# Advances in genetic and epigenetic mechanisms of therapeutic resistance in cancer

**Edited by** Yilin Zhang and Peter Hart

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### Advances in genetic and epigenetic mechanisms of therapeutic resistance in cancer

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## Development of a prognostic model for children with neuroblastoma based on necroptosis-related genes

#### Jing Chu\*

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**Background:** Neuroblastoma (NBL) is a rare malignant tumor of the peripheral sympathetic nervous system in children with a low overall survival rate. Recent studies have revealed the important role of necroptosis in the occurrence and development of many kinds of tumors. In this study, a prognostic model based on necroptosis-related genes was constructed for NBL.

**Methods:** Expression profiles and clinical information for patients with NBL were downloaded from TARGET. Data for necroptosis-related genes were extracted for Cox regression and lasso regression analyses to evaluate factors associated with prognosis and to construct a prognostic model. Data from the GEO datasets GSE62564 and GSE85047 were used for external verification. Associations between risk scores were calculated, and immune infiltration, drug sensitivity, and mutation analyses were conducted. Functional enrichment analyses of genes in the prognostic model were performed.

**Results:** Six necroptosis-related genes (i.e., *CYLD, JAK1, APC, ERH, CNBP*, and *BAX*) were selected to construct a prognostic risk model. The risk score was highly correlated with levels of infiltration of multiple immune cells and sensitivity to common antineoplastic drugs. In addition, the risk score was identified as an independent prognostic factor for patients with NBL.

**Conclusion:** We constructed and validated a prognostic model based on necroptosis-related genes, providing insights into the development and progression of NBL and a basis for improved management. In addition to providing a tool for clinical decision-making, these findings support the importance of necroptosis in NBL and may guide the development of therapeutic strategies targeting this process.

#### KEYWORDS

neuroblastoma, tumor microenvironment, necroptosis, risk score, immunotherapy

**Abbreviations:** GN, Ganglioneuroma; GO, Gene ontology; GSEA, Gene set enrichment analysis; GSVA, Gene set variation analysis; IHC, Immunohistochemistry; KEGG, Kyoto Encyclopedia of Genes and Genomes; LASSO, Least absolute shrinkage and selection operator; NBL, Neuroblastoma; ROC, Receiver operating characteristic.

#### Introduction

Neuroblastoma (NBL) is a malignant tumor of the peripheral nervous system originating from primitive neural crest cells, accounting for 8%-10% of all malignant tumors and approximately 15% of tumor-related deaths in children. The morbidity is slightly higher in boys than in girls (ratio 1.2:1). The incidence peaks at 0-4 years of age, with a median age of 23 months (Park et al., 2010). NBL shows clinical and biological heterogeneity, and the disease spectrum ranges from spontaneous regression under no medical intervention or differentiation to an aggressive state with treatment resistance and tumor metastasis, despite intensive treatment. Therapy based on risk stratification by clinicopathological (diagnostic age, clinical staging, and histopathology) and genetic factors (MYCN amplification) significantly improves prognosis in low- and medium-risk patients, with 5-years survival rates ranging from 70% to 98%. However, about 50% of patients have high-risk characteristics with a 5-years survival rate after diagnosis of less than 40% (Fusco et al., 2018). Therefore, a comprehensive understanding of the pathogenesis of NBL, biomarker identification, and the development of an effective prognostic model are of great significance for improving outcomes in NBL.

Programmed cell death is a natural barrier to the occurrence and development of cancer and can be classified as apoptotic and non-apoptotic, including ferroptosis, pyroptosis, autophagy, and necroptosis (Dai et al., 2020). Evasion and resistance to programmed cell death are acquired by cancer cells (Hanahan and Weinberg, 2011). Resistance to apoptosis is an important cause of chemotherapeutic drug resistance in patients with cancer (Johnstone et al., 2002). Therefore, it is imperative to develop methods to induce non-apoptotic forms of programmed cell death as alternative therapeutic approaches. Necroptosis is a recently caspase-independent mechanism of cell death. It is mainly mediated by receptor-interacting protein kinase-1 (RIPK1) and -3 (RIPK3) and their target, mixed lineage kinase domain-like (MLKL). It is related to a variety of human diseases, including ischemia-reperfusion injury, inflammation, allograft rejection, neurodegenerative diseases, autoimmune diseases, and cancer (Negroni et al., 2020). Necroptosis plays dual roles in cancer development. On the one hand, adaptation to necroptosis in the tumor microenvironment promotes metastasis, suggesting that the inhibition of necroptosis is an anti-metastasis strategy. On the other hand, the expression levels of key mediators of necroptosis in some cancers are downregulated, suggesting that necroptosis has anticancer effects (Najafov et al., 2017).

However, the prognostic value of necroptosis-related genes in children with NB has not been evaluated. In this study, the association between necroptosis-related genes and prognosis in NB was evaluated and a prognostic model was constructed. These findings provide insight into the prognostic value of genes related to necroptosis and preliminarily uncover the complex biological functions and immunoregulatory effects of these genes and their regulatory networks.

#### Materials and methods

#### Data acquisition

The TARGET database (https://portal.gdc.cancer.gov/), as the largest cancer gene information database, stores data for gene expression, miRNA expression, copy number variation, DNA methylation, single nucleotide polymorphisms, and so on. Processed raw mRNA expression data for NBL were downloaded, including data for 158 NBL samples. The Series Matrix File for GSE62564 was downloaded from NCBI GEO and the annotation platform was GPL11154. Data for 495 patients with NBL with complete expression profiles and survival information were extracted. The Series Matrix File for GSE85047 whose annotation platform was GPL5175 was obtained. Data for 275 patients with NBL with complete expression profiles and survival information were retrieved. A total of 604 gene sets including necroptosis-related genes were obtained from the GeneCards database (https://www.genecards. org/).

## Gene ontology and encyclopedia of genes and genomes functional annotation

Prognostic genes were annotated using clusterProfiler (R3.6) to thoroughly explore their functions. Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses were performed; terms and pathways with *P*- and *Q*-values of less than 0.05 were considered significant.

#### Metascape

Metascape (http://metascape.org/) is a powerful analytical tool for functional annotation of genes and proteins that allows users to apply current popular bioinformatics methods to batch gene and protein analyses to achieve an understanding of gene or protein function. GO/KEGG functional annotation of genes was performed using the Metascape database. Minimum overlap  $\geq$ 3 and  $p \leq 0.05$  were considered to be significant.

#### Model construction and prognosis

Necroptosis-related genes were selected, and a univariate Cox proportional hazards regression model was applied. Necroptosis genes with p < 0.05 were considered statistically significant and

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included in the subsequent analysis. Lasso penalized Cox regression analysis was performed using 10-fold crossvalidation based on the "glmnet" package in R to further reduce the number of necroptosis genes with the best predictive performance in the selected panels. After including the expression values for each specific gene, a risk score formula for each patient was constructed and weighted by its estimated regression coefficients in the lasso regression analysis. According to the risk score formula, the patients were divided into a low-risk group and a high-risk group with the median risk score value as the cut-off point. Differences in survival between the two groups were assessed by Kaplan-Meier analysis and compared using the log-rank statistical method. Lasso regression and stratified analyses were performed to examine the role of risk scores in the prediction of patient outcomes. The "survivalROC" package was used to derive receiver operator curves (ROCs) to investigate the accuracy of the model predictions. Univariate and multivariate Cox analyses including age, sex, tumor stage, and necroptosis score were performed to identify independent prognostic factors.

#### Drug sensitivity analysis

Based on the largest pharmacogenomics database (GDSC Cancer Drug sensitivity Genomics Database, https://www.cancerrxgene.org/), the R package "pRRophetic" was used to predict the chemosensitivity of each tumor sample. The estimated IC50 values for each specific chemotherapeutic drug were obtained by regression, and the prediction accuracy was measured by 10-fold cross-validation with the GDSC training set. Default values were selected for all parameters, using "combat" to remove the batch effect and the average value of repeated gene expression estimates.

#### Analysis of immune cell infiltration

The RNA-seq data for patients with NBL in different subgroups were analyzed by the CIBERSORT algorithm to infer the relative proportions of 22 kinds of immune-infiltrating cells. A Spearman correlation analysis was used to analyze the risk score and levels of infiltrating immune cells. Results were considered statistically significant at p < 0.05.

#### Gene set variation analysis

A gene set variation analysis (GSVA) is a non-parametric and unsupervised method to evaluate gene enrichment. By comprehensively scoring the set of genes of interest, GSVA converts gene level changes into pathway level changes to gain insight into biological functions. In this study, gene sets were downloaded from Molecular Signatures Database (v7.0), and each gene set was scored by the GSVA algorithm to evaluate differences in biological functions between samples.

#### Gene set enrichment analysis

A gene set enrichment analysis (GSEA) was performed with predefined gene sets to rank genes according to the degree of differential expression between two groups of samples and to determine whether a predefined gene set was enriched. GO terms and KEGG signaling pathways were obtained for differentially expressed genes between the high-risk group and low-risk group by GSEA; the number of replacements was set to 1,000 and the type of replacement was set to phenotype.

## Regulatory network analysis of prognostic genes

Cistrome DB is a comprehensive database for ChIP-seq and DNase-seq analyses, containing data for transcription factors, histone modifications, and chromatin accessibility of 30,451 human and 26,013 mouse samples. The regulatory relationships between transcription factors and genes in the prognostic model were evaluated using Cistrome DB, in which the genome file was set to hg38 and the transcription initiation site was set to 10 kb. The results were visualized using Cytoscape.

#### Immunohistochemical staining analysis

To verify the protein expression of the necroptosis-related genes, ganglioneuroma samples were chosen as a control group, and IHC staining was used to evaluate the expression of these genes in paraffin-embedded tissues in the NBL group and control group. The paraffin-embedded serial tissue sections were cut at a thickness of 4  $\mu$ m, and IHC was used to detect CYLD, JAK1, APC, ERH, CNBP, and BAX. The SP method was used to conduct IHC, and the primary antibodies against CYLD, JAK1, APC, ERH, CNBP, and BAX were all purchased from Abcam (Cambridge, United Kingdom). All experiments were carried out at least three times independently. Pannoramic SCAN (3DHISTECH, Budapest, Hungary) was used for observations and to obtain images. Image Pro Plus 6.0 was used to analyze the IHC results.

#### Statistical analysis

Survival curves were generated by the Kaplan-Meier method and compared by the log-rank test. A Cox proportional risk model was used for multivariate analyses. All statistical analyses were performed using R (version 3.6). All statistical tests were twosided, and results were considered statistically significant at p < 0.05.

| Gene     | HR                | Z                 | <i>p</i> -value      | Lower             | Upper             |
|----------|-------------------|-------------------|----------------------|-------------------|-------------------|
| BAX      | 1.98371619284125  | 5.48987193560628  | 4.02225271441093e-08 | 1.55337039660584  | 2.53328500552022  |
| ERH      | 1.85233482253478  | 4.92782242546772  | 8.31511352013574e-07 | 1.44956691399528  | 2.36701338975658  |
| CYLD     | 0.517434644838194 | -4.34424835249258 | 1.3975334136114e-05  | 0.384377274471897 | 0.696551615978544 |
| CPSF3    | 1.61988040244062  | 4.14992974066646  | 3.32577342461729e-05 | 1.28987185896012  | 2.03432030862867  |
| JAK1     | 0.578925385579156 | -3.77867763652999 | 0.00015766336556394  | 0.436010753947224 | 0.76868425614232  |
| EMD      | 1.60016941917157  | 3.72777940585821  | 0.000193174345858099 | 1.24974414578669  | 2.04885310220044  |
| EIF4EBP1 | 1.44851990579632  | 3.64660523798732  | 0.000265727677537716 | 1.24974414578669  | 2.04885310220044  |
| ATAD3A   | 1.54022966941195  | 3.57903960381036  | 0.000344859209857309 | 1.21579227879252  | 1.95124403725686  |
| HNRNPF   | 1.52677719453705  | 3.48401010645772  | 0.000493960835195072 | 1.20334696141417  | 1.93713756423083  |
| ADRM1    | 1.49234932554181  | 3.48123248071431  | 0.00049911208043814  | 1.19118688309065  | 1.86965331893737  |
| FUS      | 1.53804846136142  | 3.47146778172561  | 0.000517621441105841 | 1.20616906533564  | 1.96124501737073  |
| APC      | 0.591730613045381 | -3.47129043850349 | 0.000517963442372491 | 0.440007876948232 | 0.795770114034248 |
| CCT5     | 1.56674940484423  | 3.45305994008363  | 1.21427595692257     | 1.21427595692257  | 2.0215369361351   |
| CNBP     | 1.58200627442629  | 3.42348400836818  | 1.21663520141189     | 1.21663520141189  | 2.05710294213068  |

TABLE 1 Expression of necroptosis-related genes in NBL.

#### Results

## Expression of necroptosis-related genes in NBL

The processed raw mRNA expression data for NBL in the TARGET database (FPKM) were downloaded, and necroptosisrelated gene sets were obtained using the GeneCards database. We used clinical information for patients with NBL for a Cox univariate regression analysis to screen for necroptosis-related genes associated with prognosis in NBL. The following 14 prognosis-related genes were filtered (p < 0.001) by Cox univariate regression (in decreasing order based on significance): BAX, ERH, CYLD, CPSF3, JAK1, EMD, EIF4EBP1, ATAD3A, HNRNPF, ADRM1, FUS APC, CCT5, and CNBP (Table 1).

#### Functional enrichment of prognosisrelated genes and construction of protein interaction networks

GO and KEGG pathway enrichment analyses revealed that the prognostic genes were significantly enriched in a large number of pathways. Enriched GO terms included cytoplasmic microtubule and translation regulator activity (Figure 1A). Enriched KEGG pathways included Human papillomavirus infection and Basal cell carcinoma (Figure 1B). A functional analysis using Metascape revealed that these prognostic genes were also highly enriched in several related pathways (Figure 1C).

#### Prognostic model construction and internal validation of necroptosis-related genes

We randomly divided patients from the TARGET database into training and validation sets at a ratio of 4:1. We obtained the risk score value for each sample based on the model obtained by lasso regression (Risk Score =  $CYLD \times (-0.105492134) + JAK1 \times (-0.059871886) + APC \times (-0.0109496) + ERH \times 0.014856456 + CNBP \times 0.059824071 + BAX \times 0.39721508)$  (Figures 2A–C). Patients were divided into high-risk and low-risk groups using the median risk score as a threshold for analyses by Kaplan-Meier curves. Overall survival (OS) was significantly lower in the high-risk group than in the low-risk group in both the training set and the test set (Figures 2D,E). In addition, an ROC curve analysis showed that the AUC values in the training set and the test set for periods of 1, 3, and 5 years were all greater than 0.70 (Figures 2F,G), suggesting that the model was effective.

We integrated the clinical information as well as risk scores for patients in the high- and low-risk groups for regression analyses. A logistic regression analysis showed that in all of our samples, the distribution of values for multiple clinical indicators and risk scores for pediatric NBL contributed to multiple scoring processes. Age, gender, stage, and risk scores were evaluated with respect to 3-years and 5-years OS (Figure 2H). We also corrected the predicted OS in NBL for two periods of 3 and 5 years (Figure 2I). The risk score was identified as an independent prognostic factor for NBL by univariate and multivariate analyses (Figures 2J,K).



## Multi-omics analysis of the clinical predictive value of the model

The tumor microenvironment is mainly composed of tumorassociated fibroblasts, immune cells, extracellular matrix, various growth factors, inflammatory factors, specific physical and chemical characteristics, and cancer cells. The tumor microenvironment significantly affects tumor diagnosis, survival outcomes, and sensitivity to therapies. By analyzing the relationship between the risk score and tumor immune cell infiltration, we further explored the molecular mechanisms by which the risk score affects NBL progression. The distribution of levels of infiltration of different immune cell types in the samples differed between groups (Figure 3A). Correlations were detected between the risk score and multiple cell types in the tumor microenvironment (Figure 3B). Additionally, levels of plasma cells were significantly lower in the low-risk group than in the high-risk group (Figure 3C). The risk score was significantly correlated with plasma cells and CD4 memory resting T cells (Figure 3D). Drug sensitivity data were obtained from the GDSC database, and the R package "pRRophetic" was used to predict the sensitivity of each tumor sample to chemotherapy. The risk score was significantly associated with sensitivity to various drugs, including AS601245, AZD.0530, AZD6244, AZD6482, CHIR.99021, and CCT007093 (Figure 3E). We further explored the mutation profiles of patients in the high- and low-risk groups. The mutation frequency in genes, such as *ALK*, was significantly higher in the high-risk group than in the low-risk group (Figure 3F).

# Exploration of specific signaling mechanisms associated with prognostic models

We next investigated the specific signaling pathways related to a high and low risk to explore the molecular mechanisms by which risk scores influence tumor progression. The results of GSVA showed that the enriched differential pathways between the two groups were mainly TGF BETA SIGNALING, UV RESPONSE DN, MTORC1 SIGNALING, ALLOGRAFT REJECTION, and OXIDATIVE PHOSPHORYLATION (Figure 4), suggesting that perturbations in these signaling pathways in patients in the high- and low-risk groups affected prognosis in NB.

# Validation of the robustness of the prognostic model using an external dataset

Expression data and survival information for patients with NBL were downloaded from the GEO database (GSE62564 and GSE85047) to predict the clinical stage based on the model and for a Kaplan-Meier analysis of the survival difference between groups. In the two GEO external validation sets, OS was significantly lower in the high-risk group than in the low-risk group (Figures 5A,B). As determined by ROC curve analyses, the model had strong predictive power for prognosis (AUC values for 1 year, 3 years, and 5 years with the GEO verification data set were all greater than 0.70) (Figures 5C,D).

## Signaling mechanisms associated with the prognostic model

We next investigated the specific signaling pathways differentiating samples in the high- and low-risk groups based on the genes in the prognostic models to evaluate the factors contributing to tumor progression. By a GSEA, we found significant enrichment for many related pathways, including the





subset); (G) time-dependent ROC curve for 1-year, 3-years, and 5-years prediction (training subset); (G) time-dependent ROC curve for 1-year, 3-years, and 5-years prediction (testing subset); (H) the nomogram for predicting the 3- and 5-years OS of NBL patients; (I) the calibration curve of the nomogram for predicting 3- and 5-years OS of NBL patients; (J) Univariate Cox regression analyses in the TARGET cohort NBL patients.

GO terms AXON EXTENSION, DENDRITE MORPHOGENESIS, and REGULATION OF VIRAL TRANSCRIPTION and the KEGG pathways BASE EXCISION REPAIR, LONG TERM POTENTIATION, and AXON GUIDANCE (Figures 6A,B), suggesting that the disturbance of these signaling pathways in high and low risk groups affected prognosis in NBL.

#### Relationships between expression levels of genes in the prognostic model and immune cell infiltration

Several genes in the prognostic model were highly correlated with levels of infiltrating immune cells. For example, *BAX* was positively correlated with regulatory T cells (Tregs) and plasma cells and negatively correlated with CD4 memory resting T-cells and resting mast cells. *ERH* was positively correlated with plasma cells and neutrophils and negatively correlated with CD4 memory activated T cells and monocytes. CYLD was positively correlated with CD4 memory activated T cells and activated dendritic cells and negatively correlated with plasma cells and M0 macrophages. JAK1 was positively correlated with CD4 memory resting T cells and activated dendritic cells and negatively correlated with follicular helper T cells and plasma cells. APC was positively correlated with memory B cells and CD4 memory resting T cells and negatively correlated with M0 macrophages and activated mast cells. CNBP was positively correlated with plasma cells and activated mast cells and negatively correlated with CD4 memory activated T cells and Tregs (Figure 7A). We further evaluated the correlations between genes in the prognostic model and immune factors, including immunomodulators, chemokines, and cellular receptors, using TISIDB (Figure 7B). These analyses confirmed that the prognostic genes are closely related to levels of immune cell infiltration and play important roles in the immune microenvironment.

#### Regulatory network analysis

We evaluated the transcriptional regulatory network of the six genes in the prognostic model. Using Cistrome DB, 91 transcription factors were related to *BAX*, 98 were related to *ERH*, 78 were related to *CYLD*, 85 were related to *JAK1*, 10 were related to *APC*, and 68 were related to *CNBP*. The results were visualized using Cytoscape to obtain a comprehensive transcriptional regulatory network involving genes in the prognostic model (Figure 8).

#### ceRNA network analysis

The six genes in the prognostic model were analyzed using the miRWalk and ENCORI databases to predict interacting miRNAs lncRNAs, respectively. and Interacting mRNA-miRNA pairs associated with these six key mRNAs were first extracted using the miRWalk database, and only 605 mRNA-miRNA pairs with a TargetScan score of one or miRDB score of one were retained, involving 5 mRNAs and 131 miRNAs. Then, the interacting lncRNAs were predicted based on these miRNAs. A total of 18,244 pairs of interactions were predicted (involving 42 miRNAs and 3,868 lncRNAs). Finally, we constructed the ceRNA network using Cytoscape (v3.7) (Figure 9).





#### Immunohistochemical staining analysis

IHC assays were performed to verify the expression levels of proteins encoded by these necroptosis-related genes in the NBL and ganglioneuroma (control) groups. As shown in Figure 10, the expression levels of BAX (p = 0.0017), ERH (p = 0.0067), APC (p = 0.0416), and CNBP (p = 0.0244) were significantly higher in tumor tissues of the NBL group than in the control group. Other indexes did not differ significantly between groups.

#### Disscussion

Necroptosis has conflicting roles in malignant tumors, with both tumor-promoting and inhibitory effects in different types of adult cancers. In particular, necroptosis can inhibit tumor progression but can also trigger inflammatory responses and vascular endothelial cell necrosis, in turn promoting tumor cell extravasation and cancer metastasis (Gong et al., 2019). The delayed activation or disruption of normal apoptotic pathways may be an important cause of chemotherapeutic drug resistance in patients with NBL; therefore, the induction of necroptosis in NBL may be an alternative therapeutic approach to eliminate anti-apoptotic tumor cells and improve the anti-tumor immune microenvironment.

In this study, we constructed the first prognostic model for NBL based on necroptosis-related genes. We first obtained information on necroptosis-related genes from the GeneCards database and used univariate Cox regression and LASSO regression analyses to screen for necroptosis-related genes associated with prognosis, revealing six genes, i.e., CYLD, JAK1, APC, ERH, CNBP, and BAX, which were used to construct a prognostic risk model. The conserved cylindromatosis (CYLD) is a deubiquitinating (DUB) enzyme with an important regulatory role in a variety of cellular processes, including the immune response, inflammation, and necrosis. Small ubiquitin-related modifier (SUMO) can posttranslationally modify CYLD to impair its DUB function. After 8 days of treatment with all-trans-retinoic acid (ATRA) on the NB SK-N-BE 2) C cell line, the SUMOization of CYLD decreased, while its expression increased, which blocked the NF-KB signal transduction pathway and promoted cell death (Kobayashi et al.,



2015). In prostate cancer cell lines, the knockout of CYLD increased the proliferation, migration, colony formation, and invasion of cancer cells in vitro (Haq et al., 2022). JAK1 (Janus kinase 1) is a member of a class of protein-tyrosine kinases involved in autoimmune diseases and malignancies. The targeted inhibition of JAK1 expression by miR-20a-5p can decrease proliferation and invasion and improve the adhesion ability of endometrial cancer cells (He et al., 2021). Wen et al. (Wen et al., 2014) have also reported that JAK1/STAT3 plays a crucial role in ovarian cancer as a pro-oncogenic signaling pathway. The targeted inhibition of the JAK1/STAT3 pathway can effectively prevent the progression and metastasis of ovarian cancer. APC is a tumor suppressor gene. The frequency of APC germline mutations in patients with familial adenomatous polyposis (FAP)-related diseases, such as gastric fundus adenomatous polyposis, duodenal adenoma, desmoid tumors, and thyroid cancer is greater than 60% (Takao et al., 2021). Enhancer of rudimentary homolog (ERH) is a small, highly conserved protein. It binds to various factors involved in many cellular processes, such as pyrimidine metabolism, mitosis, and transcriptional regulation (Fujimura et al., 2012). The overexpression of ERH weakens the invasion and migration ability of gastric cancer cells, suggesting that it is a prognostic marker (Park et al., 2020). However, a study of ovarian cancer suggested that ERH may be a associated with a poor prognosis, and inhibiting ERH expression can promote cancer cell apoptosis and inhibit the metastasis and invasion of ovarian cancer cells by regulating the epithelial-mesenchymal transition (EMT) (Zhang et al., 2020). Cellular nucleic acid-binding protein (CNBP) is associated with cell proliferation and is highly expressed in various human tumors. The lncRNA SUMO1P3 enhances proliferation, invasiveness, and drug resistance in gastric cancer cell lines by directly binding to CNBP, resulting in high levels of c-myc and cyclinD1 (CCND1) (Guo et al., 2020). The Bcl-2 family is an important family of apoptosis regulatory proteins, with key roles in the apoptosis signal transduction pathway. Bax is a pro-apoptotic factor in the Bcl-2 family of proteins (Meng et al., 2019). In human retinoblastoma, the expression of anti-apoptotic Bcl-2 is significantly related to poor differentiation and strong invasiveness, and the lack of Bax expression is related to choroidal infiltration and lymph node metastasis (Singh et al.,



External validation of the hypoxia risk score. (A,B) Validation of the hypoxia risk score in GSE62564; (C,D) Validation of the hypoxia risk score in GSE85047.







2015). These previous results indicate that the necroptosisrelated genes identified in this study play important roles in various human tumors, further supporting their potential roles in the occurrence and development of NBL. However, further research is needed to explore the molecular mechanisms of action of these genes.

We analyzed the predictive value of the six gene-based model for OS. A Kaplan-Meier analysis showed that OS was significantly lower in the middle-and high-risk groups than in the low-risk group. An ROC curve analysis showed that the prognostic model has good stability and can effectively screen for patients with NBL with poor prognosis. The risk score was identified as an independent prognostic factor for NBL by univariate and multivariate analyses after stratification according to clinical parameters.

Clinical data have shown that immunotherapy with the disialoganglioside GD2 combined with granulocytemacrophage colony-stimulating factor (GM-CSF) or interleukin-2 significantly improves prognosis in high-risk patients with NBL (Yu et al., 2010). Subsequently, the molecular events associated with NBL-related immune cell infiltration and immune responses in NBL have been a focus of research. In a study of the relationship between immune cell infiltration and prognosis in NBL, Schaafsma et al. (2021) discovered that a high abundance of naïve B cells, memory B cells, CD8<sup>+</sup>T cells, and NK cells was significantly associated



with a longer OS; conversely, high levels of CD4<sup>+</sup> T cell infiltration were negatively associated with OS. Our results revealed that B cells play an important role in the NBL tumor microenvironment, suggesting that B cells can be used as an independent variable to predict recurrence-free and overall survival. Batchu (Sai, 2020) have shown that low levels of CD4<sup>+</sup> naïve T cells and monocytes are associated with a reduced event-free survival. Tumor-associated macrophages (TAMs) closely resemble M2-polarized macrophages and are critical modulators of the tumor microenvironment. TAM aggregation in a variety of human tumors is associated with poor clinical outcomes, and TAMs can provide a favorable microenvironment for tumor progression (Liu and Joshi, 2020). TAMs can upregulate the expression of MYC via the signal transducer and activator of transcription 3 (STAT3) pathway, and this may explain the association between TAMs and a poor prognosis in patients with non-MYCNamplified NBL (Liu and Joshi, 2020). In our prognostic model, the relative abundance of plasma cells in the lowrisk group was significantly lower than that in the high-risk group, and the risk score was significantly correlated with plasma cells and CD4 memory resting T cells. The six genes in the prognostic model were closely associated with levels of

immune cell infiltration and played an important role in the immune microenvironment. These results suggest that necroptosis influences tumorigenesis and tumor development by regulating immune cell infiltration.

We analyzed the specific signaling pathways differentiating the high- and low-risk groups based on the prognostic model. GSVA results showed that the differential pathways between the two groups were mainly signaling pathways such as TGF-beta signaling, mTORC1 signaling, and oxidative phosphorylation. By a GSEA, enriched GO terms were axon extension and dendrite morphogenesis; enriched KEGG pathways were base excision repair, longterm Potentiation, and axon guidance. Transforming growth factor- $\beta$  (TGF- $\beta$ ) pathway plays an important role in cellular homeostasis by regulating cell growth inhibition, cellular senescence, differentiation, and apoptosis (Lin et al., 2005). The EMT is a transdifferentiation process in which epithelial cells lose polarity and contact inhibition and obtain mesenchymal characteristics, such as the fibroblast migration phenotype. The EMT plays an important role in human embryonic development and is also considered a pathological mechanism. Cancer cells can acquire migration and invasion abilities through the EMT, leading to tumor metastasis. In human NB cell lines, EMT is significantly up-



regulated via the TGF- $\beta$  pathway, resulting in a more aggressive phenotype (Naiditch et al., 2015). MYCN plays an important role in NB. The MYC genes (*MYCN, c-myc,* and *L-myc*) drive tumorigenesis in part by the activation of the mammalian target of rapamycin (mTOR) pathway, a master regulator of translation and protein synthesis. Therefore, the effective inhibition of mTOR function represents a potential therapeutic strategy targeting MYCN in NB (Moreno-Smith et al., 2017). Oxidative phosphorylation (OXPHOS) is an important pathway for the survival and proliferation of tumor cells. Some compounds inhibit the growth of NB *in vivo* by inhibiting the activities of OXPHOS and mitochondrial respiratory complex I (Nagasaki-Maeoka et al., 2020). Base excision repair (BER) fixes the majority of endogenous DNA damage, including deamination, depurination, alkylation, and oxidative damage. Abnormalities in this pathway are strongly associated with tumorigenesis (Wallace et al., 2012). These findings show that the enriched pathways associated with the genes in the prognostic model are closely related to the development of NB.

We also studied the relationship between the risk score obtained by the newly developed model and clinical drug sensitivity. The risk score was significantly correlated with the sensitivity to AS601245, AZD0530, AZD6244, AZD6482, CHIR99021, CCT007093, and other drugs. These chemotherapeutic drugs have been studied extensively in human glioblastoma (GBM). AZD0530, a potent smallmolecule inhibitor of Src family kinases, can enhance the radiosensitivity of GBM tumor cells (Yun et al., 2021). AZD6244, an inhibitor of MEK in the RAF/MEK/ERK pathway, inhibits proliferation in the GBM cell line (See et al., 2012). As an inhibitor of PI3KB in the PI3K/Akt pathway, AZD6482 can exert an anti-tumor effect by inhibiting proliferation and inducing apoptosis in human GBN tumor cells (Xu et al., 2019). CCT007093 can attenuate cell proliferation, migration, and invasion induced by UVC radiation in human GBM (Yang et al., 2014). The role of these drugs in children with NBL needs to be confirmed by further studies.

The results of this study provide new insights into the occurrence and development of NBL from the perspective of necroptosis. The prognostic model based on six necroptosis-related genes can effectively predict the prognosis of patients with NBL. In addition, the risk score obtained from the necroptosis model is associated with essential biological functions and has clinical value.

#### Conclusion

By a variety of bioinformatics analyses of high-throughput sequencing datasets, we systematically evaluated the molecular characteristics and prognostic value of necroptosis in NBL. Our results provide preliminary evidence for the complex biological functions and immunoregulatory effects of necroptosis-related genes. These necroptosis-related genes may be involved in the occurrence, development, invasion, and metastasis of NBL. We constructed a risk score model that can independently predict prognosis in NBL. Our results will aid in revealing the pathogenesis of NBL and in the identification of new biomarkers and provide a basis for the development of therapeutic strategies targeting necroptosis.

#### Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/Supplementary Material.

#### **Ethics statement**

Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

#### Author contributions

JC: wrote and edited the manuscript.

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#### Conflict of interest

The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# The prognostic significance of $\beta$ -Catenin expression in patients with nasopharyngeal carcinoma: A systematic review and meta-analysis

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**Background:**  $\beta$ -Catenin has been recently identified as a promising novel therapeutic target and prognostic marker in different types of cancer. Here, we conduct a meta-analysis to better clarify the correlation between  $\beta$ -Catenin expression and survival outcomes in nasopharyngeal carcinoma (NPC) patients.

**Patients/methods:** Following the Preferred Reporting Items or Systematic Reviews Meta Analyses (PRISMA) 2020 guidelines, the PubMed, Embase, Web of Science, Cochrane Library, Chinese National Knowledge Infrastructure (CNKI) and Wanfang databases were systematically searched for relevant studies to explore the prognostic significance of  $\beta$ -Catenin in NPC. Pooled hazards ratios (HRs) and 95% confidence intervals (CIs) were used to estimate the association of  $\beta$ -Catenin expression with survival outcomes in NPC patients. Odd ratios (ORs) and 95% CIs for clinicopathological characteristics were also statistically analyzed.

**Results:** Eight studies involving 1,179 patients with NPC were ultimately included in the meta-analysis. Pooled analysis indicated that elevated  $\beta$ -Catenin expression was significantly associated with poor OS (HR = 2.45, 95% Cls: 1.45–4.16, p = 0.001) and poor DFS/PFS (HR 1.79, 95% Cls: 1.29–2.49, p = 0.000). Furthermore,  $\beta$ -cadherin was significantly associated with higher TMN stages (OR = 5.10, 95% Cls 2.93–8.86, p = 0.000), clinical stages (OR = 5.10, 95% Cls 2.93–8.86, p = 0.000) and lymph node metastasis (LNM) (OR = 5.01, 95% Cls 2.40–10.44, p = 0.000).

**Conclusions:** This study demonstrated that for NPC, patients with elevated  $\beta$ -Catenin expression are more likely to have poor survival.

#### KEYWORDS

 $\beta$ -catenin, nasopharyngeal carcinoma, prognosis, meta-analysis, os

#### Introduction

Nasopharyngeal carcinoma (NPC) is one of the most common types of head and neck tumors and shows remarkable differences in geographic and racial distribution (Stransky et al., 2011). NPC is prevalent in Southeast Asia, especially in Southern China, the Arctic region and North Africa (Chang and Adami, 2006). Risk factors for NPC include male sex, EBV infection, Cantonese ethnicity, saltpreserved fish consumption, low fresh fruit and vegetable intake, and smoking, among others. Irrespective of the progress in radiation therapy and potent chemotherapy, approximately 5%-15% local recurrence and 15%-30% distant metastasis rates remain the main causes of failure after NPC treatment (Lee et al., 2015). Clinical staging is essential for the prognosis of NPC; however, patients at the same clinical stage may have different prognoses. In general, the current staging system is inadequate to predict survival due to variations in treatment outcomes. Hence, it is necessary to identify more reliable prognostic factors to improve the prognosis of NPC.

β-Catenin was first characterized as a family of cell-cell adhesion molecules dependent on Ca2+ that are present in most cell types, and it was also shown to have more detailed specificity with regard to cell-cell aggregation patterns and segregation during development (Takeichi, 1990).  $\beta$ -Catenin is one of the hallmarks of the epithelial-mesenchymal transition, which is important for early tumor metastasis and invasion (Thiery and Sleeman, 2006). It also plays a crucial role in the Wnt/β-Catenin signaling pathway, which is one of the most important signaling pathways involved in many human malignancies, and might participate in the development of various cancers and tumors (Anastas and Moon, 2013). Indeed, aberrant activation of Wnt/β-Catenin signaling is found in various types of human cancer, including osteosarcoma, lung cancer, colorectal cancer, renal cell carcinoma, breast cancer, and hepatocellular cancer, among others (Kim et al., 2002; Hoang et al., 2004; Arai et al., 2014; Jang et al., 2015; Fu et al., 2016).

Numerous studies have focused on the identification of new prognostic markers that can be used for cancer monitoring and detection. An association between  $\beta$ -Catenin expression and survival has been shown in NPC (Wang et al., 2009; Luo et al., 2012; Xu et al., 2013; Pang et al., 2019). Although many studies have reported an association between  $\beta$ -Catenin expression and NPC patient survival, the results are still controversial and ambiguous. For example, Jin et al. (2019), Sun et al. (2017), Wang et al. (2017) found that  $\beta$ -Catenin is highly expressed in NPC and is a potential risk factor that leads to an unfavorable survival prognosis in these patients. However, contradictory results were reported by Hao et al. (2014), Galera-Ruiz et al. (2011), who found no association between  $\beta$ -Catenin and survival in NPC patients compared with normal controls. In this study, we conducted a meta-analysis based on PubMed,

Embase, Web of Science, Cochrane Library, Chinese National Knowledge Infrastructure (CNKI) and Wanfang databases to statistically assess the association between  $\beta$ -Catenin and the prognosis of NPC patients.

#### **Methods**

#### Search strategy

Following the Preferred Reporting Items or Systematic Reviews Meta Analyses (PRISMA) 2020 guidelines, electronic searches for relevant studies were performed in the PubMed, Web of Science, EMBASE, Cochrane Library, Chinese National Knowledge Infrastructure (CNKI) and Wanfang database until 1 March 2022 (Page et al., 2021). The search terms of PubMed were Nasopharyngeal)) OR (Neoplasms, Nasopharyngeal)) OR (Nasopharynx Neoplasms)) OR (Nasopharynx Neoplasm)) OR (Neoplasm, Nasopharynx)) OR (Neoplasms, Nasopharynx)) OR (Cancer of Nasopharynx)) OR (Nasopharynx Cancers)) OR (Nasopharyngeal Cancer)) OR (Cancer, Nasopharyngeal)) OR (Cancers, Nasopharyngeal)) OR (Nasopharyngeal Cancers)) OR (Nasopharynx Cancer)) OR (Cancer, Nasopharynx)) OR (Cancers, Nasopharynx)))) OR (Cancer of the Nasopharynx)) AND ((((((prognosis) OR (outcome)) OR (recurrence)) OR (survival)) OR (mortality)) OR (progression))) AND ((Catenin, beta) OR (beta-Catenin)) " The EMTREE terms were as follows "('nasopharynx cancer'/exp OR rhinopharyngioma OR 'cancer, nasopharynx' OR 'epipharynx cancer' OR 'nasopharyngeal cancer' OR 'rhinopharynx cancer') AND (prognosis OR outcome OR recurrence OR survival OR mortality OR progression) AND ('beta catenin'/exp OR "catenin beta")." Furthermore, the reference lists of retrieved articles were manually searched for additional articles. If several publications reported the same patient populations, the most complete study was enrolled to avoid duplication.

#### Selection criteria

This meta-analysis was limited to publications about the association between NPC and  $\beta$ -Catenin. The inclusion criteria of the meta-analysis were as follows: 1) all patients diagnosed with NPC; 2)  $\beta$ -Catenin was evaluated in both samples of NPC and normal controls; 3) the study revealed the association between  $\beta$ -Catenin and survival of NPC; 4) sufficient statistical analysis, including hazard ratios (HRs), odds ratios (ORs) and their 95% confidence intervals (95% CIs) were reported. The exclusion criteria were as follows: 1) studies without sufficient data for meta-analysis; 2) abstracts, reviews, letters, expert opinions; 3) studies about cell lines, *in vivo*/vitro studies, and human xenografts. If several studies reported the same cohort, we used the most recent one in our meta-analysis.

#### Data extraction

First, we inspected duplicates and removed repeated papers. Then, we carefully perused the titles and abstracts of the papers. Finally, full articles were selected to include appropriate studies. Two researchers independently evaluated the literature using the inclusion and exclusion criteria (LQ Zhou and Y Hu). Any discrepancy in assessment was resolved by consulting with a third researcher (HJ Xiao). The authors of the studies were contacted by e-mail to request data or additional information for meta-analysis calculations. Eligible studies were reviewed by two reviewers (LQ Zhou and Y Hu) independently. The Newcastle-Ottawa Scale (NOS) (Peterson et al., 2011) was included to assess the quality of the included publications, and a star system (maximum is nine stars) was adopted to evaluate a study in three domains: comparability of study groups, selection of participants and ascertainment of outcomes of interest. Scores of NOS ≥6 indicated high-quality studies. Reporting recommendations for tumor marker prognostic studies (REMARK) were also applied to evaluate study quality in cancer-related meta-analyses (Sauerbrei et al., 2018).

The following information was extracted from each publication: 1) first author's name, year, cancer type, country of the population, patient age, sample size, publication journal; 2) survival data including overall survival (OS), disease-free survival (DFS) and progression-free survival (PFS) (OS was detected from the date of medical treatment to the date of the last follow-up or death of patient; PFS was detected from the date of treatment to the date of death or recurrence tumor from any cause; DFS was detected from the date of diagnosis to the date of relapse, progression, death, or last follow-up visit and similarly censored at last follow-up visit); 3) The number of patients in each group was divided according to the TMN stages, clinical stages, the presence or absence of lymph node metastasis (LNM), gender and the number of patients



with high or low  $\beta$ -catenin expression in each group. 4) Methods and cut-off value (Table 1).

#### Statistical analysis

Pooled HRs, ORs and their 95% CIs were directly obtained or estimated by p values and other published data following Parmer's methods from the primary studies (Ambrosio et al., 2014). Statistical heterogeneity among the included studies was

TABLE 1 Characteristics of the studies examined in the meta-analysis. NR, not reported; IHC, Immunohistochemistry; RT-qPCR, Reverse transcription-quantitative polymerase chain reaction.

| Author | Year | Country | Sample<br>size | Age          | Follow-up<br>(month) | Survival<br>analysis   | Methods          | Cut-off<br>value | NOS/REMARK<br>score |
|--------|------|---------|----------------|--------------|----------------------|------------------------|------------------|------------------|---------------------|
| Нао    | 2014 | Canada  | 279            | 51.7 (18-85) | 48 (3-120)           | OS, DFS                | IHC              | NR               | 6/15                |
| Jin    | 2019 | China   | 164            | 45.3 (24-70) | 49.2 (9-60)          | OS, DFS, DMFS,<br>LRFS | IHC, RT-<br>qPCR | 50%              | 7/13                |
| Pang   | 2019 | China   | 175            | NR (22-69)   | NR (36-48)           | OS                     | IHC              | 75%              | 7/14                |
| Sun    | 2017 | China   | 128            | NR           | NR                   | OS, PFS                | IHC, RT-<br>qPCR | 50%              | 7/12                |
| Wang   | 2009 | China   | 111            | 47 (18-71)   | 65 (8-88)            | PFS                    | IHC              | 50%              | 7/11                |
| Wang   | 2017 | China   | 163            | NR           | NR                   | OS                     | IHC              | 70%              | 8/11                |
| Xu     | 2013 | China   | 148            | NR           | 78 (10-125)          | OS                     | IHC              | NR               | 7/12                |
| Luo    | 2012 | China   | 122            | 47.2 (15-73) | 51.9 (8-92)          | OS                     | IHC              | 50%              | 7/12                |

assessed by the  $\chi^2$ -based Q test and I<sup>2</sup> test (Higgins et al., 2003). The fixed-effect model was used for analysis in the absence of significant heterogeneity between studies (p > 0.10, I<sup>2</sup> = 0%); we adopted the random-effects model if significant heterogeneity was present. We also performed sensitivity analysis to investigate the influence of each individual study on the overall pooled results. Begg's test and Egger's test were applied to detect publication bias (p > 0.05 indicated no publication bias). All statistical analyses were performed using STATA statistical software version 12.0 (STATA, College Station, TX).

#### **Results**

#### Study selection and characteristics

As shown in Figure 1, a total of 312 potential publications were initially identified by searching the PubMed, Web of Science, EMBASE, Cochrane Library, Chinese National Knowledge Infrastructure (CNKI) and Wanfang databases. Following exclusion of duplicates (n = 194), abstracts, letters and reviews (n = 9), and studies not related to the topics (n = 194)



Forest plot indicating the association between  $\beta$ -Catenin expression and OS in NPC.





190), the remaining potentially relevant studies (n = 48) were further identified by reading their full texts. 40 studies did not provide specific data regarding NPC or  $\beta$ -Catenin and therefore were excluded. Finally, eight studies between 2009 and 2020 with a total of 1179 NPC patients were included in our meta-analysis.

The study characteristics are summarized in Table 1. All of the eight publications involved >100 patients. Seven studies including 1,068 patients reported OS, 2 studies including 443 patients reported DFS, and 2 studies including 239 patients reported PFS. All HRs, ORs and 95%CIs values were directly reported in



the original study. NOS scores for all publications were above 6, and REMARK scores were between 11-15.

# Association between $\beta$ -Catenin and survival in nasopharyngeal carcinoma patients

All eight studies in our analysis reported the association between  $\beta$ -Catenin and the OS, DFS and PFS of patients with NPC. Heterogeneity among the publications was significant based on the Q test (p < 0.1). Hence, the random-effect model was adopted and showed that  $\beta$ -Catenin was significantly associated with shorter OS in NPC (HR = 2.45, 95% CIs: 1.45–4.16, p = 0.001). Medium heterogeneity was noted between  $\beta$ -Catenin expression and OS (I<sup>2</sup> = 66.8%, P<sub>heterogeneity</sub> = 0.006) (Figure 2). Furthermore, two studies including 433 patients reported DFS, and two studies including 239 patients reported PFS. A significant correlation between  $\beta$ -Catenin and shorter DFS/PFS (HR = 1.79, 95% CIs: 1.29–2.49, p = 0.000) was observed, with low heterogeneity (I<sup>2</sup> = 49.6%, P<sub>heterogeneity</sub> = 0.114) (Figure 3).

