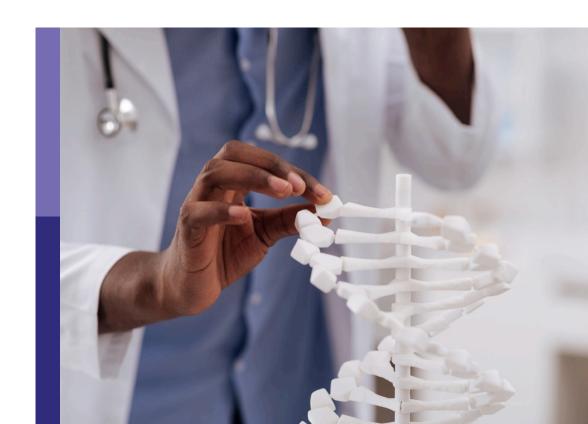
Recent advances in understanding the genetics of immunological disorders

Edited by

Che Kang Lim, Jinqiao Sun and Hassan Abolhassani

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Recent advances in understanding the genetics of immunological disorders

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Editorial: Recent advances in understanding the genetics of immunological disorders

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Editorial on the Research Topic

Recent advances in understanding the genetics of immunological disorders

Immunological disorders are conditions arising from immune system dysfunction, encompassing primary immunodeficiency syndromes, inborn errors of immunity, autoimmune diseases, asthma, allergies, lymphoproliferative and autoinflammatory syndromes. These disorders compromise the body's defense against pathogens and may cause exaggerated chronic inflammation responses and tissue damage. Owning clinical and genetic heterogeneity, immunological disorders exhibit a broad phenotypic spectrum, and distinct genotypes can produce overlapping clinical presentations. Additionally, the same mutation in immune-related genes within the same family can appear across multiple disorders, making accurate diagnosis and effective management complex and challenging.

In the past decade, remarkable advancements in genomics and sequencing technologies have revolutionized our understanding of candidate genes in immunological disorders. With new tools such as next-generation sequencing (NGS), allowing for in-depth genomic analysis, substantial progress has been made in identifying disease-causing genes associated with rare monogenic conditions, especially immunodeficiency syndromes (Vorsteveld et al., 2021; Conley and Casanova, 2014). These discoveries have yielded critical insights into the molecular mechanisms driving immune dysfunction, revealing how specific genetic mutations can impact immune pathways and trigger various immunological disorders. Importantly, the identification of these disease-causing genes has also paved the way for discovering novel biomarkers, which serve as valuable tools in clinical settings by enhancing diagnostic precision and aiding in the prediction of disease progression (Yazdanpanah and Rezaei, 2024; Peng and Kaviany, 2023). These biomarkers are instrumental in tailoring targeted therapeutic strategies, allowing for more effective and personalized treatment approaches. This genomic knowledge has not only enhanced our understanding of rare inborn errors of immunity but also laid the foundation for ongoing innovations in the diagnosis, management, and treatment of frequent complex immunological conditions. These findings shed insights into disease mechanisms and facilitated the identification of new biomarkers that can help in diagnosis, prognosis, and development of novel clinical therapeutic approaches.

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This Research Topic presents eleven groundbreaking articles exploring the complex molecular genetics of immunological diseases. This compilation provides a comprehensive analysis of recent advancements in identifying the genetic and molecular mechanisms of immune-related disorders, including autoimmune diseases, allergies, and primary immunodeficiencies. It emphasizes the growing role of genetic insights, shared biological pathways, and biomarkers in revolutionizing clinical care. These studies collectively highlight the transformative potential of integrating innovative diagnostic techniques and precision medicine into the management of common immune disorders with high public health burdens.

In this Research Topic, significant findings span a range of conditions in autoimmune diseases, showcasing the interplay of genetic heterogeneity and shared pathways. For systemic sclerosis (SSc), Hanson et al. validated HLA Class II associations while uncovering a novel HLA Class I haplotype, HLA-B44:03-HLA-C16:01, linked to disease heterogeneity. This discovery, alongside the genetic interplay between killer cell immunoglobulin-like receptors (KIRs) and HLA ligands, offers critical insights into SSc's molecular mechanisms. Similarly, in rheumatoid arthritis (RA), Wen et al. identified 82 shared risk genes with other autoimmune conditions, such as multiple sclerosis (MS) and type 1 diabetes (T1D). These findings highlight overlapping disease mechanisms and the potential for cross-disease therapeutic strategies. Additionally, Ou et al. highlighted TWIST1 gene as a key factor in ulcerative colitis (UC), while Chng et al. suggested that tear \$100A4 emerged as a biomarker for predicting thyroid eye disease (TED) in Graves' orbitopathy (GO). Fan et al. revealed that CLEC16A genetic variants, notably, the A allele of rs6498169 and the G allele of rs7200786, are associated with autoimmune diseases and also confer susceptibility to Parkinson's disease (PD) in Han Chinese. These findings emphasize the potential shared pathways between neurodegeneration and immune dysfunction. Finally, Yeo et al. reviewed articles on systemic lupus erythematosus (SLE) and discussed the implications of causal variants in both polygenic and monogenic forms of the disease. It suggests an age-based sequencing strategy to improve diagnostics and management, addressing genetic disparities and tailoring approaches to patient-specific factors.

Investigation in the field of allergy has also revealed important molecular mechanisms in the current Research Topic, particularly in allergic rhinitis (AR) and asthma. Sun et al. identified *ZNF667-AS1* as a key mediator in disease progression, driven by pollen-induced methylation and type 2 inflammatory pathways. The findings provide new avenues to mitigate disease progression in allergic disorders, reflecting the broader potential of genetic research to inform tailored treatments.

Some recent studies in the field of primary immunodeficiency or inborn errors of immunity featured the diagnostic utility of linking clinical phenotypes to underlying genetic defects. In common variable immunodeficiency (CVID), Cunningham-Rundles et al. examined a cohort of 405 patients and highlighted the effectiveness of phenotypeguided genetic diagnostics, particularly in cases involving autoinflammation or autoimmunity. This approach helps pinpoint the specific genetic mutations responsible for these predominantly antibody-deficient conditions, improving the accuracy of diagnoses, and paving the way for more targeted interventions.

Three case reports on rare disorders in the Research Topic further illustrate the importance of advanced genetic testing. Li et al.

reported a child with COPA syndrome presented with interstitial lung disease and neuromyelitis optica spectrum disorder (NMOSD), underscoring the utility of genomic sequencing in diagnosing rare phenotypes. Deng et al. presented a case involving severe combined immunodeficiency (SCID) caused by compound heterozygous mutations in the *DCLREIC* gene. Advanced genetic testing revealed a unique manifestation of rubella virus-induced cutaneous granulomas, which had not been previously associated with SCID. Additionally, Chen et al. identified novel variants in *TCRIG1* and *CLCN7* genes, contributing to a deeper understanding of genotype-phenotype correlations in osteopetrosis. These findings underscore the importance of further research into the genetic mechanisms and potential treatments for these complex immune-related disorders.

In conclusion, this Research Topic highlights the translational impact of genetic research on the diagnosis, treatment and prognosis estimation of immunological disorders. By uncovering novel genetic associations, unique biomarkers, and shared pathways, these studies provide a strong foundation for the development of targeted/personalized medicine. Integrating these insights into clinical practice holds significant potential to improve patient outcomes, especially in managing the complex and heterogeneous nature of immune conditions. As genetic research continues to evolve, it promises to unlock new opportunities for individualized care and therapeutic innovations. We highly believe that the compilation of publications within this Research Topic offers a valuable snapshot of the current focus and progress in the field of genetic research on human immunological disorders.

Author contributions

CL: Conceptualization, Writing-original draft, Writing-review and editing. HA: Conceptualization, Writing-review and editing. JS: Conceptualization, Writing-review and editing.

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Autoimmune Disease Associated CLEC16A Variants Convey Risk of Parkinson's Disease in Han Chinese

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Fan H-H, Cui L, Jiang X-X, Song Y-D, Liu S-S, Wu K-Y, Dong H-J, Mao M, Ovlyakulov B, Wu H-M, Zhu J-H and Zhang X (2022) Autoimmune Disease Associated CLEC16A Variants Convey Risk of Parkinson's Disease in Han Chinese. Front. Genet. 13:856493. doi: 10.3389/fgene.2022.856493 CLEC16A is a membrane-associated endosomal protein implicated in regulating autophagy and antigen presentation. Its genetic variants are broadly associated with multiple autoimmune diseases. Parkinson's disease (PD), which undergoes autophagy disruption and neuroinflammation, has been clinically observed, for an extensive amount of time, to be associated with autoimmune diseases. In this study, we aimed to understand whether the autoimmune disease associated CLEC16A variants pleiotropically modulate PD risk. Five of such CLEC16A variants, including rs6498169, rs12708716, rs12917716, rs7200786, and rs2903692, were selected and analyzed in a Han Chinese cohort comprising 515 sporadic PD patients and 504 controls. Results showed that rs6498169 and rs7200786 were significantly associated with PD susceptibility (p = 0.005 and 0.004, respectively; recessive model, p = 0.002 and 0.001, respectively). Rs6498169 was also associated with the PD subtype of postural instability/gait difficulty (p = 0.002). Haplotype analysis showed that the AAG module in order of rs6498169, rs12708716, and rs2903692 was associated with the highest risk for PD (p = 0.0047, OR = 1.42, 95% CI = 1.11-1.82). Functional annotation analyses suggested that rs6498169 had high probability to affect transcription factor binding and target gene expression. In summary, the current study demonstrates that the autoimmune disease associated CLEC16A variants convey risk of PD in Han Chinese. Our findings suggest a pleiotropic role of CLEC16A and strengthen the link between PD and autoimmune diseases.

Keywords: CLEC16A, Parkinson's disease, autoimmune diseases, genetic variation, association

INTRODUCTION

CLEC16A (C-type lectin domain family 16, member A; Chr16; Gene ID: 23274; MIM: 611303), encoding a large protein of 1,053 amino acids with a putative C-type lectin-like domain, is a membrane-associated endosomal protein (Soleimanpour et al., 2014). CLEC16A genetic variations have been associated with multiple autoimmune diseases including multiple sclerosis, type 1 diabetes, Crohn's disease, Addison's disease, rheumatoid arthritis, and juvenile idiopathic arthritis (Vitale et al., 2002; Hakonarson et al., 2007; International Multiple Sclerosis Genetics et al., 2007; Marquez et al., 2009; Martinez et al., 2010; Skinningsrud et al., 2010; Nischwitz et al.,

2011; International Multiple Sclerosis Genetics et al., 2013). The broad association with autoimmune disorders suggests that CLEC16A may functionally link to autoimmunity by certain common pathogenic pathways. Indeed, CLEC16A has been implicated in regulating autophagy/mitophagy and antigen presentation. CLEC16A interacts with E3 ubiquitin ligase NRDP1 (neuregulin receptor degradation protein 1), controlling the volume of Parkin and its mastered mitophagy and thereby mediates murine β cell function and diabetogenesis (Soleimanpour et al., 2014). In addition, Clec16a knockdown in the nonobese diabetic mice protects against autoimmunity by altering the T cell selection, possibly through the inhibition of thymic epithelial cell autophagy (Schuster et al., 2015). Clec16a mutant mice generated by gene-trap insertion develop neurodegeneration featured by impaired motor behaviors, loss of Purkinje cells, and abnormal autophagy homeostasis (Redmann et al., 2016). CLEC16A also plays a role in multiple sclerosis via controlling the late endosome biogenesis-mediated human leukocyte antigen class II antigen presentation (van Luijn et al., 2015).

Parkinson's disease (PD) is the second most common neurodegenerative disorder characterized by the loss of dopaminergic neurons in the substantia nigra pars compacta. The majority of PD is sporadic and its etiology is complex and remains largely unknown. Autophagy dysfunction is one of the key mechanisms involved in PD, leading to the abnormal degradation and aggregation of proteins such as α -synuclein (Michel et al., 2016). Mutations in *PRKN* (Parkin encoding gene) are the common cause of the early-onset PD (Kalia and Lang, 2015). Recent studies have also revealed the presence of α -synuclein-reactive T cells in PD patients and an association of its reactivity with preclinical and early PD, indicating a link of PD to autoimmunity (Sulzer et al., 2017; Lindestam Arlehamn et al., 2020).

Indeed, PD risk has been observed, for an extensive amount of time, as associated with autoimmune diseases. For instance, a Swedish epidemiological study showed that subsequent risks of PD are increased in patients with 6 autoimmune diseases, including amyotrophic lateral sclerosis, Graves's disease/ hyperthyroidism, Hashimoto's disease/hypothyroidism, multiple sclerosis, pernicious anemia, and polymyalgia rheumatica (Li et al., 2012), whereas being a casual or causal association between PD risk and multiple sclerosis remains in debate (Pedemonte et al., 2013; Nielsen et al., 2014). Nonetheless, it is hypothesized that there are common genetic risk variants between PD and autoimmune diseases (Witoelar et al., 2017). Given the functional overlap of CLEC16A with PD pathogenic mechanisms and its broad association with autoimmune diseases, we aimed, in this study, to investigate whether the autoimmune disease associated CLEC16A variants convey risk of PD in a Han Chinese population.

MATERIALS AND METHODS

Subjects

A total of 1,019 subjects of Han Chinese ethnicity from eastern China were recruited in this study, comprising 515 sporadic PD patients (263 males and 252 females) and 504 controls (265 males and 239 females). The median age of the patients and controls was 66 (interquartile range: 60–73) and 66 (interquartile range: 57–72) years old, respectively. All PD patients were diagnosed by two movement disorder neurologists, according to the UK Parkinson's disease Society Brain Bank Criteria (Hughes et al., 1992). Patients with a family history of PD or with secondary and atypical parkinsonism were excluded. The controls had no neurological disorders according to medical history, physical, and laboratory examinations. All subjects participating in the study signed written informed consents. The study was performed under the approval No. LCKY 2020-66 by the Ethics Committee of The Second Affiliated Hospital and Yuying Children's Hospital, Wenzhou Medical University.

Selection of CLEC16A Risk Variants

Five autoimmune disease-associated risk variants of CLEC16A were selected, including rs6498169, rs12708716, rs7200786, rs2903692 and rs12917716. Among these, rs6498169, rs12708716 and rs7200786 were identified as susceptibility markers for multiple sclerosis (Vitale et al., 2002; International Multiple Sclerosis Genetics et al., 2007; International Multiple Sclerosis Genetics et al., 2013); rs2903692 and rs12917716 were found to be associated with type 1 diabetes (Hakonarson et al., 2007; Skinningsrud et al., 2010); rs6498169 was additionally associated with juvenile idiopathic arthritis and rheumatoid arthritis (Martinez et al., 2010; Skinningsrud et al., 2010); rs2903692 was additionally associated with Crohn's disease and multiple sclerosis (Marquez et al., 2009; Martinez et al., 2010); rs12917716 was additionally associated with Addison's disease, multiple sclerosis, and primary adrenal insufficiency (Skinningsrud et al., 2010; Nischwitz et al., 2011).

Genotyping

Genomic DNA was extracted from peripheral blood using TIANamp Genomic DNA kit (Tiangen, Beijing, China) according to the manufacturer's instruction. Four SNPs, including rs6498169, rs12708716, rs12917716, rs7200786, were genotyped using SNaPshot at BGI Technology (Wuhan, China) as described previously (Zou et al., 2018). Rs2903692 was not successfully genotyped by SNaPshot, and therefore was genotyped by conventional PCR and sequencing. The PCR condition was initial denaturation at 95°C for 3 min, followed by 32 cycles of 95°C for 30 s, 60°C for 30 s, and 72°C for 60 s, and a final extension at 72°C for 5 min. The PCR products were sequenced at BGI Technology (Wuhan, China). All relevant primers were listed in Supplementary Table S1.

Statistical Analysis

Statistical analyses were carried out using the Statistical Package for Social Science program (SPSS for Windows, version 23.0). Hardy-Weinberg equilibrium in genotype distribution was assessed using $\chi 2$ test. Following Kolmogorov-Smirnov test for normality, Mann-Whitney U test was used to evaluate age difference. The $\chi 2$ test was also used to assess differences in

TABLE 1 | Genotype and allele frequencies of five CLEC16A variants in PD patients and controls.

Variant		Genotype, n (%)		P	Allele	, n (%)	P	OR (95%CI)
rs6498169	GG	GA	AA	0.005 ^a	G	А	0.042	1.199 (1.007–1.427)
Control	151 (30.0)	264 (52.4)	89 (17.7)		566 (56.2)	442 (43.8)		
PD	150 (29.1)	232 (45.0)	133 (25.8)		532 (51.7)	498 (48.3)		
rs12708716	AA	AG	GG	0.430	Α	G	0.288	1.116 (0.912-1.366)
Control	293 (58.1)	186 (36.9)	25 (5.0)		772 (76.6)	236 (23.4)		
PD	288 (55.9)	192 (37.3)	35 (6.8)		768 (74.6)	262 (25.4)		
rs12917716	GG	GC	CC	0.055	G	С	0.026	1.221 (1.024-1.456)
Control	173 (34.3)	258 (51.2)	73 (14.5)		604 (59.9)	404 (40.1)		
PD	154 (29.9)	259 (50.3)	102 (19.8)		567 (55.0)	463 (45.0)		
rs7200786	AA	AG	GG	0.004 ^a	Α	G	0.044	1.207 (1.005-1.448)
Control	220 (43.7)	239 (47.4)	45 (8.9)		679 (67.4)	329 (32.6)		
PD	216 (41.9)	218 (42.3)	81 (15.7)		650 (63.1)	380 (36.9)		
rs2903692	GG	GA	AA	0.731	G	Α	0.732	1.036 (0.846-1.268)
Control	289 (57.3)	188 (37.3)	27 (5.4)		766 (76.0)	242 (24.0)		
PD	286 (55.5)	204 (39.6)	25 (4.9)		776 (75.3)	254 (24.7)		

^aP < 0.01. CI, confidence interval; OR, odds ratio; PD, Parkinson's disease.

gender, genotype, allele, and haplotype frequencies between the PD patients and controls. The haplotype construction and association analysis were performed using SNPStats Online Version (https://www.snpstats.net/start.htm) (Sole et al., 2006). A backward elimination method as reported previously was used to identify the highest-risk haplotype for PD (Francis et al., 2007). Statistical power was calculated by the QUANTO version 1.2.4. A *p* value < 0.05 was considered statistically significant unless otherwise indicated.

Function Annotation

Function annotations of the variants were obtained from (http://pubs.broadinstitute.org/mammals/ HaploReg v4.1 haploreg/haploreg.php) RegulomeDB and (http://www. regulomedb.org/). HaploReg was used to annotate potential causal links to disease pathogenesis (Ward and Kellis, 2016). RegulomeDB was used to annotate known and predicted genetic variations in regulatory elements in intergenic regions of the human genome. A score ranging from 1 to 6 was provided to indicate the potential function and the lower score indicates a higher probability that a variant affects binding and gene expression (Boyle et al., 2012). The expression quantitative trait locus (eQTL) and splicing quantitative trait locus (sQTL) of the variants were analyzed by the GTEx Portal (https:// gtexportal.org/).

RESULTS

Association of the CLEC16A Variants with PD Susceptibility

Genotype distributions of the 5 variants in controls were in accordance with Hardy-Weinberg equilibrium (p > 0.05). The PD cases and controls were comparable in both gender and age (p > 0.05). Difference was considered only after Bonferroni correction (threshold for significance = 0.01). Results showed that significant difference between PD cases and control cases was

TABLE 2 | Genetic model analysis of rs6498169 and rs7200786.

Model	Genotype	P	OR (95% CI)
rs6498169			
Recessive	GG + GA vs. AA	0.002 ^a	1.629 (1.203-2.205)
Dominant	GG vs. GA + AA	0.728	1.049 (0.801-1.374)
Additive	GG vs. GA vs. AA	0.039	1.200 (1.009-1.428)
rs7200786			
Recessive	AA + AG vs. GG	0.001 ^a	1.895 (1.285-2.795)
Dominant	AA vs. AG + GG	0.569	1.075 (0.838-1.379)
Additive	AA vs. AG vs. GG	0.046	1.205 (1.004–1.446)

^aP < 0.01. CI, confidence interval; OR, odds ratio; PD, Parkinson's disease.

TABLE 3 | Haplotype analysis of the *CLEC16A* variants in PD patients and controls.

Haplotype ^a	Control, n (%)	PD, n (%)	P	OR (95% CI)
GAGAG	453.5 (44.99)	399.7 (38.81)	_	1.00
AGCGA	182.8 (18.13)	197.0 (19.13)	0.12	1.22 (0.95-1.56)
AAGAG	93.3 (9.26)	120.9 (11.74)	0.02 ^b	1.47 (1.06-2.04)
AACGG	82.2 (8.15)	98.3 (9.54)	0.03 ^b	1.47 (1.04-2.07)
GACAG	72.2 (7.16)	75.8 (7.38)	0.34	1.20 (0.82-1.74)
Total	1008.0 (100)	1030.0 (100)	0.034 ^b	_

^aHaplotype alleles were in the order of rs6498169, rs12708716, rs12917716, rs7200786, and rs2903692. Haplotypes with frequency <3% in both PD patients and controls were excluded from the analysis.

found only in genotypes of rs6498169 and rs7200786 (p = 0.005 and p = 0.004, respectively; **Table 1**). No difference was found within rs12708716, rs12917716, and rs2903692.

We further analyzed the rs6498169 and rs7200786 by three genetic models (additive, dominant, and recessive). Both SNPs were significantly associated with PD in the recessive model (p = 0.002, OR = 1.629, 95% CI = 1.203–2.205 for rs6498169; p = 0.001, OR = 1.895, 95% CI = 1.285–2.795 for rs7200786). The risk genotypes for PD were the AA of rs6498169 and the GG of rs7200786 (**Table 2**). The statistical power was 92% and

^bP < 0.05. Cl, confidence interval; OR, odds ratio; PD, Parkinson's disease.

TABLE 4 | The highest-risk haplotype analysis of CLEC16A in association with PD.

Variants, n	Haplotype ^a	Control, n (%)	PD, n (%)	P	OR (95%CI)
5	AAGAG	93.3 (9.26)	120.9 (11.74)	0.02	1.47 (1.06–2.04)
4	AAG-G	96.7 (9.59)	129.4 (12.56)	0.011	1.54 (1.11-2.15)
3	AAG	185.1 (18.36)	233.1 (22.63)	0.0047	1.42 (1.11-1.82)
2	AG	218.0 (21.63)	264.8 (25.71)	0.017	1.32 (1.05–1.65)

^aHaplotype alleles were in the order of rs6498169, rs12708716, rs12917716, rs7200786, and rs2903692. Haplotypes with frequency <3% in both PD patients and controls were excluded from the analysis. A hyphen indicates the eliminated variant at that position.

 $\textbf{TABLE 5} \mid \textbf{Function annotations of the PD-associated } \textit{CLEC16A} \textit{ variants}.$

Tools	rs6498169		rs7200786
HaploReg			
Enhancer histone marks ^a	13 tissues	13 tissues	
DNase hypersensitivity ^a	7 tissues	3 tissues	
Proteins bound	GATA2	_	
Motifs changed	HES1	CCNT2; EBF	
RegulomeDB			
Score	1b	4	
GTEx (eQTL)			
Genes affected	RMI2	CLEC16A	RMI2
Tissues affected ^b	Cerebellum; cortex; frontal cortex; hypothalamus;	Amygdala; cerebellum;	Anterior cingulate; cerebellum; cortex;
	nucleus accumbens; putamen; spinal cord	cerebellar hemisphere	nucleus accumbens; putamen; spinal cord
GTEx (sQTL)		•	
Genes affected	CLEC16A	CLEC16A	
Tissues affected ^c	Testis	Testis	

^aTissues were detailed in Supplementary Table S3.

96%, respectively, for the recessive model of rs6498169 and rs7200786.

We also extracted a total of 265 PD patients recorded with PD subtypes to understand their association with the five *CLEC16A* SNPs. The subtypes were classified into postural instability/gait difficulty (PIGD), tremor dominant, and indeterminate. Results showed that the genotype distribution of rs6498169 was significantly different (p = 0.002) between the PIGD patients and the controls. No other difference was found in the variants between the PD subtypes and the controls (**Supplementary Table S2**).

Haplotype Analyses of the CLEC16A Variants

We analyzed whether haplotypes of these *CLEC16A* variants were associated with PD. Haplotypes were constructed in the following order: rs6498169, rs12708716, rs12917716, rs7200786, and rs2903692. As shown in **Table 3**, five haplotypes were listed as those with a frequency < 3.0% in both PD patients and controls were excluded. A significant difference (p = 0.034) in overall haplotype distribution was observed between the PD patients and controls. Two haplotypes, AAGAG (p = 0.02, OR = 1.47, 95% CI = 1.06–2.04) and AACGG (p = 0.03, OR = 1.47, 95% CI =

1.04–2.07), showed a significant difference between the cases and controls.

To characterize the highest-risk haplotype of *CLEC16A* towards PD, a backward elimination model was employed. Results showed that the strongest PD-associated haplotype was AAG in the order of rs6498169, rs12708716, and rs2903692 from the best 3-variant model (p = 0.0047, OR = 1.42, 95% CI = 1.11–1.82; **Table 4**).

Function Annotations of the PD-Associated CLEC16A Variants

Function annotations of the PD-associated variants were performed using the HaploReg, RegulomeDB, and GTEx. Based on the HaploRreg (**Tables 5** and **Supplementary Table S3**), rs6498169 was located within enhancer histone marks in 13 tissues, DNase hypersensitivity regions in 7 tissues, and the region of GATA2 (GATA binding protein 2) binding site, and was predicted to significantly alter the binding motif of the HES1 (hes family bHLH transcription factor 1) transcription factor. Rs7200786 was located within enhancer histone marks in 13 tissues, and DNase hypersensitivity regions in 3 tissues and was predicted to significantly change the binding motifs of the CCNT2 (cyclin T2) and EBF (early B cell factor) transcription factors.

CI, confidence interval; OR, odds ratio; PD, Parkinson's disease.

^bBrain tissues were listed herein. Full tissue lists were shown in **Supplementary Figures S1–S3**.

^cOnly testis data were available. Details were shown in **Supplementary Figure S4**.

eQTL, expression quantitative trait locus; PD, Parkinson's disease; sQTL, splicing quantitative trait locus.

In RegulomeDB (**Table 5**), where the score <3 indicates a relatively high possibility of potential regulatory function (Luciano et al., 2018), rs6498169 was predicted with a score of 1b, representing that this variant is highly likely to affect transcription factor binding, certain motifs, DNase footprint and peaks, and potentially affect the expression of target genes. The score of rs7200786 was 4, representing that this variant may affect transcription factor binding and DNase peaks.

By using GTEx (Table 5; Supplementary Figures S1-S3), rs6498169 was suggested to be significant eQTL of the RMI2 (RecQ mediated genome instability 2) expression in brain tissues such as cortex (p = 0.0015), cerebellum (p = 0.008) and nucleus accumbens (p = 0.008). Rs7200786 was as significant eQTL of the *RMI2* expression in brain tissues such as nucleus accumbens (p =0.00071), cortex (p = 0.0028) and putamen (p = 0.0033), as well as the CLEC16A expression in brain tissues such as cerebellar hemisphere (p = 0.0012) and amygdala (p = 0.008). However, both rs6498169 and rs7200786 were not associated with the expression of RMI2 and CLEC16A in the substantia nigra. Results of the sQTL analysis showed that the risk alleles of both rs6498169 and rs7200786 (A and G, respectively) were significantly associated with an increased intron-excision ratio, which potentially leads to elevation of certain variant expression of CLEC16A (Supplementary Figure S4).

DISCUSSION

Immunity disturbance is increasingly considered to be important in PD pathogenesis. The clinical observations of risk association between PD and autoimmune diseases indicate the possibility of having common genetic risk loci in between. By studying the five autoimmune disease associated *CLEC16A* variants in a Chinese cohort, we demonstrate that *CLEC16A* is pleiotropic for modulating PD risk. Two loci, rs6498169 and rs7200786, are recessively associated with PD susceptibility.

CLEC16A is involved in regulation of autophagy, T cell selection, antigen presentation, and neurodegeneration as suggested earlier (Soleimanpour et al., 2014; Schuster et al., 2015; van Luijn et al., 2015; Redmann et al., 2016). Autophagy plays a role, not only for substance clearance and recycling, but also in the presentation of antigenic peptides to the receptor of T cells in the context of antigenpresenting cells and major histocompatibility complex class II (Bonam and Muller, 2020). While disruption of the autophagy pathway serves as one of the key mechanisms in PD (Michel et al., 2016), PD patients are indeed observed with dysregulated innate and adaptive immune responses, particularly in those carrying autophagy-related gene mutations such as in Parkin and LRRK2 (leucine rich repeat kinase 2). Besides the previously mentioned α-synuclein reactive T cell responses (Sulzer et al., 2017; Lindestam Arlehamn et al., 2020), B cell-produced autoantibodies against α-synuclein antigen, GM1 gangliosides, and neuronal antigens are also shown to be elevated in blood and/or cerebral spinal fluid of PD patients (Zappia et al., 2002; van de Warrenburg et al., 2008; Scott et al., 2018). Hence, besides the identified genetic connection, CLEC16A may be functionally possible to participate in PD.

Our results show that rs6498169 and rs7200786 are associated with PD susceptibility. The modulation of rs7200786 on PD risk is consistent with a previous report in the Italian population (Strafella et al., 2021). The A of rs6498169 and the G of rs7200786 are recessive risk alleles. However, these two alleles are protective against multiple sclerosis and rheumatoid arthritis (Vitale et al., 2002; International Multiple Sclerosis Genetics et al., 2007; Martinez et al., 2010; Skinningsrud et al., 2010; International Multiple Sclerosis Genetics et al., 2013). When the relationship between PD and multiple sclerosis remains in debate (Li et al., 2012; Pedemonte et al., 2013; Nielsen et al., 2014), PD risk, indeed, appears to be negatively correlated with rheumatoid arthritis (Bacelis et al., 2021; Li et al., 2021). These results suggest that the risk variants of CLEC16A may have differential actual impact on PD and certain autoimmune diseases. Interestingly, a recent GWAS pooling study of European ancestry identified 17 shared susceptibility loci between autoimmune diseases and PD (Witoelar et al., 2017). Similar to our case, these loci have not been previously reported by PD GWAS studies (Obeso et al., 2017), but partially reported by individual polymorphism studies. Thus, a variety in research designs and ethnicities may still be valuable in searching for new genetic risks. Conversely, these findings may need further validation in additional populations. Being noted, the above European study identified none of the CLEC16A variants (Witoelar et al., 2017). To understand the discordance, we examined allele frequencies of the five variants in Europeans and East Asians in the gnomAD (https://gnomad.broadinstitute. org/). Interestingly, the two PD-associated CLEC16A variants happen to be with inverted major and minor allele distributions in these two ethnicities. In detail, the G allele frequency of rs6498169 is at 0.358 and 0.554, and the A allele frequency of rs7200786 is at 0.461 and 0.660, respectively, in Europeans and East Asians (Supplementary Table S4). In this case, the pleiotropic discordance may partially attribute to the ethnicity-associated evolutional divergency in CLEC16A genetic variation.

It is known that haplotypes are more powerful for the detection of susceptibility alleles than individual variants (Gabriel et al., 2002). By analyzing the five variants, we identified two haplotypes, AAGAG and AACGG (in the order of rs6498169, rs12708716, rs12917716, rs7200786, and rs2903692), serving as risk factor. Further analysis of the effect polymorphisms suggests that the AAG of rs6498169, rs12708716 and rs2903692 represents the core haplotype associated with PD. Results of the functional annotation analyses suggest that rs6498169 is highly probable to affect transcription factor binding and target gene expression, such as through the modulation of GATA2 and HES1 binding. In contrast, rs7200786 is with relatively less probability to be functional. These results appear to be in line with the above highest-risk haplotype analysis, which shows that the rs6498169 locus, but not rs7200786, is within the core in association with PD. Based on the quantitative trait locus analysis, the CLEC16A expression may be affected by rs6498169 in a splicing-regulating way and by rs7200786 in both expression- and splicing-regulating ways. In addition, rs6498169 and rs7200786 may also affect the RMI2 expression in an expression-regulating way. RMI2 is a

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component of the BLM (Bloom syndrome RecQ like helicase) complex and is essential for genome stability (Hudson et al., 2016). However, the effects of these two variants on gene expression appear mainly in brain regions other than the substantia nigra. Indeed, although the substantia nigra is most profoundly affected in PD, other brain regions are also important for PD pathogenesis and clinical manifestations, such as putamen, cortex and amygdala (Kalia and Lang, 2015).

In conclusion, the present study demonstrates that the autoimmune disease associated *CLEC16A* genetic variants are associated with PD susceptibility in Han Chinese. Specifically, the A of rs6498169 and the G of rs7200786 serve as recessive risk alleles towards PD. These findings provide genetic insights into the pleiotropic role of *CLEC16A* and strengthen the link between PD and autoimmune diseases.

DATA AVAILABILITY STATEMENT

The datasets presented in this study can be found in online repositories. The name of the repository and link can be found below: Dryad; https://datadryad.org/stash/share/_yc2e6e8jRgT3dCBI-WN2w4NZNY1CqRkqiSnD4q1h7w.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Second Affiliated Hospital and Yuying Children's Hospital, Wenzhou Medical University. The patients/participants provided their written informed consent to participate in this study.

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AUTHOR CONTRIBUTIONS

H-HF and LC designed the research; H-HF, LC, X-XJ, S-SL, K-YW, H-JD, and MM conducted experiments; LC, X-XJ, Y-DS, and H-MW analyzed data; XZ contributed samples; BO helped data analysis and edited the manuscript; LC and J-HZ wrote the manuscript; H-MW, J-HZ, and XZ supervised the study. All the authors have read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

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Contribution of HLA and KIR Alleles to Systemic Sclerosis Susceptibility and **Immunological and Clinical Disease Subtypes**

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Systemic sclerosis (SSc) is an autoinflammatory, fibrotic condition of unknown aetiology. The presence of detectable autoantibodies against diverse nuclear antigens, as well as strong HLA associations with disease, suggest autoimmune involvement, however the links between endogenous and exogenous risk factors and SSc pathology remain undetermined. We have conducted a genetic analysis of HLA inheritance in two independent and meta-analysed cohorts of 1,465 SSc cases and 13,273 controls, including stratified association analyses in clinical and autoantibody positive subgroups of disease. Additionally, we have used patient genotypes to impute gene dosages across the KIR locus, encoding paired activating and inhibitory lymphocyte receptors for Class I HLA ligands, to conduct the largest analysis of KIR-HLA epistatic interactions in SSc to date. We confirm previous Class II HLA associations with SSc risk and report a new Class I association with haplotype HLA-B*44:03-HLA-C*16:01 at genome-wide significance (GWS). We further report statistically significant HLA associations with clinical and serological subtypes of disease through direct case-case comparison, and report a new association of HLA-DRB1*15:01, previously shown to bind topoisomerase-1 derived peptides, with anti-topoisomerase (ATA) positive disease. Finally, we identify genetic epistasis between KIRs and HLA class I ligands, suggesting genetic modulation of lymphocyte activation may further contribute to an individual's underlying disease risk. Taken together, these findings support future functional investigation into endogenous immunological and environmental stimuli for disrupted immune tolerance

Keywords: systemic sclerosis (scleroderma), HLA association and disease, human disease genetics, killer immunoglobulin like receptor (KIR), immunogenetics

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INTRODUCTION

Systemic sclerosis (SSc; also known as scleroderma), is a connective-tissue disease of heterogeneous clinical presentation, characterised by a complex interplay between autoinflammatory and autoimmune processes, tissue fibrosis and vascular injury. Pathophysiology associated with SSc has been attributed to uncontrolled inflammation and associated activation of tissue resident fibroblasts, excessive collagen production and extracellular matrix remodelling (LeRoy, 1974). Two major subsets of disease are differentiated by the extent of skin and organ involvement. Diffuse cutaneous SSc (dcSSc) is characterised by extensive skin fibrosis and increased mortality associated with severe internal organ pathology. Alternatively, in limited cutaneous disease (lcSSc), skin involvement is typically restricted to the face and distal and vascular complications predominate. extremities, Investigated triggers of the inflammatory, fibrotic cascade in SSc suggest a role for a provocative environmental exposure, ranging from occupational chemicals (Sluis-Cremer et al., 1985; Kettaneh et al., 2007) to microbial infection (Maul et al., 1989; Lunardi et al., 2006), acting upon a background of heightened genetic predisposition. Albeit, the precise series of exogenous and endogenous circumstances that culminate in disease, and primary differentiators of clinical progression, remain unknown.

Anti-nuclear antibodies (ANA) targeting a range of cellular proteins are detected in the sera of up to 95% of SSc patients (Beck et al., 1963; Mierau et al., 2011), some of which have diagnostic utility in distinguishing SSc from other connective tissue diseases. Of the most common, anti-centromere antibodies (ACA), seen in 20-30% of all SSc cases, demonstrate reactivity against centromeric nucleoproteins such as the DNA-binding protein Centromere Protein B (CENP-B), and are predictive of limited disease with lower frequency of pulmonary fibrosis and associated mortality (Steen et al., 1988; Ho and Reveille, 2003). Anti-Scl70 antibodies directed against an epitope of the topoisomerase enzyme (abbreviated anti-topoisomerase, ATA; seen in 15-20% of SSc) are conversely predictive of diffuse disease and found in ~45% of those who progress with lung complications (Reveille et al., 2003). Additionally, ATAs are associated with severity and development of interstitial lung disease (ILD), the leading cause of SSc mortality, in both limited and diffuse disease (Assassi et al., 2010; Jandali et al., 2022). A third and heterogeneous group of anti-nucleolar antibodies (ANoA; reported in 15-40% of patients) target, among other autoantigens, exosome, and ribonuclease components and several RNA polymerases (RNAP), with anti-RNAP antibodies demonstrating a strong association with heart failure and increased mortality in dcSSc (Jacobsen et al., 2001). Intriguingly, the co-occurrence of multiple ANAs of unique specificity in a single patient is rare. Their near mutual exclusivity suggests a unique interplay between genetic and environmental factors in the onset and progression of distinct clinical subclasses of disease, though whether ANA-associated autoimmunity is a cause or consequence of pathological processes in SSc remains undetermined.

