

# Ischemic stroke as systemic disorder involving both nervous and immune systems

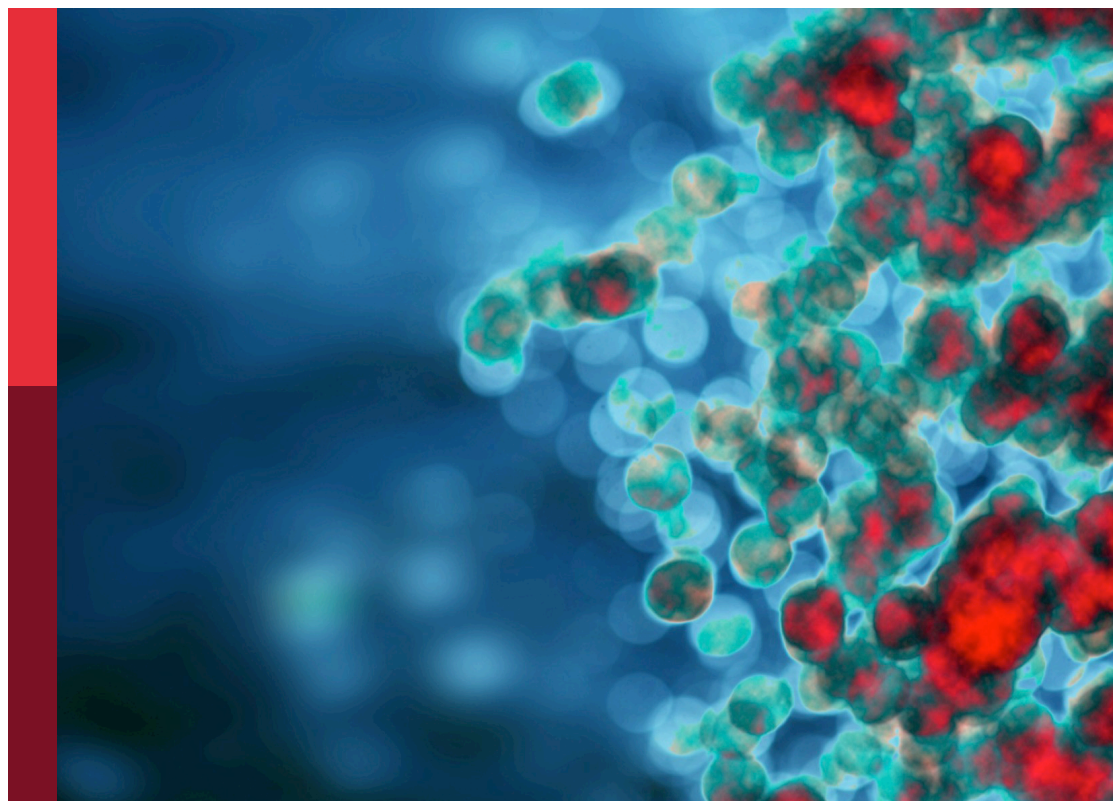
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Qingkun Liu, Yan Wang and Jui-Hung Jimmy Yen

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# Ischemic stroke as systemic disorder involving both nervous and immune systems

## Topic editors

Qingkun Liu — Icahn School of Medicine at Mount Sinai, United States

Yan Wang — Peking University Third Hospital, China

Jui-Hung Jimmy Yen — Indiana University School of Medicine, United States

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EDITED AND REVIEWED BY  
Dirk M. Hermann,  
University of Duisburg-Essen, Germany

## \*CORRESPONDENCE

Qingkun Liu  
✉ qkliu.lisa@gmail.com  
Yan Wang  
✉ yanwang2019@bjmu.edu.cn  
Jui-Hung Jimmy Yen  
✉ jimyen@iu.edu

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# Editorial: Ischemic stroke as systemic disorder involving both nervous and immune systems

Qingkun Liu<sup>1\*</sup>, Yan Wang<sup>2,3\*</sup> and Jui-Hung Jimmy Yen<sup>4\*</sup>

<sup>1</sup>Nash Family Department of Neuroscience, Icahn School of Medicine at Mount Sinai, New York, NY, United States, <sup>2</sup>Institute of Medical Innovation and Research, Peking University Third Hospital, Beijing, China, <sup>3</sup>Medical Research Center, Peking University Third Hospital, Beijing, China, <sup>4</sup>Department of Microbiology and Immunology, Indiana University School of Medicine, Fort Wayne, IN, United States

## KEYWORDS

ischemic stroke, systemic immune responses, microglia, peripheral immune cells, biomarker for stroke diagnosis

## Editorial on the Research Topic

Ischemic stroke as systemic disorder involving both nervous and immune systems

As a systemic disorder, ischemic stroke affects millions of people worldwide with devastating consequences, including disabilities and death. Although extensive effects have been made to investigate potential clinical treatments, the time window for vascular intervention after stroke, using either thrombolytic therapy or endovascular surgery, is limited and neuroprotective approaches have failed in clinical trials. Therefore, it is critical to discover novel treatments with extended time window or to identify novel clinical biomarkers for ischemic stroke diagnosis for prompt treatments. As a multiphasic process, ischemic stroke progression is associated with long-lasting innate and adaptive immune responses, which offer appropriate time frames and targets for therapeutic interventions. To this end, this Research Topic creates a platform for researchers to (1) reveal the mechanisms of systemic immune responses and nervous system damages in ischemic stroke; and (2) discover novel biomarkers for clinical diagnosis of ischemic stroke. By including five original research articles and four comprehensive reviews, this topic covers additional critical topics in ischemic stroke, including systemic immune functions in the central nervous system and periphery, neuronal damage mechanisms, and the discovery of novel diagnostic biomarkers. These findings were categorized and summarized as follows.

The immune functions of microglia in ischemic stroke were discussed intensively in this topic. Microglia have long been taken as a main contributor to the progression of ischemic stroke. However, the dynamic functions of microglia make it challenging to revolve its exact role in different phases of ischemic stroke. In this topic, two studies investigate the mechanisms of microglial polarization in pre-clinical models. The study by [Kuo et al.](#) demonstrates a novel mechanism of IFN $\beta$  on the modulation of microglial polarization in which they identified IFN $\beta$  attenuates inflammatory and augments anti-inflammatory phenotypes of microglia in ischemic stroke mice subjected to delayed tPA treatment. They found that IFN $\beta$ -mediated modulation of microglial phenotypes plays an essential role in attenuating tPA-exacerbated ischemic brain injury and alleviating tPA-augmented BBB disruption in ischemic stroke. The study by [Kong et al.](#) showed the inhibition of stimulator of interferon genes (STING) diminishes ischemic brain infarction, edema, and neuronal injury after ischemic stroke. Mechanistically, they identified STING promotes microglial

polarization toward inflammatory phenotype. In addition, a specific review of the functions and mechanisms of microglial phagocytosis is included. Jia et al. demonstrated the microglial phagocytosis as a double-sword to stroke recovery by several potential receptors sensing “eat-me” signals. On the one hand, microglia engulf live neurons and endothelial cells, resulting in excessive neuronal death and BBB leakage. On the other hand, microglia restrict inflammatory damage via engulfing cell debris, cleaning infiltrating neutrophils, and creating an optimal microenvironment for neurogenesis.

In addition to microglia, peripheral immune cells have been shown to play a systemic role in ischemic stroke. Unlike microglia, leukocytes could either infiltrate into the brain or maintain in the peripheral organs to exert both detrimental and beneficial effects on the outcomes of ischemic stroke (Benakis et al., 2016; Liu et al., 2019). In this topic, Weber et al. evaluated the transcriptomic gene changes, vascular quantification, and behavior tests among  $\text{Reg2}^{-/-}$ , NSG, and tacrolimus immunosuppressed mouse as well as wild type (WT) C57BL/6J mouse stroke model. Firstly, the study indicated that the immune-deficiency mice had less macrophage infiltration and microglia activation. Secondly, although the genetic immunosuppression model is reliable for graft survival, pharmacological immunosuppression with tacrolimus could provide more accurate results with the least variation in gene expression compared to WT control group. This conclusion provides valuable baseline data for researchers to consider which mouse model would best suit for studies. In addition, a review by Zhang et al. summarized the effects and mechanisms of infiltrating peripheral immune cells, immune cell-released cytokines, and cell-cell interactions in the neurological recovery after ischemic stroke. Specifically, monocytes and macrophages actively participate in neurogenesis and oligodendrogenesis via polarization and phagocytotic function. Neutrophils can impair revascularization by releasing extracellular traps. T regulatory cells facilitate functional recovery, and B cells may regulate neurological function by antibodies. These cells and brain resident cells can also interact and communicate with each other to exert complicated effects on functional recovery.

Another topic that attracts attention is how systemic immunity involving both CNS and periphery affects ischemic stroke outcomes. The study by Weng et al. evaluated the therapeutic potential of 4-ethylguaiacol (4-EG) known as methoxyphenols in ischemic stroke. They found that 4-EG ameliorates ischemic brain injury and lessens BBB disruption in the ischemic brain. They further identified that 4-EG suppresses microglial activation, peripheral inflammatory immune cell infiltration, and brain endothelial cell adhesion molecule upregulation in the ischemic brain. These protective effects are attributed to the induction of anti-oxidant and anti-inflammatory Nrf2/HO-1 pathway. As a group of cytokines, interleukins can be expressed and secreted by both leukocytes and microglia. It plays a significant role in modulating the functions of immune cells systemically. The review by Zhu et al. divided interleukins into two categories: pro-inflammation and anti-inflammation. Another difference between these two categories is the time points they function. Specifically, pro-inflammatory IL-1 functions during the acute phase, whereas anti-inflammatory IL-10 starts to express 4 days after the brain

injury. Currently, the therapeutic potential of interleukins has been well-applied in both basic and translational cancer research (Briukhovetska et al., 2021). As it has been shown, interleukins play significant roles in both acute and sub-acute phases after ischemic stroke; interleukin therapy would be an interesting and exciting future clinical research direction. Cell apoptosis, edema, endoplasmic reticulum stress (ERS), and inflammation are the main events occurring after ischemic stroke. In this topic, the review by Wang et al. summarizes many cytokines and compounds targeting ERS that show effects on alleviating ischemic brain injury.

Identifying clinical biomarkers for stroke diagnosis would benefit thousands of patients by providing prompt treatments. In this topic, Maciejczyk et al. demonstrates the potential utility of salivary xanthine oxidase (XO) in the differential diagnosis of stroke with the stress-free and non-invasive collection. In particular, XO catalyzes the generation of reactive oxygen species, which induce oxidative stress and inflammatory mediators, the leading factors for ischemic brain injury. They demonstrated that XO-specific activity in salivary distinguishes ischemic stroke from hemorrhagic stroke and healthy control with high sensitivity and specificity and therefore serves as a potential biomarker for diagnosis.

In summary, this Research Topic includes studies covering the effects of microglial polarization on ischemic brain injury outcome, the role of peripheral immune cells in the acute injury and recovery phase, roles of cytokines in modulating neuroinflammation and novel brain-protective immunomodulatory treatments. With this regard, this Research Topic contributes important findings that advance our understanding in the field of peripheral and CNS immune system interplay.

## Author contributions

QL initiated the Research Topic and drafted the editorial manuscript. YW and J-HY contribute to the writing of the editorial. All authors contribute equally to the editing of the papers in this topic. All authors contributed to the article and approved the submitted version.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# The Role of Microglial Phagocytosis in Ischemic Stroke

Junqiu Jia<sup>1</sup>, Lixuan Yang<sup>1</sup>, Yan Chen<sup>1</sup>, Lili Zheng<sup>1</sup>, Yanting Chen<sup>1</sup>,  
Yun Xu<sup>1,2,3,4\*</sup> and Meijuan Zhang<sup>1,2,3,4\*</sup>

<sup>1</sup> Department of Neurology, Drum Tower Hospital, Medical School and The State Key Laboratory of Pharmaceutical Biotechnology, Institute of Brain Science, Nanjing University, Nanjing, China, <sup>2</sup> Jiangsu Key Laboratory for Molecular Medicine, Medical School of Nanjing University, Nanjing, China, <sup>3</sup> Jiangsu Province Stroke Center for Diagnosis and Therapy, Affiliated Drum Tower Hospital, Medical School of Nanjing University, Nanjing, China, <sup>4</sup> Nanjing Neuropsychiatry Clinic Medical Center, Affiliated Drum Tower Hospital, Medical School of Nanjing University, Nanjing, China

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### Edited by:

Yan Wang,  
Peking University Third Hospital, China

### Reviewed by:

Marlene Wiart,  
Centre National de la Recherche  
Scientifique (CNRS), France  
Robert Adam Harris,  
Karolinska Institutet (KI), Sweden

### \*Correspondence:

Yun Xu  
xuyun20042001@aliyun.com  
Meijuan Zhang  
juanzi1986126@163.com

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Microglia are the resident immune cells of the central nervous system that exert diverse roles in the pathogenesis of ischemic stroke. During the past decades, microglial polarization and chemotactic properties have been well-studied, whereas less attention has been paid to phagocytic phenotypes of microglia in stroke. Generally, whether phagocytosis mediated by microglia plays a beneficial or detrimental role in stroke remains controversial, which calls for further investigations. Most researchers are in favor of the former proposal currently since efficient clearance of tissue debris promotes tissue reconstruction and neuronal network reorganization in part. Other scholars propose that excessively activated microglia engulf live or stressed neuronal cells, which results in neurological deficits and brain atrophy. Upon ischemia challenge, the microglia infiltrate injured brain tissue and engulf live/dead neurons, myelin debris, apoptotic cell debris, endothelial cells, and leukocytes. Cell phagocytosis is provoked by the exposure of “eat-me” signals or the loss of “don’t eat-me” signals. We supposed that microglial phagocytosis could be initiated by the specific “eat-me” signal and its corresponding receptor on the specific cell type under pathological circumstances. In this review, we will summarize phagocytic characterizations of microglia after stroke and the potential receptors responsible for this programmed biological progress. Understanding these questions precisely may help to develop appropriate phagocytic regulatory molecules, which are promoting self-limiting inflammation without damaging functional cells.

**Keywords:** phagocytosis, microglia, ischemic stroke, signaling receptors, prognosis

Stroke has a great impact on public health and is still the leading cause of death and disability in the world (1). Obstruction of the blood and oxygen supply after ischemic stroke is the initial reason for cell death. The intense changes of circumstances like accumulation of cell debris or infiltration of immune cells in the brain may induce the secondary progression of cell injury (2). Strategies aiming at restoring cerebral perfusion, namely, intravenous rt-PA injection and emergent endovascular intervention have been written in stroke therapeutic guidelines (3, 4). Plenty of stroke patients

cannot receive this treatment for missing the time windows although sizable efforts have been made to shorten the door to needle time (DNT). Hence, it is imperative to develop drugs that extend the time window through alleviating the secondary injury and promoting rehabilitation of ischemic stroke.

Microglia, the professional phagocyte of the brain, are capable of engulfing cell debris and pruning synapses in developing brain and various cerebral diseases (5). Despite being less commonly known, a growing body of literature has linked microglial phagocytosis with stroke recovery. In this review, we will summarize phagocytic characterizations of microglia after stroke and the potential receptors responsible for this programmed biological progress.

## BRIEF INTRODUCTION OF MICROGLIA PROPERTIES AFTER ISCHEMIC STROKE

### Microglia Accumulate in Large Numbers After Ischemic Stroke

Originating from myeloid precursors, microglial cells born in the yolk sac invade the central nervous system (CNS) during early embryonic development, which continuously supervises the brain parenchyma and protects against damage-associated pathogens (6). Upon ischemic stroke, microglia are rapidly activated and accumulate in large numbers at the site of infarction, which is also defined as microgliosis (7). Whether microglia are replenished *in situ* or derived from circulatory precursors is the subject of great interest. Taking advantage of a mouse photothrombosis stroke model, Li et al. reported that reactive microglia increased within minutes and were recruited to the infarcted area continuously during the first week after stroke induction (7). Additionally, local resident microglia division rather than recruitment of circulating macrophage was the main source of microgliosis (7, 8). Researchers then begin to search whether microglia progenitors exist in the brain, which gives rise to the newborn microglia after cerebral injury. It is considered that microglia progenitor cells in the adult brain may be lacking. The rapidly proliferated microglia after the injury are solely derived from residual microglia rather than microglia progenitors (9).

### Functions of Microglia Were Heterogenous After Ischemic Stroke

It is widely accepted that targeting microglia activation could inhibit inflammatory injury and facilitate better stroke recovery (10). However, depletion of microglial cells exacerbates ischemic injury and dysregulates neuronal network activity (11, 12). It is predicted that detrimental outcomes of excessive microglial activation after ischemia could be counterbalanced by beneficial outcomes, namely, phagocytosis and release of trophic factors. It also provides a hint that microglia demonstrate great heterogeneity, which calls for further investigations regarding microglia subtypes or specific functions. Upon the challenge of ischemic stroke, rest microglia can be activated in response to the inflammatory

triggers and differentiates into two phenotypes like macrophage: M1 and M2. M1 macrophages (classically activated) are thought to be neurotoxic and are related to the production of pro-inflammatory cytokines, such as interleukin-6 (IL-6) and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), while M2 macrophages (alternatively activated) are neuroprotective and promote tissue repair and stroke recovery (13). It is worth mentioning that M2 microglia are proven to show the ability of phagocytosis and their capability of cleaning neuronal debris reduces brain damage after stroke (14). *In vitro* assays suggest that IL-10-induced M2 microglia present enhanced phagocytosis abilities (15). However, this classification method could not adequately reflect the complicated microglial characteristics in the activated state. In recent studies, researchers even failed to find pure M1 or M2 state *in vivo* (16). With the development of single cell-sequencing techniques, scientists prefer to designate the microglia profile regarding its unique functions in specific diseases. For instance, one unique microglia population with the potential to restrict neurodegeneration of Alzheimer's disease is named neurodegenerative microglia (DAM) (17, 18). Therefore, we also expect to characterize microglia in ischemic stroke from a functional perspective.

### Microglia Invade Infarcted Areas Earlier Than Macrophages

Due to similar histological phenotypes, the contribution of activated resident microglia or infiltrated macrophages to stroke pathology has been difficult to distinguish. On Day 1 after focal cerebral ischemia, the microglia amount remains unchanged (19). However, they switch their morphology into an ameboid and rounded shape with acquiring phagocytic properties and phagocytose neuronal cell debris. Thereafter, the microglia continue to proliferate during the first two weeks and show the most powerful phagocytic capacity within the first 2 days of stroke, which proceeds and predominates over phagocytes of hematogenous origin (19). Similarly, histological studies of green fluorescent protein (GFP) transgenic bone marrow chimeric mice with transient or permanent stroke models pointed that blood-derived macrophages infiltrated infarct area with a delay of at least 24 to 48 h after stroke onset (20, 21).

Taken together, studies suggest that macrophages invade the ischemic site of stroke later than microglia. The microglia are the main phagocytes during the first three days after stroke (19–21). In contrast to the rapid microglial response, the macrophages are rarely detected during the first 48 h and then gradually increase with the peak time in the first week after stroke (22). Transcriptomic studies of macrophages at Day 5 post stroke showed that infiltrated macrophages promote efferocytosis and inflammation resolution after ischemic stroke (23).

## ENGULFMENT PROPERTIES OF MICROGLIA AFTER STROKE

Whether the phagocytic property of microglia in stroke is harmful or beneficial remains unclear. For instance, some researchers found that upregulating phagocytic capability of

microglia in transient middle cerebral artery occlusion (tMCAO) mouse model promoted efficient clearance of tissue debris, facilitated tissue reconstruction, and reorganized neuronal network (24, 25). Conversely, other scholars suggested that excessively activated microglia engulfed live or stressed neuronal cells in endothelin-1 induced focal cerebral ischemia model, which resulted in function deficits and brain atrophy after stroke (26, 27). Therefore, microglial phagocytosis after stroke is a very interesting and complicated biological progress that may depend on the severity of initial ischemia, the advent or not of reperfusion, the location within the lesion (core or penumbra as defined afterwards), and the considered time points post-ictus. In addition, different experimental techniques and stroke models could be one reason for result discrepancy. Therefore, we summarized the various techniques used to measure phagocytic capability in **Table 1**. Another critical reason is that the comprehensive phagocytic effect of microglia may depend on their swallowing different cells mediated by specific “eat-me” signaling pathways. Understanding these mechanisms of microglia-mediated engulfment upon ischemic injury may open up exciting new therapeutic avenues for combating acute-stage inflammation and late-stage synaptic refinements.

Stroke triggers a cascade of events leading to rapid neuron and oligodendrocyte injury in the infarcted core (unsalvageable infarcted tissue with rCBF values less than 10 ml/100 g/min), and also in the penumbra area (salvageable infarcted tissue with rCBF values of 10 to 22 ml/100 g/min) (41). Initially, stressed neurons or oligodendrocytes release damage associated molecular

patterns (DAMPs), which act as eat-me signals attracting microglial phagocytosis. Subsequently, severe or prolonged ischemic injury produces a large number of cell debris. Efficient clearance of cell debris by microglia could be positive feedback for neurogenesis and initiate stroke recovery. Additionally, microglial phagocytosis may destabilize blood–brain barrier (BBB) integrity by engulfing endothelial cells and regulate the inflammatory response by engulfing polymorphonuclear neutrophil granulocytes (PMNs). We here summarized these biological properties.

## The Engulfment of Neurons

It is beneficial in part that microglia are capable of rapidly clearing dead or dying neurons within hours after stroke (39). Therefore, microglia play a fundamental role in facilitating the reorganization of neuronal circuits and resolving inflammation, especially in the ischemic core (42). Nevertheless, in areas of penumbra, stressed but alive neurons expose an eat-me signal called phospholipid phosphatidylserine (PS) in a reversible manner. Phagocytosis of these stressed but viable neurons is harmful to recovery, causing brain atrophy and motor dysfunction, which is also defined as phagoptosis (26, 27). Communication between microglia and stressed neurons is interesting. Upon ischemia, stressed neurons express TMEM16F, which mediates the exposure of PS. TMEM16F knockdown blocked microglial phagocytosis of viable neurons in the penumbra and improved functional recovery in rat MCAO model (43). On the other hand, to resist phagoptosis, neurons

**TABLE 1 |** Different techniques available to assess microglial phagocytosis.

Techniques	Concrete experiment content	Advantages/Limitations	Literature
<b><i>In Vivo</i></b>			
Immunofluorescence (IF): Co-staining with microglial biomarkers, e.g., Iba1	Phagocytosis function: CD68, Lamp1, Phalloidin, Clathrin Hemocytes: CFSE Neutrophil: NIMP-R14, Ly6G Neuronal cell debris: NeuN, MAP2 Endothelial cells: CD31 Myelin debris: MBP	The most common method and is suitable for all kinds of phagocytosis, but difficult to show the general view of brain	(28–30)
Confocal microscopy and three-dimensional reconstruction	Multiple-immunostaining of Iba1 and other markers followed by orthogonal optical sectioning	Intuitive to show the microglial phagocytosis although the view is small	(31)
Immunohistochemistry	Oil red O staining for myelin debris phagocytosis	Simple and efficient but indirect and non-specific	(31, 32)
Fluorescence activated cell sorting (FCAS)	CD68 expression Double-positive cells (CD11b and other markers) expression	A quantitative and overall analysis while nonintuitive	(19, 29)
Two-photon microscopy	Use transgenic mice visualizing microglia and other cells	Display dynamic phagocytosis process <i>in vivo</i> with high technical requirement	(33)
Morphological phenotype: combined with IF	Ball-and-chain microglial buds in developing brain	Only indicating phagocytic activity without definitive evidence	(34)
<b><i>In vitro</i></b>			
Immunofluorescence (IF)	Use different kinds of fluorescent microbeads to assess phagocytic activity	Might be the “gold standard” but is restricted to <i>in vitro</i> study	(27, 32, 38, 39)
Fluorescence activated cell sorting (FCAS)	DiO-labeled myelin debris and microglia coculture	Easy to quantify but the experimental conditions are not unified	(34)
Time-lapse video microscopy	PMN–microglia coculture Neuron–microglia coculture	Display the phagocytosis procedure in a video but only <i>in vitro</i>	(40)
			(39)

CD11b, cluster of differentiation molecule 11b; CD31, Platelet endothelial cell adhesion molecule; CD68, Cluster of Differentiation 68; CFSE, Carboxyfluorescein succinimidyl ester; Iba1, Ionized calcium binding adaptor molecule 1; Lamp1, Lysosomal-associated membrane protein 1; MAP2, Microtubule Associated Protein 2; MBP, Myelin Basic Protein; NeuN, neuronal nuclei; NIMP-R14, a Ly-6G/Ly-6C antibody; Ly6G, Lymphocyte antigen 6 complex locus G6D.

may transfer microRNA-98 to microglia *via* extracellular vesicle secretion to prevent the salvageable neurons from microglial phagocytosis in tMCAO model (44).

## The Cleaning of Myelin

In the research on remyelination of multiple sclerosis, it was found that the proliferation of oligodendrocyte progenitor cells (OPCs) and their migration to the lesion are not pivotal players in remyelination, while the differentiation of OPCs is more critical for remyelination. The debris from injured myelin and death cells suppress differentiation of OPCs (35, 45). Moreover, the mononuclear phagocytic system mediates debris phagocytosis, improves the immune microenvironment of OPCs differentiation, and plays a necessary role in the restoration of white matter damage (34). Although white matter injury occupies nearly half of ischemic infarct volume, few studies are concerned about microglia mediated myelin clearance in ischemic stroke. Pseudoginsenoside-F11 (PF11), an ocotillol-type saponin, exerts neuroprotective effects against ischemic stroke in a way that accelerates the phagocytosis of myelin debris by microglia (38). Mechanically, metabolic analysis showed that the clearance of cholesterol-rich myelin debris by microglia could synthesize desmosterol and activate liver X receptor (LXR) signaling, which resolves inflammation and creates a favorable environment for oligodendrocyte differentiation (28). Whether this mechanism is applicable to stroke model remains to be studied.

## The Cleaning of Cell Debris

Microglia, especially M2 microglia, have the constant ability to clear cell debris in ischemic stroke and consequently attenuate the detrimental effects of inflammation (14, 42). Debris clearance efficiency by microglia could be affected by the local microenvironment. For example, a positive correlation was found between the TNF expression level and phagocytic activity in stroke-lesioned rodent brain (22, 46). The ability of microglia to phagocytize and clean cell debris can be halted by reactive oxygen species (ROS) including superoxide ( $O_2^-$ ) (47).

In addition to controlling detrimental inflammation, microglial phagocytosis does a remarkable job of promoting neurogenesis through clearing debris. Neurogenesis takes place in regions like cerebral neocortex, subventricular zone (SVZ), and sub-granular zone (SGZ), which happens immediately after brain ischemic challenge (48). Microglia isolated from the SVZ supported neurosphere generation *in vitro*, indicating the supporting role of microglia in neurogenesis in SVZ. Studies demonstrated that prefrontal stroke disturbed homeostasis of microglial phagocytosis, presenting with accumulating apoptotic cells in the SGZ (49). In early postnatal rats subjected to hemisphere ischemia, microglia accumulated and displayed engulfment properties in the ipsilateral SVZ region (37), while in adult rat stroke brain, it is reported that activated microglia increased in ipsilateral SVZ region concomitant with neuroblast migration into the ischemic region (30). Even though knowledge about the effects of microglial phagocytotic populations in SVZ region of ischemia is still scarce, the phagocytic nature of SVZ microglia in adult has been reported (29, 30, 50). Enhancement

of phagocytosis by sevoflurane treatment might lead to a favorable microenvironment for neuroblast survival (29, 50). Consistently, intraperitoneal injection of minocycline (a microglial inhibitor) hampered the activation of microglia and obstructed neurogenesis at the same time (29).

## The Engulfment of Polymorphonuclear Neutrophil Granulocytes (PMNs)

PMNs infiltrate the brain parenchyma 1 day after focal ischemia, which induces inflammation and exacerbates neuronal damage through releasing oxygen radicals, proteases, and pro-inflammatory cytokines (51, 52). Thus, the prevention of infiltration and the reduction of the number of PMNs are putatively protective. Applying invading PMNs into organotypic brain slices enhances ischemic neurotoxicity, which could be counteracted by additional application of microglia (31, 53). Several recent studies have shown that microglia can engulf these infiltrating neutrophils (31, 32, 36, 54). In hippocampal slice cultures exposed to oxygen glucose deprivation, microglia engulf apoptotic PMNs and viable, motile, non-apoptotic PMNs, adopting a “chasing behavior” by time-lapse image (40). In the rat ischemic brain, the number of neutrophils is controlled by microglial phagocytosis (31). Two-photon microscopy intuitively presented neutrophils which infiltrated into the ischemic brain parenchyma cross talked with microglia and were engulfed by them. The engulfment of PMNs may prevent the release of neurotoxic compounds because dying PMNs secrete toxic compounds and also living PMNs (31). As such, scavenging PMNs alleviate neuron injury by converting the microenvironment from pro-inflammatory to anti-inflammatory (53).

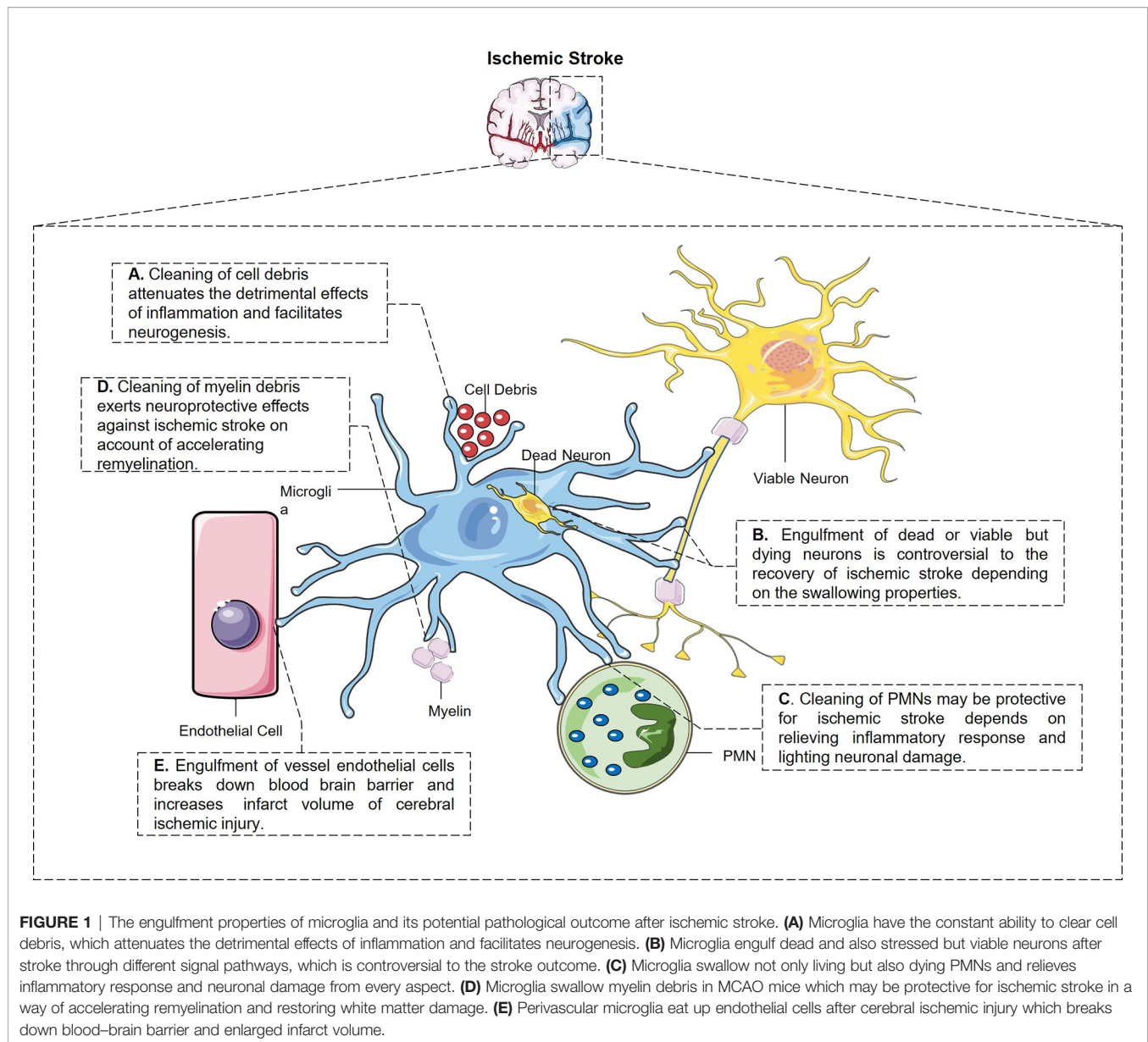
## The Engulfment of Vascular Endothelial Cells

Using two-photon microscopy and CX3CR1<sup>+</sup>/GFP mice, microglia were detected to expand toward adjacent blood vessels within 24 h post tMCAO (33). Subsequently, these perivascular microglia started to eat up endothelial cells by phagocytosis, which caused the disintegration of blood vessels with an eventual breakdown of the BBB. Accordingly, loss-of-microglia-function studies displayed a reduction in the extravasation of contrast agent into the brain penumbra and a decreased infarct size as measured by MRI.

Engulfment properties of microglia and their potential pathological outcome after ischemic stroke are depicted in **Figure 1**.

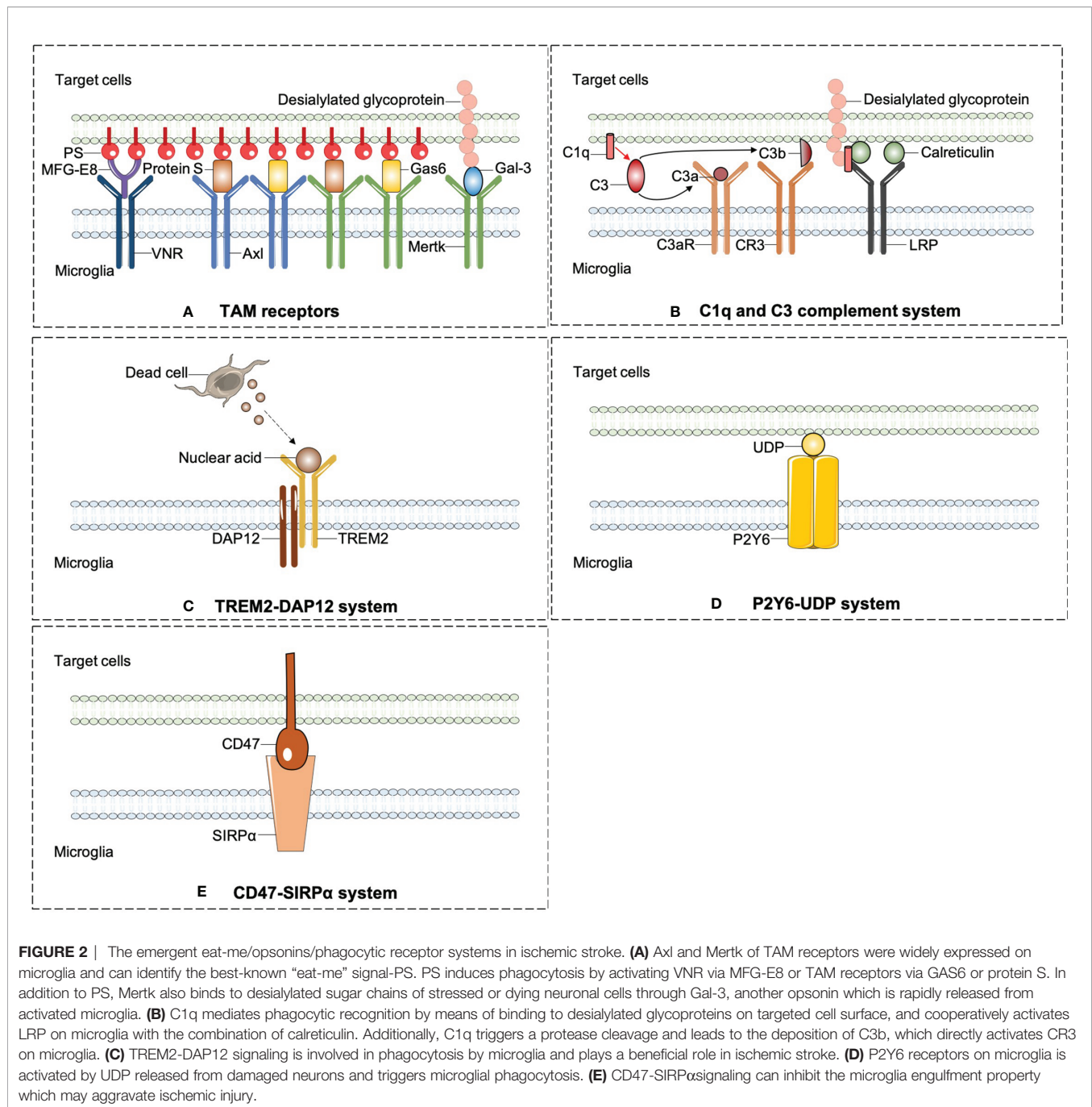
## SIGNALING MOLECULES RESPONSIBLE FOR MICROGLIA PHAGOCYTOSIS AFTER STROKE

Professional phagocytes constantly fulfill a monitoring role rely on immune molecules to identify targeted pathogens or debris in need of removal. All phagocytic behaviors start with the exposure of “eat-me” signals from the target cells or debris. By sensing



exposed “eat-me” signals, microglia begin rapid recognition and engulfment of target cells. PS is the best-known “eat-me” signal. Once exposed on the cell surface, it will modulate a crucial process of phagocytosis. PS appears usually inside of the cellular membrane and translocates to the external side of membrane under various circumstances, such as oxidative stress, inflammation, and growth-factor withdrawal (26). Apart from PS, calreticulin and desialylated cell surface glycoproteins also act as “eat-me” signals. Calreticulin is normally localized in the endoplasmic reticulum and translocates on the cell surface as a result of endoplasmic reticulum stress (55). The chemistry process of desialylation is catalyzed by sialidases (also known as neuraminidases). Sialidases integrate on cytomembrane and remove sialic acid residue after cell stress (56). Opsonins are

soluble proteins binding the “eat-me” signal to its corresponding receptor on professional phagocytes, which are engaged subsequent to “eat-me” signal exposure. As an illustration, galectin-3 (Gal-3) is one of the opsonins secreted by macrophages and microglia. Upon LPS stimulation, Gal-3 is rapidly released from activated microglia and binds to Mertk on microglial cells and also desialylated sugar chains of stressed or dying neuronal cells (56). Multiple soluble molecules, namely, growth arrest-specific protein 6 (Gas6) or Protein S, milk fat globule EGF factor 8 (MFG-E8) opsonizes PS-exposing cells or other eat-me signals and is subsequently recognized by unique receptors on professional phagocytes (57). Here, we summarized emergent eat-me/opsonin/phagocytic receptor systems in ischemic stroke in **Figure 2**.



## TAM Receptors

TAM is an acronym for Tyro3, Axl, and Mer (protein designation Mer, c-Mer, or Mertk), which is widely expressed on the phagocyte surface of central nervous, immune, vascular, mammalian reproductive system, and retina (57). Mice with triple mutations in *Tyro3*, *Axl*, and *Mer* display a severe lymphoproliferative disorder accompanied by broad-spectrum autoimmunity (58). In the human brain, Axl is highest in mature astrocytes, microglia, oligodendrocytes, endothelial cells, and neurons. Mer expression is highest in both microglia and

mature astrocytes, still detected in oligodendrocytes (59). Tyro3 is expressed on mature oligodendrocytes and has been implicated in multiple sclerosis susceptibility and myelin production (60).

Protein S is a vitamin K-dependent anticoagulant plasma glycoprotein. Growth arrest-specific protein 6 (Gas6), a structural analog of protein S, acts as a molecular bridge between the TAM receptors and eat-me signals together with protein S. In retinal pigment epithelial cells, either Mer mutation or concerted deletion of Gas6/protein S ligands disrupts

circadian phagocytosis of photoreceptor outer segments (57). As mentioned above, Gal-3 has also been identified as a Mer ligand (61).

The pathological roles of TAM receptors in ischemic stroke have begun to be addressed directly. Protein S blocks endothelial injury and MCAO-induced BBB leakage after ligation of Tyro3 through activating sphingosine 1-phosphate receptor other than Mer or Axl (62). Likewise, protein S obstructs the extrinsic apoptotic cascade through Tyro3-dependent phosphorylation of FKHRL1 which may reduce post-ischemic neuronal toxicity (63). Endogenous expression of Axl and Gas6 increased in microglia/macrophage after stroke, while intranasal injection of recombinant Gas6 (rGas6) reduced the neurological deficits through inhibiting neuroinflammation by inhibiting TLR/ TRAF/NF-kappaB pathway (64).

Upon PS exposure, another opsonin molecule MFG-E8 and its microglial receptors vitronectin receptors (VNRs) mediate phagocytosis through activating a CRKII-DOCK180-RAC1 signaling pathway, which results in remodeling of microglial cytoskeleton (26). Previous findings confirmed that microglia express Mer and MFG-E8 in a high-level response to inflammatory stimuli after ischemia stroke. Compared to wild-type mice, mice lacking Mer or MFG-E8 appeared a decreased loss of viable neurons after brain ischemia stroke, which leads to a great improvement of motor function recovery (26, 27). Molecular signaling pathways regarding TAM receptors are summarized in **Figure 2A**.

## C1q and C3 Complement System

The complement components C3 and C1q may induce phagocytosis by binding to dying cell surfaces. C3 is activated and cleaved into the small protein C3a and the larger C3b complex by C3 convertase. C3a could attract immune cells and modulate the immune response by interacting with the cellular receptor C3a receptor (C3aR). C3b along with its cellular receptor CR3 mediates the clearance of dying cells and modulates the adaptive immune response (65, 66).

C1q, the biggest protein of the C1 complex, is present in the neutrophils, microglia, and a subset of interneurons (67). Interestingly, C1q can enhance microglial clearance of apoptotic cells independent of C1r and C1s after ischemic stroke (68). On the intact cell surface, sialic acid modification of glycoproteins or glycolipids can act as a “don’t-eat-me” signal by preventing complement C3b and C1q binding. On the dying cell surface, glycoproteins or glycolipids could be desialylated. Then, C1q mediates phagocytic recognition by means of binding to desialylated glycoproteins. Apart from desialylated glycoprotein, calreticulin also acts as an opsonin for C1q. When C1q opsonizes dead cells, it could not only mediate engulfment by binding to lipoprotein receptor-related protein (LRP) on microglia, but also promote the conversion of C3 to C3b and trigger C3b-based phagocytosis (26, 69, 70).

In the developing CNS, C1q and C3 have been identified as important factors for controlling synaptic pruning. Microglia engulf presynaptic inputs during peak retinogeniculate pruning is dependent on C3b/CR3 signaling pathway (70, 71). After

ischemic stroke, complement activation directs continuous microglia-dependent phagocytosis of hippocampal synapses and penumbral salvageable neurons, leading to cognitive decline. B4Crry is a targeted complement inhibitor inhibiting all complement pathways at the central C3 activation step. B4Crry prevents phagocytosis of penumbral neurons and hippocampal synapses, improving long-term motor and cognitive recovery (72, 73). C3aR antagonist, SB 290157 given intracortically, may limit neuroinflammation and neuronal death after ischemia by restraining microglia transition to the phagocytic type (74). In chronic cerebral hypoperfusion rats, SB290157 decreased the number of microglia adhering to myelin, attenuated white matter injury and cognitive deficits (75). Generally, animal studies suggest that activation of the C1q/C3 system after stroke may be detrimental to recovery.

Molecular signaling pathways regarding complement system are summarized in **Figure 2B**.

## Triggering Receptor Expressed on Myeloid Cells-2 (TREM2)-Activating Protein of 12 kDa (DAP12) System

TREM2 was originally described on circulating macrophages, where it is bound to anionic moieties on exogenous pathogens and mediated pathogen clearance (76). DAP12 is an intracellular membrane adaptor of TREM2 (77). Accumulating evidence demonstrated that TREM2-DAP12 signaling is involved in microglial phagocytosis. Human beings with a loss function of either TREM2 or DAP12 develop an inflammatory neurodegenerative disease—Nasu-Hakola disease (NHD), leading to death in the fourth or fifth decade of life (78). TREM2 deficiency in microglia inhibits apoptotic neuronal clearance and increases the production of inflammatory mediators such as TNF- $\alpha$  (79).

TREM2 participates in phagocytic activity following experimental stroke (24, 76). TREM2 deficiency can lead to worsened outcomes after ischemic stroke by decreasing the phagocytosis of injured neurons (24). With the application of bone marrow chimeric mice, this team further addressed that intact microglia TREM2 is more important for beneficial phagocytosis and stroke recovery than that of circulating macrophage (80). Additionally, another research group reported that TREM2 could promote a microglial switch from the detrimental M1 phenotype to the beneficial M2 phenotype, which may affect the short-term outcome in the mouse MCAO model (81). Ligand or opsonin of TREM2 was less reported. It is predicted that the potential endogenous binding partner of TREM2 in ischemic brain is probably high-molecular-weight nucleic acids released by damaged cells (24). In conclusion, the TREM2-DAP12 signaling is mainly considered beneficial in ischemic stroke. Targeting TREM2 signaling especially in microglia has become a therapeutic target as it was shown that the systemic administration of a TREM2 agonist or TREM2 overexpression had a neuroprotective effect in ischemic injury (82).

Molecular signaling pathways regarding TREM2-DAP12 system are summarized in **Figure 2C**.

## Nucleotides and P2 Metabolic Purinoceptors

P2 purinoceptors are divided into two families, ionotropic receptors (P2X) and metabotropic receptors (P2Y). P2Y containing eight types (P2Y1, 2, 4, 6, 11, 12, 13, and 14) are activated by nucleotides and couple to intracellular second-messenger systems through heteromeric G-proteins. P2Y6 receptor is actively responsive to UDP and partially responsive to UTP and ADP. It has been demonstrated that microglial purinergic P2Y6 receptor is activated by UDP released from damaged neurons and triggers microglial phagocytosis (83). In other words, UDP, which is released from injured neurons after trauma or ischemia, acts as an “eat-me” signal and mediates the P2Y6-dependent phagocytosis (53). P2Y6 is combined with UDP, and then activates phospholipase C (PLC) which in turn causes the synthesis of inositol 1,4,5-trisphosphate (InsP3) and triggers the booted release of  $\text{Ca}^{2+}$  from InsP3-receptor-sensitive stores. On top of triggering the intracellular  $\text{Ca}^{2+}$  over-loading, the P2Y6-receptor-signaling pathway triggers actin cytoskeleton polarization to shape filopodia-like protrusions, thus facilitating the engulfment of cell debris (83).

The research newly reported that the expression of the P2Y6 receptor in microglia increased after tMCAO and P2Y6 receptor antagonist MRS2578 treatment inhibited microglia to swallow apoptosis cell debris, subsequently aggravating neurological function. The possible mechanism of P2Y6 receptor-mediated phagocytosis is related to myosin light chain kinase (84). After all, it indicates that P2Y6/UDP-mediated microglial phagocytosis plays a favorable part in the acute stage of ischemic stroke, which can be a therapeutic target for ischemic stroke.

Apart from P2Y6/UDP signaling pathway, neuronal injury gives rise to the leakage of ATP or ADP that appears to be a “find-me” signal to attract microglia and cause chemotaxis through P2Y12 receptors (85). In the hippocampus regions of young mice, the percentage of apoptotic cell engulfment significantly reduces in P2Y12 deficient mice, which impacts the neurogenesis of hippocampus SGZ (86). Following cerebrovascular damage, P2Y12-mediated chemotaxis of microglia is central to the maintenance of BBB integrity (87).

The P2Y6/UDP and P2Y12/ADP signaling pathways are depicted in **Figure 2D**.

## CD47-Signal Regulated Protein Alpha (SIRP $\alpha$ ) System

Inhibitory signals can also modulate microglial phagocytosis, so-called “don’t-eat-me” signals. In the immune system, SIRP $\alpha$  acts as a “don’t-eat-me” signal, which is required for optimal and appropriate microglial engulfment. CD47 is documented to express on synapses, oligodendrocytes, erythrocytes, and microglia. Its receptor SIRP $\alpha$  is an Ig superfamily protein and has been observed on microglia or macrophage in the CNS (88). The expression pattern of CD47-SIRP $\alpha$  is correlated with peak pruning in the developing retinogeniculate system and prevents excess microglial phagocytosis according to literature (89). This inhibitory engulfment property of CD47-SIRP $\alpha$  hindered the

maintenance of myelin integrity following mild brain damage (90). In ischemic stroke, a study using CD47 knockout mice suggests that CD47 deletion reduces brain infarct and swelling at an acute stage in MCAO model through decreasing neuroinflammation (91). Notably, it is interpreted that CD47-deficient erythrocytes are more prone to be cleared by microglia/macrophage. Injection of CD47 knockout blood into mouse brain resulted in quicker clot resolution and less brain swelling than WT blood (92). Consistently, CD47 blocking antibody speeded up hematoma clearance (93) and alleviated atherosclerosis through restoring phagocytosis by microglia/macrophage (94). Exempt from cerebral hemorrhage, these findings provide more clues for the treatment of hemorrhagic transformation in stroke patients. The CD47/SIRP $\alpha$  signaling pathway is depicted in **Figure 2E**.

In addition to the molecules described above, several other phagocytic molecules are still being reported and studied, although their functions in ischemic stroke are unknown. For instance, CD22 blocks microglia-mediated engulfment of myelin debris in the aged brain, while CD22 blockade restores microglial homeostasis and cognitive impairment in aged mice (95).

RXR/PPAR- $\gamma$  is a critical transcription factor in the nuclear receptor superfamily and is responsible for the expression of scavenger receptors. Mice lacking RXR- $\alpha$  in myeloid phagocytes demonstrated worsened late functional recovery and serious brain atrophy in MCAO dependent model (25).

We still have a long way to thoroughly understand the molecular pathways of phagocytosis. We expect to find specific phagocytosis pathways that protect ischemic brain injury without causing excessive alive neuronal clearance in the future.

## OTHER IMMUNE CELLS CONTRIBUTING TO PHAGOCYTOSIS IN ISCHEMIC STROKE

The lack of precise discriminating markers between the myeloid populations (macrophage and microglia) has led many studies summarized above to generate conclusions based on the grouping of the two populations. In addition to microglia and macrophage, some other cells, namely, astrocytes, peripheral infiltrated PMNs, monocytes, and pericytes have been reported to present engulfment properties as well (22, 54, 87, 96–107).

It was reported that monocyte infiltration occurs as early as 4 h after stroke. Infiltrating monocytes are primarily involved in early debris clearance and have significantly higher phagocytic capacity at 72 h after stroke compared with microglia (22). An earlier study underscored the importance of monocytes to hemorrhage clearance in a model of intracerebral hemorrhage and highlighted their ability to significantly improve long-term outcomes through phagocytic function early after stroke (96). Cell surface scavenger receptor CD36 on monocyte-derived macrophages mediates phagocytosis during the recovery phase in post-stroke brains and plays a reparative role during the resolution of inflammation in ischemic stroke (97).

PMNs elicit rapid immune responses compared with mononuclear phagocytes. The infiltration of neutrophils can be detected within 3 h after the onset of ischemic stroke (54). One subtype of PMNs named N2 phenotype represents a pro-resolving function by producing anti-inflammatory factors or engulfing cellular debris (98, 99). A further study corroborates that the N2 phenotype facilitates neutrophil clearance by macrophage and does not induce neuronal death after ischemic injury. Meanwhile, skewing neutrophils toward the N2 phenotype before stroke reduced infarct volumes at 1 day after MCAO (100).

As BBB components, pericytes reside in the abluminal side of endothelial cells lining the capillaries in the brain. Pericytes are considered to be multipotent progenitor cells and exhibit microglia-like properties after ischemia insult (101). In response to ischemic insult, pericytes could leave blood vessel wall and migrate into the ischemic brain parenchyma, where they express microglia-specific markers, namely, Iba-1 and gal-3. In human stroke postmortem sections, a portion of gal-3 positive pericytes were found in the peri-infarct area (102). Platelet-derived growth factor receptor- $\beta$  (PDGFR- $\beta$ ) is a specific marker of pericytes. PDGFR- $\beta^+$  pericytes, isolated from ischemic brain tissue, could differentiate into Iba-1 $^+$  ameboid-like microglia and own the capability to phagocytose latex beads (103).

It has been recently observed that astrocytes, acting like non-professional phagocytes, can also contribute to the elimination of apoptotic neurons, synapses, and cell debris after transient focal ischemia in mice (87, 104, 105). Astrocytes become phagocytic during the late stage of ischemia, and ABCA1 along with its downstream molecules plays a pivotal role in this process (106). Phagocytic astrocytes have a distinct role from microglia given that phagocytic astrocytes were observed in the ischemic penumbra region in the late phase of stroke, while phagocytic microglia were located in the infarcted core in the early stage of stroke (105). Judging from the spatiotemporal pattern of astrocytic phagocytosis after stroke, it is predicted that astrocytes would be involved in the elimination of debris and synapses, thereby leading to repairing/remodeling of the ischemic penumbra region (106, 107).

Nevertheless, resident microglia, astrocyte, monocyte/macrophage system, peripheral neutrophils, and pericytes may cooperatively modulate the removal of debris and synapse architecture after stroke.

## CONCLUDING REMARKS

Microglial phagocytosis is a double-sword to immunoinflammation and stroke recovery. Microglia engulf live neurons and endothelial cells, which results in excessive neuronal death and BBB leakage respectively. On the other hand, microglia restrict inflammatory damage *via* engulfing cell debris, cleaning infiltrating neutrophils, and creating an optimal microenvironment for neurogenesis. Therefore, understanding the molecular mechanisms and modulating selective microglial phagocytosis represent an attractive target with a long therapeutic window after stroke in the future.

## AUTHOR CONTRIBUTIONS

JJ and MZ wrote the first draft and all co-authors revised the manuscript. All authors contributed to the article and approved the submitted version.

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# Interleukins and Ischemic Stroke

Hua Zhu<sup>1,2†</sup>, Siping Hu<sup>3†</sup>, Yuntao Li<sup>1,2</sup>, Yao Sun<sup>1</sup>, Xiaoxing Xiong<sup>1,2</sup>, Xinyao Hu<sup>2</sup>, Junjing Chen<sup>4\*</sup> and Sheng Qiu<sup>1\*</sup>

<sup>1</sup> Department of Neurosurgery, The Affiliated Huzhou Hospital, Zhejiang University School of Medicine (Huzhou Central Hospital), Huzhou, China, <sup>2</sup> Department of Neurosurgery, Renmin Hospital of Wuhan University, Wuhan, China, <sup>3</sup> Department of Anesthesiology, The Affiliated Huzhou Hospital, Zhejiang University School of Medicine (Huzhou Central Hospital), Huzhou, China, <sup>4</sup> Department of General Surgery, The Affiliated Huzhou Hospital, Zhejiang University School of Medicine (Huzhou Central Hospital), Huzhou, China

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Yan Wang,  
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Capital Medical University, China

### \*Correspondence:

Junjing Chen  
chenjunjing1983@163.com  
Sheng Qiu  
qius2001@126.com

<sup>†</sup>These authors have contributed  
equally to this work

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Ischemic stroke after cerebral artery occlusion is one of the major causes of chronic disability worldwide. Interleukins (ILs) play a bidirectional role in ischemic stroke through information transmission, activation and regulation of immune cells, mediating the activation, multiplication and differentiation of T and B cells and in the inflammatory reaction. Crosstalk between different ILs in different immune cells also impact the outcome of ischemic stroke. This overview is aimed to roughly discuss the multiple roles of ILs after ischemic stroke. The roles of IL-1, IL-2, IL-4, IL-5, IL-6, IL-8, IL-9, IL-10, IL-12, IL-13, IL-15, IL-16, IL-17, IL-18, IL-19, IL-21, IL-22, IL-23, IL-32, IL-33, IL-34, IL-37, and IL-38 in ischemic stroke were discussed in this review.

**Keywords:** interleukins, ischemic stroke, inflammation, cytokines, neuro-immune

## 1 INTRODUCTION

Ischemic stroke after cerebral artery occlusion is one of the major causes of chronic disability worldwide, and there is still a lack of effective methods to improve functional recovery after cerebral stroke (1). After ischemic stroke, a severe shortage of blood supply to the brain leads to the insufficient oxygen supply to the brain, which in turn leads to neuronal death. Inflammatory responses at the blood-endothelial interface of brain capillaries are the basis of ischemic tissue damage. Furthermore, inflammatory interactions at the blood-endothelial interface, including adhesion molecules, cytokines, chemokines and white blood cells, are crucial for the pathogenesis of tissue injury in cerebral infarction (2). Pathophysiological changes after ischemic stroke include ion imbalance, neuroinflammation, and abnormal activation of immune cells, can lead to neuronal death. However, despite extensive research work have been made, the exact mechanisms of stroke damage are not fully understood. It is clear that ILs play a major role in the progression of ischemic stroke disease.

IL, refers to a lymphocyte medium that interacts between white blood cells or immune cells. It is a cytokine in the same category as blood cell growth factor. Both IL and hemocyte growth factor belong to cytokines, and they coordinate and interact with each other to complete hematopoiesis and immune regulation functions together. IL plays a crucial role in information transmission, activation and regulation of immune cells, mediating the activation, multiplication and differentiation of T and B cells and in the inflammatory reaction (3). There is a close relationship between IL and the pathogenesis of ischemic stroke. This review is to discuss the inflammatory effects of IL in the pathogenesis of stroke, the interactions between different IL-mediated pathways,

the cell-type dependent effects of different mediators and how different ILs regulate complex inflammatory cascades. The role of IL-1, IL-4, IL-6, and IL-10 were discussed in more detail.

## 2 IL-1 FAMILY

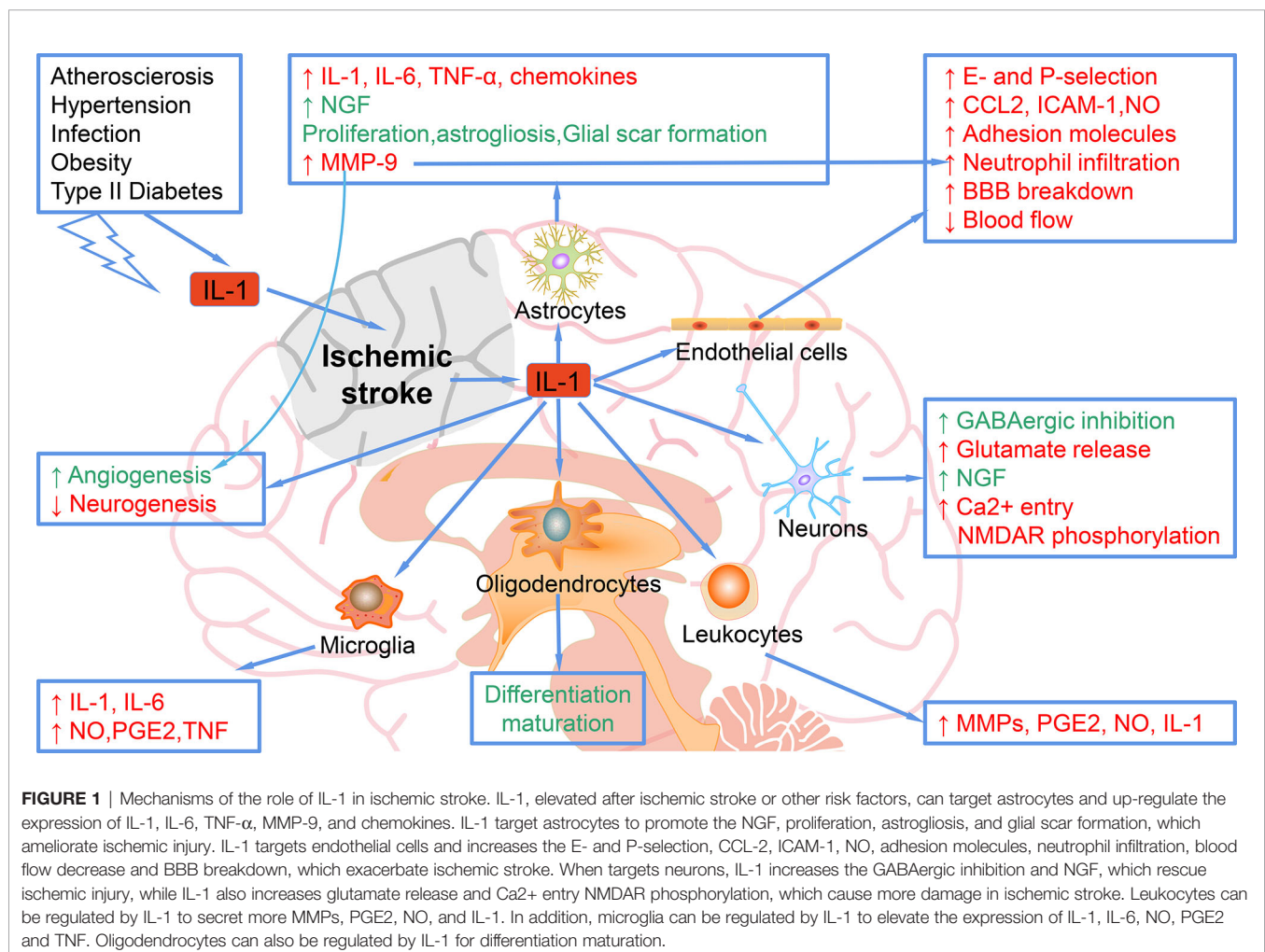
IL-1, described earlier as a fever-causing protein called human leukocyte pyrogen, is one of the pro-inflammatory cytokines produced by monocytes, macrophages, and epithelial cells. The IL-1 family consists of IL-1 $\alpha$ , IL-1 $\beta$ , and specific receptor antagonist (IL-1RN) (4). The IL-1 gene complex is located on chromosome-14 and consists of three linked genes, namely IL-1 $\alpha$ , IL-1 $\beta$  and IL-1 $\beta$  (5). IL-1 $\alpha$  and IL-1 $\beta$  was regarded as pro-inflammatory cytokines. The sequence homology of IL-1 $\alpha$  and IL-1 $\beta$  is not high, but they bind to the same receptor complex and have similar biological activity. IL-1RN is a 16-18 kD protein that binds competitively with IL-1 and its receptor to become an important anti-inflammatory cytokine. There are five alleles for IL-1RN, and IL-1RN\*1 is the most common genotype, followed by IL-1RN\*2. The incidence of the remaining alleles (IL-1RN\*3, IL-1RN\*4 and IL-1RN\*5) is less than 1% (6). Among them,

IL-1RN\*2 polymorphism is considered to be a genetic risk factor for coronary artery disease and atherosclerosis, which is closely related to ischemic stroke.

## 2.1 Mechanism of Pleiotropic Effects of IL-1 on Ischemic Stroke

IL-1 is a multifactorial cytokine with multiple biological effects in many cell types, many of which are associated with stroke risk and outcome. Downstream effects of IL-1 include increased expression of cytokines, chemokines, and growth factors, activation of matrix metalloproteinases, upregulation of adhesion molecules, increased leukocyte infiltration, activation of platelets, alteration of blood flow, increased angiogenesis, decreased neurogenesis, and numerous other effects. We have discussed some of all these effects and related mechanisms in detail, and the rest can be found in the reviews (7, 8).

Stroke-related comorbidities and risk factors are associated with elevated systemic inflammation, mediated in part by IL-1. As shown in **Figure 1**, in acute phase, the increase of IL-1 in the brain after stroke mediates the harmful the inflammatory process, including up-regulation of IL-6, TNF- $\alpha$ , MMP-9 and chemokines in astrocytes; inhibition of neurogenesis (9); increase



of adhesion molecules and neutrophil infiltration, decrease of BBB integrity and blood flow by acting on endothelial cells, leading to worse outcomes. Moreover, IL-1 stimulates the proliferation and activation of astrocytes, leading to astrocyte hyperplasia, which is a typical response to brain injury. Data reported in many studies confirm that IL-1 upregulates a large number of genes in astrocytes, which encode neurotoxic factors including MMPs, chemokines, pro-inflammatory cytokines such as IL-6 and TNF $\alpha$ , but also survival-promoting mediators such as NGF (nerve growth factor) (10). IL-1 also exerts its effects on cerebrovascular endothelial cells to increase the production of chemoattractant and adhesion molecules such as CCL2 (CC chemokine ligand-2), ICAM-1 (intercellular adhesion molecule-1), and E- and P-selectin, and even promotes the breakdown of BBB, events that are associated with recruitment of leukocytes (11). IL-1 can act directly on neurons through an alternative signaling mechanism involving ceramide production and activation of Src kinase that phosphorylates the NMDAR [NMDA (N-methyl-D-aspartate) receptor] subunit 2B, leading to enhanced calcium entry and increased vulnerability to additional injury (12). IL-1 may also induce neurotoxicity indirectly through its action on the vascular endothelium to promote the recruitment of leukocytes, especially neutrophils that damage the neurovascular unit through the release of MMPs and reactive oxygen species (ROS) (11).

However, in the subacute and chronic phases post-stroke, some of the effects of IL-1 may be beneficial. For example, IL-1 promotes the glial scar formation and enhances angiogenesis, thereby promoting ischemic stroke recovery (13). In addition, IL-1 is not toxic to pure neurons in culture and can even promote survival through enhancement of synaptic GABA( $\gamma$ -aminobutyric acid)ergic inhibition or production of NGF (14, 15).

## 2.2 The Mechanisms of IL-1 $\beta$ -Induced Brain Damage in Ischemic Stroke

In acute ischemic stroke, blood perfusion to the brain is reduced. In cerebral infarction, when blood flow is 10%-25% lower than normal, nerve cells will suffer irreversible damage or even death, and inflammatory cells in the tissue will release inflammatory factors. As one of the most powerful pro-inflammatory cytokines, IL-1 $\beta$  exerts an essential role in ischemic stroke mainly through the following mechanisms.

### 2.2.1 IL-1 $\beta$ Aggravates the BBB Dysfunction

After ischemic stroke, increased secretion of IL-1 $\beta$  activates phospholipase A2 to degrade arachidonic acid and destroy the phospholipid bilayer (16). Moreover, the metabolites, prostaglandin and leukotriene, can promote the increase of microvascular permeability, resulting in blood-brain barrier (BBB) dysfunction and the formation of vasogenic brain edema (17). Meanwhile, after ischemic stroke, the reduction of glucose and oxygen supply, insufficient ATP production, and enhanced glycolysis, lead to the occurrence of cytotoxic brain edema. The interaction between the vasogenic brain edema and cytotoxic brain edema causes cranial pressure increase, secondary injury of brain tissue, and the possible occurrence of

cerebral hernia in severe cases, endangering the life of the patient. In addition, IL-1 $\beta$  also aggravates ischemic injury by promoting the expression of adhesion molecules between endothelial cells, inducing leukocyte migration to the ischemic area to trigger inflammatory response (18).

### 2.2.2 IL-1 $\beta$ Mediates the Inflammatory Response in Ischemic Stroke

IL-1 $\beta$  stimulates the activation of microglia, which, as the main effector cells in the neuroinflammatory response, aggravates the inflammatory response and leads to secondary brain damage by secreting and releasing a series of potential neurotoxic substances, such as TNF- $\alpha$  and iNOS. IL-1 $\beta$  activates I $\kappa$ B kinase through the IRAK pathway, resulting in the phosphorylation and ubiquitination of IL-1 $\beta$ -mediated I $\kappa$ B- $\alpha$ , which ultimately upregulates the expression of NF- $\kappa$ B in the cell nucleus and induces the increase of the transcription of target genes such as IL-8 and TNF- $\alpha$  (19). Study has demonstrated that IL-1 $\beta$  regulates the PI3K/AKT pathway to stimulate IL-6 and other cytokines, which synergistically act on ischemic areas and aggravate the damage effect (20). Other studies have shown that up-regulation of IL-6 and other pro-inflammatory cytokines can promote the phosphorylation of JAK2/STAT3 (21, 22). After P-STAT3 enters the nucleus, it will bind to the DNA sequence characteristic of the promoter region of target genes and up-regulate the transcription of IL-1 $\beta$ , IL-6 and TNF- $\alpha$  genes (22). This vicious cycle leads to persistent inflammation, and damaged brain cells fail to recover.

### 2.2.3 IL-1 $\beta$ Promotes Apoptosis After Ischemic Stroke

After ischemic stroke, a large number of potentially salvageable neurons exist in the ischemic penumbra. However, with the prolongation of ischemia time, IL-1 $\beta$  promotes the apoptosis of the damaged cells by activating the apoptotic molecular mechanism, leading to the original ischemic penumbra gradually becoming the area of cerebral infarction, and finally the aggravated brain damage. Studies have verified that IL-1 $\beta$  plays a crucial role in the process of apoptosis of injured cells. This effect is mainly through the following two aspects: (1) activation of the excitatory toxicity mediated by glutamate (23); (2) activating the apoptotic cascade to activate the JNK/AP-1 pathway (24). Recently, it has been reported that the AIM2 inflammasome-derived IL-1 $\beta$  production activated triggers the expression of FasL in the spleen monocytes which evokes the apoptosis of Fas-dependent extrinsic T cells, causing an increased risk of infection by bacteria after ischemic stroke (25). Therefore, IL-1 $\beta$  may be involved in the signaling cascade activated by AIM2 inflammasome, causing immune suppression and secondary infection after stroke injury.

## 3 IL-2

IL-2, also known as cell growth factor, is an immunomodulatory lymphocyte secreted by T lymphocytes after being stimulated by antigen. In addition to maintaining the long-term multiplication

and differentiation of T cells *in vitro*, IL also has important biological functions such as enhancing the killing activity of NK cells, promoting the proliferation and differentiation of B cells, and inducing the production of lymphokine-activated killer cells.

### 3.1 IL-2 Expression Decreased After Ischemic Stroke

Clinical trials showed that the level of serum IL-2 in patients with acute cerebral apoplexy was significantly lower than that in normal control group (26). The mechanism may be related to the following two factors: (1) In acute stroke, the body stress response, the immune stability *in vivo* is destroyed, especially the function of T cell is affected, so that the blood level of IL-2 is significantly decreased; (2) In acute stroke, the brain tissue cells are damaged, and local ischemia and hypoxia reduce the synthesis of IL-2 in the brain.

### 3.2 The Role of IL-2 in Ischemic Stroke

The IL-2/IL-2 antibody complex (IL-2/IL-2Ab) may improve the prognosis of ischemic stroke by regulating the amount of regulatory T cells (Tregs) in the body (27). Tregs are known to prevent ischemic stroke. However, the small amount of Tregs limits their clinical efficacy.

Previous research has showed that IL-2/IL-2Ab treatment selectively increases the amount of Tregs in the lymph nodes, spleen, and blood, significantly reduces the infarct volume, inhibits neuroinflammation, and improves sensorimotor function compared to stroke mice treated with isotype IgG (27). IL-2mAb has been reported to reduce demyelination after ischemic stroke by suppressing CD8 + T cells (28). The depletion of Tregs by diphtheria toxin eliminated neuroprotective effect provided by IL-2/IL-2Ab. IL-2/IL-2Ab promotes the expression of CD39 and CD73 in expanded Tregs, the deficiency of which may reduce the protective action of Tregs stimulated by IL-2/IL-2Ab in ischemic stroke mice (27). After stroke, increasing Treg cell numbers by delivering IL-2:IL-2 antibody complexes can improve white matter integrity and rescue neurological functions over the long term (29). In addition, Zhao et al. has found that ischemic stroke patients with poor functional outcomes at 3 months have significantly higher levels of IL-2 receptor  $\alpha$  (sIL-2R $\alpha$ ) and lower levels of IL-2 than patients with good outcomes. Higher sIL-2R $\alpha$  and IL-2 levels were associated with an increased and reduced risk of unfavorable outcomes, respectively (30), indicating that increased plasma sIL-2R $\alpha$  and IL-2 levels manifested opposite correlations with functional outcome, illustrating the importance of IL-2/IL-2R autocrine loops in ischemic stroke.

## 4 IL-4

IL-4 regulates various immune responses, including the differentiation of T cells and nonspecific transformation of B cells (31). It is also the most characteristic M2 macrophage polarization promoter to date. Numerous evidences suggest that IL-4 plays a critical role in brain function under

physiological and pathological conditions. For example, T-cell-derived IL-4 is essential for learning and memory in the normal brain. Levels of IL-4 in the brain tissue decrease with age, which may contribute to cognitive decline in older people and also increase the risk of Alzheimer's disease (32). After ischemic stroke, IL-4 treatment has been shown to enhance white matter integrity (33).

### 4.1 IL-4 Promotes the M2 Polarization of Microglia/Macrophages

Recent animal and clinical researches have demonstrated the importance of IL-4 in the acute phase of stroke (34, 35). Several hours after the onset of stroke, the level of IL-4 in serum was observably increased (36). In addition, 24 hours after transient middle cerebral artery occlusion (MCAO), IL-4 deficiency resulted in brain injury and neurological dysfunction (37). IL-4 plays an important role in the M2 polarization and long-term recovery of microglia/macrophages after ischemic stroke. Mice lacking IL-4 have more M1-polarized microglia/macrophages, larger infarcts and more severe functional deficits after cerebral ischemia, while recombinant IL-4 can eliminate these effects (38). IL-4-polarized microglia cells may alleviate the ischemic stroke injury by promoting angiogenesis through the secretion of exosomes containing miRNA-26a (39). There is a direct salutary effect of IL-4 on oligodendrocyte differentiation that is mediated by the peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ) axis. Additionally, PPAR $\gamma$  is essential for IL-4-induced oligodendrocyte progenitor cell differentiation and long-term functional improvements after stroke (33).

#### 4.1.1 Inhibition Pro-Inflammatory Cytokines

The neuroprotective effect of IL-4 may be achieved by stimulating IL-4/STAT6 signal transduction and inhibiting pro-inflammatory cytokines. Previous study has showed that IL-4 knockout mice produce more pro-inflammatory cytokines, including IL-1 $\beta$  and TNF- $\alpha$  (40). The loss of IL-4 in mice also increases sensitivity to mechanical pain.

#### 4.1.2 IL-4 Is Essential for Sex Differences in Vulnerability to Stroke

IL-4 protects against cerebral ischemia in male mice. However, female mice generally exhibit less damage in response to the same challenge of cerebral ischemia. Infarct volume in WT female mice in proestrus and estrus phases is markedly smaller than in males. IL-4 is required for female neuroprotection during the estrus phase of the estrus cycle (38). In protected female WT mice, microglia/macrophages were dominated by M2 polarization and inflammatory infiltration was reduced (40). Therefore, increasing macrophage M2 polarization, with or without added inhibition of inflammatory infiltration, may be a novel approach for stroke treatment.

#### 4.1.3 IL-4 Affects Neuronal Excitability

Chen et al. have shown that cortical pyramidal and stellate neurons common for ischemic penumbra after cerebral ischemia-reperfusion injury exhibit intrinsic hyperexcitability and enhanced excitatory synaptic transmissions in IL-4

knockout mice. In addition, upregulation of Nav1.1 channel, and downregulations of KCa3.1 channel and  $\alpha 6$  subunit of GABAA receptors are observed in the cortical tissues and primary cortical neurons in IL-4 knockout mice (34), indicating that IL-4 deficiency results in neural hyperexcitability and aggravates cerebral ischemia-reperfusion injury.

## 5 IL-6

IL-6 is a glycoprotein with a molecular mass of 20 to 30 kDa, depending on the cellular source and preparation, and is a cytokine with pleiotropic, playing a role in central host defense (41). The IL-6 family of cytokines recruits gp130 for signaling. For IL-6 specifically, a hexamer forms (two IL-6, two IL-6R and two gp130) that can activate intracellular tyrosin-kinases such as JAK and, to a lesser extent, TYK, which, in turn, activate a number of proteins including the STAT family of transcription factors, or the RAS-RAF-MAPK pathway, PI3K, or IRS (insulin receptor substrate) (42). IL-6, mainly produced by monocyte macrophages, lymphoid cells, T cells, B cells, granulocytes, mast cells and endothelial cells, is a kind of multi-effector cytokine. IL-6 has critical effect in immune reactions, acute phase response and hematopoiesis regulation, mainly in autocrine or paracrine ways. By activating target genes, IL-6 not only serves as a differentiation and growth factor of hematopoietic cells, B cells, T cells, osteoclasts and endothelial cells, but also plays an important role in the growth, differentiation, regeneration and degradation of peripheral and central nervous system nerve cells. IL-6 activates and recruits neutrophils and monocytes, stimulates vascular endothelial cells to secrete adhesion molecules and other inflammatory transmitters, and enhances local inflammatory response (43). Circulating and local IL-6 production will lead to the state of pre-thrombosis, which can induce the production of platelet derived growth factor, fibroblast growth factor, TNF, macrophage colony stimulating factor, and promote the proliferation of smooth muscle cells (44).

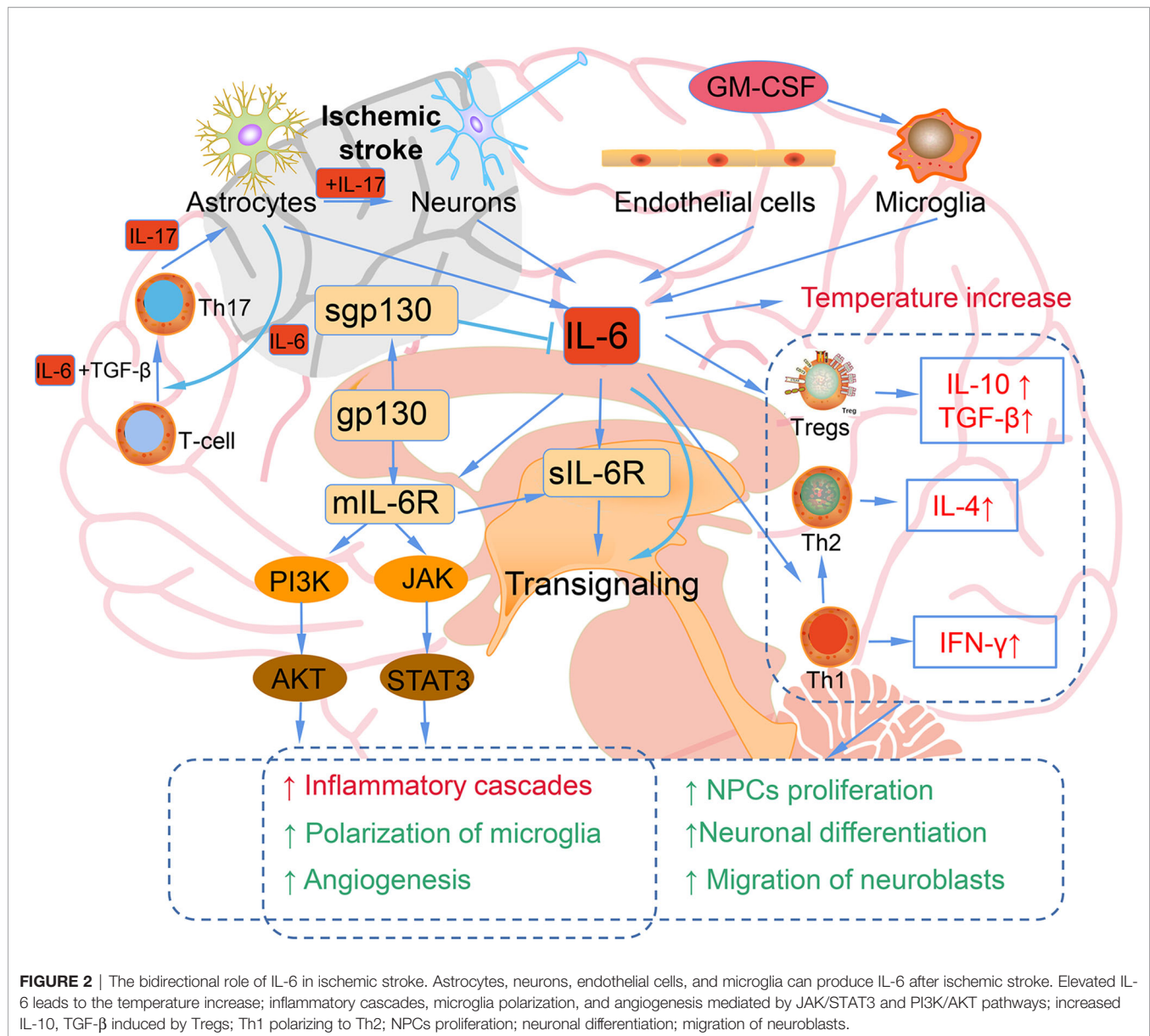
### 5.1 Dual Role of IL-6 in Ischemic Stroke

The dysregulation of IL-6 is closely related to the occurrence and outcome of many clinical diseases, including coronary heart disease, leukemia, hypertension, ischemic stroke and so on (45). Su et al. demonstrated that elevated IL-6 induced by ischemia and hypoxia, oxidative stress, vascular occlusion and inflammation, partly leads to the production of the acute phase protein in the liver, thereby stimulating leukocyte recruitment and thrombosis, ultimately causing multiple cardio-cerebrovascular diseases including ischemic stroke (46). Elevated serum IL-6 levels are implicated in a higher risk of incident stroke and mediate the racial disparity in stroke *via* inflammatory effects of risk factors (47). Elevated plasma IL-6 has been reported to be a signatures of post-stroke delirium (48). Additionally, high IL-6 levels at 24 hours are associated with futile reperfusion in patients with acute ischemic stroke with large vessel occlusion treated with mechanical thrombectomy (49). Moreover, a lower admission level of IL-6 is positively

correlated with the first-pass effect, which is defined as a complete or near-complete reperfusion achieved after a single thrombectomy pass is predictive of favorable outcome in acute ischemic stroke patients (50). These findings indicate that IL-6 may be a predictor of the prognosis of ischemic stroke patients.