# Association between $\beta$ -cadherin and nasopharyngeal carcinoma patients outcomes

We further calculated the pooled ORs and the 95% CIs to evaluate the association between  $\beta$ -catenin and NPC outcomes: gender (female vs male), TMN stage (T3–4 vs T1–2), clinical stage (T3–4 vs T1–2) and lymph node (LN) status (LNM vs No LNM). The pooled analysis showed that  $\beta$ -cadherin was significantly associated with higher TMN stages (OR = 5.10, 95% CIs 2.93–8.86, p = 0.000) and LNM (OR = 5.01, 95% CIs 2.40–10.44,

p = 0.000). However,  $\beta$ -cadherin was not significantly correlated with gender (OR = 0.80, 95% CIs 0.59–1.07, p = 0.135) (Figure 4).

#### Sensitivity analysis

Sensitivity analysis was conducted to evaluate the impact of each single study on the overall effect. As depicted in Figure 5, the analysis did not detect a single study that significantly altered the combined results. Overall, the pooled effect size of our metaanalytic results was stable and reliable.

#### **Publication bias**

Publication bias was assessed by using Begg's funnel plots and Egger's test. The results were quite symmetric, with those based on Begg's funnel plot (p = 0.077) and Egger's test (p = 0.077) revealing no publication bias among the studies (Figure 6).

#### Meta-regression analysis

Medium heterogeneity was noted between  $\beta$ -Catenin expression and OS (I2 = 66.8%, Pheterogeneity = 0.006). Hence, the meta regression analyses were used to explain statistical heterogeneity. The HR was not modified by the year of publication, female ratio (%), area, sample size, or quality score, this result does not fully explain the medium level of heterogeneity observed.

#### Discussion

The present study is the first meta-analysis including eight published studies with 1,179 patients to provide useful information for clinical decision-making in NPC. β-Catenin was significantly associated with shorter OS in NPC patients, with HR values of 2.45. Significant correlation between  $\beta$ -Catenin and shorter DFS/PFS (HR 1.79) was also observed. Furthermore, our results also demostrated that  $\beta$ -cadherin was significantly associated with higher TMN stages, clinical stages and LNM. These results confirm the clinical value of  $\beta$ -Catenin in NPC. NPC tumor cells invade adjacent tissues or metastasize to regional lymph nodes at an early stage of NPC development (Wei and Mok, 2007), though the exact mechanism underlying the process remains unknown. It has been reported that cell-cell adhesion molecules, cytokines and the matrix metalloproteinase family may be involved in adjacent invasion and distant metastasis.  $\beta$ -Catenin is a key mediator in the cadherin-Catenin complex, which is essential for connecting the actin filaments of cells to the cell-cell interface at adherent junctions (Anastas and Moon, 2013); it is also a key mediator of canonical



signaling in the Wnt/ $\beta$ -Catenin pathway.  $\beta$ -Catenin can accumulate in both the cytoplasm and nucleus (Khramtsov et al., 2010), and it helps to promote the progression of tumors by suppressing T-cell responses (Hong et al., 2015). Gene mutations or aberrant activation of Wnt receptors activate Wnt/β-Catenin signaling and trigger tumorigenesis in the skin, colon, liver, bone marrow, breast, and possibly other tissues (Fodde and Brabletz, 2007; Monga, 2015). In addition,  $\beta$ -Catenin plays roles in maintaining the stemness of normal intestinal cells, and high-level nuclear localization and cytoplasmic expression promote cancer cell proliferation and survival (Valkenburg et al., 2011).  $\beta$ -Catenin is high expressed when Wnt/β-catenin signal is aberrantly activated, it activates numerous Wnt pathway downstream proliferation signals, including c-Myc and cyclin D1 and finally accelerates cell cycle, facilitates cell proliferation and migration, which induced to poor diagnosis of NPC (Alamoud and Kukuruzinska, 2018).

Targeted therapies have produced striking benefits for cancer patients. The Wnt/ $\beta$ -Catenin pathway has been proven to play a key role in various kinds of carcinomas (Sanchez-Vega et al., 2018). Therefore, this signaling pathway is a preferable target for

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fighting cancer. Although there are no drugs specifically inhibiting this signaling pathway approved for the clinic, intensive efforts have been made in signaling pathway development. Wnt/ $\beta$ -Catenin pathway inhibitors can be classified into five categories according to their properties: peptides, small molecules, antibodies, natural compounds and RNA interference (Cui et al., 2018). There are already some ongoing phase 1/2 trials with Wnt/ $\beta$ -Catenin pathway inhibitors in metastatic colorecta, head and neck cancers, breast cancers and some other cancers (Krishnamurthy and Kurzrock, 2018). These trials provide proof that in certain patients, cancer can be treated with Wnt/ $\beta$ -Catenin inhibitors. According to our results, Wnt/ $\beta$ -Catenin inhibitors may constitute therapeutics against NPC.

Nevertheless, the present meta-analysis contains several limitations. First, significant heterogeneity was noted in the association between  $\beta$ -Catenin and the OS of patients with NPC. The heterogeneity of the population was most likely due to differences in the baseline characteristics of the included patients (age, race, and tumor stage), the duration of follow-up, the method of mutation detection, and other parameters. A random-effects model was employed to minimize the effects of these differences. Second, the number of articles used for assessing the association between  $\beta$ -Catenin and the prognosis of NPC patients was limited in the present meta-analysis. Therefore, additional studies are required to produce accurate conclusions. Finally, our results may overestimate the prognostic significance of  $\beta$ -Catenin to some extent because the majority of the included studies reported positive results.

In summary, we searched electronic databases, and a total of 1,179 patients in eight studies were enrolled for meta-analysis, demonstrating that patients with elevated  $\beta$ -Catenin expression are more likely to have poorer prognosis. Taken together, our meta-analysis results suggest that  $\beta$ -Catenin has prognostic value for NPC. However, studies with larger sample sizes are needed to obtain more representative and precise results.

#### **Author Contributions**

YH, CL, and L-QZ collected and analyzed the data, wrote the paper. L-QZ, YH, JS, and H-JX analyzed the data and wrote the paper. LZ and H-JX conceived and designed this study, analyzed

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the data, wrote the paper. All authors read and approved the final manuscript.

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#### **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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#### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.953739/full#supplementary-material

#### SUPPLEMENTARY TABLE S1

Quality assessment based on the Recommendations for Tumor Marker Prognostic Studies (REMARK).

SUPPLEMENTARY TABLE S2 PRISMA\_2020\_checklist.

SUPPLEMENTARY TABLE S3 PRISMA\_2015\_checklist.

#### SUPPLEMENTARY TABLE S4

Quality assessment based on the Newcastle-Ottawa Scale (NOS).

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**Objective:** The aim of this study was to establish predictive models based on the molecular profiles of endometrial lesions, which might help identify progestininsensitive endometrial atypical hyperplasia (EAH) or endometrioid endometrial cancer (EEC) patients before progestin-based fertility-preserving treatment initiation.

**Methods:** Endometrial lesions from progestin-sensitive (PS, n = 7) and progestin-insensitive (PIS, n = 7) patients were prospectively collected before progestin treatment and then analyzed by ATAC-Seq and RNA-Seq. Potential chromatin accessibility and expression profiles were compared between the PS and PIS groups. Candidate genes were identified by bioinformatics analyses and literature review. Then expanded samples (n = 35) were used for validating bioinformatics data and conducting model establishment.

**Results:** ATAC-Seq and RNA-Seq data were separately analyzed and then integrated for the subsequent research. A total of 230 overlapping differentially expressed genes were acquired from ATAC-Seq and RNA-Seq integrated analysis. Further, based on GO analysis, REACTOME pathways, transcription factor prediction, motif enrichment, Cytoscape analysis and literature review, 25 candidate genes potentially associated with progestin insensitivity were identified. Finally, expanded samples were used for data verification, and based on these data, three predictive models comprising 9 genes (*FOXO1, IRS2, PDGFC, DIO2, SOX9, BCL11A, APOE, FYN*, and *KLF4*) were established with an overall predictive accuracy above 90%.

**Conclusion:** This study provided potential predictive models that might help identify progestin-insensitive EAH and EEC patients before fertility-preserving treatment.

#### KEYWORDS

predictive models, progestin insensitivity, endometrial atypical hyperplasia, endometrioid endometrial cancer, ATAC-seq, RNA-seq, fertility-preserving treatment

#### Introduction

Endometrioid endometrial cancer (EEC) is one of the most common gynecological malignancies, with an increasing trend in new cancer cases and deaths each year (Siegel et al., 2022). Notably, EEC and its precancerous lesions, endometrial atypical hyperplasia (EAH), present a younger trend, and approximately half of young EEC and EAH patients are nulliparous when diagnosed (Trojano et al., 2019). Therefore, fertility-sparing treatment for these patients has attracted increasing attention in clinical research. Currently, high-dose progestin therapy is the main conservative strategy and achieves an approximately 70-80% complete response (CR) rate, and the median duration from treatment to CR is as long as six to 7 months (Gallos et al., 2012; Gunderson et al., 2012; Yang et al., 2019; Westin et al., 2021). However, there are still approximately 20-30% of cases are not sensitive to progestin and having to switch to second-line treatment or even receive definitive surgery. Identifying progestin-insensitive (PIS) cases accurately before progestin treatment initiation might aid clinicians in providing more efficient treatment for these patients and thus improve the overall outcome of fertilitypreserving treatment.

There is still a lack of objective indicators predicting progestin sensitivity in EAH or EEC patients. Studies have shown that positive progesterone receptor (PR) expression in EAH and EEC tissues was associated with shorter CR time of fertility-sparing therapy (Yamazawa et al., 2007; Raffone et al., 2019; Wang et al., 2021). While the abnormal expression of other molecular markers, such as elevated dual-specificity phosphatase 6 or downregulated nuclear factor NF-E2related factor or survivin, might be associated with progestin insensitivity (Zhang et al., 2015; Fan et al., 2017). However, there is less high-quality evidence of molecular markers that can be used to predict progestin response in EAH and EEC cases. Therefore, further studies are still needed to explore promising models for predicting progestin response in EAH and EEC cases.

To explore potential predictive models for predicting progestin insensitivity in EAH or EEC patients before receiving progestin-based fertility-preserving treatment, this study was designed based on assay for transposase-accessible chromatin sequencing (ATAC-Seq) and RNA sequencing (RNA-Seq) of EAH and EEC tissues. Based on ATAC-Seq and RNA-Seq integrated bioinformatics analyses and literature review, candidate genes were identified and further verified in another 35 cases for predictive model construction. Our study provided potential models for predicting progestin insensitivity in patients with EAH and EEC.

#### Materials and methods

#### **Ethics statement**

This is a retrospective study using samples prospectively collected from December 2017 to November 2020, in the Obstetrics and Gynecology Hospital of Fudan University, Shanghai, China (hereafter referred to as 'Ob&Gyn Hospital'). This study was approved by the Ethics Committees of Ob&Gyn Hospital (Approval NO. 2021-130). Patients were fully informed of the use of their medical data and pathological samples for scientific research, and signed informed consent forms.

#### Patient selection and tissue collection

Young patients diagnosed with EAH or well-differentiated EEC receiving progestin-based fertility-sparing treatment were prospectively registered. All patients were pathologically diagnosed with EAH or EEC for the first time by endometrial biopsy with or without hysteroscopy. Inclusion and exclusion criteria as well as treatment regimen and evaluation procedure were as previously reported (Yang et al., 2020). Briefly, patients received progestin-based treatment, hysteroscopic evaluation and endometrial biopsy every 3 months on average. Pathological diagnosis was confirmed by at least two gynecological pathologists experienced independently according to the World Health Organization (WHO) pathological classification (2020). If their opinions differed, a seminar was held in the pathological department for the final diagnosis.

'PIS' was defined as disease progression at any time during treatment, stable disease after 7 months of treatment, or did not achieve CR after 10 months of treatment (Zhou and Xu, 2021). Other patients who achieved CR within 10 months of treatment were regarded as 'PS'.

Endometrial lesions before progestin treatment initiation were prospectively collected through biopsy under hysteroscopy and stored at -80°C equipped with or without RNA preservation solution. Samples from 7 PIS patients and 7 PS patients were firstly collected for ATAC-Seq and RNA-Seq analyses from December 2017 to November 2019 (regarded as the 'Analysis Group'). Because the number of EAH or EEC patients receiving fertility preserving treatment is relatively low, we tried to collect as many patients as possible for validation to minimize possible bias caused by low case number. As a result, a total of 35 cases met the inclusion and exclusion criteria of this study were recruited from November 2019 to November 2020. These patients were regarded as

| Variables                         | Analysis group         |                        |                        |             | Construction group     |                        |                        |                                      |                     |
|-----------------------------------|------------------------|------------------------|------------------------|-------------|------------------------|------------------------|------------------------|--------------------------------------|---------------------|
|                                   | Total                  | PS                     | PIS                    | *p<br>value | Total                  | PS-C                   | sub-PS-C               | PIS-C                                | ⁺ <i>p</i><br>value |
| Patients (n)                      | 14                     | 7                      | 7                      | _           | 35                     | 13                     | 15                     | 7                                    | _                   |
| Diagnosis                         |                        |                        |                        |             |                        |                        |                        |                                      | 1.000               |
| EAH                               | 7 (50)                 | 4 (57.1)               | 4 (57.1)               | 1.000       | 25 (69.44)             | 10 (76.92)             | 10 (66.67)             | 5 (62.5)                             | _                   |
| EEC                               | 7 (50)                 | 3 (42.9)               | 3 (42.9)               |             | 11 (30.56)             | 3 (23.08)              | 5 (33.33)              | 3 (37.5)                             | _                   |
| Age at diagnosis<br>(year)        | 31 (26-36)             | 34 (28–36)             | 30 (26-34)             | 0.097       | 32.5 (21-42)           | 34 (21–39)             | 30 (23–36)             | 34 (24-42)                           | 0.2895              |
| BMI (kg/m2)                       | 28.26<br>(20.70–37.65) | 28.13<br>(23.44–36.13) | 28.40<br>(20.70-37.65) | 0.710       | 28.09<br>(18.87–45.17) | 26.15<br>(18.87–37.74) | 28.04<br>(19.57–45.17) | 29.94<br>(20.28–35.26)               | 0.880               |
| HOMA-IR                           | 4.15<br>(1.40-6.37)    | 4.41<br>(1.47-6.37)    | 3.53<br>(1.40–5.58)    | 0.535       | 3.16<br>(0.84–22.80)   | 4.12<br>(1.18–10.13)   | 3.23<br>(0.84.22.80)   | 2.35 (1.56-7.64)                     | 0.647               |
| MS§                               | 8 (57.1)               | 4 (57.1)               | 4 (57.1)               | 1.000       | 15 (41.7)              | 5 (38.5)               | 6 (40.0)               | 4 (50.0)                             | 0.830               |
| Hypertension                      | 3 (21.4)               | 2 (28.6)               | 1 (14.3)               | 1.000       | 3 (8.3)                | 1 (7.7)                | 2 (13.3)               | 0 (0.0)                              | 0.782               |
| Diabetes<br>mellitus              | 0 (0.0)                | 0 (0.0)                | 0 (0.0)                | —           | 4 (11.1)               | 1 (7.7)                | 2 (13.3)               | 1 (12.5)                             | 1.000               |
| Nulliparous                       | 11 (78.6)              | 5 (71.4)               | 6 (85.7)               | 1.000       | 29 (80.6)              | 9 (69.2)               | 13 (86.7)              | 7 (87.5)                             | 0.553               |
| Progestin therapy                 |                        |                        |                        |             |                        |                        |                        |                                      |                     |
| MA                                | 6                      | 2 (28.6)               | 4 (57.1)               |             | 12 (33.3)              | 2 (15.4)               | 8 (53.3)               | 2 (25.0)                             |                     |
| MA +<br>Metformin                 | 4                      | 2 (28.6)               | 2 (28.6)               |             | 12 (33.3)              | 4 (30.8)               | 6 (40.0)               | 2 (25.0)                             |                     |
| LNG-IUD                           | 1                      | 1 (14.3)               | 0 (0)                  |             | 4 (11.1)               | 3 (23.1)               | 0 (0.0)                | 1 (12.5)                             |                     |
| MA +<br>LNG-IUD                   | 3                      | 2 (28.6)               | 1 (14.3)               |             | 4 (11.11)              | 2 (15.4)               | 0 (0.0)                | 2 (25.0)                             |                     |
| MA +<br>Rosuvastatin              | -                      | -                      | -                      |             | 4 (11.1)               | 2 (15.4)               | 1 (6.7)                | 1 (12.5)                             |                     |
| CR time<br>(months) <sup>++</sup> | 7.8 (3.7–29.5)         | 7.0 (3.7–7.9)          | 12.0 (6.0–29.5)        | 0.011       | 6.33<br>(3.07–13.23)   | 3.9 (3.07-4.90)        | 6.87 (5.87-8.1)        | 11.17<br>(10.53–13.23) <sup>‡‡</sup> | < 0.0001            |

TABLE 1 General characteristics of the study population.

††Total treatment duration from initiation of conservative treatment to CR.

<sup>4+</sup>Note: CR time of one patient in PIS-C group was not included, because this patient did not achieve CR and underwent hysterectomy eventually.

\*Diagnosis of MS meets at least three of the following criteria: 1) BP  $\geq$  130/85 mmHg or hypertension; 2) Waist circumference  $\geq$ 80 cm; 3) Total cholesterol  $\geq$ 1.7 mmol/L; 4) High density lipoprotein <1.04 mmol/L; 5) Fasting plasma glucose ≥5.6 mmol/L or type II diabetes mellitus.

\*p value: comparison between PS group and PIS group in Analysis Group.

<sup>+</sup>p value: comparison between PS-C group, sub-PS-C group and PIS-C group in Construction Group.

Values are presented as median (range) or number (%).

PS, progestin-sensitive; PIS, progestin-insensitive; PS-C, progestin-sensitive in Construction Group; sub-PS-C, progestin-sub-sensitive in Construction Group; PIS-C, progestin-insensitive in Construction Group; EAH, endometrial atypical hyperplasia; EEC, endometrioid endometrial cancer; BMI, body mass index; HOMA-IR, homeostasis model assessment-insulin resistance; MS, metabolic syndrome; MA, megestrol acetate; LNG-IUD, levonorgestrel intrauterine device; CR, complete response.

'Construction Group' for validation and model construction. They were further classified as PS-C (achieved CR within 5 months of treatment, n = 13), sub-PS-C (achieved CR within 5–9 months of treatment, n = 15) and PIS-C (n = 7). The basic characteristics of the enrolled patients were shown in Table 1.

#### Library construction and ATAC-Seq analysis

ATAC-Seq was performed to analyze transposase accessible chromatin as previously described (Buenrostro et al., 2015). An

improved ATAC-Seq protocol that reduces background and enables interrogation of frozen tissues was used for nuclei collection (Corces et al., 2017). Libraries were pooled at equimolar ratios with barcodes and sequenced on the BGISEQ-500 platform (BGI, Shenzhen, China).

Raw sequence reads were initially processed for quality control by FastQC. Before statistical analysis, ATAC-Seq read counts of different samples were normalized according to the methods described previously (Zhang and Parmigiani, 2020). In ATAC-Seq analysis, opening or closing peaks were chosen with  $|\log_2 \text{ fold change}| > 0.5849$  and non-adjusted p < 0.05 (PIS vs. PS). The proportion of all reads in each sample was matched to the elements in the human genome according to functional and positional information, including 3' UTR, 5'UTR, distal intergenic, downstream, exon, intron, and promoter. Scatter plot showed the accessibility at each peak. Hierarchical cluster analysis was performed to assess chromatin accessibility with differential gene peaks.

#### Library construction and RNA-Seq analysis

RNA-Seq was performed to assess the expression of genes in tissue samples as described previously (Wang et al., 2018a). Libraries were generated on the BGIseq500 platform (BGI-Shenzhen, China). Fragments per kilobase per million reads (FPKM) was used to quantitatively estimate gene expression values (Trapnell et al., 2010). DESeq2 was used to analyze the raw count (Wang et al., 2010). Before statistical analysis, RNA-Seq read counts of different samples were normalized according to a previously reported method (Zhang and Parmigiani, 2020). Differential expression analysis was performed using the R DESeq2 package (v1.4.5) (Love et al., 2014). Genes with  $|\log_2 \text{ fold change}| > 0.5849 \text{ and non-adjusted } p < 0.05 (PIS vs. PS)$ were defined as differentially expressed genes (DEGs) between the PIS and PS patients. A heatmap was drawn to cluster the DEGs. The DEGs were further analyzed by Gene Ontology (GO) and REACTOME pathways to determine the potential functions and pathways enriched by these DEGs using R packages. GO analysis included biological process (BP), molecular function (MF), and cellular components (CC).

## Integration analysis of ATAC-Seq and RNA-Seq

ATAC-Seq and RNA-Seq profiles were analyzed after integration to accurately determine the potential center genes that can distinguish PIS from PS patients. The overlapping DEGs were defined as 1) the upregulated DEGs in RNA-Seq with an enhanced chromatin open region signal in ATAC-Seq and 2) the downregulated DEGs in RNA-Seq with an attenuated chromatin open region signal in ATAC-Seq (PIS vs. PS). A Venn diagram was generated to present the overlapping upregulated and downregulated DEGs. Scatter plots were used to evaluate the relationship between the transposase accessible chromatin and gene expression derived from ATAC-Seq and RNA-Seq data, respectively.

The candidate genes for predictive model construction were screened out based on ATAC-Seq and RNA-Seq integrated bioinformatics analyses and literature review, but not only based on the level of change between the two conditions. The bioinformatics analyses in this part included REACTOME pathways, Transcription factor (TF) prediction, Motif enrichment, and Functional protein-associated networks. 1) Based on overlapping DEGs by ATAC-Seq and RNA-Seq integrated analysis, top ten REACTOME pathways were enriched, and DEGs in the pathways potentially regulating progestin insensitivity were first screened out. 2) Potential TFs that regulate the expression of the overlapping DEGs were enriched by HOMER Software, and DEGs-encoding TFs with p value less than 0.05 were screened out. 3) Motif enrichment was performed to identify important TFs by using homer peak analysis software. The generated homer known TFs with p value less than 0.05 and more than 20% of target sequences with motifs enriched in chromatin regions were listed in Table 2, and their encoding genes among the overlapping DEGs were identified. 4) The interactions between proteins encoded by overlapping DEGs were analyzed using STRING (https:// string-db.org/) and Cytoscape software (version 3.6.1). Central proteins were determined with both >4 connected lines and >0. 4 combined score, and their encoding DEGs were identified. Furthermore, all the candidate genes screened out based on aforementioned bioinformatics analyses above, were comprehensively evaluated by literature review according to whether these candidate genes were involved in tumor initiation, progression and treatment resistance.

## Validation of candidate genes in the expanded samples

Endometrial samples from the Construction Group were analyzed by real-time quantitative PCR (RT-qPCR) for the expression of the twenty-five candidate genes. Each gene was analyzed in triplicate and normalized to the housekeeping gene *GAPDH*. Detailed primer sequences were listed in Supplementary Table S1. The value of the  $\Delta$  cycle threshold ( $\Delta$ CT) was used as the relative expression level of mRNA of the candidate genes compared to *GAPDH*. Then  $\Delta$ CT values were normalized by SPSS Version 22.0 for subsequent analysis.

#### Statistics

Statistical analysis was calculated using GraphPad Prism Version 8.0 and SPSS Version 22.0. RT-qPCR data were presented as the mean  $\pm$  standard error of the mean (SEM) and were calculated by unpaired *t* test, unless otherwise noted. A two-tailed *p* value less than 0.05 was considered statistically significant.

To determine which candidate genes could be used for predicting progestin insensitivity, predictive models were established using multinomial logistic regression (SPSS Version 22.0). The PS-C, sub-PS-C, and PIS-C groups were identified as the dependent variables. Normalized  $\Delta$ CT values of candidate genes were stratified into low, medium, and high expression stratifications according to cutoff values (X-tile Version 3.6). Then, the expression stratification of candidate

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| TFs    | Binding motif  | % Of target sequences with motif | p Value  |
|--------|--|----------------------------------|----------|
| NANOG  | <b>SECCATIAAS</b>  |                                  |          |
| TGIF2  | Nanog (Homeobox)/mES-Nanog-ChIP-Seq (GSE11724)/Homer             | 44.87%                           | 1.00E-02 |
| NF1    | Tgif2 (Homeobox)/mES-Tgif2-ChIP-Seq (GSE55404)/Homer             | 39.74%                           | 1.00E-02 |
| HOXA9  | NF1-halfsite (CTF)/LNCaP-NF1-ChIP-Seq (Unpublished)/Homer        | 29.49%                           | 1.00E-05 |
| FOXO1  | Hoxa9 (Homeobox)/ChickenMSG-Hoxa9.Flag-ChIP-Seq (GSE86088)/Homer | 29.17%                           | 1.00E-02 |
| SP2    | Foxo1 (Forkhead)/RAW-Foxo1-ChIP-Seq (Fan_et_al.)/Homer           | 28.53%                           | 1.00E-06 |
| SOX10  | Sp2 (Zf)/HEK293-Sp2.eGFP-ChIP-Seq (Encode)/Homer                 | 28.53%                           | 1.00E-03 |
| SOX3   | Sox10 (HMG)/SciaticNerve-Sox3-ChIP-Seq (GSE35132)/Homer          | 26.60%                           | 1.00E-08 |
| TWIST2 | Sox3 (HMG)/NPC-Sox3-ChIP-Seq (GSE33059)/Homer                    | 25.64%                           | 1.00E-06 |
| SOX6   | Twist2 (bHLH)/Myoblast-Twist2.Ty1-ChIP-Seq (GSE127998)/Homer     | 25.64%                           | 1.00E-03 |
| SOX21  | Sox6 (HMG)/Myotubes-Sox6-ChIP-Seq (GSE32627)/Homer               | 25.00%                           | 1.00E-07 |
| KLF5   | Sox21 (HMG)/ESC-SOX21-ChIP-Seq (GSE110505)/Homer                 | 24.68%                           | 1.00E-04 |

TABLE 2 TFs binding homer known motifs enriched in chromatin region in response to progestin in PIS group compared to PS group from Analysis Group.

(Continued on following page)

| TFs      | Binding motif   | % Of target sequences with motif | p Value  |
|----------|---|----------------------------------|----------|
| MAZ      | KLF5 (Zf)/LoVo-KLF5-ChIP-Seq (GSE49402)/Homer               | 23.72%                           | 1.00E-02 |
| TCF4     | Maz (Zf)/HepG2-Maz-ChIP-Seq (GSE31477)/Homer                | 23.08%                           | 1.00E-02 |
| AP-1     | TCF4 (bHLH)/SHSY5Y-TCF4-ChIP-Seq (GSE96915)/Homer           | 22.76%                           | 1.00E-03 |
| BHLHA15R | AP-1 (bZIP)/ThioMac-PU.1-ChIP-Seq (GSE21512)/Homer          | 22.44%                           | 1.00E-21 |
| NEUROG2  | BHLHA15 (bHLH)/NIH3T3-BHLHB8.HA-ChIP-Seq (GSE119782)/Homer  | 22.44%                           | 1.00E-04 |
| ATF3     | NeuroG2 (bHLH)/Fibroblast-NeuroG2-ChIP-Seq (GSE75910)/Homer | 22.12%                           | 1.00E-02 |
| SOX15    | Atf3 (bZIP)/GBM-ATF3-ChIP-Seq (GSE33912)/Homer              | 21.15%                           | 1.00E-22 |
| BATF     | Sox15 (HMG)/CPA-Sox15-ChIP-Seq (GSE62909)/Homer             | 20.83%                           | 1.00E-09 |
|          | BATF (bZIP)/Th17-BATF-ChIP-Seq (GSE39756)/Homer             | 20.51%                           | 1.00E-21 |

TABLE 2 (Continued) TFs binding homer known motifs enriched in chromatin region in response to progestin in PIS group compared to PS group from Analysis Group.

TFs, transcription factors; PIS, progestin-insensitive; PS, progestin-sensitive.

genes was identified as an independent variable. The PS-C group was regarded as the control group in the multinomial logistic regression method. The predictive accuracy of the established models to predict PS, sub-PS and PIS was analyzed. Model fitting was used to illustrate the reliability of the models.

#### Availability of supporting data

The raw data and processed data used in this study have been uploaded to the Gene Expression Omnibus repository under

GEO accession number GSE201928 at https://www.ncbi.nlm.nih. gov/geo/.

#### Results

## Comparison of chromatin accessibility between PIS and PS cases by ATAC-Seq

Flowchart of study design was shown in Figure 1A. Firstly, genomic chromatin accessibility was analyzed by ATAC-Seq


Landscape of genomic chromatin accessibility by ATAC-Seq. (A) Flowchart of study design. Endometrial lesions in the Analysis Group were collected for ATAC-Seq and RNA-Seq and further data analysis. (B) Genomic distribution of differential peaks. Bars with different colors and lengths represent different elements in the human genome and proportions, respectively. (C) Scatter plot of the chromatin accessibility at each peak in the PIS group compared to the PS group. The X-axis represents the peak size  $(log_{10})$ , and the Y-axis represents the log<sub>2</sub> fold change (PIS vs. PS) in ATAC-Seq analysis. The orange-red dots represent the opening peaks and the light blue dots represent the closing peaks in the PIS group compared to the PS group. (D) The histogram presents the distribution of log<sub>2</sub> fold change of the differential peaks (PIS vs. PS). The abscissa represents log<sub>2</sub> fold change of the differential peaks (PIS vs. PS) and the vertical axis represents the number of the differential peaks. Red arrow indicates log<sub>2</sub> fold change = -0.5849. (E) Hierarchical cluster analysis of all the regulated opening and closing peaks in genes. Red plates represent opening peaks, while green plates indicate closing peaks in the PIS groups. Abbreviations: PIS, progestin insensitive; PS, progestin sensitive; ATAC-Seq, assay for transposase-accessible chromatin sequencing; RNA-Seq, RNA sequencing; UTR, untranslated region.

using samples from the Analysis Group (PIS, n = 7 and PS, n = 7). Five patients from each group had both ATAC-Seq and RNA-Seq data. The remaining two patients in each group had only ATAC-Seq data or RNA-Seq data, respectively. In the ATAC-Seq results, the proportion of all reads in each sample was matched to the elements in the human genome according to functional and positional information. The accessibility of transcriptional sites was more abundant in the promoter region in the PIS group but more abundant in intron and distal intergenic sites in the PS group (Figure 1B). The

accessibility of the other four sites, including the 3' UTR, 5' UTR, downstream and exon, constituted a very small percentage of accessible transcriptional sites. After ATAC-Seq analysis, approximately 3721 differential opening or closing peaks were enriched, and most peaks were between  $10^2$  and  $10^3$  in size (Figure 1C). Additionally, distribution of 3721 differential peaks [log<sub>2</sub> fold change (PIS vs. PS)] were provided, and the results showed that PIS group had more opening differential peaks than the PS group (Figure 1D). In detail, 2773 opening peaks and 948 closing peaks were shown



Expression profiles by RNA-Seq in PIS and PS patients with EAH and EEC. (A) Hierarchical cluster analysis of all DEGs annotated by FPKM by using DESeq2 normalization. The rows represent the 4349 upregulated and 2102 downregulated genes. Red grids represent upregulated genes while blue grids represent downregulated genes. (B) Statistical pie chart of upregulated and downregulated DEGs in the PIS group compared to the PS group. (C) Bubble diagram of the GO analysis of the upregulated and downregulated DEGs in the PIS group compared to the PS group, including BP (C1), CC (C2), and MF (C3). The top ten clusters with adjusted p < 0.05 were shown. (D) REACTOME pathway annotation of upregulated and downregulated DEGs in the PIS group compared to the PS group. The top ten enriched pathways with adjusted p < 0.05 were shown. Abbreviations: PIS, progestin insensitive; PS, progestin sensitive; DEGs, differentially expressed genes; GO, Gene Ontology; BP, biological process; CC, cellular components; MF, molecular function.



Enrichment analysis of DEGs integrated by ATAC-Seq and RNA-Seq. (A) Venn diagram of DEGs in RNA-Seq with differential opening and closing peaks in ATAC-Seq. (B) Chromatin accessibility correlates significantly with the 230 overlapping DEGs (Pearson analysis, p = 0.005). Dashed lines delineate the set of DEGs in RNA-Seq (X-axis) and differential opening or closing peaks in ATAC-Seq (Y-axis) between the PIS and PS groups. Shaded points in the upper right quadrant and lower left quadrant define the genes showing congruent chromatin accessibility and gene expression. (C) GO annotation of the upregulated and downregulated DEGs in the PIS group compared to the PS group was performed based on ATAC-Seq and RNA-Seq integration, including BP (C1), CC (C2), and MF (C3). The top ten clusters with adjusted p < 0.05 were shown. (D) REACTOME pathway annotation of the overlapping upregulated and downregulated DEGs in the PIS group by ATAC-Seq and RNA-Seq integration. The top ten enriched pathways with adjusted p < 0.05 were shown. Abbreviations: ATAC-Seq, assay for transposase-accessible chromatin sequencing; RNA-Seq, RNA-Seq, real-sible chromatin insensitive; PS, progestin sensitive; DEGs, differentially expressed genes; GO, Gene Ontology; BP, biological process; CC, cellular components; MF, molecular function.

in the PIS group compared to the PS group by hierarchical cluster analysis (Figure 1E). The heatmap showed that a higher proportion of genes were transcriptionally active in

PIS cases than in PS cases. Gene peaks in six samples of each group were hierarchically clustered into one group, illustrating the reliability and accuracy of ATAC-Seq data.



## Comparison of expression profiles between PIS and PS cases by RNA-Seq

To compare the expression profiles between PIS and PS lesions in the Analysis Group, RNA-Seq was conducted and analyzed. DEGs were shown by hierarchical cluster analysis (Figure 2A). There were 4349 upregulated and 2102 downregulated DEGs in the PIS group compared to the PS group (Figure 2B). To identify whether these upregulated and

downregulated DEGs in the PIS group were enriched in particular functions, GO annotation, including BP, CC, and MF categories, was performed (Figures 2C1,C2,C3). In the BP categories, downregulated DEGs in the PIS group were mainly enriched in neutrophil-associated activity, Golgi vesicle transport, endomembrane system organization, macroautophagy, and cellular response to chemical stress, while upregulated DEGs in the PIS group were mainly enriched in membrane potential and synaptic signaling-related

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functions (Figure 2C1). In accordance with the results for the BP categories, the CC categories showed that downregulated DEGs in the PIS group were mainly enriched in granule lumen, vesicle lumen, cell-substrate junction, and focal adhesion, while upregulated DEGs in the PIS group were enriched in synaptic membrane and related transporter complex (Figure 2C2). In the MF categories, downregulated DEGs in the PIS group were enriched in various kinds of binding, including cadherin, nucleoside, GTP, and ubiquitin protein ligase binding, etc., while upregulated DEGs in the PIS group were enriched in channel, transmembrane transporter, and neurotransmitter receptor activity (Figure 2C3). Furthermore, REACTOME pathway annotation of the DEGs showed that downregulated DEGs in the PIS group were significantly enriched in pathways including asparagine N-linked glycosylation, neutrophil degranulation, autophagy, and transport between Golgi and endoplasmic reticulum (ER), while the upregulated DEGs in the PIS group were enriched in chemical and synaptic signal transmission, fibroblast growth factor receptor (FGFR), and G protein-coupled receptor (GPCR) (Figure 2D). RNA-Seq data demonstrated that expression profiles varied widely between PIS and PS cases.

## Gene ontology and REACTOME analysis by ATAC-Seq and RNA-Seq integration

To further determine the specific functions and pathways related to progestin insensitivity, ATAC-Seq and RNA-Seq results were integrated for further analysis. By overlapping the results of ATAC-Seq and RNA-Seq, the PIS group had 138 upregulated DEGs with opening peaks and 92 downregulated DEGs with closing peaks in chromatin accessibility compared to the PS group (Figure 3A). Correlation analysis showed a significant positive correlation between expression profiles and chromatin accessibility of the above mentioned 230 overlapping DEGs (Figure 3B). To gain further insight into whether these 230 overlapping DEGs were engaged in specific functions and pathways, GO annotation and REACTOME pathways were performed. In BP categories, the overlapping downregulated DEGs in the PIS group mainly influenced cell import-transportation, negative regulation of cysteine-type endopeptidase activity, response to reactive oxygen species and fat cell differentiation (Figure 3C1). In CC categories, overlapping downregulated DEGs in the PIS group were enriched in glutamatergic synapse, extrinsic component of membrane, collagen-containing extracellular matrix, and endocytic vesicle lumen, while those overlapping upregulated DEGs in the PIS group were located in glycoprotein complex, sodium channel complex,  $\beta$ -catenin-TCF complex, and sarcolemma (Figure 3C2). Similarly, in MF categories, overlapping downregulated DEGs in the PIS group were enriched in extracellular matrix binding, cadherin binding, transcriptional cofactor binding and phosphatidylserine binding, while upregulated DEGs in the PIS group were associated with bHLH transcription factor binding, β-catenin binding, and sodium channel activity (Figure 3C3). Furthermore, REACTOME pathway analysis showed that these overlapping downregulated DEGs in the PIS group mainly influenced pathways including MAPK family signaling cascades, intracellular signaling by second messengers, negative regulation of the PI3K/AKT network, cyclin D-associated events in G1, and FOXO-mediated transcription of cell cycle genes, while upregulated DEGs in the PIS group were enriched in pathways including transport of bile salts and organic acids, metal ions and amine compounds, carboxyterminal post-translational modifications of tubulin, factors involved in megakaryocyte development and platelet production, and kinesins (Figure 3D). Taken together, these data suggested that the overlapping downregulated DEGs in the PIS group are responsible for signal transfer, the activity of transcription cofactors, DNA damage, cell apoptosis and cell cycle, while the overlapping upregulated DEGs in the PIS group are mainly responsible for substance transport and the regulation of cytoskeletal proteins.

## Screening of candidate genes for predicting progestin insensitivity

To further screen candidate genes predicting progestin insensitivity, potential TFs that regulate the expression of the 230 overlapping DEGs were enriched by HOMER Software. The TFs identified included CUX1, TBP, SOX5, FOXJ1, PRRX2, SOX9, FOXQ1, POU1F1, MECOM, and NKX2-1 based on the 69 downregulated DEGs in the PIS group, while only ZBTB18 and CDC5Lwereidentified based on 76 upregulated DEGs in the PIS group (Figure 4A). Additionally, motif enrichment was performed by homer peak analysis based on the results of ATAC-Seq and RNA-Seq integration. The generated homer known TFs with more than 20% of target sequences with motifs enriched in chromatin regions (PIS vs. PS) included NANOG, TGIF2, NF1, HOXA9, FOXO1, SP2, SOX10, SOX3, TWIST2, SOX6, SOX21, KLF5, MAZ, TCF4, AP-1, BHLHA15R, NEUROG2, ATF3, SOX15, and BATF (Table 2). Additionally, the interactions between proteins encoded by DEGs were analyzed using STRING and Cytoscape software (Figure 4B). Potential candidate genes or central genes were screened out based on the principle that more connected lines had higher combined scores. The left part showed the proteins encoded by the upregulated DEGs in the PIS group, and the top four social proteins with more than 4 connected lines were encoded by SOX9, CDH2, IRF4, and TCF4, respectively. There were eight proteins in the right part that had more than TABLE 3 Characteristics of different predictive models based on candidate genes.

| No. | Candidate genes included<br>in models                 | Model<br>fitting (p<br>value) | Pseudo R<br>square | Predictive<br>accuracy of<br>PIS-C (%) | Predictive<br>accuracy of<br>sub-PS-C (%) | Predictive<br>accuracy of sub-<br>PS-C (%) | Overall<br>accuracy of<br>prediction<br>(%) |
|-----|---|-------------------------------|--------------------|--|---|--|---|
| 1   | BCL11A + SOX9+ApoE +<br>FOXO1+FYN + KLF4+DIO2         | <0.001                        | ≥0.846             | 100                                    | 93.3                                      | 84.6                                       | 91.4  |
| 2   | BCL11A + SOX9+ApoE +<br>FOXO1+FYN + KLF4+IRS2+DIO2    | <0.001                        | ≥0.857             | 100                                    | 86.7                                      | 100.0                                      | 94.3  |
| 3   | BCL11A + PDGFC + SOX9+ApoE +<br>FYN + KLF4+IRS2+DIO2  | <0.001                        | ≥0.846             | 100                                    | 86.7                                      | 92.3                                       | 91.4  |
| ł   | BCL11A + PDGFC + ApoE +<br>FOXO1+FYN + KLF4+DIO2      | <0.01                         | ≥0.793             | 100                                    | 80.0                                      | 92.3                                       | 88.6  |
|     | BCL11A + PDGFC + ApoE +<br>FOXO1+FYN + KLF4+IRS2+DIO2 | <0.01                         | ≥0.828             | 100                                    | 80.0                                      | 92.3                                       | 88.6  |
| i   | BCL11A + ApoE + FOXO1+FYN +<br>KLF4+DIO2              | <0.001                        | ≥0.743             | 100                                    | 80.0                                      | 84.6                                       | 85.7  |
| ,   | BCL11A + SOX9+ApoE + FYN +<br>KLF4+DIO2               | <0.001                        | ≥0.798             | 100                                    | 80.0                                      | 84.6                                       | 85.7  |
| 3   | BCL11A + PDGFC + ApoE +<br>FOXO1+FYN + IRS2+DIO2      | < 0.001                       | ≥0.811             | 100                                    | 80.0                                      | 84.6                                       | 85.7  |
|     | BCL11A + ApoE + FOXO1+FYN +<br>KLF4+IRS2+DIO2         | < 0.01                        | ≥0.748             | 100                                    | 80.0                                      | 76.9                                       | 82.9  |
| 0   | BCL11A + PDGFC + SOX9+ApoE +<br>FOXO1+KLF4+DIO2       | < 0.01                        | ≥0.812             | 100                                    | 80.0                                      | 76.9                                       | 82.9  |
| 1   | BCL11A + ApoE + FYN +<br>KLF4+IRS2+DIO2               | < 0.01                        | ≥0.698             | 100                                    | 80.0                                      | 69.2                                       | 80.0  |
| 2   | BCL11A + PDGFC + ApoE + FYN +<br>KLF4+IRS2+DIO2       | < 0.01                        | ≥0.787             | 100                                    | 73.3                                      | 92.3                                       | 85.7  |
| 3   | BCL11A + PDGFC + ApoE +<br>FOXO1+FYN + DIO2           | < 0.01                        | ≥0.761             | 100                                    | 73.3                                      | 92.3                                       | 85.7  |
| 4   | BCL11A + PDGFC + ApoE +<br>FOXO1+KLF4+DIO2            | < 0.01                        | ≥0.602             | 100                                    | 73.3                                      | 84.6                                       | 82.9  |
| 5   | BCL11A + ApoE + FYN +<br>KLF4+DIO2                    | < 0.01                        | ≥0.682             | 100                                    | 73.3                                      | 76.9                                       | 80.0  |
| 6   | BCL11A + SOX9+ApoE + FYN +<br>KLF4+IRS2+DIO2          | <0.01                         | ≥0.812             | 100                                    | 73.3                                      | 100  | 88.6  |
| 7   | BCL11A + PDGFC +<br>FOXO1+KLF4+IRS2+DIO2              | < 0.01                        | ≥0.61              | 100                                    | 66.7                                      | 84.6                                       | 80.0  |
| 8   | BCL11A + ApoE + FOXO1+FYN +<br>IRS2+DIO2              | <0.01                         | ≥0.675             | 100                                    | 66.7                                      | 76.9                                       | 77.1  |
| .9  | BCL11A + PDGFC + FYN +<br>KLF4+IRS2+DIO2              | <0.001                        | ≥0.726             | 100                                    | 60.0                                      | 92.3                                       | 80.0  |
| 0   | BCL11A + ApoE + FYN +<br>IRS2+DIO2                    | < 0.01                        | ≥0.634             | 100                                    | 60.0                                      | 86.4                                       | 77.1  |
| 1   | PDGFC + ApoE + FOXO1+FYN +<br>KLF4+DIO2               | <0.05                         | ≥0.528             | 100                                    | 53.3                                      | 69.2                                       | 68.6  |
| 2   | ApoE + FOXO1+FYN +<br>KLF4+IRS2+DIO2                  | < 0.05                        | ≥0.526             | 100                                    | 53.3                                      | 69.2                                       | 68.6  |
| 3   | ApoE + FYN + KLF4+IRS2+DIO2                           | < 0.05                        | ≥0.479             | 100                                    | 46.7                                      | 61.5                                       | 62.9  |
| 4   | PDGFC + FYN + KLF4+IRS2+DIO2                          | < 0.05                        | ≥0.457             | 100                                    | 40.0                                      | 76.9                                       | 65.7  |
| 25  | PDGFC + ApoE + FYN +<br>KLF4+DIO2                     | <0.05                         | ≥0.480             | 100                                    | 40.0                                      | 69.2                                       | 62.9  |

No., number; PIS-C, progestin-insensitive in Construction Group; sub-PS-C, progestin-sub-sensitive in Construction Group.