The association of allelic variation across the class II human leukocyte antigen (HLA) locus with SSc risk is well established, supporting a functional role for CD4 T cell mediated autoantibody production in response to HLA class II restricted autoantigens in genetically susceptible individuals. Different HLA alleles demonstrate disease association when tested in lcSSc or dcSSc cohorts, or those stratified by autoantibody profile, implying that genetic heterogeneity significantly underpins the clinical heterogeneity of this disease. The strong risk association of HLA-DRB1*11:04 and HLA-DPB1*13:01 with SSc has been reported in multiple ethnic groups, enriched specifically in ATA + patients (Fanning et al., 1998; Arnett et al., 2010; Gourh et al., 2020; Acosta-Herrera et al., 2021). Conversely, HLA-DQA1*01: 01/4 and HLA-DQB1*05 have been associated with risk of disease in ACA + Caucasians and Hispanics (Fanning et al., 1998; Reveille et al., 2001), and protective associations with HLA-DRB1*07:01 in this serological subgroup are described in multiple European cohorts (Zochling et al., 2014; Gourh et al., 2020; Acosta-Herrera et al., 2021).

Although less frequently reported, HLA class I associations with SSc suggest an additional role for CD8+ T cell mediated autoimmunity in disease. Early studies show enrichment of the HLA-A*09 subgroup allele HLA-A*24 in dcSSc, and HLA-A*30 and HLA-A*32 risk associations have also been reported in Caucasian and Brazilian populations, the former associated with risk of pulmonary hypertension and pulmonary fibrosis in SSc patients (Gladman et al., 2005; Del Rio et al., 2013). A recent intensive analysis of 9,095 SSc patients identified a significant disease risk association with HLA-B*08:01, independent of those observed with HLA Class II alleles (Acosta-Herrera et al., 2021). Increased CD8⁺ T cell clonality observed in the lungs and blood of SSc patients suggests proliferation in response to an immunogenic antigen (Yurovsky et al., 1996; Servaas et al., 2021), and activated CD8+ T cells have been identified in the skin, and isolated from the fibrotic lungs of SSc patients, where they express pro-fibrotic cytokines which stimulate fibroblast proliferation and collagen production (Atamas et al., 1999; Li et al., 2017). A summary of the findings from large-scale cohorts reporting classical HLA associations with SSc and disease subgroups can be found in Supplementary Table S1.

Additional to their role in antigen presentation, Class I HLA ligands are recognised by killer immunoglobulin-like receptors (KIRs) expressed on NK and CD8⁺ T cells, with roles in buffering lymphocyte activation and safeguarding against innate NK killing activity. Copy number variable haplotypes containing diverse combinations of 15 unique KIR genes encode activating (KIR2DS and KIR3DS) and inhibitory (KIR2DL and KIR3DL) receptors that engage specific subtypes of HLA Class I alleles. Canonically, KIR3DL1 is an inhibitory receptor for HLA-Bw4 ligands, a subgroup of HLA-A and B alleles carrying a recognised amino acid motif at positions 77-83 in the a-helix (Gumperz et al., 1996). The strength of this inhibitory interaction is governed by position 80 of the HLA Class I allele, being strongest for those alleles carrying isoleucine (Ile80), and weaker for those carrying threonine (The80), at position 80. Conversely, HLA-Bw6 alleles, lacking the Bw4 motif, are not known to serve as KIR ligands.

KIR2DL2 and 2DL3 recognise the HLA-C1 family of alleles characterised by a position 80 asparagine, and KIR2DL1 engages members of the HLA-C2 allelic family, which carry a position 80 lysine (Winter et al., 1998) (Supplementary Table S2 lists major HLA-C, -Bw4 and -Bw6 family members). Ligands that engage activating KIRs are less well defined and extend beyond class I HLA to other surface markers of cell stress. However, HLA-dependent, peptide-specific activation of NK cells through KIR2DS1 recognition of HLA-C2 has been demonstrated in vitro (Chewning et al., 2007), and KIR3DS1 has been shown to engage HLA-B*57 (HLA-Bw4 subclass) bound HIV-derived peptides to activate NK killing responses (O'Connor et al., 2015). The exacerbated diversity attributed to copy number and allelic hyper-polymorphism across both the KIR genes and the combination of HLA ligands inherited imparts extensive variability in immunologic potential across the human population. Accordingly, KIR-HLA associations have been reported to play a role in genetic risk for many immunemediated and infectious diseases (Khakoo et al., 2004; Pellett et al., 2007; Fusco et al., 2010; Hanson et al., 2020). Increased frequency of activating receptor KIR2DS1 in SSc patients, particularly in those who lack a canonical HLA-C2 ligand for the paired inhibitory receptor KIR2DL1, has been reported (Pellett et al., 2007). Further studies report that lack of KIR2DL2 inheritance increases SSc risk (Salim et al., 2010), and that coinheritance of canonical inhibitory receptor-ligand pair KIR3DL1-HLA-Bw4(The80) protects from disease (Mahmoudi et al., 2017), suggesting that activating KIR inheritance in the absence of compatible inhibitory interacting pairs may result in poorly restrained NK and T-cell activation and associated damaging inflammation.

Here we present the findings of an extensive investigation into HLA and KIR inheritance in two independent cohorts of SSc patients, including meta-analysis of 1,465 SSc cases and 13,273 controls. We present stratified HLA-association analyses in clinical and autoantibody positive SSc subgroups to dissect the genetic contribution of HLA alleles to unique serological and clinical manifestations of disease. We further impute KIR gene content information from participant genotypes to conduct the largest analysis of KIR-HLA coinheritance in this disease to date, and the first to address epistatic KIR interactions with both HLA subtypes and alleles in SSc. It is hoped that more thorough delineation of the genetic contributors to SSc will orient future research into the precise underlying triggers and immunological mechanisms of disease, and inform the development of targeted treatments to reduce the associated morbidity and mortality of those diagnosed.

MATERIALS AND METHODS

Study Cohorts

Two independent cohorts of genotyped SSc patients and ethnically matched controls were used to test for genetic associations with disease and clinical disease subgroups across the *HLA* and *KIR* loci. Following quality filtering as described below, Cohort 1 comprised 503 Australian SSc patients recruited

by the Australian Scleroderma Interest Group (ASIG), and Cohort 2 comprised 962 SSc patients from the United States of America, a subset of a previously published discovery cohort (Mayes et al., 2014). A total of 13,858 healthy controls, originally recruited by the International Genetics of Ankylosing Spondylitis (IGAS) Consortium as previously reported (Cortes et al., 2013), were split evenly between both SSc cohorts, with 6,632 and 6,641 controls remaining in Cohorts 1 and 2 respectively following quality filtering. Meta-analysis was performed in a combined cohort of 1,465 SSc cases and 13,273 controls. All patients met the American College of Rheumatology criteria for clinical diagnosis of SSc (Subcommittee for Scleroderma Criteria of the American Rheumatism Association Diagnostic and Therapeutic Criteria Committee, 1980), or the Medsger criteria for limited SSc (LeRoy and Medsger, 2001). Clinical metadata, including limited (lcSSc) or diffuse (dcSSc) disease classification, and ACA, ATA and ANoA autoantibody status (present/absent) was available for 490 Cohort 1 patients, and all 962 of Cohort 2 (classification frequencies shown in **Supplementary Figure S1**). Written informed consent was obtained from all participants, with research ethics approval granted by the relevant ethics committee at each participating centre.

Genotyping and Sample Filtering

All SSc cases and controls were genotyped on the Illumina Immunochip array, which has high density SNP coverage of the leukocyte receptor complex at chromosome 19q13.4, where the KIR and LILR genes are encoded (Cortes and Brown, 2011). Sample genotypes were merged with genotypes from HapMap reference human populations and 17,374 common autosomal SNPs outside regions of long-range linkage disequilibrium (LD) were used to conduct principal component analysis (PCA) with shellfish (https://www.stats. ox.ac.uk/~davison/software/shellfish/shellfish.php) ethnicity assessment. Only patients and controls falling within plus or minus 5 standard deviations from the mean of the European sample cluster were retained. Principal components (PC) were recalculated for the filtered European participants and the first ten PCs fitted as covariates in all regression models to correct for remaining population stratification.

HLA Imputation

Imputation of 268 classical HLA alleles to four-digit resolution was performed using HLA*IMP:03 (http://imp.science. unimelb.edu.au/hla/) (Motyer et al., 2016). The estimated imputation accuracy of Class I and II loci ranged between 95% (HLA-DRB1) and 99.84% (HLA-DPA1), with all alleles with a minor allele frequency >1% imputed with a mean estimated accuracy of 95.5% (IQR 94.7-98.8%). Consequently, no posterior probability threshold was applied to imputed HLA allele calls. The HLA subclass of each Class I allele (HLA-Bw4, Bw6, C1 or C2) was assigned based on known allele groupings (Supplementary Table S2) and the number of alleles carrying HLA-Bw4 (total), HLA-Bw4(I80), HLA-Bw4(T80), HLA-C1 or HLA-C2 motifs were summed for each individual for use in statistical analysis.

KIR Imputation

A total of 265 and 224 SNPs spanning the KIR locus (Chr19: 59,793,991-60,190,556, Hg18) were available for SSc patients in Cohort 1 and Cohort 2 respectively, owing to independent genotype quality filtering prior to data acquisition. Matching variant positions were extracted from the paired controls of each cohort to minimise bias in KIR imputation accuracy, and SNP minor allele frequency was confirmed to closely match that of the KIR*IMP reference cohort (Vukcevic et al., 2015). Imputation of gene dosages across 14 copy-number variable KIR genes (KIR2DP1, 2DS1, 2DS2, 2DS3, 2DS4, 2DS5, 2DL1, 2DL2, 2DL3, 2DL4, 2DL5, 3DP1, 3DL1, 3DS1) was performed separately for Cohort 1 and 2 by passing phased SNP haplotypes to KIR*IMP (http://imp.science.unimelb.edu.au/kir/) (Vukcevic et al., 2015). Isoforms of KIR3DL1, with variable inclusion of exons 4 or 9, were distinguished by the imputation algorithm, but only total KIR3DL1 gene dosage was used in analyses throughout. Presence or absence of a 22bp deletion in the KIR2DS4 gene was denoted by KIR2DS4DEL and KIR2DS4WT dosages respectively, with KIR2DS4TOTAL capturing summed gene dosage. The posterior probability of KIR imputation accuracy was comparable across patients and controls from both study cohorts (Supplementary Figure S2). KIR genes present on rarer and more copy-number variable haplotypes (KIR2DP1, 2DL1, 2DL5, and 2DS3) showed reduced imputation accuracy (Supplementary Figure S2), and consequently individuals predicted to carry rare haplotypes exhibited reduced haplotype posterior probability scores (as designated by the KIRhaplotype metric returned from the KIR*IMP software). Statistical analyses were conducted on both the full complement of imputed haplotypes, and those imputed above range of KIRhaplotype thresholds (0.5-0.9). Imputation thresholding skewed represented haplotypes toward those more common in the population (and more accurately imputed) but did not have a considerable effect on the results of KIR-HLA interaction analyses, which are gene not haplotype based, save for a reduction in statistical power. Thus, no posterior probability cut-off was applied to imputed haplotypes in the analyses presented here. To validate imputed haplotype calls on the population level, imputed KIR gene frequencies and percent prevalence across Cohorts 1 and 2 were compared to those reported for European populations in the Allele Frequency Net Database (Gonzalez-Galarza et al., 2020) (Supplementary Figure S3). KIR gene content haplotypes were annotated in accordance with the KIR*IMP reference cohort and imputed haplotype frequencies were compared to reference cohort frequencies derived from 793 nuclear families from the US and United Kingdom (Jiang et al., 2012) (Supplementary Figure S4).

Statistical Analyses

Genotype associations with disease (or disease subgroup) status were assessed using logistic regression with the glm()function in R (R Core Team, 2021) for both independent and meta-analysis cohorts. The first 10 principal components capturing population genetic diversity (as detailed above) were included as covariates in each model. The association of disease status with HLA alleles at 4-digit resolution was assessed under a dominant inheritance model (allele absent = 0, heterozygote or homozygote = 1), and KIR gene dosage under both a dominant and recessive

inheritance model (allele absent or heterozygote = 0, homozygote = 1), eg:

```
glm (status ~ allele.count + PC1 + PC2 + PC3 + PC4 + PC5
+ PC6 + PC7 + PC8 + PC9 + PC10, family
= binomial (link = logit))
```

Iterative conditional analysis was used to dissect independent HLA associations across the locus exhibiting strong LD, in the combined meta-analysis cohort only. Here, the most significant disease-associated allele was added as a covariate to the logistic regression and association analyses repeated sequentially until no HLA allele showed a disease association below the GWS threshold p<5 \times 10⁻⁸. Pairwise conditional analysis was conducted by conditioning each disease associated allele upon every other in a pairwise fashion, eg:

```
glm (status ~ allele.count. 1 + allele.count. 2 + PC1 + PC2 + PC3 + PC4 + PC5 + PC6 + PC7 + PC8 + PC9 + PC10, family = binomial (link = logit))
```

HLA associations were also assessed in clinical subgroups of lcSSc and dcSSc patients, both by comparing allele frequencies in each to healthy controls, and between disease states, using logistic regression as detailed above. HLA allele frequencies in ANA positive patients (split into subsets of ACA, ATA and ANoA positive disease) were compared to the corresponding autoantibody negative patient cohort. The interaction between *KIR* gene and HLA subclass carriage in SSc was assessed by inclusion of an interaction term in the logistic regression, treating *KIR* and *HLA* inheritance as either dominant or recessive in every combination (i.e. KIR_{DOM} x HLA_{DOM}, KIR_{DOM} x HLA_{REC}, KIR_{REC} x HLA_{DOM}, KIR_{REC} x HLA_{DOM}, KIR_{REC} x HLA_{REC}), eg:

```
glm(status~HLA.count+KIR.count+HLA.count*KIR.count
+PC1+PC2+PC3+PC4+PC5+PC6+PC7+PC8+PC9
+PC10,family
=binomial(link=logit))
```

Due to the large number of statistical tests conducted to assess epistatic interaction between these loci, only those KIR-HLA interactions achieving nominal significance ($p_{\rm int}$ <0.05) in the meta-analysis cohort, and occurring between a KIR and a disease associated class I HLA ligands, are reported in the main text, with all findings between biologically validated receptor-ligand pairs included as supplementary data as referenced below.

RESULTS

HLA Associations With SSc

Class I and II HLA associations with SSc were assessed using logistic regression, under a dominant inheritance model.

TABLE 1 | HLA associations with SSc

			Meta-Ar	Meta-Analysis cohort					ပိ	Cohort 1					ပိ	Cohort 2		
HLA allele	SSc pi	op.(Count)	8	SSc prop.(Count) CO prop.(Count)	OR	d	SSc pr	SSc prop.(Count)	CO	CO prop.(Count)	OR	d	SSc pr	SSc prop.(Count)		CO prop.(Count)	8	ď
DRB1*11:04	0.119	(174/1465)	0.035	(459/13273)	2.81	2.3x10 ⁻²⁵	0.099	(20/203)	0.035	(230/6632)	2.36	6.4x10 ⁻⁷	0.129	(124/962)	0.034	(229/6641)	3.08	1.3x10 ⁻¹⁹
DRB1*07:01	0.152	(223/1465)	0.257	(3406/13273)	0.52	1.5 x10 ⁻¹⁷	0.157	(20/203)	0.262	(1737/6632)	0.52	2.5x10 ⁻⁷	0.150	(144/962)	0.251	(1669/6641)	0.53	4.4×10 ⁻¹¹
DQA1*02:01	0.153	(224/1465)	0.256	(3397/13273)	0.53	3.5×10^{-17}	0.159	(80/503)	0.261	(1733/6632)	0.53	4.8x10 ⁻⁷	0.150	(144/962)	0.251	(1664/6641)	0.53	5.4x10 ⁻
DQB1*02:02	0.102	(149/1465)	0.186	(2468/13273)	0.49	1.7 x10 ⁻¹⁵	0.103	(52/503)	0.193	(1282/6632)	0.47	5.9x10 ⁻⁷	0.101	(92/362)	0.179	(1186/6641)	0.51	2.4x10 ⁻
DRB4*01:01	0.111	(162/1465)	0.188	(2491/13273)	0.54	9.3 x10 ⁻¹³	0.109	(55/503)	0.194	(1289/6632)	0.50	2.6x10 ⁻⁶	0.111	(107/962)	0.181	(1202/6641)	0.57	$2.9x10^{-7}$
DPB1*13:01	0.076	(111/1465)	0.033	(444/13273)	2.20	1.8 x10 ⁻¹²		(34/503)	0.035	(231/6632)	1.85	0.001	0.080	(77/962)	0.032	(213/6641)	2.50	7.5x10 ⁻
B*44:03	0.050	(73/1465)	0.105	(1397/13273)	0.45	1.8 x10 ⁻¹⁰		(33/503)	0.110	(728/6632)	0.57	0.002	0.042	(40/962)	0.101	(669/6641)	0.40	4.9x10
C*16:01	0.038	(55/1465)	0.082	(1090/13273)	0.44	6.2 x10 ⁻⁹		(25/503)	0.086	(573/6632)	0.55	0.004	0.031	(30/962)	0.078	(517/6641)	0.39	9.1x10 ⁻
DQA1*05:01	0.508	(744/1465)	0.421	(5583/13273)	1.34	1.7 ×10 ⁻⁷	0.509	(256/503)	0.414	(2747/6632)	1.40	0.0003	0.507	(488/962)	0.427	(2836/6641)	1.29	0.0003

Applying a GWS threshold of p<5 \times 10⁻⁸, significant diseaserisk associations were observed with *HLA-DRB1*11:04* (OR = $2.81, p = 2.3 \times 10^{-25}$) and *HLA-DPB1*13:01* (OR = 2.20, p = 1.8) × 10⁻¹²), and protective associations with HLA-DRB1*07:01 $(OR = 0.52, p = 1.5 \times 10^{-17}), HLA-DQA1*02:01 (OR = 0.53, p = 0.53)$ 3.5×10^{-17}), HLA-DQB1*02:02 (OR = 0.49, $p = 1.7 \times 10^{-15}$), HLA-DRB4*01:01 (OR = 0.54, $p = 9.3 \times 10^{-13}$), HLA-B*44:03 $(OR = 0.45, p = 1.8 \times 10^{-10})$, and HLA-C*16:01 (OR = 0.44, p = 6.2×10^{-9}) in the meta-analysis cohort. A suggestive association was also observed with HLA-DQA1*05:01 (OR = 1.34, $p = 1.7 \times 10^{-7}$). HLA disease-association signals detected in the meta-analysis cohort were supported in both independent study cohorts 1 and 2, six of which reached GWS in the latter and larger of the two (Table 1). The extended table of HLA allele frequencies and associations for all three cohorts is provided in **Supplementary Table S3**).

HLA conditional analysis was performed on the meta-analysis cohort by sequential inclusion of the most significant SScassociated HLA allele in the logistic regression model. Conditioning on the top disease-risk associated variant, HLA-DRB1*11:04, did not ablate significance of any of the remaining disease-associated class I or II alleles, apart from moderate reduction in significance of HLA-DQA1*05:01 (to p = 0.004). Additional correction for the second most strongly associated allele, HLA-DRB1*07:01, ablated the protective association with class II alleles HLA-DQA1*02:01, DQB1*02:02, and DRB4*01:01 (p > 0.04), and, to a lesser extent, that of class I alleles HLA-B*44: 03 ($p = 2.0 \times 10^{-4}$) and HLA-C*16:01 ($p = 3.0 \times 10^{-4}$), suggesting linkage of these loci within a common disease-protective haplotype. The residual disease-association signal seen for risk variant *HLA-DRB1*13:01* remained significant ($p = 1.2 \times 10^{-16}$), and upon correction for this allele no residual HLA association was observed (Table 2). Pairwise conditional analysis further demonstrated that either HLA-DRB1*07:01 or DQA1*02:01 is the lead associated allele in the protective haplotype also containing DQB1*02:02 and DRB4*01:01, as conditioning on either entirely abolished the association signal with the remaining three alleles. Strong LD was also demonstrated between class I alleles HLA-B*44:03 and HLA-C*16:01; conditioning on the former abolished the *HLA-C* association (**Figure 1**).

HLA Associations With SSc Disease Subgroups

HLA associations were further assessed in subgroups of SSc patients differentiated by autoantibody subclass and limited or diffuse disease subtype (**Table 3**, **Supplementary Tables S4–S9**). p-values reported in the text below are derived from the meta-analysis cohort. The disease-risk association of HLA-DPB1*13:01 was strongest in the diffuse disease cohort (dcSSc OR = 3.2, p = 2.4×10^{-13} ; lcSSc OR = 1.75, p = 0.0001), whereas the protective associations of linked alleles HLA-DRB1*07:01 and DQA1*02:01, DQB1*02:02 and DRB4*01:01, as well as HLA-B*44:03 and HLA-C*16:01, were most pronounced in limited SSc (lcSSc OR<0.45, p <2.9 × 10^{-8} ; dcSSc OR>0.62, p >0.01). The disease risk association with HLA-DRB1*11:04 remained significant when assessed in both the limited (OR = 2.76, p_{FDR} = 6.5×10^{-18})

TABLE 2 | Conditional HLA association analysis in the meta-analysis cohort.

	DR	B1*11:04	DRB1*11:0	04 + DRB1*07:01		+ DRB1*07:01 B1*13:01
HLA allele	OR	р	OR	р	OR	р
DRB1*11:04	_	_	_	_	_	_
DRB1*07:01	0.55	6.1x10 ⁻¹⁵	_	_	_	_
DQA1*02:01	0.56	1.4x10 ⁻¹⁴	2.70	0.3	2.85	0.2
DQB1*02:02	0.52	$3.0x10^{-13}$	0.74	0.04	0.88	0.4
DRB4*01:01	0.57	1.0x10 ⁻¹⁰	0.80	0.05	0.84	0.1
DPB1*13:01	2.22	1.4x10 ⁻¹²	2.60	1.2x10 ⁻¹⁶	_	_
B*44:03	0.47	1.1x10 ⁻⁹	0.61	0.0002	0.63	0.0004
C*16:01	0.46	$3.0x10^{-8}$	0.59	0.0003	0.61	0.0008
DQA1*05:01	1.18	3.8x10 ⁻³	1.09	0.1	1.09	0.1

p-values below the GWS threshold are underlined. Conditioning alleles are shown at top of table. CO = control, OR = odds ratio, p = p-value.

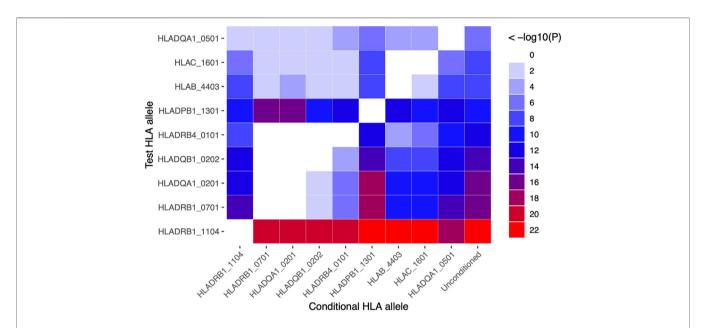


FIGURE 1 Pairwise conditional analysis of GWS and suggestive HLA class I and II associations in the SSc meta-analysis cohort. The *p*-value for the genetic association of each allele (y-axis) with disease upon correction for each allele (x-axis) in turn is denoted by the colour key on far right. Unconditioned *p*-values are shown in the right column for comparison.

and diffuse disease cohorts (OR = 2.93, p = 3.3×10^{-12}). When allele frequencies were compared directly between lcSSc and dcSSc patients, the disease protective class II alleles *HLA-DRB1*07:01*, *DQA1*02:01* and *DQB1*02:02* were seen at lower frequency in lcSSc than dcSSc, albeit not at GWS with $p < 8.0 \times 10^{-5}$ (**Table 3**). Extended tables of HLA allele associations calculated between disease subsets and controls, and between lcSSc and dcSSc, for all three cohorts are provided in **Supplementary Tables S4–S6**.

Considering associations with autoantibodies, HLA-DQA1*01:01, DQB1*05:01 and DRB1*01:01 were seen at significantly increased frequency in ACA + compared with ACA - SSc (HLA-DQA1*01:01 OR = 2.58, $p=4.5\times10^{-15}$; HLA-DQB1*05:01 OR = 2.39, $p=3.2\times10^{-12}$; HLA-DRB1*01:01 OR = 2.15, $p=1.5\times10^{-8}$ in the meta-analysis cohort), and reduced frequency in ATA + relative to ATA - disease (HLA-

DQA1*01:01 OR = 0.3, $p_{\rm FDR}$ = 2.4 × 10⁻⁹; HLA-DQB1*05:01 OR = 0.38, $p_{\rm FDR}$ = 1.7 × 10⁻⁶; HLA-DRB1*01:01 OR = 0.42, p = 0.0001; **Table 3**). The HLA-DQA1*01:01 and DQB1*05:01 alleles were seen at 43.6 and 37.9% respectively in ACA + SSc relative to only 13.3% (both) in ATA + disease and 27 and 23% respectively in controls. HLA-DQA1*01:01 was also the top HLA to show a suggestive association with ANoA + disease, where it was carried at a reduced frequency relative to ANoA – disease (OR = 0.48, p = 9.2 × 10⁻⁷). Alternatively, suggestive disease risk associations were seen with HLA-DQA1*05:01 and DQB1*02:01 in the ANoA + cohort (HLA-DQA1*05:01 OR = 1.84, p = 1.6 × 10⁻⁶; HLA-DQB1*02:01 OR = 1.88, p = 4.7 × 10⁻⁶), and the class 1 allele HLA-C*07:01 was seen at increased frequency relative to ANoA – disease, albeit not at GWS (OR = 1.78, p = 5.8 × 10⁻⁶; **Table 3**).

The independent SSc disease-risk association with alleles *HLA-DPB1*13:01* and *HLA-DRB1*11:04* appeared to be driven

TABLE 3 | HLA associations with SSc disease and major autoantibody subgroups.

			Me	etanalysis					С	ohort 1					(Cohort 2		
									АТ	A + vs -								
HLA allele		ATA- o.(Count)	ATA+	prop.(Count)	OR	р		ATA– o.(Count)	ATA+	prop.(Count)	OR	р		ATA– o.(Count)	ATA+	prop.(Count)	OR	p
DRB1*07:01	0.126	(123/974)	0.257	(3406/13273)	0.42	2.2 × 10 ⁻¹⁸	0.150	(53/353)	0.262	(1737/6632)	0.49	3.2×10^{-6}	0.113	(70/621)	0.251	(1669/6641)	0.39	3.3×10^{-1}
DQA1*02:01	0.127	(124/974)	0.256	(3397/13273)	0.43	5.3×10^{-18}	0.153	(54/353)	0.261	(1733/6632)	0.51	6.1×10^{-6}	0.113	(70/621)	0.251	(1664/6641)	0.39	3.9×10^{-1}
DRB1*11:04	0.114	(111/974)	0.035	(459/13273)	2.76	6.5×10^{-18}	0.076	(27/353)	0.035	(230/6632)	1.94	0.003	0.135	(84/621)	0.034	(229/6641)	3.26	1.6×10^{-1}
DQB1*02:02	0.080	(78/974)	0.186	(2468/13273)	0.38	5.0×10^{-16}	0.096	(34/353)	0.193	(1282/6632)	0.44	6.5×10^{-6}	0.071	(44/621)	0.179	(1186/6641)	0.35	4.2×10^{-1}
DRB4*01:01	0.094	(92/974)	0.188	(2491/13273)	0.45	1.2×10^{-12}	0.113	(40/353)	0.194	(1289/6632)	0.52	0.0001	0.084	(52/621)	0.181	(1202/6641)	0.42	5.1 × 10 ⁻⁹
B*44:03	0.038	(37/974)	0.105	(1397/13273)	0.34	2.8×10^{-10}	0.054	(19/353)	0.110	(728/6632)	0.46	0.001	0.029	(18/621)	0.101	(669/6641)	0.28	1.6×10^{-1}
C*16:01	0.030	(29/974)	0.082	(1090/13273)	0.35	2.9×10^{-8}	0.042	(15/353)	0.086	(573/6632)	0.46	0.004	0.023	(14/621)	0.078	(517/6641)	0.28	4.2 × 10 ⁻¹
								D	iffuse SS	Sc vs. Control								
HLA Allele		lcSSc o.(Count)	CO	Prop.(Count)	OR	р		cSSc o.(Count)	CO p	rop.(Count)	OR	р		cSSc o.(Count)	CO p	rop. Count)	OR	p
DPB1*13:01	0.108	(51/474)	0.033	(444/13273)	3.20	2.4×10^{-13}	0.109	(15/137)	0.035	(231/6632)	3.02	0.0001	0.107	(36/337)	0.032	(213/6641)	3.41	1.9 × 10 ⁻¹
DRB1*11:04	0.131	(62/474)	0.035	(459/13273)	2.93	3.3×10^{-12}	0.168	(23/137)	0.035	(230/6632)	3.47	1.9×10^{-6}	0.116	(39/337)	0.034	(229/6641)	2.71	2.6×10^{-7}
								Diffe	ıse SSc	vs. Limited S	Sc							
HLA Allele		cSSc o.(Count)	dcSSc	prop.(Count)	OR	p		cSSc .(Count)		dcSSc p.(Count)	OR	р		cSSc .(Count)		dcSSc pp.(Count)	OR	p
DQB1*02:02	0.08	(78/974)	0.146	(69/474)	2.04	6.2 × 10 ⁻⁵	0.096	(34/353)	0.124	(17/137)	1.39	0.31	0.071	(44/621)	0.154	(52/337)	2.54	2.4 × 10 ⁻⁵
DRB1*07:01	0.126	(123/974)	0.205	(97/474)	1.83	6.2×10^{-5}	0.150	(53/353)	0.175	(24/137)	1.24	0.44	0.113	(70/621)	0.217	(73/337)	2.23	1.4×10^{-5}
DQA1*02:01	0.127	(124/974)	0.205	(97/474)	1.81	8.0×10^{-5}	0.153	(54/353)	0.175	(24/137)	1.22	0.47	0.113	(70/621)	0.217	(73/337)	2.23	1.4 × 10 ⁻⁵
									ACA	+ vs								
Allele		ACA- o.(Count)	ACA +	prop.(Count)	OR	P		ACA- o.(Count)		ACA + p.(Count)	OR	P		ACA- o.(Count)		ACA + op.(Count)	OR	P
DQA1*01:01	0.233	(210/903)	0.436	(221/507)	2.58	4.5×10^{-15}	0.241	(64/266)	0.401	(87/217)	2.21	0.0001	0.229	(146/637)	0.462	(134/290)	2.99	1.2×10^{-1}
DQB1*05:01	0.204	(184/903)	0.379	(192/507)	2.39	3.2×10^{-12}	0.214	(57/266)	0.35	(76/217)	2.02	0.0009	0.199	(127/637)	0.400	(116/290)	2.76	1.8×10^{-1}
DQA1*02:01	0.196	(177/903)	0.077	(39/507)	0.34	6.7×10^{-9}	0.207	(55/266)	0.106	(23/217)	0.45	0.004	0.192	(122/637)	0.055	(16/290)	0.24	3.1×10^{-7}
DRB1*07:01	0.195	(176/903)	0.077	(39/507)	0.34	9.3×10^{-9}	0.203	(54/266)	0.106	(23/217)	0.47	0.006	0.192	(122/637)	0.055	(16/290)	0.24	3.1×10^{-7}
DRB1*01:01	0.159	(144/903)	0.292	(148/507)	2.15	1.5×10^{-8}	0.162	(43/266)	0.281	(61/217)	2.04	0.002	0.159	(101/637)	0.300	(87/290)	2.33	8.7×10^{-1}
DQB1*02:02	0.134	(121/903)	0.043	(22/507)	0.29	2.8×10^{-7}	0.147	(39/266)	0.055	(12/217)	0.35	0.003	0.129	(82/637)	0.034	(10/290)	0.23	2.1 × 10 ⁻¹
									ATA	+ vs								
Allele		ATA- o.(Count)	ATA +	- prop.(Count)	OR	p		ATA- o.(Count)	ATA +	prop.(Count)	OR	р		ATA- o.(Count)	ATA +	prop.(Count)	OR	p
DPB1*13:01	0.041	(48/1170)	0.250	(60/240)	7.85	9.3 × 10 ⁻²²	0.035	(14/404)	0.228	(18/79)	8.54	1.2×10^{-7}	0.044	(34/766)	0.261	(42/161)	8.39	6.8×10^{-1}
DRB1*11:04	0.083	(97/1170)	0.300	(72/240)	4.13	9.7×10^{-14}	0.069	(28/404)	0.266	(21/79)	4.93	9.1×10^{-6}	0.09	(69/766)	0.317	(51/161)	3.9	$3.3 \times 10^{-}$
DQA1*01:01	0.339	(397/1170)	0.133	(32/240)	0.30	2.4×10^{-9}	0.347	(140/404)	0.114	(9/79)	0.23	0.0001	0.336	(257/766)	0.143	(23/161)	0.33	$4.2 \times 10^{-}$
DPA1*02:01	0.271	(317/1170)	0.454	(109/240)	2.33	1.0×10^{-8}	0.260	(105/404)	0.519	(41/79)	3.26	5.9×10^{-6}	0.277	(212/766)	0.422	(68/161)	2.17	2.8×10^{-1}
DRB1*15:01	0.203	(237/1170)	0.350	(84/240)	2.40	4.3×10^{-8}	0.223	(90/404)	0.367	(29/79)	2.25	0.003	0.192	(147/766)	0.342	(55/161)	2.37	1.6×10^{-1}
D. 10101																		

TABLE 3 (Continued) HLA associations with SSc disease and major autoantibody subgroups.

			Met	Metanalysis					ပိ	Cohort 1					O	Cohort 2		
									ATA	ATA + vs -								
HLA allele	Pro	ATA- Prop.(Count)	АТА+ р	ATA+ prop.(Count)	OR	d	A Prop.	ATA- Prop.(Count)	АТА+ р	ATA+ prop.(Count)	OR	ď) Prop	ATA- Prop.(Count)	ATA+ I	ATA+ prop.(Count)	OR	ď
DRB5*01:01 DQB1*06:02	0.205	0.205 (240/1170) 0.354 0.197 (231/1170) 0.329	0.354	(85/240) (79/240)	2.38	5.0×10^{-8} 2.2×10^{-7}	0.223	(90/404)	0.367	(29/79)	2.25	0.003	0.196	0.196 (150/766) 0.348 0.191 (146/766) 0.311	0.348	(56/161)	2.33	1.8×10^{-5} 0.0002
									ANOA	ANoA + vs								
Allele	A	ANoA- prop.(Count)	Al	ANoA + prop.(Count)	8	۵	Al prop.	ANoA- prop.(Count)	Al	ANoA + prop.(Count)	OR	Q	A	ANoA- prop.(Count)	Pro	ANoA + prop.(Count)	8 B	a
DQA1*01:01 DQA1*05:01 DQB1*02:01 C*07:01		0.340 (359/1055) 0.474 (500/1055) 0.227 (240/1055) 0.304 (321/1055)	0.201 0.626 0.337 0.433	(71/353) (221/353) (119/353) (153/353)	0.48 1.84 1.88 1.78	9.2 × 10 ⁻⁷ 1.6 × 10 ⁻⁶ 4.7 × 10 ⁻⁶ 5.8 × 10 ⁻⁶	0.335 0.473 0.262 0.332	(119/355) (168/355) (93/355) (118/355)	0.244 0.622 0.339 0.457	(31/127) (79/127) (43/127) (58/127)	0.62 1.84 1.47 1.69	0.00 0.005 0.09 0.01	0.343 0.474 0.21 0.29	0.343 (240/700) 0.474 (332/700) 0.21 (147/700) 0.29 (203/700)	0.177 0.628 0.336 0.42	(40/226) (142/226) (76/226) (95/226)	0.41 1.83 2.21 1.86	4.6×10^{-6} 0.0001 6.4×10^{-6} 0.0001

almost exclusively by ATA + patients, in which these alleles occurred at significantly higher frequency than in ATA – disease (*HLA-DPB1*13:01* OR = 7.85, $p_{FDR} = 9.3 \times 10^{-22}$; *HLA-DRB1*11:* 04 OR = 4.13, $p = 9.7 \times 10^{-14}$), increasing odds of ATA + SSc disease specifically substantially above that of SSc alone (**Table 3**). HLA-DPB1*13:01 was seen in 25 and 4%, and HLA-DRB1*11:04 in 30 and 8% of patients positive and negative for this autoantibody respectively. A significant increase in the frequency of alleles HLA-DPA1*02:01 (OR = 2.33, $p = 1.0 \times$ 10^{-8}), DRB1*15:01 (OR = 2.40, p = 4.3 × 10^{-8}) and DRB5*01:01 (OR = 2.38, $p = 5.0 \times 10^{-8}$) was also seen in ATA + relative to ATA - SSc, though these alleles did not show a significant association with risk of SSc, or either disease subtype, overall. The strongest HLA class I associations were seen with lcSSc rather than dcSSc (*HLA-B*44:03* OR = 0.34, $p = 2.8 \times 10^{-10}$; *HLA-C*16*: 01 OR = 0.35, $p = 2.9 \times 10^{-8}$ lcSSc versus control; **Table 3**). Extended tables of HLA allele frequencies in each antibody positive subgroup of disease are provided in Supplementary Tables S7-S9.