IL-6 is a marker of inflammation after stroke, and elevated IL-6 is mainly secreted from neurons, microglia, astrocytes, and endothelial cells in the ischemic hemisphere, traditionally regarded as an adverse prognostic factor (51) (**Figure 2**). In the ischemic brain, IL-6 protein is mainly localized in the neurons of the cerebral cortex. The neuronal expression of IL-6 starts 3.5 h after ischemia, peaks after 24 h of reperfusion, and remains for 7 days. The immunoreactivity of IL-6 was most upregulated in ischemic penumbra. IL-6 released into the cerebrospinal fluid after stroke may lead to impaired cerebrovascular autoregulation and increased histopathology. In addition, IL-6 is related to the inflammation, which contributes to both damage and recovery process after ischemic stroke (52). The high levels of serum IL-6 have been reported to be related to the body temperature, early neurologic deterioration, infarct volume, and a long-term poor outcome. It has been identified that after stroke brain is the main source of IL-6 (53). In addition, inflammatory biomarkers, including C-reactive protein, fibrinogen, IL-1 receptor antagonist, and TNF- $\alpha$  are also elevated in parallel with IL-6 (54).

However, IL-6 is also a neurotrophic cytokines that shares a common receptor subunit, gp130, with other neurotrophic cytokines, such as leukemia inhibitory factor (LIF) and ciliary neurotrophic factor (55). The IL-6 expression is mainly observed in neuronal cells in the ischemic penumbra region, and the expression of LIF shows a similar pattern. The direct injection of these cytokines into the brain tissue after ischemic stroke can reduce cerebral ischemic damage. The main downstream signaling pathway of IL-6 is JAK-STAT, and the activation of STAT3 occurs primarily in neuronal cells after ischemic reperfusion. Since the role of STAT3 in stroke is also diverse and controversial, further studies are needed to explore the accurate action of STAT3 signaling in neuroprotective effect (54). IL-6 secreted from astrocytes promotes Th1 polarize into Th2 to mediate immunosuppressive microenvironment and contribute to the neurogenesis and angiogenesis and neuronal differentiation (51). IL-6 stimulates the phosphorylation of STAT3 and the early transcriptional activation of angiogenesis-related genes, thereby leading to the enhanced angiogenesis and elevated cerebral blood flow in the delayed period after ischemic stroke. IL-6R simultaneously activates the PI3K/AKT and JAK-STAT pathways, which play vital roles in angiogenesis after ischemic stroke (56). Additionally, IL-6 also been reported to facilitate post-traumatic healing in the CNS through repair of endothelial cells, which also demonstrates that IL-6 may enhance revascularization or angiogenesis after ischemic stroke (57). IL-6 increases CNS neuronal survival and decreases excitotoxic neuronal damage against NMDA-mediated injury and protects neurons against apoptosis (54). Continuously injection of recombinant for 7 days IL-6 into the lateral ventricle of gerbils subjected to transient cerebral ischemia, IL-6 injection was found



to prevent learning disabilities and delayed neuronal loss (58). In conclusion, IL-6 has a dual effect in ischemic stroke, acting as an inflammatory factor in the acute stage and a neurotrophic mediator in the subacute and prolonged phase.

## 6 IL-8 IN ISCHEMIC STROKE

IL-8 is a chemotactic cytokine that promotes the chemotaxis of inflammatory cells and induces cell proliferation. After ischemic stroke, IL-8 levels are increased (59, 60), mobilizes and activates neutrophils, causing neutrophils to infiltrate into the ischemic area, aggravating the local inflammatory response, leading to the expansion of ischemic lesions, and leading to severe morbidity and disability (2, 61–63). Endothelin-1 may be a stimulator of

IL-8 (62). IL-8 may be also involved in recruiting blood polymorphonuclear leukocytes to the sites of cerebral ischemia (59). The expression of pro-inflammatory cytokines (IL-1 $\beta$ , IL-6, IL-8, TNF- $\alpha$ ) in the cortex of ischemic stroke mice was detected after the occurrence of cerebral ischemia (64). One study showed that the levels of these pro-inflammatory cytokines in patients with acute cerebral ischemia were observably higher than those in the control normal group, and the degree of disability in early phase of acute stroke was positively correlated with the level of serum IL-8 (65). IL-8 gene knockout has been shown to promote neuroglial cells activation while inhibit neuroinflammation through the PI3K/Akt/NF- $\kappa$ B-signaling pathway in mice with ischemic stroke (66). The high serum IL-8 levels are associated with prognosis. IL-8 exaggerates the ischemic stroke injury through inducing neutrophil-mediated-inflammation (61). The development of new neuroprotective treatments aimed to

prevent neutrophil-mediated-inflammation induced by IL-8 is critical in the treatment of stroke, and prevention of clinical worsening. IL-8 can be used as important indicator to judge the severity of the early condition of acute ischemic stroke patients (67). However, IL-8 stimulates VEGF production in human bone marrow mesenchymal stem cells partially *via* the PI3K/Akt and MAPK/ERK signal transduction pathways and that administration of IL-8-treated human bone marrow mesenchymal stem cells increases angiogenesis after stroke (68).

## 7 IL-10

IL-10 is a significant anti-inflammatory cytokine that has inhibitory effects on a variety of immune cells. IL-10 was first identified in mouse Th2 cells, and was subsequently found to be secreted in astrocytes, neurons, B cells, monocytes/macrophages, keratinocytes, and human Th1 cells. IL-10 has strong anti-inflammatory and immunosuppressive activity. It can inhibit the production and release of IL-2, IFN- $\gamma$  and pro-inflammatory factors, reduce the expression of immune receptors, inhibit human Th2 cells, lead to cell proliferation, cytokine production reduction (69). IL-10 binds to IL-10 receptors (IL-10R) to decrease inflammation and limit apoptosis (70). These effects make it a very important role in the protection of cerebral ischemia.

### 7.1 IL-10 in Ischemic Stroke

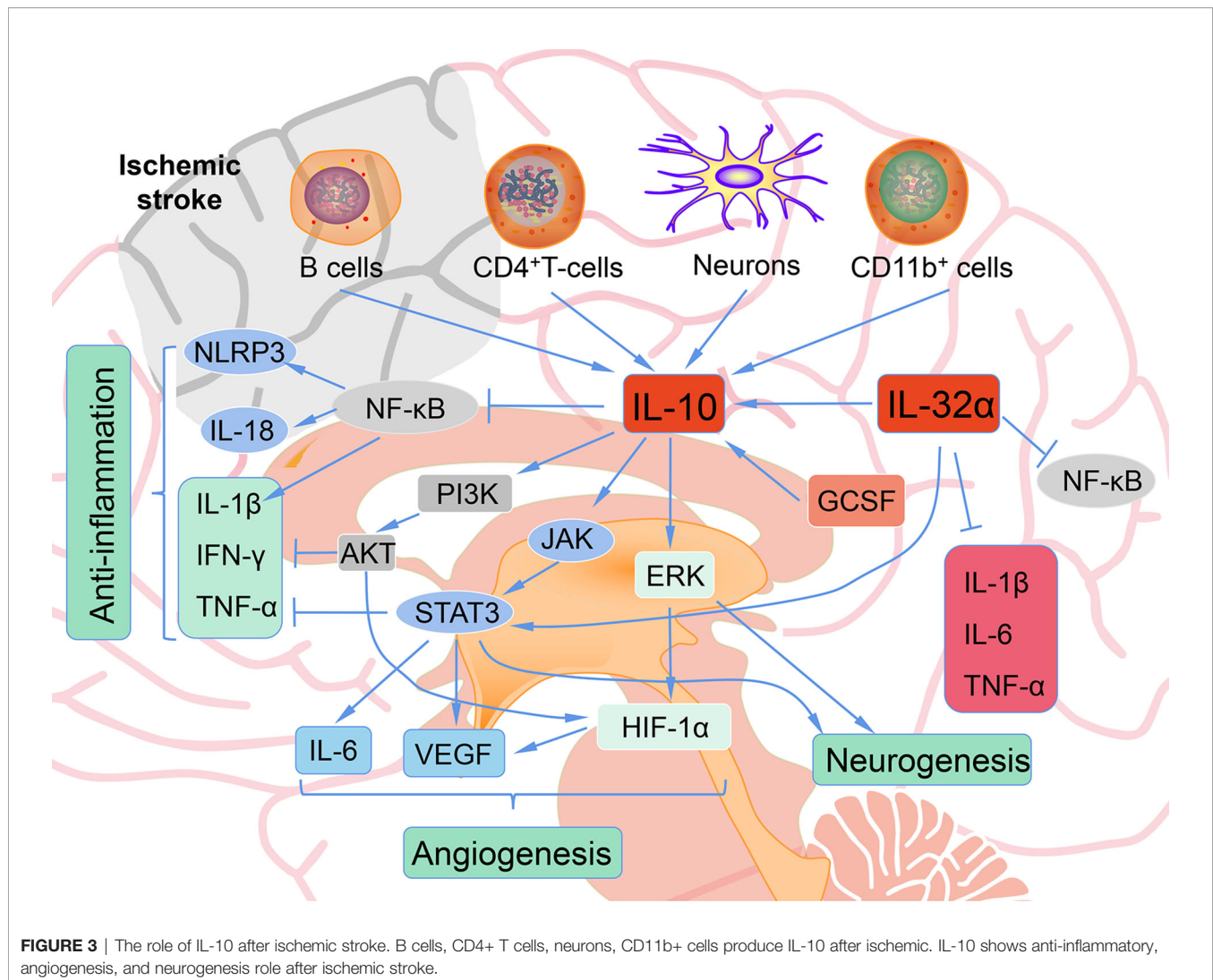
The neuroprotection of IL-10 on ischemic stroke has always been a research hotspot. A meta-analysis exploring the relationship between IL-10 gene polymorphism and ischemic stroke risk revealed no overall significant association of IL-10 with ischemic stroke risk, but an association was found with macrovascular disease and microvascular disease (71), demonstrating that certain subtypes of ischemic stroke are correlated to IL-10 gene polymorphisms.

In experimental stroke, the levels of IL-10 mRNA and protein and IL-10R mRNA were elevated, with IL-10 observed in microglia and IL-10R on astrocytes in the ischemic penumbra (72). In transgenic mice that overexpressed IL-10, infarct size was reduced, and apoptosis was limited 4 days post ischemic stroke (73). Additionally, Overexpression of IL-10 enhances the neuroprotective effect of mesenchymal stem cell transplantation through anti-inflammatory regulation, thus supporting the survival of neurons during acute ischemia (74). Both systemic intravenous (IV) and central intracerebroventricular (ICV) exogenous administration of IL-10 reduced infarct size after permanent MCAO (pMCAO) (75). Moreover, low IL-10 levels were related to poor stroke outcomes and a delayed, exacerbated inflammatory response that was alleviated by IL-10 administration after pMCAO (76). Lower levels of IL-10 and IL-33 may also be used to predict post stroke depression (77, 78). The IL-10 expression in the brain tissue increases with pathological changes of the central nervous system, promotes the survival of gliocyte and neurons, and inhibits inflammatory responses through multiple signaling pathways. Previous

research showed that the significant decrease of IL-10 was significantly associated with the degree of neurological impairment, and the concentration of IL-10 had a high predictive value on the early neurobehavioral performance post-acute stroke (79). However, stroke patients are susceptible to infection as a result of stroke-induced immunosuppression, and elevated serum IL-10 levels have been identified as an independent predictor of post-stroke infection (80, 81). IL-10 overreaction can lead to immunosuppression and worsening neurological prognosis after stroke, indicating that IL-10 therapy should be used with caution (82). Elevated IL-10 levels may be associated with higher incidence of post-stroke urinary tract infection, leading to poorer recovery after ischemic stroke in women (83, 84). In addition, IL-10 can mediate the function of Th2 cells, exert a protective effect, and lead to the reduction of ischemic infarction lesions (85). Future studies should be aimed at differentiating between central and peripheral IL-10 effects post-stroke.

#### 7.1.1 The Mechanism of IL-10 in Inhibiting Inflammatory Responses After Stroke

Immune cells, including T and B cells, are important in ameliorating neuroinflammation *via* the modulation of varieties of cytokines and chemokines, with IL-10 playing a central immunomodulatory role (86, 87). The protective effect of IL-10 on stroke is mainly achieved by inhibiting inflammatory reactions. Firstly, IL-10 decreased the expression and activity of pro-inflammatory cytokines such as IFN- $\gamma$ , IL-1 $\beta$  and TNF- $\alpha$  through PI3K and STAT3 activation (**Figure 3**) (88). Secondly, IL-10 inhibits the synthesis and activity of Th1 lymphocytes (89). In addition, IL-10 treatment can effectively down-regulate the up-regulated pro-inflammatory signals in acute ischemic lesions after stroke, and can provide neuroprotection for ischemic stroke (90). IL-10 gene transduction before cerebral artery ischemia can alleviate brain damage induced by ischemia/reperfusion in rats through increasing the expression of heme oxygenase (91). IL-10 also exert its anti-inflammatory effects partially through inhibition of NF- $\kappa$ B (92). Hydrogen sulfide donor administration during reperfusion protects the integrity of BBB after ischemia/reperfusion and is accompanied by increased IL-10 expression, reduced NF- $\kappa$ B nuclear translocation, and MMP-9 and NOX4 activity (93). In MCAO mice, by reducing the release of neuroinflammatory factors (IL-6, IL-1 $\beta$ , TNF- $\alpha$ ) and astrocyte activation, IL-32 $\alpha$  overexpressing transgenic mice showed reduced cell death of ischemic neurons and enhanced anti-neuroinflammatory factor (IL-10), indicating a crosstalk between IL-32 $\alpha$  and IL-6, IL-1 $\beta$ , IL-10 (94). IL-10-secreting CD4<sup>+</sup> T cells induced by nasal MOG reduce injury following stroke. IL-10 secreted from CD4<sup>+</sup> T cells may be the reason of the neuroprotection of oligodendrocyte glycoprotein administration in MCAO mice (95, 96). Increased IL-10 levels also decreased the number of CD11b<sup>+</sup> cells that may contribute to secondary infarct expansion *via* nitric oxide pathways (96). Expansion of the CNS Treg cell population by administration of the CD28 superagonist monoclonal antibody at the start of reperfusion decreased the infarct volume 7 days after MCAO, and its effect was attributed



to the increased IL-10 (97). Transfer of IL-10-producing B cells into B cell-deficient mice 24 h after MCAO attenuated cerebral ischemia-reperfusion injury, reduced the amount of T cells and monocytes in cerebral parenchyma, and improved the peripheral proinflammatory homeostasis (87). Interesting, IL-10-producing B cells also upregulated the number of Tregs (87), suggesting that there may be a positive feedback loop between B cells and Tregs, both of which play a neuroprotective role through IL-10 production. These facts indicate a complicated network between IL-10 and immune cells in ischemic stroke. These methods of targeting IL-10 to prevent recurrence of stroke may be realized in the interventional treatment of stroke.

### 7.1.2 The Role of IL-10 in Neurogenesis After Ischemic Stroke

Injection of activated Tregs into the lateral ventricle of C57BL/6 mice 60 min after of transient ischemia promotes the proliferation of neural stem cell in the subventricular region in

ischemic brain tissues. Moreover, this effect was abolished by blocking IL-10 with a neutralizing antibody, suggesting that activated Tregs act through IL-10 to facilitate the proliferation of neural stem cells (98). Hematopoietic cytokines such as GCSF and stem cell factor have been confirmed to promote neurogenesis (99), and also may be required to provide the initial signals for IL-10 production in ischemic stroke (100). Administration of these cytokines early (1–10 days) and later (11–20 days) post MCAO significantly elevated the mRNA expression of IL-10, reduced the activation of microglia/macrophages, and did not change proinflammatory cytokine levels in C57BL/6J mice (100). A study where bone marrow-derived mesenchymal stem cells were transplanted into the lateral ventricle of Sprague-Dawley rats before pMCAO yielded similar results, where IL-10 mRNA and protein levels were elevated 4 days post-stroke, TNF $\alpha$  was reduced, infarct size was smaller, and neurologic function was improved (101). IL-10 targets Nestin<sup>+</sup> progenitors and activates neurogenesis by

modulating ERK and STAT3 activity in adult subventricular zone (102). Either administration of stem cells themselves or hematopoietic cytokines may ameliorate ischemic stroke injury partially through the increase of IL-10. Additionally, neuroprotection after dysbiosis depends on IL-10 and IL-17. IL-10 is required for Treg mediated IL-17<sup>+</sup>  $\gamma\delta$  T suppression (103). Recently, it has been reported that IL-10 acts differentially on  $\alpha\beta$  and  $\gamma\delta$  T cells. IL-17A producing CD4<sup>+</sup>  $\alpha\beta$  T cells are directly controlled *via* their IL-10-receptor (IL-10R), whereas IL-10 by itself has no direct effect on the IL-17A production in  $\gamma\delta$  T cells. The control of the IL-17A production in  $\gamma\delta$  T cells depended on an intact IL-10R signaling in Tregs (104).

## 8 IL-12 AND ISCHEMIC STROKE

During antigen presentation to naive T cells, IL-12, IL-23, and IL-27 are produced by activated antigen-presenting cells, while IL-35 is a product of B cells and Tregs (105). The primary target cells of IL-12 are NK and T cells, which are stimulated to produce cytokines, proliferative and cytotoxic activities (106). IL-12 is produced early in infection and plays a pro-inflammatory role in the immune response, and serve as a cofactor in the polarization of T cell response to cell-mediated immunity (107).

Previous studies have confirmed that IL-12 plays an essential role in the pathological process of acute ischemic stroke (108). Cytokines are usually released in response to tissue injury, so increase levels of IL-12 in serum in patients with acute cerebral infarction are consistent with a rapid increase IL-12 levels in the serum of patients with acute myocardial infarction and severe brain injury (109). The increase of IL-12 in serum of stroke patients appears to be a local or systemic immune response to ischemic brain injury, and the increase of IL-12 in serum may be caused by the release of cytokines from the infarcted brain region or cerebrospinal fluid to the periphery. On the other hand, stroke-activated cerebral endothelial cells secrete cytokines that may activate peripheral blood monocytes, leading to systemic expression of cytokines (110). An increase in the number of IL-12 secreting monocytes and monocytes isolated from peripheral blood of patients with cerebral ischemia has been demonstrated (111, 112). In this case, the increase in serum IL-12 levels in patients with stroke may be caused by cytokines.

IL-12 may promote the deterioration of ischemic brain injury *via* cytokines by activating the ability of immunoreactive cells and modulating their abilities in response to inflammation. IL-12 increases the production and action of several pro-inflammatory cytokines and chemokines and promotes endothelial cells to release adhesion molecules, which are potent chemical attractors for different subsets of white blood cells, including monocytes and neutrophils (113, 114). Additionally, in a mouse cancer model, IL-12 gene therapy is associated with increased tissue infiltration and apoptosis of NK and T cells, which are important mechanisms of neuronal death induced by aggressive inflammatory cells in the ischemic brain (115). At present, we can know exactly that there is close correlation between

increased levels of IL-12 in the ischemic stroke patients and reaction intensity in acute phase, the size of the early brain injury, neurological stroke severity and functional disability, suggesting IL-12 may play a critical role in pathophysiology of cerebral ischemia.

## 9 IL-13 AND ISCHEMIC STROKE

IL-13 is a protein secreted by activated T cells and is a powerful regulator of human monocyte and B cell function *in vitro* (116). IL-13 shares a common biological activity with IL-4 (117). IL-13 can induce the differentiation of mononuclear cell, inhibit LPS-induced mononuclear factor secretion, control inflammatory response, induce the proliferation of B cell and synthesize IgE antibody, cooperate with IL-2 to stimulate NK cells to produce IFN, thereby promoting mononuclear macrophage activation.

Previous studies have confirmed the indispensable role of IL-13 in ischemic stroke. IL-13 exerts an effect on microglia and infiltrating macrophages in the brain after stroke, and it can regulate the spontaneous polarization transition from anti-inflammatory to pro-inflammatory phenotype of microglia and macrophages (118). As a well-known modulator of immune response *in vitro*, IL-13 has been shown to have neuro-protective abilities in several experimental models of neurodegenerative diseases by significantly reducing the secretion of pro-inflammatory factors, reducing inflammatory cell infiltration, and inhibiting axonal loss as well as inducing anti-inflammatory microglial/macrophage responses (119, 120). Interestingly, interleukin-13 can also improve ischemic liver gluconeogenesis and hyperglycemia in stroke model rats (121), exerting a salutary action. That is, it has been demonstrated that mesenchymal stem cells that continuously secrete IL 13 can differentiate microglia and macrophages into neuroprotective M2 phenotypes in the pro-inflammatory state of ischemic stroke (122).

## 10 IL-15 AND ISCHEMIC STROKE

IL-15 can be produced by activated mononuclear macrophages, epidermal cells and fibroblasts. Its molecular structure has many similarities with that of IL-2, and it plays a similar biological activity to IL-2 (123). IL-15 also has the ability to chemotaxis and promote survival, and it can be involved in neuroinflammation. IL-15 also acts as an effective chemotactic agent for T cells, promoting the migration of T cells to inflammatory tissues (124). In addition, IL-15 maintains homeostasis and cytotoxic activity in lymphocytes (NK and CD8<sup>+</sup> T cells) carrying its receptor (125).

Although recent studies have shown that astrocytes are a major source of IL-15 in the inflammatory central nervous system (126, 127), the potential role of IL-15 in astrocytes in cerebral ischemic injury is not completely clear. However, significant increase in IL-15 expression in astrocytes post ischemia reperfusion has been

observed. Subsequent studies have shown that IL-15 is a key factor for astrocytes to control the degree of central nervous system inflammation and brain injury following ischemic stroke (126). Astrocytes produce inflammatory cytokines such as IL-15, which promote the cell-mediated immune reaction to ischemic stroke, increase the number of CD8<sup>+</sup> T cells and NK cells, participating in ischemic nerve injury. In addition, blockage of IL-15 decreased the effector capacity of NK, CD8<sup>+</sup> T and CD4<sup>+</sup> T cells in WT mice after CIRC, and the elimination of IL-15 response after CIRC improved brain damage in adult mice (127). Moreover, IL-15 as a mediator of the crosstalk between astrocytes and microglia that exacerbates brain injury after intracerebral hemorrhage (128). Recently, IL-15 has been reported to modulates the response of cortical neurons to ischemia through alleviating endoplasmic reticulum stress and increasing cell survival (129). Therefore, therapy targeted IL-15 is a potential strategy for cerebral ischemia.

## 11 IL-16 IN ISCHEMIC STROKE

IL-16 is a pro-inflammatory cytokine produced by activated CD8<sup>+</sup> T cells and activates CD4<sup>+</sup> T cells, monocytes, macrophages, and dendritic cells by binding to the CD4 molecule (130). In addition, IL-16 promotes the production of inflammatory cytokines such as TNF- $\alpha$ , IL-1 $\beta$ , and IL-6, which has key effects in immune responses after ischemic stroke (131). Although the mechanism by which IL-16 acts as a mediator of inflammation is not fully understood, previous study has shown that IL-16 is involved in inflammatory disease through the activation of T lymphocytes and the expression of inflammatory cytokines (132). In the early stage of cerebral ischemia, T lymphocytes are activated to release reactive oxygen species, which eventually lead to brain damage. In later stages, T lymphocytes regulate brain recovery and regeneration. The depletion of T cells in the acute phase of ischemia reduces the infarction size and has a sustained protective action against ischemic stroke in the later stages of infarction development (133). IL-16 accumulates during injury-related response areas and perivascular areas through the infiltrated immune cells (e.g., neutrophils, CD8<sup>+</sup> lymphocytes, and activated CD68<sup>+</sup> microglia/macrophages) (134). The recruitment and activation of immune cells lead to microvascular aggregation and disorder of BBB, leading to secondary injury.

## 12 IL-18 IN ISCHEMIC STROKE

IL-18 is known as a pro-inflammatory cytokine. IL-18 expression is mainly observed in neuronal cells at early phase and in microglia at a later stage. IL-18 is associated with stroke-induced inflammation and that initial serum IL-18 levels may be predictive of stroke outcome. IL-18 KO mice exhibit the resistance to spatial restraint stress and CIRC (135). Caspase-1 activated by NLRP3 inflammasome, cleavage pro-IL-1 $\beta$  and

pro-IL-18 to mature forms (IL-1 $\beta$  and IL-18), and mediate the inflammatory response or initiate the process of inflammatory cell death and pyrolysis. In addition, increased IL-18 in the brain causes depression-like behaviors by promoting the IL-18 receptor/NKCC1 (a sodium-potassium chloride co-transporter) signaling pathway. Hence, agents that inhibits NLRP3 inflammasome exert a neuroprotective effect on ischemic stroke and post-stroke depression *via* suppressing the expression of IL-18.

## 13 IL-19 AND ISCHEMIC STROKE

IL-19 is a member of the IL-10 family, which includes IL-10, IL-19, IL-20, IL-22, IL-24, and IL-26 (136). IL-19 was first discovered in primary human monocytes stimulated by LPS and GM-CSF (137). Subsequent reports on IL-19 mainly focused on its role as a product of immune cells. In immune cells, IL-19 is mainly secreted by monocytes, and a small part is expressed by B cells. It has been reported that IL-19 treatment can mature human T cell polarize them from pro-inflammatory Th1 phenotype to anti-inflammatory Th2 phenotype (138, 139). In addition, the anti-inflammatory effect of IL-19 on vascular diseases has also been clearly demonstrated (140).

As an anti-inflammatory factor, IL-19 also exerts a critical action in the immune reaction after the onset of ischemic stroke. Studies have shown that IL-19 can reduce infarct size and reduce neurological impairment after ischemic stroke through its anti-inflammatory ability (141). Moreover, IL-19 treatment can significantly reduce the up-regulation of TNF- $\alpha$  and IL-6 mRNA expression after ischemic stroke, inhibit the increase of microglia, macrophages, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, and B cells, and suppress the activation of macrophages and neutrophils. The administration of IL-19 also contributes to preserve the reduced number of immune cells, including macrophages, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, and B cells in peripheral blood compared to controls. In conclusion, IL-19 reduces cerebral infarction and neurologic deficits after cerebral ischemia in mice, possibly by inhibiting the infiltration and activation of immune cells and the increased expression of pro-inflammatory cytokine genes. Therefore, IL-19 may be identified as a new therapeutic agent to suppress the development of neuroinflammation after ischemic stroke.

## 14 IL-20 IN ISCHEMIC STROKE

IL-20 is also a member of the IL-10 family, and is produced by monocytes, epithelial cells, and endothelial cells. IL-20 has been related to a variety of inflammatory diseases, such as psoriasis, rheumatoid arthritis, atherosclerosis, and renal failure (142). IL-20 also induces the production of IL-6 (143), which is also a major pro-inflammatory cytokine. In addition, the levels of IL-6 in serum are correlated with cerebral infarction volume and

stroke severity. IL-20 may be associated with the increased IL-6 levels in serum after ischemic stroke.

### 14.1 IL-20 Promotes Inflammation After Ischemic Stroke

The pathogenicity of IL-20 in ischemic brain injury has been demonstrated in transient MCAO animal models. After cerebral ischemia reperfusion, the levels of IL-20 in serum and ischemic penumbra were significantly elevated than sham groups, and glial cells were the main source of IL-20. After cerebral ischemia, hypoxia also induces the production of IL-20 in endothelial cells (144). The upregulation of IL-20 on glial pro-inflammatory cytokines and chemokines (may cooperate with IL-1 $\beta$  to promote inflammatory activity) is associated with inflammatory response and brain damage after ischemic stroke (145). Inflammatory cytokines and chemokines such as IL-1 $\beta$ , IL-8 and monocyte chemoattractant protein 1 (MCP-1) are involved in the inflammatory response of infarcts (146). In conclusion, IL-20 is a novel hypoxia response factor that is upregulated in gliocyte after experimental ischemic stroke and mediates cell proliferation, signal transduction, and cytokine production. These suggest that IL-20 is related to the pathogenesis of cerebral ischemia, and IL-20 antagonists may have clinical therapeutic effects on ischemic stroke.

## 15 IL-23/IL-17

The IL-23/IL-17 axis has essential effect on the development of chronic inflammation and host defense against bacterial infection (147). In chronic inflammation, antigen-stimulated dendritic cells and macrophages produce IL-23, promoting the development of Th17 cells (148). Th17 cells produce IL-17, which promotes T cell activation by inducing the expression of various of inflammatory cytokines and triggers a powerful inflammatory response. IL-23 also acts on dendritic cells and macrophages in an autocrine/paracrine manner to promote the production of inflammatory cytokines, such as IL-1, IL-6, and TNF- $\alpha$  (149).

### 15.1 IL-23/IL-17 in Ischemic Stroke

Studies have shown that routine CD172a<sup>+</sup>/IRF4<sup>+</sup> 2 type dendritic cells (CDC2s) are the main source of IL-23 in the brain following ischemic stroke, and are essential for IL-17 expression in  $\gamma\delta$ T cells (148). Dendritic cells infiltrate the peri-infarcted area near the blood vessels after stroke. These cells induce  $\gamma\delta$ T cells to produce IL-17, promoting neutrophil recruitment to the ischemic hemisphere (150). However, IL-23R gene knockout has no significant effect on the mortality in mice, suggesting that DC cells exert their adverse effects not only through IL-23, but also through other mechanisms (148). Additionally, IL-23 and IL-17 have been reported to increase after stroke, but there is insufficient clinical discriminatory power to predict the outcome of stroke (151). V $\gamma$ 4 T cell-derived IL-17A, and IL-1 $\beta$ /IL-23 in infarct hemisphere coordinately to exaggerate the inflammatory cascades and exacerbate ischemic tissue injury (152).

## 16 IL-33 AND ISCHEMIC STROKE

As a member of the IL-1 family, IL-33 can bind to membrane receptors on target cells to mediate downstream signaling pathways, or be transported to the nucleus of target cells to function as a DNA-binding factor. After IL-33 binds to its receptor complex, activated signals transmits into cells, and activates NF- $\kappa$ B and mitogen-activated protein kinase (MAPK) through a series of downstream signaling molecules such as IL-1-associated protein kinase, myeloid differentiation factor 88, and TNF receptor-associated factor 6 (153).

IL-33 has been reported to have neuroprotective effects through inhibiting inflammation *via* ST2 (a member of the IL-1 receptor family)/IL-33 signaling (154, 155). After ischemic brain injury, IL-33 expression in mature oligodendrocytes and astrocytes is increased. Interleukin-33 also protects against ischemic brain injury by regulating microglia and regulatory T cell activity (156). Serum IL-33 has been proved to be a novel predictive biomarker of hemorrhage transformation and outcome in acute ischemic stroke (157). The expression of ST2 on microglia/macrophages increases after MCAO. ST2 deficiency can exacerbate neurobehavioral disorders and brain damage *via* shifting microglial polarization toward M1. Some traditional oriental medicine, such as celastrol, ameliorate ischemic stroke injury through promoting IL-33/ST2 axis-mediated microglia/macrophage M2 polarization (158). IL-33 also protects ischemic stroke injury by regulating specific microglial activities (159). IL-10 is an essential protective factor for the neuroprotection of IL-33/ST2 signaling. In the ischemic brain, intracerebroventricular IL-33 can activate the downstream Foxp3 *via* ST2 receptor to increase Treg proportions, which produce amphiregulin to activate epidermal growth factor receptor located in neurons, leading to better outcomes (160). In addition, systemic administration of Th2 cells to promote cytokines IL-33 and IL-4 can reduce acute brain injury after CIRC (154). Astrocyte lipogenesis increases IL-33 production in the peri-infarct region, which promotes BBB repair in the subacute phase of cerebral ischemic injury and improves long-term functional recovery (161). The long-term protective role of IL-33 in ischemic stroke may be partly associated to its regulation of splenic T-cell immune responses *via* inhibiting Th1 response and promoting Treg response (162). Although IL-17 has these neuroprotective effects, mice treated with IL-33 showed an exacerbation of post-stroke pulmonary bacterial infection associated with greater functional impairment and mortality after 24 hours, suggesting exacerbation of systemic immunosuppression after ischemic stroke (163).

## 17 OTHER ILS IN ISCHEMIC STROKE

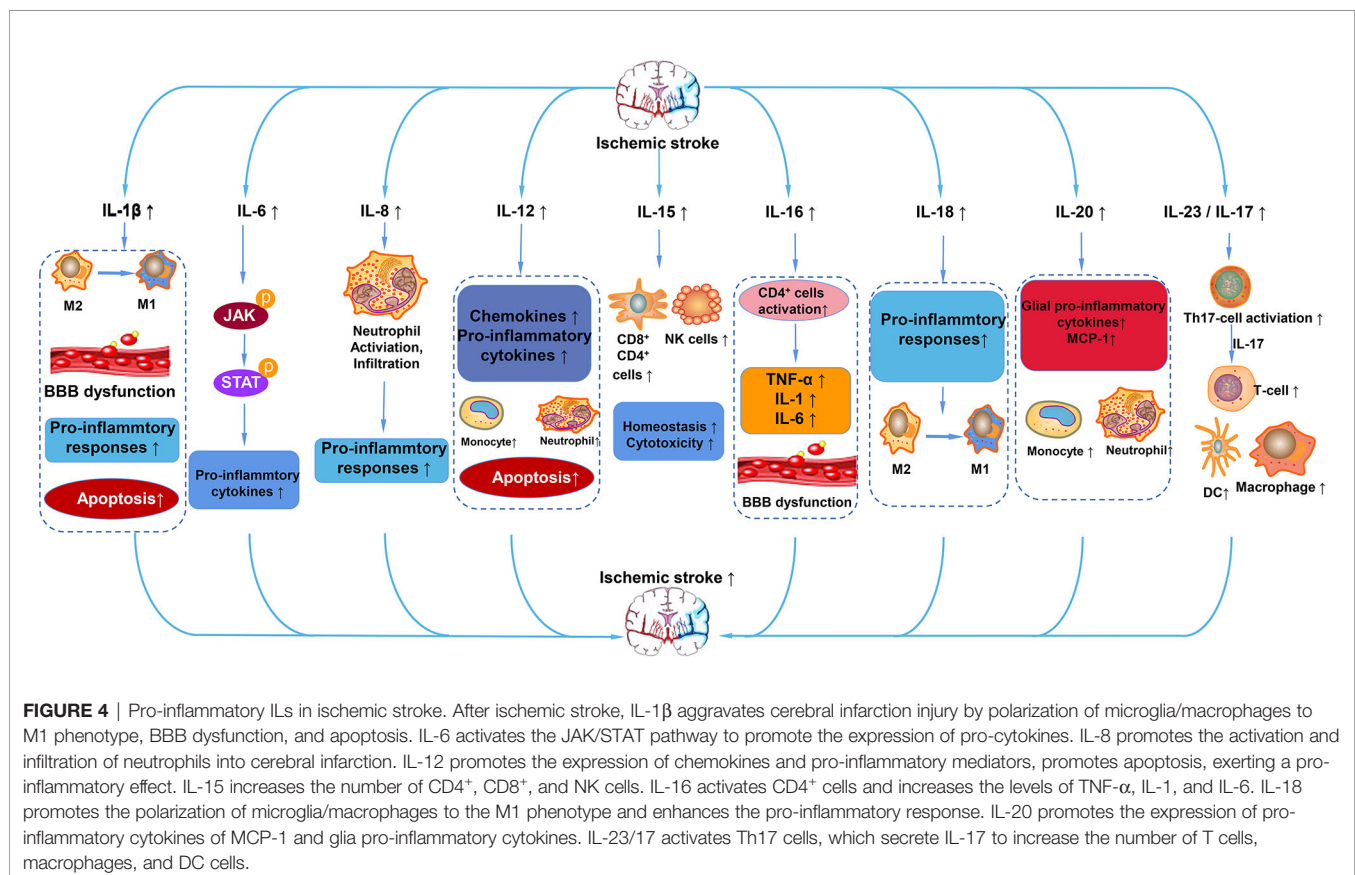
IL-5 and IL-9 are decreased in severe stroke patients acute ischemic stroke patients with poor outcome than mild stroke (164). It had been indicated that IL-5 and IL-7 may be predictors of edema and infarct volume (165). In experimental stroke, expressions of IL-9 and its upstream stimulating factors has

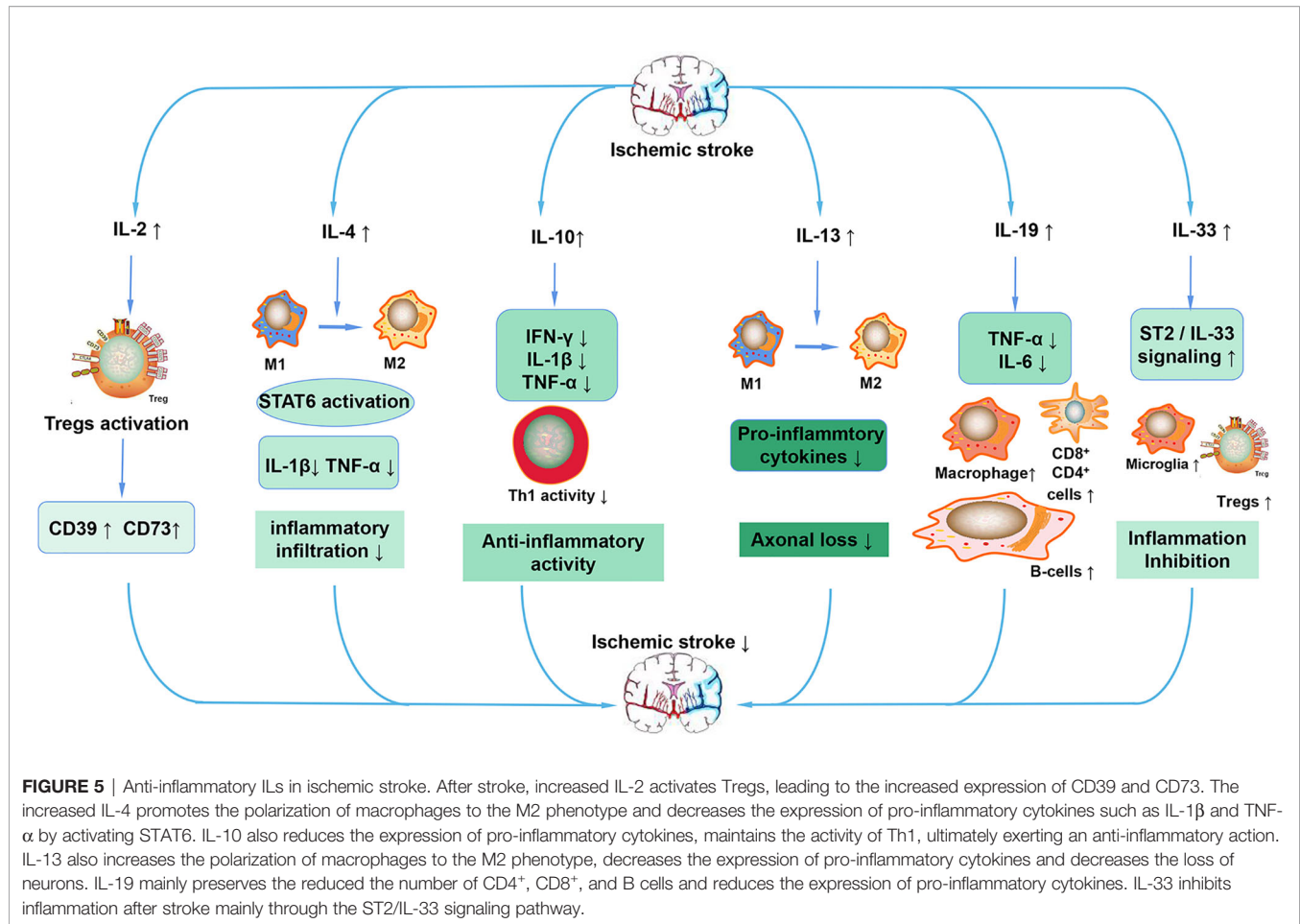
been confirmed to be increased (166). Anti-IL-9-neutralizing antibody can ameliorate ischemic stroke injury partially by alleviating the destruction of the BBB *via* down-regulation of astrocyte-derived VEGF-A (167). In OGD, IL-9 has a destructive effect on the BBB, partly by decreasing eNOS production (168). IL-21 polymorphism is related to the increased susceptibility to ischemic stroke possibly by upregulating gene expression (169). IL-21R-deficient mice have reduced collateral vascular connections and increased brain infarct volume, suggesting that IL-21R regulates collateral vascular anatomy and innate neuroprotection. The neuroprotective effects of IL-21R are mediated through the JAK/STAT signaling pathway and upregulation of caspase 3 (170). IL-22 exerts a protective action through regulating the JAK2-STAT3 pathway to improve oxidative stress, inflammation, and neuronal apoptosis following CIRI (171). IL-32 silence protects PC12 cells against OGD/R-induced injury *via* activation of Nrf2/NF- $\kappa$ B pathway (172). Increased serum IL-34 levels may be a novel diagnostic and prognostic biomarker in patients with acute ischemic stroke (173). Increased serum IL-37 in ischemic stroke patients is correlated with stroke recurrence (174) and 3-month functional prognosis (175). However, another study has illustrated that IL-37 exert protective effects by modulating post-stroke inflammation in the brain and periphery (176). Large randomized controlled trials are needed to further verify the role of IL-37 in ischemic stroke. The lower changes in IL-38 serum level lead to a poorer prognosis,

indicating that IL-38 serum changes might be a novel early predictor factor for ischemic stroke prognosis (177) (141).

## 18 CONCLUSIONS AND PERSPECTIVES

In summary, we have briefly discussed the functional role of interleukins and their relationships with ischemic stroke. Based on the classification of the effect of interleukin on the immune response after stroke, interleukins can be roughly divided into anti-inflammatory and pro-inflammatory categories. IL-1 $\beta$ , IL-6, IL-8, IL-12, IL-15, IL-16, IL-20, IL-18, IL-23/IL-17 and so on play a pro-inflammatory role after ischemic stroke (**Figure 4**). ILs that have anti-inflammatory effects on ischemic stroke include IL-2, IL-4, IL-10, IL-13, IL-19, IL-33 and so on (**Figure 5**). However, the IL family contains so many ILs, the complicated roles of ILs in ischemic stroke cannot be discussed in detail in this brief overview. After ischemic stroke, ischemia leads to vascular endothelial damage and induces immune responses. The action of these ILs on local or systemic immune cells, or the interaction of these ILs, determines the progress of immune response in the ischemic brain. From a macroscopic perspective, it is their interactions that determine the degree of neurological impairment and clinical prognosis of ischemic stroke patients. Therefore, interleukins play an important role in the immune mechanism of ischemic stroke.





This also urges us to make continuous progress and search in this field, in order to find a breakthrough method for clinical treatment of ischemic stroke, which is a worldwide problem, and bring hope to stroke patients.

## AUTHOR CONTRIBUTIONS

HZ wrote the manuscript. JC, SQ, and SH designed this work. The figures were prepared by HZ. YL, YS, XH, and XX revised

the manuscript. All authors contributed to the article and approved the submitted version.

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# mtDNA-STING Axis Mediates Microglial Polarization *via* IRF3/NF- $\kappa$ B Signaling After Ischemic Stroke

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### Edited by:

Jui-Hung Jimmy Yen,  
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Wenhai Chou,  
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Indiana University Fort Wayne,  
United States  
Gordon Meares,  
West Virginia University, United States

### \*Correspondence:

Xinfeng Liu  
xfliu2@ustc.edu.cn  
Pengfei Xu  
xupengfei1026@126.com  
Wei Hu  
andinghu@ustc.edu.cn

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Lingqi Kong<sup>1</sup>, Wenyu Li<sup>1</sup>, E Chang<sup>2</sup>, Wuxuan Wang<sup>1</sup>, Nan Shen<sup>1</sup>, Xiang Xu<sup>1</sup>,  
Xinyue Wang<sup>1</sup>, Yan Zhang<sup>1</sup>, Wen Sun<sup>1</sup>, Wei Hu<sup>1\*</sup>, Pengfei Xu<sup>1\*</sup> and Xinfeng Liu<sup>1\*</sup>

<sup>1</sup> Stroke Center and Department of Neurology, The First Affiliated Hospital of USTC, Division of Life Sciences and Medicine, University of Science and Technology of China, Hefei, China, <sup>2</sup> The First Affiliated Hospital of USTC, Division of Life Sciences and Medicine, University of Science and Technology of China, Hefei, China

Neuroinflammation is initiated in response to ischemic stroke, and is usually characterized by microglial activation and polarization. Stimulator of interferon genes (STING) has been shown to play a critical role in anti-tumor immunity and inflammatory diseases. Nevertheless, the effect and underlying mechanisms of STING on microglial polarization after ischemic stroke remain unclarified. In this study, acute ischemic stroke was simulated using a model of middle cerebral artery occlusion (MCAO) at adult male C57BL/6 mice *in vivo* and the BV2 microglia oxygen-glucose deprivation/reperfusion (OGD/R) model *in vitro*. The specific STING inhibitor C-176 was administered intraperitoneally at 30min after MCAO. We found that the expression of microglial STING was increased following MCAO and OGD/R. Pharmacologic inhibition of STING with C-176 reduced the ischemia/reperfusion (I/R)-induced brain infarction, edema and neuronal injury. Moreover, blockade of STING improved neurological performance and cognitive function and attenuated neuronal degeneration in the hippocampus after MCAO. Mechanistically, both *in vivo* and *in vitro*, we delineated that STING could promote the polarization of microglia towards the M1 phenotype and restrain M2 microglia polarization *via* downstream pathways, including interferon regulatory factor 3 (IRF3) and nuclear factor- $\kappa$ B (NF- $\kappa$ B). In addition, mitochondrial DNA (mtDNA), which is released to microglial cytoplasm induced by I/R injury, could facilitate microglia towards M1 modality through STING signaling pathway. Treatment with C-176 abolished the detrimental effects of mtDNA on stroke outcomes. Taken together, these findings suggest that STING, activated by mtDNA, could polarize microglia to the M1 phenotype following MCAO. Inhibition of STING may serve as a potential therapeutic strategy to mitigate neuroinflammation after ischemic stroke.

**Keywords:** ischemia/reperfusion (I/R) injury, STING, microglia, polarization, neuroinflammation

## INTRODUCTION

Ischemic stroke caused a huge social burden to the world with a high morbidity, disability rate and poor prognosis (1, 2). There is accumulating evidence indicating that post-ischemic inflammation is an essential process in the pathophysiology of ischemic stroke and closely related to the prognosis (3, 4). Therefore, it is important to gain a deeper understanding of neuroinflammation following ischemic stroke.

Microglia, known as the resident immune cell of the central nervous system (CNS), has emerged as a key mediator of neuroinflammation in the setting of ischemic stroke (5, 6). After stimulation, microglia could be polarized into the M1 or M2 form, which plays a dual role in brain injury, repair, and regeneration after ischemic stroke (7). M1 microglia secretes proinflammatory cytokines and chemokines, which can aggravate immune response and brain injury. In contrast, M2 microglia promotes the repair of the damaged tissue by producing anti-inflammatory cytokines (7, 8). Regulating the polarization of microglia and exploring the underlying mechanisms might provide novel insight into a theoretical basis for the study of ischemic stroke.

Stimulator of interferon genes (STING) is an endoplasmic reticulum adaptor protein that facilitates the transcription of numerous host defense genes, including type-I interferons (IFNs) and pro-inflammatory cytokines (9–11). STING can be directly activated by the second messenger cyclic guanosine monophosphate-adenosine monophosphate (cGAMP), which is produced from the cyclic GMP-AMP synthase (cGAS). cGAS, a central cellular cytosolic DNA sensor, can detect and bind to mitochondrial DNA (mtDNA) and nuclear DNA (nDNA) (9–11). STING is also known to bind double-stranded DNA (dsDNA) directly and subsequently instigate primary innate immune genes expression (12). Numerous studies have indicated that STING signaling pathway is involved in innate immune response, anti-tumor immunity and inflammatory diseases (13, 14). Intriguingly, STING has been reported to be expressed in microglia (15, 16). HDAC3-cGAS-STING signaling pathway was activated following ischemic stroke, which promoted the formation of a pro-inflammation microenvironment (17). Damage-associated molecular patterns (DAMPs) activated the microglial cGAS-STING signaling pathway and promoted microglia M1 polarization, while knockdown of cGAS could inhibit these effects (18). Moreover, nuclear dsDNA could drive cGAS signaling and further promote microglial inflammasome activation and pyroptosis to amplify the inflammation during cerebral ischemia (19). Cerebrovascular complications of tPA were aggravated *via* cGAS-STING activation and type I interferon response in ischemic brain (20). However, in these studies, cGAS was more concerned and explored in ischemic stroke, but there is no interfering targeting STING was performed. Whether STING modulates ischemic/reperfusion (I/R)-induced microglia polarization remains to be elucidated.

The mtDNA leakage following tissue injury has been reported to activate STING signaling (9, 21). mtDNA could be released to cytoplasm during the cerebral I/R process and act as a potent

DAMPs (22, 23). However, to date, whether mtDNA activates STING after I/R injury has not been clarified. In this study, using the mice middle cerebral artery occlusion (MCAO) model and microglia oxygen-glucose deprivation/reperfusion (OGD/R) model, we examined whether STING could orchestrate neuroinflammation through transforming microglial polarization and explored the underlying mechanisms of STING activation.

## METHODS AND MATERIALS

### Animals

Adult male C57BL/6 mice weighting 20–25g (6–8 weeks old) were purchased from Beijing Vital River Laboratory Animal Technology. All mice were housed in an environment with controlled light (12 h light/dark), temperature (temperature  $23 \pm 1^\circ\text{C}$ ) and humidity (humidity 55–60%) and provided ad libitum access to food and water. All experimental protocols were approved by the Animal Ethics Review Committee of The First Affiliated Hospital of the University of Science and Technology of China. The study was implemented according to the National Institute of Health Guide for the Care and Use of Laboratory Animals (NIH Publications No. 80-23, revised 1996). All mice were acclimated 1 week to the environment before use and randomized into groups. The experiment scheme is shown in **Supplementary Figure S1**. A total of 466 male mice were used in this study. The animal usage and the excluded reason are shown in **Supplementary Table S1**. At least 4 mice were analyzed for each data point.

### Transient Middle Cerebral Artery Occlusion Surgery

The middle cerebral artery occlusion (MCAO) model was induced by occluding the MCA using the intraluminal filament method (24). In brief, mice were anesthetized with 2% isoflurane in  $\text{O}_2$  (RWD Life Science, China). The right common carotid artery (CCA), internal carotid artery (ICA), and external carotid artery (ECA) were isolated through a midline cervical incision. The ECA was ligated, and a nylon suture with silicon (Beijing Cinontech Co., Ltd., China) was inserted through the ECA stump and next advanced into the ICA to occlude the origin of the middle cerebral artery (MCA). Mice underwent reperfusion after 90 min of occlusion. The body temperature was maintained at  $37 \pm 0.5^\circ\text{C}$  by a homoeothermic blanket. To confirm the occlusion of MCA, mice were monitored for cerebral blood flow (CBF) with Laser Speckle Doppler Flowmetry (PeriCam PSI Z; Perimed, Sweden) contrast Imaging before, during, and after surgery (**Supplementary Figure S2**). A decline in regional CBF  $\geq 75\%$  of baseline was considered as a successful occlusion. Sham-operated mice were anesthetized and received the same surgical procedures except that the MCA was not occluded.

### Cell Culture and OGD/R Model

The mouse BV2 microglial cells and mouse HT22 hippocampal neurons were purchased from the Procell (Wuhan, China). Cells were grown in DMEM (Gibco, USA) medium supplemented

with 10% FBS (Gibco, USA) and 1% penicillin-streptomycin (Gibco, USA) solution in a 37°C, 5% CO<sub>2</sub> incubator. OGD/R was implemented according to our previous methods (24, 25). Briefly, cells were transferred to glucose-free and serum-free DMEM and then incubated in an anaerobic chamber equipped with Anaero Pack-Anaero (MITSUBISHI GAS CHEMICAL CO., INC. Japan). After cells were subjected to OGD for 2 h in the chamber, the medium was replaced by DMEM and returned to normal incubator for reoxygenation. Control microglia cells were cultured with normal oxygen-conditioned incubator for the same periods. For microglia-neuron cocultures, Transwell® plates (0.4-μm pore size, Corning, MA, USA) were used. HT22 cells were seeded in the lower chamber of the Transwell plates and cultured together with microglia, which were pretreated and underwent OGD/R.

## Drug Administration

STING inhibitor C-176 (6.1, 12.2, 24.4 μg/g, MedChemExpress, USA) or vehicle (1% DMSO+corn oil) were administered intraperitoneally at 30 min after MCAO surgery (16, 26). The mtDNA (5 mg/kg), extracted from liver tissue by Mitochondrial DNA Isolation Kit (ab65321, Abcam, USA), was intracerebroventricularly injected (right lateral ventricle, bregma: 0.23 mm posterior, 1 mm lateral, and 2.25 mm deep) immediately after MCAO modeling (27). To inhibit STING, BV2 cells were treated with 1 μM C-176 (28). Cells were collected 6 h after mtDNA (100 ng/ml) stimulation and used for subsequent analyses (29). The same volume of PBS was used as the control treatment.

## Small Interfering RNA (siRNA) Intracerebroventricular Injection and Cell Transfection

STING small interfering RNA (si-STING, sequence: 5'-CGAA AUAACUGCCGCCUCATT-3') was used in our experiments according to a previous study (17). The 2'OMe+5'Chol+5'Cy5 modified STING siRNA (si-STING) and scrambled siRNA (si-NC) were synthesized by RiboBio (Guangzhou, China) for STING knockdown. After the mice were anesthetized with isoflurane, they were fixed with a stereotaxic apparatus (RWD Life Science, China). A 10 μl stainless-steel microsyringe (Shanghai Gaoge Industry & Trade Co., Ltd., China) with siRNAs against STING (si-STING or si-NC, 1.5 nmol in 3 μl) was inserted into the right lateral ventricle (bregma: 0.23 mm posterior, 1 mm lateral, and 2.25 mm deep) at a flow rate of 300 nl/min. The injector was administered over 10 min and withdrawn slowly after the infusion, and MCAO surgical procedures were performed 48 h after the infusion. After completion of the injection, the burr hole was sealed with bone wax and the incision was closed with sutures. For *in vitro* experiments, 1.25 μl siRNA (20 μM) and 3 μl riboFECT™ CP Reagent (RiboBio, China) were mixed in 30 μl riboFECT™ CP Buffer to generate a transfection mixture with a final concentration of 50 nM, according to the manufacturer's instruction. Then the 50 nM transfection mixture of scrambled siRNA (si-NC) or interfering RNA (si-STING) was added into DMEM and cultured with BV2 microglia for 48 h.

Immunofluorescent staining and western blot analysis were performed to examine the transfection efficiency.

## Neurobehavioral Test

Modified Neurological Severity Scores (mNSS) and Corner-turning test were performed to assess sensorimotor deficits and motor coordination at day 1, 3, 7, 14, and 21 after cerebral ischemia, as previously described (30). Behavioral function assessments were performed by two researchers who were blinded to the experiments.

Spatial learning and memory was investigated with the Morris Water Maze (MWM) test on days 22–28 after reperfusion (24, 31). Briefly, blind tests were performed on day 22 to exclude blind mice, in which mice were allowed to reach the platform with the flag when the platform was over the water. In the next 5 days, animals were trained to find the platform below the water in four trials. Five days later, the platform was removed and each mouse was explored to search the platform for 90 s. The time spent in the target quadrant, the number of platform crossings, the escape latency to find the platform and the swim path length were recorded by the Smart video software (Panlab Harvard Apparatus, USA). The observer and recorder were blinded to animal grouping.

## Infarct Volume Evaluation and Brain Water Content

At 24 h after reperfusion, mice were anesthetized, and brains were quickly removed. The brains were frozen rapidly at -80°C with PBS for 6–8 min, and coronally sliced in a brain mold and incubated in 2% 2,3,5-triphenyl tetrazolium chloride (TTC, Sigma Aldrich Inc., USA) solution for 10 min at 37°C (25). Then, the brain sections were photographed, and the infarct volume of each slice was determined using Image J software (NIH, USA) by an investigator blinded to the experimental design. To exclude the effects of brain edema, each slice infarct area was calculated as the volume of the contralateral hemisphere minus the non-infarcted volume of the ipsilateral hemisphere and summed to determine the whole infarct volume.

The brain water content was measured with the wet-dry method to evaluate the severity of brain edema at 24 h post-modeling (32). Brains were collected and quickly divided into the left hemisphere and the right hemisphere. Each hemisphere was weighed to get the wet weight and then weighed again at 105°C overnight to get the dry weight. The percentage of water content was calculated according to the following formula: [(wet weight-dry weight)/wet weight] × 100%.

## Immunofluorescence

The mice were deeply anesthetized and intracardially perfused with cold PBS and 4% paraformaldehyde (PFA). The brains were removed and fixed in 4% PFA at 4°C for 24 h, and then transferred into 30% sucrose solution until dehydration. Brains were embedded and frozen in the Tissue-Tek O.C.T. compound (Sakura Finetek, USA). The brains were cut into 15 μm or 20 μm frozen coronal sections with a Leica CM1950 cryostat for immunofluorescence staining. Sections were fixed with 4% PFA for 10 min, and then incubated with 10% donkey serum, 1%

bovine serum albumin (BSA) and 0.1% Triton X-100 at room temperature for 1 h. Then the slices were incubated overnight at 4°C with indicated primary antibodies (**Supplementary Table S2**). After three washes with PBS, the slices were incubated for 2 h at room temperature with secondary antibodies (**Supplementary Table S2**). Coverslips were immunostained as brain slices did. Immunofluorescence images were acquired with an Olympus FV3000 fluorescence microscope (Japan). Quantification analysis of positive signals was performed with Image J software (NIH, USA) by an investigator blinded to the experimental design.

## TUNEL and Cells Live/Dead Staining

For NeuN and TUNEL co-staining, the frozen sections were first stained with NeuN antibody overnight at 4°C, followed by terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling (TUNEL) staining with One Step TUNEL Assay Kit (Beyotime, China), according to the manufacturer's protocol. Three brain sections were examined per mouse, with each section containing three microscopic fields from the ischemic boundary zone. The means numbers of target cells were measured in sections per brain, by observers blinded to the experiments. Data were presented with the number of double-positive for TUNEL and NeuN neurons in the fields as cells/mm<sup>2</sup>. Meanwhile, HT22 cells death was determined with LIVE/DEAD™ Viability/Cytotoxicity Kit (Thermo Fisher Scientific, USA) after microglia-neuron co-cultures. The percentage of live cells (green) compared with the dead cells (red) was used to assess cell death. Images were captured using a confocal laser scanning microscope (Olympus FV3000, Japan).

## Fluoro-Jade C (FJC) Staining

Fluoro-Jade C (FJC, Millipore, USA) was performed at day 1 and day 28 after MCAO induction for identifying degenerated neurons (32, 33). Briefly, brain frozen sections were sequentially immersed in 1% NaOH/80% ethanol solution, 70% ethanol, and 0.06% potassium permanganate solution. Then, the sections were incubated with 0.0001% solution of FJC. Three brain slices were examined per mouse, and the number of FJC-positive neurons was quantified in three different fields of view for each section. Data were presented as TUNEL staining did.

## Quantitative Real-Time PCR

Total RNA from cells or tissue was extracted with TRIzol reagent (Invitrogen, USA) according to the manufacturer's instruction and was reverse-transcribed into cDNA using HiScript III RT SuperMix for qPCR Kit (Vazyme, China). Then quantitative real-time PCR was performed using quantitative PCR (Light Cycler 96 System, Roche, China) with corresponding primers (**Supplementary Table S3**) in the presence of a fluorescent dye (AceQ qPCR SYBR Green Master Mix). The levels of mRNA were normalized in relevance to GAPDH.

## Western Blot Analysis

Total lysates of peri-infarct tissue or BV2 microglia were prepared using RIPA lysis buffer (Beyotime, China). Protein concentration was quantified by NanoPhotometer-N50

(IMPLEN GMBH, Germany). Equal amounts of protein were loaded and separated by 8-12% SDS-PAGE gel, then electrophoresed and transferred onto PVDF membranes (Millipore, USA). PVDF membranes were blocked with 5% BSA and incubated with primary antibodies overnight at 4°C and then incubated with appropriate HRP-conjugated secondary antibodies at room temperature for 1 h. The used antibodies were listed in **Supplementary Table S2**. Membranes were then incubated with ECL reagents (Willget biotech, China) before visualization using Azure 500 (Azure Biosystems, USA).  $\beta$ -Tubulin was served as the internal control. The immunoblots were analyzed by Image J software (NIH, USA).

## Statistical Analysis

All statistical tests were performed using SPSS 22.0 software (IBM, Armonk, NY, USA). Differences between groups were evaluated by the one-way ANOVA followed by Tukey's *post hoc* test. The escape latency and swimming path length in MWM tests were analyzed using two-way ANOVA followed by Tukey's *post hoc* test. All data were presented as mean  $\pm$  SD. All tests were considered statistically significant at  $P < 0.05$ .

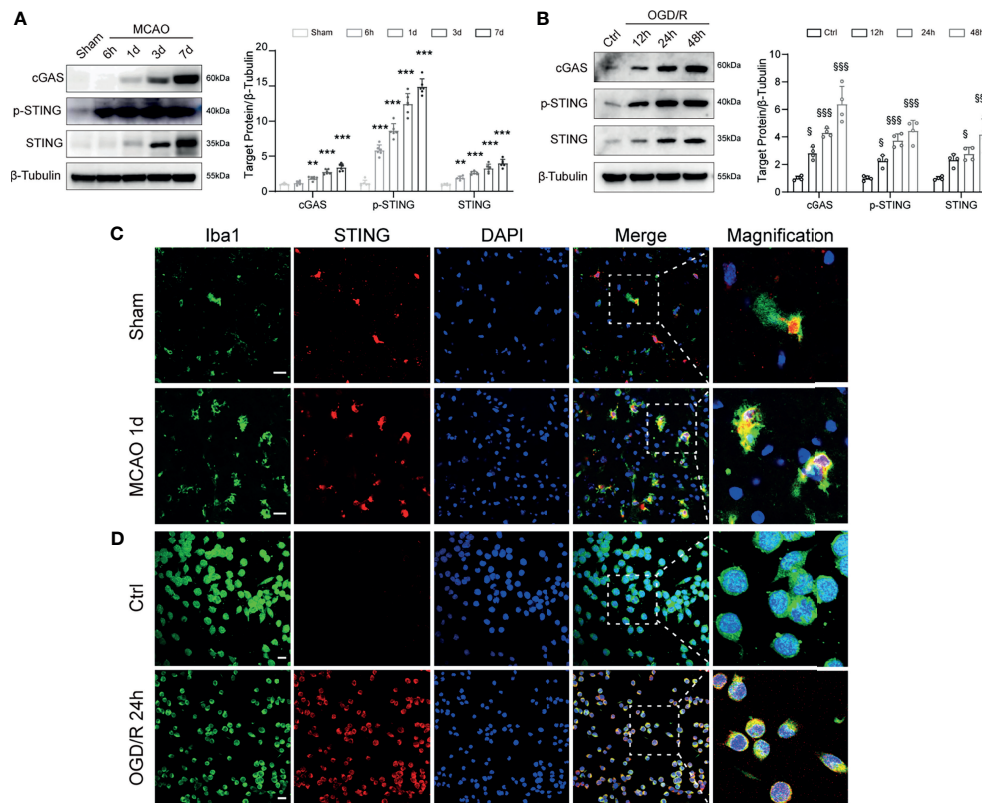
## RESULTS

### STING in Microglia Was Upregulated With Stroke

We first investigated the expression change of STING in MCAO mice. Western blot results showed that the protein levels of cGAS, p-STING, and STING were significantly increased with time following MCAO (**Figure 1A**). BV2 microglia OGD/R model was used to mimic the *in vitro* conditions of I/R injury. Immunoblots showed that the expression levels of cGAS, p-STING, and STING in BV2 cells were significantly increased after OGD/R, and continuously increased as the reperfusion time prolongs (**Figure 1B**). Double immunostaining of STING with cell markers (Iba1, GFAP, or NeuN) demonstrated that STING was mainly expressed in microglia cells, rather than neurons or astrocytes and was increased at 1d after MCAO (**Figure 1C** and **Supplementary Figure S3**). Furthermore, STING was co-stained with Iba1 in BV2 cells. STING immunofluorescence signal was enhanced at 24h after reoxygenation (**Figure 1D**). Thus, our data indicated that STING was activated after I/R injury.

### Inhibition of STING Attenuated Brain Infarction and Neurological Deficits Following Ischemic Stroke

To investigate the function of STING in the pathophysiological process of ischemic stroke, we intraperitoneally injected mice with C-176 to block STING. TTC staining showed that C-176 administration notably decreased brain infarction and brain edema in a dose-dependent manner 1d post-MCAO (**Figures 2A–C**, for brain infarction:  $P = 0.0344$ ,  $P < 0.001$  and  $P < 0.001$ , for brain edema:  $P = 0.0044$ ,  $P < 0.001$  and  $P < 0.001$ ). The dosage of 12.2 and 24.4  $\mu$ g/g were more effective than the dosage of 6.1  $\mu$ g/g on brain infarction and cerebral edema 1d



**FIGURE 1 |** Temporal expression and cellular localization of STING following ischemic stroke. **(A)** Western blot and quantitative analysis of cGAS, p-STING and STING at 6 h, 1d, 3d, and 7d after MCAO.  $n = 6$ . **(B)** Western blotting showing cGAS, p-STING and STING expression at 12 h, 24 h, 48 h after reoxygenation.  $n = 4$ . **(C, D)** STING/Iba1 double immunostaining in Sham and MCAO mice 1d after reperfusion and in Control and OGD/R BV2 microglia 24h after reoxygenation. STING signal was increased after I/R injury both *in vivo* and *in vitro*.  $n = 4$ . Data are expressed as mean  $\pm$  SD.  $**P < 0.01$ ,  $***P < 0.001$  vs Sham group.  $^{\$}P < 0.05$ ,  $^{\$ \$ \$}P < 0.001$  vs Ctrl group. Scale bar = 20  $\mu$ m.

post-MCAO (**Figures 2A–C**). However, there were no significant differences between 12.2  $\mu$ g/g and 24.4  $\mu$ g/g dose on stroke outcomes (**Figures 2A–C**). Therefore, 12.2  $\mu$ g/g dose was chosen for subsequent experiments.

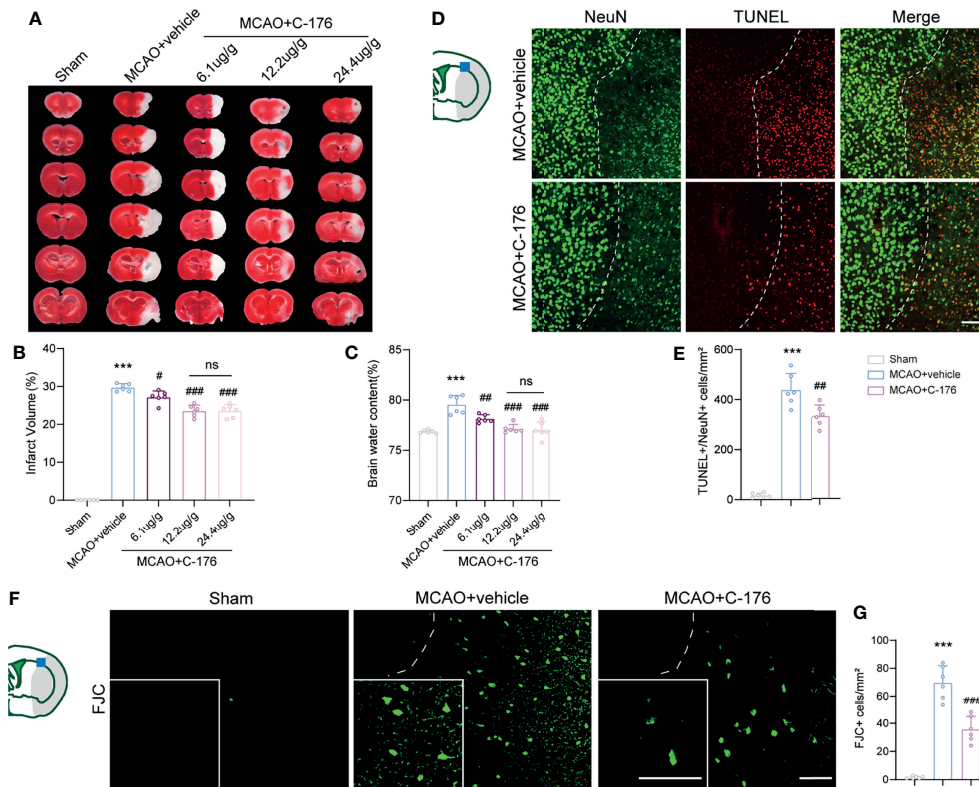
We next investigated whether blockade of STING could rescue I/R-induced neuronal injury. TUNEL/NeuN staining was performed to assess neuronal apoptosis at 1d after reperfusion and found a significant decrease ( $\sim 25\%$ ) in the number of apoptotic neurons in the MCAO+C-176 mice compared to the MCAO+vehicle mice (**Figures 2D, E**,  $P = 0.0023$ ). We evaluated neuronal degeneration by FJC staining and found a decrease of  $\sim 49\%$  in the number of neuronal degeneration in MCAO+C-176 mice at 1d post-modeling compared with that in MCAO mice (**Figures 2F, G**,  $P < 0.001$ ).

## Blockade of STING Improved Long-Term Neurobehavioral Function

The mNSS score was prominently improved in C-176-administered MCAO mice compared to the vehicle-treated MCAO mice on day 7, 14, and 21 post-stroke (**Figure 3A**,  $P = 0.0035$ ,  $P < 0.001$  and  $P = 0.0047$ ). Similarly, the C-176-treated group exhibited a significant improvement in sensorimotor

function with Corner test compared to the vehicle-treated group at 7 and 14 days after MCAO (**Figure 3B**,  $P = 0.0412$ ,  $P = 0.0156$ ). Cognitive function was assessed by the MWM test on days 22–28 after reperfusion. As shown in **Figures 3C, D**, the escape latency and the path length for the mice to find the hidden platform in the MCAO group were inferior to that of Sham-operated mice, suggesting that I/R injury induced severe learning and memory impairments. C-176-treated MCAO mice displayed a better performance with decreased escape latency and shorter path length on days 3 to 5 (for escape latency:  $P = 0.008$ ,  $P < 0.001$  and  $P = 0.0012$ , for path length:  $P = 0.0138$ ,  $P < 0.001$  and  $P < 0.001$ ). Moreover, in the probe phase, treatment with C-176 conspicuously increased the crossovers of the platform and the time spent in the target quadrant compared to the MCAO+vehicle group (**Figures 3E–G**,  $P = 0.0096$  and  $P = 0.0073$ ).

We then used FJC staining to test whether STING could affect neuronal degeneration for a long time after ischemic stroke. Robust FJC staining in CA1, CA2, and CA3 regions of the hippocampus were found at 28 d after MCAO. The density of FJC-positive cells was increased after MCAO, while C-176 treatment significantly decreased the number of FJC-positive neurons in CA1, CA2 and CA3 regions of the hippocampus



**FIGURE 2 |** C-176 treatment rescued infarct volume, brain edema, and neuronal injury. **(A)** Representative images for TTC staining in the indicated groups. **(B, C)** Quantitative analysis of infarct volume and brain edema in treated mice 1d post-modeling. **(D, E)** Representative microphotographs and quantitative analysis of NeuN/TUNEL co-staining. The dotted lines designate infarct borderlines. **(F, G)** FJC staining showed that the density of FJC-positive cells was increased in the peri-infarct area, while C-176 treatment reversed this trend. Insets show a higher magnification view.  $n = 6$ . Data are expressed as mean  $\pm$  SD. \*\*\* $P < 0.001$  vs Sham group; # $P < 0.05$ , ## $P < 0.01$ , ### $P < 0.001$  vs MCAO+vehicle group. n. s., no significant difference. Scale bar = 50  $\mu$ m.

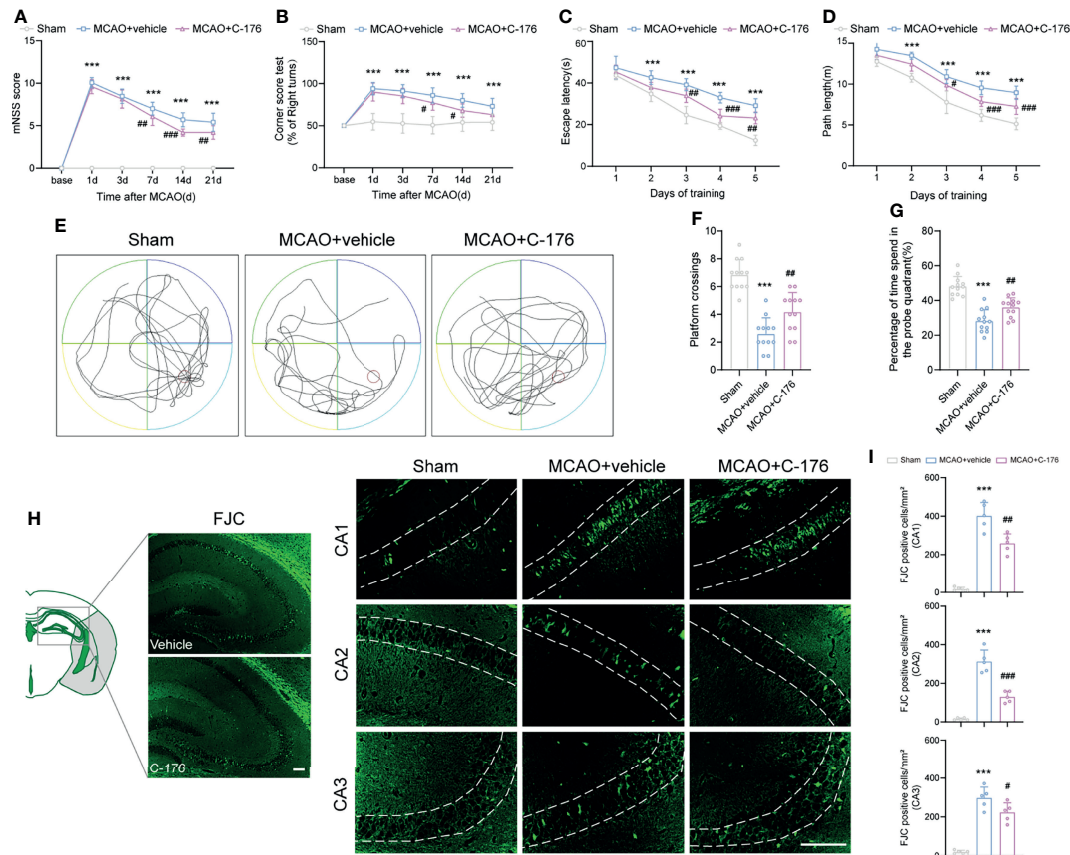
(Figures 3H, I,  $P = 0.0018$ ,  $P < 0.001$  and  $P = 0.0468$ ). Taken together, blockade of STING may have substantially advantageous effects on long-term behavioral outcomes following ischemic stroke.

### Suppression of STING Inhibited Microglial M1 Polarization

Having demonstrated that STING modulates functional outcomes after stroke, we sought to explore whether STING could affect post-ischemic inflammation through microglial polarization. We found that the protein levels of cGAS, p-STING, STING, p-p65, and p-IRF3 were increased substantially in MCAO mice and OGD/R microglia; whilst the STING-related proteins expression levels were remarkably decreased with C-176 administration (Figures 4A, B). Moreover, immunoblots showed that the levels of the M1-related marker iNOS decreased and the M2-related marker Arginase-1 increased with C-176 treatment 3d after MCAO and 24h after OGD/R (Figure 4C, both  $P < 0.001$ , Figure 4D,  $P = 0.0133$  and  $P = 0.0018$ ). Real-time PCR analysis indicated that the levels of M1 phenotype factors (TNF- $\alpha$ , iNOS, IL-1 $\beta$ , IL-6) and the M2 phenotype factors (IL-10 and Arg-1) increased

at 3d after reperfusion (Figure 4E,  $P < 0.001$ ,  $P < 0.001$ ,  $P < 0.001$ ,  $P < 0.001$ ,  $P < 0.001$  and  $P = 0.006$ ). However, C-176 treatment notably mitigated the expression of M1 phenotype related genes and markedly enhanced the expression of M2 phenotype related genes (Figure 4E, all  $P < 0.001$ ). Consistent with observation *in vivo*, C-176 incubation markedly upregulated the expression level of M2 phenotype factors and notably suppressed the levels of M1 phenotype factors in BV2 microglia after 24h reoxygenation (Figure 4F, all  $P < 0.001$ ).

We then investigated the effects of STING on microglial phenotype transition. CD16/32 and CD206 staining were used to examine the polarization of microglia in the peri-infarct cortex. As depicted in Figures 4G, H, the number of CD16/32 and Iba1 double-positive M1-like cells was significantly increased on day 3 after MCAO when compared with the Sham group, which was markedly decreased in the MCAO+C-176 group ( $P = 0.0019$ ). On the other hand, the number of CD206 and Iba1 double-positive M2-like cells was further increased by C-176 administration at 3 days after MCAO (Figures 4G, H,  $P < 0.001$ ). Coincident with the observation on day 3 after MCAO, C-176 treatment also notably decreased the CD16/32-positive microglia and increased the CD206-positive



**FIGURE 3 |** Inhibition of STING improved neurological performance and cognitive function after MCAO. **(A, B)** The mNSS and Corner test were assessed at day 1, 3, 7, 14, and 21 after MCAO. Mice were tested before MCAO surgery. MCAO 1-7 d:  $n = 15$ ; MCAO 14-21 d:  $n = 10$ . Long-term cognitive functions were assessed by the Morris water maze. **(C, D)** The escape latency and swim path length were recorded at days 22-28 after MCAO.  $n = 12$ . **(E)** Representative swimming trajectories of the three groups in probe trials. **(F, G)** The crossovers of the platform location and the percentage of time spent in the probe quadrant.  $n = 12$ . **(H, I)** FJC staining was performed to assess the neuronal degeneration in hippocampus at day 28 after MCAO.  $n = 5$ . Data are expressed as mean  $\pm$  SD. \*\*\* $P < 0.001$  vs Sham group; # $P < 0.05$ , ## $P < 0.01$ , ### $P < 0.001$  vs MCAO+vehicle group. Scale bar = 100  $\mu$ m.

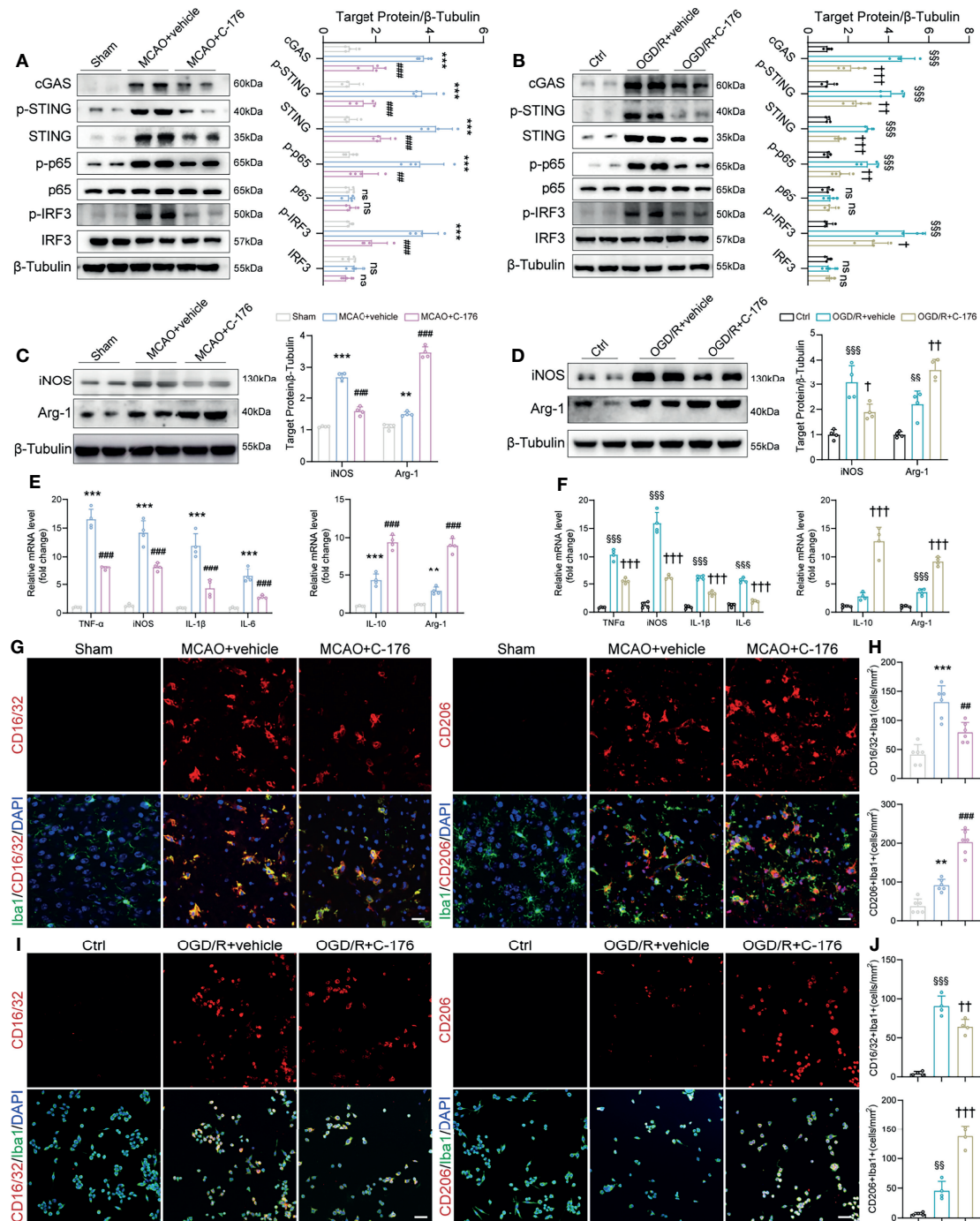
microglia on day 7 after MCAO (**Supplementary Figure S4**,  $P = 0.0210$  and  $P = 0.0007$ ). We further performed immunostaining for the BV2 cells to evaluate the effects of C-176 on the M1/M2 polarization state at 24h after OGD/R. Blockade of STING reduced the number of CD16/32<sup>+</sup> microglia cells, and increased the number of CD206<sup>+</sup> microglia cells (**Figures 4I, J**,  $P = 0.0074$  and  $P < 0.001$ ). OGD/R induced a substantial increment of neuronal death as determined by quantification of live/dead staining, while incubation with C-176 maximally preserved neuronal viability (**Supplementary Figure S5**,  $P = 0.0026$ ). These results demonstrated that C-176 alleviated cerebral I/R injury by promoting the microglial polarization to M2 state.

