

# Insights in cancer genetics and oncogenomics 2022

**Edited by**

Anton A. Buzdin, Tugba Önal-Süzek and Xin Hong

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# Insights in cancer genetics and oncogenomics: 2022

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# Cross-talk between necroptosis-related lncRNAs to construct a novel signature and predict the immune landscape of lung adenocarcinoma patients

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**Background:** As a new style of cell death, necroptosis plays a crucial role in tumor immune microenvironment. lncRNAs have been identified to act as competitive RNAs to influence genes involved in necroptosis. Therefore, we aim to create a signature based on necroptosis-related lncRNAs to predict the prognosis and immune landscape of lung adenocarcinoma (LUAD) patients in this study.

**Methods:** TCGA database was used to acquire RNA sequencing (RNA-Seq) data and clinical information for 59 lung normal samples and 535 lung adenocarcinoma samples. The Pearson correlation analysis, univariate cox regression analysis and least absolute shrinkage and selection operator (LASSO) cox regression were performed to construct the prognostic NRlncRNAs signature. Then we used Kaplan-Meier (K-M) analysis, time-dependent ROC curves, univariate and multivariate cox regression analysis, and nomogram to validate this signature. In addition, GO, KEGG, and GSVA were analyzed to investigate the potential molecular mechanism. Moreover, we analyzed the relationship between our identified signature and immune microenvironment, TMB, and some clinical characteristics. Finally, we detected the expression of the six necroptosis-related lncRNAs in cells and tissues.

**Results:** We constructed a NRlncRNAs signature consisting of six lncRNAs (FRMD6-AS1, LINC01480, FAM83A-AS1, FRMD6-AS1, MED4-AS1, and LINC01415) in LUAD. LUAD patients with high risk scores had lower chance

**Abbreviations:** LUAD, lung adenocarcinoma; RIPK1/RIPK3, receptor-interacting protein kinases1/3; MLKL, mixed lineage kinase domain like pseudokinase; lncRNAs, long non-coding RNAs; ncRNAs non-protein-coding RNAs; NRGs, necroptosis-related genes; NRlncRNAs, necroptosis-related lncRNAs; TIME, tumor immune microenvironment; TCGA, The Cancer Genome Atlas; GRCh38, the genome reference consortium human build 38; LASSO, the least absolute shrinkage and selection operator; OS, overall survival; K-M curves, Kaplan-Meier curves; DCA, decision curve analysis; ROC curve, receiver operating characteristic curve; AUC, area under curve; GSVA, gene set variant analysis; GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes; TMB, tumor mutation burden; ATCC, American Type Culture Collection; RT-qPCR, quantitative real-time PCR.

of survival with an AUC of 0.739, 0.709, and 0.733 for 1-year, 3-year, and 5-year respectively. The results based on GO, KEGG, and GSEA enrichment analysis demonstrated that NRlncRNAs signature-related genes were mainly correlated with immune pathways, metabolic-and cell growth-related pathways, cell cycle, and apoptosis. Moreover, the risk score was correlated with the immune status of LUAD patients. Patients with higher risk scores had lower ESTIMATE scores and higher TIDE scores. The risk score was positively correlated with TMB. LINC01415, FRMD6-AS1 and FAM83A-AS1 were significantly overexpressed in lung adenocarcinoma, while the expression levels of MED4-AS1 and LINC01480 were lower in lung adenocarcinoma.

**Conclusion:** Overall, an innovative prognostic signature based on NRlncRNAs was developed for LUAD through comprehensive bioinformatics analysis, which can act as a predictor of immunotherapy and may provide guidance for clinicians.

#### KEYWORDS

lung adenocarcinoma, necroptosis, lncRNA, gene signature, immune landscape

## Introduction

Lung adenocarcinoma (LUAD) is the most common type of lung cancer nowadays (Siegel et al., 2021). Although there are a variety of comprehensive therapeutic styles such as surgery, chemotherapy, radiotherapy and immunotherapy, some patients cannot be effectively treated and have a low 5-year overall survival rate due to the lack of specific targets (Nasim et al., 2019; Franzi et al., 2022). Therefore, to improve the prognosis and treatment of LUAD patients, it is vital to establish novel, efficient biomarkers and therapeutic approaches.

As a new style of cell death, necroptosis is universally mediated by receptor-interacting protein kinases1/3 of the receptor family (RIPK1/RIPK3) and is mainly governed by the effector protein Mixed Lineage Kinase Domain Like Pseudokinase (MLKL) (Frank and Vince, 2019; Yuan et al., 2019). Furthermore, necroptosis is able to modulate tumor immune responses which may lead to potential immunotherapeutic benefits (Gong et al., 2019; Tang et al., 2020a; Galluzzi and Garg, 2021). In one aspect, during necroptosis, cancer cells release cytokines and chemokines that stimulate inflammatory and tumor-modulating effects in tumor microenvironment. By luring macrophages and dendritic cells, on the other hand, necroptotic tumor cells encourage effector T cells to penetrate tumor tissues, which strengthens the immunosuppression of the tumor. Therefore, targeting necroptosis could lead to novel cancer therapies, especially immunotherapy. Nevertheless, the specific regulatory mechanism of necroptosis in lung cancer remains unclear.

Long non-coding RNAs (lncRNAs) are a class of non-protein-coding RNAs (ncRNAs) whose length is more than 200 nucleotides (Grote and Boon, 2018). A growing body of evidence has demonstrated that lncRNAs play a crucial role in lung cancer progression and the immune pathway (Chen et al.,

2017; Bocchetti et al., 2021; Park et al., 2022). lncRNAs can alter cancer cells' resistance to immune responses, leading to immune evasion. In addition, several studies have demonstrated that lncRNAs can also act as competitive RNAs to influence genes involved in necroptosis (Jiang et al., 2021; Zhao et al., 2021; Chen et al., 2022). However, few studies have been done on necroptosis-related lncRNAs (NRlncRNAs) and tumor immune microenvironment (TIME) in LUAD.

In this study, an innovative prognostic signature based on NRlncRNAs was developed for LUAD. Additionally, we validated its clinical significance, confirming that this signature can act as a predictor of immunotherapy and may provide guidance for clinicians.

## Methods and materials

### Data sources

We downloaded the RNA sequencing data (59 normal tissues and 535 tumor tissues) and corresponding clinical information of LUAD samples from the TCGA database (<https://portal.gdc.cancer.gov/>). Necroptosis-related genes were extracted from previous studies (Fan et al., 2014; Frank and Vince, 2019; Gong et al., 2019; Molnár et al., 2019; Yuan et al., 2019; Tang et al., 2020a). The mutation data in MAF format of LUAD samples was also obtained from TCGA. Next, we downloaded the Genome Reference Consortium Human Build 38 (GRCh38) to annotate lncRNAs and mRNAs by performing Perl scripts.

Identification of Differentially Expressed Necroptosis-related lncRNAs in LUAD.

4191 lncRNAs and 19116 mRNAs were identified from the TCGA-LUAD RNA-seq data. The co-expression correlations between NRGs and lncRNAs in LUAD samples were



investigated through Pearson correlation analysis. The cutoffs for this study were  $|\text{Coefficient}| > 0.4$  and  $p\text{-value} < 0.001$ . The “igraph” R package was used to get the co-expression network of NRGs and predictive lncRNAs. Lastly, we analyzed differentially expressed NRlncRNAs using the “limma” package ( $|\log\text{Fc}| = 1$ ,  $\text{FDR} < 0.05$ ).

## Construction and validation the prognostic NRlncRNAs signature

First, the prognosis-related NRlncRNAs were identified in TCGA-LUAD through univariate Cox proportional regression analysis ( $p < 0.05$ ). Significant lncRNAs were visualized in heatmap by using “heatmap” package. The Sankey plot was created by the “limma”, “dplyr”, “ggalluvial,” and “ggplot2” packages to visualize the correlation between NRGs and NRlncRNAs. Then, “caret” package was utilized to allocate all patients into the training and the testing sets. The “glmnet” was performed to select significant NRG-lncRNA into the Least absolute shrinkage and selection operator (LASSO) cox regression. The LASSO Cox regression approach was used to find the best panel of prognostic lncRNAs and create an optimum signature. The standardized expression levels of NRLs and the related regression coefficients produced from the LASSO regression analysis were then used to calculate each LUAD patient’s survival risk score. The formula is given:

$$\text{Risk score} = \sum (\text{Coef}(\text{lncRNA}_i) \times \text{Exp}(\text{lncRNA}_i)).$$

Coef and Exp denote the coefficient and the standardized expression levels of each NRL. The training set’s median risk score was used as the demarcation point to divide LUAD samples into low- or high-risk subgroups. To compare the overall survival (OS) of the high-risk and low-risk subgroups among the training and testing sets, Kaplan-Meier (K-M) curves were generated by performing the “survival” package. A heatmap was utilized to display the significant lncRNA in this model. Time-dependent ROC curves were generated to assess the survival predictive ability of the NRlncRNAs signature. Univariate and multivariate cox regression analysis were performed to detect the independence of this prognostic risk model. We also contrasted the differences among different risk groups and clinical characteristics by using “limma” R package (Ma et al., 2020). To determine if our NRlncRNAs signature risk model is superior to previously reported signatures in LUAD, we compared its predictive power to that of other signatures, including two five-lncRNA signatures (Song et al., 2021; Wang et al., 2022) and a seven-lncRNA signature (Yao et al., 2021). The lncRNAs in these signatures were obtained from the corresponding published literature, and the AUC of 1-, 3-, and 5-year ROC curves, as well as the OS, were calculated for each signature.

## Development of a nomogram score system

Subsequently, a nomogram score system based on age, stage, gender, and risk score of each patient with LUAD was constructed to predict the prognosis of individual patient outcomes. The procedure was run by using “survival” and “rms” packages. The calibration curve was conducted to assess the consistency between the actual outcomes and the predicted prognosis. The AUC of the ROC curve was used to compare the prediction abilities of the nomogram with other prognostic factors. Moreover, decision curve analysis (DCA) was used to evaluate the net clinical utility of the nomogram.

## Function enrichment analysis and gene set variant analysis (GSVA)

To investigate the difference in potential molecular function and cancer-associated signaling, we analyzed the DEGs between high-risk and low-risk groups. Then Gene Oncology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses were conducted via R packages including clusterProfiler, org.Hs.eg.db and enrichplot. In addition, we performed GSVA to explore the NRlncRNAs signature in KEGG and GO. “c2.cp.kegg.v7.2.symbols.gmt” and “c5.go.v7.4.symbols.gmt” gene sets were downloaded from the MSigDB database. The procedure was conducted by using R packages including GSVA, limma, GSEABase and heatmap. Adjusted  $p < 0.05$  was considered as statistical significance.

## Immune microenvironment, immune check-point and immune therapy response analysis

To estimate the connection between the NRlncRNAs signature and immune microenvironment of LUAD samples, a gene expression matrix-based ESTIMATE algorithm was utilized to determine the infiltration levels of stromal cells and immune cells in tumors (Yoshihara et al., 2013). The immune and stromal scores reflected the infiltration levels of immune cells and stromal cells, respectively, while the ESTIMATE score was a stroma-immune composite score. Tumor-infiltrating immune cell dataset was obtained from TIMER2.0 (<http://timer.cistrome.org>) database. We applied TIMER (Li et al., 2017), CIBERSORT (Chen et al., 2018), QUANTISEQ (Plattner et al., 2020), MCP-counter (Dienstmann et al., 2019), xCELL (Aran et al., 2017), and EPIC (Racle et al., 2017) algorithms to compare immune cell abundance between high-risk and low-risk groups based on the NRlncRNAs signature. The expression of immune checkpoint genes between different risk groups was examined to assess the potential effects on immunotherapy. To predict

immunotherapy response in patients with malignant tumors, tumor immune dysfunction and exclusion (TIDE) score (<http://tide.dfci.harvard.edu/>) was calculated.

## Analysis of tumor mutation burden, prediction of the effect of chemotherapy and target therapy

The “maftools” R package was used to analyze the tumor mutation burden (TMB) of LUAD samples (Mayakonda et al., 2018). By comparing TMB between high- and low-risk groups, the top 20 genes with the highest mutation rate and their mutation types were obtained. Then the Kaplan–Meier survival curves were used to assess the effect of TMB on the OS of LUAD patients. The “pRRophetic” R package was performed to predict the IC50 of commonly used chemotherapeutic drugs (Geeleher et al., 2014). Wilcoxon signed-rank test was used to determine the difference between the groups.

## Consensus clustering for NRlncRNAs signature

Unsupervised consensus clustering was conducted on 490 LUAD patients using “ConsensusClusterPlus” based on the expression of the NRlncRNAs signature to find potential molecular subgroups (Wilkerson and Hayes, 2010). The “survival” and “survminer” packages were used to perform Kaplan–Meier survival analysis between distinct clusters in R software. Principle component analysis was performed to explore the discrimination among different clusters and risk groups. A Sankey diagram was plotted to display the molecular subtypes and survival status of patients in different risk groups. The “heatmap” R package was used to examine the differences in molecular subtypes for diverse clinicopathological characteristics. GSVA analysis was performed to identify the potential KEGG pathways associated with different clusters. TMB value, the abundance of infiltrating immune cells, the expression of checkpoints and drug sensitivity analysis of different clusters were evaluated as mentioned earlier.

## Cell culture

Immortalized lung epithelial cells (BEAS-2B) and lung adenocarcinoma cells (A549/PC9) were all obtained from American Type Culture Collection (ATCC). BEAS-2B and A549 cells were incubated in DMEM high glucose medium with 10% fresh fetal bovine serum. PC9 cells were incubated in RPMI 1640 medium with 10% fresh fetal bovine serum. All

the cells were cultured in a constant-temperature incubator (37°C, 5% CO<sub>2</sub>) for proper time to get the total RNA.

## Quantitative real-time PCR (RT-qPCR)

Total RNA of cells (BEAS-2B, A549 and PC9) and tissues was extracted by using an RNA extraction kit (Tiangen) following the protocol. 1000ng of total RNA was reversely transcribed and then PCR amplification of obtained cDNA was processed by using the kit (Cowin Bio.) and right primers. Sequences of primers we used in this study were designed by Primer-BLAST and were listed in supplemental table (Supplementary Table S1).

## Statistical analysis

R 4.0.4 (<https://www.r-project.org/>) was used for all statistical analyses. The chi-square test or Fisher’s exact test were used to test categorical variables. On continuous variables, the t-test or Wilcoxon test was used. Statistical significance was defined as  $p < 0.05$ .

## Results

### Identification of differentially expressed necroptosis-related LncRNAs in patients with LUAD

In this study, 490 LUAD samples with comprehensive clinical data were included for further analysis. A flowchart of the study is presented in Figure 1. Firstly, we identified 67 NRGs from previous studies (Supplementary Table S2). Then, we analyzed the expression matrix of NRGs and lncRNAs by performing Pearson correlation analysis and obtained 586 NRlncRNAs (Supplementary Table S3). The network of mRNA-lncRNA co-expression showed a potential connection of 46 NRGs and 586 NRlncRNAs (Figure 2A). Among the 586 NRlncRNAs, 249 were found to be differentially expressed using the criteria:  $|\log F_c| = 1$ , FDR < 0.05 (Figure 2B). The heatmap displayed the 249 NRG-lncRNAs expression landscape between tumor and normal tissues (Figure 2C).

### Development and validation of the prognostic risk model

First, a total of 15 NRlncRNAs were identified as the prognostic lncRNAs for patients with LUAD by univariate Cox regression analysis. (Figure 3A). The expression heatmap of 15 prognostic NRlncRNAs was presented in Figure 3B. The

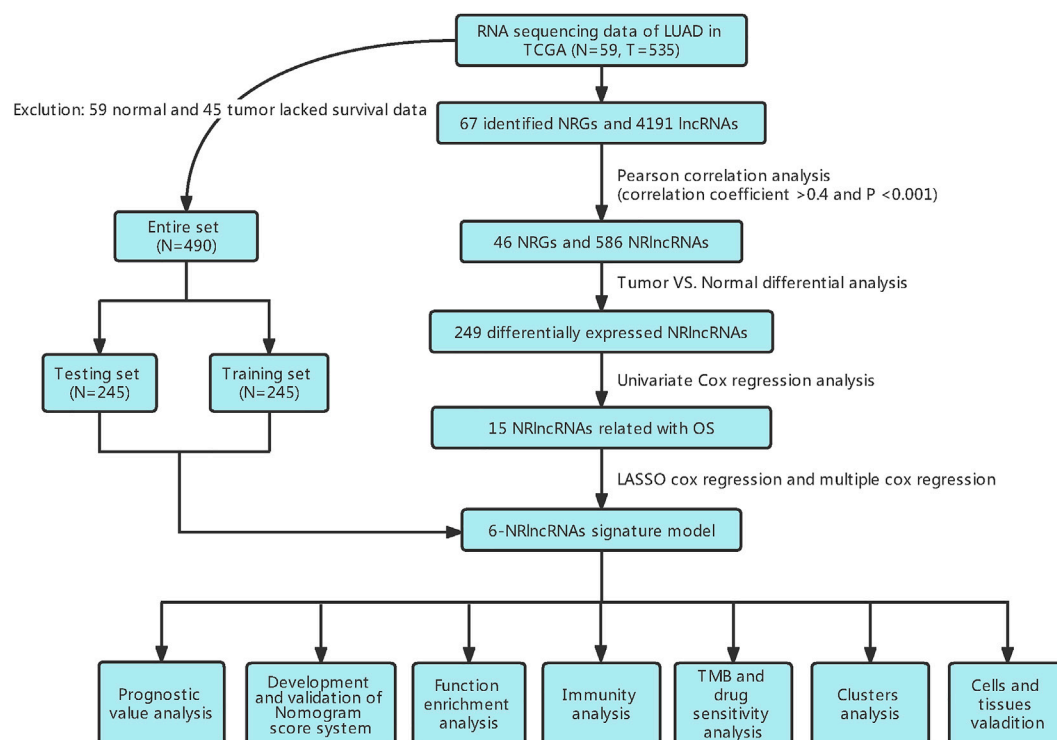
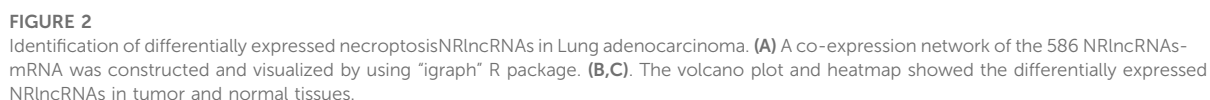


FIGURE 1

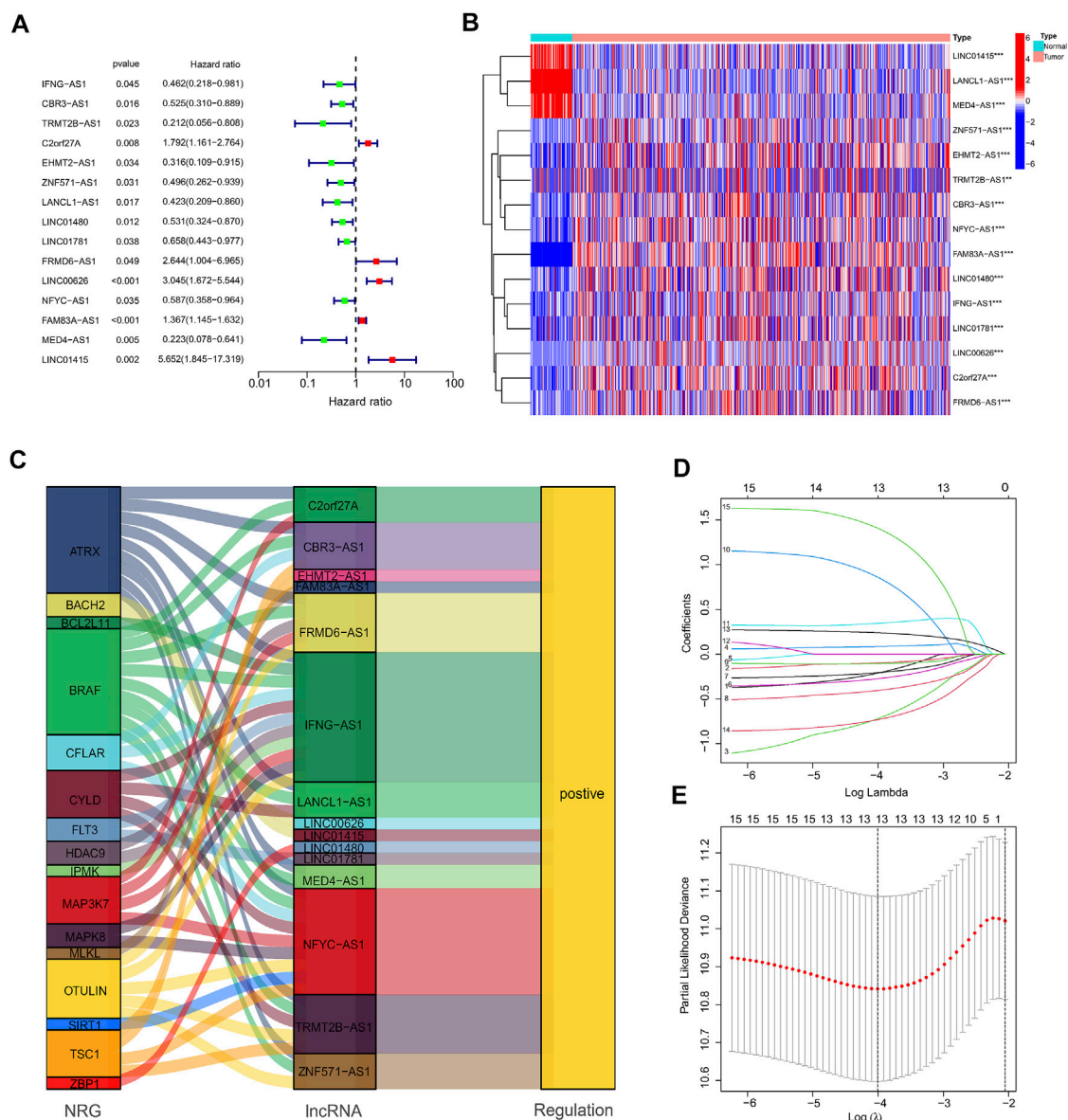
Flow diagram of the study design.

Sankey diagram showed the positive regulatory relationship between 16 NRGs and 15 NRlncRNAs (Figure 3C). Next, we randomly divided 490 LUAD samples into a training dataset ( $n = 245$ ) and a testing dataset ( $n = 245$ ), and we performed LASSO Cox regression to select 13 NRlncRNAs for multiple Cox regression (Figures 3D,E; Supplementary Table S4). Finally, the risk model was updated using multivariate Cox regression analysis and included six NRlncRNAs. Risk score =  $\text{TRMT2B-AS1} * (-1.5285) + \text{LINC01480} * (-0.7024) + \text{FRMD6-AS1} * 1.3853 + \text{FAM83A-AS1} * 0.3043 + \text{MED4-AS1} * (-1.2861) + \text{LINC01415} * 2.0938$ . The expression of six NRlncRNAs between high- and low-risk groups was presented in Supplementary Figure S1. Based on the median risk score of the training set, we divided samples into high-risk group and low-risk group in the training, testing and entire datasets (Supplementary Figure S2). And we discovered that the majority of the dead patients belonged to the high-risk category (Supplementary Figure S2). The expression of six NRlncRNAs in high- and low-risk groups was shown in Figures 4A–C. Additionally, the K-M curves indicated that patients with a high-risk score had a lower chance of survival than patients with a low-risk score. (Figures 4D–F). NRlncRNAs To further investigate the prognosis value of this signature, we performed the time-dependent receiver

operating characteristic (ROC) analysis. The area under curve (AUC) values for the 1-year, 2-year, and 3-year survival rates showed good specificity and sensitivity of this signature in predicting OS either in the training, testing, or entire group (Figures 4G–I). Next, we investigated whether the signature was independent of other clinical characteristics using univariate and multivariate Cox regression analysis. The results indicated that the risk score of our signature was correlated with the OS and it acted as an independent prognostic predictor for patients with LUAD (Figures 5A–F). To explore the differences in risk score among different subgroups of patients, we discovered that male and advanced patients had a higher risk score than female and early-stage patients in the entire TCGA cohort (Figures 5G–I). Furthermore, the survival rate was significantly lower in the high-risk group than in the low-risk group in the age  $\geq 60$  ( $p < 0.001$ ), age  $< 60$  ( $p = 0.033$ ), male ( $p < 0.001$ ), female ( $p < 0.001$ ), stage I and stage II ( $p = 0.001$ ), and stage III and stage IV ( $p = 0.002$ ) subgroups of patients, according to stratified survival analysis in combination with clinical characteristics (Supplementary Figure S3). Additionally, compared with other previously identified signatures, our risk model based on the 6 lncRNAs signature had better predictive power (Supplementary Figure S4).





**FIGURE 3**

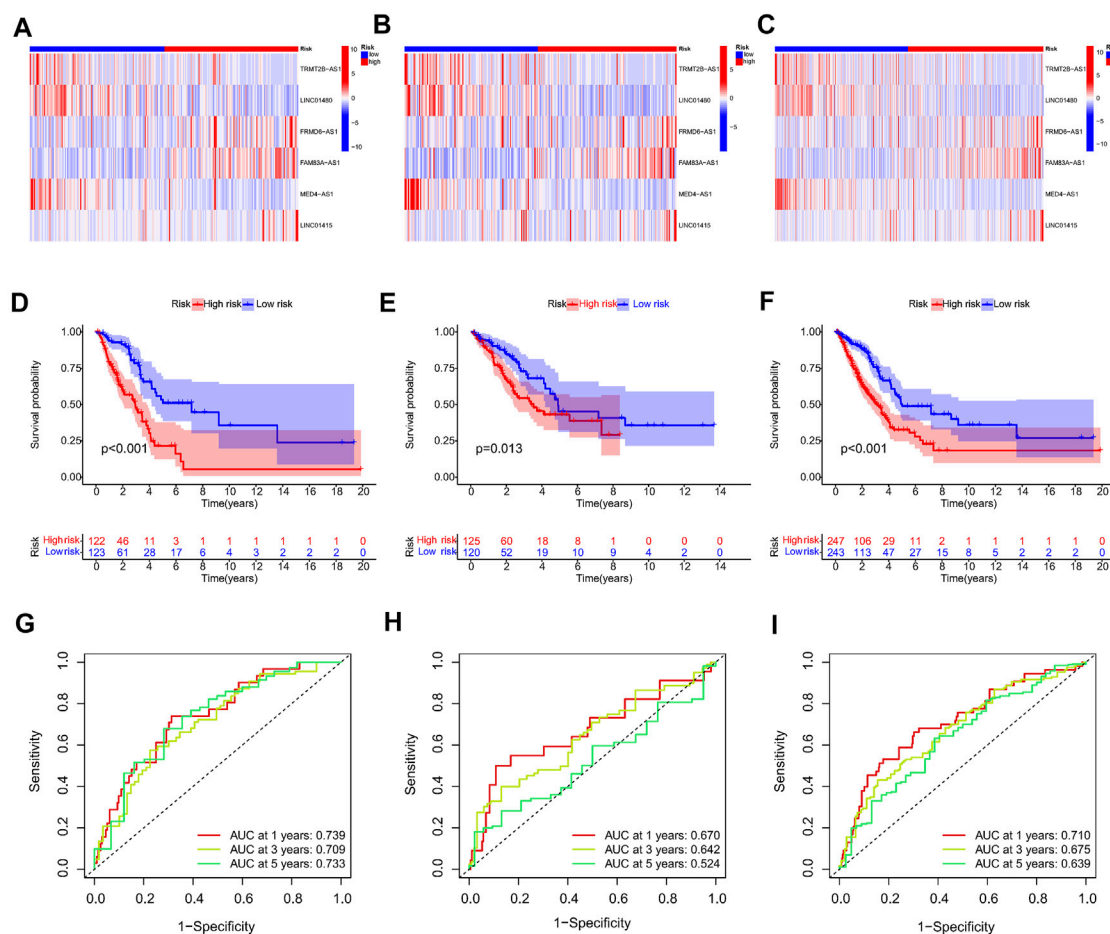
Construction of the NRlncRNAs signature in the TCGA. **(A,B)** The forest plot and heatmap showed 15 NRlncRNAs with prognostic value in LUAD. **(C)** The Sankey diagram of 16 NRGs and 15 NRlncRNAs. **(D,E)** The LASSO coefficient and the 10-fold cross-validation for variable selection in the LASSO model.

## The signature-based nomogram score system for predicting the prognosis of LUAD patients

In order to create a more reliable model for prognosis prediction, a nomogram comprised of the identified NRlncRNAs signature and several clinical characteristics was found to be effective for predicting 1-, 3-, and 5-year survival probabilities in the entire

dataset (Figure 6A). Furthermore, the calibration curve revealed an accordant agreement between observation and prediction for 1-, 3-, and 5-year OS in LUAD (Figure 6B). In addition, the nomogram score system had superior predictive power (1-year AUC = 0.735, 3-year AUC = 0.724, and 5-year AUC = 0.697) than the risk score, age, gender and stage (Figures 6C–E). The DCA curves suggested that the nomogram and risk score had good consistency in forecasting survival rate at 1-, 3-, and 5-year (Figures 6F–H).





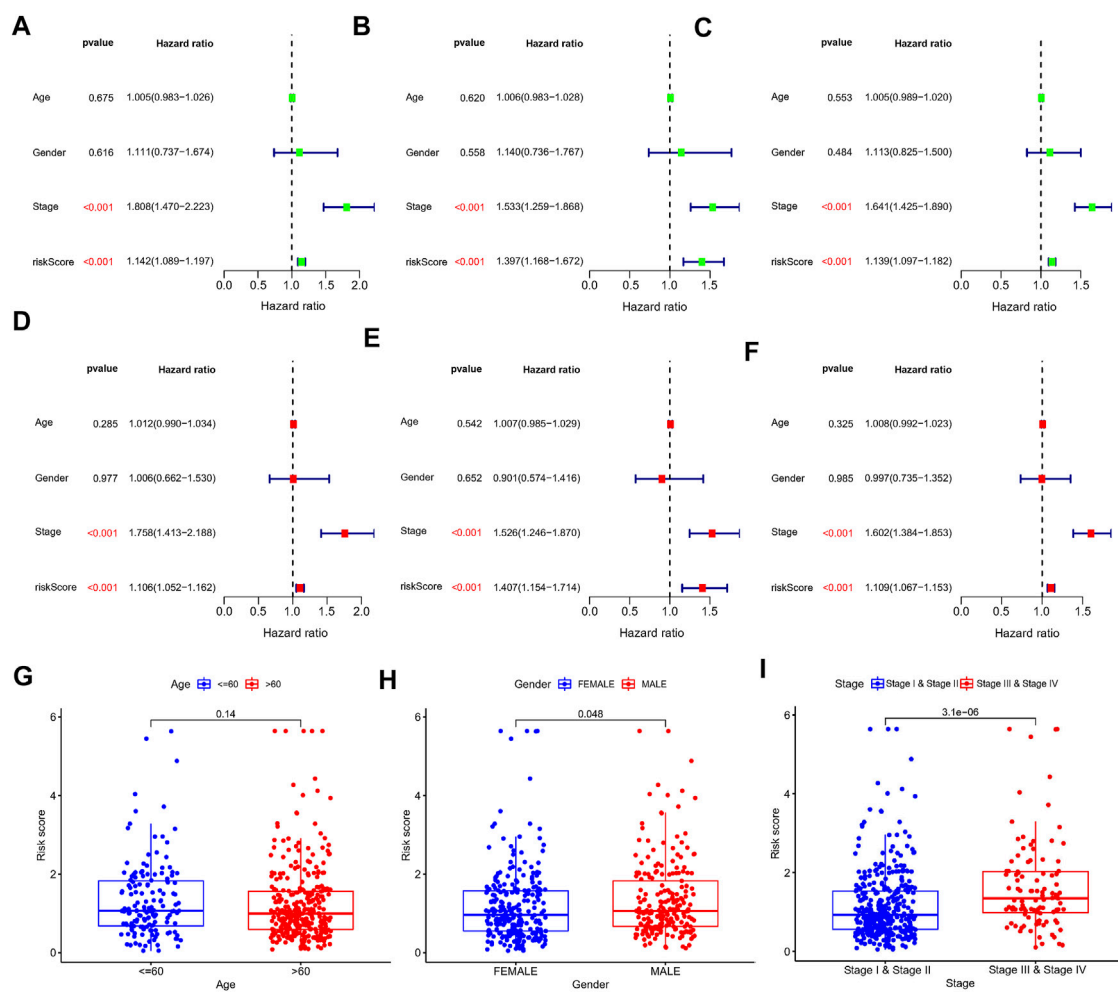


FIGURE 5

Univariate and multivariate Cox regression analysis in the train, test, and entire set, respectively. (A–C) Univariate Cox regression analysis in the train, test, and entire set, respectively. (D–F) Multivariate Cox regression analysis in the train, test, and entire set, respectively. (G–I) Distribution of risk score stratified by age, gender and clinical stage in entire set.

(Figures 8E,F; Supplementary Table S5). Then, to investigate the difference in immunological status between the high-risk and low-risk groups, we examined their TIDE scores, exclusion scores, and dysfunction scores. According to the findings, the TIDE and exclusion score were higher in the high-risk group than in the low-risk group (Supplementary Figure S6). Low-risk patients had a greater dysfunction score than high-risk patients, whereas the exclusion score had the opposite pattern (Supplementary Figure S6). These data indicated that the high-risk group had higher immune escape risk and poor prognosis. The different expression of 28 immune check points between the two risk groups showed that the expression of TNFSF4, CD276 was higher in the high-risk group, while the other multiple checkpoints

presented higher expression in the low-risk group (Figure 8G).

## Analysis of tumor burden mutation and drug sensitivity

Previous studies have reported that TMB plays a crucial role in immunotherapy, chemotherapy, and target therapy responses. Hence, we further investigated the correlation between risk score and TMB. It showed that the high-risk group had higher TMB than low-risk group, and the risk score was positively correlated with TMB (Figures 9A,B). Patients with lower TMB and higher risk score had worse prognosis (Figure 9C). According

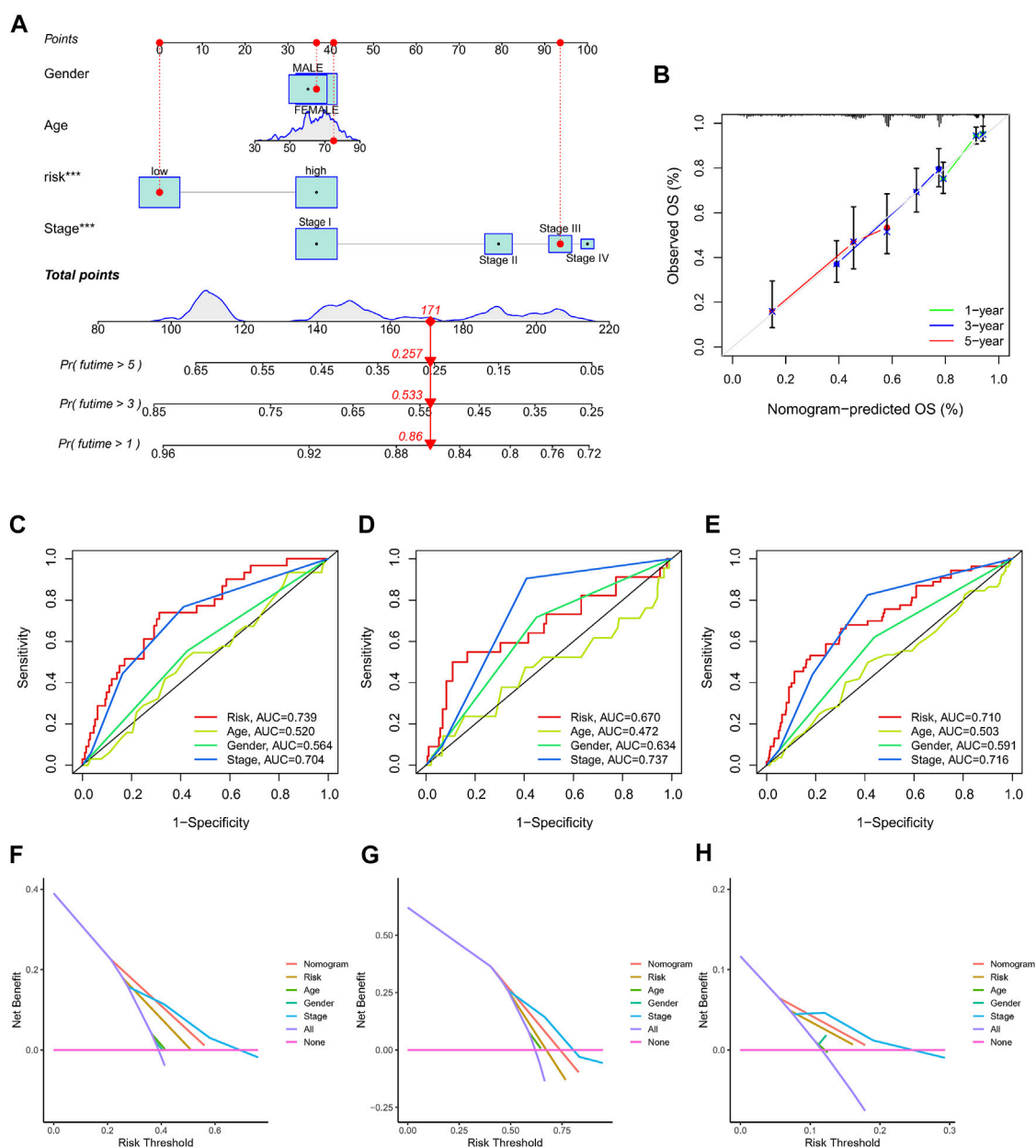


FIGURE 6

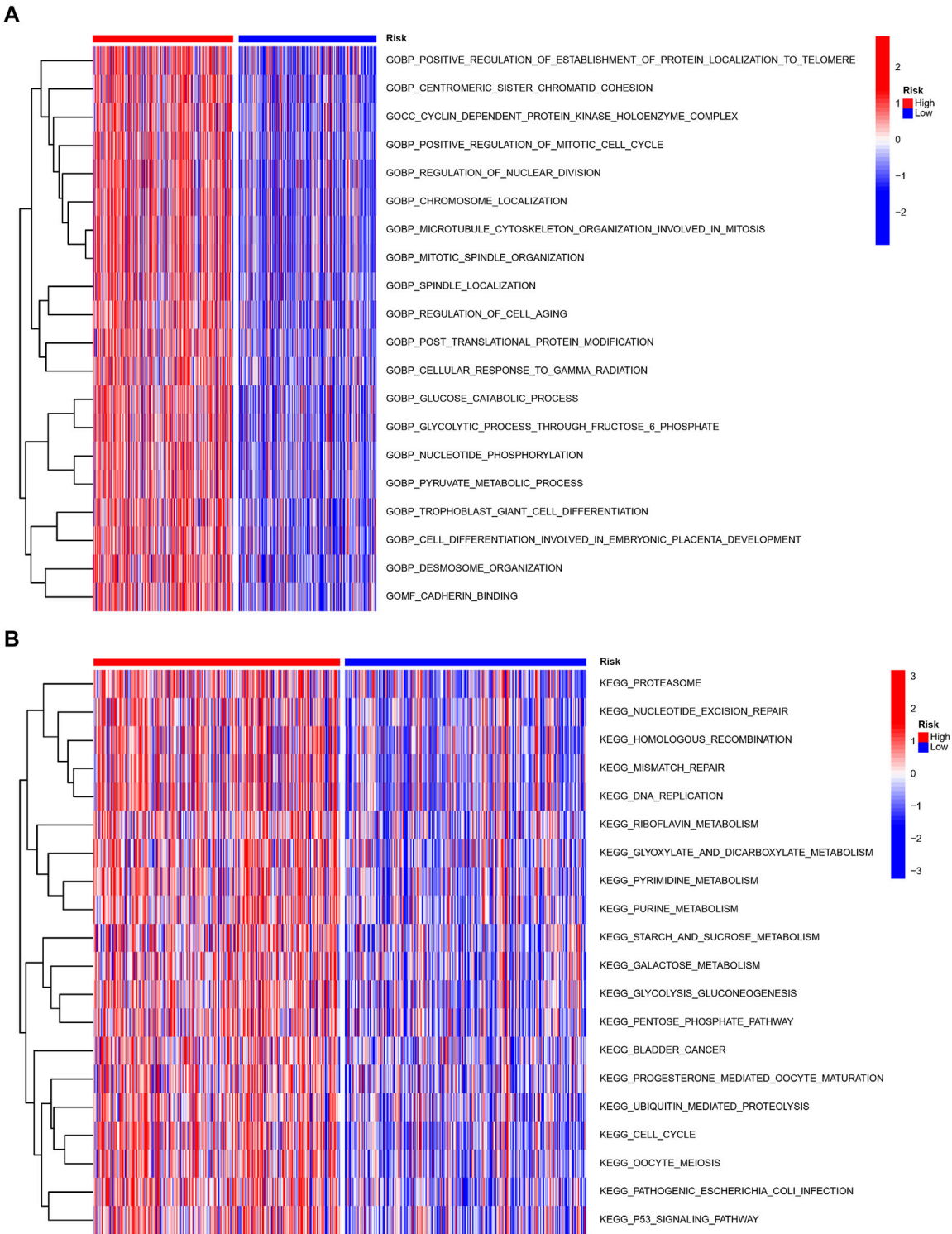
The nomogram used to predict the OS prognosis of LUAD patients at 1, 3, and 5 years. (A) A nomogram based on characteristics and clinical information. (B) Calibration curve of nomogram. (C–E) ROC analysis of risk score, nomogram, age, gender and clinical stage predicting OS at 1, 3, and 5 years. (F–H) The DCA of NRlnRNAs prognostic risk scores and several clinicopathological factors such as gender, age, and stage.

to the status of TP53, KRAS and EGFR, the patients with TP53 and KRAS mutations had higher risk scores, while patients with EGFR mutation showed lower risk scores (Figures 9D–F). The waterfall plot presented the top 20 genes with the most mutations in different risk groups. The high-risk group presented higher genetic alteration rate than the low-risk group (92.28 vs. 84.19%), and TP53 and TTN were the top two genes in each risk group (Figures 9G,H). Drug sensitivity analysis between different risk groups showed that patients with high risk scores

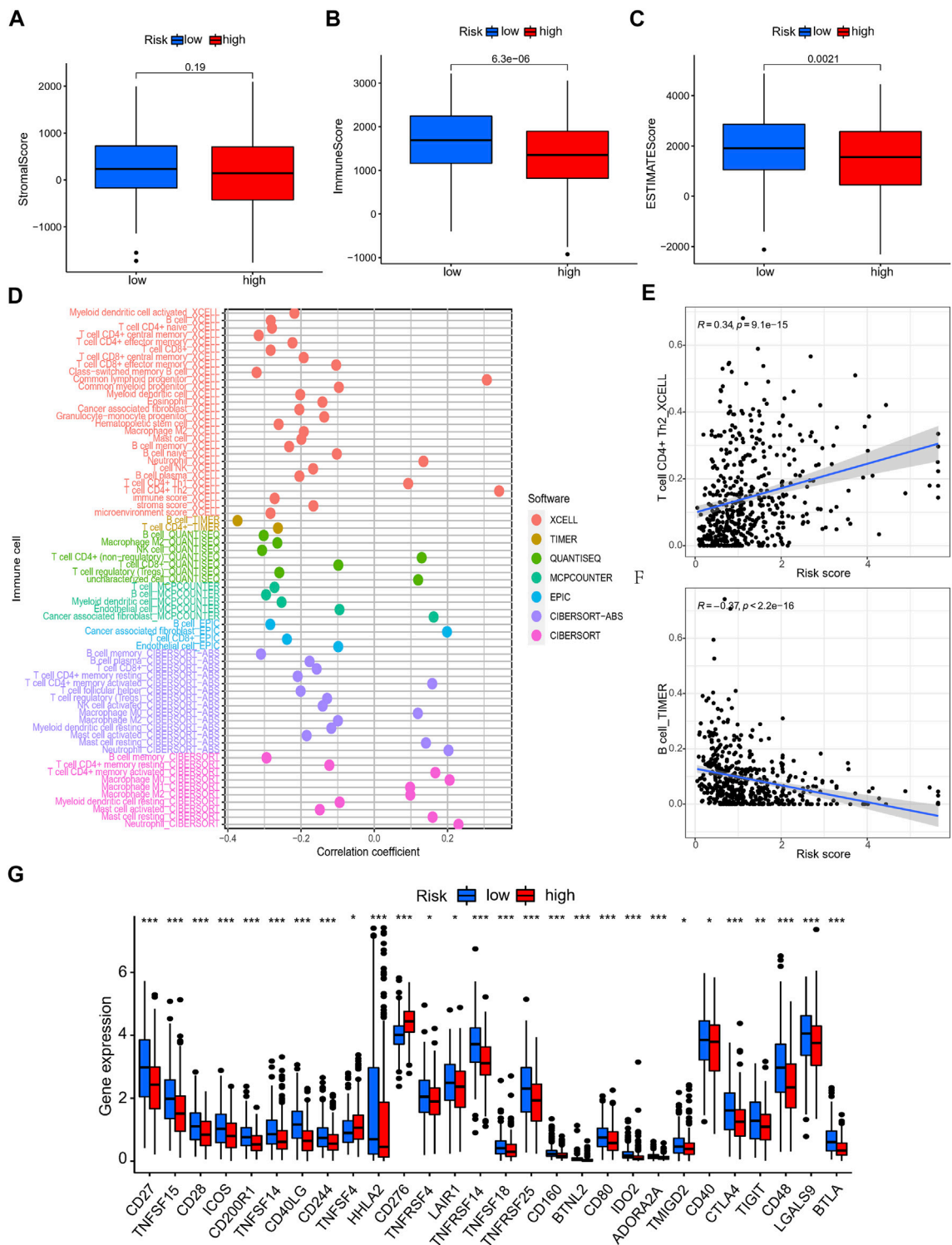
were more sensitive to docetaxel, doxorubicin, erlotinib and gefitinib et al. (Supplementary Figure S7).

## Characterization of clusters in different risk groups

To further assess the distinct molecular patterns based on the expression of the NRlnRNAs signature, we performed



**FIGURE 7**  
Molecular characteristics of patients in the high- and low-risk groups. The GSVA analysis in the high- and low-risk group to enrich GO characteristic gene sets **(A)** and KEGG gene sets **(B)**.



**FIGURE 8**  
The immune landscape analysis of NRIncRNAs signature in LUAD patients. (A–C) The TME score (immune score, stromal score, and ESTIMATE score) between different risk group. (D–F) Relationship between the NRIncRNAs risk score and immune cells infiltration. (G) The differential expression of immune checkpoint between high- and low-risk group.



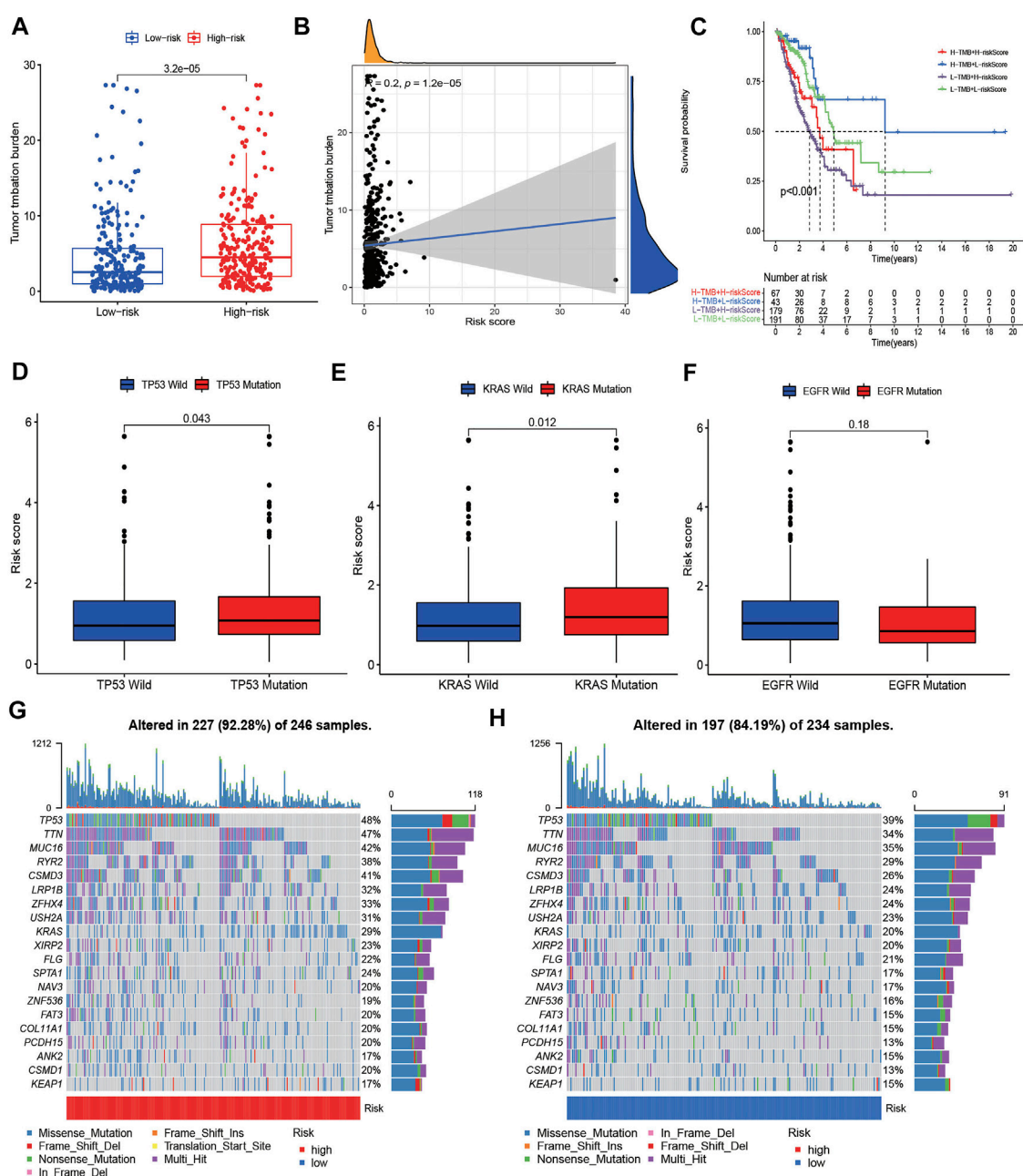
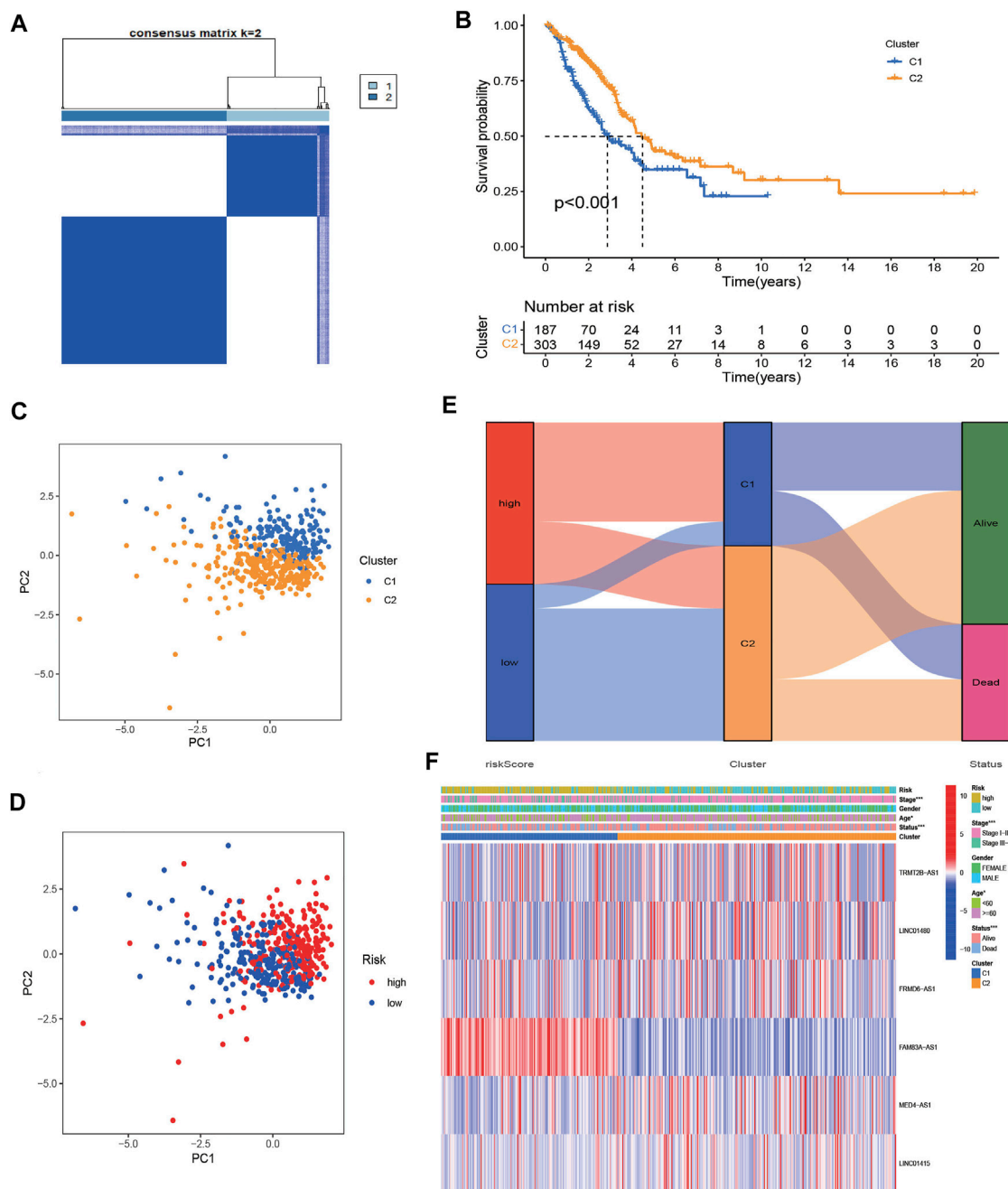


FIGURE 9

The TMB level and Somatic mutation analysis of risk score. (A) Differences of tumor mutation burden (TMB) in the high-risk and low-risk groups. (B) Relationship between TMB and risk score. (C) Survival analysis between patients with high- and low-TMB. (C) Two-factor survival analyses of risk score and TMB levels. (D–F) Differences of risk score between different status of TP53, KRAS, and EGFR. (G,H) Landscape of top 20 mutated gene mutation profiles between high- and low-risk group.

unsupervised consensus clustering to divided patients with different risk scores into two clusters, with  $k = 2$  shown to be the best for clustering stability (Figure 10A). As presented in Figure 10B, the patients in cluster 1 had a poorer prognosis than those in cluster 2. Furthermore, the results of PCA suggested that clusters and risk groups can be completely distinguished (Figures

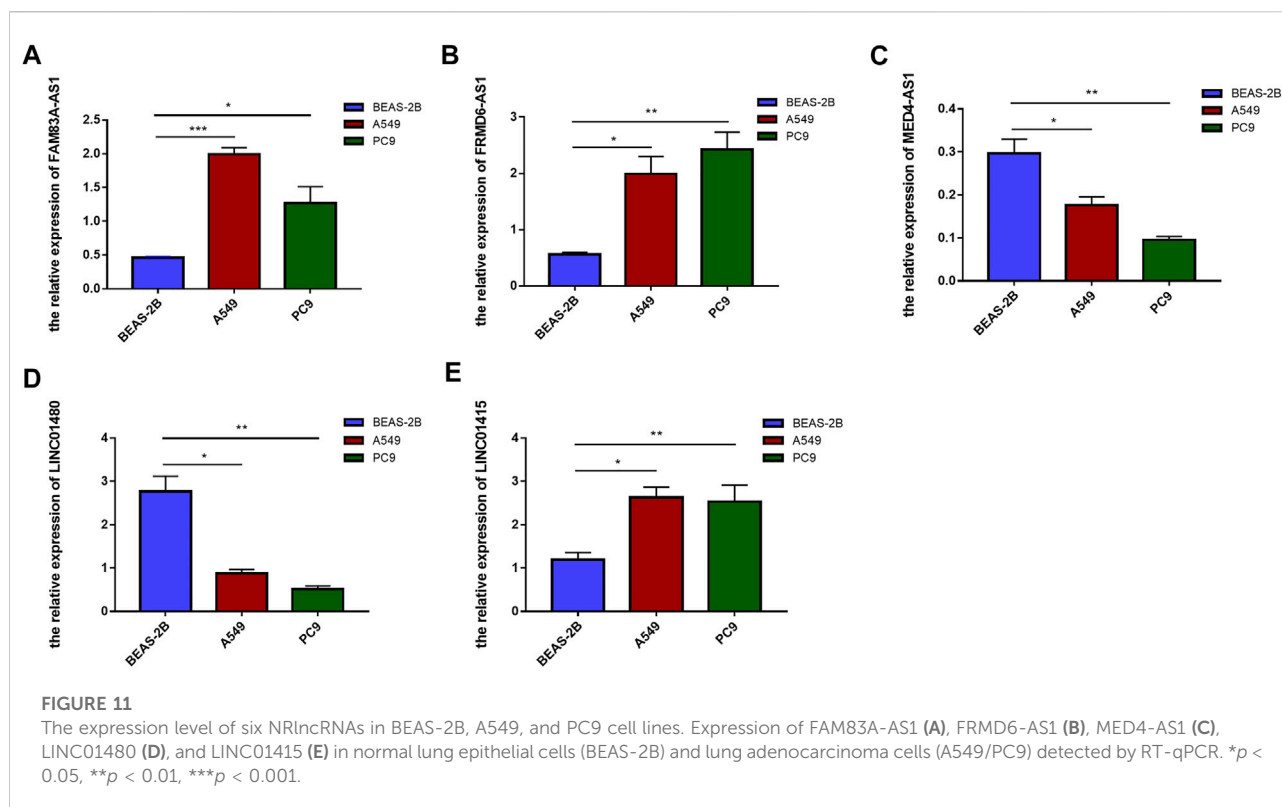
10C,D). The Sankey plot revealed the distribution of patients in different risk groups, clusters and survival status, with cluster 1 accounting for the majority of high-risk patients (Figure 10E). Furthermore, the heatmap presented the expression landscape of six NRG-LncRNAs in two clusters, which indicated that two clusters had significant differences in clinical stage, age, and

**FIGURE 10**

Identification of two clusters based on prognostic NRlncRNAs signature. **(A)** Consensus clustering (K-means) algorithm was performed for overall patients. Consensus matrix plots. K = 2 was determined as optimal clustering number. **(B)** K–M survival analysis in clusters C1 and C2. **(C,D)** The distribution of different patients from two clusters and risk groups. **(E)** The Sankey diagram showed distribution of patients in different risk groups, two clusters and survival status. **(F)** Heatmap of the lncRNA expression of prognostic NRlncRNAs signature between two clusters.

survival status (Figure 10F). The GSVA results revealed that cluster1 was associated with cell cycle, mismatch repair and some cancer-associated pathways (Supplementary Figure S8). Similar to the risk model, cluster 2 had a higher immune score and ESTIMATE score (Supplementary Figure S9). The status of

multiple immune cells infiltration in two clusters exhibited significant differences. The heatmap of the immune infiltration landscape revealed that cluster 1 had a positive correlation with B cells, CD4<sup>+</sup> T cells, macrophages cells, mast cells activated and cancer-associated fibroblasts, while it had a



negative correlation with monocytes (Supplementary Figure S9). The expression level of these immune checkpoints, such as TNFSF4, CD274, CD276, NRP1, TNFRSF18 was higher in cluster 1, while the expression levels of CD44, IDO2, HHLA2 et al. were higher in cluster 2 (Supplementary Figure S9). The drug prediction analysis showed similar results to the risk model. As shown in Supplementary Figure S10, cluster 1 had a lower IC50 of gefitinib, erlotinib, docetaxel and paclitaxel. These results revealed that individuals in cluster 1 were more susceptible to chemotherapy and target therapy.

## The expression level of six prognostic lncRNAs

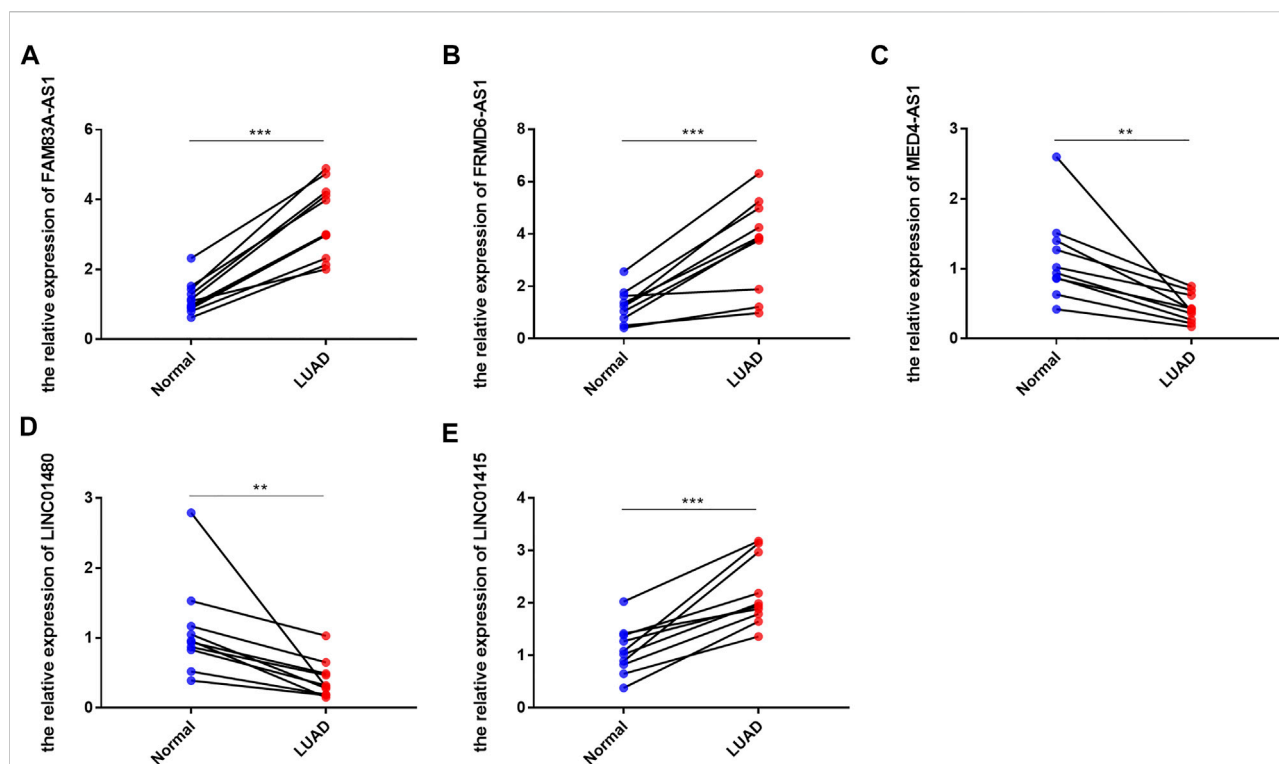
To further verify the expression of these screened lncRNAs in lung adenocarcinoma cells, total RNA of BEAS-2B, A549, and PC9 were extracted and real-time quantitative PCR was conducted. Surprisingly, our results were consistent with the database. Compared with normal lung-epithelial cells (BEAS-2B), the expression level of LINC01415, FRMD6-AS1 and FAM83A-AS1 was significantly higher in lung adenocarcinoma cells (A549/PC9), while the expression level of MED4-AS1 and LINC01480 was lower in lung adenocarcinoma cells (Figure 11). We also detected the expression of our signature in lung adenocarcinoma tissues

and adjacent normal lung tissues, and we obtained consistent results with our observations in cells (Figure 12).

## Discussion

Lung adenocarcinoma remains a serious health problem worldwide with its high mortality and morbidity rates (Mao et al., 2016). In order to improve prognosis for LUAD patients, it is vital to identify a precise and reliable prognostic signature. Recent studies indicated that tumor cells resistant to apoptosis may be sensitive to the necroptosis (Su et al., 2016; He et al., 2017), suggesting that necroptosis may be a potential therapeutic target for lung adenocarcinoma. Besides, lncRNAs also play important roles in tumor genesis and metastasis. Therefore, a necroptosis-related lncRNAs signature was constructed here for the prognosis and treatment of LUAD patients.

In this study, we first identified 15 NRlncRNAs correlated with the OS of patients with LUAD by performing Pearson correlation analysis and univariate Cox proportional regression analysis. Subsequently, a signature including 6 NRlncRNAs (TRMT2B-AS1, LINC01480, FRMD6-AS1, FAM83A-AS1, MED4-AS1, LINC01415) was developed by LASSO analyses and multiple cox regression. Furthermore, LUAD patients were classified into high- and low-risk groups according to their risk scores based on the signature. We found that



**FIGURE 12**

The expression level of six NRlncRNAs in lung adenocarcinoma tissues and adjacent normal lung tissues. Expression of FAM83A-AS1 (A), FRMD6-AS1 (B), MED4-AS1 (C), LINC01480 (D), and LINC01415 (E) in lung adenocarcinoma tissues and adjacent normal lung tissues detected by RT-qPCR. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ .

patients with high risk scores have lower probabilities of survival than those with low risk scores. The ROC analysis showed that our signature had significant prognostic value both in training and testing sets and it showed superiority over previous lncRNA signatures in predicting survival of LUAD patients. Besides, the nomogram system based on our signature and some clinical characteristics also showed steady predictive performance. To sum up, our results above indicated that our NRlncRNAs signature is a good prognostic predictor for LUAD patients.

A mount of studies illustrated the vital role of lncRNAs in tumor progression, but the relationship between the 6 lncRNAs and LUAD is still unclear to us. Among these 6 NRlncRNAs included in our signature, FAM83A-AS1 was found to promote the development of LUAD and suggesting a novel therapeutic approach for LUAD by sponging miR-141-3p (Huang et al., 2022). MED4-AS1 was associated with the prognostic of lung adenocarcinoma (Tang et al., 2020b). LINC01415 was associated with a poor prognosis in ESCC (Tang et al., 2020c). However, the other lncRNAs have not been reported in tumors, which may give us insight into the mechanism of development of lung adenocarcinoma. Therefore, we performed function enrichment analysis based on the differentially expressed genes between different risk groups to investigate the potential

mechanism. GSVA showed that metabolism- and cell growth-associated pathways including pyruvate metabolism, glucose metabolism, mismatch repair, and cell cycle was observed in the high-risk group. Nowadays, more and more studies have found that metabolic proteome is involved in tumor development and immune response, which is likely to be a new target for future tumor therapy (Malireddi et al., 2020; Liu et al., 2021). In breast cancer cells glucose deprivation triggers ZBP1-dependent necroptosis (Yu et al., 2021). In colorectal cancer cells, by scavenging free radicals in the mitochondria, glucose confers resistance to 5-FU-induced necroptosis (Zhu et al., 2021). In summary, the necroptosis-related signature may be involved in glucose metabolism in patients with LUAD, thereby influence the necroptosis of lung adenocarcinoma cells, which may be an important direction for future research in lung adenocarcinoma treatment.

Previously, a large number of studies have reported that necroptosis is related to tumor development and immune response (Malireddi et al., 2020; Liu et al., 2021; Yan et al., 2022). In our study, the GO and KEGG analysis indicated that the NRlncRNAs signature-related genes were associated with immune pathways, especially MHC class II protein complex binding. Previous reports indicated that MHC class II protein

may be involved in the polarization of tumor-associated macrophage (TAM) to M1 phenotype, which could inhibit the lung cancer cells proliferation and promote the apoptosis (Yu et al., 2021). In addition, comparing immune status among different risk groups, we found that the high-risk group had a higher risk of immune escape and a poorer prognosis. CD4<sup>+</sup> T cell, NK cell, and B cells were mainly active among the high-risk groups, some of which were closely linked to necroptosis. As studied previously, Rac-1 related-necrotic cells could enhance proinflammatory NK cell killing (Zhu et al., 2021). The inhibition of RIP3 can increase the proportion of CD4<sup>+</sup> T cells and inhibit the secretion of inflammatory cytokines (IFN- $\gamma$ , IL-16 and IL-17) (Duan et al., 2022). These results indicated that our NRlncRNAs signature was significantly related with tumor immune microenvironment and it can predict the immune landscape of patients with LUAD.

Moreover, our findings demonstrated that patients in high-risk group had higher TMB, and the risk score was positively correlated with TMB. Besides, patients with lower TMB and high-risk had worse prognosis. TMB is considered a potential biomarker for discriminating NSCLC patients who might benefit more from immunotherapy (Pan et al., 2022). This also suggests that patients with high risk may be more sensitive to immunotherapy. In addition, our results showed that patients in high-risk group were more sensitive to docetaxel, doxorubicin, erlotinib and gefitinib. These results allowed us to select these populations that are more sensitive to drugs and receive better treatment.

Finally, we used RT-qPCR to detect the expression of these signature NRlncRNAs in lung adenocarcinoma cells and tissues. Surprisingly, the results confirmed that the expression levels of these NRlncRNAs were abnormal in LUAD, implying that these lncRNAs may play distinct roles in LUAD. Although our findings shed light on the mechanisms of necroptosis in LUAD, the limitations and flaws still exist. First, although we conducted some experiments to validate it, additional clinical evidence is required to confirm the findings. Second, the underlying mechanism of NRlncRNAs in LUAD is still unknown, and more research is needed in the future.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

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## Author contributions

HR and BX were in charge of designing the research. JW and DL were responsible for data analysis and manuscript writing. GZ and SS mainly revised the article. All the authors gave final approval of the published version, and agreed to be responsible for all aspects of the work.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.966896/full#supplementary-material>

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# Analysis of genomes and transcriptomes of clear cell renal cell carcinomas identifies mutations and gene expression changes in the TGF-beta pathway

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The occurrence of clear cell renal cell carcinoma (ccRCC) is related to changes in the transforming growth factor- $\beta$  (TGF- $\beta$ ) signaling pathway. In this study, we adopted an integrated approach to identify and verify the effects of changes in this pathway on ccRCC and provide a guide for identifying new therapeutic targets. We performed transcriptome analysis of 539 ccRCC cases from The Cancer Genome Atlas (TCGA) and divided the samples into different TGF- $\beta$  clusters according to unsupervised hierarchical clustering. We found that 76 of the 85 TGF- $\beta$  pathway genes were dysregulated, and 55 genes were either protective or risk factors affecting the prognosis of ccRCC. The survival time of patients with tumors with low TGF- $\beta$  scores was shorter than that of patients with tumors with high TGF- $\beta$  scores. The overall survival (OS) of patients with ccRCC with high TGF- $\beta$  scores was better than that of patients with low TGF- $\beta$  scores. The TGF- $\beta$  score correlated with the expression of key ccRCC and deacetylation genes. The sensitivity of tumor patients to targeted drugs differed between the high and low TGF- $\beta$  score groups. Therefore, a prognostic model based on the TGF- $\beta$  gene pathway can predict the prognosis of ccRCC patients. Grouping patients with ccRCC according to their TGF- $\beta$  score is of great significance for evaluating the prognosis of patients, selecting targeted drugs, and identifying new therapeutic targets.

## KEYWORDS

TGF- $\beta$ , ccRCC, TCGA, prognosis, treatment

## Introduction

As one of the most common cancers, the number of new cases and deaths of renal cell carcinoma (RCC) has remained high in recent years (Siegel et al., 2018; Siegel et al., 2019; Siegel et al., 2020). ccRCC accounts for 75%–80% of RCCs (Leibovich et al., 2010) and often warrants radiotherapy or chemotherapy (Coppin et al., 2011). At the time of preliminary diagnosis, 20%–30% of patients with RCC have local or distant metastases (Gong et al., 2016). Targeted drug therapy is a common treatment for these patients; however, one of the leading causes of treatment failure is drug resistance. Several studies have revealed the molecular mechanisms and signaling pathways of RCC, including TGF- $\beta$ , Wnt- $\beta$ -catenin, and angiogenesis signal transduction. The TGF- $\beta$  signaling pathway, as one of the common signaling pathways, plays an important yet complex role in the occurrence and development of RCC and has a significant impact on tumor metastasis and prognosis (Kominsky et al., 2007; Wang et al., 2020a). At present, most studies suggest that the TGF- $\beta$  pathway is involved in the regulation of tumors as a cancer-promoting factor (Bao et al., 2020; Du et al., 2020). However, some studies have shown that TGF- $\beta$  can induce apoptosis in renal cancer cells, and c-Ski can weaken the anti-tumor effect of TGF- $\beta$  by inhibiting TGF- $\beta$  signal transduction (Taguchi et al., 2019).

Here, we used data from TCGA to systematically analyze the genetic changes, prognosis, and treatment-related information on TGF- $\beta$ -related genes in RCC and to explore the role of the TGF- $\beta$  signaling pathway in RCC.

## Materials and methods

### Data acquisition and analysis

Through the R/BioConductor package of TCGAbiolinks, we downloaded the RNA-seq transcriptome data on the ccRCC group from the Genomic Data Commons (GDC) portal (Colaprico et al., 2016); the data included 539 cases of ccRCC tissue and 72 cases of normal renal tissue. A total of 85 genes related to the TGF pathway were obtained from the Kyoto Encyclopedia of Genes and Genomes (KEGG) TGF- $\beta$  signaling pathway on the Gene Set Enrichment Analysis (GSEA) website. The clinical information on the cancer patients was obtained from TCGAbiolinks, including the tumor size (T) status, metastatic (M) status, tumor grade, tumor stage, age, and survival status. The Lasso regression analysis was carried out with “glmnet” and “Survival” packages. Univariate and multivariate COX risk analyses of clinical features were performed with the “Survival” package. The correlation of immune infiltration was analyzed with the “ggstatsplot” package.

## Genetic alteration and survival analysis

The differential expression of TGF- $\beta$  pathway genes in ccRCC and normal renal tissues was analyzed using the “limma” package, and the effect of TGF- $\beta$  pathway genes on the prognosis of patients with RCC was analyzed using the “Survival” package. We downloaded the single-nucleotide variation (SNV) data and expression data on TGF- $\beta$  pathway genes in different cancer types from TCGA database (Tomczak et al., 2015), analyzed them using Perl language, and visualized them with TBtools software (Chen et al., 2020).

### Cluster analysis based on transforming growth factor- $\beta$ scores

We constructed a TGF- $\beta$  scoring model to show the differences between samples. Based on the expression characteristics of normal renal tissues, we divided the renal carcinoma tissues into three categories: the TGF- $\beta$  normal-score group (cluster 1), TGF- $\beta$  high-score group (cluster 2), and TGF- $\beta$  low-score group (cluster 3 and cluster 4). We used violin plots to describe the relationship between normal tissues and gene expression levels in these three groups. We plotted survival curves for the three clusters using the “Survival” package. We used “pheatmap” to draw a heat map showing the relationship between these three clusters and the clinicopathological features of ccRCC patients.

## Prediction of targeted drug response

We predicted the therapeutic response based on the Genomics of Drug Sensitivity in Cancer (GDSC) database (Yang et al., 2012). The R package “pRRophetic” was used for the prediction process; ridge regression was used to estimate the half-maximal inhibitory concentration (IC50) of the sample, and the 10-fold cross-validation based on the GDSC training set was used to evaluate the prediction accuracy (Geeleher et al., 2014a; Lu et al., 2019). All parameters were set to default values with the removal of the batch effect of “combat” and tissue type of “allSolidTumours,” and duplicate gene expression was summarized as the mean value (Geeleher et al., 2014b). The multiple testing correction used was a Bonferroni adjustment.

## Immune cell infiltration and immunotherapy

We analyzed immune cells quantitatively using the single-sample gene set enrichment analysis (ssGSEA) combined with the expression of TGF- $\beta$ -related genes (Zhang et al., 2018). The heat map was drawn using “ggplot2” and “dplyr” in R. We used

five R software packages, “ggplot2,” “dplyr,” “data.table,” “tidyr,” and “ggstatsplot” to analyze and plot the correlation between the TGF- $\beta$  score and immune factors. We selected two classical immune regulators: type II interferon response and mast cells. Then, we used the “ggdisterstats” package to show their correlation with the TGF- $\beta$  score in the form of a scatter plot. Then, we adopted the “ggdisterstats” package to make scatter diagrams to show both of their correlations with the TGF- $\beta$  score. Visual correlation matrix analysis was used to show the relationship between programmed cell death 1 (PD1 and PDCD1), cytotoxic T-lymphocyte-associated protein-4 (CTLA-4), and TGF- $\beta$  scores. We used the subclass mapping and tumor immune dysfunction and rejection (TIDE) algorithm to predict the clinical response of RCC to block immune checkpoints PD-1 and CTLA-4 (Hoshida et al., 2007; Jiang et al., 2018). Bonferroni correction was used in this process.

## Establishment of the Lasso regression prognostic model

Based on its statistical significance, we first selected the TGF- $\beta$  gene related to survival ( $p < 0.05$ ). We then used a Lasso regression analysis to delete genes that may overfit the model. Finally, a multivariate analysis was used to determine the optimal predictive factors of the model. The analysis used the following formula: Risk score =  $\sum N_i = 1$  (Expi  $\times$  Coei). The median was set as the cut-off value, according to which all patients with ccRCC were divided into two groups: the low-risk group and high-risk group. The overall survival time-dependent recipient operating characteristics were used to evaluate the accuracy of the prognostic model. Taking the median as the cut-off value, all patients with ccRCC were divided into the low-risk group and high-risk group. The overall survival time-dependent recipient operating characteristics were used to evaluate the accuracy of the prognostic model. To evaluate the accuracy of the prognostic model, we adopted the OS time-dependent receiver operating characteristic (ROC) curve.

## Compounds targeting transforming growth factor- $\beta$ pathway genes

The Baird Institute’s public online Connectivity Map (CMAP) Build02 (Lamb et al., 2006) (<https://portals.broadinstitute.org/cmap/>) can predict compounds that activate or inhibit targets based on gene expression signatures, and we used this tool to explore which drugs may target TGF- $\beta$  pathway genes. We further used the CmapTools to conduct a special analysis to explore the mechanism of action of the compound (<https://clue.io/>) (Subramanian et al., 2017). Similar to the GSEA analysis, CMAP uses the pattern-matching strategy of the Kolmogorov–Smirnov test to find the similarity between

differentially expressed genes (DEGs). Then, we compared the results of the CMAP analysis to the DEG ranking list to determine the positive or negative regulatory relationship of genes and to generate enrichment scores (ES) from  $-1$  to  $1$ . Finally, we used the aforementioned scores to rank all the case data in the database. After we obtained two tables in each type of tumor, we applied the results of the connection map to the expression signatures of the TGF- $\beta$  pathway and then used  $p < 0.05$  as our inclusion criteria to determine the average meaningful compounds for each type of tumor.

## Results

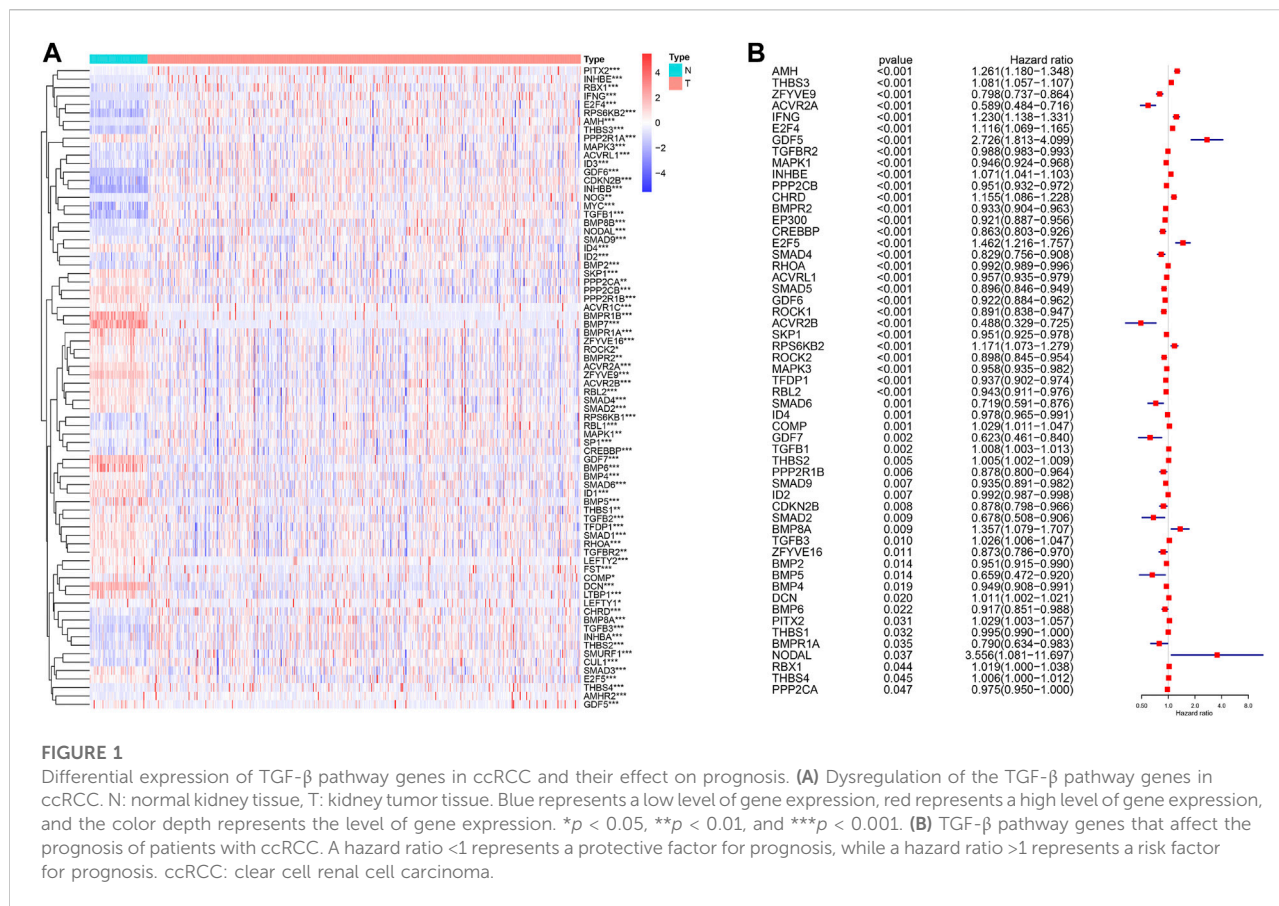
### Transforming growth factor- $\beta$ pathway genes were significantly differentially expressed in clear cell renal cell carcinoma samples compared with normal samples and were closely related to prognosis

From the KEGG TGF- $\beta$  signaling pathway on the GSEA website, we found 85 genes related to the TGF- $\beta$  signaling pathway (Supplementary Table S1) and analyzed the RNA sequencing data on 539 patients with human ccRCC and 72 normal kidney samples. We found that 76 genes of the TGF- $\beta$  pathway were dysregulated in ccRCC compared with normal kidney tissues, of which 39 genes were upregulated and 37 were downregulated (Figure 1A; Supplementary Table S2). A total of 36 genes were upregulated and 40 were downregulated compared with those in normal kidney tissues. Furthermore, we analyzed the effects of these DEGs related to the TGF- $\beta$  pathway on the overall survival of patients with ccRCC. The results showed that 55 genes significantly affected the prognosis of patients with ccRCC, of which 19 genes were risk factors (hazard ratio  $>1$ ) and 36 genes were protective factors (hazard ratio  $<1$ ) (Figure 1B; Supplementary Table S3).

### Unsupervised hierarchical clustering and prognostic analysis

Unsupervised hierarchical clustering of the data revealed four different clusters of the TGF- $\beta$  pathway (Figure 2A). Cluster 1 showed that the expression levels of 85 genes were similar to those in the normal samples, indicating that the TGF- $\beta$  pathway was in a normal state. However, the expression levels of genes related to the TGF- $\beta$  pathway in cluster 2 were increased, indicating high TGF- $\beta$  scores, while cluster 3 and cluster 4 showed low expression of TGF- $\beta$  pathway genes, indicating low TGF- $\beta$  scores. Figure 2B depicts the TGF- $\beta$  scores in five clusters (where cluster 5 represents normal kidney tissue) and further shows the differences in TGF- $\beta$  scores between them. Supplementary Table S4 shows the TGF- $\beta$  scores for each





sample. We then further classified all of the samples into three groups; the normal group, the KEGG-TGF- $\beta$  high-score group, and the KEGG-TGF- $\beta$  low-score group. In other words, clusters 3 and 4 were merged into the latter group. We then analyzed the correlation between the TGF- $\beta$  score and clinicopathological features, and the results showed that the expression of TGF- $\beta$  pathway genes was significantly correlated with the overall survival rate of patients with ccRCC (Figure 2C). Further survival analysis showed that the KEGG-TGF- $\beta$  low-score group had the worst prognosis, while the high-score group had the best prognosis (Figure 2D).

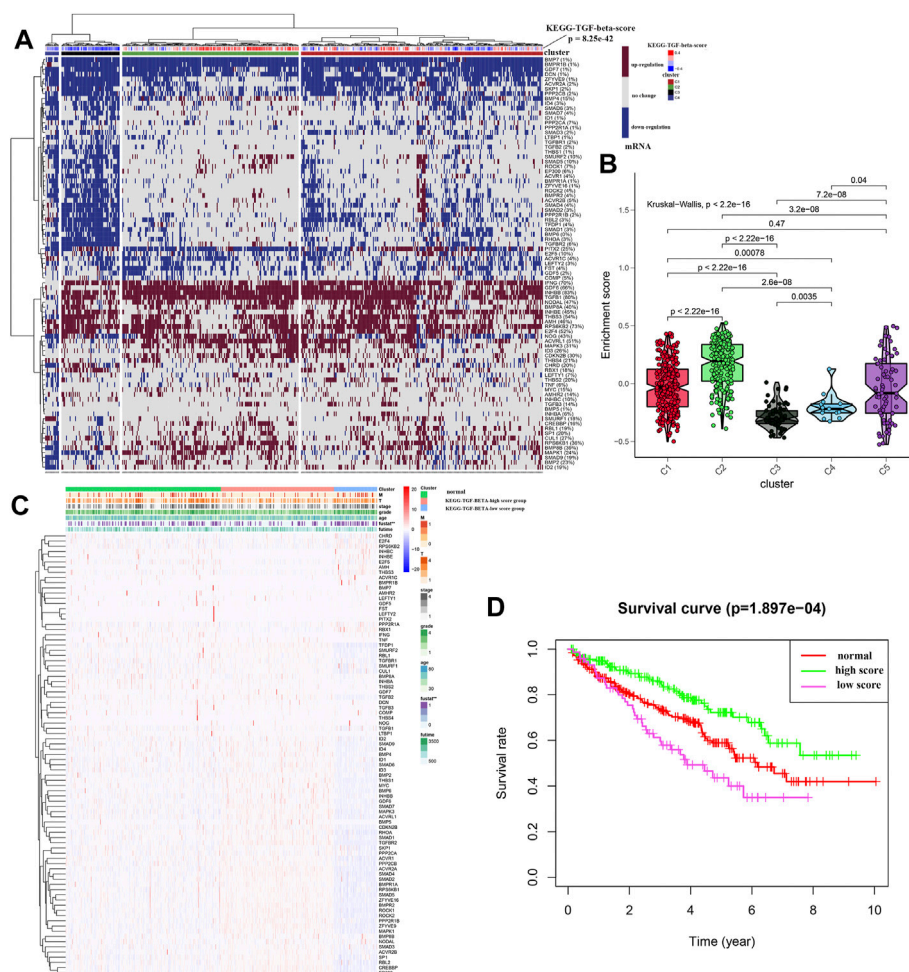
### Disruption of the transforming growth factor- $\beta$ signaling pathway is closely related to dysregulation of key and deacetylated genes in clear cell renal cell carcinoma

We explored the relationship between the expression of various well-known key genes and the TGF- $\beta$  pathway in ccRCC (Figure 3A). In other words, VHL, TP53, and PTEN were downregulated in the KEGG-TGF- $\beta$  low-score group. These

results suggest that disruption of the TGF- $\beta$  signaling pathway is related to the promotion of tumors. *EGFR*, *MYC*, *VEGFA*, and other oncogenes were highly expressed in the KEGG-TGF- $\beta$  high-score group, indicating that it may be more effective to target these genes in this group. Analysis of the transcriptome of ccRCC patients also showed a strong correlation between the abnormal expression of sirtuins and histone deacetylases (HDACs) and the abnormality of the TGF- $\beta$  pathway (Figure 3B).

### Prediction of IC50 of different targeted drugs based on the transforming growth factor- $\beta$ score

Considering that targeted drugs are commonly used in the treatment of metastatic RCC, we evaluated the efficacy of different targeted drugs on the TGF- $\beta$  signaling pathway in the KEGG-TGF- $\beta$  high-score and low-score groups. We obtained a satisfactory prediction model using ridge regression on the GDSC cell-line dataset. Based on this model, we evaluated the IC50 values of 12 targeted drugs. The results of the analysis showed that there was no significant



The transforming growth factor- $\beta$  pathway is related to immune regulation

Immune regulation plays a vital role in the tumor microenvironment. We identified that many TGF- $\beta$  genes were associated with the infiltration of many types of immune cells (Figure 5A). We then analyzed the correlation between the immune infiltration and TGF- $\beta$  score and found that there was a close relationship between them (Figure 5B), especially Type-II-IFN-response and mast cells, which were positively correlated with the TGF- $\beta$  score (Figures 5C,D). Next, we analyzed the correlation between the TGF- $\beta$  score and immune checkpoints, and the results showed that the former was negatively correlated with CTLA4 and PDCD1 (Figure 5E). We used the TIDE algorithm to predict the response of the KEGG-TGF- $\beta$  low-score and high-score groups to immune checkpoint inhibitors;

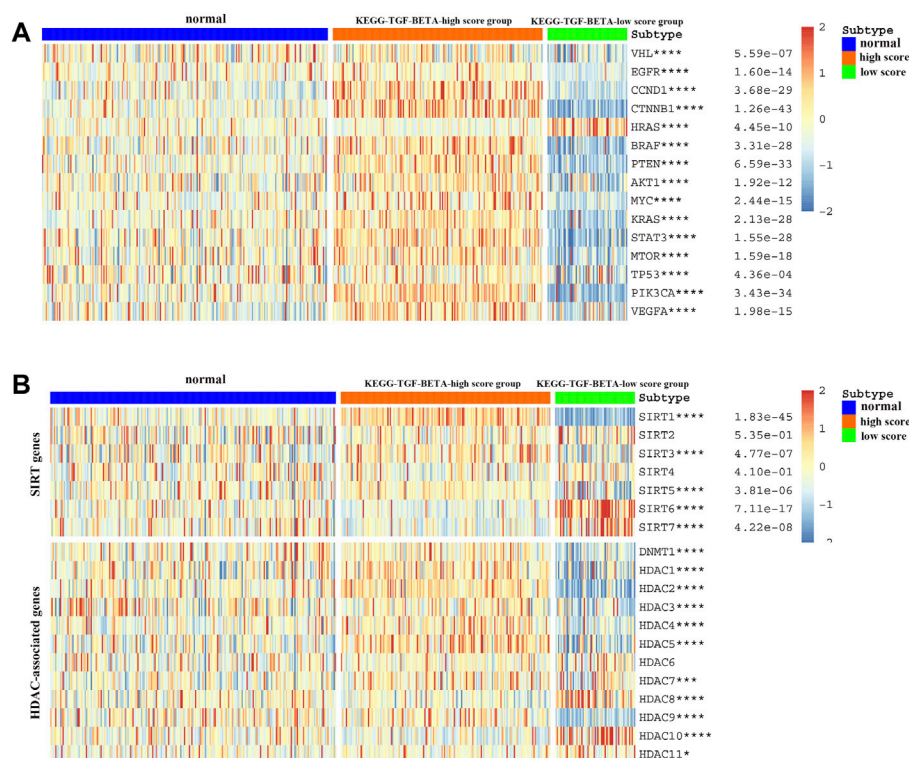


FIGURE 3

Relationship between the TGF- $\beta$  score and the expression of other key genes. (A) Relationship between the activation level of the TGF- $\beta$  pathway and the expression of oncogenes and tumor suppressor genes. (B) Relationship between the activation level of the TGF- $\beta$  pathway and the expression of deacetylation genes. Blue represents the TGF- $\beta$  normal-score group, orange represents the TGF- $\beta$  high-score group, and green represents the TGF- $\beta$  low-score group. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , and \*\*\*\* $p < 0.0001$ .

however, after Bonferroni correction, we did not find a significant difference in the response of the groups to immune checkpoint inhibitors (Figure 5F).

## Construction and verification of a new transforming growth factor- $\beta$ -based survival model

First, TGF- $\beta$  genes related to survival were screened according to the survival analysis and significance value ( $p < 0.05$ ). The Lasso regression model was then used to analyze and determine the most reliable prognostic markers. The number of points at which the vertical line intersects the curve at the same site in Figure 6A is the number of variables for when the fit is optimal, which indicates the number of selected genes. On this basis, five genes *ZFYVE9*, *ACVR2A*, *IFNG*, *AMH*, and *THBS3* were selected to establish a risk-characteristic model based on the minimum criterion (Figures 6A,B). Then, according to the median risk score, we divided ccRCC patients into low- and high-risk groups and studied the prognostic performance

of the new survival model composed of five genetic risk characteristics. Kaplan–Meier survival analysis revealed that patients in high-risk groups had a significantly lower survival rate than patients in low-risk groups (Figure 6C). In addition, ROC curve analysis was performed to analyze the prognostic performance of the new survival model in patients with ccRCC. The area under the curve (AUC) value was 0.728 for the 5-year survival rate, 0.744 for the 7-year survival rate, and 0.752 for the 10-year survival rate. Therefore, the prognostic model of ccRCC based on the TGF- $\beta$  pathway has a relatively high predictive value (Figures 6D–F). To better understand the relationship between clinicopathological characteristics of ccRCC patients and TGF- $\beta$  pathway genes, we systematically analyzed the correlation between risk scores based on five TGF- $\beta$  pathway genes and clinicopathological characteristics of ccRCC patients. We found that the risk score was closely related to (T) and (M) status, tumor grade, stage, and OS (Figure 6G). Univariate Cox regression analysis showed that these features along with the risk score were associated with overall survival in ccRCC patients (Figure 6H; Supplementary Table S5). Multivariate Cox regression analysis showed that



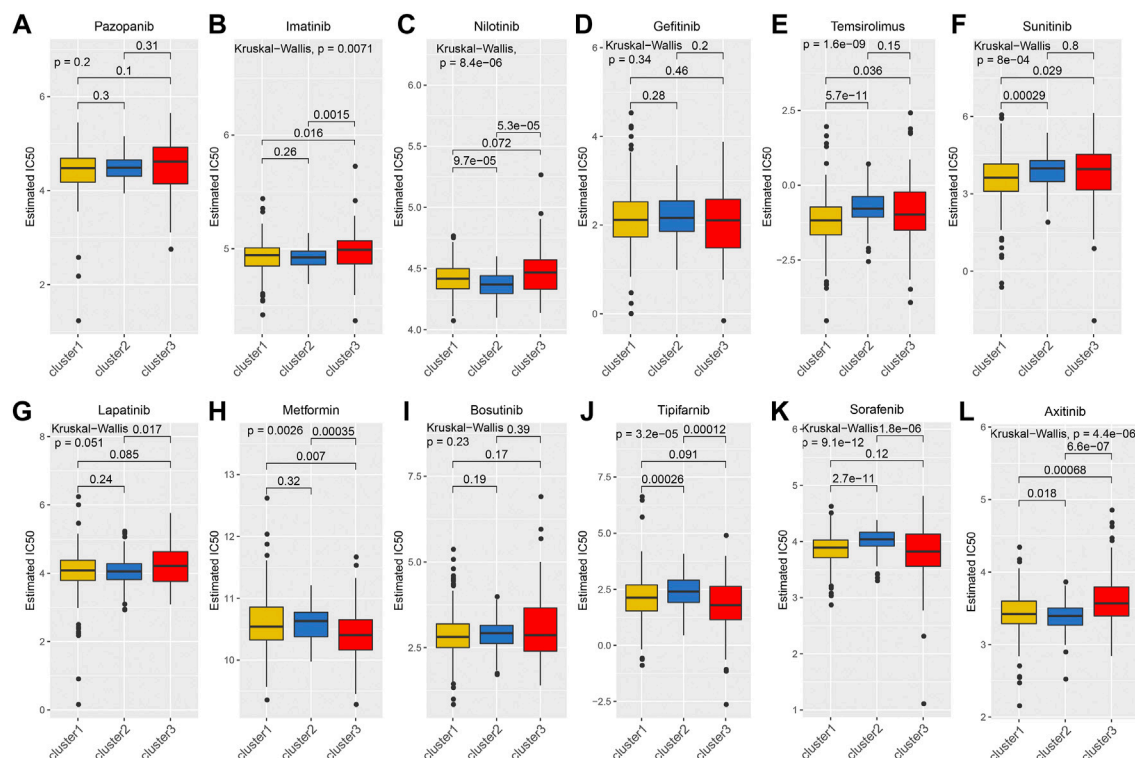


FIGURE 4

Prediction of IC<sub>50</sub> values of targeted drugs. (A) pazopanib, (B) imatinib, (C) nilotinib, (D) gefitinib, (E) temsirolimus, (F) sunitinib, (G) lapatinib, (H) metformin, (I) bosutinib, (J) tipifarnib, (K) sorafenib, and (L) axitinib.

age, grade, stage, and risk score were independent risk factors affecting the prognosis of patients with ccRCC (Figure 6I; Supplementary Table S6). We used a nomogram to predict the ccRCC risk; 5-year, 7-year, and 10-year survival rates were estimated based on the patient's age, grade, stage, and risk score (Figure 6J).

## Transforming growth factor- $\beta$ pathway genes undergo a wide range of genetic changes across cancer types and affect the prognosis of many cancers

To further explore the genetic changes in TGF- $\beta$  pathway genes in pan-tumors, we analyzed SNV and gene expression changes of TGF- $\beta$  pathway genes across multiple cancer types. The results showed that TGF- $\beta$  pathway genes had a wide range of SNVs (Figure 7A; Supplementary Table S7) and gene expression differences (Figure 7B; Supplementary Table S8) across the different cancer types. We then analyzed the effect of TGF- $\beta$  pathway genes on the prognosis of cancer patients (Figure 7C; Supplementary Table S9). The results showed that most of the TGF- $\beta$  pathway genes were risk factors in other types

of tumors, but were protective factors in ccRCC, which was consistent with our previous analysis that the KEGG-TGF- $\beta$  low-score group had a worse prognosis.

## Connectivity map analysis identified potential compounds/inhibitors targeting TGF- $\beta$

Considering that most TGF- $\beta$  pathway genes are risk factors in tumors, we aimed to identify compounds that can inhibit TGF- $\beta$  pathway genes. We used a data-driven systematic method, CMAP, to explore the relationship between genes, compounds, and biological conditions to identify candidate compounds that may target TGF- $\beta$  pathway genes. We found 54 compounds inhibiting TGF- $\beta$  pathway genes that were enriched in different tumors (Figure 8A). Simultaneously, we explored the possible action mechanisms of 19 small molecular compounds and found that the compounds involved 18 mechanisms, where two compounds had the same mechanism (Figure 8B). Therefore, this suggests that we can select different compounds in different tumors and suppress the TGF- $\beta$



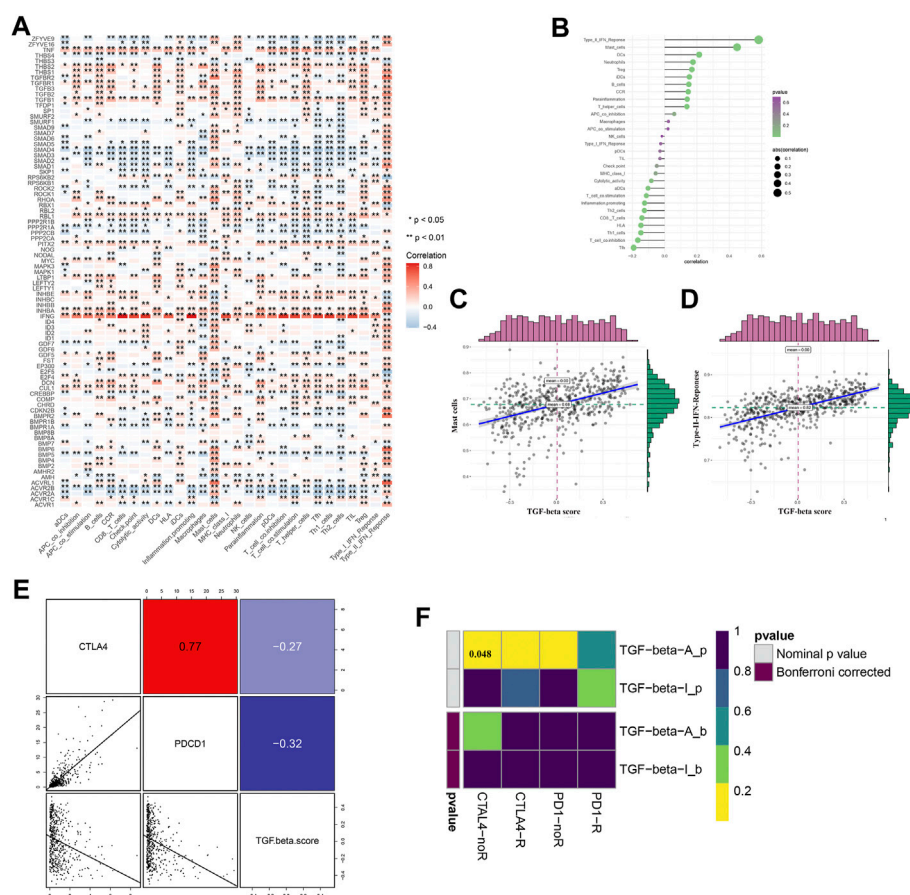


FIGURE 5

Relationship between TGF- $\beta$  pathway genes and immune regulation. (A) Relationship between TGF- $\beta$  pathway genes and immune cell infiltration. (B–D) Relationship between the TGF- $\beta$  score and immune infiltration. (E) Relationship between the TGF- $\beta$  score and immune checkpoint expression (Pearson's correlation analysis). (F) Prediction of immune checkpoint inhibitor response in TGF- $\beta$  activation and inactivation groups. Blue represents a positive correlation, and red represents a negative correlation. Pearson's correlation analysis was used. \* $p < 0.05$  and \*\* $p < 0.01$ .

pathway genes, according to different mechanisms to achieve tumor inhibition.

## Discussion

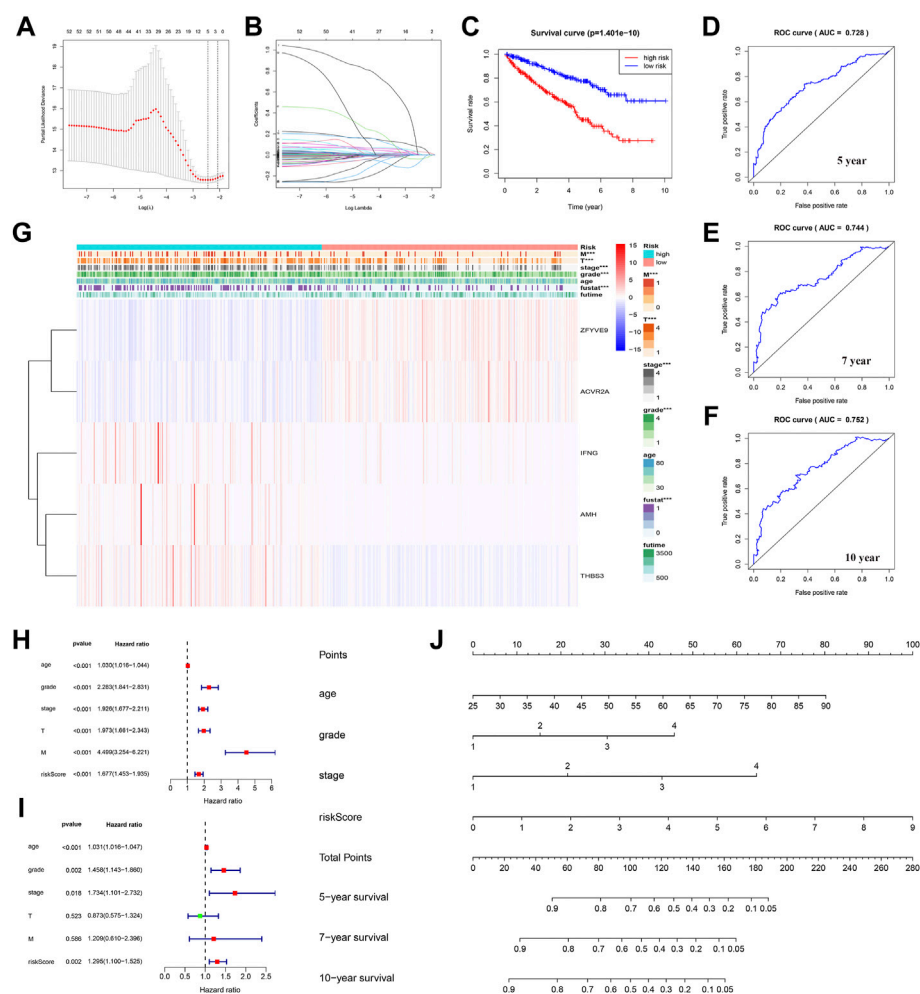
Our comprehensive analysis of a large number of open access RCC cases provides new insights into the key role of the TGF- $\beta$  pathway in the occurrence and development of RCC. Previous studies have reported the effects of various TGF- $\beta$  pathway genes on RCC. For example, TGF- $\beta$ 1 enhances the proliferation and metastatic potential of RCC cells by upregulating lymphoid enhancer-binding factor 1/integrin  $\alpha$ M $\beta$ 2 (Liu and Shang, 2020), and MUC12 relies on TGF- $\beta$ 1 signaling to mediate the growth and invasion of renal cancer cells (Gao et al., 2020).

However, we found that when we analyzed all genes of the TGF- $\beta$  pathway as a whole, the results were surprising. Analysis of TCGA database revealed that 76 of the 85 TGF- $\beta$  pathway

genes were significantly differentially expressed between RCC and normal renal tissues, and 55 genes could play a pivotal role in the prognosis of patients with RCC. The results of this analysis piqued our interest in the role of TGF- $\beta$  pathway genes in RCC.

In the three groups that we divided the ccRCC samples into (normal, KEGG-TGF- $\beta$  high-score, and KEGG-TGF- $\beta$  low-score), the degree of TGF- $\beta$  gene expression, prognosis, and response to drugs differed. Similar to hepatocellular carcinoma (Chen et al., 2018), the prognosis of the TGF- $\beta$  high-score group was better and that of the low-score was poorer in RCC.