KIR-HLA Class I Interactions in SSc

Given that certain HLA class I alleles serve as ligands for inhibitory and activating KIRs, an interaction evolved to buffer the innate killing activity of NK cells and other lymphocyte populations, HLA class I subgroup and allele associations with SSc were assessed in the context of genetic epistasis with their canonical KIRs. KIR imputation approaches allow profiling and statistical analysis of the highly polymorphic KIR locus in sizeable cohorts unamenable to lab-based copy-number typing. Imputed gene and haplotype frequencies across both study cohorts were in close agreement with data from publicly available and published European cohorts (Supplementary Figures S3,S4) and utilised for statistical comparison between SSc cases and controls. Before accounting for HLA background, none of the 14 KIR loci showed a significant association with SSc under a dominant or recessive inheritance model. SSc associated allele HLA-B*44:03, protective for lcSSc, is a member of the HLA-Bw4 subclass of KIR ligands recognised by inhibitory receptor KIR3DL1. The linked-allele HLA-C*16:01 is a HLA-C1 ligand carrying a residue 80 asparagine recognised by inhibitory receptors KIR2DL2 and KIR2DL3. An epistatic interaction was detected between KIR3DL1 and HLA-Bw4 in the SSc meta-analysis cohort, such that fewer KIR3DL1+ SSc patients carried an appropriate HLA-Bw4 ligand for this inhibitory receptor (67.7%) relative to KIR3DL1+ controls (72.2%, p_{int} = 0.02); accordingly, the protective association of HLA-Bw4 alleles with SSc was seen only in KIR3DL1+ individuals (OR = 0.77, $p = 2.0 \times 10^{-5}$; Table 4). No significant difference was observed in HLA-B*44 carriage in KIR3DL1+ compared with KIR3DL1-carriers, although the very small sample sizes preclude reliable interpretation. An interaction was also observed between HLA-C1 ligands and KIR2DL3/L2/S2 genes (all of which show perfect LD), such that HLA-C1 individuals carrying the activating receptor KIR2DS2 (and thus lacking paired inhibitory receptor KIR2DL3 in inverse LD) were at an increased risk of disease relative to KIR2DL3+ individuals (p_{int} = 0.03). The inhibitory receptor KIR2DL3 was seen at higher frequency in HLA-C*16 +

TABLE 4 | KIR interactions with HLA class I subtypes and alleles.

	ı			Met	Metanalysis						ပိ	Cohort 1						O	Cohort 2			
HLA	KIR	S prop.	SSc prop.(Count)	CO pro	CO prop.(Count)	OR	a	Pint	SSc prop.(Count)	ic Sount)	CO prof	CO prop.(Count)	S S	Ф	Pint	SSc Prop.(Count)	c Sount)	CO prop	CO prop.(Count)	R	ď	Pint
Bw4	3DL1+	0.677	(947/	0.722	(9121/	0.77	2x10 ⁻⁵	0.02	0.683	(332/	0.72	(4542/	0.81	0.03	90.0	0.674	(615/	0.723	(4579/	0.75	0.0002	0.15
	3DL1-	0.833	(25/66)	0.729	(462/634)	1.62	0.17		0.941	(16/17)	0.722	(236/	5.21	0.12		962.0	(39/49)	0.736	(226/	1.27	0.54	
C1	2DL3+	0.861	(1139/	0.871	(10537/	0.99	0.88	0.03	0.889	(399/	0.87	(5270/	1.29	0.10	09.0	0.847	(740/	0.872	(5267/	0.86	0.15	0.02
	2DL3-/ L2++/S2++	0.93	(132/	0.867	(1020/	2.14	0.03		0.926	(50/54)	0.88	(505/	1.96	0.22		0.932	(82/88)	0.855	(515/ 602)	2.63	0.03	
				Me	Metanalysis						Ŏ	Cohort 1							Cohort 2			
KIR	HLA Allele	\$ prop.	SSc prop.(Count)	CO pr	CO prop.(Count)	e B	d	Ppnt	SSc prop.(Co	SSc prop.(Count)	CO pro	CO prop.(Count)	OR	ď	Pint	S prop.	SSc prop.(Count)	CO pr	CO prop.(Count)	OR	ď	Pint
2DL3	C*16+	0.797	(59/74)	0.902	(1044/	0.44	600.0	0.02	0.828	(24/29)	0.902	(543/602)	0.49	0.18	0.43	0.778	(35/45)	0.903	(501/555)	0.38	0.02	0.01
	C*16- (C1/C2)	0.909	(1264/ 1391)	0.912	(11053/	1.01	0.93		0.897	(425/ 474)	0.915	(5515/ 6030)	0.85	0.30		0.915	(839/	0.910	(5538/ 6086)	1.12	0.37	

pint = KIRxHLA interaction term p-value. p-value, controls (90.2%) than HLA-C*16 + SSc, cases (79.7%; OR = 0.44, p = 0.009), though did not differ in frequency in HLA-C*16- case-control analysis ($p_{\rm int} = 0.02$; **Table 4**), suggesting that the protective association of this class I allele may be mediated through inhibitory KIR, interactions. Extended tables showing statistical interactions between biologically validated KIR-HLA, pairs are provided in **Supplementary Tables S10–S11**.

DISCUSSION

Both protective and disease-risk associations with classical HLA alleles are among the strongest seen in human immune-mediated disease, attesting to the central role of these molecules in dictating productive and pathologic immune responses. Additional to their function in guiding T cell specificity through presentation of self and foreign derived antigens, engagement of HLA ligands in a less antigen-dependent manner by inhibitory and activating lymphocyte receptors make them key moderators of lymphocyte activity. Systemic sclerosis remains immunological perplexity; strong HLA associations, alongside emergence of serum autoantibodies that segregate with clinical phenotype, suggest an autoimmune axis. However, whether autoantibodies are drivers of cascading inflammation, fibrosis, organ destruction and resulting mortality in this disease remains unknown. Here we report on HLA associations detected in two independent and meta-analysed cohorts of SSc patients, and present findings from direct case-case comparisons of HLA frequencies in autoantibody positive and negative subgroups of disease. Furthermore, we identify statistical interactions between KIRs and HLA class I ligands in the largest analysis of genetic epistasis between these loci in SSc.

Meta-analysis of HLA frequencies in SSc patients and healthy controls revealed strong risk associations with class II alleles HLA-DRB1*11:04 and HLA-DPB1*13:01, confirmed by step-wise and pair-wise conditional analysis to represent two independent disease-associated loci. HLA-DRB1*11:04 associations were seen with both lcSSc and dcSSc, but the HLA-DPB1*13:01 association reached GWS in the dcSSc cohort alone. The frequencies of both HLA-DPB1*13:01 and HLA-DRB1*11:04 alleles were significantly increased in ATA + relative to ATA -disease (OR = 7.85 and OR = 4.13 respectively), however neither differed in frequency from controls in ATA - or ACA + SSc cohorts, indicating the strong specificity of these genetic association for patients with ATA + serology. Both HLA-DRB1*11:04 and HLA-DPB1*13:01 alleles have been reported across a diversity of ethnic groups to be associated with SSc risk, and, specifically, ATA + disease (Zochling et al., 2014; Gourh et al., 2020; Acosta-Herrera et al., 2021). Furthermore, HLA-DRB1*11 positivity, alongside ATAs and/or dcSSc, has been reported as the strongest risk factor for SSc associated pulmonary fibrosis in a United Kingdom cohort (Fanning et al., 1998). In a recent functional investigation of the link between topoisomerase-I (TOP1) derived peptides and HLA-DR alleles in 6 ATA + SSc patients, a restricted and shared set of TOP1 peptides were eluted off HLA-DR molecules from patient monocyte-derived dendritic cells pulsed with recombinant human TOP1. Sequence analysis of

ATA + SSc associated HLA-DR alleles (HLA-DRB1*11:01, *11:04, *08:02, *08:04, and*15:02), which were inherited by 3 of the 6 patients, revealed two strongly conserved motifs in the peptidecontact region of the DRβ-chain. Two further individuals carried HLA-DRB1*15:01 and *16:01, alleles with no previously reported ATA + SSc association, but which contained identical peptidecontact motifs to ATA-associated alleles, and shared TOP1 epitopes isolated from all such alleles elicited CD4+ T cell activation in 73% of ATA + SSc patients relative to 27% of ATA-patients (Tiniakou et al., 2020). Relevant to this, this study is the first to show a GWS association of HLA-DRB1*15:01 with ATA + relative to ATA – SSc (OR = 2.4, $p = 4.3 \times 10^{-8}$), following the recently published association of this allele with ATA + SSc by case-control analysis (Acosta-Herrera et al., 2021), suggesting commonalities in the preferential binding of this and other ATA + SSc associated HLA-DRB1 alleles to TOP1 derived peptides. These findings support the hypothesis that exposure to an environmentally derived TOP1 peptide mimic, presented by risk class II alleles, triggers development of ATA + antibodies, and suggest that autoantibodies may play a direct role in SScassociated lung disease.

Alleles HLA-DRB1*07:01, DQA1*02:01, DQB1*02:02, and DRB4*01:01 demonstrated a protective association with SSc. Pairwise conditioning on either allele HLA-DRB1*07:01 or DQA1*02:01 was sufficient to abolish disease association at the remaining three loci, confirming tight linkage of these two lead disease-protective alleles on a common haplotype. This is in contrast to previous conditional analyses which have reported HLA-DQB1*02:02 as carrying the strongest independent protective association with disease (Gourh et al., 2020; Acosta-Herrera et al., 2021). Here, the DRB1*07:01-DQA1*02:01 haplotype was associated with lcSSc alone, alongside HLA-DQB1*02:02, showing a reduced frequency in lcSSc when compared to dcSSc directly ($p < 8 \times 10^{-5}$). The haplotype showed a GWS association with ACA + but not ATA + disease, as previously reported (Gourh et al., 2020; Acosta-Herrera et al., 2021).

To our knowledge, no studies have yet reported GWS HLA associations between auto-antibody positive and negative subgroups of SSc patients. In addition to associations with ATA + SSc discussed above, significantly increased frequencies of the class II alleles HLA-DQA1*01:01, DQB1*05:01, and DRB1*01:01 were seen with ACA + relative to ACA – disease, confirming published findings from smaller studies comparing patient subgroups across multiple ethnicities (Kuwana et al., 1995; Fanning et al., 1998; Simeon et al., 2009). Recent mapping of antigen targets for serum autoantibodies taken from patients with SSc, Sjögren's syndrome and primary biliary cholangitis showed broad spectrum reactivity of ACAs against multiple centromere antigens in a subset of patients, suggesting recognition of the tertiary structure of the centromere-kinetochore macrocomplex rather than single protein derived epitopes (Kajio et al., 2021). Such complexity in the epitope mapping of ACAs is likely to also complicate derivation of linear peptides that may link ACA-associated class II alleles to autoantibody production, with binding of centromere derived peptides to genetically implicated HLAs yet to be shown. Alternate strategies such as investigating peptides binding and/or eluted from disease associated compared with protective alleles offers a different and potentially more productive approach.

We are the first to report GWS protective HLA class I association with alleles HLA-B*44:03 and HLA-C*16:01 in SSc, detected in both the total SSc cohort meta-analysis and. specifically, with lcSSc. Consistent with our findings, a suggestive HLA-B*44:03 association with the same direction of effect has been reported previously in European Americans; however this was lost upon conditioning with associated alleles HLA-DQB1*02:02, DPB1*13:01, and DRB1*11:04 (Gourh et al., 2020). Here, pairwise and iterative conditional analysis demonstrated strong LD between HLA-B*44:03 and HLA-C*16:01, and weaker but detectable LD with the protective class II haplotype containing HLA-DRB1*07:01. Although associations with both HLA class I alleles were weakened upon correction for all three independently associated class II alleles, HLA-DRB1*11:04, DRB1*07:01 and DPB1*13:01, the genetic signal remained significant (p < 0.0008), suggesting that the observed HLA class I associations may be more than accessory to co-inherited class II alleles. Of relevance, an epistatic interaction was observed between HLA-C*16 and the KIR locus, suggesting this allele may play an independent yet complementary role in disease protection. Of HLA-C*16 + individuals, 79.7% of SSc patients co-inherited KIR2DL3 relative to 90.2% of controls (OR = 0.44, p = 0.009), whereas KIR2DL3 frequencies did not differ in HLA-C*16- individuals. KIR2DL3 encodes an inhibitory receptor for HLA-C1 subgroup ligands. In a functional investigation of KIR2DL3 binding to a panel of 97 bead-bound HLA class I alleles expressing a broad repertoire of peptides, HLA-C*16:01 (a C1 ligand) ranked 8th in its binding avidity for KIR2DL3 and was one of the strongest inhibitors of KIR2DL3+ NK cell degranulation (Moradi et al., 2021). In our analyses, KIR2DL3 was conversely associated with increased disease risk when inherited with HLA-C2 allele HLA-C*04, which binds the KIR2DL3 receptor with only 10% of the avidity seen for its strongest HLA-C1 binding partner (Moradi et al., 2021). These findings emphasise the importance of functional context when interpreting KIR-HLA epistatic interactions in disease, and suggest that co-inheritance of HLA-C*16 and KIR2DL3 may protect from SSc through increasing the activation threshold of KIR2DL3 expressing lymphocytes.

The potential contribution of KIR-HLA ligand interactions to the risk and/or severity of immune-mediated disease has been explored across many conditions. In our analyses, co-inheritance of HLA-Bw4 ligands in the presence of their compatible inhibitory receptor KIR3DL1 was significantly associated with disease protection in both independent disease cohorts (metaanalysis $p = 2 \times 10^{-5}$), and inheritance of HLA-C1 alleles in the absence of their inhibitory receptor KIR2DL3 was significantly associated with disease risk, albeit with more modest strength of association (p = 0.03). This suggests that signalling through inhibitory KIRs may reduce the risk of chronic, damaging, immune activation and protect against SSc. Among other diseases with immune involvement, co-inheritance of KIR3DL1 and HLA-Bw4, or specific HLA-Bw4 alleles, has been associated with protection from multiple sclerosis (Hollenbach et al., 2016) and ankylosing spondylitis (Hanson et al., 2020), and both KIR3DL1-HLA-Bw4 and KIR2DL3-HLA-C1 co-inheritance

with protection from autoimmune hepatitis (Littera et al., 2016). An absence of inherited strong inhibitory KIR-HLA interacting partners may be hypothesised to predispose to a lower threshold of lymphocyte activation and increased risk of autoinflammatory disease. A study of NK cell frequency and function in SSc patients' blood demonstrated a significant increase in NK cell numbers in dcSSc relative to controls, and increased expression of cell surface activation markers CD16 and CD69 on both lcSSc and dcSSc NK populations (Horikawa et al., 2005). However, upon in vitro stimulation, SSc patient NK cells also exhibited a significant reduction in interferon y (IFNy) production, a reduced ability to lyse target cells, and reduced granzyme B secretion. Conflicting studies surround the functional role of NK cells in SSc, emphasising that the contribution of this lymphocyte subset to disease is poorly understood. Our analyses suggest that variable inheritance of NK inhibitory and activating receptors imparts an additional layer of genetic heterogeneity to immune cell involvement in this condition.

Here we report an extensive analysis of class I and II HLA associations with SSc, and clinical and serological subtypes of disease, in two independent and meta-analysed cohorts of patients and controls. The substantial size of our study cohort has enabled us to identify HLA associations at GWS that differentiate autoantibody positive and negative SSc patients, emphasising the genetic heterogeneity underpinning this disease. Furthermore, we identify two new HLA class 1 associations, and show that co-inheritance of HLA class I ligands and KIRs, receptors that serve as key modulators of lymphocyte activation, may further contribute to an individual's underlying risk of developing SSc. Clear elucidation of genetic associations with disease risk and autoantibody positivity in SSc may aid in functional studies addressing the inflammatory triggers for disease, in the form of both endogenous host antigens and environmental stimuli that disrupt immune tolerance.

DATA AVAILABILITY STATEMENT

Extended summary statistics for HLA and KIR association analyses are provided in the Supplementary Material.

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Access requests for participant genotypes should be made through the corresponding author MB matt.brown@kcl.ac.uk.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the local ethics committees at participating centres that recruited SSc patients and healthy controls for analysis. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

JS, G-SN, JR, JW, WS, MN, SA, SP, MM, and MB contributed to SSc patient and healthy control recruitment and clinical data collection. MM and MB facilitated genotyping. Data preprocessing, imputation, statistical analysis and manuscript writing was performed by AH, with guidance in study design and statistical analysis provided by TK and MB. All authors contributed to manuscript drafting.

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SUPPLEMENTARY MATERIAL

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Case report: COPA syndrome with interstitial lung disease, skin involvement, and neuromyelitis spectrum disorder

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This report describes a case of a 22 months Chinese boy with COPA syndrome bearing the c.715G > C (p.A239P) genotype. In addition to interstitial lung diseae, he also suffered from recurrent chilblain-like rashes, which has not been previously reported, and neuromyelitis optica spectrum disorder (NMOSD), which is a very rare phenotype. Clinical manifestations expanded the phenotype of COPA syndrome. Notably, there is no definitive treatment for COPA syndrome. In this report, the patient has achieved short-term clinical improvement with sirolimus.

KEYWORDS

children, copa syndrome, neuromyelitis optica spectrum disorder, rashes, sirolimus

Introduction

In 2019, the International Union of Immunological Societies Expert Committee (IUIS) classified COPA syndrome as a non-inflammasome-associated disease (1). COPA syndrome shares very similar symptoms and pathogenesis with another Type I interferonopathy, namely, STING-associated vasculopathy with onset in infancy (SAVI). STING and subsequent IFN pathway are activated by the mutation of STING1 gene. Up till now, a total of 78 individuals carrying 16 variants of COPA gene have been reported in the literature. COPA syndrome, also known as an immune deficiency disease with strong clinical heterogeneity and certain commonality (2), usually affects the lungs, kidneys, and joints. Skin vasculopathy is considered as a core feature of SAVI, which was observed in 77% of the reported patients, but has yet to be described in any patient with COPA syndrome. In this report, we present a pediatric case with recurrent chilblain-like rashes, which is a kind of skin vasculopathy. In addition, the patient also suffered from NMOSD, which has been reported only once before.

Abbreviations

NMOSD: neuromyelitis optica spectrum disorder; AQP4, anti-aquaporin 4 antibody; IFN, interferon; MRI, magnetic resonance imaging; pANCA, perinuclear antineutrophil cytoplasmic antibody; ANA, antinuclear antibody; MOG, anti-myelin oligodendrocyte glycoprotein antibody; GFAP, anti-glial fibrillary acidic protein; ILD, interstitial lung disease; SLE, systemic lupus erythematosus; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate.

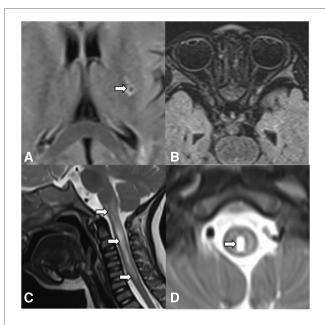
Case presentation

A 22-month-old boy presented with irritability for 8 days and limb weakness for 6 days, accompanied by lethargy and vomiting. The boy had a history of transient paralysis of the right upper limb after fever at the age of 19-month-old, and his symptoms alleviated three days later. Additionally, he also manifested repeated facial and ear rashes, which were diagnosed as chilblain. Physical examination showed decreased muscle strength (3+/5 and 3/5 for upper and lower limbs, respectively), mild hypertonia in the left lower limb, nuchal rigidity, normal deep reflex, negative Babinski's sign, Kernig's sign and Brudzinski's sign. Laboratory tests (Table 1) revealed positive perinuclear antineutrophil cytoplasmic antibody (pANCA) and antinuclear antibody (ANA) (1:320), negative anti-double-stranded DNA (ds-DNA) antibody and anti-Sm antibody, positive serum anti-aquaporin 4 antibody (AQP4) (1:1,000, cell based assay), negative serum anti-myelin oligodendrocyte glycoprotein antibody (MOG), anti-glial fibrillary acidic protein (GFAP) antibody and serum antibodies against autoimmune peripheral neuropathy (KingMed Diagnostics). No abnormalities was found in ophthalmic examination revealed. Routine and biochemical test of cerebrospinal fluid (CSF) were normal. CSF culture and metagenomic next-generation sequencing (mNGS) showed no etiology. Brain MRI showed lesions involving medulla and white matter around the ventricle and small encephalomalacia foci with gliosis in the left basal ganglia (Figure 1A). MRI of the optic nerve was normal (Figure 1B). MRI of the Spinal cord showed longitudinally

TABLE 1 Clinical data of the patient with COPA syndrome.

Clinical data	
Sex	male
Age (m)	22
History	transient paralysis of the right upper limb
Symptom/sign	cough; tachypnea; clubbing; Chilblain - like rash; NMOSD
Lung	
CT chest	ground glass opacities (GGO); consolidations
TBFV	Mixed
Arthritis	-
Kidney	-
Allergic disease	Urticaria
Others	-
ANA	+ (1:320)
dsDNA	-
RF	+
HLA-B27	-
Anti-CCP	-
MPO	-
PR3	-
CRP (mg/L)	0.49 (0-10)
ESR (mm/h)	20 (1–15)
IL-6 (pg/ml)	3.4 (<7)
Total T lymphocytes	994.01 (770–2,860)
AQP4	+(1:1,000)

TBFV, tidal breathing flow volume curve; ANA, antinuclear antibody; CCP, cyclic citrullinated peptide; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate; PFT, pulmonary function test; AQP4, anti-aquaporin 4 antibody.



Brain MRI, spinal cord MRI, and optic MRI of the patient. Axial T2-weighted fluid-attenuated inversion recovery (FLAIR) MRI shows small encephalomalacia foci with gliosis in the left basal ganglia (A, arrows) and normal optic nerves (B). Sagittal (C) and axial (D) T2-weighted MRI of the spinal cord demonstrates longitudinally extensive transverse myelitis (LETM) lesion involving medulla to C6 leve (arrows).

extensive transverse myelitis lesions from the medulla to C6 level (Figures 1C,D). According to the NMOSD diagnostic criteria proposed by the NMO diagnostic Group in 2015 (2), the child was diagnosed as NMOSD (based on the existence of two core clinical symptoms, namely, acute myelitis and area postrema syndrome, and positive AQP4 antibody). The patient was reated with high dose of intravenous methylprednisolone (20 mg per kilogram for 4 day) followed by oral prednisone with gradual diminution of dose, along with intravenous immunogloblin (IVIG) (2 g/kg). Then his muscle strength gradually improved without recurrence. Disease-Modifying Treatment (DMT) is recommended as the standard treatment in remission of NMODS, which can reduce the clinical onset of patients with recurrent remission. Regrettably, his parents refused to use DMT in consideration of its adverse effects.

The patient developed dry cough, shortness of breath accompanied with rashes in the following months. Physical examination showed tachypnea, retractions and clubbing fingers, as well as Chilblain-like rashes on both of cheeks (Figure 2). His respiratory symptoms revealed a lousy response to anti-infection treatment and deteriorated. Chest CT (Figure 3) showed ground glass opacities (GGO) and consolidations in both lungs, suggesting interstitial lung disease (ILD). The whole exome sequencing (WES), conducted by Beijing MyGenostics Laboratory, revealed a heterozygous variant of *COPA* gene, that is, c.715G > C (p.A239P, NM_004371), which was confirmed by sanger sequencing (Figure 4). The patient was administrated with prednisone 2 mg/kg per day for one month at the age of 25 months. His tachypnea and cough were slightly alleviated but not



FIGURE 2

(A) Chilblain-like rash was observed on the face and ears of the child. (B) Clubbing fingers.

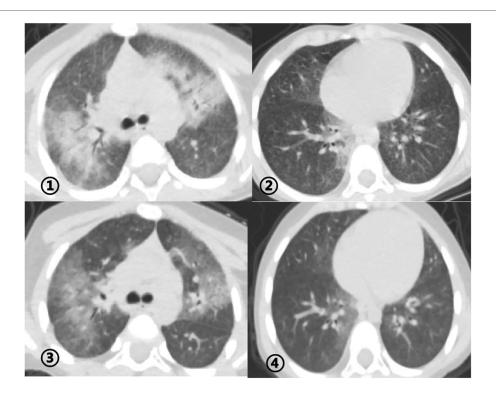
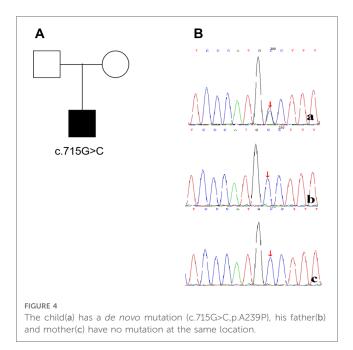


FIGURE 3
①②: Chest CT before the treatment at the age of 25-months old revealing ground glass opacities (GGO) and consolidations in both lungs. ③④: Chest CT at the age of 29-months old revealing significant absorption of GGO and consolidations in the lung after treatment for 4 months.

disappear during the treatment. Then, he received a combined treatment of sirolimus with a dose of 0.8 mg/m² at the age of 26 months. Meanwhile, the dosage of prednisone gradually decreased to 0.5 mg/kg and remained unchanged. Afterwards, his cough and tachypnea gradually disappeared. Repeated chest CT at the age of 29-months old showed significant absorption of GGO and consolidations in both lungs after the 4 months of prednisone treatment and 3 months of combined sirolimus treatment. However, the patient was non-adherent to follow up.

Discussion

COPA gene is located on chromosome1q23.2 and including 33 exons (54 kb). To date, most of the pathogenic COPA variants identified in patients with autoinflammatory diseases compatible with COPA syndrome are located in the 14 amino acid region and the WD40 domain with important functions, except for 4 mutations, that is c.433C > T (p.P145S) (3), c.596A > G (p.H199R) (4), c.841C > T (p.R281W) (5) and c.855G > C (p.Q285H) (6). The genetic testing of this patient revealed a variant of c.715G > C



(p.A239P), located within the WD40 domain, which has been previously reported by Pamela Psarianos (7).

Clinically, COPA syndrome mainly manifests diffuse alveolar hemorrhage or interstitial lung disease, arthritis, and renal injury. Additionally, its onset is mostly in childhood, without racial predilection. Watkin et al. (8) reported that all of the patients had lung disease diagnosed as pulmonary hemorrhage, interstitial lung disease, or both. Arthritis was found in 95% of children, with the knee joint and interphalangeal joints being the most common, and rheumatoid factor positive in 43% of children. In the available literature, only 4 patients have neurological symptoms (9). Bader-meunier B et al. (6) reported a case of COPA syndrome with arthritics only. The mutation occurred on the outer surface of the adjacent blade within the seven-bladed b-propellor structure, distinctting from the previously reported hot spot mutations. To our great knowledge, there have been no previous reports of rashes in patients with COPA syndrome.

In this case, the child presented with limb weakness, and MRI of the spinal cord indicated patchy abnormal signal shadows from C1 to C6, which was consistent with acute myelitis. In terms of his clinical sympotom of vomiting, encephalitis was excluded due to no fever and convulsive seizure, normal CSF routine and biochemistry, no etiology of CSF culture and mNGS, negative antibodies against autoimmune peripheral neuropathy. Brain MRI suggested abnormal signal shadow in the medulla oblongata, area postrema syndrome was therefore taken into consideration. At the same time, according to the NMOSD diagnostic criteria formulated by the International NMO Diagnostic Group (IPND) in 2015, the child could be diagnosed as NMOSD due to positive AQP4. Additionally, this child was transiently paralyzed in his right upper limb at the age of 19 months. Combined with head MRI, the left basal ganglia encephalomalacia lesion was shown (there was no change in subsequent brain MRI), which might be episode of focal cerebral ischemia. As far as we known, NMOSD is a very rare phenotype of COPA syndrome. In the past, only one patient suffered from hearing loss due to bacterial meningitis and bilateral recurrent neuromyelitis optica. However, the presence of AQP4-Ab was not reported in this patient (10). NMOSD is an uncommon antibody-mediated central nervous system disease. Antibodies against aquaporin-4 (AQP4-Ab), a water channel expressed on astrocytes has been found in approximately 75% of patients. A recent whole-genome sequence study identified genetic variants in the major histocompatibility region that contribute to the etiology of NMOSD (11). About one quarter of AQP4-Ab positive NMOSD patients suffer from another autoimmune disease, such as systemic lupus erythematosus (SLE) and myasthenia gravis (12, 13). SLE and NMOSD share a common origin of interferonopathy. Intriguingly, Jac Williams (14) reported that either an increase in endogenous IFN α (such as in patients with SLE) or an increase in exogenous IFN α (treatment with recombinant interferon alpha) could promote the development of NMOSD. COPA syndrome is an autoinflammatory disease with autoimmune features, suggesting that the pathogenesis of COPA syndrome and NMOSD comorbidity may be similar to the SLE and NMOSD comorbidities, which may be associated with humoral immunity.

Patients with COPA syndrome shares similar clinical features with SAVI, such as ILD, joint and kidney involvement (15). Skin vasculopathy, ranging from a mild rash or livedo to severe ulcerative lesions and extensive tissue loss, is a core feature of SAVI, which is observed in 77% of the reported patients, but has yet to be described in any previous COPA syndrome patients. This is the first case of chilblain-like rashes in COPA syndrome.

At present, COPA syndrome treatment mainly refers to other autoimmune diseases. Common treatment schemes include glucocorticoids in combination with immunosuppressors such as JAK1/2 inhibitor (ruxolitinib, baricitinib). JAK inhibitors are widely used to inhibit cytokine signaling, including the downstream of interferons and other cytokines. It is reported that the application of JAK inhibitors can improve the patient's well-being and quality of life of the patients (16, 17). Glucocorticoid combined with hydroxychloroquine and mycophenolate mofetil (MMF) can also alleviate cough in COPA patients (7). In addition, Guan Y et al. (2) reported that two cases of COPA syndrome were treated with sirolimus and achieved favourable therapeutic effect. In the present report, the symptoms of the child have been remarkably alleviated after the treatment of glucocorticoid in combination with sirolimus, which supports the possibility that sirolimus may serve as an effective treatment for COPA syndrome. Blocking the mTOR pathway may be the mechanism of sirolimus in treating COPA syndrome, which is one of the downstream signaling pathways activated by STING. The longterm prognosis of COPA syndrome remains unclear due to the limited number of COPA syndrome cases and insufficient follow-up periods.

There are still several limitations in our study. Skin biopsy was not performed to verify that the chilblain-like rash in the child was vasculitis. Moreover, we only conducted short-term follow-up.

Conclusion

In summary, we first reported a new phenotype of skin involvement in one patient with COPA syndrome, which expanded the phenotypic spectrum of this disease.

Data availability statement

The datasets for this article are not publicly available due to concerns regarding participant/patient anonymity. Requests to access the datasets should be directed to the corresponding author.

Ethics statement

The studies involving human participants were reviewed and approved by Children's Hospital Affiliated to Zhengzhou University/Henan Children's Hospital/Zhengzhou Children's Hospital. Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

Author contributions

XL and YW: supervised the patient care, conceptualized and designed the study, drafted the initial manuscript, and reviewed and revised the manuscript. YT and LZ: performed the lung scintigraphy, designed the study, and reviewed and revised the manuscript. YW and WZ: performed the nervous system scintigraphy, designed the study, and reviewed and revised the manuscript. YS: performed genetic analysis, designed the study, collected data, and reviewed and revised the manuscript. XT: conceptualized and designed the study, coordinated and supervised data collection, and critically reviewed the manuscript

for important intellectual content. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Case report: Gene mutations and clinical characteristics of four patients with osteopetrosis

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Osteopetrosis is characterized by increased bone density caused by decreased osteoclasts or dysfunction of their differentiation and absorption properties, usually caused by biallelic variants of the TCIRG1(OMIM:604592)and CLCN7 (OMIM:602727) genes. Herein, the clinical, biochemical, and radiological manifestations of osteopetrosis in four Chinese children are described. Wholeexome sequencing identified compound heterozygous variants of the CLCN7 and TCIRG1 genes in these patients. In Patient 1, two novel variants were identified in CLCN7:c.880T > G(p.F294V) and c.686C > G(p.S229X). Patient 2 harbored previously reported a single gene variant c.643G > A(p.G215R) in CLCN7. Patient 3 had a novel variant c.569A > G(p.N190S) and a novel frameshift variant c.1113dupG(p.N372fs) in CLCN7. Patient 4 had a frameshift variant c.43delA(p.K15fs) and variant c.C1360T in TCIRG1, resulting in the formation of a premature termination codon (p.R454X), both of which were reported previously. Our results expand the spectrum of identified genetic variation in osteopetrosis and provide a deeper understanding of the relations between genotype and clinical characteristics of this disorder.

KEYWORDS

osteopetrosis, CLCN7, TCIRG1, mutation, variants

1. Introduction

Osteopetrosis encompasses a group of rare metabolic bone diseases characterized by impaired osteoclast activity or development, resulting in high bone mineral density (1). The disease is classified into three major clinical subtypes: autosomal recessive osteopetrosis (ARO), intermediate autosomal osteopetrosis (IAO), and autosomal dominant osteopetrosis (ADO). Patients with ARO, the most severe osteopetrosis type, usually present various fatal manifestations soon after birth and die in infancy or before age three years. Apart from the general manifestations of osteopetrosis, patients with ARO can present with pancytopenia, hepatosplenomegaly, cranial nerve compression, and hydrocephalus (2). The clinical course is often serious and, if left untreated, fatal within the first year of life. Diagnosis is challenging and often delayed or misdiagnosed (1). The spectrum of CLCN7-related osteopetrosis includes infantile malignant CLCN7-related ARO, IAO and ADOII.CLCN7 (13%-16%) and TCIRG1 (51%-53%) are the major obligate genes responsible for ARO. CLCN7 encodes the H(+)/Cl(-) exchange transporter 7, which provides chloride conductance across lysosomes in osteoclasts, ensuring the acidification necessary for cellular function (3). ARO is most often caused by biallelic defects in the gene TCIRG1.TCIRG1 encodes the

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a3 subunit, an essential isoform of the vacuolar ATPase proton pump involved in both acidification of the osteoclast resorption lacuna and secretory lysosome trafficking (4, 5). *CLCN7* plays a synergistic role when hydrogen ions are transported outside the cell by *TCIRG1* (6).

2. Case descriptions

Herein, we report the clinical features and genetic findings of four patients with osteopetrosis.

Patient 1, a boy aged 2 months and 21 days, presented initially with anemia, skin hemorrhage, and hepatosplenomegaly. His physical examination showed: pale complexion and moderately pale lips, facial skin, and earlobes; neck palpable, ~5–6 lymph nodes ~1.0cm × 1.0 cm, axillary and abdominal grooves could be palpated, and several lymph nodes enlarged by ~0.5cm × 0.5 cm. Laboratory findings showed white blood cell (WBC) 18.04 × 10⁹/L, platelets (PLT) 40 × 10⁹/L, hemoglobin (Hb) 80 g/L, lymphocyte percentage 0.52, neutrophil percentage 0.2, and lactate dehydrogenase (LDH) 1429.6 U/x Imaging examination showed bilateral axillary lymph nodes, bilateral cervical and inguinal lymph node lesions, and spleen enlargement. Bone density was increased, and the medullary cavity was unclear; transverse translucent bands were seen in the metaphysis of the long bones of the limbs, and thin periosteal shadows were seen in the double radius and femur.

Patient 2 was a girl aged 2 years and 3 months who was followed up for bilateral femoral and tibial fractures. Laboratory results showed WBC $6.92 \times 10^9 / L$, PLT $256 \times 10^9 / L$, red blood cells (RBC) $3.92 \times 10^{12} / L$, Hb 10^7 g/L, lymphocyte percentage 0.315, neutrophil percentage 0.602, and LDH 914.5 U/L. Imaging showed that the cranial cavity was deformed and elongated, the anteroposterior diameter was prolonged, the cranial plate was thickened to varying degrees, fractures of both femurs and tibias, and slightly widened anterior space of the right femoral neck. The bones of both hips, femurs, tibia, fibula, and osseous bones of the foot were obviously dense. The liver and spleen lymph nodes showed no obvious abnormalities.,The patient had no renal complication,and her father hasn't noticed any symptoms about osteosclerosis.

Patient 3 was a boy aged 1 year and 10 months. His head mass was identified at 1year and 8 months, with a hard quality and swelling around the frame and uneven bone density detected. Laboratory examination showed: WBC $8.93 \times 109/L$, PLT $245 \times 109/L$, RBC $5.82 \times 1,012/L$, Hb 112 g/L, lymphocyte percentage 0.3, neutrophil percentage 0.62, and LDH 599.1 U/ L. Imaging showed slightly enlarged bilateral neck and axillary lymph nodes, without obvious liver or spleen abnormalities. The bone density of bilateral ribs, cervical thoracic vertebra, clavicle and right humerus was uneven, scattered in multiple patchy high-density areas, the boundary was not clear, the double lung texture increased and thickened, and the boundary was blurred.

