-mediated proteolysis (10 UPs, and FDR =0.0184), ribosome complex (14 UPs, and FDR<0.01), ER-mediated protein processing (13 UPs, and FDR<0.01), proteasome complex (12 UPs, and FDR<0.01), and biosynthesis of amino acids (10UPs, and FDR<0.01), which was intracellular protein turnover-related pathways, and might disturb the synthesis-degradation balance of protein in tumorigenesis; (ii) PI3K-Akt signaling pathway (25 UPs, and FDR < 0.01), Ras signaling pathway (15 UPs, and FDR < 0.01), mTOR signaling pathway (10 UPs, and FDR = 0.03), HIF-1 signaling pathway (10 UPs, and FDR < 0.01), cell cycle (9 UPs, and FDR = 0.03), and apoptosis pathway (10 UPs, and FDR = 0.02), which might function in tumorigenesis; (iii) glycolysis/ gluconeogenesis (10 UPs, and FDR<0.01), carbon metabolism (12 UPs, and FDR<0.01), central carbon metabolism in cancer (9 UPs, and FDR<0.01), Fructose and mannose metabolism (5 UPs, and FDR=0.019), metabolomic pathways (46 UPs, and FDR=0.031), drug metabolism-other enzymes (5 UPs, and FDR=0.0457), and sphingolipid signaling pathway (11 UPs, and FDR<0.01), which were obviously metabolism-related pathways; (iv) Tight junction (16 UPs, and FDR<0.01), adherens junction (8 UPs, and FDR=0.0126), gap junction (8 UPs, and FDR<0.01), and non-homologous end-joining (3 UPs, and FDR<0.0356), which were involved in cell adherens and junction; (v) endocytosis (18 UPs, and FDR<0.01), and SNARE interactions in vesicular transport (5 UPs, and FDR=0.0118).



which might be substance transport-related pathways; (vi) dopaminergic synapse (11 UPs, and FDR=0.0115), and GABAergic synapse (7 UPs, and FDR=0.0415), which function in synapse pathway; and (vii) others pathways such as chemokine signaling pathway (12 UPs, and FDR=0.0287), Rap1 signaling pathway (14 UPs, and FDR=0.0124), proteoglycans in cancer (12 UPs, and FDR=0.0466), pathways in cancer (20 UPs, and FDR=0.0287), microRNAs in cancer (11 UPs, and FDR=0.0208), leukocyte transendothelial migration (16 UPs, and FDR<0.01), regulation of actin cytoskeleton (18 UPs, and FDR<0.01), and platelet activation (10 UPs, and FDR=0.0129). These ubiquitination-mediated cancer-related signaling pathways clearly demonstrated that ubiquitination

played important roles in LSCC pathophysiological processes, and that ubiquitination was not only involved in the synthesis-degradation process of protein, but also in other multiple cancer-related signaling pathways.

As described in Table 1, multiple proteins are decreased or increased in LSCC tissues relative to control tissues, which might be due to the alteration of ubiquitination-mediated protein synthesis-degradation system. (i) Ribosome, the central site for protein synthesis or translation, is a dense ribonucleoprotein particle that includes two subunits (large and small), and each subunit contains one rRNA and many different protein molecules. The small subunit is responsible for sequence-specific recognition of the template mRNA, such as the

TABLE 1 Differentially expressed proteins with significantly ubiquitination alterations in LSCC tissues compared to controls.

Accession No.	sion Gene Protein name Ubiquitinated peptides name		Ubiquitinated peptides	Ubiquitinated positions	Differentially ubiquitinated Sites Ratio (T/N)	Differentially expressed protein Ratio (T/N)
A0A024R1N1	МҮН9	Myosin, heavy polypeptide 9, non- muscle, isoform CRA_a	DYVQK*AQTK	403	6.391	1.66
			VK*PLLQVSR	835	8.094	
			VAAYDKLEK*TK	1413	T+/N-	
			K*AGKLDPHLVLDQLR	679	T+/N-	
A0A024R3E3	APOA1	Apolipoprotein A-I, isoform CRA_a	DYVSQFEGSALGK*QLNLK	64	0.039	4.80
			VSFLSALEEYTK*K*	263	T-/N+	
			VSFLSALEEYTK*K	262	T-/N+	
			VQPYLDDFQKK*	131	T-/N+	
A0A024RAY2	KRT18	Keratin 18, isoform CRA_a	NLK*ASLENSLR	317	9.286	0.52
A0A087WUZ3		Spectrin, beta, erythrocytic (Includes spherocytosis, clinical type I) variant (Fragment)	IHCLENVDK*ALQFLK	118	T-/N+	0.68
A0A0D9SGC1	MYO6	Unconventional myosin-VI	SLDSYPVTSK*NDGTRPK	1045	T-/N+	0.24
A0A0G2JIW1	HSPA1B	Heat shock 70 kDa protein 1B	LIGDAAK*NQVALNPQNTVFDAK	57	2.302	0.62
			AMTK*DNNLLGR	452	3.120	
			MVQEAEK*YKAEDEVQR	525	3.486	
			YK*AEDEVQR	527	3.554	
			RK*ELEQVCNPIISGLYQGAGGPGPGGFGAQGPK*	598	8.518	
			QATK*DAGVIAGLNVLR	160	10.868	
			ANK*ITITNDK	501	14.562	
			K*FGDPVVQSDMK	78	T+/N-	
			VLDK*CQEVISWLDANTLAEKDEFEHK	574	T+/N-	
A0A0S2Z3S6	СҮВВ	Cytochrome b-245 beta polypeptide isoform 1 (Fragment)	VVITK*VVTHPFK	299	T+/N-	1.64
A8K287	SNAP23	Synaptosomal- associated protein	TITMLDEQK*EQLNR	49	T+/N-	0.60
B2R5T5	PRKAR1A	Protein kinase, cAMP-dependent, regulatory, type I, alpha (Tissue specific extinguisher 1), isoform CRA_a	VSILESLDK*WER	261	0.086	0.43
B2R6J2	EZR	Ezrin	FGDYNK*EVHK	139	0.151	0.33
D9YZU5	HBD	Delta globin	K*VLGAFSDGLAHLDNLK	67	0.032	1.42
			VHLTPEEK*SAVTALWGK	9	0.052	
			FFESFGDLSTPDAVMGNPKVK*	62	0.056	
			GTFATLSELHCDK*LHVDPENFR	96	0.096	

TABLE 1 Continued

Accession Gene Protein n No. name		Protein name	Ubiquitinated peptides	Ubiquitinated positions	Differentially ubiquitinated Sites Ratio (T/N)	Differentially expressed protein Ratio (T/N)
			VVAGVANALAHK*YH	145	0.165	
			VLGAFSDGLAHLDNLK*GTFATLSELHCDK	83	T-/N+	
			FFESFGDLSTPDAVMGNPK*VK	60	T-/N+	
G3V1N2	HBA2	HCG1745306, isoform CRA_a	TYFPHFDLSHGSAQVK*GHGK	25	0.045	0.64
			MFLSFPTTK*TYFPHFDLSHGSAQVK	9	0.142	
O95864	FADS2	Fatty acid desaturase 2	EVSVPTFSWEEIQK*HNLR	28	28.124	0.65
P00915	CA1	Carbonic anhydrase	HDTSLK*PISVSYNPATAK	46	0.078	7.70
			TSETK*HDTSLKPISVSYNPATAK	40	T-/N+	
			ASPDWGYDDK*NGPEQWSK	11	T-/N+	
P04075	ALDOC	Fructose- bisphosphate aldolase	YASICQQNGIVPIVEPEILPDGDHDLK*R	200	3.801	1.60
P04075	ALDOA	Fructose- bisphosphate aldolase A	VDK*GVVPLAGTNGETTTQGLDGLSER	111	3.837	0.63
P04083	ANXA1	Annexin A1	AAMK*GLGTDEDTLIEILASR	128	0.388	1.58
			CATSK*PAFFAEK	274	6.312	
			DLAK*DITSDTSGDFR	166	T+/N-	
P04406	GAPDH	Glyceraldehyde-3- phosphate dehydrogenase	TVDGPSGK*LWR	194	2.669	6.10
			GALQNIIPASTGAAK*AVGK	215	3.215	
			VVK*QASEGPLK	263	3.450	
			VIHDNFGIVEGLMTTVHAITATQK*TVDGPSGK	186	25.358	
			AGAHLQGGAK*R	117	T+/N-	
P04792	HSPB1	Heat shock protein beta-1	DGVVEITGK*HEER	123	30.905	5.80
			AQLGGPEAAK*SDETAAK	198	T+/N-	
P04899	GNAS	Guanine nucleotide- binding protein G(s) subunit alpha isoforms Xlas	LLLLGAGESGK*STIVK	46	T+/N-	9.50
P06454	PTMA	Prothymosin alpha	SDAAVDTSSEITTK*DLK	15	T+/N-	5.60
P06576	ATP5B	ATP synthase subunit beta, mitochondrial	VLDSGAPIK*IPVGPETLGR	133	T+/N-	2.68
P06702	S100A9	Protein S100-A9	TCK*MSQLER	4	0.172	3.18
			LGHPDTLNQGEFK*ELVR	38	T+/N-	
P08069	IGF1R	Insulin-like growth factor 1 receptor	VAIK*TVNEAASMR	1033	T+/N-	5.50
P08670	VIM	Vimentin	QQYESVAAK*NLQEAEEWYK	282	0.129	2.40
			K*VESLQEEIAFLK	223	0.169	
			SK*FADLSEAANR	294	0.204	
			RQVDQLTNDK*AR	168	0.210	
			TLLIK*TVETR	445	0.258	
			FLEQQNK*ILLAELEQLKGQGK	129	0.293	
			ETNLDSLPLVDTHSK*R	439	0.361	

TABLE 1 Continued

Accession No.	Gene name	Protein name	Ubiquitinated peptides	Ubiquitinated positions	Differentially ubiquitinated Sites Ratio (T/N)	Differentially expressed protein Ratio (T/N)
			RQVQSLTCEVDALK*GTNESLER	334	0.363	
			LREK*LQEEMLQR	188	0.406	
			ILLAELEQLK*GQGK	139	0.491	
P08670	DES	Mutant desmin	K*LLEGEESR	402	0.264	0.50
P09936	UCHL1	Ubiquitin carboxyl- terminal hydrolase isozyme L1	MQLK*PMEINPEMLNK	4	16.321	0.58
			CFEK*NEAIQAAHDAVAQEGQCR	135	T+/N-	
P14735	IDE	Insulin-degrading enzyme	EVNAVDSEHEK*NVMNDAWR	192	0.039	1.86
P16070	CD44	CD44 antigen	SQEMVHLVNK*ESSETPDQFMTADETR	715	4.018	6.80
P16152	CBR1	Carbonyl reductase [NADPH] 1	ALK*SCSPELQQK	148	T+/N-	5.80
P20700	LMNB1	Lamin-B1	K*QLADETLLK	182	T+/N-	0.47
			IESLSSQLSNLQK*ESR	312	T+/N-	
P27708	CAD	CAD protein	NK*SELLPTVR	1325	7.916	1.73
			DDQLK*VIECNVR	1211	T+/N-	
			LSSFVTK*GYR	1411	T+/N-	
			LGPGK*GEVRPELGSR	1657	T+/N-	
P29353	SHC1	SHC-transforming protein 1	DLFDMK*PFEDALR	462	T+/N-	1.79
P31946	YWHAB	14-3-3 protein beta/ alpha	VISSIEQK*TER	70	0.045	0.60
P46734	MAP2K3	Dual specificity mitogen-activated protein kinase kinase 3	ATVNSQEQK*R	105	T+/N-	0.74
P48507	GCLM	Glutamate-cysteine ligase regulatory subunit	EFPDVLECTVSHAVEK*INPDER	80	T+/N-	2.61
P62249	RPS16	40S ribosomal protein S16	LLEPVLLLGK*ER	60	T+/N-	0.47
P63218	GNG5	Guanine nucleotide- binding protein G (I)/G(S)/G(O) subunit gamma-5	SGSSSVAAMKK*	12	T-/N+	4.70
P67936	TPM4	Tropomyosin alpha- 4 chain	AGLNSLEAVK*R	11	T+/N-	3.00
P68104	EEF1A1	Elongation factor 1- alpha 1	AAGAGK*VTK	450	26.878	0.61
			QTVAVGVIK*AVDKK	439	108.563	
			QLIVGVNK*MDSTEPPYSQK	154	T+/N-	
			FEK*EAAEMGK	44	T+/N-	
			VETGVLK*PGMVVTFAPVNVTTEVK	273	T+/N-	
			KLEDGPK*FLK	392	T+/N-	
P98172	EFNB1	Ephrin-B1	AAALSLSTLASPK*GGSGTAGTEPSDIIIPLR	289	T+/N-	2.38
Q12965	MYO1E	Unconventional myosin-Ie	DIILQSNPLLEAFGNAK*TVR	160	T+/N-	0.61
Q4W4Y1	DRIP4	Dopamine receptor interacting protein 4	MK*QSNNEANLR	640	2.767	1.49

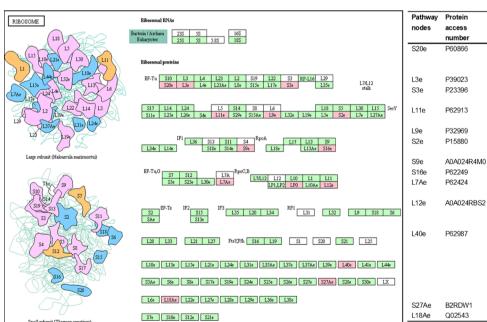
TABLE 1 Continued

Accession No.	Gene name	Protein name	Ubiquitinated peptides	Ubiquitinated positions	Differentially ubiquitinated Sites Ratio (T/N)	Differentially expressed protein Ratio (T/N)
Q6IA69	NADSYN1	Glutamine- dependent NAD(+) synthetase	HK*MTTLTPAYHAENYSPEDNR	649	T+/N-	4.20
Q6IBN1	HNRPK	HNRPK protein	IILDLISESPIK*GR	219	85.542	4.70
			HESGASIK*IDEPLEGSEDR	422	T-/N+	
			ILLQSK*NAGAVIGK	52	T+/N-	
			LLIHQSLAGGIIGVK*GAK	163	T+/N-	

The symbol \* means  $K^*$  = ubiquitinated lysine residue. T+/N- means that ubiquitination occurred in tumors but not in controls. T-/N+ means that ubiquitination occurred in tumors.

recognition of the initial part, the interaction of codon with anticodon, and the binding site of mRNA is also on this subunit. The large subunit is responsible for carrying amino acids and tRNAs, including peptide bond formation, and peptidyl-RAN binding. In this study, 7 UPs [ribosomal proteins L3e (P39023), L11e (P62913), L9e (P32969), L7Ae (P62424), L40e (P62987), L18Ae (Q02543), and L12e (A0A024RBS2)] were identified in large subunit with significantly increased ubiquitination level in ribosomal proteins L11e, L12e, L18Ae, and L40e in LSCC tissues relative to controls, and 6 UPs [ribosomal proteins S20e (P60866), S3e (P23396), S2e (P15880), S16e (P62249),

S27Ae (B2RDW1), and S9e (A0A024R4M0)] in small subunit with significantly increased ubiquitination level in ribosomal proteins S3e, S9e, S16e, and S27e in LSCC tissues relative to controls (Figure 7). (ii) Endoplasmic reticulum (ER) is the cellular organelle, and is responsible for the assembly of multisubunit proteins, formation of proper conformation of protein, protein secretion, lipid biosynthesis, and calcium homeostasis. The correctly folded proteins are transited into the Golgi complex. ER stress occurs under normal or pathophysiological conditions, which can lead to accumulation of misfolded proteins in the ER lumen to restore the correct fold. Proteins



Pathway	Protein	Modified	Ratio	
nodes	access	sites	(T/N)	
	number			
S20e	P60866	K4	0.82	
		K8	0.95	
		K34	1.34	
L3e	P39023	K300	NA*	
S3e	P23396	K214	5.03	
		K202	T+/N-	#
L11e	P62913	K38	T+/N-	#
		K52	T+/N-	#
L9e	P32969	K21	0.58	
S2e	P15880	K58	1.4	
		K275	2.21	
S9e	A0A024R4M0	K139	10.7	#
S16e	P62249	K60	T+/N-	#
L7Ae	P62424	K75	0.65	
		K48	2.33	
L12e	A0A024RBS2	K38	2.35	
		K77	T+/N-	#
		K106	T+/N-	#
L40e	P62987	K6	0.270	#
		K11	0.490	#
		K33	2.900	#
		K27	3.810	#
		K63	0.420	
		K48	0.840	
		K29	0.940	
S27Ae	B2RDW1	K113	T+/N-	#
L18Ae	Q02543	K136	2.27	#

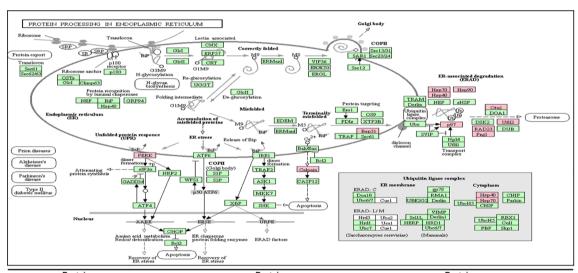
FIGURE 7

Ubiquitination-mediated ribosome pathway. Pink = the identified ubiquitinated subunits. Green=non-ubiquitinated subunits.  $K^*$  = ubiquitinated lysine residue. Red indicates the degree of ubiquitination of this site is upregulated, and blue indicates downregulated. Ratio(T/N) = Ratio of tumor to control. T+/N- = ubiquitination only in tumor.  $NA^*$  means no quantitative information in both tumor and control. # means statistically significantly altered ubiquitination level in tumor compared to controls.