### Knockdown of STING in Microglia Attenuated I/R-Induced Neuroinflammation and Brain Injury

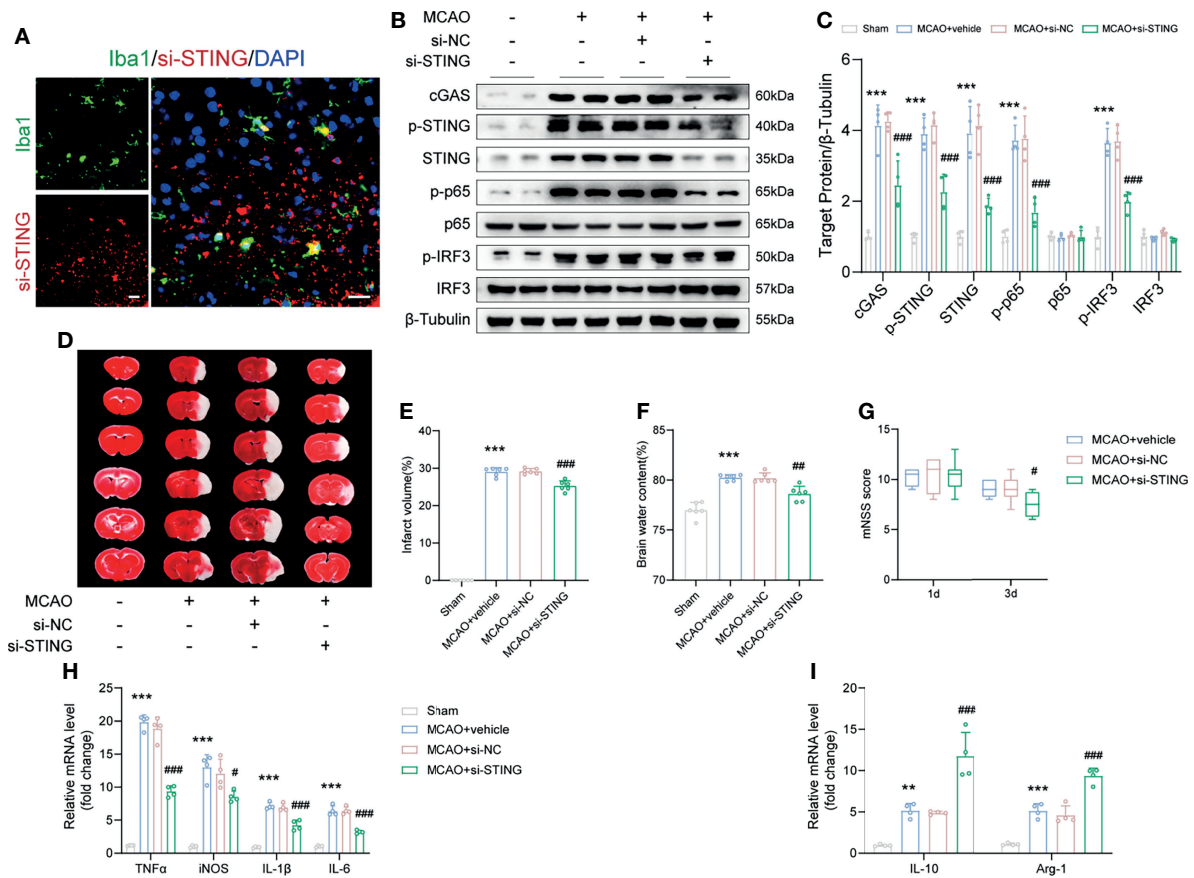
To further verify the role of STING post-MCAO, siRNA was administrated i.c.v to silence STING. Double immunostaining images at the siRNA injection site and the surrounding area

showed that Cy5-conjugated si-STING was co-stained with microglia (**Figure 5A**). And we found that the levels of p-STING and STING were suppressed by si-STING (**Figures 5B, C**, both  $P < 0.001$ ). Similarly, si-STING transfection could effectively knockdown p-STING and STING expression in BV2 microglia 24h after OGD/R (**Supplementary Figure S6**,  $P = 0.0099$  and  $P = 0.0087$ ). These results suggested that si-STING could transfect into microglia and knockdown of STING expression.

Genetic knockdown of STING decreased the infarct volume and brain edema, and alleviated neurological deficits post-MCAO (**Figures 5D-G**,  $P < 0.001$ ,  $P = 0.0011$  and  $P = 0.0207$ ). Moreover, administration with si-STING significantly suppressed the mRNA level of M1 phenotype markers (TNF- $\alpha$ , iNOS, IL-1 $\beta$ , and IL-6) 1d post-MCAO when compared to the MCAO+si-NC group (**Figure 5H**,  $P < 0.001$ ,  $P = 0.0291$ ,  $P < 0.001$  and  $P < 0.001$ ). We also found that silence STING upregulated the expression of M2 phenotype mRNA markers (IL-10 and Arg-1), while injection si-NC had no such effects (**Figure 5I**, both  $P < 0.001$ ).



**FIGURE 4 |** The STING inhibitor C-176 promoted M2 polarization after MCAO. **(A)** Immunoblotting analysis of cGAS, p-STING, STING, p-p65, p65, p-IRF3 and IRF3 in the peri-infarct tissue of Sham, MCAO+vehicle and MCAO+C-176 mice 1d post-MCAO.  $n = 4$ . **(B)** Immunoblotting images and quantitative analysis of cGAS, p-STING, STING, p-p65, p65, p-IRF3 and IRF3 in BV2 microglia of Ctrl, OGD/R+vehicle and OGD/R+C-176 group 24h after reoxygenation.  $n = 4$ . **(C, D)** Western blot and quantification analysis for iNOS and Arginase-1 in treated mice 3d post-MCAO and BV2 microglia 24h post-reoxygenation.  $n = 4$ . **(E, F)** mRNA expression of pro-inflammatory genes (TNF- $\alpha$ , iNOS, IL-1 $\beta$  and IL-6) and anti-inflammatory genes (IL-10 and Arg-1) were measured by real-time PCR 3d after MCAO and 24h after OGD/R.  $n = 4$ . **(G)** Representative confocal images of two microglia phenotypes were obtained from the peri-infarct cortex. **(H)** Quantification of CD16/32 positive M1 microglia and CD206 positive M2 microglia in the treated mice.  $n = 6$ . **(I, J)** Representative immunostained images and statistical analysis of M1 state (CD16/32 $^{+}$ /Iba1 $^{+}$ ) and M2 state (CD206 $^{+}$ /Iba1 $^{+}$ ) BV2 microglia.  $n = 4$ . Data are expressed as mean  $\pm$  SD. \*\* $P < 0.01$ , \*\*\* $P < 0.001$  vs Sham group; # $P < 0.01$ , ### $P < 0.001$  vs MCAO+vehicle group. \$\$\$ $P < 0.001$  vs Ctrl group; † $P < 0.05$ , †† $P < 0.01$ , ††† $P < 0.001$  vs OGD/R+vehicle group. n. s., no significant difference. Scale bar = 20  $\mu$ m.



**FIGURE 5 |** STING knockdown ameliorated brain infarction and edema, promoted neurological recovery and rescued the expression of anti-inflammatory genes. **(A)** si-STING (red) co-stained with Iba1 (green) 1d post-MCAO in mice receiving Cy5-conjugated si-STING. **(B, C)** Immunoblots and quantitative analysis of STING-related proteins, including cGAS, p-STING, STING, p-p65, p65, p-IRF3 and IRF3 of Sham, MCAO+vehicle, MCAO+si-NC and MCAO+si-STING mice 1d after MCAO. *n* = 4. **(D-F)** Representative images of TTC staining and quantitative analysis of infarct volume and brain edema 1d post-MCAO. *n* = 6. **(G)** mNSS score of the indicated groups at day 1 and day 3 after MCAO. *n* = 8. **(H, I)** Relative mRNA expression of M1 microglia-specific transcripts (TNF $\alpha$ , iNOS, IL-1 $\beta$  and IL-6) and M2 microglia-specific transcripts (IL-10 and Arg-1). *n* = 4. Data are expressed as mean  $\pm$  SD. \*\**P* < 0.01, \*\*\**P* < 0.001 vs Sham group; #*P* < 0.05, ##*P* < 0.01, ###*P* < 0.001 vs MCAO+si-NC group. Scale bar = 20  $\mu$ m.

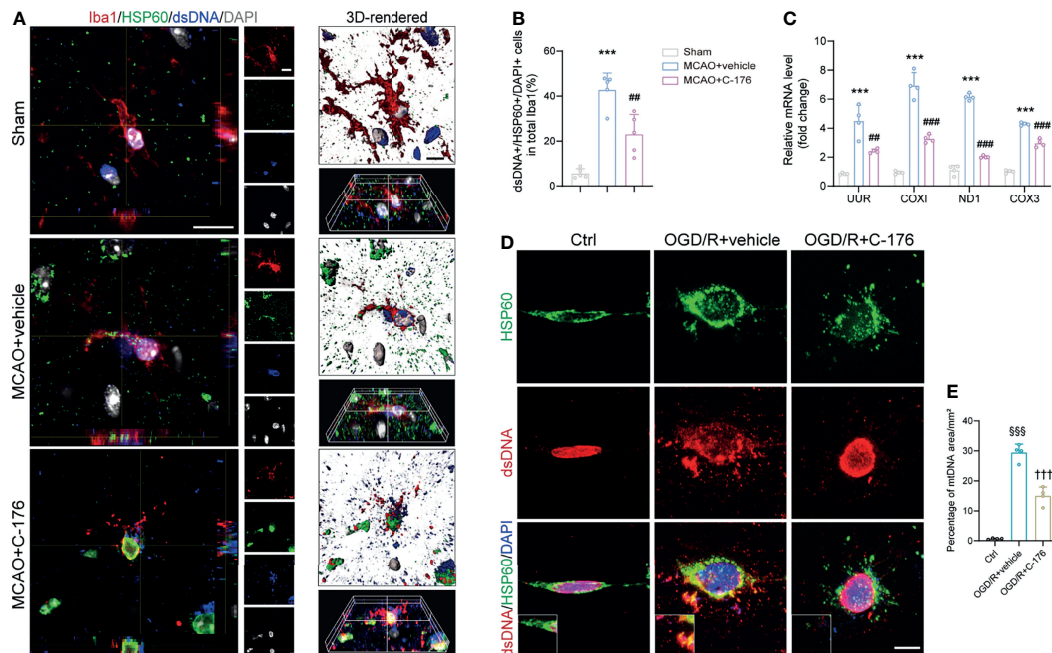
## Inhibition of STING Retarded the Expression of mtDNA After MCAO

Recently, it has been reported that mtDNA could release into the neuronal cytoplasm and participate in the pathogenesis of amyotrophic lateral sclerosis (ALS) (34). As a potent DAMPs, mtDNA is closely involved in the immune process after stroke (35). To this end, we triple-labeled stained against dsDNA, HSP60 (a mitochondrial marker), and Iba1 to assess whether mtDNA could leak into the microglial cytoplasm after I/R injury. Immunofluorescence and 3D-reconstructed images revealed that quantities of mtDNA were released into microglial cytoplasm following MCAO, which were alleviated by treatment with C-176 (Figures 6A, B, *P* = 0.0016). A similar trend was found in the cell experiments. Incubation with C-176 remarkably reduced the level of mtDNA, which was released into microglial cytoplasm induced by OGD/R ictus (Figures 6D, E, *P* < 0.001). I/R injury also induced the levels of mtDNA mRNA markers (UUR, COXI, ND1, and COX3) to noticeably increase, and these increments

were all reversed by C-176 injection (Figure 6C, *P* = 0.0030, *P* < 0.001, *P* < 0.001 and *P* < 0.001).

## MCAO-Induced mtDNA Was Responsible for Microglial Polarization Through the STING Pathway

mtDNA has been found to be capable of triggering STING signaling (21). We next sought to explore the role of microglial mtDNA in the STING-mediated I/R injury. Mice were received an intracerebroventricular injection of 5 mg/kg mtDNA. Compared with the vehicle-treated MCAO mice, mtDNA injection could markedly enhance the protein levels of cGAS, p-STING, STING, p-p65 and p-IRF3 1d after MCAO, which were alleviated by C-176 administration (Figures 7A, B). A similar tendency was observed in BV2 cells that C-176 incubation notably reversed the increments of STING-related proteins induced by mtDNA treatment (Supplement Figure S7). In addition, aggravated brain infarction and brain edema were observed as a result of mtDNA



**FIGURE 6 |** Blockade of STING suppressed microglial mtDNA leakage after MCAO. **(A, B)** Representative confocal, 3D-reconstructed images of dsDNA<sup>+</sup>/HSP60<sup>+</sup>/Iba1<sup>+</sup> microglia and quantification of microglial mtDNA 1d post-MCAO. Images were reconstructed from confocal images using Imaris software. *n* = 5. **(C)** Relative mRNA expression of mtDNA-specific genes (UUR, COXI, ND1 and COX3) 1d after MCAO. *n* = 4. **(D, E)** BV2 microglia cells were stained with anti-dsDNA and anti-HSP60 to detect mtDNA at 24h after reoxygenation. Representative dsDNA/HSP60 double immunostaining images and quantification of mtDNA. *n* = 4. Data are expressed as means ± SD. \*\*\**P* < 0.001 vs Sham group; ###*P* < 0.01, ###*P* < 0.001 vs MCAO+vehicle. \$\$\$*P* < 0.001 vs Ctrl group; †††*P* < 0.001 vs OGD/R+vehicle group. Scale bar = 10 μm.

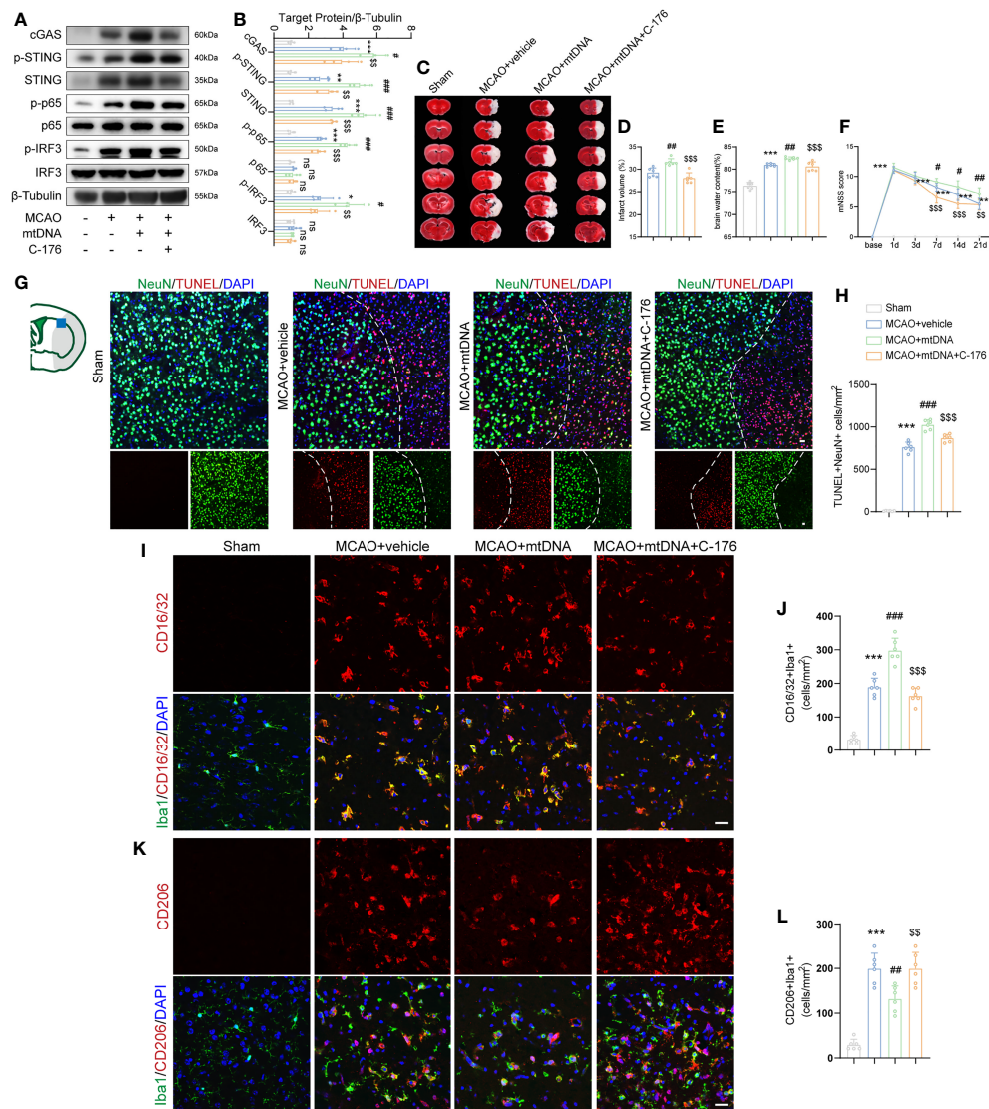
administration in MCAO mice, which was reversed by C-176 administration (**Figures 7C–E**, both *P* < 0.001). mtDNA injection remarkably worsened neurobehavioral performance in MCAO mice compared to the MCAO+vehicle group throughout the testing periods (**Figure 7F**, *P* = 0.0301, *P* = 0.0317 and *P* = 0.0067). The mtDNA+C-176 mice displayed significantly better neurological performance than the mice in the mtDNA group on days 7 to 21 post-MCAO (**Figure 7F**, *P* < 0.001, *P* < 0.001 and *P* = 0.0036). As illustrated in **Figures 7G, H**, MCAO mice injected with mtDNA induced the increment of TUNEL-positive cells at 1d after reperfusion, which was alleviated by treatment of C-176 (*P* < 0.001).

We further evaluated the effects of STING activation, which is induced by mtDNA injection, on microglial polarization in ischemic stroke. Immunofluorescent staining results showed that mtDNA treatment increased the numbers of Iba1<sup>+</sup>CD16/32<sup>+</sup> pro-inflammatory microglia and reduced Iba1<sup>+</sup>CD206<sup>+</sup> anti-inflammatory microglia at 3d after MCAO compared with vehicle-treated mice (**Figures 7I–L**, *P* < 0.001 and *P* = 0.0040). However, co-administration of mtDNA and C-176 could suppress the number of the M1-specific marker CD16/32 and increase the number of the M2-specific marker CD206 in microglia after MCAO attack (**Figures 7I–L**, *P* < 0.001 and *P* = 0.0040). These results suggested that mtDNA could trigger microglial phenotype shift towards the M1 modality through activation of STING, resulting in neurological function deterioration following ischemic stroke.

## DISCUSSION

In the current study, we found that STING was triggered and mainly located in microglia after ischemic stroke. Pharmacological blockade of STING with C-176 remarkably alleviated brain infarct volume, brain edema, neuronal apoptosis and degeneration, and thus recovered short-term and long-term neurological function. Moreover, inhibition of STING remarkably decreased the number of M1 phenotype microglia and facilitated microglial phenotype towards the M2 phenotype following cerebral I/R injury. In addition, we demonstrated that the microglial mtDNA, which escaped into the cytoplasm under I/R stimulation, could promote microglial polarization switch to M1 phenotype *via* STING signaling pathway. Blockade of STING remarkably shifted microglial polarization towards the M2 phenotype and alleviated neuroinflammation (**Figure 8**).

STING is a dimeric transmembrane protein at the endoplasmic reticulum (ER) with 42-kDa (14). Research on the immune role of the STING pathway in CNS disorders has grown in recent years (34, 36, 37). However, little is known about the role of STING in the pathological process of ischemic stroke. Here we showed that STING expression gradually increased at least 7 days following ischemic stroke. In agreement with our study, in the hypoxic-ischemic encephalopathy (HIE) model, M. Gamdzyk and colleagues demonstrated that the expression of

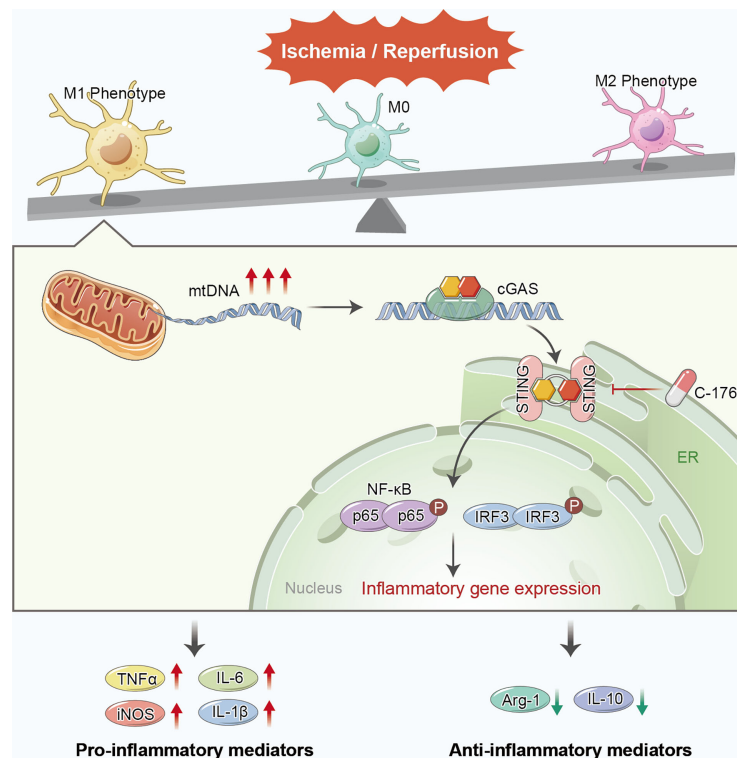


**FIGURE 7 |** mtDNA induced microglial M1 polarization by activating STING signaling pathway. **(A, B)** Western blot and quantification analysis of cGAS, p-STING, STING, p-p65, p65, p-IRF3 and IRF3 in Sham-, MCAO+vehicle-, MCAO+mtDNA-, and MCAO+mtDNA+C-176-treated mice 1d after reperfusion.  $n = 4$ . **(C-E)** Representative TTC staining images and quantification of infarction volume and brain edema 1d post-MCAO.  $n = 6$ . **(F)** mNSS score was assessed at day 1, 3, 7, 14 and 21 after MCAO. MCAO 1-7 d:  $n = 10$ ; MCAO 14-21 d:  $n = 8$ . **(G, H)** TUNEL (red) and NeuN (green) double immunostaining with quantitative analysis. Dotted line designates the infarct borderline.  $n = 6$ . **(I-L)** Representative confocal images and quantification of M1 modality microglia (CD16/32<sup>+</sup>/Iba1<sup>+</sup>) and M2 modality microglia (CD206<sup>+</sup>/Iba1<sup>+</sup>) in the indicated groups.  $n = 6$ . Scale bar = 20  $\mu$ m. Data are expressed as mean  $\pm$  SD. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$  vs Sham group; # $P < 0.05$ , ## $P < 0.01$ , ### $P < 0.001$  vs MCAO+vehicle group; \$\$ $P < 0.01$ , \$\$\$ $P < 0.001$  vs MCAO+mtDNA group. n. s., no significant difference.

STING was significantly increased from 6 h to 7d after HIE (38). Yet, a study examining the function of STING in subarachnoid hemorrhage (SAH) mice showed that the level of STING was increased post-SAH, peaked at 24h and gradually declined (16). Simultaneously, our immunofluorescence staining results confirmed that STING was mainly distributed in microglia after MCAO, which was similarly evaluated by the work of Peng and colleagues under the SAH condition (16). However, there was research showing that STING was located in neurons and astrocytes in HIE and traumatic brain injury (TBI) models

(38, 39). The different models and complex microenvironments in the brain may be responsible for the different expression and location patterns of STING.

C-176, a highly potent and selective small molecule antagonist of STING, is widely used to block STING. C-176 could covalently target transmembrane cysteine residue 91 and thereby block the activation-induced palmitoylation of STING (26). It is reported that C-176 could effectively attenuate STING-associated autoinflammatory disease and early brain injury *via* intraperitoneally injection (16). So, intraperitoneally



**FIGURE 8** | Proposed mechanism of STING-mediated microglial polarization following ischemic stroke. I/R injury induces the leakage of mtDNA to cytoplasm and the activation of STING in microglia. Blockade of STING shifts the microglia toward M2 modality through IRF3 and NF- $\kappa$ B, thereby rescuing neuroinflammation and stroke outcomes. mtDNA can promote microglial polarization to the M1 phenotype via activating STING signaling pathway.

delivery of C-176 was applied to inhibit STING in our study. Pharmacological inhibition of STING with C-176 significantly alleviated neuroinflammation, thereby reducing brain water content and neurological deficits in SAH mice (16). Inhibition of STING with C-176 abrogated the increased level of proinflammatory cytokines/chemokines secretion in BMDMs in both young and aged mice (29). In this study, we found that pharmacological inhibition of STING with C-176 could suppress neuroinflammation and improve stroke outcomes. Li et al. showed that activation of cGAS-STING signaling could drive microglial inflammasome production and microglia pyroptosis to amplify the inflammation during cerebral I/R injury (19). However, the exact mechanisms of STING on neuroinflammation in ischemic stroke are not completely understood.

Neuroinflammation, which responses to post-ischemia, is characterized by rapid activation of resident microglia cells (40). Microglia is the chief innate immune cell within the CNS and the most potent modulator of CNS repair and regeneration (5, 7, 41). But microglia cells play an apparent double-edged sword role in the CNS. Recent studies have reported that regulation of the balance between M1 and M2 phenotypes is a promising therapeutic strategy for brain ischemia (42–44). Considering that STING contributes to microglia/macrophages polarization in SAH and colitis (16, 45), we speculated that

STING might mediate microglial polarization in ischemic stroke. Indeed, our results showed that the gene and protein expressions of M1 phenotype in microglia was increased at 3 days post-MCAO, while the genes and proteins expression of M2 phenotype was suppressed. Additionally, inhibition of STING with C-176 notably promoted the M2 phenotype but reduced the M1 phenotype to exert an anti-inflammatory function. In this study, we chose the third-day time point to analyze microglial polarization states. The reasons are as follows: i) M1 microglia gradually increased over time from day 3 onward and remained elevated for at least 14 days after ischemia; ii) M2 microglia gradually increased beginning 1 to 3 days, peaked by 3 to 5 days and then decreased at 7 days after MCAO (7, 46).

Another major observation in this study was that STING could mediate microglial polarization through activating IRF3 and NF- $\kappa$ B pathways. The phosphorylated STING recruits and activates IRF3, ultimately, IRF3 enters the nucleus and exerts its function in the type-I interferon responses (10, 47). Meanwhile, STING could mediate the production of NF- $\kappa$ B-driven inflammatory genes and subsequently immune responses (48). It is reported that IRF3 and NF- $\kappa$ B could regulate microglial polarization after ischemic stroke (49). We speculated that STING might influence microglial polarization through activating IRF3 and NF- $\kappa$ B pathways following MCAO. Indeed, as depicted in western blot results, the protein expression of p-p65 and p-IRF3 was increased

post-MCAO, and C-176 administration suppressed this increment. Furthermore, we noted that the downregulation of p-p65 and p-IRF3 was accompanied with decreasing microglial M1 phenotype polarization and growing M2 phenotype polarization. Intriguingly, it is reported that STING could recruit and improve NLRP3 localization in the endoplasmic reticulum, and remove NLRP3 polyubiquitination, thereby promoting the inflammasome activation (50). NLRP3 inflammasome has been proposed as a critical mediator of microglial M1/M2 polarization post-ischemic stroke (51). Therefore, NLRP3 inflammasome may be involved in STING-mediated microglial polarization. Accumulating evidences demonstrated that metabolic reprogramming and autophagy could orchestrate microglia activation and polarization (52). A recent investigation has declared that STING could orchestrate metabolic reprogramming of macrophages *via* HIF-1 $\alpha$  during bacterial infection (53). Moreover, STING activation induces autophagy dysfunction in TBI and sepsis-related acute lung injury mice (39, 54). In view of these, STING might affect the polarization states of microglial cells through regulating other pathways, such as metabolic reprogramming and autophagy. Further researches are needed to elucidate the specific way of STING mediated microglial polarization.

Mitochondria dysfunction, including mtDNA damage, depletion and release, plays a critical role in ischemic cascades (55). mtDNA could activate the cGAS-STING immune pathway, subsequently regulate innate immune response and sterile inflammation (17, 21, 56). Here, we verified that the mtDNA could be released into the microglial cytoplasm and activated the STING signaling pathway during the cerebral I/R process. Previous studies have shown that STING was able to complex with self or pathogen-related signal-stranded DNA (ssDNA) and dsDNA, possibly by interacting with DNA through its cytoplasmic tail (12). However, as a dsDNA, whether mtDNA can directly bind to STING under ischemia conditions needs further study. Mitochondria are present in varieties of cells in CNS and comprise the intracellular cores for energetics and viability (57). Neurons and astrocytes can exchange damaged mitochondria with each other for disposal and recycling after stroke (58, 59). Furthermore, fragmented mitochondria released from microglia can trigger A1 astrocytic phenotype and propagate neuronal death (60). Thus, the elevated mtDNA in microglial cytoplasm of ischemic brain may be partly from astrocytes and neurons through cell to cell communication. Follow-up studies to elucidate the source of mtDNA in microglia cytoplasm may be envisioned.

## CONCLUSIONS

In summary, the present study demonstrated that STING could orchestrate neuroinflammation after ischemic stroke *via* mediating microglial polarization to M1 phenotype. Blockade of STING could inhibit inflammatory responses and provide a neuroprotective effect following ischemic stroke. These findings indicated that STING might be a therapeutic target for ischemic stroke.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding authors.

## ETHICS STATEMENT

The animal study was reviewed and approved by The First Affiliated Hospital of the University of Science and Technology of China.

## AUTHOR CONTRIBUTIONS

XL and PX designed the research. LK and PX drafted the original manuscript. LK and WL performed the animal experiments. EC and WW performed the cellular experiments. NS, XX, and XW contributed to western blots and immunostaining. WL and LK analyzed the data. WS and YZ gave critical comments for the research. WH, PX, and XL conceptualized and supervised the study. All authors read and approved the final manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2022.860977/full#supplementary-material>

**Supplementary Figure 1 |** Experimental design and animal groups. i.p., intraperitoneal injection; i.c.v., intracerebroventricular injection; MCAO, middle cerebral artery occlusion; RT-PCR, real-time polymerase chain reaction; siRNA, short interfering RNA; STING, Stimulator of IFN genes; TTC, 2,3,5-triphenyl tetrazolium chloride solution; mtDNA, Mitochondrial DNA.

**Supplementary Figure 2 |** Occlusion of MCA decreased cerebral blood flow (CBF). Representative images and quantitative analyses showed the CBF before ischemia, during ischemia, and 24 hours after reperfusion. Data are expressed as mean  $\pm$  SD,  $n = 6$ . \*\*\* $P < 0.001$  vs Baseline group. ### $P < 0.001$  vs Ischemia group.

**Supplementary Figure 3 |** Colocalization of STING with GFAP or NeuN in the peri-infarct cortex of mice. STING was undetectable in astrocytes and neurons. Scale bar = 20  $\mu$ m.

**Supplementary Figure 4 |** Effect of inhibit STING on microglia polarization at 7 days post-MCAO. Representative images of double immunostaining and

quantitative analyses of microglia polarization. M1-phenotype: CD16/32<sup>+</sup> (red) and Iba1<sup>+</sup> (green); M2-phenotype: CD206<sup>+</sup> (red) and Iba1<sup>+</sup> (green). Data are expressed as mean  $\pm$  SD,  $n = 6$ . <sup>\*\*</sup> $P < 0.01$ , <sup>\*\*\*</sup> $P < 0.001$  vs Sham group; <sup>#</sup> $P < 0.05$ , <sup>###</sup> $P < 0.001$  vs MCAO+vehicle group. Scale bar = 20  $\mu$ m.

**Supplementary Figure 5 |** Pharmacological inhibition of STING suppressed neuronal death *in vitro*. Neurons were co-cultured with Control microglia, OGD/R microglia, or OGD/R microglia treated with C-176 (1  $\mu$ M) for 24 h. Neuronal viability of the three groups was measured by Live/Dead staining. Data are expressed as mean  $\pm$  SD,  $n = 4$ . <sup>\$\$\$</sup> $P < 0.001$  vs Ctrl group; <sup>††</sup> $P < 0.01$  vs OGD/R+vehicle group. Scale bar = 200  $\mu$ m.

**Supplementary Figure 6 |** Transfection of siRNA restrained STING expression *in vitro*. (A) Colocalization of Cy5-conjugated si-STING with Iba1 24h after OGD. (B) Western blotting and quantitative analysis for cGAS, p-STING and STING. Data are

expressed as mean  $\pm$  SD,  $n = 4$ . <sup>\$\$\$</sup> $P < 0.001$  vs Control group; <sup>†</sup> $P < 0.05$ , <sup>††</sup> $P < 0.001$  vs OGD/R+si-NC group. Scale bar = 50  $\mu$ m.

**Supplementary Figure 7 |** mtDNA pre-treatment promoted STING expression *in vitro*. BV2 cells were pre-treated with mtDNA (100 ng/ml) for 6h before C-176 incubation. Immunoblots and densitometry analysis of cGAS, p-STING, STING, p-p65, p65, p-IRF3 and IRF3 in Ctrl, OGD/R+vehicle, OGD/R+mtDNA, OGD/R+mtDNA+C-176 groups. Data are expressed as mean  $\pm$  SD,  $n = 4$ . <sup>\$\$\$</sup> $P < 0.001$  vs Ctrl group; <sup>†</sup> $P < 0.05$ , <sup>††</sup> $P < 0.01$ , <sup>†††</sup> $P < 0.001$  vs OGD/R+vehicle group; <sup>\$\$</sup> $P < 0.01$ , <sup>\$\$\$</sup> $P < 0.001$  vs OGD/R+mtDNA group.

**Supplementary Table 1 |** Animal usage and mortality of all the experimental groups.

**Supplementary Table 2 |** Antibodies used in this study.

**Supplementary Table 3 |** Sequences of the PCR primers used in the study.

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## GLOSSARY

ALS	Amyotrophic lateral sclerosis
ANOVA	One-way analysis of variance
Arg-1	Arginase-1
CBF	Cerebral blood flow
CCA	Common carotid artery
cGAMP	Cyclic guanosine monophosphate-adenosine monophosphate
cGAS	Cyclic GMP-AMP synthase
CNS	Central nervous system
DAPI	4',6-Diamidino-2-phenyl-indole
DMEM	Dulbecco's modified Eagle's medium
dsDNA	Double-stranded DNA
ECA	External carotid artery
FJC	Fluoro-Jade C
GAPDH	Glyceraldehyde-3-phosphate dehydrogenase
GFAP	Glial fibrillary acidic protein
HIE	Hypoxic-ischemic encephalopathy
I/R	Ischemia-reperfusion
Iba1	Ionized calcium-binding adaptor molecule 1
ICA	Internal carotid artery
i.c.v	Intracerebroventricular injection
iNOS	Inducible nitric oxide synthase

(Continued)

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i.p.	Intraperitoneal injection
IRF3	Transcription factor 3
MCAO	Middle cerebral artery occlusion
mNSS	Modified neurological severity score
mtDNA	Mitochondrial DNA
NeuN	Neuronal nuclei
NF- $\kappa$ B	Nuclear factor- $\kappa$ B
NIH	National Institute of Health
NLRP3	Nucleotide-binding oligomerization domain (Nod)-like receptor pyrin domain containing 3
OGD/R	Microglia oxygen-glucose deprivation/reperfusion
PBS	Phosphate-buffered saline
PFA	Paraformaldehyde
qPCR	Quantitative polymerase chain reaction
SAH	Subarachnoid hemorrhage
SD	Standard deviation
si-NC	Scrambled siRNA
siRNA	Short interfering RNA
ssDNA	Signal-stranded DNA
STING	Stimulator of IFN genes
TBI	Traumatic brain injury
TBST	Tris-buffered saline containing Tween-20
TTC	2,3,5-Triphenyltetrazolium chloride
TUNEL	Terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling



# Endoplasmic Reticulum Stress and the Unfolded Protein Response in Cerebral Ischemia/Reperfusion Injury

Lei Wang<sup>1†</sup>, Yan Liu<sup>2†</sup>, Xu Zhang<sup>1</sup>, Yingze Ye<sup>1</sup>, Xiaoxing Xiong<sup>1</sup>, Shudi Zhang<sup>1</sup>, Lijuan Gu<sup>3</sup>, Zhihong Jian<sup>1\*</sup> and Hongfa Wang<sup>4\*</sup>

<sup>1</sup> Department of Neurosurgery, Renmin Hospital of Wuhan University, Wuhan, China, <sup>2</sup> Department of Anesthesiology, Renmin Hospital of Wuhan University, Wuhan, China, <sup>3</sup> Central Laboratory, Renmin Hospital of Wuhan University, Wuhan, China, <sup>4</sup> Rehabilitation Medicine Center, Department of Anesthesiology, Zhejiang Provincial People's Hospital, Affiliated People's Hospital, Hangzhou Medical College, Hangzhou, China

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### \*Correspondence:

Hongfa Wang  
whf033@163.com  
Zhihong Jian  
zhijhong@whu.edu.cn

<sup>†</sup> These authors have contributed  
equally to this work

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Ischemic stroke is an acute cerebrovascular disease characterized by sudden interruption of blood flow in a certain part of the brain, leading to serious disability and death. At present, treatment methods for ischemic stroke are limited to thrombolysis or thrombus removal, but the treatment window is very narrow. However, recovery of cerebral blood circulation further causes cerebral ischemia/reperfusion injury (CIRI). The endoplasmic reticulum (ER) plays an important role in protein secretion, membrane protein folding, transportation, and maintenance of intracellular calcium homeostasis. Endoplasmic reticulum stress (ERS) plays a crucial role in cerebral ischemia pathophysiology. Mild ERS helps improve cell tolerance and restore cell homeostasis; however, excessive or long-term ERS causes apoptotic pathway activation. Specifically, the protein kinase R-like endoplasmic reticulum kinase (PERK), activating transcription factor 6 (ATF6), and inositol-requiring enzyme 1 (IRE1) pathways are significantly activated following initiation of the unfolded protein response (UPR). CIRI-induced apoptosis leads to nerve cell death, which ultimately aggravates neurological deficits in patients. Therefore, it is necessary and important to comprehensively explore the mechanism of ERS in CIRI to identify methods for preserving brain cells and neuronal function after ischemia.

**Keywords:** ER stress, unfolded protein response (UPR), cerebral ischemia-reperfusion injury (CIRI), inflammation, apoptosis

## INTRODUCTION

Ischemic stroke, which accounts for approximately 87% of all stroke cases (Kuriakose and Xiao, 2020), results in severe symptoms and is responsible for the majority of stroke-related deaths and disabilities. The main cause of ischemic stroke is cerebrovascular blockade, which leads to brain dysfunction in the corresponding region. As the disability rate and mortality rate of ischemic stroke are very high, this disease seriously affects the health of individuals and imposes a large burden on society and the economy (Poustchi et al., 2021). The amount of glucose and glycogen stored in brain tissue is very low, making the brain very sensitive to reduced blood flow, which can lead to

irreversible damage after 20 min (Kristian, 2004). Compared with other organs, the brain is rich in polyunsaturated fatty acids (FAs) but contains very low levels of protective antioxidants such as superoxide dismutase and catalase. Thus, it is very sensitive to oxidative stress injury (Adibhatla and Hatcher, 2010). During ischemic stroke, cerebral blood flow is interrupted or reduced, resulting in hypoxic and ischemic damage to brain cells, cell necrosis, or cell apoptosis. During ischemia, anaerobic metabolism dominates in tissues, and adenosine triphosphate (ATP) levels decrease rapidly. Lactate accumulates, leading to a decrease in the intracellular pH value, leading to an imbalance in ATP-dependent ion transport, overload of intracellular calcium ions, and swelling and rupture of cells, ultimately mediating cell death through necrosis, apoptosis, and autophagy (Kalogeris et al., 2012).

At present, the methods for achieving vascular recanalization in patients with ischemic stroke mainly include the use of recombinant tissue plasminogen activator (rtPA) and vascular interventional thrombectomy. Basic and clinical research has led to improvements in the treatment of ischemic stroke. Intravenous rtPA is the recommended treatment for acute cerebral infarction within 4.5 h of onset (Man et al., 2020; Powers, 2020). However, due to time constraints, the existing treatment methods are limited. Importantly, ischemic stroke may lead to intracranial hemorrhage (ICH), cause additional brain injury, and even endanger the patient's life. When blood flow is restored to the brain after a certain period of time, brain injury and brain dysfunction are often aggravated. This phenomenon, called cerebral ischemia/reperfusion injury (CIRI) (Sun et al., 2018), occurs because although oxygen levels are restored to normal after reperfusion, reactive oxygen species (ROS) are produced during this process, and infiltration of proinflammatory neutrophils into ischemic tissues aggravates ischemic injury, eventually leading to mitochondrial permeability transition (MPT) pore opening and further irreversible damage (Kalogeris et al., 2012). The pathophysiological process of CIRI is complex and involves a variety of different mechanisms, including oxidative stress, inflammation, intracellular  $\text{Ca}^{2+}$  overload, mitochondrial dysfunction, apoptotic cell death, and excitatory amino acid toxicity (Kalogeris et al., 2016; Campbell et al., 2019; Datta et al., 2020). These factors are interrelated and interact with each other to eventually cause nerve cell death and neurological dysfunction. Recent studies have shown that CIRI can also cause endoplasmic reticulum (ER) damage and dysfunction, activate downstream signaling pathways, contribute to ischemia/reperfusion injury, and have an important impact on nerve cell apoptosis and survival (Hetz and Saxena, 2017).

The ER is an organelle that is found in all eukaryotic cells except mature red blood cells and is mainly responsible for the secretion and folding of proteins, the storage and release of calcium, the synthesis and distribution of lipids, and other functions (Stefan et al., 2011; Oakes and Papa, 2015; Addinsall et al., 2018). However, the ER is also sensitive to the environment. In the presence of abnormal energy metabolism, changes of glycosylation, disorder of calcium balance, drugs, toxins, and other influencing factors, the function of the ER will be impaired, leading to the aggregation of misfolded proteins and endoplasmic

reticulum stress (ERS) (Guan et al., 2014). Moreover, the ER is one of the earliest organelles in cells to respond to external stress. There are three responses associated with ERS, namely, the unfolded protein response (UPR), the endoplasmic reticulum overload response (EOR), and the sterol regulatory element-binding protein (SREBP) pathway regulation response (Pahl, 1999). ERS most commonly involves the UPR, which helps cells adapt to changes in the intracellular microenvironment by altering the functional state of the ER (Markouli et al., 2020). When ERS is caused by changes in the internal and external environment, the UPR is initiated to alleviate the harmful effects caused by ERS and maintain intracellular homeostasis. The UPR involves a reduction in translational activity, an increase in protein folding ability, and activation of the protein degradation pathway. Particularly, the ER-associated degradation (ERAD) or the ubiquitin-proteasome system (UPS) (Sanderson et al., 2015; Sprengle et al., 2017). The function of the UPR depends on the stress level. When the degree of ERS is low or the duration is short, the purpose of the UPR is to restore ER homeostasis, but when the degree of ERS is high or the duration is long, the main purpose of the terminal stage of the UPR is promotion of apoptosis (Walter and Ron, 2011; Hetz and Papa, 2018). The UPR regulates the transcription and translation of proteins in cells to alleviate harm and reduce the probability of protein misfolding. If this mechanism cannot achieve its purpose, inflammatory and apoptotic pathways may be activated, leading to the exacerbation of the inflammatory response in the nervous system, affecting cell survival (Bellezza et al., 2014; Logsdon et al., 2016).

Endoplasmic reticulum stress plays a key role in the progression of CIRI (Xin et al., 2014; Yang and Paschen, 2016). Severe CIRI disrupts ER homeostasis and leads to cell death (Luchetti et al., 2017). The function of early ERS is to restore the stability of the internal environment of the ER and protect cells. Transient and mild ERS helps cells reestablish homeostasis. However, long-term severe ERS disrupts cell homeostasis, leading to apoptosis and aggravating brain injury (Szegezdi et al., 2006; Chi et al., 2019). ERS signals are transmitted through three UPR receptors, i.e., inositol-requiring enzyme 1 (IRE1), protein kinase R-like endoplasmic reticulum kinase (PERK), and activating transcription factor 6 (ATF6), to enter the ER. These receptors bind glucose-regulated protein 78 (GRP78)/Bip (also known as HSP5A) on the ER membrane, which maintains them in an inactive state. Under unstressed conditions, GRP78/Bip binds ATF6, IRE1, and PERK to prevent them from activating downstream signaling events. When the amount of unfolded or misfolded proteins increases, Bip dissociates from these receptors and helps fold unfolded or misfolded proteins, resulting in activation of these receptors and downstream signaling events (Zhang and Kaufman, 2006). Cerebral ischemia causes a series of pathophysiological processes in which ERS-mediated apoptosis eventually leads to brain cell death (Zhao et al., 2018). Therefore, strategies that can effectively regulate ERS may be useful for the treatment of cerebral ischemia. Elucidating the interaction between the ER, cerebral ischemia, and the underlying mechanism is important for the development of effective treatments for cerebral ischemia.

## FACTORS RELATED TO CEREBRAL ISCHEMIA/REPERFUSION INJURY-INDUCED ENDOPLASMIC RETICULUM STRESS

### Ca<sup>2+</sup> Overload

Ca<sup>2+</sup> plays an important role in a variety of pathophysiological processes in cells, such as gene expression, protein synthesis and transport, and cell proliferation and differentiation (Clapham, 2007). The ER and mitochondria interact and influence each other and can form physical contact points called mitochondria-associated endoplasmic reticulum membranes (MAMs) (Hayashi et al., 2009). The ER also contacts the plasma membrane (PM), and the interaction between the ER and PM is controlled by Ca<sup>2+</sup> levels (Toulmay and Prinz, 2011). In the ER, calcium is needed to activate calcium-dependent molecular chaperones that can stabilize protein folding intermediates (Kim et al., 2008). Thus, it can affect ERS.

Ca<sup>2+</sup> homeostasis disruption in the ER plays a decisive role in many neurological diseases, including stroke (Paschen and Mengesdorf, 2005). During cerebral ischemia, many mechanisms can cause an increase in the intracellular Ca<sup>2+</sup> content. During cerebral ischemia occurs, the brain mainly relies on glucose-independent degradation to generate ATP due to the lack of oxygen and energy in nerve cells, leading to the aggregation of lactate, hydrogen ions, and nicotinamide adenine dinucleotide and a decrease in the intracellular pH. To restore a normal pH, H<sup>+</sup> is excreted via Na<sup>+</sup>/H<sup>+</sup> exchange, which in turn leads to Na<sup>+</sup> inflow. However, the increase in Na<sup>+</sup> content is prevented by the Na<sup>+</sup>/Ca<sup>2+</sup> exchanger, which increases intracellular Ca<sup>2+</sup> levels. During hypoxia, the sarco/endoplasmic reticulum Ca<sup>2+</sup>-ATPase (SERCA) is impaired, reducing the uptake of calcium by the ER and increasing the release of calcium. This further aggravates intracellular calcium overload and seriously affects the calcium storage function of the ER, leading to disruption of ER homeostasis (Sanada et al., 2011). Furthermore, due to the large increase in ROS levels, intracellular Ca<sup>2+</sup> content is markedly increased during reperfusion (Baines, 2009). In addition, nitric oxide (NO), which promotes the release of calcium ions from the ER into the cytoplasm that eventually leads to calcium overload, is produced during ischemia and hypoxia (Rajakumar et al., 2016).

When the concentration of Ca<sup>2+</sup> reaches a lethal level in cells, a series of changes are triggered, and damage is aggravated (Kalogeris et al., 2012). First, some Ca<sup>2+</sup> is transported into the mitochondria through unidirectional transport, but once the concentration of Ca<sup>2+</sup> in mitochondria exceeds the tolerated level, MPT pore opening occurs. Second, a pathologically high concentration of Ca<sup>2+</sup> in the cytoplasm leads to activation of Ca<sup>2+</sup>/calmodulin-dependent protein kinases (CaMKs), which aggravates cell death and organelle dysfunction. Third, a high concentration of Ca<sup>2+</sup> can increase the activity of calpain, promote protein translation, and lead to cell death. Fourth, a high concentration of calcium in cells can lead to the production of calcium pyrophosphate complexes and uric acid, which can combine with protein complexes in cells to form inflammasomes to promote the production of inflammatory factors and

ultimately alter the inflammatory response. A high calcium concentration in the cytoplasm and a low calcium concentration in the ER and extracellular environment causes inactivation of a variety of calcium-dependent proteases, resulting in ERS.

### Free Radicals

Free radicals include ROS and reactive nitrogen species (RNS). Normally, ROS and RNS play regulatory roles in ERS. The sources of ROS in different human tissues are different. The main sources of ROS in the brain are NADPH oxidase (NOX), mitochondria, xanthine oxidase (XO), and monoamine oxidase (MAO) (Granger and Kvietys, 2015). In the reperfusion phase of cerebral ischemia, the enzyme NOX uses oxygen as the final electron receptor through NADPH, leading to immediate production of O<sup>2-</sup> which is involved in the degradation of NO and protein tyrosine nitration (Wu M. Y. et al., 2018). Mitochondria are also a main source of ROS in addition to generating energy and regulating cell signals and apoptosis (Murphy, 2009). MAO is located in the outer mitochondrial membrane and helps increase H<sub>2</sub>O<sub>2</sub> production (Granger and Kvietys, 2015).

During ischemia/reperfusion injury, excessive ROS may lead to cell death through autophagy, necrosis, and apoptosis (Cursio et al., 2015). ROS can effectively trigger ERS, and severe ERS can lead to apoptosis during CIRI (Shi et al., 2019; Wei et al., 2019). Excessive ROS act on the ER, leading to depletion of calcium ions in the ER and entry of calcium ions into cells, which eventually causes calcium overload in cells, thereby aggravating ERS and inducing apoptosis. Some studies have shown that the ER and ROS interact through some factors and signaling pathways, including glutathione (GSH)/glutathione disulfide, NOX4, and Ca<sup>2+</sup> (Cao and Kaufman, 2014).

After cerebral ischemia/reperfusion, oxygenated blood reenters the ischemic tissue and cause the production of a large amount of ROS. ROS can modify almost all biomolecules in cells, which leads to cell dysfunction (Raedschelders et al., 2012). At present, ROS mainly cause damage in the following three ways. First, they oxidize or nitrify key proteins involved in regulating cell signaling through the formation of covalent bonds (Lima et al., 2010). Second, reactive nitrogen/oxide species (RNOS) directly cause cell damage. Third, oxidants, such as hydrogen peroxide, cause indirect damage via regulation of signals in dysfunctional cells and regulation of the sulphydryl redox cycle (Go et al., 2010). During ischemia, NO is produced via oxidation of arginine to citrulline, nitrite, nitrite reduction, and mitochondrial cytochrome c (Cyt c) oxidase under hypoxic conditions (Golwala et al., 2009). During the reperfusion stage, the amount of NO produced by ischemic tissue increases, and nitrite peroxide is produced in the ER. Nitrite peroxide is highly toxic and may affect the function of some proteins.

### Inflammation

Endoplasmic reticulum stress and non-infectious inflammatory reactions are involved in many diseases. The inflammatory response participates in the pathophysiological process of CIRI, leading to cell death (Su et al., 2017). In contrast, some researchers have found that inhibiting the inflammatory response

can reduce the infarct volume, improve neurological function scores, and protect brain function in rats with middle cerebral artery occlusion (MCAO) (Liu et al., 2018c). Furthermore, a recent study on CIRI showed that local inflammation is one of the main causes of ERS. After cerebral ischemia/reperfusion, microglia release interleukin (IL)-1 $\beta$ , IL-6, and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ). These proinflammatory cytokines promote the aggregation of inflammatory cells and the production of more inflammatory cytokines, which further aggravate brain function impairment (Mo et al., 2020).

Changes in cell permeability, cell edema, inflammation, and ERS are the main processes in early cerebral ischemia. After reperfusion, blood circulation is restored, and oxygen and neutrophils reach the ischemic tissue. However, some tissues are necrotic, leading to aggravation of neutral cell aggregation and the production of ROS-dependent mediators. These mediators can promote leukocyte adhesion to the posterior vein of the capillary wall and enter the tissue to aggravate injury (Kvietys and Granger, 2012). Activation of TNF, IL-6, and IL-8 further induces ERS (Lee et al., 2019). Nuclear factor  $\kappa$ B (NF- $\kappa$ B) plays a key role in the immune response and can promote the expression of inflammatory factors. In addition, ERS can promote the activation of the NF- $\kappa$ B signaling pathway and promote inflammation (Adolph et al., 2013). In response to ERS, eukaryotic initiation factor 2 $\alpha$  (eIF2 $\alpha$ ) phosphorylation reduces global mRNA translation and stimulates NF- $\kappa$ B transcription. Inhibition of mRNA translation can reduce the protein levels of inhibitor of nuclear factor  $\kappa$ B (I $\kappa$ B) and NF- $\kappa$ B (Deng et al., 2004). Studies have shown that eIF2 $\alpha$  phosphorylation can inhibit the expression of I $\kappa$ B and activate the NF- $\kappa$ B pathway. Some scholars speculate that this may be because the half-life ratio of I $\kappa$ B to NF- $\kappa$ B is short, causing an increase in the proportion of NF- $\kappa$ B relative to I $\kappa$ B, leading to NF- $\kappa$ B nuclear translocation (Sprenkle et al., 2017). NF- $\kappa$ B is an inflammation-related cytokine that promotes the inflammatory response, leading to the overexpression of iNOS, IL-1 $\beta$ , and IL-6, aggravating CIRI (Sun et al., 2014). In turn, ERS can also be regulated by the NF- $\kappa$ B signaling pathway. Sphingosine kinase 1 (SPHK1) is a novel regulator of ERS. One study showed that SPHK1 can activate the NF- $\kappa$ B pathway, causing ERS (Zhang et al., 2020).

Endoplasmic reticulum stress can also affect inflammation. Recent studies have reported that ERS can regulate TNF- $\alpha$ , IL-12, and matrix metalloproteinase-12 expression. In addition, a study showed that the inositol-requiring enzyme 1 $\alpha$  (IRE1 $\alpha$ )-X box-binding protein 1 (XBP1) pathway can activate NLRP3 inflammasome-mediated inflammation. Particularly, XBP1 can activate the NLRP3 inflammasome, convert inactive caspase-1 into active caspase-1, and promote the conversion of IL-1 $\beta$  precursor into the active form of IL-1 $\beta$ , causing its secretion into the extracellular space (Yue et al., 2016). ROS produced by mitochondria can consistently activate the NLRP3 inflammasome and affect the function of mitochondria. Inhibition of NLRP3 activation can reduce neuronal injury and exert a neuroprotective effect after CIRI (Guo et al., 2018), while ERS and autophagy promote the death of neurons after cerebral ischemia through the NLRP3 inflammasome (Xu et al., 2021).

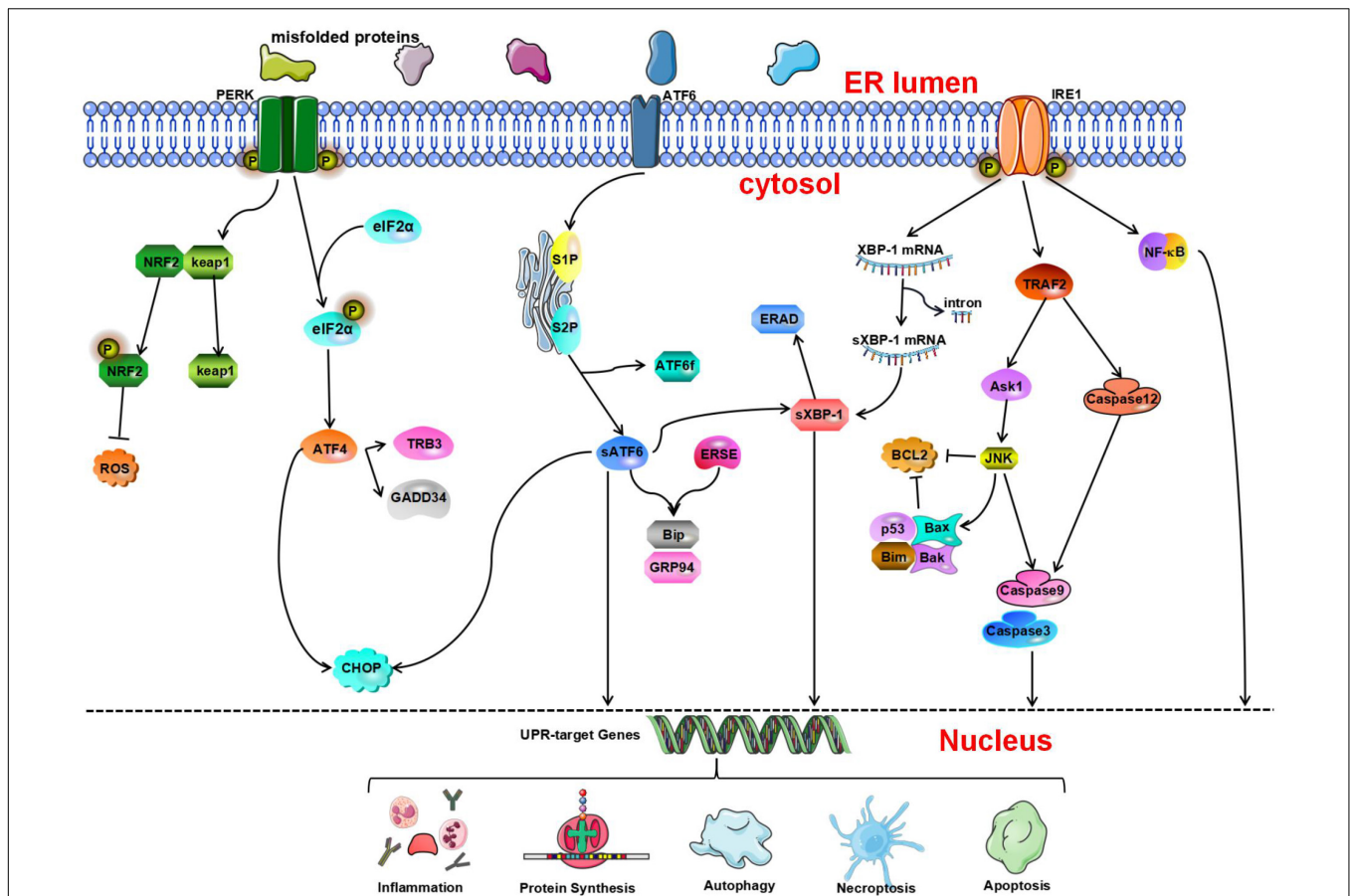
Therefore, ERS and the inflammatory response have a causal relationship. However, the mechanism underlying the interaction between ERS and inflammation in specific environments is still unclear. In addition, the crosstalk between ERS and inflammation in neurons, astrocytes, and microglia continues to be elucidated (Sprenkle et al., 2017). It is worth noting that the role of inflammation in CIRI has received increasing attention.

## SIGNAL TRANSDUCTION PATHWAYS INVOLVED IN ENDOPLASMIC RETICULUM STRESS

Blockage of cerebral blood flow causes the initiation of the UPR followed by impairment of ER or cell function. The UPR involves many enzymes and transcription factors. To date, three ER transmembrane receptors, i.e., PERK, IRE1, and ATF6, which mediate three different signaling pathways that affect transcription and translation, have been identified (Schonthal, 2012; Gupta et al., 2016; Almanza et al., 2019). Under physiological conditions, these three proteins bind to the ER chaperone GRP78. The physical binding of GRP78 to these ER transmembrane proteins maintains the proteins in an inactive state. Under physiological conditions, these three transmembrane receptors bind to the ER molecular chaperone GRP78/Bip, inhibiting their functions (Bertolotti et al., 2000). During ERS, GRP78 dissociates from these transmembrane receptors and binds aggregated unfolded proteins. Then, PERK, IRE1, and ATF6 are autophosphorylated, and their signaling pathways are activated, leading to initiation of the UPR and maintenance of ER function (Figure 1; Volmer et al., 2013; Ibrahim et al., 2019). The activation of the three branches of the UPR leads to the formation of a complex signaling network that contributes to cellular processes such as protein folding, protein degradation, and cellular redox reactions. Misfolded proteins are degraded in the cellular matrix through a process called ERAD (Lopata et al., 2020). Ubiquitination of a substrate can promote its rapid hydrolysis. This helps to maintain the dynamic balance of the ER. In general, activated IRE1 and cleaved ATF6 are involved in XBP1-induced ERAD (Waldherr et al., 2019). The PERK, IRE1, and ATF6 signaling pathways are protective pathways as they relieve early ERS. When harmful stimuli or long-term stimulation impairs ER function, the ERS-mediated cell death pathway, autophagy, apoptosis, and related inflammatory reactions can be induced.

### The PERK Pathway

Protein kinase R-like endoplasmic reticulum kinase is a type I transmembrane protein kinase located on the ER membrane (Harding et al., 1999). Its C-terminus contains a serine/threonine protein kinase domain found in upstream members of the eIF2 $\alpha$  kinase family. During ERS, because unfolded or misfolded proteins in the ER competitively bind GRP78, GRP78 dissociates from PERK, resulting in disinhibition of PERK and activation of PERK through dimerization and autophosphorylation (McQuiston and Diehl, 2017). Activated



**FIGURE 1 |** The unfolded protein response (UPR) determines cell fate through the protein kinase R-like endoplasmic reticulum kinase (PERK), inositol-requiring enzyme 1 (IRE1), and activating transcription factor 6 (ATF 6) pathways. Nuclear factor erythroid 2-related factor 2 (NRF2) is phosphorylated by PERK and dissociates from Kelch-like ECH-associated protein 1 (Keap1) under oxidative stress conditions and then activates the expression of NRF2-dependent antioxidant genes. p-eIF2α can inhibit protein synthesis. Activated ATF4 induces the expression of growth arrest and DNA damage-inducible gene 34 (GADD34) and tribbles-related protein 3 (TRB3). ATF6 is cleaved by serine protease site 1 protease and site 2 protease (S1P and S2P, respectively) to generate ATF6f and activated sATF6. Then, it combines with endoplasmic reticulum stress response elements (ERSEs) to regulate and activate the expression of BiP and glucose regulating protein 94 (GRP94). In addition, IRE1 contributes to ERS-mediated apoptosis through the tumor necrosis factor receptor-associated factor 2- activate apoptosis signal-regulating kinase-1-c-Jun N-terminal kinase (TRAF2-ASK1-JNK) and caspase-12 pathways. In addition, inositol-requiring enzyme 1α (IRE1α) can activate the nuclear factor κB (NF-κB) signaling pathway to initiate inflammatory reactions.

PERK phosphorylates eIF2α, inhibits protein translation, and reduces the aggregation of unfolded proteins in the lumen of the ER (Harding et al., 2000). Phosphorylation of eIF2α can prevent the translation of mRNA (Starck et al., 2016). In the ER-related apoptotic pathway, phosphorylated PERK and eIF2α are significantly activated. It has been confirmed that during early ischemia/reperfusion, phosphorylation of eIF2α by PERK, which is the main mechanism through which the translation of proteins is inhibited during stresses, increases markedly (Owen et al., 2005; Gu et al., 2020). In addition to inhibiting protein translation, phosphorylated eIF2α can also activate the expression of activating transcription factor 4 (ATF4) (Harding et al., 2000). ATF4 is a member of the leucine zipper family and activates the basic region of transcription factors. It is a stress response gene and participates in the UPR. Under normal conditions, the content of ATF4 is very low, and ATF4 mRNA is rarely translated. In addition,

some studies have shown that the transcription of ATF4 is dependent on phosphorylated eIF2α (Blais et al., 2004). ATF4 can activate two survival and apoptosis pathways during the UPR. ATF4 binds its activator to form a complex, which combines with the promoter of the survival-promoting gene GRP78 (Mamady and Storey, 2008). In addition, activated ATF4 induces the expression of CAAT/enhancer-binding protein (C/EBP) homologous protein (CHOP) (Palam et al., 2011; Han et al., 2013), growth arrest, DNA damage-inducible gene 34 (GADD34) (Ma and Hendershot, 2003), and tribbles-related protein 3 (TRB3) (Ohoka et al., 2005), which promote the initiation of apoptosis. The p-eIF2α-induced decrease in translation reduces the protein load in the lumen of the ER, while adaptive gene expression induced by ATF4 involves amino acid metabolism and protein homeostasis. These two signal regulation mechanisms help cells cope with ERS (Quiros et al., 2017). The PERK-ATF4-CHOP signaling pathway is

involved in neuronal apoptosis (Gu et al., 2020). ATF4 can promote the expression of some genes that are conducive to cell survival, and this coordinated prosurvival response is called the integrated stress response (Young and Wek, 2016; Hetz and Saxena, 2017).

Studies have also shown that phosphorylated PERK/eIF2 $\alpha$  is important for activation of ERS-related autophagy. Once eIF2 $\alpha$  is phosphorylated, it can promote the conversion of microtubule-associated protein 1A light chain 3 (LC3)-I to LC3-II (Hoyer-Hansen and Jaattela, 2007). During autophagy, LC3-I is transformed into LC3-II by cleavage of amino acids at the hydroxyl end, which activates the autophagy system (Gao et al., 2013). In addition, a recent study showed that PERK signaling participates in oxygen-glucose deprivation/reoxygenation (OGD/R)-induced microglial activation and neuroinflammatory responses following PTP1B inhibitor treatment. After CIRI, the PERK pathway is activated, the expression of ERS marker proteins is increased, and autophagy is activated. In microglia, a PTP1B inhibitor alleviates the deleterious effects of CIRI and plays a neuroprotective role by inhibiting autophagy in rats (Zhu et al., 2021).

Protein kinase R-like endoplasmic reticulum kinase can not only regulate eIF2 $\alpha$  but also phosphorylate nuclear factor erythroid 2-related factor 2 (NRF2). NRF2 is involved in the regulation of the cellular stress response and can induce the expression of antioxidant enzymes (Oh and Jun, 2017). Under physiological conditions, NRF2 binds to its negative regulator Kelch-like ECH-associated protein 1 (Keap1) (Hu L. et al., 2018). It is phosphorylated by PERK and dissociates from Keap1 under oxidative stress conditions before translocating into the nucleus where it activates the expression of NRF2-dependent antioxidant genes (Cullinan et al., 2003; Waza et al., 2018). Ultimately, it can reduce apoptosis during ERS and maintain the redox balance in cells (Cullinan and Diehl, 2004). Oxidative stress leads to NRF2 activation, which in turn inhibits the increase in ROS levels and ameliorates cellular damage caused by oxidative stress (Ramezani et al., 2018). Some studies have shown that the levels of NRF2 and HO-1 decrease significantly, indicating that NRF2/HO-1 signaling is involved in CIRI (Tian et al., 2020). Therefore, NRF2 is an important signaling factor related to the PERK signaling pathway, and its downstream signaling pathway should be further studied.

## The ATF6 Pathway

In mammals, ATF6 is an n-type membrane protein located in the ER (Haze et al., 1999). Its C-terminal end, which is inserted in the ER lumen, contains a GRP78-binding site and Golgi localization signal. The cytoplasmic N-terminal region contains basic leucine zipper (bZIP) and DNA transcriptional activity domains. ATF-6 has two configurations: ATF-6 $\alpha$  and ATF-6 $\beta$  (Zhu et al., 1997). The former plays a leading role in ERS. When ERS occurs in cells, ATF6 is transported into the Golgi apparatus via the Golgi localization signal. Within the Golgi, ATF6 is cleaved by the serine proteases site 1 protease (S1P) and site 2 protease (S2P) to release the cytoplasmic fragment ATF6f, resulting in the activation of the protein (Ye et al., 2000). Activated sATF6 is a transcription factor containing a bZIP domain. After sATF6

leaves the Golgi apparatus and enters the nucleus, it combines with *cis*-acting endoplasmic reticulum stress response elements (ERSEs) in the nucleus to regulate and activate the expression of BiP, GRP94, and calnexin (Yoshida et al., 2001b; Wu et al., 2007; Yamamoto et al., 2007). In addition, ATF6 can stimulate the expression of CHOP and promote initiation of the UPR (Patwardhan et al., 2016).

Many studies have shown that an increase in ATF6 expression can regulate ERS and reduce cellular damage. A recent study showed that ischemic preconditioning can induce ATF6 expression, reduce ERS, and ultimately exert a neuroprotective effect (Lehotsky et al., 2009). Some studies have shown that the neurological function score of sATF6 knock-in mice is significantly increased, suggesting that activation of the ATF6 pathway can improve the outcome of CIRI (Yu et al., 2017). In addition, because the active form of ATF6 is rapidly degraded, the precursor of ATF6 can be used as a marker of early ERS (Thuerauf et al., 2002). Research has shown that ATF6 $\alpha$  knockout mice exhibit more severe functional damage and a worse prognosis after myocardial ischemia or cerebral ischemia, indicating that ATF6 deficiency increases organ damage upon exposure to ischemia (Yoshikawa et al., 2015). Recent studies have shown that activation of the ATF6 signaling pathway in the brain after cardiac arrest is conducive to alleviating brain function impairment (Shen et al., 2021). Furthermore, a study showed that decreasing the cleavage of ATF6 in the Golgi apparatus can result in neuroprotection (Gharibani et al., 2013). It was also found that in a cerebral ischemia animal and reoxygenation cell models, taurine can inhibit the activation of ATF6, inhibit ERS, reduce cell apoptosis, and exert a neuroprotective effect after cerebral ischemia/reperfusion (Gharibani et al., 2013). Further molecular biology experiments are needed to validate the regulatory mechanism of ATF6 and its potential for CIRI treatment.

## The IRE1 Pathway

Inositol-requiring enzyme 1 $\alpha$  is a type 1 transmembrane protein that contains an N-terminal domain, cytoplasmic C-terminal (RNase) domain, and serine/threonine kinase domain (Liu et al., 2003; Lee et al., 2008). There are two IRE1 isoforms in mammals: IRE1 $\alpha$ , which is ubiquitously expressed, and IRE1 $\beta$ , which is mainly expressed in the gastrointestinal tract and pulmonary mucosal epithelium (Martino et al., 2013). Both of these isoforms are involved in signal transduction in ERS.

During ERS, unfolded proteins that accumulate in the ER bind GRP78. GRP78 then dissociates from IRE1, causing homodimerization and autophosphorylation of IRE1, which subsequently causes the activation of its RNase domain (Korennykh et al., 2009). Activated IRE1 can cleave XBP1 precursor mRNA, resulting in the generation of active spliced XBP1 (sXBP1) (Yoshida et al., 2001a), which is a bZIP transcription factor (Liou et al., 1990). After entering the nucleus, sXBP1 mRNA is translated to generate a mature protein which can promote the expression of protein folding-related genes and ultimately alleviate ERS (Travers et al., 2000; Chen and Brandizzi, 2013; Hetz and Saxena, 2017). Studies have shown that XBP1 is related to ER-mediated degradation of many components, and that its degradation ability is dependent on

IRE1 (Yoshida et al., 2003). However, it should be noted that sXBP1 mRNA is quickly cleared from cells and is replaced by the uncleaved form (Marciniak et al., 2004). Studies have shown that under pathological conditions *in vitro*, ERS can cause complete cleavage of XBP1 mRNA. However, there have only been a few studies on this phenomenon. Therefore, care should be taken when performing quantitative analysis (Hosoi et al., 2010). sXBP1 is a key transcription factor in the regulation of cell survival (Hetz and Saxena, 2017). Persistent ERS results in sXBP1-mediated initiation of apoptosis via induction of CHOP expression (Dai et al., 2014).

Regarding UPR activation, celecoxib reduces ERS by promoting the IRE1-UPR pathway and ultimately exerts a neuroprotective effect (Santos-Galdiano et al., 2021). In addition, IRE1 contributes to ERS-mediated apoptosis through the c-Jun N-terminal kinase (JNK) and caspase-12 pathways. IRE1 can combine with TRAF2 to activate apoptosis signal-regulating kinase-1 (ASK1) and ultimately activate the JNK pathway and caspase-12, causing apoptosis (Nishitoh et al., 2002; Schonthal, 2013). It has been reported that taurine can significantly inhibit the IRE1 pathway and reduce apoptosis in animals and cell models (Gharibani et al., 2013). In addition, IRE1 $\alpha$  can activate the NF- $\kappa$ B signaling pathway to initiate inflammatory reactions. In particular, the RNase domain of IRE1 $\alpha$  mediates the degradation of a variety of mRNAs and microRNAs through a process called regulated IRE1-dependent decay (RIDD) and regulates pathological processes such as inflammation and apoptosis (Ghosh et al., 2014; Feldman et al., 2016; Wong et al., 2018).

## ENDOPLASMIC RETICULUM STRESS AND CELL APOPTOSIS

Apoptosis is an important cell death pathway. Apoptosis is involved in the pathophysiological process of CIRI (Uzdensky, 2019). However, the process of neuronal apoptosis is complex. Recent studies have shown that three signal transduction pathways are involved in the regulation of apoptosis: the ERS pathway, the mitochondrial pathway, and the death receptor pathway (Ten and Galkin, 2019; Datta et al., 2020). ERS plays a vital role in stroke-induced neuronal apoptosis (Rao et al., 2004; Rozpedek et al., 2017; Mohammed et al., 2020). When cells cannot overcome external stress conditions, the UPR disrupts intracellular homeostasis and promotes apoptosis through CHOP/growth arrest, DNA damage-inducible gene 153 (GADD153), caspase-12, and JNK (Figure 2; Xin et al., 2014; Hetz et al., 2015; Hetz and Papa, 2018).

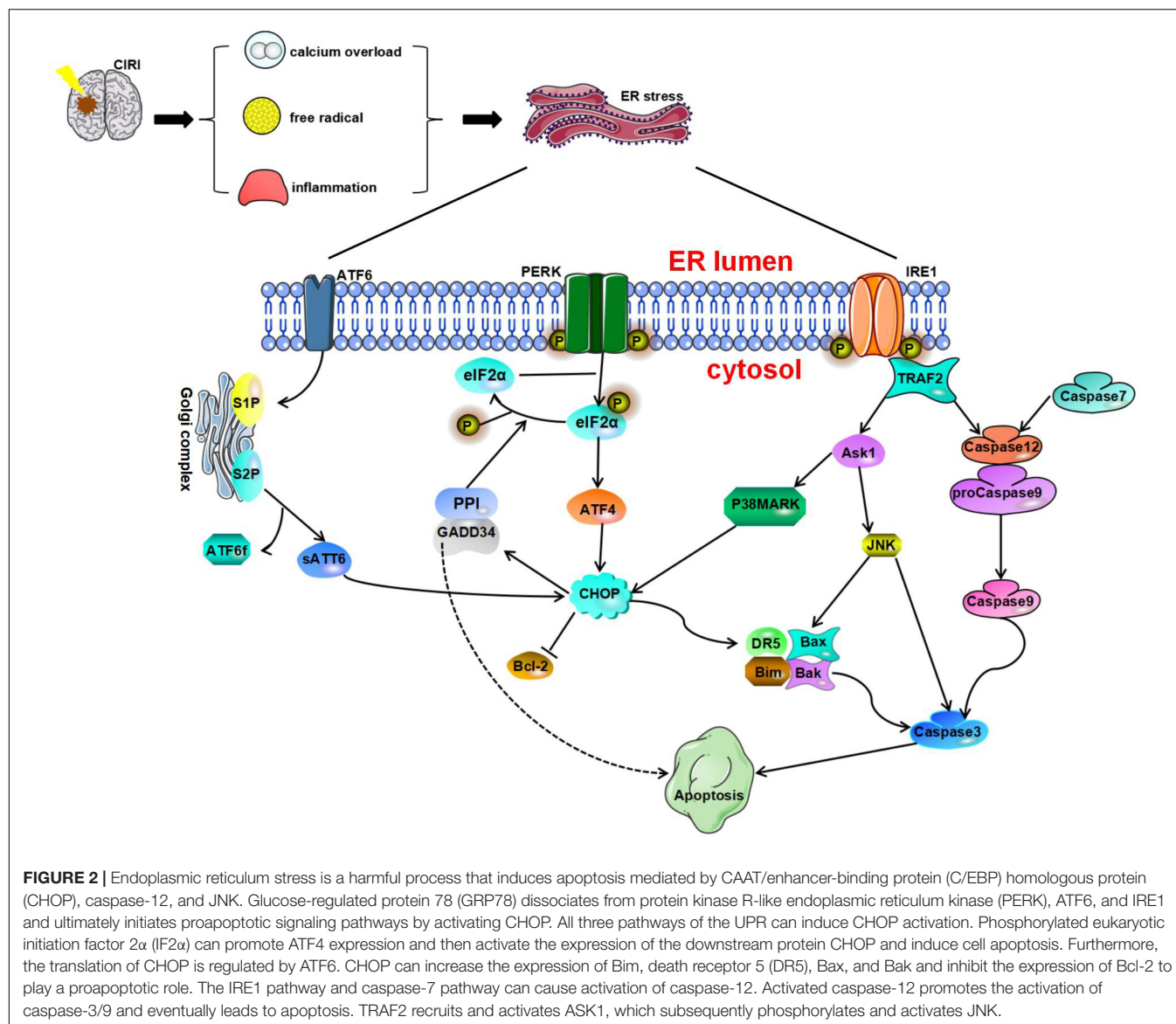
### CHOP Signaling

CAAT/enhancer-binding protein (C/EBP) homologous protein, also known as GADD153, is a member of the C/EBP transcription factor family, which some studies have proven to be an important executor of ERS-induced apoptosis (Huang et al., 2017; Hu H. et al., 2018).

Studies have shown that CHOP is involved in apoptosis in the nervous system (Wang et al., 2013; Xin et al., 2014). The

process through which CHOP causes apoptosis is described as follows. After GRP78 dissociates from PERK, ATF6, and IRE1, it activates CHOP and the proapoptotic signaling pathway. All three pathways of the UPR can induce CHOP activation. After ERS, ATF4, cleaved ATF6 $\alpha$ , and XBP1 undergo nuclear translocation, resulting in the rapid and significant upregulation of CHOP expression (Yang et al., 2017), phosphorylated eIF2 $\alpha$  can promote the expression of the transcription factor ATF4. Under stress conditions, the ATF4 signaling pathway can regulate redox reactions, amino acid metabolism, autophagy, and apoptosis. During irreversible cell stress, ATF4 can also activate the expression of the downstream protein CHOP and initiate cell apoptosis. Studies have shown that canopy FGF signaling regulator 2 (CNPY2) is involved in the regulation of ERS (Hong et al., 2017). During ERS, the binding partner of CNPY2 changes from GRP78 to PERK, resulting in activation of the PERK-CHOP pathway and promotion of apoptosis (Urrea and Hetz, 2017). Inhibition of the CNPY2 signaling pathway can block neuronal apoptosis induced by CIRI, leading to neuroprotection (Zhao et al., 2021). In addition, a study showed that the transcription of CHOP is regulated by ATF6 (Yoshida et al., 2000). Although moderate ERS helps promote the proper folding and modification of problem proteins, excessive or prolonged ERS may lead to activation of CHOP and caspase-3 signaling and promote apoptosis (Addinsall et al., 2018). Studies have shown that CHOP can increase the expression of the proapoptotic protein Bim and inhibit the expression of the antiapoptotic protein Bcl-2 to play a proapoptotic role (McCullough et al., 2001; Puthalakath et al., 2007; Logue et al., 2013). In a study on CIRI, Tajiri et al. (2004) found that the number of apoptotic neurons is significantly reduced in CHOP knockout mice and that CHOP is involved in the regulation of apoptosis and the expression of antiapoptotic Bcl-2 protein family members. Furthermore, CHOP can induce the expression of death receptor 5 (DR5), which makes cells more sensitive to ER-induced apoptosis, ultimately promoting apoptosis (Yamaguchi and Wang, 2004). Furthermore, after cerebral ischemia, Bax, BAD, and Bak can translocate from the cytoplasm to the outer mitochondrial membrane, resulting in the release of Cyt-c and activation of Caspase-3, resulting in apoptosis (Broughton et al., 2009). Another target gene of CHOP is GADD34. Induction of GADD34 expression can inhibit the downstream PERK signaling pathway. In addition, GADD34 is essential for regulation of protein synthesis during ERS (Walter and Ron, 2011) and can regulate the phosphorylation of eIF2 $\alpha$  (Marciniak et al., 2004).

The expression of CHOP during CIRI may depend on the state of the cell and the intensity of ischemia (Osada et al., 2010). CHOP mainly promotes apoptosis during the early stage of reperfusion (Tajiri et al., 2004). A study showed that CHOP protein expression is increased 3 h after cerebral ischemia/reperfusion, peaks at 24 h, and begins to decrease at 48 h, which is consistent with the timeline of neuronal apoptosis. Furthermore,  $\alpha$ -difluoromethylornithine (DFMO) treatment can inhibit ERS by inhibiting the expression of CHOP and exert a neuroprotective effect after ischemia/reperfusion (Ding and Ba, 2015). These results prove that drugs that regulate the expression



of CHOP can affect the prognosis of CIRI and that CHOP is a potential target for the treatment of CIRI.

## Caspase-12 Signaling

Caspase-12 is a member of the IL-1 $\beta$ -converting enzyme (ICE) caspase subfamily. It is an important regulator of apoptosis and inflammation (García de la Cadena and Massieu, 2016). Caspase-12 usually negatively regulates the inflammatory response. It can inhibit the activation of caspase-1 in the inflammasome and regulate the expression of IL-1 $\beta$  and IL-18. Caspase-12 mRNA can be found in almost all tissues in mice. Under normal physiological conditions, TRAF2 forms a stable complex with procaspase-12. However, under stresses conditions, caspase-12 dissociates from TRAF2 (Yoneda et al., 2001).

