## Women in hypertension

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# Women in hypertension 

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# Editorial: Women in hypertension 

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## KEYWORDS

hypertension, women, preeclampsia, anti-hypertensive treatment, risk-prediction model, gender discrimination

## Editorial on the Research Topic <br> Women in hypertension

## Introduction

Women's history in science has always been a history of prejudice and discrimination, without equality with the opposite sex. Despite the great progresses, such discrimination is still present in the scientific world, especially in the so-called hard sciences (Mathematics, Physics, Chemistry, Biology), maybe due to old gender prejudices and stereotypes. In the academic world, female researchers currently represent more than half of all researchers, but this percentage decreases drastically as we advance in the university hierarchy, predisposing to a clear gender inequality. In the leading academic positions, gender differences are even more marked, denoting an unfair approach towards female leadership and an overall aged attitude that does not reflect the current educational status. Although history does not give much credit to female researchers, many scientific discoveries belong to women. Over time, various female personalities have made scientific history and have proven to be a source of inspiration for future generations. A famous example is Marie Curie, one of the first scientists recognized worldwide for her studies on radiation and radioactive materials (Nobel Prizes for Physics in 1903 and Chemistry in 1911). The story of Rosalind Franklin is yet another emblematic one since she created the foundations of molecular biology by providing experimental evidence of the helix structure of DNA, even though the Nobel Prize was later awarded to her male colleagues. Francoise Barre-Sinoussi was awarded the Nobel Prize for Medicine in 2008 following the discovery of the human immunodeficiency virus (HIV), essential to turn AIDS from a death sentence to a manageable disease. Rita Levi-Montalcini received the Nobel Prize for Medicine in 1986 for the identification of the nerve fiber growth factor Ngf contributing to the study of several diseases, such as tumors and Alzheimer's disease.

Several projects aimed at gender equality are currently being developed, including this specific research topic, to promote female research, encourage women to be involved in scientific projects and, eventually, disseminate their results. Nevertheless, despite the progress that we have seen in recent years, gender equality is still far from being achieved. Sensitivity towards this problem has certainly grown and several initiatives are increasingly successful in promoting the much-needed cultural change.

## Contributions to the topic

The current Research Topic, entitled "Women in hypertension", promotes the work of female scientists in the field of hypertension contributing to counteracting the gender imbalance currently present in the research field. To support this purpose, both the editors and the reviewers of this Editorial are women, and only submissions headed by women (as first or last author) were considered. In this context, the editors themselves are an example of female leadership in pre-clinical and clinical research fields: the SEPHAR study, led by Prof. Maria Dorobantu, had a major impact on the actual understanding of overall cardiovascular disease (1-3); pre-clinical research, led by Prof. Sorriento, increased the knowledge of endothelial function in diseases (4-9). To further emphasize the importance of this research topic, we have ultimately selected nine scientific studies, which significantly contributed to advances in the field of hypertension, the most common modifiable risk factor for cardiovascular and other diseases (10, 11). Risk prediction and an early diagnosis of hypertension are essential for the primary prevention and management of this condition and its cardiovascular complications. Therefore, effective, and easy-tomanage hypertension risk prediction models (machine learning models) have been generated to identify individuals at high risk of developing hypertension $(12,13)$. Practice guidelines are available for the management of hypertension, indicating the most effective drugs, therapeutic associations, and lifestyle modifications (14-15) to prevent cardiovascular events and reduce mortality, but many patients with hypertension remain uncontrolled. This could be partly due to diagnostic and treatment initiation inertia (16) and the type of intervention and clinical approach (17). Novel therapeutic targets have been identified using pre-clinical models of hypertension but most failed in clinical trials or generated contrasting results, possibly due to defects in patient enrollment and comorbidities, as it occurs with Vitamin D supplementation (18-21). The gender difference in blood pressure levels appears during adolescence and in the elderly (22). Premenopausal women have a lower risk and incidence of hypertension compared with men of the same age, but this advantage for women gradually disappears after menopause. In this context, clinical and experimental findings emphasize the role of sex hormones, the autonomic nervous system, the renin-angiotensin-aldosterone system, and arterial stiffness in the development of chronically elevated blood pressure in women (24). A particular condition that requires special attention from physicians is preeclampsia, the leading

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cause of maternal and neonatal death, for which an early diagnosis and a timely initiated and well-conducted antihypertensive therapy are essential (25-27). With the sole exception of pregnancy, the current good clinical practice guidelines do not make any differences between men and women regarding the general therapeutic approach (28), even if a gender-related response to therapy has been suggested (29-31).

## Conclusions

The contributions of this Research Topic from female researchers demonstrate the remarkable contribution of females in the research field, contributing to advances in knowledge of hypertension. In the future, female participation in the scientific field and their access to leading positions in academia and science should be further encouraged and supported. In a society where we are aiming at overall transparency and fairness, where our only purpose should be notable progress in medicine and science regardless of the subspecialty, discrimination based on the researcher's gender has no place. The world has no gender but only brilliant minds at the service of science!

## Author contributions

MD and DS contributed to the conception and design of the study and wrote the manuscript. All authors read and approved the submitted version.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Team-Based Care for Improving Hypertension Management: A Pragmatic Randomized Controlled Trial 

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Objective: We evaluated the effect on long term blood pressure (BP) of an interprofessional team-based care (TBC) intervention, involving nurses, pharmacists, and physicians, compared to usual care.
Methods: We conducted a pragmatic randomized controlled study in ambulatory clinics and community pharmacies in Switzerland (ClinicalTrials.gov: NCTO2511093). Uncontrolled treated hypertensive patients were randomized to TBC or usual care (UC). In the TBC group, nurses and pharmacists met patients every 6 weeks to measure BP, assess lifestyle, support medication adherence, and provide health education for 6 months. After each visit, they wrote a report to the physician who could adjust antihypertensive therapy. The outcome was the intention-to-treat difference in mean daytime ambulatory blood pressure measurement (ABPM) and control ( $<135 / 85 \mathrm{mmHg}$ ) at 6 and 12 months.
Results: Eighty-nine patients (60 men/29 women; mean (SD) age: 61(12) year) were randomized to TBC $(n=43)$ or UC $(n=46)$. At baseline, mean (SD) BP was 144(10)/90(8) mmHg and $147(12) / 87(11) \mathrm{mmHg}$ in the TBC and UC groups. At 6 months, the between-groups difference in daytime systolic ABPM was $-3 \mathrm{mmHg}[95 \%$ confidence interval (CI):-10 to $+4 ; p=0.45]$; at 12 months, this difference was $-7 \mathrm{mmHg}[95 \%$ $\mathrm{Cl}:-13$ to $-2 ; p=0.01$ ]. At 6 months, the between-groups difference in daytime diastolic ABPM was +2 mmHg [ $95 \% \mathrm{Cl}:-1$ to $+6 ; p=0.20$ ]; at 12 months, this difference was -2 mmHg [ $95 \% \mathrm{Cl}:-5$ to +2 ; 0.42]. Upon adjustment for baseline covariates including baseline BP , the between-groups differences at 6 and 12 months were maintained. At 6 months, there was no difference in BP control. At 12 months, the TBC group tended to have a better control in systolic BP $(p=0.07)$ but not in diastolic BP $(p=0.33)$.


#### Abstract

Conclusion: While there was not significant effect on BP at 6 months of follow-up, the TBC intervention can help decrease long-term systolic BP among uncontrolled hypertensive patients.


Keywords: hypertension, team-based care, healthcare professionals, healthcare services research, interprofessional intervention

## INTRODUCTION

Hypertension is a major risk factor for stroke and cardiovascular diseases and a major cause of mortality worldwide (1). One quarter to one third of European adults have hypertension, and this burden will increase due to the aging of the population (2). Despite effective blood pressure (BP) lowering drugs to prevent cardiovascular events and reduce mortality (3), a large proportion of patients with hypertension remain uncontrolled (4-6). In responses to these challenges, innovative models of care are needed to improve BP control, such as team-based care (TBC) approaches that include pharmacists and nurses in primary care $(7,8)$.

Various studies involving pharmacists or nurses in primary care have shown that they can help improve BP control (9-13). Moreover, the evidence from systematic reviews with meta-analysis supports that pharmacists-working alone or in teams $(8,14)$-can improve the management of hypertension as well other cardiovascular risk factors $(15,16)$. Another systematic review found evidence that nurses led interventions are effective in the management of BP (17). Since 2014, the U.S Community Preventive Services Task Force recommends TBC for hypertension management $(7,18)$. TBC is defined as a coordinated model of care involving different healthcare professionals, such as physicians and other non-physician clinicians such as pharmacists, nurses, working in collaborative partnership, each with their own expertise, to manage hypertension, and optimize patient education. Recent guidelines on hypertension management, notably the 2017 guidelines from the American College of Cardiology and the American Heart Association (ACC/AHA) as well as the 2018 guidelines of the European Society of Cardiology and the European Society of Hypertension (ESC/ESH) recommend TBC for the first time with the involvement of pharmacists and nurses in the management of hypertension (19-21).

However, high quality evidence showing the efficacy of TBC in hypertension comes essentially from randomized controlled studies conducted in North America in outpatient clinics or by general practitioners and with a median duration of followup of 6 month (8). The TBC model to improve long term BP with both nurses and pharmacists need therefore to be evaluated in a European real-life primary care setting. The objective of the TBC for improving Hypertension management (TBC-HTA) randomized controlled study was to assess whether a TBC intervention, involving nurses and community pharmacists working in collaboration with physicians, improves long term daytime ambulatory BP among uncontrolled treated
hypertensive patients in primary care practices under real-life conditions (22).

## MATERIALS AND METHODS

## Study Design, Setting and Participants

This study was a pragmatic randomized controlled trial conducted from September 2014 to December 2019 comparing a 6-month TBC interprofessional intervention among outpatients followed in ambulatory clinics and community pharmacies in Lausanne and Geneva, Switzerland (ClinicalTrials.gov: NCT02511093), which were included in the study on a voluntary basis. The details of the study protocol have been published previously (22). The ethics committees of the cantons of Vaud (CER-VD 449/13) and Geneva (CCER GE 15/281), Switzerland approved the study protocol which followed the principles of the Declaration of Helsinki.

Outpatient clinic databases built on the electronic medical records of patients followed-up in the ambulatory clinics, were used to identify patients. To be selected, patients had the following inclusion criteria: (1) uncontrolled hypertension defined as daytime ambulatory $\mathrm{BP} \geq 135 / 85 \mathrm{mmHg}$ or office $\mathrm{BP} \geq 140 / 90 \mathrm{mmHg}$ over at least two consecutive visits; (2) taking at least one antihypertensive medication; (3) aged 18 years old or more; (4) speak and understand French; (5) agree to use the service from the same pharmacy for the duration of the study. Exclusion criteria were (1) pregnancy or lactation, (2) hospitalization, (3) living in a nursing home, (4) inability to understand the study aim, (5) participation in another clinical study, or (6) daytime ambulatory BP $>180 / 110 \mathrm{mmHg}$.

Patients meeting inclusion criteria were approached during a routine clinic visit by the physician or contacted by phone by a nurse who explained the study and ascertained patients' willingness to participate. If patients agreed to participate, and provide a written consent form, a research clinic visit was scheduled at the ambulatory clinic. Demographic data were collected at baseline, including sex, age, comorbid conditions, and the number and type of antihypertensive drugs. After completing the baseline assessment, patients were randomized via a computer number generated using sequentially numbered opaque sealed envelopes in an equal allocation ratio (1:1) using permuted blocks to either the 6-month TBC intervention group or the UC care group (22). Due to the nature of the intervention, patients and healthcare professionals (physicians, nurses and community pharmacists) could not be blinded to the allocation.

## TBC Intervention

Each patient allocated to the TBC group received the TBC interprofessional intervention from nurses and community
pharmacists working in collaboration with physicians. Prior to the study, nurses and community pharmacists were trained during a $2-\mathrm{h}$ workshop about the study requirements, TBC intervention, standardized BP measurement and hypertension care according to the ESC/ESH recommendations (22), antihypertensive medication management, and counseling about lifestyle modification (physical activity and diet). More precisely, the TBC intervention, based on specific competencies of healthcare professionals, comprises (22):

1) A structured individual intervention conducted by trained nurses and community pharmacists every 6 weeks (at baseline, 6-, 12-, 18-week) during the 6-month of follow-up.
2) At each visit, patients received structured individual interventions conducted by trained nurses and community pharmacists (BP measurement, assessment and counseling about lifestyle and medication adherence and, health education concerning treatment and disease), respectively.
3) Following each 6-week visit, a summary report (BP measures, medication adherence and lifestyle assessment) with recommendations were prepared by the nurse and the pharmacist for the physician who adjusted antihypertensive therapy accordingly.
No medication change was allowed during the first 6 weeks of follow-up. If BP was uncontrolled ( $\geq 140 / 90 \mathrm{mmHg}$ ) at the 6,12 , and 18 -week sessions with the community pharmacist or the nurse, the physician was informed by phone or in face-to-face meeting. The physician then adapted the treatment as needed taking account the nurse's and the community pharmacist's recommendations on lifestyle, medication adherence, and therapy.

## Usual Care

Patients allocated to UC group received routine care by their habitual physician without any specific nurse or community pharmacist intervention. They attended schedule visits at baseline, 6 and 12 months of follow-up, where ABPM was taken.

## Blood Pressure Measurement

At baseline, 6 - and 12 -months (i.e., 6 months post-intervention), daytime ABPM, used as the main outcome, was taken in TBC and UC patients using clinically validated electronic devices, and using a standardized protocol (22) in line with the European Society of Hypertension (ESH) recommendations (23). More precisely, the ABPM device was installed on the dominant arm by the nurse who explains the procedure to the patient. Measurements were based on the auscultatory mode, relayed by the oscillometric mode in case of failure of the auscultatory mode. Measurements were made every $20-\mathrm{min}$ interval during the day and every 60 -min interval during the night (23). The device used was the electronic Diasys (DIASYS integra; Novacor SA, Rueil-Malmaison, France) or Boso (Bosch+Sohn, Allemagne).

If ABPM was not available at baseline, BP was based on automated office BP measurements and computed as the average of the last 3 out of 6 measurements with the patient resting alone quietly (24). In the TBC group, every 6 weeks, automated office BP was measured by the nurse and by the community
pharmacist using the Microlife WatchBP home, a clinically validated oscillometric device (25).

## Outcome

The primary outcome was the difference in mean systolic/diastolic daytime ABPM between TBC and UC patients and the difference in the proportion of TBC and UC patients with controlled systolic/diastolic daytime ABPM ( $<135 / 85$ mmHg ) at 6 -month. The secondary outcome was the difference in mean systolic/diastolic daytime ABPM between TBC and UC patients and the difference in the proportion of TBC and UC patients with controlled systolic/diastolic daytime ABP ( $<135 / 85$ mmHg ) at 12 -month ( 6 months post-intervention).

Other outcomes included the number, classes and daily dosages of antihypertensive drugs taken during the study that were documented using medical electronic records at 6 - and 12month ( 6 months post-intervention follow-up). The differences in mean number, modification, intensification and reduction of antihypertensive drugs were also assessed. Using the start and end dates of prescribed drugs, we defined antihypertensivedrug modifications as drug changes (changing one class of drug for another), or drug intensifications (adding a new drug or increasing a drug dosage), or drug reductions (stopping a drug without replacing it or decreasing a drug dosage) (26).

## Sample Size and Statistical Analysis

Based on the results of the systematic review by Santschi et al. assessing the impact of pharmacist interventions on BP , a difference in systolic $B P$ of 6 to 10 mmHg was expected between TBC and UC groups. A sample size of 46 patients per group provided $80 \%$ power to detect a 6 mmHg difference in systolic BP (SD: 10 mmHg ) with a two-sided alpha of $5 \%$. Assuming a drop-out or loss to follow-up rate of $\sim 15 \%$, the targeted sample size was adjusted to 55 per group, for a total sample size of 110 .

Descriptive statistics were used to present baseline characteristics of TBC and UC patients as number, percentage and means (standard deviation). For the per-protocol analysis, missing BP value at 6 or 12 months were not imputed; the analyses were conducted on patients with complete followup and no missing BP data. For the ITT analyses, the last observation carried forward method was used for missing data (27). We imputed missing values for measurements at 6 months of follow-up (TBC: 4 patients; UC: 4 patients) or at 12 months of follow-up (TBC: 5 patients; UC: 8 patients) of follow-up. As planned, main analyses were followed the intention-to-treat (ITT) principle (22) and used Student's two-sided $t$-test to assess the statistical significance of the ITT between-groups difference in systolic and diastolic ambulatory BP at 6- and 12 -month of follow-up. The statistical significance for the ITT between-groups difference in the proportion of patients with systolic/diastolic BP control were calculated at 6 - and 12 -month of follow-up using a chi-squared test. Further, in addition of the main analyses and following recent recommendations for the analyses of pragmatic randomized trials (28), along first the ITT principle and second the per-protocol principle, a set of linear regression analyses were conducted to account for the potential biasing effect of differences in baseline characteristics,
especially baseline BP level, between the TBC and the UC groups on the outcomes. Hence, to assess the association of group allocation with systolic and diastolic daytime BP, respectively, three regression models of growing complexity were fitted with (1) no adjustment; (2) adjustments for age, sex, and recruitment center and (3) additional adjustments for the number of antihypertensive treatment at baseline and for BP at baseline (29). Two-sided $P$-value $<0.05$ was considered as statistically significant. All statistical analyses were conducted with Stata software version 16.0 (Stata Corp, College Station, TX, USA) and Microsoft Excel (version 16).

## RESULTS

In total, 4,654 patients were assessed for eligibility using the ambulatory clinic database and 371 were identified as potentially eligible (Figure 1). As underlined in the Figure 1, the number of participants who did not meet all inclusion criteria or had exclusion criteria-i.e., no hypertension drug treatment, no recent BP measurement, aged $<18$ years old, hospitalized, living in nursing home or not followed-up by a participating physician-were documented. Eighty-nine patients ( $24 \%$ of potentially eligible) agreed to participate and were included in the study: 43 patients were randomly assigned to TBC and 46 patients to UC group. Of these, 81 (91\%) (TBC: 39 ; UC: 42) completed the 6 -month of follow-up, and 76 ( $85 \%$ ) (TBC: 35 ; UC: 41) completed the 12-month follow-up.

Table 1 summarizes the baseline characteristics of the 89 included patients. The mean age was 60 (SD: 12) years and two thirds of patients were men. More than $50 \%$ of patients were obese and $12 \%$ were current smokers. Patients took on average 3 (SD: 2 drugs) (to treat hypertension and other conditions) daily, and more than $50 \%$ were treated with 4 drugs or more per day. A large proportion of patients had comorbidities, such as cardiovascular diseases, diabetes, dyslipidaemia, and chronic kidney disease. In the TBC group, systolic BP was slightly lower and diastolic BP slightly higher compared to the UC group. To account for a potential biasing effect of these differences on the outcomes, regression analyses adjusted for baseline BP were conducted (see below).

## Blood Pressure and Antihypertensive Treatment

Table 2 summarizes information about baseline BP and antihypertensive treatment. TBC and UC patients were treated most often with angiotensin receptor blockers (55\%), diuretics (44\%), angiotensin converting enzyme inhibitors (30\%), calcium channel blockers (30\%), and betablockers ( $22 \%$ ). The mean number of daily antihypertensive drugs taken was 2 (SD: 1; range: 1-4) in both groups, with $38 \%$ of patients taking one drug per day, $40 \%$ two drugs per day, and $22 \%$ three drugs or more per day. No major clinical difference was observed between TBC and UC groups regarding the baseline BP and treatment for hypertension.

Table 3 shows that systolic and diastolic BP decreased in both groups during follow-up. At 6 months, the ITT between-groups
difference in daytime systolic/diastolic ABPM was $-3 /+2 \mathrm{mmHg}$ [ $95 \%$ confidence interval (CI): -10 to $+4 /-1$ to $+6 ; p=0.45 / 0.20$ ] and the systolic/diastolic control was $42 \% / 48 \%$ in the TBC group and $39 \% / 52 \%$ in the UC group ( $p=0.63 / 0.45$ ), respectively. At 12 months, the ITT between-groups difference in daytime ABPM was $-7 /-2 \mathrm{mmHg}[95 \% \mathrm{CI}:-13$ to $-2 /-5$ to $+2 ; p=$ $0.01 / 0.42$ ]; the systolic/diastolic control was $44 \% / 53 \%$ in TBC group and $26 \% / 48 \% \%$ in UC group ( $p=0.07 / 0.33$ ), respectively. Upon adjustment for covariates in a set of linear regression models of growing complexity, the between-groups difference in systolic and diastolic ABP at 6- and 12-months of follow-up was maintained allowing to exclude important biasing effect of imbalance between groups (see Supplementary Tables S1, S2 in supplementary material). Of note, upon adjustment for baseline number of antihypertensive treatments and baseline BP, the ITT between-groups difference in daytime systolic/diastolic ABPM was maintained at 12 months of follow-up (i.e., $-5 /-3 \mathrm{mmHg}$ [ $95 \%$ CI: -10 to $-1 /-6$ to $0 ; p=0.02 / 0.09$ ]. Finally, per-protocol analyses yielded similar results (see Supplementary Table S2 in supplementary material).

Table 4 summarizes antihypertensive drug use during followup. In both groups, the mean number of antihypertensive drugs taken by TBC and UC patients slightly increased during followup. There was no difference between groups in the mean number of antihypertensive drugs at 6 - and at 12 -month of follow-up. The type of antihypertensive treatment taken did not change substantially during follow-up and between groups. However, patients in the TBC group tended to have experienced more frequently a switch to another class of drug as well as an increase of dosage or number of drugs. When all types of drug changes were considered, the mean number of changes per patient was greater in the TBC group compared to the UC group, at 6- and 12-months of follow-up.

## Difficulties to Medications and Lifestyle and Recommendations During Follow-Up

Among TBC patients, a total of 174 difficulties in 43 patients related to medications and lifestyle (such as lack of knowledge, beliefs, difficulties to integrate drugs in daily life) were identified (132/174 (75\%) by the nurses and $42 / 174$ (24\%) by the pharmacists) during the first 6 -months of follow-up. Nurses reported 83 ( $47 \%$ ) issues related to physical activity and 49 (28\%) to dietary and lifestyle habits (e.g., lack of motivation or lack of time to implement the change). Pharmacists reported mostly on medication adherence ( $15 / 42 ; 36 \%$ e.g., too many drugs to take daily, omission to take drugs or difficulties to integrate drugs in the daily activities of the patients). Another barrier frequently reported by pharmacists (14/42; 34\%) was lack of knowledge concerning hypertension. During the same period, nurses made 164 recommendations related to dietary and lifestyle habits (most often to reduce salt consumption and to increase daily physical activity). Pharmacists made 40 recommendations related to hypertension drug treatment (most often patient adherence counseling about how to improve adherence (e.g., weekly reminder, clock alarm) and education about hypertension treatment.


FIGURE 1 | Flow diagram of included patients. ${ }^{\text {a }}$ Of the 4,283 who did not meet inclusion criteria, 195 have HTN drug treatment since $<1$ months, 1,388 did not have HTN drug treatment, 1,063 did not have recent BP measurement, 315 were aged $<18$ years, 486 were hospitalized or nursing home and 320 were patients not followed by participating physicians.

## DISCUSSION

The TBC-HTA randomized controlled study was one of the first pragmatic attempt to evaluate the effect of a TBC intervention
involving community pharmacists and nurses working in collaboration with physicians to improve long term ambulatory BP in a European primary care setting under real conditions, that is, designed accounting for local constraints, resources, and

TABLE 1 | Baseline characteristics of the patients.

|  | UC | TBC |
| :--- | :---: | :---: |
| Patients, $n$ | 46 | 43 |
| Sex, (men/women), $n$ | $29 / 17$ | $31 / 12$ |
| Mean age, years (SD) | $61(13)$ | $60(11)$ |
| Current smoker, $n$ (\%) | $6(13 \%)$ | $5(12 \%)$ |
| Mean BMI, kg/m ${ }^{2}$ (SD) | $28.0(4.6)$ | $30.6(6.5)$ |
| Comorbid conditions |  |  |
| Cardiovascular disease, $n$ (\%) | $9(20 \%)$ | $9(21 \%)$ |
| Diabetes mellitus, $n$ (\%) | $7(15 \%)$ | $14(33 \%)$ |
| Dyslipidemia, $n$ (\%) | $19(41 \%)$ | $17(40 \%)$ |
| Chronic kidney disease, $n$ (\%) | $3(7 \%)$ | 4 (9\%) |
| Mean number of all prescription drugs, $n$ | $3.0(1.8)[1 ; 9]$ | $3.2(2.0)[1 ; 10]$ |
| (SD) [min; max] | $25(54 \%)$ | $25(58 \%)$ |
| Polymedication (3 drugs or more), $n$ (\%) | $7.5(7.9)[0 ; 30]$ | $11.7(11.7)[0 ; 35]$ |
| Mean time since hypertension diagnosis, |  |  |
| years (SD) [min; max] |  |  |

UC, usual care; TBC, team-based care; SD, standard deviation; BMI, body mass index; smoking, current smoking $\geq 1$ cigarette/day.

TABLE 2 | Baseline blood pressure (BP) and treatment for hypertension.

|  | $\begin{gathered} \text { UC } \\ n=46 \end{gathered}$ | $\begin{gathered} \text { TBC } \\ n=43 \end{gathered}$ |
| :---: | :---: | :---: |
| Mean systolic $\mathrm{BP}^{*}$, mmHg (SD) | 147 (12) | 144 (10) |
| Mean diastolic $\mathrm{BP}^{*}$, mmHg (SD) | 87 (11) | 90 (8) |
| Mean number of antihypertensive drugs, $n$ (SD) [min; max] | 1.9 (0.8) [1; 4] | 1.8 (0.9) [1; 4] |
| Antihypertensive drugs used, $n$ (\%) |  |  |
| Diuretics | 21 (46\%) | 18 (42\%) |
| ACE inhibitors | 13 (28\%) | 14 (33\%) |
| Ang II receptor blockers | 28 (61\%) | 21 (49\%) |
| Calcium antagonists | 13 (28\%) | 14 (33\%) |
| Beta-blockers | 10 (22\%) | 10 (23\%) |
| Other | 0 (0\%) | 0 (0\%) |
| Number of antihypertensive drugs, $\boldsymbol{n}$ (\%) |  |  |
| 0 | 0 (0\%) | 0 (0\%) |
| 1 | 14 (30\%) | 20 (47\%) |
| 2 | 23 (50\%) | 13 (30\%) |
| $\geq 3$ | 9 (20\%) | 10 (23\%) |

UC, usual care; TBC, team-based care; SD, standard deviation; ACE, angiotensin converting enzyme; Ang, angiotensin.
*The BP reported at baseline was mean daytime ABPM. If ABPM was not available at baseline, $B P$ was based on automated office $B P$ measurements and computed as the average of the last 3 out of 6 measurements with the patient resting alone quietly (24).
expertise. While there was not significant effect on BP at 6 months of follow-up, the TBC intervention can help decrease long-term systolic BP among uncontrolled hypertensive patients.

## Comparison With Other Studies

Our results are consistent with previous studies $(9,11)$ and systematic reviews (8) reporting that physician-pharmacist collaboration can improve BP management and control. Our
results are also congruent with the much fewer studies $(30,31)$ with a long-term follow-up, that is, beyond 6 months. Our results are also in line with the finding of a recent systematic review with meta-analysis of more than 100 trials and 55,920 patients showing that the most effective BP-lowering strategies use multilevel and multicomponent approaches to improve hypertension control, often involving non-physician providers assessing patients, measuring BP , and titrating medications as needed (6). In this review, the effect on BP of TBC with physician titrating medication was a mean $6.2 / 2.5 \mathrm{mmHg}$ decrease in systolic/diastolic BP, which is close to the effect size of $7 / 2 \mathrm{mmHg}$ seen in our study at 12 months of follow-up.

As underlined, the TBC-HTA study was pragmatic notably because it used the resources available and, the intervention was conducted by healthcare professionals involved in the followup of patients in their local setting. These human and local resources were used to conduct the study and the intervention which was designed accounting for the existing expertise. The fact that numerous patients screened were not included does not mean that we have excluded "real-world" patients. This is largely due to the lack of structured and specific practitioner database used in the different healthcare setting involved, but not to highly selective criteria of inclusion. As a result, many participants initially screened did not have inclusion criteria and were not invited to participate. Moreover, to design our study, we did not refer a priori to a specific model of care. However, we can consider that implicitly the model of care used in our study was close to the Chronic Care Model (32), incorporating patients, providers, and system level intervention.

## Strengths and Limitations of the Study

The main strengths of our pragmatic randomized controlled study are its design, the long-term follow-up, and the close interprofessional collaboration between nurses, community pharmacists, and physicians to evaluate the effects of TBC intervention on BP control among uncontrolled treated hypertensive patients in primary care practices. The use of a 24-h ambulatory BP monitoring device to measure BP at 6- and 12 -month according to a standard protocol was also an asset to evaluate more precisely the effect of TBC intervention on BP control compared to office BP measurement (33). One key strength is the evaluation of the effect of the intervention at 1 year of follow-up (i.e., 6 months after ending the intervention). This is of importance because few studies evaluating this type of team-based care intervention have had follow-ups of more than 6 months (34). Nevertheless, our study suggests that the TBC intervention had almost no effect at 6 months of follow-up, i.e., at the end of the period of active intervention. This could be partly due to regression to the mean, as mean BP decreased in both groups. Another explanation could be a Hawthorne effect (35) during the first 6-months of follow-up: participants in the control group might have improved, at least initially, their dietary and lifestyle habits, as well as their drug adherence, because they knew they were part of a study. Interestingly, a similar observation was made during the first 2 months of a 12 -month randomized controlled study that we had conducted

TABLE 3 | Daytime systolic/diastolic ambulatory blood pressure monitoring (ABPM) and control at 6- and 12-month of follow-up.

|  | 6-month of follow-up |  |  |  | 12-month of follow-up |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | UC $n=42$ | $\begin{gathered} \text { TBC } \\ n=39 \end{gathered}$ | ${ }^{*} \Delta[95 \% \mathrm{Cl}]$ | ${ }^{*} p$-value | UC $n=41$ | TBC $n=35$ | ${ }^{*} \Delta[95 \% \mathrm{Cl}]$ | ${ }^{*} p$-value |
| Mean systolic ABPM, mmHg (SD) | 140 (17) | 137 (17) | $-3[-10$ to +4$]$ | 0.45 | 141 (14) | 134 (14) | $-7[-13$ to -2$]$ | 0.01 |
| Mean diastolic ABPM, mmHg (SD) | 83 (8) | 85 (9) | $2[-1$ to +6$]$ | 0.20 | 84 (10) | 81 (8) | $-2[-5$ to +2$]$ | 0.42 |
| Systolic ABPM $<135 \mathrm{mmHg}, n(\%)$ | 39\% | 42\% |  | 0.63 | 26\% | 44\% |  | 0.07 |
| Diastolic ABPM <85 mmHg, $n$ (\%) | 52\% | 48\% |  | 0.45 | 48\% | 53\% |  | 0.33 |

*The mean between group difference $(\Delta)$ and related statistical significance are computed following the intention-to-treat principle (ITT).
UC, usual care; TBC, team-based care; SD, standard deviation; CI, confidence interval.

TABLE 4 | Antihypertensive drugs at 6- and 12-month of follow-up.

|  | 6-month of follow-up |  |  | 12-month of follow-up |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{gathered} \text { UC } \\ n=42 \end{gathered}$ | $\begin{gathered} \text { TBC } \\ n=39 \end{gathered}$ | $p$-value | $\begin{gathered} \text { UC } \\ n=41 \end{gathered}$ | $\begin{gathered} \text { TBC } \\ n=35 \end{gathered}$ | $p$-value |
| Number of antihypertensive drugs, mean (SD) | 2.1 (0.9) | 2.1 (1.0) | 0.93 | 2.1 (0.9) | 2.3 (0.9) | 0.43 |
| Number of antihypertensive drugs, $\boldsymbol{n}$ (\%) |  |  | 0.33 |  |  | 0.62 |
| 0 | 0 (0\%) | 1 (3\%) |  | 0 (0\%) | 0 (0\%) |  |
| 1 | 12 (29\%) | 13 (33\%) |  | 10 (24\%) | 7 (20\%) |  |
| 2 | 19 (45\%) | 11 (28\%) |  | 20 (49\%) | 15 (43\%) |  |
| $\geq 3$ | 11 (26\%) | 14 (36\%) |  | 11 (27\%) | 13 (37\%) |  |
| Class of antihypertensive drugs used, $\boldsymbol{n}$ (\%) |  |  | 0.68 |  |  | 0.57 |
| Diuretics | 21 (52\%) | 16 (41\%) |  | 22 (54\%) | 19 (54\%) |  |
| ACE inhibitors | 12 (29\%) | 13 (33\%) |  | 11 (27\%) | 14 (40\%) |  |
| Ang II receptor blockers | 27 (64\%) | 22 (56\%) |  | 27 (66\%) | 22 (63\%) |  |
| Calcium antagonists | 13 (31\%) | 17 (44\%) |  | 14 (34\%) | 12 (34\%) |  |
| Beta-blockers | 9 (21\%) | 12 (31\%) |  | 8 (20\%) | 12 (34\%) |  |
| Other | 1 (3\%) | 0 (0\%) |  | 2 (5\%) | 0 (0\%) |  |
| Antihypertensive-drug modifications |  |  |  |  |  |  |
| Drug changes (change to another class of drug), $n$ (\%) | 2 (5\%) | 6 (15\%) | 0.11 | 4 (10\%) | 9 (26\%) | 0.07 |
| Drug intensifications (increase of dosage or number of drugs), $n$ (\%) | 14 (33\%) | 19 (49\%) | 0.16 | 17 (41\%) | 21 (60\%) | 0.11 |
| Drug reductions (decrease of dosage or number of drugs), $n$ (\%) | 4 (10\%) | 7 (18\%) | 0.27 | 6 (15\%) | 4 (11\%) | 0.68 |
| Any drug modification, $n$ (\%) | 17 (40\%) | 21 (54\%) | 0.23 | 24 (59\%) | 24 (69\%) | 0.37 |
| Mean number of drug modifications/patient (min-max) | 0.6 (0-3) | 1.1 (0-4) | 0.04 | 0.9 (0-3) | 1.3 (0-4) | 0.06 |

UC, usual care; TBC, team-based care; SD, standard deviation; ACE, angiotensin converting enzyme; Ang, angiotensin.
to evaluate the effect of a pharmacist intervention to improve adherence among hypertensive patients in primary care (9).

There are however several limitations to our study. One major limitation was the small sample size of the study. Consequently, we have a slightly underpowered study which limits the possibility to get a more confirmative result. This could be one of the reasons why we did not find a statistically significant between-groups difference in mean daytime systolic BP at 6 months of follow-up, despite a favorable trend in the TBC group. Nevertheless, despite the relatively small sample size, the beneficial effect on systolic BP was significantly superior that usual care at 12 months. As with many randomized controlled trials, recruitment of sufficient number of patients was challenging and we did not reach the planned target sample size despite an extension of study time (36). Lack of a structured
practitioner database and constrained human and financial resources were barriers to rapidly assess the potential eligibility of patients and ease recruitment. Furthermore, we could not pay healthcare providers to recruit patients.

Another limitation is that we conducted this study in selected outpatient clinics that were interested in implementing a TBC interprofessional model in their practice. This may limit the generalizability of our results. Further studies are needed to evaluate the transferability of our findings to other regions and populations. The absence of effect on diastolic BP is also a weakness. Patients were also not blinded to the intervention. Another limitation is the assessment and monitoring of lifestyles based on subjective assessments of healthcare providers. Digital monitoring technology, e.g., using smartphone (37) would have been better
for a continuous assessment and a stronger involvement of patients (38). More broadly, the use of digital tools could have improved the completeness and effectiveness of the intervention.

Finally, this type of study does not allow the identification of the effects of each component of the TBC: is it the nurse or the pharmacist's intervention that makes a difference? Do changes in patients' diet and lifestyle impact BP control or are only medication changes important? Patients in the TBC group had actually more frequent changes in drug treatment during the follow-up. This suggests that one effect of the intervention was to decrease prescribing physician inertia, and that is consistent with other studies having shown that the intervention of another healthcare professional in the relationship between a patient and the physician improves BP control primarily through a reduction in inertia rather than through other mechanisms (12, 39). The difference in the components of interprofessional interventions also explains the heterogeneity of the effect size in studies having assessed such interventions on BP control and highlight the importance to evaluating locally these types of complex interventions (8). Our study also underlines the complexities of conducting such team-based care approach in real care setting.

## CONCLUSION AND FURTHER PERSPECTIVE

While there was not significant effect on BP at 6-months of follow-up, our study shows that a TBC intervention could improve long-term systolic BP control among hypertensive patients in real-life conditions and hence supports interprofessional collaboration between nurses, community pharmacists and physicians to improve BP management in clinical practice, in line with recent North American (19) and European guidelines (ESC/ESH) (20).

Moreover, a team-based care practice or integrated care with, e.g., the support of digital solutions (telemonitoring, home blood pressure monitoring, or electronic health record) may also help manage hypertension by facilitating the exchange of information among the different healthcare professionals and by strengthening patient empowerment (40).

In conclusion, further studies are however still needed to evaluate, at large scale, how to implement efficiently this TBC model, for example by economic analyses, integrating cost and time estimations to provide the TBC intervention (41). These studies may offer policymakers additional compelling arguments and open good perspectives for an extensive implementation of the TBC model.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author/s.

## ETHICS STATEMENT

This multicentric study was approved by the lead Ethics Committee (CER-VD: Cantonal Ethics Committee of Vaud on Research involving humans, ref. number 449/13), and by the local Ethics Committee (CCER: Cantonal Research Ethics Committee of Geneva, ref. number 15/281). The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

VS, GW, AC, and MB designed and planned the study and accounting for substantial suggestions of LC, PS, and GP and contributed to the development of the training workshop. BP and AC conducted data analyses. VS, GW, and AC directed all aspects of study design and implementation. GW, PS, and MB fostered the clinic participation. VS, AC, and BP drafted the manuscript for publication and all co-authors made substantial contributions. All authors reviewed and approved the final manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcvm. 2021.760662/full\#supplementary-material

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# Insulin Resistance and Vitamin D Deficiency: A Link Beyond the Appearances 

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Vitamin $D$ is a steroid hormone that plays a key role in the regulation of body homeostasis, including cardiovascular function. Although the chronic deficiency of vitamin D is associated with cardiovascular risk factors, as well as with an adverse prognosis, randomized controlled trials have failed in demonstrating that dietary vitamin D supplementation could ameliorate the prognosis of patients with cardiovascular diseases, and suggested that vitamin D deficiency is the expression of the effects of other determinants of cardiovascular risk. Thus, the supplementation of vitamin $D$ is not sufficient to improve the cardiovascular risk profile and prognosis. Insulin resistance is a complex phenomenon that plays a key role in the pathogenesis of conventional cardiovascular risk factors. Interestingly, defects of vitamin D and insulin resistance have a superimposable epidemiological distribution. According to the common view, Insulin resistance is considered the direct or indirect consequence of vitamin D deficiency. However, it is also reasonable to speculate that the deficit or the impaired action of vitamin D, in some circumstances, could be the result of the same pathogenic mechanisms responsible of insulin resistance development. In this case, vitamin D deficiency could be considered an epiphenomenon of insulin resistance. Insulin resistance is a reversible condition, being possibly ameliorated by physical activity and hypocaloric diets. Notably, both physical exercise and energy-restricted dietary regimens are associated with an increase of vitamin D levels. These findings indicate that improving insulin resistance condition is a necessary step to ameliorate vitamin D supplementation-based strategies in cardiovascular prevention.

Keywords: type 2 diabetes, metabolic syndrome, arterial hypertension, physical exercise, cardiovascular risk, cardiovascular prevention

## INTRODUCTION

One of the most controversial aspects of modern medical literature is represented by the role that vitamin D has in cardiovascular (CV) prevention. In fact, several epidemiological studies have reported that the deficiency of vitamin D is associated with conventional CV risk factors, as well as with a high rate of major CV events, and with adverse CV prognosis (1,2). On the other hand, observational studies, randomized controlled trials (RCT), and meta-analyses of RCT have failed
to demonstrate that dietary vitamin D supplementation is able to ameliorate the prognosis of CV diseases (3-5). Several study limitations can account for these conflicting results. In particular, in the majority of trials the value of vitamin D was detected in basal conditions, whereas was not measured at the end of treatment. Furthermore, in diverse studies, different doses and preparations of vitamin D supplements were used and supplement duration was heterogeneous. Finally, the differences in the designs, in the sample size, in the clinical characteristics of the patients enrolled in trials further contributed to generate inconsistent results. However, it is also reasonable to speculate that vitamin D deficiency, rather than being an independent risk factor, could be the expression of the effects of other determinants of CV risk, compromising the availability and/or the biological activity of the vitamin. In general, it is possible to assert that deficiency of vitamin D is a hallmark of poor healthy condition (6). If this is the case, the supplementation of vitamin D is necessary, but not sufficient to ameliorate CV risk profile and prognosis. Thus, the identification and the correction of concomitant pathogenic mechanisms that impair vitamin D action is required to improve vitamin D-based strategies in CV prevention.