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that ultimately failed to recover to normal folding were transported to the proteasome for ubiquitination-mediated degradation, which is named as ER-associated degradation (ERAD) (31). Accumulation of misfolded proteins can also lead to ER stress and ultimately lead to the activation of a range of signaling pathways, which was called UPR (unfolded protein response). In the case of severely damaged cells, UPR is insufficient to restore the function of ER, when the cells undergo apoptosis. In this study, 13 UPs [EIF2AK2 (P19525), CAPN1 (P07384), BCAP31 (P51572), EL52 (K9JA46), YOD1 (Q5VVQ6), UBE4B (O95155), RAD23B (P54727), NGLY1 (Q96IV0), VCP (P55072), HSPA8 (P11142), DNAJB1 (P25685), HSP90AB1 (A0A024RD80), and RAD23A (A0A024R7G8)] with 39 ubiquitination sites were identified in protein ER processing pathway, wth significantly decreased ubiquitination levels in proteins YOD1, and VCP (K8, K18, K109, K389, K651, K658, and K668), and with significantly increased ubiquitination levels in proteins BCAP31, EL52, RAD23B, VCP(K217, and K614), HSPA8, and DNAJB1

(Figure 8). (iii)Ubiquitination was multiple enzymatic reaction process that requires enzymes E1, E2, and E3. In this study, 9 Ups, including six E3s [UBE4B (O95155), CUL5 (Q93034), ITCH (Q96J02), CUL4A (Q13619), PML (P29590), and HUWE1 (A0A024R9W5)], two E2s [UBE2O (Q9C0C9), and UBE2N (P61088)], and one E1 [UBE1 (A0A024RDB0)], were identified, with significantly decreased ubiquitination levels in E2 UBE2N, and with significantly increased ubiquitination levels at E3s ITCH, HUWE1, and CUL4A, in LSCC tissues relative to controls (Figure 9). For these six E3s, HUWE1 and ITCH were HECT type E3, UBE4B were U-box type E3, PML was single RING-finger type E3, and CUL5 and CUL4A were multi subunit RING-finger type E3. (iv) Proteasome is the crucial machine to response for ubiquitin-mediated proteolysis, which includes one 20S core, one 19S regulatory lid (PA700), and one 19S regulatory base (PA700) in the 26S proteasome complex. The 20S core particle provides an enclosed cavity where proteins are degraded. This present study identified 7 UPs (Rpn13, and Rpt 1-6) in PA700 (Base) with significantly increased ubiquitination levels



Pathway nodes	Protein access number	Modified sites	Ratio (T/N)	Pathway nodes	Protein access number	Modified sites	Ratio (T/N)	Pathway nodes	Protein access number	Modified sites	Ratio (T/N)
PERK	P19525	K408	NA*			K668	0.03 #			K583	2.04 #
Calpain	P07384	K359	NA*			K109	0.04 #			K524	2.55 #
Bap31	P51572	K138	T+/N- #			K389	0.04 #			K531	5.34 #
		K84	6.86 #			K651	0.06 #			K159	6.17 #
Hsp90	K9JA46	K74	1.12			K8	0.29 #			K601	6.59 #
		K112	5.53			K658	0.37 #	1170	D44440	K56	0.95
Otu1	Q5VVQ6	K257	T-/N+ #	p97	P55072	K20	0.28	Hsp70	P11142	K257	1.45
Ufd2	O95155	K794	NA*			K615	1.06			K328	T+/N- #
		K78	1.84 #			K486	1.57			K589	T+/N- #
RAD23	P54727	K51	0.59			K505	1.79			K526	T+/N- #
		K24	1.59			K18	T-/N+ #			K319	T+/N- #
Doed	Q96IV0	K419	1.06			K217	T+/N- #			K597	T+/N- #
Png1	Q96IVU	K204	1.36			K614	T+/N- #	Hsp40	P25685	K21	T+/N- #

FIGURE 8

Ubiquitination-mediated endoplasmic reticulum protein processing pathway. Pink = the identified ubiquitinated subunits. Green=non-ubiquitinated subunits.  $K^*$  = ubiquitinated lysine residue. Red indicates the degree of ubiquitination of this site is upregulated, and blue indicates downregulated. Ratio(T/N) = Ratio of tumor to control. T+/N- = ubiquitination only in tumor. T-/N+ = ubiquitination only in control. NA\* means no quantitative information in both tumor and control. # means statistically significantly altered ubiquitination level in tumor compared to controls.

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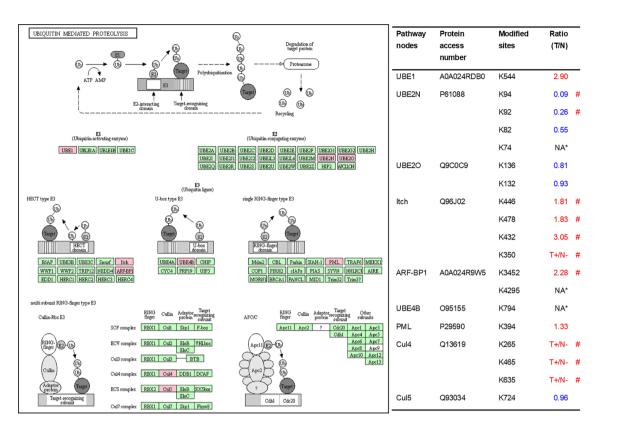


FIGURE 9

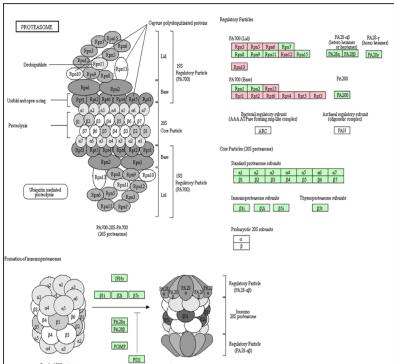
Ubiquitination-mediated UPS-related enzymes. Pink = the identified ubiquitinated subunits. Green = non-ubiquitinated subunits.  $K^* = 0$  ubiquitinated lysine residue. Red indicates the degree of ubiquitination of this site is upregulated, and blue indicates downregulated. Ratio(T/N) = Ratio of tumor to control. T+/N- = 0 ubiquitination only in tumor. NA\* means no quantitative information in both tumor and control. # means statistically significantly altered ubiquitination level in tumor compared to controls.

in proteins Rpn13, Rpt1, Rpt3, Rpt4, Rpt5 (K276 and K372), and Rpt6, and significantly decreased ubiquitination level in protein Rpt 5 (K53); and 5 UPs (Rpn 3, 5, 6, 10, and 12) in PA700 (Lid) with significantly increased ubiquitination level, in human LSCC tissues relative to controls (Figure 10), but no any ubiquitination was found in the 20S core.

# Overlapping analysis of differentially ubiquitinated proteins and differentially expressed proteins in LSCC

One of the consequences of ubiquitination is to degrade proteins. Those DEPs with differentially ubiquitinated modifications might have more relevance to tumorigenesis. A set of 265 DEP data in LSCC tissues compared to controls extracting from the published data (32–36) (Supplementary Table 12) were compared to this set of 464 DUP data with 789 differentially ubiquitinated sites in LSCC tissues compared to controls (Supplementary Table 13). A total of 45 DEPs in LSCC

were found to have significantly differentially ubiquitinated modifications (Table 1). When one analyzed the relationship between DUP and DEP in LSCC, those 45 DEPs were grouped into 5 categories (Table 2): (i) When the ubiquitination level was decreased, the protein expression level was increased, including APOA1, HBD, CA1, VIM, IDE, and GNG5; (ii) When the ubiquitination level was increased, the protein expression level was decreased, including KRT18, HSPA1B, SNAP23, FADS2, ALDOA, UCHL1, LMNB1, MAP2K3, RPS16, EEF1A1, and MYO1E; (iii) When the ubiquitination level was decreased, the protein expression level was decreased, including MYO6, PRKAR1A, EZR, HBA2, DES, YWHAB, and erythrocytic spectrin beta; (iv) When the ubiquitination level was increased, the protein expression level was increased, including MYH9, CYBB, ALDOC, GAPDH, HSPB1, GNAS, PTMA, ATP5B, IGF1R, CD44, CBR1, CAD, SHC1, GCLM, TMP4, EFNB1, DRIP4, and NADSYN1; and (v) when a protein existed both increased and descresed ubiquitination sites, the protein expression level was increased, including ANXA1, S100A9, and HNRPK.



Pathway	Protein	Modified	Ratio	
nodes	access	sites	(T/N)	
	number			_
· Rpn13	Q16186	K34	2.27	#
Rpt2	P62191	K293	T+/N-	#
Rpt1	A0A140VK70	K46	4.96	#
		K415	6.57	
Rpt5	A0A140VK42	K53	0.22	#
		K276	4.90	#
		K79	0.99	
		K372	T+/N-	#
Rpt3	A8K2M0	K273	T+/N-	#
Rpt6	A0A140VJS3	K346	T+/N-	#
		K290	T+/N-	#
		K330	T+/N-	#
Rpt4	A0A087X2I1	K62	2.37	#
		K194	T+/N-	#
		K328	T+/N-	#
		K211	NA*	
Rpn3	043242	K273	T+/N-	#
Rpn12	P48556	K111	NA*	
Rpn6	O00231	K32	T+/N-	#
Rpn5	A0A0S2Z489	K147	T+/N-	#
Rpn10	Q5VWC4	K40	33.8	#
(PSMD4)		K74	5.16	#

FIGURE 10

Ubiquitination-mediated proteasome pathway. Pink=the identified ubiquitinated subunits. Green = non-ubiquitinated subunits.  $K^*$  = ubiquitinated lysine residue. Red indicates the degree of ubiquitination of this site is upregulated, and blue indicates downregulated. Ratio(T/N) = Ratio of tumor to control. T+/N- = ubiquitination only in tumor.  $NA^*$  means no quantitative information in both tumor and control. # means statistically significantly altered ubiquitination level in tumor compared to controls.

#### Discussion

# Protein ubiquitination profile and ubiquitination-mediated signaling pathways in LSCC

To investigate ubiquitination profile and its potential functions in LSCC, and to promote PPPM of LSCC, label-free quantitative proteomics was used to investigate ubiquitination in LSCC tissues. This present study provided the first comprehensive, quantitative ubiquitination profile in LSCC tissues. A total of 627 UPs with 1209

ubiquitination sites were identified, and 93.7% of identified ubiquitination sites had quantitative intensity. These UPs were involved in multiple functional categories, cellular biological processes, and signaling pathways in LSCC. In total, 47 statistically significant pathways were identified to involve UPs in LSCC, and most of these signaling pathways were cancer-related pathways, including ubiquitin-mediated proteolysis, ribosome complex, ER-mediated protein processing, proteasome complex, biosynthesis of amino acids, PI3K-Akt signaling pathway, Ras signaling pathway, mTOR signaling pathway, HIF-1 signaling pathway, cell cycle, apoptosis pathway, glycolysis/gluconeogenesis,

TABLE 2 The relationship between DUP and DEP in LSCC tissues compared to controls.

DUP	DEP	Proteins
_	+	APOA1, HBD, CA1, VIM, IDE, GNG5
+	-	KRT18, HSPA1B, SNAP23, FADS2, ALDOA, UCHL1, LMNB1, MAP2K3, RPS16, EEF1A1, MYO1E
-	-	MYO6, PRKAR1A, EZR, HBA2, DES, YWHAB, Erythrocytic spectrin beta
+	+	MYH9, CYBB, ALDOC, GAPDH, HSPB1, GNAS, PTMA, ATP5B, IGF1R, CD44, CBR1, CAD, SHC1, GCLM, TMP4, EFNB1, DRIP4, NADSYN1
+/-	+	ANXA1, S100A9, HNRPK

For DUP, - means the significantly decreased ubiquitination level; + means the significantly increased ubiquitination level; and +/- means that the significantly increased and decreased ubiquitination sites existed in a protein. For DEP, + means upregulation; and - means downregulation.

carbon metabolism, central carbon metabolism in cancer, fructose and mannose metabolism, metabolomic pathways, drug metabolism-other enzymes, sphingolipid signaling pathway, tight junction, adherens junction, gap junction, non-homologous endjoining, endocytosis, SNARE interactions in vesicular transport, dopaminergic synapse, GABAergic synapse, chemokine signaling pathway, Rap1 signaling pathway, proteoglycans in cancer, pathways in cancer, microRNAs in cancer, leukocyte transendothelial migration, regulation of actin cytoskeleton, and platelet activation. These ubiquitinaiton-mediated signaling pathways provide the functional profiles of ubiquitination in LSCC.

### Ubiquitination-mediated tumor protein turnover in LSCC

It is well-known that one of main functions of ubiquitination is involved in protein degradation, and participates in protein turnover in tumor pathophysiological processes. Interestingly, this study found that ubiquitination was significantly involved in four protein turnover-associated pathways, including ribosome complex, ubiquitin-mediated proteolysis, ER-mediated protein processing, and proteasome complex pathways, which are discussed in detailed here.

Proteins are vital parts of living organisms, and are in dynamic balance between generation and degradation. Protein turnover is the protein synthesis-degradation balance, which gives cells the flexibility to adjust the abundance and function of the protein in response to various intracellular or extracellular stimuli (37). Protein synthesis is determined by both transcription and translation. The mRNA transcribed into the cytoplasm and binds to the ribosome to begin the process of protein synthesis. The overall activity of ribosome and the rate of translation initiation and elongation have an important effect on the rate of protein synthesis. The newly synthesized protein does not have a spatial structure and corresponding functions, which needs to be folded and assembled in ER. The processing time of different proteins in ER is also different. The UPS and autophagy are the main pathways for protein degradation (38, 39). The former selectively degrades the misfolded proteins and short half-life proteins that have been labeled with ubiquitin in the proteasome, while the latter transports denatured long-lived proteins and damaged or excess organelles to the lysosome for degradation. The ability to adjust protein abundances in a timely and precise manner based on external environmental stimuli is critical to maintain the normal function of cells. Protein turnover abnormalities are associated with the development of multiple diseases, including neurodegenerative diseases (40, 41) and tumors (42, 43). Targeting altered protein turnover provides new opportunities and challenges to develop anti-tumor drugs (44).

Studies demonstrate that multiple ribosomal subunits have been ubiquitinated in eukaryotes (45–47). This study also found 13 ubiquitinated ribosome subunits in LSCC, which demonstrates the important regulatory roles of ubiquitination in ribosomal complex. Ubiquitination affects protein turnover by affecting the function of ribosomes in several ways.

First, ubiquitination regulates multiple steps of ribosome biogenesis (48), which involves the production and correct assembly of rRNAs and ribosomal proteins. Abnormal ribosome biogenesis inevitably affects the turnover of intracellular proteins. However, this study did not find the ribosome biogenesis pathway in KEGG enrichment analysis, which suggests that ubiquitination might not affect protein turnover by participating in regulating ribosome biogenesis in LSCC. Moreover, ubiquitination of ribosomal proteins regulates ribosome-associated quality control (RQC) (49, 50). Ribosomes are not only responsible for protein synthesis, but also execute RQC to minimize production of aberrant proteins. Many factors cause abnormal protein synthesis in ribosomes. RQC is a biologically evolved surveillance mechanism that timely terminates the protein synthesis of ribosomes, and initiates corresponding pathways to degrade nascent polypeptides when there exist interrupted translation (51). Obviously, dysfunction of RQC results in accumulating abnormal proteins in cells, which eventually affects the protein turnover. Study showed that the poly (A) tails of mature mRNA stalled ribosomes, repressed downstream translation, and initiated RQC; this is a process known as ribosome stall resolution (49). One study showed that in the initiation of this process, it is essential for ubiquitin ligase ZNF598 to catalyze ubiquitination at residue K<sub>8</sub> in RPS20 (P60866) and at residues K138 and K139 in RPS10, and the ubiquitination failure of RPS20 or RPS10 would lead to defective resolution of stalled ribosomes (50). Another study also found that ZNF598 primarily mono-ubiquitinated two lysine residues K4 and K8 in RPS20, which was required to stall ribosomes during poly (A) translation (49). In the yeast model, study found that ubiquitination at residue K<sub>8</sub> in 40S ribosomal protein uS10 (corresponding to RPS20 in human) catalyzed by E3 ubiquitin ligase Hel2 switched on RQC (52). Those studies showed that ubiquitination at residue K<sub>8</sub> in RPS20 was species conservation, and played a pivotal role in RQC pathways. Besides RPS20, recent study demonstrated that the balance between mono-ubiquitination at residue K<sub>214</sub> in RPS3 (P23396) catalyzed by RNF123 E3 ligase and deubiquitination by USP10, also participated in the regulation of RQC (53). This study found ubiquitination level was decreased at residue K<sub>8</sub> (T/ N ratio=0.95, P value = 0.78) in RPS20 and increased at residue  $K_{214}$  (T/N ratio=5.03, P value = 0.32) in RPS3, which means that the impaired RQC in LSCC tissue may contribute to the abnormal protein turnover. Further, ribosome ubiquitination participated in reprogramming of ribosomal protein translation

that was induced by unfolded protein reaction (UPR). UPR not only induced ER stress and disturbed protein homeostasis, but also reprogramed translation; and persistent UPR might lead to cell death. Reprogramming of ribosomal protein translation is an intracellular response to protein homeostasis, and it can also affect the turnover of intracellular proteins. One study demonstrated that ubiquitination at residues K58 or K275 in RPS2 (P15880) and at residue K<sub>8</sub> in RPS20 participate in UPRinduced ribosomal translation reprogram, and these two proteins without ubiquitination at these residues promoted UPR-induced cell death (54). This study identified ubiquitination at residues  $K_{58}$  (T/N = 1.4; P value = 0.06) and  $K_{275}$  (T/N = 2.21; P value = 0.21) in RPS2 increased in LSCC. Therefore, ubiquitination in proteins RPS3, RPS20, and RPS2 in 40S subunits play an important role in ribosome-related protein turnover regulation.

Both ribosome and ER are indispensable organelles for intracellular protein homeostasis and protein turnover, and this study demonstrates that ubiquitination also has regulatory effects on ER. Among the various biological processes of ER, UPR and ERAD are essential for maintaining protein homeostasis and turnover. The former regulates the expression of multiple downstream genes through at least three pathways to recover UPR-induced ER stress (55). The latter relieves ER stress through the process of retro-translocation (terminally misfolded proteins were translocated from the ER lumen into the cytoplasm through the translocation pore in the ER membrane) and ultimately degraded by proteasome (56). Our results showed that UPs mainly concentrated in the above two biological processes. For example, study demonstrated that EIF2AK2 (P19525) was responsible for starting a branch of the UPR. When ER stress was activated, EIF2AK2 itself was oligomerized and phosphorylated, and also ubiquitously translational initiation factor eIF2a was indirectly inactivated to inhibit translation of mRNA. Thus, EIF2AK2 inhibits the flux of protein to enter ER to alleviate ER stress and restore protein homeostasis (55). This study qualitatively identified the ubiquitination at residue K<sub>408</sub> in EIF2AK2. Currently, the exact effect of ubiquitination on the function of EIF2AK2 is not clear, but study reported that the residues  $K_{69}$  and  $K_{159}$  have been ISGylated, one type of ubiquitin-like modification, that down-regulated protein translation (57). During ERAD, studies showed that molecular chaperones not only assist in folding of the protein in ER, but also play a variety of important functions to ensure ERAD (58). These molecular chaperones assist degradation through substrate recognition and preventing substrate aggregation (59). This study identified the ubiquitination of three molecular chaperones (HSPA8, DNAJB1 and K9JA46) indicating that ubiquitination can affect

the function of molecular chaperones, which in turn affects ERAD and protein turnover.

The UPS is another determinant of intracellular protein turnover, because the degradation of many proteins is carried out by UPS in mammalian cells (60). Under physiological conditions, the activity of UPS is dynamically regulated by different signaling pathways in response to various stimuli (60). As mentioned above, UPS contains a large number of components, so dysfunction of any one component can lead to abnormal protein degradation, which eventually affects protein turnover. In this study, it was found that 11 proteasome subunits (all belong to the 19S regulatory subunit) and 9 UPS-related enzymes were ubiquitinated, which revealed that ubiquitination can regulate protein turnover by regulating proteasome activity and UPS-related enzymes.