4 connected lines in the downregulated DEGs in the PIS group, which were encoded by *CD44*, *ACTB*, *KLF4*, *APOE*, *SNAI2*, *FYN*, *PAX2*, and *FOXO1*, respectively. Finally, twenty-

five candidate genes (SYTL2, SOX5, DMD, TCF4, PDGFC, SOX9, BNC2, CDH2, BCL11A, ANKS1B, PPP2R2B, DIO2, IRF4, FGF19, FOXO1, GATA6, IRS2, CD44, APOE, KLF4,

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*ACTB*, *FYN*, *CNTLN*, *HOXA9*, and *RXRA*.) were screened out based on bioinformatics analyses and literature review. The expression levels of these genes were presented according to the RNA-Seq results (Figures 4C,D).

## Establishment of potential models for predicting progestin insensitivity

Samples from the Construction Group (n = 35) were used for model construction. To construct models that can precisely predict the status of progestin sensitivity, 35 cases were further classified as PS-C (n = 13), sub-PS-C (n = 15) and PIS-C (n = 7), as shown in Table 1. Firstly, RT-qPCR was used to determine the expression of the 25 candidate genes in these 35 cases. As the CT values of *SYTL2, ANKS1B, PPP2R2B,* and *FGF19* exceeded 35, which suggested low gene expression and inaccurate analyses, these four genes were not included in the following analyses.

Predictive models were established by using multinomial logistic regression based on normalized  $\Delta$ CT values of the remaining 21 genes according to different progestin sensitive conditions. The results in Table 3 showed that a total of 25 predictive models were generated with predictive accuracy of 100% for PIS-C patients, among which 11 models had predictive accuracy of more than 80% for sub-PS-C prediction (p < 0.01). Three models' overall predictive accuracy were higher than 90%, involving 9 candidate genes (*FOXO1, IRS2, PDGFC, DIO2, SOX9, BCL11A, APOE, FYN*, and *KLF4*) (Supplementary Figure S1).

#### Discussion

It is necessary to establish highly accurate predictive models for identifying PIS patients and helping provide individualized fertility-preserving treatment for EAH and EEC patients. In this study, through ATAC-Seq and RNA-Seq analyses of 14 cases and verification of candidate genes in 35 expanded samples, predictive models comprising nine genes (*FOXO1, IRS2, PDGFC, DIO2, SOX9, BCL11A, APOE, FYN*, and *KLF4*) were established. Our models provided new molecular markers that could be used in combination with the well-known PR status to help identify PIS patients prior to treatment initiation.

In this study, we found that the expression of *PDGFC*, *DIO2*, *SOX9*, and *BCL11A*was upregulated and *FOXO1*, *IRS2*, *APOE*, *FYN* and *KLF4* was downregulated in PIS endometrial lesions compared with PS endometrial lesions. These nine genes were all reported to play important roles in tumor progression or drug response. *PDGFC*-encoded platelet-derived growth factor C was reported to promote angiogenesis, cancer cell proliferation, invasion, and metastasis (Kim et al., 2021). *SOX9*- and *BCL11A*-encoded proteins were both involved in inducing tumor initiation, proliferation, migration, and chemoresistance (Yin et al., 2019; Jana et al., 2020). *DIO2*-encoded protein can catalyze the conversion of tetraiodothyronine to bioactive triiodothyronine. Triiodothyronine was reported to be associated with lipid accumulation and metabolism in adipose tissue, which contributes to obesity-related insulin resistance (Bradley et al., 2018). Previous studies showed that high expression levels of PDGFC, SOX9, BCL11A, and DIO2 were associated with poor response to chemotherapy in cancer cells and short survival time of various patients, which could be regarded as negative prognostic factors (Bradley et al., 2018; Yin et al., 2019; Jana et al., 2020; Kim et al., 2021). Carriers of the *DIO2* polymorphism were also reported to be predisposed to the development of endometrial cancer (Janowska et al., 2022). Furthermore, the inhibition of *SOX9* or *DIO2* has been reported to be a potential therapeutic strategy for cancer (Carrasco-Garcia et al., 2019; Kojima et al., 2019).

FOXO1, an important member of the FOXO subfamily in the FOX family, encodes a transcription factor and has been reported to be involved in various physiological processes, including inducing cancer cell cycle arrest and suppressing the migration and invasion of cancer cells (Xing et al., 2018). FOXO1 was also identified as a progesterone target gene containing PR elements within the promoter regions (Yang et al., 2011). Downregulated FOXO1expression was found in progestin-resistant EC cells and was associated with progestin insensitivity in EC patients (Yang et al., 2011; Reyes et al., 2016; Wang et al., 2018b). IRS2, encoding a kind of insulin receptor substrate that is commonly phosphorylated by the receptor tyrosine kinase, was reported to promote cell proliferation, invasion and sphere formation of cancer cells (Shaw, 2011). However, IRS2 amplification and high expression of IRS2 were potentially related to good response to chemotherapy (Lee et al., 2020). APOE, one of apolipoproteins, plays anti-immunosuppressive and antimetastatic roles in tumorigenesis (Tavazoie et al., 2018). High expression of APOE was reported to be associated with good prognosis of thyroid cancer patients (Nan et al., 2021). KLF4 encodes a transcription factor that acted as a tumor suppressor which inhibited cell cycle, promoted apoptosis and differentiation, and suppressed metastasis (Yan et al., 2016). Downregulated expression of KLF4 by promoter methylation modification was reported in EC tissues, which was associated with accelerated tumorigenesis, drug resistance and poor prognosis (Jia et al., 2012; Danková et al., 2018). FYN encodes a membrane-associated tyrosine kinase that promoted cell proliferation, migration and invasion and inhibited apoptosis of cancer cells (Saito et al., 2010). Overexpression of FYN was reported to be correlated with chemotherapy resistance and poor survival (Elias et al., 2014). However, the roles of 9 candidate genes in regulating progestin response needs further investigation.

The strength of our study is the use of ATAC-Seq together with RNA-Seq technology to help identify the upregulated or downregulated genes with simultaneous opening or closing chromatin accessibility which effectively improves the accuracy of candidate gene screening. The improved ATAC-Seq protocol used in this work could further reduce background disturbances from different individuals to improve the accuracy of the analysis (Corces et al., 2017). Thirty-five patients with various progestin sensitive conditions were used for further data verification and construction of potential predictive models with an overall predictive accuracy above 90%. There are some limitations in the study. First, the sample size was not large enough to address tissue heterogeneity. Second, integration of ATAC-Seq and RNA-Seq can be used to analyze the epigenetic and transcriptional changes in genes, but post-transcriptional and post-translational regulatory levels cannot be analyzed.

In conclusion, the predictive models we provided may be useful in identifying progestin insensitive EAH and EEC patients before initiating fertility-sparing therapy. The accuracy of our predictive models requires more samples validation and molecular mechanism exploration.

#### Data availability statement

The data presented in the study are deposited in the Gene Expression Omnibus repository, accession number GSE201928 at https://www.ncbi.nlm.nih and we have released the accession.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by Ethics Committees of Obstetrics and Gynecology Hospital of Fudan University (Approval NO. 2021-130). The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

#### Author contributions

QL and XC contributed to the study design and manuscript revision. Experiments were performed by JH and GY. GY and QL

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contributed to patient selection and sample collection. JH and QL contributed to the literature search, figures drawing, and tables construction. This manuscript was written by JH. LW contributed to language editing. BY contributed to statistical analysis. All co-authors have critically reviewed the manuscript and approved the final version for submission.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.952083/full#supplementary-material

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## Identification of a chromatin regulator signature and potential prognostic ability for adrenocortical carcinoma

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**Objective:** Adrenocortical carcinoma (ACC) is a rare malignant tumor. Chromatin regulators (CRs) can drive epigenetic changes, which have been considered as one of the most vital hallmarks of tumors. This study aimed to explore the CR signature for ACC in order to clarify the molecular basis of ACC's pathogenic mechanism and provide novel methods to diagnose and treat ACC clinically.

**Methods:** This study obtained transcriptome sequencing datasets of ACC patients and sequencing data on normal adrenal tissues in TCGA and GTEx databases, respectively. Meanwhile, prognostic genes were selected through Lasso and Cox regression analyses. Using the transcriptome sequencing datasets of ACC patients downloaded from the GEO database to finish validation, we performed Kaplan–Meier (KM) analysis for evaluating the differential survival between low- and high-risk groups. Then, this work constructed the risk model for predicting ACC prognosis. TIMER 2.0 was employed to assess the differences in immune infiltration between the two groups. Furthermore, this work adopted the R package "pRRophetic" for exploring and estimating the sensitivity of patients to different chemotherapeutic agents.

**Results:** A 5-CR model was established to predict ACC survival, and the CR signature was confirmed as a factor in order to independently predict ACC patient prognosis. In addition, a nomogram composed of the risk score and clinical T stage performed well in the prediction of patients' prognosis. Differentially expressed CRs (DECRs) were mostly associated with the cell cycle, base excision repair, colon cancer, gene duplication, homologous recombination, and other signaling pathways for the high-risk group. As for the low-risk group, DECRs were mainly enriched in allograft rejection, drug metabolism of cytochrome P450, metabolism of xenogeneic organisms by cytochrome P450, retinol metabolism, and other signaling pathways. According to TIMER analysis, the immune infiltration degrees of endothelial cells, M2 macrophages, myeloid dendritic cells, CD4<sup>+</sup> Th1 cells, NKT cells, and M0 macrophages showed significant statistical differences between the high- and low-risk groups, and high infiltration levels of M0 and

M2 macrophages were more pronounced in higher T stage (T3 and T4), N stage (N1), and clinical stages (III and IV). In addition, high-risk cases exhibited higher sensitivity to etoposide and doxorubicin. Additionally, low-risk patients had significantly decreased expression of RRM1 compared with high-risk cases, suggesting the better effect of mitotane treatment.

**Conclusion:** This study identified the DECRs, which might be related to ACC genesis and progression. The pathways enriched by these DECRs were screened, and these DECRs were verified with excellent significance for estimating ACC survival. Drug sensitivity analysis also supported the current clinical treatment plan. Moreover, this study will provide reliable ideas and evidence for diagnosing and treating ACC in the clinic.

KEYWORDS

chromatin regulator, adrenocortical carcinoma, prognosis, diagnosis, treatment

### Introduction

ACC represents an uncommon malignant cancer, which has an annual morbidity of around 1-2/1,000,000 people (Else et al., 2014). It is also a frequently seen primary adrenal gland cancer (Chandrasekar et al., 2019), accounting for 6.8% of primary adrenal tumors (Lam, 1992), and it ranks second place among endocrine organ cancers, only second to thyroid cancer (TC) (Abe and Lam, 2021). ACC displays a high malignancy grade, and the 5year survival rate is only 10%-20% in accordance with the statistics (Libé, 2015). ACC can occur at any age, with two peaks in childhood and the age of 50-70 years, and is more common in women (Fassnacht et al., 2009). ACC has rapid development, strong invasiveness, and dismal survival. Many patients have developed local invasion or distant metastasis (DM) when they are diagnosed. Based on the reports, the 5-year survival rates of stage I-IV ACC are 82%, 58%, 55%, and 13%, respectively (Allolio and Fassnacht, 2006). Recent epidemiological studies have indicated that the incidence of ACC increases year by year over the past 40 years, without any improvement in patient survival (Aufforth and Nilubol, 2014).

Epigenetic alterations are considered a vital hallmark of cancer. They are driven via CRs, the integral regulatory elements in epigenetics (Lu et al., 2018). According to their roles in epigenetics, CRs are mainly divided into three categories, namely, DNA methylating agents, histone modifiers, and chromatin remodeling agents (Plass et al., 2013). CRs are closely associated with each other. Further research shows that abnormal CR levels are related to various biological processes, such as inflammation (Marazzi et al., 2018), apoptosis (Li et al., 2020a), autophagy (Chu et al., 2020), and proliferation (Chen et al., 2020). This indicates that CR dysregulation may possibly generate disease occurrence, such as cancer. In recent years, an increasing number of studies have been conducted to screen key prognostic genes for ACC by bioinformatics analysis. However, CRs, as a key point of epigenetics, have not received corresponding attention. Therefore, this study aimed to explore the CR signature in

ACC and further examine their functions in ACC prognosis with the purpose of clarifying ACC molecular basis and offering novel methods to diagnose and treat ACC in the clinic.

### Methods and materials

#### Data acquisition

The transcriptome sequencing dataset for 79 ACC cases was downloaded from TCGA database (https://portal.gdc.cancer.gov). As normal samples were not included in TCGA-ACC, UCSC Xena was applied to obtain sequencing data on 128 normal adrenal tissue samples from the GTEx database. Thereafter, the top 100 CRencoding genes with the greatest impact on ACC patients were obtained from the Facer database (http://bio-bigdata.hrbmu.edu.cn/ FACER/). As a validation cohort, we downloaded the GSE10927 dataset with transcriptome sequencing data on 33 ACC cases, 22 adrenocortical adenoma (ACA) cases, and 10 normal adrenal tissue samples from the GEO database (https://ncbi.nlm.nih.gov) in order to confirm the differential expression of CR-encoding genes. In addition, we also downloaded the transcriptome sequencing and prognosis data on 23 ACC patients from the GSE33371 dataset to verify the reliability of the prognosis prediction model.

#### Differential analysis

All data were corrected to the log2 (FPKM+0.001) format for further comparison. Meanwhile, "Limma" in the R package was adopted for correcting the offset of datasets and performing differential analysis. The absolute value of logFC greater than 1 and p < 0.05 were applied as the thresholds to select differentially expressed genes (DEGs). Afterward, up-and downregulated genes were, respectively, explored, and the DECRs in ACC were obtained after intersecting with CR-encoding genes.

## Construction of the prognosis prediction model

Univariate Cox regression was conducted to analyze DECRs' effect on prognosis, and the significant prognostic genes (p < 0.05) screened were later incorporated into Lasso regression analysis, followed by the construction of the prognosis prediction model. Thereafter, based on the median risk score, patients were classified into a low- or high-risk group. Subsequently, receiver operating characteristic (ROC) curves were plotted to assess whether the prognostic model was of high prognostic power. Afterward, univariate and multivariate COX regression analyses were conducted for assessing the effect of risk scores on ACC survival. In addition, we also utilized the R package "rms" for drawing the nomogram of risk scores for ACC patients and the 1-, 3-, and 5-year calibration curves. The model C-index was also calculated, and the effect of DECRs on overall survival (OS) was assessed by adopting Kaplan–Meier (KM) survival analysis.

#### Functional enrichment analysis

The enrichment of DECRs in Gene Ontology\_biological process (GO\_BP), cellular component (GO\_CC), and molecular function (GO\_MF) pathways was assessed using the R package "enrichplot," respectively. Furthermore, GSEA software was employed to explore the significantly different GO\_BP, GO\_CC, GO\_MF, and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways (FDR < 0.25) between low- and high-risk patients.

#### Immune functional analysis

The infiltration levels of immune cells within TCGA-ACC cancer tissues under seven algorithms were obtained from TIMER 2.0. The differences between low- and high-risk patients were evaluated.

#### Drug sensitivity analysis

Mitotane is currently the most common and effective agent used for adjuvant therapy after ACC surgery and metastatic ACC. The expression of RRM1 in the tumor is a good predictor of the efficacy of mitotane therapy, and its low expression usually indicates the response to mitotane therapy. Therefore, the expression levels of RRM1 in ACC patients were extracted in order to compare the mitotane response in high- and low-risk patients. In addition, etoposide, doxorubicin, and cisplatin are also the commonly used chemotherapeutic agents for metastatic ACC. As a result, "pRRophetic" of the R package was utilized for predicting chemotherapeutic sensitivity based on the wholetranscriptome information of patients.

#### Results

## Establishment of a chromatin regulator signature

After intersecting TCGA-ACC dataset with the ACC CR dataset, a total of 20 DECRs were screened, among which 12 showed downregulation whereas 8 exhibited upregulation (Figure 1A). According to the abovementioned dysregulated CRs, univariate Cox regression was adopted for exploring their prognostic significance. As a result, only 8 out of these 20 DECRs showed a prognostic value (Figures 1B-J). Later, this work utilized Lasso Cox regression for constructing the prognosis prediction characteristics for ACC patients. The risk model based on five genes (TAF5, EMHT1, AURKB, SETD5, and HDAC2) was successfully constructed (Figure 2A). For verification, we employed the expression data extracted from the GSE10927 dataset of the GEO database to intersect with the ACC CR dataset with the aim of performing expression differences. The result proved that these CRs have significant expression differences between ACC and other tissues including ACA and normal adrenal tissues (Supplementary Figure S1). Then, we determined the risk score by correlation coefficients of the 5 DECRs: Risk score =  $(0.0637 \times TAF5 \text{ level}) + (0.2699 \times EMHT1 \text{ level}) +$  $(0.2068 \times \text{AURKB level}) + (0.0418 \times \text{SETD5 level}) + (0.0482 \times$ HDAC2 level) (Table 1). Finally, ACC cases were classified into two (low- or high-risk) groups, in accordance with the median risk score. As a result, high-risk patients showed an obviously increased death proportion compared with low-risk counterparts (p < 0.001), suggesting the negative correlation of the risk score with patient survival (Figures 2B,C). Based on ROC analysis, the CR signature achieved a 0.889 prognostic accuracy in TCGA dataset (Figure 2D). The results of the validation cohort also proved the significant difference between high- and low-risk groups (p < 0.05), and the ROC analysis indicated a 0.857 prognostic accuracy of the CR signature (Figures 2E,F).

## Independent prognostic indicators of chromatin regulator signature

Univariate and multivariate Cox regression analyses were conducted to demonstrate the feasibility of the CR signature in order to independently predict prognosis. According to the results of univariate regression, the clinical stage, clinical T stage, and risk score showed significant relation to ACC survival (p < 0.001). Upon multivariate regression, the clinical T stage and risk score remained significantly associated with ACC survival (p < 0.05) (Table 2). In the validation cohort, univariate Cox regression also showed that the risk score was notably associated with ACC survival (p < 0.05) (Table 3). All the

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aforementioned results indicated that the CR signature was the independent prognostic indicator for ACC patients.

### Relationship of chromatin regulator signature with clinical features

This study utilized a chi-squared test to explore the involvement of CR prognostic features in ACC occurrence and progression. As a result, the clinical T stage (p < 0.001) and clinical stage (p < 0.001) were significantly different between

high- and low-risk groups, while no difference was detected in gender or clinical N stage (p > 0.05) (Figures 3A,B). In addition, further subgroup analyses were performed to investigate whether the CR signature was significant for prognosis prediction. According to the obtained results, the CR signature exhibited excellent performance in predicting I–III (p = 0.02), I–IV (p < 0.001), II–III (p = 0.017), II–IV (p < 0.001), T1–T3 (p = 0.046), T1–T4 (p < 0.001), and T2–T4 (p < 0.001) stages, while the CR signature performed poorly concerning its prognosis prediction performance at T1–T2 and I–II stages (p > 0.05) (Figures 3C,D).

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Lasso Cox regression of DECRs (A). Expression of five selected DECRs in different clinical features groups (B). KM survival analysis of low- and high-risk patients in test cohort (C). ROC analysis of clinical stage, clinical T stage, clinical N stage and riskscore in test cohort (D). KM survival analysis of low- and high-risk patients in validation cohort (E). ROC analysis of stage and riskscore in validation cohort (F).

| TABLE 1 Correlation | coeffcients | of five | selected | DECRs. |
|---------------------|-------------|---------|----------|--------|
|---------------------|-------------|---------|----------|--------|

| Gene  | Coef        |
|-------|-------------|
| TAF5  | 0.063716491 |
| EHMT1 | 0.269857731 |
| AURKB | 0.206839715 |
| SETD5 | 0.041749529 |
| HDAC2 | 0.048168878 |
|       |             |

## Construction and verification of the nomogram

Different prognostic indicators were incorporated into the nomogram to graphically assess survival probabilities for different patients in the preoperative stage. The nomogram incorporating the clinical T stage and risk score was constructed to better predict 1-, 3-, and 5-year patient prognosis (Figure 4A). Based on the calibration curve, there was good consistency between the measured patient survival and the estimated survival (Figures 4B–D). In addition, the nomogram achieved a C-index of 0.929, proving its good predictive power. During validation, due to missing the clinical T stage date of patients, we constructed a nomogram based only on the risk score and used it to predict 1-, 3-, and 5-year patient prognosis in the validation cohort. The calibration curve also showed good consistency between the measured

patient survival and the estimated survival. At the same time, the C-index of the nomogram in the validation cohort was 0.726 (Figures 5A–D).

## Functional annotation and gene set enrichment analyses

This study performed GO and KEGG analyses to explore possible functions of DECRs. According to BP analysis results, these 20 DECRs were significantly related to histone modification, peptidyl-lysine modification, and covalent chromatin modification. Based on the analysis of CC, these 20 DECRs were mainly associated with PcG protein complexes, nuclear chromatin, and RNA polymerase II. MF analysis demonstrated that the 20 DECRs were mainly enriched in the histone methyltransferase activity, histone-lysine N-methyltransferase activity, and protein-lysine N-methyltransferase activity (Figure 6). Furthermore, KEGG analysis showed that the signaling pathways including base excision repair, cell cycle, colon cancer, gene duplication, and homologous recombination were enriched in the high-risk group. Meanwhile, the low-risk group was associated with signaling pathways such as allograft rejection, drug metabolism of cytochrome P450, metabolism of xenogeneic organisms by cytochrome P450, and retinol metabolism (Figures 7A,B). At the same time, to better clarify the molecular basis of CR signature, gene set enrichment analysis

TABLE 2 Univariate and multivariate regression of CR signature and other clinical features in test cohort.

| Characteristic | Univariate analysis      |         | Multivariate analysis    |                 |  |
|----------------|--------------------------|---------|--------------------------|-----------------|--|
|                | Hazard<br>ratio (95% CI) | p-value | Hazard<br>ratio (95% CI) | <i>p</i> -value |  |
| Gender         | 1.056 (0.490-2.276)      | 0.890   | _                        |                 |  |
| Stage          | 2.903 (1.844-4.569)      | < 0.001 | 1.198 (0.481-2.983)      | 0.699           |  |
| Т              | 3.364 (2.098-5.393)      | < 0.001 | 3.222 (1.302-7.971)      | 0.011           |  |
| Ν              | 2.058 (0.774-5.472)      | 0.148   | _                        | _               |  |
| Risk score     | 1.006 (1.004–1.008)      | < 0.001 | 1.005 (1.003-1.008)      | < 0.001         |  |
|                |                          |         |                          |                 |  |

TABLE 3 Univariate and multivariate regression of CR signature and other clinical features in validation cohort.

| Characteristic | Univariate analysis               |                 | Multivariate analysis                |                 |  |
|----------------|-----------------------------------|-----------------|--------------------------------------|-----------------|--|
|                | Hazard<br>ratio (95% CI)          | <i>p</i> -value | Hazard<br>ratio (95% CI)             | <i>p</i> -value |  |
| Gender         | 1.358 (0.467-3.954)               | 0.574           | _                                    | _               |  |
| Stage          | 1.700 (1.036-2.790)               | 0.036           | 2.589 (1.353-4.954)                  | 0.004           |  |
| Risk score     | 12,191.428 (18.539-8,017,342.094) | 0.004           | 1676261.622 (370.856-7576636516.957) | <0.001          |  |



(GSEA) was conducted. As a result, high-risk patients were associated with chromosome segregation, chromosome region, transcriptional binding, and other pathways, whereas low-risk patients were mostly associated with antigen processing and internalization, originated antigen presentation, luminal side of the endoplasmic reticulum, fatty acid binding, and other pathways (Figures 7C–H).

## Immune infiltration analysis of chromatin regulator signature

According to the TIMER analysis, relations of the CR signature with immune infiltration were shown by a heat map (Figure 8). As a result, the immune infiltration degrees of endothelial cells, M2 macrophages, myeloid dendritic cells, CD4<sup>+</sup> Th1 cells, NKT cells, and M0 macrophages exhibited significant statistical differences between the high- and low-

risk groups, and high infiltration levels of M0 and M2 macrophages were more pronounced in a higher T stage (T3 and T4), N stage (N1), and clinical stages (III and IV).

#### Drug sensitivity test

To improve the therapeutic efficacy in ACC cases, this study explored the difference in common chemotherapeutic agent sensitivity in ACC. Based on the results of the GDSC database analysis, for high-risk patients, their IC50 values of etoposide and doxorubicin increased compared with low-risk patients, suggesting the higher sensitivity of high-risk patients to these drugs (Figure 9). Meanwhile, RRM1 levels were significantly elevated among high-risk patients compared with low-risk patients (p < 0.001), which indicated the better curative effect of mitotane on low-risk cases.



#### Discussion

Previous studies have shown that most ACC is sporadic of unknown origin, while a minority can be attributed to some hereditary neoplastic syndromes, including Li-Fraumeni syndrome, Lynch syndrome, MEN-1, and familial adenomatous polyposis (Vaidia et al., 2019). According to whether the tumor has an endocrine function, ACC is categorized into functional and non-functional types. Functional ACC can be diagnosed easier, and it clinically manifests as hypercortisolism, Cushing's syndrome, and primary hyperaldosteronism, while non-functional ACC often manifests as nonspecific tumor-induced symptoms due to its insidious onset. Because ACC does not show any obvious early onset characteristics, 70% of such cases are already in stages III-IV when they are diagnosed (Bharwani et al., 2011; Fay et al., 2014). Currently, the treatment methods for ACC include surgery, chemotherapy, and radiotherapy, but none of them can achieve ideal therapeutic effects (Allolio and Fassnacht, 2006). The discovery of novel predictive factors for the diagnosis and prognosis of ACC will help clinicians assess the risk for patients and formulate the targeted treatment strategies. With the development of information technology, study on the diagnostic and prognostic markers for ACC has gradually emerged in recent years. For instance, He ZJ screened 15 key genes (*CXCR6, SELL, P2RY13, GNG8, OMD, ABI3BP, OGN, FBLN1, LOXL1, ELN, CTSK, HGF, SH3GL3, F13A1*, and *GTPBP2*) based on the mRNA-seq sequencing data and the stem cell index established according to TCGA-ACC mRNA profiles. In addition, they

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also pointed out that GTPBP2 was the only key gene with prognostic significance (He, 2021). After that, Hu DF et al. employed the GEO database to screen the differential core genes that were upregulated (RACGAP1, CCNB1, TYMS, MAD2L1, NCAPG, and CDK1) and downregulated (IGF1, CXCL12, TLR4, TGFBR2, and HGF). However, the authors did not investigate the value of these genes. Qi et al. (2021) and Zhou et al. (2022) analyzed more updated GEO database samples on the aforementioned basis. As a result, CCNB1, CCNA2, CDK1, BUB1B, MAD2L1, RRM2, TPX2, AURKA, TOP2A, ZWINT, and NCAPG were found to be closely related to prognosis. Nevertheless, further exploration of their value was lacking. In other words, the data-supported reliable clinical diagnostic and prognostic indicators are still needed for ACC. Recently, CRs have been increasingly suggested to make different effects on carcinogenesis, while little existing research has systemically examined CRs and explored their clinical value for ACC.

Based on the aforementioned starting point, this study selected a total of eight DECRs with upregulation and 12 DECRs with downregulation. According to univariate Cox regression, eight of them showed a prognostic value, and five genes were successfully constructed by adopting the Lasso Cox model. Subsequently, we verified the expression differences of the aforementioned genes by using the GEO database. The result demonstrated that TAF5, EMHT1, AURKB, and SETD5 all showed significant expression differences in line with the results except HDAC2. After discussion, we believed that the difference in HDAC2 may be caused by the small sample size. Then, correlation coefficients of five DECRs were determined to calculate the risk score as follows ( $0.0637 \times TAF5$  expression) + (0.2699 × EMHT1 expression) + (0.2068 × AURKB expression) +  $(0.0418 \times \text{SETD5 expression}) + (0.0482 \times \text{HDAC2 expression}).$ According to the median risk score, ACC cases were classified into a low- or high-risk group. As a result, high-risk patients showed significantly increased deaths compared with low-risk



counterparts (p < 0.001), suggesting a negative correlation between the risk score and prognosis. Based on ROC analysis, the CR signature achieved a prognostic accuracy of 0.889. The results of the validation cohort were consistent with this finding, and the ROC analysis showed the prognostic accuracy of the CR signature was 0.857 in the validation cohort, which reflected the superior prognostic value of the CR signature. Afterward, univariate and multivariate Cox regression analyses were conducted. As a result, the CR signature independently predicted ACC prognosis. According to the chi-squared test, the clinical T stage (p < 0.001) and clinical stage (p < 0.001) were significantly different between the two groups, while age and clinical N stage did not exhibit any difference (p > 0.05). Furthermore, our study proved that the CR signature exhibited excellent performance in predicting I–III (p = 0.02), I–IV (*p* < 0.001), II–III (*p* = 0.017), II–IV (*p* < 0.017) T1–T3 (*p* = 0.046), T1–T4 (*p* < 0.001), and T2–T4 (*p* < 0.001) stages. Finally, the nomogram incorporating the clinical T stage and risk score

was constructed. According to the calibration curve, the measured patient survival showed high consistency with the estimated one. Our nomogram achieved a C-index of 0.929, confirming its good prediction performance. Because one of the main purposes of our research was to explore the prognostic ability of the CR signature, although the clinical T stage data on patients in the validation cohort were missing, we still constructed a nomogram based on the risk score for validation. The results also showed good consistency between the measured patient survival and estimated survival. At the same time, the C-index of the nomogram in the validation cohort was 0.726. It is of note that previous studies have revealed that surgical methods, surgical margins, pathological features, and Ki-67 proliferation index are also associated with poor prognosis in ACC. Nevertheless, databases including TCGA and GEO cannot provide detailed data on the corresponding aspects of patients. This study concentrated on building a preoperative, less traumatic predictive risk model. Therefore, only the clinical T





stage and risk score were included to build the nomogram. In addition, the results also confirmed the excellent predictive ability of the model.

As the 100-kDa subunit of the universal transcription factor TFIID, human TAF5 makes a vital effect on assembling the 1.2-MDa TFIID complex. In a study on human papillomavirus (HPV), in the context of oral squamous cell carcinoma (OSCC), TAF5 and other genes showed high enrichment into HPV-positive somatic mutations, which mostly influence the HPV oncoprotein-targeted host pathways including pRB and p53 pathways. They also play important roles in disrupting the host's defense against viral infection and are potentially involved in nuclear factor-kappa B (NF-kB) and interferon (IFN) signaling (Gillison et al., 2019). Lee J and colleagues explored the effect of EHMT1 on lung cancer. According to the obtained results, EHMT1 was significantly related to apoptosis and the cell cycle process and had an important impact on regulating the apoptosis and cell cycle of tumor cells by regulating the expression of CDKN1A (Lee et al., 2021). Watson et al. (2019)

also confirmed in their study on high-grade serous ovarian cancer (HGCOC) that disruption of EHMT1/2 sensitized HGSOC cells to PARP inhibitors (PARPi). In addition, the authors also proposed a potential mechanism through DNA damage and cell cycle dysregulation (Watson et al., 2019). As a pan-cancer marker, AURKB is related to different tumor occurrences and development, including hepatocellular carcinoma (HCC) (Yang et al., 2022), bladder cancer (BLCA) (Tang and Wang, 2019), breast cancer (BRCA) (Zhang et al., 2021), lung adenocarcinoma (LUAD) (Ding et al., 2019), and osteosarcoma (Shan, 2021), exhibiting certain prognostic significance. Wang et al. (2020) identified SETD5 as a major driver of resistance to MEK1/2 (MEKi) in pancreatic ductal adenocarcinoma (PDAC), revealing that SETD5 was a key mediator of acquired resistance to MEKi therapy in PDAC. In addition, Chen et al. (2021) also confirmed that SETD5 promoted the cancer stem cell properties of non-small cell lung cancer (NSCLC) by attenuating the PI3K/Akt/mTOR pathway activation. Currently, the abnormal expression of HDAC2 in



different cancers has been widely confirmed, which is associated with cancer proliferation, invasion, migration, and drug resistance. HDAC2 also participates in tumor metabolism and influences the clinical diagnosis, treatment, and prognosis of cancers. In tumor cells, *HDAC2* acts as both a tumor-promoting gene and a tumor suppressor gene. In addition, its specific role is related to its target genes and pathways involved in various malignant tumors.

This study combined these five key CR genes at the ACC level for the first time and verified their unique prognostic and diagnostic significance. Based on GO and KEGG analyses, the BP analysis revealed the significant involvement of 20 DECRs in covalent chromatin modification, peptidyl-lysine modification, and histone modification. Analysis of CC revealed the significant enrichment of 20 DECRs in nuclear chromatin, PcG protein complexes, and RNA polymerase II. MF analysis showed that the 20 DECRs were mainly located in the histone methyltransferase activity, histone-lysine N-methyltransferase activity, and protein-lysine N-methyltransferase activity. Moreover, KEGG analysis showed that the signaling pathways including the base excision repair, cell cycle, colon cancer, gene duplication, and homologous recombination were enriched in the high-risk group. Apart from that, signaling pathways such as allograft rejection, drug metabolism of cytochrome P450, metabolism of xenogeneic organisms by cytochrome P450, retinol metabolism, and other signaling pathways were mostly related to low-risk patients. Based on GSEA results, the high-risk group was mainly associated with chromosome segregation, chromosome region, transcriptional binding, and other pathways, whereas low-risk groups were mostly related to antigen processing and presentation of endogenous antigens, luminal side of the endoplasmic reticulum, and fatty acid binding. Considering that ACC is a type of malignant endocrine tumor, this study also attempted to explore the relationship between these five DECRs and endocrine function. It is interesting to find that these five CRs are rarely discovered to be involved in some key endocrine metabolic pathways in previous studies. Among the enriched pathways, only cytochrome P450-related pathways have been shown to regulate aldosterone biosynthesis and participate in the pathogenesis of primary hyperaldosteronism (Bassett et al., 2004; Zennaro et al., 2012). According to other pathway enrichment results, it could be speculated that CRs more probably promote the occurrence and development of ACC by influencing cell division, cell cycle, and other links, rather than changing the level of hormone metabolism.

Through TIMER analysis, this study proved that the immune infiltration degrees of endothelial cells, M2 macrophages, myeloid dendritic cells, CD4+ Th1 cells, NKT cells, and M0 macrophages exhibited significant statistical differences between the high- and low-risk groups, and high infiltration levels of M0 and M2 macrophages were more pronounced in the higher T stage (T3, T4), N stage (N1), and clinical stages (III, IV). Macrophages, also known as tumor-associated macrophages (TAMs), block tumor immunity by producing immunosuppressive molecules and inducing immune tolerance, thereby generating a tumor microenvironment (TME) favorable for immune heterogeneity. Studies have proved that TAMs are involved in various biological events, including epithelial-mesenchymal transition, immune escape, tumor angiogenesis, and cancer metastasis (Li et al., 2020b), which are also likely to be the main mechanisms that these CRs affect the poor prognosis of ACC in terms of immune infiltration. According to our sensitivity difference analysis of common chemotherapeutic agents in ACC, for high-risk patients, their IC50 values of etoposide and doxorubicin increased compared with those of low-risk patients, suggesting the higher drug sensitivity of high-risk patients. Mitotane is currently the most commonly used and effective oral drug for the treatment of ACC (Author Anonymous, 2021). The low expression of the RRM1 gene has been confirmed to be related to mitotane efficacy (Tang et al., 2020). Therefore, this study investigated RRM1 expression based on the aforementioned analyses. As a result, high-risk patients had markedly increased RRM1 expression relative to low-risk counterparts (p < 0.001), indicating that mitotane had a better therapeutic efficacy in low-risk cases. Certainly, certain limitations should be noted in this work. For example, the mechanism by which these CRs regulate ACC cell biology should be further verified through further experiments. In addition, more multi-center clinical trials are also needed for verifying that our prognosis prediction model is practicable. There are still some challenges to be encountered, given the clinical rarity of ACC.

### Conclusion

To conclude, this study identified the DECRs that were possibly related to ACC genesis and progression, screened pathways enriched by these DECRs, and verified the excellent value of these DECRs in prognosis prediction for ACC cases. Moreover, the drug sensitivity of DECRs was also analyzed. Although more investigations are warranted for verifying our conclusions, this study provides reliable ideas and evidence for the clinical diagnosis and treatment of ACC.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials; further inquiries can be directed to the corresponding author.

### Author contributions

JL, YJ, and YZ designed the study. JL, YJ, LT, and RZ drafted the manuscript. JL, YJ, and YZ prepared the table and figure. All authors participated in the revision of the manuscript. All authors read and approved the final manuscript.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.948353/full#supplementary-material

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### Glossary

ACC adrenocortical carcinoma ACA adrenocortical adenoma CRs chromatin regulators KM Kaplan-Meier analysis MPCs myeloid progenitor cells NK natural killer cells TC thyroid cancer DM distant metastasis DEGs differentially expressed genes DECRs differentially expressed CRs ROC receiver operating characteristic curves OS overall survival GO\_BP Gene Ontology\_biological process GO\_CC Gene Ontology\_cellular component KEGG Kyoto Encyclopedia of Genes and Genomes pathways
HPV human papillomavirus
OSCC oral squamous cell carcinoma
NF-kB Nuclear factor-kappa B
IFN interferon signaling
HGCOC high-grade serous ovarian cancer
PARPi PARP inhibitors
HCC hepatocellular carcinoma
BLCA bladder cancer
BRCA breast cancer
LUAD lung adenocarcinoma
PDAC pancreatic ductal adenocarcinoma
NSCLC non-small cell lung cancer
TAM tumor-associated macrophage
TME tumor microenvironment

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### Diagnostic and prognostic value of m5C regulatory genes in hepatocellular carcinoma

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**Background:** A high mortality rate makes hepatocellular carcinoma (HCC) one of the most common types of cancer globally. 5-methylcytosine (m5C) is an epigenetic modification that contributes to the prognosis of several cancers, but its relevance to HCC remains unknown. We sought to determine if the m5C-related regulators had any diagnostic or prognostic value in HCC.

Methods: M5C regulatory genes were screened and compared between HCC and normal tissue from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO) databases. Least absolute shrinkage and selection operator method (LASSO) and univariate Cox regression analysis of differentially expressed genes were then performed to identify diagnostic markers. A LASSO prognostic model was constructed using M5C regulatory genes with prognostic values screened by TCGA expression data. HCC patients were stratified based on risk score, then clinical characteristics analysis and immune correlation analysis were performed for each subgroup, and the molecular functions of different subgroups were analyzed using both Gene Set Enrichment Analysis (GSEA) and Gene Set Variation Analysis (GSVA). The prognostic model was evaluated using univariate and multivariate Cox analyses as well as a nomogram. Molecular typing was performed according to m5C regulatory genes and immune checkpoint genes expression respectively, and clinical characterization and immune correlation analysis were performed for each subgroup.

**Results:** M5C regulatory genes are expressed differently in HCC patients with different clinical and pathological characteristics, and mutations in these genes are frequent. Based on five m5C regulators (NOP2, NSUN2, TET1, YBX1, and DNMT3B), we constructed a prognostic model with high predictive ability. The risk score was found to be an independent prognostic indicator. Additionally, risk scores can also be applied in subgroups with different clinical characteristics as prognostic indicators.

**Conclusion:** The study combined data from TCGA and GEO for the first time to reveal the genetic and prognostic significance of m5C-related regulators in

HCC, which provides new directions for identifying predictive biomarkers and developing molecularly targeted therapies for HCC.

KEYWORDS

hepatocellular carcinoma, HCC, 5-methylcytosine, m5C, biomarkers, prognosis

### Introduction

Hepatocellular carcinoma (HCC) ranks sixth in the cancer incidence worldwide and ranks third in cancerrelated deaths (de Martel et al., 2020), and it is a major public health issue. Despite significant advancements in therapy, the 5-year survival rate for advanced HCC is still dismal due to the cancer's late detection, susceptibility to metastasis, and high recurrence rate. Although some biomarkers, including alpha-fetoprotein (AFP) and heat shock protein 90 (Hsp90), have proven to be useful, the search for early diagnosis biomarkers and effective therapies for HCC patients is urgent.

There is growing evidence that post-transcriptional modifications of RNA are important in different cancers (Cheng et al., 2018; Barbieri and Kouzarides, 2020; Begik et al., 2020; Chu et al., 2022), which provides ideas for developing new treatment modalities. There have been 170 types of modifications identified thus far (Boccaletto et al., 2018), such as N6-methyladenosine (m6A), 5methylcytosine (m5C) (Wang et al., 2013), 7methylguanosine, and pseudouridylation (Roundtree et al., 2017; Shi et al., 2020). However, their functions remain widely unknown due to technical limitations in accurate localization throughout the genome (Cohn, 1960; Bauer et al., 2016). There are many post-transcriptional modifications, but the most common is a reversible modification called m5C, which serves different functions in different RNA types (Chow et al., 2007; Squires et al., 2012; Huang et al., 2019; Trixl and Lusser, 2019; He et al., 2020a; Cui et al., 2020). M5C modification involves adenosine methyltransferases ("writers"), demethylases ("erasers"), and "readers" for protein recognition and binding. The "writers" include NSUN1-NSUN7, DNMT1, DNMT2, DNMT3a, and DNMT3b, "erasers" include TET1, TET2, TET3, and ALKBH1, and among the "readers" are ALYREF and YBX1. Abnormal modification of m5C has been connected to many abnormal states, for example mitochondrial dysfunction, abnormal embryogenesis and neurodevelopment, tumorigenesis, and tumor cell proliferation and migration (Navarro et al., 2021; Walworth et al., 2021). It has also been suggested that m5C modification can even alter the fate of cancer cells (Yang et al., 2020), and can be utilized as a biomarker for the prognosis of many kinds of cancers (Gama-Sosa et al., 1983; Chellamuthu and Gray, 2020). One study comprehensively

explored and systematically profiled the expression features of m5C-related regulators in HCC and proved the m5C modification patterns play a crucial role in the tumor immune microenvironment and prognosis of HCC (Liu et al., 2022b). In spite of the fact that anomalous RNA m5C modification has been detailed to play numerous capacities in HCC (He et al., 2020b; Sun et al., 2020), the relationship between m5C regulatory genes and HCC is still poorly understood, and the diagnostic and prognostic value of m5C regulatory genes for HCC is unknown.

This study screened and compared the expression characteristics of the m5C regulators in HCC samples with those in normal samples using the expression matrix from TCGA and GEO databases. Univariate Cox as well as LASSO regression analyses were employed to discover diagnostic markers. Then five m5C regulatory genes with prognostic value were screened by using the data from TCGA to construct a prognostic model. To find out if m5C regulatory genes are valuable for diagnosis and prognosis in HCC, researchers performed molecular typing based on m5C regulatory gene and immune checkpoint gene expression, and immune correlate analyses and clinical characteristic analyses were also performed for each subgroup.

#### Materials and methods

## Acquired data and identified differentially expressed genes

We obtained Gene expression data from TCGA database (Hutter and Zenklusen, 2018) (https://portal.gdc.cancer.gov/) and the GSE76427 dataset (Grinchuk et al., 2018) (https:// www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE76427) in the GEO database (Barrett et al., 2007) (https://www.ncbi.nlm. nih.gov/geo/). The TCGA database contains expression data (Table 1), copy number variants (CNVs), single nucleotide polymorphisms (SNPs), and relevant clinicopathological features for 374 HCC samples and 50 paraneoplastic samples.

#### TABLE 1 Baseline data

| Data     | Normal     | Tumor       |
|----------|------------|-------------|
| TCGA     | 50 (11.8%) | 374 (88.2%) |
| GSE76427 | 52 (31.1%) | 115 (68.9)  |

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The microarray platform for GSE76427 (sample size: disease group 115/control group 52) (Table 1) dataset is Illumina HumanHT-12 V4.0 expression beadchip, and gene set related to m5C regulators was obtained by Cui et al.'s study (Cui et al., 2021; Wang et al., 2021; Liu et al., 2022a). We first used "sva" package (Leek et al., 2012) to preprocess the downloaded TCGA and GEO dataset expression matrices, including: data background adjustment and normalization, and output the expression of intersecting genes in the two datasets separately. The Perl language was then applied to extract the expression of m5C regulator genes in both datasets. To determine the validity of the grouping, we did a principal component analysis (PCA) and visualized with the help of "ggplot2" package. Subsequently, by using "limma" package, we determined DEGs between HCC and normal liver tissue at p < 0.05.

### Copy number variant and single nucleotide polymorphism analyses

GISTIC 2.0 was used to find genes with significant amplifications or deletions (Mermel et al., 2011) with thresholds of p > 0.1 and p < 0.05. Mutsig2 was used to search genes with significant mutations using a threshold of p < 0.05.

## Predictive model construction and validation

We used m5C regulator genes to construct a prediction model. The "survival" R package helped us separate HCC patients into high- and low-risk groups, then we identified significant RNA regulator genes through univariate Cox analysis, and visualized through R package "forestplot." The R package "glmnet" was used to perform the LASSO regression analysis (Friedman et al., 2010) on the training cohort, and overfitting was prevented by tenfold cross-validation. Lastly, according to the LASSO regression coefficients, the scoring system was constructed, which prognostic grouping was performed accordingly. With the help of the "survival" package in R, we compared the overall survival of both groups. To evaluate the stability of the model, we performed ROC curves and calculated AUC for different survival times and different clinical traits using the "survival" package. Key genes were obtained by intersecting differentially expressed m5Crelated regulators from the TCGA and GEO data set, and prognosis-related genes from our prognostic model. Afterwards, we validated the expression of key genes in different subgroups. Supplementary Figure S1 shows the technology roadmap of the study.