Experimental and clinical studies have clearly documented a close relationship between vitamin D deficiency and insulin resistance (IR). IR is a complex phenomenon that plays a key role in the pathogenesis of conventional CV risk factors such as obesity, metabolic syndrome (MS), arterial hypertension, type 2 diabetes (T2D), non-alcoholic fatty liver disease (NAFLD) (7-10). Moreover, IR is involved in the development of asymptomatic organ damage such as left ventricular hypertrophy (LVH), atherosclerosis, and chronic kidney diseases (CKD) (1113) and in the determinism of CV outcome (14-16). IR is due to an insulin receptor or post-receptor defect, that compromises the hormonal signal transduction mechanisms (17). Notably, insulin receptor is ubiquitously expressed, and insulin exerts not only metabolic effects, but regulates also different biological functions such as cell cycle, neuro-hormonal homeostasis, vascular reactivity, platelet aggregation, ion exchanges and transport (17-19). In addition, IR phenomenon can be organ and/or tissue specific. Therefore, IR, rather than being viewed as a merely metabolic disorder, should be considered as a cluster of abnormalities that impairs several physiological functions.

Vitamin D is a steroid hormone that exerts its effects through vitamin D receptors (VDRs), belonging to the steroid/thyroid receptor family. Like insulin receptors, VDRs are ubiquitously expressed. The binding of the vitamin to its receptor, promotes the translocation of the complex from cytosol into the cellular nucleus, where it interacts with the retinoid x receptors (RXRs). In the nucleus, the heterodimers VDR/RXR bind to the vitamin D response element (VDRE), that, in turn, modulates transcriptional activities of the target genes $(20,21)$. More than 200 genes (almost $3 \%$ of human genome) are upor down-regulated by vitamin D (22). Actually, vitamin D modulates not only bone metabolism and mineral homeostasis, but also cell cycle, cell proliferation and cell adhesion, immune and inflammatory responses, neuro-hormonal activity, matrix homeostasis, redox status, etc. In addition, VDRs are also
expressed on cells membrane. When the ligand binds to VDR on the cell surface, it promotes the activation of several intracellular second messengers, controlling the activity of different kinases such as PKA, PKB, MAPK, etc. These molecular pathways mediate the non-genomic effects of vitamin D (23). Definitely, vitamin D, similarly to insulin, can be considered a pleiotropic hormone.

Observational studies have documented that both IR and the deficit of vitamin D are features of similar metabolic and CV disorders $(24,25)$. In the past years, IR has been considered a direct or indirect consequence of vitamin D deficiency. However, it is also reasonable to speculate that the deficit or the impaired action of vitamin D , in some circumstances, could be the result of the same pathogenic mechanisms responsible for IR development. If it is the case, vitamin D deficiency could be considered an epiphenomenon of IR. Thus, dietary vitamin supplementation alone could result ineffective in CV prevention, if not associated with interventions aimed at restoring insulin sensitivity, or, at least, at ameliorating IR condition.

The aim of this review is A) to outline the principal actions of vitamin D on CV system; B) to summarize the most significant clinical findings regarding the link between the deficit of vitamin D and CV risk; C) to report the principal pathophysiological mechanisms that can account for vitamin D deficiency as consequence of IR; and D) to consider the implication of this association in order to improve vitamin D-based strategies in CV prevention.

## VITAMIN D AND CV HOMEOSTASIS

Experimental data indicate that vitamin D plays a key role in the regulation of CV homeostasis (26). In particular, vitamin D exerts cardio- and vasculo-protective effects, as well as, anti-atherogenic and anti-inflammatory actions. The principal effects of vitamin D in the regulation of CV homeostasis are summarized in Figure 1.

Dysregulation of the renin-angiotensin-aldosterone system (RAAS) plays a pivotal role in the pathogenesis of hypertension, hypertension-induced target organ damage (TOD), CV events, and heat failure (HF) (27, 28). Experimental studies have clearly demonstrated that vitamin D negatively regulates RAAS activity. In particular, in VDR knockout transgenic mice, the different components of RAAS resulted to be upregulated in comparison with wild type control mice. In addition, the cardiac phenotype of these mice was characterized by arterial hypertension and cardiac hypertrophy. These abnormalities were rescued by the administration of vitamin $D$ (29).

The capability of vitamin D to counteract RAAS activity, development of hypertension, and cardiac damage has been confirmed in different experimental studies (30-32). The expression of VDR on cardiac myocytes and fibroblasts suggests that vitamin D plays a relevant role in the regulation of cardiac growth, in physiological as well as in pathological conditions; interestingly, this action results to be independent from hemodynamic forces and neuro-hormonal stimulation. In particular, vitamin $D$ has a protective effect against the development of maladaptive cardiac hypertrophy. Transgenic


FIGURE 1 | Principal biological effects of vitamin D on cardiovascular system. NO, Nitric oxide; VSMC, vascular smooth muscle cells; VEGF, Vascular endothelial growth factor; CRP, C-reactive protein; ROS, Reactive oxygen species; LVH, Left ventricular hypertrophy; ANP, atrial natriuretic peptide; MMP, Matrix metalloproteinases; RAAS, Renin-angiotensin-aldosterone system.
mice with targeted cardiomyocytes knockout of VDR show, at baseline and after a 7-day infusion of isoproterenol, a greater myocyte size and left ventricular weight/body weight ratio compared with wild type control mice (33). Similarly, the knockout of the gene encoding for $1 \alpha$-hydroxylase, the enzyme that catalyzes synthesis of the active form of vitamin D, generates a phenotype characterized by enhanced activity of RAAS (21). The cardioprotective effects of vitamin D have been demonstrated also in more complex experimental settings. For instance, in a murine model of left ventricular pressure overload induced by transverse aortic constriction, treatment with paricalcitol, a selective agonist of VDR, was documented to prevent the development of left ventricular hypertrophy. This response was associated with the reduction of cardiac fibrosis and the preservation of indexes of left ventricular contraction and relaxation (34). Furthermore, in similar experimental settings, paricalcitol was demonstrated to be able to prevent HF worsening and to ameliorate adverse electrophysiological and $\mathrm{Ca}^{++}$handling remodeling, resulting in a reduction of HFinduced arrythmias (35). Noteworthy, vitamin D also exerts a favorable action on both cardiac contractility and relaxation, independently from its anti-hypertrophic action (36-38).

Heart and vasculature represent, at the same time, the sources and target organs of vitamin D. In fact, 1- $\alpha$-hydroxylase is expressed in cardiac myocytes and in endothelial and smooth muscle cells (SMC) $(39,40)$. In this context, the autocrine/paracrine activity of vitamin D is extremely relevant. For instance, in mice the selective knock-out of the gene encoding for VDR in the endothelium impairs acetylcholine-induced aortic
relaxation, as well as enhances the vasopressor response to angiotensin II, suggesting a mechanistic role of vitamin D in blood pressure (BP) homeostasis and endothelial cell function (41). At a vascular level, the principal effect of vitamin D is an antioxidant action, by superoxide dismutase stimulation (22), counteracting the activity of nicotinamide adenine dinucleotide phosphate (NADPH) oxidase, that promotes the synthesis of reactive oxygen species (ROS). As a consequence of its antioxidant action (42, 43), vitamin D exerts beneficial effects on endothelial function $(22,44)$, platelet aggregation $(45,46)$, vascular inflammation (47, 48), thrombogenesis (49, 50), and vascular resistances and remodeling (51-53). Of note, vitamin D plays also a role in the regulation of angiogenesis and vascular repair by the synthesis of vascular endothelial growth factor (VEGF) and cell-derived factor 1 (SDF-1), respectively (54, 55). In addition, vitamin $D$ by the inhibition of macrophages transformation in foam cells antagonizes the development of atherosclerosis (56).

Altogether these experimental data clearly demonstrate the key role of vitamin D in the regulation of CV homeostasis. Therefore, the long-term deficit of vitamin D could be relevant for the pathogenesis of the continuum of CV disease.

## DEFICIT OF VITAMIN D AND CV RISK

Vitamin D deficiency is a worldwide recognized condition. It has been estimated that, in western countries, from one-third to onehalf of adult population is affected by mild to moderate vitamin D deficiency (57). It is noteworthy that this defect shares with

IR an identical epidemiological distribution. In addition, large cross-sectional and prospective studies have reported an inverse relationship between vitamin D levels and prevalence of CV risk factors and events.

## DEFICIT OF VITAMIN D AND CV RISK FACTORS

Diabetes, Metabolic Syndrome, and Obesity

A close relationship was identified between the deficit of vitamin D and T2D. In particular, vitamin D deficiency and severe deficiency are detectable in the 91 and $32 \%$ of patients with T2D, respectively (58). In addition, several prospective studies demonstrated that a lower vitamin D status was associated with a higher risk of incidence of T2D. The analysis of two cohorts, the Finnish Mobile Clinic Health Examination Survey and the MiniFinland Health Survey, including individuals free from diabetes with a follow-up ranging from 17 to 22 years carried out in 1973-1976 and in 1978-1980, respectively, demonstrated that individuals in the highest quartile of serum vitamin D had an $82 \%$ lower risk to develop T2D compared with those in the lowest quartile after adjusting for BMI, physical activity, smoking status and education, and thus suggesting that vitamin D may exert a protective effect against incident T2D (59). Similar results were obtained by the analysis of the Nurses' Health Study (60), and the Framingham Offspring Study (61). The role of vitamin D status in the development and progression of T2D has been analyzed by a meta-analysis that evaluated 21 prospective studies, involving 76,220 participants. This analysis demonstrated an inverse and significant association between serum levels of vitamin D and risk of T2D occurrence. In particular, it was documented that each $10 \mathrm{nmol} / \mathrm{L}$ increase of vitamin D levels was associated with a $4 \%$ lower risk of T2D (62). MS is a cluster of CV risk factors and can be considered a typical feature of IR. Consistently with what affirmed for T2D, low vitamin D status is associated with a higher risk to develop MS. A meta-analysis, aimed at analyzing the risk of developing cardiometabolic disorders by the evaluation of vitamin D serum levels, documented a $51 \%$ reduction in risk of MS development for individuals with higher serum concentrations of vitamin (63). Deficiency of vitamin D was also found to be associated with obesity. However, this association was documented by meta-analyses that mainly included crosssectional and not prospective studies (64).

## Essential Hypertension

Several cross-sectional and longitudinal studies support the notion that vitamin D deficiency is associated with essential hypertension (65). The third national Health and Nutrition Examination Survey (NHANES III), a large cross-sectional study, performed from 1988 to 1994 that analyzed 12,664 individuals representative of the US population, demonstrated an inverse relationship between vitamin D levels and BP values. In particular, SBP was 3 mmHg lower in the group in the highest vitamin D quartile in comparison with the subjects in the lowest quartile (66). A post-hoc analysis of the NHANES III showed
that high levels of vitamin D (> $32 \mathrm{ng} / \mathrm{ml}$ ) decreased by $20 \%$ the age-induced increase in systolic BP (67). The association between deficiency of vitamin D and incident hypertension was demonstrated in a longitudinal study by the Health Professional Follow-up and the Nurses' Health Study (68) and by the Finnish study (69).

A meta-analysis revising the results of 14 cross-sectional and four longitudinal studies published between 2005 and 2010, including 78,028 individuals, reported an inverse relationship between vitamin D levels and BP. In particular, a decrease of $16 \mathrm{ng} / \mathrm{ml}$ in vitamin levels was demonstrated to be associated with an enhanced risk of hypertension by $16 \%$ (70). A further metaanalysis performed only on prospective studies, demonstrated that subjects within the top third of baseline vitamin D levels had a 30\% lower probability to develop hypertension compared to the bottom third. In particular, the risk to develop hypertension per increment of $10 \mathrm{mg} / \mathrm{ml}$ in basal vitamin D levels was 0.88 (71). These results were consistent with the data of a meta-analysis published by Pittas et al. that documented a risk of $80 \%$ to develop hypertension in individuals with low serum levels of vitamin D (72). In general, an inverse relationship between vitamin $D$ status and incidence of hypertension has been described.

## Dyslipidemias and Hyperuricemia

There are less and conflicting results about the link between vitamin D status and dyslipidemias. However, vitamin D deficiency was documented to be associated with a worse lipid profile. In a meta-analysis that evaluated 22 crosssectional studies and 10 RCT , a positive relationship was found between high-density lipoprotein cholesterol (HDL-C), lowdensity lipoprotein cholesterol (LDL-C) and serum levels of vitamin D. However, the ratio between LDL-C or total cholesterol and HDL-C resulted to be beneficial. In addition, an inverse relationship was found between vitamin D and triglycerides (73). Hyperuricemia has been identified as an independent CV risk factor and often represents a feature of MS. Interestingly, an inverse association between vitamin D status and uric acid levels has been reported. The analysis of the National Health and Nutrition Examination Survey (NHANES) 20072014, that included 18.596 individuals, documented that the lowest quartile of vitamin $D$ levels had significative higher risk of hyperuricemia in comparison with the highest quartile (74). These data were consistent with studies on different cohorts and meta-analyses (75-77).

## DEFICIT OF VITAMIN D AND TARGET ORGAN DAMAGE

Atherosclerosis, LVH, CKD are the principal clinical manifestations of TODs detectable in T2D, hypertension, MS, obesity, etc (78-80). The presence of TOD independently accounts for increased CV risk $(81,82)$. Experimental and epidemiological data have been depicted a direct association between vitamin D deficiency and occurrence of TODs.

## Atherosclerosis

Atherosclerosis can be considered the paradigm of TODs and is the result of the cross-talk between genetic and environmental factors. Experimental data indicate an association between deficiency of vitamin D and atherosclerosis, and its clinical consequences. Indeed, miniature swine fed with vitamin Ddeficient diet for 1 year showed a rapid progression of coronary artery disease by a NFkB-dependent mechanism (83).

Endothelial dysfunction represents the first step of atherosclerotic process. Arterial stiffness is a surrogate marker of endothelial dysfunction (84). There is clear evidence that vitamin D status is inversely associated with impaired arterial stiffness. In fact, in a cross-sectional study that recruited 554 healthy individuals an inverse association between the vitamin D levels and the arterial stiffness was found (85). These data were confirmed in different studies that analyzed different cohorts of subjects. In particular, in a cross-sectional study that recruited 150 postmenopausal women with deficit of vitamin D ( $<30 \mathrm{ng} / \mathrm{ml}$ ) an inverse relationship between vitamin D levels and aortic wave velocity was detected, the latter representing an index of aortic stiffness (86). Similarly, in 305 diabetic patients ( 131 male, and 174 female), enrolled in a cross-sectional study, the association between low levels of vitamin D and increased arterial stiffness was confirmed (87). Consistently, in 52 subjects with uncomplicated end-stage of renal disease (ESRD) a negative correlation was detected between vitamin D status and aortic wave velocity (88). Altogether, these results clearly indicate that vitamin D deficiency is already detectable in the initial phases of the atherosclerotic process.

In addition, epidemiological studies have demonstrated an association between low levels of vitamin D and atherosclerosis in the general population. At this regard, the National Health and Nutrition Examination Survey 2001-2004 evaluated the association between serum levels of vitamin $D$ and prevalence of peripheral artery disease (PAD) in the general US community. PAD was defined by the ankle-brachial index (ABI) $<0.9$ and the study cohort was categorized according to vitamin D quartiles. The analysis, including 4,839 individuals, documented that low levels of vitamin D were associated with PAD (89). These results were confirmed by the ARIC study. This was a prospective study aimed at identifying the causes of atherosclerosis. The study cohort consisted of 11,789 individuals that were followed-up for 17.1 years. The study population was categorized in three groups according to vitamin D levels: deficient ( $<20 \mathrm{ng} / \mathrm{ml}$ ), insufficient ( 20 to $30 \mathrm{ng} / \mathrm{ml}$ ) or sufficient ( $\geq 30 \mathrm{ng} / \mathrm{ml}$ ). A Cox regression analysis showed that individuals with deficient values of vitamin D had a higher risk to develop PAD (90). In addition, the association between vitamin D status and atherosclerosis has been reported even in patients with T2D. A cross-sectional study that analyzed 1,018 patients with T2DM documented that PAD gradually increased from patients with the highest to the lowest levels of serum vitamin D. Interestingly, this association remained statistically significant even after adjusting for diabetesinduced risk factors for PAD (91). Similar results were reported for patients with CKD. In fact, in non-dialysis patients with CKD vitamin D deficiency was associated with abnormal ABI. Even in this case the association between PAD and vitamin D
status resulted to be independent from CKD-related CV risk factors (92).

Definitely, the strong association between vitamin D deficiency and PAD was documented also by different metaanalyses that revised both prospective and cross-sectional studies $(93,94)$.

## Left Ventricular Hypertrophy

LVH is an independent risk factor for CV events (95). For many years, the development of LVH has been viewed as an adaptive response of the left ventricle to pressure or volume overload and was considered a typical manifestation of TOD in hypertension and aortic valve stenosis, or renal failure. Nowadays, experimental evidence indicates that LVH development is a complex and multifaceted process that involves not only mechanical forces but also genetic background, neuro-hormonal stimulation, metabolic and anthropomorphic abnormalities, inflammatory response, oxidative stress (96-98). Clinical data are consistent with this notion. In particular, IRrelated metabolic and anthropomorphic alterations seem to play a key role in the development of LVH. For instance, it has been documented that low levels of HDL-C are independent determinants of LVH in untreated patients with hypertension (99). Moreover, it has been reported that insulin and insulinlike growth factor 1 (IGF-1) are independent predictors of LVH in patients with hypertension (11). Several reports documented the association of low levels of vitamin D in patients with LVH in different pathological conditions such as hypertension (100-102), aortic stenosis (103) and CKD (104, 105). The contribution of vitamin D deficiency in pathogenesis of LVH is also corroborated by the evidence that the morphology of the left ventricle was preserved in healthy individuals of the Baltimore Longitudinal Study of Aging having normal levels of vitamin D, while the risk of concentric left ventricular remodeling was higher in those with lower vitamin D concentration (106). To note, hyperuricemia, being associated with poor vitamin D status, was found to be a determinant of LVH in subjects with arterial hypertension (107), supporting the notion that vitamin D status plays a leading role in the pathogenesis of CV risk.

## Chronic Kidney Disease

CKD and microalbuminuria are very common features of TODs in hypertension, T2D and MS, and at the same time, are independent determinants of poor outcome in patients with CV diseases $(108,109)$. Since the kidney is the principal source of the active form of vitamin D, CKD is associated with a severe reduction of the biological activity of the vitamin, without any possibility to regulate its synthesis. In patients with ESRD it has been documented that supplementation of the active form of vitamin D improves survival (110). This result has been confirmed also in patients with CKD not yet treated with hemodialysis (111). Moreover, a strong association between early stages of renal damage and deficiency of vitamin $D$ has been reported. In particular, microalbuminuria and deficit of vitamin D was found in newly diagnosed hypertensive patients (112) and in patients with T2D (113, 114). Interestingly, in patients with T2D, VDR was found to be downregulated and
this phenomenon was independently associated with the severity of albuminuria (114). This phenomenon suggests that further pathogenic mechanisms contribute to impair vitamin D action in presence of CKD.

## DEFICIT OF VITAMIN D AND CV EVENTS

There are several large prospective cohort studies that support the notion that the deficit of vitamin D is associated with increased incidence of CV events. The Framingham Offspring Study showed that severe deficiency of vitamin D , during a follow-up period of 7 years (mean 5,4 ), was associated with an increase by $62 \%$ of incident major CV events, especially in subjects with essential hypertension. This high risk remained unchanged even after adjusting for several confounding factors (115). Similar data were found in the Health Professionals Follow-up Study, reporting a 2 fold increased risk of myocardial infarction in subjects free of CV diseases and with concomitant severe deficit of vitamin D (116), and in the cohort of the Intermountain Healthcare System (1). These data were confirmed in different ethnicities, independently on the distance from the equator and sunlight exposure $(117,118)$. The association between vitamin D deficiency and the enhanced risk of coronary artery disease and myocardial infarction has been also assessed in a meta-analysis that evaluated 18 prospective studies including a total of 82,982 individuals. This analysis showed that the risk of ischemic heart disease was increased by $39 \%$ in the lowest vs. the highest quartile of serum vitamin D levels (119). The deficiency of vitamin D has been also associated with enhanced risk of cerebrovascular events and stroke. The analysis of the Mini-Finland Health Survey Cohort, including 6,219 individuals free from CV diseases at baseline, showed that the lowest quintile of serum levels of vitamin D was predictive of cerebrovascular events (120). This observation was confirmed by several meta-analyses $(4,121)$.

## DEFICIT OF VITAMIN D AND HEART FAILURE AND MORTALITY

There are incontrovertible data showing that vitamin D deficiency is also associated with poor CV prognosis. In particular, cross-sectional and prospective studies have documented that vitamin deficiency is associated with HF and CV death. The community-based prospective cohort of the Atherosclerosis Risk in Community (ARIC) study showed that the low serum levels of vitamin D ( $<20 \mathrm{ng} / \mathrm{ml}$ ) were independently associated with a higher risk to develop HF in 12,215 subjects with a median follow-up of 18 years. In particular, after adjusting for conventional risk factors, the white but not the black individuals within the lowest quintile of vitamin D serum levels had a $27 \%$ increased risk of incident HF (122). These data are consistent with those obtained in other study cohorts (123). In this regard, the deficiency of vitamin $D(\leq 30 \mathrm{ng} / \mathrm{ml})$ was found in the $89 \%$ of patients with HF and concomitant ischemic heart disease (124).

Several studies have also documented that the deficiency of vitamin D is associated with enhanced mortality. The analysis

TABLE 1 | Principal causes of vitamin D deficiency.
Reduced UVB exposure (sunscreen use, winter season, cover-up clothing, air pollution)

## Aging*

Low food intake of the vitamin
Gastrointestinal malabsorption
Obesity*
Dark skin pigmentation
Smoking
Sedentary behavior*
Renal diseases*
Liver diseases*
Altitude
Distance from the Equator
*Conditions associated with insulin resistance.
of the Health Maintenance Organization in Israel showed that the deficiency of vitamin D is a significant predictor of reduced survival in patients with HF (125). These results were consistent with data recorded in different study cohorts. In particular, the NHANES III study demonstrated in a cohort of 13,331 individuals followed-up for a median of 8.7 years that the mortality increased by $26 \%$ in the lowest quartile of vitamin D ( $<17.8 \mathrm{ng} / \mathrm{ml}$ ) in comparison with the highest quartile (89). In addition, vitamin $D$ deficiency was found to be associated with an increased risk of sudden death. A post-hoc analysis of the cohort of the Cardiovascular Health Study, including 2,312 participants who were free of clinical CV disease at baseline and were followed-up for a median of 14 years, documented that low levels of vitamin D were associated with a 2-fold increased risk of sudden cardiac death (126). These data were also documented in patients with coronary artery disease and with end-stage of CKD $(127,128)$.

Definitely, cross-sectional and prospective studies clearly indicate that vitamin D deficiency (below $20 \mathrm{ng} / \mathrm{ml}$ ) is associated with all clinical manifestations of the continuum of CV disease, from the incidence of CV risk factors to the occurrence of major CV events. The evidence that dietary vitamin D supplementation have failed to improve the CV risk profile and CV prognosis seems to support the concept that additional factors are possibly involved in this process.

## IR A DETERMINANT OF DEFICIT OF VITAMIN D

In the past decades the deficit of vitamin $D$ has been viewed merely as a nutritional defect, and dietary supplementation of the vitamin was used for the prevention and treatment of rickets in children, and osteoporosis and osteomalacia in adults. Nowadays, it is clear that the deficit of vitamin $D$ is a complex and multifaceted phenomenon with different clinical manifestations.

Epidemiological data indicate that chronic deficit of vitamin D parallels with the clinical manifestations of IR. In fact, in


FIGURE 2 | Pathogenic mechanisms that are responsible of insulin resistance-induced deficit of vitamin D. EC, Endothelial cells; SMC, smooth muscle cells; VDR, vitamin D receptor; UV, Ultraviolet radiations.

Western Countries, vitamin D deficiency is highly associated with aging, obesity, sedentary lifestyle, T2D, hypertension, liver and renal diseases, that are also clinical features of $\operatorname{IR}(24,25)$. The principal causes of the deficit of vitamin D are reported in Table 1. Of note, in many cases these conditions are associated with IR. These data support the notion that the pathogenic mechanisms responsible for IR development can also, at the same time, account for the deficit or impaired action of vitamin D. Interestingly, the available literature has been focused so far on testing the hypothesis that IR is the consequence of vitamin D deficiency (129-132); on the contrary, whether IR affects vitamin D homeostasis has been poorly investigated.

The majority of vitamin D is obtained from the skin as consequence to ultraviolet B radiations (UVB) exposure, while only $30 \%$ is derived from the diet (fatty fish, fish oil, tuna, sardines, egg yolks) (133). The first-rate limiting step in the synthesis of the active form of the vitamin is liver hydroxylation of vitamin D2 (ergocalciferol) or D3 (cholecalciferol) by mitochondrial and microsomal 25-hydroxylase in 25-hydroxy vitamin D $(25[\mathrm{OH}] \mathrm{D})$, which, in turn, is converted in the proximal convoluted tubule of the kidney by the 1 -alphahydroxylase in the active form of the vitamin: 1,25-dihydroxy vitamin $\mathrm{D}\left(1,25[\mathrm{OH}]_{2} \mathrm{D}\right)(134,135)$. Minor fonts of $1,25[\mathrm{OH}]_{2} \mathrm{D}$ are cardiac myocytes, vascular smooth muscle and endothelial cells (39, 40). The compromission of vitamin D action can be due to the impaired activity of cellular hydroxylases or to abnormalities in VDR.

IR can be defined as an impaired biological response to insulin, and it is the results of the combination of genetic abnormalities with environmental factors. The hypercaloric diet plays a key role into IR genesis, accounting for the pathogenesis of several diseases, such as obesity, MS, NAFLD, etc. In Wistar rats it has been reported that 6 months of hypercaloric diet induced IR and severe liver steatosis. This effect was associated with a decrease in serum levels of $25[\mathrm{OH}] \mathrm{D}$ by $24 \%$, despite an adequate dose of vitamin D was included into the chow. The supplement of vitamin D only in part restored the levels of $25[\mathrm{OH}] \mathrm{D}$ (136). These results allow the speculation that the condition of liver steatosis and IR interfere with the synthesis of vitamin D. There are several clinical evidence that documented reduced levels of vitamin D in subjects with liver steatosis or with NAFLD or with non-alcoholic steatohepatitis (NASH) (137-139). To note, in the past years the deficit of vitamin D was interpreted exclusively as a pathogenic mechanism of NALFD or NASH. However, it is also reasonable to evaluate the deficit of vitamin D as a consequence of different forms of liver diseases. This notion is corroborated by experimental and clinical evidence. In particular, it has been demonstrated that high fat diet-induced obesity decreased the hepatic gene expression of 25-hydroxylases in mice ( 140,141 ). Similar data were found in obese subjects, in whom the overweight determined a decreased expression of cytochrome P450 (CYP) 2R1, the main vitamin D 25-hydroxylase, while the weight loss, induced by gastric bypass, increased the expression of CYP 2R1 (142).

TABLE 2 | Vitamin D status classification.

| Serum vitamin D concentration (ng/ml) | Vitamin D status |
| :--- | :--- |
| $\leq 10$ | Severe deficiency |
| $10-20$ | Deficiency |
| $20-30$ | Insufficiency |
| $\geq 30$ | Adequate |
| $40-50$ | Optimal |
| $50-150$ | Undetermined data |
| $>150$ | Toxicity |

The final step of the synthesis of vitamin D is mediated by renal 1-alpha-hydroxylase, expressed in tubular epithelial cells. Experimental and clinical data indicate that chronic kidney disease decreases the levels of the active form of vitamin D by reducing the activity of 1 -alpha-hydroxylase $(143,144)$. There are also clear experimental data demonstrating that IR is able to reduce renal 1-alpha-hydroxylase activity. In fact, it has been reported in Wistar rats that IR, induced by 18 weeks of high fat diet, reduced the activity of 1 -alpha-hydroxylase (143). Similar results were obtained in experimental settings characterized by different model of IR, such as aging and obesity ( 145,146 ). Minor sources of vitamin D are the vessels and the heart. IR is also associated with low grade of vascular inflammation, that is responsible of endothelial dysfunction (147). Vitamin D deficiency was reported to be associated with endothelial function impairment as well as with elevated expression of inflammation mediators such as nuclear factor кВ (NFkB) and interleukin6 (IL-6) (85, 148). Although, the pathogenic mechanisms that account for this association were not deeply investigated yet, it is reasonable to speculate that inflammation-induced reduction of vascular 1- $\alpha$ hydroxylase activity may be the molecular mechanism that, in part, account for the link between vitamin D insufficiency and impairment of vascular function.

IR can also interfere with VDR gene expression. Indeed, in subjects with MS and T2D a downregulation of VDR gene expression was described $(114,149)$. The role of IR in the regulation of gene expression has been corroborated by the evidence that in obese, as well as in lean subjects, the independent predictors of gene expression of VDR in sub-cutaneous fat resulted to be body mass index (BMI) and homeostasis model of assessment for insulin resistance (HOMA-IR) (150).

Altogether these results allow to argue that IR rather than being the consequence, can also play a role as pathogenic determinant of vitamin $D$ deficiency (Figure 2). If this is the case, dietary vitamin D supplementation without any additional intervention aimed at improving insulin sensitivity would be completely ineffective in CV prevention.

## IMPROVEMENT OF THE VITAMIN D-BASED STRATEGIES IN CV PREVENTION

In clinical practice vitamin D status is defined by the serum levels of the $25[\mathrm{OH}] \mathrm{D}$, but not by the active metabolite $1,25[\mathrm{OH}]_{2} \mathrm{D}$ (Table 2). Noteworthy, the current classification
of vitamin D status is based exclusively on the consequences detected on bone metabolism. Thus, nowadays, the ranges of vitamin D required to achieve an adequate CV prevention are still undefined (151). Interestingly, subjects with CV risk factors and with CV diseases are not considered individuals at risk for vitamin D deficiency. Thus, the routine assessment of vitamin D status is not indicated in these subjects (152).

So far, vitamin D supplementation has been viewed as the only treatment option in individuals with vitamin deficiency, and a range of $30-35 \mathrm{ng} / \mathrm{ml}$ was proposed to obtain a satisfactory CV prevention (153). However, with the exception of the beneficial effects of supplement of the active form of vitamin D in patients with ESRD, all RCT have failed to demonstrate favorable effects of vitamin supplement on CV outcome (154, 155). On the contrary, there are clear evidence that correction of IR status by both pharmacological and non-pharmacological interventions favorably affects CV risk and prognosis (156-158). Thus, the burning question is whether the improvement of insulin sensitivity contributes to ameliorate the effects of vitamin D supplementation in CV prevention and outcome.

IR and deficiency of vitamin D, with few exceptions, are reversible conditions. In Western countries, physical inactivity and hypercaloric food intake are endemic behaviors. There is clear evidence that exercise training ameliorates insulin sensitivity (159, 160). Notably, physical exercise is associated with an increase of vitamin D levels, independently from sun exposure. In particular, the Third National Health and Nutrition Examination Survey (1988-1994) showed that in old individuals, the frequency of leisure-time physical activity was associated with levels of vitamin D detected in young subjects (161). These data were confirmed by further surveys (2007-2012) (162). Further studies demonstrated the positive effect of physical activity of vitamin D status in different cohorts. The ARIC study demonstrated that in whites but not in black individuals a linear relationship between physical activity and vitamin D levels was described (163).

Although a possible mechanism of exercise-evoked improvement of vitamin D status can be identified in the release of the vitamin from the adipose tissue deposits (164), it is also reasonable to speculate that the improvement of insulin sensitivity can account for this effect. In fact, in an experimental model of T2D, exercise training (swimming) was demonstrated to ameliorate HOMA-IR and metabolic profile, paralleling an improvement of vitamin D status and VDRs expression in skeletal muscle, pancreas and adipose tissue (165).

Similar effects on IR have been also described for dietary habits. The energy-restricted dietary regimens such as the Mediterranean diet, the Dietary Approaches to Stop Hypertension (DASH) diet, the plant-based diets were reported to ameliorate insulin sensitivity and reduce the incidence of T2D (166). In particular, in a post-hoc analysis of the Insulin Resistance Atherosclerosis Study cohort, an inverse association between the adherence to the DASH diet and the risk of T2D has been reported (167). Similar results were documented for MS; in this case, the adherence to the DASH diet was associated with a $64 \%$ lower risk to develop MS in healthy children and adolescents (168). For the plant-based diets indirect and weaker


FIGURE 3 | Inverse relationship between insulin resistance and vitamin D status in the continuum of cardiovascular disease. MS, Metabolic syndrome; NAFLD, Non-alcoholic fatty liver disease; T2D, Type 2 diabetes; HF, Heart failure.


FIGURE 4 | Usefulness of enhanced insulin sensitivity to improve the vitamin d-based strategies in cardiovascular prevention. According our point of view, the supplementation of vitamin $D$ alone is not capable to reduce cardiovascular risk. Since insulin resistance also accounts for the development of vitamin $D$ deficiency, it is necessary to enhance insulin sensitivity in order to improve vitamin D-based strategies in cardiovascular protection. The dot line represents the ideal threshold for the achievement of benefits in terms of cardiovascular prevention.
evidence was reported about the beneficial effects on IR (169). More interestingly, it has been described that the Mediterranean diet can reduce indexes of IR, such as HOMA index (170). In addition, in a cross-sectional study the high adherence to the Mediterranean diet was associated with high serum levels of vitamin D ; this association was independent on BMI, waist circumference, physical activity, season and skin pigmentation (171). Similar results were reported in different cohorts of individuals $(172,173)$, suggesting that the enhancement of insulin sensitivity parallels with the improvement of vitamin D status.

Definitely, there is an inverse relationship between IR and vitamin D status that account for increased CV risk (Figure 3). Although few data are available, they support the notion that IR correction is necessary in order to optimize the beneficial effects
of vitamin D supplementation in CV prevention (Figure 4). CRTs are needed in the next future to clarify this specific issue.

## AUTHOR CONTRIBUTIONS

VT, ML, and CMo had the idea for this article. RI, MM, CMa, and TS performed the literature search. AF, MM, IF, TS, CMo, and ML drafted the manuscript. EP and EB critically revised the work. All authors contributed to the article and approved the submitted version.

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# Hypertension in Women 

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Hypertension is one of the main causes of morbidity and mortality in the human population. Nevertheless, the intricate network of pathophysiological mechanisms that lead to the development of hypertension in women still awaits to be fully understood. From young age to maturity and senescence, the female body transits through different stages, each of them characterized with specific physiological features and disposition to particular pathological conditions, and that is exactly what makes the understanding of the genesis and adequate treatment of hypertension in women so challenging. Clinical and experimental findings emphasize the role of sex hormones, autonomic nervous system, renin-angiotensin-aldosterone system and arterial stiffness in the development of chronically elevated blood pressure in females. The purpose of this review is to briefly summarize the knowledge of the mechanisms and treatment of hypertension in women.

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## INTRODUCTION

Hypertension is a major risk factor for cardiovascular events and one of the leading causes of morbidity and mortality worldwide. It is known that adult women in the reproductive period have slightly lower blood pressure than men of the same age. Many clinical studies pointed out that men have a higher prevalence of hypertension than premenopausal women, but postmenopausal women with hypertension are more susceptible to development of isolated systolic hypertension, with less efficient therapeutic response compared to the age-matched men (1). According to the National Health and Nutrition Examination Survey (NHANES) conducted on 9,623 participants of the U.S. adult population, the prevalence of hypertension among women older than 75 years was $78 \%$ (2). Also, it has been noticed that elderly women are under high cardiovascular event risk, probably due to impaired dipping pattern (3). Impaired dipping pattern is a predictor of cardiovascular events with prognostically unfavorable outcomes (4-7) and may be defined either as a decrease in blood pressure during sleep that exceeds $20 \%$ of blood pressure values measured during wake time, or increase in blood pressure during sleep. Hypertension that occurs in women seems to be more sensitive to salt loading and it is more frequently associated with the metabolic syndrome than it is in men. These specific features of hypertension in women that were somewhat overlooked in the past might lead to the diminished responsiveness to the existing antihypertensive therapy $(8,9)$. Also, it is worth mentioning that women, more likely than men, will develop side effects on antihypertensive drugs (9). All of this suggests that more comprehensive, sex-oriented approach to the treatment of hypertension should be considered in future $(9,10)$. This review will try to elucidate the mechanisms, outcome and treatment of hypertension in women.

## PREMENOPAUSAL HYPERTENSION

Before reaching menopause at the age of 45 to 55 years, women have slightly lower blood pressure levels and also lower chance to develop hypertension than the age-matched men. A large body of evidence indicates that sex hormones are responsible for the sex differences in the regulation of blood pressure (11). It has been shown that sex hormones affect systems that are considered to play an important part in the development of hypertension, such as renin angiotensin aldosterone system, endothelin, nitric oxide (NO) system and immune system. Estrogen is believed to have beneficial effects on cardiovascular system and it possibly exerts its protective role in women in reproductive age through enhancement of NO-mediated vasodilatation and modulation of action of powerful vasoconstrictor endothelin-1 action (11). Expression of endothelin-1 receptor $\mathrm{ET}_{1 \mathrm{~A}}$ is significantly higher in hypertensive male rats than it is in the hypertensive females of the same age, and this difference in receptor density is assigned to the opposing effects of androgens and estrogen on endothelin receptor expression (11). In addition, the differences in endothelin- 1 levels were registered in human population, with men having higher levels of endothelin-1 than women (11). Also, brain estrogen has modulatory role in control of the cardiovascular system and this matter will be elaborated later in the text.

In general, causes, outcome and treatment of the primary (essential) hypertension do not differ significantly between young adult women and men of the same age. Although, some particular clinical features distinguish hypertension in premenopausal period, for instance increased cardiac index and left ventricular ejection time, higher values of resting heart rate, but decreased plasma renin levels, blood volume and total peripheral vascular resistance (12). In contrast to elderly women, who are predominantly diagnosed with essential hypertension, hypertension in premenopausal women is usually caused by another condition or a disease. Major causes of the secondary hypertension in younger women are obesity, polycystic ovarian syndrome, obstructive sleep apnea, coarcatation of aorta, Turner syndrome, autoimmune diseases, endocrine disorders (hyperaldosteronism, hypothyroidism, hyperthyroidism, hyperparathyroidism, pheochromocytoma, diabetes mellitus), kidney diseases and drug usage (e.g., corticosteroids, hormonal contraceptives, etc.) (13-15). Use of oral contraceptives has been linked to the higher incidence of hypertension in the population of women in the reproductive age $(16,17)$, and the existing evidence shows that estrogen component in particular leads to the activation of renin angiotensin aldosteron system, potentiates sodium retention and plasma volume expansion (18). Therefore, contraceptive pills containing only progestin or combined oral contraceptives comprising of drospirenone (progestin with antimineralcorticoid activity) and low dose of

[^1]the estradiol are recommended for women with the risk of developing hypertension $(18,19)$.

## POSTMENOPAUSAL HYPERTENSION

Changes in heart morphology, function and compliance of arterial blood vessels have all been recognized and described in postmenopausal hypertension. Postmenopausal women have higher incidence of left ventricular hypertrophy and they are at greater risk to developed diastolic dysfunction compared to young adult women (20). Groban et al. (21) showed that female Lewis rats that underwent bilateral ovariectomy developed progressive diastolic dysfunction. Mori et al. (22) reported that ovariectomized hypertensive rats had cardiac hypertrophy, myocardial fibroses and diastolic dysfunction, but $17 \beta$-estradiol replacement treatment prevented these changes. The isolated systolic hypertension in postmenopausal women is related to aortic stiffness, probably caused by smooth muscle cells proliferation, collagen accumulation and increased levels of vasoconstrictor molecules in the blood vessel wall due to the lack of estrogen protective effect (20). Maiello et al. (23) showed that global pulse wave velocity, a reliable indicator of aortic stiffness, is highly increased in postmenopausal women with hypertension.

## Role of Estrogen in Postmenopausal Hypertension

The complex regulatory pattern and multiple factors are associated with postmenopausal hypertension. Estrogen deprivation might be one of the leading causes of hypertension in postmenopausal women. Previously performed studies had shown that estradiol reduces blood pressure in rat animal models with genetic or induced hypertension (24). It has been reported that aged spontaneously hypertensive female rats, used as an animal model of postmenopausal hypertension, have reduced serum levels of estradiol and increased levels of androgens after cessation of regular cycling (25). Furthermore, mice treated with 4-vinylcyclohexene diepoxide (VCD), which causes ovarian failure, achieved increase in blood pressure compared to control animals and estradiol treatment had protective role on VCD mice (26). Epidemiological and experimental data imply that estrogen could cause vasodilatation due to effects on the renin angiotensin system, NO system, endothelin, and immune system $(8,27,28)$. The renin angiotensin aldosteron system is important for hydromineral balance and it has a well-established role in the genesis of hypertension. Estradiol increases angiotensinogen gene expression due to modulation of gene promoter, reduces gene expression of angiotensin $\mathrm{AT}_{1}$ receptors, suppresses angiotensin converting enzyme and plasma renin activity (3). Further, estradiol stimulates production of endothelial NO synthase that provides NO, important factor for vasodilatation. The lack of estradiol can also affect NO bioavailability due to reduced activity of superoxide dismutase (25,28). Best et al. (29) reported that treatment with $17 \beta$-estradiol reduced plasma nitric oxide and endothelin-1 in postmenopausal women. The elevated level of powerful vasoconstrictor agent endothelin is registered in postmenopausal women and postcycling spontaneously
hypertensive rats. It is found that estrogens inhibit endothelin synthesis and decrease endothelin $\mathrm{ET}_{1 \mathrm{~A}}$ receptors expression $(11,28)$. Noteworthy, preclinical studies showed that angiotensin II stimulates endothelin production, and postmenopause is characterized by enhanced activity of renin angiotensin system (28). Furthermore, the role of estrogen as immune system modulator could not be neglected in postmenopausal hypertension. Estrogen might have antiinflammatory role in immune response by affecting humoral immune system and T cellular immune system $(11,30)$.