The 26S proteasome is a core component of UPS, and proteins labeled with K48-linked polyubiquitin chains are recognized by its 19S regulatory subunit (RS) and degraded by its 20S core subunit (CS) (61, 62). A variety of factors affect proteasome activity, such as proteasome biogenesis and PTMs (63). For example, the RS has two subunits (lid an base), among which the base assembly is associated with 4 RS assembly chaperones (RACs): Rpn14 (PAAF1), S5b (PSMD5), p28 (PSMD10), and p27 (PSMD9) (64). Loss of these chaperones leads to base subcomplex of RS assembly defect (65). This study identified ubiquitination at residues K<sub>23</sub> (no quantitative information) and K<sub>30</sub> (T+/N-) in PSMD10 (O75832) in LSCC for the first time. We hypothesize that ubiquitination may affect the intracellular abundance or function of PSMD10, which finally affects proteasome biogenesis and the activity of proteasome. The specific regulatory mechanism needs further research. PTMs of various proteasome subunits make the regulation of proteasome function more complicated. Ubiquitination is one of the 11 PTMs of proteasome subunits that have been identified so far (66). At present, phosphorylation has been deeply studied in the regulation mechanism of proteasome activity, and researches had revealed that a variety of kinases and phosphatases regulate proteasome (67). The functional consequences of other PTMs (including ubiquitination) in regulation of proteasome are presently lacking. In our study, all ubiquitinated proteasome subunits belong to the RS, which suggests that ubiquitination may affect the activity of the proteasome by regulating the function of the RS not the CS in LSCC. Under normal circumstances, Rpn13 and Rpn10/S5a are responsible for identification of the ubiquitin chains on the protein committed to degradation, after that protein is translocated through the ATPase ring into the CS (68). However, when the proteasome is impaired under various circumstances (such as proteotoxic stresses and treated with bortezomib), Ube3c ubiquitinates residues K21 and K34 in

Rpn13 and inhibits the binding of 26S particles to ubiquitin conjugates (69). Our study found the increased ubiquitination level at residue  $K_{34}$  (T/N=2.27; p = 0.01) in Rpn13, which can be seen as a sign of impaired proteasome function in LSCC. More importantly, if we can confirm the above results in LSCC, the degree of ubiquitination of Rpn13 can be used as a biomarker to predict the severity of impaired proteasome function, and the biological effects produced by proteasome activity inhibitors in vivo. In addition to the ubiquitination of Rpn13, monoubiquitination of Rpn10 induced by Rsp5, a member of NEDD4 ubiquitin protein ligase family, significantly inhibits the interaction of Rpn10 with substrates to decrease the activities of proteasome complex (70). Different from the previous yeast-based research that discovered four ubiquitination sites (K71, K84, K99, and K<sub>268</sub>), this study identified significantly increased ubiquitination levels at residues  $K_{40}$  (N/T = 33.8; p < 0.01) and  $K_{74}$  (N/T = 5.2, p = 0.01) in Rpn10 in LSCC tissue. Both  $K_{40}$  and K<sub>74</sub> in Rpn10 are located in the VWFA domain that has been implicated to be responsible for stabilizing the lid-base association of RS. In LSCC, monoubiquitination of  $K_{40}$  and  $K_{74}$  in Rpn10 may participate in the regulation of proteasome activity.

In addition to proteasome biogenesis and PTMs, the amount of some proteasome subunits can also affect the activity of proteasome. For example, the activity of the proteasome is positively correlated with the expression level of PSMD11, and overexpression of PSMD11 increases the activity of the proteasome in stem cells (71). Specially, the dysregulated proteasomal activities were showed in the muscles of PSMC4knockout animals (72). The ubiquitination of both PSMD11 and PSMC4 have been identified in this study. If these proteins are degraded by UPS, which means ubiquitination of these proteins will affect the activity of proteasome. So it is especially important to clarify the specific ubiquitination regulatory mechanisms of these proteins. As mentioned above, few studies are involved in the impact of ubiquitination on proteasome subunits, ubiquitination proteomics can be used as a powerful screening tool to provide direction for further studies.

Above description discussed the effect of ubiquitination on proteasome activity. Further, we discuss the ubiquitination regulation on the UPS-related enzymes. Our study found 9 UPS-related enzymes including E1, E2 and E3, which indicate that enzymes in the process of protein ubiquitination are also regulated by ubiquitination. Currently, studies have focused on the roles of ubiquitin in these enzymes; however, just relatively few studies were focused on the roles of the ubiquitination in these 9 enzymes. For instance, PML (P29590) was ubiquitinated by several E3s to result in the degradation of proteasome complex (73, 74). ITCH (Q96J02) can be ubiquitinated by itself *via* lysine-63 linkages to control the cytoplasmic-nuclear shuffling of ITCH (75). Of course, ubiquitination and even other PTMs might extensively affect these enzyme activities, currently known ubiquitination are only the tip of the iceberg.

## The relationship between ubiquitination and protein expression level in LSCC

One of main functions of ubiquitination is to degrade proteins. A total of 265 DEP data in LSCC tissues was obtained from the published data (32-36) (Supplementary Table 12). This study identified 464 DUP data with 789 differentially ubiquitinated sites in LSCC tissues compared to controls (Supplementary Table 13). Overlapping analysis of the DUP data and DEP data found 45 DEPs with significantly altered ubiquitination level (Table 1). Five types of relationships between DUP and DEP were found in LSCC (Table 2), including: (i) the protein expression level was increased with the decrease of ubiquitination level, which might be due to the constant synthesis velocity and the decreased degradation velocity; (ii) the protein expression level was decreased with the increase of ubiquitination level, which might be due to the constant synthesis velocity and the increased degradation velocity; (iii) the protein expression level was decreased with decreased of the ubiquitination level, which might be because the protein degradation velocity was faster than its synthesis velocity; (iv) the protein expression level was increased with the increase of the ubiquitination level, which might be because protein degradation velocity was slower its synthesis velocity; (v) the protein expression level was increased with both increase and decrease of ubiquitination sites in a protein, this type of reseason was complex. These results clearly demonstrate the complexity of protein synthesis-degradation system. Further experiment studies would be needed to confirm the real status. Moreover, one should note that only 265 DEPs are obtained due to a limited list of DEPs in the published literature, an expanded quantitative proteomics would be needed to identify more DEPs in LSCC tissues compared to controls, which will reveal more DEPs with significant ubiquitination alteration.

#### Conclusions

This study provides the first quantitative ubiquitination proteomics analysis of LSCC tissue, and shows that ubiquitination can affect the occurrence and development of LSCC in multiple biological functions and pathways. Here focuses on the regulation mechanism of ubiquitination on intracellular protein turnover-related pathways. The results showed that ubiquitination affected UPS functions by regulating proteasome activity and UPS-related enzymes. In addition, ubiquitination also affected protein turnover by regulating ribosome assembly, ribosome-associated quality control (RQC), ER stress response, and ER-associated degradation (ERAD). Although ubiquitination studies in tumors have made significant progress, many anti-tumor drugs have been clinically applied and have achieved excellent therapeutic effects, yet considering that ubiquitination has extensive regulatory networks

and the complexity of ubiquitination itself, so there is still a lot of unknown things waiting for ones to explore. This study paved the way for further exploration of the specific regulatory mechanisms of ubiquitination in LSCC.

#### Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by Xiangya Hospital Medical Ethics Committee of Central South University, China. The patients/participants provided their written informed consent to participate in this study.

#### **Author contributions**

XHZ conceived the concept, designed experiments and manuscript, instructed experiments and data analysis, supervised results, coordinated, wrote and critically revised manuscript, and was responsible for its financial supports and the corresponding works. ML collected tissue samples, analyzed ubiquitinomic data, prepared figures and tables, and wrote the manuscript. XZ and LY analyzed the relationship between ubiquitination and protein differential expression. JY, XHZ, SZ, YG, BL, SW, JL, and NL participated in partial experiments. All authors approved the final manuscript.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fendo.2022.970843/full#supplementary-material

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EDITED BY
Xianquan Zhan,
Shandong First Medical University,
China

REVIEWED BY
Na Li,
Shandong Cancer Hospital, China
Olga Golubnitschaja,
University of Bonn, Germany

\*CORRESPONDENCE Godfrey Grech godfrey.grech@um.edu.mt

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# PKC-mediated phosphorylation and activation of the MEK/ERK pathway as a mechanism of acquired trastuzumab resistance in HER2-positive breast cancer

Jeanesse Scerri<sup>1</sup>, Christian Scerri<sup>1</sup>, Felix Schäfer-Ruoff<sup>2</sup>, Simon Fink<sup>2</sup>, Markus Templin<sup>2</sup> and Godfrey Grech<sup>3\*</sup>

<sup>1</sup>Department of Physiology & Biochemistry, University of Malta, Msida, Malta, <sup>2</sup>NMI Natural and Medical Sciences Institute, University of Tübingen, Reutlingen, Germany, <sup>3</sup>Department of Pathology, University of Malta, Msida, Malta

Protein expression, activation and stability are regulated through interconnected signal transduction pathways resulting in specific cellular states. This study sought to differentiate between the complex mechanisms of intrinsic and acquired trastuzumab resistance, by quantifying changes in expression and activity of proteins (phospho-protein profile) in key signal transduction pathways, in breast cancer cellular models of trastuzumab resistance. To this effect, we utilized a multiplex, bead-based protein assay, DigiWest<sup>®</sup>, to measure around 100 proteins and protein modifications using specific antibodies. The main advantage of this methodology is the quantification of multiple analytes in one sample, utilising input volumes of a normal western blot. The intrinsically trastuzumab-resistant cell line JIMT-1 showed the largest number of concurrent resistance mechanisms, including PI3K/Akt and RAS/RAF/MEK/ERK activation, β catenin stabilization by inhibitory phosphorylation of GSK3β, cell cycle progression by Rb suppression, and CREB-mediated cell survival. MAPK (ERK) pathway activation was common to both intrinsic and acquired resistance cellular models. The overexpression of upstream RAS/RAF, however, was confined to JIMT 1; meanwhile, in a cellular model of acquired trastuzumab resistance generated in this study (T15), entry into the ERK pathway seemed to be mostly mediated by PKC $\alpha$  activation. This is a novel observation and merits further investigation that can lead to new therapeutic combinations in HER2-positive breast cancer with acquired therapeutic resistance.

#### KEYWORDS

acquired resistance, breast cancer, phospho-profile, PKC/MEK/ERK, signalosome, HER2 positive, patient stratification

#### Introduction

#### HER2 and trastuzumab

The human epidermal growth factor receptor 2 (HER2) protein is overexpressed in approximately 15% of breast cancers (1). Having no known ligands, it forms heterodimers with other members of the HER family of receptor tyrosine kinases (HER1/EGFR, HER3, HER4 (2). HER2 activation results in the phosphorylation and activation of multiple downstream signaling proteins, including phospholipase C γ1 (PLCγ1), phosphatidylinositol 3-kinase (PI3K) regulatory and catalytic subunits, RasGAP, and heat shock protein 90 (3). The ensuing signaling cascade, mostly represented by the PI3K/AKT and RAS/RAF/ERK pathways, leads to uncontrolled cellular proliferation and invasion. Protein phosphatase 2A (PP2A), a ubiquitous serine/threonine phosphatase, is also a central regulatory component of PI3K/Akt pathway; its inactivation through phosphorylation at its tyrosine residue p.tyr307 has been found to be increased in HER2-positive tumor samples and correlated to tumor progression (4). Of interest, HER2 signaling increases c-myc phosphorylation at Ser62 and is maintained through attenuation of the phosphatase, PP2A (5). In fact, PP2A activators promote c-myc protein degradation (6). Clinically, high nuclear myc staining is positively associated with lymphnode positive disease in HER2 amplified breast cancer tumors (7). Hence, the HER2-MYC-PP2A axis is of clinical relevance and provides potential therapeutic targeting of breast cancers with co-amplification of HER2 and MYC. In a murine model of HER2 knock-in mammary tumors, overexpression of HER2 significantly upregulated  $\beta$ -catenin and its transcriptional targets Cyclin D1, SOX9 and c-Myc. High cytoplasmic βcatenin, expression of basal markers and loss of membranous E-cadherin are associated with poor prognosis in human HER2+ invasive ductal carcinomas (8).

Trastuzumab (Herceptin®), an immunoglobulin G1 (IgG1) antibody consisting of two mouse-derived antigen binding sites specific to the HER2 receptor extracellular domain (ED) and a humanized Fc portion (9), has been hailed as one of the successes of personalized medicine for the treatment of HER2positive breast cancer. Its mode of action, though not yet fully understood, involves both direct and indirect pathways of inhibition. The former is brought about by the binding of the antibody to the ED of Her2, inhibiting its cleavage (10), and resulting in downstream signaling inhibition (mainly the PI3K/ Akt pathway (11), through internalization and degradation of the HER2 receptor (12). The inhibition of heterodimer formation with other HER family members leads to reduced VEGF-mediated angiogenesis (13). The most important indirect pathway of inhibition is the activation of antibody-dependent cellular toxicity by the recruitment of Fc-competent immune effector cells (14). Trastuzumab is always administered

adjuvantly to chemotherapeutic agents, where it also inhibits the repair of chemotherapy-induced DNA damage (15).

#### Trastuzumab resistance mechanisms

Nonetheless, intrinsic resistance to the drug in some cases, and tumour recurrence due to acquired resistance in others, are important caveats of the targeted therapy (16). Mechanisms of trastuzamab-HER2 binding inhibition are associated with intrinsic resistance. Steric hindrance by cell surface proteins such as mucin-4 (MUC4) inhibits this binding (17); sensitivity to trastuzumab was enhanced upon knockdown of MUC4 expression in a JIMT-1 cell model (18), suggesting that MUC4 occupies the trastuzamab-binding sites of HER2. Overexpression of stem cell marker CD44 and its ligand, hyaluronan, also mask the trastuzumab binding domain on the HER2 ED and provide an independent prognostic factor for poor disease-free survival in HER2 positive patients treated with adjuvant trastuzumab (19). Proteolytic cleavage of the HER2 receptor generates a constitutively activated, truncated HER2 receptor lacking the ED, p95-HER2, which is associated with lymph node involvement (20) and trastuzumab resistance (21), attributed to the absence of the trastuzumab-binding domain.

Deregulation of signalling pathways downstream to HER2, and the activation of alternative cellular proliferation pathways, are alternative trastuzumab resistance mechanisms. Suppressed PTEN phosphatase activity prevents trastuzumab-induced growth arrest through sustained PI3K/AKT phosphorylation and signal transduction (22). A combination of low PTEN expression and PIK3CA oncogenic mutations predict trastuzumab response in HER2-positive breast cancer patients (23). In addition, trastuzumab-induced growth arrest of HER2positive tumour cells is counteracted by an increase in insulinlike growth factor-1 receptor (IGF-IR) signalling (24). IGF-IR mediated trastuzumab-resistance is attributed to enhanced degradation of p27 and hence release from cell cycle arrest induced by trastuzumab treatment (25). Resistance to trastuzumab was also associated with increased expression of c-Met (26), and CAV-1 involved in caveolae-mediated endocytosis (27).

Immune escape is another mechanism of trastuzumab resistance. Genomic polymorphisms in Fc $\gamma$ RIIIa that significantly suppress the affinity of IgG1 antibodies to the immune cell Fc $\gamma$  receptor will impair ADCC activation (28). Furthermore, exosomes may transfer transforming growth factor beta 1 (TGF $\beta$ 1), an immunosuppressive cytokine, and programmed death-ligand-1 (PD-L1), a lymphocyte activation inhibitor, to tumour cells. The presence of these exosomes was correlated with resistance to ADCC, suggesting a role of exosomes in suppressed immune-mediated response to trastuzumab (29). Exosomes generated by SKBR3 cell lines are

also positive for the receptor, and may act as decoy by binding to trastuzumab, reducing its availability to target tumour cells (30).

#### High-throughput biomarker detection

In addition to diagnostic biomarkers, the discovery of predictive markers of treatment resistance is a key aspect of personalized medicine. In the era of network medicine and high-throughput "omics", it is important to study the interplay of the different complex mechanisms leading to drug resistance. The classification of breast cancer into molecular subtypes with prognostic and predictive implications, based on high-throughput gene expression data, has led to the development of gene panels such as the Oncotype DX (31) or the MammaPrint (32) assays. For Her2-positive breast cancer, however, there is no FDA-approved gene panel to date for the clinical prediction of response to trastuzumab-containing treatment regimes. The use of bead-based, multiplex RNA (33) and protein (34) assays has shown effectiveness in medium- to high-throughput cancer biomarker discovery and detection.

This study sought to differentiate between the complex mechanisms of intrinsic and acquired trastuzumab resistance, by quantifying changes in expression and activity of proteins in key signal transduction pathways, in cellular models of resistance. We utilized JIMT-1 as a cellular model of intrinsic resistance, and generated an acquired trastuzumab resistance model (T15) to study differential signaling signatures.

#### Materials and methods

## Generation of trastuzumab-resistant cell line

SKBR3 cells with acquired trastuzumab resistance were obtained by conditioning with the drug as described by Zazo et al. (35). Briefly, the cell line (ATCC® HTB-30<sup>TM</sup>), grown in Dulbecco's Modified Eagle Medium (DMEMM, Sigma-Aldrich, St. Louis, MO) supplemented with 10% foetal bovine serum (FBS) and 1% GlutaMAX<sup>TM</sup> (Thermo Fisher Scientific, Waltham, MA), was acclimatised for 30 days in 10µg/mL trastuzumab followed by long-term culturing in medium containing 15µg/mL of the drug. Resistance to trastuzumab was confirmed by cell viability assay (MTT), which showed a maintenance of ≥80% viability after 72 hours incubation with 25-100µg/mL trastuzumab concentration (compared to the parent cells which showed reduced viability at these drug concentrations). The resulting cell line will be henceforth referred to as T15. The JIMT-1 cell line (DSMZ ACC-589), kindly donated by M. Barok at the University of Helsinki, Finland, was cultured in DMEM supplemented with 10% heat-inactivated FBS.

## Bead-based, multiplex phosphoprotein profiling

High-throughput multiplex phosphoprotein profiling was subsequently carried out by the DigiWest® technique, as described by Treindl et al. (36), on the parental and conditioned cell lines. Briefly, cell pellets containing 5x10<sup>5</sup> cells or more were lysed, and gel electrophoresis and blotting onto PVDF membranes was performed using the NuPAGE system as recommended by the manufacturer (Life Technologies, Carlsbad, CA, USA). The membranes were washed in PBST, then incubated in NHS-PEG12-Biotin (50µM) in PBST for 1 hour to biotinylate the blotted proteins, followed by another wash in PBST and drying. Individual sample lanes were cut into 96 molecular weight fractions (0.5mm each), with the separated proteins in each fraction eluted in 96-well plates using 10µL elution buffer (8M urea, 1% Triton-X100 in 100mM Tris-HCl pH 9.5) per well. The eluted proteins from each molecular weight fraction were then coupled with neutravidin-coated Luminex beads (MagPlex, Luminex, Austin, TX, USA) of a specific bead identity (red-infrared spectral wavelength), yielding 96 size-specific bead identities per sample. 384 Luminex bead sets were employed and the protein-loaded beads from 4 different sample lanes were pooled into a beadmix having a concentration of 40 beads/µL in carboxy block storage buffer (CBS), which was sufficient for over 100 antibody incubations. Antibodies specific proteins and phosphoproteins with roles in HER2 downstream signaling pathways and other aforementioned mechanisms of interest were utilized (Table 1).

For each target protein or phosphoprotein to be quantified, an aliquot of the DigiWest bead-mixes was added to a well of a 96-well plate containing 50µL assay buffer (Blocking Reagent for ELISA supplemented with 0.2% milk powder, 0.05% Tween-20, and 0.02% sodium azide, Roche). Following a brief incubation in assay buffer, the buffer was discarded by keeping the 96-well plate on a magnet. The beads were then incubated with 30µL of a specific primary antibody diluted in assay buffer per well. After overnight incubation at 15°C on a shaker, the bead-mixes were washed twice with PBST and PE-labelled (Phycoerythrin) secondary antibodies (Dianova) specific to the primary antibody species were added and incubated for 1 hour at 23°C. Beads were washed twice and resuspended in PBST prior to the readout on a Luminex  $^{\textcircled{\$}}$  FlexMAP 3D  $^{\textcircled{\$}}$ .

For the quantification of the antibody specific signals, the DigiWest<sup>®</sup> analysis tool (version 3.8.6.1, Excel-based) was employed. This tool uses the 96 values for each initial lane obtained from the Luminex<sup>®</sup> measurements on the 96 molecular weight fractions, identifies the peaks at the appropriate molecular weight, calculates a baseline using the local background, and integrates the peaks. The obtained values are based on relative fluorescence (AFI, accumulated fluorescence intensity). For analysis, the data was normalized to the total

TABLE 1 Selected antibodies, fluorescence intensities and Log<sub>2</sub> FC in protein & phosphoprotein quantities in T15 and JIMT-1 relative to SKBR3.