It has been found that after alleviation of ischemia in tissues or cells, the levels of CHOP, Bax, activated caspase-12, and caspase-3 increase significantly, while the expression of Bcl-2 decreases

(Guo et al., 2021). To date, three main pathways that can activate caspase-12 have been identified: the IRE1 pathway, the m-calpain pathway, and the caspase-7 pathway. IRE1 $\alpha$  can trigger caspase-12 activation, while inactive pro-caspase-12 dissociates from the ER membrane and is then cleaved to trigger apoptosis (Sano and Reed, 2013; Yao et al., 2018). On the other hand, caspase-12 can be cleaved by other proteases, such as calpain and caspase-7 (Martinez et al., 2010; de la Cadena et al., 2014). In addition, in human neuroblastoma SK-N-SH cells incubated with thapsigargin (Tg) or A $\beta$ , calpain inhibitors block the cleavage of caspase-12, indicating that calpain can reduce the expression level of caspase-12 (Matsuzaki et al., 2010). After ERS, caspase-7 translocates to the surface of the ER, forms a complex with caspase-12 and GRP78 on the surface of the ER, and mediates the cleavage of caspase-12 (Rao et al., 2001). Further studies have shown that activated caspase-12 is released into the cytoplasm and induces the activation of caspase-3/9 (Morishima et al., 2002;

Datta et al., 2018; Rong et al., 2020). Studies have also shown that cells lacking caspase-12 are resistant to apoptosis elicited by ERS inducers such as tunicamycin (Tm), Tg, and brefeldin A (BFA) (Nakagawa et al., 2000). Shibata et al. reported that caspase-12 is cleaved from 5 to 23 h after reperfusion following 1 h of ischemia in transient middle cerebral artery occlusion (tMCAO) model mice (Shibata et al., 2003). It has also been shown that the expression of PERK and caspase-12 in hippocampal neurons increases rapidly under glucose deprivation. This suggests that glucose deprivation alone can lead to caspase-12-dependent neuronal apoptosis (de la Cadena et al., 2014). Some researchers have suggested that caspase-12 can promote the apoptosis of neuronal cells, mainly during continuous aggravation of reperfusion (Zhu et al., 2012). Selenoprotein K (SELENOK) gene knockout can significantly induce ERS and lead to neuronal apoptosis (Jia S. Z. et al., 2021). However, due to the low proteolytic activity of SELENOK and the lack of related studies, the role of SELENOK in ischemia-induced apoptosis is still controversial (García de la Cadena and Massieu, 2016).

### c-Jun N-Terminal Kinase Signaling

c-Jun N-terminal kinase plays an important role in the stress response, is involved in neuronal oxidative stress injury, and can mediate neuronal apoptosis (Ji et al., 2017). Like the CHOP and caspase-12 pathways, the JNK signaling pathway, which is activated during ERS, is considered an apoptosis-promoting pathway.

Phosphorylation of IRE1 in the cytoplasm stimulates the activation of TRAF2, which in turn phosphorylates and activates ASK1 and ultimately activates JNK (Cao and Kaufman, 2012). In addition, nervous system inflammation, ischemia, oxidative stress, and other stimuli can activate the expression of JNK. JNK regulates apoptosis by phosphorylating Stat3, p53, and Bcl-2 (Chen et al., 2003). JNK promotes Cyt c release and regulates caspase activation. Activation of the JNK signaling pathway during CIRI can lead to apoptosis of neuronal cells. It has been found that signals generated by the cytoplasmic kinase domain of IRE1 can regulate the JNK signaling pathway and may affect the regulation of apoptosis (Chakrabarti et al., 2011). Activated JNK can promote the expression of caspase-3 and other apoptosis-related genes and further initiate death receptor or mitochondrial pathways to induce apoptosis (Zhao et al., 2015). One study found that overexpression of aldehyde dehydrogenase 2 (ALDH2) can regulate JNK and caspase-3 activation and transcription in a model of cerebral ischemia, resulting in a significant reduction in mitochondrial-related apoptosis. These results suggest that ALDH2 may affect JNK-mediated mitochondrial apoptosis in ischemic stroke (Xia et al., 2020). It has been found that ischemic brain injury is often accompanied by increased apoptosis of nerve cells and that this cell apoptosis is obviously related to continuous activation of the IRE1 $\alpha$ /TRAF2, JNK1/2, and p38 MAPK signaling pathways (Chen et al., 2015).

Drugs and compounds that regulate the JNK pathway, which reduce apoptosis and exerts neuroprotective effects, have been explored in several studies. In the early stage of CIRI, JNK inhibitors can reduce ERS and apoptosis and alleviate CIRI

(Zhu et al., 2012). SP600125 is an effective JNK inhibitor that can ameliorate brain injury after CIRI (Khan et al., 2020). Traditional Chinese medicine plays a unique role in the treatment of cerebral ischemia injury, but the components of traditional Chinese medicine compounds are complex. Thus, some studies have focused on the effects of traditional Chinese medicine extracts. A recent study showed that senkyunolide I (SEI), an active constituent of the traditional Chinese medicine *Ligusticum chuanxiong* Hort. exerts a neuroprotective effect against glutamate-induced cell death. In addition, SEI can significantly inhibit the JNK/caspase-3 signaling pathway (Wang et al., 2021). JLX001 is a novel compound with a structure similar to that of cyclovirobuxine D (CVB-D). Some studies have proven that JLX001 exerts a neuroprotective effect against focal cerebral ischemic injury. Some researchers have studied the protective effects of JLX001 against CIRI and its antiapoptotic effects. The results showed that JLX001 can reduce neuronal apoptosis by inhibiting the JNK signaling pathway, thus exerting a protective effect against ischemia/reperfusion injury (Zhou et al., 2019). Another study showed that butylphthalide exerts an antiapoptotic effect after cerebral ischemic injury and that its effect is related to the regulation of the JNK/p38 MAPK signaling pathway (Bu et al., 2021).

## OXIDATIVE STRESS AND CEREBRAL ISCHEMIA/REPERFUSION INJURY

Oxidative stress is characterized by an imbalance between oxidation and antioxidation. Under physiological conditions, ROS and RNS are involved in regulating various redox processes in cells and maintaining homeostasis of the intracellular environment. An increase in free radical levels is the main cause of oxidative stress (Valko et al., 2007). Some exogenous agents can stimulate the production of intracellular free radicals, such as ROS. When the level of ROS exceeds the antioxidant capacity of the cell, oxidative stress impairs intracellular protein synthesis and ER homeostasis and affects the survival of cells (Zeeshan et al., 2016). Excess Ca<sup>2+</sup> is a source of free radicals in cells. An increase in the Ca<sup>2+</sup> concentration in neuronal cells leads to activation of neuronal nitric oxide synthase (nNOS), which causes an oxidative stress response, cell homeostasis disruption, or cell injury. Another source of oxygen free radicals is mitochondria (Kalogeris et al., 2014). After cerebral ischemia/reperfusion, activated microglia can promote the production of ROS (Zrzavy et al., 2018). Neurons have high metabolic activity, consume a large amount of oxygen, express relatively low levels of endogenous antioxidant enzymes (such as catalase), and are particularly sensitive to oxidative stress. Thus, oxidative stress can easily cause neuronal cell damage.

Although the pathophysiological mechanism of ischemic stroke is complex, oxidative stress may play a key role in injury caused by ischemic stroke (Manzanero et al., 2013). Moreover, an increasing number of researchers are paying attention to the mechanism by which oxidative stress leads to brain damage after CIRI (Lorenzano et al., 2018). As described earlier, when the levels of ROS and RNS exceed the capacity of

the intracellular antioxidant system, oxidative stress and even cell damage occur. At low levels, ROS can act as signaling molecules in a variety of cellular processes (Scherz-Shouval and Elazar, 2007; Weidinger and Kozlov, 2015). ROS play a key role in the physiological regulation of metabolism and cell survival (Vicente-Gutierrez et al., 2019). However, when the level of ROS exceeds the capacity of the antioxidant and repair systems, ROS can oxidize various intracellular molecules or components, including lipids, DNA, proteins, and mitochondria, causing cell damage. Excessive production of ROS is considered an important mechanism underlying neuronal injury in the brain and impairment of nervous system function during CIRI (Ding et al., 2014). Excessive production of ROS affects the homeostasis of the intracellular environment, damages the normal structure of cells, and affects cell function, ultimately leading to cell necrosis and apoptosis (Cobley et al., 2018). These findings provide a direction for the development of treatments for ischemic stroke. Particularly, some researchers consider redox homeostasis maintenance a method for ischemic stroke treatment.

During reperfusion, the recovery of cerebral blood flow increases the amount of oxygen and nutrients supplied to brain tissue, which is very important for improving cell survival. However, this oxygen may also be used by pro-oxidant enzymes and mitochondria to produce excessive ROS in neuronal tissue, thus contributing to new and exacerbated tissue damage (Chen et al., 2011). This further proves that oxidative stress plays an important role in cerebral ischemic injury. Other studies have shown that oxidative stress can induce the release of Cyt c, leading to mitochondrial dysfunction, alterations in cell energy sources, and, ultimately, apoptosis (Chen et al., 2011). Regarding the specific mechanism, it has been found that cerebral ischemia leads to depolarization of the mitochondrial membrane potential ( $\Delta\Psi_m$ ), a reduction in ATP production, extracellular calcium overload, and the release of Cyt c, eventually leading to neuronal death (Liu et al., 2018b; Salehpour et al., 2019). During cerebral ischemia/reperfusion, a large amount of ROS is produced in mitochondria, and these ROS are transported to the outer mitochondrial membrane by Bcl-2 and the proapoptotic protein Bax. These then polymerize to form a membrane channel, which promotes the release of Cyt c from mitochondria into the cytoplasm. Cyt c released into the cytoplasm binds Apaf-1, combines with caspase-9 to form a complex, and finally activates caspase-3. Activated caspase-3 can cleave many nuclear DNA repair enzymes, preventing the repair of nuclear DNA damage during cerebral ischemia and causing apoptosis. CIRI causes mitochondrial edema and fragmentation, further inhibits the synthesis of ATP, and increases the production of ROS, directly leading to necrotic cell death. It has been found that at physiological concentrations, ROS coordinate with the antioxidant system *in vivo* and maintain cell function and the redox state. However, at high concentrations, ROS can inhibit the body's antioxidant defense system (Dasuri et al., 2013). Therefore, after a large amount of ROS passes through the ER membrane, which contains a large amount of lipids, ER function may be further impaired. In addition, oxidative stress can promote the formation of abnormal sulfur bonds, cause the production

of a large number of abnormal intermediates, and inhibit the degradation of misfolded proteins.

Oxidative stress and inflammation interact during cerebral ischemia. Adaptive protection of the body during cerebral ischemia stimulates aseptic inflammation in the ischemic area. However, during reperfusion, ROS and blood-derived anti-inflammatory factors enter the ischemic tissue and the surrounding area, aggravating the inflammatory reaction. Furthermore, studies have shown that the UPR can trigger inflammation through its interaction with NF- $\kappa$ B. In turn, inflammation aggravates dysfunction of the internal environment, which can further aggravate ERS (Chaudhari et al., 2014). If this inflammatory response is not alleviated, various factors can trigger the apoptosis pathway mediated by the ER and mitochondria; that is, they can activate caspase-1 and caspase-9, further activate caspase-3 and deoxyribonucleases, induce DNA breaks, activate caspase-12 on the ER, and ultimately mediate apoptosis (Raturi and Simmen, 2013; Ye et al., 2013). Excess ROS may also damage endothelial cells (ECs) and degrade tight junction (TJ) proteins, greatly increasing the permeability of the blood-brain barrier (BBB). As a result, exogenous macromolecules can easily cross the BBB and enter brain tissue, further aggravating brain tissue injury and affecting neuronal function (Zhang et al., 2017). Ischemia/reperfusion facilitates the inflammatory response mediated by oxidative stress in ECs and promotes the recruitment and infiltration of peripheral immune cells into the ischemic area. The accumulation of immune cells and proinflammatory cytokines further promotes BBB disruption and aggravates brain injury (Jin et al., 2019).

Increasing evidence indicates that strategies that eliminate excess free radicals are beneficial in some diseases. Because oxidative stress is the key factor in BBB disruption and neuroinflammation, reducing the production of ROS in cells is a potential strategy for treating cerebral ischemia. Studies have found that some drugs, such as hesperidin, apigenin, and diosmin, can reduce the production of ROS, alleviate brain edema, decrease leukocyte aggregation in the ischemic area, and exert a protective effect against reperfusion injury (Mastantuono et al., 2015). Peroxiredoxin 4 (Prx4), a member of the antioxidant enzyme family (Prx1–6), is an efficient  $H_2O_2$  scavenger. Within the ER, Prx4 can effectively eliminate peroxides (Zhu et al., 2014). Antioxidants can inhibit the production of intracellular ROS, attenuate damage to the BBB, and ameliorate brain injury (Zhang et al., 2017). Therefore, Prx4 may protect neuron function and alleviate CIRI by protecting EC function, reducing BBB damage, and regulating the inflammatory response (Yang et al., 2021). Mitochondria are the main organelles involved in regulation of cellular ROS production (Kausar et al., 2018). In line with this, studies have shown that natural and synthetic polyphenols increase the expression of antioxidant enzymes and cell protective proteins, reduce oxidative stress, inhibit the cellular inflammatory response, and protect cell function (Duong et al., 2014). In addition, these compounds can enhance mitochondrial function and biogenesis (Chen et al., 2019).

Endoplasmic reticulum stress and oxidative stress interact closely. An increase in the amount of unfolded proteins in the lumen of the ER can lead to the release of a large amount of calcium from the ER, and entry of calcium into mitochondria can impair the function of mitochondria, lead to the production of excessive ROS, and promote oxidative stress (Zhang et al., 2016). Furthermore, oxidative stress is an important cause of ERS (Nakka et al., 2016). When cells undergo oxidative stress, the redox balance of the ER is disruption, leading to impairment of ER function and ERS. Therefore, ERS and oxidative stress are closely related and should not be studied in isolation. We look forward to new research on their interaction.

## CROSS-TALK BETWEEN ENDOPLASMIC RETICULUM STRESS AND MITOCHONDRIA

The mitochondria generates ATP, contributes to  $\text{Ca}^{2+}$  homeostasis, and regulation of ROS production. Mitochondrial dysfunction can impair cell energy production and cause oxidative stress, cellular injury, or apoptosis. Furthermore, mitochondrial dysfunction is an important factor in CIRI. In ischemic stroke, local cerebral blood flow is blocked, the supply of nutrients and oxygen is reduced, and the production of ATP is impaired, resulting in cell death. Mitochondrial dysfunction impairs energy generation, increases ROS production, and stimulates Cyt c release into the cytosol (Giorgi et al., 2018). Cells respond to environmental changes through autophagy. As a defense mechanism, autophagy can remove damaged organelles and metabolites in cells. Mitophagy is a selective form of macroautophagy. Its main function is to eliminate superfluous or damaged mitochondria and maintain normal cell function. In recent years, studies have shown that mitophagy can alleviate CIRI and play a neuroprotective role through a variety of mechanisms. However, the role of mitophagy in CIRI remains controversial. Some experts believe that excessive mitophagy can lead to cell death.

The ER is structurally and functionally coupled to mitochondria. In the axons of rodents, approximately 5% of the mitochondrial surface contacts the ER, forming an interconnected network which is conducive to the direct transport of  $\text{Ca}^{2+}$  from the ER to mitochondria (Wu et al., 2017b). The endoplasmic reticulum-mitochondria encounter structure (ERMES) forms a junction between the mitochondria and the ER, which is involved in maintaining the morphological structure and function of the ER and mitochondria. Four ERMS proteins have been found in yeast, including the ER-anchored protein Mmm1 and three mitochondrial-related proteins, i.e., Mdm10, Mdm12, and Mdm34. Their functions are related to mitochondrial morphology and protein production (Stroud et al., 2011). In addition, the ER and mitochondria are both tubular organelles with dynamic characteristics. Thus, there are many contact points between them, and they interact to form regional membranes, namely, MAMs (Giacomello et al., 2020). MAMs are rich in glycosphingolipid-enriched microdomains (GEMs), which are structures that control  $\text{Ca}^{2+}$

flow between the ER and mitochondria. In addition, MAMs can regulate lipid metabolism and the inflammatory response (Raturi and Simmen, 2013; Marchi et al., 2014). Inositol 1,4,5-trisphosphate receptors (IP3Rs) are the principal  $\text{Ca}^{2+}$  channels that regulate  $\text{Ca}^{2+}$  flux in these regions (Ahumada-Castro et al., 2021). Regarding the transfer of  $\text{Ca}^{2+}$ , it has been found that the voltage-dependent anion channels (VDACs) help  $\text{Ca}^{2+}$  released from the ER enter mitochondria (Csordas et al., 2018). IP3R is involved in mediating the release of  $\text{Ca}^{2+}$  into mitochondria, where  $\text{Ca}^{2+}$  regulates the activity of several enzymes and transporters.

There is also functional coupling between the ER and mitochondria, and they interact and depend on each other (Giorgi et al., 2009). ATP produced by mitochondrial oxidative phosphorylation is the energy source for correct folding of ER proteins. In addition, lipids produced during the folding of ER proteins are the material basis for the stability of the mitochondrial membrane. As the storage site for neutral lipids, lipid droplets (LDs) play a central role in FA homeostasis. LDs mainly contact the ER, but also contact mitochondria, peroxisomes, and lysosomes (Valm et al., 2017; Shai et al., 2018). Contacts between LDs and these organelles contribute to the maintenance of energy balance and cell survival. In addition, LD and organelles interact to form a metabolic center and regulate the biogenesis, growth, and distribution of LDs (Hariri et al., 2018; Ugrankar et al., 2019; Henne et al., 2020). Therefore, abnormal protein translation at LD contacts leads to various metabolic disorders (Herker et al., 2021).

Moreover, studies have shown that  $\text{Ca}^{2+}$  underlies the functional coupling between the ER and mitochondria and that  $\text{Ca}^{2+}$  transport from the ER to mitochondria plays an important role in regulating cell bioenergy, ROS production, autophagy, and apoptosis (Kaufman and Malhotra, 2014). In fact, the regulation of mitochondrial function is closely related to  $\text{Ca}^{2+}$  (Glancy and Balaban, 2012), and mitochondrial energy balance is regulated by  $\text{Ca}^{2+}$  (Bustos et al., 2017). Studies have shown that  $\text{Ca}^{2+}$  levels fluctuate during the cell cycle (Humeau et al., 2018); however, recently, Koval et al. (2019) found that uptake of  $\text{Ca}^{2+}$  in mitochondria through the mitochondrial  $\text{Ca}^{2+}$  uniporter (MCU) is necessary for to the production of energy by mitochondria and the maintenance of cell function. After cerebral ischemia, intracellular  $\text{H}^{+}$  levels increase, and the pH decreases due to anaerobic metabolism. To improve the intracellular environment, the intracellular ion exchange system is activated, resulting in intracellular calcium ion overload. Due to a rapid decrease in ATP content, the function of calcium ion pumps on the ER membrane, such as SERCA pumps, is impaired, and calcium cannot be absorbed. However, calcium ions stored in the ER are released into the cytoplasm, further aggravating intracellular calcium overload, disrupting calcium homeostasis in the ER and triggering or aggravating ERS.

We believe that ERS and mitophagy regulate each other, and that they are involved in the regulation of intracellular homeostasis. At present, the specific mechanisms underlying the interaction between ERS and mitochondria in ischemic stroke are not completely clear. Therefore, further detailed studies are

**TABLE 1** | Several cytokines/compounds exacerbate or mitigate cerebral ischemia/reperfusion injury (CIRI) by regulating endoplasmic reticulum stress (ERS).

Cytokine/compound	Animal/cell model(s)	Model(s)	Intervention	Related protein changes	ERS pathway(s)	Effect	References
Taurine	Adult male Sprague–Dawley rats and primary cortical neurons	tMCAO and OGD/R	Taurine	Reduction in ERS (cleaved ATF6 and p-IRE1 levels) and decrease in apoptosis (caspase-3, CHOP, caspase-12, and BCL-2/Bax levels)	The ATF6 and IRE1 pathways	Protective	Gharibani et al., 2013
DETC-MeSO	Adult male Sprague–Dawley rats and primary neurons	tMCAO and OGD/R	DETC-MeSO	Reduction in ERS (p-PERK, p-eIF2 $\alpha$ , ATF4, JNK, XBP-1, GADD34, and CHOP levels) and decrease in apoptosis (Bak, Bax, Bad, caspase-3, and BCL-2 levels)	The PERK pathway	Protective	Mohammad-Gharibani et al., 2014
Apelin-13	Adult male Wistar rats and primary cortical neurons	tMCAO and OGD/R	Apelin-13	Reduction in ERS (p-eIF2 $\alpha$ , ATF4, CHOP and GRP78 levels) decrease in neuronal apoptosis	The PERK pathway	Protective	Wu F. et al., 2018
Aniline-derived compound (Comp-AD)	Male C57BL/6J mice	tMCAO	Comp-AD	Reduction in ERS (p-PERK and p-IRE1 $\alpha$ levels)	The PERK and IRE1 pathways	Protective	Morihara et al., 2018
Dexmedetomidine	Male Sprague–Dawley rats and primary cortical neurons	tMCAO and OGD/R	Dexmedetomidine	Reduction in ERS (GRP78 and p-PERK levels) and decrease in apoptosis (CHOP, Caspase-11 and cleaved Caspase-3 levels)	The PERK pathway	Protective	Liu et al., 2018a
Homer1a	Primary cortical neurons	OGD/R	Homer1a overexpression	Reduction in ERS (p-PERK/PERK and p-IRE1/IRE1 levels) and alleviation of mitochondria dysfunction	The PERK pathway	Protective	Wei et al., 2019
Vascular endothelial growth factor (VEGF) inhibitor	Adult male BALB/C mice and BEND3 microvascular ECs	tMCAO and OGD/R	Intraperitoneal injection of anti-VEGF 30 min before MCAO and transfection with siRNA-VEGF	Reduction in ERS (XBP-1 and GRP78 levels), decrease in apoptosis (cleaved Caspase-3, CHOP and IRE1 $\alpha$ levels), and decrease in ROS levels	The IRE1 pathway	Harmful	Feng et al., 2019
RTN1-C	N2a cells and primary neurons	OGD/R	RTN1-C knockdown	Reduction in ERS (GRP78, cleaved caspase-12, CHOP and cleaved caspase-3 levels) and decreases in cell viability and apoptosis	The PERK and IRE1 pathways	Harmful	Lin et al., 2019
Urolithin A	Male C57BL/6 mice, N2a cells and primary neurons	tMCAO and OGD/R	Intraperitoneal injection of Uro-A 24 h and 1 h before surgery	Alleviation of ERS (decreases in ATF6 and CHOP levels) and increase in cell viability	The PERK and ATF6 pathways	Protective	Ahsan et al., 2019

(Continued)

TABLE 1 | (Continued)

Cytokine/compound	Animal/cell model(s)	Model(s)	Intervention	Related protein changes	ERS pathway(s)	Effect	References
Icariin (ICA)	Primary microglia and primary cortical neurons	OGD/R	ICA	Alleviation of ERS (decreases in p-IRE1 $\alpha$ , IRE1 $\alpha$ , XBP1u, XBP1 s and Cleaved caspase-3 levels), enhancement of cell viability, and reduction in apoptosis	The IRE1 pathway	Protective	Mo et al., 2020
(-)-Clausenamide	Rat primary cortical neurons	OGD/R	(-)-Clausenamide	Inhibition of ERS (decreases in GRP78, eIF2 $\alpha$ , ATF4 and CHOP levels) and attenuation of apoptosis (decrease in cleaved caspase-3 levels)	The PERK pathway	Protective	Wu et al., 2020
Ginsenoside Rg1	Sprague–Dawley rats and primary cortical neurons	tMCAO and OGD/R	Intraperitoneal injection of Rg1	Alleviation of ERS (decreases in PERK, eIF2 $\alpha$ , ATF4, CHOP and TRB3 levels), inhibition of neuronal apoptosis (decreases in Bax, caspase-3, and BCL-2 levels), and improvement in neuronal viability	The PERK pathway	Protective	Gu et al., 2020
sc-222227	Male Wistar rats	tMCAO	Intracerebroventricular injection of sc-222227	Attenuation of ERS (decreases in p-PERK/total PERK, p-IRE1/total IRE1, and cleaved AFT6/full-length ATF6 levels)	The PERK, IRE1 and ATF6 pathways	Protective	Zhu et al., 2021
Berberine	PC12 cells	OGD/R	Berberine	Decrease in ERS (GRP78, CHOP, Bax and cleaved caspase-3 levels)	The PERK and IRE1 pathways	Protective	Xie et al., 2020
Cilostazol	Male Sprague–Dawley rats and brain microvascular endothelial cells (BMVECs)	tMCAO and OGD/R	Cilostazol	Attenuation of ERS (decreases in p-PERK, PERK, p-IRE1- $\alpha$ , IRE1- $\alpha$ , ATF-6, Bip levels)	The PERK, IRE1 and ATF6 pathways	Protective	Nan et al., 2019
CASP8 and FADD-like apoptosis regulator (CFLAR)	Male C57BL6 mice and primary human brain microvascular endothelial cells (HBMVECs)	tMCAO and OGD/R	CFLAR transfection and knockdown	Alleviation of ERS (decreases in GRP78, PERK, ATF6 and cleaved Caspase-12 levels) and increase in cell viability by CFLAR overexpression and reduction in cell viability by CFLAR silencing	The PERK and ATF6 pathways	Protective	Wang et al., 2019

needed to reveal the complex interaction network between them to provide a theoretical basis for improving CIRI treatments.

## ENDOPLASMIC RETICULUM STRESS PLAYS AN IMPORTANT ROLE IN CEREBRAL ISCHEMIA/REPERFUSION INJURY

Endoplasmic reticulum stress is one of the mechanisms involved in CIRI. It may also play different roles in different stages of CIRI. The initial purpose of ERS is to maintain ER homeostasis, but prolonged or severe ERS may be harmful (Martin-Jimenez et al., 2017). Studies have shown that the UPR can promote the degradation of unfolded or incorrectly folded proteins and protect cells in the early stage of ischemia (Xin et al., 2014). However, upon prolongation of ischemia, the UPR can promote apoptosis. ERS and ERS-related apoptosis have been reported to contribute to ischemia/reperfusion injury (Wu et al., 2017a). The ER is sensitive to ischemia. Particularly, ER homeostasis is disrupted by hypoxia-hypoglycemia beginning in the early ischemic period, and ERS and ERS-related apoptosis are triggered and exacerbated in the reperfusion period (Wu F. et al., 2018). Hence, the mitochondrial pathway, the death receptor pathway, and ERS are generally considered the three primary apoptotic pathways (Gillies and Kuwana, 2014).

It has been demonstrated that regulation of the ERS-related signaling pathway is protective during ischemic stroke. Likewise, the PERK pathway may play a protective role in the early stage of ischemic stroke. Yoshikawa et al. (2015) showed that the ATF6 pathway participates in the early stage of ischemia, promotes the survival of neurons and glial cells, and plays a protective role in ischemic stroke. Gharibani et al. (2013) also proved that XBP1 might play a protective role by increasing the Bcl-2/Bax ratio and downregulating Caspase-3 expression *in vitro* during ischemia/reperfusion injury. These findings provide a theoretical basis for the development of related drugs for the treatment of CIRI via regulation of ERS.

Many studies have shown that the UPR can promote apoptosis in the late stage of ischemic stroke and that CHOP, Caspase-12, and JNK are involved in this process, with CHOP playing a leading role (Lopez-Hernandez et al., 2015; Poone et al., 2015). There is further evidence that the PERK pathway plays a major role in the expression of CHOP (Mei et al., 2013; Xin et al., 2014). A study showed that ERS promotes apoptosis through the PERK/eIF2 $\alpha$ /caspase-3 pathway and that atorvastatin can reduce the protein expression of PERK, the dephosphorylation of eIF2 $\alpha$ , and the activity of caspase-3, thus alleviating CIRI (Yang and Hu, 2015). In particular, excessive ERS can alter the permeability of the BBB (Kwak et al., 2015; Qie et al., 2017; Xu et al., 2019), making it easy for harmful substances to enter brain tissue. A recent study showed that salvinorin A can inhibit the ERS response, inhibit the production of ROS, reduce human brain microvascular endothelial cell (HBMEC) apoptosis, and increase the permeability of the BBB, ultimately alleviating brain injury and protecting neuronal function by activating

the AMPK signaling pathway (Xin et al., 2021). Another study showed that adenosine acts as an endogenous neuroprotector by regulating Ca<sup>2+</sup> homeostasis and glutamate release, reducing excitotoxic cellular damage after cerebral ischemia/reperfusion (Martire et al., 2019).

It has been found that inhibiting ERS can ameliorate CIRI and protect neuronal function (Nakka et al., 2010). Furthermore, studies have shown that hypoxia/reoxygenation (H/R) can induce ERS, increase the expression of ATF6 and GRP78, and ultimately lead to apoptosis. Liquiritin (LQ) treatment can reduce the expression of ATF6 and GRP78, inhibit the ERS pathway, and reduce apoptosis (Li et al., 2021). A study showed that the combination of S-methyl-N,N-diethyldithiocarbamate sulfoxide (DETC-MeSO) and taurine can reduce ERS in the ipsilateral ischemic penumbra; inhibit the ATF6, PERK, and IRE1 pathways; and reduce apoptosis (Gharibani et al., 2015). It has been found that lncRNAs are closely related to human diseases. Furthermore, some studies have shown that lncRNAs are involved in CIRI. It was found that the expression of MALAT1 is significantly increased during reperfusion in an OGD/R cell model. MALAT1 silencing can inhibit ERS and neuronal apoptosis and reduce neuronal damage (Jia Y. et al., 2021). Nucleotide-binding oligomerization domain 1 (NOD1) activates autophagy and ERS, decreasing cell survival. This suggests that NOD1 ultimately leads to CIRI via activation of ERS-mediated autophagy. Conversely, downregulating the expression of NOD1 can inhibit ERS and increase the viability of cortical neurons (Ma et al., 2020). Hyperhomocysteinemia (HHcy) is a well-known risk factor for stroke. The UPR is activated in a diet-induced HHcy model, and vitamin B supplementation alleviates ERS. HHcy exacerbated cellular injury during OGD/R. These effects can be prevented by vitamin B cotreatment (Tripathi et al., 2016).

In recent years, there have been many studies on CIRI, and it has been found that CIRI can be alleviated via regulation of ERS. Some of these studies are listed (Table 1) below. In some studies, cell survival was improved by targeting the apoptosis pathway related to ERS. Therefore, it is necessary to study the role and mechanism of ERS.

## CONCLUDING REMARKS

After stroke, the degree of functional damage to nerve cells depends on the degree of tissue hypoperfusion. In the ischemic core, necrotic cells die within a few minutes. However, around the core necrotic area, there is often an ischemic marginal area, namely, the ischemic penumbra. Delayed cell death occurs through apoptosis. The goal of CIRI treatment is to preserve neurons in the ischemic penumbra and restore neuronal function as much as possible. The pathophysiological process of CIRI can trigger ERS, and ERS contributes to the occurrence and development of CIRI. In the present article, we describe various causes of ERS induced by CIRI, including calcium overload, ROS accumulation, and the inflammatory response. These factors not only lead to secondary brain injury but also hinder the recovery of neurological function after treatment. The degree of ERS determines the fate of cells. In the early stage of cerebral

ischemia/reperfusion, ERS can relieve damage to the ER and promote cell survival by initiating the UPR. In this paper, we also discussed the three signal transduction pathways related to ERS in detail. Excessive ERS leads to apoptosis, aggravates CIRI, and promotes apoptosis through the CHOP, caspase-12, and JNK signaling pathways. We also discussed the regulatory mechanism of these three signaling pathways in detail.

In the future, more in-depth research is needed to elucidate the specific mechanism underlying these phenomena. For example, when does ERS protect against and exacerbate ischemic stroke? Is the role of ERS different in different kinds of cells? In addition to considering the mechanisms and treatment effects in neurons, we should also pay attention to other cell populations, such as microglia. Animal studies have proven that inhibiting ERS can reduce the volume of the cerebral infarct, but how far are ERS inhibitors from clinical application? It is important to further determine the interaction between ERS and apoptosis and between ERS and inflammation to identify effective biological strategies for alleviating ERS and preventing brain injury after stroke. A large number of studies on the potential of alleviating CIRI using strategies targeting the apoptosis and inflammation pathways have been carried out, but more research, drug development, and clinical trials are needed. In addition, there are many studies on the molecular mechanism of ERS, but there have been few studies on the interaction between ERS, oxidative stress, and mitochondrial dysfunction. We believe that the interaction between these processes is worthy of in-depth study. At present, it is believed that interventions targeting ERS, including those that alter the expression of ligands in the ERS pathway and their receptors, can ameliorate CIRI and protect neuronal function. In addition, preventing the occurrence and development of brain cell apoptosis induced by ERS, which can protect neuronal function, may alleviate CIRI. We believe

that solving these problems will open a new chapter in the treatment of ischemic stroke. Targeting ERS to treat CIRI is an important research direction. There are many mechanisms and answers that are not clear. Future research should focus on solving these problems and translating potential treatments from the laboratory to the clinic as soon as possible. ERS-targeted therapeutic strategies for cerebral ischemia are exciting areas of research as there are many unanswered questions. More careful research is needed in the future to translate such therapies from the laboratory to the clinic. In addition, previous studies focused on individual mechanisms underlying cerebral ischemic injury. We believe that these mechanisms occur simultaneously and synergize after cerebral ischemia. Therefore, we should study them as a whole and pay attention to their interaction.

## AUTHOR CONTRIBUTIONS

LW wrote the initial draft. YL contributed to reviewing the literature. XZ and YY prepared the figures and submitted the manuscript. XX and SZ collected the literature and made the tables. ZJ, LG, and HW designed the manuscript and prepared the final version. All authors read and approved the final manuscript.

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## GLOSSARY

ER, endoplasmic reticulum; ER stress, endoplasmic reticulum stress; CIRI, cerebral ischemia-reperfusion injury; rtPA, recombinant tissue plasminogen activator; ICH, intracranial hemorrhage; ROS, reactive oxygen species; RNS, reactive nitrogen species; RNOS, reactive/nitrogen oxide species; UPR, unfolded protein response; EOR, ER over-load response; SREBP, sterol regulatory element binding protein; GRP78, glucose-related protein 78; ERAD, ER-associated degradation; UPS, ubiquitin proteasome system; MAMs, mitochondria-associated endoplasmic reticulum membranes; PM, plasma membrane; GRPs, glucose-regulated proteins; SERCA, sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase; MPT, mitochondrial permeability transition; NOX, NADPH oxidase; XO, xanthine oxidase; MAO, monoamine oxidase; Cyt *c*, cytochrome *c*; MCAO, middle cerebral artery occlusion; IL-1  $\beta$ , interleukin-1  $\beta$ ; IL-6, interleukin-6; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; NF- $\kappa$ B, nuclear factor  $\kappa$ B; eIF2 $\alpha$ , eukaryotic initiation factor 2 $\alpha$ ; I $\kappa$ B, inhibitors of nuclear factor  $\kappa$ B; PGRN, progranulin; NLRP3, NLR family, pyrin domain-containing 3; PERK, protein kinase RNA-like endoplasmic reticulum kinase; IRE-1, inositol-requiring protein 1; ATF6, activating transcription factor 6; GRP78, glucose regulated protein 78; XBP1, X-box binding protein 1; NRF2, nuclear factor erythroid 2-related factor 2; GADD34, growth arrest and DNA damage 34; CHOP, CAAT/enhancer-binding protein (C/EBP) homologous protein; ATF4, activating transcription factor 4; TRB3, tribbles-related protein 3; OGD/R, oxygen-glucose deprivation/reoxygenation; PTP1B, protein tyrosine phosphatase 1B; Keap1, Kelch-like ECH associating protein 1; S1P, site 1 protease; S2P, site 2 protease; bZIP, basic leucine zipper; JNK, c-Jun N-terminal kinase; ASK1, apoptosis signal-regulating kinase-1; TRAF2, tumor necrosis factor receptor-associated factor 2; RIDD, Regulated IRE1-Dependent Decay; DR5, death receptor 5; MPTP, mitochondrial permeability transition pore; OMM, outer mitochondrial membrane; LDs, lipid Droplets; DETC-MeSO, S-Methyl-*N*, *N*-diethyldithiocarbamate sulfoxide; NOD1, nucleotide-binding oligomerization domain 1.



# Salivary Xanthine Oxidase as a Potential Biomarker in Stroke Diagnostics

Mateusz Maciejczyk<sup>1\*</sup>, Miłosz Nesterowicz<sup>2</sup>, Anna Zalewska<sup>3</sup>, Grzegorz Biedrzycki<sup>4</sup>, Piotr Gerreth<sup>5,6</sup>, Katarzyna Hojan<sup>7,8</sup> and Karolina Gerreth<sup>9</sup>

<sup>1</sup> Department of Hygiene, Epidemiology and Ergonomics, Medical University of Białystok, Białystok, Poland, <sup>2</sup> Students Scientific Club "Biochemistry of Civilization Diseases" at the Department of Hygiene, Epidemiology and Ergonomics, Medical University of Białystok, Białystok, Poland, <sup>3</sup> Experimental Dentistry Laboratory, Medical University of Białystok, Białystok, Poland, <sup>4</sup> Hospital Pharmacy, Provincial Hospital in Olsztyn, Olsztyn, Poland, <sup>5</sup> Private Dental Practice, Poznań, Poland, <sup>6</sup> Postgraduate Studies in Scientific Research Methodology, Poznań University of Medical Sciences, Poznań, Poland, <sup>7</sup> Department of Occupational Therapy, Poznań University of Medical Sciences, Poznań, Poland, <sup>8</sup> Department of Rehabilitation, Greater Poland Cancer Centre, Poznań, Poland, <sup>9</sup> Department of Risk Group Dentistry, Chair of Pediatric Dentistry, Poznań University of Medical Sciences, Poznań, Poland

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and Pharmacy, Romania  
Xingping Qin,  
Harvard University, United States

### \*Correspondence:

Mateusz Maciejczyk  
mat.maciejczyk@gmail.com

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Stroke is one of the most common cerebrovascular diseases. Despite significant progress in understanding stroke pathogenesis, cases are still increasing. Thus, laboratory biomarkers of stroke are sought to allow rapid and non-invasive diagnostics. Ischemia-reperfusion injury is an inflammatory process with characteristic cellular changes leading to microvascular disruption. Several studies have shown that hyperactivation of xanthine oxidase (XO) is a major pathogenic factor contributing to brain dysfunction. Given the critical role of XO in stroke complications, this study aimed to evaluate the activity of the enzyme and its metabolic products in the saliva of stroke subjects. Thirty patients in the subacute phase of stroke were included in the study: 15 with hemorrhagic stroke and 15 with ischemic stroke. The control group consisted of 30 healthy subjects similar to the cerebral stroke patients regarding age, gender, and status of the periodontium, dentition, and oral hygiene. The number of individuals was determined *a priori* based on our previous experiment (power of the test = 0.8;  $\alpha = 0.05$ ). The study material was mixed non-stimulated whole saliva (NWS) and stimulated saliva (SWS). We showed that activity, specific activity, and XO output were significantly higher in NWS of ischemic stroke patients than in hemorrhagic stroke and healthy controls. Hydrogen peroxide and uric acid levels were also considerably higher in NWS of ischemic stroke patients. Using receiver operating curve (ROC) analysis, we demonstrated that XO-specific activity in NWS distinguishes ischemic stroke from hemorrhagic stroke (AUC: 0.764) and controls (AUC: 0.973) with very high sensitivity and specificity. Saliva collection is stress-free, requires no specialized medical personnel, and allows continuous monitoring of the patient's condition through non-invasive sampling multiple times per day. Salivary XO also differentiates with high accuracy (100%) and specificity (93.75%) between stroke patients with mild to moderate cognitive decline (AUC = 0.988). Thus, salivary XO assessment may be a potential screening tool for a

comprehensive neuropsychological evaluation. To summarize, our study demonstrates the potential utility of salivary XO in the differential diagnosis of stroke.

**Keywords:** stroke, saliva, xanthine oxidase, diagnostics, biomarkers

## INTRODUCTION

Stroke is one of the most common cerebrovascular diseases. It is a sudden onset of focal or generalized brain dysfunction that lasts more than 24 hours and is caused by vascular disorders related to cerebral blood flow. Oxygen and glucose deprivation in the brain leads to decreased ATP synthesis and impaired synaptic conduction, causing neuronal malfunction and subsequent apoptosis or necrosis (1). The most common stroke causes include atherosclerotic lesions in extracranial and intracranial vessels, cardioembolism, carotid and vertebral artery dissections, hypercoagulable syndromes, and multiple systemic diseases. There are two types of strokes: ischemic (80%) and hemorrhagic (20%). Ischemic stroke is caused by closure/constriction of intracerebral vessels and hemodynamic abnormalities resulting in slowed cerebral flow, whereas hemorrhagic stroke occurs due to blood extravasation within the brain tissue (2). It is estimated that one person dies every 6 seconds due to stroke, which annually accounts for more than 5–6 million people worldwide. Thus, stroke is the third cause of death after heart disease and cancer (3). It is also the most common reason for permanent disability in people over 40 years old, with severe clinical, social, and economic consequences (4).

Many studies have shown that early revascularization treatment significantly improves patient prognosis (5–10). Although imaging studies such as CT, MRI, ultrasonography, arteriography, and echocardiography are the mainstay of the diagnosis, they may not be sufficient in some groups of stroke patients. Indeed, many other diseases have similar symptoms, making the differential diagnosis of stroke include brain tumors, migraine, epileptic seizure, hypoglycemia, hyperglycemia, hyponatremia, hypertensive encephalopathy, and hepatic encephalopathy (11–14). Not all patients are also treated at specialized stroke/neurology centers. Therefore, it is not surprising that rapid and non-invasive biomarkers of the disease are still being sought (15). Their source may be saliva containing numerous substances that pass from the brain into the blood (16–20). It is well known that the blood-brain barrier (BBB) is disrupted in stroke pathophysiology. The main factors damaging the BBB are mechanical failures or hypoxia damaging the cerebrovascular endothelium. These also include increased activity of matrix metalloproteinases (MMPs), enhanced secretion of cytokines, chemokines, and growth factors, and overproduction of reactive oxygen (ROS)/nitrogen (RNS) species by neuronal, glial, and immune cells. The damaged BBB becomes permeable to leukocytes inducing inflammatory processes and promoting the release of brain biomolecules into the blood (2, 21–29). Subsequently, circulating biomarkers can pass into saliva by passive, facilitated, or active diffusion, making saliva highly attractive in laboratory diagnostics. Saliva's

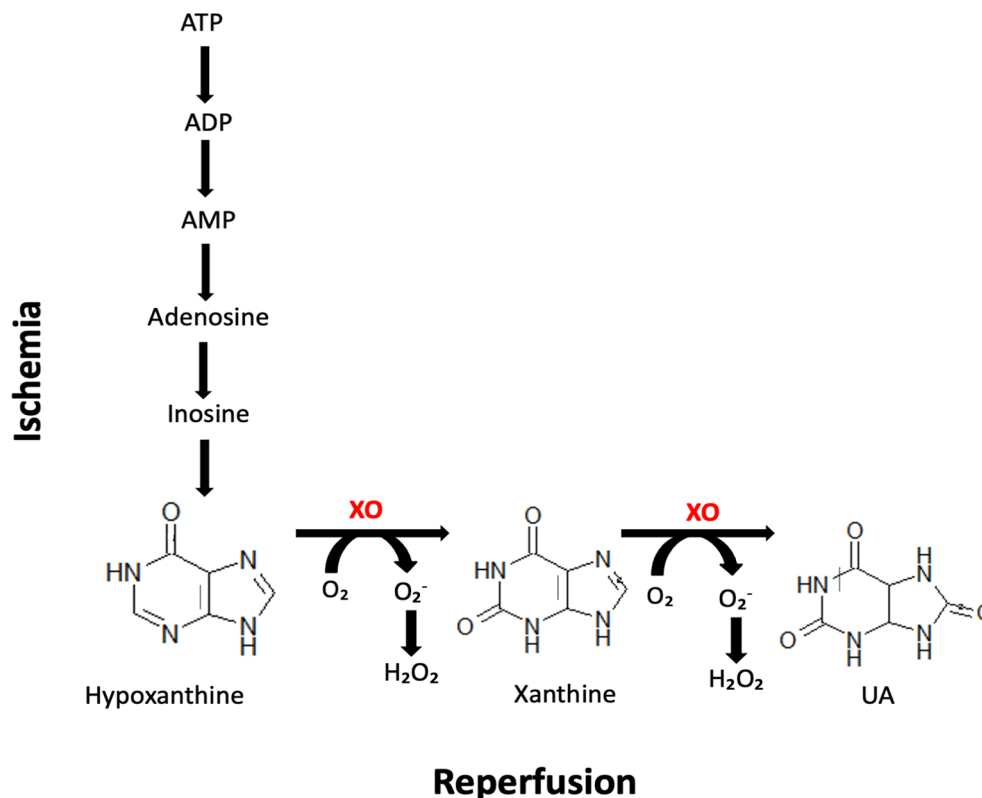
advantages are also evidenced by its easy availability, non-invasive and painless collection, and relatively high durability compared to blood and cerebrospinal fluid (16–18, 20, 30–35).

Recent studies suggest the potential use of saliva in ischemic stroke diagnosing or assessing the stress severity in stroke patients (36–38). However, there is a lack of non-invasive biomarkers to differentiate between different types of strokes. Given the critical role of xanthine oxidase (XO) in ischemic stroke pathomechanism (22, 24, 29, 39–41), we decided to compare the XO activity in saliva of patients with ischemic and hemorrhagic stroke. Ischemia-reperfusion injury is an inflammatory process with characteristic cellular changes leading to microvascular disruption. In ischemic stroke, ROS overproduction by XO hyperactivation is a major pathogenic factor contributing to brain dysfunction. XO catalyzes the conversion of hypoxanthine to xanthine and xanthine to uric acid (UA), generating high amounts of superoxide radicals, hydrogen peroxide ( $H_2O_2$ ), and peroxynitrite (**Figure 1**). Higher formation of ROS/RNS in stroke brain results in neuronal oxidative stress responsible for altering the fluidity of biological membranes, modifying enzyme activity, uncoupling membrane transport, or deregulating membrane potential (24, 26, 29, 42–44). Although previous studies have not confirmed the clinical usefulness of serum/plasma XO in stroke diagnostics (45), evaluation of enzyme activity in saliva may bring new light to this issue. The results of many studies showed that saliva has the highest correlation with the brain, not the blood (46–48). When the BBB is breached, several glial, neuronal, and pericyte biomarkers appear in the blood, which then passes into saliva (22, 49–51). This is caused by the robust vascularization of the salivary glands allowing the efficient exchange of blood-derived components (52, 53). Since saliva can be collected non-invasively multiple times a day, it is a particularly attractive material for diagnosing cerebrovascular diseases (18, 32). Therefore, the present study aimed to compare XO activity and the enzyme products (e.g., hydrogen peroxide and UA) in non-stimulated and stimulated saliva of patients with hemorrhagic and ischemic stroke.

## MATERIAL AND METHODS

### Study Subjects

From June to September 2019, the research was carried out in the health center (Bonifraterskie Centrum Zdrowia) in Piaski-Marysin (Piaski, Poland). At this center, patients with numerous disorders, e.g., brain injury, spinal cord injury, vascular brain damage, polyneuropathy, myelopathy, sclerosis multiplex, surgically treated patients with a brain tumor, and



**FIGURE 1** | Biochemical reactions occurring in the stroke brain during ischemia and reperfusion. Xanthine oxidase (XO) catalyzes the conversion of hypoxanthine to xanthine and xanthine to uric acid (UA), generating high amounts of superoxide radicals and hydrogen peroxide ( $H_2O_2$ ).

those after cerebral stroke are hospitalized. Those individuals come from different provinces of the country.

The participation of each patient in the research was voluntary. According to its criteria, one experienced doctor, a specialist in neurorehabilitation, qualified all the subjects for the research.

Stroke patients in the subacute phase of the disease were included in the study group. All of the individuals were admitted to the neurorehabilitation unit immediately after the acute phase cessation and directly from the hospital. Each patient after the medical assessment was subjected to comprehensive individual and similar rehabilitation. It was established that during the time of the research, 385 patients were hospitalized in the neurorehabilitation ward due to different incidents, with 253 (65.71%) individuals who were stroke survivors. Most cerebral stroke individuals were able to cooperate, communicate, and understand instructions.

Data on the patients' general health status and condition were obtained from their files and referred to gender, age, medications used, medical history, and time since diagnosis of cerebral stroke.

The functional status of the subjects was measured using the following scales:

1. Addenbrooke's Cognitive Examination III (ACE III) for differentiation of patients with and without cognitive impairment (54).
2. The Barthel Index (BI) for measurement of individual's everyday function, particularly performance in activities of daily living (ADL) (55).
3. The Berg Balance Scale (BBS) for determining a patient's ability or inability to safely balance during a series of predetermined tasks (56).
4. The functional independence measure (FIM) explores the person's social, psychological, and physical functioning (57).

Numerous patients (117 individuals - 30.39%) declined to participate in the study, including 34 (8.83%) subjects who did not come for saliva and examination sampling, even though they previously gave informed consent and were reminded from three to four times. Moreover, 48 patients (12.47%) were excluded from the analysis because they were uncooperative, i.e., they could not communicate and give conscious written informed consent for participation in the study. In addition, 3 (0.78%) patients were taken from the center to the other hospital because of deterioration of general health, 7 (1.82%) patients were not able for a sampling of the saliva because of general difficulties in understanding the procedure due to language and/or cognitive deficits, and 14 (3.64%) individuals abandoned the study after non-stimulated saliva sampling due to psychological and/or physiological tiredness.

Therefore, ultimately, 30 (11.86% of cerebral stroke patients, i.e., 7.79% of all hospitalized individuals at the rehabilitation

health center) completed the dental examination and saliva sampling and were considered in the analysis.

Most patients received the same meals at the center divided into a baseline diet for most individuals or a diet for diabetes mellitus patients. All the meals were prepared in the center and distributed to the patients every day at the same time.

## Study Criteria

The inclusion and exclusion criteria of cerebral stroke subjects to the study are presented in **Table 1**.

## Control Group

The control group consisted of 30 generally healthy subjects similar to the cerebral stroke patients (study group) regarding age, gender, and status of the periodontium, dentition, and oral hygiene. This group included individuals reporting for dental examination to the Department of Restorative Dentistry of the Medical University of Białystok (Białystok, Poland) from March to September 2020. All individuals were provided with information concerning the research and gave their informed and written consent. Subjects used a regular and balanced diet (not restricted). They were also recommended to have routine physical activity.

## Sampling of Saliva

The study material was mixed non-stimulated whole saliva (NWS) and stimulated saliva (SWS). The secretion of saliva was stimulated by utilizing 10  $\mu$ L of 2% citric acid applied on the central part of the tongue every 30 seconds. Both types of saliva samples were gathered *via* spitting between 7:30 a.m. and 9:00 a.m. Before the dental

examination, saliva sampling was performed in the health center in Piaski, from June to September 2019, i.e., during summertime, to keep similar weather conditions outside.

Before saliva gathering, patients were informed not to intake any solid and/or liquid food other than clean water at least two hours before saliva sampling. The individuals were also instructed not to have intensive physical activity for the preceding 12 hours and restrain to carry out any oral hygienic procedures (i.e., mouth rinsing, teeth brushing, gum chewing, etc.) (19, 58). All patients were in the subacute phase of stroke. Therefore, they had to take medicines regularly. However, the time from the last dose of any medication was minimally 2 hours before saliva sampling. In contrast, the subjects from the control group were not allowed to take any medication 8 hours before gathering saliva. Before sampling, the oral cavity was rinsed two times with distilled water at room temperature to avoid possible contamination from other sources. The saliva was collected in a separate, private room after a 5-minute adaptation to the environment. The patients were situated in an adjustable chair that was individually adapted to the height of each individual. The subject's head was slightly bent downwards and resting in a convenient position. Patients were asked to limit face and lips movements during the procedure (19, 58). The saliva samples were gathered into a sterile Falcon tube, and the amounts collected during the first minute were ejected. The NWS was accumulated for 10 minutes to avoid the individuals' physiological and/or psychological tiredness, while SWS was gathered in the same manner for 5 minutes.

Afterward, the saliva volume was measured with a calibrated pipette (accuracy of 0.1 mL) (59). The salivary flow rate (SFR) of

**TABLE 1** | Inclusion and exclusion criteria for the subjects in the study group.

Inclusion criteria	Exclusion criteria
confirmed cerebral hemorrhage or cerebral infarction based on magnetic resonance imaging (MRI) and computed tomography (CT)	unconfirmed cerebral infarction or cerebral hemorrhage based on magnetic resonance imaging (MRI) and computed tomography (CT)
good general condition	poor general condition
age of consent, i.e., over the age of 18 years	patients under the age of 18 years
no legal guardianship	legal guardianship
recovery from the acute phase of hemorrhagic or ischemic stroke in all brain areas	no recovery from the acute phase of hemorrhagic or ischemic stroke in all brain areas
the first admission to cure stroke unit was more than 5–6 (to 10) hours from the onset of the early neurological symptoms	the first admission to cure stroke unit was less than 5–6 hours from the onset of the early neurological symptoms and treated with thrombolysis
consciousness and giving of informed and written consent for a sampling of saliva and oral examination	unconsciousness and inability to give informed consent for saliva sampling and oral examination
adequate capacity to follow instructions, i.e., being able to answer questions during the study and understanding how to perform the procedures	inadequate capacity to follow instructions (insufficient cooperation due to cognitive and/or language deficits)
ability to gather a saliva sample	inability to gather a saliva sample
no stroke recurrence during the subacute phase	stroke recurrence during the subacute phase
ischemic stroke treated without thrombolysis or thrombectomy	ischemic stroke treated with thrombolysis or thrombectomy
patients without malnutrition (no weight loss over 10% during the previous three months or having body mass index higher than 18 kg/m <sup>2</sup> )	patients suffering from malnutrition (with weight loss over 10% during the previous three months or having body mass index lower than 18 kg/m <sup>2</sup> )
no heart failure (NYHA > II)	heart failure (NYHA < II)
no autoimmune disease (e.g., rheumatoid arthritis, systemic lupus erythematosus)	autoimmune disease (e.g., rheumatoid arthritis, systemic lupus erythematosus)
no psychiatric or cognitive disorders	psychiatric or cognitive disorders
no lung disease (chronic obstructive pulmonary disease) or cardiovascular disease (angina or uncontrolled hypertension)	lung disease (chronic obstructive pulmonary disease) or cardiovascular disease (angina or uncontrolled hypertension)
no XO inhibitors such as Allopurinol, Febuxostat, and Topiroxostat	XO inhibitors for the last three months
no vitamins and dietary supplements for the last three months	vitamins and dietary supplements for the last three months
non-smokers	smokers

NWS and SWS was estimated by dividing their volume by the time necessary for the secretion, and finally, it was expressed in mL/min.

After sampling, the saliva was centrifuged (+4°C, 20 min, 3000 × g; MPW 351, MPW Med. Instruments, Warsaw, Poland), and butylated hydroxytoluene (BHT, Sigma-Aldrich, Saint Louis, MO, USA) was added to the acquired supernatants, in the amount of 10 µL 0.5 M BHT in acetonitrile (ACN)/1 mL of saliva, to protect the samples from oxidation processes (60). Subsequently, saliva samples were situated in a container with dry ice, with a temperature of approximately −80°C, and stored for no more than three months for analysis.

## Examination of Oral Cavity

The oral examination was performed in a separate room, subsequently after sampling of saliva. The dentition was assessed in artificial light, using a plain mouth mirror and a dental probe, following the World Health Organization (WHO) criteria (61, 62). All accessible tooth surfaces were evaluated, and finally, they were scored as healthy, decayed (DT), extracted due to caries (MT), or filled because of caries (FT). The data collected were used in the calculation of the DMFT index (dental caries experience). It is the sum of DT, MT, and FT. The prevalence of dental caries was also determined. This index is calculated as a percentage of patients with DMFT > 0. Status of gingiva and oral hygiene was evaluated with the use of Gingival Index (GI) and Plaque Index (PII), respectively, on the teeth, 16 (right permanent maxillary first molar), 12 (right permanent maxillary lateral incisor), 24 (left permanent maxillary first premolar), 36 (left permanent mandibular first molar), 32 (left permanent mandibular lateral incisor), and 44 (right permanent mandibular first premolar), using 4-degree scales (with scores from 0 to 3) (63). Before examining subjects' oral cavity, calibration and training of two researchers who are dentists (P.G. and K.G.) was done by another experienced dental specialist (A.Z.). The intra-examiner and inter-examiner agreement for PII and GI was assessed by another dental examination in ten subjects ( $\kappa > 0.9$ ). The dental evaluation was carried out subsequently after NWS and SWS whole saliva collection.

## Consent of the Bioethics Committee and Patients

All subjects, i.e., stroke patients (study group) and healthy individuals (control group), received written information on the study's purpose, benefits, risks, and procedures. Full written and informed consent was obtained from all subjects following the Declaration of Helsinki for saliva sampling and dental examination.

The research was also approved by the Bioethics Committee of the Poznan University of Medical Sciences (resolutions 59/19 and 890/19).

## XO Assays

The activity of salivary XO (EC 1.17.3.2) was estimated using two biochemical methods. Firstly, the enzyme activity was measured colorimetrically based on the XO-catalyzed conversion of

xanthine into UA. XO activity was calculated by the rise in absorbance at 293 nm. The activity of the enzyme was measured in pmol of UA/h/mL (64, 65). Secondly, XO activity was assessed fluorometrically using a commercial Amplex<sup>®</sup> Red Xanthine/Xanthine Oxidase Assay Kit (Invitrogen, Waltham, MA, USA). In this method, XO catalyzes the oxidation of xanthine/hypoxanthine to superoxide radical and UA. Superoxide anion is then reduced to H<sub>2</sub>O<sub>2</sub>, which reacts 1:1 with Amplex Red reagent. The reaction occurs with horseradish peroxidase (HRP) and produces red-fluorescent compound resorufin. The absorbance of resorufin was measured at 540/590 nm wavelength. One unit of XO activity was assumed as 1 µmol of UA formed from hypoxanthine at 25°C.

The 96-well microplate reader BioTek Synergy H1 (Winooski, VT, USA) was used to measure the sample's absorbance and fluorescence. All determinations were performed in triplicate samples. The results were presented as enzyme activity (µU/mL), specific activity (nU/mg protein), and salivary output (µU/min). Total protein content (TPC) was assayed colorimetrically using bicinchoninic acid (BCA) assay with bovine serum albumin (BSA) as a standard (Thermo Scientific PIERCE BCA Protein Assay Kit, Rockford, IL, USA).

## XO Products

To assess hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) concentration, Amplex<sup>®</sup> Red Hydrogen Peroxide/Peroxidase Assay Kit (Invitrogen, Waltham, MA, USA) was used. The Amplex<sup>®</sup> Red reagent reacts stoichiometrically with H<sub>2</sub>O<sub>2</sub>. The product of H<sub>2</sub>O<sub>2</sub> oxidation is the fluorescent resorufin, which was measured at 540/590 nm.

UA concentration was estimated by the colorimetric method. Commercial QuantiChrom<sup>™</sup> Uric Acid Assay Kit (BioAssay Systems, Hayward, CA, USA) was used. In this assay, iron reacts with UA and 2,4,6-tripyridyl-s-triazine generating a blue-colored complex. UA level is directly proportional to the intensiveness of the samples' color estimated at 590 nm.

All determinations were performed in triplicate samples. The results were presented as nmol/L (H<sub>2</sub>O<sub>2</sub>) or µmol/L (UA).

## Statistical Analysis

Sample size calculation was assumed *a priori* based on our anterior clinical study. For this purpose, the online sample size calculator *ClinCalc* was utilized. The level of statistical significance was determined on 0.05, and the power of the study was 0.8. XO specific activity and UA level in NWS were used for analysis. Patients' minimal number amounted to 12 (per one group).

In order to assess the inter- and intracorporeal agreement of dental examiners, the online *Cohen Kappa* calculator was used.

Statistical analysis was conducted using GraphPad Prism 8 for macOS (Graph-Pad Software, La Jolla, CA, USA). The examined variable distribution was assessed by the Kolmogorov-Smirnov test, while the homogeneity of variance was by Levene's test. The student's t-test was used to compare two groups, while analysis of variance (ANOVA) with Tukey's *post hoc* test was performed to compare three groups. The defined statistical significance was  $p < 0.05$ . p-Values were computed with correction for multiple

comparisons. The results were expressed as mean  $\pm$  standard deviation (SD) and 95% confidence intervals (95% CI). Correlations between clinical data and salivary biomarkers were carried out with the Pearson correlation coefficient. Multivariate analysis of the simultaneous impacts of many independent variables on one quantitative dependent variable was made using linear regression. Stroke type, ACE III, BI, FIM, BBS, and SFR were included as independent variables, and 95% CI were reported along with regression parameters. The diagnostic utility of salivary biomarkers was evaluated using receiver operating curve (ROC) analysis. The area under the curve (AUC) and the cut-off point, characterized by the highest sensitivity and specificity, were calculated.

## RESULTS

### Clinical Characteristics

Clinical characteristics of the groups are shown in **Table 2**. Thirty patients with stroke in the subacute phase were divided into two groups according to the stroke type: hemorrhagic and ischemic. There are no significant differences between both studies and control groups. Only functional and cognitive performance measured by the BI, FIM, BBS, and ACE III scores were significantly lower in stroke patients than controls. Oral examination and saliva collection were carried through between 30 and 35 days since the occurrence of stroke.

### Salivary Gland Function

The hemorrhagic stroke group showed significantly lower SFR in SWS (-31%), and significantly lower TPC both in NWS (-19%) and SWS (-29%) regarding the control group. Patients after ischemic stroke incidents also presented significantly lower SFR in SWS (-24%), and TPC both in NWS (-27%) and SWS (-23%) relative to the control group (**Table 3**).

### Stomatological Examination

The dental characteristics of the study group in comparison to the control group were shown in **Table 3**. Oral hygiene and periodontal status did not differ between groups.

### Salivary Ischemia Biomarkers

The standard colorimetric method failed to assess XO activity in saliva samples. Therefore, XO activity was measured fluorometrically using a commercial Amplex<sup>®</sup> Red Xanthine/Xanthine Oxidase Assay Kit.

The group of patients suffering hemorrhagic stroke demonstrated significantly higher XO activity in NWS (+14%), XO specific activity in NWS (+45%) and SWS (+62%), H<sub>2</sub>O<sub>2</sub> concentration in SWS (+20%), and UA concentration in NWS (+31%) concerning control group. The patients who suffered from hemorrhagic stroke shown significantly higher XO activity in NWS (+36%), XO specific activity in NWS (+89%) and SWS (+52%), XO output in NWS (+87%), H<sub>2</sub>O<sub>2</sub> concentration in NWS (+109%) and SWS (+30%), and UA concentration in NWS (+73%) in comparison to the control group. Significantly differences between the ischemic and hemorrhagic stroke

groups were observed in the case of the following parameters: XO activity (+20%), XO specific activity (+31%), XO output (+49%), H<sub>2</sub>O<sub>2</sub> concentration (+65%), and UA concentration, all only in NWS (+32%) (**Table 4**).

### Correlations

XO specific activity with UA concentration ( $r = -0.57$ ,  $p = 0.001$ ) and XO output with H<sub>2</sub>O<sub>2</sub> level ( $r = -0.5$ ,  $p = 0.005$ ) were negatively correlated, and also H<sub>2</sub>O<sub>2</sub> level was positively correlated with the BBS scale ( $r = 0.57$ ,  $p = 0.001$ ) in control group in NWS.

SWS in the control group showed a positive relationship only between H<sub>2</sub>O<sub>2</sub> level and dynamic balance abilities in BBS ( $r = 0.57$ ,  $p = 0.001$ ).

Curiously enough, XO activity was positively correlated with UA ( $r = 0.49$ ,  $p = 0.006$ ) and H<sub>2</sub>O<sub>2</sub> ( $r = 0.61$ ,  $p < 0.001$ ) concentrations in stroke group in NWS. XO specific activity presented positive interconnections with UA ( $r = 0.43$ ,  $p = 0.017$ ) and H<sub>2</sub>O<sub>2</sub> ( $r = 0.49$ ,  $p = 0.006$ ) levels, and negative interconnections with ACE III ( $r = -0.71$ ,  $p < 0.001$ ), BI ( $r = -0.58$ ,  $p = 0.001$ ) and BBS ( $r = -0.61$ ,  $p < 0.001$ ) scores. Moreover, a positive relation between XO output and UA level ( $r = 0.46$ ,  $p = 0.011$ ) was shown. UA concentration corresponded positively with H<sub>2</sub>O<sub>2</sub> concentration ( $r = 0.4$ ,  $p = 0.027$ ).

Interestingly, the study group showed negative correlations between UA concentration and dynamic balance abilities in BI ( $r = -0.42$ ,  $p = 0.02$ ), and BBS scales ( $r = -0.46$ ,  $p = 0.011$ ) in SWS.

The above correlations were encapsulated in **Figure 2**.

### Regression Analysis

XO activity in NWS depends on stroke type, XO specific activity – stroke type and cognitive functions in ACE III, and XO output – stroke type and SFR. Furthermore, H<sub>2</sub>O<sub>2</sub> and UA concentrations in NWS are affected by stroke type. In SWS, the variable influencing XO output is SFR, while UA level is affected by the FIM scale (**Table 5**).

### ROC Analysis

Results of ROC analysis were shown in **Table 6**. Only XO specific activity was significantly different between hemorrhagic stroke and control groups, both in NWS and SWS. Statistically significant differences between patients with ischemic stroke and healthy controls were presented by XO activity in NWS, XO specific activity in NWS and SWS, H<sub>2</sub>O<sub>2</sub> concentration in NWS and SWS, and UA level in NWS. Patients with ischemic stroke were significantly differentiated from those with hemorrhagic by XO activity, XO specific activity, and H<sub>2</sub>O<sub>2</sub> level, all of them only in NWS.

Summarizing, the specific activity of XO in NWS is of particular diagnostic utility. This biomarker differentiated with high sensitivity and specificity hemorrhagic and ischemic strokes from control subjects. Moreover, it differentiates between both stroke types (**Table 6** and **Figure 3**).

### Clinical Utility of Salivary XO

XO specific activity in NWS correlates negatively with ACE III total score ( $r = -0.71$ ,  $p < 0.001$ ) and its several cognitive abilities: attention and orientation ( $r = -0.57$ ,  $p = 0.001$ ), memory ( $r = -0.63$ ,  $p < 0.001$ ), visual perception ( $r = -0.54$ ,  $p = 0.002$ ),

**TABLE 2 |** Clinical characteristics of the studies and control groups.

		Group			p-Value			
		C n = 30	HS n = 15	IS n = 15	ANOVA	HS vs. C	IS vs. C	IS vs. HS
Sex	Male n (%)	15 (50)	7 (46.66)	7 (46.66)	ND			
	Female n (%)	15 (50)	8 (53.33)	8 (53.33)				
Age *		63.07 ± 10.74 [59.06 - 67.08]	64.53 ± 8.123 [60.04 - 69.03]	61.6 ± 12.97 [54.42 - 68.78]	0.7586	0.9032	0.9032	0.7377
Education	Primary n (%)	2 (6.66)	1 (6.66)	1 (6.66)	> 0.9999			
	Vocational n (%)	15 (50)	7 (46.66)	6 (40)				
	Secondary n (%)	9 (30)	6 (40)	6 (40)				
	University n (%)	4 (13.33)	1 (6.66)	2 (13.33)				
Place of residence	Urban center n (%) *	10 (33.33)	6 (40)	7 (46.66)	> 0.9999			
	Small town n (%) *	8 (26.66)	4 (26.66)	3 (20)				
	Rural area or small village n (%) *	12 (40)	6 (40)	6 (40)				
Cognitive and physical functional status								
ACE III *		97.47 ± 1.48 [96.91 - 98.02]	69.47 ± 25.04 [55.6 - 83.33]	61.47 ± 22.33 [49.1 - 73.84]	< 0.0001	< 0.0001	< 0.0001	0.3929
BI*		20 ± 0 [20 - 20]	10.73 ± 4.166 [8.426 - 13.04]	10.47 ± 3.62 [8.46 - 12.47]	< 0.0001	< 0.0001	< 0.0001	0.9615
FIM*		125.2 ± 0.68 [125 - 125.5]	81.8 ± 34.16 [62.88 - 100.7]	83.47 ± 33.48 [64.93 - 102]	< 0.0001	< 0.0001	< 0.0001	0.9798
BBS*		55.53 ± 0.51 [55.34 - 55.72]	31.53 ± 18.91 [21.06 - 42.01]	28.47 ± 17.6 [18.72 - 38.21]	< 0.0001	< 0.0001	< 0.0001	0.7899
Comorbidities								
Diabetes n (%)		13 (43.33)	7 (46.66)	6 (40)	> 0.9999			
Hypertension n (%)		16 (53.33)	8 (53.33)	8 (53.33)	> 0.9999			
Arteriosclerosis n (%)		13 (43.33)	7 (46.66)	7 (46.66)	> 0.9999			
Limb thrombosis n (%)		4 (13.33)	2 (13.33)	2 (13.33)	> 0.9999			
Atrial fibrillation n (%)		5 (16.66)	2 (13.33)	3 (20)	> 0.9999			
Drugs								
< 5 drugs/day n (%)		16 (53.33)	7 (46.66)	6 (40)	> 0.9999			
≥ 5 drugs/day n (%)		14 (46.66)	8 (53.33)	9 (60)				

Results were analyzed using ANOVA analysis of variance followed by Tukey's post hoc test; ACE III, Addenbrooke's Cognitive Examination III; BBS, the Berg Balance Scale; BI, Barthel Index; C, Control group (n = 30); FIM, functional independence measure; HS, hemorrhagic stroke group (n = 15); IS, ischemic stroke group (n = 15); n, number of patients; ND, no data.

\*Expressed as mean ± standard deviation (SD) [95% confidence interval (95% CI)].

language ( $r = -0.56$ ,  $p = 0.001$ ) and visuospatial skills ( $r = -0.53$ ,  $p = 0.003$ ) (**Figures 4A, B**).

XO specific activity was significantly higher in stroke patients with moderate cognitive impairment compared with mild cognitive decline and subjects with normal cognitive function (**Figure 4C**). ROC analysis also confirms the clinical relevance of this biomarker. XO specific activity in NWS differentiates with high accuracy and specificity between moderate and mild cognitive impairment and healthy subjects (**Table 7** and **Figures 4C–F**).

## DISCUSSION

Vascular brain diseases are one of the most common causes of death and disability worldwide. Despite significant progress in

understanding stroke pathogenesis, the number of cases is still increasing (1, 66, 67). Therefore, laboratory biomarkers of stroke are sought to allow rapid and non-invasive diagnostics (15). Although diagnosis is based primarily on clinical examination and CT scans, it is not always possible to rule out conditions mimicking strokes, such as subdural or epidural hematoma, brain tumors, craniocerebral or cervical spine injury, infections (meningitis, encephalitis, brain abscess), seizures, migraine complications, and metabolic disturbances. Moreover, the sensitivity of CT in newly diagnosed ischemic stroke is less than 30–35%, which, in the absence of widespread availability of MRI and CT perfusion, indicates the need to search for new diagnostic strategies (12, 14). The ideal stroke biomarker should differentiate between ischemic and hemorrhagic stroke, have high sensitivity and specificity (at least 75–85%), capture disease dynamics/treatment effectiveness, and be easily

**TABLE 3 |** Salivary gland function and stomatological characteristics of the studies and control groups.

		Group			p-Value			
		C	HS	IS	ANOVA	HS vs. C	IS vs. C	IS vs. HS
		n = 30	n = 15	n = 15				
SFR (mL/min)	NWS*	0.34 ± 0.09 [0.31 - 0.37]	0.39 ± 0.26 [0.24 - 0.52]	0.47 ± 0.25 [0.34 - 0.61]	0.1028	0.7393	0.084	0.4339
	SWS*	0.91 ± 0.26 [0.82 - 1.01]	0.63 ± 0.25 [0.5 - 0.77]	0.69 ± 0.29 [0.54 - 0.87]	0.0022	0.0039	0.0335	0.7732
TPC (µg/mL)	NWS*	1121 ± 188.4 [1050 - 1191]	904.5 ± 246.6 [768 - 1041]	817 ± 168.7 [723.5 - 910.4]	< 0.0001	0.0033	< 0.0001	0.4587
	SWS*	1240 ± 166.4 [1178 - 1303]	883.6 ± 250 [745.1 - 1022]	952.4 ± 225.4 [827.6 - 1077]	< 0.0001	< 0.0001	0.0001	0.6295
DMFT*		24.63 ± 7.25 [21.92 - 27.34]	22 ± 9.67 [16.64 - 27.36]	24.27 ± 3.84 [22.14 - 26.4]	0.5123	0.494	0.9862	0.674
GI*		0.74 ± 0.79 [0.44 - 1.04]	0.91 ± 0.77 [0.49 - 1.33]	0.6 ± 0.61 [0.26 - 0.94]	0.522	0.7598	0.8128	0.4906
Pli*		1.28 ± 1 [0.89 - 1.67]	1.45 ± 0.94 [0.93 - 1.96]	1.01 ± 0.9 [0.51 - 1.51]	0.464	0.8551	0.6607	0.4402

Results were analyzed using ANOVA analysis of variance followed by Tukey's post hoc test; C, Control group (n = 30); DMFT, dental caries experience; GI, Gingival Index; HS, hemorrhagic stroke group (n = 15); IS, ischemic stroke group (n = 15); n, number of patients; NWS, non-stimulated whole saliva; Pli, Plaque Index; SFR, salivary flow rate; SWS, stimulated whole saliva; TPC, total protein content.

\*Expressed as mean ± standard deviation (SD) [95% confidence interval (95% CI)].

quantifiable (68). In addition, the collection of biological material should be non-invasive, uncomplicated, and inexpensive. Therefore, saliva has become of increasing interest in the diagnosis of neurovascular diseases (69). Saliva collection is stress-free, requires no specialized medical personnel, and allows continuous monitoring of the patient's condition through non-invasive sampling multiple times per day (18, 32).

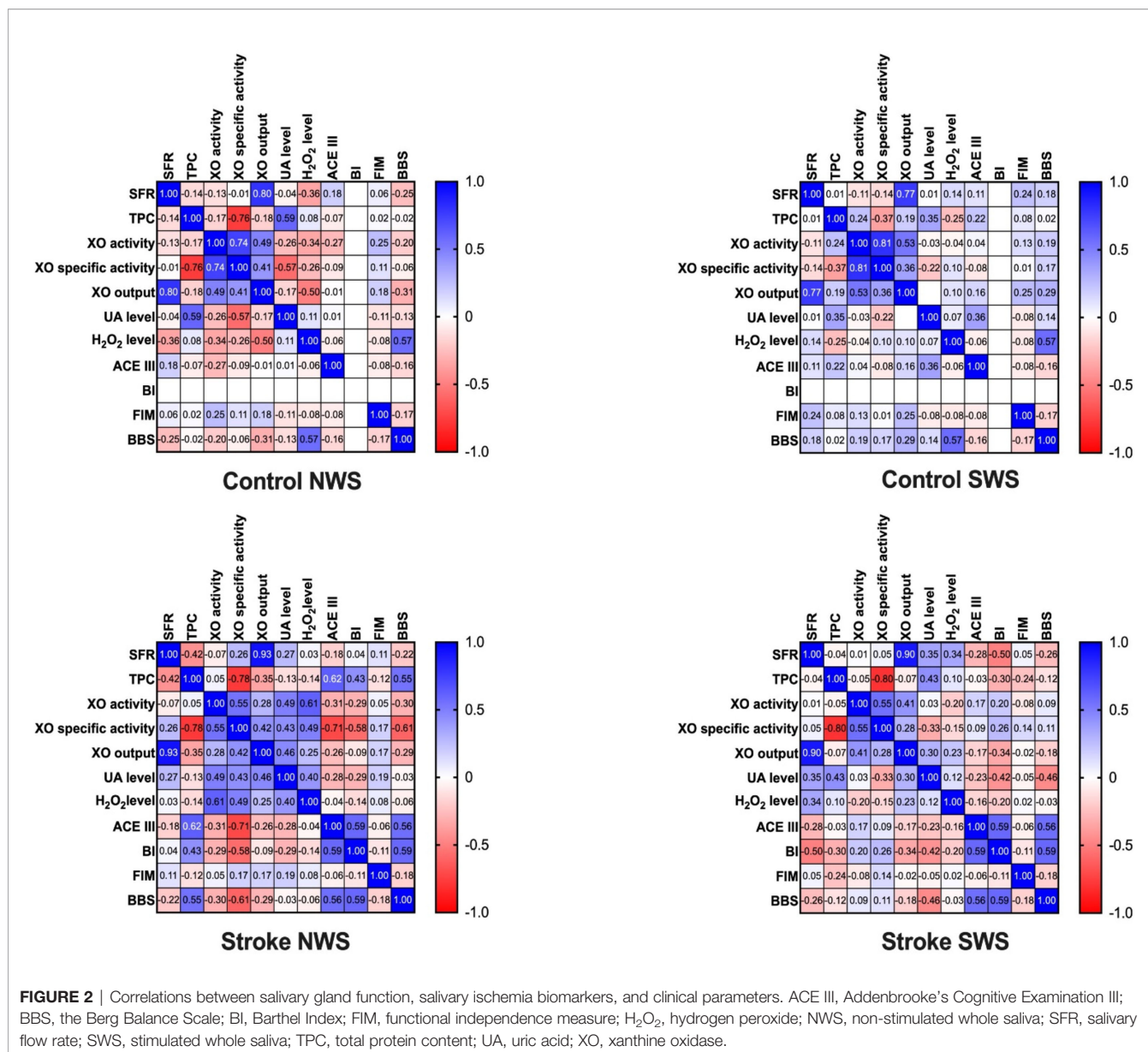
The main factors leading to ischemic brain injury are inhibition of ATP production, excitotoxicity, inflammation, and cerebral oxidative/nitrosative stress. Indeed, lack of energy substrates disrupts depolarization of the neuronal membrane and increases intracellular levels of Na<sup>+</sup>, Cl<sup>-</sup> and Ca<sup>2+</sup> ions. This leads to activation of Ca<sup>2+</sup>-dependent enzymes such as protein kinase C (PKC), phospholipase A2, and other cellular proteases

**TABLE 4 |** Salivary ischemia biomarkers of the studies and control groups.

		Group			p-Value			
		C	HS	IS	ANOVA	HS vs. C	IS vs. C	IS vs. HS
		n = 30	n = 15	n = 15				
XO activity (µU/mL)	NWS*	41.24 ± 6.61 [38.77 - 43.71]	46.99 ± 7.12 [43.05 - 50.93]	56.17 ± 7.75 [51.88 - 60.46]	< 0.0001	0.0325	< 0.0001	0.0021
	SWS*	38.37 ± 8.23 [35.29 - 41.44]	40.67 ± 8.74 [35.83 - 45.52]	42.99 ± 7.57 [38.79 - 47.18]	0.2039	0.6488	0.1851	0.7218
XO specific activity (nU/mg protein)	NWS*	37.99 ± 9.6 [34.4 - 41.57]	55.06 ± 14.56 [47 - 63.13]	71.94 ± 20.24 [60.73 - 83.15]	< 0.0001	0.0009	< 0.0001	0.0051
	SWS*	31.25 ± 6.8 [28.71 - 33.79]	50.59 ± 20.73 [39.11 - 62.07]	47.35 ± 12.89 [40.21 - 54.48]	< 0.0001	< 0.0001	0.0007	0.7751
XO output (µU/min)	NWS*	14 ± 3.96 [12.52 - 15.48]	17.62 ± 11.67 [11.15 - 24.08]	26.22 ± 14.65 [18.11 - 34.33]	0.0009	0.4701	0.0006	0.0474
	SWS*	34.93 ± 11.63 [30.59 - 39.28]	25.82 ± 12.12 [19.11 - 32.54]	29.75 ± 12.86 [22.63 - 36.88]	0.0562	0.0522	0.3697	0.6473
H <sub>2</sub> O <sub>2</sub> concentration (nmol/L)	NWS*	239.7 ± 82.36 [208.9 - 270.5]	302.7 ± 72.37 [262.6 - 342.8]	500.9 ± 85.86 [453.3 - 548.4]	< 0.0001	0.7467	< 0.0001	< 0.0001
	SWS*	291.8 ± 77.43 [262.9 - 320.7]	351.1 ± 56.04 [320.1 - 382.1]	377.9 ± 89.92 [328.1 - 427.7]	0.0015	0.0438	0.0021	0.6024
UA concentration (µmol/L)	NWS*	58.83 ± 13.3 [53.86 - 63.8]	77.15 ± 23.25 [64.27 - 90.02]	102 ± 35.51 [82.37 - 121.7]	< 0.0001	0.0391	< 0.0001	0.0125
	SWS*	63.4 ± 18.34 [56.55 - 70.24]	57.87 ± 27.49 [42.65 - 73.1]	65.38 ± 31.49 [47.95 - 82.82]	0.6781	0.7571	0.9644	0.6804

ANOVA, analysis of variance; C, Control group (n = 30); H<sub>2</sub>O<sub>2</sub>, hydrogen peroxide; HS, hemorrhagic stroke group (n = 15); IS, ischemic stroke group (n = 15); n, number of patients; NWS, non-stimulated whole saliva; SWS, stimulated whole saliva; UA, uric acid; XO, xanthine oxidase.

\*Expressed as mean ± standard deviation (SD) [95% confidence interval (95% CI)].



**FIGURE 2 |** Correlations between salivary gland function, salivary ischemia biomarkers, and clinical parameters. ACE III, Addenbrooke's Cognitive Examination III; BBS, the Berg Balance Scale; BI, Barthel Index; FIM, functional independence measure; H<sub>2</sub>O<sub>2</sub>, hydrogen peroxide; NWS, non-stimulated whole saliva; SFR, salivary flow rate; SWS, stimulated whole saliva; TPC, total protein content; UA, uric acid; XO, xanthine oxidase.

initiating neuronal apoptosis and necrosis. Simultaneously, there is a conversion of xanthine dehydrogenase to XO, which is crucial in post-stroke complications. The substrate for the enzyme is hypoxanthine (a breakdown product of ATP), which accumulates in the ischemic brain. When O<sub>2</sub> is delivered under reperfusion, XO causes the conversion of hypoxanthine to xanthine accompanied by the release of superoxide radicals (O<sub>2</sub>•<sup>-</sup>) via reduction of molecular oxygen. Subsequently O<sub>2</sub>•<sup>-</sup> induces the formation of more toxic ROS (e.g., H<sub>2</sub>O<sub>2</sub>) and stimulates the production of inflammatory mediators (24, 70, 71). However, XO also catalyzes the conversion of xanthine to UA (Figure 1). In ischemic stroke animal models, cerebral XO activity correlates with infarct volume and severity of neurological complications, thus postulating the use of XO in the identification/differentiation of the disease. Because

assessment of XO activity in the blood is not diagnostically relevant (72), we were the first to investigate the usefulness of salivary XO in stroke patients.