## One Look at "Overlooked" Hormones: Progesterone and Androgens in Postmenopausal Hypertension

Menopause, as well as ovariectomy, does not result only in the loss of estrogen. Several studies have shown that precipitous decline in progesterone levels might be, at least in part, associated with the occurrence of arterial hypertension in postmenopausal women. Barbagallo et al. (31) demonstrated that progesterone acts as a vasoactive hormone, preventing noradrenaline-induced vasoconstriction by acting directly on vascular smooth muscle cells. These results further confirm previous in vitro findings of Jiang et al. (32) that revealed endothelium-independent vasodilatory effect of progesterone on rabbit coronary arteries. In a pilot study conducted in humans, natural progesterone lowered diastolic and mean blood pressure values in both men and postmenopausal women (33), while Seely et al. (34) noticed that intravaginal progesterone in combination with transdermal estrogen lowers night time blood pressure in healthy postmenopausal women. In postmenopausal women with arterial stiffness and grade 1 hypertension, introduction of progesterone to the ongoing estrogen-replacing treatment did not adversely affect positive cardiovascular effects achieved by estrogen (35). According to another study, micronized progesterone in combination with conjugated equine estrogen can induce even larger decrease in systolic blood pressure in hypertensive postmenopausal women than estrogen alone (36). At the first glance, these novel findings seem to contradict the results of studies published in the past (37) that offered evidence of dose-dependent hypertensive effect of progestogens in oral contraceptives. The reason for this discrepancy possibly lies in the fact that, unlike natural progesterone, synthetic progestins in oral contraceptives and hormone replacement therapy possess androgenic activity (38).

Androgens have been implied to participate in the development of cardiovascular disorders in postmenopausal women, although their exact role in the etiopathogenesis of postmenopausal hypertension still remains ambiguous. Given the fact that in the reproductive period men have higher risk of developing cardiovascular disorders in comparison to agematched women, it has been assumed that androgen steroids have detrimental impact on cardiovascular system (39). This assumption seemed to be further substantiated with the results showing that women with polycystic ovary syndrome, the condition characterized by increased level of plasma androgens, were found to be at greater risk of developing hypertension (14).

In a basic study conducted in normotensive rats of both sexes, daily administration of dihydrotestosterone (androgen steroid derived from testosterone) for 2 weeks induced significant increase in systolic blood pressure, possibly through mechanisms that promote the production of cytochrome P450-derived metabolites of arachidonic acid with potent vasoconstrictor properties (e.g., 20-Hydroxyeicosatetraenoic acid) (40). Androgen steroid propensity to increase blood pressure and potentiate adrenergic vasoconstriction of aorta was also noticed after addition of testosterone to the estrogen replacement therapy in ovariectomized spontaneously hypertensive female rats (41). Other mechanisms that might be involved in androgen-induced hypertension are stimulation of renin angiotensin system, vasoconstriction caused by potentiation of endothelin activity and oxidative stress $(25,28)$.

Nevertheless, there is a considerable amount of published data showing that it is not hyperandrogenemia, but androgen deficiency that is associated with the development of cardiovascular disorders (42-45). In the Rancho-Bernardo study, Laughlin et al. (46) showed that when compared to men with normal levels of testosterone, older men with low levels of total testosterone had $40 \%$ higher risk of death over the following 20 years, independently of age, body weight or life style. In postmenopausal women, low levels of dehydroepiandrosterone sulfate, androgen and precursor of steroid hormones, have been associated with higher cardiovascular mortality and with the increase in all-cause mortality $(45,47)$.

## Role of Autonomic Nervous System in Postmenopausal Hypertension

Development of postmenopausal hypertension may be linked to the age-related alterations in autonomic nervous system (48).

Sympathetic nerve activity (SNA), which can be regarded as an output of central sympathetic outflow, progressively increases with age, and in menopausal women, this increase in SNA appears to be significantly steeper than in men of the same age $(49,50)$. Denervation of renal sympathetic nerve in spontaneously hypertensive female rats reduced blood pressure more efficiently in old dams than in young counterparts (51). Barnes et al. (52) reported that ganglionic blockade caused a larger drop of blood pressure in postmenopausal women compared to young women. The greater vasoconstrictor response following the administration of noradrenaline accompanied with blunted beta receptor-mediated vasodilatory protective effect is also noticed in postmenopausal women when compared to young women (53).

There are two crucial changes in autonomic regulation that arise during menopause that are able to facilitate the development of hypertension-increase in central sympathetic outflow and enhancement of adrenergic sensitivity in peripheral blood vessels (48). Here, we will focus only on the changes in the central autonomic control in postmenopausal women.

The major centers in the brain that adjust sympathetic outflow to the periphery are hypothalamic paraventricular nucleus (PVN), nucleus tractus solitarius (NTS), rostral ventrolateral medulla (RVLM) and central ventrolateral medulla (CVLM)
(54, 55). Sympathetic tone is generated in RVLM which integrates inputs from other parts of the brain (PVN, NTS, CVLM), and from RVLM nerve impulses are transmitted to sympathetic preganglionic neurons in intermediolateral column (ILC) of the thoracolumbar part of the spinal cord. From ILC sympathetic nerves further transmit nerve impulses to effector organs (heart, kidney, adrenal gland and blood vessels) (55). Parasympathetic tone is generated in NTS. The afferent nerve fibers transmit nerve signals from baroreceptors in the carotid sinus and aortic arch to the NTS in brainstem. From NTS, these signals are further conveyed to the nucleus ambiguous and dorsal motor nucleus of vagus and via parasympathetic nerve fibers they reach the heart (55).

Estrogen receptors ( $\mathrm{ER} \alpha$ and $\mathrm{ER}_{\beta}$ ) are found in all of the aforementioned brain centers involved in the central control of cardiovascular system $(56,57)$ and according to the number of studies, their activation consequently leads to the sympathoinhibition.

In one of these studies (58), sympathoinhibitory effect of estrogen was demonstrated in the group of postmenopausal women, who experienced the decrease in muscle sympathetic activity after transdermal application of estrogen. Experiments in laboratory animals also suggest that estrogen wields regulatory influence on the autonomic nervous system during and after menopause. Intracerebroventricular administration of estrogen attenuated hypertension in female rats that were subjected to ovariectomy (56), while injections of estrogen into the NTS, RVLM, nucleus ambiguous and several other hindbrain nuclei, decreased mean blood pressure and renal sympathetic nerve activity and enhanced cardiac baroreflex in ovariectomized female rats (59). Similar effect on blood pressure was noticed after estrogen application into one of the major integrative autonomic centers - PVN. The activation of $\mathrm{ER}_{\beta}$ receptors in the PVN leads to decline in production of reactive oxygen species and activates the neural nitric oxide synthetase, promoting the production of nitric oxide (48, 56). Exciting results from the recent study (57) reveal that the administration of $E R_{\beta}$ receptor agonist in the PVN of perimenopausal female mice prevents the increase in blood pressure in the angiotensin II-induced neurogenic hypertension. The importance of $E R_{\beta}$ receptor signaling in the modulation of central autonomic control is further confirmed by the findings that demonstrate that estradiol inhibits pressor effects originating from RVLM via activation of $\mathrm{ER}_{\beta} / \mathrm{PI} 3 \mathrm{~K} /$ Akt signaling pathway (60).

## Role of Immune System in HypertensionSex Differences

Recent studies have shown possible role of immune system in the development of hypertension (61-63). It has been hypothesized that dysregulation of the T cells immune response may contribute to hypertension (64). Results obtained from both hypertensive animals and humans registered decline of blood pressure after administration of immunosuppressant mycphenolate mofetil, indicating the
possible link between inflammation and the development of hypertension (61, 62).

Studies on recombinant activating gene-1 defficient ( $\mathrm{Rag}^{-/-}$) mice that lack both T and B cells, showed that T cells in females have lower proinflammatory and prohypertensive potential in respect to T cells of males (62, 65). Furthermore, spontaneously hypertensive males have increased number of pro-inflammatory and pro-hypertensive T helper 17 (Th17) cells in kidneys, while increased count of T regulatory cells has been found in kidneys of female hypertensive rats (61). Abovementioned difference in T-cell subpopulations found in kidneys of female and male rats may be a consequence of different cytokine profile found between sexes. Tipton and Sullivan (61) reported elevated levels of transforming growth factor- $\beta$, tumor necrosis factor- $\alpha$, interleukin-10 in female spontaneously hypertensive rats, in contrast to higher levels of interleukin-6 and interleukin- 17 found in renal cells. Furthermore, different expression and activation of Toll-like receptors present on immune cells could be responsible for more pronounced proinflammatory response and the development of hypertension in males (63).

## PREGNANCY INDUCED HYPERTENSION

There are different types of pregnancy induced hypertension: preeclampsia, chronic hypertension with superimposed preeclampsia, gestational hypertension and chronic hypertension (66). Preeclampsia consists of hypertension that arises 20 weeks after gestation combined with proteinuria (66). Chronic hypertension with superimposed preeclampsia sublimes preexisting hypertension with other hallmarks of preeclampsia (66). Gestational hypertension develops 20 weeks after gestation and it is maintained only up to 12 weeks after parturition (66). Chronic hypertension may be defined as elevated blood pressure that appears before week 20 of gestation or as hypertension diagnosed for the first time 20 weeks after gestation and that continues to persists 12 weeks after the labor (66). Previous epidemiological studies showed that pregnancy-induced hypertension could cause consequences on either maternal or fetal health. During normal pregnancy mother's organism goes through numerous physiological changes necessary to adapt to the fetus. The cardiac output increases due to the increment in heart rate and stroke volume. The arterial blood pressure rises during pregnancy, but it decreases near the time of the term, ultimately reaching pregestational values. Due to diminished response of peripheral blood vessels to angiotensin II, peripheral vascular resistance decreases during the normal pregnancy. With the approaching labor, circulatory volume increases by $40 \%$ in order to provide proper fetal supply of oxygen and nutrients, and to prepare mother for blood loss during labor. Many of the hemodynamic adaptations during pregnancy may be assigned to relaxin. This polypeptide is synthetized by corpus luteum and it is mainly responsible for vascular remodeling and vasodilatation, which is predominantly achieved by the stimulation of NO system and alteration in the expression of endothelin $\mathrm{ET}_{1 \mathrm{~B}}$ receptors (67). Beside aforementioned hemodynamic changes,
pregnancy is also characterized by the increase in renal blood flow, glomerular filtration rate, activity of the renin angiotensin aldosterone system and enhanced activity of the sympathetic nervous system.

Preeclampsia is the major risk factor that can lead to the vast number of life threatening complications like preterm delivery, placental abruption, ischemic stroke, disseminated intravascular coagulation, renal failure, Elevated Liver Enzymes and Low Platelets syndrome (HELLP), fetal growth restriction and fetal intrauterine death (66). Although the exact mechanisms underlying the development of preeclampsia still need to be elucidated, it is assumed that the factors produced by placenta, altered immune system and genetic factors have a role in the of genesis preeclampsia. Healthy pregnancy is accompanied by fetal cytotrophoblast invasion of mother's spiral arteries in order to supply fetus with oxygen and other nutrients. Studies showed that placentas of women with preeclampsia have damaged uteroplacental blood flow based on no adequate modification of spiral arteries and their increased resistance (68). It is hypothesized that endothelial dysfunction caused by disbalance among molecules responsible for angiogenesis contribute to the occurrence of preeclampsia. Placental failure to adequately respond in preeclampsia is linked with its production of solubile fms-like tyrosine kinase 1 and soluble Endoglin (69). These factors are receptors of vascular endothelial growth factor, placental growth factor and transforming growth factor $\beta$, which are the main molecules in the regulation and adaptation of maternal-fetal blood flow. The role of immune system in pathogenesis of preeclampsia cannot be neglected. Changes in placenta implementation is probably responsible for the impaired immune response and development of preeclampsia. Women with preeclampsia have decreased regulatory T cells and overexpressed different types of Toll-like receptors in respect to normotensive pregnant women (61, 63).

## ANTIHYPERTENSION THERAPY IN WOMEN

Except during pregnancy, available guidelines for the management of hypertension do not offer different therapeutic approach to women and men. Firstly, lifestyle modification is highly recommended for blood pressure control in women $(8,12)$. Hypertension in postmenopausal women tends to be salt sensitive, so reduction of sodium intake could have benefit on blood pressure decrease. Further, weight lost, increased physical activity, decreased alcohol consumption, diet based on vegetables and fruits with elimination of fat dairy products have their role in hypertension management (70). Some studies pointed out that diet based on phytoestrogens might have protective effects on cardiovascular system (20). Many studies showed the prevalence and effects of the antihypertensive drugs (beta blockers, renin angiotensin aldosterone system inhibitors, calcium channel blockers, diuretics) usage in women and men (10). Briefly, men were more frequently treated with calcium
channel blockers, angiotensin converting enzyme inhibitors, but were less frequently treated with diuretics, beta blockers and angiotensin II receptor blockers in respect to women. The sex difference response to pharmacotherapy is probably related to different metabolism of drugs and diseases linked to hypertension that are more common in women than men. Thus, hypertension in postmenopausal women is often associated with metabolic syndrome and autoimmune diseases which lead to less therapy efficacy and higher risk of cardiovascular complications (9). The absorption, distribution, metabolism and excretion of antihypertensive drugs are different between women and men probably due to influence of sex hormones on the absorption transporters (P-glycoprotein), distribution volume, activity of cytochrome P450 (CYPs) and renal clearance (10, 13). For example, women drug metabolism is altered in respect to men due to increased activity of CYP3A4, CYP2A6, CYP2B6 and decreased activity of CYP1A2, CYP2E1 and P-glycoprotein $(10,13)$. It is reported that side effects of some antihypertensive treatment are more common in women than men (12). Hence, women treated with angiotensin converting enzyme inhibitors have induced cough more frequently than men, also treatment with diuretics is associated with hyponatremia and hypokalemia in women $(3,19)$. Opposite to that, postmenopausal women have decreased risk of bone fracture if diuretics are recommended treatment (3). Furthermore, women are more prone to peripheral edema development during calcium channel blocker usage and minoxidil induced hirsutism, in respect to men (3, 19). During pregnancy some of antihypertensive drugs are not recommended in order to escape fetal toxicity and malformation (15, 18). Angiotensin converting enzyme inhibitors, angiotensin II receptor blockers and direct renin inhibitors could cause fetal growth restriction and death (15). American College of Cardiology/American Heart Hypertension Guideline recommended methyldopa, nifedipine and labetalol for hypertension treatment during pregnancy (13).

## CONCLUSION

There are still many controversies and unsolved questions in the attempts to comprehend the mechanisms that lie behind pathophysiology of hypertension in women, which surpass our need to merely satisfy scientific curiosity. With hypertension as one of the major risk factors for cardiovascular events in elderly women, and preeclampsia still being the leading cause of maternal death in the countries of developed world, better understanding of hypertension in women is needed for reevaluation of our approach to the treatment of this condition.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication. All authors contributed to the article and approved the submitted version.

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# Characterizing Diagnostic Inertia in Arterial Hypertension With a Gender Perspective in Primary Care 

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Background and Objectives: Substantial evidence shows that diagnostic inertia leads to failure to achieve screening and diagnosis objectives for arterial hypertension (AHT). In addition, different studies suggest that the results may differ between men and women. This study aimed to evaluate the differences in diagnostic inertia in women and men attending public primary care centers, to identify potential gender biases in the clinical management of AHT.
Study Design/Materials and methods: Cross-sectional descriptive and analytical estimates were obtained nested on an epidemiological ambispective cohort study of patients aged $\geq 30$ years who attended public primary care centers in a Spanish region in the period 2008-2012, belonging to the ESCARVAL-RISK cohort. We applied a consistent operational definition of diagnostic inertia to a registry- reflected population group of 44,221 patients with diagnosed hypertension or meeting the criteria for diagnosis ( $51.2 \%$ women), with a mean age of 63.4 years ( 62.4 years in men and 64.4 years in women).
Results: Of the total population, $95.5 \%$ had a diagnosis of hypertension registered in their electronic health record. Another 1,968 patients met the inclusion criteria for diagnostic inertia of hypertension, representing 4.5\% of the total population (5\% of men and $3.9 \%$ of women). The factors significantly associated with inertia were younger age, normal body mass index, elevated total cholesterol, coexistence of diabetes and dyslipidemia, and treatment with oral antidiabetic drugs. Lower inertia was associated with age over 50 years, higher body mass index, normal total cholesterol, no diabetes or dyslipidemia, and treatment with lipid-lowering, antiplatelet, and anticoagulant drugs. The only gender difference in the association of factors with diagnostic inertia was found in waist circumference.
Conclusion: In the ESCARVAL-RISK study population presenting registered AHT or meeting the functional diagnostic criteria for AHT , diagnostic inertia appears to be greater in men than in women.

Keywords: diagnostic inertia, arterial hypertension, gender, equity, primary care

## INTRODUCTION

Arterial hypertension (AHT) is a major modifiable risk factor for cardiovascular disease and death; its adequate control is a strategy with a high degree of evidence and population benefit (1). Given its prevalence, patients with AHT in primary health care (PHC) clinics are diverse, which means that no single therapeutic approach exists (2). The most recent AHT guidelines $(3,4)$ recommend lower blood pressure $(\mathrm{BP})$ control targets to reduce cardiovascular events, particularly in high-risk patients.

A critical analysis of the guidelines, adapted to the context of PHC, proposes that all patients with hypertension should have a target BP of $<140 / 90$ (5). At present, only $58.5 \%$ of patients achieve these targets (6), but the more ambitious target of $\mathrm{BP}<130 / 80$, if well-tolerated, is potentially achievable for most hypertensive patients, especially those at high or very high cardiovascular risk $(5,6)$.

The European guidelines (3) specifically emphasize the need to avoid therapeutic inertia. When treatment is ineffective, a proper follow-up plan is best, with the PHC team of medical and nursing professionals detecting and correcting the possible causes of poor control (5).

Therefore, greater knowledge of clinical practice guidelines by clinicians and individualized prescription of treatment are key to avoiding inertia, which will benefit hypertensive patients and contribute to improving the health of the population. Analyzing whether this has implications according to the sex (biological factors) and gender (sociocultural factors) of patients is presently essential.

Classically, differences between sexes have been estimated in the cardiovascular (CV) area. Men are more likely to develop coronary heart disease as the first event, whereas women are more likely to have cerebrovascular disease or heart failure as the first manifestation, although these appear more frequently at advanced ages (7). Another issue is the potential inequity in clinical care that has been observed by gender, due to biases conditioned by social attributes or differences in opportunity for men and women (8). In May 2021, The Lancet Women and Cardiovascular Disease Commission published its "call to action" report including recommendations to reduce the global burden of CV disease in women by 2030. This reflects the necessity to include gender objectives in achieving CV health objectives to achieve equity in clinical care practice (9).

Despite recent progress in basic and clinical research on the differences in the management and outcomes of AHT in men and women, the main guidelines of the leading AHT societies continue not to identify gender differences due to the lack of conclusive results in clinical trials $(3,4,10)$. One of the most recent and high-impact trials, the SPRINT study, failed to recruit $50 \%$ women as planned (including only $36 \%$ ) and was therefore underpowered for gender analysis (11).

Subgroup analyses comparing results between women and men have been subsequently published, but they only contributed to highlight that their results were inconclusive for women and that implementation of their results concerning sex should be considered with caution (12-14). In subsequent studies, the inclusion of women has been increased, such as ACCOMPLISH
(39.5\%) (15), VALUE (42\%) (16), and HOPE 3 (46\%) (17). However, a paucity remains of sex-specific data to guide the treatment of hypertension in non-pregnant women, despite the fact that nearly 800 million women worldwide are hypertensive (18). Clearly, much work remains since the antihypertensive treatment proposed by HTA guidelines based on a gender approach may overlook sex- linked pathophysiologic and therapeutic considerations (19-22). Therefore, we consider that a gender-specific approach to hypertension prevention, diagnosis, and treatment programs should be implemented to address the more than likely gender differences to achieve more effective health promotion outcomes.

This study aimed to analyze, within the ESCARVAL-RISK study cohort, the difference in the diagnostic management and results of AHT between women and men attending public primary care centers, including those meeting the criteria for AHT diagnosis and, according to clinical practice guidelines, not properly diagnosed or treated in the PHC setting. In addition, it aimed to describe the profile of patients affected by diagnostic inertia (DI).

## METHODS

This was a cross-sectional epidemiological study nested on an epidemiological ambispective cohort study carried out in the Valencian Community (an autonomous community of Spain with an estimated population of over 5 million people) in 2020 (23). This study was approved by an ethical committee and was conducted following the guidelines of the Declaration of Helsinki. The details of the protocol for this study have been previously described (24).

Patients were selected from the ESCARVAL-RISK cohort (19). This included women and men with cardiovascular risk factors (CVRF) and free of events (hospital admission for ischemic heart disease or stroke) who were seen in PHC consultations for routine clinical practice between 2008 and 2012. Baseline data were obtained from the electronic health record (EHR) of patients who met the inclusion criteria. Eligible patients were women and men aged 30 years or older and with AHT.

A patient was considered to have AHT if, during a baseline window period of 6 months from inclusion: (a) they had AHT coded in the EHR (Code I10-5 according to the International Classification of Diseases, ICD-10) and were being treated for this (pharmacological or non-pharmacological intervention) or (b) despite no diagnosis of AHT, they had been prescribed antihypertensive drugs or had two altered systolic (SBP) or diastolic (DBP) blood pressure readings (SBP $\geq 140$ or DBP $\geq$ 90 ), in accordance with the criteria established by the clinical practice guidelines for the period analyzed (25,26). Patients with inconsistent or incomplete data in their EHR were excluded.

## Study Variables

The primary variable was DI in AHT, considered operationally when a patient had two altered blood pressure readings (SBP $\geq$ 140 or DBP $\geq 90$ ), as established by clinical guidelines $(25,26)$, during a 6 -months baseline window period from inclusion, and
neither the diagnosis of hypertension was coded in the EHR, nor the patient was treated with antihypertensive drugs.

Other variables studied were sociodemographic variables (age and sex/gender), clinical variables (body mass index [BMI], waist circumference, SBP, and DBP), variables related to lifestyle (smoking status), and analytical indicators (glycosylated hemoglobin [HbA1c], high-density lipoprotein cholesterol [HDL-c], triglycerides, and total cholesterol). A value was considered missing when no data existed for the variable in the EHR ( $\geq 50 \%$ ). In addition, variables corresponding to pathologies recorded in the EHR according to the ICD-9 code were collected: diabetes mellitus (250.0), dyslipidemia (272.0), proteinuria (791.0), retinopathy (362.0), metabolic syndrome (277.7), ischemic heart disease (410.0-14.0), heart failure (428.0), peripheral artery disease (440.20), atrial fibrillation (427.31), and chronic kidney disease (585.9). Finally, variables related to medication were collected: antihypertensive treatment, lipid-lowering drugs (statins and others), oral antidiabetic drugs, insulin, and antiplatelet or anticoagulant agents.

The source of information for all the variables was the ABUCASIS EHR, which is centralized and unique for the entire Valencian Community.

## Statistical Analysis

To estimate the prevalence of DI, the number and frequency of inertia cases were calculated for the total and by sex. To evaluate the patient profile according to their DI in each category of qualitative variables, double-entry tables were made by applying the Chi-Square statistical test.

Prevalence ratios (PRs) and 95\% confidence intervals (95\% CIs) of inertia at each level of the explanatory variables were estimated using multivariate Poisson regression models with robust variance (27), differentiating by sex. A stepwise variable selection procedure was performed, based on the Akaike information criterion (AIC). The multicollinearity of the variables in the construction of the models was studied. The goodness-of-fit likelihood ratio test (LRT), AIC value, and receiver operating characteristic (ROC) area of each model were performed. To avoid the multiplicity problem due to the analysis by subgroups due to sex/gender, the type I error was adjusted by the Bonferroni method to 0.025 . The analyses were performed using IBM SPSS Statistics for Windows, v. 26.0 (IBM Corporation, Armonk, NY, United States) and R software, v. 4.0.2 (R Core Team, Vienna, Austria).

## RESULTS

A total of 44,221 patients with diagnosed AHT, or meeting the diagnostic criteria for AHT and undiagnosed or on antihypertensive treatment and coded in the EHR, were included ( $51.2 \%$ women). The mean age of the patients was 63.4 years (range 30-97 years), being 62.4 years in men (range $30-95$ years) and 64.4 years in women (range $30-97$ years).

A total of 1,968 patients were identified who met the DI inclusion criteria and had no coded diagnosis of AHT, representing $4.5 \%$ of the total population studied. By sex, $5 \%$ were men and $3.9 \%$ were women ( $p<0.001$; Table 1).

TABLE 1 | Diagnostic inertia in hypertension for all hypertensive patients and by gender.

|  | Diagnostic AHT |  |  | DI |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\boldsymbol{n}$ | $\%$ |  | $\boldsymbol{n}$ | $\%$ | $\boldsymbol{p}$-value |
| Gender | Men | 20,512 | $95.0 \%$ |  | 1.082 | $5.0 \%$ | $<0.001$ |
|  | Women | 21,741 | $96.1 \%$ |  | 886 | $3.9 \%$ |  |
|  | Total | 42,253 | $95.5 \%$ |  | 1.968 | $4.5 \%$ |  |

AHT, arterial hypertension; DI, diagnostic inertia.

Tables 2, 3 show the analysis of DI with respect to the population diagnosed with hypertension in the sociodemographic and clinical variables and sexes. Statistically significant differences of more DI were found in men with normal BMI ( $p<0.001$ ) and waist circumference ( $p<0.001$ ), who were smokers ( $p<0.02$ ), with normal HDL ( $p<0.001$ ), and with cholesterol $>200 \mathrm{mg} / \mathrm{dL}(p<0.001)$. In women, the highest DI was associated with the youngest age group ( $p<0.013$ ), normal BMI ( $p<0.001$ ), and total cholesterol $>200 \mathrm{mg} / \mathrm{dL}$ ( $p$ $<0.001$ ).

Tables 4,5 show the analysis of DI according to comorbidities and treatments in men and women, respectively. Statistically significant differences and higher DI were found in men without heart failure ( $p<0.028$ ) or peripheral artery disease ( $p<0.001$ ), with diabetes ( $p<0.001$ ) and dyslipidemia ( $p<0.016$ ), on oral antidiabetic treatment ( $p<0.001$ ), and not taking lipidlowering, antiplatelet, or anticoagulant treatment ( $p<0.001$ ). In women, the highest DI was observed in those with diabetes and dyslipidemia ( $p<0.001$ ), without heart failure ( $p<0.018$ ), treated with oral antidiabetic drugs ( $p<0.001$ ), and not on antiplatelet or anticoagulant therapy ( $p<0.001$ ).

Table 6 shows the PRs of DI in AHT, estimated by multivariate Poisson regression models. One model was fitted for men and another for women. The statistically significant factors associated with DI were age, BMI, waist circumference, total cholesterol, diabetes, dyslipidemia, lipid-lowering treatment, oral antidiabetic drugs, and antiplatelet and anticoagulant treatments. The observed pattern of DI between men and women was similar, except for waist circumference, where a waist circumference $\geq 102 \mathrm{~cm}$ in men was associated with lower DI, whereas a waist circumference $\geq 88 \mathrm{~cm}$ in women was associated with higher DI (Figure 1). Additionally, treatment for dyslipidemia was associated with lower DI in men and not associated in women. The model sample size was 21,594 with 1,082 cases of DI in men, and 22,627 with 886 cases of DI in women. Both models fit the data well (LRT $p<0.001$ ) and presented adequate classification indicators (ROC area 0.72 and 0.69 , respectively).

## DISCUSSION

This study aimed to shed light on the magnitude of DI in the study population, that is, individuals who were undiagnosed and untreated for arterial hypertension despite meeting the criteria that should have led to being diagnosed and adequately treated for this condition. The highest DI occurred in the population

TABLE 2 | Prevalence of diagnostic inertia in hypertensive patients in sociodemographic and clinical variables (men).
Total
$n$

$n$$\frac{\text { Diagnostic AHT }}{n} \frac{\text { DI }}{n}$| \% -value |
| :--- |
| $n$ |

## Age groups (yrs)

30-49
50-59
60-69
$\geq 70$
BMI ${ }^{\text {a }}$
Normal
Overweight
Obesity
Missing

## Abdominal perimeter

Normal
$\geq 88 / 102 \mathrm{~cm}$
Missing

Smoking status
No
Si
Quit smoking

PP
$<40 \mathrm{mmHg}$
$40-60 \mathrm{mmHg}$
$>60 \mathrm{mmHg}$
Missing
DBP
Normal
$\geq 90 \mathrm{mmHg}$
Missing

## SBP

Normal
$\geq 140 \mathrm{mmHg}$
Missing
HDL-Cholesterol
Normal
$\leq 45 \mathrm{mg} / \mathrm{dL}$
Missing
Total cholesterol
Normal
$\geq 200 \mathrm{mg} / \mathrm{dL}$
Missing

| 3,106 | $14.4 \%$ | 2,935 | $94.5 \%$ | 171 | $5.5 \%$ | 0.409 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 4,932 | $22.8 \%$ | 4,699 | $95.3 \%$ | 233 | $4.7 \%$ |  |
| 7,246 | $33.6 \%$ | 6,892 | $95.1 \%$ | 354 | $4.9 \%$ |  |
| 6,310 | $29.2 \%$ | 5,986 | $94.9 \%$ | 324 | $5.1 \%$ |  |
|  |  |  |  |  |  |  |
| 1,970 | $9.1 \%$ | 1,814 | $92,1 \%$ | 156 | $7.9 \%$ | $<0.001$ |
| 8,617 | $39.9 \%$ | 8,122 | $94.3 \%$ | 495 | $5.7 \%$ |  |
| 8,387 | $38.8 \%$ | 8,012 | $95.5 \%$ | 375 | $4.5 \%$ |  |
| 2,620 | $12.1 \%$ | 2,564 | $97.9 \%$ | 56 | $2.1 \%$ |  |

TABLE 3 | Prevalence of diagnostic inertia in hypertensive patients in sociodemographic and clinical variables (women).

|  | Total |  | Diagnostic AHT |  | DI |  | $p$-value |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $n$ | \% | $n$ | \% | $n$ | \% |  |
| Age groups (yrs) |  |  |  |  |  |  |  |
| 30-49 | 2,449 | 10.8\% | 2,324 | 94.9\% | 125 | 5.1\% | 0.013 |
| 50-59 | 4,719 | 20.9\% | 4,547 | 96.4\% | 172 | 3.6\% |  |
| 60-69 | 7,297 | 32.2\% | 7,013 | 96.1\% | 284 | 3.9\% |  |
| $\geq 70$ | 8,162 | 36.1\% | 7,857 | 96.3\% | 305 | 3.7\% |  |
| BMI ${ }^{\text {a }}$ |  |  |  |  |  |  |  |
| Normal | 2,700 | 11.9\% | 2,550 | 94.4\% | 150 | 5.6\% | <0.001 |
| Overweight | 7,274 | 32.1\% | 6,947 | 95.5\% | 327 | 4.5\% |  |
| Obesity | 9,948 | 44.0\% | 9,607 | 96.6\% | 341 | 3.4\% |  |
| Missing | 2,705 | 12.0\% | 2,637 | 97.5\% | 68 | 2.5\% |  |
| Abdominal perimeter |  |  |  |  |  |  |  |
| Normal | 1,431 | 6.3\% | 1,377 | 96.2\% | 54 | 3.8\% | 0.051 |
| $\geq 88 / 102$ | 10,109 | 44.7\% | 9,678 | 95.7\% | 431 | 4.3\% |  |
| Missing | 11,087 | 49.0\% | 10,686 | 96.4\% | 401 | 3.6\% |  |
| Smoking status |  |  |  |  |  |  |  |
| No | 18,192 | 80.4\% | 17,467 | 96.0\% | 725 | 4.0\% | 0.398 |
| Si | 2,950 | 13.0\% | 2,838 | 96.2\% | 112 | 3.8\% |  |
| Quit smoking | 1,485 | 6.6\% | 1,436 | 96.7\% | 49 | 3.3\% |  |
| PP |  |  |  |  |  |  |  |
| $<40$ | 2,532 | 11.2\% | 2,428 | 95.9\% | 104 | 4.1\% | 0.957 |
| 40-60 | 7,512 | 33.2\% | 7,220 | 96.1\% | 292 | 3.9\% |  |
| >60 | 1,913 | 8.5\% | 1,840 | 96.2\% | 73 | 3.8\% |  |
| Missing | 10,670 | 47.2\% | 10,253 | 96.1\% | 417 | 3.9\% |  |
| DBP |  |  |  |  |  |  |  |
| Normal | 8,158 | 36.1\% | 7,834 | 96.0\% | 324 | 4.0\% | 0.919 |
| $\geq 90$ | 3,799 | 16.8\% | 3,654 | 96.2\% | 145 | 3.8\% |  |
| Missing | 10,670 | 47.2\% | 10,253 | 96.1\% | 417 | 3.9\% |  |
| SBP |  |  |  |  |  |  |  |
| Normal | 6,860 | 30.3\% | 6,584 | 96.0\% | 276 | 4.0\% | 0.803 |
| $\geq 140$ | 5,097 | 22.5\% | 4,904 | 96.2\% | 193 | 3.8\% |  |
| Missing | 10,670 | 47.2\% | 10,253 | 96.1\% | 417 | 3.9\% |  |
| HDL-Cholesterol |  |  |  |  |  |  |  |
| Normal | 9,164 | 40.5\% | 8,774 | 95.7\% | 390 | 4.3\% | 0.080 |
| $\leq 45$ | 2,395 | 10.6\% | 2,302 | 96.1\% | 93 | 3.9\% |  |
| Missing | 11,068 | 48.9\% | 10,665 | 96.4\% | 403 | 3.6\% |  |
| Total cholesterol |  |  |  |  |  |  |  |
| Normal | 4,755 | 21.0\% | 4,607 | 96.9\% | 148 | 3.1\% | $<0.001$ |
| >200 | 7,485 | 33.1\% | 7,123 | 95.2\% | 362 | 4.8\% |  |
| Missing | 10,387 | 45.9\% | 10,011 | 96.4\% | 376 | 3.6\% |  |

AHT, arterial hypertension; DI, diagnostic inertia; BMI, body mass index; PP, pulse pressure; DBP, diastolic blood pressure; SBP, diastolic blood pressure; HDL, high density lipoprotein.
${ }^{a}$ Normal $<25 \mathrm{~kg} / \mathrm{m}^{2}$; overweight $25.0-29.9 \mathrm{~kg} / \mathrm{m}^{2}$; obese $\geq 30.0 \mathrm{~kg} / \mathrm{m}^{2}$.
aged 30-49 years, with normal BMI, elevated cholesterol ( $\geq$ $200 \mathrm{mg} / \mathrm{dl}$ ), coexistence of diabetes and dyslipidemia, and taking oral antidiabetic treatment. Gender differences in DI were detected in women with a waist circumference $\geq 88 \mathrm{~cm}$. In the population of the ESCARVAL-RISK cohort with AHT criteria, the percentage was $4.5 \%$, being higher in men than
in women (5 vs. $3.9 \% ; p<0.001$ ). Although this may seem low, the great difference from other studies is that the denominator in our study is not the entire population but only those with hypertension or meeting diagnostic criteria. Therefore, these figures are particularly relevant from the clinical viewpoint.

TABLE 4 | Prevalence of diagnostic inertia in hypertensive patients with comorbidity and treatments (men).

|  | Total |  | Diagnostic AHT |  | DI |  | $p$-value |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $n$ | \% | $n$ | \% | $n$ | \% |  |
| Heart failure |  |  |  |  |  |  |  |
| No | 21,286 | 98.6\% | 20,213 | 95.0\% | 1,073 | 5.0\% | 0.028 |
| Yes | 306 | 1.4\% | 299 | 97.7\% | 7 | 2.3\% |  |
| Proteinuria |  |  |  |  |  |  |  |
| No | 21,449 | 99.3\% | 20,372 | 95.0\% | 1,077 | 5.0\% | 0.387 |
| Yes | 145 | 0.7\% | 140 | 96.6\% | 5 | 3.4\% |  |
| PAD |  |  |  |  |  |  |  |
| No | 21,084 | 97.7\% | 20,015 | 94.9\% | 1,069 | 5.1\% | 0.001 |
| Yes | 506 | 2.3\% | 497 | 98.2\% | 9 | 1.8\% |  |
| Atrial fibrillation |  |  |  |  |  |  |  |
| No | 21,413 | 99.2\% | 20,338 | 95.0\% | 1,075 | 5.0\% | 0.173 |
| Yes | 179 | 0.8\% | 174 | 97.2\% | 5 | 2.8\% |  |
| Diabetes mellitus |  |  |  |  |  |  |  |
| No | 14,537 | 67.3\% | 14,100 | 97.0\% | 437 | 3.0\% | <0.001 |
| Yes | 7,057 | 32.7\% | 6,412 | 90.9\% | 645 | 9.1\% |  |
| Dyslipidemia |  |  |  |  |  |  |  |
| No | 10,470 | 48.5\% | 9,984 | 95.4\% | 486 | 4.6\% | 0.016 |
| Yes | 11,124 | 51.5\% | 10,528 | 94.6\% | 596 | 5.4\% |  |
| CKD |  |  |  |  |  |  |  |
| No | 21,375 | 99.0\% | 20,300 | 95.0\% | 1,075 | 5.0\% | 0.067 |
| Yes | 217 | 1.0\% | 212 | 97.7\% | 5 | 2.3\% |  |
| Retinopathy |  |  |  |  |  |  |  |
| No | 21,484 | 99.5\% | 20,408 | 95.0\% | 1,076 | 5.0\% | 0.831 |
| Yes | 110 | 0.5\% | 104 | 94.5\% | 6 | 5.5\% |  |
| Insulin |  |  |  |  |  |  |  |
| No | 21,117 | 97.8\% | 20,060 | 95.0\% | 1,057 | 5.0\% | 0.816 |
| Yes | 477 | 2.2\% | 452 | 94.8\% | 25 | 5.2\% |  |
| Oral antidiabetics |  |  |  |  |  |  |  |
| No | 18,519 | 85.8\% | 17,686 | 95.5\% | 833 | 4.5\% | <0.001 |
| Yes | 3,075 | 14.2\% | 2,826 | 91.9\% | 249 | 8.1\% |  |
| Lipid-lowering |  |  |  |  |  |  |  |
| No | 16,326 | 75.6\% | 15,445 | 94.6\% | 881 | 5.4\% | <0.001 |
| Yes | 5,268 | 24.4\% | 5,067 | 96.2\% | 201 | 3.8\% |  |
| Antiplatelet agents |  |  |  |  |  |  |  |
| No | 17,952 | 83.1\% | 16,950 | 94.4\% | 1,002 | 5.6\% | <0.001 |
| Yes | 3,642 | 16.9\% | 3,562 | 97.8\% | 80 | 2.2\% |  |
| Anticoagulants |  |  |  |  |  |  |  |
| No | 18,648 | 86.4\% | 17,646 | 94.6\% | 1,002 | 5.4\% | <0.001 |
| Yes | 2,946 | 13.6\% | 2,866 | 97.3\% | 80 | 2.7\% |  |

AHT, arterial hypertension; DI, diagnostic inertia; PAD, peripheral artery disease; CKD, chronic kidney disease.

The increase in the prevalence of chronic diseases, including AHT, is a worldwide problem with multifactorial and complex causes (3-5). Despite the increasing knowledge in the field of AHT, its high prevalence, the low degree of control, the associated morbidity and mortality, the associated costs, and the rates of non-compliance with both lifestyle measures and pharmacological treatment continue to be alarming (2-6, 18). In a PHC setting and a hypertensive population, $4.5 \%$ of the

TABLE 5 | Prevalence of diagnostic inertia in hypertensive patients with comorbidity and treatments (women).

$A H T$, arterial hypertension; DI, diagnostic inertia; PAD, peripheral artery disease; CKD, chronic kidney disease.
adults who met the diagnostic criteria for AHT had no recorded diagnosis or treatment prescribed. The patients who presented more DI were younger, had a normal weight, and took oral antidiabetic drugs (diabetes confers a higher CV risk), a pattern that differed slightly between men and women. In addition, many clinical variables not recorded in the EHR were detected. In a previous study of the same cohort but a dyslipidemic population, $18 \%$ of adults met the diagnostic criteria for dyslipidemia and

TABLE 6 | Multivariable Poisson regression, prevalence ratios (PRs) for diagnostic inertia, by sex.