					Fluores	scence Int	tensity	LOG2 rel. SKB	to
Pathway	Analyte	Supplier	Cat. No.	Species + Clonality	JIMT1	SKBR3	T15	JIMT1	T15
PI3K/ mTOR	4E-BP1	Epitomics	1557-1	Rb mAb	2490	240	283	3.37	0.24
PI3K/ mTOR	4E-BP1 - phosphoThr70	Cell Signaling	9455	Rb pAb	754	119	164	2.66	0.46
PI3K	Akt	Cell Signaling	4685	Rb mAb	3567	2125	2901	0.75	0.45
PI3K	Akt1	Cell Signaling	2938	Rb mAb	1105	1443	2341	-0.39	0.70
PI3K	Akt1 - phosphoSer129	Cell Signaling	13461	Rb mAb	1	1	328	0.00	8.36
mTOR	AMPK alpha	Cell Signaling	2532	Rb pAb	657	418	544	0.65	0.38
mTOR	AMPK alpha - phosphoThr172	Cell Signaling	2535	Rb mAb	1217	135	300	3.17	1.15
MEK/ERK	A-Raf	Cell Signaling	4432	Rb pAb	2952	1177	1051	1.33	-0.16
MEK/ERK	A-Raf - phosphoTyr301/Tyr302_58kDa	Biorbyt	orb5910	Rb pAb	28345	31881	29893	-0.17	-0.09
MEK/ERK	A-Raf - phosphoTyr301/Tyr302_68kDa	Biorbyt	orb5910	Rb pAb	21540	22850	19923	-0.09	-0.20
MEK/ERK	A-Raf - phosphoTyr301/Tyr302_Total	Biorbyt	orb5910	Rb pAb	49885	54729	49815	-0.13	-0.14
PI3K/WNT	beta-Catenin	Cell Signaling	8480	Rb mAb	24084	188	382	7.00	1.02
PI3K/WNT	beta-Catenin - phosphoSer552	Cell Signaling	9566	Rb pAb	449	1	1	8.81	0.00
PI3K/WNT	beta-Catenin (non-pospho Ser33/37/Thr41; active)	Cell Signaling	8814	Rb mAb	3541	1	1	11.79	0.00
MEK/ERK	b-Raf - phosphoSer445	Cell Signaling	2696	Rb pAb	208	143	160	0.54	0.16
Cell cycle	CDK4	Cell Signaling	12790	Rb mAb	32451	3328	2931	3.29	-0.18
PI3K	c-myc_57kDa	Cell Signaling	9402	Rb pAb	207	205	241	0.01	0.23
PI3K	c-myc_70kDa	Cell Signaling	9402	Rb pAb	549	520	337	0.08	-0.63
PI3K	c-myc_Total	Cell Signaling	9402	Rb pAb	756	724	577	0.06	-0.33
MEK/ERK	c-Raf	Cell Signaling	9422	Rb pAb	632	161	135	1.97	-0.25
MEK/ERK	c-Raf - phosphoSer259	Cell Signaling	9421	Rb pAb	2423	873	874	1.47	0.00
MEK/ERK	c-Raf - phosphoSer289/296/301	Cell Signaling	9431	Rb pAb	374	186	168	1.01	-0.15
PI3K	CREB - phosphoSer133	Cell Signaling	9198	Rb mAb	177	1	56	7.47	5.80
PI3K	eIF4E	Cell Signaling	2067	Rb mAb	13776	16186	17424	-0.23	0.11
PI3K	eIF4E - phosphoSer209	Cell Signaling	9741	Rb pAb	348	927	1193	-1.41	0.36
MEK/ERK	Elk-1	Cell Signaling	9182	Rb pAb	653	656	813	-0.01	0.31
MEK/ERK	Elk-1 - phosphoSer383	Cell Signaling	9186	ms mab	644	1580	1651	-1.29	0.06
MEK/ERK	Erk1/2 (MAPK p44/42)_p42	Cell Signaling	4695	Rb mAb	17917	30972	41263	-0.79	0.41
MEK/ERK	Erk1/2 (MAPK p44/42)_p44	Cell Signaling	4695	Rb mAb	3100	1702	1774	0.87	0.06
MEK/ERK	Erk1/2 (MAPK p44/42)_Total	Cell Signaling	4695	Rb mAb	21016	32673	43036	-0.64	0.40
MEK/ERK	Erk1/2 (MAPK p44/42) - phosphoThr202/ Tyr204_p42	Cell Signaling	4370	Rb mAb	4211	788	1190	2.42	0.60
MEK/ERK	Erk1/2 (MAPK p44/42) - phosphoThr202/ Tyr204_p44	Cell Signaling	4370	Rb mAb	1656	93	294	4.16	1.67
MEK/ERK	Erk1/2 (MAPK p44/42) - phosphoThr202/ Tyr204_Total	Cell Signaling	4370	Rb mAb	5866	880	1482	2.74	0.75
MEK/ERK	ERK1/2 (MAPK) - phosphoThr202/Tyr204_p42	Cell Signaling	9101	Rb pAb	4653	257	480	4.18	0.90
MEK/ERK	ERK1/2 (MAPK) - phosphoThr202/Tyr204_p44	Cell Signaling	9102	Rb pAb	1340	113	143	3.57	0.34
MEK/ERK	ERK1/2 (MAPK) - phosphoThr202/Tyr204_Total	Cell Signaling	9103	Rb pAb	5993	368	621	4.02	0.75
MEK/ERK	Erk2 (MAPK p42)	Cell Signaling	9108	Rb pAb	2649	6720	10792	-1.34	0.68
WNT	GSK-3 alpha	Cell Signaling	4337	Rb mAb	4395	4403	4928	0.00	0.16
WNT	GSK3 alpha - phosphoSer21_51kDa	Cell Signaling	9331	Rb pAb	229	443	409	-0.95	-0.11
PI3K/WNT	GSK3 alpha/beta - phosphoSer21/Ser9_Total	Cell Signaling	9331	Rb pAb	548	443	409	0.31	-0.11

TABLE 1 Continued

Fluorescence Intensity LOG2 FC rel. to SKBR3

								SKB.	K3
Pathway	Analyte	Supplier	Cat. No.	Species + Clonality	JIMT1	SKBR3	T15	JIMT1	T15
PI3K	GSK3 beta - phosphoTyr216_47kDa	Abcam	ab68476	Rb mAb	129	232	281	-0.85	0.28
PI3K	GSK3 alpha - phosphoTyr279_51kDa	Abcam	ab68476	Rb mAb	706	125	148	2.50	0.25
PI3K	GSK3 alpha/beta - phosphoTyr279/Tyr216_Total	Abcam	ab68476	Rb mAb	834	355	429	1.23	0.27
PI3K	GSK3 beta - phosphoSer9	Cell Signaling	9336	Rb pAb	511	1	1	9.00	0.00
PI3K	GSK3 beta	Cell Signaling	9315	Rb mAb	11035	3642	2050	1.60	-0.83
HER2	Her2	DAKO	A0485	Rb pAb	3595	5008	8862	-0.48	0.82
Multiple	HSP 90	Abcam	ab59459	Ms mAb	150805	456009	861935	-1.60	0.92
IGF1	IGF1 receptor beta (Insulin receptor beta, CD221)	Cell Signaling	3018	Rb mAb	308	153	166	1.02	0.12
MEK/ERK	MAPKAPK-2	Cell Signaling	12155	Rb mAb	392	556	453	-0.50	-0.29
MEK/ERK	MEK 1	Cell Signaling	9124	Rb pAb	1128	610	644	0.89	0.08
MEK/ERK	MEK1 - phosphoSer298	Cell Signaling	98195	Rb mAb	896	1	1	9.81	0.00
MEK/ERK	MEK1 - phosphoThr292	Cell Signaling	26975	Rb mAb	1036	1	1	10.02	0.00
MEK/ERK	MEK1/2 - phosphoSer217/Ser221	Cell Signaling	9154	Rb mAb	3200	135	416	4.56	1.62
MEK/ERK	MEK2	Cell Signaling	9125	Rb pAb	953	171	131	2.48	-0.38
MEK/ERK	Mnk1	Cell Signaling	2195	Rb mAb	239	161	164	0.57	0.03
MEK/ERK	MSK1 - phosphoSer376	Millipore	04-384	Rb mAb	2302	2436	9259	-0.08	1.93
PI3K/ mTOR	mTOR (FRAP)	Cell Signaling	2983	Rb mAb	3077	1394	2232	1.14	0.68
PI3K/ mTOR	mTor - phosphoSer2448	Cell Signaling	5536	Rb mAb	1211	521	997	1.22	0.94
MEK/ERK	p38 MAPK	Cell Signaling	9212	Rb pAb	572	258	273	1.15	0.08
Cell cycle	p53	R&D	af1355	Gt pAb	9935	1601	2117	2.63	0.40
PI3K/ mTOR	p70 S6 kinase	Cell Signaling	2708	Rb mAb	5905	2365	3004	1.32	0.34
PI3K/ mTOR	p70 S6 kinase - phosphoThr421/Ser424	Cell Signaling	9204	Rb pAb	632	93	177	2.76	0.92
PI3K	PDK1	Cell Signaling	3062	Rb pAb	1398	808	1294	0.79	0.68
PI3K	PDK1 - phosphoSer241	Cell Signaling	3061	Rb pAb	142	73	193	0.96	1.39
PI3K	PI3-kinase p110 delta_110kDa	Santa cruz	sc-7176	Rb pAb	734	220	238	1.74	0.11
PI3K	PI3-kinase delta_60kDa	Santa cruz	sc-7176	Rb pAb	11463	12042	11384	-0.07	-0.08
PI3K	PI3-kinase delta_Total	Santa cruz	sc-7176	Rb pAb	12196	12262	11620	-0.01	-0.08
PI3K	PI3-kinase p110 alpha	Cell Signaling	4255	Rb pAb	31	253	266	-3.05	0.07
PI3K	PI3-kinase p110 beta_110kDa	Millipore	04-400	Rb mAb	2897	916	995	1.66	0.12
PI3K	PI3-kinase p110 beta_60kDa	Millipore	04-400	Rb mAb	1514	1835	1665	-0.28	-0.14
PI3K	PI3-kinase p110 beta_Total	Millipore	04-400	Rb mAb	4409	2750	2659	0.68	-0.05
PI3K	PI3-kinase p85 alpha	Epitomics	1675-1	Rb mAb	363	87	118	2.06	0.44
PI3K	PI3-kinase p85	Cell Signaling	4292	Rb pAb	437	129	157	1.76	0.28
PI3K	PI3-kinase p85/p55 - phosphoTyr458/Tyr199_55kDa only	Cell Signaling	4228	Rb pAb	336	3158	3154	-3.23	0.00
PI3K	PKC (pan) - phosphoSer660	Cell Signaling	9371	Rb pAb	1073	1180	4669	-0.14	1.98
PI3K	PKC (pan) gamma - phosphoThr514_80kDa	Cell Signaling	38938	Rb mAb	1102	1141	2533	-0.05	1.15
PI3K	PKC (pan) gamma - phosphoThr514_85kDa	Cell Signaling	38938	Rb mAb	2613	3150	5891	-0.27	0.90
PI3K	PKC (pan) gamma - phosphoThr514_Total	Cell Signaling	38938	Rb mAb	3715	4290	8423	-0.21	0.97
PI3K	PKC alpha - phosphoSer657	Abcam	AB180848	Rb mAb	1769	1122	4227	0.66	1.91
PI3K	PKC alpha - phosphoThr497	Abcam	AB76016	Rb mAb	1526	1948	2841	-0.35	0.54

TABLE 1 Continued

Fluorescence Intensity	LOG2 FC
•	rel. to
	SKBR3

Pathway	Analyte	Supplier	Cat. No.	Species + Clonality	OILDIA				
					JIMT1	SKBR3	T15	JIMT1	T15
PI3K	PKC alpha	BD Biosciences	610107	Ms mAb	1	77	80	-6.27	0.05
PI3K	PKC alpha/beta II - phosphoThr638/Thr641	Cell Signaling	9375	Rb pAb	980	1570	1473	-0.68	-0.09
PI3K	PP2A C	Cell Signaling	2259	Rb mAb	5653	3171	2571	0.83	-0.30
PI3K	PP2A C - phosphoTyr307	R&D	AF3989	Rb pAb	4986	20990	10084	-2.07	-1.06
PI3K	PTEN	Cell Signaling	9552	Rb pAb	228	203	304	0.16	0.58
MEK/ERK	Ras	Cell Signaling	8955	Rb mAb	2280	742	1103	1.62	0.57
Cell cycle	Rb	Cell Signaling	9309	Ms mAb	405	131	119	1.62	-0.15
Cell cycle	Rb - phosphoSer795	Cell Signaling	9301	Rb pAb	149	53	1	1.49	-5.73
Cell cycle	Rb - phosphoSer807/Ser811	Epitomics	2004-1	Rb mAb	1618	342	347	2.24	0.02
Multiple	RSK 1 (p90RSK)	Cell Signaling	9344	Rb pAb	1038	306	334	1.76	0.13
Multiple	RSK 1 (p90RSK) - phosphoSer380	Cell Signaling	9341	Rb pAb	317	228	853	0.48	1.90
Multiple	RSK 1 (p90RSK) - phosphoThr573	Abcam	ab62324	Rb mAb	556	115	303	2.27	1.40
Multiple	RSK 1/2/3	Cell Signaling	9347	Rb pAb	1059	438	453	1.27	0.05
Multiple	RSK 3	Epitomics	2012-1	Rb mAb	1005	409	648	1.30	0.67
Multiple	RSK 3 - phosphoThr356/Ser360	Cell Signaling	9348	Rb pAb	87	1	104	6.45	6.70
PI3K/ mTOR	S6 ribosomal protein	Cell Signaling	2317	Ms mAb	6810	14092	11270	-1.05	-0.32
PI3K/ mTOR	S6 ribosomal protein - phosphoSer235/Ser236	Cell Signaling	2211	Rb pAb	11823	31835	20663	-1.43	-0.62
PI3K/ mTOR	S6 ribosomal protein - phosphoSer240/Ser244	Cell Signaling	2215	Rb pAb	9573	38584	21969	-2.01	-0.81
PI3K/ mTOR	TSC2 (Tuberin)	Cell Signaling	4308	Rb mAb	2138	489	1073	2.13	1.13
PI3K/ mTOR	Tuberin/TSC2 - phosphoSer1387	Cell Signaling	23402	Rb mAb	1369	233	564	2.55	1.27

Antibodies were organized into the main canonical pathways of signal transduction and cellular proliferation. Antibody species: Rb: rabbit, Ms: mouse, Gt: goat; antibody clonality: mAb: monoclonal, pAb: polyclonal. Fluorescence intensity values less than 100 are deemed inaccurate and should be interpreted with caution. Fold changes  $\geq 1$  are denoted in light green.

protein amount corresponding to the sample, and the relative quantification of each protein and phosphoprotein was expressed as  $\log_2$  fold-change (FC) in T15 and JIMT-1 as compared to SKBR3. Differentially expressed targets were organized into established signal transduction pathways and phosphosite  $\log_2$  FC were used to predict whether each protein was under- or over-activated.

#### Results and discussion

## MEK/ERK pathway is a central mechanism of acquired trastuzumab resistance

Phosphoinositide-dependent kinase-1 (PDK1) activity was significantly increased ( $log_2$  FC(PDK1) = +0.7;  $log_2$  FC

(pPDK1<sup>ser241</sup> = +1.4) in T15. A lack of significant change in RAF expression was expected to be consistent with a lack of alteration in downstream MEK1/2 signaling; however, the MEK/ ERK pathway was still found to be overall activated. The expression of total MEK1 was equivalent, while that of MEK2 was slightly downregulated (log<sub>2</sub> FC = -0.36) in T15 when compared to SKBR3. Meanwhile, activated pMEK1<sup>ser217/221</sup>/ pMEK2<sup>ser222/226</sup> (antibody does not distinguish between the two isoforms) was significantly upregulated in T15 (log<sub>2</sub> FC = +1.6). ERK (MAPK) activity reflected the changes observed in its upstream activator, MEK: despite minimal changes in total protein expression (log<sub>2</sub> FC(ERK1) = +0.06,  $log_2$  FC(ERK2) = +0.41), phosphorylated (active) forms of ERK1 and ERK2 were over-represented, thus resulting in a higher ratio of phosphorylated to total ERK1/2 (log<sub>2</sub> FC(pERK1<sup>thr202/</sup> tyr204 = +1.7;  $log_2 FC(pERK2^{thr185/tyr187} = +0.6)$ . The results were confirmed with two different antibody clones (Cell

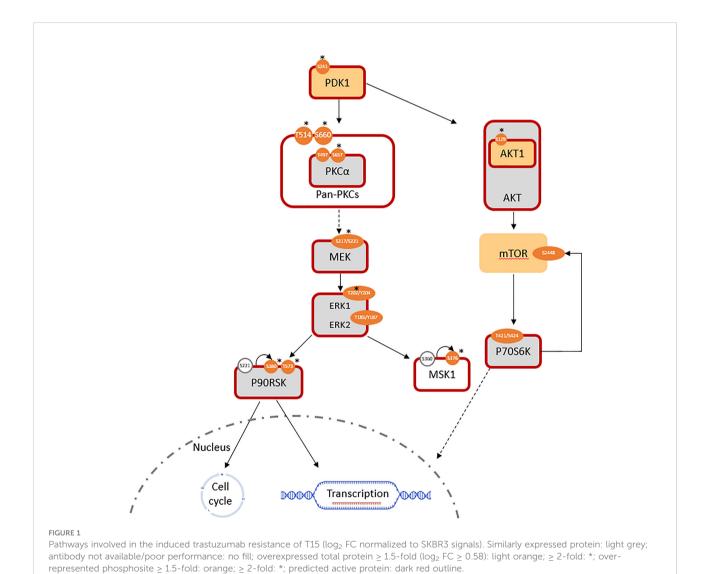
Signaling product ID 4370 and 9101; log<sub>2</sub> fold changes reported here obtained with the former), both of which bind to ERK1 and ERK2 and give two specific peaks of 44 and 42 kDa, respectively (Figure 1; Table 1). T15 also showed hyper-activation of the ribosomal protein S6 kinase α-5 protein, MSK1 (log<sub>2</sub> FC  $(pMSK1^{ser376}) = +1.9$ ; Figure 1). MSK1 is directly phosphorylated by MAPKs at serine 360, threonine 581, and threonine 700, and subsequently autophosphorylates at serine 376 for protein activation (37). Seemingly conflicting roles for MSK1 in breast cancer have been described: it shows tumor suppressor functions by acting as a transcriptional coactivator of P53 and mediating phosphorylation of histone H3 in the transcriptional activation of p21 (37), but has also been associated with epithelial-mesenchymal transition (EMT) and subsequent skeletal metastasis by histone H3 acetylation and phosphorylation of Snail, which downregulates E-cadherin to promote cellular migration and invasion (38).

