

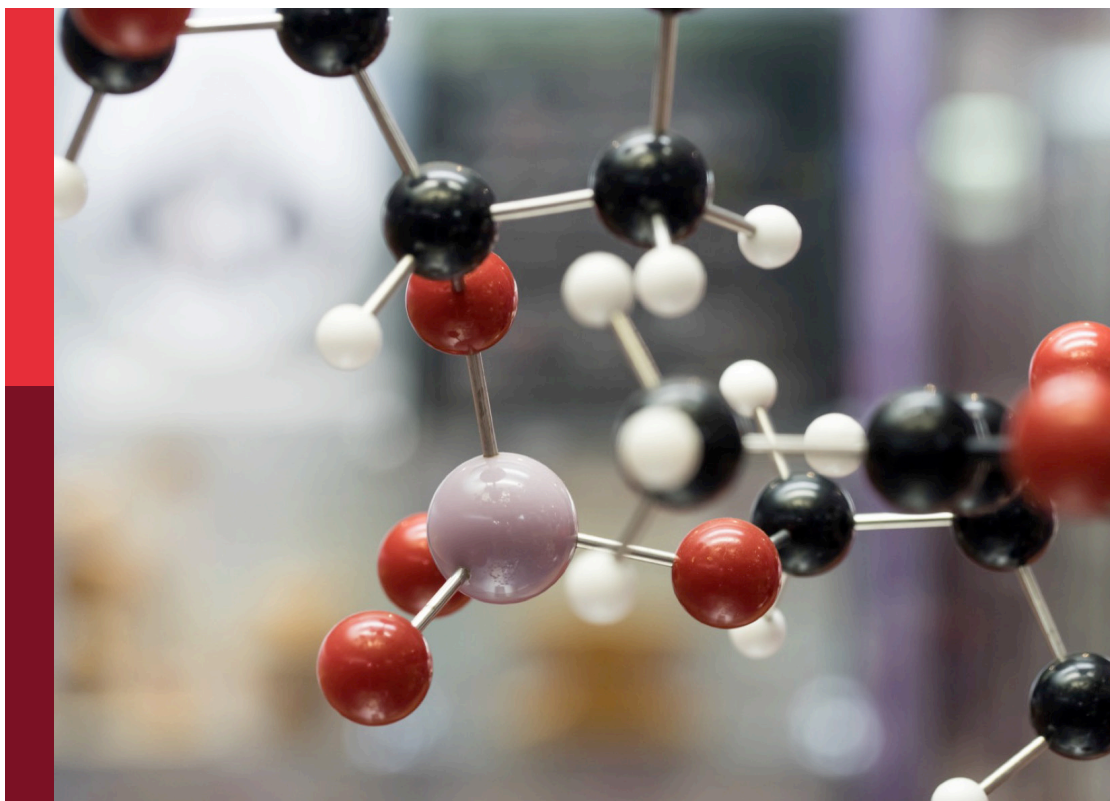
# Catalysis rising stars in china

**Edited by**

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# Catalysis rising stars in china

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## Table of contents

- 04 **Editorial: Catalysis rising stars in China**  
Liuqingqing Yang, Yulian He and Haifeng Xiong
- 06 **Electronic Metal-Support Interactions Between  $\text{Cu}_x\text{O}$  and  $\text{ZnO}$  for  $\text{Cu}_x\text{O}/\text{ZnO}$  Catalysts With Enhanced CO Oxidation Activity**  
Shuai Lyu, Yuhua Zhang, Zhe Li, Xinyue Liu, Zhenfang Tian, Chengchao Liu, Jinlin Li and Li Wang
- 18 **The High-Effective Catalytic Degradation of Benzo[a]pyrene by Mn-Corrolazine Regulated by Oriented External Electric Field: Insight From DFT Study**  
Tairen Long, Haiyan Wan, Jianqiang Zhang, Jie Wu, Jin-Xia Liang and Chun Zhu
- 27 **Synergistic Regulation of S-Vacancy of  $\text{MoS}_2$ -Based Materials for Highly Efficient Electrocatalytic Hydrogen Evolution**  
Xiao-Yun Li, Shao-Ju Zhu, Yi-Long Wang, Tian Lian, Xiao-yu Yang, Cui-Fang Ye, Yu Li, Bao-Lian Su and Li-Hua Chen
- 44 **Thermally-stable single-atom catalysts and beyond: A perspective**  
Sixu Liu, Jiwei Li and Haifeng Xiong
- 51 **Synergetic Effect of Mo, Mg-Modified Sn- $\beta$  Over Moderate-Temperature Conversion of Hexose to Alkyl Lactate**  
Yanru Hu, Gengrui Zhang, Lele Liu, Zixin Chi, Shuai Wang, Jingdong Lin, Haifeng Xiong and Shaolong Wan
- 59  **$\text{CO}_2$  Hydrogenation on Metal-Organic Frameworks-Based Catalysts: A Mini Review**  
Qian Zhang, Sen Wang, Mei Dong and Weibin Fan
- 66 **Gold nanoclusters: Photophysical properties and photocatalytic applications**  
Dajiao Cheng, Rong Liu and Ke Hu
- 83 **Rational Design of Synergistic Structure Between Single-Atoms and Nanoparticles for  $\text{CO}_2$  Hydrogenation to Formate Under Ambient Conditions**  
Shengliang Zhai, Ling Zhang, Jikai Sun, Lei Sun, Shuchao Jiang, Tie Yu, Dong Zhai, Chengcheng Liu, Zhen Li and Guoqing Ren
- 91 **Recent progress of catalytic methane combustion over transition metal oxide catalysts**  
Yuan Gao, Mingxin Jiang, Liuqingqing Yang, Zhuo Li, Fei-Xiang Tian and Yulian He





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# Editorial: Catalysis rising stars in China

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## KEYWORDS

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## Editorial on the Research Topic Catalysis rising stars in China

Catalysis Rising Stars in China is devoted to bringing together high-quality works from outstanding Chinese researchers in the early stages of their independent careers in order to advance our understanding of the design, synthesis, characterization, and application of catalysts. Indeed, catalysts are applied in various situations, from industrial chemical processes to biochemical reactions in the human body, to facilitate the important chemical transformation of one set of molecules into another. In this sense, catalysts play important roles in both our lives and our lifestyles. Over the decades, researchers in the catalysis field have been actively designing and synthesizing novel catalytic systems and exploring the role of catalysis in many different sectors of industry, energy, health, the environment, *etc.* Therefore, this Research Topic highlights the latest progress in the research field of catalysis, working across China.

Widely found in the natural environment and food, BaP is regulated as a Group I human carcinogen, and its degradation is of great importance. Long *et al.* discovered that the degradation of BaP to hydroxybenzo [a]pyrene by Mn-corrolazine can be simplified from a three-step process to one step with the aid of the oriented external electronic field *via* DFT calculations. In this way, the production of toxic epoxide intermediates in the conventional three-step process can be avoided.

Metal-support interaction (MSI) has been a research hotspot since it was first discovered in 1978. This interaction was found to be central to governing the catalytic performance of supported systems. Through systematic investigations on Cu<sub>x</sub>O/ZnO catalysts, Lyu *et al.* were able to regulate the MSI and reveal that the electron transfer from ZnO to CuO leads to the formation of an electron-rich interface, which is favorable for the adsorption of oxygen and CO oxidation reaction.

Electrocatalytic alkaline water splitting is considered an environmentally friendly technology for the production of green hydrogen. To overcome energy barriers and accelerate reaction kinetics, Li *et al.* have successfully developed the Ru-doped CoS<sub>2</sub> catalyst for highly efficient HER. The high crystallinity of Ru-doped CoS<sub>2</sub> materials was obtained with rich heterogeneous interfaces between Ru-CoS<sub>2</sub> and Ru-doped MoS<sub>2-x</sub>. Characterization results show that this synthesized strategy could regulate the concentration of S-vacancy (CS-vacancy) precisely by the synergistic engineering of Ru doping and compositing with CoS<sub>2</sub>. Overall, the typical sample, which has 17.1% CS-

vacancy, demonstrated the highest alkaline HER activity, with a low overpotential of 170 mV at 100 mA/cm<sup>2</sup> and a TOF of 4.29 s<sup>-1</sup> at -0.2 V.

Biomass holds great promise as a sustainable alternative to fossil fuel resources. [Hu et al.](#) reported an Mo, Mg co-modified Sn-β catalyst for the catalytic transformation of glucose and fructose into alkyl lactate at moderate temperatures. The results show that both the Mo species and the synergetic effect between Mo and neighboring Mg species are important in enabling the retro-aldol condensation of fructose. The selection of solvent was also found to be important for both product yield and selectivity.

The conversion of carbon dioxide (CO<sub>2</sub>) into value-added products is an urgent research field aimed at not only curbing carbon emissions but also realizing circular carbon economy. [Zhang et al.](#) summarize the recent metal-organic frameworks (MOFs)-based catalysts for the process of hydrogenation of CO<sub>2</sub> to methanol, methane, and other C<sub>2+</sub> products. The design strategies of MOF materials are also provided in order to boost the overall efficiency of CO<sub>2</sub> conversion and utilization processes.

Single-atom catalysts (SACs) have attracted significant attention in the research field of heterogeneous catalysis. However, the rational design of well-performed SACs still remains challenging. [Zhai et al.](#) discuss a rational design of a Pd<sub>1</sub>-Pd NPs hybrid structure on a 2,6-pyridinedicarbonitrile-derived covalent triazine framework. This synthesized strategy allows for the regulation of the ratio of Pd<sub>1</sub> and Pd NPs, and the typical sample presents the optimal catalytic activity with a formate formation rate of 3.66 mol<sub>HCOOM</sub> · mol<sub>Pd</sub><sup>-1</sup> · h<sup>-1</sup>.

Atomically precise gold nanoclusters (Au NCs) have highly specific surface areas and many unsaturated active sites, such that they are widely explored in the development of new heterogeneous catalysts. [Hu et al.](#) summarize the photophysical properties of several Au NCs and discuss their application in different photocatalytic reactions. The typical properties, including discrete energy levels; tunable photophysical and electrochemical properties, including visible to near-infrared absorption; microsecond long-lived excited-state lifetime; and redox chemistry are highlighted.

Methane is the cleanest fossil fuel resource with low carbon emissions; in the pursuit of carbon neutrality, catalytic methane combustion (CMC) has attracted significant attention in the efforts to curb unwanted automobile emissions as well as in green energy generation. [Gao et al.](#) focus on the transition metal oxide catalysts applied in CMC due to their comparable catalytic performance with

that of precious metals. The authors also comprehensively summarize four different kinetic reaction models that have been proposed for CMC and future research prospects in this reaction field.

As mentioned, SACs catalysts constitute a research Frontier in heterogeneous catalysts. [Liu et al.](#) summarize SAC materials from the unique angle of their stability and activity at high temperatures. The authors collected the latest efforts in the development of thermally-stable SACs at elevated temperatures through the reverse-Ostwald ripening mechanism, especially for the approaches of atom trapping and vapor-phase self-assembly strategies. Other factors that can affect the thermal stability of SACs catalysts at high temperatures are also summarized, including the loading upper limit, the location of the metal single atom, the reducibility of lattice oxygen, and so on.

We are thankful to all authors for their meaningful works and to all the reviewers for their constructive suggestions and opinions. We expect that this Research Topic will encourage more researchers to discover and design novel catalysts in the future, as well as promote the in-depth development of well-performed catalytic applications.

## Author contributions

LY, YH and HX cowrote the editorial draft.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The handling editor TR declared a past co-authorship with the authors LY and YH.

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# Electronic Metal-Support Interactions Between $\text{Cu}_x\text{O}$ and $\text{ZnO}$ for $\text{Cu}_x\text{O}/\text{ZnO}$ Catalysts With Enhanced CO Oxidation Activity

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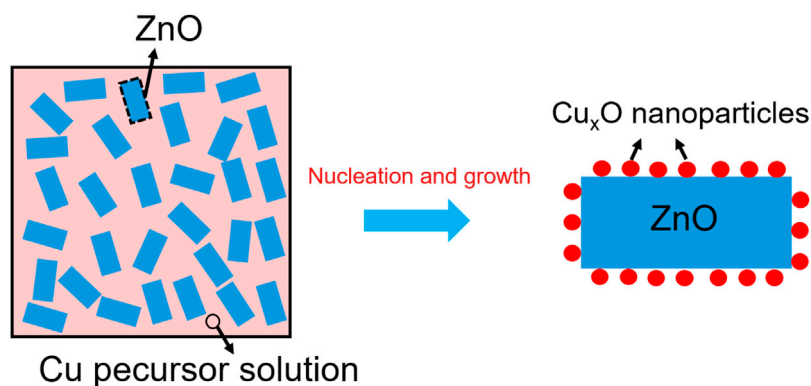
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Metal-support interaction has been one of the main topics of research on supported catalysts all the time. However, many other factors including the particle size, shape and chemical composition can have significant influences on the catalytic performance when considering the role of metal-support interaction. Herein, we have designed a series of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts as examples to quantitatively investigate how the metal-support interaction influences the catalytic performance. The electronic metal-support interactions between  $\text{Cu}_x\text{O}$  and  $\text{ZnO}$  were regulated successfully without altering the structure of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst. Due to the lower work function of  $\text{ZnO}$ , electrons would transfer from  $\text{ZnO}$  to  $\text{CuO}$ , which is favorable for the formation of higher active Cu species. Combined experimental and theoretical calculations revealed that electron-rich interface result from interaction was favorable for the adsorption of oxygen and CO oxidation reaction. Such strategy represents a new direction to boost the catalytic activity of supported catalysts in various applications.

**Keywords:** metal-support interactions, Cu species, CO oxidation, ZnO, supported catalysts

## INTRODUCTION

For decades, the Cu/ZnO system has attracted fundamental interest concerning its unique performance in  $\text{CH}_3\text{OH}$  synthesis,  $\text{CO}_2$  conversion, steam reforming reactions, and oxidation reactions (Behrens et al., 2012; Kuld et al., 2016; Jia et al., 2017; Ye et al., 2018; Jiang et al., 2020). However, the role of the interaction between the two species on the catalytic performance is still not fully understood (Knief et al., 2004; Liao et al., 2011; Bikaljevic et al., 2019; Zhang et al., 2021). The investigation of this key feature for the performance of Cu/ZnO catalysts has often led to different conclusions. For example, the interface in Cu/ZnO catalysts is crucial for  $\text{CH}_3\text{OH}$  synthesis via  $\text{CO}_2$  hydrogenation reaction. Although the exact nature of the interfacial sites is still under debate, two possible active sites are generally proposed: Cu-ZnO synergistic sites at the interface and Cu-Zn surface alloy sites (Nakamura et al., 1996; Fujitani and Nakamura, 2000; Kattel et al., 2017a). In other reactions having different activation and reaction conditions, the reconstruction of Cu and Zn species during the reaction is nonnegligible as well. The active species and valence states at the interface are different. Due to the complexity of the catalytic reaction mechanism, there is no unified

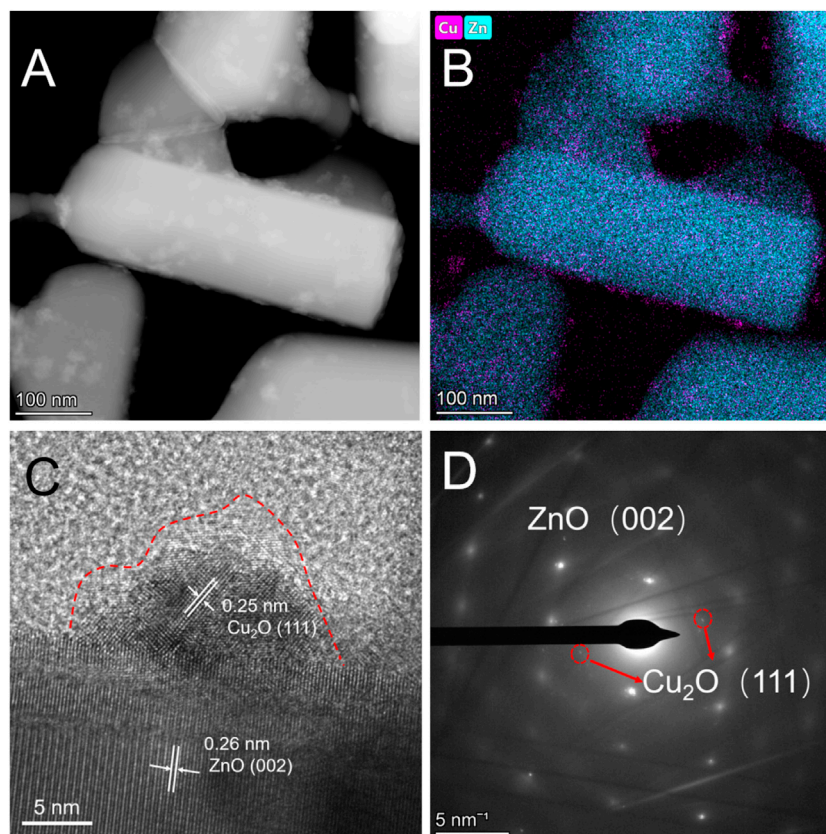


**Scheme 1** | Illustration of the preparation of  $\text{Cu}_x\text{O}/\text{ZnO}$  supported catalysts.

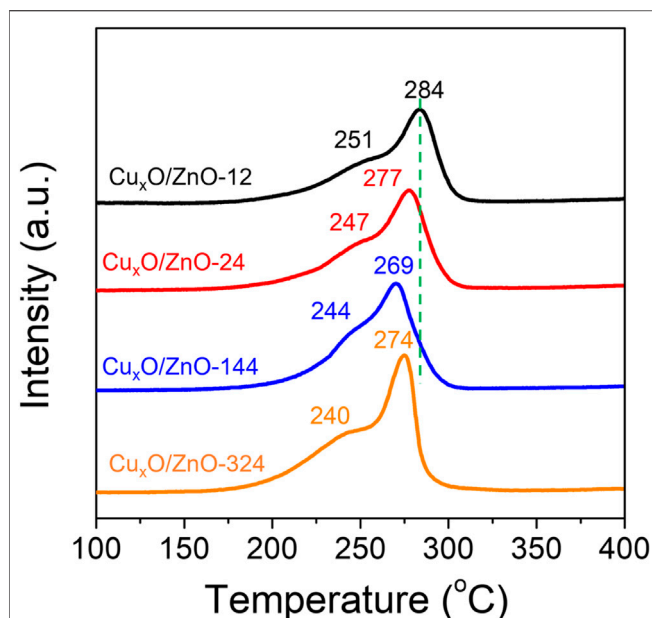
opinion on the interaction between the two components in  $\text{Cu}/\text{ZnO}$  catalysts (Whittle et al., 2002; Kattel et al., 2017b; Yang et al., 2017).

In fact, revealing the specific structure-activity relationship of supported catalysts is a challenging task because their performance is affected by numerous factors, including the composition, specific surface area, and pore structure of the

support, particle size, crystal structure, and morphology of the active metal, and the interaction between the support and the active metal (Li et al., 2018a; Lou et al., 2020a; Lou et al., 2020b; Song et al., 2021). Moreover, these factors generally affect each other. Therefore, to study the effect of one of these parameters while maintaining the other factors constant is not an easy task. For example, to study the pore structure of  $\text{Al}_2\text{O}_3$  on the



**FIGURE 1** | (A) High-angle annular dark-field scanning transmission electron microscopy, (B) energy-dispersive X-ray mapping, (C) high-resolution transmission electron microscopy, and (D) selected-area electron diffraction patterns of  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 catalyst.



**FIGURE 2 |**  $\text{H}_2$  Temperature-programmed reduction profiles of the as-synthesized  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts.

performance in the Fischer-Tropsch synthesis reaction of a  $\text{Co}/\text{Al}_2\text{O}_3$  catalyst, a series of  $\text{Al}_2\text{O}_3$  materials with different pore structures need to be synthesized for the first. However,  $\text{Al}_2\text{O}_3$  supports with different specific surface area or external exposed surface were obtained, which could affect the dispersion degree and size of the Co particles, as well as the interaction between Co having different particle sizes and the  $\text{Al}_2\text{O}_3$  support (Xiong et al., 2005; Liu et al., 2017). Too many influencing factors were introduced during the research, leading to a controversial result. Thus, well-defined catalytic materials and well-designed experiments are essential to obtain a reliable result (Zhang et al., 2014; Yang et al., 2018).

One of the best studied catalytic reactions from a fundamental scientific viewpoint is the CO oxidation reaction, which

constitutes an effective pathway to remove exhaust gas (Doherty et al., 2020; Jing et al., 2020). For this reaction, Cu-based materials have been preferentially employed as primary catalysts due to their variable valence state, low temperature reducibility, and low cost (Jernigan and Somorjai, 1994). Although pristine ZnO catalysts lack substantial oxygen vacancy defects to facilitate an efficient CO oxidation, its strong metal-support interaction (SMSI) with expensive noble metals can improve the reaction performance effectively (Liu et al., 2012; Liu et al., 2018). In this context, the combination of ZnO with Cu can be envisaged as a low-cost alternative to catalyze the CO oxidation reaction. However, the role of the interaction between both components in the catalytic performance is still unclear. Thermal decomposition is one of the most effective methods to obtain highly homogeneous nanomaterials (Park et al., 2004). In this paper, a well-defined  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst was synthesized via a thermal decomposition method. By performing simple efficient treatments, the interaction between  $\text{Cu}_x\text{O}$  and ZnO was regulated successfully without altering the structure of the catalyst. Using these well-defined catalysts and a series of advanced characterization methods, the role of the interaction between  $\text{Cu}_x\text{O}$  and ZnO on the CO oxidation performance was investigated thoroughly.

## EXPERIMENTAL

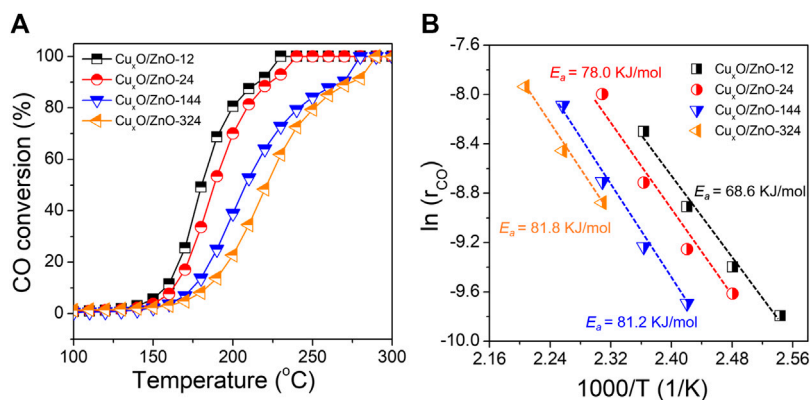
### Chemicals and Reactant Gases

$\text{Cu}(\text{acac})_2$  (98%; acac = acetylacetonate), benzylamine (98%), and the ZnO support (99%, 100–500 nm) were purchased from Aladdin Chemical Reagent Co., Ltd. Ethanol was purchased from Sinopharm.

CO (99.999% purity), Ar (99.999% purity),  $\text{O}_2$  (99.999% purity), and 10%  $\text{O}_2/90\%$  Ar gas mixture were purchased from Sichuan Tianyi Science and Technology Co., Ltd.

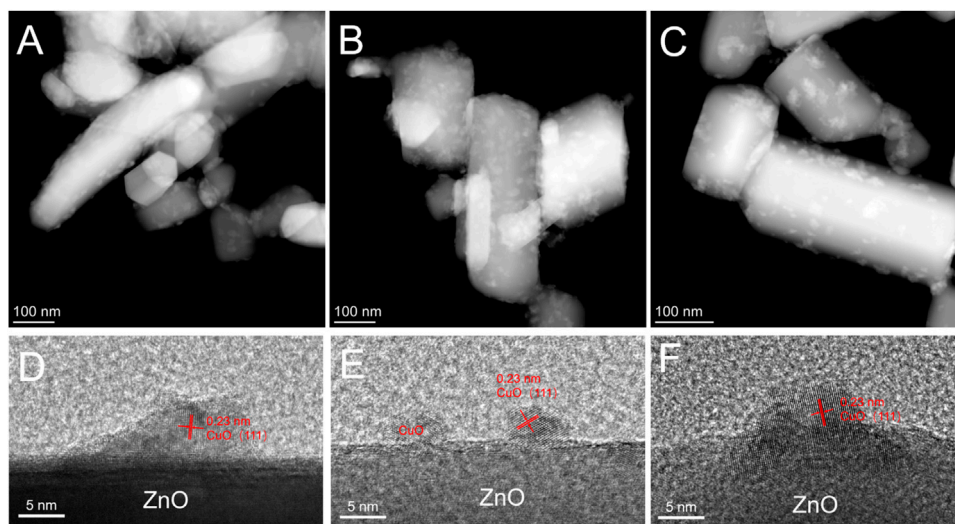
### Preparation of Catalysts

The  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts were synthesized via a thermal decomposition method, according to which  $\text{Cu}_x\text{O}$

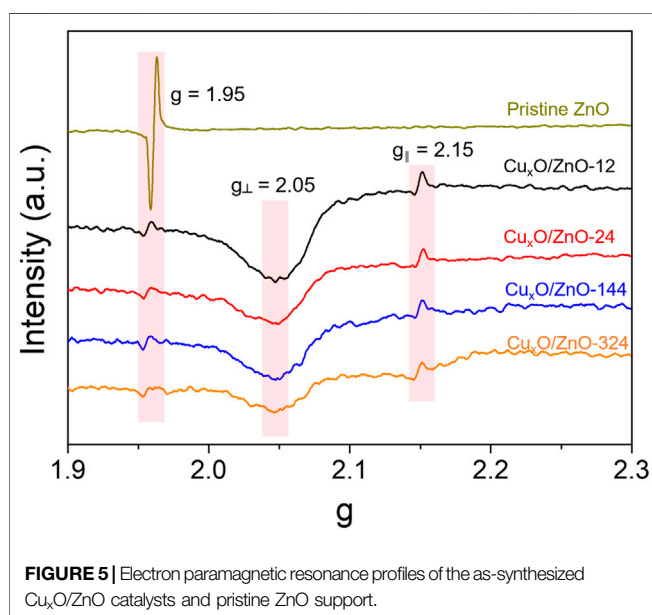


**FIGURE 3 |** (A) CO conversion over the catalyst series as a function of the reaction temperature; (B) Arrhenius plots of the different catalysts.





**FIGURE 4 |** High-angle annular dark-field scanning transmission electron microscopy images of (A) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 catalyst, (B) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -24 catalyst, and (C) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -144 catalyst; high-resolution transmission electron microscopy images of (D) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 catalyst, (E) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -24 catalyst, and (F) used  $\text{Cu}_x\text{O}/\text{ZnO}$ -144 catalyst.



**FIGURE 5 |** Electron paramagnetic resonance profiles of the as-synthesized  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts and pristine ZnO support.

nanoparticles were allowed to nucleate and grow on the ZnO surface as presented in **Scheme 1**. In a typical synthesis, 0.626 g of  $\text{Cu}(\text{acac})_2$  (2.4 mmol), 1.8 g of ZnO, and 100 g of benzylamine were suspended in a round-bottomed flask equipped with a reflux condenser. The mixture was ultrasonically dispersed under vigorous stirring for 0.5 h. Subsequently, the obtained solution was heated to 190°C with stirring in an oil bath and refluxed for 2 h. After cooling down to room temperature, the sample was centrifuged and washed with ethanol five times. The obtained catalyst was divided into four equal parts, followed by drying at 200°C for 12, 24, 144, and 324 h in a vacuum drying oven, respectively. The obtained catalyst samples were denoted as

$\text{Cu}_x\text{O}/\text{ZnO}$ -12,  $\text{Cu}_x\text{O}/\text{ZnO}$ -24,  $\text{Cu}_x\text{O}/\text{ZnO}$ -144, and  $\text{Cu}_x\text{O}/\text{ZnO}$ -324 depending on the drying time.

## Materials Characterization

X-ray powder diffraction (XRD) was performed on a Bruker D8 powder diffractometer using  $\text{Cu K}\alpha$  radiation (1.5404 Å), operated at 40 kV and 40 mA and a Vantec -1 detector. The size and morphology of the catalysts was investigated using a Tecnai G2 20 S-TWIN transmission electron microscope (TEM) equipped with an energy dispersive X-ray (EDX) spectroscopy operated at 200 kV.  $\text{H}_2$  Temperature-programmed reduction (TPR) was conducted using a Zeton Altamira AMI-300 instrument equipped with a thermal conductivity detector. Prior to the measurement, the catalyst (0.05 g) was flushed with high-purity Ar at 150°C for 1 h and then cooled to 50°C. Then, the temperature was raised to 800°C (ramp rate 10°C min<sup>-1</sup>) by applying a flow of 10%  $\text{H}_2/\text{Ar}$  at a flow rate of 30 ml min<sup>-1</sup>. Finally, the temperature was held at 800°C for 30 min. X-ray photoelectron spectroscopy (XPS) measurements were performed on a Thermal Electron VG multilab 2000 with an Al  $\text{K}\alpha$  X-ray source under vacuum at  $2 \times 10^{-6}$  Pa (the binding energies were corrected using the C 1 s peak at 284.6 eV of the surface adventitious carbon). Electron paramagnetic resonance (EPR) spectra were recorded on a Bruker EMX spectrometer. The EPR experiments were conducted with a center field of 3507.815 G and a frequency of 9.83 GHz using an Elexsys probe head with 15 mg of sample placed in a 4 mm tube. *In situ* diffuse reflectance infrared Fourier transform (DRIFT) spectra of catalysts were collected on a Nicolet Fourier transform infrared spectrometer (NEXUS 470). Before data collection, the catalyst was pretreated with a 10%  $\text{O}_2/\text{Ar}$  flow rate of 100 ml min<sup>-1</sup> at 300°C for 1 h to remove absorbed residues and then cooled to 30°C. Pure Ar was introduced to remove  $\text{O}_2$  before CO adsorption experiments.

## DFT Calculations

Density functional theory calculations were performed by PBE (Perdew–Burke–Ernzerhof) method with PAW (projected-augmentation wave) potentials in Vienna ab-initio simulation package program. Plane-wave energy cutoff was set to 400 eV with Gaussian smearing scheme ( $\sigma = 0.05$  eV) suitable for semi-conductor. Total energy and residual atomic force were converged to  $10^{-4}$  and  $0.03$  eV/Å.

## Catalytic Test

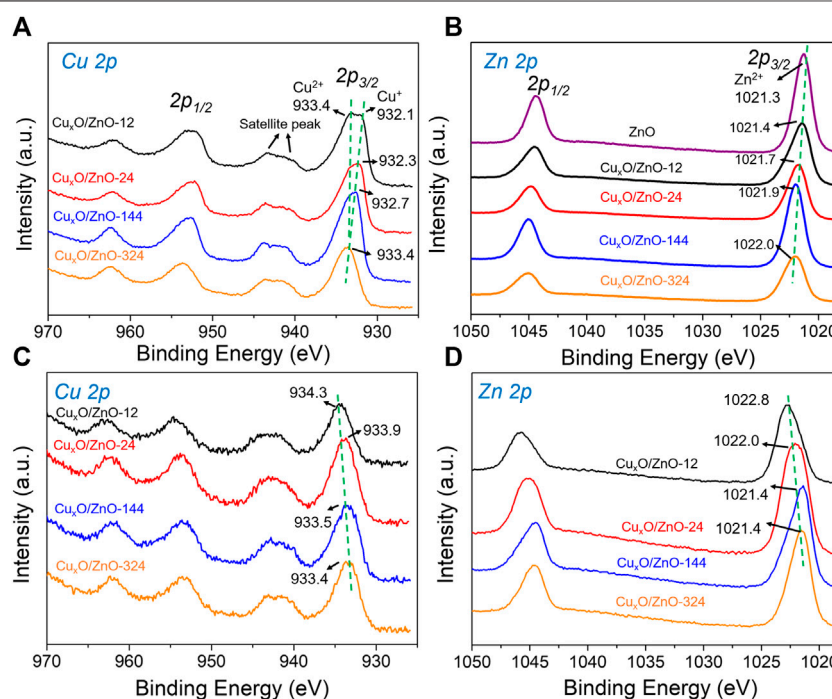
The CO oxidation reaction was conducted in an atmospheric pressure fixed-bed flow quartz reactor. To prevent temperature gradients, 30 mg of catalyst was diluted with inert SiC powder (0.3 g). The catalyst was pretreated with a 10% O<sub>2</sub>/Ar flow rate of 100 ml min<sup>-1</sup> at 300 °C for 1 h to remove any absorbed residues and then cooled to room temperature. For the CO oxidation reaction, the reaction temperature was ramped up from 25 to 400 °C with a heating rate of 2 °C min<sup>-1</sup>. A total flow rate of 100 ml min<sup>-1</sup> was used, and the space velocity was 200,000 ml (g<sub>cat</sub> h)<sup>-1</sup>. The outlet gas composition was measured using a gas chromatograph Agilent 7890 BGC equipped with a thermal conductivity detector. The CO conversion was calculated as follows:

$$\text{CO conversion (\%)} = \frac{\text{CO}_{\text{inlet}} - \text{CO}_{\text{outlet}}}{\text{CO}_{\text{inlet}}} * 100\%$$

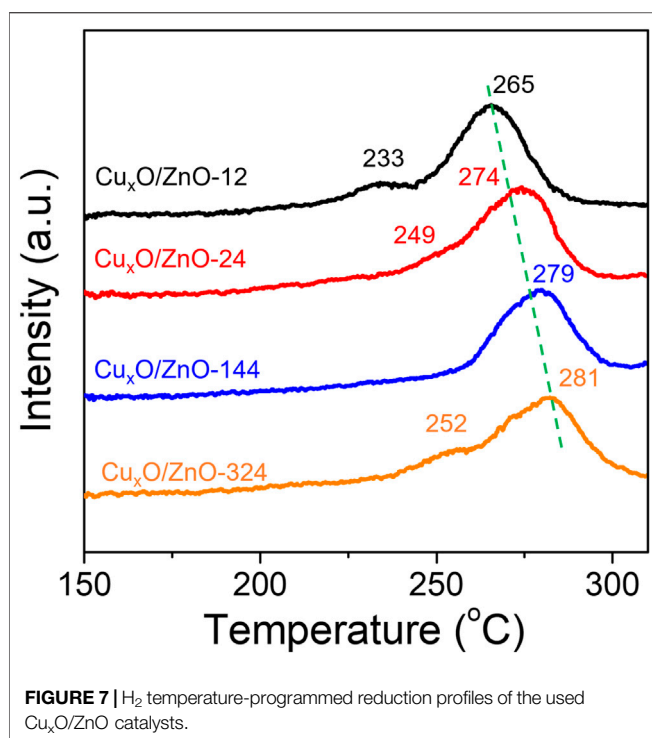
## RESULTS AND DISCUSSION

The Cu<sub>x</sub>O/ZnO-12 catalyst was synthesized *via* a previously reported thermal decomposition approach (Lyu et al., 2019) and dried in a vacuum drying oven at 200 °C for 12 h. In the XRD pattern, characteristic diffraction peaks attributed to ZnO (JCPDS # 36-1451) were observed, whereas no peaks corresponding to Cu<sub>x</sub>O appeared (**Supplementary Figure S1**). This indicates that Cu<sub>x</sub>O particles were well dispersed on the ZnO support. Scanning transmission electron microscopy (STEM) and EDX mapping was used to investigate the morphology and element distribution of the catalysts. The high-angle annular dark-field STEM (HAADF-STEM) images displayed in **Figures 1A,B** show that Cu<sub>x</sub>O particles with an irregular morphology and broad size distribution were distributed uniformly on the ZnO surface. The selected-area electron diffraction (SEAD) results show that the catalyst was composed of Cu<sub>2</sub>O and ZnO (**Figure 1D**). Thus, the lattice fringes with a d-spacing of 0.26 nm correspond to the (002) planes of ZnO, and the 0.25 nm lattice fringes can be ascribed to the (111) planes of Cu<sub>2</sub>O. **Figure 1C** also reveals a distinct oxide layer on the surface of the particles resulting from the formation of CuO after exposure to air and a distinct interface between Cu<sub>x</sub>O and ZnO.

To modify the interaction between the Cu<sub>x</sub>O and ZnO components without affecting the structure of the catalyst, other three Cu<sub>x</sub>O/ZnO samples were prepared using the same procedure described for Cu<sub>x</sub>O/ZnO-12 except that the



**FIGURE 6 |** X-ray photoelectron spectra of the catalysts: **(A)** Cu 2p spectra of the fresh catalyst; **(B)** Zn 2p spectra of the fresh catalyst; **(C)** Cu 2p spectra of the used catalyst; **(D)** Zn 2p spectra of the used catalyst.



heating in the vacuum drying oven at 200°C and 0.08 MPa was conducted for 24, 144, and 324 h, respectively. According to Fick's second law, a concentration gradient due to the different degree of doping with time would cause diffusion of the atoms of the two components into the lattices of each other. The surface reconstruction of the catalyst would be minimized under vacuum. Besides, since the temperature is much lower than the Tammann temperature of  $Cu_xO$ , the agglomeration of  $Cu_xO$  particles would be reduced (Imtiaz et al., 2016). As confirmed by the STEM images of  $Cu_xO/ZnO$ -24,  $Cu_xO/ZnO$ -144, and  $Cu_xO/ZnO$ -324 presented in **Supplementary Figure S2–4**, the dispersion of  $Cu_xO$  particles was not affected by the treatment time. The change in Cu loading and pore structure was negligible under the present mild treatment conditions.

To confirm whether the interaction between the two components in the catalysts was tuned after treatment, the catalysts were characterized by  $H_2$ -TPR. As shown in **Figure 2**, all the catalysts exhibited two distinct peaks at 220°C–300°C, which are related to the reduction of different  $Cu_xO$  species. The main TPR peak at about 260–300°C can be ascribed to the reduction of bulk  $Cu_xO$ , and a smaller shoulder peak at about 220°C–250°C is attributable to the reduction of  $Cu_xO$  interacting with ZnO, which confirms the existence of a  $Cu_xO$ -ZnO interaction that facilitates the reduction of  $Cu_xO$  species in all the catalysts (Jun et al., 1998; Knief et al., 2005). Interestingly, the TPR profiles of the whole series of catalysts exhibit similar peak shapes, but the peak position shifts to lower temperature upon increasing the heat treatment time, indicating that the strong interaction with ZnO can promote the reduction of  $Cu_xO$  effectively. This suggests strongly that

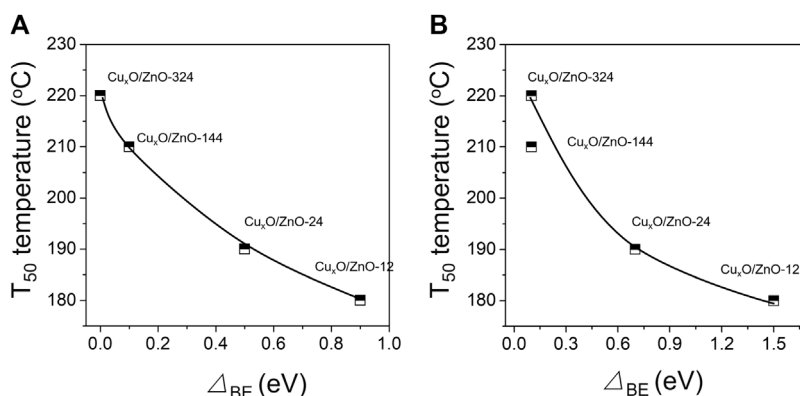
the  $Cu_xO$ -ZnO interaction was regulated successfully. Thus, a batch of well-defined  $Cu_xO/ZnO$  catalysts with different interactions between components was obtained. It should be pointed out that other structural differences between these catalysts were negligible.

The CO oxidation reaction was conducted on a fix-bed reactor under a gas hourly space velocity of 200,000 ml  $g_{cat}^{-1} h^{-1}$  ( $g_{cat}$ , grams of catalyst), which matches standard vehicle exhaust conditions. The light-off curves of the CO conversions are shown in **Figure 3**. Overall, although the activity of the  $Cu_xO/ZnO$  catalysts was limited, significant differences between the catalysts were observed. Thus, the temperature at which CO conversion is 100% ( $T_{100}$ ) was 230°C for  $Cu_xO/ZnO$ -12, whereas it increased gradually with increasing the heat treatment time. The Arrhenius plots of the CO oxidation rates and the activation energies ( $E_a$ ) are presented in **Figure 3B**. The  $Cu_xO/ZnO$ -324 sample showed the highest  $E_a$  (81.8 kJ/mol), which was much higher than that of  $Cu_xO/ZnO$ -12 (68.6 kJ/mol). The  $E_a$  increased monotonously with the drying time.

The different catalytic performance can be ascribed to the structural differences of the catalysts, which are exclusively due to the interaction between the two components, as described above. Although the “metal-support interaction” is a relatively broad concept because the interaction between the two components can be expressed in many forms, it is known to affect the catalytic performance. In a recent review, de Jong et al. (van Deelen et al., 2019) described that several catalyst characteristics such as nanoparticle morphology, charge transfer, interfacial perimeter, chemical composition, and SMSI have a profound effect on the catalytic performance in different reactions. These phenomena are often related to each other and influence the catalytic performance in different degrees, depending on the catalyst and the reaction.

The adhesion energy at the metal-support interface has been reported to affect the shape of the nanoparticles, which has a strong influence on their catalytic performance because different shapes expose certain facets (Bratlie et al., 2007; Xie et al., 2009; Zhou and Li, 2012). Recently, Zhang et al. explored the active sites of a  $Cu_2O$  catalyst in CO oxidation using a series of  $Cu_2O$  particles with regular morphology as model materials, since cubic  $Cu_2O$  particles with different sizes possess different face sites and edge sites (Zhang et al., 2019). Herein, high-resolution TEM (HRTEM) was used to investigate the morphology of the  $Cu_xO$  particles in each catalyst. As shown in **Figure 1**, the  $Cu_xO$  particles in the  $Cu_xO/ZnO$ -12 catalyst exhibited no special morphology, and no morphological changes were observed upon extending the treatment time (**Supplementary Figure S2–4**). Therefore, the  $Cu_xO$  morphology is not the reason for the different performance of the catalysts. The STEM result also allows excluding the influence of the interface perimeter between  $Cu_xO$  and ZnO because the size of the  $Cu_xO$  particles is similar in the whole catalyst series. This was also confirmed by the surface element composition data obtained by XPS, according to which the surface Cu



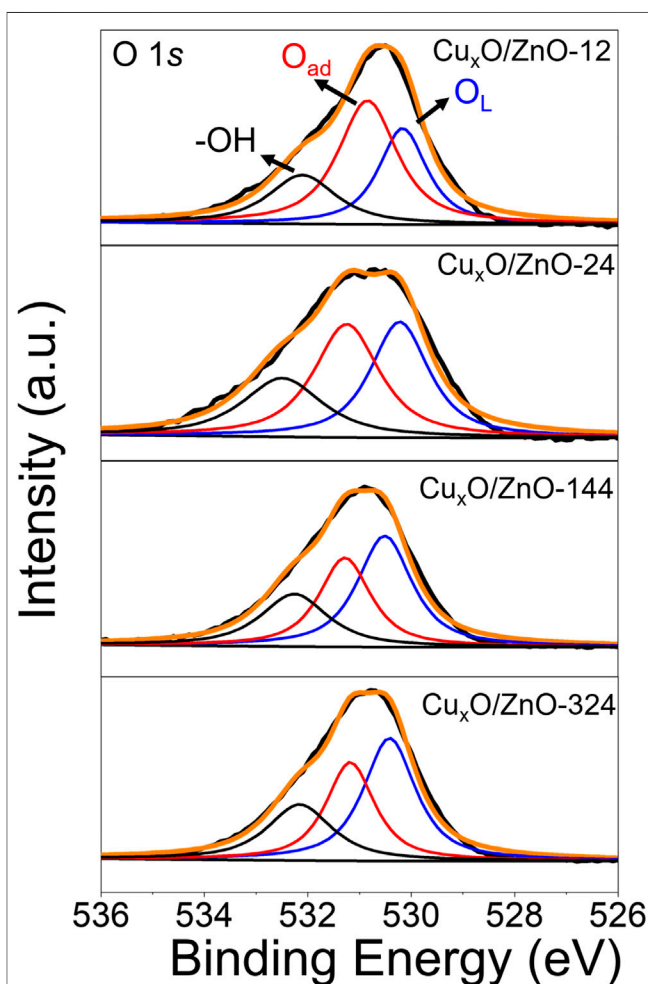


**FIGURE 8 | (A)** Dependence of the  $T_{50}$  temperature and the difference between the Cu  $2p_{2/3}$  peak position of the used catalyst and the peak position for  $\text{Cu}^{2+}$  (933.4 eV); **(B)** dependence of the  $T_{50}$  temperature and the difference between the Zn  $2p_{2/3}$  peak position of the used catalyst and the peak position for  $\text{Zn}^{2+}$  (1021.3 eV).

content and Cu/ZnO ratio of all the catalysts were almost the same (**Supplementary Table S1**).

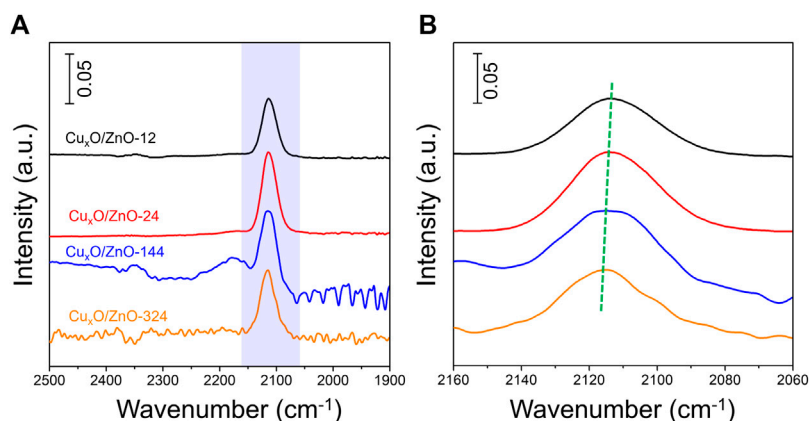
The term strong metal-support interaction refers to the coverage of metal nanoparticles by suboxides, which are generated from the support under reducing conditions as a result of the surface reconstruction of the catalyst in a specific atmosphere (Liu et al., 2015; Tang et al., 2017; Dong et al., 2020). In the present study, HRTEM was used to examine whether the  $\text{Cu}_x\text{O}$  particles in the used catalyst were covered by ZnO (**Figure 4**). After the reaction, the structure of the  $\text{Cu}_x\text{O}$ -ZnO interface remained virtually unchanged, and no covering was observed. Recently, Luo et al. studied the surface reconstruction of a Cu catalyst during CO oxidation using *in situ* aberration-corrected environmental TEM under  $\text{O}_2$  atmosphere (Luo et al., 2020). However, this nonreducing atmosphere may not be conducive to the formation of SMSI in current case (Yang et al., 2021).

A solid-state reaction occurring between metal nanoparticles and the support results in the formation of new phases (Chaika et al., 2020). For Cu/ZnO catalysts, the formation of a Cu-Zn compound induced by hydrogen or a reducing atmosphere often occurs in hydrogenation reactions (Grunwaldt et al., 2000). Meanwhile, the Cu-Zn alloy was reported to be the active center in  $\text{CO}_2$  hydrogenation. In this study, the  $\text{Cu}_x\text{O}/\text{ZnO}$  compound was fabricated according to Fick's law (Van Milligen et al., 2005), and its formation was confirmed via EPR spectroscopy. As presented in **Figure 5**, the spectra of all the catalysts exhibited a resonance at  $g = 1.953$ , which is attributable to shallow donor centers caused by interstitial Zn and surface O vacancies (Wen et al., 2020). This peak of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts was relatively weaker than that of pristine ZnO and decreased slightly with increasing the heat treatment time. Meanwhile, an anisotropic hyperfine structure was observed in all the catalysts. A resonance parameter  $g_{\parallel}$  of 2.15 indicates that  $\text{Cu}^{2+}$  replaced the cation sites of ZnO. Moreover, a broad peak at around  $g_{\perp} = 2.05$  was detected for each sample, which can be ascribed to adsorbed oxygen radicals associated with oxygen vacancies, according to previous studies (Li et al., 2018b). Thus, the EPR results confirm that  $\text{Cu}^{2+}$  was successfully doped into the ZnO lattice. Notably, the signal assigned to the anisotropic hyperfine structure of  $\text{Cu}^{2+}$

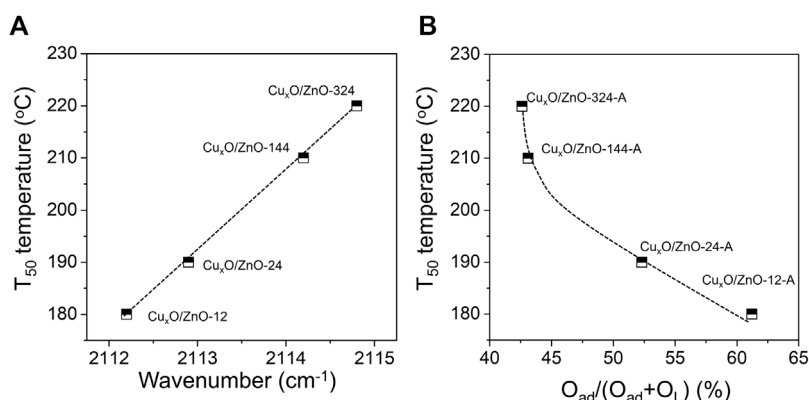


**FIGURE 9 |** O 1s spectra of the activated  $\text{Cu}_x\text{O}/\text{ZnO}$ . Activated condition: 300 °C under 10%  $\text{O}_2/\text{Ar}$  for 1 h.

decreased mildly with increasing the heating time due to the increase of the long-range dipolar interaction between  $\text{Cu}^{2+}$  ions as the Cu doping amount increased.



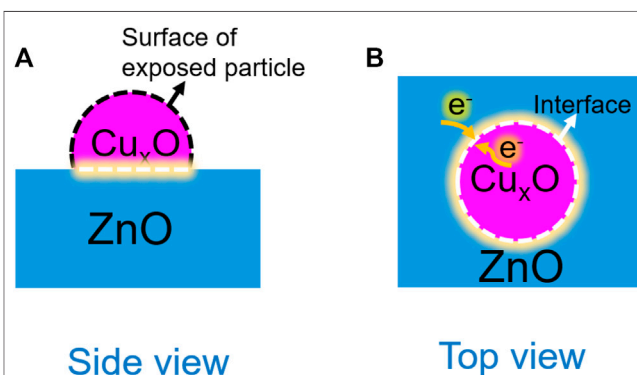
**FIGURE 10 | (A):** *In-situ* DRIFTS spectra of different  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst. **(B):** Spectroscopic data between 2060 and 2160  $\text{cm}^{-1}$ .



**FIGURE 11 | (A)** Dependence of the  $T_{50}$  temperature and the adsorption position of CO molecular; **(B)** dependence of the  $T_{50}$  temperature and relative  $O_{ad}$  concentration.

To investigate the electronic states of the different catalysts, XPS measurements were performed. **Figure 6A** shows the Cu 2p spectra of the catalysts, in which some differences in the peak shape of Cu 2p<sub>2/3</sub> can be observed. Thus, the Cu 2p<sub>2/3</sub> peak for  $\text{Cu}_x\text{O}/\text{ZnO}$ -12,  $\text{Cu}_x\text{O}/\text{ZnO}$ -24, and  $\text{Cu}_x\text{O}/\text{ZnO}$ -144 was clearly split into two peaks: a peak at higher binding energy (933.4 eV) that can be assigned to  $\text{Cu}^{2+}$  and another peak at ca. 931.9 eV corresponding to  $\text{Cu}^+$ . In contrast, only one peak at 933.4 eV was observed in the spectrum of  $\text{Cu}_x\text{O}/\text{ZnO}$ -344 (Svintsitskiy et al., 2013). Interestingly, the  $\text{Cu}^+$  peak shifted gradually toward the high binding energy region as the heat treatment time increased. For  $\text{Cu}_x\text{O}/\text{ZnO}$ -144, the  $\text{Cu}^+$  peak shifted to 932.6 eV. This is a strong indication of the change in the Cu electron state in the catalyst. The electronic state of Zn in the catalyst is also worthy of note.

As shown in **Figures 6A,B** peak at 1021.4 eV attributable to  $\text{Zn}^{2+}$  appeared in the spectra of  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 (Zhu et al., 2018). This peak shifted gradually to higher binding energies with increasing the treatment time, indicating that the interaction between the two components changed and the electronic structure of the components was affected simultaneously.



**FIGURE 12 |** Schematic of the interaction between  $\text{Cu}_x\text{O}$  and ZnO during the CO oxidation reaction. **(A):** Side view; **(B)** TOP view.

Next, considering that the interaction between the active component and the support of a catalyst is sensitive to the atmosphere (Dong et al., 2018), the electronic property of the used

catalysts was investigated by XPS to study the changes in the catalysts during the reaction. An interesting “reverse behavior” of the interaction was observed. As shown in **Figure 6C**, the surface Cu species of all the catalysts presented characteristic of  $\text{Cu}^{2+}$  after reaction, in line with the previous studies (Zhang et al., 2019). For the  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 catalyst, the peak corresponding to  $\text{Cu}^{2+}$  shifted by 0.9 eV to higher binding energy (934.3 eV) compared with that of fresh  $\text{Cu}_x\text{O}/\text{ZnO}$ -12. For  $\text{Cu}_x\text{O}/\text{ZnO}$ -24, the peak shifted by 0.6 eV, for  $\text{Cu}_x\text{O}/\text{ZnO}$ -144 by 0.1 eV, and for  $\text{Cu}_x\text{O}/\text{ZnO}$ -324 by 0 eV. The Zn 2p spectra of the catalysts were also found to change dramatically after the reaction (**Figure 6D**). Thus, for  $\text{Cu}_x\text{O}/\text{ZnO}$ -12, the binding energy of the Zn 2p<sub>2/3</sub> peak shifted from 1021.4 to 1022.8 eV. For  $\text{Cu}_x\text{O}/\text{ZnO}$ -24, this shift was 0.7 eV. Meanwhile, the Zn 2p<sub>2/3</sub> peak shifted to lower binding energy after the reaction for  $\text{Cu}_x\text{O}/\text{ZnO}$ -144 and  $\text{Cu}_x\text{O}/\text{ZnO}$ -324. Taking the Zn 2p<sub>2/3</sub> peak of pristine ZnO as a reference, the shift value to higher binding energy decreased with increasing the treatment time. In other words, the interaction between  $\text{Cu}_x\text{O}$  and ZnO in  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 was the strongest among the catalysts after the reaction, whereas that of  $\text{Cu}_x\text{O}/\text{ZnO}$ -324 was the weakest, opposite to the trend for the fresh catalysts.

The used catalysts were further characterized by TPR to confirm the change in the interaction for all the catalysts. As shown in **Figure 7**, the main peak position of used  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 shifted to lower temperature from 284 to 265°C. The reducibility of the catalysts decreased with the treatment time, following an opposite trend to that of the fresh catalysts. Considering that the strong interaction between  $\text{Cu}_x\text{O}$  and ZnO facilitated the reduction of  $\text{Cu}_x\text{O}$ , it can be concluded that the interaction between  $\text{Cu}_x\text{O}$  and ZnO in  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 was the strongest in the series of catalysts after the reaction, which is in line with the XPS results. This suggests a dramatic reconstruction of the catalysts during the reaction. However, the initial strong interactions between  $\text{Cu}_x\text{O}$  and ZnO impeded the reconstruction process. Therefore, the  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 sample, which had a weaker interaction at the beginning, underwent to a greater extent the reconstruction phenomenon compared with the other catalysts.

The electron transfer between the two components was predicted according to the work functions. The work functions calculated by the energy difference between the vacuum and Fermi levels are 5.51, 7.65, 4.57 eV for ZnO(100), CuO(100) and  $\text{Cu}_2\text{O}$ (111) surfaces respectively, which indicates the shuttling charge transfer between ZnO and CuO/ $\text{Cu}_2\text{O}$ . In other words, the electrons in  $\text{Cu}^+$  will be transferred to ZnO, which explains the shifting of the  $\text{Cu}^+$  peak in the XPS data toward higher binding energy (**Figure 6A**). Meanwhile, CuO accepts electrons from ZnO. For the used catalyst, since ZnO is a typical p-type semiconductor, part of the electrons in the ZnO conduction band are trapped by the adsorbed surface oxygen to form oxygen species, and part of the electrons diffuse into the interface (Yin et al., 2016), which is consistent with the XPS results. After the reaction, the binding energy of the Zn 2p<sub>2/3</sub> peak of  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 shifted by 1.0 eV compared with that of pristine ZnO, indicating that the strong interaction causes ZnO to release a considerable amount of electrons. The shift value of the Cu 2p<sub>2/3</sub> and Zn 2p<sub>2/3</sub> peaks was negatively correlated with the  $T_{50}$  temperature (**Figure 8**). Electron transfer between metal and oxide support is a key step in CO oxidation reaction. In previous studies, White et al. (White et al., 2006) revealed that surface  $\text{Cu}^{2+}$  was an unlikely candidate for oxidation or O<sub>2</sub>

dissociation. The active catalyst state was confirmed to be  $\text{Cu}^+$  (in a  $\text{Cu}_2\text{O}$  lattice) which is either present from the onset or formed by reduction of  $\text{Cu}^{2+}$  by adsorbed CO. Jernigan et al. (Jernigan and Somorjai, 1994) found that reaction rates for CO oxidation decreased with increasing copper oxidation state ( $\text{Cu} > \text{Cu}_2\text{O} > \text{CuO}$ ). Huang et al. (Huang and Tsai, 2003) also found that the activity of CuO will be significantly enhanced when non-stoichiometric copper oxides are formed. Thus, it is documented that electrons transfer could contribute to the formation of active Cu species with higher activity, revealing that the strong interaction between  $\text{Cu}_x\text{O}$  and ZnO is favorable for CO oxidation.

It has been demonstrated that the electron-rich interface would promote the adsorption of oxygen on catalyst (Hayyan et al., 2016; Zeng et al., 2017), which was favorable for CO oxidation reaction. **Figure 9** presented the O 1s spectra of activated  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts. It can be seen that three characteristic peaks could be fitted from the original curve. The peak at around 530.1 eV is assigned to lattice oxygen ( $\text{O}_L$ ) of catalysts, peak around 531.5 eV is attributed to the adsorbed oxygen ( $\text{O}_{ad}$ ) species including  $\text{O}^{2-}$  and  $\text{O}^{2-}$  on the surface, the weaker peak at 532.4 eV was the oxygen in hydroxyl groups on the surface. The relative  $\text{O}_{ad}$  concentration [ $\text{O}_{ad}/(\text{O}_L + \text{O}_{ad})$ ] was related to the oxygen storage capacity of catalysts. It can be seen that the activated  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 possess a highest  $\text{O}_{ad}$  concentration of 61.2% (**Supplementary Table S2**) and the  $\text{O}_{ad}$  concentration of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalysts decreased with the treatment time. Thus, the electron-rich interface was favorable for the adsorption of oxygen and CO oxidation reaction.

To confirmed further the electronic metal-support interaction between two components. *In situ* DRIFTS experiment was conducted to revealed CO adsorbates behavior on surface of catalysts. Before data collection, the catalyst was pretreated with a 10% O<sub>2</sub>/Ar flow rate of 100 ml min<sup>-1</sup> at 300 °C for 1 h to remove any absorbed residues and then cooled to 30°C. **Supplementary Figure S8** present the spectrum evolution of  $\text{Cu}_x\text{O}/\text{ZnO}$ -12 catalyst at different stage. The peaks appear at 2120 and 2177 cm<sup>-1</sup> after CO inlet are assigned to the vibrations of gaseous CO. After purging with Ar for 15 min, most gaseous CO in the cell was blew out, a surviving peak at ~2113 cm<sup>-1</sup> was assigned to the vibrations of linearly CO species adsorbed on  $\text{Cu}^+$  (Sarkodie et al., 2021). All the catalysts present a distinct CO absorption peak at ~2113 cm<sup>-1</sup>, as shown in **Figure 10A**. Moreover, **Figure 10B** present the detailed absorption peak position of the catalysts, revealing that the absorption peak shift slightly to lower wavenumbers. As established in literature, strong M-C bonds are favored by electron-rich metal centers due to  $\pi$  back-bonding, high d-electron density leads to the formation of strong bond between  $\text{Cu}^+$  and CO via  $\pi$ -back bonding contributions (Li et al., 2017; Sarkodie et al., 2021). The ZnO support acts as an electronic donor to Cu species would increase the  $\pi^*$  back-donation from  $\text{Cu}^+$  to adsorbed CO and hence decrease the carbonyl stretching frequency.

Furthermore, *in situ* CO-DRIFTS date and relative  $\text{O}_{ad}$  concentration data were correlated with activity data of catalyst. As shown in **Figure 11A**, the  $T_{50}$  temperature of catalyst decreased with position of CO adsorption peak, indicating that the activity of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst decreases with the decreasing interfacial electron density. From **Figure 11B**, one can see that the catalytic activity increases with the relative  $\text{O}_{ad}$  concentration of  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst.

On the basis of the experimental and theoretical results, the effect of the interaction between  $\text{Cu}_x\text{O}$  and  $\text{ZnO}$  on the oxidation performance of CO can be described as depicted in **Figure 12**. The active sites of the catalysts can be divided into two types: exposed  $\text{Cu}_x\text{O}$  particles (**Figure 12A**) and the heterojunction at the interface (**Figure 12B**). The particle size of the catalysts before and after the reaction was almost unchanged, and the difference in the contribution of exposed  $\text{Cu}_x\text{O}$  particles on the activity among all the catalysts was negligible. Thus, the electronic structure at the catalyst interface can be considered the main factor affecting the catalytic activity. The strong interaction between the two components promotes the enrichment of electrons at the interface and the activation and dissociation of oxygen molecules. Nevertheless, the catalyst reconstruction during the reaction is an important factor in the formation of a strong catalyst interaction.

## CONCLUSION

In this study, a well-defined  $\text{Cu}_x\text{O}/\text{ZnO}$  catalyst was synthesized via a typical thermal decomposition method. By adjusting the drying time of the catalyst under vacuum, the  $\text{Cu}_x\text{O}$ - $\text{ZnO}$  interaction was regulated successfully. The interaction between  $\text{Cu}_x\text{O}$  and  $\text{ZnO}$  was altered by the reconstruction of the catalyst during the CO oxidation reaction. For catalysts with a weak interaction, the reconstruction process enhanced the interaction between the two components, which was conducive to the electron transfer and the overall activity. In contrast, for the catalysts with a strong interaction, the reconstruction process is blocked. After a restructuring procedure, the strong interaction between  $\text{Cu}_x\text{O}$  and  $\text{ZnO}$  is favorable for the CO oxidation reaction.

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## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

SL, YZ, and XL: validation, investigation, data curation, visualization, writing—original draft. ZL: DFT calculation. Z T: data curation. CL: writing—editing. JL: methodology, resources, supervision. LW: conceptualization, methodology, resources, supervision, writing—review and editing.

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The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fchem.2022.912550/full#supplementary-material>



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# The High-Effective Catalytic Degradation of Benzo[a]pyrene by Mn-Corrolazine Regulated by Oriented External Electric Field: Insight From DFT Study

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The degradation of BaP into hydroxybenzo[a]pyrene by Mn-corrolazine and its regulation by an oriented external electronic field (OEEF) were systematically studied using first-principle calculations. Extensive density function calculations showed that the degradation of BaP into hydroxybenzo[a]pyrene by Mn-corrolazine occurs via a three-step process in the absence of OEEF, in which a more toxic and stable epoxide intermediate is generated. However, upon application of OEEF along the intrinsic Mn-O reaction axis, the degradation of BaP into hydroxybenzo[a]pyrene is greatly simplified. The negative charge on the terminal O atom of Mn-OO corrolazine increases with an increase in the OEEF intensity. As the intensity of the OEEF increases over 0.004 a.u., the negatively charged terminal O atom has the ability to directly abstract the positively charged H atom of BaP and the degradation of BaP into hydroxybenzo[a]pyrene can be completed via a one-step process, avoiding the production of more toxic epoxide intermediates.