We observed a correlation between the expression of many well-known genes related to RCC and the expression of TGF- $\beta$  pathway genes. The VHL gene was expressed at significantly lower levels in the KEGG-TGF- $\beta$  low-score group, and the loss of VHL gene function often leads to the pathogenesis of RCC. Similarly, the expression levels of well-known tumor suppressor genes such as PTEN and P53 were also low in the KEGG-TGF- $\beta$  low-score group, which explains the poor prognosis of this



group. However, a related study showed that the synergistic effect of TGF- $\beta$  type I receptor and hypoxia-inducible factor- $\alpha$  (HIF- $\alpha$ ) promotes the progression of RCC (Mallikarjuna et al., 2019). There are some obvious differences between this study and our research results, which may warrant further study. In the KEGG-TGF- $\beta$  low-score group, we found some highly expressed oncogenes, such as *EGFR*, *MYC*, *MTOR*, and *VEGFA*, which play a key role in the occurrence and development of RCC. Therefore, compared with the KEGG-TGF- $\beta$  low-score group, patients in the KEGG-TGF- $\beta$  high-score group may have a better

therapeutic outcome if these oncogenes are used as therapeutic targets.

Acetylation and deacetylation are common epigenetic modifications that play vital roles in the formation and development of tumors. Analysis of TCGA database transcriptome also showed a strong correlation between the aberrant expression of sirtuins and HDACs and the abnormal expression of the TGF- $\beta$  pathway in patients with RCC. SIRT1, SIRT3, and SIRT5 were significantly correlated with a high TGF- $\beta$  score, while the expression levels of SIRT6 and SIRT7 were

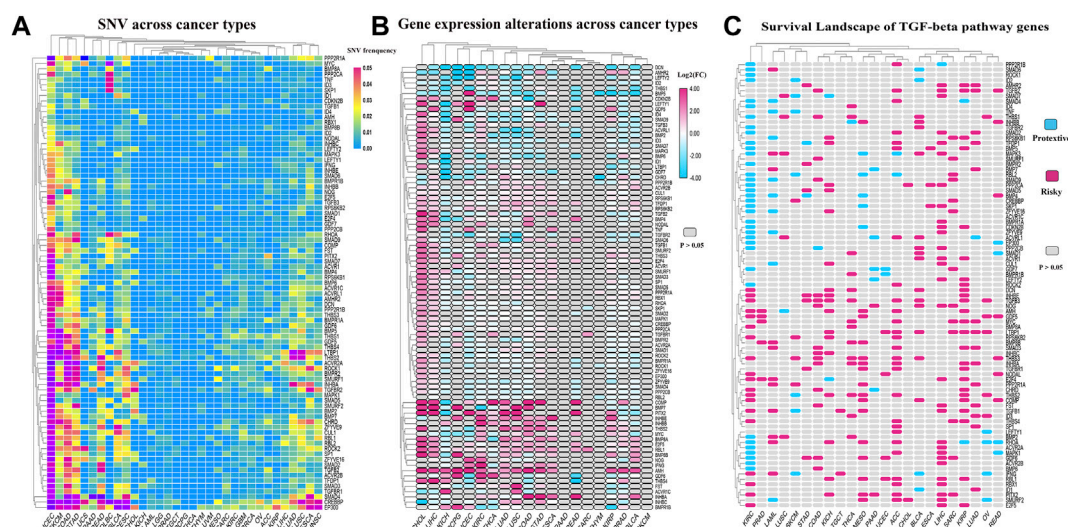


FIGURE 7

Genetic changes of TGF- $\beta$  pathway genes across cancer types. (A) SNVs of TGF- $\beta$  pathway genes across cancer types. (B) Alterations in the expression of TGF- $\beta$  pathway genes across cancer types. (C) Risk assessment of the effect of the TGF- $\beta$  pathway genes on prognosis. KIRC: kidney renal clear cell carcinoma

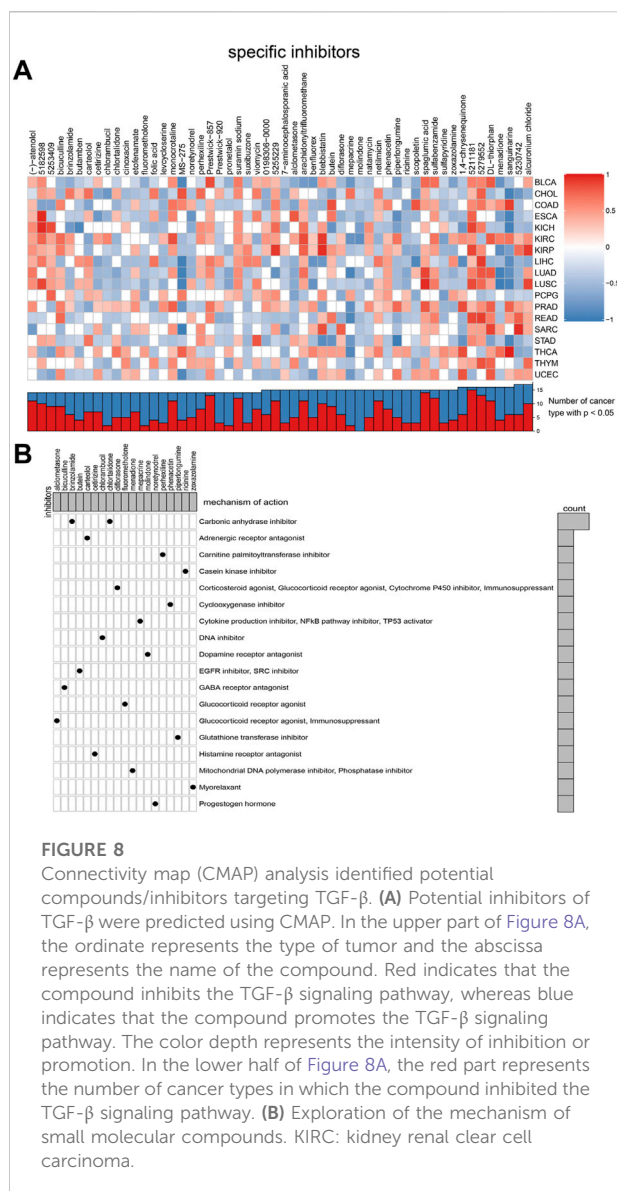
significantly correlated with a low TGF- $\beta$  score. In the KEGG-TGF- $\beta$  low-score group, the expression of SIRT3 was significantly low. Previous studies have shown that low SIRT3 expression in RCC is associated with poor prognosis (Jeh et al., 2017), which supports our results. Transcriptome analysis of sirtuins and HDACs also indicated that the expression of these proteins in different TGF- $\beta$  feature groups was different; therefore, selecting different targets in different TGF- $\beta$  feature groups may be more effective for therapy.

Currently, targeted drug therapy is commonly used for local or distant metastatic RCC, and it is still worth discussing which targeted drug can benefit patients the most. The vasculature-rich nature of RCC has led to the approval of tyrosine kinase inhibitors, including sorafenib, sunitinib, pazopanib, and axitinib (Escudier et al., 2007; Motzer et al., 2007; Rini et al., 2011; Motzer et al., 2014), targeting the VEGF signaling axis as first- and second-line therapies for metastatic RCC in the United States and the European Union. Pazopanib as a first-line targeted agent is similar to sunitinib in improving progression-free survival (PFS) and overall survival (OS) (Motzer et al., 2013; Motzer et al., 2014). The choice between these two agents for patient treatment is a matter of debate, as with other agents. We attempted to address this issue using TGF- $\beta$  scores in patients with renal cancer. We classified the samples according to KEGG-TGF- $\beta$  scores and predicted the IC<sub>50</sub> values of various targeted drugs. We observed that the sensitivities of the three groups to the targeted drugs were different. This suggests that choosing different targeted drugs according to the different patient characteristics can afford better efficacy or appropriately

reduce the drug concentration to lessen the side effects of the drug. This provides a guide for a more detailed classification of patients according to their different characteristics, which will result in patients receiving more personalized treatment and ultimately improve the effectiveness of treatment.

Immunotherapy is a popular topic in the field of tumor therapy. TGF- $\beta$  has systemic immunosuppressive effects and inhibits host immunosurveillance (Yang et al., 2010). Exploring the relationship between TGF- $\beta$  and immunity will help us gain more insight into TGF- $\beta$ -targeted therapy or immunotherapy. Our results show that the expression of TGF- $\beta$  pathway genes is closely related to immune cell infiltration, and type-II-IFN-response and mast cells were most related to TGF- $\beta$  scores. This discovery may be of great significance for the development of new or improved immunotherapy regimens. Two immune checkpoint inhibitors, PD-1 and CTLA-4, are the main drugs approved by the Food and Drug Administration for the treatment of advanced RCC (Xu et al., 2017; Rini et al., 2019). Recent studies have found that the combination therapy of blocking TGF- $\beta$  and PD-1/PD-L1 has achieved relatively ideal efficacy (Lind et al., 2019; Wang et al., 2020b). It has also been found that selective inhibition of TGF- $\beta$ 1 produced by GARP-expressing Tregs can overcome resistance to PD-1/PD-L1 blocking in cancer (de Streel et al., 2020).

The TGF- $\beta$  score was negatively correlated with the expression of CTLA4 and PDCD1, which indicated that the TGF- $\beta$  low-score group had higher expression of these proteins, and blocking CTLA4 and PDCD1 immune checkpoints may have a better therapeutic effect in this group. However, there was no significant difference in the response of the TGF- $\beta$  high-score



and low-score groups to anti-CTLA4 and anti-PDCD1 therapy after Bonferroni correction. Further research is needed on the classification of TGF- $\beta$  gene expression in RCC to guide immunity and on the close relation of TGF- $\beta$  expression to immune cell infiltration and the expression of PD-1 and CTLA4.

IFN- $\gamma$ , the only type-II interferon, is a key cytokine produced by activated T cells and natural killer (NK) and NK-T cells in the tumor microenvironment. IFN- $\gamma$  signals play an important role in coordinating processes (Ayers et al., 2017) such as anti-cancer immunity, improving tumor immunogenicity, and causing anti-tumor effects through the host immune system (Castro et al., 2018). The main function of PD-1 is to weaken the response of effector T cells and prevent the escape of tumor cells from immune attack (Chen et al., 2019). However, IFN- $\gamma$  signaling can ultimately induce feedback inhibition, compromising anti-

tumor immunity. However, IFN- $\gamma$  has another role. As part of the feedback loop, IFN- $\gamma$  signals activate the PD-1 signal axis (Ayers et al., 2017). The main reason why the TGF- $\beta$  score is positively correlated with IFN and negatively correlated with PD-1 may be that the main effect of IFN is an anti-tumor effect, which may also imply the importance of maintaining a relative balance of components in the tumor microenvironment. Some studies have shown that in metastatic RCC, the OS and PFS rates of patients with high mast cell density are significantly better than those of patients with low mast cell density (Yao et al., 2021). This may explain the positive correlation between mast cells and the TGF- $\beta$  score, but the role of mast cells in tumors is diverse (Cherdantseva et al., 2017; Yao et al., 2021), and the specific mechanism requires further study.

We used Lasso regression to establish a prognostic model of RCC based on the TGF- $\beta$  pathway genes. The results showed that the prognosis in the low-risk group was significantly better than that in the high-risk group. Multivariate Cox regression analysis showed that the TGF- $\beta$  score was an independent risk factor for RCC. These results once again prove the importance of the TGF- $\beta$  pathway in the prognosis of RCC.

TGF- $\beta$  pathway genes also have a wide range of SNV and gene expression alterations across multiple cancer types and play a role in a variety of tumor types as prognostic molecules. Consistent with our study, a previous study performed an integration analysis of TGF- $\beta$  superfamily genetic alterations in 9,125 tumor samples from 33 cancer types, elucidated the salient characteristics of TGF- $\beta$ -related genes in a large group of different cancer types, and found high-frequency genetic alterations in the TGF- $\beta$  superfamily across cancer types (Korkut et al., 2018). TGF- $\beta$  pathway genes are risk factors in most tumors, but in ccRCC, most TGF- $\beta$  pathway genes are protective factors, which makes the role of TGF- $\beta$  pathway genes in KIRC different from that in other tumors. Therefore, the different characteristics of TGF- $\beta$  pathway genes in RCC warrant further investigation.

This study has some limitations. Although the TGF- $\beta$  score is closely related to immune cell infiltration and immune checkpoint expression, we found that the response of the TGF- $\beta$ -activated and -inactivated clusters to immune checkpoint inhibitors was not statistically significant, which means that the TGF- $\beta$  score cannot be used to guide immunotherapy in ccRCC patients. Moreover, our gene set did not include some downstream TGF- $\beta$  signaling target genes, such as the EMT-related genes *CDH1*, *CDH2*, *SNAIL*, and *VIM*, which makes our investigation of pathway activity imperfect. Because we classified the samples mainly based on the TGF- $\beta$  score, these genes were not within the TGF- $\beta$  pathway given by KEGG, so we could not give TGF- $\beta$  scores for these genes. As such, these genes were excluded.

In summary, compared with normal renal tissues, most genes of the TGF- $\beta$  pathway are significantly differentially expressed in ccRCC and can serve as risk or protective factors that affect the



prognosis of patients with ccRCC. People with low TGF- $\beta$  scores have a worse prognosis, and most genes of the TGF- $\beta$  pathway are involved in the regulation of ccRCC as protective factors. Stratifying patients with RCC according to their TGF- $\beta$  score is of great significance for evaluating the prognosis of patients and finding new targets.

## Data availability statement

All the code used to generate the analysis results is available at the following address <https://github.com/ljy11652/ljy11652.git>.

## Author contributions

GW and XC designed the study and analyzed the data; JL and QW analyzed the data and wrote the manuscript; YX performed the data analysis and interpreted the data. All the authors checked the manuscript.

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## Conflict of interest

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.953322/full#supplementary-material>



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# Low expression of the metabolism-related gene *SLC25A21* predicts unfavourable prognosis in patients with acute myeloid leukaemia

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Acute myeloid leukaemia (AML) is a heterogeneous disease associated with poor outcomes. To identify AML-specific genes with prognostic value, we analysed transcriptome and clinical information from The Cancer Genome Atlas (TCGA) database, Gene Expression Omnibus (GEO) datasets, and Genotype-Tissue Expression (GTEx) project. The metabolism-related gene, *SLC25A21* was found to be significantly downregulated in AML, and was associated with high white blood cell (WBC) counts, high pretrial blood (PB) and bone marrow (BM) blast abundance, *FLT3* mutation, *NPM1* mutation, and death events (all  $p$  value  $<0.05$ ). We validated the expression of *SLC25A21* in our clinical cohort, and found that *SLC25A21* was downregulated in AML. Moreover, we identified low expression of *SLC25A21* as an independent prognostic factor by univariate Cox regression (hazard ratio [HR]: 0.550; 95% Confidence interval [CI]: 0.358–0.845;  $p$  value = 0.006) and multivariate Cox regression analysis (HR: 0.341; 95% CI: 0.209–0.557;  $p$  value  $<0.05$ ). A survival prediction nomogram was established with a C-index of 0.735, which indicated reliable prognostic prediction. Subsequently, based on the median *SLC25A21* expression level, patients in the TCGA-LAML cohort were divided into low- and high-expression groups. Gene ontology (GO) function and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analyses of DEGs highlighted growth factor binding, extracellular structure organization, cytokine–cytokine receptor interaction, etc. The results of gene set enrichment analysis (GSEA) indicated that the epithelial-mesenchymal transition, KRAS signalling, oxidative phosphorylation, and reactive oxygen species pathways were enriched. Through gene coexpression and protein–protein interaction (PPI) network analysis, we identified two hub genes, *EGFR* and *COL1A2*, which were linked to worse clinical outcomes. Furthermore, we found that lower *SLC25A21* expression was closely associated with a significant reduction in the levels of infiltrating immune cells, which might be associated with immune escape of AML cells. A similar trend was observed for the expression of checkpoint genes (*CTLA4*, *LAG3*, *TIGIT*, and *HAVCR2*). Finally, drug sensitivity testing suggested that the low-expression *SLC25A21* group is sensitive to

doxorubicin, mitomycin C, linifanib but resistant to JQ1, belinostat, and dasatinib. Hence, our study demonstrated that a low expression level of *SLC25A21* predicts an unfavourable prognosis in patients with AML.

#### KEYWORDS

***SLC25A21*, prognosis, bioinformatics, GEO, TCGA, immune checkpoint, drug sensitivity, acute myeloid leukaemia (AML)**

## Introduction

Acute myeloid leukaemia (AML) is a genetically and clinically heterogeneous disease characterized by clonal expansion, differentiation arrest, and evasion of apoptosis. Despite recent advances in chemotherapy, immunotherapy, and bone marrow transplantation, large numbers of AML patients still have a dismal prognosis, with a 5-years survival rate of only approximately 20% (Chen et al., 2019). The development of personalized biomarker-targeted therapies in AML has improved the efficacy of systemic therapies and prolonged patient survival to some extent. However, the lack of biomarkers hinders further improvements in accurate diagnosis and prediction of efficacy. Thus, it is extremely important to discover novel diagnostic and prognostic biomarkers for targeted therapy in AML.

In this research, various comprehensive bioinformatics and statistical methods were used to explore independent prognostic factors in AML. Differentially expressed gene (DEG) analysis, Kaplan-Meier analysis and Cox regression analysis helped us screen out Solute Carrier Family 25 Member 21 (*SLC25A21*) as an AML-specific prognostic marker. *SLC25A21*, also called *ODC*, is a metabolism-related gene located on chromosome 14q13.3, and it encodes a protein known as mitochondrial 2-oxodicarboxylate carrier (Fiermonte et al., 2001). The *SLC25A21* protein not only facilitates the counterexchange of the oxodicarboxylates 2-oxoadipate and 2-oxoglutarate but also plays an essential role in the metabolism of several amino acids (Fiermonte et al., 1998; Kunji et al., 2020). Germline *SLC25A21* deficiency in humans causes the depletion of mitochondrial DNA and spinal muscular atrophy-like disease (Fiermonte et al., 1998; Boczonadi et al., 2018). Metabolic reprogramming is a hallmark of cancer, and targeting metabolic factors is an emerging therapeutic modality (Chen et al., 2020a; Bosc et al., 2020; Forte et al., 2020; Pei et al., 2020). Interestingly, a recent study showed that *SLC25A21* is a key tumor suppressor gene in bladder cancer (Wang et al., 2021). However, the potential role of *SLC25A21* in AML and whether it could serve as a novel target for metabolic therapy remain completely unknown.

Hence, we used GO and KEGG analyses, GSEA, PPI network construction, immune infiltration and immune checkpoint correlations, and drug sensitivity analysis to explore the underlying molecular pathological mechanisms of *SLC25A21* in AML. Based on the above results, we confirmed the

prognostic value of *SLC25A21* and identified it as a potential therapeutic target for AML.

## Material and methods

### Data source

We included 804 samples from three independent cohorts in this study: the TCGA LAML cohort (RNA-seq,  $n = 132$ ) (Cancer Genome Atlas Research et al., 2013), the GSE13159 microarray dataset ( $n = 573$ ) (Haferlach et al., 2010) and the GSE12417 dataset (RNA-seq,  $n = 163$ ) (Metzeler et al., 2008). The matrix of mRNA expression in normal samples ( $n = 70$ ) was extracted from the GTEx project (Consortium, 2020). The RNA-seq and clinical information from the TCGA LAML and GTEx datasets were acquired using the UCSC XENA browser (<https://xenabrowser.net/datapages/>) (Vivian et al., 2017; Consortium, 2020; Goldman et al., 2020). The microarray dataset GSE13159 and RNA-seq dataset GSE12417 were downloaded from the GEO database (<https://www.ncbi.nlm.nih.gov/geo/>). To maintain the comparability of data from different databases, TPM values from RNA-Seq were determined for intrasample comparison after log2 transformation. In our study, specimens with no survival data were excluded.

### Gene expression profiling

To analyse the gene expression profiles of AML, 705 bone samples from the GSE13159 and LAML datasets were used. The GSE13159 dataset was collected from the Microarray Innovations in Leukaemia Study. The DEGs were predicted using the limma package in R, with an adjusted  $p$  value  $< 0.05$  and  $|\log_2FC| \geq 0.15$  (Ritchie et al., 2015). A list of 14 common differentially expressed AML-specific genes was obtained from the above databases by using the Venn online tool (<https://bioinfogp.cnb.csic.es/tools/venny/>).

### Identification of overall survival-related genes

The LAML cohort was used to investigate the potential prognostic significance of the selected genes in AML patients.

OS-related genes with a  $p$  value  $<0.05$  were selected using univariate Cox hazard regression analysis for further research. The external cohort GSE12417 ( $n = 163$ ) was used to validate our results (Metzeler et al., 2008).

## Human subjects and quantitative real-time PCR

Bone marrow samples were collected from 20 patients with AML diagnosis according to the 2016 WHO criteria. We also collected 10 bone marrow samples from healthy donors. The individuals in both cohorts were aged 18–70 years. All patients signed the informed consent form, and the study protocol was approved by the Ethics Committee of our hospital. The patient information collected is listed in [Supplementary Table S3](#). Isolation of mononuclear cells was performed using standard Ficoll standard procedure. Total RNA was isolated with TRIzol reagent (Life Technologies) and then reverse transcribed to cDNA using the ImProm-II<sup>TM</sup> Reverse Transcription Kit (Promega, Madison, United States). For gene expression analysis, cDNA samples were mixed with SYBR reagent using a 7900 real-time PCR system (Applied Biosystems), and the data were normalized to GAPDH. The primer sequences are available in [Supplementary Table S4](#).

## Differentially expressed genes analysis

Based on the median *SLC25A21* expression level, patients in the LAML cohort were divided into two groups of low and high expression. A list of DEGs was obtained using DESeq2 with an adjusted  $p$  value  $< 0.05$  and  $|\log_2FC| \geq 1$  (Love et al., 2014).

## Gene ontology and kyoto encyclopedia of genes and genomes enrichment analysis of differentially expressed genes

By using the R package clusterProfiler, we carried out functional annotation analysis to investigate the underlying functions of DEGs in AML (Yu et al., 2012; Gene Ontology, 2021; Kanehisa et al., 2021). A Benjamin–Hochberg adjusted  $p$  value  $<0.05$  was interpreted as statistically significant. Heatmap of clustered DEGs was generated using ClustVis software (Metsalu and Vilo, 2015).

## Gene set enrichment analysis

GSEA was conducted by using the clusterProfiler package in R and hallmark signatures (h.all.v7.2. symbols.gmt) from

MsigDB (Subramanian et al., 2005; Yu et al., 2012). Results were considered significant when  $|NES| > 1$ , normalized  $p$  value  $<0.05^{23}$ .

## Comprehensive protein–protein interaction analysis

Associations between *SLC25A21* and the expression of other genes were assessed using the LinkedOmics database (<http://www.linkedomics.org/login.php>). We derived the PPI network from the STRING database (<https://string-db.org/>) to estimate the interactional correlations of the DEGs (Szklarczyk et al., 2019). A confidence score  $>0.4$  was considered significant. Hub proteins and key nodes in the constructed PPI network were identified using the Cytoscape plugin CytoHubba (Shannon et al., 2003). We investigated the association of *SLC25A21* expression with hub genes through correlation heatmaps by using the R package ggplot2.

## Immune infiltrate analysis

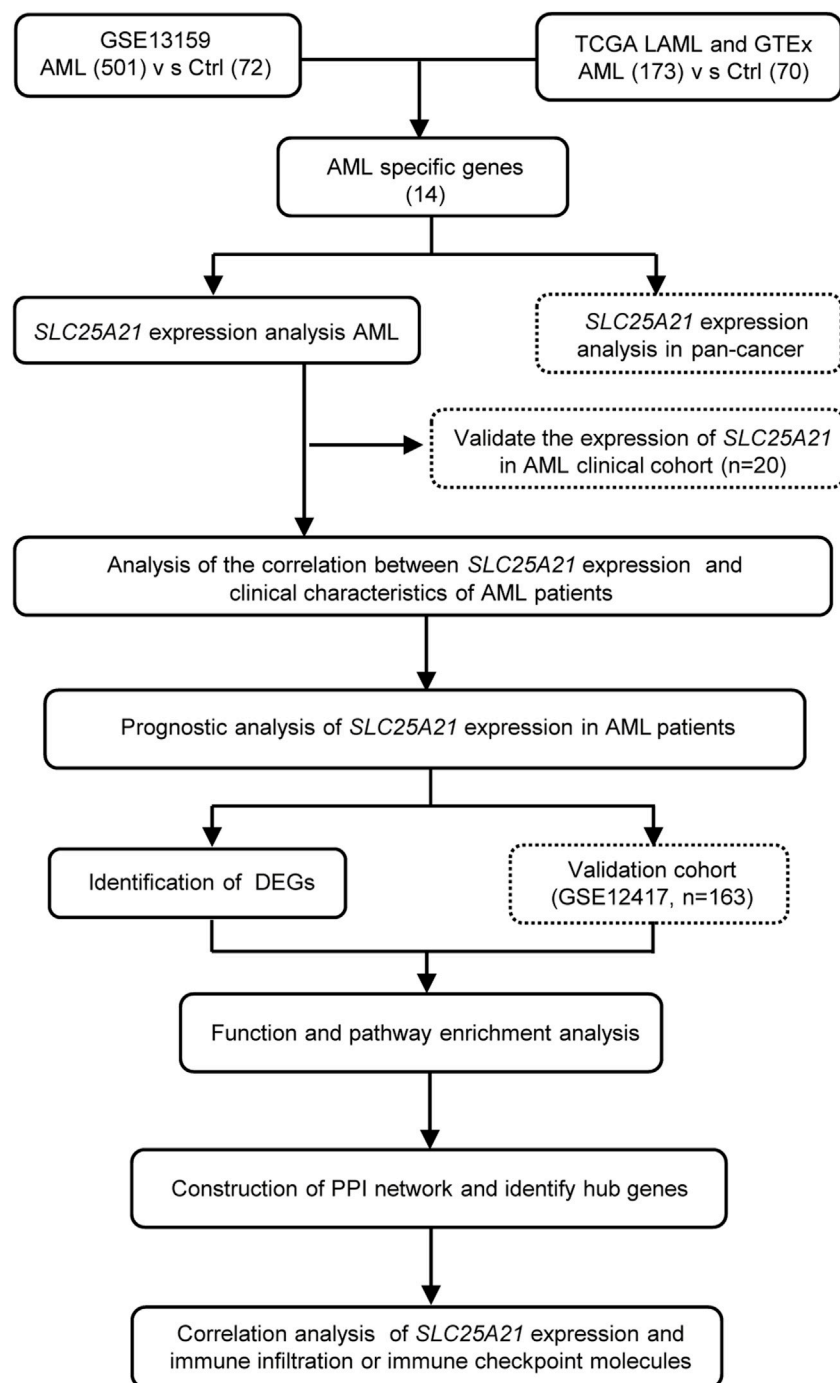
By using Single Sample GSEA (ssGSEA) in the R package gsva, Spearman correlation coefficients were computed between the expression level of *SLC25A21* and ssGSEA-based immune cell infiltration levels (Bindea et al., 2013; Hanzelmann et al., 2013). The involved immune cells were of 22 immune cell subtypes, including B cells, monocytes, macrophages, neutrophils, NK cells, DC cells and all subtypes of T-cells. The relationships between *SLC25A21* expression and the expression of immune checkpoint molecules, including *PDCD1*, *CD274*, *CTLA-4*, *LAG-3*, *TIGIT*, and *HAVCR2*, were identified through correlation heatmaps by using the R package ggplot2.

## Drug sensitivity prediction

The half-maximal inhibitory concentration (IC<sub>50</sub>), calculated using the pRRophetic package in R (Geeleher et al., 2014; Ding et al., 2021), was used for drug sensitivity prediction.

## Survival and statistical analysis

All statistical analyses were performed with R, version 4.1.3 (<https://www.r-project.org/>). The Wilcoxon rank-sum test and Kruskal–Wallis test were used to detect differences among continuous variables. The correlation of clinical features with low and high *SLC25A21* expression were analysed with Pearson's correlation  $\chi^2$  test. For survival

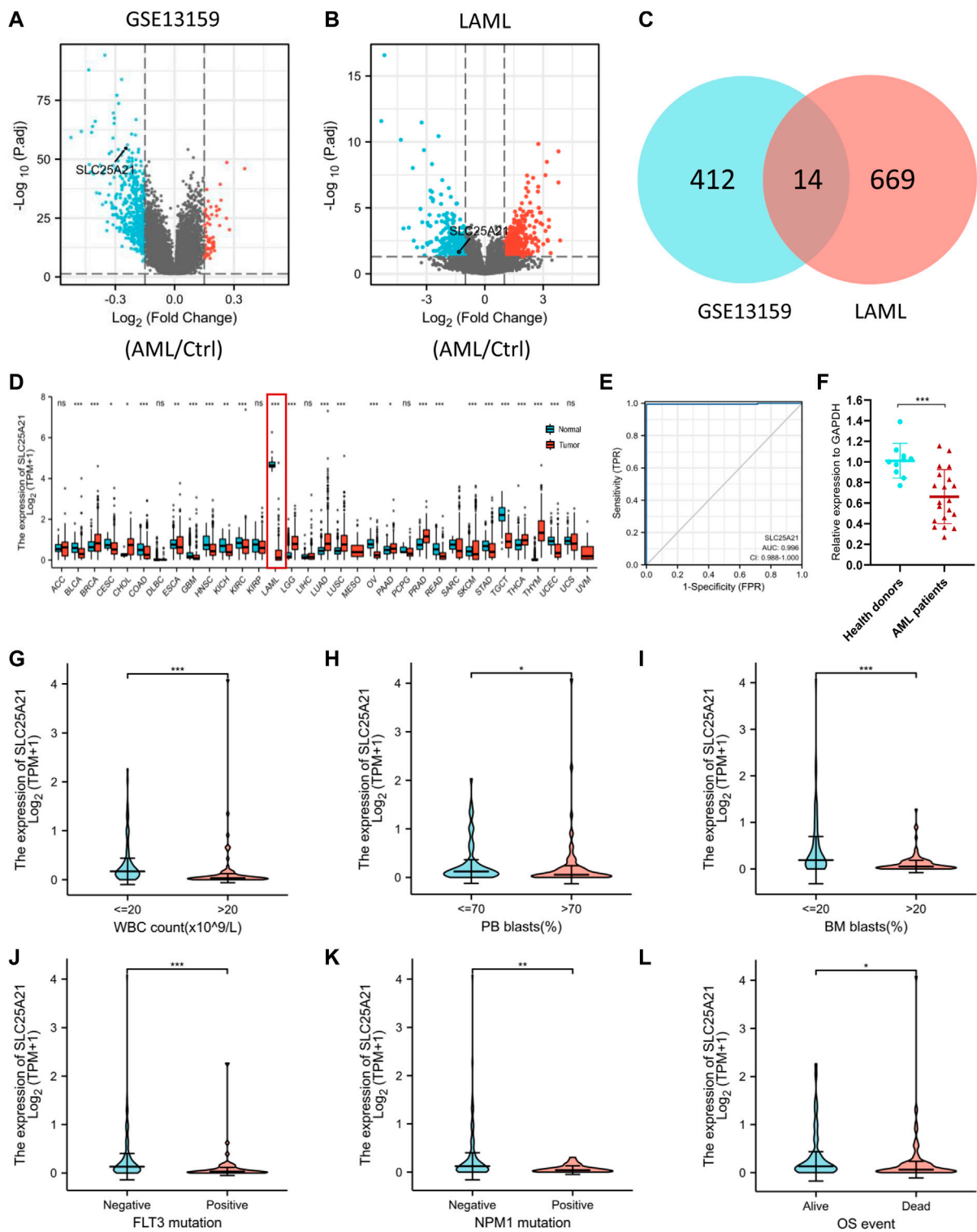


**FIGURE 1**  
Flow chart of the study.

analysis, Cox proportional hazards analysis was conducted by using “survival” and “survminer” in R. Variables significant in Cox univariate analysis were selected for multivariate analysis. The Kaplan-Meier method was used for univariate analyses of OS. Receiver operating characteristic (ROC) curves and AUC

values were generated by pROC in R to assess the diagnostic efficacy of *SLC25A21* for AML. All tests were two-sided, and a  $p$  value < 0.05 was considered to indicate statistical significance. A flow chart of the analyses is presented in Figure 1.





**FIGURE 2** Identifying AML-specific genes and the association of SLC25A21 expression with clinical characteristics. **(A)** Volcano plot displaying DEGs between AML and control bone marrow samples in the GSE13159 dataset. Each point represents the average value of one transcript. **(B)** Volcano plot of DEGs between AML and normal samples in the TCGA-LAML and GTEx datasets. **(C)** Venn diagram of differential gene expression. Selected genes for further analysis based on the intersections of DEGs. **(D)** The level of SLC25A21 expression in different tumor from TCGA and GTEx database. **(E)** Receiver operating characteristic (ROC) analysis of SLC25A21 in AML. The analysis was performed with the TCGA-LAML and GTEx dataset. **(F)** (Continued)

**FIGURE 2 (Continued)**

Differential expression of *SLC25A21* between AML patients and healthy donors by qPCR analyses. The results were expressed as the fold change of AML patients relative to healthy donors. Clinical characteristics included (G) WBC count, (H) PB blasts abundance, (I) BM blasts abundance, (J) FLT3 mutation, (K) NPM1 mutation, (L) OS events. Data are presented as the mean  $\pm$  SD, and represent triplicate wells from one of two independent experiments. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ . Analysis between two groups of unpaired samples: Wilcoxon rank-sum test, analysis among multiple groups: Kruskal–Wallis test (ns  $p \geq 0.05$ , \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ).

## Results

### Acute myeloid leukaemia-specific genes identified with screening datasets

We analysed the TCGA LAML database and the GEO dataset GSE13159 to understand the potential molecular changes in AML. For GSE13159, gene expression analysis was performed on bone marrow samples from 501 AML to 72 control samples (nonleukaemia and healthy donors). We identified 61 upregulated and 365 downregulated DEGs in the AML group ( $|\log_2\text{FC}| \geq 0.15$ , adjusted  $p$  value  $< 0.05$ ) by using the limma package in R. The volcano plots are shown in Figure 2A. By screening the TCGA LAML datasets ( $|\log_2\text{FC}| \geq 1$ , adjusted  $p$  value  $< 0.05$ ) with DESeq2, a total of 683 differentially expressed genes were obtained, which are shown in Figure 2B. Venn diagram software was used to obtain a common DEG list. A list of 14 intersectional genes was extracted, of which 11 were upregulated and 3 were downregulated in GSE13159 and TCGA LAML (Figure 2C), including *IL1R2*, *MMP8*, *FGF13*, *SLC25A21*, etc. In pan-cancer analysis, we determined the expression profiles of these genes in normal and malignant samples. Remarkably, *SLC25A21* was downregulated in multiple malignancies, especially in AML (Figure 2D). Furthermore, we performed receiver operating characteristic (ROC) curve analyses, and the area under the curve (AUC) was used to evaluate the discriminatory capacity. The calculated AUC value was 0.996 (95% confidence interval, CI = 0.988–1.0, Figure 2E), which means that *SLC25A21* has excellent discrimination power to distinguish AML patients from normal controls. Finally, we validated the expression of *SLC25A21* in AML patient bone marrow samples collected in our centre. We compared the mRNA expression level of *SLC25A21* between AML patients ( $n = 20$ ) and healthy donors ( $n = 10$ ) by qPCR. *SLC25A21* was significantly downregulated in AML samples, with a  $p$  value of 0.0007 (Figure 2F). Therefore, *SLC25A21* could be a specific factor to distinguish AML from normal samples.

### Low levels of *SLC25A21* are associated with adverse clinical features in acute myeloid leukaemia

To investigate the clinical significance of *SLC25A21*, we analysed the TCGA LAML cohort, which includes 132 AML

patients with clinical information. As shown in Figures 2F–K, low *SLC25A21* expression was associated with higher WBC counts ( $p$  value  $< 0.001$ , Figure 2G), higher PB blast abundance ( $p$  value  $< 0.05$ , Figure 2H), higher BM blast abundance ( $p$  value  $< 0.001$ , Figure 2I), FLT3 mutation ( $p$  value  $< 0.001$ , Figure 2J), NPM1 mutation ( $p$  value  $< 0.01$ , Figure 2K), and death events ( $p$  value  $< 0.001$ , Figure 2L); however, no association was found with cytogenetic risk or French–American–British (FAB) classifications (Supplementary Figures 1A–B). In addition, similar trends were observed when patients were grouped by low or high *SLC25A21* expression; more details are shown in Table 1.

### Low expression of *SLC25A21* predicts unfavourable prognosis in patients with acute myeloid leukaemia

We further investigated the prognostic value of *SLC25A21* in AML. First, patients in the low *SLC25A21* expression group had shorter OS than those in the high *SLC25A21* expression group ( $p$  value = 0.006, Figure 3A), which indicated that a low *SLC25A21* expression level was associated with an unfavourable prognosis in patients with AML. Furthermore, we validated our results in an independent external validation cohort GSE12417 ( $p$  value = 0.027, Figure 3B).

In addition, univariate and multivariate logistic regression analyses were performed to determine whether low expression of *SLC25A21* was an independent prognostic factor for AML. Univariate Cox regression analysis showed that low levels of *SLC25A21* expression were associated with poor OS (hazard ratio, [HR]: 0.55; 95% confidence interval [CI]: 0.358–0.845;  $p$  value = 0.006). Meanwhile, increasing age and unfavourable cytogenetics were also risk factors associated with poor outcomes. Then, all variables significant in univariate Cox regression analysis ( $p$  value  $< 0.05$ ) were included in multivariate Cox regression analysis. Subsequently, age, unfavourable cytogenetics and low levels of *SLC25A21* expression (HR: 1.733; 95% CI: 1.079–2.781;  $p$  value = 0.023) were identified as independent prognostic factors for OS. The forest plots present the Cox regression results in Figures 3C,D (more details are provided in Supplementary Table S1).

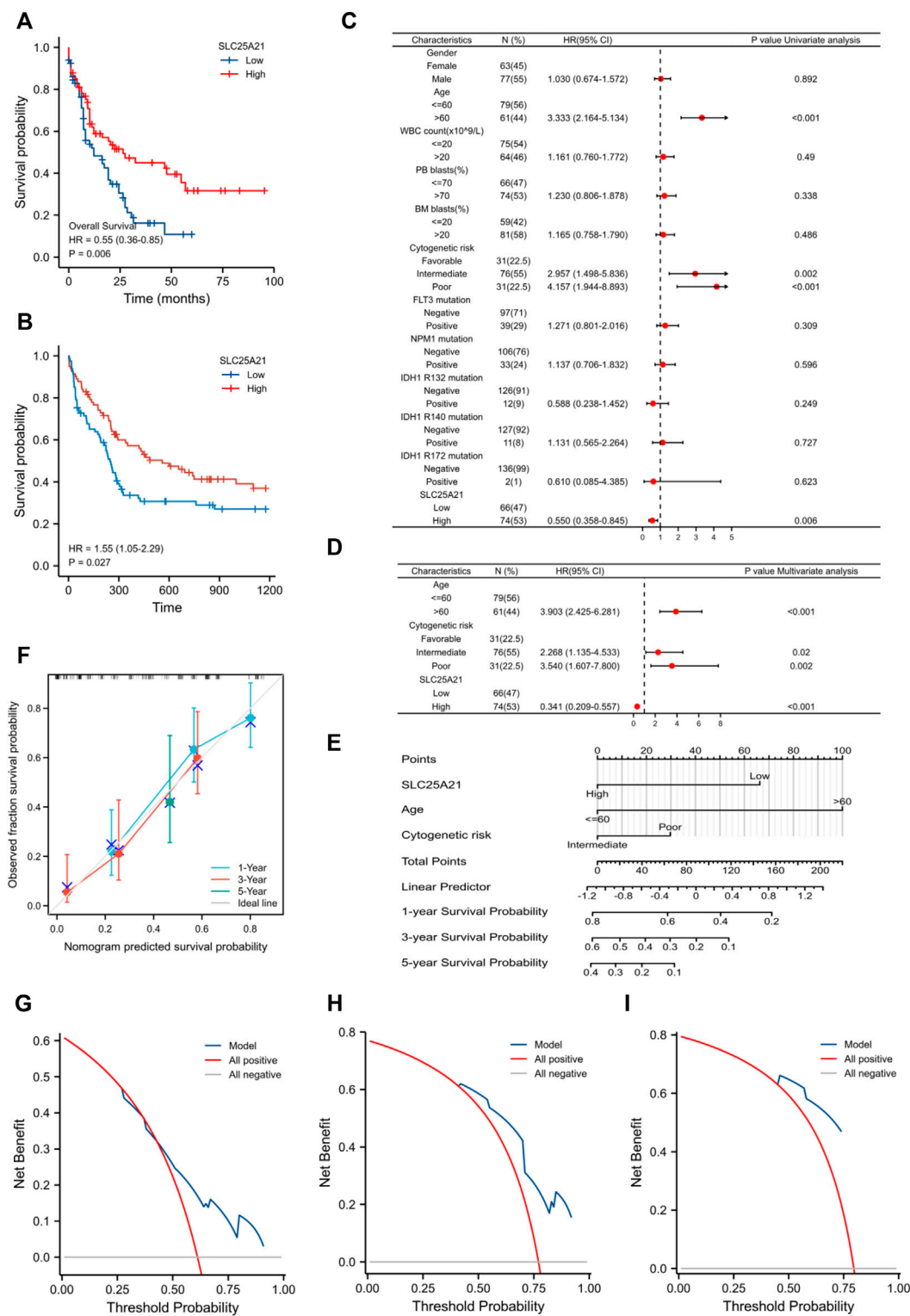
Moreover, a nomogram including the prediction model was established based on multivariable logistic regression

TABLE 1 Clinical characteristics of AML patients with differential SLC25A21 expression levels.

| Characteristics                 | Total(N) | HR<br>(95%CI) univariate<br>analysis | p value<br>univariate analysis | HR<br>(95%CI) multivariate<br>analysis | p value<br>multivariate analysis |
|---------------------------------|----------|--------------------------------------|--------------------------------|--|----------------------------------|
| Gender                          | 140      |                                      |                                |  |                                  |
| Female                          | 63       | References                           |                                |  |                                  |
| Male                            | 77       | 1.030 (0.674–1.572)                  | 0.892                          |  |                                  |
| Age                             | 140      |                                      |                                |  |                                  |
| ≤60                             | 79       | References                           |                                |  |                                  |
| >60                             | 61       | 3.333 (2.164–5.134)                  | <0.001                         | 3.903 (2.425–6.281)                    | <0.001                           |
| WBC count (x10 <sup>9</sup> /L) | 139      |                                      |                                |  |                                  |
| ≤20                             | 75       | References                           |                                |  |                                  |
| >20                             | 64       | 1.161 (0.760–1.772)                  | 0.490                          |  |                                  |
| PB blasts (%)                   | 140      |                                      |                                |  |                                  |
| ≤70                             | 66       | References                           |                                |  |                                  |
| >70                             | 74       | 1.230 (0.806–1.878)                  | 0.338                          |  |                                  |
| BM blasts (%)                   | 140      |                                      |                                |  |                                  |
| ≤20                             | 59       | References                           |                                |  |                                  |
| >20                             | 81       | 1.165 (0.758–1.790)                  | 0.486                          |  |                                  |
| Cytogenetic risk                | 138      |                                      |                                |  |                                  |
| Favorable                       | 31       | References                           |                                |  |                                  |
| Intermediate                    | 76       | 2.957 (1.498–5.836)                  | 0.002                          | 2.268 (1.135–4.533)                    | 0.020                            |
| Poor                            | 31       | 4.157 (1.944–8.893)                  | <0.001                         | 3.540 (1.607–7.800)                    | 0.002                            |
| FLT3 mutation                   | 136      |                                      |                                |  |                                  |
| Negative                        | 97       | References                           |                                |  |                                  |
| Positive                        | 39       | 1.271 (0.801–2.016)                  | 0.309                          |  |                                  |
| NPM1 mutation                   | 139      |                                      |                                |  |                                  |
| Negative                        | 106      | References                           |                                |  |                                  |
| Positive                        | 33       | 1.137 (0.706–1.832)                  | 0.596                          |  |                                  |
| RAS mutation                    | 139      |                                      |                                |  |                                  |
| Negative                        | 131      | References                           |                                |  |                                  |
| Positive                        | 8        | 0.643 (0.235–1.760)                  | 0.390                          |  |                                  |
| IDH1 R132 mutation              | 138      |                                      |                                |  |                                  |
| Negative                        | 126      | References                           |                                |  |                                  |
| Positive                        | 12       | 0.588 (0.238–1.452)                  | 0.249                          |  |                                  |
| IDH1 R140 mutation              | 138      |                                      |                                |  |                                  |
| Negative                        | 127      | References                           |                                |  |                                  |
| Positive                        | 11       | 1.131 (0.565–2.264)                  | 0.727                          |  |                                  |
| IDH1 R172 mutation              | 138      |                                      |                                |  |                                  |
| Negative                        | 136      | References                           |                                |  |                                  |
| Positive                        | 2        | 0.610 (0.085–4.385)                  | 0.623                          |  |                                  |
| SLC25A21                        | 140      |                                      |                                |  |                                  |
| Low                             | 66       | References                           |                                |  |                                  |
| High                            | 74       | 0.550 (0.358–0.845)                  | 0.006                          | 0.341 (0.209–0.557)                    | <0.001                           |

analysis. The established nomogram was well calibrated and had good discriminative power, with a concordance index (C-index) of 0.735 for OS prediction (Figure 3E). Furthermore, we utilized calibration curves and decision curve analysis (DCA) to report the clinical net benefit of

our model. The calibration curve at 1, 3, or 5 years still showed high consistency between the predicted survival probability and actual OS proportions (Figure 3F). In addition, the decision curve analysis for the individualized prediction nomogram is presented in Figures 3G–I. In



**FIGURE 3**  
The prognostic value of SLC25A21 in AML. **(A)** Kaplan-Meier curve analysis of overall survival (OS) between the high- and low-SLC25A21 expression groups in the TCGA-LAML dataset. **(B)** OS analysis of SLC25A21 in the independent validation cohort GSE12417. **(C)** Univariate analyses of OS shown as a by forest plot. **(D)** Multivariate analyses of OS shown as a forest plot. **(E)** A nomogram integrating SLC25A21 and other prognostic factors for AML (mut: mutation, wt, wild type; Int, Intermediate; Fav, Favourable). **(F)** The calibration curve of the nomogram. The DCA curves of the nomogram at 1 year **(G)**, 3 years **(H)**, and 5 years **(I)**.

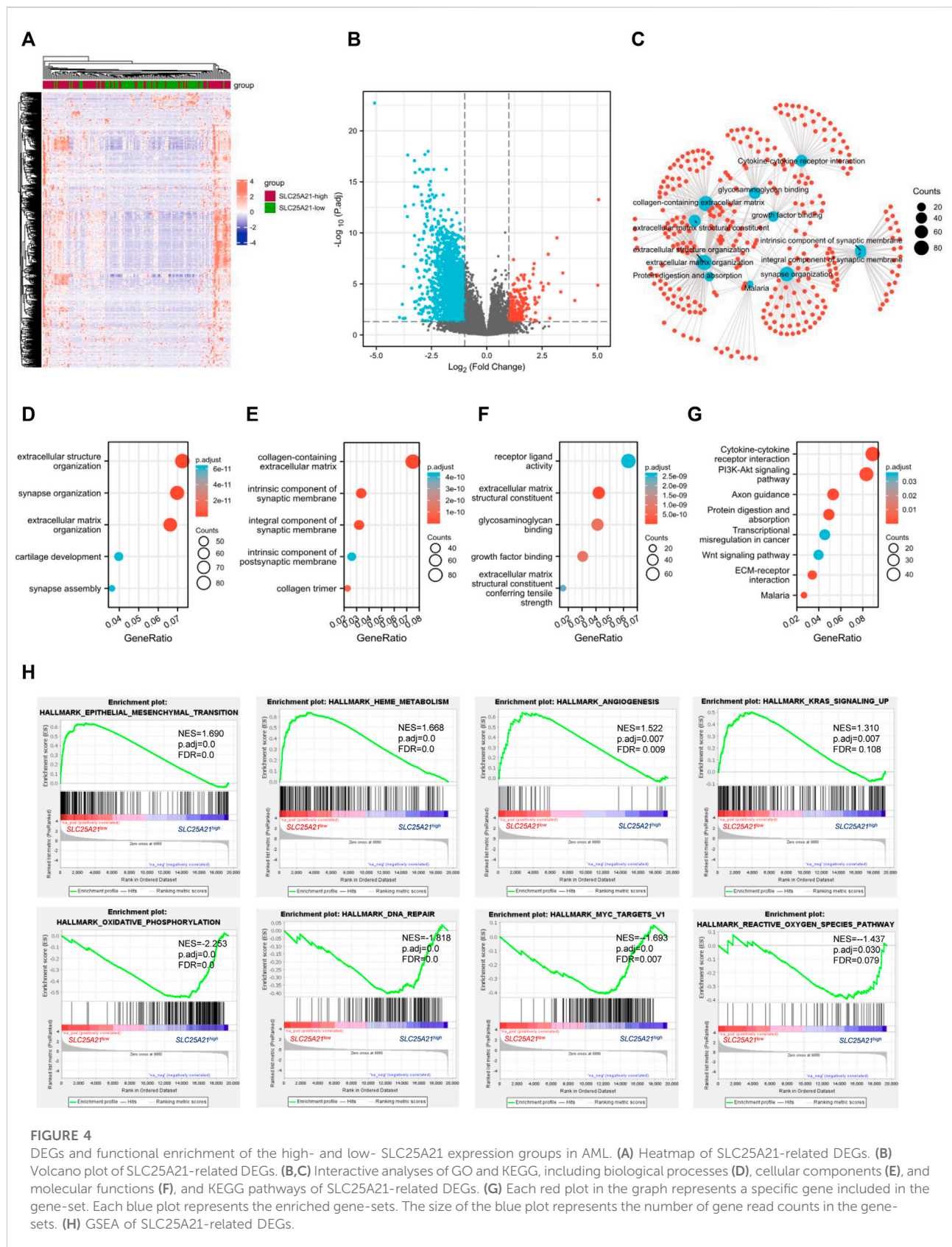


FIGURE 4

DEGs and functional enrichment of the high- and low- SLC25A21 expression groups in AML. (A) Heatmap of SLC25A21-related DEGs. (B) Volcano plot of SLC25A21-related DEGs. (B,C) Interactive analyses of GO and KEGG, including biological processes (D), cellular components (E), and molecular functions (F), and KEGG pathways of SLC25A21-related DEGs. (G) Each red plot in the graph represents a specific gene included in the gene-set. Each blue plot represents the enriched gene-sets. The size of the blue plot represents the number of gene read counts in the gene-sets. (H) GSEA of SLC25A21-related DEGs.



TABLE 2 Seventeen items from gene set enrichment analysis. (A) Gene sets enriched in phenotype SLC25A21 low. (B) Gene sets enriched in phenotype SLC25A21 high.

|    | GS   | SIZE | ES   | NES  | NOM p-value | FDR q-value | FWER p-value | RANK AT MAX | LEADING EDGE                         |
|----|--|------|------|------|-------------|-------------|--------------|-------------|--------------------------------------|
| 1  | HALLMARK_EPITHELIAL_MESENCHYMAL_TRANSITION | 200  | 0.64 | 1.69 | 0           | 0           | 0            | 2921        | tags = 45%, list = 15%, signal = 52% |
| 2  | HALLMARK_HEME_METABOLISM                   | 197  | 0.64 | 1.67 | 0           | 0           | 0            | 3583        | tags = 38%, list = 18%, signal = 46% |
| 3  | HALLMARK_ANGIOGENESIS                      | 36   | 0.64 | 1.52 | 0.007       | 0.01        | 0.037        | 2740        | tags = 53%, list = 14%, signal = 61% |
| 4  | HALLMARK_HEDGEHOG_SIGNALING                | 36   | 0.62 | 1.46 | 0.02        | 0.026       | 0.13         | 3664        | tags = 50%, list = 19%, signal = 62% |
| 5  | HALLMARK_ESTROGEN_RESPONSE_EARLY           | 199  | 0.55 | 1.45 | 0           | 0.025       | 0.148        | 3940        | tags = 38%, list = 20%, signal = 47% |
| 6  | HALLMARK_APICAL_SURFACE                    | 44   | 0.6  | 1.44 | 0.009       | 0.023       | 0.172        | 3050        | tags = 34%, list = 16%, signal = 40% |
| 7  | HALLMARK_MYOGENESIS                        | 199  | 0.52 | 1.37 | 0.002       | 0.056       | 0.404        | 2994        | tags = 30%, list = 15%, signal = 35% |
| 8  | HALLMARK_UV_RESPONSE_DN                    | 144  | 0.51 | 1.32 | 0.012       | 0.099       | 0.651        | 4417        | tags = 35%, list = 23%, signal = 45% |
| 9  | HALLMARK_KRAS_SIGNALING_UP                 | 199  | 0.5  | 1.31 | 0.007       | 0.108       | 0.716        | 3932        | tags = 36%, list = 20%, signal = 45% |
| 11 | HALLMARK_SPERMATOGENESIS                   | 134  | 0.49 | 1.27 | 0.037       | 0.145       | 0.874        | 4396        | tags = 34%, list = 23%, signal = 43% |
| 12 | HALLMARK_ESTROGEN_RESPONSE_LATE            | 198  | 0.48 | 1.26 | 0.025       | 0.16        | 0.917        | 4280        | tags = 36%, list = 22%, signal = 46% |
| 13 | HALLMARK_KRAS_SIGNALING_DN                 | 199  | 0.48 | 1.25 | 0.026       | 0.161       | 0.934        | 3498        | tags = 33%, list = 18%, signal = 39% |

|   | GS                                       | SIZE | ES          | NES        | NOM p-value | FDR q-value | FWER p-value | RANK AT MAX | LEADING EDGE                          |
|---|--|------|-------------|------------|-------------|-------------|--------------|-------------|---------------------------------------|
| 1 | HALLMARK_DNA_REPAIR                      | 148  | -0.4018086  | -1.818951  | 0           | 0           | 0            | 7204        | tags = 64%, list = 37%, signal = 101% |
| 2 | HALLMARK_REACTIVE_OXYGEN_SPECIES_PATHWAY | 49   | -0.3934737  | -1.4372096 | 0.030303031 | 0.07993332  | 0.025        | 4629        | tags = 49%, list = 24%, signal = 64%  |
| 3 | HALLMARK_CHOLESTEROL_HOMEOSTASIS         | 74   | -0.35663486 | -1.3603169 | 0.03846154  | 0.11938669  | 0.047        | 3913        | tags = 43%, list = 20%, signal = 54%  |
| 4 | HALLMARK_INTERFERON_GAMMA_RESPONSE       | 200  | -0.25014699 | -1.24814   | 0           | 0.19125329  | 0.092        | 3746        | tags = 37%, list = 19%, signal = 45%  |
| 5 | HALLMARK_FATTY_ACID_METABOLISM           | 156  | -0.2812873  | -1.2210402 | 0           | 0.19619812  | 0.109        | 2894        | tags = 26%, list = 15%, signal = 30%  |

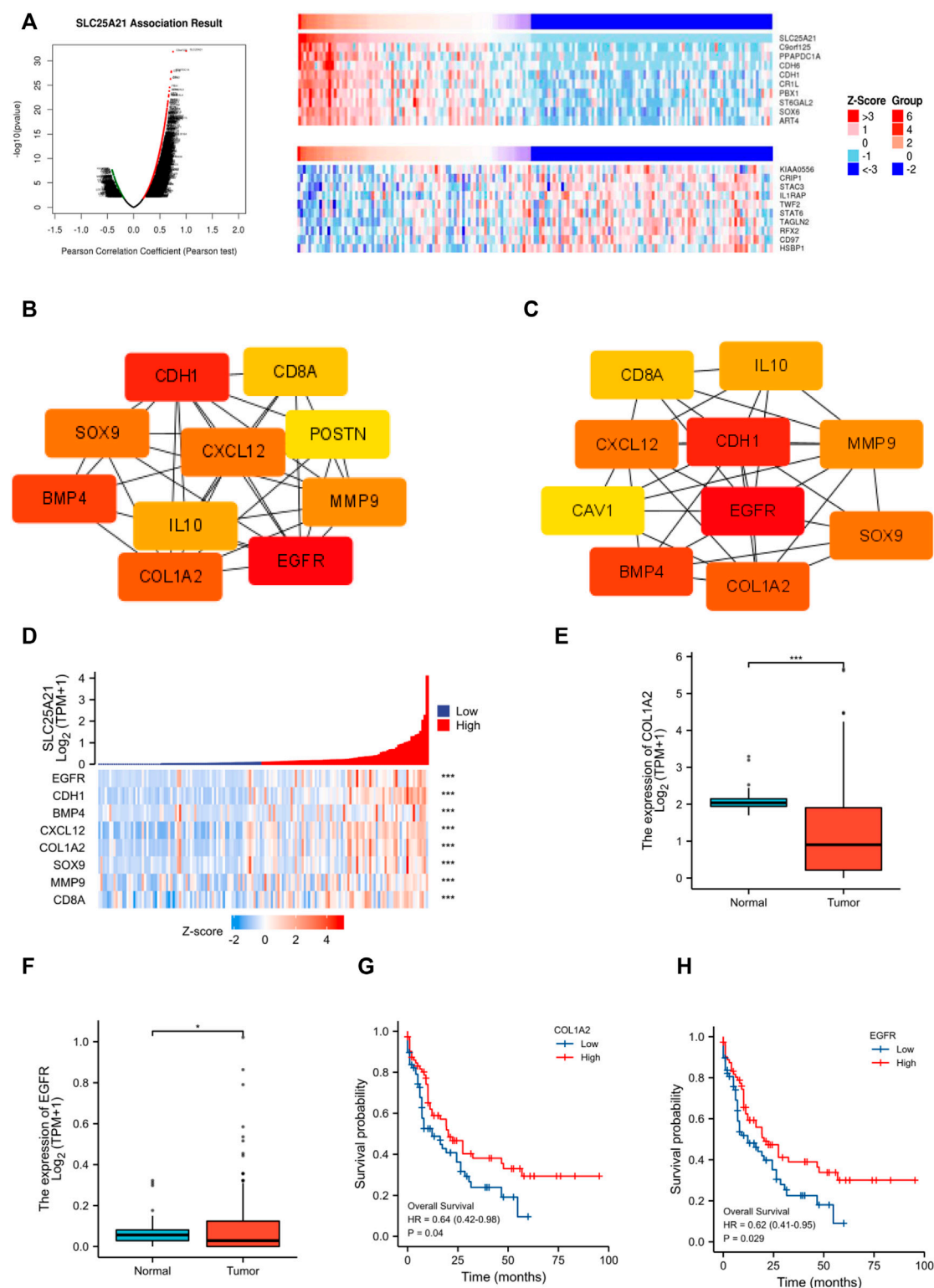
summary, the nomogram model we established had good predictive accuracy for AML patient survival.

Biological function enrichment of the SLC25A21 gene in acute myeloid leukaemia

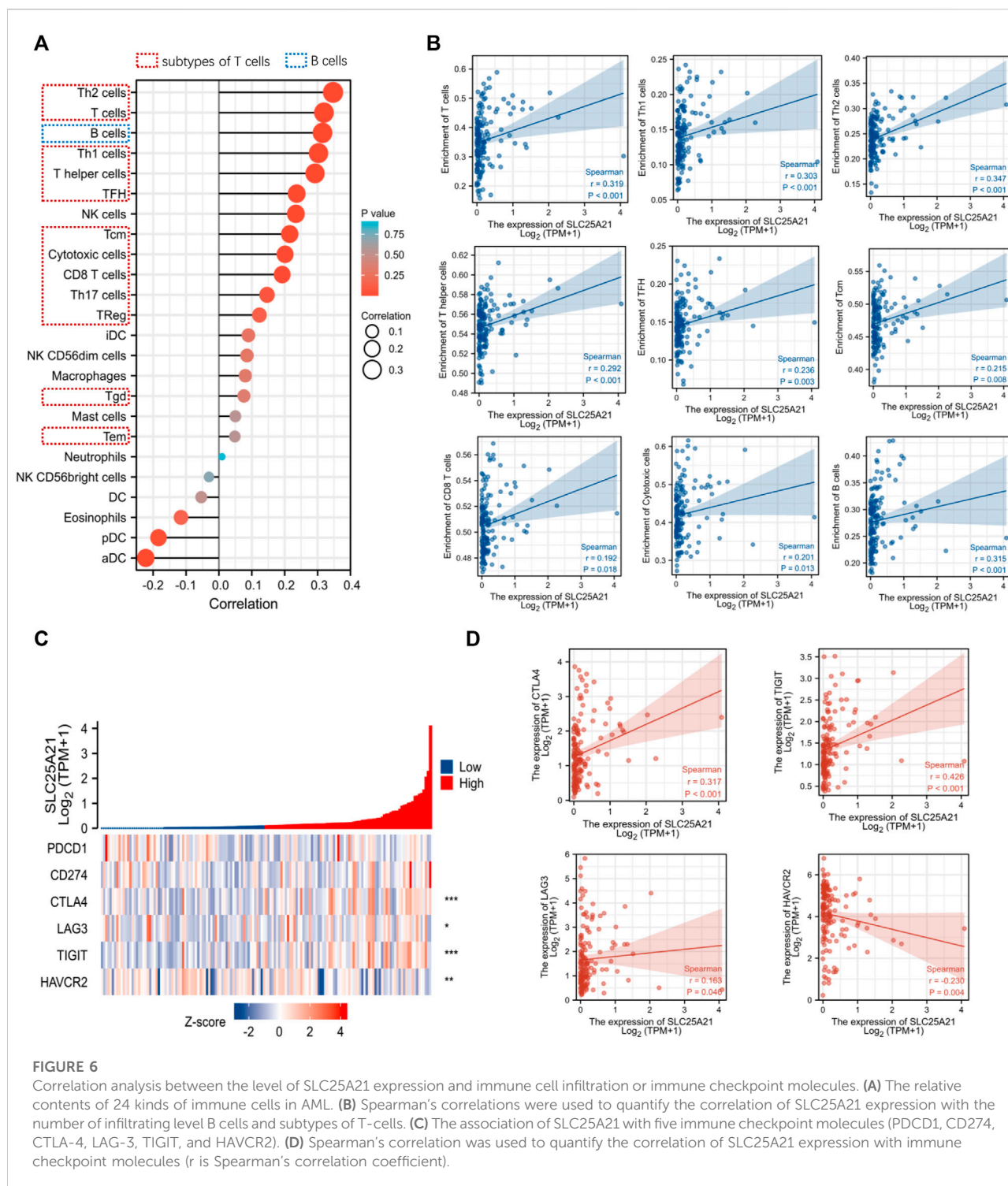
Next, we aimed to further investigate the underlying mechanisms and functional pathways of SLC25A21 in AML. We identified DEGs between the low- and high- SLC25A21 expression groups. The final list of DEGs included 1,270 genes, with 128 genes upregulated and 1,142 genes downregulated ( $|\log_2FC| \geq 1$ , adjusted  $p$  value  $<0.05$ ). The heatmap and the volcano map are shown in Figures 4A,B.

To elucidate the potential biological function of SLC25A21 in AML, we performed enrichment analyses. The top 15 GO enrichment items (Figures 4D–F) and top 5 KEGG pathways are shown in Figure 4G. The main enriched GO terms of the DEGs were extracellular structure organization, synapse organization, extracellular matrix organization, collagen-containing extracellular matrix, integral component of synaptic membrane, receptor ligand activity, extracellular matrix structural constituent, glycosaminoglycan binding, growth factor binding, etc.

We found that the enriched pathways included cytokine–cytokine receptor interaction, PI3K–Akt signalling pathway, focal adhesion, proteoglycans in cancer, transcriptional misregulation in cancer, Wnt signalling pathway, and TGF- $\beta$  signalling pathway (Supplementary Table S2). Furthermore, interaction analysis was carried out with the results of GO and KEGG



**FIGURE 5** PPI network construction and clinical significance of hub genes. **(A)** Coexpression analysis of SLC25A21 in the TCGA-LAML dataset. The top 10 positively/negatively correlated genes are displayed. **(B–C)** The top 15 hub genes were selected on the basis of **(B)** MNC and **(C)** degree. **(D)** The association of SLC25A21 with eight hub genes (EGFR, CDH1, BMP4, CXCL12, COL1A2, SOX9, MMP9, and CD8A). **(E)** Expression levels of COL1A2 in AML patients ( $n = 132$ ) and normal participants ( $n = 70$ ). **(F)** Expression levels of EGFR in AML patients ( $n = 132$ ) and normal participants ( $n = 70$ ). **(G)** The difference in OS between patients with high and low COL1A2 expression levels shown by Kaplan-Meier curves. **(H)** The difference in OS between patients with high and low EGFR expression levels shown by Kaplan-Meier curves. (\*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p < 0.001$ ).



analysis to explore interrelationships. The number of enriched genes was ranked from most to least common: collagen-containing extracellular matrix, extracellular structure organization, extracellular matrix organization, cytokine–cytokine receptor interaction, extracellular matrix structural

constituent, growth factor binding, protein digestion and absorption, malaria (Figure 4C).

Finally, we utilized GSEA to assess key regulatory pathways for *SLC25A21* expression. We found 17 significant pathways associated with *SLC25A21* (Table 2), of which the major affected pathways

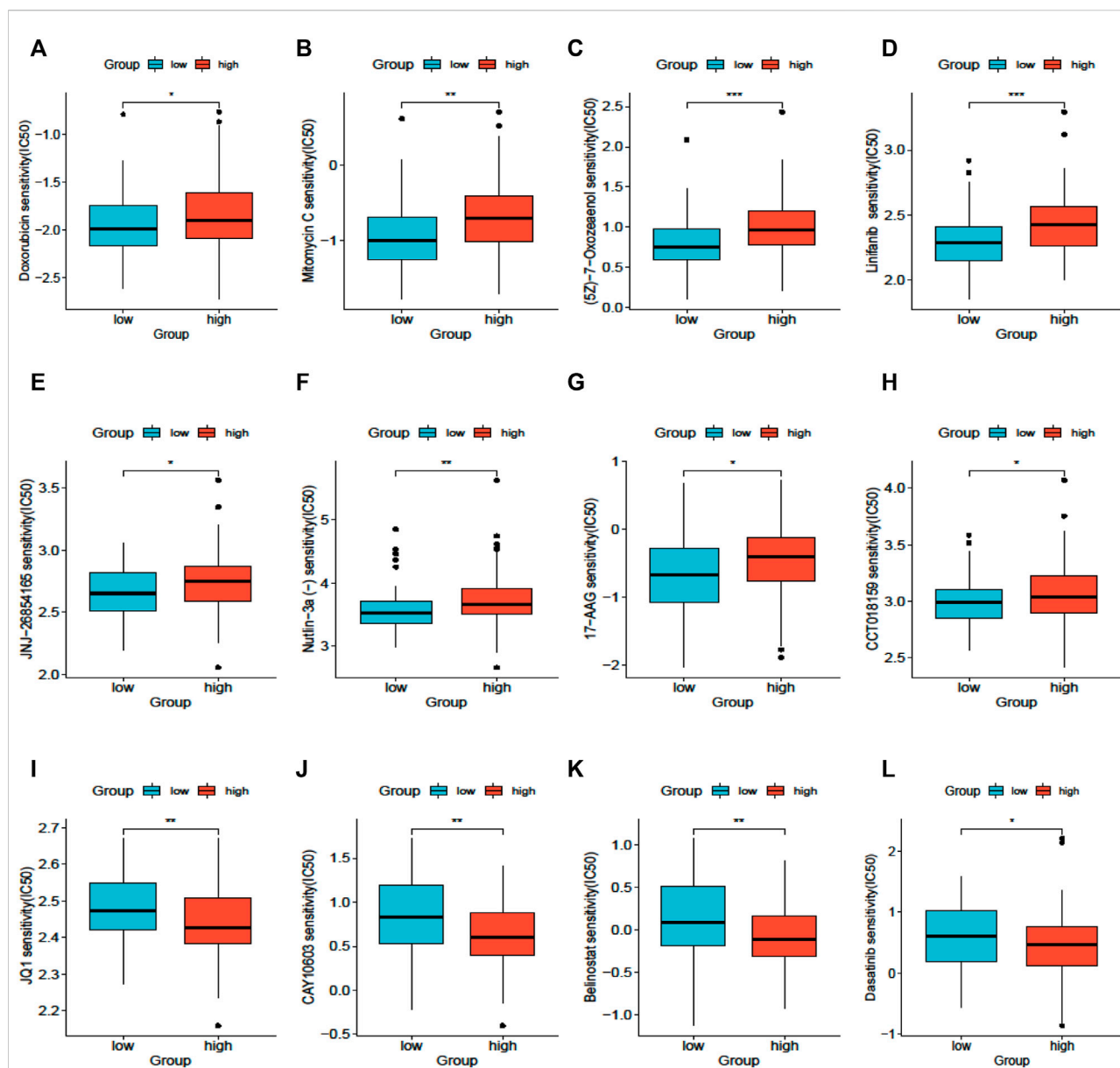


FIGURE 7

Drug sensitivity analysis based on SLC25A21. (A), Doxorubicin. (B), Mitomycin. (C), 7-Oxozeaenol. (D), Linifanib. (E), JNJ-26854,165. (F), Nutlin-3a. (G), 17-AAG. (H), CCT018159. (I), JQ1. (J), CAY10603. (K), Belinostat. (L), Dasatinib.

included epithelial-mesenchymal transition, heme metabolism, angiogenesis, KRAS signalling, oxidative phosphorylation, DNA repair, MYC targets and reactive oxygen species (Figure 4H).

## Identification of hub genes associated with SLC25A21 expression

Next, we constructed and analysed the PPI network and coexpression modules. As indicated in Figure 5A, most genes in

AML were positively correlated with the expression of SLC25A21. A DEG-related PPI network was constructed to determine hub genes. The top 10 hub genes were identified by the MNC and Degree methods by using the cytoHubba plug-in of Cytoscape (Figures 5B,C). Furthermore, we observed eight shared hub genes (EGFR, CDH1, CXCL12, CD8A, MMP9, SOX9, BMP4, and COL1A2) between the above two gene lists. In addition, we detected the associations between SLC25A21 and hub genes. The results showed that SLC25A21 had significant correlations with EGFR ( $p$  value < 0.001, correlation coefficient:

0.569), *CDH1* ( $p$  value <0.001, correlation coefficient: 0.709), *CXCL12* ( $p$  value <0.001, correlation coefficient: 0.590), *CD8A* ( $p$  value <0.001, correlation coefficient: 0.441), *MMP9* ( $p$  value <0.001, correlation coefficient: 0.295), *SOX9* ( $p$  value <0.001, correlation coefficient: 0.438), *BMP4* ( $p$  value <0.001, correlation coefficient: 0.383), and *COL1A2* ( $p$  value <0.001, correlation coefficient: 0.538) (Figure 5D). Finally, we examined the relationship between the levels of hub genes and prognosis and found that only *EGFR* and *COL1A2* were positively correlated with *SLC25A21* and linked to poor clinical outcomes in patients with AML (Figures 5E–H).

## Correlation analysis of *SLC25A21* and immune cells or immune checkpoint molecules

Tumor infiltrating lymphocytes affect the survival of patients with various cancers. Therefore, 24 kinds of infiltrating immune cells were evaluated to describe the association between the levels of *SLC25A21* expression and immune infiltration in AML. The results showed that the expression level of *SLC25A21* had an obvious positive correlation with the numbers of infiltrating B cells, T-cells, Th1 cells, Th2 cells, T helper cells, Tfh cells, CD8 T-cells, cytotoxic cells and Tcm cells (Figure 6A). The details of the quantitative analysis with Spearman's correlation coefficient are shown in Figure 6B.

Furthermore, we clarified the relationship between *SLC25A21* and immune checkpoint (*PDCD1*, *CD274*, *CTLA4*, *LAG-3*, *TIGIT*, and *HAVCR2*) expression. In our study, *SLC25A21* was significantly correlated with *CTLA4*, *LAG3*, *TIGIT*, *CD274*, and *TIGIT*. Details of the correlation analysis are shown in Figures 6C,D.

## Drug sensitivity analysis

The results of drug sensitivity analysis for the high- and low-*SLC25A21* groups showed that the *SLC25A21* low expression group may be more sensitive to cell cycle inhibitors (doxorubicin and mitomycin C), vascular endothelial growth factor receptor (VEGFR) tyrosine kinase inhibitors (linifanib and 7-oxozeaenol), p53 activators (JNJ-26854165 and Nutlin-3a), and heat shock protein 90 (HsP90) inhibitors (CCT018159 and 17-AAG) but resistant to histone deacetylase (HDAC) inhibitors (JQ1, CAY10603, and belinostat) and tyrosine kinase inhibitors (dasatinib). These results indicated that *SLC25A21* has a significant correlation with chemotherapy and targeted therapy regimens for AML (Figures 7A–L).

## Discussion

AML is a highly heterogeneous disease with various cytogenetic and genetic alterations. Genetic abnormalities are

not only the pathogenic basis of AML, but they have important treatment and prognostic implications. In this study, we screened transcriptome data for AML in public databases to discover novel molecular biomarkers with a potential impact on prognosis and/or therapeutic response. We identified DEGs between AML patients and healthy donors in two independent cohorts. Therefore, a list of 14 AML-specific genes was obtained, including *IL1R2*, *MMP8*, *FGF13*, *SLC25A21*, etc.

(Le Sommer et al., 2018; Nobrega-Pereira et al., 2018) *SLC25A21* is a 2-oxoglutarate transporter embedded in the mitochondrial inner membrane and, in some cases, organelle membranes. The expression of human *SLC25A21* has a wide distribution with very little variation between tissues. A recent study revealed that *SLC25A21* suppresses cell growth and plays a pathogenic role on bladder cancer (Wang et al., 2021). Recent studies have shown that metabolic molecules are dysregulated in AML cells and play key roles in leukaemogenesis, contributing to chemoresistance and disease relapse (Le Sommer et al., 2018; Nobrega-Pereira et al., 2018). Targeting cell metabolism is now considered a viable therapeutic strategy for AML. Therefore, we focused on the metabolism-related gene *SLC25A21* for further studies.

Given the above, we first explored the association of *SLC25A21* gene expression levels with main clinical features in TCGA-LAML cohorts of AML patients. *SLC25A21* We found that *SLC25A21* was significantly downregulated in AML patients. As expected, a low level of *SLC25A21* was associated with higher WBC counts, higher BM and PB blast abundance and poor prognosis. Thus, we speculated that abnormally low expression of *SLC25A21* plays an unfavourable role in promoting AML cell proliferation and survival while preventing leukaemic cell differentiation.

Hence, we explored the possible molecular mechanism underlying this association by using a bioinformatics approach. The enriched GO terms and KEGG pathways were mainly involved in growth factor binding, collagen-containing extracellular matrix, extracellular structure organization, the PI3K-Akt signalling pathway and the Wnt signalling pathway. Concurrently, GSEA showed enrichment of epithelial-to-mesenchymal transition, the KRAS signalling pathway, oxidative phosphorylation, DNA repair and the reactive oxygen species (ROS) pathway.

Mitochondria are the primary intracellular source of ROS and play important roles in aerobic metabolism and oxidative phosphorylation. Thus, dysregulation of mitochondrial metabolism is closely related to the development and progression of haematopoietic malignancies (Basak and Banerjee, 2015; Porporato et al., 2018). As a carrier embedded in mitochondria, overexpression of *SCL25A21* resulted in efflux of  $\alpha$ -KG from mitochondria, leading to upregulation of ROS accumulation, which in turn induced mitochondrial apoptosis (Wang et al., 2021). Moreover, increased ROS levels drive a cycle of genomic instability. Leading to DNA double-strand breaks (DSBs) and altered DNA repair. The accumulation of intracellular ROS can



promote tumour proliferation, but excessive accumulation of ROS can lead to cell apoptosis (Trotta et al., 2017; Aggarwal et al., 2019). Recent studies have revealed that the majority of functionally defined leukaemia stem cells (LSCs) are functionally characterized by relatively low levels of ROS. Meanwhile, several EMT-related genes conferring properties of “stemness” were strongly associated with shorter OS in AML patients (Stavropoulou et al., 2016; Carmichael et al., 2020; Almotiri et al., 2021). More importantly, the PI3K and KRAS signalling pathways play important roles in the proliferation and differentiation of haematopoietic cells (Crespo and Leon, 2000; Martelli et al., 2009; Nepstad et al., 2018). Thus, we speculated that the pathological mechanism of *SLC25A21* may be related to these signalling pathways.

Furthermore, through a series of rigorous screens, two hub genes (*EGFR* and *COL1A2*) that could accurately predict the prognosis of AML were found. It has been reported that dysregulation of *EGFR* can lead to the development of malignancy (Cheng et al., 2011; Singh et al., 2016). *EGFR* repairs the DNA of HSCs by activating DNA-dependent protein kinase catalytic subunit (DNA-PKcs), leading to the regeneration of normal haematopoietic cells. Experimental studies have shown that deletion of *EGFR* in progenitor cells results in reduced DNA-PKcs activity, thus reducing the ability of the cells to regenerate normal HSCs (Fang et al., 2020). In AML, we speculate that *EGFR* may play the same role to inhibit normal haematopoiesis, resulting in a shorter survival time for patients with low *EGFR* expression. *COL1A2* has also been implicated in gastric cancer, colorectal cancer, prostate cancer, pancreatic cancer (Yu et al., 2018; Wu et al., 2019; Nie et al., 2020; Liu et al., 2022), and so on. Moreover, *COL1A2* has been identified as a hub gene in *FLT3*-mutated AML (Chen et al., 2020b). Although *SLC25A21*, *EGFR*, and *COL1A2* are linked to tumour-associated signalling pathways, the precise mechanisms of this synergy remain unclear. Further in-depth studies are needed to address this issue in more detail.

Additionally, metabolic molecular abnormalities may facilitate AML cell escape and immune detection and severely reduce the efficacy of immunotherapy (Mougiakakos, 2019). Several studies have shown that the level of immune infiltration and immune evasion mechanisms of AML cells determine their immune evasion ability (Rosenthal et al., 2019). Therefore, we investigated the relationship between *SLC25A21* expression and immune infiltration levels in AML patients and found that with downregulation of *SLC25A21*, the infiltration levels of various T-cells and B cells were greatly decreased. Next, we also showed that *SLC25A21* expression had a positive correlation with some immune checkpoint genes (CTLA-4, LAG3, TIGIT, and HAVCR2), which serve as activation markers of T-cells and affect antitumor immunity. We speculate that this may be because the number of infiltrating immune cells is significantly reduced, resulting in decreased expression of molecular markers of T-cell activation. These results suggest that *SLC25A21* may lead to immune escape in AML. This observation may provide a framework to guide further investigation of *SLC25A21* in clinical and basic science research.

Last, the ultimate objective of our research is to provide clinicians with guidelines to choose the appropriate therapeutic regimens for each AML patient. With the development of next-generation sequencing technology, several genetic aberrations have been found to contribute to drug resistance in AML (Gollner et al., 2017; Hou et al., 2017; Nechiporuk et al., 2019). In this study, we analysed the correlation between *SLC25A21* and drug resistance in AML. Patients with low *SLC25A21* expression levels were sensitive to doxorubicin, mitomycin, lapatinib, midostaurin, sorafenib, linifanib, Nutlin-3a, 17-AAG, 5-fluorouracil, 7-oxozeaenol, JNJ-26854165, CCT018159, bleomycin, and FH535 but resistant to JQ1, CUDC-101, dasatinib, and GNF-2. These results indicate that downregulation of *SLC25A21* may promote sensitivity to doxorubicin, the cornerstone regimen for AML. These results suggest that while *SLC25A21* affects prognosis in AML, patients with low expression of *SLC25A21* may still benefit from traditional chemotherapy regimens.

However, our study has several limitations. First, we explored the mutational frequency of *SLC25A21* in 6 independent AML studies ( $n = 2,177$ ) and found a frequency of approximately 0.1%–0.2% (Supplementary Figure S2). In addition, we observed enrichment of transcriptional regulation pathways in GO analysis. Therefore, the upstream transcriptional regulatory mechanism of *SLC25A21* remains to be uncovered. Second, we evaluated the diagnostic value and drug sensitivity of *SLC25A21* by using public resources. However, we have not yet tested some new clinically emerging targeted drugs, such as venetoclax, due to the limitations of the training database. Last, all associations between *SLC25A21* and AML-associated immune molecules lack functional validation and detection of the potential cellular and molecular mechanisms. Future studies will build on these points with a view toward providing new options for precision medicine approaches and improving the treatment of AML patients.

## Conclusion

Taken together, our preliminary findings showed that low expression levels of the metabolism-related gene *SLC25A21* had an unfavourable effect on the overall survival of AML patients and may be correlated with immune escape. A low level of *SLC25A21* could be an independent predictor of poor prognostic for AML patients. This discovery could promote the development of novel targeted drugs and provide therapeutic options for personalized therapy.

## Data availability statement

The datasets presented in this study can be found in the GEO, TCGA and GETx repositories: <https://www.ncbi.nlm.nih.gov/geo/>; <https://portal.gdc.cancer.gov/projects/TCGA-LAML>;

<https://xenabrowser.net/datapages/>. The accession numbers can be found in the article.

## Ethics statement

The studies involving human participants were reviewed and approved by the ethics committee of Institute of Hematology and Blood Diseases Hospital, Chinese Academy of Medical Sciences by (KT2020016-EC-2). The patients/participants provided their written informed consent to participate in this study.

Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## Author contributions

WW, QL, YZ, and JS conceived the study. WW and QL collected and analyzed the data, wrote the paper. WW, QL, JZ, HP, ZG, and LF contributed to the data collection and analysis. YZ and JS designed the research and give an approval of the final manuscript.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.970316/full#supplementary-material>

### SUPPLEMENTARY FIGURE S1

(A), SLC25A21 expression was not associated with cytogenetics in AML. (B), SLC25A21 expression was not associated with French–American–British (FAB) classifications.

### SUPPLEMENTARY FIGURE S2

(A), Mutation frequency of SLC25A21 in leukaemia.

### SUPPLEMENTARY TABLE S1

Univariate and multivariate Cox regression analysis.

### SUPPLEMENTARY TABLE S2

GO enrichment and KEGG pathway analysis.

### SUPPLEMENTARY TABLE S3

Patient sample information.

### SUPPLEMENTARY TABLE S4

List of primer sequences.

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# Individual and combined effects of the *GSTM1*, *GSTT1*, and *GSTP1* polymorphisms on leukemia risk: An updated meta-analysis

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**Background:** Several meta-analyses have analyzed the association of *GSTM1* present/null, *GSTT1* present/null, and *GSTP1* Ile105Val polymorphisms with leukemia risk. However, the results of these meta-analyses have been conflicting. Moreover, they did not evaluate the combined effects of the three aforementioned gene polymorphisms. Furthermore, they did not appraise the credibility of the positive results. Finally, many new studies have been published. Therefore, an updated meta-analysis was conducted.

**Objectives:** To further explore the relationship of the three aforementioned gene polymorphisms with leukemia risk.

**Methods:** The crude odds ratios (ORs) and 95% confidence intervals (CIs) were applied to evaluate the association of the individual and combined effects of the three aforementioned genes. Moreover, the false-positive report probability (FPRP) and Bayesian false discovery probability (BFDP) were applied to verify the credibility of these statistically significant associations.

**Results:** Overall, the individual *GSTM1*, *GSTT1*, and *GSTP1* Ile105Val polymorphisms added leukemia risk. On combining *GSTM1* and *GSTT1*, *GSTM1* and *GSTP1*, and *GSTT1* and *GSTP1* polymorphisms, positive results were also observed. However, no significant association was observed between the combined effects of these three polymorphisms with leukemia risk in the overall analysis. Moreover, when only selecting Hardy–Weinberg

**Abbreviations:** GSTs, glutathione S-transferases; *GSTM1*, glutathione S-transferase M1; *GSTT1*, glutathione S-transferase T1; *GSTP1*, glutathione S-transferase P1; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; CML, chronic myeloid leukemia; CLL, chronic lymphoblastic leukemia; HC, healthy controls; NBDC, Nonblood disease controls; BFDP, Bayesian false discovery probability; FPRP, false-positive report probability; HWE, Hardy–Weinberg equilibrium; ORs, odds ratios; CIs, confidence intervals.



equilibrium (HWE) and medium- and high-quality studies, we came to similar results. However, when the FPRP and BFDP values were applied to evaluate the credibility of positive results, the significant association was only observed for the GSTT1 null genotype with leukemia risk in Asians (BFDP = 0.367, FPRP = 0.009).

**Conclusion:** This study strongly suggests a significant increase in the risk of leukemia in Asians for the GSTT1 null genotype.

#### KEYWORDS

glutathione S-transferases, *GSTM1*, *GSTT1*, *GSTP1*, leukemia

## Introduction

Leukemia is a cancer of hematology, characterized by abnormal hematopoietic function and malignant cloning of white blood cells. Leukemia includes acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL), chronic myeloid leukemia (CML), and chronic lymphoblastic leukemia (CLL) (Ouerhani et al., 2011). Over the past few decades, we have made giant progress in the early diagnosis of diseases and treatment, yet the number of new cases of leukemia are still increasing, and the death cases also continue to increase. Therefore, leukemia has become one huge threat to human health (Ferlay et al., 2015). As we all know, leukemia is deemed to be a complex disease, which is determined by hereditary and environmental factors (Arruda et al., 2001; Krajcinovic et al., 2001). Although previous studies showed that chemicals, ionizing radiation, and viral infections were the potential pathogenic factors of leukemia (Maia Rda and Wünsch Filho, 2013; Schüz and Erdmann, 2016), there were great individual differences in disease susceptibility when these patients were exposed to the aforementioned carcinogenic agents. Therefore, research studies on hereditary factors that affect leukemia may improve our further understanding of the pathogenesis of leukemia; in addition, they might provide new evidence for the treatment of leukemia.

Glutathione S-transferase (GST) is a kind of phase II enzyme which includes M1, P1, and T1; the main functions of the three aforementioned genes were the metabolism of xenobiotics, reactive oxygen species, and carcinogens for detoxification and metabolism (Strange et al., 2001). A partial gene deletion of *GSTM1* and *GSTT1* (null genotypes) can result in the complete absence of *GSTM1* and *GSTT1* enzyme activities; the former is located on chromosome 1 (1p13.3) and the latter is situated at chromosome 22 (22q11.2) (Pearson et al., 1993; Webb et al., 1996; Strange and Fryer, 1999). *GSTP1* gene polymorphism is a single-nucleotide polymorphism, whose polymorphism lies in exon 5 codon 105, when substitution of A with G leads to change in isoleucine (Ile) to valine (Val), thereby giving rise to decreased enzymatic activity (Harries et al., 1997; Ryberg et al., 1997). Previous research studies have indicated that the complete deletion of *GSTM1*, *GSTT1*, or *GSTP1* polymorphisms can

bring about diminished gene expression and enzymatic activity (Strange et al., 1998; Strange et al., 2001; Hollman et al., 2016). The *GSTM1* and *GSTT1* showed a high degree of polymorphism, one of the polymorphisms being the entire deletion of the gene that results in the lapse of enzymatic activity (Alves et al., 2002).

Several meta-analyses analyzed the association of *GSTM1* present/null, *GSTT1* present/null, and *GSTP1* Ile105Val polymorphisms with leukemia risk. However, results of these meta-analyses were conflicting. Moreover, they did not evaluate the combined effects of the three aforementioned gene polymorphisms. Furthermore, they did not appraise the credibility of the positive results. Finally, many new studies have been published. Therefore, an updated meta-analysis was conducted.

## Materials and methods

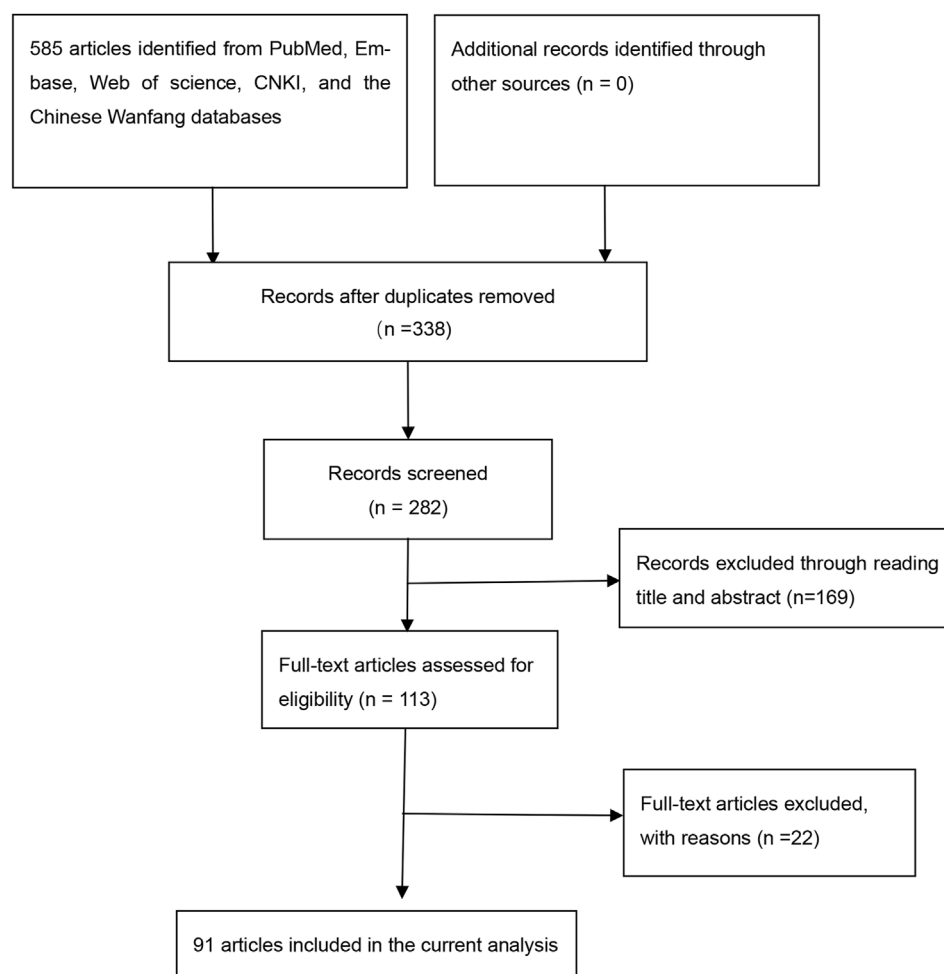
### Search strategy

Five databases including PubMed, Embase, Web of Science, CNKI, and WanFang were applied to search the literature (deadline, 26 May 2022). The following retrieval strategy was employed: (glutathione S-transferase M1 OR *GSTM1* OR glutathione S-transferase T1 OR *GSTT1* OR glutathione S-transferase P1 OR *GSTP1*) AND (polymorphism OR genotype OR mutation OR variant OR allele) AND (leukemia OR leukaemia). Furthermore, if necessary, we contacted the corresponding authors by e-mail.

### Inclusion and exclusion criteria

The studies that met the following criteria were included: 1) case-control or cohort study, 2) genotype data or odds ratio (OR) with 95% confidence interval (CI) provided, and 3) investigation of the association of the three aforementioned gene polymorphisms with the risk of leukemia. Studies such as overlapping data, case reports, editorials, reviews, letters, and meta-analyses were excluded.





**FIGURE 1**  
Flow diagram for the literature search.

## Data extraction and quality assessment

Information was extracted and checked by two researchers from all selected studies. Any disagreement was solved through discussion. Extracted information is shown in [Supplementary Tables S1–S3](#). Quality assessment was conducted by two authors independently ([Supplementary Table S4](#)). For *GSTM1* and *GSTT1* null genotypes, we considered studies that scored  $\geq 10$  as high quality; for *GSTP1* Ile105Val, studies scoring  $\geq 12$  were deemed as high quality.

## Statistical analysis

We used crude ORs and 95% CIs to estimate the associations between GST (M1, T1, and P1 Ile105Val) polymorphisms and leukemia risk. The Q statistic and  $I^2$  value were carried out to

evaluate heterogeneity ([Higgins et al., 2003](#)). Only a random-effect model was used because the pooled results were same when  $I^2 = 0\%$  using random-effect and fixed-effect models ([Der Simonian and Laird, 2015](#)). We performed ORs with the corresponding 95% CIs following the genetic models. In *GSTM1* and *GSTT1* null genotypes, we used null vs. present model to calculate the pooled ORs with their 95% CIs. In *GSTP1* Ile105Val, five genetic models were used (Val/Val vs. Ile/Ile, Ile/Val vs. Ile/Ile, Val/Val vs. Ile/Ile + Ile/Val, Val/Val + Ile/Val vs. Ile/Ile, and Val vs. Ile). In the combination of *GSTM1* present/null and *GSTT1* present/null, we applied the following six genetic models: model 1: M1 present/T1 null vs. M1 present/T1 present, model 2: M1 null/T1 present vs. M1 present/T1 present, model 3: M1 null/T1 null vs. M1 present/T1 present, model 4: All one risk genotypes vs. M1 present/T1 present, model 5: All risk genotypes vs. M1 present/T1 present, and model 6: M1 null/T1 null vs. M1 present/T1 present + M1 present/T1 null + M1 null/T1 present in the

TABLE 1 Meta-analysis of the association of GSTM1 polymorphism with risk of leukemia.

| Variable             | n  | Cases/Controls | Test of association | Test of heterogeneity |                    | Model         |
|----------------------|----|----------------|---------------------|-----------------------|--------------------|---------------|
|                      |    |                | OR (95%CI)          | P <sub>h</sub>        | I <sup>2</sup> (%) |               |
| Overall              | 98 | 13477/22523    | 1.28 (1.17–1.40)    | <0.001                | 68.3               | Random-effect |
| Ethnicity            |    |                |                     |                       |                    |               |
| Indian               | 14 | 1600/2465      | 1.25 (0.89–1.77)    | <0.001                | 84.6               | Random-effect |
| Asian                | 24 | 3265/6028      | 1.50 (1.29–1.73)    | 0.002                 | 51.2               | Random-effect |
| Caucasian            | 47 | 7466/11124     | 1.17 (1.07–1.28)    | <0.001                | 46.0               | Random-effect |
| African              | 6  | 662/886        | 1.99 (1.30–3.94)    | 0.006                 | 69.0               | Random-effect |
| Age group            |    |                |                     |                       |                    |               |
| Adults               | 37 | 5811/9440      | 1.26 (1.11–1.43)    | <0.001                | 65.6               | Random-effect |
| Children             | 31 | 4377/7321      | 1.42 (1.23–1.64)    | <0.001                | 64.4               | Random-effect |
| Adults and Children  | 25 | 2688/5205      | 1.10 (0.89–1.37)    | <0.001                | 76.6               | Random-effect |
| Type of control      |    |                |                     |                       |                    |               |
| HC                   | 65 | 7,442/11989    | 1.29 (1.15–1.44)    | <0.001                | 66.6               | Random-effect |
| NBDC                 | 32 | 5978/10282     | 1.29 (1.13–1.48)    | <0.001                | 71.9               | Random-effect |
| Matching             |    |                |                     |                       |                    |               |
| Yes                  | 23 | 3819/5389      | 1.36 (1.12–1.65)    | <0.001                | 77.7               | Random-effect |
| No                   | 75 | 9658/17134     | 1.25 (1.14–1.38)    | <0.001                | 63.7               | Random-effect |
| Type of leukemia     |    |                |                     |                       |                    |               |
| AML                  | 33 | 5530/10043     | 1.20 (1.04–1.38)    | <0.001                | 71.1               | Random-effect |
| ALL                  | 41 | 5082/7895      | 1.44 (1.25–1.65)    | <0.001                | 66.8               | Random-effect |
| CML                  | 20 | 2079/3426      | 1.17 (0.93–1.46)    | <0.001                | 71.0               | Random-effect |
| Sensitivity analysis |    |                |                     |                       |                    |               |
| Quality score≥10     |    |                |                     |                       |                    |               |
| Overall              | 54 | 9420/15146     | 1.18 (1.07–1.30)    | <0.001                | 65.6               | Random-effect |
| Ethnicity            |    |                |                     |                       |                    |               |
| Indian               | 10 | 1133/1690      | 1.04 (0.71–1.52)    | <0.001                | 81.6               | Random-effect |
| Asian                | 11 | 2323/4122      | 1.17 (1.05–1.31)    | 0.870                 | 0.0                | Random-effect |
| Caucasian            | 25 | 5293/7774      | 1.17 (1.06–1.30)    | 0.008                 | 45.5               | Random-effect |
| African              | 5  | 628/683        | 2.01 (1.23–3.30)    | 0.003                 | 75.1               | Random-effect |
| Age group            |    |                |                     |                       |                    |               |
| Adults               | 28 | 5011/7,863     | 1.31 (1.15–1.50)    | <0.001                | 63.2               | Random-effect |
| Children             | 10 | 2282/3652      | 1.21 (1.06–1.39)    | 0.196                 | 27.0               | Random-effect |
| Adults and Children  | 14 | 1892/3455      | 0.90 (0.71–1.14)    | <0.001                | 74.0               | Random-effect |
| Type of control      |    |                |                     |                       |                    |               |
| HC                   | 36 | 5433/7693      | 1.21 (1.05–1.39)    | <0.001                | 69.4               | Random-effect |
| NBDC                 | 18 | 4114/7581      | 1.14 (0.99–1.30)    | 0.002                 | 56.8               | Random-effect |
| Matching             |    |                |                     |                       |                    |               |
| Yes                  | 21 | 3525/4887      | 1.26 (1.05–1.52)    | <0.001                | 73.5               | Random-effect |
| No                   | 33 | 6023/10387     | 1.13 (1.02–1.27)    | <0.001                | 57.7               | Random-effect |
| Type of leukemia     |    |                |                     |                       |                    |               |
| AML                  | 21 | 4598/8072      | 1.12 (0.97–1.28)    | <0.001                | 63.7               | Random-effect |
| ALL                  | 16 | 2626/3754      | 1.22 (1.01–1.46)    | <0.001                | 63.7               | Random-effect |
| CML                  | 14 | 1551/2417      | 1.23 (0.92–1.65)    | <0.001                | 76.3               | Random-effect |

HC, healthy control; NBDC, nonblood disease control; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.

TABLE 2 Meta-analysis of the association of GSTT1 polymorphism with -risk of leukemia.

| Variable             | n  | Cases/Controls | Test of association | Test of heterogeneity |                    | Model         |
|----------------------|----|----------------|---------------------|-----------------------|--------------------|---------------|
|                      |    |                | OR (95%CI)          | P <sub>h</sub>        | I <sup>2</sup> (%) |               |
| Overall              | 89 | 12357/20636    | 1.46 (1.32–1.60)    | <0.001                | 62.5               | Random-effect |
| Ethnicity            |    |                |                     |                       |                    |               |
| Indian               | 14 | 1600/2465      | 1.74 (1.27–2.38)    | <0.001                | 71.9               | Random-effect |
| Asian                | 24 | 3265/6028      | 1.30 (1.16–1.46)    | 0.140                 | 24.2               | Random-effect |
| Caucasian            | 38 | 6346/9237      | 1.37 (1.17–1.59)    | <0.001                | 65.0               | Random-effect |
| African              | 6  | 662/886        | 2.08 (1.32–3.26)    | 0.011                 | 66.5               | Random-effect |
| Age group            |    |                |                     |                       |                    |               |
| Adults               | 37 | 5811/9440      | 1.55 (1.32–1.82)    | <0.001                | 69.6               | Random-effect |
| Children             | 27 | 3521/6123      | 1.24 (1.09–1.43)    | 0.028                 | 37.2               | Random-effect |
| Adults and Children  | 20 | 2424/4516      | 1.59 (1.27–1.99)    | <0.001                | 67.1               | Random-effect |
| Type of control      |    |                |                     |                       |                    |               |
| HC                   | 57 | 6522/10286     | 1.45 (1.28–1.66)    | <0.001                | 63.7               | Random-effect |
| NBDC                 | 31 | 5778/10105     | 1.46 (1.26–1.69)    | <0.001                | 62.7               | Random-effect |
| Matching             |    |                |                     |                       |                    |               |
| Yes                  | 23 | 8272/14543     | 1.80 (1.44–2.24)    | <0.001                | 74.8               | Random-effect |
| No                   | 66 | 4085/6093      | 1.35 (1.22–1.49)    | <0.001                | 51.7               | Random-effect |
| Type of leukemia     |    |                |                     |                       |                    |               |
| AML                  | 30 | 4851/9092      | 1.41 (1.19–1.66)    | <0.001                | 67.7               | Random-effect |
| ALL                  | 37 | 4665/7,215     | 1.33 (1.16–1.53)    | <0.001                | 53.0               | Random-effect |
| CML                  | 19 | 2068/3298      | 1.88 (1.47–2.41)    | <0.001                | 64.5               | Random-effect |
| Sensitivity analysis |    |                |                     |                       |                    |               |
| Quality score≥10     |    |                |                     |                       |                    |               |
| Overall              | 52 | 8710/14300     | 1.52 (1.34–1.72)    | <0.001                | 66.9               | Random-effect |
| Ethnicity            |    |                |                     |                       |                    |               |
| Indian               | 11 | 1225/1840      | 1.53 (1.08–2.17)    | <0.001                | 69.6               | Random-effect |
| Asian                | 11 | 2323/4122      | 1.15 (1.01–1.31)    | 0.239                 | 21.5               | Random-effect |
| Caucasian            | 22 | 4363/6650      | 1.64 (1.37–1.96)    | <0.001                | 64.5               | Random-effect |
| African              | 5  | 628/683        | 2.12 (1.26–3.58)    | 0.007                 | 71.9               | Random-effect |
| Age group            |    |                |                     |                       |                    |               |
| Adults               | 28 | 5011/7,863     | 1.58 (1.33–1.89)    | <0.001                | 71.3               | Random-effect |
| Children             | 8  | 1552/2705      | 1.35 (1.00–1.82)    | 0.005                 | 65.1               | Random-effect |
| Adults and Children  | 14 | 1784/3428      | 1.45 (1.14–1.83)    | 0.001                 | 61.5               | Random-effect |
| Type of control      |    |                |                     |                       |                    |               |
| HC                   | 34 | 4704/6746      | 1.56 (1.31–1.86)    | <0.001                | 71.0               | Random-effect |
| NBDC                 | 18 | 4006/7,554     | 1.45 (1.23–1.72)    | 0.002                 | 56.7               | Random-effect |
| Matching             |    |                |                     |                       |                    |               |
| Yes                  | 21 | 3525/4887      | 1.73 (1.37–2.17)    | <0.001                | 73.6               | Random-effect |
| No                   | 31 | 5185/9413      | 1.41 (1.23–1.62)    | <0.001                | 59.2               | Random-effect |
| Type of leukemia     |    |                |                     |                       |                    |               |
| AML                  | 19 | 3937/7,249     | 1.35 (1.12–1.63)    | <0.001                | 68.3               | Random-effect |
| ALL                  | 16 | 2449/3603      | 1.49 (1.19–1.88)    | <0.001                | 64.2               | Random-effect |
| CML                  | 14 | 1551/2417      | 1.93 (1.44–2.59)    | <0.001                | 64.9               | Random-effect |

HC, healthy control; NBDC, nonblood disease control; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.