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# Activation of the MEK/ERK pathway is through PKC $\alpha$ activation in acquired resistance

In the absence of RAF overexpression, entry into the MEK/ERK pathway can be mediated by the protein kinase C (PKC) family, *via* PDK1. PKCα and PKCγ are both members of the diacylglycerol (DAG) sensitive, Ca<sup>2+</sup> responsive conventional PKC (cPKC) isoform subgroup. Activation downstream to receptor tyrosine kinases, such as ErbB receptors, involves the Ca<sup>2+</sup> sensitive recruitment of phosphatidylinositol (4, 5-bisphosphate [PtdIns (4, 5)P2]-specific phospholipases Cγ1/2 (PtdIns-PLCγ1/2) through their SH2 domains; PDK1-dependent activation loop phosphorylation, together with C-terminal phosphorylations events, catalyze PKC activity by maintaining the active conformation of the kinase domains (39). While PKCγ is more specific to the brain, PKCα is

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detected in all normal and most tumor tissue types (40). The presence of activated pan-PKC and specifically PKC $\alpha$  was determined by the over-representation of phospho-proteins in T15 (log<sub>2</sub> FC(PKCA) = +0.05; log<sub>2</sub> FC(pPKCA<sup>thr497</sup>) = +0.54; log<sub>2</sub> FC(pPKCA<sup>ser657</sup>) = +1.91), as well as the overexpression of PDK-1 p-ser241, an autophosphorylation site essential for PDK1 activity (Figure 1). Increased levels of this phosphoprotein are a frequent event in breast cancer metastasis, and have been proposed as a candidate for chemosensitisation in innate and acquired resistance (41).

PKC-α, like other protein kinases, plays a role in the regulation of various cellular functions, ranging from cell proliferation and differentiation to control of apoptosis. Requiring HSP90 (log<sub>2</sub> FC in T15 = 0.92) and mTORC2 complex to prime phosphorylation, it is sequentially phosphorylated at Thr497 in the kinase domain by PDK1 and at Thr638 and Ser657 autophosphorylation sites. While in the cytoplasm, the phosphorylated PKC- $\alpha$  is still inactive, until it is recruited to the plasma membrane, where it exerts its functions (42). Its importance in cellular proliferation renders its abnormal expression a transformative event: initial recognition of the role of PKC- $\alpha$  in tumorigenesis was reported by Ways and colleagues (43), where ectopic expression of the isoform in MCF7 cells led to a more aggressive phenotype characterized by increased cell proliferation, anchorage-independent growth, loss of epithelial morphology, and enhanced tumorigenicity in nude mice. Using the same cell line, Gupta et al. (44) attributed the increase in cellular proliferation to ERK activation by PKC-α.

PKC family members were also identified as kinases involved in HER2 endocytosis by Bailey and colleagues (45), by using tanespimycin to inactivate HSP90 (and thus promote receptor internalization for degradation), followed by a kinase inhibitor screen to identify kinases whose inhibition correlated with reduced cell surface clearance of HER2. The activation of PKC by phorbol myristate acetate (PMA), and the specific ectopic expression of constitutively active PKC-α, promoted its colocalization with HER2 into a juxtanuclear compartment without subsequent degradation. Conversely, knockdown of PKC-α by siRNA impaired HER2 trafficking to the ERC. In a previous study, PKC-α was implicated in the positive regulation of cell surface HER2 receptor levels, as assessed by flow cytometry, in breast cancer cell lines classified as HER2 2+ on immunohistochemistry without gene amplification as determined by fluorescence in situ hybridization (FISH) (46).

# Mulitple PKC-independent pathways are activated in intrinsic resistance model, JIMT-1

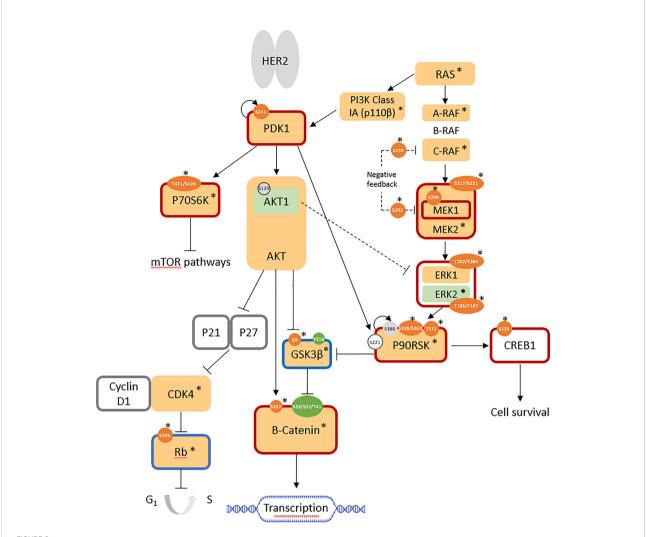
Upon phosphoprotein profiling of JIMT-1 as a HER2-positive breast cancer cell line with intrinsic trastuzumab resistance, it was immediately evident that multiple cell

survival and proliferation pathways were simultaneously upregulated in comparison with SKBR3, but these did not involve PKC proteins (Figure 2). Specifically, the RAS/RAF/ MEK/ERK pathway was highly activated, together with the overexpression of the highly important kinases, PI3K class Ia (p110 $\beta$  isoform; log<sub>2</sub> FC = +1.7) and PDK1 (log<sub>2</sub> FC = +0.8). Upregulated cell cycle progression was indicated by the highly over-expressed CDK4 (log<sub>2</sub> FC: +3.3) and the overall downregulation of the retinoblastoma-associated protein (Rb) tumor suppressor ( $log_2 FC(Rb) = +1.6$ ;  $log_2 FC(pRb^{ser807/811} =$ +2.2; normalized AFI(pRb<sup>ser795</sup>) = 189 (not detected in SKBR3)). GSK3 $\beta$  activity was suppressed (log<sub>2</sub> FC(GSK3 $\beta$ ) = +1.6; AFI  $(pGSK3\beta^{ser9}) = 511$  (not detected in SKBR3)), leading to increased expression (log<sub>2</sub> FC: +7.0) and activity (nonphospho-ser33/37/thr41: AFI = 3541; not detected in SKBR3) of β-catenin, which is associated with an increase in transcriptional activation. Enhanced cell survival was indicated by the overall activation of the cAMP-response-element-binding protein (CREB); despite total protein expression being below the cutoff in all cell lines, the active phosphosite at ser133 was not expressed in SKBR3 but expressed (normalized AFI = 177) in JIMT-1 (Figure 2; Table 1).

Control of these complex signal transduction cascades by feedback loop mechanisms makes the interpretation of some phospho-proteomic results more challenging. Specifically, both activators of the S6 ribosomal protein (RPS6), the p70S6 kinase (p70S6K/S6K1) and the ribosomal S6 kinase (p90RSK/RSK1), were activated in both models of resistance (i.e. T15 and JIMT-1), while RPS6 itself was downregulated in both cell lines. Activation of p70S6K was confirmed by the overrepresentation of its phosphorylation target on mTOR at serine 2448 (47), while activation of RSK1 was confirmed by the over-representation of different activating phosphosites, in both models (Figures 1, 2: Table 1). Also of interest, deregulation of PP2A and the HER2-MYC-PP2A axis were not apparently involved in the intrinsic resistance of JIMT-1 to trastuzumab or the resistance acquired by T15. The PP2A C regulatory subunit was overexpressed at a log<sub>2</sub> FC of 0.83 in JIMT-1 and was not significantly differentially expressed in T15, while its inactivating phosphosite p.tyr307 was significantly underexpressed in both cell lines. Meanwhile, no change in expression of c-myc was observed in the two cell lines in relation to SKBR3 (Table 1).

#### Clinical perspectives

In this study, we focused on the differential protein expression and phosphorylation events in a cellular model of intrinsic resistance (JIMT-1) and one with generated trastuzumab-induced acquired resistance (T15). PKC-mediated MEK/ERK pathway activation was observed in the acquired model (T15) only. Apart from its above-mentioned functions, PKC- $\alpha$  expression maintains the invasiveness of triple-negative



Pathways involved in JIMT-1 trastuzumab resistance ( $\log_2$  FC normalized to SKBR3 signals). AFISimilarly expressed protein: light grey; antibody not available/poor performance: no fill; overexpressed total protein  $\geq 1.5$ -fold ( $\log_2$  FC  $\geq 0.58$ ): light orange;  $\geq 2$ -fold: \*; under-expressed total protein  $\leq 0.67$  ( $\log_2$  FC  $\leq -0.58$ ): light green;  $\leq 0.5$ -fold: \*; overexpressed phosphosite  $\geq 1.5$ -fold: orange;  $\geq 2$ -fold: \*; underexpressed phosphosite  $\leq 0.67$ : green;  $\leq 0.5$ -fold: \*; predicted active protein: dark red outline; predicted inactive protein: dark blue outline.

breast cancer (TNBC) and endocrine resistant cell lines through upregulation of FOXC2, a transcriptional repressor of p120-catenin (CTNND1); a high FOXC2:CTNND1ratio was also associated with shorter disease free survival in TNBC patients in The Cancer Genome Atlas (TCGA) dataset (48). FOXC2 is an epithelial-mesenchymal transition (EMT) marker, a process known to be significantly associated with HER2-positive, metastatic breast cancer in the clinical setting (49). Cells undergoing EMT commonly show upregulation of metalloproteinases (50, 51), which promote HER2 cleavage/ shedding and thus a high ratio of p95:p185 HER2, associated with trastuzumab resistance and poor disease-free survival in HER2+ breast cancer (52). Assessment of the p95:p185 HER2 ratio in plasma exosomes derived from HER2-positive breast cancer patients (30) is a potential tool for the detection of early

metastatic disease and monitoring of response to trastuzumab therapy.

Using the DigiWest® methodology, we interrogated major signal transduction pathways to understand the complex interplay of these pathways and changes following resistance to therapy. Bead-based, multiplex (phospho)protein assays are a very efficient means of studying these pathways, whereby the supporting data from many members of the same pathway, rather than a few candidates (as is permitted by traditional Western blotting techniques) lends robustness to the overall observations. The use of this methodology to characterise exosomes for HER2 receptor ratios, FOXC2 and other EMT markers, metalloproteases,  $TGF\beta$ ; and PD-L1, and other markers of therapeutic resistance can accompany the other developments in liquid biopsy, such as circulating tumour cells

(CTCs) (53) and patterns of cell-free nucleic acids in plasma (54), as well as protein biomarkers in other biofluids such as tears (55), to predict disease development and progression. The potential use of DigiWest<sup>®</sup> to quantitate proteins from various sources provides a multiplex method that can be translated to the clinic, since ultra-high throughput proteomics by mass spectroscopy remain challenging to use in the clinical setting. Understanding treatment resistance mechanisms and incorporating multiplex assays in personalised medicine allows the prediction of early therapeutic resistance and prevents the use of non-beneficial therapies.

#### Conclusion

MAPK (ERK) pathway activation was common to both intrinsic and acquired resistance cellular models. PKC-mediated MEK/ERK pathway activation in the cellular model of acquired trastuzumab resistance generated in this study (T15) was not observed in the intrinsic model, JIMT-1, which is in turn characterized by the PKC-independent activation of various pathways, including PI3K/Akt and RAS/RAF/MEK/ERK activation,  $\beta$  catenin stabilization by inhibitory phosphorylation of GSK3 $\beta$ , cell cycle progression by Rb suppression, and CREB-mediated cell survival. This is a novel observation which merits further investigation that can lead to new therapeutic combinations in HER2-poitive breast cancer with acquired therapeutic resistance to trastuzumab.

#### Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

#### **Author contributions**

JS carried out the experiments and data analysis and contributed to the draft of the manuscript. FS-R and SF

supervised JS during DigiWest analysis that was performed at the NMI institute under the approval of MT. The data analysis was performed using tools provided by MT. CS and GG conceived the study, designed and coordinated the project. GG contributed to the writing of the manuscript. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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REVIEWED BY Jinhui Liu, Nanjing Medical University, China Giovanni Nigita, The Ohio State University, United States

\*CORRESPONDENCE Xianquan Zhan yjzhan2011@gmail.com Na Li qianshoulina@163.com

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## Ovarian cancer subtypes based on the regulatory genes of RNA modifications: Novel prediction model of prognosis

Peixian Zheng<sup>1,2</sup>, Na Li<sup>1,2\*</sup> and Xianguan Zhan<sup>1,2\*</sup>

<sup>1</sup>Shandong Key Laboratory of Radiation Oncology, Shandong Cancer Hospital and Institute, Shandong First Medical University, Jinan, Shandong, China, <sup>2</sup>Medical Science and Technology Innovation Center, Shandong First Medical University, Jinan, Shandong, China

**Background:** Ovarian cancer (OC) is a female reproductive system tumor. RNA modifications play key roles in gene expression regulation. The growing evidence demonstrates that RNA methylation is critical for various biological functions, and that its dysregulation is related to the progression of cancer in human.

**Method:** OC samples were classified into different subtypes (Clusters 1 and 2) based on various RNA-modification regulatory genes (RRGs) in the process of RNA modifications (m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and  $\Psi$ ) by nonnegative matrix factorization method (NMF). Based on differently expressed RRGs (DERRGs) between clusters, a pathologically specific RNA-modification regulatory gene signature was constructed with Lasso regression. Kaplan-Meier analysis and receiver operating characteristic (ROC) curves were used to evaluate the prognostic ability of the identified model. The correlations of clinicopathological features, immune subtypes, immune scores, immune cells, and tumor mutation burden (TMB) were also estimated between different NMF clusters and riskscore groups.

Results: In this study, 59 RRGs in the process of RNA modifications (m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ) were obtained from TCGA database. These RRGs were interactional, and sample clusters based on these regulators were significantly correlated with survival rate, clinical characteristics (involving survival status and pathologic stage), drug sensibility, and immune microenvironment. Furthermore, Lasso regression based on these 21 DERRGs between clusters 1 and 2 constructed a four-DERRG signature (ALYREF, ZC3H13, WTAP, and METTL1). Based on this signature, 307 OC patients were classified into high- and low-risk groups based on median value of riskscores from lasso regression. This identified signature was significantly associated with overall survival, radiation therapy, age, clinical stage, cancer status, and immune cells (involving CD4+ memory resting T cells, plasma cells, and Macrophages M1) of ovarian cancer patients. Further, GSEA revealed that multiple biological behaviors were significantly enriched in different groups.

**Conclusions:** OC patients were classified into two subtypes per these RRGs. This study identified four-DERRG signature (ALYREF, ZC3H13, WTAP, and METTL1) in OC, which was an independent prognostic model for patient stratification, prognostic evaluation, and prediction of response to immunotherapy in ovarian cancer by classifying OC patients into high- and low-risk groups.

KEYWORDS

ovarian cancer, RNA-modification regulatory gene (RRG), differentially expressed RRG (DERRG), RNA modification-related model, tumor immune microenvironment, risk score

#### Introduction

Ovarian cancer (OC) is a malignant gynecological disease in female reproductive system, which evolves as the eighth most frequent type of cancer and also the eighth most conventional death cause in women, accounting for 3.4% and 4.4%, respectively (1). OCs could be classified into epithelial and non-epithelial subtypes. According to histological characteristics of tumor cells, epithelial ovarian cancers are classified into mucinous, serous, endometrioid, or clear cell (2, 3). Different subtypes of OCs significantly influence its prognosis and should be treated in diverse therapy strategies (4). It is reported that 5-year relative survival is below 45% (5), which means there is a poor prognosis in OCs. Currently, surgical cytoreduction remains the main treatment of OCs, followed by neoadjuvant chemotherapy; targeted treatments such as poly ADP-ribose polymerase inhibitors and bevacizumab gradually become the maintenance treatment in the first line of clinical practice (6). Immunotherapy in OCs is getting increasing attention, and the predictiveness of response to immunotherapy may be improved by evaluating sensitive and resistant targeted therapy subpopulations on the basis of tumor biomarkers (7).

RNA modification is an addition of a chemical group on RNA nucleotide chains to regulate the functions of RNA biological behaviors with reference to post-transcriptional regulation (8, 9), which is also called epitranscriptome (10). Up to 170 types of chemical modifications have been discovered in RNAs (11), among which N1-methyladenosine (m1A), N6-methyladenosine (m6A), 2-O-dimethyladenosine (m6Am), 5-methylcytosine (m5C), N7-methyladenosine (m7G), N4-acetylcytidine (ac4C), 3-methylcytidine (m3C), and pseudouridine ( $\Psi$ ) were especially critical. The process of RNA modification was regulated by three distinct clusters of specific proteins called writers, readers, and erasers (9, 12). Writers catalyze the formation of a specific chemical modification on RNAs; erasers catalyze the elimination of a specific chemical modification from the modified RNAs; and

readers are RNA-binding proteins that could recognize and bind the modified RNAs (10). Previous studies identified different varieties of writers, erasers and readers of m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ. For m6A, its writer genes have KIAA1429, ZC3H13, METTL3, METTL14, WTAP, RBM15, and RBM15B, which catalyzed m6A methylation; Erasers included FTO and ALKBH5, which could reverse m6A modification through demethylation change; and readers contained YTHDC1, YTHDC2, YTHDF1, YTHDF2, HNRNPC, IGF2BP1, IGF2BP2, YTHDF3, IGF2BP3, HNRNPA2B1, and RBMX, which could recognize and bind the modified RNAs (13, 14). For m5C, writers (m5C methyltransferases) included TRDMT1, DNMT1, DNMT3A, DNMT3B, NSUN1, NSUN2, NSUN3, NSUN4, NSUN5, NSUN6, and NSUN7; erasers encompassed TET1, TET2, and TET3; and readers included YBX1 and ALYREF (15). For m1A, writers involved TRMT6, TRMT61A, TRMT61B, TRMT10C, and RRP8; erasers included ALKBH1 and ALKBH3; and readers included YTHDF1, YTHDF2, YTHDF3, and YTHDC1 (9, 16, 17). For ac4C, writers included NAT10 and THUMPD1; and erasers and readers remain unknown (18, 19). For m3C, only one writer METTL8 was discovered, and erasers and readers were still undetected (20). For m6Am, writers included PCIF1, METTL3, and METTL4; eraser involved FTO; and no readers were discovered (21-24). For m7G, writers included RNMT, METTL1, and WDR4; the only detected eraser was NUDT16, and readers were still unknown (25, 26). For  $\Psi$ , only writers were known, including PUS1, PUS3, PUS4, PUS7, PUS9, TRUB1, and TRUB2 (9, 10).

With the gradual in-depth studies of RNA modifications, an increasing number of RNA-modification regulatory genes (RRGs) were proved to play crucial roles in the occurrence and development of OCs. For instance, ALKBH3 affected the prognosis of OCs through inducing m1A demethylation to increase the CSF-1 stability (27). m6A demethylase ALKBH5 accelerated the process of ovarian carcinogenesis through NF- $\kappa$ B pathway in a simulated tumor microenvironment (28).

DNMT3A/3B interacted with microRNA-29b in a double-negative feedback way to result in OC progression (29). FTO played a role in the acceleration of cell proliferation, inhibition of apoptosis, and autophagy activation in OC cells (30). The upregulation of HNRNPA2B1 in OC tissue promotes the proliferation of OC cells, which suggests that the upregulation of HNRNPA2B1 was associated with poor prognosis of OCs (31). IGF2BP1 enhanced the invasion of OC cells through inhibiting miRNA impairment gene expression (32). Elevated levels of IGF2BP3 and RNA-binding protein Lin28B were related to platinum chemoresistance as well as poor prognosis of OCs (33). From these studies, it is obvious that different varieties of RRGs significantly influenced the tumorigenesis, tumor progression, tumor aggressiveness, tumor cell proliferation, and drug resistance.