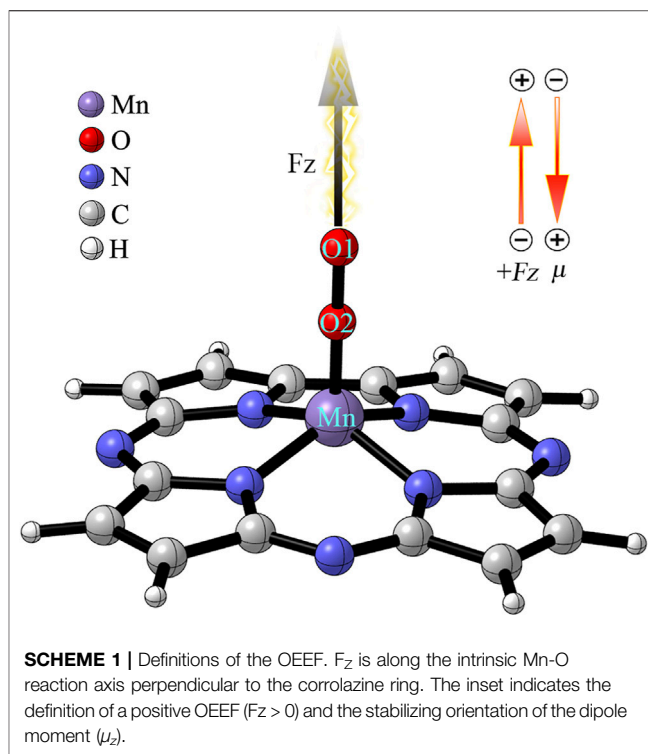
**Keywords:** benzo[a]pyrene, Mn-corrolazine, catalytic oxidation, density functional theory calculations, oriented external electric field

## 1 INTRODUCTION

With the development of modern industry, the use of fossil fuels and discharge of soot, flue gas and industrial wastewater have resulted in serious environmental pollution from polycyclic aromatic hydrocarbons (PAHs) (Paulik et al., 2016; Poater et al., 2018; Wu et al., 2018; Yan et al., 2021). As a representative of carcinogenic PAHs, benzo[a]pyrene (BaP) bearing five fused aromatic rings, which is classified as a Group I “human carcinogen” by the World Health Organization (WHO) International Agency for Research on Cancer IARC (2021), has caused irreversible damage to air, water and soil (Lemieux et al., 2015; Strobel et al., 2015; Roberts et al., 2016). Moreover, BaP is persistent organic pollutant, which poses a serious threat to global food security (Średnicka et al., 2021). Seafood with increasing BaP content increases the risk of cancer (Wang et al., 2020) and BaP in roast meat, smoked meat and fried foods will cause serious damage to animals and human organs, such as the liver and kidneys (Takeshita and Kanaly, 2019; Iko Afé et al., 2020; Cunha et al., 2021; Goedtko et al., 2021; Mertens et al., 2021; Ge et al., 2022). Very recent studies (Kostoff et al., 2020) have indicated that people exposed to increased levels of BaP will lead to degradation or dysfunction of the immune system and people will become more susceptible to 2019-nCoV. Therefore, to eliminate the toxicity of BaP, it is essential to study its degradation.

BaP is mainly degraded *via* chemical oxidation, photo-oxidation, microbial degradation and bioaccumulation in the natural environment (Wilson and Jones, 1993; Rubio-Clemente et al., 2018). Among them, the use of bacteria or fungi to biodegrade BaP has received a lot of research attention (Kou et al., 2009; Kuppusamy et al., 2016; Ping et al., 2017; Qin et al., 2017; Delsarte et al., 2018; Gan et al., 2018; Harry-Asobara and Kamei, 2019; Ostrem Loss et al., 2019; Sampaio et al., 2019; Tian et al., 2019), but this approach has a series of drawbacks, such as low catalytic efficiency and difficulty in cultivating suitable bacteria (Wang et al., 2012; Wongwongsee et al., 2013). From the perspective of utilizing solar energy, photocatalysis is one of the most attractive BaP degradation methods reported to date (Kou et al., 2009). BaP can be directly photodegraded upon adsorbing solar energy (Miller and Olejnik, 2001) or degraded using sensitized photochemical reactions (Mill et al., 1981; Fasnacht and Blough, 2002). Recently, the group Luo et al. (2015); Luo et al. (2018) has reported for the first time that natural porphyrins can promote the photoconversion of BaP in water into quinones using singlet oxygen generated *via* a photocatalytic detoxification reaction (Zang et al., 2007). In addition, the possible oxidative degradation mechanisms and pathways of BaP in the atmosphere have been explored by Dang et al. (2015). However, despite the involvement of transition metals in many catalytic processes (Fujihara and Tsuji, 2019; Lin et al., 2021; Parmar et al., 2021; Sánchez-López et al., 2021; Yang et al., 2021; Zhou et al., 2021; Zhou et al., 2022), the use of transition metal complexes as non-sacrificial oxidants for the degradation of BaP has not been reported in the literature.

Our group has recently reported that Mn-corrolazine can activate oxygen in the air *via* an electron spin-flip mechanism under visible light irradiation, which forms a singlet [Mn]-O-O species with terminal radical characteristics (Zhu et al., 2018). In fact, corrole has been widely used in many fields (Zhu and Liang, 2015; Zhu et al., 2016; Li and Cao, 2018; Lvova et al., 2018; Liang et al., 2019; Zheng et al., 2020; Dedic et al., 2021; Li et al., 2021). Very recently, oriented external electric fields (OEEFs) have been extensively studied as a ‘smart agent’ by Shaik et al. (2016); (Ramanan et al., 2018; Wang et al., 2018); Shaik et al. (2020). They found that the interaction between OEEFs and dipole moments can change the electron transfer process and enhance the ionicity in the direction of the “reaction axis”, resulting in the regioselectivity of the reaction. Furthermore, our group has also found that OEEFs can effectively regulate the catalytic activity of metal-corrolazines (Wang et al., 2021; Zhu et al., 2021). Therefore, in order to discover a new effective and environmentally-friendly strategy for the degradation of BaP, we have explored the degradation mechanism of BaP to form hydroxybenzo[a]pyrene using Mn-corrolazine involving a [Mn]-O-O species. We further studied the regulation of OEEF on the degradation process. Based on considerable theoretical calculations, we have discovered the reasonable reaction process and OEEF regulation mechanism, which provide a theoretical foundation for further experimental research on the degradation of BaP using Mn-corrolazine regulated by OEEF.

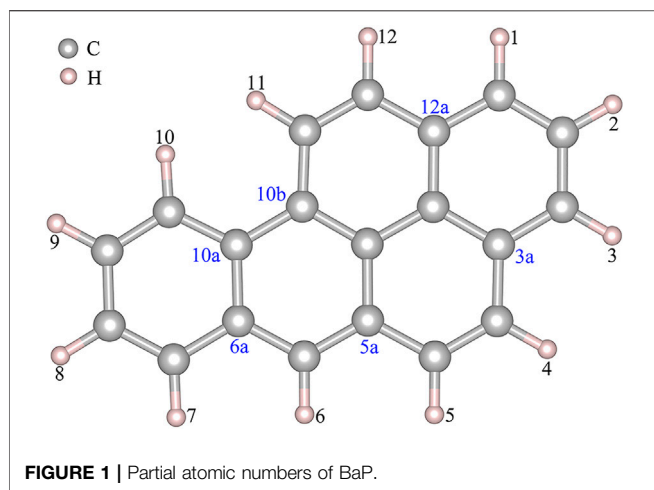


## 2 COMPUTATIONAL METHODS

The B3LYP density functional method (Lee et al., 1988; Stephens et al., 1994) in combination with an effective core pseudopotential basis set (LANL2DZ) for the Mn atom and 6-31G(d) basis set for all other atoms (B1) was used for all geometry optimizations and frequency calculations performed in the Gaussian 16 package (Frisch et al., 2016). The energies were refined using single-point calculations (Stuyver et al., 2020) utilizing the B3LYP functional with D3BJ dispersion correction (Grimme et al., 2011) coupled with 6-311+G (d,p) (Andersson and Uvdal, 2005) and aug-cc-pVQZ (Mn) (Kendall and Harrison, 1992), i.e., B3LYP-D3(BJ)/6-311+G (d,p), aug-cc-pVQZ (Mn) level of theory (B2). Zero-point energy (ZPE) corrections were taken from the B3LYP/6-31G(d), LANL2DZ (Mn) level of theory. Twelve structures formed by [Mn]-O-O adsorbing different H atoms from BaP were fully optimized to obtain the most stable structure. Based on this structure, the degradation of BaP was studied in which the reactant complexes (RC), transition states (TS), intermediates (IM), and products (P) were fully optimized without any symmetry constraints. Frequency calculations were carried out at the same level of theory in order to assess the nature of a stable point on the potential energy surface and estimate the thermodynamic properties. Moreover, intrinsic reaction coordinate (IRC) analysis was used to further confirm the TS correlating to their corresponding RC and P.

To investigate the effect of OEEF on the reaction, the OEEF  $F_z$  along the intrinsic Mn-O reaction axis perpendicular to the corrolazine ring was applied using the keyword “field = M ±



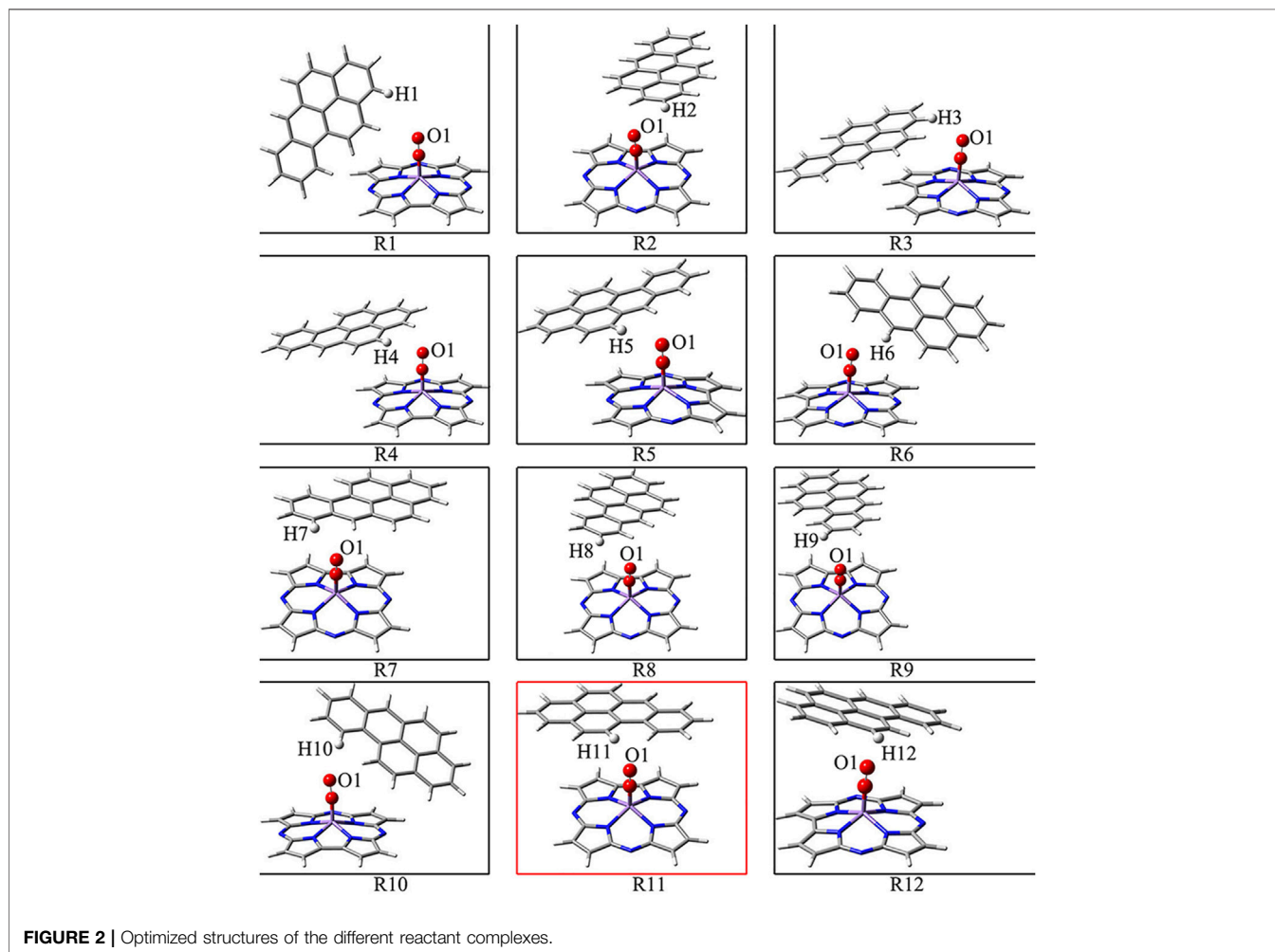


N<sup>+</sup>, as shown in **Scheme 1**. The positive direction of the electric field vector in **Scheme 1** follows the Gaussian 16 convention. In addition, the electric field strength of Fz was in the range of 0.001–0.01 a.u. (1 a.u. = 51.4 V/Å).

## 3 RESULTS AND DISCUSSION

### 3.1 Reactive Site Screening

**Figure 1** shows that BaP has 12 H atoms in different chemical environments and in order to find the most reasonable reaction site, we optimized the different RC formed by these 12 different H atoms in BaP and the [Mn]-O-O corrolazines, respectively. **Figure 2** and **Table 1** show that BaP and [Mn]-O-O corrolazine form weak interaction complexes, in which the bond lengths of  $d_{O1-HX}$  ( $X = 1, 2, 3, \dots, 12$ ), where the numbers refer to the serial numbers of the H atom, are very similar in the range from 2.537 to 2.845 Å, and the bond length of  $d_{O1-H11}$  was the smallest, indicating its interaction was the strongest. Similarly, the energies of these structures are very similar, in which the difference between the highest energy and the lowest energy was only 1.07 kcal/mol, which originates from the weak interaction formed between BaP and [Mn]-O-O corrolazine. In addition, the energy of structure **11** was the lowest, again proving that it was the most stable. Furthermore, **Table 1** shows the bond lengths of Mn-O and O-O were 1.617 and 1.265 Å, respectively, which are similar to the results obtained in



**TABLE 1** | |O1-HX (X = 1, 2, 3, ..., 12), Mn-O, O-O bond lengths (Å), relative electronic energies and zero-point energies ( $\Delta E$ ,  $\Delta E_0$ , kcal/mol).

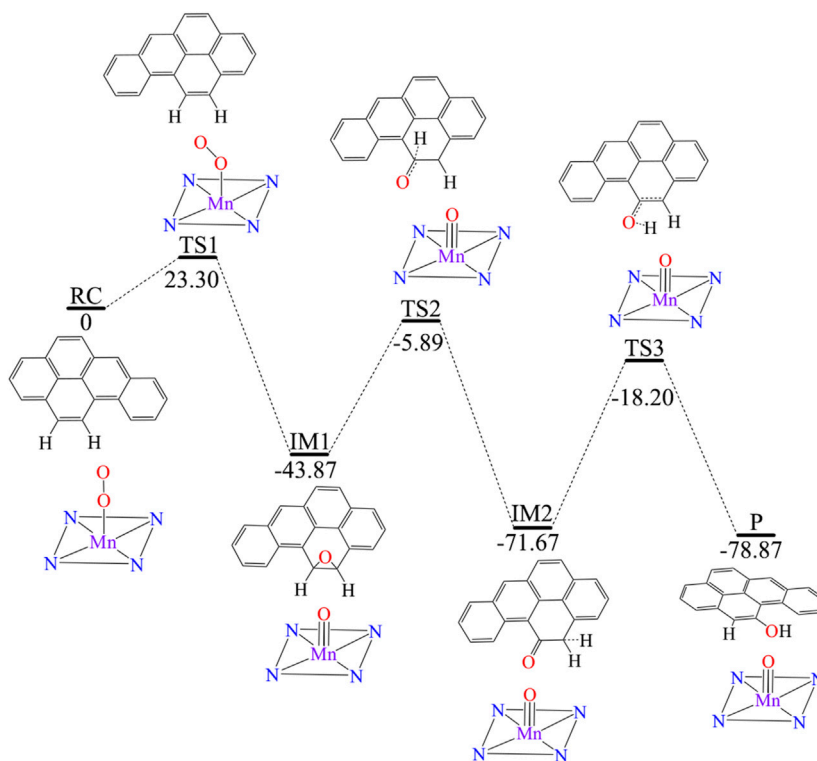
| Structure | $d_{O1-HX}(X=1, 2, 3, \dots, 12)$ | $d_{Mn-O}$ | $d_{O-O}$ | $\Delta E$ | $\Delta E_0$ |
|-----------|-----------------------------------|------------|-----------|------------|--------------|
| R1        | 2.697                             | 1.617      | 1.264     | 0.218      | 0.166        |
| R2        | 2.776                             | 1.617      | 1.262     | 0.792      | 0.683        |
| R3        | 2.671                             | 1.617      | 1.264     | 0.319      | 0.263        |
| R4        | 2.599                             | 1.617      | 1.263     | 1.015      | 0.907        |
| R5        | 2.608                             | 1.618      | 1.264     | 0.953      | 0.838        |
| R6        | 2.703                             | 1.619      | 1.263     | 0.945      | 0.809        |
| R7        | 2.648                             | 1.617      | 1.264     | 0.342      | 0.288        |
| R8        | 2.845                             | 1.617      | 1.262     | 0.725      | 0.604        |
| R9        | 2.778                             | 1.617      | 1.261     | 0.802      | 0.684        |
| R10       | 2.676                             | 1.617      | 1.263     | 0.705      | 0.647        |
| R11       | 2.537                             | 1.617      | 1.265     | 0          | 0            |
| R12       | 2.563                             | 1.618      | 1.264     | 1.068      | 0.958        |

our previous study (Zhu et al., 2018), indicating that the [Mn]-O-O moiety in structure **11** has strong oxidation ability. Therefore, we chose structure **11** as the RC to further explore the degradation of BaP.

### 3.2 Reaction Mechanism of [Mn]-O-O Catalysed Oxidation of BaP Into 11-OH-BaP

Figure 3 shows [Mn]-O-O corrolazine catalyses the degradation BaP into 11-hydroxybenzo[a]pyrene (11-OH-BaP) *via* three steps: 1) epoxidation, 2) hydrogen transfer

and 3) rearrangement. The first epoxidation step in which 11,12-epoxybenzopyrene (IM1) was generated from BaP. The O-O bond in the [Mn]-O-O moiety was tilted and its terminal O1 attacks the C12 atom in the BaP moiety. The BaP moiety then rotates and its C11 atom attacks the terminal O1 atom to form 11,12-epoxybenzopyrene with a reaction activation energy of 23.3 kcal/mol. During this step, the hybridization of C11 and C12 changes from  $sp^2$  to  $sp^3$ . Following the epoxidation step, IM2 was formed *via* a hydrogen transfer step. In this step, the C11-O1 bond was broken and at the same time, the C11-H11 was broken with H11 being transferred to C12 and C11 returns to  $sp^2$  hybridization. The activation energy of this step was up to 37.98 kcal/mol. The third step was an H atom rearrangement to form 11-OH-BaP (P), in which one H atom on C12 is transferred to O1, followed by a rebound reaction to form P with C12 returning to  $sp^2$  hybridization. In addition, the activation energy in this step was even higher (up to 53.47 kcal/mol). Using whole process analysis, P was the most stable with the lowest energy compared to RC, IM1 and IM2. However, as the activation energies in step 2 and step 3 are very high (37.98 and 53.47 kcal/mol respectively), it is difficult to reach the final product (P) *via* TS2 and TS3. Thus, the reaction will stop at the intermediate product, 11,12-epoxybenzopyrene, which is a carcinogen and even more toxic than BaP. Therefore, it is necessary to seek an efficient way to degrade BaP into the final non-toxic product, 11-OH-BaP.

**FIGURE 3** | Predicted reaction pathway for the generation of 11-OH-BaP from BaP *via* [Mn]-O-O corrolazine catalytic oxidation in the absence of an electric field.

**TABLE 2** | Variation in the relative electronic energy and dipole moment on the z-axis for the reactants (RC) and transition states (TS) under different electric field strengths in the range of 0–0.01 a.u. Units:  $\Delta E$ , kcal/mol and  $\mu_z$ , D.

| $F_z$ ( $10^{-4}$ ) (a.u.) | Complex ( $\Delta E$ , $\mu_z$ ) |                |                   |                |
|----------------------------|----------------------------------|----------------|-------------------|----------------|
|                            | RC ( $\Delta E$ )                | RC ( $\mu_z$ ) | TS ( $\Delta E$ ) | TS ( $\mu_z$ ) |
| 0                          | 0                                | −2.19          | 0                 | 5.07           |
| 10                         | 0.26                             | −4.94          | 1.89              | 2.27           |
| 20                         | −1.86                            | −6.46          | 3.06              | 2.24           |
| 30                         | −2.51                            | −7.92          | 3.47              | −1.35          |
| 40                         | −5.53                            | −10.98         | 3.32              | −6.38          |
| 50                         | −8.84                            | −12.56         | 10.58             | −9.68          |
| 60                         | −11.53                           | −13.51         | 8.28              | −11.16         |
| 70                         | −15.14                           | −15.15         | 5.27              | −13.02         |
| 80                         | −19.17                           | −16.81         | 1.81              | −14.89         |
| 90                         | −23.52                           | −18.41         | −2.05             | −16.67         |
| 100                        | −28.19                           | −20.05         | −6.50             | −18.61         |

### 3.3 One-step Degradation Mechanism Regulated by OEEF

#### 3.3.1 The Effect of OEEF on the RC and TS

To simplify the reaction process so that BaP can be degraded into 11-OH-BaP in one-step, thereby avoiding the production of highly toxic epoxybenzopyrene, we applied an OEEF  $F_z$  along the z-axis, which is the intrinsic Mn-O bond reaction axis perpendicular to the corrolazine ring, in order to regulate the degradation reaction process, as shown in **Scheme 1**.

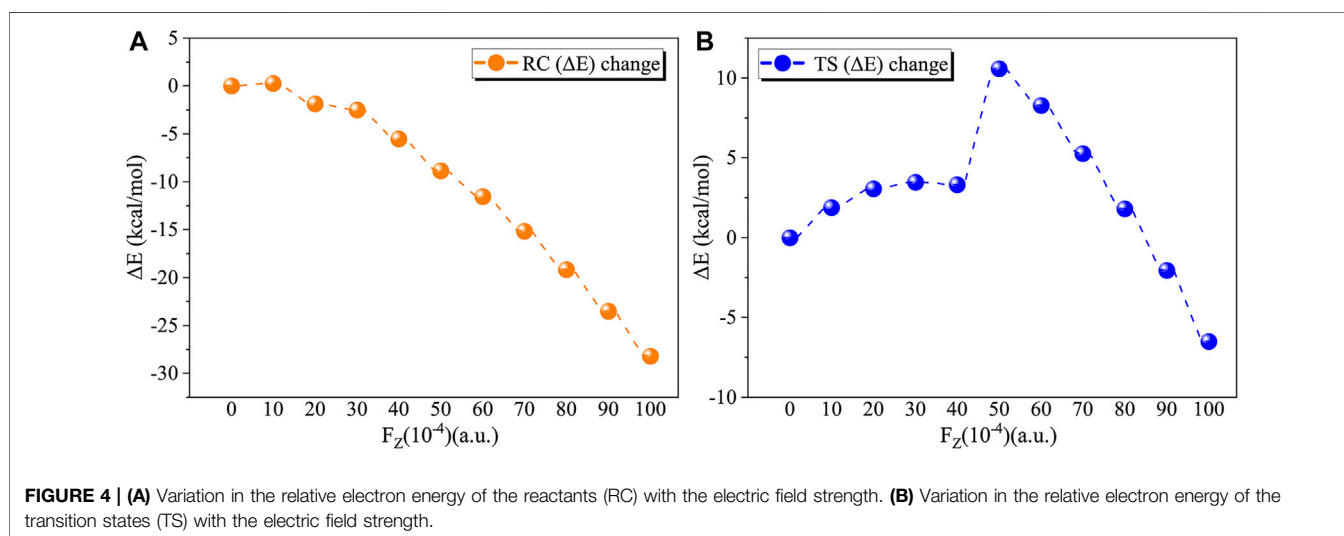
We first considered the effect of the  $F_z$  on the stability of RC in the range of 0–0.01 a.u. **Table 2** and **Figure 4A** show the application of the  $F_z$  increases the stability of the RC, in which the relative electronic energy increases upon increasing the electric field intensity. To further explore the reason why the stability of the RC becomes stronger due to the application of the  $F_z$ , we analysed the change in the dipole moment in the z-orientation of the RC upon changing the  $F_z$ . **Table 2** shows the dipole moment in the z-orientation of the RC increases from  $|-2.19|$  D without OEEF to  $|-20.05|$  D in  $F_z = 0.01$  a.u. Thus, the enhanced stability of the RC originates from the interaction

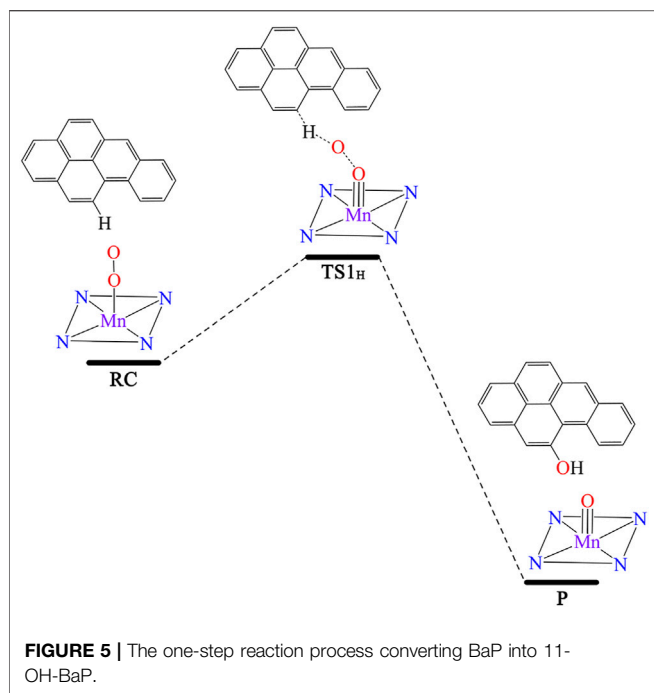
between the increased dipole moment in the z-orientation and the  $F_z$ .

For the TS, the effect of the OEEF becomes complex, in which different  $F_z$  strengths exhibit different behaviour. **Table 2** shows for  $F_z < 0.008$  a.u., the OEEF destabilizes the TS upon increasing its relative electronic energy. However, further increasing the intensity of  $F_z$  to more than 0.008 a.u., the OEEF stabilizes the TS upon decreasing its relative electronic energy, as shown in the insert of **Figure 4B**. The change in the stabilization can be attributed to the repulsion between the OEEF and increasing dipole moment in the z-orientation of the TS, from 5.07 D without an electric field to 2.24 D in  $F_z = 0.002$  a.u. However, further increasing with dipole moment results in the dipole moment in z-orientation flipping its direction at the critical  $F_z$  value of 0.003 a.u., and the repulsion between the OEEF and molecular dipole moment in the z-orientation becomes attractive. Thus, the OEEF stabilizes the TS.

#### 3.3.2 The Effect of the OEEF on the Reaction Mechanism

We further investigated the effect of the OEEF on the mechanism of the degradation of BaP into 11-OH-BaP and discovered a very significant phenomenon. The reaction mechanism changes upon increasing the OEEF intensity, i.e., the degradation of BaP into 11-OH-BaP was simplified from a three-step process to a one-step process in which the H11 atom in BaP was directly transferred to the terminal O1 atom in [Mn]-O-O, followed by a rebound reaction of the resulting OH group back to BaP to form 11-OH-BaP, as shown in **Figure 5**. To further explore the reason for the change in the BaP degradation mechanism, we calculated the Mulliken charges of the atoms in the TS involved the H11 atom transfer step. **Table 3** shows the Mulliken charge at O1 was  $-0.203$  |e| without the OEEF. As the  $F_z$  strength increases from 0 to 0.004 a.u., the Mulliken charge of the O1 atom increases from  $-0.21$  |e| to  $-0.30$  |e|, increasing its attraction to the positively charged H11 atom. However, the BaP moiety in





the reactant complex rotates continuously in this process, from almost parallel to the Mn-O bond to almost perpendicular to the Mn-O bond, so that the effect of the OEEF parallel to the Mn-O bond on BaP initially increases and then decreases. Therefore, the Mulliken charge on the H11 atom first increases from 0.175 |e| at  $F_z = 0$  to 0.179 |e| at  $F_z = 0.002$  a.u., and then decreases to 0.144 |e| at  $F_z = 0.004$  a.u., which is the minimum value. In addition, the attraction of the negatively charged terminal O1 atom to the positively charged H11 atom was also weakened. Therefore, the reaction mechanism remains a three-step process, in which the terminal O1 atom is attracted to the C12 atom to form an epoxybenzopyrene intermediate. However, further increasing the OEEF intensity increases the negative charge on the terminal O1 atom to be more than 0.3 |e|. At the same time, the BaP moiety further rotates and deviates from direction parallel to the Mn-O bond, so the effect of the OEEF on BaP moiety was significantly strengthened and the positive charge on the H11 atom increases sharply. Moreover, as the positive charge of H11 exceeds that of C12, the interaction between H11 and the terminal O1 atom is stronger than that between C12 and O1, resulting in the TS to change from O1 attacking C12 to O1 attacking H11. Due to the change in the TS, the H11 atom can be directly transferred to the O1 atom to form 11-OH-BaP via a subsequent rebound reaction. Thus, the degradation of BaP into 11-OH-BaP was greatly simplified from a one-step to three-step process. Moreover, the simplified degradation reaction of BaP avoids the production of toxic epoxybenzopyrene.

Analysis of the reaction activation energy of the degradation reaction of BaP into 11-OH-BaP upon the

**TABLE 3 |** Mulliken charges (|e|) of some atoms in the reactive centre of the transition state and activation energies  $\Delta E_0$  (electronic energies + ZPE: kcal/mol) under an electric field.

| $F_z$ ( $10^{-4}$ ) (a.u.) | O1     | H11   | C12    | Activation energy |
|----------------------------|--------|-------|--------|-------------------|
| 0                          | -0.203 | 0.175 | -0.170 | 23.30             |
| 10                         | -0.216 | 0.171 | -0.160 | 17.32             |
| 20                         | -0.210 | 0.179 | -0.168 | 21.37             |
| 30                         | -0.230 | 0.166 | -0.151 | 21.42             |
| 40                         | -0.300 | 0.144 | -0.164 | 17.03             |
| 50                         | -0.357 | 0.276 | -0.179 | 28.80             |
| 60                         | -0.362 | 0.280 | -0.181 | 29.07             |
| 70                         | -0.368 | 0.285 | -0.183 | 29.58             |
| 80                         | -0.374 | 0.291 | -0.184 | 30.07             |
| 90                         | -0.379 | 0.294 | -0.185 | 30.50             |
| 100                        | -0.386 | 0.299 | -0.185 | 30.92             |

application of the OEEF shows its effect on the RC and TS was similar in the first step with the reaction activation energy varying slightly from  $F_z = 0$  to  $F_z = 0.004$  a.u. When the  $F_z$  was increased from 0.004 to 0.005 a.u., the reaction activation energy increases sharply from 17.03 to 28.80 kcal/mol due to the fundamental change in the TS. However, further increasing the OEEF from  $F_z = 0.005$  to  $F_z = 0.01$  a.u., the reaction activation increases slightly because the structure of the TS remains unchanged. Analysis of the whole reaction process shows that the reaction activation energy of the one-step process in  $F_z > 0.005$  a.u. was higher than that in the first step of the three-step process and the activation energy of the one-step process was significantly less than those of the second and third step in the three-step process. Therefore, the regulation of the OEEF significantly simplifies the degradation reaction of BaP to an effective and environmentally-friendly process, which is of great significance to the food industry.

## 4 CONCLUSION

BaP is widely found in the natural environment and food, etc., and its degradation is of great significance for biosafety because of its carcinogenicity as a Group I “human carcinogen”. We have used Mn-OO corrolazine to degrade BaP into 11-OH-BaP in order to detoxify it. The degradation process occurs via a three-step process under field-free condition, i.e., epoxidation, hydrogen transfer and rearrangement, in which a more toxic epoxide intermediate is produced. Due to the high stability of the epoxide intermediate with an activation energy of up to 53.47 kcal/mol for further reaction, BaP is difficult to degrade into 11-OH-BaP using [Mn]-O-O corrolazine. However, the application of an OEEF along the intrinsic Mn-O reaction axis, which is more easily aligned in practical applications, greatly simplified the degradation of BaP into 11-OH-BaP. As the OEEF can effectively regulate the charge on the terminal O atom in [Mn]-O-O corrolazine, the H atom in BaP can be directly attracted by the terminal O atom to generate 11-OH-BaP via a subsequent rebound reaction. Thus, the complex

three-step degradation process of BaP into 11-OH-BaP has been simplified into a one-step process, avoiding the generation of the more toxic and stable epoxide intermediate. This effective and environmentally-friendly degradation process will have a far-reaching impact on areas such as the environment and food industry.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding authors.

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## AUTHOR CONTRIBUTIONS

TL: investigation, Writing-original draft. HW: investigation. JZ: investigation. JW: investigation, discussed the data. J-XL: investigation, supervision, writing-review and editing, project. CZ: supervision, writing-review and editing, project administration, funding acquisition.

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# Synergistic Regulation of S-Vacancy of MoS<sub>2</sub>-Based Materials for Highly Efficient Electrocatalytic Hydrogen Evolution

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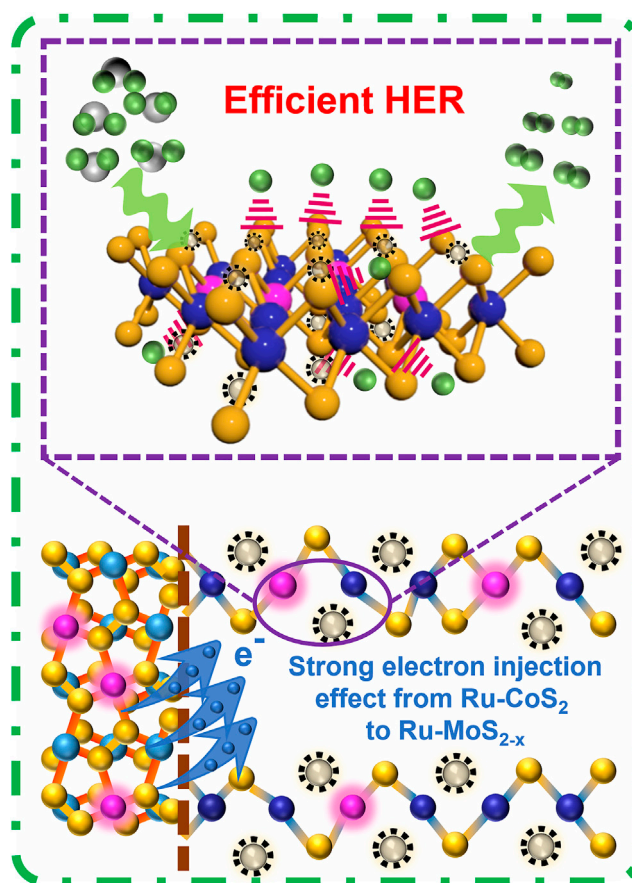
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Low or excessively high concentration of S-vacancy ( $C_{S\text{-vacancy}}$ ) is disadvantageous for the hydrogen evolution reaction (HER) activity of MoS<sub>2</sub>-based materials. Additionally, alkaline water electrolysis is most likely to be utilized in the industry. Consequently, it is of great importance for fine-tuning  $C_{S\text{-vacancy}}$  to significantly improve alkaline hydrogen evolution. Herein, we have developed a one-step Ru doping coupled to compositing with CoS<sub>2</sub> strategy to precisely regulate  $C_{S\text{-vacancy}}$  of MoS<sub>2</sub>-based materials for highly efficient HER. In our strategy, Ru doping favors the heterogeneous nucleation and growth of CoS<sub>2</sub>, which leads to a high crystallinity of Ru-doped CoS<sub>2</sub> (Ru-CoS<sub>2</sub>) and rich heterogeneous interfaces between Ru-CoS<sub>2</sub> and Ru-doped MoS<sub>2-x</sub> (Ru-MoS<sub>2-x</sub>). This facilitates the electron transfer from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>, thereby increasing  $C_{S\text{-vacancy}}$  of MoS<sub>2</sub>-based materials. Additionally, the electron injection effect increases gradually with an increase in the mass of Co precursor ( $m_{Co}$ ), which implies more S<sup>2-</sup> leaching from MoS<sub>2</sub> at higher  $m_{Co}$ . Subsequently,  $C_{S\text{-vacancy}}$  of the as-synthesized samples is precisely regulated by the synergistic engineering of Ru doping and compositing with CoS<sub>2</sub>. At  $C_{S\text{-vacancy}} = 17.1\%$ , a balance between the intrinsic activity and the number of exposed Mo atoms (EMAs) to boost highly active EMAs should be realized. Therefore, the typical samples demonstrate excellent alkaline HER activity, such as a low overpotential of 170 mV at 100 mA cm<sup>-2</sup> and a TOF of 4.29 s<sup>-1</sup> at -0.2 V. Our results show promise for important applications in the fields of electrocatalysis or energy conversion.

**Keywords:** S-vacancy of MoS<sub>2</sub>, heterogeneous interfaces, exposed Mo atoms, electrocatalysis, hydrogen evolution reaction





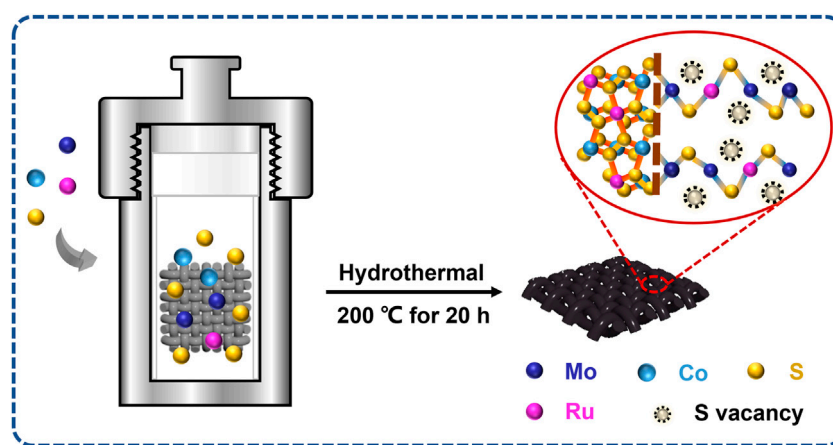
Graphical Abstract |

## INTRODUCTION

The rapid development of the economy has made the fast consumption of fossil energy, resulting in the energy crisis and severe environmental pollution (Xie et al., 2013b; Xu et al., 2017; Jiang et al., 2022). Hydrogen energy, as one of the clean and renewable energies, has received extensive attention around the world (Huang et al., 2019; Venkateshwaran and Senthil Kumar, 2019; Zhu et al., 2019; Wang F. et al., 2020). Electrocatalytic water splitting (Lin et al., 2017; Lin et al., 2019; Djara et al., 2020; Liu L. et al., 2022) is regarded as an eco-friendly technology for hydrogen ( $H_2$ ) production. So far, platinum-based materials are still the best electrocatalysts for acidic water electrolysis (Chen et al., 2020). Nevertheless, the high cost and low abundance seriously restrict the wide applications of such precious metals (Li et al., 2011; Qi et al., 2019; Jing et al., 2020). On the other hand, alkaline water electrolysis is most likely to be utilized in the industry, owing to the unrestricted reactant availability, desirable safety, and satisfactory output (Yin et al., 2015). However, the sluggish dynamics of hydrogen evolution reaction (HER) in alkaline environments results in excessive energy consumption (Yin et al., 2015). Therefore, it is of

great significance for the development of highly efficient and low-cost electrocatalysts to overcome energy barriers for accelerating kinetics and to decrease overpotential during the hydrogen evolution reaction (HER) process.

It is well known that molybdenum disulfide ( $MoS_2$ ) can be designed as an alternative to Pt due to the excellent  $H^+$  adsorption-desorption properties, special layered structures, good stability, and low cost (Hinnemann et al., 2005; Deng et al., 2017; Wang Y. et al., 2019; Gao et al., 2020). However, the pristine  $2H-MoS_2$  tends to aggregate under van der Waals forces, resulting in poor edge active sites (Kibsgaard et al., 2012; Wang et al., 2017; Wang et al., 2021b). More importantly, there remain a lot of active sites from the vast basal planes of such  $MoS_2$  to be developed (Kibsgaard et al., 2012; Wang et al., 2017; Wang et al., 2021b). To address these drawbacks, defect-rich, double-gyroid, and amorphous structures have been introduced into such  $MoS_2$  nanosheets to increase the unsaturated sulfur atoms as active sites for HER (Kibsgaard et al., 2012; Xie et al., 2013a; Liu et al., 2018). Doping transition metals (TMs) into  $MoS_2$  is another important method for the advancement of electrocatalysis. This is because doping TMs not only increases the number of unsaturated sulfur atoms but also regulates the adsorption free



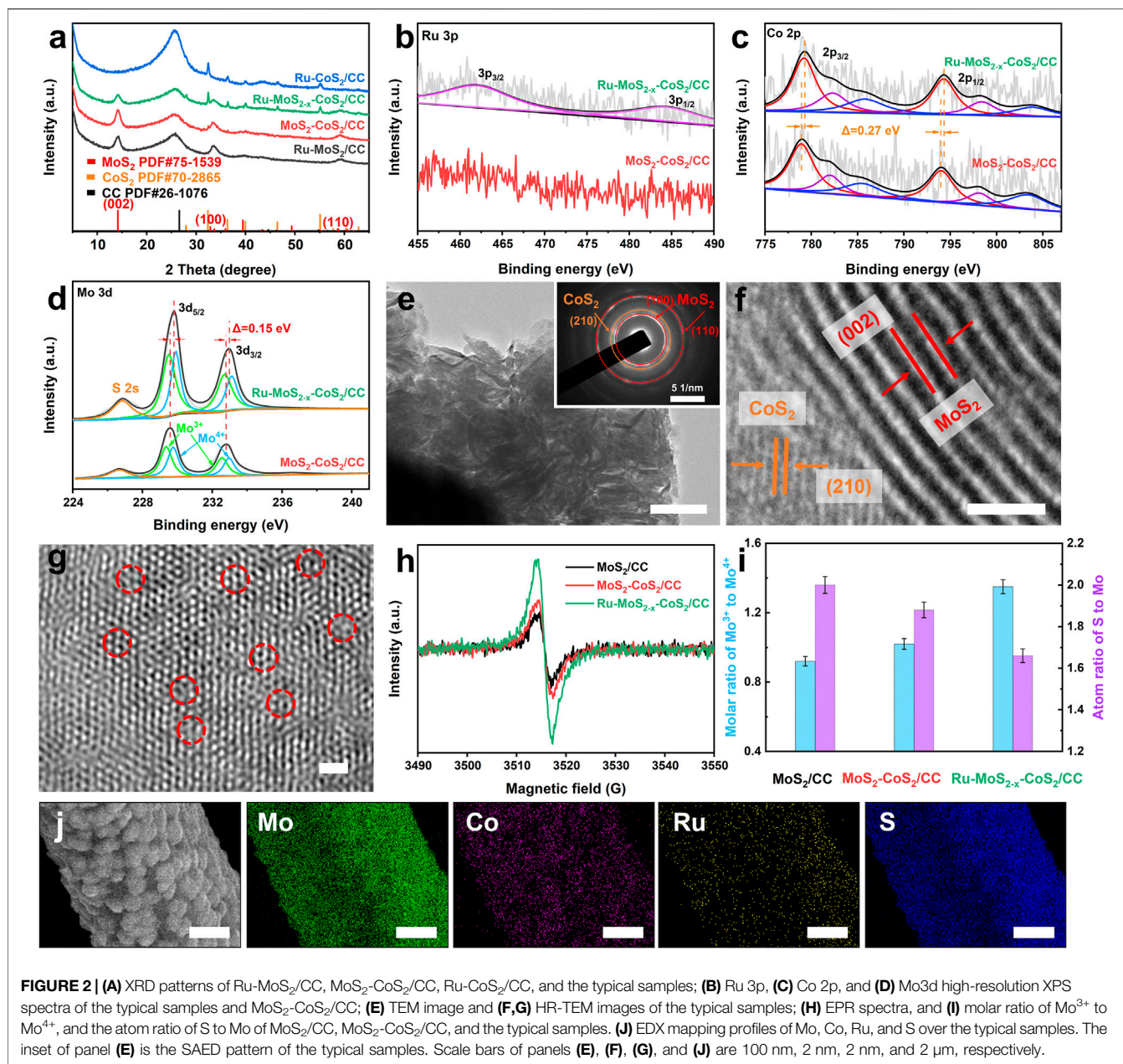
**FIGURE 1** | Schematic representation of the fabrication of Ru-MoS<sub>2</sub>-CoS<sub>2</sub>/CC samples.

energy of hydrogen atoms ( $\Delta G_H$ ) of active sites to favor HER (Wang et al., 2015; Wang D. et al., 2019; Wang et al., 2021b). Among these TMs, ruthenium (Ru) belongs to the Pt group, but its price is as low as about 5% of Pt (Zhang J. et al., 2019). A quintessential example demonstrates that  $\Delta G_H$  at the Ru-doped in-plane sulfur sites decreases to approximately 0.19 eV (Zhang X. et al., 2019). This indicates that doping Ru can efficiently modulate the electronic features of the adjacent sulfur atoms, thereby leading to the optimal H atom binding energy (Yan et al., 2018). On the other hand, after doping Ru into 2H-MoS<sub>2</sub> (Li J. et al., 2021) or 2H-WS<sub>2</sub> (Li J. et al., 2022), these Ru sites can significantly accelerate the water dissociation in alkaline environments. For example, the energy barrier of the H–OH cleavage ( $E$ ) is as high as 2.42 eV before doping Ru. Remarkably,  $E$  of such Ru sites decreases to 2.02 eV, which is advantageous for water dissociation to OH and H intermediates.

Modulating S-vacancy into MoS<sub>2</sub>-based materials has been developed as an efficient strategy (Tsai et al., 2017; Park et al., 2018; Li Y. et al., 2021) to activate inert basal planes because the exposed Mo atoms can be tailored into newborn active sites (Wang X. et al., 2020). Following this opinion, much effort has so far been devoted to introducing S-vacancy into the basal planes of monolayered or multi-layered 2H-MoS<sub>2</sub> nanosheets (Li et al., 2016b; Tsai et al., 2017; Park et al., 2018; Li et al., 2019; Wang X. et al., 2020). Among these strategies, chemical vapor deposition coupled to plasma has been developed to modulate S-vacancy into MoS<sub>2</sub> (Li et al., 2016b). In this case, S atoms escape from the MoS<sub>2</sub> lattice more easily than Mo atoms due to the lower formation energy of S-vacancy compared to that of the Mo interstitial (Li et al., 2016b). Subsequently, the controllable electrochemical preparation of S-vacancy for multi-layered MoS<sub>2</sub> has been proposed by simply adjusting desulfurization parameters, such as desulfurization potential and time (Tsai et al., 2017). More recently, the single Ru atom doping technology promotes the phase transition of 2H-MoS<sub>2</sub> and the formation of S-vacancy, which greatly enhances its HER activity (Zhang J. et al., 2019). Nevertheless, a low or excessively high concentration of S-vacancy ( $C_{S\text{-vacancy}}$ ) is disadvantageous for the hydrogen evolution reaction (HER)

activity of MoS<sub>2</sub>-based materials. Consequently, it is of great importance for the development of a novel approach to fine-tuning  $C_{S\text{-vacancy}}$  to significantly improve alkaline hydrogen evolution. In addition, the aforementioned electrocatalysts based on S-vacancy only consist of a single component (that is, MoS<sub>2</sub>) rather than hybrid catalysts. As one of the traditional semiconductor materials, MoS<sub>2</sub> suffers from another drawback of unsatisfactory charge-transfer resistance ( $R_{CT}$ ), leading to low HER activity (Li Y. et al., 2021; Wang et al., 2021b; Wang et al., 2021c). Hence, compositing with the metallic phase will favor fast electrode kinetics, realizing synergistically regulating  $C_{S\text{-vacancy}}$  and  $R_{CT}$  of MoS<sub>2</sub>-based electrocatalysts for highly efficient HER.

Herein, we develop a one-step Ru doping coupled to compositing with the CoS<sub>2</sub> strategy to synergistically regulate  $C_{S\text{-vacancy}}$  of MoS<sub>2</sub>-based materials for highly efficient alkaline HER. Ru doping is advantageous for the formation of S-vacancy in the basal planes of MoS<sub>2</sub>. On the other hand, Ru doping favors heterogeneous nucleation and growth of CoS<sub>2</sub>, which leads to rich heterogeneous interfaces between Ru-doped CoS<sub>2</sub> (Ru-CoS<sub>2</sub>) and Ru-doped MoS<sub>2-x</sub> (Ru-MoS<sub>2-x</sub>). This facilitates the electron transfer from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>, thereby increasing  $C_{S\text{-vacancy}}$  of MoS<sub>2</sub>-based materials. At fixed Ru dopant, the electron injection effect increases gradually with an increase in the mass of Co precursor, which means more S<sup>2-</sup> escaping from Ru-MoS<sub>2</sub> nanosheets. Therefore, synergistically regulating  $C_{S\text{-vacancy}}$  of the as-synthesized samples, from 2.1 to 27.5%, is realized by a new one-step Ru doping coupled to compositing with the CoS<sub>2</sub> strategy. On regulating  $C_{S\text{-vacancy}}$  to 17.1%, a balance between the intrinsic activity and the number of exposed Mo atoms (EMAs) to boost highly active EMAs should be realized. As a consequence, the typical samples demonstrate the optimal alkaline HER activity among all samples, such as a low overpotential of 170 mV at 100 mA cm<sup>-2</sup>, a large specific alkaline HER current density of 77.6  $\mu$ A cm<sup>-2</sup>, and a turnover frequency of 4.29 s<sup>-1</sup> at -0.2 V as well as excellent long-term stability. Our results pave a novel approach to unlocking the potential of inert basal planes in MoS<sub>2</sub>-based materials for highly efficient HER and promise important applications in the field of electrocatalytic hydrogen evolution.



## EXPERIMENTAL SECTION

### Fabrication of the Typical Samples (Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC)

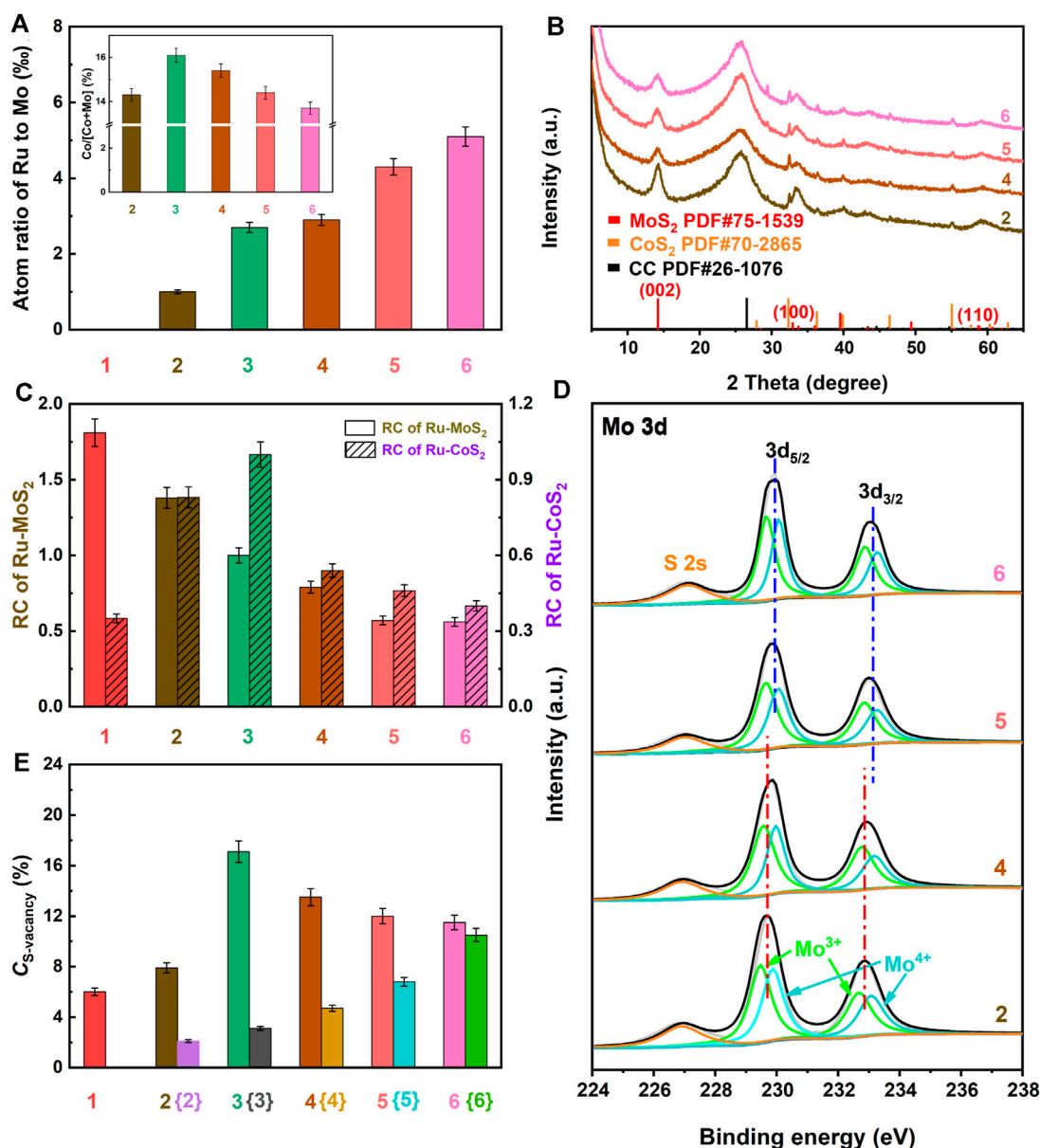
The typical samples were synthesized by a one-pot hydrothermal strategy using the following precursors such as Na<sub>2</sub>MoO<sub>4</sub>·2H<sub>2</sub>O, CH<sub>4</sub>N<sub>2</sub>S, and Co(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O. In the typical experiments, 160 mg Co(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O, 160 mg Na<sub>2</sub>MoO<sub>4</sub>·2H<sub>2</sub>O, and 600 mg CH<sub>4</sub>N<sub>2</sub>S were dissolved in 46.0 ml deionized water under magnetic stirring. Subsequently, 4.0 ml RuCl<sub>3</sub> solution (5 mmol L<sup>-1</sup>) was introduced into the above cobalt salt solution under magnetic stirring for 0.5 h. Carbon cloth (CC, 4 cm<sup>2</sup>) was pretreated

according to the related literature (Yu et al., 2015). Then, the above solution and the pretreated CC were transferred into a 100.0 ml Teflon-lined stainless-steel autoclave and heated to 200°C for 20 h. After the hydrothermal reaction, the mixture was cooled to room temperature. The typical samples were harvested after being washed with water thoroughly and vacuum-dried at 60 °C for 12.0 h and abbreviated as Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC.

### Fabrications of Other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC Samples

Other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples were synthesized at various volumes of RuCl<sub>3</sub> solution (5 mmol L<sup>-1</sup>) of 1.0, 7.0, 10.0, and





**FIGURE 3 | (A)** Atom ratio of Ru to Mo based on ICP-OES, **(B)** XRD patterns, **(C)** RC of Ru-MoS<sub>2-x</sub> and Ru-CoS<sub>2</sub>, **(D)** Mo3d high-resolution XPS spectra, and **(E)** C<sub>S</sub>-vacancy of the related samples. Meanwhile, 1, 2, 3, 4, 5 and 6 are denoted as MoS<sub>2</sub>-CoS<sub>2</sub>/CC, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, the typical samples, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0, respectively. Fabrications of {2}, {3}, {4}, {5}, and {6} are the same as those of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, the typical samples, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 except for the absence of Co(NO<sub>3</sub>)<sub>2</sub>, to be denoted as Ru-MoS<sub>2</sub>/CC-1.0, Ru-MoS<sub>2</sub>/CC, Ru-MoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2</sub>/CC-30.0, respectively. The inset of panel (A) is the atom ratio of Co to (Co + Mo) of the related samples based on ICP-OES.

30.0 ml under otherwise similar conditions as the typical experiments. These Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples are denoted as Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0.

In addition, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 were synthesized at various masses of Co(NO<sub>3</sub>)<sub>2</sub>·6H<sub>2</sub>O of 80, 240, 280, and 320 mg under otherwise similar conditions of the typical experiments, respectively.

**Figure 1** displays a schematic representation of the fabrication of all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples.

### Fabrications of Ru-MoS<sub>2</sub>/CC, MoS<sub>2</sub>/CC, MoS<sub>2</sub>-CoS<sub>2</sub>/CC, and Ru-CoS<sub>2</sub>/CC

Ru-doped MoS<sub>2</sub> nanosheets assembled on CC are abbreviated as Ru-MoS<sub>2</sub>/CC. Fabrication of Ru-MoS<sub>2</sub>/CC is almost the same as the typical samples except for the absence of Co(NO<sub>3</sub>)<sub>2</sub>.

MoS<sub>2</sub> nanosheets assembled on CC are abbreviated as MoS<sub>2</sub>/CC. Fabrication of MoS<sub>2</sub>/CC is almost the same as Ru-MoS<sub>2</sub>/CC except for the absence of RuCl<sub>3</sub> solution.

MoS<sub>2</sub> nanosheets coated with CoS<sub>2</sub> assembled on CC are abbreviated as MoS<sub>2</sub>-CoS<sub>2</sub>/CC. Fabrication of MoS<sub>2</sub>-CoS<sub>2</sub>/CC is almost the same as the typical samples except for the absence of RuCl<sub>3</sub> solution.

Ru-doped CoS<sub>2</sub> assembled on CC are abbreviated as Ru-CoS<sub>2</sub>/CC. Fabrication of Ru-CoS<sub>2</sub>/CC is almost similar to the typical samples except for the absence of Na<sub>2</sub>MoO<sub>4</sub>·2H<sub>2</sub>O.

Other experimental details about materials, characterization, and performance measurements are supplied in **Supplementary Material S1**.

## RESULTS AND DISCUSSION

### Characterization of the Typical Samples

Scanning electron microscopic (SEM) images display the morphology of the typical samples in **Supplementary Figures SJ–L**. From these figures, it can be observed that lots of nanosheets are grown on the smooth surface of CC (**Supplementary Figure S2**). Powder X-ray diffraction (XRD) pattern of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC (**Figure 2A**) shows the diffraction peaks located at  $2\theta = 14.1^\circ$ ,  $32.7^\circ$ , and  $58.8^\circ$ , matching well with the (002), (100), and (110) planes of 2H-MoS<sub>2</sub> (PDF#75-1539) (Nguyen et al., 2021), respectively. Other sharp diffraction peaks of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC, such as  $32.4^\circ$  and  $55.1^\circ$ , are attributed to the (200) and 311 planes of CoS<sub>2</sub> (PDF#70-2865) (Yao et al., 2019), respectively, suggesting the presence of highly crystallized CoS<sub>2</sub> besides 2H-MoS<sub>2</sub>. From **Figure 2A**, we also observe the diffraction peak at  $26.2^\circ$  originating from CC. The Raman spectrum of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC (**Supplementary Figure S3**) displays the typical  $E_{2g}^1$  and  $A_{1g}$  vibration models of the Mo-S bonds, further verifying the phase structure of 2H-MoS<sub>2</sub> in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC. As shown in **Figure 2B**, the Ru 3p high-resolution X-ray photoelectron spectroscopy (XPS) spectrum of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC is divided into two characteristic peaks at the binding energies of 462.4 and 484.7 eV, corresponding to Ru 3p<sub>3/2</sub> and Ru 3p<sub>1/2</sub> (Ge et al., 2022). Nevertheless, no peak of Ru is detected in MoS<sub>2</sub> nanosheets coated with CoS<sub>2</sub> assembled on CC (MoS<sub>2</sub>-CoS<sub>2</sub>/CC) in **Figure 2B**. Furthermore, **Figures 2C, D** exhibit Co 2p and Mo 3d XPS spectra of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC, respectively. It is seen that Co 2p and Mo 3d peaks positively shift by about 0.27 and 0.15 eV compared to those of MoS<sub>2</sub>-CoS<sub>2</sub>/CC (Hao et al., 2017), respectively. Additionally, the characteristic peaks about Ru<sub>2</sub>S<sub>3</sub> or RuCl<sub>3</sub> are not observed in the XRD pattern of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC (**Figure 2A**). Therefore, these data confirm the successful doping of Ru into the following two phases of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC, 2H-MoS<sub>2</sub>, and CoS<sub>2</sub>.

Nanosheets of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC are also clearly observed from transmission electron microscopic (TEM) image (**Figure 2E**), which is consistent with the results of SEM images. According to the high-resolution TEM (HR-TEM) image (**Figure 2F**), the lattice spacings of 0.625 and 0.245 nm that are seen in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC correspond to the (002) plane of MoS<sub>2</sub> and the (210) plane of CoS<sub>2</sub> (He et al., 2020; Liu X.

et al., 2022), respectively. These also conform to the results of XRD. As we know, MoS<sub>2</sub> is regarded as one of the traditional semiconductor materials, and CoS<sub>2</sub> is a metallic phase due to its high Fermi level. Therefore, there are lots of Schottky heterojunctions among Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC. In addition, the inset of **Figure 2E** (selected area electron diffraction, SAED) pattern indicates that Ru-doped MoS<sub>2</sub> (Ru-MoS<sub>2</sub>) and Ru-doped CoS<sub>2</sub> (Ru-CoS<sub>2</sub>) of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC are polycrystalline (Huang et al., 2015). We can clearly observe that many defects exist in such Ru-MoS<sub>2</sub> from **Figure 2G** due to lattice distortion by Ru doping (Zhang J. et al., 2019) and the electron injection effect (Gan et al., 2018; Zhang J. et al., 2019). Energy-dispersive X-ray (EDX) spectroscopy mapping (**Figure 2J**) profiles further confirm the uniform distribution of Mo, Co, Ru, and S elements throughout Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC. Considering that Ru-MoS<sub>2</sub> assembled on CC (Ru-MoS<sub>2</sub>/CC) and Ru-CoS<sub>2</sub> assembled on CC (Ru-CoS<sub>2</sub>/CC) tend to form nanosheets (**Supplementary Figures S1B, C**) and nanoparticles (**Supplementary Figures SH, I**), respectively, and that Ru-CoS<sub>2</sub> nucleates and grows prior to Ru-MoS<sub>2</sub> (**Figures 4A–F**), we can reasonably deduce as follows: during the hydrothermal process, Ru-CoS<sub>2</sub> nanoparticles are firstly assembled on CC; then, Ru-CoS<sub>2</sub>/CC are densely coated by Ru-MoS<sub>2</sub> nanosheets to construct the typical samples, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC.

According to XPS data in **Figure 2D** and **Supplementary Figure S4**, we characterize molar ratios of Mo<sup>3+</sup> to Mo<sup>4+</sup> of MoS<sub>2</sub>/CC, MoS<sub>2</sub>-CoS<sub>2</sub>/CC, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC in **Figure 2I**. The presence of Mo<sup>3+</sup> can induce S-vacancy of the basal planes in Ru-MoS<sub>2</sub> (Ma et al., 2020) of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC. From **Figure 2I**, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC exhibits a higher value of about the molar ratio of Mo<sup>3+</sup> to Mo<sup>4+</sup> than MoS<sub>2</sub>/CC or MoS<sub>2</sub>-CoS<sub>2</sub>/CC, reaching 1.35. To confirm such defective structures, electron paramagnetic resonance (EPR) (Liu et al., 2017; Gong et al., 2020; Wang J. et al., 2021) is further employed to estimate the S-vacancy of all samples. As expected, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC demonstrates the highest EPR signal at  $g = \sim 2.002$  among all samples (**Figure 2H**). Moreover, the EPR signal of MoS<sub>2</sub>-CoS<sub>2</sub>/CC is higher than that of MoS<sub>2</sub>/CC. These data straightforwardly indicate that the formation of S-vacancy might be closely related to both Ru doping into MoS<sub>2</sub> and compositing with CoS<sub>2</sub>.

### Doping Ru Coupled to Compositing With CoS<sub>2</sub> to Regulate Microstructures of the As-synthesized Samples

First, a series of Ru-MoS<sub>2</sub>/CC samples were synthesized at the various volumes of RuCl<sub>3</sub> solution (V) of 1.0, 4.0, 7.0, 10.0, and 30.0 ml under otherwise similar conditions of the typical experiments except for the absence of Co(NO<sub>3</sub>)<sub>2</sub>. These Ru-MoS<sub>2</sub>/CC samples are denoted as Ru-MoS<sub>2</sub>/CC-1.0, Ru-MoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2</sub>/CC-30.0. Similar Ru 3p XPS spectra to the typical samples are observed in **Supplementary Figure S5A**, which is responsible for successfully doping Ru into all Ru-MoS<sub>2</sub>/CC samples. In addition, the atom ratios of Ru to Mo (A) of all Ru-MoS<sub>2</sub>/CC samples are characterized by XPS in **Supplementary Figure S5B**. From this figure, A increases with increasing V, indicating that

more Ru will be doped into the Ru-MoS<sub>2</sub>/CC samples at higher *V*. In this work, the atomic ratio of S to Mo (S: Mo) of MoS<sub>2</sub>/CC is firstly measured (**Supplementary Table S1**) and is normalized to 2.00. Then, it is employed as a reference to confirm the normalized S: Mo of MoS<sub>2-x</sub> in all Ru-MoS<sub>2</sub>/CC samples in terms of XPS data (Xu et al., 2016; Wang J. et al., 2021). Here, the measured S: Mo for all Ru-MoS<sub>2</sub>/CC samples are the atom ratios of S minus double Ru to Mo, which is abbreviated as [(S-2Ru)/Mo]. Therefore, the normalized S: Mo of MoS<sub>2-x</sub> in all samples are listed in **Supplementary Table S2**. From **Figure 3E**, C<sub>S-vacancy</sub> of Ru-MoS<sub>2</sub>/CC samples increases with the increase in Ru dopants. For example, C<sub>S-vacancy</sub> of Ru-MoS<sub>2</sub>/CC-30.0 increases to 10.5% at *V* = 30.0 ml. That is to say, doping Ru can regulate C<sub>S-vacancy</sub> of Ru-MoS<sub>2</sub>/CC samples varying from 2.1 to 10.5%.