TABLE 3 Meta-analysis of the association of GSTP1 polymorphism with risk of leukemia.

| Variable             | n (Cases/Controls) | Val/Val vs. Ile/Ile |                                    | Ile/Val vs. Ile/Ile |                                    | Val/Val vs. Ile/Ile + Ile/Val |                                    | Val/Val + Ile/Val vs. Ile/Ile |                                    | Val vs. Ile      |                                    |
|----------------------|--------------------|---------------------|------------------------------------|---------------------|------------------------------------|-------------------------------|------------------------------------|-------------------------------|------------------------------------|------------------|------------------------------------|
|                      |                    | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) |
| Overall              | 34(5391/8729)      | 1.77 (1.40–2.24)    | 0.000/59.8                         | 1.24 (1.08–1.43)    | 0.000/67.7                         | 1.59 (1.29–1.95)              | 0.100/50.9                         | 1.32 (1.15–1.53)              | 0.000/72.6                         | 1.31 (1.16–1.47) | 0.000/75.0                         |
| Ethnicity            |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Indian               | 10(1392/2094)      | 3.01 (1.60–5.66)    | 0.000/76.8                         | 1.28 (1.08–1.53)    | 0.167/30.3                         | 2.65 (1.47–4.79)              | 0.000/74.8                         | 1.45 (1.17–1.80)              | 0.013/57.2                         | 1.47 (1.19–1.80) | 0.000/72.1                         |
| Asian                | 10(1895/3338)      | 1.27 (0.98–1.66)    | 0.381/6.5                          | 1.25 (0.91–1.72)    | 0.000/78.8                         | 1.22(0.96–1.55)               | 0.799/0.0                          | 1.30 (0.96–1.76)              | 0.000/80.1                         | 1.26 (1.00–1.60) | 0.000/78.1                         |
| Caucasian            | 12(1791/2976)      | 1.49 (1.10–2.01)    | 0.073/40.2                         | 1.28 (0.98–1.68)    | 0.000/73.8                         | 1.31 (1.04–1.65)              | 0.294/15.3                         | 1.32 (1.02–1.72)              | 0.000/75.6                         | 1.28 (1.05–1.55) | 0.000/74.0                         |
| Age group            |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Adults               | 14(1392/2094)      | 1.39 (1.06–1.82)    | 0.102/34.1                         | 1.17 (0.95–1.43)    | 0.000/67.3                         | 1.27 (1.01–1.61)              | 0.233/20.2                         | 1.20 (0.99–1.46)              | 0.000/68.1                         | 1.18 (1.02–1.37) | 0.000/64.6                         |
| Children             | 8(1392/2094)       | 1.68 (1.10–2.58)    | 0.115/39.6                         | 1.14 (0.88–1.46)    | 0.038/52.8                         | 1.60 (1.11–2.32)              | 0.223/25.8                         | 1.23 (0.94–1.60)              | 0.012/61.3                         | 1.26 (1.00–1.58) | 0.004/66.3                         |
| Adults and Children  | 9(1392/2094)       | 3.25 (1.61–6.53)    | 0.000/76.8                         | 1.64 (1.16–2.31)    | 0.000/73.7                         | 2.65 (1.41–5.02)              | 0.000/72.9                         | 1.82 (1.29–2.57)              | 0.000/77.3                         | 1.72 (1.29–2.30) | 0.000/80.4                         |
| Type of control      |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| HC                   | 21(2699/3569)      | 2.38 (1.66–3.41)    | 0.000/61.2                         | 1.27 (1.05–1.54)    | 0.000/65.2                         | 2.12 (1.53–2.94)              | 0.001/55.3                         | 1.39 (1.15–1.69)              | 0.000/69.6                         | 1.40 (1.19–1.63) | 0.000/71.5                         |
| NBDC                 | 13 (2692/5160)     | 1.19 (0.99–1.44)    | 0.395/5.1                          | 1.19 (0.96–1.48)    | 0.000/71.0                         | 1.14 (0.96–1.36)              | 0.836/0.0                          | 1.22 (0.99–1.50)              | 0.000/73.9                         | 1.17 (1.00–1.38) | 0.000/72.9                         |
| Matching             |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Yes                  | 14 (2510/3287)     | 1.37 (1.07–1.76)    | 0.203/23.1                         | 1.07 (0.95–1.20)    | 0.665/0.0                          | 1.30 (1.03–1.64)              | 0.244/19.2                         | 1.12 (1.00–1.24)              | 0.594/0.0                          | 1.13 (1.03–1.24) | 0.384/6.1                          |
| No                   | 20(2881/5442)      | 2.13 (1.49–3.06)    | 0.000/69.0                         | 1.36 (1.08–1.71)    | 0.000/78.4                         | 1.86 (1.36–2.54)              | 0.000/60.6                         | 1.47 (1.17–1.86)              | 0.000/81.7                         | 1.44 (1.19–1.74) | 0.000/82.8                         |
| Type of leukemia     |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| AML                  | 13 (2225/4667)     | 1.57 (1.10–2.24)    | 0.000/66.4                         | 1.37 (1.02–1.84)    | 0.000/83.1                         | 1.37 (1.01–1.84)              | 0.008/55.1                         | 1.42 (1.06–1.89)              | 0.000/84.8                         | 1.34 (1.07–1.68) | 0.000/85.1                         |
| ALL                  | 12 (1540/2445)     | 1.90 (1.28–2.81)    | 0.018/52.1                         | 1.15 (0.96–1.38)    | 0.103/36.0                         | 1.77 (1.25–2.53)              | 0.051/44.0                         | 1.26 (1.03–1.53)              | 0.020/51.4                         | 1.29 (1.08–1.53) | 0.003/61.0                         |
| CML                  | 6 (926/810)        | 1.29 (1.08–1.53)    | 0.009/67.3                         | 1.13 (0.84–1.53)    | 0.068/51.3                         | 2.13 (1.08–4.24)              | 0.028/60.1                         | 1.23 (0.86–1.76)              | 0.007/68.7                         | 1.27 (0.92–1.74) | 0.001/75.3                         |
| Sensitivity analysis |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| HWE                  |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Overall              | 24 (3781/6111)     | 1.58 (1.27–1.95)    | 0.118/26.3                         | 1.18 (1.02–1.37)    | 0.000/59.0                         | 1.45 (1.21–1.74)              | 0.361/7.3                          | 1.25 (1.07–1.45)              | 0.000/64.1                         | 1.24 (1.10–1.40) | 0.000/64.4                         |
| Ethnicity            |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Indian               | 7 (842/1350)       | 1.83 (1.11–3.03)    | 0.033/56.3                         | 1.24 (1.01–1.51)    | 0.333/12.7                         | 1.67 (1.05–2.64)              | 0.055/51.3                         | 1.34 (1.06–1.69)              | 0.110/42.1                         | 1.33 (1.06–1.66) | 0.017/61.2                         |
| Asian                | 6 (1376/2603)      | 1.14 (0.79–1.64)    | 0.728/0.0                          | 1.04 (0.83–1.30)    | 0.129/41.4                         | 1.15 (0.80–1.64)              | 0.799/0.0                          | 1.06 (0.85–1.32)              | 0.113/43.9                         | 1.06 (0.89–1.26) | 0.165/36.3                         |
| Caucasian            | 9 (1250/1837)      | 1.70 (1.23–2.34)    | 0.257/21.0                         | 1.30 (0.94–1.80)    | 0.000/75.8                         | 1.50 (1.14–1.95)              | 0.524/0.0                          | 1.39 (1.01–1.90)              | 0.000/76.4                         | 1.36 (1.08–1.70) | 0.000/72.8                         |
| Age group            |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Adults               | 10 (1835/3530)     | 1.39 (1.07–1.81)    | 0.493/0.0                          | 1.20 (0.93–1.56)    | 0.000/72.1                         | 1.31 (1.02–1.69)              | 0.717/0.0                          | 1.24 (0.97–1.60)              | 0.000/72.8                         | 1.22 (1.01–1.46) | 0.001/67.7                         |
| Children             | 8 (1124/1633)      | 1.68 (1.10–2.58)    | 0.115/39.6                         | 1.14 (0.88–1.46)    | 0.038/52.8                         | 1.60 (1.11–2.32)              | 0.223/25.8                         | 1.23 (0.94–1.60)              | 0.012/61.3                         | 1.26 (1.00–1.58) | 0.004/66.3                         |
| Adults and Children  | 5 (622/848)        | 1.81 (0.95–3.44)    | 0.038/60.6                         | 1.31 (0.99–1.72)    | 0.195/33.9                         | 1.59 (0.91–2.79)              | 0.084/51.3                         | 1.39 (1.01–1.92)              | 0.054/57.0                         | 1.34 (1.00–1.80) | 0.013/68.2                         |
| Type of control      |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |

(Continued on following page)

TABLE 3 (Continued) Meta-analysis of the association of GSTP1 polymorphism with risk of leukemia.

| Variable                 | n (Cases/Controls) | Val/Val vs. Ile/Ile |                                    | Ile/Val vs. Ile/Ile |                                    | Val/Val vs. Ile/Ile + Ile/Val |                                    | Val/Val + Ile/Val vs. Ile/Ile |                                    | Val vs. Ile      |                                    |
|--------------------------|--------------------|---------------------|------------------------------------|---------------------|------------------------------------|-------------------------------|------------------------------------|-------------------------------|------------------------------------|------------------|------------------------------------|
|                          |                    | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) |
| HC                       | 17 (2099/2775)     | 1.86 (1.38–2.50)    | 0.083/34.2                         | 1.21 (0.98–1.50)    | 0.000/65.8                         | 1.71 (1.33–2.21)              | 0.266/16.0                         | 1.31 (1.05–1.62)              | 0.000/68.9                         | 1.31 (1.11–1.55) | 0.000/68.1                         |
| NBDC                     | 7 (1682/3336)      | 1.21 (0.93–1.59)    | 0.930/0.0                          | 1.08 (0.93–1.26)    | 0.289/18.4                         | 1.16 (0.89–1.50)              | 0.985/0.0                          | 1.11 (0.95–1.29)              | 0.223/27.0                         | 1.09 (0.97–1.22) | 0.296/17.6                         |
| Matching                 |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Yes                      | 9 (1546/1696)      | 1.51 (1.11–2.05)    | 0.787/0.0                          | 1.12 (0.96–1.30)    | 0.929/0.0                          | 1.43 (1.07–1.93)              | 0.742/0.0                          | 1.17 (1.01–1.35)              | 0.908/0.0                          | 1.18 (1.05–1.32) | 0.796/0.0                          |
| No                       | 15 (2235/4415)     | 1.66 (1.21–2.27)    | 0.023/47.1                         | 1.24 (0.98–1.56)    | 0.000/73.6                         | 1.50 (1.15–1.94)              | 0.142/28.8                         | 1.31 (1.03–1.66)              | 0.000/76.9                         | 1.29 (1.07–1.55) | 0.000/76.6                         |
| Type of leukemia         |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| AML                      | 7 (1141/2762)      | 1.18 (0.86–1.62)    | 0.424/0.0                          | 1.17 (0.80–1.69)    | 0.000/80.0                         | 1.13 (0.83–1.54)              | 0.717/0.0                          | 1.18 (0.83–1.69)              | 0.000/80.1                         | 1.14 (0.89–1.48) | 0.000/75.5                         |
| ALL                      | 10 (1345/2047)     | 1.60 (1.15–2.22)    | 0.197/26.8                         | 1.10 (0.91–1.31)    | 0.180/28.8                         | 1.53 (1.14–2.06)              | 0.280/17.8                         | 1.18 (0.97–1.43)              | 0.075/42.4                         | 1.21 (1.03–1.43) | 0.025/52.6                         |
| Quality score≥12         |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Overall                  | 18 (3430/5975)     | 1.62 (1.25–2.11)    | 0.018/45.7                         | 1.16 (0.99–1.36)    | 0.001/58.6                         | 1.49 (1.18–1.89)              | 0.067/35.6                         | 1.23 (1.05–1.44)              | 0.000/64.0                         | 1.23 (1.09–1.40) | 0.000/65.5                         |
| Ethnicity                |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Caucasian                | 6 (1064/2009)      | 1.54 (1.09–2.17)    | 0.220/28.7                         | 1.41 (0.98–2.04)    | 0.001/76.9                         | 1.28 (1.01–1.64)              | 0.489/0.0                          | 1.48 (1.04–2.10)              | 0.001/77.2                         | 1.38 (1.08–1.77) | 0.002/73.1                         |
| Indian                   | 7 (1010/1448)      | 2.15 (1.22–3.76)    | 0.013/62.6                         | 1.17(0.95–1.43)     | 0.231/25.9                         | 1.97 (1.16–3.35)              | 0.019/60.3                         | 1.29 (1.03–1.63)              | 0.079/47.1                         | 1.32 (1.07–1.64) | 0.016/61.6                         |
| Age group                |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Adults                   | 11 (1392/2094)     | 1.42 (1.07–1.88)    | 0.134/33.1                         | 1.19 (0.97–1.46)    | 0.001/67.4                         | 1.29 (1.02–1.64)              | 0.279/17.3                         | 1.24 (1.01–1.51)              | 0.000/68.9                         | 1.21 (1.04–1.41) | 0.001/65.5                         |
| Type of control          |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| HC                       | 13 (1856/2372)     | 1.94 (1.37–2.76)    | 0.044/44.2                         | 1.21 (0.97–1.50)    | 0.002/62.1                         | 1.77 (1.29–2.44)              | 0.088/36.9                         | 1.30 (1.05–1.62)              | 0.001/64.8                         | 1.31 (1.10–1.55) | 0.001/64.0                         |
| NBDC                     | 5 (1574/3603)      | 1.14 (0.89–1.46)    | 0.735/0.0                          | 1.05 (0.88–1.27)    | 0.152/40.4                         | 1.12 (0.88–1.42)              | 0.901/0.0                          | 1.08 (0.90–1.30)              | 0.112/46.6                         | 1.07 (0.93–1.23) | 0.150/40.8                         |
| Matching                 |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Yes                      | 11 (2226/2966)     | 1.45 (1.11–1.90)    | 0.283/16.9                         | 1.06 (0.94–1.20)    | 0.942/0.0                          | 1.41 (1.08–1.83)              | 0.263/18.9                         | 1.12 (0.99–1.25)              | 0.919/0.0                          | 1.14 (1.04–1.25) | 0.762/0.0                          |
| No                       | 7 (1204/3009)      | 1.81 (1.07–3.06)    | 0.006/67.1                         | 1.34 (0.90–2.00)    | 0.000/83.3                         | 1.58 (1.02–2.46)              | 0.034/55.9                         | 1.43 (0.96–2.15)              | 0.000/85.6                         | 1.37 (1.00–1.89) | 0.000/85.5                         |
| Type of leukemia         |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| AML                      | 7 (1461/3684)      | 1.11 (0.88–1.41)    | 0.470/0.0                          | 1.21 (0.88–1.65)    | 0.000/78.4                         | 1.08 (0.86–1.35)              | 0.880/0.0                          | 1.21 (0.89–1.64)              | 0.000/79.2                         | 1.14 (0.92–1.43) | 0.000/75.5                         |
| CML                      | 5 (855/743)        | 3.17 (1.89–5.32)    | 0.308/16.7                         | 1.18 (0.85–1.64)    | 0.052/57.5                         | 2.80 (1.79–4.39)              | 0.489/0.0                          | 1.35 (0.94–1.94)              | 0.014/68.0                         | 1.41 (1.05–1.89) | 0.013/68.3                         |
| HWE and Quality score≥12 |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Overall                  | 16 (2750/4705)     | 1.63 (1.24–2.13)    | 0.081/35.2                         | 1.20 (1.00–1.44)    | 0.001/61.8                         | 1.49 (1.18–1.88)              | 0.223/20.2                         | 1.27 (1.06–1.53)              | 0.000/66.8                         | 1.26 (1.08–1.46) | 0.000/67.7                         |
| Ethnicity                |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Indian                   | 6 (750/1200)       | 1.91 (1.07–3.40)    | 0.020/62.6                         | 1.23 (0.97–1.55)    | 0.236/26.5                         | 1.74 (1.03–2.96)              | 0.037/57.8                         | 1.34 (1.02–1.76)              | 0.066/51.7                         | 1.34 (1.04–1.74) | 0.009/67.4                         |
| Caucasian                | 5 (644/987)        | 1.87 (1.28–2.74)    | 0.649/0.0                          | 1.55 (1.02–2.34)    | 0.007/71.9                         | 1.59 (1.11–2.30)              | 0.734/0.0                          | 1.63 (1.12–2.37)              | 0.012/68.7                         | 1.50 (1.17–1.91) | 0.057/56.4                         |
| Age group                |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Adults                   | 9 (1735/3430)      | 1.38 (1.05–1.82)    | 0.408/3.2                          | 1.27 (0.97–1.66)    | 0.000/72.2                         | 1.28 (0.98–1.66)              | 0.705/0.0                          | 1.30 (1.00–1.70)              | 0.000/73.9                         | 1.24 (1.02–1.52) | 0.001/70.4                         |

(Continued on following page)



TABLE 3 (Continued) Meta-analysis of the association of GSTP1 polymorphism with risk of leukemia.

| Variable         | n (Cases/Controls) | Val/Val vs. Ile/Ile |                                    | Ile/Val vs. Ile/Ile |                                    | Val/Val vs. Ile/Ile + Ile/Val |                                    | Val/Val + Ile/Val vs. Ile/Ile |                                    | Val vs. Ile      |                                    |
|------------------|--------------------|---------------------|------------------------------------|---------------------|------------------------------------|-------------------------------|------------------------------------|-------------------------------|------------------------------------|------------------|------------------------------------|
|                  |                    | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)          | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)                    | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) |
| Type of control  |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| HC               | 12 (1596/2124)     | 1.83 (1.29–2.58)    | 0.072/40.3                         | 1.23 (0.97–1.57)    | 0.002/63.5                         | 1.66 (1.23–2.25)              | 0.169/28.2                         | 1.33 (1.05–1.68)              | 0.001/66.7                         | 1.32 (1.09–1.59) | 0.001/66.8                         |
| Matching         |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| Yes              | 9 (1546/1696)      | 1.51 (1.11–2.05)    | 0.787/0.0                          | 1.12 (0.96–1.30)    | 0.929/0.0                          | 1.43 (1.07–1.93)              | 0.742/0.0                          | 1.17 (1.01–1.35)              | 0.908/0.0                          | 1.18 (1.05–1.32) | 0.796/0.0                          |
| No               | 7 (1204/3009)      | 1.81 (1.07–3.06)    | 0.006/67.1                         | 1.34 (0.90–2.00)    | 0.000/83.3                         | 1.58 (1.02–2.46)              | 0.034/55.9                         | 1.43 (0.96–2.15)              | 0.000/85.6                         | 1.37 (1.00–1.89) | 0.000/85.5                         |
| Type of leukemia |                    |                     |                                    |                     |                                    |                               |                                    |                               |                                    |                  |                                    |
| AML              | 6 (1041/2662)      | 1.16 (0.82–1.64)    | 0.355/9.5                          | 1.27 (0.84–1.90)    | 0.000/81.5                         | 1.08 (0.78–1.48)              | 0.792/0.0                          | 1.26 (0.85–1.88)              | 0.000/82.4                         | 1.18 (0.88–1.57) | 0.000/79.3                         |

HC, healthy control; NBDC, nonblood disease control; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.

analysis of the data. The combination of GSTM1 present/null and GSTP1 Ile105Val was also used for the six genetic models, model 1: M1 null/P1 Ile/Ile vs. M1 present/P1 Ile/Ile, model 2: M1 present/P1 Val\* vs. M1 present/P1 Ile/Ile, model 3: (M1 null/P1 Ile/Ile + M1 present/P1 Val\*) vs. M1 present/P1 Ile/Ile, model 4: M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile, model 5: All risk genotypes vs. M1 present/P1 Ile/Ile, and model 6: M1 null/P1 Val\* vs. (M1 present/P1 Ile/Ile + M1 null/P1 Ile/Ile + M1 Present/P1 Val\*). There were six genetic models used in the combination of GSTT1 present/null and GSTP1 Ile105Val: model 1: T1 null/P1 Ile/Ile vs. T1 present/P1 Ile/Ile, model 2: T1 present/P1 Val\* vs. T1 present/P1 Ile/Ile, model 3: = (T1 null/P1 Ile/Ile + T1 present/P1 Val\*) vs. T1 present/P1 Ile/Ile, model 4: T1 null/P1 Val\* vs. T1 present/P1 Ile/Ile, model 5: All risk genotypes vs. T1 present/P1 Ile/Ile, and model 6: T1 null/P1 Val\* vs. (T1 present/P1 Ile/Ile + T1 null/P1 Ile/Ile + T1 Present/P1 Val\*). In the combination of GSTM1 present/null, GSTT1 present/null, and GSTP1 Ile105Val, the following genetic models were employed: model 1: M1 null/T1 present/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile, model 2: M1 present/T1 null/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile, model 3: M1 present/T1 present/P1 Val 1 vs. M1 present/T1 present/P1 Ile/Ile, model 4: all one high-risk genotype vs. M1 present/T1 present/P1 Ile/Ile, model 5: M1 null/T1 null/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile, model 6: M1 null/T1 present/P1 Val 1 vs. M1 present/T1 present/P1 Ile/Ile, model 7: M1 present/T1 null/P1 Val1 vs. M1 present/T1 present/P1 Ile/Ile, model 8: all two high-risk genotype vs. M1 present/T1 present/P1 Ile/Ile, model 9: M1 null/T1 null/P1 Val 1 vs. M1 present/T1 present/P1 Ile/Ile, and model 10: M1 null/T1 null/P1 Val 1 vs. M1 present/T1 present/P1 Ile/Ile + all one high-risk genotype + all two high-risk genotypes. Moreover, a metaregression analysis was used to explore sources of heterogeneity (Baker et al., 2009). Sensitivity analysis was conducted by excluding low-quality and Hardy–Weinberg disequilibrium (HWD) in control studies. The Hardy–Weinberg equilibrium (HWE) was checked using Chi-square goodness-of-fit test, which was deemed as HWE in controls if  $p \geq 0.05$ . Begg’s funnel plot (Begg and Mazumdar, 1994) and Egger’s test (Egger et al., 1997) were carried out to verify publication bias. Furthermore, we applied the FPRP (Wacholder et al., 2004), BFDP (Wakefield, 2007), and Venice criteria (Ioannidis et al., 2008) to appraise the credibility of statistically significant associations. All statistical analyses were performed using Stata 12.0 software in the current study.

Results

Search results and study characteristics

Overall, 91 articles (Supplemental References 1–91) were eligible (Figure 1), and Supplementary Tables S1–S3 show the characteristics and scores of each study. Multiple eligible studies were included in one article. Therefore, there were 98 eligible studies (13,477 leukemia cases and 22,523 controls, Table 1) on the GSTM1 present/null

polymorphism, 89 eligible studies (12,357 leukemia cases and 20,636 controls, Table 2) on the *GSTT1* present/null polymorphism, 34 studies (5,391 leukemia cases and 8,729 controls, Table 3) on the *GSTP1* Ile105Val polymorphism, 25 studies (3,522 leukemia cases and 4,974 controls, Table 4) belonging to the combined effects of the *GSTM1* and *GSTT1* polymorphisms, six studies (737 leukemia cases and 995 controls, Table 5) describing the combined *GSTM1* and *GSTP1* effects, five studies (645 leukemia cases and 845 controls, Table 6) on the combined *GSTT1* and *GSTP1* effects, and seven studies (1,036 leukemia cases and 1,418 controls, Table 7) belonging to the combined effects of the three aforementioned polymorphisms with leukemia risk.

## Quantitative synthesis

The *GSTM1* null genotype significantly added leukemia risk in the overall analysis (OR = 1.28, 95% CI: 1.17–1.40, Table 1 and Figure 2) of Asians (OR = 1.50, 95% CI: 1.29–1.73), Caucasians (OR = 1.17, 95% CI: 1.07–1.28), and Africans (OR = 1.99, 95% CI: 1.30–3.94). However, it showed that the *GSTM1* null genotype did not affect leukemia risk in Indians (OR = 1.25, 95% CI: 0.89–1.77). Moreover, similar association was also found in other subgroup analyses, such as in adult leukemia, child leukemia, AML, ALL, and so on (Table 1).

The *GSTT1* null genotype added leukemia risk in the overall population (OR = 1.46, 95% CI: 1.32–1.60, Table 2 and Figure 3). Moreover, an increased risk of leukemia was also found in Indians (OR = 1.74, 95% CI: 1.27–2.38), Asians (OR = 1.30, 95% CI: 1.16–1.46), Caucasians (OR = 1.37, 95% CI: 1.17–1.59), and Africans (OR = 2.08, 95% CI: 1.32–3.26) (Table 2; Figure 3). Similarly, the significantly increased risk of leukemia was also observed in adult leukemia, child leukemia, AML, ALL, and CML, and so on (Table 2).

The *GSTP1* Ile105Val polymorphism yielded a significantly increased leukemia risk in overall population (Val/Val vs. Ile/Ile: OR = 1.77, 95% CI = 1.40–2.24; Ile/Val vs. Ile/Ile: OR = 1.24, 95% CI = 1.08–1.43; Val/Val vs. Ile/Ile + Ile/Val: OR = 1.59, 95% CI = 1.29–1.95; Val/Val + Ile/Val vs. Ile/Ile: OR = 1.32, 95% CI = 1.15–1.53; and Val vs. Ile: OR = 1.31, 95% CI = 1.16–1.47, Table 3 and Figure 4). Moreover, the *GSTP1* Ile105Val polymorphism was associated with increased leukemia risk in Indians (Val/Val vs. Ile/Ile: OR = 3.01, 95% CI = 1.60–5.66; Ile/Val vs. Ile/Ile: OR = 1.28, 95% CI = 1.08–1.53; Val/Val vs. Ile/Ile + Ile/Val: OR = 2.65, 95% CI = 1.47–4.79; Val/Val + Ile/Val vs. Ile/Ile: OR = 1.45, 95% CI = 1.17–1.80; and Val vs. Ile: OR = 1.47, 95% CI = 1.19–1.80) and in Caucasians (Val/Val vs. Ile/Ile: OR = 1.49, 95% CI = 1.10–2.01; Val/Val vs. Ile/Ile + Ile/Val: OR = 1.31, 95% CI = 1.04–1.65; Val/Val + Ile/Val vs. Ile/Ile: OR = 1.32, 95% CI = 1.02–1.72; and Val vs. Ile: OR = 1.28, 95% CI = 1.05–1.55). Similarly, the significantly increased risk of leukemia was also

observed in adult leukemia, child leukemia, AML, ALL, CML, etc. (Table 3).

Combined *GSTM1* and *GSTT1* null genotypes were found to significantly increase leukemia risk in the overall analysis (M1 present/T1 null vs. M1 present/T1 present: OR = 1.66, 95% CI = 1.37–2.00; M1 null/T1 null vs. M1 present/T1 present: OR = 2.44, 95% CI = 1.86–3.21; all one risk genotypes vs. M1 present/T1 present: OR = 1.29, 95% CI = 1.11–1.50; all risk genotypes vs. M1 present/T1 present: OR = 1.44, 95% CI = 1.25–1.66; and M1 null/T1 null vs. M1 present/T1 present + M1 present/T1 null + M1 null/T1 present: OR = 2.16, 95% CI = 1.65–2.81; Table 4 and Figure 5). Moreover, there was a significantly increased leukemia risk in Indians (M1 present/T1 null vs. M1 present/T1 present: OR = 1.92, 95% CI = 1.18–3.12; M1 null/T1 null vs. M1 present/T1 present: OR = 3.16, 95% CI = 1.90–5.25; M1 null/T1 null vs. M1 present/T1 present + M1 present/T1 null + M1 null/T1 present: OR = 2.83, 95% CI = 1.73–4.64), Asians (M1 present/T1 null vs. M1 present/T1 present: OR = 1.43, 95% CI = 1.04–1.97; M1 null/T1 null vs. M1 present/T1 present: OR = 2.47, 95% CI = 1.55–3.95; all one risk genotypes vs. M1 present/T1 present: OR = 1.35, 95% CI = 1.02–1.80; all risk genotypes vs. M1 present/T1 present: OR = 1.57, 95% CI = 1.20–2.05; M1 null/T1 null vs. M1 present/T1 present + M1 present/T1 null + M1 null/T1 present: OR = 2.05, 95% CI = 1.40–3.00), and Caucasians (M1 present/T1 null vs. M1 present/T1 present: OR = 1.65, 95% CI = 1.14–2.39; M1 null/T1 null vs. M1 present/T1 present: OR = 1.98, 95% CI = 1.16–3.37; all one risk genotypes vs. M1 present/T1 present: OR = 1.30, 95% CI = 1.05–1.60; all risk genotypes vs. M1 present/T1 present: OR = 1.37, 95% CI = 1.17–1.61). Similar results were found in adult leukemia, AML, ALL, CML, and so on (Table 4).

An increased risk of leukemia was yielded on the combined *GSTM1* and *GSTP1* polymorphisms (M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile: OR = 1.95, 95% CI = 1.35–2.80; M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile + M1 null/P1 Ile/Ile + M1 Present/P1 Val\*: OR = 1.95, 95% CI = 1.37–2.77; Table 5 and Figure 6) in overall analysis. Moreover, increased leukemia risk was also demonstrated in Indians (M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile: OR = 1.72, 95% CI = 1.10–2.70, M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile + M1 null/P1 Ile/Ile + M1 Present/P1 Val\*: OR = 1.65, 95% CI = 1.14–2.40). Furthermore, a similar connection was also found in ALL, CML, and so on (Table 5).

On combining *GSTT1* and *GSTP1* polymorphisms, there was a strong connection with leukemia risk in the overall analysis ((T1 null/P1 Ile/Ile + T1 present/P1 Val\*) vs. T1 present/P1 Ile/Ile: OR = 1.50, 95% CI = 1.04–2.15; T1 null/P1 Val\* vs. T1 present/P1 Ile/Ile: OR = 4.24, 95% CI = 2.49–7.24; all risk genotypes vs. T1 present/P1 Ile/Ile: OR = 1.70, 95% CI = 1.30–2.22; and T1 null/P1 Val\* vs. (T1 present/P1 Ile/Ile + T1 null/P1 Ile/Ile + T1 Present/P1 Val\*): OR = 3.31, 95% CI = 1.85–5.91) and increased risk of leukemia among Indians

TABLE 4 Meta-analysis of the combined effects of GSTM1 present/null and GSTT1 present/null on leukemia risk.

| Variable               | N (Case/<br>Control) | Model 1             |  | Model 2          |  | Model 3             |  | Model 4             |  | Model 5             |  | Model 6             |  |
|------------------------|----------------------|---------------------|--|------------------|--|---------------------|--|---------------------|--|---------------------|--|---------------------|--|
|                        |                      | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)    | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) |
| Overall                | 25 (3522/4974)       | 1.66<br>(1.37–2.00) | 0.077/30.3                             | 1.11 (0.93–1.33) | 0.000/60.4                             | 2.44<br>(1.86–3.21) | 0.002/51.2                             | 1.29<br>(1.11–1.50) | 0.001/52.2                             | 1.44<br>(1.25–1.66) | 0.002/<br>51.5                         | 2.16<br>(1.65–2.81) | 0.000/55.4                             |
| Ethnicity              |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Indian                 | 5 (555/829)          | 1.92<br>(1.18–3.12) | 0.075/52.9                             | 0.87 (0.54–1.40) | 0.017/66.6                             | 3.16<br>(1.90–5.25) | 0.519/0.0                              | 1.18<br>(0.76–1.85) | 0.006/72.4                             | 1.32<br>(0.83–2.10) | 0.002/<br>76.1                         | 2.83<br>(1.73–4.64) | 0.759/0.0                              |
| Asian                  | 5 (1000/1148)        | 1.43<br>(1.04–1.97) | 0.274/22.1                             | 1.34 (0.99–1.81) | 0.146/41.4                             | 2.47<br>(1.55–3.95) | 0.051/57.5                             | 1.35<br>(1.02–1.80) | 0.120/45.3                             | 1.57<br>(1.20–2.05) | 0.129/<br>44.0                         | 2.05<br>(1.40–3.00) | 0.090/50.3                             |
| Caucasian              | 10 (1506/1916)       | 1.65<br>(1.14–2.39) | 0.087/40.6                             | 1.15 (0.92–1.43) | 0.101/38.6                             | 1.98<br>(1.16–3.37) | 0.005/61.5                             | 1.30<br>(1.05–1.60) | 0.082/41.3                             | 1.37<br>(1.17–1.61) | 0.317/<br>13.7                         | 1.71<br>(0.94–3.09) | 0.000/71.8                             |
| Age group              |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Adults                 | 15 (2424/2884)       | 1.44<br>(1.18–1.76) | 0.600/0.0                              | 1.27 (1.04–1.54) | 0.013/50.7                             | 2.51<br>(1.71–3.68) | 0.001/60.0                             | 1.34<br>(1.15–1.57) | 0.087/35.3                             | 1.50<br>(1.29–1.74) | 0.104/<br>33.0                         | 2.26<br>(1.53–3.33) | 0.000/65.8                             |
| Adults and<br>children | 5 (488/1112)         | 1.63<br>(0.87–3.07) | 0.014/68.0                             | 0.75 (0.50–1.12) | 0.122/45.0                             | 2.05<br>(0.96–4.37) | 0.063/55.1                             | 0.99<br>(0.66–1.49) | 0.044/59.2                             | 1.10<br>(0.71–1.71) | 0.016/<br>67.2                         | 1.94<br>(1.04–4.36) | 0.131/43.6                             |
| Type of control        |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| HC                     | 15(1693/2058)        | 1.73<br>(1.31–2.30) | 0.060/39.2                             | 1.02 (0.76–1.38) | 0.000/69.8                             | 2.59<br>(1.71–3.93) | 0.012/51.1                             | 1.27<br>(1.00–1.62) | 0.000/63.9                             | 1.45<br>(1.16–1.80) | 0.001/<br>60.3                         | 2.33<br>(1.52–3.58) | 0.002/59.7                             |
| NBDC                   | 9 (1772/2671)        | 1.60<br>(1.22–2.10) | 0.215/25.7                             | 1.29 (1.11–1.50) | 0.466/0.0                              | 2.31<br>(1.56–3.43) | 0.021/55.7                             | 1.36<br>(1.18–1.57) | 0.421/1.6                              | 1.49<br>(1.25–1.78) | 0.147/<br>33.9                         | 1.86<br>(1.33–2.61) | 0.059/46.7                             |
| Matching               |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Yes                    | 11 (1958/2382)       | 1.60<br>(1.29–1.99) | 0.493/0.0                              | 1.13 (0.85–1.50) | 0.000/70.1                             | 2.57<br>(1.61–4.12) | 0.002/64.2                             | 1.31<br>(1.09–1.58) | 0.075/41.0                             | 1.46<br>(1.24–1.73) | 0.129/<br>33.8                         | 2.33<br>(1.44–3.76) | 0.000/69.6                             |
| No                     | 14 (1564/2592)       | 1.67<br>(1.24–2.27) | 0.023/48.0                             | 1.09 (0.86–1.40) | 0.013/51.6                             | 2.38<br>(1.70–3.33) | 0.076/37.6                             | 1.28<br>(1.00–1.63) | 0.002/60.7                             | 1.43<br>(1.13–1.80) | 0.001/<br>62.1                         | 2.07<br>(1.52–2.81) | 0.084/36.5                             |
| Type of leukemia       |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| AML                    | 6 (1176/1859)        | 1.47<br>(0.96–2.26) | 0.084/48.5                             | 1.24 (0.97–1.58) | 0.202/31.2                             | 2.15<br>(1.35–3.43) | 0.049/55.1                             | 1.29<br>(0.99–1.69) | 0.088/47.8                             | 1.41<br>(1.09–1.82) | 0.095/<br>46.7                         | 1.85<br>(1.22–2.80) | 0.069/51.1                             |
| ALL                    | 7 (670/1060)         | 2.15<br>(1.43–3.23) | 0.125/39.9                             | 1.19 (0.77–1.85) | 0.008/65.2                             | 2.79<br>(1.47–5.30) | 0.052/52.0                             | 1.52<br>(1.13–2.05) | 0.094/44.5                             | 1.66<br>(1.25–2.20) | 0.106/<br>42.7                         | 2.23<br>(1.20–4.14) | 0.036/55.4                             |
| CML                    | 11 (1234/1613)       | 1.54<br>(1.18–2.01) | 0.375/7.2                              | 1.01 (0.72–1.42) | 0.000/69.7                             | 2.58<br>(1.57–4.24) | 0.024/51.4                             | 1.19<br>(0.92–1.56) | 0.004/61.4                             | 1.37<br>(1.06–1.77) | 0.003/<br>62.5                         | 2.41<br>(1.45–4.00) | 0.012/55.8                             |
| Sensitivity analysis   |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Quality score          |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| ≥10                    |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Overall                | 21 (3105/4266)       |                     | 0.132/26.3                             | 1.12 (0.92–1.37) | 0.000/61.6                             |                     | 0.001/55.3                             |                     | 0.007/48.3                             |                     |  |                     | 0.001/57.8                             |

(Continued on following page)

TABLE 4 (Continued) Meta-analysis of the combined effects of GSTM1 present/null and GSTT1 present/null on leukemia risk.

| Variable               | N (Case/<br>Control) | Model 1             |  | Model 2          |  | Model 3             |  | Model 4             |  | Model 5             |  | Model 6             |  |
|------------------------|----------------------|---------------------|--|------------------|--|---------------------|--|---------------------|--|---------------------|--|---------------------|--|
|                        |                      | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)    | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) | OR<br>(95%CI)       | P <sub>h</sub> /<br>I <sup>2</sup> (%) |
|                        |                      | 1.56<br>(1.28–1.91) |  |                  |  | 2.41<br>(1.76–3.29) |  | 1.27<br>(1.09–1.48) |  | 1.42<br>(1.22–1.65) | 0.003/<br>51.7                         | 2.17<br>(1.61–2.94) |  |
| Ethnicity              |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Indian                 | 5 (555/829)          | 1.92<br>(1.18–3.12) | 0.075/52.9                             | 0.87 (0.54–1.40) | 0.017/66.6                             | 3.16<br>(1.90–5.25) | 0.519/0.0                              | 1.18<br>(0.76–1.85) | 0.006/72.4                             | 1.32<br>(0.83–2.10) | 0.002/<br>76.1                         | 2.83<br>(1.73–4.64) | 0.759/0.0                              |
| Caucasian              | 8 (1121/1352)        | 1.38<br>(0.96–1.98) | 0.260/21.4                             | 1.21 (0.95–1.53) | 0.100/41.7                             | 1.80<br>(0.96–3.37) | 0.008/63.6                             | 1.28<br>(1.04–1.57) | 0.160/33.6                             | 1.34<br>(1.13–1.58) | 0.341/<br>11.5                         | 1.63<br>(0.83–3.21) | 0.001/71.5                             |
| Age group              |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Adults                 | 14 (2317/2754)       | 1.43<br>(1.16–1.76) | 0.527/0.0                              | 1.31 (1.08–1.60) | 0.020/48.8                             | 2.40<br>(1.61–3.58) | 0.002/59.9                             | 1.37<br>(1.16–1.61) | 0.089/35.8                             | 1.51<br>(1.29–1.77) | 0.078/<br>37.4                         | 2.13<br>(1.43–3.18) | 0.000/64.7                             |
| Adults and<br>children | 5 (488/1112)         | 1.63<br>(0.87–3.07) | 0.014/68.0                             | 0.75 (0.50–1.12) | 0.122/45.0                             | 2.05<br>(0.96–4.37) | 0.063/55.1                             | 0.99<br>(0.66–1.49) | 0.044/59.2                             | 1.10<br>(0.71–1.71) | 0.016/<br>67.2                         | 1.94<br>(1.04–4.36) | 0.131/43.6                             |
| Type of Control        |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| HC                     | 13 (1539/1826)       | 1.63<br>(1.21–2.18) | 0.103/34.9                             | 1.06 (0.76–1.47) | 0.000/73.5                             | 2.56<br>(1.60–4.10) | 0.009/55.0                             | 1.25<br>(0.97–1.61) | 0.001/64.6                             | 1.41<br>(1.11–1.80) | 0.001/<br>63.6                         | 2.39<br>(1.50–3.80) | 0.004/58.7                             |
| NBDC                   | 8 (1566/2440)        | 1.47<br>(1.12–1.94) | 0.306/15.7                             | 1.24 (1.06–1.45) | 0.693/0.0                              | 2.17<br>(1.43–3.29) | 0.030/54.9                             | 1.30<br>(1.12–1.50) | 0.724/0.0                              | 1.41<br>(1.19–1.66) | 0.294/<br>17.2                         | 1.85<br>(1.27–2.69) | 0.045/51.3                             |
| Matching               |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| Yes                    | 11 (1958/2382)       | 1.60<br>(1.29–1.99) | 0.493/0.0                              | 1.13 (0.85–1.50) | 0.000/70.1                             | 2.57<br>(1.61–4.12) | 0.002/64.2                             | 1.31<br>(1.09–1.58) | 0.078/41.0                             | 1.46<br>(1.24–1.73) | 0.129/<br>33.8                         | 2.33<br>(1.44–3.76) | 0.000/69.6                             |
| No                     | 10 (1147/1884)       | 1.51<br>(1.04–2.19) | 0.044/48.0                             | 1.11 (0.84–1.47) | 0.032/50.8                             | 2.26<br>(1.45–3.53) | 0.051/46.7                             | 1.22<br>(0.93–1.61) | 0.012/57.2                             | 1.37<br>(1.03–1.82) | 0.002/<br>65.1                         | 2.04<br>(1.40–2.98) | 0.107/37.7                             |
| Type of leukemia       |                      |                     |  |                  |  |                     |  |                     |  |                     |  |                     |  |
| ALL                    | 6 (623/958)          | 1.92<br>(1.28–2.86) | 0.213/29.6                             | 1.22 (0.76–1.96) | 0.004/70.7                             | 3.10<br>(1.48–6.49) | 0.036/58.1                             | 1.43<br>(1.07–1.91) | 0.144/39.3                             | 1.59<br>(1.18–2.14) | 0.100/<br>45.8                         | 2.66<br>(1.38–5.15) | 0.053/54.1                             |
| CML                    | 10 (1127/1483)       | 1.55<br>(1.15–2.09) | 0.292/16.4                             | 1.04 (0.73–1.51) | 0.000/71.8                             | 2.39<br>(1.37–4.16) | 0.020/54.3                             | 1.22<br>(0.91–1.64) | 0.003/64.6                             | 1.38<br>(1.03–1.83) | 0.002/<br>66.2                         | 2.21<br>(1.26–3.87) | 0.012/57.7                             |

Model 1, M1 present/T1 null vs. M1 present/T1 present; Model 2, M1 null/T1 present vs. M1 present/T1 present; Model 3, M1 null/T1 null vs. M1 present/T1 present; Model 4, all one risk genotypes vs. M1 present/T1 present; Model 5, all risk genotypes vs. M1 present/T1 present; Model 6, M1 null/T1 null vs. M1 present/T1 present + M1 present/T1 null + M1 null/T1 present; HC, healthy control; NBDC, nonblood disease control; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.

TABLE 5 Meta-analysis of the combined effects of GSTM1 present/null and GSTP1 Ile105Val on leukemia risk.

| Variable              | Sample size | Model 1          |                                    | Model 2          |                                    | Model 3          |                                    | Model 4          |                                    | Model 5          |                                    | Model 6          |                                    |
|-----------------------|-------------|------------------|------------------------------------|------------------|------------------------------------|------------------|------------------------------------|------------------|------------------------------------|------------------|------------------------------------|------------------|------------------------------------|
|                       |             | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> (%) |
| Overall               | 6 (737/995) | 0.83 (0.55–1.26) | 0.038/57.5                         | 1.16 (0.74–1.84) | 0.017/63.9                         | 1.02 (0.74–1.39) | 0.063/52.2                         | 1.95 (1.35–2.80) | 0.272/21.5                         | 1.19 (0.90–1.58) | 0.100/45.9                         | 1.95 (1.37–2.77) | 0.208/30.4                         |
| Ethnicity             |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| Indian                | 4 (492/750) | 0.75 (0.39–1.45) | 0.015/71.4                         | 1.26 (0.74–2.13) | 0.021/69.2                         | 1.05 (0.65–1.68) | 0.018/70.2                         | 1.72 (1.10–2.70) | 0.211/33.5                         | 1.18 (0.77–1.79) | 0.030/66.4                         | 1.65 (1.14–2.40) | 0.292/19.6                         |
| Type of control       |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| HC                    | 5 (645/845) | 0.74 (0.49–1.12) | 0.081/51.9                         | 1.14 (0.65–2.02) | 0.008/71.1                         | 0.97 (0.68–1.38) | 0.052/57.5                         | 1.82 (1.21–2.74) | 0.249/25.9                         | 1.13 (0.83–1.54) | 0.097/49.1                         | 1.88 (1.23–2.89) | 0.143/41.8                         |
| Matching              |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| Yes                   | 3 (395/395) | 0.72 (0.37–1.41) | 0.033/70.6                         | 0.82 (0.43–1.57) | 0.147/47.8                         | 0.78 (0.51–1.21) | 0.142/48.7                         | 1.89 (0.90–3.96) | 0.113/54.1                         | 0.99 (0.63–1.56) | 0.087/59.0                         | 2.20 (1.25–3.89) | 0.204/37.1                         |
| No                    | 3 (342/600) | 0.97 (0.53–1.76) | 0.123/52.3                         | 1.53 (0.91–2.56) | 0.097/57.2                         | 1.31 (0.97–1.77) | 0.581/0.0                          | 2.07 (1.34–3.20) | 0.413/0.0                          | 1.44 (1.08–1.92) | 0.771/0.0                          | 1.76 (1.05–2.96) | 0.178/42.1                         |
| Type of leukemia      |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| ALL                   | 3 (342/600) | 0.83 (0.34–2.03) | 0.008/79.5                         | 0.98 (0.70–1.38) | 0.403/0.0                          | 0.91 (0.53–1.57) | 0.038/69.3                         | 1.86 (1.01–3.43) | 0.125/51.9                         | 1.08 (0.63–1.84) | 0.028/72.0                         | 1.92 (1.30–2.83) | 0.498/0.0                          |
| CML                   | 3 (395/395) | 0.86 (0.60–1.24) | 0.377/0.0                          | 1.34 (0.51–3.48) | 0.015/76.1                         | 1.17 (0.83–1.63) | 0.306/15.6                         | 2.08 (1.27–3.40) | 0.363/1.4                          | 1.34 (1.00–1.92) | 0.705/0.0                          | 2.00 (0.90–4.46) | 0.055/65.4                         |
| Sensitivity analysis  |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| HWE and Quality score |             |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |                  |                                    |
| ≥10                   | 6 (737/995) | 0.83 (0.55–1.26) | 0.038/57.5                         | 1.16 (0.74–1.84) | 0.017/63.9                         | 1.02 (0.74–1.39) | 0.063/52.2                         | 1.95 (1.35–2.80) | 0.272/21.5                         | 1.19 (0.90–1.58) | 0.100/45.9                         | 1.95 (1.37–2.77) | 0.208/30.4                         |

Model 1, M1 null/P1 Ile/Ile vs. M1 present/P1 Ile/Ile; Model 2, M1 present/P1 Val\* vs. M1 present/P1 Ile/Ile; Model 3, (M1 null/P1 Ile/Ile + M1 present/P1 Val\*) vs. M1 present/P1 Ile/Ile; Model 4 = M1 null/P1 Val\* vs. M1 present/P1 Ile/Ile; Model 5, All risk genotypes vs. M1 present/P1 Ile/Ile; Model 6, M1 null/P1 Val\* vs. (M1 present/P1 Ile/Ile + M1 null/P1 Ile/Ile + M1 Present/P1 Val\*); HC, healthy control; NBDC, nonblood disease controls; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.



TABLE 6 Meta-analysis of the combined effects of GSTT1 present/null and GSTP1 Ile105Val on leukemia risk.

| Variable                 | Sample size | Model 1          |                                | Model 2          |                                | Model 3          |                                | Model 4           |                                | Model 5          |                                | Model 6           |                                |
|--------------------------|-------------|------------------|--------------------------------|------------------|--------------------------------|------------------|--------------------------------|-------------------|--------------------------------|------------------|--------------------------------|-------------------|--------------------------------|
|                          |             | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> | OR (95%CI)        | P <sub>h</sub> /I <sup>2</sup> | OR (95%CI)       | P <sub>h</sub> /I <sup>2</sup> | OR (95%CI)        | P <sub>h</sub> /I <sup>2</sup> |
| Overall                  | 5 (645/845) | 1.56 (0.76–3.19) | 0.009/70.6                     | 1.49 (0.97–2.28) | 0.032/62.2                     | 1.50 (1.04–2.15) | 0.041/59.8                     | 4.24 (2.49–7.24)  | 0.596/0.0                      | 1.70 (1.30–2.22) | 0.207/32.2                     | 3.31 (1.85–5.91)  | 0.320/14.8                     |
| Ethnicity                |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| Indian                   | 3 (400/600) | 1.90 (0.99–3.66) | 0.086/59.3                     | 1.45 (0.72–2.92) | 0.006/80.4                     | 1.65 (1.05–2.59) | 0.072/61.9                     | 4.39 (2.51–7.68)  | 0.741/0.0                      | 1.91 (1.45–2.50) | 0.365/0.8                      | 3.39 (1.94–5.94)  | 0.338/7.8                      |
| Type of control          |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| HC                       | 5 (645/845) | 1.56 (0.76–3.19) | 0.009/70.6                     | 1.49 (0.97–2.28) | 0.032/62.2                     | 1.50 (1.04–2.15) | 0.041/59.8                     | 4.24 (2.49–7.24)  | 0.596/0.0                      | 1.70 (1.30–2.22) | 0.207/32.2                     | 3.31 (1.85–5.91)  | 0.320/14.8                     |
| Matching                 |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| Yes                      | 3 (395/395) | 1.44 (0.48–4.35) | 0.032/70.8                     | 1.16 (0.65–2.08) | 0.082/60.0                     | 1.18 (0.76–1.83) | 0.135/50.1                     | 4.61 (1.64–12.97) | 0.301/16.8                     | 1.40 (1.04–1.89) | 0.368/0.0                      | 4.15 (0.78–7.37)  | 0.278/21.9                     |
| Type of leukemia         |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| CML                      | 3 (395/395) | 0.88 (0.41–1.88) | 0.218/34.3                     | 1.91 (1.35–2.68) | 0.441/0.0                      | 1.49 (0.89–2.51) | 0.059/64.6                     | 3.29 (1.37–7.89)  | 0.361/1.9                      | 1.61 (1.05–2.47) | 0.133/50.4                     | 2.40 (1.21–14.26) | 0.231/31.8                     |
| Sensitivity analysis     |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| HWE and Quality score≥10 |             |                  |                                |                  |                                |                  |                                |                   |                                |                  |                                |                   |                                |
| Overall                  | 5 (645/845) | 1.56 (0.76–3.19) | 0.009/70.6                     | 1.49 (0.97–2.28) | 0.032/62.2                     | 1.50 (1.04–2.15) | 0.041/59.8                     | 4.24 (2.49–7.24)  | 0.596/0.0                      | 1.70(1.30–2.22)  | 0.207/32.2                     | 3.31 (1.85–5.91)  | 0.320/14.8                     |

Model 1, T1 null/P1 Ile/Ile vs. T1 present/P1 Ile/Ile; Model 2, T1 present/P1 Val\* vs. T1 present/P1 Ile/Ile; Model 3, (T1 null/P1 Ile/Ile + T1 present/P1 Val\*) vs. T1 present/P1 Ile/Ile; Model 4, T1 null/P1 Val\* vs. T1 present/P1 Ile/Ile; Model 5, all risk genotypes vs. T1 present/P1 Ile/Ile; Model 6, T1 null/P1 Val\* vs. (T1 present/P1 Ile/Ile + T1 null/P1 Ile/Ile + T1 Present/P1 Val\*); HB, hospital-based studies; PB, population-based studies; HC, healthy control; NBDC, nonblood disease controls; AML, acute myeloid leukemia; ALL, acute lymphoblastic leukemia; and CML, chronic myeloid leukemia.

TABLE 7 Meta-analysis of the combined effects of *GSTM1* present/null, *GSTT1* present/null, and *GSTP1* Ile105Val on leukemia risk.

| Variable             | Sample size   | Model 1          |  | Model 2          |  | Model 3          |  | Model 4          |  | Model 5          |  | Model 6          |  | Model 7          |  | Model 8          |  | Model 9          |  | Model 10         |  |
|----------------------|---------------|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|------------------|--|
|                      |               | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) | OR (95%CI)       | <i>P<sub>h</sub></i> /I <sup>2</sup> (%) |
| Overall              | 7 (1036/1418) | 0.93 (0.72–1.21) | 0.945/0.0                                | 1.38 (0.92–2.07) | 0.26/122.0                               | 1.12 (0.86–1.47) | 0.723/0.0                                | 1.07 (0.87–1.33) | 0.486/0.0                                | 0.81 (0.23–2.92) | 0.000/90.1                               | 1.18 (0.78–1.79) | 0.092/44.9                               | 0.95 (0.57–1.61) | 0.148/36.8                               | 1.09 (0.71–1.68) | 0.006/66.5                               | 2.04 (0.89–4.70) | 0.007/66.2                               | 1.87 (0.97–3.62) | 0.038/55.1                               |
| Sensitivity analysis |               |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |
| Quality score        |               |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |
| >8                   | 7 (1036/1418) | ACT              | 0.945/0.0                                | 1.38 (0.92–2.07) | 0.26/122.0                               | 1.12 (0.86–1.47) | 0.723/0.0                                | 1.07 (0.87–1.33) | 0.486/0.0                                | 0.81 (0.23–2.92) | 0.000/90.1                               | 1.18 (0.78–1.79) | 0.092/44.9                               | 0.95 (0.57–1.61) | 0.148/36.8                               | 1.09 (0.71–1.68) | 0.006/66.5                               | 2.04 (0.89–4.70) | 0.007/66.2                               | 1.87 (0.97–3.62) | 0.038/55.1                               |
| HWE                  |               |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |                  |  |
| Yes                  | 6 (603/705)   | 0.90 (0.68–1.18) | 0.987/0.0                                | 1.38 (0.83–2.31) | 0.179/34.4                               | 1.11 (0.83–1.48) | 0.604/0.0                                | 1.05 (0.83–1.32) | 0.402/2.2                                | 0.69 (0.16–2.94) | 0.000/90.0                               | 1.12 (0.70–1.79) | 0.076/49.9                               | 0.81 (0.45–1.40) | 0.225/28.0                               | 1.00 (0.62–1.62) | 0.009/67.4                               | 1.85 (0.69–4.96) | 0.009/67.3                               | 1.79 (0.79–4.08) | 0.032/59.1                               |

Model 1 = M1 null/T1 present/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile, Model 2 = M1 present/T1 null/P1 Ile/Ile vs. M1 present/T1 null/P1 Ile/Ile, Model 3 = M1 present/T1 present/P1 Ile/Ile, Model 4 = all one high-risk genotype vs. M1 present/T1 present/P1 Ile/Ile vs. M1 null/T1 null/P1 Ile/Ile, Model 5 = M1 null/T1 null/P1 Ile/Ile, Model 6 = M1 null/T1 present/P1 Ile/Ile, Model 7 = M1 present/T1 null/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile, Model 8 = all two high-risk genotype vs. M1 present/T1 present/P1 Ile/Ile, Model 9 = M1 null/T1 null/P1 Ile/Ile, and Model 10 = M1 null/T1 null/P1 Ile/Ile vs. M1 present/T1 present/P1 Ile/Ile + all one high-risk genotype + all two high-risk genotypes.

((T1 null/P1 Ile/Ile + T1 present/P1 Val\*) vs. T1 present/P1 Ile/Ile: OR = 1.65, 95% CI = 1.05–2.59; T1 null/P1 Val\* vs. T1 present/P1 Ile/Ile: OR = 4.39, 95% CI = 2.51–7.68; all risk genotypes vs. T1 present/P1 Ile/Ile: OR = 1.91, 95% CI = 1.45–2.50; T1 null/P1 Val\* vs. (T1 present/P1 Ile/Ile + T1 null/P1 Ile/Ile + T1 Present/P1 Val\*): OR = 3.39, 95% CI = 1.94–5.94; Table 6 and Figure 7).

No significantly increased leukemia risk was observed in the three combined polymorphisms in the overall populations (Table 7; Figure 8).

Heterogeneity and sensitivity analyses

The metaregression analysis showed that race ( $p = 0.000$ ) and quality score ( $p = 0.038$ ) were sources of heterogeneity for the *GSTM1* null genotype. For *GSTP1* Ile105Val polymorphism, in Val/Val vs. Ile/Ile + Ile/Val, type of controls ( $p = 0.002$ ), matching studies ( $p = 0.023$ ), and HWE ( $p = 0.005$ ) were the heterogeneity sources. Similar results were observed in Val/Val vs. Ile/Ile + Ile/Val where type of controls ( $p = 0.001$ ), matching studies ( $p = 0.037$ ), and HWE ( $p = 0.007$ ) were the sources of heterogeneity. For the combined *GSTM1* and *GSTT1* polymorphisms, the sample size (model 1:  $p = 0.015$ ) was the source of heterogeneity (Table 8). Three methods were performed to appraise the sensitivity analysis, and all results did not change (Tables 1–7), indicating that the present study was stable.

Publication bias

Publication bias was found for the *GSTM1* null genotype ( $p = 0.003$ , Figure 9), *GSTT1* null genotype ( $p = 0.041$ , Figure 10), and *GSTP1* Ile105Val (Val/Val vs. Ile/Ile:  $p = 0.001$ , Ile/Val vs. Ile/Ile:  $p = 0.030$ , Val/Val vs. Ile/Ile + Ile/Val:  $p = 0.020$ , Val/Val + Ile/Val vs. Ile/Ile:  $p = 0.022$ , Val vs. Ile:  $p = 0.033$ , Figure 11). Then, we used nonparametric “trim and fill” to adjust publication bias, and the results did not change (data not shown).

Credibility of the positive results

The “reliable results” was defined as the positive results that met the following criteria (Theodoratou et al., 2012). First, these positive results were observed in at least two of the genetic models (exclude individual *GSTM1* and *GSTT1* polymorphisms with the risk of leukemia), second, FPRP <0.2 and BFDP <0.8, third,  $I^2 < 50\%$ , and fourth, statistical power >80%. Table 9 lists the credibility of the present meta-analysis on the individual and the composite effects of *GSTM1*, *GSTT1*, and *GSTP1* Ile105Val polymorphisms with the risk of leukemia. Only the *GSTT1* null genotype with leukemia risk in Asians was considered as “positive” results (OR = 1.30, 95% CI = 1.16–1.46,  $I^2 = 24.2\%$ , statistical power = 0.992, FPRP = 0.009, and



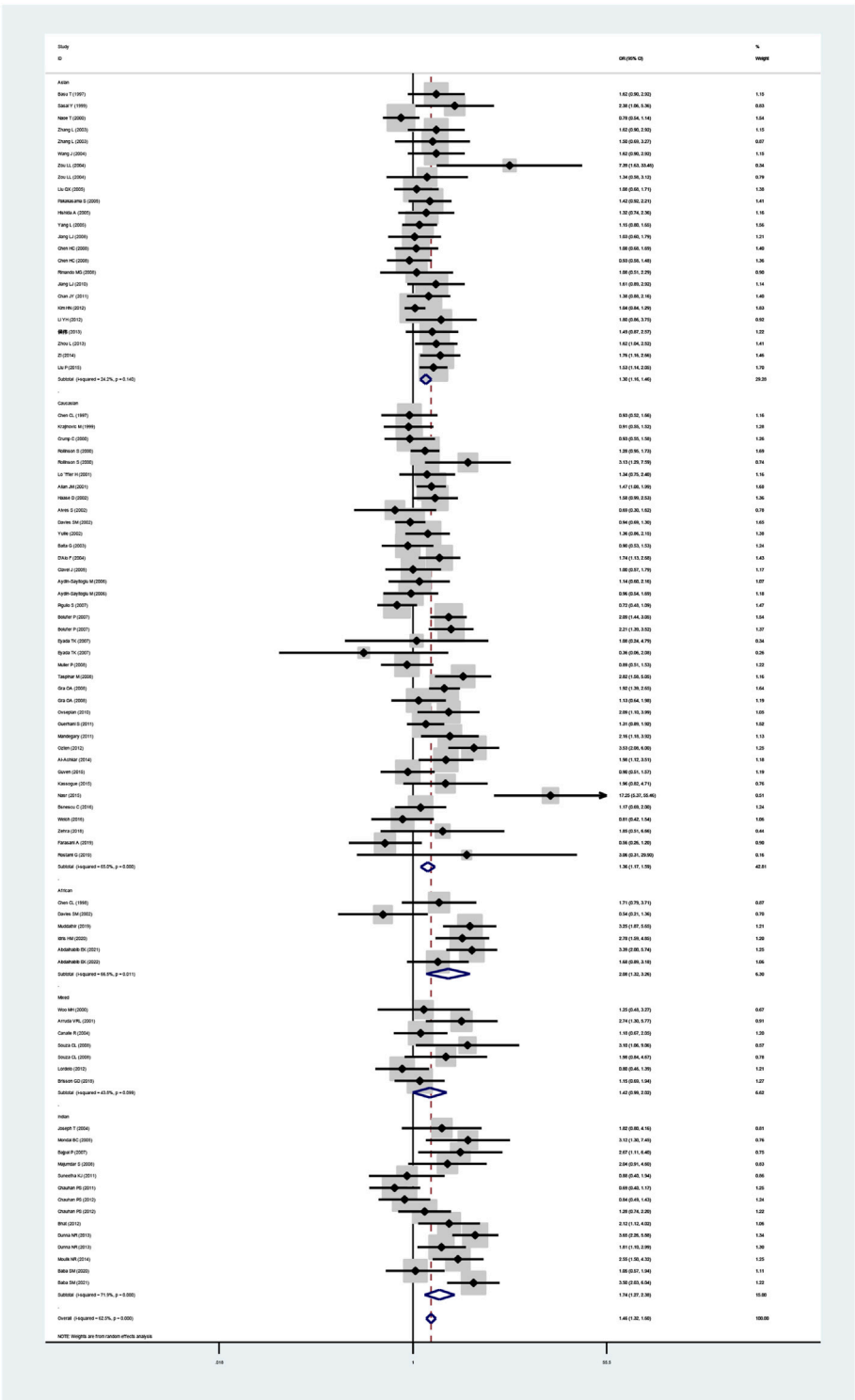
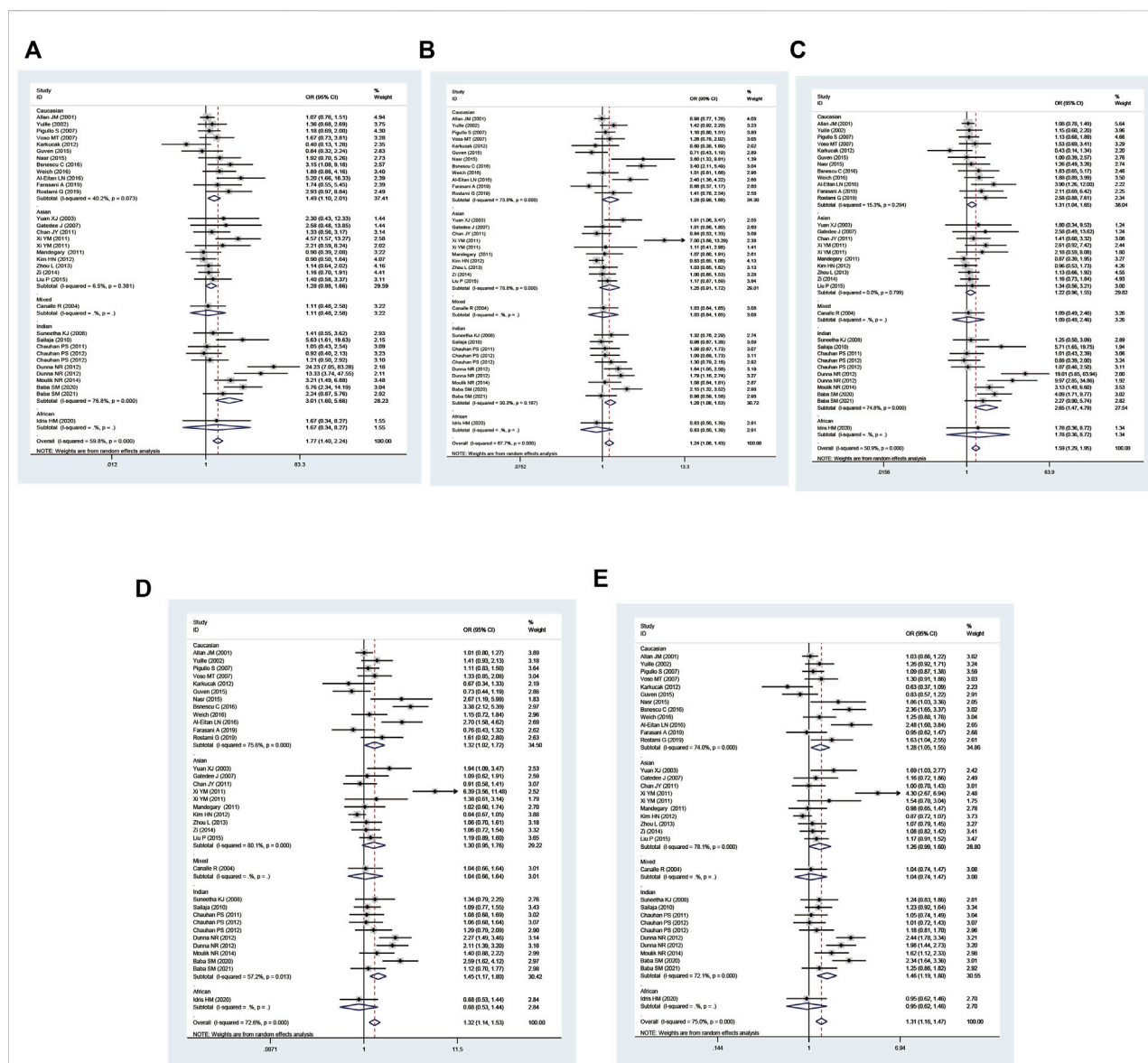


FIGURE 3 Forest plot for the association between GSTT1 polymorphism and leukemia risk in ethnicity subgroup analysis.



BFPD = 0.367). All other important connections were regarded as less-credible results, also shown in Table 9.

## Discussion

Leukemia is characterized by abnormal hematopoietic function and malignant cloning of white blood cells (Ouerhani et al., 2011). Gene polymorphisms play a significant role in the development of leukemia, and GST null has been studied by many scholars. Studies demonstrated that complete deletion of *GSTM1*, *GSTT1*, or *GSTP1*

polymorphisms brought about diminished gene expression and enzymatic activity (Strange et al., 1998; Strange et al., 2001; Hollman et al., 2016). Thus, it is significant to study the connection between GST polymorphisms and leukemia risk. Many studies have analyzed the roles of M1, T1, and P1 polymorphisms in leukemia risk. Regrettably, no reliable testimony has been obtained to show whether there is an association between them. This may be due to heterogeneities such as ethnicity, small sample size, matching, type of leukemia, etc. Therefore, an updated meta-analysis was generated to explore these issues. At this point, totally 91 articles were finally selected to provide proof



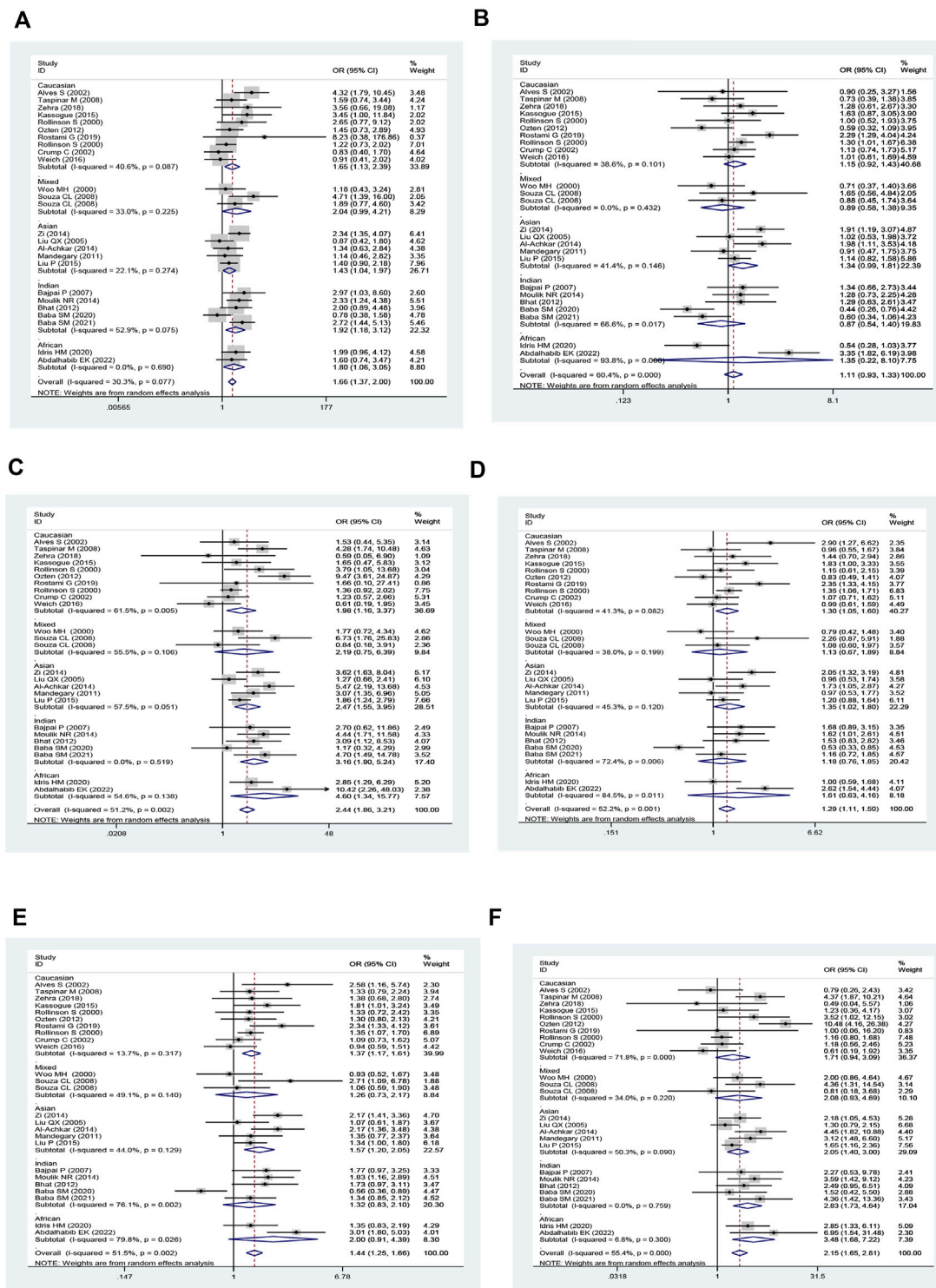
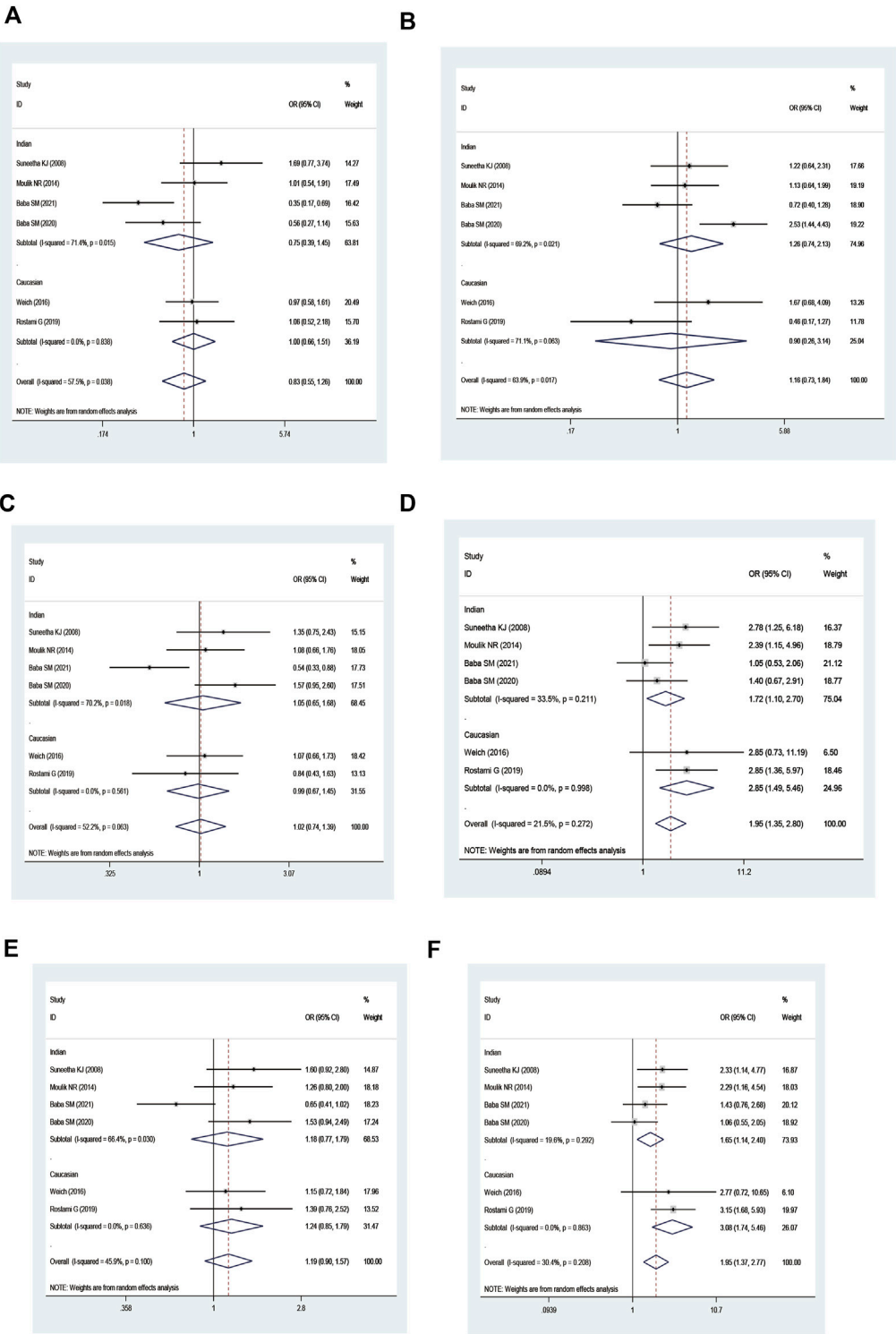
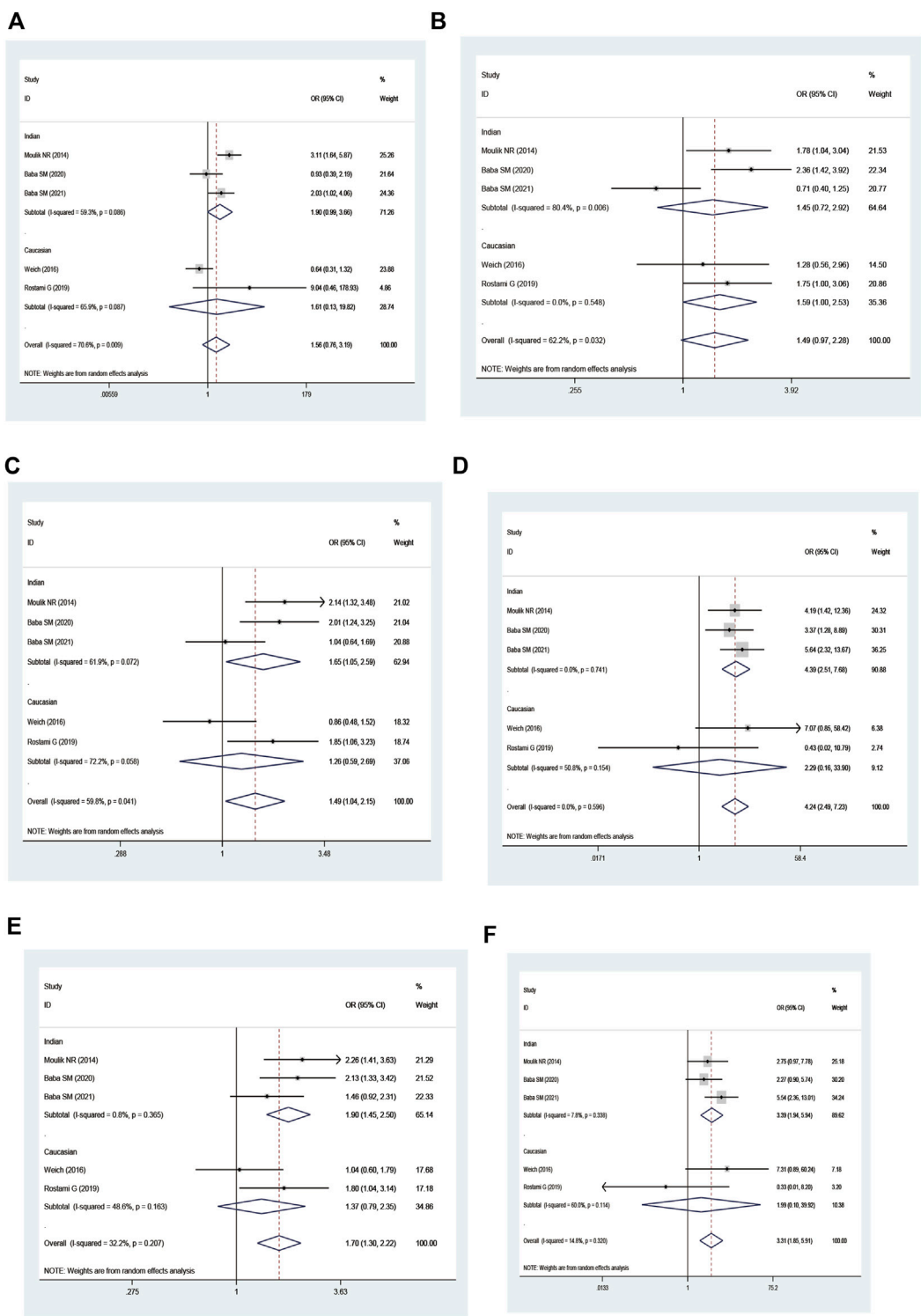


FIGURE 5

Forest plot of the association between combined effects of GSTM1 present/null and GSTT1 present/null polymorphisms and leukemia risk in ethnicity subgroup analysis [(A): Model 1; (B) Model 2; (C) Model 3; (D) Model 4; (E) Model 5; and (F) Model 6].



**FIGURE 6** Forest plot of the association between combined effects of GSTM1 present/null and GSP1 11e105Val polymorphisms and leukemia risk in ethnicity subgroup analysis [(A): Model 1; (B) Model 2; (C) Model 3; (D) Model 4; (E) Model 5; and (F) Model 6].



**FIGURE 7** Forest plot of the association between the combined effects of GSTT1 present/null and GSTP1 11e105Val polymorphisms and leukemia risk in ethnicity subgroup analysis [(A): Model 1; (B) Model 2; (C) Model 3; (D) Model 4; (E) Model 5; and (F) Model 6].

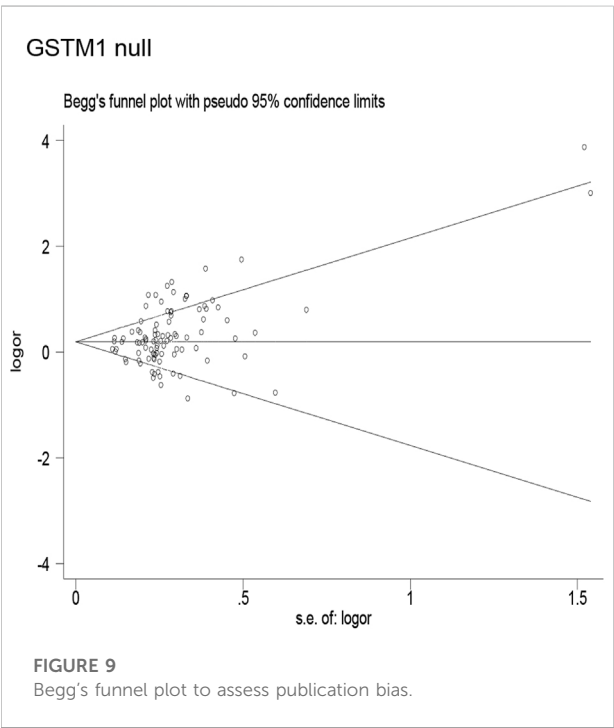


FIGURE 8

Forest plot of the association between the combined effects of GSTM1 present/null, GSTT1 present/null, and GSTP111e105Val polymorphisms and leukemia risk in the ethnicity subgroup analysis [(A): Model 1; (B) Model 2; (C) Model 3; (D) Model 4; (E) Model 5; (F) Model 6; (G) Model 7; (H) Model 8; (I) Model 9; and (J) Model 10].

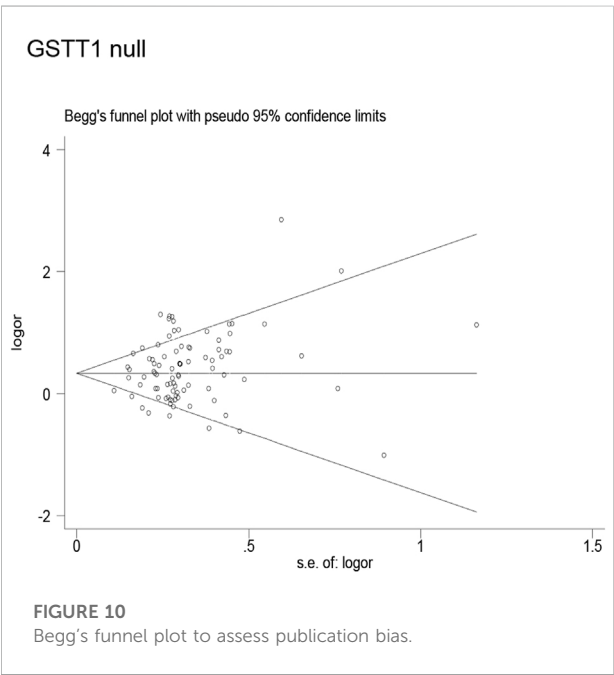
TABLE 8 Heterogeneity analysis in current meta-analysis.

| Variables   | Type of leukemia | Age group | Ethnicity | Sample size | Type of control | Matching | HWE   | Quality score |
|---|------------------|-----------|-----------|-------------|-----------------|----------|-------|---------------|
| <b>P</b>  |                  |           |           |             |                 |          |       |               |
| Genotype  |                  |           |           |             |                 |          |       |               |
| GSTM1   | 0.342            | 0.957     | 0.000     | 0.137       | 0.777           | 0.137    | —     | 0.038         |
| GSTT1   | 0.075            | 0.781     | 0.974     | 0.111       | 0.913           | 0.052    | —     | 0.930         |
| GSTP1 Ile105Val                                       |                  |           |           |             |                 |          |       |               |
| Val/Val vs. Ile/Ile                                   | 0.144            | 0.546     | 0.074     | 0.134       | 0.002           | 0.023    | 0.005 | 0.617         |
| Ile/Val vs. Ile/Ile                                   | 0.385            | 0.450     | 0.767     | 0.892       | 0.445           | 0.190    | 0.280 | 0.714         |
| Val/Val vs. Ile/Ile + Ile/Val                         | 0.185            | 0.648     | 0.081     | 0.100       | 0.001           | 0.037    | 0.007 | 0.642         |
| Val/Val+ Ile/Val vs. Ile/Ile                          | 0.341            | 0.525     | 0.575     | 0.706       | 0.244           | 0.098    | 0.142 | 0.829         |
| Val vs. Ile   | 0.328            | 0.616     | 0.463     | 0.528       | 0.106           | 0.064    | 0.073 | 0.878         |
| The combined effects of GSTM1 and GSTT1 polymorphisms |                  |           |           |             |                 |          |       |               |
| Model 1   | 0.648            | 0.067     | 0.432     | 0.015       | 0.622           | 0.212    | —     | 0.478         |
| Model 2   | 0.349            | 0.281     | 0.071     | 0.537       | 0.234           | 0.532    | —     | 0.886         |
| Model 3   | 0.702            | 0.917     | 0.792     | 0.686       | 0.739           | 0.714    | —     | 0.699         |
| Model 4   | 0.341            | 0.979     | 0.215     | 0.161       | 0.721           | 0.987    | —     | 0.753         |
| Model 5   | 0.402            | 0.939     | 0.124     | 0.268       | 0.850           | 0.974    | —     | 0.644         |
| Model 6   | 0.882            | 0.801     | 0.956     | 0.361       | 0.627           | 0.667    | —     | 0.796         |



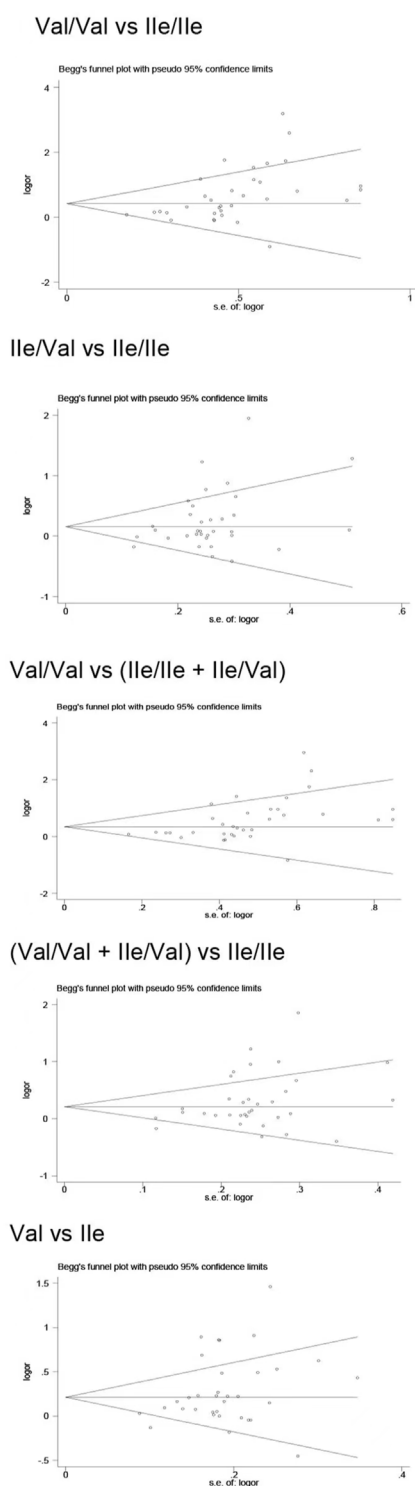
for the association between GST polymorphisms and leukemia risk.

Overall, the present study showed that the *GSTM1*, *GSTT1*, and *GSTP1* polymorphisms significantly added the risk of leukemia in



the overall and several subgroups. Moreover, with the combined *GSTM1* and *GSTT1*, *GSTM1* and *GSTP1*, and *GSTT1* and *GSTP1* polymorphisms, there were six gene models to explore the association with leukemia risk, and positive results were observed in partial gene models. However, there was no significant contact between the composite effects of these three polymorphisms with





**FIGURE 11**  
Begg's funnel plot to assess publication bias.

leukemia in overall analysis. Furthermore, in sensitivity analysis, when selecting Hardy–Weinberg equilibrium (HWE) and medium and high-quality studies, we had come to a similar conclusion.

Finally, in view of the quantities of genomic data being produced currently, we used a more exact Bayesian measure of false-positive found in genetic epidemiological studies in the present study. Using FPRP and BFDP to correct the positive results, in all of these positive results we found previously, only the association between *GSTT1* null and leukemia risk was watched in ethnicity (BFDP = 0.367, FPRP = 0.009). Our results indicated that the false-positive associations were common between SNP and disease risk. Moreover, these results further confirmed that the occurrence of leukemia was the result of multiple genes.

Thirteen previous meta-analyses analyzed the links between *GSTM1*, *GSTT1*, and *GSTP1* polymorphisms and the risk of leukemia. Tang et al. (2014), Ye and Song (2005), Wang et al. (2019), Zhang et al. (2017), Das et al. (2009), and He et al. (2014) discussed the association between *GSTM1* and *GSTT1* null genotypes and the risk of leukemia, and their results suggested that there was a significant association between *GSTM1* and *GSTT1* polymorphisms and leukemia risk. The studies of Ma et al. (2014) and Tang et al. (2013) showed that *GSTM1* null genotypes increased the risk of acute leukemia. The results of Moulik et al. (2014) demonstrated that there was a significant connection between *GSTP1* polymorphism with the risk of leukemia; however, Huang et al. (2013) discussed the association between *GSTP1* polymorphism and the risk of leukemia, and the results showed that there was no significant connection. The number of studies and sample sizes in the current study were larger than the published meta-analyses. When comparing to the present meta-analysis, previous studies had several defects. First, none of the previous studies performed quality assessments. Second, HWE was not reported in any published meta-analysis. Third, all previous meta-analyses did not adjust the positive results for multiple comparisons, and only five previous meta-analyses (Ye and Song, 2005; Huang et al., 2013; Tang et al., 2013; Tang et al., 2014; Zhang et al., 2017) conducted subgroup analysis. Fourth, there were no published meta-analyses that performed sensitivity analysis. Moreover, previous meta-analyses had a small sample size; most eligible studies were not assessed for quality assessment; and the reliability of positive results was not evaluated using FPRP, BFDP, and Venice criteria. In addition, they failed to establish a more complete genetic model. Thus, their meta-analyses might have lower credibility.

The current meta-analysis had some advantages over previously published meta-analyses. 1) We explored the credibility by applying the Venice criteria, FPRP, and BFDP. 2) The qualified studies were evaluated for quality. 3) The sample size was larger and the data collected were more detailed over the previous meta-analyses. 4) We conducted more subunit analyses, such as ethnicity, age group, type of control, matching or not, type of leukemia, quality score, and HWE. 5) We established a more complete genetic model. 6) Our study is the first one to explore the combined effects of *GSTM1*, *GSTT1*, and *GSTP1* polymorphisms with leukemia risk. Nonetheless, there are still some potential limitations for this current study. First, in this study, we only studied published research studies, and as we all know, the positive results are more likely to be published than the

TABLE 9 Credibility of the current meta-analysis.

| Variables            | Model           | OR<br>(95%CI)    | I2 (%) | Statistical power | Credibility                   |        |
|----------------------|-----------------|------------------|--------|-------------------|-------------------------------|--------|
|                      |                 |                  |        |                   | Prior probability<br>of 0.001 |        |
|                      |                 |                  |        |                   | FPRP                          | BFDP   |
| GSTM1                |                 |                  |        |                   |                               |        |
| Overall              | Null vs present | 1.28 (1.17–1.40) | 68.3   | 1.000             | <0.001                        | 0.006  |
| Asian                | Null vs present | 1.50 (1.29–1.73) | 51.2   | 0.500             | <0.001                        | 0.002  |
| Caucasian            | Null vs present | 1.17 (1.07–1.28) | 46.0   | 1.000             | 0.381                         | 0.973  |
| African              | Null vs present | 1.99 (1.30–3.94) | 69.0   | 0.209             | 0.996                         | 0.998  |
| Adults               | Null vs present | 1.26 (1.11–1.43) | 65.6   | 0.997             | 0.257                         | 0.940  |
| Children             | Null vs present | 1.42 (1.23–1.64) | 64.4   | 0.772             | 0.002                         | 0.096  |
| HC                   | Null vs present | 1.29 (1.15–1.44) | 66.6   | 0.996             | 0.006                         | 0.273  |
| NBDC                 | Null vs present | 1.29 (1.13–1.48) | 71.9   | 0.984             | 0.222                         | 0.924  |
| Matching             | Null vs present | 1.36 (1.12–1.65) | 77.7   | 0.840             | 0.684                         | 0.981  |
| Nonmatching          | Null vs present | 1.25 (1.14–1.38) | 63.7   | 1.000             | 0.010                         | 0.408  |
| AML                  | Null vs present | 1.20 (1.04–1.38) | 71.1   | 0.999             | 0.914                         | 0.997  |
| ALL                  | Null vs present | 1.44 (1.25–1.65) | 66.8   | 0.722             | <0.001                        | 0.010  |
| Sensitivity analysis |                 |                  |        |                   |                               |        |
| Quality score ≥10    |                 |                  |        |                   |                               |        |
| Overall              | Null vs present | 1.16 (1.05–1.27) | 62.2   | 1.000             | 0.569                         | 0.986  |
| Asian                | Null vs present | 1.17 (1.05–1.31) | 0.0    | 1.000             | 0.866                         | 0.996  |
| Caucasian            | Null vs present | 1.17 (1.06–1.30) | 45.5   | 1.000             | 0.777                         | 0.993  |
| African              | Null vs present | 2.01 (1.23–3.30) | 75.1   | 0.124             | 0.979                         | 0.990  |
| Adults               | Null vs present | 1.31 (1.15–1.50) | 63.2   | 0.975             | 0.087                         | 0.816  |
| Children             | Null vs present | 1.21 (1.06–1.39) | 27.0   | 0.999             | 0.876                         | 0.996  |
| HC                   | Null vs present | 1.21 (1.05–1.39) | 69.4   | 0.999             | 0.876                         | 0.996  |
| Matching             | Null vs present | 1.26 (1.05–1.52) | 73.5   | 0.966             | 0.942                         | 0.997  |
| Nonmatching          | Null vs present | 1.13 (1.02–1.27) | 57.7   | 1.000             | 0.976                         | 0.999  |
| ALL                  | Null vs present | 1.22 (1.01–1.46) | 63.7   | 0.988             | 0.968                         | 0.998  |
| GSTT1                |                 |                  |        |                   |                               |        |
| Overall              | Null vs present | 1.46 (1.32–1.60) | 62.5   | 0.710             | <0.001                        | <0.001 |
| Indian               | Null vs present | 1.74 (1.27–2.38) | 71.9   | 0.177             | 0.749                         | 0.934  |
| Asian                | Null vs present | 1.30 (1.16–1.46) | 24.2   | 0.992             | 0.009                         | 0.367  |
| Caucasian            | Null vs present | 1.37 (1.17–1.59) | 65.0   | 0.884             | 0.037                         | 0.619  |
| African              | Null vs present | 2.08 (1.32–3.26) | 66.5   | 0.720             | 0.999                         | 0.971  |
| Adults               | Null vs present | 1.55 (1.32–1.82) | 69.6   | 0.344             | <0.001                        | 0.006  |
| Children             | Null vs present | 1.24 (1.09–1.43) | 37.2   | 0.996             | 0.754                         | 0.991  |
| Adults and Children  | Null vs present | 1.59 (1.27–1.99) | 67.1   | 0.305             | 0.143                         | 0.655  |
| HC                   | Null vs present | 1.45 (1.28–1.66) | 63.7   | 0.688             | <0.001                        | 0.005  |
| NBDC                 | Null vs present | 1.46 (1.26–1.69) | 62.7   | 0.641             | 0.001                         | 0.024  |
| Matching             | Null vs present | 1.80 (1.44–2.24) | 63.7   | 0.051             | 0.003                         | 0.008  |
| Nonmatching          | Null vs present | 1.35 (1.22–1.49) | 51.7   | 0.982             | <0.001                        | <0.001 |
| AML                  | Null vs present | 1.41 (1.19–1.66) | 67.7   | 0.771             | 0.046                         | 0.622  |
| ALL                  | Null vs present | 1.33 (1.16–1.53) | 53.0   | 0.954             | 0.065                         | 0.758  |
| CML                  | Null vs present | 1.88 (1.47–2.41) | 64.5   | 0.037             | 0.017                         | 0.033  |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables            | Model                         | OR<br>(95%CI)    | I2 (%) | Statistical power | Credibility                   |        |
|----------------------|-------------------------------|------------------|--------|-------------------|-------------------------------|--------|
|                      |                               |                  |        |                   | Prior probability<br>of 0.001 |        |
|                      |                               |                  |        |                   | FPRP                          | BFDP   |
| Sensitivity analysis |                               |                  |        |                   |                               |        |
| Quality score ≥10    |                               |                  |        |                   |                               |        |
| Overall              | Null vs present               | 1.52 (1.34–1.72) | 66.9   | 0.417             | <0.001                        | <0.001 |
| Indian               | Null vs present               | 1.53 (1.08–2.17) | 69.6   | 0.456             | 0.974                         | 0.996  |
| Asian                | Null vs present               | 1.15 (1.01–1.31) | 21.5   | 1.000             | 0.973                         | 0.999  |
| Caucasian            | Null vs present               | 1.64 (1.37–1.96) | 64.5   | 0.163             | <0.001                        | 0.003  |
| African              | Null vs present               | 2.12 (1.26–3.58) | 71.9   | 0.098             | 0.981                         | 0.989  |
| Adults               | Null vs present               | 1.58 (1.33–1.89) | 71.3   | 0.285             | 0.002                         | 0.030  |
| Adults and Children  | Null vs present               | 1.45 (1.14–1.83) | 61.5   | 0.612             | 0.741                         | 0.978  |
| HC                   | Null vs present               | 1.56 (1.31–1.86) | 71.0   | 0.331             | 0.002                         | 0.038  |
| NBDC                 | Null vs present               | 1.45 (1.23–1.72) | 56.7   | 0.651             | 0.030                         | 0.475  |
| Matching             | Null vs present               | 1.73 (1.37–2.17) | 73.6   | 0.109             | 0.019                         | 0.093  |
| Nonmatching          | Null vs present               | 1.41 (1.23–1.62) | 59.2   | 0.809             | 0.002                         | 0.069  |
| AML                  | Null vs present               | 1.35 (1.12–1.63) | 68.3   | 0.863             | 0.676                         | 0.981  |
| ALL                  | Null vs present               | 1.49 (1.19–1.88) | 64.2   | 0.522             | 0.597                         | 0.956  |
| CML                  | Null vs present               | 1.93 (1.44–2.59) | 64.9   | 0.047             | 0.202                         | 0.332  |
| GSTP1                |                               |                  |        |                   |                               |        |
| Overall              | Val/Val vs. lle/lle           | 1.77 (1.40–2.24) | 59.8   | 0.084             | 0.023                         | 0.089  |
|                      | lle/Val vs. lle/lle           | 1.24 (1.08–1.43) | 67.7   | 0.996             | 0.757                         | 0.991  |
|                      | Val/Val vs. lle/lle + lle/Val | 1.59 (1.29–1.95) | 50.9   | 0.288             | 0.028                         | 0.273  |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.32 (1.15–1.53) | 72.6   | 0.955             | 0.193                         | 0.905  |
|                      | Val vs lle                    | 1.31 (1.16–1.47) | 75.0   | 0.989             | 0.004                         | 0.220  |
| Indian               | Val/Val vs. lle/lle           | 3.01 (1.60–5.66) | 76.8   | 0.015             | 0.976                         | 0.961  |
|                      | lle/Val vs. lle/lle           | 1.28 (1.08–1.53) | 30.3   | 0.959             | 0.874                         | 0.994  |
|                      | Val/Val vs. lle/lle +lle/Val  | 2.65 (1.47–4.79) | 74.8   | 0.030             | 0.977                         | 0.974  |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.45 (1.17–1.80) | 57.2   | 0.621             | 0.549                         | 0.957  |
|                      | Val vs lle                    | 1.47 (1.19–1.80) | 72.1   | 0.578             | 0.250                         | 0.869  |
| Caucasian            | Val/Val vs. lle/lle           | 1.49 (1.10–2.01) | 40.2   | 0.517             | 0.946                         | 0.994  |
|                      | Val/Val vs. lle/lle +lle/Val  | 1.31 (1.04–1.65) | 15.3   | 0.875             | 0.961                         | 0.997  |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.32 (1.02–1.72) | 75.6   | 0.828             | 0.980                         | 0.998  |
|                      | Val vs lle                    | 1.28 (1.05–1.55) | 74.0   | 0.948             | 0.924                         | 0.996  |
| Adults               | Val/Val vs. lle/lle           | 1.39 (1.06–1.82) | 34.1   | 0.710             | 0.959                         | 0.996  |
|                      | Val/Val vs.lle/lle + lle/Val  | 1.27 (1.01–1.61) | 20.2   | 0.915             | 0.981                         | 0.999  |
|                      | Val vs lle                    | 1.18 (1.02–1.37) | 64.6   | 0.999             | 0.968                         | 0.999  |
| Children             | Val/Val vs. lle/lle           | 1.68 (1.10–2.58) | 39.6   | 0.302             | 0.983                         | 0.996  |
|                      | Val/Val vs.lle/lle + lle/Val  | 1.60 (1.11–2.32) | 25.8   | 0.367             | 0.973                         | 0.995  |
| Adults and Children  | Val/Val vs. lle/lle           | 3.25 (1.61–6.53) | 76.8   | 0.015             | 0.984                         | 0.974  |
|                      | lle/Val vs. lle/lle           | 1.64 (1.16–2.31) | 73.7   | 0.305             | 0.938                         | 0.989  |
|                      | Val/Val vs. lle/lle +lle/Val  | 2.65 (1.41–5.02) | 72.9   | 0.040             | 0.986                         | 0.986  |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.82 (1.29–2.57) | 77.3   | 0.136             | 0.831                         | 0.945  |
|                      | Val vs lle                    | 1.72 (1.29–2.30) | 80.4   | 0.176             | 0.588                         | 0.883  |
| HC                   | Val/Val vs. lle/lle           | 2.38 (1.66–3.41) | 61.2   | 0.006             | 0.278                         | 0.118  |
|                      | lle/Val vs. lle/lle           | 1.27 (1.05–1.54) | 65.2   | 0.955             | 0.940                         | 0.997  |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables            | Model                         | OR<br>(95%CI)    | I <sup>2</sup> (%) | Statistical power | Credibility                   |       |
|----------------------|-------------------------------|------------------|--------------------|-------------------|-------------------------------|-------|
|                      |                               |                  |                    |                   | Prior probability<br>of 0.001 |       |
|                      |                               |                  |                    |                   | FPRP                          | BFDP  |
| Nonmatching          | Val/Val vs. lle/lle + lle/Val | 2.12 (1.53–2.94) | 55.3               | 0.019             | 0.259                         | 0.239 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.39 (1.15–1.69) | 69.6               | 0.778             | 0.552                         | 0.967 |
|                      | Val vs lle                    | 1.40 (1.19–1.63) | 71.5               | 0.813             | 0.018                         | 0.419 |
|                      | Val/Val vs. lle/lle           | 1.37 (1.07–1.76) | 23.1               | 0.761             | 0.948                         | 0.996 |
|                      | Val/Val vs.lle/lle + lle/Val  | 1.30 (1.03–1.64) | 19.2               | 0.886             | 0.968                         | 0.998 |
|                      | Val vs lle                    | 1.13 (1.03–1.24) | 6.1                | 1.000             | 0.908                         | 0.998 |
| Nonmatching          | Val/Val vs. lle/lle           | 2.13 (1.49–3.06) | 69.0               | 0.029             | 0.598                         | 0.628 |
|                      | lle/Val vs. lle/lle           | 1.36 (1.08–1.71) | 78.4               | 0.799             | 0.914                         | 0.994 |
|                      | Val/Val vs. lle/lle + lle/Val | 1.86 (1.36–2.54) | 60.6               | 0.088             | 0.518                         | 0.760 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.47 (1.17–1.86) | 81.7               | 0.567             | 0.701                         | 0.972 |
| AML                  | Val vs lle                    | 1.44 (1.19–1.74) | 82.8               | 0.664             | 0.193                         | 0.852 |
|                      | Val/Val vs. lle/lle           | 1.57 (1.10–2.24) | 66.4               | 0.401             | 0.970                         | 0.995 |
|                      | lle/Val vs. lle/lle           | 1.37 (1.02–1.84) | 83.1               | 0.727             | 0.980                         | 0.998 |
|                      | Val/Val vs. lle/lle + lle/Val | 1.37 (1.01–1.84) | 55.1               | 0.727             | 0.980                         | 0.998 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.42 (1.06–1.89) | 84.8               | 0.646             | 0.962                         | 0.996 |
|                      | Val vs lle                    | 1.34 (1.07–1.68) | 85.1               | 0.836             | 0.930                         | 0.996 |
| ALL                  | Val/Val vs. lle/lle           | 1.90 (1.28–2.81) | 52.1               | 0.118             | 0.917                         | 0.968 |
|                      | Val/Val vs. lle/lle +lle/Val  | 1.77 (1.25–2.53) | 44.0               | 0.182             | 0.905                         | 0.974 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.26 (1.03–1.53) | 51.4               | 0.961             | 0.953                         | 0.998 |
|                      | Val vs lle                    | 1.29 (1.08–1.53) | 61.0               | 0.958             | 0.782                         | 0.990 |
| CML                  | Val/Val vs. lle/lle           | 1.29 (1.08–1.53) | 67.3               | 0.958             | 0.782                         | 0.990 |
|                      | Val/Val vs.lle/lle + lle/Val  | 2.13 (1.08–4.24) | 60.1               | 0.159             | 0.995                         | 0.997 |
| Sensitivity analysis |                               |                  |                    |                   |                               |       |
| HWE                  |                               |                  |                    |                   |                               |       |
| Overall              | Val/Val vs. lle/lle           | 1.58 (1.27–1.95) | 26.3               | 0.314             | 0.061                         | 0.455 |
|                      | lle/Val vs. lle/lle           | 1.18 (1.02–1.37) | 59.0               | 0.999             | 0.968                         | 0.999 |
|                      | Val/Val vs. lle/lle +lle/Val  | 1.45 (1.21–1.74) | 7.3                | 0.642             | 0.092                         | 0.722 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.25 (1.07–1.45) | 64.1               | 0.992             | 0.764                         | 0.991 |
|                      | Val vs lle                    | 1.24 (1.10–1.40) | 64.4               | 0.999             | 0.339                         | 0.959 |
| Indian               | Val/Val vs. lle/lle           | 1.83 (1.11–3.03) | 56.3               | 0.220             | 0.988                         | 0.996 |
|                      | lle/Val vs. lle/lle           | 1.24 (1.01–1.51) | 12.7               | 0.971             | 0.971                         | 0.998 |
|                      | Val/Val vs. lle/lle + lle/Val | 1.67 (1.05–2.64) | 51.3               | 0.323             | 0.989                         | 0.997 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.34 (1.06–1.69) | 42.1               | 0.830             | 0.942                         | 0.996 |
|                      | Val vs lle                    | 1.33 (1.06–1.66) | 61.2               | 0.856             | 0.932                         | 0.996 |
| Caucasian            | Val/Val vs. lle/lle           | 1.70 (1.23–2.34) | 21.0               | 0.221             | 0.837                         | 0.964 |
|                      | Val/Val vs. lle/lle +lle/Val  | 1.50 (1.14–1.95) | 0.0                | 0.500             | 0.831                         | 0.982 |
|                      | Val/Val+lle/Val vs. lle/lle   | 1.39 (1.01–1.90) | 76.4               | 0.684             | 0.983                         | 0.998 |
|                      | Val vs lle                    | 1.36 (1.08–1.70) | 72.8               | 0.805             | 0.896                         | 0.993 |
| Adults               | Val/Val vs. lle/lle           | 1.39 (1.07–1.81) | 0.0                | 0.714             | 0.953                         | 0.996 |
|                      | Val/Val vs.lle/lle + lle/Val  | 1.31 (1.02–1.69) | 0.0                | 0.851             | 0.978                         | 0.998 |
|                      | Val vs lle                    | 1.22 (1.01–1.46) | 67.7               | 0.988             | 0.968                         | 0.998 |
| Children             | Val/Val vs. lle/lle           | 1.68 (1.10–2.58) | 39.6               | 0.302             | 0.983                         | 0.996 |
|                      | Val/Val vs.lle/lle + lle/Val  | 1.60 (1.11–2.32) | 25.8               | 0.367             | 0.973                         | 0.995 |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables                 | Model                         | OR<br>(95%CI)    | I <sup>2</sup> (%) | Statistical power | Credibility                   |       |
|---------------------------|-------------------------------|------------------|--------------------|-------------------|-------------------------------|-------|
|                           |                               |                  |                    |                   | Prior probability<br>of 0.001 |       |
|                           |                               |                  |                    |                   | FPRP                          | BFDP  |
| Adults and Children<br>HC | Val/Val+lle/Val vs. lle/lle   | 1.39 (1.01–1.92) | 57.0               | 0.678             | 0.985                         | 0.998 |
|                           | Val/Val vs. lle/lle           | 1.86 (1.38–2.50) | 34.2               | 0.077             | 0.336                         | 0.591 |
|                           | Val/Val vs. lle/lle +lle/Val  | 1.71 (1.33–2.21) | 16.0               | 0.158             | 0.207                         | 0.603 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.31 (1.05–1.62) | 68.9               | 0.894             | 0.934                         | 0.996 |
|                           | Val vs lle                    | 1.31 (1.11–1.55) | 68.1               | 0.943             | 0.637                         | 0.981 |
| Matching                  | Val/Val vs. lle/lle           | 1.51 (1.11–2.05) | 0.0                | 0.483             | 0.945                         | 0.993 |
|                           | Val/Val vs. lle/lle + lle/Val | 1.43 (1.07–1.93) | 0.0                | 0.623             | 0.969                         | 0.997 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.17 (1.01–1.35) | 0.0                | 1.000             | 0.969                         | 0.999 |
|                           | Val vs lle                    | 1.18 (1.05–1.32) | 0.0                | 1.000             | 0.792                         | 0.994 |
| Non matching              | Val/Val vs. lle/lle           | 1.66 (1.21–2.27) | 47.1               | 0.263             | 0.851                         | 0.972 |
|                           | Val/Val vs. lle/lle + lle/Val | 1.50 (1.15–1.94) | 28.8               | 0.500             | 0.800                         | 0.979 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.31 (1.03–1.66) | 76.9               | 0.869             | 0.967                         | 0.998 |
|                           | Val vs lle                    | 1.29 (1.07–1.55) | 76.6               | 0.946             | 0.874                         | 0.994 |
| ALL                       | Val/Val vs. lle/lle           | 1.60 (1.15–2.22) | 26.8               | 0.350             | 0.933                         | 0.989 |
|                           | Val/Val vs.lle/lle + lle/Val  | 1.53 (1.14–2.06) | 17.8               | 0.448             | 0.919                         | 0.990 |
|                           | Val vs lle                    | 1.21 (1.03–1.43) | 52.6               | 0.994             | 0.962                         | 0.998 |
| Quality score≥12          |                               |                  |                    |                   |                               |       |
| Overall                   | Val/Val vs. lle/lle           | 1.62 (1.25–2.11) | 45.7               | 0.284             | 0.549                         | 0.910 |
|                           | Val/Val vs. lle/lle + lle/Val | 1.49 (1.18–1.89) | 35.6               | 0.522             | 0.660                         | 0.964 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.23 (1.05–1.44) | 64.0               | 0.993             | 0.910                         | 0.996 |
|                           | Val vs lle                    | 1.23 (1.09–1.40) | 65.5               | 0.999             | 0.633                         | 0.985 |
| Caucasian                 | Val/Val vs. lle/lle           | 1.54 (1.09–2.17) | 28.7               | 0.440             | 0.969                         | 0.995 |
|                           | Val/Val vs. lle/lle +lle/Val  | 1.28 (1.01–1.64) | 0.0                | 0.895             | 0.983                         | 0.999 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.48 (1.04–2.10) | 77.2               | 0.530             | 0.981                         | 0.997 |
|                           | Val vs lle                    | 1.38 (1.08–1.77) | 73.1               | 0.744             | 0.938                         | 0.995 |
| Indian                    | Val/Val vs. lle/lle           | 2.15 (1.22–3.76) | 62.6               | 0.103             | 0.986                         | 0.992 |
|                           | Val/Val vs. lle/lle + lle/Val | 1.97 (1.16–3.35) | 60.3               | 0.157             | 0.987                         | 0.995 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.29 (1.03–1.63) | 47.1               | 0.897             | 0.973                         | 0.998 |
|                           | Val vs lle                    | 1.32 (1.07–1.64) | 61.6               | 0.876             | 0.933                         | 0.996 |
| Adults                    | Val/Val vs. lle/lle           | 1.42 (1.07–1.88) | 33.1               | 0.649             | 0.957                         | 0.996 |
|                           | Val/Val vs. lle/lle + lle/Val | 1.29 (1.02–1.64) | 17.3               | 0.891             | 0.977                         | 0.998 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.24 (1.01–1.51) | 68.9               | 0.971             | 0.971                         | 0.998 |
|                           | Val vs lle                    | 1.21 (1.04–1.41) | 65.5               | 0.997             | 0.936                         | 0.997 |
| HC                        | Val/Val vs. lle/lle           | 1.94 (1.37–2.76) | 44.2               | 0.076             | 0.750                         | 0.874 |
|                           | Val/Val vs. lle/lle +lle/Val  | 1.77 (1.29–2.44) | 36.9               | 0.156             | 0.758                         | 0.930 |
|                           | Val/Val+lle/Val vs. lle/lle   | 1.30 (1.05–1.62) | 64.8               | 0.899             | 0.956                         | 0.997 |
|                           | Val vs lle                    | 1.31 (1.10–1.55) | 64.0               | 0.943             | 0.637                         | 0.981 |
| Matching                  | Val/Val vs. lle/lle           | 1.45 (1.11–1.90) | 16.9               | 0.597             | 0.922                         | 0.993 |
|                           | Val/Val vs.lle/lle + lle/Val  | 1.41 (1.08–1.83) | 18.9               | 0.679             | 0.935                         | 0.995 |
|                           | Val vs lle                    | 1.14 (1.04–1.25) | 0.0                | 1.000             | 0.841                         | 0.996 |
| Non matching              | Val/Val vs. lle/lle           | 1.81 (1.07–3.06) | 67.1               | 0.242             | 0.991                         | 0.997 |
|                           | Val/Val vs.lle/lle + lle/Val  | 1.58 (1.02–2.46) | 55.9               | 0.409             | 0.991                         | 0.998 |
| CML                       | Val/Val vs. lle/lle           | 3.17 (1.89–5.32) | 16.7               | 0.002             | 0.845                         | 0.489 |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables                | Model   | OR<br>(95%CI)    | I <sup>2</sup> (%) | Statistical power | Credibility                   |        |
|--------------------------|---|------------------|--------------------|-------------------|-------------------------------|--------|
|                          |   |                  |                    |                   | Prior probability<br>of 0.001 |        |
|                          |   |                  |                    |                   | FPRP                          | BFDP   |
|                          | Val/Val vs.lle/lle + lle/Val                          | 2.80 (1.79–4.39) | 0.0                | 0.003             | 0.688                         | 0.322  |
|                          | Val vs lle  | 1.41 (1.05–1.89) | 68.3               | 0.661             | 0.970                         | 0.997  |
| HWE and Quality score≥12 |   |                  |                    |                   |                               |        |
| Overall                  | Val/Val vs. lle/lle                                   | 1.63 (1.24–2.13) | 35.2               | 0.271             | 0.559                         | 0.909  |
|                          | Val/Val vs. lle/lle +lle/Val                          | 1.49 (1.18–1.88) | 20.2               | 0.522             | 0.597                         | 0.956  |
|                          | Val/Val+lle/Val vs. lle/lle                           | 1.27 (1.06–1.53) | 66.8               | 0.960             | 0.925                         | 0.996  |
|                          | Val vs lle  | 1.26 (1.08–1.46) | 67.7               | 0.990             | 0.680                         | 0.986  |
| Indian                   | Val/Val vs. lle/lle                                   | 1.91 (1.07–3.40) | 62.6               | 0.206             | 0.993                         | 0.997  |
|                          | Val/Val vs. lle/lle + lle/Val                         | 1.74 (1.03–2.96) | 57.8               | 0.292             | 0.993                         | 0.998  |
|                          | Val/Val+lle/Val vs. lle/lle                           | 1.34 (1.02–1.76) | 51.7               | 0.791             | 0.978                         | 0.998  |
|                          | Val vs lle  | 1.34 (1.04–1.74) | 67.4               | 0.801             | 0.972                         | 0.998  |
| Caucasian                | Val/Val vs. lle/lle                                   | 1.87 (1.28–2.74) | 0.0                | 0.129             | 0.911                         | 0.968  |
|                          | lle/Val vs. lle/lle                                   | 1.55 (1.02–2.34) | 71.9               | 0.438             | 0.988                         | 0.998  |
|                          | Val/Val vs. lle/lle +lle/Val                          | 1.59 (1.11–2.30) | 0.0                | 0.379             | 0.973                         | 0.995  |
|                          | Val/Val+lle/Val vs. lle/lle                           | 1.63 (1.12–2.37) | 68.7               | 0.332             | 0.969                         | 0.994  |
| Adults                   | Val vs lle  | 1.50 (1.17–1.91) | 56.4               | 0.500             | 0.668                         | 0.964  |
|                          | Val/Val vs. lle/lle                                   | 1.38 (1.05–1.82) | 3.2                | 0.723             | 0.969                         | 0.997  |
|                          | Val vs lle  | 1.24 (1.02–1.52) | 70.4               | 0.967             | 0.975                         | 0.999  |
|                          | Val/Val vs. lle/lle                                   | 1.83 (1.29–2.58) | 40.3               | 0.128             | 0.815                         | 0.937  |
| HC                       | Val/Val vs. lle/lle + lle/Val                         | 1.66 (1.23–2.25) | 28.2               | 0.257             | 0.809                         | 0.963  |
|                          | Val/Val+lle/Val vs. lle/lle                           | 1.33 (1.05–1.68) | 66.7               | 0.844             | 0.952                         | 0.997  |
|                          | Val vs lle  | 1.32 (1.09–1.59) | 66.8               | 0.911             | 0.791                         | 0.989  |
|                          | Val/Val vs. lle/lle                                   | 1.51 (1.11–2.05) | 0.0                | 0.483             | 0.945                         | 0.993  |
| Matching                 | Val/Val vs. lle/lle + lle/Val                         | 1.43 (1.07–1.93) | 0.0                | 0.623             | 0.969                         | 0.997  |
|                          | Val/Val+lle/Val vs. lle/lle                           | 1.17 (1.01–1.35) | 0.0                | 1.000             | 0.969                         | 0.999  |
|                          | Val vs lle  | 1.18 (1.05–1.32) | 0.0                | 1.000             | 0.792                         | 0.994  |
|                          | Val/Val vs. lle/lle                                   | 1.81 (1.07–3.06) | 67.1               | 0.242             | 0.991                         | 0.997  |
| Nonmatching              | Val/Val vs. lle/lle +lle/Val                          | 1.58 (1.02–2.46) | 55.9               | 0.409             | 0.991                         | 0.998  |
|                          | The combined effects of GSTM1 and GSTT1 polymorphisms |                  |                    |                   |                               |        |
| Overall                  | Model 1   | 1.66 (1.37–2.00) | 30.3               | 0.143             | 0.001                         | 0.006  |
|                          | Model 3   | 2.44 (1.86–3.21) | 51.2               | <0.001            | 0.001                         | <0.001 |
|                          | Model 4   | 1.29 (1.11–1.50) | 52.2               | 0.975             | 0.489                         | 0.971  |
|                          | Model 5   | 1.44 (1.25–1.66) | 51.5               | 0.713             | 0.001                         | 0.030  |
|                          | Model 6   | 2.16 (1.65–2.81) | 55.4               | 0.003             | 0.003                         | 0.001  |
| Indian                   | Model 1   | 1.92 (1.18–3.12) | 52.9               | 0.159             | 0.981                         | 0.993  |
|                          | Model 3   | 3.16 (1.90–5.25) | 0.0                | 0.002             | 0.816                         | 0.412  |
|                          | Model 6   | 2.83 (1.73–4.64) | 0.0                | 0.006             | 0.863                         | 0.674  |
| Asian                    | Model 1   | 1.43 (1.04–1.97) | 22.1               | 0.615             | 0.979                         | 0.997  |
|                          | Model 3   | 2.47 (1.55–3.95) | 57.5               | 0.019             | 0.896                         | 0.860  |
|                          | Model 4   | 1.35 (1.02–1.80) | 45.3               | 0.764             | 0.982                         | 0.998  |
|                          | Model 5   | 1.57 (1.20–2.05) | 44.0               | 0.369             | 0.713                         | 0.959  |
|                          | Model 6   | 2.05 (1.40–3.00) | 50.3               | 0.054             | 0.803                         | 0.873  |
| Caucasian                | Model 1   | 1.65 (1.14–2.39) | 40.6               | 0.307             | 0.963                         | 0.993  |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables           | Model   | OR<br>(95%CI)    | I2 (%) | Statistical power | Credibility                   |       |
|---------------------|---------|------------------|--------|-------------------|-------------------------------|-------|
|                     |         |                  |        |                   | Prior probability<br>of 0.001 |       |
|                     |         |                  |        |                   | FPRP                          | BFDP  |
| Adults              | Model 3 | 1.98 (1.16–3.37) | 61.5   | 0.153             | 0.987                         | 0.994 |
|                     | Model 4 | 1.30 (1.05–1.60) | 41.3   | 0.912             | 0.936                         | 0.996 |
|                     | Model 5 | 1.37 (1.17–1.61) | 13.7   | 0.864             | 0.132                         | 0.843 |
|                     | Model 1 | 1.44 (1.18–1.76) | 0.0    | 0.655             | 0.360                         | 0.923 |
|                     | Model 2 | 1.27 (1.04–1.54) | 50.7   | 0.955             | 0.940                         | 0.997 |
|                     | Model 3 | 2.51 (1.71–3.68) | 60.0   | 0.004             | 0.367                         | 0.131 |
| Adults and children | Model 4 | 1.34 (1.15–1.57) | 35.3   | 0.919             | 0.242                         | 0.919 |
|                     | Model 5 | 1.50 (1.29–1.74) | 33.0   | 0.500             | < 0.001                       | 0.006 |
|                     | Model 6 | 2.26 (1.53–3.33) | 65.8   | 0.019             | 0.662                         | 0.610 |
|                     | Model 6 | 1.94 (1.04–4.36) | 43.6   | 0.267             | 0.998                         | 0.999 |
|                     | Model 1 | 1.73 (1.31–2.30) | 39.2   | 0.163             | 0.498                         | 0.835 |
|                     | Model 3 | 2.59 (1.71–3.93) | 51.1   | 0.005             | 0.600                         | 0.310 |
| HC                  | Model 5 | 1.45 (1.16–1.80) | 60.3   | 0.621             | 0.549                         | 0.957 |
|                     | Model 6 | 2.33 (1.54–3.58) | 59.7   | 0.022             | 0.8361                        | 0.811 |
|                     | Model 1 | 1.60 (1.22–2.10) | 25.7   | 0.321             | 0.687                         | 0.949 |
|                     | Model 2 | 1.29 (1.11–1.50) | 0.0    | 0.975             | 0.489                         | 0.971 |
|                     | Model 3 | 2.31 (1.56–3.43) | 55.7   | 0.016             | 0.672                         | 0.589 |
|                     | Model 4 | 1.36 (1.18–1.57) | 1.6    | 0.909             | 0.029                         | 0.572 |
| NBDC                | Model 5 | 1.49 (1.25–1.78) | 33.9   | 0.529             | 0.020                         | 0.338 |
|                     | Model 6 | 1.86 (1.33–2.61) | 46.7   | 0.107             | 0.756                         | 0.904 |
|                     | Model 1 | 1.60 (1.29–1.99) | 0.0    | 0.281             | 0.079                         | 0.491 |
|                     | Model 3 | 2.57 (1.61–4.12) | 64.2   | 0.463             | 0.999                         | 0.999 |
|                     | Model 4 | 1.31 (1.09–1.58) | 41.0   | 0.922             | 0.837                         | 0.992 |
|                     | Model 5 | 1.46 (1.24–1.73) | 33.8   | 0.623             | 0.019                         | 0.367 |
| Matching            | Model 6 | 2.33 (1.44–3.76) | 69.6   | 0.036             | 0.937                         | 0.942 |
|                     | Model 1 | 1.67 (1.24–2.27) | 48.0   | 0.247             | 0.811                         | 0.963 |
|                     | Model 3 | 2.38 (1.70–3.33) | 37.6   | 0.004             | 0.106                         | 0.027 |
|                     | Model 5 | 1.43 (1.13–1.80) | 62.1   | 0.658             | 0.779                         | 0.983 |
|                     | Model 6 | 2.07 (1.52–2.81) | 36.5   | 0.019             | 0.137                         | 0.133 |
|                     | Model 3 | 2.15 (1.35–3.43) | 55.1   | 0.065             | 0.953                         | 0.970 |
| AML                 | Model 5 | 1.41 (1.09–1.82) | 46.7   | 0.683             | 0.924                         | 0.994 |
|                     | Model 6 | 1.85 (1.22–2.80) | 51.1   | 0.161             | 0.957                         | 0.986 |
|                     | Model 1 | 2.15 (1.43–3.23) | 39.9   | 0.041             | 0.846                         | 0.880 |
| ALL                 | Model 3 | 2.79 (1.47–5.30) | 52.0   | 0.029             | 0.983                         | 0.981 |
|                     | Model 4 | 1.52 (1.13–2.05) | 44.5   | 0.465             | 0.929                         | 0.991 |
|                     | Model 5 | 1.66 (1.25–2.20) | 42.7   | 0.240             | 0.636                         | 0.922 |
|                     | Model 6 | 2.23 (1.20–4.14) | 55.4   | 0.105             | 0.991                         | 0.994 |
|                     | Model 1 | 1.54 (1.18–2.01) | 7.2    | 0.423             | 0.778                         | 0.973 |
|                     | Model 3 | 2.58 (1.57–4.24) | 51.4   | 0.016             | 0.919                         | 0.880 |
| CML                 | Model 5 | 1.37 (1.06–1.77) | 62.5   | 0.756             | 0.955                         | 0.996 |
|                     | Model 6 | 2.41 (1.45–4.00) | 55.8   | 0.033             | 0.952                         | 0.953 |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables            | Model   | OR<br>(95%CI)    | I2 (%) | Statistical power | Credibility                   |       |
|----------------------|---------|------------------|--------|-------------------|-------------------------------|-------|
|                      |         |                  |        |                   | Prior probability<br>of 0.001 |       |
|                      |         |                  |        |                   | FPRP                          | BFDP  |
| Sensitivity analysis |         |                  |        |                   |                               |       |
| Quality score≥10     |         |                  |        |                   |                               |       |
| Overall              | Model 1 | 1.56 (1.28–1.91) | 26.3   | 0.352             | 0.045                         | 0.413 |
|                      | Model 3 | 2.41 (1.76–3.29) | 55.3   | 0.001             | 0.021                         | 0.002 |
|                      | Model 4 | 1.27 (1.09–1.48) | 48.3   | 0.983             | 0.691                         | 0.986 |
|                      | Model 5 | 1.42 (1.22–1.65) | 51.7   | 0.763             | 0.006                         | 0.201 |
|                      | Model 6 | 2.17 (1.61–2.94) | 57.8   | 0.009             | 0.063                         | 0.033 |
| Indian               | Model 1 | 1.92 (1.18–3.12) | 52.9   | 0.159             | 0.981                         | 0.993 |
|                      | Model 3 | 3.16 (1.90–5.25) | 0.0    | 0.002             | 0.816                         | 0.412 |
|                      | Model 6 | 2.83 (1.73–4.64) | 0.0    | 0.006             | 0.863                         | 0.674 |
| Caucasian            | Model 4 | 1.28 (1.04–1.57) | 33.6   | 0.936             | 0.950                         | 0.997 |
|                      | Model 5 | 1.34 (1.13–1.58) | 11.5   | 0.910             | 0.354                         | 0.947 |
| Adults               | Model 1 | 1.43 (1.16–1.76) | 0.0    | 0.674             | 0.521                         | 0.956 |
|                      | Model 2 | 1.31 (1.08–1.60) | 48.8   | 0.908             | 0.899                         | 0.995 |
|                      | Model 3 | 2.40 (1.61–3.58) | 59.9   | 0.011             | 0.626                         | 0.463 |
|                      | Model 4 | 1.37 (1.16–1.61) | 35.8   | 0.864             | 0.132                         | 0.843 |
|                      | Model 5 | 1.51 (1.29–1.77) | 37.4   | 0.467             | 0.001                         | 0.022 |
|                      | Model 6 | 2.13 (1.43–3.18) | 64.7   | 0.043             | 0.834                         | 0.875 |
| Adults and children  | Model 6 | 1.94 (1.04–4.36) | 43.6   | 0.267             | 0.998                         | 0.999 |
| HC                   | Model 1 | 1.63 (1.21–2.18) | 34.9   | 0.288             | 0.774                         | 0.961 |
|                      | Model 3 | 2.56 (1.60–4.10) | 55.0   | 0.013             | 0.875                         | 0.798 |
|                      | Model 5 | 1.41 (1.11–1.80) | 63.6   | 0.690             | 0.894                         | 0.992 |
|                      | Model 6 | 2.39 (1.50–3.80) | 58.7   | 0.024             | 0.904                         | 0.890 |
| NBDC                 | Model 1 | 1.47 (1.12–1.94) | 15.7   | 0.557             | 0.921                         | 0.992 |
|                      | Model 2 | 1.24 (1.06–1.45) | 0.0    | 0.991             | 0.876                         | 0.995 |
|                      | Model 3 | 2.17 (1.43–3.29) | 54.9   | 0.041             | 0.865                         | 0.893 |
|                      | Model 4 | 1.30 (1.12–1.50) | 0.0    | 0.975             | 0.251                         | 0.931 |
|                      | Model 5 | 1.41 (1.19–1.66) | 17.2   | 0.771             | 0.046                         | 0.622 |
|                      | Model 6 | 1.85 (1.27–2.69) | 51.3   | 0.136             | 0.904                         | 0.967 |
| Matching             | Model 1 | 1.60 (1.29–1.99) | 0.0    | 0.281             | 0.079                         | 0.491 |
|                      | Model 3 | 2.57 (1.61–4.12) | 64.2   | 0.463             | 0.999                         | 0.999 |
|                      | Model 4 | 1.31 (1.09–1.58) | 41.0   | 0.922             | 0.837                         | 0.992 |
|                      | Model 5 | 1.46 (1.24–1.73) | 33.8   | 0.623             | 0.019                         | 0.367 |
|                      | Model 6 | 2.33 (1.44–3.76) | 69.6   | 0.036             | 0.937                         | 0.942 |
| Nonmatching          | Model 1 | 1.51 (1.04–2.19) | 48.0   | 0.486             | 0.984                         | 0.997 |
|                      | Model 3 | 2.26 (1.45–3.53) | 46.7   | 0.036             | 0.904                         | 0.915 |
|                      | Model 5 | 1.37 (1.03–1.82) | 65.1   | 0.734             | 0.976                         | 0.998 |
|                      | Model 6 | 2.04 (1.40–2.98) | 37.7   | 0.056             | 0.802                         | 0.876 |
| ALL                  | Model 1 | 1.92 (1.28–2.86) | 29.6   | 0.112             | 0.922                         | 0.969 |
|                      | Model 3 | 3.10 (1.48–6.49) | 58.1   | 0.027             | 0.990                         | 0.988 |
|                      | Model 4 | 1.43 (1.07–1.91) | 39.3   | 0.627             | 0.961                         | 0.996 |
|                      | Model 5 | 1.59 (1.18–2.14) | 45.8   | 0.350             | 0.863                         | 0.980 |
|                      | Model 6 | 2.66 (1.38–5.15) | 54.1   | 0.045             | 0.988                         | 0.989 |