On the basis of risk scores and clinical characteristics, we constructed a nomogram for predicting HCC patients' survival

probabilities. Afterwards, the discriminative power of the nomogram was measured by calibration curve and C-index value obtained from bootstrap analysis (1,000 replicates). The interactive nomogram was drawn using the R package "regplot".

## GenSet enrichment analysis and gene set variation analysis enrichment analysis

Gene Set Enrichment Analysis (GSEA) allows us to examine the distribution of genes within predefined gene sets in a gene list which arranged according to their phenotype correlation, and thus determine how they contribute to the phenotype (Subramanian et al., 2005). The MSigDB database (http:// www.gsea-msigdb.org/gsea/index.jsp) provided "c2.kegg.v7.4. symbols" and "c5.go.v7.4.symbols" gene sets (Liberzon et al., 2015). The R package "clusterprofiler" (Yu et al., 2012) can be used to perform GSEA analysis for those two gene sets in high and low-risk groups, where a p value less than 0.05 qualifies as statistically significant.

Gene Set Variation Analysis (GSVA) is a non-parametric, unsupervised method for evaluating gene set enrichment in transcriptomes. Through the conversion expression matrices of genes into expression matrices of gene sets, it is possible to assess the enriched metabolic pathways in different samples. GSVA analyses on the two gene sets mentioned above in different groups was conduct with "GSVA" package (Hänzelmann et al., 2013) and visualized using the "pheatmap" package.

## Immune infiltration in hepatocellular carcinoma

By using gene expression profiles, ESTIMATE R package predicted stromal and immune cell scores, and calculated their numbers for the analysis of HCC tumor purity in this study. We further compared the ESTIMATE scores among cancer and paracancer groups, and among high and low-risk groups.

#### Molecular isoform construction

Based on "ConsensusClusterPlus" package (Wilkerson and Hayes, 2010) we clustered cancer and para-cancer samples from TCGA and GEO databases into different groups by m5C regulator genes expression in each sample. The parameters were set to 50 replicates and a resampling rate of 80% (pItem = 0.8). To determine the validity of the groupings, a PCA was carried out, and the results were plotted using the "ggplot2" package. We also analyzed the correlation between prognostic models, molecular subtypes, and clinicopathological features based on TCGA data. Additionally, we examined the correlation between different subgroups and risk scores, and the expression of key genes in different subgroups.



m5C regulator genes analysis. (A,B): PCA analysis of GEO and TCGA expression matrices after data correction, blue represents tumor samples (GEO: n = 115, TCGA: n = 374) and red represents control samples (GEO: n = 52, TCGA: n = 50); (C,D): differential expression analysis of m5C regulator genes in GEO and TCGA expression matrices after data correction, blue represents tumor samples (GEO: n = 115, TCGA: n = 374) and red represents control samples (GEO: n = 52, TCGA: n = 50); (E): mutation profile of m5C regulator genes in hepatocellular carcinoma; (F): m5C-related regulators SNV mutation category and frequency; (G): m5C regulator genes CNV amplification and deletion.

#### Immune infiltration analysis

CIBERSORT is a deconvolution algorithm that utilizes linear support vector regression to evaluate the expression matrices of immunocellular subtypes, and now is increasingly being used for immune infiltration characterization analysis in non-tumor tissues (Ge et al., 2021). Infiltration analysis of immune cells in HCC patients using RNA-Seq data can be an important guide in disease research and treatment prognosis prediction, etc. (Newman et al., 2019). With the CIBERSORT algorithm, this study compared immune cell infiltration levels between different prognostic model subgroups and different molecular subtype groupings, to examine how immune cells infiltration relates to different models.



Expression characteristics and prognostic model construction of m5C regulator genes in hepatocellular carcinoma. (A,B): m5C regulator genes co-expression analysis in the corrected GEO (A) and TCGA (B) expression matrices; (C): identify m5C regulator genes associated with prognosis by univariate COX regression analysis, forest plots show the screened genes; (D,E): show the regression coefficients in the LASSO regression algorithm and the cross-validation in the proportional risk model to adjust the parameter, finalize the best parameter(A) to screen the most relevant genes for hepatocellular carcinoma; (F): survival analysis of different LASSO subgroups; (G,H): multivariate and univariate analysis of risk scores combined with clinical factors such as patient age, gender, and TNM stage; (I,J): AUC analysis of prognostic model and clinical characteristics; (K): Venn diagram mapping of differential genes in GEO and TCGA liver cancer samples and the intersection of genes screened out by LASSO; (L,M): expression of key genes TET1 and YBX1 in each LASSO subgroups.

#### Molecular isotype construction of immune checkpoint genes

Immune checkpoint genes were obtained from a review [34]. We clustered cancer and para-cancer samples of the TCGA by the expression level of immune checkpoint genes using the R package "ConsensusClusterPlus" with 50 repetitions and a

pItem = 0.8. To determine the validity of the grouping. PCA was used to analyze the genes expression levels, and "ggplot2" package visualize the results. The expression of key m5C regulator genes was also assessed in different subgroups. Using correlation analysis, we examined whether key m5C regulator genes play a role in HCC through immune cell infiltration.

| Id     | HR   | HR.95L | HR.95H | <i>p</i> -value |
|--------|------|--------|--------|-----------------|
| NOP2   | 1.70 | 1.21   | 2.40   | 2.54E-03        |
| NSUN2  | 1.65 | 1.14   | 2.39   | 7.47E-03        |
| TET3   | 1.54 | 1.05   | 2.27   | 2.90E-02        |
| NSUN6  | 0.92 | 0.71   | 1.20   | 5.60E-01        |
| TET1   | 2.57 | 1.49   | 4.44   | 7.12E-04        |
| YBX1   | 2.34 | 1.76   | 3.10   | 4.52E-09        |
| DNMT3B | 1.72 | 1.18   | 2.50   | 4.63E-03        |

TABLE 2 Univariate Cox regression analysis.

#### Statistical analysis

R version 4.0.2 was used for calculations and statistical analysis (https://www.r-project.org). Student's t-tests (normally distributed variables) and Mann-Whitney U-tests (nonnormally distributed variables) were used for the comparison of continuous variables between two groups. All statistical p values all had a two-sided significance with p < 0.05.

#### Results

# Expression characteristics of m5C regulator genes in hepatocellular carcinoma

We performed PCA analysis on the corrected datasets from GEO and TCGA, the results suggested a good correction effect (Figures 1A,B). Referring to Cui et al.'s study (Cui et al., 2021; Wang et al., 2021; Liu et al., 2022a), we selected the seven most common m5C regulator genes (NOP2, NSUN2, TET3, NSUN6, TET1, YBX1, and DNMT3B) as the subjects. In the GEO dataset, four of the seven m5C regulator genes (TET3, NSUN6, TET1, and YBX1) were differentially expressed (Figure 1C), while all seven m5C regulator genes had significant differential expression in the TCGA dataset (Figure 1D). Figure 1E lists the overall m5C regulator genes SNP

mutations in HCC samples situation, and Figure 1F shows the mutation types of different m5C regulator genes most closely associated with the development of HCC. We used CNV data from TCGA to identify significantly missing or amplified m5C regulator genes. Among the m5C regulator genes, YBX1 had the highest deletion frequency and the lowest amplification frequency (Figure 1G).

# Construction of prognostic model of m5C regulator genes and screening of key m5C regulator genes

Using co-expression analysis (Figures 2A,B) and univariate COX regression analysis (Figure 2C; Table 2), we

assessed the effects of m5C regulator genes on HCC tissues. In co-expression analysis, TET1 and DNMT3B showed a significant positive correlation, and regression analysis screened six genes, including NOP2, NSUN2, TET3, TET1, YBX1, and DNMT3B, were associated with HCC. We constructed a LASSO prognostic model containing five genes, including NOP2, NSUN2, TET1, YBX1, and DNMT3B (Figures 2D,E), and a median risk score was used to separate HCC patients into two groups. It was demonstrated that low-risk patients lived significantly longer (Figure 2F). We evaluated COX regressions based on risk scores and clinical traits (age, gender, and TNM stage) using univariate and multivariate models (Figures 2G,H). Using AUC, we validated the LASSO prognostic model, and demonstrated that risk scores were highly predictive for 1-year, 3-years, and 5-years survival (Figures 2I,J). To further screen the key m5C regulator genes, we performed an intersection between DEGs from GEO and TCGA dataset and the key genes identified by LASSO modal, and finally obtained two of them, TET1, and YBX1 (Figure 2K), and it suggested that both two genes were higher expressed in high-risk group (Figures 2L-M). In combination with risk scores and clinical information, a nomogram (Figure 3A) and its calibration curve were constructed (Figure 3B), and we observed that sample's risk scores tended to increase with the progression of T-stage and grade (Figures 3C,D), which is consistent with our previous predictions.

## Evaluation of prognostic model for m5C regulator genes

We performed a GSVA analysis of the molecular functions for the different groups classified by the LASSO model. Lowrisk group focused on functions relating to platelet dense granule lumen, regulation of fibrinolysis, blood coagulation intrinsic pathway, and protein activation cascade according to GO analysis (Figure 3E; Supplementary Table S1). KEGG analysis revealed it focused on olfactory transduction, nitrogen metabolism, histidine metabolism, serine and threonine metabolism (Figure 3E; Supplementary Table S1). We also performed GSEA analysis (Supplementary Figures S1A-D, Supplementary Table S2). As shown by GO analysis, the high-risk group was related to functions such as actin filament organization, actin polymerization or depolymerization, adaptive immune response, aBT cell activation, and anatomical structure homeostasis (Supplementary Figure S1A), while the low-risk group was linked to functions such as bile acid secretion, drug transmembrane transport, fatty acid  $\beta$  oxidation using acyl-CoA dehydrogenase, negative regulation of triglyceride metabolic process, and neurotransmitter catabolic process (Supplementary Figure S1B). According to KEGG analysis,



analysis (E) and GSVA-KEGG analysis (F) for high and low-risk group.

pathways of high-risk group appeared to be enriched in Chemokine signaling pathway, cell adhesion molecules cams, Cell cycle, spliceosome, and Fc gamma r mediated phagocytosis (Supplementary Figure S1C). For low-risk group, pathways were enriched in beta alanine metabolism, histidine metabolism, linoleic acid metabolism, primary bile acid biosynthesis, and renin angiotensin system (Supplementary Figure S1D). We scored each subgroup using the ESTIMATE algorithm, and found a higher immune score in the high-risk group (Supplementary Figure S1E), but a lower stromal score, immune score, and ESTIMATE total score in the tumor group (Supplementary Figure S1F).

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Correlation analysis of m5C regulator genes with molecular subtypes of TCGA liver cancer. All samples of TCGA were clustered according to their expression level of m5C regulator genes; (A): sample size after grouping; (B): change in area under the CDF curve (k = 2-9); (C): change of delta area plot when k = 2 to k = 9; D: PCA analysis of cluster1 and cluster2, where cluster 1 is in red and cluster 2 is in blue; (E): Sankey diagram combining survival status and LASSO model grouping; (F): difference in risk scores of different groupings, cluster 1 in blue and cluster 2 in orange; (G,H): differential expression of key m5C regulator genes TET1 (G) and YBX1 (H) in different groupings, cluster 1 in blue and cluster 2 in red.

## Molecular typing of m5C regulator genes and correlation analysis

In an effort to a better understood for the biological characteristics of m5C regulator genes in HCC patients, TCGA samples were clustered according to their expression level. Two subtypes of samples were identified (1: n = 232; 2: n = 192, Figures 4A–C), which PCA result showed high separation quality (Figure 4D), and in combination with the survival information of HCC patients and the grouping information of LASSO model, we constructed a Sankey diagram (Figure 4E). Cluster1 shows a significantly higher risk score compared to cluster2 (Figure 4F), confirming again the previous results. The differential analysis indicated the two key genes, TET1 and

YBX1 were significantly higher expressed in cluster1 (p < 0.05, Figures 4G,H).

We validated the previous results using the GEO expression matrix and samples were also classified into two isoforms (I: n = 95; 2: II = 72, Figures 5A–C). PCA result showed a higher quality of isolation (Figure 5D), and above two key genes were also present in cluster I with significantly higher expression (p < 0.05, Figures 5E,F).

## Correlation analysis between m5C regulator genes and immune infiltration

Through CIBERSORT, we calculated the infiltration degree of 22 immune cell types in two groups classified by the LASSO model



to compare their variability of immune infiltration. A significant difference was observed in the infiltration degree of six kinds of immune cells when using the wilcox.test algorithm (Figure 6), namely activated CD4 T cells, resting CD4 T cells, resting NK cells, M0 Macrophages, resting dendritic cells, and resting mast cells. Among them, four immune cells types were p < 0.001, one kind was p < 0.01, and another kind was p < 0.05. Additionally, nine kinds of immune cells showed a difference in their infiltration degree between two subtypes of molecular typing (Supplementary Figure S2), namely activated CD4 T cells, T gamma delta cells, naive B cells, M0 Macrophages, resting CD4 T cells, M0 moncytes, M2 Macrophages, T follicular helper cells, and Tregs cells, and six of them were p < 0.001, one was p < 0.01 and two were p < 0.05.

## Molecular isotype construction of immune checkpoint genes

We used significantly differentially express immune checkpoint genes to conduct hierarchical clustering of all HCC samples again to find out the correlation between these genes and m5C. Among all samples, two subtypes were identified (A: n = 332; B: n = 92, Figures 7A–C). The PCA result showed a high quality of separation (Figure 7D), and differential analysis showed that TET1 and YBX1 were significantly differentially expressed in different subgroups (p < 0.01, Figures 7E,F.

## Correlation analysis between key m5C regulator genes and immune cells

Using correlation analysis between key m5C regulator genes and the immune microenvironment, we examined the potential correlation between m5C regulators and immunotherapy efficacy. Combining CIBERSORT results with key m5C regulator genes, we found a positive correlation between TET1 and the infiltration level of various kinds of innate or acquired immune cells, such as M0 macrophages, resting dendritic cells, and T follicular helper cells, while a significant negative correlation with M1 macrophages, M2 macrophages, and resting mast. There was a positive correlation between YBX1 and resting dendritic cells and M0 macrophages, but a negative correlation with Tregs and CD4 T cells (Supplementary Figure S3).



### Discussion

Ongoing studies have showed that RNA modification contributes to tumorigenesis and tumor progression, and there is growing evidence that m5C regulator genes may serve as potential biomarkers for cancer prediction (Huang et al., 2021a; Huang et al., 2021b; Cui et al., 2021; Xue et al., 2021). It has been suggested that 5 mC methylation influences the development of HCC including clinical stage, progression, and prognosis (Villanueva et al., 2015; Hlady et al., 2019), but the relationship between m5C-related RNA modification and HCC is still poorly understood. In order to test whether these genes can provide prognostic clues for HCC and assist in its initiation and progression, we need to focus on their aberrant expression in HCC. This study confirmed that m5C regulator genes was differentially expressed between HCC and normal samples.

The difference of m5C regulator genes expression levels between tumor and paraneoplastic tissues suggested that these genes may be associated with the carcinogenesis and progression of HCC. MeRIP-seq was used in one study to analyze the m5C modification in tumor and paraneoplastic tissues, and it was found that m5C modification peaks were more abundant and higher in mRNA of HCC tissues, which reconfirmed the relevance of m5C in this disease (Zhang et al., 2020). Aberrant gene methylation is strongly associated with HCC, both in frequency and amount (Nishida et al., 2008).

We constructed a LASSO regression model, which showed satisfactory predictive performance. Similarly, He et al. (2020b) utilized TCGA data developed a two-gene signature of m5C regulators (NSUN4 and ALYREF) with HCC prognostic value based on the LASSO and multivariate Cox regression models. Also demonstrate that the role of m5C related regulators in HCC are dysregulated and associated with patient survival. The methodology we used is largely similar, the major difference being is that we analyzed GEO data combined with the TCGA analysis. In fact, our study proves that utilizing multiple datasets and analytic approaches may identify important gene signatures that would otherwise not be identified using a single dataset/ approach. Ultimately this may improve the validity of the findings and be a stronger indication to evaluate these genes in experimental and clinical settings.

For a comprehensive analysis, we performed GSVA and GSEA analyses. "Adaptive immune response" and "cell cycle" et al. are found related to hepatocarcinogenesis and progression. M5C-related RNA modifications impact mRNA translation, transport, and stability, and m5C regulator genes appeared to be associated with "spliceosomes" in this study, suggesting their importance in RNA processing.



Tumor cells are the drivers of tumor development, but they can't function alone during tumor progression without the tumor microenvironment (TME). Blood vessels, fibroblasts, immune cells, extracellular matrix, and signaling molecules are all components of the TME which contribute to tumorigenesis and tumor progression. Evidence suggests m5C-related regulators are associated with the tumor immune microenvironment (Geng et al., 2021). Numerous tumors have been studied to correlate tumor immune cell infiltration with clinical outcome (Ishigami et al., 2000; Villegas et al., 2002; Hamanishi et al., 2007; Sharma et al., 2007; Zhu et al., 2009; Mahmoud et al., 2011), however, we do not yet know how m5C modification affects the immune system in HCC. Here, we describe the infiltration characteristics of TME cells in different model groupings and perform immune scoring, which shed light on the molecular mechanism of HCC and new clues for prognosis prediction.

As a result of its aggressiveness, metastasis, and refractoriness, HCC has a high mortality rate and poor prognosis (Ioannou, 2021). While medical technology continues to advance and therapeutic approaches vary, there are still no ideal therapeutic targets or targeted interventions for HCC because its molecular mechanisms of carcinogenesis and development are still unclear (Jiří et al., 2020). It has been shown that azacytidine can reduce cancer cells proliferation by inhibiting m5C modification (Esteller and Pandolfi, 2017), suggesting that reducing m5C modification may contribute to cancer treatment. Ultimately, different RNA epigenetic modifications mediated by regulatory factors provide new idea for finding potential therapeutic targets.

From the perspective of combined multi-omics analysis, we explored the expression profiling of m5C-related genes in HCC, correlation prognostic model construction and evaluation, molecular typing and correlation analysis, immune cell infiltration correlation analysis, immune checkpoint gene molecular subtype construction, and immune cell correlation analysis. Other functions, limited by the length of this study, we really did not study, but we intend to verify other biological functions of m5C through the experimental perspective by doing experiments such as WB, PCR and IHC.

### Conclusion

The study combined data from TCGA and GEO for the first time to reveal the genetic and prognostic significance of m5Crelated regulators in HCC, which provides new directions for identifying predictive biomarkers and developing molecularly targeted therapies for HCC.
### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## Author contributions

The work was designed and carried out by XS, and XY. FY conducted the bioinformatics analyses. The manuscript was written and approved final version by all authors.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.972043/full#supplementary-material

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# A gene expression signature in HER2+ breast cancer patients related to neoadjuvant chemotherapy resistance, overall survival, and disease-free survival

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Breast cancer ranks first in terms of mortality and incidence rates worldwide among women. The HER2+ molecular subtype is one of the most aggressive subtypes; its treatment includes neoadjuvant chemotherapy and the use of a HER2 antibody. Some patients develop resistance despite positive results obtained using this therapeutic strategy. Objective. To identify prognostic markers for treatment and survival in HER2+ patients. Methods. Patients treated with neoadjuvant chemotherapy were assigned to sensitive and resistant groups based on their treatment response. Differentially expressed genes (DEGs) were identified using RNA-seq analysis. KEGG pathway, gene ontology, and interactome analyses were performed for all DEGs. An enrichment analysis Gene set enrichment analysis was performed. All DEGs were analyzed for overall (OS) and disease-free survival (DFS). Results. A total of 94 DEGs were related to treatment resistance. Survival analysis showed that 12 genes (ATF6B, DHRS13, DIRAS1, ERAL1, GRIN2B, L1CAM, IRX3, PRTFDC1, PBX2, S100B, SLC9A3R2, and TNXB) were good predictors of diseasefree survival, and eight genes (GNG4, IL22RA2, MICA, S100B, SERPINF2, HLA-A, DIRAS1, and TNXB) were good predictors of overall survival (OS). Conclusion: We highlighted a molecular expression signature that can differentiate the treatment response, overall survival, and DFS of patients with HER2+ breast cancer.

#### KEYWORDS

breast cancer, neoadjuvant chemotherapy, RNA-seq, biomarkers, bioinformatics, overall survival, disease free survival

## Introduction

Breast cancer is a heterogeneous disease characterized by abnormal and uncontrolled growth of malignant breast cells. Among all types of cancer, this disease ranks first in mortality and incidence rates in women over 25 years of age worldwide (Sung et al., 2021). In 2000, Perou et al. reported different molecular expression patterns in patients with breast cancer, and these patterns were subsequently used to classify breast cancer into distinct molecular subtypes (Perou et al., 2000; Sorlie et al., 2003). According to this classification, cancer cells that express human epidermal growth factor 2 (ERBB, formerly HER2) and not estrogen receptors (ER) are identified as the HER2+ molecular subtype, which represents 15%–30% of breast cancer patients, is an aggressive phenotype, and a predictor of poor outcome (Ban et al., 2020).

The treatment of HER2+ breast cancer includes the administration of chemotherapy and trastuzumab, a monoclonal antibody against the HER2 receptor (Abal et al., 2003; Harbeck and Gnant, 2017; Waks and Winer, 2019). Conventional neoadjuvant chemotherapy involves anthracyclines followed by taxane application. Anthracyclines work by joining DNA and suppressing the binding of DNA polymerase, thereby preventing DNA replication (McGowan et al., 2017). Taxanes affect mitotic spindle formation by binding to tubulin dimers, thereby preventing the division of tumor cells (Yardley, 2013; Harbeck and Gnant, 2017). Furthermore, adding trastuzumab in conventional chemotherapy helps block HER2 receptor-induced cell growth signaling (Maximiano et al., 2016). Despite the positive results obtained with this therapeutic strategy, some patients develop resistance. The molecular mechanisms underlying resistance are not fully understood; therefore, there is a lack of predictive biomarkers that are helpful in the prognosis and prediction of chemotherapy response (Iwamoto et al., 2020).

This study aimed to evaluate the transcriptome of HER2+ breast cancer patients and, according to their response to chemotherapy (sensitivity or resistance), to identify differentially expressed genes (DEGs) that could be useful in predicting patient outcomes after neoadjuvant chemotherapy treatment.

### Materials and methods

# Sample selection, chemotherapy treatment, and study design

Patients aged 18 years and older with a diagnosis of breast cancer, HER2+/PR-/ER-, tumor size >2 cm, and positive nodes, candidates to receive neoadjuvant chemotherapy, and without previous therapy against cancer were recruited for this study. Patients with metastatic cancer, those with insufficient breast cancer biopsy tissue for pathological analysis, or those with RNA extraction were excluded. All participants provided written informed consent prior to enrolment. The data were deposited in the Gene Expression Omnibus (GEO) repository under the number GSE162187. Samples were separated by pathologic response into two groups: pathological complete response (pCR) was considered the sensitive group, and those in the non-pCR group were considered the resistant group.

Additionally, we used and analyzed data from the GSE163882 study, and HER2+/PR-/ER-samples were selected. The results obtained from both databases were compared.

Finally, from the TCGA breast ductal carcinoma database, HER+/PR-/ER-breast cancer samples were selected for analysis of overall survival.

### Ethics and informed consent statements

The study was conducted in accordance with the guidelines of the Declaration of Helsinki and the ethical standards of the institutional and/or national research committee. This study was approved by the Ethical and Research Committee of the Instituto Mexicano del Seguro Social (IMSS) (number R-2013-785-061). Informed consent was obtained from all subjects involved in the study.

# Quality control, alignment, and differential expression

The FASTQ files were analyzed with the Flexbar software tool version 3.5.0 (https://github.com/seqan/flexbar/releases/tag/v3.5. 0) (Dodt et al., 2012; Roehr et al., 2017) to remove Illumina adapters and to filter reads by a Phred score >30. To quantify the RNA-seq data, a pseudo-alignment was performed using Kallisto software version 0.46.1 (https://pachterlab.github.io/kallisto/ download.html) (Bray et al., 2016) with the default parameters and the GRCh38 human genome reference (GRCh38. p12). The DESeq2 package version 1.28.1 (https://bioconductor.riken.jp/ packages/3.0/bioc/html/DESeq2.html) (Love et al., 2014) was used for the analysis of abundance tables and the identification of differentially expressed genes (DEGs) for comparing resistant and sensitive samples (set as the reference group). The Ensembl database was used for the annotation of genes. To decrease the false discovery rate, the Benjamini-Hochberg correction test was applied to obtain adjusted *p*-values.

### Enrichment and interaction analysis

We selected all DEGs (p < 0.05) obtained from GSE162187 for analysis with the KEGG Mapper (https://www.

genome.jp/kegg/tool/map\_pathway1.html) (Kanehisa and Sato, 2020) and the DAVID v6.8 web tools (https://david.ncifcrf.gov/home.jsp) to identify pathways implicated in treatment response.

The Panther database v.16.0 (Mi et al., 2021) web tool was used for Gene Ontology enrichment analysis using Fisher's exact test and false discovery rate (FDR), with a threshold of p < 0.05, which was considered to be significant for each of the three categories, that is, molecular function, cellular component, and biological process.

Gene set enrichment analysis (GSEA) was performed using the pre-ranked DEGs list. GSEA software v4.2.2 was used for analysis (Subramanian et al., 2005). A molecular signature database (MsigDB v7.4) was used, taking the nine collections (C1:C9, and H) for enrichment analysis (Subramanian et al., 2005; Liberzon et al., 2011; Liberzon et al., 2015).

To perform an interactome analysis, the DEGs were filtered by adjusted *p*-value <0.05 and analyzed using STRING-DB v11.0 software (https://string-db.org/) (Szklarczyk et al., 2019), the confidence score was set up at 0.7 to represent protein–protein associations.

## Principal component analysis and heatmap representation

The geometric mean of the counts for each gene was used as a normalization factor. Once normalized, the principal component analysis (PCA) and heatmap representation were performed with the prcomp package and heatmap functions, respectively, with the default parameters in R v.4.0.2 ("Taking off Again") using as variables the normalized counts of the DEGs with an adjusted *p*-value of <0.05.

### Survival analysis

Furthermore, a database of 109 patients obtained from the TCGA breast ductal carcinoma study with HER+/ER-/PR-was analyzed (TCGA Research Network: https://www.cancer.gov/tcga) at 60 and 120 months to analyze overall survival (OS) and disease-free survival (DFS). Gene expression levels were determined according to normalized Log2-read counts for each gene. Median and quartiles were used for determining high- and low-expression groups. DEGs with an adjusted *p*-value < 0.05 were analyzed. Statistical significance was set at p < 0.05.

### Results

A previous study was conducted to determine biomarkers of response to neoadjuvant chemotherapy in patients with breast cancer (GSE162187) (Barron-Gallardo et al., 2022). In this study, HER2+ samples were taken and included in the RNA-seq analysis; five samples were from patients categorized as resistant to treatment, and three samples were from patients sensitive to treatment. This small subset was used as training data. The results were validated using the GSE163882 dataset, which included information from 222 patients with breast cancer. Patients were over 33 years old; the mean ages for the resistant and sensitive groups were 52.2 ( $\pm$ 12.15) and 62 ( $\pm$ 6.24) years, respectively, with no statistical differences. The diagnostic status of all the patients was invasive ductal carcinoma breast cancer. The histological grades for tumor biopsies based on SBR (Scarff-Bloom-Richardson) parameters were five SBRII, two SBRIII, and one with non-available information (Supplementary Table S1).

The transcriptomic pattern was studied to determine the variables that specifically discriminated HER2+ patients according to their neoadjuvant treatment response. Despite having two groups defined by their pathological response, principal component analysis (PCA) with all genes detected by RNA-seq showed that the samples did not form specific clusters. Moreover, the distribution of the samples followed a heterogeneous pattern, indicating that HER2+ breast cancer patients may have high variability in gene expression (Supplementary Figure S1A).

# Determination of DEGs related to treatment resistance in the training data

HER2+ patients were grouped into sensitive and resistant to neoadjuvant chemotherapy groups to obtain DEGs related to treatment response. The transcriptomes of both groups were compared, and a total of 1383 genes were observed to be differentially expressed (p < 0.05), of which 719 were subexpressed and 664 were overexpressed in the resistant group as compared with the sensitive group (Figure 1A). To diminish the inclusion of false-positive DEGs, the Benjamini–Hochberg post hoc test was applied; among the 1383 DEGs, only 94 maintained statistical significance with an adjusted p-value (p-adj) <0.05 (45 subexpressed and 49 overexpressed genes) (Figure 1B).

Thereafter, we investigated whether this set of 94 DEGs could adequately classify the samples as sensitive and resistant to treatment. Therefore, principal component analysis (PCA) with only 94 genes was performed again. The results showed two clusters defined by principal component 1 (PC1) with 55.35% and principal component 2 (PC2) with 15.79% of the data variance (Supplementary Figure S1B). Therefore, the selection of the 94 DEGs included genes capable of clustering HER2+ patients into resistant and sensitive groups. As shown in Supplementary Table S2, from the 94 DEGs, the top 10 overexpressed genes were HLA-DQA1, TRIM26, IGHJ6, AGPAT1, IGHV1-69-2, PBX2, HLA-DRB1, PRRC2A,



LRRC37A3, and TNXB, and the top 10 underexpressed genes were HLA-A, CDRT15L2, GSTM1, CCDC187, GRIN2B, SCGB2A1, GNG4, SBSN, CRISP3, and ZG16B.

To visualize the expression pattern (color density) and distribution (clustering) of the 94 DEGs, heatmap analysis was performed (Figure 2). The column dendrogram results showed two clusters belonging to the sensitive and resistant groups. The row dendrogram shows four clusters of genes with similar expression patterns.

# Pathways and enrichment analysis of DEGs related to chemotherapy resistance

The 94 DEGs were analyzed using the KEGG Mapper search pathway tool and DAVID v6.8. Among the 318 KEGG pathways, seven were statistically modulated (FDR <0.05) (Figure 3A), including graft-versus-host disease, allograft rejection, type I diabetes mellitus, autoimmune thyroid disease, viral myocarditis, antigen processing and presentation, and cell adhesion molecules. In addition, GO analysis results showed that the biological processes enriched by DEGs were related to the interferon-gamma-mediated signaling pathway. The cellular components in which the DEGs were included were associated with the MHC class II protein complex, luminal side of the endoplasmic reticulum membrane, endoplasmic reticulum (ER)to-Golgi transport vesicle membrane, and extracellular space. Finally, the modulated molecular functions were MHC class II receptor activity and peptide-antigen binding (Figure 3B).

Enrichment analysis showed a total of 40 gene sets enriched with a p-value < 0.05 (35 positively and five negatively), which belongs to C1 (1 enriched set), C2 (6 enriched sets), C3

(2 enriched sets), C5 (19 enriched sets), C7 (7 enriched sets), and C8 collections (5 enriched sets). The C4, C6, and H collections did not contain enriched sets. From the enriched sets, we found two related to therapy resistance (Massarweh tamoxifen resistance and Creighton endocrine therapy resistance gene sets) and three related to the immune system, such as GOBP immune response, Goldrath antigen response, and CHR6P21, which is a location for genes related to the immune system (HLA-DQA1, HLA-DRB1, HLA-B, and MICA) (Supplementary Table S2).

# Determination of interactions clusters between DEGs

An analysis of 94 DEGs was performed to determine the molecular interactions between them. The STRING-DB tool was used to set an interaction score with high confidence (0.7). The results showed 18 edges (genes) distributed among seven clusters: one with five genes, one with three genes, and five with two genes. Among the seven clusters, the cluster with five edges was related to the interferon-gamma-mediated signaling pathway, MHC class I/II-like antigen recognition protein, and cell adhesion molecules, including the HLA-A, HLA-DQA1, TRIM26, HLA-B, and HLA-DRB1 genes (Figure 3C).

### Evaluation of DEGs for survival prediction

To evaluate whether the expression of the 94 DEGs was related to survival prediction, measured as DFS or OS, we analyzed the 94 DEGs individually using a database with



### FIGURE 2

Expression patterns of DEGs in resistance and sensitivity. The row Z-score of the normalized read counts of DEGs with p-adj < 0.05 are plotted in the heatmap. The red color indicates a row Z-score >0, and the blue indicates a row Z-score <0. Columns represent each patient, and each row represents a gene. The dendrogram at the top of the heatmap clusters the patients according to their gene expression pattern, while the dendrogram at the left side of the heatmap groups the genes with similar expression patterns. Columns 1 to 3 represent sensitive patients, and columns 4 to 8 represent resistant patients.



KEGG, GO, DEGs interaction related to chemotherapy resistance. The 94 DEGs with a p-adj < 0.05 were analyzed to know their contribution to KEGG pathways, gene ontology, and the interaction clusters (A) KEGG enrichment analysis (B) GO enrichment analysis. Each bar represents the fold enrichment value for KEGG and GO. The x-axis plots the fold enrichment values, and the y-axis shows the pathway's name or GO terms. In GO enrichment analysis, the plot is divided into three categories: biological process (red bars), cellular component (green bars), and molecular function (blue bars). (C) Interactome analysis. Only DEGs that interact with each other were plotted in the graph. Network nodes represent proteins encoded by DEGs; colors represent the category to which encoded proteins belong; edges represent protein-protein interactions. Line colors indicate the type of interaction reported.

expression information of 109 patients with HER+/ER-/PR-, and data were obtained from TCGA breast ductal carcinoma study. A total of 12 DEGs predicted the DFS. The high expression of ATF6B, DHRS13, DIRAS1, ERAL1, GRING2B, IRX3, PRTFDC1, and PBX2 was found to be an excellent prognostic of DFS at 5 years; on the other hand, a high expression of L1CAM was associated with lower DFS at 5 years (Figure 4). We found that low expression of TNXB and SLC9A3R2 and high

expression of S100B were associated with better DFS in the long term (10 years) (Figure 5).

According to the OS analysis, groups with high expression of GNG4, IL22RA2, S100B, and SERPINF2 were associated with better OS at 5 years; the same was true for HLA-A and DIRAS1 at 10 years. In contrast, high expression of MICA and TNXB was related to lower OS times at 5 and 10 years, respectively (Figure 6).



### FIGURE 4

DEGs related to the prediction of DFS at 5 years. The 94 DEGs (p-adj<0.05) were analyzed in the TCGA ductal breast cancer database (https:// www.cancer.gov/tcga). The red line indicates the high expression group, and the blue line represents the low expression group. Y-axis shows the DFS percentage; X-axis shows the time in years. (A) ATF6B, (B) DHRS13, (C) DIRAS1, (D) ERAL1, (E) GRIN2B, (F) L1CAM, (G) IRX3, (H) PRTFDC1, (I) PBX2.



### FIGURE 5

DEGs related to the prediction of DFS at 10 years. DEGs that meet the criteria of p-adj<0.05 were analyzed using the TCGA ductal breast cancer database (https://www.cancer.gov/tcga) to determine their association with DFS at 10 years. The red line indicates the high expression group, the blue line represents the low expression group. *Y*-axis shows the disease-free survival percentage; *X*-axis shows the time in years. (A) S100B, (B) SLC9A3R2, (C) TNXB.



DEGs related to overall survival. DEGs with p-adj<0.05 were contrasted with overall survival data at 5 and 10 years in the TCGA ductal breast cancer database (https://www.cancer.gov/tcga). The red line indicates the high expression group, and the blue line represents the low expression group. Y-axis shows the overall survival percentage; X-axis shows the time in years. Panels for (A) GNG4, (B) IL22RA2, (C) MICA, (D) S100B, and (E) SERPINF2 represent OS at 5 years, while panels for (F) HLA-A, (G) DIRAS1, and (H) TNXB showed OS data for 10 years.

Odds ratio analysis was performed to determine if there is any difference at the end point of five of 10 years in OS or DFS. The results of the odds ratio analysis showed a similar prognostic pattern for each gene compared with the results obtained from the log-rank analysis, except for GRIN2B, PRTFDC1, SLC9A3R2 in DFS and HLA-A, IL22RA2 in OS whose p values were greater than 0.05 (Supplementary Table S3).

Furthermore, univariate and multivariate Cox analyses were performed. The results show some genes in which the expression can be considered a predictor variable associated with survival time. In univariate cox analysis for DFS, the coefficients were negative for DHRS13, GRIN2B and positive for L1CAM with p < 0.05. When applying the univariate cox analysis for OS, DIRAS had a negative coefficient, and MICA had a positive coefficient p < 0.05 (Table 1). We performed multivariate cox analysis using age, pathologic stage, radiation therapy, and the expression level as variables. The results show that as higher the pathologic stage, the hazard to disease recurrence increases for ATF6B, DHRS13, DIRAS1, ERAL1, GRIN2B, L1CAM, PBX2, PRTFDC1, SLC9A3R2, and TNXB, furthermore, increase the risk of death when analyzed DIRAS1, IL22RA, MICA, and S100B. neoadjuvant Alongside, radiation therapy was correlated with decrease recurrence risk in ATF6B, DHRS13, DIRAS1, LICAM, and SLC9A3R2, and decreases dead risk when analyzed S100B, MICA, and DIRAS1 (Table 2).

| Gene   | Variable   | Coef    | exp (coef) | se (coef) | Z      | Pr (> z ) | Survival |
|--------|------------|---------|------------|-----------|--------|-----------|----------|
| DHRS13 | Expression | -2.1257 | 0.1193     | 1.0494    | -2.026 | 0.0428    | DFS      |
| GRIN2B | Expression | -1.3827 | 0.2509     | 0.6886    | -2.008 | 0.0446    | DFS      |
| L1CAM  | Expression | 1.6424  | 5.1677     | 0.7829    | 2.098  | 0.0359    | DFS      |
| DIRAS1 | Expression | -1.5443 | 0.2135     | 0.7695    | -2.007 | 0.0448    | OS       |
| MICA   | Expression | 1.6142  | 5.0238     | 0.7847    | 2.057  | 0.0397    | OS       |

#### TABLE 1 Univariate cox regression analysis for expression variable.

Coef = coefficient; exp = Exponential; se = standard error.

TABLE 2 Multivariate Cox Regression Analysis including clinical variables

| Survival type | Gene     | Variable          | Coef       | exp (coef) | se (coef) | p-value |
|---------------|----------|-------------------|------------|------------|-----------|---------|
| DFS           | ATF6B    | Pathologic_stage  | 0.56021    | 1.75105    | 0.19235   | 0.0036  |
|               |          | Radiation_therapy | -1.52251   | 0.21816    | 0.76688   | 0.0471  |
|               | DHRS13   | Pathologic_stage  | 0.76044    | 2.13923    | 0.22323   | 0.0007  |
|               |          | Radiation_therapy | -1.56215   | 0.20968    | 0.71944   | 0.0299  |
|               | DIRAS1   | Pathologic_stage  | 0.73385    | 2.08308    | 0.21171   | 0.0005  |
|               |          | Radiation_therapy | -1.64476   | 0.19306    | 0.72105   | 0.0225  |
|               | ERAL1    | Pathologic_stage  | 0.67782    | 1.96958    | 0.19343   | 0.0005  |
|               | GRIN2B   | Pathologic_stage  | 0.71306    | 2.04023    | 0.21839   | 0.0011  |
|               | L1CAM    | Pathologic_stage  | 0.56388    | 1.75748    | 0.17428   | 0.0012  |
|               |          | Radiation_therapy | -1.95488   | 0.14158    | 0.74922   | 0.0091  |
|               | PBX2     | Pathologic_stage  | 0.57663    | 1.78003    | 0.19418   | 0.003   |
|               | PRTFDC1  | Expression        | -2.26336   | 0.104      | 1.10961   | 0.0414  |
|               |          | Pathologic_stage  | 0.64406    | 1.90419    | 0.1972    | 0.0011  |
|               | S100B    | Expr_quant        | 3.647      | 38.37      | 1.088     | 0.0008  |
|               | SLC9A3R2 | Expression        | 3.08808    | 21.9349    | 1.21697   | 0.0112  |
|               |          | Pathologic_stage  | 0.51109    | 1.66711    | 0.18757   | 0.0064  |
|               |          | Radiation_therapy | -2.09806   | 0.12269    | 0.80884   | 0.0095  |
|               |          | Expr_quant        | -1.21336   | 0.2972     | 0.58311   | 0.0375  |
|               | TNXB     | Pathologic_stage  | 0.56011    | 1.75086    | 0.2683    | 0.0368  |
| OS            | DIRAS1   | Pathologic_stage  | 1.12604    | 3.08344    | 0.29716   | 0.0002  |
|               |          | Radiation_therapy | -3.9416    | 0.01942    | 1.08224   | 0.0003  |
|               | IL22RA2  | Pathologic_stage  | 0.9143     | 2.49502    | 0.42703   | 0.0323  |
|               | MICA     | Expression        | 4.936,011  | 139.214    | 1.840,017 | 0.0073  |
|               |          | Pathologic_stage  | 1.125,939  | 3.08311    | 0.275,097 | 4E-05   |
|               |          | Radiation_therapy | -3.643,406 | 0.02616    | 1.172,328 | 0.0019  |
|               |          | Expr_quant        | -1.656,961 | 0.19072    | 0.803,941 | 0.0393  |
|               | S100B    | Pathologic_stage  | 0.873,639  | 2.39561    | 0.23499   | 0.0002  |
|               |          | Radiation_therapy | -3.068291  | 0.0465     | 0.944,318 | 0.0012  |

### Analysis of the 94 DEGs in other studies highlight similar DEGs as possible biomarkers

Finally, to evaluate whether the data from GSE162187 (94 DEGs between resistant and sensitive patients) has a consistent expression with other studies, we analyzed the data of the study GSE163882, which aimed to predict pCR to neoadjuvant therapy in breast cancer patients. Data from GSE162187 were used as training data, and data from the GSE163882 dataset were used as corroboration data. This analysis discard 84 DEGs and identified 10 DEGs in common (ATF6B, ERAL1, CRYM, MUC16, SOX10, MICA, PDE2A, TMEM97, SDF2, and BICDL2) that could discriminate patient outcomes. Therefore, these 10 DEGs were considered possible biomarkers of pCR and neoadjuvant chemotherapy response. Moreover, three DEGs (ATF6B, ERAL1, and MICA) have a strong correlation with DFS and OS.

### Discussion

One fundamental aspect of treating breast cancer patients is the knowledge of their molecular subtypes. This information has per se a prognostic value for predicting patient treatment response (von Minckwitz et al., 2012), which can be evaluated according to the criteria for the diagnosis of pCR. Achieving pCR has been associated with better overall survival (Broglio et al., 2016; Spring et al., 2020); however, the intrinsic factors involved in pCR have not been clarified. There is still controversy on whether standard adjuvant therapy increases pCR (Mauri et al., 2005). The percentage of patients who achieve pCR ranges between 27%-47% (Muller et al., 2021; Xin et al., 2021). In this study, 37.5% of patients achieved pCR. Therefore, HER2+ breast cancer patients were categorized as sensitive (pCR achieved) or resistant (pCR did not achieve) to neoadjuvant chemotherapy and were used as an RNA-seq strategy to identify predictors of pCR. It should be considered that the diagnosis of HER2+ breast cancer was because more than 10% of the tumor cells present detectable HER2 expression; therefore, there is a large percentage of cells that do not express HER2. This highlights the heterogeneity of this breast cancer subtype (Ng et al., 2015; Chen et al., 2020). When the transcriptional profiles of all patients were compared to determine clusters, this heterogeneity was emphasized (Supplementary Figure S1A). The implications of molecular differences in HER2+ breast cancer patients are not fully understood and may be relevant to prognosis and treatment response.

The DEGs found in HER2+ breast cancer patients sensitive and resistant to neoadjuvant chemotherapy were mainly related to plasma membranes, vesicles, and extracellular space and were involved in different biological processes, such as cellular response to chemical stimulus, cell adhesion, and signal regulation. Variations in the protein components of the extracellular matrix have been reported in breast tumors of different origins (Borghesi et al., 2021). In addition, extracellular components such as the extracellular matrix, vesicles, and plasma membranes can be modified by cancerassociated fibroblasts, leading to a tumor microenvironment involved in cancer development and drug resistance (Mashouri et al., 2019; Helal-Neto et al., 2020; Lugo-Cintron et al., 2020).

One of the most enriched pathways is related to cell adhesion molecules involved in tight junctions of epithelial and endothelial cells, such as claudins, which participate in epithelialmesenchymal transition (EMT) and chemoresistance (Hewitt et al., 2006; Agarwal et al., 2009; Gowrikumar et al., 2019). According to KEGG enrichment analysis, the AMPK signaling pathway is involved in the resistance process; this pathway is considered a double-edged sword that protects and promotes cancer progression (Jeon and Hay, 2015). Sensitization of breast cancer cells to chemotherapy by activating AMPK signaling by CTAB has been observed (Pan et al., 2019). Similarly, histological evaluations have reported altered AMPK signaling in breast cancer samples (Hadad et al., 2009), and this pathway is considered a therapeutic target for breast cancer treatment (Hadad et al., 2008). However, it has been hypothesized that once cancer has developed, AMPK promotes the survival of cancer cells by protecting them against DNA damage, nutritional stress, and hypoxia (Russell and Hardie, 2020). Further studies are needed to delineate the role of the AMPK pathway in breast cancer and the development of chemotherapy resistance.