Patient 4, a boy aged 1 years and 15 days, presented at the hospital five times prior to diagnosis. The first four were due to pulmonary infections, from which he was discharged after improvement with antibiotics. At the fifth admission he presented mainly with anemia, thrombocytopenia,

hepatosplenomegaly, cough, and shortness of breath. Physical examination showed slightly pale complexion and bilateral conjunctiva, hyperemic throat, and a mild inspiratory threeconcave sign. Laboratory examination showed: WBC 14.34×10^9 / L, PLT 45×10^9 /L, RBC 2.78×10^{12} /L, Hb 86 g/L, lymphocyte percentage 0.74, neutrophil percentage 0.14, and LDH 617.1 U/L. Imaging showed: slightly enlarged lymph nodes of the liver and spleen; slightly separated left renal pelvis; generally increased density of the craniofacial bones, pelvis, both ends of the ribs, bilateral humerus, ulna, radius, femur, tibia, and fibula. The boundary between the medullary cavity and the cortical bone was unclear, density of the metaphysis was uneven, and different degrees of periosteal reaction could be seen. Radiographs showed bilaterally increased calcaneus and talar bone density. The long bones of the extremities were increased in density, the medullary cavity was unclear, and the metaphysis was difficult to see but slightly widened and thickened, and the lower segment showed translucent shadows. Neurological examination revealed neuromotor retardation, intellectual disability, and right hearing loss. Bone marrow biopsy showed obvious calcification of trabecular bone, intertrabecular fibrosis, and trilineage visible. Some bone marrow hematopoietic cells were significantly squeezed.

2.1. Clinical and laboratory findings

Routine blood tests in Patients 1 and 4 showed that RBC, PLT, and Hb always remained below normal levels (Figures 1A–C). Before the sixth test results, Patients 1 and 4 received RBC 0.25 U and PLT 0.75 U transfusion treatments, respectively; each soon thereafter fell below normal levels. These results were consistent with long-term anemia in patients with osteopetrosis. WBC findings in Patient 4 were always higher than normal, possibly associated with recurrent respiratory infections (Figure 1D). Clinical and laboratory data of the four patients are presented in Table 1. Averaged results of multiple assessments, and their standard deviations, were calculated using GraphPad Prism 8.0.

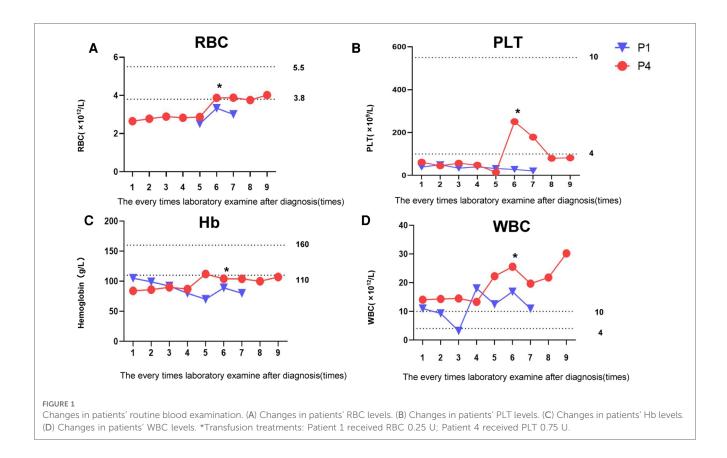
2.2. X-ray examination and bone marrow biopsy

The diagnosis of osteopetrosis was also based on the skeletal radiographs. The four patients in our study, imaging examinations consisting of computerized tomography (CT) scans and x-rays revealed a general increase of bone density involving the skull, vertebrae and limbs. And marrow biopsy results in patient3 showed partial calcification of trabecular bone (Figure 2).

2.3. Whole-exome sequencing

Genetic analysis is pivotal to the ARO diagnostic work-up. Genomic DNA samples were extracted from peripheral blood

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using a QIAamp DNA Mini Kit (Qiagen, China) and samples from both the patients and their parents were sent to a third-party testing company (Beijing Hyster Clinical Laboratory). In this method, the target regions of disease-related genes were captured and deeply sequenced with an average sequencing depth of 500–1000x. The overall coverage can reach more than 99%.

The identified variants in *TCIRG1* and *CLCN7* likely caused the manifestations described above.

In Patient 1, two novel variants were identified in the *CLCN7* (NM_001114331) gene: c.880T > G(p.F294V) and c.686C > G(p.S229X). Patient 2 harbored a previously reported hotspot variant c.643G > A(p.G215R) in *CLCN7* (7). Patient 3 had a novel variant c.569A > G(p.N190S) and a novel frameshift variant c.1113dupG(p.N372fs) in *CLCN7*. Patient 4 had a frameshift variant c.43delA(p.K15Fs) and a variant c.C1360T in *TCIRG1*, resulting in the formation of a premature termination codon (p.R454X), both of which have been previously reported (8). And each patient the variants are inherited from their parents (**Figure 3**).

2.4. Pathogenicity predictions of the c.880t > G(p.F294v) and c.569a > G(p.N190s) variants in the CLCN7 gene

Potential pathogenicity of the validated missense variant was predicted using SIFT (https://www.siftwallet.com/), PolyPhen-2 (http://genetics.bwh.harvard.edu/pph2/), MutationTaster (https://www.mutationtaster.org/), MutationAssessor (http://mutationassessor.org/r3/), FATHMM (http://fathmm.biocompute.org.uk/inherited.html),

PROVEAN (https://www.jcvi.org/research/provean), and CADD (https://cadd.gs.washington.edu/). NCBI gene (https://www.ncbi.nlm. nih.gov/gene) was used to analyze the conservation of the mutated amino acid. gnomAD(https://www.gnomad-sg.org).

The *CLCN7 p.F294V* and *p.N190S* variants, observed in Patients 1 and 3, respectively, occur in the voltage-gated chloride channel domain, which is highly conserved in multiple species. In addition, amino acids at positions 294 and 190 in the *CLCN7* protein are highly conserved.

Next, we evaluated the pathogenicity of the c.880T > G (p.F294V) and c.569A > G(p.N190S) variants using seven prediction software types. The prediction results for c.880T > G (p.F294V) are listed in **Table 2**, and indicated that this variant is possibly deleterious and implied that it exerts a medium effect on the function of the CLCN7 protein.And the frequency in general people is 0 which means it's probably pathogenic. The prediction results for c.569A > G(p.N190S) are also in **Table 2**, and suggest that this variant is damaging and show that it exerts a low effect on the function of the CLCN7 protein.And the frequency in general people is $8.179*10^{-6}$. These findings indicate that both variants in the CLCN7 gene are pathogenic.

3. Discussion

Herein, we reported on four patients with osteopetrosis, in whom we identified compound heterozygous variants in the *CLCN7* and *TCIRG1* genes. Among the variants, c.880T > G, c.686C > G, c.569A > G, and c.1113dupG in *CLCN7* are novel.

TABLE 1 Summary of clinical findings of the patients.

Patients	P1	P2	P3	P4
General information				
Sex	Male	Female	Male	Male
Age at diagnosis	2 months 21 days	2 years 3 months	1 year 10 months	1 month 15 days
Initial diagnosis results of blood chemis	try			
RBC $(3.8-5.5 \times 10^{12}/L)$	2.95 ± 0.41	3.92	5.82	3.28 ± 0.57
WBC(4-10 × 10^9 /L)	11.7 ± 4.94	6.92	8.93	19.5 ± 5.96
Platelets $(100-550 \times 10^9/L)$	34.86 ± 9.32	256	245	91 ± 75.23
MCV (80-100 fL)	87.33 ± 0.80	89.3	58.9	88.25 ± 6.34
MCH (26-32 pg)	27.03 ± 0.67	27.3	19.2	29.82 ± 4.24
MCHC (320-360 g/L)	309.33 ± 5.51	305	327	339.5 ± 54.27
RDW% (<15.5%)	20.33 ± 0.23	18	16.3	19.92 ± 3.03
lymphocytes absolute value $(2-6.5 \times 10^9)$	6.4 ± 1.7	2.18	2.68	11.04 ± 3.0
neutrophils absolute value $(1.3-6.7 \times 10^9)$	3.82 ± 1.12	4.17	5.54	5.15 ± 2.60
Hemoglobin (110-160 g/L)	87.85 ± 12.10	70	112	97.11 ± 10.43
Total bilirubin (1.8–21 umol/L)	33.4	244.9	2.8	19.23 ± 10.10
Direct bilirubin (0-6.7 umol/L)	13.1	244.9	2.8	15.5 ± 11.90
Albumin (38–52 g/L)	37.9	38.3	41.8	39.4 ± 7.34
ALT (0-40 U/L)	58.3	29.1	26.2	38.7 ± 7.64
AST(0-45 U/L)	194.6	64.6	99.4	53.1 ± 14.14
AST/ALT (0.23-2.47)	3.34	2.22	3.79	1.36 ± 0.99
LDH (110-330U/L)	1429.6	914.5	599.1	662.05 ± 63.57
ALP (<500 IU/L)	546.1	119.4	210.7	451.7
Calcium (2.2–3.0 mmol/L)	2.42	1.88	2.52	2.22 ± 0.04
Phosphorus (1.29-2.26 mmol/L)	0.98	2.54	1.84	1.35 ± 0.247
PT	0.89	11.1		12.15 ± 0.50
APTT (9-12.8)	10.7	12.2		29.8 ± 8.06
Fibrinogen (1.1–3.3 g/L)	1.73	2.02		1.30 ± 0.15
TT (19.5-35.4S)	24.7	18.4		19.15 ± 2.33
D-Dimer (<0.55)	9.3	8.92		21.11 ± 3.42
Other findings				
Hepatosplenomegaly	Yes	No	No	Yes
Increased bone density	Yes	Yes	Yes	Yes

WBC, white blood cell; RBC, red blood cell; RDW%, Red blood cell volume distribution width; PLT, Platelets; Hb, Hemoglobin; LDH, lactate dehydrogenase; ALP, alkaline phosphatase; PT, Prothrombin Time; APTT, activated partial thromboplastin time; TT, Thrombin time.

Two novel missense *CLCN7* variants, c.880T > G(p.F294V) and c.569A > G(p.N190S), occurred at positions highly conserved across multiple species. In addition, both variants were predicted to be deleterious by multiple in silico tools (**Table 2**), indicating impaired function of the *CLCN7* protein.

CLCN7 gene mutations are involved in the pathogenesis of various forms of osteopetrosis. It is located on human chromosome 16p13.3, contains 25 exons, and encodes the 803 amino acid chloride channel protein 7 (CIC-7) (3). Chloride channels are responsible for chloride ion transport and play a key role in the generation and transmission of cellular electrical signals. CLCN7 is a member of the voltage-gated chloride channel protein family, which mediates the exchange of chloride ions for protons and maintains an acidic environment for bone resorption. CLCN7 is essential for efficient proton pumping, due to its role in neutralizing currents. It is also involved in the secretion of acid into the resorption void, a specialized acidic compartment for mineral bone matrix degradation. Disruption of CLCN7 expression results in severe lysosomal storage disorders that, in addition to osteopetrosis, can lead to neurodegeneration, including retinal atrophy (9–11).

The *TCIRG1* gene is located on human chromosome 11q13.2 and contains 22 exons (12), encoding the 830 amino acid a3 subunit of V-ATPase. V-ATPase is a proton pump, the main function of which is pumping hydrogen ions into secretory lysosomes. Osteoclasts degrade bone through acidification by vesicle-like V-ATPase (13). When hydrogen ions are pumped out of osteoclasts, they acidify the cortical environment between osteoclasts and bone tissue, promoting bone resorption and regulating bone formation and development. *CLCN7* cooperates with the gene product of the a3 subunit *TCIRG1* of V-ATPase, acting synergistically when hydrogen ions are transported outside the cell by *TCIRG1* (14, 15).

Besides radiology and bone marrow pathology findings are also very important to the differential diagnosis of osteopetrosis helping to distinguish anemia from leukemia. The main pathological disease change is a defect in osteoclast function, which normally degrade bone to initiate remodeling by maintaining formation of calcification groups. Though many osteoclasts remain, they are dysfunctional and thus during osteogenesis the cartilage matrix continues and the calcified osteoclast cannot break down and

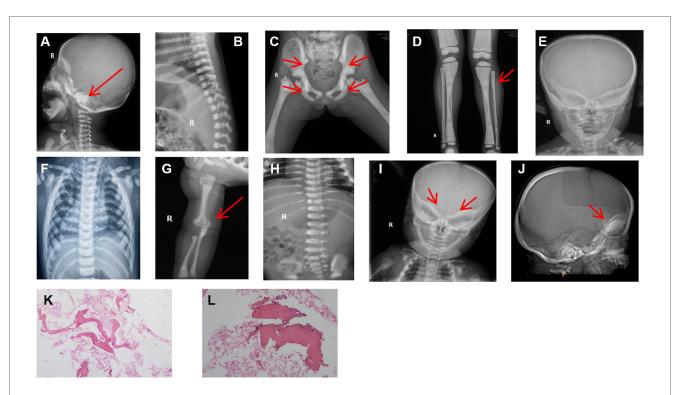
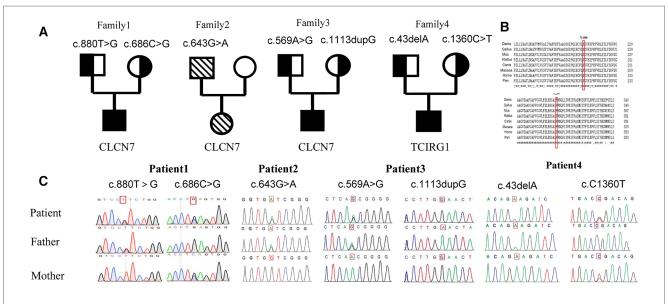


FIGURE 2

Patient x-rays. (A) Patient 1: significant increase in bone density observed at skull base (arrow). (B) Patient 1: vertebral endplate showed typical "sandwich vertebrae" appearance. (C) Patient 2: generalized increase in bone density and typical pelvic wing "bone-in-bone" evident (arrow); high-bone density under the cartilage in the pelvis (arrow). (D) Patient 2: diffusely increased bone density confirmed and osteopetrosis in the distal metaphysis of the femur showed typical "bone-in-bone" appearance (arrow). (E) Patient 3: head mass was identified with a hard quality and swelling around the frame and uneven bone density detected. (F) Patient 3: vertebral endplate showed typical "sandwich vertebrae" appearance. (G) Patient 4: diffuse increase in bone density at the upper radius (arrow), showing "bone-in-bone" appearance. (H) Patient 4: vertebral endplate appeared thicknead, with "sandwich vertebrae". (I) Patient 4: observed osteopetrosis in skull base (arrow). (J) Patient 4: lateral skull computerized tomographyshowing increased thickness of skull base (arrow). (K-L) Patient 3: marrow biopsy results showed partial calcification of trabecular bone; HE staining x 40 (K); HE staining x 100 (L).



Family pedigrees and genetic sequencings. (A) Pedigree for each patient. (B) Genetic analysis of the gene mutation in family of Patient 1: compound heterozygous variants c. 880T > C(p. F294V) and c. 686C > G(p. S229X) in the CLCN7 gene. Homologous sequences of CLCN7 across species (C). A cross-species alignment of amino acid sequences showed that p. F294V and p. N190S variants occur in a highly conserved region.

Prediction software	c.T880G,p.F294V		c.569A > G, <i>p</i> . N190S		
	Score	Prediction	Score	Prediction	
SIFT score	0.001	Damaging	0	Damaging	

TABLE 2 Pathogenicity predictions of c. T880G, p. F294V and c.569A > G, p. N190S in CLCN7 gene.

Polyphen2 Probably_damaging 0.988 Probably_damaging MutationTaster Disease_causing 1 Disease_causing MutationAssessor 2.74 Medium (functional impact) 1.47 Low (functional impact) **FATHMM** -3.33 Damaging -1.75Damaging PROVEAN -6.76 -4.83 Damaging Damaging CADD 25.3 23.6 Damaging Damaging

absorb normal bone resorption activity which calcification of the cartilage and bone tissue cannot be replaced by normal bone tissue and accumulation that bone tissue cannot rebuild bone density. The hard bones brittle fracture easily and marrow cavities shrink, disappear, or occlude (16).

Three major clinical forms of osteopetrosis have been described; ADO, autosomal recessive osteopetrosis (ARO), and IAO. ADO is the most common and can be roughly divided into three types: ADOI, ADo-II and ADO-III. The expression of ADOI type was relatively mild, mainly caused by *LRP5* gene mutation. *CLCN7* gene is considered to be the main pathogenic gene causing type II, with penetrance ranging from 60% to 90%. Studies on ADOIII type are still lacking, which is mainly caused by *PLEKHM1* gene mutation. Patients 1 and 4 had severe ARO, onset of which usually occurs in the first year of life and is characterized by generalized increased bone density and heterogeneous symptoms, including hematological and neural defects with diverse severity (17). Laboratory results showed that these two patients also had severe anemia, and although both were treated with blood products, they continued to fall below normal levels shortly thereafter.

According to Whyte's research, elevated serum total LDH and AST can distinguish ADO among the sclerosing bone. However, all four patients herein had elevated AST and LDH of varying severities. Mutations of *CLCN7* and *TCIRG1* both impact osteoclasts so that they fail to properly acidify the surrounding cells and the cortical environment between bone tissues, which affects the extra-skeletal tissues and leads to multiple tissue disorders, and ultimately to elevated AST and LDH. The specific mechanisms remain to be further studied in patients with ADO and mouse models (18, 19). At present, genetic diagnosis is still the most important method for confirming osteopetrosis classification.

Patient 2 was diagnosed with ADOII, the main characteristics of this type which are diffuse, including symmetrical osteopetrosis mainly affecting the spine, pelvis, and skull. Severe symptoms include fracture, osteomyelitis, vision loss, and bone marrow failure (20). Among these clinical symptoms, fracture, especially of the long bones, is the most likely independent complication of ADOII. ADOII is an autosomal dominant disorder with incomplete penetrance. The penetrance rate has previously been reported to be between 75% and 94%. There are also asymptomatic carriers of the G215R mutation and nonpenetrance rates were 24%. So far, the father of patient 2 has not found any symptoms related to stone bone disease, so he may be an asymptomatic carrier. Almost every adult with ADO (98%) reports fracture. In children with ADO,

fractures are less prevalent but still occur in 53% (21). These symptoms were present in Patient 2, who had fractures of both femoral necks. This may be due to impairment of bone remodeling from defective osteoclast function, unsuccessful repair of microdamage, and abnormal bone biomechanics (22). Thus, patients with ADO should be appropriately counseled regarding their propensity for fractures and other ADO-related problems.

Childhood-onset IAO can have autosomal dominant or autosomal recessive inheritance. Its clinical manifestations are intermediate between those of ADO and ARO. The onset of symptoms is usually before the age of first 2 years. Children may present with fractures after minor trauma or characteristic changes on x-rays obtained for other clinical indications. Hematologic signs are milder than those in ARO and are usually restricted to anemia. Inheritance is AD or AR (23). In terms of clinical symptoms, Patient 3 may have this type. Although the clinical symptoms of ADO and IAO are less severe than those of ARO, some patients have been diagnosed with significant clinical manifestations in infancy, which should receive appropriate clinical attention.

Currently, to improve patient survival and quality of life, hematopoietic stem cell transplantation (HSCT) is the only curative treatment for osteopathy. HSCT does not improve the course of osteopetrosis in individuals with *TNFSFII* gene mutation, nor can it reverse the renal tubular acidosis and renal injury caused by *CAII* gene mutation. However, for individuals with *TCIRG1* gene mutation, the effects of transplantation are clearer (1, 24–27). Therefore, genetic testing has guiding significance for whether children can be cured by transplantation. When successful, bone marrow biopsy shows normal results ~13 weeks post-HSCT. In a mouse model of *TCIRG1* defect, bone performance is partly correct on x-ray and histopathological analysis 8 weeks post-HSCT, and after 18 weeks is almost entirely normalized.

To date, Patient 2 has survived without specific treatment, but remains at risk for fractures. Patients 1 and 3 were lost to follow-up. Only Patient 4 met the conditions for HSCT, but his parents were not satisfied with the match result (HLA8/10) and refused treatment. Treatment efficacy depends on patient genotype. Individuals with *OSTM1* gene abnormalities and some *CLCN7* gene mutations associated with neurodegeneration do not show improvement with HSCT (28).

In summary, four children with osteopetrosis were classified based on clinical manifestations, biochemical examinations, radiological changes, and genetic defects. Accurate osteopathy classification is essential to diagnosis and differentiation from other diseases, as well as to subsequent treatment. Herein we identified novel variants, expanding spectrum of the *TCRIG1* and *CLCN7* genes and deepening our understanding of the relations between genotype and clinical characteristics of osteopetrosis.

Data availability statement

The datasets presented in this article are not readily available because of ethical and privacy restrictions. Requests to access the datasets should be directed to the corresponding author/s.

Ethics statement

The studies involving human participants were reviewed and approved by the Medical Ethics Committee of the Children's Hospital of Chongqing Medical University (approval number: 445/2022). Written informed consent to participate in this study was provided by the participants' legal guardian/next of kin. Written informed consent was obtained from the individual(s), and minor(s)' legal guardian/next of kin, for the publication of any potentially identifiable images or data included in this article.

Author contributions

Conceptualization: DY and YJ designed the study; YC and LZ wrote the manuscript and analyzed patients'data; XG and XW followed the patients; all authors reviewed the manuscript before publication. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Case report: Rubella virus-induced cutaneous granulomas in a girl with atypical SCID caused by *DCLRE1C* gene mutations

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Here, we report a case of rubella virus-induced granulomatous dermatitis in a young girl with immunodeficiency caused by *DCLRE1C* gene mutations. The patient was a 6-year-old girl who presented with multiple erythematous plaques on the face and limbs. Biopsies of the lesions revealed tuberculoid necrotizing granulomas. No pathogens could be identified on extensive special stains, tissue cultures, or PCR-based microbiology assays. Metagenomic next-generation sequencing analysis revealed the rubella virus. Underlying atypical severe combined immunodeficiency was recognized based on the patient's history of repetitive infections since birth, low T-cell, B-cell, and NK cell counts, and abnormal immunoglobulins and complements. Whole-exome sequencing revealed the genetic abnormality of the atypical severe combined immunodeficiency (SCID), and compound heterozygous mutations of the *DCLRE1C* gene were detected. This report highlights the diagnostic values of metagenomic next-generation sequencing in identifying rare pathogens causing cutaneous granulomas in patients with atypical SCID.

KEYWORDS

next-generation sequencing, rubella virus, whole-exome sequencing, *DCLRE1C* gene, granulomatous, severe combined immunodeficiency syndrome

1 Introduction

Cutaneous granulomatous dermatitis is a common disorder that can be divided into infectious and non-infectious etiologies. Infection should be ruled out if no clear cause is identified, especially in immunocompromised patients. Even if an infectious etiology is suspected, the causal microorganisms are often unidentifiable. The prevalence of granulomas is rather high among patients with combined immune deficiency and other types of immunodeficiencies. Skin represents a primary site of granulomas in patients with combined immune deficiency. Some granulomas are caused by the rubella virus infection. The traditional ways of identifying microorganisms are based on morphology, histochemistry, and cultures with limited sensitivity and efficiency. Targeted PCR assays developed in recent years have improved sensitivity significantly. Metagenomic nextgeneration sequencing (mNGS) is an unbiased assay that identifies a broad spectrum of



FIGURE 1
RuV infection-induced cutaneous granulomatous dermatitis. Erythematous plaques on cheeks at visit (A) and after 10-month follow-up (B). (C)
Dense lymphohistiocytic infiltrate in the dermis forming a nodule. (D) Necrotizing granulomas in the deep dermis.

pathogens, including many rare pathogens. Mutations in the *DCLRE1C* gene have been documented to cause immunodeficiency with phenotypes ranging from severe combined immunodeficiency to mere antibody deficiency. The whole-exome sequencing technique is an effective tool in revealing underlying molecular mechanisms causing immunodeficiencies.

2 Manuscript

2.1 Case description

A 6-year-old girl presented with facial erythematous plaques that had gradually spread into her limbs over the course of a year (Figure 1A). The center of some erythematous plaques was ulcerated and scabbed spontaneously. She appeared to be predisposed to respiratory infections since birth. Multiple biopsies of the plaques revealed necrotizing granulomatous inflammation (Figure 1D). No microorganisms were identified on PAS and acid-fast stains, tissue cultures, and PCR assays for fungi and mycobacteria. Dense lymphocyte infiltrate with lymphoid follicle formation in the dermis with adnexal involvement was also found in a biopsy from the left upper

arm (Figure 1C). Most lymphocytes were T-cells (CD3⁺) with mixed CD4⁺ and CD8⁺ cells. Some lymphocytes showed mild cytological atypia. Clonal expansion of the T-cell population was detected through T-cell receptor gene rearrangement studies. The patient had neutropenia and lymphopenia of T cells, B cells, and NK cells. Specifically, cell counts of CD3⁺ T cells, CD4⁺ T cells, and CD8⁺ T cells were all lower than normal. Subpopulations of the CD3+/CD8-/IL-4+ lymphocytes and CD3+/CD8-/IFN-γ+ lymphocytes were higher than normal, and CD3+/CD4+/CD25+/FOXP3+ lymphocytes and CD3+/CD8-/IL-17A + lymphocytes were lower than normal. Serum IgA and IgM levels were significantly lower, and the patient's C3 level was slightly lower than normal (Table 1). No clinically significant nodular metabolic enhancement was observed on PET-CT. The timeline of the patient's care is summarized in Figure 2.

Because mycobacterial infections were not ruled out, a diagnostic therapeutic 8-week course of quadruple antituberculosis therapy was performed but without effect. Repeated metagenomic DNA and RNA mNGS analyses were performed, and rubella virus (RuV, read 7280) was detected. A serology test showed RuV IgM was 1.41 S/CO (positive range:≥1), and the RuV IgG titer was 243.7 IU/mL (positive range:≥10). Therefore, a diagnosis of rubella virus-induced cutaneous granulomatous dermatitis was reached.

TABLE 1 Partial laboratory results of this patient.

	Result	Normal range
CD3 ⁺ lymphocytes (%)	34.93	50-84
CD3+CD4+ lymphocytes (%)	51.11	30.00-67.00
CD3 ⁺ CD8 ⁺ lymphocytes (%)	41.19	23.00-50.00
CD3 ⁺ CD8 ⁻ lymphocytes (%)	58.81	50.00-75.00
CD3+CD8-IL-4+ lymphocytes (%)	3.86	0.30-2.20
CD3 ⁺ CD8-IFN-γ lymphocytes+ (%)	38.06	6.50-28.00
CD3 ⁺ CD4 ⁺ CD25+FOXP3+ lymphocytes (%)	3.25	4.10-9.40
CD3+CD8-IL-17A + lymphocytes (%)	0.65	0.20-2.40
CD4 ⁺ cell (/uL)	258	550-1,440
CD8+ cell (/uL)	185	320-1,250
B lymphocyte (%)	3.62	5–18
B lymphocyte (/uL)	23	90-560
NK cell (/uL)	128	150-1,100
Total T lymphocyte (/uL)	486	955-2,860
C3 (g/L)	0.6	0.7-1.4
C4 (g/L)	0.215	0.1-0.4
IgG (g/L)	5.59	5.4-13.4
IgM (g/L)	0.11	0.43-1.52
IgA (g/L)	0.12	0.3-1.48
IgE (IU/mL)	1	0-100
Blood routine		
WBC (×109/L)	2.52	3.5-9.5
Neutrophils (×109/L)	1.44	1.8-6.3
Lymphocytes (×109/L)	0.49	1.1-3.2
Monocytes (%)	12.7	3–10

In bold: values above or below the reference range.

Considering RuV-associated granulomas are rare and almost exclusively occur in the context of immune deficiency, and the patient had signs of immunodeficiency, a whole- exome sequencing was performed, and heterozygous mutations in *DCLRE1C* gene as c.352G>T (p.G118X) and c.328C>G (p.L110V) were detected

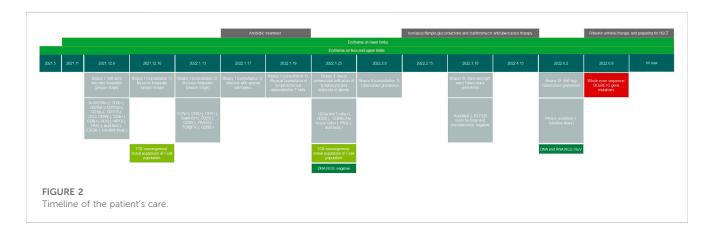
(Figure 3). It was concluded that hypomorphic heterozygous mutations of *DCLRE1C* genes led to an atypical SCID in this girl, who developed RuV-induced cutaneous granulomatous dermatitis, likely after inoculation with the rubella virus through vaccination. The patient had been waiting for hematopoietic stem-cell transplantation (HSCT) for the previous 10 months, and no other treatments had been initiated. An increase in the number and size of plaques on her face and limbs had been noted (Figure 1B), and the plaques had remained asymptomatic. The patient was hospitalized for pre-transplant examination and preparation.

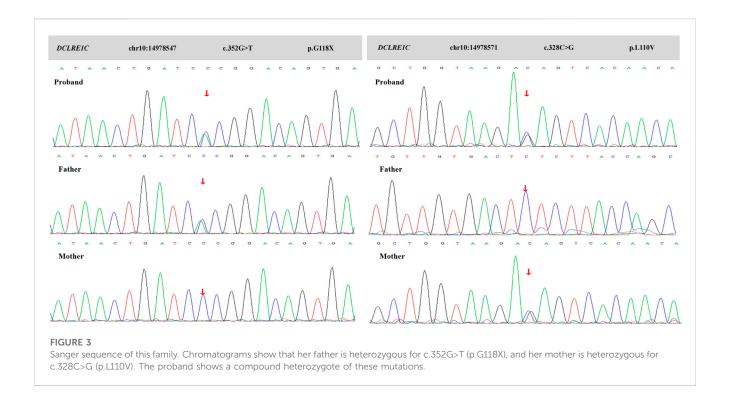
2.2 Discussion

Here, we report the first Chinese patient with RuV-induced cutaneous tuberculoid necrotizing granulomas in an immunodeficient child with heterozygous *DCLRE1C* gene mutations. Our patient received an MMR vaccine injection when she was 18 months old. The lesions were likely induced by vaccine-derived live attenuated rubella viruses. In addition, a clonal expansion of the T-cell population in the granulomatous lesions was detected. No obvious evidence of a cutaneous T-cell lymphoma was identified. However, it has been observed that immunodeficiency could lead to a clonal expansion of lymphocyte populations, potentially as an autoreactive proliferation of T cells in response to infections or autoantigens, as likely happened in this patient (Herber et al., 2020).

In 2014, vaccine-derived rubella virus was identified from cutaneous granulomas patients with in primary immunodeficiency (Bodemer et al., 2014). Since then, no more than 100 cases have been reported worldwide, mostly in European and American populations. Pathologically, RuV infections could present as necrotizing granulomas, although they can also present as non-specific dermal chronic inflammation (Buchbinder et al., 2019). Skin lesions have been documented to develop several years on average after measles-mumps-rubella (MMR) vaccination, for which attenuated rubella virus is administered. Wild-type RuV has also been detected much less often in granulomatous lesions (Shields et al., 2021).

Based on the reported cases, all RuV-associated granuloma patients present with some degree of immune deficiency, although some are clinically immunocompetent adults (Wanat et al., 2022). Many patients





have DNA repair defects, with *DCLREIC* gene mutations as one of the causes. *DCLREIC* encodes ARTEMIS, which is essential in the V(D)J recombination of the immunoglobulin and T-cell receptor genes in T-and B-cell development, as well as in DNA repair (Felgentreff et al., 2015). Mutations in the *DCLREIC* gene can lead to severe combined immunodeficiency, Omenn syndrome, and radiosensitivity (Strubbe et al., 2021). Severe combined immunodeficiency is an inherited, most severe form of primary immunodeficiency caused by mutations in genes involved in lymphocyte development and function and characterized by the absence or dysfunction of T lymphocytes. It affects both cellular and humoral adaptive immunity. B lymphocytes and NK cells may be affected as well. Over 20 different molecular defects are documented. These include defects in genes involved in antigen receptor gene rearrangement, T-cell receptor signaling, T-cell differentiation, thymic development, and thymic egress of T cells.

Patients with hypomorphic mutations, however, may present with less severe clinical phenotypes depending on residual activity levels on the alleles, causing so-called leaky or atypical SCID (Felgentreff et al., 2015). Atypical SCID occurs and may be defined as a primary immunodeficiency disease secondary to hypomorphic mutations in SCID-causing genes with a milder presentation and higher T-cell counts than typical SCID. The T-cell count is generally above $100/\mu L$ (Felgentreff et al., 2011). In atypical SCID, patients usually survive beyond 12 months of age. Atypical SCID has been diagnosed in all age groups, frequently significantly later than SCID.

Our patient had a long history of repetitive respiratory tract infections and recurrent nasal stuffiness since birth. These infections are generally not severe and could be alleviated after a few days on antibiotics. A high copy number of Epstein–Barr virus (EBV) DNA was detected in her serum, which would be an indicator that the patient was experiencing an active EBV infection. The patient presented with reduced numbers of peripheral T cells, B cells,

NK cells, and neutrophils, and low IgM and IgA levels. Despite these abnormalities, she seemed relatively normal in development and overall health. Her conditions, therefore, fit into atypical SCID.

The pathogenesis of overall white blood cells and immunoglobulin abnormalities caused by *DCLRE1C* gene mutations remains unknown. Usually, the *DCLRE1C* gene variants cause T⁻/B⁻/NK⁺ SCID. However, our patient presents with a unique T⁻/B⁻/NK⁻ phenotype. She presents with a novel compound heterozygosity of two mutations, c.352G>T and c.328C>G. Mutation of c.352G>T (p.G118X) is a nonsense mutation that would very likely result in gene dysfunction. No mutation of this site has been reported in the database, and the ClinVar database assesses it as pathogenic. The clinical significance of mutation of c.328C>G (p.L110V) is uncertain according to ACMG guidelines. Mutations at this location have been reported, although clinical phenotypes (Shahbazi et al., 2019; Xiao et al., 2021). The presence of compound mutations could make a clear correlation between particular genotypes and phenotypes difficult or impossible.

There are no effective conventional therapies for RuV-associated granulomatous diseases. The efficacy of antiviral therapy, TNF- α , IL-1R antagonists, and glucocorticoids remains uncertain (Perelygina et al., 2021). HSCT is considered a curative treatment, and the treatment is more likely to succeed when it is performed early in the disease process. The caveat is that patients with Artemis-deficient immunodeficiency have a decreased tolerance to the alkylating agents used as preparative regimens for HSCT and that carry a risk of significant long-term toxicity (Schuetz et al., 2014). Therefore, it is important to explain the benefits and risks of HSCT in detail to the patients or their parents. Hematopoietic stem cell transplantation is underway for our patient.

Metagenomic next-generation sequencing is a powerful new platform that can simultaneously identify genetic material from entirely different kingdoms of organisms. It can sequence all nucleic acids in a sample and identify multiple populations of

microorganisms from different taxa and their proportions. The potential clinical applications are tremendous, particularly in the diagnosis of infectious diseases. As a less biased, sensitive, and broad-spectrum assay, it opens doors for revealing pathogens in numerous unsolvable cases per traditional methodologies.

Data availability statement

The data sets presented in this study can be found in online repositories. The names of the repository/repositories and accession number(s) can be found at: https://www.ncbi.nlm.nih.gov/, PRINA905930.

Ethics statement

Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

Author contributions

WS was responsible for planning this work. SD and SR were responsible for drafting this manuscript. WS and AW were

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responsible for revising this manuscript. WS was responsible for the final approval of the article.

Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary Material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2023.1115027/full#supplementary-material

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Identifying shared genetic loci and common risk genes of rheumatoid arthritis associated with three autoimmune diseases based on large-scale cross-trait genome-wide association studies

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Introduction: Substantial links between autoimmune diseases have been shown by an increasing number of studies, and one hypothesis for this comorbidity is that there is a common genetic cause.

Methods: In this paper, a large-scale cross-trait Genome-wide Association Studies (GWAS) was conducted to investigate the genetic overlap among rheumatoid arthritis, multiple sclerosis, inflammatory bowel disease and type 1 diabetes.

Results and discussion: Through the local genetic correlation analysis, 2 regions with locally significant genetic associations between rheumatoid arthritis and multiple sclerosis, and 4 regions with locally significant genetic associations between rheumatoid arthritis and type 1 diabetes were discovered. By cross-trait meta-analysis, 58 independent loci associated with rheumatoid arthritis and multiple sclerosis, 86 independent loci associated with rheumatoid arthritis and inflammatory bowel disease, and 107 independent loci associated with rheumatoid arthritis and type 1 diabetes were identified with genome-wide significance. In addition, 82 common risk genes were found through genetic identification. Based on gene set enrichment analysis, it was found that shared genes are enriched in exposed dermal system, calf, musculoskeletal, subcutaneous fat, thyroid and other tissues, and are also significantly enriched in 35 biological pathways. To verify the association between diseases, Mendelian randomized analysis was performed, which shows possible causal associations between rheumatoid arthritis and multiple sclerosis, and between rheumatoid arthritis and type 1 diabetes. The common genetic structure of rheumatoid arthritis, multiple sclerosis, inflammatory bowel disease and type 1 diabetes was explored by these studies, and it is believed that this important discovery will lead to new ideas for clinical treatment.

KEYWORDS

rheumatoid arthritis, autoimmune diseases, association studies, shared genes, cross-trait

1 Introduction

It is well known that the major function of the immune system is to protect the host from environmental agents such as microbes or chemicals, thereby preserving the integrity of the body (1). When the body is injured or invaded by pathogenic microorganisms, acute inflammatory reaction is often accompanied, and the immune system and inflammatory mechanism are inseparable (2). However, uncontrolled inflammatory and immune responses can lead to immune system disorders that trigger autoimmune diseases, such as rheumatoid arthritis (RA), inflammatory bowel disease (IBD) and type 1 diabetes mellitus (T1D) (3). Autoimmune diseases are complex diseases caused by genetic and environmental factors (4). The clinical manifestations of these diseases are familial clustering, and multiple immune diseases can occur simultaneously in the same individual, which indicates that autoimmune diseases have a common genetic background. Moreover, genomic studies have shown that the same gene loci can be found in various autoimmune diseases, and genetic overlap exists in autoimmunity, indicating that autoimmune diseases may have the same molecular mechanism (5, 6).