AIC, akaike information criterion; Cl, confidence interval; LRT, likelihood ratio test.
${ }^{a}$ Normal $<05 \mathrm{~kg} / \mathrm{m}^{2}$; overweight $25.0-29.9 \mathrm{~kg} / \mathrm{m}^{2}$; obese $\geq 30.0 \mathrm{~kg} / \mathrm{m}^{2}$.
${ }^{\text {b }}$ Normal $\leq 200 \mathrm{mg} / \mathrm{dL}$, elevated $>200 \mathrm{mg} / \mathrm{dL}$.
had no recorded diagnosis or treatment prescribed (DI), with DI greater in women, young age, normal weight, no smoking habit, and those with alterations in SBP, HDL cholesterol, total cholesterol, LDL cholesterol, or triglycerides, or missing values in their EHR (28). A similar pattern exists between both sexes, and in DI in both hypertension and dyslipidemia, there is a lack of assessment of subclinical disease (comorbidities). This may promote clinical and therapeutic inertia and determine a different course in the continuum of cardiovascular disease.

A diagnosis of AHT and older age ( $>70$ years) had a greater association with DI in men than in women, in contrast to the results observed by Meador et al. (29) in the USA, where younger, white, healthy-weight women were less likely to be diagnosed. In a previous study analyzing only patients with BP recordings $\geq 140 / 90 \mathrm{mmHg}$ on EHR and no decision made (i.e., DI), the association of inertia was higher in men and older age (30).

Gil-Guillén et al. (31) observed a higher level of inertia in women with hypertension, and an association between inertia and not smoking, which in our study was only observed in men ( $p<0.02$ ). Ji et al. (32) analyzed sex differences in hypertension and observed that, although the prevalence was higher in men than women in the younger and middle ages of life, this reversed after the seventh decade, when the rates in women exceeded
those in men. These higher BP levels in older women were associated with a higher risk of stroke than in men of the same age group.

Notably, the increased risk of stroke with higher BP levels seemed to be almost twice as high in women as in men (9). The DI detected could be a reason for an increased risk of stroke in our population. All these findings indicate the need to continue exploring possible biases or other factors, not specifically clinical, in the "non-diagnosis" of AHT (33).

Precedent exists for different strategies to improve intervention at the health care system level to reduce inertia (34). The EHR quality improvement initiative of Kaiser Permanente of Northern California, reaching more than 650,000 patients within its hypertension registry program (35), was focused on creating a registry of reporting AHT control rates (every 1-3 months) by each affiliated medical center and generating clinical practice guideline recommendations on a case-by-case basis. The effort led to improving the BP control rate in their hypertensive population to $80 \%$, compared with a national average of $64 \%$ (36). If this were applied to our system, we are convinced of the potential improvement of inertia, not only therapeutic but also diagnostic, given the high accessibility of healthcare in our system that makes it possible to screen the population (37).

*Absence of a diagnosis of AHT [recorded in EHR] while having been prescribed antihypertensive drugs and/or had two altered blood pressure readings [BP $\geq 140 / 90 \mathrm{mmHg}$ )] according to clinical practice guideline criteria)

AHT: Arterial Hypertension; ICD: International Classification of Diseases; BP: Blood Pressure

FIGURE 1 | Associated factors with diagnostic inertia in hypertensive patients according to gender in the ESCARVAL RISK Cohort. * Absence of a diagnosis of AHT (recorded in EHR) while having been prescribed antihypertensive drugs and/or had two altered blood pressure readings ( $B P \geq 140 / 90 \mathrm{mmHg}$ ) according to clinical practice guideline criteria. AHT, arterial hypertension; ICD, International Classification of Disease; BP, blood pressure.

In this study, we have analyzed a hypertensive population according to sex/gender, observing many possibilities for improvement in diagnostic confirmation. We have a great capacity for improvement in DI, as long as the possible solutions contemplate three domains: health professionals, patients, and governmental agencies; promoting active health policies; and improving the tools with which the family physician currently works.

## Strengths and Limitations

The great strength of this study is its information source, which corresponds to a single electronic health registry that integrates all the information on the population attending primary care centers. In addition, it exhaustively addresses the important problem of DI in AHT and its gender differences, with a large sample size, which minimizes random error when drawing conclusions from the results obtained. The fact that the information was obtained from all the PHC centers, and that it quantifies the problem of DI in all the professionals working in these centers, offers greater validity to our results and means that they can be generalized to other geographical areas with similar healthcare systems. Therefore, it would be interesting to carry out similar studies in other countries with different health policies through projects that could integrate many patients and health professionals.

The main theoretical limitation of this study is that, although it works on the basis of an epidemiological cohort study, its strict design is cross-sectional. Establishing a temporal sequence
between the factors and the dependent variable (inertia) is not realizable, although the status of undiagnosed hypertensive patients can be assessed and their needs determined, which are key elements in the fight against the lack of awareness of this problem and provide a basis for prioritizing better health planning. We are aware that the main potential bias that could have threatened the validity of this study is in selection, but we have tried to minimize this. Furthermore, the virtue of the study is that it translates routine clinical practice and is based on the fact that these are all patients attending PHC centers. We must also ask ourselves about the precise quality of the data obtained from the EHR and entered by the health professionals. To minimize this potential error, all physicians have previously been given the opportunity to participate in courses on cardiovascular risk (online, voluntary, and free), providing training on cardiovascular diseases, their risk factors, and their control objectives (38). Additionally, the service provider (Consellería de Sanitat de la Generalitat Valenciana, Valencian Community, Spain) has made efforts to ensure that all primary care offices had validated and calibrated BP measurement devices.

## Clinical Implications

Physicians attending PHC consultations should be attentive to BP values $\geq 140 / 90 \mathrm{mmHg}$, verify them, confirm them, and record them in the EHR, in addition to properly coding patients who are already on antihypertensive treatment to reduce the DI in AHT. Although it should be all patients,
special attention should be paid to young women who are not properly identified, thus avoiding possible health inequalities derived from DI. In our study, the overall DI was higher in men than in women; this difference may be due to the type of population more likely to seek consultation in PHC, corresponding to women and older patients. The information provided by this study could be valuable for improving clinical practice in the PHC setting and could favor future research to explore the reasons for the conservative attitude of PHC physicians regarding this type of patient. Future studies should address the causes of the gender difference in the prevalence of DI in AHT and whether it affects other entities that increase CV morbidity and mortality. Therefore, the strategy should be comprehensive and close any knowledge gap, optimizing the diagnosis and control of AHT at a global level.

## CONCLUSIONS

When comparing the population diagnosed with AHT with the population not diagnosed but presenting diagnostic criteria, the highest DI (in both men and women) was in the population aged 30-49 years, with normal BMI, high cholesterol, and coexistence of diabetes and dyslipidemia, and taking oral antidiabetic treatment. The lowest DI was in the population over 50 years of age, with overweight or obesity by BMI, normal cholesterol, no diabetes or dyslipidemia, and taking antiplatelet, anticoagulant, or lipid- lowering therapy. The only gender difference in this study was found in waist circumference. In women, a greater DI was found from 88 cm , and in men, the higher the BMI, the lower the DI.

Although AHT is simple to diagnose and relatively easy to treat with currently low-cost drugs (plus healthy lifestyle recommendations), important gaps exist in the diagnosis that can have a negative impact on prognosis and evolution, which should be identified and addressed.

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## DATA AVAILABILITY STATEMENT

The data analyzed in this study is subject to the following licenses/restrictions: data on all patients registered in Primary Care of the Health System of the Valencian Community in Spain. The data were anonymised after a rigorous validation process, and are subject to personal data protection regulations. Requests to access these datasets should be directed to Conselleria de Sanitat Universal i Salut Pública, psalud_val@gva.es.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Ethics Committee of the University of Valencia Hospital Clinic, Valencia, Spain. The patients/participants provided their written informed consent to participate in this study.

## AUTHOR CONTRIBUTIONS

Conceptualization, methodology, writing-review and editing, and funding acquisition: VP-C, CC-M, AL-P, JQ, VG-G, DO-B, JA-S, JN-P, and JM-M. Writing-original draft preparation and supervision: VP-C, CC-M, VG-G, and JM-M. Project administration: JM-M. All authors have read and agreed to the published version of the manuscript.

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# Development and validation of prediction models for hypertension risks: A cross-sectional study based on 4,287,407 participants 

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#### Abstract

Objective: To develop an optimal screening model to identify the individuals with a high risk of hypertension in China by comparing tree-based machine learning models, such as classification and regression tree, random forest, adaboost with a decision tree, extreme gradient boosting decision tree, and other machine learning models like an artificial neural network, naive Bayes, and traditional logistic regression models.


Methods: A total of 4,287,407 adults participating in the national physical examination were included in the study. Features were selected using the least absolute shrinkage and selection operator regression. The Borderline synthetic minority over-sampling technique was used for data balance. Non-laboratory and semi-laboratory analyses were carried out in combination with the selected features. The tree-based machine learning models, other machine learning models, and traditional logistic regression models were constructed to identify individuals with hypertension, respectively. Top features selected using the best algorithm and the corresponding variable importance score were visualized.

Results: A total of 24 variables were finally included for analyses after the least absolute shrinkage and selection operator regression model. The sample size of hypertensive patients in the training set was expanded from 689,025 to $2,312,160$ using the borderline synthetic minority over-sampling technique algorithm. The extreme gradient boosting decision tree algorithm showed the best results (area under the receiver operating characteristic curve of non-laboratory: 0.893 and area under the receiver operating characteristic curve of semi-laboratory: 0.894). This study found that age, systolic blood pressure, waist circumference, diastolic blood pressure, albumin, drinking frequency, electrocardiogram, ethnicity (uyghur, hui, and other), body mass index, sex (female), exercise frequency, diabetes mellitus, and total bilirubin are important factors reflecting hypertension. Besides, some algorithms included in the semi-laboratory analyses showed less improvement in the predictive performance compared to the non-laboratory analyses.

# Conclusion: Using multiple methods, a more significant prediction model can be built, which discovers risk factors and provides new insights into the prediction and prevention of hypertension. 

## KEYWORDS

hypertension, machine learning, prediction model, classifier, LASSO

## Introduction

Nowadays, hypertension has affected 1.13 billion people worldwide (1). It exacerbates the burden of stroke, ischemic heart disease, other vascular diseases, and kidney disease (2). The number of people with hypertension worldwide exceeded 1 billion in 2019, which was doubled since 1990 (3). In China, the proportion of adults with hypertension has increased substantially over the past 40 years, and people's awareness regarding hypertension, the diagnosis, treatment, and control rates of hypertension are low, especially in the western region $(4,5)$. Therefore, it is of vital importance to strengthen the prescreening of hypertension and carry out preventive intervention and treatment for high-risk and potential groups (6).

The prediction model has been proven to be an effective and economical tool to identify individuals with a high risk of hypertension (7). However, many studies have confirmed that the risk prediction models developed for one population cannot be effectively applied to other populations (8-11). Although some hypertension risk prediction models have been established in China in the past 10 years (12-16), there were some disadvantages, such as small sample size and lack of important features (ethnicity and poor prediction effect), which limits the generalizability of models. Therefore, it is urgent to establish a hypertension prediction model with a good prediction effect and strong generalizability in China.

Machine learning (ML) is a collection of techniques that automatically learn features from data and do not require the data structure, and mainly includes classification and regression tree (CART), random forest (RF), extreme gradient boosting decision tree (XGBoost), naive Bayes (NB), and artificial neural network (ANN). ML shows an excellent performance in disease prediction in recent years $(17,18)$. The application of ML algorithms to predict hypertension can provide some new ideas for understanding the pathophysiological mechanisms underlying hypertension and for exploring therapeutic targets. However, some studies showed that the incremental predictive performance beyond standard methods might be limited (1921), while others showed that there were no advantages of ML over classical statistical models, such as logistic regression (LR) $(22,23)$. In the aspect of hypertension prediction, most studies only test the predictive performance of ML models or LR models alone, without conducting comparative studies ( $13,16,24-26$ ).

Therefore, it is unclear whether the ML method is better than traditional classical statistical models in the prediction of potential hypertension populations.

Currently, no studies investigated the predictive ability of the semi-laboratory analyses and the non-laboratory analyses. Therefore, in this study, we constructed and compared the treebased ML models, such as CART, RF, adaboost with decision tree (ADABoost), XGBoost, other ML models, such as NB and ANN, and traditional LR models based on non-laboratory and semi-laboratory analyses, respectively, aiming to develop optimal hypertension screening model for large populations. As we know, the hypertension screening model presented in this study is the first to be established by comparing various algorithms systematically and comprehensively with multiethnic and large samples.

## Methods

## Study population

The national physical examination (NPE) is a free physical examination provided by the Chinese government for all Xinjiang people. Epidemiologists and medical staff at Xinjiang Uygur Autonomous Region Center for Disease Control and Prevention have designed a standard physical examination form, which mainly consisted of a questionnaire survey, routine examinations, and laboratory tests in three parts. All examinations were conducted by a professional medical team with medical qualifications and fieldwork experience. All participants were required to take their unique identity document (ID) card, which was used as the only proof of identity.

All data were aggregated to the Health Management Hospital of Xinjiang Medical University. For routine examination, the items included standing height, weight, waist circumference (WC), heart rate (HR), blood pressure, and abdominal ultrasound. In addition, three 10 ml samples of non-fasting blood samples were collected into vacuum tubes, and then the samples were kept in a portable insulated cold box with ice packs and taken to a local research laboratory for immediate processing. Blood test indicators contained blood sugar and blood biochemistry.

The data in this study were collected from the NPE project, and a total of 4,336,239 people who had signed informed consent forms were included. The excluded criteria were (i) age $<18$ years and (ii) the data missing rate $>20 \%$. A total of 4,287,407 participants from 14 regions in Xinjiang Province were finally included in this study for further analysis after strict screening procedures. Detailed population distributions were as follows: Hotan $(662,643)$, Ili $(614,468)$, Aksu $(590,630)$, Changji $(339,019)$, Tacheng $(266,494)$, Bayingolin Mongolia $(206,897)$, Altay $(184,948)$, Turpan $(154,105)$, Bortala Mongolia $(86,864)$, Hami $(83,560)$, Kizilsu Kirgiz $(82,078)$, Karamay (271), Kashgar $(622,610)$, and Urumqi $(392,820)$.

Furthermore, nearly 200 variables irrelevant to this study were deleted, such as names, home addresses, and contact numbers, and then the missing and extreme values of the remaining variables were processed. Continuous variables were imputed by means, while categorical variables were imputed by mode. Figure 1 shows the detailed analysis process. This study was conducted in accordance with the principles outlined in the "Helsinki Declaration" and was approved by the Ethics Committee and Institutional Review Committee of the Xinjiang Uygur Autonomous Region Center for Disease Control and Prevention.

## Definition of hypertension

Hypertension patients met the following criteria: systolic blood pressure (SBP) $\geq 140 \mathrm{mmHg}$ and diastolic blood pressure (DBP) $\geq 90 \mathrm{mmHg}$ in the absence of antihypertensive drugs, or someone with a hypertension history, though the blood pressure did not reach the above level when undertaking antihypertensive drugs.

## Predictors considered

With the characteristics of large data size, multiple variables, and the existence of many outliers and gaps, pre-processing of the data is important. In total 30 variables from the three components were used to construct the predictive model and evaluate the potential risk factors of hypertension. Variables are listed in Table 1.

## Statistical analysis

Continuous variables were expressed as median (IQR: inter-quartile range), and categorical variables were expressed as counts (percentage). Variables were compared between hypertension and non-hypertension groups. The $t$-test or Mann-Whitney test was used for continuous variables, while for categorical variables, the chi-square test or Fisher's exact test
was used. Statistical significance was inferred at a two-tailed $P$ value $<0.05$.

## Grouping and feature selection

The population was randomly divided into the training set $(3,001,185)$, the validation set $(857,482)$, and the test set $(428,740)$, with a ratio of $7: 2: 1$. Then, the least absolute shrinkage and selection operator (LASSO) regression were used to select the variables in the training set (27). LASSO regression was characterized by variable selection and regularization while fitting a generalized linear model, which was suitable for continuous, binary, and multivariate discrete variables.

## Data imbalance processing

In this study, the number of non-hypertension participants was larger than the hypertension participants, which indicates that the sample size was imbalanced, while minority classes were harder to predict using ML methods (28, 29). An over-sampling technique, that is, borderline synthetic minority over-sampling technique (Borderline-SMOTE), was used to deal with the negative influence due to the imbalanced classification problem.

The synthetic minority over-sampling technique (SMOTE) was introduced by Chawla et al. (30), as a way to deal with the minority classes in a dataset. The fundamental idea of this algorithm is to analyze and simulate, and add the new sample simulated artificially into the original dataset to balance the classes in the original data. But there were two obvious shortcomings of SMOTE: (1) prone to sample overlap and (2) the attribute characteristics and the distribution characteristics of adjacent samples are not considered. Therefore, many adaptive sampling methods are developed to solve the above limitations, among which the Borderline-SMOTE algorithm is the most representative one (31).

Borderline-SMOTE is an advanced over-sampling algorithm based on SMOTE, which uses minority class samples on the boundary to synthesize new samples, and therefore improves the class distribution of the samples. In Borderline-SMOTE sampling, the minority class samples are divided into three categories: safe, danger, and noise. Safe means more than half of the surrounding samples are minority class samples. Danger means that more than half of the surrounding samples are majority class samples, which are regarded as boundary samples. Besides, noise refers to the majority class of samples around the sample, which is regarded as noise. Finally, only the minority class samples that behave as danger are over-sampled.

## Variable coding

The preprocessing.LabelEncoder algorithm of sklearn.preprocessing library in python software was used to digitize the labels, and preprocessing.OrdinalEncoder


FIGURE 1
Flow chart. LASSO, least absolute shrinkage and selection operator; PPV, positive predictive value; NPV, negative predictive value; AUC, area under the receiver operating characteristic curve.
algorithm was used to digitize the orderly categorical variables of characteristics. The preprocessing.OneHotEncoder algorithm was used to convert the nominal variables to the dummy variables.

## Prediction models

This study established three kinds of hypertension predictive models, including tree-based ML models (CART, RF, ADABoost, and XGBoost), other ML models (ANN and NB), and traditional LR models. On the basis of the above models, we analyzed nonlaboratory and semi-laboratory features separately depending on whether blood test data were included or not.

The CART algorithm is based on tree arrangement and describes the classification process depending on input features. There were some advantages of CART, such as fast computing, high accuracy, no requirement of domain knowledge or parametric assumptions, and suitable for high-dimensional data,
but it has some shortcomings, such as high variance and over-fitting phenomenon, which limits its practicality as an independent predictive model. RF is an algorithm that combines bagged ensemble learning theory with random subspace methods (32), aiming at constructing many independent evaluators and then selecting the results supported by most evaluators or choosing the mean values. ADABoost and XGBoost algorithms (33) aim at combining the power of the weak evaluator to predict the hard-to-evaluate samples repeatedly, in order to construct a strong evaluator.

The ANN is a computing system based on human brain neurons (34). ANN can deal with the interactions between complex and non-linear variables. ANN consists of a multihidden layer neural network and a single hidden layer neural network. Each layer contains some neurons connected by directed arcs with variable weights. In this study, the neural network contains three layers: the input layer accepts all risk factors, the hidden layer processes the information, and the

TABLE 1 Information description of included variables.

| Data sources | Variable | Variable type |
| :---: | :---: | :---: |
| Questionnaire | Age | Continuous variable |
|  | Sex | Categorical variable ("male" or "female") |
|  | Ethnicity | Categorical variable ("han," "uyghur," "kazakh," "hui," "other ethnic groups") |
|  | EF | Categorical variable ("not exercising," "occasionally," "more than once a week," "daily") |
|  | SS | Categorical variable ("never smoked," "smoking," "quit smoking") |
|  | DF | Categorical variable ("never," "occasionall," "often," "every day") |
|  | DM | Categorical variable (yes or no) |
|  | PH | Categorical variable (yes or no) |
| Routine examination | Hight | Continuous variable |
|  | Weight | Continuous variable |
|  | BMI | Continuous variable |
|  | SBP | Continuous variable |
|  | DBP | Continuous variable |
|  | WC | Continuous variable |
|  | ECG | Categorical variable (normal or abnormal) |
|  | HR | Categorical variable (normal or abnormal) |
| Laboratory test | HGB | Continuous variable |
|  | WBC | Continuous variable |
|  | PLT | Continuous variable |
|  | FBG | Continuous variable |
|  | SGPT | Continuous variable |
|  | SGOT | Continuous variable |
|  | ALB | Continuous variable |
|  | TBIL | Continuous variable |
|  | SCR | Continuous variable |
|  | BUN | Continuous variable |
|  | TC | Continuous variable |
|  | TG | Continuous variable |
|  | LDLC | Continuous variable |
|  | HDLC | Continuous variable |

Height was measured to the nearest 0.5 cm and weight to the nearest 0.1 kg . The heightweight scale had been calibrated before using. Light clothes and no shoes were required for the participants. BMI was calculated as weight ( Kg )/height2 (m2). EF, exercise frequency; SS, smoking status; DF, drinking frequency; DM, diabetes mellitus; PH , parental hypertension; BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; WC, waist circumference; ECG, electrocardiogram; HR, heart rate; HGB, hemoglobin; WBC, white blood cell; PLT, platelet; FBG, fasting blood glucose; SGPT, serum glutamic-pyruvic transaminase; SGOT, serum glutamic-oxaloacetic transaminase; ALB, albumin; TBIL, total bilirubin; SCR, serum creatinine; BUN, blood urea nitrogen; TC, total cholesterol; TG, triglyceride; LDLC, low-density lipoprotein cholesterol; HDLC, high-density lipoprotein cholesterol.
output layer calculates the response. NB is a classical ML algorithm, which calculates the probabilities of each attribute by applying Bayes' rule and predicts the class based on the highest prior probability (35).

The LR is a generalized linear regression analysis model, and aims to find out the best fitting model to describe the relationship between the dependent variables and independent predictors (36). This model was most extensively applied because of the good effect of disease predictions.

## Model evaluation

To optimize the model effect, we adjust the parameters of each model based on the learning curve and grid search, so as to find the optimal combination of parameters. Besides, we calculated the sensitivity, specificity, positive predictive value (PPV), negative predictive value (NPV), accuracy, Youden index, and area under the receiver operating characteristic (AUC) curve of each model based on the confusion matrix to evaluate the pros and cons.

## Feature importance ranking

According to the results of the LR model, the absolute values of the regression model Z statistic $(23,37)$ were calculated and adjusted the sum to 1 (the higher the value, the greater the effect on hypertension). Then, the feature importance ranking plot of the LR model was drawn.

Machine Learning algorithms can also measure the importance of different features. Different from the odds ratio (OR) of the regression model, the machine algorithm cannot evaluate a simple explanatory value because the relationship fitted by the machine algorithm is complex. Therefore, the relationship is usually not directly generalized to any one parameter, and there is no causal relationship, not even a statistical explanation (18). This measure is usually viewed as the sorting of how important each variable is to the model fit, which is a method to generate hypotheses in order to identify the factors requiring further study and also provides insight into the factors having the greatest impact on predictions. Therefore, a feature importance ranking plot was drawn for the ML algorithm which showed the best prediction.

All analyses were carried out with the python 3.8.3 version. Null and outlier determination and interpolation were performed by the "Pandas" library, "NumPy" library, and "Matplotlib" library. Data imbalance was solved by the "Imlearn" library, and build and validate ML models by the "Sklearn" library. LASSO penalized LR was performed by the "Glmnet" package of the $R$ software 4.1.0 version.

## Results

## Gender and age differences in hypertension

After pre-processing of data, $4,287,407$ people were left, consisting of $2,009,970$ men ( $46.9 \%$ ) and $2,277,437$ women (53.1\%). From Table 2, we can observe that the prevalence of hypertension was $22.1 \%$ in men and $23.7 \%$ in women, and the prevalence of hypertension was higher in women than in men ( $P<0.001$ ). This study further analyzed the differences in the prevalence of hypertension in two genders with different age groups, and we found that in the 18-29 age group and 3045 age group, men had a higher prevalence ( $P<0.001$ ), while in the 46-65 age group and over 65 age group, women had a higher prevalence ( $P<0.001$ ). The prevalence of hypertension increases sharply with age in both genders.

## Basic characteristics

The general characteristics of participants in this study are shown in Table 3. A total of 985,431 patients with hypertension were recruited. Compared with non-hypertension people, the median values of age, SBP, DBP, body mass index (BMI), WC, hemoglobin (HGB), white blood cell (WBC), fasting blood glucose (FBG), serum glutamic-pyruvic transaminase (SGPT), serum glutamic oxaloacetic transaminase (SGOT), serum creatinine (SCR), blood urea nitrogen (BUN), total cholesterol (TC), triglyceride (TG), and low-density lipoprotein
cholesterol (LDLC) were higher in people with hypertension, and the latter are more likely to have parental hypertension (PH) and diabetes mellitus (DM). On the contrary, higher platelet (PLT) and higher albumin (ALB) levels were more common in participants without hypertension.

## Features extraction

In this study, the LASSO regression model was used to select the features of the training set data. The results show that there were 24 variables with non-zero coefficients in the LASSO regression model (Figure 2), including sex, age, ethnicity, SBP, DBP, BMI, WC, exercise frequency (EF), drinking frequency (DF), PH, DM, HGB, WBC, PLT, FBG, electrocardiogram (ECG), SGOT, ALB, total bilirubin (TBIL), BUN, TC, TG, LDLC, and high-density lipoprotein cholesterol (HDLC). These 24 variables were used in three types of hypertension prediction models.

## Class balance

The sample size of hypertensive patients in the training set was expanded to $2,312,160$ by the Borderline-SMOTE algorithm, and finally, 4,624,320 nonhypertensive and hypertensive samples were obtained (Table 4).

TABLE 2 Differences in the prevalence of hypertension between men and women in this study $(N=4,287,407)$.

| Variables | Total | Non-Hypertensive | Hypertension | Prevalence of hypertension | P -value |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Sex, $n(\%)$ |  |  |  |  | $<0.001$ |
| Female | 2,277,437 (53.1) | 1,736,267 (52.6) | 541,170 (54.9) | 23.7 |  |
| Male | 2,009,970 (46.9) | 1,565,709 (47.4) | 444,261 (45.1) | 22.1 |  |
| Age group, $\boldsymbol{n}$ (\%) |  |  |  |  |  |
| 18-29 |  |  |  |  | $<0.001$ |
| Female | 273,841 (52.6) | 273,391 (52.7) | 450 (29.4) | 0.2 |  |
| Male | 246,490 (47.4) | 245,411 (47.3) | 1,079 (70.6) | 0.4 |  |
| 30-45 |  |  |  |  | $<0.001$ |
| Female | 560,689 (53.9) | 538,282 (54.3) | 22,407 (46.3) | 4.0 |  |
| Male | 479,894 (46.1) | 453,897 (45.7) | 25,997 (53.7) | 5.4 |  |
| 46-65 |  |  |  |  | $<0.001$ |
| Female | 1,054,532 (53.6) | 755,022 (52.5) | 299,510 (56.4) | 28.4 |  |
| Male | 913,697 (46.4) | 682,213 (47.5) | 231,484 (43.6) | 25.3 |  |
| 65 over |  |  |  |  | <0.001 |
| Female | 388,375 (51.2) | 169,572 (47.9) | 218,803 (54.1) | 56.3 |  |
| Male | 369,889 (48.8) | 184,188 (52.1) | 185,701 (45.9) | 50.2 |  |

TABLE 3 Characteristics of participants in this study.

| Characteristics | Total $(n=4,287,407)$ | Non-Hypertensive $(n=3,301,976)$ | Hypertension $(n=985,431)$ | $P$-value |
| :---: | :---: | :---: | :---: | :---: |
| Age (median [IQR]) | 50.00 [38.00, 61.00] | 47.00 [34.00, 55.00] | 62.00 [54.00, 70.00] | $<0.001$ |
| Sex (\%) |  |  |  | <0.001 |
| Female | 2,277,437 (53.1) | 1,736,267 (52.6) | 541,170 (54.9) |  |
| Male | 2,009,970 (46.9) | 1,565,709 (47.4) | 444,261 (45.1) |  |
| Ethnicity (\%) |  |  |  | $<0.001$ |
| Han | 1,255,170 (29.3) | 983,889 (29.8) | 271,281 (27.5) |  |
| Hui | 198,028 (4.6) | 151,551 (4.6) | 46,477 (4.7) |  |
| Kazakh | 408,666 (9.5) | 307,380 (9.3) | 101,286 (10.3) |  |
| Other nationalities | 138,776 (3.2) | 109,309 (3.3) | 29,467 (3.0) |  |
| Uyghur | 2,286,767 (53.3) | 1,749,847 (53.0) | 536,920 (54.5) |  |
| EF (\%) |  |  |  | $<0.001$ |
| Daily | 301,849 (7.0) | 197,356 (6.0) | 104,493 (10.6) |  |
| More than once a week | 91,594 (2.1) | 61,264 (1.9) | 30,330 (3.1) |  |
| Not exercising | 3,751,779 (87.5) | 2,945,712 (89.2) | 806,067 (81.8) |  |
| Occasionally | 142,185 (3.3) | 97,644 (3.0) | 44,541 (4.5) |  |
| SS (\%) |  |  |  | $<0.001$ |
| Never smoked | 3,820,572 (89.1) | 2,922,174 (88.5) | 898,398 (91.2) |  |
| Quit smoking | 29,746 (0.7) | 19,743 (0.6) | 10,003 (1.0) |  |
| Smoking | 437,089 (10.2) | 360,059 (10.9) | 77,030 (7.8) |  |
| DF (\%) |  |  |  | $<0.001$ |
| Every day | 5,235 (0.1) | 3,626 (0.1) | 1,609 (0.2) |  |
| Never | 3,964,939 (92.5) | 3,036,309 (92.0) | 928,630 (94.2) |  |
| Occasionall | 293,659 (6.8) | 242,935 (7.4) | 50,724 (5.1) |  |
| Often | 23,574 (0.5) | 19,106 (0.6) | 4,468 (0.5) |  |
| PH (\%) |  |  |  | $<0.001$ |
| No | 4,085,273 (95.3) | 3,169,978 (96.0) | 915,295 (92.9) |  |
| Yes | 202,134 (4.7) | 131,998 (4.0) | 70,136 (7.1) |  |
| DM (\%) |  |  |  | $<0.001$ |
| No | 4,003,394 (93.4) | 3,195,815 (96.8) | 807,579 (82.0) |  |
| Yes | 284,013 (6.6) | 106,161 (3.2) | 177,852 (18.0) |  |
| SBP (median [IQR]) | 120.00 [110.00, 130.00] | 120.00 [110.00, 126.00] | 126.00 [119.58, 140.00] | $<0.001$ |
| DBP (median [IQR]) | 72.00 [67.00, 80.00] | 70.00 [65.00, 80.00] | 80.00 [70.00, 90.00] | <0.001 |
| BMI (median [IQR]) | 24.80 [22.32, 27.44] | 24.29 [22.03, 26.93] | 26.06 [23.83, 29.00] | <0.001 |
| Wc (median [IQR]) | 86.00 [79.00, 95.00] | 85.00 [78.00, 92.00] | 91.00 [83.00, 100.00] | <0.001 |
| HR (\%) |  |  |  | <0.001 |
| Abnormal | 39345 (0.9) | 28326 (0.9) | 11019 (1.1) |  |
| Normal | 4248062 (99.1) | 3273650 (99.1) | 974412 (98.9) |  |
| ECG (\%) |  |  |  | $<0.001$ |
| Abnormal | 895654 (20.9) | 607733 (18.4) | 287921 (29.2) |  |
| Normal | 3391753 (79.1) | 2694243 (81.6) | 697510 (70.8) |  |
| HGB (median [IQR]) | 141.00 [129.00, 153.00] | 140.70 [128.00, 153.00] | 143.00 [132.00, 153.00] | $<0.001$ |
| WBC (median [IQR]) | 6.20 [ $5.25,7.27]$ | 6.15 [5.20, 7.20] | 6.37 [5.36, 7.45] | <0.001 |
| PLT (median [IQR]) | 236.00 [198.00, 276.00] | 236.00 [199.00, 276.00] | 235.00 [196.00, 276.00] | <0.001 |
| FBG (median [IQR]) | 5.23 [4.74, 5.74] | 5.20 [4.69, 5.63] | 5.43 [4.94, 6.10] | $<0.001$ |
| SGPT (median [IQR]) | 20.90 [15.00, 28.70] | 20.90 [15.00, 28.90] | 21.00 [15.00, 28.30] | <0.001 |
| SGOT (median [IQR]) | 21.60 [17.40, 26.70] | 21.50 [17.30, 26.60] | 21.80 [17.60, 27.00] | <0.001 |

TABLE 3 (Continued)

| Characteristics | Total $(n=4,287,407)$ | Non-Hypertensive $(n=3,301,976)$ | Hypertension $(n=985,431)$ | $P$-value |
| :---: | :---: | :---: | :---: | :---: |
| ALB (median [IQR]) | 14.15 [14.15, 14.15] | 14.15 [14.15, 14.15] | 14.15 [14.15, 14.15] | $<0.001$ |
| TBIL (median [IQR]) | 12.71 [9.56, 15.17] | 12.71 [9.52, 15.20] | 12.71 [9.60, 15.02] | 0.008 |
| SCR (median [IQR]) | 65.50 [ $54.95,78.00$ ] | 65.20 [ $54.60,77.60]$ | 66.40 [ $55.56,78.70]$ | $<0.001$ |
| BUN (median [IQR]) | 4.95 [3.98, 5.99] | 4.89 [3.92, 5.90] | 5.11 [4.16, 6.21] | $<0.001$ |
| TC (median [IQR]) | 4.40 [3.76, 5.10] | 4.32 [3.70, 5.01] | 4.60 [3.99, 5.30] | $<0.001$ |
| TG (median [IQR]) | 1.22 [0.89, 1.68] | 1.20 [ $0.85,1.61]$ | 1.34 [1.00, 1.89] | $<0.001$ |
| LDLC (median [IQR]) | 2.46 [1.95, 3.04] | 2.41 [1.92, 3.00] | 2.57 [2.03, 3.20] | $<0.001$ |
| HDLC (median [IQR]) | 1.33 [1.10, 1.64] | 1.33 [1.10, 1.64] | 1.32 [1.10, 1.63] | $<0.001$ |

For continuous variables, the data were expressed as median [IQR: inter-quartile range], and for categorical variables, the data were expressed as counts (percentage). EF, exercise frequency; SS, smoking status; DF, drinking frequency; DM, diabetes mellitus; PH, parental hypertension; BMI, body mass index; SBP, systolic blood pressure; DBP, diastolic blood pressure; WC, waist circumference; ECG, electrocardiogram; HR, heart rate; HGB, hemoglobin; WBC, white blood cell; PLT, platelet; FBG, fasting blood glucose; SGPT, serum glutamic-pyruvic transaminase; SGOT, serum glutamic-oxaloacetic transaminase; ALB, albumin; TBIL, total bilirubin; SCR, serum creatinine; BUN, blood urea nitrogen; TC, total cholesterol; TG, triglyceride; LDLC, low-density lipoprotein cholesterol; HDLC, high-density lipoprotein cholesterol.


FIGURE 2
Feature selection using LASSO regression in the training set. (A) Cross-validation was performed 10 times to select the optimal parameters (lambda) of the LASSO model. (B) LASSO coefficient profile of 24 characteristics. In the LASSO algorithm, with the change of lambda, the trajectory of each hypertension-related characteristic coefficient is observed in the LASSO coefficient profile. LASSO, least absolute shrinkage and selection operator.

## Tuning of parameters

In the non-laboratory and semi-laboratory analyses, we optimally adjusted the training set parameters of the four "tree" models, and listed the score (accuracy) of each parameter under the different models in the validation set. The results showed that, on the basis of the optimization of the other parameters, the "tree" depths of CART, RF, ADABoost, and XGBoost in the non-laboratory analyses were $24,40,5$, and 6 , respectively (Figure 3) while in the semi-laboratory analyses were 22, 44, 7,
and 5, respectively (Figure 4). Thus, a relatively economical and accurate classification tree model is obtained, respectively.

## Comparison of model performance

We constructed three classification models of tree-based ML models (CART, RF, ADABoost, and XGBoost), other ML models (ANN and NB), and traditional classical models (LR) in this study. Supplementary Tables S1, S2 presented the algorithm

TABLE 4 Borderline-SMOTE over-sampling balanced dataset description.

| Dataset | Non-Hypertensive/Hypertensive | Ratio | Description |
| :--- | :--- | :--- | :--- |
| Training set data | $2,312,160 / 689,025$ | $3.36: 1$ | Original data with full instances |
| Borderline-SMOTE data | $2,312,160 / 2,312,160$ | $1: 1$ | Dataset is balanced utilizing Borderline-SMOTE oversampling |



FIGURE 3
Parameter selection for four non-laboratory prediction models. Using the learning curves for (A) CART. (B) RF. (C) ADABoost, and (D) XGBoost respectively, the scores (accuracy) of each algorithm at different tree depths are shown in Figure. Abbreviations: CART, classification and regression tree; $R F$, random forest; $A D A B o o s t$, adaboost with decision tree; XGBoost, extreme gradient boosting decision tree.
performances of non-laboratory and semi-laboratory analyses in the validation set, respectively. Tables 5, 6 presented the algorithm performances of non-laboratory and semi-laboratory analyses in the test set, respectively. The heat map showed the confusion matrix, where the larger the value, the darker the color of the area, i.e., the color of the TN and TP areas were closer to red or blue. On the contrary, the lighter the color of the FN and FP regions, the higher the accuracy of the classification model. XGBoost algorithm had a great performance in predicting the risk of hypertension in a large population of China, whose AUC of non-laboratory and semi-laboratory was 0.893 and 0.894 , respectively. The NB algorithm was less effective in predicting hypertension. Some of the algorithms (RF, ADABoost, and XGBoost) in the
semi-laboratory analysis incorporating blood test data showed little improvement in predictive performance compared to the non-laboratory analysis. Supplementary Figures S1, S2, and Figure 5 show the receiver operating characteristic (ROC) curve of all classifiers.

## Importance of features

In this study, the importance of each feature was ranked by the LR model (Figure 6), and it was found that age, DBP, ECG, SBP, BMI, DF, sex (female), WC, ethnicity (uyghur, hui, and other), and FBG were the factors that had a greater impact on hypertension. Afterward, feature importance ranking was

conducted for the ML algorithms which performed best in the non-laboratory analyses and semi-laboratory analyses.

In conclusion, considering the results of LR and XGBoost, age, SBP, WC, DBP, ALB, DF, ECG, ethnicity (uyghur, hui, and other), BMI, sex (female), EF, DM, TBIL, and FBG were identified as important factors of hypertension.

Finally, the algorithm architecture proposed in the paper is shown in Figure 8. We have constructed the optimal XGBoost algorithm based on non-laboratory and semilaboratory influencing factors to achieve the prediction of hypertension prevalence in a large-scale population in Xinjiang.

XGBoost provides three ways to calculate the importance of each feature, and "gain" was chosen as the calculation method of feature contribution, because it could easily find the most direct features. It was found that age, SBP, WC, ECG, DBP, ethnicity (uyghur and other nationalities), DF, DM, and sex (female) were identified as the top 10 most important factors in the non-laboratory analyses with XGBoost algorithms, while age, SBP, WC, DBP, ALB, DF, ECG, ethnicity (uyghur, hui, and other nationalities), BMI, sex (female), EF, DM, and TBIL were
identified as the top 15 most important features in the semilaboratory analyses with the XGBoost algorithms (Figure 7).

## Discussion

Between 2012 and 2015, the prevalence of hypertension in China was increasing to a high level (46.4\%) according to the 2017 American College of Cardiology/American Heart Association guidelines (38). However, the control and treatment of hypertension are not perfect enough, and people's awareness regarding hypertension was lacking (39). Identifying these potential hypertension patients and initiating appropriate treatment are of priority. In this study, we incorporated 4,287,407 adults who had national physical examinations for non-laboratory and semi-laboratory analyses, respectively, and figured out an optimal prediction of hypertension risk in a large Chinese population by comparing tree-based ML models (CART, RF, ADABoost, and XGBoost), other ML models (NB and ANN), and traditional LR models.

TABLE 5 Performance of each algorithm in the test set for non-laboratory analysis ( $n=428,740$ ).


ML, machine learning; CART, classification and regression tree; RF, random forest; ADABoost, adaboost with decision tree; XGBoost, extreme gradient boosting decision tree; ANN, artificial neural network; $N B$, naive Bayes; $L R$, logistic regression; $A U C$, the area under the receiver operating characteristic curve.

TABLE 6 Performance of each algorithm in the test set for semi-laboratory analysis ( $n=428,740$ ).


TABLE 6 (Continued)


ML, machine learning; CART, classification and regression tree; RF, random forest; ADABoost, adaboost with decision tree; XGBoost, extreme gradient boosting decision tree; ANN, artificial neural network; $N B$, naive Bayes; $L R$, logistic regression; $A U C$, the area under the receiver operating characteristic curve.


Hypertension is a significant public health issue. The ability to predict the risk of developing hypertension could contribute to disease prevention strategies. At present, many models for hypertension have been established, which show good predicting results. However, these models are limited to a specific population $(40-44)$ or disease (45-47). For example, Xu Y et al. (41) established a prediction model for hypertension in the Xinjiang kazak population by using 14 predictors, including age, smoking, alcohol consumption, baseline BMI, baseline DBP, baseline SBP, daily salt intake, and yak butter intake. Kanegae $H$ et al. (43) developed a high-precision prediction model for hypertension based on artificial intelligence by incorporating age, BMI, WC, SDP, DBP, Cardio-Ankle vascular
index, uric acid, and other factors. Qi H et al. (45) established a micro-RNA screening and prediction model for salt-sensitive hypertension at the miRNA molecular level. Factors unique to these studies may be the main reason why the model achieves good predictive results in different populations. The classic hypertension prediction model Framingham Risk Score (FRS) (7) believes that age, sex, SDP, DBP, BMI, PH, and smoking are important influencing factors of hypertension. FRS has been verified in European population studies and has shown good differentiation and calibration (9). Carson AP et al. (40) also applied it to the prediction and assessment of hypertension risk in young people and achieved good results. However, a study about the FRS model indicated that it is not suitable

for the Chinese population (11). Therefore, this study included ethnicity, WC, EF, ECG, DM, and other characteristics based on FRS, which gained good predicting results.