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TABLE 9 (Continued) Credibility of the current meta-analysis.

| Variables   | Model   | OR<br>(95%CI)     | I <sup>2</sup> (%) | Statistical power | Credibility                   |       |
|---|---------|-------------------|--------------------|-------------------|-------------------------------|-------|
|   |         |                   |                    |                   | Prior probability<br>of 0.001 |       |
|   |         |                   |                    |                   | FPRP                          | BFDp  |
| CML   | Model 1 | 1.55 (1.15–2.09)  | 16.4               | 0.415             | 0.907                         | 0.988 |
|   | Model 3 | 2.39 (1.37–4.16)  | 54.3               | 0.050             | 0.976                         | 0.981 |
|   | Model 5 | 1.38 (1.03–1.83)  | 66.2               | 0.719             | 0.972                         | 0.997 |
|   | Model 6 | 2.21 (1.26–3.87)  | 27.7               | 0.088             | 0.984                         | 0.990 |
| The combined effects of GSTM1 and GSTP1 polymorphisms |         |                   |                    |                   |                               |       |
| Overall   | Model 4 | 1.95 (1.35–2.80)  | 21.5               | 0.078             | 0.793                         | 0.897 |
|   | Model 6 | 1.95 (1.37–2.77)  | 30.4               | 0.071             | 0.729                         | 0.857 |
| Indian  | Model 4 | 1.72 (1.10–2.70)  | 33.5               | 0.276             | 0.985                         | 0.996 |
|   | Model 6 | 1.65 (1.14–2.40)  | 19.6               | 0.309             | 0.966                         | 0.993 |
| HC  | Model 4 | 1.82 (1.21–2.74)  | 25.9               | 0.177             | 0.959                         | 0.987 |
|   | Model 6 | 1.88 (1.23–2.89)  | 41.8               | 0.152             | 0.964                         | 0.987 |
| Matching  | Model 6 | 2.20 (1.25–3.89)  | 37.1               | 0.094             | 0.986                         | 0.992 |
| Non-matching  | Model 4 | 2.07 (1.34–3.20)  | 0.0                | 0.074             | 0.935                         | 0.964 |
|   | Model 5 | 1.44 (1.08–1.92)  | 0.0                | 0.610             | 0.955                         | 0.995 |
|   | Model 6 | 1.76 (1.05–2.96)  | 42.1               | 0.273             | 0.992                         | 0.997 |
| ALL   | Model 4 | 1.86 (1.01–3.43)  | 51.9               | 0.245             | 0.995                         | 0.998 |
|   | Model 6 | 1.92 (1.30–2.83)  | 0.0                | 0.106             | 0.902                         | 0.960 |
| CML   | Model 4 | 2.08 (1.27–3.40)  | 1.4                | 0.096             | 0.973                         | 0.986 |
| Sensitivity analysis                                  |         |                   |                    |                   |                               |       |
| HWE and Quality score≥10                              |         |                   |                    |                   |                               |       |
| Overall   | Model 4 | 1.95 (1.35–2.80)  | 21.5               | 0.078             | 0.793                         | 0.897 |
|   | Model 6 | 1.95 (1.37–2.77)  | 30.4               | 0.071             | 0.729                         | 0.857 |
| The combined effects of GSTT1 and GSTP1 polymorphisms |         |                   |                    |                   |                               |       |
| Overall   | Model 3 | 1.50 (1.04–2.15)  | 59.8               | 0.500             | 0.982                         | 0.997 |
|   | Model 4 | 4.24 (2.49–7.24)  | 0.0                | 0.000             | 0.632                         | 0.027 |
|   | Model 5 | 1.70 (1.30–2.22)  | 32.2               | 0.179             | 0.352                         | 0.765 |
|   | Model 6 | 3.31 (1.85–5.91)  | 14.8               | 0.004             | 0.933                         | 0.780 |
| Indian  | Model 3 | 1.65 (1.05–2.59)  | 61.9               | 0.339             | 0.989                         | 0.997 |
|   | Model 4 | 4.39 (2.51–7.68)  | 0.0                | 0.000             | 0.721                         | 0.049 |
|   | Model 5 | 1.91 (1.45–2.50)  | 0.8                | 0.039             | 0.059                         | 0.106 |
|   | Model 6 | 3.39 (1.94–5.94)  | 7.8                | 0.002             | 0.901                         | 0.617 |
| HC  | Model 3 | 1.50 (1.04–2.15)  | 59.8               | 0.500             | 0.982                         | 0.997 |
|   | Model 4 | 4.24 (2.49–7.24)  | 0.0                | 0.000             | 0.632                         | 0.027 |
|   | Model 5 | 1.70 (1.30–2.22)  | 32.2               | 0.179             | 0.352                         | 0.765 |
|   | Model 6 | 3.31 (1.85–5.91)  | 14.8               | 0.004             | 0.933                         | 0.780 |
| Matching  | Model 4 | 4.61 (1.64–12.97) | 16.8               | 0.017             | 0.996                         | 0.994 |
|   | Model 5 | 1.40 (1.04–1.89)  | 0.0                | 0.674             | 0.976                         | 0.998 |
| CML   | Model 2 | 1.91 (1.35–2.68)  | 0.0                | 0.081             | 0.690                         | 0.849 |
|   | Model 4 | 3.29 (1.37–7.89)  | 1.9                | 0.039             | 0.995                         | 0.995 |
|   | Model 5 | 1.61 (1.05–2.47)  | 50.4               | 0.373             | 0.987                         | 0.997 |
|   | Model 6 | 2.40 (1.21–14.26) | 31.8               | 0.303             | 0.999                         | 0.999 |
| Sensitivity analysis                                  |         |                   |                    |                   |                               |       |
| HWE and Quality score≥10                              |         |                   |                    |                   |                               |       |
| Overall   | Model 3 | 1.50 (1.04–2.15)  | 59.8               | 0.500             | 0.982                         | 0.997 |
|   | Model 4 | 4.24 (2.49–7.24)  | 0.0                | 0.000             | 0.632                         | 0.027 |
|   | Model 5 | 1.70 (1.30–2.22)  | 32.2               | 0.179             | 0.352                         | 0.765 |
|   | Model 6 | 3.31 (1.85–5.91)  | 14.8               | 0.004             | 0.933                         | 0.780 |

negative ones. Second, the mechanism of leading to leukemia is greatly sophisticated, and thus a single-gene mutation is not likely to generate remarkably to its development. Third, no consideration was given to if the genotype distribution of *GSTM1* and *GSTT1* polymorphisms in control group was in HWE because we could not calculate the HWE on these two genes. Fourth, the heterogeneity of *GSTM1*, *GSTT1*, and *GSTP1* was large; therefore, the random-effect model was selected, and after subgroup and sensitivity analysis, no source of heterogeneity was found. Hence, the current meta-analysis with a large sample size and enough subgroups will be conducive to confirm our discoveries.

This meta-analysis strongly suggests that only a minority of meaningful associations are credible results. Hence, larger-scale investigations of this topic should be performed in the future to verify or rebut our findings.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials, further inquiries can be directed to the corresponding authors.

## Author contributions

YZ: research design and performance, data collection, data analysis, and manuscript-writing; DW and C-YZ: data collection; Y-JL, X-HW, M-YS, and WW: data recheck; and X-LS and X-FH: research design and manuscript review.

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## Conflict of interest

Author WW was employed by the company Beijing Zhendong Guangming Pharmaceutical Research Institute.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.976673/full#supplementary-material>



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# Molecular subtyping of esophageal squamous cell carcinoma by large-scale transcriptional profiling: Characterization, therapeutic targets, and prognostic value

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The tumor heterogeneity of the transcriptional profiles is independent of genetic variation. Several studies have successfully identified esophageal squamous cell carcinoma (ESCC) subtypes based on the somatic mutation profile and copy number variations on the genome. However, transcriptome-based classification is limited. In this study, we classified 141 patients with ESCC into three subtypes (Subtype 1, Subtype 2, and Subtype 3) *via* tumor sample gene expression profiling. Differential gene expression (DGE) analysis of paired tumor and normal samples for each subtype revealed significant difference among subtypes. Moreover, the degree of change in the expression levels of most genes gradually increased from Subtype 1 to Subtype 3. Gene set enrichment analysis (GSEA) identified the representative pathways in each subtype: Subtype 1, abnormal Wnt signaling pathway activation; Subtype 2, inhibition of glycogen metabolism; and Subtype 3, downregulation of neutrophil degranulation process. Weighted gene co-expression network analysis (WGCNA) was used to elucidate the finer regulation of biological pathways and discover hub genes. Subsequently, nine hub genes (*CORO1A*, *CD180*, *SASH3*, *CD52*, *CD300A*, *CD14*, *DUSP1*, *KIF14*, and *MCM2*) were validated to be associated with survival in ESCC based on the RNA sequencing (RNA-seq) data from The Cancer Genome Atlas (TCGA) database. The clustering analysis of ESCC granted better understanding of the molecular characteristics of ESCC and led to the discover of new potential therapeutic targets that may contribute to the clinical treatment of ESCC.

## KEYWORDS

ESCC, gene expression profile, subtype, integrate, GSEA, WGCNA

# 1 Introduction

In 2020, esophageal carcinoma (EC) was the seventh most common cancer worldwide with 604,000 new cases, contributing 3.1% of the total new cancer cases, and was ranked sixth in mortality worldwide (544,000 deaths) (Sung et al., 2021). Esophageal squamous cell carcinoma (ESCC) and esophageal adenocarcinoma (EAC) are the two main EC subtypes (Siewert and Ott, 2007; Della Guardia et al., 2022), with ESCC counting for approximately 90% of EC cases worldwide (Smyth et al., 2017). The development of next-generation sequencing technologies has yielded a deeper understanding of ESCC genomic features *via* sequencing and analysis the genomes and transcriptomes of millions of patients with ESCC. The analyses revealed that ESCC has extensive inter- and intra-tumor heterogeneity (Hu et al., 2009; Lin et al., 2018).

Regarding tumor heterogeneity, several studies (Liu et al., 2016; Cancer Genome Atlas Research Network et al., 2017) have identified ESCC subtypes based on the somatic mutation profile and copy number variation on the genome. However, recent single-cell RNA sequencing (RNA-seq) studies have demonstrated that cancer cell state heterogeneity is largely independent of genetic variation (Halbritter et al., 2019; Guo et al., 2020; LaFave et al., 2020; Marjanovic et al., 2020). The transcriptional landscape is reprogrammed with cancer progression, metastasis, and therapy resistance (Hanahan and Weinberg, 2011; Quintanal-Villalonga et al., 2020). Therefore, identifying ESCC subtypes based on the gene expression profile of the tumor sample can reveal some molecular features that cannot be detected in genome-based classification. There are many successful applications for identifying tumor subtypes based on the gene expression profile, including that for colon cancer (Marisa et al., 2013; Guinney et al., 2015), non-small cell lung cancer (Chen et al., 2017) and uterine leiomyosarcoma (An et al., 2017). These transcriptional profile-based classification studies revealed clinically valuable targets. Attempts have also been made to classify ESCC based on its gene expression profile. Wang et al. (Wang et al., 2019) have classified Asian patients with ESCC into two subtypes; the selected genes were clustered and only genes with large standard deviations in the ESCC cohort were selected. Nevertheless, classification based on selected genes may bias the result, neglecting genes that are less varied but that are important in overall regulation. Therefore, it is necessary to characterize ESCC subtypes considering an unbiased transcriptome level.

In this study, we classified 141 patients with ESCC into three subtypes based on the gene expression profile of the patients' tumor samples. The differences in individual gene expression level changes among the three subtypes were identified with differential gene expression (DGE) analysis. Gene set enrichment analysis (GSEA) and weighted gene co-expression network analysis (WGCNA) were used to explore how the gene expression levels co-varied together. *Via* this analysis series,

we clearly described the molecular characteristics of each subtype. We discovered important genes and the biological pathways that may affect ESCC prognosis. Our study provides an in-depth understanding of ESCC molecular features and demonstrates potential targets for ESCC clinical treatment.

# 2 Materials and methods

## 2.1 Data collection and quality control

The raw microarray gene expression data from 141 ESCC patient tumors and the paired normal samples across seven datasets were obtained from the Gene Expression Omnibus (GEO). The dataset inclusion criteria were: 1) gene expression data from paired tumor and normal tissue samples were available; 2) the patients had not undergone previous treatment. The following datasets were included in this study: GSE17351, GSE20347, GSE23400, GSE38129, GSE77861, GSE161533, and GSE100942 (Table 1) (Hu et al., 2010; Lee et al., 2010; Su et al., 2011; Hu et al., 2015; Erkizan et al., 2017; Ming et al., 2018). Among the seven datasets, the samples in GSE77861 were obtained from African American patients and samples in the remaining six datasets were from Asian patients.

The Affymetrix microarray data were robust multiarray averaging (RMA) normalized (background processing, log2 transformation, quantile scaling, and probe expression measurement) in the R package *affy* (Gautier et al., 2004). Then, all available biological and technical covariates except for the diagnostic group were regressed from each individual expression dataset. After the above preprocessing had been performed on each dataset, all seven datasets were merged. The batch effect was corrected with the ComBat function of the R *sva* package (Leek et al., 2012). Outliers were identified with the principal component analysis (PCA) in the R package *FactoMineR*.

The RNA-seq data of tumor tissue samples from patients with ESCC were downloaded from The Cancer Genome Atlas (TCGA) database using the R package *TCGAbiolinks* (Colaprico et al., 2016). The screening and elimination yielded the gene expression profiling data of tumor tissues from 80 patients. The TPM (transcripts per million) data that normalized gene length and sequencing depth were used for subsequent analysis.

## 2.2 Clustering

The function *hclust* was used to hierarchical clustering the 141 ESCC tumor samples through gene expression profiling. We used the Euclidean method to calculate the Euclidean distance between samples and the *ward.D* method to cluster the 141 samples. The parameters of the clustering based on the K-means method were *centers* = 3, *nstart* = 25.

TABLE 1 Information of the GEO datasets involved in this study.

| GEO accession | Platforms | Sample size | Race             | PMID     |
|---------------|-----------|-------------|------------------|----------|
| GSE17351      | GPL570    | 10          | Japanese         | 20042640 |
| GSE20347      | GPL571    | 34          | Chinese          | 20955586 |
| GSE23400      | GPL96     | 106         | Chinese          | 21385931 |
| GSE38129      | GPL571    | 60          | Chinese          | 26409826 |
| GDE77861      | GPL570    | 14          | African American | 28629367 |
| GSE161533     | GPL570    | 56          | Chinese          | —        |
| GSE100942     | GPL570    | 8           | Chinese          | 29290801 |

## 2.3 Differential gene expression analysis

For each subtype, DGE analysis was performed between paired tumor and normal samples, using the R package limma (Ritchie et al., 2015). The differential expression genes (DEGs) were identified as adj.P.Val cutoff <0.05 (Benjamini Hochberg false discovery rate (FDR) correction) (Ge et al., 2021) (FDR <0.05) and  $|\log_2 \text{fold change} (\log_2 \text{FC})| > 1$ .

## 2.4 Gene set enrichment analysis and immune cell infiltration analysis

GSEA (Subramanian et al., 2005) was performed with the function gseGO in the R package clusterProfiler (Yu et al., 2012) based on the Gene Ontology (GO) database. GSEA was performed on the three ESCC subtypes and the  $\log_2 \text{FC}$  of each gene was used as the basis for the gene ranking. Significantly enriched pathways (FDR <0.05) were available.

The gene expression profile data of the 141 ESCC tumor samples were combined for immune cell infiltration analysis using single-sample GSEA (ssGSEA) in R package GSVA (Hanzelmann et al., 2013). The immune cell marker genes constituted the background gene set for the immune infiltration analysis (Charoentong et al., 2017).

## 2.5 Weighted gene co-expression network analysis

Network analysis was performed with the WGCNA package (Langfelder and Horvath, 2008) in R. Approximate scale-free topology ( $R^2 > 0.85$ ) was achieved using a soft threshold power of 7. The network was constructed using all 13,515 gene expression profiling data from the 141 paired ESCC tumor and normal samples. The other network construction parameters were  $\text{maxBlockSize} = 5,000$ ,  $\text{minModuleSize} = 30$ ,  $\text{TOMType} = \text{"unsigned"}$ ,  $\text{reassignThreshold} = 0$ , and  $\text{mergeCutHeight} = 0.25$ .

## 2.6 Enrichment analysis

GO enrichment analysis was performed with the function enrichGO in the R package clusterProfiler based on the GO database. The significantly enriched pathways had FDR <0.05.

Cell type enrichment analysis was performed based on the marker genes (Xu et al., 2021) of different cell types. Significantly enriched cell types were obtained with  $p < 0.05$  (Fisher's exact test).

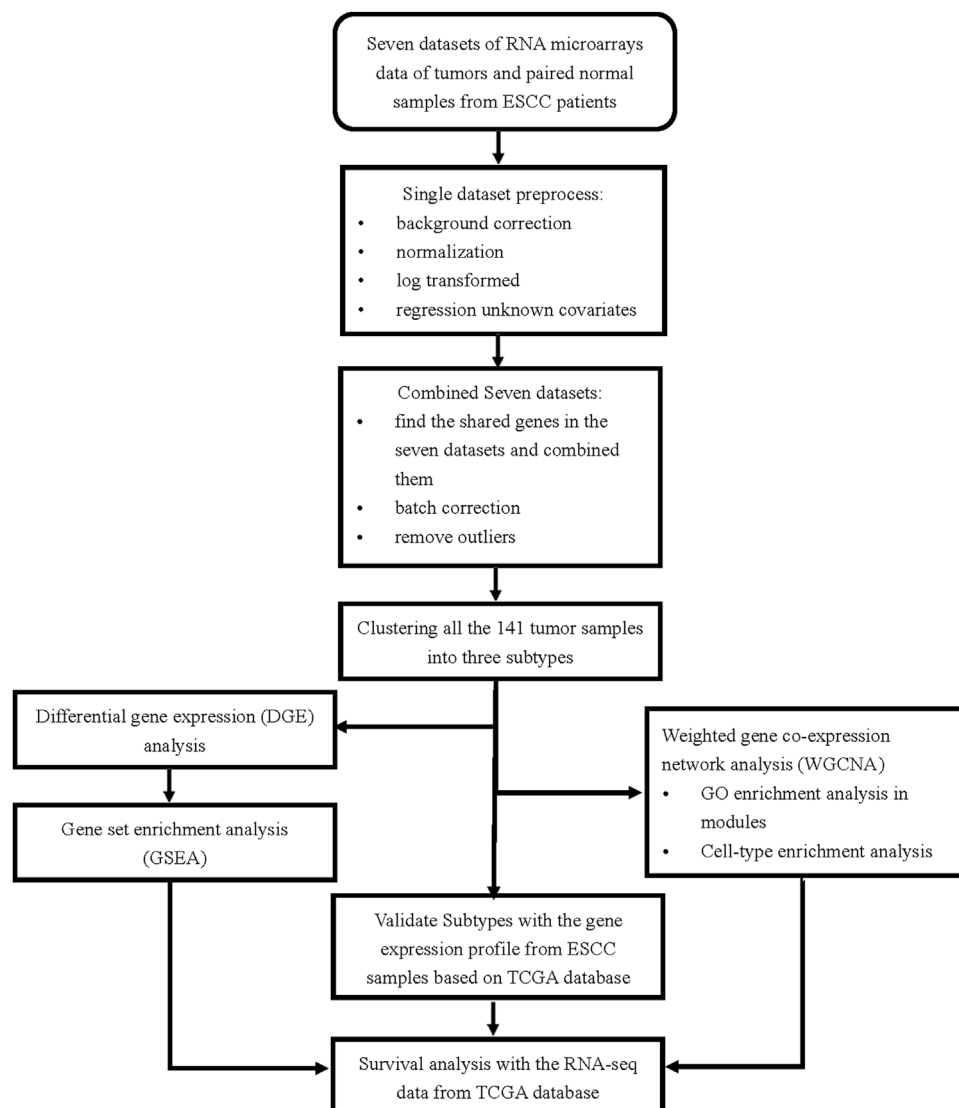
## 2.7 Survival analysis

Survival analysis was performed with the RNA-seq data of 80 ESCC tumor tissue samples from TCGA database. Survival analysis and survival curve plotting were performed using the R packages survival and survminer, respectively. For each gene among the 80 samples, samples with expression levels higher than the median value were defined as the high-expression group and those below the median value were defined as the low-expression group.

# 3 Results

## 3.1 Characteristics of the mRNA microarray data and analysis pipeline

The analysis pipeline is depicted in Figure 1. After strict data preprocessing, the seven ESCC mRNA microarray datasets were merged, and batch effects were corrected using the function ComBat. PCA was performed with batches as groups before and after batch correction. There was a large distance between the datasets before batch correction (Supplementary Figure S1A), and the data distribution was uniform after batch correction (Supplementary Figure S1B). The boxplots of samples grouped by batch before and after batch correction also reflected this change (Supplementary Figures S1C, D). The results suggested that the batch effects among the seven datasets were eliminated. We used the combined dataset for subsequent analysis.



**FIGURE 1**  
The pipeline of this analysis.

When PCA was performed based on tumor and normal grouping, three normal samples which were abnormally grouped with the tumor samples (Supplementary Figure S1E). Therefore, we removed these three samples, which included the match normal (GSM573851, GSM573889, and GSM573852) and tumor samples (GSM573904, GSM573942, and GSM573905). The remaining 282 samples were divided into tumor and normal groups (Supplementary Figure S1F), a distinct transcriptomic pattern was indicated between the two groups.

The 13,515-gene expression profile data of the 141 paired ESCC tumor and normal samples were included in the study. The ESCC subtypes were identified using the gene expression profiles of the 141 ESCC tumor samples. The analyses mainly included: 1)

hierarchical clustering of the 141 ESCC tumor sample; 2) DGE analysis of paired tumor and normal samples of each subtype to determine gene expression level changes; 3) GSEA and WGCNA to identify biological pathway regulation and discover hub genes; 4) survival analysis of the genes found in 3) based on the RNA-seq data from 80 ESCC tumor samples in the TCGA database; 5) validation of the subtypes found in 1) using the TCGA RNA-seq data.

### 3.2 The results of clustering

Hierarchical clustering of the 141 ESCC tumor sample transcriptome profiles revealed a total of three subtypes,



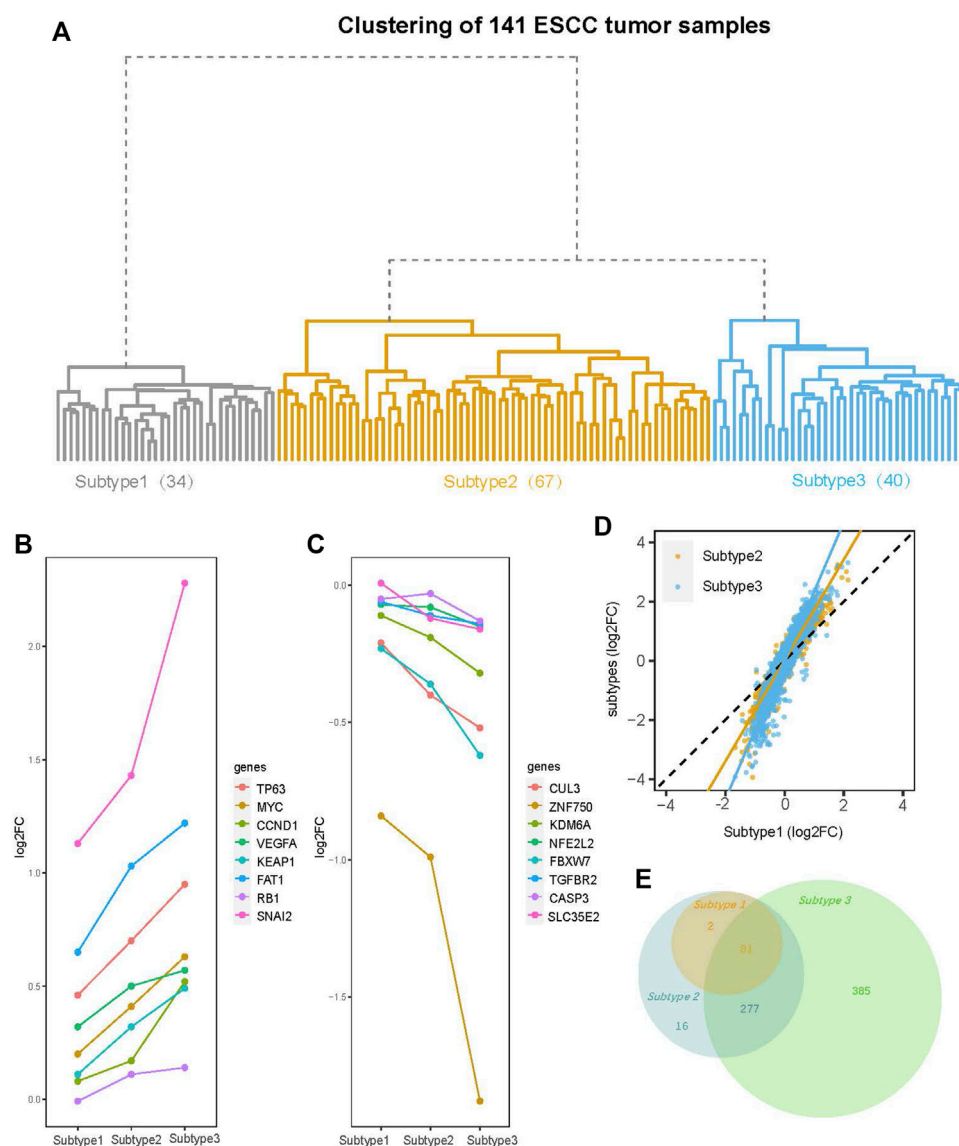


FIGURE 2

Clustering tree of the 141 ESCC tumor samples and an overview of differential gene expression (DGE) analysis. **(A)** The hierarchical clustering tree diagram of 141 ESCC tumor samples constructed by the gene expression profile. The 141 ESCC tumor samples were clustered into three subtypes (Subtype 1, Subtype 2, and Subtype 3), with sample sizes of 34, 67, and 40 for Subtype 1 to Subtype 3, respectively. **(B)** The log<sub>2</sub> fold-change (log<sub>2</sub>FC) of the genes (TP63, MYC, CCND1, VEGFA, KEAP1, FAT1, RB1, and SNAI2) with amplification mutations on ESCC genome are increased gradually from Subtype 1 to Subtype 3. **(C)** The log<sub>2</sub>FC of ESCC genomic insertion or deletion mutant genes (CUL3, ZNF750, KDM6A, NFE2L2, FBXW7, TGFBR2, CASP3, and SLC35E2) decreased gradually from Subtype 1 to Subtype 3. **(D)** Comparison of log<sub>2</sub>FC of all the 13515 genes between subtypes. Among three subtypes, the degree of change in the expression levels of most genes is: Subtype 3 > Subtype 2 > Subtype 1. **(E)** Venn diagram shows overlaps of differential expression genes (DEGs) (FDR < 0.05, |log<sub>2</sub>FC| > 1) among three subtypes. The numbers of DEGs include both up- and down-regulated genes in tumor tissue compared to normal tissue.

which we designated Subtype 1 (34 samples), Subtype 2 (67 samples), and Subtype 3 (40 samples). Subtype 1 was under an independent branch of the clustering tree and Subtypes 2 and 3 were two subgroups under the same branch (Figure 2A).

To further confirm that we have eliminated batch effects, we recolored the hierarchical clustering tree using batch as color

label. The result showed that the samples from each study were distributed across the three subtypes (Supplementary Figure S2A). So, the subtypes are not driven by different studies.

To explore whether our subtyping is sensitive to the clustering method, we re-clustered the 141 samples into three subtypes (named as K-means\_Subtype1, K-means\_Subtype2, and K-means\_Subtype3) using the K-means method. The

result showed that the three subtypes clustered by the K-means method were consistent with the subtypes obtained by the hierarchical clustering in more than 80% of the samples (Figure 2A, Supplementary Figure S2B). So, it can be concluded that the subtypes we obtained are not sensitive to the clustering method.

### 3.3 Results of differential gene expression analysis

DGE analysis was performed on the paired tumor and normal samples for each subtype. We first focused on the most commonly mutated genes in ESCC. The  $\log_2FC$  of these genes exhibited a tendency change from Subtype 1 to Subtype 3. For example, the  $\log_2FC$  of genes in the ESCC genome with amplification mutations, such as *MYC*, *TP63*, *CCND1*, *VEGFA*, and *SNAI2* (Song et al., 2014; Liu et al., 2016; Sawada et al., 2016; Cancer Genome Atlas Research Network et al., 2017), increased gradually from Subtype 1 to Subtype 3 (Figure 2B). The  $\log_2FC$  of ESCC genomic insertion or deletion mutant genes [*CUL3*, *ZNF750*, *KDM6A*, *NFE2L2*, and *SLC35E2* (Song et al., 2014; Liu et al., 2016; Sawada et al., 2016; Cancer Genome Atlas Research Network et al., 2017)] decreased gradually from Subtype 1 to Subtype 3 (Figure 2C). Viewing of the total 13,515 genes, the degree of change in the expression levels of most genes gradually increased from Subtype 1 to Subtype 3 (Figure 2D).

The DEG numbers were greatly different across the three subtypes. Under the thresholds ( $FDR < 0.05$ ;  $\log_2FC \geq |1|$ ), Subtypes 1, 2, and 3 had 83, 376, and 743 total DEGs, respectively (Supplementary Figure S3). The dramatic increase in the DEG number from Subtype 1 to Subtype 3 suggested striking differences among the subtypes even if they were identified as the same cancer type. Further exploration of the relationship between the DEGs of the three subtypes revealed that the Subtype 2 DEGs included all Subtype 1 DEGs, while the Subtype 3 DEGs did not completely encompass the Subtypes 1 and 2 DEGs (Figure 2E). Eighteen DEGs were specific to Subtype 1 or 2 rather than Subtype 3 (Figure 2E, Supplementary Figure S4A). The 16 upregulated DEGs among these 18 genes are enriched in the immune-related pathways (Supplementary Figure S4B).

### 3.4 Results of gene set enrichment analysis and single-sample GSEA

In this study, we used GSEA to determine whether a set of genes involved in a biological pathway demonstrated statistically significant differences between tumor and normal status (Subramanian et al., 2005). GSEA of each subtype enabled the discovery of how various biological pathways were regulated in each subtype. The biological pathways

commonly upregulated in the three subtypes were those for chromosomal DNA replication, endodermal cell differentiation, and collagen fibril organization (Figure 3A) (Supplementary Material S1). The commonly downregulated biological pathways included those for fatty acid oxidation metabolism, and keratinocyte differentiation (Figure 3A) (Supplementary Material S1). The shared biological pathway regulation among the three subtypes was consistent with the findings of previous ESCC studies. (Su et al., 2011; Erkizan et al., 2017).

There were representative enriched pathways in tumor samples of each subtype. Subtype 1 was characterized by significant Wnt signaling pathway upregulation ( $FDR < 0.05$ ) (Figure 3B). Glycogen metabolism downregulation was the hallmark of Subtype 2 (Figure 3C). Subtype 3 featured markedly inhibited neutrophil-mediated immunological pathways ( $FDR < 0.05$ ), in which downregulated neutrophil degranulation was the primary manifestation (Figure 3D).

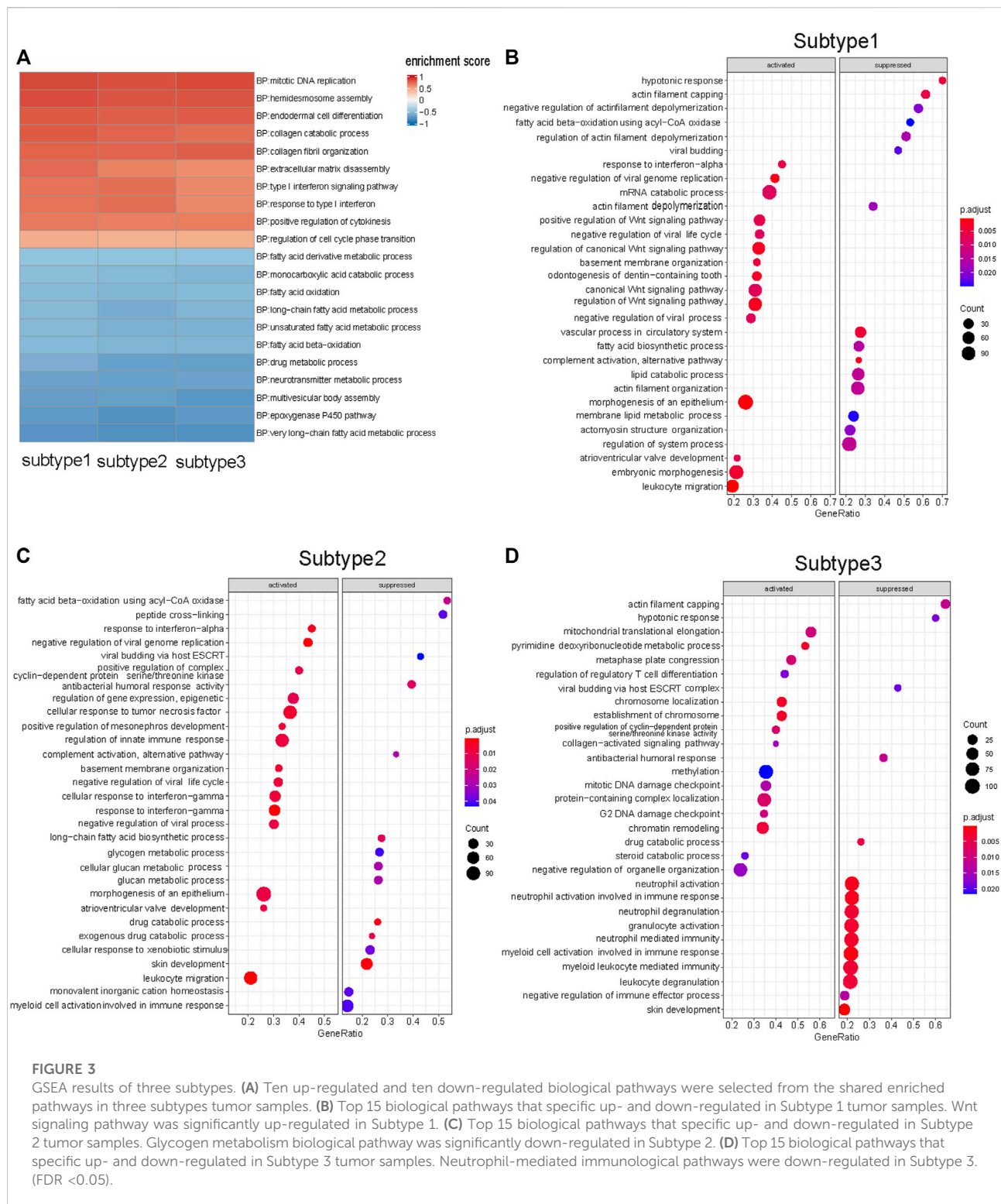
The degree of immune cell infiltration in tumor samples from the three subtypes was assessed with ssGSEA. The results revealed fewer infiltrating T cells in Subtype 1 tumor samples as compared to those of Subtypes 2 and 3 and less neutrophil infiltration in Subtype 3 tumor tissues as compared with that of Subtypes 1 and 2 (Supplementary Figure S5).

### 3.5 Enrichment results of modules that constructed by weighted gene co-expression network analysis

We performed WGCNA to characterize the involved biological pathways more specifically. The genes regulated in the same pattern were clustered in one co-expression module based on the correlation coefficient weighted value. This approach fully accounted for the genes that change little but that may be important in overall regulation. All gene expression profiles of the 141 paired ESCC tumor and normal samples were considered in the co-expression network construction, which included a total of 14 modules, including module 0 (genes with irregular expression) (Supplementary Material S2).

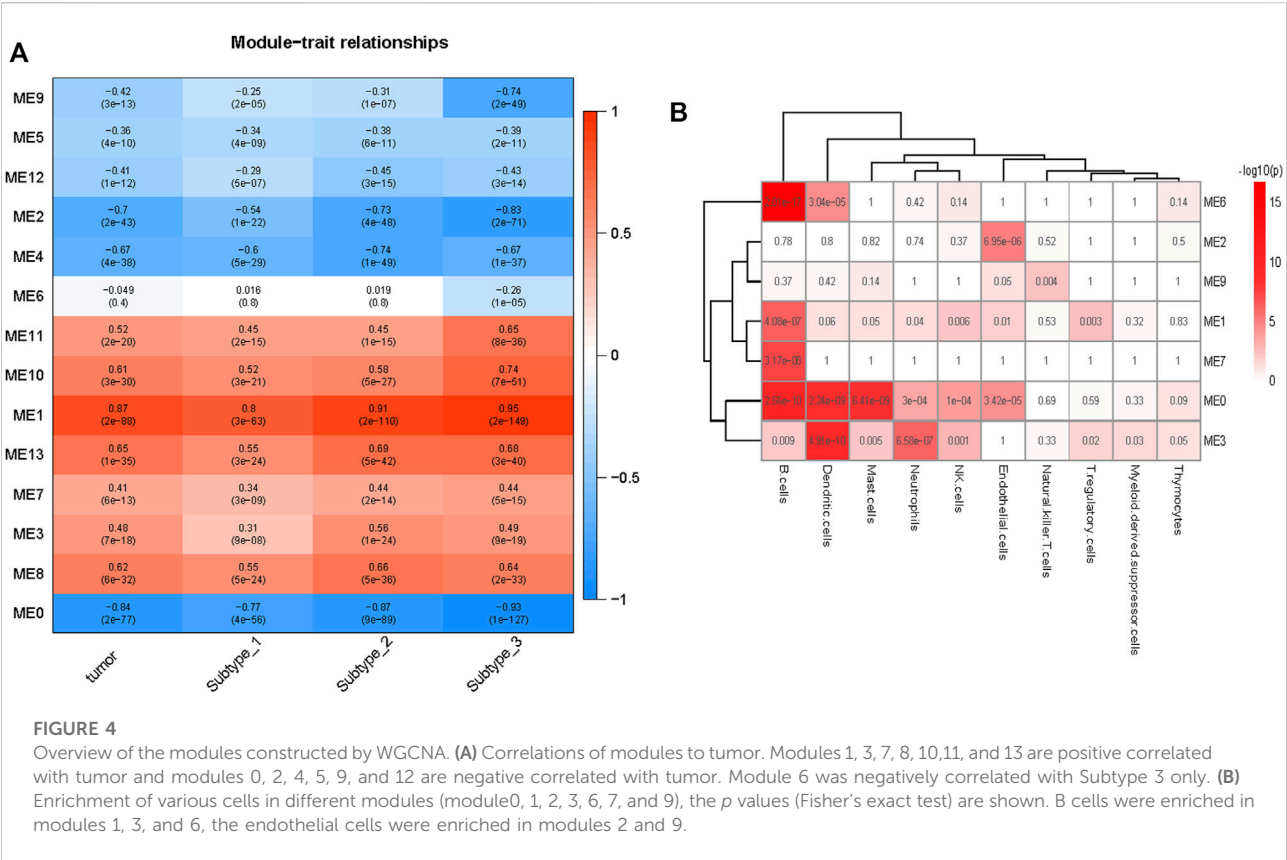
The correlations between the modules and tumor are depicted in Figure 4A. Seven (modules 1, 3, 7, 8, 10, 11, and 13) and six (modules 0, 2, 4, 5, 9, and 12) modules were positively and negatively correlated with tumor, respectively ( $FDR < 0.05$ ). From Subtype 1 to Subtype 3, eight modules (module 0, 1, 2, 5, 7, 9, 10, and 11) demonstrated a gradually stronger correlation between modules and subtypes, and five modules (module 3, 4, 8, 12, and 13) presented stronger correlations from Subtype 1 to Subtype 2 and weakened correlations from Subtype 2 to Subtype 3. Module 6 was highly distinctive, demonstrating no significant correlation with Subtype 1 or 2, but demonstrating a remarkable negative correlation ( $r = -0.26$ ,  $p = 1E-05$ ) with Subtype 3.

Module 6 were enriched in a broad range of immune-related pathways. The biological pathways enriched in module 6 included B cell activation, T cell differentiation, lymphocyte



differentiation and calcium homeostasis (FDR < 0.05) (Figure 5A). The cell type enrichment analysis revealed that B cells and dendritic cells were enriched in module 6 (FDR < 0.05) (Figure 4B).

Based on the above results, modules 0, 1, and 3 of neutrophil enrichment were the modules of interest (Figure 4B). In modules 1 and 3 (both were positively correlated with the three subtypes), the neutrophil degranulation process was among the top



30 enriched pathways (Figures 5B, C) (FDR < 0.05). In addition, module 1 enriches cell cycle-related biological processes, and module 3 also enriches other immune-related biological pathways. The neutrophil chemotaxis pathway was enriched in module 0 (FDR < 0.05) (Supplementary Material S3), which was negatively correlated with the three subtypes (correlation between module 0 and Subtype 3:  $r = -0.93$ ,  $p = 1E-127$ ).

Endothelial cells were enriched in modules 2 and 9 ( $p \leq 0.05$ ) (Figure 4B), both of which were negatively correlated with tumor. The negative correlation increased gradually from Subtype 1 to Subtype 3. Biological pathways such as cellular rhythm, response to radiation, and response to oxidative stress were enriched in module 9 (Figure 5D) while, the muscle contraction and myofibril assembly biological pathways were enriched in module 2 (Supplementary Figure S6). We were able to conclude that endothelial cell contractility and responsiveness to external stimuli are affected in ESCC.

### 3.6 Results of survival analysis

Based on the above module enrichment results, we performed survival analysis of nine genes (*CORO1A*, *CD180*, *SASH3*, *CD52*, *CD300A*, *CD14*, *DUSP1*, *KIF14*, and *MCM2*) from the hub genes in these modules of interest and the genes involved in biological pathways that are important in tumor progression. We validated

these genes by TCGA dataset survival analysis. The high levels of seven genes (*CORO1A*, *CD180*, *SASH3*, *CD52*, *CD300A*, *CD14*, and *DUSP1*) were related with poor survival in ESCC (Figures 6A–G) and *KIF14* and *MCM2* expression levels were positively correlated with better survival (Figures 6H, I) ( $p < 0.05$ ). *CORO1A*, *CD180*, *SASH3*, and *CD52* were located in module 6, *CD300A* and *CD14* were involved in module 3, module 9 contained *DUSP1*, module 1 contained *KIF14*, and *MCM2* (Table 2).

### 3.7 Expression levels of the survival-related genes in the three subtypes

The expression levels of the nine survival-related genes in the three subtypes may indicate the survival of subtypes to a certain extent. The gene expression levels of four genes (*CD180*, *SASH3*, *CD300A*, and *CD14*) inversely associated with survival were significantly higher in Subtype 2 or 3 than in Subtype 1 (Figures 7B, C, E, F). The four genes are involved in the immune-related pathways. So, tumor immunity may be an important factor affecting survival time in Subtype 2 or 3.

Compared with Subtypes 2 and 3, *DUSP1* that negatively correlated with survival, has higher expression in Subtype 1 (Figure 7G), while *KIF14* and *MCM2*, which are positively correlated with survival, have lower expression in Subtype 1



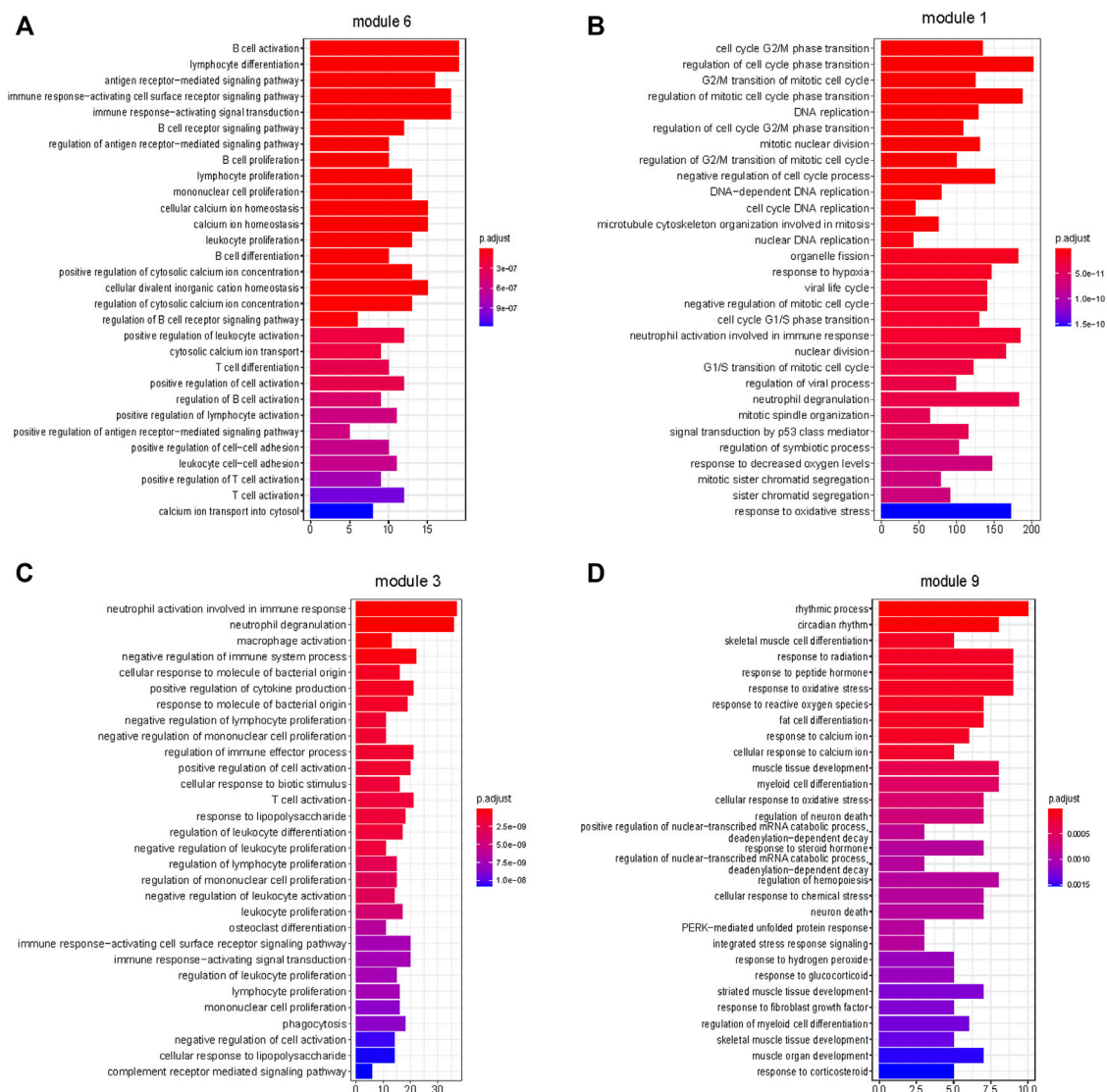


FIGURE 5

Enrichment of biological pathways in modules. (A–D) The top 30 pathways that enriched in module 6, module 1, module 3, and module 9, respectively. (A,C) Immune-related processes were enriched in modules 6 and 3. (B) Cell proliferation-related processes were enriched in module 1. (D) Cellular responses to external stimuli were enriched in module 9. (FDR < 0.05).

(Figures 7H, I). Therefore, the biological pathways that respond to various cellular stress conditions and the DNA replication pathways play important roles in Subtype 1.

### 3.8 Validation of the generality of esophageal squamous cell carcinoma subtypes

To explore the generality of our classification, we clustered 80 ESCC tumor samples in the TCGA database and compared the obtained three subtypes with the three subtypes obtained by the

141 ESCC samples above. The 80 ESCC samples were clustered into three subtypes, named as TCGA\_Subtype1 (24 samples), TCGA\_Subtype2 (3 samples), TCGA\_Subtype3 (53 samples) (Figure 8A). These three TCGA\_Subtypes correspond one-to-one with the positions of the three Subtypes obtained by 141 ESCC samples on the clustering tree (Figure 1A, Figure 8A).

Through the correlation analysis between 80 TCGA ESCC samples and 141 GEO ESCC samples, it was found that the average correlation between subtypes located at the same position on the clustering tree is significantly higher than the average correlation between subtypes in different positions (Figures 8B–D). For example, the average correlation value



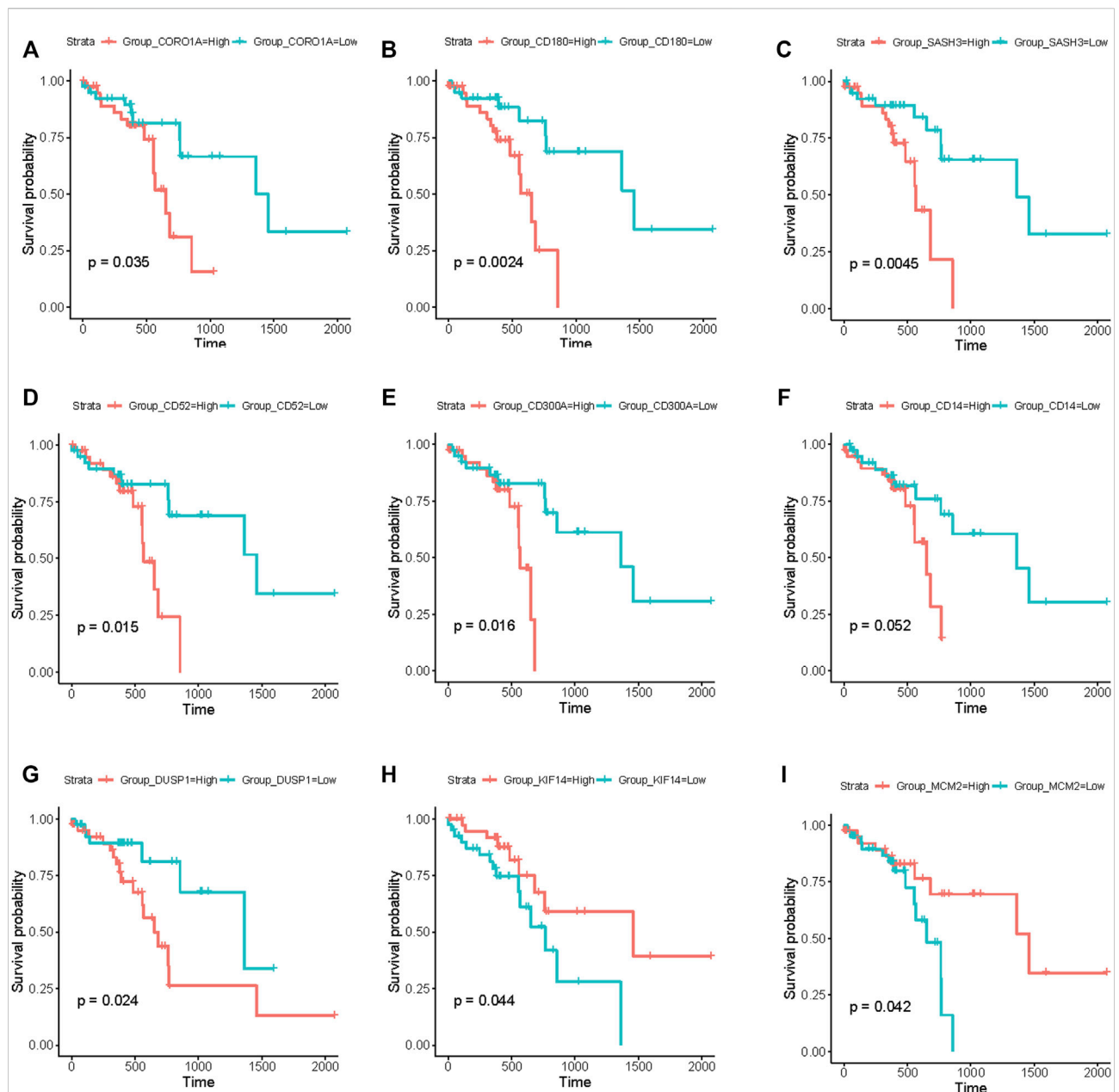


FIGURE 6

Survival analysis using the RNA-seq data from TCGA database. (A–I) Kaplan–Meier curves showing that the expression levels of these nine genes (CORO1A, CD180, SASH3, CD52, CD300A, CD14, DUSP1, KIF14, and MCM2) were significantly associated with survival of patients with ESCC. (A–G) Seven genes (CORO1A, CD180, SASH3, CD52, CD300A, CD14, and DUSP1) were negatively correlated with survival. (H,I) Two genes (KIF14 and MCM2) were positively correlated with survival. ( $p < 0.05$ ).

between TCGA\_Subtype3 and Subtype 3 is significantly higher than that TCGA\_Subtype3 and Subtype 1 or Subtype 2 (Figure 8D). Therefore, our classification of ESCC into three subtypes by gene expression profiling is of general significance.

The survival analysis revealed no significant differences in survival between TCGA\_Subtypes (Figure 8E). This means that the three ESCC subtypes we found may not have differences in survival.

## 4 Discussion

In this study, we integrated the gene expression profiles from different studies to a comparable level by correcting batch effects. In total, the gene expression profiles of paired tumor and normal samples from 141 patients with ESCC were included in this study. The 141 patients were divided into three subtypes based on

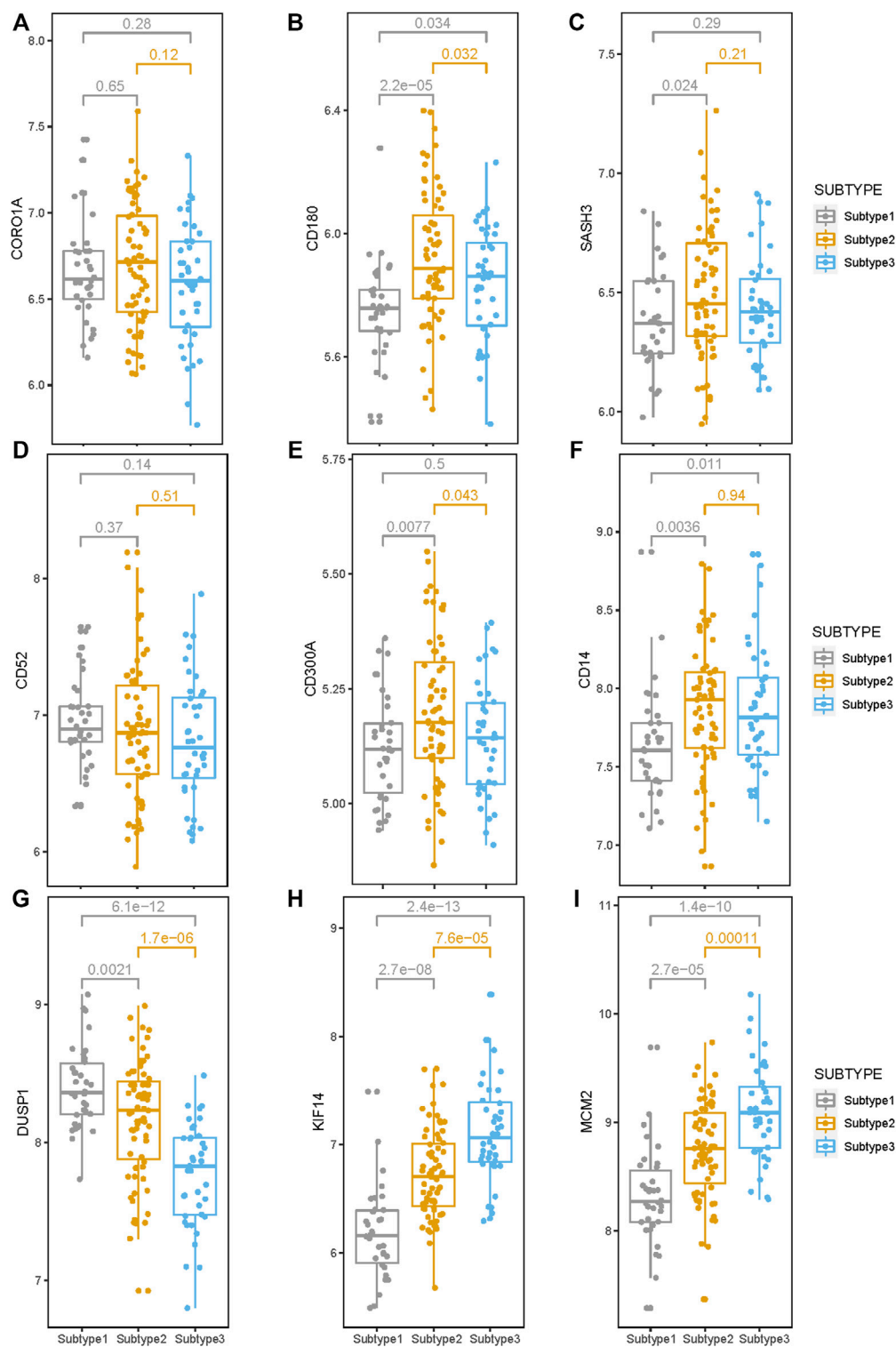
TABLE 2 The profile of the genes that correlated with survival of ESCC patients.

| Gene   | Module  | Correlation with survival | Gene ontology enrichment  |
|--------|---------|---------------------------|---|
| CORO1A | Module6 | negative                  | lymphocyte proliferation<br>cellular calcium ion homeostasis<br>leukocyte proliferation                                   |
| CD180  | Module6 | negative                  | B cell activation<br>B cell proliferation   |
| SASH3  | Module6 | negative                  | B cell activation; lymphocyte differentiation   |
| CD52   | Module6 | negative                  | cellular calcium ion homeostasis<br>calcium ion homeostasis<br>positive regulation of cytosolic calcium ion concentration |
| CD300A | Module3 | negative                  | neutrophil activation involved in immune response<br>neutrophil degranulation   |
| CD14   | Module3 | negative                  | neutrophil activation involved in immune response<br>neutrophil degranulation   |
| DUSP1  | Module9 | negative                  | cellular response to molecule of bacterial origin<br>response to radiation<br>response to oxidative stress                |
| KIF14  | Module1 | positive                  | cell cycle G2/M phase transition<br>regulation of cell cycle phase transition   |
| MCM2   | Module1 | positive                  | DNA replication<br>DNA-dependent DNA replication  |

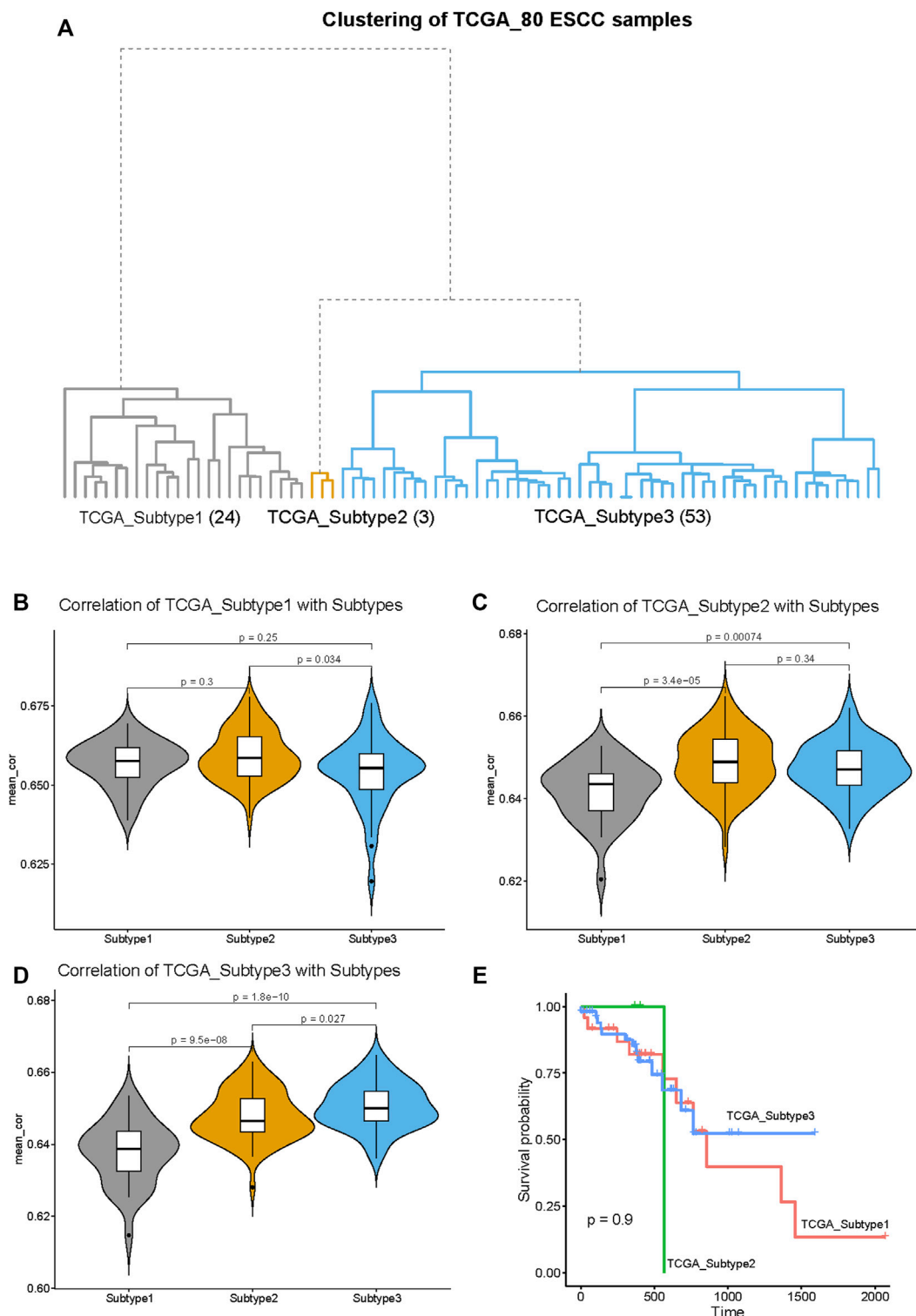
their tumor sample gene expression profiles. Then, detailed characteristics of the three subtypes were described. The results of DGE analysis revealed that subtypes are different to each other. Next, we identified typical phenotype in each subtype; Wnt signaling pathway activation in Subtype 1, downregulation of glycogen metabolism in Subtype 2 and immunosuppression in Subtype 3. WGCNA revealed finer regulation of biological pathways in the three subtypes and revealed hub genes with important regulatory status. Moreover, we validated several hub genes were survival-related based on RNA-seq data from TCGA database. By comparing the survival-related-gene expression level in three subtypes, we suggested that the genes involved in immune-related biological processes or cell proliferation-related processes were responsible for the survival of these subtypes. Finally, in the RNA-seq dataset from TCGA database we verified that the three ESCC subtypes we found were of general significance.

The striking differences among the three ESCC subtypes were manifested in several aspects. For example, the degree of change in the expression levels of most genes between tumor and match normal tissue gradually increased from Subtype 1 to Subtype 3, especially genes with copy number variation (CNV) in ESCC genome. One potential explanation for this phenomenon is the increased overall tumor mutational burden (TMB, the total number of mutations in cancer cell DNA). Several studies have reported that copy number variations on ESCC genome were consistent with changes in gene expression

levels (Hu et al., 2009; Shi et al., 2013). Liu et al. (2016) have found that subgroups of ESCC have significantly different somatic mutational burdens, such as subgroup1 (0.75 mutations per Mb) in their study, which showed fewest somatic mutational burden compared with subgroups1a (11.85 mutations per Mb) and subgroup2 (3.71 mutations per Mb). This is consistent with our conjecture that the three subtypes we identified appear to have differences in TMB. A high TMB is associated with poor prognosis (Owada-Ozaki et al., 2018; Hwang et al., 2019; Cui et al., 2020), and TMB has been demonstrated as a selection biomarker of immune checkpoint blockade (ICB) cancer therapy (Chan et al., 2019). In addition, some biological processes also exhibit progressively stronger association from Subtype 1 to Subtype 3, such as upregulation of the cell proliferation and dysfunction of endothelial cells in the results of co-expression modules. The progressively severe endothelial cell dysfunction from Subtype 1 to Subtype 3 means aggravated hypoxic environment and accelerated angiogenesis. Endothelial cells line the vascular systems and play important roles in tumorigenesis. The tumor microenvironment suffers from hypoxia, which will continuously stimulate blood vessel formation (Potente et al., 2011; Jing et al., 2019). These rapidly growing blood vessels are naturally differentiated from normal blood vessels, and tumor endothelial cells (TECs) exhibit different cell proliferation and migration ability compared with normal endothelial cells (NECs) (Hida et al., 2016). Accordingly, it is effective to subtyping ESCC



**FIGURE 7**  
Expression levels of the nine survival-related genes in the three subtypes. (A–I) The expression level of the nine survival-related genes in the three subtypes. Expression levels of these seven (CD180, SASH3, CD300A, CD14, DUSP1, KIF14, and MCM2) survival-related genes are significantly different among these three subtypes. ( $p < 0.05$ ).

**FIGURE 8**

Validation of the generality of our ESCC Subtypes based on the RNA-seq data from TCGA database. **(A)** The hierarchical clustering tree of the 80 ESCC samples based on the RNA-seq data from TCGA database. The three subtypes are designated as: TCGA\_Subtype1 (24 samples), TCGA\_Subtype2 (3 samples), and TCGA\_Subtype3 (53 samples). **(B)** The violin plot of the average correlation values between TCGA\_Subtype1 and Subtypes (Subtype 1, Subtype 2 and Subtype 3). The average correlation values of TCGA\_Subtype1 and Subtype 1 are significantly higher than TCGA\_Subtype1 with Subtype 2/Subtype 3. **(C)** The violin plot of the average correlation values between TCGA\_Subtype2 and Subtypes. The average

(Continued)

**FIGURE 8 (Continued)**

correlation values of TCGA\_Subtype2 and Subtype 2 are significantly higher than TCGA\_Subtype2 with Subtype 1/Subtype 3. (D) The violin plot of the average correlation values between TCGA\_Subtype3 and Subtypes. The average correlation values of TCGA\_Subtype3 and Subtype 3 are significantly higher than TCGA\_Subtype3 Subtype 1/Subtype 2. (E) Survival analysis between TCGA\_Subtypes. Kaplan–Meier curves showing there is no significant difference between TCGA\_Subtypes in survival.

from the perspective of transcriptome, which helps us to understand the molecular characteristics of ESCC more deeply and provide reference for precise treatment.

Subtype 1 was associated with the activation of Wnt signaling pathway, which will be a promising treatment target in ESCC. As an important pathway, Wnt signaling pathway activation has repeatedly been demonstrated in ESCC (Song et al., 2014; Sawada et al., 2016). Besides, Wnt signaling was reported to be inversely correlated with T cell infiltration in colorectal cancer, (Grasso et al., 2018), as we also found a lower T cell infiltration in subtype 1 than in other subtypes. The Wnt signaling pathway activation is associated with tumorigenesis and progression (Nusse and Varmus, 1992; Zhan et al., 2017; You et al., 2019); tumor proliferation and progression were inhibited by suppressing this pathway (Lee et al., 2012; You et al., 2019; Yu et al., 2021).

Significantly reduced glycogen metabolism in Subtype 2 may lead to glycogen accumulation in tumor tissue. Glycogen accumulation in tumor tissue is a distinguishing feature in various cancers and which promotes tumor development and maintenance (Iida et al., 2012; Maruggi et al., 2019; Xie et al., 2021). Accelerating glycogen metabolism can play a role in suppressing tumors, several enzymes involved in glycogen metabolism exert tumor-suppressive effects, including the glycogen debranching enzyme AGL and the kinase PhK  $\beta$ -subunit (PHKB) (Guin et al., 2016; Richmond et al., 2018; Yang et al., 2019; Liu et al., 2021). So, promoting glycogen metabolism may be a way to inhibit Subtype 2 ESCC.

Subtype 3 is characterized by immunosuppression, including downregulation of neutrophil degranulation and B/T cell related immune pathway. Assuming the downregulation of neutrophil degranulation was caused by the reduced total number of tumor-infiltrating neutrophils, we performed the immune cell infiltration analysis. The result confirmed decreased of neutrophils infiltration in subtype 3 compared to subtype 1 or 2, which possibly lead to downregulation of neutrophil degranulation. Similar down-stream pathways were identified in gene co-expression network. We found the downregulation of neutrophil chemotaxis in Subtype 3 based on the enrichment result of module 0. In view of the crucial role of neutrophils in the pathogenesis of cancer (Mantovani et al., 2011) and its position in the regulation of innate and adaptive immunity (Scapini et al., 2000; Tecchio et al., 2013; Uribe-Querol and Rosales, 2015; Jaillon et al., 2020), the reduction of infiltrating neutrophils may also be part of the reason why B- and T-cell immune-related processes are affected in subtype 3. Actually, several

single-cell studies have demonstrated an immunosuppressive microenvironment in ESCC (Zheng et al., 2020; Dinh et al., 2021). Therefore, Subtype 3 is an immunosuppressive ESCC subtype, which also makes it most likely to benefit from immunotherapy. Wang et al. (2019) have found one immune-activate ESCC subtype by comparing two ESCC subtypes they have identified, this is significantly different from our Subtype 3. The difference may arise due to differences in analytical methods. They characterize the subtypes by making comparisons between the subtypes and we characterize the subtypes by comparing the tumor tissue to the normal tissue.

These nine survival-related genes are in key regulatory positions in the gene expression of the three subtypes; they are of great significance for the tumorigenesis and progression. Six of the nine survival-related genes (*CORO1A*, *CD180*, *SASH3*, *CD52*, *CD300A*, and *CD14*) were involved in the immune pathways, and were all were negatively correlated with survival, which is consistent with our understanding of the duality of immunity in tumors: immune has dual roles of suppressing and promoting cancer (Schreiber et al., 2011). *CD180* and *SASH3* are involved in the B cell-related immune pathway. The *SASH3*-encoded protein acts as a signaling protein in lymphocytes, and high *SASH3* expression was associated with poor survival in cellular renal cell carcinoma (Yin et al., 2020). *CD52* and *CORO1A* are involved in regulating calcium homeostasis in immune cells. Calcium homeostasis is important for regulating the activation and function of macrophages, dendritic cells, and T cells (Zophel et al., 2020). *CD300A* and *CD14* are involved in neutrophil degranulation. *CD14* is a key molecule in innate immunity activation, patients with bladder cancer with high *CD14* levels may develop a proliferative tumor microenvironment (Cheah et al., 2015). The inhibitory receptor protein *CD300A* is found on leukocytes and is involved in the immune response signaling pathways; the interaction between *CD300A* and phosphatidylserine can inhibit the killing effect of natural killer (NK) cells on tumor cells (Lankry et al., 2013). In addition to these six survival-related genes involved in immune-related processes, the remaining three survival-related genes are involved in other biological processes. The mechanism of *DUSP1* in organisms is highly complex: it is a transcriptional target of tumor suppressor p53 (Li et al., 2003) and also responds to various cellular stress conditions (Keyse and Emslie, 1992). In invasive ovarian cancer, *DUSP1* expression was significantly associated with shorter progression-free survival ( $p = 0.019$ )



(Denkert et al., 2002). *KIF14* and *MCM2* are involved in numerous biological pathways, including G2–M transition, mitotic nuclear division, and DNA replication. *MCM2* has a coregulatory role in ESCC progression and may have core roles during the pathogenesis of ESCC (Wang et al., 2018). MCMs increase genome duplication robustness by restraining the speed at which eukaryotic cells replicate their DNA, where a minor reduction in MCM levels destabilizes the genome and predisposes to increased incidence of tumor formation (Sedlackova et al., 2020). Genes can influence the overall performance of transcriptional profile through different regulatory strategies (Wang et al., 2021). The roles of these nine survival-related genes in ESCC need further explorations and may become potential targets for ESCC therapy in the future.

There are some limitations of this study. The clinical information of these 141 ESCC samples was not comprehensive enough to interpret existing findings in combination with more clinical information. In addition, the genes with prognostic value have not been validated in other independent cohorts. Finally, due to the limited laboratory conditions, some of our results have not been verified in cell experiments.

In conclusion, we have identified three subtypes of ESCC by large-scale gene expression profiling of tumor tissues. Through in-depth exploration of these three subtypes, we have characterized the three subtypes from multiple perspectives and discovered some new potential targets that may be effective in the treatment of ESCC. Taken together, our results suggest that distinct ESCC subtypes defined using transcriptomes may exhibit better responses to specific targeted therapies. Actually, the effectiveness of these targets needs further exploration and verification. Our findings have deepened our understanding of the molecular characteristics of ESCC and provided some references for future clinical treatment research.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## Ethics statement

Ethical review and approval was not required for the study on human participants in accordance with the local legislation and institutional requirements. The patients/participants provided their written informed consent to participate in this study.

## Author contributions

DW collected the data, determined the analysis process, visualized the results, and wrote the draft. DW and JD had the original idea for the study and reviewed the manuscript. CS and XC reviewed the manuscript and got the funding acquisition. All authors have read and approved the final manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.1033214/full#supplementary-material>.

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# A pyroptosis-related gene model and its correlation with the microenvironment of lung adenocarcinoma: A bioinformatics analysis and experimental verification

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**Background:** Non-small cell lung cancer, comprising lung adenocarcinoma (LUAD) and lung squamous cell carcinoma, is one of the leading causes of cancer-related mortality. Pyroptosis is a new form of programmed cell death involved in cancer development. The relationship between LUAD and pyroptosis is unclear. This research aims to investigate this relationship and develop a stratified clinical model based on pyroptosis-related genes (PRGs).

**Methods:** We analyzed the data of LUAD from The Cancer Genome Atlas (TCGA) and evaluated the expression of 48 PRGs to identify the differentially expressed genes. Then, constructing the risk model using the least absolute shrinkage and selection operator and the Cox regression method to find the gene signatures. The functional enrichment, immune cell infiltration, tumor mutational burden (TMB), and expression of immune checkpoints were compared to investigate the potential mechanism. The IC50 of common drugs was evaluated and compared. The inflammasome activation assay and lactate dehydrogenase (LDH) assay of NLR-family CARD-containing protein 4 (NLRC4) were also performed to confirm the role of pyroptosis in LUAD.

**Results:** The pyroptosis-related model accurately predicted the prognosis of patients with LUAD, with the low-risk group exhibiting a higher survival probability. The risk score was an independent prognostic factor for survival. The stratified patients exhibited distinct tumor microenvironments, TMB, and drug sensitivity. The validation experiments of NLRC4 confirmed its role in inducing pyroptosis via promoting IL-1 maturation.

**Conclusion:** PRGs regulated the tumor microenvironment and influenced the outcome of LUAD. NLRC4 may function as a hub gene in the process of LUAD.

## KEYWORDS

pyroptosis, cancer, immunity, microenvironment, NLRC4, bioinformatics



## Introduction

Lung cancer is the leading cause of cancer-related mortality worldwide, with 1.8 million newly diagnosed cases and 1.6 million deaths per year (Bray, et al., 2018). More than 85% of patients with lung cancer are diagnosed with non-small cell lung cancer (NSCLC), with lung adenocarcinoma (LUAD) and lung squamous cell carcinoma being the most common subtypes (Duma, et al., 2019). Numerous studies have been conducted on the strategies against lung cancer, leading to a significant decrease in mortality and an increase in survival due to advances in diagnosis and treatment. For those tissue biopsies might not be technically feasible can take liquid biopsies, detect ctDNA in plasma, and obtain molecular information (Thai, et al., 2021). With the advent of novel technologies such as next-generation sequencing, lung cancer treatment has entered the molecular era, the development of target therapy and immunotherapy have marked a turning point in cancer treatment. Early clinical trials with these therapies revealed rapid and long-lasting responses in 14%–20% of patients with pretreated advanced NSCLC (Wu, et al., 2020). Although great progress has been made in this field, significant obstacles remain: the mechanism of target therapy resistance; the treatment for rare somatic activating oncogene mutations; as well as the biomarkers to predict the response of anti-cancer therapy (Hirsch, et al., 2017). During the past decade, although the discovery of predictive biomarkers has created new therapeutic opportunities with targeted therapy and immunotherapy, there are still many limitations. Programmed death-ligand 1 (PD-L1) is a predictive biomarker used to guide treatment decisions for its expression is associated with an increased likelihood of response to programmed death-1 (PD-1) pathway blockade, but responses to immune checkpoint inhibitors (ICIs) can also be seen in patients with no tumor PD-L1 expression. High tumor mutational burden (TMB) might be predictive of response to ICIs without any prospective validation. Moreover, the mutation of on- and off-target resistance has not been solved successfully, which needs further investigation for better clinical practice (Thai, et al., 2021). Thus, new biomarkers need to be urgently discovered to learn more about the pathogenesis of LUAD so that new targets can be developed.

According to the prevailing opinion, the 10 hallmarks of cancer lead to cancer initiation and progression (Hanahan and Weinberg, 2011). Among these, the ability to resist cell death and escape immunological damage was discussed in this study. Cell death is a physiological process that regulates cell proliferation, stress response, and immunological response, as well as inhibits tumor growth. Besides apoptosis and necrosis, autophagy, anoikis, and pyroptosis were also described (Fernandes-Alnemri, et al., 2007). Pyroptosis is an inflammatory form of programmed cell death initiated by caspase 1/4/5/11. It is triggered by certain inflammasomes and results in cell swelling, plasma

membrane lysis, chromatin fragmentation, and releasing of intracellular proinflammatory components (Fang, et al., 2020). Recent scientific advances have led to the identification of numerous genes as essential regulators of pyroptosis. The relationship between cancer and pyroptosis is intricate. Paclitaxel and cisplatin triggered pyroptosis in A549 *via* the caspase 3/gasdermin E (GSDME) pathway; the effectiveness was associated with the expression of GSDME (Zhang, et al., 2019). However, another study revealed that GSDME was associated with radioresistant lung cancer cells, and its expression was indicative of a poor prognosis for LUAD (Wei, et al., 2020). Apart from its prognostic value, pyroptosis increases the immunological defenses of the host and contributes to the release of tumor antigens, a previous study demonstrated that pyroptosis stimulated the activation of CD8<sup>+</sup> T lymphocytes and inhibited tumor growth and migration (Tang, et al., 2020).

Available evidence indicates that pyroptosis plays an essential but complex role in tumors. Less attention has been paid to its precise mechanism in LUAD, particularly the impact of the hub genes on the microenvironment and anti-cancer immunity. With new technology, the appropriate treatment based on the gene expression pattern to optimize therapeutic benefits has developed. In this study, we examined the expression pattern of pyroptosis-related genes (PRGs) in LUAD, assessed their clinical utility, investigated the relationship between pyroptosis and TME, and provided therapeutic suggestions. We also explored the role of NLR-family CARD-containing protein 4 (NLRC4) in LUAD to find a new biomarker or therapeutic target.

## Materials and methods

### Dataset collection and processing

The LUAD mRNA sequencing data and corresponding clinical data up to 29 April 2022, were obtained from The Cancer Genome Atlas (TCGA) website (<https://portal.gdc.cancer.gov>). The gene expression profiles were normalized using the “limma” package. 48 PRGs were extracted from the previous study (Wang, et al., 2021). Their information is shown in Table 1. Figure 1 illustrates the complete workflow of the study.

### Identification of differentially expressed PRGs

The differentially expressed genes (DEGs) were extracted based on RNA expression between the normal and tumor samples in the entire cohort. The following criteria were used:  $|\log FC| > 0.5$  and FDR  $< 0.05$ . The “pheatmap” package was

TABLE 1 Differences in expression of pyroptosis-related genes between LUAD and normal samples.

| Gene symbol | Full name  | logFC    | p        | FDR         |
|-------------|--|----------|----------|-------------|
| AIM2        | Absent in melanoma 2   | 2.956308 | 7.49E-15 | 3.00E-14    |
| CARD8       | Caspase recruitment domain-containing protein 8                | -0.43852 | 2.48E-11 | 7.94E-11    |
| CASP1       | Caspase 1  | -0.7689  | 2.17E-17 | 1.16E-16    |
| CASP3       | Caspase 3  | 0.857751 | 5.28E-26 | 8.44E-25    |
| CASP4       | Caspase 4  | 0.300571 | 0.003537 | 0.005305042 |
| CASP5       | Caspase 5  | -1.17359 | 6.90E-20 | 5.52E-19    |
| CASP6       | Caspase 6  | 1.078872 | 3.68E-29 | 8.83E-28    |
| CASP8       | Caspase 8  | 0.563998 | 1.51E-13 | 5.56E-13    |
| DDX3X       | DEAD-box helicase 3 X-linked                                   | -0.31273 | 2.68E-06 | 6.13E-06    |
| GBP1        | Guanylate binding protein 1                                    | -0.14397 | 0.000133 | 0.000246347 |
| GBP2        | Guanylate binding protein 2                                    | 0.272685 | 0.860409 | 0.860408749 |
| GBP5        | Guanylate binding protein 5                                    | 0.732585 | 0.081692 | 0.095639142 |
| GSDMA       | Gasdermin A  | 1.113508 | 1.82E-05 | 3.64E-05    |
| GSDMB       | Gasdermin B  | 1.851111 | 4.64E-19 | 3.18E-18    |
| GSDMC       | Gasdermin C  | 2.735457 | 2.13E-15 | 9.30E-15    |
| GSDMD       | Gasdermin D  | 0.297322 | 0.009873 | 0.013539723 |
| GSDME       | Gasdermin E  | 0.677512 | 0.013544 | 0.018058017 |
| GZMA        | Granzyme A   | 0.155768 | 0.044481 | 0.054745779 |
| GZMB        | Granzyme B   | 0.117265 | 0.006247 | 0.008819273 |
| HMGB1       | High mobility group box 1                                      | -0.10315 | 0.000176 | 0.000313767 |
| IFI16       | interferon $\gamma$ -inducible protein 16                      | 0.649383 | 0.000206 | 0.000352345 |
| IL18        | Interleukin 18   | -0.2668  | 0.000113 | 0.00021771  |
| IL1B        | Interleukin 1 $\beta$  | -0.83492 | 6.83E-13 | 2.34E-12    |
| IRF1        | Interferon regulatory factor 1                                 | -0.50135 | 1.76E-07 | 4.45E-07    |
| IRF2        | Interferon regulatory factor 2                                 | -0.09168 | 0.014351 | 0.018616998 |
| IRF8        | Interferon regulatory factor 8                                 | -0.83976 | 1.29E-15 | 6.18E-15    |
| MEFV        | Mediterranean fever  | -1.50059 | 2.85E-25 | 3.43E-24    |
| NAIP        | neuronal apoptosis inhibitor protein                           | -0.0802  | 0.004813 | 0.007001444 |
| NEK7        | NIMA-related kinase 7  | -0.75799 | 5.68E-22 | 5.45E-21    |
| NLRC3       | NOD-like receptor family CARD domain containing 3              | -0.03511 | 0.048797 | 0.058556187 |
| NLRC4       | NOD-like receptor family CARD domain containing 4              | -1.89292 | 1.90E-32 | 9.11E-31    |
| NLRC5       | NOD-like receptor family CARD domain containing 5              | 0.459784 | 0.028978 | 0.036603825 |
| NLRP1       | NOD-like receptor (NLR) family pyrin domain-containing 1       | -0.31658 | 9.75E-06 | 2.03E-05    |
| NLRP12      | NOD-like receptor (NLR) family pyrin domain-containing 12      | -0.35668 | 2.91E-08 | 8.22E-08    |
| NLRP2       | NOD-like receptor (NLR) family pyrin domain-containing 2       | 1.315508 | 0.652115 | 0.665990211 |
| NLRP3       | NOD-like receptor (NLR) family pyrin domain-containing 3       | -0.67298 | 5.87E-08 | 1.57E-07    |
| NLRP6       | NOD-like receptor (NLR) family pyrin domain-containing 6       | 0.307339 | 0.236802 | 0.258329677 |
| NLRP7       | NOD-like receptor (NLR) family pyrin domain-containing 7       | 2.136525 | 5.36E-07 | 1.29E-06    |
| NLRP9       | NOD-like receptor (NLR) family pyrin domain-containing 9       | 0.665345 | 0.095985 | 0.109697104 |
| NOD1        | Nucleotide-binding oligomerization domain-containing protein 1 | -0.25127 | 3.69E-06 | 8.05E-06    |
| NOD2        | Nucleotide-binding oligomerization domain-containing protein 2 | 0.051304 | 0.450026 | 0.480027341 |
| NR2C2       | nuclear receptor subfamily 2, group C, member 2                | 0.214582 | 0.593925 | 0.619747761 |
| P2RX7       | P2X purinoceptor 7   | -0.8271  | 1.67E-17 | 1.00E-16    |
| PKN1        | Serine/threonine-protein kinase N1                             | -0.16294 | 0.000444 | 0.000687913 |
| PKN2        | Serine/threonine-protein kinase N2                             | 0.230417 | 0.163305 | 0.182294012 |
| PYCARD      | Apoptosis-associated speck-like protein containing a CARD      | -0.2949  | 0.000231 | 0.000382215 |
| TNF         | Tumor necrosis factor  | -0.23493 | 0.000321 | 0.000513452 |
| ZBP1        | Z-DNA-binding protein 1  | 1.384278 | 1.02E-09 | 3.06E-09    |



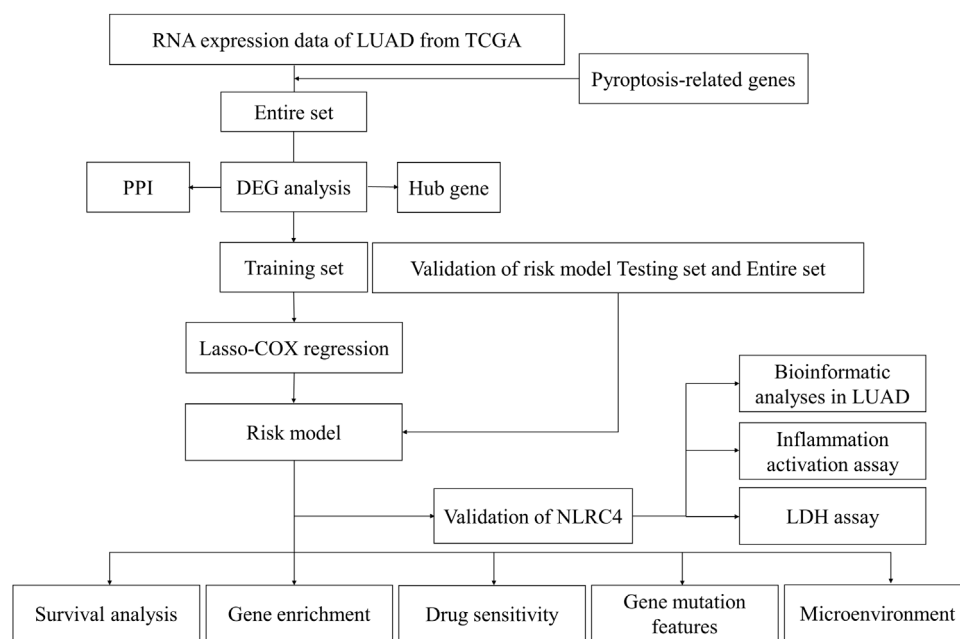


FIGURE 1

Schematic overview of the workflow in this study.

used to create a heatmap of all these genes. In addition to this, we also performed a preliminary analysis of the correlation among PRGs based on their expression. A protein-protein interaction (PPI) network for DEGs was constructed using the Search Tool for the Retrieval of Interacting Genes (<https://string-db.org/>). Cytoscape was then used for additional display, and the hub genes were obtained using the Maximal Clique Centrality (MCC) method. The association network of PRGs was used to emphasize the significance.