RA is a chronic, inflammatory autoimmune disease that can cause severe movement impairment and deterioration of quality of life (7). In twin and familial studies, the overall heritability of rheumatoid arthritis is estimated to be about 50%-65% (8). It's suggested that rheumatoid arthritis is familial, individuals with a family history of rheumatoid arthritis are at increased risk of developing rheumatoid arthritis due to common genetic factors (9-11). Multiple sclerosis (MS) is an inflammatory autoimmune disease in which the myelin sheath and spinal cord in the central nervous system are damaged, which can result in demyelination and axonal loss. Some studies have suggested that patients with multiple sclerosis have an increased risk of rheumatoid arthritis (12-14). IBD is a chronic non-specific inflammatory condition of the gastrointestinal tract. In recent years, studies have found that the gene predictive risk of RA is positively correlated with the increased risk of IBD (15, 16). Yang et al. studied the common genetic structure of MS and IBD through large-scale genome-wide association studies (GWAS), and the results showed that the comorbidity of MS and IBD has a biological basis (17). In addition, previous studies have shown that individuals with RA, MS or IBD have an increased risk of influenza and related complications (18), and an increased risk of depression, especially in women compared to men (19). Diabetes mellitus (DM) is a chronic disease that causes hyperglycemia due to defective insulin secretion or impaired biological action. DM is a group of physiological dysfunctions characterized by hyperglycemia resulting directly from insulin resistance, inadequate insulin secretion, or excessive glucagon secretion (20). Type 1 diabetes (T1D) is an endocrine disorder in which pancreatic β cells stop producing insulin, typically due to autoimmune destruction (21). Studies have shown that RA is associated with abnormal glucose metabolism, which may lead to the development of DM (22, 23), and patients with MS may increase the risk of developing T1D (24). Ahmad and Ahsan have revealed common risk genes of RA and MS, MS and T1D through reported familial and genetic links (25).

Andersen et al. have shown that when parents have RA, IBD, or DM, offspring are at increased risk (26). Recently, Zhao et al. collected summary statistics from GWAS about seven autoimmune diseases, including celiac disease (CEL), MS, primary biliary cirrhosis (PBC), RA, ulcerative colitis (UC), SLE, and T1D to analyze genetic associations (27). Although there is an epidemiological association between RA, MS, IBD and T1D, whether this reflects a common genetic etiology is unclear. Therefore, the purpose of this paper is to reveal the genetic relationships of RA, MS, IBD, and T1D through large-scale crosstrait GWAS analysis.

GWAS combining multiple diseases have become useful tools to identify risk locus for autoimmune diseases, genetic variant associated with multiple diseases, and biological pathways associated with diseases (28-31). Based on the hypothesis that there is a common genetic cause between autoimmune diseases, in this study, we use GWAS summary statistics to investigate the shared genetic capacity of RA, MS, IBD and T1D at the individual variation level and at the genome-wide level, respectively. Firstly, the genetic relationships between RA and MS, RA and IBD, RA and T1D are analyzed. The global genetic associations among diseases are analyzed by linkage disequilibrium score regression (LDSC), and the local genetic associations among diseases are estimated by using p-HESS. Then cross-trait meta-analysis is used to identify the shared genetic components between RA and MS, RA and IBD, RA and T1D. Genome-wide association analysis and transcriptome association studies are used to identify potentially the common risk genes among RA, MS, IBD and T1D. Finally, Mendelian randomization is used to analyze the causal relationship between RA and MS, RA and IBD, and RA and T1D respectively. In summary, we leverage large-scale GWAS summary statistics data and preceding genetic methods to gain insight into mechanistic links among RA, MS, IBD and T1D. Our purpose is to identify the common risk genes among RA, MS, IBD and T1D, and provide biological interpretation for common risk genes.

2 Materials and methods

2.1 Datasets

For summary statistics from GWAS about rheumatoid arthritis (RA), multiple sclerosis (MS), inflammatory bowel disease (IBD), the GWAS summary-level data are downloaded from a publicly accessible database GeneATLAS (32). Specifically, the RA meta-analysis summary statistics include 5082 cases and 447182 controls, MS meta-analysis summary statistics include 1406 cases and 450858 controls and IBD meta-analysis summary statistics combine 3878 cases and 448386 controls. The total 452264 samples are all European-ancestry individuals from UK Biobank, and we used 623944 genotype variants that passed quality control. Summary statistics about type 1 diabetes (T1D) (PMID: GCST90013791) which was uploaded on 02/22/2021 (33) were downloaded from the database NHGRI-EBI GWAS Catalog (34). The T1D meta-analysis summary statistics include 181,214 individuals of European ancestry with 6,294,828 genotype variants. The numbers for cases

and controls are not provided in the NHGRI-EBI GWAS Catalog database, but we need not to use this kind of information in our study.

2.2 Methods

2.2.1 LD score regression analysis

To evaluate the genetic correlation between RA and MS, RA and IBD, RA and T1D, the linkage disequilibrium score regression (LDSC) (35) was applied to assess the genetic correlation $r_{\rm g}$ between RA and MS, IBD, T1D. We applied LDSC to estimate SNP heritability and LD-score intercept for RA, MS, IBD and T1D, respectively. European-ancestry population of 1000 Genome Project Phase 3 (36) was used as reference panel, from which 1.2 million SNPS were obtained for pre-calculated LD-scores.

2.2.2 Local genetic correlations analysis

To investigate whether there are local genetic correlations between RA and MS, RA and IBD, RA and T1D, we estimated the local genetic correlations between each pair of traits in prespecified LD independent segments using p-HESS (37). The LDindependent blocks are used to calculate local heritability and genetic covariance. However, when we calculated the local genetic correlation using 623,944 SNPs (RA, MS, IBD), we found that there are empty loci on chromosome 1 (chr1:178944309-178954470) and chromosome 7 (chr7:124156805-124167552) in 1703 predesignated independent fragments, so we combined these loci with nearby loci. Accounting for Bonferroni correction, if P_{ρ-HESS} $< 0.05/1701(2.93\times10^{-5})$, there are significant genetic correlations between RA and MS, RA and IBD. For RA and T1D, in addition to the above two regions, there were three empty locus on chr2:95326452-98995201, chr6:29737971-30798168, chr15:20001200-21131604, and we combined these locus with nearby loci, so the significant threshold is $P_{\rho\text{-hess}} < 0.05/1698$ $(2.94 \times 10^{-5}).$

2.2.3 Cross-trait meta-analysis

After estimating the genetic correlations between RA and MS, RA and IBD, RA and T1D, we used R packet cross phenotype association (CPASSOC) (38) to analyze the GWAS cross-trait association. CPASSOC includes Shet (for heterogeneous data) and Shom (for homogeneous data). We applied the PLINK (39) clustering function to identify the independent and significant SNPs, and the parameter is set as –cluster-p1 1.6×10^{-8} –cluster-p2 1×10^{-5} –cluster-r2 0.2 –cluster-kb 500, indicating that SNPs with a P-value less than 1×10^{-5} , r^2 greater than 0.2 and less than 500 kb away from the peak value will be assigned to the cluster of the peak value.

2.2.4 Genome-wide gene-based analysis

In gene-based analysis, genetic variation is annotated, i.e., SNPs correspond to the corresponding gene according to the position on the chromosome, and gene-based association analysis is carried out. The MAGMA (40) analytical model uses multiple linear principal

component regression to detect the correlation between genes and the disease. In this study, MAGMA gene analysis is used to identify significant genes associated with RA, MS, IBD and T1D, respectively. Using European-ancestry population of 1000 Genomes Project Phase 3 as reference and Genome Reference Consortium Human Build 37 (hg19) as the SNP locations for gene annotation, we found that 301949 (48.39%) of the total 623944 SNPs are mapped to 17446 genes.

2.2.5 Transcriptome-wide association analysis

To detect genes associated with RA, MS, IBD, and T1D in different tissues, we performed transcriptome-wide association analysis by using e-MAGMA (41). e-MAGMA transforms genome-wide association summary statistics into gene-level statistics by assigning risk variants to its putative genes based on tissue-specific eQTL information. We used eQTL information from 47 tissues of the GTEx (version 8) reference panel (42). In total, we performed TWASs for each trait, one tissue-trait pair at a time.

2.2.6 Enrichment analysis and protein-protein interaction network analysis

In order to understand the underlying biological pathways for the identified shared risk genes in RA with MS, IBD, and T1D, we used the tool Enrichr web server (43) to implement the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis. The significant criterion is that the adjusted p-value less than 0.05. In addition, we applied STRING (version 10) (44) to analyze the protein-protein interaction (PPI) network.

2.2.7 Mendelian randomization analysis

We performed MR analysis using MR-PRESSO (45) between RA and MS, RA and IBD, RA and T1D since they are genetically correlated. We built the MR instruments based on LD-independent SNPs.

3 Results

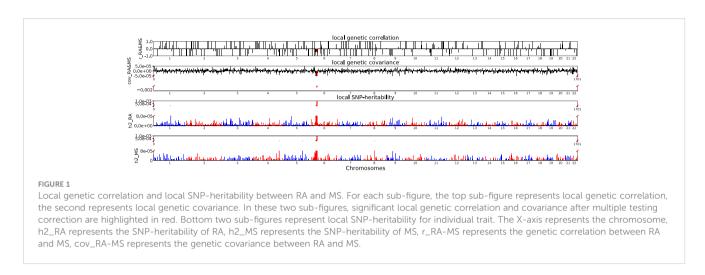
3.1 Genetic correlation between RA and MS, RA and IBD, RA and T1D

We evaluated the global genetic correlation of RA and MS, RA and IBD, RA and T1D using LD score regression (LDSC). RA has the strongest genetic correlation with MS, with a correlation coefficient of 0.4289, followed by RA and IBD, with a correlation coefficient of 0.3743, and then RA and T1D, with a correlation coefficient of -0.3157 (Table 1). Furthermore, the LD score intercepts for RA, MS, IBD and T1D are 0.9982 (Se = 0.0097), 1.0172 (Se = 0.0105), 1.0156 (Se = 0.011) and 0.9933 (Se = 0.0098), respectively, indicating that most of the inflation is due to polygenic effect rather than population structure or sample overlap (46).

Accounting for Bonferroni correction the local genomic regions around individual RA loci from GWAS showed signals of genetic overlap with MS (Figure 1). Although RA and MS have positive global genetic correlation using LDSC, we identified two regions

TABLE 1 Genetic correlation of RA and MS, RA and IBD, RA and T1D.

Trait 1	Trait 2	$r_{\rm g}$	r _g – s _e	p-value	g_{cov}	$g_{cov} - s_e$
	MS	0.4289	0.2932	0.1434	0.0019	0.0012
RA	IBD	0.3743	0.1504	0.0128	0.0036	0.0013
	T1D	-0.3157	0.0951	0.0009	-0.0102	0.0034



 $(chr6:31571218-32682664, P_{\rho-HESS} = 5.82\times10^{-17}, and$ chr6:32682664-33236497, $P_{\rho-HESS} = 2.72 \times 10^{-12}$) that show genome wide significant negative local genetic correlation between RA and MS using heritability estimation from summary statistics (p-HESS). This reverse result may be caused by the different definitions of SNP heritability and genetic covariance between ρ -HESS and LDSC. We used ρ -HESS method to evaluate local genetic correlations between RA and IBD. There is no significant local genetic correlated regions (Figure 2), this means that the genetic association between RA and IBD is likely to be shared genetic variants across the genome rather than strong associations in specific genomic regions. The local genetic correlation between RA and T1D is negative in the chromosome 1 and the chromosome 6 regions (Figure 3). There are four significant local genetic correlated regions (chr6: 32682664-33236497, $P_{p-HESS} = 2.90 \times 10^{-14}$, chr1:113273306-114873845, $P_{\rho-HESS} = 1.22 \times 10^{-11}$, chr6: 31571218-32682664, $P_{\rho-HESS} = 5.73$ $\times 10^{-10}$, and chr6: 33236497-35455756, $P_{o-HESS} = 1.26 \times 10^{-6}$).

3.2 Identification of risk SNPs from crosstrait meta-analysis of RA, MS, IBD and T1D

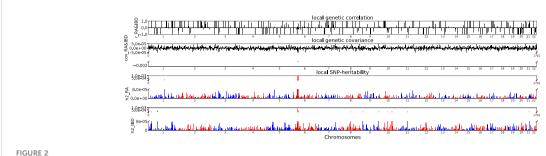
We conducted cross-trait meta-analysis to identify risk SNPs that may share association with RA and MS, RA and IBD, RA and T1D using the Cross Phenotype Association (CPASSOC) package (PCPASSOC $< 5 \times 10 - 8/3(1.6 \times 10 - 8)$).

After excluding SNPs that are genome-wide significant in the respective single-trait GWAS, 58 independent loci reached genome-wide significance for RA and MS, 27 of which have been verified to be

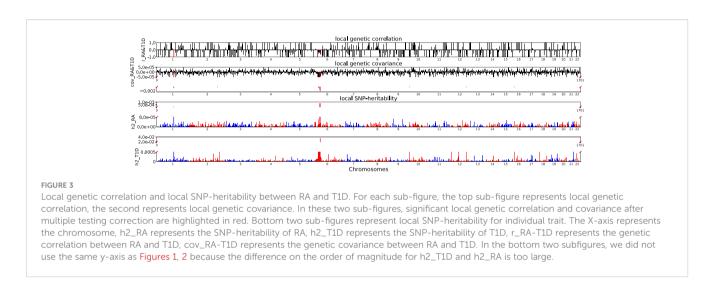
significantly related to RA and/or MS by GWAS Catalog database verification (Supplementary Table S1). Although most of the independent loci we found were located in the MHC region, we also found loci in the non-MHC region. The loci rs6679677 (on chr1) is close to the PTPN22 gene. The gene involved in regulating CBL function in T cell receptor signaling pathway, and mutations in this gene may be linked to a range of autoimmune diseases rheumatoid arthritis (47). The loci rs7731626 (on chr5) is mapped to ANKRD55 gene which is associated with RA (48) and MS (49).

86 independent loci reached genome-wide significance for RA and IBD, which 46 in this locus have previously been associated to RA and/ or IBD (Supplementary Table S2). The loci rs3130695 is mapped to including HLA-B and HLA-C genes which from the HLA class I region is associated with RA (13, 50). The locus rs34213882 and rs9263717 are mapped to HLA-C genes. The genes had genome-wide significant association with IBD. The loci rs11465802 (on chr1), rs11209026 and rs3024505 (on chr1) are mapped to IL23R, C1orf141, and IL10 genes associated with IBD (51). In addition, there are loci rs6679677(on chr1) which is mapped to PTPN22 gene associated with RA. The loci rs1801274(on chr1) is mapped to FCGR2A gene which is associated with RA and IBD. The loci rs2076756 (on chr16) is mapped to NOD2 associated with RA.

107 independent loci reach genome-wide significance for RA and T1D, 47 of which have previously been associated to RA and/or T1D (Supplementary Table S3). Loci rs2856997, rs2070121, rs7383287 are mapped to HLA-DOB genes which are associated with RA. Loci rs2534674, rs2534671, rs6915833 are mapped to MICB genes which are associated with RA (Ancha et al., 2023). Loci rs1150755, rs12198173 are mapped to APOM genes which are associated with RA. Loci rs2233977, rs20547, rs1063646, rs9263719,



Local genetic correlation and local SNP-heritability between RA and IBD. For each sub-figure, the top sub-figure represents local genetic correlation, the second represents local genetic covariance, bottom two sub-figures represent local SNP-heritability for individual trait. The X-axis represents the chromosome, h2_RA represents the SNP-heritability of RA, h2_IBD represents the SNP-heritability of IBD, r_RA-IBD represents the genetic covariance between RA and IBD.



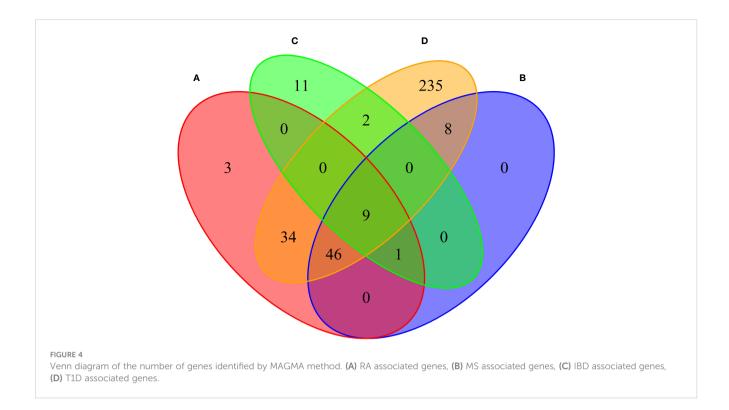
rs3094663, rs6916921, rs3819299 and rs12614 are mapped to C6orf15, PSMB9, PSORS1C1, PSORS1C2, NFKBIL1, HLA-B and CFB genes which are associated with RA (52-54). In addition to the above findings in genes located in the MHC region, we also found RA-related loci in non-MHC regions. The loci rs7041847 (on chr9) is mapped to GLIS3, and the loci rs7200786 (on chr16) is mapped to CLEC16A which are associated with RA (55, 56). The loci rs2281808 (on chr20) is mapped to SIRPG, and the rs1805761(on chr12) is mapped to M6PR which are associated with T1D (57, 58). The loci rs6859219 (on chr5) is mapped to ANKRD55 genes which are associated with RA and T1D. Locus rs2847281 and rs7234029 (on chr18) are mapped to PTPN2 genes which are associated with RA and T1D (59, 60). These locus rs705708 (on chr12), rs706778 (on chr10), rs66669008 (on chr1), rs1788103 (on chr18) and rs9976767(on chr21) are mapped to ERBB3, IL2RA, MAGI3, CD226 and UBASH3A which are associated with RA and T1D.

3.3 Genes identified by genome-wide and transcriptome-wide studies

We conducted MAGMA genome-wide gene-based analysis to identify genes associated with RA, MS, IBD, and T1D, respectively.

The numbers of genes identified are shown in Figure 4. It can be seen from the figure that after Bonferroni correction of the total 19427 genes, 93 genes (P_{MAGMA} < 2.87×10^{-6}) are identified as significantly correlated with RA; 64 genes are related to MS; 23 genes are associated with IBD; 334 genes are related to T1D (Supplementary Table S4). There are 56 overlapping genes between RA and MS; 10 overlapping genes between RA and IBD; 89 overlapping genes RA and T1D; 10 overlapping genes RA, MS and IBD; 55 overlapping genes RA, MS and T1D; 9 overlapping genes RA, IBD and T1D; 9 among the four diseases (Table 2).

Moreover, we carried out eMAGMA transcriptome-wide gene-based analysis with RA, MS, IBD, and T1D, respectively, and the result are shown in Figure 5. The genes significantly associated with 47 tissues of each disease are identified successively, 147, 140, 174 and 522 genes significantly associated with RA, MS, IBD and T1D are identified, respectively (Supplementary Tables S5-S8). There are 123 overlapping genes between RA and MS; 82 overlapping genes between RA and IBD; 137 overlapping genes RA and T1D; 82 overlapping genes RA, MS and IBD; 122 overlapping genes RA, MS and T1D; 81 overlapping genes RA, IBD and T1D; 81 among the four diseases, eight out of nine common risk genes detected by MAGMA are also detected by e-MAGMA (Supplementary Table S9), we identified 82 common risks among the four diseases. 40 of



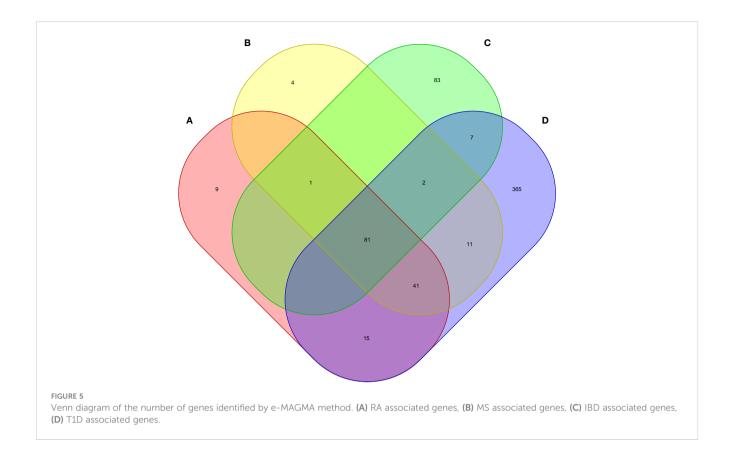
the 82 risk genes are significantly associated with the disease reported in previous studies. We also paid attention to the enrichment analysis of 82 common risk genes in tissues. As shown in Figure 6, it was found that 34 risk genes are mainly enriched in integumentary system of skin sun exposed lower leg, 32 are enriched in muscle skeletal, and 32 are enriched in adipose subcutaneous.

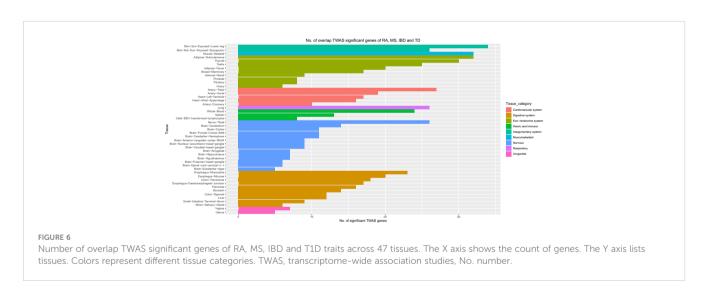
3.4 KEGG enrichment analysis and PPI network analysis results

To understand the impact of risk genes in biological pathways, we used Enrichr to enrich the co-risk genes in a KEGG functional analysis. We found that 35 biological pathways are significantly enriched. As shown in the Table 3, the strongest enrichment signal is antigen processing and presentation, which include 13 enriched genes (HLA-DRB5, HSPA1L, HLA-B, TAP2, HLA-C, TAP1, HLA-A, HLA-F, HLA-G, HLA-E, HLA-DMA, HLA-DOB, HLA-DQA2). In order to understand the interaction between common risk genes of four diseases, we used STRING for PPI network analysis. The 82 risk genes have 279 gene pairs interacting in PPI network, with an average clustering coefficient of 0.421, and the composite scores of all the interacting genes is not less than 0.4, among which the score of 58 gene pair composite score were more than 0.95. The five hub genes (degrees > 15) that extensively interact with other genes in the PPI network are HLA-B, HLA-A, HLA-C, PSMB9, HLA-F. The PPI network of common risk genes are shown in the Figure 7.

TABLE 2 Shared risk genes for RA, MS, IBD and T1D in MAGMA analysis.

Cono	Docition	No CNDs		P _M	AGMA	
Gene	Gene Position	No. SNPs	RA	MS	IBD	T1D
BTNL2	Chr6:32362513-32374900	39	8.77e-49	1.08e-22	4.79e-11	5.06e-90
HLA-DRA	Chr6:32407619-32412823	51	7.42e-39	1.60e-40	3.52e-8	6.01e-82
ATF6B	Chr6:32083045-32096017	16	5.10e-34	1.72e-13	8.85e-8	7.91e-80
EHMT2	Chr6:31847536-31865464	20	1.96e-32	9.18e-24	1.05e-9	5.18e-61
HLA-DQB1	Chr6:32627241-32634466	12	2.89e-32	8.09e-14	5.67e-12	1.09e-44
TAP2	Chr6:32789610-31865464	48	3.03e-22	1.19e-12	1.71e-08	1.63e-121
TRIM31	Chr6:30070674-30080867	31	2.01e-08	1.02e-7	7.90e-10	2.01e-52
NELFE	Chr6:31919864-31926864	14	1.96e-22	1.34e-14	5.19e-10	1.05e-68
MICA	Chr6:31367561-31383090	38	3.11e-13	1.07e-7	1.23e-6	8.61e-145





3.5 Instrumental variable analysis

Finally, we used MR-PRESSO instrumental analysis to develop evidence for causality in the relationship between RA and MS, RA and IBD, RA and T1D, and the results are shown in Table 4. As shown in the Table 4, the finding that there may be a causal relationship between T1D and RA. We found a possible new causal relationship between T1D and RA. Although no relevant studies have confirmed the causal relationship between T1D and RA, the risk of type 1 diabetics developing RA later in life

may be attributed in part to the presence of the PTPN22 allele (61).

Another finding is that there may be a causal relationship from MS to RA, but not vice versa, which supports the idea that common immunologic pathways, involving IL-17 and Th17, may be one of the mechanisms through which MS increases susceptibility to RA (17). MS diagnosis increased the likelihood of a patient's subsequent diagnosis of rheumatoid arthritis. Our MR-PRESSO analysis showed no causal relationship between RA and IBD after adjusting pleiotropy. These results further support our findings

TABLE 3 The KEGG pathway was significantly enriched in 82 common risk genes.

Pathway	No. Genes	Adjusted P-value	Pathway	No. Genes	Adjusted P-value
Antigen processing and presentation	13	6.51e-16	Systemic lupus erythematosus	6	1.07e-04
Allograft rejection	10	1.75e-14	Viral carcinogenesis	7	1.07e-04
Graft-versus-host disease	10	3.50e-14	Rheumatoid arthritis	5	2.04e-04
Type I diabetes mellitus	10	3.50e-14	Cellular senescence	6	2.07e-04
Autoimmune thyroid disease	10	2.75e-13	Intestinal immune network for IgA production	4	2.07e-04
Viral myocarditis	10	5.43e-13	Endocytosis	7	3.52e-04
Phagosome	13	7.43e-13	Toxoplasmosis	5	4.17e-04
Epstein-Barr virus infection	12	4.84e-10	Inflammatory bowel disease	4	6.05e-04
Cell adhesion molecules	10	5.85e-9	Leishmaniasis	4	0.00112
Human T-cell leukemia virus 1 infection	11	1.60e-8	Th1 and Th2 cell differentiation	4	0.00213
Herpes simplex virus 1 infection	13	1.10e-6	Hematopoietic cell lineage	4	0.00271
Human cytomegalovirus infection	9	3.15e-06	Th17 cell differentiation	4	0.00351
Natural killer cell mediated cytotoxicity	7	9.16e-06	Influenza	4	0.01894
Kaposi sarcoma-associated herpesvirus infection	8	9.62e-06	Tuberculosis	6	0.02151
Human immunodeficiency virus 1 infection	8	1.71e-05	Longevity regulating pathway	3	0.02763
Staphylococcus aureus infection	6	1.71e-05	Primary immunodeficiency	2	0.03339
Asthma	4	4.78e-05	ABC transporters	2	0.04484
Human papillomavirus infection	9	5.04e-05			

that the shared genetic effects between RA and IBD are more likely to be pleiotropic effects, rather than causal etiology or mechanism.

4 Discussion

In this study, we aimed to determine the genetic relationships among RA, MS, IBD, and T1D by large-scale cross-trait GWAS analysis. Firstly, LDSC is used to identify the genome-wide genetic relationships between RA and MS, RA and IBD, RA and T1D. We found that there are statistically significant genetic relationships between RA and MS, RA and IBD, RA and T1D. Secondly, p-HESS is adopted to identify the local genetic relationships between RA and MS, RA and IBD, and RA and T1D. It was found that there are two significant local genetic correlation regions between RA and MS, and four significant local genetic correlation regions between RA and T1D. Thirdly, the CPASSOE method is used to identify significant correlation loci between RA and MS, RA and IBD, RA and T1D. It was found that there are 58 significant correlation loci between RA and MS, 86 significant correlation loci between RA and IBD, and 107 significant correlation loci between RA and T1D. Fourthly, by using the multiple omics method MAGMA and e-MAGMA to identify the common risk genes for four diseases, we found that 82 risk genes show significant association with all four diseases, and 40 of these diseases have been confirmed to be associated with at least one disease. Fifth, we introduced the biological functions of the 82 risk genes found through tissue and organ enrichment analysis, biological pathway enrichment analysis and protein-protein analysis, and found that 82 common risks genes are mainly concentrated in skin sun exposed lower leg, muscle skeletal, adipose subcutaneous, and 35 biological pathways. Finally, we used the MR-PRESSO method to identify the causal relationship between RA and MS, RA and IBD, RA and T1D, and found that there may be causal relationship between RA and T1D, RA and MS, but there is no causal relationship between RA and IBD. The reason of the genetic relationship between RA and IBD is due to pleiotropy effects.

In this study, 82 common risk genes related to RA, MS, IBD and T1D were identified, among which a large number of common genes were found in the HLA region, which plays an important role in immune response. Immune response is one of the main factors affecting RA, MS, IBD and T1D (12, 51, 62). TSBP1 gene has been reported to be associated with four diseases (63-66). Although CCHCR1 gene has been reported to be associated with MS, IBD and T1D diseases (67-69), it may also be important for RA. Twenty-two genes (FLOT1, VARS2, POU5F1, MICA, MICB, NFKBIL1, TAP2, TAP1, BRD2, TNXB, AGPAT1, TRIM31, APOM, TRIM27, SLC44A4, RNF39, AGPAT1, ABCF1, RNF5, CYP21A2, PSORS1C1, LST1) have been reported to be associated with at least one disease. Although no relevant study shows the correlation between TRIM26 and RA, MS, IBD, T1D, we found that TRIM26 is a member of the TRIM protein family, encoded in the locus of major histocompatibility complex Class I region, and TRIM26 interacts with TAB1 and specifically catalyzes K11-linked

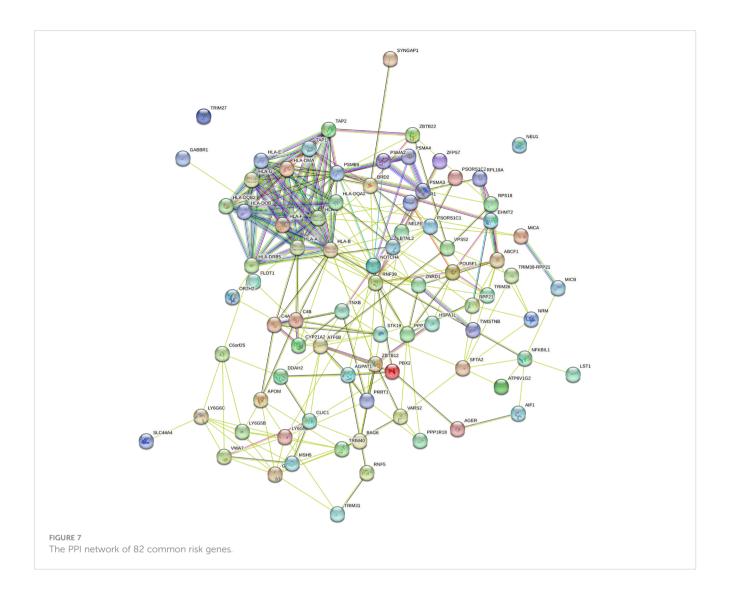


TABLE 4 Mendelian Randomization analysis between RA, MS, IBD and T1D.

Exposure	Outcome	Causal Estimate	Sd	T-stat	P-value ¹
RA	MS	-0.000756071	0.005449628	-0.138738	0.889728
MS	RA	-0.1195902	0.05367141	-2.228192	0.03170483
RA	IBD	-0.006132929	0.003543663	-1.730675	0.08381547
IBD	RA	0.01654898	0.02420687	0.683648	0.4970677
RA	T1D	-0.7789012	0.5110154	-1.524222	0.1276694
T1D	RA	-307.0967	80.94547	-3.793871	0.0002637433

polyubiquitination of TAB1, which facilitates TAK1 activation and initiates downstream signaling, and finally positively regulated TLRS-mediated inflammatory cytokines (70). AIF1 is a 17kDa cytoplasmic calcium-binding inflammatory scaffold protein, which is mainly expressed in immune cells. AIF1 promotes the expression of inflammatory mediators such as cytokines, chemokines and inducible nitric oxide synthase (iNOS), promoting the proliferation and migration of inflammatory cells

(71). CLIC1 participates in inflammatory processes by regulating macrophage phagosomal functions such as pH and proteolysis, CLIC1 regulates DC phagosomal pH to ensure optimal processing of antigen for presentation to antigen-specifific T-cells (72).

There are also some limitations in this paper. Firstly, Bonferroni correction is the most stringent multiple testing correction method. In genome-wide association analysis, in order to control the probability of false positives, the threshold is often adjusted with

Bonferroni correction. However, due to linkage disequilibrium between GWAS variants, there may be cases where multiple variants or SNPs are linked to each other, so it is not entirely correct to assume that each association test of a GWAS dataset is independent. Therefore, applying the Bonferroni correction usually gives us the most conservative p-value threshold. Because it is too conservative, it often leads to the generation of false negatives, and there may be few variants in the entire genome whose associated pvalues can meet this standard. In this study, to investigate whether there are local genetic correlations between RA and MS, RA and IBD, and RA and T1D, we estimated the local genetic correlations between each pair of traits using ρ -HESS (37). The ρ -HESS method used Bonferroni correction as a threshold to identify the local genetic correlation between each pair of traits in the visualization results, so in the subsequent research analysis, we also used Bonferroni correction. Secondly, our results cannot be used to be representative of the global population or children, as the sample of RA, MS and IBD were taken from UK Biobank, individuals of European descent aged between 40 and 69 years, and the T1D summary statistics which individuals are European descent from the NHGRI-EBI GWAS Catalog. Thirdly, due to the lack of biological information at the individual level of genotype and phenotype datasets, we cannot determine whether the effect of polymorphic genes on disease risk is directed. Experimental studies are required to verify the pleiotropic genes identified in this study. Fourthly, existing gene annotation is not comprehensive, which leads to some SNPs not annotating genes. Fifthly, the vast majority of the risk SNPs and shared risk genes we identified are located in the MHC region on chromosome 6, although we used the clustering function of PLINK to identify independent and significant SNPs, due to the extensive linkage disequilibrium in the MHC region, it is possible that the risk SNPs and shared risk genes we identified are correlated. Furthermore, the functions of the newly identified shared risk genes are still unclear, and further studies are needed to understand the functions of the genes and their roles in pathophysiology. The function of the newly discovered shared risk genes is unclear, and there is still a long way to go to understand the function of genes and their role in the pathophysiology of disease. Finally, we did not analyze the combination of genetic and environmental factors that are known to influence autoimmune diseases, including smoking, diet, exercise, and medication. To sum up, further studies are needed to emphasize and explore the biological explanations, and efforts should be made to translate the findings into clinical research or practice. This study provides an effective theoretical basis for future research on the pathogenesis of autoimmune diseases, improvement of diagnostic methods and development of targeted therapies.

5 Conclusion

In this paper, strong genetic associations between RA and three autoimmune diseases have been explored. Through genetic

estimation, it was found that there are local genetic correlation signals between RA and MS, RA and T1D. By cross-trait meta-analysis, it was found that there are independent genetic loci related to RA and MS, RA and IBD, RA and T1D. Based on gene correlation analysis, 82 common risk genes were found among the four diseases. Common risk genes are enriched in skin sun exposed lower leg, muscle skeletal, adipose subcutaneous, and 35 biological pathways. Through Mendelian randomization analysis, we found that there may be causal relationship between RA and T1D, RA and MS. Therefore, this study is helpful for the clinical treatment of RA, MS, IBD and T1D.

Data availability statement

Publicly available datasets were analyzed in this study. The data can be found here: http://geneatlas.roslin.ed.ac.uk/ and https://www.ebi.ac.uk/gwas/.

Author contributions

Y-PW contributed to the conception and design of the study, developed the method and wrote the manuscript. Z-GY gave the ideas and supervised the project, also revised the manuscript. All authors contributed to the article and approved the submitted version

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fimmu.2023.1160397/full#supplementary-material

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Genetics and clinical phenotypes in common variable immunodeficiency

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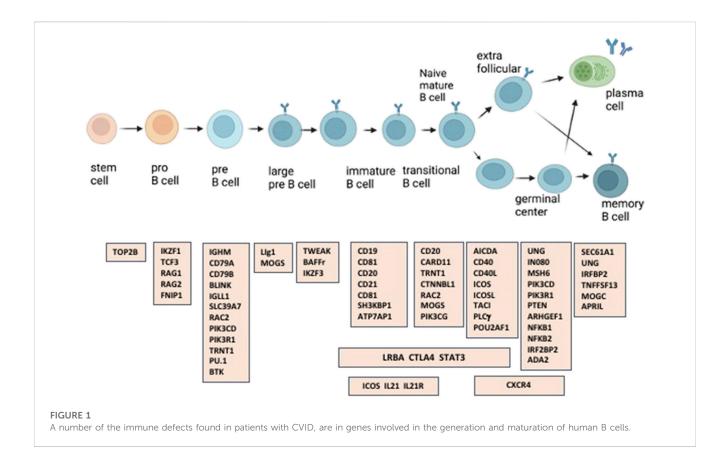
Common variable immunodeficiency (CVID) is one of the most common symptomatic groups of inborn errors of immunity. In addition to infections resulting from insufficient levels of immune globulins and antibodies, many patients develop inflammatory or autoimmune conditions, which are associated with increased mortality. This aspect of CVID has been the focus of many studies, and dissecting the clinical phenotypes of CVID, has had the goal of providing biomarkers to identify these subjects, potentially at the time of diagnosis. With the application of whole exome (WES) and whole genome analyses, an increasing number of monogenic causes of CVID have been elucidated. From the standpoint of the practicing physician, an important question is whether the clinical phenotype, particularly the occurrence of autoinflammation of autoimmunity, might suggest the likelihood of identifying a causative mutation, and if possible the gene most likely to underlie CVID. We addressed this question in a patient group of 405 subjects diagnosed with CVID from one medical center.