To search for novel cancer treatment strategies, tumor immune microenvironment (TIM) and immunotherapy became a new research hotspot. A study found that EZH2-mediated H3K27me3 along with DNMT1-mediated DNA methylation inhibited generation of Th1 chemokines, including CXCL9 and CXCL10, which helped effector T cells migrate to microenvironment in tumor. In that study, epigenetic modulators were used to eliminate the inhibition in tumor-bearing mice, increasing tumor infiltration of T cells, retarding progression of tumor, and promoting response to PD-L1 checkpoint blockade along with adoptive T cell transfusion. Further, EZH2 in combination with DNMT1 had a negative correlation with CD8+ T cells tumor infiltration and prognosis of OC patients (34). Another study certified the strong physical relationship between RNA-binding ubiquitin ligase MEX3A and IGF2BP2, PABPC1, LAMTOR2, and KHDRBS2, indicating the intense correlation of MEX3A expression level and infiltration of neutrophils, macrophages, dendritic cells, B cells, and CD8+ T cells. Activation of immune cells and immune modulators was related to decrease of mortality rate in OC patients. Additionally, the relevance of MEX3A and lymphocytes (neutrophils, macrophages, dendritic cells, B cells, and CD8+ T cells), immune stimulators, immune inhibitors, and MHC molecules was detected (35). Thereby, RRGs could affect TIM and play crucial roles in prediction of immunotherapy outcomes of OC treatment.

Our study classified 307 OC patients into 2 subtypes based on the expressions of 59 RRGs and identified 21 differentially expressed RRGs (DERRGs) between 2 subtypes. In previous studies, OC subtypes were clustered based on different types of genes using non-negative matrix factorization (NMF) method. According to 426 immune lncRNA pair data, OC samples could be classified into 2 molecular subtypes (36). Similarly, based on the expression profiles of 177 metabolism-related genes after a filtration of prognostic association, 3 different molecular subtypes of OC were obtained (37). Based on the immune cell infiltration in OC tumor microenvironment (TME), all OC samples were

defined into 4 TME clusters, after which 2 genomic OC subtypes were identified according to differential expression genes among TME clusters (38). Another study reported that no more than 3 molecular subtypes should be classified for highgrade serous OC based on cross-population analysis (39). All these obtained subtype classifications were well effective, validated by their clinical feature correlation. Compared to them, this present study classified OC samples into 2 subtypes based on 59 RRGs expression profile, and also got a good consistency with clinical features. Then, lasso regression was used to construct a four-DERRG signature (ALYREF, ZC3H13, WTAP, and METTL1) model, which found the most valuable and critical regulators in m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ RNA modification processes. We conducted a comprehensive study of different types of RNA-modification regulators rather than only one specific RNA modification, which was more generally applicable to the evaluation of OC patients. The four-DERRG signature acted as an independent risk factor, which could be used in patient stratification, prediction, prevention, and immunotherapy targets of OCs. The research flow chart was presented for this study (Figure 1).

#### Methods

#### Data processing

In total, 307 OC patients were enrolled in this study, which contains both complete clinical information and expression data. The corresponding clinical features, including survival status, survival time, and progression-free-survival (PFS) time, were also downloaded from The Cancer Genome Atlas (TCGA) website (Supplementary Table 1). The mRNA expression level of 59 RRGs for different RNA modifications (m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ) was obtained from TCGA website (https://portal.gdc.cancer.gov/) (Supplementary Table 2), and those data were transformed with FPKM. The 59 RRGs included m6A regulators (KIAA1429, ZC3H13, METTL3, METTL14, WTAP, RBM15, RBM15B, FTO, ALKBH5, YTHDC1, YTHDC2, YTHDF1, YTHDF2, HNRNPC, IGF2BP1, IGF2BP2, YTHDF3, IGF2BP3, HNRNPA2B1, and RBMX), m5C regulators (TRDMT1, DNMT1, DNMT3A, DNMT3B, NSUN1, NSUN2, NSUN3, NSUN4, NSUN5, NSUN6, NSUN7, TET1, TET2, TET3, YBX1, and ALYREF), m1A regulators (TRMT6, TRMT61A, TRMT61B, TRMT10C, RRP8, ALKBH1, ALKBH3, YTHDF1, YTHDF2, YTHDF3, and YTHDC1), ac4C regulators (NAT10, and THUMPD1), m3C regulator METTL8, m6Am regulators (PCIF1, FTO, METTL3, and METTL4), m7G regulators (RNMT, METTL1, WDR4, and NUDT16), and  $\Psi$ regulators (PUS1, PUS3, PUS4, PUS7, PUS9, TRUB1, and TRUB2).

## The protein-protein interaction network and drug sensibility of RRGs

In order to evaluate the interactive associations of 59 RRGs for eight types of RNA modifications(m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and  $\Psi$ ), their protein–protein interaction (PPI) network was mapped in the STRING database (https://string-db.org/) with combined score>0.9 (Supplementary Table 3).

The CellMiner (https://discover.nci.nih.gov/cellminer/) was used to evaluate the association between RRG expressions and drug sensibility. CellMiner is a genomic and drug analysis tool for exploring transcripts and drug paradigms of NCI-60 cell line set. NCI-60 cell line set, explored by National Cancer Institute's (NCI) Developmental Therapeutics Program (DTP) in US, was used for anti-cancer drug screening and efficacy evaluation. Their correlation was validated in Corrplot R package with Spearman method (p < 0.05, and |Cor| >= 0.4) on the basis of the relevant data obtained from CellMiner in OCs (Supplementary Table 4).

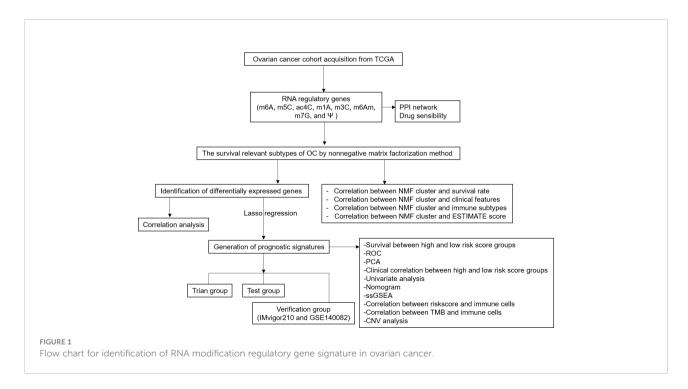
#### Identification of OC subclasses

Based on these 59 RRGs, non-negative matrix factorization (NMF) clustering was analyzed subsequently. NMF clustering method was generally used to identify cancer molecular subtypes. Extracting biological correlation coefficient of data in gene expression matrix, NMF clustering could capture internal structural features to cluster cancer samples by organizing genes and samples. Target dataset was obtained *via* merging gene data

and expression matrix after reading in them. During NMF clustering operation, different numbers of NMF subtypes were obtained. According to cophenetic value, the optimum clustering number was determined, based on which final grouping situation was settled. A filtration process was performed prior to NMF to exclude candidate genes whose median absolute deviation (MAD) values were low (MAD  $\leq$  0.5) in OC patients. The NMF R package (https://www. rdocumentation.org/packages/NMF/versions/0.23.0) was used to perform unsupervised NMF clustering (R version 4.1.1) on the metadata set, and the optimal cluster number 2 was selected as the coexistence correlation coefficient K value (Supplementary Table 5). Based on Kaplan-Meier method, the overall survival (OS) and PFS curves of OC subgroups were obtained using "survival" package in R (R version 4.1.1) software (https://www. bioconductor.org/packages/devel/bioc/vignettes/survtype/inst/ doc/survtype.html).

### The correlation of clinical features in OC subclasses

The corresponding clinical features, including survival time, survival status, age at initial pathologic diagnosis, clinical stage, anatomic subdivision, radiation therapy, primary therapy outcome, histologic grade, lymphatic invasion, cancer status, and tumor residual disease, were also downloaded from TCGA website (Supplementary Table 1). Chi-square test ( $X^2$ ) was used to analyze the correlation of clinical characteristics between NMF clusters 1 and 2, with statistical significance level of p



<0.05. The clinical heatmap of 59 RRGs was performed with "pheatmap" R package (https://cran.r-project.org/web/packages/pheatmap/index.html) between different OC subclasses.

# The different immune subtype, and immune score between different NMF subtypes in OCs

OC samples were classified into four clusters, involving wound healing (Immune C1), IFN-gamma dominant (Immune C2), inflammatory (Immune C3), and lymphocyte depleted (Immune C4) based on immune model subtypes (Supplementary Table 1). Different immune subtype distribution between NFM clusters was analyzed with ggalluvial R package (https://www.rdocumentation.org/packages/ggalluvial/versions/0.12.3/topics/ggalluvial-package).

Based on expression data, stromal cells and immune cells in tissues of malignant tumor were estimated using an ESTIMATE algorithm. Based on specific biomarkers associated with stromal and immune cells infiltration in tumor samples, immune scores were estimated with ESTIMATE algorithm, which was derived from the public source website (https://sourceforge.net/projects/estimateproject/). The immune scores were calculated for each sample (Supplementary Table 6), and compared between different NFM subtypes in OCs. The violin-plots of ESTIMATE algorithm between different NFM subtypes were drawn with ggpubr R package (https://www.rdocumentation.org/packages/ggpubr/versions/0.4.0) with statistical significance level of p<0.05.

## Determination of DERRGs between different clusters in OCs

Unpaired student t-tests were used to calculate DERRGs of 59 RRGs between the NMF clusters 1 and 2 in OC patients, whose difference was statistically significant with adjusted p-value <0.05. Subsequently, Spearman method was used to perform the association between DERRGs by means of Corrplot R package (https://www.rdocumentation.org/packages/corrplot/versions/0.92) (p < 0.05).

## Establishment of DERRG signature in OCs with lasso regression

Lasso regression was a data processing tool, with the help of which prediction accuracy and rationality of the statistical model were enhanced *via* selection and regularization of variates. To obtain better performance parameters, variates were selectively put into the constructed model. Further, overfitting could be avoided *via* regularization of model complexity. The

regularization degree of lasso regression was controlled by parameter lambda, and the penalty intensity of linear model with more variates was positively correlated with the value of lambda, based on which a model with fewer variates could be obtained. The prognostic model was constructed through identifying the relationship between the optimal selection of subset and lasso coefficient estimation. Lasso regression was performed based on DERRGs to identify the DERRG signature related to high risk of OCs, which was validated with the glmnet R package (https://www.rdocumentation.org/packages/glmnet/ versions/4.1-4). The DERRG signature model identified by lasso regression calculated a riskscore associated with pathologically related clinical features for each OC tissue sample. Accordingly, 307 OC patients were randomly assigned to training and test groups, with each group assigned to high-risk and low-risk groups based on the median value of all riskscores (Supplementary Table 7). Further, measurements of riskscorebased classification were tested with receiver operating characteristic (ROC) curve and principal component analysis (PCA). The validity of the prognostic model was evaluated with Kaplan-Meier method in training and test groups.

To eliminate the over-fitting effect, the prognostic value of the DERRG signature was verified with two independent external validation cohorts, including cohorts imvigor210 (Supplementary Tables 8, 9) and GSE140082 (Supplementary Table 10). Imvigor210 study is a phase II clinical study using PD-L1 monoclonal antibody atezolizumab in one arm of locally progressive or metastatic tumor after platinum chemotherapy failure. Objective response rate (ORR) is primary end point, whereas PFS and OS are secondary end points. The response to immunotherapy based on imvigor210 cohort involved stable disease (SD), progressive disease (PD), complete response (CR), and partial response (PR) (Supplementary Table 9). GSE140082 cohort included 380 OC samples and corresponding integrated clinical follow-up information. The validity of the prognostic model in imvigor210 validation cohort and GSE140082 validation cohort was evaluated with Kaplan-Meier method.

Moreover, Cox regression was used to analyze clinical features related to OS in OC patients with univariate model. The clinical relevance of high-risk and low-risk groups was detected with pheatmap R package (http://bioconductor.org/packages/3.8/bioc/html/heatmaps.html). This riskscore evaluation nomogram was performed to assess the prognosis of OC patients including 1-, 3-, and 5-year survival rates, and then verified with decision-making tree method.

Gene-set enrichment analysis (GSEA) is a gratis software for analyzing genomic microarray data containing various functional gene sets. A total of 307 patients were classified into high-risk and low-risk groups based on OC riskscores. GSEA analysis was performed on TCGA data of the two groups to search for the significantly enriched gene sets in high-risk and low-risk groups (Supplementary Table 11).

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## The correlations between riskscore or TMB or CNV and immune cells

CIBERSORT algorithm and LM22 gene signature were used to determine the proportions of different immune cells in OCs, which allow for identifying 22 types of human immune cell phenotypes with high sensitivity and specificity. Preparation of gene expression profiles was performed with standard annotation files, and corresponding data were submitted to the CIBERSORT website (http://cibersort.stanford.edu/), in which LM22 signature and 1,000 permutations were used to run the algorithm (Supplementary Table 12). Corrplot R package was used to analyze the correlation between immune cells and riskscore (https://www.rdocumentation. org/packages/corrplot/versions/0.92) with Spearman method (p < 0.05), encompassing monocytes, macrophages M1, macrophages M2, macrophages M0, eosinophils, neutrophils, mast cells activated, mast cells resting, dendritic cells activated, dendritic cells resting, NK cells activated, NK cells resting, T cells regulatory (Tregs), T cells follicular helper, T cells gamma delta, B cells naïve, plasma cells, B cells memory, T cells CD4 naïve, T cells CD4 memory activated, T cells CD4 memory resting, and T cells CD8.

Apart from this, the Level 3 RNA-seq data of immune checkpoints were selected from TCGA database (https://portal.gdc.cancer.gov/). Different levels of immune checkpoints were analyzed between different methylation subtypes in OCs with unpaired student *t*-tests, including VTCN1, PDCD1, CTLA4, CD276, CD80, CD274, PDCD1LG2, and CD86.

TMB scores were generated with Maftools R package (Supplementary Table 13). The relevance of TMB and immune cells was validated with Corrplot R package (https://www.rdocumentation.org/packages/corrplot/versions/0.92) with Spearman method (p < 0.05).

Copy number variant (CNV) data were based on UCSC Xena datasets (https://xenabrowser.net/datapages/) in Supplementary Table 14. The correlation between identified gene expression in LASSO model and CNV was calculated with Kruskal test (p < 0.05), and boxplots were plotted with barplot R package (https://www.rdocumentation.org/packages/graphics/versions/3.6.2/topics/barplot).

#### Statistical analysis

For variables following a normal distribution, unpaired student t-test was used to calculate the p value, and p < 0.05 was set as the level of statistical significance. Survival curves were generated with the Kaplan-Meier method, and statistical significance of differences was evaluated through the Log-rank (Mantel-Cox) test, in which p < 0.05 represented differences were statistically significant. The hazard ratio of univariate Cox proportional hazard regression model was established with statistical significance of p<0.05. We also shared the code that

was used for this study in a public repository-GitHub (https://github.com/peixianzheng/OCmodel.git).

#### Results

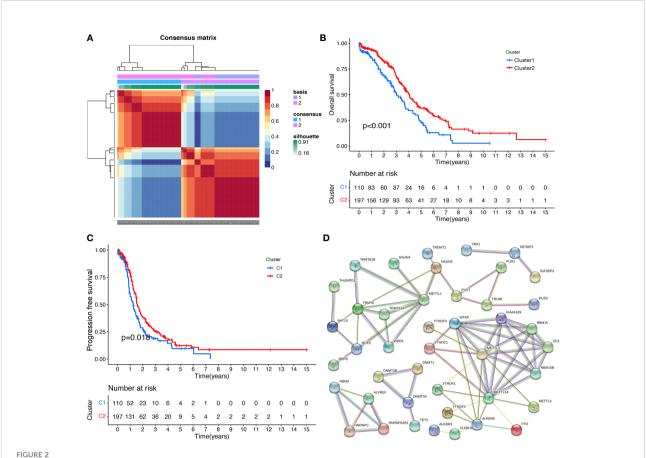
### Ovarian cancer subtypes based on RRGs with NMF

In total, 59 RRGs for RNA modifications (m1A, m6A, m6Am, m5C, m7G, ac4C, m3C, and Ψ) were obtained from TCGA website (Supplementary Table 2), including m6A regulators (KIAA1429, ZC3H13, METTL3, METTL14, WTAP, RBM15, RBM15B, FTO, ALKBH5, YTHDC1, YTHDC2, YTHDF1, YTHDF2, HNRNPC, IGF2BP1, IGF2BP2, YTHDF3, IGF2BP3, HNRNPA2B1, and RBMX), m5C regulators (TRDMT1, DNMT1, DNMT3A, DNMT3B, NSUN1, NSUN2, NSUN3, NSUN4, NSUN5, NSUN6, NSUN7, TET1, TET2, TET3, YBX1, and ALYREF), m1A regulators (TRMT6, TRMT61A, TRMT61B, TRMT10C, RRP8, ALKBH1, ALKBH3, YTHDF1, YTHDF2, YTHDF3, and YTHDC1), ac4C regulators (NAT10, and THUMPD1), m3C regulator METTL8, m6Am regulators (PCIF1, FTO, METTL3, and METTL4), m7G regulators (RNMT, METTL1, WDR4, and NUDT16), and  $\Psi$  regulators (PUS1, PUS3, PUS4, PUS7, PUS9, TRUB1, and TRUB2).

NMF method is an efficient tool for dimensionality reduction of cancer subtype identification. In this study, the best value of clusters number (K) was obtained using factoextra package. When K was equal to 2, the OC samples (n=307) were classified into two distinct subtypes (Cluster 1: n=110; Cluster 2: n=197) by NFM method (Figure 2A; Supplementary Table 5), showing a favourable match between OC samples and their identified subtypes. It is worth noting that OC patients in Cluster 1 showed fine OS status, whereas Cluster 2 patients displayed poor prognosis (Figure 2B). Meanwhile, OC patients in Cluster 1 showed good PFS rate, whereas Cluster 2 had poor prognosis (Figure 2C; Supplementary Table 1).

## The significant PPI network of RRGs and the drug sensibility

Protein-protein interaction analysis was performed on 59 RRGs with STRING. The spectrum of nodes combined scores was from 0.900 to 0.999 (Figure 2D; Supplementary Table 3). Some protein-protein interactions showed high combined scores (>0.999), such as ZC3H13 and KIAA1429, WTAP and KIAA1429, METTL14 and KIAA1429, KIAA1429 and METTL3, METTL1 and WDR4, KIAA1429 and METTL14, METTL14 and METTL3, WTAP and METTL14, METTL3 and KIAA1429, WTAP and METTL3, METTL3 and METTL14, RBM15 and WTAP, TRMT6 and TRMT61A, TRMT61A and TRMT6, WDR4 and METTL1, ZC3H13 and WTAP, KIAA1429 and



The RNA modification subtypes based on NMF analysis. **(A)** Clustering heat map of samples at consensus k = 2. Different colors reflect different cluster numbers; the color gradient is from white to blue, indicating the consensus of progression. **(B)** The OS analysis between two RNA-modification subtypes in ovarian cancer. **(C)** The PFS analysis between two RNA modification subtypes in ovarian cancer. **(D)** The PPI network of RNA-modification regulatory genes.

WTAP, WTAP and METTL3, WTAP and RBM15, METTL14 and WTAP, KIAA1429 and ZC3H13, and ZC3H13 and WTAP.

Some RRGs showed significant associations with drug sensibility, with |correlation coefficient|>0.5 and p<0.05 (Supplementary Table 4), such as PUS1 and triethylenemelamine, PUS1 and thiotepa, ZC3H13 and dabrafenib, PUS1 and 5-fluoro deoxy uridine 10mer, NSUN5 and vorinostat, YTHDC2 and nelarabine, ZC3H13 and selumetinib, ALYREF and floxuridine, RBMX and nelarabine, PUS1 and cytarabine, PUS1 and cladribine, TRUB2 and vorinostat, NSUN6 and nelarabine, RBMX and chelerythrine, ALYREF and 5-fluoro deoxy uridine 10mer, DNMT3A and nelarabine, IGF2BP2 and dexrazoxane, IGF2BP2 and SR16157. Some of them were plotted (Figure 3A).