Another enriched pathway was cyclic guanosine 3,5monophosphate (cGMP) and protein kinase G (PKG). The cGMP-PKG pathway has been associated with the modulation of apoptosis and growth inhibition in MCF-7 and MDA-MB-468 breast cancer cell lines (Fallahian et al., 2011). An essential component of this pathway is the protein kinase cGMPdependent 2 (PRKG2), which was found to be downregulated in the resistant group in this study. Our results correlate with those of Karami-Tehrani et al. (Karami-Tehrani et al., 2012), who observed lower expression of PRKG2 protein in breast tumor samples. In addition, it has been reported that PRKG2 inhibits EGF-induced MAPK/c-Jun N-terminal kinase (JNK) signal transduction in human breast cancer cells (Lan et al., 2012) and also inhibits the activation of EGFR and HER2 in gastric cancer cells (Zhu et al., 2016; Lan et al., 2019). PRKG2 inhibits the migration, invasion, and proliferation of cancer cells and activates CREB, which modulates anti-apoptotic genes, such as BCL2 (Shankar et al., 2010), which are overexpressed in the resistant group, thereby contributing to the survival of cancer cells in the resistant group.

In this study, many DEGs related to resistance were identified. With the dimensional reduction, samples clustered better, highlighting the possibility of using these genes to predict the response to treatment. An interesting finding in our results was a group of DEGs that interacted with each other, including HLA-A, HLA-DQA1, HLA-DRB1, HLA-B, and TRIM26, which are components of the MHC protein complex, except TRIM26. These DEGs were found to be overexpressed in the resistant group. The upregulation of classical and non-classical HLA-I molecules has been reported to acquire a "protective" phenotype in melanoma cells (Balsamo et al., 2012). HLA molecules play a role in self-recognition by immune cells, which is essential for hematopoietic and healthy cells to avoid their destruction, and the loss, alteration, or absence of HLA molecules can cause susceptibility to NK cell attack (Ljunggren and Karre, 1990; Moretta et al., 2004). HLA molecules interact with inhibitory receptors such as killer cell immunoglobulin-like receptors (KIRs), leukocyte immunoglobulin-like receptors (LIRs), and natural killer group 2A (NKG2A) on the NK surface, avoiding its activation (Khan et al., 2020). Overexpression of HLA by cancer cells has been reported as a mechanism for evading the immune response of NK cells and is termed immune checkpoint inhibition (Bi and Tian, 2019). From this group of genes, a variant of HLA-A (ENSEMBL ID ENSG00000235657) was observed subexpressed in the resistance group. In addition, low expression of this gene was associated with a worse prognosis for OS. This gene has already been reported to predict treatment response and OS (Sinn et al., 2021; Barron-Gallardo et al., 2022).

ATF6B has two ensemble IDs (ENSG00000228628 and ENSG00000213676). ENSG00000228628 ID was overexpressed. Nevertheless, ENSG00000213676, which corresponds to the primary assembly of this gene, was found to be sub-expressed, and low expression was related to lower OS and worse DFS. Variants of this gene have been associated with an increased risk of breast cancer development (Dierssen-Sotos et al., 2018).

DIRAS1 was found to be sub-expressed in the resistance group. Subexpression of this gene was correlated with lower OS. This gene has tumor-suppressive activity by binding to SmgGDS, which blocks the interactions of small GTPases, such as Rho and K-Ras4B. The expression of DIRAS1 is downregulated in most types of breast cancer (Bergom et al., 2016).

Other sub-expressed genes in resistant treatment and lower DFS were GRIN2B, GNG4, and IRX3. GRIN2B is involved in breast cancer progression and acts as a promoter of CpG islands (Park et al., 2011; Park et al., 2012). GNG4 is hypermethylated in breast cancer; however, when comparing all molecular subtypes, the HER2 subtype shows the highest expression levels for this gene (Fernandez-Nogueira et al., 2016; Mao et al., 2021). IRX3 plays an important role in obesity and type 2 diabetes; however, it plays an important role in the adaptability of tumor cells to metabolic challenges, a process that has a parallelism with the development of chemotherapeutic resistance (Singh et al., 2016).

A set of genes that showed high expression in the resistant group, which were related to lower OS and worse DFS, were L1CAM, MICA, and TNXB. The expression of L1CAM is increased in luminal B breast cancer, and its expression is related to disease recurrence and higher levels of Ki-67 expression (Moisini et al., 2021). A soluble form of L1CAM has been found in HER2-enriched primary breast cancer patients (Wu et al., 2018). There are reports that inhibition of L1CAM reverses cisplatin resistance in triple-negative breast cancer cells (Zhang et al., 2022). MICA is overexpressed in breast cancer when compared to normal tissue and is considered an indicator of poor prognosis (Madjd et al., 2007). It is an activation ligand of NK cells, which induces the lysis of cells that express it. However, there is a soluble form of MICA (sMICA) that decreases the expression and presentation of NKG2D, a natural cytotoxic receptor in natural killer cells, thus sMICA helps cancer cells to evade immune cell attack (Pan et al., 2017) and contributing to a worse prognosis in cancer (Roshani et al., 2016). In this study, high expression of MICA was observed in the resistant and lower OS groups; however, further studies are needed to determine the role of MICA or sMICA in chemotherapy resistance. In the case of TNXB, the expression of this gene has been analyzed in breast cancer, and a correlation between high TNXB expression and good survival prognosis has been found (Liot et al., 2020). Its expression decreases at late stages, major tumor grade, and node status of the disease (Liot et al., 2020), however, its expression in the HER2 molecular subtype and in relation to chemotherapy resistance has not been evaluated.

In contrast, genes with high expression but related to better OS and DFS were IL22RA2, PRTFDC1, PBX2, S100B, SERPINF2, DHRS13, ERAL1, and SLC9A3R2. IL22RA2 expression decreases in luminal A, B, and triplenegative breast cancers (Fu et al., 2015); however, but HER2+ breast cancer has not been reported. PRTFDC1 has been associated with the triple-negative basal-like immunesuppressed breast cancer subtype (TNBC-BLIS), which is considered one of the worst prognoses (Yin et al., 2020). The most highly expressed gene is PBX2. This gene was found to be upregulated in breast lesions and has been proposed along with other genes as a candidate biomarker for distinguishing breast cancer lesions (Hou et al., 2020). It has been showed that the overexpression of PBX2 increases the tumorigenic properties of SkBr3 breast cancer cell line when transfected with HoxB7 (Fernandez et al., 2008). S100B expression has been negatively correlated with lymph node metastasis (Wang et al., 2021), inhibition of cell migration, better overall survival in luminal B breast cancer patients, and being a good distant metastases-free survival biomarker (Yen et al., 2018). SERPINF2 is differentially expressed in breast cancer tissues compared with normal tissues (Malvia et al., 2019). The protein product of SERPINF2 has been found in the serum of breast cancer patients when evaluating treatment response; however, this protein appeared in both resistant and sensitive groups (Chantada-Vazquez et al., 2022).

Finally, DHRS13, ERAL1, and SLC9A3R2 could predict treatment response and survival; however, there are no reports related to breast cancer and its possible function in this disease.

### Conclusion

This study underlines a molecular expression pattern related to the response of patients with HER2-positive breast cancer to neoadjuvant chemotherapy. Differentially expressed genes highlight the involvement of pathways, such as extracellular components, adhesion molecules, and immune responses, in the process of resistance to chemotherapy. Some differentially expressed genes can be used as biomarkers of overall survival and disease-free survival in breast cancers.

### Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/ Supplementary Material.

### **Ethics statement**

The studies involving human participants were reviewed and approved by Comite Nacional de Investigación Científica del Instituto Mexicano del Seguro Social. The patients/participants provided their written informed consent to participate in this study.

### Author contributions

CB-G and MG-C. contributed to sample recruitment and processing. CB-G performed the bioinformatic analysis, interpretation of data, and drafting of the manuscript. MG-C. contributed to data analysis and funding acquisition. RD-C, AM-M, MM-S, and MV-G were involved in patient recruitment, obtaining clinical information, and data interpretation. AA-L. and LJ-S. conceived the study, advised, analyzed the results, contributed to funding acquisition, and wrote and revised the manuscript. All authors substantively revised the manuscript, suggested modifications, and approved the final version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

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Cancer immune function and tumor microenvironment are governed by long noncoding RNAs (IncRNAs). Nevertheless, it has yet to be established whether IncRNAs play a role in tumor-associated neutrophils (TANs). Here, a computing framework based on machine learning was used to identify neutrophil-specific IncRNA with prognostic significance in squamous cell carcinoma and lung adenocarcinoma using univariate Cox regression to comprehensively analyze immune, IncRNA, and clinical characteristics. The risk score was determined using LASSO Cox regression analysis. Meanwhile, we named this risk score as "TANIncSig." TANIncSig was able to distinguish between better and worse survival outcomes in various patient datasets independently of other clinical variables. Functional assessment of TANIncSig showed it is a marker of myeloid cell infiltration into tumor infiltration and myeloid cells directly or indirectly inhibit the anti-tumor immune response by secreting cytokines, expressing immunosuppressive receptors, and altering metabolic processes. Our findings highlighted the value of TANIncSig in TME as a marker of immune cell infiltration and showed the values of lncRNAs as indicators of immunotherapy.

#### KEYWORDS

non-small cell lung cancer, tumor-associated neutrophils, long noncoding RNA, immunotherapy, computational recognition

## Introduction

Lung cancer is related with high mortality rates in China with non-small cell lung cancer (NSCLC) accounting for >80% of lung cancers (Zhu et al., 2017). The administration of immune checkpoint inhibitors (ICIs) in cancer therapy has had remarkable results (Yue et al., 2018; Dolladille et al., 2020; Galluzzi et al., 2020). For advanced non-small cell lung cancer (NSCLC), several clinical trials have confirmed that as first- or second-line treatment, ICIs are superior to platinum-based chemotherapy (Ko et al., 2018; Vansteenkiste et al., 2019; Chen et al., 2020). However, only 20%-40% of advanced NSCLC patients achieve sustained clinical benefits from PD-(L)1 inhibitor therapy, with most patients having primary or acquired resistance to immunotherapy (Socinski, 2014). Moreover, those who do not respond to immunotherapy may suffer immune-related adverse events (IRAE) and the high costs of anti-PD-(L)1 monoclonal antibody therapy (Khoja et al., 2017; Das and Johnson, 2019; Schoenfeld et al., 2019). Thus, effective biomarkers that distinguish potential responders from non-responders, and indicate patient clinical response in real-time are urgently needed to improve treatment outcomes.

The TME is comprised of a complex cell population that includes tissue-resident lymphocytes, fibroblasts, endothelial cells, and neurons that are present before tumorigenesis, as well as bloodderived cells recruited to tumor sites (Butturini et al., 2019). Immune cells are the main cellular components in tumors. Tumor-infiltrating myeloid cells, including tumor-associated macrophages (TAM), regulatory dendritic cells, tumor-associated neutrophils (TAN), myeloid-derived suppressor cells (MDSC), as well as tolerogenic dendritic cells (TOL-DC), facilitate the formation of immunosuppressive microenvironments (Schupp et al., 2019). These cells directly or indirectly inhibit the antitumor immune response by secreting cytokines, expressing immunosuppressive receptors, and altering metabolic processes, leading to tumor immune escape. Tumor-associated neutrophils (TANs) are a key part of tumor-infiltrating myeloid cells and are regularly detected in the TME. Clinically, TANs can be used to predict treatment outcomes and immunotherapy response (Nielsen et al., 2021). Transcriptomic studies have identified gene expression biomarkers as well as signatures for quantitative assessment of TANs, as well as for stratification based on prognoses and immunotherapeutic response (Lecot et al., 2019; Wu and Zhang, 2020).

Long non-coding RNA (lncRNAs) influence almost all biological processes and pathways, and their dysregulation is associated with various diseases. Additionally, lncRNAs have wide functional diversity due to their influence on gene expression levels at transcriptional, post-transcriptional and epigenetic levels (Rinn and Chang, 2012; Fatica and Bozzoni, 2014; Marchese et al., 2017; Bao et al., 2020). The correlation between lncRNAs and immune function has been reported. Recent studies have shown that lncRNAs are abundant with cell type specificity in various immune cell subsets (Rinn and Chang, 2012; Atianand et al., 2017; Chen et al., 2017; Zhou et al., 2017; Zhou et al., 2017; Zhou et al., 2018). LncRNAs expression pattern has been correlated with infiltrations of immune cells into the TME (Hu et al., 2013; Ranzani et al., 2015; Sage et al., 2018; Wang et al., 2018; Zhao et al., 2021). Nevertheless, neutrophil-specific lncRNAs as well as their significance in assessing TANs and prediction of clinical outcomes and immunotherapeutic responses require further study.

Here, a computational framework is proposed for determining neutrophil-specific lncRNA expression levels and lncRNA signatures for TANs (TANLncSig) *via* integrative immune, lncRNA, and clinical profiling analyses. The TANLncSig's ability to predict clinical outcome and response to immunotherapy by NSCLC patients was also investigated.

## Materials and methods

# Neutrophil-specific long noncoding RNAs screening

The data set can be obtained from the GEO database with series accession number GSE28490 (https://www.ncbi.nlm.nih. gov/geo/query/acc.cgi?acc=GSE28490), These included chip data on the expression of nine human immune cells (neutrophils, monocytes, B cells, eosinophils, CD4 T cells, NK cells, mDCs, CD8 T cells, and pDCs). The GEO2R tool from GEO was used for differential expression analysis. Using adjusted p = <0.05 and logFC >1 as cutoff thresholds identified 17 lncRNAs with high neutrophil-specific expression.

### Construction of risk scoring model

Clinical data and TCGA RNA-seq datasets for LUSC and LUAD were downloaded by the UCSC Xena browser (https:// xenabrowser.net/). Lusc-LINC01272-neutrophils malignant/ Luad-LINC01272-neutrophils malignant results from single cell sequencing datasets. First, a monovariate Cox regression analysis was used to find neutrophil-specific lncRNAs with prognostic value in LUSC and LUAD, and LASSO Cox regression was used to determine their risk scores. The multivariate Cox regression analysis (age, risk score, tumor stage, gender), Kaplan-Meier (KM) survival analysis and 3, 5, and 10 years survival AUCs were used to evaluate risk score.

# Correlation analysis between risk score and tumor clinical phenotype

Multivariate ANOVA was used to analyze differences between neutrophil-specific, highly expressed lncRNA and risk score in LUSC and LUAD samples at various TNM stages.



### Analysis of risk score related pathways

In LUSC and LUAD samples, genes with mean expression levels >1 were identified and their correlation with risk score analyzed. 1,000 genes with the highest absolute correlation coefficient value were selected from those with positive correlation coefficients (>0, p = <0.05) and those with negative correlation coefficients (<0, p = <0.05). ClusterProfiler for R was used to analyze GO terms of biological process (BP), Molecular function (MF), cellular component (CC), and KEGG pathway enrichment analyses. After gene enrichment, the adjusted *p*-value < 0.05 and the smallest TOP10 was selected for mapping.

### Development of tumor-associated neutrophils-derived long noncoding RNAs signature to judge the prognosis of immunotherapy for non-small cell lung cancer using machine learning

Pearson correlation analysis was used to determine correlations between risk score, neutropen-specific lncRNAs, and the expression of common immune checkpoint inhibitors and correlation heat maps drawn, with \* denoting  $p \le 0.01$  while + denotes  $p \le 0.05$ .

### Results

### Prognostic significance of neutrophilspecific long noncoding RNAs

To recognize neutrophil-specific lncRNAs, dataset GSE28490 was downloaded from GEO (https://www.ncbi.nlm. nih.gov/geo/query/acc.cgi?acc=GSE28490). This dataset includes

chip data on expressions of nine human immune cells (CD4<sup>+</sup> T cells, neutrophils, monocytes, B cells, eosinophils, CD8<sup>+</sup> T cells, NK cells, mDCs, and pDCs). Using GEO2R, 17 lncRNA specifically highly-expressed in neutrophils (p = <0.05, log2>1) were identified. These neutrophil-specific lncRNAs are referred to as TAN-associated lncRNAs (TANlncRNA) (Figure 1).

### Construction of a risk score based on neutrophil-specific long noncoding RNAs for prognosis prediction

To develop a neutrophil-specific lncRNA risk score for predicting prognosis, the TCGA NA-SEQ dataset, TCGA lung squamous cell carcinoma (LUSC) as well as adenocarcinoma (LUAD) gene expression data, clinical features, and prognosis data were downloaded from UCSC Xena. First, univariate Cox regression analyses were used to establish neutrophil-specific lncRNAs with prognostic value in LUSC and LUAD. The final signature named TANIncSig (Table 1). This analysis identified three lncRNAs with prognostic value in LUSC (LINC01272, LINC00261, LINC00668,  $p = \langle 0.05 \rangle$ . Using these three lncRNAs, the expression value of lncRNA was weighted using multivariate Cox regression coefficient to obtain risk scores via the formula: risk score = 0.09 \* LNC00668 + 0.17 \* LNC00261. Then, TANIncSig scores for every patient in the discovery dataset were determined, after which the 542 patients were grouped into the high (n = 271) or low (n = 271) risk groups. Low risk group patients were found to have longer overall survival (OS) relative to the high-risk group patients (p = 0.039,  $\leq 0.05$ , Figure 2A). Multivariate Cox regression analyses revealed that risk score (p < 0.001), stage (*p* < 0.001), age (*p* = 0.037, ≤0.05), and gender (*p* = 0.007,  $\leq$ 0.01) significantly affected the prognostic outcomes of LUSC patients. The *p*-value and hazard ratio of TANIncSig were better than those of stage and age (Figure 2B). That said, TANIncSig has the potential to be a good predictor of

|      | Ensembl ID      | Gene symbol | Location (GRCh37/hg19)      | HR        | Lower 0.95 | <b>Upper 0.95</b> | <i>p</i> -value |
|------|-----------------|-------------|-----------------------------|-----------|------------|-------------------|-----------------|
| LUAD | ENSG00000259974 | LINC00261   | chr20:22,541,191–22,559,280 | 0.8726407 | 0.7771     | 0.98              | 0.021359        |
|      | ENSG00000269220 | LINC00528   | chr22:18,260,056-18,262,247 | 0.5049413 | 0.2662     | 0.9577            | 0.036422        |
|      | ENSG00000253138 | LINC00967   | chr8:67,104,349–67,109,554  | 0.0026941 | 9.72E-06   | 0.7471            | 0.039252        |
| LUSC | ENSG00000259974 | LINC00261   | chr20:22,541,191–22,559,280 | 1.2676382 | 1.1        | 1.461             | 0.001087        |
|      | ENSG00000265933 | LINC00668   | chr18:6,925,473–6,929,868   | 0.8529687 | 0.7512     | 0.9685            | 0.01412         |
|      | ENSG00000224397 | LINC01272   | chr20:48,884,015-48,896,333 | 1.14005   | 1.022      | 1.272             | 0.018818        |
|      |                 |             |                             |           |            |                   |                 |





squamous cell carcinoma patients. (B) Multivariate Cox regression analyses of patients with LUSC based on TCGA dataset. (C) ROC curve analyses of patients with LUSC based on TCGA dataset.

efficacy. The predictive capacity of TANIncSig was authenticated using the TCGA internal testing dataset and revealed the 3-, 5-, and 10-year OS rates for low-risk group patients to be 60.42, 54.47, and 54.23%, respectively (Figure 2C). Indicating that risk score significantly correlates with OS in LUSC. Similar analysis was done for LUAD. First, three lncRNAs with prognostic values (LINC00528, LINC00967, and LINC00261) were identified using univariate Cox analysis. Using the above three lncRNAs, lncRNAs expression value was weighted by multivariate Cox



regression coefficient to determine risk score using the formula: risk score = -5.32 \* LINC00967-0.16 \* LINC00261-0.74 \* LINC00528. Patients with LUAD in the low-risk group had longer OS relative to high-risk group LUAD patients (p = 0.0029,  $\leq 0.01$ , Figure 3A). Cox multivariate regression analyses revealed that risk score (p < 0.001) and stage (p < 0.001) significantly correlated with LUAD prognosis. In lung adenocarcinoma, the p-value and hazard ratio of TANIncSig were equally better than those of stage and age (Figure 3B). The 3-, 5-, and 10-year OS rates in low-risk group patients were 61.01, 61.20, and 65.30%, respectively (Figure 3C). These results indicate that risk scores in the LUAD dataset significantly correlate with patients' OS.

# Correlation analysis between risk score and tumor clinical phenotype

Clinical phenotypic correlation analysis of single prognostic lncRNA and risk score (tumor stage, T, N, and M staging) was performed in lung adenocarcinoma as well as squamous cell carcinoma. According to statistical analysis, the risk score in different tumor stages of lung squamous cell carcinoma showed significant statistical differences, and the statistical results showed that p = 0.0013, <0.01 (Figure 4A). The risk score in different tumor stages of lung adenocarcinoma also showed significant statistical differences (p = 0.0081, <0.01) (Figure 4B).

The TNM staging system is the most widely used tumor staging system, worldwide. T denotes tumor sizes and local invasion range,



Analysis of risk score differences across NSCLC tumor stages. (A stages. (B) Risk scores of different lung adenocarcinoma stages.



N denotes lymph node involvement, and M denotes distant metastasis. TNM staging has great clinical value in prognosis prediction (Ficarra et al., 2007; Moch et al., 2009). The risk score lack of significance in different T stages and N stages of lung squamous cell carcinoma (Figures 5A,B). The risk score has significant statistical difference in different M stages of lung squamous cell carcinoma (p = 0.011, <0.05) (Figure 5C). The

risk score has significant statistical difference in different T stages (T1, T2, T3, and T4 stages) of lung adenocarcinoma (p = 0.0023, <0.01) (Figure 5D). Similarly, the risk score has significant statistical difference in different N stages (N0, N1, N2, and N3 stages) of lung adenocarcinoma (p = 0.013, <0.05) (Figure 5E). The risk score lack of significance in different M stages of lung adenocarcinoma (Figure 5F).



(B) Enrichment of negative correlation gene pathways in lung squamous cell carcinoma. (C) Enrichment of positively correlation gene pathways in lung adenocarcinoma.

### Riskscore correlation pathway analysis

In LUSC and LUAD samples, genes with average expression levels >1 were identified and their risk scores analyzed. 1,000 genes with the largest absolute correlation coefficient values were selected from positive (correlation coefficient >0,  $p \le 0.05$ ) and negative (correlation coefficient <0,  $p \le 0.05$ ) and correlation genes and pathway enrichment analysis done using cluster profiler on R. In LUSC, positive correlation genes are mainly associated with biological processes (BP) associated with T-cell activation, leukocyte proliferation, and leukocyte cell-cell adhesion. For cellular component (CC) they were enriched in endocytic vesicle, tertiary granule, and secretory granule membrane. For molecular function (MF), they were enriched in immune receptor activity and cytokine binding. KEGG pathway analysis revealed enrichment mainly for cell adhesion molecules cams (Figure 6A). Negative correlation genes in lung squamous cell carcinoma are mainly enriched for biological processes (BP) associated with skin development, epidermis development, and cornification. For cellular component (CC),

they were enriched for cornified envelope, desmosome, and cellcell junction. For molecular function (MF), they were enriched for microtubule binding and tubulin binding. For KEGG pathways, they were enriched for basal cell carcinoma (Figure 6B). Positive correlation genes in lung adenocarcinoma were mainly enriched in biological processes (BP) associated with translational termination and adenocarcinoma. For cellular component (CC), they were enriched for ribosomal subunits, ribosome and large ribosomal subunit. For molecular function (MF) they were enriched for structural constituent of ribosome and cadherin binding. For KEGG pathways, they were enriched for ribosome and cell cycle (Figure 6C). Genes associated with negative correlations in LUAD are involved in biological processes (BPs) associated with lymphocyte differentiation, leukocyte proliferation, and antigen receptor-mediated signaling. For cellular component (CC), they were enriched for external side of plasma membrane and immunological synapse. For molecular functions (MFs), they were enriched for guanyl-nucleotide exchange factor activity. For KEGG pathways, they were



enriched for primary immunodeficiency and B-cell receptor signaling pathway (Figure 6D).

### The TANIncSig associates with tumorassociated neutrophils

In accordance with previously reported expression levels of the immune cell specific marker genes, cibersort (https:// cibersort.stanford.edu/) was further used to evaluate the levels of immune infiltration of 22 immune subpopulations in high-risk and low-risk patient groups. *t*-test was performed to determine the difference in lymphocyte infiltration levels between the two groups. As shown in Figures 7A,B, in both LUSC and LUAD, high-risk patients were significantly enriched in 12 immune subpopulations, while low-risk patients were enriched in 10 immune subpopulations. Additionally, mononuclear immune cells, including neutrophils, were found to infiltrate significantly more in the high-risk patient group than in several other groups. Single-cell sequencing data of LUSC and LUAD downloaded from GSE127465, cell type notes downloaded from TISCH (http://tisch.comp-genomics.org/). The homologous expression levels of LINC01272 of the TANIncSig in neutrophil cell lines differed significantly from those of malignant cell lines (Figures 7C,D). This indicates that these



The TANIncSig was independently validated in the GSE30219 dataset (A). Kaplan–Meier survival curves of OS were plotted between high- and low-risk groups stratified by the TANIncSig. (B) Time ROC curve of luad patients the GSE30219 dataset. (C) Visualization of the HRs from a multivariate Cox analysis of the TANIncSig and clinicopathological factors in GSE30219.

IncRNAs are expressed differently in neutrophils compared with malignant cells. In the above study, we found that the TANIncSig was not only associated with patient prognosis but also as a TAN indicator.

### TANIncSig was validated over several independent datasets using a microarray platform for prognostic value

TANIncSig was further validated in independent datasets by the microarray platform in order to verify versatility and robustness of TANIncSig. The Affymetrix HG-U133 Plus 2.0 platform was used to

analyze 83 LUAD patients from the GSE30219 dataset. As demonstrated again, TANIncSig can distinguish between patients who have high and low survival risk. A total of 83 patients were stratified into 41 high-risk patients and 42 low-risk patients in the GSE30219 dataset. Furthermore, patients in the high-risk group had a marginally poorer outcome than those in the low-risk group (p = 0.0024,  $\leq 0.01$ ; log-rank test) (Figure 8A). The AUC of ROC curve at 3, 5, and 10 years were 64.13, 66.87, and 60.58% respectively (Figure 8B). The results show that TANIncsig can accurately predict the 5-year overall survival of patients, indicating that TANIncsig has good efficacy and certain stability. In order to investigate whether TANIncSig is an independent prognostic factor, a multivariate Cox regression analysis was conducted in



patient cohorts. In the independent GSE30219 dataset, the TANIncSig still maintained a significant correlation with OS in the multivariate analysis (HR = 6.74, 95% CI 1.283-35.5, *p* = 0.024,  $\leq$ 0.01). Thus, these results demonstrate that the TANIncSig helps predict OS independently of other conventional clinical factors (Figure 8C).

# Significance of TANIncSig as a marker of immunotherapy

Next, prognostic lncRNAs and risk score were correlated with immune checkpoint molecules expression in LUSC and LUAD patients. In LUSC, risk score, LINC01272, and LINC00261 positively correlated with the expression of most ICBs, while LINC00668 had negative correlations with the expression of most ICBs (Figure 9A). In LUAD, risk score had negative correlations with expression levels of most ICBs, while LINC00528 positively correlated with expression levels of most ICBs (Figure 9B). The expressions of risk score were divided into high and low groups and combined according to the median. The combination was used to analyze the prognosis of immunotherapy for non-small cell lung cancer. In lung

squamous cell carcinoma, the combination of CEACAM1, TNFSF4, gem, CD47, vtcn1 and risk score can well stratify the prognosis of patients. In lung adenocarcinoma, all ICB molecules combined with risk score can well predict the prognosis of patients. These results suggest that risk score can be used as an index to predict the response of patients to immunotherapy.

### Discussion

In the peripheral blood, neutrophils are the most abundant white blood cells (Dinh et al., 2020). They have a central role in human non-specific immunity. Previous studies suggest that neutrophils inhibit tumors by secreting cytokines and producing reactive oxygen species (Vaughan and Walsh, 2005; Mishalian et al., 2013; Coffelt et al., 2015; Ponzetta et al., 2019). However, other studies indicate that neutrophils in the tumor microenvironment (TME) promote tumorigenesis. Cytokines and chemokines production by invasive neutrophils might affect the recruitment and activation of inflammatory cells in the TME, create an immunosuppressive microenvironment that is conducive for tumorigenesis, regulate tumor growth, metastasis and angiogenesis, and influence patient prognosis. Traditional methods for quantifying tumor immune cells infiltration based on histology or immunohistochemistry may have bias and variabilities (Yoshihara et al., 2013; Gibney et al., 2016; Spranger and Gajewski, 2018; Zhang et al., 2020; Sanchez-Pino et al., 2021). More recently, RNA-seq analyses have shown that lncRNAs exhibit a better degree of cell type specificity, relative to protein-coding genes in immune cells, highlighting their potential as subpopulation-specific immune cells molecular markers (Huang et al., 2018; Chen et al., 2019; Zhou et al., 2021).

Here, we used a machine learning-based computational framework to identify lncRNA features for evaluating TANs and explored their clinical significance using a combination of lncRNA, immune, and clinical spectrum analyses. The computational framework was used on the TCGA discovery dataset of NSCLC to identify a lncRNA signature (TANIncSig) comprised of 17 lncRNAs obtained from a list of neutrophilspecific lncRNAs using machine learning. Functional enrichment analysis of TANIncSig-related mRNAs showed that TANIncSig is highly correlated with cancer markers of immune response and sustained proliferative signals. Recent experimental evidence on some TANIncSig components is consistent with functional annotations using bioinformatics. It appears that Mir-1303, which is upregulated in tumor tissues, acts as a sponge for LINC01272 and negatively correlates with its expression. A reduction in LINC01272 expression in tissues and cells of NSCLC patients may serve as an independent prognostic marker. LINC01272 overexpression may inhibit NSCLC cells proliferation, migration, and invasion by inhibiting MI-1303 (Zhang and Zhou, 2021). LINC00261 downregulation in gastric cancer is associated with poor prognosis. Ectopic LINC00261 expression disrupts cell migration and invasion, inhibiting metastasis in vitro as well as in vivo. LINC00261 downregulation promotes cell migration and invasion in vitro. LINC00261 overexpression influences epithelial-mesenchymal transition (EMT) through the regulation of E-cadherin, Vimentin and N-cadherin (Liu et al., 2020; Zhai et al., 2021). LINC00668 expression is significantly upregulated via STAT3 signaling in NSCLC tissues as well as cell lines. Clinical studies show that upregulated LINC00668 correlates with histological grade, advanced TNM stage, and lymph node metastasis. Additionally, multivariate analyses established that LINC00668 as an independent marker of overall survival (OS) in patients with NSCLC. LINC00668 downregulation inhibits proliferation, migration, and invasion of NSCLC cells and promotes apoptosis. Mechanistically, LINC00668 is a direct target of miR-193a, leading to down-regulation in the expression of its target gene KLF7. STAT3-initiated LINC00668 promotes NSCLC progression by upregulating KLF7 via sponging Mir-193a. Therefore, it may serve as a prognostic marker and therapeutic target for NSCLC (An et al., 2019). From the perspective of lncRNA, TANlncSig seems to be a transcriptional marker as a potentially measurable indicator of neutrophil activity and prognosis.

To further assess TANIncSig's role in clinical risk stratification, we evaluated its relationship with survival in patients with NSCLC. When applied to the TCGA RNAseq patient dataset, TANIncSig significantly correlated with patient survival. In TANIncSig, three lung squamous cell carcinoma, neutrophil-specific lncRNAs (LINC01272, LINC00261, and LINC00668) were markedly associated with prognostic outcomes. In lung adenocarcinoma, three neutrophil-specific lncRNAs (LINC00528, LINC00967, and LINC00261) significantly correlated with prognosis. In squamous cell carcinoma and lung adenocarcinoma, correlation analysis of individual lncRNAs and risk score with clinical features (TNM staging) revealed that risk score varied significantly with tumor stage. After adjusting for traditional clinical factors, TANIncSig was verified to be an independent prognostic marker for differentiating between poor and good survival outcomes across patient datasets.

Immune checkpoint inhibitors (ICIs) have emerged as effective lung cancer immunotherapies (Suresh et al., 2018; Iams et al., 2020). Some of the drugs acting on the immune checkpoints, CTLA4 and PD-1/PD-L1, have excellent performance against various tumors. Although significant breakthroughs have been made on CTLA4 and PD-1/PD-L1 antibodies, single-drug effective rates are only about 20%, and they benefit a limited proportion of patients (Magiera-Mularz et al., 2017; Lingel and Brunner-Weinzierl, 2019; Rotte, 2019; Yang and Hu, 2019; Liu and Zheng, 2020). The limited efficacy is attributable to the immune system's complexity. Indeed, immune cells, cytokines, and immune adjuvants in the TME interact with each other, limiting the effects of drugs on individual targets. Thus, drugs that target different links and aspects of tumor immunity are needed to enhance immunotherapy outcomes. Up to 29 immunoglobulin superfamily members and 26 members of the tumor necrosis factor receptor superfamily are expressed on T-cell surfaces alone, and there have been preclinical or clinical studies on related immune targets and drugs. Specific immune checkpoints include lymphocyte activating gene 3 (LAG-3), T-cell immunoglobulin mucin 3 (TIM-3), and V region Ig inhibitor (VISTA). Non-specific immune checkpoints include human killer cell immunoglobulin like receptor (KIR), indoleamine 2, 3-dioxidase (IDO), and CD47, these novel immune checkpoint molecules are expected to provide hints for clinical and basic research (Manser et al., 2015; Munn and Mellor, 2016; Burugu et al., 2018; Huang et al., 2020; Logtenberg et al., 2020). VISTA, (B7-H5, PD-1H) is an immunomodulatory receptor that inhibits T-cell response. VISTA is overexpressed on CD11b myeloid cells (e.g., macrophages, monocytes, neutrophils, and dendritic cells)

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and it is found that in humans and mice at a lower level in primitive CD4<sup>+</sup> and CD8<sup>+</sup> T-cells as well as Tregs. With two potential protein kinase C binding sites and proline residues acting as docking sites in its cytoplasmic tail domain, VISTA can serve as both a receptor and a ligand (Huang et al., 2020; Mutsaers et al., 2021). OX40 (TNFRSF4) has been found to be expressed in activated NK cells, T-cells, NKT cells, as well as neutrophils, and acts as an auxiliary costimulatory immune checkpoint (Curti et al., 2013; Aspeslagh et al., 2016; Buchan et al., 2018). Combining immune checkpoint genes and TANIncSig showed combined prognostic effects on patient survival, in line with previous findings that immunomotor interactions between neutrophilic infiltration and expression levels of checkpoint genes affect the outcome of cancer patients and immunotherapy may also be associated with this condition. In combination with earlier findings, it TANIncSig is correlated appears that with immunosuppressive phenotypes and could predict ICI response. Together, these results indicate that TANIncSig can complement and/or add information to existing immune checkpoint genetic markers.

Due to few gene mutations, lung squamous cell carcinoma is less selective than adenocarcinoma with regards to treatment options, and its survival time (about 1 year) is shorter than that of adenocarcinoma (Travis et al., 2021). Thus, novel, effective advanced lung squamous cell carcinoma treatments are needed to improve patient outcomes. The emergence of immune checkpoint inhibitors in recent years has markedly improved treatment options for advanced lung squamous cell carcinoma patients. Immune checkpoint inhibitors have substantially changed advanced lung squamous cell carcinoma treatment, leading to a shift from retro line immunotherapy to front-line treatment options. Originally approved as second-line treatment after platinum-based dual therapy, palivizumab is now recommended as a single-agent first-line treatment or in combination with chemotherapy. Although treatments targeting the immune checkpoints PD-1 and CTLA4 are successful in many cancers, not all patients benefit from them. Our findings indicate that the combination of CEACAM1, TNFSF4, GEM, CD47, VTCN1, and TANIncSig in squamous cell carcinoma can effectively stratify patients by prognosis, highlighting these immune checkpoint receptors as potential therapeutic targets against advanced lung cancer.

## Conclusion

In conclusion, we used a machine learning-based computational framework to identify lncRNA features of TANs (TANIncSig) *via* comprehensive analyses of lncRNA, immune, as well as clinical features. TANIncSig revealed a substantial and repeatable correlation with outcomes, even after adjustments of clinical covariates. Analysis of correlation between prognostic lncRNAs

and risk score with the expression of immune checkpoint molecules demonstrated that TANIncSig can predict immunotherapy. The study is the first to define lncRNA characteristics of tumor-associated neutrophils, highlighting the importance of lncRNAs in immune responses and the potential for more precise and personalized treatment cancer immunotherapy.

### Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding authors.

### Author contributions

ZT, QW, PC, and HG designed the experiments; ZT, QW, and JS performed the experiments; PC, HG, and YP prepared figures; ZT, QW, CL, and CZ was responsible for statistical analysis and provided helpful suggestions; ZT and QW wrote the manuscript. All authors read and approved the final version of the article.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# HOXA-AS2 may be a potential prognostic biomarker in human cancers: A meta-analysis and bioinformatics analysis

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**Background:** Dysregulation of long non-coding (IncRNA) has been reported in various solid tumors. HOXA cluster antisense RNA 2 (HOXA-AS2) is a newly identified IncRNA with abnormal expression in several human malignancies. However, its prognostic value remains controversial. This meta-analysis synthesized available data to clarify the association between HOXA-AS2 expression levels and clinical prognosis in multiple cancers.

**Methods:** Four public databases (Embase, PubMed, Web of Science, The Cochrane Library) were used to identify eligible studies. Hazard ratios (HRs) and odds ratios (ORs) with their 95% confidence intervals (CIs) were combined to assess the correlation of HOXA-AS2 expression with survival outcomes and clinicopathological features of cancer patients. Publication bias was measured using Begg's funnel plot and Egger's regression test, and the stability of the combined results was measured using sensitivity analysis. Additionally, multiple public databases were screened and extracted to validate the results of this meta-analysis.

**Results:** The study included 20 studies, containing 1331 patients. The metaanalysis showed that the overexpression of HOXA-AS2 was associated with poor overall survival (HR = 2.06, 95% CI 1.58–2.69, p < 0.001). In addition, the high expression of HOXA-AS2 could forecast advanced tumor stage (OR = 3.89, 95% CI 2.90–5.21, p < 0.001), earlier lymph node metastasis (OR = 3.48, 95% CI 2.29–5.29, p < 0.001), larger tumor size (OR = 2.36, 95% CI 1.52–3.66, p < 0.001) and earlier distant metastasis (OR = 3.54, 95% CI 2.00–6.28, p < 0.001). However, other clinicopathological features, including age (OR = 1.09, 95% CI 0.86–1.38, p = 0.467), gender (OR = 0.92, 95% CI 0.72–1.18, p = 0.496), depth of invasion (OR = 2.13, 95% CI 0.77–5.90, p = 0.146) and differentiation (OR = 1.02, 95% CI 0.65–1.59, p = 0.945) were not significantly different from HOXA-AS2 expression.

**Conclusion:** Our study showed that the overexpression of HOXA-AS2 was related to poor overall survival and clinicopathological features. HOXA-AS2 may serve as a potential prognostic indicator and therapeutic target for tumor treatment.

### KEYWORDS

IncRNA, HOXA-AS2, cancers, prognosis, meta-analysis, bioinformatics analysis

### Introduction

Cancer is the second greatest cause of death in most parts of the world, and it has become the most common public pathological condition on the planet (Chu et al., 2020). Traditional cancer treatments, such as surgery, adjuvant medical treatment, and actinotherapy have improved dramatically over the last century (Zhang et al., 2019). Despite this, 5-year cancer survival rates remain poor, particularly for patients with advanced tumor stage or



| Study      | Year | Country | Cancer<br>type    | Sample<br>type | Total<br>Size(n) | Detection<br>Method | Cutoff | Outcome | Multivariate<br>Analysis | HR<br>statistic | NOS<br>score |
|------------|------|---------|-------------------|----------------|------------------|---------------------|--------|---------|--------------------------|-----------------|--------------|
| Chen<br>RH | 2021 | China   | CC                | Tissue         | 27               | RT-qPCR             | mean   | OS      | NR                       | Rep             | 6            |
| Chen<br>RW | 2021 | China   | OSCC              | Tissue         | 46               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |
| Cui        | 2019 | China   | LC                | Tissue         | 80               | RT-qPCR             | mean   | OS      | NR                       | SC              | 7            |
| Ding       | 2017 | China   | CRC               | Tissue         | 69               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |
| Fang       | 2017 | China   | BC                | Tissue         | 38               | RT-qPCR             | NR     | OS      | NR                       | SC              | 6            |
| liang      | 2019 | China   | TC                | Tissue         | 68               | NR                  | mean   | OS      | NR                       | SC              | 7            |
| Li         | 2016 | China   | CRC               | Tissue         | 30               | RT-qPCR             | NR     | OS      | NR                       | SC              | 6            |
| Li         | 2017 | China   | LC                | Tissue         | 103              | RT-qPCR             | median | OS      | Yes                      | SC              | 8            |
| Liu        | 2019 | China   | LC                | Tissue         | 52               | RT-qPCR             | median | OS      | NR                       | SC              | 7            |
| Lu         | 2020 | China   | HCC               | Tissue         | 106              | RT-qPCR             | median | OS      | Yes                      | Rep             | 8            |
| Qu         | 2020 | China   | AML               | Blood          | 108              | RT-qPCR             | median | OS      | Yes                      | Rep             | 8            |
| Wang F     | 2016 | China   | HCC               | Tissue         | 112              | RT-qPCR             | NR     | OS      | NR                       | SC              | 6            |
| Wang Y     | 2018 | China   | OS                | Tissue         | 66               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |
| Wang L     | 2019 | China   | OSA               | Tissue         | 27               | RT-qPCR             | mean   | OS      | NR                       | SC              | 6            |
| Wang F     | 2019 | China   | Bladder<br>cancer | tissue         | 80               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |
| Wu         | 2019 | China   | LGG               | tissue         | 50               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |
| Kia        | 2018 | China   | TC                | tissue         | 128              | RT-qPCR             | mean   | NR      | NR                       | NR              | 6            |
| Kiao       | 2020 | China   | PCa               | tissue         | 68               | RT-qPCR             | mean   | OS      | NR                       | SC              | 7            |
| Kie        | 2015 | China   | GC                | tissue         | 55               | RT-qPCR             | median | OS      | NR                       | SC              | 7            |
| Thang      | 2018 | China   | HCC               | tissue         | 58               | RT-qPCR             | NR     | NR      | NR                       | NR              | 5            |

#### TABLE 1 Characteristics of studies in this meta-analysis.

HR, hazard ratio; GC, gastric cancer; CRC, colorectal cancer; HCC, hepatocellular carcinoma; PCa, Prostate cancer; CC, cervical cancer; OSA, osteosarcoma; LGG, lower-grade glioma; TC, thyroid Cancer; AML, acute myeloid leukemia; OSCC, oral squamous cell carcinoma; LC, lung cancer; BC, breast cancer; NR, no report; OS, overall survival; PFS, progression-free survival; Rep, report; SC, survival curve; RT-qPCR, real-time quantitative polymerase chain reaction.

metastasis (Li et al., 2019). One of the most significant causes is the lack of a good biomarker for detecting cancer early and predicting the clinical outcome of cancer patients (Ye et al., 2019). The significance of biomarkers in cancer has garnered increased attention in recent years across various fields, and they are thought to play critical roles in effectively screening or diagnosing cancer (Tang et al., 2020).

Long noncoding RNAs (lncRNAs) are RNA molecules that are longer than 200 nucleotides and cannot code for proteins (Zhou et al., 2019). A huge number of lncRNAs are produced during the active transcription of the human genome (Morlando and Fatica, 2018). One of the functions of lncRNAs *in vivo* is as tumor suppressors or oncogenes (Xu et al., 2021). Increasing evidence suggest that lncRNAs play a synergistic role in tumorigenesis or tumor suppression and that aberrant lncRNA expression is linked to cell proliferation, growth, and metastasis (Wang Y. et al., 2020). The development of RNA-targeted therapies has presented possibility of lncRNA-guided cancer therapy (Bhan et al., 2017). The inhibition of lncRNA function by RNA depletion and the removal of lncRNA exons encoding essential functional domains using splice-switching oligonucleotides may be the mechanism for targeting lncRNAs for cancer therapy (Kole et al., 2012; Schmitt and Chang, 2016). Therefore, functional lncRNA can be used as a biomarker for cancer diagnosis and for predicting treatment outcome and patient prognosis (Wang J. et al., 2020).