## Construction and validation of the pyroptosis-related gene prognostic model

In addition, we randomly separated the data into two groups (the training and testing datasets). The clinical data were compared and summarized in Table 2. The associations between each gene and survival status in the TCGA cohort were investigated using Cox regression analysis to establish the predictive value of PRGs. We chose a cutoff  $p$  value of 0.05 to avoid omissions. The least absolute shrinkage and selection operator (LASSO) method was used for variable selection and shrinkage using the “glmnet” R package in the training dataset to filter the candidate genes and generate the prognostic model. After determining the penalty parameter ( $\lambda$ ) for the model, the risk scores were computed for each patient based on the

expression level of the extracted gene, and the risk score formula was as follows: Risk score =  $\sum_i^n X_i \times Y_i$  ( $X$ : coefficients,  $Y$ : gene expression level).

## Prognostic value and validation of the risk model

The clinical data (age and stage) of all patients were retrieved and analyzed in conjunction with the risk score. The univariate and multivariate Cox regression models were used to determine the independence of components. The “prcomp” function in the “stats” R package was used to conduct principal component analysis (PCA) based on the gene signature. The sensitivity and specificity of the prognostic model were tested using time-dependent receiver operating characteristic (ROC) analysis. A 1-, 3-, and 5-year ROC curve study was performed using the “survival” “survminer” and “timeROC” R packages. Patients with LUAD were divided into subgroups with low and high risk based on the median risk score. Kaplan-Meier (K-M) analysis was used to evaluate the prognostic value of the risk model, including the overall survival (OS) in all three datasets, and the progression-free survival (PFS) in the whole dataset. This was done to ensure that the risk model was stable. The OS in patients with different stages was also analyzed.

TABLE 2 Comparison of clinical characteristics. (Chi-squared test).

| Parameter | Type      | Total        | Testing      | Training     | p value |
|-----------|-----------|--------------|--------------|--------------|---------|
| Age       | <60       | 137 (27.57%) | 68 (27.42%)  | 69 (27.71%)  | 0.2666  |
|           | ≥60       | 360 (72.43%) | 180 (72.58%) | 180 (72.29%) |         |
| Gender    | FEMALE    | 268 (53.92%) | 144 (58.06%) | 124 (49.8%)  | 0.0787  |
|           | MALE      | 229 (46.08%) | 104 (41.94%) | 125 (50.2%)  |         |
| Stage     | Stage I   | 265 (53.32%) | 145 (58.47%) | 120 (48.19%) | 0.1572  |
|           | Stage II  | 118 (23.74%) | 50 (20.16%)  | 68 (27.31%)  |         |
|           | Stage III | 80 (16.1%)   | 40 (16.13%)  | 40 (16.06%)  |         |
|           | Stage IV  | 26 (5.23%)   | 12 (4.84%)   | 14 (5.62%)   |         |
|           | unknow    | 8 (1.61%)    | 1 (0.4%)     | 7 (2.81%)    |         |
| T         | T1        | 168 (33.8%)  | 95 (38.31%)  | 73 (29.32%)  | 0.1522  |
|           | T2        | 262 (52.72%) | 125 (50.4%)  | 137 (55.02%) |         |
|           | T3        | 45 (9.05%)   | 18 (7.26%)   | 27 (10.84%)  |         |
|           | T4        | 19 (3.82%)   | 9 (3.63%)    | 10 (4.02%)   |         |
|           | unknow    | 3 (0.6%)     | 1 (0.4%)     | 2 (0.8%)     |         |
| N         | N0        | 320 (64.39%) | 167 (67.34%) | 153 (61.45%) | 0.2467  |
|           | N1        | 93 (18.71%)  | 41 (16.53%)  | 52 (20.88%)  |         |
|           | N2        | 70 (14.08%)  | 33 (13.31%)  | 37 (14.86%)  |         |
|           | N3        | 2 (0.4%)     | 2 (0.81%)    | 0 (0%)       |         |
|           | unknow    | 12 (2.41%)   | 5 (2.02%)    | 7 (2.81%)    |         |
| M         | M0        | 328 (66%)    | 165 (66.53%) | 163 (65.46%) | 0.9883  |
|           | M1        | 25 (5.03%)   | 12 (4.84%)   | 13 (5.22%)   |         |
|           | unknow    | 144 (28.97%) | 71 (28.63%)  | 73 (29.32%)  |         |

## Functional enrichment analysis of the differentially expressed genes between the low- and high-risk groups

The full cohort of patients with LUAD was separated into two subgroups according to the median risk score. And the clinical features of the two risk-groups were also compared. DEGs between low- and high-risk groups were filtered based on the criteria of  $|\log_2FC| \geq 1$  and  $FDR < 0.05$ . The “clusterProfiler” and “enrichplot” packages were used to conduct Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses based on these DEGs.

## Genetic and clinicopathological features based on the risk model

TMB represents the number of mutations per million bases in tumor tissues. The tumor tissues with a higher TMB are detected by the immune system more quickly, enhancing the efficacy of immunotherapy (Chan, et al., 2019). The TMB score for each patient with LUAD was calculated using the somatic mutation data of patients obtained from the TCGA database to investigate the association between the expression pattern of PRGs, TMB, and immunity. In addition, the relationship

between the TMB and the risk score derived by the stratified model, as well as the impact of these factors on survival were also evaluated. The infiltration score of 16 immune cells and the activity of 13 immune-related pathways were measured using the single-sample gene set enrichment analysis (ssGSEA) function of “gsva” R package. Furthermore, we analyzed the immune cells infiltration with different methods. The Estimation of Stromal and Immunological Cells in Malignant Tumors using the Expression Data (ESTIMATE, <https://bioinformatics.mdanderson.org/estimate/index.html>) website provided the stromal score, immune score, and ESTIMATE score of samples in the TCGA database, which were applied for further validation.

## Analyses of the sensitivity to anti-cancer therapy based on the model

ICIs are an efficient method for treating various types of cancer. In this study, the expression levels of immune checkpoint molecules, such as cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) and PD-L1, were compared between the two groups to see whether the stratified model could identify patients with LUAD having a favorable response to ICIs. Besides comparing the expression of immune-checkpoint-related genes, we also

analyzed the Tumor Immune Dysfunction and Exclusion (TIDE) score to identify ICI-beneficial patients. Following the uploading of the gene expression file as the instruction, the TIDE score was acquired from the website (<http://tide.dfci.harvard.edu/>). The Cancer Immunome Atlas (TCIA) was applied to conduct comprehensive immunogenomic analyses based on the sequencing data from TCGA. We also used “pRRophetic” R package to evaluate drug sensitivity, which was determined by the concentration that could inhibit 50% of cellular growth (IC50) based on the risk level.

## Bioinformatics validation of NLRC4

We compared the pattern of NLRC4 expression in pancreatic cancer. Also, we separated the complete dataset into low- and high-expression groups according to the mRNA level of NLRC4 in LUAD. GO enrichment and KEGG analyses were performed on the basis of the DEGs obtained from the two groups. The correlations with the LUAD microenvironment, including TMB, immune cell infiltrations, and immune checkpoints were also evaluated. Additionally, clinical factors, such as survival and medication sensitivity were applied to investigate its value in clinical. The methods used here were mentioned above. In addition, we acquired the immunophenoscore (IPS) for each LUAD from the Cancer Immunome Database (<https://tcia.at/home>). It served as a predictor of anti-CTLA-4 and anti-PD-1 treatment response (Charoentong, et al., 2017). And we compared the differences in IPS between the low- and high-expression groups.

## Cell culture and inflammasome activation assay

Human embryonic kidney 293 cells (HEK-293T) were cultured on Dulbecco's modified Eagle's medium (DMEM, Gibco, US) containing 10% fetal bovine serum (Gibco, US) and antibiotics (penicillin and streptomycin). H1299 cells were cultured in an incubator at 37°C in the presence of 5% CO<sub>2</sub> with Roswell Park Memorial Institute 1640 (RPMI-1640, Gibco, US) containing 10% fetal bovine serum and antibiotics. HEK-293T or H1299 cells were plated into six-well tissue culture plates overnight. HEK-293T cells were transfected with Polyethylenimine and Human influenza hemagglutinin (HA) tagged full-length NLRC4, pro-caspase 1, apoptosis-related specific protein (ASC), and pro-interleukin (IL)-1 $\beta$  to imitate the activation of the inflammasome, while H1299 cells were transfected with HA-tagged full-length NLRC4 for the lactate dehydrogenase (LDH) assay. The cells were collected for further study after 48 h incubation.

## Lactate dehydrogenase (LDH) assay

LDH is a stable cytoplasmic enzyme present in every cell. When the plasma membrane is compromised, LDH is rapidly released into the culture supernatant. LDH leakage was evaluated using a colorimetric LDH test kit (Promega, US) following the manufacturer's protocols. The absorbance value of each group was compared with the absorbance value of the control group.

## Quantitative polymerase chain reaction and Western blotting

RNA was extracted using TRIzol (ThermoFisher, US) following the manufacturer's protocols. The isolated RNA was reverse-transcribed into cDNA using a first-strand cDNA synthesis kit (ABclonal, CN). Quantitative PCR was performed in triplicate using the MonAmp SYBR Green qPCR Mix kit (Monad, CN), and was performed on an RT-PCR instrument (Bio-RAD, US). Glyceraldehyde 3-phosphate dehydrogenase (GAPDH) was used as a reference.

The primer sequences for qPCR were as follows:

RT-PCR GAPDH Forward 5'-TGACTTCAACAGCGACAC CCA-3'

RT-PCR GAPDH Reverse 5'-CACCCTGTTGCTGT AGCCAAA-3'

RT-PCR NLRC4 Forward: 5'-GTGTTCTCCACAAGTTT GA-3'

RT-PCR NLRC4 Reverse: 5'-AGTAACCATTCCCCTTGG TC-3'

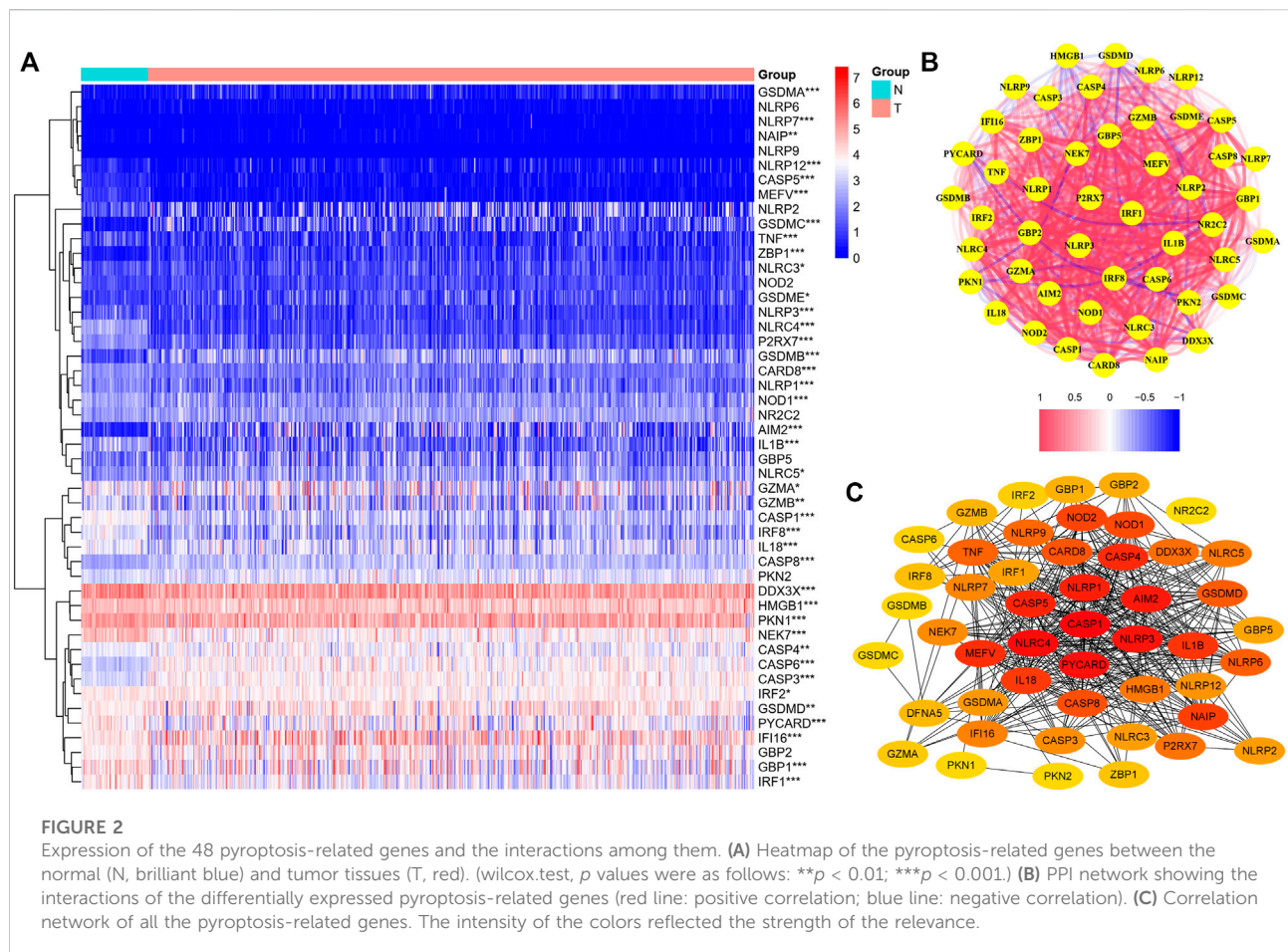
RT-PCR caspase-1 Forward: 5'-CAGACAAGGGTGCTG AACAA -3'

RT-PCR caspase-1 Reverse: 5' -TCGGAATAACGGAGT CAATCA-3'

RT-PCR IL-1 $\beta$  Forward 5'-ATGGCAGAAGTACCTGAG CTC-3'

RT-PCR IL-1 $\beta$  Reverse 5'-TTAGGAAGACACAAATTG CATG-3'

The cells were collected and lysed with 2% sodium dodecyl sulfate (SDS) in the presence of complete protease inhibitor mixture. The loading volume was mainly adjusted by the expression of tubulin. After adjusting by 1  $\times$  loading buffer, equal amounts of protein were loaded onto SDS-PAGE gels and transferred to NC membranes. The membranes were blocked for 1 h at RT with 5% milk and subsequently incubated at 4°C overnight with the primary antibody. After washing the membrane, incubated with secondary antibody for 1 h at RT. Then, washed the membrane again before chemiluminescence analysis. The following antibodies were used: HA (CST, United States, cat#3724S), caspase-1 (CST, United States, cat#3866T), IL-1 $\beta$  (CST, United States, cat#12242S), and tubulin (proteintech, CN, cat#I0004491). The secondary anti-



mouse (proteintech, CN, cat# SA00001-1) and anti-rabbit immunoglobulins (proteintech, CN, cat# SA00001-4) were used.

## Statistical analysis

All statistical analyses in this study were conducted on R software (version 4.1.2). The statistical tests were all two sided. A  $p$  value  $< 0.05$  indicated a statistically significant difference. The statistical significance of two groups was evaluated using the Student  $t$  test and Wilcoxon test. For correlation analysis was conducted by Spearman and Pearson cor test. Chi-squared test was used to caculate composition difference.

## Results

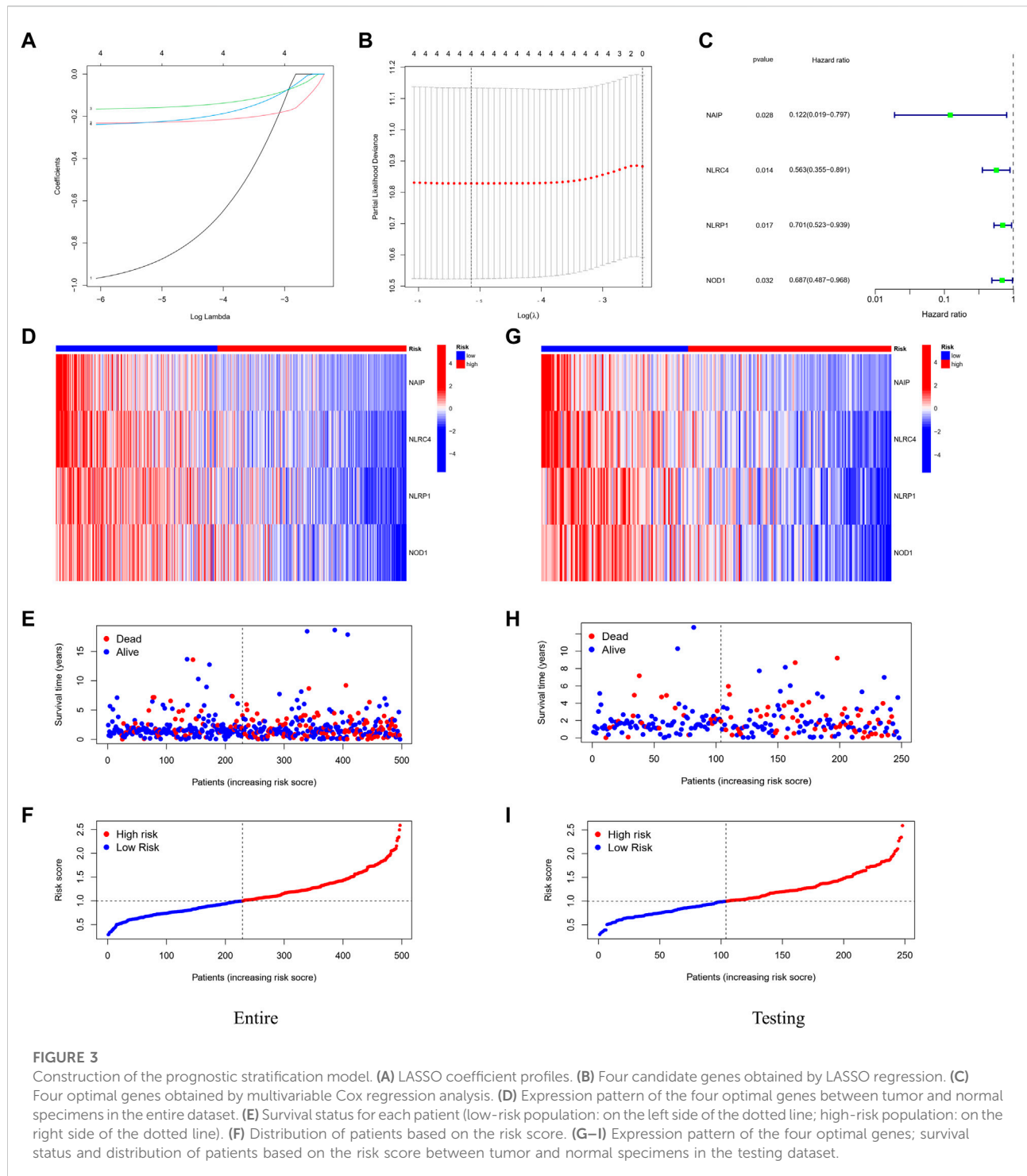
### Identification of differentially expressed genes between normal and tumor tissues

We found that 21 of the 48 PRGs were differentially expressed ( $\log_{2}FC > 0.5$ , FDR  $< 0.05$ ) in the TCGA dataset

consisting of 59 normal and 535 tumor tissues; 10 genes were downregulated (*IL-1 $\beta$* , *NLRC4*, *CASP5*, *IRF1*, *CASP1*, *NLRP3*, *NEK7*, *P2RX7*, *MEFV*, and *IRF1*), while the remaining were upregulated (*CASP8*, *GSDME*, *CASP3*, *CASP6*, *GSDMA*, *GSDMB*, *NLRP7*, *GSDMC*, *ZBP1*, *IFI16*, and *AIM2*). The RNA levels of these genes are depicted as heatmaps in Figure 2A. The correlation network containing all PRGs is shown in Figure 2B (the red line represents positive correlations, while the blue line represents negative correlations), and most of these genes displayed a positive relationship. We conducted a PPI analysis for these genes to further investigate the interactions of these PRGs. Among these, *CASP1*, *NLRC4*, *NLRP1*, *CASP5*, *NLRP3*, *CASP8*, and *AIM2* were the hub genes, which had the maximum interactions with other genes. The results are presented in Figure 2C.

### Development of a prognostic gene model in the TCGA training cohort and validation

A total of 497 samples with complete survival information were collected for further analysis. Initially, the genes associated



with survival were evaluated using univariate Cox regression analysis on the training dataset. We retained the four genes *NLRC4*, NOD-like receptor (NLR) family pyrin domain-containing 1 (*NLRP1*), Nucleotide-binding oligomerization domain-containing protein 1 (*NOD1*), and NLR family

apoptosis inhibitory proteins (*NAIP*) for risk model development based on the optimal value from LASSO Cox regression analysis (Figures 3A–C). The risk score formula was: Risk score =  $(-1.01608731367098 \times \text{NAIP exp}) + (-0.235231123651649 \times \text{NLRC4 exp}) +$



TABLE 3 comparison of clinical features between two-risk groups in entire set. (Chi-squared test).

| Parameter | Type      | Total        | High         | Low          | p value |
|-----------|-----------|--------------|--------------|--------------|---------|
| Age       | <60       | 133 (27.2%)  | 77 (29.39%)  | 56 (24.67%)  | 0.2856  |
|           | ≥60       | 356 (72.8%)  | 185 (70.61%) | 171 (75.33%) |         |
| Gender    | FEMALE    | 265 (54.19%) | 133 (50.76%) | 132 (58.15%) | 0.1226  |
|           | MALE      | 224 (45.81%) | 129 (49.24%) | 95 (41.85%)  |         |
| Stage     | Stage I   | 265 (54.19%) | 125 (47.71%) | 140 (61.67%) | 0.0115  |
|           | Stage II  | 118 (24.13%) | 68 (25.95%)  | 50 (22.03%)  |         |
|           | Stage III | 80 (16.36%)  | 51 (19.47%)  | 29 (12.78%)  |         |
|           | Stage IV  | 26 (5.32%)   | 18 (6.87%)   | 8 (3.52%)    |         |
| T         | T1        | 167 (34.15%) | 73 (27.86%)  | 94 (41.41%)  | 0.0154  |
|           | T2        | 257 (52.56%) | 151 (57.63%) | 106 (46.7%)  |         |
|           | T3        | 44 (9%)      | 27 (10.31%)  | 17 (7.49%)   |         |
|           | T4        | 18 (3.68%)   | 10 (3.82%)   | 8 (3.52%)    |         |
|           | unknow    | 3 (0.61%)    | 1 (0.38%)    | 2 (0.88%)    |         |
| N         | N0        | 315 (64.42%) | 154 (58.78%) | 161 (70.93%) | 0.0046  |
|           | N1        | 91 (18.61%)  | 58 (22.14%)  | 33 (14.54%)  |         |
|           | N2        | 70 (14.31%)  | 46 (17.56%)  | 24 (10.57%)  |         |
|           | N3        | 2 (0.41%)    | 0 (0%)       | 2 (0.88%)    |         |
|           | unknow    | 11 (2.25%)   | 4 (1.53%)    | 7 (3.08%)    |         |
| M         | M0        | 322 (65.85%) | 184 (70.23%) | 138 (60.79%) | 0.2148  |
|           | M1        | 25 (5.11%)   | 18 (6.87%)   | 7 (3.08%)    |         |
|           | unknow    | 142 (29.04%) | 60 (22.9%)   | 82 (36.12%)  |         |

( $-0.169828624865761 \times \text{NLRP1 exp}$ ) + ( $-0.246566494613787 \times \text{NOD1 exp}$ ). In addition, patients in various datasets were divided into low- and high-risk subgroups based on the median risk score. And the clinical parameters comparisons in the entire cohort were shown in Table 3. All these genes were relatively suppressed in the population at high-risk. Patients in the high-risk group (on the left side of the dashed line) died more often and lived shorter times than those in the low-risk group (on the right side of the dashed line). This showed that LUAD with a high score might have a worse outcome. Additionally, Figures 3D–F shows the whole dataset, and Figures 3G–I shows the testing dataset.

Independent prognostic value of the risk model and its clinical application

The univariate and multivariable Cox regression analyses were conducted to determine whether the risk score generated by the gene signature model could be employed as an independent prognostic factor. Both the stage and the risk score were found to be independent predictors of poor survival in the TCGA cohorts via the univariate Cox regression analysis ( $p < 0.001$ , Figure 4A,B). We also performed PCA based on tumor and normal specimens and found that the 48 PRGs completely

distinguished LUAD samples (Figure 4C). The area under the ROC curve was 0.685 for 1-year survival, 0.610 for 3-year survival, and 0.618 for 5-year survival (Figure 4D). They were further confirmed in the testing and entire datasets, indicating that the pyroptosis-associated risk score had a strong and dependable capacity to predict the prognosis for patients with LUAD. All three datasets showed poorer OS in the high-risk group ( $p < 0.05$ ), as well as the PFS in the entire dataset ( $p = 0.026$ ). These results demonstrated that patients with a high-risk score had a worse prognosis (Figure 4G–J). We further examined the risk model by comparing the OS in patients with different stages. We discovered that patients in the high-risk group had a worse prognosis for both early and advanced stages of lung cancer (Figure 4K,L:  $p = 0.021$  in stages I and II,  $p < 0.001$  in stages III and IV).

Distinct biological processes, TME, and treatment decision characteristics in LUAD were based on the risk model

Further, we identified DEGs to examine the differences in gene functions and pathways between the subgroups divided by the risk model. Between these, 508 DEGs were identified in the total TCGA cohort. The GO enrichment analysis and KEGG

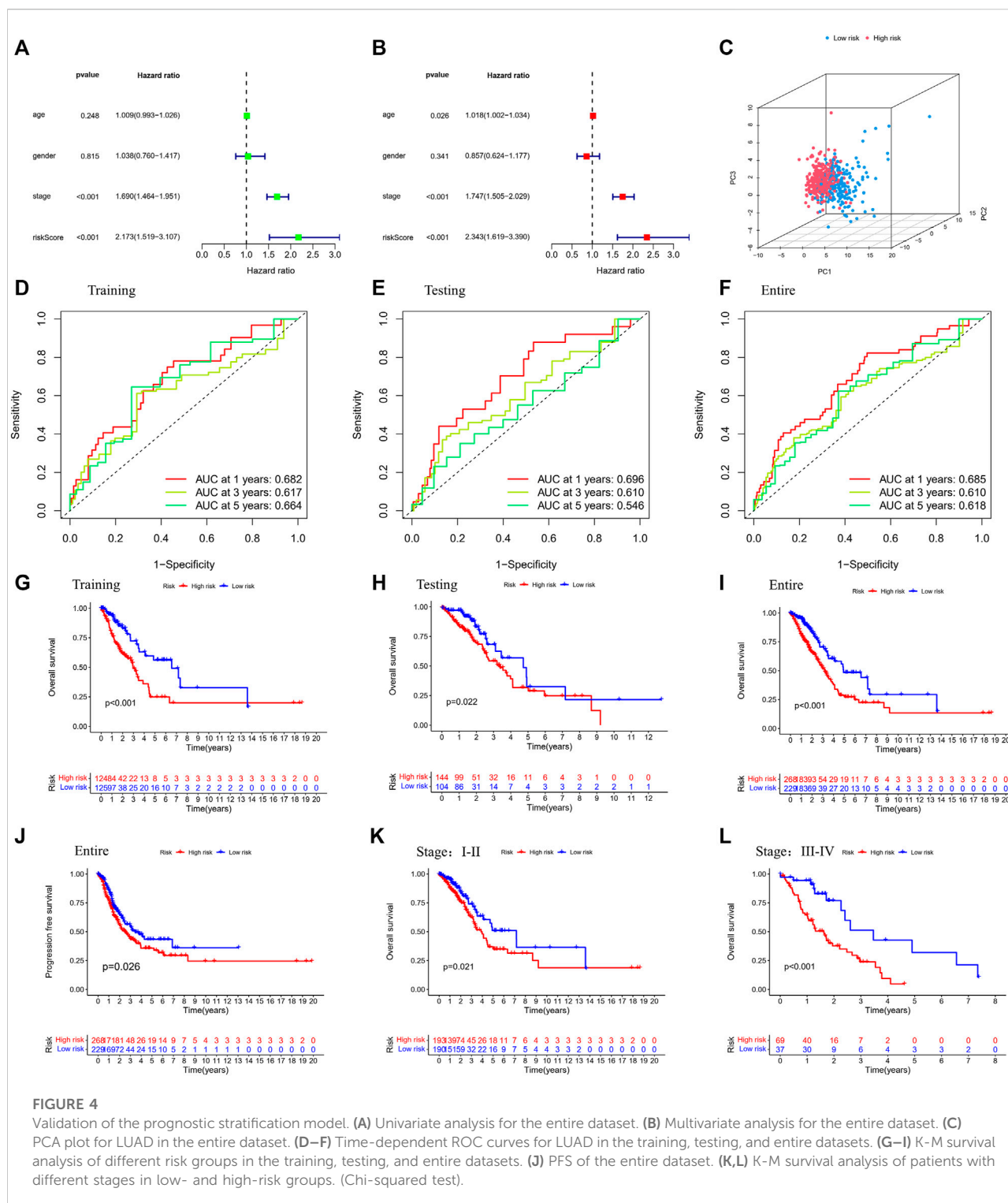
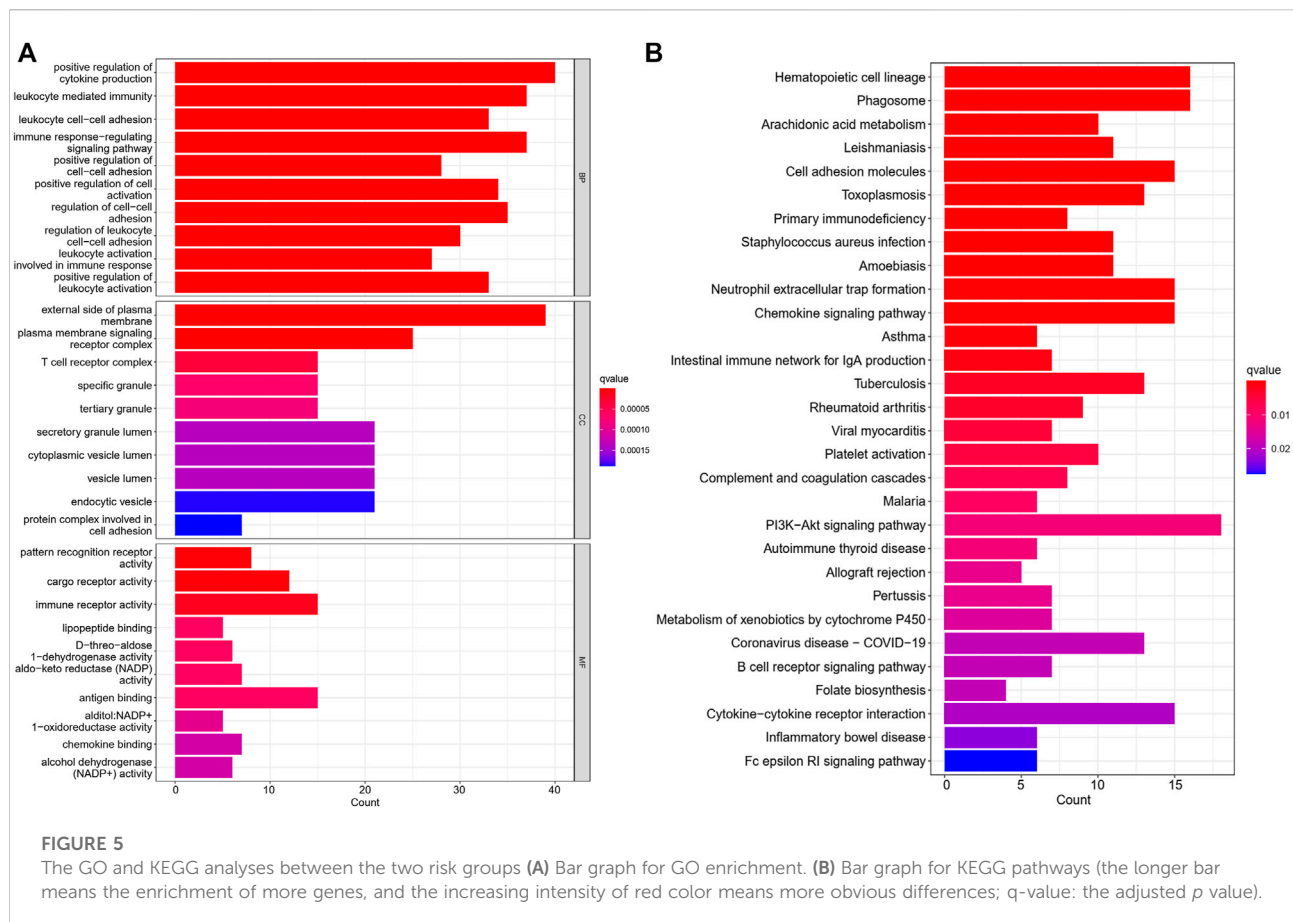


FIGURE 4

Validation of the prognostic stratification model. (A) Univariate analysis for the entire dataset. (B) Multivariate analysis for the entire dataset. (C) PCA plot for LUAD in the entire dataset. (D-F) Time-dependent ROC curves for LUAD in the training, testing, and entire datasets. (G-I) K-M survival analysis of different risk groups in the training, testing, and entire datasets. (J) PFS of the entire dataset. (K,L) K-M survival analysis of patients with different stages in low- and high-risk groups. (Chi-squared test).

pathway analyses were performed. The GO enrichment of DEGs was primarily associated with the immune system, including cytokine generation, immune response regulation, chemokine binding, and inflammatory cell chemotaxis (Figure 5A). Regarding the KEGG pathway, we discovered that it

corresponded with the GO analysis, which included the chemokine signaling pathway, the B cell receptor signaling pathway, the cytokine-cytokine receptor interaction, and so on (Figure 5B). It was obvious that this pyroptosis-related model might be related to immunity, which could help us differentiate

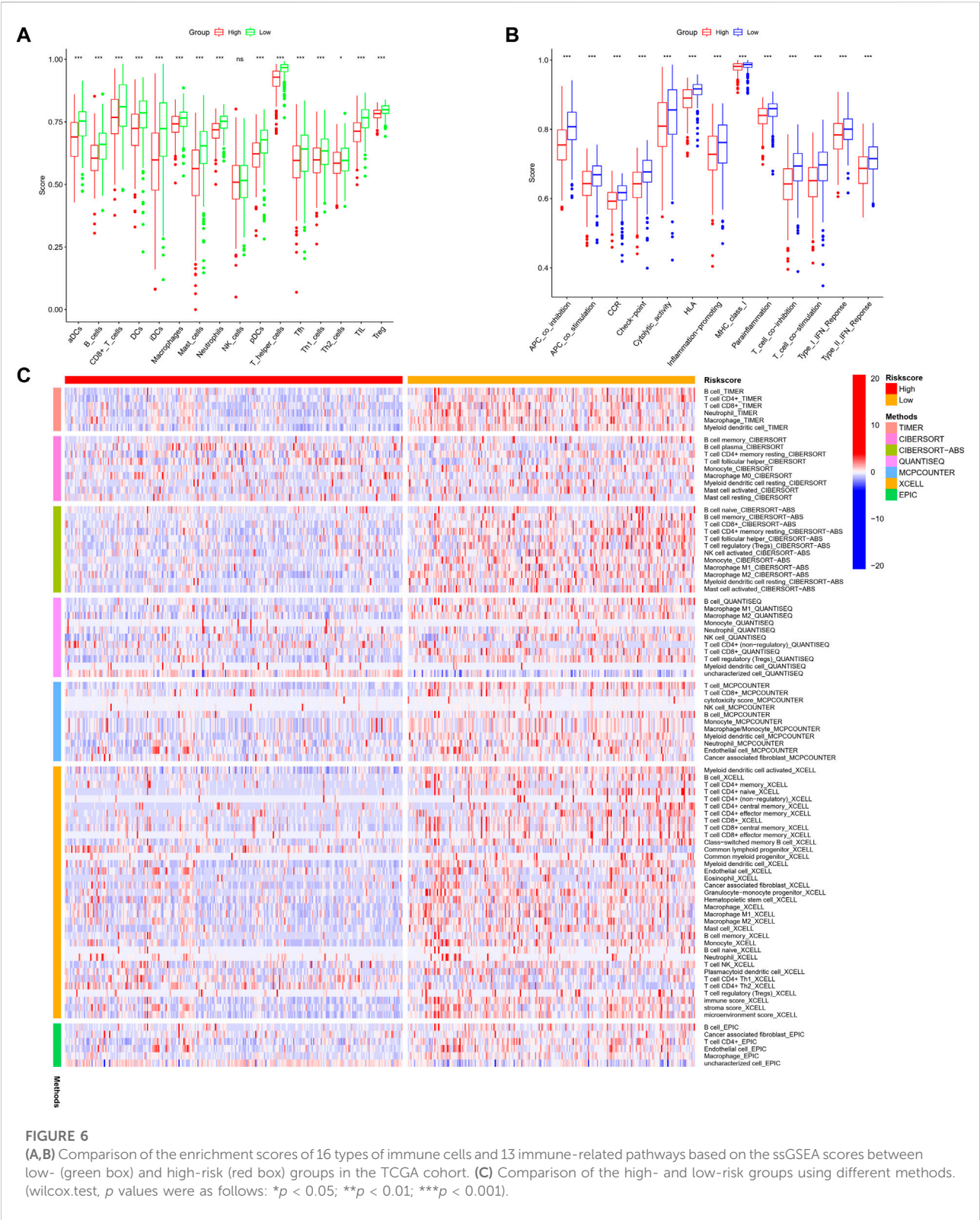


LUAD with varying immunological status. The ssGSEA was used to evaluate the enrichment scores of 16 types of immune cells and the activity of 13 immune-related pathways. The low-risk subgroup showed higher proportions of CD8<sup>+</sup> T cells, neutrophils, natural killer (NK) cells, T helper (Th) cells (Th1 and Th2), tumor-infiltrating lymphocytes, and regulatory T (Treg) cells compared with the high-risk subgroup (Figure 6A). In the entire TCGA cohort, the activity of all 13 immunological pathways was lower in the high-risk group (Figure 6B). The immune cell infiltration was further investigated using various techniques. Consistent with previous findings, many immune-infiltrating cell subpopulations, including effector memory B cells, CD8<sup>+</sup> T cells, CD4<sup>+</sup> T cells, and NK cells, were significantly enriched in the low-risk group (Figure 6C).

We analyzed the correlations between the risk model and TMB. To highlight the importance of risk score. We compared the most prevalent mutation genes between the two risk groups by collecting the LUAD mutation data from TCGA. We found that the high-risk group had a significantly greater mutational rate ( $p = 0.0003$ , Figure 7A–C). The top list included Tumor protein P53 (TP53) and Kirsten rat sarcoma virus (KRAS). Previous studies found that the TP53 gene was a suppressor gene, its mutation had a significant impact on cancer risk, while KRAS mutation correlated with a low

response rate to gefitinib in LUAD (Greathouse, et al., 2018; Reck, et al., 2021). The mutations of these oncogenes based on the risk model might indicate some potential relations in the drug resistance of LUAD. Next, the TMB survival probability was explored. Patients with a low TMB and a high-risk level had the worst outcome (Figure 7D,E).

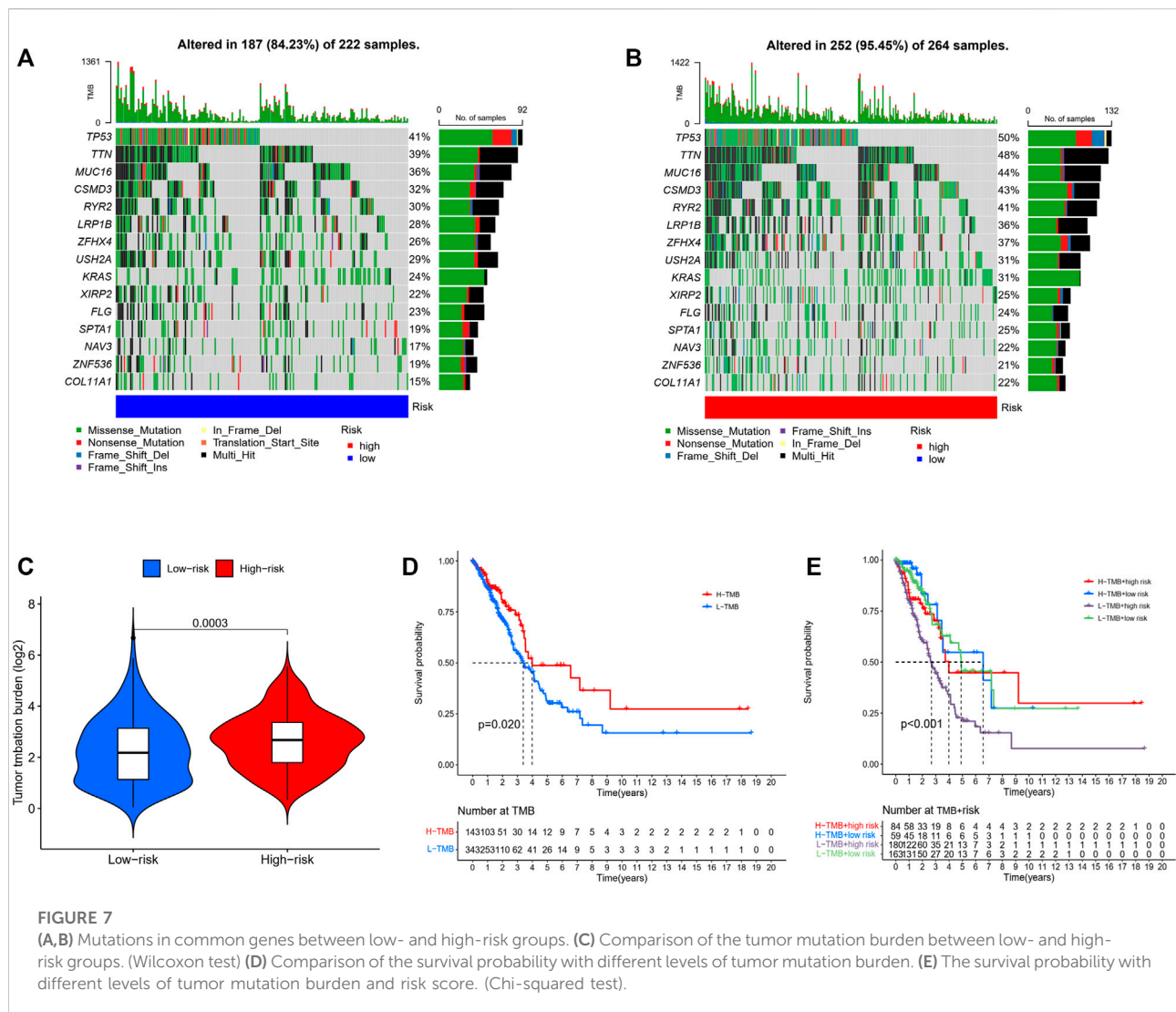
The correlation between risk score and immune checkpoint expression was further estimated based on the results of GO and KEGG enrichment. As shown in Figure 8A–H, low-risk LUAD exhibited higher expression of various molecular markers (PD-L1, CTLA4, Lymphocyte-activation gene 3: LAG3, CD27, CD80), indicating a superior immunotherapeutic response. Another newly identified predictor TIDE is frequently employed and strongly advised for evaluating the immune response and immune evasion (Jiang, et al., 2018). However, our study revealed that the TIDE expression dramatically increased in the low-risk group, indicating an immune escape phenotype in low-risk group, which is contradictory to immune checkpoint analysis and will be discussed in detail below. In addition, we examined the degree of immune cell infiltration (immune score) and stromal cell infiltration (stromal score) across three unique patterns. The high-risk patients had the lowest immune score compared with the low-risk patients. Also, they had a lower stromal score, indicating that



high-risk LUAD had fewer nontumor components, such as immune cells and stromal cells, which might correspond with a poorer response to immunotherapy (Figure 8I–L). The aforementioned

results demonstrated that the difference in tumor pyroptosis patterns might play a crucial role in mediating the clinical response to ICIs treatment through the impact on TMB,





immune cell infiltration, immunogenicity, and checkpoint expression, providing insights into the crucial role of pyroptosis in the regulation of the immune microenvironment of LUAD.

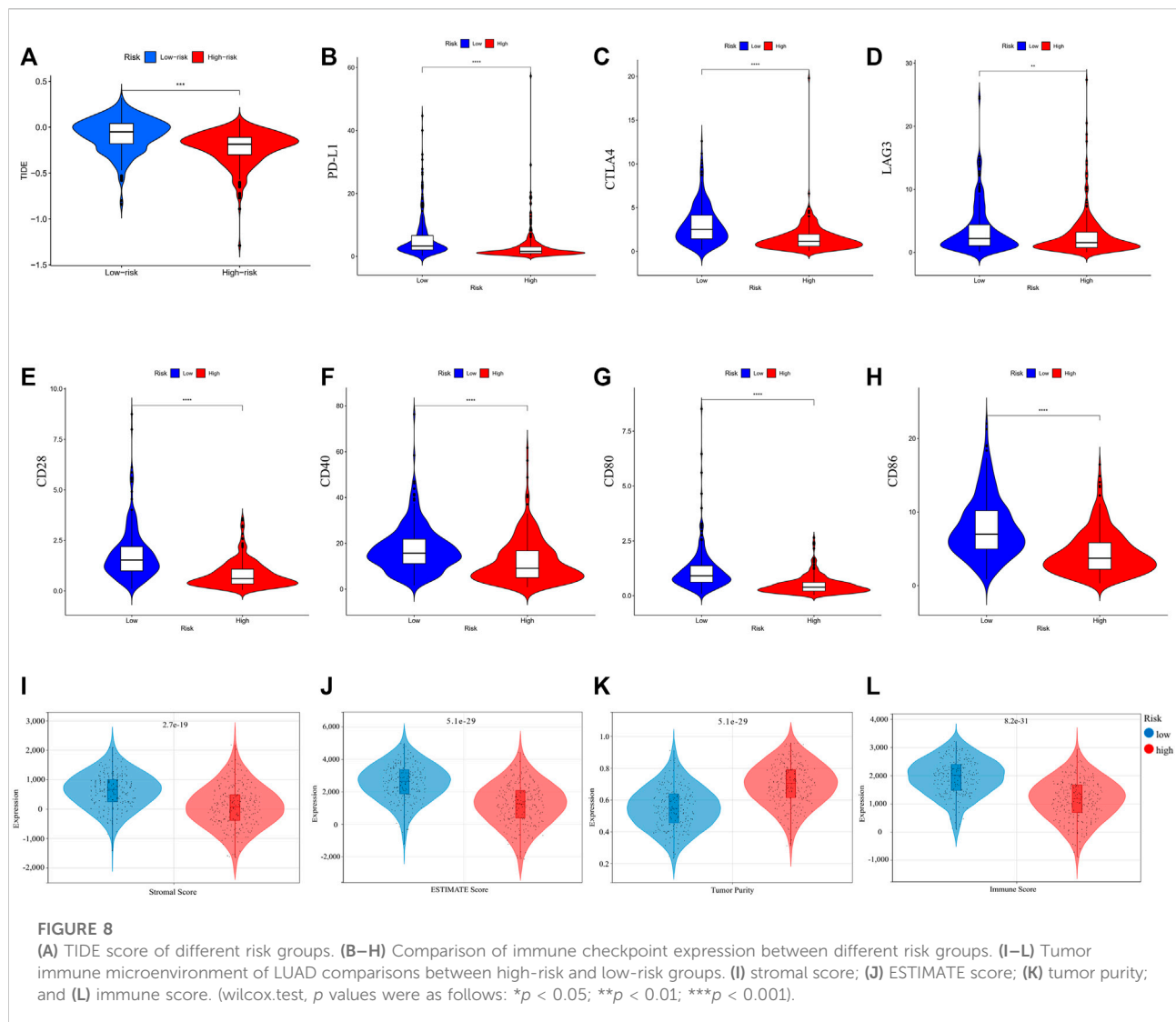
We investigated common anti-tumor drugs in LUAD to confirm whether the PRGs-related risk model could provide treatment suggestions. We discovered that the high-risk group was more sensitive to erlotinib, gemcitabine, docetaxel, paclitaxel, and rapamycin than the low-risk group, while patients with low-risk were more sensitive to gefitinib and crizotinib (Figure 9).

## NLRC4 induced caspase-1-dependent pyroptosis and could arrest the progression of LUAD

We extracted NLRC4 for further investigation to find the potential mechanisms associated with pyroptosis in LUAD. We

compared the expression level of NLRC4 in pan-cancer and found that it was much lower in LUAD tissues than in normal tissues (Figure 10). It was validated in the TCGA cohort, where its expression was inversely linked with the outcome (Figure 11A–E). It also had a positive relationship with Toll-like receptor 4 (TLR4), which might promote the synthesis or release of pro- and anti-inflammatory cytokines and chemokines via the activation of transcription factors such as NF- $\kappa$ B, as well as the activation of adaptive immunity (Figure 11F) (Pinto, et al., 2011). Furthermore, we investigated the relationship between NLRC4 and immunity. NLRC4 expression negatively correlated with the proportions of Tregs, naive B cells, and plasma cells, and positively correlated with the proportions of dendritic cells, macrophages, and so on (Figure 12A,B). Regarding the tumor tissues, the higher the level of NLRC4, the higher the immune score was (Figure 12C). The expression of NLRC4 was also found to have some correlations with immune checkpoints, especially a



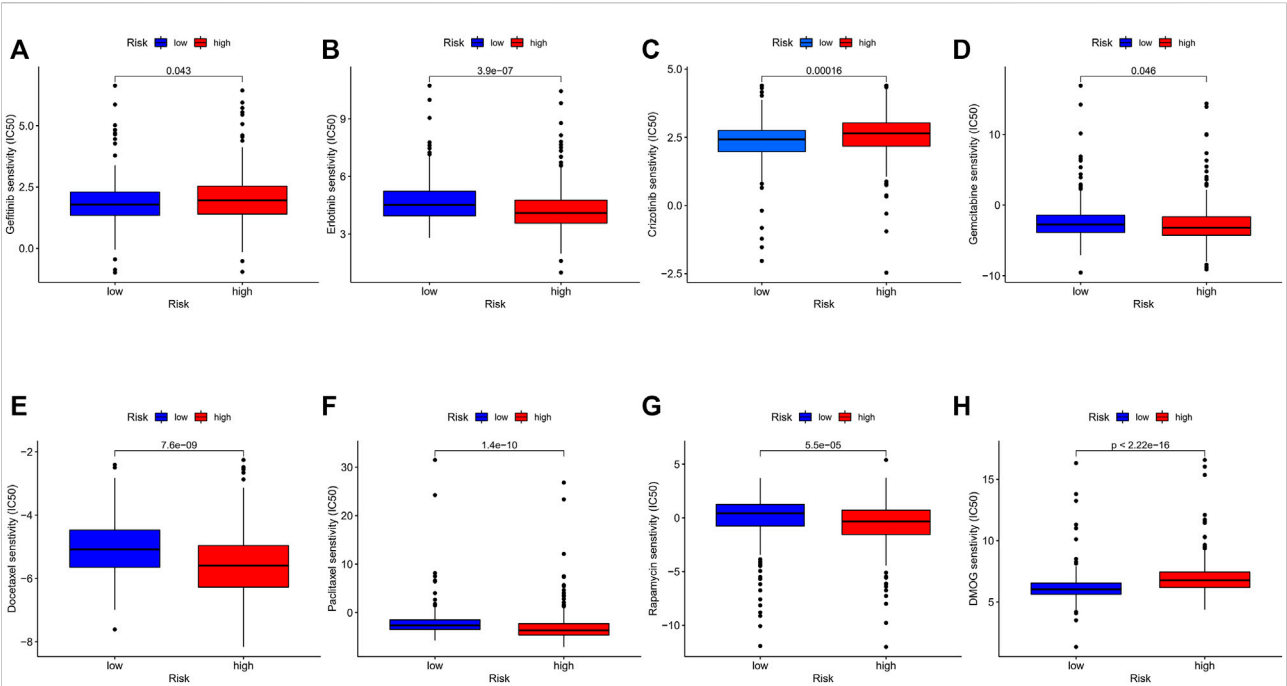


positive correlation with CD40 and CD28, which participated in T cell activation. However, the correlation between NLRC4 and TMB was negative ( $p < 0.01$ ) (Figure 12D,E). The drug sensitivity analyses revealed that LUAD with a higher level of NLRC4 was more sensitive to crizotinib (Figure 12F,G), which needs clinical data for validation. The immunotherapy prediction indicated that higher expression levels correlated with a better response to anti-PD-1 and anti-CTLA-4 therapy (Figure 12H–K).

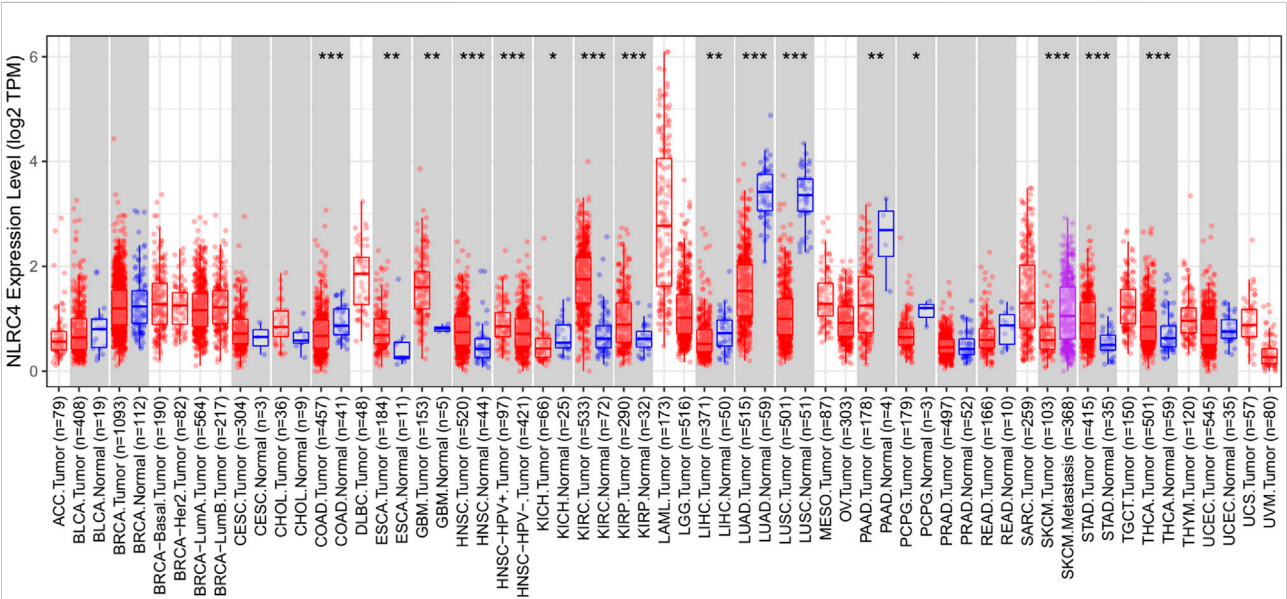
An inflammasome activation system was established in HEK293T cells to study further the probable mechanism linking NLRC4, pyroptosis, and LUAD. We found that overexpressing NLRC4, caspase-1, and IL-1 in HEK293T cells enhanced the maturation of caspase-1 and IL-1. As shown in Figure 13, cleaved IL-1, caspase 1, and LDH levels increased in HEK293T and H1299 cells when NLRC4 was overexpressed, implying the death of more cancer cells (Figure 13).

## Discussion

As an alternative mode of programmed cell death, necroptosis can elicit strong adaptive immune responses that may defend against tumor progression (Salomon, et al., 2018). Necrosis-induced inflammation facilitates tissue repair responses (which are largely immunoregulatory) but not effective anticancer immunity (Tang, et al., 2020). Activation of the canonical programmed necrosis includes the formation of a complex containing receptor-interacting protein kinase-1 (RIP1), RIP3 and recruitment of mixed lineage kinase domain-like protein (MLKL), leading to lytic cell death accompanied by *de novo* production of proinflammatory mediators (Snyder, et al., 2019). Another key mediator in necroptosis includes cellular inhibitor of apoptosis protein 1 (cIAP1/2), deubiquitinase cylindromatosis (CYLD), and caspase-8. CYLD deubiquitinates RPK1 and subsequently

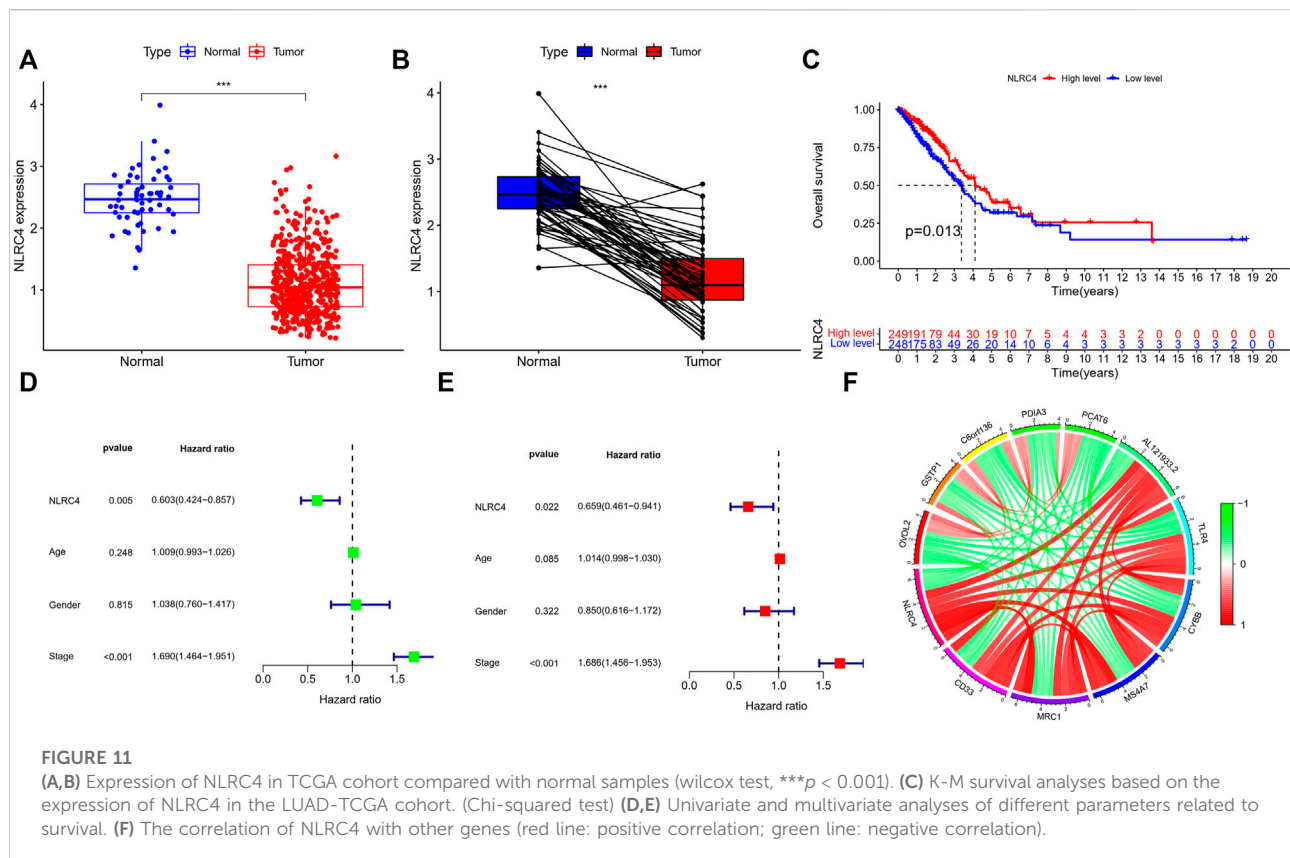


**FIGURE 9**  
(A–H) Comparison of IC50 between different risk groups. (wilcox.test,  $p$  values were as follows:  $*p < 0.05$ ;  $**p < 0.01$ ;  $***p < 0.001$ ).



**FIGURE 10**  
Expression of NLRC4 in pan-cancer compared with normal samples. Expression of NLRC4 was significantly lower in LUAD samples than in normal samples.

limits the sustained activation of NF- $\kappa$ B signaling, while cIAP1/2 polyubiquitinates RIPK1 to induce it (Gong, et al., 2019). Although previous studies pointed out its antitumor immunogenicity through CD8<sup>+</sup> T cells, recent research proved that immune-mediated tumor control by necroptotic fibroblasts requires nuclear factor  $\kappa$ B (NF- $\kappa$ B) activation within dying cells



but not MLKL-mediated and cell lysis-dependent DAMP release (Snyder, et al., 2019). And the role of NF- $\kappa$ B activation in necroptosis-provoked antitumor immunity is controversial (Yatim, et al., 2015). MLKL translocation to the plasma membrane is triggered by RIPK3-mediated phosphorylation of MLKL, which results in membrane damage. Consequently, potassium ion efflux may further activate NLRP3 via NEK7, which may constitute a cross-talk with the pyroptosis pathway (Tang, et al., 2020). Pyroptosis is a new nonapoptotic form of programmed cell death strongly associated with the inflammatory response by triggering the production of cytokines, such as IL-1 and IL-18, playing a crucial role in modulating the progression of cancer (Hsu, et al., 2021). We developed a PRGs model to analyze the effect of pyroptosis on LUAD progression and possible biochemical pathways to address the potential of integrating these two modalities comprehensively. We also generated a landscape of the differences in the LUAD microenvironment based on these gene signatures to develop personalized anti-cancer therapeutic strategies. In this study, the mRNA levels of 48 PRGs were measured in LUAD and normal tissues, thus obtaining DEGs. We constructed a pyroptosis-related gene model to identify two pyroptosis patterns distinguished by different biological processes and immunological features. When the risk model was applied in a clinical setting, the

score accurately predicted the prognosis of individual patients with LUAD. Patients with high scores typically had shorter survival times. The pyroptosis-related score demonstrated substantial correlations with PD-L1, CTLA-4, and immunophenotype, confirming the ability of the risk model to predict the immunotherapy response. In addition, patients with different scores had varying sensitivity to target therapy or chemotherapy, thus providing some suggestions for individualized anti-cancer therapies. Overall, this study showed how pyroptosis influenced the microenvironment in LUAD and highlighted its value in predicting the response to anti-cancer treatment.

Inflammation by innate immune cells designed to fight infections and heal wounds can contribute to the initiation and progression of cancer by secreting growth factors and reactive oxygen species, which induce genomic alterations, chronic and uncontrolled inflammation, and proliferation of malignant cells (Hanahan and Weinberg, 2011). Also, cytokines, chemokines, and a few other substances may enhance proliferation, prevent cell death, and facilitate the migration of cancer through their influence on the TME (Grivennikov, et al., 2010). The inflammasome is a cytosolic immunological signaling complex that causes inflammation and pyroptosis. It is composed of a sensor receptor and an adaptor protein (apoptosis-associated speck-like protein containing a

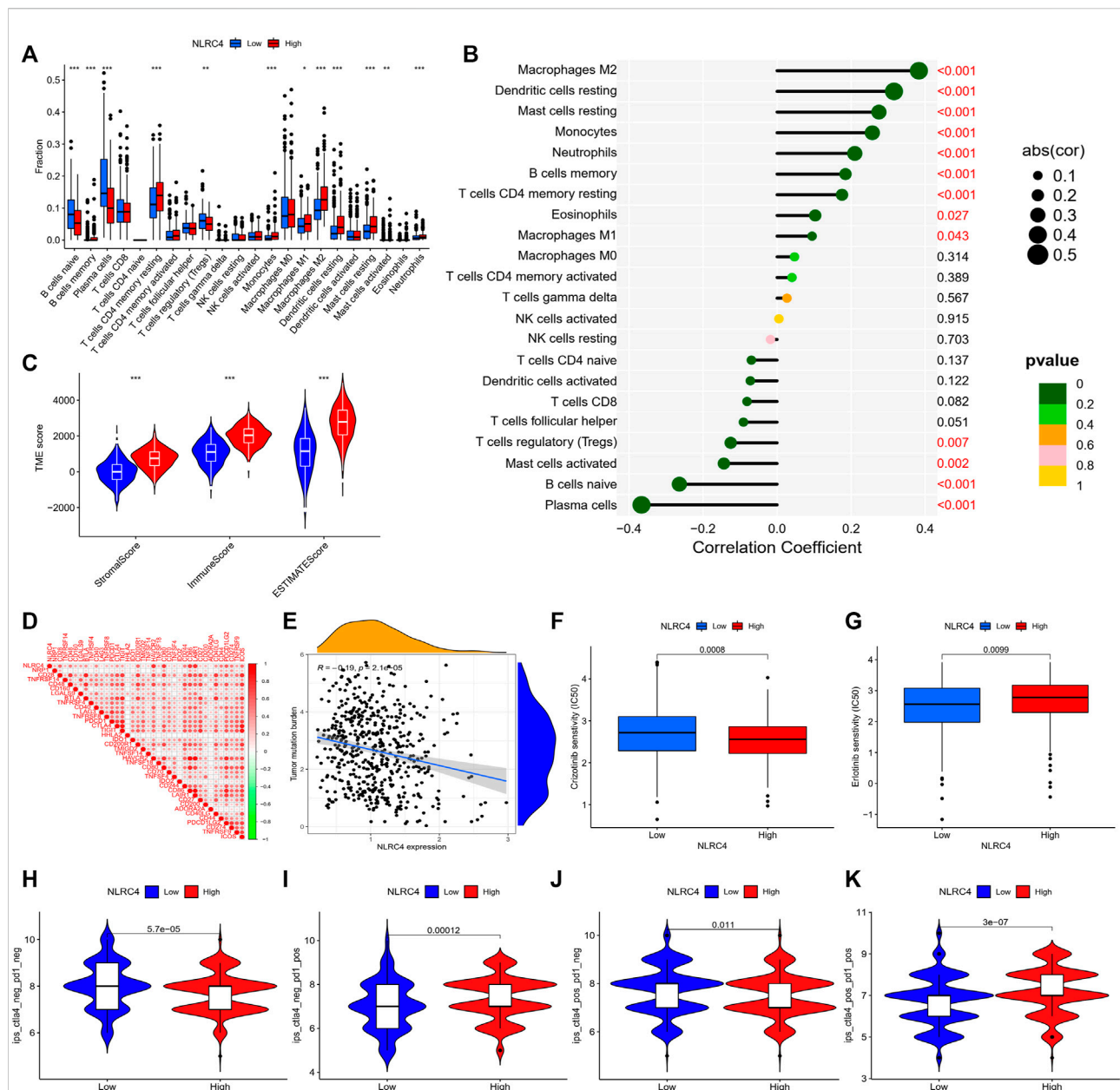
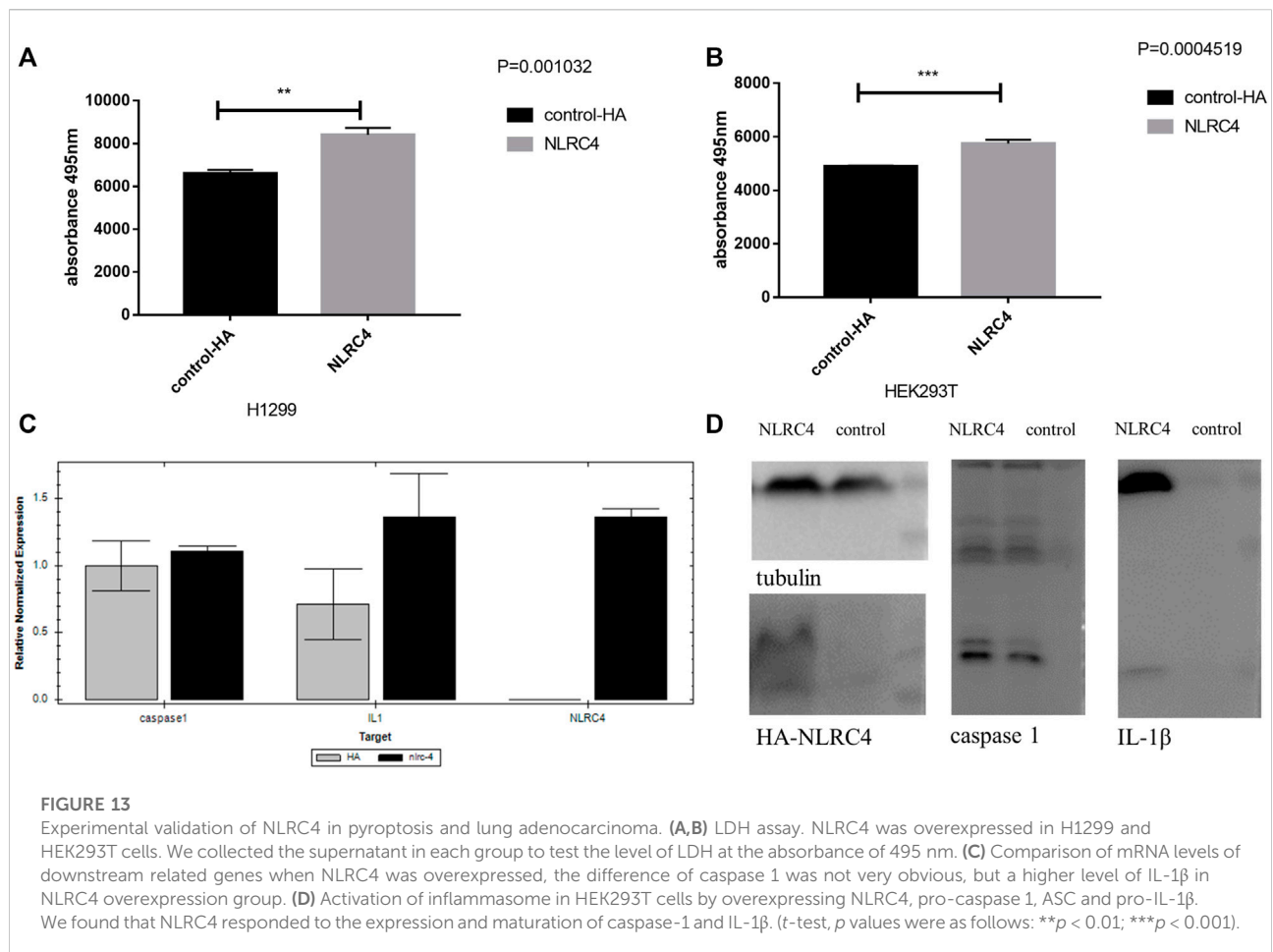


FIGURE 12

(A) Infiltrating levels of 22 immune cell types between high- and low-expression groups. (B) Correlation coefficient analyses of NLRC4 and different immune cells. (C) Tumor microenvironment between high- and low-NLRC4-expression groups (wilcox test, \*\*\* $p < 0.001$ ). (D) Correlation of NLRC4 and other immune checkpoints (Pearson cor test). (E) Correlation coefficient analyses of NLRC4 and TMB (Spearman cor test). (F,G) Drug sensitivity for LUAD with different NLRC4 expression levels. (H–K) TCIA analyses of LUAD with different NLRC4 expression levels. (wilcox test,  $p$  values were as follows: \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ).

caspace activation and recruitment domains complex). A functional inflammasome is initiated by pattern recognition receptors that can detect pathogen-associated molecular patterns, danger-associated molecular patterns, and homeostasis-altering molecular processes, including nucleotide-binding domain-like receptors (NLRs), absent in melanoma 2-like (AIM2) receptors, and the newly identified

pyrin domain-containing receptors (Xue, et al., 2019). Multiple inflammasomes, including NLRP3, NLRC4, NLRP1, and AIM2, may inhibit tumor initiation by influencing innate and adaptive immunity, apoptosis, and differentiation (Di Virgilio, 2013). A previous study discovered that NLRP1, NLRP3, NLRC4, and AIM2 inflammasome complex proteins had pro- or antitumoral properties, especially in breast cancer (Jin and Kim, 2020).



Human NLRP1 was identified as the first protein capable of forming an inflammasome complex. Recent studies indicated that NLRP1 expression was higher in primary breast cancer tissue than in adjacent noncancerous tissue and was associated with lymph node metastasis, tumor-node-metastasis (TNM) stage, and Ki-67. Moreover, NLRP1 enhanced breast cancer cell proliferation, migration, and invasion by inducing epithelial-mesenchymal transition (EMT) (Wei, et al., 2017). The N-terminal oligomerization domain (NOD) proteins, NOD1 and NOD2, are members of the intracellular NOD-like receptor family, which can induce proinflammatory responses. NOD1 was found to be constitutively expressed in epithelial cells, helping in monitoring cytosol integrity and avoiding malignant transformation (Shin, et al., 2018). The overexpression of NOD1 significantly inhibited carcinogenesis *in vivo* and increased the sensitivity of hepatocellular carcinoma cells to chemotherapy *via* blocking the mitogen-activated protein kinase (MAPK) pathway (Ma, et al., 2020).

As with NLRC4, the inflammasome regulated the expression of adipocyte-mediated vascular endothelial growth factor A and

angiogenesis, which accelerates breast cancer progression (Kolb, et al., 2016). A previous study showed that NAIP could form an inflammasome with NLRC4, which was related to protection against colitis-associated cancer (Allam, et al., 2015). It was also found to inhibit the hyperactivation of the transcription factor STAT3 as well as the generation of anti-apoptotic and proliferation-related enzymes (Allam, et al., 2015). NLRC4 was demonstrated to be essential for cytokine and chemokine production in macrophages associated with tumors, as well as the generation of IFN-producing CD4<sup>+</sup> and CD8<sup>+</sup> T cells that reduced the growth of melanoma tumors in mice (Janowski, et al., 2016). In the 293T inflammatory experiment, we discovered that NLRC4 contributed to the cleavage of pro-IL-1, resulting in pyroptosis. The activation of pro-caspase-1 was responsible for the cleavage of pro-IL-1 and pro-IL-18 proteins into mature active forms and the generation of cytokines in response to pathogen-associated molecular patterns and damage-associated molecular patterns (Rathinam and Fitzgerald, 2016). Moreover, the K-M survival curve showed that a higher level of NLRC4 expression in LUAD was associated with a more favorable prognosis.



And from the risk model, we found that all of these factors were up-expressed in low-risk group, particularly, the level of NLRC4 in lung cancer was also significantly lower in the tumor sample. To investigate its value as a prognostic factor, we compared the survival differences in the two risk groups divided by our model. In clinical practice, we observed that the risk score was an independent factor related to prognosis, with a correlation between increased risk and a worse prognosis, as measured by OS and PFS. It was also effective when we applied it to patients with different stages; high-risk patients had a low probability of survival in both early and advanced stages. Patients with a low-risk score had significantly longer longevity, suggesting that those with a high-risk score should receive more frequent clinical surveillance and appropriate treatment to avoid disease recurrence and progression.

As we discussed above, pyroptosis can trigger crosstalk between innate and adaptive immunity, modulating the cancer microenvironment to induce an immunostimulatory response (Hsu, et al., 2021). As the previous study demonstrated that the context of TME was critical to tumor development and treatment (Thorsson, et al., 2018). Our risk model had numerous similarities with a recent study in which the high-risk group displayed an immune desert and a reduced degree of immune checkpoint expression. Consistent with previous findings, the low-risk group possessed a highly active immune status, including cytokine production, immune receptor activation, and the phosphatidylinositol 3-kinase/serine-threonine kinase (PI3K-Akt) signaling pathway, all of which corresponded to a hot tumor phenotype. In the high-risk group, the number of essential anti-tumor-infiltrating immune cells was low, indicating an overall decrease in immune activity. Moreover, the immune microenvironment analyses indicated a global enhancement of immune cell infiltration as well as immune score in low-risk group. These findings indicated that the low-risk group might have a better immune environment.

However, whether it meant a better response to immunotherapy needed further clinical investigation. Since not all patients benefit from immunotherapy, a considerable amount of research has been devoted to the selection of the potentially beneficial population for immunotherapy. From the mechanism of immunotherapy, we can see that T cells are the soldiers of the immune response, while the activation of it requires two kinds of signals: TCR engagement with the MHC-peptide antigen complex (MHC-Ag) on an APC or a target cell, and interaction of the costimulatory receptor CD28 on the T cell with costimulatory B7 molecules (CD80/CD86). However, in response to T-cell activation, the immune checkpoints CTLA4 and PD-1 are upregulated on the T cell and bind to B7 and PD-L1/L2, respectively, to inhibit T-cell activation (Sharma, et al., 2021). Thus, PD-L1 expression is associated with an increased likelihood of response to PD-1 pathway blockade, but responses to ICIs can also be seen in patients with no tumor PD-L1 expression. Moreover, a minority

of somatic mutations in tumor DNA can give rise to neoantigens, mutation-derived antigens that are recognized and targeted by the immune system. And TMB can represent a useful estimation of tumor neo-antigenic load, evolving as a relevant tool for the identification of patients likely to respond to immunotherapy (Chan, et al., 2019). Recent investigations pointed out that TMB failed to show predictive accuracy for ICIs response due to a lack of broad ICIs approval. And based on these, we wanted to find if these biomarkers could be improved combined with our risk model. According to the model, we found that patients in different risk groups showed significantly different characteristics, both in terms of TMB and immune checkpoints. The TMB was relatively high in the high-risk group, while the expression of their immune checkpoints was generally low. And the expression of TP53 was relatively higher in the high-risk group. Combined with the risk model, we find that high-risk level with lower TMB has a significantly worst survival probability, which might be an amplification effect of these two parameters. Moreover, the mutation rate of KRAS was also higher in patients with high-risk. Previous research showed that the mutation of KRAS correlated with a low response rate to gefitinib in LUAD, which was consistent with the result of drug sensitivity based on the risk model. For the potential relationship among pyroptosis, TMB and anti-cancer immunity, we need further experimental validation, but for its application in prognosis, it works well. As for these immune checkpoints, take PD-L1 for example, the high-risk group showed relatively low expression. However, from the analysis, we cannot judge the intrinsic mechanism, as the analyses of ESTIMATE showed there were more stromal components and less tumor cells. We assumed that LUAD with higher expression of particular PRGs (genes constructed the risk model) may have a higher infiltration of immune cells, which stimulated the expression of immune related markers, including PD-L1, CD80, LAG3, and so on. The expression of immune checkpoints differed significantly between the high- and low-risk groups, indicating that patients in the low-risk group may benefit more from immune checkpoint inhibitors. However, it is not simply a cause-and-effect relationship, but a mixture with predictive value. As the risk model showed, all of these PRGs' coefficients were negative, and we could not simply conclude whether the cancer immunity was induced by pyroptosis, or the pyroptosis was facilitated by anti-cancer immunity. For example, paclitaxel, a microtubule-stabilizing agent used in cancer therapy, has been demonstrated to enhance innate immunity by activating the NLRP3 inflammasome in macrophages (Zeng, et al., 2019). From the mechanism we can conclude that cancer immunity is a complex network, while the activation of T cells may be one of the key points. The expression of immune checkpoints showed significant differences between the high and low risk groups, suggesting the potential relationship between pyroptosis and cancer immunity, especially the function and activation of T cells. However, the result from TIDE reflected the profiles at the late stage of T cell dysfunction. The higher score in low-risk group indicated the

signatures of tumor immune evasion, which means a worse response to immunotherapy. This has been contradicted by the expression level of PD-L1. As we have discussed, there was no perfect biomarker for predicting the efficacy of immunotherapy. A previous study revealed that immunophenoscore claimed to have good ICIs response in melanoma but worse in TIDE. The reliable TIDE signatures were computed in five cancer types without lung cancer, and only melanoma has publicly available data on tumor expression and clinical outcome of patients treated with anti-PD1 or anti-CTLA4. The mouse tumor models revealed two stages of T cell dysfunction; anti-PD1 treatment can revive the early-stage dysfunctional T cells, and the late-stage dysfunctional T cells are resistant to ICIs reprogramming. Apart from mutation or neo-antigen load, multiple factors could affect immune checkpoint inhibitors' effectiveness, such as PD-L1 level, degree of cytotoxic T cell infiltration, antigen presentation defects, interferon signaling, mismatch repair deficiency, tumor aneuploidy, intestinal microbiota, and so on. A previous study revealed that uncontrolled activation of the PI3K-Akt pathway at the cellular level might create an immunologically tolerant TME and alter the response to ICIs (Giannone, et al., 2020). Other biomarker types can also predict T cell infiltration and ICIs response, it might achieve higher predictive performance if the risk model could be applied jointly with them.

In addition, the result of TMB revealed a substantial correlation between risk score and TMB. The combination of risk score and TMB could be used as a tool for prognostic stratification. Our study found a higher rate of mutations in high-risk patients, including TP53 and KRAS, suggesting that patients with high-risk scores may activate potentially oncogenic pathways that promote tumor initiation and proliferation. And we also evaluated the chemotherapy as well as target therapy. From the gene mutation, we found that some oncogenes mutation rates were different in the two risk groups. The drug sensitivity revealed that the sensitivity to chemotherapy and targeted therapy differed in different risk groups. Low-risk patients were more sensitive to gefitinib and crizotinib than erlotinib, gemcitabine, docetaxel, and paclitaxel.

To better understand the potential mechanism of pyroptosis in LUAD, we chose NLRC4 for validation. We discovered that its expression in the survival database was negatively connected with survival, corresponding with the negative coefficient in our construction model. NLRC4 is expressed in immune and non-immune cells, including monocytes, macrophages, and neutrophils; nevertheless, differential expression of NLRC4 has been reported in many types of tumor tissue. Studies have shown normal levels in lung cancers, but our findings based on TCGA reveal that it was significantly lower in LUAD and that its expression was associated with prognosis. And our experimental data from H1299 (lung adenocarcinoma cell line) showed that overexpression of NLRC4 could promote pyroptosis by measuring LDH released from dead cells. And the inflammasome activation assay initially validated that

NLRC4 could promote IL-1 $\beta$  maturation, which leads to pyroptosis of lung cancer. IL-1 $\beta$  is a key pro-inflammatory cytokine that regulates the expression of several genes involved in the inflammatory process. Besides, previous studies showed that many clinical drugs stimulated and modulated pyroptotic pathways to inhibit tumor growth. Erlotinib decreased the phosphorylation of extracellular signal-regulated kinase (ERK) 1/2 through the PI3K-Akt signaling pathway after lipopolysaccharide treatment and downregulated the expression of TLR4 on macrophages, thereby regulating the microenvironment or systemic anti-tumor immunity (Xue, et al., 2021). Animal models of colorectal cancer have shown that *Nlrp4*<sup>-/-</sup> mice displayed increased tumor formation, reduced apoptosis in tumors, and increased proliferation of colonic epithelial cells during the early-stage (Kay, et al., 2020). Consequently, comprehensive studies of pyroptosis and the characteristics of TME in each patient could help us identify the tumor immunological characteristic and guide a more accurate treatment strategy.

Despite providing some novel insights into the immune-oncology correlations of pyroptosis in LUAD, our study had several limitations. First, our pyroptosis signature was derived from public datasets; however, its prognostic value in patients with LUAD receiving immunotherapy requires more validation. Second, the TIDE score was significantly higher in the low-risk group, indicating a poor immunotherapy response based on a previous study. Also, it appeared to be contradictory with other results, such as the PD-L1 expression and the immune score. We wondered whether TIDE or immune checkpoints alone could accurately predict the immunological efficacy of LUAD. The TIDE score combined T cell dysfunction and elimination characteristics to simulate tumor immune escape with varying proportions of tumor-infiltrating cytotoxic T cells. However, abnormalities in antigen presentation, interferon signaling, and mismatch repair can compromise the efficacy of immune checkpoint inhibition therapy, and hence combining them with other immune cells or factors may be preferable.

## Conclusion

The current understanding of pyroptosis, particularly its mechanism in LUAD, is limited. In this study, we investigated the predictive value of PRGs in LUAD. Numerous PRGs were differentially expressed in normal and LUAD tissues, showing a direct correlation between pyroptosis and LUAD. Moreover, the risk score derived from our risk signature was identified as an independent risk factor for LUAD prognosis. The pyroptosis-related risk model outlined the crosstalk and regulatory roles in tumor immunity, as well as their application in cancer treatment. Our model might help in developing personalized cancer treatments for patients with LUAD.

## Data availability statement

Publicly available datasets were analyzed in this study. This data can be found here: <https://portal.gdc.cancer.gov>.

## Author contributions

YD and LY performed most of the experiments and data analysis and drafted the manuscript. YY provided professional advice on the experiment design and reviewed the paper. QS managed the experimental design, reviewed the manuscript, and provided funding support. All authors read and approved the final manuscript.

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# A novel prognostic signature of cuproptosis-related genes and the prognostic value of FDX1 in gliomas

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**Background:** Gliomas are the most common malignant tumors of the central nervous system, with extremely bad prognoses. Cuproptosis is a novel form of regulated cell death. The impact of cuproptosis-related genes on glioma development has not been reported.

**Methods:** The TCGA, GTEx, and CGGA databases were used to retrieve transcriptomic expression data. We employed Cox's regressions to determine the associations between clinical factors and cuproptosis-related gene expression. Overall survival (OS), disease-specific survival (DSS), and progression-free interval (PFI) were evaluated using the Kaplan-Meier method. We also used the least absolute shrinkage and selection operator (LASSO) regression technique.

**Results:** The expression levels of all 10 CRGs varied considerably between glioma tumors and healthy tissues. In glioma patients, the levels of CDKN2A, FDX1, DLD, DLAT, LIAS, LIPT1, and PDHA1 were significantly associated with the OS, disease-specific survival, and progression-free interval. We used LASSO Cox's regression to create a prognostic model; the risk score was (0.882340) \*FDX1 expression + (0.141089) \*DLD expression + (−0.333875) \*LIAS expression + (0.356469) \*LIPT1 expression + (−0.123851) \*PDHA1 expression. A high-risk score/signature was associated with poor OS (hazard ratio = 3.50, 95% confidence interval 2, −4.55, log-rank  $p < 0.001$ ). Cox's regression revealed that the FDX1 level independently predicted prognosis; FDX1 may control immune cell infiltration of the tumor microenvironment.

**Conclusion:** The CRG signature may be prognostic in glioma patients, and the FDX1 level may independently predict glioma prognosis. These data may afford new insights into treatment.

## KEYWORDS

gliomas, cuproptosis, FDX1, prognosis, prognostic signature



# 1 Introduction

Malignant tumors of the central nervous system (CNS) have one of the poorest prognoses of all cancers; life is shortened by about 20 years (Rouse et al., 2010; Reifengerger et al., 2017). More than 70% of all malignant CNS tumors are gliomas; such tumors are the most common CNS tumors (Gilbert et al., 2014). Half of all newly diagnosed gliomas are very malignant glioblastomas; the median patient survival is approximately 12 months (Gramatzki et al., 2016; Quinones and Le, 2018). Over the last decade, isocitrate dehydrogenase (IDH) mutations, chromosome 1p/19q deletions, MGMT and TERT promoter methylations, and histone mutations have all served as glioma biomarkers; these markers play key roles in glioma classification and treatment decisions (Chen et al., 2017; Brito et al., 2019). Despite great advances in surgery, radiotherapy, chemotherapy, and targeted therapy, almost all malignant gliomas recur, associated with poor prognoses. Better prognostic models are urgently required.

Although heavy metal ions are crucial micronutrients, ion levels that are too low or excessive may trigger controlled cell death (Wang et al., 2022a) *via* activation of various subprograms. For example, ferroptosis is an iron-dependent form of uncontrolled, lipid peroxidation-induced, oxidative cell death (Dixon et al., 2012; Liang et al., 2019). Recently, Tsvetkov et al. (2022) found that intracellular copper (Cu) triggered a unique form of controlled cell death termed “cuproptosis,” which differed from apoptosis, necrosis, autophagy, and ferroptosis. The lipoylated acylated components of the tricarboxylic acid (TCA) cycle bind directly to copper, imparting protein stress and eventual cell death (Hatori et al., 2016). Recent studies have shown that cancer patients exhibit much higher serum and tumor tissue copper levels than healthy people (Blockhuys et al., 2017; Ge et al., 2022). Dysregulation of copper homeostasis may be cytotoxic; changes in intracellular copper levels may influence cancer development and spread (Ishida et al., 2013; Babak and Ahn, 2021). However, any relationship between cuproptosis and glioma progression remains unknown. This is our topic here; glioma cuproptosis-related gene (CRG) expression is of clinical and potential prognostic utility.

# 2 Methods

## 2.1 Data acquisition

The glioma RNA-seq data of TCGA and the corresponding normal tissue data of GTEx derived *via* uniform toil processing were downloaded from UCSCXENA (<https://xenabrowser.net/datapages/>). The Human Protein Atlas database (<https://www.proteinatlas.org/>) was used to examine protein expression in normal and glioma tissues. We also downloaded RNA-seq data

and clinical information (DataSet ID: mRNAseq\_693) of glioma samples from the CGGA database (<http://www.cgga.org.cn/>); we used this information to externally validate the survival analyses.

## 2.2 Differential expression analysis

We integrated the TCGA and GTEx databases and then sought differences in CRG expression levels between glioma and normal tissue samples. We drew receiver operating characteristic (ROC) curves to assess the predictive accuracies of CRG levels. The relationships between CRG levels and clinicopathological features were explored using the TCGA database and validated employing the CGGA database. The R package DESeq2 (ver. 1.26.0) was used to distinguish the expression levels of mRNAs associated with low and high risks of progression; we identified differentially expressed genes (DEGs) using the thresholds of  $\log_2$  (FC) > 2.0 and an adjusted *p*-value < 0.05. The DEGs were displayed using volcano plots and heat maps.

## 2.3 Prognostic signatures of cuproptosis-related genes

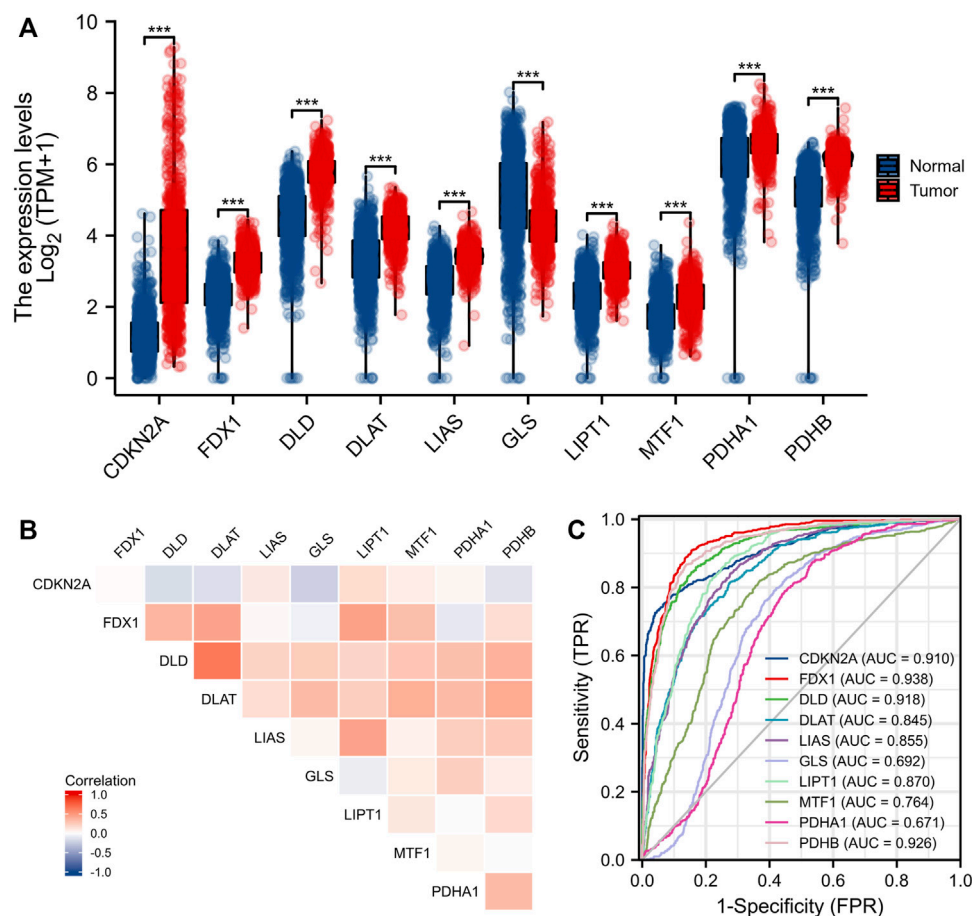
Useful features were selected using the least absolute shrinkage and selection operator (LASSO) regression algorithm; 10-fold cross-validation followed and the R package glmnet was used to analyze the data. First, multifactorial Cox’s regression was performed, followed by iteration using a step function. The optimal model was selected. We used the log-rank test and Cox’s proportional hazards regression to derive *p*-values and hazard ratios with 95% confidence intervals (CIs); then we drew Kaplan-Meier curves. We used the decision curve analysis R package ggDCA to construct the prognostic signature of CRG levels.

## 2.4 Functional and pathway enrichment analyses

We employed the R clusterProfiler package to perform Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses and displayed the findings using the R packages dplyr and ggplot2. We uploaded the DEGs to Metascape (an online tool for gene function/annotation analysis; <https://metascape.org/>).

## 2.5 Construction of a prognostic model and external validation

We sought prognostic factors among clinical variables, performed univariate and multivariate Cox’s regressions, and



**FIGURE 1**

Expression of CRGs in gliomas. (A) Expression of 10 CRGs in gliomas and normal tissues. (B) Correlation between CRGs expression. (C) Diagnostic value of CRGs in gliomas. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ . CRGs: cuproptosis-related genes.

derived an optimal prognostic model. The R package rms was used to create a nomogram that predicted prognosis. Harrell concordance index (C-index) calibration plots were drawn to evaluate the reliabilities and accuracies of the prognostic models. Kaplan-Meier curves were drawn to compare the differences between paired groups in terms of overall survival (OS), disease-specific survival (DSS), and progression-free interval (PFI).

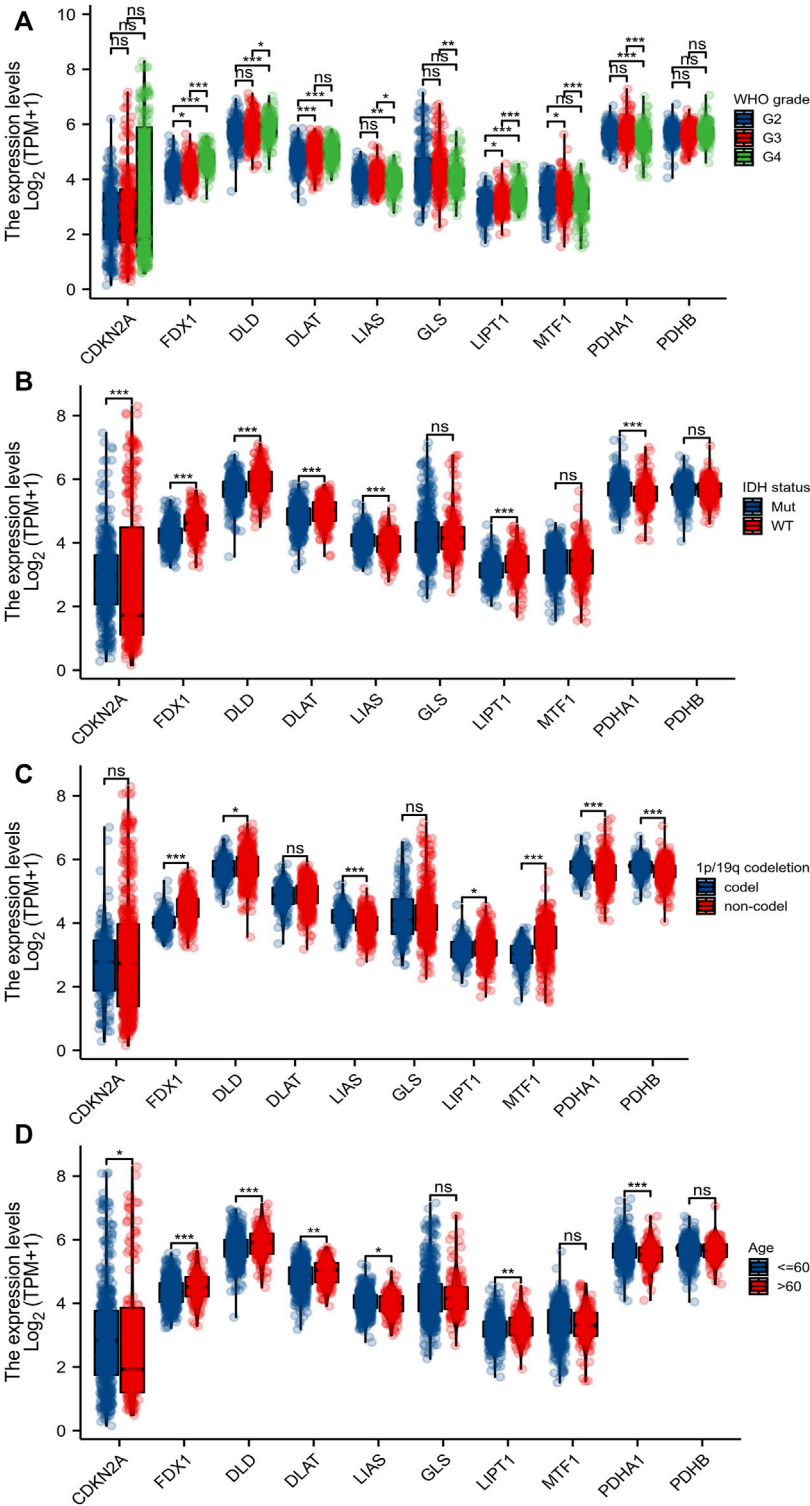
## 2.6 Evaluation of immune cell infiltration

The Tumor Immune Estimation Resource (TIMER) method of the R package immunedeconv was used to seek correlations between immune cell activities, and the constructed models and the gene expression levels *per se*. The R package ggstatsplot was employed to derive correlations between gene expression levels and immune system scores; the R package pheatmap was used to identify multi-gene correlations. We used the single-sample Gene Set Enrichment Analysis (ssGSEA) technique of the R GSVA package to measure the

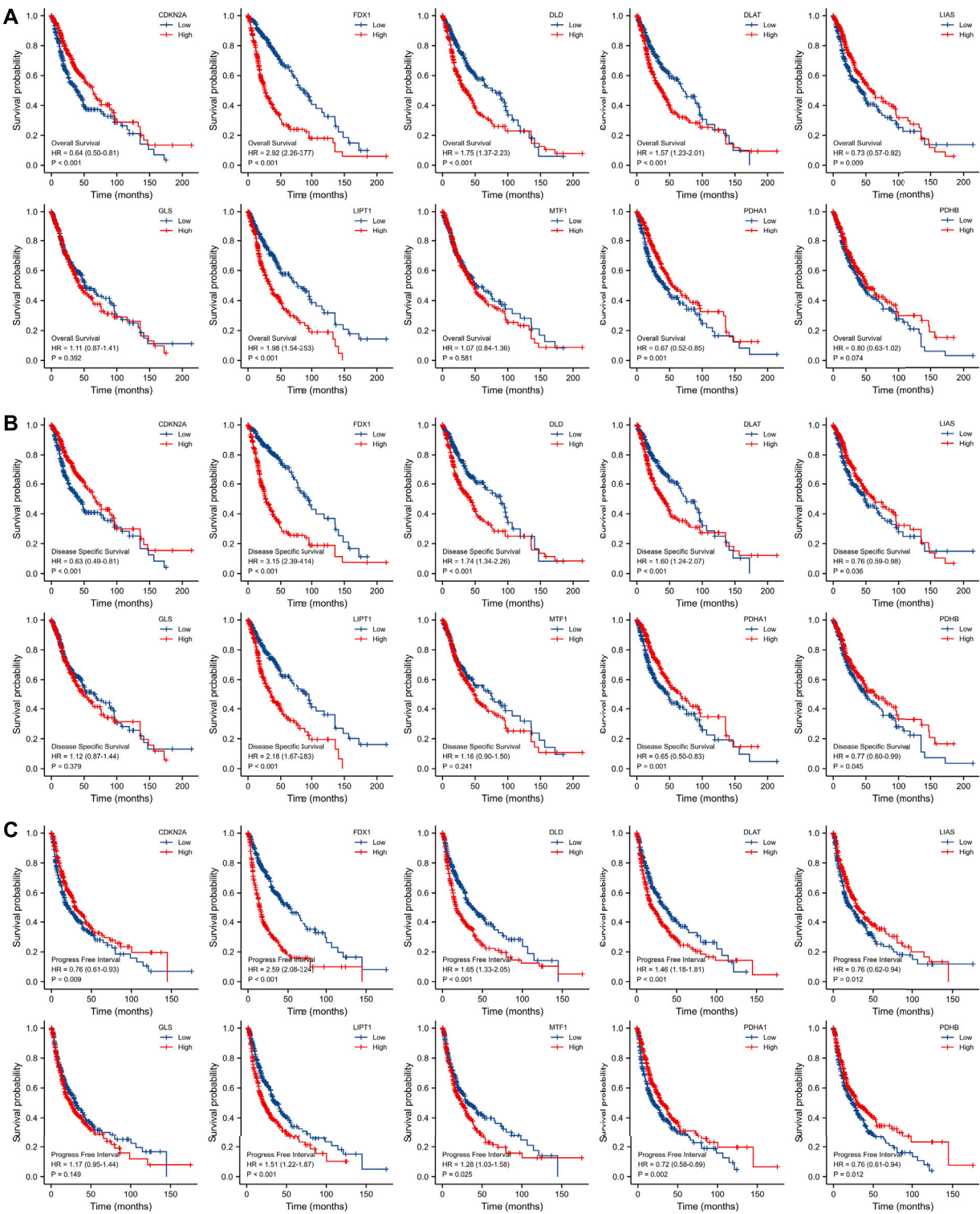
levels of 24 immune cell types associated with glioma infiltration. The R package is based on the TCGA database. We used Spearman analyses to derive correlations between quantitative variables that were not normally distributed.

## 2.7 Statistical analysis

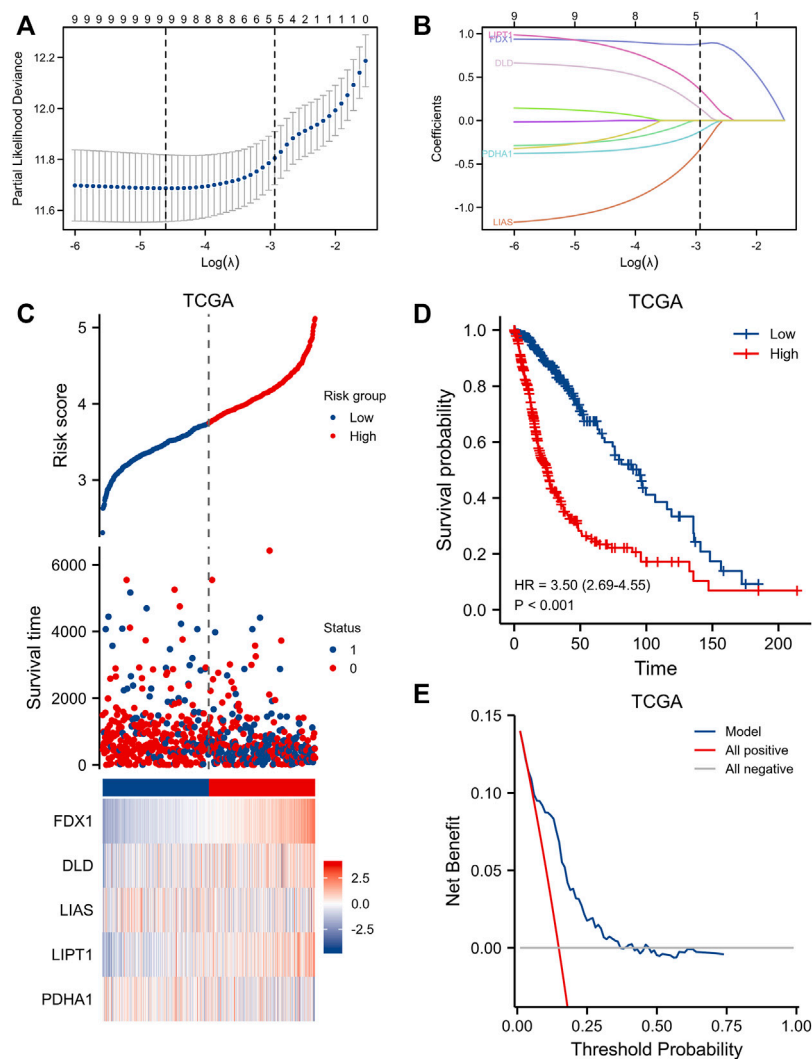
Paired samples were evaluated using the Wilcoxon signed-rank test; unpaired samples were assessed employing the Wilcoxon rank-sum test. We used the Kruskal-Wallis test, the Wilcoxon signed-rank test, and logistic regression to explore the relationships between clinical characteristics and CRG expression levels. Either the chi-square or the Fisher exact test was used to investigate relationships between CRG expression levels and the clinical characteristics. R software ver. 4.0.3 was employed for all statistical analyses (R Foundation for Statistical Computing, Vienna, Austria). A  $p$ -value  $< 0.05$  was considered significant.



**FIGURE 2**  
Relationship between CRGs and clinicopathological features. The association of 10 CRGs expression with WHO grade (A), IDH status (B), 1p/19q codeletion (C), and age (D) in gliomas from TCGA database. \**p* < 0.05, \*\**p* < 0.01, \*\*\**p* < 0.001. CRGs: cuproptosis-related genes.



**FIGURE 3**  
Survival analysis of OS (A), DSS (B) and PFI (C) of 10 CRGs in gliomas patients from TCGA database. OS: overall survival, DSS: disease specific survival, PFI: progress free interval, CRGs: cuproptosis-related genes.

**FIGURE 4**

Clinical relevance of CRGs in the gliomas patients of TCGA. (A,B) LASSO regression analysis and partial likelihood deviance of the CRGs. (C) distribution of risk score, survival status and the expression of prognostic gliomas, (D) Kaplan–Meier plot of the CRGs signature and overall survival, (E) Decision curve analysis of CRGs signature for predicting survival status.