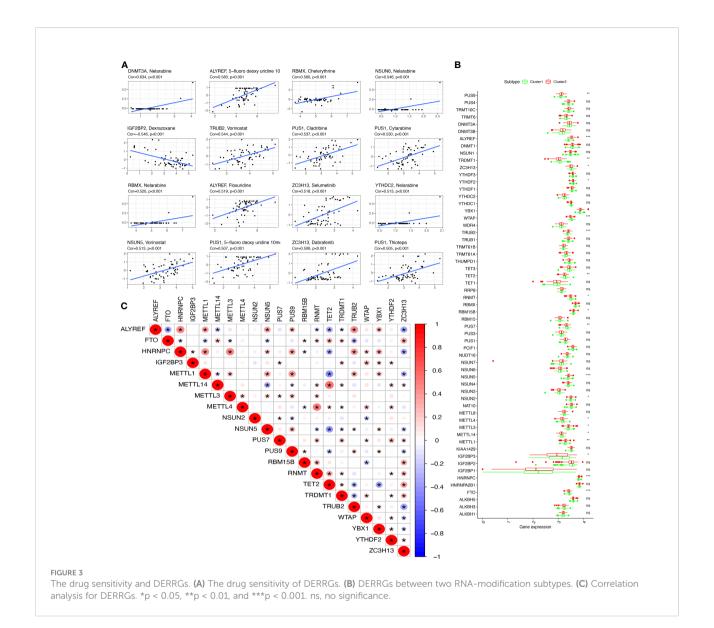
## DERRGs between clusters 1 and 2 of OCs

The DERRGs of 59 RRGs were calculated with adjusted p-value <0.05, from which 21 DERRGs were identified, including

PUS9, ALYREF, TRDMT1, ZC3H13, YTHDF2, YBX1, WTAP, TRUB2, TET2, RNMT, RBM15B, PUS7, NSUN5, NSUN2, METTL3, METTL4, METTL14, METTL1, IGF2BP3, HNRNPC, and FTO (Figure 3B). Subsequently, the association between DERRGs was evaluated using Corrplot with Spearman method (p < 0.05). Some of them showed high correlation coefficient, including METTL14 and TET2, METTL1 and TET2, HNRNPC and METTL1, HNRNPC and METTL3, HNRNPC and ALYREF, ALYREF and TRUB2, METTL4 and RNMT (Figure 3C).

## Correlation between OC subtypes and clinical characteristics or immune

Clinical information was obtained from TCGA database, including age (from 30 to 84 years), survival status (alive and dead), anatomic subdivision (left, bilateral and right), follow-up outcome (complete remission/response, partial remission/response, stable disease, and progressive disease), pathologic



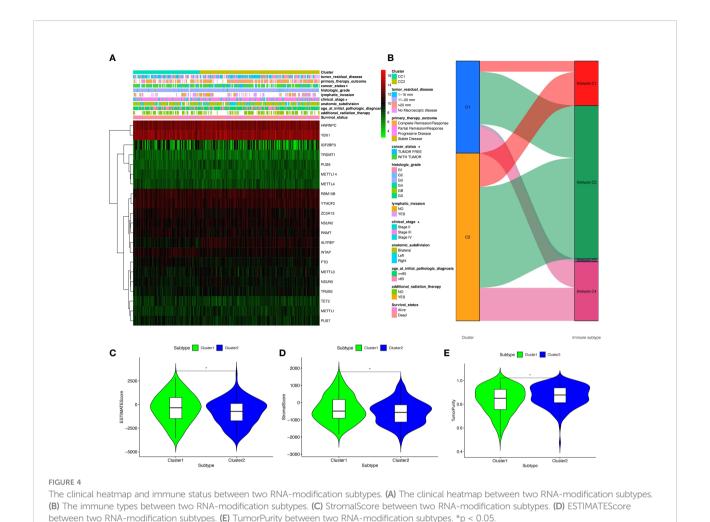
stage (stages I, II, III, and IV), cancer status (with tumor or tumorfree), lymph node metastasis (yes/no), radiation therapy(yes/no), histologic grade (G1-G3), and tumor residual disease (No macroscopic disease, 1-10 mm, 11-20 mm, and >20 mm) (Supplementary Table 1). Further, the correlation between clinical characteristics and OC subtypes was explored. Some clinical characteristics, such as pathologic stage and cancer status, were significantly associated with OC subtypes (Figure 4A).

Additionally, the correlation between OC subtypes and immune was also analyzed, including immune type (Supplementary Table 1) and immune-related scores (Supplementary Table 6). Immune type correlation analysis showed that, in Cluster 1, 11 samples were enriched in immune type C1, 52 samples in immune type C2, 3 samples in immune type C3, and 27 samples in immune type C4; whereas, in Cluster 2, 35 samples were enriched in immune type C1, 104 samples in

immune type C2, and 34 samples in immune type C4. Clusters 1 and 2 showed significant different distribution among different immune types (Figure 4B). In terms of immune-related scores, Cluster 1 showed higher StromalScore and ESTIMATEScore, and lower TumorPurity compared to Cluster 2 (Figures 4C–E).

## Construction of riskscore model based on four DERRGs

The OC samples were randomly divided into training (n=155) and test groups (n=152). Training and test groups were divided into high-risk and low-risk score groups according to the riskscores based on 21 DERRGs (Supplementary Table 7). A set of four DERRGs (ALYREF, ZC3H13, WTAP, and METTL1) were found to increase the

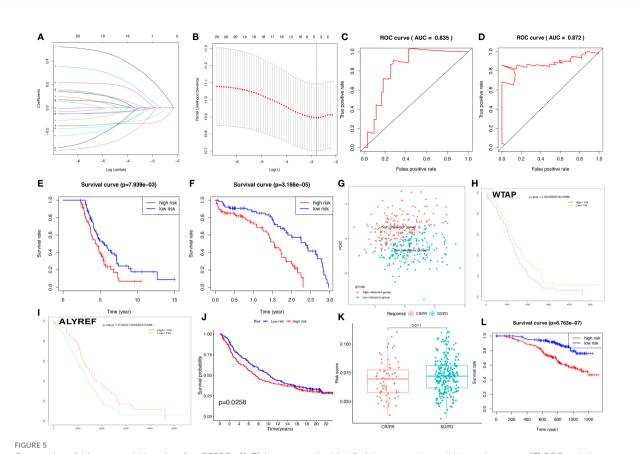


risk of poor prognosis in OCs based on Lasso regression analysis (Figures 5A, B; Supplementary Table 7), when log (lambda) was set between -2 and -3. Thus, the obtained risk scoring formula was as follows: risk score = -0.116404020427426\*ALYREF + 0.0203573242796506\*ZC3H13 + 0.186320163255671\*WTAP + -0.0528745603956501\*METTL1. Per the ROC curve, area under the curve (AUC) was equal to 0.835 in training group, and ROC curve showed AUC= 0.872 in test group (Figures 5C, D). OS analysis was performed with Kaplan-Meier method between high-risk and low-risk score groups in the training and test clusters, respectively. Overall survival rate was significantly different (Figures 5E, F). Validated by PCA, it is observed that the whole OC samples were well classified into high-risk and low-risk groups based on riskscores (Figure 5G). Among the identified DERRGs in prognosis model, ALYREF and WTAP individually was significantly related to OS (Figures 5H, I). The constructed riskscore model based on 4 DERRGs was also verified by two independent external validation cohorts (Supplementary Tables 8-10). The imvigor210 cohort showed that the prognosis of high-riskscore group was poorer than that

of low-riskscore group (Figure 5J). The response to immunotherapy based on imvigor210 cohort showed that PD and SD had high riskscores, whereas PR and CR had low riskscores (Figure 5K). Additionally, the GSE140082 cohort showed that the prognosis of high-riskscore group was poorer than that of low-riskscore group too (Figure 5L).

The heatmap illustrated that the riskscore group had a significant connection with clinical characteristics, including age at initial diagnosis, cancer status, pathologic stage, and radiation therapy (Figure 6A). The univariate Cox regression analysis found that OS was significantly correlated with age at initial pathologic diagnosis, cancer status, anatomic subdivision, tumor residual disease, primary therapy outcome, and riskscore (Figure 6B). Furthermore, the nomogram was drawn to predict the survival rate (1, 3, 5 year) of OC patients based on basic clinical features and riskscore (Figure 6C). The decision-making tree plot verified that nomogram could provide good effect (Figure 6D).

The ssGSEA was executed between high- and low-riskscore groups to show the different gene sets. A total of 44 significant gene sets have been enriched (Supplementary Table 11). The gene sets



Construction of riskscore model based on four DERRGs. (A, B). Lasso regression identified the prognostic model in ovarian cancer. (C) ROC analysis between high- and low-riskscore groups in training group. (D) ROC analysis between high- and low-riskscore groups in test group. (E) OS analysis between high- and low-riskscore groups in training group. (F) OS analysis between high- and low-riskscore groups in test group. (G) PCA analysis between high- and low-riskscore groups in ovarian cancer. (H) OS analysis of WTAP. (I) OS analysis of ALYREF. (J) OS analysis between high- and low-riskscore groups in imvigor210 cohort. (K) The response for immunethreapy based on imvigor210 cohort showed stable disease (SD), progressive disease (PD), complete response (CR), and partial response (PR). (L). OS analysis between high- and low-riskscore groups in GSE140082 cohort.

were significantly enriched in WELCSH BRCA1 TARGETS DN, PENG GLUTAMINE DEPRIVATION DN, REACTOME PROCESSING OF CAPPED INTRONLESS PRE MRNA, BONOME OVARIAN CANCER POOR SURVIVAL DN, WONG EMBRYONIC STEM CELL CORE, KEGG OXIDATIVE PHOSPHORYLATION, LU EZH2 TARGETS UP, etc, between high and low riskscore groups (Figure 6E, F; Supplementary Table 11).

## The four-DERRG signature-based riskscores were significantly correlated with immune and TMB and CNV

The four-DERRG signature-based riskscores were positively correlated with CD4+ memory resting T cells, and negatively correlated with macrophages M1 and plasma cells (Figure 7A, Supplementary Table 12). Additionally, immune checkpoints also showed significant differences between these high- and low-riskscore subtypes (Figure 7B), such as CD276. The TMB was

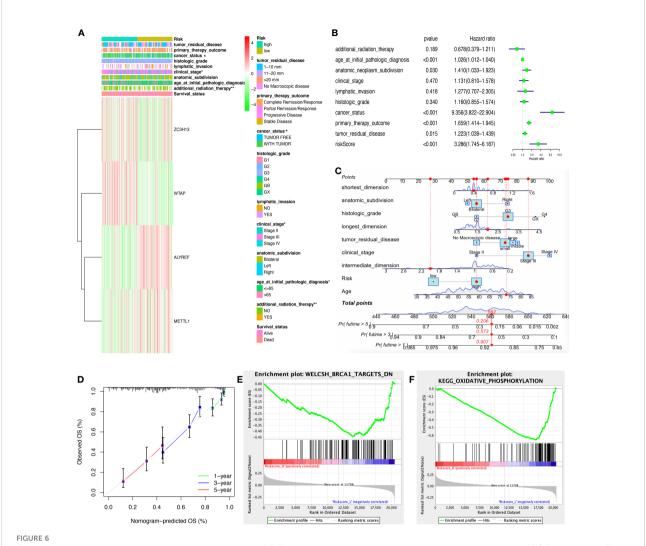
positively correlated with Macrophages M1, T cells gamma delta, B cells memory, and showed negative correlation with NK cells activated, and B cells naïve (Figure 7C; Supplementary Table 13).

CNV was the repeated sections of the genome that varied between individuals. Whether the CNV affected the expression of identified genes in LASSO model (ALYREF, ZC3H13, WTAP, and METTL1), the expression perturbations of identified genes were therefore explored (Supplementary Table 14). The CNV alteration frequencies of those genes were widespread positively correlated with the expressions of those genes (Figures 7D–G).

#### Discussion

## Role of RNA modification and its regulation in OCs

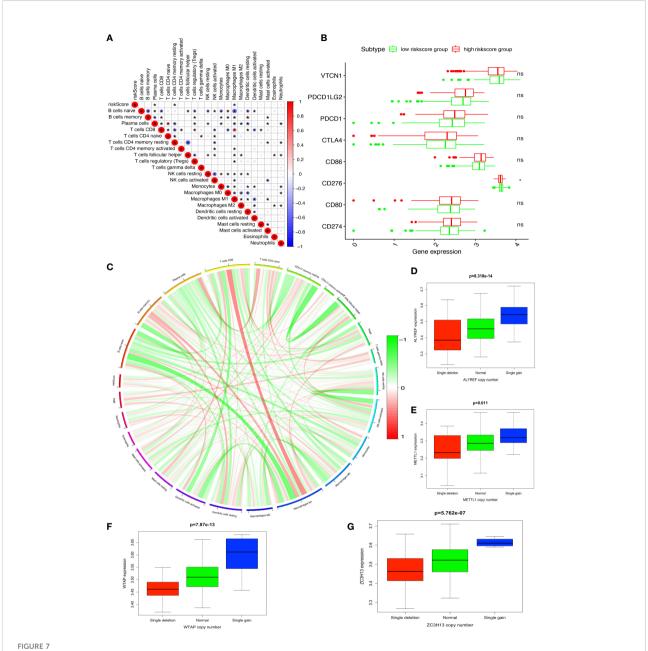
More than 170 diverse types of post-transcriptional modifications were detected to be emerged in RNAs. All these



Clinical correlation between high- and low-riskscore groups. (A) The clinical heatmap between high- and low-riskscore groups. (B) The univariate Cox regression analysis of risk factors in ovarian cancer. (C) The risk score assessment nomogram to evaluate prognosis in ovarian cancer (1-, 3-, and 5-year survival rates). (D) The decision-making tree plot of nomogram. (E) GSEA plot of WELCSH\_BRCA1\_TARGETS\_DN between high and low riskscore groups. (F) GSEA plot of KEGG\_OXIDATIVE\_PHOSPHORYLATION between high and low riskscore groups. \*p < 0.05 and \*\*p < 0.01.

modifications could occur in ribose and four RNA bases, and all RNA species could be modified, especially transfer RNAs (tRNAs) and ribosomal RNAs (rRNAs) (40). Much evidence suggested that dysregulation of the RNA epigenetic pathways played a crucial role in pathogenesis of many human cancers (9). It is known that RNA modification process was dynamic, which helped cells promptly adapt to changes in the microenvironment (41). The capability of adapting the changes of microenvironment played a crucial role in survival of tumor cells, suggesting that RNA modification was vital in cancer (10). Cancer was defined as a disease featured by the progressive accumulation of genetic and epigenetic changes in diverse oncogenes as well as tumor suppressor genes. Meanwhile, a growing number of studies have showed that epitranscriptomics played an important part in the pathological process. RNA

modifications have been proved to be crucial regulators of cancer (9). Abnormal expressions of RNA modification regulators were functionally associated with cell proliferation, cell differentiation, cell self-renewal, invasion, stress adaptation, treatment resistance, and survival; and all of them were important features in cancer (10). For instance, in liver cancer, YTHDF2 promoted the phenotype of cancer stem cell and cancer metastasis through regulation in m6A methylation of pluripotency factor OCT4 mRNA (42). In bladder cancer, ALYREF was proved to strengthen the stability of PKM2 mRNA and bind to m5C sites of specific regions. ALYREF high expression increased cancer cell proliferation *via* glycolysis reaction mediated by PKM2 (43). Also in bladder cancer, ac4C modification mediated by NAT-10 has been certified to increase bladder cancer progression (44). Additionally, in lung cancer,



Immune and TMB between high- and low-riskscore groups. (A) The correlation between riskscore and immune cells. (B) The correlation between riskscore and immune cells. (C) The correlation between TMB and immune cells. (D) The cor-relations between mRNA expression and CNV alteration frequency of ALYREF in ovarian cancer. (E) The cor-relations between mRNA expression and CNV alteration frequency of ZC3H13 in ovarian cancer. (F) The cor-relations between mRNA expression and CNV alteration frequency of WTAP in ovarian cancer. (G) The cor-relations between mRNA expression and CNV alteration frequency of METTL1 in ovarian cancer. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001. ns, no significance.

m7G tRNA modifications mediated by METTL1/WDR4 were found to play a crucial role in regulation of mRNA translation process and cancer progression (45). In OCs, m6A modifications mediated by FTO restrained cancer stem cells self-renewing process through inhibition of cAMP signaling (46). This present study further demonstrated the significance of RNA modification along with its regulation in cancers involving OCs.

## Role of RNA modification and its regulation in immune microenvironment and immunotherapy of OCs

RNA modifications and its regulation were closely associated with immune microenvironment in OCs and other types of tumors, including immune molecules, immune cells, and

immune pathways. Recent studies revealed that RNA modifications regulated activation of immune cells and their infiltration in tumor microenvironment, and afterwards influenced the immunotherapy outcomes. In consequence, RNA modifications had great value as tumor immunotherapy targets (47). A study found that ALKBH5, an important m6A demethylase, regulated PD-L1 expression in intrahepatic cholangiocarcinoma. ALKBH5 suppressed enlargement and cytotoxicity of T cells through preserving PD-L1 expression. Moreover, ALKBH5 played a complex part in tumor immune microenvironment, mainly manifested in overexpression of PD-L1 on mononuclear macrophage and reduced infiltration of myeloid-derived suppressor-like cells (48). Another study revealed positive correlation between m6A writer METTL3 expressions and effector molecules in natural killer (NK) cells. The homeostasis of NK cells was changed with loss of METTL3 in NK cells, and infiltration and function of NK cells were inhibited in tumor microenvironment, which resulted in increasing rate of tumor growth and reduced survival time in mice. The protein expression level of SHP-2 modified by m6A regulators was decreased in METTL3-deficient NK cells. IL-15 response was decreased with reduced SHP-2 activity in METTL3-deficient NK cells, which was related to inhibition of activating AKT and MAPK signaling pathways (49). In addition, a study reported overexpression of circIGF2BP3 was negatively correlated with CD8+ T cells infiltration in non-small cell lung cancer, which functionally compromised antitumor immunity in immunodeficient mice. METTL3 mediated circIGF2BP3 m6A modification and promoted its circulation via YTHDC1. CircIGF2BP3 disrupted cancer immune response through upregulating PKP3 expression via miR-328-3p and miR-3173-5p. Further, PKP3 strengthened the stability of OTUB1 mRNA through binding to the RNA-binding protein FXR1, which increased PD-L1 enrichment through promoting deubiquitination. The deletion of PD-L1 in tumor entirely interrupted the effect of circIGF2BP3/PKP3 axis on response to CD8+ T cells. CircIGF2BP3/PKP3 inhibition increased the efficacy of anti-PD-1 treatment in lung cancer mouse model (50). In terms of ovarian OCs, a study demonstrated m1A modifications played critical roles in tumor immune microenvironment formation and prognosis of OC patients (51). Identically, m6A modification was proved to play an essential part in tumor microenvironment cell infiltration in OCs (52). This present study further analyzed the relationship of OC subtypes and immune types. The results showed obviously different distribution of immune types in different clusters, indicating immune molecules, immune cells, or immune pathways involved in different OC subtypes may be different. Additionally, we constructed four-DERRG signature model to calculate riskscores of OC patients and found it was positively correlated with CD4+ memory resting T cells, and negatively correlated with plasma cells and macrophages M1, which suggested ones to pay more attention to these three types of

immune cells and their potential target functions in OC immunotherapy.