LncRNA HOXA cluster antisense RNA 2 (HOXA-AS2) is located on chromosome 7p15.2, a 1048-bp lncRNA, between the HOXA3 and HOXA4 genes of the HOXA cluster (Liu et al., 2019). Previous studies found that HOXA-AS2 was up-regulated in certain cancers. The increased expression of HOXA-AS2 typically predicts poor prognosis for patients with several cancers including cervical cancer (CC) (Chen and He, 2021), oral squamous cell carcinoma (OSCC) (Chen et al., 2021), lung cancer (LC) (Li and Jiang, 2017; Cui et al., 2019; Liu et al., 2019), colorectal cancer (CRC) (Li et al., 2016; Ding et al., 2017), breast cancer (BC) (Fang et al., 2017), thyroid cancer (TC) (Xia et al., 2018; Jiang et al., 2019), hepatocellular carcinoma (HCC) (Wang et al., 2016; Zhang et al., 2018; Lu et al., 2020), acute myeloid leukemia (AML) (Qu et al., 2020), bladder cancer (Wang



#### FIGURE 2

Relationship between HOXA-AS2 expression and overall survival. (A) Forest plots for association of HOXA-AS2 expression with overall survival. (B) Subgroup analysis stratified by cancer type. (C) Subgroup analysis stratified by sample size. (D) Subgroup analysis stratified by follow-up time. (E) Subgroup analysis stratified by NOS score.

F. et al., 2019), osteosarcoma (OSA) (Wang et al., 2018; Wang L. et al., 2019), lower-grade glioma (LGG) (Wu et al., 2019), prostate cancer (PCa) (Xiao and Song, 2020), gastric cancer (GC) (Xie et al., 2015). A high level of HOXA-AS2 expression is associated with poor overall survival (OS) and clinicopathological characteristics such as differentiation, tumor node metastasis (TNM) stage, lymph node metastasis (LNM). However, it is not clear the prognostic value of HOXA-AS2, as most of the published studies were based on a small group of patients. We explored the prognostic value of HOXA-AS2 in pan-cancer for the first time using metaanalysis. Furthermore, we further validated and explored the prognostic value of HOXA-AS2 in multiple databases through bioinformatics analysis, and explored HOXA-AS2related genes and potential pathways. Also, the role of HOXA-AS2 in tumor immunity was investigated to

| Stratified analysis    | Studies (n) | (n) Number of patients | Pooled HR<br>(95% CI) | P-value | Heterogeneity |         |       |
|------------------------|-------------|------------------------|-----------------------|---------|---------------|---------|-------|
|                        |             | I                      | ()                    |         | $I^2$ , %     | P-value | Model |
| Cancer type            |             |                        |                       |         |               |         |       |
| Digestive system       | 4           | 303                    | 2.33(1.55-3.50)       | < 0.001 | 0.0           | 0.810   | Fixed |
| Respiratory system     | 3           | 195                    | 2.73(1.50-4.99)       | 0.001   | 44.8          | 0.163   | Fixed |
| Other systems          | 6           | 336                    | 1.55(1.01-2.39)       | 0.046   | 0.0           | 0.716   | Fixed |
| Sample size            |             |                        |                       |         |               |         |       |
| ≥60                    | 6           | 565                    | 2.15(1.55-2.97)       | < 0.001 | 28.6          | 0.221   | Fixed |
| <60                    | 7           | 269                    | 1.89(1.19-3.00)       | 0.007   | 0.0           | 0.790   | Fixed |
| Follow-up time (month) |             |                        |                       |         |               |         |       |
| ≥60                    | 10          | 643                    | 1.94(1.46-2.59)       | < 0.001 | 0.0           | 0.449   | Fixed |
| <60                    | 3           | 191                    | 2.83(1.44-5.57)       | <0.001  | 0.0           | 0.789   | Fixed |
| NOS score              |             |                        |                       |         |               |         |       |
| ≥7                     | 8           | 600                    | 2.15(1.50-3.10)       | < 0.001 | 17.9          | 0.289   | Fixed |
| <7                     | 5           | 234                    | 1.96(1.33-2.89)       | 0.001   | 20.6          | 0.790   | Fixed |

TABLE 2 Subgroup meta-analysis of pooled HRs for OS.

CI, Confidence interval; HR, Hazard ratio.

identify the potential of HOXA-AS2 as a novel tumor marker and therapeutic target.

### Materials and methods

### Registration

The study was registered in the International Platform of Registered Systematic Review and Meta-Analysis Protocols (the registration number is: CRD42021292257). Because the present study was a systematic review and meta-analysis, Institutional Review Board (IRB) approval was not required.

### Search strategy

Quality meta-analysis guidelines were followed to search for and find related papers in the Embase, PubMed, Web of Science, and The Cochrane Library. Key terms include the following: "HOXA-AS2" "long noncoding RNA HOXA-AS2" "lncRNA HOXA-AS2" "HOXA cluster antisense RNA 2" "HOXA3as" "neoplasm" "cancer" "malignancy" "neoplasia" "melanoma" "tumor" "sarcoma" "carcinoma" or "adenoma". These terms were used to maximize the likelihood of finding a relevant article. The literature search included articles revealed as of 15 November 2021. A manual search of the reference lists of the retrieved literature was performed to confirm the eligible

TABLE 3 Association of HOXA-AS2 expression with clinicopathological features.

| Clinicopathological<br>parameters             | Patients (n) | Or (95%CI)      | P Value | Heterogeneity $(I^2, P)$ | Model  |
|---|--------------|-----------------|---------|--------------------------|--------|
| Age (elderly vs. nonelderly)                  | 1181         | 1.09(0.86-1.38) | 0.467   | 0.0%, 0.677              | Fixed  |
| Gender (male vs. female)                      | 1185         | 0.92(0.72-1.18) | 0.496   | 10.1%, 0.338             | Fixed  |
| Tumor stage (III + IV vs. I + II)             | 551          | 3.89(2.90-5.21) | < 0.001 | 0.0%, 0.507              | Fixed  |
| Lymph node metastasis (positive vs. negative) | 433          | 3.48(2.29-5.29) | < 0.001 | 0.0%, 0.526              | Fixed  |
| Tumor size (big vs. small)                    | 829          | 2.36(1.52-3.66) | < 0.001 | 54.4%, 0.015             | Random |
| Differentiation (poor vs. well)               | 341          | 1.02(0.65-1.59) | 0.945   | 0.0%, 0.460              | Fixed  |
| Depth of invasion (III + IV vs. I + II)       | 148          | 2.13(0.77-5.90) | 0.146   | 57.0%, 0.127             | Random |
| Distant metastasis (Yes vs. No)               | 277          | 3.54(2.00-6.28) | < 0.001 | 0.0%, 0.870              | Fixed  |



#### FIGURE 3

Forest plots for association of HOXA-AS2 expression with clinicopathological features. (A) Age. (B) Gender. (C) Tumor stage. (D) Lymph node metastasis. (E) Tumor size. (F) Differentiation. (G) Depth of invasion. (H) Distant metastasis.
studies included. Any conflicts between the inclusion and exclusion clauses were resolved through group discussion.

## Participants, interventions, and comparators

Studies that complied with the following criteria were eventually included: The inclusion criteria were: (a) the use of real-time quantitative polymerase chain reaction (RT-qPCR) analysis to determine the expression of HOXA-AS2 in neoplastic tissues; (b) patients diagnosed with cancer, and the study described a link between HOXA-AS2 and survival data or clinicopathology; (c) the patients were divided into two groups according to the expression level of HOXA-AS2, and (d) the quantitative hazard ratios (HRs) of OS could be extracted from the text or survival curve. The exclusion criteria were: (a) studies not related to tumors or HOXA-AS2; (b) duplicate publications; (c) reviews, conference abstracts, or case reports, and (d) studies that lacked relevant data.

### Data extraction

Two researchers extracted information from each study, and any disagreement was resolved by discussing it with a third author. We obtained the following data and information from every study: (a) first author, (b) publication year, (c) country of origin, (d) cancer type, (e) number of samples, (f) HOXA-AS2 expression detection technique, (g) cut-off value, (h) sample size with high and low HOXA-AS2 expression, (i) HRs and 95% confidence intervals (CIs) for OS, (j) clinicopathologic parameters, and (k) follow-up times. OS data was directly obtained or extracted from the Kaplan-Meier (KM) curves using Engauge Digitizer version 4.1 software and the HRs and 95% CIs were computed.

#### Quality assessment

Two reviewers extracted information individually based on the inclusion and exclusion criteria. Some disagreements were resolved in consultation with a third reviewer. The quality of the studies was assessed using the Newcastle–Ottawa scale (NOS). The scale uses nine elements to judge a study, and a score of one is satisfied for an exact item. Total scores range from 0 to 9. A NOS score of  $\geq$  7 represents high-quality analysis results.

#### Statistical analysis

All statistical analyses were conducted using Stata software (version 12.0). The correlation of HOXA-AS2 expression with survival and clinicopathological features of tumor patients was assessed using HRs and odds ratios (ORs) with their 95% CIs, respectively. The chi-squared test and  $I^2$  statistic were preferred to determine the heterogeneity between studies. If there is strong

heterogeneity ( $P_Q < 0.1$ ,  $I^2 > 50\%$ ), we considered the randomeffect model was applied, and the fixed-effect model was applied otherwise. All results are shown as Forest plots. Egger's test and Begg's funnel plot were used to evaluate publication bias, and sensitivity analysis was conducted to evaluate the robustness of the results.

### Public data and tools

HOXA-AS2 expression levels in tumors and normal tissues of different solid tumors were analyzed by the Gene Expression Profiling Interactive Analysis (GEPIA, http://gepia.cancer-pku.cn) online database (based on TCGA and GTEx databases) (cutoff, p < 0.01). The survival outcomes were then verified by plotting the correlation between HOXA-AS2 expression and OS as a KM curve. Moreover, we further explored the prognostic value of HOXA-AS2 in various cancers using the Biomarker Exploration of Solid Tumors (BEST, https://rookieutopia.com) online tool. Additionally, we further explored the correlation between HOXA-AS2 and drug response through the CellMiner database (Reinhold et al., 2012) using the R-package "readxl", "impute" and "limma" options.

## Correlation of HOXA-AS2 expression with tumor immunity

Initially, we analyzed the relationship between HOXA-AS2 expression and the level of immune cell infiltration (ICI) in various cancers based on the R packages "ggExtra", "ggpubr" and "ggplot2" via the CIBERSORT tool. Next, stromal and immune scores were calculated for each tumor sample using the ESTIMATE algorithm. The correlation between HOXA-AS2 expression and tumor microenvironment (TME) was assessed by the R package "ESTIMATE" and "limma". In addition, the relation of HOXA-AS2 expression and tumor microsatellite instability (MSI) and immune checkpoint genes were further evaluated. TMB scores were calculated by Perl scripts, and MSI scores were determined from TCGA database mutation data. The results were visualized using the R package "RColorBrewer" and "reshape2".

### Analysis of HOXA-AS2-related genes and construction of signaling pathway network

To further investigate the value of HOXA-AS2, we obtained related genes from the MEM-Multi Experiment Matrix database. Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) analyses were carried out. The top findings with a *p*-value of less than 0.05 were deemed significant. Finally, we used Cytoscape software to create a signal pathway network.



## Results

## Identification of articles

A total of 157 records were found in four electronic databases (Embase = 57, PubMed = 47, Web of Science = 53, The Cochrane Library = 0). Ninety-five duplicate articles were deleted using Endnote X9 software. After screening the titles and abstracts, 34 articles were excluded because they were not associated with the review topic or as a result of reviews, meta-analysis, letters, or expert opinions. Hence, a full-text examination was conducted for 28 articles. One article was excluded because we were unable to extract data. Two papers

were eliminated because they were reviews, and five articles were eliminated because they were cell-based studies. In the end, 20 articles were included in the final meta-analysis (Figure 1).

### Characteristics of the included articles

All selected articles were published between 2015 and 2021 and included 1331 patients, all of whom were from China. The smallest sample size was 27, and the largest sample size was 128. Among the twenty studies, one focused on LGG (Wu et al., 2019), one on CC (Chen and He, 2021),

| CDM  |  | B  |   | <b>C</b>   |                                       | E                                |                                       |
|--|--|--|---|--|---------------------------------------|----------------------------------|---------------------------------------|
| GBM  | Cox regression anlaysis  | STAD   | Cox regression anlaysis                 | LGG  | Cox regression anlaysis               | SKCM                             | Cox regression anlaysis               |
| OS_GSE15824 -  |  | OS_GSE84426-                                     |   | - OS_GSE15824                                    |                                       | OS_GSE133713 -                   |                                       |
| 5_E_TABM_898-  |  | OS_GSE26899-                                     |   | OS_CGGA_693-                                     | *                                     | OS_GSE46517 -                    |                                       |
| _E_MTAB_3892 -   |  | OS_GSE62254 -<br>OS_GSE26901 -                   |   | OS_TCGA_LGG-                                     | *                                     | OS_GSE54467 -                    |                                       |
| OS_GSE74187 -  |  | OS_GSE15459-                                     |   | OS_CGGA_325-                                     | *                                     | OS_GSE99898-                     |                                       |
| OS_GSE108474 -   |  | OS_GSE183136-                                    |   | OS_GSE108474-                                    |                                       | OS_GSE22154 -                    |                                       |
| OS_GSE83300-   |  | OS_GSE57303-                                     |   |  |                                       | OS_GSE190113-                    |                                       |
| OS_GSE43289-   |  | OS_GSE84437 -                                    |   | OS_GSE16011 -                                    |                                       | OS_GSE19234 -                    |                                       |
| OS_CGGA_325-   | <del></del>  | OS_GSE14208-                                     |   | OS_E_MTAB_3892 -                                 |                                       | OS_GSE22153-                     |                                       |
| DS_CGGA_693  |  | OS_GSE84433-                                     |   |  | i i io io<br>Hazard ratio             | OS_TCGA_SKCM-                    |                                       |
| OS_GSE16011-   |  | OS_GSE29272 -                                    |   |  |                                       | RFS_GSE133713 -                  |                                       |
| OS_GSE4271-  | ······································   | OS_TCGA_STAD                                     |   | _  | OS                                    | DSS_GSE65904 -                   |                                       |
| OS_GSE4412-  |  | OS_GSE13861 -                                    |   | D  |                                       | DSS_TCGA_SKCM-                   |                                       |
| S_TCGA_GBM-  |  | OS_GSE28541 -                                    |   | ACC  | Cox regression anlaysis               | PFS_GSE65904 -                   |                                       |
| OS_GSE43378-   |  | OS_GSE34942 -                                    |   | ACC  | Cox regression aniaysis               | PFS_GSE99898-                    |                                       |
| OS_GSE13041-   |  | OS_GSE38749                                      |   | OS_TCGA_ACC-<br>OS_GSE19750-                     | -                                     | PFS_TCGA_SKCM-                   |                                       |
| OS_GSE7696-  |  | DFS_TCGA_STAD                                    |   | OS_GSE19750 -<br>OS_GSE33371                     |                                       |                                  | 1 2 3                                 |
| OS_GSE42669 -  |  | RFS_GSE26899                                     |   | OS_GSE10927                                      |                                       |                                  | Hazard ratio                          |
| OS_GSE33331  |  | RFS_GSE26901 -                                   |   | DFS_TCGA_ACC-                                    |                                       |                                  | OS RFS DSS PI                         |
| S_TCGA_GBM-  |  | RFS_GSE13861-                                    |   | DFS_GSE76021-                                    |                                       |                                  |                                       |
| PFS_GSE74187 -   |  | DSS_TCGA_STAD                                    |   | DFS_GSE76019-                                    |                                       |                                  |                                       |
| PFS_GSE42669-  |  | PFS_TCGA_STAD -                                  | ·····                                   | DSS_TCGA_ACC-                                    |                                       |                                  |                                       |
| S_TCGA_GBM-  |  | PFS_GSE14208 -                                   |   | PFS_TCGA_ACC-                                    |                                       |                                  |                                       |
|  | 0.5 1.0 3.0 s.o<br>Hazard ratio  |  | 0.5 1.0 2.0<br>Hazard ratio             | 0.5  | s 10 30<br>Hazard ratio               | J                                |                                       |
|  |  |  |   |  |                                       | CRC                              | Cox regression anlaysis               |
|  | OS DSS PFS   |  | OS 🗉 DFS 📮 RFS 📕 DSS 📕 F                |  | OS DFS DSS PFS                        | OS_GSE41258-                     |                                       |
|  |  | G  |   | н  |                                       | OS_TCGA_CRC-                     |                                       |
| DDCA   | Compared and the sector of the | TITAD  |   |  | 0                                     | OS_GSE39582 -                    | <u>15.</u>                            |
| BRCA   | Cox regression anlaysis  | LUAD   | Cox regression anlaysis                 | BLCA   | Cox regression anlaysis               | OS_GSE12945-                     |                                       |
| OS_GSE42568 -  |  | OS_GSE29013 -                                    | •••••                                   | OS_GSE39281-                                     |                                       | OS_GSE71187 -                    |                                       |
| OS_GSE20711-   |  | OS_GSE30219-                                     |   | OS_GSE31684 -<br>OS GSE48075 -                   | -                                     | OS_GSE17536-                     |                                       |
| OS_GSE20685-   |  | OS_GSE37745-                                     |   | OS_GSE48075-<br>OS_TCGA_BLCA-                    |                                       | OS_GSE106584 -                   |                                       |
| OS_GSE48390-   |  | OS_GSE3141 -                                     |   | OS_GSE13507 -                                    |                                       |                                  |                                       |
| OS_GSE162228-  |  | OS_TCGA_LUAD-                                    |   | OS_GSE19423 -                                    |                                       | OS_GSE103479-                    |                                       |
| S_TCGA_BRCA-   | ····   | OS_GSE68465 -                                    |   | OS_GSE37815                                      | *                                     | OS_GSE29621-                     |                                       |
| OS_GSE58812-   |  | OS_GSE14814 -                                    |   | DFS_TCGA_BLCA-                                   |                                       | OS_GSE39084 -                    |                                       |
| OS_GSE88770-   |  | OS_GSE13213 -                                    |   | RFS_GSE31684 -                                   |                                       | OS_GSE17537 -                    |                                       |
| OS_GSE7390-  |  | OS_GSE50081 -                                    |   | RFS_GSE154261-                                   |                                       | OS_GSE72970-                     |                                       |
| OS_GSE9893-  |  | OS_GSE11969-                                     |   | DSS_TCGA_BLCA-                                   |                                       | DFS_GSE143985 -                  |                                       |
| DFS_GSE61304-  |  | OS_GSE19188-                                     | I I I I I I I I I I I I I I I I I I I   | PFS_TCGA_BLCA-                                   | · · · · · · · · · · · · · · · · · · · | DFS_GSE92921 -                   |                                       |
| OFS_GSE21653-  |  |  |   | PFS_GSE154261-                                   |                                       | DFS_TCGA_CRC-                    | · · · · · · · · · · · · · · · · · · · |
| DFS_GSE12093-  |  | OS_GSE72094 -                                    |   |  | 0.1 0.3 1.0<br>Hazard ratio           | DFS_GSE38832 -                   |                                       |
| DFS_GSE45255 -   |  | OS_GSE42127 -                                    |   |  | Hazaru fallo                          | DFS_GSE14333 -                   |                                       |
| S_GSE162228-   |  | OS_GSE41271-                                     |   |  | OS DFS RFS DSS PFS                    | DFS_GSE161158 -                  |                                       |
| RFS_GSE42568-  |  | OS_GSE29016-                                     | •••••                                   |  |                                       | RFS_GSE12945-                    |                                       |
|  |  | OS_GSE11117 -                                    | •                                       |  |                                       | RFS_GSE31595-                    |                                       |
| RFS_GSE25065 -<br>RFS_GSE58812 -   |  | OS_GSE26939 -                                    |   | 1  |                                       | RFS_GSE39582                     |                                       |
|  |  | OS_GSE31210-                                     | •••••                                   | GADC   |                                       | RFS_GSE87211-                    |                                       |
| RFS_GSE7390  |  | OS_GSE31546                                      |   | . SARC   | Cox regression anlaysis               | RFS_GSE17537 -                   |                                       |
| RFS_GSE20711-  |  | DFS_GSE29013-                                    |   | OS_GSE17679-                                     |                                       | RFS_GSE41258                     |                                       |
| RFS_GSE11121-  |  | DFS_GSE30219-                                    |   | OS_TCGA_SARC -                                   |                                       | RFS_GSE29621 -                   |                                       |
|  |  | DFS_GSE50081-                                    |   | OS_GSE16091 -                                    |                                       | RFS_GSE39084 -                   |                                       |
|  | ·····  | DFS_TCGA_LUAD-                                   |   | OS_GSE21257 -                                    |                                       | RFS_GSE106584 -                  |                                       |
| RFS_GSE88770-  |  | DFS_GSE14814 -                                   |   | OS_E_TABM_1202                                   |                                       | RFS_GSE17536                     |                                       |
| RFS_GSE88770-<br>RFS_GSE22219-   |  | RFS_GSE37745-                                    |   | DFS_GSE17679-                                    | · · · · · · · · · · · · · · · · · · · | RFS_GSE103479-                   |                                       |
| RFS_GSE88770-<br>RFS_GSE22219-<br>S_TCGA_BRCA-   |  |  | ī                                       | DFS_TCGA_SARC+                                   |                                       | DSS_GSE38832-                    |                                       |
| RFS_GSE88770-<br>RFS_GSE22219-<br>S_TCGA_BRCA-   |  |  | 1                                       | RFS_GSE30929-                                    |                                       | DSS_TCGA_CRC-                    |                                       |
| RFS_GSE88770<br>RFS_GSE22219<br>S_TCGA_BRCA -<br>RFS_GSE45255 -  |  | RFS_GSE8894 -                                    |   | DSS_TCGA_SARC-                                   |                                       | DSS_GSE87211-                    |                                       |
| RFS_GSE88770 -<br>RFS_GSE22219 -<br>S_TCGA_BRCA -<br>RFS_GSE45255 -<br>RFS_GSE17705 -  |  | RFS_GSE41271 -                                   | •••••                                   | D35_TCGA_SARC*                                   |                                       |                                  |                                       |
| RFS_GSE88770-<br>RFS_GSE22219-<br>S_TCGA_BRCA-<br>RFS_GSE45255-<br>RFS_GSE17705-<br>RFS_GSE9893-                                     |  | RFS_GSE41271 -<br>RFS_GSE31210 -                 |   | PFS_TCGA_SARC-                                   |                                       | DSS_GSE17536-                    |                                       |
| RFS_GSE25055   RFS_GSE88770   RFS_GSE2219   S_TCGA_BRCA-   RFS_GSE45255   RFS_GSE45255   RFS_GSE9893-   S_TCGA_BRCA-   S_S_GSE45255- |  | RFS_GSE41271-<br>RFS_GSE31210-<br>DSS_TCGA_LUAD- |   |  |                                       | DSS_GSE17536-<br>PFS_TCGA_CRC-   |                                       |
| RFS_GSE88770   RFS_GSE22219   S_TCGA_BRCA   RFS_GSE45255   RFS_GSE45255   RFS_GSE17705   RFS_GSE98933   S_TCGA_BRCA   S_TCGA_BRCA    |  | RFS_GSE41271 -<br>RFS_GSE31210 -                 |   | PFS_TCGA_SARC -<br>PFS_GSE21050 -                |                                       | PFS_TCGA_CRC                     |                                       |
| RFS_GSE88770   RFS_GSE22219   S_TCGA_BRCA   RFS_GSE45255   RFS_GSE17705   RFS_GSE98933   S_TCGA_BRCA                                 | 05   | RFS_GSE41271-<br>RFS_GSE31210-<br>DSS_TCGA_LUAD- | 16-50 16-26 16-22 16-22                 | PFS_TCGA_SARC-                                   |                                       | PFS_TCGA_CRC -<br>PFS_GSE72970 - |                                       |
| RFS_GSE88770   RFS_GSE22219   S_TCGA_BRCA   RFS_GSE45255   RFS_GSE45255   RFS_GSE17705   RFS_GSE98930   GS_TCGA_BRCA   S_TCGA_BRCA   | eis tip zio  | RFS_GSE41271-<br>RFS_GSE31210-<br>DSS_TCGA_LUAD- | 1e-59 te-28 te-92 te-32<br>Hazard ratio | PFS_TCGA_SARC-<br>PFS_GSE21050-<br>PFS_GSE71118- | Hazard ratio                          | PFS_TCGA_CRC -<br>PFS_GSE72970 - |                                       |

The correlation between HOXA-AS2 expression and survival in different tumor types was analyzed in BEST. Cox regression analysis of (A) GBM, (B) STAD, (C) LGG, (D) ACC, (E) SKCM, (F) BRCA, (G) LUAD, (H) BLCA, (I) SARC, (J) CRC. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001.

one on OSCC (Chen et al., 2021), three on LC (Li and Jiang, 2017; Cui et al., 2019; Liu et al., 2019), two on CRC (Li et al., 2016; Ding et al., 2017), one on BC (Fang et al., 2017), two on TC (Xia et al., 2018; Jiang et al., 2019), three on HCC (Wang et al., 2016; Zhang et al., 2018; Lu et al., 2020), two on OSA (Wang et al., 2018; Wang L. et al., 2019), one on PCa (Xiao and Song, 2020), one on GC (Xie et al., 2015), one on bladder cancer (Wang F. et al., 2019), and one on AML (Qu et al.,

2020). The expression of the indicated genes in cancer tissues was measured by RT-qPCR. All eligible studies were dichotomized into low and high HOXA-AS2 expression groups based on a cut-off value. The follow-up time ranged from 25 to 120 months. All included studies were cohort studies, 65% (13/20) of which reported OS rates. The main characteristics of the eligible studies are shown in Table 1.

### Association of HOXA-AS2 with OS

A total of 834 patients in 13 studies reported a link between HOXA-AS2 expression and OS. Since there was no significant heterogeneity between the studies, a fixedeffects model was used to calculate the HR and 95% CI. The pooled HR was 2.06, which indicated that HOXA-AS2 overexpression predicted poor OS in these patients with neoplasms (Figure 2A). Furthermore, KM survival analysis was applied to determine OS in different subgroups of patients according to tumor type (digestive system, respiratory system, others) (Figure 2B), sample size (n  $\geq$ 60 or n < 60) (Figure 2C), follow-up time ( $\geq$ 60 months or < 60 months) (Figure 2D), and NOS score (NOS scores  $\geq$  7 or < 7) (Figure 2E). As depicted in Table 2, higher HOXA-AS2 expression levels were significantly associated with worse OS.

## Association between HOXA-AS2 and clinicopathologic parameters

Correlations between HOXA-AS2 expression and the clinicopathological features of the patients are shown in Table 3. The meta-analysis results showed that higher HOXA-AS2 expression levels tended to be significantly associated with advanced tumor stage (OR = 3.89, 95% CI 2.90-5.21, p < 0.001) (Figure 3C), earlier LNM (OR = 3.48, 95% CI 2.29–5.29, *p* < 0.001) (Figure 3D), larger tumor size (OR = 2.36, 95% CI 1.52-3.66, p < 0.001) (Figure 3E) and earlier distant metastasis (OR = 3.54, 95% CI 2.00-6.28, p <0.001) (Figure 3H). However, age (OR = 1.09, 95% CI 0.86-1.38, p = 0.467) (Figure 3A), gender (OR = 0.92, 95%) CI 0.72–1.18, *p* = 0.496) (Figure 3B), depth of invasion (OR = 2.13, 95% CI 0.77-5.90, p = 0.146) (Figure 3G), and differentiation (OR = 1.02, 95% CI 0.65-1.59, p = 0.945) (Figure 3F), had no significant link with increased HOXA-AS2 expression levels.

#### Publication bias and sensitivity analysis

We employ Begg's funnel plot and Egger's regression test to identify publication bias of OS. The shape of Begg's funnel was essentially symmetrical, with no visible asymmetry (Figure 4A), and Egger's regression analysis did not reveal the presence of publication bias (Pr > |t| = 0.971). We ran a sensitivity analysis by eliminating one qualified study to analyze the influence of a single study on the result. According to the analysis, the results were not significantly influenced (Figure 4B). This verifies the reliability of the meta-analysis conclusions.

# Validation of HOXA-AS2 expression in public databases

We used the TCGA dataset to analyze the degree of HOXA AS2 expression in various tumors to further corroborate our results. HOXA-AS2 was aberrantly expressed in glioblastoma multiforme myeloid leukemia (LAML), pancreatic (GMB), acute adenocarcinoma (PAAD), and thymoma (THYM), compared to normal controls (Supplementary Figure S1A). A violin plot revealed that the degree of HOXA-AS2 expression in human cancer was highly related to the clinical stage (Supplementary Figure S1B). We used GEPIA to create survival graphs by combining HOXA-AS2 expression data with the OS data of patients with malignancies in the entire TCGA dataset, which included 9491patients separated into high (4741) and low (4750) groups of HOXA-AS2 expression based on median levels. The results showed that increased HOXA-AS2 expression predicted poor OS, confirming the meta-analysis results (Supplementary Figure S1C). Additionally, we explored the link of HOXA-AS2 expression and tumor prognosis using Cox regression model through the BEST online tool. The findings revealed that there was a significant correlation between HOXA-AS2 expression and the prognosis of GBM, stomach adenocarcinoma (STAD), LGG, adrenocortical carcinoma (ACC), skin cutaneous melanoma (SKCM), breast invasive carcinoma (BRCA), lung adenocarcinoma (LUAD), bladder urothelial carcinoma (BLCA), sarcoma (SARC), and CRC in at least two datasets (Figure 5).

### HOXA-AS2 and drug response

To further explore the significance of HOXA-AS2 in guiding cancer treatment, we analyzed the relationship between HOXA-AS2 and drug response. The results revealed that patients with high HOXA-AS2 expression had a better drug response to XL–147, Cpd–401, cordycepin, fenretinide, estramustine, and arsenic trioxide. In contrast, AS–703569, ENMD–2076, SB–1317, benzaldehyde (BEN), staurosporine, aminoflavone, amonafide, midostaurin, sapitinib, and KW–2449 had a better drug response in patients with low HOXA-AS2 expression (Figure 6).

# Correlation analysis of HOXA-AS2 expression with tumor immunity

Correlation analysis between HOXA-AS2 expression and ICI levels identified remarkable correlations between HOXA-AS2 expression and ICI levels in BRCA (n = 7), kidney renal papillary cell carcinoma (KIRP) (n = 6), kidney renal clear cell carcinoma (KIRC) (n = 5), head and Neck squamous cell carcinoma (HNSC) (n = 4), STAD (n = 4), thyroid carcinoma (THCA) (n = 3), testicular germ cell tumors (TGCT) (n = 3), BLCA (n = 4)



3), LGG (n = 3), THYM (n = 2), ovarian serous cystadenocarcinoma (OV) (n = 2), esophageal carcinoma (ESCA) (n = 2), prostate adenocarcinoma (PRAD) (n = 1), mesothelioma (MESO) (n = 1), lung squamous cell carcinoma (LUSC) (n = 1), LAML (n = 1), and cervical squamous cell carcinoma and endocervical adenocarcinoma (CESC) (n=1). Detailed information on the subpopulations of infiltrating immune cells in various cancer types is illustrated in Figure 7. HOXA-AS2 expression was negatively correlated with the levels of infiltrating M0 macrophages in ESCA, NHSC, CESC, THCA, BRCA, LUSC, and BLCA (Figure 7A). Similarly, HOXA-AS2 expression was negatively associated with the levels of infiltrating neutrophils in STAD, HNSC, KIRC, and BRCA (Figure 7B). In regard to monocytes, their infiltration levels were negatively correlated with the HOXA-AS2 expression in LGG (Figure 7C). HOXA-AS2 expression was also negatively

related to the levels of infiltrating M1 macrophages in BLCA, and KIRP (Figure 7D). Moreover, HOXA-AS2 expression was negatively correlated with the levels of infiltrating M2 macrophages in BRCA, but positively associated with TGCT (Figure 7E). HOXA-AS2 expression was positively associated with the levels of infiltrating naive B cells in STAD, OV, and BRCA, but positively associated with KIRP and TGCT (Figure 7F). HOXA-AS2 expression was negatively correlated with the infiltrating levels of activated CD4 memory T cells in BLCA, KIRC, KIRP, STAD, TGCT, and THYM (Figure 7G). Furthermore, HOXA-AS2 expression presented a positive relationship with the levels of infiltrating plasma cells in BRCA (Figure 7H). The levels of infiltrating CD8 T cells were positively associated with HOXA-AS2 expression in PRAD, HNSC, and BRCA, but negatively related in KIRP (Figure 7I). A positive association with infiltrating follicular helper T cells



#### FIGURE 7

Correlation between HOXA-AS2 gene expression and the level of immune cell infiltration in pan-cancerous tissues. HOXA-AS2 expression significantly correlated with infiltrating levels of M0 macrophages in BLCA, BRCA, CESC, ESCA, NHSC, LUSC, and THCA (A), neutrophils in BRCA, HNSC, KIRC, and STAD (B), monocytes in LGG (C), M1 macrophages in BLCA and KIRP (D), M2 macrophages in BRCA and TGCT (E), naive B cells in BRCA, KIRP, OV, STAD, and TGCT (F), CD4 memory T cells in BLCA, KIRP, STAD, TGCT, and THYM (G), plasma cells in BRCA (H), CD8 T cells in BRCA, HNSC, KIRC, MESO, and THCA (L), resting DCs in BRCA (M), activated mast cells in LGG (N), resting mast cells in KIRC, KIRP, LAML, STAD, and THYM (O), memory B cells in OV and THCA (P).

was identified in KIRC (Figure 7J). The levels of infiltrating resting CD4 memory T cells were positively associated with HOXA-AS2 expression in KIRP, and LGG (Figure 7K). HOXA-AS2 expression was negatively associated with the

levels of infiltrating activated dendritic cells in MESO, HNSC, KIRC, ESCA, and THCA (Figure 7L). Moreover, HOXA-AS2 expression was positively associated with the levels of infiltrating resting DCs in BRCA (Figure 7M). The levels of infiltrating



activated mast cells were negatively associated with HOXA-AS2 expression in LGG (Figure 7N). In contrast, the levels of infiltrating resting mast cells were positively correlated with HOXA-AS2 expression in THYM, STAD, and KIRP, but negatively related in KIRC and LAML (Figure 7O). The levels of infiltrating memory B cells were positively associated with HOXA-AS2 expression in THCA, but negatively associated in OV (Figure 7P).

Furthermore, to explore its relationship with the TME, we analyzed the association of HOXA-AS2 expression with stromal and immune scores. Our findings showed that HOXA-AS2 expression correlated with the stromal scores of 13 cancers, the top 6 tumors were BRCA, pheochromocytoma and paraganglioma (PCPG), THCA, LGG, TGCT, and LUAD (Figure 8A); and with the immune scores of 11 cancers, the top 6 tumors were PRAD, LGG, BRCA, THCA, PCPG, and LUAD (Figure 8B).

Moreover, the correlation of HOXA-AS2 expression with immune checkpoint genes showed that CD44, CD40, VSIR, LGALS9, TNFRSF14 and TNFRSF25 were significantly associated with HOXA-AS2 expression in several cancers, especially in LGG, PRAD, LUAD, THCA, and HNSC (Figure 8C). We evaluated the relation between HOXA-AS2 expression and TMB/MSI as well, and found that there was a significant positive correlation between its expression and TMB in LGG, THYM, KIRC, and HNSC, while there was a significant negative correlation in STAD, BRCA, colon adenocarcinoma (COAD), PRAD, SKCM, BLCA, uterine carcinosarcoma (UCS), and PCPG (Figure 8D). Also, there was a significant positive correlation between HOXA-AS2 expression and MSI in kidney chromophobe (KICH) and BRCA, while there was a significant negative correlation in COAD and STAD (Figure 8E).

### Analysis of HOXA-AS2-related genes

The top 150 genes were screened co-expressed by HOXA-AS2 using the MEM-Multi Experiment Matrix database. HOXA2, HOXA5, and HOXA3 were the top three coexpressed genes ranked by *p*-value and interrelated with HOXA-AS2 expression (Supplementary Figure S2). In



#### FIGURE 9

GO terms and the KEGG pathway. (A) GO enrichment of target genes in biological process ontolagy (p < 0.05). (B) GO enrichment of target genes in cellular component ontology (p < 0.05). (C) GO enrichment of target genes in molecular function ontology (p < 0.05). (D) The top 8 pathways related to the differentially expressed genes by the KEGG database analysis. BP, biological process; CC, cellular component GO, gene ontology analysis; KEGG, Kyoto Encyclopedia of Genes and Genomes; MF, molecular function.

#### TABLE 4 Gene ontology analysis of HOXA-AS2-related genes.

| GO<br>number | Description  | Genes   | P<br>Value |
|--------------|--|---|------------|
| GO:0009952   | anterior/posterior pattern specification                             | RARG, NR2F2, HOXA10, HOXA9, HOXA3, HOXB4, HOXB3, HOXA2, HOXB2,<br>HOXA7, HOXA6, HOXB7, HOXA5, HOXB6, HOXB5                  | 2.25E-18   |
| GO:0048704   | embryonic skeletal system morphogenesis                              | HOXA3, HOXB4, HOXB3, HOXB2, HOXA7, HOXB7, HOXA6, HOXB6, HOXA5, HOXB5  | 2.37E-13   |
| GO:0043565   | sequence-specific DNA binding  | RARG, NR2F2, MEIS2, HOXA10, HOXA9, HOXA3, HOXB4, HOXB3, RARB, HOXB2, HOXA1, HOXA7, HOXA6, HOXB7, HOXA5, HOXB6, HOXA4        | 3.81E-09   |
| GO:0001525   | Angiogenesis   | LAMA5, TGFB2, MEIS1, NRP2, ID1, HOXA3, HOXB3, EPHB2, HOXA7  | 1.56E-05   |
| GO:0005604   | basement membrane  | LAMA5, CCDC80, COL4A1, NTN4, P3H2, FBLN1  | 3.18E-05   |
| GO:0060216   | definitive hemopoiesis   | MEIS1, HOXA9, HOXB4, HOXB3  | 6.45E-05   |
| GO:0003700   | transcription factor activity, sequence-specific DNA binding         | RARG, NR2F2, MEIS2, MECOM, ID1, HOXA3, HOXB4, HOXB3, HOXB2, HOXA6, HOXB7, HOXA5, TEAD2, HOXB6, HOXA4                        | 0.000228   |
| GO:0045944   | positive regulation of transcription from RNA polymerase II promoter | WWTR1, RARG, MEIS2, CYR61, EGFR, HOXA10, MEIS1, HOXB4, RARB, HOXA2, HOXA7, MET, HOXA5, TEAD2, HOXB5                         | 0.000338   |
| GO:0007435   | salivary gland morphogenesis   | TGFB2, TWSG1, EGFR  | 0.000511   |
| GO:0005576   | extracellular region   | LAMA5, TGFB2, NRP2, C1R, CFI, NTN4, FBLN1, LTBP3, TFPI, CYR61, CYB5D2,<br>BMP1, COL4A1, PDGFC, SERPING1, IGFBP6, EPHB2, MET | 0.000943   |

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addition, GO and KEGG pathway analyses were performed to explore the underlying molecular mechanisms (Figure 9, Table 4), and a signaling pathway network was constructed using Cytoscape software (Figure 10).

## Discussion

According to global cancer statistics 2020, there were approximately 19.3 million new cancer cases and

10.0 million cancer deaths worldwide (Sung et al., 2021). Despite the variety of treatments available today, cancer has a high rate of recurrence and death, resulting in increased costs and poor patient prognosis (Huang et al., 2020). For most types of cancer, early detection and treatment improve prognoses. LncRNA was recently found to have huge clinical value in the early diagnosis and novel treatment of patients with cancer (Dunn et al., 2010). Numerous studies showed that lncRNAs were involved in a variety of physiological and pathological processes and had



important effects on tumorigenesis and tumor growth (Renganathan and Felley-Bosco, 2017). For instance, prostate cancer-associated transcript 6 (PCAT6) was significantly increased in various cancers. The overexpression of PCAT6 was closely correlated with OS, TNM stage, distant metastasis, LNM, tumor size, and the degree of differentiation in cancer patients (Shi et al., 2021), which may be a new cancer-related biomarker. In recent years, numerous studies found that the HOXA-AS2 was overexpressed in a variety of tumor types, such as CC (Chen and He, 2021), OSCC (Chen et al., 2021), PCa (Xiao and Song, 2020) and so on. The function of HOXA-AS2 in many malignancies has yet to be realized. Thus, we conducted the current meta-analysis to assess the predictive and clinical importance of HOXA-AS2 aberrant expression in cancer patients.

Our meta-analysis found that HOXA-AS2 overexpression was related to a lower chance of survival. We also examined the connection between HOXA-AS2 overexpression and several clinicopathological features. HOXA-AS2 overexpression was associated with tumor stage, LNM, tumor size and distant metastasis. However, there were no significant associations

| Cancer                          | Expression | Functional role  | Related genes                               | References               |
|---------------------------------|------------|--|---|--------------------------|
| Oral squamous cell<br>Carcinoma | Upregulate | Cell proliferation and migration                       | miR-567/CDK8                                | Chen et al. (2021)       |
| Colorectal cancer               | Upregulate | Cell proliferation and apoptosis                       | p21 and KLF2                                | Li et al. (2016)         |
|                                 |            |  |   | Ding et al. (2017)       |
| Breast cancer                   | Upregulate | Cell proliferation                                     | miR-520c-3p                                 | Fang et al. (2017)       |
| Non-small cell lung cancer      | Upregulate | Cell migration, invasion, proliferation, metastasis    | miR-520a-3p                                 | Li and Jiang, (2017)     |
|                                 |            |  |   | Cui et al. (2019)        |
|                                 |            |  |   | Liu et al. (2019)        |
| Acute myeloid leukemia          | Upregulate | Cell proliferation, invasion                           | SOX4/PI3K/AKT                               | Qu et al. (2020)         |
| Hepatocellular Carcinoma        | Upregulate | Cell migration, invasion                               | p-AKT, MMP-2 and MMP-9/miR-520c-3p/<br>GPC3 | Wang et al. (2016)       |
|                                 |            |  |   | Zhang et al. (2018)      |
|                                 |            |  |   | Lu et al. (2020)         |
| Bladder cancer                  | Upregulate | Cell proliferation, invasion                           | miR-125b/Smad2                              | Wang et al. (2019a)      |
| Osteosarcoma                    | Upregulate | Cell migration and invasion                            | miR-124-3p/E2F3                             | Wang et al. (2018)       |
|                                 |            |  |   | Wang et al. (2019b)      |
| Glioma                          | Upregulate | Cell proliferation and invasion and promoted apoptosis | RND3  | Xie et al. (2015)        |
| Thyroid                         | Upregulate | Cell migration and invasion                            | miR-520c-3p/S100A4                          | Xia et al. (2018)        |
|                                 |            |  |   | Jiang et al. (2019)      |
| Prostate cancer                 | Upregulate | Cell proliferation, migration, invasion and EMT        | miR-509-3p/PBX3                             | Xiao and Song,<br>(2020) |
| Gastric cancer                  | Upregulate | Cell proliferation and apoptosis                       | P21/PLK3/DDIT3                              | Xie et al. (2015)        |
| Cervical cancer                 | Upregulate | Cell proliferation migration, invasion                 | miR-509-3p/BTN3A1                           | Chen and He, (2021)      |

TABLE 5 Summary of HOXA-AS2 functional roles and related genes.

with age, gender, differentiation, or depth of invasion. Furthermore, we performed further analysis of the prognostic role of HOXA-AS2 in various cancers using several public databases. Among them, Cox regression analysis indicated that HOXA-AS2 had a better prognostic value in GBM, STAD, LGG, ACC, SKCM, BRCA, LUAD, BLCA, SARC, and CRC. These results suggest that HOXA-AS2 is a predictor of poor prognosis in cancer patients.

Our findings demonstrated a strong correlation between HOXA-AS2 expression and immunity in multiple tumors. Tumor-infiltrating immune cells play an irreplaceable role in tumor development. Previous research has shown that HOXA-AS2 could influence glioma progression by regulating Treg cell proliferation and immune tolerance (Zhong et al., 2022). We found that HOXA-AS2 was associated with multiple infiltrating immune cells in a variety of tumors. However, the regulatory mechanism of HOXA-AS2 on ICI still remains to be further confirmed by abundant experiments. The interaction between TME and tumor cells is decisive for tumor survival and progression. Immune cells and stromal cells are key components of the TME (Xiao and Yu, 2021). We found that HOXA-AS2 expression correlated with immune cell scores in 11 tumors and with stromal cell scores in 13 tumors. It indicates that HOXA-AS2 has an essential role in the TME. TMB and MSI are well-directed for tumor immunotherapy.

Our findings indicated that HOXA-AS2 expression correlated with TMB in 12 cancers and with MSI in 4 cancers. In summary, HOXA-AS2 may further influence the prognosis of cancer patients *via* modulation of tumor immunity.

Although HOXA-AS2 was demonstrated to be a major predictive factor for patients with various malignancies in several studies, the basic principle of how HOXA-AS2 caused cancer remains unknown. According to the results of this study, the overexpression of HOXA-AS2 can significantly aid cancer growth and metastasis. In contrast, inhibited HOXA-AS2 expression, significantly reduced cell proliferation, migration, and invasion, as well as the carcinogenesis process. In PCa, HOXA-AS2 exhibited a negative connection with miR-509-3p. The inhibition of HOXA-AS2 prevented PCa cells from proliferating and migrating (Xiao and Song, 2020). This suggested that HOXA-AS2 could be used as a therapeutic target to treat PCa. In addition, by decreasing miR-520c-3p expression, HOXA-AS2 enhanced the growth and spread of HCC (Wang et al., 2016). HOXA-AS2 was elevated in OSCC tissues and increased OSCC cell proliferation by sponging miR-567/CDK8 (Chen et al., 2021). Table 5 highlights the association between HOXA-AS2 and malignancies to investigate functionally associated genes.