Density functional theory (DFT) calculation reveals that the Ru-S bonding energy (about 0.92 eV) decreases by 0.87 eV compared to the Mo-S bonding energy (about 1.79 eV) (Luo et al., 2020), which is advantageous for the formation of S-vacancy in the basal planes of MoS<sub>2</sub>. In this work, Ru atoms substituting for Mo atoms in MoS<sub>2</sub> are abbreviated as Ru<sub>(Mo)</sub>. According to the previously reported literature (Zhang J. et al., 2019; Zhang X. et al., 2019), Ru doping results in an increase in C<sub>S-vacancy</sub> due to the strong attractive interaction between Ru<sub>(Mo)</sub> and the adjacent S-vacancy. Furthermore, S-vacancy is exclusively stable around Ru<sub>(Mo)</sub> (Kang, 2021).

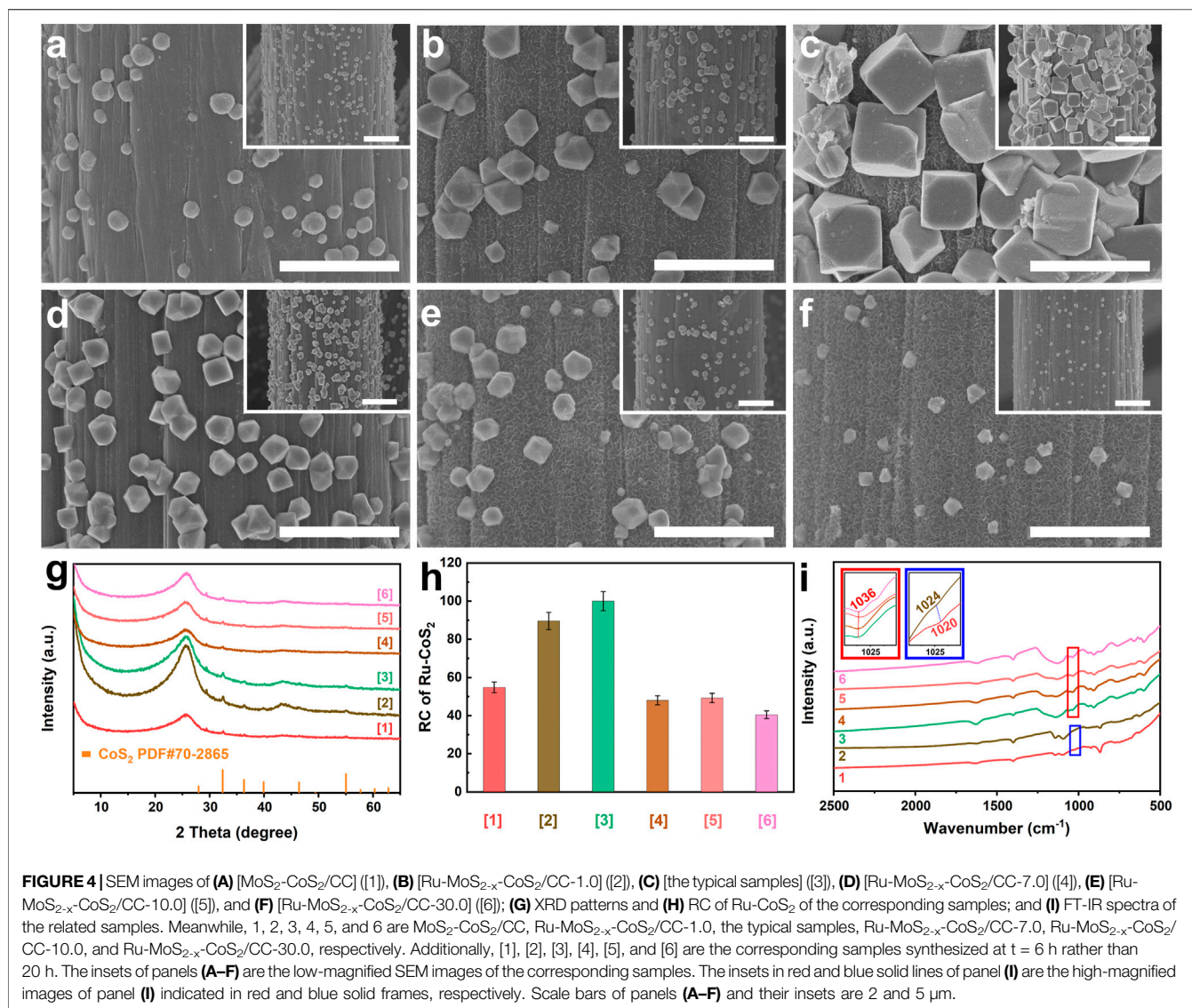
To investigate the synergistic effect of doping Ru coupled to compositing with CoS<sub>2</sub> on the microstructure, other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples are further synthesized at various *V* of 1.0, 7.0, 10.0, and 30.0 ml under otherwise similar conditions of the typical experiments to be denoted as Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0, respectively. According to **Supplementary Figure S6**, these Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples almost display the same Ru 3p XPS spectra as the typical samples, indicating a successful doping of Ru into them as well. The values of *A* of all samples are characterized by inductively coupled plasma-optical emission spectroscopy (ICP-OES). In terms of **Figure 3A**, the values of *A* of these samples increase with the increase in *V*. Moreover, other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples also exhibit the same phase structure as the typical samples, since the XRD pattern of each sample is similar to that of the typical samples (**Figure 3B**). **Figure 3C** further presents the relative crystallinity (RC) of Ru-MoS<sub>2-x</sub> and Ru-CoS<sub>2</sub> for all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples based on the corresponding XRD data such as the (002) plane for molybdenum disulfide and (200) (210), (211) (220), and 311) planes for cobalt disulfide. From this figure, the RC of Ru-MoS<sub>2-x</sub> decreases with the increase in *V*, which implies that more defective structures or S-vacancy would be modulated into the basal planes of Ru-MoS<sub>2-x</sub> at higher *V*. However, the influence of *V* on RC of Ru-CoS<sub>2</sub> is not coincident with the trend of the former. Initially, the RC of Ru-CoS<sub>2</sub> gradually increases with increasing *V*. At *V* = 4.0 ml, the RC of Ru-CoS<sub>2</sub> of the typical samples exhibits the highest value among all samples. However, further increasing *V* leads to a decrease in the RC of Ru-CoS<sub>2</sub>.

In addition, Mo<sup>3+</sup> can be observed in Mo 3 day XPS spectra of all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples (**Figure 3D**), implying the formation

of S-vacancy of the basal planes in Ru-MoS<sub>2-x</sub>. Taking into account that MoS<sub>2</sub>-CoS<sub>2</sub>/CC and other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples (**Supplementary Figures S1E, F; Supplementary Figure S7**) are almost similar to the morphology of the typical samples (**Supplementary Figures S1K, L**), such core-shell structure, Ru-MoS<sub>2-x</sub> nanosheets densely coated Ru-CoS<sub>2</sub> composites, facilitates to correctly reflect the S: Mo of Ru-MoS<sub>2-x</sub> in all samples by XPS analysis. As mentioned above, the S: Mo of MoS<sub>2</sub>/CC is also employed as a reference to confirm the normalized S: Mo of Ru-MoS<sub>2-x</sub> in all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples (Li et al., 2016a; Xu et al., 2016) based on XPS data (**Supplementary Figure S8**). Here, the measured S: Mo for all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples is the atom ratio of S minus double (Co + Ru) to Mo, which is abbreviated as [(S-2Co-2Ru)/Mo]. Subsequently, we further calculate the related C<sub>S-vacancy</sub> as shown in **Figure 3E; Supplementary Table S1**. From **Figure 3E**, C<sub>S-vacancy</sub> of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC increases to 7.9% compared to that of MoS<sub>2</sub>-CoS<sub>2</sub>/CC (about 6.0%). Out of expectation, C<sub>S-vacancy</sub> of the typical samples rather than Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 reaches the highest value among all samples, about 17.1% from **Figure 3E**. At the same time, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 exhibits a lower EPR signal than the typical samples (**Supplementary Figure S9**), further confirming fewer S-vacancy for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 in comparison with the typical samples. In other words, at a fixed mass of Co precursor, C<sub>S-vacancy</sub> of Ru-MoS<sub>2-x</sub> can be precisely regulated from 7.9 to 17.1% by synergistic Ru doping and compositing with CoS<sub>2</sub> engineering. As a consequence, we can infer that compositing with CoS<sub>2</sub> should be another key factor to regulate C<sub>S-vacancy</sub> of Ru-MoS<sub>2-x</sub> nanosheets in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples. This is because Ru doping also affects the microstructure of CoS<sub>2</sub>, which may have a significant influence on C<sub>S-vacancy</sub> of Ru-MoS<sub>2-x</sub> nanosheets in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples in turn.

Following this viewpoint, we deeply investigate the influence of doping Ru on the microstructure of cobalt disulfide. As mentioned above, CoS<sub>2</sub> nucleates and grows prior to MoS<sub>2</sub>. To eliminate the effect of MoS<sub>2</sub> as much as possible during the characterization of microstructures of CoS<sub>2</sub>, the related samples such as [MoS<sub>2</sub>-CoS<sub>2</sub>/CC], [1] [Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC], [2], [3], and [4] are synthesized at *t* = 6 h (**Figures 4A–F**) rather than 20 h. Fabrications of [MoS<sub>2</sub>-CoS<sub>2</sub>/CC], [1] [Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC], [2], [3], and [4] are the same as those of MoS<sub>2</sub>-CoS<sub>2</sub>/CC, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, the typical samples, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 except for *t*, respectively. Their SEM images and XRD patterns are displayed in **Figures 4A–G**, respectively. Besides the diffraction peak at 26.2° of CC, other peaks are indexed to CoS<sub>2</sub> without the signal of MoS<sub>2</sub> for all samples (**Figure 4G**), further confirming the heterogeneous nucleation and growth of CoS<sub>2</sub> prior to MoS<sub>2</sub>. As shown in **Figure 4A**, a small number of spherical CoS<sub>2</sub> nanoparticles are grown on CC for [MoS<sub>2</sub>-CoS<sub>2</sub>/CC]. Moreover, the similar phenomena are observed for Ru-doped samples from **Figures 4B–F**. Interestingly, with an increase in *V*, the size and number of Ru-CoS<sub>2</sub> nanoparticles gradually increase (**Figure 4B**). At *V* = 4.0 ml, both the size and number of Ru-CoS<sub>2</sub> nanoparticles for [Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC] reach up to the maximum value (**Figure 4C**). However, further increasing *V* leads to a decrease in both the size and number of Ru-CoS<sub>2</sub>



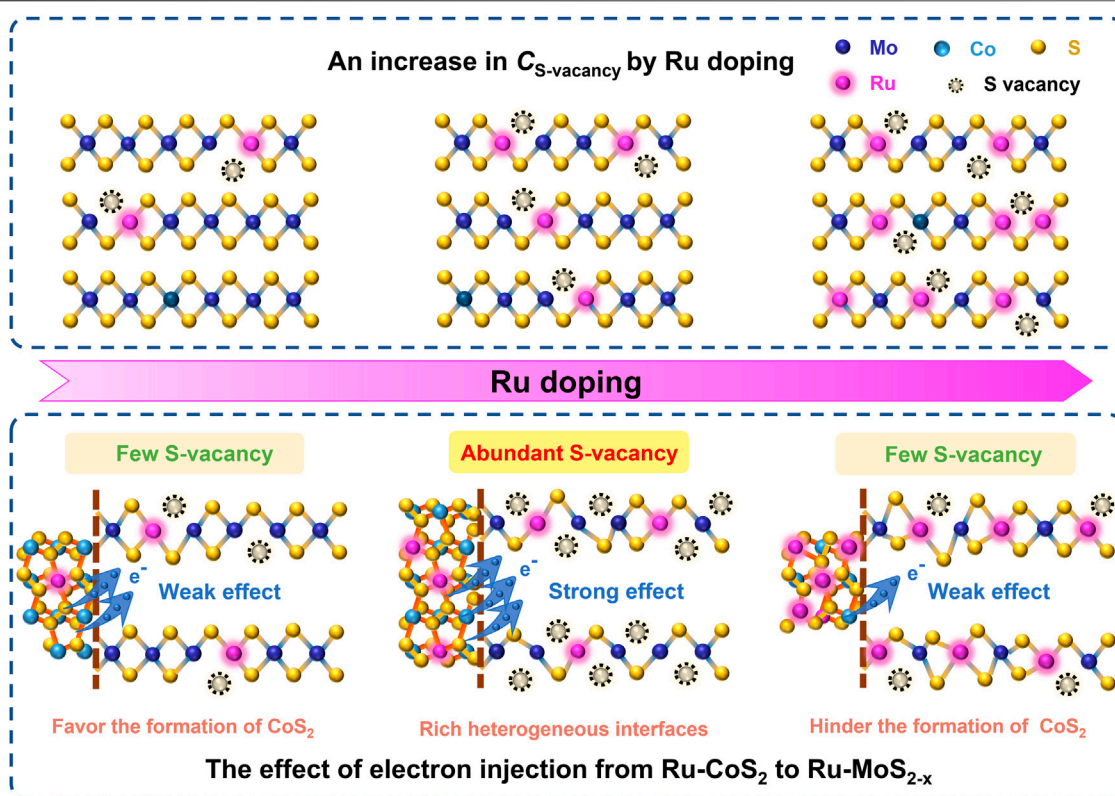


for other [Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC] (Figures 4D–F). Next, we characterize the RC of Ru-CoS<sub>2</sub> for all samples synthesized at 6 h according to XRD data such as (200) (210), (211) (220), and (311) planes of cobalt disulfide, as shown in Figure 4H. Impressively, their trend in the variation is almost consistent with that of the RC of Ru-CoS<sub>2</sub> of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples synthesized at 20 h (Figure 3C). This confirms that doping Ru can improve the crystallinity of CoS<sub>2</sub> under certain conditions. To find out the reason for this issue, we investigate the Fourier-transforming infrared (FT-IR) spectrum of MoS<sub>2</sub>-CoS<sub>2</sub>/CC in Figure 4I. The peak located at 1020 cm<sup>-1</sup> straightforwardly indicates the formation of CoS<sub>2</sub> (Chakraborty et al., 2006) at  $V = 0.0$  ml. At  $V$  increasing to 1.0 ml, the peak of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0 located at 1024 cm<sup>-1</sup> shows a blue-shift of about 4 cm<sup>-1</sup> compared to that of MoS<sub>2</sub>-CoS<sub>2</sub>/CC. At  $V = 4.0$  ml, the related peak blue-shifts to about 1036 cm<sup>-1</sup>, as shown in Figure 4I. This indicates that doping Ru into CoS<sub>2</sub> can decrease the Co–S bond length and enhance the bonding

energy (Feng et al., 2018), which favors the formation of Ru-CoS<sub>2</sub>. However, a decrease in both the size and number of CoS<sub>2</sub> with further increasing  $V$  (Figures 4D–F) can be explained as follows: introducing excessive Ru into the lattice of CoS<sub>2</sub> may induce an increase in the oxidation state of neighboring Co ions to maintain the charge neutrality. The similar result has been observed for other transition metal compounds, such as NiO (Smyth, 2000; Ge et al., 2022). This implies that excessive dopant will hinder the formation of CoS<sub>2</sub> in turn.

### Possible Formation Mechanism of S-Vacancy in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC Samples

Based on these results and discussion, a possible formation mechanism of S-vacancy of the basal planes in Ru-MoS<sub>2-x</sub> of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples can be proposed as follows: on the one side, S-vacancy is modulated into the basal planes of MoS<sub>2</sub> due to the strong attractive interaction between Ru<sub>(Mo)</sub> and the



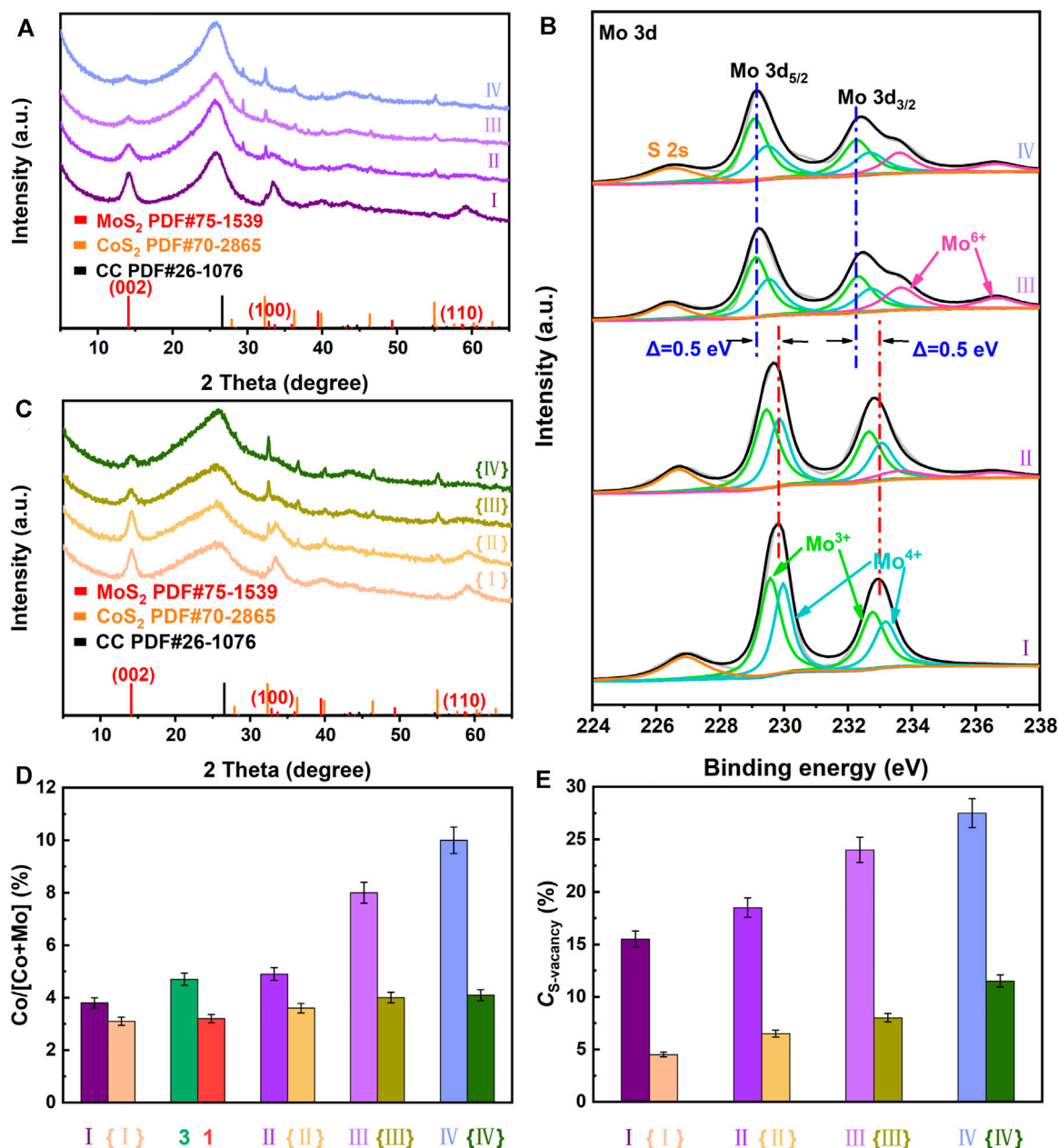
**FIGURE 5** | Schematic illustration of the possible formation mechanism of the S-vacancy of the basal planes in Ru-MoS<sub>2-x</sub> of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples.

adjacent S-vacancy. Furthermore, S-vacancy is exclusively stable around Ru<sub>(Mo)</sub>. On the other hand, by compositing with CoS<sub>2</sub>, Schottky heterojunctions provide a feasible opportunity for the electron transfer from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub> (Li et al., 2018) due to a high Fermi level of CoS<sub>2</sub>. This effect can promote S<sup>2-</sup> escaping from Ru-MoS<sub>2-x</sub> nanosheets to remain charge neutrality (Liu et al., 2017; Gan et al., 2018), indicating the formation of the positively charged defects, S-vacancy. Subsequently, we further characterize the atom ratios of Co to (Co + Mo) of the related samples by ICP-OES in the inset of **Figure 3A**. From this inset, the atom ratio of Co to (Co + Mo) of the typical samples is as high as about 16.1% at *V* increasing to 4.0 ml, thereby resulting in more Ru-CoS<sub>2</sub>, and rich heterogeneous interfaces between Ru-CoS<sub>2</sub> and Ru-MoS<sub>2-x</sub> as well. More heterogeneous interfaces favor the electron injection from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>, which leads to an increase in C<sub>S-vacancy</sub> (**Figure 3E**). However, further increasing *V* results in a decrease in atom ratios of Co to (Co + Mo) (inset of **Figure 3A**) and poor heterojunctions. This could indicate a weaker electron injection effect of Ru-CoS<sub>2</sub> and lower C<sub>S-vacancy</sub> at excessively high *V*. The schematic illustration of the possible formation mechanism of S-vacancy of the basal planes in Ru-MoS<sub>2-x</sub> of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples is presented in **Figure 5**.

To confirm this viewpoint, we systematically investigate the effect of compositing with CoS<sub>2</sub> on C<sub>S-vacancy</sub> of the as-

synthesized samples at fixed *V* or Ru dopants in **Figure 6**. **Figure 6A** exhibits XRD patterns of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320. With an increase in the mass of Co precursor (*m*<sub>Co</sub>), the intensity of the diffraction peak of the (002) plane at 14.1° for Ru-MoS<sub>2-x</sub> greatly decreases, while intensities of peaks of the (200) and 311) planes for Ru-CoS<sub>2</sub> gradually increase (**Figure 6A**), indicating a decrease in the RC of Ru-MoS<sub>2-x</sub> and an increase in the RC of Ru-CoS<sub>2</sub> (**Supplementary Figure S10**). At *m*<sub>Co</sub> increasing to 240 mg, Ru-CoS<sub>2</sub> can be densely coated by Ru-MoS<sub>2-x</sub> nanosheets (**Supplementary Figure S11B**). Nevertheless, further increasing *m*<sub>Co</sub> to 280 or 320 mg leads to incompact Ru-MoS<sub>2-x</sub> shells (**Supplementary Figures S11C, D**) due to the formation of more Ru-CoS<sub>2</sub> or a decrease in the content of Ru-MoS<sub>2-x</sub>. These data are in good agreement with the results of **Figure 6D**, which displays atom ratios of Co to (Co + Mo) of the as-synthesized samples by XPS analysis. These atom ratios also increase with increasing *m*<sub>Co</sub>. For example, the atom ratio of Co to (Co + Mo) of R Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 increases up to 10.1%. To further confirm the effect of electron injection from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>, we characterize Mo3d high-resolution XPS spectra of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 in **Figure 6B**. Mo 3 days in XPS data move to low binding energy (Huang et al., 2019), implying an increase in the electron density

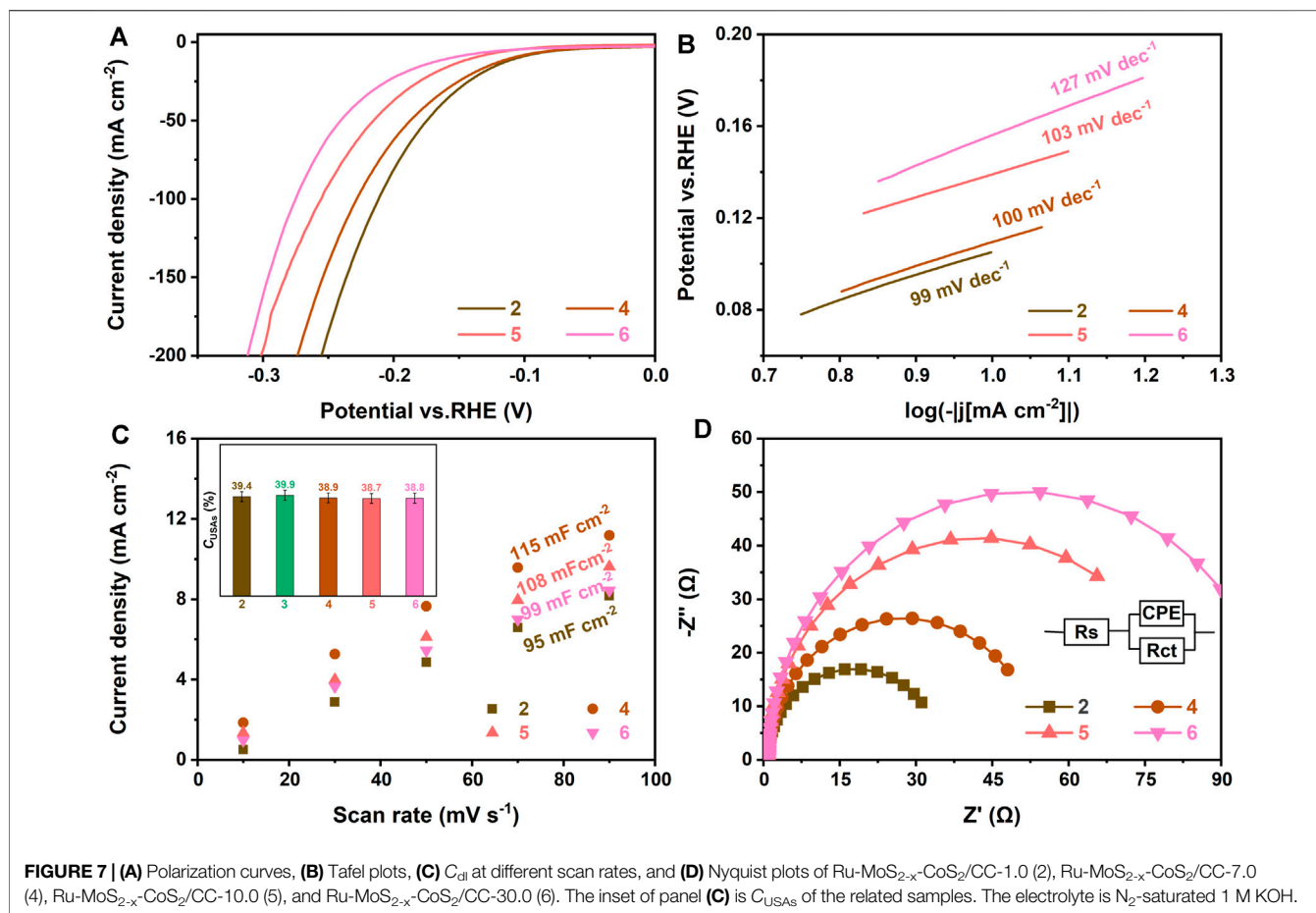




**FIGURE 6 | (A)** XRD patterns and **(B)** Mo 3d high-resolution XPS spectra of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80 (I), Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240 (II), Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280 (III), and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 (IV); **(C)** XRD patterns of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-80 (I), MoS<sub>2</sub>-CoS<sub>2</sub>/CC-240 (II), MoS<sub>2</sub>-CoS<sub>2</sub>/CC-280 (III), and MoS<sub>2</sub>-CoS<sub>2</sub>/CC-320 (IV); **(D)** atom ratios of Co to (Co + Mo) by XPS data, **(E)** C<sub>S</sub>-vacancy of the related samples. Meanwhile, fabrications of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-80, MoS<sub>2</sub>-CoS<sub>2</sub>/CC-240, MoS<sub>2</sub>-CoS<sub>2</sub>/CC-280, and MoS<sub>2</sub>-CoS<sub>2</sub>/CC-320 are almost the same as those of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 except for the absence of RuCl<sub>3</sub> solution.

of Ru-MoS<sub>2-x</sub> of the as-synthesized samples. For example, Mo 3 day peaks of the typical samples negatively shift by about 0.06 eV compared to those of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80 in terms of **Figure 2D** and **Figure 6B**. After careful investigations, similar results are observed in Mo 3d XPS spectra of other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples (**Figure 6B**), yielding the related data about negative shifts of 0.12 eV for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, 0.45 eV for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and 0.50 eV for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/

CC-320. It is seen that such an electron injection effect increases gradually with the increase in m<sub>Co</sub>, which means more S<sup>2-</sup> escaping from MoS<sub>2-x</sub> nanosheets (Liu et al., 2017; Gan et al., 2018) at higher m<sub>Co</sub>. Therefore, the atom ratios of S to Mo for all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples decrease (**Supplementary Table S3**), and C<sub>S</sub>-vacancy increases (**Figure 6E**) with increasing m<sub>Co</sub>. For instance, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 demonstrate the highest C<sub>S</sub>-vacancy (about 27.5%) among all samples.



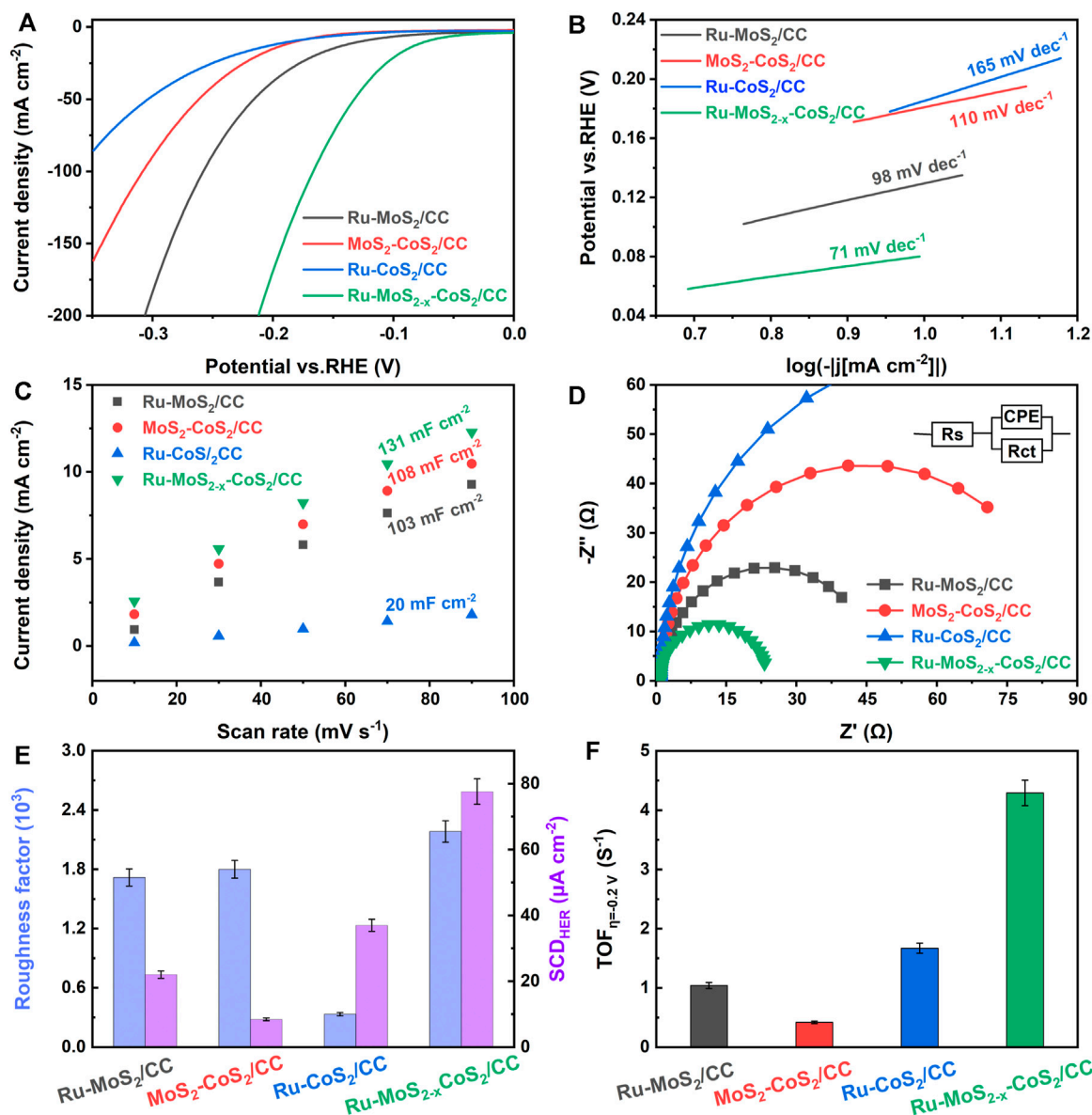
Additionally, **Figure 6** also demonstrates the influence of compositing with CoS<sub>2</sub> on  $C_{S-vacancy}$  of the as-synthesized samples without Ru dopants. **Figure 6C** shows XRD patterns of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-80, MoS<sub>2</sub>-CoS<sub>2</sub>/CC-240, MoS<sub>2</sub>-CoS<sub>2</sub>/CC-280, and MoS<sub>2</sub>-CoS<sub>2</sub>/CC-320. The influences of  $m_{Co}$  on intensities of the related peaks and RC of MoS<sub>2</sub> and CoS<sub>2</sub> are shown in **Figure 6C** and **Supplementary Figure S12**, suggesting a decline in the crystallinity of MoS<sub>2</sub> and an increase in crystallinity of CoS<sub>2</sub> as well. The trend in the variation of atom ratios of Co to (Co + Mo) of the as-synthesized samples without Ru dopants is the same as that of Ru-doped samples in **Figure 6D**, which implies the formation of more CoS<sub>2</sub> and richer heterojunctions at higher  $m_{Co}$ . Similarly, the more heterojunctions are, the stronger electron injection effect from CoS<sub>2</sub> to MoS<sub>2-x</sub> is obtained. For instance, Mo 3 day peaks of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-240 display a negative shift of 0.15 eV in comparison with those of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-80 from **Supplementary Figure S13**. At  $m_{Co}$  increasing to 320 mg, Mo 3 day peaks of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-320 present a negative shift of about 0.36 eV compared to those of MoS<sub>2</sub>-CoS<sub>2</sub>/CC-80 (**Supplementary Figure S13**). Therefore, the atom ratios of S to Mo for these samples without Ru dopants also decrease with increasing  $m_{Co}$  (**Supplementary Table S3**), leading to an increase in  $C_{S-vacancy}$  (**Figure 6E**) as well. Therefore,  $C_{S-vacancy}$  of the

basal planes of Ru-MoS<sub>2-x</sub> can be efficiently regulated by simply changing  $m_{Co}$  based on the effect of electron injection from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>.

Together, either doping Ru or compositing with CoS<sub>2</sub> only regulates  $C_{S-vacancy}$  of the as-synthesized samples within a narrow range, such as between 2.1 and 10.5% for doping Ru (**Figure 3E**) and 4.5 and 11.5% for compositing with CoS<sub>2</sub> (**Figure 6E**). Interestingly, synergistically regulating  $C_{S-vacancy}$  of the as-synthesized samples, from 2.1 to 27.5% (**Figure 6E**), is realized by a new one-step doping-assisted compositing strategy.

## HER Activities of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC Samples Synthesized at Various $V$

To find out the relationship between the microstructure and HER activity, we carefully investigate a series of electrochemical performances of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples synthesized at various  $V$  under the fixed  $m_{Co}$  (160 mg) in **Figures 7, 8**. According to **Figures 7A–D**, the typical samples exhibit the highest HER activity among these samples, such as an overpotential of about 170 mV at a current density of 100 mA cm<sup>-2</sup> and a Tafel plot of 71 mV dec<sup>-1</sup> in alkaline environments (1 M KOH), implying that low or excessive Ru dopant is disadvantageous for the HER activity of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples.



**FIGURE 8 | (A)** Polarization curves, **(B)** Tafel plots, **(C)**  $C_{dl}$  at different scan rates, **(D)** Nyquist plots, **(E)**  $R_f$  and  $SCD_{HER}$ , and **(F)** TOF at 0.2 V of Ru-MoS<sub>2</sub>/CC, MoS<sub>2</sub>-CoS<sub>2</sub>/CC, Ru-CoS<sub>2</sub>/CC, and the typical samples. The electrolyte is N<sub>2</sub>-saturated 1 M KOH.

**Figure 7C** displays double-layer capacitance ( $C_{dl}$ ) to evaluate electrochemical active surface area (ECSA), which is calculated by cyclic voltammetry (CV) curves in **Supplementary S14, 15**. From **Figure 7C** and **Figure 8C**, the typical samples also demonstrate the highest  $C_{dl}$  among all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples, reaching up to ca. 131 mF cm<sup>-2</sup>. For 2H-MoS<sub>2</sub>, its active sites are mainly from unsaturated sulfur atoms (Kibsgaard et al., 2012; Xie et al., 2013a; Liu et al., 2018). This is because the adsorption free energy of hydrogen atom ( $\Delta G_H$ ) of unsaturated sulfur atoms approaches zero (about -0.06 eV), which indicates their excellent H<sup>+</sup> adsorption-desorption property (Hinnemann et al., 2005). More recently, modulating sulfur vacancy into MoS<sub>2</sub>-based materials has been developed as an efficient strategy (Tsai

et al., 2017; Park et al., 2018; Li Y. et al., 2021) to activate inert basal planes because EMAs can be tailored into newborn active sites (Wang X. et al., 2020). Therefore, the active sites of these Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples should include unsaturated sulfur atoms and EMAs.

To confirm the difference in active sites for such Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples, the inset of **Figure 7C** further shows the concentration of unsaturated sulfur atoms (USAs) of all samples based on XPS data from **Supplementary Figure S16**. From this inset, there is no significant difference in the concentration of USAs ( $C_{USAs}$ ) for these samples. This may be closely related to preferentially exposed sulfur-edge atoms of Ru-MoS<sub>2-x</sub> nanosheet array vertically assembled on CC. At the same

time, this inset implies that USAs are not the essential factor for the most abundant active sites of the typical samples. From **Figure 3E**,  $C_{S\text{-vacancy}}$  for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, the typical samples, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-10.0, and Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-30.0 are 7.9, 17.1, 14.0, 12.5, and 12.0%, respectively. It is seen that  $C_{S\text{-vacancy}}$  of the typical samples is higher than that of other Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples. Consequently, we can reasonably conclude that the difference in active sites of RCM/CC should be dependent on  $C_{S\text{-vacancy}}$ . The formation of one S-vacancy means the occurrence of three EMAs at  $C_{S\text{-vacancy}} < 18\%$  (Li et al., 2016a) because S-vacancy uniformly distributes on the basal planes of 2H-MoS<sub>2-x</sub>. Obviously, under this situation, the higher  $C_{S\text{-vacancy}}$  is obtained, the more EMAs or active sites are achieved. At  $m_{Co} = 160$  mg, Ru doping firstly favors heterogeneous nucleation and growth of CoS<sub>2</sub> (**Figures 4C, I**) besides introducing a certain quantity S-vacancy into the basal planes. For instance, at  $V$  increasing to 4.0 ml, the typical samples demonstrate higher  $C_{S\text{-vacancy}}$  (**Figure 3E**) than Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0, maybe due to more heterogeneous interfaces between Ru-CoS<sub>2</sub> and Ru-MoS<sub>2-x</sub>. Nevertheless, further increasing  $V$  hinders the formation of Ru-CoS<sub>2</sub> (**Figures 4F, I**) and leads to a decline in  $C_{S\text{-vacancy}}$ . Lower  $C_{S\text{-vacancy}}$  could originate from fewer Schottky heterojunctions and a weaker electron injection effect of Ru-CoS<sub>2</sub> at excessively high  $V$ .

As another key factor for HER, the  $R_{CT}$  of all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples is investigated in **Figure 7D** and **Figure 8D**. These figures exhibit their Nyquist plots. Each semicircle represents the  $R_{CT}$  of the cathode reaction. The charge transfer of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0 or Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-7.0 is inferior to that of the typical samples. Moreover, further increasing  $V$  results in considerably unsatisfactory  $R_{CT}$  from **Figure 7D**. In our viewpoint, rich active sites will promote the occurrence of HER reaction in the cathode, implying efficient charge transfer for the typical samples. On the other hand, compositing with the metallic phase for fast electrode kinetics (He et al., 2020; Li et al., 2020; Zhou G. et al., 2021), such as CoS<sub>2</sub> (Li et al., 2020), carbon nanotube (Huang et al., 2017), and reduced graphene oxide (Wang Y. et al., 2020), is one of the important approaches to improving electrocatalytic HER activity. From **Figure 7D** and **Figure 8D**, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-1.0 demonstrate better charge transfer compared to Ru-MoS<sub>2</sub>/CC. Furthermore, the influence of  $V$  on  $R_{CT}$  is coincident with the trend in the variation of RC of Ru-CoS<sub>2</sub> (**Figure 3C**) and atom ratios of Co to (Co + Mo) (inset of **Figure 3A**) of all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples. Therefore, the higher crystallinity of cobalt disulfide or the more CoS<sub>2</sub> is obtained, the lower  $R_{CT}$  of the as-synthesized samples is achieved.

## HER Activities of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC Samples Synthesized at Various $m_{Co}$

We further investigate the HER activity of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples synthesized at various  $m_{Co}$  under the fixed  $V$  (4.0 ml) in **Supplementary Figure S17**. From **Supplementary Figures S17A, B**, HER activities of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80 are an overpotential of about 228 mV at a current density of 100 mA cm<sup>-2</sup> and a Tafel plot of 102 mV dec<sup>-1</sup>. At  $m_{Co}$

**TABLE 1** | Comparison of the HER electrocatalytic activity of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC with some MoS<sub>2</sub>-based HER electrocatalysts recently reported.

| Samples   | $\eta_{10}$ (mV) | Ref                  |
|---|------------------|----------------------|
| Co <sub>3</sub> S <sub>4</sub> /MoS <sub>2</sub> NRs                    | -166             | Li et al. (2022b)    |
| MoS <sub>2</sub> @CoSe <sub>2</sub> -CC                                 | -101             | Yuan et al. (2022)   |
| NiS <sub>2</sub> /MoS <sub>2</sub> @GNS                                 | -130             | Balaji et al. (2022) |
| N-doped MoS <sub>2</sub> /Ti <sub>3</sub> C <sub>2</sub> T <sub>x</sub> | -80              | Liu et al. (2021)    |
| Co-E <sub>x</sub> -MoS <sub>2</sub>                                     | -89              | Luo et al. (2018)    |
| SA-Ru-MoS <sub>2</sub>  | -76              | Zhang et al. (2019a) |
| 0.2NM (Ni(OH) <sub>2</sub> /MoS <sub>2</sub> )                          | -227             | Zhao et al. (2018)   |
| Co <sub>9</sub> S <sub>8</sub> -MoS <sub>2</sub> @3DC                   | -177             | Diao et al. (2019)   |
| Ru-MoS <sub>2</sub>   | -98              | Geng et al. (2022)   |
| ZnS@C@MoS <sub>2</sub>  | -118             | Liu et al. (2019)    |
| Ru-MoS <sub>2-x</sub> -CoS <sub>2</sub> /CC                             | -73              | This work            |

increasing to 160 mg, the typical samples demonstrate higher HER activity than other samples (**Figure 8A**; **Supplementary Figure S17A**). Further increasing  $m_{Co}$  leads to unsatisfactory overpotential and sluggish electrode kinetics, yielding the related data of 264 and 123 mV dec<sup>-1</sup> for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-240, 294 and 130 mV dec<sup>-1</sup> for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-280, and 301 and 140 mV dec<sup>-1</sup> for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 at the same current density.

To illustrate the reason why excessive  $m_{Co}$  is disadvantageous for HER activity,  $C_{dl}$  and  $C_{USAs}$  of all samples are tested in **Supplementary Figure S17C** and **Supplementary Figure S18**, respectively. The corresponding CV curves related to **Supplementary Figure S17C** are shown in **Supplementary Figure S19**. From **Supplementary Figure S17C**,  $C_{dl}$  gradually increases with an increase in  $m_{Co}$ . For example, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-80 display low  $C_{dl}$  (about 118 mF cm<sup>-2</sup>) at  $m_{Co} = 80$  mg. At  $m_{Co}$  increasing to 320 mg, the  $C_{dl}$  of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 is as high as 199 mF cm<sup>-2</sup>. We rationally hypothesize that this is closely related to  $C_{S\text{-vacancy}}$ , due to the fact that high  $C_{S\text{-vacancy}}$  favors abundant EMAs. As we know, the basal planes in 2H-MoS<sub>2</sub> are inert, owing to  $\Delta G_H$  reaching 2.1 eV (Zhou W. et al., 2021). Impressively, inert basal planes can be efficiently activated by a low concentration of S-vacancy (*ca* 4%) modulating into them because the corresponding  $\Delta G_H$  closes to zero (Wang X. et al., 2020). It is not difficult to understand that such EMAs should be highly active, but the number is considerably poor.

According to the related literature (Wang X. et al., 2020),  $\Delta G_H$  negatively shifts with an increase in  $C_{S\text{-vacancy}}$ , implying that hydrogen atoms do not easily desorb at excessive  $C_{S\text{-vacancy}}$ . For instance, at excessive  $m_{Co}$ ,  $C_{S\text{-vacancy}}$  of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 reaches up to 27.5%. As expected, EMAs are abundant in this case, but the catalytic sites are of low activity, owing to the undesirable  $\Delta G_H$  (Wang X. et al., 2020). Subsequently, a balance between the intrinsic activity and the number of EMAs to boost highly active EMAs could be realized by precisely regulating  $C_{S\text{-vacancy}}$  to 17.1% (Wang X. et al., 2020). Consequently, the typical samples demonstrate the optimal HER activity among all samples. Furthermore,  $C_{USAs}$  of all samples except for Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 is almost the same as that of the typical samples.  $C_{USAs}$  of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 (about 33.9%) is lower than that of other samples, which is responsible for the incompact Ru-MoS<sub>2-x</sub> shells (**Supplementary Figure S11D**), and the significant



decrease in the content of molybdenum disulfide (**Figure 6D**). This indicates that sulfur-edge atoms of Ru-MoS<sub>2-x</sub> nanosheets are not easy to be preferentially exposed for such samples synthesized at excessive  $m_{\text{Co}}$ .

Last but not least, **Supplementary Figure S17D** and **Figure 8D** further exhibit  $R_{\text{CT}}$  of all samples. Higher  $m_{\text{Co}}$  leads to higher  $R_{\text{CT}}$  of the related samples (**Supplementary Figure S17D**). For example, at  $m_{\text{Co}}$  reaching up to 320 mg, Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC-320 display the highest  $R_{\text{CT}}$  among all Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples. It can be ascribed to insufficient highly active EMAs regardless of the higher RC of Ru-CoS<sub>2</sub> (**Figure 6A**; **Supplementary Figure S10**) for these samples synthesized at higher  $m_{\text{Co}}$ . Insufficient active sites could not promote redox half-reaction, thereby leading to inefficient charge transfer.

## Comparison of HER Activities of the Typical Samples and Other Similar Electrocatalysts

HER activities of Ru-MoS<sub>2</sub>/CC, MoS<sub>2</sub>-CoS<sub>2</sub>/CC, Ru-CoS<sub>2</sub>/CC, and the typical samples are shown in **Figure 8A**. Ru-CoS<sub>2</sub>/CC display the lowest HER activity among these samples, suggesting that the activity of the typical samples is mainly from Ru-MoS<sub>2-x</sub> rather than Ru-CoS<sub>2</sub>. In addition, Ru-MoS<sub>2</sub>/CC exhibit faster electrode kinetics than MoS<sub>2</sub>-CoS<sub>2</sub>/CC according to **Figure 8B**. In our viewpoint, the Ru-doped sites can significantly accelerate the sluggish water dissociation in alkaline HER (Li J. et al., 2021).

From **Figure 8C**,  $C_{\text{dl}}$  of Ru-MoS<sub>2</sub>/CC is only 103 mF cm<sup>-2</sup> and lower than that of the typical samples. Furthermore,  $C_{\text{USAs}}$  of Ru-MoS<sub>2</sub>/CC (about 39.3%) is almost the same as that of the typical samples from **Supplementary Figure S18**. Therefore, the difference in active sites between Ru-MoS<sub>2</sub>/CC and the typical samples should be closely related to  $C_{\text{S-vacancy}}$ . Higher  $C_{\text{S-vacancy}}$  indicates more highly active EMAs. As shown in **Figure 3E**,  $C_{\text{S-vacancy}}$  of Ru-MoS<sub>2</sub>/CC is only about 3.1% by doping Ru, implying insufficient active sites. In our strategy, doping Ru coupled to compositing with CoS<sub>2</sub> synergistically regulates  $C_{\text{S-vacancy}}$  of the as-synthesized samples from 2.1 to 27.5%. For instance,  $C_{\text{S-vacancy}}$  of the typical samples reaches up to 17.1% (**Figure 3E**), which implies that rich highly active sites are successfully introduced into the typical samples. In terms of **Figure 8D**,  $R_{\text{CT}}$  of the typical samples is lower than that of Ru-MoS<sub>2</sub>/CC. Besides rich active sites promoting redox half-reaction, high crystallized Ru-CoS<sub>2</sub> of the typical samples is another important factor to realize the efficient charge transfer during electrocatalysis. Next, the specific activity and turnover frequency (TOF) are further conducted to investigate the intrinsic activity of all samples (**Figures 8E, F**).  $C_{\text{dl}}$  of an ideal plane electrode is considered as 60 μF cm<sup>-2</sup> (Levine and Smith, 1971); the roughness factor ( $R_f$ ) can be calculated by the formula:  $R_f = (C_{\text{dl}}/60)^{-1} \times 10^{+3}$ . The specific alkaline HER current density ( $\text{SCD}_{\text{HER}}$ ) is determined by the formula:  $\text{SCD}_{\text{HER}} = j R_f^{-1}$  (Jovic et al., 2015; Jovic et al., 2016), where  $j$  is the current density at an overpotential of -0.2 V. From **Figure 8E**, the typical samples have not only the largest  $R_f$  ( $2.18 \times 10^{+3}$ ) but also the highest specific activity (about 77.6 μA cm<sup>-2</sup>) among all samples. In addition, the TOF for these samples is obtained by the formula  $\text{TOF} = jS/(2nF)^{-1}$ . Here,  $S$  is the geometrical surface area in cm<sup>2</sup>

and  $F$  is the Faraday constant. The value of  $n$  is the number of active sites (mol), which is confirmed according to the previously reported literature (Tian et al., 2014). The typical samples also exhibit the highest intrinsic activity among all samples, about 4.29 s<sup>-1</sup> in **Figure 8F**. Moreover, the comparison between the typical samples and other similar electrocatalysts is provided in **Table 1**. The typical samples exhibit higher HER activity than other similar electrocatalysts listed in **Table 1**, which is ascribed to two factors: abundant active sites and accelerated electrode kinetics during the HER process.

## The Long-Term Durability of the Typical Samples

In addition, LSV curves of the typical samples before and after 1000 cycles are recorded to evaluate their durability, and just a 10 mV negative shift at a current density of -100 mA cm<sup>-2</sup> is seen in **Supplementary Figure S20A, B**; the chronoamperometric response ( $i \sim t$ ) of the typical samples displays negligible attenuation of the current density for 10 h. Moreover, no significant change is observed in the morphology and phase structure after 1000 cycles (**Supplementary Figures S21, 22**). All results suggest that the typical samples possess remarkable long-term durability for HER in alkaline media.

## CONCLUSION

Herein, we develop a one-step Ru doping coupled to compositing with the CoS<sub>2</sub> strategy for the fabrication of Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC. In our strategy, Ru doping is advantageous for the formation of S-vacancy in the basal planes of MoS<sub>2</sub>. More importantly, Ru doping affects microstructures of CoS<sub>2</sub>, which has a significant influence on  $C_{\text{S-vacancy}}$  of Ru-MoS<sub>2-x</sub> nanosheets in Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples in turn. At the fixed  $m_{\text{Co}}$  (160 mg), Ru doping favors the heterogeneous nucleation and growth of CoS<sub>2</sub> at  $V$  increasing to 4.0 ml, which leads to a high crystallinity of Ru-CoS<sub>2</sub> and rich heterogeneous interfaces between Ru-CoS<sub>2</sub> and Ru-MoS<sub>2-x</sub>. This facilitates the electron transfer from Ru-CoS<sub>2</sub> to Ru-MoS<sub>2-x</sub>, indicating an increase in  $C_{\text{S-vacancy}}$ , thereby increasing  $C_{\text{S-vacancy}}$  of the MoS<sub>2</sub>-based materials. However, further increasing  $V$  results in a low crystallinity of Ru-CoS<sub>2</sub> and poor heterojunctions, implying a weaker electron injection effect of Ru-CoS<sub>2</sub> and low  $C_{\text{S-vacancy}}$ . At fixed  $V$  (4.0 ml of RuCl<sub>3</sub> solution), the electron injection effect increases gradually with the increase in  $m_{\text{Co}}$ , which means more S<sup>2-</sup> escaping from Ru-MoS<sub>2</sub> nanosheets at higher  $m_{\text{Co}}$ . Therefore, synergistically regulating  $C_{\text{S-vacancy}}$  of the as-synthesized samples, from 2.1 to 27.5%, is realized by a new one-step Ru doping coupled to compositing with the CoS<sub>2</sub> strategy. High  $C_{\text{S-vacancy}}$  indicates abundant EMAs. Impressively, inert basal planes can be efficiently activated by modulating low  $C_{\text{S-vacancy}}$  into Ru-MoS<sub>2-x</sub>-CoS<sub>2</sub>/CC samples. However, the number is considerably poor. Additionally, hydrogen atoms do not easily desorb at excessive  $C_{\text{S-vacancy}}$ . This is because EMAs are abundant in this case, but the catalytic sites are of low activity, owing to the undesirable  $\Delta G_{\text{H}}$ . By precisely regulating  $C_{\text{S-vacancy}}$  to 17.1%, a balance



between the intrinsic activity and the number of EMAs to boost highly active EMAs should be realized. Consequently, the typical samples demonstrate the optimal alkaline HER activity among all samples, such as a low overpotential of 170 mV at 100 mA cm<sup>-2</sup>, a large SCD<sub>HER</sub> of 77.6 μA cm<sup>-2</sup>, and a TOF of 4.29 s<sup>-1</sup> at -0.2 V as well as excellent long-term stability. The results pave a new approach to activating inert basal planes in MoS<sub>2</sub> for efficient hydrogen evolution and promise important applications in the fields of electrocatalysis or energy conversion.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**; further inquiries can be directed to the corresponding authors.

## AUTHOR CONTRIBUTIONS

X-YL has made substantial contributions to the design of this work and has drafted the work. S-JZ has made substantial contributions to the acquisition, analysis, and interpretation of data for the work. YW has revised the work critically for the important intellectual content and has approved the final version to be published. TL, X-YY, and C-FY have made some contributions to the analysis and interpretation of data for the work. YL has given some important suggestions

for this revised article. BS has given some suggestions on preparing this article. L-HC has provided some important ideas for the design of this work and has approved the final version to be published.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fchem.2022.915468/full#supplementary-material>

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# Thermally-stable single-atom catalysts and beyond: A perspective

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Single-atom catalysis is a research Frontier and has attracted extensive interests in catalysis. Significant progresses have been carried out in the synthesis and characterization of metal single-atom catalysts (SACs). However, the stability and catalytic reactivity of metal SAC at elevated temperatures are not well documented because single atoms sinter at elevated temperatures. Therefore, the development of stable and reactive SAC at high temperatures remains a formidable challenge. In this perspective, we summarize recent efforts on the preparation of the thermally-stable SACs synthesized at elevated temperature *via* the reverse-Ostwald ripening mechanism, including the approaches of atom trapping and vapor-phase self-assembly. The reducibility of lattice oxygen, the loading upper limit and the location of the metal single atom are discussed, combining experiments with simulations. In addition, we demonstrate that the coordination structure of the metal single atom can be tailored to address the relationship of structure and performances of the metal SAC in reactions. We expect that this perspective can provide some insights to guide the study for the rational design of thermally-stable and active single atom catalysts, which are especially suitable for high-temperature reactions.

## KEYWORDS

single-atom catalysts, thermally stable, atom trapping, metal-support interaction, vapor-phase self-assembly

## Introduction

Catalysis has been in the era of the precise design and manipulation of catalyst structure in the atomic scale for developing highly efficient catalysts (Guan et al., 2021). Single-atom catalysis has attracted extensive attention since it was proposed by Zhang et al., in 2011 (Qiao et al., 2011) in that it can bridge the gap between homogeneous catalysis and heterogeneous catalysis. Because of the high atom efficiency, single-atom catalysts (SACs) have therefore attracted ever-increasing attention from numerous fields including material science, catalysis and electrochemistry. To date, much progress has been achieved on the studies of metal SACs in catalysis, especially on the synthesis and characterization of metal SACs (Li et al., 2018; Ji et al., 2020). Furthermore, metal SACs have been found to present superior reactivity (selectivity or activity) than nanoparticles in several catalytic reactions, such as hydrogenation (Yan et al., 2015) and oxidation



(Zhang et al., 2022a). However, most of these reactions were carried out at relatively low reaction temperatures ( $<100^{\circ}\text{C}$ ) and catalytic reactions performed at temperatures of  $>200^{\circ}\text{C}$ , such as dehydrogenation and reforming, are rarely reported since metal SACs are thermodynamically unstable and are prone to agglomerate at elevated temperatures due to Ostwald ripening (OR).

Metal SACs must keep stable during chemical reactions under industrial conditions, including at elevated temperatures (Xiong et al., 2021a). Especially, in the transition from the academic curiosity to an industrially relevant technology, the thermal stability of metal SAC became much more important (Datye and Guo, 2021). Previous work has indicated that metal SACs prepared by atom trapping (AT) can withstand the temperature up to  $800^{\circ}\text{C}$  in oxidizing conditions (Jones et al., 2016; Lang et al., 2019). However, many metal SACs are not stable under reaction atmospheres or at elevated temperatures. These SACs have been demonstrated to agglomerate to form nanoclusters due to the high free energy of SACs under reaction conditions (Yang et al., 2013). For example, atomically dispersed metal atoms can rapidly agglomerate to metal clusters or nanoparticles under catalytic reactions (CO oxidation, hydrogenation and dehydrogenation) (Liu et al., 2019). Moreover, the size of Pt particles formed from single atoms on a  $\text{Pt}/\text{Al}_2\text{O}_3$  increases with the reaction temperature from  $150$  to  $325^{\circ}\text{C}$ , indicating that Pt species undergo dynamic structural transformation during reaction (Liu et al., 2019). Therefore, the development of metal SACs that are stable under reaction conditions is pivotal, which is prerequisite for future commercialization of these catalysts (Datye and Guo, 2021).

In this perspective, we discuss the progress on the preparation and activation of thermally-stable single-atom catalysts (TSSAC) in catalysis. We firstly introduce atom trapping (AT) and vapor-phase self-assembly (VPSA) to prepare thermally stable single atom catalysts, including on the supports of ceria ( $\text{CeO}_2$ ) and  $\text{MgAl}_2\text{O}_4$ . The ceria represents the supports with strong metal-support interaction, while the  $\text{MgAl}_2\text{O}_4$  represents the supports with weak metal-support interaction. We also describe two approaches to tailor the coordination structure of the Pt single atoms on the thermally-stable  $\text{Pt}_1/\text{CeO}_2$  catalyst. Finally, the atom-trapped  $\text{Pt}_1/\text{CeO}_2$  SAC can be used to load a second metal atoms to generate two-dimensional metal oxides which shows superior catalytic reactivity than three-dimensional metal oxides. For the general and comprehensive understanding of SAC or TSSAC, such as support choices or carbon-supported SAC, the readers are referred to other important review articles (Liu et al., 2019; Xiong et al., 2021a; Singh et al., 2021).

## Atom trapping for the preparation of TSSAC

Metal atoms in nanoparticles are mobile at high temperatures, leading to the sintering of the nanoparticles *via*

the Ostwald Ripening mechanism. The mobile atom can be trapped by oxide supports such as  $\text{PdO}$  (Xiong et al., 2016),  $\text{CeO}_2$  (Jones et al., 2016; Wan et al., 2018) and  $\text{Fe}_2\text{O}_3$  (Lang et al., 2019) or carbon materials (Wei et al., 2018) to form single-atom catalysts (Figures 1A,B). The trapping of the mobile metal atom by  $\text{CeO}_2$  and  $\text{Fe}_2\text{O}_3$  is due to the strong metal atom-support interaction. In 2016, we first reported the evaporated migration of Pt species from Pt nanoparticles on alumina to ceria surface *via* a reverse Ostwald ripening mechanism (Jones et al., 2016). The Pt species was then trapped by the ceria and located on the ceria surface to form  $\text{Pt}_1/\text{CeO}_2$  SAC. Further study found that the  $\text{Pt}_1/\text{CeO}_2$  SAC can be formed by directly dispersing Pt salt precursors onto the ceria, followed by annealing the material at elevated temperatures in air. The locations and the maximum loading of the Pt single atom on the polyhedral ceria was further investigated (Kunwar et al., 2019). It is demonstrated that cerium oxide supported Pt single atoms at high metal loading up to 3 wt.% Pt. This Pt loading corresponds to the maximum density of Pt single atom on the ceria surface is ca. 1 Pt atom/ $\text{nm}^2$ .

The catalytic reactivity of the  $\text{Pt}_1/\text{CeO}_2$  SAC prepared by the high temperature vapor phase synthesis (atom trapping, AT) was compared with  $\text{Pt}_1/\text{CeO}_2$  SAC prepared by conventional wetness synthesis (strong electrostatic adsorption-SEA) with calcination at  $350^{\circ}\text{C}$  in air in CO oxidation (Pereira-Hernández et al., 2019). The AT sample led to ionic Pt being trapped on the  $\text{CeO}_2$  in a thermally stable form. The as-synthesized, both SACs are inactive for low-temperature ( $<150^{\circ}\text{C}$ ) CO oxidation. After treatment in CO at  $275^{\circ}\text{C}$ , both catalysts show enhanced reactivity. Despite similar Pt metal particle size, the AT catalyst is significantly more active, with onset of CO oxidation near room temperature. A combination of near-ambient pressure X-ray photoelectron spectroscopy (NAP-XPS) and CO temperature-programmed reduction (CO-TPR) showed that the high reactivity at low temperatures was related to the improved reducibility of lattice oxygen on the  $\text{CeO}_2$  support.

## Vapor-phase self-assembly for the preparation of TSSAC

For the oxide supports with a weak metal-support interaction such as  $\text{Al}_2\text{O}_3$  and  $\text{MgAl}_2\text{O}_4$ , experiment results showed that these oxide supports could not directly trap the mobile metal atom to form single-atom catalyst at high temperatures. Instead, the mobile metal atoms agglomerated to form large particles due to sintering at elevated temperatures (Xiong et al., 2016). Recently, an approach termed as “vapor-phase self-assembly” was reported to trap the mobile metal single atoms on the support having a weak metal-support interaction (Li et al., 2022). The new design principle, efficiently anchored Pt single atoms on a conventional support  $\text{MgAl}_2\text{O}_4$  with the assistant of K ions at elevated temperature in air. A stable triangular



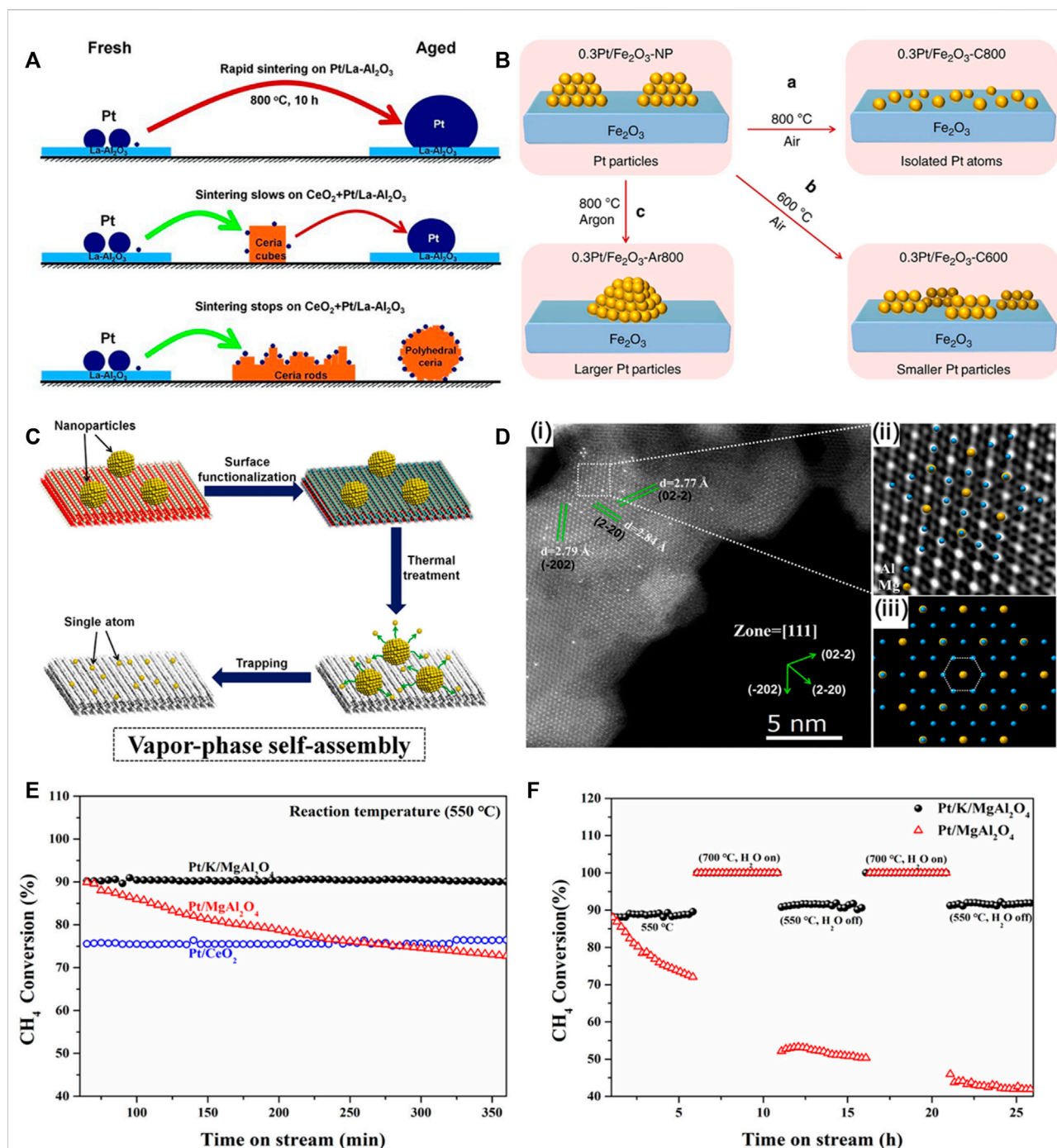


FIGURE 1

Atom trapping for the preparation of thermally-stable Pt single atom catalysts. (A) Illustration of Pt nanoparticle sintering and redispersion. Reproduced with permission (Jones et al., 2016). Copyright 2016, American Association for the Advancement of Science. (B) Illustration of the redispersion of Pt nanoparticle to Pt single atoms on  $\text{Fe}_2\text{O}_3$ . Reproduced with permission (Lang et al., 2019). Copyright 2019, Springer Nature. (C) Schematic illustration of the vapor-phase self-assembly processes. (D) AC-STEM image of Pt/MgAl<sub>2</sub>O<sub>4</sub> SAC. (E) Catalytic reactivity and stability of Pt catalysts. (F) Reactivity and stability of Pt/K/MgAl<sub>2</sub>O<sub>4</sub> SAC and Pt/MgAl<sub>2</sub>O<sub>4</sub> nanocatalyst in methane oxidation. Reproduced with permission (Li et al., 2022). Elsevier.