It has been widely confirmed that the prevalence of hypertension in different genders was diverse (48-51). This study showed that differences existed between the two genders. In the age groups of $18-29$ and $30-45$, the prevalence of hypertension in men was significantly higher than in women, while in the 46-65 and over 65 age groups, an opposite trend was observed. This difference might be due to hormonal differences or lifestyle differences $(50,52)$. Studies have shown that the blood pressure of premenopausal women was often lower than that of men of the same age. After menopause, the prevalence of hypertension in women gradually increased, and after the age of 65, the prevalence of hypertension in women was significantly higher than in men $(51,53)$. The above findings indicated that there were gender differences in the underlying pathological mechanisms of hypertension.

Previous studies have demonstrated differences in the prevalence of hypertension between different ethnicities (5457) and confirmed that ethnicity could be a predictor of hypertension (58). Therefore, ethnicity was incorporated into the prediction model, and the results also indicated that it could be an important predictor of hypertension in the Chinese population, especially in uygur, hui, and other nationalities.

According to the World Health Organization (WHO), the global increase in the prevalence of hypertension has been attributed to persistent stress, excess weight, physical inactivity,
harmful alcohol consumption, and an unhealthy diet (59). Also, our model also proved that WC, BMI, EF, and DF are important influencing factors of hypertension. Our findings also suggest that ECG was an important predictor of hypertension, which was consistent with other studies (60-62). The pathogenesis of DM and hypertension mutually promote and influence each other (63-65), which makes the prediction models have a general limitation and may not be applicable to the DM population (7, 13, 66). In order to avoid this deficiency, this important factor was considered in the inclusion of risk factors and was included as a predictor of hypertension, and the results also showed its important role in predicting hypertension. The semilaboratory analyses of this study showed that ALB levels were important influencing features of hypertension. Hypertension is associated with endothelial dysfunction, insulin resistance, inflammation, and oxidative stress $(67,68)$, while ALB has anti-inflammatory and antioxidant effects (69). The study by Oda E et al. (70) also showed the same findings about ALB as our study. A report published by Nilsson PM in 2019 showed that after multiple adjustments for age, sex, body mass index, smoking, drinking habits, dyslipidemia, chronic kidney disease, and blood uric acid, fasting blood glucose at a high baseline level was an independent risk marker for newonset hypertension. Afterward, TatSumi et al. (71) showed that fasting blood glucose was a good predictor of hypertension through a 5 -year cohort study, which was consistent with our findings.

This study implied that the semi-laboratory analyses incorporating blood test indicators did not show a significant

figure 7
Feature importance of XGBoost algorithm. (A) Non-laboratory model. (B) Semi-laboratory model. SBP, systolic blood pressure; WC, waist circumference; DBP, diastolic blood pressure; ALB, albumin; DF, drinking frequency; ECG, electrocardiogram; BMI, body mass index; EF, exercise frequency; DM, diabetes mellitus; TBIL, total bilirubin; SGOT, serum glutamic-oxaloacetic transaminase; TG, triglyceride; HGB, hemoglobin; PH, parental hypertension; WBC, white blood cell; FBG, fasting blood glucose; HDLC, high density lipoprotein cholesterol; TC, total cholesterol; LDLC, low density lipoprotein cholesterol; BUN, blood urea nitrogen; PLT, platelet; Sex (female) and Sex (male) are dummy variables of sex; ethnicity (uyghur), ethnicity (hui), ethnicity (other), and ethnicity (kazak) are dummy variables of ethnicity.
improvement in predictive performance compared to the nonlaboratory analyses. The feature importance ranking plot of the XGBoost algorithm also showed that the blood test factors were not very important for the identification of hypertension.

There are several advantages to this study. First, this study was based on a large amount of population data in China, which
was highly generalizable and representative. In addition, our dataset included multiple major ethnic groups in China, which better assessed the characteristics of the Chinese population. Besides, we carried out both non-laboratory analyses and semilaboratory analyses, respectively, and found two optimal models that were suitable for people in different regions. Especially, in

non-laboratory analyses, simple and easily available variables were used to build a predictive model with high performance, which saves blood testing and extra manpower, as well as greatly promotes the diagnosis and screening of hypertension in economically underdeveloped remote areas (15). We obtained a satisfied predictive effect of our models, for example, the AUC values of XGBoost were 0.893 and 0.894 , respectively. As far as we know, the effects of our model were better than most of the known models, which might be due to the fact that the model was built on many features and included a big sample.

There are several limitations to this study. First, the causal relationship cannot be analyzed from the cross-sectional data of the health screening component, which needs to be further verified in future studies. Second, the data of this study were based on the physical examination data of residents in the Xinjiang region of China, which may limit the extrapolation of results. Third, EF, DF, and DM are all based on a questionnaire survey, and participants reported themselves through recall, which can lead to memory errors. Considering privacy and other reasons, participants failed to truthfully fill in their DM status, so the prevalence of diabetes was underestimated. Finally, in the current study, only self-reported parental history of hypertension was available. A previous study indicated that children's self-reported parental history of hypertension had a high positive predictive value but a low negative predictive value, suggesting that more participants may classify their parents as normotensive while their parents were actually hypertensive (72).

## Conclusion

In summary, on the basis of a cross-sectional study involving $4,287,407$ participants, we carried out the nonlaboratory and semi-laboratory analyses, by constructing the tree-based ML models, other ML models, and traditional LR model and obtaining the optimal algorithm for predicting the risk of hypertension in a large-scale Chinese population. This study showed that tree-based ML models (XGBoost algorithm) performed excellently in identifying hypertensive patients, while blood test factors had little effect on improving the hypertension prediction model. As we know, this study is the first one to establish non-laboratory and semi-laboratory hypertension prediction models on the basis of multi-ethnic and large samples by systematically and comprehensively comparing various algorithms, which provided a new approach to the prediction and prevention of hypertension.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary materials, further inquiries can be directed to the corresponding authors.

## Ethics statement

The studies involving human participants were reviewed and approved by this study was conducted in accordance with the principles outlined in the Helsinki Declaration and was
approved by the Ethics Committee and Institutional Review Committee of the Xinjiang Uygur Autonomous Region Center for Disease Control and Prevention. The patients/participants provided their written informed consent to participate in this study.

## Author contributions

YiZ and YW conceived the study. WJ and YuZ collected the data. WJ and YC performed the statistical analyses. WJ and YuZ drafted the manuscript. YiZ critically reviewed and edited the manuscript. All authors contributed to data analysis, drafting, and revising of the article, gave final approval of the version to be published, agreed on the journal to which the article has been submitted, and agree to be accountable for all aspects of the work.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fcvm.2022.928948/full\#supplementary-material
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# Serum peptidomic screening identified circulating peptide biomarkers predictive for preeclampsia 

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#### Abstract

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Background: Reliable biomarkers are needed to improve preeclampsia (PE) prediction accuracy. With the investigational tool of peptidomics, we aimed to identify and validate potential serum peptide biomarkers in cohorts suspected for PE development in middle or late pregnancy.
Methods: Totally 195 serum samples were prospectively collected from pregnant women with PE-related syndromes who were followed up for PE development until delivery. Serum peptidomic analysis was performed in the discovery cohort of 115 samples using matrix-assisted laser desorption ionization-time of flight coupled with Linear Trap Quadropole Orbitrap mass spectrometry. The candidate biomarkers were further validated using an in-house developed liquid chromatography tandem mass spectrometry (LCMS/MS) method in an independent validation cohort of 80 serum samples.

Results: We identified 8 peptides that were differentially expressed and originated from fibrinogen alpha chain (FGA), inter-alpha-trypsin inhibitor heavy chain H 4 (ITIH4) and complement component 3. In the subsequent LC-MS/MS quantitation analysis, the levels of the three peptides (FGA-1033.4, ITIH4-2026.9, ITIH4-2051.1) exhibited a significant difference between the PE-positive and PE-negative groups. Further, the threepeptide panel yielded an area under the ROC curve (AUC) of 0.985

# [95\% confidence interval ( Cl ) $0.965-1.000$ ] and 0.923 ( $95 \% \mathrm{Cl} 0.845-1.000$ ) in the discovery and validation cohorts respectively, with negative predictive values of 98.1-98.8\% and positive predictive values of $73.1-85.3 \%$ that were much improved when compared with that of soluble fms-like tyrosine kinase1/placental growth factor (sFlt-1/PIGF) ratio. <br> Conclusions: We have discovered and validated a novel three-peptide biomarker panel predictive for the occurrence PE in pregnant women. 

## KEYWORDS

preeclampsia, prediction, peptidomics, peptides, mass spectrometry

## Introduction

Preeclampsia (PE) is a pregnancy associated complication characterized by high blood pressure and proteinuria after 20 weeks of gestation and accompanied by multiple organ damage, such as heart, brain, and kidney $(1,2)$. PE related complications include but not limited to high risks of iatrogenic preterm delivery, intrauterine growth restriction, placental abruption, and perinatal mortality, along with maternal morbidity and mortality ( 3,4 ). Currently, there is no reliable early warning indicators for PE due to a lack of effective diagnostic testing method, which poses a serious threat to the health of pregnant women and infants.

Extensive efforts have been invested in the search of PE predictive biomarkers. Some of the previous studies have focused on the risk assessment in the first trimester of pregnancy (5). However, the PE predictive models in early pregnancy, which often combines maternal background risk factors, imaging tests and serum biomarkers to increase sensitivity, displayed poor positive predictive values (PPV, $8-33 \%$ ) in general population in which the prevalence of PE is low (6). False-positive patients who did not develop PE may have undergone unnecessary tests and prophylactic interventions with little benefit. Other investigations of PE predictive biomarkers have focused on suspected patients at a later stage of pregnancy ( $>20$ gestational weeks) presenting with PE associated symptoms and/or unusual laboratory results ( 7,8 ). For instance, the soluble fms-like tyrosine kinase1 (sFlt-1) and placental growth factor (PlGF) has been proven to be effective in excluding PE with a negative predictive value (NPV) of $99.3 \%$, although the PPV was still lower than $40 \%$ (7). Similar observation was made with renal function tests such as uric acid and cystatin C (9).

Peptidomics, a comprehensive analysis of native peptides using high performance liquid chromatography (HPLC) coupled with mass spectrometry (MS), is a powerful technology for unbiased screening of biomarkers of human diseases (10). Unlike proteomics, peptidomics focuses on the analysis of
naturally occurring and endogenous low molecular weight (LMW) peptides and proteolytic fragments ( $\mathrm{MW}<10 \mathrm{kDa}$ ) which are considered as pathophysiological surrogates in signaling, proteolytic, and anti-proteolytic pathways in systemic diseases such as PE (11). For instance, using peptidomics, Wen et al. (11) identified the degradation patterns of serum specific protein throughout the progression of PE and discovered a 19-peptide panel that could be potentially used in PE prediction and differential diagnosis. Furthermore, despite a small cohort ( $n=6,3$ PE and 3 controls), Dai et al. (12) found that the differentially expressed peptides were engaged in enzyme regulator activity, biological regulation, and coagulation cascades during pathological changes of PE. However, these previous peptidomic studies of PE prediction were hampered by their retrospective study design in nature and a lack of peptide quantitative validation in independent cohorts.

In this work, we have carried out a prospective peptidomic analysis in the suspected patients during PE development and progression. An analytical workflow of matrix-assisted laser desorption ionization-time of flight (MALDI-TOF) combined with high-resolution mass spectrometry was applied to identify differentially expressed peptides. The selected peptide candidate biomarkers were further verified and validated using an in-house developed liquid chromatography-tandem mass spectrometry (LC-MS/MS) quantitative method in two independent cohorts.

## Materials and methods

## Subjects and sample collection

The enrollment criteria for women with suspected PE are described as follows (9). The recruited singleton pregnant women were at least 18 years old and between 20 and 36 gestational weeks (GWs). In addition, one of the following recruiting criteria had to be met for patient recruitment: new onset of elevated blood pressure (BP) (systolic BP
$>120$ and $<160 \mathrm{mmHg}$ and/or diastolic $\mathrm{BP}>80$ and $<110 \mathrm{mmHg}$ ) or proteinuria ( $\geq 2+$ by dipstick); aggravation of preexisting hypertension or proteinuria; or persistent symptoms of upper abdominal pain, edema, headache, visual impairment, abnormal weight gain ( $>1 \mathrm{~kg} /$ week), decreased platelets $\left(<150^{*} 109 / \mathrm{L}\right)$, elevated liver transaminase (alanine transferase $>55 \mathrm{U} / \mathrm{L}$ or aspartate transaminase $>34 \mathrm{U} / \mathrm{L}$ ), fetal growth restriction (estimated fetal weight or abdominal circumference <10th percentile according to the charts routinely used by Obstetric Department at our institute), increased pulsatility index (PI) of the uterine artery (PI > 0.878 ), abnormal uterine ultrasound perfusion during midpregnancy, or uterine artery flow notching. The exclusion criteria included: confirmed diagnosis of PE or Hemolysis Elevated Liver enzymes and Low Platelets (HELLP) syndrome at enrollment. The recruited pregnant subjects had their sera collected at their first visits with onset of the suspected symptoms, and followed up for the presence ("PE-positive" group) or absence ("PE-negative" group) of preeclampsia until delivery.

The preeclampsia diagnosis was determined with the diagnostic criteria proposed by the 2019 ACOG Practice Bulletin (6), in which preeclampsia was defined as gestational hypertension (systolic/diastolic blood pressure $\geq 140 / 90 \mathrm{mmHg}$ ) in previously normotensive women accompanied by proteinuria (urine protein $\geq 300 \mathrm{mg} / 24 \mathrm{~h}$ ) or end-organ damage after 20 weeks of gestation. The methods for serum levels of sFlt-1 and PlGF were listed in the Supplementary Methods.

## Biomarker study design

The study design of biomarker development followed the principles of the PRoBE (prospective-specimen collection, retrospective-blinded-evaluation) (13), in which all the serum samples were prospectively collected from the enrolled patients before PE development. As depicted in Figure 1, with 1,023 singleton pregnant women screened by the clinician team, totally 215 subjects meeting recruiting criteria were initially enrolled. Twenty of them were excluded due to incomplete follow-up $(n=4)$, low serum sample volume $(n=1)$ or receiving anti-hypertensive treatment during pregnancy ( $n=$ 15). For peptidomic analysis, peptide biomarker discovery and verification, 115 pregnant women ( 30 PE-positive and $85 \mathrm{PE}-$ negative) enrolled from January 2018 to August 2018 were included. For the peptide candidates validation, an independent cohort of 80 subjects ( 20 PE-positive and 60 PE-negative, recruited from Oct 2018 to Jan 2019) was used. The schematic diagram of the study designed for the two phases of serum peptide biomarker development for PE prediction was shown in Figure 1.

## Mass spectrometry-based serum peptidomics and peptide candidates quantitation

The method details for serum pretreatment, peptidomic profiling, data processing (14, 15), peptide candidates identification (16) and quantitation by liquid chromatography tandem mass spectrometry (LC-MS/MS) (17) were summarized in the Supplementary Methods. In the peptide quantitation, the details for calibration curve setup, internal standard spiking concentration and mass spectrometry parameter setting were included in Supplementary Table 1.

## Statistical analysis

Data analysis was performed using statistical software SPSS 23.0. The Kolmogorov-Smirnov test was used to evaluate the normality of the data distribution. Numerical values were expressed as the mean and standard deviation (SD) for variables with normal distribution and as the median and percentiles for non-normally distributed data. Comparisons between the two groups were performed using the $t$-test (for normal distribution) or Mann-Whitney- $U$ test (for nonnormal distribution). Categorical variables were expressed as frequencies and proportion; comparisons between the two groups were tested by Chi-square test. The receiver operating characteristics (ROC) curve was used to analyze the predictive values of the markers for preeclampsia. Multivariate logistic regression analysis was applied to obtain the combined ROC curve. Sensitivity, specificity and cut-off values determined by the Youden's index were reported. The correlation analysis adopted in present study was Kendall's correlation method.

## Results

## Discovery and identification of differently expressed peptides between PE-positive and PE negative groups

Following the PRoBE design and the enrollment criteria, totally 195 suspected subjects with PE-relevant clinical symptoms or abnormal laboratory results had their serum sample collected right upon recognition by our clinical team. The demographic information for the recruited patients, including age, pre-pregnancy BMI, gravidity, parity, and sampling gestation week, were summarized in Table 1. Supplementary Tables 2, 3 listed detailed information of each participant in the discovery cohort $(n=115)$ and the validation cohort ( $n=80$ ) respectively. As shown in Table 1, for any of


FIGURE 1
Schematic diagram for patient enrollment and peptide biomarker candidates discovery and validation for preeclampsia prediction.
the listed subjects' demographic factors, there was no significant difference between the PE-posiive and PE-negative groups.

As depicted in Figure 1, the peptide biomarker development consisted of 2 phases: discovery and validation. In the discovery
group, serum samples from all PE-positive subjects ( $n=30$ ) and 30 PE-negative patients with matching demographic information were used for the peptide discovery study. A total of 117 informative peaks were detected using the MALDI-TOF

TABLE 1 Demographic information for the discovery cohort and the validation cohort.

|  | Age | Pre-pregnancy BMI | Gravidity ( $n, \%$ ) |  |  | Parity ( $n, \%$ ) |  |  | Sampling week |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 1 | 2 | $\geq 3$ | 0 | 1 | 2 |  |
| Discovery cohort ( $n=115$ ) |  |  |  |  |  |  |  |  |  |
| PE-positive ( $n=30$ ) | $33.4 \pm 4.0$ | $25.4 \pm 4.4$ | 10 (33.3\%) | 10 (33.3\%) | 10 (33.3\%) | 20 (66.7\%) | 9 (30.0\%) | 1 (3.3\%) | $28.4 \pm 4.4$ |
| PE-negative subgroup ( $n=30$ ) | $31.0 \pm 4.2$ | $24.0 \pm 3.8$ | 12 (42.9\%) | 9 (32.1\%) | 7 (25.0\%) | 20 (71.4\%) | 8 (28.6\%) | 0 (0.0\%) | $27.6 \pm 5.0$ |
| PE-negative total ( $n=85$ ) | $32.9 \pm 4.4$ | $24.2 \pm 4.3$ | 34 (43.0\%) | 24 (30.4\%) | 21 (26.6\%) | 54 (68.4\%) | 23 (29.1\%) | 2 (2.5\%) | $28.9 \pm 5.3$ |
| $p^{\text {a }}$ | 0.120 | 0.222 |  | 0.706 |  |  | 0.609 |  | 0.528 |
| $p^{\text {b }}$ | 0.640 | 0.217 |  | 0.632 |  |  | 0.968 |  | 0.561 |
| Validation cohort ( $n=80$ ) |  |  |  |  |  |  |  |  |  |
| PE-positive ( $n=20$ ) | $32.6 \pm 5.6$ | $24.5 \pm 4.2$ | 8 (40.0\%) | 5 (25.0\%) | 7 (35.0\%) | 13 (65.0\%) | 7 (35.0\%) | 0 (0.0\%) | $29.6 \pm 3.1$ |
| PE-negative ( $n=60$ ) | $33.8 \pm 4.4$ | $25.1 \pm 4.6$ | 19 (35.8\%) | 19 (35.8\%) | 15 (28.3\%) | 33 (62.3\%) | 19 (35.8\%) | 1 (1.9\%) | $28.3 \pm 4.6$ |
| $p^{\text {c }}$ | 0.310 | 0.619 |  | 0.669 |  |  | 0.820 |  | 0.149 |

${ }^{\text {a }}$ Comparison between PE-positive $(n=30)$ and PE-negative subgroup ( $n=30$ ) of the discovery cohort; ${ }^{\mathrm{b}}$ comparison between PE-positive ( $n=30$ ) and PE-negative ( $n=85$ ) of the discovery cohort; ${ }^{c}$ comparison between PE-positive $(n=20)$ and PE-negative $(n=60)$ of the validation cohort.
peptidomic analytical platform described above. Thirty-two out of the 117 features were significantly different between the PEpositive and PE-negative groups ( $p<0.05$ ), with a fold-change of $\geq 2.00$ or $\leq 0.40$ and an average peak intensity of $\geq 100$ in at least one group (Table 2, Supplementary Table 4).

Using LTQ-Orbitrap-MS analysis, 8 of 32 differentially expressed peptides were identified by matching the Sequest ${ }^{\mathrm{TM}}$ database (Table 2). With predicted peptide sequences listed in Table 2, the peptides $1,033.40 \mathrm{~m} / \mathrm{z}, 3,260.46 \mathrm{~m} / z, 3,276.45$ $\mathrm{m} / \mathrm{z}, 5,900.70 \mathrm{~m} / \mathrm{z}$ were identified to be fibrinogen alpha chain (FGA); the peptides $2,026.94 \mathrm{~m} / \mathrm{z}, 2,051.08 \mathrm{~m} / \mathrm{z}$ were identified to be Inter-alpha-trypsin inhibitor heavy chain H4 (ITIH4); the peptides $1,876.85 \mathrm{~m} / \mathrm{z}, 2,192.10 \mathrm{~m} / \mathrm{z}$ were identified to be complement component 3 (C3). Considering the redundancy of the identified peptide sequences and convenience of peptide synthesis, the following four peptides were carried forward for the next absolute quantitation step by LC-MS/MS: FGA-1033.4, ITIH4-2026.9, ITIH4-2051.1, C3-1876.9.

## Peptide biomarker quantitation by LC-MS/MS and sFlt-1/PIGF measurements

The selection of precursor and product ion of each peptide candidate was performed by direct infusion of the synthesized standard peptides. The resulting ion transition pairs chosen, calibrator and MS parameter setting were listed (Supplementary Table 1). As seen in Supplementary Figure 1, the identical peaks were observed in both calibrators and pooled patient samples for all the target peptides analyzed except C31876.9. As shown in Supplementary Figure 1, no corresponding peak for C3-1876.9 was observed in the pooled patient serum, suggesting that C3-1876.9 identified by high-resolution MS
was a pseudo-target which was not further investigated in the following experiments. All of the calibration curves were linear within the concentration ranges set for each of the peptides ( $r>0.995$ ) (Supplementary Figure 2). The original peptide quantitation data using the in-house established LC-MS/MS method and the measurements of serum levels of sFlt-1/PlGF in both discovery and validation cohorts were recorded in Supplementary Tables 2, 3 .

## Performance of the peptide biomarker candidates and sFlt-1/PIGF ratio in PE prediction

With the similar changing pattern seen in the peptidomic study by MALDI-TOF, all three peptides, including FGA-1033.4, ITIH4-2026.9, ITIH4-2051.1, were found significantly elevated ( $p<0.001$ ) in the PE-positive group in the discovery cohort ( $n=115$ ) (Supplementary Table 5, Figure 2). Interestingly, a three-dimensional (3-D) scattered plot also showed almost complete separation of the PE-positive and PE-negative patients, suggesting their potential discriminating power when used in a combinational panel (Supplementary Figure 3). Moreover, in the ROC analysis with the discovery cohort, the area under curves (AUCs) of the three candidate peptides and sFlt-1/PlGF ratio were listed in the order of decreasing values: 0.985 ( $95 \% \mathrm{CI}, 0.965-1.000$ ) (three peptides combined), 0.946 ( $95 \%$ CI, 0.905-0.988) (ITIH4-2026.9), 0.939 ( $95 \%$ CI, $0.898-0.980$ ) (FGA-1033.4), 0.820 ( $95 \%$ CI, $0.744-0.896$ ) (ITIH4-2051.1), 0.637 ( $95 \%$ CI, $0.525-0.750$ ) (sFlt-1/PlGF). The predictive panel containing 3 peptides showed higher accuracy in PE prediction than any of the peptide biomarker along ( $p<0.05$ ) (Figure 3), and achieved a sensitivity of $96.7 \%$, a specificity of $94.1 \%$, PPV

TABLE 2 Significantly differentially expressed mass peaks with peptide identification by LTQ-Orbitrap-MS.

| $\text { Mass, } m / z^{\mathrm{a}}$ | FDR-adjusted $p$-value | PE-positive ( $n=30$ ) |  | PE-negative $(n=30)$ |  | $F^{c}$ | Peptide ID and sequence |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Mean | SD ${ }^{\text {b }}$ | Mean | SD |  |  |
| 2026.94 | $1.02 \mathrm{E}-09$ | 284.3 | 171.3 | 19.3 | 8.5 | 14.76 | ITIH4: QLGLPGPPDVPDHAAYHPF |
| 1876.85 | $1.33 \mathrm{E}-09$ | 105.7 | 65.1 | 16.9 | 10.3 | 6.24 | Complement C3: YSIITPNILRLESEET |
| 3276.45 | $1.30 \mathrm{E}-09$ | 152.9 | 59.9 | 34.6 | 22.6 | 4.42 | FGA: SSSYSKQFTSSTSYNRGDSTFESKSYKM $(+15.99) \mathrm{A}^{\mathrm{d}}$ |
| 3260.46 | $1.23 \mathrm{E}-09$ | 1117.7 | 419.9 | 270.3 | 164.9 | 4.14 | FGA: SSSYSKQFTSSTSYNRGDSTFESKSYKMA |
| 2192.10 | $1.56 \mathrm{E}-03$ | 113.2 | 103.1 | 45.6 | 32.8 | 2.48 | Complement C3: SPMYSIITPNILRLESEET |
| 1033.40 | $1.62 \mathrm{E}-03$ | 110.2 | 63.9 | 46.2 | 31.1 | 2.38 | FGA: SSSYSKQFT |
| 2051.08 | $5.75 \mathrm{E}-04$ | 436.8 | 330.0 | 218.4 | 260.1 | 2.00 | ITIH4: YYLQGAKIPKPEASFSPR |
| 5900.70 | $1.56 \mathrm{E}-04$ | 430.0 | 282.0 | 1065.5 | 718.6 | 0.40 | FGA: |
|  |  |  |  |  |  |  | SSSYSKQFTSTSYNRGDSTFESKSYKMADEAGSEADHEGTHSTKRGHAKSRPV |

${ }^{\text {a }}$ Mass determined by LTQ-Orbitrap-MS; ${ }^{\mathrm{b}}$ standard deviation; ${ }^{\text {c }}$ fold change; ${ }^{\mathrm{d}}(+15.99)$ indicating oxidation on the methylsulfinyl group of methionine residue. ITIH4, Inter-alpha-trypsin inhibitor heavy chain H4; FGA, Fibrinogen alpha chain.


FIGURE 2
The three peptide candidates quantitation of the PE-positive and PE-negative groups in the discovery ( A ) $(\mathrm{PE}$-positive $n=30, \mathrm{PE}$ negative $n=$ 85) and validation (B) (PE-positive $n=20$, PE negative $n=60$ ) cohorts.
of $85.3 \%$, and NPV of $98.8 \%$ at the cutoff determined by the Youden's index (Table 3).

In the independent validation cohort, the three peptides exhibited significantly higher levels in the PE-positive group in comparison to the PE-negative group (Supplementary Table 5, Figure 2). Similar separation pattern was observed between the

PE-positive and PE-negative patients in the 3-D scatter plot (Supplementary Figure 3). In the ROC analysis, the FGA-1033.4 showed the best predictive power with an AUC of 0.896 (95\% CI, 0.795-0.997), followed by ITIH4-2026.9 (AUC $=0.866$, with 95\% CI of 0.771-0.961), ITIH4-2051.1 (AUC $=0.734$, with $95 \%$ CI of $0.598-0.871$ ) and sFlt-1/PlGF (AUC $=0.733$, with $95 \%$


TABLE 3 ROC analysis of the sFlt-1/PIGF and peptide biomarker candidates in preeclampsia prediction.

|  | sFlt-1/PlGF | ITIH4-2026.9 | FGA-1033.4 | ITIH4-2051.1 | Combined ${ }^{\text {a }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Discovery cohort ( $n=115$ ) |  |  |  |  |  |
| AUC (95\% CI) | 0.637 (0.525-0.750) | 0.946 (0.905-0.988) | 0.939 (0.898-0.980) | 0.820 (0.744-0.896) | 0.985 (0.965-1.000) |
| Sensitivity, \% | 79.3 | 90.0 | 100.0 | 93.3 | 96.7 |
| Specificity, \% | 43.5 | 92.9 | 74.1 | 70.6 | 94.1 |
| Positive predictive value, \% | 32.4 | 81.8 | 57.7 | 52.8 | 85.3 |
| Negative predictive value, \% | 86.0 | 96.3 | 100.0 | 96.8 | 98.8 |
| $p$-value of AUCs comparison | $<0.001$ | $0.036^{\text {b }}$ | $0.026^{\text {c }}$ | $<0.001{ }^{\text {d }}$ | - |
| Validation cohort ( $n=80$ ) |  |  |  |  |  |
| AUC (95\% CI) | 0.733 (0.617-0.850) | 0.866 (0.771-0.961) | 0.896 (0.795-0.997) | 0.734 (0.598-0.871) | 0.923 (0.845-1.000) |
| Sensitivity, \% | 95.0 | 75.0 | 80.0 | 50.0 | 95.0 |
| Specificity, \% | 48.3 | 91.7 | 95.0 | 90.0 | 88.3 |
| Positive predictive value, \% | 38.0 | 75.0 | 84.2 | 62.5 | 73.1 |
| Negative predictive value, \% | 96.7 | 91.7 | 93.4 | 84.4 | 98.1 |
| $p$-value of AUCs comparison | 0.004 | $0.040^{\text {b }}$ | $0.185^{\text {c }}$ | $0.002^{\text {d }}$ | - |

${ }^{\text {a }}$ The three-peptide combined panel in ROC analysis; ${ }^{\text {b }}$ AUCs comparison between ITIH4-2026.9 and three-peptide panel; ${ }^{\text {c }}$ AUCs comparison between FGA-1033.4 and three-peptide panel; ${ }^{\mathrm{d}}$ AUCs comparison between ITIH4-2051.1 and three-peptide panel. ROC, receiver operating characteristic; AUC, area under curve; CI, confidence interval.

CI of $0.617-0.850)$. The three-peptide panel yielded a better AUC ( 0.923 , with $95 \% \mathrm{CI}$ of $0.845-1.000$ ) than that of sFlt1/PlGF, ITIH4-2051.1 or ITIH4-2026.9, but not FGA-1033.4. With the cut-off determined with Youden's Index, the threepeptide panel showed a sensitivity of $95.0 \%$, a specificity of $88.3 \%$, PPV of $73.1 \%$, and NPV of $98.1 \%$ (Table 3). As shown
in Table 4, interestingly, all of the three peptides showed slightly negative correlation with serum PlGF and marginally positively correlation with sFlt-1/PlGF ratio. No significant correlation was observed between any of the three peptide candidates and the rest of parameters included, such as sFlt-1, age, pre-pregnancy BMI, gravidity and parity.

TABLE 4 Peptide candidates' correlation analysis with clinical markers.

|  | sFlt-1 | PlGF | sFlt-1/PlGF | Age | Pre-pregnancy BMI | Gravidity | Parity |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ITIH4-2026.9, $r^{\mathrm{d}}$ | 0.101 | -0.105 | 0.136 | 0.068 | 0.035 | 0.102 |  |
| FGA-1033.4, $r^{\mathrm{d}}$ | 0.011 | -0.120 | 0.084 | 0.000 | -0.005 | 0.094 |  |
| ITIH4-2051.1, $r^{\mathrm{d}}$ | 0.055 | -0.116 | 0.109 | 0.027 | -0.003 | 0.108 | 0.040 |
| $p^{\mathrm{a}}$ | 0.054 | 0.045 | 0.010 | 0.211 | 0.502 | 0.143 |  |
| $p^{\mathrm{b}}$ | 0.828 | 0.023 | 0.112 | 0.995 | 0.928 | 0.051 |  |
| $P^{\text {c }}$ | 0.297 | 0.027 | 0.037 | 0.613 | 0.955 | 0.111 | 0.063 |

${ }^{\text {a }}$ Comparison between ITIH4-2026.9 and other clinical markers; ${ }^{\text {b }}$ comparison between FGA-1033.4 and other clinical markers; ${ }^{\text {c }}$ comparison between ITIH4-2051.1 and other clinical markers; ${ }^{\mathrm{d}} r$ stands for the calculated correlation coefficient with the Kendall's method.

## Discussion

Using the state-of-the-art MALDI-TOF coupled with Linear Trap Quadropole Orbitrap mass spectrometry, our study discovered a novel three-peptide panel which has exhibited promising performance in the prediction of PE occurrence in pregnant women. The finding was further validated in an independent cohort with comparable accuracy, sensitivity and specificity. Furthermore, this three-peptide model yielded a significantly improved PPV of 73.1-85.3\% compared with that of sFlt-1/PlGF ratio as predictive markers (32.4-38.0\%), which was close to what was observed in our previous study (9). Therefore, our panel is more accurate in PE prediction with suspected patients and offers a feasible and efficient strategy for "rule-in" and "rule-out" high risk patients who may need extra clinical care.

MALDI-TOF-MS is a cutting-edge technology that offers sensitive and accurate identification of proteomic biomarkers of disease condition (18). The bead-based fractionation method, which selectively separates peptides according to different chemical chromatographic surfaces on the outer layer of magnetic beads, has been developed for direct use in MALDI-TOF-MS analysis (19). In combination with the bioinformatics BE Software ${ }^{\mathrm{TM}}$ (Bioyong Tech., Beijing, China), weak cation exchange magnetic beads (WCX-MB) pretreatment and MALDI-TOF-MS analysis provides a powerful tool for analyzing and identifying novel biologically informative molecules and has been successfully applied to biomarker research (20). In this work, the MALDI-TOF peptidomic analysis in combination with a high-resolution mass spectrometry identified 8 differentially expressed peptide candidates belonging to three proteins: FGA, ITIH4 and C3 (Table 2). However, the peptideC3-1876.9 was not found in the pooled serum of pregnant women by the LC-MS/MS method. This apparent discrepancy may be explained by the different serum pretreatment steps applied in the MALDI/QE-based peptidomic analysis vs. the LC-MS/MS peptide quantitation.

Intriguingly, according to an earlier retrospective peptidomic study, 13 peptides from FGA and 1 peptide
from ITIH4 (different peptide sequences compared with our peptide candidates) were found remarkably upregulated in PE, suggesting the pathological relevance of the two proteins in PE disease progression (11). Similar observation was made in our peptidomic study in which 4 peptides from FGA and 2 peptides from ITIH4 were differentially expressed and identified, suggesting there is a disease-specific proteolytic degradation pattern of the parent proteins. The fact that multiple peptides from the same proteins were identified further confirmed the accuracy and reliability of our discovery.

FGA and ITIH4 are derived from proteins known to be involved in the pathophysiology of PE in acute inflammatory and defense response. FGA is encoded by the human FGA gene, which is a component of fibrinogen that consists of pairs of three different polypeptide chains, including the $\alpha, \beta$ and $\gamma$ chains joined by disulfide bonds to form a symmetric dimeric structure (21). Fibrinogen is involved in blood clotting, but has also been implicated as an inflammatory mediator in several diseases, including rheumatoid arthritis (RA), multiple sclerosis, and Alzheimer's disease (22). The up-regulation of FGA is believed to contribute to the pathogenesis of preeclampsia by participating in the trophoblast recast of uterine spiral artery, activation of systemic inflammatory response and injury of endothelial cells (23). Furthermore, FGA was found to be an independent risk factor associated with increased cardiovascular morbidity and mortality in PE patients (24).

Inter-alpha-trypsin inhibitor heavy chain H 4 (ITIH4) refer to the heavy chains of protein members belonging to the ITI family, which is involved in stabilization of the extracellular matrix (25). ITIH4 is a $120-\mathrm{kDa}$ serum glycoprotein secreted primarily by liver and is associated with inflammation and carcinogenesis. As an acute phase response protein, ITIH4 is increased in response to infection and inflammation, and may provide important diagnostic information during surgical trauma (26). In previous studies, it has been indicated that ITIH4 was significantly up-regulated in serum samples of patients with ovarian, breast or bladder cancers (27). Interestingly, in pigs, endometrial gene expression of a $30-\mathrm{kDa}$ fragment of ITI-H4 was detected during the estrous cycle and early
pregnancy. Geisert and his colleagues suggested that the ITIH4 may be expressed to protect the maternal uterus as an acute phase protein during primary pregnancy (28). Further, ITIH4 is believed to contribute the pathogenesis of preeclampsia through excessive activation of inflammatory immunity, leading to disturbance of maternal-fetal immune balance and resulting in "shallow implantation of the placenta". Another previous study showed that overexpression of the $36-\mathrm{kDa}$ fragmented form ITIH4 may induce a strong inflammatory response in pregnant women, and consequently the pregnancy may fail (29). However, the exact biological function of ITIH4 in PE is still not fully understood.

While a large number of studies have focused on preeclampsia prediction during pregnancy, very few serum predictive markers were successfully implemented in clinical practice mainly due to low accuracy. With the low prevalence of preeclampsia in the general pregnant population, it would be economically inefficient to universally apply laboratory biomarker test(s) during pregnancy. In the publication of evaluating sFlt-1/PlGF ratio in PE prediction by Zeisler et al. (7), the authors narrowed down the targeting patients who presented with PE-related clinical and/or laboratory abnormalities. A similar patient recruiting strategy was adopted in our study. With a straight focus on the subgroup of suspected patients with relevant symptoms, it allows medical resources to be better targeted on the patients that are more likely to develop preeclampsia.

In our study, the three peptides FGA-1033.4, ITIH4-2026.9, ITIH4-2051.1 were found to be able to accurately predict the occurrence of PE in middle or late pregnancy. However, the exact biological roles of FGA and ITIH4 in PE development are still largely unknown and need to be further investigated. In addition, with the help of the peptide quantitation method developed and validated in present study, the cut-off values of the three peptides should be determined in a large and multicenter study for future clinical application.

This work is a well-designed prospective study, with rigorous technical design of discovery and validation studies using state-of-the-art MS in independent patient cohorts. However, a few limitations still exist. First, all the participants included in our study were Chinese; the performance of this peptide panel in diverse ethnic backgrounds especially in Western countries needs to be further tested. Second, the overall size of the cohorts was relatively small and all the patients were from a single site.

## Conclusions

Our study is the first to develop and validate a predictive panel consisting of three circulating peptides that can effectively
rule-out or rule-in PE development in the suspected patients. The changes in these peptides predated the onset of the disease and were present in both of the discovery and validation cohorts. With the implement of a straightforward LC-MS/MS quantitation method, these circulating peptides may provide information on PE development and act as potential biomarkers for PE prediction in clinical practice. However, the pathological mechanism in which the identified peptide markers may participate requires further investigation.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: https://www. iprox.cn/page/project.html?id=IPX0004482000.

## Ethics statement

This study was approved on 07 June 2021, by the Ethics Committee of Beijing Obstetrics and Gynecology Hospital, Capital Medical University (approval number: 2021-KY-05901). Written informed consent for participation was not required for this study in accordance with the national legislation and the institutional requirements.

## Author contributions

SZ: conceptualization, data curation, and writingoriginal draft. CY: project administration and supervision. YZha: conceptualization, data curation, and funding acquisition. ZJ and SS: investigation and methodology. YLu and LM: investigation. CL, XianL, and YC: methodology. YLi, YLiu, LC, JW, and ZX: data curation. YZhe: data curation and investigation. ZS, RL, XY, and HY: writing-review and editing. XiaoL: conceptualization, data curation, and project administration. ZZ: conceptualization, supervision, and writingreview and editing. ZC: conceptualization, supervision, funding acquisition, and writing-review and editing. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

Authors CL, XianL, and YC were employed by company SCIEX.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ fcvm.2022.946433/full\#supplementary-material
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# Longitudinal trends in blood pressure, prevalence, awareness, treatment, and control of hypertension in the Czech population. Are there any sex differences? 

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Background: Hypertension is the most common cardiovascular disease which substantially increases cardiovascular morbidity and mortality. Despite the broad availability of antihypertensive medication, control of hypertension is not satisfactory worldwide.

Objective: The study aim was to assess longitudinal trends in blood pressure, prevalence, awareness, treatment, and control of hypertension in a representative population sample of the Czechia from 1985 to 2016/2017, focusing on sex differences.

Methods: A total of 7,606 men and 8,050 women aged $25-64$ years were screened for major CV risk factors in seven independent crosssectional surveys run consistently in the same six country districts of the Czechia between 1985 and 2016/2017. The population samples were randomly selected.

Results: Over a study period of 31/32 years, there was a significant decline in systolic and diastolic blood pressure in both sexes, whereas the prevalence of hypertension decreased only in women. There was an increase in hypertension awareness in both sexes over the entire study period with consistently higher rates in women. The proportion of individuals treated with antihypertensive drugs increased significantly in both sexes throughout the
study, again with consistently higher rates in women. Control of hypertension increased significantly over the study period with consistently higher rates in women. The age-adjusted trends in blood pressure, prevalence, awareness, and treatment of hypertension were significantly different in men and women, always in favor of women. The age-adjusted trends in control of hypertension in treated patients were equally poor in both sexes.