We used the MEM-Multi Experiment Matrix database to predict target genes and perform the signaling pathway analysis of HOXA-AS2 to further investigate its value. HOXA2, HOXA5, and HOXA3, all of which play important roles in cancer, were strongly associated with HOXA-AS2 expression in our study. Following that, we conducted GO analysis, which indicated that the sequence-specific DNA binding, extracellular exosomes, and angiogenesis of HOXA-AS2 were all significantly related. HOXA AS2 was highly connected to cancer-associated pathways in KEGG analysis.

Nevertheless, this meta-analysis had several limitations. First, some HRs and the corresponding 95% CIs were extracted from KM curves. Second, the qualifying studies were all performed in China, so it is unclear whether the results can be generalized to patients in other countries. To address this limitation, we validated the correlation between HOXA-AS2 expression and prognosis of cancer patients in public databases. Third, the included studies were inconsistent in dividing expression according to cut-off values. Additionally, only a few trials were included, and some cancer types had very low sample sizes. Thus, more clinical investigations should be conducted to assess the potential prognostic role of HOXA-AS2 expression in cancer types that were not included.

## Conclusion

In summary, this meta-analysis found that HOXA-AS2 overexpression was linked to the poor prognosis of cancer patients and could be used as a new prognostic biomarker and therapeutic target for various malignancies. The predictive usefulness of HOXA-AS2 in tumors has to be confirmed in more studies, including other cancer types.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials, further inquiries can be directed to the corresponding authors.

## Author contributions

XL and WL conceived this study. FZ, MG, and XP were responsible for the collection, extraction, and analysis of the data.

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FZ, GZ, and HZ was responsible for writing the paper. DZ, XX, and FC performed the quality evaluation and completed data analysis. XL and WL reviewed the paper. All authors read and approved the final manuscript.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.944278/full#supplementary-material

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## M<sup>7</sup>G-related LncRNAs: A comprehensive analysis of the prognosis and immunity in glioma

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Today, numerous international researchers have demonstrated that N<sup>7</sup>methylguanosine (m<sup>7</sup>G) related long non-coding RNAs (m<sup>7</sup>G-related IncRNAs) are closely linked to the happenings and developments of various human beings' cancers. However, the connection between m<sup>7</sup>G-related IncRNAs and glioma prognosis has not been investigated. We did this study to look for new potential biomarkers and construct an m<sup>7</sup>G-related lncRNA prognostic signature for glioma. We identified those lncRNAs associated with DEGs from glioma tissue sequences as m<sup>7</sup>G-related lncRNAs. First, we used Pearson's correlation analysis to identify 28 DEGs by glioma and normal brain tissue gene sequences and predicated 657 m<sup>7</sup>G-related lncRNAs. Then, eight IncRNAs associated with prognosis were obtained and used to construct the m<sup>7</sup>G risk score model by lasso and Cox regression analysis methods. Furthermore, we used Kaplan-Meier analysis, time-dependent ROC, principal component analysis, clinical variables, independent prognostic analysis, nomograms, calibration curves, and expression levels of lncRNAs to determine the model's accuracy. Importantly, we validated the model with external and internal validation methods and found it has strong predictive power. Finally, we performed functional enrichment analysis (GSEA, aaGSEA enrichment analyses) and analyzed immune checkpoints, associated pathways, and drug sensitivity based on predictors. In conclusion, we successfully constructed the formula of m<sup>7</sup>G-related lncRNAs with powerful predictive functions. Our study provides instructional value for analyzing glioma pathogenesis and offers potential research targets for glioma treatment and scientific research.

#### KEYWORDS

glioma, IncRNA, m 7 G, prognosis model, immune analysis, therapy

## Introduction

Glioma is one of the most common aggressive and fatal primary tumors in the central nervous system, accounting for approximately 30% of cases (Mousavi et al., 2022). They are graded by the World Health Organization (WHO) as I to IV with increasing malignancy based on the histopathological characteristics of the tumor (Ostrom et al., 2019). Although genetic and molecular testing has brought advances in disease diagnosis, surgery, radiotherapy, and other comprehensive treatments have brought hope to patients; their prognosis is still poor. It is getting more severe economic pressure and burdening patients, their families, and society (Frances et al., 2022; Haddad et al., 2022). Thus, there is an urgent need to detect glioma-related biomarkers in our clinical care for early identification and diagnosis and to investigate new therapeutic approaches.

Although long non-coding RNA (lncRNA) is non-coding RNA that cannot be translated into protein RNA molecules, several reports have demonstrated that lncRNA regulates tumorigenesis and development (Yang et al., 2016; Chen et al., 2021). For example, LINC01503 promotes the cancer stem cell properties of glial cells by reducing the degradation of GLI2 (Wei et al., 2022). The lncRNA HOXA-AS2 can enhance the expression of KDM2A/JAG1, which can contribute to Treg cell proliferation and immune tolerance in gliomas and promote tumor development (Zhong et al., 2022). The downregulation of lncRNA TTTY15, which targets miR-4500, could regulate the proliferation and apoptosis of A172 glioma cells (Wang et al., 2022). LncRNA IRAIN overexpression inhibits glioma progression and temozolomide resistance by suppressing the PI3 K-related signaling pathway (Guo et al., 2022). LncRNA KB-1460A1.5 suppresses glioma development through the miR-130a-3p feedback loop (Xu et al., 2022). Despite some progress in previous studies, few biomarkers have been studied for lncRNA prognosis to differentiate patients. Therefore, we investigated the prognostic role of m<sup>7</sup>G-related lncRNAs in glioma by identifying m<sup>7</sup>G-related DEGs in glioma in order to be able to find more useful biomarkers for glioma.

N<sup>7</sup>-methylguanosine (m<sup>7</sup>G) refers to the methylation of guanosine at the N<sup>7</sup> position. m<sup>7</sup>G RNA modification is one of the most common posttranscriptional modifications; it is widely distributed in the 5'hat region of tRNA, rRNA, and eukaryotic mRNA and plays an essential role in gene expression, protein synthesis and transcriptional stability (Pei and Shuman, 2002; Jaffrey, 2014; Song et al., 2020). M<sup>7</sup>G can regulate the secondary structure of RNA or protein-RNA interaction through electrostatic and spatial effects (Furuichi, 2015). Current studies have demonstrated that almost every stage of the life cycle can be adjusted by m<sup>7</sup>G modifications, such as transcription (Pei and Shuman, 2002), mRNA splicing (Jiang et al., 2018), nuclear output (Lewis and Izaurralde, 1997), and translation (Marchand et al., 2018). The mutation of m<sup>7</sup>G

methyltransferase is related to many diseases. Mutations, knockouts, and overexpression of m7G-related genes, such as WD repeat domain 4 (WDR4), lead to microcephalic primordial dwarfism (Sauna and Kimchi-Sarfaty, 2011), Nervous system damage (Lin et al., 2018), and impairment of learning and memory abilities (Pereira et al., 2009). Furthermore, METTL1 is an author of m<sup>7</sup>G, essential for suppressing lung cancer cell migration through m7G editing on RAS and MYC driver genes (Balzeau et al., 2017; Pandolfini et al., 2019). Also, overexpression of mettl1 and bad prognosis of patients with liver cancer is associated with the downregulation of tumor suppressors in hepatocellular carcinoma (Barbieri et al., 2017; Tian et al., 2019). The tRNA N7-methylguanosine modification mediated by METTL1/WDR4 promotes the development of squamous cell carcinoma (Chen et al., 2022). Furthermore, METTL1-m7G-EGFR/EFEMP1 axis is a precise mechanism for bladder cancer development (Ying et al., 2021). Therefore, if we want a further biological understanding of the interaction between lncRNA and cancer, we must study m7G modifications and explore new prognostic and therapeutic markers. In this study, we constructed a formula based on m7G prognosis-related lncRNAs; and verified their outstanding performance in prognosis prediction. The lncRNAs associated with glioma prognosis were also identified, which may provide potential research directions for analyzing glioma's pathogenesis and clinical treatment.

## Materials and methods

### Patients and datasets

We downloaded glioma data (GBM and LGG) and normal brain tissue RNA transcriptome data from the Cancer Genome Atlas (TCGA) and the Genotype-Tissue Expression (GTEx) website (698 glioma samples and 1152 normal human brain samples, respectively). Validation data were available from the China Glioma Genome Atlas (CGGA,1018 glioma samples). Meanwhile, clinical information of glioma patients was downloaded from the TCGA and CGGA databases, and patients without follow-up data overall or an survival <30 days were excluded. Since the data in this study were obtained from public databases, ethics committee approval was not required according to the relevant regulations of the databases.

# Identify the expression of m<sup>7</sup>G-related genes

First, we obtained 3 genes from the published article about  $m^7G$  (Tomikawa, 2018; Pandolfini et al., 2019; Teng et al., 2021). Then we searched for three biological pathways related to  $m^7G$  in

GSEA and extracted genes involved in each pathway. After removing duplicate genes and summarizing the above genes, we obtained 29 genes. Then, we used Wilcoxon's method (FDR < 0.05,  $Log_2FC > 1$ ) to screen the genes with significant differences in the expression level between glioma and normal tissues based on these 29 genes. After deleting the genes with no significant differences, the remaining ones are m<sup>7</sup>G-related differentially expressed genes (m<sup>7</sup>G-related DEGs), and named them m<sup>7</sup>G-related genes (Supplementary Material S1). Expression of m<sup>7</sup>G-related DEGs samples were visualized using vioplot. Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) analysis implemented in R.

### Establishment of the risk signature

First, we performed co-expression analysis of 28 m<sup>7</sup>G-related genes and lncRNAs in the TCGA and GTEx glioma and normal brain tissue datasets, identifying 657 m<sup>7</sup>G-related lncRNAs (Pearson correlation coefficients >0.4, p < 0.001, Supplementary Material S2). Secondly, the prognostic relationship of m<sup>7</sup>G-related lncRNAs was assessed by univariate Cox regression (Supplementary Material S3). In the univariate analysis, the m<sup>7</sup>G-related lncRNAs with p < 0.01 (539 lncRNAs) were included in the least absolute shrinkage and selection operator (Lasso) regression. The results derived from Lasso regression were then incorporated into a multivariate Cox model to derive eight prognostic m<sup>7</sup>G-related lncRNAs and create the risk scores (RS)formula:

risk score =  $\sum_{i=1}^{n} \operatorname{coef} m7 \operatorname{GLnc}Sigi \times \operatorname{EXP} m7 \operatorname{GLnc}Sigi$ 

The "coef m7GLncsigi" in this "risk scores" formula represents the coefficient value, which is the regression coefficient of the 8 prognostic lncRNAs derived from the multifactorial regression analysis. The "EXP m7GLncSigi" in the formula represents the expression levels of the 8 m7Grelated lncRNAs. By using the RS formula, we can get the risk value of each patient. And after getting the risk value of all patients, we can find out the median risk value of the patients. According to the median value, we can determine the level of risk of the patients.

#### Validation of the risk scoring model

Kaplan-Meier (K-M) analysis, time-dependent ROC, principal component analysis (PCA), independent prognostic analysis, nomogram (1-, 3-, and 5-year), calibration curve and the expression level of lncRNAs are used to determine the accuracy of the model. In the CGGA validation sample, we applied the same intermediate values to assess the validity and reliability of our RS formula using the same way as above. We also use the same approach to randomly divide the TCGA data into two groups for internal validation.

## Functional annotation of prognostic m<sup>7</sup>G-related LncRNAs

We divided the patients into high-risk and low-risk groups based on the median risk score. GSEA (version 4.1.0, (p < 0.05 and FDR <0.25))was used for functional enrichment analysis (Subramanian et al., 2005). The infiltrating fraction of 16 immune cells and the activity of 13 immune-related pathways were measured by ssGSEA (Rooney et al., 2015). We also explored the relationship between risk scores and immune checkpoints in both risk groups (Yao et al., 2021).

#### Drug sensitivity correlation analysis

To find more drugs for the treatment of glioma, we focused on evaluating and predicting immune-related drugs. According to the online tool Cancer Drug Sensitivity Genomics, the IC50 of different drugs on glioma samples was predicted using the R package 'pRRophetic'. The main use of 'pRRophetic' is to predict phenotypes from gene expression data (to predict clinical outcomes using Cancer Genome Project CGP cell line data), to predict drug sensitivity in external cell lines (CCLE) and also for clinical data prediction.

#### Statistical analysis

This study used R software (version 4.1.2) and GSEA software for statistical analysis. Wilcoxon test was used to identify the expression levels of m7G-related DEGs in cancer and normal tissues. Survival curves were generated using the Kaplan-Meier method and compared using the log-rank test. Cox regression and Lasso regression were used to evaluate the prognostic influences of m7G-related lncRNA features.

## Results

# Differential expression and enrichment analysis of m<sup>7</sup>G-related genes

After analysis, we found that 28 m7G-related genes were significantly differentially expressed between glioma and normal tissues (Figure 1A). Specifically, *NUDT11*, *IFIT5*, *GEMIN5*, *METTL1*, *CYFIP1*, *NCBP1*, *WDR4*, *NUDT10*, *EIF3D*, *LARP1*, *DCP2*, *DCPS*, *AGO2*, *NCBP2*, *EIF4G3* and *LSM1* were highly expressed in tumor samples (p < 0.001). *NSUN2*, *NUDT4*, *EIF4E2*, *SNUPN*, *NCBP3*, *NUDT3*, *EIF4E3*, *EIF4E*, *NCBP2L*, *EIF4E1B*, *EIF4A1* and *NUDT4B* were lowly expressed in tumor samples (p < 0.001). The expression levels of *NUDT16* were not significantly different (p = 0.517) (Figure 1B). In addition, to further understand the intrinsic association between the 28 m<sup>7</sup>G-related genes, we also performed a correlation analysis. The results showed that the positive correlation between *GEMIN5* and *NCBP1* was the most significant, and the negative correlation between *GEMIN5* and *EIF4A1* was the most significant (Figure 1C). The above results



Expression of m<sup>2</sup>G-related mRNAs and prognostic m<sup>2</sup>G-Related lncRNAs. (A) Heat Map shows the expression levels of eight m<sup>2</sup>G-related mRNAs. (B) The vioplot shows the differentially m<sup>2</sup>G-related mRNAs. Blue represents normal sample, and red represents the glioma sample. (C) Spearman correlation analysis of m<sup>2</sup>G-related mRNAs. (D) KEGG circle diagram of m<sup>2</sup>G-related DEGs. (E) GO circle diagram of m<sup>2</sup>G-related DEGs. (F) The expression levels of eight prognostic m<sup>2</sup>G-Related lncRNAs. (G) The co-expression network of prognostic m<sup>2</sup>G-related lncRNAs. (H) Sankey diagram of prognostic m<sup>2</sup>G-Related lncRNAs. IncRNAs, long non-coding RNAs; N, normal; T, tumor.

suggest some interaction between m<sup>7</sup>G-related genes in glioma. Then, KEGG pathway analysis showed that m<sup>7</sup>G-related DEGs were mainly enriched in RNA degradation, Nucleocytoplasmic transport, *EGFR* tyrosine kinase inhibitor resistance, Longevity regulating pathway, mRNA surveillance pathway, *HIF-1* signalling pathway, Insulin signalling pathway, Spliceosome, mTOR signalling pathway, *HIF-1* signalling pathway and PI3K-Akt signalling pathway (Figure 1D). GO analysis showed that DEGs were mainly enriched in the regulation of translation, nucleobase-containing compound catabolic process, heterocycle catabolic process, *Etc.* (Figure 1E).

# Screening prognostic m<sup>7</sup>G-related LncRNAs

We identified 658 lncRNAs associated with  $m^{7}$ G-related genes. Univariate Cox regression analysis showed that 540 lncRNAs were linked to patient prognosis. One hundred thirty-two were considered risk lncRNAs with HR > 1, while 408 were protective lncRNAs with HR < 1. After Lasso regression, 28 m7G-associated lncRNAs were identified. Finally, multivariate Cox regression identified 8 lncRNAs with the best prognostic correlation (*AC048382.5, AC127070.2*,



*AL159169.2, AL731571.1, SNAI3-AS1, AC092718.4, AC145098.1, LINC00092*) (Supplementary Material S4). The expression levels of the eight prognostic m<sup>7</sup>G-related lncRNAs are shown (Figure 1F). We used the Cytoscape and 'galluvial' R packages

to visualize the lncRNAs. The co-expression network contained 14 lncRNA-mRNA pairs (Figure 1G, R2>0.4, *p* < 0.001). *SNAI3-AS1* was co-expressed with four related genes (*EIF4A1*, *EIF4E3*, *EIF4E1B*, and *CYFIP1*), AC092718.4 was co-expressed with three

related genes (*IFIT5*, *DCPS*, and *WDR4*), and *AC145098.1* was co-expressed with two related genes (*CYFIP1* and *NUDT10*), *AC127070.2* co-expressed with *NUDT10*, *AC048382.5* and *AL731571.1* both co-expressed with *IFIT5*, *LINC00092* co-expressed with *EIF3D* and *AL159169.2* co-expressed with *EIF4E*. *AC048382.5*, *AC127070.2*, *AL159169.2*, *AL731571.1*, and *SNAI3-AS1* were protective factors, while *AC092718.4*, *AC145098.1*, and *LINC00092* were risk factors (Figure 1H).

## Development and validation of prognostic models

Based on the above eight lncRNAs, we constructed a prognostic model and calculated the risk score for each patient using the risk score model. The risk score formula worked as follows: risk score =(0.620302782 × AC092718.4 expression) + (0.492232265 × LINC00092 expression) + (0.724211508 × AC145098.1 expression) + (-0.922536934 × SNAI3-AS1 expression) + (-0.922536934 × AC048382.5 expression) + (-0.846208391 × AC127070.2 expression) + (-0.924348861 × AL731571.1 expression) + (-0.807182397 × AL159169.2 expression). After obtaining a risk score for each patient, the patients were divided into two groups based on the median risk score: a high-risk group and a low-risk group (Figure 2A). We found that more and more patients died as the risk score increased (Figure 2B). Figure 2C showed eight prognostic m7G-related lncRNAs involved in two groups by heat map. The ROC curve area showed the excellent predictive capability of the model based on eight survival-related lncRNAs. In the TCGA data, the AUC values were 0.905, 0.928, and 0.89 at 1, 3, and 5 years, respectively (Figure 2D). According to KM analysis, patients with high RS had worse survival rates than those with low RS (Figure 2E).

Using the same cut-off from the TCGA data for the CGGA validation data, it was possible to distinguish the high-risk group from the low-risk group. However, the number of patients in the low-risk group was significantly lower (Figure 2F). CGGA patients showed that high-risk patients are positively associated with poor prognosis (Figure 2G). The expression of prognostic m<sup>7</sup>G-related lncRNAs in CGGA resembled that in TCGA samples (Figure 2H). In the CGGA sample, the AUC values were0.705, 0.78, and 0.80 at 1, 3, and 5 years, respectively (Figure 2I). KM analysis performed on CGGA data showed the same results as TCGA data (p < 0.001, Figure 2J). The validation results in the two validation datasets of TCGA also demonstrate the excellent predictive power of the model (Supplementary Material S5).

# Validation of PCA analysis and the expression of prognostic LncRNAs

The distribution of patients based on whole genes,  $m^7G\mbox{-}related$  genes,  $m^7G\mbox{-}related$  lncRNAs, and prognostic

m<sup>7</sup>G-related lncRNAs was visualized using PCA plots. The results showed that m<sup>7</sup>G survival-associated lncRNA showed the best results (Figures 3A–D). High- and low-risk patients can be distributed in different quadrants according to the RS of prognostic m<sup>7</sup>G-related lncRNAs.

We evaluated the expression levels of m<sup>7</sup>G-related lncRNAs in the TCGA dataset. We found that all genes differed significantly in different grades (Figure 3E), and all but one of the genes had similar trends across stages (Figure 3F). In TCGA and CGGA datasets, the same trend of gene expression was shown with increasing tumor grade.

# Validation of the correlations between clinical variables and risk score

Using TCGA data, we analyzed the correlations between these clinical variables and the eight lncRNAs risk scores. The risk scores were correlated with age, survival status, and tumor stage; *AC048382.5* was associated with age, survival status, and stage; *AC127070.2* was correlated with survival status, sex, and stage; *AC145098.1* was correlated with survival status, and stage; *AL159169.2* was correlated with age, survival status, and stage; *AL731571.1* was correlated with age, survival status, gender, and staging; and *LINC00092* was associated with age and survival status. (Figure 4). The above results showed that our screened m<sup>7</sup>G-related lncRNAs had the excellent predictive ability.

#### Development and validation of nomogram

In TCGA and CGGA data, we analyzed the independent prognostic factors of glioma patients by Cox regression. Univariate and multivariate Cox regression analyses showed that risk score was an independent predictor (HR = 1.253, 95% CI: 1.192–1.317, p < 0.001; HR = 1.127, 95% CI:1.096–1.160, p < 0.001) of OS in glioma patients (Figure 5A, B,D,E). We constructed a column line plot containing clinicopathological variables and risk scores to facilitate clinical work (Figure 5C,F). The calibration curves showed good agreement between actual OS and predicted survival rates (Figures 5J–L).

# Functional annotation of m<sup>7</sup>G-related lncRNAs

We used GSEA to investigate further the differences between the two subgroups for eight m<sup>7</sup>G-related lncRNAs. In KEGG analysis, the main added functions were systemic lupuserythematosus, n-glycan-synthesis, and glutathionemetabolism. Decreased functions were wnt-signalling-pathway, taste-transduction, and terpenoid-backbone-biosynthesis (Figure 6A). Most of these pathways are mainly responsible



0.001.

for immune-related diseases and metabolic pathways. So, this suggests that poor prognosis in high-risk patients is likely to be closely related to tumor immune-related pathways.

found lower IC50 of Cisplatin, Etoposide, and Rapamycin in the high-risk group and higher IC50 of Lenalidomide and PAC-1 in the high-risk group. (Figures 6E–I), which helps to explore individualized treatment regimens suitable for high-risk patients.

## Investigation of immune-related pathways

We quantified the enrichment scores of ssGSEA by measuring the immune cell subpopulations and related pathways to investigate further the correlation between risk scores and immune cells and functions. In the high-risk group, we found a significant rise in most cells (B cells, CD8<sup>+</sup> T cells, DCs, Tregs, *etc.*) (Figure 6B). T-cell-coinhibition, APC-co-stimulation, CCR, T-cell-co-stimulation, and type I IFN response were higher in the high-risk group than in the low-risk group (Figure 6C). The above results suggest that the high-risk group's immune function was more active. We also compared the analysis of differences in immune checkpoint expression between the two groups because of the importance of checkpoint-based immunotherapy (Figure 6D).

# Correlation of predictive features between drug sensitivities

We also analyzed the correlation between predictive characteristics and tumor immune-related drugs. The results

## Discussion

Glioma is a common brain tumor, accounting for 78% of primary malignant brain tumors in the brain, and its overall prognosis has been poor. Therefore, exploring the early diagnosis of glioma and accurately predicting the prognostic markers is of crucial clinical significance (Linzey et al., 2019). Many studies have shown the critical role of m7G in cancer development, mainly focusing on the regulation of tumor cell genesis and progression, but few investigations on cancer prognosis (Orellana et al., 2021; Rong et al., 2021; Xia et al., 2021). Several studies have recently emerged by constructing mRNA and lncRNA predictive signatures associated with glioma autophagy, pyrogenesis, m6A, and ferrogenesis can be used to predict the prognosis of glioma patients (Maimaiti et al., 2022) (Zhou et al., 2021a) (Guan et al., 2021) (Shi et al., 2022). However, the study of prognostic m7Grelated lncRNAs in glioma has not been reported. Therefore, we purpose to investigate the prognostic role of m7G-related lncRNA in glioma and provide a new approach for the future clinical treatment of glioma.



#### FIGURE 4

Associations between risk scores/related lncRNAs and clinical features. (A–C) Association between risk score and gender, state, and age. (D–E) Association between *LINC00092* expression level and state, age. (F–I) Association between *AL731571.1* expression level and grade, gender, state and age. (J–L) Association between *AL159169.2* expression level and grade, state and age. (M–N) Association between *AC145098.1* expression level and grade and state. (O–Q) Association between *AL127070.2* expression level and grade, gender and state. (R–T) Association between *AC048382.5* expression level and grade, state and age.



Independent prognosis analysis of risk score. (A and D) Univariate COX Forest plot of the risk score in TCGA and CGGA. (B and E) Multivariate COX Forest plot of the risk score in TCGA and CGGA. (C and F) Nomogram based on prognostic features in TCGA and CGGA. (G–I) Calibration plots of the nomogram for predicting the probability of OS at 1, 3, and 5 years in the TCGA. (J–L) Calibration plots of the nomogram for predicting the CGGA.

This study first obtained 28 DEGs associated with N<sup>7</sup>methylguanosine. Then, KEGG analysis showed that DEGs were mainly enriched in RNA degradation, nucleocytoplasmic transport, mRNA surveillance pathway, HIF-1, mTOR, and HIF1-PI3K-Akt signaling pathway. GO analysis showed that DEGs were primarily enriched in the translational initiation activity, regulation of translation, RNA 7–methylguanosine, *etc.* Existing studies have modified mRNA by adding an m<sup>7</sup>G 5' cap to protect mRNA from premature degradation (Kasprzyk and Jemielity, 2021). EGFR plays a crucial role in the METTL1-m7G axis in bladder cancer (Ying et al., 2021). Upregulated WDR4 expression increases m<sup>7</sup>G methylation levels in hepatocellular carcinoma (Xia et al., 2021). Hickey et al. reported that m<sup>7</sup>G-MP, the cap analog, is a potent and specific inhibitor of eukaryotic translation (Hickey et al.,



#### FIGURE 6

Functional enrichment analysis of 8 prognostic m<sup>7</sup>G-related lncRNAs. (A) KEGG analysis of 8 prognostic m<sup>7</sup>G-related lncRNAs. (B) The infiltration levels of 16 immune cells. (C) The correlation between the predictive signature and 13 immune-related functions. (D) Expression of immune checkpoints. aDCs, activated dendritic cells; iDCs, immature dendritic cells; NK, natural killer; pDCs, plasmacytoid dendritic cells; Tfh, T follicular helper; Th1, T helper type 1; Th2, T helper type 2; TIL, tumor-infiltrating lymphocyte; Treg, T regulatory cell; APC, antigen-presenting cell; CCR, chemokine receptor; HLA, human leukocyte antigen; MHC, major histocompatibility complex; IFN, interferon. \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001; ns, non-significant. Comparison of treatment drugs sensitivity between high- and low-risk groups. (E–I) IC50 of Cisplatin, Etoposide, Rapamycin, Lenalidomide, PAC.1 in high and low-risk groups. IC50, half-maximal inhibitory concentration.

1976). The above results suggest that  $m^{7}G$ -related genes maybe participate in cancer development through various pathways such as transcription and translation. However, further studies are needed to explore the function of  $m^{7}G$ -related genes in glioma.

In addition, there are pieces of evidence that lncRNAs play an essential part in cancer (Ho et al., 2022; Liang et al., 2022; Zhang et al., 2022). SNAI3-AS1, an m7G prognosis-associated lncRNA, is an important tumor modifier in hepatocellular carcinoma tumor progression (Li et al., 2020). Recently, it has been reported that autophagy-related lncRNA features can accurately predict the prognosis of glioma patients (Maimaiti et al., 2022). Ferroptosisassociated lncRNAs can also predict the prognosis of glioma patients (Shi et al., 2022). Therefore, it is important to identify the predictive value of m<sup>7</sup>G-related lncRNAs in glioma patients and could provide potential directions for future experimental studies of m7G and clinical studies of glioma. In this study, we identified 8 prognostic m<sup>7</sup>G-related lncRNAs (AC048382.5, AC127070.2, AL159169.2, AL731571.1, SNAI3-AS1, AC092718.4, AC145098.1, LINC00092) for establishing prognostic model. We also found mRNAs (EIF4A1, EIF4E3, EIF4E1B, CYFIP1, DCPS, WDR4, NUDT10, IFIT5, EIF3D, EIF4E) were significantly co-expressed with these IncRNAs. Among them, eIF4E binds the 7-methyl-GTP portion of the 5' cap structure of cytoplasmic mRNA and plays a part in

translation initiation and regulation (Merrick and Pavitt, 2018). Additional studies have found that DCPS acts on m<sup>7</sup>G through mRNA decay (Ng et al., 2015). WDR4 undergoes a malignant transformation of cells through overexpression of m<sup>7</sup>G (Orellana et al., 2021). EIF4 acts as a cap-binding protein to enhance m7G cap stabilization of transcripts and plays an important role in malignancy through upregulation (Culikovic-Kraljacic et al., 2020). In conclusion, the above reports provide evidence for our related studies on N7methylguanosine. In analyzing two databases with the same median, we found that the number of deaths increased as the risk score increased. The 5-year AUC values (AUC = 0.89, AUC = 0.80) in both TCGA and CGGA data demonstrated the success of the model construction in predicting the prognosis of glioma patients. Furthermore, eight lncRNAs expression in different grades of glioma, the correlation between risk scores and clinical characteristics also increases their predictive power.

Then, GSEA shows that the high-risk group mainly enriched systemic lupus-erythematosus, n-glycan-biosynthesis, glutathione-synthesis, and leukocyte-transendothelial migration.  $N^7$ -methyladenosine, a common methylation modification of RNA, plays an essential role in autoimmune diseases like RA and SLE (Agris et al., 1992; Zhou et al., 2021b). N-glycan plays a significant part in breast and oral cancers (Hirano and Furukawa, 2022; Wu et al.,

2022). Glutathione affects tumor progression by altering oxidative stress sensitivity in astrocytic tumors (Moreira Franco et al., 2021). Increased expression of lymphocyte-specific protein 1 (LSP1) will cause leukocyte migration and inhibition of the immune microenvironment in GBM (Cao et al., 2020). The above results suggest that the occurrence and development of gliomas are also most likely to be closely related to immune-related pathways. The ssGSEA results showed a significant rise in most cells (macrophages, CD8+ T cells, mast cells, Tregs, etc.) in the high-risk group. Some of the above findings have been confirmed by studies. For example, CD8<sup>+</sup>T-cell infiltration is associated with poor prognosis in patients with BC (Hou et al., 2020; Liu et al., 2020). High infiltration of tumour-associated macrophages was associated with low-grade glioma and thyroid cancer (Ryder et al., 2008; Li et al., 2022). The number of mast cells was positively linked to poor prognosis in patients with prostate cancer (Zhang et al., 2020).

The degree of MC infiltration in mice and human gliomas is proportional to the malignancy of the tumor (Polajeva et al., 2011; Polajeva et al., 2014). The ratio of high neutrophils to lymphocytes predicts a poorer OS in BC patients (Tan, 2017). Pathological grading of gliomas is positively correlated with infiltrating neutrophils (Khan et al., 2020). Increased infiltration of Tregs indicates a poor prognosis in patients with hepatocellular carcinoma (Tu et al., 2016). lncRNA HOXA-AS2 promotes Treg proliferation and immune tolerance through the miR-302A/KDM2A axis to promote glioma progression and poor prognosis (Zhong et al., 2022). Increased Treg and MDSC in mouse gliomas can lead to a decrease in overall survival (Zhai et al., 2021). We found higher HLA and type I IFN response scores in the high-risk group, except for increased tumor immune cell infiltration. Thus, decreased antitumor immunity in high-risk groups may be responsible for poor prognosis. We found significant differences in immune checkpoint expression between the high-risk and low-risk groups. We also studied the sensitivity of immune-related drugs among patients and found that high-risk patients may be sensitive to Cisplatin, Etoposide, and Rapamycin and resistant to Lenalidomide, PAC-1. This implies that high-risk groups may benefit from treatment with multiple immune-related drugs. We hope the above study provides a basis for precise, individualized treatment of glioma patients.

However, our study has some limitations. In the first place, we only used CGGA and CGGA database data for verification and still required external data to test the applicability of predicted signatures. Next, the mechanism of action of  $m^{7}$ G-related lncRNAs in glioma needs to be further validated experimentally.

## Conclusion

We successfully built a formula for m<sup>7</sup>G-related lncRNAs with powerful predictive functions and screened lncRNAs with

prognostic values. These studies add some instructional value to glioma etiopathogenesis and clinical treatment analysis. And these m<sup>7</sup>G-related lncRNAs may become new biomarkers and are expected to provide new ideas for glioma therapeutic approaches.

## Data availability statement

The datasets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found in the article/ Supplementary Material.

## Author contributions

SW had the initial idea for this study, performed the statistical analysis, and wrote the manuscript; AB and WC and XW revised the manuscript. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene. 2022.961278/full#supplementary-material

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# Identification of platinum resistance-related gene signature for prognosis and immune analysis in bladder cancer

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**Purpose:** Currently, there is limited knowledge about platinum resistance-related long non-coding RNAs (lncRNAs) in bladder cancer. We aim to identify platinum resistance-related lncRNAs and construct a risk model for accurate prognostic prediction of bladder cancer.

**Methods:** Transcriptomic and clinical data were extracted from The Cancer Genome Atlas (TCGA) database, and platinum resistance-related genes were obtained from HGSOC-Platinum. The platinum resistance-related lncRNAs were obtained by the Spearman correlation analysis. Then, we constructed a risk score model through Cox regression analysis and the LASSO algorithm. The model was verified by analyzing the median risk score, Kaplan-Meier curve, receiver operating characteristic (ROC) curve, and heatmap. We also developed a nomogram and examined the relationship between the risk score model, immune landscape, and drug sensitivity. Lastly, we assessed the differential expression of PRR-lncRNAs in the cisplatin-resistant bladder cancer cell line and the normal bladder cancer cell line using qRT-PCR.

**Results:** We developed and validated an eight-platinum resistance-related lncRNA risk model for bladder cancer. The risk model showed independent prognostic significance in univariate and multivariate Cox analyses. Based on multivariate analysis, we developed a nomogram. The modified model is both good predictive and clinically relevant after evaluation. Furthermore, immune-related and drugsensitivity analyses also showed significant differential expression between high and low-risk groups. The qRT-PCR demonstrated that most of the lncRNAs were upregulated in cisplatin-resistance cancerous tissues than in control tissues.

**Conclusion:** We have developed a predictive model based on eight platinum resistance-related lncRNAs, which could add meaningful information to clinical decision-making.

#### KEYWORDS

bladder cancer, platinum resistance, long non-coding RNA, os, prognosis model

### Introduction

Bladder cancer (BLCA) is the world's 10th most commonly diagnosed cancer (Babjuk et al., 2022). Although nearly 75% of bladder cancers are non-muscle-invasive (NMIBC), 45%–50% of patients with NMIBC will experience recurrence, and 6%–40% will progress (Slovacek et al., 2021). Patients with NMIBC are prone to develop muscle-invasive bladder

cancer (MIBC) after repetition and have a high risk of metastasis and poor prognosis, with a few surviving for more than 5 years (Chou et al., 2016; Malmstrom et al., 2017). Approximately 50% of MIBC patients eventually develop the disease at distant sites because of disseminated micrometastases, even after undergoing radical cystectomy and pelvic lymph node dissection (Patel et al., 2020). Hence, identifying specific tumor factors and providing new biomarkers are necessary to accurately diagnose, treat, and predict bladder cancer's outcome.

Platinum-based chemotherapy drugs are one of the most commonly used drugs for treating various tumors, especially for the systemic management of muscle-invasive and advanced bladder cancer (Ghosh, 2019). Initially, sensitive tumors, frequently observed in cancers, eventually develop chemoresistance. Unfortunately, the development of platinum resistance results in significant tumor recurrence and decreased overall patient survival (Hu et al., 2018). Non-coding RNAs that are longer than 200 nucleotides are long noncoding RNAs (LncRNAs). Since the development of high-throughput sequencing in recent years, many non-coding genes have been discovered to regulate the occurrence, development, metastasis, and chemotherapy resistance in cancers (Gao et al., 2020; Liu et al., 2020; Wu et al., 2020; Li et al., 2021a; Lu et al., 2021). It also significantly impacts bladder cancer, such as lncRNA KCNQ1OT1 facilitates the progression by targeting MiR-218-5p/HS3ST3B1 (Li et al., 2021b), and lncRNA CASC11 promotes cancer cell proliferation in bladder cancer through miRNA-150 (Luo et al., 2019).

Nevertheless, the role and prognostic value of platinum resistance-related (PRR) lncRNAs in BLCA have yet to be expounded. Consequently, we investigated the correlation between bladder cancer and PRR lncRNAs. As well as functional enrichment analysis of PRR lncRNAs, we analyzed immune cell infiltration, immune checkpoints, tumor mutational burden (TMB), immunotherapy, and drug sensitivity between high- and low-risk patients. Besides, we used a nomogram to visualize the overall survival of BLCA patients. It is hoped that new biomarkers can be provided for the personalized treatment of BLCA patients.

## Methods

### Data download and processing

The Cancer Genome Atlas (TCGA) database was accessed to obtain RNA sequencing data, tumor mutational burden (TMB) data, and related clinical information on bladder cancer patients. Transcriptome FPKM data was extracted using Strawberry Perl for further analysis. Genes expressing less than one in more than half of the samples were deleted. Moreover, clinically incomplete samples were excluded from the follow-up clinical correlation analysis. The results of comprehensive immunogenomic analyses of bladder cancer were obtained from The Cancer Immunome Database (TCIA, https://www.tcia.at/home). Platinum resistance-related genes were downloaded from HGSOC-Platinum (http://ptrc-ddr. cptac-data-view.org). Using the limma package in R software, a differential expression matrix for platinum resistance-related genes (PRR) was created. The criteria for differential expression analysis were | log 2 (fold change) | >1 and a false discovery rate (FDR) < 0.01.

# Identification of platinum resistance-related (PRR) LncRNAs

Spearman correlation coefficients were calculated based on differential expression PRR genes and lncRNA expression profiles to recognize platinum resistance-related lncRNAs (|R2| > 0.45 and p < 0.05).

# Construction of platinum resistance-related prognostic signature and GSEA

Firstly, univariate Cox regression analysis was utilized to evaluate the prognostic value of PRR lncRNAs. When the p-value was lower than 0.01, it was incorporated into the LASSO regression analysis. Then, based on the above results, we developed the platinum resistance-related prognostic model. Platinum resistance-related prognostic scores for each patient were calculated as follows: Risk score = (Coef (lncRNA1) \* expression lncRNA1) + (Coef (lncRNA2) \* expression lncRNA2) +.....+ (Coef (lncRNA n) \* expression lncRNA n). Eventually, due to the median risk score, patients were divided into low- and high-risk groups. The Kaplan-Meier curve was generated with the log-rank test to compare the two groups' overall survival (OS). To evaluate the predictive performance of the signature, we used the 'timeROC' R package to generate a receiver operating characteristic curve (ROC). A heat map was used to show the difference in platinum resistance-related lncRNA expression profiles between the high/low-risk groups. We randomly split the entire cohort into a 1:1 train and a test set for internal validation to assess the risk model feasibility. Validation cohorts were calculated using the same formula as the total cohort, and the same validation method was applied. We used the Gene Set Enrichment Analysis (GSEA) to examine the molecular mechanisms underlying low- and high-risk groups. p values less than 0.05 were considered statistically significant.

### Building and validating a nomogram

Univariate Cox and multivariate Cox regression analyses were used to identifying potential prognostic factors for the risk model and clinical features. Then, we constructed a nomogram by incorporating the meaningful variables (p< 0.05). Clinicians can easily use the nomogram to assess 1-, 3-, and 5 year overall survival in bladder cancer patients. The receiver operating characteristic (ROC) and calibration plots were calculated to estimate the discriminative accuracy of the nomogram. All of these will be validated on training and test sets.

### Comprehensive analysis of the relationship between the risk model and tumor microenvironment and immunity

The ESTIMATE algorithm was used to assess immune infiltration in bladder cancer patients (Supplementary Table S1). The difference in immune cell infiltration between the high-risk and low-risk groups of patients was evaluated using TIMER, CIBERSORT, CIBERSORT-ABS, QUANTISEQ, MCP-counter, XCELL, and EPIC algorithms. In addition, the potential immune checkpoint was acquired from previous literature. We detected the expression levels of immune checkpoint-related genes between the two groups. Furthermore, we used TCIA data to predict the relationship between platinum



resistance-related prognostic scores and immunotherapy sensitivity. TMB between the two groups was also analyzed. Box plots were generated to visualize the differences.

### Drug sensitivity analysis

We use the "pRRophetic" package in R software to predict the drug's half-maximal inhibitory concentration (IC50) value between the high-risk and low-risk groups. Moreover, we considered p values less than 0.05 to be statistically significant. Box plots were generated to visualize the differences.

## Cell culture and qRT-PCR

Human BC cells of T24 were purchased from the Cell Bank of Culture Collection of the Chinese Academy of Sciences, Shanghai Institute of Cell Biology (Shanghai, China). The exponential growth phase T24 cells were selected, and 200  $\mu$ g/mL was chosen as the initial drug concentration according to the pre-experiment. The same concentration was repeated three times, each 2 days. Continue using the previous concentration of cisplatin for 2 days after passage, and then gradually increase the concentration. If the cell condition is not good, replace the medium without cisplatin. When the cell condition is normal, continue to add medicine. A cisplatin-resistant bladder cancer cell line, T24-CDDP, was

established after cisplatin continued for 10 months. The T24-CDDP cell lines were validated by Cell Counting Kit-8 (CCK-8) assay, and GraphPad Prism9 was used to plot the cell IC50. All cells are cultured in Dulbecco's modified Eagle's medium (DMEM; Gibco) and at 37°C in 5% CO2. Invitrogen TRIzol reagent was used for total RNA extraction and the Takara PrimeScript RT reagent Kit for cDNA synthesis. Real-time quantitative PCR was performed using SYBR Green (Roche, Switzerland). Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as an endogenous reference. At least three replicates of each reaction were performed. Supplementary Table S2 shows the primer sequences.

## Result

## Basic information

Figure 1 shows the flowchart of our study. We obtained gene expression profiles of 431 bladder tumor patient samples, including 412 tumors and 19 adjacent normals, from the TCGA database. Samples with incomplete clinical information were removed. Supplementary Table S3 contains the clinical data for the remaining 372 tumor samples. Then we randomly split the entire cohort into a 1:1 train and a test set for internal validation. Data on 412 bladder cancers containing information on immunotherapy were downloaded from the TCIA database (Supplementary Table S4).



(A) Identification of prognostic PRR by univariate Cox regression analysis in the whole group (B, C) Lasso regression analysis in the entire group.

# Differentially expressed (DE) platinum resistance genes and LncRNA

Supplementary Table S5 records that 936 platinum resistance genes were extracted from HGSOC-Platinum. A comparison of bladder cancer tissues with normal tissues identified 165 DE genes (78 were upregulated and 87 were downregulated). Supplementary Figure S1 shows the heatmap evaluation of DE genes. In Supplementary Figure S2, a volcano map represents the distribution of all DE genes according to log10FDR and log2FC. Throughout the gene expression profiles, 16882 lncRNAs were identified. Five hundred eleven lncRNAs remained after deleting genes with an expression of less than one in more than half of the samples (Supplementary Table S6). Then, we identified 122 platinum resistance-related lncRNAs by correlation Spearson analysis, as shown in Supplementary Table S7.

# Construction and validation of a platinum resistance-related lncRNA risk model

Using univariate Cox regression, 39 platinum resistanceassociated lncRNAs were identified. Other than AC105942.1, which was a high-risk prognostic LncRNA, all others were low-risk (p < 0.01, Figure 2A). In the LASSO regression analysis, eight platinum resistance-related lncRNAs were associated with prognostic factors in bladder cancer (BCa) patients (Supplementary Table S8). It was verified through cross-validation that the LASSO regression analysis optimal value was the right one (Figures 2B, C). The formula of the risk score was as follows: Risk score = (-0.431424975893598\*PSMB8-AS1) + (-0.1130343821 6125\*AL731567.1) + (-0.0984074363105057\* AC104825.1) + (-0.173932427517578\*AC009065.8) + (-0.1434206 81656449\* MAP3K14-AS1) + (-0.0447654488946425\*PTOV1-AS2) + (-0.231285006432146\*AC008760.1) + (-0.10488485275485\*AL35 5353.1). This risk model divided patients into high-risk and low-risk groups based on the median risk score. The Kaplan-Meier survival analysis showed that low-risk BCa patients had a significantly better overall survival than patients at high risk (Figure 3A). Moreover, based on the risk model, the scatterplot demonstrated a correlation between survival time and risk score for BCa patients. There was a correlation between patients' risk scores and their mortality from bladder cancer. The higher the score, the greater the risk (Figure 3D). As shown in the heat map (Figure 3G), these eight-platinum resistance-related lncRNAs were highly expressed as protective factors in the low-risk group. Lastly, overall survival AUCs of 1-, 3-, and 5 years were 0.709,



Prognostic analysis of the PRR lncRNAs signature in the total, training cohort, and testing group. (A–C) Kaplan–Meier curve of the patient in the whole, training cohort, and testing groups. (D–F) The rank of calculated risk scores in the total, training cohort, and testing groups. (G–I) Heatmap showed the differences of 8 PRR lncRNAs in the whole, training cohort, and testing groups. (J–L) Time-independent receiver operating characteristic (ROC) analysis in the total, training cohort, and testing groups.