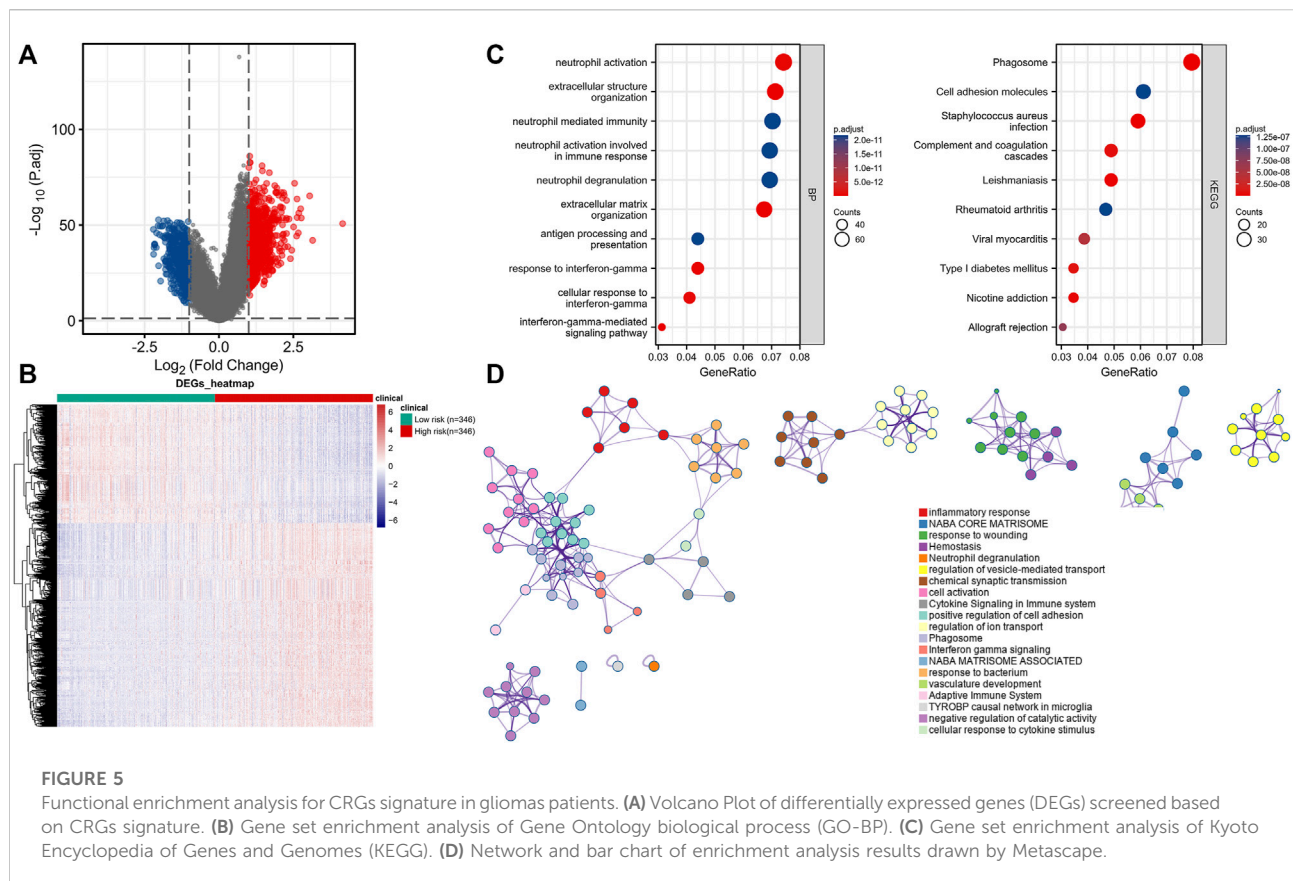
### 3 Results

#### 3.1 CRG expression levels in glioma patients

Eleven genes (CDKN2A, DLD, DLAT, FDX1, GLS, LIAS, LIPT1, MTF1, PDHA1, and PDHB) are closely associated with cuproptosis. We found that only the expression level of GLS was significantly decreased in gliomas (compared to normal tissues); the levels of all other CRGs increased significantly (Figure 1A). We sought correlations between the levels of different CRGs (Figure 1B). We performed receiver operating

characteristic curve (ROC) analyses to assess whether various CRG levels aided glioma detection; the areas under the curves (AUCs) were >0.9 for CDKN2A, FDX1, DLD, and PDHB (Figure 1C). Levels of FDX1, LIAS, LIPT1, DLD, DLAT, MTF1, and PDHA1 were associated with the World Health Organization (WHO) clinical grade (Figure 2A); levels of CDKN2A, DLAT, LIAS, LIPT1, FDX1, DLD, and PDHA1 with were associated with IDH status (Figure 2B); levels of FDX1, LIPT1, MTF1, PDHA1, DLD, LIAS, and PDHB were associated with 1p19q co-deletion (Figure 2C); and levels of CDKN2A, DLD, DLAT, LIAS, LIPT1, FDX1 and PDHA1 levels were associated with age (Figure 2D).





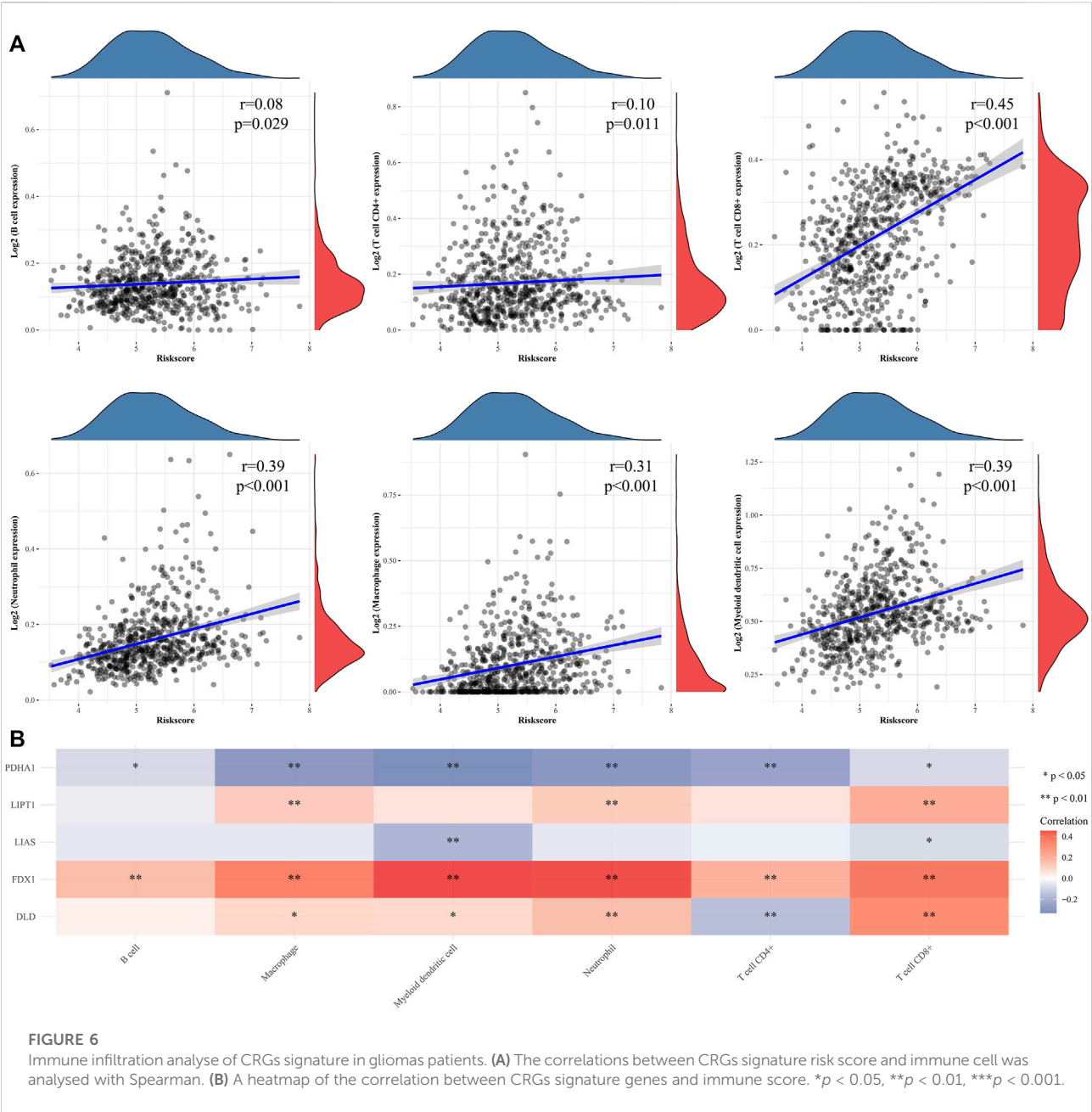
### 3.2 The survival analyses of CRGs in gliomas patients

Patients of the TCGA database expressing high levels of FDX1 [hazard ratio [HR] = 2.92 [95% confidence interval, CI] 2.26–3.77, log-rank  $p < 0.001$ ]; DLD [HR = 1.75 [1.37–2.23], log-rank  $p < 0.001$ ]; DLAT [HR = 1.57 [1.23–2.01], log-rank  $p < 0.001$ ]; and LIPT1 [HR = 1.98 (1.54–2.53), log-rank  $p < 0.001$ ]; and low levels of CDKN2A [HR = 0.64 (0.50–0.81), log-rank  $p < 0.001$ ]; LIAS [HR = 0.73 (0.57–0.92), log-rank  $p = 0.009$ ]; and PDHA1 [HR = 0.67 (0.52–0.85), log-rank  $p = 0.001$ ] experienced poorer OS than others (Figure 3A). Poor DSS was associated with high-level expression of FDX1 [HR = 3.15 (2.39–4.14), log-rank  $p < 0.001$ ]; DLD [HR = 1.74 (1.34–2.26), log-rank  $p < 0.001$ ]; DLAT [HR = 1.60 (1.24–2.07), log-rank  $p < 0.001$ ]; and LIPT1 [HR = 2.18 (1.67–2.83), log-rank  $p < 0.001$ ]; and low-level expression of CDKN2A [HR = 0.63 (0.49–0.81), log-rank  $p < 0.001$ ]; LIAS [HR = 0.76 (0.59–0.98), log-rank  $p = 0.036$ ]; PDHA1 [HR = 0.65 (0.50–0.83), log-rank  $p = 0.001$ ]; and PDHB [HR = 0.77 (0.60–0.99), log-rank  $p = 0.045$ ] (Figure 3B). PFI was affected by the levels of CDKN2A [HR = 0.76 (0.61–0.93), log-rank  $p = 0.009$ ]; FDX1 [HR = 2.59 (2.08–3.24), log-rank  $p < 0.001$ ]; DLD [HR = 1.65 (1.33–2.05), log-rank  $p < 0.001$ ]; DLAT [HR = 1.46 (1.18–1.81), log-rank  $p < 0.001$ ]; LIAS [HR = 0.76

(0.62–0.94), log-rank  $p = 0.012$ ]; LIPT1 [HR = 0.51 (1.22–1.87), log-rank  $p < 0.001$ ]; MTF1 [HR = 1.28 (1.03–1.58), log-rank  $p < 0.001$ ]; PDHA1 [HR = 0.72 (0.58–0.89), log-rank  $p = 0.002$ ]; and PDHB [HR = 0.76 (0.61–0.94), log-rank  $p = 0.012$ ] (Figure 3C).

### 3.3 Construction of a CRG-derived prognostic gene signature

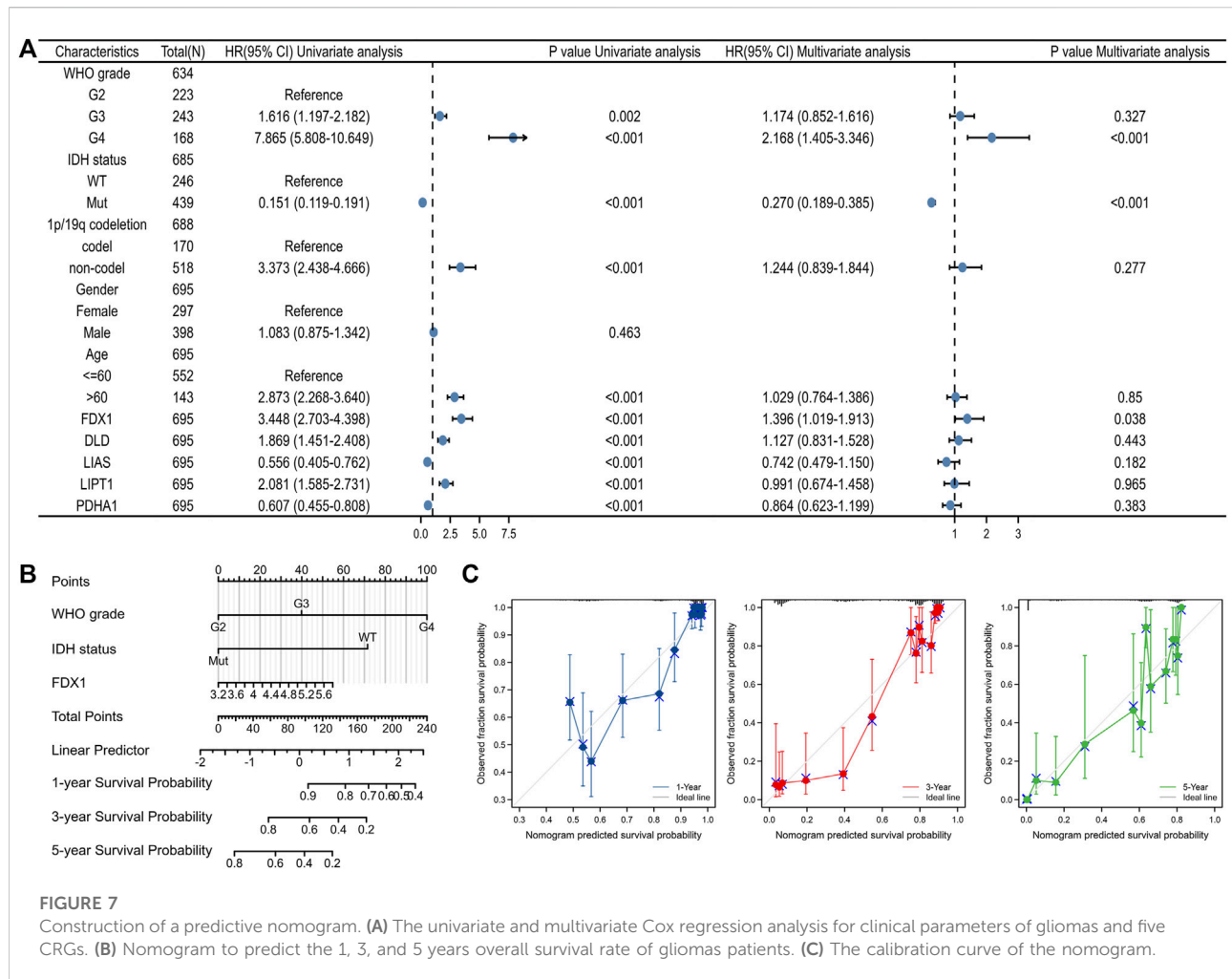
LASSO Cox's regression generated a five-gene signature (Figures 4A, B): Risk Score = (0.882340) \* FDX1 expression + (0.141089) \* DLD expression + (–0.333875) \* LIAS expression + (0.356469) \* LIPT1 expression + (–0.123851) \* PDHA1 expression. We found a significant correlation between a high risk score and poor OS [HR = 3.50 (2.69–4.55), log-rank  $p < 0.001$ ] (Figures 4C,D). Decision curve analysis in terms of OS prediction showed that the signature performed well [C-index 0.758 (0.743–0.772)] (Figure 4E). The CGGA database was utilized for external validation; we retrieved all data on glioma patients. As for TCGA patients, CGGA individuals with higher risk scores evidenced significantly shorter OS [HR = 1.68 (1.38–2.05), log-rank  $p < 0.001$ ] (Supplementary Figure S1). The clinical information of TCGA and CGGA datasets were provided in Supplementary Tables S1, S2.



### 3.4 Functional enrichment and immune cell infiltration associated with high and low signature risk scores

We measured the differentially expressed (DE) mRNA levels of gliomas with high and low CRG signature risk scores to explore whether cuproptosis might trigger glioma progression. A volcano plot (Figure 5A) and a heat-map (Figure 5B) identified 1213 DE mRNAs, the expression of 767 of which were increased and 446 decreased (Supplementary Table S3). We sought gene enrichments in terms of biological processes (BP), cellular

components (CC), molecular function (MF), and of the criteria of the KEGG (Supplementary Table S4). Neutrophil activation, extracellular structure organization, neutrophil mediated immunity, neutrophil activation involved in immune response, neutrophil degranulation and extracellular matrix organization were all significantly activated on GO-BP enrichment analysis. Phagosome, cell adhesion molecules, *staphylococcus aureus* infection, complement and coagulation cascades and leishmaniasis were enriched on KEGG. The results are shown in Figure 5C. Enrichment results drawn by Metascape were similar (Figure 5D). Immune cell infiltration analysis revealed that the CRG signatures



were associated with all six immune cell types, but principally CD8<sup>+</sup> T cells (Figure 6A). Figure 6B shows the correlations between the expression levels of CRG signature genes and immune cell activities.

### 3.5 The nomogram development and construction for gliomas patients

Univariate Cox's regression revealed that a high WHO grade; wild-type IDH status; 1p19q non-co-deletion; age >60 years; high-level expression of FDX1, DLD, and LIPT1; and low-level expression of LIAS and PDHA1 were all associated with poor OS. Multivariate Cox's HR analysis revealed that the WHO grade, IDH status, and the FDX1 mRNA expression level independently predicted OS (Figure 7A). We created an OS nomogram to integrate the FDX1 level with other prognostic factors (the WHO and IDH data) (Figure 7B). We drew a calibration curve to evaluate the contribution of the FDX1 level to nomogram performance; the C-index was 0.834 (0.823–0.846) (Figure 7C). The association between the FDX1 level and the clinicopathological parameters

of TCGA patients with gliomas are shown in Table 1. Logistic regression analysis of the FDX1 data revealed strong associations with clinical characteristics including the WHO grade [OR = 3.634 (2.579–5.161),  $p < 0.001$ ]; IDH status [OR = 5.945 (4.20–8.509),  $p < 0.001$ ]; 1p/19q co-deletion [OR = 10.231 (6.492–16.801),  $p < 0.001$ ]; and age (OR = 2.016 (1.384–2.961),  $p < 0.001$ ) (Table 2). Thus, increased FDX1 expression was associated with poor prognosis.

### 3.6 Validation of the effects of FDX1 levels as revealed by the CGGA and HPA databases

Compared to the low-level FDX1 group of the CGGA database, the high-level FDX1 group evidenced poorer OS [HR = 1.47 (1.12–1.80), log-rank  $p < 0.001$ ] (Figure 8A). The FDX1 level was significantly correlated with the WHO grade ( $p < 0.001$ ) (Figure 8B), IDH status ( $p < 0.01$ ) (Figure 8C), and 1p19q co-deletion status ( $p < 0.001$ ) (Figure 8D). Immunohistochemically, FDX1 staining was positive in gliomas but negative in normal tissues (Figure 8E).

**TABLE 1 Association of FDX1 expression and clinicopathological parameters in patients with gliomas.**

| Characteristic                  | Low expression of FDX1 | High expression of FDX1 | <i>p</i> |
|---------------------------------|------------------------|-------------------------|----------|
| <i>n</i>                        | 348                    | 348                     |          |
| WHO grade, <i>n</i> (%)         |                        |                         | <0.001   |
| G2                              | 155 (24.4%)            | 69 (10.9%)              |          |
| G3                              | 130 (20.5%)            | 113 (17.8%)             |          |
| G4                              | 27 (4.3%)              | 141 (22.2%)             |          |
| IDH status, <i>n</i> (%)        |                        |                         | <0.001   |
| WT                              | 59 (8.6%)              | 187 (27.3%)             |          |
| Mut                             | 287 (41.8%)            | 153 (22.3%)             |          |
| 1p/19q codeletion, <i>n</i> (%) |                        |                         | <0.001   |
| Codel                           | 148 (21.5%)            | 23 (3.3%)               |          |
| non-codel                       | 200 (29%)              | 318 (46.2%)             |          |
| Gender, <i>n</i> (%)            |                        |                         | 0.818    |
| Female                          | 147 (21.1%)            | 151 (21.7%)             |          |
| Male                            | 201 (28.9%)            | 197 (28.3%)             |          |
| Age, <i>n</i> (%)               |                        |                         | <0.001   |
| ≤60                             | 296 (42.5%)            | 257 (36.9%)             |          |
| >60                             | 52 (7.5%)              | 91 (13.1%)              |          |
| OS event, <i>n</i> (%)          |                        |                         | <0.001   |
| Alive                           | 262 (37.6%)            | 162 (23.3%)             |          |
| Dead                            | 86 (12.4%)             | 186 (26.7%)             |          |
| DSS event, <i>n</i> (%)         |                        |                         | <0.001   |
| Alive                           | 266 (39.4%)            | 165 (24.4%)             |          |
| Dead                            | 74 (11%)               | 170 (25.2%)             |          |
| PFI event, <i>n</i> (%)         |                        |                         | <0.001   |
| Alive                           | 224 (32.2%)            | 126 (18.1%)             |          |
| Dead                            | 124 (17.8%)            | 222 (31.9%)             |          |
| Age, median (IQR)               | 42 (33, 54)            | 50 (36, 62)             | <0.001   |

**TABLE 2 Logistic regression analysis of FDX1 expression.**

| Characteristics                         | Total (N) | Odds ratio (OR)       | <i>p</i> -Value |
|---|-----------|-----------------------|-----------------|
| WHO grade (G3&G4 vs. G2)                | 635       | 3.634 (2.579–5.161)   | <0.001          |
| IDH status (WT vs. Mut)                 | 686       | 5.945 (4.20–8.509)    | <0.001          |
| 1p/19q codeletion (non-codel vs. codel) | 689       | 10.231 (6.492–16.801) | <0.001          |
| Age (>60 vs. ≤ 60)                      | 696       | 2.016 (1.384–2.961)   | <0.001          |
| Gender (Male vs. Female)                | 696       | 0.954 (0.706–1.288)   | 0.759           |

3.7 Correlation between FDX1 expression levels and immune cell infiltration

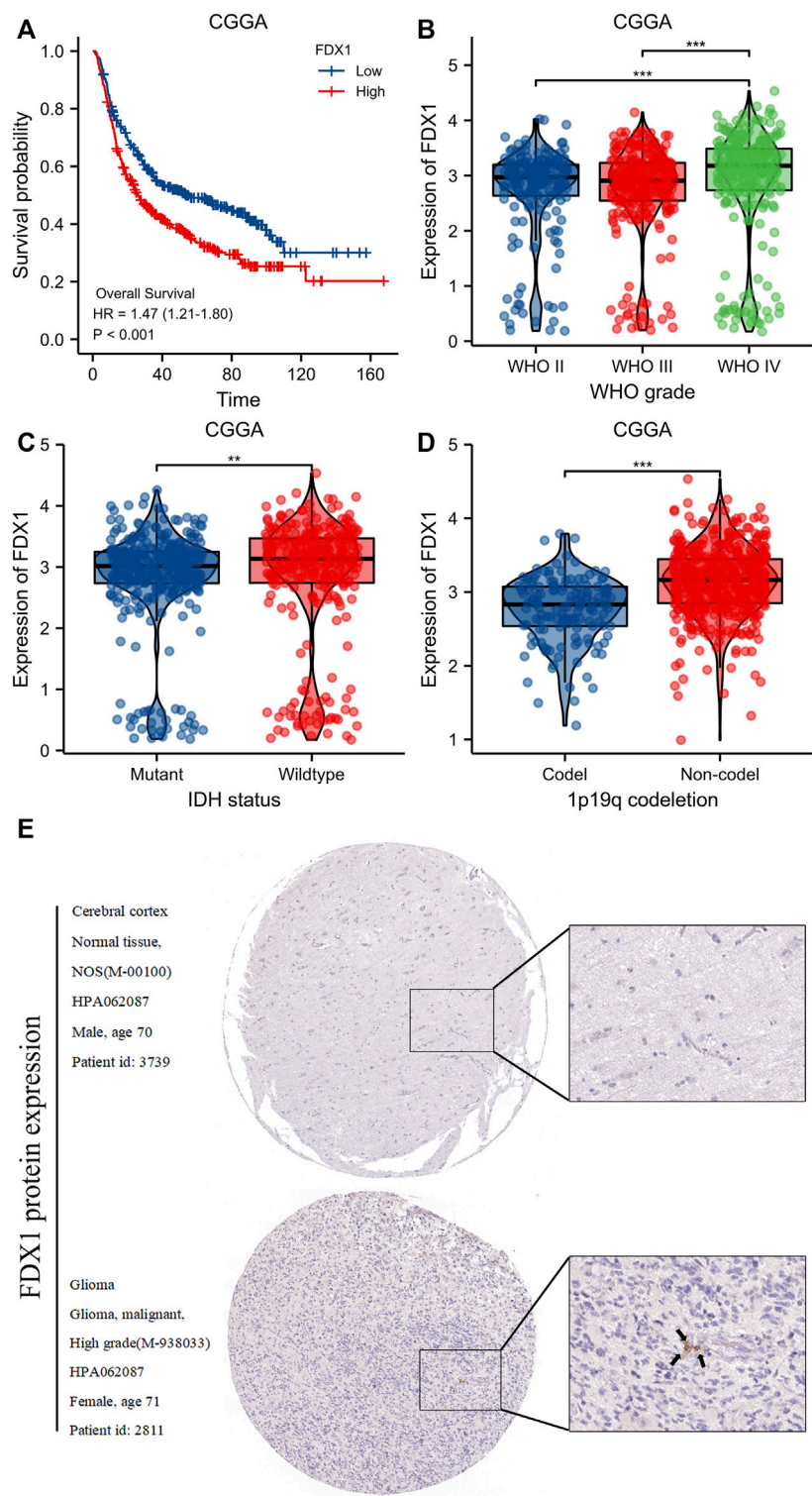
The correlations between FDX1 expression levels and the numbers of immune cells of 24 different types were assessed. Figure 9A shows the results. The numbers of macrophages, eosinophils, and Th2 cells were significantly positively correlated with the FDX1 expression levels whereas the

numbers of pDCs, NK CD56 bright cells, and Treg cells were significantly negatively correlated (Figure 9).

4 Discussion

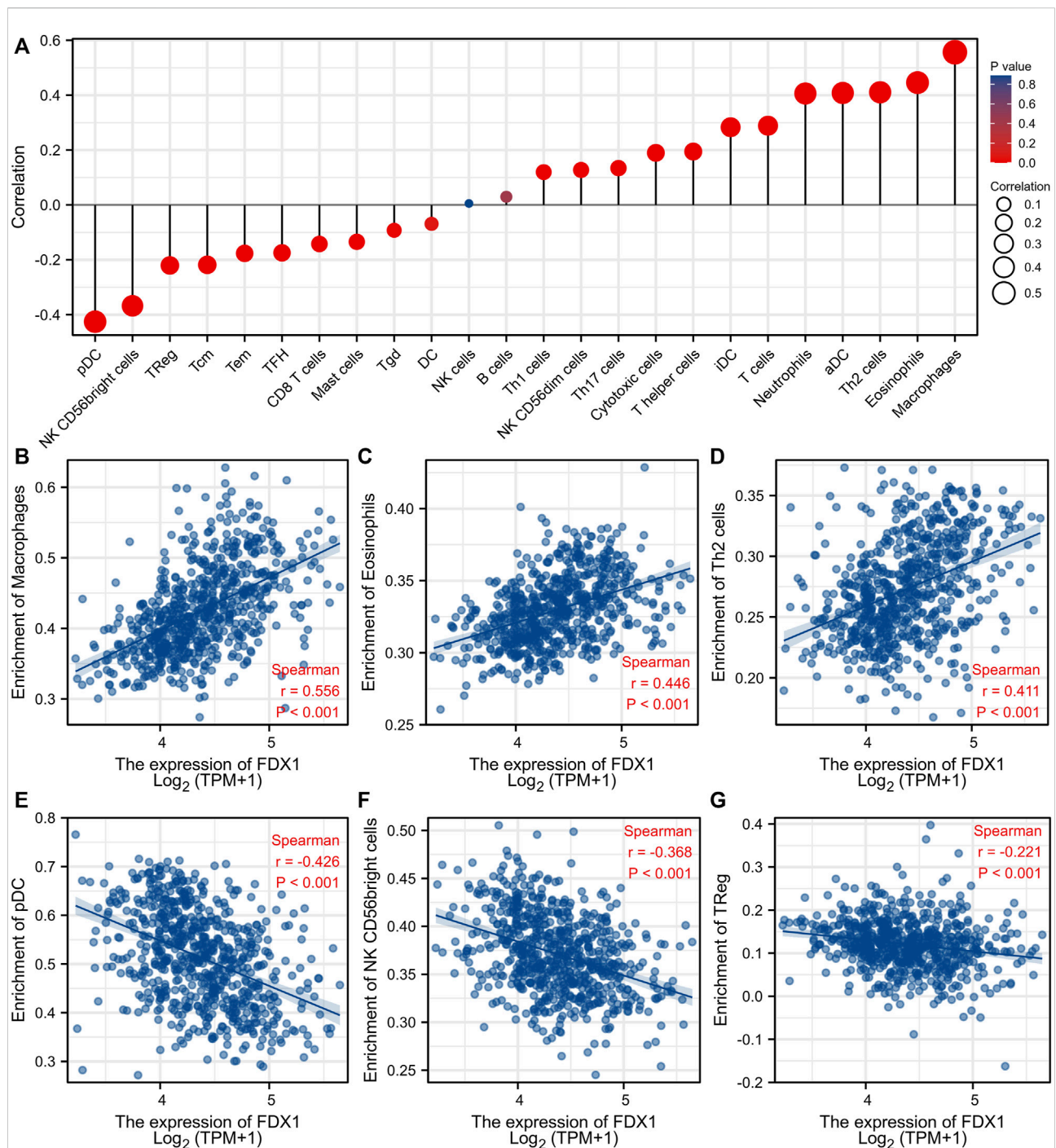
Gliomas are the most common malignant tumors of the central nervous system, progress rapidly, and are associated





**FIGURE 8** Validation of FDX1 expression from CGGA and HPA database. **(A)**Kaplan-Meier survival curve analysis of overall survival (OS) showed that high FDX1 expression correlated to poor prognosis of gliomas patients from CGGA database. **(B–D)** The association of FDX1 expression with WHO grade **(B)**, IDH status **(C)** and 1p/19q codeletion **(D)** in gliomas from CGGA database. **(E)** FDX1 protein expression in gliomas tissues determined using HPA. \*\*\* $p < 0.001$ , ns, no statistical difference.



**FIGURE 9**

Association analysis of FDX1 expression and immune infiltration in gliomas patients. (A) The association between FDX1 expression and 24 tumor-infiltrating lymphocytes. (B–D) The positive correlation of FDX1 expression with immune infiltration level of Macrophages (B), Eosinophils (C), and Th2 cells (D). (E–G) The negative correlation of FDX1 expression with immune infiltration level of pDC cells (E), NK CD56 bright cells (F), and TReg (G).

with poor prognoses and high mortality, imposing significant costs on families and society (Lah et al., 2020; Guo et al., 2021). Early diagnosis, and timely and effective treatment, are

critical. Improvements are essential (Muller et al., 2020). Copper binds to the acylated fatty acids of the TCA cycle, triggering toxic protein stress; this is cuproptosis (Tsvetkov

et al., 2022). However, any role for cuproptosis in glioma progression remains poorly understood. We explored the glioma expression levels of 10 CRGs and their prognostic significances. We present a novel, cuproptosis-based, prognostic scoring system. We found that FDX1 might be a valuable therapeutic target.

The expression levels of all 10 CRGs differed between glioma and normal tissues. In addition to the levels of GLS, MTF1, and PDHB, those of the other seven CRGs significantly affected the OS, DSS, and PFI of glioma patients; cuproptosis may play a major role in disease progression. The signature risk scores showed that cuproptosis was highly correlated with tumor immune cell infiltration; cuproptosis may modulate such infiltration.

The “cuproptosis” concept is rather new; few studies on the effects of cuproptosis genes on glioma development have been published. Wang et al. (2022b) subjected CRG data to cluster analysis, and studied the possible roles of genomic mutations and immune cell infiltration in great depth; that study was very valuable. Chen et al. (2022) found that CRG levels were highly correlated with glioma aggressiveness and immune infiltration, and screened potential therapeutic agents, opening a new path toward glioma treatment. Ye et al. (2022) developed a valuable copper death prognostic model for WHO grade 2/3 glioma patients; drug-sensitivity was important. Ouyang et al. (2022) presented models based on lncRNA and CRG levels; cuproptosis-associated glutaminase gene expression reflected the prognosis of glioma patients. The prognostic model of Yan et al. (2022) used the levels of cuproptosis-associated lncRNAs to identify appropriate immune checkpoint blockade (ICB) therapies for LGG patients. We employed LASSO Cox’s regression analysis when constructing our prognostic model; the risk score was based on the levels of five CRGs (FDX1, DLD, LIAS, LIPT1, and PDHA1). Our model well-predicted the OS of glioma patients, not only those of the TCGA database but also those of the CGGA database. Our model yields risk scores (numbers), facilitating generalization. In addition, we found that FDX1 status was important in terms of glioma progression.

FDX1 encodes an iron-sulfur protein that reduces  $\text{Cu}^{2+}$  to  $\text{Cu}^{1+}$  and regulates protein acylation during cuproptosis (Dorsam and Fahrner, 2016; Weger et al., 2018). DLD is a key enzyme of many dehydrogenase and glycine decarboxylase complexes that maintain cellular and mitochondrial homeostasis (Duarte et al., 2021). LIPT1 and LIAS are members of the lipoic acid metabolic pathway that regulates mitochondrial energy metabolism (Tort et al., 2014; Cronan, 2020). PDHA 1 (a key component of the pyruvate dehydrogenase complex) controls pyruvate entry into the TCA cycle (Echeverri et al., 2021). Univariate Cox’s regression confirmed that the FDX1, DLD, LIAS, LIPT1, and PDHA1 levels were all associated with OS, but only the FDX1 level independently predicted survival.

The soluble iron-sulfur protein ferredoxin 1 (FDX1) plays major roles in many metabolic pathways, for example, by transferring

electrons during mitochondrial redox reactions (Sawyer and Winkler, 2017). Wang et al. (2021) found that FDX1 was involved in mitochondrial steroid metabolism, and played a role in the development of polycystic ovary syndrome. FDX1 facilitates copper-dependent cell death; an analysis of FDX1 action shed light on how cells respond to proteotoxic stress (Tsvetkov et al., 2019). FDX1 increases ATP production and plays key roles in glucose metabolism, fatty acid oxidation, and amino acid metabolism in lung adenocarcinoma cells (Zhang et al., 2021).

Any prognostic utility of glioma FDX1 status remains unclear. We found that FDX1 status is strongly correlated with glioma prognosis, the WHO glioma grade, IDH status, and 1p19q co-deletion status. The FDX1 level independently predicted glioma prognosis. Immune cells play important roles in tumor creation and development (Chasov et al., 2020). We explored the connections between FDX1 levels and immune cell populations. Higher FDX1 expression strongly enhanced tumor infiltration of macrophages, eosinophils, and Th2 cells but reduced infiltration of pDCs, NK CD56 bright cells, and Treg cells. Thus, FDX1 may regulate immune infiltration into the glioma microenvironment.

Our work had several limitations. Most data were mined from public databases and validated only *in vitro*; some of our findings require further validation. We interrogated only a few databases. Prospective studies with larger sample sizes are needed.

## 5 Conclusion

We explored the roles played by CRGs in glioma development. Our prognostic risk score based on the expression signature is strongly predictive of OS. The FDX1 level was independently prognostic; targeting of FDX1 expression might serve as a cuproptosis-specific therapeutic strategy for glioma prevention and treatment.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

## Author contributions

Conceptualization, HZ and HO; methodology, HZ and JT; software, QW; validation, HO, XP, and HZ; formal analysis, XP; investigation, HZ; resources, QW; data curation, HZ; writing—original draft preparation, HZ; writing—review and editing, ML; visualization, JT and QW; supervision, YZ; project administration, ML; funding acquisition, YZ and ML. All authors have read and agreed to the published version of the manuscript.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

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# A new ferroptosis-related genetic mutation risk model predicts the prognosis of skin cutaneous melanoma

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**Background:** Ferroptosis is an iron-dependent cell death mode and closely linked to various cancers, including skin cutaneous melanoma (SKCM). Although attempts have been made to construct ferroptosis-related gene (FRG) signatures for predicting the prognosis of SKCM, the prognostic impact of ferroptosis-related genetic mutations in SKCM remains lacking. This study aims to develop a prediction model to explain the relationship between ferroptosis-related genetic mutations and clinical outcomes of SKCM patients and to explore the potential value of ferroptosis in SKCM treatment.

**Methods:** FRGs which significantly correlated with the prognosis of SKCM were firstly screened based on their single-nucleotide variant (SNV) status by univariate Cox regression analysis. Subsequently, the least absolute shrinkage and selection operator (LASSO) and Cox regressions were performed to construct a new ferroptosis-related genetic mutation risk (FerrGR) model for predicting the prognosis of SKCM. We then illustrate the survival and receiver operating characteristic (ROC) curves to evaluate the predictive power of the FerrGR model. Moreover, independent prognostic factors, genomic and clinical characteristics, immunotherapy, immune infiltration, and sensitive drugs were compared between high—and low—FerrGR groups.

**Results:** The FerrGR model was developed with a good performance on survival and ROC analysis. It was a robust independent prognostic indicator and followed a nomogram constructed to predict prognostic outcomes for SKCM patients. Besides, FerrGR combined with tumor mutational burden (TMB) or MSI (microsatellite instability) was considered as a combined biomarker for immunotherapy response. The high FerrGR group patients were associated with an inhibitory immune microenvironment. Furthermore, potential drugs target to high FerrGR samples were predicted.

**Abbreviations:** AUC, areas under the curve; CNVs, copy number variations; FerrGR, ferroptosis-related genetic mutation risk; GSVA, gene set variation analysis; ICIs, immune checkpoint inhibitors; LASSO, least absolute shrinkage and selection operator; MMR, mismatch repair deficiency; MSI, microsatellite instability; OS, overall survival; PD-L1, programmed cell-death receptor 1 ligand; ROC, receiver operating characteristic; SNV, single-nucleotide variant; TMB, tumor mutational burden; TIME, tumor immunosuppressive environment; TME, tumor microenvironment.



**Conclusion:** The FerrGR model is valuable to predict prognosis and immunotherapy in SKCM patients. It offers a novel therapeutic option for SKCM.

#### KEYWORDS

skin cutaneous melanoma, ferroptosis, genetic mutation, single nucleotide variant, prognosis, tumor immunity

## Introduction

Skin cutaneous melanoma (SKCM), which is the most aggressive skin cancer, takes up for more than 75% mortality rate of skin-related cancers. Although patients with localized and regional cutaneous melanoma have a 5-year relative survival of 98% and 64% respectively. Once metastasized through the body, the 5-years survival rate falls to 23% (Rebecca et al., 2020). Generally, surgical resection is considered the first choice for patients with early-stage disease. Moreover, some advanced melanoma is insensitive to radiotherapy and chemotherapy as for its high aggressiveness (Ping et al., 2022). Therefore, several therapeutic agents including kinase inhibitors and immune checkpoint inhibitors (ICIs) were developed (Leonardi et al., 2018; Leonardi et al., 2020). Nowadays, Immunotherapy and targeted therapy have shown promising results in clinical trials and become the backbone of systemic treatment (Pelster and Amaria, 2019; Ribas et al., 2019). Despite the rapid development of these therapeutic approaches, limitations emerged since SKCM is heterogeneous cancer. Patients with the same stage and treatments may have a different prognosis and treatment response (Ackerman et al., 2014; Hassel et al., 2016; Simeone et al., 2017). Therefore, it is crucial to identify a prognostic predictive biomarker to inform clinical prognosis and treatment response.

Ferroptosis which was discovered in recent years is a novel form of programmed cell death and is characterized by a large amount of iron accumulation and lipid peroxidation (Li et al., 2020). It differs from other forms of cell death such as apoptosis, pyroptosis, necroptosis, and autophagy in morphology, biochemistry, and genetics (Gao et al., 2016). The main mechanism of ferroptosis is phospholipid peroxidation, which relies on the transition metal iron, reactive oxygen species (ROS), and phospholipids. In addition, nutrients, intra/intercellular signaling, and environmental stresses contribute to ferroptosis by regulating cellular metabolism and ROS levels (Jiang et al., 2021). Increasing evidence has indicated that ferroptosis was closely associated with the tumorigenesis and progression of cancers (Li et al., 2020). Many tumor suppressors show susceptibility to ferroptosis. Hence, regulating the antitumor activity of these tumor suppressors could be explored as an anticancer therapy (Jiang et al., 2021). Furthermore, Erastin, Sulfasalazine, Sorafenib, and other small molecule ferroptosis inducers used in the clinical treatment of cancer showed promising outcomes of anti-tumor effect (Liang et al., 2019; Xu G et al., 2021). Recent studies investigated that the differentiation status of melanoma cells was correlated with the susceptibility to ferroptosis. Ferroptosis inducers could decrease the number of dedifferentiated melanoma cells and prevent their immunosuppressive actions (Rebecca et al., 2020; Ping et al., 2022) (Gagliardi et al., 2020; Talty and Bosenberg, 2022). Apart from ferroptosis inducers, some miRNAs and genes associated with ferroptosis are involved in the development of SKCM. A previous study reported that miR-137 acts as a negative regulator of ferroptosis by directly targeting glutamine transporter

SLC1A5 in melanoma cells (Luo et al., 2018). Additionally, miR-9 suppressed Erastin- and RSL3-induced ferroptosis by targeting glutamic-oxaloacetic transaminase GOT1 in melanoma cells (Zhang et al., 2018). Inhibiting mitochondrial complex I induced autophagosome formation, mitophagy, a cytosolic ROS increase and ultimately lead to necroptosis/ferroptosis in melanoma cells (Basit et al., 2017). Besides, evidence suggested that GPX4, VDAC2/3, NEDD4, AKRs, and SLC7A11 are involved in the resistance to ferroptosis in melanoma (Talty and Bosenberg, 2022). Ferroptosis has been a new hope for SKCM therapeutics. Nevertheless, the roles of ferroptosis-related genes in prognostic prediction and tumor microenvironment (TME) remain unclear.

Recent studies have consistently revealed biomarkers such as tumor mutation burden (TMB), neoantigen load (NAL), programmed cell-death receptor 1 ligand (PD-L1) expression, and lactate dehydrogenase (LDH) to predict therapeutic benefit in SKCM (Jiang J et al., 2020). Unfortunately, there still existed several limitations to their clinical application, including the undefined cut-off value, intra/intratumor heterogeneity, unsatisfactory predictive power, and relatively high cost (Jiang J et al., 2020; Bai et al., 2020). This highlights more effective and clinically actionable biomarkers are required to be identified.

Genetic mutations are heritable changes in the nucleotide sequence of DNA that resulted from both inherited and environmental factors. The mutator phenotype hypothesis suggests that the capacity to divide, invade, and metastasize of cancer cells results from genetic mutations that maintain the stability of genes in normal cells. Mutations in genetic stability genes initiate mutations by causing mutations in other genes that govern genetic stability. Next, some of the resulting mutated cells expand and achieve clonal dominance (Loeb et al., 2003). Notably, targeted therapy based on the specific genetic background has made a great progress. For example, BRAF mutations were discovered in nearly half of metastatic SKCM. Patients with BRAF mutations showed improved progression-free survival by treatment with two BRAF inhibitors vemurafenib and dabrafenib (Hauschild et al., 2012; Jin et al., 2019). However, the mutations in cancers affect drug sensitivity and drive drug resistance. Therefore, the outcomes of targeted therapy are largely dependent upon the mutation profile of tumors in patients.

In this study, we performed comprehensive analysis utilizing data downloaded from TCGA and GEO databases, along with FRGs identified in previous studies to determine potential ferroptosis-related prognostic genes of SKCM in accordance with SNV mutational status. Subsequently, we developed and evaluated a ferroptosis-related genetic mutation risk (FerrGR) model for predicting prognosis and assessing multiple roles of ferroptosis-related genetic mutations in the TME of SKCM. In addition, an integrated prognostic nomogram was established by combining the risk model and clinicopathological features to ameliorate the prognostic assessment of SKCM patients. We also characterized the distinctive immune landscape and genetic and epigenetic signature associated

with the FerrGR model. Besides, potential drugs were predicted in the light of the FerrGR score. Overall, the FerrGR model might provide an effective prediction tool and help guide clinical decisions on therapy for SKCM.

## Materials and methods

### Data collection

All datasets used in this study were publicly available. RNA-seq transcriptome data, somatic mutations, SNVs, copy number variations (CNVs), methylation, clinical characteristics, and survival information were downloaded from The Cancer Genome Atlas (TCGA) database (<http://www.cga.org.cn/>) and the Gene-Expression Omnibus (GEO) database (GSE91061). TMB data of Pan-Cancer was received from the GDC database. 63 immune checkpoint marker genes were obtained from the literature (Hu et al., 2020). A total of 299 ferroptosis-related genes were obtained from the FerrDb database (<http://www.zhounan.org/ferrdb/>) and a literature search (Liang et al., 2020; Zhuo et al., 2020; Hong et al., 2021; Tang et al., 2021). Among them, the TCGA-SKCM cohort contains 286 ferroptosis-related genes which were selected for further analysis (Supplementary Table S1).

### Identification of the prognostic FRGs

SNV mutations of FRGs in the TCGA-SKCM cohort were counted by the “maftools” R package. The heatmap of FRGs was drawn by the “ComplexHeatmap” R package. Tumor patients in TCGA-SKCM cohorts were classified as the mutation and the wild-type based on the presence or absence of SNV mutations in FRGs. Thereafter, the prognostic value of FRGs was determined by univariate Cox regression analysis using the R package “survival” where  $p < 0.1$  was considered statistically significant. “Forestplot” R package was used to plot the forest map of prognostic FRGs. “ggpubr” R package was used to plot the sample proportion pie chart of prognostic ferroptosis related-genes mutation/wild-type samples. “Survminer” and “Survival” R packages were used to plot the survival curve of mutation/wild-type patients.

### Establishment of a ferroptosis-related genetic mutation risk (FerrGR) model

TCGA-SKCM mutational cohorts were divided into training and validation cohorts with the ratio of the training: validation = 7:3. The prognostic risk characteristics were assessed using the “glmnet” and “survival” R package based on the LASSO method in the training cohort. The FerrGR score was calculated according to the SNV mutational status (SNV mutation was equivalent to 1, while wild-type status was 0) of the key FRGs and the corresponding regression coefficient. The computational formula was as follows:

$$\text{FerrGR score} = \sum \text{LASSO regression coefficient} \times \text{SNV mutational value of key gene (0 or 1)}$$

The “forestplotdrug-sensitive” R package was used to draw the forest map of the key genes included in the model and their coefficients in the model.

### Validation of the FerrGR model

The patients in the training cohort were divided into High—and Low—FerrGR groups according to the optimal threshold obtained by the “surminer” R package. Then, the SNV type and frequency of key genes in the training cohort were counted with the package “maftools”. In addition, the heatmap of FRGs was drawn by the package “ComplexHeatmap”, while the survival curves of the two subgroups were created by the package “survminer” and “survival”. Subsequently, the package “pROC” was used to calculate and draw the ROC curve of FerrGR, TMB, and MSI in the validation cohort.

### Construction of the predictive nomogram based on the FerrGR model

The clinical characteristics including sample type, tumor stage, gender, the value of Clark’s level, BMI, TNM-staging, and TCGA molecular typing in different subgroups of the FerrGR model were calculated by the R package “ggpubr”. The FerrGR scores and the clinical characteristics were inputted into univariate and multivariate Cox analysis to validate whether the FerrGR score was an independent risk factor for SKCM. After that, a nomogram was constructed by “regplot” and “rms” packages for predicting the progression of SKCM patients.

### Multomics characteristics analysis

The different landscape of SNVs, amplification and deletion of FRGs between high—and low—FerrGR groups was identified by the chi-square test. In addition, the differential expression and genomic methylation of FRGs between subgroups were analyzed with the “limma” package.

### FerrGR model for immunotherapy

Data of SNV mutations from the dataset GSE90161 was scored by the FerrGR model, and then the patients were divided into high - and low - FerrGR groups based on the median value. After grouped, the heatmap of immune checkpoint genes and the survival curve of different groups were performed by “complexheatmap”, “survminer” and “survival” R packages, respectively. Besides, immunotherapeutic response PD (progressive disease)/SD (stable disease) and CR (complete response)/PR (partial response) was assessed by “ggstatsplot” package.

### Survival analysis on basis of the FerrGR model combined with TMB or MSI

We got the MSI status of patients from the TCGA-SKCM dataset by the “PreMSIm” package. Subsequently, patients were grouped into high—and low—MSI groups based on the median value. In combination with the FerrGR model, the patients were split into three groups: the first group’s scores in MSI and FerrGR model were both high, the second group’s scores were both low, and the third group’s scores were single high. The prognostic survival curve of these

three groups was then analyzed and plotted by package “survminer” and “survival”. The prognostic survival analysis by the FerrGR model combined with TMB was done in the same way.

## Tumor microenvironment analysis

Here, we used package “estimate” to calculate stromal and immune scores for predicting the level of infiltrating stromal and immune cells, and the tumor purity was also inferred in TCGA-SKCM cohort patients. The differences in the clinical characteristics, FerrGR score, stromal and immune scores, and tumor purity between high—and low—FerrGR groups were then statistically analyzed, where the *t*-test and chi-square test were used for continuous and categorical variables respectively. Subsequently, the infiltration of immune cells in the TCGA-SKCM cohort was estimated using the cibersort algorithm. The R package “ggpubr” was performed to count the differential expression of immune checkpoints between the two subgroups. The gene set variation analysis (GSVA) was conducted to calculate the scores of enrichments in immune pathways by complying with the “GSEABase” and “GSVA” R package.

## Potential sensitive drug prediction

The drug sensitive information and corresponding expression were downloaded from the PRISM Repurposing 19Q4 dataset (<https://depmap.org/portal/download/all/>) and Cancer Therapeutics Response Portal v2.1 (<https://ocg.cancer.gov/programs/ctd2/data-portal/#>). In addition, SNVs and samples' information of CCLE cell lines was obtained (<https://depmap.org/portal/download/all/>). Next, SKCM cell lines were divided into high—and low—FerrGR groups by calculated FerrGR score. Drug sensitivity of cell lines was qualified as an AUC value, and a lower AUC value suggested higher drug sensitivity. We then used the package “corrr” for exploring the correlations between the FerrGR score and AUC/IC50.

## Statistical analysis

The R software (version: 4.0.2) was utilized to conduct all the statistical analyses in this article. All *p* values of statistical data were based on two-sided statistical tests, and data with *p* < 0.05 was considered to be statistically significant (except for the univariate Cox proportional hazards regression model, where *p* < 0.1 was considered to be statistically significant).

## Results

### Identification of prognosis-related FRGs in the TCGA-SKCM cohort

The flowchart of the present research is shown in [Supplementary Figure S1](#). A total of 463 SKCM patients from the TCGA-SKCM cohort were included in this study. The detailed clinical characteristics of these patients were summarized ([Supplementary Table S2](#)). We firstly identified the SNV landscape of 286 FRGs in SKCM patients. SNVs were discovered in most FRGs and the 30 top-ranked FRGs were

present in the heatmap of [Figure 1A](#). Of note, the top 2 highest ranked FRGs were NRAS and CFTR, which had 29 and 19 percent SNV mutation regions respectively. Subsequently, 24 prognosis-related genes were screened from all 286 FRGs by the univariate Cox regression analysis of overall survival (OS) (*p* < 0.1), shown in the forest plot ([Figure 1B](#)). According to the value of hazard ratio (HR), ATP6V1G2, SRC, IL6, CEBPG, and NGB were considered the genes with the highest risk. To examine the prognostic significance of these screened risk FRGs, DNA Damage Inducible Transcript 3 (DDIT3), one of the risk FRGs, was performed as an example. 3.37% of SKCM patients were observed to carry DDIT3 mutations and these patients significantly had worse OS than patients without DDIT3 mutations by Kaplan-Meier survival analysis ([Figures 1C,D](#)).

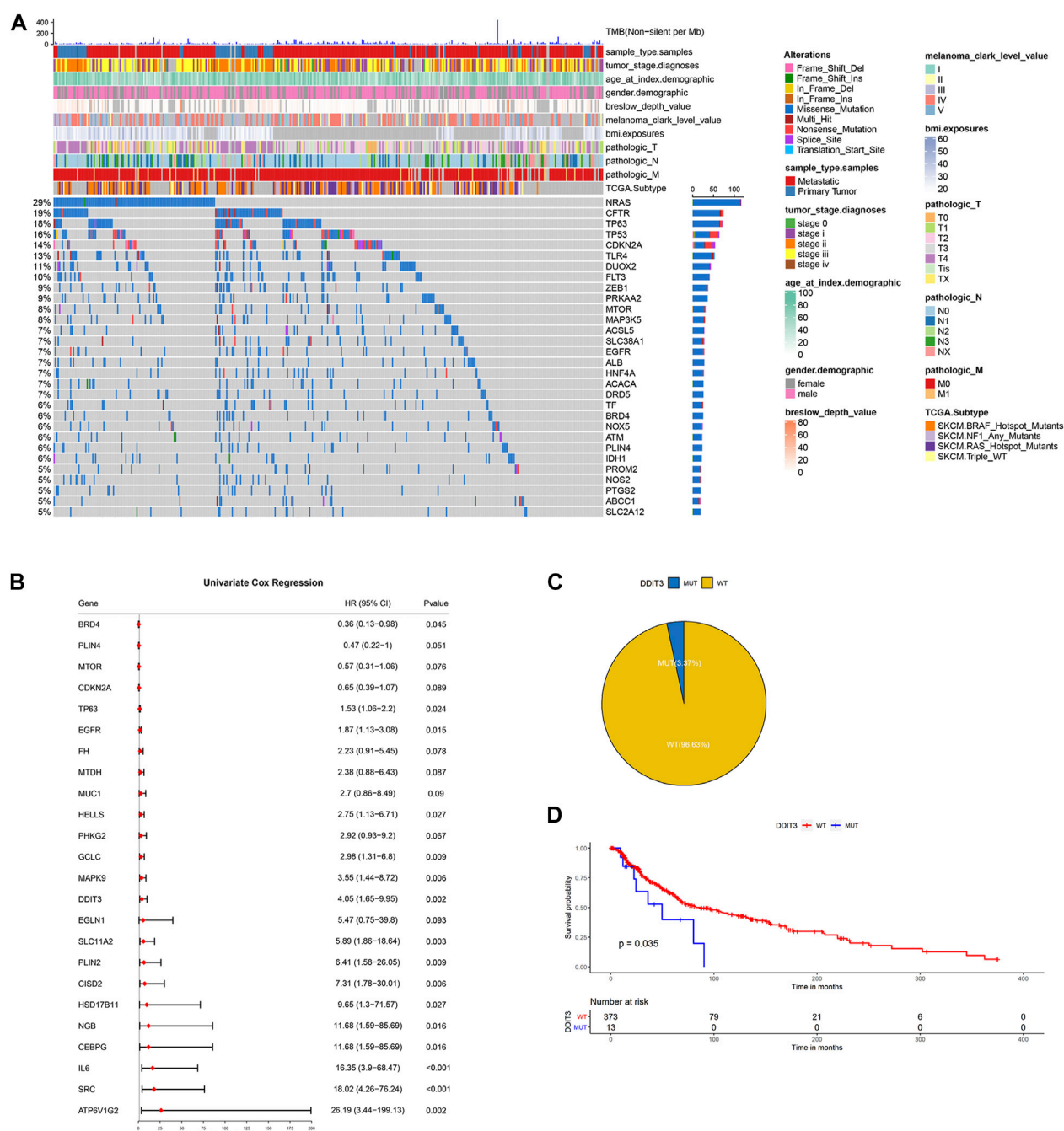
### Construction and validation of the ferroptosis-related genetic mutation risk (FerrGR) model

To prevent the risk of over-fitting, the LASSO Cox regression analysis was performed to establish a prognostic prediction model based on whether patients carrying SNV mutations in the above screened 24 FRGs or not. As a result, 19 key genes (TP63, CDKN2A, MTOR, EGFR, BRD4, PLIN4, GCLC, HELLS, MAPK9, FH, PHKG2, DDIT3, SLC11A2, SRC, CISD2, PLIN2, IL6, HSD17B11, ATP6V1G2) were filtered out by the minimum value of lambda ( $\lambda$ ) ([Supplementary Figure S2](#)). The coefficients of these genes were shown in [Figure 2A](#). The risk score was calculated with the following formula:

$$0.295704560557983 \times \text{SNV mutational value of TP63} + (-0.457905201754129) \times \text{SNV mutational value of CDKN2A} + (-0.440669922200648) \times \text{SNV mutational value of MTOR} + 0.370753348528944 \times \text{SNV mutational value of EGFR} + (-0.655423174928644) \times \text{SNV mutational value of BRD4} + (-0.257562121098952) \times \text{SNV mutational value of PLIN4} + 0.790692660435278 \times \text{SNV mutational value of GCLC} + 0.485910362202744 \times \text{SNV mutational value of HELLS} + 0.70467787865497 \times \text{SNV mutational value of MAPK9} + 0.851337406732927 \times \text{SNV mutational value of FH} + 0.947077530588054 \times \text{SNV mutational value of PHKG2} + 1.45060826783863 \times \text{SNV mutational value of DDIT3} + 2.28402264491982 \times \text{SNV mutational value of SLC11A2} + 2.06527868435253 \times \text{SNV mutational value of SRC} + 1.55256390491241 \times \text{SNV mutational value of CISD2} + 5.80583718370437 \times \text{SNV mutational value of PLIN2} + 2.7431230119215 \times \text{SNV mutational value of IL6} + 1.60058988692493 \times \text{SNV mutational value of HSD17B11} + 0.0133531074086934 \times \text{SNV mutational value of ATP6V1G2}.$$

The patients in the training cohort were classified into the high ferroptosis-related genetic mutation risk (high FerrGR) group and low ferroptosis-related genetic mutation risk (low FerrGR) group by the median risk score as a cut-off value, which was calculated as 0.2467727. The SNV landscape of 19 key FRGs in the TCGA training cohort was further figured out based on the two subgroups ([Figure 2B](#)). The Kaplan-Meier analysis indicated that patients in the high FerrGR group had significantly worse OS than those in the low FerrGR group ([Figure 2C](#)).

To test the reliability of the FerrGR model, the same formula as the training cohort was performed to calculate risk scores for the patients in the validation cohort. The patients were then allocated into the high

**FIGURE 1**

Identification of prognosis-related key FRGs in SKCM. **(A)** Heatmap to show the SNV landscape of the top 30 FRGs with the most frequent SNV mutations in the TCGA-SKCM cohort. **(B)** Forest plots showing the results of the univariate Cox regression analysis between FRGs and prognosis. **(C)** Pie charts depicting the proportions of wild-type and DDIT3-mutant patients. **(D)** Kaplan-Meier survival analysis of the wild-type and DDIT3-mutant patients.

FerrGR group and low FerrGR group by the same cut-off value. The SNV landscape of 19 key FRGs for patients in the validation cohort was shown in Figure 2D. Similar to the training cohort, The high FerrGR group exhibited a poorer survival outcome when compared to the low FerrGR group (Figure 2E).

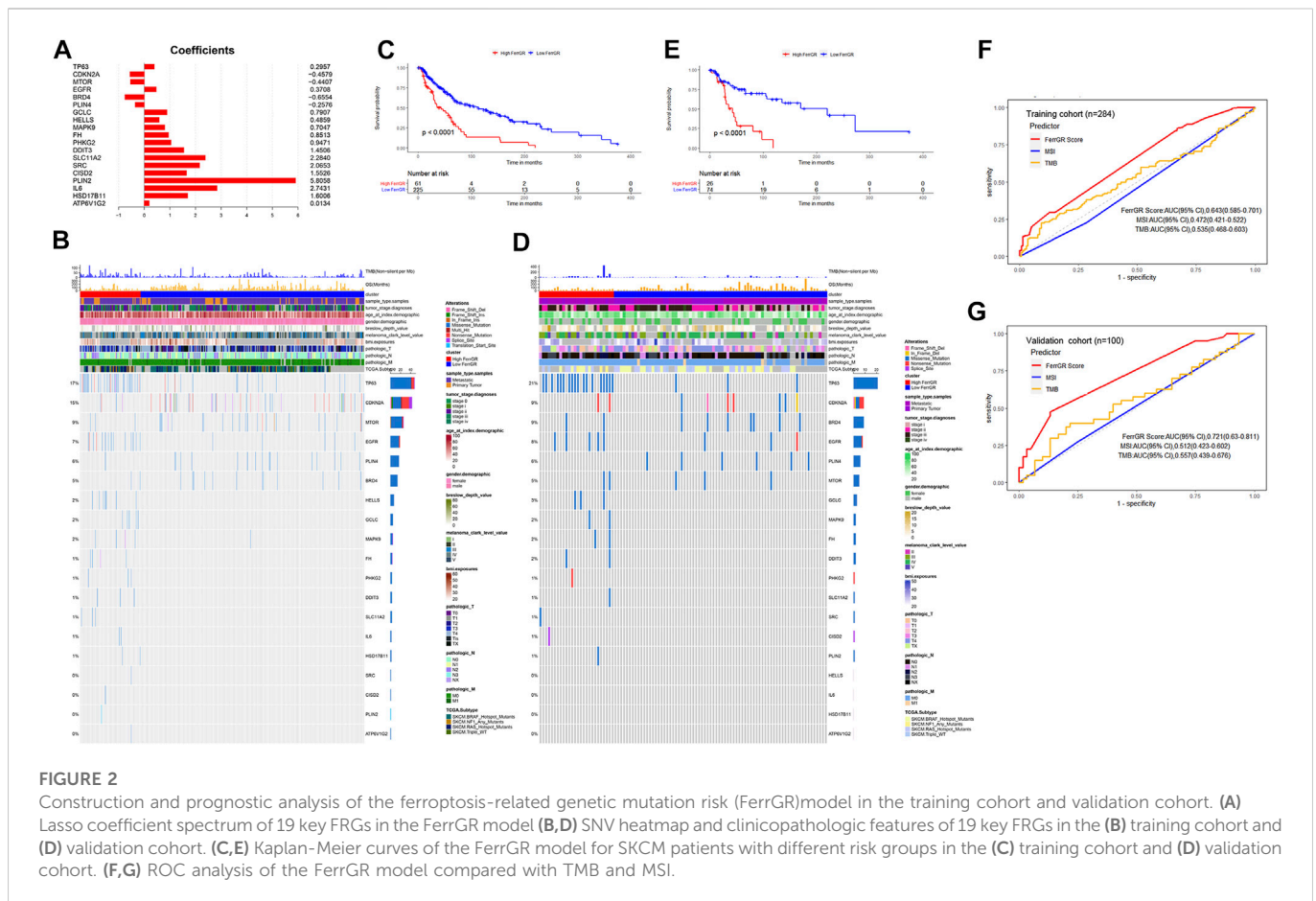
Subsequently, we used the ROC curve to evaluate the prediction efficacy of the model by calculating the areas under the curve (AUC). The AUCs of the FerrGR model for one-year survival time were 0.643 in the training cohort and 0.721 in the validation cohort

respectively; Besides, the FerrGR model showed the best prognostic power compared with TMB and MSI (Figures 2F,G).

## Correlations between the FerrGR score and clinicopathological factors

To further explore the roles of the FerrGR model in the SKCM development, the correlations between the FerrGR score and





clinicopathological factors were studied. Our results showed that the FerrGR score was independent with sample type ( $p = 0.19$ , Figure 3A), gender ( $p = 0.66$ , Figure 3C), T stage ( $p = 0.13$ , Figure 3E) and N stage ( $p = 0.93$ , Figure 3F). Further, there may be some correlation between the FerrGR score and tumor stage ( $p = 0.082$ , Figure 3B). The FerrGR score in stage II patients was higher than in other stages. Furthermore, The FerrGR score in stage M0 patients was higher than in the M1 stage ( $p = 0.065$ , Figure 3G). In particular, the FerrGR score was significantly among the values of Clark levels ( $p = 0.012$ , Figure 3D), and the signature was associated with TCGA subtypes ( $p = .017$ , Figure 3H). The FerrGR score in Clark level III patients was the highest. Besides, patients with NF1 mutations have a higher score than patients in other TCGA subtypes.

## Independent prognostic factors analysis and nomogram prediction model construction

To evaluate whether the risk score was a suitable independent prognostic indicator, univariate and multivariate Cox regression analysis were performed among the clinical characteristics and risk scores in the TCGA cohort. The univariate Univariate Cox regression revealed that clinical parameters, including primary tumor, T4 stage, N2 stage, N3 stage, NF1 mutated subtype, RAS mutated subtype, triple wild type, low FerrGR score, age  $\geq 60$ , Breslow depth value  $>4.5$ ,

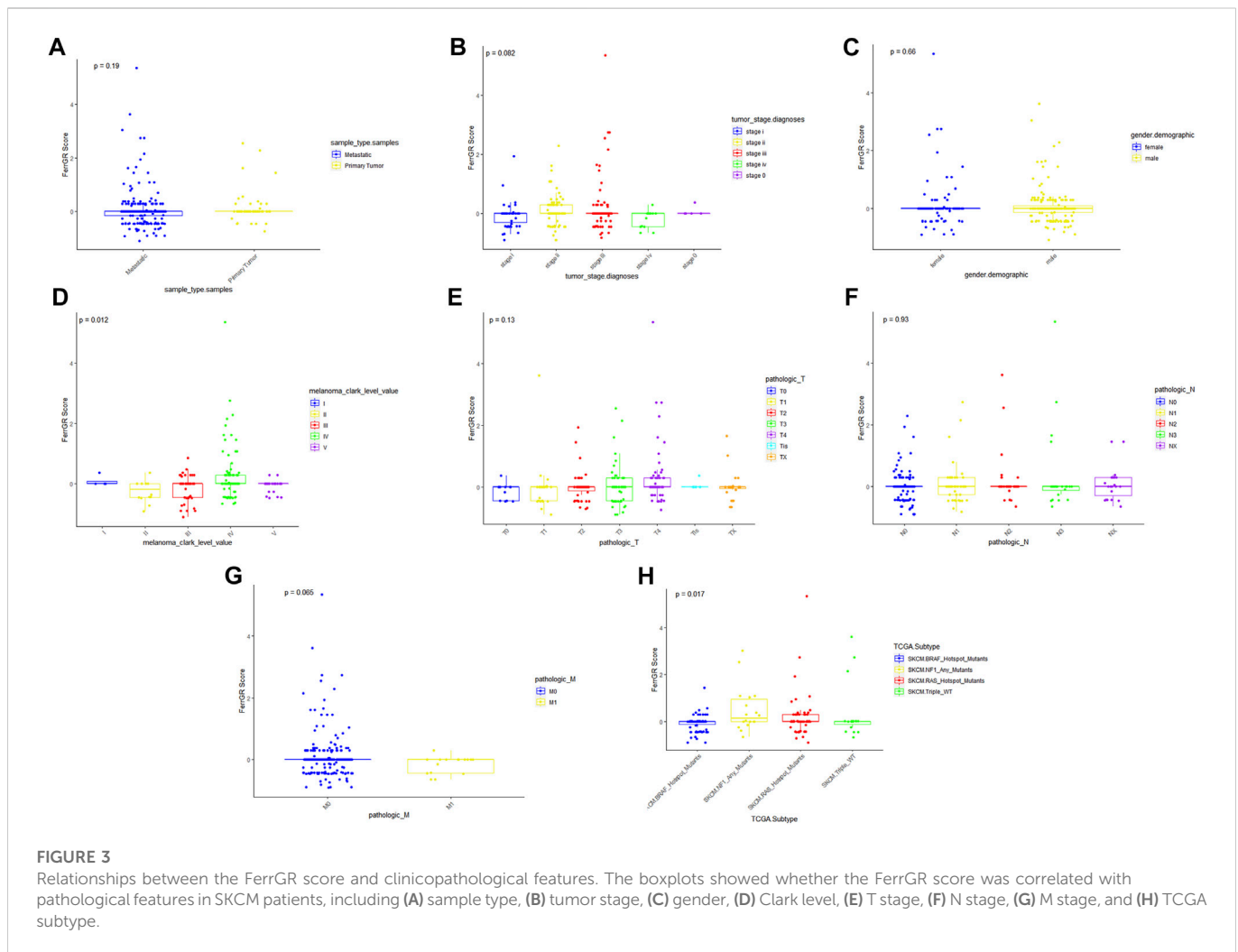
Breslow depth value = (3–4.5) were significantly associated with OS (Figure 4A). Through multivariate Cox regression, N2 stage, N3 stage, low FerrGR score, age  $\geq 60$ , and Breslow depth value  $>4.5$  were independent predictors of SKCM (Figure 4B).

What's more, a nomogram was created based on the values of multiple variables to predict the probability of specific clinical outcomes or events. We constructed the nomogram with the following factors: Breslow depth value, age, FerrGR score, and N stage. In the nomogram, columnar height represents the distribution and number of SKCM patients (Figure 4C). Testing of the proportional hazards hypothesis demonstrated the individual and global variables satisfied the requirement of the hypothesis (Figure 4D). Additionally, the calibration curve for the 1-, 2-, and 3-year survival rates displayed good agreement between the prediction and the investigation (Figure 4E).

## Mutation landscape of FRGs between the high FerrGR group and low FerrGR group

Further, the SNV mutation profiles of FRGs in 284 SKCM patients were utilized to explore the different landscape of SNVs in high—and low—FerrRG group patients. Among these patients, 60 belonged to the high FerrRG group and 100% had SNV alterations, while 224 were classified into the low FerrRG group and 136 (60.71%) carried SNV mutations in FRGs. We then



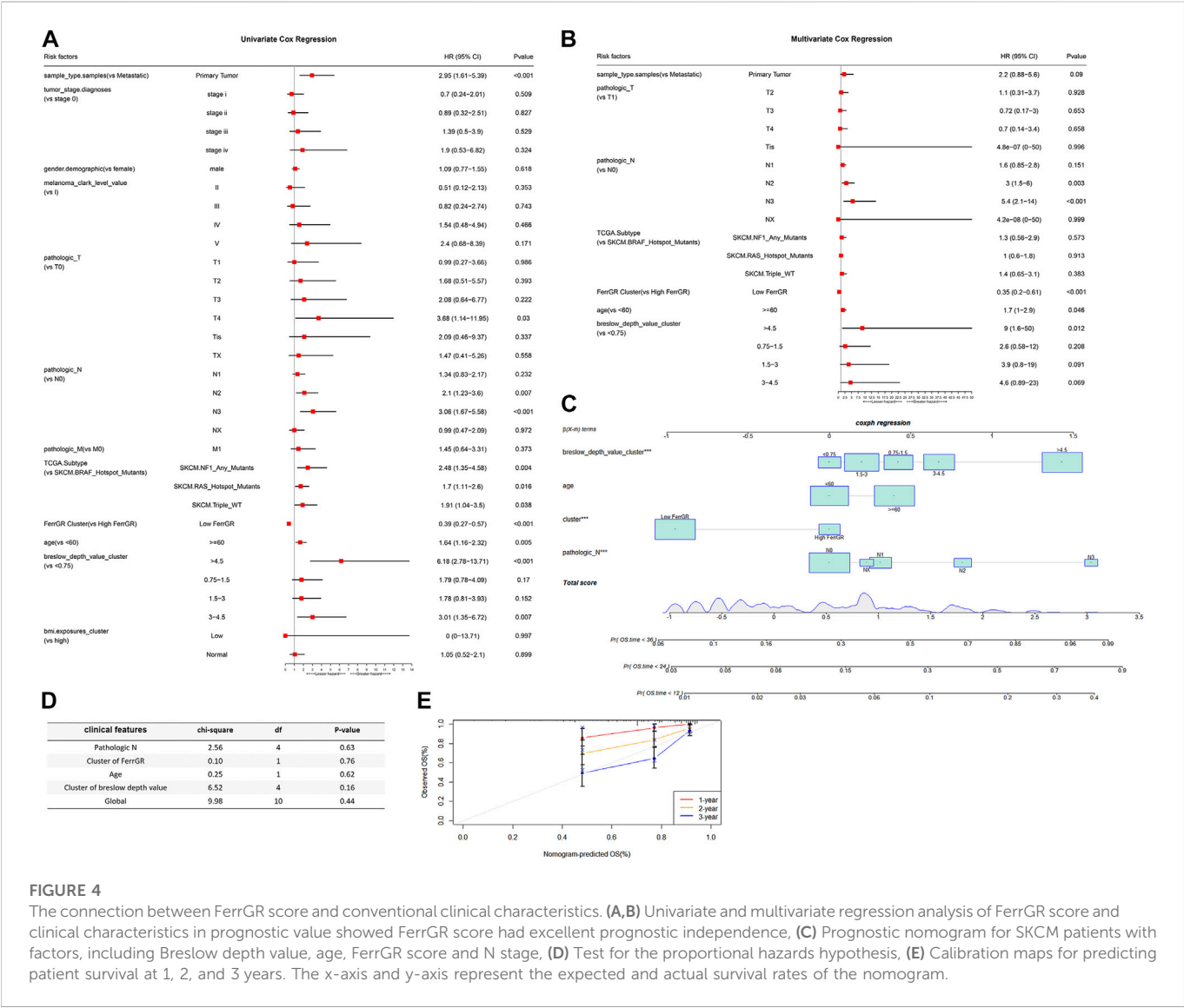


collected SNV mutation information in each sample of both groups and presented the top 30 FRGs in [Figures 5A,B](#), respectively. We revealed TP63 (55%), NRAS (42%), CFTR (27%), EGFR (22%), and FLT3 (17%) were the top 5 FRGs with highest mutation frequencies in the high FerrGR group, and NRAS (26%), CFTR (17%), CDKN2A (17%), FLT3 (8%) and TP63 (7%) were top 5 in the low FerrGR group. Notably, missense mutation was the largest fraction of mutation types in both groups.

Next, the differential expression of the top 30 FRGs between the high FerrGR group and the low FerrGR group was exhibited ([Figure 5C](#)). We found that CASP8, ATP6V1G1, RRM2, ENPP2, and TFAP2C were the top five differentially expressed genes. CNV analysis then showed RELA and NOX4 were the two FRGs with significantly different CNVs ( $p < 0.1$ ) between high - and Low - FerrGR groups. RELA and NOX4 in the high FerrGR group possessed more widespread CNV deletion ([Figures 5D,E](#)). However, there was no significant difference in the CNV status of other FRGs between the two groups ([Supplementary Table S3](#)). DNA methylation is an important consideration in the pathogenesis of cancer ([McMahon et al., 2017](#)). Therefore, the heat map summarized the 30 most significant FRGs-associated DNA methylation sites between two groups ([Figure 5F](#)).

## FerrGR-based prognostic stratification of SKCM patients with immunotherapy

Immunotherapy is an innovative treatment strategy for cancers. In particular, immune checkpoint blockade (ICB) therapy has made great progress in immunotherapy for cancer patients ([Havel et al., 2019](#)). Hence, we firstly determined the differences in the expression levels of 61 immune checkpoints between the high FerrGR group and low FerrGR group of the GSE91061 dataset ([Figure 6A](#)). We then revealed that there was no significant difference in patient OS between these two groups ([Figure 6B](#)). Subsequently, the response to immunotherapy was studied and found that no significant difference in immunotherapy responses between the high FerrGR group ( $n = 18$ ) and low FerrGR group ( $n = 80$ ), implying that the FerrGR model may not be a direct biomarker of immunotherapy ([Figure 6C](#)). Thus, we further investigated the joint utility of FerrGR combined with TMB or MSI for patient stratification and prediction of clinical outcomes. The FerrGR-high/TMB-high and FerrGR-high/MSI-high (both high) subgroups had a remarkably poorer survival outcome compared with the subgroups where both were low or single was high ([Figures 6D,E](#)). These results demonstrated that a combination of FerrGR and TMB/MSI served as a combined biomarker with better predictive value for favorable ICI's benefit.



**FIGURE 4** The connection between FerrGR score and conventional clinical characteristics. (A,B) Univariate and multivariate regression analysis of FerrGR score and clinical characteristics in prognostic value showed FerrGR score had excellent prognostic independence, (C) Prognostic nomogram for SKCM patients with factors, including Breslow depth value, age, FerrGR score and N stage, (D) Test for the proportional hazards hypothesis, (E) Calibration maps for predicting patient survival at 1, 2, and 3 years. The x-axis and y-axis represent the expected and actual survival rates of the nomogram.

Identification of the relationship between the FerrGR model and tumor immune microenvironment

To better study how the FerrGR model and the immune microenvironment interact, we firstly evaluated the different distribution of clinicopathological features between two FerrGR group patients of the TCGA cohort, and revealed that patients in the high FerrGR group had a lower immune score, higher tumor grade, and higher tumor purity than in low FerrGR group (Figure 7A). The distribution patterns of 22 immune cells between two groups were next calculated by the CIBERSORT algorithm. The comprehensive comparisons with the FerrGR score showed that B cells naive and T cells regulatory (Tregs) were enriched in the high FerrGR group obviously, while the patients in the low FerrGR group had a higher level of T cells CD4 memory activated (Figure 7B). It is known that immune checkpoint genes usually make an immunosuppressive effect in tumorigenesis and immune evasion. Therefore, the expression levels of immune checkpoint genes in high—and low—FerrGR groups were compared, and the results indicated that the expression levels of common immune checkpoint

genes, including CD274 (PD-L1), CD80, CD86 and PDCD1LG2 (PD-L2), in the low FerrGR group were all higher than those in the high FerrGR group (Figures 7C–F). However, there was no remarkable differential expression of CTLA4 between these two groups (Figure 7G). Subsequently, GSEA was performed to determine the biological functions and signal transduction pathway associated with the FerrGR score. The results showed that the FerrGR score was negatively correlated with inflammatory response, interferon-alpha response, interferon-gamma response, antigen processing and presentation, and the JAK-STAT signaling pathway, respectively (Figure 7H). These findings revealed that SKCM patients with high FerrGR scores prefer to form a suppressive immune microenvironment by increasing suppressive immune infiltration cells and upregulating immune checkpoint genes.

Potential sensitive drugs for SKCM according to the FerrGR model

According to the data on drug sensitivity and expression, 1,311 and 481 potential sensitive compounds were figured out from

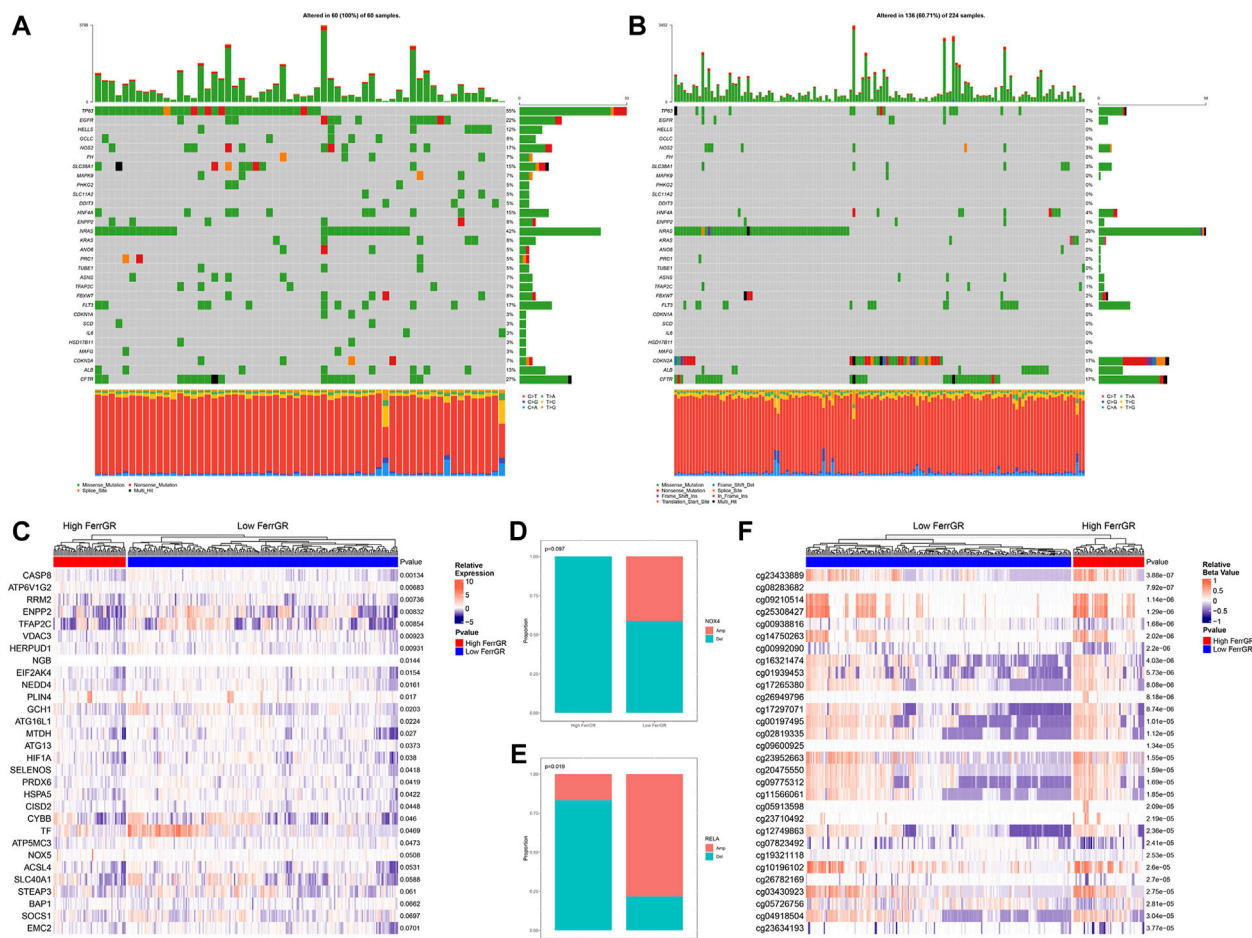


FIGURE 5

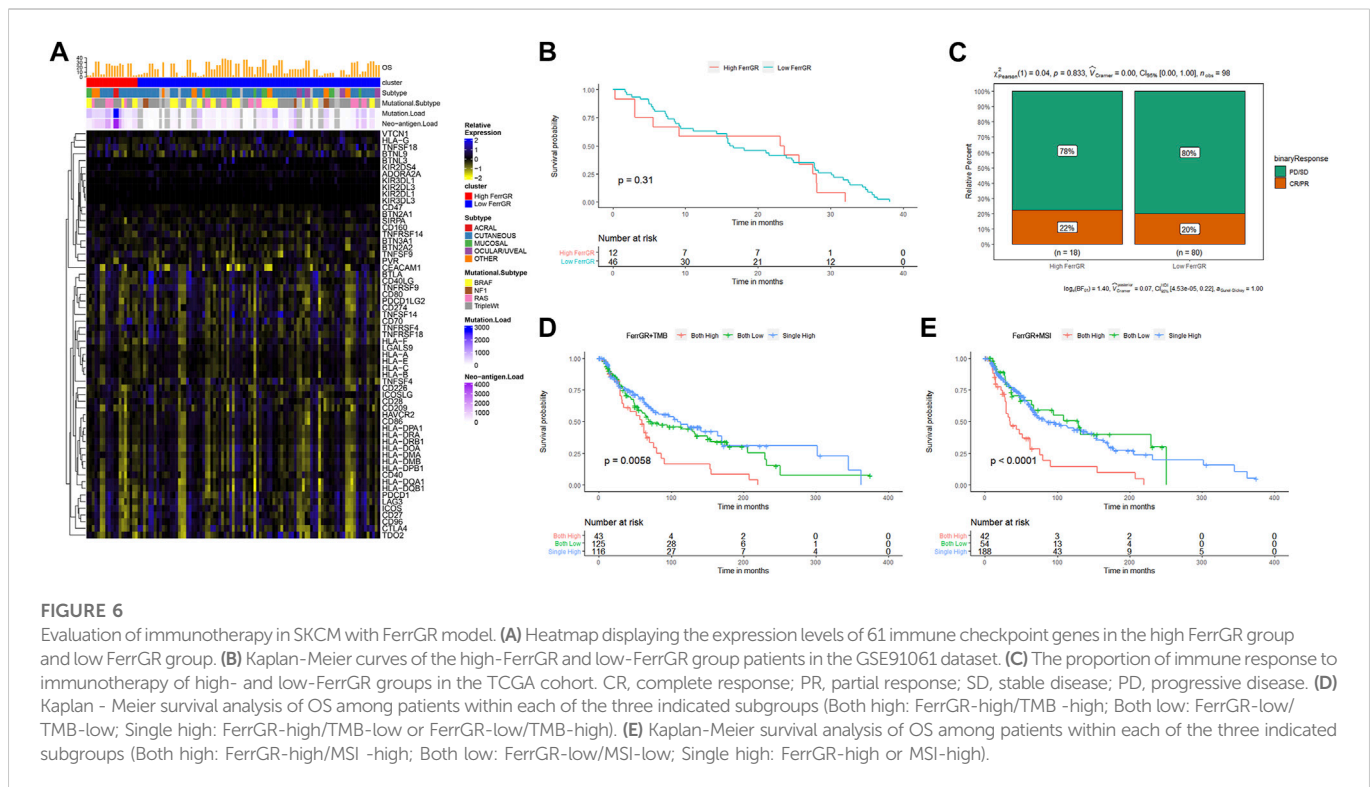
Analysis of ferroptosis-related genomic variation in the high FerrGR group and low FerrGR group SKCM patients, (A,B) waterfall plots represent mutation information of FRGs in each sample of the high FerrGR group and low FerrGR group SKCM patients, (C) Heatmap of top 30 differentially expressed FRGs between the high- and low-FerrGR groups, (D,E) The CNV mutation proportion of (D) RELA, and (E) NOX4 between groups, Amp: Gene amplification, Del: gene deletion (F) Heatmap showed the methylation sites of FRGs with top 30 significantly different methylation levels between groups.

the PRISM and CTRP database respectively, and 152 overlapped compounds were filtered out (Figure 8A). It was accepted that values of AUC and IC50 represented the sensitivity of the cells to drugs and were negatively correlated with the sensitivity. We then identified the top differential AUC value and IC50 value between high—and low—FerrGR group samples, and determined a threshold to select potential compounds. The Spearman's correlation  $>0.2$  was set as the threshold. The AUC values of pevonedistat, crystal-violet, bardoxolone-methyl, BNTX from the PRISM database, and cerulenin, HBX-41108 from the CTRP database exhibited significant correlations with the FerrGR score. Apart from BNTX showing a positive correlation with the FerrGR score, the other five selected compounds had negative correlations (Figure 8B). Besides, differential distribution of the AUC value of six potential compounds in high—and low—FerrGR groups was depicted (Figure 8C, D). Similarly, pevonedistat, crystal-violet, bardoxolone-methyl, and BNTX were identified from the PRISM database based on their IC50 values, and no potential compounds were found in the CTRP database. BNTX had a positive correlation with the FerrGR score, while pevonedistat, crystal-violet, and bardoxolone-methyl had negative correlations (Figure 8E). The differential distribution of the

IC50 value of these four potential compounds in high—and low—FerrGR groups was exhibited in Figure 8F. Therefore, those compounds may be novel options for SKCM treatments in the future.

## Discussion

SKCM is highly heterogeneous in the genetic, epigenetic, and gene expression with high metastases and death threats (Grzywa et al., 2017; Hendrix et al., 2017). Understanding the rapid progression of this heterogeneity makes possible the molecular classification and individualized treatment of SKCM. Ferroptosis has gained the interest of numerous researchers due to its unique cell death mechanism and its potential therapeutic prospects in cancers (Jiang et al., 2021). Current studies have constructed several prognostic prediction models for SKCM based on the expression of FRGs. Zeng et al. developed a prognostic model depending on the expression of two FRGs (ALOX5, CHAC1), and differences in the underlying diseases of SKCM did not effect on the expression features of these two genes (Zeng et al., 2021). Additionally, studies showed five-, six-, eight-, nine- and ten- FRG predictive models according to



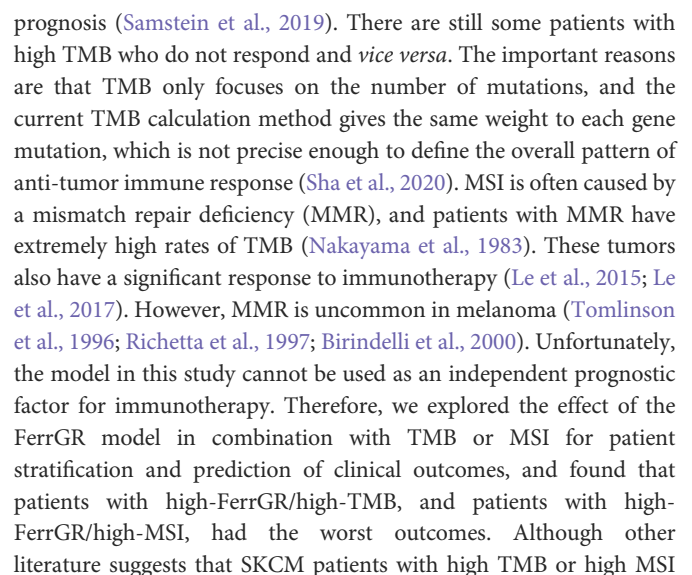
the RNA sequencing data have been constructed (Xu Z et al., 2021; Xu and Chen, 2021; Chen et al., 2022; Ping et al., 2022; Yue et al., 2022). These models forecasted the melanoma patients' prognosis and exhibited the close relationship between immune function and FRGs. However, the role of ferroptosis in SKCM patients, especially the mechanism of the association and interaction between ferroptosis-related genetic mutations and the clinical outcomes is still unclear. After performing a series of bioinformatics analyses, we found that SNVs of FRGs are an indicator of prognosis and TME status in SKCM patients, which may be of great significance for future research. Therefore, based on the SNV landscape, we systematically identified FRGs with prognostic ability to establish a robust and accurate ferroptosis-associated genetic mutation risk model to predict prognosis in SKCM patients and illustrate the relationship between ferroptosis-related SNVs and the TME.

In this study, the TCGA-SKCM cohort was used to perform univariate Cox regression combined with the previously reported and identified 24 FRGs that were correlated with SKCM prognosis. Subsequently, the LASSO algorithm was used to reduce dimensionality and construct a 19-gene signature prognostic model (FerrGR model). We verified the effectiveness of this model in the training cohort and the validation cohort. The FerrGR score of each sample is calculated on basis of whether the sample has SNV mutations in the 19 key genes or not. Then, patients in the training cohort and validation cohort were classified into the high FerrGR group and the low FerrGR group. The results showed that it is an independent, effective and robust prognostic model in both cohorts where the prognosis was worse in the high FerrGR group. In addition, aiming at the characteristics of high heterogeneity in SKCM patients, we established and validated a nomogram based on FerrGR score and clinicopathological indications that can predict 1-, 2-, and 3-year OS for individual SKCM patients specifically.

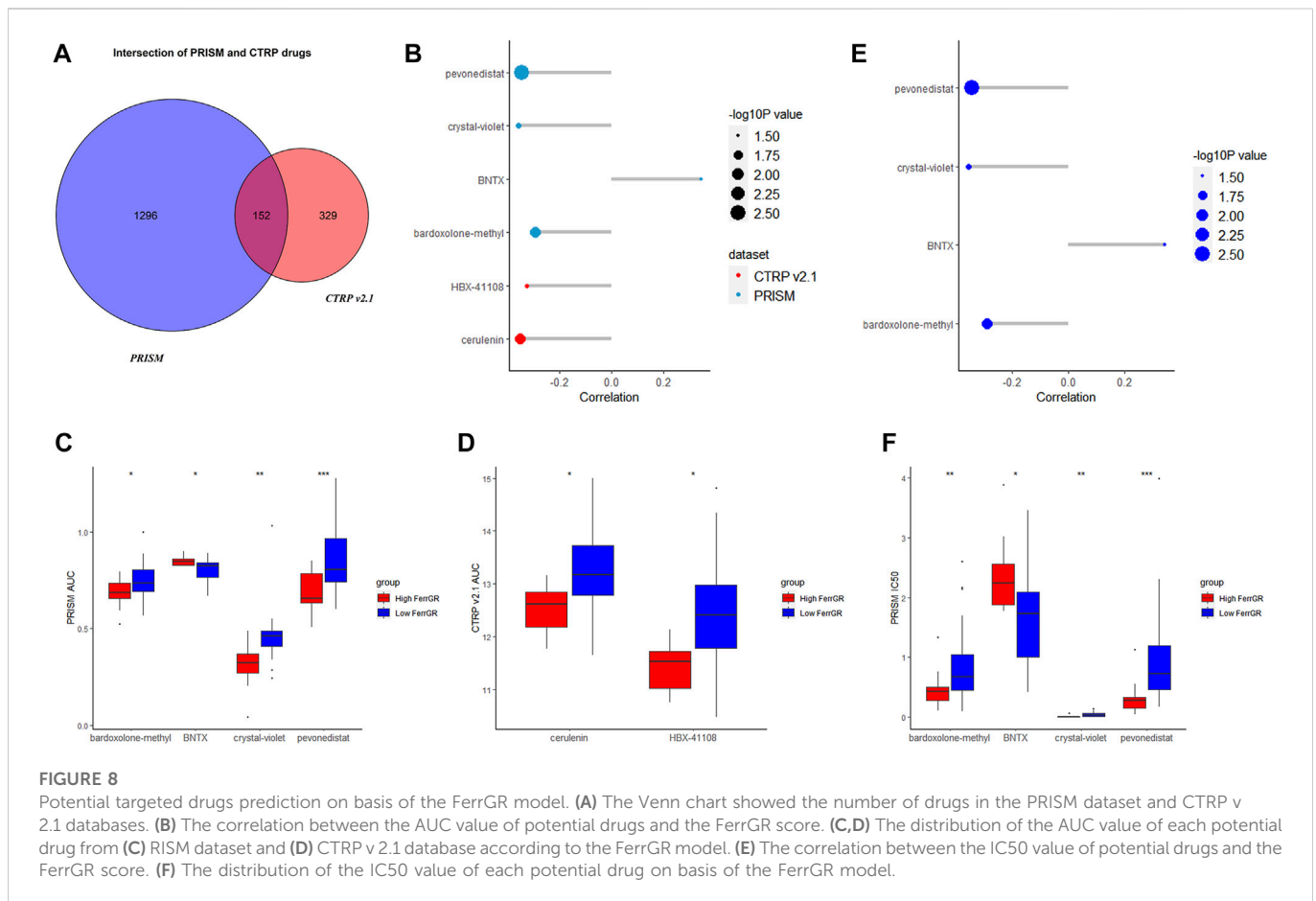
Nowadays, high-throughput sequencing technologies allow us to detect numerous genes which are significantly related to melanoma

prognosis through comprehensive analyses and establish multiple biomarkers. Therefore, recent studies explored novel favorable prognostic genes, such as aging-related genes, metabolic genes and pyroptosis-related genes, to predict prognosis and immune response for SKCM (Ju et al., 2021; Guo et al., 2022; Zeng et al., 2022). However, most of these studies did not systematic and in-depth analysis of the genetic mutations of these prognostic genes. SNVs are somatic point mutations found in cancer tissues and enriched in cancer driver genes and cellular pathways which are essential for tumorigenesis. Tumorigenesis is an evolutionary process of accumulation of somatic mutations (driver mutations), which promotes a selective growth advantage for cancer cells (He et al., 2014). Several pathogenic CNVs in special genes have been reported in the beginning and development of breast cancer subtypes, including BRCA1, MTUS1, and hTERT, suggesting that CNVs also play a unique role in breast cancer (Frank et al., 2007; Silva et al., 2014). Here, we found that the proportion of SNV mutations in ferroptosis-related genes associated with SKCM was 82.17% (235/286), among which the SNV mutation frequency of NRAS (20%), CFTR (19%), and TP63 (18%) ranked the top three. SNV mutations in FGRs might play an important role in the development and progression of SKCM. Meanwhile, the SNV mutation frequency of FGRs in the high FerrGR group was higher than in the low FerrGR group, especially TP63 and NRAS. TP63 mutations, which are present in the majority of cancers, are associated with poorer clinical outcomes in SKCM (Matin et al., 2013; Monti et al., 2017), which is consistent with our findings. The relationship between TP53 and NRAS mutational status and SKCM survival was substantially more pronounced. NRAS mutations are discovered in 15% of SKCM cases and more likely to have an aggressive tumor (Kelleher and McArthur, 2012; Muñoz-Couselo et al., 2017). In addition to SNV mutations, CNV mutations were also assessed in this study. However, the notable differential CNV









have a better prognosis (Sha et al., 2020), our results showed that these patients had a worse prognosis when the FerrGR score was high at the same time. Therefore, we hypothesized that the FerrGR score was a co-predictor with TMB and MSI, and that the FerrGR model could enhance the predictive ability of TMB and MSI.

The TME is made up of tumor cells and non-tumor cells that play a vital role in tumor growth and progression (Lim and South, 2014). Immune cells and stromal cells are two major types of non-tumor components in the TME. According to recent research, tumor progression can be caused by imbalances between tumor progression and the host immune response (Galon et al., 2013). Of note, ferroptosis can promote tumor growth by driving the polarization of macrophages in the TME (Dai et al., 2020). Moreover, hypoxia-inducible factor (HIF) pathways are a positive trigger for ferroptosis in clear-cell carcinoma (CCC) (Zou et al., 2019). Based on the ESTIMATE algorithm, we analyzed the relationship between the two groups and clinical features and assessed immune cell infiltration in TME. Compared with the low FerrGR group, the high FerrGR group had a higher immune score and higher tumor purity. Generally, immune scores increased significantly with the malignant progression of SKCM (Ning et al., 2021), while tumor purity decreased at higher grades in our analysis. Immune cells constitute a comfortable environment for tumor growth, suggesting that the poor prognosis of patients in the high FerrGR group is due to the tumor immunosuppressive environment (TIME). TIME is the immunosuppressive part of TME, which consists of immunosuppressive cells and immunosuppressive cytokines.

Ferroptosis-related genes with a higher frequency of SNV mutations in the high FerrGR group may be associated with increased infiltration of immunosuppressive cells in SKCM. Tregs regulate innate and adaptive immune cells and maintain self-tolerance (Sakaguchi et al., 2008). A high proportion of Tregs is associated with tumor progression, poor survival in many solid tumors, including SKCM (Gerber et al., 2014), and poor clinical outcomes in SKCM patients treated with immunotherapy (Cesana et al., 2006). Here, our studies supported that a high proportion of Tregs in the high FerrGR group existed antitumor immune responses mediated by T cells. Conversely, the proportion of T cells CD4 activated in the low FerrGR group contributed more to immune response than in the high FerrGR group, according to our findings. Interestingly, we also found that almost all immune checkpoint genes, including PD-L1 and PD-L2, were upregulated in the high FerrGR group. Collectively, these results may be a sign of immune escape in the high FerrGR group patients.

Six potential targeted drugs, including pevonedistat, crystal-violet, bardoxolone-methyl, BNTX, cerulenin and HBX-41108, for high FerrGR samples were predicted. Pevonedistat (MLN4924) leads to DNA re-replication, cell cycle arrest and death *via* targeting the NEDD8-activating enzyme (NAE). It has anti-tumor activity and supports the clinical benefits observed in recent clinical trials in SKCM patients (Wong et al., 2017; Wood et al., 2020). For immunotherapy, the combination of pevonedistat and anti-PD-L1 therapy had a better therapeutic efficacy compared to each agent alone. Pevonedistat attenuated T cell killing through PD-L1 induction,

whereas blockade of PD-L1 successfully potentiated the sensitivity of pevonedistat-treated glioblastoma cancer cells to T cell killing (Zhou et al., 2019). Hence, a combination of pevonedistat with immune checkpoint blockade treatment might be promising combinatorial regimens. Bardoxolone methyl is a novel synthetic triterpenoid and antioxidant inflammation modulator that activates Nrf2 and inhibits NF- $\kappa$ B. It can impair tumor growth and induces radiosensitization of oral squamous cell carcinoma cells (Hermann et al., 2021). But a further examination of its effects in SKCM is required. Cerulenin, a fatty acid synthase inhibitor, can retard the growth of melanoma cells and activates caspase-dependent apoptosis (Ho et al., 2007). Moreover, the anti-tumor immune responses of cytotoxic T cells were potentiated and ovarian tumor growth was inhibited by treatment with cerulenin (Yoon and Lee, 2022). It indicated that cerulenin might have potential applications in cancer immunotherapy. HBX-41108 is a partially-selective ubiquitin-specific proteases (USPs) inhibitor that stabilizes p53 and induces caspase 3 and PARP cleavage in cancer cells. As USPs are therapeutic targets for tumor treatment, HBX-41108 is likely to be an effective drug for SKCM (Pal and Donato, 2014). Therefore, the relationship between these potential targeted drugs and SNV mutations, ferroptosis, SKCM progression and immunotherapy needs further exploration.

Taken together, our results suggested that the FerrGR model based on SNV mutations of 19 key FRGs is a reliable prognostic risk prediction model for predicting the overall survival of SKCM patients. This may help guide treatment strategies for SKCM to improve clinical outcomes and provide theoretical references for explaining the prognosis difference between patients. Nevertheless, our study has several limitations. Firstly, there are not relatively abundant key FRGs in the risk model, which may limit it for clinical application. In addition, there was no significant difference between the two FerrGR groups in the immunotherapeutic response, thus more prospective real-world data should be used to confirm the accuracy and applicability of this model. Besides, further validation of this model in prospective studies of SKCM patients is needed.

## Conclusion

In a word, we developed the FerrGR model for predicting the clinical outcomes and guiding the treatment of SKCM. It might have a contribution to distinguish immune and molecular features, stratify SKCM patients benefiting from immunotherapy, predict patient survival, and discover potential targeted drugs. Our study provides new insights into genetic mutations of FRGs in SKCM's development and progression, and offers novel ideas for advancing the treatment of SKCM by targeting ferroptosis. However, further research on confirming the prognostic value of the FerrGR model is required.

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## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

## Author contributions

XW and XC designed and organized the manuscript. JH and WH participated in data analysis and manuscript writing. XL, JW, and YN revised the manuscript. GL, XW, and HC contributed to collect data. All authors read and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.988909/full#supplementary-material>

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# A novel prognostic gene set for colon adenocarcinoma relative to the tumor microenvironment, chemotherapy, and immune therapy

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**Background:** Colon adenocarcinoma (COAD) is a common aggressive malignant tumor. Heterogeneity in tumorigenesis and therapy response leads to an unsatisfactory overall survival of colon adenocarcinoma patients. Our study aimed to identify tools for a better prediction of colon adenocarcinoma prognosis, bolstering the development of a better personalized treatment and management.

**Method:** We used the least absolute shrinkage and selection operator (LASSO) Cox model to analyze the prognosis-related gene datasets from the Gene Expression Omnibus (GEO) database and verified them using The Cancer Genome Atlas (TCGA) database. The area under the curve (AUC) was calculated using the receiver operating characteristic (ROC) curve to evaluate the predictive ability of the risk score model. Gene Set Enrichment Analysis (GSEA) was used to identify the significantly enriched and depleted biological processes. The tumor immune dysfunction and exclusion (TIDE) algorithm was taken to explore the relationship between the risk score and immunotherapy. The observations collectively helped us construct a nomogram to predict prognosis. Finally, the correlation between drug sensitivity and prognostic gene sets was conducted based on the Cancer Therapeutics Response Portal (CTRP) analyses.

**Results:** We constructed a scoring model to assess the significance of the prognosis risk-related gene signatures, which was relative to common tumor characteristics and tumor mutational burdens. Patients with a high-risk score had higher tumor stage and poor prognosis ( $p < 0.05$ ). Moreover, the expressions of these genes were in correlation with changes in the tumor microenvironment (TME). The risk score is an independent prognostic factor for COAD ( $p < 0.05$ ). The accuracy of the novel nomogram model with a risk score and TNM-stage prediction prognosis in the predicting prognosis was higher than that of the TNM stage. Further analysis showed that a high-risk score was associated with tumor immune rejection. Patients with a low-risk score have a better prognosis with chemotherapy than those with a high-risk score. Compared to patients in the high-risk group, patients in the low-risk group had a significant survival advantage after receiving chemotherapy. In addition, the prognostic gene sets aid the assessment of drug sensitivity.

**Conclusion:** This study establishes a new prognostic model to better predict the clinical outcome and TME characteristics of colon adenocarcinoma. We believe, our



model also serves as a useful clinical tool to strengthen the functioning of chemotherapy, immunotherapy, and targeted drugs.

#### KEYWORDS

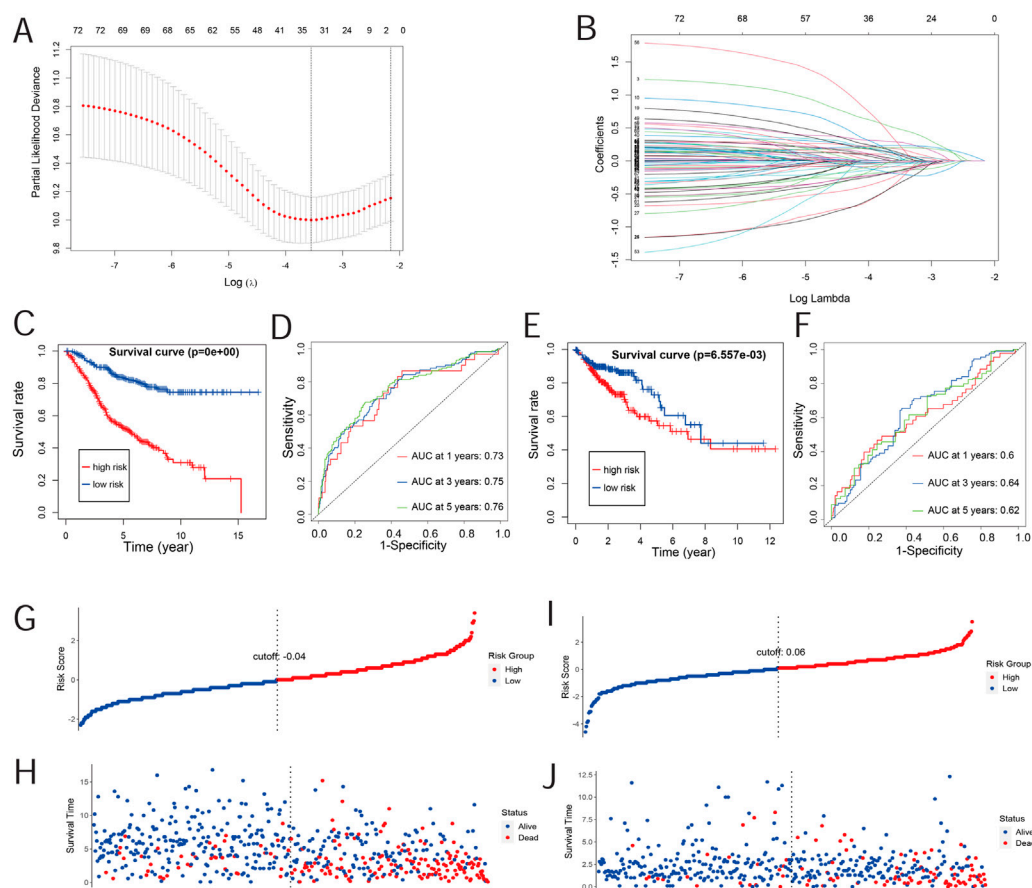
TMB, immune, prognosis, chemotherapy, drug sensitivity, colon adenocarcinoma

## Introduction

Colon adenocarcinoma (COAD) is the main pathological type of colon cancer and the second leading cause of cancer deaths worldwide (Yoshino et al., 2018; Keum and Giovannucci, 2019). Approximately 900,000 COAD patients die each year from this malignancy due to its late clinical diagnosis (Dekker et al., 2019). Moreover, the incidence and mortality rates of COAD have been continuously growing, owing to the unsatisfactory prognosis of advanced COAD cases. The poor prognosis of COAD may also be due to its tumor recurrence and metastasis, characteristic of the disease. The 5-year and 10-year survival rates of most patients with metastatic COAD are 40% and 20%, respectively (Zhou et al., 2022). Treatment decisions are primarily based on assessing the tumor node metastasis (TNM) staging system (Amin et al., 2017). COAD is a heterogeneous

cancer with genetic and clinicopathologic features regulating its occurrence and development (Gu et al., 2020). However, TNM staging fails to reveal its biological heterogeneity (Zhou et al., 2021). Moreover, an accurate prediction of the survival duration of COAD patients is helpful for clinical decision-making, warranting an urgent need to find more precise prognosis-predictive tools.