$\text{K}_3\text{O}_3$  structure serves as sites for trapping isolated Pt species over  $\text{MgAl}_2\text{O}_4$  (111), leading to a superior stability in methane oxidation. Such Pt single atoms trapped by the triangle motif

are capable of withstanding exposure to high temperatures in oxidizing conditions. The Pt/K/MgAl<sub>2</sub>O<sub>4</sub> SAC presented excellent thermal/hydrothermal stability and reactivity in

methane oxidation in the presence of steam at elevated temperatures (Figures 1C–F), as compared to the Pt/MgAl<sub>2</sub>O<sub>4</sub> nanocatalyst without K and the Pt<sub>1</sub>/CeO<sub>2</sub> SAC.

The generalizability of the vapor-phase self-assembly mechanism on stabilizing metal single atoms was investigated on other alkali metal cations, metal centers and oxide supports. Alkali metal cations of Li<sup>+</sup>, Na<sup>+</sup> and Cs<sup>+</sup>, metal centers (Ru, Ir and Au) and oxide supports (SiO<sub>2</sub>, NiAl<sub>2</sub>O<sub>4</sub>, CoAl<sub>2</sub>O<sub>4</sub> and MgCr<sub>2</sub>O<sub>4</sub>) as well as density functional theory (DFT) simulations were used to explain the different effects of these structural parameters on stabilizing the metal single atoms during the VPSA process. Results show that alkali Na<sup>+</sup> and Cs<sup>+</sup> can stabilize the Pt single atoms on MgAl<sub>2</sub>O<sub>4</sub> after the VPSA, whereas Li<sup>+</sup> cannot trap Pt single atoms. DFT simulations revealed that for the Na<sup>+</sup>, K<sup>+</sup> and Cs<sup>+</sup>, the atoms in the M–O motifs (M = Na, K and Cs) are in the same plane, while the atoms of Li–O motif in the Z direction are not in the same plane, leading to a weak interaction between Li and O on MgAl<sub>2</sub>O<sub>4</sub> (111). As for other metal single atoms (Ru, Ir and Au), the K-modified MgAl<sub>2</sub>O<sub>4</sub> can stabilize Ru and Ir atoms with VPSA, while it cannot stabilize Au atom. DFT calculations showed that after depositing M<sub>1</sub>, a stable triangle M<sub>1</sub>O<sub>3</sub> structure is formed for Ru and Ir, similar to the case of Pt<sub>1</sub> single atom. For oxide supports,  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> cannot trap Pt single atoms *via* VPSA because of the irregular surface revealed by DFT simulation, which cannot stabilize KO motif. The K/NiAl<sub>2</sub>O<sub>4</sub> and K/CoAl<sub>2</sub>O<sub>4</sub> cannot trap the Pt single atoms, whereas K/MgCr<sub>2</sub>O<sub>4</sub> can partially trap the mobile Pt atoms at elevated temperatures. DFT simulations confirm the above observations.

## Modulation of the coordination structure of TSSAC

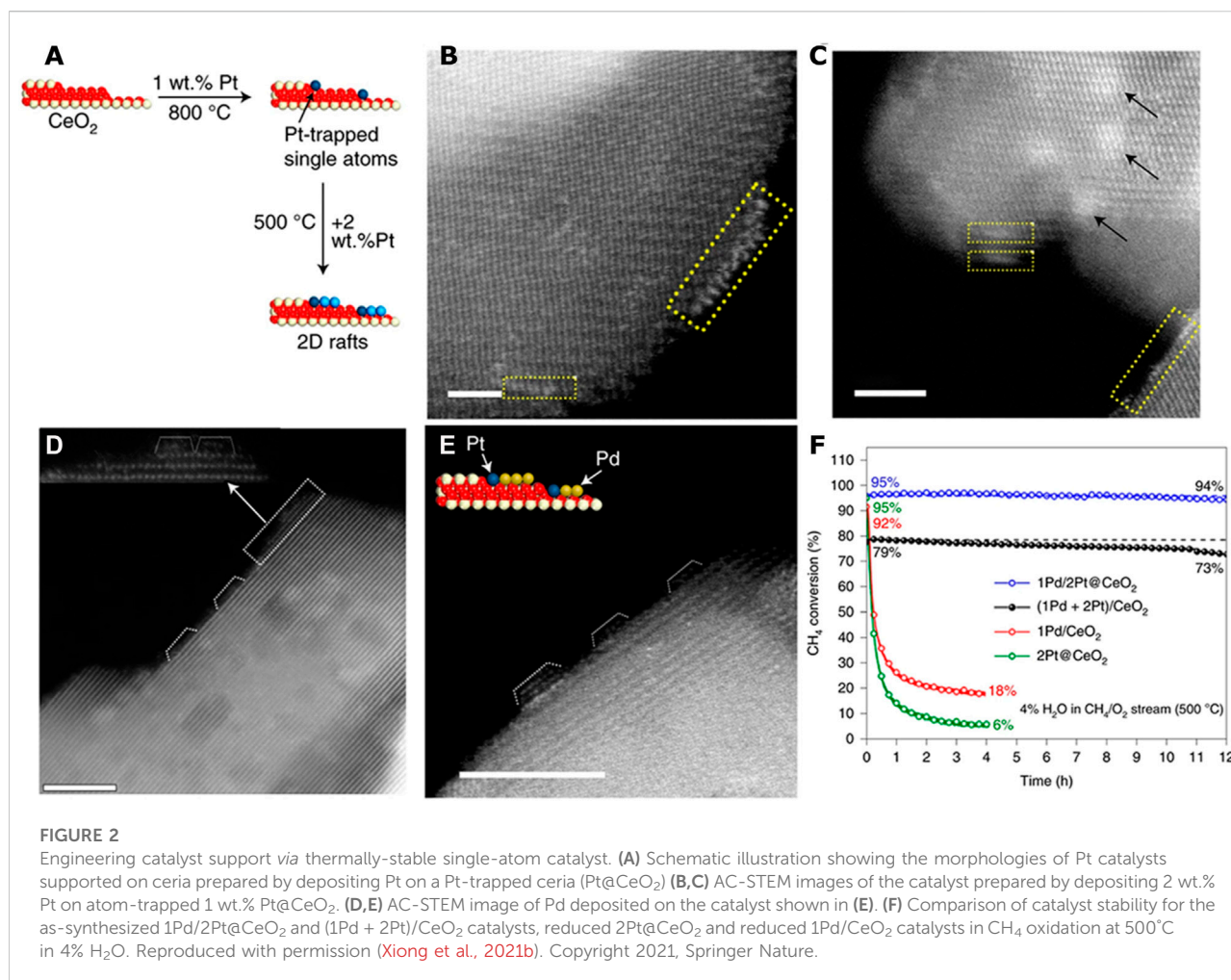
Pt<sub>1</sub>/CeO<sub>2</sub> SAC prepared by AT is thermally stable, while it is not as active as Pt/CeO<sub>2</sub> nanocatalyst in CO oxidation (Gänzler et al., 2017; Pereira-Hernández et al., 2019). This is because the Pt single atom on the AT sample was strongly bound on the ceria. To improve the reactivity of the Pt<sub>1</sub>/CeO<sub>2</sub> SAC, the coordination environment of the Pt single atom must be tailored. Two strategies were reported to modulate the coordination structure of the Pt single atom in the Pt<sub>1</sub>/CeO<sub>2</sub> SAC. One is the addition of a second metal atom into the Pt<sub>1</sub>/CeO<sub>2</sub> SAC (Xiong et al., 2017), such as Sn or Ga. The reactivity and stability of Pt single-atom species was investigated in the industrially important light alkane dehydrogenation reaction. The Pt<sub>1</sub>/CeO<sub>2</sub> single-atom catalyst is active during propane dehydrogenation, but not selective for yielding propylene. DFT calculations show strong adsorption of the olefin produced, leading to C–C cleavage to produce CH<sub>4</sub>. In contrast, the addition of tin (Sn) into Pt<sub>1</sub>/CeO<sub>2</sub> SAC allows

the modified SAC to achieve high selectivity towards propylene because of facile desorption of the product. Furthermore, upon oxidation the Pt–Sn species readily revert to the atomically dispersed species on CeO<sub>2</sub>, making Pt–Sn/CeO<sub>2</sub> a fully regenerable catalyst. Ga was also used to modify the coordination structure of the Pt<sub>1</sub>/CeO<sub>2</sub> SAC in CO oxidation (Feng et al., 2018). Significantly, the stability of Pt single atoms anchored on the Ga site was enhanced compared with those on the bare ceria surface.

The other approach used to modify the coordination structure of Pt<sub>1</sub>/CeO<sub>2</sub> SAC is to treat the Pt<sub>1</sub>/CeO<sub>2</sub> SAC in a high-temperature steam (750°C) (Nie et al., 2017). The results demonstrated that the Pt<sub>1</sub>/CeO<sub>2</sub> was activated *via* steam treatment (at 750°C) to simultaneously achieve the low-temperature CO oxidation activity while keeping Pt atomically dispersed after the high-temperature steam treatment. The treated Pt<sub>1</sub>/CeO<sub>2</sub> SAC reached 100% CO conversion at 150°C, as compared to the inactive Pt<sub>1</sub>/CeO<sub>2</sub> SAC at the same temperature in CO oxidation. A new type of active site is created on CeO<sub>2</sub> in the vicinity of Pt<sup>2+</sup>, where Pt cation is coordinated with O<sub>lattice</sub>H to provide the improved reactivity in the oxidations of CO, NO and propane. These active sites are stable up to 800°C in oxidizing environments.

## Engineering catalyst support *via* TSSAC

Metal single atoms in SAC can be used to engineer the properties of the support surface. Relying on the trapping of Pt single atoms on the CeO<sub>2</sub> surface in thermally stable form, the nature of the deposited metal/metal oxide clusters can be modified (Xiong et al., 2021b). In particular, two-dimensional (2D) rafts of PtO<sub>x</sub> on the engineered catalyst support are formed by this approach (Figures 2A–C), as opposed to three-dimensional (3D) metal oxide nanoparticles on conventional supports. This 2D rafts of PtO<sub>x</sub> showed much higher reactivity than a Pt<sub>1</sub>/CeO<sub>2</sub> SAC and the 3D Pt/CeO<sub>2</sub> catalyst in CO oxidation (Xiong et al., 2021b). Adopting this approach for the synthesis of bimetallic catalysts *via* addition of Pd to the atom-trapped catalyst support (Pt@CeO<sub>2</sub>), the resulting Pd/Pt@CeO<sub>2</sub> catalyst (Figures 2D–F) provides three times higher reaction rate and improved water tolerance than an impregnated PtPd/CeO<sub>2</sub> catalyst during methane oxidation. The improved performance is attributed to the 2D morphology of the PdO<sub>x</sub> phase presented on the atom-trapped Pt@CeO<sub>2</sub> support. The results showed that modifying the support by trapping single atoms provided an important addition to the toolkit of catalyst designers to engineer catalyst supports for controlling the nucleation and growth of metal and metal oxide clusters in heterogeneous catalysts.



## Summary and perspective

To summarize, single-atom catalysts (SACs) are promising because of their maximum atom efficiency and unique property in catalysis. However, recent studies demonstrate that metal SACs tend to sinter to form clusters under reaction conditions, especially at elevated temperatures. Considering many catalytic reactions such as dehydrogenation, syngas chemistry and reforming, are carried out at temperatures of >200°C, it is therefore important to develop approaches to prepare thermally stable and active SACs for their future commercialization. In this perspective, the preparation and catalytic application of thermally-stable metal SACs are summarized, mainly on Pt-based SACs. Via the reverse-Ostwald ripening mechanism, approaches including atom trapping and vapor-phase self-assembly were applied to prepare stable and active Pt SACs. The locations of the Pt single atoms on the supports (CeO<sub>2</sub> and MgAl<sub>2</sub>O<sub>4</sub>) were also corroborated using both experiments and simulations, and the properties of the Pt SACs were well demonstrated by advanced

techniques such as XAS, LEIS, AC-STEM and CO-DRIFTS. In addition, the coordination environment of the metal SACs was tailored by different approaches such as adding the second metal or treating SAC in high-temperature steam. We also discuss the engineering of the catalyst support by atom-trapped single atoms, which modified the morphology of the deposited metal/metal oxide to achieve unique catalytic performances in catalysis.

Metal SAC used in practical catalytic reactions must be thermally stable under realistic conditions and therefore this area will unambiguously attract continuing attention in future. Focusing on thermally stable and active metal SACs, we would like to provide our insights on the future studies in this field. Firstly, metal SAC that is stable under oxidizing conditions may be not stable under reducing conditions, especially at elevated temperatures. Future work needs to perform to improve the catalyst stability under reducing conditions at elevated temperatures. Secondly, not all stable metal SACs are active or selective in catalysis. Therefore, the coordination structure of the metal single atom needs to be tailored to improve the activity/

selectivity. Although there are a couple of strategies reported to adjust the coordination structure of metal center, a general approach applicable to other metal SACs is lacking. Thirdly, photocatalysis and electrocatalysis have attracted the ever-increasing interests in catalysis because these energies are renewable, and the use of these energies have the potent to go net zero. Since noble metal catalysts are widely used in these processes (Zhang et al., 2022b), photo-/electro- stability of metal single-atom catalysts suitable for both photocatalysis and electrocatalysis is therefore worthy investigating. Finally, current studies on metal SACs are mostly tested in several model reactions, including CO oxidation, semi-alkyne hydrogenation and the preferential oxidation of CO (PROX). The temperatures in these reactions are relatively low. Therefore, the stability of metal SACs must be examined in other conventional reactions such as syngas conversion and reforming, where a couple of recent studies have pioneered in this field recently (Akri et al., 2019; Tang et al., 2019).

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

SL wrote the first draft of the manuscript. JL participated in literature collection and collation. HX supervised the project, revised the manuscript and provided the financial supports. All authors agree to be accountable for the content of the work.

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## Conflict of interest

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The reviewer SL declared a past co-authorship with the author HX to the handling editor.

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# Synergetic Effect of Mo, Mg-Modified Sn- $\beta$ Over Moderate-Temperature Conversion of Hexose to Alkyl Lactate

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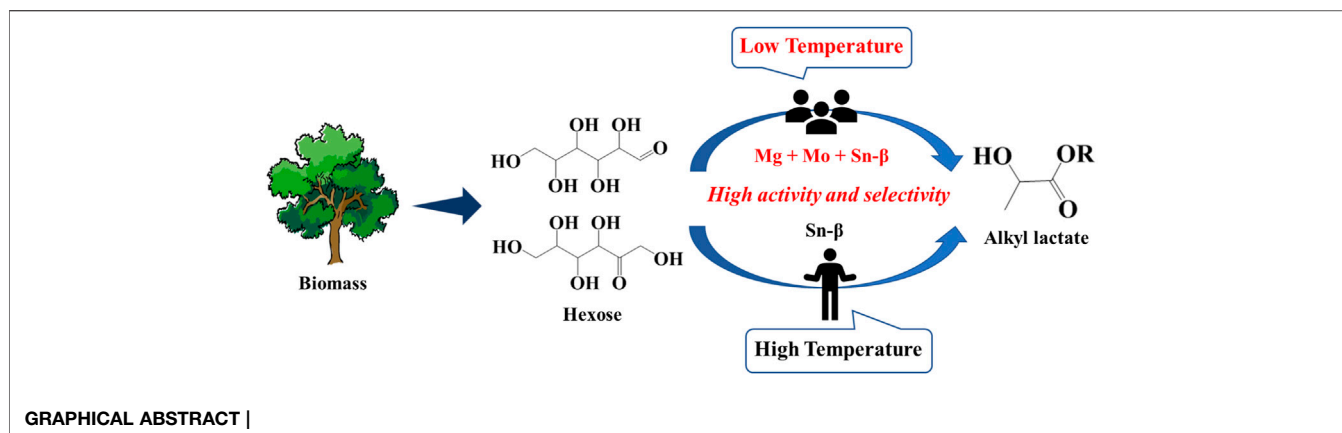
The thermocatalytic conversion of hexose into valuable chemicals such as methyl lactate under mild conditions is very appealing. Here, we report that Mo, Mg co-modified Sn- $\beta$  catalyst can effectively catalyze the transformation of glucose and fructose into alkyl lactate at moderate temperatures. A maximum yield of around 35% of methyl lactate was achieved from the conversion of glucose in methanol at 100°C over Sn- $\beta$  catalyst modified with 3 wt% Mo and 0.5 wt% Mg. However, up to 82.8% yield of ethyl lactate was obtained in the case of fructose in ethanol upon the same catalytic condition, suggesting a significant solvent effect. The Mo species plays a key role to enable the retro-aldol condensation of fructose, in which the competing side reactions are significantly suppressed with the assistance of neighboring Mg species probably through a synergetic effect of Lewis acid-base.

**Keywords:** alkaline-earth metal, alkyl lactate, glucose, modified Sn- $\beta$ , molybdenum, retro-aldol condensation

## 1 INTRODUCTION

As an abundant, renewable, and nonedible organic carbon resource, lignocellulose biomass is expected to be a promising alternative to fossil resources (Corma et al., 2007; Ong et al., 2019). The carbohydrates, rich in this so-called “second generation” biomass, motivate researchers to devise efficient systems to massively convert them into valuable chemicals, as an appealing way of valorization (Chhedha et al., 2007; Hu et al., 2012; Santhanaraj et al., 2014; Gérardy et al., 2020). Among the chemicals, lactic acid/alkyl lactate has been receiving extensive attention, since it can also serve as the feedstock for biodegradable polyester production (Dusselier and Sels, 2014; Mäki-Arvela et al., 2014), other than the applications in food, pharmaceuticals, and cosmetic industries (Datta and Henry, 2006; Eş et al., 2018). As the main approach to current lactic acid production, the fermentation of glucose suffers from some shortcomings, such as low overall productivity, and a complex operation processes (Wasewar et al., 2004; Dong et al., 2016). Alternatively, considerable efforts have been devoted to the development of thermocatalytic processes for lactic acid/alkyl lactate production from carbohydrates over heterogeneous catalysts (Choudhary et al., 2015; Yang et al., 2016; Pang et al., 2017; Wattanapaphawong et al., 2017; Duan et al., 2022).

Amongst the reported heterogeneous catalyst, Sn-containing zeolite is a class of representative solid Lewis acid catalysts (Holm et al., 2010; de Clippel et al., 2012; Holm et al., 2012; Tang et al., 2020).  $\beta$  zeolite with Sn incorporation into the framework as strong Lewis acid sites has been demonstrated to possess good catalytic performance in glucose isomerization to fructose and retro-aldol condensation of C6 carbohydrates at high reaction temperatures (Holm et al., 2010). These two reactions are the key steps involved in the transformation of glucose into alkyl lactate. It is the isolated Sn species in the framework with unsaturated tetracoordinated coordination that exhibit excellent Lewis acidity responsible for the conversion (Li et al., 2011). In addition, our previous work found that the ion exchange with alkaline earth cations ( $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ) appears to improve the retro-



aldol condensation capacity of Sn- $\beta$ . It was speculated that the  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$  neutralized the Brønsted acid sites, thereby mitigating the formation of byproducts. In the meantime, the  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$  can stabilize the deprotonated alkoxide formed after the proton of the -OH group attached to the C3 of fructose is abstracted by the basic  $\text{O}^{2-}$  site of Si-O-M ( $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$ ) (Hu et al., 2020).

Notably, the aforementioned conversions proceeded at high temperatures ( $>160^\circ\text{C}$ ), as the retro-aldol condensation of glucose is insufficient over Sn- $\beta$  catalysts at moderate temperature. Higher reaction temperatures have an adverse effect on the catalyst durability and need higher requirements for production equipment. Hence, the development of active catalysts is highly desired, in order to achieve a good yield of lactic acid/alkyl lactate at moderate temperatures. In this respect, the pioneering work conducted by Orazov and Davis, 2015, revealed that the hexoses can be converted to ethyl lactate over a mixed catalyst consisting of  $\text{MoO}_3$  and Sn-doping zeolite at around  $100^\circ\text{C}$ , achieving a yield of around 70% thanks to the catalytic ability of  $\text{MoO}_3$  toward the retro-aldol reactions. Other oxides like  $\text{WO}_3$  as the co-catalyst mixed with Sn- $\beta$  were also screened in fructose conversion to methyl lactate, only resulting in an 18% yield at  $120^\circ\text{C}$  after 5 h of reaction time (Yang et al., 2019). **Supplementary Table S1** presents the catalytic activity of Sn- $\beta$  and Mo- $\beta$  in the present work with the reported literature.

Enlightened by the aforementioned research, herein, we report the Mo, Mg-modified Sn- $\beta$  catalyst for moderate-temperature conversion of hexose to alkyl lactate. The Mo species loaded on Sn- $\beta$  zeolite enabled the conversion to proceed at  $100^\circ\text{C}$ , thanks to its strong activity for retro-aldol reaction. Moreover, the addition of MgO can tune the Brønsted acid sites and facilitate the rate-determining retro-aldol reaction, the synergetic effects of which with Mo and Sn sites enable the side-reactions limited to a very low extent and thus achieve a superior yield of alkyl lactate.

## 2 MATERIALS AND METHODS

### 2.1 Materials

Glucose, fructose, mannose, and 5-hydroxymethyl furfural were obtained from Aladdin Reagent Co., Ltd., (Shanghai, China). Tin

(II) acetate (99%), methyl lactate (99%), Pyruvic aldehyde dimethyl acetal, magnesium nitrate (99.0%), commercial H- $\beta$  zeolite (Si/Al = 12.5),  $\text{HNO}_3$  (65%–68%) were supplied by Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China).  $\text{N}_2$  (99.999%) was purchased from Linde Industrial Gases.

### 2.2 Catalyst Preparation

#### 2.2.1 Synthesis of Sn- $\beta$

The Sn- $\beta$  zeolite was prepared by a post-treatment method reported by Hammond et al. (Hammond et al., 2012; Hammond et al., 2015). Specifically, the H- $\beta$  was treated in concentrated  $\text{HNO}_3$  (65%–68%,  $20\text{ mL g}^{-1}$  zeolite) at 333 K for 20 h to remove Al, followed by filtration, being washed thoroughly and dried at  $120^\circ\text{C}$  overnight. The resulting solid was denoted as DeAl- $\beta$ . The solid-state ion exchange method was employed to incorporate Sn into DeAl- $\beta$ . An appropriate amount of tin (II) acetate was mixed with DeAl- $\beta$  powder and the mixture was ground manually, and subsequently calcinated at  $550^\circ\text{C}$  ( $10^\circ\text{C/min}$ ) in a  $\text{N}_2$  flow for 6 h and airflow for another 3 h. The obtained sample was denoted as Sn- $\beta$ .

#### 2.2.2 Synthesis of Mo-Modified Sn- $\beta$ , Mg-Modified Sn- $\beta$ and Mo, Mg-Modified Sn- $\beta$

Both Mo-modified Sn- $\beta$  and Mo, Mg-modified Sn- $\beta$  were prepared by the incipient wetness impregnation method. Briefly, 1 g of Sn- $\beta$  was added with ammonium molybdate solution under vigorous stirring. Then, the mixture was placed for 6 h, followed by drying overnight at  $120^\circ\text{C}$  and calcinated at  $550^\circ\text{C}$  for 5 h. The resulted solid was referred to Mo modified Sn- $\beta$  and denoted as Mo-Sn- $\beta$ . The same procedure mentioned earlier was employed to prepare the Mg-modified Sn- $\beta$  catalyst, except replacing the ammonium molybdate with magnesium nitrate. With the Mo-Sn- $\beta$  as the support, the same procedure above was employed to prepare the Mo, Mg-modified Sn- $\beta$  catalyst, except replacing the ammonium molybdate with magnesium nitrate.

#### 2.2.3 Synthesis of Mo- $\beta$ and Mg-Modified Mo- $\beta$

Both the Mo- $\beta$  and Mg-modified Mo- $\beta$  were prepared by the incipient wetness impregnation method. Briefly, 1 g of DeAl- $\beta$  was added with ammonium molybdate solution under vigorous

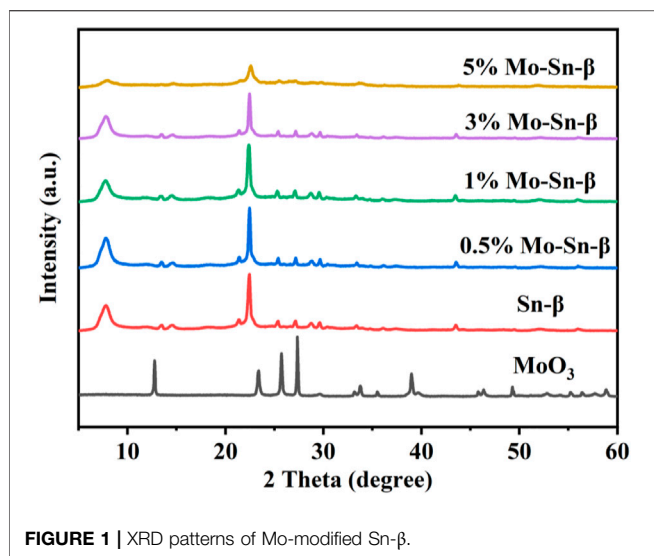


FIGURE 1 | XRD patterns of Mo-modified Sn- $\beta$ .

stirring. Then, the mixture was placed for 6 h, followed by drying overnight at 120°C and calcinated at 550°C in airflow for 6 h. The resulted solid was referred to as Mo- $\beta$  and denoted as x% Mo- $\beta$ . With the Mo- $\beta$  as the support, the same procedure as before was employed to prepare the Mg-modified Mo- $\beta$  catalyst, except replacing the ammonium molybdate with magnesium nitrate.

## 2.3 Catalyst Characterization

X-ray powder diffraction (XRD) patterns were recorded on Rigaku Ultima IV X-ray diffractometer with Cu K $\alpha$  radiation source (40 kV and 30 mA) from 5° to 60° and a scan speed of 10°/min. The adsorption-desorption isotherms of N<sub>2</sub> were obtained on a Micromeritics ASAP 2020 M instrument. The surface area was calculated via the Brunauer–Emmett–Teller (BET) equation, and the volume of pores was acquired *via* the single point method. X-ray photoelectron spectra (XPS) were recorded with a Quantum 2,000 Scanning ESCA Microprob instrument (Physical Electronics) using Al K $\alpha$  radiation. The binding energy was calibrated by using the C1s photoelectron peak at 150 eV as a reference. Quantification of Mo and Mg elements was conducted using a Bruker S8 Tiger X-ray fluorescence (XRF) spectrometer. Fourier transform infrared (FTIR) spectra were collected on a Nicolet 6,700 instrument equipped with an MCT detector at a spectral resolution of 4 cm<sup>-1</sup>. A self-supporting pellet made of the sample was placed in the flow cell and evacuated under reduced pressure at 623 K for 1 h. After cooling to 373 K, the samples were saturated with pyridine vapor and then evacuated. Subsequently, spectra were recorded at the same temperature in the 4,000–650 cm<sup>-1</sup> range by using the coaddition of 64 scans.

## 2.4 Catalytic Evaluation and Product Analysis

The reactions were conducted in a batch-type Teflon-lined stainless steel autoclave reactor with an inner volume of 50 ml. Typically, the glucose and catalyst were added to 20 ml of methanol. Then the

TABLE 1 | Physicochemical properties of Mo-modified Sn- $\beta$ .

| Catalyst            | $S_{\text{BET}}$ (m <sup>2</sup> g <sup>-1</sup> ) <sup>a</sup> | $V$ (cm <sup>3</sup> g <sup>-1</sup> ) <sup>a</sup> | Mo content (wt%) <sup>b</sup> |
|---------------------|---|---|-------------------------------|
| 0.5% Mo-Sn- $\beta$ | 423   | 0.41  | 0.6                           |
| 1% Mo-Sn- $\beta$   | 411   | 0.39  | 0.9                           |
| 3% Mo-Sn- $\beta$   | 420   | 0.35  | 2.8                           |
| 5% Mo-Sn- $\beta$   | 417   | 0.29  | 5.1                           |

<sup>a</sup> $S_{\text{BET}}$  = Brunauer–Emmett–Teller surface area,  $V$  = pore volume.

<sup>b</sup>Determined by XRF.

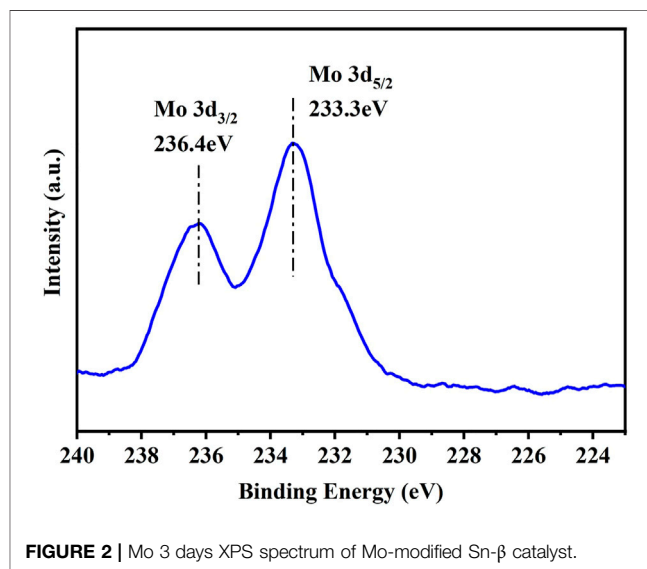


FIGURE 2 | Mo 3d XPS spectrum of Mo-modified Sn- $\beta$  catalyst.

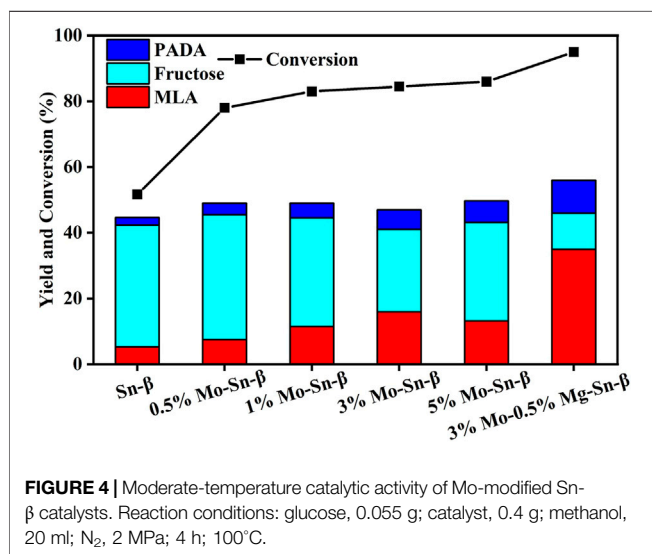
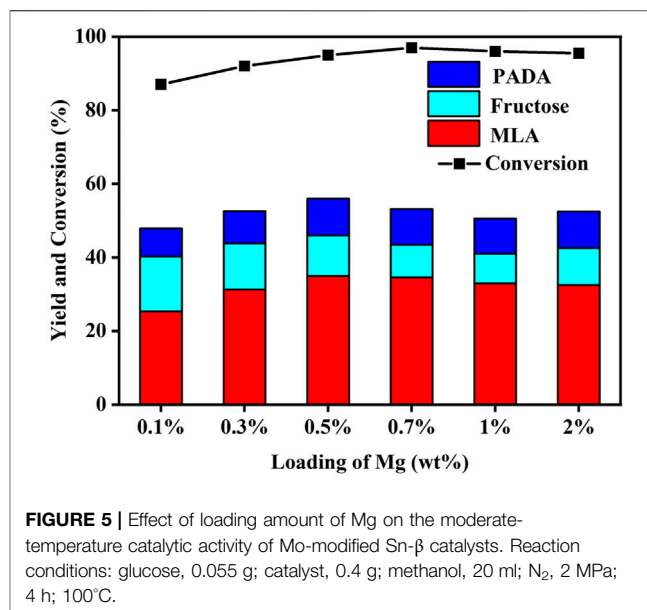
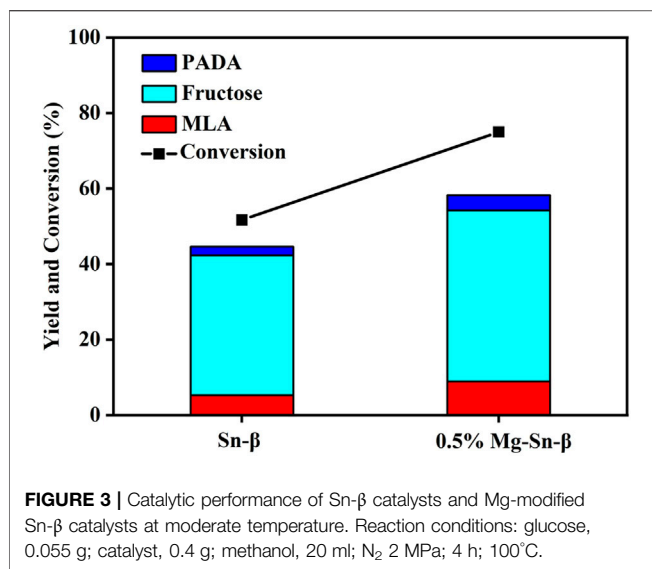
autoclave was sealed, purged with N<sub>2</sub>, and pressurized with N<sub>2</sub> to 2 MPa. Subsequently, the system was heated up to 373 K. After continuous magnetic stirring (800 rpm) for a given time, the autoclave was cooled to room temperature in cold water, and the liquid was obtained after filtration and analyzed by GC and HPLC. Sample products were first qualitatively analyzed using an Agilent GC-MS equipped with a 1701-ms column. The crude product was dissolved in a silylating agent (bis-(trimethylsilyl) trifluoroacetamide +1% trimethylchlorosilane). This solution was maintained at 65°C for 2 h to ensure the complete silylation which was subsequently injected into the GC-MS. Some peaks in Agilent HPLC were further identified using LC-MS. Quantitative analysis was then performed on an Agilent HPLC system equipped with RI and UV-Vis detectors and a Bio-Rad Aminex HPX-87H ion exclusion column (300 × 7.8 mm), using 0.005 M H<sub>2</sub>SO<sub>4</sub> as the mobile phase at a flow rate of 0.6 ml min<sup>-1</sup>. The column temperature and the detector were both set at 50°C. The number of varied products was determined using calibration curves generated with standard solutions.

## 3 RESULTS AND DISCUSSION

### 3.1 Catalyst Characterization

#### 3.1.1 X-ray Powder Diffraction

The XRD diffraction patterns for the prepared Sn- $\beta$  modified by different contents of Mo are displayed in Figure 1. The peaks



appearing at 27° and 34°, corresponding to (021) and (111) of MoO<sub>3</sub> respectively, were observed for 5 wt% loading content of Mo. This is probably caused by the aggregation of excessive Mo on the surface of the catalyst. For the samples in which the loading contents of Mo were below 5 wt%, the peaks attributed to the MoO<sub>3</sub> phase were not observable, indicating the good dispersion of Mo species on the surface.

### 3.1.2 Brunauer-Emmett-Teller

Brunauer-Emmett-Teller (BET) gas sorptometry measurements were conducted to examine the pore nature of Mo-modified Sn- $\beta$  catalysts. As listed in Table 1, at Mo contents below 5 wt%, the BET surface area of Mo-modified Sn- $\beta$  catalysts did not vary significantly, with values in the range of 413–425 m<sup>2</sup> g<sup>-1</sup>. In contrast, the pore volume decreased by approximately 25% as the Mo content

increased from 0 to 5 wt%, which may result from the blocking of pores by the excessive Mo species.

### 3.1.3 X-ray Photoelectron Spectra

X-ray photoelectronspectroscopy (XPS) was used to characterize the Mo oxidation state. The Mo 3d spectrum exhibits two peaks, located at 236.5 and 233.3 eV respectively, which can be assigned to Mo<sup>6+</sup> species, as shown in Figure 2. Previous work has verified that Mo<sup>6+</sup> species possess better catalytic performance in the retro-aldol reaction of fructose than Mo<sup>4+</sup> (Orazov and Davis, 2015).

## 3.2 Catalytic Performances

The initial catalytic tests were performed over Sn- $\beta$  catalysts and Mg-modified counterparts at moderate temperatures. As present in Figure 3, in the absence of Mg modification, fructose was the primary product and accompanied by the production of a small amount of PADA (Pyruvic aldehyde dimethyl acetal), and the yields of methyl lactate were below 10% at 100°C after 4 h over Sn- $\beta$  catalysts. Comparatively, the Mg-modified Sn- $\beta$  catalysts promoted the glucose conversion remarkably but still failed to increase the selectivity toward methyl lactate remarkably. The main product was also fructose, implying that the Sn sites alone can catalyze the glucose isomerization to fructose, but exhibited a rather limited catalytic activity for the retro-aldol reaction of fructose at 100°C.

The influence of Mo modification on the catalytic performance of the Sn- $\beta$  catalyst is shown in Figure 4. Without Mo modification, merely a 5% yield of methyl lactate with a glucose conversion of 51.7% was achieved. By contrast, the glucose conversion was increased by above 30% over Sn- $\beta$  loaded with Mo catalysts, accompanied by promotion in methyl lactate (MLA) yield to a significant extent. The MLA yield first increased and then decreased with the loading content of Mo, reaching a maximum of 16% at 3 wt% of loading content. The 3 wt% Mo



**TABLE 2** | Conversion of different substrates catalyzed by modified or unmodified Sn- $\beta$  catalysts.

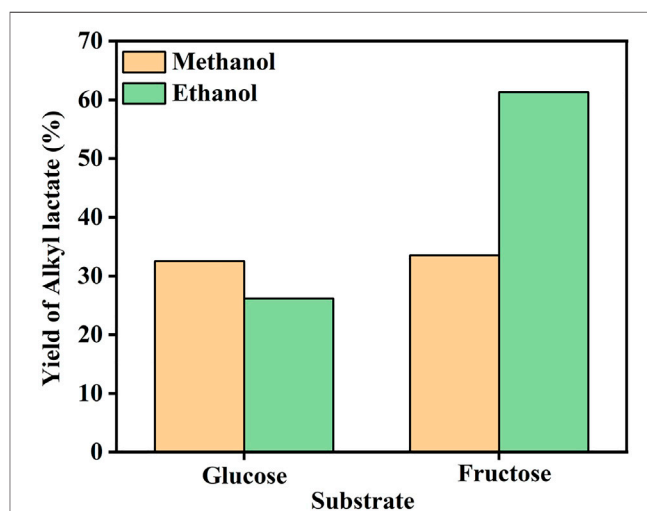
| Catalyst          | Substrate | Conv. (%) | Yield (%) |          |         |      |
|-------------------|-----------|-----------|-----------|----------|---------|------|
|                   |           |           | MLA       | Fructose | Mannose | PADA |
| 3% Mo- $\beta$    | Glucose   | 40        | —         | 7        | 13      | 6    |
| Sn- $\beta$       | Glucose   | 36        | 2         | 25       | —       | 2    |
| 3% Mo-Sn- $\beta$ | Glucose   | 73        | 6         | 30       | 12      | 4    |
|                   |           |           | MLA       | Glucose  | Mannose | PADA |
| 3% Mo- $\beta$    | Fructose  | 29        | 1         | —        | —       | 8    |
| Sn- $\beta$       | Fructose  | 16        | 3         | 2        | —       | 2    |
| 3% Mo-Sn- $\beta$ | Fructose  | 33        | 7         | 1        | —       | 3    |
| MLA               |           |           |           |          |         |      |
| 3% Mo- $\beta$ -  | DHA       | 80        | 2         |          |         |      |
| Sn- $\beta$       | DHA       | 95        | 65        |          |         |      |
| 3% Mo-Sn- $\beta$ | DHA       | 100       | 47        |          |         |      |

Reaction conditions: substrate, 0.055 g; catalyst, 0.4 g; methanol, 20 ml;  $N_2$ , 2 MPa; 1 h; 100°C.

enabled the MLA selectivity to increase from 10.3% to 18.9%, revealing that  $Mo^{6+}$  species promoted the retro-aldol condensation of fructose to C3 intermediates for the formation of MLA. However, Mo content exceeding 3 wt% resulted in a decrease in both MLA yield and selectivity, which is probably because the excessive Mo species blocked the pores of Sn- $\beta$  and thus hindered the access of fructose to the Sn sites as reflected in **Table 1**. Surprisingly, 36.8% of selectivity and 35% yield of MLA were achieved over Mg, Mo co-modified Sn- $\beta$  catalyst, outperforming the sole Mg or Mo modified counterparts. This implied that a synergistic effect exists between Mg and Mo promoters.

Given that the modification of Mg is capable of facilitating the MLA formation, the effect of Mg content on the MLA yield was further investigated. As present in **Figure 5**, with Mo content fixed at 3 wt% and Mg content range of 0.1%–2%, the maximum yield of MLA was attained at 0.5 wt% Mg. The higher content of Mg cannot further contribute to the MLA formation.

The catalytic performances at various reaction temperatures were also studied, and the results are shown in **Supplementary Figure S1**. The MLA yield was increased by elevating the reaction temperature in the range of 100–160°C over all the Sn- $\beta$  catalysts, regardless of the modification. The highest MLA yield was achieved at 160°C over 3% Mo-0.5% Mg-Sn- $\beta$  catalyst. This suggests that the kinetic-relevant retro-aldol condensation step is further favored at a higher temperature, against the competing side reactions. However, the structure of zeolite would be undermined after such severe reaction conditions, which limits its practical application (Hu et al., 2020). We did perform the recycling test to evaluate the durability of the catalyst at 100°C. After each run, the spent catalyst was recovered from the reaction mixture, which was subsequently washed with deionized water, dried overnight, and calcinated at 550°C for 5 h in a muffle furnace. Compared to the fresh catalyst, the spent catalyst after four cycles showed a slight loss of activity. This result suggests that the catalyst is relatively stable under moderate temperature conditions (**Supplementary Figure S2**).



**FIGURE 6** | Effect of solvent on the alkyl lactate yield over 3% Mo-0.5% Mg-Sn- $\beta$  catalyst. Reaction conditions: substrate, 0.15 g; 3% Mo-0.5% Mg-Sn- $\beta$ , 0.15 g, solvent, 20 ml, 100°C,  $N_2$ , 2 MPa, 20 h.

To understand the catalytic function of distinct function sites in-depth, we conducted the conversion of different substrates in the presence of various catalysts within a short reaction time. As depicted in **Table 2**, upon glucose as the substrate, no MLA formed over the 3% Mo- $\beta$  catalyst, indicating that the Mo species alone is unable to catalyze the glucose conversion to MLA. A 25% yield of fructose, accompanied by a 2% yield of MLA, was obtained over Sn- $\beta$  suggests that the Sn species is capable of catalyzing the glucose isomerization but less for retro-aldol condensation of fructose. The latter is the kinetic-relevant step in MLA formation. The co-existence of Sn and Mo species enabled an increase in both glucose conversion and MLA yield, indicating that both species are responsible for glucose isomerization. Regarding the fructose substrate, a higher fructose conversion was observed over 3% Mo-Sn- $\beta$  catalyst, compared to that over Sn- $\beta$  catalyst. The PADA also formed during the

**TABLE 3 |** Catalytic performance of a physical mixture of modified  $\beta$  catalysts at moderate temperature.

| Entry | Catalyst                             | Yield of ELA (%) |
|-------|--------------------------------------|------------------|
| 1     | 0.5% Mg- $\beta$ + Sn- $\beta$       | 5                |
| 2     | 0.5% Mg- $\beta$ + 3% Mo-Sn- $\beta$ | 54               |
| 3     | Sn- $\beta$ + 3% Mo- $\beta$         | 52               |
| 4     | 0.5% Mg-Sn- $\beta$ + 3% Mo- $\beta$ | 60               |
| 5     | Sn- $\beta$ + 0.5% Mg-3% Mo- $\beta$ | 70               |

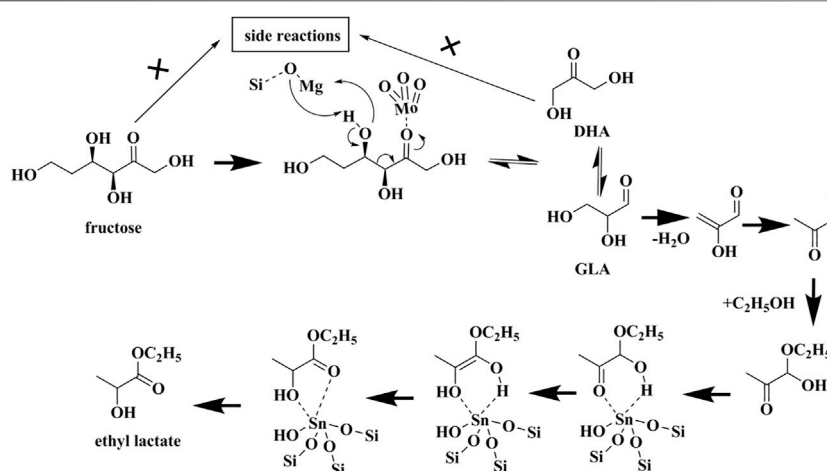
Reaction conditions: substrate, 0.15 g fructose; catalyst, (0.15 g + 0.15 g); ethanol, 20 ml;  $N_2$ , 2 MPa, 100°C; 20 h.

reaction. These results clearly suggest that Mo species can effectively catalyze the retro-aldol condensation. Nevertheless, without the assistance of Sn sites, the MLA can hardly form. This is because the C3 intermediates (1,3-dihydroxyacetone and pyruvaldehyde) generated through the retro-aldol reaction of fructose still need to undergo a 1,2-H shift reaction prior to MLA formation, which has to be catalyzed by the isolated Sn sites featured of strong Lewis acidic property. Unfortunately, the Mo species does not possess the corresponding catalytic capacity, thus the employment of which alone can hardly produce any MLA. This speculation was further confirmed by the results using 1,3-dihydroxyacetone (DHA) as the substrate. A distinct difference exists in MLA yield and selectivity provided by Sn- $\beta$  catalyst and 3% Mo- $\beta$  catalyst, respectively. The former catalyst afforded a selectivity of 68.4% toward MLA, while merely 2.5% was obtained over the latter catalyst. The addition of 3% Mo into Sn- $\beta$  even caused a notable decrease in MLA yield, further confirming the argument made earlier.

It should be noted that the amount of substrate used in the tests above is quite low, which may cause concern over its potential practical application. Hence, we also performed a series of tests with much larger ratios of substrate/catalyst amount. The results in **Supplementary Figure S3** show that comparable MLA yields as earlier were obtained, even with the glucose amount increased to 0.25 g and the catalyst reduced to 0.16 g.

It has been reported that the use of varied solvents, such as methanol and ethanol, can make quite a notable difference over the yield of the desired products (Orazov and Davis, 2015). In our work, the solvents appeared not to affect the formation of alkyl lactate significantly upon glucose as the substrate but did cause a drastic change in the case of fructose, as exhibited in **Figure 6**. The yield of alkyl lactate is only about 25% in methanol solvent, while reached up to 61.3% in ethanol solution. Further experiments of fructose conversion over Sn- $\beta$  and 3% Mo- $\beta$  catalyst were conducted, respectively. The results in **Supplementary Figure S5** show that in the presence of Sn species alone, the fructose conversions in methanol and ethanol were quite similar, while Mo species alone provided a much higher conversion of fructose in ethanol than that in methanol. It was then speculated that the Mo sites were more prone to be affected by the solvent, rather than the Sn sites. Mo species exhibited a lower catalytic performance in retro-aldol condensation of fructose in methanol. It may be attributed to the stronger binding of Mo sites with methanol, hindering the absorption and turnover of fructose on Mo sites.

The aforementioned results demonstrate the indispensable role of Mo and Mg over the modified Sn- $\beta$  catalysts toward the moderate temperature conversion of C6 monosaccharide. Further experiments show that the physical mixture of 0.5% Mg- $\beta$  and Sn- $\beta$  only resulted in about 5% ELA, suggesting neither of them can effectively catalyze the retro-aldol condensation at the moderate temperature. Moreover, the combination of 0.5% Mg- $\beta$  and 3% Mo-Sn- $\beta$  could achieve an ELA yield of 54%, which is less than that (61.3%) of 0.3% Mo-0.5% Mg-Sn- $\beta$ . This demonstrated that the MgO species should stay in close proximity to Mo or Sn sites to have a synergy effect and enhance the yield of ELA. A question then arises whether the Mg species have a synergy effect mainly with Mo or Sn sites in the working catalyst. Hence, we prepared Sn- $\beta$ , 3% Mo- $\beta$  and their Mg-modified counterparts to shed light on the intrinsic mechanism. Indeed, the physical mixture of Sn- $\beta$  and 3% Mo- $\beta$  in ethanol results in a relatively

**SCHEME 1 |** The plausible mechanism of fructose conversion to ethyl lactate over Mo, Mg-co-modified Sn- $\beta$  catalyst.

lower ELA yield at 52%, thanks to the absence of Mg species. The combination of 0.5% Mg-Sn- $\beta$  and 3% Mo- $\beta$  can improve the yield of ELA to 60%, comparable to that of 0.3% Mo-0.5% Mg-Sn- $\beta$ . Thanks to the lack of catalytic ability for the retro-aldol condensation reaction, the addition of basic MgO in Sn- $\beta$  could probably tune the Brønsted acid sites near the Sn sites, thus mitigating the side-reactions from fructose and intermediate products such as DHA/GLA during the conversion (Hu et al., 2020). However, the employment of Sn- $\beta$  and 0.5% Mg-3% Mo- $\beta$  can achieve the highest yield up to 70%, which can be further improved to nearly 82.8% with the extended reaction time (**Supplementary Figure S4**). This result is superior to most of the prior works reported in the literature, as compared in **Supplementary Table S1**. It appears that MgO species can cooperate more effectively with Mo-site during the rate-determining retro-aldol reaction step, thus significantly mitigating the side reactions. The weakened Brønsted acid sites near the Mo sites should play a role to abate the production of side products, similar to that of Mg-Sn- $\beta$ . Pyridine-probed FTIR analysis could readily distinguish the Brønsted and Lewis acid sites. As shown in **Supplementary Figure S6**, the strength of Brønsted acid was weakened after adding Mg to the 3% Mo-Sn- $\beta$  catalyst. Our earlier work shows that MgO species attached to the zeolite framework can have a synergy effect with the Lewis Sn site to directly enhance the retro-aldol condensation at a more severe temperature like 160°C. Through functioning as Lewis acid-base pair, MgO can facilitate the abstract of the proton from the OH groups of fructose and stabilize the deprotonated alkoxide that is subsequently subjected to retro-aldol condensation catalyzed by the strong Lewis Sn sites (Hu et al., 2020). It is speculated that a similar mechanism can take place between MgO and the nearby Mo sites in this work, where fructose can be readily deprotonated and stabilized for the subsequent retro-aldol condensation. Hence, the side reactions were further restricted to a very limited extent, leading to a much higher yield of ELA than the other cases listed in **Table 3**.

Based on the results above, a mechanism is proposed as **Scheme 1** below. The ketonic oxygen of fructose adsorbs onto the Mo sites first, followed by the occurrence of retro-aldol condensation to generate 1,3-dihydroxyacetone (DHA) and glyceraldehyde (GLA). In this process, the framework O atom of Si-O-Mg, as the Lewis base site, assists to abstract the H atom of -OH attached to the C3 of fructose, while Mg<sup>2+</sup> is the Lewis acidic site can stabilize the deprotonated alkoxide. A keto-enol tautomerization exists between DHA and GLA. The formed GLA undergoes dehydration and addition reaction with ethanol molecule, generating the hemiacetal compound 1. Subsequently, compound 1 is transformed into ethyl lactate *via* 1,2-H shift as

catalyzed by Sn sites. The side reactions are significantly suppressed during the whole process, thanks to the addition of MgO species.

## 4 CONCLUSION

The Mo, Mg co-modified Sn- $\beta$  catalysts are capable of effectively catalyzing the hexose conversion to alkyl lactate at moderate temperature. Especially, an 82.8% yield of ethyl lactate can be achieved from fructose with a combination of 0.5% Mg-3% Mo- $\beta$  and Sn- $\beta$  at 100°C. The framework Sn sites are mainly responsible for the isomerization of glucose and 1,2-H shift of formed trioses, while the Mo species mainly account for the C3-C4 bond cleavage of fructose to generate 1,3-dihydroxyacetone and glyceraldehyde (trioses) *via* retro-aldol condensation. The presence of MgO in close proximity to Mo sites can tune the nearby Brønsted acid sites and facilitate the retro-aldol condensation, thus limiting the side reactions and greatly enhancing the ELA yield.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

YH conducted the catalyst preparation and wrote the manuscript. GZ and LL performed the activity test and characterization. JL, SW, HX, and SW analyzed the catalytic results. SW conceived the idea for the project and directed the research. All authors contributed to manuscript revision, read, and approved the submitted version.

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# CO<sub>2</sub> Hydrogenation on Metal-Organic Frameworks-Based Catalysts: A Mini Review

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Conversion of carbon dioxide (CO<sub>2</sub>) into value-added fuels and chemicals can not only reduce the emission amount of CO<sub>2</sub> in the atmosphere and alleviate the greenhouse effect but also realize carbon recycling. Through hydrogenation with renewable hydrogen (H<sub>2</sub>), CO<sub>2</sub> can be transformed into various hydrocarbons and oxygenates, including methanol, ethanol, methane and light olefins, etc. Recently, metal-organic frameworks (MOFs) have attracted extensive attention in the fields of adsorption, gas separation, and catalysis due to their high surface area, abundant metal sites, and tunable metal-support interface interaction. In CO<sub>2</sub> hydrogenation, MOFs are regarded as important supports or sacrificed precursors for the preparation of high-efficient catalysts, which can uniformly disperse metal nanoparticles (NPs) and enhance the interaction between metal and support to prevent sintering and aggregation of active metal species. This work summarizes the recent process on hydrogenation of CO<sub>2</sub> to methanol, methane and other C<sub>2+</sub> products over various MOFs-based catalysts, and it will provide some clues for the design of MOFs materials in energy-efficient conversion and utilization.

**Keywords:** MOFs, CO<sub>2</sub> hydrogenation, methanol, methane, C<sub>2+</sub> Products

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## INTRODUCTION

Due to the rapid consumption of fossil resources, e.g., coal, petroleum, and natural gas, a large number of CO<sub>2</sub> have been released into the atmosphere (Song, 2006). From 2006 to 2021, the global CO<sub>2</sub> concentration in the atmosphere has been elevated from 381 to 415 ppm (NOAA, 2022). The massive emission of CO<sub>2</sub> has brought serious environmental problems, such as global climate change and ocean acidification (Valles-Regino et al., 2015). Hence, reduction of CO<sub>2</sub> amount and mitigation of greenhouse effect are the major challenges faced by the whole human society.

Regardless of this, CO<sub>2</sub> is an important C1 platform molecule. Conversion of CO<sub>2</sub> through sustainable catalytic processes into valuable chemicals and clean fuels is a promising way for CO<sub>2</sub> utilization which could promote a circular carbon economy (Srinivas et al., 2014; Didas et al., 2015; Porosoff et al., 2016; Rafiee et al., 2018). CO<sub>2</sub> conversion can be achieved by electro-catalysis, photo-catalysis, and thermal-catalysis processes. Electro-catalysis or photo-catalysis from clean and renewable electrical or solar energy is regarded as an important route for CO<sub>2</sub> reduction reaction (CO<sub>2</sub> RR). Through the rational design of high efficient catalysts, these reactions can be performed under relatively mild conditions that considerably decrease the energy consumption (Liu et al., 2012; Handoko et al., 2013; Jhong et al., 2013; Wang et al., 2015; Perathoner and Centi, 2019; Wang J.-J. et al., 2021; Zhang et al., 2021; Zhang et al., 2022a). Nevertheless, the electro-catalysis or photo-catalysis for CO<sub>2</sub> conversion is time or geographically dependent, which, thus, decreases their

economic viability. Compared to the former two manners, the thermal catalytic conversion of CO<sub>2</sub> shows higher efficiency and it is more potential for industrial application. Since the CO<sub>2</sub> molecule is thermodynamically stable and kinetically inert, the activation of the C=O bond in CO<sub>2</sub> needs to overcome a high energy barrier. Renewable hydrogen (H<sub>2</sub>) generated from photolysis or electrolysis of water has high energy density and it can effectively reduce CO<sub>2</sub>. Thus, hydrogenation of CO<sub>2</sub> into high-value hydrocarbons and oxygenates, including methane (CH<sub>4</sub>), methanol (CH<sub>3</sub>OH), ethanol (C<sub>2</sub>H<sub>5</sub>OH), and light olefins (C<sub>2</sub>=C<sub>4</sub>), has received increasing research interest (Sha et al., 2020).

The catalytic system for CO<sub>2</sub> hydrogenation mainly consists of metal sites and support, including metal oxide/carbide, zeolite, graphene, and so on. Coperet and co-workers prepared zirconia-supported copper nanoparticles (NPs), which showed methanol selectivity of 75% (Larmier et al., 2017). Encapsulation of Cu NPs in Beta zeolite elevates ethanol selectivity and space-time yield (STY) to ~ 100% and 398 mg g<sub>cat</sub><sup>-1</sup> h<sup>-1</sup> (Ding et al., 2020). Highly selective conversion of CO<sub>2</sub> into light olefins, aromatics, gasolines, and diesel was also achieved over metal oxides/zeolites bifunctional catalysts (Gao et al., 2017; Wang Y. et al., 2018; Zhou et al., 2019; Wang S. et al., 2020; Wei et al., 2021; Zhang et al., 2022b; Wang et al., 2022). In general, improvement of metal site dispersion and modulation of metal-support interaction can increase CO<sub>2</sub> conversion and product selectivity.

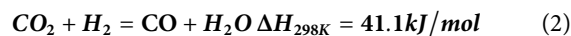
In recent years, metal-organic frameworks (MOFs) have been considered important host materials in adsorption, gas separation and catalysis processes, due to their large surface area, abundant metal sites, and three-dimensional (3D) porous structure (Kumar et al., 2017; Guntern et al., 2021). MOFs are composed of metal-oxygen clusters serving as secondary building units (SBUs) that are connected by the organic ligands (Ranocchiari and Bokhoven, 2011; Chavan et al., 2012). The cages and the missing linker defects in MOFs are ideal places for confining ultra-small metal NPs, thus, inhibiting the sintering and aggregation of active sites (An et al., 2017; Zhao et al., 2018; Abdel-Mageed et al., 2019; Hu et al., 2019; Zhu et al., 2020; Yu et al., 2021). The metal-support interaction can be adjusted by controlling the pyrolysis of nodes and linkers in MOFs (Furukawa et al., 2010; Abdel-Mageed et al., 2019; Wang, 2022). This work gives a short review about the recent progress on the application of MOFs-based catalysts in CO<sub>2</sub> hydrogenation to methanol, methane, and some C<sub>2+</sub> products. Synthesis and structural regulation of MOFs materials have been reviewed in many other literatures (Eddaoudi et al., 2002; Cavka et al., 2008; Wang C. et al., 2018; Usman et al., 2021).

## CO<sub>2</sub> Hydrogenation to Methanol

Methanol is an important platform compound in the chemical industry, and it can be transformed into commodity olefins, aromatics, formaldehyde, and longer-chain alcohols (Liu et al., 2018; Yarulina et al., 2018). Considering the unique features role of methanol in energy conversion, a concept of “methanol economy” was proposed by Olah and co-workers (Goeppert et al., 2014). Hydrogenation of CO<sub>2</sub> to methanol is described as the following Equation 1

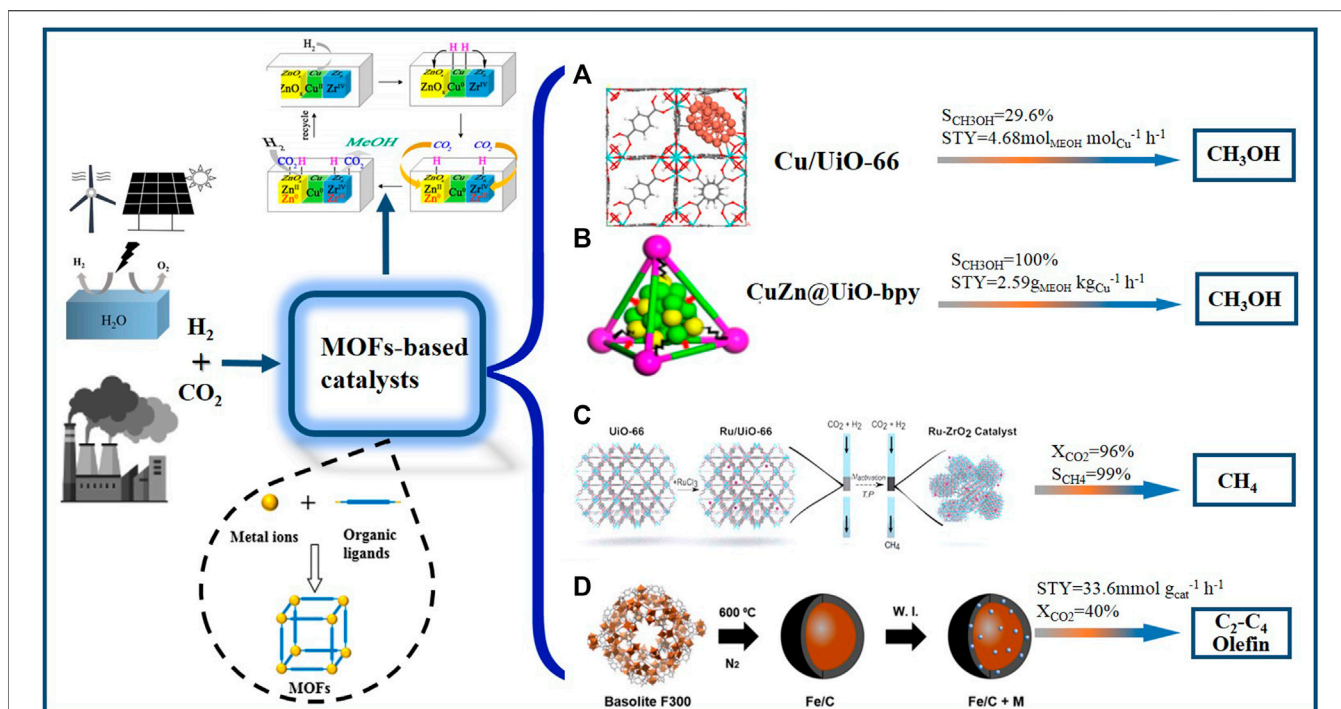


Although CO<sub>2</sub> hydrogenation to methanol is exothermic, the activation of O=C=O bond requires to overcome a high energy barrier that makes operating temperature generally as high as 240–300°C (Murthy et al., 2021). An increase in reaction temperature not only accelerates competitive reverse water-gas shift (RWGS) reaction (Equation 2) and produces more CO but also induces sintering and aggregation of active metal NPs.