KEYWORDS

common variable immunodeficiency, genetics, autoimmunity, lung disease, granulomatous disease, cancer, lymphoma

Introduction

Common variable immunodefciency (CVID) is one of the more frequently encountered immune defects in clinical practice, with an estimated incidence of about 1 in 20,000. The diagnosis is made in a male or female patient with reduced serum levels of IgG, along with IgA, and/or IgM with documented defects of antibody production to both protein and carbohydrate antigens, and the exclusion of other causes of hypogammaglobulinemia such as physiologic immaturity, medications, malignancy, or protein losses (Bonilla et al., 2016; Registry, 2016; Odnoletkova et al., 2018; Seidel et al., 2019). While considered genetic defects, most newly diagnosed patients are between the ages of 20 and 40 years old. (Resnick et al., 2012a; Gathmann et al., 2014; Odnoletkova et al., 2018). One of the clinical features of the CVID syndrome that has emerged is that about half of these patients have infections as the central manifestation, which can be successfully treated or prevented with antibiotics and immunoglobulins. However, the others also have various apparently non-infectious, autoimmune, autoinflammatory, neoplastic and/or lymphoproliferative manifestations,



often associated with systemic immune activation (Wehr et al., 2008; Resnick et al., 2012b; Cols et al., 2016; Smith and Cunningham-Rundles, 2021; Ho and Cunningham-Rundles, 2022). Patients in the second group often have autoimmune or inflammatory features as the initial presentation and primary clinical manifestation, with less obvious susceptibility to significant infectious diseases; these subjects also have increased morbidity and mortality as compared to those with the infection-only phenotype (Chapel et al., 2008; Resnick et al., 2012a). A number of studies have probed reasons for the striking heterogeneity of this CVID patient pool (Wehr et al., 2008; Chapel and Cunningham-Rundles, 2009; Resnick et al., 2012a). These studies have sought biomarkers to identify these subjects, preferably at the time of diagnosis (Ho and Cunningham-Rundles, 2022). Some of these markers include identification of subjects with loss of peripheral isotype switched memory B cells, increased CD21^{low} B cells (<10%), and/or reduced numbers of T cells, especially naïve CD4 T cells (Warnatz et al., 2002; Fevang et al., 2007; Sanchez-Ramon et al., 2008; Wehr et al., 2008; Malphettes et al., 2009; Mouillot et al., 2010). Other markers more recently defined include elevated markers of systemic immune activation: serum lipopolysaccharide binding protein (LBP), sCD14 (Barbosa et al., 2012; Litzman et al., 2012; Fraz et al., 2022) and more recently, serum zonulin and circulating bacterial DNA (Ho et al., 2021). These correlative biomarkers attempt to distinguish many patients with the infection-only clinical phenotype from those with more inflammatory complications, but they do not address the molecular mechanisms.

With the availability of whole exome (WES) and whole genome analyses (WGS), an increasing number of monogenic causes of the

CVID phenotype have been elucidated, now accounting for about 25%-30% of subjects (Maffucci et al., 2016; Tuijnenburg et al., 2018; Abolhassani et al., 2020; Ramirez et al., 2021; Rojas-Restrepo et al., 2021). Several recent reports have described the results of genetic analyses of large CVID patient populations, with differences noted due to the location of the populations studied and ethnic background of the patients (Abolhassani et al., 2020; Rojas-Restrepo et al., 2021). The many genes identified in cohorts of subjects diagnosed with CVID, reflect the complex requirements of class switch recombination, B cell antigen signaling, activation, migration, long-term survival, and maturation and retention of antibody-secreting memory B cells into the plasma cell stage (Figure 1). From the standpoint of the practicing physician, an important question is whether the clinical phenotype suggests the possibility of identifying a causative mutation and if so, the gene(s) most likely to underlie the immune defect. Here we address this question in a large patient group from one medical center, encompassing an urban patient population on the East Coast of the United States.

Methods

Patient selection

Subjects were seen in the Immune Deficiency program at the Icahn School of Medicine at Mount Sinai. Patients were diagnosed with CVID using established criteria, including serum IgG and IgA and/or IgM deficiency with proven loss of antibody production

(Bonilla et al., 2016; Picard et al., 2018; Seidel et al., 2019). Immunologic and clinical histories were collected from the clinical record and selected manifestations of inflammatory/ autoimmune complications were recorded. For purposes of the current study, these sometimes partly overlapping medical conditions are autoimmunity, interstitial lung disease, granulomatous disease identified in one or more tissues, cancer, lymphoma, significant gastrointestinal disease, and previous splenectomy. Ethical permission for these studies was obtained from the Mount Sinai Institutional Review Board, and Informed consent was obtained from all individuals and/or their legal guardians.

Genetic evaluation

Whole exome sequencing: Genetic evaluation was done by whole exome sequencing (WES) as previously described (Maffucci et al., 2016; Picard et al., 2018; Maffucci et al., 2019). For this genomic DNA was extracted from peripheral blood mononuclear cells and sheared with a Covaris S2 Ultrasonicator. An adaptor-ligated library was prepared with the Paired-End Sample Prep kit V1 (Illumina). Exome capture was performed with the SureSelect Human All Exon kit (Agilent Technologies). Massively parallel sequencing was performed on a HiSeq 2,500 (Illumina), which generates 100-base reads. Sequences were aligned for variant calling and annotation with the human genome reference sequence (hg19 build) using BWA aligner (Li and Durbin, 2009). Downstream processing was performed with the Genome analysis toolkit (GATK) (McKenna et al., 2010), SAMtools (Li et al., 2009), and Picard Tools (http:// picard.sourceforge.net/). A GATK UnifiedGenotyper and a GATK IndelGenotyperV2 were used to identify substitution and indel variant calls, respectively. Calls with a read coverage of ≤2x and a Phred-scaled single-nucleotide polymorphism (SNP) quality of ≤20 were filtered out. All variants were annotated with the GATK Genomic Annotator (Broad Institute). Heterozygous and homozygous variants were excluded if the allele frequencies in the general population were greater than 0.01% or 1.0%, respectively, in the Exome Aggregation Consortium database (ExAC, Broad Institute) and Genome Aggregation Database (gnomAD, Broad Institute) in respect with genetic model tested. This filtering strategy excluded polymorphic variants from consideration. Familial segregation was studied when samples were available. Other candidate mutations were confirmed by examining read alignment in the Integrated Genomics Viewer (IGV; Broad Institute). All confirmed mutations were subsequently analyzed using computational predictors of mutation severity including Sift (Ng and Henikoff, 2003), Polyphen 2 (Adzhubei et al., 2010). and Combined Annotation Dependent Depletion (CADD) (Kircher et al., 2014) and were compared with the gene-specific mutation significance cutoff (MSC) (Itan et al., 2016). Variants with CADD scores below the gene-specific MSC were excluded with the exception of CXCR4, LIG1, LRBA and NFKB2, 4 genes with a very high MSC (>32), but known to be causal of CVID. Confirmed variations were also screened through the Human Gene Mutation Database (Stenson et al., 2003) to identify published disease-associated variations. In a number of cases, the variants selected were tested for pathogenicity, and if not, categorized (as likely benign, VUS - Variant of Uncertain Significance, or likely pathogenic.). The pathogenicity of all

TABLE 1 CVID subjects.

Parameters	N
Number	405
Males	218
Females	187
Median age, years (range)	44 (5–77)
Relatives with immune defects	26
Gene candidates identified (%)	128 (31%)

disease attributable gene variants was evaluated using the updated guideline for interpretation of molecular sequencing by the American College of Medical Genetics and Genomics (ACMG) considering the allele frequency, computational data, immunological/functional data, familial segregation and parental data and clinical phenotyping (Richards et al., 2015).

Using a targeting panel of genes: More recently, patient exomes were also examined for mutations in 429 genes associated with a primary immune deficiency disease (Invitae Diagnostics), when faster results were needed and insurance or other payment for this service was available. For inheritance questions, the targeted sequence method was used in particular as it has the Clinical Laboratory Improvement Amendments (CLIA) certification needed for clinical decision analysis. As the targeted panel contains only a defined panel of genes, the above WES method was also used on these same samples so that the data could be verified, and so that additional queries for new genes could be undertaken at a later date.

Statistics

For evaluating the significance of genetics as related to clinical observations, Graphpad Prism was used; a p-value of less than 0.05 was considered significant.

Results

Patients

The Mount Sinai cohort of 405 genetically-tested CVID patients included 26 cases in which a family history was noted (Table 1). The age range of patients was 5–77 years, with median age of 44 years; 187 were female and 218 were male. Of these subjects, most were of European descent, 22 were Hispanic, 12 were Black, and 4 were Asian.

Mutations identified

In this cohort, 125 of 405 subjects (31%) had mutations considered deleterious while the remainder (280) had no clear genetic abnormality identified. As reported previously, around 10% of our patients (42 subjects) have variants in the *TNFRSF13B/TACI* gene (Transmembrane Activator and CAML Interactor) most of these known to be functionally deleterious

TABLE 2 Gene variants identified - 125 subjects (31% of the group).

Gene variants	Number	Name	Inheritance
TNFRSF13B ^a	42	Transmembrane Activator and CAML Interactor	AD
NFKB1	16	Nuclear Factor Kappa B Subunit 1	AD
NFKB2	7	Nuclear Factor Kappa B Subunit 2	AD
IRF2BP2	6	Interferon regulatory factor-2 binding protein	AD
CTLA4	6	Cytotoxic T-Lymphocyte Associated Protein 4	AD
IKZF1	6	IKAROS Family Zinc Finger 1	AD
TCF3	5	Transcription Factor 3	AD
BACH2	5	BTB Domain and CNC Homolog 2	AD
KMT2D	4	Lysine Methyltransferase 2	AD
STAT3	3	Signal Transducer And Activator Of Transcription 3	AD
PIK3CD	3	Phosphatidylinositol-4,5-Bisphosphate 3-Kinase Catalytic Subunit Delta	AD
LRBA	3, compd het	LPS Responsive Beige-Like Anchor Protein	AR
CXCR4	3	C-X-C Motif Chemokine Receptor 4	AD
DiGeorge 22q11 or TBX1	3	DiGeorge syndrome	AD
WAS	2	Wiskott Aldrich syndrome	XL
RAG1/RAG2	2	Recombination Activating Genes 1/2	AR
AICDA	1, homozygous	Activation induced cytidine deaminase	AR
STXBP2	1, compd het	Syntaxin Binding Protein 2	AR
PMM2	1, compd het	Phosphomannomutase	AR
PIK3R1	1	Phosphoinositide-3-Kinase Regulatory Subunit 1	AD/AR
LIG4	1 homozygous	DNA Ligase 4	AR
JAK1	1	Janus Kinase 1	AR
IKBKG	1	Regulatory gamma subunit of the IKB kinase (IKK)	XL
TBX1	1	T-box protein 1	AD
PMS2	1, compd het	PMS1 Homolog 2, Mismatch Repair System	AR
FOXP3	1	Fork-winged helix family	XL
LIG1	1, compd het	DNA Ligase 1	AR
CIITA	1, compd het	Master regulator of MCH class II gene transcription	AR
BTK	1	Bruton Tyrosine Kinase	XL
ADA2	1	Adenosine deaminase 2	AR
CD40L	1	CD40 Ligand	XL
RTEL1	1, compd het	regulator of telomere elongation helicase 1	AR

"Includes compound heterozygous mutations in 4; homozygous mutations in one; 8 of these also had an additional deleterious mutation in TBX1, TCF3, IL10RA, NFKB2, NBAS, or a DiGeorge chromosome loss; AD, autosomal dominant; AR, autosomal recessive; XL = X linked.

(Salzer and Grimbacher, 2021). These included compound heterozygous mutations in 5 subjects, and homozygous mutations in one subject. These variants would be considered associated but not causative of CVID. (Table 2). Sixteen other subjects had autosomal dominant (AD) *NFKB1* deficiency (Nuclear Factor Kappa B Subunit 1), and 7 others had *NFKB2* (Nuclear Factor Kappa B Subunit 2) defects, both viewed as causative of this immune

defect (Chen et al., 2013; Tuijnenburg et al., 2018; Li et al., 2021). More than one subject had mutations in heterozygous genes previously found in subjects with a CVID phenotype: *IRF2BP2*, *CTLA4 and IKZF1* (in 6 subjects each), *TCF3* (in 5 subjects), *BACH2* (in 4 subjects), and in *STAT3*, and *PIK3CD* (3 subjects for each). Three other subjects had autosomal recessive (AR) deficiency of *LRBA*. Four adult subjects with infections, autoimmunity and mild

TABLE 3 Subjects with more than one gene variant.

Subject		Variant		Other variants
1	BACH2	p.Gly483Ser	POLE	c.2706 + 1G>T
2	BACH2	p.Glu797*	IKZF1	p.Asn350His
3	CTLA4	c.109 + 1G>A	IRFBP2	p.Gln97His
4	JAK1	p.Asn76Ser	STAT3	p.Val461Leu
5	NFKB2	p.Gly719Glu	TACI	p.Leu69Thr fs*12
6	NFKB2	splicing	TACI	p.Cys104Arg
7	PIK3R1	start_gained/start_gained	DCLRE1C	del exon 1-3
8	TACI	p. Ala181Glu	TBX1	p.Leu1007Profs*2
9	TACI	p.Ala181Glu	Di George	22q11.2 deletion
10	TACI	p.Glu236*	PMM2	p.Arg141His
11	TCF3	p.Asn554Ser	DOCK8	c.54-1G>T
12	TCF3	p.Ile562Val	TACI	p.Leu69fs/p. Cys104Arg
13	TCF3	p.Pro96Leu	TACI	p.Lys188del
14	TACI	p.Ala181Glu	IL10RA	p.Arg147Profs*4
			NBAS	Deletion (Exons 45–52) p.Ser712*)
			TMPRSS15	
15	RAG1	p.Asp212Asn	RAG2	p.Asp400His
16	RAG1	p.Asn968Lys	RAG2	p.Met110Leu

retardation had mutations in KMT2D (Lysine Methyltransferase 2), a gene associated with Kabuki syndrome. Two sisters and the son of one of them, with no warts and moderate neutropenia, but severe autoimmune thrombocytopenia and autoimmune hemolytic anemia, had frameshift mutations in CXCR4 (C-X-C Motif Chemokine Receptor 4), a gene associated with WHIM syndrome (warts, hypogammaglobulinemia, infections, and myelokathexis) (Maffucci et al., 2016; Abolhassani et al., 2020). Note that as in other reports, genes identified with previously un-identified X-immune linked defects were also noted in this cohort, BTK, CD40L, IKBKG and WAS (Table 2). Complicating the genetics is that in 15 subjects studied, more than one heterozygous variant, predicted to be deleterious, was identified. This included 8 subjects with at least one TACI variants, but additional variants in other autosomal dominant or recessive genes were also noted, for example, in TBX1, TCF3, IL10-RA, NFKB2, NBAS, RAG1, RAG2 or a DiGeorge chromosome 22q deletion (Table 3). Further information related to minor allele frequency, and predicted deleterious effects on the selected variants are included in Methods and Supplementary Table S1.

Genetics and clinical phenotypes

We then examined if subjects with autoinflammatory, autoimmune, lymphoproliferative, neoplastic, granulomatous infiltrates, and/or gastrointestinal complications were more likely to have mutations in one or more of the genes identified in CVID, in

contrast to others for whom a gene was not identified. Table 4 outlines the results for this cohort, dividing subjects according to whether or not a gene defect was identified in subjects with autoimmunity, significant pulmonary, gastrointestinal disease or liver disease, biopsy-demonstrated granulomatous disease, previous splenectomy, cancer, or lymphoma. Various forms of autoimmunity were noted in 151 subjects (37%) of the 405 group genetically tested, with no sex predominance. Of the 125 subjects with genes identified, 59 (47%) had autoimmunity, while of the larger group of 281 subjects with no gene noted, 32% had autoimmunity, suggesting some enrichment of this clinical feature in those with any known gene association; however these differences were not statistically significant. We also examined the types of autoimmunity in each group, in those with or without identified gene variants. Tables 5, 6 show these data. However, for both sets of patients, the most prominent autoimmune conditions were cytopenias, particularly thrombocytopenia, hemolytic anemia (or both, i.e., Evan's syndrome) or, in fewer numbers, neutropenia (Figure 2). The mutations found in those with autoimmunity are included in Supplementary Table S2. Note that of these, 14 had mutations in the TACI gene (2 with compound heterozygous variants, one with homozygosity) and 4 others were in association with additional deleterious variants). Five of the subjects with autoimmunity had mutations in NFKB1, 4 had variants in CTLA4, IKZF1, or in STAT3, and with other genes noted in other subjects.

Significant pulmonary disease (interstitial lung disease, numerous nodules, and/or known granulomatous disease or

TABLE 4 Percentage of complications in each group.

	Auto- immunity %	Pulmonary %	Gastro- intestinal %	Liver %	Granuloma %	Splenec- tomy %	Cancer %	Lymphoma %
With gene N= 125	47	31	16	17	17.6	13.6	8	6.5
no gene N= 280	32	17.5	18	9	7	6	4.3	7.5

TABLE 5 Autoimmunity: Gene identified.

The state of the factories.						
N = 125						
Condition	Number	%				
ITP	25	37				
AIHA ITP	16	24				
AIHA	4	6				
Neutropenia	4	6				
Diabetes Mellitus	4	6				
Autoimmune hepatitis	3	4				
Alopecia	2	3				
Pancytopenia	2	3				
Uveitis	2	3				
Opsoclonus myoclonus	1	1				
Psoriatic arthritis	1	1				
Myasthenia Gravis	1	1				
TTP	1	1				
PSC	1	1				

respiratory failure) was observed in 39 subjects. Of these (31%) had an identified gene defect, as compared to 17.5% of those with no gene noted, suggesting a slight but not significant enrichment of significant respiratory disease in those with an identified gene, p =0.053. Of those with significant lung disease, 12 subjects had a TACI variant, 4 had NFKB2, 4 had NFKB1 and 3 others had PI3KCD variants. Mutations in the genes, BACH2, KMT2D, LRBA, LIG4, STAT3 FOXP3 and CASP8 were identified in other patients as outlined (Supplementary Table S3). Thirteen of these subjects had been diagnosed as having granulomatous lymphocytic infiltrates in the lungs (GLILD) (Bates et al., 2004). Overall, the tissue diagnosis of granulomatous disease (in lymph nodes, lung skin, or liver) was noted in 22 (17.7%) of the subjects with defects in known genes (BACH2, CTLA4, KMT2D, LRBA, PIK3R1, STAT3, TACI, TCF3 or WAS.) This was significantly different from the 20 other subjects (7.5%) with granulomatous infiltrations) with no known gene defect, (p = 0.046).

The diagnosis of cancer or lymphoma was made in 18 patients (14.5%) with an identified gene defect, including 9 lymphoid malignancies and 6 other cancers, with the genetic changes noted for each (Table 7). Cancer or lymphoma was also diagnosed in

32 other subjects (11%) with no known genetic variants (not significantly different.) Gastrointestinal and/or liver disease were noted in similar proportions in each group, with the genes noted in Supplementary Table S4. Splenectomy, usually done for treatment of cytopenias, had been performed in 34 patients overall, with 17 (13.7%) of these in subjects with known genetic variants, as opposed to 17 others (6%) with no known genetic association (not significantly different.) Of the splenectomized subjects, 7 had TACI gene variants; others included *NFKB1* in 4, *LRBA*, *TCF3*, *STAT3*, *NFKB2*, *CTLA4* and *RAG1/RAG2*.

We also considered if those with genetic variants might have specific types of unusual infections. However, a history of infections with Herpes Zoster, Candida sp, Giardia, Clostridia difficile, Helicobacter pylori, Norovirus, Campylobacter, Herpes simplex, or more unusual infections with atypical mycobacteria, mycoplasma, histoplasmosis, or cryptococcus, were seen in subjects with and without genetic variants.

Discussion

A number of previous studies have outlined the clinical phenotypes of large patient groups with antibody deficiency who have mutations in selected genes, including the TACI gene (Salzer et al., 2005; Zhang et al., 2007; Salzer et al., 2009), CTLA4 (Schwab et al., 2018), NFKB1 (Lorenzini et al., 2020), NFKB2 (Klemann et al., 2019), STAT3 (Fabre et al., 2019), PI3KCD (Jamee et al., 2020), or LRBA (Habibi et al., 2019). These studies describe the infectious, autoimmune and inflammatory characteristics of patients with these specific inborn errors of immunity. Here, the genetic analyses of CVID patients from one large cohort were examined to determine if the clinical complications might lead the physician to suspect a genetic defect in one or more of the previously established causal genes. In the current cohort of 405 subjects, 125 (31%) had an identifiable causative or associated genetic variant, similar to other investigated cohorts (Abolhassani et al., 2020; Rojas-Restrepo et al., 2021), however leaving the majority of patients without a known genetic cause. Autoimmunity was one of the commonest conditions for this group of patients with 37% of the patients having one or more of these conditions. Of these, 47% of them carried a predicted deleterious variant, while for those with no gene noted, 32% had autoimmunity. The autoimmune conditions noted were similar for each group, with cytopenias being the most prevalent manifestation, resulting in splenectomy in a number of subjects. While patients with mutations in CTLA4, IKZF1, STAT3 and LRBA were in the autoimmune group, the largest number had variants in the TACI gene. While variants in

TABLE 6 Autoimmunity: No gene identified.

N = 280							
Condition	Number						
ITP	42	45					
AIHA ITP	11	12					
Diabetes	7	8					
AIHA	5	5					
Thyroiditis	4	5					
Neutropenia	3	3					
Uveitis	3	3					
RA	3	3					
Psoriasis	2	2					
Vitiligo	2	2					
Multiple Sclerosis	2	2					
ANA+	1	1					
B12 Deficient	1	1					
Anti-phospho-lipid antibody	1	1					
Scleroderma	1	1					
Red cell aplasia	1	1					

 $AIHA\ , autoimmune\ hemolytic\ anemia;\ ITP\ , immune\ thrombocytopenia;\ TTP\ , thrombotic\ thrombocytopenic\ purpura;\ PSC\ , primary\ sclerosing\ cholangitis;\ ANA\ , antinuclear\ antibody;\ RA\ , rheumatoid\ arthritis;\ PSC\ ,\ primary\ sclerosing\ cholangitis.$

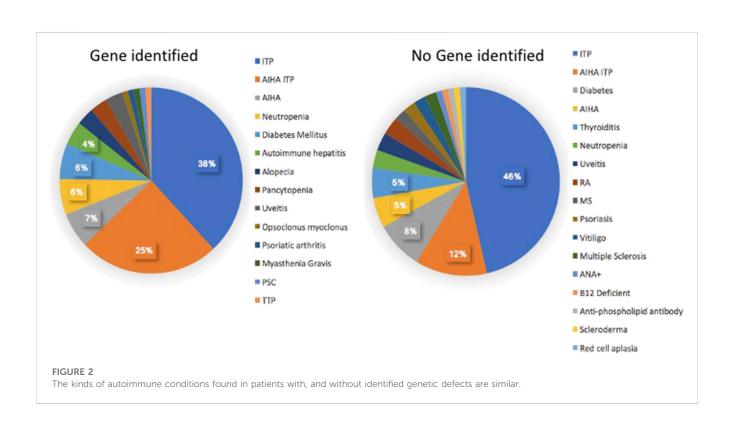
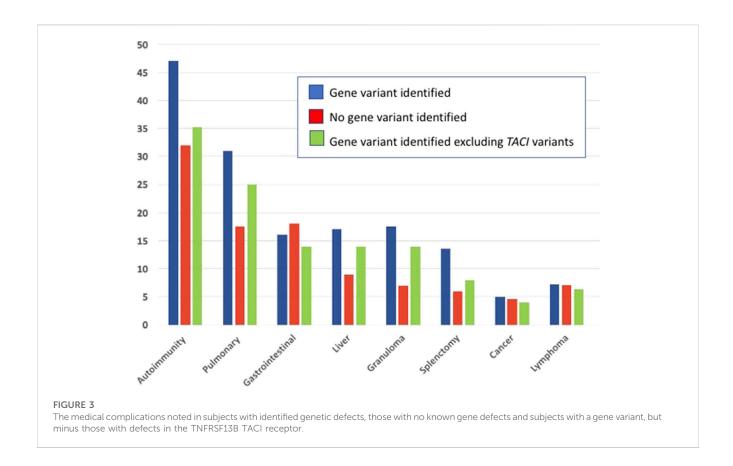


TABLE 7 Gene defects in cancers and lymphoma.

Gene	Consequence	Cancer	Lymphoma/Leukemia	
BTK	p.Tyr418His	Esophagus	-	
CD40L	indel-frameshift	Bladder	-	
IKZF1	p.Ser385*		T cell leukemia	
LRBA	p.Ile2232Thr/p.Ala892Thr	Mouth	-	
NFKB2	p.His98Asn	-	Gastric Maltoma	
TACI	p.Cys104Arg			
NFKB2	p.Gly719Glu	-	Gastric Maltoma	
TACI	p.Leu69fs			
PI3KCD	p.Glu1021Lys	-	Lymphoma	
PI3KCD	p.Glu1021Lys	Ovary	-	
PIK3R1	start gained/start gained		MALT Lymphoma	
DCLRE1C	del exon 1-3			
RAB27A.	del exon 2	Gall Bladder		
PMS2	p.Ile18Val/p.Arg563Leu			
TACI	p.Cys104Arg		Plasmablastic Lymphoma	
TACI	p.Cys104Arg	Rectal	-	
TACI	p.Ala181Glu	-	MALT Lymphoma	
TCF3	p.Ile562Val	-	Lymphoma	
TACI	p.Leu69fs/Cys104Arg			
TACI/	p.Ala181Glu		Lymphoma	
TMPRSS15	p.Ser712*			
NBAS	deletion exons 45-52			
IL10RA	p.Arg147Pro fs*4			

the TACI gene are not thought to be disease causing, they are commonly associated with autoimmunity in CVID (Salzer et al., 2005; Zhang et al., 2007), possibly explaining this enrichment. If subjects with a TACI variant are excluded, 35% of subjects with a known gene were noted to have autoimmunity, more similar to those for whom no gene was identified (at 32%) as illustrated (Figure 3) The autoimmune cytopenia (ITP or AIHA) resulted in splenectomy in 34 patients, and 7 of the 17 with a known gene, had a TACI variant. Significant respiratory disease was also more common in those subjects with a known gene defect (at 31%). Excluding the 8 subjects with a TACI variant, 25% of these subjects had this complication, more similar to those with no known genetic background (17.5%). Granulomatous disease was overall, significantly enriched in those with genes identified. In this group, 17.6% had this complication if they had TACI variants; if these are excluded, the percentage was 14%, as opposed to 7% of those with no noted genes. Other complications, such as gastrointestinal, substantial liver disease or cancer appeared in subjects with and without identified genetic defects in similar numbers (Figure 3).

As the data presented here was gathered over a decade, a question that might arise if the DNA samples tested over time, using WES or the targeted panel, would lead to different results. We did not find this difficulty, but using the two methods led to confirmation. In addition, the accumulation of genetic data obtained by WES on all samples, also allowed for continued surveying for newly reported mutational differences. This allowed for updating as new genes contributing to the CVID phenotype were identified, and allowed all samples to be examined by the same parameters. The targeting panel was particularly useful for rapid analysis or inheritance questions, but even in these cases, WES was still performed. Limitations of this study include the fairly narrow demographic background of the subjects referred, a known reason for genetic differences in any CVID patient population (Abolhassani et al., 2020; Rojas-Restrepo et al., 2021), and the possibility of incomplete clinical information as these data were collected over varying periods of time. A further limitation is that confirmation of the pathogenicity of the identified variants depends on previous publications, in vitro assays where available, and the genetic



methods used to assign the likelihood of a variant of dexterous variant exerting a deleterious change.

Overall, we conclude that CVID subjects with currently identifiable gene variants, either associated with or causative of this immune defect, appear to have an increased numbers of autoimmune manifestations, more significant respiratory disease and granulomatous changes in pathology; some of these differences can be attributed to co-existence of TACI variants as a genetic modifier. However, numerous other patients with no genetic basis yet discovered, have similar medical histories. It is possible that aside from genetics, these different clinical manifestations result from metabolic, environmental factors or epigenetic causes (Del Pino-Molina et al., 2019; Jorgensen et al., 2019; Macpherson et al., 2019; Ho et al., 2021; Rodriguez-Ubreva et al., 2022; Macpherson et al., 2023). While the majority of patients who carry the "CVID" diagnosis do not yet have a clarified molecular cause, the genetic discoveries in antibody defects continue to reveal the complex immunologic pathways needed to initiate and sustain normal B cell development and the long-term maintenance of B cell memory. With further exploration, more digenic or even polygenic causes of CVID are likely to be dissected, considering the intersecting immunologic pathways.

Data availability statement

The datasets presented in this study are available upon request.

Ethics statement

The studies involving humans were approved by the Mount Sinai School of Medicine. The studies were conducted in accordance with the local legislation and institutional requirements. Written informed consent for participation in this study was provided by the participants' legal guardians/next of kin.

Author contributions

CC-R: Conceptualization, Data curation, Formal Analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Software, Supervision, Validation, Visualization, Writing-original draft, Writing-review and editing. J-LC: Data curation, Funding acquisition, Investigation, Methodology, Resources, Software, Supervision, Writing-review and editing. BB: Formal Analysis, Funding acquisition, Investigation, Methodology, Resources, Software, Supervision, Validation, Writing-review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2023.1272912/full#supplementary-material

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Genetic interrogation for sequence and copy number variants in systemic lupus erythematosus

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Early-onset systemic lupus erythematosus presents with a more severe disease and is associated with a greater genetic burden, especially in patients from Black, Asian or Hispanic ancestries. Next-generation sequencing techniques, notably whole exome sequencing, have been extensively used in genomic interrogation studies to identify causal disease variants that are increasingly implicated in the development of autoimmunity. This Review discusses the known casual variants of polygenic and monogenic systemic lupus erythematosus and its implications under certain genetic disparities while suggesting an age-based sequencing strategy to aid in clinical diagnostics and patient management for improved patient care.

KEYWORDS

systemic lupus erythematosus, genomics, next-generation sequencing, whole exome sequencing, monogenic, copy number variation

1 Introduction

Systemic lupus erythematosus (SLE, or lupus) is an autoimmune disease characterized by autoantibody formation targeting nucleic components like double-stranded DNA (dsDNA) and RNA (Caielli et al., 2023). The vast spectrum of clinical manifestations ranges from mild skin rashes to widespread destructive multi-organ inflammation, which in some cases, could result in death. The pathogenesis of SLE is complex and multi-factorial (Tsokos, 2011), with genetic and environmental contributions to the disease. It has also been observed that various autoimmune diseases are more common in women (Vinuesa et al., 2023), and in SLE, individuals from Black, Asian or Hispanic ethnicities have an increased disease burden, with patients presenting with a more severe phenotype (Lewis and Jawad, 2017).

SLE can be grouped according to the age of disease onset into adult- and childhood-onset SLE (cSLE); the latter referring to those diagnosed before the age of 18 years and generally presents with greater severity especially in children under 5 years old (Bundhun et al., 2017; Alperin et al., 2018). This early onset of SLE has been associated with an increased genetic burden, highlighting the contribution of one or several risk alleles to

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disease (Webb et al., 2011). And within this patient group, around 3%–10% of patients carry a single disease-causing variant (Almlof et al., 2019; Belot et al., 2020; Charras et al., 2023), thus being increasingly recognized and termed as monogenic SLE (Harley and Sawalha, 2022; Vinuesa et al., 2023). Pinpointing the disease-causing variant will contribute greatly to our current knowledge of lupus pathogenesis, and this can be achieved through the use of next-generation sequencing (NGS) techniques (Sanger et al., 1977; Slatko et al., 2018; You et al., 2018; Yaung et al., 2023). As such, a focused strategy is needed together with prioritizing NGS and research efforts towards cSLE patients (Mina and Brunner, 2013).

Knowing that SLE has a strong genetic component to disease (Lewis and Jawad, 2017), multiple susceptibility loci have since been identified, following the advent of genome-wide association studies (GWAS) (Deng and Tsao, 2014). Further diving into genomic studies of SLE through NGS techniques has brought to light the utility of whole exome (WES) and whole genome sequencing (WGS). Our colleagues have also reviewed various technologies that could be employed to elucidate disease mechanisms (Yaung et al., 2023), such as Sanger sequencing (Sanger et al., 1977), single nucleotide polymorphism (SNP) array (You et al., 2018), WES and WGS (Slatko et al., 2018). In this Review, we expound further into the use of NGS techniques, notably WES, across the current genomic landscape of polygenic and monogenic SLE, discussing its potential in reconciling disease risk variants and copy number variations (CNVs) and evaluating the identification of such variants.

2 Next-generation sequencing in SLE

Sequencing technologies have been fundamental for researchers due to their high-throughput capabilities and more recently, their cost-effectiveness (Goodwin et al., 2016). This has allowed for comprehensive genomic studies (i.e., point mutations, small indels, CNVs) and paved the way for multi-omics studies (Levy and Myers, 2016; Lee et al., 2022; Satam et al., 2023; Yaung et al., 2023). In the context of systemic autoimmune diseases like SLE, multiple susceptibility loci identified by GWAS cumulatively contribute risk towards its development but carry a relatively low disease risk individually (Sestak et al., 2011; Wahren-Herlenius and Dorner, 2013).

Several methods have been employed in SLE genomics, including WGS, WES and targeted sequencing (Table 1). Briefly, WGS allows for comprehensive interrogation of the entire human genome and has contributed significantly to the genomic landscape via the 1000 Genomes project since 2010 (Genomes Project et al., 2010; Genomes Project et al., 2015; Sudmant et al., 2015). However, around 85% of disease-related mutations are concentrated in the exome, which constitutes about 2% of the whole genome (Majewski et al., 2011). WES then involves the selection of protein-coding regions (exons) in the genome for sequencing to identify any changes that could impact protein sequences (Ng et al., 2009). This has led to its increased use due to the significant reduction vis-à-vis the starting material, cost and data management (Petersen et al., 2017). In addition, mutations in the exonic region have been shown to be a major contributor to the development of monogenic diseases (Kuhlenbaumer et al., 2011). With the knowledge obtained from the above-mentioned methods, sequencing panels could be generated to target certain regions of interest that harbor pathogenic mutations, hence the utility of targeted sequencing for potential clinical care (Gulilat et al., 2019).

2.1 Polygenic contribution to SLE

Autoimmune diseases have been known to arise from an accumulation of genetic and environmental factors across one's lifetime, as in the case of adult-onset SLE (Goodnow et al., 2005). More than 100 loci associated with SLE have been identified through GWAS (Wang et al., 2021), such as regions in the Human Leukocyte Antigen (HLA) locus (Hanscombe et al., 2018), STAT4 (Remmers et al., 2007; Han et al., 2009), TNFSF4 (Han et al., 2009), BANK1 (Kozyrev et al., 2008; Martinez-Bueno et al., 2018), TNFAIP3 (Graham et al., 2008; Musone et al., 2008; Han et al., 2009), BLK (Hom et al., 2008; Han et al., 2009), IRF5 (Jones et al., 2019), ETS1 (Han et al., 2009; Yang et al., 2010; Jones et al., 2019), WDFY4 (Yang et al., 2010) and TNIP1 (Han et al., 2009; Yang et al., 2010; Jones et al., 2019). However, these variants are unlikely to contribute significantly to SLE pathogenesis individually, unless coupled either with variants in certain regulatory regions or in other genes that maintain immune tolerance (Jones et al., 2019). Importantly, epistatic interaction between genes may contribute in part to the development of complex diseases such as lupus (Hughes et al., 2012; Wei et al., 2014).

It has been recently suggested that polygenic risk scores (PRS) could be utilized to identify and stratify potential SLE patients for early intervention, if needed (Khunsriraksakul et al., 2023). Briefly, GWAS-identified risk variants are statistically compiled to predict disease incidence in a population and risk for developing SLE in individuals (Khunsriraksakul et al., 2022). An association between a high PRS and poorer prognosis in SLE has been observed (Chen et al., 2020; Reid et al., 2020; Sandling et al., 2021), with one study going further to delineate T cell differentiation and innate immunity as the two key axes of SLE association mediated by HLA and interferons (IFNs) respectively (Sandling et al., 2021). The strong involvement of HLA and IFNs has also been described for SLE pathogenesis (Chen et al., 2017; Villarino et al., 2017; Alunno et al., 2019; Crow and Ronnblom, 2019). Despite its utility, PRS has yet to be generalizable beyond the specific population being studied, which further emphasizes the need for larger, diverse and well-represented datasets in order to draw meaningful conclusions (Torkamani et al., 2018). In addition, data generated from GWAS is primarily based on SNP arrays which can be limited by its inability to identify causal variants and ultra-rare mutations, particularly in ethically underrepresented populations (Tam et al., 2019). NGS techniques thus provide an answer to interrogating such variants, which might aid in enriching our knowledge of SLE pathogenesis, the clinical diagnosis and management of polygenic SLE together with the potential use of PRS.

2.2 Monogenic contribution to SLE

Single gene defects are part of the diverse heterogenous etiologies for lupus, where about 1%–3% of SLE patients carry a single mutation that leads to disease development (Costa-Reis and

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TABLE 1 NGS techniques and respective applications in SLE studies.