#### Role of identified RNA regulator genes and significance of related drug sensibility in OCs

In total, 59 RRGs were identified in this study, most of which were proved to be associated with OC pathogenesis in previous studies. DNMT1 was a key RRG in chemotherapy resistance of OCs, and the feedback regulation between DNMT1 and miR-30a/c-5p played an important part in epithelial-mesenchymal transition and cisplatin-resistance (53). Similarly, overexpression of miR-185 or miR-152 inhibited cell proliferation and promoted apoptosis to increase drug sensibility to cisplatin through suppressing DNMT1 directly in OCs (54). Another study reported that ubiquitin-conjugating enzyme E2 N regulated paclitaxel sensibility of OC cells via DNMT1-CHFR-Aurora A pathway (55). A transcriptome m6A methylation analysis towards endometrioid ovarian cancer showed the influence of METTL3 on endometrioid ovarian cancer, and revealed the knockout of METTL3 resulted in distinct decrease of proliferation, increasing apoptosis, and G0/ G1 blocking of cell cycle (56). Other studies proved that METTL3 increased OC progression and promoted invasion via epithelial-mesenchymal transition and AXL translation (57), and accelerated tumorigenesis and metastasis through suppressing CCNG2 expression targeting miR-1246 in OC (58). Furthermore, another study illustrated the important role of METTL3 in mediating miR-126-5p maturation and promoting OC progression via PI3K/Akt/mTOR pathway (59). A meta-analysis suggested that METTL3 upregulation was significantly associated with poor prognosis of OC patients (60). TBX1 was a prognostic marker of multidrug resistance and cancer progression. Nuclear YBX1 expression level might be an independent factor of poor prognosis in OCs (61), and YBX1 nuclear translocation was regulated by Akt activation, influencing drug resistance genes expression in OC cells (62). YBX1 inhibition might contribute to reduction of cancer progression, antagonism of treatment resistance, and decrease of OC patient mortality (63). IGF2BP1 strengthened aggressiveness of OC cells through antagonizing miRNAimpaired gene expression (32), and enhanced invasive growth of OC cells driven by SRC/MAPK (64). DNMT3A promoted Warburg effect via miR-145 in OC cells (65). Double negative feedback of miR-29b and DNMT3A/3B promoted OC progression (29). Feedback between DNMT3A and miR-143 was a critical epigenetic regulator of cisplatin resistance in OCs (66). WTAP acting as an oncogenic factor promoted OC progression via WTAP-HBS1L/FAM76A axis (67). WTAP was highly expressed in high-grade serous OCs. WTAP overexpression was significantly related to lymphatic

metastasis, whereas down regulation of WTAP contributed to weakness of cell proliferation as well as migration, and increased apoptosis in OC cell lines (68). ALKBH5 suppressed autophagy and enhanced proliferation and invasion via BCL-2 and miR-7 in epithelial ovarian cancer (69). Tumor growth and resistance to cisplatin were promoted via ALKBH5-HOXA10 loop through mediating JAK2/STAT3 signaling pathway in epithelial ovarian cancer (70). A multi-omics analysis of OCs showed that YTHDF1 promoted translation of EIF3C through combining with EIF3C mRNA modified by m6A and simultaneously promoted the whole output of translation to accelerate the OC tumorigenesis and metastasis (71). Knockdown of YTHDF1 suppressed cancer stem cell-like characteristics in OC cells resistant to cisplatin (72). TET1 inhibited Wnt/β-catenin signaling pathway through demethylating and upregulating SFRP2 and DKK1, two upstream antagonists in this pathway, to suppress cell metastasis and epithelial-mesenchymal transition in OCs (73). TET1 expression was related to not only low survival rate of terminal epithelial ovarian carcinoma, but migration, growth, stemness, and tumorigenicity of OC cells (74). TET1 expression also resulted in cisplatin resistance targeting vimentin in OCs (75). A study found that TET2 was significantly correlated with tumor-related fibroblast infiltration in OCs (76). IGF2BP2 increased aggressiveness and stemness by upregulating circ\_0000745 via a miR-3187-3p/ERBB4/PI3K/ AKT axis in OC cells (77). HNRNPC and nuclear factor I X were targeted by miR-744-5p in inducing apoptosis of OC cells (78). HNRNPA2B1 promoted OC malignant phenotype by upregulating expression of Lin28B (31). YTHDF2 distinctly accelerated cell proliferation and metastasis in epithelial ovarian cancer cell lines, and its overexpression reversed the decrease of cell proliferation and migration of epithelial ovarian cancer mediated by miR-145 (79). YTHDC2 was verified to play a key part in controlling meiosis in human, within which pathogenic variants were related to primary ovarian insufficiency (80). NAT10 was involved in tubulin processing, associated with cell growth in epithelial ovarian cancer (81). METTL14 overexpression inhibited cell proliferation of OC through suppressing expression of TROAP based on m6A RNA methylation (82). IGF2BP3 overexpression inhibited cancer cell apoptosis. The volume of tumors decreased and cancer metastasis indicator proteins were downregulated after treated with IGF2BP3 siRNA in ovarian clear cell carcinoma (83). Knockdown of IGF2BP3 reduced cell proliferation, invasion and migration, and enhanced platinum sensibility through increasing hCTR1 expression in OC cells, a copper transporter taking part in platinum uptake (33). FTO inhibited self-renewing of stem cells in OC and tumorigenesis via cAMP signaling pathway (46). Overexpression of FTO significantly promoted viability and autophagy, but reduced apoptosis in OCs (30). A bioinformatics analysis suggested that PUS7 was a potential marker for diagnosis and target for OC treatment (84). TET3 blocked epithelial-mesenchymal transition induced by TGF- $\beta$ 1 through demethylating miR-30d precursor gene promoter to suppress OCs (85). TRDMT1 overexpression decreased cisplatin sensibility and TRDMT1 inhibitor could reverse this change (86). TRMT10C silencing inhibited cell proliferation, migration and clone formation in OCs (87). These research results demonstrated that RRGs played crucial roles in OC biological behaviors and clinical characteristics. Further, RRGs were potential therapeutic targets in OC treatment strategies.

In previous study, many RRGs were certified to associate with drug sensibility or drug resistance in OCs, such as DNMT1 and cisplatin (53, 54), DNMT1 and paclitaxel (55), DNMT3A and cisplatin (66), ALKBH5 and cisplatin (70), TET1 and cisplatin (75), IGF2BP3 and platinum (33), and TRDMT1 and cisplatin (86). Similarly, this present study also found some RRGs were significantly associated with different types of drug sensibility in OCs, such as PUS1 and triethylenemelamine, PUS1 and thiotepa, ZC3H13 and dabrafenib, PUS1 and 5-fluoro deoxy uridine 10mer, NSUN5 and vorinostat, YTHDC2 and nelarabine, ZC3H13 and selumetinib, ALYREF and floxuridine, RBMX and nelarabine, PUS1 and cytarabine, PUS1 and cladribine, TRUB2 and vorinostat, NSUN6 and nelarabine, RBMX and chelerythrine, ALYREF and 5-fluoro deoxy uridine 10mer, DNMT3A and nelarabine, IGF2BP2 and dexrazoxane, and IGF2BP2 and SR16157. Vorinostat, one kind of histone deacetylase inhibitor, has been validated to play a role in multiple tumor treatments, such as melanoma (88), malignant glioma (89), and glioblastoma (90), in a RNA modification regulation manner. Chelerythrine, extracted from four plants of families Rutaceae and Papaveraceae, was one type of plant active ingredient with diverse functions involving anti-inflammation, analgesia, anti-bacteria and anticancer (91). Cladribine, a chlorodeoxyadenosine, acted as the first line treatment of hairy cell leukemia, and it could also be used in the drug therapies of adult systemic mastocytosis and multiple sclerosis (92-94). Cytarabine was one of the most crucial chemotherapy drugs in acute myeloid leukemia, which was usually combined with daunorubicin (95). Dabrafenib was an inhibitor of BRAF kinase, which could be used solely to treat unresectable or metastatic melanoma with BRAF V600E mutation, and to treat BRAF V600E or V600K mutated melanoma combined with trametinib (96). Similarly, for anaplastic thyroid cancer with BRAF V600E mutation, dabrafenib was also recommended together with trametinib (97). Dexrazoxane was an antidote for anthracycline chemotherapy extravasation approved by Food and Drug Administration (FDA), with a prominent cardioprotectant role in anthracycline-induced cardiotoxicity when treating cancers such as breast cancer (98-100). Floxuridine was a pyrimidine analogue routinely applied in colorectal cancer liver metastases management, progressively evolving as the superior drug for hepatic arterial infusional chemotherapy (101). Nelarabine, a synthetic antineoplastic compound targeted to T cell lymphoblastic leukemia and lymphoma, was an effective drug to

treat pediatric and adult T cell acute lymphoblastic leukemia and lymphoma (102). Selumetinib, a highly specific inhibitor of mitogen activated protein kinase 1 and 2, was mainly used in treatments of neurofibromas related to neurofibromatosis type 1, pediatric low-grade gliomas, non-small cell lung cancer, and melanoma (103). SR16157 was a steroid sulfatase inhibitor and also a selective estrogen receptor  $\alpha$  modulator, which has been used in clinical trials of breast cancer (104). Triethylenemelamine, owning a nitrogen mustardlike effect, was a crucial chemotherapy agent useful in the management of diverse neoplastic diseases, such as Hodgkin's disease, malignant lymphoma, and chronic lymphocytic leukemia (105). Thiotepa was an alkylating agent used in the treatment of breast cancer, ovarian cancer, and bladder cancer currently (106-108). This finding gave ones a deep insight to understand the relationship between RRGs and drug sensibility. Meanwhile, it provided clues to explore the mechanism of drug sensibility change that is regulated at the RNA modification level, and opened up various novel possibilities in OC treatment strategies.

## Significance of four-DERRG signature model and differential signaling pathway

Among 21 DERRGs, four DERRGs (ALYREF, ZC3H13, WTAP, and METTL1) that were significantly associated with poor prognosis in OCs were selected to construct a four-DERRG signature model with Lasso regression analysis. Based on the established risk scoring formula, one could calculate riskscore of every OC sample with high accuracy, and then all OC patients were classified into high-risk and low-risk groups according to the mean values of their riskscores. This study found that overall survival rate was connected with subgroups both in training and test groups, indicating that overall survival rate of OC patients could be forecasted based on this riskscore model. External validation cohort results were also consistent with internal ones, and further suggested this riskscore model can be applicable for assessment of immunotherapy response and prognosis in OC patients. Additionally, a significant correlation between clinical features and risk groups was discovered, including age at initial diagnosis, clinical stage, cancer status, and radiation therapy, which suggested that potential initial diagnostic time, clinicopathological typing of tumors, tumorigenesis, and effectiveness of treatment strategies in OCs could be estimated based on this risk model. Moreover, riskscore was found to act as an independent hazard factor for overall survival rate of OC patients. Thus, this present study provided a succinct and clear method to estimate the patient survival rate of one-, three- and five-year, in which shortest dimension, longest dimension, intermediate dimension, anatomic subdivision, histologic grade, tumor residual disease, clinical stage, age, and riskscore were involved. It provided ones

a novel pattern to score the prognosis of OC patients, which would contribute to patient stage grading and clinical treatment.

Among four-DERRGs (ALYREF, ZC3H13, WTAP, and METTL1) in the prognosis model, WTAP has been widely studied in OCs. WTAP acting as an oncogenic factor was related to cell proliferation, migration, cancer progression, and lymphatic metastasis of OCs (67, 68). According to the riskscore formula, the value of calculated riskscore showed positive correlation with WTAP expression level, which was consistent with previous study. Furthermore, the value of riskscore was also positively correlated with ZC3H13, whereas it was negatively correlated with ALYREF and METTL1. Although no specific clinical researches explore the association between OC prognosis and expression levels of ZC3H13, ALYREF, and METTL1, this present study emphasized their important roles in OC pathological features and prognosis.

Differentially enriched pathways were found between highrisk and low-risk groups. In high-risk group, significantly enriched pathways included calcium signaling pathway, focal adhesion, arrhythmogenic right ventricular cardiomyopathy (arvc), complement and coagulation cascades, vascular smooth muscle contraction, dilated cardiomyopathy, hypertrophic cardiomyopathy (hcm), and neuroactive ligand receptor interaction. Among them, focal adhesion was an important signaling pathway in cell migration (109), and calcium signaling pathway controlled multiple cell processes, such as cell proliferation and metabolism (110), which were consistent with features of OC cells. Other pathways like arrhythmogenic right ventricular cardiomyopathy (arvc), dilated cardiomyopathy, complement and coagulation cascades, vascular smooth muscle contraction, and hypertrophic cardiomyopathy (hcm), were all key pathways in disease of cardiovascular system, which indicated that drugs targeting these signaling pathways for cardiovascular diseases might have potential roles in reduction of OC risk and treatment. The discovery of differential enriched pathways provided ones with novel medication regimens to lower the risk of OCs through blocking these signaling pathways.

#### Relationship between RNA methylation and identified differential immune cells/immune checkpoints/TMB and role in OCs

This present study found that the prognostic model-based riskscore was positively correlated with CD4+ memory resting T cells, and negatively correlated with plasma cells and macrophages M1, which demonstrated that high-risk group was dominated by high-level infiltration of CD4+ memory resting T cells. Both high-level of CD4+ memory resting T cells infiltration and low-level of plasma cells and

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macrophages M1 infiltration might imply poor prognosis of OC patients. CD4+ memory resting T cells were differentiated from naïve CD4+ T cells experiencing an antigen so that molecular alterations inevitably emerged in CD4+ memory resting T cells after exposure. A multi-omic comparative analysis showed that methylation levels of promoter regions in kinases LYN, SGK1, and transmethylase METTL7A, and hydrolase DDAH2 were elevated, and concurrently gene expression levels decreased (111). Plasma cells as antibody-secreting cells had tremendous speed of immunoglobulin-coding genes in transcription, translation, assembly and secretion. Plasma cells were differentiated from B cells with the help of IRF4 and Blimp-1. Blimp-1 and XBP1 were critical upstream regulatory factors of the unfolded protein response in plasma cells (112). Macrophages M1 were induced by IFN-γ with the function of intense bactericidal and anti-inflammatory effects. m6A writer METTL3 actuated macrophages M1 polarization through methylating STAT1 mRNA (113).

Immune checkpoint therapy was a novel and attentiongetting tumor treatment, which could strengthen anti-tumor immune response of T cells with broad application prospects. CD276, also known as B7-H3, was a member of B7 family. A review summarized the role of CD276 in cancers, regulation mechanism and its potential therapeutic value (114). CD276 took part in the regulation of cell cycle, cell differentiation, proliferation, invasion, apoptosis, and epithelial-mesenchymal transition, and also participated in tumor metastasis. Moreover, in aspect of immune regulation, CD276 had synergistic effects with CTLA4, PD-1, PD-L1, and PD-L2 in inhibition of T cells proliferation and activation, and IFN-γ, TNF-α, and other cytokines secretion (114). This present study found that CD276 was a differential immune checkpoint molecule between high- and low-risk groups, which indicated its crucial function in OC progression and suggested that CD276 immune checkpoint inhibitors might have a considerable effect on OC immune therapy.

Tumor mutation burden (TMB) was an emerging potential biomarker for immune checkpoint blockade selection in diverse cancers. Mutation-derived neoantigens in tumor DNA could be identified and targeted by human immune system. After transcription and translation, peptides containing mutationderived neoantigens could be processed and transferred to MHC molecules, and appear on the surface of cells. It is certain that the more mutations a tumor had, the more neoantigens it formed, and the more likely immune treatments would work (115). TMB has become an important predictor of immune checkpoint blockade outcomes and an available biomarker to identify patients who would benefit from immune therapy (115). A study found that high TMB was significantly correlated with better PFS and OS in OCs (116). This present study found that TMB was positively correlated with macrophages M1, T cells gamma delta, B cells memory, and negatively correlated with NK cells activated, and B cells naïve,

which demonstrated that TMB could be estimated *via* immune cell infiltration and further contributed to immune therapy strategies of OCs. Although the experimental validation of LASSO model in clinical samples is able to strengthen a computational study, it is generally not required for a computational study; the use of extra database to validate it is also acceptable. Also, it is so difficult to collect enough samples to verify the LASSO model. Thus, we used extra database to verify our LASSO model, which provides us the preliminary work for the deep validation in real clinical samples in future.

#### Conclusion

RNA modification and its regulation played a crucial role in tumorigenesis, progression, and prognosis of OC patients. The constructed four-DERRG signature (ALYREF, ZC3H13, WTAP, and METTL1) model might be an independent prognostic model to divide OC patients into high- and low-risk groups, which was of great significance for prognostic assessment, patient stratification, and predictive evaluation of immunotherapy outcomes in OCs.

#### Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

#### **Ethics statement**

Written informed consent was not obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

#### **Author contributions**

PZ analyzed data and wrote the manuscript draft. NL conceived the concept, analyzed data, and wrote the manuscript. XZ conceived the concept, coordinated, critically revised manuscript, and was responsible for the corresponding works. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fendo.2022.972341/full#supplementary-material

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#### Glossary

ac4C	N4-acetylcytidine
ADP	adenosine diphosphate
AUC	area under curve
BCL-2	B cell lymphoma-2
cAMP	cyclic adenosine monophosphate
CCNG2	cyclin G2
CR	complete response
CSF-1	colony stimulating factor-1
CTLA4	cytotoxic T lymphocyte-associated protein 4;
CXCL	chemokine C-X-C motif ligand
DDAH2	dimethylarginine dimethylaminohydrolase 2
DERRG	differently expressed RNAmodification regulatory gene
DKK1	dickkopf-1
DTP	Developmental Therapeutics Program
EIF3C	eukaryotic initiation factor 3c
EZH2	enhancer of zeste homolog 2
FXR1	fragile X autosomal homolog 1
GSEA	gene-set enrichment analysis
hCTR1	human copper transporter 1;
H3K27me3	trimethylation of lys-27 in histone 3
Ψ	pseudouridine
IFN	interferon
IL	interleukin
IRF4	interferon regulatory factor 4
LYN	lck/yesrelated protein tyrosine kinase

IKF4	interieron regulatory factor 4
LYN	lck/yesrelated protein tyrosine kinase
m1A	N1-methyladenosine
m3C	3-methylcytidine
m5C	5-methylcytosine
m6A	N6-methyladenosine;
m6Am	2-O-dimethyladenosine
m7G	N7-methyladenosine
MAD	median absolute deviation
MEX3A	Mex-3 RNA Binding Family Member A

MHC major histocompatibility complex
NCI National Cancer Institute

NF-kB nuclear factor kappa beta
NK natural killer cell

inaturai kiner cen

NMF nonnegative matrix factorization

OC ovarian cancer

OCT4 octamer-binding transcription factor 4

ORR objective response rate
OS overall survival

OTUB1 OUT domain-containing ubiquitin aldehyde-binding protein 1

PABPC1 poly A binding protein 1
PCA principal component analysis
PD progressive disease

PD-1 programmed cell death protein-1

(Continued)

Continued	
PD-L1	programmed cell death ligand-1
PD-L2	programmed cell death ligand-2
PFS	progressionfree- survival
PKM2	pyruvate kinase M2
PKP3	plakophilin 3
PPI	protein-protein interaction
PR	partial response
ROC	receiver operating characteristic
RRG	RNA-modification regulatory gene
rRNA	ribosomal RNA
SD	stable disease
SFRP2	secreted frizzled-related protein 2
SGK1	serum and glucocorticoid-induced protein kinase 1
SHP-2	SH2 domaincontaining protein tyrosine phosphatase-2
STAT1	signal transducer and activator of transcription 1
TCGA	The Cancer Genome Atlas
TGF	transforming growth factor
Th1	T-helper 1
TIM	tumor immune microenvironment
TMB	tumor mutation burden
TNF	tumor necrosis factor
Tregs	T cells regulatory
tRNA	transfer RNA
TROAP	trophininassociated protein
WDR4	WD repeat domain 4
XBP1	X-box binding protein 1

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