Currently, the standard treatment modalities for patients with COAD include surgery, adjuvant chemotherapy, and radiotherapy. It is challenging to remove all the cancer cells *via* surgery, causing advanced COAD patients to receive further treatment with adjuvant chemotherapy and radiotherapy (Ganesh et al., 2019). Chemotherapeutic drugs are non-specific and cytotoxic in nature with many side effects to any normal growing and dividing cell of the body. Notably, immunotherapy is one of the novel and current alternative treatments for COAD patients. Immune checkpoint



**FIGURE 1**

Risk-scoring model construction and validation. (A) Illustration for the LASSO coefficient spectrum of prognostic genes. (B) Adjusted parameters of the LASSO regression model. (C) Kaplan–Meier curve analysis of OS in high-risk and low-risk groups based on the GEO database. (D) Kaplan–Meier curve analysis of OS in high-risk and low-risk groups based on the TCGA database. (E) ROC curve of risk scores in the GEO database. (F) ROC curve of risk scores in TCGA database. (G,H) Overview of the survival time and distribution of risk scores of the GEO database. (I,J) Overview of the survival time and distribution of risk scores of TCGA database.

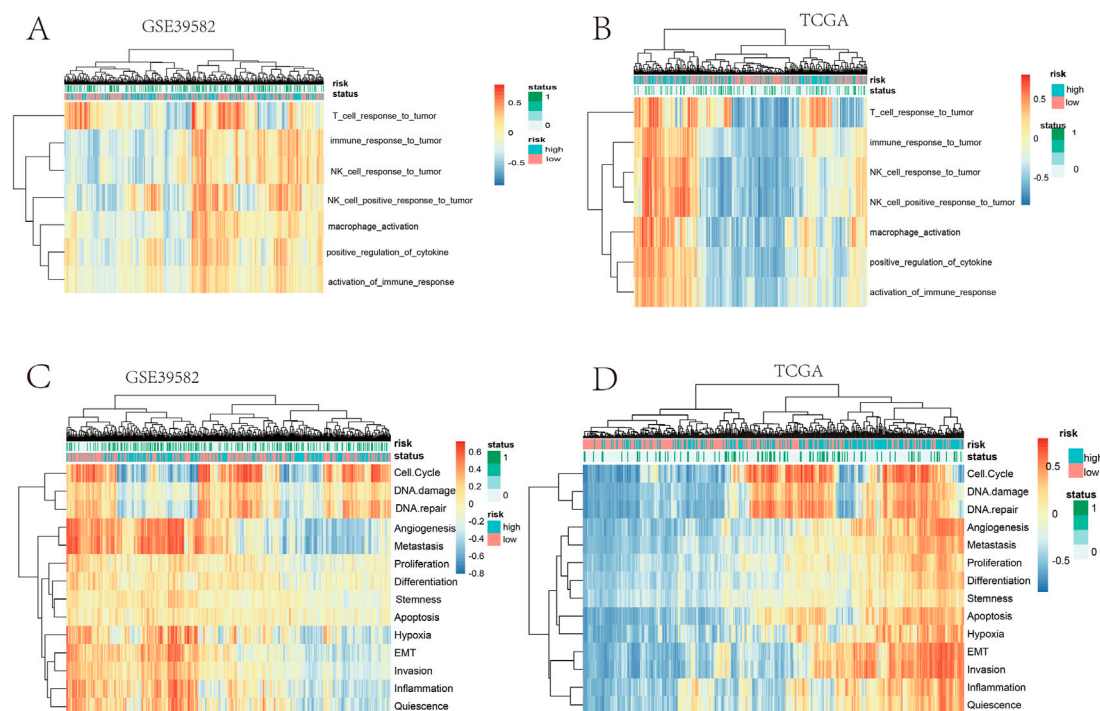


FIGURE 2

(A,B) Heatmap clustering of the risk score and immune signatures in the training cohort. (C,D) Heatmap clustering of the risk score and tumor signatures in the validation cohort.

therapy, which received a regulatory approval in 2017, primarily treats severely mutated COAD patients with deficient mismatch repair (dMMR) or high levels of microsatellite instability (MSI-H) (Picard et al., 2020). However, COAD patients, upon receiving adjuvant immunotherapy, may exhibit an immune exclusion response (Fan et al., 2021). Moreover, different chemotherapy drugs elicit variable prognoses for different types of COAD patients. However, choosing a personalized treatment plan still remains challenging and confusing. Hence, the need of the hour is to identify a prognostic model to predict the survival outcomes of COAD patients. The aim was to use this model to clinically guide COAD treatment decisions.

In this study, large data from a cohort of COAD patients from TCGA database were screened for differentially expressed prognostic risk-associated genes. These genes were chosen from the GEO database and verified using TCGA expression data. Herein, we aimed to construct a novel prognostic risk scoring method for COAD that could lead to the administration of a better personalized treatment and management.

## Materials and methods

### Data collection and preprocessing

The expression profiles were downloaded from two platforms: the GSE39582 dataset from the Gene Expression Omnibus (GEO) database (<https://www.ncbi.nlm.nih.gov/geo/>), and transcriptome profiling (TCGA-COAD-RNAseq) and single-nucleotide variant (TCGA-COAD-SNV) datasets from The Cancer Genome Atlas (TCGA) database (<https://www.tcgatlas.org>). Single-nucleotide variant (SNV)

datasets from TCGA. TCGA-COAD-RNAseq contains 515 samples, including 473 tumor tissue samples and 41 normal solid tissue samples. TCGA-COAD-SNV contains 896 samples, including 448 tumor tissue samples and 448 normal samples. GSE39582 contains 585 samples, containing 566 tumor tissue samples and 19 normal tissue samples. We carried out quantile normalization for expression profiles with the preprocessCore package. Then, we carried out survival analysis and univariate Cox regression analysis for every gene in GSE39582 to obtain the overlap genes as prognostic genes (with the cutoff  $p$ -value<0.05) with survival packages. Using the Human Protein Atlas (HPA) database (<https://www.proteinatlas.org>), by immunohistochemical (IHC) staining, we tested normal intestinal tissue and performed prognosis in COAD organization gene expression differences in the protein level (Asplund et al., 2012).

### Construction and external validation of the risk-scoring model

We took GSE39582 as the training dataset to construct a risk-scoring model based on these prognostic genes; the robust prognosis risk-related genes were selected from all prognostic genes *via* a risk score evaluated by the LASSO regression model. To validate the effect of predictive ability of the robust prognosis risk-related genes, the ROC curve was applied to calculate the area under the curve (AUC) on the foundation of the risk score model. The risk-scoring model obtained from GSE39582 was validated by TCGA data *via* Kaplan–Meier survival analysis and ROC curves. Taking the median risk score as the cut-off point, the survival analysis was carried out.

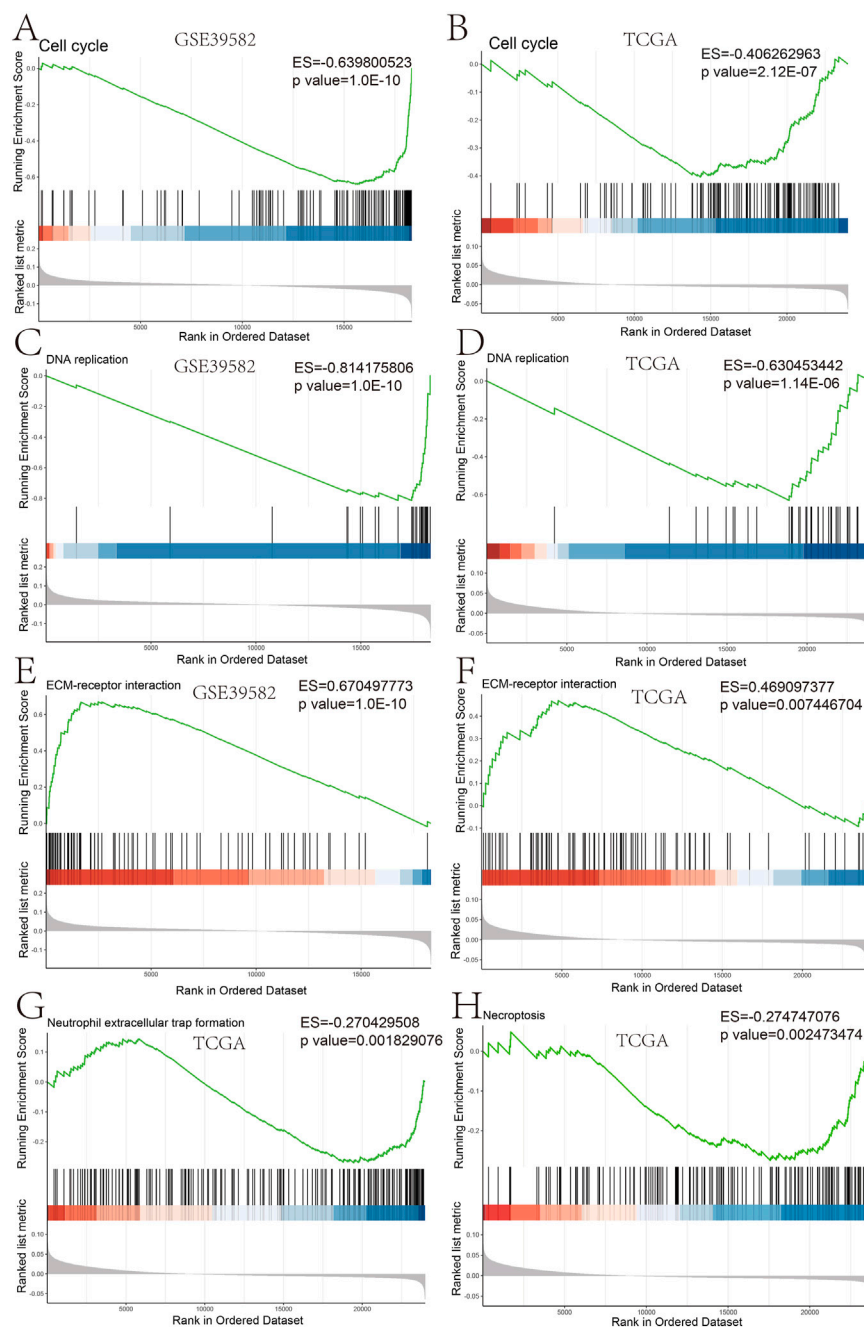


FIGURE 3

GSEA plot of the biological process based on the risk score GSEA analysis in GSE39582 (A,C,E) and GSEA analysis in TCGA (B,D,F–H).

## Comprehensive analysis about prognostic gene sets

To analyze the biological process based on the risk score group, we carried out Gene Set Enrichment Analysis (GSEA) with the clusterProfiler package. Seven gene sets (GO\_ACTIVATION\_OF\_IMMUNE\_RESPONSE, GO\_IMMUNE\_RESPONSE\_TO\_TUMOR\_CELL, GO\_MACROPHAGE\_ACTIVATION\_INVOLVED\_IN\_IMMUNE\_RESPONSE, GO\_NATURAL\_KILLER\_CELL\_MEDIATED\_IMMUNE\_RESPONSE\_TO\_TUMOR\_CELL, GO\_POSITIVE\_REGULATION\_OF\_CYTOKINE\_PRODUCTION\_INVOLVED\_

IN\_IMMUNE\_RESPONSE, GO\_POSITIVE\_REGULATION\_OF\_NATURAL\_KILLER\_CELL\_MEDIATED\_IMMUNE\_RESPONSE\_TO\_TUMOR\_CELL, and GO\_T\_CELL\_MEDIATED\_IMMUNE\_RESPONSE\_TO\_TUMOR\_CELL) were obtained from GSEA (<http://www.gsea-msigdb.org/gsea/index.jsp>). Moreover, 14 gene sets (angiogenesis, apoptosis, cell cycle, differentiation, DNA damage, DNA repair, EMT, hypoxia, inflammation, invasion, metastasis, proliferation, quiescence, and stemness) were obtained from CancerSEA (<http://biocc.hrbmu.edu.cn/CancerSEA/>). We performed a gene set variation analysis about immune signatures and tumor signatures and analyzed the

relationship between the risk score and GSVA score. Also, we analyzed immune infiltration with different tools to know about the status of immune filtration in different risk groups. Combining the clinical information, we explored the difference between high-risk and low-risk groups in the TNM stage and drug reaction. Apart from these, we combined the TCGA-COAD-RNAseq dataset and the TCGA-COAD-SNV dataset to analyze the genetic background behind the two groups with the maftools package.

## The clinical value analysis of prognostic gene sets

We investigated the therapeutic value of genes associated with a robust prognostic risk. The tumor immune dysfunction and exclusion (TIDE) algorithm was used to explore the relationship between the risk score and immunotherapy. We also analyzed the relationship between the risk score and chemotherapy. Moreover, we combined the risk score and TNM stage to construct a novel nomogram model with the rms package to improve the model value in predicting prognosis.

## Drug sensitivity data analysis

We collected the corresponding mRNA gene expression from the genomics of the Cancer Therapeutics Response Portal (CTRP) and merged the mRNA expression and drug sensitivity data. Pearson correlation analysis was performed to obtain the correlation between mRNA expression and drug IC<sub>50</sub> values. An FDR-adjusted *p*-value was used in all the analyses (Liu et al., 2018).

## Results

### Construction and validation of the prognostic model

Survival analyses helped obtain the prognosis-related gene expression profile for COAD patients. Moreover, Cox regression analysis in the GEO dataset, which was verified by TCGA dataset, also helped in the process. The analysis identified a total of 76 prognosis-related genes. In the LASSO regression model, 33 genes were identified as robust prognosis risk-related genes (Figures 1A, B). The 33 genes selected for the model included *ATOH1*, *C4orf47*, *CPA4*, *DNASE1L1*, *ERFE*, *F2RL2*, *FBXO39*, *FZD3*, *HPCAL4*, *ICOS*, *INHBB*, *ITLN1*, *KIF7*, *KLHL26*, *LINC00629*, *LRRC29*, *MMP12*, *MYL6B*, *NPM3*, *PCBD1*, *PLEC*, *POLR2F*, *POU5F1P4*, *PRRX2*, *PTPRU*, *PTTG3P*, *RNF112*, *SERPINB7*, *SLCO1A2*, *TH*, *TMEM39B*, *TRDV3*, and *ZDHHC1*. The detailed characteristics of these prognostic genes in this study are given in Supplementary Table S1. Most prognostic genes were differentially expressed between COAD and normal tissues (Supplementary Figure S1). *ATOH1*, *HPCAL4*, *ITLN1*, *POLR2F*, *RNF112*, *SERPINB7*, *SLCO1A2*, *TH*, and *TMEM39B* were significantly downregulated in COAD tissues (*p* < 0.05) compared to those in normal tissues. *C4orf47*, *CPA4*, *DNASE1L1*, *ERFE*, *F2RL2*, *FBXO39*, *FZD3*, *INHBB*, *KLHL26*, *LRRC29*, *MMP12*, *MYL6B*, *NPM3*, *PCBD1*, *POU5F1P4*, *PRRX2*, *PTPRU*, *PTTG3P*, *TRDV3*, and *ZDHHC1* were significantly upregulated in COAD samples (*p* < 0.05) compared to those in normal tissues. Here, we also used the Human Protein Atlas (HPA)

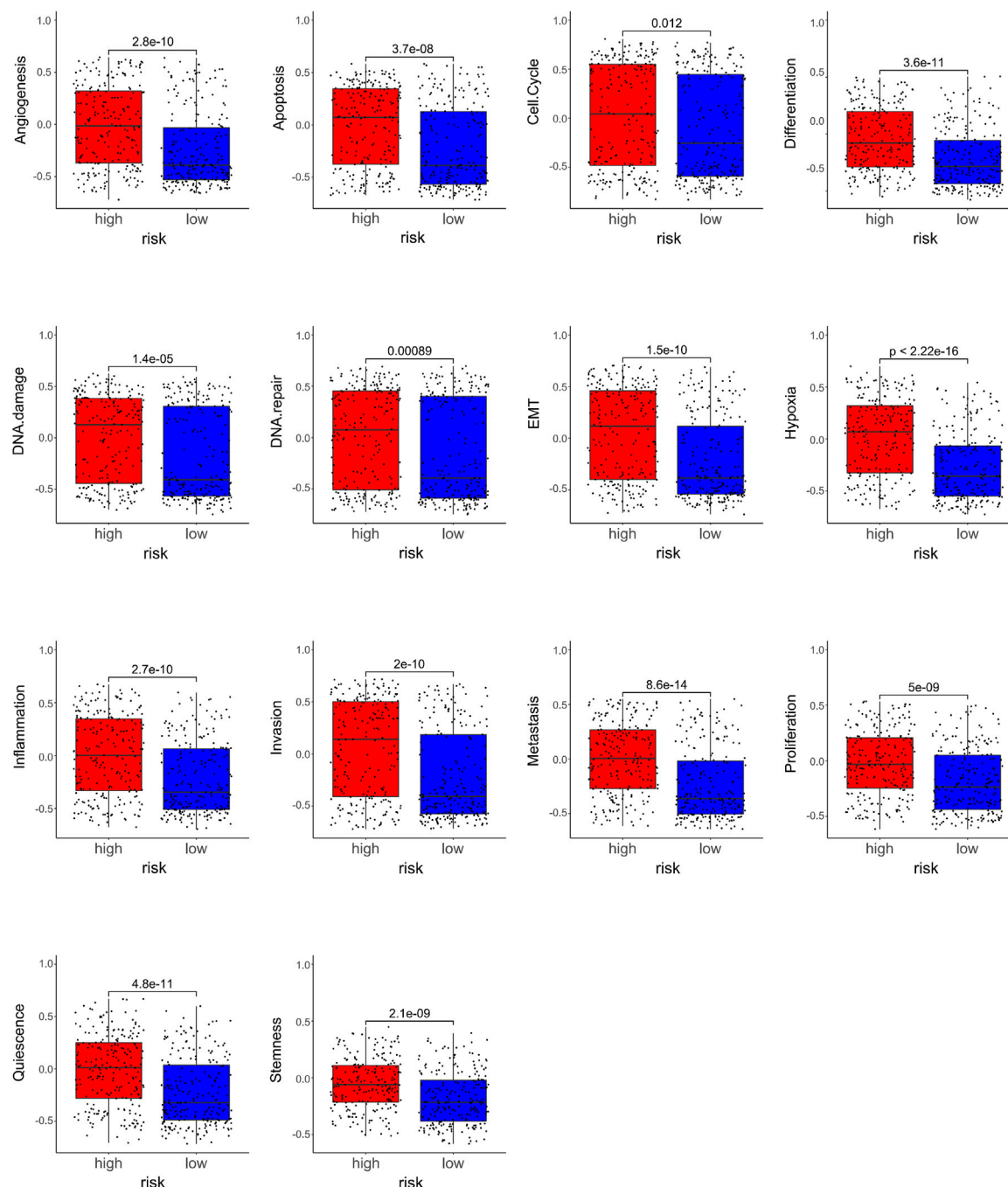
database to validate the expression of these prognostic genes at the protein level (Supplementary Figure S2).

The risk score was evaluated by the coefficient of each of these genes. The formula for the risk score model is as follows:  $\text{risk score} = \sum_{i=1}^n \text{coef}_i * \text{exp}_i$ . The median risk score was the cut-off point for dividing the patients. The Kaplan–Meier survival analysis showed that the OS in the low-risk group was significantly higher than that in the high-risk group (*p* < 0.0001, Figure 1C) in the GEO datasets. The ROC curve showed that the AUC values of the 1-, 3-, and 5-year OS were 0.73, 0.75, and 0.76, respectively, in the GEO dataset (Figure 1D). Moreover, TCGA datasets were used for further validation; the OS in the low-risk group was significantly higher than that in the high-risk group (*p* < 0.0001, Figure 1E). The ROC curve indicated that the AUC values of the 1-, 3-, and 5-year OS were 0.6, 0.64, and 0.62, respectively (Figure 1F). Moreover, the low-risk score group has a better outcome of prognosis (Figures 1G, H). Similarly, the risk score group also showed a better outcome (Figures 1I, J) in the validation cohort. The heatmap depicts the expression pattern of prognosis risk-related genes between the high- and low-risk groups in the training and validation cohorts (Supplementary Figure S3). These results collectively indicated that these 33 genes, making up a prognostic gene set, can be used to construct a novel risk model to accurately predict the prognosis of COAD patients.

### Roles of the prognostic gene sets in regulating the tumor immune microenvironment and tumor signatures

Furthermore, we studied the relationships between the prognostic gene sets, tumor immune microenvironment, and tumor signatures. The heatmap in Figures 2A, B shows the proportions of tumor-infiltrating natural killer (NK) cells, T cells, neutrophils, and macrophages in the TME. It also indicates that the immune response to the tumor corroborated our prognostic risk score (*p* < 0.05). Moreover, the heatmap in Figures 2C, D shows that the proportions of the cell cycle, DNA damage, DNA repair, angiogenesis, metastasis, proliferation, differentiation, stemness, apoptosis, hypoxia, EMT, invasion, inflammation, and quiescence are significantly related to our prognostic risk score (*p* < 0.05). Also, we carried out a GSEA to analyze the enriched biological processes based on the risk score group. The GSEA showed enrichment of the GO biological processes like cell cycle (*ES* = −0.406262963; *p* = 2.12225E-07), DNA replication (*ES* = −0.630453442; *p* = 1.14446E-06), ECM–receptor interaction (*ES* = 0.469097377; *p* = 0.007446704), neutrophil extracellular trap formation (*ES* = −0.270429508; *p* = 0.001829776), and necroptosis (*ES* = −0.274747076; *p* = 0.002473474) in TCGA dataset when comparing the high-risk group with the low-risk group (Figure 3). GSEA of the GSE39582 dataset revealed that a higher risk score was closely related to the enrichment of gene sets related to the cell cycle (*SE* = −0.639800523; *p* = 1E-10), DNA replication (*SE* = −0.814175806; *p* = 1E-10), and ECM–receptor interactions (*SE* = 0.670497773; *p* = 1E-10) (Figure 3). The risk score is closely correlated with tumor signatures, including cell cycle, DNA damage, DNA repair, angiogenesis, metastasis, proliferation, differentiation, stemness, apoptosis, hypoxia, EMT, invasion, inflammation, and quiescence (Figure 4). A *p*-value cut-off of <0.05 revealed that the high-risk score group had a higher GSVA score in the aforementioned 14 tumor signatures.





**FIGURE 4**  
Relationships between the compositions of the risk scores and the tumor signatures.

## Correlation of a mutation landscape within the prognostic gene sets

We further analyzed the tumor mutational burden between the high- and low-risk groups. Figures 5A, B show how the mutation frequency and mutation spectrum of the mutated genes are higher in the high-risk group. *TTN* was the most significantly mutated gene in the high-risk group, while *APC* was the most significantly mutated gene in the low-risk group. Supplementary Figure S4A shows that the co-occurrence and mutually exclusive mutations were investigated and

were observed in the high- and low-risk groups. In the high-risk group, *SYNE1*, *MUC16*, *OBSCN*, and *DNAH5* mutations almost co-occurred with *TTN* mutations ( $p < 0.01$ ), while *ZFHX4* co-mutated with *OBSCN*, *FAT4*, *MUC16*, and *DNAH5* ( $p < 0.01$ ). Moreover, *TP53* and *MUC16* mutations were almost mutually exclusive in the low-risk group ( $p < 0.01$ ), which had a higher tumor mutational burden (TMB) than the low-risk group ( $p = 0.041$ ) (Supplementary Figure S4B). In addition, *BRAF* mutations showed higher scores in the prognostic gene sets than the wild-type mutations ( $p = 0.011$ ) (Supplementary Figure S4C). Moreover, macrophages, NK cells, DC cells, and CD8+T cells were



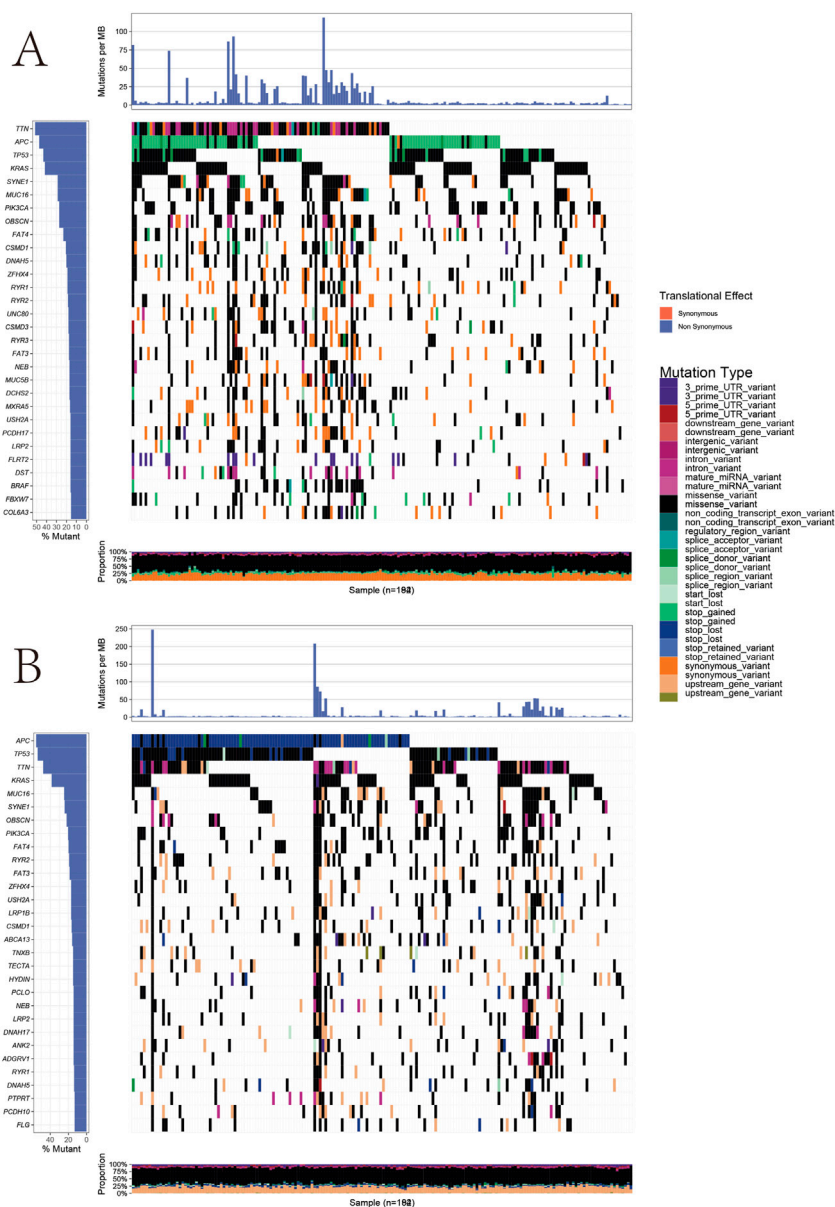


FIGURE 5

Landscape of mutations between high-risk groups and low-risk groups. (A) Heatmap illustrates the co-occurrence and mutually exclusive mutations in high-risk groups. (B) Heatmap illustrates the co-occurrence and mutually exclusive mutations in low-risk groups.

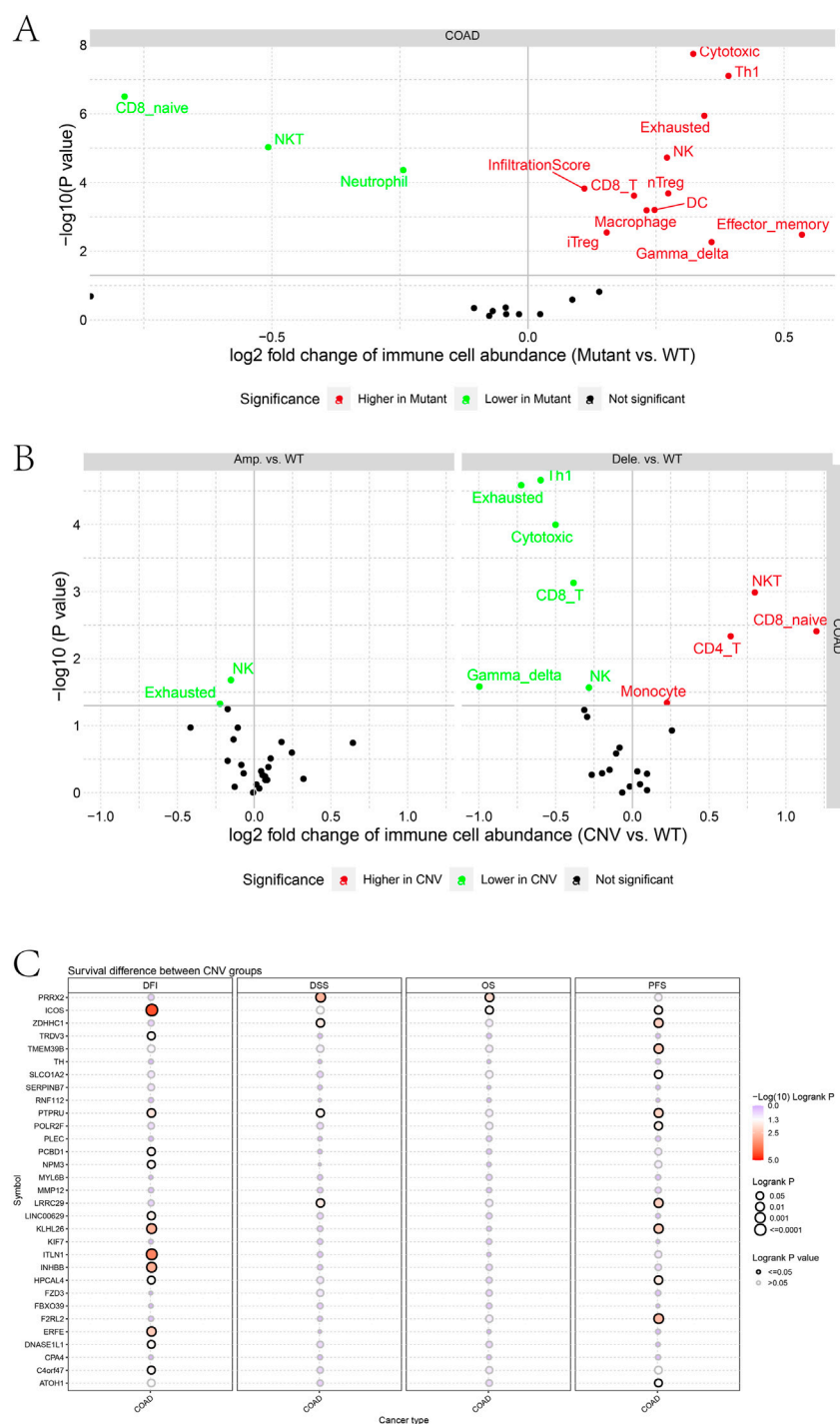
increased in the mutant type, compared to the wild type, while the natural killer T cells (NKT), neutrophils, and naive CD8<sup>+</sup> T cells decreased (Figure 6A). Moreover, the immune cells in the mutant were utterly exhausted. The genome rearrangement-driven copy number variation (CNV) generally refers to an increase or decrease in the copy number of a large genome segment, usually more than 1 KB in length (Lye and Purugganan, 2019). The number of NK cells in the mutant group was significantly reduced compared to the wild-type group (Figure 6B). When the copy number decreases, CD8 T cells, NK cells, and Th1 cells decrease, while NKT cells and CD4 T cells increase.

Furthermore, differences in prognostic gene sets regarding tumor copy number variation and patient prognosis were also investigated. CNVs in *ICOS*, *TRDV3*, *PTPRU*, *PCBD1*, *NPM3*, *LINC00629*, *KLHL26*, *ITLN1*, *INHBB*, *HPCAL4*, *ERFE*, *DNASE1L1*, and *C4orf47* were

associated with a disease-free interval (DFI). Patients may change the disease-free survival (DFS) when *PRRX2*, *ZDHHC1*, *PTPRU*, and *LRRC29* have copy number variations. In addition, CNVs in *PRRX2* and *ICOS* change the OS of patients. CNVs in *ICOS*, *ZDHHC1*, *TMEM39B*, *PTPRU*, *POLR2F*, *LRRC29*, *KLHL26*, *HPCAL4*, *F2RL2*, and *ATO1* genes were associated with a progression-free survival.

## Correlation of clinical features with prognostic gene sets

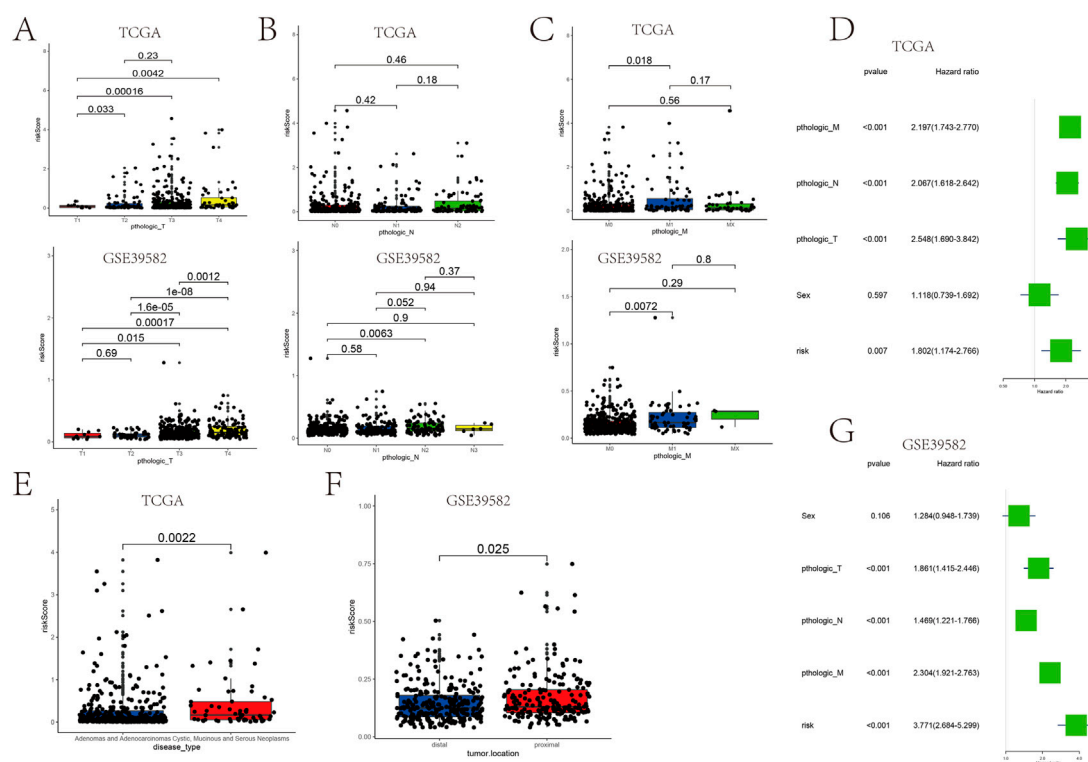
Patients with higher risk scores generally have bigger tumor sizes (T), more tumor nodes (N), and higher tumor node metastasis (M) stages (Figures 7A–C). In TCGA and GSE39582 data, the mean

**FIGURE 6**

(A) Differences in immune cell abundance between mutant and WT groups. (B) Differences in immune cell abundance between CNV and WT groups. (C) Survival difference between CNV groups.

risk score for patients with T1, T2, T3, and T4 stages increased sequentially (Supplementary Table S2). The risk score is also related to the disease type and tumor location. The risk score is an independent prognostic factor associated with OS ( $p = 0.007$ ), as determined by TCGA analysis (Figure 7D). Moreover, in GSE39582, the risk score also acted as a prognostic indicator of

COAD ( $p < 0.001$ ) (Figure 7G). Adenomas and adenocarcinomas had lower risk scores than mucinous and serous neoplasms ( $p = 0.0022$ ) (Figure 7E). The risk score of patients with proximal COAD was higher than distal COAD ( $p < 0.05$ ) (Figure 7F). Together, these results strongly demonstrated the correlation between prognostic gene sets and tumor clinical features.



## The nomogram based on the prognostic gene sets and clinical attributes

Furthermore, a nomogram integrating the genetic risk score (high risk vs. low risk) and TNM stage was constructed to provide quantitative methods to predict a patient's probability of OS to the clinician (Figures 8A, B). The total points were calculated by adding the risk score and TNM-stage points. To evaluate the effect of the nomogram model, we also calculated its C-index. The C-index for the TNM stage with the risk score was higher than that for the TNM stage, indicating that this model is a valuable indicator for prognostic prediction (Figures 8C, E). The calibration curve for predicting a 1-, 3-, and 5-year DFS indicated that the nomogram-predicted survival closely corresponded with actual survival outcomes in GSE39582 (Figures 8D, G, H). In TCGA, the calibration curve for predicting the 1-, 3-, and 5-year DFS indicated that the nomogram-predicted survival closely corresponded with actual survival outcomes (Figures 8F, I, J). These results showed that the prognostic model accurately predicted a patient's OS probability.

## Correlation of the prognostic gene sets with adjuvant chemotherapy

The survival time of patients receiving adjuvant chemotherapy was statistically significant in the high-risk group compared to the

low-risk group ( $p < 0.0001$ ), as the same in patients without adjuvant chemotherapy (Figure 9A). In addition, adjuvant chemotherapy with 5-fluorouracil, FOLFOX (folinic acid, 5-fluorouracil, and oxaliplatin), FOLFIRI (5-fluorouracil, folinic acid, and irinotecan), or FUFOL (5-fluorouracil and folinic acid) was associated with a better prognosis in both the low-risk groups than in the high-risk group (Figure 9B). The results of multiple comparative analyses of survival curves showed that patients receiving FOLFIRI chemotherapy had the worst prognosis (Figure 9C). Through further analysis, patients receiving FOLFIRI chemotherapy also had the highest risk score (Supplementary Figure S5). These results suggest that the risk score can predict the prognosis of patients treated with chemotherapy.

## Correlation of the prognostic gene sets with immunotherapy

The risk score model might reflect the tumor immune microenvironment status in COAD patients, implying that the prognostic gene set also closely correlates with immunotherapy. The risk score positively correlated with the cancer-associated fibroblasts (CAF) ( $p < 0.0001$ ) (Figure 9D). Patients with high-risk scores have a higher probability of immune exclusion than those with low-risk scores ( $p = 0.028$ ) (Figure 9E). Subsequently, we found that the content of neutrophils and macrophages was significantly higher in a high-risk group than that in a low-risk group ( $p < 0.05$ )

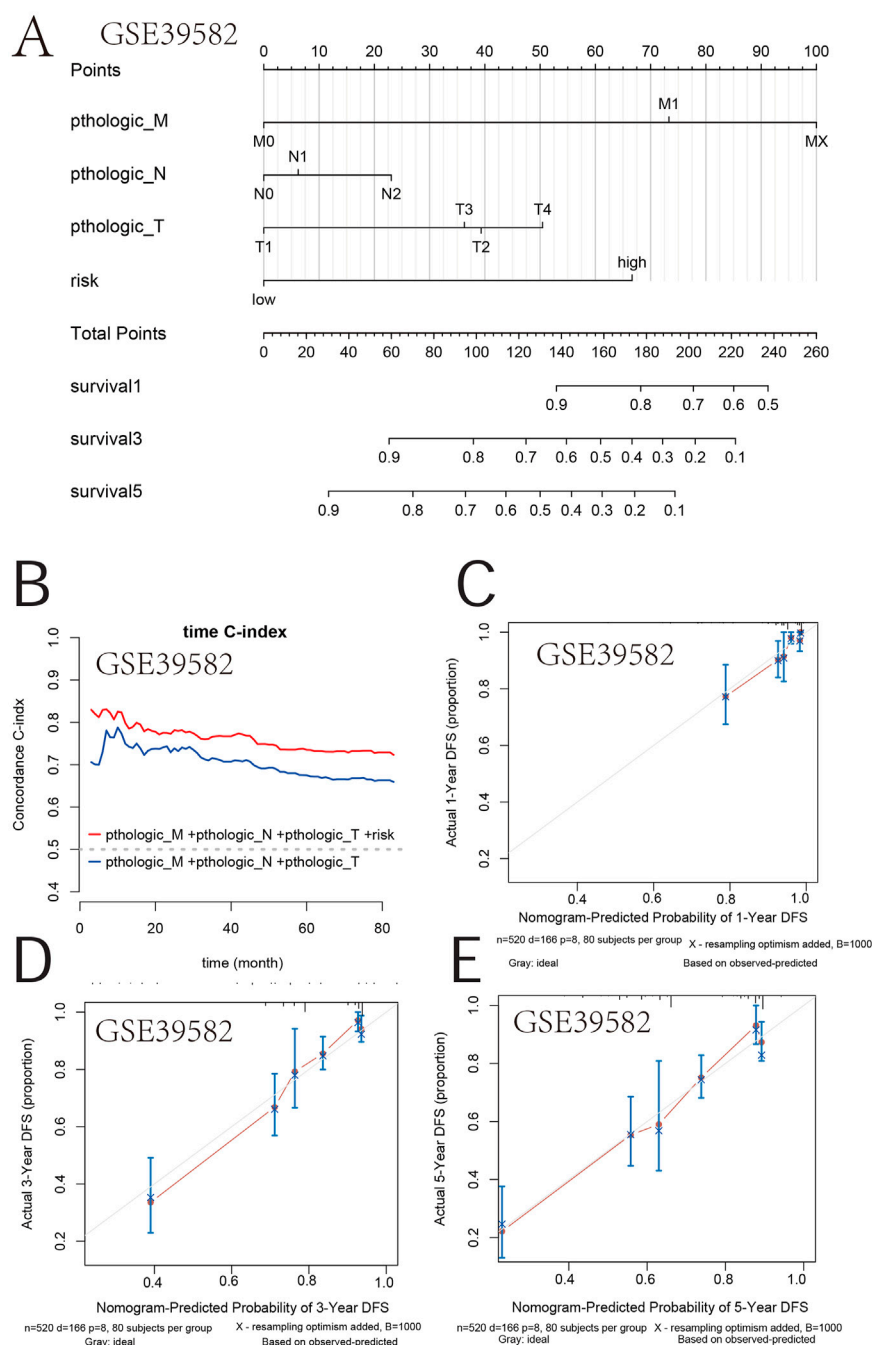


FIGURE 8

Survival nomogram. (A) Nomogram for the overall survival was developed in the primary cohort with three prognostic factors: pthologic M, pthologic N, and pthologic T. (B) Compared with the TNM and TNM + risk, the novel nomogram exhibited a better powerful capacity for survival prediction. (C–E) Nomogram predicting the 1-, 3-, and 5-year overall survival of COAD patients in GSE39582.

(Figure 10). These results collectively suggested that patients with a low-risk score may be better suited to undergo immunotherapy.

## Correlation between drug sensitivity and prognostic gene sets

We analyzed the correlation between drug sensitivity and predictive gene sets to further explore the value of prognostic

gene sets in a clinical treatment. Most of the genes in the prognostic gene set had correlations between gene expression levels and drug sensitivity (Figure 11). The high expression levels of *POLR2F*, *KLHL26*, *ICOS*, *ITLN1*, *HPCAL4*, *NPM3*, *TMEM39B*, *TH*, *SLCO1A2*, *FZD3*, and *ATOH1* genes were resistant to drugs. The high expression of *PLEC*, *CPA4*, *SERPINB7*, *DNASE1L1*, *KIF7*, *C4orf47*, *F2RL2*, and *PCBD1* genes with an elevated expression was more sensitive to drugs. *PLEC*, *CPA4*, *SERPINB7*, *DNASE1L1*, *C4orf47*, *KIF7*, and *F2RL2* were prognostic genes positively

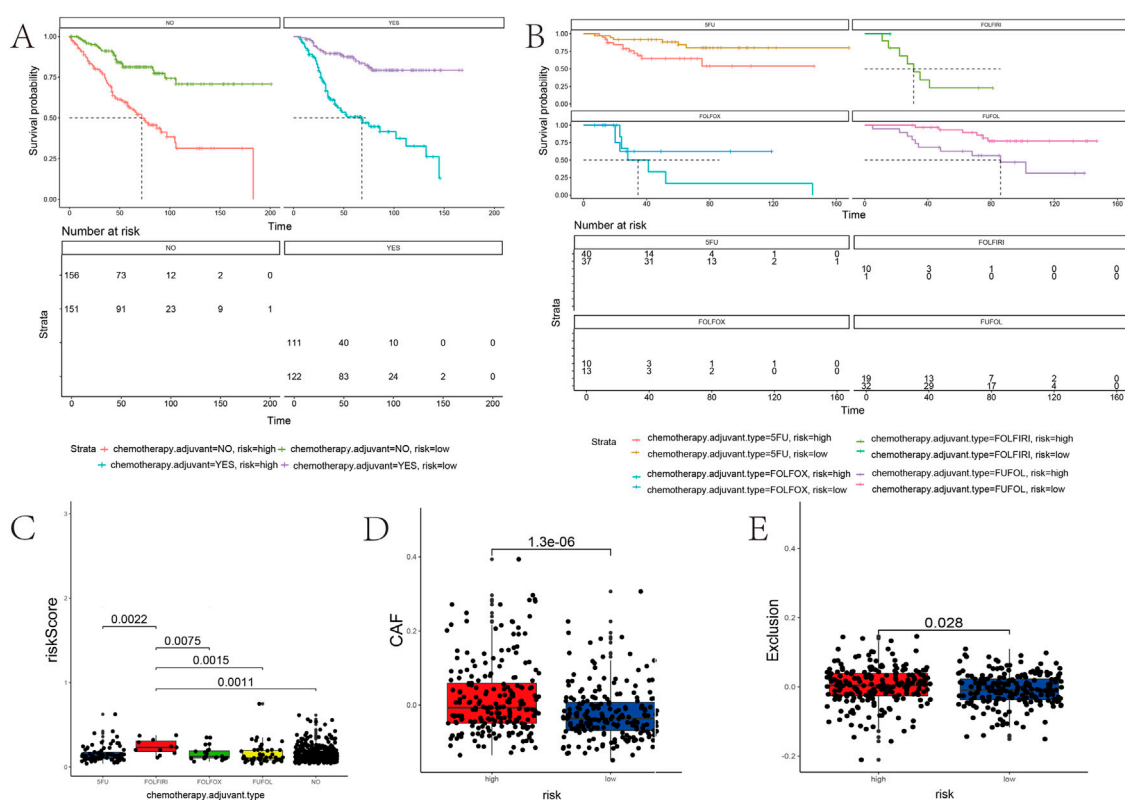


FIGURE 9

Association between the risk score and the adjuvant chemotherapy and immunotherapy response of PD-1. (A,B) Kaplan–Meier curves stratified by quartiles of risk scores and the usage of adjuvant chemotherapy. (C) Correlation of the risk score with a chemotherapy drug. (D,E) Risk score positively correlated with CAF and immune exclusion.

associated with the classical antitumor drug fluorouracil. In contrast, *ATOH1*, *FZD3*, *SLCO1A2*, *TH*, *TMEM39B*, and *NPM3* were prognostic genes negatively related to fluorouracil ( $p < 0.001$ ). The expressions of *PLEC*, *CPA4*, and *SERPINB7* positively correlated with belinostat sensitivity ( $p < 0.001$ ). The expressions of *FZD3*, *TMEM39B*, and *NPM3* negatively correlated with narciclasine sensitivity ( $p < 0.001$ ). The higher the expression of *PTPRU*, the lower the drug sensitivity of afatinib and PD153035 is ( $p < 0.001$ ). Thus, the prognostic gene set is a useful clinical tool for guiding drug use.

## Discussion

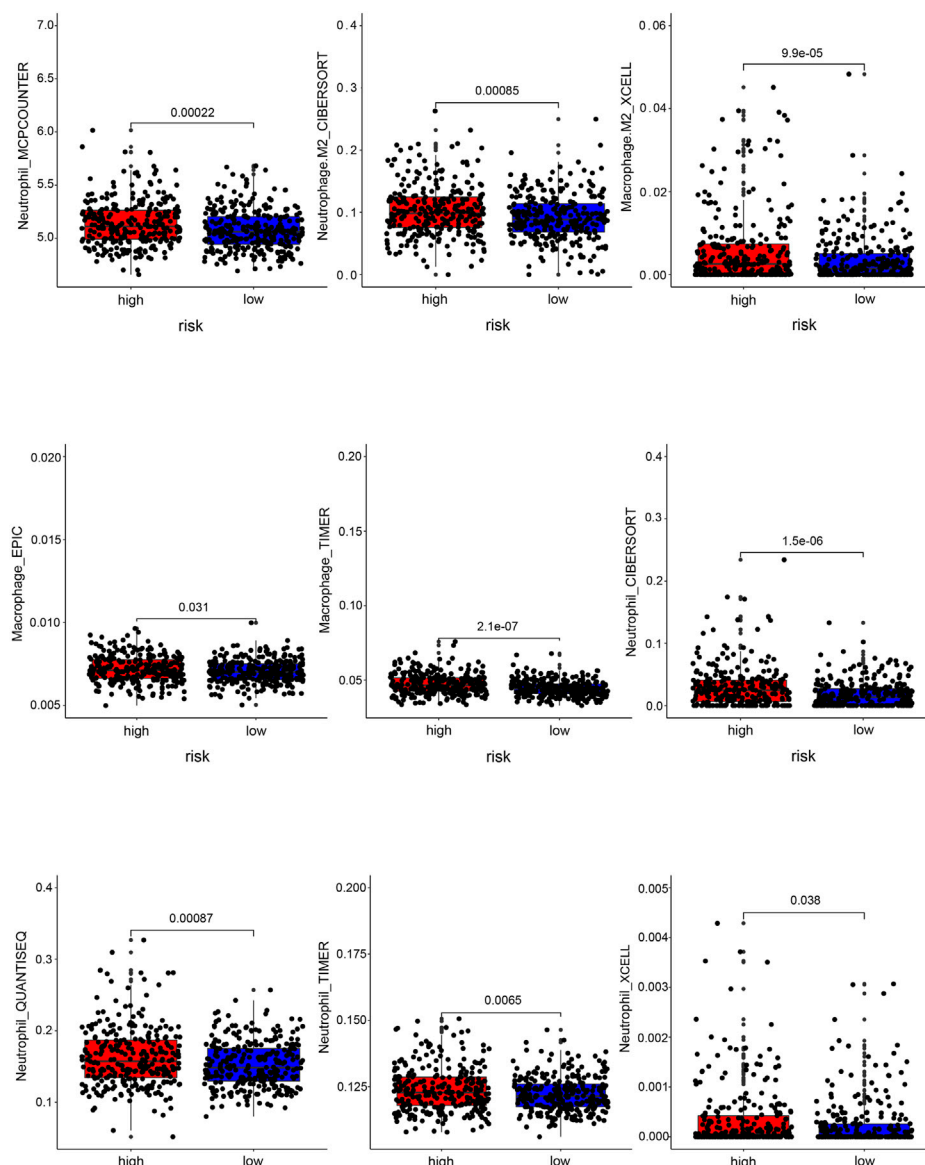
COAD is a common aggressive malignant tumor, with a high mortality rate worldwide (Biller and Schrag, 2021). The etiology and pathology of COAD are highly variable within individuals. For patients with COAD, the current standard treatment includes early surgical resection, following which, patients usually would receive immunotherapy and adjunct chemotherapy, thereby improving the overall survival rate. Even then, there are still many COAD patients who suffer a relapse and would die due to disease recurrence and distant metastasis (Goldstein et al., 2014). So far, the specific underlying molecular pathogenesis of COAD remains largely

unclear. Considering COAD's poor prognosis, the need of the hour is to develop a model to predict survival outcomes of COAD patients based on prognosis risk-related gene expression profiling. Currently, COAD patients are diagnosed by the pathophysiological evaluation of prognostic molecular markers (Dekker and Rex, 2018). However, the current biomarkers of COAD are inadequate to predict patients' survival accurately. A single biomarker may not be suitable for the treatment of every patient. Due to individual patient-specific differences, the expression of biomarkers is usually not the same. These biomarkers also fail to predict which patients will benefit from the treatments.

In this study, we used a bioinformatically developed and validated novel prognostic gene set that was significantly associated with OS in COAD patients. A risk score model was also constructed to divide COAD patients into high- and low-risk groups. The Kaplan–Meier survival analysis with the log-rank test and ROC was used to establish the prognostic ability of the model. More importantly, by establishing a validation set, we further verified the reliability of this risk score model.

Moreover, the novel prognostic gene set is closely correlated with pro-tumorigenic signatures, including the cell cycle, DNA damage, DNA repair, angiogenesis, metastasis, proliferation, differentiation, stemness, apoptosis, hypoxia, EMT, invasion, inflammation, and





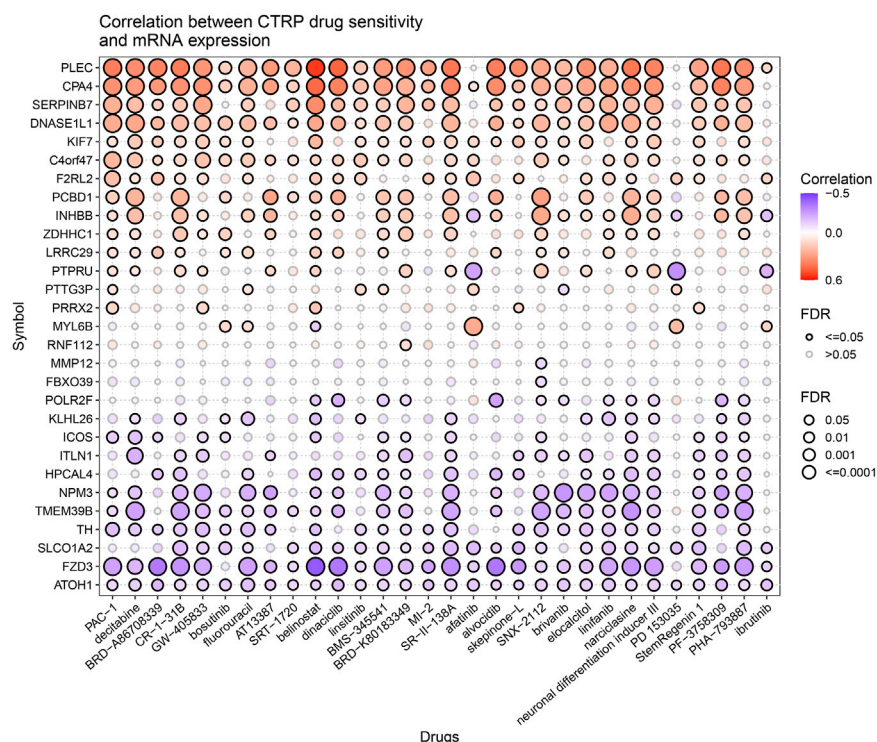
**FIGURE 10**

Correlation analysis between the risk score and immune cell infiltration. Box plots of immune cells with significant difference in high- and low-risk groups.

quiescence. Many studies showed that these hallmarks of cancer and the immune microenvironment dictate the disease prognosis in COAD. Furthermore, the correlation between the risk score model and gene mutation was also illustrated. The gene mutation probability was significantly higher in a high-risk group than in a low-risk group, which to the best of our knowledge, substantially contributes to cancer progression. Further investigations are necessary to determine the potential functional mechanisms underlying these prognosis risk-related genes. Collectively, our risk score model might be reliable in predicting the prognosis of COAD based on these results.

COAD patients treated with 5-fluorouracil, oxaliplatin, irinotecan, and folinic acid (used sequentially or together upfront) have a better

objective response and survival outcome (Wang et al., 2018). 5-Fluorouracil is the most widely used drug and has a low impact on the survival rate (Golfopoulos et al., 2007). As a result, FOLFOX, FOLFIRI, and FUFOL were in a clinical practice and substantially affected the survival rate (Harada et al., 2019). Higher toxicity renders significant side effects from chemotherapy, warranting careful evaluation before the complete use, limiting it to a small group of patients. Using this scoring model to predict the effect of chemotherapy in COAD patients, the survival advantage in the low-risk group was significant among patients who received chemotherapy. According to our analysis, chemotherapy treatments with FOLFIRI have a higher risk score than 5-fluorouracil, which may deteriorate the survival rate. The scoring model based on prognostic



**FIGURE 11**  
Correlation between CTRP drug sensitivity and mRNA expression.

gene sets can efficiently predict the chemotherapy effect of COAD patients.

In addition to adjuvant chemotherapy, immunotherapy is one of the most common treatments for patients with COAD with or without metastasis. The immune microenvironment dictates the efficacy of immune drugs. Hence, patients who use the same therapy during the same phase may have different therapeutic effects. Immune infiltrating cells play essential roles in the progression of COAD (Huang et al., 2019). The prognosis of patients with COAD is mainly related to immunity. Recent studies have demonstrated that a higher density of CD4 naive T cells, regulatory T cells, and M2 macrophages is closely associated with a worse clinical prognosis in many malignant tumors, including COAD (Komohara et al., 2016; Speiser et al., 2016; Labanieh et al., 2018). In contrast, naive B cells, CD8 T cells, and CD4 memory-activated T cells were the protective factors of patients (Yang et al., 2019). Moreover, CAF promotes cancer progression by inducing an immunosuppressive tumor microenvironment, rendering resistance to immunotherapy (Miyai et al., 2020; Abuwarwar et al., 2021). Therefore, studying tumor immune infiltration helped analyze the patient's prognosis and develop new cancer diagnosis and treatment methods. The risk score positively correlated with the CAF and immune exclusion. Therefore, immunotherapy may be less effective in patients with high-risk scores than in patients with low-risk scores—these risk scores guide immunotherapy decision-making.

Previous studies have also built prognostic models for COAD. Wang et al. developed and validated a novel stem-related

prognostic model (AUC = 0.705) for COAD cancer (Wang et al., 2021). Li et al. established a COAD resistance prediction model (AUC = 0.659), which provides therapeutic targets for COAD (Li et al., 2022). The AUC values of our prognostic model at 1, 3, and 5 years in the training set were 0.73, 0.75, and 0.76, respectively, which were all higher than the aforementioned AUC values. In addition, through further verification, it is found that our prognosis model also has a medium accuracy for predicting the survival of COAD patients with 1, 3, and 5 years in the verification set, further confirming the enhanced performance of our prognostic model, hypothesizing that it may likely become a new type of COAD prognostic index. Our study also analyzed the relationship between predictive gene sets and anticancer drug susceptibility, providing novel insights into the search for selecting a more effective anticancer drug therapy and avoiding tumor resistance. Univariate and multivariate independent prognostic analyses showed that the predictive gene set and TNM stage were critical, independent predictors of COAD OS.

Furthermore, we generated a nomogram to quantify the risk assessment and survival probability. Compared to TNM, the nomogram exhibited the highest accuracy and discrimination in OS prediction. In addition, the new prognostic gene set can guide the clinical application of chemotherapy, immunotherapy, and targeted drugs. Therefore, our predictive model may help avoid unnecessary overtreatment of indolent disease and select the best management strategy. However, there are still some limitations to this study. Pure bioinformatics analysis is the main drawback of this study. Second, the interaction between genes in the

prognostic gene set should be investigated better to understand the molecular mechanism of COAD occurrence and progression.

## Conclusion

Our study profiled a novel risk score model based on 33 genes for predicting the overall survival in COAD patients. More importantly, the risk score model is significantly associated with the unfavorable clinical outcome of COAD and might monitor its development to provide more effective personalized therapeutic decision-making. A nomogram model might aid in identifying high-risk COAD patients and selecting appropriate clinical follow-up plans accordingly.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material; further inquiries can be directed to the corresponding authors.

## Author contributions

HZ designed and wrote the manuscript. YW, ZL, ZZ, and LX edited the work. YW reviewed and revised the manuscript. All authors contributed to the article and approved the submitted version.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2022.975404/full#supplementary-material>

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# Clinical usefulness of NGS multi-gene panel testing in hereditary cancer analysis

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**Introduction:** A considerable number of families with pedigrees suggestive of a Mendelian form of Breast Cancer (BC), Ovarian Cancer (OC), or Pancreatic Cancer (PC) do not show detectable *BRCA1/2* mutations after genetic testing. The use of multi-gene hereditary cancer panels increases the possibility to identify individuals with cancer predisposing gene variants. Our study was aimed to evaluate the increase in the detection rate of pathogenic mutations in BC, OC, and PC patients when using a multi-gene panel.

**Methods:** 546 patients affected by BC (423), PC (64), or OC (59) entered the study from January 2020 to December 2021. For BC patients, inclusion criteria were i) positive cancer family background, ii) early onset, and iii) triple negative BC. PC patients were enrolled when affected by metastatic cancer, while OC patients were all submitted to genetic testing without selection. The patients were tested using a Next-Generation Sequencing (NGS) panel containing 25 genes in addition to *BRCA1/2*.

**Results:** Forty-four out of 546 patients (8%) carried germline pathogenic/likely pathogenic variants (PV/LPV) on *BRCA1/2* genes, and 46 (8%) presented PV or LPV in other susceptibility genes.

**Discussion:** Our findings demonstrate the utility of expanded panel testing in patients with suspected hereditary cancer syndromes, since this approach increased the mutation detection rate of 15% in PC, 8% in BC and 5% in OC cases. In absence of multi-gene panel analysis, a considerable percentage of mutations would have been lost.

## KEYWORDS

NGS, hereditary cancer, BRCA, cancer predisposition gene, multi-gene panel testing, breast cancer, ovarian cancer, pancreatic cancer

## 1 Introduction

In these years of personalized medicine, the study of individual's genotype is an important part of the determination of his specific susceptibility to several diseases, including cancer. The National Comprehensive Cancer Network Breast Cancer Risk Panel (NCCN) has for years been updating with publishing the indications for genetic testing of cancer patients and their family members (Sorscher 2019). The majority of Breast Cancer (BC), Ovarian Cancer (OC) and Pancreatic Cancer (PC) cases are sporadic (75%–80%), ~15%–20% are considered familial types and 5%–10% are hereditary (Russo et al., 2009; Antonucci et al., 2017b; Incorvaia et al., 2020). Over the past



20–30 years, molecular diagnosis of hereditary BC, OC or PC has focused primarily on two high-penetrance genes, *BRCA1* and *BRCA2* (Antonucci et al., 2017a). The identification of germline deleterious variants in *BRCA1/BRCA2* has a significant impact on clinical management of both affected individuals and their family members (Babore et al., 2019; Lombardi et al., 2019; 2022). Nevertheless, an increasing number of families with pedigrees suggestive of a Mendelian form of BC, OC or PC have not detectable mutations in *BRCA1/BRCA2*. The problem of “missing heritability” can be explained with the presence of pathogenic gene variants in other susceptibility genes involved with low frequency or with reduced penetrance, usually not included in the diagnostic flowchart of patients with hereditary cancer, mainly due to the costs and the time required for the analysis in the Sanger sequencing era. Therefore, it has become mandatory to study many genes in a brief time and in an economic way. In this scenario, advances in genetic technology and implementation of NGS in clinical oncology have accelerated the discovery of new cancer-related genes revolutionizing cancer research, diagnosis and therapies (Rossi et al., 2022). The advent of NGS allows the simultaneous sequencing of multiple samples and genes (Fountzilias et al., 2018). Because of the advantage from cost-benefit reduction, this approach provides a powerful enforcement for patients with LPVs and PVs in other genes, beyond *BRCA1/2*. Several germline PVs in susceptibility genes as *CDH1*, *PALB2*, *PTEN*, *STK11*, *TP53*, *ATM*, *CHEK2*, *BARD1*, *BRLP1*, *RAD51C*, and *RAD51D* (Shah et al., 2016; Fanale et al., 2020) can be associated with hereditary tumors. Most of these genes are involved in cell cycle checkpoint and DNA damage repair mechanism, and function together in these physiological pathways (Nielsen et al., 2016; Piombino et al., 2020; Neiger et al., 2021); therefore, a fundamental comprehension of the disease drivers in the cascades would facilitate the accurate evaluation of the genetic risk of cancer development (Yoshimura et al., 2022). In our study we used a multi-gene panel including 27 genes in the diagnostic iter of 546 patients with BC, OC or PC (Table 1). The aims of this work were: 1) to investigate the prevalence of PVs or LPVs in susceptibility genes implicated in hereditary cancer predisposition, and 2) to assess the utility of carrying out a multi-gene panel testing in BC, OC or PC individuals who fulfill specific criteria on their familiar and personal history of tumor.

## 2 Materials and methods

### 2.1 Study population

Our study includes a cohort of individuals who referred to our Center between January 2020 and December 2021. We collected and analyzed DNA samples from 546 patients with BC (423), PC (64) or OC (59), averaging 54 years (range 25–70). For BC patients, inclusion criteria were 1) positive cancer family background, 2) early onset and 3) triple negative BC. PC patients were enrolled when affected by metastatic cancer, while OC patients were all submitted to genetic testing without selection. PC and OC patients were classified into 2 groups related to the age of disease onset: 1) early onset cancer (age at diagnosis  $\leq 45$  years) and 2) late onset cancer (age at diagnosis  $> 45$  years), while for BC patients the considered age of onset was 40 years. Among BC patients, 64 had early onset cancer and 359 had late onset cancer; among OC patients 9 had early onset cancer and 50 had late onset cancer; among PC patients 2 had early onset cancer and 62 had late onset cancer. Starting from 423 BC patients, 27 (6.4%) had triple-negative breast cancer (TNBC), including 25 patients

TABLE 1 Multi-gene panel including the 27 genes analyzed with NGS.

| Multi-gene panel—next generation sequencing |               |
|---|---------------|
| <i>ATM</i>                                  | <i>BARD1</i>  |
| <i>BRCA1</i>                                | <i>BRCA2</i>  |
| <i>BRIP1</i>                                | <i>CDK12</i>  |
| <i>CHEK2</i>                                | <i>NBN</i>    |
| <i>PALB2</i>                                | <i>TP53</i>   |
| <i>EPCAM</i>                                | <i>RAD51C</i> |
| <i>RAD51D</i>                               | <i>MSH2</i>   |
| <i>APC</i>                                  | <i>CDH1</i>   |
| <i>CDKN2A</i>                               | <i>MKH1</i>   |
| <i>MSH6</i>                                 | <i>NF1</i>    |
| <i>PMS2</i>                                 | <i>PTEN</i>   |
| <i>CDK4</i>                                 | <i>MUTYH</i>  |
| <i>POLD1</i>                                | <i>POLE</i>   |
| <i>SMAD4</i>                                |               |

with late onset BC and only 2 with early onset BC. Genetic counseling was performed in the presence of a geneticist and a psychologist to acquire the clinical personal and familiar history of patients. In addition, data about histological cancer type, any surgical operations and current therapies were acquired. All subjects signed an informed consent about the significance of the molecular genetic test.

### 2.2 Next-generation sequencing (NGS)

Genomic DNA of BC, OC and PC patients were collected using buccal swabs and extracted through MagPurix instrument and Forensic DNA Extraction Kit (Zinexts Life Science Corp.- CodZP01001) according to the manufacturer’s protocol. NGS was executed by the Ion Torrent S5 system (Thermo Fisher Scientific, Waltham, MA, United States) after automatic library preparation using Ion Chef (Thermo Fisher Scientific, Waltham, MA, United States). Ion Chef consists of fragmentation and adapter ligation onto the PCR products, clonal amplification. The DNA libraries were quantified with Real-Time Step One PCR System (Thermo Fisher Scientific, Waltham, MA, United States) and the prepared samples were loaded onto an Ion 530™ chip by Ion Chef (Thermo Fisher Scientific, Waltham, MA, United States). Ion S5™ Plus (Thermo Fisher Scientific, Waltham, MA, United States) instrument was used for the sequencing. Specific plugins as “SampleId” and “Coverageanalysis” were used for NGS data analysis on the Torrent Suite 5.14.0 platform. The uniformity of base coverage was over 98% in all batches, and base coverage was over  $\times 20$  at all target regions. This NGS method cannot detect variations outside the  $\pm 10$  nucleotide coding sequence.

### 2.3 Sanger sequencing

Sanger Sequencing was performed using SeqStudio Genetic Analyzer System (Thermo Fisher Scientific) and BigDye

**TABLE 2** All single PVs/LPVs recurrent in patients analyzed by multi-gene panel. All variants reported in the **Table 2** are in heterozygous, except only one subject that had two PVs/LPVs on *MUTYH* gene (\*).

| Gene          | Refseq         | Omim      | HGVS Nomenclature      | Protein change             | Variant interpretation | Number of patients |
|---------------|----------------|-----------|------------------------|----------------------------|------------------------|--------------------|
| <i>ATM</i>    | NM_000051.3    | 607585    | c.2502dup              | p.(Val835fs)               | PV                     | 1 (2.1)            |
| <i>ATM</i>    | NM_000051.3    | 607585    | c.2113del              | p.(Tyr705fs)               | PV                     | 1 (2.1)            |
| <i>ATM</i>    | NM_000051.3    | 607585    | c.756_757del           | p.(Cys252_Glu253delinsTer) | LPV/PV                 | 1 (2.1)            |
| <i>ATM</i>    | NM_000051.3    | 607585    | c.6095G > A            | p.(Arg2032Lys)             | LPV/PV                 | 1 (2.1)            |
| <i>BRIP1</i>  | NM_03204.2     | 605882    | c.2111T > A            | p.(Leu704Ter)              | PV                     | 1 (2.1)            |
| <i>CHEK1</i>  | NM_03204.2     | 605882    | c.2392C > T            | p.(Arg798Ter)              | PV                     | 1 (2.1)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.1232G > A            | p.(Trp411Ter)              | LPV/PV                 | 1 (2.1)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.1100del              | p.(Thr367fs)               | PV                     | 2 (4.3)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.1427C > T            | p.(Thr476Met)              | PV                     | 1 (2.1)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.349A > G             | p.(Arg117Gly)              | LPV/PV                 | 2 (2.1)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.409C > T             | p.(Arg137Ter)              | PV                     | 1 (2.1)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.470T > C             | p.(Ile157Thr)              | LPV                    | 2 (4.3)            |
| <i>CHEK2</i>  | NM_007194.3    | 604373    | c.499G > A             | p.(Gly167Arg)              | LPV/PV                 | 2 (4.3)            |
| <i>MSH2</i>   | NM_000251.2    | 609309    | c.2647dup              | p.(Ile883fs)               | PV                     | 1 (2.1)            |
| <i>MUTYH</i>  | NM_001128425.2 | 608456    | c.1187G > A            | p.(Gly396Asp))             | PV                     | 7 (15.2)           |
| <i>MUTYH</i>  | NM_001128425.2 | 608456    | c.1437_1439del         | p.(Glu480del)              | PV                     | 1 (2.1)            |
| <i>MUTYH</i>  | NM_001128425.2 | 608456    | c.536A > G             | p.(Tyr179Cys)              | PV                     | 4 (8.7)            |
| <i>MUTYH</i>  | NM_001128425.1 | 608456    | c.1012C > T            | p.(Gln338Ter)              | LPV                    | 1 (2.1)            |
| <i>MUTYH</i>  | NM_001128425.2 | 608456    | c.734G>A (*)           | p.(Arg245His)              | PV                     | 3 (10.9)           |
| <i>MUTYH</i>  | NM_001128425.2 | 608456    | c.884C>T (*)           | p.(Pro295Leu)              | PV                     | 1 (2.1)            |
| <i>NBN</i>    | NM_002485.4    | 6,026,667 | c.741_742dup           | p.(Glu248fs)               | PV                     | 1 (2.1)            |
| <i>NBN</i>    | NM_002485.4    | 6026667   | c.2140C > T            | p.(Arg714Ter)              | PV                     | 1 (2.1)            |
| <i>PALB2</i>  | NM_024675.3    | 610355    | c.661_662delGTinsTA    | p.(Val221Ter)              | PV                     | 1 (2.1)            |
| <i>PALB2</i>  | NM_024675.3    | 610355    | c.1050_1053del         | p.(Thr351fs)               | PV                     | 1 (2.1)            |
| <i>POLE</i>   | NM_006231.3    | 174762    | c.1458delC             | p.(Met487fs)               | LPV                    | 1 (2.1)            |
| <i>RAD51C</i> | NM_058216.2    | 602774    | c.1026 + 5_1026 + 7del | -                          | PV/LPV                 | 1 (2.1)            |
| <i>RAD51C</i> | NM_058216.2    | 602774    | c.905-2_905-1del       | -                          | PV                     | 2 (4.3)            |
| <i>RAD51D</i> | NM_002878.3    | 602954    | c.898C > T             | p.(Arg300Ter)              | LPV/PV                 | 1 (2.1)            |
| <i>TP53</i>   | NM_000546.5    | 191170    | c.646G > A             | p.(Val216Met)              | LPV/PV                 | 1 (2.1)            |
| <i>TP53</i>   | NM_000546.5    | 191170    | c.637C > T             | p.(Arg213Ter)              | PV                     | 1 (2.1)            |
| <i>TP53</i>   | NM_000546.5    | 191170    | c.993G > A             | p.(Gln331Gln)              | PV                     | 1 (2.1)            |

Terminator 3.1 Cycle Sequencing Kit (Thermo Fisher Scientific) to confirm all the PV/LPVs identified with NGS multi-gene panel.

## 2.4 Classification of the genetic variants

The genetic variants found in patients were classified into five classes: benign (C1), likely benign (C2), variant of uncertain significance (VUS, C3), likely pathogenic (C4), and pathogenic

(C5), according to the guidelines of Evidence-based Network for the Interpretation of Germline Mutant Alleles (ENIGMA) (<https://enigmaconsortium.org/>). We focused on the LPVs and PVs that can be used for clinical purposes. Variants were referred in according to the nomenclature recommendations of the Human Genome Variation Society (<https://www.hgvs.org>). The clinical significance of the genetic variants found in this study was evaluated according to ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>), Varsome (<https://varsome.com>), Franklin Genoox (<https://franklin.genoox.com>) and, for some

**TABLE 3** Different groups analyzed by the age of onset criteria.

| Type of tumor  | BC  |     | OC  |     | PC  |     |
|----------------|-----|-----|-----|-----|-----|-----|
| AGE OF ONSET   | ≤40 | >40 | ≤45 | >45 | ≤45 | >45 |
| BRCA PVs/LPVs  | 9   | 23  | 2   | 7   | 0   | 3   |
| PM PVs/LPVs    | 7   | 26  | 1   | 2   | 1   | 9   |
| TOTAL PVs/LPVs | 16  | 49  | 3   | 9   | 1   | 12  |

susceptibility genes (*APC*, *MLH1*, *MSH2*, *MSH6*, *PMS2*, *EPCAM*, *MUTYH*, *CDH1*), according to LOVD-InSIGHT (<https://www.insight-group.org/variants/databases/>).

## 3 Results

In our study, 546 cases with BC, OC, or PC were enrolled from January 2020 to December 2021. PVs or LPVs on *BRCA1/2* genes were detected in 44 patients (8%), specifically 32/423 (7%) with BC, 9/59 (15%) with OC and 3/64 (5%) with PC. On the other hand, 46 patients (8%), namely 33/423 (8%) with BC, 3/59 (5%) with OC and 10/64 (16%) with PC harbored germline PVs/LPVs in other cancer susceptibility genes, as follows: 17 (37%) in *MUTYH*, 11 (24%) in *CHEK2*, 4 (9%) in *ATM*, 3 (6%) in *RAD51C* and *TP53*, 2 (4%) *PALB2*, *BRIP1*, and *NBN*. In addition, a single PV in *POLE*, *MSH2*, and *RAD51D* was detected in two patients (Table 2).

Seven subjects enrolled showed two pathogenic variants in the genes analyzed.

According to age of onset, we found PVs/LPVs in 20 early onset patients (≤45 for PC and OC, ≤40 for BC) and in 70 late onset patients (>45 for PC and OC, >40 for BC). Eleven early onset patients with BC (14%) had PVs or LPVs mutations in *BRCA1* or *BRCA2* genes, whereas 17 patients (11%) reported mutations in one of the other genes included in the multi-gene panel. On the other hand, 27 late

onset patients with BC (36%) had PVs or LPVs mutations in *BRCA1* or *BRCA2* genes, whereas 30 patients (40%) reported mutations in one of the several genes included in the multi-gene panel. On the OC and PC patients groups 2 early onset subjects (18%) had a PV or LPV in *BRCA1/2*, while 2 patients (18%) had PV or LPV in other gene. In the late onset group 10 patients (9%) had a PV or LPV in *BRCA1/2* and 11(10%) with pathogenic variant in other gene. The distribution of PVs/LPVs in *BRCA1/2* or in other genes in the different groups of patients is reported in Table 3.

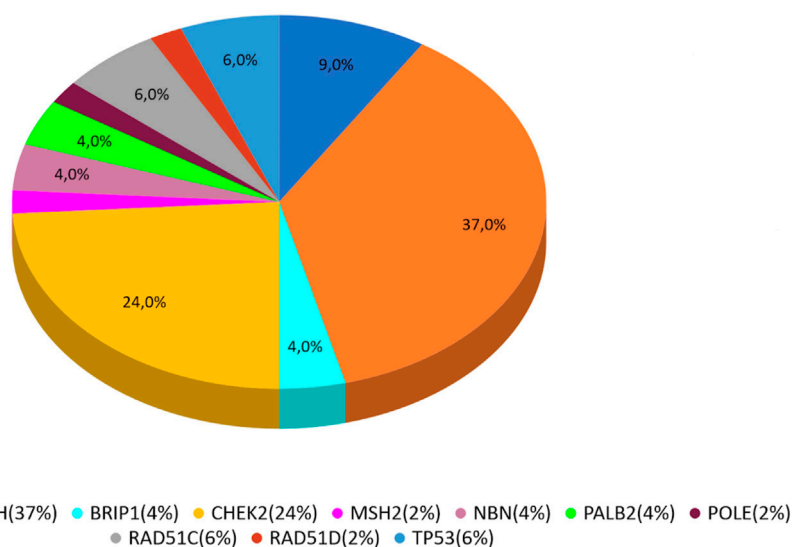
*MUTYH* resulted as the gene with the higher percentage of mutation within the group analyzed by the multigene panel (16 out of 46 detected mutations), with the second most recurrent involved genes represented by *CHEK2* with 11 cases (Table 2; Figure 1; Figures 2A, B). All *MUTYH* variants reported in this study are in heterozygous, except only one subject that had two PVs/LPVs on *MUTYH* gene, respectively c.734G>A and c.884C>T.

As to PVs/LPVs, the most frequent PV was c.1187G>A p.(Gly396Asp) of *MUTYH* gene, located in coding exon 13 and causing the substitution of a Glycine with Aspartate in codon position 396. This alteration, found in seven patients (15.2%) with BC, PC and OC, is frequently reported as founder mutation in multiple populations. M. Nielsen et al. have shown that this missense variant change the function of *MUTYH* protein (M. Nielsen et al., 2009).

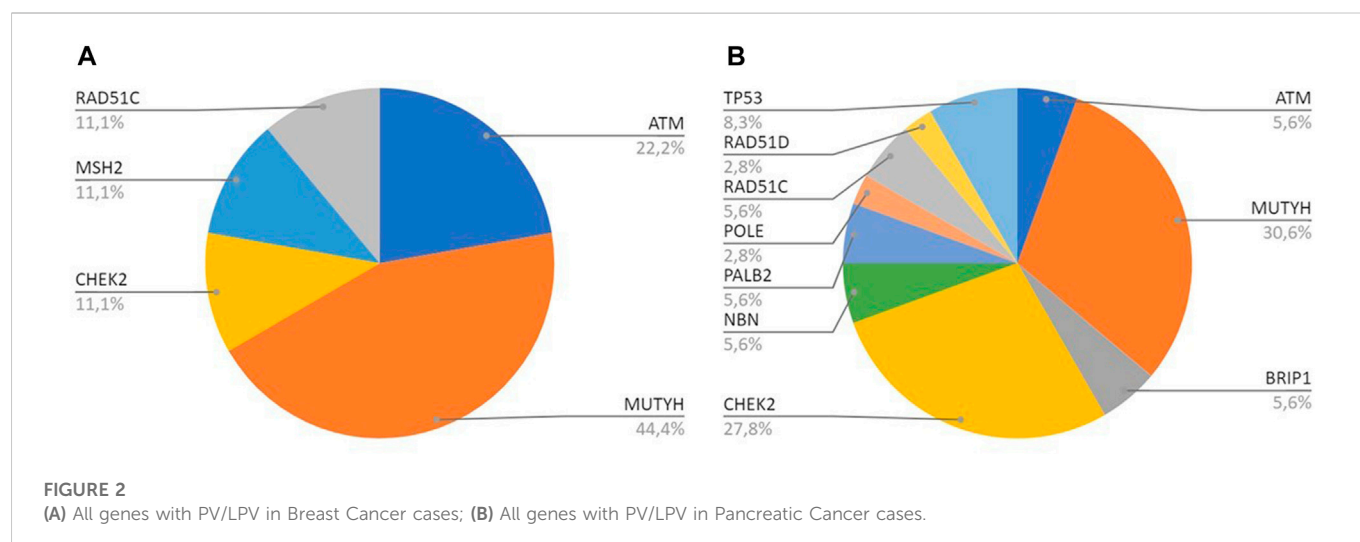
The second recurrent PV found on the *MUTYH* gene was c.734G>A p. (Arg245His), in coding exon 9, results from the substitution of a Guanine to Adenine, and consequently the replacement of the arginine with a histidine at codon 245. Literature's data supports that this missense variant has a deleterious effect on protein structure/function (Viel et al., 2017). We found this mutation in five patients (10.9%).

Analyzing the second most gene mutated, *CHEK2*, the other most recurrent PVs were: c.1100delC p. (Thr367fs), c.470T>C p. (Ile157Thr) and c.499G>A p. (Gly167Arg). All subjects with *CHEK2* variant, are carriers of only one PV/LPV.

Specifically, *CHEK2* c.1100delC caused deletes of one Cytosine from exon 11 in position 1,100 causing a frameshift at codon 367, and


**FIGURE 1**

All cases analyzed with multi-gene panel.



a premature translational stop signal p. (Thr367fs). This is expected to result in an absent or disrupted protein product. (Weischer et al., 2008). This variant is linked to increased risk of BC and OC.

## 4 Discussion

The identification of PV or LPV in genes responsible for hereditary cancers plays a key role in the prognosis, prevention and therapy of these conditions. In fact, cancer patients carriers of such gene variants must undergo specific protocols for the prevention of additional cancers but they can also benefit from specific drug therapies, such as those based on PARP inhibitors (PARPi), which represent a successful example of precision medicine (Slade, 2020). On the other hand, unaffected family members of a cancer patient carrier of a PV/LPV should be tested for the presence of the same variant and, when positive, specific prevention protocols, different from the common cancer screening programs used for the general populations, should be offered. In this view, a critical issue is represented by the number of genes to analyze in each condition, mainly in order to maintain a balanced cost/benefit ratio. While in a first moment it was suggested that each different type of cancer was related to one or a few specific genes (e.g., *BRCA1/2* for BC and OC, *APC* for familial adenomatous polyposis, etc.), our study revealed that often there is not correspondence between tumor type and the associated mutated gene, raising the question about the need for more genes to be analyzed in hereditary cancers. Interestingly, our study showed that 94% of *MUTYH* carriers had a heterozygous variant. PVs/LPVs in *MUTYH* are associated with colorectal adenomatous polyposis autosomal recessive, while recent literature data revealed the association between monoallelic *MUTYH* variants and several type of cancer (Dell'Elice et al., 2021). BC, PC and OC, together with colon and prostate cancer, are the major tumors linked to clinical familiar history, as well as the major *BRCA*-associated cancers (Daly et al., 2021). Nevertheless, many of these patients result negative to the genetic testing for *BRCA1/2* genes PVs and LPVs, even in presence of an evident familiar and/or personal cancer's background. This has been confirmed by data obtained in the present study, showing that no more that 8% of BC, OC or PC cancer show *BRCA1/2* mutations even in the group of early onset cases; that the use of multi-gene hereditary cancer panels increases the possibility to identify individuals

with cancer predisposing gene variants (Shin et al., 2020; Hu et al., 2021). In an association analysis involving 113,000 women, the Breast Cancer Association Consortium, Dorling L, Carvalho S, et al. define the susceptibility genes that are most clinically useful for inclusion on panels for the prediction of breast cancer risk (Breast Cancer Association Consortium et al., 2021). By extending the test using a multi-gene panel, we found an additional 8% mutations in different susceptibility genes, such as *MUTYH*, *CHEK2*, *ATM*, *NBN*, *BRIP1*, and *TP53* involved in several hereditary cancer syndromes (Desmond et al., 2015; Tsaousis et al., 2019; N; Tung et al., 2015). These results confirmed the studies already performed in 2021 by Bono et al., where a considerable percentage of PVs/LPVs have been lost without the use of multi-gene panel (Bono et al., 2021). Thus, our results evidenced that both in early and late onset cancer patients, using the classical approach of *BRCA1/2* testing, we would have lost a large number of cases resulted *BRCA1/2* negative, but actually carriers of a PV/LPV in other genes. In addition to the increased detection rate, the use of multigenic panel test allow the identification of specific prevention strategies based on the gene involved, in a precision medicine approach. For example, we diagnosed three patients with Li-Fraumeni syndrome (LFS) associated with PV/LPV in *TP53* on chromosome 17p13.1. This syndrome represents a severe condition inherited in an autosomal dominant manner with very high penetrance. Prevention strategies of this condition are different from the one used for *BRCA1/2* mutation carriers, since LFS component tumors also include soft tissue sarcomas, osteosarcoma, brain tumors, and adrenocortical carcinomas. Interestingly, in these patients no strong familiar history was found, but they all showed early onset cancer ( $\leq 35$ ). In one case, a “*de novo*” origin of the mutation was demonstrated, allowing to suggest that the age of onset of the disease could be considered as a more reliable indicator of the presence of a genetic condition than the familiarity itself. Oncology therapy putting forth the concept of selective targeting of cancer cells thanks to precision medicine. According to our goal, one of the most interesting future perspectives is the therapy with poly-adenosine diphosphate-ribose polymerase (PARP). PARP inhibitors (PARPi) were a significant example of precision medicine (Slade, 2020). The identification of specific mutations in genes different from *BRCA1/2* is relevant also for the therapeutical strategies. In fact, while the benefits of PARP inhibition have been well characterized for *BRCA1/2* (Risdon et al., 2021), the efficiency of this



therapy in carriers of other mutations is so far a question of debate. For the therapy of metastatic breast cancer (MBC), is in progress a phase II study that are showing the efficacy of PARPi's Olaparib, in patients with germline/somatic (g/s) mutations in related genes (*PALB2*, *ATM* and *CHEK2*) other than *BRCA1/2* (N. M. Tung et al., 2020). Responses were seen only with g*PALB2* mutations, while there are not evidences for *ATM* or *CHEK2* mutations respectively. For this reason, Olaparib could be used in patients with g*PALB2* mutation beyond in g*BRCA1/2* mutation carriers, significantly expanding the number of patients with MBC who would benefit from PARPi (Pommier et al., 2016; Lord and Ashworth., 2017; Cortesi et al., 2021). In conclusion, the multi-gene panel approach could be useful for targeting therapy in oncology patients that are carriers of mutations in susceptibility genes, beyond *BRCA1/2*.

## Data availability statement

The datasets presented in this study can be found in online repositories. The link to the data can be found below: <https://www.ncbi.nlm.nih.gov/sra/PRJNA927294>. Accession to cite for these SRA data: PRJNA927294.

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Writing—original draft preparation, FA and LP, writing—review and editing FA, LP, AD, and RF; performed the genetic analysis, FA, LP, AD, and RF; data curation RF; conceptualization and supervision, LS and IA; clinical investigation SGr, LMF, CM, SGi, GC, LS, and IA.

## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Single-cell transcriptome analysis for cancer and biology of the pancreas: A review on recent progress

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Single-cell sequencing has become one of the most used techniques across the wide field of biology. It has enabled researchers to investigate the whole transcriptome at the cellular level across tissues, which unlocks numerous potentials for basic and applied studies in future diagnosis and therapy. Here, we review the impact of single-cell RNA sequencing, as the prominent single-cell technique, in pancreatic biology and cancer. We discuss the most recent findings about pancreatic physiology and pathophysiology owing to this technological advancement in the past few years. Using single-cell RNA sequencing, researchers have been able to discover cellular heterogeneity across healthy cell types, as well as cancer tissues of the pancreas. We will discuss the new immunological targets and new molecular mechanisms of progression in the microenvironment of pancreatic cancer studied using single-cell RNA sequencing. The scope is not limited to cancer tissues, and we cover novel developmental, evolutionary, physiological, and heterogenic insights that have also been achieved recently for pancreatic tissues. We cover all biological insights derived from the single-cell RNA sequencing data, discuss the corresponding pros and cons, and finally, conclude how future research can move better by utilizing single-cell analysis for pancreatic biology.

## KEYWORDS

single-cell sequencing, transcriptome analysis, pancreas biology, pancreas cancer, genetic heterogeneity

## 1 Introduction

What if we knew which genes are specifically expressed in individual cells of the pancreas? This is a question an enthusiastic researcher would ask not long ago, with a vision of a possible method. Today, however, this question seems funny, owing to the development and speed of next-generation sequencing. We are now able to characterize a piece of desired tissue and dig into the individual cells and see which, for example, RNAs are expressed where. Being able to do the same for the whole genome, we are currently able to molecularly

characterize single cells in tissues. To know the meaning of characterization, let us consider the matter of pancreatic tissue. By means of single-cell RNA sequencing, researchers are able to subtype the pancreatic tissue in more detail, find new immune-prominent cells and lineages, dig into tumor heterogeneity, trace different lineages toward development, and subtype various kinds of cancer and other pancreatic disorders, such as diabetes.

In this paper, we are going to review recent single-cell studies on the pancreas with a focus on RNA sequencing in a classified manner. To clarify, the reader will discover how single-cell RNA sequencing has helped us gather additional information on pancreatic biology. Therefore, we will narrate the surprising biological findings and compare and integrate the efforts in order to cast a light for future studies. The major part of this paper focuses on cancer similar to the literature; however, surprising findings about stem cells, new lineages in pancreatic normal tissue, efforts to characterize and map the overall pancreas, immunological studies and insights for the future are also discussed. We will incorporate the real data from selected landmark studies in order to provide a detailed comparative insight for the reader of the whole paper, which is not carried out in similar prestigious reviews in the field (Luo et al., 2020; Musa, 2020; Pompella et al., 2020; Han et al., 2021; Hematol et al., 2021; Mannarapu et al., 2021).

It is also important to observe that single-cell analysis is not limited to RNA sequencing or even sequencing overall. Figure 1 shows different techniques have been incorporated in all the main areas of single-cell analysis. Notably, nano-fabrication technology is the main progressive core for carrying out the single-cell research as all the modalities, such as cytometric devices and sequencing technologies, are built upon an intricate nano-technology.

However, it is only through sequencing that an unbiased study of all the possible genes in charge of a biological mechanism becomes available. To recapitulate, other methods such as cytometry and microfluidic sorting are dependent on biological reagents that are all chosen based on previous biological knowledge, while sequencing can provide the data for the whole genome or transcriptome, allowing us to look for completely new mechanisms involved. However, all these methods came with their own pros and cons, as for instance, sequencing will not be able to provide wide proteomic data and this suggests using combinatory methods for a thorough scientific endeavor. Good examples of such combination are new technologies such as single-cell sequencing assay for transposase-accessible chromatin (scATAC-seq) (Ji et al., 2020), which provides noisy but analyzable data on the regulome for the whole genome, invading both genomic and transcriptomic landscapes, and single-cell bisulfite sequencing (scBS-seq) (Clark et al., 2017), which provides genome-wide cytosine methylation data, processed to generate information on the transcriptome (Smallwood et al., 2014).

## 2 Single-cell sequencing for pancreatic cancer studies

Single-cell sequencing can provide data on genetic mutations, transcription status, and level of intensity for susceptible genes, responses to therapy, immunological responses, and overall tumor microenvironment. In addition to diagnosis and therapy, this technology can also bring us knowledge on relying causes and molecular mechanisms of cancer, especially by combinatory

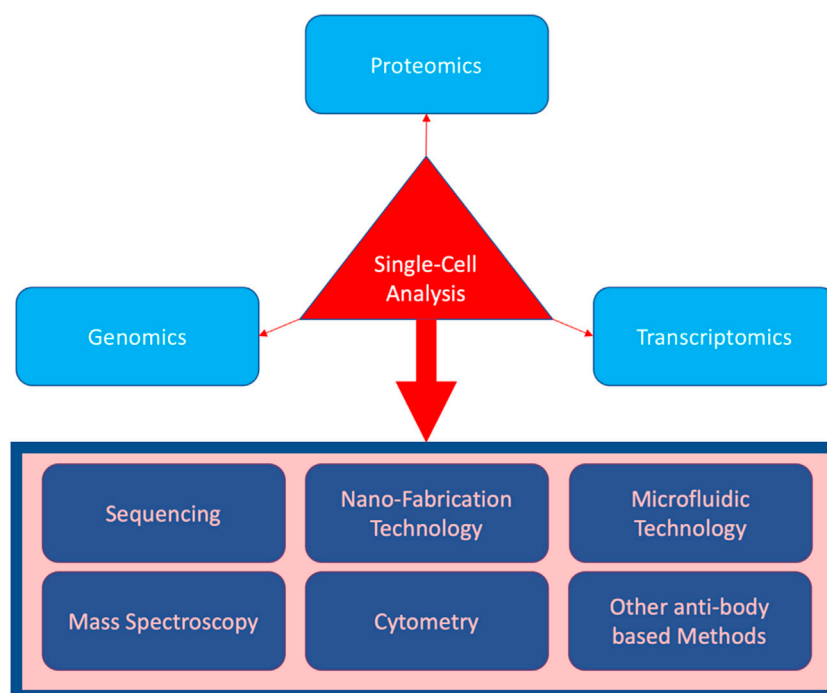


FIGURE 1

Three main biomolecular areas covered by single-cell analysis (in light blue), as well as the techniques incorporated (in the rectangular box).

methods like some of our suggestions for future work throughout the paper. In this manner, in order to classify the literature, we first discuss the prototype studies providing insights into the pancreatic tumor microenvironment both pathologically and immunologically using single-cell RNA sequencing. Since invasiveness is regarded as one of the most distinctive features of cancer incidence, we review the studies reporting discoveries of new mechanisms for cancer progression using the technology. Finally, as cancer incidence is becoming significantly more diverse in the language of personalized medicine, we review the studies using the sequencing technology to put tumor heterogeneity in a molecular perspective. However, let us first start with a discussion on the disease itself.

## 2.1 Pancreatic cancer

Pancreatic cancer has received significant attention from biomedical researchers worldwide. According to the American Cancer Society, most of the incidents of pancreatic cancer originated from the central tissues and are, therefore, called exocrine cancers, a great deal of which are pancreatic adenocarcinomas that originate from duct cells (Chen et al., 2021b). However, there are less prevalent subtypes, with the most famous being the one that originates from the acinar cells and is called the acinar cell carcinoma. Overall, there are endocrine incidents of pancreatic cancer called the pancreatic neuroendocrine tumors (NETs), or islet cell tumors. They are significantly less prevalent (less than 2% of pancreatic cancers); however, they have greater prognostic capability (Marini et al., 2021). Not surprisingly, most cancers originate from regions that had the stem cells present. To be more precise, cells with regenerative capabilities were uniquely found at the central ducts and exocrine parts of the pancreas. Similarity and proximity of genes for cancer prognosis and stemness are important to understand the prominent cause of this correlation. Both cancer prognosis and stemness are based on maintaining the mitotic capability in a specialized molecular way, which remains a great substrate to build our interpretation of genes contributing in both. This is exactly where single-cell transcriptome studies of the pancreas can become helpful in navigating us through complex molecular biology of both cancer and stem cell research. Hence, we begin by discussing pancreatic cancer research using the tool of single-cell RNA sequencing, while casting a glance on insights for non-cancer research.

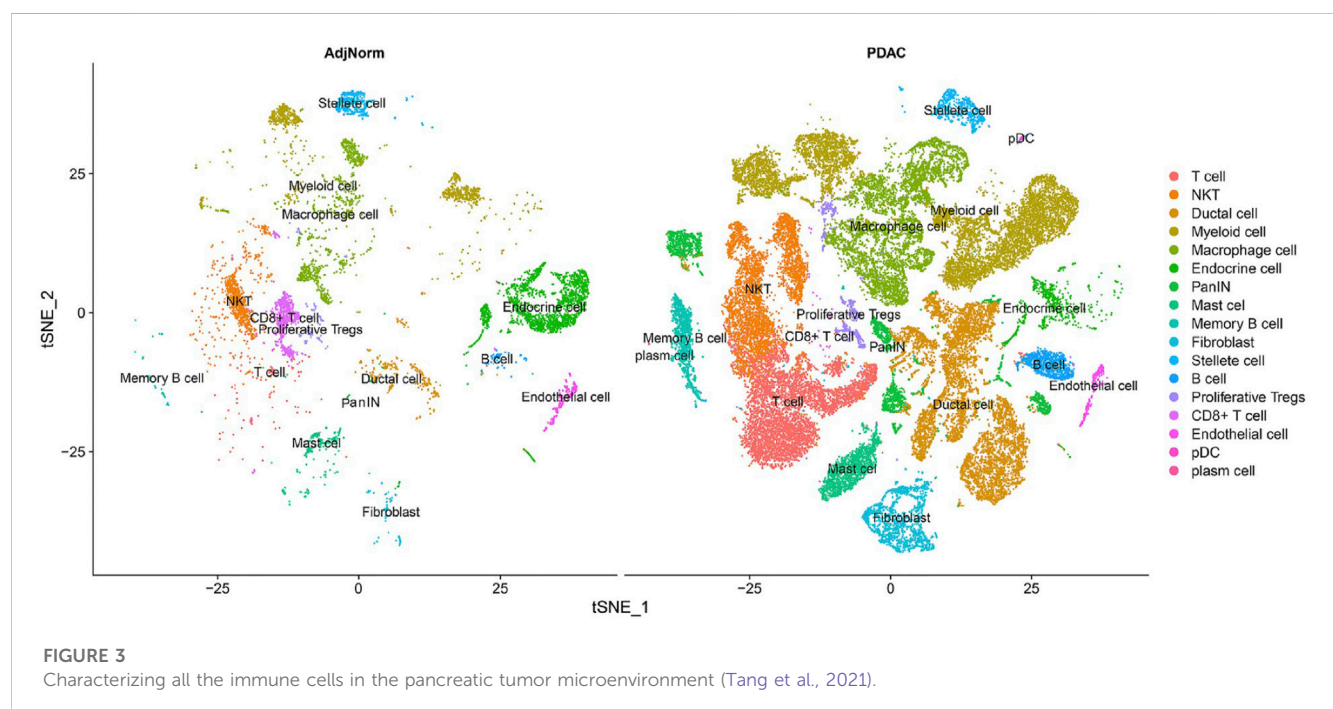
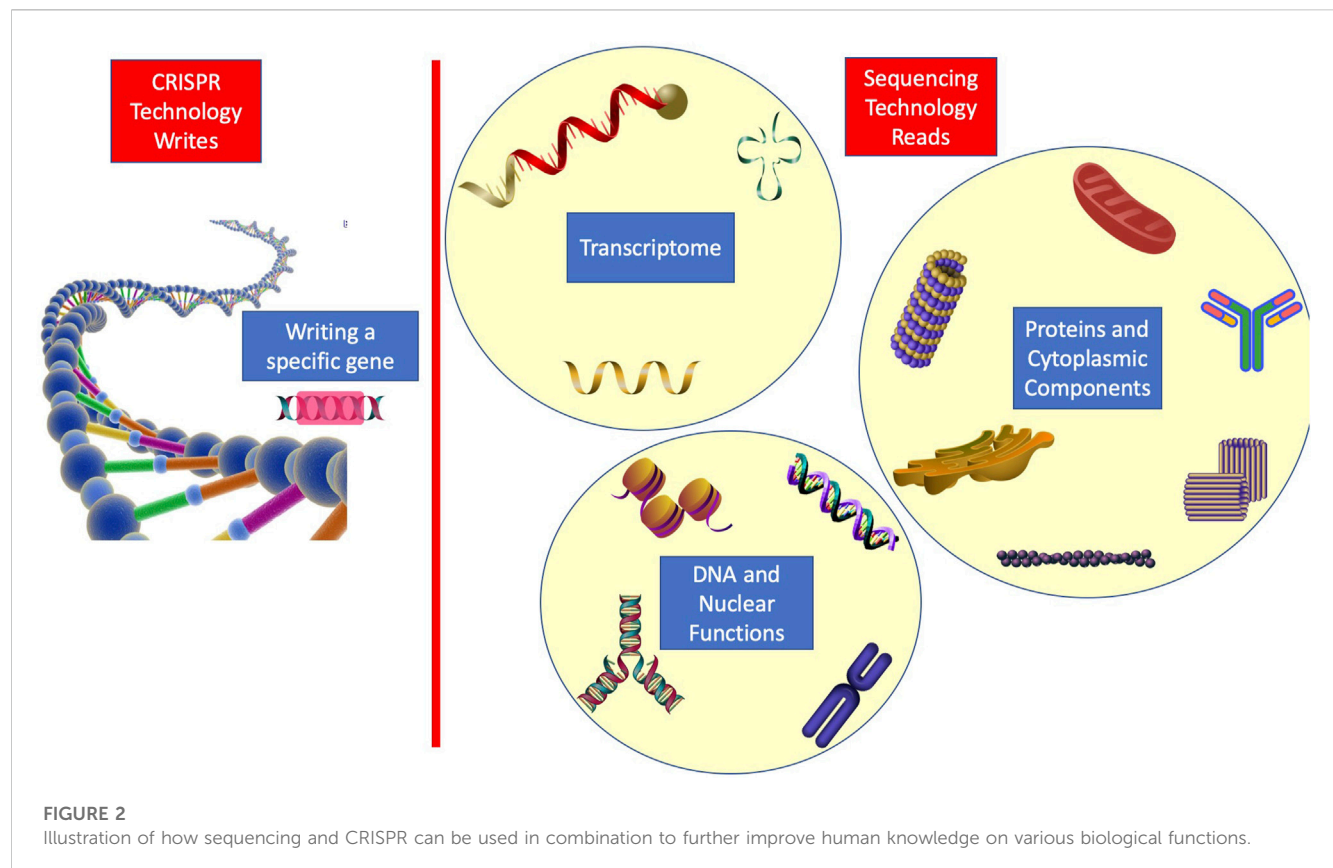
## 2.2 Insights into the tumor microenvironment

Numerous groups around the world are focusing on one of the greatest factors affecting the tumors' faith in the body, i.e., the microenvironment they live in (Khatami and Tavangar, 2018; Khatami et al., 2018; Khatami et al., 2019; Raghavan et al., 2020; Shabani et al., 2020; Chen et al., 2021a). Ligorio et al. (2019) reported how the stromal microenvironment could navigate the intra-tumoral architecture. They managed to reconstruct the tumor tissue and identify eight subgroups according to the architecture of the tumor gland. As they conclude, cancer-associated fibroblasts

play a key role in shaping cancer cells' microenvironment and, hence, the tumoral architecture. Reshaping the tumor architecture is not limited to one group, as for instance, Moncada et al. (2020) also attempted a tumor molecular configuration reconstruction using the microarray technology and single-cell sequencing. In order to cast light on the novelty of this type of research, we discuss the journey from the beginning, as it all started with the birth of spatial transcriptomics (ST) in science (Vickovic et al., 2014). With normal transcriptomics, we would have the molecular data for a pool of cells from a piece of tissue, out of which different lineages can be identified using their molecular attributes. However, with spatial transcriptomics, cell clusters are analyzed on microarrays that can serve as a vector to the position of the analyzed cluster. Hence, molecular reconstruction of the whole tissue architecture becomes available. Notably, ST does not offer a single-cell resolution, and Moncada et al. only attempted to push the limitation line forward as the combined ST data with single-cell RNA sequencing provided single-cellular details of the ST cell clusters. In other words, future research for spatial transcriptomics must rely on the way of increasing the resolution of analytical microarrays in order to enhance the reconstruction output resolution to a single-cell level, just as Moncada et al. (2020) attempted. Also, a combination of this technology with other convenient methods seems beneficial. A good example of such combination is the recent paper by Cui Zhou et al. (2022) that used single-cell RNA sequencing in collaboration with spatial transcriptomics, bulk proteogenomics, and cellular imaging. By mapping mutations and copy number events, they were able to notify normal cells and distinguish cells with multiple neoplasia. They also combined histology and spatial transcriptomics to identify the transitional subpopulations. To enlighten, they used histopathological data for deconvolution of ST data. This method is suitable for finding several gene expression relations as they discovered for TIGIT and Nectin in regulatory T cells and tumor cells, respectively (Cui Zhou et al., 2022).

On the other hand, sequencing in combination with modern genetic edition technologies has enabled us to molecularly monitor every single desired change in the microenvironment of any cell. To enlighten with an example, Chen et al. (2021c) deleted type 1 collagen in the myofibroblasts surrounding the pancreatic cancer cells and monitored the changes in the immune response and the progression of cancer. Meaningfully, they made the fibroblasts surrounding the tumor dysfunctional by eliminating their most abundant collagen type. Their results suggest that therapeutic methods severely interfering with the metabolism and molecular well-being of fibroblasts and healthy tissues surrounding the tumor site would be favorable for disease progression or possible reemergence of tumors if we are not successful in targeting all the cancer stem cells.

In order to further enlighten the role of RNA sequencing in the research study, we discuss another modern therapeutic method that must rely on single-cell sequencing for monitoring the resulting changes. CRISPR engineering has enabled the single-cell genome edition with a significant precision level, and using this cellular therapy, *in vivo* precise genetic therapy is becoming available (Zhang, 2021; Zhang et al., 2021). Notably, it is through single-cell sequencing that we can verify the genetic changes we make and the



resulting molecular effects with the greatest accuracy. To recapitulate, CRISPR enables us to write in a genetic language, while sequencing provides the reading in genetic

and transcriptome wide languages. Hence, it is beneficial for future works to focus on writing particular genetic codes and trying to figure out changes all across the transcriptome,



exploiting both technologies. Figure 2 shows the idea of how a wide range of biological functions can be viewed from a molecular perspective using the combination.