0.715, and 0.712, respectively (Figure 3]). In the training and testing groups, we validated the risk model. These two groups used the same methods to identify high-risk and low-risk patients. Figure 3B and Figure 3C illustrate the relationship between risk scores and survival. The prognostics between the different risk patients in the training and testing groups were shown in Figure 3E and Figure 3F. There was a significant decrease in the overall survival of the high-risk group compared with the low-risk group. The heat maps were consistent across the entire group (Figures 3H, I). Figures 3K, L showed that both training and testing groups achieved ideal AUC values.

# Construction and assessment, a new type of nomogram

A multivariate and univariate Cox analysis of clinical variables, including age, grade, stage, T stage, and risk scores, revealed that the risk model was the most significant prognostic factor (Figures 4A, B). Then, according to the critical variables in the multiple regression analysis (p < 0.05), a prognostic nomogram of bladder cancer patients was established (Figure 4C), which could be used to predict the 1-, 3-, and 5 year OS rates of patients. In the entire cohort, the AUC of values for 1-, 3-, and 5 year OS were 0.783, 0.765, and 0.760,



Clinical relevance Analysis and Validation (A) Univariate prognostic analysis. (B) Multivariate prognostic analysis. (C) Constructed a nomogram in whole groups. (D, E) Calibration curves and ROC for 1-, 3-, and 5 year in the entire group. (F–I) Calibration curves and ROC for 1-, 3-, and 5-year in training and testing groups, respectively.

respectively (Figure 4E). Calibration plots for 1-, 3-, and 5 years were generated to verify our model across the entire cohort. All calibration plots fall near the 45-degree diagonal line (Figure 4D).

The AUC values and calibration plots of the training set and test set show that the nomogram has good discriminative power (Figures 4F–I).



# GSEA analysis of platinum resistance-related (PRR) LncRNAs

GSEA analysis was performed to elucidate the biological function of PRR-based signatures further. GSEA revealed that PRR lncRNA

prognostic models mainly regulated cancer- and platinum-related pathways, such as Bladder cancer, Cytosolic DNA-sensing pathway, VEGF signaling pathway, FoxO signaling pathway, Chemical carcinogenesis-reactive oxygen species, and Platinum drug resistance (Figure 5).



## Immune-related analysis of BLCA patients using the risk model

The heatmap displayed the relationship between the risk model and immune infiltration (Figure 6). In the low-risk group, CD4<sup>+</sup> T-cell, CD8<sup>+</sup> T-cell, and regulatory cells infiltrated more than in the high-risk group. At the same time, macrophages and monocytes were more prevalent in high-risk populations. Furthermore, based on immune checkpoint analysis, representative immune checkpoint-related genes, such as PDCD1LG2, CD44, CD47, CD276, PVR, and TNFSF9, were remarkably upregulated when compared with low-risk group samples (Figure 7). Comparison of somatic mutations in

patients with high and low-risk scores and visualization of the top 20 genes with the highest mutation frequency (Figures 8A, B). There was no significant difference in TMB between the high-risk and low-risk groups. By analysis, we found that patients with low-risk scores were more sensitive to immunotherapy, whether they were CTLA4+ or PD-1+ or both positive (Figures 8C–F).

### Drug sensitive and qRT-PCR

A further investigation was conducted to assess the sensitivity difference of drugs in two groups of patients with



bladder cancer to improve the therapeutic outcome. The analysis results indicated that IC50 values of drugs, including BIBW2992, Erlotinib, Gefitinib, and Lapatinib, were higher in high-risk patients than those of low risk. While IC50 values of drugs containing Cisplatin, Gemcitabine, Mitomycin C, Methotrexate, Vinblastine, Vinorelbine, Doxorubicin, Docetaxel, Thapsigargin, and Pazopanib were much higher in the low-risk patients than those of the highrisk (Figure 9). In Figures 10A, B, we can see that the cisplatin IC50 for T24-CDDP was significantly higher than that of T24, implying the thriving culture of our drugresistant cells. As shown in Figure 10C, AC008760.1, PTOV1-AS2, AL355353.1, AC104825.1, and MAP3K14-AS1 were more highly expressed in cisplatin-resistance T24 cells than normal T24 cells.

## Discussion

In recent years, many studies have focused on the role lncRNAs play in bladder cancer (BLCA). Lia et al. developed and validated an eight-pyroptosis-related lncRNA prognostic model for BLCA (Lia et al., 2022). Luo et al. found that lncRNA RP11-89 facilitates tumorigenesis and ferroptosis resistance in BLCA (Luo et al., 2021). Tong et al. constructed a prognostic epithelial-mesenchymal transition-related lncRNA risk model in BLCA (Tong et al., 2021). Hu et al. discussed the roles and mechanisms of lncRNAs in cisplatin chemoresistance, including changes in cellular uptake or efflux of a drug, apoptosis, autophagy, related signaling pathways, and so on 7). However, studies on the prognosis of platinum resistance-associated (PRR) lncRNAs in BLCA are still limited. Accordingly, we explored the relationship between PRR lncRNAs and the prognosis of BLCA.



Based on eight PRR lncRNAs, we established a BLCA risk prognosis model, and median risk scores categorized patients into high and low-risk groups. Kaplan-Meier survival, heatmap, and ROC analyses have shown the good predictive ability of our risk model.

Moreover, the immune-related and drug-sensitivity analysis also showed significant differences between high- and low-risk groups. These identified PRR lncRNAs were protection factors: PSMB8-AS1, AL731567.1, AC104825.1, AC009065.8, MAP3K14-AS1, AL355353.1,



AC008760.1, and PTOV1-AS2. When protective factors are expressed at a higher level, the prognosis for BLCA patients is better.

Recent studies have found LncRNA PSMB8-AS1 to be a prognostic marker and a protective factor in BLCA (Tong et al., 2021; Mo et al., 2022). Zhang et al. proposed that PSMB8-AS1 promotes pancreatic cancer progression by regulating the miR-382-3p/STAT1/PD-L1 axis. Thus, it is worthwhile to explore PSMB8-AS1's mechanism of action in bladder cancer (Zhang et al., 2020). MAP3K14-AS1 was recognized as a highly prevalent and specific methylated locus in colorectal cancer, which can be used to monitor tumor burden dynamics in liquid biopsy under different therapeutic regimens (Barault et al., 2018). Kuang et al. revealed that the necroptosis-related lncRNAs MAP3K14-AS1 and AL731567.1 were considered protective effectors in BLCA (XiaYu et al., 2022). AL355353.1 was found to be associated with glycometabolism in BLCA and affected prognosis (Tang et al., 2022). Liu et al. revealed that PTOV1-AS2 might affect the prognosis of pancreatic cancer through TP53-associated signature (Liu et al., 2021). LncRNA AC008760.1 was identified as expressed lower in bladder urothelial carcinoma cells than in normal urothelial cells (Li et al., 2022), which was consistent with our findings. Moreover, the knockdown of AC008760.1 can significantly promote the proliferation and migration of bladder cancer cells. Furthermore, AC009065.8 and AC104825.1 in BLCA are rarely reported in research, and thus, the specific mechanism is also worthy of further investigation (Chen et al., 2020).

We further compared several clinical variables to assess our risk model's predictive ability. Three independent prognostic factors were identified: age, stage, and risk score. As previously reported, age and stage are prominent risk factors for multiple tumors, including bladder


cancer (Hu et al., 2022; Lu et al., 2022). Further comparison showed that the model's prediction performance is superior to age and stage, demonstrating its high predictive power. To increase the clinical applicability of the model, we used a nomogram to visualize the survival probability of bladder cancer patients. In the training and testing sets, both ROC and calibration curves showed the good predictive ability of the nomogram.

Immunotherapy has shown promising results in the management of BLCA. In our study, high-risk and low-risk groups were compared regarding the immune checkpoint. Figure 7 displays that TNFSF9, PDCL1LG2, PVR, CD44, CD86, CD80, CD47, and CD276 in the immune checkpoint were expressed in the high-risk group, while BTN2A1, CD40, CD40LG, HLA-DMA, HLA-B, CD96, ICOSLG, and TNFRSF14 were mainly expressed in the low-risk group. For the former, except for TNFSF9, almost all other genes in the immune checkpoint were reported in bladder cancer and were associated with poor outcomes (Kiss et al., 2019; Kucan Brlic et al., 2019; Hu et al., 2020; Yang et al., 2020; Yan et al., 2021; Harland et al., 2022). It is thought that tumors with more mutated genes tend to produce more mutant RNAs and proteins that are more easily recognized by the immune system and respond well to immunotherapy. Thus, we also analyzed the difference in TMB in the two risk groups. Although there was no significant difference in TMB between the high-risk and low-risk groups, we found that the low-risk group gained more immunophenoscores, which can be used to predict response to immune checkpoint inhibitors. The results showed that patients with low-risk scores were more sensitive to immunotherapy, whether they were CTLA4+ or PD-1-. Therefore, combined with our risk model, we found that immunotherapy could be a good option for bladder cancer patients with platinum resistance.

Many studies show that immune infiltration correlates with prognosis (Hatogai and Sweis, 2020; Zheng et al., 2020). Consequently, the rate of immune cell infiltration between different risk groups was calculated. Comparing the low-risk group with the high-risk group, we found that CD8<sup>+</sup> T-cell and regulatory cells were significantly increased. Induced tumor cell death is the primary function of CD8<sup>+</sup> cells (Henning et al., 2018). Moreover, the numbers of macrophages and monocytes have risen notably in the high-risk group, which are generally involved in defending against external attacks (Xia et al., 2020). Due to this, we considered that platinum resistancerelated lncRNA is closely related to immune infiltration in bladder cancer.

Drug sensitivity analysis showed that high-risk groups were more sensitive to cisplatin because of their relatively low expression of platinum-resistance-associated LncRNAs, which further adds to the reliability of our findings. Moreover, drug analysis results also showed that IC50 of BIBW2992, erlotinib, gefitinib, and lapatinib were lower in low-risk patients, implying that drug-resistant patients were more sensitive to those drugs. T24 bladder cancer cells are inhibited in proliferation and invasion by BIBW2992/ Afatinib (Tang et al., 2015). A primary mechanism of gefitinib is that it interferes with the metabolic functions of tumor cells and inhibits EGFR signaling in a meaningful manner (Peng et al., 2016). One recent study suggests lapatinib as a first-line option for treating muscle-invasive urothelial carcinoma in dogs (Maeda et al., 2022). These four drugs all belong to EGFR family inhibitors, which are expected to play a significant role in future bladder cancer treatments. Although we did not find suitable primer sequences for the rest three lncRNAs, it may be because their base sequences are long or technical problems. For the most, we evaluated the expression level of most lncRNAs in our signature. The expression trend followed the bioinformatic prediction.

However, some areas still need to be addressed in this study. Firstly, this is a retrospective study using TCGA datasets. Retrospective studies may have selection and information bias. For example, in light of the small sample size, stage I was grouped with stage II. Secondly, external validation needed to be improved as other databases lacked lncRNA expression profiles or overall survival data. Finally, although we experimentally validated the differential expression of PPR lncRNAs in platinum-resistant bladder cancer cells, the underlying mechanisms of how the detected platinumresistance-related lncRNAs impact the prognosis of bladder cancer require further study by basic experiments.

### Conclusion

Based on eight PRR lncRNAs, we constructed a prognosis model for BLCA patients. As well as providing prognostic information and immune analysis, our risk model can give a new direction for chemotherapy or targeted therapy for BLCA patients.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials, further inquiries can be directed to the corresponding authors.

### Author contributions

XL, LW, and BF contributed to the conception of the study. SL, MJ, LY, FZ, and JL contributed significantly to the analysis and manuscript preparation.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2023.1062060/ full#supplementary-material

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### Transcriptomic study of gastrointestinal stromal tumors with liver metastasis

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**Introduction:** GIST (gastrointestinal stromal tumor) is the most prominent mesenchymal neoplasms of the gastrointestinal tract, and liver is the most common metastasis site for GIST. The molecular mechanism leading to liver metastasis of GIST is currently unclear.

**Methods:** With the goal of revealing the underlying mechanism, we performed whole-genome gene expression profiling on 18 pairs of RNA samples comprised of GIST tissues (with liver metastasis) and corresponding non-tumor tissues. After identifying differentially expressed gene, functional annotation and signal pathway analyses were conducted. GSE13861, datasets that compare GIST (without liver metastasis) with adjacent tissues, served as a comparison.

**Results:** A total of 492 up-regulated genes and 629 down-regulated genes were identified as differentially expressed genes between liver metastasis tissues and non-tumor tissues. We characterized expression patterns of DEGs identified from our cohort and GSE13861 that show signatures of enrichment for functionality. In subsequent gene set enrichment analysis, differentially expressed genes were mainly enriched in Epithelial Mesenchymal Transition in both datasets. 493 genes were overlapped among our whole-genome gene expression profiling results and GSE13861, consisting 188 up-regulated genes and 305 down-regulated genes. By using CytoHubba plugin of Cytoscape, CDH1, CD34, KIT, PROM1, SOX9, FGF2, CD24, ALDH1A1, JAG1 and NES were identified as top ten hub genes in tumorigenesis and liver metastasis of GIST. higher expression levels of FGF2, JAG1, CD34, ALDH1A1 and the lower expression level of CDH1 were respectively associated with unfavorable overall survival. Meanwhile higher expression levels of CD34, FGF2, KIT, JAG1, ALDH1A were correlated with worse disease-free survival.

**Discussion:** The present study may help to provide candidate pathways and targets for treatment of GIST and prevention methods to liver metastasis.

#### KEYWORDS

gastrointestinal stromal tumor, epithelial mesenchymal transition, liver metastasis, tumorigenesis, differentially expressed genes (DEG)

### **1** Introduction

GIST (gastrointestinal stromal tumor) is the most prominent mesenchymal neoplasms of the gastrointestinal tract, and their prevalence is on the rise (Corless et al., 2011). Activating mutations in the receptor tyrosine kinase encoding genes KIT (KIT proto-oncogene, receptor tyrosine kinase) or PDGFRA (platelet -derived growth factor receptor alpha) are extensively seen in GISTs (Serrano and George, 2020). These mutations cause constitutive activation of KIT or PDGFRA-mediated ligand independent activation and signaling (Joensuu et al., 2013). GISTs can appear everywhere in the gastrointestinal tract, although they're most prevalent in the stomach (50%-60%) and small intestine (30%-35%), with the colon and rectum (5%) and oesophagus (1%) (Joensuu et al., 2012). Liver metastasis (LM) from GIST is very common, and a primary tumor is diagnosed simultaneously in 15%-50% of cases. Furthermore, after excision of a high-risk GIST, up to 40%-80% of individuals may emerge with liver metastasis over a period of about 2 years (Ng et al., 1992; DeMatteo et al., 2000; DeMatteo et al., 2009). However, the mechanisms of GIST invasion and acquisition of the potential to metastasize are still unknown. Acquiring a better knowledge of the molecular process behind liver metastasis of GIST is crucial, as it might result in new anticancer treatment targets and greatly contribute to advances in diagnostic approaches.

Gene chip, also known as gene profile, is a gene detection method that has been used for over a decade. Gene chips can instantly identify all of the genes' expression information within the same sample time-point, making them ideal for detecting differentially expressed genes (DEGs) (Wang, 2000). Therefore, we collected GIST tissues of patients with liver metastasis and corresponding non-tumor tissues (stomach and intestinal tissue) yielding sufficient RNA for gene expression profiling. Meanwhile we also downloaded mRNA microarray data from the Gene Expression Omnibus (GEO) and jointly analyzed our gene expression profiling data with online data for identifying differentially expressed genes which may play an important role in tumorigenesis and liver metastasis of GIST. Gene Ontology (GO) annotation and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analyses were applied to further provide an overview of the function of the screened DEGs. Then a protein-protein interaction (PPI) network was constructed to determine the hub genes and survival analyses of the screened hub genes were carried out using Gene Expression Profiling Interactive Analysis (GEPIA).

In this study, we first performed gene chip detection on GIST tumor sample and peri cancerous tissues of 18 GIST patients with liver metastases, obtained microarray dataset, and obtained organized microarray dataset of GIST with no liver metastasis and paracancer tissues from the GEO database. Differentially expressed genes were analyzed separately, and the enrichment of DEGs in the two datasets were analyzed. The STRING website and Cytoscape software were used to find out the key genes that promote the tumorigenesis and liver metastasis of GIST. Finally, we explored the potential of these key genes as prognostic markers of gastrointestinal tumors using Kaplan–Meier Survival analyses. This study helps us better understand the molecular mechanism of GSIT tumorigenesis and liver metastasis.

### 2 Materials and methods

### 2.1 Clinical samples

GIST tissues of patients with liver metastasis and corresponding non-tumor tissue (stomach and intestinal tissue) samples were obtained from Sun Yat-sen University Cancer Center under protocols approved by the institutional review board at Sun Yatsen University Cancer Center. Written informed consent was obtained from all patients enrolled in the study. All experiments using clinical samples were carried out in accordance with the approved guidelines.

### 2.2 Microarray analysis

All samples were frozen in liquid nitrogen at -80°C. The total RNA of samples was extracted by TRIZOL method, and the total RNA was examined by NanoDrop 2000 and Agilent Bioanalyzer 2100. The qualified sample goes into the chip experiment. The standards of quality control are: Thermo NanoDrop 2000:1.7 < A260/A280 < 2.2; Agilent 2100 Bioanalyzer: RIN  $\geq$  7.0 and 28S/ 18S > 0.7. Affymetrix GeneChip Human Primeview array (Affymetrix, Santa Clara, CA, United States) was used to analyze global expression pattern of 28,869 well-annotated genes. RNA samples were amplified and labeled using the 3'IVT Expression Kit and GeneChip WT Terminal Labeling and Control Kit from Affymetrix. Affymetrix's GeneChip Fluidics Station 450 was used to carry out the normal washing treatment after the samples were hybridized at 45°C for 16 h. The arrays were then scanned using the GeneChip Scanner 7G procedure. Quantile normalization of gene expression was performed using the normalizeBetweenArrays function in limma.

We also downloaded the following gene expression profiles from the GEO: GSE13861 (including six GIST and 19 surrounding normal fresh frozen tissues) (Cho et al., 2011) for further analysis.

### 2.3 DEG identification

R language limma package was used to identify DEGs in our cohort and GSE13861 separately. The log-fold change (FC) in expression and adjusted *p*-values (adj. P) were determined. The adj. P using the Benjamini–Hochberg method with default values were applied to correct the potential false-positive results. DEGs were defined as genes that satisfied the specified cutoff criterion of adj. *p* > 0.05 and | logFC | > 2.0. The Venn diagram online tool was used to look at the intersecting genes. In order to illustrate the volcano plot of DEGs, visual hierarchical cluster analysis was also carried out.

### 2.4 GO annotation and KEGG pathway enrichment analyses of DEGs

To reveal the functions of DEGs, GO annotation and KEGG pathway enrichment analyses were conducted. Biological process (BP), cellular component (CC), and molecular function (MF) were

| No. | Age | Sex | Site            | Size (cm) | Mitotic index | Grade  | Metastasis | Mutation |
|-----|-----|-----|-----------------|-----------|---------------|--------|------------|----------|
| 1   | 48  | М   | small intestine | 4         | 200           | high   | liver      | K11      |
| 2   | 71  | F   | stomach         | 7.8       | 50            | high   | liver      | K11      |
| 3   | 58  | М   | small intestine | 6         | 90            | high   | liver      | K11      |
| 4   | 63  | F   | stomach         | 10.3      | 10            | high   | liver      | K11      |
| 5   | 59  | М   | small intestine | 8         | 55            | high   | liver      | K11      |
| 6   | 57  | М   | stomach         | 7         | >5            | high   | liver      | K11      |
| 7   | 23  | М   | stomach         | 4.3       | 15            | high   | liver      | K11      |
| 8   | 54  | F   | small intestine | 4.7       | 4             | low    | liver      | K11      |
| 9   | 57  | F   | stomach         | 3.9       | >30           | high   | liver      | K11      |
| 10  | 50  | F   | stomach         | 2.5       | 6             | medium | liver      | K11      |
| 11  | 60  | М   | stomach         | 3.7       | 6             | medium | liver      | K11      |
| 12  | 48  | F   | stomach         | 4.5       | 15            | high   | liver      | K11      |
| 13  | 33  | F   | stomach         | 6         | 4             | medium | liver      | K11      |
| 14  | 57  | F   | stomach         | 2.3       | <3            | low    | liver      | K11      |
| 15  | 59  | F   | stomach         | 4.9       | 14            | high   | liver      | K11      |
| 16  | 59  | М   | stomach         | 5         | 9             | medium | liver      | K11      |
| 17  | 68  | F   | stomach         | 2.6       | 20            | high   | liver      | K11      |
| 18  | 52  | М   | stomach         | 7.5       | >10           | high   | liver      | K11      |

TABLE 1 Details of mutations, clinical features for the 18 GIST patients with liver metastasis.

the three categories that made up the GO terms. Statistical significance was determined to be adj. p < 0.05. Resulting p-values are adjusted for multiple testing using the "Benjamini–Hochberg" method.

### 2.5 Gene set enrichment analysis (GSEA)

To find out the different mechanisms between GIST with liver metastasis and GIST without metastasis, GSEA (Version: 3.0; http://software.broadinstitute.org/gsea/index.jsp) was performed (Subramanian et al., 2005). The threshold was set at p < 0.05.

### 2.6 Construction of PPI network and screening of hub genes

A database called Search Tool for the Retrieval of Interacting Genes (STRING) is used to study the functional protein association networks (Szklarczyk et al., 2017). The filtered DEGs had already been added to the STRING database. All PPI pairs with a cumulative score greater than 0.4 were retrieved. High-degree nodes seem to be essential for maintaining the network's overall stability. The degree of all nodes was calculated by Cytoscape (v3.6.1) plugin cytoHubba using the MCC algorithm (Chin et al., 2014), in this experiment, the genes with the top 10 highest MCC score values were considered as hub genes.

### 2.7 Kaplan-meier survival analyses of the hub genes

Survival analysis of hub genes was based on Kaplan–Meier Survival analyses, using GEPIA (http://gepia.cancer-pku.cn/) tool. According to the expression of each hub gene, the cancer patients were divided into low or high expression group based on the median mRNA expression of hub genes, at statistical significance of p < 0.05.

### **3 Result**

### 3.1 Characteristics of GIST patients with liver metastasis in our cohort

Our cohort consisting of 18 paired GIST tissues of patients with liver metastasis (LM) and corresponding non-tumor tissue (NT) samples. Details of mutations, clinical features for the 18 GIST patients with liver metastasis are presented in Table 1. Eight of the 18 patients were male and 10 were female. The youngest patient was 23 and the oldest was 71. Four of the 18 GISTs are small-intestine GISTs, and the remaining 14 are stomach GISTs. All patients presented with liver metastases. And all of the patients harbored a single non-synonymous mutation in KIT (Kit exon 11). The tumor size, mitotic index and location of primary tumors are demonstrated in Table 1.



### 3.2 Identification of differentially expressed genes (DEGs)

We developed a flow diagram to show our process (Figure 1). To characterize the tumor biology of GIST with liver metastasis, we performed whole-genome gene expression profiling in 18 pairs of RNA samples comprised of GIST with LM and NT tissues. 1121 genes were found to differentially express between LM and adjacent tissues, including 492 upregulated genes and 629 downregulated genes (Supplementary Data Sheet S1). Volcano map of DEGs was shown in Figure 2A. Subsequently, heatmap of DEGs was created, in which the mRNA expression profiles of LM and NT resulted in obviously separate clusters (Figure 2B). Principle Component Analysis (PCA) and hierarchical cluster analysis results were demonstrated in Figures 2C, D. GSE13861 (including 6 GIST and 19 surrounding normal fresh frozen tissues) is a dataset that compare GIST without liver metastasis with adjacent tissues, which serves as a comparison. DEGs in GSE13861 were calculated according to the criteria of p < 0.05 and |logFC|>2.0. 924 genes were found to differentially express between GIST and adjacent tissues, including 313 upregulated genes and 611 downregulated genes (Supplementary Data Sheet S2). Volcano map of DEGs is shown in Supplementary Figure S1A. Hierarchical clustering heatmap of DEGs was shown in Supplementary Figures S1B, C Shows PCA results of GSE13861. Hierarchical cluster analysis was visualized and important details were demonstrated in Supplementary Figure S1D.

# 3.3 GO and KEGG analysis of DEGs reveal the different enrich patterns of GIST with LM and GIST without LM

To characterize the biological mechanism of GIST liver metastasis, gene enrichment analysis including Gene ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analyses were conducted. DEGs acquired from the two datasets were subjected to enrichment separately. For GO biological process (BP), DEGs in our cohort were mainly enriched in cell junction assembly, cell-substrate adhesion and urogenital development, while DEGs in GSE13861 were mainly enriched in extracellular matrix organization, extracellular structure organization and external encapsulating structure organization. In terms of cellular component (CC), DEGs in our cohort were mainly enriched in collagen-containing extracellular matrix, cell-cell junction and apical part of cell. The CC enrichment results of GSE13861 were very similar to our cohort. For GO molecular function (MF), results were also similar between these two cohorts (Figures 3A, B). We further explored the function significance of these DEGs using KEGG pathway analysis. DEGs in our cohort were mainly enriched in PI3K-Akt signaling pathway and Tight junction, while DEGs in GSE13861 were mainly enriched in Fluid shear stress and atherosclerosis and Metabolism of xenobiotics by cytochrome P450 (Figures 3C, D). Changes in gene expression in PI3K-Akt signaling pathway and Tight junction signaling pathways in our cohort are depicted in detail in Figures 4A, B.



Identification of differentially expressed genes. (A) volcano map of differentially expressed genes (Upregulated genes in red, downregulated genes in blue). (B) Hierarchical clustering heatmap of DEGs screened on the basis of FC > 2.0 and a corrected p-value < 0.05. (C) Shows PCA results of our cohort. (D) Visual hierarchical cluster analysis.

## 3.4 Gene set enrichment analysis reveal the differences between GIST with LM and GIST without LM

GSEA was performed to identify the gene sets that were statistically different between the normal controls and GIST group (Taking p < 0.05 as the boundary value). The results illustrated that *Epithelial mesenchymal transition* (EMT) was the most significantly upregulated pathway in both cohorts (Figures 5A–C, E). DEGs in our cohort were also positively correlated and significantly enriched in *IL2 Stat5 Signaling* (Figures 5A, D, NES = 1.767 & P.adj <0.001). While in GSE13861, *IL2 Stat5 Signaling* was not in the top10-enriched pathways (Figure 5B).

### 3.5 PPI network construction and hub genes selection and analysis

To identify those genes which play significant roles in both tumorigenesis and liver metastasis of GIST, GSE13861 dataset containing GIST primary tumor tissues (PT) and corresponding non-tumor tissues (NT) was co-analyzed. The Venn diagram (Figure 7A) illustrated a total of 493 genes overlapped among our microarray results and GSE13861, consisting 188 upregulated genes and 305 downregulated genes (Supplementary Data Sheet S3). Using the STRING and Cytoscape databases, a PPI network of potential interactions between overlapping genes was constructed (Figure 6). The hub genes were selected from the PPI network using the MCC algorithm of CytoHubba plugin. According to the MCC scores, the top ten highest-scored genes included CDH1, CD34, KIT, PROM1, SOX9, FGF2, CD24, ALDH1A1, JAG1, and NES (Figure 7B and Supplementary Data Sheet S4). The abbreviations, names, and functions of these genes are displayed in Table 2. The function of these hub genes was analyzed by Metascape, in which as expected, these genes were mainly enriched in pathways in cell-cell adhesion (Figure 7C).

### 3.6 Validation and prognostic value of hub genes

Among above mentioned 10 hub genes, the expressions of CD34, KIT, PROM1, NES, and FGF2 respectively were higher in GIST (with LM) tissues (Figures 8A-E) compared to NT tissues (*p*-values all <0.001). Meanwhile reverse trend was found for the



expressions of the rest hub genes CDH1, SOX9, CD24, ALDH1A1, and JAG1 (Figures 8E–J, *p*-values all <0.001). These results are nearly identical to the findings from the GES13861 dataset (Figures 8K–T). Prognostic significance of hub genes was investigated in several types of gastrointestinal tumors including stomach adenocarcinoma, colon adenocarcinoma, esophageal carcinoma and rectal adenocarcinoma by the GEPIA database. The Kaplan-Meier analyses suggested that higher expression levels of FGF2, JAG1, CD34, and ALDH1A1 and the lower expression level of CDH1 were respectively associated with worse overall survival (OS) (Figure 9). Meanwhile higher expression levels of CD34, FGF2, KIT, JAG1, and ALDH1A were correlated with worse disease-free survival (DFS) (Figure 10).

### 4 Discussion

During the past decade, GIST has become the prominent focus of molecularly targeted therapy for solid tumors (Poveda et al., 2017; Hemming et al., 2018). GIST are more prevalent than previously thought, according to population-based studies (Corless and Heinrich, 2008). The incidence of GIST was found to be 14.5 per

million population, with the highest frequency being observed in older individuals and there was no gender difference (Gold and DeMatteo, 2006). The hallmarks of cancer consist of six biological traits: sustaining proliferative signaling, evading growth suppressors, evasion of apoptosis, limitless replicative potential, inducing angiogenesis, and ability to invade and metastasize (Hanahan and Weinberg, 2011). It is worth noting that the last characteristic, invasion and metastasis is vital for progressive nature of cancer. Many malignancies favor certain organs as metastatic sites, including the lungs, bone marrow, and liver. Liver metastases are a major cause of death in patients with colorectal cancer. The liver environment, which includes ECM and stromal cells, may encourage metastatic colonization. Metastatic colorectal cancer cell lines responded more favorably to ECM derived from primary rat hepatocytes than to ECM from fetal rat fibroblast cultures (Zvibel et al., 1998). The D6.1A tetraspanin, a cellsurface organizer, interacted with the 64 integrin and enhanced liver colonization by pancreatic cancer cells injected intraperitoneally (Herlevsen et al., 2003).

Patients with GIST have a high risk of recurrence (about 55–72 percent) and a dismal survival rate due to malignant cells preferentially metastasizing to liver tissue (DeMatteo et al., 2000; Bayraktar et al., 2010). Cho et al. discovered that Compared to KIT



Pathview map of (A) PI3K-AKT Signaling Pathway (map 04,151) and (B) Tight Junction (map04530) using data of our cohort. Upregulated genes in red, downregulated genes in green.



### FIGURE 5

GSEA analysis of DEGs in the data sets. (A) The top 10 enriched KEGG items for the DEGs in our cohort, and (B) DEGs in GSE13861 dataset. Taking p < 0.05 as the boundary value. Significant enrichment of the Epithelial Mesenchymal Transition (C) and IL-2 STAT5 Signaling (D) with DEGs in our cohort. Significant enrichment of the Epithelial Mesenchymal Transition (E) and IL-2 STAT5 Signaling (F) with DEGs in GSE13861.



### FIGURE 6

Protein–protein interaction network of 188 upregulated genes and 305 downregulated genes were analyzed using Cytoscape software. The edges between 2 nodes represent the gene-gene interactions. Upregulated hub genes in red, downregulated hub genes in teal.

mutation-negative GISTs, KIT mutation-positive GISTs had more frequent liver metastases and worse mortality (Cho et al., 2006). Wang et al. reported that the KIT exon 11,557-558 deletion upregulates CXCR4 by increasing ETV1 binding to the CXCR4 promoter in GIST cells, which in turn encourages liver metastasis (Wang et al., 2016). As such, to better understand GIST biological behavior and inform the development of treatment strategies, it is critical to identify the significant genes that regulate the liver metastasis of GIST. Advances in bioinformatics have been conducive to identify molecular targets that indicate the progression of GIST (Amirnasr et al., 2019; Ohshima et al., 2019).

In this study, a total of 492 upregulated genes and 629 downregulated genes were identified in GIST with LM compared to corresponding NT. Function annotation based on GO and KEGG analyses demonstrated that DEGs were mainly enriched in *cell junction assembly, tight junction, actin binding* and *PI3K-Akt signaling pathway*. GSEA results indicated that *IL-2 STAT5 Signaling* may be a vital pathway which promotes liver metastasis of GIST. Meanwhile, *EMT signal pathway* is the most significant and positive enriched pathway in both our cohort and GSE13861, which indicated that EMT may play a significant role in tumorigenesis and liver metastasis of GIST. Furthermore, to identify genes which play essential roles in both tumorigenesis and liver metastasis of GIST, our data and GSE13861 dataset were co-analyzed. A totally of 493 genes overlapped among our microarray results and GSE13861, including 188 upregulated genes and 305 downregulated genes. Then a PPI network of putative interactions between overlapping genes was



Hub genes selection and analysis. (A) Venn diagram shows the 493 overlapping DEGs. (B) The top 10 hub genes in the PPI network were screened by Cytoscape plugin cytoHubba. The 10 identified hub genes such as CDH1, CD34, KIT, PROM1, SOX9, FGF2, CD24, ALDH1A1, JAG1, NES are displayed from red (high degree value) to yellow (low degree value). (C) GO and KEGG pathway enrichment analysis of the 10 hub genes.

#### TABLE 2 Details of hub genes.

| Gene<br>symbol | Degree | Full name                                    | Gene function   |
|----------------|--------|--|---|
| CDH1           | 63     | Epithelial cadherin                          | Loss of CDH1 is thought to contribute to progression in cancer by increasing proliferation, invasion, and/or metastasis   |
| CD34           | 40     | CD34   | CD34 is a cell surface glycoprotein and function as a cell-cell adhesion factor.  |
| KIT            | 39     | KIT proto-oncogene receptor tyrosine kinase  | Mutations in this gene are associated with gastrointestinal stromal tumors, mast cell disease, acute myelogenous leukemia, and piebaldism.                                  |
| PROM1          | 37     | prominin-1                                   | PROM1 is often expressed on adult stem cells, where it is thought to function in maintaining stem cell properties by suppressing differentiation.                           |
| SOX9           | 37     | SRY-box transcription factor 9               | SOX-9 plays a pivotal role in male sexual development; by working with Sf1, SOX-9 can produce AMH in Sertoli cells to inhibit the creation of a female reproductive system. |
| FGF2           | 36     | fibroblast growth factor 2                   | FGF2 is involved in a variety of biological processes, including cell growth, morphogenesis, tissue repair, tumor growth and invasion.                                      |
| CD24           | 34     | CD24   | CD24 is overexpressed in many cancers and some cancer stem cells and is associated with the development, invasion, and metastasis of cancer cells.                          |
| ALDH1A1        | 32     | aldehyde dehydrogenase 1 family<br>member A1 | High ALDH1A1 activity is closely related to stemness phenotype of several tumors, possibly contributing to cancer progression and diffusion in the body.                    |
| JAG1           | 31     | jagged canonical Notch ligand 1              | JAG1/Notch signaling cascades activate a number of oncogenic factors that regulate cellular functions such as proliferation, metastasis, drug-resistance, and angiogenesis. |
| NES            | 30     | Nestin                                       | Nestin may be a marker for newly synthesized tumor vessels and a therapeutic target for tumor angiogenesis.   |

created using the STRING and Cytoscape databases and hub genes were selected from the PPI network using the MCC algorithm of CytoHubba plugin. According to the MCC scores, the top ten highest-scored genes were CDH1, CD34, KIT, PROM1, SOX9, FGF2, CD24, ALDH1A1, JAG1, and NES.

The phosphatidylinositol PI3K/AKT/mTOR pathway is a critical survival pathway for cell proliferation, apoptosis, autophagy and translation in neoplasms (Patel, 2013). Constitutive autophosphorylation of RTKs has an impact on the activation of the PI3K/AKT/mTOR pathway (Vara et al., 2004; Fruman and Rommel, 2014). In several preclinical and early-stage clinical trials PI3K/AKT/ mTOR signaling inhibition has been considered as a promising targeted therapy strategy for GISTs (Duan et al., 2020). Our results suggest that, unlike GIST, liver-metastatic GIST has more genes enriched in the PI3K-Akt signaling pathway. We hypothesized that PI3K-Akt signaling pathway is an important pathway to promote liver metastasis of GIST. It can be used as a target to prevent and treat liver metastasis of GIST.

Tight junction is the most talked-about structure in epithelial and endothelial cells because they control permeability (Jiang et al., 1999; Tsukita et al., 1999). It is an area where neighboring cells' plasma membranes make a sequence of connections that appear to totally obstruct the extracellular space, forming an intercellular barrier and intramembrane diffusion fence (Wong and Gumbiner, 1997). The majority of malignancies are characterized by abnormal growth control, tissue architecture loss, and loss of differentiation. The feature that cancer cells' mutual adhesiveness is much less than that of normal cells is a key characteristic of cancer cells (Martin and Jiang, 2009). Reduced cell-cell interaction leads cancer cells to rebel against the social order, resulting in the breakdown of overall tissue architecture, a morphological hallmark of malignancy. The loss cell-cell junction and tight junction are changes associated with cancer progression to an invasive, metastatic state (Thomson et al., 2011). The cytokine interleukin-2 (IL-2) was first discovered in 1976 as a T cell growth factor (Morgan et al., 1976). While IL-2 has been shown to activate several STAT family members, including STAT1, STAT3, and STAT5, STAT5 is the predominant IL-2 signaling molecule (Hou et al., 1995; Lin et al., 1995). Indeed, IL-2 has also been shown to signal *via* the Mitogen Activated Protein Kinase (MAPK) pathway, *via* extracellular signal-regulated kinase (ERK), as well as the PI3K pathway (González-García et al., 1997; Liao et al., 2013; Ross and Cantrell, 2018). In this study, we identified *IL-2 STAT5 Signaling* is the second and positively enriched pathway using GSEA in DEGs in our cohort, while in GSE13861, *IL-2 Stat5 Signaling* was not in the top10-enriched pathways. This result indicates that *IL-2 STAT5 Signaling* may be a vital pathway which promotes liver metastasis of GIST.

The extracellular matrix (ECM) performs many functions in addition to its structural role; as a major component of the cellular microenvironment it influences cell behaviors such as proliferation, adhesion and migration, and regulates cell differentiation and death (Hynes, 2009). Abnormal ECM dynamics can result in uncontrolled cell proliferation and invasion, failure of cell death, and loss of cell differentiation, which can lead in congenital abnormalities and pathological processes such as tissue fibrosis and cancer. As the ECM's significance in tumor progression becomes more evident, cancer treatment strategies have started to focus on specific ECM components in an effort to reduce metastasis (Walker et al., 2018; Paolillo and Schinelli, 2019; Girigoswami et al., 2021).

Epithelial mesenchymal transition (EMT) is a crucial developmental process that triggers the transdifferentiation of polarized epithelial cells into mesenchymal cells during tumor invasion and metastasis (Kalluri and Weinberg, 2009; Polyak and Weinberg, 2009). Cancer cells acquire invasive and metastatic characteristics with activation of EMT, which facilitates effective



colonization of distal target organs (Tsai and Yang, 2013). In line with previous study, we found that EMT signal pathway enriched in GIST tissues of patients with liver metastasis compared to corresponding pericancerous tissues, which indicated that EMT may play a significant role in liver metastasis of GIST.

E-cadherin (also known as cadherin-1 or CDH1), a protein belonging to the cadherin family, is possibly one of the most potent and extensively researched regulators of adhesion. Together with associated Catenins, E-cadherin is essential for regulating cell adhesion, signaling and transcription in cancers and controlling metastatic progression (Jiang and Mansel, 2000). Alteration in cell adhesion molecules (CAMs), such as E-cadherin affect the processes of cell-cell adhesion and cell-matrix adhesion and subsequently their metastatic potential. It also regulates the cell cycle regulators p27kip1 and p57kip2, which are essential for cell-cell contact inhibition in healthy tissue but are lost or disrupted in cancer cells, primarily due to the loss of E-cadherin in cancer cells (Croix et al., 1998; Cavallaro and Christofori, 2004a; Migita et al., 2008). Therefore, decreased cell-cell adhesion not only increases the potential for metastatic dissemination of cancer cells, but also encourages unchecked cell proliferation through the absence of contact inhibition (Cavallaro and Christofori, 2004b). Indeed, studies has shown a correlation between reduced E-cadherin and  $\alpha$ -catenin expression with increased tumor cell invasiveness (Zschiesche et al., 1997). Sheng Liu et al. demonstrated that reduced E-cadherin expression was correlated with distant metastasis of GIST and E-cadherin was thus considered as risk factor for GIST metastasis. In our study, E-cadherin had been identified as the top hub gene and to be involved in the process of tumorigenesis and liver metastasis of GIST. The results of our study demonstrated decreased expression levels of E-cadherin were associated with unfavorable OS in gastrointestinal tumors. Therefore, we believe that it mediates the liver metastasis of GIST and can be used as a target for the treatment of metastatic GIST.

ETV1, a transcription factor from the ETS family, is a master regulator of the normal lineage specification and development of the ICCs which are the precursors to GIST (Chi et al., 2010). Hao-Chen Wang et al. reported that upregulating ETV1 expression induced CXCR4 expression, which promoted liver metastasis of GIST (Wang et al., 2016). We compared ETV1 expression in our cohort and found that ETV1 are upregulated in GIST tissues of patients with liver metastasis compared with corresponding non-tumor tissue (Supplementary Figures S2A, C). Our result supports ETV1's



stimulative role in liver metastasis of GIST. Besides, it has been demonstrated that ETV4 expression impacted Wnt/catenin signaling and was correlated to an aggressive phenotype in GIST (Zeng et al., 2017). However, our results showed no significant difference in ETV1 expression levels in GIST compared to the adjacent tissues in both our cohort and GSE13861 (Supplementary Figures S2B, D). Further research in this area is needed.

The major limitation of the present study is that Tumor transcriptome programs are rather diverse, both within tumor cells due to somatic genetic changes and within tumor microenvironments due to extensive infiltration of the stroma and other cell types in the tumor. An average gene expression profile from microarray can mask the real signals causing the liver metastasis of GIST from a rare cell population or cell type. Besides, it has been indicated that long noncoding RNAs (LncRNAs) participate in certain pro-metastatic stages, such as the epithelial mesenchymal transition, invasion and migration, and organotrophic colonization, and they also have an impact on the metastatic tumor microenvironment (Amirnasr et al., 2020; Liu et al., 2021). The gene chips we used in current study only contain probes for protein-coding mRNAs but not LncRNAs. Thus, further researches should be conducted to elucidate the potential function of LncRNAs in liver metastasis of GIST. Moreover, a direct comparison of liver metastases and primary sites of GIST maybe a better study protocol. But, on one hand, liver metastases from GIST patients are difficult to obtain because they are usually treated by ablation. On the other hand, we think that the transcription level of GIST with liver metastasis has already changed before metastasis, the potential role of these genes in promoting liver metastases cannot be ignored. This information is lost if direct compare liver metastases samples and primary lesions. It would be better if we collected GIST



specimens without liver metastasis and adjacent tissues at the same time. This reduces batch effects compared to using data from GEO databases for comparison. Furthermore, there is currently no public database contains both prognostic and gene sequencing data of GIST. And, our cohort contained too few cases (only 18 patients) to survival analysis. So, we can only retreat to the next best, using TCGA database for survival analysis. Whether these hub genes in GIST have prognostic value remains to be further confirmed.

In summary, through analyzing data of self-made whole-genome gene expression profiling and GEO dataset, we identified those signal pathways and hub genes that played significant roles in the tumorigenesis and liver metastasis of GIST. Further studies with larger sample sizes should be carried out to validate the present findings. Additionally, experimental evidence is warranted to investigate the functional roles of the identified hub genes in the liver metastasis of GIST. We sincerely hope that this present study will contribute to the discovery of therapeutic target for liver metastatic GIST.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials, further inquiries can be directed to the corresponding author.

### Author contributions

HQ: Conceptualization; Supervision; Project administration. JG: Investigation; Formal analysis; Writing—review and editing. SF: Investigation; Writing–original Draft. HY, BO, and DJ: Data collecting. CD, XC, and MZ: Writing–Review and Editing. YL and YZ: Data Curation. WZ: Collecting of clinical samples.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2023.1007135/ full#supplementary-material

### SUPPLEMENTARY FIGURE S1

Identification of differentially expressed genes of GSE13861 dataset. (A) Volcano map of differentially expressed genes (Upregulated genes in red, downregulated genes in blue). (B) Hierarchical clustering heatmap of DEGs screened on the basis of FC >2.0 and a corrected P value <0.05. (C) Shows PCA results of our cohort. (D) Visual hierarchical cluster analysis.

#### SUPPLEMENTARY FIGURE S2

Expression of ETV1 and ETV4. (A, B) Expression of ETV1 and ETV4 in GIST (with liver metastasis) tissues and correspond non-tumor tissues in our cohort. (C, D). Expression of ETV1 and ETV4 in primary GIST tissues and correspond non-tumor tissues in GSE13861 dataset.

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