We demonstrated that XO activity is significantly higher in NWS of ischemic stroke patients compared to hemorrhagic stroke and healthy subjects. However, statistically important differences were not observed in SWS. Indeed, the composition of stimulated saliva depends not only on the salivary gland but also on the environmental stimuli. Under resting conditions, 2/3 of the saliva is produced by the submandibular glands, whose filtrate generally reflects the composition of blood plasma. However, this ratio shifts during food/smell stimulation in favor of the parotid glands (52, 53, 73). These glands are also the primary source of antioxidants in the oral cavity, making SWS more protective against salivary oxidative stress (17, 74).

**TABLE 5 |** Multiple regression analysis of salivary ischemia biomarkers in all involved objects.

		NWS					SWS				
		XO activity	XO specific activity	XO output	H <sub>2</sub> O <sub>2</sub> concentration	UA concentration	XO activity	XO specific activity	XO output	H <sub>2</sub> O <sub>2</sub> concentration	UA concentration
β1: stroke type	Estimate	8.42	16.45	4.21	202.1	21.66	-0.008267	2.015	1.044	20.13	-13.56
	95% CI	2.49 - 14.35	8.67 - 24.23	0.47 - 7.95	137.2 - 267.1	0.09 - 43.23	-6.706 - 6.689	-11.4 - 15.43	-3.38 - 5.47	-55.59 - 95.85	-31.62 - 4.5
	p-Value	0.0074	0.0002	0.0291	< 0.0001	0.0491	0.998	0.7589	0.6302	0.5877	0.1341
β2: ACE III	Estimate	-0.02829	-0.2998	0.003936	1.435	-0.1792	-0.019	-0.03557	0.009605	-0.54	-0.4134
	95% CI	-0.19 - 0.14	-0.52 - -0.07	-0.1 - 0.11	-0.41 - 3.28	-0.79 - 0.43	-0.24 - 0.21	-0.48 - 0.41	-0.13 - 0.15	-3.07 - 1.99	-1.01 - 0.19
	p-Value	0.7317	0.0101	0.9397	0.122	0.5517	0.8623	0.8712	0.8944	0.6634	0.1706
β3: BI	Estimate	-0.3106	-1.223	-0.4194	-10.09	-3.855	-0.4503	0.4518	-0.2671	3.151	-2.186
	95% CI	-1.38 - 0.76	-2.63 - 0.18	-1.09 - 0.25	-21.83 - 1.64	-7.75 - 0.04	-1.67 - 0.76	-1.99 - 2.89	-1.07 - 0.54	-10.63 - 16.93	-5.48 - 1.1
	p-Value	0.5542	0.0848	0.212	0.0884	0.0522	0.4526	0.7055	0.4994	0.6407	0.1823
β4: FIM	Estimate	0.0008364	0.03768	0.01982	0.1577	0.1575	-0.009847	0.08176	-0.009425	-0.3358	-0.3762
	95% CI	-0.09 - 0.09	-0.08 - 0.16	-0.03 - 0.07	-0.84 - 1.15	-0.18 - 0.49	-0.11 - 0.09	-0.12 - 0.29	-0.07 - 0.05	-1.5 - 0.83	-0.65 - -0.09
	p-Value	0.985	0.5199	0.4821	0.7463	0.3347	0.845	0.4209	0.777	0.5567	0.0101
β5: BBS	Estimate	-0.07378	-0.1856	-0.001744	0.4655	0.7937	0.1786	0.361	0.103	-0.7422	0.3472
	95% CI	-0.29 - 0.14	-0.48 - 0.1	-0.14 - 0.13	-1.97 - 2.9	-0.01 - 1.6	-0.06 - 0.42	-0.13 - 0.85	-0.05 - 0.27	-3.52 - 2.03	-0.31 - 1
	p-Value	0.5	0.2018	0.9797	0.6969	0.0545	0.146	0.1425	0.2017	0.5855	0.2893
β6: SFR	Estimate	-3.899	12.23	50.6	54.62	43.15	0.2419	5.205	41.9	119.2	17.25
	95% CI	-16.44 - 8.64	-4.22 - 28.69	42.69 - 58.52	-82.83 - 192.1	-2.47 - 88.77	-14.65 - 15.14	-24.63 - 35.04	32.06 - 51.74	-49.16 - 287.6	-22.92 - 57.43
	p-Value	0.5264	0.1377	< 0.0001	0.4195	0.0626	0.9735	0.7215	< 0.0001	0.1565	0.3836

ACE III, Addenbrooke's Cognitive Examination III; BBS, the Berg Balance Scale; BI, Barthel Index; CI, confidence interval; FIM, functional independence measure; H<sub>2</sub>O<sub>2</sub>, hydrogen peroxide; NWS, non-stimulated whole saliva; SFR, salivary flow rate; SWS, stimulated whole saliva; UA, uric acid; XO, xanthine oxidase.

The results of our previous studies support this. In SWS of stroke patients, we have shown higher activity/concentration of enzymatic and non-enzymatic antioxidants, which is an adaptive response to ROS overproduction in the parotid glands. These facts may explain the lack of differences in XO activity in SWS, especially if the concentration of enzyme substrates (xanthine and hypoxanthine) is very low in saliva samples. SWS is also much more dilute than NWS, making it less useful in laboratory medicine (33). Indeed, the main limitation of diagnostic applicability of saliva is the low biomarker concentration/activity compared to other biofluids and tissues (75). Also in our study, salivary XO activity assessed by a standard enzyme assay was below the detection level in both NWS and SWS. However, using the Amplex Red xanthine/XO fluorometric method, XO can be detected at levels as low as 0.1 mU/mL. The use of a commercial kit has particular advantages in diagnostics, as it allows the comparison of results obtained in different laboratories.

The biomarkers found in saliva can be divided into compounds produced in the salivary glands and those outside of them (33, 74, 76). The ability to pass into saliva depends on the mechanism of transport and occurs *via* intracellular (diffusion, filtration, facilitated transport, active transport) or extracellular routes. Since most salivary proteins have a molecular weight between 20 and 60 kDa (low molecular weight proteins) (77), XO can migrate into saliva through ultrafiltration or damaged cellular membranes (78). XO is a homodimer composed of two

subunits with an approximate molecular weight of 150-155 kDa (79). During BBB injury, XO can penetrate the brain into the circulation and then into the oral cavity. The salivary glands are very well vascularized resulted in a very efficient secretion of many substances into saliva (52). This may explain the higher correlation of many biomarkers between brain and NWS compared to blood (33). It may also result from a common developmental origin, or it may simply reflect the more significant variability of XO in the saliva (46). It should not be forgotten that XO can also be produced in the salivary glands (79). However, in some stroke patients, salivary gland dysfunction manifests as decreased salivary secretion (hyposalivation) or subjective dryness of the oral mucosa (xerostomia) (62, 80). Since the activity/concentration of salivary biomarkers is highly dependent on salivary gland function, we standardized XO measurement on total protein content and salivary flow rate. Our study indicates that XO activity should be standardized to total protein concentration, as with other salivary enzymes. Specific XO activity (nU/mg protein) better differentiates ischemic from hemorrhagic stroke and from healthy subjects.

The brain is particularly vulnerable to oxidation because it uses more than 20% of O<sub>2</sub> supplied to the body (81). Neurons also show a very unfavorable surface-to-volume ratio, a high content of unsaturated fatty acids, and low efficiency of enzymatic antioxidant systems (82). Therefore, the main cause of brain damage during ischemia and reperfusion is the increased

**TABLE 6 |** ROC analysis of salivary ischemia biomarkers.

		Cut off	AUC	95% CI	Sensitivity %	95% CI	Specificity %	95% CI
HS vs. C								
XO activity	NWS	> 44.78	0.747	0.59 - 0.91	80	54.81% - 92.95%	66.67	48.78% - 80.77%
	SWS	> 40.56	0.593	0.41 - 0.78	60	35.75% - 80.18%	66.67	48.78% - 80.77%
XO specific activity	NWS	> 45.94	0.858	0.74 - 0.97	73.33	48.05% - 89.1%	83.33	66.44% - 92.66%
	SWS	> 37.54	0.824	0.67 - 0.99	80	54.81% - 92.95%	86.67	70.32% - 94.69%
XO output	NWS	< 14.18	0.502	0.28 - 0.73	53.33	30.12% - 75.19%	50	33.15% - 66.85%
	SWS	< 30.95	0.693	0.53 - 0.86	66.67	41.71% - 84.82%	63.33	45.51% - 78.13%
H <sub>2</sub> O <sub>2</sub> concentration	NWS	> 251.6	0.704	0.56 - 0.86	66.67	41.71% - 84.82%	56.67	39.2% - 72.62%
	SWS	> 325.4	0.733	0.59 - 0.88	73.33	48.05% - 89.1%	70	52.12% - 83.34%
UA concentration	NWS	> 65.44	0.735	0.59 - 0.89	66.67	41.71% - 84.82%	66.67	48.78% - 80.77%
	SWS	< 57.01	0.609	0.42 - 0.8	66.67	41.71% - 84.82%	73.33	55.55% - 85.82%
IS vs. C								
XO activity	NWS	> 49.61	0.92	0.82 - 1	86.67	62.12% - 97.63%	93.33	78.68% - 98.82%
	SWS	> 38.78	0.649	0.47 - 0.82	66.67	41.71% - 84.82%	56.67	39.2% - 72.62%
XO specific activity	NWS	> 50.3	0.973	0.94 - 1	93.33	70.18% - 99.66%	90	74.38% - 96.54%
	SWS	> 36.77	0.871	0.74 - 1	86.67	62.12% - 97.63%	83.33	66.44% - 92.66%
XO output	NWS	> 17.46	0.747	0.55 - 0.94	73.33	48.05% - 89.1%	83.33	66.44% - 92.66%
	SWS	< 32.65	0.607	0.43 - 0.79	53.33	30.12% - 75.19%	56.67	39.2% - 72.62%
H <sub>2</sub> O <sub>2</sub> concentration	NWS	> 357.6	0.998	0.99 - 1	100	79.61% - 100%	96.67	83.33% - 99.83%
	SWS	> 328.5	0.762	0.61 - 0.92	66.67	41.71% - 84.82%	73.33	55.55% - 85.82%
UA concentration	NWS	> 68.82	0.873	0.74 - 1	86.67	62.12% - 97.63%	80	62.69% - 90.49%
	SWS	< 58.37	0.538	0.34 - 0.73	60	35.75% - 80.18%	66.67	48.78% - 80.77%
IS vs. HS								
XO activity	NWS	> 49.08	0.836	0.68 - 0.99	86.67	62.12% - 97.63%	73.33	48.05% - 89.1%
	SWS	> 40.87	0.551	0.34 - 0.77	53.33	30.12% - 75.19%	46.67	24.81% - 69.88%
XO specific activity	NWS	> 59.75	0.764	0.6 - 0.93	66.67	41.71% - 84.82%	66.67	41.71% - 84.82%
	SWS	< 46.92	0.516	0.3 - 0.73	53.33	30.12% - 75.19%	53.33	30.12% - 75.19%
XO output	NWS	> 20.09	0.684	0.5 - 0.9	66.67	41.71% - 84.82%	66.67	41.71% - 84.82%
	SWS	> 26.62	0.591	0.38 - 0.8	66.67	41.71% - 84.82%	53.33	30.12% - 75.19%
H <sub>2</sub> O <sub>2</sub> concentration	NWS	> 392.6	0.96	0.9 - 1	93.33	70.18% - 99.66%	86.67	62.12% - 97.63%
	SWS	> 368.5	0.6	0.39 - 0.82	60	35.75% - 80.18%	66.67	41.71% - 84.82%
UA concentration	NWS	> 76.44	0.724	0.54 - 0.91	73.33	48.05% - 89.1%	66.67	41.71% - 84.82%
	SWS	> 53.19	0.587	0.38 - 0.8	66.67	41.71% - 84.82%	53.33	30.12% - 75.19%

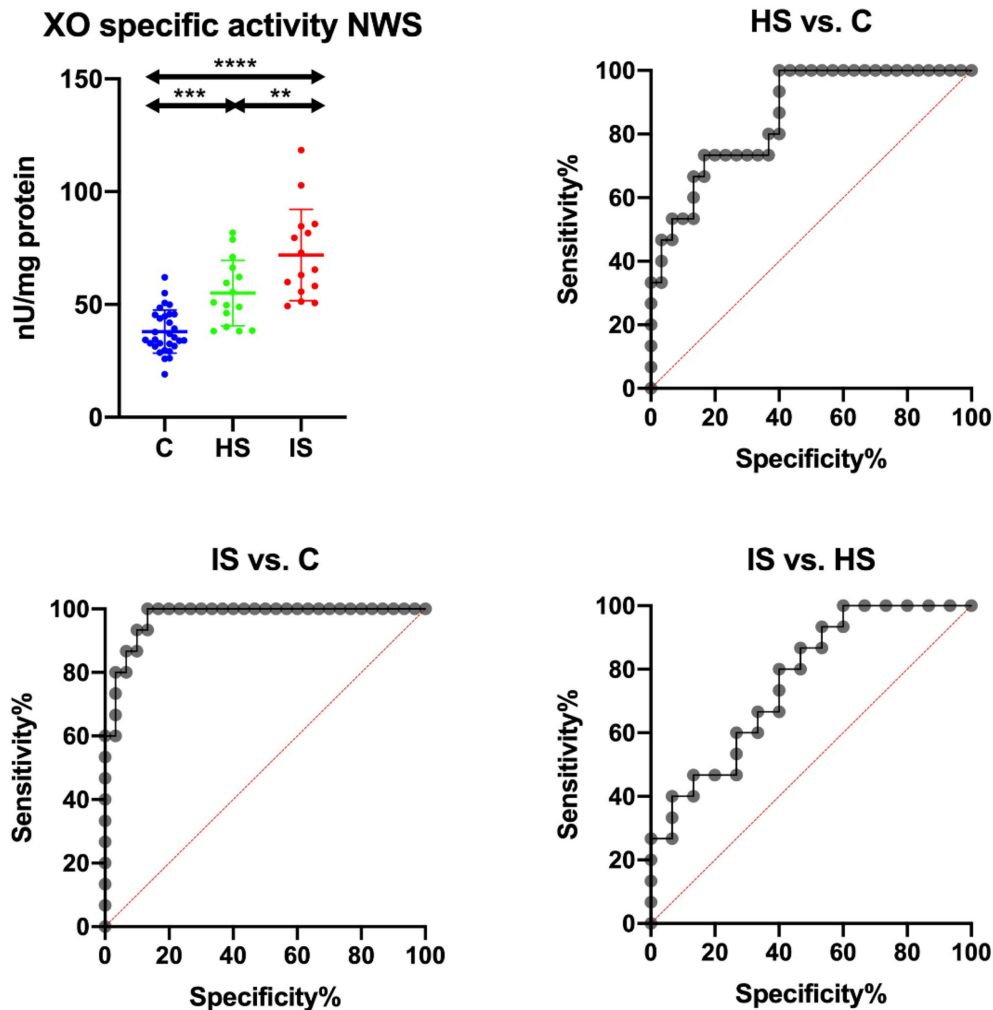
AUC, the area under the curve; C, Control group (n = 30); CI, confidence interval; H<sub>2</sub>O<sub>2</sub>, hydrogen peroxide; HS, hemorrhagic stroke group (n = 15); IS, ischemic stroke group (n = 15); NWS, non-stimulated whole saliva; SWS: stimulated whole saliva; UA, uric acid; XO, xanthine oxidase.

formation of ROS by XO (42). The superoxide radical generated by XO is enzymatically reduced to H<sub>2</sub>O<sub>2</sub>, with the simultaneous conversion of xanthine to uric acid. Although UA has strong antioxidant properties, in high concentrations, it also has a robust pro-oxidant effect. UA can generate free radicals by reaction with peroxynitrite or alkylate cellular biomolecules disrupting their structure and function (40). Thus, increased synthesis/release of proinflammatory cytokines, chemokines, and growth factors promotes neuronal apoptosis and necrosis under XO overexpression (83). In the acute phase of stroke, the BBB is disrupted, causing many biomarkers to infiltrate the blood and saliva (50). In our study, UA and H<sub>2</sub>O<sub>2</sub> levels were significantly higher in stroke patients' saliva than controls, whereas they did not differentiate between stroke types. Although XO activity correlated strongly with uric acid and hydrogen peroxide levels in stroke cases, their source in saliva may also be diffusion from blood plasma (UA) or exposure to environmental factors (84). Indeed, the oral cavity is the only place in the body exposed to many pro-oxidant agents such as diet, xenobiotics, oral microbiota, dental procedures, and materials (85–87).

An essential part of our study was also to evaluate the saliva's usefulness for assessing XO activity in stroke patients. For this

purpose, we used ROC curves, which are a graphical relationship between a test's sensitivity and specificity. Of all the parameters evaluated, the specific activity of XO has the best clinical utility. We have shown that this biomarker differentiates ischemic stroke from hemorrhagic stroke (AUC: 0.764) and controls (AUC: 0.973) with very high sensitivity (IS vs. HS: 66.67%; IS vs. C: 93.33%) and specificity (IS vs. HS: 66.67%; IS vs. C: 90%). It is also noteworthy that XO specific activity correlates negatively with cognitive impairment according to ACE III scale, postural balance in BBS scale and performance in living activities using BI scale. Importantly, these relationships were observed only in the NWS of stroke patients. Unfortunately, we do not have clinical data on stroke location, brain infarct volume, and severity of neurological symptoms, making further clinical studies necessary. Although this undoubtedly represents limitations of the study, we are the first to demonstrate the utility of salivary XO in the differential diagnosis of stroke and for assessing patients' functional status.

Stroke is the most common cause of cognitive impairment in people over 65 years old. Cognitive deficits include all areas of daily functioning affecting treatment and rehabilitation outcomes and quality of patient's life (88). In our study, XO specific activity correlated highly negatively with cognitive abilities in the ACE III score. In detail, this biomarker



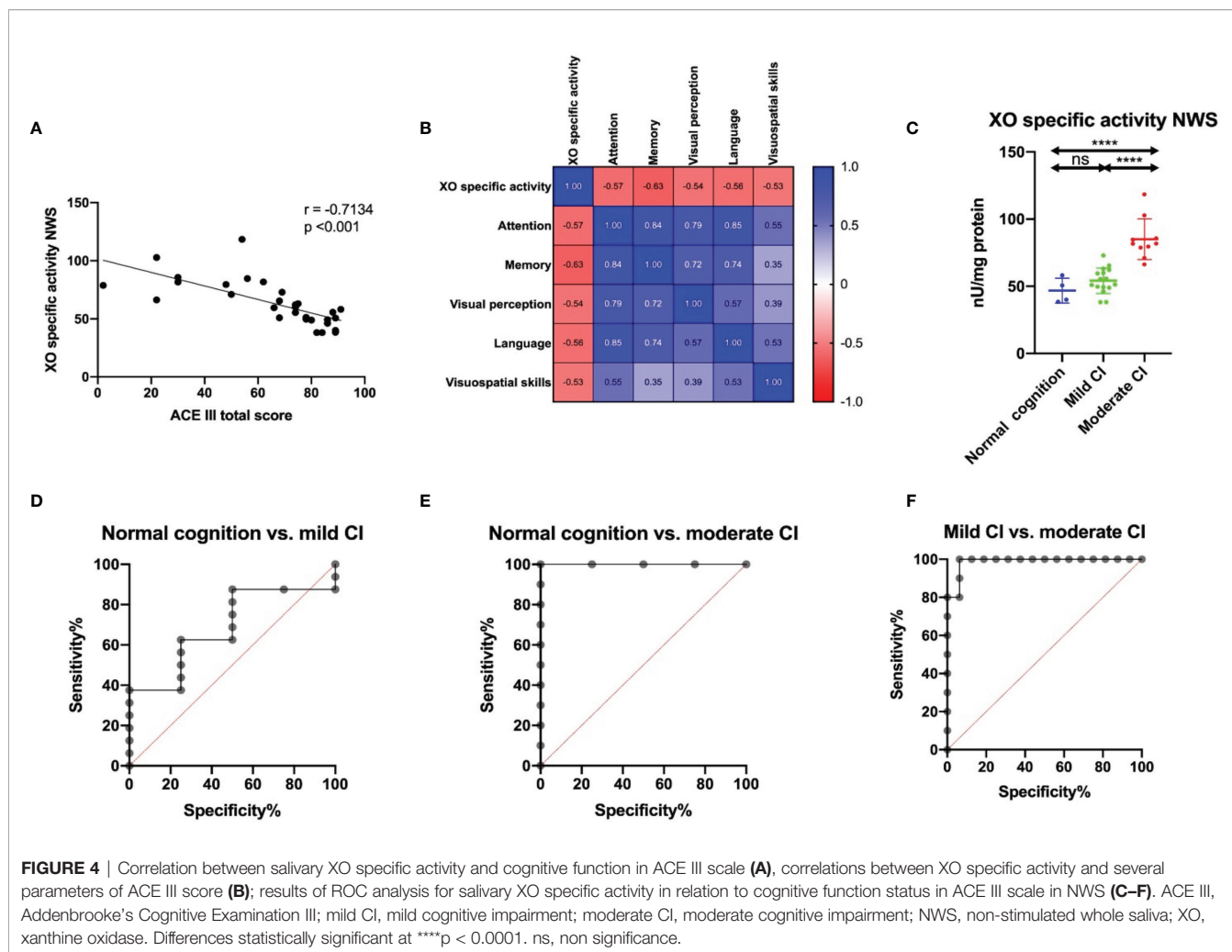
**FIGURE 3** | Results of ROC analysis for salivary XO specific activity in NWS. C, Control group ( $n = 30$ ); HS, hemorrhagic stroke group ( $n = 15$ ); IS, ischemic stroke group ( $n = 15$ ); NWS, non-stimulated whole saliva; XO, xanthine oxidase. Differences statistically significant at: \*\* $p < 0.005$ ; \*\*\* $p < 0.0005$ ; \*\*\*\* $p < 0.0001$ .

was adversely associated with attention and orientation ( $r = -0.57$ ,  $p = 0.001$ ), memory ( $r = -0.63$ ,  $p = 0.0002$ ), visual perception ( $r = -0.54$ ,  $p = 0.002$ ), language ( $r = -0.56$ ,  $p = 0.001$ ) and visuospatial functions ( $r = -0.53$ ,  $p = 0.003$ ). ACE III is a comprehensive screening tool for cognitive function assessment, useful for early detection of cognitive impairment, differential diagnosis of dementia, and monitoring of the disease progression (54). Therefore, in the next step, we divided stroke patients into three subgroups based on the severity of cognitive decline: normal cognition (100–89 in the ACE III), mild cognitive impairment (88–61 in the ACE III), and moderate cognitive dysfunction ( $< 61$  in the ACE III) (89, 90). We have demonstrated that XO specific activity differentiates with high accuracy (100%) and specificity (93.75%) between stroke patients with mild to moderate cognitive decline (AUC = 0.988). Thus, salivary XO assessment may be a potential screening tool for a comprehensive neuropsychological evaluation. Unfortunately,

this parameter did not distinguish cognitively mild patients from those without cognitive impairment, which may be due to the small number of patients.

The ideal diagnostic biomarker should be detectable at an early stage of the disease. Our study involves patients in the acute phase of stroke (30–35 days after the incident), and therefore, it is essential to evaluate salivary XO activity in newly diagnosed cases. Since ischemia-reperfusion is most severe at this time, assessment of XO activity may have even greater diagnostic value (91).

In conclusion, our study demonstrates the utility of salivary xanthine oxidase in the differential diagnosis of stroke. The biological material for assessing XO activity should be non-stimulated saliva, and the results must be standardized to total protein content. We have shown that XO specific activity distinguishes, with very high sensitivity and specificity, between ischemic and hemorrhagic strokes and controls, as



**FIGURE 4 |** Correlation between salivary XO specific activity and cognitive function in ACE III scale (A), correlations between XO specific activity and several parameters of ACE III score (B); results of ROC analysis for salivary XO specific activity in relation to cognitive function status in ACE III scale in NWS (C–F). ACE III, Addenbrooke's Cognitive Examination III; mild CI, mild cognitive impairment; moderate CI, moderate cognitive impairment; NWS, non-stimulated whole saliva; XO, xanthine oxidase. Differences statistically significant at \*\*\*\* $p < 0.0001$ . ns, non significance.

**TABLE 7 |** Results of ROC analysis for salivary XO specific activity in relation to cognitive function status in ACE III scale in NWS.

	Cut off	AUC	95% CI	Sensitivity %	95% CI	Specificity %	95% CI
Normal cognition vs. mild CI	> 50.79	0.688	0.42 - 0.95	62.5	38.64% - 81.52%	75	30.06% - 98.72%
Normal cognition vs. moderate CI	> 62.22	1	1 - 1	100	72.25% - 100%	100	51.01% - 100%
Mild CI vs. moderate CI	> 65.86	0.988	0.95 - 1	100	72.25% - 100%	93.75	71.67% - 99.68%

AUC, the area under the curve; CI, confidence interval; mild CI, mild cognitive impairment; moderate CI, moderate cognitive impairment.

well as patients with mild and moderate cognitive impairment. Currently, there is no generally available and sensitive diagnostic test for stroke, which is a major limitation in its early diagnosis as well as its treatment. Salivary XO may be the first potential biomarker used in the differential diagnosis of stroke and to assess the functional status of patients.

Our study also has some limitations. Although appropriate statistical tests determined the sample size calculation, further studies on a larger patient population are needed. It is also necessary to evaluate XO activity in cases with periodontal and other oral and systemic diseases, which may affect the parameters assessed in saliva. It would also be interesting to perform a more extended follow-up of the patients, to investigate the possible

correlation between evolution of XO salivary levels and the improvement of cognitive and physical functions, during the recovery after the acute phase of the stroke. To assess biomarker specificity, evaluation of salivary XO activity in patients with other neurological diseases [e.g., small vessel disease and transient ischemic attack (TIA)] is also essential.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Local Ethics Committee at the Poznan University of Medical Sciences. The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

MM: conceptualized, did laboratory determinations, performed statistical analysis, interpreted data, did performance of the graphic part of the manuscript, wrote the manuscript, reviewed the article, final approval of the version to be published, coordination of the project. MN: did laboratory determinations,

interpreted data. AZ: conceptualized, did laboratory determinations, reviewed the article, final approval of the version to be published. GB: did laboratory determinations. PG: performed clinical examination, qualified patients to the study, collected material. KH: performed clinical examination, qualified patients to the study, collected material. KG: conceptualized, did laboratory determinations, reviewed the article, final approval of the version to be published. All authors contributed to the article and approved the submitted version.

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# 4-Ethylguaiaicol Modulates Neuroinflammation and Promotes Heme Oxygenase-1 Expression to Ameliorate Brain Injury in Ischemic Stroke

Wen-Tsan Weng<sup>1</sup>, Ping-Chang Kuo<sup>1</sup>, Barbara A. Scofield<sup>1</sup>, Hallel C. Paraiso<sup>2</sup>, Dennis A. Brown<sup>3</sup>, I-Chen Yu<sup>2</sup> and Jui-Hung Yen<sup>1\*</sup>

<sup>1</sup> Department of Microbiology and Immunology, Indiana University School of Medicine, Fort Wayne, IN, United States,

<sup>2</sup> Department of Anatomy, Cell Biology and Physiology, Indiana University School of Medicine, Fort Wayne, IN, United States,

<sup>3</sup> Department of Pharmaceutical Sciences, Manchester University College of Pharmacy, Natural and Health Sciences, Fort Wayne, IN, United States

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### \*Correspondence:

Jui-Hung Yen  
jimyen@iu.edu

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Ischemic stroke is caused by a sudden reduction in cerebral blood flow that subsequently induces a complex cascade of pathophysiological responses, leading to brain inflammation and irreversible infarction. 4-ethylguaiaicol (4-EG) is reported to suppress inflammatory immune responses. However, whether 4-EG exerts anti-inflammatory effects in ischemic stroke remains unexplored. We evaluated the therapeutic potential of 4-EG and examined the cellular and molecular mechanisms underlying the protective effects of 4-EG in ischemic stroke. The effect of 4-EG in ischemic stroke was determined by using a transient middle cerebral artery occlusion (MCAO) animal model followed by exploring the infarct size, neurological deficits, microglia activation, inflammatory cytokine production, blood-brain barrier (BBB) disruption, brain endothelial cell adhesion molecule expression, and microglial heme oxygenase-1 (HO-1) expression. *Nrf2*<sup>-/-</sup> and HO-1 inhibitor ZnPP-treated mice were also subjected to MCAO to evaluate the role of the Nrf2/HO-1 pathway in 4-EG-mediated protection in ischemic stroke. We found that 4-EG attenuated infarct size and neurological deficits, and lessened BBB disruption in ischemic stroke. Further investigation revealed that 4-EG suppressed microglial activation, peripheral inflammatory immune cell infiltration, and brain endothelial cell adhesion molecule upregulation in the ischemic brain. Finally, we identified that the protective effect of 4-EG in ischemic stroke was abolished in *Nrf2*<sup>-/-</sup> and ZnPP-treated MCAO mice. Our results identified that 4-EG confers protection against ischemic stroke and reveal that the protective effect of 4-EG in ischemic stroke is mediated through the induction of the Nrf2/HO1 pathway. Thus, our findings suggest that 4-EG could be developed as a novel therapeutic agent for the treatment of ischemic stroke.

**Keywords:** 4-EG, HO-1, microglia, blood-brain barrier, ischemic stroke

# 1 INTRODUCTION

Stroke is ranked as the second leading cause of death worldwide with annual mortality more than 5 million. The burden of stroke not only lies on the high mortality but also high morbidity, resulting in up to 50% of survivors being chronically disabled (1). More than 80% of stroke cases belong to ischemic stroke, in which the occlusion of cerebral blood vessels initiates the acute phase of cerebral injury followed by neuronal excitotoxicity and oxidative damage. Following cerebral ischemia, the central nervous system (CNS) resident immune cells, microglia (MG), are rapidly activated in the injured brain. In addition, peripheral immune cells are recruited into the injured brain, leading to the secondary brain injury (2). In the CNS, MG maintain homeostasis; however, they can quickly respond to ischemic stress (3–5). Ischemic stress triggers MG activation and phagocytosis of damaged neurons in and around the infarct. Following reperfusion, macrophages play an important role in post-stroke inflammation in the ischemic brain (2). Infiltrating macrophages are activated and produce various inflammatory cytokines, including tumor necrosis factor alpha (TNF $\alpha$ ) and Interleukin-1 $\beta$  (IL-1 $\beta$ ) that promote neuronal cell death in the ischemic brain (5). In addition, there is a growing body of evidence demonstrating that blood–brain barrier (BBB) dysfunction is one of the major pathological causes following ischemic stroke (6). The function of BBB is known to protect the CNS and regulate peripheral leukocyte infiltration into the brain parenchyma through several molecules associated with brain endothelium (7). Among those regulatory molecules, tight junction proteins (TJPs), such as zonula occludens-1 (ZO-1) and occludin, and adhesion molecules, including intercellular adhesion molecule 1 (ICAM-1), vascular cell adhesion molecule 1 (VCAM-1), and E-selectin, play important roles in regulating peripheral leukocyte recruitment and modulating the permeability of BBB following ischemic stroke (8).

4-ethylguaiaicol (4-EG), a phenolic compound with the molecular formula C<sub>9</sub>H<sub>12</sub>O<sub>2</sub>, belongs to the class of organic compounds known as methoxyphenols (9, 10). 4-EG is produced along with 4-ethylphenol in wine and beer by the spoilage yeast *Brettanomyces*. In addition, 4-EG has been found in several different foods, such as green, yellow and orange bell peppers, corns, sesames, and coffee (10). Studies have demonstrated that phenolic compounds possess anti-inflammatory effects through inhibiting the expression of IL-1 $\beta$ , TNF $\alpha$ , IL-6, and cyclooxygenase-2 (9, 11). 4-EG has also been shown to exert anti-inflammatory effects through inhibiting nuclear factor kappa B (NF $\kappa$ B) and inflammasome activation as well as suppressing inflammatory cytokine production in THP-1 human monocytic cells (9, 11). Moreover, we have recently shown that 4-EG modulated neuroinflammation and inhibited

Th1/Th17 differentiation to ameliorate disease severity in experimental autoimmune encephalomyelitis (EAE), the animal model of multiple sclerosis (12), further demonstrating the anti-inflammatory effect of 4-EG in the CNS diseases.

Currently, whether 4-EG possesses an anti-inflammatory effect on modulating acute neuroinflammation, such as ischemic stroke, remains unknown. Thus, we explored the potential protective effect of 4-EG in ischemic stroke and investigated the cellular and molecular mechanisms underlying the protective effect of 4-EG in ischemic stroke. We found that 4-EG attenuated brain injury, mitigated neurological deficits, and decreased mortality in mice subjected to ischemic stroke. Further investigations revealed that 4-EG inhibited MG activation and suppressed neuroinflammation in the ischemic brain. Moreover, 4-EG lessened BBB disruption, repressed the cell infiltration of the CNS, and suppressed brain endothelial adhesion molecule upregulation in ischemic stroke animals. Finally, mechanistic studies revealed that 4-EG induced HO-1 expression in MG, and the inhibition of the Nrf2/HO-1 pathway abolished the protective effect of 4-EG in ischemic stroke. Thus, our findings provide the first evidence that 4-EG could be developed as a novel therapeutic agent for the treatment of ischemic stroke.

# 2 MATERIALS AND METHODS

## 2.1 Mice

All animal procedures were approved by the Purdue Animal Care and Use Committee and conducted in accordance with the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals. C57BL/6 and Nrf2<sup>-/-</sup> mice were purchased from the Jackson Laboratory (Bar Harbor, ME, USA) and bred in the animal facility with controlled humidity, temperature, and 12 h:12 h light–dark cycle with free access to food and water. The genotyping was performed routinely to ensure the phenotypes of Nrf2 deficiency (**Supplementary Figure 1**).

## 2.2 Reagents

4-EG, triphenyltetrazolium chloride (TTC), Alexa Fluor 594 goat-anti rabbit IgG secondary antibody, Evans blue, Ficoll PM 400, and LPS (*Escherichia coli* O55:B5) were purchased from MilliporeSigma (St. Louis, MO, USA). Zinc protoporphyrin (ZnPP) was purchased from Alfa Aesar (Haverhill, MA, USA). 7-aminoactinomycin D (7-AAD), Alexa Fluor 488-conjugated anti-mouse CD45 (clone: 30-F11), APC-conjugated anti-mouse CD45 (clone: 30-F11), PE/Cy7-conjugated anti-mouse CD11b (clone: M1/70), PE-conjugated anti-mouse CD11b (clone: M1/70), PE/Cy7-conjugated anti-mouse CD86 (clone: GL-1), PE/Cy7-conjugated anti-mouse CD68 (clone: FA-11), Alexa Fluor 647-conjugated anti-mouse CD31 (clone: MEC13.3), PE/Cy7-conjugated anti-mouse ICAM-1 (clone: HA58), and PE/Cy7-conjugated anti-mouse VCAM-1 (clone: 429, MVCAM.A) antibodies for flow cytometer analysis were purchased from BioLegend (San Diego, CA, USA). PE-conjugated anti-mouse E-selectin (clone: 10E9.6) antibody for flow cytometry analysis was purchased from BD Biosciences (San Diego, CA, USA). Alexa Fluor 488 anti-mouse Iba1 (EPR16588) antibody for immunohistochemistry (IHC) was purchased from Abcam

**Abbreviations:** CNS, central nervous system; MG, microglia; 4-EG, 4-ethylguaiaicol; BBB, blood–brain barrier; PBS, phosphate-buffered saline; 7-AAD, 7-aminoactinomycin D; HO-1, heme oxygenase-1; CBF, cerebral blood flow; CCA, common carotid artery; ECA, external carotid artery; IHC, immunohistochemistry; MCAO, middle cerebral artery occlusion; RT, room temperature; TJP, tight junction proteins; ZO-1, zonula occludens-1; TTC, triphenyltetrazolium chloride; ZnPP, zinc protoporphyrin.

(Cambridge, MA, USA). Anti-mouse HO-1 (10701-1-AP) antibody for flow cytometer and IHC was purchased from Proteintech (Chicago, IL, USA).

## 2.3 Middle Cerebral Artery Occlusion Model

Male and female C57BL/6 and *Nrf2*<sup>-/-</sup> mice (8–12 weeks old) were used for cerebral ischemia experiments as previously described (13). The intraluminal suture occlusion model was carried out to induce transient ischemic stroke. Briefly, mice were anesthetized by isoflurane, and the body temperature was controlled at  $37 \pm 0.5^\circ\text{C}$  during the surgical procedure. Cerebral blood flow (CBF) was measured before, during, and after ischemia by laser Doppler flowmetry (Moor Instrument VMS-LDF2) at the parietal bone (2 mm posterior and 3 mm lateral from Bregma). The right common carotid artery (CCA) was clamped by a microvascular clamp, and the right external carotid artery (ECA) was exposed. A minimal incision was made in the ECA stump followed by the insertion of a silicon-coated 6.0 nylon monofilament (Doccol Corp, Sharon, MA, USA) through ECA to MCA. After 40 min (male) or 1 h (female) of occlusion, the intraluminal suture was removed to reestablish CBF. Mouse was then placed in the recovery cage with the temperature maintaining at  $37^\circ\text{C}$  for 1 h. Mice with a total reduction of CBF more than 75% were included and randomly assigned to different treatment groups. The sham surgery was conducted with the same procedures without the insertion of a suture. At 2 h post-reperfusion, 4-EG 100 mg/kg suspended in 100  $\mu\text{l}$  phosphate-buffered saline (PBS) was i.v. administered to MCAO mice, and the same amount of PBS was i.v. administered to MCAO mice as vehicle controls. For the ZnPP treatment experiments, mice were i.p. administered vehicle (10% solutol) or ZnPP (30 mg/kg, dissolved in 10% solutol) overnight and 1 h prior to MCAO. At 2 h post-reperfusion, vehicle-treated MCAO mice were then subjected to vehicle or 4-EG 100 mg/kg treatment, and ZnPP-treated MCAO mice were subjected to 4-EG 100 mg/kg treatment. The investigators who conducted experiments were blinded to the animal groups.

## 2.4 Infarct Volume Measurement and Neurological Assessment

The cerebral infarct volume was measured to assess the severity of ischemic brain injury. Briefly, MCAO mice were anesthetized and transcardially perfused with PBS. The ischemic brain was then harvested and subjected to 2 mm coronal slicing with a rodent brain matrix followed by 1% TTC staining. After staining, the brain sections were scanned and the infarct volume was calculated by ImageJ as previously described (13). The neurological score was assessed at 48 h after injury using a six-point scale (0–5): score 0: normal; score 1: mild circling behavior with or without inconsistent rotation when picked up by the tail, <50% attempts to rotate to the contralateral side; score 2: mild consistent circling, >50% attempts to rotate to contralateral side; score 3: consistent strong and immediate circling, the mouse holds a rotation position for more than 1–2 sec with its nose almost reaching its tail; score 4: severe rotation progressing into barreling, the loss of walking, or righting reflex; and score 5: comatose or moribund.

## 2.5 Isolation of Mononuclear Cells From the Mouse Brain

Mononuclear cells were isolated from the mouse brain after ischemic stroke as previously described (14). MCAO mice were anesthetized and transcardially perfused with PBS. The brain was harvested and homogenized with 1X Hanks' balanced salt solution (HBSS) buffer followed by filtration through a 70- $\mu\text{m}$  nylon cell strainer. After centrifugation, cells were resuspended in 30% Percoll underlayering with 70% Percoll. Following centrifugation, the mononuclear cells were then isolated from the interface between 30% and 70% Percoll. The isolated mononuclear cells were subjected to the surface staining of CD45 and CD11b to detect peripheral immune cell infiltrates or to the surface staining of CD45, CD11b, and CD86 in the presence of 7-AAD to assess MG activation. To detect CD68 expression, the mononuclear cells subjected to the surface staining of CD45 and CD11b in the presence of 7-AAD were fixed and permeabilized followed by CD68 staining. To measure HO-1 expression, the mononuclear cells subjected to the surface staining of CD45 and CD11b in the presence of 7-AAD were fixed and permeabilized and then incubated with the primary HO-1 antibody followed by the Alexa Fluor 546 secondary antibody. The stained cells were then analyzed by flow cytometer (BD FACSVerse).

## 2.6 Immunohistochemistry

Brain samples were sectioned and fixed with 4% paraformaldehyde in PBS at  $4^\circ\text{C}$  overnight. After 6% and 30% sucrose dehydration, brain sections were embedded in optimal cutting temperature compound and cut into 16  $\mu\text{m}$  cryosections. Sections were then permeabilized in PBS containing 0.5% Triton X-100 for 30 min. Following blocking with goat serum (5% goat serum and 0.25% Triton X-100 in PBS) at room temperature (RT) for 1 h, sections were incubated with the primary HO-1 antibody at  $4^\circ\text{C}$  overnight. After washing, sections were then stained with the secondary antibody for 2 h followed by the Alexa Fluor 488 anti-mouse Iba1 antibody staining for 2 h at RT. After washing, samples were coverslipped with ProLong Gold antifade mountant containing DAPI. Immunofluorescence images were captured with a fluorescence microscope ( $\times 20\times$  BX53, Olympus). The slides stained with only secondary antibodies served as negative controls. The number of Iba1<sup>+</sup> cells per square millimeter was counted, and Iba1<sup>+</sup> cells were determined based on the cells with the morphology of large cell body and short dendrites, representing the stage 3–5 of MG activation (15). To determine HO-1 fluorescence intensity, negative controls were used to set up the color threshold of HO-1 fluorescence signals, and the mean of HO-1 fluorescence signals (fluorescence intensity) detected on the brain slides prepared from vehicle- and 4-EG-treated MCAO mice was calculated by ImageJ.

## 2.7 Evans Blue Extravasation Assay

The BBB permeability was assessed based on the leakage of Evans blue as previously described (14). Briefly, mice were i.v. injected with 2% Evans blue solution (4 ml/kg) at 2.5 h after reperfusion. After 1 h of Evans blue circulation, animals were anesthetized and transcardially perfused with PBS to remove intravascular

Evans blue. The brains were then harvested, sliced, and scanned. The hemispheres were then separated and homogenized in 50% trichloroacetic acid solution. After centrifugation, supernatants were harvested and diluted with 95% ethanol in a ratio of 1:3. The amount of extravascular Evans blue in the supernatant was then determined by measuring the fluorescence with excitation at 540/25 nm and emission at 645/40 nm (BioTek Synergy<sup>TM</sup> HT microplate reader).

## 2.8 Isolation of Microvasculature From the Mouse Brain

The microvasculature harvested from the ischemic brains was subjected to the analysis of adhesion molecule expression on brain endothelial cells as previously described (16). The brains harvested from MCAO mice were subjected to the removal of olfactory bulbs and cerebellum followed by homogenization by using an electrical drill (Milwaukee drill connected to the Staco Energy Products Autotransformer, 3PN1010B). The homogenized tissues were then mixed with an equal volume of 40% Ficoll solution to a final concentration of 20% Ficoll. Following centrifugation at 5,800x g, 4°C for 20 min, the pellet containing enriched microvessels was harvested and resuspended with 1X HBSS containing 0.5 mg/ml collagenase at 4°C for 20 min. After adding 10% fetal bovine serum medium to stop the reaction, the mixtures were subjected to filtration through a 70 µm nylon cell strainer followed by centrifugation. Cells were then collected and stained with anti-CD31 in the presence of anti-ICAM-1, anti-E-selectin, or anti-VCAM-1 antibodies followed by flow cytometry analysis.

## 2.9 Cell Culture

Primary MG were generated from P1–P2 neonatal mice as previously described (14). Briefly, cerebral cortical cells were harvested from P1–P2 neonatal mice and then plated in 75 cm<sup>2</sup> culture flasks with a complete Dulbecco's modified eagle medium: nutrient mixture F-12 (DMEM/F12) medium. At day 4 and 8 after cell plating, the medium was removed and replenished with complete media containing 10 ng/ml of granulocyte-macrophage colony-stimulating factor (GM-CSF). At day 12, MG were harvested from supernatants after the flasks were shaken at 37°C for 30 min. The mouse microglial cell line BV2 cells were grown in 25 cm<sup>2</sup> flasks. After the cells were grown to confluence, they were trypsinized and seeded on tissue culture plates for experiments. Primary macrophages were generated from bone marrow cells as previously described (17). Briefly, the bone marrow cells were cultured in a complete Roswell Park Memorial Institute (RPMI) 1640 medium containing 10 ng/ml of macrophage colony-stimulating factor (M-CSF). The cells were replenished with fresh media containing 10 ng/ml of M-CSF at day 3 and harvested at day 7 for experiments. The mouse brain endothelial cell line bEnd.3 cells were grown in 25 cm<sup>2</sup> flasks. After the cells were grown to confluence, they were trypsinized and seeded onto tissue culture plates for experiments.

## 2.10 Statistical Analysis

All results are given as mean ± SEM. The sample size was determined to be adequate based on our previous studies and

also prior literature using similar experimental paradigms. The normal distribution of the data in each group was confirmed by the Shapiro–Wilk normality test. Comparisons between two groups were done by an unpaired *t*-test, whereas comparisons among multiple groups were done by one-way ANOVA (one variable) or two-way ANOVA (two variables) followed by the Tukey *post-hoc* test. For samples that did not pass the normality test, comparisons among multiple groups were done by the Kruskal–Wallis test followed by Dunn's multiple comparison test. The statistical significance was determined as *p* < 0.05.

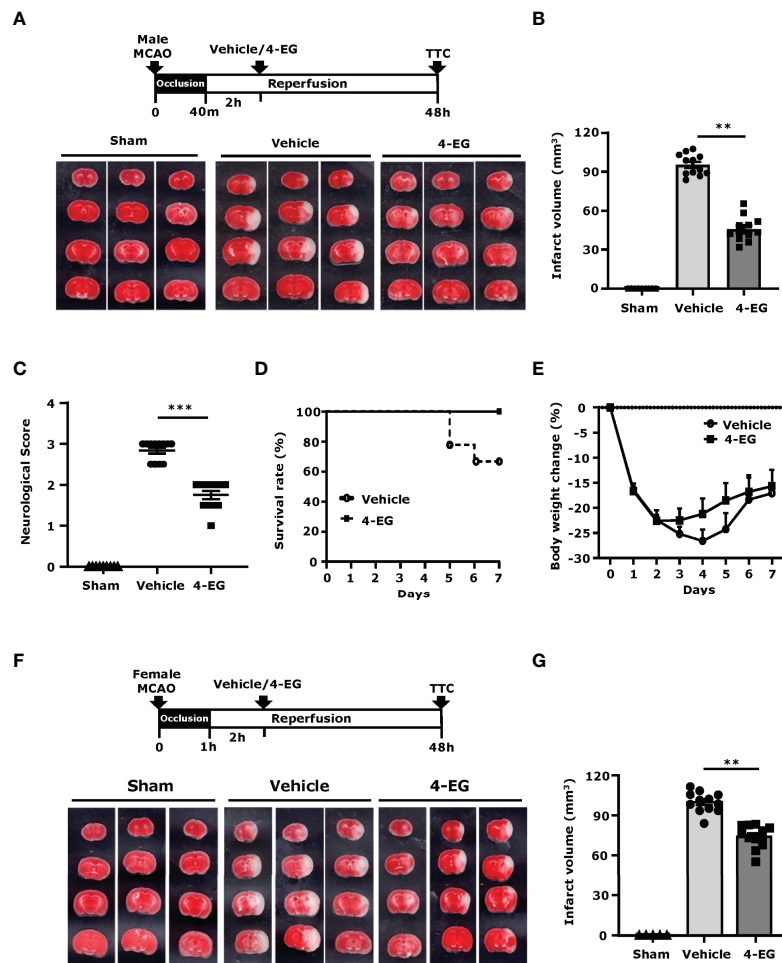
## 3 RESULTS

### 3.1 4-EG Ameliorates Brain Injury and Increases Survival in Ischemic Stroke

To determine whether 4-EG offered protection against ischemic stroke, C57BL/6 mice were subjected to MCAO and then administered vehicle or different doses of 4-EG (50, 100, or 150 mg/kg). At 48 h post-injury, mice were sacrificed and the ischemic brains were harvested and subjected to TTC staining to determine the infarct volume. We observed that the dose of 4-EG 50 mg/kg did not confer protection against ischemic stroke (**Supplementary Figures 2A, B**). In contrast, the doses of 4-EG 100 and 150 mg/kg conferred comparable protection against ischemic stroke, as MCAO mice treated with 4-EG 100 and 150 mg/kg displayed a similar level of cerebral infarct (**Supplementary Figures 2A, B**). However, 4-EG 150 mg/kg exerted a toxic effect, resulting in increased mortality in MCAO mice (**Supplementary Figure 2C**). Thus, the dose of 4-EG 100 mg/kg was selected to carry out the rest of studies.

Upon the administration of MCAO mice with 4-EG 100 mg/kg, we observed that 4-EG not only attenuated cerebral infarct volumes (4-EG 45.7 ± 9.5 mm<sup>3</sup> vs. vehicle 95.4 ± 8.1 mm<sup>3</sup>, **Figures 1A, B**) but also lowered neurological scores compared to vehicle-treated MCAO controls (**Figure 1C**). We further evaluated the long-term therapeutic effect of 4-EG on the survival of MCAO mice. Our results showed that 4-EG treatment increased long-term survival in MCAO mice in which vehicle-treated MCAO mice had a survival rate of 66.6%, whereas 4-EG-treated MCAO mice had a survival rate of 100% at day 7 post-injury (**Figure 1D**). We also compared the body weight changes between vehicle- and 4-EG-treated MCAO mice and observed that 4-EG-treated MCAO mice started to gain weight at day 3 post-injury, indicating that animals started to recover from ischemic brain injury. In contrast, vehicle-treated MCAO mice did not gain weight until day 5 post-injury and that could potentially contribute to decreased survival at day 7 post-injury (**Figure 1E**).

Finally, the effect of 4-EG in ischemic stroke was also evaluated in female C57BL/6 mice. Our results showed that 4-EG also conferred protection against ischemic brain injury in female MCAO mice, as 4-EG-treated female MCAO mice displayed a smaller infarct size compared to vehicle-treated female MCAO mice (4-EG 74.13 ± 8.6 mm<sup>3</sup> vs. vehicle 99.9 ± 7.6 mm<sup>3</sup>, **Figures 1F, G**). Taken together, our results demonstrate that 4-EG attenuates ischemic brain injury, lessens neurological deficits, and increases



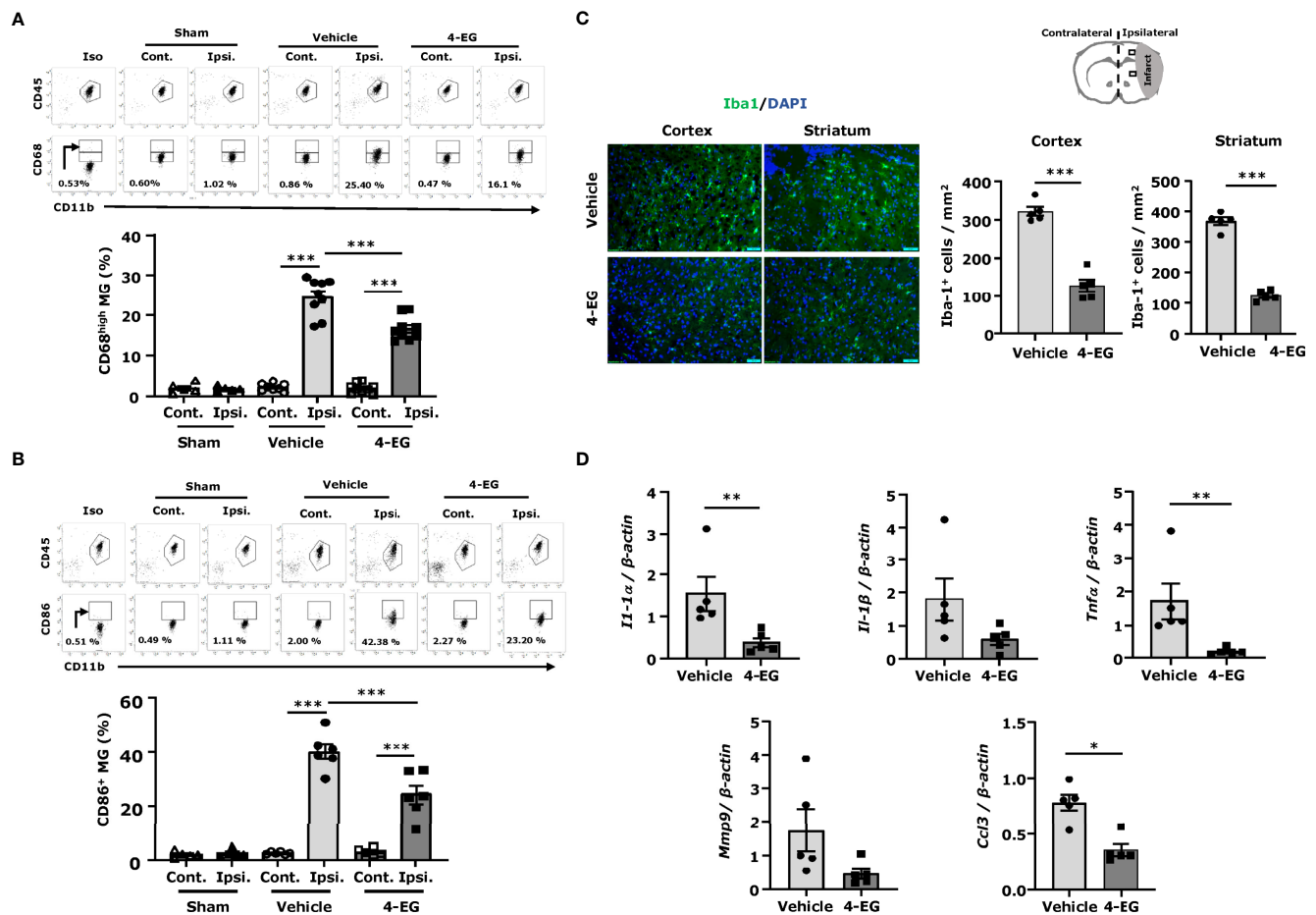
**FIGURE 1 |** 4-EG ameliorates brain injury and increases survival in ischemic stroke. **(A–E)** C57BL/6 male mice were subjected to sham or 40 min MCAO followed by vehicle or 4-EG (100 mg/kg) i.v. administration at 2 h post-reperfusion. **(A)** At 48 h post-injury, mice were sacrificed, and the ischemic brains were harvested and sliced (2 mm) followed by TTC staining. Three representative TTC-stained brain samples of sham, and vehicle- and 4-EG-treated MCAO mice are shown. **(B)** The infarct volumes and **(C)** neurological scores of sham ( $n=10$ ), and vehicle- and 4-EG-treated MCAO mice ( $n=12$ /group) were evaluated.  $^{**}p<0.01$ ,  $^{***}p<0.001$  by the Kruskal–Wallis test. **(D)** The survival rate and **(E)** body weight change of vehicle- and 4-EG-treated MCAO mice were evaluated up to day 7 post-injury ( $n=9$ /group). **(F, G)** C57BL/6 female mice were subjected to sham or 1 h MCAO followed by vehicle or 4-EG (100 mg/kg) i.v. administration at 2 h post-reperfusion. **(F)** Three representative TTC-stained brain samples of sham, and vehicle- and 4-EG-treated MCAO are shown, and **(G)** the infarct volumes of sham ( $n=5$ ), and vehicle- and 4-EG- treated MCAO female mice ( $n=10$ /group) were assessed.  $^{**}p<0.01$  by the Kruskal–Wallis test.

survival in ischemic stroke animals, suggesting that 4-EG exerts a therapeutic potential for the treatment of ischemic stroke.

### 3.2 4-EG Suppresses MG Activation and Neuroinflammation in Ischemic Stroke

In response to ischemic cerebral injury, MG are rapidly activated and produce inflammatory cytokines and chemokines (18). Activated MG upregulate co-stimulatory molecules, such as CD80 and CD86 (19). Furthermore, CD68, a lysosomal protein, is also highly upregulated in activated MG (20). To explore the effect of 4-EG on MG activation in ischemic stroke, we measured the expression of maturation markers, CD68 and CD86, on MG. The ischemic brains were harvested from sham, and vehicle- and 4-EG-treated MCAO mice followed by mononuclear cell isolation, and the isolated cells were then subjected to the staining of CD45 and

CD11b in the combination with CD68 or CD86 followed by flow cytometry analysis. We determined the MG population in the isolated mononuclear cells based on their intermediate expression of CD45 ( $CD45^{int}$ ) and positive expression of CD11b ( $CD11b^{+}$ ), and the gating strategy of flow cytometry analysis is presented in **Supplementary Figure 3A**. Our results showed that a low level of CD68 expression ( $CD68^{L}$ ) was detected in MG isolated from the ipsilateral hemisphere of sham controls as well as the contralateral hemisphere of vehicle- and 4-EG-treated MCAO mice, suggesting that MG express a basal level of CD68 under non-inflamed conditions (**Figure 2A**). Notably, ischemic stroke enhanced the microglial expression of CD68 ( $CD68^{H}$ ) in the ipsilateral hemisphere of the ischemic brain. However, 4-EG was capable of suppressing ischemia-enhanced microglial CD68 expression in the ipsilateral hemisphere (**Figure 2A**). Furthermore, we observed that



**FIGURE 2 |** 4-EG suppresses MG activation and neuroinflammation in ischemic stroke. C57BL/6 male mice were subjected to sham or 40 min MCAO followed by the i.v. administration of vehicle or 4-EG (100 mg/kg) at 2 h post-reperfusion. At 16–20 h post-injury, the ipsilateral and contralateral hemispheres of sham, and vehicle- and 4-EG-treated MCAO mice were harvested and subjected to mononuclear cell isolation. The isolated mononuclear cells were then stained with antibodies against CD45 and CD11b in the combination with CD68 or CD86 followed by flow cytometry analysis. MG were determined based on their surface intermediate expression of CD45 and positive expression of CD11b (CD45<sup>int</sup>CD11b<sup>+</sup>). Isotype controls (Iso) were used as a negative control to determine CD45<sup>int</sup>CD11b<sup>+</sup> MG positive for CD68 or CD86 expression. **(A)** The gating of CD68 low (CD68<sup>l</sup>) was based on the basal expression of CD68 in MG in sham controls, and the expression level of CD68 higher than CD68<sup>l</sup> was then determined as CD68 high (CD68<sup>h</sup>). The frequency of CD68<sup>h</sup> MG in the contralateral and ipsilateral hemispheres of sham (n=5), and vehicle- and 4-EG-treated MCAO mice (n=9/group) was then measured and quantified. \*\*\**p*<0.001 by two-way ANOVA. **(B)** The frequency of CD86 expression in the contralateral and ipsilateral hemispheres of sham (n=5), and vehicle- and 4-EG-treated MCAO mice (n=6/group) was also determined. \*\*\**p*<0.001 by two-way ANOVA. **(C)** At 20 h post-injury, the brain tissues of vehicle- and 4-EG-treated MCAO mice were harvested and subjected to IHC to assess Iba1 expression (n = 5/group). The representative images of Iba1<sup>+</sup> cells in the ipsilateral cortex and striatum of vehicle- and 4-EG-treated MCAO mice are shown. Cell nuclei were stained by DAPI. Scale bar, 50 μm. The number of Iba1<sup>+</sup> cells in the ipsilateral cortex and striatum was quantified. \*\*\**p* < 0.001 by unpaired *t*-test. **(D)** The mRNA expression of IL-1α, IL-1β, TNFα, MMP9, and CCL3 in the ischemic brain of vehicle- and 4-EG-treated MCAO mice (n=5/group) was measured. \**p*<0.05, \*\**p*<0.01 by the Kruskal–Wallis test.

ischemia stroke increased CD86<sup>+</sup> MG in the ipsilateral hemisphere of vehicle-treated MCAO mice compared to that of sham controls (**Figure 2B**). Consistent with its effect on CD68 expression in MG, 4-EG-treated MCAO mice exhibited a decreased frequency of CD86<sup>+</sup> MG compared to vehicle-treated MCAO mice (**Figure 2B**). Moreover, we subjected ischemic brain tissues to IHC to assess the level of Iba1<sup>+</sup> cells in vehicle- and 4-EG-treated MCAO mice. MG, displaying an activated amoeboid morphology with a large soma size and short branching processes, were observed in the ischemic brain of vehicle-treated MCAO mice. In contrast,

MG, exhibiting a resting ramified morphology with a small soma size and long branching processes, were found in the ischemic brain of 4-EG-treated MCAO mice (**Figure 2C** left). Further quantification of IHC results revealed that the number of Iba1<sup>+</sup> cells in the ipsilateral cortex and striatum was significantly lower in 4-EG-treated MCAO mice compared to vehicle-treated MCAO controls (**Figure 2C** right). Finally, we measured the expression of inflammatory molecules in the ischemic brain of vehicle- and 4-EG-treated MCAO mice. We found that 4-EG treatment suppressed the expression of inflammatory molecules, including IL-1α, IL-1β,

TNF $\alpha$ , MMP9, and CCL3, in the ischemic brain (**Figure 2D**). Taken altogether, these results demonstrate that 4-EG exerts anti-inflammatory effects on the suppression of MG activation and inhibition of inflammatory cytokine expression, leading to attenuated neuroinflammation in ischemic stroke.

### 3.3 4-EG Alleviates BBB Disruption and Represses Peripheral Immune Cell Infiltration of the CNS in Ischemic Stroke

Following cerebral ischemia, BBB disruption plays an important role in causing neurological dysfunction in ischemic stroke (21). To determine whether 4-EG alleviated ischemia-induced BBB disruption, mice were subjected to MCAO with 3 h occlusion to induce a severe BBB disruption followed by the administration of vehicle or 4-EG, and the level of BBB disruption was determined by Evans blue leakage at 3.5 h post-reperfusion. We found that cerebral ischemia induced a severe BBB disruption, exhibiting a profound leakage of Evans blue in the ipsilateral hemisphere, whereas 4-EG treatment markedly mitigated ischemia-induced BBB disruption, displaying a significant reduction of Evans blue leakage in the ischemic brain (**Figure 3A**).

Reperfusion following cerebral ischemia recruits peripheral inflammatory immune cells into the ischemic brain that further exacerbates brain injury, leading to the secondary brain injury (22). To explore whether attenuated brain infarct and alleviated BBB disruption observed in 4-EG-treated MCAO mice were correlated with repressed peripheral immune cell infiltration of the CNS, the ischemic brains were harvested from vehicle- and 4-EG-treated MCAO mice and subjected to mononuclear cell isolation followed by flow cytometry analysis to assess the level of peripheral cell infiltrates in the ischemic brain. Our previous studies have demonstrated that the contralateral hemisphere of MCAO mice and sham controls displayed a similar pattern of cell infiltrates (23). Thus, the contralateral hemispheres could serve as a proper control as sham controls. We observed that the contralateral hemisphere of vehicle- and 4-EG-treated MCAO mice only had a very few cell infiltrates (**Figure 3B**). However, we found that the frequency and number of CD45<sup>hi</sup>CD11b<sup>+</sup> monocytes/macrophages were significantly increased in the ipsilateral hemisphere compared to the contralateral hemisphere in vehicle-treated MCAO mice. In contrast, the frequency and number of CD45<sup>hi</sup>CD11b<sup>+</sup> monocytes/macrophages were significantly decreased in the ipsilateral hemisphere of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO controls (**Figure 3B**). Taken altogether, our results demonstrate that 4-EG lessens ischemia-induced BBB disruption that may subsequently suppresses peripheral immune cell infiltration of the CNS, leading to alleviated reperfusion-induced secondary brain injury in ischemic stroke.

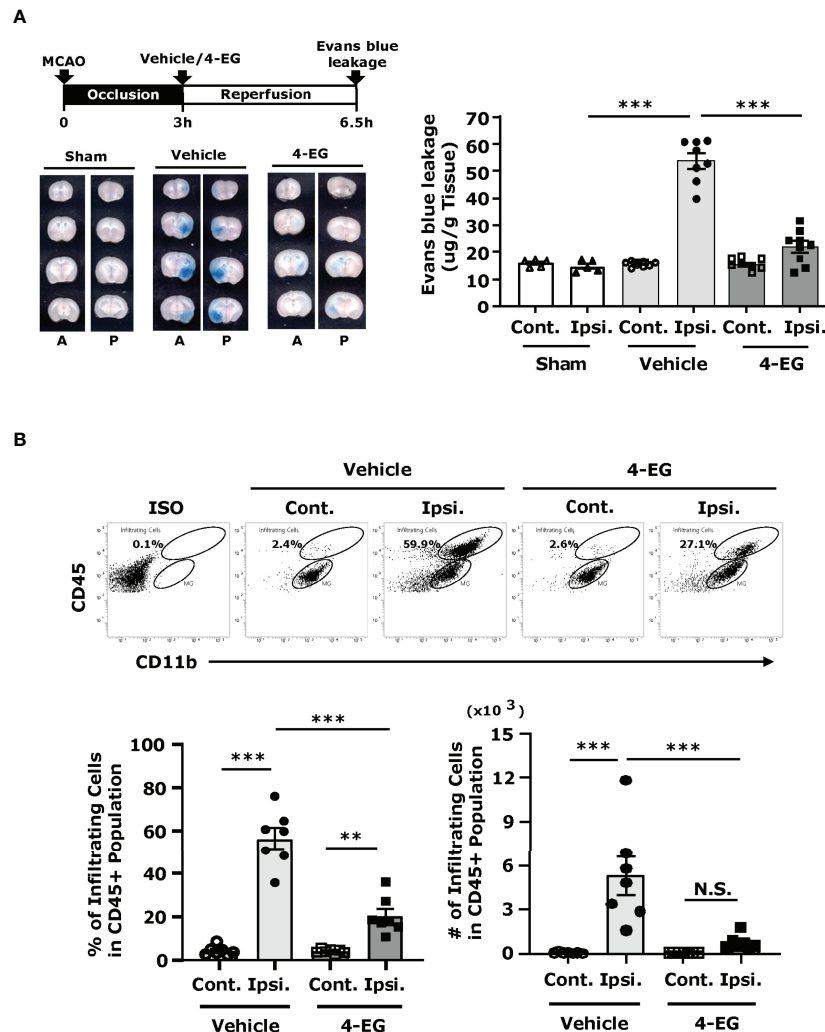
### 3.4 4-EG Inhibits Brain Endothelial Adhesion Molecule Expression in the Ischemic Brain

Studies have shown that the adhesion molecules, such as E-selectin, P-selectin, ICAM-1, and VCAM-1, are upregulated on the surface of brain endothelial cells within hours after ischemic

stroke that promotes the influx of inflammatory cells into the ischemic brain (24, 25). To explore whether 4-EG modulated brain endothelial adhesion molecule expression, the ischemic brains harvested from sham controls, and vehicle- and 4-EG-treated MCAO mice were subjected to microvasculature isolation followed by flow cytometry analysis to assess the level of adhesion molecule expression on the surface of brain endothelial cells. The brain endothelial cells were determined based on their positive expression of CD31, and the gating strategy of flow cytometry analysis is presented in **Supplementary Figure 3B**. Our results showed that ischemic stroke increased the frequency of ICAM-1<sup>+</sup>, E-selectin<sup>+</sup>, and VCAM-1<sup>+</sup> CD31<sup>+</sup> brain endothelial cells in the ipsilateral hemisphere of vehicle-treated MCAO mice compared to that of sham controls and the contralateral hemisphere of vehicle-treated MCAO mice (**Figure 4A**). Notably, the frequency of ICAM-1<sup>+</sup>, E-selectin<sup>+</sup>, and VCAM-1<sup>+</sup> CD31<sup>+</sup> brain endothelial cells was decreased in the ipsilateral hemisphere of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO mice (**Figure 4A**). The modulatory effect of 4-EG on adhesion molecule expression was further confirmed in the brain endothelial cell line, bEnd.3 cells, *in vitro*. We found that ICAM-1 and E-selectin were upregulated by TNF $\alpha$  stimulation in bEnd.3 cells. In contrast, 4-EG suppressed TNF $\alpha$ -upregulated ICAM-1 and E-selectin expression in bEnd.3 cells (**Figure 4B**). Taken altogether, our results demonstrate that 4-EG suppresses the upregulation of adhesion molecule expression in the brain endothelial cells of MCAO mice *in vivo* and in TNF $\alpha$ -activated bEnd.3 cells *in vitro*.

### 3.5 4-EG Promotes HO-1 Expression in MG *In Vivo* and *In Vitro*

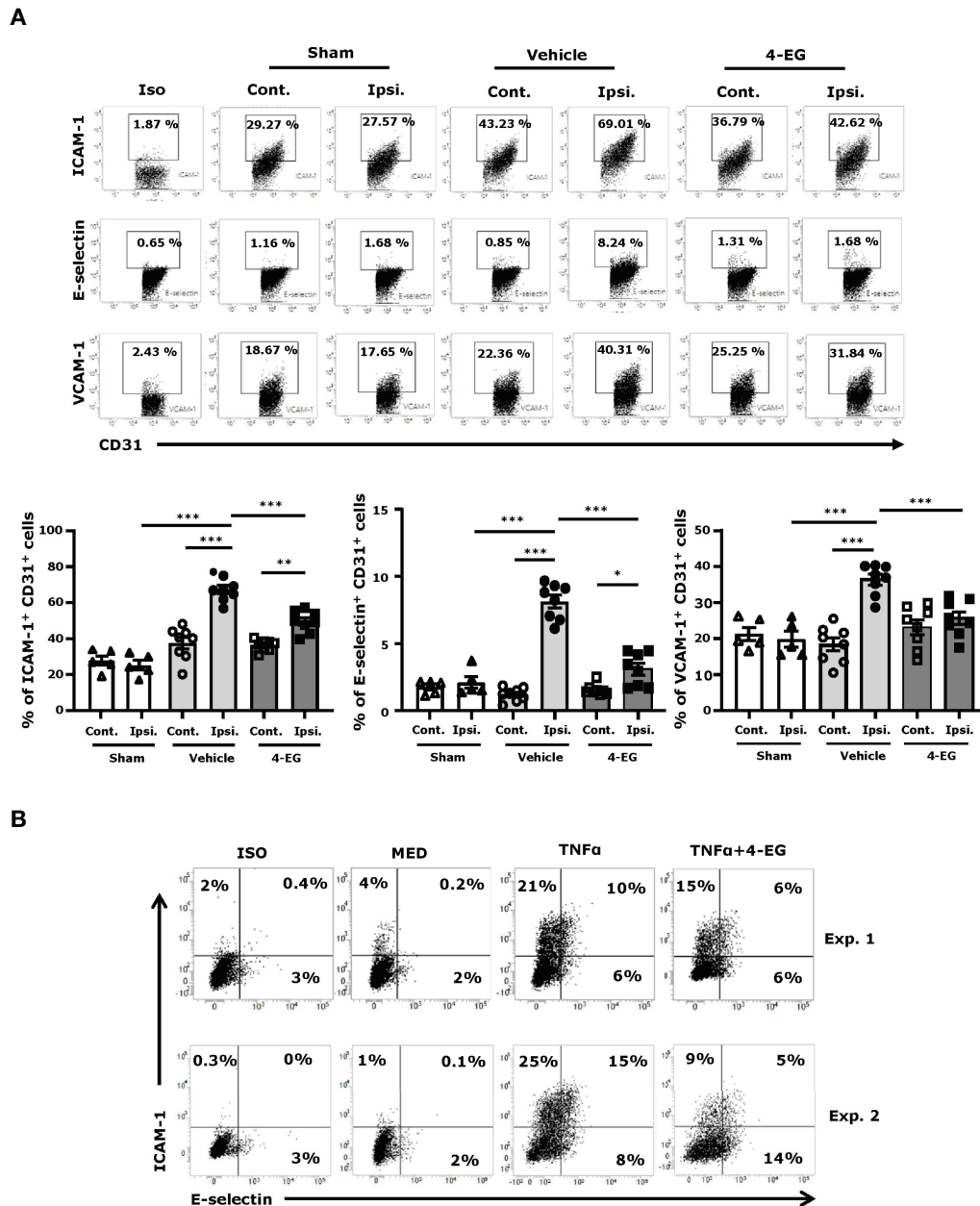
Previous studies have demonstrated that HO-1 overexpression significantly attenuates the infarct volume, and HO-1 deficiency results in exacerbated brain injury in ischemic stroke (26–28). Studies from other and our groups have shown that 4-EG induced HO-1 expression in THP-1 human monocytes and in the spinal cord of mice subjected to EAE, respectively (12, 29). Therefore, we explored whether 4-EG induced HO-1 expression in the ischemic brain to confer protection against ischemic stroke. As MG were reported to be the main producers of HO-1 in the CNS (30, 31), we therefore assessed whether MG displayed HO-1 expression following ischemic brain injury in vehicle- and 4-EG-treated MCAO mice. The ischemic brains were harvested from sham, and vehicle- and 4-EG-treated MCAO mice followed by mononuclear cell isolation, and the isolated cells were then subjected to the surface staining of CD45 and CD11b followed by the intracellular staining of HO-1. The expression of HO-1 in CD45<sup>int</sup>CD11b<sup>+</sup> MG was determined by flow cytometry analysis, and the gating strategy of analysis is presented in **Supplementary Figure 3A**. We found that ischemic stroke induced HO-1 expression in MG in the ipsilateral but not contralateral hemisphere (**Figure 5A**), suggesting that cerebral ischemic insults induce oxidative stress that promotes HO-1 upregulation in the ischemic brain. Importantly, we found that 4-EG treatment further enhanced HO-1 expression in



**FIGURE 3** | 4-EG alleviates BBB disruption and represses peripheral immune cell infiltration of the CNS in ischemic stroke. **(A)** C57BL/6 male mice were subjected to sham or 3 h MCAO followed by vehicle or 4-EG administration. At 2.5 h post-reperfusion, mice were i.v.-administered Evans blue. 1 h after Evans blue injection, ischemic brains were harvested and sectioned. The representative brain images of sham, and vehicle- and 4-EG-treated MCAO mice are shown (A, anterior surface; P, posterior surface), and the Evans blue extravasation in the contralateral (Cont.) and ipsilateral (Ipsi.) hemispheres of sham ( $n=5$ ), and vehicle- and 4-EG-treated MCAO mice ( $n=8$ /group) was determined.  $***p<0.001$  by two-way ANOVA. **(B)** C57BL/6 male mice subjected to 40 min MCAO were i.v. administered vehicle or 4-EG (100 mg/kg) at 2 h post-reperfusion. At 48 h post-injury, the ischemic brains harvested from vehicle- and 4-EG-treated MCAO mice were separated into the contralateral and ipsilateral hemispheres followed by mononuclear cell isolation ( $n=7$ /group). The isolated mononuclear cells were stained with antibodies against CD45 and CD11b and then analyzed by flow cytometry analysis. Isotype controls (Iso) were used as a negative control to determine CD45<sup>int</sup>CD11b<sup>+</sup> MG and CD45<sup>hi</sup>CD11b<sup>+</sup> infiltrating immune cells. The percentage and number of CD45<sup>hi</sup>CD11b<sup>+</sup> infiltrating cells were then determined.  $**p<0.01$ ,  $***p<0.001$ , N.S., no significant differences by two-way ANOVA.

MG, as the frequency of HO-1-expressing MG was markedly increased in the ischemic brain of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO mice (Figure 5A). To further confirm the observed results, we subjected brain tissues to IHC to assess HO-1 expression. We observed HO-1 expression in the ipsilateral cortex and striatum of vehicle-treated MCAO mice. Consistently, 4-EG treatment further enhanced HO-1 expression in the ipsilateral cortex and striatum compared to vehicle treatment in MCAO mice (Figure 5B). Notably, HO-1 expression was co-localized with Iba1<sup>+</sup> cells in the ischemic brain, although HO-1 expression was

also observed in non-Iba1<sup>+</sup> cells (Figure 5B). Finally, the effect of 4-EG on the induction of HO-1 was confirmed *in vitro* by using primary MG, BV2, and primary macrophages. Cells were activated with TNF $\alpha$  in the absence or presence of different doses of 4-EG followed by flow cytometry to determine HO-1 expression. The gating strategy of flow cytometry analysis is presented in Supplementary Figure 4. Our results showed that 4-EG dose-dependently upregulated HO-1 expression in these cells (Figure 5C). Altogether, our results demonstrate that 4-EG upregulates HO-1 expression in ischemia-activated MG *in vivo* and in TNF $\alpha$ -activated MG *in vitro*.

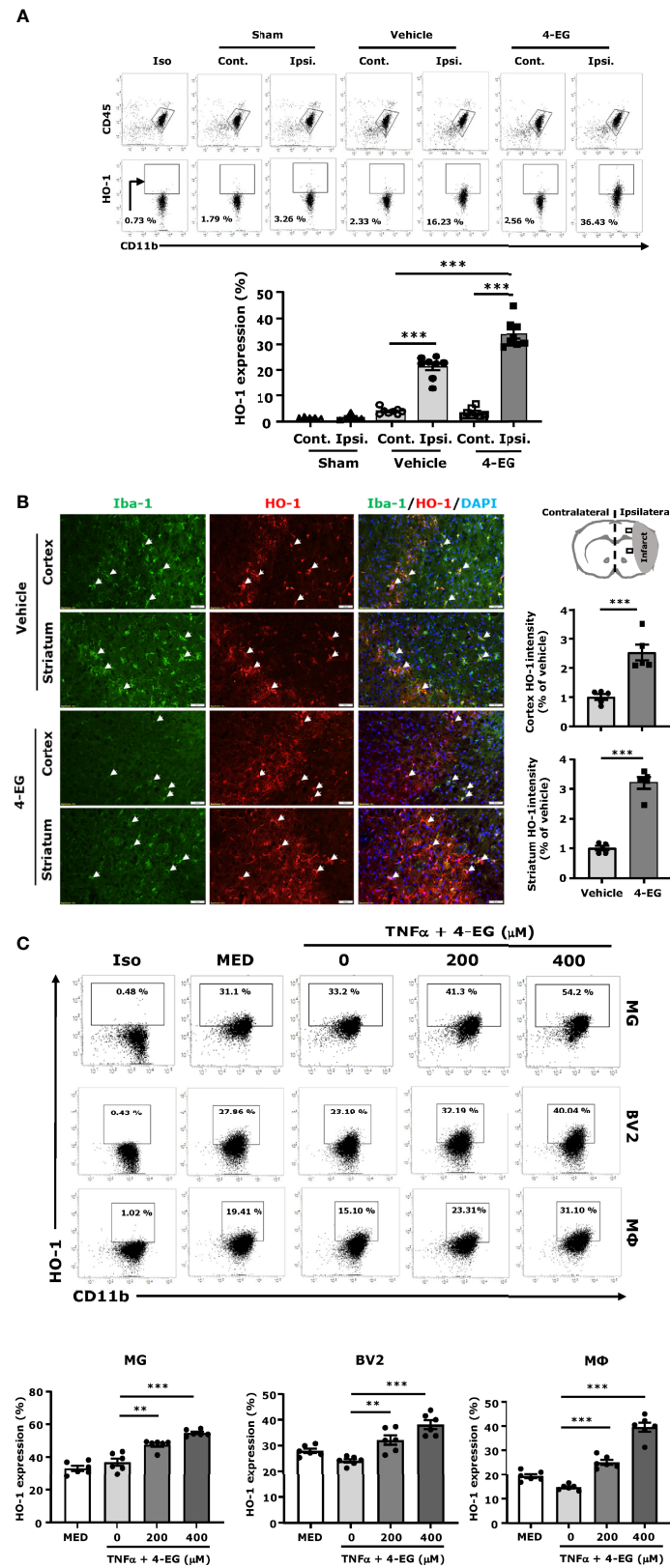


**FIGURE 4 |** 4-EG inhibits brain endothelial adhesion molecule expression in the ischemic brain. **(A)** C57BL/6 male mice were subjected to sham or 40 min MCAO followed by the i.v. administration of vehicle or 4-EG (100 mg/kg) at 2 h post-reperfusion. At 16–20 h post-injury, the contralateral and ipsilateral hemispheres of sham ( $n=5$ ), and vehicle- and 4-EG- treated MCAO mice ( $n=8$ /group) were harvested and subjected to microvasculature isolation. The isolated cells were then stained with CD31 in the combination with ICAM-1, E-selectin, or VCAM-1 followed by flow cytometry analysis. Isotype controls (Iso) were used as a negative control to determine CD31<sup>+</sup> brain endothelial cells positive for ICAM-1, E-selectin, or VCAM-1 expression. The frequency of ICAM-1<sup>+</sup>, E-selectin<sup>+</sup>, and VCAM-1<sup>+</sup> CD31<sup>+</sup> cells was determined. \* $p<0.05$ , \*\* $p<0.01$ , \*\*\* $p<0.001$  by two-way ANOVA. **(B)** bEnd.3 cells were pretreated with 4-EG 200  $\mu$ M for 1 h followed by TNF $\alpha$  50 ng/ml stimulation for 4 h. Cells were then harvested and stained with ICAM-1 and E-selectin followed by flow cytometry analysis. Two representative flow cytometry results of five independent experiments are shown.