Moreover, single-cell data enables us to gather novel immunologic insights of cancer. For instance, Tang et al. (2021) performed a single-cell analysis on the tumor microenvironment to characterize the set of present immune cell types. As parts of their results are shown in Figure 3, all the present immune cells have been characterized and subset into populations matching the criteria of each particular category. The immunologic composition of the tumor microenvironment is of great importance, especially recently after numerous cross-talks and relationships between different immune cell types have been observed (Raghavan et al., 2020). Future therapy has no other way than to rely on these molecular findings regarding the tumor-immune microenvironment. Regarding the increasing immunological prominence in research, we discuss immunologic findings using single-cell RNA sequencing for the pancreas in the last section of this paper.

## 2.3 Discovery of new mechanisms of cancer progression

Although thorough knowledge of the underlying causes of pancreatic cancer and the molecular path from incidence to metastasis is yet to be investigated, some groups have gathered novel information on the matter using single-cell RNA sequencing. For instance, Zhu et al. reported a lethal molecular stage prior to invasiveness in pancreatic cancer (Id et al., 2021). To clarify, they have discovered the pre-invasive transition of adipose-derived stromal cells into *COL11A1*-expressing cancer-associated fibroblasts. With the transition being essential to invasiveness, this can be considered a discovery of a molecular target with therapeutic applications. In a similar finding, Dominguez et al. (2019) reported the transition of stromal cells into LRRC15 + myofibroblasts as the determinant of a patient's response to cancer immunotherapy. Notably, monitoring these kinds of lineage transitions has become part of our everyday research as a result of single-cell RNA sequencing incorporation. Not surprisingly, here both groups have used single-cell sequencing; the first was on the tissues of patients at different stages of pancreatic cancer, and the second was on an animal model. Their common approach was to look for common molecular signatures in different types of cells at various stages of the progression but present in some new lineages emerging during cancer. To restate, first, the lineage in charge of progression and invasiveness was found, and then, using the molecular signatures present in the transcriptome, ancestor cell lines were discovered. Next the figured-out transition was monitored as a corroboration to be ensured. This methodology can be beneficial in cancer research as each group digs out a bit more of the underlying molecular mechanism in charge of the disease. However, for the benefit of patients, more combinatory research studies to figure out a molecular map for cancer progression are needed. A map is presented from which the best personalized criteria of molecular targets can be administered to the patient. The complexity of this map refers mostly to the fact that it is about unlimited correlations and cross-talks, not only at the RNA level but

also at DNA levels, and all the functional proteins and even lipids in the cell. To enlighten this multilayer nature, Yue et al., for instance, shed light on the prominence of genetic variations in controlling the transcriptome evolution in cancer (Khatami and Tavangar, 2018; Chan-seng-yue et al., 2020), while Dey et al. (2020) discovered the role of cytokines in cell reprogramming during cancer courses.

Single-cell RNA sequencing has made a significant contribution in further classification of the diseases. In other words, sometimes, new molecular findings change the classical way we previously looked at the classification of diseases, such as cancer, similar to Chung et al.'s (2019) prominent finding. They reported the signaling between endocrine and exocrine pancreas to be in charge of molecular progression in PDAC. Notably, this changes our classic view of considering PDAC as an exocrine pancreatic disorder standing alone.

One of the most important factors to be considered a significant help to pancreatic biology is the composition of modern genetic knockdown and single-cell sequencing. In other words, we are able to simultaneously alter the genome and monitor the effects at molecular and cellular levels. As a standard example for this kind of research, Shah et al. (2017) inspected in detail the molecular changes in APE1/Ref-1 knockdown. In this methodology, they took a gene of selection, knocked it down, and visited all the resulting molecular changes with sequencing to figure out the related pathways and genes with respective affinities. Sometimes, we know what the genes do and knock them down to observe the biological side effects in certain disorders such as cancer. Carstens et al. (2021), for instance, knocked *Snail*, *Twist*, and *ZEB1* genes to stabilize the tumor in the epithelial state. In all efforts, this ensured epithelial stabilization led to the promotion of cancer progression, immune suppression, and improvement in the liver's metastatic conditions. Although epithelial to mesenchymal transition occurs during cancer, they claimed that stabilizing the tumor in the epithelial state promotes collective cell migration and results in colonized, hence more powerful, metastasis. The possibility of classification of tumors in the epithelial–mesenchymal spectrum, as they did in Figure 4, is all again owed to single-cell sequencing.

## 2.4 Tumor heterogeneity in a molecular perspective

One of the most prominent roles of single-cell RNA sequencing in the current research is to enable us to discover the traces of cell lineages even with a few cells of that lineage present in the tissue. To restate, a piece of tissue such as the pancreas, which was classically categorized and identified based on different macro compartments, such as ducts and islets, with their specific cells, can now be characterized down to single cells; this way, an avalanche of newly discovered lineages with possible roles in immunotherapy or regenerative medicine is in line.

For pancreatic cancer, there have been several groups working on how single-cell RNA sequencing can reveal tumor heterogeneity (Quandt et al., 2011; Peng et al., 2019; Schlesinger et al., 2020). Since the output is more detailed molecular data on tumor cell types, this type of research is

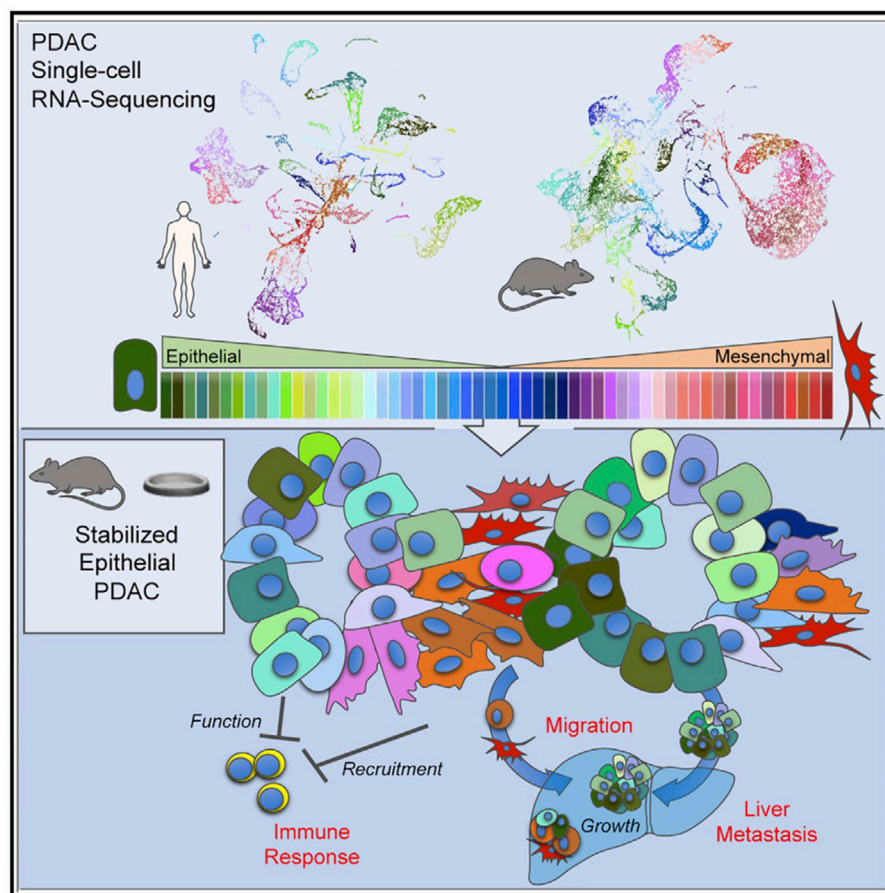
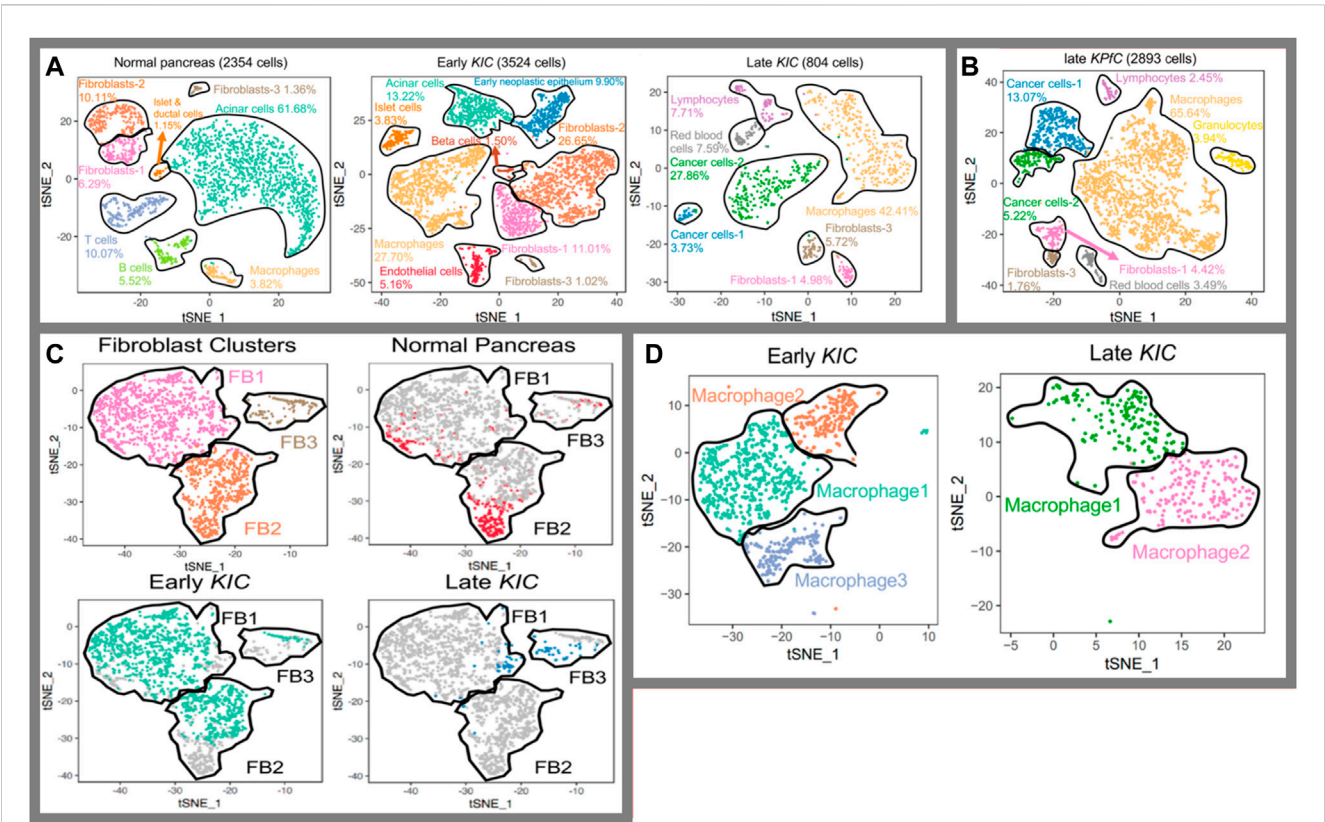


FIGURE 4

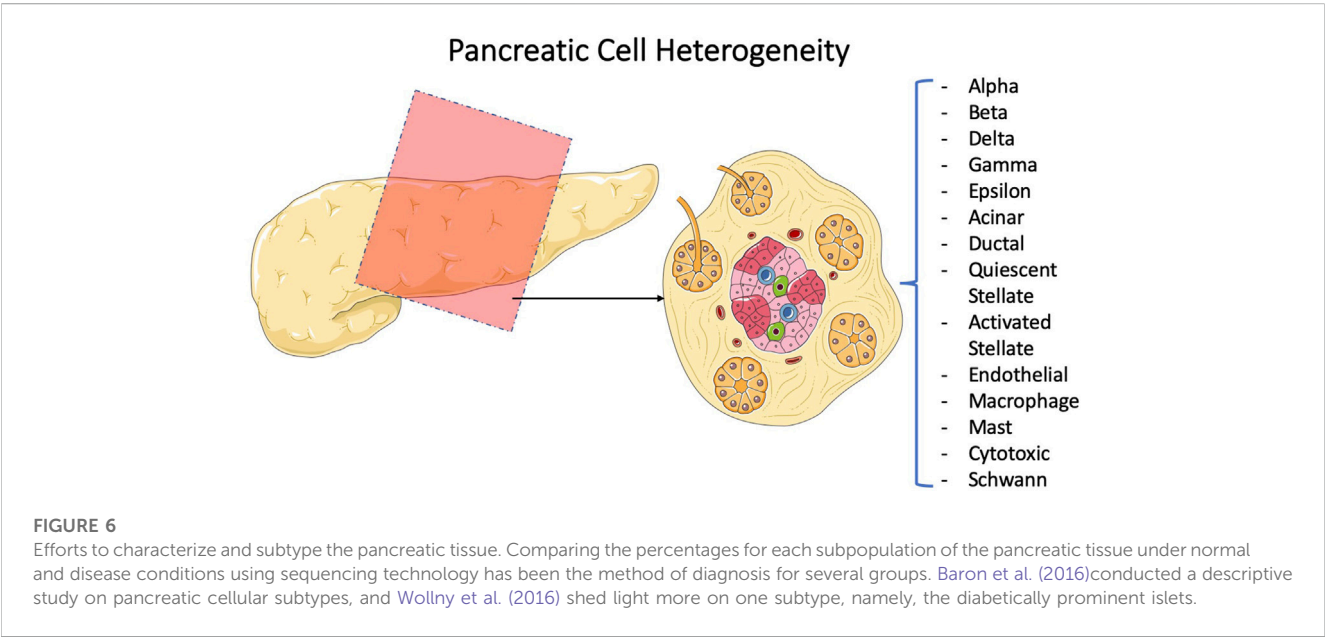
Carstens et al. (2021) provided evidence on how an epithelial phenotype of the primary tumors promotes metastasis and inhibits immune responses; they classified the primary tumors using single-cell RNA-seq.

favorable for personalized medicine, where particular therapy specialized for an individual is prescribed. More detailed data lead to more detailed classifications and that leads to more specialized classification of patients on the road to personalized medicine. To recapitulate, single-cell RNA sequencing can surely alter the way we categorize various diseases in the future, illuminating their molecular particularity. In this regard, for pancreatic cancer, groups focus on descriptive studies to unveil the disease at a molecular level (Sho et al., 2017; Lin et al., 2020; Ren et al., 2021). They have all used pieces of cancerous or surrounding normal tissues to perform single-cell RNA sequencing and attempted to classify the cellular population based on discrepancies in transcriptome (Lin et al., 2020; Tamaddon et al., 2022). This categorization-based methodology of research can be undertaken in different orders of style. Hossein et al., for instance, took a stepwise approach in which they initiated the categorization into macro-types using the main molecular traces (i.e., all the fibroblasts into one category) (Hossein et al., 2019). They carried out the categorization for the early and late stages of progression and comparatively two distinct tumor subtypes. Then, they stepped up to navigate different subpopulations of fibroblasts

and macrophages as two key elements affecting the tumor faith (Figure 5). To criticize this type of research, it is important to notice that single-cell RNA sequencing data from incidents of cancer in pieces of cancer tissue from mice cannot be considered the standard for the cancer progression phenomenon. However, it provides a strong basis for future similar research on human tissues and cross species in order to figure out the molecular path of incidence and progression for pancreatic cancer. Also, it is not limited to disease conditions as it can also be beneficial in increasing our knowledge of healthy pancreatic subpopulations. For instance, Baron et al. (2016) conducted a thorough research and an immense single-cell analysis to provide the transcriptomic map of human and mouse pancreases. They tried to reconstruct the overall pancreatic tissue based on 14 distinct cellular types. Efforts like these can navigate human beings' knowledge on precision anatomy. Also, this type of research is complementary to the style of Wollny et al. (2016) as they tried to subtype pancreatic islets. In other words, they took one more step toward the architecture of islets. The idea for all these types of research is shown in Figure 6, and the method provides a detailed image of pancreatic tissues.



**FIGURE 5** Identification of tissue composition at a molecular level (Hosein et al., 2019). (A) Cell populations in normal, early, and late cancerous tissue; (B) comparison in between two subtypes of KRAS-induced cancer; (C) fibroblasts into prospective in normal tissues as well as early and late cancer tissue; and (D) populations of macrophages in magnification through the cancer course.



**FIGURE 6** Efforts to characterize and subtype the pancreatic tissue. Comparing the percentages for each subpopulation of the pancreatic tissue under normal and disease conditions using sequencing technology has been the method of diagnosis for several groups. Baron et al. (2016) conducted a descriptive study on pancreatic cellular subtypes, and Wollny et al. (2016) shed light more on one subtype, namely, the diabetically prominent islets.



### 3 Pancreatic pathology, for abnormalities other than cancer

Applications of single-cell sequencing in pancreatic studies for sure are not limited to cancer. CP or chronic pancreatitis, for instance, was the topic of interest of [Mao et al. \(2022\)](#) as they characterized the ductal cell in the process of the disease. Their study is a good example of how a set of genes and markers responsible for a condition could be revealed in a single study. With clustering methods, they were able to sub-populate the cells and carried out precise genetic analysis to discover few hundred upregulated and downregulated genes. However, only overexpression for MMP7 and TTR was further verified. Other than CP, pancreatic disorders of various types such as acute ([Sun et al., 2021](#)) and chronic pancreatitis ([Weiss et al., 2018](#)) and diabetes have also been genetically investigated using the single-cell sequencing technology. Also, sequencing has shed light on our microbiome knowledge, enabling numerous groups to identify the relationship between various pancreatic disorders and gut microbiota with the help of sequencing ([Ammer-Herrmenau et al., 2020](#); [Qi-Xiang et al., 2022](#)).

## 4 Single-cell RNA sequencing for pancreas biology

### 4.1 Multipotency in pancreatic tissue

Multipotent cells with regenerative abilities have recently been an interesting topic in biomedical research. Finding new lineages with stemness in the tissue will significantly increase hopes for regenerative capabilities and therapeutic applications ([Hutton et al., 2021](#)). To enlighten the prominence, suppose we discovered multipotent cells in the pancreas with the capability to differentiate into beta cells under certain conditions, which could help in the fight against diabetes. In this regard, numerous groups reported to have discovered new progenitor-like cells and characterized the previously known progenitors to uncover pancreatic development. [Krentz et al. \(2018\)](#), for instance, characterized known progenitor cells from embryos of mice to derive beta cells and provided a detailed description of the pancreas at the molecular level during development in mice. This can be used as a guide for efforts to form beta cells in both *vitro* and *vivo* from human embryonic and adult stem cells. The presence of stem cells in the adult pancreas and their ability to evolve to functional beta cells have been controversial in research in recent years. However, in a comprehensive research conducted by [Mameishvili et al. \(2019\)](#) published in PNAS in 2019, the controversy for the presence of isolable stemness in an adult pancreas somehow ended. Since, a signature gene (*Aldh1b1*) has been reported to be a certain flag for isolating cells with progenitor behavior and the capability of evolving to all three main subtypes in the adult pancreas. Not surprisingly, the same gene is reported to be responsible and core to pancreatic cancer (performed particularly on the KRAS-induced subtype) ([Mameishvili et al., 2019](#)). Some groups have moved further, exploiting technology to form pancreatic organoids *in vitro* in order to molecularly monitor their differentiation. For example, [Wiedenmann et al. \(2021\)](#) used microfluidic technology and single-cell transcriptome monitoring

to unveil the molecular path of differentiation from pancreatic progenitor cells to duct-like organoids. The combinatory in [Figure 7](#) shows how discussed groups unveiled different parts of the mystery of pancreatic stemness biology.

### 4.2 Pancreatic fibroblasts into perspective

There have been numerous groups publishing papers focusing on fibroblasts as key to biological mechanisms they look for in the pancreas ([Elyada et al., 2019](#); [Kieffer et al., 2020](#); [Steele et al., 2021](#)). Fibroblasts are common cell types prevalent in various parts of the body and different tissues. Day by day, new insights into the effectiveness of fibroblasts on various molecular mechanisms of cancer progression and immune responses are being discovered ([Sebastian et al., 2020](#)). Therefore, we designated a separate part discussing which pancreatic fibroblast findings are most thankful for single-cell RNA sequencing technology.

Concluding based on the literature ([Elyada et al., 2019](#); [Kieffer et al., 2020](#); [Hutton et al., 2021](#)), it seems that according to pancreatic ductal adenocarcinoma that fibroblasts play a key role in mediating the immune microenvironment. Even new subtypes of fibroblasts are discovered every now and then. For instance, [Hutton et al. \(2021\)](#) has discovered a new classification of fibroblasts using the CD105 marker, and they could engineer how this fibroblast lineage affects tumor development. To restate, the CD105-negative fibroblasts supported anti-tumor immunity, while CD105-positive ones were tumor permissive. Finding lineages like this can be much beneficial in moving toward better therapeutic regimens. Notably, they used mass cytometry to carry out the single-cell analysis. This method is suitable for working with known markers by figuring out a new combination of markers like what they did. However, as [Wang et al. \(2021\)](#) did for the same purpose of subtyping the fibroblasts, single-cell RNA sequencing has a greater precision generally and provides much more information, the pool from which we can fish out new biomarkers. Finally, as we also discussed in the previous sections, fibroblasts significantly contribute to the condition for the tumor microenvironment and play an important role in tumor heterogeneity.

### 4.3 Pancreatic tissue biology

Monitoring single cells at the transcriptome level can gather much information on various corners of biology. Evolution and development are prominent corners, for instance. In this regard, [Enge et al. \(2017\)](#) did a good job of uncovering more of the molecular pattern of aging. They tried to uncover the genes in charge of the transcriptional noise inherent in aging. Their idea and results seem surprising. However, it is important to note that all the 2,544 cell sequences were carried out from samples taken only from eight donors, spanning 60 years of age. Hence, individual discrepancies at a single-cellular level seem to have an inevitable effect. Stepping up these kinds of studies to apply on tissues from numerous human or animal models can better verify the genes in charge of developmental or aging processes.

One other corner of the biological planet covered by single-cell sequencing in the pancreas is immunology. Now and then, new

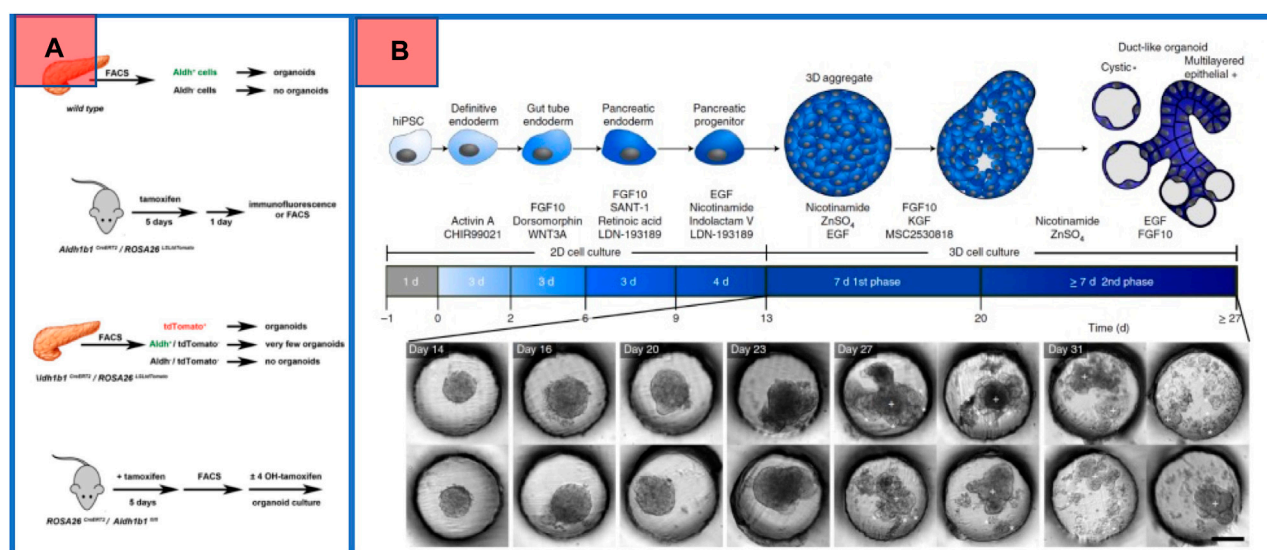


FIGURE 7

Effort to isolate and characterize human stem cells. The embryonic and adult stem cells have been characterized owing to single-cell RNA sequencing which now provides a substrate to look deeper for underlying mechanisms. (A) Wiedenmann et al. used microfluidic technology to grow duct-like organoids from pancreatic progenitors (Wiedenmann et al., 2021). (B) Mameishvili et al. provided evidence that *ALDH1B1* is the selector gene for pancreatic adult progenitors and is associated with KRAS-driven pancreatic cancer.

relationships between diverse types of immune cells and new lineages with particularly prominent functions are discovered (Pan et al., 2019; Li et al., 2021; Rodriguez et al., 2021). The fact that makes the immune system unique is the significant variety in the type of cells, with sometimes only few cells existing from one specialized lineage. This is exactly where a significant need for single-cell analysis is sensed, and single-cell sequencing both for DNA and RNA comes to the rescue. Accordingly, numerous groups have been focusing on single-cell analysis on pancreatic pathological conditions and discovering new immunological mechanisms. Kemp et al., for instance, fetched out a new way to molecularly detect pancreatic cancer, as they discovered special tumor-associated macrophages with the same traces present in the blood's monocytes (Kemp et al., 2021). They analyze the relatively small number of monocyte cells present in the blood sample down to the transcriptome owing to single-cell RNA sequencing. As another example, the same group published a detailed descriptive paper on the molecular landscape of immune cells in the tumor and peripheral blood, again using single RNA sequencing (Steele et al., 2020). Notably, they attained a pool of genetic data out of which several biomarker candidates could be selected. As another creative and more combinatory study example, Sivakumar et al. (2021) incorporated mass cytometry, single-cell RNA sequencing, immunohistochemistry, and spatial analysis focusing on T cells to reconstruct the immune system microenvironment. Using this approach, they discovered that unlike CD4<sup>+</sup> and CD8<sup>+</sup> cells that were coherently spread in the tissue, regulatory T cells were meaningfully present only in the stromal cancer tissue with minimum presence in the epithelial compartments, at both cancer and normal sides. This was the piece of evidence for their hypothesis of activated Treg cells being in charge of PDAC progression. Also, they looked into the indifference of CD8<sup>+</sup> T cells to the presence of a tumor and found senescence in charge, mostly analyzed by single-cell RNA sequencing.

## 5 Conclusion

Single-cell RNA sequencing is a powerful tool for studying pancreatic physiology and pathophysiology. However, there is a main difference in the way researchers utilized the technique. Some used complementary sorting methods, such as mass cytometry, to navigate the cellular population down to a more known subpopulation and then used sequencing. This methodology always provides easier analysis for the output sequencing data since we obtained good molecular clues for the sorted population. On the contrary, sequencing on unsorted tissue cells will produce sequenced data that are much harder to analyze but with much more novel information. In other words, completely new insights into biological mechanisms, such as new correlations, complex signaling networks between cells, or whole new lineages, must be extracted from a pool of raw sequenced data with all the subsets of the tissue present. Notably, pancreatic tissue is a relatively complex one, being involved in the diverse physiological processes of the complex endocrine, nervous, and digestive systems that ensures the complex unknown correlations between cells from different pancreas components, enlightening the prominence of the whole descriptive tissue single-cell study for the pancreatic, nervous, and endocrine biology. Also, a combination with other technologies such as microfluidics and nano-fabrication can always be beneficial as it has already provided us with significant inventory tools such as spatial transcriptomics. In other words, future single-cell studies on the pancreas have ways to improve, enabling us to perform single-cell sequencing for the whole tissue by scaling up the technology, also to be used in combination with other sorting methods for the whole tissue, not losing data of a single cell. The good news is that computers and the regrading algorithms have been



developed in advance and are ready to satisfy the need for scaling up the transcriptomics. Finally, the prominent early result of these combinations seems to be an enhancement in spatial transcriptomics, improving its limitations to the accessible goal of single-cell spatial resolution and for the whole tissues. Overall, having explained the applications of single-cell sequencing and analysis in pancreatic studies, the journey of potential future applications seems to have just started, and a load of new insights will emerge with advances in novel instruments and methodologies.

## Author contributions

The first draft of the manuscript was written by MT, and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

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PG was employed by AI VIVO Ltd.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# The diagnostic significance of the ZNF gene family in pancreatic cancer: a bioinformatics and experimental study

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**Background:** Pancreatic adenocarcinoma (PAAD) is among the most devastating of all cancers with a poor survival rate. Therefore, we established a zinc finger (ZNF) protein-based prognostic prediction model for PAAD patients.

**Methods:** The RNA-seq data for PAAD were downloaded from The Cancer Genome Atlas (TCGA) and the Gene Expression Omnibus (GEO) databases. Differentially expressed ZNF protein genes (DE-ZNFs) in PAAD and normal control tissues were screened using the “lemma” package in R. An optimal risk model and an independent prognostic value were established by univariate and multivariate Cox regression analyses. Survival analyses were performed to assess the prognostic ability of the model.

**Results:** We constructed a ZNF family genes-related risk score model that is based on the 10 DE-ZNFs (ZNF185, PRKCI, RTP4, SERTAD2, DEF8, ZMAT1, SP110, U2AF1L4, CXXC1, and RMND5B). The risk score was found to be a significant independent prognostic factor for PAAD patients. Seven significantly differentially expressed immune cells were identified between the high- and low-risk patients. Then, based on the prognostic genes, we constructed a ceRNA regulatory network that includes 5 prognostic genes, 7 miRNAs and 35 lncRNAs. Expression analysis showed ZNF185, PRKCI and RTP4 were significantly upregulated, while ZMAT1 and CXXC1 were significantly downregulated in the PAAD samples in all TCGA - PAAD, GSE28735 and GSE15471 datasets. Moreover, the upregulation of RTP4, SERTAD2, and SP110 were verified by the cell experiments.

**Conclusion:** We established and validated a novel, Zinc finger protein family - related prognostic risk model for patients with PAAD, that has the potential to inform patient management.

## KEYWORDS

pancreatic adenocarcinoma, zinc finger protein family, prognostic risk model, TCGA, bioinformatics

# 1 Introduction

Pancreatic adenocarcinoma (PAAD) is a devastating malignancy with a very low 5-year survival rate (Kuninty et al., 2019). The onset of PAAD is insidious, and most patients are admitted to the hospital with clinical symptoms such as “jaundice and abdominal pain”. Nearly 80% of patients have no chance of surgical resection (Mizrahi et al., 2020). Currently, the main diagnostic option for PAAD is imaging. Compared with other mainstream targeted therapies for malignant tumors, PAAD lacks effective target diagnosis and precise treatment options (Grossberg et al., 2020). The pathogenesis of PAAD is regulated by multiple factors, multiple genes and microenvironments. Therefore, the use of massively parallel sequencing technologies to mine PAAD datasets, and utilization of bioinformatics methods to accurately analyze interaction targets, can provide new ideas for precise treatment of PAAD.

The ZNF domain is present in about 5% of human proteins and is associated with pathogenesis of many solid tumors. Precisely, ZNF259 activates ERK/GSK3 $\beta$  by activating the ERK/GSK3 $\beta$ /Snail signaling pathway to promote breast cancer cell invasion and migration (Xiao et al., 2014; Liu et al., 2018). Due to multifunctional binding abilities of zinc finger proteins, ZNF plays an important role in cell differentiation, cell metabolism, autophagy, apoptosis, and stemness maintenance. There is a need to elucidate on the significance of zinc finger proteins in tumor pathogenesis. Through bioinformatics analyses, Sun et al. (2021) found that the zinc finger protein 2 gene (ZIC2) is positively correlated with immune infiltrating cells in hepatocellular carcinoma (HCC) patients, and elevated ZIC2 mRNA expressions in CD4<sup>+</sup> T cells are associated with the 5-year survival rate of HCC patients. These findings, imply that the ZIC2 gene can be used as a marker for liver cancer immune responses, and to predict HCC prognosis. In pancreatic ductal adenocarcinoma, ZNF185 and SERTAD2 are tumor immune targets, providing new ideas for treatment of tumor immune invasion (Chen et al., 2021; Zhang et al., 2022).

In summary, PAAD pathogenesis is regulated by multiple factors, multiple genes and microenvironments. The ZNF gene may be involved in cancer occurrence and progression as an oncogene. Therefore, the use of massively parallel sequencing technologies to mine PAAD datasets, and utilization of bioinformatics methods to accurately analyze interaction targets, can provide new ideas for precise treatment of PAAD. We mined TCGA, GEO, ICGC, as well as UniProt databases, and used GSE as the training set to construct a PAAD-related risk score model via COX regression analysis. The constructed risk model is of great significance for further studies on the roles of ZNF in pancreatic cancer pathogenesis.

## 2 Methods

### 2.1 Data sources

The RNA-seq data and corresponding clinical data for TCGA-PAAD patients were downloaded from the Genomic Data Commons (GDC) database (GDC Data Portal, RRID:SCR\_

014514) (<https://portal.gdc.cancer.gov>), which included 178 PAAD cases and 4 control samples. The RNA-seq data of 167 and eight healthy pancreatic tissues samples were obtained from the GTEx and ANTE databases, respectively. The RNA-seq data and survival information for PAAD patients from two countries (PACA-AU: 455 cases; PACA-CA: 455 cases) were downloaded from the International Cancer Genome Consortium (ICGC) database (ICGC Data Portal, RRID:SCR\_021722) (<https://dcc.icgc.org/>). Three PAAD-related datasets (GSE62452, GSE15471, and GSE28735) were downloaded from the Gene Expression Omnibus (GEO) database- (GEO, RRID:SCR\_005012) (<https://www.ncbi.nlm.nih.gov/geo/>). The ICGC and GSE62452 datasets were used as external validation datasets for the prognostic model, and the GSE15471, GSE28735 datasets, as well as the ANTE-normal cohorts, were used to validate the expression levels of the prognostic model genes. The 1986 zinc finger protein family genes were extracted from the universal Protein Resource UniProt database (universal Protein Resource, RRID:SCR\_002380) UniProt database (<https://www.uniprot.org/>) (The UniProt Consortium, 2017).

### 2.2 Analysis of differentially expressed ZNF protein family genes in PAAD

According to the previous literature, the differential analysis was performed between 178 PAAD samples from the TCGA database and 171 normal samples from the GTEx and TCGA databases using the “limma” package (limma, RRID:SCR\_010943) (<http://bioinf.wehi.edu.au/limma/>) in R (Ritchie et al., 2015; Wen et al., 2020). The principal component analysis (PCA) plot in the TCGA-PAAD and GTEx-normal cohorts between the case and normal samples were displayed in Supplementary Figure S1, indicating an excellent distinction. In which, genes with adjusted p-value (adj. p) < 0.05 and  $|\log_2(\text{fold change})| > 1$  were considered significantly expressed (Wang et al., 2022). Intersections of differentially expressed genes in PAAD and 1936 PAAD-related ZNF family genes in the UniProt database were used as differentially expressed ZNF family genes (DE-ZNFs). Results were visualized using a heatmap and a volcano plot.

### 2.3 Functional enrichment analysis

Gene Ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analyses were performed on the DE-ZNFs using clusterProfiler (ClusterProfiler, RRID:SCR\_016884) (<http://bioconductor.org/packages/release/bioc/html/clusterProfiler.html>) (Yu et al., 2012). The GO system consists of three components: biological process (BP), molecular functions (MF) and cellular components (CC). KEGG (KEGG, RRID:SCR\_012773) (<https://www.kegg.jp>) (<http://www.genome.jp/kegg/>) is a biological systems database that integrates genomic, chemical and systemic functional information (Kanehisa et al., 2008). The enrichment results were visualized by withusing the “ggplot2” package (ggplot2, RRID:SCR\_014601) (<https://cran.r-project.org/web/packages/ggplot2/index.html>).



## 2.4 Construction of the prognostic signature

Using TCGA as the training set, univariate Cox proportional hazards regression analysis was performed on DE-ZNFs to screen for the genes that were significantly associated with overall survival (OS) outcomes in the TCGA-PAAD training set ( $p < 0.01$ ). Then, patients were divided into high and low expression groups according to expressions of DE-ZNFs from univariate Cox analysis for KM survival analysis to obtain the DE-ZNF with significant different survival rate between the 2 expression groups ( $p < 0.01$ ). These DE-ZNFs were subjected to multivariate Cox regression analyses to identify suitable ZNF-related genes to construct the model. Model genes were screened to calculate the risk scores. A prognostic risk score was calculated for each patient using the formula: risk score =  $\beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n$ , whereby  $\beta$  represents the coefficient,  $X$  represents prognostic gene expressions and  $n$  represents the number of genes. The median risk score was used as the cut-off value to divide the TCGA-PAAD patients into the high and low-risk group. Then, the K-M survival curve was constructed after which, the log-rank test was used to assess survival differences between the risk groups. Sensitivity and specificity of the prognostic model were assessed by ROC analysis and AUC values indicated discrimination. Effectiveness of the prognostic model was validated using the ICGC and GSE63452 datasets.

## 2.5 Survival and risk score analyses

Stratified analysis was performed to establish the correlations between high and low risk groups and survival outcomes in patients with different clinico-pathological characteristics (age  $>65$ , age  $\leq 65$ , female, male, M0, T3-T4, stage I-stage II, stage III-stage IV; G1/G2, G3/G4; race-white). To establish the correlations between clinico-pathological characteristics and risk score, the 82 samples with clinical information (stage, age, gender, grade, race and TMN) in the in TCGA-PAAD training set were extracted and compared between subgroups in each clinico-pathological characteristics. The Wilcoxon test was used for comparisons between groups while the Kruskal-Wallis test was used for comparisons among groups.

## 2.6 Assessment of independent prognostic value

Univariate and multivariate Cox regression analyses were conducted to establish whether the ZNF-related risk score can be used as an independent predictor of OS for PAAD patients. Stage, age, gender, grade, race, T stage, M stage, N stage and risk score were used as the covariates. Clinical factors with  $p < 0.05$  after the two cox analyses were considered to be independent prognostic factors that were used to establish the prognostic model. A prognostic nomogram for assessing the 1-, 3- or 5- year survival probability for PAAD patients was established using the “rms” package (RMS, RRID:SCR\_007415) (<http://www.rms.org.uk/>) (Kandimalla et al., 2020). The C-index and calibration curves of the nomogram were used to calculate the discrimination and calibration between the nomogram predicted value and the true survival.

## 2.7 Analysis of the ZNF gene family signature

To investigate the biological processes that are relevant to the ZNF gene family, first, we determined the correlations between all ZNF family genes and risk scores (Pearson  $|R| > 0.4$ ). The “corr” package was used for correlation network construction of the obtained genes, while the “clusterProfiler” package was used for GO and KEGG function enrichment analyses of the correlation genes. To establish the differences in immune cell infiltrations, the proportions of 22 immune cell types in the high- and low-risk groups were calculated using the CIBERSORT algorithm (Chen et al., 2018). The “ggplot2” package was used to draw violin diagrams to present the comparison results. To establish the risk score-associated inflammatory activities, the “correlogram” package was used to investigate the correlations between 7 metagenic clusters (HCK, IgG, Interferon, LCK, MHC-I, MHC-II, and STAT1) and risk scores. Relationships between ZNF-related prognostic genes and immunotherapeutic responses were determined by calculating the differences in tumor mutational load (TMB), neoantigen number, clonal neoantigen number and subclonal neoantigen number between the high and low-risk groups.

## 2.8 Regulatory mechanisms of the prognostic genes

Correlation coefficients between prognostic factor expression levels and their methylation levels were determined using the Pearson’s correlations method. Differential analysis was performed on 178 PAAD samples and 4 normal samples using the “limma” package to obtain differentially expressed miRNAs and lncRNAs. Combined with expression trends of prognostic genes, the competing endogenous RNA (ceRNA) regulatory network was constructed based on the lncRNA-miRNA-mRNA regulatory mechanism.

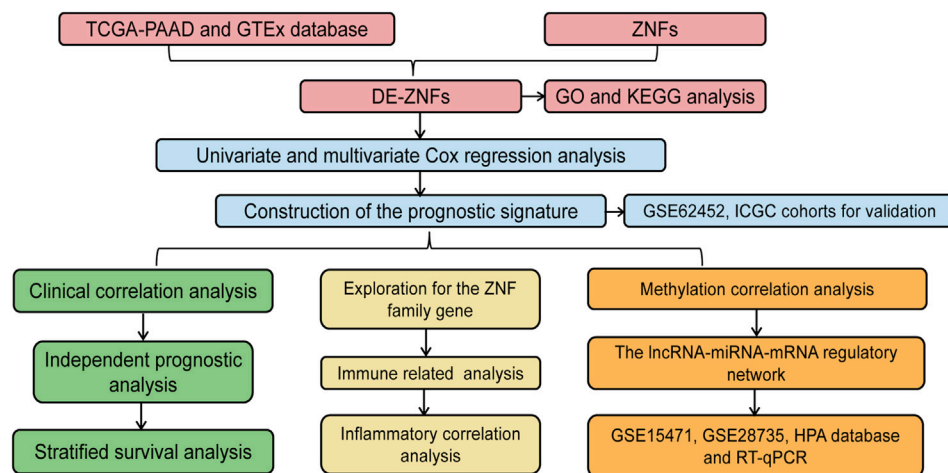
## 2.9 Validation of prognostic gene expression

In order to verify the expression of the prognostic genes, the TCGA-PAAD cohorts were analyzed and compared with the normal individuals both in the GTEx (with the normalization tool of RLE from DESeq2 R package to obtain normalized count data) and ANTE datasets by the wilcox.test as well as GSE28735 and GSE15471. Immunohistochemical results of prognostic genes in PAAD tissues were searched using Human Protein Atlas (HPA,RRID:SCR\_006710) (HPA: <https://www.proteinatlas.org/>).

## 2.10 Cell RT-qPCR validation

Four strains of pancreatic cancer cells were cultured to establish a group of normal pancreatic epithelial cells as the control group for cytological verification of model genes. Cells were cultured in 10% FBS complete medium to enter the log phase. Then, RNA extraction was performed using a kit (Tiangen, cat#DP430). cDNA synthesis from the extracted RNA was performed using the iScript™ cDNA Synthesis Kit (BIO-RAD, cat#1708891). Cell RT-qPCR validation





**FIGURE 1**  
Workflow diagram of this paper.

amplification was performed in a 10 ul system using the iTaq™ universal SYBR® Green SupermixRNA (BIO-RAD, cat#1725121). The experiments were conducted in triplicates, and  $p < 0.05$  was set as the threshold for statistical significance (Supplementary Figure S2). The PANC-1, RRID: KCB 200809YJ, BxPC-3, RRID: KCB 200428YJ, SW 1990, RRID: KCB 2012113YJ cells, were acquired from the Kunming Institute of Zoology, Chinese Academy of Sciences. The ASPC-1, RRID: TCHu 8, cells were procured from the cell bank of the Chinese Academy of Sciences while. HPDE6-C7, RRID: BFN60807571 cells were obtained from, Qingqi (Shanghai) Biotechnology Development Co. Ltd.

### 3 Results

#### 3.1 Identification of DE-ZNFs and functional analysis in PAAD

The workflow for this study is shown in Figure 1. A total of 407 DE-ZNFs (150 were upregulated and 257 were downregulated) were identified between the PAAD and normal control tissue samples (Figures 2A, B). The 407 DE-ZNFs were found to be enriched in 226 BPs, 10 CCs, 61 MFs, and 18 KEGG signaling pathways. These included biological processes such as protein autoubiquitination, intracellular receptor signaling pathway, protein polyubiquitination (Figure 2C), and signaling pathways such as herpes simplex virus 1 infection, Th17 cell differentiation, and NF-kappa signaling pathway (Figure 2D).

#### 3.2 Construction of the prognostic model for PAAD

Univariate Cox regression analysis revealed 36 DE-ZNFs that were significantly associated with OS (Supplementary Figure S3). The significantly expressed genes were subjected to multivariate Cox regression analysis to construct the prognostic model. The

forest map was used for visualization (Figure 3A). The prognostic model exhibited the best performance when 10 DE-ZNFs were included. The risk score for each sample was calculated based on expression levels of the 10 prognostic genes. Risk score =  $ZNF185 * 0.340812 + DEF8 * -0.91561 + ZMAT1 * -0.77978 + PRKCI * 0.6138 + SP110 * 0.70712 + U2AF1L4 * -0.57379 + RTP4 * 0.351366 + CXXC1 * 1.352264 + RMND5B * -0.96994 + SERTAD2 * 0.373257$ . Based on the median risk score, PAAD samples were divided into high and low-risk groups. The Kaplan–Meier curve revealed that samples in the high-risk group exhibited worse OS outcomes than those in the low-risk group (Figure 3B). The risk curve and scatter plot were generated to show the risk score and survival status for each PAAD sample. The risk coefficient and mortality in the high-risk group were higher than those in the low-risk group (Figure 3C). A heat map of the 10 prognostic gene expression profiles in PAAD samples revealed that DEF8, RMND5B, CXXC1, ZMAT1, and U2AF1L4 were highly expressed in the low-risk group, while RTP4, ZNF185, PRKCI, SERTAD2 and SP110 were highly expressed in the high-risk group (Figure 3D). The ROC curves were plotted at time nodes of 1, 3, and 5 years. The AUCs of the ROC curves were all greater than 0.7, indicating a good efficacy of the prognostic model (Figure 3E). Validation of the prognostic model was performed using the GSE62452 and ICGC datasets, and the results were comparable to those of the training set (Supplementary Figure S4).

#### 3.3 Risk score performance

After stratifying the clinical characteristics, there were significant differences in risk scores between the high and low risk groups in Age>65, Age≤65, female, male; M0, T3-T4, stage I-stage II, stage III-stage IV, G1/G2, G3/G4 and race-white (Figure 4A). Correlation analysis of clinico-pathological factors such as stage, age, gender, grade, race, and TMN with prognostic models for the 82 TCGA - PAAD samples revealed significant

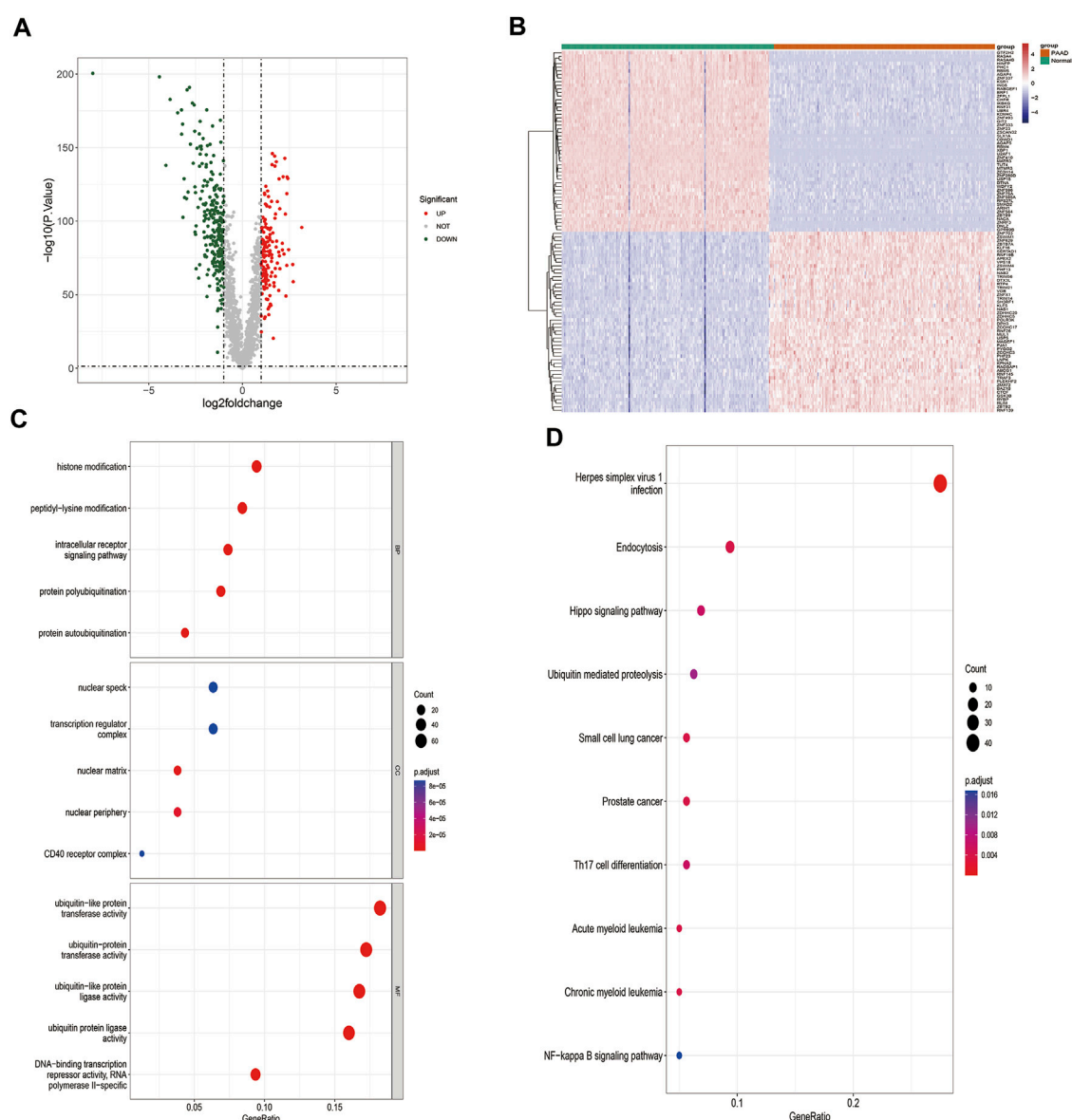


FIGURE 2

Identification and functional analysis of DE-ZNFs. (A) Volcano plot of differentially expressed genes in PAAD-vs-Normal comparison group (B). Heat map of differentially expressed genes, 150 upregulated, 257 downregulated,  $|\log_2(\text{fold change})| > 1$  and  $p < 0.05$  (C). Top 5 GO BP, CC, and MF enrichment results of DE-ZNFs (D). Top 10 enriched KEGG pathways of DE-ZNFs.

differences in risk scores only in grade [Figure 4B](#); [Supplementary Figure S5](#).

### 3.4 Independent prognostic factors in PAAD

Clinico-pathological factors, such as stage, age, gender, grade, race, TMN, and risk score were subjected to univariate and multivariate Cox regression analyses to establish the independent prognostic factors for PAAD. The risk score was found to be a significant prognostic factor in both Cox analyses ( $p \leq 0.05$ ), suggesting that the risk score was an independent prognostic factor for PAAD patients ([Figures 5A, B](#)).

### 3.5 Construction of the nomogram

The independent prognostic factors were used to establish a nomogram for prediction of 1-, 3- and 5-year OS outcomes in TCGA - PAAD cohorts. Ten prognostic genes, including DEF8, RMND5B, CXXC1, ZMAT1, U2AF1L4, RTP4, ZNF185, PRKCI, SERTAD2 and SP110 were included in the model ([Figure 6A](#)). The points of the factors indicate their corresponding contribution to the survival probability. The actual OS and nomogram-predicted OS outcomes at 1 and 3 years matched well, as shown by the calibration curves (Only 1 patient survived for 5 years, thus, the calibration curve for 5 years was not shown.) ([Figure 6B](#)). The AUCs at 1-, 3- and 5- years time nodes were 0.796, 0.725 and 0.826, respectively,

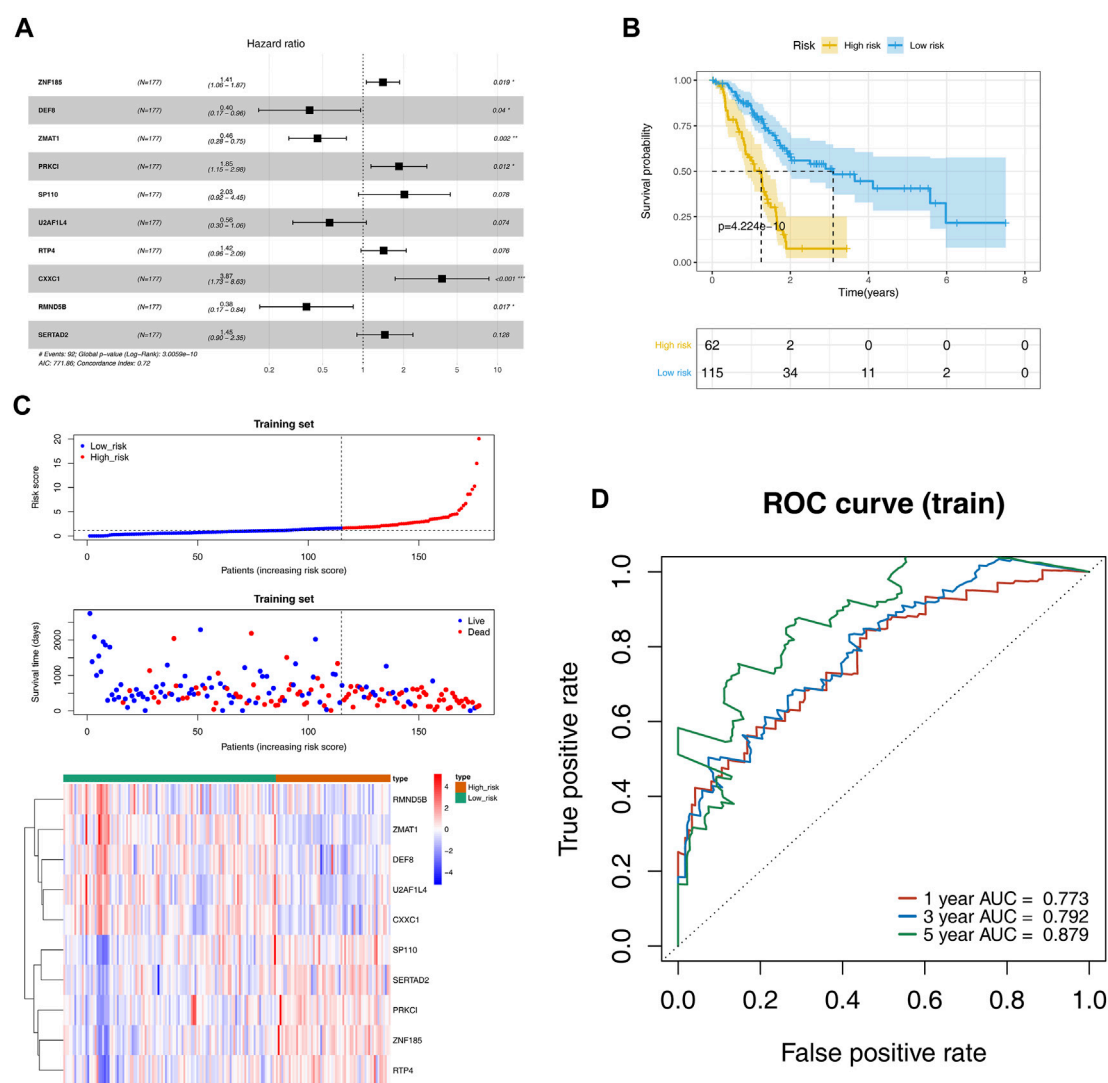


FIGURE 3

Evaluation and validation of the prognostic risk models. (A). Cox regression analysis forest plot shows that 10 DE-ZNFs were used as parameters to construct the best prognostic model (B). OS survival curves showing the survival probabilities of high and low risk groups (C). The scatter plot of the risk score and survival time as well as heatmap of gene expression for each PAAD sample in high and low risk groups, which were sorted from left to right according to the risk score (D). ROC curves of the prognostic model at the 1-, 3-, and 5-year time nodes.

revealing that the predictive ability of the nomogram was accurate (Figure 6C).

### 3.6 Biological processes of ZNF family gene signatures

The 115 ZNF family signatures that were closely associated with risk scores were identified by correlation analyses (Figure 7A). There were positive correlations between most of the ZNF family signatures and the risk scores (Figure 7B). The 115 ZNF family signatures were enriched in 56 BP, 28 CC, 16 MF, and 8 KEGG signaling pathways, which were significantly associated with epidermis development, protein processing, keratinocyte proliferation biological functions and protein digestion and

absorption, insulin secretion, as well as ECM-receptor interaction signaling pathways (Figures 7C, D).

### 3.7 Immune cell infiltration landscape

The proportions of 7 immune cells (naïve B cells, memory B cells, plasma cells, resting NK cells, monocytes, activated dendritic cells and neutrophils) differed between the risk groups ( $p < 0.05$ ; Figures 8A, B). These results reveal a dysregulated tumor immune microenvironment. Correlation analysis of seven meta genic clusters (HCK, IgG, interferons, LCK, MHC-I, MHC-II, and STAT1) with risk scores showed that the risk score was negatively correlated with IgG and LCK and weakly positively correlated with HCK, interferons, MHC-I, MHC-II, and STAT1 (Figure 8C).

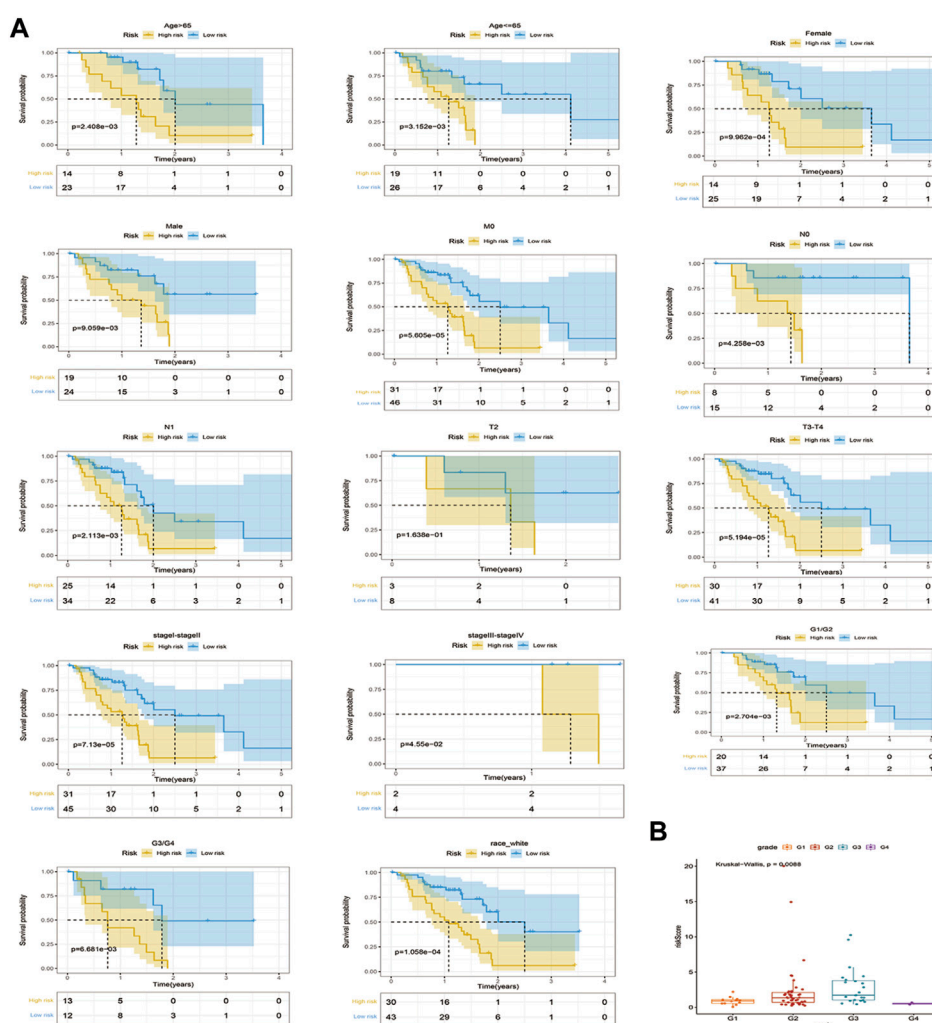


FIGURE 4

Stratified survival analysis of risk scores and correlation analysis of clinicopathological characteristics. (A). K-M curves of PAAD patients in high and low risk groups of Age > 65, Age ≤ 65, female, male, M0, T2, T3-T4, stageII-stageIII, stageIII-stageIV, Race white, G1/G2, and G3/G4 (B). Correlations between stages of grade and risk models.

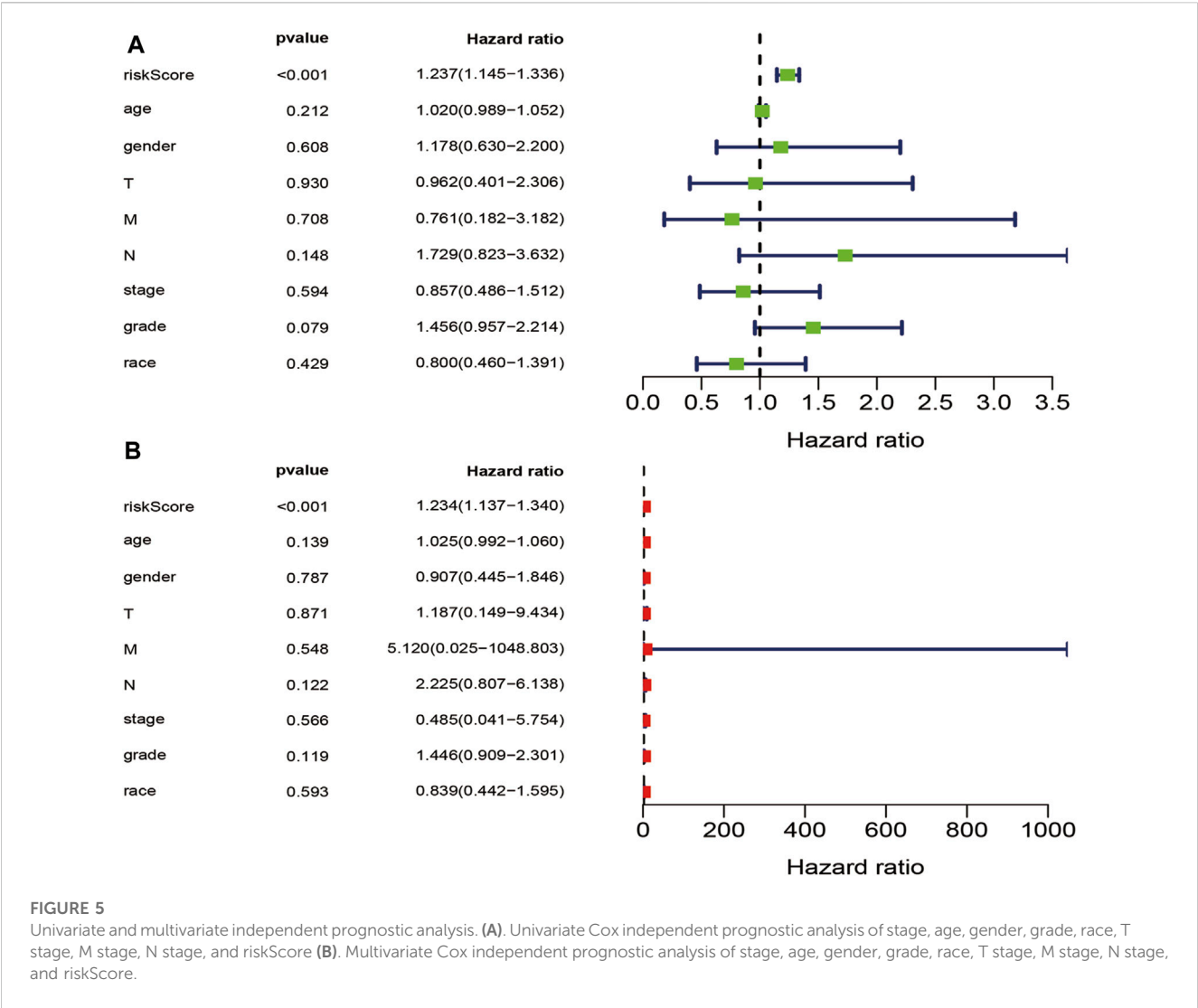
### 3.8 Relationships between immunotherapy and ZNF family gene signatures

Relationships between ZNF family signatures and immunotherapeutic responses were analyzed. The number of TMB was significantly high in the low risk group, compared to the high risk groups ( $p < 0.05$ ; Figure 9A). Exclusion and PD-L1 were significantly differentially expressed between the high and low risk groups ( $p < 0.05$ ), while TIDE was not significantly differentially expressed between the groups (Figure 9B).

### 3.9 Regulatory mechanisms of prognostic genes

Analysis of correlations between prognostic genes and their methylation levels revealed significant negative correlations

between RTP4 and SP110 and their methylation levels (Figures 10A, B; Supplementary Figure S6). There were 66 differentially expressed miRNAs between the 178 PAAD samples and 4 normal samples, of which 14 were upregulated while, 52 were downregulated (Figure 10C). Moreover, there were; and 199 differentially expressed lncRNAs, of which 49 were upregulated while 150 were downregulated (Figure 10D). Among the 10 prognostic genes, ZNF185, PRKCI, RTP4, and SERTAD2 were upregulated while DEF8, ZMAT1, SP110, U2AF1L4, CXXC1, and RMND5B were downregulated. We sequentially extracted three expression matrices from mRNA/miRNA/lncRNA. The expression data for 10 prognostic genes (up-4, down-6), differentially expressed miRNAs (up-14, down-52) and differentially expressed lncRNA (up-49, down-150) were extracted. Finally, based on potential regulatory relationships in mRNA/miRNA/lncRNA, we constructed a ceRNA network consisting of 5 prognostic, 7 miRNAs and 35 lncRNAs (Figure 10E).



3.10 Expressions of the prognostic genes

The expression levels of ten prognostic genes (ZNF185, PRKCI, RTP4, SERTAD2, DEF8, ZMAT1, SP110, U2AF1L4, CXXC1, and RMND5B) were examined in the datasets TCGA, GSE28735, and GSE15471. The results between the TCGA-PAAD and GTEx-normal data after normalization exhibited that among these genes expression was significantly different between the two groups (Figure 11A). Similarly, the expressions of eight risk genes between TCGA-PAAD and ANTE-normal cohorts had apparent differences except for DEF8 and RMND5B (Figure 11B). However, it was noticed that the expression trends of SERTAD2 and U2AF1L4 were opposite in two cohorts above. For the two GEO datasets, it was showed that the expression patterns of various prognostic genes were similar to those in the TCGA cohort except for SERTAD2 and U2AF1L4 as well. Furthermore, it was noteworthy that the SP110 expression was upregulated in PAAD samples compared to controls, while it expressed higher in GTEx-normal and ANTE-normal samples (Figures 11C, D).

Analysis of protein levels of prognostic genes in PAAD and normal tissues in the HPA database further revealed that SERTAD2

(in Cytoplasmic, membranous) proteins were expressed at significantly higher levels in tumor tissues than in normal controls, confirming the expression results in (Figures 11A, D rather than that in (Figures 11B,C; While the expression of U2AF1L4 proteins (in Nuclear) was not significantly different (Figure 12), and no immunohistochemical result was available for SP110 protein in the HPA database, indicating that the expression patterns of U2AF1L4 and SP110 remained to be further studied. Besides the expression levels of PRKCI (in Cytoplasmic, membranous), ZMAT1 (in Cytoplasmic, membranous), CXXC1 (in Nuclear), DEF8 (in Cytoplasmic, membranous), RTP4 (in Cytoplasmic, membranous), RMND5B (in Nuclear), and ZNF185 were confirmed and in accordance with the results of public datasets.

3.11 PADD cell validation

The HPDE6-C7 cell line was used as the control group, and pancreatic carcinoma *in situ* and metastatic cancer cell lines were used as the experimental group to investigated the expression of



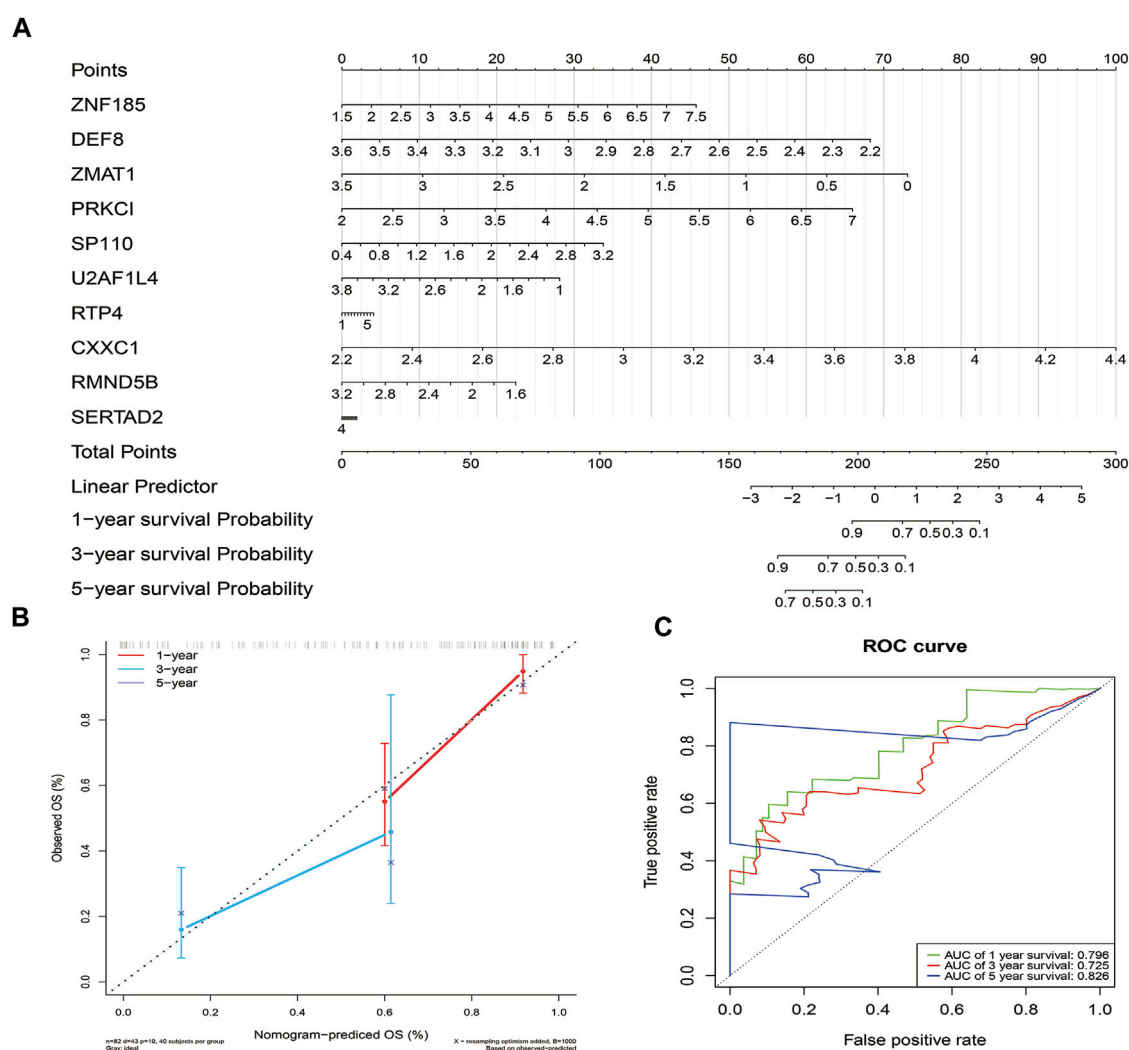


FIGURE 6

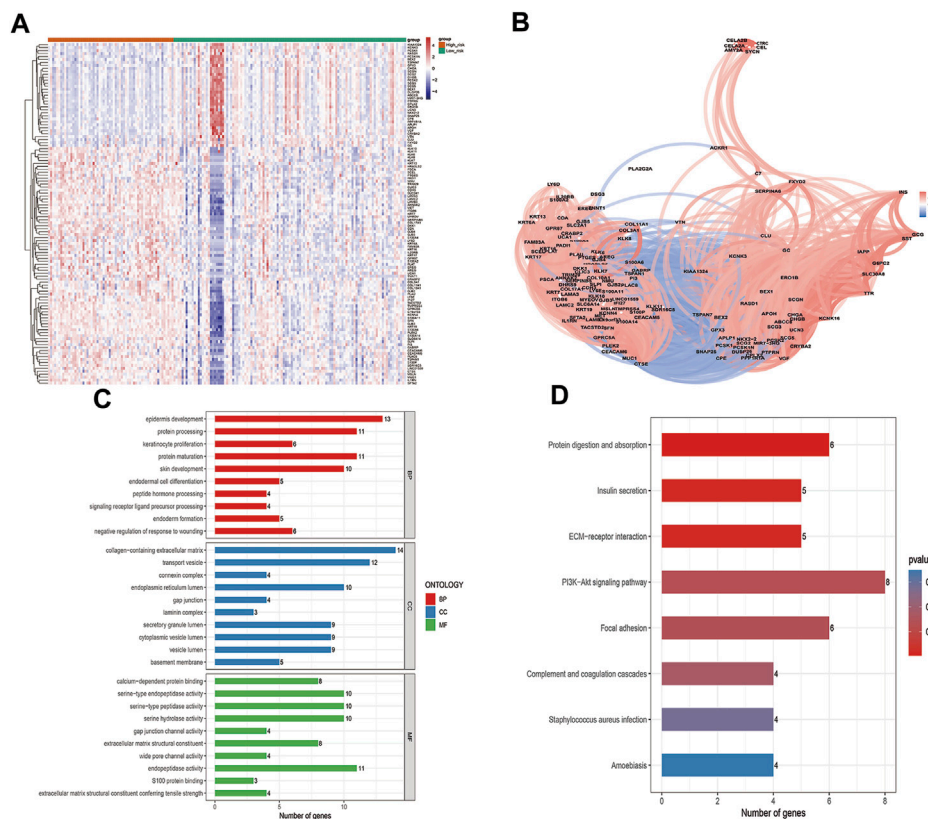
Construction and validation of the nomogram. (A) The nomogram based on the 10 prognostic genes of the risk score (B). Calibration curve of the nomogram. The diagonal dotted line slope is 1. (C) ROC curves of the nomogram.

10 risk model genes, where the expression levels in the control group were basically the same. It could be seen that RTP4, SERTAD2, and SP110 were all highly expressed in the four pancreatic cancer cell lines, which were in concordance with publicly available GEO data, suggesting that they are closely related to the occurrence and metastasis of the pancreas at the cellular level. The expression of DEF8, PRKCI, U2AF1L4 were distinctly higher in PANC-1, BxPC-3 and Aspc-1 metastatic cell lines. Moreover, the expression levels of the ZNF185, CXXC1, RMND5B, and ZMAT1 genes changed significantly with different cell lines (Figure 13).

## 4 Discussions

Pancreatic cancer is a highly invasive malignant tumor, that invades many organs, including the stomach, common bile duct, duodenum, superior mesenteric vein, and celiac artery in the terminal stages. Extensive lymphatic metastasis is often accompanied by nerve

sheath metastasis, which results in extremely high fatality rates. Pancreatic cancer development involves complex biological processes. It is closely associated with cell phenotypes that are related to autophagy, histone methylation, hypoxia tolerance, and apoptosis among others and is crucial to improve the treatment plans and prognostic outcomes of PAAD (Gupta et al., 2021; Liu et al., 2021; Wang Y. et al., 2021). Studies on correlations of biological targets for early pancreatic cancer diagnosis are still in early stages. In this study, survival and RNA-seq data for PAAD patients from TCGA and GSE databases were downloaded, and the differentially expressed genes and ZNF data obtained and analyzed to construct a prognostic risk model. The prognostic risk model including 10 independent risk factors, such as ZMAT1, PRKCI, ZNF185, SERTAD2, CXXC1, U2AF1L4, RTP4, SP110, and DEF8, was established and validated in GEO and ANTE datasets. The q-PCR analysis showed that the Panc-1 cell line, derived from human ductal cell carcinoma and the pancreatic adenocarcinoma Bxpc-3 basically showed a higher expression level, while the expression in the metastatic carcinoma

**FIGURE 7**

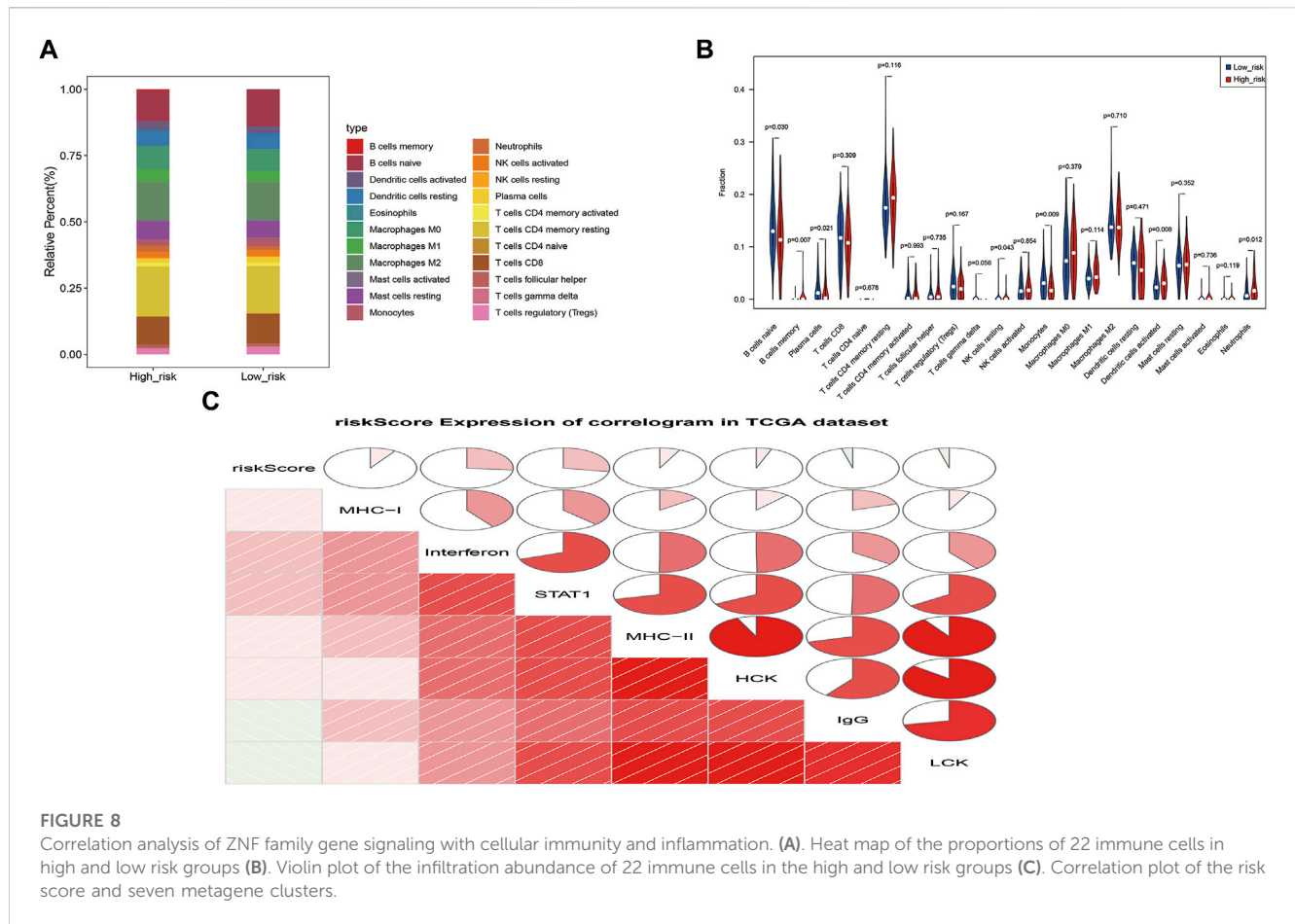
Biological processes involved in ZNF family gene signaling. (A). Heatmap of 115 genes that were closely related to the risk score (B). Correlation network of 115 genes that were closely related to the risk score (C). GO enrichment analysis results of 115 genes that were closely related to the risk score, top 10 BP, CC and MF enriched terms (D). Eight enriched KEGG pathways in which the 115 genes that were closely related to risk score were enriched.

cells of pancreatic cancer was different. It was found that RTP4, SERTAD2, and SP110 were significantly expressed in all pancreatic cancer cells, including those of pancreatic ductal carcinoma, pancreatic adenocarcinoma and metastatic carcinoma, providing a reliable basis for subsequent elucidation of pancreatic cancer pathogenesis.

It has been reported that ZMAT1 induces p21 expressions via the SIRT3-p53 signaling pathway to inhibit pancreatic cancer cell proliferation and induce S/G2 cell cycle arrest (Ma et al., 2022). PRKCI-mediated ablation of pancreatic acinar cells resulted in p62 aggregation and loss of autophagic vesicles. Pancreatic PRKCI knockdown significantly increased pancreatic immune cell infiltrations acinar cell DNA damage, apoptosis, and promoted KrasG12D mediated pancreatic intraepithelial neoplasia, promoting tumor growth (Inman et al., 2022). Pancreatic cancer chemotherapy tolerance is associated with poor survival and prognostic outcomes of patients. Pancreatic cancer HEAT repeat-containing protein 1 (HEATR1) deficiency can affect pancreatic cancer chemotherapy sensitization via the upregulation of ZNF185 (Fang Y. et al., 2020). In the prognostic risk model of this study, ZMAT1 is a low-risk gene for pancreatic cancer, while PRKCI and ZNF185 are high-risk genes for pancreatic cancer.

A part from the above three genes, the other independent risk factors have not been reported to be pancreatic cancer-related.

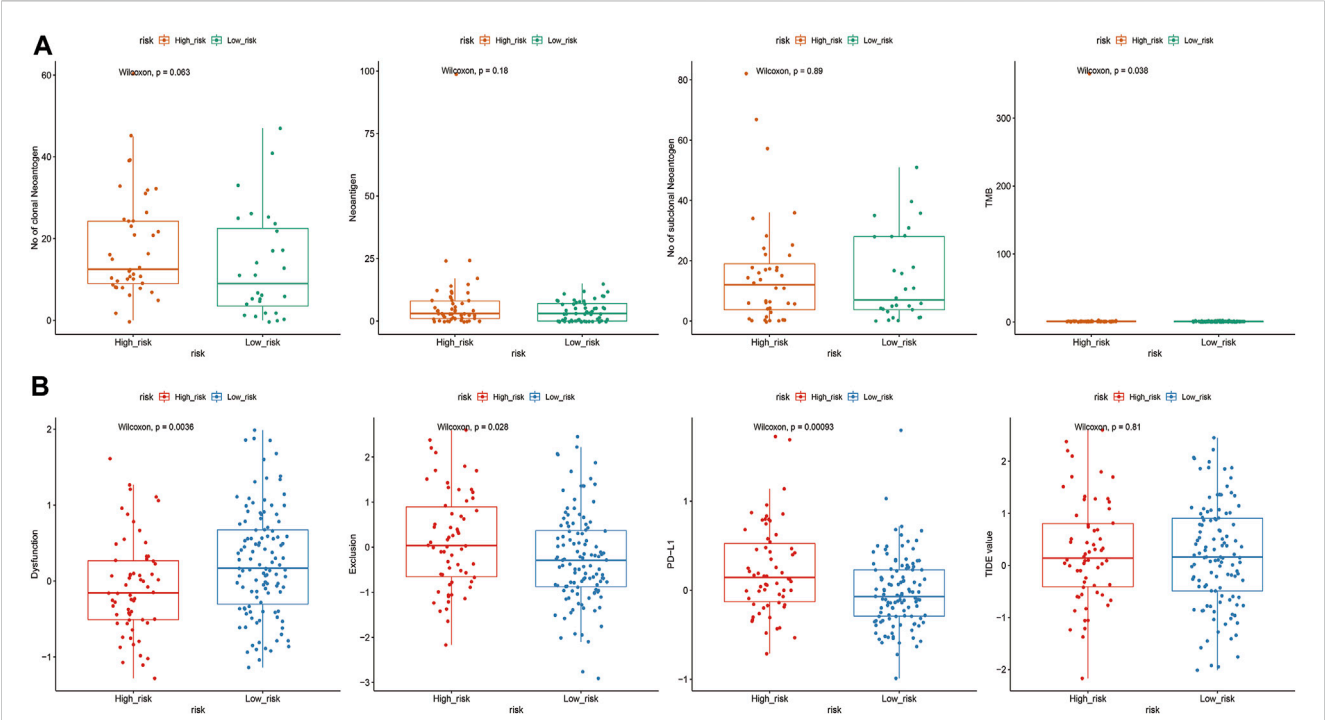
However, basic experiments and high-throughput data analysis of other solid tumors confirmed that the other risk model genes are involved in tumor pathogenesis. This evidence provides the author with more reliable information and enthusiasm for exploration. Elucidation of the importance of zinc finger proteins in pancreatic cancer may inform on novel approaches for identification of treatment targets and overcoming chemotherapeutic resistance among others. As transcriptional regulators of the largest mammalian system, zinc finger proteins are involved in regulation of tumor mechanisms via multiple pathways. The central member of the family of TLS polymerases (REV1) upregulates SERTAD2 expressions in a Rad18-dependent manner, thereby enhancing lung cancer development (Chen et al., 2022). Wei Wang et al. performed whole-genome sequencing of invasive and *in situ* patients with cutaneous squamous cell carcinoma and found that DEF8 was highly enriched in invasive cutaneous squamous cell carcinoma (Wang et al., 2018). Through bioinformatics and meta-analysis, Shenying Fang et al. identified 3 melanoma risk-related genes, including DEF8, among 330 unique melanoma genes (Fang S. et al., 2020). In bioinformatics studies of other related tumors, it was found that U2AF1L4 a prognostic factor for renal cancer, especially renal clear cell carcinoma (Wang B. et al., 2021). Kuo-Wei Chang et al. performed whole-exome sequencing of a p53-deficient murine



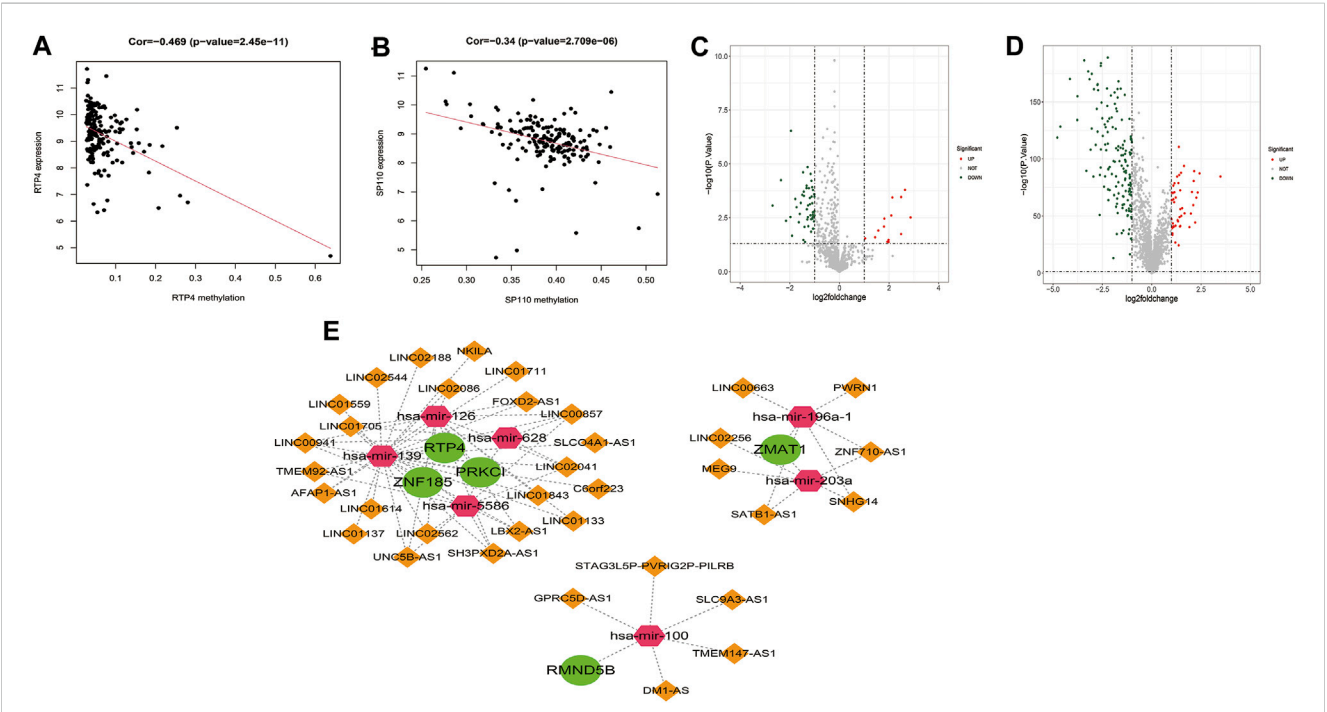
oral cancer cell line and found that SP110 exhibited comparable mutations to those in chemical carcinogenesis-related tongue cancer cell lines in the human TCGA database (Chang et al., 2020).

We intersected the PAAD-related differentially expressed genes in the TCGA database with DE-ZNF to obtain 407 ZNF-related functional genes. The GO and KEGG enrichment analysis revealed that the functional genes were enriched in 226 BP, 10 CC, 61 MF and 18 KEGG signaling pathways. The main enriched related biological signals and pathways were: protein autoubiquitination, intracellular receptor signaling pathway, Th17 cell differentiation, HSV1 infection, and NF- $\kappa$ B signaling pathway. These results show that the functional genes are involved in tumor proliferation, apoptosis, cycle, metastasis, and tumor immunity. Correlation analysis of the ZNF gene family and PAAD showed that the DE-ZNF functional gene risk score was significantly correlated from various tumor immune infiltrating cells and inflammatory cells. In the high-risk group, NK cells, dendritic cells, neutrophils, STAT family, MHC-I, and MHC-II were positively correlated with PAAD pathogenesis, and the immunotherapy target PDL-1 was also significantly different. The  $\alpha$ -Enolase (ENO1) specific Th17 cells have specific anticancer effects in PAAD patients, and compared with healthy mucosa, the abundance of Th17 in peripheral blood of tumor patients is low, while the proportion of FOXP3+Tregs is high. The FOXP3+ROR $\gamma$ t + Tregs secrete both Th17 and Th2-related pro-inflammatory cytokines, corresponding to elevated Th17- and Th2-mediated

immune responses in PDAC patients (Amedei et al., 2013; Chellappa et al., 2016). In solid malignant tumors, such as pancreatic cancer, NF- $\kappa$ B is the main regulatory signaling pathway that promotes malignancy and chemoresistance. Expressions of GPR87 are significantly upregulated in pancreatic cancer and clinical tissues, and activation of the NF- $\kappa$ B signaling pathway promotes pancreatic cancer metastasis (Wang et al., 2017). In enrichment analysis, herpes simplex virus type 1 (HSV-1) infection was specifically proposed. *In vivo* and *in vitro* studies confirmed that pancreatic cancer cells are highly sensitive to HSV1 virus replication, which can be evaluated as an effective treatment scheme. A study on oral squamous cell carcinoma showed that the co-expression gene of zinc finger protein 71 (ZNF71) was mainly enriched in the HSV1 infection pathway (Gayral et al., 2015; Jiang et al., 2022). 1-Methyl-D-tryptophan (1-MT) has been shown to significantly reduces the activities of cancer stem cells. A high abundance of CD133 + and PDL-1 expressions in the tumor immune microenvironment, suppresses NF- $\kappa$ B and Wnt/ $\beta$ -catenin signaling pathways in tumors, and decreases the abundance of intra-tumor Treg cells (Alahdal et al., 2018). Partially mature dendritic cells in peripheral blood of PAAD patients, significantly enhanced the expressions of CD83, CD40, B7H3, PDL-1, CCR6 and CCR7, decreased the expressions of ICOSL and DCIR, and improved the survival and prognostic outcomes of patients (Tjomsland et al., 2010). The 10 risk models that we analyzed have so far not been reported to be related to tumor



**FIGURE 9**  
Correlation analysis of ZNF family gene risk signals and immunotherapy. (A). Differences in abundance of TMB, neoantigens, cloned neoantigens and subcloned neoantigens between high and low risk groups (B). Expressions of TIDE, Dysfunction, Exclusion and PD-L1 in high and low risk groups.



**FIGURE 10**  
Regulatory mechanisms of risk model genes. (A). Scatter plot of the correlation between risk model genes RTP4 and their methylation levels (B). Scatter plot of the correlation between risk model genes SP110 and their methylation levels. (C). Volcano plot of differentially expressed miRNAs in PAAD-vs-Normal comparison group (D). Volcano plot of differentially expressed lncRNAs in PAAD-vs-Normal comparison group (E). The ceRNA regulatory network with 5 risk model genes, 7 miRNAs and 35 lncRNAs. The green circles represent the risk model genes, the pink hexagons represent miRNAs, and the orange diamonds represent lncRNAs.



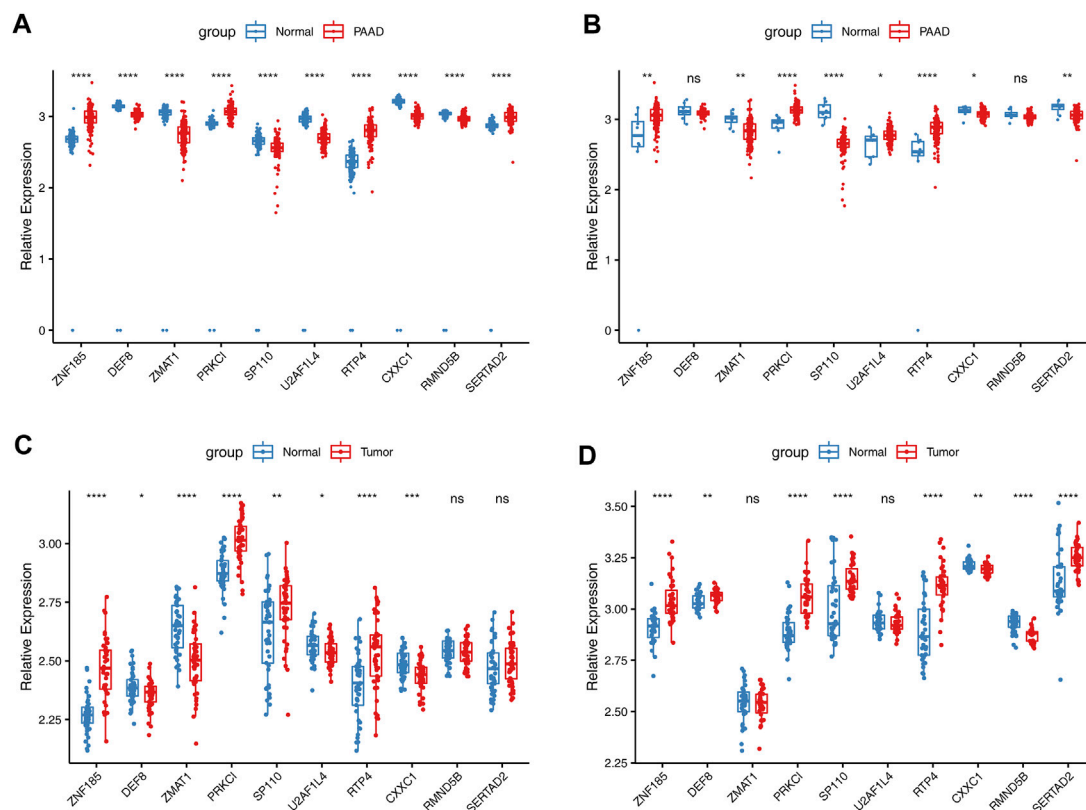


FIGURE 11

Validation of expressions of risk model genes in TCGA and GEO datasets. (A). The expressions of 10 risk genes between PAAD TCGA-PAAD and normal samples GTEx-normal cohorts in TCGA (B). The expressions of 10 risk genes between TCGA-PAAD and ANTE-normal cohorts. The expressions of 10 risk genes in GSE28735 (C), and GSE15471 (D) datasets.

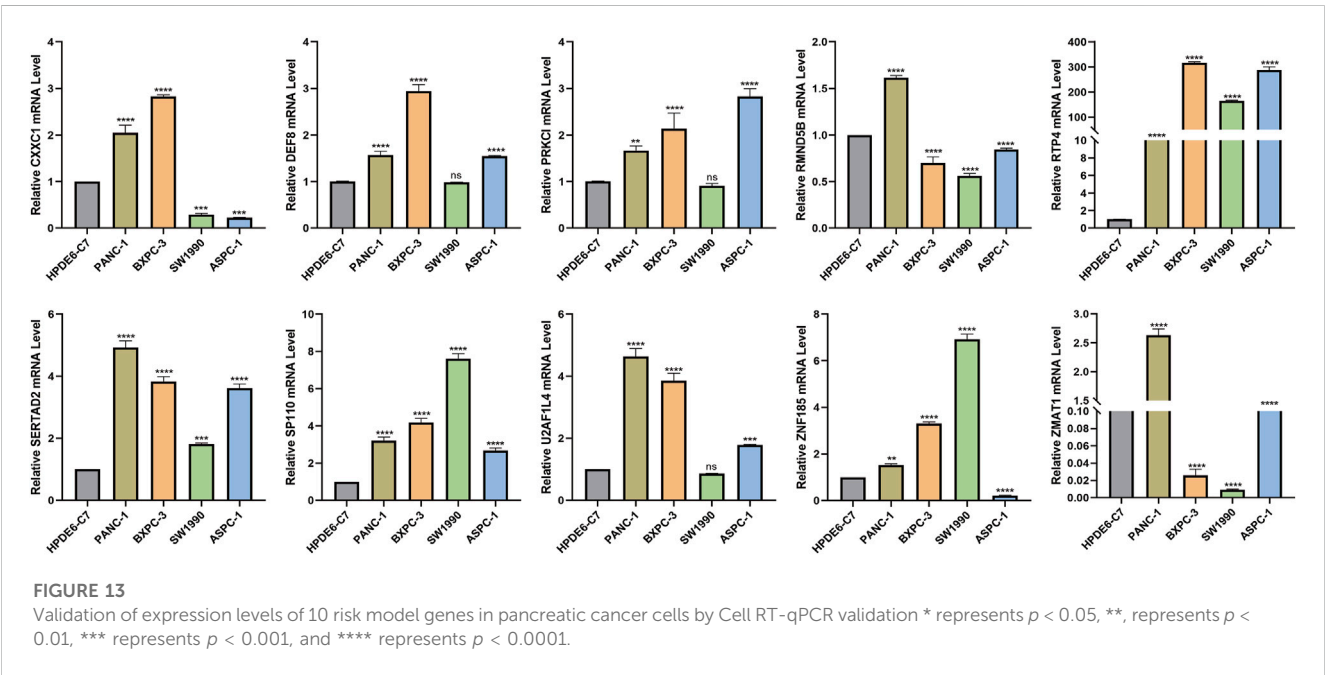
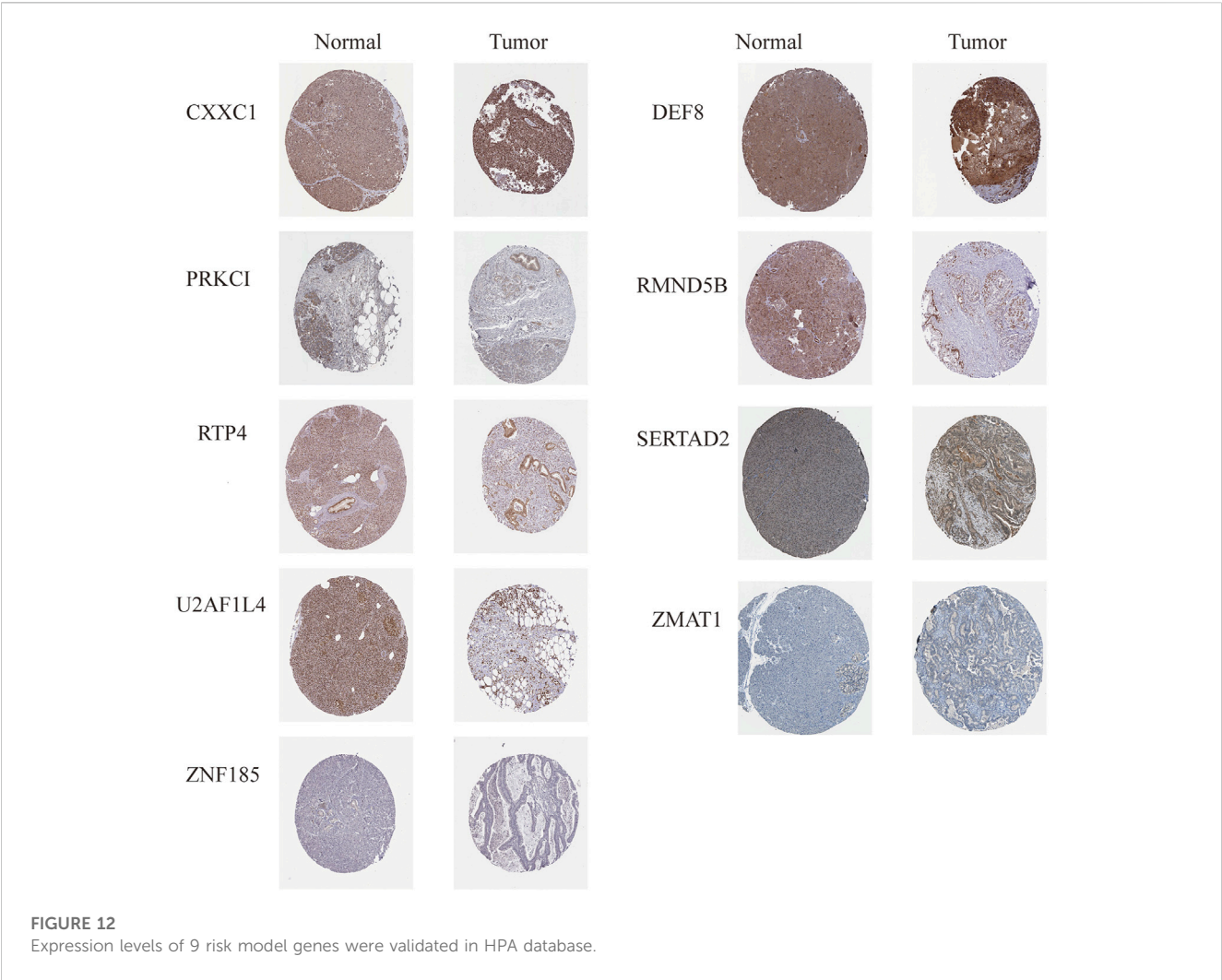
immunity and NF- $\kappa$ B signaling pathway, which will provide new insights into studies on pancreatic cancer cells and tissue variations as well as functions. In summary, studies on pancreatic cancer tumor immunity and other related biological activities that are important in development of optimal immunotherapeutic approaches are in the initial stage.

Tumor epigenetics is regulated by protein methylation. Studies on tumor epigenetics are key in gene mutation research and targeted therapy. Uncontrolled methylation leads to changes in chromatin structure, and increased protein synthesis mediates infinite pancreatic cancer progression (Wang S. S. et al., 2021). Mishra NK et al. performed differential expression analyses on PAAD tissue data and normal samples from the TCGA database, and found that most differential CpG island (CpG) sites were hypermethylated in PAAD, and promoter methylation as well as 5'UTR were associated with gene expressions, and most of them were negatively correlated, while gene body and 3'UTR-related methylation were positively correlated (Mishra and Guda, 2017). The Ras-mediated cancers utilize the METTL13-eEF1AK55me2 dimethylation axis to increase the translational output, and enhance protein synthesis to promote pancreatic cancer progression. Human Arginine Methyltransferase 1 (PRMT1) overexpression enhances HSP70 binding and BCL2 mRNA stability via elements of the 3'UTR. Increased HSP arginine methylation of HSP70 regulates cell malignancy and is involved in pancreatic cancer drug resistance (Liu et al., 2019; Wang

et al., 2020). These findings are in tandem with ours. Knockout of CXCC1, also known as CxxC Finger Protein-1 (Cfp1), affects cytosine methylation and regulation of histone H3K4 on chromatin structure and function. A DNA methyltransferase (DNMT) inhibitor disrupted the DNMT1/CFP1 complex and enhanced mouse glioma chemosensitivity (Cheray et al., 2014). We also found that RTP4 is closely associated with methylation. In previous studies, RTP4 was shown to regulate prostate cancer via methylation and is regarded as a precise target, whose expression levels can be used to independently predict the prognosis of HER2(+) breast cancer (Laurin et al., 2013; Xu et al., 2019).

The carboxy terminus of LisH (CTLH) complex representing RMND5B can promote tumor maintenance and rapid proliferation under extreme conditions and is associated with EMT and, wnt/ $\beta$ -catenin pathway. Overexpressed RMND5B has been shown to inhibit NKX3.1 factor in prostate cancer to suppress its ubiquitination and nuclear levels so as to promote tumor proliferation (Huffman et al., 2019). In eukaryotic cells, including tumor cells, protein degradation is mainly achieved via the ubiquitin-protease degradation system, and ubiquitin ligase E3 is the key dominant factor in this degradation system. During tumor progression, ubiquitin ligase E3 inhibits gene induction, suppresses the expression regulation function of the star tumor suppressor gene p53, and then mediates tumor occurrence and development through the cell cycle or apoptosis. RMND5B has E3 ligase





activities and its overexpression in tumors is critical for cancer cell therapy resistance (Tjomsland et al., 2010). In this study, RMND5B was found to be low risk in the risk model, and Cell RT-qPCR validation revealed that its levels were suppressed in multiple pancreatic cancer cells, implying that it may exist as a tumor suppressor gene in pancreatic cancer, which contradicts the findings from other studies. However, the specific regulatory factors have yet to be determined. Abnormalities of the ubiquitin-proteasome system are key in PAAD pathogenesis, and the ubiquitin-proteasome UCHL5 can promote tumor progression and dry expression depending on involvement of the ELK3 protein (Yang et al., 2022). These findings are in tandem with our enrichment analysis results.

Due to its early metastasis, difficult operation and low survival characteristics, pancreatic cancer is a “lethal” cancer. In this study, we identified the PAAD-related DE-ZNF functional genes, and conducted an in-depth analysis of the possible mechanisms of PAAD. We identified ten risk model genes that can be used as independent prognostic factors for PAAD. Epigenetic modifications include methylation, ubiquitination, tumor immune microenvironment, and ceRNA gene regulatory networks. Our findings provide a novel basis for in-depth assessment of immunotherapy and clinical diagnosis of pancreatic cancer.

Finally, it is worth noting that there are differences in gene expression among SERTAD2, U2AF1L4, SP110, etc., in the four online datasets, cell validation, and immunohistochemistry results. A search of relevant literature in the past decade found that SP110 is a special transcription factor of tumor involved in the carcinogenic regulation of breast cancer and ovarian cancer (Korakiti et al., 2020; Rooda et al., 2020). U2AF1L4 was reported to be involved in renal clear cell carcinoma, but there is a lack of corresponding mechanism research (Wang B. et al., 2021). SERTAD2 has been reported as an oncogene in pancreatic cancer (Zhang et al., 2022). This result is consistent with our cell verification, and believes that cell experiments are more reliable. The mechanism exploration of pancreatic cancer is a huge challenge for clinical research. The relevant data from the database provides research direction, but it still needs long-term exploration and large sample size research support to obtain accurate basic data.

## Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

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## Author contributions

Conceptualization:HZ; Methodology: TL; Formal analysis and investigation: LZ,D DT, WZ, LL, WJ; Writing–original draft preparation: RL, DT; Writing–review and editing: RL, LZ, DT. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fgene.2023.1089023/full#supplementary-material>

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