One efficient method to improve the sintering resistance of active metal species is the enhancement of metal-support interface interaction through confining ultrasmall metal NPs within the pores or cages of MOFs. Yaghi and co-workers prepared the catalyst with the single Cu nanocrystal encapsulated in the cage of UiO-66 (Cu@UiO-66); Cu@UiO-66 shows 100% methanol selectivity, and 8 times higher yield than Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> in CO<sub>2</sub> hydrogenation (Rungtaweeworant et al., 2016). It suggests that the strong interaction between Cu nanocrystal and Zr-based SBUs of UiO-66 effectively stabilizes Cu active sites. Anchoring Cu NPs into the missing-linker defects of UiO-66 considerably enhances the interaction of metallic Cu with Zr<sub>6</sub>O<sub>8</sub> nodes of UiO-66. It is found that the isolated Cu can only produce CO via RWGS reaction, whereas Cu NPs anchored on the Zr<sub>6</sub>O<sub>8</sub> nodes generates larger numbers of Cu-O-Zr interface sites that show higher activity for methanol synthesis (Figure 1A) (Zhu et al., 2020). An and co-workers reported that the organic coordinating groups in MOFs play a vital role in stabilizing metal NPs (An et al., 2017). The ultra-small Cu/ZnO<sub>x</sub> NPs are *in situ* generated through the reduction of frameworks Cu<sup>2+</sup> and Zn<sup>2+</sup> ions in Zr<sub>6</sub> clusters of UiO-bpy (2,2-bipyridine) MOF (Figure 1B). The strong interaction between Cu/ZnO<sub>x</sub> NPs and bpy moieties in MOFs prevents the phase separation of Cu/ZnO<sub>x</sub>. Thus, the prepared Cu/ZnO<sub>x</sub>@MOFs catalyst shows methanol selectivity of 100% in CO<sub>2</sub> hydrogenation, with the STY as high as 2.59 g<sub>MeOH</sub> kg<sub>Cu</sub><sup>-1</sup> h<sup>-1</sup>. It should be noticed that although these MOF catalysts have been widely used in CO<sub>2</sub> hydrogenation, they usually need to be carried out at a relatively low temperature, because of their low thermal/hydrothermal stability. It is found that the organic ligands in MOF decompose easily at the high reaction temperature, causing the collapse of the pore structure and the decrease of catalytic activity.

Another way to enhance the metal-support interaction is to pyrolyze the metal-loaded MOF precursors in an inert atmosphere. Liu and co-workers fabricated a stable Cu@ZrO<sub>x</sub> catalyst via *in situ* treatment of Cu/UiO-66 in H<sub>2</sub> flow at different temperatures (Liu et al., 2019). Cu@ZrO<sub>x</sub> possesses abundant Cu-ZrO<sub>x</sub> interfaces and a stable 3D ZrO<sub>x</sub> framework that leads to the formation of more Cu<sup>+</sup> species on the surface of ZrO<sub>2</sub>. As a result, CO<sub>2</sub> hydrogenation to methanol is significantly improved via forming more formate and methoxy intermediates. An inverse ZnO/Cu catalyst with closer proximity to ZnO-Cu interface was prepared by Hu and co-workers through directly calcining Cu@ZIF-8 (Hu et al., 2019). It is shown that the small ZnO NPs on the surface of Cu promote the formation of methanol in CO<sub>2</sub> hydrogenation. HKUST-1 was used as the Cu source to



**FIGURE 1** | CO<sub>2</sub> hydrogenation over various MOFs-based catalysts. **(A)** Interface bonding of sub-nanometer Cu clusters with Zr<sub>6</sub>O<sub>8</sub> nodes over Cu/UIO-66 and its catalytic results in CO<sub>2</sub> hydrogenation to methanol. Reproduced with permission from Zhu et al. (2020). Copyright 2020 Springer Nature. **(B)** The Cu/ZnO NPs embedded UiO-bpy and its catalytic results in CO<sub>2</sub> hydrogenation to methanol. Reproduced with permission from An et al. (2017). Copyright 2017 American Chemical Society. **(C)** Structural evolution in the decomposition process of Ru/UIO-66 and its catalytic results obtained material for CO<sub>2</sub> hydrogenation to CH<sub>4</sub>. Reproduced with permission from Lippi et al. (2017). Copyright 2017 Royal Society of Chemistry. **(D)** Fe/C-K catalyst fabricated through decomposition of Basolite F300 MOF and its catalytic results obtained material for CO<sub>2</sub> hydrogenation to CH<sub>4</sub>. Reproduced with permission from Ramirez et al. (2018). Copyright 2018 American Chemical Society.

prepare the ZrO<sub>2</sub>@HKUST-1 core-shell precursor via one-step hydrothermal method. Upon calcination and reduction, the Cu nanoclusters are highly dispersed on ZrO<sub>2</sub>, forming strong Cu-ZrO<sub>2</sub> interface interaction. This nano Cu-ZrO<sub>2</sub> catalyst gives a methanol space-time yield (STY) of about 5.2 times higher than that of the sample obtained by the traditional impregnation method (Yu et al., 2021).

Besides Cu-based catalysts, other metals-loaded MOFs have been developed for CO<sub>2</sub> hydrogenation to methanol. Yin and co-workers embedded ultrasmall Pd crystals into ZIF-8 and further pyrolyzed them into PdZn alloy after calcination under airflow (Yin et al., 2018). The strong interface interaction between PdZn and ZnO not only prevents the aggregation of metal sites but also leads to the formation of more oxygen defects, thereby enhancing catalytic activity and stability of PdZn catalyst in CO<sub>2</sub> hydrogenation to methanol. Co<sub>3</sub>O<sub>4</sub> coated by amorphous In<sub>2</sub>O<sub>3</sub> shell was synthesized through decomposition of In-modified ZIF-67(Co) (Pustovarenko et al., 2020). Co<sub>3</sub>O<sub>4</sub>/In<sub>2</sub>O<sub>3</sub> core-shell catalyst exhibits a maximum methanol STY of 0.65 g<sub>MeOH</sub> g<sub>cat</sub><sup>-1</sup> h<sup>-1</sup> over 100 h time on stream. Olsbye and co-workers (Gutterød et al., 2019) encapsulated Pt NPs into the octahedral cavity of UiO-67. The Pt-embedded UiO-67 produces more methanol but less methane in CO<sub>2</sub> hydrogenation than the Pt/C, Pt/SiO<sub>2</sub> and Pt/Al<sub>2</sub>O<sub>3</sub> at 170°C and 1–8 bar. It is shown that the interface between Pt NPs and linker-deficient Zr<sub>6</sub>O<sub>8</sub> nodes is

the main site for methanol formation. A decrease in missing-linker defects lowered the methanol formation rate (Gutterød et al., 2020). Introduction of H<sub>2</sub>O is beneficial to increase in methanol selectivity, due to the facilitation of methanol desorption. The catalytic results of some MOFs-based catalysts in CO<sub>2</sub> hydrogenation to methanol are summarized in Table 1.

## CO<sub>2</sub> Hydrogenation to Methane

Methane (CH<sub>4</sub>) is the main component of natural gas and it is also an important building block in the chemical industry, and can be further transformed into downstream products such as ethyne and ammonia (Bai et al., 2008; Sha et al., 2020). Hydrogenation of CO<sub>2</sub> to CH<sub>4</sub> provides an alternative solution to alleviated methane market shortage (Qin et al., 2017). Although CO<sub>2</sub> methanation is a strongly exothermic reaction (Equation 3), it is always operated at high temperatures because of the kinetic limitation.



Supported ruthenium (Ru), rhodium (Rh), nickel (Ni), and cobalt (Co) catalysts are commonly used, as their high activity in CO<sub>2</sub> hydrogenation. Strong metal-support interaction (SMSI) improves the dispersion of metal species and the stability of catalyst. Using SMSI to mediate the catalytic behavior of supported metal species is of significance in CO<sub>2</sub>

**TABLE 1** | Summary performance of catalysts for CO<sub>2</sub> hydrogenation reaction.

| Catalysts  | Main Product | H <sub>2</sub> /CO <sub>2</sub> Ratio | T (°C) | P (MPa) | Loading (Wt%) | X <sub>CO2</sub> (%) | Selectivity (%) | STY (g kg <sub>cat</sub> <sup>-1</sup> h <sup>-1</sup> ) | TOS (h) | Ref                            |
|--|--------------|---------------------------------------|--------|---------|---------------|----------------------|-----------------|--|---------|--------------------------------|
| Cu-UiO-66  | Methanol     | 3:1                                   | 175    | 1       | 1.0           | 1                    | 100             | —  | —       | Rungtaweeworanit et al. (2016) |
| Cu/UiO-66  | Methanol     | 3:1                                   | 250    | 3.2     | 1.4           | —                    | 29.6            | 679.76   | 50      | Zhu et al. (2020)              |
| Cu@3D-ZrOx   | Methanol     | 3:1                                   | 260    | 4.5     | 12.4          | 13.1                 | 78.8            | 796  | 105     | Liu et al. (2019)              |
| Cu/ZnO <sub>x</sub> @UiO-66  | Methanol     | 3:1                                   | 250    | 4       | 5.9           | 4.3                  | 87              | 28.3   | 100     | Yang et al. (2021)             |
| Cu/ZnO <sub>x</sub> @UiO-bpy   | Methanol     | 3:1                                   | 250    | 4       | 6.9           | 3.3                  | 100             | 37.5   | 100     | An et al. (2017)               |
| Cu-ZrO <sub>2</sub> (ZrO <sub>2</sub> @HKUST-1)                            | Methanol     | 3:1                                   | 220    | 3       | 11            | 6.8                  | 64.4            | 287.9  | 16      | Yu et al. (2021)               |
| ZnO/Cu(Cu@ZIF-8)   | Methanol     | 3:1                                   | 260    | 4.5     | 57.6          | —                    | —               | 933  | 76      | Hu et al. (2019)               |
| PdZn (Cu@ZIF-8)  | Methanol     | 3:1                                   | 270    | 4.5     | —             | 14                   | 55              | 650  | 50      | Yin et al. (2018)              |
| In <sub>2</sub> O <sub>3</sub> /Co <sub>3</sub> O <sub>4</sub> (In@ZIF-67) | Methanol     | 3:1                                   | 300    | 5       | —             | —                    | 87              | 650  | 100     | Pustovarenko et al. (2020)     |
| Ni@MIL-101   | Methane      | 8:1                                   | 320    | 0.1     | 10            | 56.4                 | 91.6            | —  | 10      | Mihet et al. (2019)            |
| Ni@MOF-5   | Methane      | 4:1                                   | 320    | 0.1     | 10            | 75.1                 | 100             | —  | 100     | Zhen et al. (2015)             |
| Ni@UiO-66  | Methane      | 3:1                                   | 300    | —       | 20            | 57.6                 | 100             | —  | 100     | Zhao et al. (2018)             |
| Ru/UiO-66  | Methane      | 4:1                                   | 350    | 0.5     | 1.0           | 96                   | 99              | —  | —       | Lippi et al. (2017)            |
| K-CuZnAl + Na-Fe@C   | Ethanol      | 3:1                                   | 350    | 5       | —             | 39.2                 | 35              | —  | 50      | Wang Y. et al., 2021           |
| Fe/C-K (Basolite F300)   | Olefins      | 3:1                                   | 320    | 3       | —             | 40                   | ~40             | —  | 50      | Ramirez et al. (2018)          |

hydrogenation. MOFs are believed as promising supports or sacrificed templates, as they can promote active metal species dispersion and enhance the metal-support interaction.

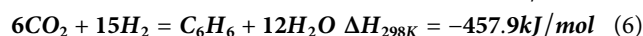
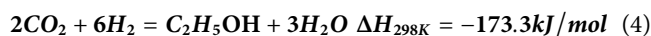
Mihet and co-workers encapsulated Ni into MIL-101 (Ni@MIL-101) using the impregnation method. The high surface area (2,497 m<sup>2</sup> g<sup>-1</sup>) and pore volume (1.75 cm<sup>3</sup> g<sup>-1</sup>) of Ni@MIL-101 make the Ni particles highly dispersed in MIL-101 which enhances the adsorption and activation of CO<sub>2</sub>. With such a catalyst, CO<sub>2</sub> conversion reaches 56.4%, with CH<sub>4</sub> selectivity of 91.6% at 320°C and gas hourly space velocity (GHSV) of 4,650 ml g<sup>-1</sup> h<sup>-1</sup> (Mihet et al., 2019). Ni@MOF-5 shows higher Ni dispersion due to a larger surface area (2,961 m<sup>2</sup> g<sup>-1</sup>) and thus resulting in higher CO<sub>2</sub> conversion and CH<sub>4</sub> selectivity of 75.1 and ~100% respectively at 300°C, 1 atm and GHSV of 2000 ml g<sup>-1</sup> h<sup>-1</sup> (Zhen et al., 2015). In addition, the metal-support interaction is also enhanced by anchoring Ni on MOFs. Zhao and co-workers prepared a series of UiO-66-supported Ni-based catalysts using impregnation and reduction methods (Zhao et al., 2018). Encapsulation of ultrasmall Ni particles in UiO-66 can increase the interface interaction of Ni with UiO-66 support, which, hence, inhibits the sintering of Ni species. The prepared 20%Ni@UiO-66 exhibits CO<sub>2</sub> conversion of 57.6% and CH<sub>4</sub> selectivity of 100% in CO<sub>2</sub> hydrogenation. Interestingly, no significant deactivation was observed even after a reaction of 100 h.

Lippi and co-workers investigated the structural evolution in the decomposition process of metal-loaded MOFs (Lippi et al., 2017; Lippi et al., 2021). The 3D framework of Ru-impregnated UiO-66 (Ru/UiO-66) gradually collapsed under CO<sub>2</sub> methanation conditions to form amorphous C and Zr containing phase structure, which is then transformed into tetragonal ZrO<sub>2</sub>(t) and finally into more stable monoclinic ZrO<sub>2</sub>(m) (Figure 1C). The structure and morphology of catalyst can be precisely controlled by altering the treatment conditions during MOFs decomposition. The Ru/ZrO<sub>2</sub>(m) is a highly active and stable CO<sub>2</sub> methanation catalyst and it gives the

CO<sub>2</sub> conversion of 96% and CH<sub>4</sub> selectivity of 99% at 350°C and 5 bar. The catalytic results of reported MOFs-based catalysts for CO<sub>2</sub> hydrogenation to CH<sub>4</sub> was shown in Table 1.

## CO<sub>2</sub> Hydrogenation to C<sub>2+</sub> Products

Conversion of CO<sub>2</sub> to C<sub>2+</sub> products, such as alcohol, olefins and aromatics, is highly desirable but remains a greater challenge than to C1 compounds due to the high C-C coupling barrier (Guo et al., 2018; Wei et al., 2021). Although CO<sub>2</sub> hydrogenation to ethanol, light olefin, or aromatic is exothermic (Equations 4–6), relatively high temperature and pressure are always necessary for the activation of CO<sub>2</sub> molecules.



Recently, some researchers suggested that direct pyrolysis of metal-loaded MOFs in an inert atmosphere can generate various metal-carbides, in which the metal NPs are closely confined in the carbon porous materials to achieve strong metal-support interaction and avoid aggregation of active sites. Tsubaki and co-workers designed the K-CuZnAl and Na-Fe@C composite catalyst. This catalyst enhances the ethanol selectivity as high as 35.0% and CO<sub>2</sub> conversion of 39.2%, at 350°C and 5.0 MPa in CO<sub>2</sub> hydrogenation (Wang Y. et al., 2021). The K-CuZnAl activates CO<sub>2</sub> to form methanol and CO, and Na-Fe@C promotes the C-C coupling, with Na-Fe@C obtained from the pyrolysis of Fe-based MOFs under N<sub>2</sub> flow. The carbon matrix effectively prevents Fe sintering and achieves the uniform dispersion of Fe active sites.

The hydrogenation of CO<sub>2</sub> to olefins is a potential way of achieving a sustainable carbon cycle. It is generally performed via a two-step process on Fe-based catalysts: CO<sub>2</sub> is firstly converted to CO via RWGS reaction, and then CO is hydrogenated to olefins via Fischer-Tropsch synthesis (FTS) reaction (Yang et al.,



2017; De et al., 2020). Ramirez and co-workers prepared the Fe/C-K catalyst through the decomposition of Basolite F300 MOF under N<sub>2</sub> atmosphere (Ramirez et al., 2018). It shows good catalytic performance for CO<sub>2</sub> hydrogenation to olefins; CO<sub>2</sub> conversion and C<sub>2</sub>=C<sub>4</sub> STY reach 40% and 33.6 mmol g<sub>cat</sub><sup>-1</sup> h<sup>-1</sup> at 320°C and 3 MPa (Figure 1D). The uniform distribution of Fe active sites is considered effectively promote RWGS and FTS reactions.

Similarly, Li and co-workers fabricated ZnZrO<sub>x</sub>@C catalyst with three-dimensional (3D) hierarchical structure through carbonization of Zn-modified UiO-66 (Wang Y. et al., 2020). The introduction of Zn into the synthesis gel of UiO-66 induces the formation of more defects due to the substitution of Zn for Zr. Upon coupling with H-ZSM-5 zeolite, it affords the selectivity of aromatics in hydrocarbons as high as 73.1%, CH<sub>4</sub> is decreased to 3.4%. Compared with possesses traditional ZnZrO<sub>x</sub> oxides, ZnZrO<sub>x</sub>@C catalyst formed by the carbonization of defective MOFs owns richer surface vacancies, and hence, shows higher CO/CO<sub>2</sub> conversion due to their strong adsorption. In addition, the 3D hierarchical carbon framework structure facilitates the diffusion of products, thus, avoiding secondary reactions and elevating the proportion of benzene, toluene, and xylene (BTX) in aromatics.

## DISCUSSION

Metal-organic frameworks (MOFs) are burgeoning porous materials and they are widely used in adsorption, separation, and catalysis processes due to their unique pore structure, versatile compositions, and large surface area. The cages and the missing-linker defects in MOFs provide ideal places for encapsulating or anchoring metal nanoparticles, thereby preventing the sintering and aggregation of active sites. Nevertheless, MOFs are also important sacrificed templates for the preparation of high-efficient metal oxides or carbides. Some MOFs derived metal@C were designed, and exhibited high

catalytic performance in CO<sub>2</sub> hydrogenation to methanol, methane, and other C<sub>2+</sub> products, due to high dispersion of active sites and strong metal-support interaction.

Despite that MOFs as supports or catalysts have received extensive attention and they show superior catalytic activity and product selectivity in CO<sub>2</sub> hydrogenation, however, the much lower thermal and hydrothermal stability of MOFs than SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, and zeolites limits the applications in many industrial processes. This is because the organic ligands are nearly impossible to resist high temperature or their facile pyrolysis character. Nevertheless, there are potential candidates for preparing highly dispersed oxide-supported metal catalysts with strong metal-support interaction.

## AUTHOR CONTRIBUTIONS

QZ: conceptualization, writing—original draft; SW: conceptualization, funding acquisition, writing—review and editing; MD: conceptualization, funding acquisition; WF: supervision, conceptualization, funding acquisition, writing—review and editing.

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# Gold nanoclusters: Photophysical properties and photocatalytic applications

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Atomically precise gold nanoclusters (Au NCs) have high specific surface area and abundant unsaturated active sites. Traditionally, Au NCs are employed as thermocatalysts for multielectron transfer redox catalysis. Meanwhile, Au NCs also exhibit discrete energy levels, tunable photophysical and electrochemical properties, including visible to near infrared absorption, microsecond long-lived excited-state lifetime, and redox chemistry. In recent years, Au NCs are increasingly employed as visible to near infrared photocatalysts for their high photocatalytic activity and unique selectivity. This review focuses on the photophysical properties of a variety of Au NCs and their employment as photocatalysts in photocatalytic reactions and related applications including solar energy conversion and photodynamic therapies.

## KEYWORDS

gold nanoclusters, photophysics, photocatalysis, solar energy conversion, phototherapy

## Introduction

Metal nanoclusters (NCs) are a new type of nanomaterials with core-shell structures. The core comprises a few to hundreds of metal atoms and the outer shell consists of ligands protecting the core from aggregation (Figure 1A) (Chen and Li, 2020). In recent decades, noble metal nanoclusters especially gold have attracted extensive attention. The size of gold nanoclusters (Au NCs) is typically less than 2 nm, which is between that of small molecules and metal nanoparticles (NPs). Because the size is close to the de Broglie wavelength of the electron at the Fermi level of Au atoms (about 0.5 nm) (Xu and Suslick, 2010), Au NCs exhibit discrete energy levels and a variety of molecular-like properties (Aikens, 2011), such as the atomically precise molecular formula, multiple visible absorption peaks, tunable luminescence, and molecular-like excited-state dynamics (Figure 1B) (Song et al., 2016; Maity et al., 2019; Zhou et al., 2021).

Unlike small molecules, Au NCs possess high specific surface areas and a large number of unsaturated reaction sites (Du et al., 2020), which lead to high catalytic activities and unique selectivity in catalytic reactions such as oxidation, hydrogenation, and C-C coupling (Li and Jin, 2013; Fang et al., 2016; Jin et al., 2016). It is worth mentioning that monodisperse Au NCs with well-defined structures can be used as model catalysts to reveal the correlation between catalyst performance and structure at the atomic scale (Du et al., 2020). The focus of this review will be on the optical properties



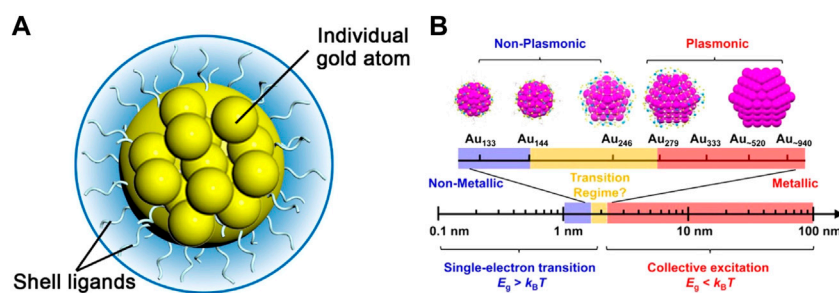


FIGURE 1

(A) Schematic illustration of the core-shell structure of Au NCs (B) Changes in the band gap as the number of atoms in a material decreases. Reprinted with permission from (Zhou et al., 2021). Copyright 2021 American Chemical Society.

from Au NC's unique electronic transitions as well as the practical applications of photocatalysis, solar energy conversion, and phototherapies that make use of Au NC's electronically excited states.

## Photophysical properties

### Basic theories of gold nanocluster structure

The photophysical properties of atomically precise gold nanoclusters are closely related to the number of gold atoms. Researchers found that Au NCs had a specific number of atoms, known as the “magic number” (Negishi et al., 2004; Negishi et al., 2007). The magic-number gold nanoclusters exhibit high stability and similar photophysical properties. The “superatom electronic theory” proposed by Häkkinen et al. explained the stability of magic-number gold nanoclusters (Häkkinen, 2008; Walter et al., 2008). The core of Au NCs has delocalized “superatomic orbitals” including 1S, 1P, 1D, 2S, 1F, 2P, 1G, 2D, 1H, 3S, 1I.... The effective valence electrons of the gold core are filled into superatomic orbitals in turn according to the aufbau rule. Closed electron shells appear for the gold cores similar to the chemically inert noble gases, so Au NCs are chemically stable. For example, Au<sub>102</sub>(SR)<sub>44</sub> protected by organic thiolate ligands (-SR), the first Au NCs to be comprehensively characterized by X-ray crystal structure determination, has the exact formula of Au<sub>79</sub> [Au (p-MBA)<sub>2</sub>]<sub>19</sub> [Au (p-MBA)<sub>3</sub>]<sub>2</sub> (Jadzinsky et al., 2007). The effective valence electron number of the Au<sub>79</sub> core is 58 (= 79-19-2), which just meets the closed-electron-shell configuration of 1S<sup>2</sup>1P<sup>6</sup>1D<sup>10</sup>2S<sup>2</sup>1F<sup>14</sup>2P<sup>6</sup>1G<sup>18</sup>, so the Au<sub>79</sub> core exhibits high stability (Walter et al., 2008).

The correlation between the photophysical properties and the number of gold atoms is attributed to the discrete energy levels, which are affected by the quantum size effect. The

quantum size effect was explained in detail by the Kubo criterion and the Jellium model (Kubo, 1962; Wood and Ashcroft, 1982).

Kubo proposed that the gap between the highest occupied state and the lowest unoccupied state (called the Kubo gap  $\delta$ ) of a particle consisting of  $N$  atoms was proportional to  $E_F/N$ , where  $E_F$  is the Fermi potential of the bulk metal. Comparing  $d$  and thermal energy ( $kT$ ), it is possible to judge whether a material has metallic or non-metallic properties (Kubo, 1962). When  $d$  is less than  $kT$ , electrons are excited thermally to generate free electron-hole pairs. However, when  $d$  is much larger than  $kT$ , free electrons are confined to the discrete energy levels (Roduner, 2006). Metal nanoclusters are usually non-metallic with molecular-like photophysical properties.

Later, the Jellium model clarified the quantitative relationship between the electronic structure of the cores in the metal nanoclusters and the number of metal atoms. In the Jellium model, the valence electrons of the metal core are confined in orbitals that have the same symmetry as the atomic orbitals. The valence electrons are also filled according to the aufbau rule. D. M. Wood and N. W. Ashcroft proved that the energy gap of metal nanoclusters was about  $E_F/N^{1/3}$  through the Jellium model, where  $N$  was the number of metal atoms in the cluster and  $E_F$  was the Fermi potential energy of the bulk metal (Wood and Ashcroft, 1982).

### UV-vis absorption

Ultrasmall-sized gold nanoclusters with discrete energy levels exhibit unique optical absorption, radiative transitions, and excited-state dynamics (Aikens, 2011). Researchers delved into the origin and influencing factors of these photophysical properties, including the cluster geometry such as size, crystal structure and atomic packing, the charge state of the cluster, and the nature of the ligands.

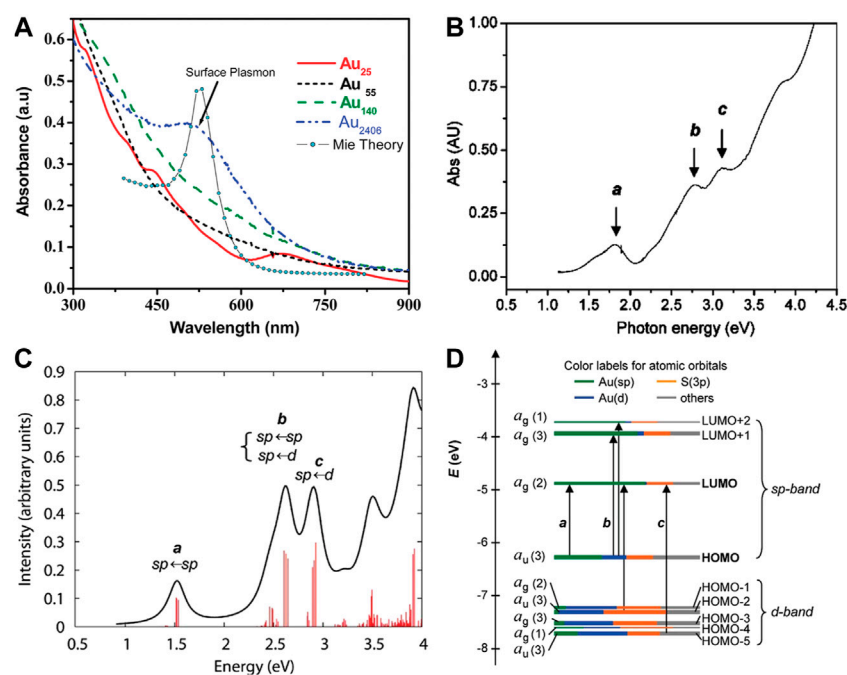


FIGURE 2

(A) UV-vis-NIR absorption spectra of gold nanoparticles and gold nanoclusters. Reprinted with permission from (Yau et al., 2010). Copyright 2010 American Chemical Society (B) The experimental absorption spectrum and (C) the theoretical absorption spectrum of Au<sub>25</sub>(SH)<sub>18</sub><sup>-</sup>. (D) Kohn-Sham orbital energy level diagram for a model compound Au<sub>25</sub>(SH)<sub>18</sub><sup>-</sup>. Reprinted with permission from (Zhu et al., 2008a). Copyright 2008 American Chemical Society.

The optical absorption spectra of gold nanoparticles and gold nanoclusters have obvious differences. Gold nanoparticles such as Au<sub>2406</sub> NPs have the surface plasmonic resonance peak, while Au NCs do not have (Figure 2A) (Yau et al., 2010). The single-electron transitions between discrete energy levels of Au NCs constitute the molecular absorption (Aikens, 2011).

In 2008, Jin and co-workers first reported the crystal structure of Au<sub>25</sub>(SR)<sub>18</sub> and studied the correlation between the electronic structure and optical properties by time-dependent density functional theory (TDDFT) calculations (Zhu et al., 2008a). The theoretical absorption spectrum of Au<sub>25</sub>(SH)<sub>18</sub><sup>-</sup> is in good agreement with the experimental absorption spectrum (Figures 2B,C). Peak a is attributed to the HOMO-LUMO intraband transition (sp←sp). Peak c corresponds to the interband transition (sp←d) from HOMO-n to LUMO. Peak b belongs to the mixed transition (Figure 2D).

Crystal structure analysis shows that the center of Au<sub>25</sub>(SR)<sub>18</sub> is an icosahedral Au<sub>13</sub> core and the outer layer is composed of twelve Au atoms and eighteen thiolate ligands. Each outer Au-Au pair (six pairs in total) is bridged by one-SR ligand and with two other-SR ligands bridging between the outer Au atoms and the icosahedral Au<sub>13</sub> core. HOMO, LUMO, LUMO+1, LUMO+2 and other orbitals are almost entirely composed of the atomic orbitals of thirteen Au atoms in the icosahedral core.

Therefore, the absorption peak a at 1.52 eV (Figures 2B,C) is regarded as a transition caused by the electronic structure and geometry of the Au<sub>13</sub> core (Zhu et al., 2008a). Biicosahedral [Au<sub>25</sub>(PPh<sub>3</sub>)<sub>10</sub>(SC<sub>n</sub>H<sub>2n+1</sub>)<sub>5</sub>Cl<sub>2</sub>]<sup>2+</sup> clusters have the completely different crystal structure (Shichibu et al., 2007). The optical absorption peak at 1.76 eV originates from the interaction between two icosahedral Au<sub>13</sub> units sharing vertices rather than the electronic transitions within a single Au<sub>13</sub> unit. The above two findings indicate that the crystal structure of Au NCs also affects the optical absorption.

Although the Au<sub>25</sub>(SR)<sub>18</sub> cluster is divided into two parts including the Au<sub>13</sub> core and the ligand layer, the optical absorption spectrum of Au<sub>25</sub>(SH)<sub>18</sub><sup>-</sup> cluster is not a simple linear combination of the spectra from the metal core and the ligand, which is demonstrated with more detailed TDDFT calculations. The complex absorption spectrum of the Au<sub>25</sub>(SR)<sub>18</sub> cluster arises from the geometrical and electronic interactions between the metal core and ligands (Aikens, 2008).

Optical absorption of Au NCs is affected by the quantum size effect. As the size of the Au NCs decreases, the gap of discrete energy levels increases and the optical absorption of the clusters shows blue shifts (Figure 3A). For example, the absorption onsets of Au<sub>10-12</sub>(SG)<sub>10-12</sub> cluster, Au<sub>15</sub>(SG)<sub>13</sub> cluster, Au<sub>18</sub>(SG)<sub>14</sub> cluster, and Au<sub>25</sub>(SG)<sub>18</sub> cluster are 450, 650, 700, and 900 nm,

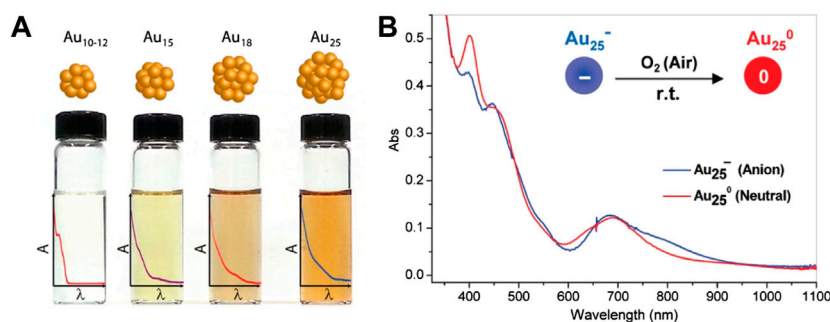


FIGURE 3

(A) The structural diagram and the optical absorption spectra changes of different sizes of Au NCs. Reprinted with permission from (Stamplecoskie and Kamat, 2014). Copyright 2014 American Chemical Society (B) Optical absorption spectra of anionic Au<sub>25</sub><sup>-</sup> cluster (blue) and charge neutral Au<sub>25</sub><sup>0</sup> cluster (red) in solution. Reprinted with permission from (Zhu et al., 2008b). Copyright 2008 American Chemical Society.

respectively (Yu Y. et al., 2013; Stamplecoskie and Kamat, 2014). The absorption onset of the Au<sub>144</sub>(SR)<sub>60</sub> cluster is located at 700 nm (Qian and Jin, 2011). Meanwhile, when the cluster size is reduced to Au<sub>25</sub>(SR)<sub>18</sub>, the absorption onset shows the blue shift to 670 nm. Jin summarizes the stable sizes of thiolate-protected gold nanoclusters and the size dependence of the optical absorption in detail (Jin, 2015).

However, there are exceptions to the size dependence of the optical absorption. For example, the size of the Au<sub>22</sub>(SR)<sub>18</sub> cluster is larger than that of the Au<sub>18</sub>(SR)<sub>14</sub> cluster, but its absorption onset shows a blue shift of 20 nm (Yu et al., 2014; Pyo et al., 2015; Yu et al., 2015). This phenomenon suggests that the optical absorption of Au NCs is not only related to the size but also to the ratio between the number of ligands and the number of metal atoms.

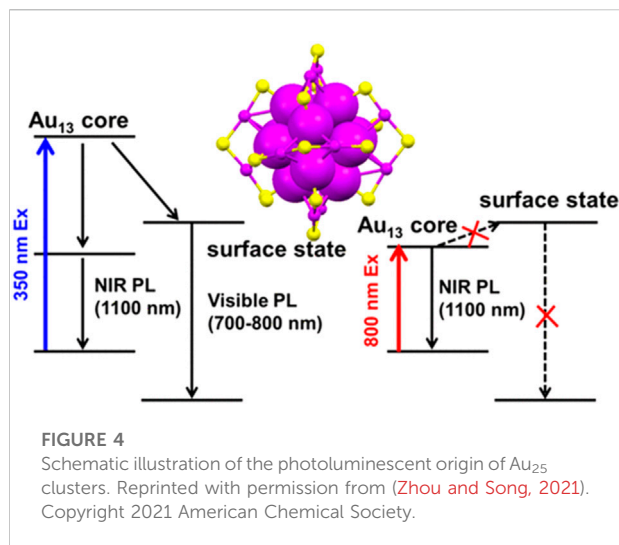
The electron-withdrawing properties of surface-protecting ligands in Au NCs also affect the optical absorption of the Au NCs. For example, the introduction of electron-withdrawing groups (-X) into p-thiophenol (p-SPhX) ligands induces distortion to the Au<sub>25</sub>(SPhX)<sub>18</sub> framework and the degree of distortion increases with enhancement of the electron-withdrawing properties. As the distorted degree of the cluster framework increases, the HOMO-LUMO gap becomes smaller and the optical absorption exhibits red shifts (Tlahuice-Flores et al., 2013). A similar phenomenon is also observed in the Au<sub>38</sub>(SPhX)<sub>24</sub> cluster, where the optical absorption exhibits a slight red shift with the enhancement of the electron-withdrawing properties of the -X substituent. However, Murray and co-workers believe that the ligand layer affects the electron energy of the gold core in the cluster but does not change the HOMO-LUMO energy gap (Guo and Murray, 2005). The HOMO-LUMO electronic absorption peak of the Au<sub>25</sub>(S Nap)<sub>18</sub><sup>-</sup> cluster (S Nap = 1-naphthalenethiolate) shows a red shift of about 10 nm compared with that of the Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub><sup>-</sup> cluster, which is attributed to the expansion of the Au<sub>13</sub> core induced by the aromatic thiolate ligands (Li et al., 2016).

Au NC surface charges from surface-protecting ligands also affect the optical absorption of Au NCs. Xie et al. used multiple ligands with different charges, such as -COO<sup>-</sup>, -NH<sub>3</sub><sup>+</sup>, and -OH, to induce the surface charge anisotropy of the Au<sub>25</sub> clusters. This results in structural distortion, which in turn leads to the anomalous optical absorptions at about 780 and 980 nm (Yuan et al., 2016).

In addition to the charges of the ligands, the surface charge states of the clusters also have an effect on the optical absorption spectra (Lee et al., 2004; Negishi et al., 2007; Zhu et al., 2008b). For example, anionic Au<sub>25</sub><sup>-</sup> cluster loses one electron and counterion tetraoctylammonium TOA<sup>+</sup> when it is oxidized to charge neutral Au<sub>25</sub> cluster in air, resulting in the structural distortion of the gold core which affects the optical absorption of the cluster. The optical absorption spectra of the anionic cluster and the charge neutral cluster are generally similar in shape. However, the absorption around 800 nm in the anionic cluster disappears in the charge neutral cluster, while the weak absorption around 400 nm in the anionic cluster is significantly enhanced in the charge neutral cluster (Figure 3B) (Zhu et al., 2008b). When the Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub><sup>-</sup> cluster is oxidized, the surface charge *q* gradually changes from negative charge to positive charge, resulting in an enlarged HOMO-LUMO gap and a red shift in the optical absorption (Venzo et al., 2011). Density functional theory (DFT) calculations further verify the correlation between the surface charge states of the cluster and the optical absorption (Antonello et al., 2013).

## Photoluminescence

Au NCs exhibit visible to near-infrared (NIR) emission (Bigioni et al., 2000; Huang and Murray, 2001; Link et al., 2002). The origin of Au NCs photoluminescence remains debatable. Ramakrishna et al. employing time-resolved



luminescence spectroscopy of monolayer thiolate-protected Au<sub>25</sub> clusters have revealed that the NIR luminescence originates from the S-Au-S-Au-S semi-ring states and the visible luminescence originates from the Au<sub>13</sub> core states (Devadas et al., 2010). However, Aikens et al. calculated that photoluminescence of the Au<sub>25</sub> cluster were all ascribed to core-based orbitals. Variation of the ligand lengths only influenced photoluminescence spectra from molecular orbital energy shifts. (Weerawardene and Aikens, 2016). A more recent study of the Au<sub>25</sub> clusters photoluminescence asserted that NIR emission around 1,100 nm should be the dominant gap emission of the Au<sub>13</sub> core state rather than the weak emission around 700 nm of the core-shell charge transfer state because the photoluminescent excitation spectra with emission monitored at ~700 nm showed a different profile in comparison with the absorption spectra of Au<sub>13</sub> clusters. In addition, the lifetime of the Au<sub>25</sub> clusters excited state obtained from transient absorption spectroscopy is highly consistent with the lifetime of that obtained from the NIR photoluminescence. (Figure 4) (Zhou and Song, 2021). The detailed photoluminescence origin of the Au NCs is subject to data interpretation possibly due to different charge-donating capability or hydrophilicity of ligands. The changes under different experimental conditions may also vary significantly.

In earlier studies, the quantum yields (QY) of most thiolate-protected gold nanoclusters are less than 1% and the utilization of incident light is low (Link et al., 2002; Negishi et al., 2004; Negishi et al., 2005). A few Au NCs exhibit higher quantum yields including the Au<sub>18</sub>(SG)<sub>14</sub> cluster with a QY of 5.3% and the Au<sub>22</sub>(SG)<sub>18</sub> cluster with a QY of about 8% (Ghosh et al., 2012; Yu et al., 2014). Researchers find that the size and structure of Au NCs determine the photoluminescence emission wavelength and QY. Meanwhile, both different surface-protecting ligands and aggregation-induced emission (AIE) can enhance QY.

Bulk metals with no band gap and non-radiative decay usually have weak photoluminescent emission. As the size shrinks to the nanometer scale and approaches the Fermi wavelength of electrons, the bulk metal transforms into the cluster. The discrete energy levels of clusters cause strong luminescence in addition to the molecular-like optical absorption. According to the Jellium model (Wood and Ashcroft, 1982), as the atom numbers in the metal core of the cluster increase, there is a red shift in the photoluminescence. For example, pepsin-protected Au<sub>8</sub> cluster, Au<sub>13</sub> cluster, and Au<sub>25</sub> cluster synthesized by changing the pH emit blue, green, and red photoluminescent emission, respectively (Kawasaki et al., 2011). Adjusting the molar ratios among the dendrimer ligands, Au<sup>3+</sup>, and the amount of NaBH<sub>4</sub>, a series of Au<sub>5</sub> clusters, Au<sub>8</sub> clusters, Au<sub>13</sub> clusters, Au<sub>23</sub> clusters, and Au<sub>31</sub> clusters of the same type were synthesized and their photoluminescent emission bands shift from UV to NIR (Figure 5A) (Zheng et al., 2004).

Optical properties of Au NCs are not only related to the size but also to the crystal structure. Biicosahedral [Au<sub>25</sub>(PPh<sub>3</sub>)<sub>10</sub>(SC<sub>n</sub>H<sub>2n+1</sub>)<sub>5</sub>Cl<sub>2</sub>]<sup>2+</sup> clusters and the icosahedral Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub> have completely different crystal structures (Zhu et al., 2008a). Although both clusters have 25 gold atoms, they have different UV-vis absorption and photoluminescent emission.

The photoluminescence of Au NCs is also affected by the surface ligands, which have little effect on the photoluminescence wavelength but significantly affect QY. Ligands with electron-rich atoms (such as N, O) or groups (such as -COOH, NH<sub>2</sub>) can interact with the surface of Au NCs and transfer delocalized electrons directly to the Au core to effectively enhance QY. For example, water-soluble thiolate GSH-protected Au<sub>25</sub>(SG)<sub>18</sub><sup>-</sup> clusters show stronger photoluminescence emission than hydrophobic organic thiolate-protected Au<sub>25</sub>(SR)<sub>18</sub><sup>-</sup> clusters (Wu and Jin, 2010).

Electron donating ligands can enhance ligand-to-metal charge transfer (LMCT) and ligand-to-metal-metal charge transfer (LMMCT) to strengthen the photoluminescent emission intensity. Jin and co-workers find that the photoluminescence intensity of hydrophobic thiolate-protected gold nanoclusters is proportional to the electron-donating properties of the ligands (Figure 5B) (Wu and Jin, 2010). In the follow-up work, Jin et al. verify the conclusion again that the lower electronegativity or the stronger electron-donating property of the ligands have, the stronger the photoluminescence of the Au<sub>36</sub>(SR)<sub>24</sub> clusters are (Kim et al., 2017). The decreased electronegativity of the cluster can also strengthen the electron transfer between the ligand and the gold core to enhance photoluminescent emission. The higher valence of the surface charge *q* the Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub><sup>q</sup> cluster has, the stronger photoluminescence intensity the cluster shows (Wu and Jin, 2010).

Polar ligands can also affect the electron transfer between thiolate ligands and the gold core to change the photoluminescence intensity of the cluster. For example, an



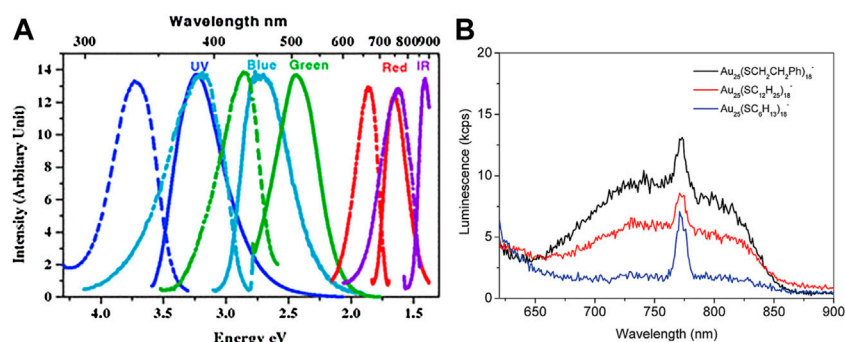


FIGURE 5

(A) Excitation spectra (dashed) and emission spectra (solid) of dendrimer-protected gold nanoclusters different sizes. Reprinted with permission from (Zheng et al., 2004). Copyright 2004 by the American Physical Society (B) Photoluminescence spectra of  $\text{Au}_{25}(\text{SR})_{18}^-$  with different -R groups ( $-\text{C}_2\text{H}_4\text{Ph}$ ,  $-\text{C}_{12}\text{H}_{25}$ ,  $-\text{C}_6\text{H}_{13}$ ). Reprinted with permission from (Wu and Jin, 2010). Copyright 2010 American Chemical Society.

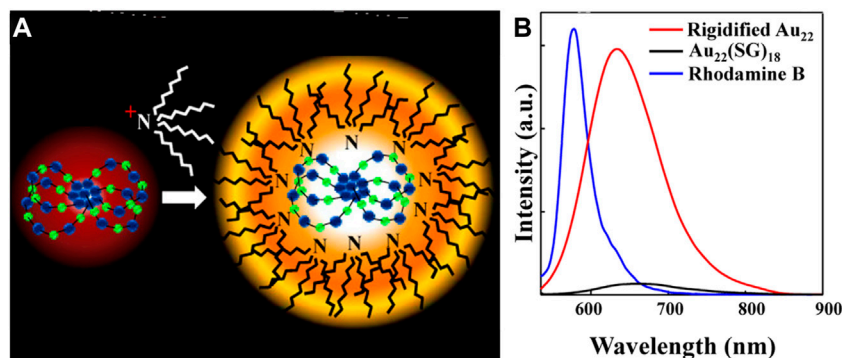


FIGURE 6

(A) Schematic representation of  $\text{TOA}^+$  binding to the  $\text{Au}_{22}(\text{SG})_{18}$  cluster (Au in blue and S in green) (B) Photoluminescence spectra of  $\text{Au}_{22}(\text{SG})_{18}$  clusters in aqueous solution and  $\text{TOA}-\text{Au}_{22}$  clusters in toluene. Reprinted with permission from (Pyo et al., 2015). Copyright 2015 American Chemical Society.

increase in the polarization of the Au-S bond can enhance the photoluminescence intensity. Murray et al. find that when the non-polar ligands on the surface of  $\text{Au}_{38}$  clusters and  $\text{Au}_{140}$  clusters are replaced by polar ligands, the NIR photoluminescence intensity of the clusters increases and the intensity is related to the number of polar ligands linearly (Wang et al., 2006).

The photoluminescence intensity of non-photoluminescent polydentate polymer-protected gold nanoclusters is proportional to the electron-donating ability of the ligands and is also affected by the steric hindrance of the polymer (Li et al., 2013). Au NCs protected by sterically hindered polymer PTMP-*Pt*BMA have the highest QY of about 20.1%. The rigid Au(I)-thiolate shell can also improve the QY of the clusters (Pyo et al., 2015). The QY of the  $\text{Au}_{22}(\text{SG})_{18}$  cluster is increased to 60% after the gold shell was rigidified by  $\text{TOA}^+$  (Figure 6).

Aggregation-induced emission can significantly enhance the luminescence intensity of Au NCs. Xie and co-workers have synthesized ultrabright Au (0)@Au(I)-thiolate core-shell nanoclusters (Luo et al., 2012). The addition of a weakly polar solvent disrupts the hydration shell and neutralizes the surface charge so that the short Au(I)-thiolate motifs aggregates on the Au (0) core. Conventional Au(I)-thiolate NCs, which originally do not emit light in aqueous solution, emit strong photoluminescence after aggregation with a QY of about 15%.

## The excited state dynamics

The excited state dynamics of Au NCs are so unique that they differ significantly from gold nanoparticles. Firstly, the excited-state

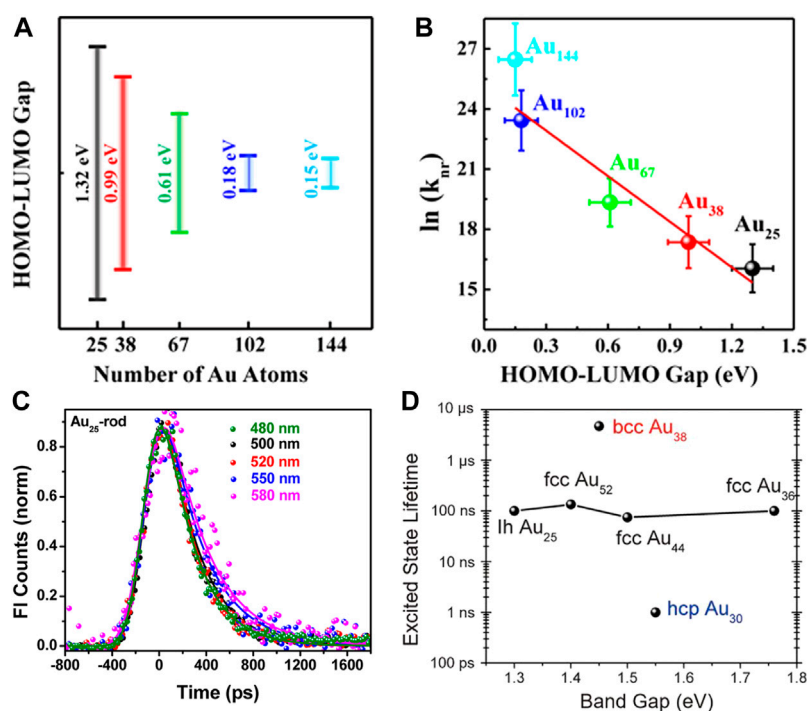


FIGURE 7

(A) Plot of the HOMO-LUMO energy gap versus the number of Au atoms for Au NCs (B) Plot of the logarithmic form of the nonradiative decay transition rate (referred to as  $\ln(k_{nr})$ ) versus HOMO-LUMO gap. Reprinted with permission from (Kwak et al., 2017). Copyright 2017 American Chemical Society (C) Luminescence kinetic decay traces of Au<sub>25</sub>-rod at different excitation wavelengths. Reprinted with permission from (Devadas et al., 2013). Copyright 2013 American Chemical Society (D) Plot of the Excited state lifetime versus band gap of bcc Au<sub>38</sub>, hcp Au<sub>30</sub>, and fcc Au<sub>36</sub>/Au<sub>44</sub>/Au<sub>52</sub>. Reprinted with permission from (Zhou et al., 2019). Copyright 2019 American Association for the Advancement of Science.

lifetime of Au NCs (~ns) is much longer than that of gold nanoparticles (~70 fs) (Varnavski et al., 2001; Zhou et al., 2017b). Typically, Jin et al. reported the excited state lifetime of body-centered cubic Au<sub>38</sub> cluster is about 5 microseconds (Zhou et al., 2019). Furthermore, high pump power prolongs the electron-phonon coupling time of gold nanoparticles. (Zhou et al., 2016). However, the excited state dynamics of Au NCs is independent on the pump laser intensity, which is similar to the properties of molecules (Yau et al., 2010; Stamplecoskie and Kamat, 2014; Zhou et al., 2016). Au<sub>55</sub> NCs exhibit a molecular-like single-electron relaxation process. The transient absorption at 640 nm of the Au<sub>55</sub> clusters decays from the initial state to the intermediate state quickly and then decay back to the ground state slowly (Varnavski et al., 2010; Yau et al., 2010).

At present, it is generally accepted that the core-shell relaxation model is used to analyze the excited state dynamics of Au NCs. When the Au<sub>25</sub>(SR)<sub>18</sub><sup>-</sup> cluster is excited, the ultrafast charge relaxation at the Au<sub>13</sub> core is less than 200 fs and the relaxation from the gold core state to the semi-ring state is about 1 ps (Miller et al., 2009; Devadas et al., 2010). Goodson and Kamat et al. find that the excited state dynamics of Au NCs are mainly affected by the properties of the S-Au-S-Au-S semi-ring

state through transient absorption spectroscopy (Devadas et al., 2010; Yau et al., 2010; Stamplecoskie et al., 2014).

As mentioned previously, the photophysical properties of Au NCs are highly dependent on the size. Lee and Ramakrishna et al. observe that the excited state lifetimes of Au NCs also show the distinct size dependence. As the size of Au NCs decreases or the number of Au atoms decreases, the enlarged HOMO-LUMO gap leads to the reduced nonradiative decay transition rate and the increased exciton lifetime (Figures 7A,B) (Kwak et al., 2017). This phenomenon indicates that the excited state dynamics of Au NCs can be explained by the energy gap law, which states that the nonradiative decay dynamics are inversely proportional to the energy gap (Englman and Jortner, 1970). However, Kamat et al. find that Au<sub>18</sub>(SR)<sub>14</sub> cluster has the longest excited state lifetime among Au<sub>10-12</sub>(SR)<sub>10-12</sub> cluster, Au<sub>15</sub>(SR)<sub>13</sub> cluster, Au<sub>18</sub>(SR)<sub>14</sub> cluster, and Au<sub>25</sub>(SR)<sub>18</sub> cluster (Stamplecoskie and Kamat, 2014). This result deviates from the expectation of the energy gap law. The explanation is that Au<sub>18</sub>(SG)<sub>14</sub> cluster and Au<sub>25</sub>(SG)<sub>18</sub> cluster with the gold core exhibit fast relaxation of less than 1 ps and long-lived LMCT of about 200 ns, while small-sized Au NCs only show long-lived LMCT without fast relaxation processes in the gold core.

The structure of how the gold atoms arrange inside the cluster also affects its excited state dynamics. Ramakrishna et al. compared the femtosecond luminescence dynamics of Au<sub>25</sub>-rod clusters, Au<sub>25</sub>-sphere clusters, and Au<sub>38</sub>-rod clusters. They find that the luminescence kinetic decay traces of Au<sub>25</sub>-rod are independent of the excitation wavelength (Figure 7C), while the kinetic growth traces and the kinetic decay traces of Au<sub>25</sub>-spheres and Au<sub>38</sub>-rods show the specific excitation wavelength dependence, which is attributed to the cascade relaxation from core-gold to shell-gold (Devadas et al., 2013). Jin et al. studied two structural isomers of Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> in which the Au<sub>23</sub> core of the Au<sub>38</sub>Q cluster was the bi-icosahedron and that of the Au<sub>38</sub>T cluster was made up of a mono-icosahedral Au<sub>13</sub> capped by a Au<sub>12</sub> tri-tetrahedron by sharing two atoms (Zhou et al., 2017a). The Au<sub>38</sub>Q cluster shows a rapid decay of 1.5 ps followed by nanosecond relaxation back to the ground state. The excited state dynamics of the Au<sub>38</sub>T cluster is similar to that of the Au<sub>38</sub>Q cluster except that the rapid decay process is accelerated by about 50%. The picosecond decay originates from the core-shell charge transfer or the electron rearrangement in the gold core, so it is speculated that the accelerated picosecond decay in the Au<sub>38</sub>T cluster is attributed to its unique core structure.

Jin et al. also find that the atomic packing of Au NCs also greatly affects its excited state dynamics (Zhou et al., 2019). As shown in Figure 7D, the HOMO-LUMO gaps of face-centered cubic (fcc) Au NCs with different sizes vary greatly, but the excited state lifetimes hardly change. The excited state lifetime of hexagonal close-packed (hcp) Au<sub>30</sub>(SR)<sub>18</sub> cluster is reduced by about two orders of magnitude and that of body-centered cubic (bcc) Au<sub>38</sub>S<sub>2</sub>(SR)<sub>20</sub> cluster is increased by nearly two orders of magnitude. This significant excited state lifetime difference is related to the distance and their connection modes between the Au<sub>4</sub> tetrahedral units.

The surface charge state of the cluster also affects its excited state dynamics in addition to the geometry and size. Taking the ultrafast electron relaxation dynamics of Au<sub>25</sub>(SR)<sub>18</sub><sup>q</sup> ( $q = 0, -1$ ) as an example, the core excitation lifetime of the anionic cluster is about 1,000 times longer than that of the neutral cluster (Qian et al., 2010). The excited state relaxation of Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub><sup>q</sup> ( $q = 0, -1$ ) mainly originates from the nonradiative energy transfer from the gold core to the ligands. The energy is transferred from the LUMO orbital to the ligands in the neutral cluster, while the energy is transferred from the LUMO+1 orbital above the LUMO orbital for the anionic cluster and the excited state lifetime is extended by about a hundred times (Green and Knappenberger, 2012).

Besides the excited state lifetime, the electron transfer ability of the excited state is also crucial for the material to become a potential photosensitizer. Methyl viologen [(MV<sup>2+</sup>)] is often used as an electron acceptor to examine the photoinduced electron transfer kinetics in Au NCs and its correlation with photocatalytic activity. Kamat et al. find that only long-lived semi-ring states (or LMCT states) of Au NCs are involved in

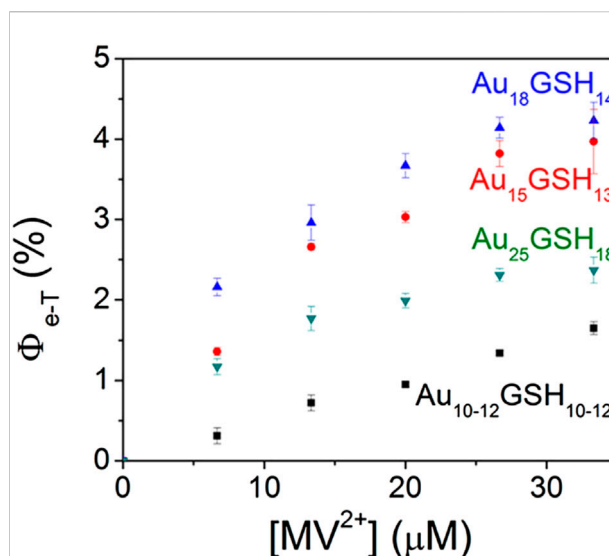


FIGURE 8

Quantum efficiency of electron transfer,  $\Phi_{e-T}$ , following the 355 nm laser pulse excitation of aqueous Au<sub>x</sub>GSH<sub>y</sub> solution containing different concentrations of (MV<sup>2+</sup>). Reprinted with permission from (Stamplecoskie and Kamat, 2014). Copyright 2014 American Association for the Advancement of Science.

electron transfer processes (Stamplecoskie et al., 2014). Therefore, the effect of different ligands on the LMCT states can tune the electron transfer efficiency of Au NCs. Meanwhile, Marcus theory suggests that the electron transfer rate decreases rapidly as the distance between the donor and acceptor becomes longer (Silverstein, 2012). Theoretically, the larger the shell ligands are, the smaller the electron transfer rates of Au NCs become (Chen and Li, 2020). Kamat et al. also demonstrate that the electron transfer efficiency is highly correlated with the size of Au NCs. As the size of clusters decreases, the electron transfer efficiency increases (Figure 8) (Stamplecoskie and Kamat, 2014). Au NCs with high electron transfer efficiencies and good visible light absorption have the potential to be excellent photosensitizers (Abbas et al., 2016).

## Photocatalytic applications of gold nanoclusters

The current society is facing the dual pressure of economic development and environmental protection. Photocatalysis is an environmentally friendly technology that can realize solar energy conversion and has broad development prospects. Suitable photocatalysts enable efficient and selective photocatalytic reactions and their optical properties have a great influence on the catalytic efficiency and selectivity (Du et al., 2020). Good photocatalysts have the following characteristics: 1) broad visible light absorption to maximize sunlight utilization

and gain selectivity; 2) long-lived photoinduced charge separated states to react with substrate molecules before relaxation to the ground states; 3) high reduction or oxidation potentials to react with a range of substrates; 4) the ability to transfer photogenerated electrons and holes to the reactive interface efficiently (Chai et al., 2019; Witzel et al., 2021).

As mentioned above, Au NCs with the ultra-small size and atomically precise composition exhibit high specific surface area, abundant unsaturated active sites, discrete energy levels, and controllable electronic properties. Therefore, Au NCs have received extensive attention in the fields of thermocatalysis and electrocatalysis (Fang et al., 2016; Chai et al., 2019; Du et al., 2020). Au NCs serving as promising photocatalysts have also raised increasing interest. With the in-depth study of the synthetic methods and optical properties of Au NCs, the energy level gap and the band position of Au NCs can be tuned by adjusting the size, crystal structure, atomic packing, surface charge state or surface ligands. The change of the energy level gap and the band position affect the optical absorption, the separation lifetime of photogenerated electron-hole pairs and the redox ability. At present, Au NCs have been used in photocatalytic conversion (oxidation and reduction) of the organics, photocatalytic degradation of the organic dyes, photocatalytic water splitting, photocatalytic CO<sub>2</sub> reduction and phototherapy.

## Photocatalytic conversion of organics to value added products

Reactive oxygen species (ROS) are a class of chemically active molecules containing oxygen including singlet oxygen (<sup>1</sup>O<sub>2</sub>), superoxide, hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), and hydroxyl radicals (•OH). Photosensitizers can generate ROS through the photochemical reactions, which are mainly divided into two reaction pathways (Foote, 1991). In the type I reaction pathway, various oxygen radicals, such as superoxide and hydroxyl radicals, are generated by the electron transfer from the excited state photosensitizers to the substrates. In the type II reaction pathway, the photosensitizer is excited to form the singlet excited state (S<sub>1</sub>) and then transformed into the triplet excited state (T<sub>1</sub>) through the intersystem crossing. The triplet photosensitizer generates <sup>1</sup>O<sub>2</sub> by the energy transfer with triplet oxygen (<sup>3</sup>O<sub>2</sub>).

The photosensitizers are usually organic dye molecules with strong absorption or nanomaterials such as quantum dots (QDs) (Samia et al., 2003; Ma et al., 2008), silicon nanocrystals (Kovalev and Fujii, 2005), and metal nanoparticles (Vankayala et al., 2011; Long et al., 2013; Vankayala et al., 2013). Au NCs have been demonstrated to act as photosensitizers and generate <sup>1</sup>O<sub>2</sub> efficiently by tuning the electronic structure of the clusters (Kawasaki et al., 2014; Zhang et al., 2018; Du et al., 2020). Au NCs can undergo thermal catalytic oxidation reaction using the

organic oxidant PhIO (Li et al., 2012; Liu et al., 2015) or photocatalytic oxidation reaction using <sup>1</sup>O<sub>2</sub> generated from energy transfer, the latter being more environmentally friendly.

Jin et al. demonstrate that the ability of <sup>1</sup>O<sub>2</sub> generation is related to the size of Au NCs (Kawasaki et al., 2014). Regardless of the surface charge state and the solubility, Au<sub>25</sub> clusters can generate <sup>1</sup>O<sub>2</sub> under the visible or NIR light irradiation (Figure 9A). Because of the large HOMO-LUMO gap (1.3 eV), Au<sub>25</sub> clusters have a high T<sub>1</sub> yield. In contrast, the HOMO-LUMO gap of Au<sub>38</sub> clusters is small (0.9 eV) and the energy of T<sub>1</sub> is lower than that required to excite <sup>3</sup>O<sub>2</sub> to form <sup>1</sup>O<sub>2</sub> (0.97 eV). Therefore, Au<sub>38</sub> clusters cannot generate <sup>1</sup>O<sub>2</sub>. <sup>1</sup>O<sub>2</sub> produced by photoexciting Au<sub>25</sub> clusters can catalyze the oxidation of organic sulfide to sulfoxide with selectivity close to 100%. Besides, Zhu et al. also find that the arylthiolated Au<sub>25</sub>(F-Ph)<sub>18</sub><sup>−</sup> nanocluster with NIR emission can photosensitize <sup>3</sup>O<sub>2</sub> to produce <sup>1</sup>O<sub>2</sub> for photocatalytic oxidative functionalization of sulfide, β-ketoesters, and 2-aryl-1,2,3,4-tetrahydroisoquinoline (Wang et al., 2022).

Maran et al. further investigate the effect of the surface charge state of Au<sub>25</sub>(SC3)<sub>18</sub><sup>−</sup> clusters on the photocatalytic performance of <sup>1</sup>O<sub>2</sub> generation by time-resolved electron paramagnetic resonance (TR-EPR) (Agrachev et al., 2019). The anion clusters generate <sup>1</sup>O<sub>2</sub> under photoexcitation through the type II photoreaction pathway and then the EPR signal is observed. Under the same experimental conditions, neutral clusters cannot generate <sup>1</sup>O<sub>2</sub> and no EPR signal is observed.

The above study by Jin et al. shows that Au<sub>38</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>24</sub> cannot photo-generate <sup>1</sup>O<sub>2</sub> due to the small HOMO-LUMO gap (0.9 eV) (Kawasaki et al., 2014). Li et al. have shown that the energy gap of clusters can be adjusted by changing the atomic packing to improve the photocatalytic performance (Li et al., 2017). The Au<sub>38</sub>S<sub>2</sub>(SAdm)<sub>20</sub> clusters (−SAdm = 1-adamantanethiolate) are body-centered cubic atomic packing, which is different from the face-centered cubic atomic packing of common Au NCs. The Au<sub>38</sub>S<sub>2</sub>(SAdm)<sub>20</sub> clusters with the HOMO-LUMO gap of 1.57 eV can photo-generate <sup>1</sup>O<sub>2</sub> and the photocatalytic efficiency is higher than that of Au<sub>25</sub>(SR)<sub>18</sub><sup>−</sup> described above. In this work, <sup>1</sup>O<sub>2</sub> can oxidize not only sulfides to sulfoxides but also benzylamines to imines selectively (Figure 9B).