### 3.6 Inhibition of Nrf2/HO-1 Pathway Reverses the Protection Effect of 4-EG in Ischemic Stroke

To confirm whether the induction of the Nrf2/HO-1 pathway was required for the protective effect of 4-EG in ischemic stroke,

male *Nrf2*<sup>-/-</sup> mice were subjected to MCAO followed by the administration of vehicle or 4-EG. 48 h post-injury, vehicle- and 4-EG-treated *Nrf2*<sup>-/-</sup> MCAO mice were sacrificed and the cerebral infarct was determined to assess the level of ischemic brain injury. Our results showed that the protective effect of 4-

**FIGURE 5 | Continued**

**FIGURE 5** | 4-EG promotes HO-1 expression in MG *in vivo* and *in vitro*. **(A)** C57BL/6 male mice were subjected to sham or 40 min MCAO followed by vehicle or 4-EG (100 mg/kg) i.v. administration at 2 h post-reperfusion. At 16–20 h post-injury, the contralateral and ipsilateral hemispheres of sham ( $n=5$ ), vehicle- and 4-EG-treated MCAO mice ( $n=8$ /group) were harvested followed by mononuclear cell isolation. The isolated mononuclear cells were subjected to the surface staining of CD45 and CD11b and then the intracellular staining of HO-1 followed by flow cytometry analysis. Isotype controls (Iso) were used as a negative control to determine CD45<sup>hi</sup>CD11b<sup>+</sup> MG positive for HO-1 expression. The frequency of HO-1 expression in CD45<sup>hi</sup>CD11b<sup>+</sup> MG was measured. \*\*\* $p<0.001$  by two-way ANOVA. **(B)** At 20 h post-injury, the brain tissues of vehicle- and 4-EG-treated MCAO mice ( $n=5$ /group) were subjected to IHC analysis to determine Iba1 and HO-1 expression. The representative images of Iba1 and HO-1 staining in the ipsilateral cortex and striatum of vehicle- and 4-EG-treated MCAO mice are shown. White arrows indicate the examples of Iba1/HO-1 co-localization. The fluorescence intensity of HO-1 in the ipsilateral cortex and striatum was also quantified. Scale bar, 50  $\mu$ m. \*\*\* $p<0.001$  by unpaired *t*-test. **(C)** MG, BV2, and macrophages (M $\Phi$ ) were pretreated with 4-EG 200  $\mu$ M or 400  $\mu$ M for 1 h followed by TNF $\alpha$  100 ng/ml stimulation for 24 h ( $n=6$ /treatment group). Cells were then collected and subjected to the surface staining of CD11b and then the intracellular staining of HO-1 followed by flow cytometry analysis. Isotype controls (Iso) were used as a negative control to determine CD11b<sup>+</sup> cells positive for HO-1 expression. \*\* $p<0.01$ , \*\*\* $p<0.001$  by one-way ANOVA.

EG in ischemic stroke was reversed in *Nrf2*<sup>-/-</sup> MCAO mice, as vehicle- and 4-EG-treated *Nrf2*<sup>-/-</sup> MCAO mice exhibited a comparable level of cerebral infarct (vehicle  $110.2 \pm 12.5$  mm<sup>3</sup> vs. 4-EG  $92.2 \pm 12.4$  mm<sup>3</sup>; **Figure 6A**). The reversed protective effect of 4-EG in *Nrf2*<sup>-/-</sup> MCAO mice was due to Nrf2 deficiency that subsequently led to attenuated HO-1 expression in the ischemic brain, as we observed 4-EG-induced HO-1 upregulation in MG was abolished in *Nrf2*<sup>-/-</sup> MCAO mice (**Supplementary Figure 5**). Furthermore, we determined the protective effect of 4-EG in female stroke mice with Nrf2 deficiency. Female *Nrf2*<sup>-/-</sup> mice were subjected to MCAO followed by vehicle or 4-EG treatment to determine the level of brain injury in ischemic stroke. Similarly, female vehicle- and 4-EG-treated *Nrf2*<sup>-/-</sup> MCAO mice displayed a comparable size of infarct (vehicle  $106.2 \pm 9.7$  mm<sup>3</sup> vs. 4-EG  $96.2 \pm 10.7$  mm<sup>3</sup>; **Figure 6B**). Collectively, these results indicate that the activation of the Nrf2 pathway is essential for 4-EG-conferred protection against ischemic stroke.

To further confirm whether the induction of HO-1 was required for the protective effect of 4-EG in ischemic stroke, male and female mice were treated with vehicle or ZnPP, an HO-1 inhibitor, and then subjected to MCAO followed by 4-EG administration. The control MCAO mice were subjected to vehicle treatments only. At 48 h post-injury, MCAO mice were sacrificed and infarct volumes were determined. Our results showed that both male and female MCAO mice treated with ZnPP and 4-EG exhibited significant larger infarct volumes than MCAO mice treated with 4-EG only, but displayed slightly decreased or comparable infarct volumes compared to vehicle-treated male or female MCAO mice, respectively (**Figures 6C, D**). These results indicate that the inhibition of HO-1 by ZnPP reverses the protective effect of 4-EG in ischemic stroke. Taken altogether, our results demonstrate that the induction of the Nrf2/HO-1 pathway plays an essential role in 4-EG-conferred protection against ischemic stroke.

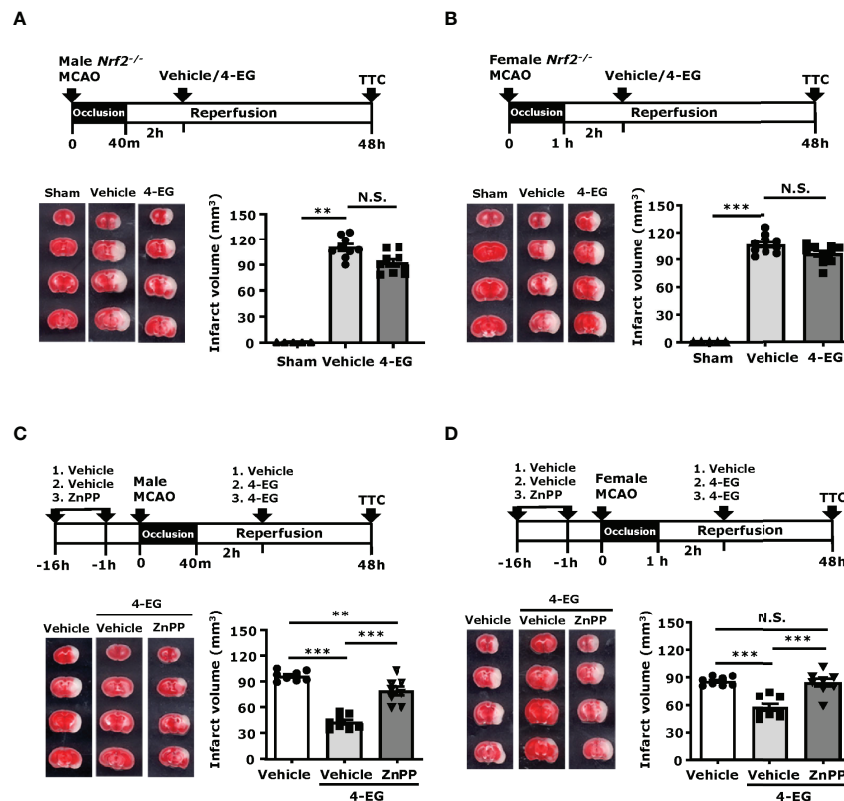
## 4 DISCUSSION

In the present study, we demonstrate the efficacy of 4-EG treatment in ischemic stroke. Our *in vivo* results show that 4-EG treatment attenuates brain infarct, alleviates BBB disruption, improves neurological deficits, and increases survival in MCAO mice. At the cellular levels, 4-EG suppresses MG activation, leading to decreased CD86 and CD68 expression, and suppressed brain endothelial cell activation, resulting in reduced ICAM-1, E-

selectin, and VCAM-1 expression in the ischemic brain. Mechanistically, 4-EG promotes HO-1 expression in MG to alleviate ischemic brain injury, as the protective effect of 4-EG in ischemic stroke is abolished in *Nrf2*<sup>-/-</sup> MCAO mice and MCAO mice treated with an HO-1 inhibitor. Thus, our results reveal that 4-EG exerts promising therapeutic effects on the attenuation of ischemic brain injury, suggesting that 4-EG could be developed as a novel therapeutic agent for the treatment of ischemic stroke.

Following ischemic stroke, neuroinflammation is a significant contributor to the pathological process of ischemic stroke (32–34). Inflammatory self-molecules derived from damaged tissue are generally called damage-associated molecular patterns (DAMPs), which are released from ischemic brain cells and stimulate DAMP receptors to induce the production of inflammatory cytokines and chemokines by MG that subsequently recruits peripheral immune cells infiltrating the injured brain (34). Thus, MG activation and peripheral immune cell infiltration contribute to the induction and aggravation of neuroinflammation in the ischemic brain, respectively (33, 35). In this study, we observed that 4-EG attenuated MG activation, as we found that the number of CD68- and CD86-expressing MG, and Iba1<sup>+</sup> cells was largely reduced in 4-EG-treated MCAO mice compared to vehicle-treated MCAO controls. Furthermore, we observed a significant decrease of immune cell infiltrates in the ischemic brain of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO controls. Altogether, our results demonstrate that 4-EG suppresses MG activation and inhibits peripheral immune cell infiltration of the ischemic brain in MCAO mice, suggesting that the protective effects of 4-EG in ischemic stroke may be partly mediated through its modulatory effects on both the CNS and peripheral immune cells, leading to attenuated neuroinflammation.

Ischemic stroke-induced brain damage is a complex pathophysiological process including multichannel damages (36, 37). Studies have demonstrated that BBB dysfunction is a decisive event during the progression of stroke (38, 39). Because BBB integrity plays an important role in maintaining the microenvironment and homeostasis of the brain (40), protecting the BBB from disruption is a prospective strategy for the prevention and treatment of ischemic stroke. Adhesion molecules expressed on the surface of brain endothelial cells play a vital role in the recruitment of leukocytes, especially neutrophils, into the CNS after ischemic brain injury (21). Targeting these molecules to block immune cell recruitment and minimize secondary inflammatory responses in stroke has been a reliable strategy for ischemic stroke treatment (21, 41). Leukocyte migration involves



**FIGURE 6 |** Inhibition of Nrf2/HO-1 pathway reverses the protection effect of 4-EG in ischemic stroke. **(A, B)** Male and female *Nrf2*<sup>-/-</sup> mice were subjected to sham or MCAO followed by vehicle or 4-EG (100 mg/kg) administration at 2 h post-reperfusion. At 48 h post-injury, mice were sacrificed, and the ischemic brains were harvested, sliced, and stained with TTC. **(A)** The representative TTC-stained brain samples of sham, and vehicle- and 4-EG-treated male *Nrf2*<sup>-/-</sup> MCAO mice are shown (left panel), and the infarct volumes of sham (n=5), and vehicle- and 4-EG-treated male *Nrf2*<sup>-/-</sup> MCAO mice (n=9/group) were measured (right panel). \*\**p*<0.01, N.S., no significant differences by the Kruskal–Wallis test. **(B)** The representative TTC-stained brain samples of sham, and vehicle- and 4-EG-treated female *Nrf2*<sup>-/-</sup> MCAO mice are shown (left panel), and the infarct volumes of sham (n=5), and vehicle- and 4-EG-treated female *Nrf2*<sup>-/-</sup> MCAO mice (n=10/group) were measured (right panel). \*\*\**p*<0.001, N.S., no significant differences by the Kruskal–Wallis test. **(C)** Male and **(D)** female C57BL/6 mice were pretreated with either vehicle or ZnPP (30 mg/kg) overnight and 1 h prior to MCAO. At 2 h post-reperfusion, vehicle-treated MCAO mice were treated with vehicle or 4-EG, and ZnPP-treated MCAO mice were treated with 4-EG. At 48 h post-injury, mice were sacrificed, and ischemic brains were harvested and subjected to TTC staining. The representative TTC-stained brain samples are shown (left panel), and the infarct volumes of vehicle-, 4-EG-, and ZnPP+4-EG-treated MCAO (n=8/group) mice were measured (right panel). \*\**p*<0.01, \*\*\**p*<0.001, N.S., no significant differences by one-way ANOVA.

the processes of rolling, adherence, and transendothelial migration, and these processes are needed for peripheral immune cells to access the ischemic brain through the BBB (21, 22). Cellular interactions between the endothelium and circulating leukocytes are mainly mediated by three groups of cell adhesion molecules, namely, selectins (such as E-selectin), the immunoglobulin superfamily (such as ICAM-1 and VCAM-1), and integrins (21, 41). The increased expression of E-selectin has been documented in the animal models of cerebral ischemia and has been shown to participate in neuroinflammation and brain injury after ischemic stroke (42). Furthermore, ICAM-1 has been shown to play a key role in psychiatric disorders, and it is a marker for inflammation (41). Moreover, studies have shown that the blockade of leukocyte adhesion by targeting the interactions among the various adhesion molecules prevents leukocytes from entering the ischemic tissue, resulting in reduced neuronal damage (12). In this study, we found that 4-EG treatment repressed the expression of ICAM-1, VCAM-1,

and E-selectin in brain endothelial cells, and diminished Evans blue leakage in ischemic stroke. Taken altogether, our results suggest that 4-EG could potentially modulate cellular interactions between the endothelium and circulating leukocytes to improve BBB integrity after ischemic stroke.

Nrf2 plays a central role in cellular defense against oxidative stress (28, 43). Under the condition of redox imbalance, Nrf2 activation promotes the production of various detoxifying and antioxidant enzymes, including HO-1, NQO1, and Gclc, through binding to antioxidant response elements (AREs). It has been reported to HO-1 has the most AREs on its promoter, making it a promising therapeutic target against brain injury in ischemic stroke (28, 43). Notably, HO-1 knockout mice exhibited a larger infarct compared to their WT controls after ischemic stroke, and MCAO mice treated with adenoviral vector overexpressing HO-1, resulting in decreased infarct volumes and attenuated neurologic deficits (28). Since MG were reported to be the main producers of

HO-1 in the CNS, we therefore conducted the assays of flow cytometry and IHC to determine the induction of HO-1 by 4-EG in MG following ischemic stroke. In the flow cytometry assay, MG were identified by their intermediate expression of CD45 and positive expression of CD11b, and their expression of CD45 and CD11b was not significantly altered following ischemic injury. We observed a low level of HO-1 expression in MG in both hemispheres of sham controls and the contralateral hemisphere of vehicle- and 4-EG treated MCAO mice, suggesting HO-1 is not induced under non-injured conditions in MG. In contrast, HO-1 was upregulated in MG in the ipsilateral hemisphere of vehicle-treated MCAO mice, and HO-1 expression was further upregulated in MG in the ipsilateral hemisphere of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO mice. These results demonstrate that ischemic insults induce HO-1 expression and 4-EG further upregulates HO-1 expression in MG in ischemic stroke. In the IHC assay, we found that Iba1 expression was downregulated in the ischemic brain of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO mice, suggesting that 4-EG suppresses MG activation. Notably, an increased level of HO-1 expression was observed in the ischemic brain of 4-EG-treated MCAO mice compared to that of vehicle-treated MCAO mice, and the co-localization of Iba1 and HO-1 immunoactivity was also detected in the ischemic cortex and striatum of vehicle- and 4-EG-treated MCAO mice. Collectively, these results demonstrate that 4-EG induces HO-1 upregulation in MG following ischemic stroke. Finally, we conducted *in vitro* studies to confirm our observation of the 4-EG-mediated induction of HO-1 expression *in vivo* by utilizing three different cell types, including primary MG, MG cell line BV2, and primary macrophages. Cells were activated with TNF $\alpha$  to mimic the inflammatory microenvironment in the ischemic brain, as previous studies have shown that TNF $\alpha$  was induced in the ischemic brain (44–46) and we also observed TNF $\alpha$  expression in the ischemic brain. Our results showed that 4-EG was capable of enhancing HO-1 expression in primary MG, BV2, and primary macrophages stimulated with TNF $\alpha$ . Altogether, our findings strongly demonstrate that 4-EG induces HO-1 expression in MG *in vitro* as well as *in vivo*.

Our observation of 4EG-induced HO-1 expression in the ischemic brain prompted us to investigate whether the induction of the Nrf2/HO-1 pathway was required for 4-EG-mediated protection against ischemic stroke. With the approach of inducing ischemic stroke in *Nrf2*<sup>-/-</sup> mice, we observed that the protective effect of 4-EG in ischemic stroke was reversed in *Nrf2*<sup>-/-</sup> MCAO mice. Importantly, we found that 4-EG-induced HO-1 upregulation in MG was abolished in *Nrf2*<sup>-/-</sup> MCAO mice. Furthermore, using the HO-1 inhibitor, ZnPP, we observed that the protective effect of 4-EG in ischemic stroke was also reversed in MCAO mice. Interestingly, we noticed that the protective effect of 4-EG in ischemic stroke was not totally abolished in *Nrf2*<sup>-/-</sup> and ZnPP-treated MCAO mice, as 4-EG was still able to slightly attenuate brain infarct in *Nrf2*<sup>-/-</sup> and ZnPP-treated MCAO mice. These results suggest that the 4-EG-induced Nrf2/HO-1 pathway plays an essential role in alleviating brain injury induced by ischemic insults; however, 4-EG may induce additional protective mechanisms to offer protection against ischemic stroke. Indeed, 4-EG was previously reported to

modulate NF $\kappa$ B and NLRP3 inflammasome activation (11), and the activation of NF $\kappa$ B and NLRP3 inflammasome was reported to induce brain injury in ischemic stroke (47, 48). Further studies would be required to investigate whether 4-EG directly inhibits NF $\kappa$ B and NLRP3 inflammasome activation to modulate brain injury in ischemic stroke.

There are limitations in our current study and that would be worthy of discussion. First, it is unknown whether 4-EG can cross the BBB, as there are no studies demonstrating their ability of crossing intact BBB. However, based on ChemDraw (PerkinElmer Informatics), 4-EG has a LogP value of 2.35 and it has been reported that drugs with LogP values above two are the most BBB penetrant (49). In addition, studies have shown that ischemic stroke induces BBB disruption (39), and in this study 4-EG was administered to MCAO mice at 2 h post-reperfusion that would facilitate their entering of the CNS through the disrupted BBB. Second, it is unknown what is the half-life of 4-EG *in vivo*. Although it is beyond the scope of the current study, future studies to investigate the pharmacokinetic properties of 4-EG would provide valuable data regarding its potential as a therapeutic agent for the treatment of ischemic stroke.

## 5 CONCLUSIONS

Our present study showed that 4-EG conferred protection against ischemic stroke. We observed that 4-EG suppressed MG activation, inhibited inflammatory molecule expression, and repressed the peripheral immune cell of infiltration of the CNS in ischemic stroke. Furthermore, we found that 4-EG suppressed brain endothelial cell adhesion molecule upregulation and alleviated BBB disruption in the ischemic brain. Finally, we revealed that 4-EG induced HO-1 expression in MG in the ischemic brain, and the inhibition of the Nrf2/HO-1 pathway reversed the protective effect of 4-EG in ischemic stroke. In summary, our results suggest that 4-EG, a natural compound, could be developed as a potential therapeutic agent for the treatment of ischemic stroke through its immunomodulatory and anti-inflammatory properties.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

The animal study was reviewed and approved by Purdue Animal Care and Use Committee.

## AUTHOR CONTRIBUTIONS

W-TW performed experiments, analyzed data, and wrote the manuscript. P-CK performed experiments and analyzed data. BS

and HP performed experiments. DB and I-CY contributed to study discussion and manuscript editing. J-HY conceived the study, designed experiments, and wrote the manuscript. All authors read and approved the final manuscript.

## FUNDING

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2022.887000/full#supplementary-material>

**Supplementary Figure 1 |** Genotyping of *Nrf2*<sup>-/-</sup> mouse by PCR. Genomic DNA extracted from mouse tails of wildtype (WT) (n=2) and *Nrf2*<sup>-/-</sup> mice (n=8) was amplified by PCR with multi-primers for genotyping. The primers used are as follows: Forward primer: 5'-GCCTGAGAGCTGTAGGCC-3', WT reverse primer: 5'-GGAATGGAATAAGCTCCTGCC-3', mutant reverse primer: 5'-GACAGTATCGGCCTCAGGAA-3'. PCR products of 262 and 400 bp correspond to WT and *Nrf2*<sup>-/-</sup> alleles, respectively.

**Supplementary Figure 2 |** The dose effect of 4-EG in ischemic stroke. C57BL/6 male mice were subjected to sham or 40min MCAO followed by vehicle or different doses of 4-EG (50, 100, or 150 mg/kg) i.v. administration at 2 h post-reperfusion (n=8/group). At 48 h post-injury, the ischemic brains were harvested and sliced (2 mm) followed by TTC staining. (A) Two representative TTC-stained brain samples of vehicle- and 4-EG-treated

MCAO mice are shown, and (B) the infarct volumes of survived vehicle- and 4-EG-treated MCAO male were measured. \*\*\**p*<0.001 by one-way ANOVA. (C) The survive rate of vehicle- and 4-EG-treated MCAO mice was also calculated.

**Supplementary Figure 3 |** Gating strategy of flow cytometry analysis *in vivo*. (A) Mononuclear cells isolated from the brains of sham and MCAO mice were subjected to surface staining of CD11b and CD45 in the presence of 7-AAD followed by surface staining of CD86 or intracellular staining of CD68 or HO-1. 7-AAD negative live cells were then gated followed by singlet gating. CD45<sup>int</sup>CD11b<sup>+</sup> cells were gated to identify MG, and isotype controls (Iso) were used as negative controls to determine CD45<sup>int</sup>CD11b<sup>+</sup> MG positive for the expression of CD86, CD68, or HO-1. (B) Microvascular cells isolated from the brains of sham or MCAO mice were subjected to surface staining of CD31 in the presence of 7-AAD followed by surface staining of ICAM-1, E-selectin, or VCAM-1. 7-AAD negative live cells were gated followed by singlet gating. CD31<sup>+</sup> cells were then gated to identify brain endothelial cells, and isotype controls (Iso) were used as a negative control to determine CD31<sup>+</sup> cells positive for the surface expression of ICAM-1, E-selectin, or VCAM-1.

**Supplementary Figure 4 |** Gating strategy of flow cytometry analysis *in vitro*. MG, BV2, and macrophages (MΦ) were subjected to surface staining of CD11b in the presence of 7-AAD followed by intracellular staining of HO-1. 7-AAD negative live cells were gated followed by singlet gating. Isotype controls (Iso) were used as negative controls to determine CD11b<sup>+</sup> cells positive for the expression of HO-1.

**Supplementary Figure 5 |** 4-EG-induced HO-1 expression in MG is abolished in *Nrf2*<sup>-/-</sup> MCAO mice. *Nrf2*<sup>-/-</sup> male mice were subjected to 40 min MCAO followed by vehicle or 4-EG (100 mg/kg) i.v. administration at 2 h post-reperfusion (n=8/group). At 16–20 h post-injury, the contralateral and ipsilateral hemispheres of vehicle- and 4-EG-treated *Nrf2*<sup>-/-</sup> MCAO mice were harvested followed by mononuclear cell isolation. The isolated mononuclear cells were subjected to surface staining of CD45 and CD11b and then intracellular staining of HO-1 followed by flow cytometry analysis. Isotype controls (Iso) were used as a negative control to determine CD45<sup>int</sup>CD11b<sup>+</sup> MG positive for HO-1 expression. The frequency of HO-1 expression in CD45<sup>int</sup>CD11b<sup>+</sup> MG was measured. \*\**p*<0.01; \*\*\**p*<0.001, N.S.; no significant differences by one-way ANOVA.

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## EDITED BY

Jui-Hung Jimmy Yen,  
Indiana University School of Medicine,  
United States

## REVIEWED BY

Takashi Shichita,  
Tokyo Metropolitan Institute  
of Medical Science, Japan  
Linglei Kong,  
Chinese Academy of Medical Sciences  
and Peking Union Medical College,  
China  
Yutong Jiang,  
Third Affiliated Hospital of Sun Yat-sen  
University, China

## \*CORRESPONDENCE

Yu Cui  
cuiyu1210@126.com

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# Roles of peripheral immune cells in the recovery of neurological function after ischemic stroke

Zhaolong Zhang<sup>1</sup>, Mengfei Lv<sup>2,3</sup>, Xin Zhou<sup>2,3</sup> and Yu Cui<sup>2,3\*</sup>

<sup>1</sup>Department of Interventional Radiology, The Affiliated Hospital of Qingdao University, Qingdao, Shandong, China, <sup>2</sup>Institute of Neuroregeneration and Neurorehabilitation, Qingdao University, Qingdao, Shandong, China, <sup>3</sup>Qingdao Medical College, Qingdao University, Qingdao, Shandong, China

Stroke is a leading cause of mortality and long-term disability worldwide, with limited spontaneous repair processes occurring after injury. Immune cells are involved in multiple aspects of ischemic stroke, from early damage processes to late recovery-related events. Compared with the substantial advances that have been made in elucidating how immune cells modulate acute ischemic injury, the understanding of the impact of the immune system on functional recovery is limited. In this review, we summarized the mechanisms of brain repair after ischemic stroke from both the neuronal and non-neuronal perspectives, and we review advances in understanding of the effects on functional recovery after ischemic stroke mediated by infiltrated peripheral innate and adaptive immune cells, immune cell-released cytokines and cell-cell interactions. We also highlight studies that advance our understanding of the mechanisms underlying functional recovery mediated by peripheral immune cells after ischemia. Insights into these processes will shed light on the double-edged role of infiltrated peripheral immune cells in functional recovery after ischemic stroke and provide clues for new therapies for improving neurological function.

## KEYWORDS

ischemic stroke, functional recovery, T cells, monocytes and macrophages, B cells, neutrophils, cytokines

## Introduction

Stroke is the leading cause of adult disability, and approximately sixty percent of survivors have motor, sensory, memory or language function deficits (Carmichael, 2006). There are two major types of strokes: ischemic stroke and intracerebral hemorrhage. Ischemic stroke occurs when a vessel supplying blood to the brain is obstructed, and accounts for 70–80% of all stroke cases (Feigin et al., 2009). Ischemic stroke can initiate neuronal death, which further result in blood–brain barrier (BBB) disruption and neuroinflammation cascades, leading to severe neurological deficits

(Iadecola and Anrather, 2011; Lyu et al., 2021). Generally, the adult brain is considered to have an extremely limited capacity for regeneration. Nevertheless, a growing body of literature suggests that diseases or injuries can trigger molecular, vascular, glial, neuronal, or environmental events that regulate brain repair (Cramer and Riley, 2008; Varadarajan et al., 2022). Likewise, both neuronal and non-neuronal mechanisms, such as neurogenesis, angiogenesis, astrogliosis and oligodendrogenesis, contribute to the improvement of behavioral deficits in the long-term after ischemic stroke (Font et al., 2010; Hu et al., 2015). Considering the lack of therapeutic drugs that can be administered during the late stage of stroke, it is of great importance to illuminate the mechanisms underlying the complicated repair processes.

As immune cells are essential for both initiating and resolving inflammatory responses, the regulation of brain injury and repair of immune cells are becoming increasingly understood (Seifert and Pennypacker, 2014; Prinz and Priller, 2017; Carrasco et al., 2022). Ischemic damage-induced sterile neuroinflammation, which is caused by recognition by danger/damage-associated molecular patterns (DAMPs) such as ATP, HMGB1 and damaged DNA, and specific pattern-recognition receptors (PRRs), occurs within a few days after stroke onset, during which innate immune cells, including microglia, macrophages, and neutrophils, play a major role. After the acute phase (Days 1–3 post-ischemia), adaptive immunity-associated immune cells such as distinct types of T cells and B cells, have been observed to infiltrate the brain by different means and last for weeks or months, indicating the involvement of these cells in functional recovery (Jayaraj et al., 2019; Iadecola et al., 2020). To date, the contribution of neuroinflammation in the primary injury caused by the initial ischemic event or secondary injury of the brain created by a series of biological and functional changes has long been investigated. The effects of these infiltrated immune cells in the chronic phase of functional restoration are poorly understood.

This review discusses the role of infiltrating peripheral immune cells in the functional recovery of ischemic stroke. Besides peripheral immune cells, the brain also harbors some immune cells, which are essential for brain development and function and regulate ischemic stroke (Colonna and Butovsky, 2017; Smolders et al., 2018; Badimon et al., 2020). Many previous studies have thoroughly reviewed the role of brain resident immune cells in ischemic stroke as well as immune cells in the regulation of the acute injury phase (Iadecola and Anrather, 2011; Hu et al., 2015; Jian et al., 2019; Iadecola et al., 2020). Here, we provide focused attention only on the role of peripheral innate and adaptive immune cells in the recovery of neurological function during the subacute (Days 4–8 post-ischemia) and chronic phases (exceeding 9 days post-ischemia) of ischemic stroke (Figure 1), and highlight outstanding questions for future studies.

## Neuronal and non-neuronal mechanisms of functional recovery after ischemic stroke

Ischemic stroke-induced injury can trigger some repair processes, such as neurogenesis, axon sprouting, oligodendrogenesis, angiogenesis, and astrogliosis, the degree of which depends on the severity and topography of the injury. For many decades, findings from human and animal studies have continued to shed light on the molecular, vascular, glial, neuronal, and environmental events that regulate neurological recovery during the weeks after ischemic stroke (Carmichael, 2006; Cramer and Riley, 2008). In the following section, we briefly summarize the main aspects from the neuronal and non-neuronal perspectives (Table 1).

Tremendous efforts have been devoted to characterizing the cellular and molecular mechanisms of neuronal regeneration. Methods to promote neuron regeneration in the brain, such as by blocking inhibitory factors for myelination and promoting axon sprouting, have met with mixed success, albeit some challenges. For example, in many experiments, increased axon sprouting or neuronal survival has been observed after treatment (Barker et al., 2018; Joy et al., 2019; Hu et al., 2020); however, approaches to fully restore circuit function are lacking. In addition, the differences in reactions and effects in animal models and human patients make it difficult for clinical trials (Carmichael, 2006; Carmichael et al., 2017; Varadarajan et al., 2022). These discoveries cannot be ignored as they reveal possible neuronal regeneration mechanisms existing in stroke models weeks and months after acute damage and raise important strategies to intervene in neuronal regeneration and functional recovery, which include neurogenesis, axon sprouting and extension, synapse reformation and stimulation-based refinement of newly formed circuits (Small et al., 2013; Joy and Carmichael, 2021; Shi X. et al., 2021; Varadarajan et al., 2022). To achieve better functional connectivity, methods targeting multifaceted processes are needed.

Besides neuronal injury, ischemic stroke can also result in marked damage to the BBB and neurovascular unit dysfunction, and induce inflammatory responses in glial cells in human and animal models (Jiang et al., 2018; Jayaraj et al., 2019). These changes further lead to events including (1) angiogenesis, which is mediated by endothelial cells; (2) the astrogliosis of astrocytes; (3) oligodendrogenesis, which is regulated by oligodendrocyte progenitor cells (OPCs); and (4) changes in inflammatory phenotypes and phagocytic capacity of innate immune cells (Hatakeyama et al., 2020; Shibahara et al., 2020; Zhu et al., 2021). The capacity of neuronal regeneration and the ultimate recovery of sensorimotor and language deficits or neuropsychiatric sequelae depend on these processes in the long-term.

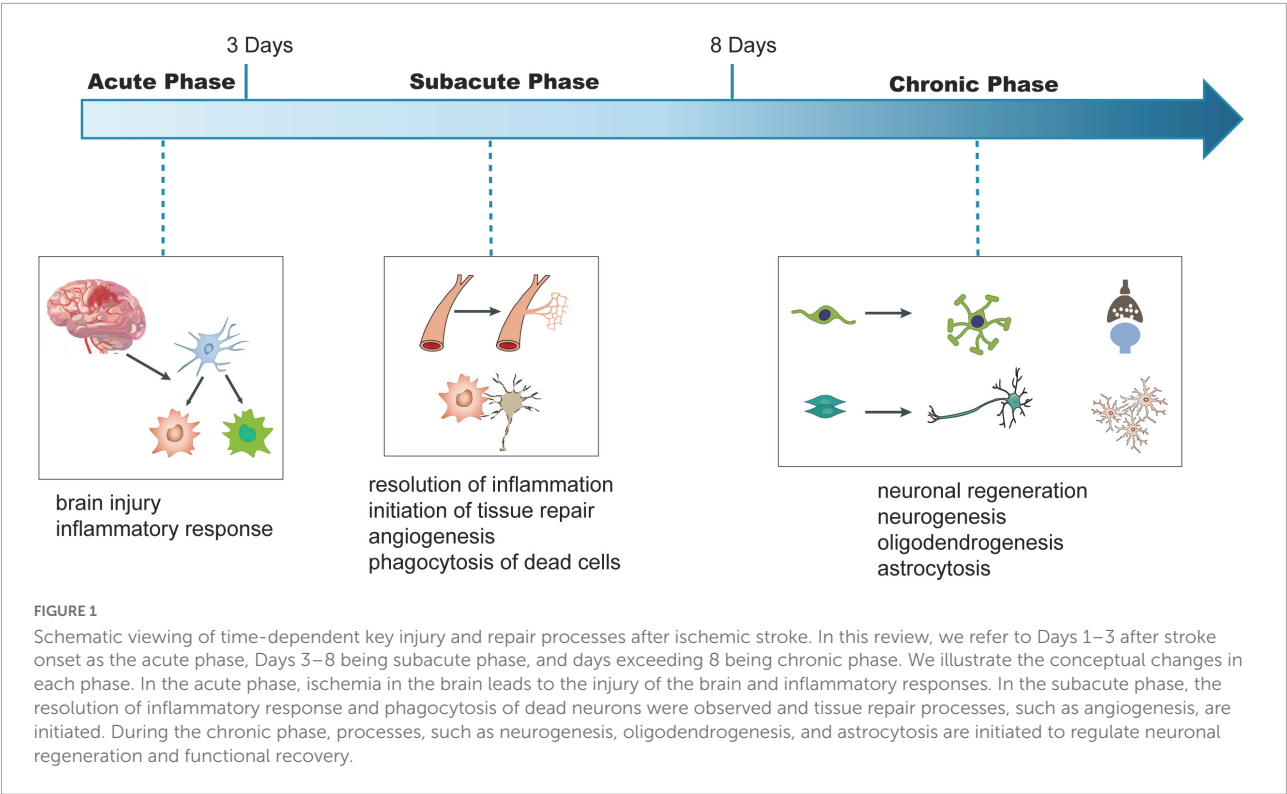


TABLE 1 Mechanisms of peripheral immune system in functional recovery after ischemic stroke.

	Mechanism	Immune cells or factors	Effects on functional recovery
Neuronal	Neurogenesis	Treg cells (Brifault et al., 2015), MMs (Jones et al., 2018), IL-6 (Alia et al., 2017), IL-17A (Miron et al., 2013), B cells (Chen et al., 2022)	Improvement (Treg cells, B cells, IL-6, IL-17A); Exacerbation (MMs)
	Axon sprouting and Extension	Not reported	
	Synaptic plasticity	Not reported	
	Circuit Reformation	Not reported	
Non-neuronal	Angiogenesis	Neutrophil (Otxoa-de-Amezaga et al., 2019), MMs (Gendron et al., 2002; Chamorro et al., 2012)	Improvement (Neutrophils, MMs)
	Astrogliosis	Treg cells (Qiu et al., 2021)	Improvement (Treg cells)
	Oligodendrogenesis	Treg cells (Martinez and Gordon, 2014), IL-4 (Laterza et al., 2017)	Improvement (Treg cells)
	M1/M2 polarization	IL-4 (Ma et al., 2017), Treg cells (Martinez and Gordon, 2014), MMs (Jin et al., 2006; Lei et al., 2012; Roy Choudhury et al., 2014; Kanazawa et al., 2019; Hatakeyama et al., 2020; Zhu et al., 2021)	Improvement (IL-4, Treg cells, MMs)
	Phagocytosis	MMs (Iwai et al., 2010; Zhang et al., 2010, 2013; Chu et al., 2014; Choudhury and Ding, 2016; Sims and Yew, 2017)	Improvement (MMs)

MMs, myeloid and macrophages; Treg cell, Regulatory T cells; IL-4, interleukin 4; IL-6, interleukin 6; IL-17A, interleukin 17A.

Angiogenesis is thought to contribute to functional recovery in two ways. First, new blood vessels that are formed after ischemia enhance neurogenesis by facilitating the migration of neural stem/progenitor cells (NSCs/NPCs) toward the infarct

region by supplying nutrients, oxygen and soluble factors, promoting the proliferation and differentiation of NSCs/NPCs via the expression of extracellular signals and supplying oxygen and growth factors (Grade et al., 2013; Ruan et al., 2015;

Lange et al., 2016; Kanazawa et al., 2019). Second, postischemic angiogenesis contributes to axonal outgrowth by vascular endothelial growth factors (VEGFs) and laminin/ $\beta$ 1-integrin signaling (Jin et al., 2006; Lei et al., 2012; Hatakeyama et al., 2020). After ischemic injury, some activated astrocytes can transform into reactive astrocytes, which causes astrogliosis or forms glial scars. Although some studies have shown that reactive astrogliosis with compact glial scar formation may impede axonal regeneration and hinder functional recovery process (Zhang et al., 2010; Roy Choudhury et al., 2014), other studies have also demonstrated that reactive astrogliosis can be neuroprotective by generating and releasing factors or proteins such as glial-derived neurotrophic factor (GDNF), VEGF and heterodimeric glycoprotein, clusterin 1 (Choudhury and Ding, 2016; Sims and Yew, 2017). Stroke acutely induces mature oligodendrocyte damage, leading to loss of myelin. During the recovery phase of ischemic stroke, there is a significant increase in the generation of OPCs, and some of them become mature myelinating oligodendrocytes, which are essential for white matter repair and long-term functional recovery after ischemic stroke (Iwai et al., 2010; Zhang et al., 2013). The role of inflammatory phenotypes and phagocytic capacity of innate immune cells in functional recovery are complex and discussed in detail in the peripheral immune cell and functional recovery sections.

## Effects and mechanisms of peripheral immune cells in functional recovery after ischemic stroke

Immediately following ischemic stroke, brain-resident immune cells such as microglia and astrocytes are activated to respond to ischemia injury. Subsequently, peripheral immune cells are activated and recruited to the brain to assist in the immune response (Chu et al., 2014; Jones et al., 2018). In the following days, peripheral immunodepression can occur, with a subsequent increased risk for systemic infections, especially in patients with large strokes (Gendron et al., 2002). The extent of these local and peripheral immune responses to stroke is variable, and this plays an important role in determining patient outcomes and overall functional recovery in the acute and chronic phases after stroke (Chamorro et al., 2012; Hu et al., 2015). In the following part, we summarized the roles of peripheral immune cells in two perspectives: (1) the roles of main immune cells on functional recovery (see section “Peripheral immune cells and functional recovery”); (2) roles of interactions and communications between peripheral immune cells and brain resident cells on functional recovery (see section “Roles of interactions and communications between peripheral immune cells and brain resident cells in functional recovery”).

## Peripheral immune cells and functional recovery

### Innate immune cells

#### Neutrophils

Neutrophils are traditionally recognized as the first line of innate immune defense against pathogens (Amulic et al., 2012). Similarly, neutrophils are the first responders to infiltrate the brain after ischemic injury as they were observed to attach the brain endothelial cells within a few minutes and peak at 1–3 days (Perez-de-Puig et al., 2015; Ma et al., 2021). After activation, neutrophils produce cytokines to recruit other immune cells, engulf microbes *via* receptor-mediated phagocytosis, and further release granular antimicrobial molecules as well as the formation of neutrophil extracellular traps (NETs) (Amulic et al., 2012). Consistent with their infiltration time, neutrophils mainly function in the acute injury events, such as regulation of BBB integrity and inflammation-mediated brain infarction (Jickling et al., 2015; Cai et al., 2020; Ma et al., 2021).

Recently, one study showed that neutrophils accumulate in the peri-infarct area during all stages of ischemic stroke, and depletion of neutrophils reduces the breakdown of BBB and enhances neovascularization at Day 14 (Kang et al., 2020). Mechanistically, NETs formation promotes subsequent activation of STING-dependent type I IFN production, which potentially induces vascular remodeling to enhance functional recovery (Kang et al., 2020). Notably, a unique immature neutrophil subset, that secretes growth factors to promote axon regeneration in the optic nerve and spinal cord has been identified in a recent report (Sas et al., 2020). Whether this type of neutrophils also enhance axon sprouting in ischemic stroke is unknown.

Similar to monocytes and macrophages (MMs), neutrophils can also be derived into proinflammatory N1 and anti-inflammatory N2 subtypes; the N1 type is generally considered neurotoxic and the N2 type is neuroprotective during the acute injury phase of ischemic stroke (Cuartero et al., 2013; Jickling et al., 2015; Wanrooy et al., 2021). Whether type N2 neutrophil-induced resolution of neuroinflammation facilitates functional recovery remains unknown. In addition, studies have shown that microglia mediate phagocytosis of neutrophils, which may help to restore the homeostasis of the brain and retain neuronal function and survivability after stroke (Neumann et al., 2008; Otxoa-de-Amezaga et al., 2019) (Figure 2). The role of different neutrophil subsets in other points of neuroplasticity remains unclear.

### Monocytes and macrophages

Monocytes and macrophages are mononuclear phagocytes and are derived from macrophage/dendritic cell progenitors in the bone marrow (BM). At least two subtypes of monocytes have been reported in mice:  $Ly6C^{hi}$  classical inflammatory monocytes and  $Ly6C^{low}$  non-classical patrolling monocytes.

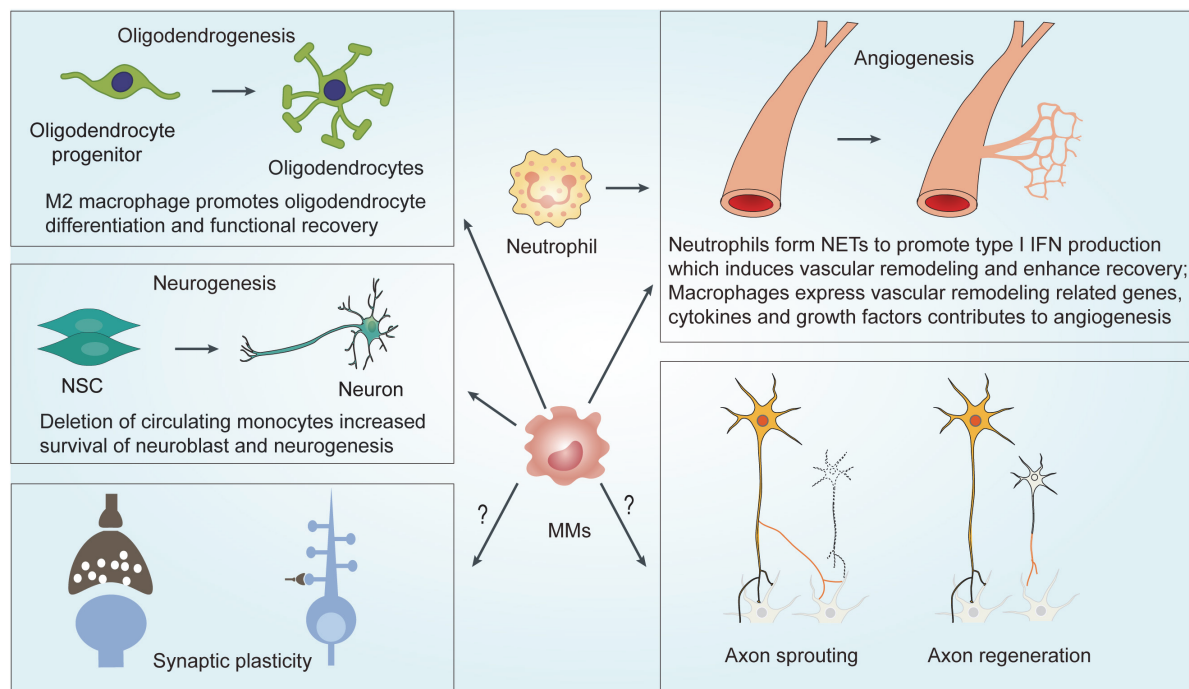


FIGURE 2

Mechanisms underlying MMs and neutrophils-mediated effects on functional recovery after ischemic stroke. MMs regulates functional recovery by modulating neurogenesis, angiogenesis and oligodendrogenesis. NETs formation of neutrophils induces vascular remodeling and angiogenesis to enhance functional recovery. MMs, Monocytes and macrophage; NSC, neural stem cell; NET, neutrophil extracellular traps; IFN, interferons.

Ly6C<sup>low</sup> monocytes are derived from Ly6C<sup>hi</sup> monocytes in the blood or common monocyte progenitor (cMoP) in the BM (Ginhoux and Jung, 2014). During disease progression, they can exit to the circulatory system in a C-C chemokine receptor 2 (CCR2)-dependent manner and enter tissues to give rise to tissue macrophages referred to as monocyte-derived macrophages (MDMs), which express high levels of CD68 (Auffray et al., 2009; Ginhoux and Jung, 2014; Li and Barres, 2018; Han et al., 2020).

### Monocyte and macrophage responses and functional recovery after ischemic stroke

#### (1) Neuroinflammation and functional recovery

Previous studies showed that the number of monocytes peaks at Day 3 after ischemic stroke, and they differentiate into MDMs (Wattananit et al., 2016; Fang et al., 2018). During ischemic stroke, dying/dead neurons release DAMPs, such as ATP, HMGB1, damaged DNA and peroxiredoxin family proteins, which can be recognized by pattern recognition receptors (PRRs), including Toll-like receptor (TLR)-2 and TLR-4, expressed by some innate immune cells, such as MMs, microglia and neutrophils (Shichita et al., 2012).

Many studies showed that activated MMs can polarize into distinct subtypes, including the well-known M1 and

M2 subpopulations (Figure 3A). The M1 subtype secretes proinflammatory cytokines, such as tumor necrosis factor alpha (TNF- $\alpha$ ), interleukin (IL)-1 $\beta$ , IL-12, and IL-6, and can be distinguished by cell surface markers CD16 and CD32. The M2 phenotype produces TGF- $\beta$ , IL-4, IL-10, and IL-13, and expresses CD206 and Arg1. The activation of MM subpopulations and other innate immune cells leads to neuroinflammation (Hu et al., 2012; Wattananit et al., 2016; Fang et al., 2018), the role of which has been well characterized in the acute phase of ischemic brain injury (Jayaraj et al., 2019; Qiu et al., 2021). During the subsequent 2 weeks, MMs gradually shift from the proinflammatory M1 phenotype to the alternatively activated M2 phenotype, facilitating the resolution of inflammation (Wattananit et al., 2016; Fang et al., 2018). However, such definition may not fully illustrate all different activation scenarios. As many reports proposed that there are some other subtypes between M1 and M2, such as M2a, M2b, and M2c (Martinez and Gordon, 2014). With the development of single-cell sequencing technique, the different phenotypes of MMs subpopulations during different stages of ischemic stroke needs further investigation.

Early studies showed that strategies to switch MMs from the proinflammatory phenotype to the anti-inflammatory phenotype promote long-term functional recovery (Brifault et al., 2015; Zheng et al., 2019; Hou et al., 2021; Liu et al.,

2021). For example, delayed pituitary adenylate cyclase-activating polypeptide delivery after cerebral ischemia enhances functional recovery by inducing the polarization of MMs toward M2 phenotype (Brifault et al., 2015). Poststroke treatment with TGF $\beta$ -activated kinase 1 (TAK1)-specific inhibitor 5Z-7-oxozeaenol (OZ) causes a phenotypic shift in microglia/macrophages toward an inflammation-resolving state, which effectively promotes the integrity of both gray matter and white matter and long-term neurological recovery (Jickling et al., 2015). In addition, MMs can also express receptors, such as Mannose receptors and macrophage scavenger receptor-1 Msr1, to facilitate the clearance of DAMPs, and contributes to inflammation resolution and brain repair (Giraldi-Guimaraes et al., 2012; Shichita et al., 2017). Moreover, one study showed that modulation of monocytes by supplementation with ursolic acid prevents monocyte dysfunction in diabetic mice and protects mice against atherosclerosis and loss of renal function (Ullevig et al., 2014). Given that many metabolites are altered after ischemic stroke (Wang X. et al., 2020), whether modulation of metabolic of MMs can alter their cytokine profile and affect functional recovery deserve further investigation. For microglia, the polarization from the M1 to the M2 phenotype promotes functional recovery by facilitating neurovascular remodeling, neurogenesis, white matter integrity, and neuroplasticity (Hu et al., 2015; Lyu et al., 2021). Whether the phenotypic switch from M1 to M2 of MMs also promotes brain repair as microglia do still needs further investigation.

## (2) Phagocytosis and functional recovery

Phagocytosis of dead/dying neurons or myelin debris is another important response of MMs to modulate brain repair (Figure 3B). When ischemic stroke occurs, several substances, including ATPs, sphingosine-1-phosphate, and chemokines, are released by injured neurons that act as “find me” signals, which attract neighboring phagocytes to migrate to the injury site. Phagocytes can sense and recognize specific “eat me” signals, such as phospholipid phosphatidylserine, calreticulin, or the complement components C1q and C3b, which are present on the dead or dying cells *via* specific receptors, including TREM2, lipoprotein receptor-related protein LRP and complement receptor CR3 to mediate phagocytosis (Jia et al., 2021; Chen et al., 2022). On one hand, the phagocytosis of dead neurons or myelin debris can help to prevent the release of cytotoxic intracellular contents, which act as DAMPs to promote inflammation and lead to secondary damage, and on the other hand, the phagocytosis of dead/dying neurons modulates tissue reconstruction and neuronal network reorganization to promote functional recovery (Jia et al., 2021; Lyu et al., 2021).

At present, compared with microglia, whose regulatory mechanisms and cellular pathways of phagocytosis, and their resulting effects in neural regeneration are well studied, the

detailed mechanism of action of peripheral MMs is less understood. RNA-seq results showed that a large number of genes related to chemotaxis, the recognition of dead cells, engulfment, and the processing of phagosomes are upregulated in brain-infiltrating macrophages after ischemic stroke, and they promote the phagocytosis of dying neurons, which facilitates inflammation resolution, and finally the recovery of neurological functions (Zhang W. et al., 2019). Factors such as CD36, TREM2, Kv1.3, and STAT6/Arg1 contribute to the MM-mediated phagocytosis of dying neurons and enhance functional recovery (Woo et al., 2016; Cai et al., 2019; Gao et al., 2019; Hu et al., 2021). Moreover, the microvascular pericytes colocalize with macrophages within the infarct area and potentiate the clearance activity of recruited macrophages toward myelin debris, which subsequently stimulates oligodendrogenesis and remyelination after ischemia (Shibahara et al., 2020).

In conclusion, during ischemic stroke, MM-mediated inflammation and phagocytosis are dynamically altered. The inflammatory and phagocytic response of MMs can be different in the acute, subacute, and chronic phase by time, and in the ischemic core or the peri-infarct region by location (Chen et al., 2022). In addition, the M1 and M2 phenotype have different phagocytosis capacities, although they both express phagocytic receptors. The M2 phenotype is more efficient at clearing dead cells than the M1 phenotype (Kapellos et al., 2016). Currently, it remains unknown, how the inflammatory phenotype and phagocytic capacity of MMs are cross-regulated, and even less is known about the detailed molecular mechanisms and their effects on functional recovery after ischemic stroke. Therefore, future studies need to consider the inflammatory phenotype and the phagocytosis of MMs in the context of time and location to optimize treatment for improving functional recovery.

## Diverse ways of monocytes and macrophages in regulating functional recovery after ischemic stroke

### (1) Neurogenesis and angiogenesis

Accumulating evidence suggests that microglia can regulate functional outcomes after ischemic stroke *via* diverse mechanisms including neurogenesis, angiogenesis, oligodendrogenesis, and neuroplasticity (Ma et al., 2017; Jia et al., 2021; Lyu et al., 2021). Similarly, MMs also seem to regulate neurological function from these aspects besides some differences. Depletion of circulating monocytes by using CCR2 antibody MC-21 early after stroke enhances neurogenesis in the subventricular zone (SVZ) as well as migration of neuroblasts toward the damaged striatum (Laterza et al., 2017). Pro-reparative monocytes facilitate angiogenesis and improve behavioral performance after ischemic stroke (Pedragosa et al., 2020). Further transcriptome analysis of infiltrated MMs in a mouse model of permanent focal cerebral ischemia indicated that neurovascular remodeling associated genes, such as Wnt,

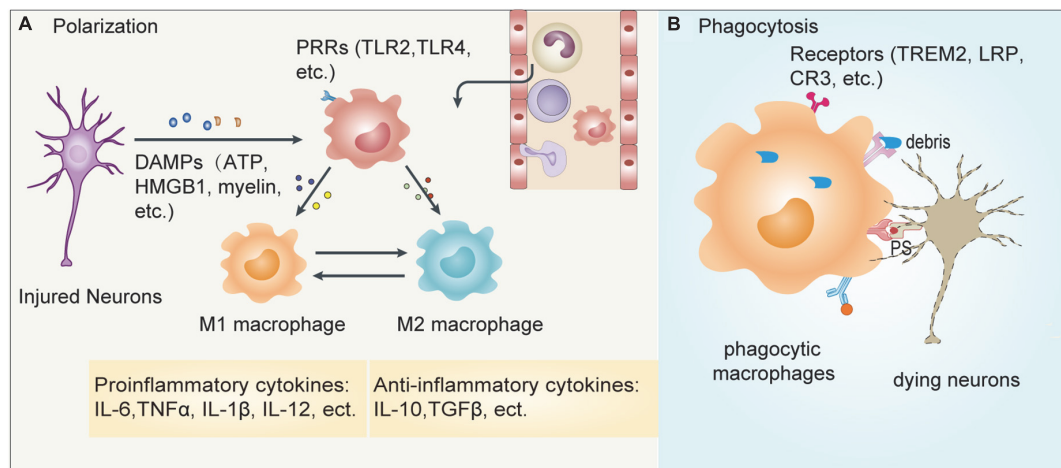


FIGURE 3

Responses of MMs after ischemic stroke. Cerebral ischemia leads to the release of many inflammatory mediators which contributes to the recruitment of peripheral immune cells into the infarct brain. **(A)** The dying/dead neurons release damage-associated molecular patterns (DAMPs) such as ATP, HMGB1, myelin, etc., which can be recognized by pattern recognition receptors (PRRs) such as TLR2 and TLR4 expressed on MMs. The activated macrophages can then polarize into pro-inflammatory M1 or anti-inflammatory M2 cells. **(B)** In addition, macrophages can also recognize phosphatidylserine (PS) expressed on apoptotic neurons or myelin debris released from injured neurons, which is mediated by some specific receptors including TREM2, lipoprotein receptor-related protein LRP and complement receptor CR3, leading to phagocytosis. These two events coordinately regulate the outcome of ischemic stroke.

epidermal growth factor receptor (EGFR), and Notch signaling-related genes involved in angiogenesis and neurogenesis, and cytokines, growth factors that contribute to angiogenesis and neuroplasticity, are overexpressed. Myeloid cell-specific deletion of PPARc reduced poststroke angiogenesis and neurogenesis, and exacerbated neurological deficits (Wang R. et al., 2020). These data suggest that MMs modulate functional recovery *via* neurogenesis and angiogenesis, although the detailed molecular mechanism remains unclear.

## (2) Neuroplasticity

Neuroplasticity enables the restoration of neural networks and rewiring of functional connections after ischemic stroke (Alia et al., 2017). In recent years, increasing evidence demonstrated the involvement of microglia in the regulation of neuroplasticity (Hu et al., 2015; Ma et al., 2017; Lyu et al., 2021). For MMs, a close anatomical association between activated macrophages and sprouting dopaminergic axons was observed, and macrophages at the wound edges highly express neurotrophic factors that support axon sprouting (Batchelor et al., 2002). Oligodendrogenesis, mediated *via* OPC proliferation and differentiation, promotes remyelination, which influences the integrity of white matter and affects signal transmission efficiency and neuroplasticity after ischemic stroke (Miron et al., 2013). An *in vivo* study showed that depletion of M2 cells including M2 macrophages inhibits oligodendrocyte differentiation (Miron et al., 2013). Consistent with this finding, OPC differentiation can be stimulated

by macrophage-conditioned medium with myelin debris *in vitro* (Shibahara et al., 2020). In addition, proinflammatory cytokines, such as IL-6 and IL-1 $\beta$ , play an important role in synaptogenesis and long-term potentiation during development (Katsuki et al., 1990; Faust and Schafer, 2021), although the role of pro-inflammatory or anti-inflammatory cytokines in the modulation of synaptic plasticity and thus potentially brain plasticity is far less clear. Illuminating cellular mechanisms of MMs in the functional recovery of ischemic stroke as well as the detailed molecular mechanisms may lead to novel therapeutic strategies.

## Adaptive immune cells

### T cells

T cells are key players in cellular adaptive immunity, which functions in a variety of neurological diseases (Prinz and Priller, 2017; Carrasco et al., 2022). In response to the upregulation of adhesion molecules on endothelial cells and the exposed CNS antigens from the injured brain, T cells can be activated and then invade the brain. Three different routes of T lymphocyte migration exist: (1) the BBB pathway, (2) the meninges and choroid plexus (ChP) infiltration routes, and (3) the ChP stroma pathway (Llovera et al., 2017; Benakis et al., 2018). According to the previous reports, the day of T-cells infiltration peak varied, with some studies showing an infiltration peak within 24 h, some around Day 3 to Day 7, and some in the chronic phase, which may result from different stroke models and testing methods (Gronberg et al., 2013; Stubbe et al., 2013; Chu et al., 2014).

The role of different T-cell subsets in ischemic stroke in the acute damage phase remains controversial (Zhang et al., 2021). Blocking CD8<sup>+</sup> T-cell expansion and activation through the administration of IL-2 or IL-15 neutralizing antibody, or depletion of CD8<sup>+</sup> T cells with anti-CD8 $\alpha$  antibody could significantly reduce brain infarct volume and attenuate the associated behavioral deficits in two ischemia models that rely on the production of perforin (Mracsco et al., 2014; Lee et al., 2018; Zhou et al., 2019). The mode of CD4<sup>+</sup> T-cell differentiation in response to brain injury ultimately determines stroke outcome. IFN- $\gamma$  released from Th1 cells appears to either worsen outcomes (Lambertsen et al., 2004; Yilmaz et al., 2006) or have an effect on brain infarct volume (Shichita et al., 2009). Loss of IL-4 or neutralization of IL-4, the main cytokines released from Th2 cells, exert a neuroprotective effect (Korhonen et al., 2015; Xiong et al., 2015; Zhang et al., 2018). Interestingly, the infiltrating IL-17 producing cells in the brain are not CD4<sup>+</sup> Th cells, but  $\gamma\delta$  T lymphocytes (Swardfager et al., 2013). The balance in the peripheral Treg/Th17 cells ratio is altered after stroke which in turn modifies stroke pathophysiology. For example, conditional knockout of ACC1 (acetyl coenzyme A carboxylase 1), a key enzyme involved in *de novo* fatty acid synthesis, profoundly alleviates ischemic brain injury by preserving the balance of Treg/Th17 cells (Wang et al., 2019), indicating the involvement of cell metabolism in stroke pathogenesis. Inconsistent with the long existence of T cells in the brain after ischemic stroke, the role of different T-cell subsets, especially Treg cells in the chronic phase of functional recovery is being exposed.

Treg cells are essential for maintaining immune homeostasis by suppressing conventional T cell activation and function (Zhu et al., 2010). Accumulating evidence indicates that Treg cells migrate into the brain in the chronic phase of ischemic stroke and last for several months, during which Treg cells exhibit multifaceted roles to promote functional recovery (Wang et al., 2015; Ito et al., 2019; Shi L. et al., 2021). First, Treg cells release AREG to suppress astrogliosis and increase brain recovery (Ito et al., 2019). Second, Treg cell-derived osteopontin can act through integrin receptors on microglia to enhance microglial reparative activity, consequently facilitating oligodendrocyte regeneration and white matter integrity (Shi L. et al., 2021). Third, NSC proliferation in the SVZ of normal and ischemic brain can also be enhanced by activated Treg cells *via* IL-10 (Wang et al., 2015) (Figure 4). The role of Treg cells on neuroplasticity especially axon sprouting and synaptic function, and other T cell subsets in the functional recovery remain to be established.

Similar to CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells also persist in the injured brain for weeks. One study showed that mice with higher ipsilesional CD8<sup>+</sup> T cells at Day 30 exhibited worse functional recovery. The Depletion of CD8<sup>+</sup> T cells beginning 10 days post-tMCAO improved motor recovery (Selvaraj et al., 2021).

Notably, one recent report discovered a new CD8<sup>+</sup> T regulatory-like cells (CD8<sup>+</sup>CD122<sup>+</sup>CD49d<sup>lo</sup>), which could reprogram to upregulate leukemia inhibitory factor (LIF) receptor, epidermal growth factor-like transforming growth factor (ETGF), and interleukin 10 (IL-10) to exert neuroprotection and promoted long-term neurological recovery (Cai et al., 2022). In contrast, traumatic brain injury activates CD8<sup>+</sup> T cells, which causes long-term neurological impairment in mice (Daglas et al., 2019). The role of CD8<sup>+</sup> T cells in neuroplasticity is worth investigation.

## B cells

B cells were originally identified through studies searching for the cellular source of antibodies. As the key player in humoral immunity, B cells contribute to immunity through antigen presentation, antibody production and cytokine secretion (Jain and Yong, 2021). The role of B cells in the acute phase of ischemic stroke is inconclusive. Some studies found no effect on infarct and stroke outcome (Kleinschnitz et al., 2010; Schuhmann et al., 2017). However, others observed a beneficial role of B cells (Ren et al., 2011; Offner and Hurn, 2012).

Given that the adaptive immune response specific for CNS antigens develops later than the innate immune response, the role of B cells in the subacute and chronic phase of ischemic stroke attracts has attracted the attention of some researchers. Ischemic injury induces significant bilateral B-cell diapedesis into remote brain regions to regulate motor and cognitive functions by supporting neuronal viability and dendritic arborization (Ortega et al., 2020). In a distal middle cerebral artery occlusion (dMCAO) model, B cells were found to infiltrate the infarct region and secrete IgA and IgG in the chronic phase after stroke, which may directly impact cognition after stroke (Doyle et al., 2015; Figure 4). Notably, multiple sclerosis studies have raised the notion that B cells contribute to CNS pathology independent of antibody production (Li et al., 2018). Consistent with this finding, B cells have been reported to produce several neurotrophins including brain-derived neurotrophic factor (BDNF) and nerve growth factor (NGF) (Edling et al., 2004; Fauchais et al., 2008). Whether B cells regulates other events in neurological recovery in an antibody-production-independent manner is a field worth future study.

## Natural killer cells

Natural killer (NK) cells are a type of cytotoxic lymphocyte that play an important role in innate immunity (Abel et al., 2018; Chen et al., 2019). NK cells were reported to accumulate in the brain during the acute injury phase. Kinetic experiments showed that NK cells accumulated as early as 3 hours, peaking at Day 3 after tMCAO (Gan et al., 2014). In human ischemic brain, the infiltrations of NK cells peaked at Days 2–5 (Zhang et al., 2014). Consistent with their infiltration time, NK cells contribute to brain injury in various ways: they induce the necrosis of neural cells *via* IFN- $\gamma$  (Chen et al., 2019); damage the BBB in response

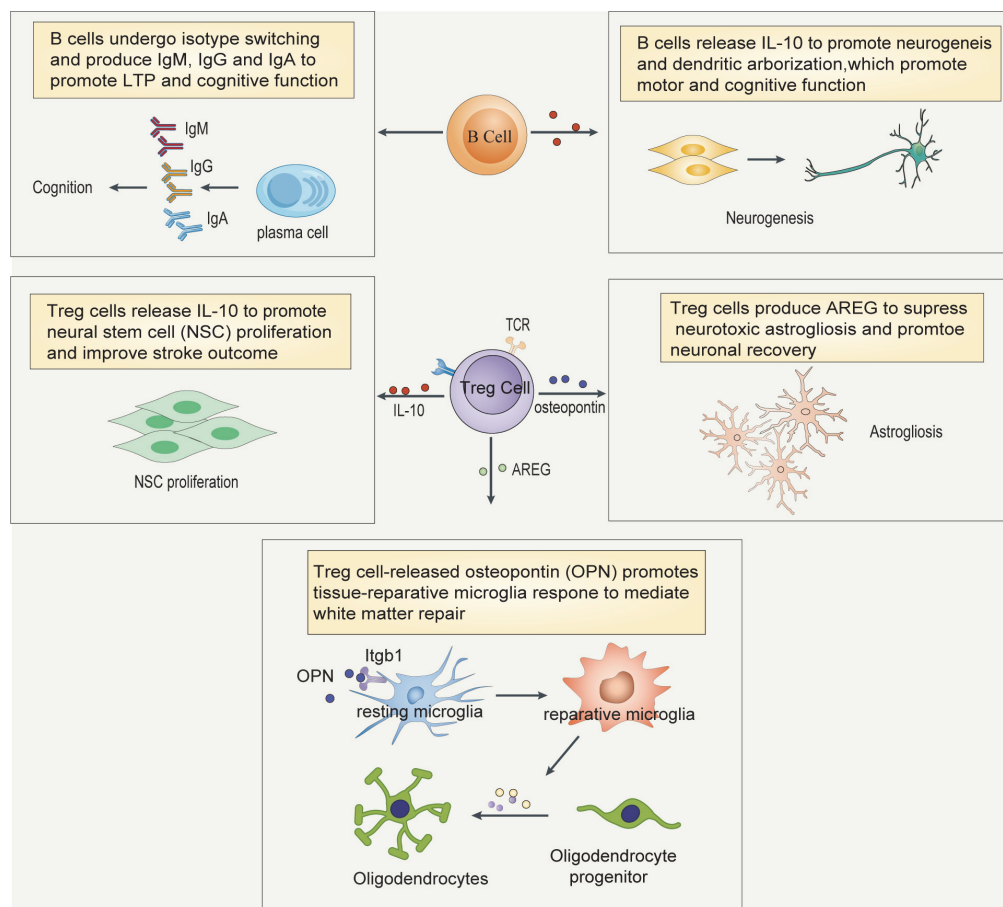


FIGURE 4

Mechanisms underlying Treg cell and B cell-mediated impacts on functional recovery after ischemic stroke. Treg cells promotes functional recovery after ischemic stroke by the following means: Treg cells release IL-10 to directly promote neural stem cell proliferation or restrict proinflammatory cytokine production; Treg cells release AREG to inhibit astrogliosis and facilitate neuronal recovery; Treg cell-derived osteopontin act through integrin receptors on microglia to enhance microglial reparative activity, as both anti-inflammatory cytokines and genes encoding proteins involved in brain repair are upregulated, consequently facilitating oligodendrocyte regeneration and white matter integrity. B cells release IL-10 to promote neurogenesis and dendritic arborization, which promote motor and cognitive function, and in addition, B cells also undergo isotype switching and produce IgM, IgG and IgA to promote cognitive function. TCR, T cell receptor; ILRs, interleukin receptors; AREG, amphiregulin; NSC, neural stem cell.

to interferon-inducible protein-10 (IP-10) (Zhang et al., 2014); mediate cytolytic killing of ischemic neurons *via* perforin (Gan et al., 2014); act in cooperation with monocytes and platelets to propagate thrombosis and activate the complement system (Eltzschig and Eckle, 2011). At present, the function of NK cells on neurofunctional recovery is poorly understood.

Stroke-induced systemic immunosuppression was first described in the 1970s and is characterized by severe lymphopenia in the peripheral blood, thymus, and spleen, which affects the mortality and functional recovery of patients with ischemic stroke (Offner et al., 2006; Klehmet et al., 2009; Wong, 2019). Currently, stroke-induced immunosuppression is considered both detrimental and beneficial to the human body. Stroke-induced immunosuppression is deleterious, as it increases the incidence of poststroke infections, especially

pneumonia and urinary tract infection (Iadecola and Anrather, 2011; Chamorro et al., 2012). Several studies have provided a mechanistic basis for this phenomenon and demonstrated that T lymphocytes and iNKT cells play critical roles in regulating poststroke induced immunosuppression as well as subsequent infections (Prass et al., 2003; Wong et al., 2011; Roth et al., 2021). The spleen is reported to exacerbate brain injury and contributes to long-term neurodegenerations (Ajmo et al., 2008; Pennypacker and Offner, 2015). After brain ischemia, spleen atrophy and peripheral NK Cell cells were observed. NK cells display remarkably distinct temporal and transcriptome profiles in the brain and spleen. Catecholaminergic and hypothalamic-pituitary-adrenal (HPA) axis innervations suppress peripheral NK cells after ischemic stroke. Correspondingly, modulation of neurogenic

pathways preserves NK cell function and improves host immune defense against poststroke infections (Liu et al., 2017).

## Roles of interactions and communications between peripheral immune cells and brain resident cells in functional recovery

Accumulating evidence shows that the infiltrated peripheral immune cells interact with brain resident cells in complex ways, that may be either detrimental or supportive for brain injury and repair. After ischemic stroke, the bidirectional neuroimmune interactions and communications of infiltrated peripheral immune cells and brain resident cell allow these cell populations to influence each other in multiple ways, including releasing factors to affect each other and direct cell-cell interactions (Kamel and Iadecola, 2012; Alia et al., 2021; Muhammad et al., 2021).

### Communications-mediated by cytokines or other factors and functional recovery

After brain injury, various DAMPs, such as ATP, HMGB1, damaged DNA and peroxiredoxin family proteins and brain-derived antigens, can be released after ischemic insult into the periphery, which activates the peripheral immune cells and leads to further infiltration into the brain owing to a compromised BBB (Javidi and Magnus, 2019; Stanzione et al., 2022). These infiltrated activated immune cells or autoreactive adaptive immune cells can then polarize or differentiate into different populations, such as M1/M2 subtypes and different Th subsets, which further interact with other brain resident cells to modulate brain repair. For example, brain infiltrating Treg cells can on one hand release AREG to suppress astrogliosis and increase brain recovery (Ito et al., 2019), and, on the other hand, produce osteopontin to activate integrin receptors on microglia and enhance microglial reparative activity to facilitate oligodendrocyte regeneration and white matter integrity (Shi L. et al., 2021). In addition, during autoimmune disease or tumor progression, the interaction between macrophage and T cells was observed and their interaction affect the progression of the disease (Han et al., 2012; Cess and Finley, 2020). On one hand, cytokines or chemokines produced by T cells can lead to recruitment and activation of macrophages, which further produce additional inflammatory mediators and become effector cells in pathogenesis (Yoon and Jun, 1999); On the other hand, macrophages can present antigen to T cells, which induce their activation and differentiation to affect disease progression (Underhill et al., 1999). The role of macrophage and T cell interaction in the functional recovery of ischemic stroke is still unclear.

After activation, both adaptive and innate immune cells secrete cytokines, which play multiple and vital roles in neurological disease progression (Kerschensteiner et al., 2010; Cui and Wan, 2019). Some studies have supplemented certain cytokines during ischemic stroke to study their direct effects (Table 1).

Interleukin-4 (IL-4) is an anti-inflammatory cytokine produced by a variety of immune cells, including Th2 cells, mast cells, eosinophils and basophils (Gadani et al., 2012), and can drive macrophages into M2 phenotype in the presence of IL-13 (Murray, 2017). Loss of IL-4 promotes the M1 phenotype in microglia/macrophages after ischemic stroke and exacerbates long-term sensorimotor dysfunction as well as cognitive deficits after ischemia, while infusion of IL-4 into the cerebroventricular after ischemic stroke improves neurological functions (Liu et al., 2016). In addition to promoting M2 phenotype polarization, intranasal delivery of IL-4 nanoparticles poststroke also improves white matter integrity by acting directly on OPCs to enhance oligodendrocyte differentiation mediated by the PPAR $\gamma$  axis, which reduces long-term sensorimotor and cognitive deficits (Zhang Q. et al., 2019).

Tumor necrosis factor alpha and IL-33 have also been found to confer a protective effect on regulation of white matter integrity. TNF $\alpha$  directly protected OPCs and oligodendrocytes against oxygen and glucose deprivation (OGD)-induced cell death, but did not influence on OPC differentiation, which is mediated by the EGFR and the downstream transcription factor STAT3 on oligodendrocyte lineage cells (Dai et al., 2020). IL-33 also protected oligodendrocytes and OPCs against ischemic injury but in a microglia/macrophage-dependent manner by promoting a beneficial response *via* the ST2-ATAT6 axis (Xie et al., 2021).

In contrast to IL-4, IL-6 is a proinflammatory cytokine secreted by some innate immune cells. Mice treated with recombinant IL-6 exhibited significantly increased proliferation and neural differentiation of NPCs in the ipsilateral SVZ, as well as functional recovery. However, IL-6 neutralizing antibody confer the opposite effects (Meng et al., 2015). Injection of IL-6 in pregnant mice or developing embryos increases glutamatergic synapse density and overall hyperconnectivity in the offspring (Mirabella et al., 2021); thus future studies should clarify the role of IL-6 in poststroke synapse plasticity.

Leukemia inhibitory factor is a multi-functional cytokine belongs to the IL-6 cytokine family. LIF modulates post-stroke responses and reduces infarct volume (Davis et al., 2017; Davis and Pennypacker, 2018). In addition, LIF also preserves white matter injury and improves functional outcomes when administered to rats subjected to pMCAO. Mechanistically, LIF reduces superoxide dismutase activity through increasing peroxiredoxin 4 (Prdx4) transcripts *via* the Akt signaling (Rowe et al., 2014). Likewise, IL-17A, another proinflammatory cytokine, also enhances the survival and neuronal differentiation

of NPCs and subsequent synaptogenesis, which modestly ameliorates functional deficits after stroke (Lin et al., 2016). The role of other cytokines and chemokines in chronic functional recovery still needs further investigation.

Besides the influence of cytokines-released from peripheral innate and adaptive immune cells on brain resident cells, brain resident cells can also regulate peripheral immune cell function through neuroinflammation. After ischemia-induced cell death, activation of microglia and astrocytes were observed and neuroinflammation is initiated (Cekanaviciute and Buckwalter, 2016; Xu et al., 2020). The released cytokines and chemokines can further mediate peripheral immune cell infiltration and modulate their differentiation, which further affects functional recovery (da Fonseca et al., 2014; Dudvarski Stankovic et al., 2016).

### Direct cell–cell interactions and functional recovery

Peripheral immune cells and brain-resident cells can directly interact with each other and affect neurological function recovery. For example, infiltrating peripheral immune cells are able to interact with brain resident cells, and resolve inflammation and promote functional recovery *via* phagocytosis. *In vitro* studies showed that in organotypic brain slices, externally invading polymorphonuclear neutrophils massively enhanced ischemic neurotoxicity, and microglia exerted protection through the rapid engulfment of apoptotic and motile neutrophils within brain slices. Consistently, in an *in vivo* ischemic stroke model, neutrophils reach the perivascular spaces of brain vessels, and reactive microglia can interact and engulf neutrophils at the periphery of the ischemic lesion to help restore the homeostasis of the brain (Neumann et al., 2008; Otxoa-de-Amezaga et al., 2019). Moreover, pericytes can colocalize with macrophages within the infarct area and potentiate the clearance of debris by recruited macrophages, which subsequently stimulates remyelination after ischemic stroke (Shibahara et al., 2020).

These important and intricate communications and interactions indicate the complexity of therapeutic strategies for clinical application. For example, in the acute phase, some immune cells can produce cytokines and growth factors, which serve as important resources for neuronal sprouting, neurogenesis, angiogenesis, and matrix reorganization (Alia et al., 2021; Varadarajan et al., 2022). If the production of these cytokines or growth factors is blocked, acute brain injury may be reduced, but brain repair may be hindered in the long term. Therefore, attempts to treat stroke patients by modifying these interactions or communications must be made with caution. Future studies should test the proper concentration of the inhibitors or neutralization antibodies, the time to administer these blockers, and specific type of cytokines or growth factors in order to drive the development of immunotherapies of ischemic stroke.

## Discussion

In summary, this review provides a systematic summary of the important role of the innate and adaptive immune systems in regulating brain repair after ischemic stroke and raises some currently unresolved questions. Monocytes and macrophages actively participate in neurogenesis, angiogenesis, and oligodendrogenesis after the acute phase of cerebral ischemia, during which their polarization and phagocytotic function are engaged. Neutrophils can impair revascularization and vascular remodeling by releasing extracellular traps. In addition, evidence for the critical role of Treg cells in facilitating functional recovery has accumulated. B cells may regulate neurological function in an antibody-dependent manner. More importantly, these cells can interact and communicate with each other and the brain resident cells in a very complex way to influence ischemic injury severity and functional recovery. Thus, future clinical trials must consider these stage-specific effects and the complex neuroimmune interactions.

From the reviews above, we could easily realize that there is still a long way to go to understand the regulation of immune cells in neurological recovery in depth, as so many questions remain unknown. For example: (1) What are the effects of the innate and adaptive immune cells on neuroplasticity after ischemic stroke, especially axon sprouting and synaptic plasticity? (2) What are the impacts of the adaptive immune cells on neuropsychiatric consequences of stroke? (3) Is the response of the infiltrating immune cells distinct in different regions of the brain after ischemic stroke? The development of single-cell sequencing technologies may help resolve this question. (4) How the immune cells, especially macrophages, balance their survival, inflammation and phagocytotic function during ischemic stroke? Currently, the progress of metabolomics and chromatin-related technologies such as ATAC-seq, chromatin conformation capture 3C, Hi-C may bring some new perspective. (5) In the microscale or molecular level, how the immune cells interact with neurons or other brain-resident cells, to transmit signals and affect functional prognosis? With the improvement of the resolution of microscopy, to see the interaction between cells at the molecular level may not be impossible. Resolving these questions would move forward the immunity-based translational research focusing on recovery-specific therapy.

## Author contributions

ZZ was involved in the writing, reading literature, design of the figures. ML and XZ contributed to the literature search and editing of the manuscript. YC was involved in the overall

supervision of the review and editing of the manuscript. All authors approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## EDITED BY

Yan Wang,  
Peking University Third Hospital, China

## REVIEWED BY

Friederike Ebner,  
Free University of Berlin, Germany  
Jinming Han,  
Capital Medical University, China

## \*CORRESPONDENCE

Ruslan Rust  
ruslan.rust@irem.uzh.ch

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# Molecular and anatomical roadmap of stroke pathology in immunodeficient mice

Rebecca Z. Weber<sup>1,2</sup>, Geertje Mulders<sup>3</sup>, Patrick Perron<sup>1</sup>,  
Christian Tackenberg<sup>1,2</sup> and Ruslan Rust<sup>1,2\*</sup>

<sup>1</sup>Institute for Regenerative Medicine, University of Zurich, Schlieren, Switzerland, <sup>2</sup>Neuroscience Center Zurich, University of Zurich and Eidgenössische Technische Hochschule (ETH) Zurich, Zurich, Switzerland, <sup>3</sup>Department of Health Sciences and Technology, Eidgenössische Technische Hochschule (ETH) Zurich, Zurich, Switzerland

**Background:** Stroke remains a leading cause of disability and death worldwide. It has become apparent that inflammation and immune mediators have a pre-dominant role in initial tissue damage and long-term recovery. Still, different immunosuppressed mouse models are necessary in stroke research e.g., to evaluate therapies using human cell grafts. Despite mounting evidence delineating the importance of inflammation in the stroke pathology, it is poorly described to what extent immune deficiency influences overall stroke outcome.

**Methods:** Here, we assessed the stroke pathology of popular genetic immunodeficient mouse models, i.e., NOD scid gamma (NSG) and recombination activating gene 2 (Rag2<sup>-/-</sup>) mice as well as pharmacologically immunosuppressed mice and compared them to immune competent, wildtype (WT) C57BL/6J mice three weeks after injury. We performed histology, gene expression, blood serum and behavioural analysis to identify the impact of immunosuppression on stroke progression.

**Results:** We detected changes in microglia activation/macrophage infiltration, scar-forming and vascular repair in immune-suppressed mice three weeks after injury. Transcriptomic analysis of stroked tissue revealed the strongest deviation from WT was observed in NSG mice affecting immunological and angiogenic pathways. Pharmacological immunosuppression resulted in the least variation in gene expression compared with the WT. These anatomical and genetic changes did not affect functional recovery in a time course of three weeks. To determine whether timing of immunosuppression is critical, we compared mice with acute and delayed pharmacological immunosuppression after stroke. Mice with delayed immunosuppression (7d) showed increased inflammatory and scarring responses compared to animals acutely treated with tacrolimus, thus more closely resembling WT pathology. Transplantation of human cells in the brains of immunosuppressed mice led to prolonged cell survival in all immunosuppressed mouse models, which was most consistent in NSG and Rag2<sup>-/-</sup> mice.

**Conclusions:** We detected distinct anatomical and molecular changes in the stroke pathology between individual immunosuppressed mouse models that should be considered when selecting an appropriate mouse model for stroke research.

#### KEYWORDS

ischemia, immune deficiency, immune suppression, cell therapy, photothrombotic stroke, rodent, transcriptomics, histology

## Introduction

Stroke is a major cause of disability and death due to the brain's limited capacity to regenerate damaged tissue (1). To promote recovery, cell-based therapy has been proposed as an emerging treatment and potential regenerative strategy for stroke patients with remaining neurologic deficits (2). Recent advances in induced pluripotent stem cells (iPSC) technology facilitated the generation of human neuronal cells as a suitable and scalable cell source. To test the human-derived graft's efficacy and safety for cell therapy, preclinical research requires the immunosuppression in mice to avoid xenograft rejection (3–7). The use of immunosuppressed mice allows the evaluation of long-term effects of cell therapies in mice with the drawback that general immunosuppression may substantially alter the stroke pathology (8, 9).

Acutely after stroke, inflammation plays a critical role in early ischemic damage that both promotes further injury resulting in cell death but conversely has also been shown to contribute to regeneration and remodelling (10). Despite mounting evidence delineating the importance of inflammation in the stroke pathology, it is poorly described to what extent partial immune deficiency influences the overall stroke outcome. Several immunosuppressed mouse models have been used in preclinical stroke research, most prominent: (a) pharmacological immunosuppression with calcineurin inhibitory drugs (e.g., tacrolimus) that block the development and proliferation of T cells; (b) genetically deficient mice that lack recombination

activating gene 2 protein ( $Rag2^{-/-}$ ) required for the generation of mature B and T lymphocytes; and (c) NOD scid gamma (NSG) mice that lack mature T and B lymphocytes, natural killer (NK) cells and have deficiencies in multiple cytokine signalling and innate immunity (11, 12). However, it is unclear to what extent the immunosuppression in these mouse models alter the natural pathology of stroke and may affect the outcome of therapies that require immunosuppression such as human cell-based therapies.