Reference(s)	Study population		Gene(s) identified	Change in s			
	Cases	Controls	Ethnicity		Nucleotide	Protein	manifestations (if any)
SNP array							
Han et al. (2009), Nat Genet	1,047	1,205	Han Chinese	BLK, ETS1, IKZF1, IRF5, RASGRP3, SLC15A4, STAT4, TNFAIP3, TNFSF4, TNIP1, 6q21 7q11.23, 10q11.22, 11q23.3, 16p11.2, 22q11	-	-	-
Rioux et al. (2009), Proc Natl	643	1,049	British	HLA-DRA, TNSB-CREB1	-	-	-
Acad Sci	483	746	American				
	-	672	Swedish				
Fernando et al. (2012), Ann Rheum Dis	464	468	Spanish	BTNL2-DRA, C6orf27, DPB1-DPB2, MSH5	-DPB2, MSH5 -DIA1, HLA-G- H, HLA-B-MICA, 5, DPB1 -2-DRA, HLA-C- B, MUC21-	-	-
	335	247	Filipino	DRB1-D1A1, HLA-G- HLA-H, HLA-B-MICA, MSH5, DPB1			
	632	742	British	BTNL2-DRA, HLA-C- HLA-B, MUC21- PSORS1C1, TNXB-ATF6B			
Webb et al. (2011), Ann Rheum Dis	1,569 155	1893 131	AA Gullah AA	BANK1, CFB, CTLA4, C8orf13-BLK, FCGR2A, ITGAM, KIAA1542, MBL2, MECP2, MSH5, PDCD1, PTPN22, PXK, STAT4, TNFSF4	-	-	-
Hom et al. (2008), NEJM	1,435 793	3,583 857	European Swedish	BLK, HLA, IRF5, ITGAM- ITGAX, STAT4	-	-	-
Gateva et al. (2009), Nat	1,129	2,291	American	ATG5, BANK1, BLK,	_		_
Genet	834	1,338	Swedish	FCGR2A, HLA-DRB1, HLA-DRB2, IRAK1- MECP2, ITGAM, IRF5, KIAA1542 (PHRF1), PTPN22, PTTG1, PXK, STAT4, TNFAIP3, TNFSF4 (OX40L), UBE2L3			
Bentham et al. (2015), Nat Genet	4,036	6,959	European	ARID5B, BANK1, BLK, CD44, CSK, CXorf21, IFIH1, IKZF1, IKZF2, IKZF3, IL10, IL12A, IRF5, IRF7, IRF8, ITGAM, JAZF1, LYST, MHC Class II, MIR146A, PCGR2A, PLD2, PTPN22, RAD51B, SH2B3, SLC15A4, SPRED2, STAT4, TNFAIP3, TNFSF4, TNIP1, TYK2, UBE2L3, UHRF1BP1, WDFY4	-	-	-
Wang et al. (2021), Nat Commun	6,707	16,047	East Asian	DSE, HIP1, IKZF1, NEURL4-ACAP1, PLD4, PRKCB, PRRX1-MROH9, TNFRSF13B, TYK2	-	-	-
	4,576	8,039	European				
Elghzaly et al. (2022), Front Genet	458	769	Egyptian	DEF6-PPARD, IRF1, IRF5, ITGAM-ITGAX, TYK2, XKR6	-	-	-

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TABLE 1 (Continued) NGS techniques and respective applications in SLE studies.

Reference(s)	Study population		Gene(s) identified	Change in s	equence	Clinical manifestations (if any)	
	Cases	Controls	Ethnicity		Nucleotide	Protein	mannestations (if any
Martínez-Bueno et al. (2018), Front Immunol	4,212	4,065	European	HLA-DRB1, IRF7, PRDM1, SPATA8, TMEM55B	-	-	-
Yang et al. (2015), Am J Hum Genet	1,656	3,394	East Asian	ARID5B, CD80, CDKN1B, DRAM1, GPR19, SREBL2, TET3	-	-	-
Yang et al. (2010), PLoS Genet	3,614	5,684	East Asian	BANK1, BLK, ETS1, HLA- DRB1-HLA-DQA1, IRF5, STAT4, TNFAIP3, TNFSF4, WDFY4	-	-	-
Martínez-Bueno et al.	4,212	4,065	European	BANK1	-	-	-
(2018), Int J Mol Sci	1761	1,138	AA				
Hanscombe et al. (2018),	4,036	6,959	European	HLA-DQA, HLA-DQB	-	-	-
Hum Mol Genet	1,494	5,908	AA				
	I			WES			
Tirosh et al. (2019), Pediatr Rheumol Online J	15	-	Israeli	C1QC (premature stop codon)	c.271G>T	p.G91*	Lupus exacerbation, MAS, sepsis
				MAN2B1	c.192C>A	p.V56M	Dysmorphic features, decreased
				SLC7A7	c.943T>C	p.S315P	breath sounds bilaterally, hepatosplenomegaly, malar rash diffuse abdominal papulosquamous rash and palma erythema
				PTEN	c.697C>T	p.R233X	Macrocephaly, developmental delay, pigmented gums and macules of the glans penis; previously reported by Liaw et al., 1997, Nat Genet.
				STAT1 gain-of-function	c.862A>G	p.T288A	Chronic mucocutaneous candidiasis and autoimmunity
Batu et al. (2018), J	7	245	Turkish	C1QA	c.622C>T	p.Q208*	-
Rheumatol				C1QC	c.79C>T	p.Q27*	
					c.100G>A	p.G34R	
				C1S	c.1945G>C	p.A649P	
				DNASE1L3	c.289_290delAC	p.T97lefs*2	
				HDAC7	c.163C>T	p.R55W	
Brown et al. (2022), Nature	1	-	European	TLR7	c.790T>C	р.Ү264Н	Inflammatory arthralgias, constitutional symptoms, intermittent hemichorea episodes with hypertensive crisis
	1	-	American		c.82A>G	p.R28G	Neuromyelitis optica in the presence of ANAs and antibodies to aquaporin-4
	1	-	East Asian		c.1521T>G	p.F507L	Malar rash, join pain, Raynaud phenomenon, alopecia, fever, oral ulcers

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TABLE 1 (Continued) NGS techniques and respective applications in SLE studies.

Reference(s)	Study populat		ation Gene(s) identified		Change in sequence		Clinical manifestations (if any)
	Cases	Controls	Ethnicity		Nucleotide	Protein	manifestations (if any
Lee et al. (2022), Pediatr Rheumatol Online J	184	-	East Asian	CFHR4	c.103T>C	-	FTT, fever, arthritis, discoid rashes
				C1S	c.1241G>A	p.R414H	Oral ulcerations, swelling legs,
				C2	c.1558C>T	p.R520C	seizure with posterior reversible encephalopathy syndrome
				DNASE1	c.370G>A	p.E124K	
				DNASE1L3	c.764G>A	p.R225K	Nephrotic-range proteinuria, malar rash, oral ulceration, arthritis
				SLC7A7	c.625 + 1 G>A	-	Twin 1: transient proteinuria, lymphopenia, thrombocytopenia, low C4, positive auto-Ab profile Twin 2: FTT, glomerulonephritis with profound proteinuria
				TREX1	c.292_293 ins A	p.C99M fs	FTT, chilblain-like skin lesions dystonic posturing with peripheral spasticity
Delgado-Vega et al. (2018),	5	-	Icelandic	ANKRD50	-	p.T367M	-
Sci Rep				CHD3		p.A1523T	
				CLC		p.N65K	
				DCLRE1C		p.H283N	
				FAM71E1		p.L7F	
				FAM8A1		p.G234R	
				FAT4		p.P247T	
				FBXL14		p.N102H	
				KIR2DS4		p.I255L	
				KRTAP4-9		p.D18V	
				MPHOSPH8		p.E499K	
				NOTCH1		p.D932N	
				NUP214		p.I765V	
				PABPC3		p.A114T	
				PDHA2		p.R286P	
				SCL25A9		p.G103R	
				TPRA1		p.E300K	
				WDR25		p.R206H	
				XRCCBP1		p.A229V	
Hong et al. (2022), Front	1	-	East Asian	ACP5	c.420G>A	p.R46Q	Recurrent upper respiratory
Pediatr					c.1152G>T	p.G290V	tract infections and oral thru throughout life; presented wi
				SAMDH1	c.1423G>A	p.R408H	cutaneous bleeding spots on lower extremities

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TABLE 1 (Continued) NGS techniques and respective applications in SLE studies.

Reference(s)	Study popul		ation	Gene(s) identified	Change in sequence		Clinical manifestations (if any)
	Cases	Controls	Ethnicity		Nucleotide	Protein	mannestations (ii any)
Li et al. (2020), Medicine (Baltimore)	7	-	East Asian	NRAS	c.38A>G	p.G13C	Genetic changes observed in Patients 1-4
				PIK3CD	c.3061G>A	p.E1021K	Genetic changes observed in
				TNFAIP3	c.559C>T	p.Q187X	Patients 6 and 7
Demirkaya et al. (2017), Arthritis Rheumatol	4	5	Turkish	C1R	c.1332delT	p.P445L fs*11	Systemic inflammation, presence of ANA, malar rash
Tang and Luo. (2022), Arch Rheumatol	4	-	East Asian	CR2	C.2804T>C	p.I935T	-
Kileumatoi				ITGB3	c.1960G>A	-	
Raupov et al. (2022), Front Pediatr	2	-	Russian	RNASEL	c.1880A>G	p.K627R	Twin 1: petechiae, fever, Henoch-Schonlein purpura Twin 2: acute severe leg pain, petechiae
				WGS			
Almlof et al. (2019), Hum	71	2,711	Swedish	C1QC	C>T	p.R69*	-
Genet				C1S	G>A	p.D631N	
				DNASE1	G>A	p.G127R	
					C>G	p.P154A	
				DNASE1L3	G>A	p.T224M	
				IFIH1	G>A	p.R77W	
					G>A	p.R374C	
				RNASEH2A	A>G	p.K221R	
Almlof et al. (2021), Eur J Hum Genet	71	2,711	Swedish	ISX	c.1076G>A	p.R138N	-
num Genet				LTB4R2	c.620C>T	p.N169*	
				MAZ	c.1276T>G	p.C368G	
				PPARA	Deletion 80 kb from TSS in intron 6		
				RBM10	Deletion of exons	3-7	
				SMARCA2	Deletion 603 base	s downstream	
			Т	argeted Sequencing			
Alghamdi et al. (2021), Gene	100	147	Egyptian	AIRE	AIRE (rs2075876) SLE, but not the 0		red protection against developing
				CTLA-4	SLE, but not the	51LA4 (182517)	73) variant
Lundtoft et al. (2022), Arthritis Rheumatol	2,290	1,251	Scandinavian	C4		Low copy number associated with increased risk for SLE (stron for C4A than C4B)	
Montufar-Robles et al. (2019), Cell Immunol	379	460	Mexican	AIRE	Ser196Ser synony	mous variant as	ssociated with SLE
Mueller et al. (2013), Am J Hum Genet	4	-	West African	FCGR3B	Reduced copy nur	nber associated	with SLE
Tam Genet	3		East Asian				
	2		СЕРН				
Raj et al. (2020), Genome Biol	1700	2,108	Caucasian	DAP1		shed apoptosis,	inscription, leading to increased increased humoral autoimmunity

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TABLE 1 (Continued) NGS techniques and respective applications in SLE studies.

Reference(s)	St	tudy popula	ation	Gene(s) identified	Change in sequence		Clinical
	Cases	Controls	Ethnicity		Nucleotide	Protein	manifestations (if any)
Sandling et al. (2021), Ann	958	1,026	Swedish	ARRDC5	-	p.N231R	-
Rheum Dis				BNC2		p.H307Y	
				C1orf27		p.N246K	
				CAD		p.M922K	
				CAMK2G		p.H370Q	
				CCDC141		p.S1522F	
				DAD1		p.S66R	
				IFNA21		p.Y146C	
				IQGAP1		p.V1371M	
				KIR3DL3		p.G219D	
				MUC5B		p.T2727P	
				PIK3R2		p.G372S	
				PMEL		p.P402H	
				RUNDC1		p.V477I	
				SCNN1A		p.R511*	
				SELENBP1		p.P36T	
				SENP1		p.T155A	
				TMEM132C		p.P1094A	

AA, African-American; ANA, anti-nuclear antibody; CEPH: Centre d'Etude du Polymorphisme Humain; del, deletion; fs, frameshift; FTT, failure-to-thrive; ins, insertion; MAS, macrophage activation syndrome; NGS, next generation sequencing; SLE, systemic lupus erythematosus; SNP, single nucleotide polymorphism; WES, whole exome sequencing; WGS, whole genome sequencing. Italic values denote genes.

Sullivan, 2017). Albeit rare, monogenic SLE is characterized by a more severe phenotype early in life (Webb et al., 2011; Vinuesa et al., 2023). Several gene sets involved in the complement pathway, IFN responses, nucleic acid sensing and immune tolerance have been implicated in the pathogenesis of monogenic SLE (Alperin et al., 2018; Vinuesa et al., 2023).

Most complement-related SLE defects are found in the C1 (C1QA, C1QB, C1QC, C1R, C1S) (Lood et al., 2009; Bienaime et al., 2010; Demirkaya et al., 2017; Almlof et al., 2019) or C4 (C4A, C4B) compartments (Blanchong et al., 2001; Vinuesa et al., 2023). Other affected regions include the C2 and C3 genes (Miller and Atkinson, 2012; Vinuesa et al., 2023). Complement-deficient patients tend to have an impaired clearance of cellular apoptotic fragments, which in turn facilitates autoimmunity (Costa-Reis and Sullivan, 2017; Vinuesa et al., 2023). Next, studies have observed an elevated IFN signature in SLE patients (Baechler et al., 2003; Reynier et al., 2011), and the association of variants in ADAR1 (Crow and Ronnblom, 2019), TREX1 (Rice et al., 2015), SAMHD1 (Abdel-Salam et al., 2010; Ravenscroft et al., 2011) and IFIH1 (Rice et al., 2014; Almlof et al., 2019) genes to disease (Abdel-Salam et al., 2010; Ravenscroft et al., 2011; Rice et al., 2012; Rice et al., 2014; Crow et al., 2015). ADAR1, TREX1 and SAMHD1 are involved in nucleic acid metabolism while IFIH1 is involved in nucleic acid sensing. Lastly, defects in nucleic acid sensing and degradation genes DNASE1 (Yasutomo et al., 2001; Almlof et al., 2019), *DNASE1L3* (Al-Mayouf et al., 2011; Almlof et al., 2019) and *TLR7* (Giltiay et al., 2013; Brown et al., 2022) have been found in SLE patients. This causes an accumulation of extracellular nucleic acids, leading to TLR7 activation and downstream type I IFN production. Type I IFN further upregulates TLR7 expression, creating a positive feedback loop that eventuates into autoantibody production (Caielli et al., 2023). With this, it has been recently found that two *TLR7* variants (Y264H and F507L) were recently identified to cause SLE, with the Y264H variant presenting with an increased sensing of guanosine and 2′,3′-cGMP (Shibata et al., 2016; Zhang et al., 2016; Zhang et al., 2018; Brown et al., 2022).

Across autoimmune diseases, a hallmark of its development is the loss of tolerance to self-antigens, with AIRE and CTLA-4 being implicated in SLE (Pullmann et al., 1999; Ahmed et al., 2001; Hudson et al., 2002; Lee et al., 2005; Cunninghame Graham et al., 2006; Lovewell et al., 2015; Montufar-Robles et al., 2019; Alghamdi et al., 2021). AIRE, or autoimmune regulator is essential for maintaining central immune tolerance by controlling the negative thymic selection of hyper-reactive T lymphocytes against self-antigens (Yang et al., 2015). Mutations in this gene region have been observed in Norwegian patients with autoimmune polyendocrine syndrome type I (APS-1; (Oftedal et al., 2023)) and Japanese patients with rheumatoid arthritis (RA; (Terao

et al., 2011)). More recently, an AIRE Ser196Ser synonymous variant was associated with SLE in a recent targeted sequencing study in a Mexican cohort (Montufar-Robles et al., 2019). However, when extended to GWAS performed on a larger European SLE cohort, no association was found (Bentham et al., 2015). Next, cytotoxic T-lymphocyte associated protein 4 (CTLA-4, or CD152) is an important checkpoint inhibitor in peripheral immune tolerance via negative signaling in regulating autoreactive T cells (Liu and Zhang, 2013; Van Coillie et al., 2020). Though several reports have identified certain polymorphisms contributing to SLE development (Pullmann et al., 1999; Ahmed et al., 2001; Hudson et al., 2002; Lee et al., 2005; Cunninghame Graham et al., 2006; Jury et al., 2010), a meta-analysis has highlighted no association of said variants to lupus (Liu and Zhang, 2013; Alghamdi et al., 2021). In some cases, specific CTLA-4 variants could even contribute to protection against SLE (Barreto et al., 2004), suggesting that only certain variants within the CTLA-4 gene region have an association with SLE

Recent studies have described several novel genes associated with SLE following WES analysis in an Asian population, such as the decreased expression of cell division cycle 27 (CDC27) in patients (Shang et al., 2022), and novel variants in genes encoding for complement receptor 2 (CR2) (Tang and Luo, 2022), C1R (Demirkaya et al., 2017), NRAS, TNFAIP3 and PIK3CD (Li et al., 2020), WNT16 and ERVW-1 (Chen et al., 2022), ACP5 and SAMHD1 (Hong et al., 2022). This list of genes contributing to monogenic SLE continues to grow with increased usage of WES over the past few years, further enriching our knowledge about the genetic contribution to SLE.

2.3 Copy number variation (CNV)

Copy number variation (CNV) is a phenomenon where repeated genomic sequences occur and arise from the process of genomic rearrangement, which can manifest as translocations, inversions, insertions and deletions (Feuk et al., 2006; Human Genome Structural Variation Working et al., 2007). However, the total number of gene copies and its downstream effects may vary between individuals (Usher and McCarroll, 2015). In the past two decades, several CNVs associated with SLE development have been identified, such as C4 (C4A, C4B) (Yang et al., 2007; Pereira et al., 2019; Kamitaki et al., 2020; Lundtoft et al., 2022), FCGR3A, FCGR3B (Willcocks et al., 2008; Niederer et al., 2010), CCL3L1 (Gonzalez et al., 2005), RABGAP1L (Kim et al., 2013), TLR7 (Garcia-Ortiz et al., 2010) and HSP90 (Zhang et al., 2019).

As previously mentioned, defects in complement genes have been observed to be a monogenic cause of SLE. Of note, C4, or complement compartment protein 4, is usually present in most individuals as two copies of C4A and C4B respectively. In some cases, SLE patients may carry a range of zero to five copies of C4A and zero to four copies of C4B (Yang et al., 2007; Pereira et al., 2019). A recent study has described an association between a low C4A copy number and an increased risk of developing SLE (Kamitaki et al., 2020). Though C4 genes are highly homologous and are usually excluded from variant calling analysis, Lundtoft et al. performed a focused analysis into C4 CNVs via targeted sequencing and found Scandinavian SLE patients with a low C4A copy number and

carrying a common loss-of-function (LoF) variant presenting with lowered plasma C4 levels (Lundtoft et al., 2022). Whether this phenomenon can be extended to other ancestral populations remains unknown and warrants further investigation.

Other genes like FCG3RA and FCGR3B encode for low-affinity Fc gamma (Fcγ) receptors of IgG and are crucial in the binding and clearing of immune complexes (Willcocks et al., 2008; Niederer et al., 2010), while CCL3L1 (C-C chemokine ligand 3 like-1) translates into a ligand that binds to C-C chemokine receptor 5 (CCR5) (Gonzalez et al., 2005). Healthy individuals carry two copies of each respective gene, but SLE risk increases when there are either lower or higher copy numbers of said genes (Willcocks et al., 2008). Increased SLE susceptibility was also observed with low RASGAP1L and high TLR7 copy numbers respectively. RASGAP1L encodes for a Rab GTPase-activating protein (Kim et al., 2013), while TLR7 is a key receptor in innate immunity that recognizes single-stranded RNA (Lund et al., 2004; Takeda and Akira, 2005). Lastly, abnormal CNVs in heat shock proteins 90 (HSP90), especially in its AB1 isoform, were identified to correlate with SLE in the Han Chinese (Zhang et al., 2019). This highlights the importance of CNVs in SLE and autoimmunity and thus the need for more traction toward implementing a pipeline to include them in future genetic screens (Zhao et al., 2020).

2.4 Identification of potential diseasecausing variants

Genetic testing using NGS techniques has identified potential disease-causing variants and led to better preventative risk management of diseases (Shaw et al., 2023). However, given its complexity, the labeling of variants as potentially pathogenic should be done with caution to prevent misdiagnoses. A misdiagnosis of a pathogenic variant can result in unnecessary medical interventions and cause undue psychological distress to both patients and their families (Manrai et al., 2016; Shaw et al., 2023). Such detrimental consequences have occurred in diseases like hypertrophic cardiomyopathy and cancers, where variants that were thought to be pathogenic were subsequently found to be benign due to the under-representation of certain ancestries in reference control groups (Manrai et al., 2016; Shaw et al., 2023).

To prevent such genetic misclassifications, the American College of Medical Genetics and Genomics has introduced a standardized framework for variant interpretation (Richards et al., 2015). In the case of SLE and other autoimmune diseases, pathogenic variants can be better identified prior to further functional validation through this framework, thus reducing the occurrence of false positives as the number of sequencing studies continues to rise (Vinuesa et al., 2023). In addition, various consortia like the Clinical Genome Resource (ClinGen; (Rehm et al., 2015)), Rheumatologic Autoimmune Clinical Domain Working Group under ClinGen, Lupus in Minority Populations, Nature versus Nurture (LUMINA; (Alarcon et al., 2001)) have been established to aggregate all available genomic data and concentrate global research efforts. Crucially, the consolidation of genomic data overcomes the major limitation of genome-wide studies of requiring large sample sizes due to the need to adopt a high level of significance to account for multiple testing (Tam et al., 2019).

With this framework for variant interpretation and genomic data from various consortia, this can be potentially applied to the dysmorphic syndromes associated with SLE, specifically genes of the Ras/mitogen-activated protein kinase (Ras/MAPK) pathway to identify with greater certainty the potential pathogenic genetic variants within this pathway that contribute to SLE (Amoroso et al., 2003; Lisbona et al., 2009; Leventopoulos et al., 2010; Hanaya et al., 2017; Uehara et al., 2018). However, further investigations would be needed to delineate the underlying mechanism with functional studies of the different genes in the Ras/MAPK pathway as these are currently described in case reports and series.

Our current understanding has informed us that certain ancestral groups have an increased predilection towards developing SLE (Lewis and Jawad, 2017), which requires controlling for in future sequencing studies to prevent any potential misclassification of disease-causing variants due to the unavailability of an adequate ancestry-specific reference genome. Past research has been largely focused on European ancestry (Yang et al., 2007; Lewis and Jawad, 2017; Hanscombe et al., 2018), resulting in an under-representation of data from other ancestries to draw meaningful generalizations about the disease. This can be resolved by tapping on several biobanks that have been consolidated over the years to provide greater depth and insights into the genetic differences within and across various ancestries. These include, and are not limited to, the Tohoku Biobank (150,000 participants; (Minegishi et al., 2019)), Mexican Biobank (6,057 participants; (Sohail et al., 2023)), Biobank Japan (BBJ, 260,000 participants; (Kanai et al., 2018)), China Kadoorie Biobank (500,000 participants; (Chen et al., 2011)), H3Africa (70,000 participants; (Consortium et al., 2014; Mulder et al., 2018)), UK Biobank (500,000 participants; (Bycroft et al., 2018; Van Hout et al., 2020; Gaynor et al., 2023)), Michigan Genomic Initiative (MGI, 91,000 participants; (Zawistowski et al., 2023)), Vanderbilt University Biobank (BioVU, 300,000 participants; (Khunsriraksakul et al., 2023)), and SG10K (9,051 participants; (Chan et al., 2022)). It should be noted that SG10K has since been expanded to SG100K, whereby data from 70,000 participants across four national cohort studies will be pooled together with the additional recruitment of 30,000 individuals (Begum, 2022).

3 Discussion

In this Review, we have provided an overview of various susceptibility genes contributing to the development of SLE either through a polygenic or monogenic route identified via NGS techniques, highlighted the involvement and importance of CNVs and urged for the inclusiveness of control groups to account for ancestral differences to prevent any potential variant misclassification.

The introduction of WGS and WES has resulted in faster genomic interrogation, allowing for one's entire genome to be generated in a matter of days to weeks (Bourchany et al., 2017; Duncavage et al., 2021). The data generated from WGS provides comprehensive information on both intronic (non-coding) and exonic (protein-coding) regions. However, the contributions of non-coding variants towards disease have yet to be thoroughly

elucidated and the downstream analyses of such intronic regions remain complex and highly challenging (Zhao et al., 2020). As such, WES has become increasingly popular in clinical diagnostics and research due to its utility (~95% capture of exonic and splice site regions (Field, 2021; Zhang et al., 2021)), ease of analysis (Yaung et al., 2023) and lower cost (one-third that of WGS (Goodwin et al., 2016; Field, 2021))

In addition, structural variants like CNV are relatively common across the whole genome (with a frequency of around 12%; (Iafrate et al., 2004; Sebat et al., 2004; Tuzun et al., 2005; Conrad et al., 2006; McCarroll et al., 2006)), and can influence gene expression (Somerville et al., 2005; Lee et al., 2006; McCarroll et al., 2006). As we have alluded to the growing importance of CNV in SLE immunogenetics, the coupling of WES with CNV detection addresses the need for a holistic interrogation of the genetic contribution to SLE through the dual identification of variations in exonic sequences and gene copy numbers. This is achievable with tools such as CoNIFER (Krumm et al., 2012), exomeCopy (Love et al., 2011), CNVkit (Talevich et al., 2016), cn. MOPS (Klambauer et al., 2012), CNest (Fitzgerald and Birney, 2022), CNVind (Kusmirek and Nowak, 2022), CoverageMaster (Rapti et al., 2022) and EXCAVATOR2 (D'Aurizio et al., 2016; D'Aurizio et al., 2018). More recently, Olfe et al. have demonstrated CTLA-4 insufficiency due to a novel CTLA-4 deletion using ClinCNV (German and Stephan, 2019; Olfe et al., 2023), further highlighting the synergy of CNV calling with WES analysis. Beyond the scope of autoimmune diseases, NGS techniques have also been extensively utilized in identifying causal variants (including CNVs) contributing to cancer (van Dijk et al., 2014; Papp et al., 2021; Satam et al., 2023), congenital (Lai et al., 2021; Li et al., 2022; Liu et al., 2022; Wang et al., 2022; Wu et al., 2022; Refeat et al., 2023), cardiovascular (Hu et al., 2023) and hematological diseases (Hassan et al., 2023).

Though the method of WES has been well-established over the years, notable limitations persist in WES-based CNV analyses. The technique primarily targets coding regions, leading to a restricted view of the genome and potentially missing important regulatory components within non-coding regions such as intergenic or intronic regions (Mandelker et al., 2016; Royer-Bertrand et al., 2021). This significantly impacts the sensitivity of CNV detection. In addition, it is susceptible to biases, such as GC content bias, which can impact the reliability of CNV calls (Lelieveld et al., 2015). Furthermore, a relatively higher false positive rate and the limitation of achieving homogeneous coverage of sequencing reads restrict its inclusion as a gold-standard method for CNV detection (Marchuk et al., 2018; Burdick et al., 2020). These limitations emphasize the necessity of integrating WES with other omics approaches for more accuracy in CNV detection (Gabrielaite et al., 2021). Nonetheless, with ongoing upgrades to sequencing libraries, capture kits and bioinformatics pipelines, it is anticipated that the existing limitations will be alleviated (Zhou et al., 2021). Future applications of third-generation sequencing (TGS) techniques such as long-read sequencing hold promise in addressing these constraints and provide additional possibilities in detecting structural variations (SVs) (Xiao and Zhou, 2020).

Though SLE is known to have a strong genetic predilection, its typical development is usually due to polygenic contributions

coupled with an environmental trigger (Harley and Sawalha, 2022); the latter of which must not be ignored. Research into the host-environment interplay has yielded physical/chemical factors (smoking, chemical exposure (Kilburn and Warshaw, 1992; Speyer and Costenbader, 2018; Akhil et al., 2023)), Epstein-Barr virus (EBV) infections (Poole et al., 2006; Jog and James, 2020), gut microbiota (Neuman and Koren, 2017) and obesity (Kang et al., 2020) as contributors to the development of SLE (Parks et al., 2017; Gulati and Brunner, 2018; Akhil et al., 2023). Such environmental triggers can influence methylation patterns in genes related to B and T cells, which are associated with SLE pathology (Akhil et al., 2023). These include observations of hypomethylation in CD40L (Vordenbaumen et al., 2021) and CD70 (Keshavarz-Fathi et al., 2022), as well as hypermethylation of FOXP3 (Hanaei et al., 2020) and CTLA-4 (Nosrat zehi et al., 2021).

Elucidating the pathogenesis of autoimmune diseases like SLE remains complex, and studies have called for the need for a multi-omics approach to furnish our current understanding of the disease (Fang et al., 2016; Hedrich, 2017; Kwon et al., 2019; Yaung et al., 2023). Thus far, transcriptomic signatures obtained from blood and tissues have shown an enrichment of genes involved in the IFN response (Banchereau et al., 2016; Der et al., 2019), which corroborates with previous genetic data (Baechler et al., 2003; Reynier et al., 2011). Epigenetic modifications in the genome such as methylation (Ballestar, 2011; Hedrich, 2017), non-coding RNAs (Taheri et al., 2020) and post-translational histone modifications (i.e., methylation, acetylation; (Hu et al., 2008)) have also been associated with the development of SLE. Proteomic studies have proven difficult to isolate biomarkers for diagnosis, management and monitoring due to the heterogeneity of the disease and its involvement across multiple organs (ref), but current efforts continue to show some promise (Huang et al., 2022; Fasano et al., 2023). Indeed, more needs to be done to reconcile multi-omics and genetic data of SLE in the future.

4 Conclusion

Up to 10% of patients below the age of 18 years can carry a significant disease-causing variant which manifests as severe SLE, alluding to a monogenic etiology and highlights the value of doing NGS in children with a very early onset of disease (Alperin et al., 2018; Charras et al., 2021). Previous studies have shown the utility of WES in unraveling novel rare variants and determining its respective contribution(s) to disease (Pullabhatla et al., 2018; Almlof et al., 2019; Tirosh et al., 2019; Almlof et al., 2021). However, genetic variation across ancestries should not be overlooked to prevent variant misclassification and downstream misdiagnoses. This can be controlled via the inclusion of gene datasets across various biobanks, consortia and databases. With that, establishing a pipeline where WES and CNV detection are coupled together will allow for the timely and pinpoint clinical diagnosis of SLE to allow for better clinical management and intervention.

5 Search strategy and selection criteria

We searched PubMed between 30 August 2023 and 7 February 2024, using the terms "systemic lupus erythematosus (SLE)", "next-generation sequencing (NGS)", "genomics", "copy number variation" in articles published from 1 Jan 2013 until 7 February 2024. Articles were also identified through references from articles identified through the search. Only papers published in English were reviewed and the final reference list was generated based on the relevance to the scope of this Review.

Author contributions

NK-WY: Data curation, Writing-original draft, Writing-review and editing. CL: Data curation, Writing-original draft, Writing-review and editing. KN: Writing-review and editing. NK: Writing-review and editing. TA: Writing-review and editing. SA: Writing-review and editing. JY: Conceptualization, Data curation, Supervision, Writing-original draft, Writing-review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

The author(s) declared that they were an editorial board member of Frontiers, at the time of submission. This had no impact on the peer review process and the final decision.

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Elucidating the role of TWIST1 in ulcerative colitis: a comprehensive bioinformatics and machine learning approach

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Background: Ulcerative colitis (UC) is a common and progressive inflammatory bowel disease primarily affecting the colon and rectum. Prolonged inflammation can lead to colitis-associated colorectal cancer (CAC). While the exact cause of UC remains unknown, this study aims to investigate the role of the TWIST1 gene in UC.

Methods: Second-generation sequencing data from adult UC patients were obtained from the Gene Expression Omnibus (GEO) database. Differentially expressed genes (DEGs) were identified, and characteristic genes were selected using machine learning and Lasso regression. The Receiver Operating Characteristic (ROC) curve assessed TWIST1's potential as a diagnostic factor (AUC score). Enriched pathways were analyzed, including Gene Ontology (GO), Kyoto Encyclopedia of Genes and Genomes (KEGG), and Gene Set Variation Analysis (GSVA). Functional mechanisms of marker genes were predicted, considering immune cell infiltration and the competing endogenous RNA (ceRNA) network.

Results: We found 530 DEGs, with 341 upregulated and 189 downregulated genes. TWIST1 emerged as one of four potential UC biomarkers via machine learning. TWIST1 expression significantly differed in two datasets, GSE193677 and GSE83687, suggesting its diagnostic potential (AUC = 0.717 in GSE193677, AUC = 0.897 in GSE83687). Enrichment analysis indicated DEGs associated with TWIST1 were involved in processes like leukocyte migration, humoral immune

Abbreviations: AUC, Area under the curve; IBD, Inflammatory bowel diseases; GEO, Gene Expression Omnibus; DEGs, Differentially expressed genes; ceRNA, Competing endogenous RNA; FDR, False discovery rate; GO, Gene ontology analysis; BP, Biological processes; CC, Cellular components; MF, Molecular functions; KEGG, Kyoto Encyclopedia of genes and Genomes; GSVA, Gene Set Variation Analysis; ROC, Receiver Operating Characteristic; IncRNA, Long non-coding RNA; NK, Natural killing; FC Fold Change; CAC, colitis-associated colorectal cancer; SVM-RFE, Support Vector Machine with Recursive Feature Elimination; LASSO, Least Absolute Shrinkage and Selection Operator; S100P, S100 Calcium Binding Protein P; GPR15, G protein-coupled receptor 15; TWIST1, Twist Family BHLH Transcription Factor 1; RND1, Rho Family GTPase 1; bHLH, basic helix-loop-helix; UC, Ulcerative colitis; L

response, and cell chemotaxis. Immune cell infiltration analysis revealed higher rates of M0 macrophages and resting NK cells in the high TWIST1 expression group, while TWIST1 expression correlated positively with M2 macrophages and resting NK cell infiltration. We constructed a ceRNA regulatory network involving 1 mRNA, 7 miRNAs, and 32 long non-coding RNAs (lncRNAs) to explore TWIST1's regulatory mechanism.

Conclusion: TWIST1 plays a significant role in UC and has potential as a diagnostic marker. This study sheds light on UC's molecular mechanisms and underscores TWIST1's importance in its progression. Further research is needed to validate these findings in diverse populations and investigate TWIST1 as a therapeutic target in UC.

KEYWORDS

ulcerative colitis (UC), Twist1, bioinformatics, machine learning, gene expression omnibus (GEO) database, differentially expressed genes (DEGs), diagnostic marker

1 Introduction

Ulcerative Colitis (UC) is a chronic form of Inflammatory Bowel Disease (IBD) primarily affecting the colon and rectum. The exact cause of UC remains elusive, although several factors, including genetics, environmental triggers, and immune responses, are believed to play pivotal roles in its onset (Kobayashi et al., 2020). While the incidence of adult UC in Asia has historically been relatively low, there has been a noticeable increase in recent years (Du and Ha, 2020). UC not only significantly impairs the quality of life for affected individuals but, in severe cases, also raises the risk of developing colitis-associated colorectal cancer (CAC) (Yashiro, 2014). Hence, the exploration of potential risk markers highly correlated with the occurrence and progression of UC is of paramount importance.

TWIST1, a basic helix-loop-helix (bHLH) transcription factor, was initially identified during embryonic development and plays a pivotal role in cellular migration, differentiation, and morphogenesis (Murre et al., 1989; Jan and January 1993; Kadesch, 1993). In oncological research, the Twist1 gene has garnered significant attention due to its cardinal role in tumor invasion and metastasis (Ren et al., 2016; Ghafouri-Fard et al., 2021). However, the implications of the Twist1 gene in UC remain largely uncharted. A study from June 2018 highlighted that the expression of TWIST1 protein was markedly elevated in tissues from both UC and CAC, and it was closely associated with tissue cellular apoptosis (Anonymous, 2023). Limitations of this study include the exclusive use of immunohistochemistry techniques to investigate gene expression levels within tissues. As a result, it did not explore the correlation between TWIST1 expression and immune factors closely associated with the occurrence and development of UC and CAC. Furthermore, it did not investigate the relationship between TWIST1 expression and the activity of UC. The search for transcriptional regulators of TWIST1 and the exploration of its regulatory targets were also omitted, although these aspects are considered indispensable.

With the advent of bioinformatics and high-throughput sequencing technologies, researchers have pinpointed several genes and pathways intrinsically linked to UC, offering fresh insights into its intricate pathophysiological mechanisms (Kakiuchi et al., 2020; Tong et al., 2021; Xu et al., 2022). Bioinformatics provides a robust analytical framework for identifying pivotal genes associated with UC and analyzing their expression significance. This study harnesses the second-generation

sequencing data of adult UC from the Gene Expression Omnibus (GEO) database to probe potential aberrations in the expression levels of the TWIST1 gene. Additionally, the burgeoning field of machine learning bestows capabilities in predictive modeling and pattern discernment, proving indispensable in the interpretation of multifaceted biological datasets. Functional enrichment analysis further facilitates a profound comprehension of the biological intricacies of genes. By juxtaposing differentially expressed genes (DEGs) with functional databases, we endeavor to unveil the biological pathways and processes in which TWIST1 partakes, thereby delving into its prospective role in UC pathogenesis. This research aspires to elucidate the nexus between the TWIST1 gene and UC, fortifying our understanding of its disease mechanisms and informing future therapeutic paradigms.