In summary, Au NCs can be used as photosensitizers to photo-generate <sup>1</sup>O<sub>2</sub> for the selective oxidation of organics. In addition, Au NCs can also be combined with semiconductor materials to improve the efficiency of photocatalytic organic conversion under visible light excitation by broadening the optical absorption range or separating photogenerated electron-hole pairs effectively.

Wang and Li et al. synthesized the Au<sub>25</sub>(PPh<sub>3</sub>)<sub>10</sub>(SC<sub>3</sub>H<sub>6</sub>SiO<sub>3</sub>)<sub>5</sub>Cl<sub>2</sub>/TiO<sub>2</sub> composite for the photocatalytic oxidation of amines to imines efficiently and selectively under mild reaction conditions (Chen et al., 2017). Au<sub>25</sub>(PPh<sub>3</sub>)<sub>10</sub>(SR)<sub>5</sub>Cl<sub>2</sub> clusters can separate the photogenerated electron-hole pairs effectively leading to the high catalytic



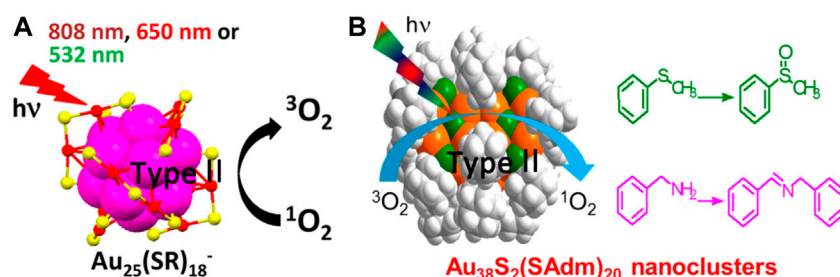


FIGURE 9

(A) Schematic diagram and the characterizations of <sup>1</sup>O<sub>2</sub> generation from Au<sub>25</sub> clusters under the visible or NIR light irradiation. Reprinted with permission from (Kawasaki et al., 2014). Copyright 2014 American Chemical Society (B) Au<sub>38</sub> clusters generate <sup>1</sup>O<sub>2</sub> under light and catalyze the oxidation of sulfides to sulfoxides and that of benzylamines to imines selectively. Reprinted with permission from (Li et al., 2017). Copyright 2017 American Chemical Society.

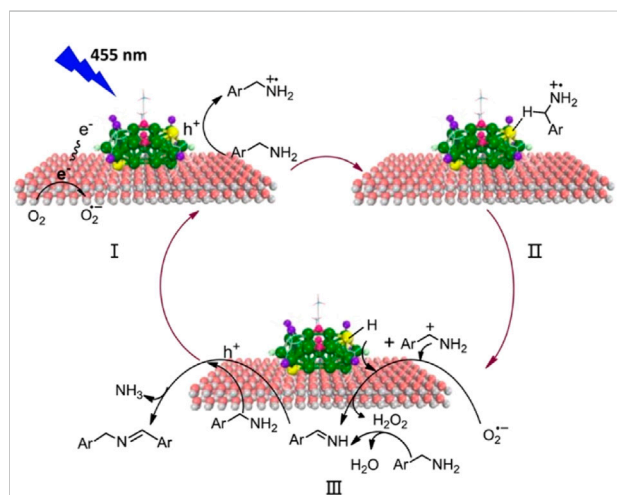


FIGURE 10

(A) The mechanism diagram of the photocatalytic oxidation of benzylamine by Au<sub>25</sub>/TiO<sub>2</sub>. Reprinted with permission from (Chen et al., 2017). Copyright 2017 American Chemical Society.

activity. The photocatalytic reaction involves an Au-H intermediate and a carbocation intermediate derived from benzylamine (Figure 10), and the reactive sites are the bare Au atoms due to the removal of part of the PPh<sub>3</sub> ligands.

Liu and co-workers construct Au<sub>x</sub>/NP-TNTA heterostructure by depositing Au<sub>x</sub> clusters onto highly ordered nanoporous layer-covered TiO<sub>2</sub> nanotube arrays (NP-TNA) via a facile electrostatic self-assembly strategy (Xiao et al., 2015). Au<sub>x</sub>/NP-TNTA heterostructures can be used as photocatalysts for the reduction of nitroaromatics. Au<sub>x</sub> clusters photo-generate electron-hole pairs under sunlight illumination. Because the potential of LUMO in Au<sub>x</sub> NCs is more negative than the conduction band (CB) edge of TiO<sub>2</sub> and the interaction

between them is tight, the photogenerated electrons of Au<sub>x</sub> clusters are easily injected into the CB of TiO<sub>2</sub> to reduce the nitroaromatics adsorbed on the surface of the materials, while the photogenerated holes oxidize ammonium formate as the electron donor. It should be noted that the above reactions occurred in N<sub>2</sub> to avoid the participation of O<sub>2</sub>. The condition ensured that the nitroaromatics were all reduced by the photogenerated electrons. Au<sub>x</sub>/NP-TNTA heterostructures enhance the performance of photocatalytic reduction of organics through the efficient separation of photogenerated electron-hole pairs.

## Photocatalytic degradation of organic pollutants

The composites composed of semiconductors and Au NCs as photosensitizers can also degrade organic dyes through photocatalysis. Jin et al. investigated the performance and mechanism of Au<sub>25</sub>(SC<sub>2</sub>H<sub>4</sub>Ph)<sub>18</sub>/TiO<sub>2</sub> composites to generate ROS for the degradation of methyl orange (MO) under the visible light irradiation (Figure 11A) (Yu C. et al., 2013). Au<sub>25</sub>(SR)<sub>18</sub> clusters expand the optical absorption range to NIR region which results in a 1.6-fold increase in the activity of photocatalytic degradation of MO under the visible light irradiation. The photogenerated electrons of Au<sub>25</sub>(SR)<sub>18</sub> clusters are injected into the conduction band of TiO<sub>2</sub> to inhibit the recombination of photogenerated electrons and holes effectively, which also contributes to the improvement of the photocatalytic degradation of MO.

Au<sub>x</sub>/NP-TNTA heterostructures constructed by Liu and co-workers are also used for the photocatalytic degradation of MO (Xiao et al., 2015). The photogenerated electrons transferred to the conduction band of TiO<sub>2</sub> and the photogenerated holes of Au<sub>x</sub> clusters can react with H<sub>2</sub>O to generate ROS for the degradation of MO. The efficient separation of the

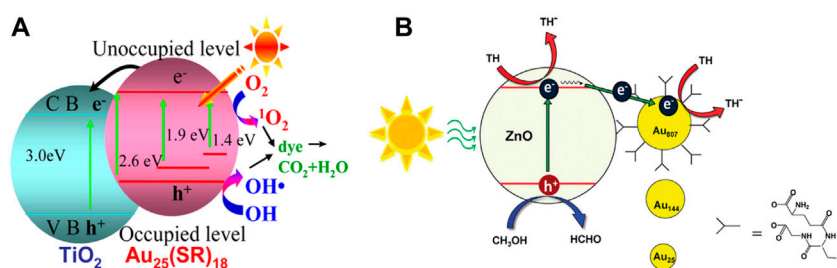


FIGURE 11

(A) Mechanism of the photocatalytic degradation of organic dyes by  $\text{Au}_{25}(\text{SC}_2\text{H}_4\text{Ph})_{18}/\text{TiO}_2$  composites. Reprinted with permission from (Yu C. et al., 2013). Copyright 2013 American Chemical Society (B) The photocatalytic degradation of TH of ZnO-Au composites under visible light irradiation. Reprinted with permission from (Lee et al., 2011). Copyright 2011 American Chemical Society.

photogenerated electron-hole pairs enhances the performance of the photocatalytic degradation of organic dyes.

How to improve the photostability of Au NCs at the interface of composites is a long-standing challenge. Xu and Chen et al. report the  $\text{SiO}_2$ -Au GSH clusters-BPEI@ $\text{TiO}_2$  (SABT) composites assembled by a simple method (Weng et al., 2018). Branched polyethyleneimine (BPEI) is not only the surface modifier but also the stabilizer and the reducing agent, which can prevent the oxidation of the ligands on the surface of the clusters. Therefore, the size and structure of Au GSH clusters can be maintained for more than 10 h under the continuous visible light irradiation. The thickness-controllable  $\text{TiO}_2$  coating stabilizes the ultra-small Au GSH clusters supported on the  $\text{SiO}_2$  sphere. As a result, the SABT composite has good photostability and its photocatalytic activity for the degradation of rhodamine B has little change in 10 cycles.

In composites composed of the semiconductor and Au NCs as photocatalysts, Au NCs generally act as photosensitizers to capture the visible light. Au NCs can also accept the photogenerated electrons to catalyze reactions. Both the size and surface ligands of Au NCs affect the efficiency of the photocatalytic degradation of organic dyes.

Three different sizes of  $\text{Au}_x(\text{SG})_y$  clusters synthesized by Lee and Song et al. were deposited on the surface of ZnO through the carboxyl groups in their ligands (Lee et al., 2011). It is speculated that the photogenerated electrons of ZnO under visible light irradiation are injected into the Au NCs to reduce thionine (TH) on the surface of the Au NCs. The absorption spectrum of ZnO hardly changes after the cluster deposition indicating that the light-harvesting ability is not enhanced, so the photocatalytic performance is only related to the efficiency of the charge separation. The increased sizes of Au NCs accelerate the electron transfer rate to improve the efficiency of the charge separation (Figure 11B). Therefore, the ZnO-Au composite composed of Au NCs with the large size has higher photocatalytic performance for the degradation of TH.

Xie et al. synthesized thio- $\beta$ -cyclodextrin (SH- $\beta$ -CD) protected gold nanoclusters to decorate  $\text{TiO}_2$  (Zhu et al., 2018).  $\text{TiO}_2$ -Au NCs@ $\beta$ -CD composites can photocatalyze the degradation of MO efficiently. The mechanism indicates that photogenerated electrons from  $\text{TiO}_2$  under UV light are injected into the gold core to form the catalytic center, which reduces  $\text{O}_2$  to superoxide anion ( $\text{O}_2^{\cdot-}$ ) for the degradation of MO. In addition, the photogenerated holes of  $\text{TiO}_2$  can also oxidize  $\text{H}_2\text{O}$  to  $\cdot\text{OH}$  for the degradation of MO. The SH- $\beta$ -CD ligands on the surface of the clusters can capture MO efficiently through the host-guest interaction. Therefore, the enhanced photocatalytic degradation of MO originates from the synergistic effect of the gold core, SH- $\beta$ -CD ligands and  $\text{TiO}_2$  in the  $\text{TiO}_2$ -Au NCs@ $\beta$ -CD composite.

Wang et al. synthesized the silane-stabilized Au NCs by a simple photoreduction method. The Au NCs act as stand-alone photocatalysts for the photocatalytic degradation of methylene blue (MB) under visible light irradiation (Zhou S. et al., 2017). The silane-stabilized Au NCs degrade about 96% of MB after exposure to the visible light for 1 h. It has been confirmed that the mechanism of the photocatalytic degradation of organic dyes of the silane-stabilized Au NCs is similar to that of semiconductors.

## Photocatalytic water splitting and $\text{CO}_2$ reduction

Au NCs can be used as the cocatalysts in photocatalytic water splitting for the conversion of solar energy to chemical energy. Monodisperse  $\text{Au}_{25}(\text{SG})_{18}$  clusters with unchanged sizes are supported on the  $\text{BaLa}_4\text{Ti}_4\text{O}_{15}$  photocatalysts and the prepared composites can be used for photocatalytic water splitting (Figure 12A) (Negishi et al., 2013). The experimental results show that the catalytic performance of the  $\text{Au}_{25}$  cluster cocatalyst is 2.6 times higher than that of the gold nanoparticle cocatalyst. This may be due to the fact that ultra-small  $\text{Au}_{25}$  clusters can introduce the same number of active sites as the gold

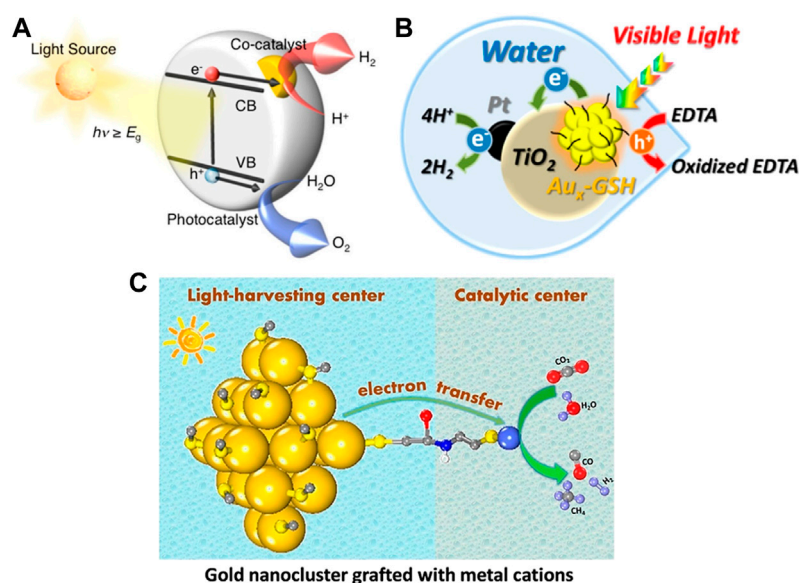


FIGURE 12

(A) Schematic of photocatalytic water splitting by composites via a one-step method: conduction band (CB), valence band (VB), band gap ( $E_g$ ). Reprinted with permission from (Negishi et al., 2015). Copyright 2015 American Chemical Society (B) Illustration of  $Au_x$ -GSH NCs sensitized Pt/ $TiO_2$  NPs for photocatalytic water splitting reaction. Reprinted with permission from (Chen and Kamat, 2014). Copyright 2014 American Chemical Society (C) Schematic of covalently bridged Au-GSH clusters and metal cations for the visible-light-driven  $CO_2$  reduction. Reprinted with permission from (Cui et al., 2018). Copyright 2018 American Chemical Society.

nanoparticles at lower loadings to reduce the interference of cocatalysts on the optical absorption of catalysts. It has also been found that the stability of Au NCs precursors is crucial to achieve the controllable loading. Meanwhile, the photocatalytic activity increases as the size of the cocatalyst decreases (Negishi et al., 2015).

Besides acting as the cocatalysts, Au NCs can also be the photosensitizers for  $H_2$  generation in the photocatalytic water splitting. Kamat and coworkers find that the reversible reduction ( $E^0 = -0.63$  V vs. RHE) and oxidation ( $E^0 = 0.97$  and  $1.51$  V vs. RHE) potentials of glutathione-capped metal nanoclusters ( $Au_x$ -GSH NCs) are suitable for driving water splitting (Chen and Kamat, 2014).  $Au_x$ -GSH NCs sensitized Pt/ $TiO_2$  NPs in aqueous slurry system can generate  $H_2$  with sacrificial electron donors, such as EDTA, under the visible light irradiation (Figure 12B). The  $Au_x$ -GSH NCs expand the photoresponse range of the large band gap semiconductor to efficiently realize the conversion of light energy to chemical energy. However, the  $Au_x$ -GSH NCs aggregate into large NPs upon continuous illumination, which limits the photoconversion efficiency.

Au NCs as photosensitizers can also photocatalyze  $CO_2$  reduction. Xiong and co-workers attach  $M^{2+}$  metal cations ( $Fe^{2+}$ ,  $Co^{2+}$ ,  $Ni^{2+}$ , and  $Cu^{2+}$ ) to Au-GSH NCs covalently through L-cysteine as a bridging ligand to achieve the stable connection between the light-harvesting center and the catalytic

site (Cui et al., 2018). The photogenerated electrons are transferred from the Au-GSH NC to  $M^{2+}$  via the bridge bond and are used to reduce  $CO_2$  at the catalytic site  $M^{2+}$  (Figure 12C). It is noteworthy to overcome the instability of Au-GSH NCs in this reaction system to prevent aggregation.

It has been confirmed that the protection of Au NCs by a metal-organic framework (MOF) can improve the stability of Au NCs in photocatalytic  $CO_2$  reduction effectively. Recently, Fei et al. prepare N-heterocyclic carbene (NHC)-stabilized ultrasmall Au NCs in a MOF by the heterogeneous nucleation method (Jiang et al., 2021). Au-NC@MOF composites exhibit the stable and excellent catalytic activity for photocatalytic  $CO_2$  reduction. It has been demonstrated that the photogenerated electrons are transferred from Au NCs to MOFs via the MOF-NHC-Au covalent bond bridge to separate photogenerated electron-hole pairs rapidly, which enables the synergistic catalysis of Au NCs and MOFs.

## Phototherapy

Phototherapy is a promising cancer treatment technology with minimal trauma, few side effects and high efficacy (Lin et al., 2021). Photodynamic therapy (PDT) is a clinically licensed non-invasive phototherapy (Dolmans et al., 2003). In the aerobic environment, photosensitizers excited by light with appropriate

wavelengths generate ROS through either type I or type II reaction pathways to oxidize adjacent biological macromolecules such as DNA. The photochemical reactions causes the local oxidative damage to kill cancer cells (Li and Grant, 2016).

It has been demonstrated that Au NCs exhibit excellent biocompatibility, pharmacokinetics, renal clearance and biodegradability (Zhang et al., 2012; Zheng et al., 2021). Therefore, Au NCs can be widely used in biomedical fields such as biosensing, photoluminescence imaging, and cancer therapy (Yang et al., 2019; Zheng et al., 2021). At the same time, ultra-small Au NCs exhibit unique optical properties including high two-photon absorption cross section, long-lived triplet state, and high photoluminescence quantum yields. Combining the above two points, Au NCs can be used as photosensitizers in PDT.

Au NCs with high two-photon absorption cross section can improve the efficacy of PDT significantly. Dihydrolipoic acid coated gold nanoclusters (AuNC@DHLA) as photosensitizers can take full advantage of high two-photon absorption and mediate the single electron transfer to generate  $O_2^{\bullet-}$  for the efficient photodynamic therapy (type I) (Han et al., 2020). Meanwhile, two-photon excitation can increase the penetration depth in tissue.

The combination of Au NCs and semiconductors can improve the charge separation efficiency, which also improves the efficacy of PDT significantly. Li and Zhang et al. prepare  $TiO_2$  NPs-Au NC-graphene composites (TAG) for the efficient PDT (Cheng et al., 2017). Au NCs with narrow band gaps have the cut-off absorption wavelength at 617 nm for the efficient utilization of simulated sunlight. Meanwhile, Au NCs,  $TiO_2$  NPs, and graphene have staggered energy levels which can separate photogenerated electron-hole pairs effectively. Based on the above two advantages, TAG composites generate a large amount of  $\bullet OH$  and  $O_2^{\bullet-}$  via the water oxidation and oxygen reduction under simulated sunlight irradiation to induce severe cancer cell death. It demonstrates the significant PDT (type I) efficacy of TAG.

The functional molecules can be bound to the surface of Au NCs covalently through the terminal functional groups, which can also enhance the PDT efficacy of the clusters and expand the applications. A common covalent conjugation scheme is the coupling of carboxyl groups to primary amines via 1-ethyl-(3-dimethylaminopropyl)carbodiimide (EDC). For example, a tumor-targeting agent (folic acid) and a photosensitizer (protoporphyrin IX) can be covalently bound to lipoic acid protected  $Au_{18}$  clusters to form a multifunctional PFL-AuC nanocomposites, which can be used as a photosensitizer for photoluminescence imaging assisted PDT (type II) with tumor targeting (Nair et al., 2015). PFL-AuC nanocomposite is more efficient for the photo-generation of  $^1O_2$  compared with protoporphyrin IX alone. The tumor-targeting agents cause the local aggregation of PFL-AuC nanocomposites. Therefore,

the PFL-AuC nanocomposites can induce PDT to cause the cancer cell death with a low-energy laser. In addition, the NIR emission of the PFL-AuC nanocomposite can track the PDT process in real time. This method of constructing nanocomposites through covalent binding also enables organelle-targeting of Au NCs. For example, the multifunctional nuclear-targeting TAT peptide-Au NCs (peptide sequence: N-GRKKRRQRRR-C) can simultaneously perform photoluminescence imaging, gene delivery, and NIR-excited PDT (type II) to kill cancer cells effectively (Vankayala et al., 2015).

The severely hypoxic environment in solid tumors limits the efficacy of traditional PDT (Li et al., 2018; Liu et al., 2018). Nitroaromatic compounds are bioreductive prodrugs that are activated by nitroreductase (NTR) overexpressed in hypoxic tissues to generate DNA-reactive cytotoxic arylamines, which enables hypoxia-targeting tumor therapy (Searle et al., 2004; Wilson and Hay, 2011). However, the activation of nitroaromatic prodrugs by NTR shows slow reaction kinetics and the regulation of the reaction kinetics is complicated (Searle et al., 2004). In addition, native NTR is difficult to obtain in large quantities and loses its activity easily when pH or temperature changes (Yanto et al., 2010). At present, it has been reported that nanomaterials with catalytic activity can mimic natural enzymes to convert prodrugs into bioactive molecules for cancer treatment (Soldevila-Barreda and Metzler-Nolte, 2019; Oliveira et al., 2020; Vong et al., 2020). Visible light that excites nanomaterials to activate prodrugs is spatially and temporally controllable (Alonso-de Castro et al., 2018; Gurruchaga-Pereda et al., 2019; Zhang and Liu, 2020).

Common photosensitizers are difficult to mimic NTR because the reduction of nitroaromatics to arylamines requires a challenging six-electron/six-proton process. Meanwhile, the selective catalytic reduction of nitroaromatics is also a long-standing challenge. Our group used the glutathione protected Au NCs as photosensitizers to mimic NTRs for the selective photocatalytic reduction of nitrobenzene to aniline (AN) in a hypoxic environment (Figure 13A) (Liu et al., 2021). Notably, the catalytic activity of Au NCs can be regulated by the temporally and spatially controllable light (Figure 13B). Finally, Au NCs photocatalyze the activation of nitroaromatic prodrugs in tumor cells to achieve the photochemotherapy against the hypoxic condition of solid tumors, which overcomes the oxygen dependence of the traditional PDT.

However, Au NCs only absorb little blue light and have low utilization of visible light, so the photocatalytic reduction of nitrobenzene is less efficient. In an effort to eliminate this drawback, our group prepared the functionalized gold nanoclusters (Au-PS) as the photocatalyst by covalently linking ruthenium coordination compounds as the photosensitizers to Au NCs (Cheng et al., 2021). In the



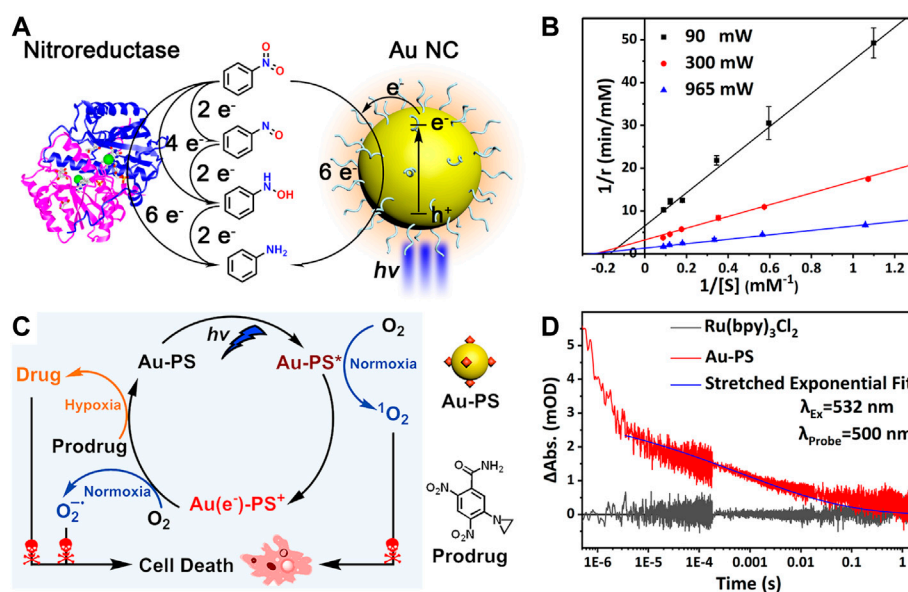


FIGURE 13

(A) Gold nanoclusters perform enzyme-like photocatalysis for nitrobenzene (B) Enzyme-like reaction kinetics of gold nanoclusters tuned by the light intensity. Reprinted with permission from (Liu et al., 2021). Copyright 2021 American Chemical Society (C) Proposed mechanism of the functionalized gold nanoclusters for photodynamic and photocatalytic double killing of cancer cells (D) Time-dependent decay of the transient absorption of charge-separated states in Au-PS. Reprinted with permission from (Cheng et al., 2021). Copyright 2021 American Chemical Society.

hypoxic conditions, Au-PS still exhibits the selective photocatalytic reduction of nitrobenzene to aniline under the visible light excitation. At the same time, this functionalization strategy broadens the optical absorption range of the material and prolongs the separation lifetime of photogenerated electron-hole pairs by utilizing the charge transfer from the excited PS to the Au NC core (Figure 13C), so the photocatalytic efficiency is improved significantly. In the normoxic conditions, ROS are generated after the photoexcitation of Au-PS. Therefore, Au-PS can be used both as the photosensitizer for photodynamic therapy in the normoxic conditions as well as being the photocatalyst to activate the nitroaromatic prodrug CB1954 in the hypoxic conditions (Figure 13D). This constitutes a dual kill mechanism to induce the cancer cell death in both normoxic and hypoxic conditions.

## Summary and outlook

The art of synthesizing monodisperse and atomically precise Au NCs makes it possible to finely tune the geometric structure and composition. Au NCs with discrete energy levels exhibit controllable photophysical properties, including optical absorption, redox capacity, and the excited-state lifetime. These tunable photophysical properties give Au NCs great potential for the photocatalytic applications.

Although Au NCs can be used for the solar energy conversion, including the degradation of organic pollutants, the conversion of organics, water splitting, and CO<sub>2</sub> reduction, the photocatalytic reactions of Au NCs are less reported than their applications in thermal catalysis.

In future endeavors of expanding photocatalytic potentials of Au NCs particularly in solar energy conversion, we think a good research direction would be making the best use of the versatile surface chemistry of Au NCs while combining the excellent visible light absorption and charge separation properties. Molecular light harvesters typically do not possess the capability of both light harvesting and multi-electron transfer catalysis whereas Au NCs do. Some organic transformations such as benzylamine oxidation or nitroaromatics reduction discussed in this review have proven Au NCs their potential. With targeted design of the gold core as well as smart choice of Au NC surface-protecting ligands, Au NCs have a bright future as the photocatalysts to utilize solar energy for value added chemical reactions.

In addition to solar energy conversion, Au NCs with good biocompatibility also have great potential as photocatalysts for biomedical application. They can be delivered into mammalian cells for the photocatalytic generation of bioactive molecules. The functionalization of surface ligands can transform Au NCs into targeted multifunctional nanocomposites and enhance the photocatalytic performance in living organisms. So far, reports

on Au NC *in vivo* photocatalysis are still very rare. More research is waiting ahead.

## Author contributions

KH designed the content of the review. DC drafted the review. DC, RL, and KH are all involved in revising the manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Rational Design of Synergistic Structure Between Single-Atoms and Nanoparticles for CO<sub>2</sub> Hydrogenation to Formate Under Ambient Conditions

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Single-atom catalysts (SACs) as the new frontier in heterogeneous catalysis have attracted increasing attention. However, the rational design of SACs with high catalytic activities for specified reactions still remains challenging. Herein, we report the rational design of a Pd<sub>1</sub>-Pd<sub>NPs</sub> synergistic structure on 2,6-pyridinedicarbonitrile-derived covalent triazine framework (CTF) as an efficient active site for CO<sub>2</sub> hydrogenation to formate under ambient conditions. Compared with the catalysts mainly comprising Pd<sub>1</sub> and Pd<sub>NPs</sub>, this hybrid catalyst presented significantly improved catalytic activity. By regulating the ratio of Pd<sub>1</sub> to Pd<sub>NPs</sub>, we obtained the optimal catalytic activity with a formate formation rate of 3.66 mol<sub>HCOOM</sub>·mol<sub>Pd</sub><sup>-1</sup>·h<sup>-1</sup> under ambient conditions (30°C, 0.1 MPa). Moreover, as a heterogeneous catalyst, this hybrid catalyst is easily recovered and exhibits about a 20% decrease in the catalytic activity after five cycles. These findings are significant in elucidating new rational design principles for CO<sub>2</sub> hydrogenation catalysts with superior activity and may open up the possibilities of converting CO<sub>2</sub> under ambient conditions.

**Keywords:** synergistic effect, single-atom catalysts (SACs), CO<sub>2</sub> hydrogenation, formic acid, ambient conditions

## INTRODUCTION

In recent years, single-atom catalysts (SACs) have attracted significant interest in heterogeneous catalysis for their advantages of 100% metal atom use, single active site structure, and unexpected high activity and selectivity for various reactions (Yang et al., 2013; Wang et al., 2018). Since the concept of SACs was proposed in 2011 (Qiao et al., 2011), it has solved the problems of low metal loadings (Liu et al., 2016; Li et al., 2018), poor thermal stability (Jones et al., 2016; Liu et al., 2020a; Liu et al., 2020b), and difficulties in large-scale production (Liu et al., 2020b; He et al., 2020) after nearly 10 years of vigorous development. However, although SACs are presented as homogeneous active centers, their catalytic activity is often difficult to compare with that of homogeneous catalysts and enzyme catalysts, and even lower than that of the corresponding nanocatalyst system in some cases (Ding et al., 2015). One of the reasons is that it is often difficult for a single metal atom center to activate multiple reactants with different properties or a single polyatomic molecule. The catalytic activity of monatomic catalysts does not depend on their single active center, but also on their surrounding chemical environment. The design and controllable construction of the synergistic structure between the single-atom

center and its surrounding active sites is the key to achieving the high-efficiency catalytic performance of SACs.

Synergistic catalysis has been widely studied in the field of nanocatalyst and has shown obvious advantages in improving the activity and product selectivity of catalysts. For example, Liu et al. (2017) designed a Schiff-base-mediated gold catalyst for hydrogenation to formate reaction. The Schiff-base functional group grafted on a SiO<sub>2</sub> support helps CO<sub>2</sub> activation by the formation of a weak carbamate zwitterionic intermediate, and Au is for H<sub>2</sub> dissociation. We previously introduced a CeO<sub>2</sub> promoter with excellent dissociation ability to water molecules and oxygen molecules into an Au/MgGa<sub>2</sub>O<sub>4</sub> catalyst to establish the synergistic catalytic effect between nano Au and the CeO<sub>2</sub> promoter, which significantly improved the catalytic activity for the water gas shift and catalytic combustion reaction (Ren et al., 2019). As for SACs, although the concept of an atomic scaled synergistic effect has not been clearly proposed and systematically studied, there are some research examples of synergistic effects. For example, we recently reported a highly active dual single-Pd-atom catalyst, which could catalyze the hydrogenation of CO<sub>2</sub> to formate under ambient conditions (Ren et al., 2022). It was found that the pore enrichment effect of microporous structures and the ternary synergetic effect among two neighboring Pd atoms and a rich nitrogen environment were the main reasons for this extraordinary catalytic activity. Liu et al. (2018) prepared a Ni-N-C SAC with metal loading up to 7.5 wt% by using N-doped C as the support, which exhibited excellent catalytic activity and cyclic stability for the hydrogenolysis of cellulose to ethylene glycol. The theoretical calculation results showed that the H<sub>2</sub> molecule was activated by assistance of the nearest uncoordinated pyridine N atom of Ni. Therefore, the design of the synergistic catalytic structure based on the properties of reactants provides a good idea for the rational design of highly effective SACs.

In this work, we focused on the synergistic effect between single atoms and nanoparticles for CO<sub>2</sub> hydrogenation to formate, an attractive reaction to achieve CO<sub>2</sub> emission reduction and safe hydrogen storage (Alvarez et al., 2017; Eppinger and Huang, 2017; Su et al., 2019). Through theoretical calculations, we found that Pd<sub>1</sub> and Pd<sub>NPs</sub> were the preferred active sites for CO<sub>2</sub> activation and hydrogen dissociation, respectively. Experimentally, we synthesized a Pd<sub>1</sub>-Pd<sub>NPs</sub> synergistic structure on 2,6-pyridinedicarbonitrile-derived covalent triazine framework (CTF) by modulating the Pd loadings and reduction time, which exhibited high efficiency for the ambient hydrogenation of CO<sub>2</sub> to formate. The optimal catalytic performance of the catalyst was obtained by regulating the ratio of Pd<sub>1</sub> to Pd<sub>NPs</sub>. Furthermore, the recycling stability was also investigated. This work provides a new strategy for the rational design of highly active SACs and is very advantageous with regard to putting forward the conversion CO<sub>2</sub> into practical applications.

## EXPERIMENT

### Chemicals

All the chemicals used in this study were of analytical grade and were used without further purification unless otherwise noted.

Anhydrous zinc chloride (ZnCl<sub>2</sub>, ≥99%), 2,6-pyridinedicarbonitrile (2,6-DCP, ≥97%), and palladium trifluoroacetate (Pd(O<sub>2</sub>CCF<sub>3</sub>)<sub>2</sub>, ≥98%) were purchased from Shanghai Macklin Biochemical Co., Ltd. Hydrochloric acid (HCl, 36.0–38.0%) and sodium bicarbonate (NaHCO<sub>3</sub>, ≥99.5%) were purchased from Sinopharm Chemical Reagent Co., Ltd. High purity compressed N<sub>2</sub>, 10% H<sub>2</sub>/N<sub>2</sub>, and 50% CO<sub>2</sub>/50% H<sub>2</sub> gases were obtained from the Deyi Gas Products Co., Ltd. (Qingdao, China).

### Sample Preparation

The CTF-400: 2,6-DCP-derived CTF was synthesized as described elsewhere (Kuhn et al., 2008). In detail, 2,6-pyridinedicarbonitrile and anhydrous ZnCl<sub>2</sub> were mixed at the ratio of 1:5 (w/w) and ground under the glove box. The mixed powder was transferred into a quartz ampoule tube, and then evacuated, sealed, and heated to 400°C for 40 h. After the reaction, the mixture was subsequently ground and washed with large amounts of water and diluted HCl (2 M) to remove the residual ZnCl<sub>2</sub>. After that, the resulting black powder was dried in a vacuum at 150°C for 12 h, and the resulting product was denoted to be “CTF-400.”

*Pd/[CTF-400]*: Pd/[CTF-400] catalysts were prepared by soaking the CTF-400 support powder in the aqueous solution of palladium trifluoroacetate with a Pd nominal weight loading of 10 wt% and 0.5 wt% for 12 h with magnetic stirring in a N<sub>2</sub> atmosphere. The suspensions were then filtrated and washed with deionized water. The resulting filter cake was dried at 80°C for 12 h under a vacuum. The samples were denoted as 10Pd/[CTF-400] and 0.5Pd/[CTF-400], respectively, and the Pd actual weight loadings were detected to be 6.48 wt% and 0.28 wt% from ICP-AES analysis, respectively. 10Pd/[CTF-400]-R-*t* samples were achieved by hydrogen reduction at 300°C for a different time. Specifically, the sample was heated to 300°C with the programming of 5°C/min under a constant flow of nitrogen. Then, the sample was kept at 300°C for 5, 10, 15, 30, 60, and 180 min under a constant flow of 10% H<sub>2</sub>/90% N<sub>2</sub> at 10 ml/min, respectively. Subsequently, the furnace was cooled down to room temperature under the protection of nitrogen.

### Characterization

Fourier-transform infrared spectra (FT-IR) were performed in transmission mode on a Bruker VERTEX 70v spectrometer equipped with a DLATGS detector. The sample was diluted with KBr powder.

Surface areas and pore size distribution analyses were measured on Quantachrome Autosorb-iQ. N<sub>2</sub> was used as the adsorbate and surface areas were calculated using the BET analysis method. All of the samples were degassed under a vacuum at 260°C for 8 h before measurement.

Elemental analyses were performed on a Vario El elemental analyzer.

X-ray photoelectron spectroscopy (XPS) data were analyzed on a Thermofisher ESCALAB 250Xi spectrometer using a monochromatized Al Kα X-ray source (1,486.6 eV). All the XPS data were calibrated by using C 1s binding energy at 284.8 eV.

Transmission electron microscopy (TEM) analysis was performed on FEI Tecnai G2 F20 at 200 keV. Aberration-

corrected scanning transmission electron microscopy (AC-STEM) and EDX mapping analysis were performed on a JEOL JEM-ARM200F.

## Catalytic Reactions

CO<sub>2</sub> hydrogenation to formate reaction was carried out in a base solution under ambient conditions (30°C and 1 bar). In general, 20 mg catalysts were added into 5 ml NaHCO<sub>3</sub> (1 mol/L) in a three-necked bottle connected to a balloon. Then, the feed gas comprising CO<sub>2</sub> (50% vol%) and H<sub>2</sub> (50% vol%) was introduced after purging the residual air. After stirring for 12 h under ambient conditions, formate in the reaction mixture was determined by high-performance liquid chromatography (HPLC). In recycling experiments, the catalyst was recovered by filtration, washed with water, and dried under a vacuum. The reaction rate was calculated according to the following equation:

$$\text{Rate} = \frac{\text{Concentration of formate} \left( \frac{\text{mol}}{\text{L}} \right) \times \text{volume (L)}}{\text{Pd amount (mol)} \times \text{time (h)}}.$$

## Computational Methods

The calculation was performed by using the M06L/6-31G\* method for nonmetal elements whereas the M06L/LANL2DZ method was used for metal atoms (Hehre et al., 1972; Hay and Wadt, 1985; Zhao and Truhlar, 2006). The metals were augmented with the corresponding LANL2DZ pseudo-potential, which was both acceptable in precision and time-consuming. Vibrational frequencies of the optimized configurations were analyzed to validate that these configurations correspond to the local minima or transition state (TS). The TS with one imaginary frequency was found and verified by the intrinsic reaction coordinate (IRC) method (Fukui, 1981).

The DFT calculations were performed on the Vienna ab initio simulation package (VASP) (Kresse and Furthmüller, 1996b; Kresse and Furthmüller, 1996a) to investigate the CO<sub>2</sub> hydrogenation process on a Pd bulk surface and Pd<sub>1</sub>/CTF. The optB88-vdW was used to describe the exchange-correlation functional, which described the van der Waals forces appropriately (Dion et al., 2004; Lee et al., 2010). The projector augmented wave (PAW) potentials (Blochl, 1994) were used for electron-ion interactions, with a plane-wave kinetic energy cutoff of 400 eV. The geometry structures were relaxed until the forces on all atoms were less than 0.05 eV/Å. The transition states were searched using the Climbing Image Nudged Elastic Band (CI-NEB) method (Henkelman et al., 2000). Each transition state was relaxed until the forces on all atoms were less than 0.05 eV/Å.

The Pd (1 1 1) surface was modeled by a three-layer slab with a (4 × 4) surface unit cell and a vacuum thickness of 20 Å. The bottom two atomic layers of Pd (1 1 1) were fixed while the remaining layer, together with the adsorbates, were fully relaxed during relaxation. The lattice constant for Pd<sub>1</sub>/CTF is the same as for Pd, with a size of 13.79 Å × 13.79 Å × 24.51 Å. The Brillouin zone was sampled using a (2 × 2 × 1) k-point grid based on the Monkhorst-Pack (1976) scheme.

## RESULTS AND DISCUSSION

### Theoretical Predictions

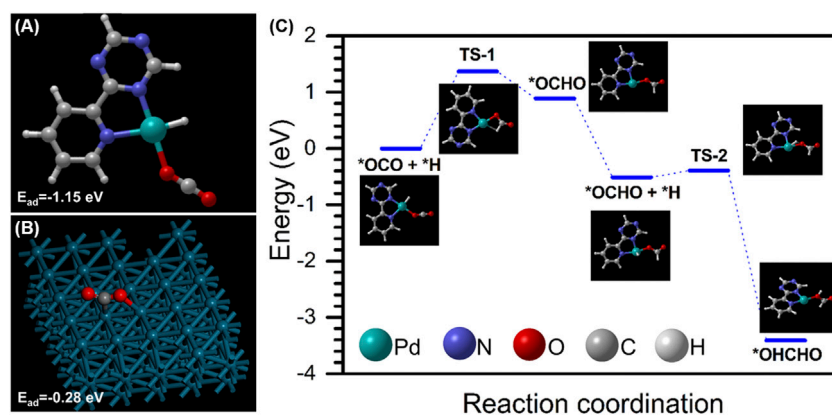
To achieve the most active catalyst structure, two different Pd species, Pd<sub>1</sub> and Pd<sub>NPs</sub>, were investigated to carry out the CO<sub>2</sub> activation and H<sub>2</sub> dissociation process. We found that 2,6-pyridinedicarbonitrile-derived CTF-coordinated Pd<sub>1</sub> exhibited much higher adsorption energy for the CO<sub>2</sub> molecule than that of the Pd (111) surface (−1.15 vs. −0.28 eV), indicating that Pd<sub>1</sub> could be served as the active site for CO<sub>2</sub> activation (Figures 1A,B). However, further calculation showed that the dissociation of the hydrogen molecule on Pd<sub>1</sub> was difficult in thermodynamics. In contrast, the dissociation of the hydrogen molecule on the Pd surface is thermodynamically feasible (Supplementary Table S1). Moreover, metallic Pd has also been proved to have high activity in H<sub>2</sub> dissociation with a nearly zero activation barrier (Ni and Zeng, 2009; Lozano et al., 2010). Therefore, we infer that Pd<sub>1</sub> and Pd<sub>NPs</sub> catalyze CO<sub>2</sub> hydrogenation in collaboration through the hydrogen molecule dissociation on Pd<sub>NPs</sub> and CO<sub>2</sub> hydrogenation on Pd<sub>1</sub>. The potential energy surface (PES) of CO<sub>2</sub> hydrogenation to formic acid on this hybrid catalyst is shown in Figure 1C. The first hydrogenation step is the rate-determining step with a barrier energy of 1.37 eV. The second step of hydrogenation is exothermic, with an energy of 2.90 eV. The energy barrier of this step is only 0.12 eV. The theoretical calculations confirm that the hydrogenation of CO<sub>2</sub> catalyzed by the Pd<sub>1</sub>-Pd<sub>NPs</sub> synergistic structure has a low barrier and can occur under ambient conditions.

### Catalyst Synthesis and Characterization

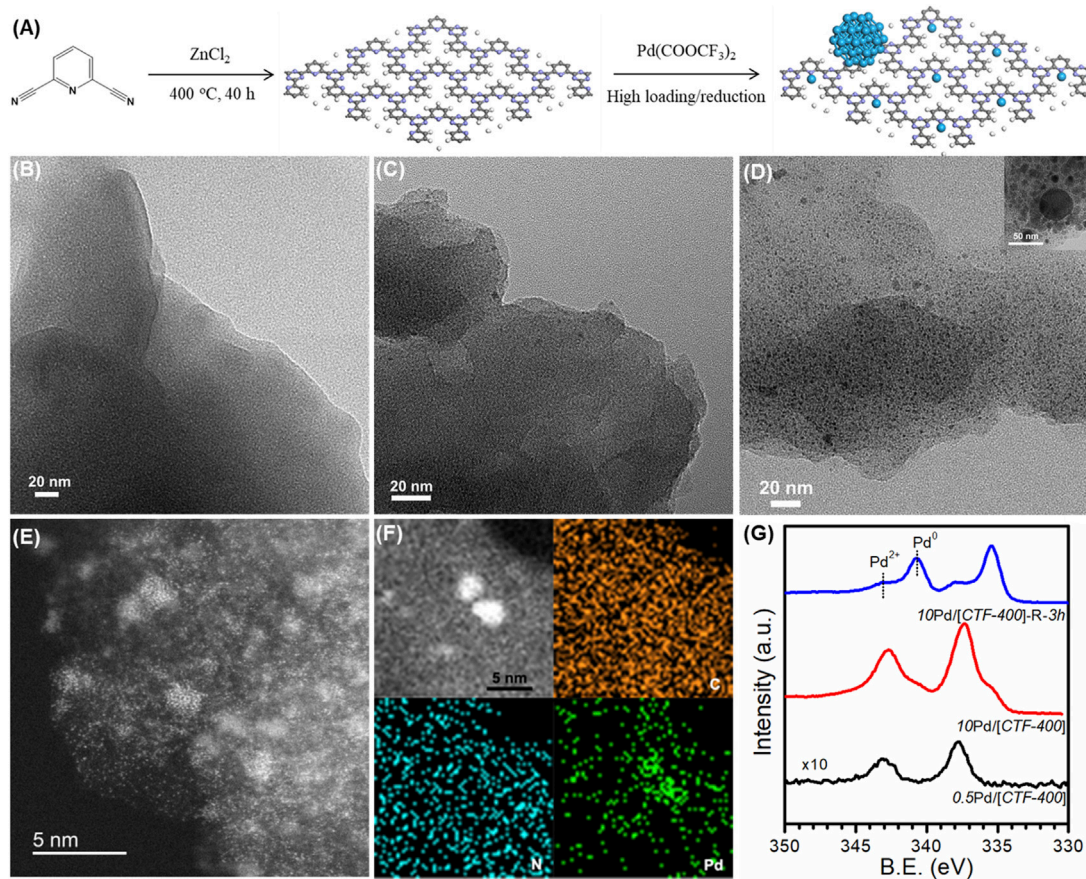
The 2,6-pyridinedicarbonitrile-derived covalent triazine framework is formed by the trimerization of 2,6-pyridinedicarbonitrile in molten ZnCl<sub>2</sub> at 400°C for 40 h, and is labeled CTF-400 (Figure 2A). FT-IR, shown in Supplementary Figure S1, confirmed the formation of the corresponding covalent triazine rings. In addition, the prepared CTF-400 possessed a surface area of 418 m<sup>2</sup> g<sup>−1</sup>, a pore size of 0.53 nm, and a total pore volume of 0.21 m<sup>3</sup> g<sup>−1</sup> (Supplementary Table S2). The nitrogen content was estimated to be 19.51 wt% (Supplementary Table S3), which is high enough to load and stabilize the supported Pd species. The Pd/[CTF-400] catalyst was prepared by soaking the support powders in aqueous palladium trifluoroacetate followed by filtrating and washing after stirring for 12 h under a N<sub>2</sub> atmosphere. By varying the initial Pd loading percent to be 0.5 wt% and 10 wt%, the single-atomic dispersed sample and the coexistence of Pd<sub>1</sub> and Pd<sub>NPs</sub> samples were prepared. The samples were denoted to be 0.5Pd/[CTF-400] and 10Pd/[CTF-400], respectively. After further reduction of 10Pd/[CTF-400] at 300°C for 3 h, the Pd<sub>NPs</sub>-dominated sample was also achieved as the reference, which was denoted to be 10Pd/[CTF-400]-R-3h.

Figures 2B–D display the TEM images at low magnification for 0.5Pd/[CTF-400], 10Pd/[CTF-400], and 10Pd/[CTF-400]-R-3h, respectively. It is obvious that 0.5Pd/[CTF-400] presents the notable character of CTF-400 without any Pd nanoparticles, which indicates that Pd is atomically dispersed on CTF-400



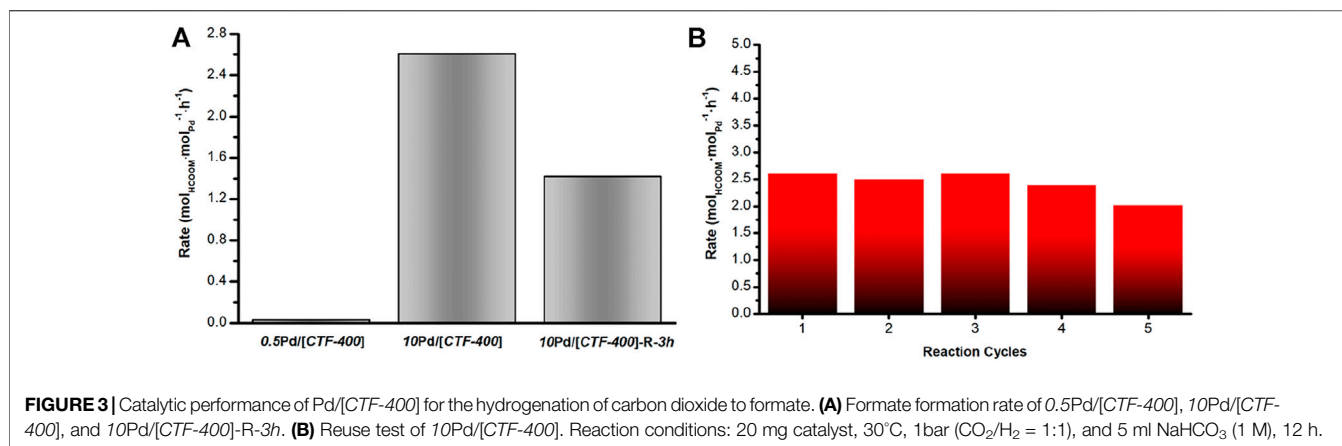


**FIGURE 1 | (A,B)** CO<sub>2</sub> adsorption energy on Pd<sub>1</sub>/CTF and the Pd surface; **(C)** the potential energy surface (PES) of CO<sub>2</sub> hydrogenation on Pd<sub>1</sub>/CTF.



**FIGURE 2 |** Structural characterizations of Pd/CTF-400. **(A)** Schematic illustration of the fabrication procedures of Pd/CTF-400. **(B–D)** HRTEM images of the characterization of 0.5Pd/CTF-400, 10Pd/CTF-400, and 10Pd/CTF-400-R-3h. **(E,F)** Aberration-corrected STEM and EDS mapping of 10Pd/CTF-400. **(G)** XPS profiles for 0.5Pd/CTF-400, 10Pd/CTF-400, and 10Pd/CTF-400-R-3h samples.





**TABLE 1** | Performance of Pd/[CTF-400] for CO<sub>2</sub> hydrogenation to formate.

| Entry | Catalyst                | HCOOH/mM | Rate (mol <sub>HCOOH</sub> · mol <sub>Pd</sub> <sup>-1</sup> · h <sup>-1</sup> ) |
|-------|-------------------------|----------|--|
| 1     | 0.5Pd/[CTF-400]         | 0.033    | 0.026  |
| 2     | 10Pd/[CTF-400]          | 76.4     | 2.60   |
| 3     | 10Pd/[CTF-400]-R-5 min  | 86.3     | 2.86   |
| 4     | 10Pd/[CTF-400]-R-10 min | 110.3    | 3.66   |
| 5     | 10Pd/[CTF-400]-R-15 min | 102.2    | 3.39   |
| 6     | 10Pd/[CTF-400]-R-30 min | 88.9     | 2.94   |
| 7     | 10Pd/[CTF-400]-R-1 h    | 58.7     | 1.95   |
| 8     | 10Pd/[CTF-400]-R-3 h    | 42.8     | 1.42   |

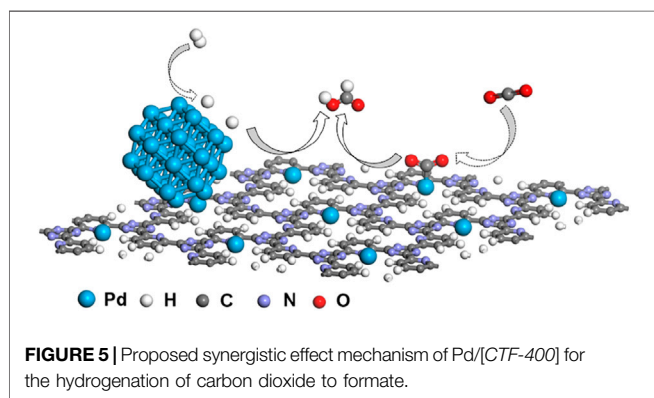
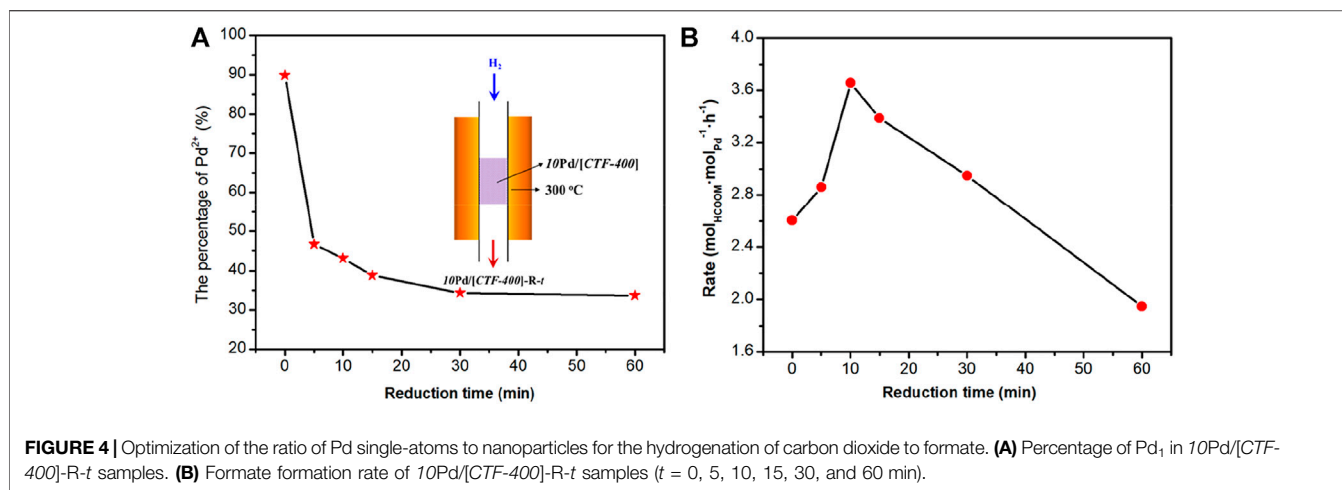
Reaction condition: 20 mg catalyst, 5 ml 1M NaHCO<sub>3</sub> solvent, 30°C, 0.1 MPa, 12 h.

(Figure 2B). Scattered Pd nanoparticles appear on the 10Pd/[CTF-400] sample, which illustrates that Pd atoms on CTF-400 have partially aggregated to nanoparticles (Figure 2C). Further observation of this sample using AC-STEM confirms that Pd single atoms and nanoparticles coexist with good contact (Figures 2E,F). However, for the 10Pd/[CTF-400]-R-3h sample, a large amount of Pd nanoparticles with a major size of ~3 nm was presented. The formation of Pd<sub>NPs</sub> was due to the aggregation of highly dispersed Pd atoms. For the as-prepared catalyst, most of the Pd species are well dispersed as single-atoms and sub-nanoparticles (as shown in Figures 2E,F), which have high surface energy and are unstable at high temperatures and reductive atmospheres. Therefore, the percentage of Pd<sub>NPs</sub> in the 10Pd/[CTF-400]-R-3h sample increased after reduction for 3 h. In addition, electronic properties for both samples were also estimated by XPS. As shown in Figure 2G, the binding energy of Pd 3d<sub>5/2</sub> in 0.5Pd/[CTF-400] is 337.3 eV, which can be attributed to that for Pd<sup>2+</sup> presented as Pd<sub>1</sub> in geometry. In addition to Pd<sup>2+</sup>, 10Pd/[CTF-400] also shows the characteristic of metallic Pd corresponding to Pd<sub>NPs</sub> in geometry with a binding energy of Pd 3d<sub>5/2</sub> at 335.2 eV, and the ratio of Pd<sup>2+</sup> to Pd<sup>0</sup> is estimated to be about 90%. Different from that of 10Pd/[CTF-400], Pd<sup>0</sup> is dominant in the 10Pd/[CTF-400]-R-3h sample with the ratio of Pd<sup>0</sup> to Pd<sup>2+</sup> being more than 70%. On the whole, the Pd<sub>1</sub>, Pd<sub>1</sub>-Pd<sub>NPs</sub> hybrid, and Pd<sub>NPs</sub>-dominated catalysts were successfully prepared, which can be used as good model catalysts to study the synergistic effect between different Pd active sites.

## Catalytic Hydrogenation of CO<sub>2</sub> to Formic Acid Under Ambient Conditions

The catalytic performances of the as-prepared Pd catalysts for CO<sub>2</sub> hydrogenation were studied at 30°C in a H<sub>2</sub>/CO<sub>2</sub> mixture (0.1 MPa) with NaHCO<sub>3</sub> (1 mol/L) as an additive in the liquid phase. After a reaction of 12 h, the formate was detected by using HPLC. As shown in Figure 3A and Table 1, compared with the Pd<sub>1</sub> and Pd<sub>NPs</sub> nanoparticle-dominated catalysts, the CO<sub>2</sub> hydrogenation activity of the 10Pd/[CTF-400] catalyst was significantly enhanced (entries 1, 2 and 8 in Table 1). In detail, 10Pd/[CTF-400] exhibited a formate formation rate of 2.60 mol<sub>HCOOH</sub> · mol<sub>Pd</sub><sup>-1</sup> · h<sup>-1</sup>, which was obviously higher than that of 0.5Pd/[CTF-400] (0.026 mol<sub>HCOOH</sub> · mol<sub>Pd</sub><sup>-1</sup> · h<sup>-1</sup>) and 10Pd/[CTF-400]-R-3h (1.42 mol<sub>HCOOH</sub> · mol<sub>Pd</sub><sup>-1</sup> · h<sup>-1</sup>). Moreover, the heterogeneous nature of this catalyst allows it to be easily recovered by centrifugation, and the recycling tests indicated that there was around a 20% decrease in the catalytic activity after five uses (Figure 3B). This result demonstrates that the catalyst can be reused after a simple separation process, which is very advantageous with regard to practical applications.

The aforementioned result confirms the theoretical predictions that the coexistence of Pd<sub>1</sub> and Pd<sub>NPs</sub> is necessary for the activation of H<sub>2</sub> and CO<sub>2</sub> at the same time. To obtain the optimized catalytic activity, the ratio of Pd<sub>1</sub> to Pd<sub>NPs</sub> was optimized. We attempted to get the sample with optimal Pd<sub>1</sub>/Pd<sub>NPs</sub> by lowering the 10Pd/[CTF-400]



sample into a tubular furnace at 300°C and reducing it in 10 vol% H<sub>2</sub> for 5, 10, 15, 30, and 60 min, respectively. The corresponding samples are denoted as 10Pd/[CTF-400]-R-*t*, where “*t*” represents the reduction time. X-ray photoelectron spectroscopy (XPS) was performed to get the ratios between Pd single atoms and nanoparticles (Figure 4A and Supplementary Figures S3–S7). With careful deconvolution from the overlapped peaks of Pd<sup>2+</sup> 3d and Pd<sup>0</sup> 3d, the ratios of Pd<sub>1</sub> ion to the total palladium were estimated to be 89.9, 46.7, 43.1, 38.7, 34.3, and 33.7% with the continuous extension of hydrogen reduction time from 0 to 60 min (Figure 4A). Figure 4B shows the catalytic performances of 10Pd/[CTF-400]-R-*t* under ambient conditions (30°C, 0.1 MPa). It can be seen that the catalytic activities exhibit a volcano-type curve with a decrease in the Pd<sub>1</sub> ratio. 10Pd/[CTF-400]-R-10 min with a Pd<sub>1</sub> ratio of 43.1% performs the best catalytic activity, and the formate formation rate reaches 3.66 mol<sub>HCOOM</sub>·mol<sub>Pd</sub><sup>-1</sup>·h<sup>-1</sup>. The existence of the optimal Pd<sub>1</sub> ratio is due to the rate equilibrium of hydrogen dissociation on Pd<sup>0</sup> nanoparticles and carbon dioxide activation on Pd<sub>1</sub>.

Based on the aforementioned analysis, the synergistic effect mechanism of 10Pd/[CTF-400] for the hydrogenation of CO<sub>2</sub> to formate is shown in Figure 5. The 10Pd/[CTF-400] catalyst integrates both Pd<sub>NPs</sub> and Pd<sub>1</sub> into one catalyst system, where the Pd<sub>NPs</sub> boosts the dissociation of H<sub>2</sub> whereas Pd<sub>1</sub> ions undertake the activation task of CO<sub>2</sub>. Through the atom diffusion process, H atoms generated at Pd<sub>NPs</sub> move to the adsorbed CO<sub>2</sub> on Pd<sub>1</sub> for high-efficiency hydrogenation. Theoretical calculations shown in Figure 1 confirmed the rationality of the tasks over each active site, that is, hydrogen dissociation occurs more easily on Pd<sub>NP</sub>, and carbon dioxide hydrogenation activation prefers to occur on Pd<sub>1</sub>. Experiments further verified the synergistic effect between Pd<sub>1</sub> and Pd<sub>NPs</sub>, and the 10Pd/[CTF-400] catalyst performed nearly two orders of magnitude higher activity than 0.5Pd/[CTF-400] and twice the reactivity of 10Pd/[CTF-400]-R-3 h.

## CONCLUSION

In summary, we have rationally designed a highly efficient catalytic system for the hydrogenation of carbon dioxide to formate under ambient conditions based on theoretical predictions. Through modeling the CO<sub>2</sub> adsorption and hydrogen dissociation process on both Pd<sub>1</sub> and Pd<sub>NPs</sub>, it was found that Pd<sub>1</sub> performed the higher adsorption energy for CO<sub>2</sub> and could be a potential candidate for CO<sub>2</sub> activation. Compared with Pd<sub>1</sub>, hydrogen dissociation occurred more easily on Pd nanoparticles. Based on this prediction, the Pd/[CTF-400] catalyst integrating both Pd<sub>1</sub> and Pd<sub>NPs</sub> on one catalyst system was synthesized and realized the hydrogenation of CO<sub>2</sub> to formate with a formate formation rate of 3.66 mol<sub>HCOOM</sub>·mol<sub>Pd</sub><sup>-1</sup>·h<sup>-1</sup> under ambient conditions (30°C, 1 bar). This hybrid catalyst presented nearly two orders of magnitude higher than the catalyst containing bare Pd<sub>1</sub> and twice the reactivity of that containing bare Pd<sup>0</sup> nanoparticles. These discoveries may pave the way for the construction of active SACs

with synergistic effects and open up the possibilities of converting CO<sub>2</sub> under ambient conditions.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**; further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

GR conceived the concept and design research. SZ, LZ, and GR designed and carried out the catalyst synthesis, characterization, and catalytic test. LS and JS executed the theoretical calculations. TY carried out the TEM characterization. All authors were involved in the writing of the manuscript. The authors declare no competing interests.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fchem.2022.957412/full#supplementary-material>

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# Recent progress of catalytic methane combustion over transition metal oxide catalysts

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Methane (CH<sub>4</sub>) is one of the cleanest fossil fuel resources and is playing an increasingly indispensable role in our way to carbon neutrality, by providing less carbon-intensive heat and electricity worldwide. On the other hand, the atmospheric concentration of CH<sub>4</sub> has raced past 1,900 ppb in 2021, almost triple its pre-industrial levels. As a greenhouse gas at least 86 times as potent as carbon dioxide (CO<sub>2</sub>) over 20 years, CH<sub>4</sub> is becoming a major threat to the global goal of deviating Earth temperature from the +2°C scenario. Consequently, all CH<sub>4</sub>-powered facilities must be strictly coupled with remediation plans for unburned CH<sub>4</sub> in the exhaust to avoid further exacerbating the environmental stress, among which catalytic CH<sub>4</sub> combustion (CMC) is one of the most effective strategies to solve this issue. Most current CMC catalysts are noble-metal-based owing to their outstanding C–H bond activation capability, while their high cost and poor thermal stability have driven the search for alternative options, among which transition metal oxide (TMO) catalysts have attracted extensive attention due to their Earth abundance, high thermal stability, variable oxidation states, rich acidic and basic sites, etc. To date, many TMO catalysts have shown comparable catalytic performance with that of noble metals, while their fundamental reaction mechanisms are explored to a much less extent and remain to be controversial, which hinders the further optimization of the TMO catalytic systems. Therefore, in this review, we provide a systematic compilation of the recent research advances in TMO-based CMC reactions, together with their detailed reaction mechanisms. We start with introducing the scientific fundamentals of the CMC reaction itself as well as the unique and desirable features of TMOs applied in CMC, followed by a detailed introduction of four different kinetic reaction models proposed for the reactions. Next, we categorize the TMOs of interests into single and hybrid systems, summarizing their specific morphology characterization, catalytic performance, kinetic properties, with special emphasis on the reaction mechanisms and interfacial properties. Finally, we conclude the review with a summary and outlook on the TMOs for practical CMC applications. In addition, we also further prospect the enormous potentials of TMOs in producing value-added chemicals beyond combustion, such as direct partial oxidation to methanol.

## KEYWORDS

methane, catalytic combustion, heterogeneous catalysis, transition metal oxide, reaction mechanism

## 1 Introduction

CH<sub>4</sub> is the cleanest fossil fuel with the highest energy content (50–55 MJ/kg) among all sources. It emits 50–60% less CO<sub>2</sub>, ~80% less nitrogen oxides (NO<sub>x</sub>), and almost negligible amount of toxic air pollutants including SO<sub>x</sub>, Hg, and PM 2.5 compared to that of coals (He L. et al., 2020; Zhang et al., 2021). Today, it has been well recognized that CH<sub>4</sub> will play an indispensable role in the paradigm shift to a more sustainable planet. However, as the main component of natural gas, CH<sub>4</sub> is the second-largest greenhouse gas after CO<sub>2</sub> with a global warming potential almost 86 times that of CO<sub>2</sub> over 20 years (He Y. L. et al., 2020).