Here, we hypothesize that different aspects of stroke pathology may be altered depending on the mouse model of immunosuppression. Therefore, we compare the stroke pathology of most popular genetic mouse models using  $Rag2^{-/-}$  mice and NSG mice, as well as tacrolimus immunosuppressed mice, to immune competent, WT control. We identify changes in tissue responses and gene expression after stroke affecting especially inflammatory, scar-forming and angiogenic remodelling processes in all immunosuppressed mice compared to immune competent WT controls three weeks after injury. These changes were mitigated if the tacrolimus treatment was deferred beyond the acute stroke phase. The anatomical, genetic, and systemic changes did not affect functional deficits and recovery in a time course of three weeks. Local intraparenchymal cell transplantation led to prolonged graft survival in all immunodeficient mouse models compared to WT, which, however, was more profound in the genetically immunodeficient  $Rag2^{-/-}$  and NSG animals. These changes in anatomy, physiology, and gene expression after stroke across the tested immunodeficient mouse models are relevant to understand the role of immunosuppression in the stroke pathology and for evaluating the preclinical efficacy of cell-based therapies.

## Materials and methods

### Experimental design

Stroke pathology was compared between (1) wildtype (WT) C57BL/J mice with three immunosuppressant mouse models (2): WT C57BL/J mice that were immunosuppressed with

**Abbreviations:** BL, baseline; BLI, bioluminescence imaging; cc, corpus callosum; CD, cluster of differentiation; ctx, cortex; dpi, days post injury; EdU, 5-ethynyl-2'-deoxyuridine; eGFP, enhanced green fluorescent protein; GFAP, glial fibrillary acidic protein; HuNu, Human nuclei; IFN, interferon; IL, interleukin; iPSC, induced pluripotent stem cells; NF-H, Neurofilament heavy chain; NF-L, Neurofilament light chain; NK cells, natural killer cells; NOD, non-obese diabetic; NPC, neural progenitor cells; NSG, NOD scid gamma; PFA, paraformaldehyde; ph, photons; RAG2, recombination activating gene 2; RNAseq RNA sequencing; ROI, region of interest; sbr, signal background ratio; SD, standard deviation; SNR, signal to noise ratio; sr, steradian; Tacr, Tacrolimus; Tukey HSD, Tukey honest significant differences; WT, wildtype.

tacrolimus (3) Rag2<sup>-/-</sup> mice and (4) NSG mice. All mice received a large photothrombotic stroke to the right sensorimotor cortex and underwent regular behavioral tests at baseline, 3, 7, 14, 21 days after stroke induction. At 21 days after stroke, mice from each group were divided into two subgroups: Brains from the first subgroup were collected, fixed and histologically analysed; brains from the other group were microdissected around the stroke region and prepared for RNAseq analysis. We chose the time point based on previous findings showing functional differences starting from three weeks following regenerative therapies (13). To test the effects of delayed tacrolimus treatment, we compared between (1) wildtype C57BL/J mice with (2) WT C57BL/J mice that were acutely immunosuppressed mice with tacrolimus (0 dpi) and (3) WT C57BL/J mice that received tacrolimus delayed at 7 dpi. The brains were treated equivalently to the previous set-up to obtain comparable histology and RNAseq data.

Long-term survival and successful immunosuppression were tested by local intraparenchymal transplantation of human NPCs to 1) WT C57BL/J mice (2) WT C57BL/J mice that were immunosuppressed with tacrolimus (3) Rag2<sup>-/-</sup> mice and (4) NSG mice. Luciferase expressing NPCs were tracked over 35 days using *in vivo* bioluminescence imaging and brain tissue was collected to identify the transplanted cells in brain sections.

## Animals

All procedures were conducted in accordance with governmental, institutional (University of Zurich), and ARRIVE guidelines and had been approved by the Veterinarian Office of the Canton of Zurich (license: 209/2019). In total, 20 WT (WT) mice (histology: 7, RNAseq: 8, cell therapy: 5) with C57BL/6 background mice, 17 tacrolimus treated WT mice (histology: 8, RNAseq: 4, cell therapy: 5), 19 Rag2<sup>-/-</sup> mice (histology: 5, RNAseq: 9, cell therapy: 5) and 19 NSG mice (histology: 10, RNAseq: 4, cell therapy: 5) were used. Mice that were used for histology and RNAseq both performed the behavioral tasks. We used both sex female and male and mice were 3 months of age. Breeding of Rag2<sup>-/-</sup> mice and NSG mice was performed at Laboratory Animal Services Center (LASC) in Schlieren, CH. WT animals were provided by Charles River Laboratories, Germany. All animals were housed in standard type II/III cages on a 12h day/light cycle (6:00 A.M. lights on) with food and water *ad libitum*. All mice were acclimatized for at least a week to environmental conditions before set into experiment.

## Photothrombotic lesion

Anaesthesia was performed using isoflurane (5% induction, 1.5-2% maintenance, Attane, Provect AG) and adequate sedation

was confirmed by tail pinch. Novalgin (1mg/ml) was applied *via* drinking water; 24 h prior to the procedure and for three consecutive days directly after stroke surgery. Cerebral ischemia was induced by photothrombotic stroke surgery as previously described (13, 14). Briefly, animals were fixed in a stereotactic frame (David Kopf Instruments), the surgical area was sanitized, and the skull was exposed through a cut along the midline. A cold light source (Olympus KL 1,500LCS, 150W, 3,000K) was positioned over the right forebrain cortex (anterior/posterior: -1.5--1.5 mm and medial/lateral 0 mm to +2 mm relative to Bregma). Rose Bengal (15 mg/ml, in 0.9% NaCl, Sigma) was injected intraperitoneally 5 min prior to illumination and the region of interest was subsequently illuminated through the intact skull for 12 min. To restrict the illuminated area, an opaque template with an opening of 3 × 4 mm was placed directly on the skull. The wound was closed using a 6/0 silk suture and animals were allowed to recover.

## Blood perfusion by Laser Doppler imaging

Cortical perfusion was evaluated using Laser Doppler Imaging (Moor Instruments, MOORLDI2-IR). Briefly, animals were fixed in a stereotactic frame and the region of interest was shaved and sanitized. To expose the skull, a cut was made along the midline and the brain was scanned using the repeat image measurement mode. All data were exported and quantified in terms of total flux in the ROI using Fiji (ImageJ).

## Tacrolimus pump implantation

For pharmacological immunosuppression, Alzet osmotic pumps (Model 1004, Cupertino, CA, USA) filled with 100µl tacrolimus solved in a mixture of DMSO and PEG 300 (50mg/ml; 7.56mg/ml concentration) were implanted subcutaneously on the back according to the manufacturer's protocol. Briefly, animals were anesthetized using isoflurane (5% induction, 1.5-2% maintenance, Attane, Provect AG), the surgical area was sanitized and shaved. The implantation site was exposed through a mid-scapular incision. An implantation pocket was created using a hemostat. The pump was inserted into the pocket and the wound was closed with sutures.

## Tissue processing and immunofluorescence

Animals were euthanized using pentobarbital (i.p, 150 mg/kg body weight, Streuli Pharma AG) and perfused transcardially with Ringer solution (containing 5 ml/l Heparin, B. Braun) followed by paraformaldehyde (PFA, 4%, in 0.2 M phosphate

buffer, pH 7). Brain tissue was collected and post-fixed for 6 h in 4% PFA. For cryoprotection, tissue was transferred to 30% sucrose and stored at 4°C. Coronal sections were cut at a thickness of 40 µm using a sliding microtome (Microm HM430, Leica), collected, and stored as free-floating sections in cryoprotectant solution at −20°C.

For immunostaining, brain sections were blocked with 5% normal donkey serum for 1 h at room temperature and incubated with primary antibodies (rabbit anti-GFAP 1:200, Dako, #GA524; goat anti-Iba1, 1:500 Wako, #011-27991; NeuroTrace™ 1:200, Thermo Fischer; mouse anti-NeuN Antibody 1:500, Merck, #MAB377; rabbit anti-Neurofilament 200 antibody 1:200, Merck, #N4142; guinea pig anti-Neurofilament L, 1:200, Synaptic Systems, rat anti-CD31 antibody 1:50, BD Biosciences, #MEC13.3; goat anti-CD13, 1:200; R&D Systems, #AF2335) overnight at 4°C. The next day, sections were incubated with corresponding secondary antibodies (1:500, Thermo Fischer Scientific). Nuclei were counterstained with DAPI (1:2,000 in 0.1 M PB, Sigma). Mounting was performed using Mowiol.

## Vascular quantification

Vascular parameters (vessel area fraction, length, branching and nearest distance) were identified using an automated ImageJ script as previously described for brain and retinal vasculature (15, 16). Briefly, for total vessel area fraction, the area covered by anti-CD31 staining was quantified using ImageJ after applying a constant threshold. The vascular length was evaluated using the “skeleton length” tool and number of branches was calculated with the “analyse skeleton” tool. Results were normalized per mm<sup>2</sup> of brain tissue for vascular length and number of branches.

## EdU administration

5-ethynyl-2'-deoxyuridine (EdU, 50 mg/kg body weight, ThermoFischer) was applied intraperitoneally on day 7 after stroke to label proliferating vascular endothelial cells. EdU incorporation was detected 21 days after stroke using the Click-it EdU Alexa Fluor 647 Imaging Kit (ThermoFischer) on 40 µm coronal sections.

## Blood plasma multiplex cytokine analysis

Blood was collected through the tail-vein at baseline, 7- and 21-days post injury. Blood plasma cytokines levels (of IFN-γ, IL-1β, IL-2, IL-4, IL-5, IL-6, KC/GRO, IL-10, IL-12p70, and TNF-α) were measured using the Proinflammatory Panel 1 Mouse kit, a chemiluminescence-based assays from Meso Scale Discovery (MSD, Gaithersburg, MD, USA) according to the manufacturer's

protocol. Analyses were done using a QuickPlex SQ 120 instrument (MSD) and DISCOVERY WORKBENCH® 4.0 software.

## Gene expression analysis

Total RNA of stroked cortical tissue and the corresponding contralesional site was isolated using TRIzol extraction followed by the RNeasy RNA isolation kit (Qiagen) including a DNase treatment to digest residual genomic DNA. All samples had a RIN value of >8.5.

Library preparation, sequencing, read processing, read alignment, and read counting was performed at the Functional Genomics Center Zurich (FGCZ) core facility. For library preparation, the TruSeq stranded RNA kit (Illumina Inc.) was used according to the manufacturer's protocol. The mRNA was purified by polyA selection, chemically fragmented and transcribed into cDNA before adapter ligation. Analysis of RNA sequencing data and gene set enrichment analysis was performed using EdgeR (17) and clusterProfiler 4.0 (18).

Transcriptomic raw data are available to readers *via* Gene Expression Omnibus with identifier GSE208605.

## Behavioral studies

Animals were tested on the (1) runway (2), ladder rung test and (3) the rotarod test. All animals were tested 3 days before surgery to establish baseline performance and 3, 7, 14 and 21 days after stroke induction. Performance on the runway and the ladder rung was evaluated using a recently established deep learning-based protocol (19).

A runway walk was performed to assess whole body coordination during overground locomotion as described previously (18). Briefly, mice were recorded crossing a runway with a high-definition video camera (GoPro Hero 7) at a resolution of 4000 × 3000 and a rate of 60 frames per second from three perspectives. In a first step, the animals were acclimatized to the apparatus in daily training sessions until they crossed the runway at a constant speed and voluntarily (without external intervention). Then, each animal was placed individually on one end of the transparent plexiglas basin and was allowed to walk for 3 minutes.

The ladder rung test was performed using the same set-up as for the runway to assess skilled locomotion; with the only difference that the runway was replaced with a horizontal ladder on which the spacing of the rungs is variable. The animals were trained to cross the ladder without external reinforcement. A total of at least three runs per animal and per session were recorded. A step was defined as misstep when the toe tips of the animal reached a threshold of >0.5 cm below the ladder height.

The rotarod test is a standard sensory-motor test to investigate the animals' ability to stay and run on an accelerated rod (Ugo Basile, Gemonio, Italy). All animals were pre-trained to stay on the accelerating rotarod (slowly increasing from 5 to 50 rpm in 300s) until they could remain on the rod for > 60 s. During the performance, the time and speed was measured until the animals fell or started to rotate with the rod without running. The test was always performed three times and means were used for statistical analysis. The recovery phase between the trials was at least 10 min.

## Cell transplantations

Induced pluripotent stem cell (iPSC)-derived neural progenitor cells (NPCs) were generated as previously described (20). In brief, NPCs at passage number < 11 were used in all experiments. Intraparenchymal cell transplantation was performed as previously described (21). In brief eGFP<sup>+</sup>/Luc<sup>+</sup> NPCs were thawed, washed, and diluted to a final concentration of  $8 \times 10^4$  cells/ $\mu$ L in sterile 1x PBS (pH 7.4, without calcium or magnesium; Thermo Fisher Scientific). Cells were stored on ice until transplantation. Mice were anesthetized using isoflurane (5% induction, 1.5% maintenance; Attane, Provect AG). Analgesic (Rimadyl; Pfizer) was administered subcutaneously prior to surgery (5 mg/kg body weight). Animals were fixed in a stereotactic frame (David Kopf Instruments), the surgical area was sanitized, and the skull was exposed through a midline skin incision to reveal lambda and bregma points. Coordinates were calculated (the coordinates of interest chosen for this protocol were: AP: + 0.5, ML: + 1.5, DV: -0.8 relative to bregma) and a hole was drilled using a surgical dental drill (Foredom, Bethel CT) (20). Mice were injected with  $1.6 \times 10^5$  cells (2 $\mu$ L/injection) using a 10- $\mu$ L Hamilton microsyringe (33-gauge) and a micropump system with a flow rate of 0.3  $\mu$ L/min (injection) and 1.5  $\mu$ L/min (withdrawal). The wound was closed with suture.

## In vivo bioluminescence imaging and analysis

Bioluminescence imaging (BLI) experiments were performed with the IVIS Spectrum CT (PerkinElmer). Animals were imaged in regular intervals starting 2h after transplantation for up to 35 days. 30mg/ml D-luciferin potassium salt (PerkinElmer) was dissolved in 1x PBS (pH 7.4, without calcium or magnesium; Thermo Fisher Scientific) and sterilized through a 0.22  $\mu$ m syringe filter. Luciferin was injected intraperitoneally with a final dose of 300 mg/kg body weight before isoflurane anaesthesia (5% induction, 1.5% maintenance; Attane, Provect AG). The head region was shaved before imaging. Image acquisition was performed for 20 min using the following settings: Field of View: A, Subject height: 1.5 cm, Binning: 16, F/

Stop: 2. Exposure time (ranging from 1s to 60s) was set automatically by the system to reach the most sensitive setting. Imaging parameters and measurement procedures were kept consistent between mice.

The regions of interest (ROIs) were manually drawn based on anatomical landmarks (eyes, ears and snout) on each image. Plotting and statistical analysis was performed using RStudio. The brain-specific signal was calculated and corrected for nonspecific signal taken from a ROI on the skin of the animal's back and for noise taken from a ROI outside the mouse. Signal-to-noise ratio (SNR) was calculated by dividing the total photon flux (ph/s/cm<sup>2</sup>/sr) by the standard deviation of the noise. Bioluminescent signal was calculated by subtracting the background flux from the total photon flux per ROI.

## Statistical analysis

Statistical analysis was performed using RStudio (4.04 (2021-02-15)). Sample sizes were designed with adequate power according to our previous studies (13, 22, 23) and to the literature (3, 24). All data were tested for normal distribution by using the Shapiro-Wilk test. Multiple comparisons (NSG, Rag2<sup>-/-</sup>, WT-Tacr, WT) were initially tested for normal distribution with the Shapiro-Wilk test. The significance of mean differences between normally distributed multiple comparisons was assessed using repeated measures ANOVA with post-hoc analysis (p adjustment method = holm). Normally distributed data (WT-Tacr<sub>acute</sub> vs. WT-Tacr<sub>delayed</sub>) were tested for differences with a two-tailed unpaired one-sample *t*-test to compare changes between the two groups. Variables exhibiting a skewed distribution were transformed, using natural logarithms before the tests to satisfy the prerequisite assumptions of normality. Data are expressed as means  $\pm$  SD, and statistical significance was defined as \**p* < 0.05, \*\**p* < 0.01, and \*\*\**p* < 0.001.

## Results

### Immunodeficient mice exhibit altered inflammation, scarring and vascular remodelling in the peri-infarct brain tissue

To identify stroke-related changes in immunodeficient mouse models, we induced a photothrombotic stroke in the right sensorimotor cortex in (1) C57BL/6J wildtype (WT) mice (2) C57BL/6J WT mice that were continuously immunosuppressed with tacrolimus (WT-Tacr); as well as genetically immunodeficient (3) Rag2<sup>-/-</sup> and (4) NSG mice (Figure 1A). A successful stroke was confirmed in all groups by  $\approx$  70% reduction of cerebral blood flow in the lesioned right hemisphere 24h after

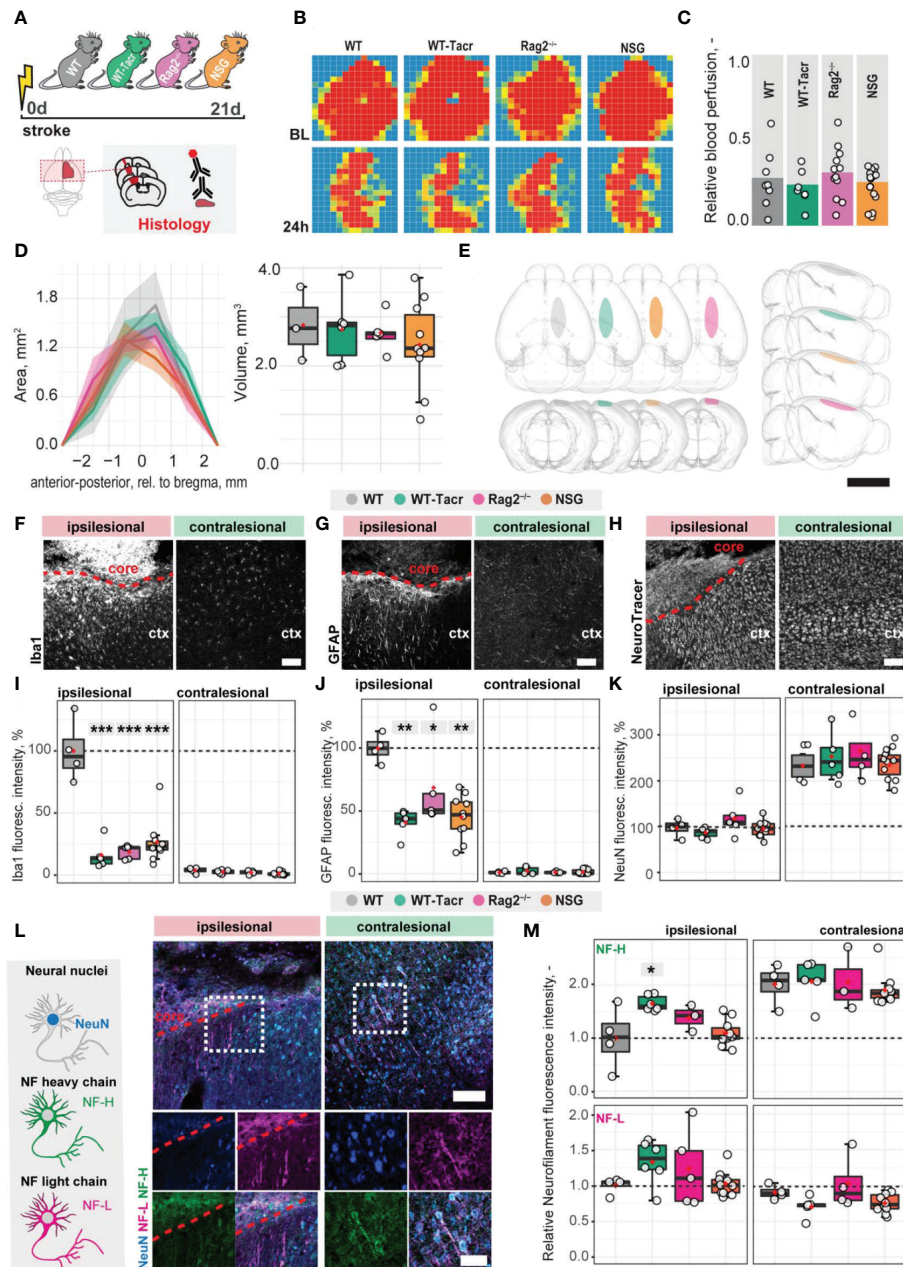


FIGURE 1

Anatomical changes in the stroke pathology of immunosuppressed mice. (A) Schematic representation of experimental set-up and groups of mice: C57BL/6J wildtype (WT), tacrolimus immunosuppressed wildtype (WT-Tacr), recombination activating gene 2 deficient mice ( $Rag2^{-/-}$ ) and NOD scid gamma mice (NSG). (B) Representative images of relative blood perfusion at baseline and 24 h after stroke induction. (C) Quantification of cerebral blood perfusion at 24 h following injury relative to baseline blood perfusion. (D) Quantification of stroke area and stroke volume at 21 dpi. (E) 3D reconstruction of stroke location within a brain template. Scale bar: 1 cm. (F) Representative histological overview of activated microglia/macrophages (Iba1<sup>+</sup>) (G) reactive astrocytes (GFAP<sup>+</sup>) and (H) neural nuclei (NeuroTracer) at the ipsi- and contralesional hemisphere of WT mouse. Scale bar: 100  $\mu$ m. (I) Quantification of relative (J) Iba1<sup>+</sup>, (J) GFAP<sup>+</sup> and (K) NeuN signal in the contra- and ipsilesional cortex 21 days following injury. (L) Representative histological overview of neural nuclei (NeuN<sup>+</sup>, blue) and neurofilament light (NF-L, magenta) and heavy chain (NF-H, green) of WT mice. Scale bar: 50  $\mu$ m. (M) Quantification of relative neurofilament light and heavy chain signal in the ischemic and contralesional cortex. Data are shown as mean distributions where the red dot represents the mean. Boxplots indicate the 25% to 75% quartiles of the data. For boxplots: each dot in the plots represents one animal. Line graphs are plotted as mean  $\pm$  sem. Significance of mean differences between the groups (baseline hemisphere, contralesional hemisphere, and ipsilesional hemisphere) was assessed using Tukey's HSD. Asterisks indicate significance: \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ . ctx, cortex; d, days; BL, baseline; dpi, days post injury; NF, neurofilament.

stroke induction (WT = -72%; WT-Tacr = -76%; Rag2<sup>-/-</sup> = -69% NSG = -74%, all  $p > 0.5$ , **Figures 1B, C**). Twenty-one days post injury (dpi), brain tissue was collected and revealed comparable stroke volumes and a near complete overlap ( $> 99\%$ ) of affected brain areas in mice from all groups (WT =  $2.8 \pm 0.7$  mm<sup>3</sup>; WT-Tacr =  $2.7 \pm 0.7$  mm<sup>3</sup>; Rag2<sup>-/-</sup> =  $2.6 \pm 0.4$  mm<sup>3</sup>; NSG =  $2.4 \pm 0.3$  mm<sup>3</sup>, all  $p = 1$ , **Figures 1D, E; Supplementary Figure 1**). To identify stroke-related tissue responses such as inflammation, scarring and neuronal remodelling, we quantified the fluorescence intensities in the contralesional cortex as well as 300- $\mu$ m cortical peri-infarct regions adjacent to the ipsilesional stroke core three weeks after injury. As expected, inflammatory as well as scarring signals were elevated in the ipsilesional compared to the contralesional cortex (**Figures 1F–H**). In the peri-infarct areas, microglial activation/macrophage infiltration (Iba1<sup>+</sup>) and glial scarring (GFAP<sup>+</sup>) signals were reduced in all immunosuppressed mouse models compared to WT controls (Iba1<sup>+</sup>: WT-Tacr: -84%, Rag2<sup>-/-</sup>: -82%, NSG: -74%, all  $p < 0.001$ ; GFAP<sup>+</sup>: WT-Tacr: -60%,  $p = 0.002$ ; Rag2<sup>-/-</sup>: -32%,  $p = 0.015$ , NSG: -55%;  $p = 0.002$ , **Figures 1I, J**). On the unaffected contralesional side however, no significant differences were detected. The quantity of mature neurons indicated by NeuN<sup>+</sup> expression was reduced in the lesioned regions in all groups by 50–60% but no changes have been observed in NeuN<sup>+</sup> expression between the groups (**Figure 1K**). The expression of neurofilament light and heavy chain, major proteins of the neuronal cytoskeleton, was also altered after stroke (**Figure 1L**). Interestingly, we observed a significantly lower loss of Neurofilament H in WT-Tacr mice (WT-Tacr: +66%,  $p = 0.02$ ) but no differences in the other immunosuppressed Rag2<sup>-/-</sup> and NSG mice (Rag2<sup>-/-</sup>: +39%,  $p = 0.37$ ; NSG = +10%,  $p = 0.95$ ) compared to WT control (**Figure 1M**). No changes have also been observed between the groups for Neurofilament L expression in the injured regions (WT-Tacr: +33%, Rag2<sup>-/-</sup>: +23%, NSG: +2%, all  $p > 0.5$ , **Figure 1M**).

Next, we asked if vascular remodelling after stroke may be altered in immunosuppressed mice, because inflammatory responses are known to affect angiogenesis (25). We quantified the vascular density and maturation as well as identified newly formed vessels in the contralesional and ipsilesional cortex three weeks after injury. The overall vascular density, number of branches and total length of the vascular network was increased in the peri-infarct areas in all immunodeficient groups compared to the WT control (**Figures 2A–C**). Most prominent, Rag2<sup>-/-</sup> mice had an increase of +70% ( $p < 0.001$ ) in vascular network density, +215% ( $p < 0.001$ ) in the number of branches and +79% ( $p < 0.001$ ) in the vascular length compared to WT mice. Importantly, no vascular differences between the groups were observed in the contralesional brain tissue (all  $p > 0.05$ , **Figure 2D**). To confirm that the blood vessels in the ischemic border zone were newly formed, the nucleotide analogue 5-ethynyl-2'-deoxyuridine (EdU) was systemically applied at the peak of poststroke angiogenesis at day 7

(**Figures 2E–G**). NSG mice had an increase in EdU<sup>+</sup> blood vessels per mm<sup>2</sup> in the ischemic border zone compared to WT controls (NSG:  $109.4 \pm 32.4$  mm<sup>-2</sup>; WT:  $39.2 \pm 46.9$  mm<sup>-2</sup>;  $p = 0.03$ , **Figure 2G**). As newly formed blood vessels after stroke may be associated with immature vessels that lack stabilizing pericytes (CD13<sup>+</sup>) we investigated whether CD31 and CD13 markers were colocalized (**Figures 2H, I**). Pericyte coverage in the ipsilesional site was  $\approx 70\%$  lower compared to the contralesional site in all groups but was comparable between the groups in the peri-infarct areas as indicated by the ratio of pericyte covered vasculature (CD13<sup>+</sup>CD31<sup>+</sup>) to total vasculature (CD31<sup>+</sup>) (WT:  $0.36 \pm 0.15$ , WT-Tacr:  $0.15 \pm 0.14$ , Rag2<sup>-/-</sup>:  $0.23 \pm 0.12$ , NSG =  $0.23 \pm 0.1$ ; all  $p > 0.4$ , **Figure 2J**).

To test if also systemic inflammatory responses were altered following stroke, we measured serum cytokine levels at baseline, 3 and 21 days after stroke using MSD multiplex assays (**Figure 2K**). We identified that all immunosuppressed mice showed a changed cytokine signature compared to WT mice. The strongest deviation from WT was present in cytokine levels of NSG mice, particularly in the reduced levels of IFN $\gamma$ , IL-2, IL-5 and increased IL-1b, IL-4 (**Figure 2K**).

## Motor performance and gait alterations after stroke are comparable between immunodeficient and wildtype mice

Large damage of the sensorimotor cortex by photothrombotic stroke causes long-term impairment in fore and hindlimb function and locomotion<sup>13,23</sup>. To test whether the anatomical and systemic changes following immunosuppression may affect functional recovery, motor performance and gait analysis was performed at baseline and at repeated intervals after stroke for 21 days (**Figure 3A**).

We determined in all groups, except of NSG mice, an acute deficit in the rotarod performance indicated by a  $\approx 40\%$  shorter time spent on the rod acutely after stroke (3dpi to bl: WT: -48%,  $p = 0.020$ , WT-Tacr: -35%,  $p = 0.048$ ; Rag2<sup>-/-</sup> = -43%,  $p = 0.037$ ; NSG = -22%,  $p = 0.549$ ) with a gradual recovery (**Figure 3B**). NSG mice had also a striking higher intra-group variability between individual animals in the rotarod task (**Figure 3B**).

Next, we applied a recently established deep learning algorithm to videos of mice during a voluntary run to identify specific gait changes after stroke (19, 26). We confirmed that the body parts of interest including tail base, iliac crest, hip, back ankles, back toe, shoulder, wrist, elbow, front toe, and head could be reliably detected from three perspectives (**Figures 3C, D**). The ratio of confident labels ( $>95\%$  likelihood of detection) to total labels ranged from 93–99% (**Figure 3E**). Data points that did not pass the likelihood of detection of 95% were excluded. We confirmed that previously identified key parameters (19) were altered in all groups of animals after stroke. For instance, an asymmetric stride pattern describing the non-simultaneous

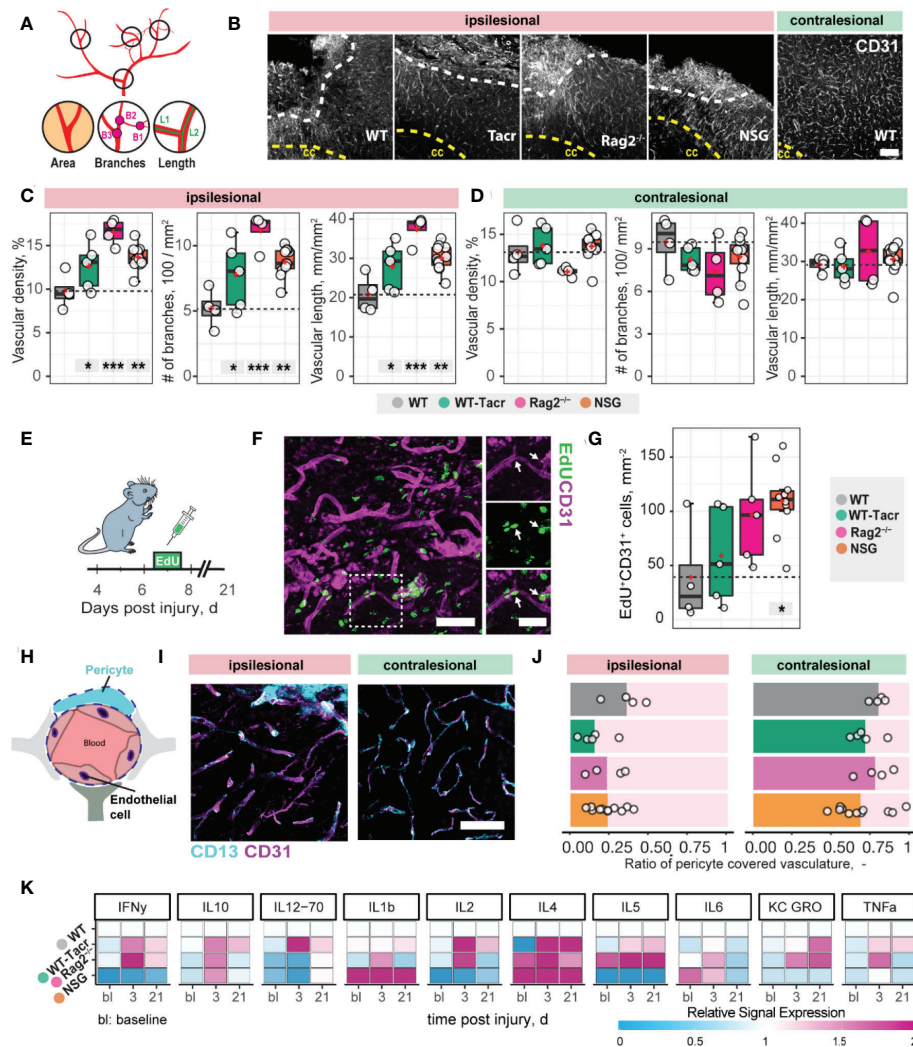


FIGURE 2

Vascular repair and remodelling of immunosuppressed mice after stroke. (A) Schematic representation of vascular parameters. (B) Representative images of CD31<sup>+</sup> vasculature in the ipsi- and contralesional cortex in WT mouse. Scale bar: 100  $\mu$ m. (C) Quantification of vascular density, number of vascular branches and vascular length of ipsi- and (D) contralesional cortex 21 dpi. (E) Schematic representation of EdU injection to label proliferating cells 7 dpi. (F) Representative image of EdU incorporating CD31<sup>+</sup> blood vessels in the ipsilesional site at 21 dpi. Arrow shows EdU<sup>+</sup> vasculature. (G) Quantification of EDU<sup>+</sup>CD31<sup>+</sup> cells per mm<sup>2</sup> in the ipsilesional site. (H) Schematic representation of blood brain barrier components. (I) Representative image of CD31<sup>+</sup> cells covered by CD133<sup>+</sup> pericytes in the ipsi- and contralesional site of WT mice at 21 dpi. Scale bar: 25  $\mu$ m. (J) Quantitative ratio of vasculature covered by pericytes in ipsi- and contralesional site. (K) Blood plasma cytokine analysis of pro-inflammatory factors at baseline, 3 and 21 dpi. Data are shown as mean distributions where the red dot represents the mean. Boxplots indicate the 25% to 75% quartiles of the data. For boxplots: each dot in the plots represents one animal. Significance of mean differences between the groups (baseline hemisphere, contralesional hemisphere, and ipsilesional hemisphere) was assessed using Tukey's HSD. Asterisks indicate significance: \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001. ctx, cortex; cc, corpus callosum; bl, baseline; d, days.

placement of opposed front and back toes, was observed acutely after stroke in all groups (3dpi: all  $p < 0.05$ ) (Figure 3F). The asymmetric stride pattern reversed to baseline with time in all groups (Figure 3F). Also, we detected comparable irreversible gait changes in all groups such as a reduced range of motion in the contralesional left front paw (3dpi to bl: WT:  $-1.2 \pm 1.4$  mm, WT-Tacr:  $-0.9 \pm 0.5$  mm, Rag2<sup>-/-</sup>:  $-0.8 \pm 0.6$  mm, NSG:  $-0.7 \pm 0.3$  mm, all  $p < 0.05$ ) that gradually recovered but did not reach

baseline levels throughout the time course (21dpi to bl: WT:  $-1.0 \pm 0.8$  mm, WT-Tacr:  $-0.5 \pm 0.3$  mm, Rag2<sup>-/-</sup>:  $-0.5 \pm 0.4$  mm, NSG:  $-0.4 \pm 0.3$  mm, all  $p < 0.05$ , Figure 3G).

To detect fine motor deficits, we additionally performed a deep learning assisted irregular ladder rung test and quantified the ratio of stepping errors (19, 27). We achieved a high ratio of confident labels of 92-93% (Figure 3H) and excluded all data points that did not pass the confidence threshold. Errors

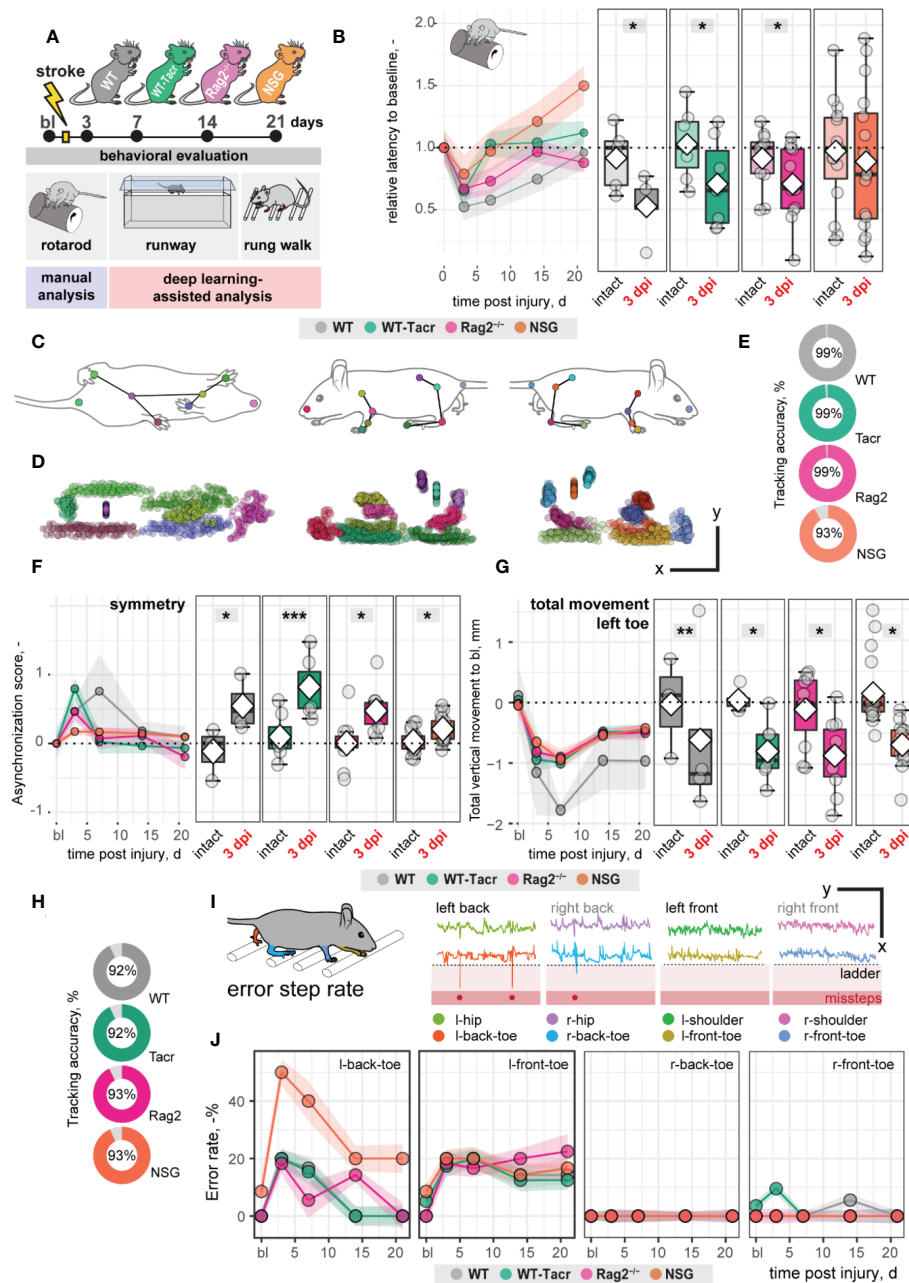


FIGURE 3

Gait and motor performance of immunosuppressed mice after stroke. (A) Schematic time course of experimental interventions. (B) Rotarod test showing the relative latency at baseline and 3, 7, 14, 21 dpi. (C, D) Walking profile normalized to the hip coordinates of randomly selected non-injured mice. Each dot represents an anatomical landmark. (E) Likelihood of a confident labelling for individual body parts in the runway. (F) Ratio of asynchronization at baseline, 3, 7, 14, 21 dpi. (0 = ideal synchronization). (G) Absolute vertical movement of left (contralesional) toe at baseline and 3, 7, 14, 21 dpi. (H) Likelihood of a confident labelling for individual body parts in the ladder rung test (I) Representation of an individual ladder rung walk of WT mice at 3 dpi, selected body parts are tracked including hip, back toe, shoulder, and front toe. (J) Time course of error rate during ladder rung test in the individual paws. Data are shown as mean distributions where the white dot represents the mean. Boxplots indicate the 25% to 75% quartiles of the data. For boxplots: each dot in the plots represents one animal. Line graphs are plotted as mean  $\pm$  sem. For line graphs: the dots represent the mean of the data. Significance of mean differences between the groups was assessed using repeated ANOVA with post-hoc (emmeans) analysis. Asterisks indicate significance: \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .

were defined if the front or back toe dropped lower than the ladder height due to a misstep or a slip (Figure 3I). All groups of mice showed acutely increased error rates that were most pronounced in the contralateral left back (3dpi: WT: +20%, WT-Tacr: +20%, Rag2<sup>-/-</sup>: +18%, NSG: +50%, all  $p < 0.05$ ) and left front toe (3dpi: WT: +20%, WT-Tacr: +10%, Rag2<sup>-/-</sup>: +18%, NSG: +18%, all  $p < 0.05$ ) compared to baseline misstep rates (Figure 3J). NSG mice tend to have a higher overall error rate, most prominent in the left back toe after injury (Figure 3J).

In sum, the motor performance and gait alterations between immunosuppressed and WT mice were largely comparable. NSG mice deviated in few behavioral readouts from the functional deficits in WT mice. However, the data indicates that the observed anatomical and systemic changes did not lead to major changes in functional recovery between the groups in a time course of three weeks.

## Immunodeficient mice have distinct gene expression profile after stroke

To further dissect molecular changes in the ischemic brain, we performed RNA sequencing of ischemic brain tissue from immunodeficient and WT mice (Figure 4A). Three weeks after stroke, the majority of the significantly altered genes were upregulated in all groups of mice (Figure 4B). Principal component analysis and a heatmap of the most differentially expressed genes demonstrate a clear separation between naïve mice from their stroked littermates (Figures 4B, C). As expected, we also observed a strong separation between non-stroked and stroked NSG mice to the other groups presumably because of their different background (NSG mice have a NOD-background; all other groups of mice had a C57Bl/J background, Supplementary Figures 2A, B). Most enriched gene sets between non-stroked NSG and WT mice affected pathways related to the adaptive immune system (Supplementary Figure 3).

After stroke, there was an overlap of 32% of the top<sub>1000</sub> upregulated genes but only 3% of the top<sub>1000</sub> downregulated genes in all groups of mice compared to their respective non-stroke controls (Figure 4D). WT-Tacr mice showed the closest gene expression profile to WT; and NSG mice deviated most from WT gene expression after stroke. Still, many of the top<sub>30</sub> upregulated genes were present in all groups of animals such as Mmp12, CD5l, Mmp3, C3, Apoc4, Tgm1, Clec7a, Lcn2 and Liltr4b. (Figure 4E). However, no top<sub>30</sub> downregulated genes were present in all groups (Supplementary Figure 4).

The majority of the enriched pathways in all groups after stroke were related to the innate and adaptive immune system e.g., leukocyte migration, positive regulation of cytokine production, and regulation of immune effector process (Figures 5A, B). While 80% of the top 10 enriched pathways

after stroke overlapped between WT-Tacr, Rag2<sup>-/-</sup> and WT, only 40% of pathways were identical between NSG and WT (Figures 5A, B).

Next, we analysed gene expression differences among the immunosuppressed stroked groups that were normalized for their respective non-stroked genotype to WT stroked mice (Figure 6A). The majority of differentially expressed genes in the different immunosuppressed stroked mice were unique (Figure 6B). As expected, the enriched pathways were mostly associated with inflammatory responses such as, innate immune response, regulation of cytokine production and lymphocyte activation (Supplementary Figures 5A–C).

Interestingly, a second cluster of pathways that was enriched in immunosuppressed stroked mice compared to WT stroked mice was linked to angiogenic responses (Figures 6C, D). Surprisingly, these pathways were highly positively enriched in NSG and Rag2<sup>-/-</sup> mice (Figure 6D) but negatively enriched in WT-Tacr mice at 3 weeks after injury (Figure 6D), indicating a reduced angiogenic response at 21 dpi in tacrolimus immunosuppressed mice.

In sum, we observed distinct gene expression and pathway enrichment changes between the immunosuppressed and WT mice three weeks after stroke. The strongest deviation was observed in NSG mice. Apart from inflammatory responses, angiogenesis related genes were most significantly altered.

## Delayed immunosuppression results in altered stroke progression that more closely resembles wildtype pathology

Transient angiogenic responses have been previously reported after stroke (28) and may explain the enhanced vascular repair mediated by tacrolimus with concomitant downregulation of angiogenesis related genes and pathways three weeks after stroke. We therefore asked if the anatomical and gene expression differences in the stroke pathology between tacrolimus treated and WT mice could be reduced by delaying the tacrolimus administration by one week. This time window was chosen to mimic a clinically relevant scenario. In most studies, cell transplantation usually happens around one week after injury to avoid the very acute and hostile phase; and immunosuppression will be applied shortly before delivery (29). To test this hypothesis, we induced a photothrombotic stroke in (1) WT (2), acute (0 dpi) tacrolimus immunosuppressed mice (WT-Tacr<sub>acute</sub>) and (3) delayed (7 dpi) tacrolimus immunosuppressed mice (WT-Tacr<sub>delayed</sub>, Figure 7A).

First, we performed brain tissue analysis of inflammatory and scarring responses at 21 dpi. (Figure 7B). Histological analysis in the peri-infarct region revealed an increased glial scar signal (GFAP<sup>+</sup>) in WT-Tacr<sub>delayed</sub> (+21% to WT) compared to WT-Tacr<sub>acute</sub> (−58% to WT) mice ( $p = 0.025$ , Figure 7C).

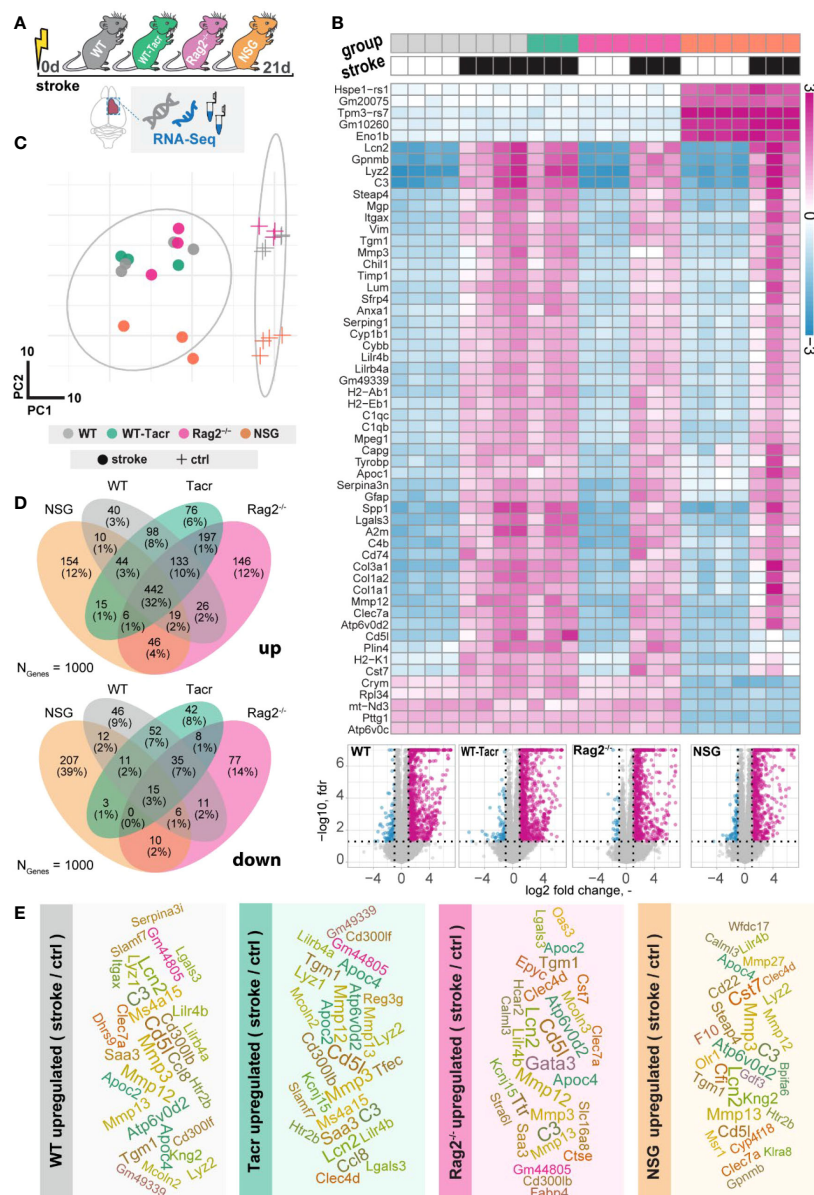


FIGURE 4

Gene expression changes in immunodeficient mouse models after stroke. (A) Schematic overview of experimental time course and groups of mice: C57BL/6J wildtype (WT), tacrolimus immunosuppressed wildtype (WT-Tacr), recombination activating gene 2 deficient mice (Rag2<sup>-/-</sup>) and NOD scid gamma mice (NSG). (B) Heatmap of most differentially expressed genes and volcano plot of stroked (black boxes) to non-stroked (white boxes) WT, WT-Tacr, Rag2<sup>-/-</sup>, NSG mice. (C) Principal component analysis, circles indicate stroked mice, crosses indicate non-stroked mice. (D) Venn diagram of common and differentially expressed top1000 genes in all groups of immunodeficient mice. (E) List of top 30 upregulated genes after stroke in the respective group of immunodeficient mice, font size represents strength of upregulation.

Inflammatory Iba1<sup>+</sup> levels were also amplified in WT-Tacr<sub>delayed</sub> (−25% to WT) compared to WT-Tacr<sub>acute</sub> (−60% to WT) mice ( $p = 0.04$ , Figure 7D). The number of newly formed Iba1<sup>+</sup> cells (Iba1<sup>+</sup>EdU<sup>+</sup>) around the stroke was increased between Tacr<sub>delayed</sub> (+1% to WT) compared to WT-Tacr<sub>acute</sub> (−59% to

WT) mice ( $p = 0.001$ , Figure 7E), indicating overall that post-stroke scarring and inflammation in WT-Tacr<sub>delayed</sub> mice is closer to WT tissue responses.

No differences have been observed in the stroke volumes between the groups (WT-Tacr<sub>acute</sub> =  $2.85 \pm 0.62$  mm<sup>3</sup>, WT-

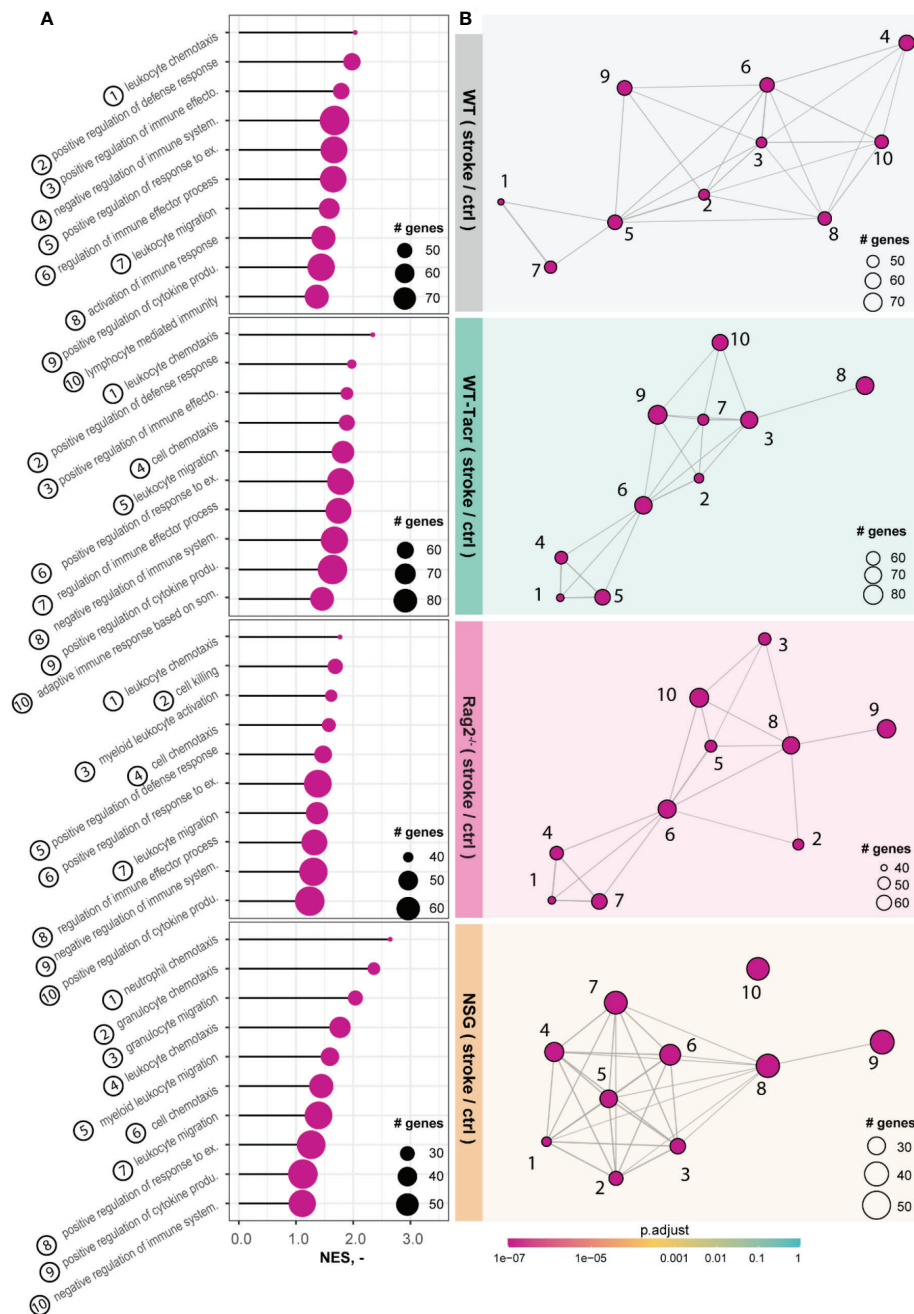


FIGURE 5

Gene set enrichment analysis in stroked mice. (A) Lollipop plot and (B) enrichment map (EMAP) of top 10 pathways enriched in stroked C57BL/6J wildtype (WT), tacrolimus immunosuppressed wildtype (WT-Tacr), recombination activating gene 2 deficient mice (Rag2<sup>-/-</sup>) and NOD scid gamma mice (NSG) mice compared to their non-stroked littermates. Size of dots represents the number of genes in the pathway and color of dots represents adjusted p value.

Tacr<sub>delayed</sub> =  $3.31 \pm 0.61 \text{ mm}^3$ , WT =  $3.30 \pm 0.71 \text{ mm}^3$ ,  $p > 0.05$ , Figure 7F). Additionally, delayed tacrolimus administration reduced the vascular density ( $-35\%$ ,  $p = 0.048$ ) and number of branches ( $-60\%$ ,  $p = 0.032$ ) but did not influence the number of newly formed blood vessels compared to WT-Tacr<sub>acute</sub>

(CD31<sup>+</sup>EDU<sup>+</sup>: WT-Tacr<sub>acute</sub> =  $56.3 \pm 3.2 \text{ cells/mm}^2$ , WT-Tacr<sub>delayed</sub> =  $59.3 \pm 8.1 \text{ cells/mm}^2$ ,  $p > 0.05$ , Figures 7G–I). The ratio of pericyte coverage of total vasculature remained unchanged in the peri-infarct region between the groups (WT-Tacr<sub>acute</sub> =  $0.25 \pm 0.15$ , WT-Tacr<sub>delayed</sub> =  $0.29 \pm 0.08$ ,  $p > 0.05$ , Figures 7J).

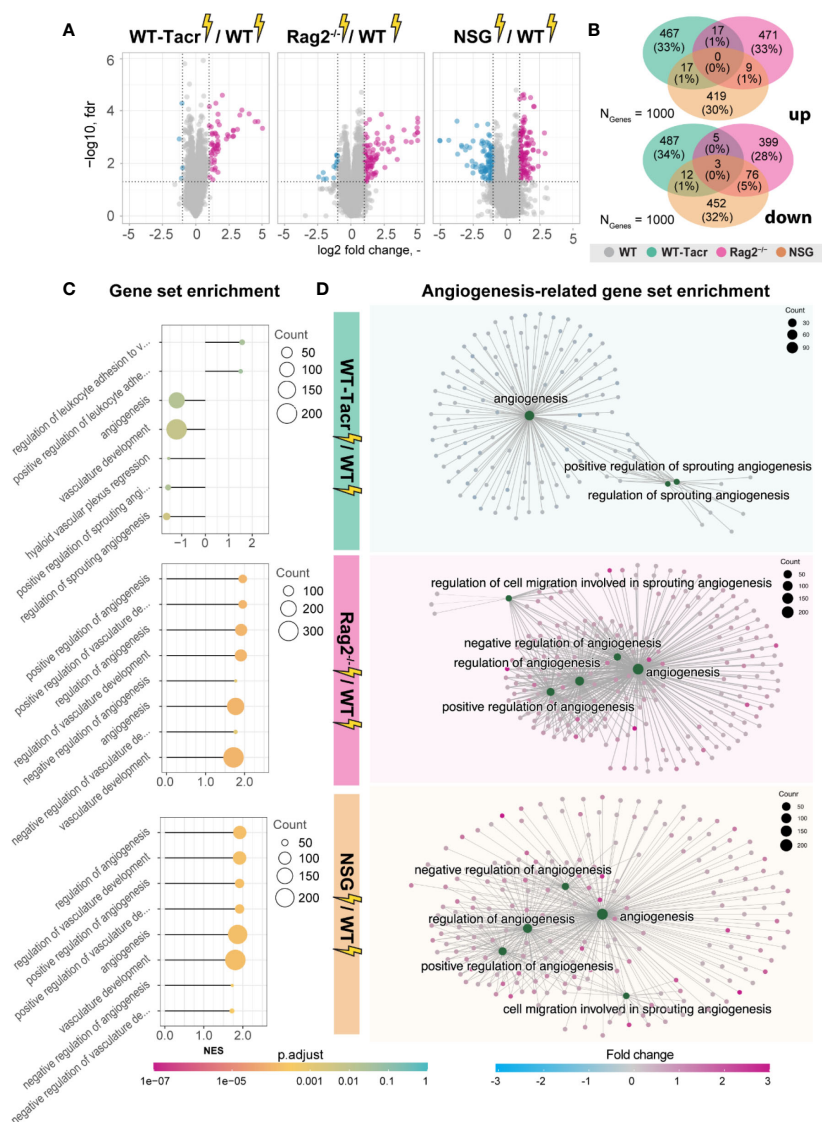


FIGURE 6

Gene expression changes and gene set enrichment between immunodeficient stroked mice and wildtype stroke pathology. **(A)** Volcano plot of differentially expressed genes identified between immunodeficient stroked mice and wildtype stroked mice. Magenta dots indicate upregulated gene expression, and blue dots indicate downregulated gene expression. **(B)** Venn diagram of common and differentially expressed top 1000 genes in all groups of stroked immunodeficient mice. **(C)** Gene set enrichment shown in a lollipop plot in immunodeficient stroked mice compared to wildtype stroked mice. Size of dots represents the number of genes in the pathway and color of dots represents adjusted p value. **(D)** CNET plot describing linkages of genes and biological processes for angiogenesis-related pathways. Size of dots represents the number of genes in the pathway and color of dots represents fold changes.

Interestingly, gene expression analysis of ischemic brain tissue reveals an increased gene set enrichment for cytokine production and pro-angiogenic responses in WT-Tacr<sub>delayed</sub> mice (Figures 7K–M, Supplementary Figure 6). This may indicate that the increased angiogenic responses in WT-Tacr<sub>acute</sub> mice may be transient and/

or that delayed immunosuppression shifts the angiogenic response towards a later timepoint after stroke.

These data indicate that a 7-day delayed pharmacological immunosuppression with tacrolimus shifts the course of stroke more towards the WT pathology.

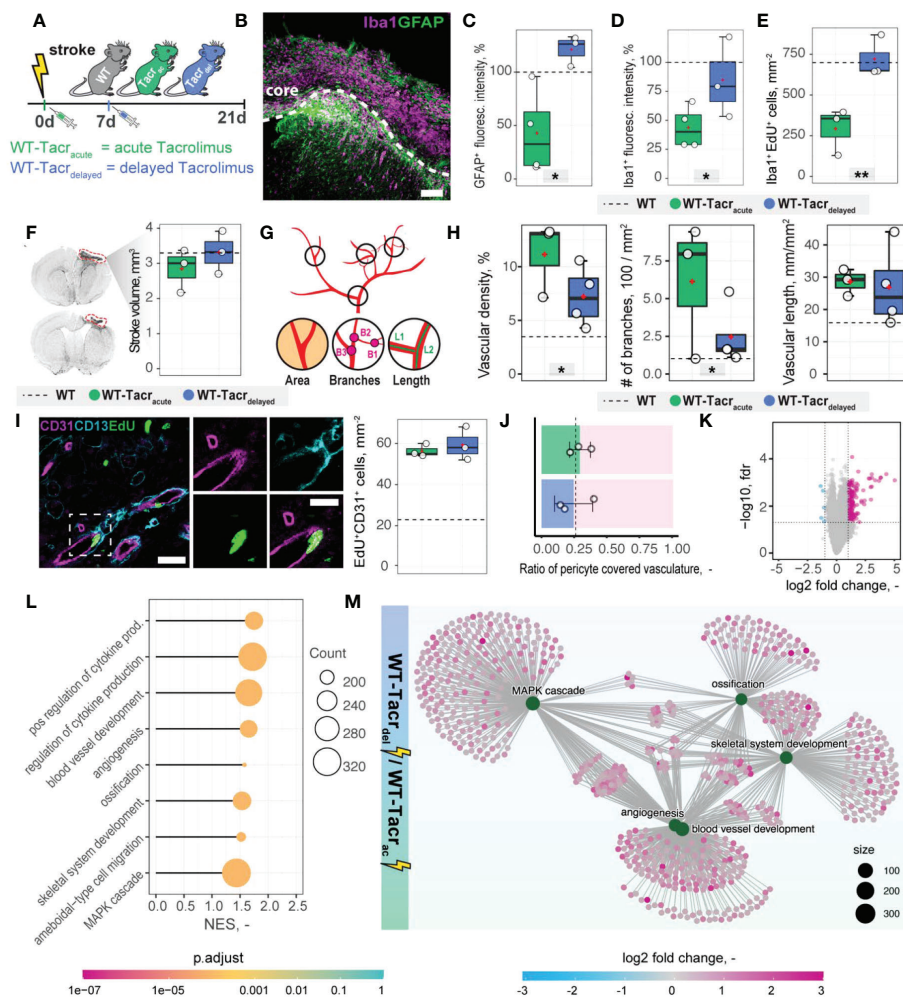


FIGURE 7

Anatomical and gene expression changes in the stroke pathology of acute and delayed pharmacological immunosuppression. (A) Schematic representation of experimental set-up and groups of mice: C57BL/6J wildtype (WT), acute (0 dpi) tacrolimus immunosuppressed wildtype (WT-Tacr<sub>acute</sub>), and delayed tacrolimus (7 dpi) immunosuppressed wildtype (WT-Tacr<sub>delayed</sub>) mice. (B) Representative image of Iba1<sup>+</sup> inflammatory cells (Iba1, magenta) and reactive astrocytes (GFAP<sup>+</sup>, green) in the ipsilesional cortex at 21 dpi. Scale bar: 100  $\mu$ m. (C) Quantification of GFAP<sup>+</sup>, and (D) Iba1<sup>+</sup> fluorescence intensity in the peri-infarct regions at 21 dpi. (E) Quantification of Iba1<sup>+</sup> EDU<sup>+</sup> cells in per-infarct region. (F) Stroke volume quantification at 21 dpi. (G) Schematic overview of vascular parameters (H) Quantification of vascular density, number of vascular branches and vascular length in the peri-infarct region at 21 dpi. (I) Representative image of newly formed blood vessels (CD31<sup>+</sup>, magenta) covered by pericytes (CD13<sup>+</sup>, cyan) and incorporating a nucleotide analogue that was injected at 7 dpi (EdU<sup>+</sup>, green). Scale bars: Overview: 20  $\mu$ m. Closeup: 10  $\mu$ m. Quantification of EdU<sup>+</sup>CD31<sup>+</sup> cells per mm<sup>2</sup> in the peri-infarct region at 21 dpi. (J) Pericyte coverage assessed by the ratio of CD13<sup>+</sup>/CD31<sup>+</sup> cells at 21dpi. (K) Volcano plot of gene expression differences between delayed and acute immunosuppressed mice. (L) Lollipop chart of gene set enrichment terms for "biological process" in delayed tacrolimus immunosuppressed mice. (M) Visualization of top five gene set enrichments terms with respective genes. Data are shown as mean distributions where the white dot represents the mean. Boxplots indicate the 25% to 75% quartiles of the data. For boxplots: each dot in the plots represents one animal. Dotted line represents mean of WT mice. \*P < 0.05, \*\*P < 0.01.

## Grafted human NPCs survive long-term in genetically immunodeficient Rag2<sup>-/-</sup> and NSG mice

Next, we evaluated long-term survival of intracerebrally transplanted grafts in (1) WT mice (2) WT mice that were continuously immunosuppressed with tacrolimus (WT-Tacr); and genetically immunodeficient (3) Rag2<sup>-/-</sup> and (4) NSG mice

(Figure 8A). As a cell source, we used recently generated human neural progenitor cells (NPCs) derived from induced pluripotent stem cells (iPSCs) (20). The NPCs were transduced with a dual reporter consisting of a bioluminescent firefly luciferase (rFluc) and a fluorescent eGFP. A successful transplantation in all groups of mice was confirmed by comparable levels of luciferase signal directly after transplantation (Figures 8B, C). As expected, we observed the absence of the graft in WT mice 14

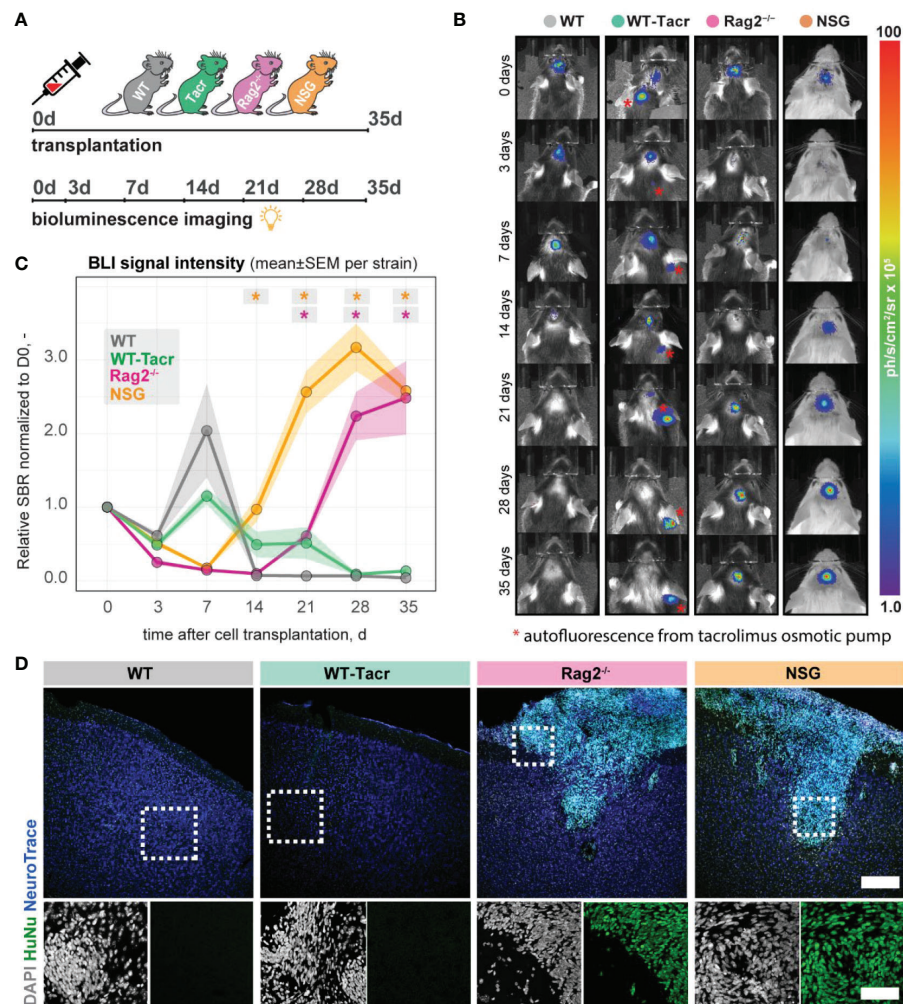


FIGURE 8

*In vivo* tracking of transplanted NPCs in immunodeficient mouse models. (A) Schematic overview of experimental time course (B) Representative bioluminescence images of luciferase-expressing NPCs transplanted in immunodeficient and WT mouse models at 0, 3, 7, 14, 21, 28, 35 days after transplantation. (C) Bioluminescence signal intensity over time after transplantation. (D) Representative images of transplanted human NPCs 35 days after transplantation stained with anti-human nuclei antibody (HuNu, green), NeuroTrace (fluorescent Nissl, blue) and counterstained with DAPI (grey). Scale bar: 50 μm. Line graphs are plotted as mean ± sem. d, days; BLI, bioluminescence imaging; sbr = signal background ratio; ph, photons; sr, steradian. \*P < 0.05.

days after transplantation presumably due to xenogenic immune rejection. In contrast, the immunosuppressed mice showed all a prolonged graft survival. However, only in Rag2<sup>-/-</sup> and NSG mice, we observed a strong bioluminescence signal during the entire time course of more than 1 month after transplantation (Figures 8B, C). After 35 days, surviving graft cells were identified using human-specific antibodies in brain sections of NSG and Rag2<sup>-/-</sup> mice but were not detectable in WT and WT-Tacr mice (Figure 8D).

These data suggests that genetic immunosuppression is more reliable for graft survival, especially in long-term studies.

## Discussion

Immunodeficient mouse models are commonly used in preclinical stroke research to evaluate e.g., the efficacy of human cell-based therapies. As changes to the inflammatory responses after stroke may considerably alter overall stroke outcome, it is important to understand the stroke pathology of commonly used immunodeficient mouse models. Here, we identified distinct anatomical, gene expression and systemic changes in the stroke pathology between pharmacologically and genetically immunodeficient mice after stroke. These changes were most prominent in NSG mice that have deficiencies in the innate and

adaptive immune system and less pronounced in Rag2<sup>-/-</sup> and pharmacologically immunosuppressed mice with tacrolimus. The changes mainly affected the inflammatory and vascular responses after stroke. However, long-term graft survival was most consistent in NSG and Rag2<sup>-/-</sup> mice.

A variety of cell types are responsible for postinjury inflammation in the brain after stroke. These cells may locally arise from resident microglia and astrocytes or are recruited from the peripheral blood circulation. Especially cells from the innate immune system such as neutrophils and monocytes have been extensively studied in experimental stroke (10). It is assumed that these cells may predominantly be responsible for the secondary inflammatory injury by releasing proinflammatory factors, reactive oxygen species (ROS) and proteases (30). Although neutrophils and monocytes constitute most of the remaining mouse immune cells in the immunodeficient NSG mice, dendritic cells and macrophages have been described to be defective because of the genetic NOD background (31). We further observed an altered pattern in serum cytokines in NSG mice. These findings are directly in line with previous findings describing deficiencies of NSG mice in cytokines release (31). These deficiencies in the cytokine and innate immune system may explain the strong deviation of the NSG gene expression profile after stroke to the other mouse models with intact innate immune systems. These differences also persisted after correcting for the genetic NOD background.

In contrast to the innate immune system, the role of the adaptive immune system after stroke is less clear. B and T lymphocytes are scarce in the CNS but can be found in the postischemic brain, which may be caused by cytokine signalling from the brain to the periphery or by the compromised blood-brain barrier after stroke. For instance, infiltration and activation of B and T lymphocytes in the brain have been associated with increased cognitive decline in an experimental stroke model and blocking adaptive immune responses has been suggested as a potential therapeutic target after stroke (32). Both, the here used Rag2<sup>-/-</sup> as well as tacrolimus immunosuppressed mice have deficiencies in the adaptive immune system and are lacking matured B- and T- lymphocytes (Rag2<sup>-/-</sup>) or respectively suppressed T-lymphocyte activation (tacrolimus). The lack of adaptive immune response caused a marked change in the brain tissue pathology as well as in the inflammatory and angiogenic gene expression signature. The main advantage in using pharmacological immunosuppression is that their time point of application can be chosen according to the experimental set-up. For instance, most studies using human cell-based strategies for stroke avoid the very acute phase for cell transplantations because of the hostile environment in the damaged brain regions; and choose transplantation around one week after stroke induction (3, 29). This set-up would facilitate to immunosuppress mice shortly before cell transplantation and allow a natural stroke progression for the first week. Tacrolimus has also been already successfully used in clinics for cell-based

therapies in stroke and Parkinson's and has therefore direct translational potential (33, 34). On the other hand, genetic mouse models are easier to handle and show generally a more consistent survival of the graft, as we have also observed in this study. Alternatively, future studies may use immune deficient mice such as NSG or Rag2<sup>-/-</sup> Il2<sup>-/-</sup> and CD47<sup>-/-</sup> (Tripple KO) mice that are reconstituted with a humanised immune system and HLA-matched to the donor cells. Humanised mice may show a closer pathology to the WT (35), although these mice still have several limitations, including limited lifespan, incomplete human immune function and graft-versus-host disease etc (36).

Contrary to previous findings that described a reduced stroke volume of several immunodeficient mice (37, 38), we did not observe anatomical changes in stroke outcome compared to immunocompetent WT mice. One reason for these inconsistencies may be the time point of stroke volume evaluation. Many studies evaluated stroke volume at 24 h after stroke induction to investigate acute effects of the stroke pathology. However, we were interested in long-term effects as regenerative cell therapies may have a therapeutic time course of weeks to months. At three weeks following stroke, major structural reorganisations occur, pushing the corpus callosum towards the brain surface, which in turn can affect the stroke volume estimates (39).

Findings from a growing number of preclinical and clinical studies suggest that post-stroke angiogenesis is a key restorative process for tissue preservation and repair, leading to improved outcome (13, 24, 40, 41). In our study, we observed marked upregulation of vascular repair in the peri-infarct regions of WT-Tacr, Rag2<sup>-/-</sup> and NSG mice compared to immunocompetent WT mice 21 days after stroke. The improved vascular repair in histological brain sections was abolished if immunosuppressive tacrolimus was administered delayed at 7 dpi. As post-stroke angiogenesis starts from 3 dpi (42) and depends on the acute inflammatory and cytokine microenvironment in the injured brain, a delayed immunosuppression by 1 week seems to ensure that levels of vascular repair are more similar to that of control WT mice.

However, the pro-angiogenic responses in continuously immunosuppressed mice did not lead to improved functional outcome in our set-up. One explanation for the absence of improved functional recovery in immunodeficient mice could be that the observed angiogenesis only occurred transiently, as has been described previously (28, 42). The downregulation of angiogenesis-related genes at 21 dpi in the acutely tacrolimus immunosuppressed mice compared with mice receiving tacrolimus treatment 7 dpi further suggests the transient nature of post-stroke angiogenesis resulting from immunosuppression. Additionally, it is well known that the growth of new capillaries carries the risk for immature vessel formation, which may enhance haemorrhagic transformation and BBB damage; and mature vessels are associated with better functional outcomes (24, 43). We observed in all groups of animals a low pericyte

coverage in the peri-infarct regions that tend to decrease especially in Rag2<sup>-/-</sup> animals, which had the highest vascular density in the injured brain regions. These changes in vascular responses following stroke are important to consider when evaluating the effects of cell-based therapies in immunosuppressed mice, as vascularization is often one of the important readouts to observe the effectiveness of a cell therapy (44, 45).

One limitation of our study is that we used mice with different genetic backgrounds. Although we corrected the gene expression findings to the background of the mice, there might be confounding effects that are difficult to account for. For instance, mice with different backgrounds may have variable weight and size effects, time required to learn behavioral tasks or natural stroke recovery due to cerebral vascular architecture (46, 47). We used two cohorts of animals for the gene expression and anatomical studies after stroke, which may add to the variability of the data. Future studies may profit from recent advances in spatially resolved transcriptomics approaches that could directly associate gene expression signatures to anatomical changes in the same animal (48). However, the natural temporal delay in gene expression and anatomical and functional recovery may complicate understanding of complex processes *in vivo*, such as whether angiogenesis occurs transiently after stroke.

Microglia, the resident immune cells of the central nervous system (CNS), become activated as a response to stroke and accumulate in damaged brain areas. In mice, activated microglia obtain an amoeboid morphology, thus becoming indistinguishable from infiltrating macrophages (10, 49). Iba-1, the marker used in this study, is expressed by both cell types, making it difficult to determine the ratio of residual activated microglia and infiltrating macrophages. Future studies may consider using additional cell markers, such as TMEM119 or P2RY12, to better distinguish between cell populations following brain injury (49).

The pharmacological immunosuppression with tacrolimus occurred *via* osmotic mini pumps that ensured a constant delivery rate previously validated in other studies (50–52); however, we do not know the spatiotemporal distribution and delivery efficacy of tacrolimus to the injured brain regions, an irregular delivery may influence the survival of the grafted cells. Furthermore, tacrolimus may additionally influence the survival of the graft independent of the calcineurin-pathway (53). Future studies may compare different routes and different time points of delivery for tacrolimus, such as daily *i.p.* injections instead of using osmotic mini pumps, as this has already been shown to provide long-term cell survival in animal stroke models (3). Additionally, alternative immunosuppressive regimes should be investigated to optimize graft survival.

## Conclusion

In sum, our study identifies key changes in anatomy, physiology, and gene expression of popular immunosuppressed

mouse models after stroke. We found significantly decreased microglial activation/macrophage infiltration and glial scarring signals in immune-suppressed animals in and around the stroked area three weeks after injury compared to immune-competent WT animals. The overall vascular density, number of branches and total length of the vascular network was increased in the peri-infarct areas in all immunodeficient groups compared to the WT, most prominent in Rag2<sup>-/-</sup> animals. Transcriptomic analysis of stroked tissue revealed the strongest deviation from WT was observed in NSG mice affecting immunological and angiogenic pathways. Pharmacological immunosuppression resulted in the least variation in gene expression compared with the WT group. These changes in stroke progression should be considered when investigating molecular mechanisms of stroke or evaluating therapies that require immunosuppression.

## Data availability statement

Transcriptomic raw data are available to readers *via* Gene Expression Omnibus with identifier GSE208605. All other raw data are available upon request.

## Ethics statement

The animal study was reviewed and approved by Veterinarian Office of the Canton of Zurich (license: 209/2019).

## Author contributions

RW, CT, and RR designed the study. RW, RR prepared the Figures and wrote the manuscript. RW, GM, PP, and RR carried out the experiments. RW, GM, PP, CT, and RR proofread and revised the manuscript. All authors read and approved the final manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2022.1080482/full#supplementary-material>

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## EDITED BY

Marcela Pekna,  
University of Gothenburg, Sweden

## REVIEWED BY

Vladimir Darsalia,  
Karolinska Institutet (KI), Sweden  
Yu-Yo Sun,  
University of Virginia, United States

## \*CORRESPONDENCE

Jui-Hung Jimmy Yen  
✉ jimyen@iu.edu

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# Interferon- $\beta$ modulates microglial polarization to ameliorate delayed tPA-exacerbated brain injury in ischemic stroke

Ping-Chang Kuo<sup>1</sup>, Wen-Tsan Weng<sup>1</sup>, Barbara A. Scofield<sup>1</sup>,  
Hallel C. Paraiso<sup>2</sup>, Paul Bojrab<sup>3</sup>, Brandon Kimes<sup>3</sup>,  
I-Chen Ivorine Yu<sup>2</sup> and Jui-Hung Jimmy Yen<sup>1\*</sup>

<sup>1</sup>Department of Microbiology and Immunology, Indiana University School of Medicine, Fort Wayne, IN, United States, <sup>2</sup>Department of Anatomy, Cell Biology and Physiology, Indiana University School of Medicine, Fort Wayne, IN, United States, <sup>3</sup>Doctor of Medicine Program, Indiana University School of Medicine, Fort Wayne, IN, United States

Tissue plasminogen activator (tPA) is the only FDA-approved drug for the treatment of ischemic stroke. Delayed tPA administration is associated with increased risks of blood-brain barrier (BBB) disruption and hemorrhagic transformation. Studies have shown that interferon beta (IFN $\beta$ ) or type I IFN receptor (IFNAR1) signaling confers protection against ischemic stroke in preclinical models. In addition, we have previously demonstrated that IFN $\beta$  can be co-administered with tPA to alleviate delayed tPA-induced adverse effects in ischemic stroke. In this study, we investigated the time limit of IFN $\beta$  treatment on the extension of tPA therapeutic window and assessed the effect of IFN $\beta$  on modulating microglia (MG) phenotypes in ischemic stroke with delayed tPA treatment. Mice were subjected to 40 minutes transient middle cerebral artery occlusion (MCAO) followed by delayed tPA treatment in the presence or absence of IFN $\beta$  at 3h, 4.5h or 6h post-reperfusion. In addition, mice with MG-specific IFNAR1 knockdown were generated to validate the effects of IFN $\beta$  on modulating MG phenotypes, ameliorating brain injury, and lessening BBB disruption in delayed tPA-treated MCAO mice. Our results showed that IFN $\beta$  extended tPA therapeutic window to 4.5h post-reperfusion in MCAO mice, and that was accompanied with attenuated brain injury and lessened BBB disruption. Mechanistically, our findings revealed that IFN $\beta$  modulated MG polarization, leading to the suppression of inflammatory MG and the promotion of anti-inflammatory MG, in delayed tPA-treated MCAO mice. Notably, these effects were abolished in MG-specific IFNAR1 knockdown MCAO mice. Furthermore, the protective effect of IFN $\beta$  on the amelioration of delayed tPA-exacerbated ischemic brain injury was also abolished in these mice. Finally, we identified that IFN $\beta$ -mediated modulation of MG phenotypes played a role in maintaining BBB integrity, because the knockdown of IFNAR1 in MG partly reversed the protective

effect of IFN $\beta$  on lessening BBB disruption in delayed tPA-treated MCAO mice. In summary, our study reveals a novel function of IFN $\beta$  in modulating MG phenotypes, and that may subsequently confer protection against delayed tPA-exacerbated brain injury in ischemic stroke.