Conclusion: There are significant differences in longitudinal trends in blood pressure, prevalence, awareness, treatment, and control of hypertension between men and women, always in favor of women except for the control of hypertension in treated patients, where it is equally poor in both sexes.

## KEYwords

Czech MONICA, Czech post-MONICA study, epidemiology of hypertension, population random sample, response rate

## Introduction

Hypertension is the most prevalent cardiovascular disease affecting $30-50 \%$ of the adult population worldwide, with significant regional differences (1). Hypertension is also a major risk factor for developing stroke, coronary heart disease, heart and renal failure, peripheral arterial disease, aortic aneurysm, atrial fibrillation, and cognitive dysfunction/dementia ( 2,3 ). Large clinical trials have convincingly shown that treatment of hypertension is followed by a decrease in cardiovascular morbidity and mortality $(4,5)$.

Hypertension can be easily detected and treated in primary care facilities. However, control of hypertension remains a major challenge throughout the global population. The Global Burden of Disease Study 2019 identified high systolic blood pressure (BP) (defined as a theoretical minimum risk exposure level of $\geq 110-115 \mathrm{~mm} \mathrm{Hg}$ ) as the leading Level 2 risk factor for death worldwide (3, 6).

The burden of hypertension can be reduced by simultaneously approaching the reduction of hypertension prevalence through primary prevention, and by increasing treatment and control of hypertension.

This analysis aimed to assess the longitudinal trends in BP, prevalence, awareness, treatment, and control of hypertension in a representative population sample of the Czechia from 1985 to 2016/2017, focusing on sex differences.

## Materials and methods

## Study population

A total of 7,606 men and 8,050 women aged $25-64$ years were screened for major CV risk factors in seven independent
cross-sectional surveys run in the same six country districts of the Czechia between 1985 and 2016/2017. The initial three surveys in 1985, 1988, and 1992 were conducted within the WHO MONICA Project (7), further referred to as the Czech MONICA Study.

The study population was always randomly selected as a one percent population sample within each district, stratified by age and sex, within an age range of 25-64 years. The details are published elsewhere (8).

The Czech MONICA and Czech post-MONICA studies (the last four surveys) were approved by the Ethics Committee of the Institute for Clinical and Experimental Medicine and Thomayer Hospital, Prague, Czechia. All participants provided informed consent.

## Screening examination

The methods used were detailed elsewhere (9). In short, the examination consisted of a questionnaire which was completed by a physician. Currently prescribed drugs were recorded and verified (when possible) against drug containers.

Height and body weight measurements were taken in the standing position without shoes and outer garments. BP measurement was performed consistently on the right arm (supported at the heart level), in the sitting position, after a minimum 5 -min rest, using standard mercury sphygmomanometers and properly sized cuffs. Blood pressure values were recorded to the nearest 2 mmHg . In 1985, 1988, and 1992, two consecutive BP measurements were performed with their mean values used for the longitudinal trend analysis. In 1997/98, 2000/01, 2007/08, and 2016/17 the study protocol was extended by including three consecutive BP measurements;

TABLE 1 Survey sample sizes, response rates, BMI, and obesity prevalence by sex and year of survey.

|  | 1985 | 1988 | 1992 | 1997/98 | 2000/01 | 2007/08 | 2016/17 | $p$ for trend |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Total | 2,570 | 2,768 | 2,343 | 1,990 | 2,055 | 2,246 | 1,684 |  |
| Age, yrs (mean $\pm$ SD) | $44.9 \pm 11.38$ | $45.1 \pm 11.26$ | $44.7 \pm 10.87$ | $45.6 \pm 10.64$ | $46.2 \pm 11.9$ | $47.1 \pm 11.46$ | $47.8 \pm 10.85$ | $<0.001$ |
| Men | 1,253 | 1,357 | 1,134 | 969 | 1,003 | 1,102 | 788 |  |
| Age, years (mean $\pm$ SD) | $45.0 \pm 11.39$ | $45.3 \pm 11.29$ | $44.6 \pm 10.76$ | $45.8 \pm 10.63$ | $46.7 \pm 11.07$ | $47.9 \pm 11.65$ | $48.0 \pm 10.83$ | $<0.001$ |
| Response rate (\%) | 81.5 | 85.5 | 73.2 | 63.2 | 62.0 | 62.1 | 43.1 | <0.001 |
| Age group, $n$ (\%) |  |  |  |  |  |  |  |  |
| 25-34 | 307 (24.5) | 322 (23.7) | 246 (21.7) | 194 (20.0) | 187 (18.6) | 208 (18.9) | 116 (14.7) | <0.001 |
| 35-44 | 296 (23.6) | 323 (23.8) | 350 (30.9) | 230 (23.7) | 230 (22.9) | 251 (22.8) | 198 (25.1) | ns |
| 45-54 | 334 (26.7) | 361 (26.6) | 310 (27.3) | 332 (34.3) | 295 (29.4) | 231 (21.0) | 210 (26.7) | ns |
| 55-64 | 316 (25.2) | 351 (25.9) | 228 (20.1) | 213 (22.0) | 291 (29.0) | 412 (37.4) | 264 (33.50) | ns |
| BMI, $\mathrm{kg} / \mathrm{m}^{2},($ mean $\pm$ SD) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $27.0 \pm 4.0$ | $27.7 \pm 3.8$ | $27.1 \pm 3.8$ | $27.5 \pm 3.8$ | $28.1 \pm 4.4$ | $28.5 \pm 4.6$ | $29.2 \pm 5.1$ | 0.001 |
| 25-34 y | $25.5 \pm 3.4$ | $26.2 \pm 3.3$ | $25.2 \pm 3.2$ | $25.9 \pm 3.2$ | $26.2 \pm 4.3$ | $26.3 \pm 4.3$ | $27.5 \pm 4.9$ | 0.011 |
| 35-44 y | $26.8 \pm 3.8$ | $27.1 \pm 3.7$ | $26.8 \pm 3.6$ | $26.7 \pm 3.3$ | $27.6 \pm 3.9$ | $28.0 \pm 4.5$ | $28.2 \pm 4.8$ | <0.001 |
| 45-54 y | $27.7 \pm 3.8$ | $28.1 \pm 3.7$ | $27.8 \pm 3.6$ | $28.2 \pm 3.9$ | $28.5 \pm 4.2$ | $28.7 \pm 4.4$ | $29.5 \pm 5.0$ | <0.001 |
| 55-64 y | $28.1 \pm 4.3$ | $29.2 \pm 3.9$ | $28.6 \pm 4.1$ | $28.8 \pm 3.8$ | $29.5 \pm 4.6$ | $29.8 \pm 4.6$ | $30.4 \pm 5.3$ | <0.001 |
| BMI $\geq 30 \mathrm{~kg} / \mathrm{m}^{2}, n(\%)$ | 246 (19.7) | 343 (25.3) | 225 (19.9) | 244 (25.2) | 295 (29.5) | 370 (33.6) | 297 (37.7) | <0.001 |
| Women | 1,317 | 1,411 | 1,209 | 1,021 | 1,052 | 1,144 | 896 |  |
| Age, years (mean $\pm$ SD) | $44.9 \pm 11.38$ | $44.9 \pm 11.24$ | $44.9 \pm 10.97$ | $45.3 \pm 10.65$ | $45.8 \pm 11.10$ | $46.4 \pm 11.23$ | $47.6 \pm 10.88$ | <0.001 |
| Response rate (\%) | 85.0 | 88.4 | 76.7 | 66.4 | 63.8 | 63.1 | 48.6 | $<0.001$ |
| Age group, $n$ (\%) |  |  |  |  |  |  |  |  |
| 25-34 | 322 (24.4) | 342 (24.2) | 266 (22.0) | 212 (20.8) | 213 (20.2) | 235 (20.5) | 147 (16.4) | <0.001 |
| 35-44 | 340 (25.8) | 369 (26.2) | 356 (29.4) | 266 (26.1) | 276 (26.2) | 284 (24.8) | 204 (22.8) | ns |
| 45-54 | 343 (26.0) | 360 (25.5) | 311 (25.7) | 326 (31.9) | 285 (27.1) | 299 (26.1) | 282 (31.5) | ns |
| 55-64 | 312 (23.7) | 340 (24.1) | 276 (22.8) | 217 (21.3) | 278 (26.4) | 326 (28.5) | 263 (29.4) | ns |
| BMI, $\mathrm{kg} / \mathrm{m}^{2},($ mean $\pm$ SD) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $27.3 \pm 5.4$ | $27.7 \pm 5.4$ | $26.9 \pm 5.3$ | $27.1 \pm 5.5$ | $27.3 \pm 5.7$ | $27.3 \pm 5.7$ | $27.3 \pm 6.0$ | ns |
| 25-34 y | $23.9 \pm 4.1$ | $24.3 \pm 3.9$ | $23.6 \pm 4.0$ | $24.2 \pm 4.6$ | $23.8 \pm 4.1$ | $23.8 \pm 4.8$ | $24.7 \pm 5.4$ | ns |
| 35-44 y | $26.5 \pm 4.7$ | $26.9 \pm 4.9$ | $25.8 \pm 4.9$ | $25.8 \pm 4.9$ | $26.4 \pm 5.5$ | $26.6 \pm 5.7$ | $26.5 \pm 6.0$ | ns |
| $45-54$ y | $28.6 \pm 4.9$ | $29.0 \pm 5.0$ | $28.3 \pm 5.5$ | $28.4 \pm 5.6$ | $27.7 \pm 5.1$ | $27.9 \pm 5.7$ | $27.4 \pm 5.6$ | 0.007 |
| 55-64 y | $30.4 \pm 5.4$ | $30.7 \pm 5.4$ | $29.9 \pm 5.1$ | $29.7 \pm 5.0$ | $30.4 \pm 5.9$ | $30.2 \pm 5.9$ | $29.4 \pm 6.2$ | ns |
| BMI $\geq 30 \mathrm{~kg} / \mathrm{m}^{2}, n(\%)$ | 367 (28.0) | 423 (30.0) | 308 (25.5) | 270 (26.5) | 292 (27.8) | 344 (28.1) | 247 (27.6) | ns |

BMI, body mass index; SD, standard deviation.
however, for the purpose of longitudinal trend analysis, only the mean of the first two readings was used.

## Definition of obesity and hypertension

We defined obesity as body mass index (BMI) $\geq 30 \mathrm{~kg} / \mathrm{m}^{2}$ for both sexes.

Hypertension was defined as a mean SBP $\geq 140 \mathrm{mmHg}$, and/or a mean DBP $\geq 90 \mathrm{mmHg}$, or current treatment with antihypertensive drugs. Study participants who reported previously diagnosed hypertension or current use of antihypertensive medication were considered aware of their hypertension. Treatment of hypertension was defined as the current use of prescribed BP lowering medication. Control of hypertension was defined as a proportion of individuals
with hypertension achieving both SBP $<140 \mathrm{mmHg}$ and DBP $<90 \mathrm{mmHg}$. We also provide data on the control of hypertension in drug-treated hypertensives, defined as the proportion of drug-treated hypertensive individuals achieving both SBP $<140 \mathrm{mmHg}$ and DBP $<90 \mathrm{mmHg}$.

## Statistical analysis

Statistical analyses were performed using JMP® ${ }^{\circledR}$ 15.2.0 statistical software (2019, SAS Institute Inc.). Trends for means were tested by linear contrast in one-way ANOVA, and trends for percentage by Cochran Armitage trend test of proportions. ANCOVA and logistic regression with an interaction of sex and the year of examination were used to determine a possible influence of sex on trends, and the year(s)


FIGURE 1
Age-adjusted trends in BMI (95\% confidence interval). BMI, body mass index; M, males; F, females; p for differences in trends between males and females. NS or asterisks in brackets after survey years indicates the sex differences in respective surveys after Bonferroni correction; **p < 0.01;
*** $p<0.001$.
of examination on tested variables. When necessary, Bonferroni correction for the adjustment of p values was applied. All p values are two-sided and $p<0.05$ is considered statistically significant.

## Results

## Population sample characteristics

A total of 15,656 Caucasians participated in seven independent cross-sectional surveys (Table 1). The response rates showed a significant downward linear trend in both sexes, with a sharp decrease in the most recent survey, particularly in the youngest age groups. Women consistently had higher response rates than men throughout all age groups and surveys. Over the entire study period of 31-32 years, BMI significantly increased in men in all age groups, whereas BMI in women did not change and even declined in the age group of $45-$ 54 years. Adjusted for age, the longitudinal trends in BMI in men and women differed ( $p<0.0001$ ) (Figure 1). Between 1985 and 1997/1998 trends in BMI in both sexes remained similar. In the 2001 survey, a sharp increase in BMI in
men occurred and continued, whereas women's BMI remained largely unchanged.

## Longitudinal trends in blood pressure and the prevalence, awareness, treatment, and control of hypertension

SBP and DBP declined in both sexes with a greater decline in women (men: from $135.8 \pm 19.2 / 85.9 \pm 11.0$ to $131.1 \pm 14.9 / 84.7 \pm 9.1 \mathrm{mmHg} ; p<0.001$; women: from $131.6 \pm 20.9 / 82.5 \pm 11.3$ to $124.8 \pm 16.9 / 80.0 \pm 9.4 \mathrm{mmHg} ;$ $p<0.001$ ) (Table 2). SBP and DBP values in the two youngest male age groups did not change, whereas in women no change was observed only for DBP in the youngest age group. The trends in SBP and DBP, adjusted for age, were different in men and women, with BP values remaining constantly higher in men over the entire study period (Figures 2, 3).

The prevalence of hypertension declined only in women (from $42.5 \%$ in 1985 to $33.5 \%$ in 2016/17; $p<0.001$; the decrease was significant in all age groups, except for the youngest one). In the male study population, there was no change in the prevalence of hypertension, except for two middle-aged groups

TABLE 2 Blood pressure (mean $\pm$ SD) between 1985 and 2016/17 in six districts of the Czechia.

|  | 1985 | 1988 | 1992 | 1997/98 | 2000/01 | 2007/08 | 2016/17 | $p$ for trend |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Men |  |  |  |  |  |  |  |  |
| SBP, mmHg |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $135.8 \pm 19.2$ | $134.9 \pm 19.2$ | $134.2 \pm 20.0$ | $132.3 \pm 16.9$ | $131.9 \pm 16.8$ | $132.5 \pm 17.3$ | $131.1 \pm 14.9$ | $<0.001$ |
| 25-34 y | $125.7 \pm 14.6$ | $125.5 \pm 13.6$ | $123.9 \pm 13.4$ | $124.3 \pm 11.4$ | $125.0 \pm 15.3$ | $124.8 \pm 11.7$ | $124.9 \pm 12.6$ | ns |
| 35-44 y | $129.9 \pm 15.7$ | $128.1 \pm 15.5$ | $128.2 \pm 15.4$ | $127.9 \pm 13.7$ | $126.4 \pm 13.9$ | $127.6 \pm 13.9$ | $127.1 \pm 13.1$ | ns |
| 45-54 y | $139.9 \pm 18.9$ | $137.7 \pm 19.1$ | $140.5 \pm 20.1$ | $132.4 \pm 15.9$ | $132.8 \pm 16.0$ | $131.3 \pm 16.6$ | $131.6 \pm 14.2$ | $<0.001$ |
| 55-64 y | $146.7 \pm 19.4$ | $146.7 \pm 19.9$ | $146.0 \pm 22.8$ | $144.3 \pm 19.1$ | $139.7 \pm 17.1$ | $139.9 \pm 18.7$ | $136.5 \pm 15.6$ | $<0.001$ |
| DBP, mmHg |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $85.9 \pm 11.0$ | $84.4 \pm 11.0$ | $86.1 \pm 11.4$ | $84.5 \pm 10.0$ | $83.7 \pm 9.7$ | $84.4 \pm 10.1$ | $84.7 \pm 9.1$ | $<0.001$ |
| 25-34 y | $81.1 \pm 10.0$ | $79.9 \pm 10.3$ | $80.8 \pm 9.7$ | $80.0 \pm 8.7$ | $79.7 \pm 9.7$ | $79.9 \pm 8.5$ | $81.2 \pm 9.6$ | ns |
| 35-44 | $84.8 \pm 9.8$ | $82.7 \pm 10.3$ | $84.9 \pm 10.1$ | $83.2 \pm 9.5$ | $82.7 \pm 9.2$ | $84.1 \pm 10.4$ | $84.0 \pm 8.5$ | ns |
| 45-54 y | $88.7 \pm 11.2$ | $86.5 \pm 11.1$ | $86.4 \pm 11.4$ | $86.4 \pm 9.6$ | $85.2 \pm 9.2$ | $85.2 \pm 8.9$ | $85.8 \pm 9.2$ | $<0.001$ |
| 55-64 y | $88.5 \pm 11.2$ | $87.9 \pm 10.4$ | $89.3 \pm 11.7$ | $87.0 \pm 10.8$ | $85.5 \pm 9.6$ | $86.5 \pm 10.6$ | $85.7 \pm 8.9$ | <0.001 |
| Women |  |  |  |  |  |  |  |  |
| SBP, mmHg |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $131.6 \pm 20.9$ | $130.7 \pm 20.9$ | $130.2 \pm 22.0$ | $125.2 \pm 18.1$ | $125.9 \pm 18.8$ | $126.7 \pm 19.2$ | $124.8 \pm 16.9$ | $<0.001$ |
| 25-34 y | $116.6 \pm 13.7$ | $116.0 \pm 12.2$ | $115.6 \pm 13.3$ | $113.7 \pm 9.9$ | $112.9 \pm 11.0$ | $114.5 \pm 12.2$ | $114.7 \pm 12.4$ | 0.004 |
| 35-44 y | $125.8 \pm 15.8$ | $124.3 \pm 16.0$ | $121.1 \pm 16.0$ | $118.9 \pm 14.6$ | $118.7 \pm 12.7$ | $120.1 \pm 15.5$ | $119.2 \pm 13.4$ | <0.001 |
| 45-54 y | $136.0 \pm 19.1$ | $135.7 \pm 18.4$ | $137.1 \pm 21.0$ | $129.0 \pm 17.9$ | $128.9 \pm 17.8$ | $128.6 \pm 17.0$ | $125.1 \pm 16.3$ | <0.001 |
| 55-64 y | $148.6 \pm 19.9$ | $147.1 \pm 21.7$ | $148.0 \pm 21.2$ | $138.2 \pm 18.3$ | $140.2 \pm 19.1$ | $139.5 \pm 20.2$ | $134.4 \pm 17.0$ | $<0.001$ |
| DBP, mmHg |  |  |  |  |  |  |  |  |
| Total, 25-64 y | $82.5 \pm 11.3$ | $81.4 \pm 11.2$ | $82.5 \pm 12.1$ | $79.3 \pm 9.8$ | $79.3 \pm 9.8$ | $80.6 \pm 9.6$ | $80.0 \pm 9.4$ | $<0.001$ |
| 25-34 y | $74.8 \pm 9.2$ | $74.4 \pm 8.7$ | $75.0 \pm 9.1$ | $73.8 \pm 7.7$ | $73.5 \pm 7.9$ | $75.7 \pm 8.5$ | $75.9 \pm 9.3$ | ns |
| 35-44 y | $81.4 \pm 9.9$ | $79.1 \pm 10.1$ | $79.0 \pm 10.5$ | $77.1 \pm 9.0$ | $76.9 \pm 8.8$ | $79.3 \pm 8.8$ | $78.2 \pm 9.0$ | $<0.001$ |
| 45-54 y | $85.1 \pm 10.7$ | $84.5 \pm 10.5$ | $86.9 \pm 11.9$ | $81.7 \pm 10.0$ | $80.9 \pm 8.8$ | $82.0 \pm 9.3$ | $81.0 \pm 9.2$ | $<0.001$ |
| 55-64 y | $88.7 \pm 10.3$ | $87.6 \pm 10.6$ | $89.1 \pm 11.5$ | $83.9 \pm 8.8$ | $84.5 \pm 10.0$ | $84.2 \pm 9.3$ | $82.6 \pm 8.8$ | <0.001 |

$p$, statistical significance for linear trend. SBP, systolic blood pressure, DBP, diastolic blood pressure.
(35-44 and 45-54 years) (Table 3). The age-adjusted trends in the prevalence of hypertension were different for men and women with consistently higher rates in men (Figure 4).

There was an increase in hypertension awareness in both sexes over the entire study period with consistently higher rates in women (men: from $41.4 \%$ in 1985 to $74.6 \%$ in 2016/17; $p<0.001$; women: from $58.9 \%$ in 1985 to $77.7 \%$ in 2016/17; $p<0.001$ ) (Table 3). However, there was no increase in awareness of hypertension in the youngest age groups of both sexes. The age-adjusted trends in awareness were different in men and women (Figure 5).

The proportion of individuals treated with antihypertensive drugs increased significantly in both sexes throughout the study, again with consistently higher rates in women (men: from 21.1 to $60.9 \% ; p<0.001$; women: from 38.9 to $64.8 \% ; p<0.001$ ) (Table 3). There was no change in the younger age groups of both sexes. The age-adjusted trends in the treatment of hypertension differed between men and women (Figure 6).

Control of hypertension increased significantly over the 30year study period with consistently higher rates in women. The improvement in the control of hypertension was consistent in both sexes across all the age groups, except for the youngest
female group (Table 4). The age-adjusted trends for control of hypertension were different for men and women only when control of hypertension was presented as the proportion of individuals with $\mathrm{BP}<140 / 90 \mathrm{mmHg}$ in all hypertensive individuals, but not only in drug-treated hypertensive patients (Figures 7, 8).

## Discussion

The current analysis is, to the best of our knowledge, the first one assessing the longitudinal trends in BP, prevalence, awareness, treatment, and control of hypertension, paying special attention to sex differences. The main message is that there are significant differences, always in favor of women, in trends in all parameters listed above, except for in the control of hypertension in treated patients, where results were equally poor in both sexes. There are only a few countries with longitudinal data on the epidemiology of hypertension derived from representative population samples (USA, Canada, Korea, Sweden, Lithuania, and Finland) (10-15). Trends in BP and various aspects of the epidemiology of hypertension were


FIGURE 2
Age-adjusted trends in systolic blood pressure ( $95 \%$ confidence interval). M, males; F, females; p for differences in trends between males and females. Asterisks in brackets after survey years indicates the sex differences in respective surveys after Bonferroni correction; ***p $<0.001$.


FIGURE 3
Age-adjusted trends in diastolic blood pressure (95\% confidence interval). M, males; F, females; p for differences in trends between males and females. Asterisks indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction; ***p < 0.001 .

TABLE 3 Prevalence, awareness, and treatment of hypertension between 1985 and 2016/17 in six districts of the Czechia.

|  | 1985 | 1988 | 1992 | 1997/98 | 2000/01 | 2007/08 | 2016/17 | $p$ for trend |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Men |  |  |  |  |  |  |  |  |
| Prevalence of HT, \% |  |  |  |  |  |  |  |  |
| Total, 25-64 y, $n$ (\%) | 650 (51.9) | 639 (47.1) | 508 (44.8) | 408 (42.1) | 457 (45.6) | 553 (50.2) | 399 (50.6) | Ns |
| 25-34 y | 85 (27.7) | 67 (20.8) | 46 (18.7) | 35 (18.0) | 34 (18.2) | 43 (20.7) | 26 (22.4) | 0.0730 |
| 35-44 y | 123 (41.6) | 119 (36.8) | 126 (36.0) | 61 (26.5) | 69 (30.0) | 82 (32.7) | 66 (33.3) | 0.049 |
| 45-54 s | 208 (62.3) | 198 (54.9) | 186 (60.0) | 161 (48.5) | 147 (49.8) | 122 (52.8) | 107 (51.0) | 0.009 |
| 55-64 y | 234 (74.1) | 255 (72.7) | 150 (65.8) | 151 (70.9) | 207 (71.1) | 306 (74.3) | 200 (75.8) | Ns |
| Awareness of HT, $n$ (\%) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 269 (41.4) | 320 (50.1) | 232 (45.7) | 230 (56.4) | 284 (62.1) | 378 (68.4) | 290 (74.6) | $<0.001$ |
| 25-34 y | 23 (27.1) | 27 (40.3) | 17 (37.0) | 12 (34.3) | 13 (38.2) | 13 (30.2) | 15 (62.5) | Ns |
| 35-44 y | 38 (30.9) | 53 (44.5) | 52 (41.3) | 29 (47.5) | 37 (53.6) | 45 (54.9) | 45 (68.2) | $<0.0001$ |
| $45-54$ y | 91 (43.8) | 87 (43.9) | 82 (44.1) | 88 (54.7) | 91 (61.9) | 82 (67.2) | 69 (64.5) | $<0.0001$ |
| 55-64 y | 117 (50.0) | 153 (60.0) | 81 (54.0) | 101 (66.9) | 143 (69.1) | 238 (77.8) | 161 (80.9) | $<0.0001$ |
| Medication for HT, $n$ (\%) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 137 (21.1) | 197 (30.8) | 123 (24.2) | 151 (37.0) | 191 (41.8) | 322 (58.2) | 241 (60.9) | <0.001 |
| 25-34 y | 3 (3.5) | 8 (11.9) | 1 (2.2) | 6 (17.1) | 3 (8.9) | 4 (9.3) | 4 (15.4) | 0.0909 |
| 35-44 y | 16 (13.0) | 22 (18.5) | 20 (15.9) | 11 (18.0) | 17 (24.6) | 26 (31.7) | 31 (47.0) | <0.0001 |
| $45-54$ y | 50 (24.0) | 55 (27.8) | 54 (29.0) | 58 (36.0) | 62 (42.2) | 72 (59.0) | 57 (53.3) | <0.0001 |
| 55-64 y | 68 (29.1) | 112 (43.9) | 48 (32.0) | 76 (50.3) | 109 (52.7) | 220 (71.9) | 149 (74.5) | <0.0001 |
| Women |  |  |  |  |  |  |  |  |
| Prevalence of HT, $n$ (\%) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 560 (42.5) | 552 (39.1) | 460 (38.0) | 323 (31.6) | 347 (33.0) | 426 (37.3) | 300 (33.5) | $<0.001$ |
| 25-34 y | 30 (9.3) | 27 (7.9) | 22 (8.3) | 7 (3.3) | 10 (4.7) | 16 (6.8) | 7 (4.8) | ns |
| $35-44$ y | 98 (28.8) | 88 (23.9) | 67 (18.8) | 41 (15.4) | 37 (13.4) | 62 (21.9) | 26 (12.8) | $<0.001$ |
| $45-54$ y | 186 (54.2) | 180 (50.0) | 166 (53.4) | 134 (41.1) | 110 (38.6) | 124 (41.5) | 111 (39.4) | $<0.001$ |
| 55-64 y | 246 (78.9) | 257 (75.6) | 205 (74.3) | 141 (65.0) | 190 (68.4) | 224 (68.7) | 156 (59.3) | $<0.001$ |
| Awareness of HT, $n$ (\%) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 330 (58.9) | 330 (59.8) | 255 (55.4) | 221 (68.4) | 256 (73.8) | 304 (71.4) | 233 (77.7) | $<0.001$ |
| 25-34 y | 14 (46.7) | 15 (55.6) | 6 (27.3) | 1 (14.3) | 4 (40.0) | 9 (56.3) | 6 (85.7) | ns |
| 35-44 y | 40 (40.8) | 42 (47.7) | 30 (44.8) | 27 (65.9) | 26 (70.3) | 36 (58.0) | 19 (73.1) | 0.0001 |
| 45-54 y | 109 (58.6) | 105 (58.3) | 91 (54.8) | 83 (61.9) | 72 (65.5) | 94 (75.8) | 78 (70.3) | 0.0003 |
| 55-64 y | 167 (67.9) | 168 (65.4) | 128 (62.4) | 110 (78.0) | 154 (81.1) | 165 (73.7) | 130 (83.3) | $<0.0001$ |
| Medication for HT, $n(\%)$ |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 218 (38.9) | 233 (42.2) | 159 (34.6) | 187 (57.9) | 205 (59.1) | 251 (58.9) | 193 (64.8) | $<0.001$ |
| 25-34 y | 5 (16.7) | 5 (18.5) | 2 (9.1) | 0 (0.0) | 1 (10.0) | 4 (25.0) | 1 (14.3) | ns |
| 35-44 y | 13 (13.27) | 24 (27.3) | 8 (11.9) | 22 (53.4) | 16 (43.2) | 26 (41.9) | 13 (50.0) | $<0.0001$ |
| 45-54 y | 82 (44.1) | 73 (40.6) | 54 (32.5) | 64 (47.8) | 59 (53.6) | 77 (62.1) | 67 (60.4) | $<0.0001$ |
| $55-64$ y | 118 (48.0) | 131 (51.0) | 95 (46.3) | 101 (71.6) | 129 (67.9) | 144 (64.3) | 112 (71.8) | $<0.0001$ |

$p$, statistical significance for linear trend. SBP, systolic blood pressure, DBP, diastolic blood pressure, HT, hypertension.
described for several European countries but, as far as we know, none of the other studies specifically compared the trends in men and women.

## Strengths and limitations

The strength of our study is that it was always conducted in the same six districts of the Czechia using standardized methods, which were introduced by the WHO MONICA Project. The
study protocol respected seasonal variations. The gold-standard mercury sphygmomanometer was used to measure BP in all seven cross-sectional surveys. The study period lasted $31 / 32$ years, covering the transition from a totalitarian regime to democracy in the Czechia.

A decline in the response rate is a possible study limitation. This may have resulted in the population sample coming from a higher socio-economic background, which is usually associated with higher health consciousness. Therefore,


FIGURE 4
Age-adjusted trends in prevalence of hypertension (95\% confidence interval). M, males; F, females; p for differences in trends between males and females. Asterisks indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction; ***p $<0.001$.


FIGURE 5
Age-adjusted trends in awareness of hypertension (95\% confidence interval). M, males; F, females; $p$ for differences in trends between males and females. NS or asterisks indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction; *p $<0.05$; *** $p<0.001$.
our results might be slightly more favorable than in the actual general population. It should be noted that a decline in response rates has occurred in epidemiological studies
worldwide, with recently reported rates below 40\% (16-18). The European Health Examination Survey Pilot Project, conducted 2009-2012 in 12 countries involving individuals of


FIGURE 6
Age-adjusted trends in treatment of hypertension (95\% confidence interval). $M$, males; F, females; $p$ for differences in trends between males and females. NS or asterisks indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction; **p < 0.01;
*** $p<0.001$.
the same age as our study population (25-64 years), found the participation rates to be $16-57 \%$ in men and $31-74 \%$ in women (16). The WHO MONICA Project examined nonrespondents who were found more likely to be single, less educated, with poorer lifestyles, and worse health profiles than respondents (19).

## Trends in blood pressure and prevalence

A pooled analysis of 1,479 studies including 19.1 million adults found a decline in mean systolic and mean diastolic pressure from 1975 to 2015 in high-income western and highincome Asia Pacific super-regions (20). A later analysis by the same research group also reported a decrease in systolic BP in women in central and eastern Europe, but not in men (21).

An Austrian representative population-based study ( $n=178,818$ ), which included self-reported data from five health surveys between 1973 and 2007, showed that during the study period the age-standardized hypertension prevalence increased from 1.0 to $18.8 \%$, with a considerable rise from 1991 onward. There was a positive trend in all subpopulations, especially in obese women ( $+50.2 \%$ ) and obese individuals aged 75 years and older (+54.4\%) (22).

Analysis of Lithuanian data from three MONICA health surveys (1983, 1986, and 1992) and one survey according to MONICA protocol (2002) concluded that during this period hypertension prevalence in men was 52.1 to $58.7 \%$ (no significant changes), whereas in women it decreased from 61.0 to $51.0 \%$ (15). The German National Health Interview and Examination Survey 1998 and 2008 to 2011 reported a decrease in age- and sex-standardized mean systolic and diastolic BP. The mean systolic and diastolic BP decrease was achieved in treated hypertensive patients, but there was also a BP decrease in normotensive individuals. The prevalence of hypertension increased only in men ( 29.8 to $33.4 \%$; $p<0.03$ ) (23).

In our study, there was a decline in the mean SBP and DBP in both sexes, however, the changes were more pronounced in women. The more favorable decline in BP in women can be partly explained by their BMI showing no significant change, whereas men's BMI increased in all age groups over the entire study period.

The NCD Risk Factor Collaboration group reported a stable age-standardized prevalence of hypertension in adults aged 3079 years a net effect of a decline in high-income countries and for women also in central and eastern Europe, and a rise in some low-income and middle-income countries. The results from our study mirrored these trends, with consistently lower rates and greater changes in women (Table 3 and Figure 4).

TABLE 4 Control of hypertension between 1985 and 2016/17 in six districts of the Czechia.

|  | 1985 | 1988 | 1992 | 1997/98 | 2000/01 | 2007/08 | 2016/17 | $p$ for trend |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Men |  |  |  |  |  |  |  |  |
| Control of hypertension (\% of all hypertensive patients) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 18 (2.8) | 33 (5.2) | 14 (2.8) | 50 (12.3) | 60 (13.1) | 135 (24.4) | 119 (29.8) | $<0.001$ |
| 25-34 y | 0 (0.0) | 1 (1.5) | 0 (0.0) | 2 (5.7) | 0 (0.0) | 4 (9.3) | 1 (3.9) | 0.009 |
| 35-44 y | 2 (1.6) | 6 (5.0) | 2 (1.6) | 5 (8.2) | 6 (8.7) | 11 (13.4) | 17 (25.8) | $<0.0001$ |
| 45-54 y | 9 (4.3) | 11 (5.6) | 8 (4.3) | 21 (13.0) | 18 (12.2) | 37 (30.3) | 28 (26.2) | $<0.0001$ |
| 55-64 y | 7 (3.0) | 15 (5.9) | 4 (2.7) | 22 (14.6) | 36 (17.4) | 83 (27.1) | 73 (36.5) | $<0.0001$ |
| Control of hypertension (\% of all drug-treated hypertensive patients) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 18 (13.1) | 33 (16.8) | 14 (11.4) | 50 (33.1) | 60 (31.4) | 135 (41.9) | 119 (49.4) | $<0.0001$ |
| 25-34 y | 0 (0.0) | 1 (12.5) | 0 (0.0) | 2 (33.3) | 0 (0.0) | 4 (100.0) | 1 (25.0) | 0.040 |
| 35-44 y | 2 (12.5) | 6 (27.3) | 2 (10.0) | 5 (45.5) | 6 (36.3) | 11 (42.3) | 17 (54.8) | 0.0005 |
| 45-54 y | 9 (18.0) | 11 (20.0) | 8 (14.8) | 21 (36.2) | 18 (29.0) | 37 (51.4) | 28 (49.1) | $<0.0001$ |
| 55-64 y | 7 (10.3) | 15 (13.4) | 4 (8.3) | 22 (29.0) | 36 (33.0) | 83 (37.7) | 73 (49.0) | $<0.0001$ |
| Women |  |  |  |  |  |  |  |  |
| Control of hypertension (\% of all hypertensive patients) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 29 (5.2) | 51 (9.2) | 28 (6.1) | 70 (21.7) | 77 (22.2) | 106 (24.9) | 111 (37.0) | $<0.001$ |
| 25-34 y | 0 (0.0) | 2 (7.4) | 1 (4.6) | 0 (0.0) | 1 (10.0) | 1 (6.25) | 0 (0.0) | ns |
| 35-44 y | 4 (4.1) | 8 (9.1) | 5 (7.5) | 10 (24.4) | 7 (19.0) | 17 (27.4) | 9 (34.6) | $<0.0001$ |
| 45-54 y | 17 (9.1) | 19 (10.6) | 9 (5.4) | 26 (19.4) | 26 (23.6) | 33 (26.6) | 44 (39.6) | <0.0001 |
| 55-64 y | 8 (3.3) | 22 (8.6) | 13 (6.3) | 34 (24.1) | 43 (22.6) | 55 (24.6) | 58 (37.2) | $<0.0001$ |
| Control of hypertension (\% of all drug-treated hypertensive patients) |  |  |  |  |  |  |  |  |
| Total, 25-64 y | 29 (13.3) | 51 (21.9) | 28 (17.6) | 70 (37.4) | 77 (37.6 | 106 (42.2) | $11157.5)$ | $<0.0001$ |
| $25-34$ y | 0 (0.0) | 2 (40.0) | 1 (50.0) | NA | 1 (100.0) | 1 (25.0) | 0 (0.0) | ns |
| 35-44 y | 4 (30.8) | 8 (33.3) | 5 (62.5) | 10 (45.5) | 7 (43.8) | 17 (65.4) | 9 (69.2) | 0.0065 |
| 45-54 y | 17 (20.7) | 19 (26.0) | 9 (16.7) | 26 (40.6) | 26 (44.1) | 33 (42.9) | 44 (65.7) | <0.0001 |
| $55-64$ y | 8 (6.8) | 22 (16.8) | 13 (13.7) | 34 (33.7) | 43 (33.3) | 55 (38.2) | 58 (51.8) | <0.0001 |

$p$, statistical significance for linear trend; NA, not applicable as no individuals reported medication for hypertension.

## Awareness, treatment, and control of hypertension

Awareness of hypertension dramatically improved throughout our study in both sexes, with women having started in a better position (58.9\%) than men (41.4\%). Both sexes achieved around $75 \%$ awareness by 2016/2017 (men $74.6 \%$, women $77.7 \%$ ), which is substantially better than in the NCD Risk Factor Collaboration report (globally, men 51\%, women $41 \%$ ). A similar increase in hypertension awareness was noted in Lithuania from 1983 to 2002 in both men and women (from 45.0 to $64.4 \%$ and 47.7 to $72.3 \%$, respectively) and in treated hypertensives (from 55.4 to $68.3 \%$ in men and 65.6 to $86.2 \%$ in women) (15). Awareness and treatment of hypertension increased in Germany in both sexes (awareness: men from $65-78 \%$, women from 74 to $87 \%$; treatment: men from 48 to $65 \%$, women from 62 to $79 \%$ ) from 1998 to 2008 to 2011 (23).

Hypertension treatment and control have improved in most countries since 1990, with the greatest improvement in high-income countries and central Europe (21). The trends in treatment and hypertension control in the Czechia are in parallel with these findings. As with awareness of hypertension,
women were treated for hypertension more frequently than men until the start of the millennium. Due to a greater increase in treatment of hypertension in men, no differences between the sexes were found in the last two surveys in the Czechia (final survey: men $60.9 \%$, women $64.8 \%$ ). On the other hand, in 2019 only $38 \%$ of men and $47 \%$ of women were treated globally (21).

Control of hypertension in the Czechia improved significantly in both men and women. The improvement mostly ran in parallel throughout the study, particularly in treated hypertensive patients. However, the final numbers for control of hypertension are still not sufficient (treated hypertensive patients: men $49.4 \%$, women $57.5 \%$ ). According to the NCD Risk Factor Collaboration report, the control rates in high-income countries rose to $60 \%$ (21). The Swedish primary care register shows that from 2010 to 2017 the proportion of patients with BP $<140 / 90 \mathrm{mmHg}$ increased from 38.9 to $49.1 \%$ (24). Authors of the analysis of German Health Examination Surveys even claim that the control rate among treated hypertensives increased from $23 \%$ in 1998 to $51 \%$ in 2008 to 2011 (men from 20 to $45 \%$, women from $25 \%$ to $58 \%$ ) (23).

It is an alarming finding of our study that awareness, treatment, and control of hypertension did not improve in the


FIGURE 7
Age-adjusted trends in control of hypertension in all hypertensive individuals ( $95 \%$ confidence interval). M, males; F, females; $p$ for differences in trends between males and females. NS or asterisks indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction; *p $<0.05$.


FIGURE 8
Age-adjusted trends in control of hypertension in drug treated hypertensives ( $95 \%$ confidence interval). $M$, males; $F$, females; $p$ for differences in trends between males and females. NS indicates in brackets after survey years the sex differences in respective surveys after Bonferroni correction.
youngest age group in both sexes. Hypertension was newly detected predominantly in young men with only a small proportion of them being treated for it. In the youngest age group hypertension was poorly controlled in both sexes. This is in concordance with other studies reporting poor levels of treatment and control in young adults compared with older adults (25-27).

It is a surprising fact that women who had lower SBP and DBP as well as a lower prevalence of hypertension were more frequently aware of the disease and were reported to be more frequently treated by antihypertensive drugs but they did not show better control of hypertension. This could be explained by less aggressive treatment in women or by their lower adherence to medication. Unfortunately, we have more precise data on the antihypertensive medication only for the last four surveys. Age-adjusted trends in hypertensive medication did not differ between men and women from 1997/98 to 2016/17, both increasing over time but staying significantly higher in men, meaning men took on average more antihypertensive drugs than women. Clearly, when we analyzed the proportion of monotherapy, a combination of two drugs, and a combination of three and more drugs, there was a significant increase over time favoring the triple and more combination in both sexes. However, this increase was steeper in men (in the last survey, $44.9 \%$ of men were treated with a combination of $\geq 3$ drugs, compared to $36.1 \%$ of women). The less aggressive treatment of hypertension in women may correspond with the finding that women with coronary heart disease are less likely to be treated following the guidelines than men (28).

Another reason for women having unexpectedly equally poor control of their hypertension could be worse adherence to antihypertensive medication. This issue is controversial, depending on the method used to assess adherence. A meta-analysis of 82 studies which included $15,517,457$ men and $18,537,599$ women showed no significant differences in adherence between the sexes. However, this analysis was based on self-reported adherence and pharmacy refill records (29). On the other hand, studies on apparent treatment-resistant hypertension employing therapeutic drug monitoring showed that antihypertensive drug adherence was lower in women (30). Heterogeneity in published results must be acknowledged, partly due to various methods being used for assessing adherence.