Since the beginning of the industrial revolution, CH<sub>4</sub> emissions have raced past 1,900 ppb in 2021, almost triple its pre-industrial levels, and contributed about 20% to the global greenhouse effect so far (Pratt and Tate, 2018; Palella et al., 2021). Specifically in China, circumstances like the incomplete combustion of fossil fuels, natural gas extraction, animal enteric fermentation, crop cultivation, agricultural residue incineration, and solid waste landfills, etc., have resulted in an annual atmospheric CH<sub>4</sub> emission taking up about 60% of the total non-CO<sub>2</sub> greenhouse gas emissions (Teng et al., 2019; Gong and Zeng, 2021). In this sense, the environmental benefits of CH<sub>4</sub> resource itself will be largely balanced by the unburned emission, if no strict remediation plan in the exhaust stream is considered.

Catalytic combustion is one of the most effective means for CH<sub>4</sub> utilization for both clean power generation and emission control (Setiawan et al., 2015; Seeburg et al., 2018). Compared to the traditional flame combustion, where high operational cost and toxic substances such as carbon monoxide (CO) and NO<sub>x</sub> caused by the high combustion temperatures (>1,400°C) are almost inevitable (Stoian et al., 2021), flameless combustion of CH<sub>4</sub> under the aid of a solid catalyst allows for not only a much lower operation temperature and much reduced NO<sub>x</sub> emission but also a wider range of air-to-fuel ratio with a more stable and efficient combustion process outside the combustion limits (He L. et al., 2020).

At the heart of the CMC reaction lies the development of combustion catalysts, of which the catalytic performance and the cost should be properly balanced for practical implementations. To date, the most extensively explored CMC catalysts are precious metals like palladium, platinum, and rhodium, as is the case in the three-way catalytic converter for emission control in automobiles (Choya et al., 2021).

Noble metal catalysts are typically good at activating the C–H and O–O bonds to form free radicals and triggering the chain reactions, thus driving CMC to a low-temperature regime, while their high cost has never stopped the community searches for promising alternatives of cheaper price (Liu et al., 2015). Over the

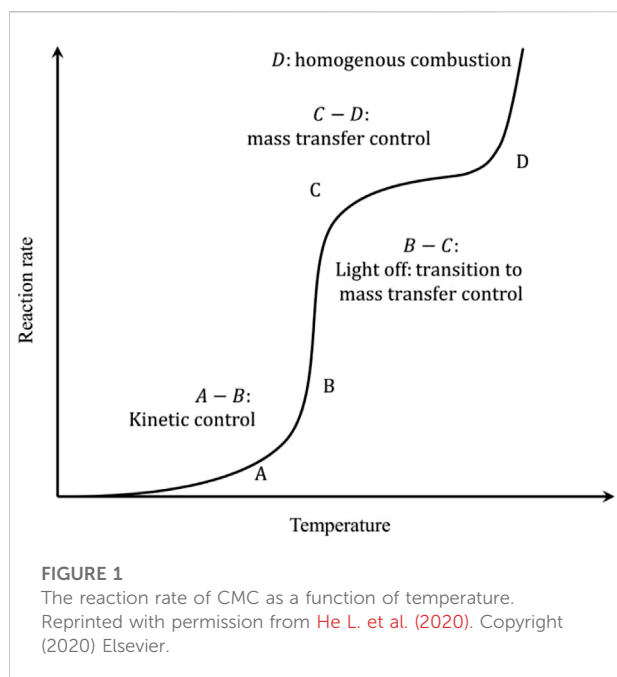
past decades, TMOs have received tremendous attention as one of the most promising candidates for catalyzing CMC owing to their great Earth abundance, low toxicity, and many outstanding physiochemical properties. Comparable, if not superior, performance to noble-metal-based systems has been reached in some cases such as Fe<sub>2</sub>O<sub>3</sub>, Co<sub>3</sub>O<sub>4</sub>, etc., (Li J. A. et al., 2019; He Y. L. et al., 2020; Zheng Y. F. et al., 2020; Yang et al., 2022), while with that being said, their practical uses are still limited by the low-temperature activity, resistivity against water, and sulfur tolerance in the combustion environment. Further improvement of the catalytic performance of TMO catalysts would require a well understanding of the active site structures and the corresponding reaction mechanisms, which unfortunately still remain largely controversial at the current stage, primarily due to 1) the high structural complexity of oxides themselves, involving a variety of surface acidic and basic sites; 2) the competitions between lattice oxygen and the molecular oxygen as the oxidizing sources; 3) oxygen exchange behaviors at the solid–gas interface; and 4) various adsorbed oxygen species (Toscani et al., 2019).

This review presents a systematic compilation of TMO-catalyzed CMC with special focus on the reaction mechanisms. We start with a brief introduction of the scientific fundamentals of the CMC reaction. Then, we move on to discuss the unique and desirable features of TMOs for the CMC reaction and different CH<sub>4</sub> activation pathways, followed by a detailed introduction of four different kinetic reaction models. Next, we categorize the TMOs of interests into single and mixed systems, summarizing their specific morphology characterization, catalytic performance, kinetic properties, with special emphasis on the reaction mechanisms and interfacial properties. Finally, we conclude the review with a summary and outlook on the TMOs for practical CMC applications. Last but not least, we also further prospect the enormous potentials of TMOs beyond CMC, such as partial oxidation to value-added chemicals.

## 2 Reaction fundamentals

### 2.1 Catalytic CH<sub>4</sub> combustion reaction

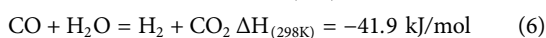
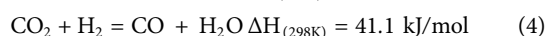
CH<sub>4</sub> is an extremely stable and highly symmetrical tetrahedral structure formed by four identical C–H bonds. In CH<sub>4</sub>, the sp<sup>3</sup>-hybridized carbon atom locates in the center of the regular tetrahedron, and four hydrogen atoms are distributed on the respective four vertices. CH<sub>4</sub> possesses a high ionization potential (12.5 eV), a low electron affinity (4.4 eV), and a high C–H bond energy (434 kJ/mol), rendering both the nucleophilic and electrophilic attacks on CH<sub>4</sub> extremely challenging under



mild conditions (Vickers et al., 2015). The complete oxidation of  $\text{CH}_4$  proceeds through the following reaction (1):



As the combustion reaction is highly exothermic, side reactions such as  $\text{CH}_4$ - $\text{CO}_2$  reforming (Eq. 2),  $\text{CH}_4$ -steam reforming (Eq. 3), reverse water gas shift reaction (Eq. 4), partial oxidation (Eq. 5), and water gas shift reaction (Eq. 6) are almost inevitable at high temperature, as shown in the following reactions (Horn and Schlögl, 2014):



In the 1970s, Pfefferle *et al.* proposed the process of “heterogeneous catalytic combustion” (Pfefferle and Pfefferle, 1987), where a solid catalyst can be used to combust  $\text{CH}_4$  in a flameless manner at a much lower reaction temperature (<500°C). Compared with traditional homogeneous flame combustion, the catalytic process owns advantages such as low light-off temperatures, reduced pollutant emission, wide operation ranges, and lower reactor requirement.

The CMC involves heterogeneous reactions, where complex processes such as mass transfer and heat transfer occur between the catalyst surface and the gas phase. Figure 1 shows the schematic relation between the reaction rate and temperature of CMC (He L. et al., 2020).

First part of the curve (A–B) is the kinetic-control zone, in which the reaction rate increases slowly with reaction temperature. At low temperatures, the reaction is limited by the intrinsic kinetics such as the dissociation rate of reactants over the catalytic surfaces. The second part of the curve (B–C) is known as the light-off regime where the reaction rate increases almost exponentially with the increase of the temperature. This is due to the drastic increase of the intrinsic reaction kinetics so that the overall reaction rates gradually transition to be controlled by the mass transfer rates. Upon further increase of the temperature (C–D), the mass transfer finally becomes the rate-limiting step, and there appears the rate plateau. The final part of the curve (D) falls under the regime of homogeneous combustion, where high temperature triggers the free radical formation and the chain reaction directly in the gas phase. The latter two high-temperature regimes impose strict requirements on the reactor design to maintain the catalyst structural stability as well as the sufficient mass and heat transfer rates. A more desirable operation window should fall nearby the light-off regime for milder reaction temperatures and sufficiently high reaction rates.

In CMC, other substances like water and sulfur are easy to get adsorbed onto catalyst surfaces under actual operation conditions; thus, the desirable catalysts for CMC should also possess a good anti-water and anti-sulfur poisoning ability beyond high low-temperature activity and thermal stability. In addition, the economic aspects should also be taken into considerations when it comes to large-scale applications.

## 2.2 Transition metal oxides

TMOs are one of the most important classes of solid catalysts that have been extensively used in a variety of important industrial processes, such as the water gas shift reaction, CO oxidation, methanol synthesis, etc., owing to their excellent thermal stability, variable valence states, and Earth abundance (Tepamatr et al., 2016; Arena et al., 2017; Huang et al., 2021). In water-containing feed, the surface of TMOs undergo acid–base reaction to form surface hydroxyl groups, which react with other reactants *via* proton or electron transfer (Yang et al., 2022). Transition metal elements could easily alternate between the low oxidation state and the high oxidation state, thus allowing for a facile release and restoration of the lattice oxygen *via* a redox cycle (Chen et al., 2015). Such redox and acid–base properties have rendered TMOs to be one of the most promising candidates for CMC reactions.

TMOs like  $\text{Co}_3\text{O}_4$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{MnO}_2$ , etc., have shown catalytic activities comparable to that of noble metal-based systems in CMC (Pu et al., 2017; He Y. L. et al., 2020; Yang et al., 2021), while the performance can be largely affected by preparation method, carrier, doping, and experimental conditions (such as the presence of water vapor and sulfur). The most common preparation methods of TMOs include thermal decomposition,

precipitation, combustion synthesis, hydrothermal method, dipping, and sol–gel method (Tang et al., 2008). The different preparation methods can lead to diverse structural properties such as particle size, dispersion, surface area, morphology, crystal plane, metal valence states, defects, and reactive oxygen species. Such variances in the TMO crystal structures may result in drastic differences in the expression of catalytic activity, as was found in  $\text{Co}_3\text{O}_4$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{IrO}_2$ , etc., where only certain crystal facets are reactive for CMC reactions (Liang et al., 2017; He L. et al., 2020).

The main exposed crystal planes of TMOs with different morphologies have a significant effect on the catalytic activity of CMC. For example, the (111) and (112) crystal planes of  $\text{Co}_3\text{O}_4$  and the (110) crystal planes of  $\text{Fe}_2\text{O}_3$  are considered to be the best catalytic activity for CMC (Hu et al., 2008; He L. et al., 2020). In addition, the structure dimensionality also induces different catalytic properties due to quantum confinement and geometric effect, as was seen in  $\text{Co}_3\text{O}_4$  where the 2D nanosheets and 3D nanoflowers possess drastically different catalytic performances (Jia Y. C. et al., 2016).

TMO-based catalysts are generally supported in CMC for improved particle dispersion, increased specific surface area, and enhanced catalytic performance. Both inactive and active supports are used to disperse TMOs in CMC. For example,  $\text{Al}_2\text{O}_3$ , as an inactive support, is an acidic oxide capable of adsorbing  $\text{CH}_4$  through acid–base pairing (Wang and Lin, 2004; Choya et al., 2018a). Active supports, such as  $\text{CeO}_2$ , can directly participate in the CMC reaction through its excellent oxygen storage capacity (Pecchi et al., 2005; Li Y. X. et al., 2009).

Doping TMOs with an impurity element is also an effective strategy to improve their catalytic performance in CMC by inducing a great deal of lattice defects, acidic and basic sites, oxygen vacancies, synergistic effect, etc., (Li J. H. et al., 2009). The increased amount of oxygen vacancies can not only improve the mobility of bulk oxygen in the lattice but also creates additional adsorption sites to promote the molecular adsorption from the gas phase, reducing the activation barrier (Wang H. W. et al., 2019). The dopants in TMOs include metal and non-metal elements. Elements like Ca and Mg are often used as promoters, while Ce and Mn are doped to obtain oxygen vacancies (Pecchi et al., 2011; Chen Y. L. et al., 2021). N is a commonly used dopant in non-metal doping, which can create more lattice distortions and further increase active oxygen species (Buchneva et al., 2012; Li et al., 2018).

One of the most serious issues for TMO catalysts in CMC is their resistance to water poisoning. Most polar facets of TMOs are prone to dissociatively adsorb moisture through an acid–base reaction and form rich surface hydroxyl groups, while this process is highly reversible and self-dehydration can occur at elevated temperatures (He Y. L. et al., 2020). Water vapor on the surface of TMOs reacts with chemisorbed

oxygen, which hinder the delivery of reactive oxygen species to active sites. Moreover, the formation of hydroxyl groups hinders the desorption of  $\text{H}_2\text{O}$  and  $\text{CO}_2$  from the surface of TMOs (He L. et al., 2020). Water vapor poisoning is generally reversible owing to the competitive adsorption of water molecules with reactants. Some TMOs possess inherent water tolerant stability, such as  $\text{NiO}$ , owing to the modification of water for  $\text{NiO}$ . Tuning the structure and surface topography of the TMO catalysts is effective in obtaining resistance to water vapor (Liu et al., 2017; Xu et al., 2017). Hydrophobic modification of TMOs or their supports may also be an effective means to improve the water resistance and catalytic activity of catalysts (Kuo et al., 2014).

Sulfur poisoning is another serious issue for TMO catalysts in CMC. Natural gas contains a substantial amount of sulfur element, which can be oxidized into sulfur dioxide ( $\text{SO}_2$ ) and get adsorbed onto the catalyst surface of the catalyst; subsequent oxidation to sulfate could also occur and thus cause serious sulfur poisoning. Some TMOs, such as  $\text{Cr}_2\text{O}_3$ , inherently have excellent sulfur resistance due to its low affinity to acid gases (Ordóñez et al., 2008). Sacrificial component such as Mn-based oxide can be used to guarantee the sulfur resistance of the CMC catalyst (Zhong et al., 2019). Similarly, supports such as  $\text{Al}_2\text{O}_3$  can react with  $\text{SO}_2$  to form sulfates, which protects active sites. But it is unfavorable for the recovery of TMO catalysts. In fuel-rich conditions, an elevated temperature ( $>500^\circ\text{C}$ ) is an effective means of recovery for sulfur-poisoned TMO catalysts (Gremminger et al., 2017).

In addition, carbon deposition can also cause serious catalyst deactivation in CMC, as commonly seen in carbonaceous reactions. Note that the effect can be remediated through carefully controlled reaction conditions, such as adjusting the air-to-fuel ratio, the space velocity, and the reaction temperature. In lean combustion scenario where the oxygen content is in excessive amount, the  $\text{CH}_n$  species formed from  $\text{CH}_4$  dissociation can be rapidly oxidized by dioxygen to form  $\text{CO}_2$ , thus avoiding coke formation. While if a rich fuel mixture is used, the insufficient oxygen content can result in the formation of coke-like carbon (Tao et al., 2015). Under the circumstance of oxygen source coming from lattice oxygen rather than the molecular oxygen in the gas phase, the  $\text{CH}_4$  to TMO catalyst feed ratio should be instead taken in consideration correspondingly. When the lattice oxygen in the TMO catalysts is insufficient to react with  $\text{CH}_4$ ,  $\text{CH}_4$  will decompose and then form carbon deposition (Wu and Ku, 2018). Another factor that can induce the catalyst deactivation is the space velocity, a small space velocity of the feed can lead to serious carbon deposition due to the long residence time between  $\text{CH}_n$  species and the catalysts (He Y. L. et al., 2020). Furthermore, carbon deposition may also result from the decomposition of  $\text{CH}_4$  at high temperatures. Promoting coke oxidation can prolong the lifetime of TMO catalysts. TMOs with high



oxygen storage capacity such as CeO<sub>2</sub> can remove coke deposition through the release of lattice oxygen (Wang Y. N. et al., 2022). Therefore, sufficient oxygen content, reasonably short residence time, and low reaction temperature are necessary to reduce carbon deposition in CMC. For TMOs, in particular, a high oxygen storage capacity will be helpful to prevent coke formation.

## 2.3 Reaction mechanisms

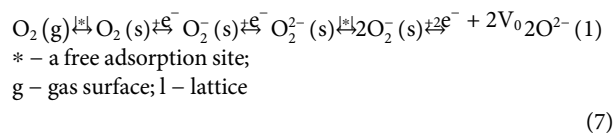
The reaction mechanisms proposed for CMC over many TMOs remain to be controversial, primarily due to the high structural complexity, different oxygen sources, oxygen-exchange behaviors, etc. Such knowledge gaps hinder us from understanding the precise active site structures and the reaction pathways from a molecular level and consequently the optimization of TMO catalysts for CMC. To this end, the existing mechanistic studies of CMC over TMOs are summarized in this section.

### 2.3.1 C–H and O–O activation

Dissociation of the first C–H bond is the most critical step of CH<sub>4</sub> activation and the following CMC reactions (Feyel et al., 2006). Fu et al. studied different C–H activation mechanisms over various TMOs using cluster model calculations. It was found that H abstraction is the primary working mechanism for the activation of CH<sub>4</sub> on most TMOs such as Cr<sub>3</sub>O<sub>9</sub> and Mo<sub>3</sub>O<sub>9</sub>, following the hydrogen atom transfer (HAT) route. H abstraction is a single-electron transfer process forming free radicals via the homolytic cleavage of the C–H bond, in which the H atom attacks the terminal oxygen atom from both *trans* and *cis* directions. In terms of activation energy, the H abstraction process in *trans* on the terminal oxygen is more favorable than that from the *cis* directions (Fu et al., 2006). Li et al. believed that there is also a proton-coupled electron transfer (PCET) process for the activation of C–H bonds on TMOs, that is, transition metal oxide ions and O<sup>2−</sup> as a Lewis acid–base pair are served as catalytic active sites. In the process, a proton is abstracted from CH<sub>4</sub> by the Lewis basic O<sup>2−</sup>, while the methyl anion is transferred to the Lewis-acidic metal center (Li et al., 2016). Thus, the activation of CH<sub>4</sub> on TMOs is usually closely related to the acidity and alkalinity of the surface.

In addition to CH<sub>4</sub> activation, the molecular oxygen also plays a significant role in governing the catalytic performance in CMC. The composition and concentration of reactive oxygen species significantly affect the CMC reaction over TMOs. In CMC, there are primarily two types of oxygen species involved in the TMOs, including surface-adsorbed oxygen and lattice oxygen. According to Eq. 7, the dissociative adsorption of molecular oxygen will result in

the formation of a series of different adsorbed oxygen species with electrophilic characters, in the sequence of superoxide O<sub>2</sub><sup>−</sup>, peroxide O<sub>2</sub><sup>2−</sup>, and charged atomic species O<sup>−</sup>. The reaction ultimately leads to the incorporation of nucleophilic O<sup>2−</sup> into the lattice to form lattice oxygen (Wang Y. Q. et al., 2022). It is precisely because of the different activation forms of C–H bonds and oxygen molecules that the mechanism of CMC is such diverse



(7)

### 2.3.2 Kinetic models

The various forms of C–H and O–O activation mechanisms undoubtedly result in a complex reaction network for TMO-catalyzed CMC systems. According to the participation forms of different oxygen species, the proposed reaction mechanisms of the CMC currently include the following four mechanisms (Figure 2). Langmuir–Hinshelwood (L–H) mechanism and the Eley–Rideal (E–R) mechanism are dominated by surface-adsorbed oxygen, while the Mars van Krevelen (MvK) mechanism is dominated by lattice oxygen, and the two-term (T–T) mechanism is cooperatively controlled by the L–H mechanism and the MvK mechanism. The rate equations consistent with these mechanisms are as follows:

$$r_{\text{LH}} = k_{\text{C}} \frac{K_{\text{C}} P_{\text{C}} \cdot K_{\text{O}} P_{\text{O}}}{(1 + K_{\text{C}} P_{\text{C}})(1 + K_{\text{O}} P_{\text{O}})} \quad (8)$$

$$r_{\text{ER}} = k_{\text{O}} \frac{K_{\text{O}} P_{\text{O}} \cdot P_{\text{C}}}{(1 + K_{\text{O}} P_{\text{O}})} \quad (9)$$

$$r_{\text{MvK}} = \frac{k_{\text{C}} P_{\text{C}} \cdot k_{\text{O}} P_{\text{O}}}{v k_{\text{C}} P_{\text{C}} + k_{\text{O}} P_{\text{O}}} \quad (10)$$

**L–H mechanism.** It is believed that the adsorption activation energy of O<sub>2</sub> on the catalyst surface is much smaller than that of CH<sub>4</sub>, and O<sub>2</sub> is preferentially adsorbed on the catalyst surface to form adsorbed oxygen. Meanwhile, adsorbed oxygen species are easier to combine with adsorbed CH<sub>4</sub> than O<sub>2</sub> due to their electrophilic attack on CH<sub>4</sub>, so that the hydrogen in CH<sub>4</sub> is dissociated, destroying the stable structure of CH<sub>4</sub> and generating active methyl radicals to promote the oxidation of CH<sub>4</sub>. The L–H mechanism is mainly found on noble metals and their supported oxide systems (Becker et al., 2011). Trimm et al. studied methane oxidation over the Pt/Al<sub>2</sub>O<sub>3</sub> porous catalyst and found that the rate equation derived by experimental results was best fit with the L–H mechanism (Trimm and Lam, 1980).

**E–R mechanism.** In the E–R mechanism, the gaseous O<sub>2</sub> is first adsorbed on the metal atoms of TMOs and then further activated to form surface-adsorbed oxygen, which reacts with

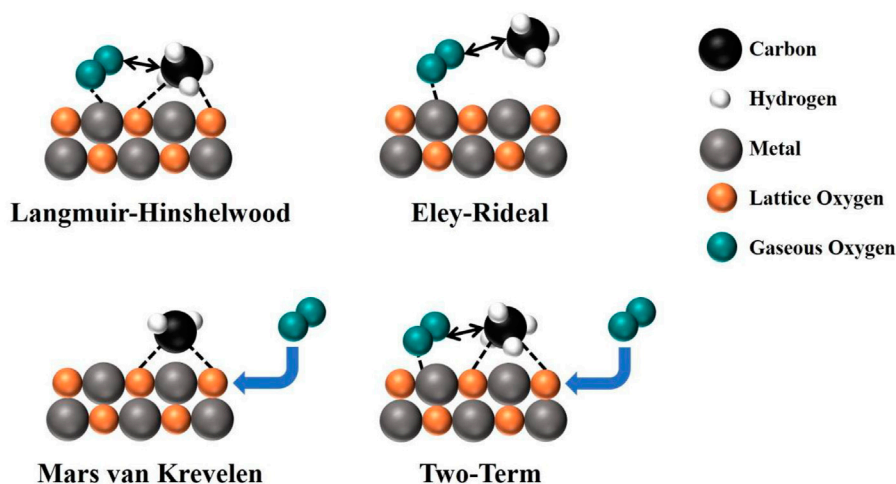


FIGURE 2  
The reaction mechanism diagram of CMC.

gaseous  $\text{CH}_4$  to form active methyl species, finally oxidized to  $\text{CO}_2$  (Belessi et al., 2001). Veldsink *et al.* investigated the reaction rate of CMC over a commercially available  $\text{CuO}-\gamma\text{-Al}_2\text{O}_3$  catalyst and derived a kinetic rate equation from all experimental data. The rate equation is in good accordance with the E–R mechanism, in which catalyst with adsorption of  $\text{O}_2$ ,  $\text{CO}_2$ , and  $\text{H}_2\text{O}$ , but does not adsorb  $\text{CH}_4$  (Veldsink et al., 1995).

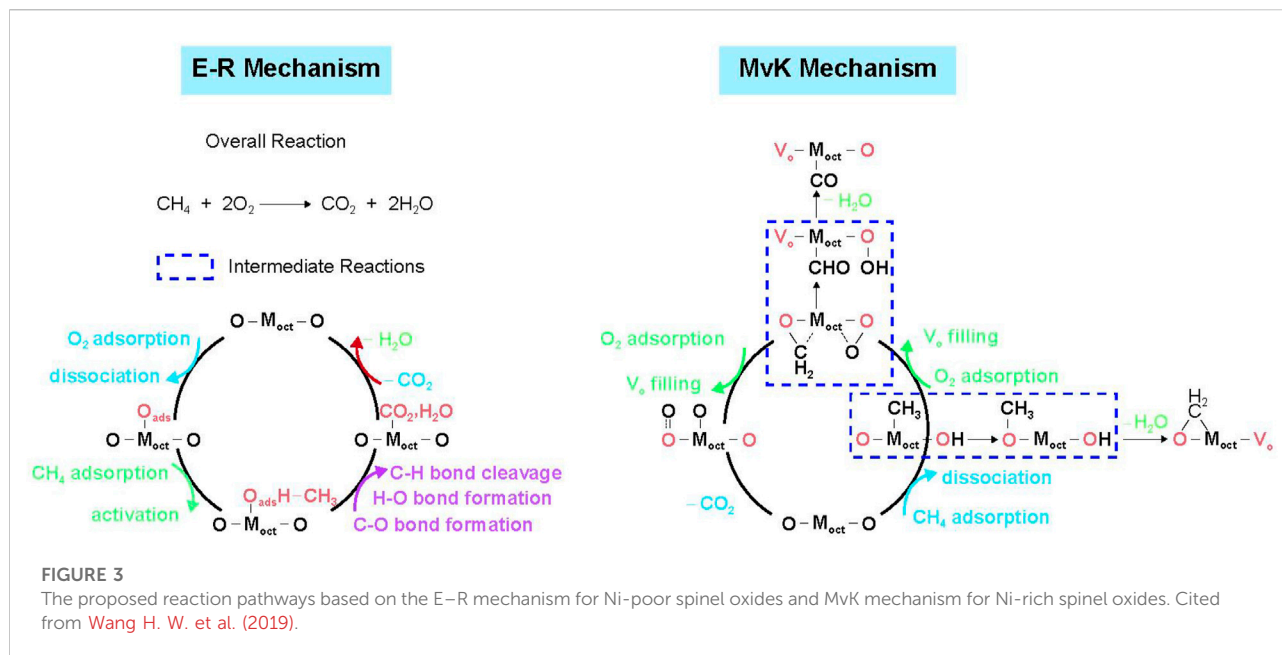
**MvK mechanism.** MvK is a redox mechanism where the catalyst will be reduced by  $\text{CH}_4$  at first, and then the active centers will be reoxidized by molecular oxygen uptakes. It includes surface oxygen reaction and lattice oxygen migration, in which the reaction pathway can be divided into the following steps: the surface dissociative adsorption of gaseous  $\text{CH}_4$  onto the active site of the catalyst to form adsorbed alkyl and hydroxyl groups, and the reaction spills over to form  $\text{CO}_2$  and  $\text{H}_2\text{O}$  products taking the lattice oxygen as the oxidizing source. After product desorption, the active centers will be reduced with a lot of formation of lattice oxygen vacancies, which can be replenished by either lattice oxygen diffusion from the bulk phase or molecular oxygen adsorption from the gas phase. This reaction mechanism is found for several TMOs like  $\text{Fe}_2\text{O}_3$  and  $\text{Co}_3\text{O}_4$  (Zasada et al., 2017; He L. et al., 2020).

**T-T mechanism.** Due to the complex nature of CMC, there are some cases where describing the reaction process on the catalyst by a single mechanism is rather challenging, and then the T-T mechanism is proposed, that is, both surface adsorption oxygen and lattice oxygen participate in the reaction simultaneously (Han et al., 2008). Gaseous oxygen adsorbs on metal active sites and then dissociates to form surface-active oxygen species. It oxidizes  $\text{CH}_4$  together with lattice oxygen species that migrate from the bulk to the surface, and the

lattice oxygen vacancies will be replenished by gaseous oxygen, resulting in some more suitable explanation of reaction mechanisms in CMC over TMOs (Wang et al., 2018).

Although in some cases that an explicit reaction model can be interpreted to well describe the reaction kinetics of TMO-catalyzed CMC reactions, such as  $\text{Fe}_2\text{O}_3$ ,  $\text{Co}_3\text{O}_4$ , etc., the reaction mechanism may vary based on the structure of TMOs or the reaction conditions. For example, Zasada *et al.* systematically examined CMC over  $\text{Co}_3\text{O}_4$  nanocubes, revealing that the content of oxygen vacancies significantly affects the reaction mechanism. In the low-temperature range (300–450°C),  $\text{CH}_4$  is primarily activated by monatomic oxygen species on the catalyst, in which facile decarboxylation and dehydroxylation leave the catalyst surface stoichiometric, thus following the L–H mechanism. From 450 to 650°C, the intrafacial dehydroxylation and decarboxylation of the catalyst accelerated the formation of oxygen vacancies, making the catalyst surface slightly reduced. Then, oxygen vacancies are virtually refilled by  $\text{O}_2$ , which is in accordance with the coexistence of L–H and MvK mechanisms. Above 650°C, oxygen vacancies formed from the bulk  $\text{Co}_3\text{O}_4$ , so causing the active involvement of the lattice oxygen following the MvK mechanism. In this case, the varying reaction conditions change the catalyst redox states, in which the number of oxygen vacancies leads to a variety of reaction mechanisms (Zasada et al., 2017).

The mechanism of CMC may also be significantly altered by doping or substitution of other transition metal ions with different contents into transition metal oxides. Wang *et al.* demonstrated that the catalytic behavior can be regulated through the substitution of Co by Ni in spinel  $\text{ZnNi}_x\text{Co}_{2-x}\text{O}_4$  oxides (Figure 3). In addition, the difference of catalytic behavior can be explained by the interaction between the O *p*-band center and metal *d*-band center. When the metal



*d*-band center exhibits a higher position relative to the O *p*-band center in Ni-poor  $\text{ZnNi}_x\text{Co}_{2-x}\text{O}_4$  spinel oxides, the catalyst shows a greater metal character, following the E–R mechanism, among which the first C–H bond cleavage and the  $\text{H}_2\text{O}$  desorption are considered to be the rate-determining steps. On the contrary, Ni-rich spinel oxides with the higher O *p*-band center compared with the metal *d*-band center show greater oxygen character, in accordance with the MvK mechanism, in which the multiple lattice oxygen involved steps are crucial for the entire methane oxidation (Wang T. et al., 2019).

The mechanism of CMC may also be greatly affected by the reaction temperature. He *et al.* investigated CMC over  $\alpha\text{-Fe}_2\text{O}_3$  via a combined experimental and theoretical study and found that  $\text{C}^{16}\text{O}_2$  formed first, followed by  $\text{H}_2^{16}\text{O}$ ,  $\text{C}^{16,18}\text{O}_2$ ,  $\text{H}_2^{18}\text{O}$ , and finally  $\text{C}^{18}\text{O}_2$ , where  $^{18}\text{O}_2$  was only used in the gaseous molecular oxygen. The numerical analysis of the mass balance revealed that the ratio  $^{16}\text{O}/^{18}\text{O} > 1$  at  $T < 385^\circ\text{C}$ , then  $^{16}\text{O}/^{18}\text{O} \approx 1$  at  $T \approx 385^\circ\text{C}$ , and finally  $^{16}\text{O}/^{18}\text{O} < 1$  at  $T > 385^\circ\text{C}$ . These indicate that, below  $385^\circ\text{C}$ , the lattice oxygen plays a predominant active role in CMC reaction, in accordance with the MvK mechanism. However, above  $385^\circ\text{C}$ , the mechanism becomes more complex and difficult to analyze (He Y. L. et al., 2020).

## 3 Transition metal oxides for catalytic $\text{CH}_4$ combustion

In the application of the CMC, the TMOs such as  $\text{Co}_3\text{O}_4$ , NiO,  $\text{MnO}_2$ ,  $\text{Cr}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{CeO}_2$ , CuO, and their binary

complex oxides have been widely studied. Therefore, the characteristics of these TMOs and the mechanisms of the CMC over these catalysts are comprehensively reviewed in the following sections in order to provide a general guideline about the construction of well-performed catalytic systems for the CMC. The catalytic performances of different TMOs for CMC are shown in Table 1.

### 3.1 $\text{Co}_3\text{O}_4$ -based catalysts

#### 3.1.1 Single $\text{Co}_3\text{O}_4$

$\text{Co}_3\text{O}_4$  is a p-type semiconducting metal oxide with a typical spinel structure, and it has an array based on a cubic close-packed oxide ion whose lattice parameter is  $a = 0.811 \text{ nm}$  with the space group of  $\text{Fd}3\text{m}$ . In the crystal structure of  $\text{Co}_3\text{O}_4$ ,  $\text{Co}^{2+}$  occupies the tetrahedral coordination and  $\text{Co}^{3+}$  occupies the octahedral coordination, respectively, with a mean cobalt oxidation state of +2.67.  $\text{Co}_3\text{O}_4$  is considered to be one of the most effective co-oxidation catalysts due to the unfilled 3*d* orbital of Co, the weak Co–O bond strength, a high cycle frequency of redox, and a low barrier of oxygen vacancy. Owing to its unique physical and chemical properties, it has a wide range of applications in sensors, magnetic materials, lithium-ion batteries, and solar cells (Sanchis et al., 2021). In CMC,  $\text{Co}_3\text{O}_4$  has attracted the attention of many researchers due to its high stability, variable valence, and excellent catalytic performance. Paredes *et al.* found the activity of different bulk TMOs catalysts in methane combustion in the following order:  $\text{Co}_3\text{O}_4 > \text{Mn}_2\text{O}_3 > \text{Cr}_2\text{O}_3 > \text{CuO} > \text{NiO}$  (Paredes et al., 2009). Therefore,  $\text{Co}_3\text{O}_4$  is considered to be one of the best candidates for  $\text{CH}_4$  combustion catalysts

TABLE 1 The catalytic performances of different TMOs for CMC.

| TMOs  | Preparation method                       | Surface area (m <sup>2</sup> g <sup>-1</sup> ) | Light-off temperature (°C)  | E <sub>a</sub> (kJ mol <sup>-1</sup> ) | Feed composition and GHSV/ WHSV (gas/weight hourly space velocity)  | Stability  | Reaction mechanism | References                                   |
|---|--|--|---|--|---|--|--------------------|--|
| Co <sub>3</sub> O <sub>4</sub>  | basic precipitation                      | 14   | T <sub>50</sub> = 330   | 74 ± 2                                 | 1% CH <sub>4</sub> , 10% O <sub>2</sub> and 89% N <sub>2</sub> GHSV: 60,000 h <sup>-1</sup>   | anti-H <sub>2</sub> O: good (reversible deactivation)<br>anti-SO <sub>2</sub> : none | MvK                | <a href="#">Choya et al. (2022)</a>          |
| Co-In-0.2 oxide   | a designed precipitation                 | 57.3   | T <sub>10</sub> = 265<br>T <sub>99</sub> = 395                          | 82.2                                   | 1% CH <sub>4</sub> , 10% O <sub>2</sub> , N <sub>2</sub> (balance); GHSV = 48,000 ml g <sup>-1</sup> h <sup>-1</sup>                      | anti-H <sub>2</sub> O: excellent (fully restored)<br>anti-SO <sub>2</sub> : none     | none               | <a href="#">Zheng Y. et al. (2020)</a>       |
| N-Co <sub>3</sub> O <sub>4</sub> -110                                 | a facial N <sub>2</sub> plasma engraving | 52.3   | T <sub>50</sub> = 342<br>T <sub>90</sub> = 412                          | 73.0                                   | 2 vol. % CH <sub>4</sub> , 20 vol. % O <sub>2</sub> , 5 vol. % H <sub>2</sub> O, Ar gas; WHSV = 46,800 ml g <sup>-1</sup> h <sup>-1</sup> | anti-H <sub>2</sub> O: good (reduced by about 3%)<br>anti-SO <sub>2</sub> : none     | May be MvK         | <a href="#">Yu et al. (2020)</a>             |
| Co <sub>3</sub> O <sub>4</sub> /Ce <sub>0.75</sub> Zr <sub>0.25</sub> | solution combustion synthesis            | none   | T <sub>50</sub> = 217 (with electric field)                             | 35.8 (with electric field)             | [CH <sub>4</sub> ] = 0.2%, [O <sub>2</sub> ] = 10%, N <sub>2</sub> (balance gas); GHSV = 30,000 h <sup>-1</sup>                           | none   | MvK                | <a href="#">Li K. et al. (2019)</a>          |
| Mesoporous Co <sub>3</sub> O <sub>4</sub>                             | Calcining                                | 136.2  | T <sub>10</sub> = 220<br>T <sub>50</sub> = 270                          | none                                   | 1% CH <sub>4</sub> , 20% O <sub>2</sub> ; space velocity = 18,000 ml g <sup>-1</sup> h <sup>-1</sup>                                      | none   | E-R                | <a href="#">Han et al. (2016)</a>            |
| Zr-Doped NiO  | homogeneous co-precipitation strategy    | 142  | T <sub>90</sub> = 380   | 55.27                                  | 1 vol% CH <sub>4</sub> , 10 vol% O <sub>2</sub> , balanced N <sub>2</sub> GHSV: 30,000 ml g <sup>-1</sup> h <sup>-1</sup>                 | anti-H <sub>2</sub> O: good<br>anti-SO <sub>2</sub> : none                           | MvK                | <a href="#">Wang et al. (2021)</a>           |
| Ni-Cu mixed oxides  | co-precipitation method                  | 147  | T <sub>50</sub> = 370<br>T <sub>90</sub> = 410                          | 89                                     | 1 vol% CH <sub>4</sub> , 5 vol% O <sub>2</sub> and N <sub>2</sub> GHSV: 50 000 ml g <sup>-1</sup> h <sup>-1</sup>                         | anti-H <sub>2</sub> O: good<br>anti-SO <sub>2</sub> : none                           | MvK                | <a href="#">Fan et al. (2022)</a>            |
| Mn-Ce-RP  | redox-precipitation method               | none   | T <sub>50</sub> = 446°C   | none                                   | CH <sub>4</sub> (1%)/O <sub>2</sub> (10%)/N <sub>2</sub> WSHV = 30,000 ml/(g*h)   | anti-SO <sub>2</sub> : excellent<br>anti-H <sub>2</sub> O: none                      | MvK                | <a href="#">Zhong et al. (2019)</a>          |
| nanocubic MnO <sub>2</sub>  | a hydrothermal process                   | 78   | T <sub>50</sub> = 293<br>T <sub>90</sub> = 350                          | none                                   | none  | none   | L- H               | <a href="#">Zhang et al. (2019)</a>          |
| α-MnO <sub>2</sub>  | a hydrothermal                           | 92.9   | T <sub>50</sub> = 356<br>T <sub>90</sub> = 463                          | none                                   | 0.1% CH <sub>4</sub> in air WHSV = 90 L g <sup>-1</sup> h <sup>-1</sup>   | none   | MvK                | <a href="#">Jia et al. (2019)</a>            |
| MnO <sub>2</sub> /ZrO <sub>2</sub>                                    | one-pot hydrothermal                     | none   | T <sub>50</sub> = 340   | none                                   | 1,000 ppm CH <sub>4</sub> , 10% O <sub>2</sub> , and N <sub>2</sub> (balance) WHSV = 45 L g <sup>-1</sup> h <sup>-1</sup>                 | anti-H <sub>2</sub> O: good<br>anti-SO <sub>2</sub> : good                           | none               | <a href="#">Jia et al. (2018)</a>            |
| Nano-ZnCr <sub>2</sub> O <sub>4</sub> spinel oxides                   | a ethylene glycol-mediated solvothermal  | 96.2   | T <sub>10</sub> = 300<br>T <sub>90</sub> = 400                          | 144.8                                  | 2 vol% CH <sub>4</sub> , 20 vol% O <sub>2</sub> , 78 vol% N <sub>2</sub> GHSV = 78,000 h <sup>-1</sup>                                    | none   | L-H                | <a href="#">Huang et al. (2019)</a>          |
| Sn-Cr binary oxide  | a co-current co-precipitation            | 133  | T <sub>10</sub> = 320<br>T <sub>50</sub> = 400<br>T <sub>90</sub> = 490 | none                                   | 1.0% CH <sub>4</sub> in air; space velocity = 20,000 h <sup>-1</sup>  | none   | MvK                | <a href="#">Zhu et al. (2003)</a>            |
| Fe and Cr-based oxides  | the citrate sol-gel                      | 82   | T <sub>450</sub> °C = 79%<br>T <sub>550</sub> °C = 97%                  | none                                   | 347 ppm CH <sub>4</sub> +5.1 ppm SO <sub>2</sub>  | anti-H <sub>2</sub> O: good<br>anti-SO <sub>2</sub> : excellent                      | none               | <a href="#">García-Vázquez et al. (2020)</a> |
| Bulk α-Fe <sub>2</sub> O <sub>3</sub>                                 | precipitation                            | none   | T <sub>50</sub> = 461   | 99.9                                   | 2000 ppm V CH <sub>4</sub> in air   | none   | MvK (100–500°C)    | <a href="#">Paredes et al. (2004)</a>        |
| Nano sheet α-Fe <sub>2</sub> O <sub>3</sub>                           | hard-templating                          | none   | T <sub>10</sub> = 230<br>T <sub>50</sub> = 394                          | 17.60                                  | 5% CH <sub>4</sub> and 20% O <sub>2</sub> balanced with 75% Ar; WHSV = 10 000 ml g <sup>-1</sup> h <sup>-1</sup>                          | none   | MvK (below 400°C)  | <a href="#">He Y. L. et al. (2020)</a>       |

(Continued on following page)



TABLE 1 (Continued) The catalytic performances of different TMOs for CMC.

| TMOs  | Preparation method       | Surface area (m <sup>2</sup> g <sup>-1</sup> ) | Light-off temperature (°C)                      | E <sub>a</sub> (kJ mol <sup>-1</sup> ) | Feed composition and GHSV/ WHSV (gas/weight hourly space velocity)  | Stability  | Reaction mechanism | References            |
|---|--------------------------|--|---|--|---|--|--------------------|-----------------------|
| NiO/CeO <sub>2</sub>  | Deposition precipitation | none   | T <sub>50</sub> = 465                           | 69.4 ± 4.0                             | 20 ml/min 10% CH <sub>4</sub> /Ar and 10 ml/min pure O <sub>2</sub><br>WHSV = 18 000 ml g <sup>-1</sup> h <sup>-1</sup> | anti-H <sub>2</sub> O: good<br>anti-SO <sub>2</sub> : none | MvK                | Zhang et al. (2018)   |
| MnCeO <sub>x</sub> (Mn-to-Ce ratio of 1:3)                                | redox                    | none   | T <sub>50</sub> = 475<br>T <sub>100</sub> = 700 | 113                                    | CH <sub>4</sub> /O <sub>2</sub> /He mixture (concentration: 1/4/95); Space velocity = 30,000 h <sup>-1</sup>            | none   | MvK                | Palella et al. (2021) |
| Ce <sub>0.9</sub> Zr <sub>0.06</sub> Sc <sub>0.04</sub> O <sub>1.98</sub> | citrate complexation     | none   | T <sub>50</sub> = 700                           | 124.3                                  | 1 vol% CH <sub>4</sub> , 8 vol% O <sub>2</sub> and 91 vol% N <sub>2</sub>   | none   | MvK                | Toscani et al. (2019) |

among all TMOs and a promising alternative for noble metal combustion catalysts.

According to the previous literature, the common methods for preparing Co<sub>3</sub>O<sub>4</sub> include precipitation, sol-gel method, hydrothermal synthesis, impregnation, thermal decomposition, and solid phase reaction. Choya *et al.* prepared several bulk Co<sub>3</sub>O<sub>4</sub> catalysts by various synthesis methodologies, among which the solution combustion synthesis route, the basic grinding route, the calcination of the cobalt hydroxycarbonate route, and the precipitation with the sodium carbonate route showed better textural properties than the commercial catalyst due to the higher presence of Co<sup>3+</sup> on their surface and further resulted in abundant lattice oxygen species. The catalysts exhibited higher catalytic activities due to their favored mobility of lattice oxygen species (Choya *et al.*, 2022). Wang *et al.* prepared a series of Co<sub>3</sub>O<sub>4</sub>/γ-Al<sub>2</sub>O<sub>3</sub> catalysts by a combination of incipient wetness impregnation (IWI) and subsequent combustion synthesis (CS) method. Results revealed that the CS method exhibited higher catalytic activity than the catalysts prepared by the IWI method, attributing to the higher surface area, lower Co<sub>3</sub>O<sub>4</sub> crystallization, better dispersion, more surface Co<sup>3+</sup>, as well as easier and faster redox cycle between Co<sup>2+</sup> and Co<sup>3+</sup> (Wang *et al.*, 2015).

Studies have shown that the catalytic behavior of Co<sub>3</sub>O<sub>4</sub> catalysts strongly depends on its morphology, structure, and crystal planes, which endow them with different electron transfer abilities and different amounts of active sites, promoting catalytic activity and selectivity. The morphological characteristics can be regulated by the preparation method, such as spheres, nanorods, nanowires, nanobelts, and nanosheets.

Wang *et al.* prepared Co<sub>3</sub>O<sub>4</sub> with the shape of nanosheets and nanospheres by the hydrothermal method in media of

ethylene glycol and water, respectively. The concentration of ethylene glycol and the hydrothermal temperature significantly influenced the size and shape of the Co<sub>3</sub>O<sub>4</sub>, which showed that the Co<sub>3</sub>O<sub>4</sub> nanosheets exhibited slightly higher catalytic performance than the Co<sub>3</sub>O<sub>4</sub> nanoparticle, as more active oxygen species were found in the former (Wang *et al.*, 2017). Chen *et al.* controllably synthesized Co<sub>3</sub>O<sub>4</sub> nanocrystals with different morphologies (flower, hexagonal plate, hexagonal sheet, and cube), which showed that the properties of Co<sub>3</sub>O<sub>4</sub> were closely related to the morphology. Compared to cubical Co<sub>3</sub>O<sub>4</sub> with the (100) plane, the flower-like, hexagonal plate-like, and hexagonal sheet-like Co<sub>3</sub>O<sub>4</sub> catalysts were more active, which may be due to more exposed (111) planes (Chen *et al.*, 2016).

In addition, the spatial structures of TMOs also play an important role in the catalytic activity. Sun *et al.* prepared Co<sub>3</sub>O<sub>4</sub> catalysts with different spatial structures, such as 0D (nanoparticles), 1D (nanorods), 2D (nanoplates), and 3D (mesoporous and microporous) structures. Among them, 2D structures (nanoplates) have the best catalytic activity, which is contributed to the high refractive index of the exposed (112) crystal planes and the role of surface-active species (such as surface-adsorbed oxygen and Co<sup>2+</sup>) in the catalytic reaction (Sun *et al.*, 2016).

The use of supports can effectively improve the dispersion and prevent the particle agglomeration caused by the sintering of the Co<sub>3</sub>O<sub>4</sub> catalyst, thereby improving the catalytic activity of the catalyst. Feng *et al.* deposited Co<sub>3</sub>O<sub>4</sub> on the SmMn<sub>2</sub>O<sub>5</sub> (SMO) support to prepare Co/SMO composite catalysts and evaluated the performance of Co/SMO catalysts in oxygen-enriched environments. The results showed that the Co/SMO-50% catalyst had high catalytic activity and strong durability. The strong interaction between Co<sub>3</sub>O<sub>4</sub> and SmMn<sub>2</sub>O<sub>5</sub> played a key

role in dispersing and stabilizing  $\text{Co}_3\text{O}_4$  by preventing catalyst sintering. Highly dispersed  $\text{Co}_3\text{O}_4$  formed more surface lattice oxygen-oxidized  $\text{CH}_4$ , leading to the transformation from  $\text{Co}^{3+}$  to  $\text{Co}^{2+}$ , along with the formation of an oxygen vacancy, which could be compensated by the gaseous oxygen, so following the MvK mechanism (Feng et al., 2018). Dou et al. obtained  $\text{Co}_3\text{O}_4/\text{CeO}_2$  catalysts by supporting  $\text{Co}_3\text{O}_4$  nanoparticles on  $\text{CeO}_2$  nanorods by the deposition precipitation method. The results showed that complete oxidation of  $\text{CH}_4$  on  $\text{Co}_3\text{O}_4/\text{CeO}_2$  (43.9 kJ/mol) was obviously lower than pure  $\text{CeO}_2$  (95.1 kJ/mol) and pure  $\text{Co}_3\text{O}_4$  (89.7 kJ/mol).  $\text{Co}_3\text{O}_4/\text{CeO}_2$  showed synergistic effect, in which oxygen vacancies on the surface of  $\text{CeO}_2$  were active centers for activating molecular oxygen in the oxidation reaction, thus promoting the improvement of catalytic activity (Dou et al., 2018).

### 3.1.2 Doped $\text{Co}_3\text{O}_4$

The element doping has been considered as an effective way to adjust the surface and electronic structures of nanomaterials. Some studies show that introducing oxygen vacancies will have a huge effect on the oxidation reaction. In the element-doped catalyst,  $\text{Co}_3\text{O}_4$ , as the reactive site, actively participates in CMC, while the doping of other elements often creates more oxygen vacancies to promote the rapid migration of lattice oxygen (Rodríguez-Fernández et al., 2019). Zheng et al. believed that  $\text{Co}^{2+}$  was active species in  $\text{Co}_3\text{O}_4$ . Thus, they prepared Co-In-x oxide via a designed precipitation method by taking N-butylamine as the precipitant. The Co-In-O solid solution phase exhibited a superior activity by doping an appropriate amount of  $\text{In}^{3+}$  to replace the  $\text{Co}^{3+}$  site of octahedral position, increasing the proportion of active species  $\text{Co}^{2+}$ , giving rise to abundant active oxygen species, improving reducibility, and optimizing surface acidity. Moreover, Co-In-x oxide also demonstrated excellent stability and water resistance, indicating that the doping of  $\text{In}^{3+}$  was beneficial to maintain a certain grain size and crystal phase (Zheng Y. F. et al., 2020). Yu et al. prepared the defective N-doped  $\text{Co}_3\text{O}_4$  by efficient  $\text{N}_2$  plasma treatment for methane oxidation reaction. N-doped  $\text{Co}_3\text{O}_4$  could synergistically boost the catalytic performance by increasing active surface oxygen, enhancing redox property, and promoting the C-H bond activation ability. This result may provide a valuable guidance for the defects engineering of  $\text{Co}_3\text{O}_4$  for the application of CMC (Yu et al., 2020).

However, doping is not always favorable for the catalytic activity of  $\text{Co}_3\text{O}_4$ . Choya et al. prepared two bulk  $\text{Co}_3\text{O}_4$  catalysts with and without residual sodium by precipitation method. It was found that the presence of  $\text{Na}^+$  had a negative impact on the properties of the  $\text{Co}_3\text{O}_4$  catalyst due to diffusion and migration into the spinel lattice. The insertion of  $\text{Na}^+$  led to lattice distortion and induced a reduction of the  $\text{Co}^{3+}$  into  $\text{Co}^{2+}$  owing to high Lewis acidic properties, along with high electron density within the oxygen ions of the lattice, weakened Co-O bonds, and

reduced lattice oxygen species, which pointed out that the doping may be detrimental to catalytic performance. In this sense, appropriate precipitants or preparation methods are important for achieving high-performance CMC catalysts (Choya et al., 2018b).

### 3.1.3 Binary $\text{Co}_3\text{O}_4$ -catalysts

Li et al. reported the introduction of an electric field on the  $\text{Co}_3\text{O}_4/\text{Ce}_{0.75}\text{Zr}_{0.25}$  catalyst and found that the presence of the electric field significantly promoted the catalytic oxidation activity of methane.  $\text{Co}_3\text{O}_4$  provided active sites and oxygen species for methane oxidation, while  $\text{CeO}_2$  released lattice O species for the oxidation of CoO and Co to  $\text{Co}_3\text{O}_4$ . The electric field promoted the reduction of  $\text{Ce}^{4+}$  to  $\text{Ce}^{3+}$  and promoted the release of oxygen from the lattice. The chemisorption of methane mainly located in the newly formed tetrahedral  $\text{Co}^{3+}$  in the electric field rather than the active sites formed by octahedral  $\text{Co}^{3+}$  with gaseous oxygen. The adsorbed  $\text{CH}_4$  was oxidized to carbonates species immediately, followed by the formation of  $\text{CO}_2$  and refreshment of consumed O species by gaseous oxygen, which is similar to the typical MvK mechanism (Li L. et al., 2019).

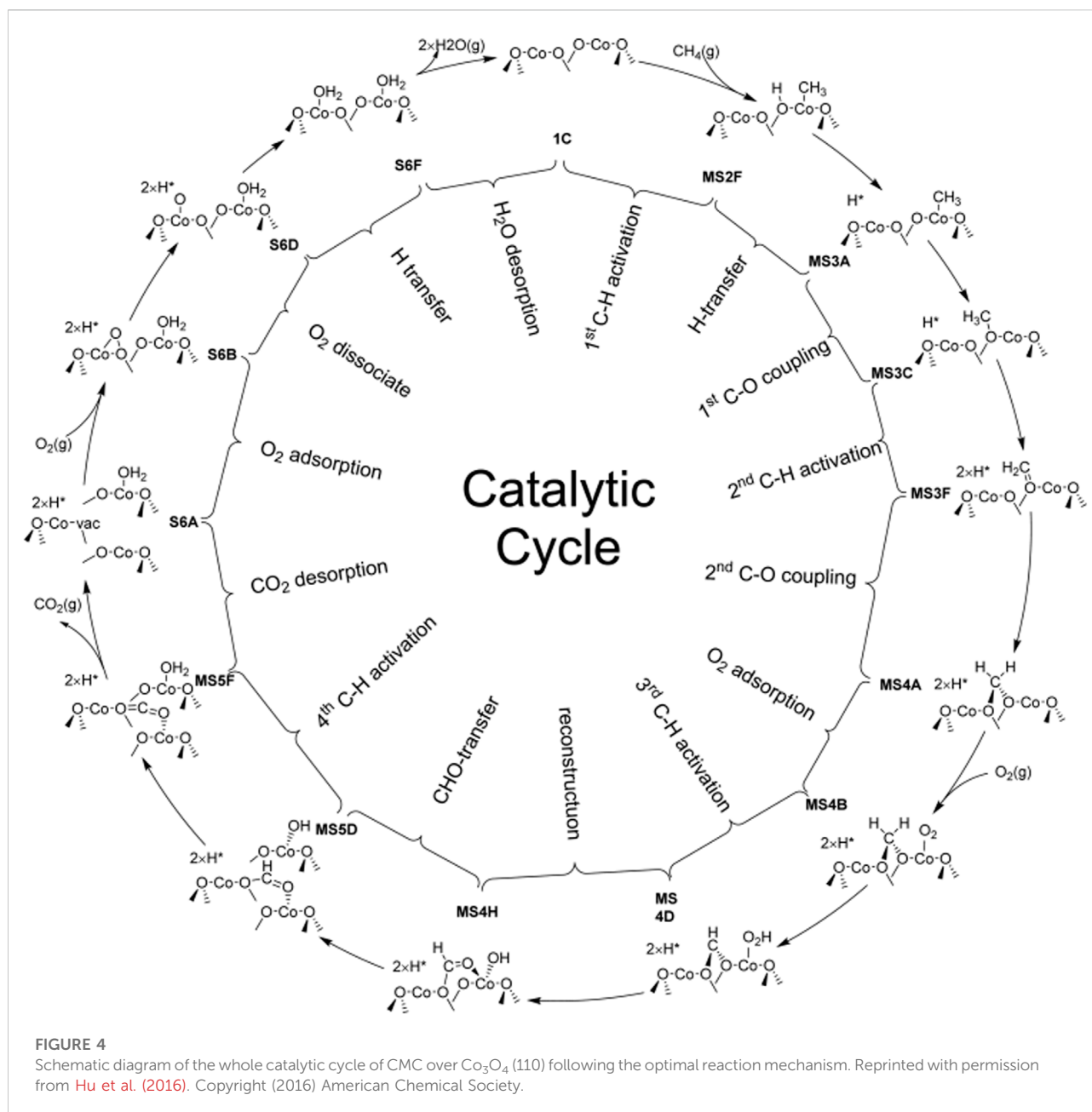
### 3.1.4 Deactivation

Although  $\text{Co}_3\text{O}_4$  shows a high catalytic activity for the CMC, it still cannot defeat noble metals owing to its low water and sulfur resistance. Generally, the inhibition of water vapor on the catalytic activity is probably due to its competition with the reactant for the active sites. The inhibition of  $\text{SO}_2$  is attributed to the formation of sulfate or sulfide on the surface of catalysts, which may cause the loss of active sites, or agglomeration and the loss of surface area. From the existing literature, this problem can be effectively solved when the TMOs are supported on the carriers or some additives are added to the TMOs (Li J. A. et al., 2019).

Li et al. prepared a series of  $\text{CoO}_x$  embedded in the porous  $\text{SiO}_2$  matrix by a spontaneous deposition method, which showed enhanced catalytic activity as compared with the simply supported  $\text{CoO}_x/\text{SiO}_2$  catalyst due to a higher ratio of  $\text{O}_{\text{ads}}/\text{O}_{\text{latt}}$  and more active sites obtained by embedded  $\text{CoO}_x/\text{SiO}_2$ . Due to the competitive adsorption of water and reaction molecules on the active sites, water vapor inhibits the catalytic efficiency. While when  $\text{H}_2\text{O}$  was removed, the  $\text{CH}_4$  conversion nearly fully recovered. Under moisture conditions, the embedded  $\text{CoO}_x/\text{SiO}_2$  exhibited a high thermal stability and efficient moisture resistance due to the silica encapsulation (Li K. et al., 2019).

### 3.1.5 Mechanisms

According to the available mechanism study on CMC over TMOs, CMC over  $\text{Co}_3\text{O}_4$  usually follows the MvK mechanism, which means that  $\text{CH}_4$  is actually oxidized by the oxygen species present in the  $\text{Co}_3\text{O}_4$  lattice, followed by the generation of oxygen vacancies. Then, the  $\text{O}_2$  from the gas phase refills the oxygen



vacancies, provoking the subsequent reoxidation of the  $\text{Co}_3\text{O}_4$ -based catalyst. Wu *et al.* prepared  $\text{Co}_3\text{O}_4$ - $\text{CeO}_2$  mixed oxides using  $(\text{NH}_4)_2\text{CO}_3$ ,  $\text{Na}_2\text{CO}_3$ , and  $\text{CO}(\text{NH}_2)_2$  as precipitation agents by a precipitation method. The  $\text{Co}_3\text{O}_4$ - $\text{CeO}_2$  with the homogeneous precipitation of  $\text{CO}(\text{NH}_2)_2$  showed excellent catalytic activity owing to the small crystallite size, easy reducibility of  $\text{Co}^{3+}$ , and high surface  $\text{Co}^{3+}$  content at the  $\text{Co}_3\text{O}_4$ - $\text{CeO}_2$  interface. The peculiar structure and morphology of  $\text{CeO}_2$  played a fundamental role in stabilizing the  $\text{Co}_3\text{O}_4$  active phase against sintering and promoting its activity. The authors believed that the pathway of CMC over

the  $\text{Co}_3\text{O}_4$ - $\text{CeO}_2$  was in accordance with the MvK mechanism, in which the  $\text{Ce}^{4+}/\text{Ce}^{3+}$  couple efficiently released oxygen (Wu et al., 2015).

Hu *et al.* investigated CMC on the  $\text{Co}_3\text{O}_4$ (110) surface with excellent catalytic performance by first-principles calculations as compared with that on the  $\text{Co}_3\text{O}_4$ (100) surface. It is found that the optimal reaction pathway of CMC over  $\text{Co}_3\text{O}_4$ (110) would be  $\text{CH}_4 \rightarrow \text{CH}_3^* \rightarrow \text{CH}_3\text{O}_{2c} \rightarrow \text{CH}_2\text{O}_{2c} \rightarrow \text{O}_{2c}\text{CH}_2\text{O}_{2c} \rightarrow \text{O}_{2c}\text{CHO}_{2c} \rightarrow \text{O}_{2c}\text{CHO}^* \rightarrow \text{CO}_2$ , in which the 2-fold coordinated lattice oxygen ( $\text{O}_{2c}$ ) was the key to the first two C-H bond activations and the C-O bond coupling (Figure 4).

According to the figure, H is able to readily transfer swiftly among different surface oxygen species to form adsorbed  $\text{H}_2\text{O}^*$  for the rapid regeneration of active  $\text{O}_{2c}$ . The cooperation of multiple active sites not only facilitates the H swift transfer in order to maximally prevent the passivation of the active low-coordinated  $\text{O}_{2c}$  but also stabilizes surface intermediates during the CMC. Due to the synergistic effect of surface-adsorbed oxygen and lattice oxygen, the reaction pathway belongs to the T-T mechanism, in which the first C–H bond activation step would be the rate-determining step for the CMC on the  $\text{Co}_3\text{O}_4$  (110) surface (Hu et al., 2016).

However, some researchers have proposed different mechanisms for the CMC over  $\text{Co}_3\text{O}_4$ . Han et al. prepared a series of  $\text{Co}_3\text{O}_4$  nanocrystals with different morphologies via calcining the  $\text{Co}(\text{OH})_2$  precursor at different temperatures, among which the mesoporous  $\text{Co}_3\text{O}_4$  possessed excellent catalytic activity due to the vacancy, antisite, dislocation, and grain boundary defects in the narrow junction regions and the structure of the pore wall. The authors believed that the reaction pathway followed the E–R mechanism, however, without detailed verification (Han et al., 2016).

## 3.2 NiO-based catalysts

### 3.2.1 Single NiO

NiO, NaCl-type cubic spar structure, is a typical P-type semiconductor with the intrinsic defects at the  $\text{Ni}^{2+}$  metal sites, which give rise to positive holes ( $\text{p}^+$ ) to generate  $\text{Ni}^{3+}$  ( $\text{Ni}^{2+} + \text{p}^+ \rightarrow \text{Ni}^{3+}$ ) or  $\text{O}^-$  species ( $\text{O}^{2-} + \text{p}^+ \rightarrow \text{O}^-$ ). NiO is extensively used in the field of catalysis, chemical sensors, battery electrodes, and magnetic and electronic devices due to excellent properties such as catalytic activity, thermo-sensitivity, and super-paramagnetic property (Wang et al., 2021). Especially, in the field of catalysis, NiO-based catalysts attract a lot of attention due to the surface electrophilic  $\text{O}^{2-}$  species being effective for the activation of C–H bonds. NiO have been successfully prepared via a variety of methods, including chemical precipitation, electrode deposition, sol–gel technique, surfactant-template, hydrothermal technique, template-free strategy, microwave-assisted gas/liquid interfacial method, and solvothermal method.

Ye et al. prepared polymorphous NiO with different morphologies, including nanoparticle-based sheets, octahedra, nanosheet-built agglomerates, and nanoparticle-based microsphere, via a simple one-pot thermal decomposition approach. The morphology and crystal properties of NiO can conveniently be achieved by selecting various decomposition temperatures and precursors. The nanoparticle-based sheets and nanosheet-built agglomerates showed a high catalytic performance owing to the small crystal size and large specific surface area by using  $\text{NiC}_2\text{O}_4 \cdot 2\text{H}_2\text{O}$  and  $\text{NiCO}_3 \cdot 2\text{Ni}(\text{OH})_2 \cdot 4\text{H}_2\text{O}$  as precursors (Ye et al., 2016).

Chen et al. prepared NiO-NSL nanomaterials with a characteristic nanorod structure through the solid–liquid  $\text{NH}_3 \cdot \text{H}_2\text{O}$  precipitation method. The content of  $\text{Ni}^{2+}$  on the surface of NiO-NSL was higher than traditional NiO-based catalysts, consistent with DFT calculations in which the energy barrier for the C–H bond activation on  $\text{Ni}^{2+}$  was lower than that on  $\text{Ni}^{3+}$ . However, the authors did not clearly explain the mechanism of the CMC on the NiO-NSL catalyst, but only highlighted the significant impact of  $\text{Ni}^{2+}$  on the catalytic performance of the NiO-based catalysts (Chen K. et al., 2021).

### 3.2.2 Doped NiO

Generally, the synergistic effect induced by the doping can significantly improve catalytic activity. Zhang et al. prepared a series of  $\text{MnO}_x$ –NiO composite oxide catalysts by the co-precipitation method, which exhibits higher catalytic performance compared with the single NiO and  $\text{MnO}_x$ . The characterization results demonstrate that the Ni–Mn–O solid solution formed by the doping of appropriate amount of Mn, showing abundant highly dispersed  $\text{Mn}^{4+}$  and higher coordination number as well as certain nickel vacancies, due to the synergy interaction of Ni and Mn (Zhang et al., 2013).