#### KEYWORDS

tissue plasminogen activator, interferon beta, type I interferon receptor, blood brain barrier, microglial polarization, ischemic stroke

## Introduction

Stroke is a leading cause of death and results in permanent disability in up to 30% of survivors. There are two types of strokes, ischemic stroke and hemorrhagic stroke, in which ischemic stroke accounts for more than 80% of stroke cases. Currently, tissue plasminogen activator (tPA) is the only FDA-approved drug for ischemic stroke. Mechanistically, tPA functions to dissolve blood clots that leads to reestablish the cerebral blood flow in the ischemic brain. However, tPA-induced reperfusion promotes the recruitment of peripheral inflammatory immune cells into the infarct core that subsequently results in the secondary brain injury (1). Furthermore, studies have shown that tPA induces microglia (MG) activation that exacerbates brain injury in ischemic stroke (2, 3). Moreover, the administration of tPA beyond its therapeutic window of 3–4.5h post-injury significantly increases the risk of blood brain barrier (BBB) disruption and hemorrhagic transformation (HT) after ischemic stroke (4–7).

MG activation plays an important role in the immunopathogenesis of the central nervous system (CNS) diseases. Following ischemic stroke, MG are rapidly activated and exert detrimental effects on ischemic brain injury. MG activation can be determined by detecting the expression of inflammatory markers, such as CD86 and CD16, and the upregulation of pro-inflammatory cytokines, including IL-1 $\alpha$ , IL- $\beta$ , TNF- $\alpha$ , and IL-6. Studies have shown that inflammatory MG markers and cytokines display an increased trend during the first 14 days following ischemic stroke (8). On the other hand, anti-inflammatory MG were also observed in the ischemic brain. Anti-inflammatory MG phenotype can be assessed by the expression of surface marker, macrophage mannose receptor 1 (CD206), and the upregulation of anti-inflammatory molecules, including IL-10, arginase-1 (Arg1), Ym1, and TGF- $\beta$ . Studies have shown that the expression of anti-inflammatory MG markers and molecules was increased at day 1 and peaked at day 5–7 post stroke. Importantly, anti-inflammatory MG exert protective effects on promoting brain repair and prognosis in ischemic stroke (8–10). Thus, modulating MG transformation from

inflammatory to anti-inflammatory phenotype represents a critical strategy for attenuating neuroinflammation and ameliorating brain injury in ischemic stroke.

Interferon beta (IFN $\beta$ ) is an FDA-approved therapy for the treatment of multiple sclerosis, and its immunomodulatory and anti-inflammatory properties were well characterized (11, 12). Hence, IFN $\beta$  exerts a potential to be utilized as an anti-inflammatory agent for the treatment of ischemic stroke. Indeed, we have previously demonstrated that IFN $\beta$  ameliorates brain injury through inhibiting MG activation and suppressing inflammatory immune cell infiltration of the CNS in stroke animals (13). In addition, studies from other groups showed that IFN $\beta$  and/or type I IFN receptor (IFNAR1) signaling confer protection against brain injury in ischemic stroke animal models (14–19). Moreover, our recent study showed that IFN $\beta$  can be co-administered with tPA to ameliorate delayed tPA-exacerbated brain injury in ischemic stroke (20). Collectively, studies from our and other groups demonstrate the beneficial effects of IFN $\beta$  treatment in ischemic stroke.

Our previous study demonstrated that IFN $\beta$  extended tPA therapeutic window to 3h post-injury in ischemic stroke in which IFN $\beta$  ameliorated delayed tPA-exacerbated ischemic brain injury and lessened tPA-aggravated BBB disruption and HT (20). In the present study, we investigated the time limit of IFN $\beta$  treatment on the extension of tPA therapeutic window and assessed the effect of IFN $\beta$  on the modulation of MG phenotypes in delayed tPA-treated stroke animals. Furthermore, mice with MG-specific IFNAR1 knockdown were generated to validate the effects of IFN $\beta$  on modulating MG phenotypes, ameliorating brain injury, and lessening BBB disruption in delayed tPA-treated stroke animals. In sum, our findings reveal that IFN $\beta$  exerts protective effects on modulating MG phenotypes, leading to the suppression of inflammatory MG and the induction of anti-inflammatory MG, and that subsequently confers protection against delayed tPA-exacerbated brain injury in ischemic stroke.

## Materials and methods

### Mice

Animal experimental procedures were approved by the Purdue Animal Care and Use Committee and performed in strict

**Abbreviations:** tPA, Tissue plasminogen activator; BBB, Blood-brain barrier; IFN $\beta$ , Interferon beta; IFNAR1, Type I IFN receptor; MG, Microglia; MCAO, Middle cerebral artery occlusion; CNS, Central nervous system; CD206, Macrophage mannose receptor 1; Arg1, Arginase-1; TAM, Tamoxifen; TTC, Triphenyltetrazolium chloride; TCA, Trichloroacetic acid; CBF, Cerebral blood flow; NVU, Neurovascular unit.

compliance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals. C57BL/6, *Ifnar1<sup>fl/fl</sup>*, and *Cx3cr1<sup>CreERT2/CreERT2</sup>* mice were purchased from the Jackson Laboratory (Bar Harbor, ME) and bred in our animal facility. *Ifnar1<sup>fl/fl</sup>* mice were crossed with *Cx3cr1<sup>CreERT2/CreERT2</sup>* mice to generate *Ifnar1<sup>fl/+</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice. *Ifnar1<sup>fl/+</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice were then crossed with *Ifnar1<sup>fl/+</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice to generate *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* and *Cx3cr1<sup>CreERT2/+</sup>* mice. *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice at 7–8 weeks old were subjected to *i.p.* injection of 75mg/kg Tamoxifen (TAM) for a total of 5 consecutive days to induce IFNAR1 knockdown in MG/macrophages. TAM-treated *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice were housed for additional 7–8 weeks to allow peripheral monocyte/macrophages to be replenished and then subjected to ischemic stroke. *Cx3cr1<sup>CreERT2/+</sup>* control mice were subjected to the same procedure of TAM treatment followed by ischemic stroke induction. Mice were housed and bred with controlled humidity, temperature and 12h:12h light-dark cycle in the animal facility with food and water available *ad libitum*.

## Reagents

IFN $\beta$  was purchased from PBL Interferon Source (Piscataway, NJ), and tPA was purchased from Genentech (San Francisco, CA). Evans blue was purchased from Sigma-Aldrich (St. Louis, MO). Triphenyltetrazolium chloride (TTC) and trichloroacetic acid (TCA) were purchased from Alfa Aesar (Tewksbury, MA). Antibodies of Alexa Fluor 488 anti-CD45 (Clone: 30-F11), FITC anti-CD11a (Clone: M17/4), PE/Cy7 anti-Ly6C (Clone: HK1.4), APC anti-CD11b (Clone: M1/70), PE/Cy7 anti-CD68 (Clone: FA-11), PE/Cy7 anti-CD86 (Clone: GL-1), PE/Cy7 anti-CD206 (Clone: C068C2), APC anti-IFNAR1 (Clone: MAR1-5A3), and PE anti-IL-1 $\alpha$  (Clone: ALF-161) were purchased from BioLegend (San Diego, CA). APC anti-Arg1 antibody was purchased from R&D Systems. APC anti-IL-1 $\beta$  (Clone: NJTEN3) antibody was purchased from eBioscience (Waltham, MA).

## Middle cerebral artery occlusion model

Cerebral ischemia was induced in 3–4 months old male and female mice as previously described (13, 20). Briefly, mice were subjected to intraluminal occlusion of right middle cerebral artery by the insertion of silicone-coated nylon monofilament (Doccol Corp, Sharon, MA). A laser doppler flowmetry was used to measure the cerebral blood flow (CBF). The filament was withdrawn to allow reperfusion after 40min or 4.5h occlusion. Animal's body temperature was maintained at  $\sim 37^{\circ}\text{C}$  throughout the surgery by a warming lamp and heating pad. Sham controls were subjected to the same surgical procedure without monofilament insertion. After surgery, mice were placed in the recovery cage in which the temperature was maintained at  $37^{\circ}\text{C}$  for 1h to recover from anesthesia. Mice that had a reduction of the CBF more than 80% during the occlusion were included in the study and assigned randomly to receive *i.v.* administration of vehicle (PBS), tPA

(5mg/kg), or tPA+IFN $\beta$  (10,000U) at the indicated time points. tPA+IFN $\beta$ -treated MCAO mice received an additional dose of IFN $\beta$  at day 1 post-injury if they were sacrificed at day 2 or day 7. The doses of tPA and IFN $\beta$  used in this study were based on the previous studies (13, 20–22). The investigators who performed the experiments were blinded to the animal groups.

## Infarct volume measurements

Mice were perfused with PBS, and the ischemic brains were harvested and subjected to 2 mm coronal slicing with a rodent brain matrix. Brain sections were then stained with 1% TTC followed by scanning, and the infarct volumes were calculated by using ImageJ as previously described (13).

## Evans blue extravasation assay

Mice were *i.v.* administered 4 ml/kg 2% (w/v) Evans blue dye/0.9% saline solution through lateral tail vein. One hour after injection, mice were then anesthetized deeply and perfused with PBS to remove intravascular Evans blue. The brains were harvested and sliced. The brain sections were then subjected to scanning to obtain images. Subsequently, the hemispheres of brain sections were separated and weighted followed by homogenization with 50% TCA solution. After centrifugation, the supernatants were collected and diluted with 95% ethanol at the ratio of 1:3. The amount of extravascular Evans blue in the supernatants was then determined by measuring the fluorescence with excitation at 540/25nm and emission at 645/40nm using a BioTek Synergy HT microplate reader.

## Mononuclear cells isolation and FACS analysis

Mice subjected to MCAO were anesthetized deeply and transcardially perfused with PBS at indicated time points. The brains were harvested, and the meninge, olfactory bulb, and cerebellum were removed. The forebrains were then homogenized with 1x Hanks' balanced salt solution (HBSS) buffer followed by filtration through a 70 $\mu\text{m}$  nylon cell strainer. Following centrifugation, cells were resuspended in 30% Percoll and underlayered with 70% Percoll. After centrifugation, the mononuclear cells were then isolated from the interface between 30% and 70% Percoll. For intracellular cytokine staining, the isolated cells were *ex-vivo* cultured in the presence of GolgiPlug for 4.5h. Cells were then collected and stained with antibodies of CD45 and CD11b followed by fixation and permeabilization. After wash, cells were stained with IL-1 $\alpha$  and IL-1 $\beta$  antibodies followed by FACS analysis. For cell surface staining, the isolated cells were stained with antibodies of CD45 and CD11b in the presence of CD86 or CD11a and Ly6C antibodies followed by FACS analysis. For intracellular staining, the isolated cells were stained with antibodies of CD45 and CD11b followed by fixation and

permeabilization. After wash, cells were stained with CD68, Arg1, or CD206 antibody followed by FACS analysis. The gating strategy of flow cytometry analysis to identify the population of MG with the intermediate expression of CD45 (CD45<sup>int</sup>) and positive expression of CD11b (CD11b<sup>+</sup>) is presented in **Supplementary Figure 1**. For *in vitro* intracellular cytokine staining, cells were treated with indicated conditions and harvested at the indicated time points. GolgiPlug was added to the culture 4.5h prior cell harvesting. Cells were then fixed and permeabilized, and subsequently subjected to IL-1 $\beta$  antibody staining followed by FACS analysis. For *in vitro* intracellular staining, cells treated with indicated conditions were harvested at the indicated time points followed by fixation and permeabilization. After wash, cells were then stained with Arg1 and CD206 antibodies followed by FACS analysis.

## Rotarod test

Motor coordination was assessed in MCAO mice by using the rotarod test (Model 47600, Ugo Basile, Varese, Italy). Prior to MCAO surgery, mice were trained on the rotarod apparatus with a setting of accelerating speed from 4 to 80 rpm over a 5min period for three trials with a 30min resting interval every day for three consecutive days. Following MCAO, mice were given three trials with a 30min resting interval at day 2, 4, and 6 post-injury. Motor function was assessed based on the latency to fall.

## Cell culture

Primary MG were generated from neonatal mice as previously described (23). Briefly, cerebral cortical cells were collected from 1-2 days old neonatal mice and seeded in T75 flasks containing Dulbecco's modified eagle medium/nutrient mixture F-12 (DMEM/F12) complete media. After removing media, the flasks were replenished with complete media containing 10ng/ml GM-CSF on day 3 and 6 after plating. MG were harvested by shaking the flasks at 250rpm for 40min at 37°C on day 13 or 14. Cells were then seeded in cell culture wells followed by treatments.

## Quantitative polymerase chain reaction

mRNA expression was measured by Q-PCR analysis as previously described (24). The primers used were *Il-1 $\alpha$* : 5'-CGCTTGAGTCGGCAAAGAAAT-3' and 5'-CTTCCCGTTGCTTGACGTTG-3'; *Il-1 $\beta$* : 5'-CCCTGCAGCTGGAGAGTGTGGA-3' and 5'-TGTGCTCTGCTTGTGAGGTGCTG-3'.

## Statistical analysis

All results in this study were given as mean  $\pm$  SEM. Sample sizes were determined by power calculations based on our previous studies. The normal distribution of the data was confirmed by

Shapiro-Wilk test. Comparisons among multiple groups were performed by one-way ANOVA (one variable) or two-way ANOVA (two variables) followed by Tukey *post hoc* test. Comparisons between two groups were performed by unpaired *t* test. Statistical analyses were performed by using GraphPad Prism 9 software. Statistical significance was determined as  $p < 0.05$ .

## Results

### IFN $\beta$ confers protection against delayed tPA-exacerbated brain injury in ischemic stroke

We have previously demonstrated that delayed but not early tPA treatment exacerbated brain injury in ischemic stroke, and IFN $\beta$  ameliorated ischemic brain injury in MCAO mice subjected to delayed tPA treatment (20). In this study, we aimed to investigate the time limit of IFN $\beta$  on the extension of tPA therapeutic window in ischemic stroke. As we have previously shown that IFN $\beta$  administered at 3h post-reperfusion ameliorated ischemic brain injury (13), we first assessed the time limit of IFN $\beta$ -conferred protection against ischemic brain injury. C57BL/6 mice were subjected to 40min MCAO followed by IFN $\beta$  administration at 4.5h or 6h post-reperfusion, and MCAO mice were sacrificed at day 2 post-injury to determine the level of brain injury. Our results showed that IFN $\beta$  administered at 4.5h but not 6h post-reperfusion significantly attenuated infarct volumes in MCAO mice (**Supplementary Figure 2**). We then determined the therapeutic potential of IFN $\beta$  on the extension of tPA therapeutic window in MCAO mice at different time points. Mice subjected to 40min MCAO were treated with tPA at 40min post-injury or with tPA in the presence or absence of IFN $\beta$  at 3h, 4.5h, or 6h post-reperfusion. Consistent with our previous findings (20), tPA administered at 40min post-injury did not alter the level of brain injury in MCAO mice compared to vehicle-treated MCAO controls. However, delayed tPA treatment at 3h, 4.5h, or 6h post-reperfusion exacerbated brain injury in MCAO mice, and the level of brain injury was comparable among these animals at day 1 post-injury (**Figure 1A**). Importantly, we found that IFN $\beta$  attenuated ischemic brain injury-exacerbated by delayed tPA treatment at 3h and 4.5h post-reperfusion (**Figure 1A**). Although there was a trend that IFN $\beta$  reduced brain infarct in MCAO mice treated with tPA at 6h post-reperfusion, it did not reach statistical significance (**Figure 1A**). Furthermore, the detrimental effect of delayed tPA treatment at 4.5h post-reperfusion on ischemic brain injury and the protective effect of IFN $\beta$  on delayed tPA-exacerbated brain injury were observed in MCAO mice at day 2 post-injury (**Figure 1B**). Finally, we investigated the observed effects in female MCAO mice. Our results showed that delayed tPA treatment at 4.5h post-reperfusion exacerbated brain injury, and consistently IFN $\beta$  ameliorated delayed tPA-exacerbated brain injury in female MCAO mice (**Figure 1C**). Notably, we observed a smaller infarct in vehicle-treated female MCAO mice compared to vehicle-treated male MCAO mice. Similarly, delayed tPA-exacerbated brain injury was

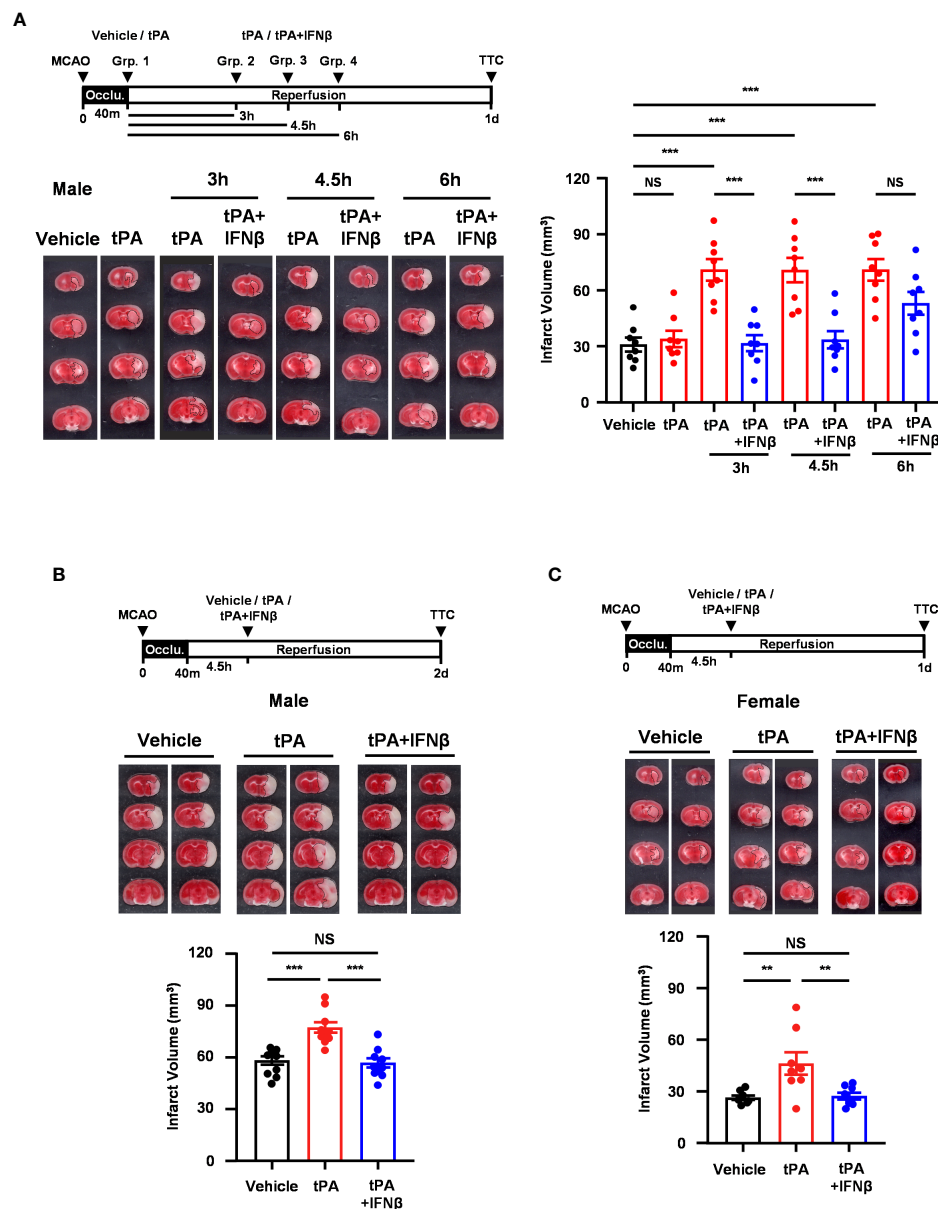


FIGURE 1

IFN $\beta$  confers protection against delayed tPA-exacerbated brain injury in ischemic stroke. **(A)** Male C57BL/6 mice were subjected to 40min MCAO followed by the administration of vehicle or tPA right after the onset of reperfusion or of tPA in the presence or absence of IFN $\beta$  at 3h, 4.5h, or 6h post-reperfusion ( $n=8$ /group). At day 1 post-injury, the ischemic brains were harvested and subjected to TTC staining. One representative TTC-stained brain sample of each group is shown, and the infarct volumes were also measured. **(B)** Male C57BL/6 mice subjected to 40min MCAO were administered vehicle, tPA, or tPA +IFN $\beta$  at 4.5h post-reperfusion ( $n=10$ /group). At day 2 post-injury, the ischemic brains were harvested and subjected to TTC staining. Two representative TTC-stained brain samples of each group are shown, and the infarct volumes were also measured. **(C)** Female C57BL/6 mice subjected to 40min MCAO were administered vehicle, tPA, or tPA+IFN $\beta$  at 4.5h post-reperfusion ( $n=8$ /group). At day 1 post-injury, the ischemic brains were harvested and subjected to TTC staining. Two representative TTC-stained brain samples of each group are shown, and the infarct volumes were measured. \*\* $p<0.01$ ; \*\*\* $p<0.001$ ; NS, no significant difference by one-way ANOVA. Occlu., occlusion; Grp., group.

less severe in female MCAO mice compared to male MCAO mice (Figures 1A vs. 1C). These results suggest that female mice exhibit less severe brain injury compared to male mice following ischemic stroke, consistent with the previous findings (25, 26). Collectively, our results demonstrate that delayed tPA treatment exacerbates ischemic brain injury, and IFN $\beta$  exerts a therapeutic potential to extend tPA therapeutic window to 4.5h post-injury and ameliorate delayed tPA-exacerbated brain injury in ischemic stroke.

## IFN $\beta$ confers long-term protection against ischemic stroke with delayed tPA treatment

To further evaluate whether IFN $\beta$  conferred long-term protection against ischemic stroke with delayed tPA treatment, mice were subjected to 40min MCAO followed by vehicle, tPA, or tPA+IFN $\beta$  administration at 4.5h post-reperfusion, and the survival assay was

performed. Our results showed that tPA-treated MCAO mice displayed reduced survival compared to vehicle-treated MCAO mice at day 7 post-injury. Notably, tPA+IFN $\beta$ -treated MCAO mice exhibited increased survival compared to tPA-treated MCAO mice and displayed a similar level of survival as vehicle-treated MCAO mice at day 7 post-injury (Figure 2A). Furthermore, the rotarod test was performed to assess the effect of delayed tPA treatment in the presence or absence of IFN $\beta$  on motor coordination in MCAO mice. Our results showed that tPA-treated MCAO mice had a poor performance on the rotarod test compared to vehicle-treated MCAO mice. In contrast, tPA+IFN $\beta$ -treated MCAO mice exhibited an

improved performance on the rotarod test compared to tPA-treated MCAO mice (Figure 2B). Finally, we assessed the level of brain injury in MCAO mice treated with delayed tPA in the presence or absence of IFN $\beta$  at day 7 post-injury. Our results showed that tPA+IFN $\beta$ -treated MCAO mice exhibited reduced infarct volumes compared to tPA-treated MCAO mice, and the infarct volumes were comparable between vehicle- and tPA+IFN $\beta$ -treated MCAO mice (Figure 2C). Altogether, our results demonstrate that IFN $\beta$  confers long-term protection against ischemic stroke with delayed tPA treatment, and that was accompanied with attenuated brain infarct volumes, improved motor coordination, and increased survival.

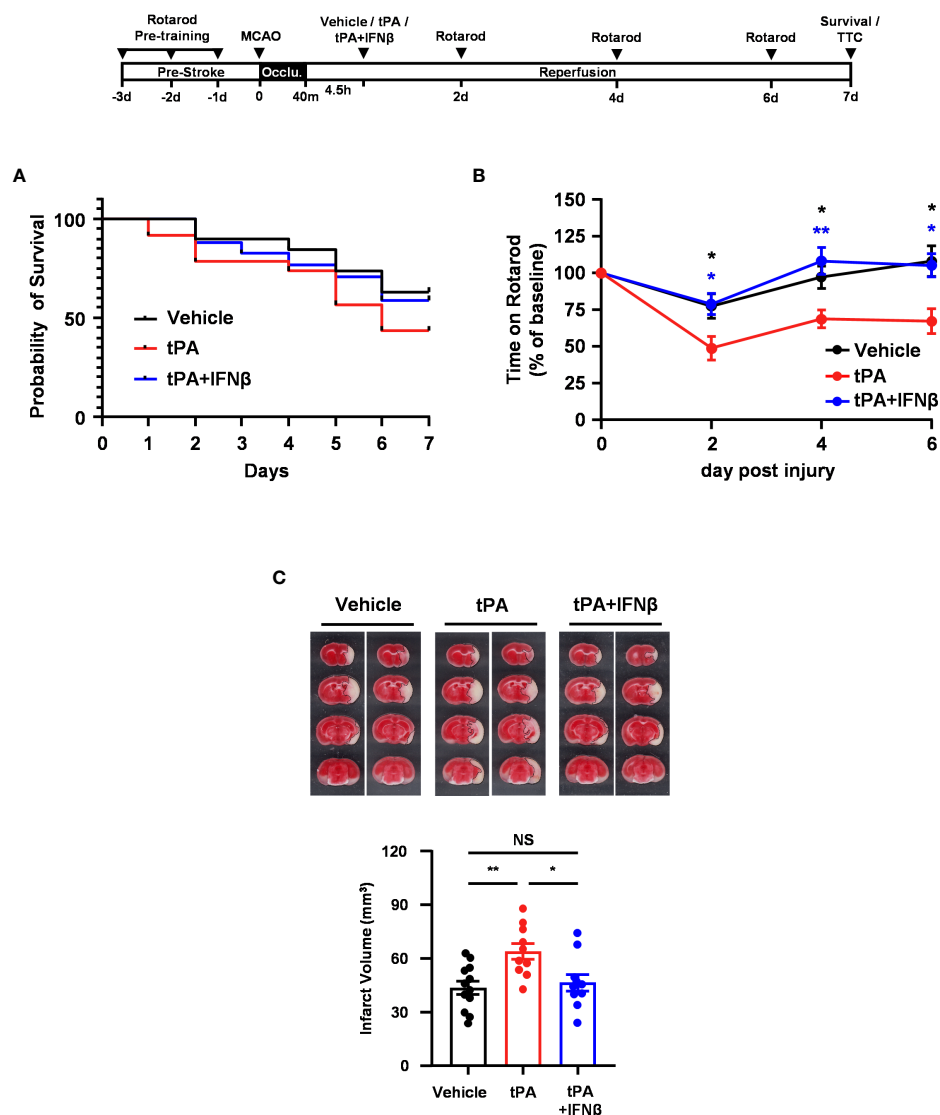


FIGURE 2

IFN $\beta$  confers long-term protection against ischemic stroke with delayed tPA treatment. Male C57BL/6 mice were subjected to 40min MCAO followed by the administration of vehicle, tPA, or tPA+IFN $\beta$  at 4.5h post-reperfusion. MCAO mice were then subjected to (A) survival assay for 7 days and (B) rotarod tests at day 2, 4, and 6 post-injury. \* $p < 0.05$ , \*\* $p < 0.01$  compared to tPA by unpaired  $t$ -test. (C) At day 7 post-injury, the survived MCAO mice (vehicle:  $n=12$ ; tPA:  $n=10$ ; tPA+IFN $\beta$ :  $n=10$ ) were sacrificed and subjected to TTC staining. Two representative TTC-stained samples of each group are shown, and the infarct volumes were measured. \* $p < 0.05$ ; \*\* $p < 0.01$ ; NS, no significant difference by one-way ANOVA.

## IFN $\beta$ modulates MG polarization in delayed tPA-treated ischemic stroke

To elucidate whether IFN $\beta$  modulated MG phenotypes to confer protection against delayed tPA-exacerbated ischemic brain injury, we assessed MG inflammatory and anti-inflammatory phenotypes in 40min MCAO mice treated with vehicle, tPA, or tPA+IFN $\beta$  at 4.5h post-reperfusion. MG were determined as CD45<sup>int</sup>CD11b<sup>+</sup> cells in the ischemic brain. As previous studies showed that CD45<sup>high</sup> (CD45<sup>hi</sup>) infiltrating monocytes/macrophages might become CD45<sup>int</sup> MG-like phenotypes following ischemic brain injury (27–29), we measured the expression of macrophage markers, CD11a and Ly6C, in the population of CD45<sup>int</sup>CD11b<sup>+</sup> cells in the ischemic brain. We found that CD45<sup>int</sup>CD11b<sup>+</sup> cells did not express CD11a and Ly6C in the ischemic brain of MCAO mice at day 1 post-injury (Supplementary Figure 3A). We then determined MG phenotypes in the ischemic brain of vehicle-, tPA-, and tPA+IFN $\beta$ -treated MCAO mice. Our results showed that ischemic stroke induced

MG activation, and that was accompanied with increased CD86 expression and upregulated IL-1 $\alpha$  and IL-1 $\beta$  production in the ipsilateral hemisphere of vehicle-treated MCAO mice compared to the ipsilateral hemisphere of sham controls and the contralateral hemisphere of vehicle-treated MCAO mice at day 1 post-injury (Figures 3A–C). Notably, delayed tPA treatment aggravated MG inflammatory phenotype, because the expression of CD86, IL-1 $\alpha$ , and IL-1 $\beta$  in MG was further upregulated in the ipsilateral hemisphere of tPA-treated MCAO mice compared to that of vehicle-treated MCAO mice (Figures 3A–C). Importantly, IFN $\beta$  was able to suppress delayed tPA-induced CD86, IL-1 $\alpha$ , and IL-1 $\beta$  upregulation in MG following MCAO (Figures 3A–C).

We then evaluated whether IFN $\beta$  promoted MG anti-inflammatory phenotype to confer protection against delayed tPA-exacerbated ischemic brain injury. The expression of anti-inflammatory molecules, Arg1 and CD206, and anti-inflammatory cytokine IL-10 in MG was assessed in MCAO mice treated with vehicle, tPA, or tPA+IFN $\beta$  at 4.5h post-reperfusion. We found ischemic stroke enhanced MG Arg1 and CD206

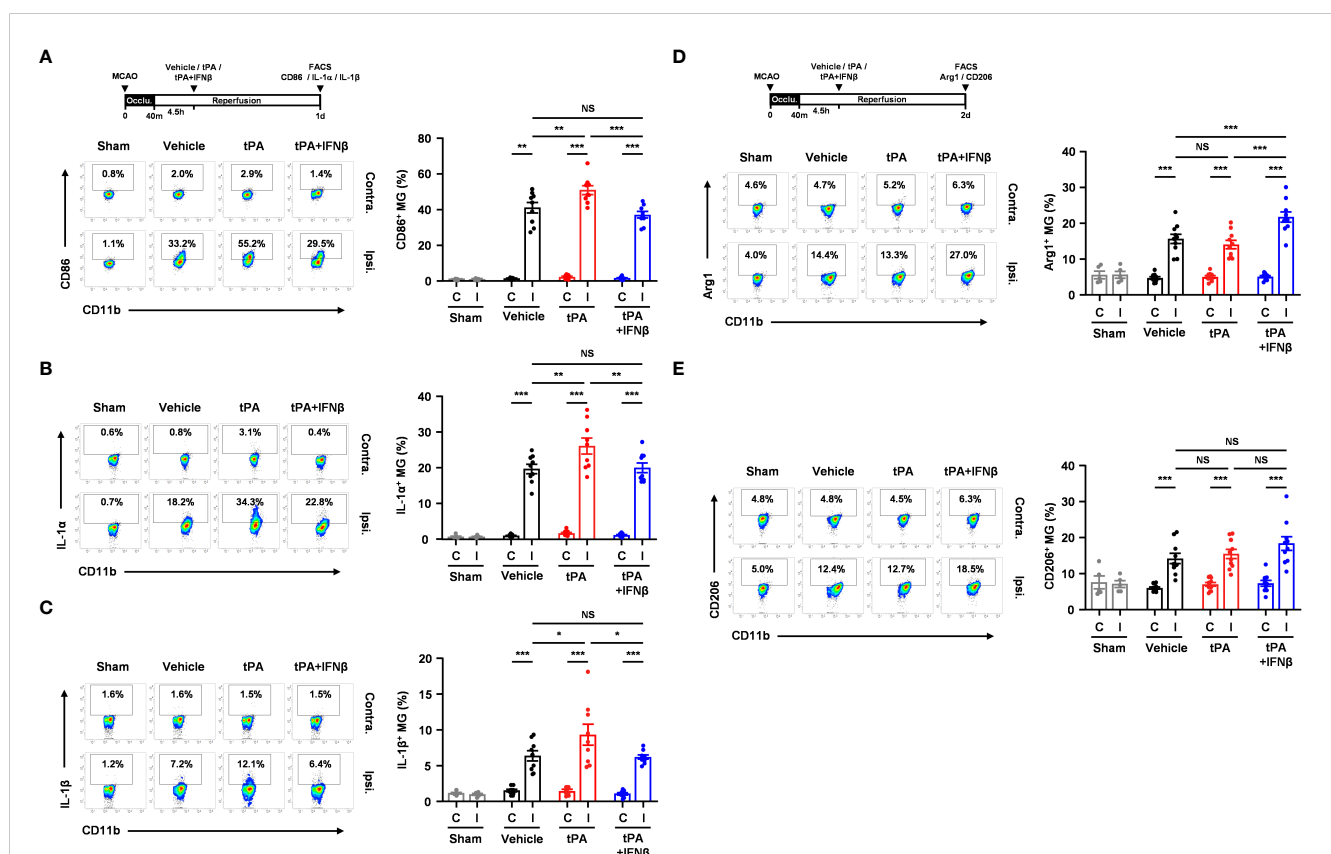


FIGURE 3

IFN $\beta$  modulates MG polarization in delayed tPA-treated ischemic stroke. Male C57BL/6 mice were subjected to sham or 40min MCAO followed by the administration of vehicle, tPA, or tPA+IFN $\beta$  at 4.5h post-reperfusion. (A–C) At day 1 post-injury, the contralateral (Contra.; C) and ipsilateral (Ipsi.; I) hemispheres of sham (n=6), and vehicle-, tPA-, and tPA+IFN $\beta$ -treated MCAO mice (n=9/group) were harvested followed by mononuclear cell isolation. (A) The isolated cells were stained with CD45 and CD11b antibodies in the presence of CD86 antibody to determine the surface expression of CD86 in CD45<sup>int</sup>CD11b<sup>+</sup> MG. (B, C) The isolated mononuclear cells were ex-vivo cultured in the presence of GolgiPlug for 4.5h followed by staining with CD45 and CD11b antibodies. After fixation and permeabilization, cells were then stained with IL-1 $\alpha$  and IL-1 $\beta$  antibodies to determine the intracellular expression of IL-1 $\alpha$  and IL-1 $\beta$  in CD45<sup>int</sup>CD11b<sup>+</sup> MG by flow cytometry. (D, E) At day 2 post-injury, the isolated mononuclear cells were stained with CD45 and CD11b antibodies. Following fixation and permeabilization, cells were then stained with Arg1 and CD206 antibodies to determine the expression of Arg1 and CD206 in CD45<sup>int</sup>CD11b<sup>+</sup> MG by flow cytometry. \* $p$ <0.05; \*\* $p$ <0.01; \*\*\* $p$ <0.001; NS, no significant difference by two-way ANOVA.

expression in the ipsilateral hemisphere of vehicle-treated MCAO mice compared to that of sham controls at day 2 post-injury (Figures 3D, E). However, delayed tPA treatment did not alter MG Arg1 and CD206 expression compared to vehicle treatment in MCAO mice. Importantly, IFN $\beta$  upregulated MG Arg1 expression, as the expression level of Arg1 in MG was higher in the ipsilateral hemisphere of tPA+IFN $\beta$ -treated MCAO mice than that of tPA-treated MCAO mice (Figure 3D). Interestingly, the frequency of Ly6C<sup>+</sup> cells in the CD45<sup>int</sup>CD11b<sup>+</sup> population was higher in tPA-treated MCAO mice than vehicle- or tPA+IFN $\beta$ -treated MCAO mice, although it did not reach statistically significant differences (Supplementary Figure 3B). However, we found Arg1<sup>+</sup> cells was mostly associated with Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells but not Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells in the ischemic brain of tPA+IFN $\beta$ -treated MCAO mice (Supplementary Figure 3C), suggesting IFN $\beta$  mainly modulates the phenotype of resident MG in the ischemic brain. Finally, we observed a trend that IFN $\beta$  enhanced MG CD206 expression in tPA+IFN $\beta$ -treated MCAO mice compared to tPA-treated MCAO mice (Figure 3E). However, we did not observe an induction of IL-10 in MG in vehicle, tPA, or tPA+IFN $\beta$ -treated MCAO mice (Data not shown). Collectively, our results demonstrate that delayed tPA treatment aggravates MG inflammatory phenotype; in contrast, IFN $\beta$  diminishes delayed tPA-aggravated MG inflammatory phenotype in ischemic stroke. Furthermore, we identify that IFN $\beta$  promotes MG anti-inflammatory phenotype in delayed tPA-treated ischemic stroke. Thus, our results suggest that IFN $\beta$  exerts protective effects in ischemic stroke with delayed tPA treatment through suppressing tPA-aggravated inflammatory MG and promoting anti-inflammatory MG in the ischemic brain.

## IFN $\beta$ -conferred protection against delayed tPA-exacerbated ischemic brain injury is abolished in MCAO mice with MG-specific IFNAR1 knockdown

Since we observed IFN $\beta$  modulated MG phenotypes and ameliorated brain injury in delayed tPA-treated MCAO mice, we thought to assess whether IFN $\beta$ -mediated activation of IFNAR1 signaling in MG plays an essential role in ameliorating delayed tPA-exacerbated brain injury in ischemic stroke. Thus, we generated *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice and subjected mice to TAM treatment to induce IFNAR1 knockdown specifically in MG (Supplementary Figure 4A). *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* and control *Cx3cr1<sup>CreERT2/+</sup>* mice were then subjected to 40min MCAO followed by tPA or tPA+IFN $\beta$  treatment at 4.5h post-reperfusion, and sacrificed at day 1 or day 2 post-injury to assess the level of brain injury. We confirmed whether IFNAR1 was deleted from MG in MCAO mice. Our results showed that MG in the ischemic brain of tPA- and tPA+IFN $\beta$ -treated control *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice expressed a comparable level of IFNAR1. As expected, MG in the ischemic brain of tPA- and tPA+IFN $\beta$ -treated *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* MCAO mice exhibited IFNAR1 knockdown

(Supplementary Figure 4B). We then compared the level of brain injury in *Cx3cr1<sup>CreERT2/+</sup>* and *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* MCAO mice subjected to tPA or tPA+IFN $\beta$  treatment. Our results showed that IFN $\beta$  ameliorated delayed tPA-exacerbated brain injury in *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice at day 1 and day 2 post-injury (Figures 4A, B), and these findings are consistent with the results of C57BL/6 MCAO mice treated with delayed tPA in the presence or absence of IFN $\beta$  (Figure 1B). In contrast, the protective effect of IFN $\beta$  on ameliorating delayed tPA-exacerbated brain injury was abolished in *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* MCAO mice (Figures 4A, B). Collectively, our results demonstrate that IFN $\beta$ -induced IFNAR1 signaling activation in MG plays an essential role in conferring protection against delayed tPA-exacerbated brain injury in ischemic stroke.

## MG-specific IFNAR1 knockdown abolishes IFN $\beta$ -mediated modulation of MG phenotypes in delayed tPA-treated ischemic stroke

To determine whether IFN $\beta$  failed to provide a protective effect in MG-specific IFNAR1 knockdown MCAO mice with delayed tPA treatment was due to the abrogated effect of IFN $\beta$  on modulating MG phenotypes, *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* and *Cx3cr1<sup>CreERT2/+</sup>* mice were subjected to 40min MCAO followed by tPA or tPA+IFN $\beta$  treatment at 4.5h post-reperfusion and the ischemic brains were then harvested to assess the expression of inflammatory and anti-inflammatory molecules in MG. Our results showed that the inflammatory molecules, CD86 and CD68, and the inflammatory cytokines, IL-1 $\alpha$  and IL-1 $\beta$ , were suppressed in MG in the ipsilateral hemisphere of tPA+IFN $\beta$ -treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice compared to that of tPA-treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice (Figures 5A–D). These results are consistent with the results of C57BL/6 MCAO mice subjected to delayed tPA treatment in the presence or absence of IFN $\beta$  (Figures 3A–C). On the contrary, IFN $\beta$ -mediated suppression of delayed tPA-enhanced CD86, CD68, IL-1 $\alpha$ , and IL-1 $\beta$  expression in MG was abolished in *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* MCAO mice (Figures 5A–D). Furthermore, we observed that the expression of anti-inflammatory marker Arg1 in MG was upregulated in tPA+IFN $\beta$ -treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice compared to tPA-treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice (Figure 5E), consistent with the results observed in C57BL/6 MCAO mice (Figure 3D). Similar to C57BL/6 MCAO mice, there was a trend of CD206 upregulation in tPA+IFN $\beta$ -treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice compared to tPA-treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice (Figure 5F). However, IFN $\beta$ -mediated upregulation of Arg1 and CD206 expression in MG was abolished in *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* MCAO mice with tPA treatment (Figures 5E, F). Altogether, our results demonstrate that the knockdown of IFNAR1 specifically in MG abolishes IFN $\beta$ -mediated modulation of MG phenotypes that may subsequently abrogate the protective effect of IFN $\beta$  on the amelioration of delayed tPA-exacerbated brain injury in ischemic stroke.

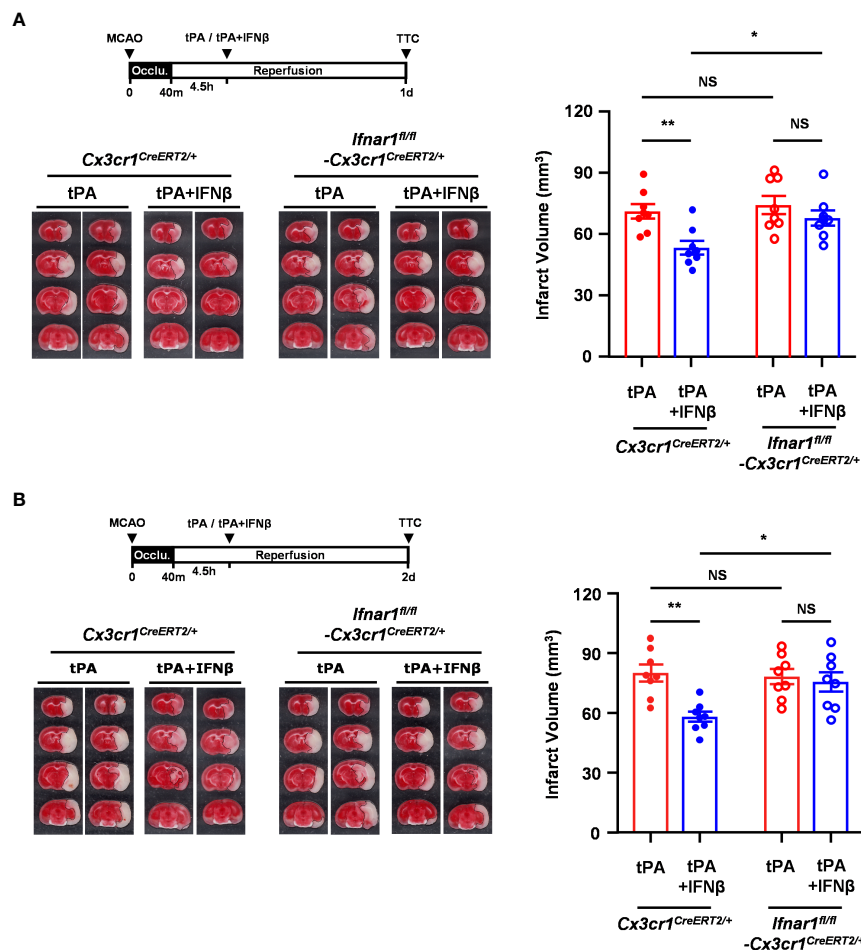


FIGURE 4

IFNβ-conferred protection against delayed tPA-exacerbated ischemic brain injury is abolished in MCAO mice with MG-specific IFNAR1 knockdown. Male *Cx3cr1*<sup>CreERT2/+</sup> and *Ifnar1*<sup>fl/fl</sup>-*Cx3cr1*<sup>CreERT2/+</sup> mice were subjected to 40min MCAO followed by tPA or tPA+IFNβ treatment at 4.5h post-reperfusion (n=8/group). (A) At day 1 or (B) day 2 post-injury, the ischemic brains were harvested and subjected to TTC staining. Two representative TTC-stained brain samples of each group are shown, and the infarct volumes were also measured. \**p*<0.05; \*\**p*<0.01; NS, no significant difference by two-way ANOVA.

## IFNβ inhibits inflammatory MG and promotes anti-inflammatory MG *in vitro*

To confirm our *in vivo* findings that IFNβ modulated MG phenotypes in tPA-treated MCAO mice, we assessed the effect of tPA and IFNβ on regulating the expression of inflammatory and anti-inflammatory molecules in primary MG. Because studies reported that TNFα was induced in the ischemic brain to induce neuroinflammation (20, 30–32), we therefore activated MG with TNFα or TNFα+tPA in the presence or absence of IFNβ followed by Q-PCR and flow cytometry analysis to assess the expression of inflammatory and anti-inflammatory molecules. Our results showed that TNFα upregulated IL-1α and IL-1β mRNA expression in MG, and tPA further enhanced the expression of these inflammatory cytokines, indicating tPA promotes MG activation (Figure 6A). Importantly, IFNβ attenuated IL-1α and IL-1β mRNA expression in TNFα+tPA-treated MG (Figure 6A). Our flow cytometry analysis confirmed that tPA enhanced IL-1β expression in TNFα-treated MG, and IFNβ suppressed TNFα+tPA-induced IL-1β

expression in MG (Figure 6B). Furthermore, we assessed the effect of IFNβ on the expression of anti-inflammatory molecules in MG. Our results showed that IFNβ upregulated Arg1 and CD206 expression in TNFα+tPA-treated MG (Figure 6C). Collectively, our results demonstrate that IFNβ suppresses inflammatory cytokine expression and promotes anti-inflammatory molecule expression in TNFα+tPA-treated MG *in vitro*, and that confirms our *in vivo* observation of IFNβ-mediated suppression of inflammatory MG and promotion of anti-inflammatory MG in tPA-treated MCAO mice.

## IFNβ confers protection against delayed tPA-exacerbated brain injury and BBB disruption in ischemic stroke subjected to 4.5h occlusion

We observed that IFNβ conferred protection against tPA-exacerbated brain injury in 40min MCAO mice with delayed tPA

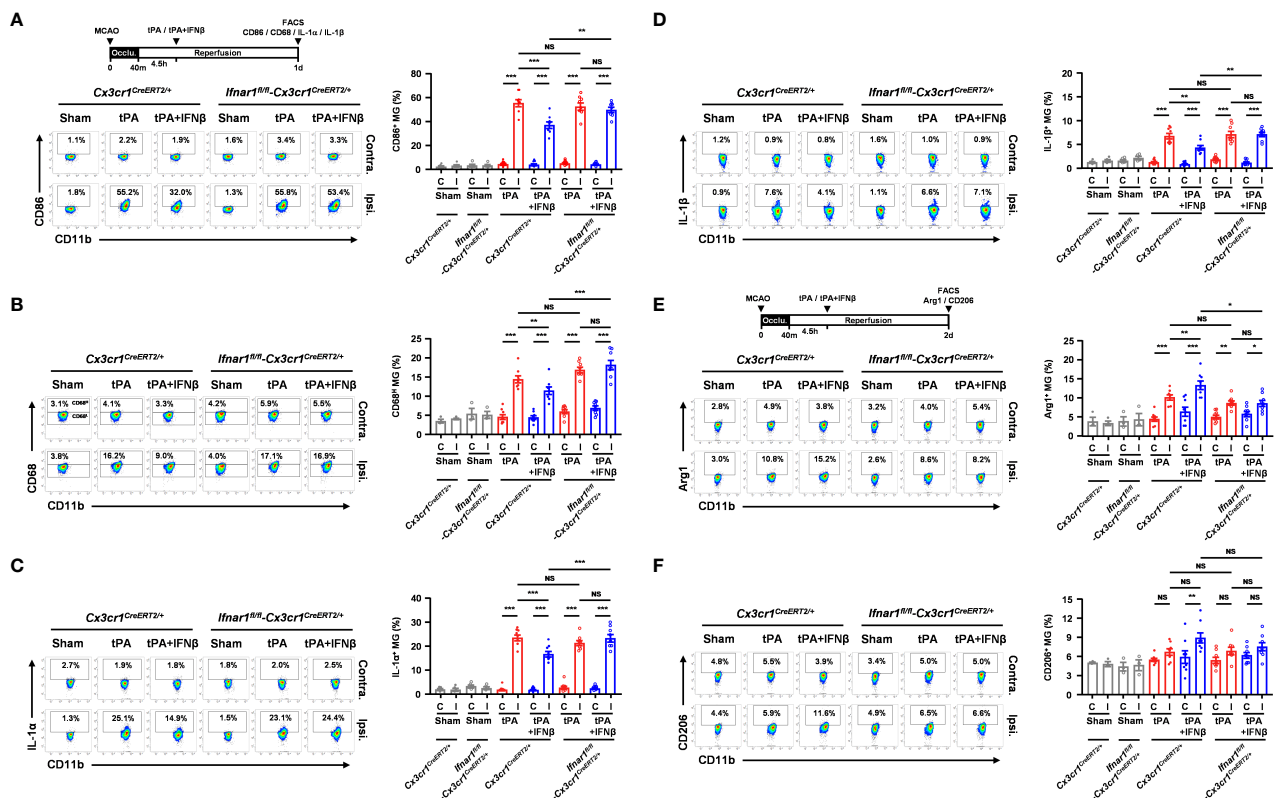


FIGURE 5

MG-specific IFNAR1 knockdown abolishes IFN $\beta$ -mediated modulation of MG phenotypes in delayed tPA-treated ischemic stroke. Male *Cx3cr1<sup>CreERT2/+</sup>* and *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* mice were subjected to sham ( $n=3-4$ ) or 40min MCAO followed by i.v. administration of tPA or tPA + IFN $\beta$  at 4.5h post-reperfusion ( $n=8$ /group). (A, B) At day 1 post-injury, the contralateral (Contra.; C) and ipsilateral (Ipsi.; I) hemispheres of sham, and tPA- and tPA+IFN $\beta$ -treated MCAO mice were harvested followed by mononuclear cell isolation. The isolated mononuclear cells were stained with CD45 and CD11b antibodies followed by surface staining of CD86 antibody or intracellular staining of CD68 antibody. The expression of CD86 and CD68 in CD45<sup>int</sup>CD11b<sup>+</sup> MG was then determined by flow cytometry. The gating of CD68 low (CD68<sup>l</sup>) was based on the basal expression of CD68 in MG in sham controls, and the expression level of CD68 higher than CD68<sup>l</sup> was then determined as CD68 high (CD68<sup>h</sup>). (C, D) The isolated cells were ex-vivo cultured in the presence of GolgiPlug for 4.5h and then stained with CD45 and CD11b antibodies. Following fixation and permeabilization, cells were subjected to the staining of IL-1 $\alpha$  and IL-1 $\beta$  antibodies to determine the intracellular expression of IL-1 $\alpha$  and IL-1 $\beta$  in CD45<sup>int</sup>CD11b<sup>+</sup> MG by flow cytometry. (E, F) At day 2 post-injury, the isolated mononuclear cells were stained with CD45 and CD11b antibodies followed by fixation and permeabilization. The isolated cells were then stained with Arg1 and CD206 antibodies followed by flow cytometry analysis to assess the expression of Arg1 and CD206 in CD45<sup>int</sup>CD11b<sup>+</sup> MG. \* $p<0.05$ ; \*\* $p<0.01$ ; \*\*\* $p<0.001$ ; NS, no significant difference by two-way ANOVA.

treatment at 4.5h post-reperfusion. To closely mimic the clinical condition, we subjected mice to a long-term occlusion of 4.5h followed immediately by vehicle, tPA, or tPA+IFN $\beta$  treatment. The ischemic brains were harvested at day 1 post-injury to assess the severity of brain injury. Our results showed that tPA treatment at 4.5h post-injury exacerbated brain injury in MCAO mice, and importantly IFN $\beta$  was able to ameliorate delayed tPA-exacerbated ischemic brain injury (Figure 7A). Similarly, we observed that tPA treatment at 4.5h post-injury exacerbated brain injury in female 4.5h MCAO mice, and IFN $\beta$  attenuated delayed tPA-exacerbated brain injury in female MCAO mice (Figure 7B). In addition, tPA administration beyond its therapeutic window has been shown to aggravate BBB disruption in ischemic stroke (20). We therefore assessed whether delayed tPA treatment at 4.5h post-injury aggravated BBB disruption and whether IFN $\beta$  lessened delayed tPA-aggravated BBB disruption in 4.5h MCAO mice. Indeed, our results showed that delayed tPA treatment aggravated BBB disruption, leading to enhanced Evans blue leakage in the

ipsilateral hemisphere of tPA-treated MCAO mice compared to that of vehicle-treated MCAO mice (Figure 7C). Importantly, we observed IFN $\beta$  lessened delayed tPA-aggravated BBB disruption, resulting in attenuated Evans blue leakage in the ipsilateral hemisphere of tPA+IFN $\beta$ -treated MCAO mice compared to that of tPA-treated MCAO mice (Figure 7C). Furthermore, we assessed whether IFN $\beta$  offered protection against delayed tPA-aggravated BBB disruption in female MCAO mice. Although the level of BBB disruption was less severe in female MCAO mice compared to male MCAO mice because of sex-mediated differences in ischemic brain injury (25, 26), our results showed that delayed tPA treatment aggravated BBB disruption and IFN $\beta$  lessened delayed tPA-aggravated BBB disruption in female MCAO mice (Figure 7D), consistent with the results of male MCAO mice (Figure 7C). Altogether, our results demonstrate that IFN $\beta$  ameliorates delayed tPA-exacerbated brain injury and lessens delayed tPA-aggravated BBB disruption in MCAO mice subjected to 4.5h occlusion.

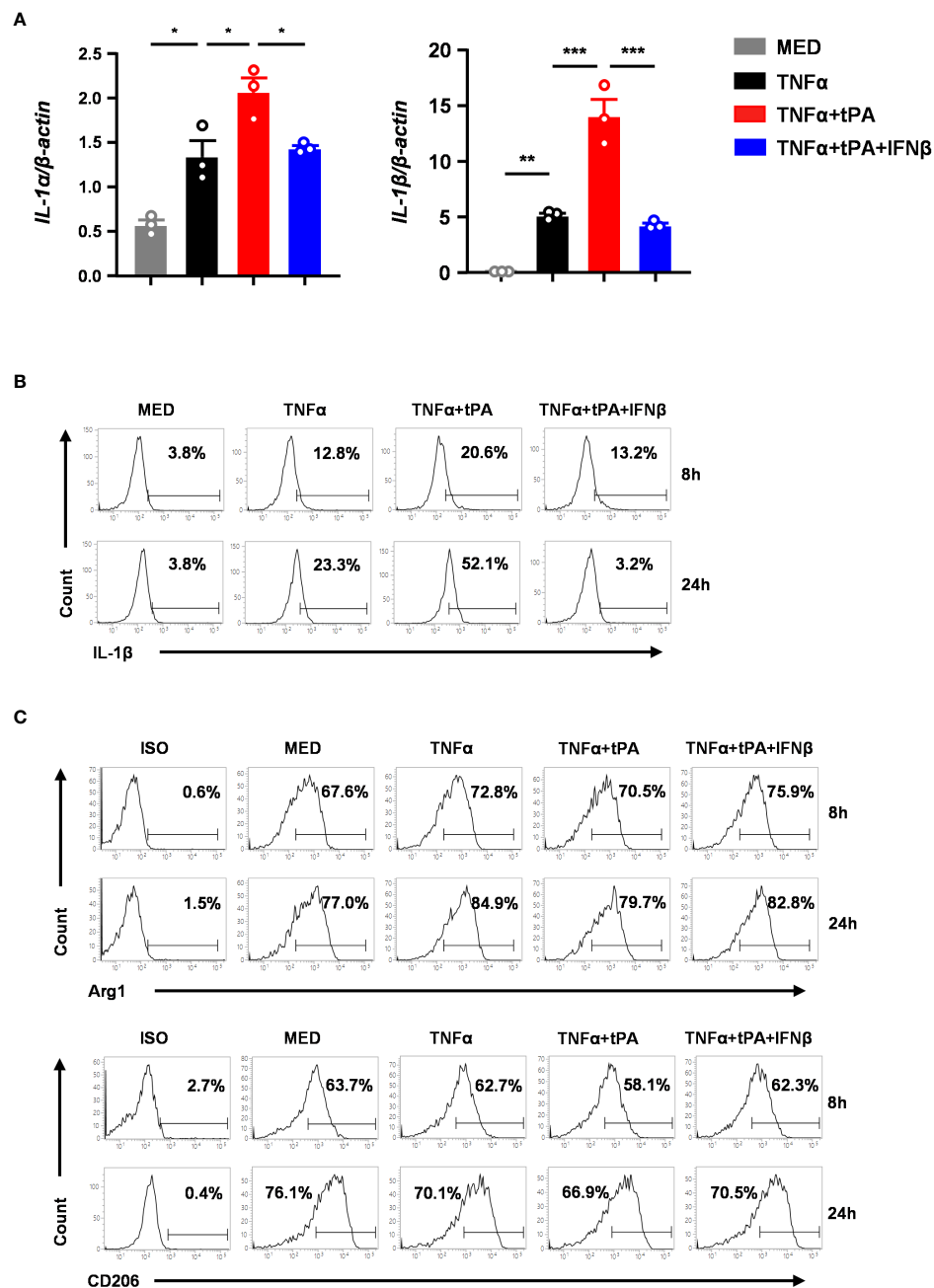


FIGURE 6

IFNβ inhibits inflammatory MG and promotes anti-inflammatory MG *in vitro*. Primary MG were treated with TNFα (50ng/ml) or TNFα+tPA (10μg/ml) in the presence or absence of IFNβ (1000U/ml). (A) At 8h after treatment, cells were harvested and subjected to Q-PCR analysis for IL-1α and IL-1β mRNA expression (n=3 technique replicates per group). The results represent 3-4 independent biological replicates with similar results. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$  by one-way ANOVA. (B) At 8h and 24h post-treatment, cells were fixed and permeabilized followed by intracellular staining of IL-1β. The frequency (%) of IL-1β expression was measured. The experiments were repeated for 6 times with similar results obtained. (C) At 8h and 24h post-treatment, cells were fixed and permeabilized followed by staining of Arg1 and CD206. The frequency (%) of Arg1 and CD206 expression was measured. The experiments were repeated for 3 times with similar results obtained.

## MG-specific IFNAR1 knockdown partly reverses IFNβ-lesened delayed tPA-aggravated BBB disruption in ischemic stroke

To further investigate whether IFNβ-mediated modulation of MG phenotypes contributes to the protective effect of IFNβ on

lessening delayed tPA-aggravated BBB disruption in ischemic stroke, we assessed the severity of BBB disruption in *Ifnar1<sup>fl/fl</sup>-Cx3cr1<sup>CreERT2/+</sup>* and *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice treated with delayed tPA in the presence or absence of IFNβ. Our results showed that IFNβ lessened BBB disruption in tPA-treated *Cx3cr1<sup>CreERT2/+</sup>* MCAO mice, resulting in attenuated Evans blue leakage in the ischemic brain of tPA+IFNβ-treated *Cx3cr1<sup>CreERT2/+</sup>*

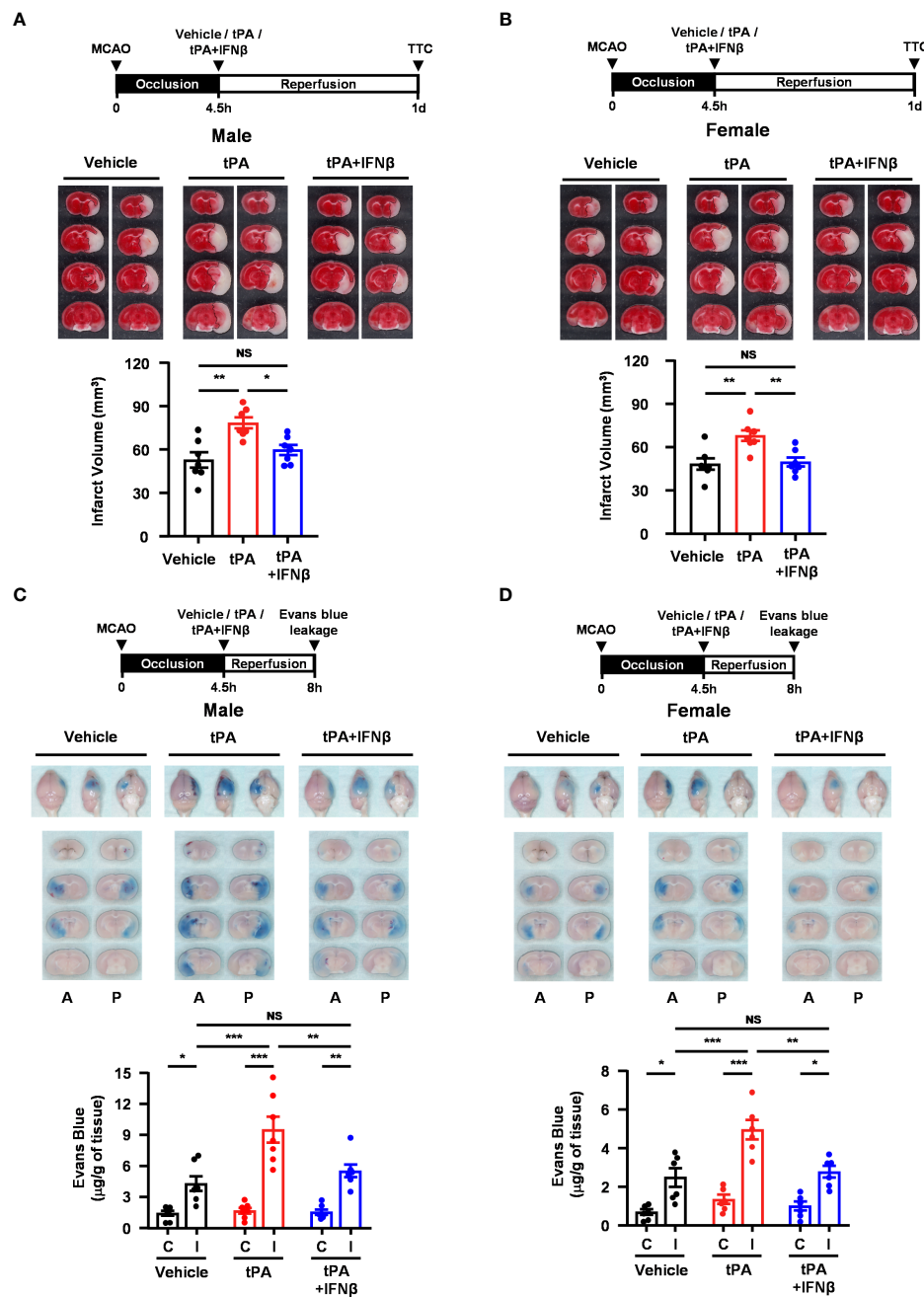


FIGURE 7

IFNβ confers protection against delayed tPA-exacerbated brain injury and BBB disruption in ischemic stroke subjected to 4.5h occlusion. **(A)** Male and **(B)** female C57BL/6 mice were subjected to 4.5h MCAO followed immediately by vehicle, tPA, or tPA+IFNβ administration. At day 1 post-injury, the ischemic brains were harvested and subjected to TTC staining. Two representative TTC-stained brain samples of each group are shown, and the infarct volumes were measured (n=7/group). \*p<0.05; \*\*p<0.01; NS: no significant difference by one-way ANOVA. **(C)** Male and **(D)** female C57BL/6 mice were subjected to 4.5h MCAO followed immediately by i.v. administration of vehicle, tPA, or tPA+IFNβ. Mice were injected with Evans blue 1h prior to euthanasia, and the ischemic brains were harvested at 8h post-injury followed by imaging and sectioning. The Evans blue leakage in the contralateral and ipsilateral hemispheres was quantified (male: n=7/group; female: n=6/group). A, anterior surface; P, posterior surface; C, contralateral hemisphere; I, ipsilateral hemisphere. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001; NS, no significant difference by two-way ANOVA.

MCAO mice compared to that of tPA-treated *Cx3cr1*<sup>CreERT2/+</sup> MCAO mice (Figure 8A). Interestingly, we found that the protective effect of IFNβ on lessening delayed tPA-aggravated BBB disruption was partly but not fully reversed in *Ifnar1*<sup>fl/fl</sup>-*Cx3cr1*<sup>CreERT2/+</sup> MCAO mice, as there was no statistically significant difference in the Evans blue leakage between *Ifnar1*<sup>fl/fl</sup>-

*Cx3cr1*<sup>CreERT2/+</sup> and *Cx3cr1*<sup>CreERT2/+</sup> MCAO mice treated with tPA +IFNβ (Figure 8A). The similar results were also observed in female MCAO mice (Figure 8B). Collectively, our results suggest that IFNβ-mediated modulation of MG phenotypes may partly contribute to lessening delayed tPA-aggravated BBB disruption in ischemic stroke.

## Discussion

The use of tPA is limited by its narrow therapeutic window of 3 to 4.5h post-onset of ischemic stroke, and the administration of tPA beyond its therapeutic window has been linked to increased risks of aggravated BBB disruption and HT induction. Studies have reported that only less than 10% of patients with acute ischemic stroke were able to receive tPA treatment (33, 34). Thus, developing a therapy that can be co-administered with tPA to ameliorate ischemia-induced neuroinflammation and extend tPA therapeutic window would be of clinical importance. We have previously demonstrated that IFN $\beta$  conferred protection against ischemic stroke (13). In our follow-up study, we further demonstrated that IFN $\beta$  was able to extend tPA therapeutic window to 3h post-injury. Mechanistically, we identified that IFN $\beta$  attenuated delayed tPA-

induced brain injury exacerbation, BBB disruption aggravation, and HT induction in ischemic stroke, and these protective effects were partly mediated through IFN $\beta$ -exerted suppression of MMP3 and MMP9 in the ischemic brain (20). In the present study, we further investigated the time limit of IFN $\beta$  on the extension of tPA therapeutic window in ischemic stroke. Our results demonstrated that IFN $\beta$  was able to extend tPA therapeutic window to 4.5h post-injury and that was accompanied with ameliorated brain injury and lessened BBB disruption in ischemic stroke. These findings are clinically significant. As previous studies reported that the rodent time is about 40 times faster than human time and one human year is equivalent to nine mice days (35), the extension of tPA therapeutic window to 4.5h post-injury in MACO mice would be considered a significant extension of tPA therapeutic window for human ischemic stroke. Thus, our results demonstrate the

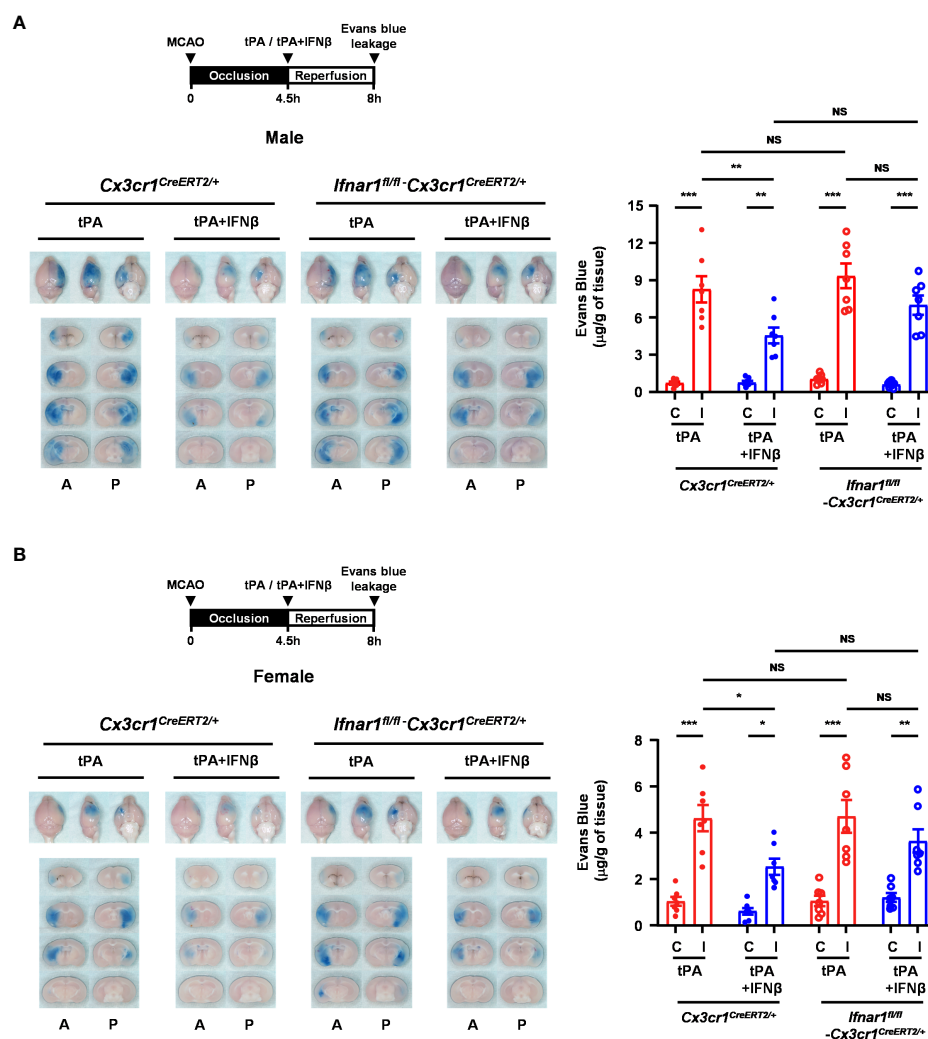


FIGURE 8

MG-specific IFNAR1 knockdown partly reverses IFN $\beta$ -lessened delayed tPA-aggravated BBB disruption in ischemic stroke. (A) Male and (B) female *Cx3cr1*<sup>CreERT2/+</sup> and *Ifnar1*<sup>fl/fl</sup>-*Cx3cr1*<sup>CreERT2/+</sup> mice were subjected to 4.5h MCAO followed immediately by tPA or tPA+IFN $\beta$  administration. Mice were then injected with Evans blue 1h prior to euthanasia, and the ischemic brains were harvested at 8h post-injury followed by imaging and sectioning. The Evans blue leakage in the contralateral and ipsilateral hemispheres was quantified (male: n=7/group; female: n=7/group). A, anterior surface; P, posterior surface; C, contralateral hemisphere; I, ipsilateral hemisphere. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; NS, no significant difference by two-way ANOVA.

beneficial effects of IFN $\beta$  on the extension of tPA therapeutic window for ischemic stroke treatment.

Studies have shown that MG-mediated inflammatory response is an important pathological mechanism in ischemic stroke (36, 37). MG can be activated by various stimuli to secrete factors that exert proinflammatory or anti-inflammatory effects (38, 39). Previous studies show that inflammatory MG exert detrimental effects, whereas anti-inflammatory MG possess neuroprotective effects in the CNS (8, 9). Thus, it is beneficial to promote anti-inflammatory and inhibit inflammatory phenotypes of MG in ischemic stroke. Since cerebral ischemia induces MG activation and tPA was shown to further promote MG activation (2, 3), we assessed whether IFN $\beta$  modulated MG phenotypes to confer protection against delayed tPA-exacerbated brain injury in ischemic stroke. Indeed, the effect of IFN $\beta$  on the induction of anti-inflammatory myeloid cells has been documented previously. For instance, IFN $\beta$  has been shown to induce anti-inflammatory macrophages that express M2 genes, including Arg1 and Fizz1 (40). In addition, studies reported that IFNAR1 signaling is required for LPS-induced IL-10 upregulation in macrophages (41). Furthermore, we have previously demonstrated that IFN $\beta$  exerts differential effects on inflammatory and anti-inflammatory cytokine production, leading to the suppression of proinflammatory cytokines, IL-12 and IL-23, and the promotion of anti-inflammatory cytokine IL-10 in dendritic cells (42). Moreover, TLR3-induced upregulation of IL-10 was reported to be mediated by IFN $\beta$  in MG (43). Finally, the production of IFN $\beta$  by macrophages has been shown to promote the resolution of bacterial inflammation, and this effect is potentially mediated through IFN $\beta$ -induced IL-10 production in macrophages *in vivo* (44). In the present study, our findings revealed that IFN $\beta$  attenuated tPA-upregulated inflammatory molecules, CD86, IL-1 $\alpha$ , and IL-1 $\beta$ , and promoted anti-inflammatory molecules, Arg1 and CD206, in MG during the acute phase of ischemic stroke. Notably, the effects of IFN $\beta$  on modulating MG phenotypes and ameliorating delayed tPA-exacerbated brain injury in ischemic stroke were abolished in mice with the conditional knockdown of IFNAR1 in MG. Finally, our *in vitro* studies showed that IFN $\beta$  suppressed TNF $\alpha$ +tPA-induced inflammatory molecules, IL-1 $\alpha$  and IL-1 $\beta$ , and enhanced anti-inflammatory molecules, Arg1 and CD206, in primary MG, consistent with our *in vivo* findings. Altogether, our results provide the first evidence that IFN $\beta$  exerts modulatory effects on suppressing inflammatory and promoting anti-inflammatory MG, and that may subsequently lead to attenuated neuroinflammation and ameliorated brain injury in delayed tPA-treated ischemic stroke.

Previous studies showed that infiltrating monocytes/macrophages become MG-like phenotypes following phagocytosis, leading to the conversion of CD45<sup>hi</sup> macrophages into CD45<sup>int</sup> macrophages, and this conversion was observed in the ischemic brain of stroke animals at the later time points of day 3 and day 7 post-injury (27–29). In this study, we determined MG based on their intermediate expression of CD45 and positive expression of CD11b, and the expression of pro-inflammatory and anti-

inflammatory markers in CD45<sup>int</sup>CD11b<sup>+</sup> MG was assessed at day 1 and day 2 post-injury, respectively. We verified whether the population of CD45<sup>int</sup>CD11b<sup>+</sup> cells contained infiltrating macrophages by measuring the expression of CD11a and Ly6C in CD45<sup>int</sup>CD11b<sup>+</sup> cells, as these two markers are well defined surface markers for macrophages (28, 45, 46). We did not observe CD45<sup>int</sup>CD11b<sup>+</sup> cells expressed CD11a or Ly6C in the ischemic brain of day 1 MCAO mice. However, a slight increase of Ly6C<sup>+</sup> cells in the population of CD45<sup>int</sup>CD11b<sup>+</sup> cells was observed in the ischemic brain of day 2 MCAO mice. Interestingly, a further increased frequency of Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells was found in the ischemic brain of tPA-treated mice compared to that of vehicle- or tPA+IFN $\beta$ -treated MCAO mice. We speculated that increased Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells in the ischemic brain of tPA-treated MCAO might be due to increased cell infiltrates converting into MG-like phenotypes following reperfusion. Notably, we observed that Arg1<sup>+</sup> cells were largely associated with Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells but not Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> cells in the ischemic brain of vehicle-, tPA-, and tPA+IFN $\beta$ -treated MCAO mice. Taken altogether, our findings suggest that ischemic stroke promotes a low frequency of infiltrating Ly6C<sup>+</sup>CD45<sup>hi</sup>CD11b<sup>+</sup> cells converting into Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> MG-like cells in the ischemic brain at day 2 but not day 1 post-injury. Most importantly, we found that IFN $\beta$ -mediated attenuation of inflammatory phenotype (day 1) and augmentation of anti-inflammatory phenotype (day 2) of MG in tPA-treated MCAO mice were largely associated with Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> resident MG but not Ly6C<sup>+</sup>CD45<sup>int</sup>CD11b<sup>+</sup> MG-like infiltrating cells. Thus, our findings suggest that IFN $\beta$  mainly modulates resident MG phenotypes and that may then alleviate tPA-aggravated neuroinflammation in ischemic stroke.

The BBB possesses an essential role in maintaining the CNS homeostasis. The integrity of BBB is maintained by physical and biochemical characteristics, including tight junction protein complexes and transporters (47). In addition, its function is supported by astrocytes, pericytes, neurons, and MG that form the neurovascular unit (NVU). The cellular components of NVU can be activated upon sterile inflammation or disease induction that contributes to the BBB remodeling (48). This is particularly true for MG, because MG can be polarized into inflammatory or anti-inflammatory phenotype that exerts different impacts on BBB integrity. Studies have shown that inflammatory MG promote BBB dysfunction, whereas anti-inflammatory MG contribute to maintaining BBB integrity (49–51). Notably, our results showed that delayed tPA treatment promoted the inflammatory phenotype of MG, and that was correlated with aggravated BBB disruption in MCAO mice with delayed tPA treatment. In contrast, IFN $\beta$  suppressed tPA-enhanced inflammatory MG and promoted anti-inflammatory MG, and that was linked to lessened BBB disruption in delayed tPA-treated MCAO mice. Importantly, we found that the effect of IFN $\beta$  on inflammatory MG suppression and anti-inflammatory MG promotion was abrogated in delayed tPA-treated MCAO mice with MG-specific IFNAR1 deletion. Interestingly, we observed the protective effect of IFN $\beta$  on lessening delayed tPA-aggravated BBB disruption was partly but

not fully abolished in these animals. Altogether, these results suggest that IFN $\beta$ -mediated modulation of MG phenotypes contributes to the alleviation of BBB disruption in ischemic stroke with delayed tPA treatment.

There are limitations with our current study. First, further studies would be required to identify the signaling pathways activated by IFN $\beta$ /IFNAR1 axis modulating MG polarization in delayed tPA-treated ischemic stroke. IFNAR1 signaling activates STAT1, STAT2, and STAT3. Studies have shown that STAT1 and STAT2 mediate the antiviral and inflammatory effects of IFNAR1 signaling (52–55). However, IFNAR1 signaling-induced STAT3 activation has been shown to inhibit STAT1-dependent gene activation, thereby downregulating IFNAR1 activation-induced inflammatory mediators (52). In addition, STAT3 activation has been shown to promote Arg1 and IL-10 upregulation (56). Thus, it is possible that IFNAR1 signaling induces STAT3 activation, leading to the suppression of tPA-enhanced inflammatory molecules, IL-1 $\alpha$ , IL-1 $\beta$  and CD86, expression and the promotion of anti-inflammatory molecules, Arg1 and CD206, upregulation in MG in delayed tPA-treated stroke animals. Further studies of silencing STAT3 specifically in MG would provide insight into whether the activation of STAT3 *via* IFNAR1 signaling is required for IFN $\beta$ -mediated modulation of MG phenotypes in ischemic stroke with delayed tPA treatment. Second, we observed that the protective effect of IFN $\beta$  on lessening delayed tPA-aggravated BBB in ischemic stroke was partly reversed in MCAO mice with MG-specific IFNAR1 knockdown, suggesting that IFN $\beta$ -mediated modulation of MG phenotypes plays a role in lessening delayed tPA-aggravated BBB disruption in ischemic stroke. Since IFNAR1 is highly expressed in brain endothelial cells (57, 58), it is possible that IFN $\beta$  acts on brain endothelial cells to offer partial protection in MG-specific IFNAR1 knockdown MCAO mice subjected to delayed tPA treatment. Indeed, our previous study showed that IFN $\beta$  attenuated adhesion molecules, ICAM-1 and E-selectin, upregulation in TNF $\alpha$ -stimulated bEnd.3 cells *in vitro* and in the ischemic brain *in vivo* (13), suggesting IFN $\beta$  exerts a direct effect on the suppression of brain endothelial cell activation. Thus, further studies using conditional knockout mice in which IFNAR1 is specifically knockout in brain endothelial cells are warranted to elucidate the direct effect of IFN $\beta$  on modulating brain endothelial cells to lessen delayed tPA-aggravated BBB disruption in ischemic stroke. Finally, in this study we used the intraluminal suture MCAO model to evaluate the effect of IFN $\beta$  on the amelioration of delayed tPA-exacerbated brain injury in ischemic stroke. It is recognized that the suture MCAO model produces stable and consistent outcomes of ischemic brain injury. Thus, this model benefits our cellular and molecular mechanism studies to decipher the protective effect of IFN $\beta$  on ameliorating delayed tPA-exacerbated brain injury in ischemic stroke. Nevertheless, the suture MCAO model has limitations on assessing tPA thrombolytic effects *in vivo*. Thus, studies utilizing the thromboembolic MCAO model would be needed to further confirm the observed mechanism of IFN $\beta$ -exerted modulation of MG phenotypes in delayed tPA-treated MCAO mice.

In summary, we report that IFN $\beta$  extends tPA therapeutic window to 4.5h post-injury in ischemic stroke. Furthermore, we show that IFN $\beta$  exerts protective effects on attenuating delayed tPA-exacerbated brain injury, lessening delayed tPA-aggravated BBB disruption, and reducing delayed-tPA enhanced mortality in ischemic stroke. Mechanistically, we identify that IFN $\beta$  modulates MG phenotypes, leading to the suppression of inflammatory MG and the promotion of anti-inflammatory MG in delayed tPA-treated MCAO animals. Importantly, we find that MG-mediated modulation of MG phenotypes plays an important role in ameliorating delayed tPA-exacerbated ischemic brain injury, because the knockdown of IFNAR1 specifically in MG abrogates the effect of IFN $\beta$  on modulating MG polarization, abolishes the protective effect of IFN $\beta$  on ameliorating brain injury, and partly reverses the beneficial effect of IFN $\beta$  on lessening BBB disruption in delayed tPA-treated MCAO animals. In summary, our current study reveals a novel function of IFN $\beta$  on the modulation of MG polarization toward anti-inflammatory phenotype that may subsequently confer protection against delayed tPA-exacerbated brain injury in ischemic stroke. Thus, our findings open a new venue for the use of IFN $\beta$  in the combination of tPA to attenuate neuroinflammation and extend tPA therapeutic window in ischemic stroke.

## Data availability statement

The original contributions presented in the study are included in the article/[Supplementary Material](#). Further inquiries can be directed to the corresponding author.

## Ethics statement

The animal study was reviewed and approved by Purdue Animal Care and Use Committee.

## Author contributions

P-CK performed the experiments, analyzed data, and wrote the manuscript. W-TW, BS, HP, PB, and BK performed the experiments. I-CIY reviewed and edited the manuscript. J-HJY. conceived the study, designed the experiments, and wrote the manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fimmu.2023.1148069/full#supplementary-material>

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