## Conclusion and further perspective

We found significant differences in longitudinal ageadjusted trends in BP, prevalence, awareness, treatment, and control of hypertension between the sexes. The differences were
always in favor of women except for control of hypertension in treated patients, which did not show any difference between men and women.

In conclusion, the trends in BP, prevalence, awareness, treatment, and control of hypertension in women showed similar pattern as women in high-income countries, whereas men are lagging in their awareness rates.

Future epidemiological studies in hypertension should also assess adherence to medication using objective methods rather than relying on self-reported data.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found below: http://www.ftn. cz/data-monica-1117/.

## Ethics statement

The studies involving human participants were reviewed and approved by Ethics Committee of the Institute for Clinical and Experimental Research and Thomayer University Hospital Prague, Czechia. The patients/participants provided their written informed consent to participate in this study.

## Author contributions

All authors listed have made a substantial, direct, and intellectual contribution to the work, and approved it for publication.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Identifying hypertensive disorders of pregnancy, a comparison of two epidemiologic definitions 

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Introduction: Studies of hypertension in pregnancy that use electronic health care data generally identify hypertension using hospital diagnosis codes alone. We sought to compare results from this approach to an approach that included diagnosis codes, antihypertensive medications and blood pressure (BP) values.
Materials and methods: We conducted a retrospective cohort study of 1,45,739 pregnancies from 2009 to 2014 within an integrated healthcare system. Hypertensive pregnancies were identified using the "BP-Inclusive Definition" if at least one of three criteria were met: (1) two elevated outpatient BPs, (2) antihypertensive medication fill plus an outpatient hypertension diagnosis, or (3) hospital discharge diagnosis for preeclampsia or eclampsia. The "Traditional Definition" considered only delivery hospitalization discharge diagnoses. Outcome event analyses compared rates of preterm delivery and small for gestational age (SGA) between the two definitions.

Results: The BP-Inclusive Definition identified 14,225 (9.8\%) hypertensive pregnancies while the Traditional Definition identified 13,637 (9.4\%); 10,809 women met both definitions. Preterm delivery occurred in $20.9 \%$ of BPInclusive Definition pregnancies, $21.8 \%$ of Traditional Definition pregnancies and $6.6 \%$ of non-hypertensive pregnancies; for SGA the numbers were 15.6, 16.3, and $8.6 \%$, respectively ( $p<0.001$ for all events compared to non-hypertensive pregnancies). Analyses in women meeting only one hypertension definition (21-24\% of positive cases) found much lower rates of both preterm delivery and SGA.


#### Abstract

Conclusion: Prevalence of hypertension in pregnancy was similar between the two study definitions. However, a substantial number of women met only one of the study definitions. Women who met only one of the hypertension definitions had much lower rates of adverse neonatal events than women meeting both definitions.


## KEYWORDS

pregnancy, hypertension (chronic and gestational), blood pressures, small for gestational age, preterm delivery

## Introduction

Hypertensive disorders of pregnancy are common and a leading cause of maternal and neonatal morbidity (1). These hypertensive disorders include chronic hypertension, gestational hypertension, preeclampsia superimposed on chronic hypertension, and preeclampsia or eclampsia. Retrospective epidemiologic studies are often used to determine the burden of hypertensive diseases of pregnancy and to evaluate trends over time (2-6).

Studies evaluating the burden of hypertensive disorders of pregnancy generally use discharge diagnosis codes from the delivery hospitalization to estimate overall rates of disease. Diagnosis codes, however, have limitations. Studies evaluating diagnosis codes or a combination of diagnosis codes plus antihypertensive medications report low sensitivity for identifying individuals with hypertension $(7,8)$. The availability of data from electronic medical records (EMRs) allows for expanding the criteria used to identify and track hypertension in pregnancy. EMRs offer the potential to identify hypertensive disorders of pregnancy using recorded blood pressure (BP) values. This is particularly true in pregnancy because BPs are actively monitored and measured at each prenatal visit.

In a preliminary proof of concept study by Chen et al. we evaluated whether measured and EMR-recorded BP values were useful for identifying hypertension in pregnancy and concluded these BPs were helpful (9). Chen's study, however, did not require women to be enrolled in the health-plan for the entire pregnancy. In addition, follow-up was censored at 35 weeks 6 days gestation and adverse neonatal outcome events were not assessed (9).

To address these issues, we compared a Traditional Definition for identifying pregnant women with hypertension (using hospital discharge diagnosis codes) to a definition incorporating recorded BPs, plus antihypertensive prescription dispenses and diagnosis codes. Epidemiologically, the objective was to determine the value of a definition including recorded blood compares to the standard definition for identification of hypertensive diseases of pregnancy. For this study we specified that women needed to be enrolled in the health plan for their
entire pregnancy and assessed two neonatal outcome events [preterm delivery and small for gestational age (SGA) infants] associated with hypertension (10-17) to evaluate whether the two definitions identified populations of women with similar risk for adverse pregnancy outcomes.

## Materials and methods

## Design and setting

This was a retrospective cohort study set within Kaiser Permanente Southern California (KPSC). KPSC is a large integrated healthcare delivery system providing medical care to over 4.4 million members. Medical care is captured in a comprehensive EMR that includes diagnoses, procedures and treatments from inpatient stays and ambulatory visits, pharmacy dispensing records, vital signs, laboratory results, and radiology reports. These data are linked using a unique medical record number that are retained for life. Pregnancy episodes, mother-infant linkage, and pregnancy specific outcome event data are collected and maintained for research purposes. The institutional review board of KPSC approved the study with a waiver of informed consent.

## Patients

Women who delivered liveborn or stillborn infant(s) from 2009 to 2014 were eligible for inclusion. The delivery year beginning in 2009 was selected because prior to this time, BP measures were not recorded within discrete fields in the EMR. For pregnancy clinical care, KPSC clinicians use self-reported last menstrual period along with first trimester ultrasound data to determine an estimated delivery date (EDD). The start of pregnancy and gestational age were assigned using the EDD established in the EMR.

We included pregnant women between the ages of 15-49 years on the day of delivery who were continuously
enrolled in the health-plan from 6 months prior to the start of pregnancy through delivery. Women could contribute more than one pregnancy during the study period. We also required gestational age at birth to be between 22 and 43 weeks because live births outside these gestational ages are implausible. Pregnancies meeting these criteria were included in the base cohort used for descriptive comparisons between study definitions. For the singleton cohort, multiple gestation pregnancies were excluded because of their association with hypertensive disorders of pregnancy and the neonatal outcome events under study.

## Hypertension definitions

Under the ACOG classification of hypertensive disorders of pregnancy, the threshold BP for defining chronic and gestational hypertension is a systolic BP greater than or equal to 140 and/or a diastolic BP greater than or equal to 90 on two occasions at least 4 h apart $(18,19)$. Gestational hypertension is generally considered to occur after 20 weeks' gestation. Preeclampsia or eclampsia also occurs after 20 weeks' gestation and can be superimposed on chronic hypertension. Preeclampsia/eclampsia can also occur after the development of gestational hypertension or can be the presenting hypertensive disorder $(18,19)$.

The "BP-Inclusive Definition" for identifying hypertensive disorders of pregnancy used three criteria. Hypertension was considered to be present if any of the following criteria were met: (1) two elevated outpatient BPs (systolic BP $\geq 140$ and/or diastolic $\mathrm{BP} \geq 90$ ) occurring on different days within 30 days of each other from the start of pregnancy through delivery, (2) one or more fills for an antihypertension medication plus one or more hypertension diagnosis codes from the start of pregnancy through delivery (excluding the delivery hospitalization), or (3) one or more hospital discharge diagnosis codes for preeclampsia or eclampsia occurring after 20 weeks' gestation (see Supplementary Table 1 for a list of diagnosis codes).

The comparison (Traditional) definition for identifying hypertensive disorders of pregnancy used diagnosis codes for chronic hypertension, gestational hypertension, and preeclampsia or eclampsia recorded in the EMR from the delivery hospitalization. As previously noted, this "Traditional Definition" has been used in previous epidemiologic studies evaluating hypertensive disorders in pregnancy (Supplementary Table 1).

Women identified using the BP-Inclusive Definition were classified as having chronic hypertension if they met criteria for hypertension prior to 20 weeks gestation and as having gestational hypertension if they did not meet criteria before 20 weeks but did meet criteria after 20 weeks gestation. A woman was classified as having preeclampsia superimposed


FIGURE 1
Patient disposition.
on chronic hypertension if she was identified as having chronic hypertension and then went on to have a diagnosis of preeclampsia in the EMR or if she received a hospital diagnosis for this condition after 20 weeks gestation. Lastly, women could be classified as preeclampsia or eclampsia based solely on a hospital diagnosis code after 20 weeks gestation. For women identified using the Traditional Definition, their categorization into these four subgroups was based entirely on delivery hospitalization diagnosis codes found in the EMR. Within KPSC hospital diagnosis codes are entered into the EMR by professional coders based on physician admission notes and discharge summaries. Hospital codes were not validated by chart review for this study.

## Neonatal events

Two neonatal outcome events were evaluated: (1) preterm birth, defined as a delivery prior to 37 weeks 0 days gestational age and (2) SGA, defined as a birthweight less than the 10th percentile based on gender, race and gestational age using published growth curves (20).

## Statistical analysis

The prevalence of hypertensive disorders in pregnancy, overall and by hypertension category (chronic, gestational, etc.,) were determined using the base cohort (all pregnancies meeting inclusion criteria between 2009 and 2014). Descriptive comparisons between pregnancies meeting the BP-Inclusive Definition, the Traditional Definition and non-hypertensive pregnancies were conducted using the singleton cohort (the base cohort excluding multiple gestation pregnancies). The prevalence of neonatal outcome events were compared between each definition group and the non-hypertensive group from the singleton cohort. Comparisons between these groups were made using Poisson regression with robust variance and $p$-values $<0.05$ indicating statistical significance.

Secondary analyses were conducted to assess differences between pregnancies that met the BP-Inclusive Definition and pregnancies that met the Traditional Definition as these two groups are not mutually exclusive. The secondary analyses separated pregnancies into three mutually exclusive groups: (1) those pregnancies who met both hypertension definitions (BP-Inclusive and Traditional Definitions), (2) those who met the study BP-Inclusive Definition but not the Traditional Definition (BP-Inclusive Definition Only), and (3) those who met the Traditional Definition but not the BP-Inclusive Definition (Traditional Definition Only). Again, comparisons between groups were made using Poisson regression with robust variance and $p$-values $<0.05$ indicating statistical significance. All analyses were conducted using SAS (SAS Enterprise Guide 7.1; SAS Institute Inc).

## Results

The Base Cohort, after applying inclusions and exclusions, consisted of 1,45,739 pregnancies (Figure 1). Most pregnancies ( $1,28,686$ or $88.3 \%$ ) did not meet either hypertension definition. The prevalence of hypertension was similar using the two definitions; 14,225 (9.8\%) met the BP-Inclusive Definition and $13,637(9.4 \%)$ pregnancies met the Traditional Definition. There was considerable overlap between the two study definitions with 10,809 pregnancies meeting both hypertension definitions. However, $24.0 \%$ of pregnancies ( 3,416 of 14,225 ) met the BP-Inclusive Definition Only and 20.7\% (2,828 of 13,637 ) met the Traditional Definition Only (Table 1). The majority of women (94.2\%) who met the BP-Inclusive Definition Only were identified based on the two elevated outpatient BP criteria without a diagnosis at delivery or a diagnosis plus prescription during pregnancy. By comparison, $66.8 \%$ of women who met the Traditional Definition Only did not have an outpatient hypertension diagnosis or antihypertensive medication dispensed during pregnancy (Table 1).

TABLE 1 Hypertension criteria for non-overlapping hypertensive pregnancies.

| Pregnancy met Traditional Definition only | $\boldsymbol{N}=\mathbf{2 , 8 2 8}$ |
| :--- | ---: |
| - Antihypertensive drug dispensed but no diagnosis during <br> pregnancy <br> - Diagnosis but no antihypertensive drug dispensed during <br> pregnancy <br> - No drug dispensed or diagnosis during pregnancy, only a <br> discharge code | $55(1.9 \%)$ |
| Pregnancy met BP-Inclusive Definition only | $\mathbf{1 , 8 8 8}(685.8 \%)$ |
| - Met 2 blood pressure and diagnosis plus prescription | $\mathbf{N}=\mathbf{3 , 4 1 6}$ |
| dispense criteria |  |
| - Met diagnosis plus prescription dispense criteria only |  |
| - Met 2 blood pressure criteria only | $96(2.8 \%)$ |

TABLE 2 Breakdown of hypertensive disorders of pregnancy in the base cohort for 14,225 women identified using the BP-Inclusive Definition.

## Hypertensive disorders of pregnancy Percent (N)

| Chronic hypertension ( $\boldsymbol{n}=\mathbf{4 , 2 7 6})$ |  |
| :--- | :---: |
| Chronic hypertension | $22.3 \%(3,170)$ |
| Chronic hypertension who went on to develop preeclampsia | $7.8 \%(1,106)$ |
| Gestational hypertension $(\boldsymbol{n}=\mathbf{4 , 7 7 6})$ |  |
| Gestational hypertension $24.3 \%(3,457)$ <br> Gestational hypertension who went on to develop $9.3 \%(1,319)$ <br> preeclampsia  <br> Preeclampsia-eclampsia (without evidence of chronic or <br> gestational hypertension) <br> Total $36.4 \%(5,173)$$\quad 100 \%(14,225)$ |  |

For the individual criteria used in the BP-Inclusive Definition: $7,990(56.2 \%)$ pregnancies met the two elevated BP criteria, 3,166 (22.3\%) pregnancies met the diagnosis plus prescription criteria and 7,598 (53.4\%) pregnancies had a hospital diagnosis of preeclampsia/eclampsia. Pregnancies could meet more than one criterion. Additional details regarding the base cohort are provided in Table 2 (Hypertensive

Disorders of Pregnancy in women meeting the BP Inclusive Definition) and Supplementary Table 2 (Demographic Characteristics of the Base Cohort).

Demographic information for the singleton cohort by hypertension definition is reported in Table 3. The average age for women with a hypertensive disorder of pregnancy (using either definition) was higher than the age for the

TABLE 3 Baseline characteristics for the singleton cohort by hypertension definition (2009-2014).

| Characteristic | Non-hypertensive $(n=126,682)$ | Traditional Definition* $(n=13,033)$ | $\begin{aligned} & \text { BP-Inclusive Definition }{ }^{* *} \\ & \qquad(n=13,592) \end{aligned}$ |
| :---: | :---: | :---: | :---: |
| Maternal age at delivery, Mean $\pm$ SD | $29.9 \pm 5.8$ | $31.0 \pm 6.3$ | $31.0 \pm 6.2$ |
| Age at delivery, $N$ (\%) |  |  |  |
| 15-19 | 6,462 (5.1) | 599 (4.6) | 562 (4.1) |
| 20-24 | 17,111 (13.5) | 1,603 (12.3) | 1,645 (12.1) |
| 25-29 | 33,187 (26.2) | 2,824 (21.7) | 3,064 (22.5) |
| 30-34 | 41,967 (33.1) | 4,010 (30.8) | 4,205 (30.9) |
| 35-50 | 27,955 (22.1) | 3,997 (30.7) | 4,116 (30.3) |
| Race/Ethnicity, $N$ (\%) |  |  |  |
| White | 32,232 (25.4) | 3,080 (23.6) | 3,628 (26.7) |
| Asian | 16,622 (13.1) | 1,416 (10.9) | 1,444 (10.6) |
| Black | 9,831 (7.8) | 1,692 (13) | 1,659 (12.2) |
| Hispanic | 65,772 (51.9) | 6,566 (50.4) | 6,582 (48.4) |
| Other | 2,225 (1.8) | 279 (2.1) | 279 (2.1) |
| Maternal education, $N$ (\%) |  |  |  |
| Less than high school | 10,377 (8.2) | 1,065 (8.2) | 1,030 (7.6) |
| High school | 29,770 (23.5) | 3,043 (23.3) | 3,201 (23.6) |
| College | 67,497 (53.3) | 7,187 (55.1) | 7,541 (55.5) |
| Graduate | 18,840 (14.9) | 1,724 (13.2) | 1,806 (13.3) |
| Unknown | 198 (0.2) | 14 (0.1) | 14 (0.1) |
| BMI, N (\%) |  |  |  |
| <18.5 | 8,504 (6.7) | 658 (5) | 616 (4.5) |
| 18.5-24.9 | 54,535 (43) | 2,952 (22.7) | 2,940 (21.6) |
| 25.0-29.9 | 34,467 (27.2) | 3,414 (26.2) | 3,444 (25.3) |
| > 30.0 | 29,174 (23) | 6,009 (46.1) | 6,591 (48.5) |
| Missing | 2 (0) | 0 | 1 (0) |
| Parity, $N$ (\%) |  |  |  |
| 0 | 50,824 (40.1) | 5,845 (44.8) | 6,073 (44.7) |
| 1 | 40,124 (31.7) | 3,662 (28.1) | 3,829 (28.2) |
| 2 | 17,268 (13.6) | 1,586 (12.2) | 1,677 (12.3) |
| >3 | 8,443 (6.7) | 832 (6.4) | 864 (6.4) |
| Missing | 10,023 (7.9) | 1,108 (8.5) | 1,149 (8.5) |
| Co-morbidities, $N$ (\%) |  |  |  |
| Diabetes | 1,051 (0.8) | 713 (5.5) | 715 (5.3) |
| Heart disease | 311 (0.2) | 61 (0.5) | 57 (0.4) |
| Renal disease | 87 (0.1) | 91 (0.7) | 92 (0.7) |
| Outpatient blood pressures, median, (IQR) |  |  |  |
| Total blood pressures | $14(11,17)$ | $19(14,28)$ | $18(14,28)$ |
| Total elevated blood pressures | - | $4(2,7)$ | $3(2,7)$ |
| Time between first and last elevated blood pressure, days | - | $126(25,197)$ | $152(52,203)$ |

[^2]non-hypertensive pregnancies, driven primarily by a higher percentage of hypertensive women in the 35-50 years age group. Women whose pregnancy met one of the hypertension definitions were also more likely to be obese (46.1-48.5\% versus $23 \%$ ), to be nulliparous ( $44.7-44.8 \%$ versus $40.1 \%$ ) and to have co-morbid conditions (diabetes, heart disease or renal disease) than non-hypertensive women. These finding were consistent for both hypertension definitions.

Over 2.24 million outpatient BPs were recorded for the $1,43,033$ pregnancies in the singleton cohort. The median number of outpatient BPs recorded during pregnancy in the non-hypertensive cohort was 14 while the median number of BPs ranged between 18 and 19 in pregnancies meeting one of the hypertension definitions (Table 3). As expected, pregnancies meeting the hypertension definitions had more documented elevated BPs than the non-hypertensive pregnancies; pregnancies meeting either one of the two hypertensive definitions had a median of three or four elevated BPs recorded over a 4 -to- 5 -month period of time (126-152 days).

The BP-Inclusive Definition identified more deliveries as having chronic hypertension (22.7\%) than the Traditional Definition (18.4\%) (Table 4). Preeclampsia superimposed on chronic hypertension was also higher in the BP-Inclusive Definition cohort ( $10.6 \%$ versus $8.3 \%$ ) for similar reasons; a higher percentage identified with chronic hypertension led to more women being classified as having preeclampsia superimposed on chronic hypertension. The proportion of women with just preeclampsia or eclampsia was lower in pregnancies meeting the BP-Inclusive Definition compared to the Traditional Definition ( 42.0 versus $46.6 \%$, respectively).

The prevalence of preterm delivery and SGA infants were similar for pregnancies meeting the BP-Inclusive Definition and the Traditional Definition and were significantly higher than for women without evidence of hypertensive disorders of pregnancy (Figure 2).

The secondary analysis separated hypertensive women into three mutually exclusive groups, the baseline characteristics of these three groups were similar to those found in the

TABLE 4 Hypertension subgroups by hypertension definition (singleton cohort).

| Hypertension <br> subgroup | Traditional <br> Definition \% <br> $(\boldsymbol{N}=\mathbf{1 3 , 0 3 3})$ | BP-Inclusive <br> Definition \% <br> $(\boldsymbol{N}=\mathbf{1 3 , 5 9 2})$ |
| :--- | :---: | :---: |
| Chronic hypertension | $18.4 \%(2,400)$ | $22.7 \%(3,078)$ |
| Gestational <br> hypertension | $26.7 \%(3,483)$ | $24.8 \%(3,364)$ |
| Preeclampsia <br> superimposed on <br> chronic hypertension | $8.3 \%(1,083)$ | $10.6 \%(1,441)$ |
| Preeclampsia/Eclampsia | $46.6 \%(6,067)$ | $42.0 \%(5,709)$ |

base cohort (Supplementary Table 3). The highest prevalence of adverse neonatal outcome events were seen in women who met both study definitions (Preterm Delivery $=25.3 \%$, SGA $=17.4 \%$ ) (Figure 3). The prevalence of neonatal outcome events for women meeting one of the hypertension definitions but not the other were also higher than the prevalence found for non-hypertensive pregnancy but markedly less elevated. Both outcomes for pregnancies meeting the Traditional Definition Only were statistically higher than non-hypertensive pregnancies (Preterm Delivery $8.5 \%$ versus $6.6 \%$; SGA $10.6 \%$ versus $8.6 \%$ ). This, however, was not true for pregnancies meeting the BP-Inclusive Definition Only. For the preterm delivery outcome, pregnancies meeting the BP-Inclusive Definition had a prevalence of $7.2 \%$ compared to $6.6 \%$ in non-hypertensive pregnancies ( $p=0.13$ ).

## Discussion

In this study, we compared two epidemiologic definitions for identifying hypertensive disorders of pregnancy. Both approaches identified a similar prevalence of hypertensive disorders, and as expected, hypertensive pregnancies identified using these definitions had an increased prevalence of adverse neonatal outcome events compared to pregnancies not identified as hypertensive. Overall, a high percentage of hypertensive pregnancies met both hypertension definitions but there was a significant percentage of pregnancies who met only one of the two hypertension definitions used in this study (i.e., $21-24 \%$ of pregnancies met one of the definitions but not the alternative.).

Secondary analyses focused on the three mutually exclusive populations of hypertensive pregnancies. These analyses found that women who met both hypertension definitions had the highest prevalence of adverse neonatal outcome events. Women who met either the BP-Inclusive Definition Only or the Traditional Definition Only had a lower prevalence of adverse fetal outcomes, although these prevalence's were still higher than those seen in non-hypertensive women. In pregnancies identified using the BP-Inclusive definition Only (identified based strictly on the elevated BP criterion) the prevalence of SGA was significantly higher than in non-hypertensive pregnancies, while the prevalence of pre-term delivery was not statistically different.

The significance of these findings is unknown and will require additional study. Specifically, for women who met the Traditional Definition Only, it is worth evaluating their clinical profile to understand why they received a hypertension diagnosis at delivery without other evidence of hypertension during pregnancy and why these women had much lower rates of adverse pregnancy outcomes. Often these women receive no antihypertensive medications during pregnancy because US guidelines do not recommend treatment for moderate

Prevalence of Preterm Delivery


Prevalence of Small for Gestational Age


FIGURE 2
Pregnancy outcomes for the singleton cohort by hypertension definition (percent). Non-hypertensive - pregnancies that did not meet either hypertension definition; Traditional Definition - pregnancies who met the Traditional Definition based on delivery hospitalization discharge codes as having hypertension; BP-Inclusive Definition - pregnancies who met the BP-Inclusive Definition based on elevated blood pressures, prescriptions and a diagnosis or delivery diagnoses for eclampsia/preeclampsia as having hypertension. *P $<0.001$ versus non-hypertensive pregnancies.
hypertension in pregnancy. However, a good percentage received a diagnosis of hypertension during pregnancy without meeting the elevated BP criteria specified in the BP-Inclusive Definition. There are several potential explanations. First, hypertensive women may stop their BP medications to prevent potential harmful exposures to the fetus. Second, BPs are known to decline early in pregnancy with a gradual increase in the second and third trimester; it is possible that the increase in BP during the second and third trimester never reached the threshold BP of $\geq 140 / 90$ in some of these women. Third, we restricted qualifying BPs to those recorded in an outpatient setting; expanding this criterion to include
inpatient BPs could increase the number of women meeting the elevated-BP standard. And lastly, some of these women may have had evidence of hypertension only during their delivery hospitalization.

Most of the women who met the BP-Inclusive Definition Only were identified based on the elevated-BP criteria. It is important to understand why these women did not receive a diagnosis of hypertension. Chart reviews were conducted in our prior study to evaluate discrepancies between various hypertension definitions compared to the definition that incorporated BP values (9). Among a sample of women with elevated BP values but without a diagnosis of hypertension, $58 \%$

Prevalence of Pretern Delivery


Prevalence of Small for Gestational Age


FIGURE 3
Secondary analysis of pregnancy outcomes for the singleton cohort by mutually exclusive hypertension groups (percent). Non-hypertensive pregnancies that did not meet either hypertension definition; Both Definitions - pregnancies that were identified as hypertensive by both study definitions; Traditional Definition Only - pregnancies that met the Traditional Definition but not the BP-Inclusive Definition; BP-Inclusive Definition Only - pregnancies that met the BP-Inclusive Definition but not the Traditional Definition. $* P<0.001$ compared to non-hypertensive pregnancies. ** $P=0.006$ compared to non-hypertensive pregnancies.
had evidence in the chart that the elevated BPs were recognized by the provider. Reasons for the lack of a hypertension diagnosis in this previous study could not be determined (9). It is possible that providers are reluctant to assign a diagnosis of hypertension if there is no plan for treatment. It is also possible that if multiple BP values were obtained on a single day, including some that were elevated and others that were not; providers may have given more weight to the values that were not elevated. Additional work needs to be done to understand why
hypertension diagnoses are not recorded in the EMR of women with elevated BPs.

This study included a large sample of women and over 2.2 million outpatient BP values recorded during pregnancy. If, as previous studies suggest, diagnosis codes for hypertension have low sensitivity $(7,8)$ then measured BPs have the potential to identify and support the diagnosis of hypertension. The inclusion of measured BPs is attractive from an epidemiologic standpoint because it allows for quantification of risk based on BP level and BP variability during pregnancy (21). However,
pregnancies identified based only on the BP criterion used in this study were associated with a very small increase in the prevalence of adverse neonatal outcome events compared to pregnancies with no evidence of hypertension. The time between first and last elevated BP was also shorter in the BP only group, 63 days versus 152 days in the full group meeting the BPInclusive Definition. Additionally, women meeting the elevated BP only criterion had a median of 4 high BPs out of 21 total measured during pregnancy. These findings support the need for further work in this area.

Several limitations need to be considered when evaluating the results of this study. First, the elevated BP measures used for the BP-Inclusive Definition may not represent true hypertension; elevated BPs can arise in a variety of clinical circumstances (such as white-coat hypertension, pain or stress related conditions and medications like decongestants or nonsteroidal anti-inflammatory drugs). Second, the BP criteria used in the study, two elevated BPs on different days but with 30 days of each other, could be flawed. It could be argued that given the observational nature of these data, a higher number of elevated BPs or a different time interval between measurements are necessary to establish hypertension. Our criteria were designed to capture what might reasonably occur in clinical practice where a woman has elevated outpatient BPs on two separate visits before hypertension is diagnosed; the 30day time frame was designated so that BP elevations were within a relatively short time span and not spread out over a 280 day pregnancy. Future studies could look at changing the required number of BPs and timing of the BP measures needed to define hypertension from electronic health records. Third, we only evaluated two neonatal outcomes; maternal outcomes that could be studied in the future include maternal intensive care unit admissions and cardiovascular or cerebrovascular outcomes.

## Conclusion

Both epidemiologic definitions used in this study identified similar prevalences of pregnancies complicated by hypertension. A high number of hypertensive pregnant women met criteria for both definitions, however, there was a significant proportion of pregnancies that met one but not both definitions. The prevalence of neonatal outcome events was different between women who met both definitions versus those meeting only a single definition. Additional work needs to be done understand the reasons and importance of these outcome event differences in hypertensive pregnant women meeting different epidemiologic definitions.

## Data availability statement

The datasets presented in this article are not readily available. Anonymized data that support the findings of this study may be made available from the investigative team in
the following conditions: (1) agreement to collaborate with the study team on all publications, (2) provision of external funding for administrative and investigator time necessary for this collaboration, (3) demonstration that the external investigative team is qualified and has documented evidence of training for human subjects protections, and (4) agreement to abide by the terms outlined in data use agreements between institutions. Requests to access the datasets should be directed to kristi.reynolds@kp.org.

## Ethics statement

This study involving human participants was reviewed and approved by the Kaiser Permanente Southern California Institutional Review Board. The board waived the requirement for signed informed consent.

## Author contributions

TC, SS, LA, VH, TE, RN, AI, and SD: concept and design of the study. LA, CP, HZ, ZB , and AI: acquisition of study data. SS, VH, HZ, RN, and ZB: analysis of the data. TC, SS, LA, VH, TE, HZ, RN, ZB, AI, and SD: interpretation of the results. TC, SS, VH, and HZ: drafting of the manuscript. TC, SS, LA, KR, VH, TE, CP, HZ, AI, and SD: critical revisions for important intellectual content. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

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The remaining authors declare that the research was conducted in the absence of any commercial or financial
relationships that could be construed as a potential conflict of interest.

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## Supplementary material

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# Prevalence of hypertension and correlation with mental health in women with burning mouth syndrome: A case-control study 

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#### Abstract

Background: The relationship between hypertension (HTN) and chronic pain is still a matter of debate, and its prevalence in patients with burning mouth syndrome (BMS) has never been evaluated. This study aimed to assess the prevalence of HTN in women with BMS and to evaluate its relationship with potential predictors such as risk factors for cardiovascular diseases, pain, and mental health status analyzing differences with healthy women.


Methods: In total, 250 women with BMS (WBMS) were prospectively recruited and compared with an equal number of healthy women (HW) matched for age. Education, body mass index, smoke and alcohol consumption, intensity and quality of pain, and psychological profile were further investigated to identify the potential predictors of HTN. Specifically, pain assessment [the Numeric Rating Scale (NRS) and Short-Form McGill Pain Questionnaire (SF-MPQ)] and psychological assessment [Hamilton Rating Scale for Depression and Anxiety (HAM-D and HAM-A), Pittsburgh Sleep Quality Index (PSQI), and Epworth Sleepiness Scale (ESS)] was carried out for the participants.
Results: HTN was found in 128 (51.2\%) WBMS and 76 (30.4\%) HW ( $p<0.001^{* *}$ ). The scores of the NRS, SF-MPQ, HAM-D, HAM-A, and PSQI were statistically significantly higher in the WBMS than in the HW ( $p<0.001^{* *}$ ). A strongly linear correlation between HTN and employment status, systemic diseases, and education level ( $p<0.001^{* *}$ ) was found in WBMS, while a strong correlation between HTN and employment status, hypercholesterolemia, systemic diseases, and drug consumption was found in HW ( $p<0.001^{* *}$ ). No statistically significant correlation was found between HTN and pain, anxiety, depression, and sleep disturbances.
Conclusion: These results suggest that WBMS showed a higher prevalence of HTN compared with controls. Unemployed WBMS with lower education and other systemic comorbidities are at an increased risk of developing HTN. HTN is associated with alteration in the vascular structure and function of the brain, and these processes accelerate brain aging, which contributes to a reduction in intracortical connectivity, thus affecting the modulatory system of control of pain in patients with BMS, independently of their mental health assessment. Predictors that may underlie this association remain unclear, taking into account the differences found in HW, and should be further elucidated.

## KEYWORDS

burning mouth syndrome (BMS), hypertension, women, chronic orofacial pain, cardiovascular risk factor

## Introduction

Hypertension (HTN) is the leading cause of cardiovascular disease, and it is responsible for 8.5 million premature deaths from stroke, ischemic heart disease, and kidney disease worldwide (1-3). In addition, HTN is an evidence-based risk factor for brain aging and dementia $(4,5)$.

The number of people affected by HTN aged 30-79 years has doubled from 1990 to 2021, reaching 626 and 652 millions of women and men, respectively, in the world (6). Gender disparity in the HTN epidemiology reveals differences in age stratification; indeed, the prevalence of HTN in patients aged between 18 and 29 years is $3 \%$ in women vs. $8.5 \%$ in men, while in patients aged between 30 and 44 years, it is $7.3 \%$ in women vs. $15.8 \%$ in men (7). In contrast, this prevalence increases strongly after menopause, and it is more common in women than in men in the elderly population above the age of 75 years, reaching $78 \%$ (8).

The mechanisms in which sex interacts with vascular aging and subsequently with an increase in blood pressure are complex, including a multitude of hormonal, chromosomal, or even psychosocial factors (9). Indeed, sex steroids and the receptors through which they act are emerging as important mediators in the promotion and maintenance of sexual divergence in blood pressure regulation across the lifespan (10).

Obesity, dyslipidemia, impaired fasting glucose, and chronic pain are the most frequent comorbidity associated with HTN (11-14).

Burning mouth syndrome (BMS) is a chronic orofacial pain disorder with a strong female predilection; it is characterized by a generalized or localized intraoral burning or dysesthetic sensation or pain of the oral mucosa without any evidence of any specific mucosal lesions and/or laboratory findings (15). The overall prevalence of BMS was $1.73 \%$ in the general population and $7.72 \%$ in the clinical settings of dental practice with an average of $4 \%$, reaching a prevalence of $18 \%$ in postmenopausal women (16). Several studies reported a consistent gender difference associated with BMS (16). Nasri-Heir et al. (17) reported the highest prevalence in women of middle age ( $>50$ years) with a female/male ratio of $7: 1$, whereas in the recent meta-analysis by Wu et al. (16), the female/male ratio reported was $3: 1$. Possible factors behind these gender differences could include genetic factors affecting pain vulnerability as well as hormonal and psychosocial factors (18).

The pathophysiology of BMS includes central nervous system dysfunctions, which increase the central pain sensitization processes and reduce the functioning of descending pain inhibitory mechanisms (17). The higher pain sensitivity in women is probably due to biological sex differences in the ascending and descending modulation pathways and also for psychological phenomena that predominantly affect women (19).

While functional interactions between the pain inhibitory mechanism and the cardiovascular system exist (20), blood pressure is consistently and inversely associated with pain perception in chronic pain-free subjects. Indeed, elevated blood pressure may determine the attenuation of acute pain sensitivity (blood pressurerelated hypoalgesia), and presumably, a similar phenomenon should be attended also in chronic pain status (20). However, recent studies found that, in patients with chronic pain, the relationship between blood pressure and pain sensitivity is completely reversed, and consequently, higher blood pressure has been associated with
increased or higher sensitivity in the perception of chronic pain intensity (14, 20, 21).

Based on the above studies ( $14,20,21$ ) and taking into account the positive relationship between elevated blood pressure and impaired pain perception, we assumed a possible association between HTN and a condition of chronic orofacial pain such as BMS. In addition, several studies underline that changes in hormonal profile and psychological factors during menopause could have a role in the development of both conditions in women (9,22-24).

No published studies have examined the prevalence of HTN in the BMS population, specifically in women who are the most frequently affected population.

Therefore, this study aimed to investigate the prevalence of HTN in a wide sample of women with BMS (WBMS) compared with a control group of healthy women (HW) matched for age and to identify the potential predictors of HTN in WBMS and HW, analyzing the differences between the two groups and taking in account sociodemographic profile (age, employment, marital status), body mass index (BMI), risk factors (smoking and alcohol use), other systemic comorbidities and drug consumption, pain evaluation, and psychological factors.

## Materials and methods

## Study design and participants

This was an observational case-control study that was conducted between April 2020 and January 2022 at the Oral Medicine Department of the University of Naples "Federico II" in accordance with the ethical principles of the World Medical Association Declaration of Helsinki. It was approved by the Ethical Committee of the University (Approval Number: 251/19—the date of approval was February 20, 2019). The adopted methods conformed with the Strengthening of the Reporting of Observational Studies in Epidemiology (STROBE) guidelines for observational studies (25).

At the baseline appointment (time 0), 270 patients in the study group and 265 individuals in the control group were considered eligible for this study. However, only 250 individuals in each group met the inclusion and exclusion criteria (Figure 1). All the participants prospectively recruited were women aged at least 18 years. The case group included patients suffering from BMS at the first consultation, which referred to the BMS symptom onset antecedent to any new drugs introduced in their treatment to exclude any causative effect, as described in previous studies (2628). The control group included healthy subjects presenting at the hospital during the study period for dental treatments. Every subject considered eligible has been included in this study after having provided written informed consent. No payment was provided for participation. The patients and controls were matched by age. First, we recruited the patients and calculated their average age; then, we recruited the controls to obtain a matched sample.

In accordance with the International Classification of Orofacial Pain (ICOP 2020) 1st edition (15), the inclusion criteria of the WBMS group were as follows:

- Female patients aged at least 18 years;
- Patients experiencing an intraoral burning or dysesthetic sensation, recurring daily for more than 2 h per day for more


FIGURE 1
A flow chart of the study. BMS, burning mouth syndrome; BMI, Body Mass Index; ESS, Epworth Sleepiness Scale; HAM-A, Hamilton Rating Scale for Anxiety; HAM-D, Hamilton Rating Scale for Depression; NRS, Numeric Rating Scale; PSQI, Pittsburgh Sleep Quality Index; SF-MPQ, Short-Form McGill Pain Questionnaire.
than 3 months, without evident causative lesions on clinical examination and investigation; the pain has the characteristics of burning quality and is experienced superficially in the oral mucosa;

- Patients with normal blood test findings (including blood count, blood glucose levels, glycated hemoglobin, serum iron, ferritin, and transferrin); and
- Patients who are not currently in treatment with psychotropic drugs.

The WBMS group exclusion criteria were as follows:

- Patients suffering from diseases that could be recognized as a causative factor of BMS,
- Patients unable to understand or complete the questionnaires,
- Patients having a history of a psychiatric disorder or a neurological or organic brain disorder,
- Patients undergoing treatment with psychotropic drugs or systemic drugs possibly associated with oral symptoms,
- Patients having a history of alcohol or substance abuse, and
- Patients suffering from obstructive sleep apnoea syndrome (OSAS).

The inclusion criteria of the HW were as follows:

- Female subjects aged at least 18 years,
- Subjects without any lesion of the oral mucosa,
- Subjects without psychiatric disorder or a neurological or organic brain disorder,
- Subjects without a history of BMS,
- Subjects with normal blood test findings (including blood count, blood glucose levels, glycated hemoglobin, serum iron, ferritin, and transferrin), and
- Subjects who had not undergone treatment with psychotropic drugs.

The exclusion criteria of the HW were as follows:

- Subjects unable to understand or complete the questionnaires,
- Subjects having a history of alcohol or substance abuse,
- Subjects suffering from OSAS.


## Procedure

In the course of routine initial clinical evaluation, all the patients underwent a careful medical analysis, specifically an intraand extra-oral examination by a board-certified expert clinician in oral medicine (DA). The patients had subsequently been assessed with regard to oral symptoms and the sites involved, age, years of education, family situation, job status, risk factors (current smoking status and alcohol consumption), medical comorbidities, and systemic drugs taken. The blood pressure (BP) has been recorded after the patient was seated for a minimum of 5 min in a standardized fashion during each examination cycle (29). The BP was calculated as the mean of two measurements recorded by a physician. We defined hypertension as having systolic blood pressure of 140 mmHg or
greater, diastolic blood pressure of 90 mmHg or greater, or taking medication for hypertension (30). Moreover, we used measured weight and height to calculate the body mass index (BMI) as weight (kilograms) divided by the square of height (meters) (31). According to the WHO classification, the cutoff values considered were 18.5$24.9 \mathrm{~kg} / \mathrm{m}^{2}$ for normal, $25.0-29.9 \mathrm{~kg} / \mathrm{m}^{2}$ for overweight, and $>30$ $\mathrm{kg} / \mathrm{m}^{2}$ for obesity. In particular, obesity class I: BMI of $30-34.9$ $\mathrm{kg} / \mathrm{m}^{2}$, obesity class II: BMI of $35-39.9 \mathrm{~kg} / \mathrm{m}^{2}$, obesity class III: BMI of $\geq 40 \mathrm{~kg} / \mathrm{m}^{2}$ (also referred to as severe, extreme, or massive obesity) $(32,33)$.

## Pain and psychological profile assessment

A set of predefined questionnaires were administered to patients and controls to comprehensively analyze the intensity and quality of pain experienced, psychological profile, and sleep quality.

The Numerical Rating Scale (NRS) (34) and the Short Form of the McGill Pain Questionnaire (SF-MPQ) (35) were administered to evaluate the intensity and quality of pain of the sample group.

The NRS is an 11-point scale where the two endpoints are, respectively, the extremes of no pain and worst pain (34). This could be graphically administered, through a linear 11-box scale, or verbally administered. The SF-MPQ (35) is a multidimensional pain questionnaire that measures the quality of pain. This scale has 15 items considering the sensory, affective, and evaluative aspects of the perceived pain (34). Each item scored from 0 (none) to 3 (severe). There are no established critical cutoff points for the interpretation of the scores, and a higher score indicates worse pain.

The Hamilton Depression Rating Scale (HAM-A) (36) and the Hamilton Rating Scale for Anxiety (HAM-D) (37) were administered to evaluate anxiety and depression symptoms, respectively.