### 3.2.3 Binary NiO-catalysts

Fan et al. introduced Cu into the NiO lattice to generate the Cu–Ni solid solution with the mesoporous structure by the co-precipitation method. Ni–Cu oxide catalysts manifested superior catalytic activity, moisture tolerance, and durability, owing to more unsaturated Ni atoms and lattice defects. Ni–O–Cu bonds could weaken Ni–O and Cu–O bonds, making the lattice oxygen converted into adsorbed oxygen efficiently and creating more oxygen vacancies to promote the activation and adsorption capacity of Ni–Cu oxide for  $\text{O}_2$ , creating more surface electrophilic species ( $\text{O}_2^{2-}$ ,  $\text{O}_2^-$  and  $\text{O}^-$ ). Thus, the reaction pathway was in agreement with the MvK mechanism (Figure 5). The electron densities were redistributed because of the interaction between NiO and CuO, where the surface acid–base properties were adjusted. The higher basicity over Cu–Ni oxide could contribute to the adsorption of  $\text{CH}_4$  with weak acidity and inhibit the accumulation of surface hydroxyl groups effectively. Meanwhile, the stronger surface acid sites could facilitate the activation of  $\text{CH}_4$  via heterolytic C–H bond breaking (Fan et al., 2022).

### 3.2.4 Deactivation

Through the regulation of preparation method and structure, Ni-based catalysts can obtain excellent resistance to water vapor. Liu et al. prepared the simple metal oxides ( $\text{Fe}_2\text{O}_3$ ,  $\text{Co}_3\text{O}_4$ , NiO, and CuO) by the thermal decomposition of the corresponding metal nitrates. The NiO possessed larger capability for oxygen adsorption, thus exhibiting the best catalytic activity for CMC as compared to other metal oxides, even superior to the perovskite catalyst  $\text{LaCoO}_3$ . Water vapor promoted  $\text{CH}_4$  conversion over the



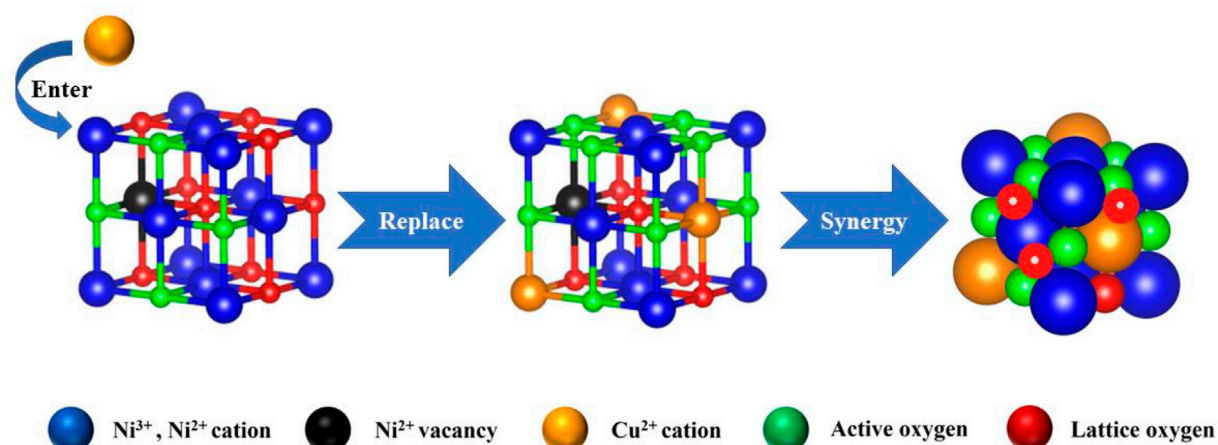


FIGURE 5  
Reaction pathway of CMC over Cu–Ni oxide. Cited from Fan et al. (2022).

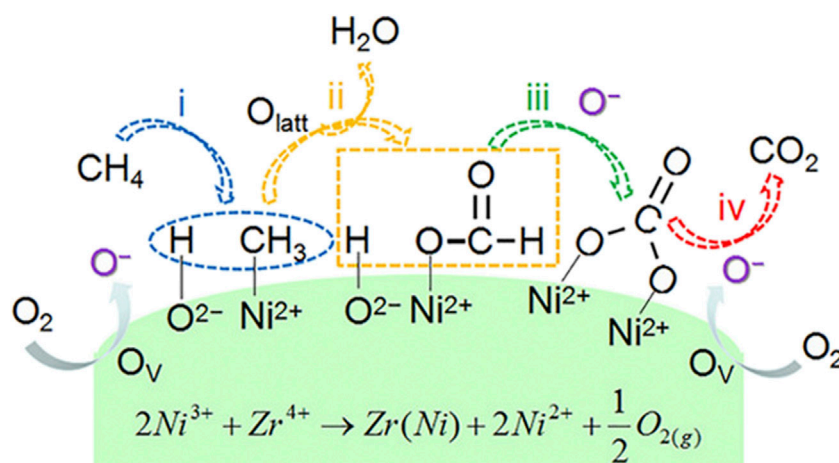


FIGURE 6  
Reaction pathway for methane combustion over  $\text{Ni}_{1-x}\text{Zr}_x\text{O}_{2-\delta}$  catalysts. Reprinted with permission from Wang et al. (2021). Copyright (2021) American Chemical Society.

NiO catalyst, which could be attributed to  $\text{H}_2\text{O}$  could modify NiO surface and promote the activation of  $\text{O}_2$  and  $\text{CH}_4$  on the surface (Liu et al., 2017). Xu et al. synthesized NiO catalysts with the mesoporous structure by hydrothermal method with polyethylene glycol (NiO-PEG) and polyvinyl pyrrolidone (NiO-PVP) as soft templates. NiO-PEG and NiO-PVP possessed more mobile-active oxygen species, which was beneficial to the activating of the  $\text{CH}_4$ , due to the mesoporous structure and high surface area. NiO-PEG displayed excellent reaction stability in the presence or absence of water vapor for the reason that its bulk structure possessed certain physical stability (Xu et al., 2017).

### 3.2.5 Mechanisms

It is generally believed that the pathway of the CMC over nickel-based catalysts is consistent with the MvK mechanism. Shu et al. prepared mesoporous NiO with abundant oxygen defects by a NaCl crystalline scaffold-based method. In kinetic measurements,  $r_{\text{CH}_4}$  exhibited a near zero-order dependence on  $\text{O}_2$  partial pressure, indicating that  $\text{CH}_4$  oxidation was not sensitive to the  $\text{P}_{\text{O}_2}$  partial pressure. Due to the depletion of lattice oxygen, the concentrations of  $\text{C}^{16}\text{O}^{16}\text{O}$  and  $\text{C}^{18}\text{O}^{16}\text{O}$  were reduced, and the concentration of  $\text{C}^{18}\text{O}^{18}\text{O}$  was increased, which further demonstrated that the reaction mechanism was accorded

with the MvK mechanism. In this case, the highly abundant lattice oxygen species had great contribution to the excellent performance of NiO (Shu et al., 2022). Wang et al. prepared Zr-promoted NiO nanocatalysts by a designed co-precipitation process.  $\text{Ni}_{0.89}\text{Zr}_{0.11}\text{O}_{2-\delta}$  solid solution phase exhibited abundant active  $\text{Ni}^{2+}$  sites and oxygen vacancies, bringing about the increase in surface acidic-basic sites. The mechanism for CMC could be described, as shown in Figure 6.  $\text{CH}_4$  was adsorbed on the  $\text{Ni}^{2+}-\text{O}^{2-}$  active site at first and then dissociated to  $-\text{CH}_3$  and  $-\text{OH}$ , followed by the formation of formate and carbonate intermediates. Then, the carbonate species converted to  $\text{CO}_2$ . The authors did not mention which mechanism the CMC on  $\text{Ni}_{0.89}\text{Zr}_{0.11}\text{O}_{2-\delta}$  conforms to, but according to the lattice oxygen and oxygen vacancies involved in the reaction, it is judged that the reaction conforms to the MvK mechanism. Meanwhile, in the stream tests, the steady conversion of  $\text{CH}_4$  could be well maintained regardless of the presence of  $\text{H}_2\text{O}$  due on the doping of Zr (Wang et al., 2021).

### 3.3 $\text{MnO}_2$ -based catalysts

#### 3.3.1 Single $\text{MnO}_2$

Mn oxides, such as  $\alpha$ - and  $\beta$ - $\text{MnO}_x$ , possess the different polymorphic structures, which exhibit mixed valence state of Mn ( $\text{Mn}^{2+}$ ,  $\text{Mn}^{3+}$ , and  $\text{Mn}^{4+}$ ), and different ways to link together the basic octahedral  $[\text{MnO}_6]$  units, thereby showing strikingly different and efficient catalytic activities for the CMC (Jia J. B. et al., 2016; Yang et al., 2021). The catalytic activity of the Mn oxides is typically determined by their prepare method, morphology, crystal structure, and degree of oxidation.

Yu et al. prepared  $\gamma$ - $\text{MnO}_2$  with abundant surface and lattice defects by a combined ball-milling and selective atom removal method, which showed a high catalytic activity for the CMC owing to the enhanced specific surface area, high  $\text{Mn}^{4+}/\text{Mn}^{3+}$  ratio, more active oxygen species, and enhanced reducibility (Yu et al., 2019). Wasalathanthri et al. prepared mesoporous amorphous Meso-Mn-A, Meso- $\text{Mn}_2\text{O}_3$ , Meso- $\epsilon$ - $\text{MnO}_2$  (epsilon phase), and octahedral molecular sieves  $\text{MnO}_2$  (Meso-OMS-2) via an inverse surfactant micelle method. Meso-OMS-2 showed the highest catalytic activity, attributing to the narrow and monomodal pore size distribution, higher surface area, the oxidation states, and surface oxygen vacancies, promoting the lattice oxygen mobility, following the MvK mechanism (Wasalathanthri et al., 2015).

#### 3.3.2 Doped $\text{MnO}_2$

In addition, compared to pure  $\text{MnO}_x$ , the doping of other transition metals will also significantly enhance the catalytic performance of Mn-based oxides. Neatu et al. studied  $\text{CeO}_2$ - $\text{MnO}_x$  catalysts by three methods, illustrating that the

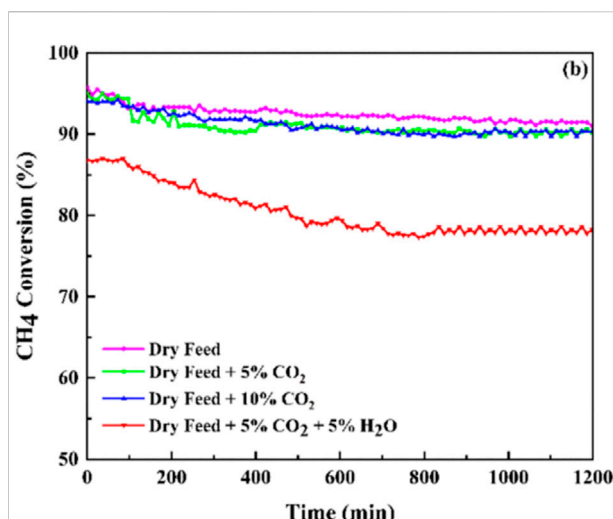


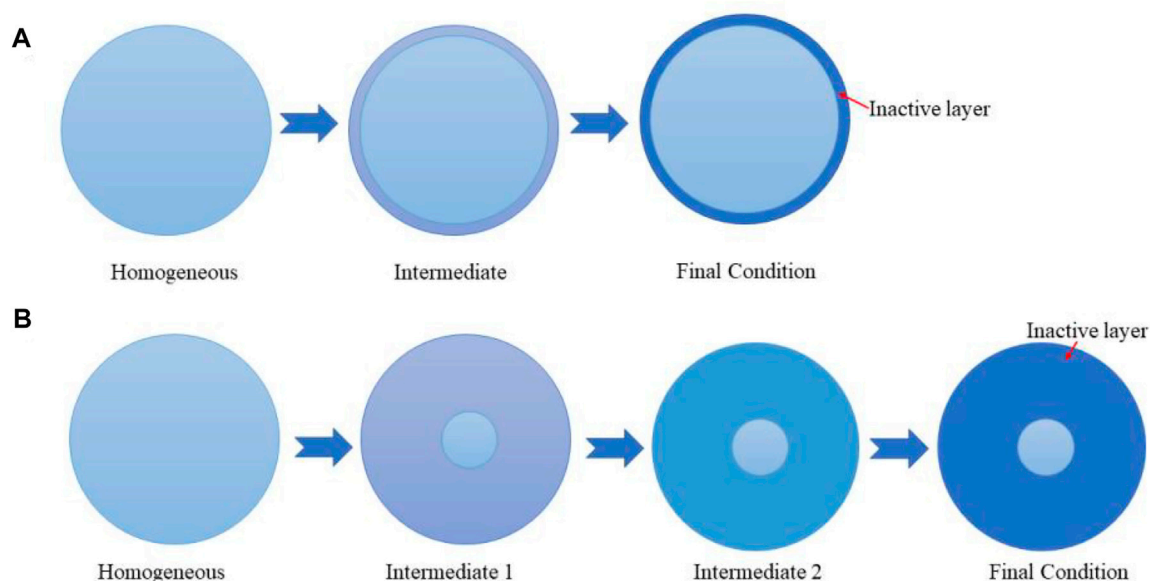
FIGURE 7  
Catalytic stability for methane catalytic combustion of the  $\text{MnO}_2$  catalyst under different feed compositions. Reprinted with permission from Akbari et al. (2021). Copyright (2021) American Chemical Society.

$\text{CeO}_2$ - $\text{MnO}_x$  catalyst impregnated on the support surface showed superior catalytic activity as compared with the catalyst doped into the bulk. The authors believed that the CMC over the  $\text{CeO}_2$ - $\text{MnO}_x$  catalyst was in accordance with the MvK mechanism, in which the oxidation of  $\text{CH}_4$  took place using the lattice oxygen, consecutively with the reduction of  $\text{Ce}^{4+}$  and  $\text{Mn}^{4+}$  to  $\text{Ce}^{3+}$  and  $\text{Mn}^{3+}$ , respectively. The gaseous  $\text{O}_2$  was used to reoxidize the surface to assure another catalytic cycle (Neatu et al., 2019).

#### 3.3.3 Deactivation

However, water vapor will affect the catalytic activity of Mn-based catalysts for the CMC to some extent. Akbari et al. prepared nanostructured  $\text{MnO}_2$  catalysts with various morphologies by the simple hydrothermal method and the solution method, among which the  $\alpha$ - $\text{MnO}_2$  catalyst with a wire-like morphology exhibited the best performance for CMC. However, the stability of the optimal  $\text{MnO}_2$  sample reduced to some extent with the water vapor in the reactant feed stream (Figure 7). On one hand, the coverage of the active sites by water vapor inhibited the adsorption of  $\text{CH}_4$  and  $\text{O}_2$  on the  $\text{MnO}_2$  catalyst surface. On the other hand, the  $-\text{OH}$  group as an inert compound over lattice oxygen could also cause a decline in the catalytic stability (Akbari et al., 2021).

If in an oxidizing atmosphere,  $\text{SO}_2$  will be oxidized to form sulfate, which will be deposited on the surface of  $\text{MnO}_2$ , hindering the multiple adsorption, activation, and oxidation of  $\text{CH}_4$  over  $\text{MnO}_2$ , then causing the deterioration of catalytic activity. Zhong et al. synthesized  $\text{MnCe}$ -RP and  $\text{MnCe}$ -CP by the redox-precipitation (RP) and co-precipitation (CP) methods,



**FIGURE 8**  
SO<sub>2</sub>-poisoning mechanism over MnCe-CP (A) and MnCe-RP (B). Cited from Zhong et al. (2019).

respectively, and studied SO<sub>2</sub> resistance of the catalysts (Figure 8). The SO<sub>2</sub> would decrease the content of lattice oxygen and Mn<sup>4+</sup>, so the CH<sub>4</sub> conversion of MnCe-CP reduced by 62.45%. On the contrary, the CH<sub>4</sub> conversion of MnCe-RP only reduced by 1.08% owing to the excellent morphology and the redox potential of K<sub>x</sub>Mn<sub>8</sub>O<sub>16</sub>, absorbing and oxidizing SO<sub>2</sub> to sulfides, freeing from the poison of the downstream catalyst (Zhong et al., 2019).

### 3.3.4 Mechanisms

There are reported contradictory claims on the CMC over Mn oxides, such as that based on the L-H mechanism involving adsorbed oxygen and that based on the MvK mechanism concerning lattice oxygen.

Zhang *et al.* synthesized nanocubic MnO<sub>2</sub> (MnO<sub>2</sub>-C) with planes (101) and nanorod-shaped MnO<sub>2</sub> (MnO<sub>2</sub>-R) with planes (110) by a hydrothermal process, illustrating that the morphologies of MnO<sub>2</sub> had significant influence on the catalytic performances of CMC. Due to higher BET surface area, smaller crystalline size, more surface oxygen vacancies, and better low-temperature reducibility, MnO<sub>2</sub>-C displayed higher catalytic performances and good thermal stability compared with MnO<sub>2</sub>-R. The authors believed that the surface oxygen of MnO<sub>2</sub>-C could react with the adsorbed C-H to generate the carboxylate species for the reason of more surface oxygen vacancies, followed by the oxidation of carboxylate species to CO<sub>2</sub>, through the L-H mechanism (Zhong et al., 2019). Jia *et al.* synthesized two types of single-phase manganese oxides MnO<sub>2</sub> with different levels

of nonstoichiometric defects, α-MnO<sub>2</sub> (Mn1) and γ-MnO<sub>2</sub> (Mn2), compared with a stoichiometric Mn<sub>2</sub>O<sub>3</sub> (Mn3) as a reference. Results revealed that both Mn3 and Mn1 exhibited enhanced activities due to more structural defects. It was found that CH<sub>4</sub> was directly oxidized to carbonates on the surface of the pre-oxidized Mn1 and subsequently transformed to CO<sub>2</sub> in the absence of gaseous O<sub>2</sub> by the *in situ* DRIFTS and CH<sub>4</sub>-TPD test, which showed that the active oxygen involved in the CH<sub>4</sub> oxidation was largely derived from the surface lattice oxygen of the Mn1, in accordance with the MvK mechanism. The O<sub>2</sub> on-off profiles further demonstrate reaction mechanism, in which the desorbed CO<sub>2</sub> was generated by the reaction between CH<sub>4</sub> and lattice oxygen from Mn1 without O<sub>2</sub>, while the increase in CO<sub>2</sub> resulted from the reaction between CH<sub>4</sub> and the constantly refilled oxygen at the vacancies in the presence of O<sub>2</sub> (Jia et al., 2019).

Wang *et al.* studied the roles of the crystallographic structure of Mn-based oxides, among which α-MnO<sub>2</sub> exhibited the superior catalytic performances, attributing to higher surface Mn concentration and more active oxygen species, more mono-μ-oxo bridged (corner-shared) MnO<sub>6</sub> sites, and better reducibility. CMC over the MnO<sub>2</sub> catalyst proceeds *via* both MvK and L-H mechanisms, while the latter was predominant, in which the dehydrogenation of CH<sup>\*</sup> to H<sup>\*</sup> was considered as the rate-determining step and intermediate species -COO and -CH<sub>3</sub>O were oxidized by active oxygen species to CO<sub>2</sub> and H<sub>2</sub>O (Wang et al., 2018).

### 3.4 Cr<sub>2</sub>O<sub>3</sub>-based catalysts

#### 3.4.1 Single Cr<sub>2</sub>O<sub>3</sub>

The Cr<sub>2</sub>O<sub>3</sub>, trigonal crystal system belongs to the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> structure, which is composed of oxygen ions as the closest hexagonal packing and Cr<sup>3+</sup> filling the octahedral gap formed by these close packings. The coordination number of Cr<sup>3+</sup> is 6, and only 2/3 of the octahedral gap is occupied by chromium ions. The activity and selectivity of Cr<sub>2</sub>O<sub>3</sub> are closely related to the change of the valence state of chromium ions. Various techniques have been developed to prepare Cr<sub>2</sub>O<sub>3</sub> nanoparticles such as co-precipitation, incipient wetness impregnation, sol-gel, solvothermal, solid thermal decomposition, and sonication (El-Sheikh et al., 2009).

Jodłowski et al. applied the sonication method to prepare transitional metal oxide catalysts (Co, Cu, Cr), among which the chromium oxide catalyst showed greater catalytic activity as compared to cobalt oxide, copper oxide, and commercial palladium catalysts, attributing to the presence of a high concentration of formate on the surface of chromium oxide (Jodłowski et al., 2016).

#### 3.4.2 Doped Cr<sub>2</sub>O<sub>3</sub>

The doping of Cr<sub>2</sub>O<sub>3</sub> enables the catalyst to exhibit excellent catalytic activity due to the synergistic effect between TMOs. Yuan et al. prepared Cr-based catalysts modified by Ce via incipient wetness impregnation method and investigated the effects of the Ce loading amount. It was found that the Cr-based catalysts with 3 wt% Ce showed higher catalytic performance due to an increasing amount of reaction site [CrO<sub>4</sub>] species, improving the high-temperature-resistant performance and obtaining the synergistic effect between Ce and Cr (Yuan et al., 2013). Dupont et al. synthesized Cu/Cr oxides with high specific surface area by the sol-gel route using propionic acid. The results suggested that Cu/Cr oxides prepared by the special sol-gel process showed better catalytic performances compared with Cu/Cr oxide commercial catalysts, attributing to well-dispersed Cu species and the surface enrichment with Cr<sup>6+</sup>. In addition, though DFT coupled with periodic slab models, the authors believed that O<sub>2</sub> molecules dissociatively adsorbed over the Cu/Cr oxide surface at the first step, along with generating the active oxygen species mainly at the Cr sites (Dupont et al., 2010).

#### 3.4.3 Deactivation

Due to its poor affinity for acid gases, Cr<sub>2</sub>O<sub>3</sub> exhibits excellent resistance to sulfur poisoning. Ordóñez et al. prepared different bulk metal oxides (Cr<sub>2</sub>O<sub>3</sub>, Co<sub>3</sub>O<sub>4</sub>, Mn<sub>2</sub>O<sub>3</sub>, NiO, and CuO) and investigated their catalytic stability for CMC in the presence of SO<sub>2</sub>. It was found that Cr<sub>2</sub>O<sub>3</sub> exhibited highly sulfur-tolerant, whereas the other materials were deactivated rapidly, even if Co<sub>3</sub>O<sub>4</sub> and Mn<sub>2</sub>O<sub>3</sub> were more active than Cr<sub>2</sub>O<sub>3</sub> for CMC in the

absence of sulfur species. Characterization results illustrated that the excellent stability was caused by the low affinity of Cr<sub>2</sub>O<sub>3</sub> to acid gases (as SO<sub>2</sub>); thus, sulfates would not form on the surface of the catalyst to occupy the active sites (Ordóñez et al., 2008).

#### 3.4.4 Mechanisms

There is still controversy about the mechanism of CMC on Cr<sub>2</sub>O<sub>3</sub>. Huang et al. synthesized a homogeneous ZnCr<sub>2</sub>O<sub>4</sub> oxides by the ethylene glycol-mediated solvothermal method. Cr<sup>3+</sup> and Cr<sup>6+</sup> coexisted in ZnCr<sub>2</sub>O<sub>4</sub>, in which Cr<sup>6+</sup> probably caused the presence of interstitial oxygen species in the structure. The authors believed that the reaction pathway of CMC over the ZnCr<sub>2</sub>O<sub>4</sub> catalyst was consistent with the L-H mechanism under low temperature, in which the interstitial oxygen was involved to the methane combustion. However, the mechanism has not been experimentally verified (Huang et al., 2019).

On the contrary, Zhu et al. applied the co-current co-precipitation method to prepare a series of Sn-Cr binary oxide catalysts among which the oxide with a Cr/Sn atomic ratio of 3 : 7 showed excellent catalytic activity due to higher surface areas and high oxidation states of chromium ions. Temperature-programmed <sup>18</sup>O isotope-exchange measurements confirmed that CMC over Sn-Cr binary oxide catalysts occurred via a redox cycle with the chromium ion as the active center, following the MvK mechanism (Zhu et al., 2003).

### 3.5 Fe<sub>2</sub>O<sub>3</sub>-based catalysts

#### 3.5.1 Single Fe<sub>2</sub>O<sub>3</sub>

Fe<sub>2</sub>O<sub>3</sub> is an earth-abundant and non-toxic material that has been extensively used to catalyze a wide range of reactions including water gas shift reaction, photocatalytic water splitting, looping combustion, etc. There are four polymorphs of Fe<sub>2</sub>O<sub>3</sub>, which are Hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), Maghemite ( $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>),  $\beta$ -Fe<sub>2</sub>O<sub>3</sub>, and  $\epsilon$ -Fe<sub>2</sub>O<sub>3</sub>. Among which  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is thermodynamically stable and has the corundum( $\alpha$ -Al<sub>2</sub>O<sub>3</sub>) structure, which is based on a hcp anion packing.  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> is an inverse spinel structure with cation-deficient sites and can be transformed from  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> at high temperature.  $\beta$ -Fe<sub>2</sub>O<sub>3</sub> and  $\epsilon$ -Fe<sub>2</sub>O<sub>3</sub> have been synthesized only in the laboratory. The former has been obtained by the de-hydroxylation of  $\beta$ -FeOOH under high vacuum at 170°C. The structure of  $\epsilon$ -Fe<sub>2</sub>O<sub>3</sub> is intermediate between those of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> and  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>. It can be prepared in various ways and transforms to  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> at between 500 and 750°C, apparently according to the method of preparation (Cornell and Schwertmann, 2003).

Among the few researches dealing with the use of Fe<sub>2</sub>O<sub>3</sub> in CMC,  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> was the dominant catalytic active phase, and preparation method affects its catalytic performance greatly. Barbosa et al. synthesized bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> by precipitation ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-p) and the citrate method ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-c), finding that the



preparation method strongly influences both the initial activity of the catalyst and its stability under reaction conditions (Barbosa et al., 2001). The  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-p catalyst presented higher surface areas, in correlation with greater initial activity and lower light-off temperatures than that of the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-c catalyst. Although all catalysts undergo sintering at the high operation temperature with the loss of active sites, the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-p catalyst exhibited less sintering and better stability. On this basis, Paredes et al. prepared  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> base catalysts via the acid dissolution-alkaline precipitation method using red mud as a raw material, an aluminum industrial waste formed by  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, Ti, Al, Ca, and Na, and compared the CMC performance and reaction mechanism with unprocessed red mud, and massive  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> synthesized by the precipitation method ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-c mentioned above) (Paredes et al., 2004). For the combustion of 2000 ppm V CH<sub>4</sub> in air, the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-c exhibits the best activity ( $T_{50} = 461^\circ\text{C}$ ), and the activity of processed red mud ( $T_{50} = 530^\circ\text{C}$ ) is much higher than that of unprocessed red mud ( $T_{50} > 650^\circ\text{C}$ ) because after treatment the component of Na and Ca, which can hinder the catalyst activity decreases. Moreover, when conversion per Fe content in the catalyst is considered, the difference between  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>-c and processed red mud catalysts is much smaller at low conversions. This would indicate that the other constituents of red mud have little or no effect on the catalyst activity. As for the mechanism, the CH<sub>4</sub>-TPD experiments (100–600°C) suggested that very little CH<sub>4</sub> is adsorbed, the reticular oxygen of the catalyst being enough for its complete oxidation.

In addition, researchers have achieved the catalytic conversion of CH<sub>4</sub> over  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> catalysts with different morphologies. Dong et al. fabricated 3D urchin-like mesoporous  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanoarchitectures with the combination of nonhomogeneous ionic liquid/diphenyl ether solvothermal method and solid-state thermal annealing. They found that CH<sub>4</sub> was converted into products containing C–O bonds (CO<sub>2</sub>) at 230°C, which is 190°C lower than over bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>. As for the reason, with the measurement and comparison of adsorbed oxygen on the two material, they inferred that the urchin-like  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanoarchitectures have a higher density of surface oxygen vacancies than bulk  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>, which can accelerate the dissociation of oxygen molecules at the surface and increase the mobility of lattice oxygen (Dong et al., 2014). It should be noted that this structure does not exhibit good CH<sub>4</sub> conversion at high temperatures (700°C, conversion = 16%). He et al. invented a hard-templating synthetic strategy to guide the anisotropic growth of ultrathin  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> nanosheets with a large (110) facet exposure ratio, the catalytic performance of which in low-temperature CH<sub>4</sub> combustion is comparable to that of noble metal-based catalysts (He L. et al., 2020). The antiferromagnetic coupled diiron core on the (110) crystallographic plane of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> is a structurally favorable condition, which resembles the diiron active site in soluble CH<sub>4</sub> monooxygenase, an enzyme that converts CH<sub>4</sub> to

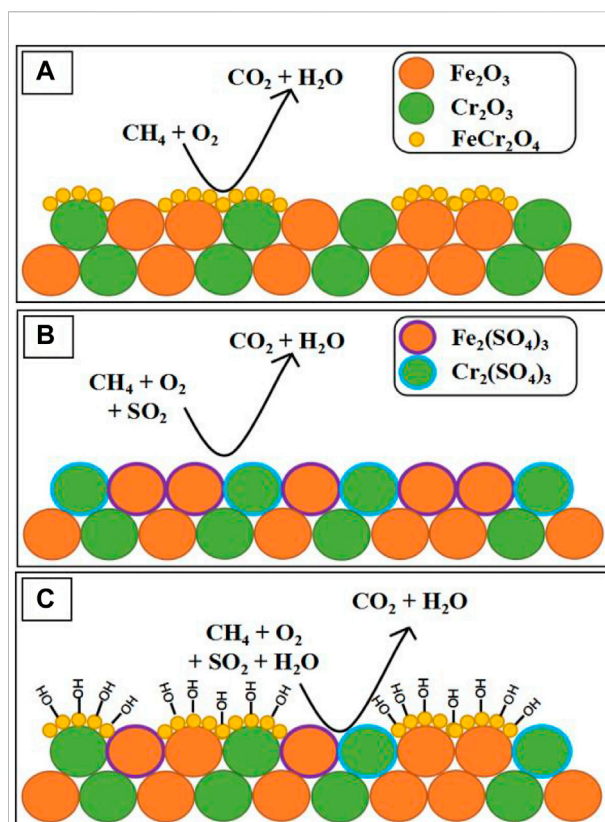


FIGURE 9

Proposed poisoning mechanisms at 450°C under (A) sulfur-free conditions, (B) dry conditions, and (C) wet conditions. Cited from García-Vázquez et al. (2020).

methanol in nature (Ross and Rosenzweig, 2017). Meanwhile, they utilized oxygen isotopic tracing experiments and DFT calculations to confirm that CH<sub>4</sub> is primarily activated by lattice oxygen below 400°C, in accordance with the MvK mechanism. They further speculated that at higher temperatures, CH<sub>4</sub> would be activated predominantly by molecular oxygen instead, as the accumulation of lattice oxygen vacancy favoring the adsorption of molecular oxygen from the gas phase (Cheng et al., 2016).

### 3.5.2 Deactivation

CH<sub>4</sub> emissions are often accompanied by large quantities of steam and traces of sulfur-containing gases, which are the two most performance hindering species present in typical CH<sub>4</sub> after-treatment operating conditions (Raj, 2016). Setiawan et al. confirm that  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> catalysts are significantly lower activity under the mixture conditions of water vapor and CH<sub>4</sub> due to the strongly bound between water (hydroxyl) species and Fe<sub>2</sub>O<sub>3</sub>, which destruct the active sites irreversibly (Setiawan et al., 2015). Recently, García-Vázquez et al. synthesized iron- and chromium-based oxides by using

the citrate sol-gel method and investigated their catalyst performance of CMC in the presence of  $\text{SO}_2$  and steam. They found that catalyst  $\text{Fe}_{60}\text{Cr}_{40}$  (molar ratio) exhibited the remarkable performance (366 ppm  $\text{CH}_4$  balanced in air,  $T = 450^\circ\text{C}$ , conversion = 79%) due to the formation of the  $\text{FeCr}_2\text{O}_4$  spinel phase, which is the most active sites in these catalyst for CMC. And because the  $\text{FeCr}_2\text{O}_4$  spinel phase can still exists after being aged under wet conditions, the  $\text{Fe}_{60}\text{Cr}_{40}$  showed the better catalyst conversion of  $\text{CH}_4$  than  $\alpha\text{-Fe}_2\text{O}_3$  catalyst, which can also be found by comparing Figures 9A,B (312 ppm  $\text{CH}_4$  + 4.6 ppm  $\text{SO}_2$  10% vol  $\text{H}_2\text{O}$  balanced in air,  $T = 450^\circ\text{C}$ , conversion = 47% vs. 26%). In addition, as has been represented in Figure 9C, the competitive adsorption of hydroxide groups on  $\text{FeCr}_2\text{O}_4$  even slows down the rate at which sulfur dioxide poisons the catalyst's active sites (García-Vázquez et al., 2020).

### 3.5.3 Mechanisms

In conclusion, the reaction of  $\alpha\text{-Fe}_2\text{O}_3$  catalyst in CMC involves the MvK mechanism. Recently, Tang et al. adopted the generalized gradient approximation (GGA) + U approach to investigate the reaction pathways of complete and partial oxidations of  $\text{CH}_4$  on the dominant Fe–O<sub>3</sub>–Fe termination of thermodynamically stable hematite ( $\alpha\text{-Fe}_2\text{O}_3$ ) (0001) facets. The energy barrier for the first C–H bond activation is 1.04 eV. In the transition state, the dissociating H is in contact with the lattice O and with the dissociating  $\text{CH}_3$  is in contact with the Fe site. Subsequent decomposition and oxidation of the  $\text{CH}_x$  species ( $x = 1, 2, 3$ ) exploit the lattice O species according to the MvK mechanism, forming  $\text{CH}_x\text{O}$  in more thermodynamically and kinetically favorable pathways. For the two pathways, the overall rate-limiting steps are both the first C–H bond activation. Adsorption of  $\text{O}_2$  on the VO site is exothermic (−1.42 eV), with one O atom binding at the VO site and the other O binding on the Fe site. In particular, after the dissociation of  $\text{O}_2$  via the O–O bond cleavage, one O fills the oxygen vacancy, and the other O became the ferryl O (Fe=O). Although the ferryl O is highly active and capable of lowering the energy barrier of the C–H bond activation, the availability of extremely active ferryl O is expected to be too low on the Fe–O<sub>3</sub>–Fe-terminated surface to critically impact the overall catalyst performance (Tang and Liu, 2016).

## 3.6 $\text{CeO}_2$ -based catalysts

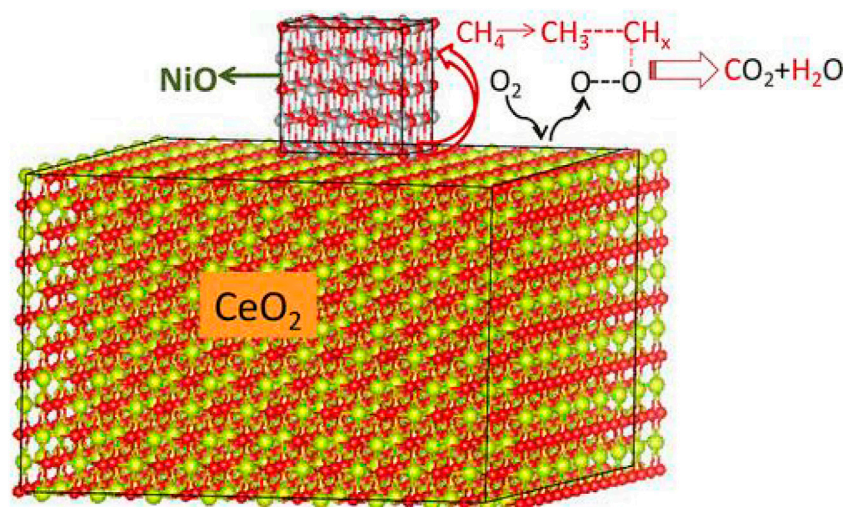
### 3.6.1 Single $\text{CeO}_2$

$\text{CeO}_2$  is the most stable form of cerium oxide, which has a face-centered cubic structure and space group of Fm-3m (Trovarelli, 2002). The coordination of cerium is 8 and that of oxygen is 4, which means that there are large vacant octahedral holes in the structure. Because of its structure,  $\text{CeO}_2$  has an excellent storage-release capacity in a reversible manner.

Specifically,  $\text{Ce}^{4+}$  can be reduced to  $\text{Ce}^{3+}$  under anoxic conditions, accompanied by a rapid release of lattice oxygen from the solid to the gas phase, leaving oxygen vacancy defects. On the other hand,  $\text{Ce}^{3+}$  can be re-oxidized into  $\text{Ce}^{4+}$  by adsorbing oxygen under oxygen-rich conditions and therefore refills the vacancies. Such unique redox properties render  $\text{CeO}_2$  a wonderful support for noble metal catalysts in the catalytic oxidation of hydrocarbons, following mostly the MvK mechanism (Aneggi et al., 2016).

### 3.6.2 Doped $\text{CeO}_2$

$\text{CeO}_2$  has been actively studied in the past few decades as an alternative to noble metal catalysts for CMC (Mukherjee et al., 2016). While pure  $\text{CeO}_2$  phase exhibits a poor catalytic activity at low temperatures and a poor thermal stability at high temperatures, which significantly hampers its industrial uses (Kašpar et al., 1999). Efforts have been made to improve the catalytic  $\text{CH}_4$  combustion performance of  $\text{CeO}_2$ -based catalysts such as compositing with other metal oxides, heteroatom doping, etc. For instance, more oxygen vacancies can be introduced into the  $\text{CeO}_2$  structure by doping cations with oxidation states below or equivalent to  $4^+$  such as  $\text{Ca}^{2+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Ni}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Fe}^{3+}$ ,  $\text{Co}^{3+}$ ,  $\text{La}^{3+}$ , and  $\text{Y}^{3+}$  (Palmqvist et al., 1998; Huang et al., 2012; Wu et al., 2015; Zedan and AlJaber, 2019). The presence of abundant oxygen vacancies promotes the mobility of lattice oxygen and subsequently boosts the catalytic activity of  $\text{CeO}_2$ . Meanwhile, there may also exist a synergistic effect between the doped metals and Ce. Palella et al. recently prepared  $\text{MnCeO}_x$  composites via a redox route and demonstrated that  $\text{Mn}^{2+}$  ions are the active sites for the formation of active oxygen species arising from the oxygen vacancies in low-temperature oxidation of  $\text{CH}_4$ , while Ce elements can improve the availability of reactant molecules at the active site by introducing oxygen vacancies (Palella et al., 2021). There is a strong synergistic effect between Mn–Ce elements, which stabilizes  $\text{Mn}^{2+}$  species and facilitates the dispersion of  $\text{Mn}^{2+}$  ions. The dissociation of  $\text{CH}_4$  into  $\text{CH}_n^*$  and  $\text{H}^*$  species was found to be the rate-determining step for the Mn-doped  $\text{CeO}_2$  composite system. Apart from Mn, other monometallic elements such as Cu ( $T_{50} = 350^\circ\text{C}$ ), Fe ( $T_{50} = 378^\circ\text{C}$ ), Ni ( $T_{50} = 415^\circ\text{C}$ ), and Co ( $T_{50} = 380^\circ\text{C}$ ) catalysts have also improved catalytic activities and stabilities due to the established synergistic effects that increase the dispersion of active metal oxides, which allow more  $\text{M}^{n+}/\text{M}^{(n-1)+}$  redox couples to participate in the redox cycle; more discussions on the doped  $\text{CeO}_2$  catalysts can be found in a recent review by Stoian et al. in details (Stoian et al., 2021). Due to the absence of active site, which triggered by grain growth,  $\text{CeO}_2$  is sintering at high temperature. Larrondo et al. tried to increase the thermal stability of  $\text{CeO}_2$  by the addition of  $\text{ZrO}_2$  to the structure of  $\text{CeO}_2$  and found that  $\text{ZrO}_2$  can also slightly facilitate the reducibility of the solids associated with both surface and bulk Ce sites with the evidence of  $\text{H}_2$  TPR. And the catalysts with 10% of Zr have higher values of  $\text{CH}_4$  conversion than the other



**FIGURE 10**

Schematic briefly showing activations of C–H of CH<sub>4</sub> and O–O of O<sub>2</sub> and coupling between CH<sub>x</sub> species and atomic oxygen at the interface of NiO/CeO<sub>2</sub>. Reprinted with permission from Zhang et al. (2018). Copyright (2018) American Chemical Society.

samples with 30% Zr and 50% Zr (Larrondo et al., 2005). Recently, Toscani *et al.* used the citrate complexation route to future prepared Ce<sub>0.9</sub>Sc<sub>x</sub>Zr<sub>0.1-x</sub>O<sub>2-δ</sub> ( $x = 0, 0.02, 0.04$ , and  $0.06$ ) by citrate complexation route, with the aim of combining the improved thermal stability provided by the ZrO<sub>2</sub> with an increase in vacancy concentration upon Sc doping (Toscani et al., 2019). The doped samples exhibited superior redox behavior because the CeO<sub>2</sub> reduction values from TPR experiments and vacancy concentration from Raman tests both increase with increasing Sc content. And in contrast to the binary CeO<sub>2</sub>–ZrO<sub>2</sub> sample, the CeO<sub>2</sub>–ZrO<sub>2</sub>–Sc<sub>2</sub>O<sub>3</sub> showed the higher reaction rates and lower apparent activation energies for CMC. In addition, *in situ* XANES experiments confirm the participation of the lattice in the redox mechanism. Huang *et al.* prepared a series of NiO/CeO<sub>2</sub> by a facile impregnation method, which exhibited high catalytic performance and stability due to synergistic interaction between CeO<sub>2</sub> and NiO. The incorporation of Ni<sup>2+</sup> into the CeO<sub>2</sub> lattice obviously enhanced the concentration of oxygen vacancies and amount of surface oxygen, making the mobility of bulk oxygen in CeO<sub>2</sub> increased, along with the reduction of the activation energy of the CMC. On the other hand, CeO<sub>2</sub> prevented the aggregation of NiO, further improving the reduction properties of NiO (Huang et al., 2020). Apart from this, CeO<sub>2</sub>-modified catalytic materials can also be used in the reaction of oxidative coupling of methane (OCM) because of its above redox properties and increased surface basicity (Siakavelas et al., 2021). OCM is an exothermic reaction between CH<sub>4</sub> and O<sub>2</sub> in the range of 700–900°C, forming C<sub>2</sub> hydrocarbons (e.g., C<sub>2</sub>H<sub>4</sub> or C<sub>2</sub>H<sub>6</sub>), via CH<sub>4</sub>–CH<sub>3</sub>–C<sub>2</sub>H<sub>6</sub>–C<sub>2</sub>H<sub>4</sub> progress. Moreover, for OCM, the incorporation of *f*-block elements such as Pr<sup>3+</sup>, Sm<sup>3+</sup>, and La<sup>3+</sup>

(redox-active basic ions) into the CeO<sub>2</sub> could modify the acid–base properties, enhance its thermal stability, and generate additional oxygen vacancy sites (Xu et al., 2019; Zhang et al., 2020; Siakavelas et al., 2022a). Furthermore, Siakavelas et al. (2022b) recently added lithium ions into CeO<sub>2</sub>- and CeO<sub>2</sub>-modified materials (Sm–Ce and La–Sm–Ce metal oxides), using the wet impregnation technique. They argued that the addition of lithium species changed the reaction pathway and drastically enhanced the production of ethylene and ethane, mainly for the promoted catalysts (Li/Sm–Ce and Li/La–Sm–Ce).

### 3.6.3 Binary CeO<sub>2</sub> catalysts

The CMC involves both the activation of C–H of CH<sub>4</sub> and O–O of O<sub>2</sub>. CeO<sub>2</sub> can activate molecular oxygen *via* Ce<sup>4+</sup> and Ce<sup>3+</sup> redox couples; however, it is incapable of activating the C–H of CH<sub>4</sub>. On the contrary, NiO exhibits high activity in activating C–H, but, does not activate molecular oxygen. Thus, Zhang *et al.* synthesized NiO/CeO<sub>2</sub> through a two-step method, in which nanocomposite consists of CeO<sub>2</sub> nanorods with supported NiO nanoclusters, exhibiting notably higher activity due to the lowest apparent activation energy ( $69.4 \pm 4$  kJ/mol). The schematic diagram of the reaction mechanism at the interface is shown in Figure 10. The C–H of CH<sub>4</sub> was activated on the Ni–O species, forming a H<sub>3</sub>C–Ni- intermediate on the interface. The formed CH<sub>3</sub> could be further activated to form CH<sub>2</sub> or even CH species, which could couple with surface lattice oxygen atoms to form CO<sub>2</sub> and H<sub>2</sub>. The process follows the MvK mechanism, in which NiO nanoclusters and CeO<sub>2</sub> nanorods show a synergistic effect for CMC (Zhang et al., 2018).



### 3.6.4 Mechanisms

In conclusion, the mechanism of  $\text{CeO}_2$  in CMC has been reported to follow the MvK mechanism (Knapp and Ziegler, 2008). Results reported in the literature indicate that the first step is hydrogen abstraction from the  $\text{CH}_4$  molecule over the Ce surface. As the (111) surface of  $\text{CeO}_2$  is the most stable and predominant, therefore, the exposed oxygen atoms with low coordination on the (111) surface are assumed as the active sites over  $\text{CeO}_2$ -based catalysts in CMC.  $\text{CH}_4$  dissociation is followed by the formation of a  $\text{CH}_3$  radical and an adsorbed  $\text{H}^*$  atom. These two species bind to two surface oxygen atoms, leading to the formation of a methyl radical ( $\text{CH}_3^*$ ) and a hydroxyl radical ( $\text{HO}^*$ ). Hydrogen adsorption leads to the reduction of one of the neighboring Ce atoms. Afterward, a series of intermediate steps take place, in which the H atoms are abstracted from  $\text{CH}_3^*$  to form  $\text{CH}_2^*$  and  $\text{CH}^*$  until CO is formed by further reducing the Ce with the formation of an oxygen vacancy. Finally, the adsorbed CO reduces Ce by binding with another oxygen allowing the formation of  $\text{CO}_2$ . Water is formed by the binding of adjacent OH groups. The breaking of the C–H bond is generally the rate-limiting step in all methane activation processes (Tang et al., 2010).

## 3.7 CuO-based catalysts

### 3.7.1 Single CuO

CuO is an important p-type semiconducting material with a cubic rock salt structure (c-CuO) and lower-symmetry monoclinic structure (m-CuO). Analysis of the calculated band structures revealed that c-CuO is an indirect gap semiconductor, while m-CuO has metallic behavior (Cao et al., 2018). CuO has been considered as one of the most effective alternatives to noble metal-based catalysts in CMC because of its Earth abundance, non-toxicity, and good catalytic performance (Liu et al., 2010).

Researchers exhibited that Cu loading and the nature of the carrier exert influence on the Cu species present on the catalyst surface. Park and Ledford tested the catalytic activity of  $\text{Cu}/\text{Al}_2\text{O}_3$  catalysts with different Cu loadings for  $\text{CH}_4$  combustion reactions and they found that, with increasing Cu content, both the activity per unit mass of Cu and per mole of Cu on the surface decreased (Park and Ledford, 1998). The active phase for  $\text{CH}_4$  oxidation is a superficial phase formed by isolated or highly dispersed Cu; as the Cu content increases, the dispersion becomes worse and therefore reduces the total number of active sites. Aguila et al. investigated CuO-loaded catalysts with porous media such as  $\text{Al}_2\text{O}_3$ ,  $\text{ZrO}_2$ , and  $\text{SiO}_2$  prepared by the impregnation method (Aguila et al., 2008). This work showed that CuO catalysts supported on  $\text{ZrO}_2$  have higher activity (per unit mass of Cu) for  $\text{CH}_4$  oxidation than when CuO is supported on alumina or silica, which is related to the ability of  $\text{ZrO}_2$ ,

stabilizing the highly dispersed Cu species to prevent the formation of bulk CuO. This ability is available when Cu concentrations between 0.25% and 6% for the catalysts are supported on  $\text{ZrO}_2$ . In addition, they also tested the influence of water for the CuO catalysts supported on  $\text{ZrO}_2$ . The addition of water produces a decrease of the  $\text{CH}_4$  conversion, but, as soon as the water flow is stopped, the catalyst recovers its initial activity, which means that inhibition with water is reversible, at least for the 300 min considered in this experiment.

In addition to dispersion, the acid–base properties of the supports also affect the catalytic performance of Cu-based catalysts. Theoretically, the adsorption and activation of hydrocarbons on oxide-based catalysts and the desorption of reaction products are related not only to the strength and distribution of the Lewis acidic metal cation sites but also to the concentration of lattice oxygen anions as the Lewis base sites (Vedrine et al., 1996). Specifically, the interaction of  $\text{CH}_4$  with acid–base pairs on the catalyst surface leads to the heterolytic breakage of the C–H bond and the formation of  $\text{CH}_3^-$  and  $\text{H}^+$  species chemisorbed on the acid and base sites, respectively. Stronger acidic sites enhance the interaction with the carbon anion and therefore facilitate surface catalytic combustion (Choudhary and Rane, 1991). By co-precipitation and calcination methods, Popescu et al. synthesized the CuO nanoparticles supported on mixed oxides of  $\text{Al}_2\text{O}_3$ ,  $\text{MgO}$ , and  $\text{Mg}(\text{Al})\text{O}$  and investigated their catalytic properties in the total oxidation of  $\text{CH}_4$  (Popescu et al., 2017). Because, on the one hand,  $\text{CH}_4$  activation involving the heterolytic C–H bond breaking needs acid–base pairs, on the other hand, the total oxidation reaction is favored in the presence of acid sites of high strengths, which strongly adsorb carbanions, thus undergoing surface reaction with oxygen. The  $\text{CuMgAl}(\text{1})\text{O}$  ( $\text{Mg}/\text{Al}$  atomic ratios is 1) showed the highest activity as it not only contained strong acid sites (ca. 50%), which was similar with the  $\text{CuAlO}$  (strong acid species), but also had strong basic sites (ca. 30%).

### 3.7.2 Doped CuO

Lu et al. prepared CuO– $\text{CeO}_2$  hybrid nanoparticle and created substantial amounts of Cu–Ce–O interfaces by gas-phase evaporation-induced self-assembly. CuO– $\text{CeO}_2$  exhibited excellent catalytic performances with a low light-off temperature, high activity, selectivity, and operation stability. The two possible mechanisms of CMC over CuO and CuO– $\text{CeO}_2$  are shown in Figure 11. The authors conjectured that two routes follow the MvK mechanism. However, the Cu–Ce–O interfacial metal–support interaction promotes the redox cycle of interface, in which  $\text{CH}_4$  binds to the surface of CuO, while  $\text{O}_2$  is simultaneously adsorbed on the oxygen vacancy of  $\text{CeO}_2$ , then dissociating to a surface-bound methyl group and oxygen atoms, respectively; after the release of  $\text{H}_2\text{O}$ , an oxygen vacancy



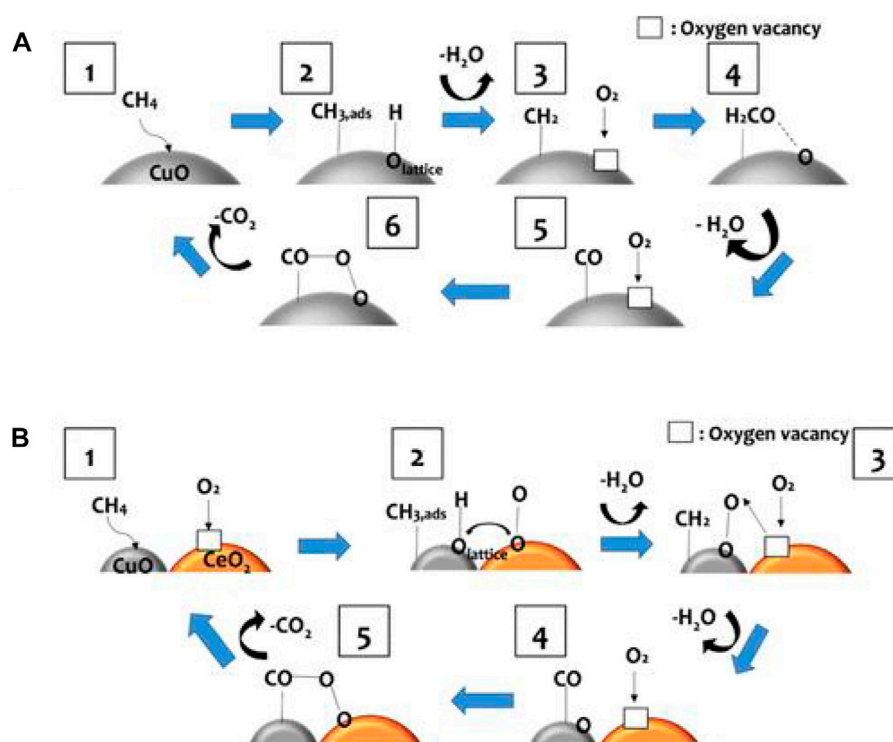


FIGURE 11

Cartoon depiction of methane combustion catalyzed by CuO (A) and Cu/CeO<sub>2</sub> (B). Reprinted with permission from Lu et al. (2016). Copyright (2016) American Chemical Society.

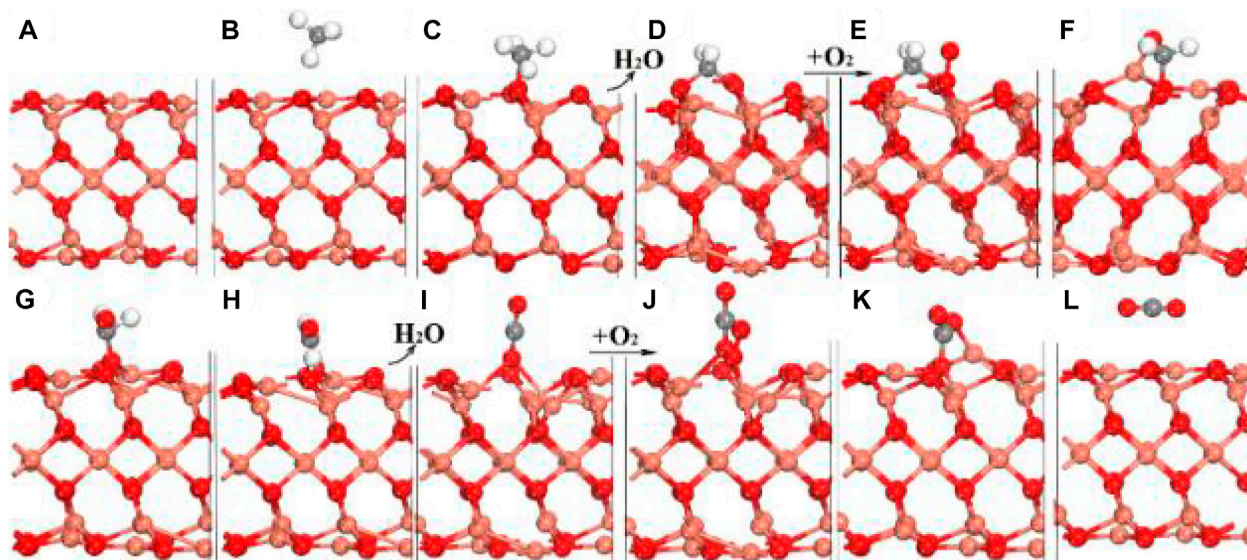


FIGURE 12

(A) Clean (001); (B) CH<sub>4</sub> physical adsorption; (C) CH<sub>4</sub> dissociative adsorption; (D) CH<sub>2</sub>\* with oxygen vacancy (OV) presented; (E) CH<sub>2</sub>\* with O<sub>2</sub> adsorbed; (F) CH<sub>2</sub>\* rotating and interacting with O<sub>2</sub>\*; (G) transition state (TS) state COH<sub>2</sub>\*; (H) CHO\* + H\*; (I) CO\* with OV presented after releasing one H<sub>2</sub>O; (J) CO\* with O<sub>2</sub> adsorbed; (K) TS for O transfer to CO\*; (L) CO<sub>2</sub> physical adsorbed. Cu, O, C, and H are shown as rose-carmine, red, grey and white spheres, respectively. Reprinted with permission from Kong et al. (2018). Copyright (2018) Beilstein-Institut.

regenerates, subsequently combining with the oxygen atoms; the dissociated methyl group is further oxidized by the adsorbed oxygen atoms, and then  $\text{CO}_2$  is released from the surface of CuO. The synergistic effect between CuO and  $\text{CeO}_2$  increases the rate of CMC, which has shown promise in enhancing the removal rate of hydrocarbon from the catalyst surface (Lu et al., 2016).

### 3.7.3 Mechanisms

Kong *et al.* identified CuO (001) as an active surface for CMC over CuO among other surfaces, including (110), (111), (101), (010), and (011) via DFT calculations (Figure 12). It is not surprising because (001) is strongly polarized and shows high reactivity due to the high ratio of lowly coordinated oxygen. In DFT calculations,  $\text{CH}_4$  is firstly adsorbed with  $\text{AE} = -0.86$  eV, followed by a spontaneous dissociation with  $\text{CH}_3$  and H adsorbed on surface oxygen, as depicted in Figures 12A–C. Surface oxygen is often actively involved and may cleave H from  $\text{CH}_x$  to form  $-\text{OH}$  and  $\text{H}_2\text{O}$ , generating an oxygen vacancy (OV), which can be filled with reactant  $\text{O}_2$ , leading to its dissociation and further oxidizing  $\text{CH}_x$  intermediates, as shown in Figures 12D–F. With oxygen transferring to bond with carbon, the hydrogen in  $\text{CH}_x$  can shift to surface oxygen again with an energy release of 3.66 and 1.46 eV, indicating that such a shift is highly favorable, as shown in Figures 12G–I. Again, an OV is generated and refilled by  $\text{O}_2$  when  $\text{H}_2\text{O}$  is released, and similarly  $\text{O}_2$  is dissociated to release atomic oxygen after exceeding a small barrier of 0.47 eV, which can oxidize adsorbed CO to form  $\text{CO}_2$ , as outlined in Figures 12J–L. Although the authors did not specify which mechanism the mechanism of CMC over CuO (001) conforms to, given their theoretical calculations, we believe that it is consistent with the MvK mechanism (Kong et al., 2018).

## 4 Perspectives

It has been well acknowledged that TMOs are one of the most promising candidates as alternatives to noble metal catalysts in CMC. Although promises have been seen in some TMOs, problems still exist such as the controversial reaction mechanism, low water vapor, and sulfur resistance. Therefore, it is necessary to explore appropriate preparation methods, suitable modified ions, and the optimal ratios to synthesize novel TMOs with excellent resistance to water vapor and sulfur poisoning, guided by the reaction mechanism (Lin et al., 2017; Chen et al., 2022).

Some TMOs with significant water resistance, such as NiO, should be further studied to enhance sulfur resistance.  $\text{Cr}_2\text{O}_3$  with excellent sulfur resistance can be selected to prepare binary complexes to enhance its catalytic stability (Ordóñez et al., 2008). Although  $\alpha\text{-Fe}_2\text{O}_3$  catalysts, especially with two-dimensional nanostructure, have been proven to have excellent catalytic performance in CMC, their performance is significantly reduced

in the presence of steam and  $\text{SO}_2$ . Herein, we can further investigate Fe–Cr mixed catalysts with the emphasis on the creation of spinel structures to increase the sulfur and water resistance of iron-based catalysts (García-Vázquez et al., 2020).

In addition, beyond complete oxidation, TMOs also find great uses in  $\text{CH}_4$  partial oxidation reactions, such as direct oxidation to methanol, which is known as the “holy grail” in catalysis community to convert  $\text{CH}_4$  into value-added chemicals with a much reduced cost in reaction steps and separation as compared to the conventional industrial route. (Sushkevich et al., 2017). The TMOs reveal the enormous potentials in the direct oxidation of  $\text{CH}_4$  to  $\text{CH}_3\text{OH}$  owing to the relatively strong ability to activate the C–H bond and avoid  $\text{CH}_4$  overoxidation.

In nature,  $\text{CH}_4$  monooxygenase can directly convert  $\text{CH}_4$  to  $\text{CH}_3\text{OH}$  using  $\text{H}_2\text{O}$ ,  $\text{O}_2$ , and  $\text{CO}_2$  as reactants at an ambient temperature (Sun et al., 2021). However, such biomimetic strategies are often limited by industrial-scale reactions. Some TMOs can dissociate  $\text{CH}_4$  at room temperature, which offers the possibility for the direct conversion of  $\text{CH}_4$  (Huang et al., 2021). Zuo *et al.* found that an inverse  $\text{CeO}_2/\text{Cu}_2\text{O}/\text{Cu}$  (111) catalyst is able to bind and dissociate  $\text{CH}_4$  at room temperature by mimicking the function of the  $\text{CH}_4$  monooxygenase. The catalytic system produced only adsorbed  $\text{CH}_x$  fragments in the presence of  $\text{H}_2\text{O}$ , along with a high transformation from  $\text{CH}_4$  to  $\text{CH}_3\text{OH}$ . The dissociation of  $\text{H}_2\text{O}$  formed OH groups, which occupied the catalyst surface. OH groups removed sites decomposing  $\text{CH}_x$  fragments, generating centers with special electronic properties. On the special active centers,  $\text{CH}_4$  could directly interact to yield  $\text{CH}_3\text{OH}$  (Zuo et al., 2016). Liu *et al.* carefully studied key roles of  $\text{H}_2\text{O}$  for the conversion of  $\text{CH}_4$  directly into  $\text{CH}_3\text{OH}$  on  $\text{CeO}_2/\text{Cu}_2\text{O}/\text{Cu}$  (111).  $\text{H}_2\text{O}$  preferentially dissociated over the active Ce sites at the  $\text{CeO}_2/\text{Cu}_2\text{O}/\text{Cu}$  (111) interface, hindering  $\text{O}_2$  activation and the overoxidation of  $\text{CH}_4$ .  $\text{H}_2\text{O}$  produced active  $^*\text{OH}$  to promote the direct conversion of  $\text{CH}_4$ .  $\text{O}_2$  dominantly reoxidized the reduced  $\text{CeO}_x$ , and water adsorption also displaced the produced methanol into the gas phase (Liu et al., 2020).

Some researchers have explored bimetallic oxides with dual roles, in which one oxide activates  $\text{CH}_4$  and the other ensures high selectivity to methanol. Yang *et al.* synthesized highly mixed hybrid  $\text{IrO}_2/\text{CuO}$  via a bottom-up tactic, which exhibited excellent catalytic performance with a methanol yield of  $1937 \mu\text{mol gcat}^{-1}$  and a methanol selectivity of about 95% through the synergistic effect of  $\text{IrO}_2$  for  $\text{CH}_4$  activation and CuO for selective oxidation. In the oxidation process of methane, due to the strong electrophilic property of  $\text{Ir}^{4+}$ ,  $\text{IrO}_2$  could facilitate the C–H bond cleavage by forming Ir–C  $\sigma$  bond. Then,  $-\text{CH}_3$  attached by Ir bound to the neighboring Cu-attached O to form  $-\text{OCH}_3$ , subsequently extracted by  $\text{H}_2\text{O}$  to accelerate the formation of methanol.

At last, the formed O vacancy is replenished by O<sub>2</sub> (Yang et al., 2019).

It deserves to explore novel metal oxides with low metal-O bond strength and satisfactory methanol selectivity for facilitating the surface methoxy group formation. The metal oxides can also be employed as a cocatalyst being strong electrophilic metal oxides with extraordinary capacity to promote the C-H bond cleavage of CH<sub>4</sub> (Fuller et al., 2016). The synergistic effect of bimetallic oxides offers an alternative route for the design and synthesis of novel catalysts for the direct conversion of CH<sub>4</sub> into methanol (Lyu et al., 2021). In addition, the mechanism by which H<sub>2</sub>O promotes the high selectivity of direct CH<sub>4</sub> conversion also needs to be continuously explored.

## 5 Conclusion

As an important greenhouse gas, the lean emission of CH<sub>4</sub> causes a huge environmental crisis. In this article, all the described results certify that both single and binary TMOs show the great potential of being promising alternatives to the expensive noble metal catalysts. Reviewed novel TMO catalysts exhibit appreciable catalytic reactivity associated with preparation methods, structures, morphologies, exposed crystal planes, crystal defects, oxygen vacancies, doping, and supporting. It can be seen that the structure, morphology, and exposed crystal planes determined by the preparation method significantly influence their catalytic activity for the CMC through the variation in morphology, surface area, and surface or lattice defects. In addition, mixed TMO catalysts prepared by doping and supporting exhibit excellent catalytic performance compared with the corresponding single TMOs due to synergistic interactions between the different TMO species. In general, the deactivation of TMO catalysts due to water vapor poisoning is reversible, while the deactivation due to sulfur poisoning is irreversible. The doping and supporting will improve the stability of TMO catalysts. The reaction mechanism of CMC over TMO catalysts is still controversial. Among the discussed possibilities, the MvK mechanism involves the oxidation of methane only by lattice oxygen with molecular oxygen replenishing the lattice oxygen after its consumption, while the L-H and E-R mechanisms only involve surface-adsorbed oxygen from molecular oxygen

in the gas phase as the oxidizing power for methane activation. In addition, the T-T mechanism is also proposed for reactions involving both surface-adsorbed oxygen and lattice oxygen. The dominance of surface-adsorbed oxygen and lattice oxygen may change with the catalyst structure and temperature. As the characteristic techniques are under progressive development, it is expected to reveal the precise reaction mechanism of CMC over different TMO catalytic systems and therefore the identification of the true active site structures.

## Author contributions

YG and MJ drafted the article, conceived the concept of the review, conducted literature survey, and arranged the figures. LY, ZL, FT, and YH revised the article and provided comments. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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