The HAM-A is a clinician-administered anxiety assessment scale containing 14 items, scored from 0 to 4 , which evaluate both somatic anxiety and psychic anxiety. A total score of $<17$ indicates mild severity, a score between 18 and 24 indicates mild to moderate, and a score between 25 and 30 indicates moderate to severe (37).

The HAM-D is a hetero-administered scale containing 21 items that explore the affective field scoring from 0 to 54 . The cutoff score considered are as follows: a score between 7 and 17 indicates mild depression, a score between 18 and 24 indicates moderate depression, and a score of $>24$ indicate severe depression. The HAM-A is a clinician-administered anxiety assessment scale containing 14 items, scored from 0 to 4 , which evaluates both somatic anxiety and psychic anxiety. A total score of $<17$ indicates mild severity, a score between 18 and 24 indicates mild to moderate severity, and a score of 25-30 indicates moderate to severe severity (38).

The daytime sleepiness and the subjective sleep quality were evaluated using the Epworth Sleepiness Scale (ESS) (39) and the pittsburgh sleep quality index (PSQI) (40), respectively. First, the ESS evaluates the sleep propensity in daily life through 8 items, each scored from 0 to 3 . On this scale, a higher score corresponds to higher daytime sleepiness $(41,42)$. Second, the PSQI considers a period of 1 month to evaluate sleep quality, evaluating seven components each scored from 0 to 3: subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleeping medication, and daytime dysfunction (43). PSQI total score ranges between 0 and 21, and a higher score corresponds to the worst sleep quality (44).

## Statistical analysis

The statistical analysis was performed using the R software (v. 4.2.0 - R Core Team, 2016) (45). Descriptive statistics, including means, standard deviations (SDs), medians, and interquartile ranges (IQRs), were measured to summarize the sociodemographic and clinical characteristics of the WBMS and HW.

Fisher's exact test was used to assess the significant differences between frequencies for systematic diseases, drug consumption, antihypertensive drugs, oral symptoms, sites involved, and clinical parameters (psychological profile, and sleep and pain assessment) between WBMS and HW and between WBMS with and without hypertension, while the Mann-Whitney $U$ test was computed for comparing median values.

Dependence analysis among WBMS and HW with HTN and qualitative predictors was performed. A significant difference between frequencies was measured by Fisher's exact test.

Dependence analysis among WBMS and HW with HTN and quantitative predictors was performed. Differences between groups were tested with the Mann-Whitney U test comparing median values. In all analyses, the Bonferroni correction was used to counteract the multiple comparisons problem.

## Results

The demographic variables and the risk factors are shown in Table 1. WBMS reported a statistically significantly lower education level (in years) and a higher level of unemployment compared with the HW $\left(p<0.001^{* *}\right)$. With respect to the family and marital status, a statistically significantly higher proportion of HW was divorced ( $p=0.013^{*}$ ) in comparison to the WBMS. Additionally, WBMS presented a statistically significant higher percentage of heavy smokers ( $>15$ cigarettes, 25 subjects-10\%; $p$ $=0.003^{* *}$ ), while, overall, WBMS consumed less alcohol as there were statistically significantly more non-habitual alcohol users ( $p=$ $0.016^{*}$ ) compared to the control group. The frequency distributions of participants depending on the BMI categories revealed that overall, WBMS showed a considerably higher BMI than HW ( $p$ $\left.=0.001^{* *}\right)$, especially with regard to the overweight and class I obesity categories.

The prevalence of systemic disease and drug consumption are summarized in Table 2. A statistically significantly higher proportion of WBMS presented HTN and hypercholesterolemia compared to HW ( $p<0.001^{*}$ ), while no significant differences were found with respect to all the other comorbidities. In detail, 128 (51.2\%) WBMS and 76 ( $30.4 \%$ ) HW showed HTN $\left(p<0.001^{* *}\right)$; similar results were present for hypercholesterolemia affecting 90 (36\%) WBMS and 53 HW (21.2\%; $p<0.001^{* *}$ ).

As shown in Figure 2, the bar plot underlines the distribution of HTN considering the age stratification in which the highest percentage of WBMS with HTN (42.9\%) is classified between the ages of 65 and 75 years, while for the HW, the highest percentage (38.2\%) is between the ages of 55 and 65 years. Moreover, HTN was found in 29 WBMS (22.6\%) and 10 HW (13.2\%), aged $>75$ years.

Moreover, considering all the antihypertensive drugs, a statistically significantly higher proportion of WBMS (117; 46.8\%) was on antihypertensive therapy compared to the HW (74; 29.6\%) $\left(p<0.001^{* *}\right)$. The frequency distribution of the antihypertensive drugs among the WBMS is shown in Table 2 and Figure 3. In

TABLE 1 Socio-demographic profile and risk factors of 250 WBMS patients and 250 HW.

| Demographic variables | WBMS | HW | $P$-value |
| :---: | :---: | :---: | :---: |
| Age (in years) | $\begin{array}{r} \text { Mean } \pm \text { SD } \\ 62.3 \pm 11.4 \end{array}$ | $\begin{gathered} \text { Mean } \pm \text { SD } \\ 60.8 \pm 11.7 \end{gathered}$ | 0.146 |
| Education (in years) | $\begin{gathered} \text { Mean } \pm \text { SD } \\ 9.2 \pm 4.55 \end{gathered}$ | $\begin{gathered} \text { Mean } \pm \text { SD } \\ 11.4 \pm 4.81 \end{gathered}$ | $<0.001^{* *}$ |
| Family situation <br> - Single <br> - Married <br> - Divorced <br> - Widowed | $\begin{gathered} \text { Frequency (\%) } \\ 23(9.2) \\ 195(78) \\ 8(3.2) \\ 24(9.6) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 29(11.6) \\ 182(72.8) \\ 22(8.8) \\ 17(6.8) \end{gathered}$ | $\begin{gathered} 0.367 \\ 0.255 \\ 0.013^{*} \\ 0.317 \end{gathered}$ |
| Employment <br> - Employed <br> - Unemployed | $\begin{gathered} \text { Frequency (\%) } \\ 68(27.2) \\ 182(72.8) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 106(42.4) \\ 144(57.6) \end{gathered}$ | $\begin{aligned} & <0.001^{* *} \\ & <0.001^{* *} \end{aligned}$ |
| Risk factors | Frequency (\%) | Frequency (\%) | $P$-value |
| Smoking |  |  |  |
| - Never <br> - $<5$ cigarettes <br> - 5-10 cigarettes <br> - 10-15 cigarettes <br> - >15 cigarettes | $\begin{gathered} 186(74.4) \\ 10(4) \\ 11(4.4) \\ 18(7.2) \\ 25(10) \end{gathered}$ | $\begin{gathered} 198(79.2) \\ 18(7.2) \\ 13(5.2) \\ 13(5.2) \\ 8(3.2) \end{gathered}$ | $\begin{gathered} 0.244 \\ 0.172 \\ 0.835 \\ 0.459 \\ 0.003^{* *} \end{gathered}$ |
| Alcohol use |  |  |  |
| - Never <br> - Yes (1 unit) <br> - Yes (2 units) <br> - Yes (>2) | $\begin{gathered} 223(89.2) \\ 22(8.8) \\ 5(2) \\ 0(0) \end{gathered}$ | $\begin{gathered} 203(81.2) \\ 40(16) \\ 6(2.4) \\ 1(0.4) \end{gathered}$ | $\begin{gathered} 0.016^{*} \\ 0.020^{*} \\ 1.000 \\ 1.000 \end{gathered}$ |
| Body Mass Index (kg/m2) |  |  |  |
| - $\mathrm{BMI}<18.5$ <br> - BMI: 18.5-24.9 normal <br> - BMI: 25.0-29.9 overweight <br> - BMI: 30-34 class I obesity <br> - BMI: 35-39.99 class II obesity <br> - BMI>40 class III obesity <br> BMI | $\begin{gathered} 1(0.4) \\ 69(27.6) \\ 147(58.8) \\ 28(11.2) \\ 2(0.8) \\ 3(1.2) \\ \text { Mean } \pm \text { SD } \\ 26.8 \pm 3.70 \end{gathered}$ | $\begin{gathered} 7(2.8) \\ 133(53.2) \\ 90(36) \\ 18(7.2) \\ 2(0.8) \\ 0(0) \\ \text { Mean } \pm \text { SD } \\ 24.5 \pm 3.58 \end{gathered}$ | $<0.001^{* *}$ |

The significance difference between means was measured by the student t -test. ${ }^{*}$ Significant $0.01<\mathrm{p} \leq 0.05$, ${ }^{* *}$ Significant $\mathrm{p} \leq 0.01$. The significance difference among the percentages was measured by the Fisher's exact test. BMI, Body Mass Index; WBMS, women with burning mouth syndrome; HW, healthy women.
addition, the majority of WBMS ( $75 ; 30 \%$ ) and HW (48; 19.2\%) assumed only one antihypertensive drug, 35 WBMS (13.6\%) assumed two antihypertensive drugs, 8 WBMS (3.2) assumed three antihypertensive drugs, and no WBMS assumed four antihypertensive drugs. On the contrary, only 11 out of 128 WBMS and 2 out of 76 HW were found to have HTN without assuming antihypertensive medications. A statistically significant difference was found in a higher percentage of WBMS $(37 ; 14.8 \%)$ treated with the angiotensin II receptor antagonist (ARB) molecule compared to the HW ( $14 ; 5.6 \%$ ) ( $p=0.001^{* *}$ ).

When comparing sociodemographic variables and risk factors between the subgroup of 128 WBMS with HTN and the subgroup of 122 WBMS without HTN, some differences were also detected (Supplementary Table 1). As expected, WBMS suffering from HTN were statistically older than those without HTN ( $67.2 \pm 9.58$ years vs. $51.1 \pm 10.9$ years) and had a lower education ( $8.03 \pm 4.3$ years vs. $10.4 \pm 4.49$ years) ( $p<0.001^{* *}$ ). In relation to the family status, there was a statistically higher prevalence of widowed (18; 14.1\%) among the WBMS with HTN and a lower number of employed (18; $14.1 \%$ ) ( $p<0.001^{* *}$ ). Differences were also detected with respect to the BMI as a statistically significantly higher proportion of WBMS
with HTN ( $77 ; 602 \%$ ) in comparison to the WBMS with no HTN ( $70 ; 57.4 \%$ ) ( $p<0.001$ ). On the contrary, a higher percentage of WBMS without HTN showed a normal BMI ( $40 ; 32.8 \%$ ) compared to the WBMS with HTN $(29 ; 22.7 \%$ ) ( $p<0.001$ ). In addition, as displayed in Supplementary Table 2, a comparison of the prevalence of systemic diseases and drug consumption between WBMS with and without HTN revealed no statistically significant difference, except from the antiplatelets, as a higher number of WBMS with HTN were under this medication ( $48 ; 37.5 \%$ ) ( $p<0.001^{*}$ ). Further information on the frequency distribution by age ranges of the total WBMS with and without HTN is displayed in Supplementary Figure 1 and Supplementary Table 3.

The type and location of the oral symptoms are shown in Table 3. Statistically significant differences were found between the cases and controls in relation to most of the symptoms. All the WBMS reported a burning sensation ( $250 ; 100 \%$ ), which was the worst symptom reported, followed by xerostomia ( $151 ; 60.4 \%$ ), dysgeusia (115; 46.2\%), globus pharyngeus ( $103 ; 41.2 \%$ ), intraoral foreign body sensation ( $60 ; 24 \%$ ), sialorrhea ( $57 ; 22.8 \%$ ), change in the tongue morphology ( $46 ; 18.4 \%$ ), and itching ( $41 ; 16.4 \%$ ). In contrast, halitophobia was the only symptom reported more in HW (19;

TABLE 2 Prevalence of systemic diseases, drug consumption and antihypertensive drugs evaluation in 250 WBMS patients and 250 HW.

| Systemic diseases | WBMS <br> Frequency (\%) | $\begin{gathered} \text { HW } \\ \text { Frequency (\%) } \end{gathered}$ | $P$-value |
| :---: | :---: | :---: | :---: |
| Hypertension | 128 (51.2) | 76 (30.4) | $<0.001^{* *}$ |
| Hypercholesterolemia | 90 (36) | 53 (21.2) | $<0.001^{* *}$ |
| Hypothyroidism | 46 (18.4) | 34 (13.6) | 0.179 |
| Gastroesophageal reflux disease | 36 (14.4) | 28 (11.2) | 0.349 |
| Other cardiovascular disease | 15 (6) | 14 (5.6) | 0.851 |
| Neoplastic diseases | 11 (4.4) | 19 (7.6) | 0.187 |
| Asthma | 10 (4) | 8 (3.2) | 0.811 |
| HCV infection | 6 (2.4) | 4 (1.6) | 0.751 |
| Hyperthyroidism | 5 (2) | 4 (1.6) | 1.000 |
| Neurological disorders | 5 (2) | 3 (1.2) | 0.724 |
| Myocardial Infarction | 4 (1.6) | 6 (2.4) | 0.751 |
| Endocrine Disease | 3 (1.2) | 5 (2) | 0.724 |
| HBV infection | 1 (0.4) | 2 (0.8) | 1.000 |
| Others | 47 (18.8) | 45 (18) | 0.908 |
| Drug consumption | WBMS <br> Frequency (\%) | $\begin{gathered} \text { HW } \\ \text { Frequency (\%) } \end{gathered}$ | $P$-value |
| Antiplatelets | 62 (24.8) | 22 (8.8) | $<0.001^{* *}$ |
| Proton pump inhibitors | 50 (20) | 29 (11.6) | 0.014 |
| Simvastatin | 48 (19.2) | 33 (13.2) | 0.089 |
| Beta blockers | 42 (16.8) | 38 (15.2) | 0.715 |
| ACE-inhibitors | 41 (16.4) | 21 (8.4) | 0.009 |
| Angiotensin II receptor antagonists (ARBs) | 37 (14.8) | 14 (5.6) | 0.001** |
| Levothyroxine sodium | 37 (14.8) | 30 (12) | 0.431 |
| Thiazide Diuretics | 28 (11.2) | 20 (8) | 0.229 |
| Calcium Channel blockers | 19 (7.6) | 12 (4.8) | 0.266 |
| Blood thinner | 6 (2.4) | 9 (3.6) | 0.602 |
| Bisphosphonates | 6 (2.4) | 7 (2.8) | 1.000 |
| Steroids | 4 (1.6) | 2 (0.8) | 0.686 |
| Antihypertensive drugs | WBMS <br> Frequency (\%) | HW <br> Frequency (\%) | $P$-value |
| Assumption- Yes | 117 (46.8) | 74 (29.6) | $<0.001^{* *}$ |
| 1. Antihypertensive drug | 75 (30) | 48 (19.2) | 0.007 |
| 2. Antihypertensive drugs | 34 (13.6) | 22 (8.8) | 0.118 |
| 3. Antihypertensive drugs | 8 (3.2) | 3 (1.2) | 0.221 |
| 4. Antihypertensive drugs | 0 (0) | 1 (0.4) | 1.000 |

A significance difference between the percentages was measured by the Fisher's exact test. **Significant with Bonferroni correction 0.002 for the systemic diseases. **Significant with Bonferroni correction 0.003 for the drug consumption. ${ }^{* *}$ Significant with Bonferroni correction 0.01 for antihypertensive drugs evaluation. HW, healthy women; WBMS, women with burning mouth syndrome.
7.6\%) than in WBMS (16; 6.4\%) without any statistically significant difference. The most frequent sites involved were, in order, the tongue (225; 90\%), followed by the anterior palate ( $163 ; 65.2 \%$ ), the lips ( 162 $64.8 \%)$, the gums ( $154 ; 61.6 \%$ ), and the cheeks ( $139 ; 55.6 \%$ ).

Comparisons of the clinical parameters between the WBMS and HW are summarized in Table 4. A statistically significant difference was found in the NRS and SF-MPQ score between the two groups
$\left(p<0.001^{* *}\right)$. The majority of WBMS (229; 91.6\%) reported severe pain $($ NRS $>8)$ and the median and IQR of the SF-MPQ total score were 10 (7-12).

Statistically significant higher percentages of WBMS suffered from anxiety, depression, and sleep disturbances in comparison to the HW ( $p<0.001^{* *}$ ). Precisely, 246 WBMS (98.4\%) and 89 HW (35.6\%) showed anxiety (HAM-A>7), while 247 WBMS (98.8\%)


FIGURE 2
Frequency distribution of HTN by age ranges of 250 WBMS and 250 HW. HW, healthy women; WBMS, women with BMS.


FIGURE 3
Frequency distribution of the antihypertensive drugs among 250 WBMS and 250 HW . HW, healthy women; WBMS, women with BMS.
and $81 \mathrm{HW}(32.4 \%)$ showed depression (HAM-D>7). In particular the majority of WBMS $(124 ; 49.6 \%)$ showed mild anxiety (HAMA: 8-17), while 104 WBMS (41.6\%) suffered from mild to moderate anxiety (HAM-A: 18-25). Regarding depression, 116 WBMS (46.4\%)
had mild depression (HAM-D: 8-18) and 102 WBMS (40.8\%) had moderate depression (HAM-D: 17-23). Severe anxiety (HAM-A: 2530 ) and severe depression (HAM-D>24) were found in 18 WBMS (7.2\%) and in 29 WBMS (11.6\%), respectively.

TABLE 3 Prevalence of oral symptoms and sites involved in 250 WBMS patients and 250 HW.

| Oral symptoms | WBMS <br> Frequency (\%) | HW <br> Frequency (\%) | $P$-value |
| :---: | :---: | :---: | :---: |
| Burning | 250 (100) | 14 (5.6) | $<0.001^{* *}$ |
| Xerostomia | 151 (60.4) | 34 (13.6) | $<0.001^{* *}$ |
| Dysgeusia | 115 (46.2) | 12 (4.8) | $<0.001^{* *}$ |
| Globus pharingeus | 103 (41.2) | 10 (4) | $<0.001^{* *}$ |
| Intraoral Foreign Body Sensation | 60 (24) | 14 (5.6) | $<0.001^{* *}$ |
| Sialorrhea | 57 (22.8) | 11 (4.4) | $<0.001^{* *}$ |
| Change in tongue morphology | 46 (18.4) | 1 (0.4) | $<0.001^{* *}$ |
| Itching | 41 (16.4) | 9 (3.6) | $<0.001^{* *}$ |
| Tingling sensation | 32 (12.8) | 6 (2.4) | $<0.001^{* *}$ |
| Occlusal Dysesthesia | 22 (8.8) | 5 (2) | $0.001^{* *}$ |
| Diysosmia | 17 (6.8) | 3 (1.2) | $0.002^{* *}$ |
| Halitophobia | 16 (6.4) | 19 (7.6) | 0.726 |
| Oral Dyskinesia | 15 (6) | 2 (0.8) | $0.002^{* *}$ |
| Sites involved | WBMS <br> Frequency (\%) | $\begin{gathered} \text { HW } \\ \text { Frequency (\%) } \end{gathered}$ | $P$-value |
| Tongue | 225 (90.0) | 33 (13.2) | $<0.001^{* *}$ |
| Anterior Palate | 163 (65.2) | 26 (10.4) | $<0.001^{* *}$ |
| Lips | 162 (64.8) | 31 (12.4) | $<0.001^{* *}$ |
| Gums | 154 (61.6) | 37 (14.8) | $<0.001^{* *}$ |
| Cheeks | 139 (55.6) | 32 (12.8) | $<0.001^{* *}$ |
| Floor of the mouth | 123 (49.2) | 27 (10.8) | $<0.001^{* *}$ |
| Soft Palate | 116 (46.4) | 25 (10) | $<0.001^{* *}$ |

A significance difference between the percentages was measured by the Fisher's exact test. ${ }^{* *}$ Bonferroni correction 0.004 for Oral Symptoms. ${ }^{* *}$ Significant with Bonferroni correction 0.006 for Sites involved. HW, healthy women; WBMS, women with burning mouth syndrome.

With respect to sleep evaluation, the WBMS showed a strongly statistically significant difference in the PSQI total score ( $p<$ $0.001^{* *}$ ), as poor sleep (PSQI $>5$ ) was found in 226 WBMS (90.4\%) and in only 133 HW (53.2\%), while no statistically significant difference was found in the ESS total score between the two groups ( $p$ $=0.101$ ).

When comparing oral symptoms, the sites involved and the scores of pain (NRS, T-PRI), anxiety and depression (HAM-A, HAMD), and sleep quality (PSQI, ESS), no differences were detected between WBMS with and without HTN (Supplementary Tables 4, 5).

A dependence analysis between HTN and qualitative and quantitative predictors was performed separately for WBMS and HW to analyze differences in the predictors of HTN between cases and controls. The results of the dependence analysis between HTN and qualitative and quantitative predictors in WBMS are summarized in Table 5. In detail, employment status was found to correlate with HTN ( $p<0.001^{* *}$ ); in particular, unemployed WBMS in this group were 110 (85.9\%), while the employed WBMS suffering from HTN were only 18 (14.1\%). Also, the systemic diseases were positively correlated with HTN $\left(p<0.001^{* *}\right)$, and specifically, WBMS suffering from diseases other than HTN were 124 (96.9\%). Among the quantitative predictors, only education level, expressed in years, was found to correlate with HTN ( $p<0.001^{* *}$ ).

The results of the dependence analysis between HTN and qualitative and quantitative predictors in HW are summarized in Table 6. The evaluation of qualitative predictors showed a correlation not only with employment status and systemic diseases $(p<$ $0.001^{* *}$ ) as in WBMS but also with hypercholesterolemia and drug consumption as in HW $\left(p<0.001^{* *}\right)$. No correlation was found between HTN and quantitative predictors in HW.

## Discussion

Blood pressure and its regulatory systems have been proven to be deeply interconnected with pain modulation (20). For instance, both essential HTN and secondary HTN are effective in reducing acute pain perception throughout a process known as blood pressurerelated hypoalgesia (46). On the contrary, in chronic pain sufferers, this mechanism seems to be under-regulated, and as a consequence, elevated blood pressure is associated with greater chronic pain intensity (14).

Nevertheless, the prevalence and role of HTN in chronic pain conditions are poorly understood, especially in women. The female's prevalence of HTN increases after menopause ( $>40$ years), suggesting the pivotal role of sexual hormone imbalance in the pathophysiology of the disease ( $1,10,22$ ). In detail, menopause

TABLE 4 Pain assessment, psychological profile and sleep in 250 WBMS patients and 250 HW.

| Clinical parameters | WBMS | HW | $P$-value |
| :---: | :---: | :---: | :---: |
| NRS <br> - Mild pain 1-5 <br> - Moderate pain 6-7 <br> - Severe pain $>8$ | $\begin{gathered} \text { Frequency (\%) } \\ 6(2.4) \\ 15(6) \\ 229(91.6) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 238(95.2) \\ 7(2.8) \\ 5(2) \end{gathered}$ | $<0.001^{* *}$ |
| SF-MPQ | $\begin{gathered} \text { Median; IQR } \\ \quad 10[7-12] \end{gathered}$ | $\begin{aligned} & \text { Median; IQR } \\ & 0[0-0] \end{aligned}$ | $<0.001^{* *}$ |
| HAM-A <br> - Normal 0-7 <br> - Mild severity 8-17 <br> - Mild to moderate 18-25 <br> - Moderate to severe 25-30 | $\begin{gathered} \text { Frequency (\%) } \\ 4(1.6) \\ 124(49.6) \\ 104(41.6) \\ 18(7.2) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 161(64.4) \\ 73(29.2) \\ 12(4.8) \\ 4(1.6) \end{gathered}$ | $<0.001^{* *}$ |
| HAM-D <br> - Normal 0-7 <br> - Mild depression 8-16 <br> - Moderate depression 17-23 <br> - Severe depression $>24$ | $\begin{gathered} \text { Frequency (\%) } \\ 3(1.2) \\ 116(46.4) \\ 102(40.8) \\ 29(11.6) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 169(67.6) \\ 60(24) \\ 16(6.4) \\ 5(2) \end{gathered}$ | $<0.001^{* *}$ |
| PSQI <br> - PSQI total score $<5$ PSQI total score >5 | $\begin{gathered} \text { Frequency (\%) } \\ 24(9.6) \\ 226(90.4) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 117(46.8) \\ 133(53.2) \end{gathered}$ | $<0.001^{* *}$ |
| ESS <br> - Normal range 0-10 <br> - Mild sleepiness 11-14 <br> - Moderate sleepiness 15-17 <br> - Severe sleepiness $>18$ | $\begin{gathered} \text { Frequency (\%) } \\ 218(87.2) \\ 30(12) \\ 2(0.8) \\ 0(0) \end{gathered}$ | $\begin{gathered} \text { Frequency (\%) } \\ 220(88) \\ 23(9.2) \\ 2(0.8) \\ 5(2) \end{gathered}$ | 0.101 |

A significance difference between the percentages was measured by the Fisher's exact test. ** Significant with Bonferroni correction 0.003 . IQR is the interquartile range. The significance difference between medians was measured by the Mann-Whitney test. *Significant $0.01<\mathrm{p} \leq 0.05,{ }^{* *}$ Significant $\mathrm{p} \leq 0.01$. ESS, Epworth Sleepiness Scale; HAM-A, Hamilton rating scale for anxiety; HAM-D, Hamilton rating scale for depression; HW, healthy women; NRS, Numeric Rating Scale; PSQI, Pittsburgh Sleep Quality Index; SF-MPQ, Short-form McGill Pain Questionnaire; WBMS, women with burning mouth syndrome.
promotes a derangement of the cardiocirculatory system with a marked decline in endothelium-dependent vasodilation and also in the emergence of other atherogenic factors (47, 48); in addition, the menopause transition broadly affects health and wellbeing in the midlife woman being associated with decreased physical activity and weight gain, impaired sleep, and negative mood (47, 49). All these aspects are also involved in the chronic pain experience, further causing an increase in blood pressure. Indeed, the fluctuations of estrogen hormones and, subsequently, their reduction influence the development and exacerbation of both HTN and pain (50, 51). This theory is supported by epidemiological studies in which the perimenopausal and postmenopausal women showed a higher prevalence of chronic pain and HTN compared to men (9, 52). Moreover, women showed lower pain threshold and tolerance, resulting in increased pain intensity (53). Additionally, it has been proven that women experiencing pain are less prone to use coping strategies, are predisposed to pain chronicization, and are more likely to seek the help of a pain specialist for treatment $(50,54)$.

BMS epidemiology highlights that it affects more female subjects, especially after menopause (16). Structural and functional alterations in the peripheral and central nervous system are considered in the etiopathogenesis of the disease, thus affecting the pain perception and predisposition for mood disorders, sleep disturbance, and cognitive impairment ( $17,19,55,56$ ), as suggested also in a recent study from Canfora et al. (57).

This is the first study that evaluated the prevalence of HTN in a wide sample of WBMS in comparison with an age-matched control group and explored the possible predictors of HTN in this disease. Finally, this study analyzed the potential role of HTN in the disease
progression and the mutual interaction between HTN and pain, mood disorders, sleep, and other comorbidities.

The results of the study suggested a statistically significant difference in the prevalence of HTN in the sample with a higher prevalence of HTN in WBMS (51.2\%) compared with HW (30.4\%). Considering age stratification, the prevalence of HTN was higher in HW until 65 years but it increased in WBMS aged higher than 65 years. Precisely, the prevalence of HTN in HW with age $<65$ years was in line with the epidemiological studies that investigate women's HTN in the general population ( $38.2 \%$ ), and this percentage was found to be consistently lower in WBMS (23.5\%).

Instead, this prevalence increased to $42.9 \%$ in WBMS aged between 65 and 75 years, resulting in a higher prevalence compared with the general prevalence of HTN in women and in patients suffering from chronic pain. Indeed, even if this prevalence is slightly higher compared with the study of Bruehl et al. (14) on 300 chronic pain patients (39\%), these results support the possibility of the functional and overlapping links of the anti-nociceptive and the cardiovascular systems in which impairment in the mechanism of modulating both pain and blood pressure may increase HTN prevalence in WBMS (58-60).

Indeed, blood pressure is modulated by functional circuitry linking the hypothalamus, the nucleus tractus solitarius, the nucleus raphe magnus, and the rostral ventrolateral medulla in which the activity of central adrenergic fibers and alpha-2 receptors may prolong the activation of anti-nociceptive pathways in patients with BMS (61, 62).

Moreover, the bidirectional relationship between pain and blood pressure may involve the levels of cerebral catecholamine, as a result of changed catechol-O-methyltransferase (COMT)-dependent

TABLE 5 Dependence analysis among 128 WBMS patients with HTN and qualitative and quantitative predictors.

| WBMS-qualitative predictors | HTN <br> Frequency (\%) | $P$-value |
| :---: | :---: | :---: |
| Marital status |  |  |
| - Married <br> - not married | $\begin{aligned} & 97(75.8) \\ & 31(24.2) \end{aligned}$ | 0.545 |
| Employment |  |  |
| - Employed <br> - Not employed | $\begin{gathered} 18(14.1) \\ 110(85.9) \end{gathered}$ | $<0.001^{* *}$ |
| Smoking |  |  |
| - Smoker <br> - No smoker | $\begin{gathered} 27(21.1) \\ 101(78.9) \end{gathered}$ | 0.111 |
| Alcohol use |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{gathered} 12(9.4) \\ 116(90.6) \end{gathered}$ | 0.542 |
| Hypercholesterolemia |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{aligned} & 56 \text { (43.8) } \\ & 72(56.2) \end{aligned}$ | 0.012 |
| Systemic diseases |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{gathered} 124 \text { (96.9) } \\ 4 \text { (3.1) } \end{gathered}$ | $<0.001^{* *}$ |
| Drug consumptions |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{aligned} & 96(75) \\ & 32(25) \end{aligned}$ | 0.074 |
| Quantitative Predictors | HTN <br> Frequency(\%) | $P$-value |
| NRS | 10 [9.75-10] | 0.360 |
| SF-MPQ | 10 [7.75-12] | 0.339 |
| HAM-A | 18 [15-20.2] | 0.245 |
| HAM-D | 18 [14-20] | 0.502 |
| PSQI | 8 [8-9.25] | 0.882 |
| ESS | 6.5 [5-9] | 0.149 |
| Education (in years) | 8 [5-12.2] | $<0.001^{* *}$ |
| BMI (kg/m ${ }^{2}$ ) | 26.9 [25.3-28.8] | 0.073 |

A significance difference between the percentages was measured by the Fisher's exact test.
${ }^{* *}$ Significant with Bonferroni correction 0.004 for qualitative predictors. IQR is the interquartile range. The significance difference between medians was measured by the Mann-Whitney test ${ }^{* *}$ Significant with Bonferroni correction 0.006 for quantitative predictors. BMI, Body Mass Index; ESS, Epworth Sleepiness Scale; HAM-A, Hamilton rating scale for anxiety; HAM-D, Hamilton rating scale for depression; NRS, Numeric Rating Scale; PSQI, Pittsburgh Sleep Quality Index; SF-MPQ, Short-form McGill Pain Questionnaire; WBMS, women with burning mouth syndrome.

TABLE 6 Dependence analysis among 122 HW with HTN and qualitative and quantitative predictors.

| HWC- Qualitative Predictors | HTN Frequency (\%) | $P$-value |
| :---: | :---: | :---: |
| Marital status |  |  |
| - Married <br> - Not married | $\begin{aligned} & 57(75) \\ & 19(25) \end{aligned}$ | 0.887 |
| Employment |  |  |
| - Employed <br> - Not employed | $\begin{aligned} & 23(30.3) \\ & 53(69.7) \end{aligned}$ | $<0.001{ }^{* *}$ |
| Smoking |  |  |
| - Smoker <br> - No smoker | $\begin{aligned} & 13(17.1) \\ & 63(82.9) \end{aligned}$ | 0.117 |
| Alcohol |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{aligned} & 11(14.5) \\ & 65(85.5) \end{aligned}$ | 0.418 |
| Hypercholesterolemia |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{aligned} & 21(27.6) \\ & 55(72.4) \end{aligned}$ | $<0.001^{* *}$ |
| Systemic diseases |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{gathered} 76(100) \\ (0) \end{gathered}$ | $<0.001^{* *}$ |
| Drug consumptions |  |  |
| $\begin{aligned} & \text { - Yes } \\ & \text { - No } \end{aligned}$ | $\begin{gathered} 67 \text { (88.2) } \\ 9(11.8) \end{gathered}$ | $<0.001^{* *}$ |
| Quantitative predictors | HTN <br> Frequency (\%) | $P$-value |
| NRS | 0 [0-0.25] | 0.437 |
| SF-MPQ | 0 [0-1.25] | 0.128 |
| HAM-D | 5 [2.75-9.25] | 0.716 |
| HAM-A | 6 [3-11.2] | 0.110 |
| PSQI | 6 (4-9) | 0.010 |
| ESS | 6 (3-8) | 0.149 |
| Education (years) | 10 [7.5-13] | 0.011 |
| BMI (kg/m ${ }^{2}$ ) | 25.4 [22-26.9] | 0.223 |

A significance difference between the percentages was measured by the Fisher's exact test. ${ }^{* *}$ Significant with Bonferroni correction 0.003 for Qualitative Predictors. IQR is the interquartile range. The significance difference between medians was measured by the Mann-Whitney test. ${ }^{* *}$ Significant with Bonferroni correction 0.006 for quantitative predictors. BMI, Body Mass Index; ESS, Epworth Sleepiness Scale; HAM-A, Hamilton rating scale for anxiety; HAM-D, Hamilton rating scale for depression; HW, healthy women; NRS, Numeric Rating Scale; PSQI, Pittsburgh Sleep Quality Index; SF-MPQ, Short-form McGill Pain Questionnaire.

HTN but it could explain the previous study results in which a high prevalence of WMH was found in patients with BMS $(68,69)$.

In this study, a statistically significant difference in years of education was found between WBMS and HW with lower educational attainment found in WBMS compared with HW, and it may be implicated in the impairment of blood pressure control and considered a predictor of HTN. These results are in line with previous studies' results, in which an increase in the year of education leads to an increase in the individual's knowledge and skills about the disease; on the contrary, unschooled patients were at greater risk of developing uncontrolled HTN $(70,71)$.

In addition, less educational attainment has a significant role also on the limited knowledge about healthcare and disease (72), such as BMS. For this reason, the patient's ability to manage both diseases is strictly dependent on their education (73).

Moreover, in this study, a higher prevalence of unemployment was found in WBMS (72.8\%) compared with HW (57.6\%), and this condition was a predictor of HTN as suggested from the results of the dependence analysis. This finding was in line with previous reports in which employment status was inversely associated with HTN in women $(22,74)$, maybe due to the employment's influence on a woman's socioeconomic status (75).

In line with previous studies, the coexistence of other systemic comorbidities represents a predictor of HTN in patients and in controls, and unfortunately, this is a non-modifiable risk factor of $\operatorname{HTN}(76,77)$.

Therefore, unemployed WBMS with few years of education and other systemic comorbidities are at increased risk to develop HTN. Instead, the predictors of HTN are slightly different in HW group, showing that unemployment, systemic comorbidities, hypercholesterolemia, and drug consumption increase the risk of HTN.

In our sample, the common modifiable health risk behaviors of HTN such as tobacco use $(78,79)$ and alcohol consumption $(80,81)$ were not predictors of HTN in patients and controls, but probably these results have to be considered in light of the prevalence of nonsmokers ( 74.4 and $79.9 \%$, respectively) and no alcohol consumers (89.2 and $81.2 \%$, respectively) among WBMS and HW.

In addition, in our sample, BMI was not a predictor of HTN but probably because the majority of WBMS and HW were not obese. In addition, disability related to diseases, such as BMS, makes patients more sedentary and prone to develop obesity. Therefore, body weight reduction in overweight women and the promotion of healthy lifestyle behaviors (50) represent an essential part of both HTN and BMS treatment.

From the analysis of the psychological profile, WBMS showed a higher prevalence of anxiety, depression, and sleep disturbance compared with HW, but no differences were found between WBMS with or without HTN.

Moreover, pain, anxiety depression, and sleep disturbances were not predictors of HTN both in WBMS and in HW. Therefore, the association between depression and anxiety and increased HTN risk remains inconsistent in this study in contrast with other studies that found depression associated with an increased $(82,83)$ or decreased (84) risk of HTN.

Patients receiving the HTN diagnosis could increase their psychological distress, which may further aggravate the adjunctive diagnosis of BMS $(85,86)$. Indeed, the coexistence of several medical comorbidities may have a labeling effect causing mental distress and a decrease in the quality of life, resulting in increased healthcare utilization (77).

Sleep disturbance (PSQI >5) was found in $90.4 \%$ of WBMS, confirming the results of previous studies in which a high prevalence of poor sleep was found in patients with BMS $(55,87)$. Despite sleep disturbance not being considered a predictor of HTN in our sample, it is known that sleep disturbance is associated with an independent HTN risk $(88,89)$. Particularly, a 2016 American Heart Association (AHA) scientific statement concluded that there is strong epidemiological evidence that self-reported short sleep duration ( $<6 \mathrm{~h}$ ) is a risk factor for HTN where women may be more prone to
the effects of short sleep duration on HTN risk (89). This statement was confirmed by a review of 2012 in which higher HTN risk among short sleepers has been reported (90).

Short sleep may increase HTN risk through several physiological mechanisms, including disturbed autonomic balance, hormonal imbalances, inflammation and oxidative stress, greater predisposition to obesity, metabolic syndrome, and unhealthy lifestyle behaviors (88-90). Thus, when present simultaneously, BMS, HTN, mood disorder, and sleep disturbance represent a toxic combination that affects the quality of life of individuals, worsening the outcome of the disease ( 88,91 ).

The results of this study highlight that BMS is a complex disease, with several intertwined comorbidities that may aggravate and prevent the healing of patients if a complete medical and psychological assessment and treatment of all conditions is not carried out. Therefore, despite dental professionals playing a central role in the diagnosis and the management of disease, it is crucial to improve the knowledge about BMS also among medical professionals and promote multidisciplinary collaboration to identify and treat the possible associated comorbidities and reduce the societal burden caused by BMS, further worsening the association with HTN and mood disorder.

## Conclusion

WBMS showed a higher prevalence of HTN compared with HW. Unemployed WBMS with lower education and other systemic comorbidities are at an increased risk to develop HTN. The mechanism whereby these phenomena are associated is not completely clear by the results of this study although it is reasonable to consider the interaction of the genetic, environmental, and biological factors that could contribute to both HTN and BMS development. Indeed, the effects of the cardiovascular sympathetic stimulation in response to the failure of pain-regulatory mechanisms may contribute to broadening pain perception and HTN. The association of BMS and HTN may, in turn, accelerate brain aging contributing to the occurrence of WMH, resulting in intracortical connectivity reduction, which further affects pain processing and produces a vicious circle.

Moreover, a higher prevalence of anxiety, depression, and sleep disturbance was found in WBMS compared with HW. Considering the deleterious effects of concomitant HTN and mood disorders, early recognition and proper treatment of both conditions in patients affected by BMS are important.

Healthy lifestyle behaviors, in addition to treatments, are essential in WBMS to promote psychological wellbeing, improve the quality of life, and prevent early brain aging. Further studies will be needed to confirm the association between HTN and BMS.

## Limitation

This study has some limitations. First, an important limitation of the study is related to the hypertension diagnosis because it was not possible to verify if hypertension preceded the chronic pain onset or, on the contrary, the chronic pain came first. This could be important in the etiopathogenesis interpretation. Second, the duration of antihypertensive drug assumption and eventual switching
in the therapy could not be reported by the patients; as a consequence, we do not know if there were resistant hypertension among these patients; and consequently, although no correlation has been found with HTN and pain scores, it is not possible to address the question of whether controlling the high pressure may have a role in modulating pain perception.

## Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## Ethics statement

The studies involving human participants were reviewed and approved by the Ethical Committee of the University of Naples Federico II (Approval Number: 251/19—the date of approval was February 20, 2019). The patients/participants provided their written informed consent to participate in this study.

## Author contributions

DA, FC, and MM: conceptualization. DA, FC, EC, GP, and MM: methodology. LD'A and MA: software and formal analysis. MM and DA: validation and supervision. FC, EC, SL, NC, CM, GP, FS, LD'A, MA, and MM: investigation. FC, EC, SL, NC, and MM: resources. FC, EC, CM, SL, NC, FS, LD'A, MA, and MM: data curation. FC, DA, and EC: writing-original draft preparation. FC, EC, LD'A, MA,

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GP, DA, and MM: writing—review and editing. DA, FC, EC, and MM: visualization. All authors have contributed to the work and are familiar with the primary data, each has read the final version of the manuscript, approved its content, and have agreed to have their name added to the paper.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcvm.2022. 969148/full\#supplementary-material
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[^1]:    Abbreviations: ACE, angiotension converting enzyme; AT, angiotensin; ET, endothelin; NO, nitric oxide; VCD, vinylcyclohexene; 20-HETE-20, Hydroxyeicosatetraenoic acid; SNA, sympathetic nerve activity; PVN, paraventricular nucleus; NTS, nucleus tractus solitaries; RVLM, rostral ventrolateral medulla; CVLM, central ventrolateral medulla; HELLP, Hemolysis, Elevated Liver enzymes and Low Platelets; CYPs, cytochrome P450.

[^2]:    *"Traditional Definition" is based on discharge diagnosis codes from the delivery hospitalization. **"BP-Inclusive Definition" is based on measured blood pressure values, diagnosis codes and dispensed antihypertensive medications. 10,809 women met both the Traditional and the BP-Inclusive Definition.