2 Materials and methods

2.1 Selection and download of the UC dataset

We retrieved matrix files from the GEO database (https://www. ncbi.nlm.nih.gov/geo/) that contained samples of normal human intestinal mucosal tissue and intestinal mucosal tissue from adult patients with UC. Our selection process followed specific criteria: (1) The data pertained to high-throughput sequencing expression profiles of Homo sapiens; (2) The samples included biopsied intestinal mucosal tissue from both healthy adults and UC patients; (3) Samples were taken from patients with active clinical disease; (4) Each dataset contained over 6 samples; (5) All the included samples had not been subjected to drug treatment; (6) The dataset provided comprehensive information about each sample. As a result, we identified two datasets for our study. The first, GSE193677 (Argmann et al., 2023), encompassed a total of 461 samples from healthy human subjects (control group) and 126 samples from patients with UC (treatment group). Furthermore, for subsequent validation, we opted for the GSE83687 (Peters et al., 2017) datasets, consisting of 60 samples from healthy human colon tissue and 32 samples from UC-affected colon tissue, as depicted in Table 1. It is worth noting that data from the GEO database is readily accessible to the public, obviating the need for local ethics committee approval.

TABLE 1 Information for selected microarray datasets.

	GEO accession	Samples		Country	Attribute	
ı		Con	UC			
	GSE193677	461	126	United States	Test set	
	GSE83687	60	32	United States	Validation set	

2.2 Correction, screening and visualization of differentially expressed genes

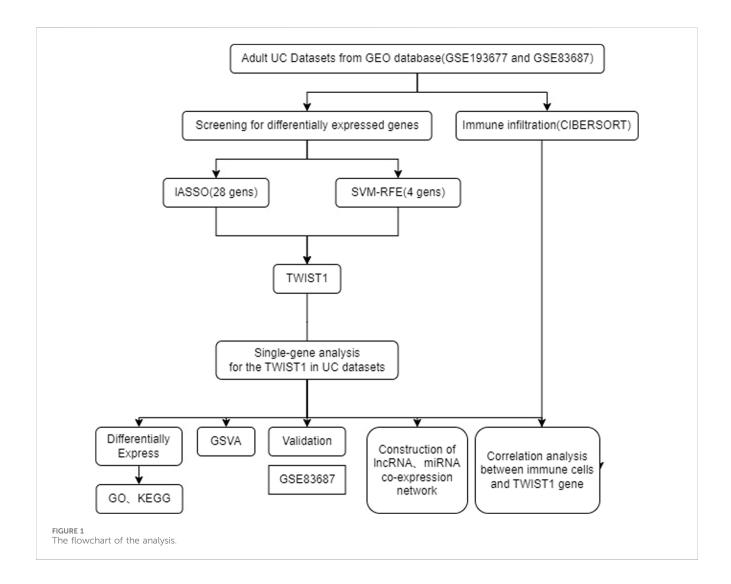
After downloading the matrix files from the GEO database, we proceeded to process and annotate them utilizing both Perl language (version 32), R language (version 4.30), and Excel. DEGs were derived by subjecting the sample data to filtration through the R limma package. Our filtration criteria encompassed |Log Fold Change (FC)| > 1, and the ensuing p-values underwent correction while controlling the false discovery rate (FDR), resulting in an adjusted p-value (Q value) < 0.05. Subsequently, the chosen DEGs were subject to visualization and analysis, and the outcome was the generation of heat maps and volcano plots.

2.3 Utilizing machine learning for the identification of disease-related genes

We proceeded to employ machine learning techniques for the additional screening of the acquired DEGs, with the objective of pinpointing genes with a high degree of association with UC. TWO distinct machine learning algorithms, namely, the Least Absolute Shrinkage and Selection Operator (LASSO) (Tibshirani, 1996) and the Support Vector Machine with Recursive Feature Elimination (SVM-RFE) (Suykens and Vandewalle, 1999), were employed to effectively sift through the pool of DEGs. Finally, R venn package was use to obtain their intersection genes. This enabled us to pinpoint potential disease biomarkers with remarkable precision.

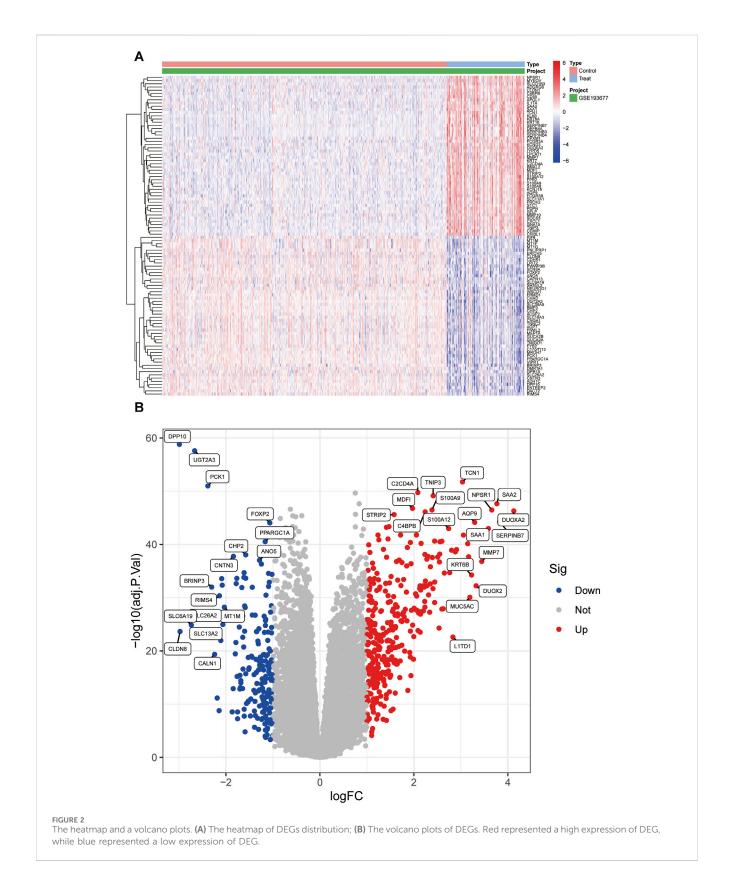
2.4 Validation of TWIST1 expression and diagnostic value

In the GSE193677 and GSE83687 datasets, *t*-test was employed to compare the expression levels of TWIST1 between the UC experimental group and the control group. Sensitivity and specificity of TWIST1 were determined through Receiver



Operating Characteristic (ROC) (Kumar and Indrayan, 2011) curve analysis using the R pROC package. These results were visually depicted using the R ggplot2 package.

Furthermore, Clinical information data for the GSE193677 dataset were obtained, and clinical disease activity was categorized as active or inactive. Kruskal–Wallis tests were



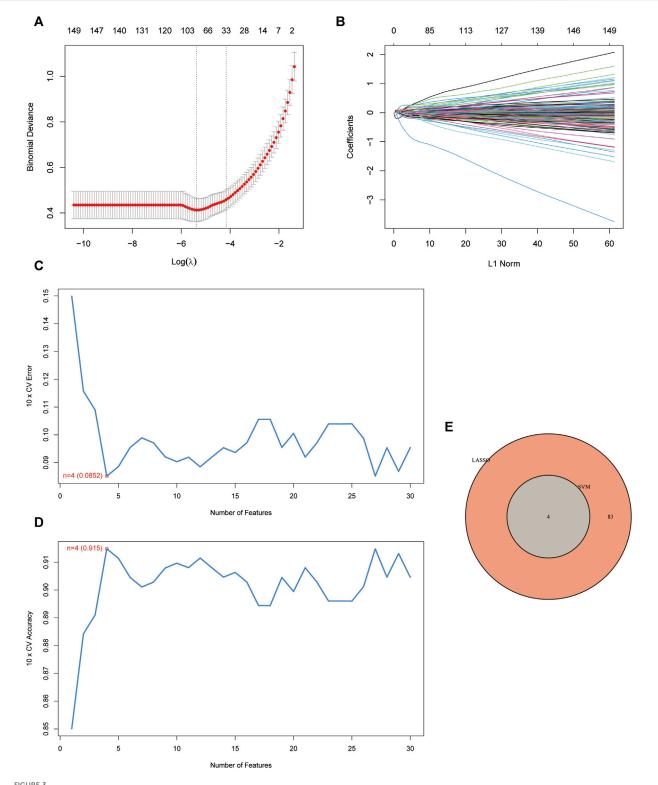


FIGURE 3
Screening of disease-related genes by machine learning. (A, B) Regression coefficient path diagram and cross-validation curves in LASSO logistic regression algorithm.; (C, D) The curve of change in the predicted true and error value of each gene in SVM-RFE algorithm.; (E) Venn diagram demonstrates the intersection of diagnostic markers obtained from the three algorithms.

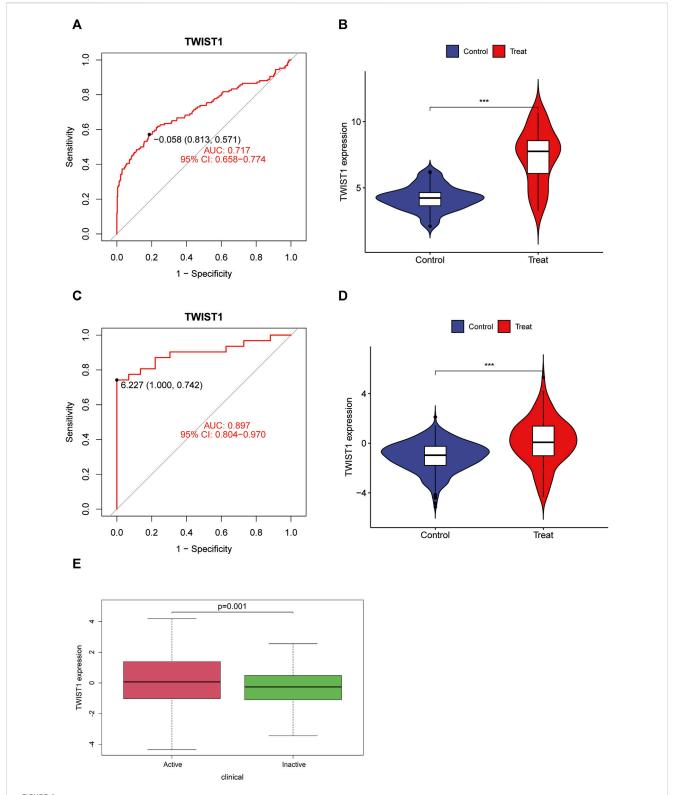
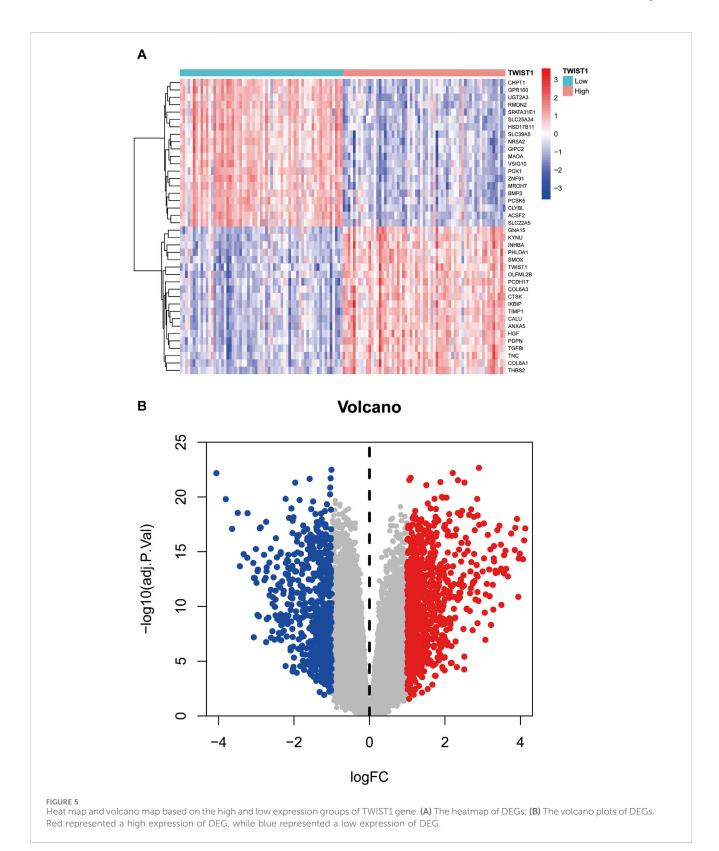


FIGURE 4
Receiver operating characteristic (ROC) curve, expression difference of TWIST1 gene and correlation between TWIST expression and disease activity in UC. (A, B) ROC curve and differential expression in GSE193677; (C, D) ROC curve and differential expression in GSE83687; (E) Boxplot of correlation between TWIST and UC clinical manifestation activity.

conducted to assess the association between clinical disease activity and TWIST1 expression levels in both the UC experimental group and the control group. Statistical significance was defined when the p-values from both tests were below 0.05.

2.5 Difference analysis based on the median value of TWIST1 gene expression

Within GSE193677, division into two distinct groups was undertaken based on the distinct levels of TWIST1 expression.



Employing identical methods and parameters outlined earlier, DEGs were filtered within these two groups, categorized as TWIST1 high-and low-expression groups. Analysis of DEGs between these groupings was executed via the R "Limma" package, and differential expression was visualized utilizing the R "ggplot2" package through the creation of volcano plots (*p*-values below 0.05 and |log2FC| exceeding 1).

2.6 Functional enrichment and gene regulatory networks analysis

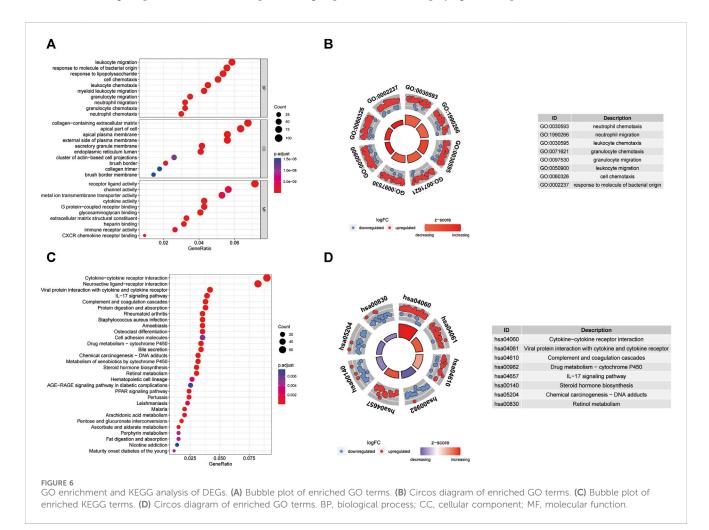
Utilizing the R "clusterProfiler" package (Yu et al., 2012), Gene Ontology (GO) (Ashburner et al., 2000) analysis was conducted between elevated and diminished TWIST1 expression levels within UC samples to elucidate the implicated biological processes (BP), molecular functions (MF), and cellular components (CC). The identification of signaling pathways linked to TWIST1-associated DEGs was accomplished through Kyoto Encyclopedia of Genes and Genomes (KEGG) (Ogata et al., 1999) pathway analysis. Utilizing the "GSVA" package in R (Hänzelmann et al., 2013), the UC dataset was transformed into a gene set expression matrix. Gene Set Variation Analysis (GSVA) was then employed to meticulously examine the variations in GO and KEGG enrichment between the TWIST1 high-expression and low-expression groups.

Notably, to achieve significant enrichment, the |t| value was mandated to exceed 5 for the Hallmark genome.

Adhering to the ceRNA hypothesis, predictions of TWIST1-bound miRNAs were derived using the TargetScan database (https://www.targetscan.org/vert_80/), miRDB database (http://www.mirdb.org/), and the Miranda database (https://cbio.mskcc.org/miRNA2003/miranda. html). Simultaneously, the spongeScan database (https://bioinformaticshome. com/index. html) was employed for the prediction of associated lncRNAs. The resultant networks were subsequently fine-tuned and visually represented using Cytoscape 3.92 software (Otasek et al., 2019).

2.7 Immune cell infiltrates and correlation between TWIST1

The quantification of 22 immune cell types within UC samples was accomplished through the utilization of the "CIBERSORT" software package (Newman et al., 2015). For a more in-depth analysis, exclusively data with a CIBERSORT value below p < 0.05 were retained. This selective process yielded a matrix detailing the fractions of immune cells present. The evaluation of immune infiltration disparity between the TWIST1 high expression and low expression groups was conducted via the Wilcoxon rank sum test. Employing the "boxplot" function within the R software



package, we visually depicted the contrast in immune cell infiltration levels between the two TWIST1 expression groups.

Moreover, we conducted Spearman correlation analysis to investigate the potential linkage between TWIST1 expression and immune cell infiltration. For visualization purposes, the R ggplot2 package was harnessed, allowing us to graphically represent these associations.

3 Results

3.1 Findings from genes exhibiting differential expression

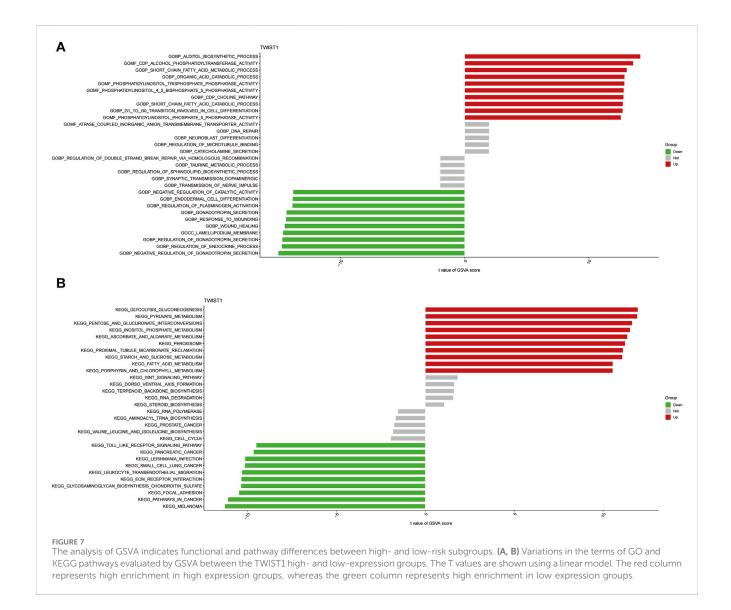
The schematic portrayal of our study's methodology is depicted (Figure 1). Inclusion comprised of 461 samples obtained from healthy human intestinal mucosal biopsies (con group) and 126 samples from patients with active colonic mucosal tissue affected by UC (treat group), all sourced from the GSE193677 datasets. A comprehensive screening yielded a tally of 530 DEGs, encompassing 341 genes exhibiting

upregulation and 189 genes manifesting downregulation, as highlighted (Figures 2A, B).

3.2 Machine learning to screen potential biomarkers and its diagnostic value

The LASSO logistic regression method pinpointed 87 genes as potential UC biomarkers (Figures 3A, B). Subsequently, we selected features and identified 4 optimal UC candidate genes through SVM-RFE (Figures 3C, D). The overlap between the two algorithms yielded a set of 4 genes: S100 Calcium Binding Protein P (S100P), The G protein-coupled receptor 15 (GPR15), Twist Family BHLH Transcription Factor 1 (TWIST1), and Rho Family GTPase 1 (RND1) (Figure 3E).

TWIST1 displayed significant expression differences in both GSE193677 and GSE83687 (Figures 4A, B). ROC curves were generated using data from GSE193677 and GSE83687, revealing TWIST1's AUC to be 0.717 (95% confidence interval: 0.658–0.774) and 0.897 (95% confidence interval: 0.804–0.970) in GSE193677 and GSE83687, respectively (Figures 4C, D). In the GSE193677 dataset, a



significant correlation was observed between high expression levels of TWIST1 and active clinical manifestations of UC (Figure 4E).

3.3 Identification of DEGs and enrichment analysis

Within the UC sample of the GSE193677 dataset, a total of 1,518 DEGs were observed between the TWIST1 high expression and TWIST1 low expression groups, comprising 837 upregulated and 681 downregulated DEGs (Figure 5A, B).

The GO enrichment analysis demonstrated that DEGs associated with BP were predominantly linked to activities such as leukocyte migration, humoral immune response, response to molecules of bacterial origin, response to lipopolysaccharide, and cell chemotaxis. For MF, DEGs were primarily engaged in receptor-ligand activity, channel activity, metal ion transmembrane transporter activity, and cytokine activity. Concerning CC, the distribution of DEGs was prominently observed in the collagen-containing extracellular matrix, apical part of the cell, and apical plasma membrane (Figures 6A, B). The KEGG pathway enrichment analysis unveiled the enrichment of DEGs in pathways including Cytokine-cytokine receptor interaction, Viral protein interaction with cytokine and cytokine receptor, as well as Complement and IL-17 signaling (Figures 6C, D).

GSVA was conducted to further explore the terms of GO and KEGG pathways between the TWIST1 high- and low-expression groups. Top 20 upregulated terms of GO and KEGG pathways were shown (Figures 7A, B). The results of the most significant enrichment of the two groups were shown in Table 2.

3.4 CeRNA network construction of TWIST1 gene

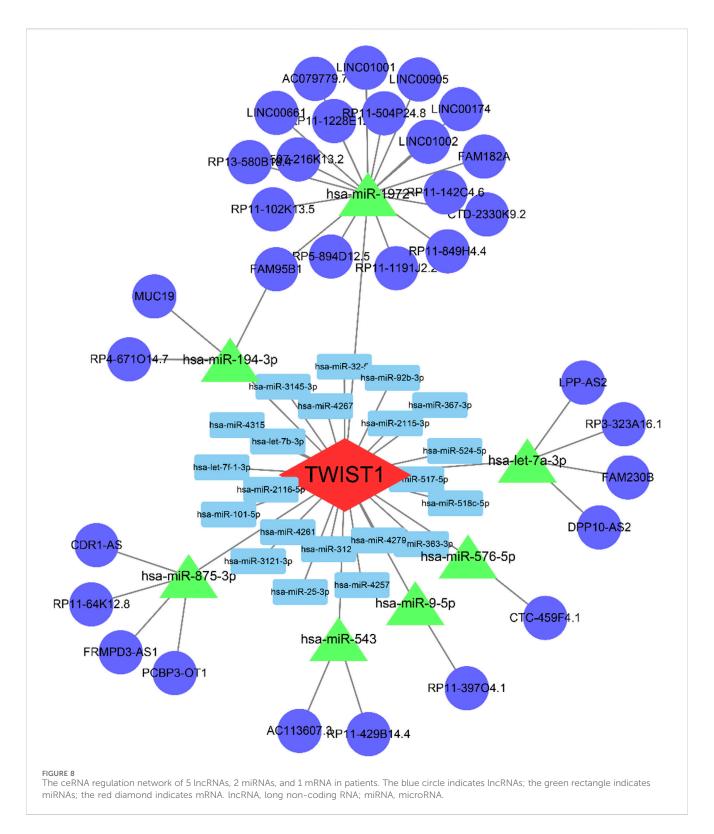
The Supplementary Table S1 showcased the outcomes of mRNA-miRNA and lncRNA-miRNA analyses. We recognized 11 lncRNAs and 8 miRNAs, establishing their interactions through predictions and validations across databases like starBase, miRcode, Miranda, and TargetScan. The intricate interactions were graphically depicted using Cytoscape (Figure 8).

3.5 Infiltration of immune cells results

The infiltrated immune cells in different samples were analyzed using CIBERSORT and the overall relative abundances of 22 types of immune cells were shown (Figure 9A). The analysis results of infiltration degree of 22 immune cell showed that the infiltration

TABLE 2 The results of Gene Set Variation Analysis.

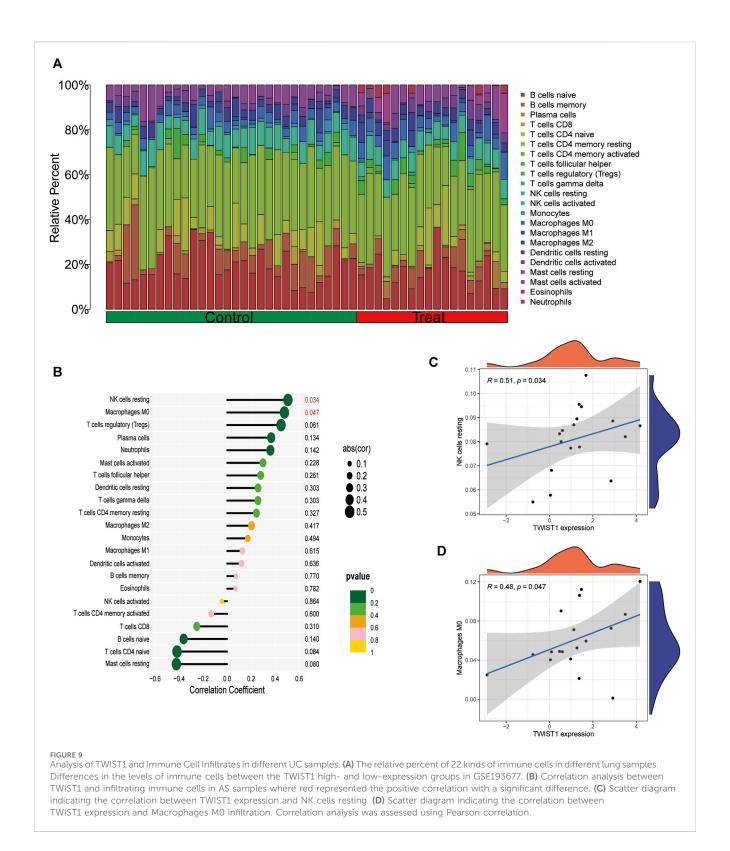
KEGG term	t	P-value	Sig
KEGG_MELANOMA	-11.2803	<0.001	Down
KEGG_PATHWAYS_IN_CANCER	-11.1048	<0.001	Down
KEGG_FOCAL_ADHESION	-10.4825	<0.001	Down
KEGG_GLYCOSAMINOGLYCAN_BIOSYNTHESIS_CHONDROITIN_SULFATE	-10.3610	<0.001	Down
KEGG_ECM_RECEPTOR_INTERACTION	-10.3503	<0.001	Down
KEGG_PORPHYRIN_AND_CHLOROPHYLL_METABOLISM	10.5423	<0.001	Up
KEGG_FATTY_ACID_METABOLISM	10.5470	<0.001	Up
KEGG_STARCH_AND_SUCROSE_METABOLISM	11.0846	<0.001	Up
KEGG_PROXIMAL_TUBULE_BICARBONATE_RECLAMATION	11.1238	<0.001	Up
KEGG_PEROXISOME	11.2410	<0.001	Up
GO term	t	P-value	Sig
GO term GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION	t -15.0196	P-value <0.001	Sig Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION	-15.0196	<0.001	Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS	-15.0196 -14.7294	<0.001 <0.001	Down Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS GOBP_REGULATION_OF_GONADOTROPIN_SECRETION	-15.0196 -14.7294 -14.6998	<0.001 <0.001 <0.001	Down Down Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS GOBP_REGULATION_OF_GONADOTROPIN_SECRETION GOCC_LAMELLIPODIUM_MEMBRANE	-15.0196 -14.7294 -14.6998 -14.6394	<0.001 <0.001 <0.001 <0.001	Down Down Down Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS GOBP_REGULATION_OF_GONADOTROPIN_SECRETION GOCC_LAMELLIPODIUM_MEMBRANE GOBP_WOUND_HEALING	-15.0196 -14.7294 -14.6998 -14.6394 -14.5080	<0.001 <0.001 <0.001 <0.001 <0.001	Down Down Down Down Down
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS GOBP_REGULATION_OF_GONADOTROPIN_SECRETION GOCC_LAMELLIPODIUM_MEMBRANE GOBP_WOUND_HEALING GOMF_PHOSPHATIDYLINOSITOL_PHOSPHATE_5_PHOSPHATASE_ACTIVITY	-15.0196 -14.7294 -14.6998 -14.6394 -14.5080 12.5935	<0.001 <0.001 <0.001 <0.001 <0.001	Down Down Down Down Up
GOBP_NEGATIVE_REGULATION_OF_GONADOTROPIN_SECRETION GOBP_REGULATION_OF_ENDOCRINE_PROCESS GOBP_REGULATION_OF_GONADOTROPIN_SECRETION GOCC_LAMELLIPODIUM_MEMBRANE GOBP_WOUND_HEALING GOMF_PHOSPHATIDYLINOSITOL_PHOSPHATE_5_PHOSPHATASE_ACTIVITY GOBP_G1_TO_G0_TRANSITION_INVOLVED_IN_CELL_DIFFERENTIATION	-15.0196 -14.7294 -14.6998 -14.6394 -14.5080 12.5935 12.7338	<0.001 <0.001 <0.001 <0.001 <0.001 <0.001 <0.001	Down Down Down Down Up Up



of NK cells resting and Macrophages M0 was significantly different between TWIST1 high expression group and TWIST1 low expression group (Figure 9B). Further validation of the correlation study indicated that the expression level of S100A8 was correlated positively with NK cells resting and Macrophages M0 infiltration (r = 0.51, r = 0.48, all p < 0.05) (Figures 9C, D).

4 Discussion

UC, as one of the primary forms of IBD, is a chronic inflammatory intestinal disorder characterized by ulcers and inflammation within the intestinal tract (Kobayashi et al., 2020). The rise in Western dietary habits, improved socioeconomic status, enhanced sanitation, and advancements in vaccination have



contributed to an increased incidence and prevalence of IBD in Asian countries (Park and Cheon, 2021). This surge is concomitant with a heightened occurrence of CAC. UC presents a significant clinical challenge, with its etiology and pathogenic mechanisms remaining largely elusive (De Souza and Fiocchi, 2016). This study aims to elucidate the potential role of the TWIST1 gene in UC through bioinformatics, machine learning, and functional

enrichment analyses. Our findings offer invaluable insights into the molecular mechanisms of UC and underscore the potential of TWIST1 as a diagnostic and therapeutic target.

The Twist1 gene encodes a transcription factor encompassing a bHLH structural domain and is part of a protein family involved in organogenesis regulation (Thisse et al., 1988; Jan and January 1993; Kadesch, 1993). Recently, Twist1 has been established to play pivotal

roles not only in the development of various organs and systems but also in cancer metastasis (Yang et al., 2004; Kwok et al., 2005; Puisieux et al., 2006; Cheng et al., 2008a; Cheng et al., 2008b; Li et al., 2009; Fu et al., 2011). Studies have indicated a pronounced elevation of TWIST1 protein in tissues from UC and UC-associated colorectal cancer, with the expression intensity being greater in the latter (Anonymous, 2023). Emerging perspectives suggest that histological inflammation and its severity are among the strongest drivers of CAC risk (Shah and Itzkowitz, 2022). The bHLH transcriptional repressor - TWIST1, acting as an antagonist for NF-κB-dependent cytokine expression, partakes in the modulation of inflammationinduced immunopathology (Niesner et al., 2008; Li et al., 2009). Additionally, Twist1 may also regulate Hand proteins (Hand 1 and 2) (Firulli and Conway, 2008) and Runx2 (Rice et al., 2000; Bialek et al., 2004). These downstream targets or interacting proteins of Twist1 are known to be involved in the development of various mesenchymal derivatives and multiple physiological functions.

Existing research has demonstrated the diagnostic value of elevated TWIST1 expression in UC through immunohistochemical techniques (Anonymous, 2023). Similarly, upon acquiring high-throughput sequencing data for UC, we categorized UC samples into high and low TWIST1 expression groups. Through machine learning, lasso regression, and ROC curve analysis, we validated the diagnostic significance of elevated TWIST1 expression in UC. It is widely recognized that immune homeostasis relies on immune cells and molecules, such as innate immune cells like NK cells and macrophages M0. In the UC mucosa, metabolic abnormalities in NK cells lead to secondary infections and increased cancer risk (Zaiatz Bittencourt et al., 2021), while macrophages M0 play a role in promoting mucosal immunity and inflammatory responses in UC (Peng et al., 2023). In our study, the upregulated expression of TWIST1 in UC also increased their impact on pro-immune and pro-inflammatory cells, providing immunological support for the role of TWIST1 in the progression of UC. We also conducted GO, KEGG, and GSVA analyses on the high and low TWIST1 expression groups to explore the pathways related to TWIST1 promoting UC development.

Upon identifying TWIST1 as a biomarker, we further predicted its associated miRNA and lncRNA using databases. Notably, a study in 2022 postulated a close association between miR-9-5p and the expression of NF-κB in UC tissues (Xu et al., 2022). NF-κB plays a pivotal role in regulating immune cells and cytokines (Mantovani et al., 2004; Wang et al., 2014), and animal studies have indicated that genetic defects in the negative regulators of the canonical NF-κB pathway heighten susceptibility to colonic inflammation (Zhang et al., 2006; Vereecke et al., 2014). This regulatory axis is crucial in the onset and progression of UC. Unfortunately, other regulatory axes identified in our study have been scarcely researched in the context of UC, warranting further exploration by the scientific community.

In this study, TWIST1 is highly correlated with UC, and previous research has also indicated a strong association between TWIST1 and UC-associated colorectal cancer (Kaz et al., 2010). Given that UC serves as a precancerous lesion for UC-associated colorectal cancer (Bopanna et al., 2017), our research provides valuable clues for investigating TWIST1 as a potential risk marker in the onset, development, and transformation of UC into UC-associated colorectal cancer. This offers research directions for the future prediction and treatment of UC and UC-associated colorectal cancer. However, it is important to

acknowledge certain limitations in our study. Firstly, all the data analyzed through bioinformatics methods were directly obtained from US public databases, which may not fully represent the clinical scenarios in Asian populations. Secondly, the absence of sequencing data for CAC prevented us from concurrently evaluating the diagnostic significance of TWIST1 in both UC and CAC.

Data availability statement

The data presented in this study are deposited in the GitHub repository, accessible at: https://github.com/xia-wanqiu/single_gene_analysis_for_UC.git. The sample data, GSE193677 and GSE83687, presented in this study are sourced from public databases.

Ethics statement

Ethical approval was not required for the studies involving humans because the data from the GEO database are readily accessible to the public. Written informed consent to participate in this study was not required from the participants or the participants' legal guardians/next of kin in accordance with the national legislation and the institutional requirements.

Author contributions

WO: Conceptualization, Methodology, Software, Validation, Visualization, Writing-original draft. ZQ: Formal Analysis, Writing-original draft. NL: Data curation, Investigation, Writing-review and editing. JZ: Data curation, Investigation, Writing-review and editing. XM: Conceptualization, Data curation, Methodology, Project administration, Writing-review and editing. YS: Investigation, Resources, Writing-review and editing. YF: Conceptualization, Data curation, Supervision, Writing-review and editing. BC: Conceptualization, Data curation, Supervision, Writing-review and editing. JH: Data curation, Funding acquisition, Investigation, Methodology, Project administration, Supervision, Visualization, Writing-review and editing. ZY: Conceptualization, Data curation, Funding acquisition, Supervision, Writing-review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2024.1296570/full#supplementary-material

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Methylation-driven mechanisms of allergic rhinitis during pollen and non-pollen seasons using integrated bioinformatics analysis

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Background: Allergic rhinitis (AR) is a widespread allergic airway disease that results from a complex interplay between genetic and environmental factors and affects approximately 10%–40% of the global population. Pollen is a common allergen, and exposure to pollen can cause epigenetic changes. However, the mechanism underlying pollen-induced DNA methylation changes and their potential effects on the allergic march are still unclear. The purpose of this study was to explore the methylation-driven mechanisms of AR during the pollen and non-pollen seasons using bioinformatics analysis and to investigate their relationship with asthma.

Methods: We downloaded DNA methylation and gene expression data from the GEO database (GSE50387: GSE50222, GSE50101) and identified differentially methylated positions (DMPs) and differentially expressed genes (DEGs) during the pollen and non-pollen seasons using the CHAMP and limma packages. Through correlation analysis, we identified methylation-driven genes and performed pathway enrichment analysis to annotate their functions. We incorporated external data on AR combined with asthma (GSE101720) for analysis to identify key CpGs that promote the transformation of AR to asthma. We also utilized external data on olive pollen allergy (GSE54522) for analysis to validate the methylation-driven genes. Weighted correlation network analysis (WGCNA) was employed to identify gene modules significantly correlated with pollen allergy. We extracted genes related to the key methylation-driven gene *ZNF667-AS1* from the significant module and performed pathway intelligent clustering using KOBAS-i. We also utilized gene set enrichment analysis to explore the potential function of *ZNF667-AS1*.

Results: We identified 20 and 24 CpG-Gene pairings during the pollen and non-pollen seasons. After incorporating external data from GSE101720, we found that *ZNF667-AS1* is a key gene that may facilitate the transformation of AR into asthma during the pollen season. This finding was further validated in another external dataset, GSE54522, which is associated with pollen allergy. WGCNA identified 17 modules, among which the blue module showed significant correlation with allergies. *ZNF667-AS1* was located in the blue module. We performed pathway analysis on the genes correlated with *ZNF667-AS1* extracted from the blue module and identified a prominent cluster of pathways in the KOBAS-i results, including Toll-like receptor (*TLR*) family, *MyD88*, *MAPK*, and oxidative stress.

Gene set enrichment analysis around *cg05508084* (paired with *ZNF667-AS1*) also indicated its potential involvement in initiating and modulating allergic inflammation from the perspective of *TLR* and *MAPK* signaling.

Conclusion: We identified methylation-driven genes and their related pathways during the pollen and non-pollen seasons in patients with AR and identified key CpGs that promote the transformation of AR into asthma due to pollen exposure. This study provides new insights into the underlying molecular mechanisms of the transformation of AR to asthma.

KEYWORDS

allergic rhinitis, asthma, pollen season, gene expression profile, DNA methylation profile, bioinformatics

Introduction

Allergic diseases, including allergic rhinitis (AR), asthma, and atopic dermatitis, represent significant health concerns in global populations (Paller et al., 2019; Miller et al., 2021; Ständer, 2021; Zhang et al., 2021). There is a close association between allergic diseases, and the development of AR may be related to the manifestation of systemic allergy, including asthma (Bousquet et al., 2020b; Dharmage et al., 2022). Thirty percent of individuals with rhinitis also have asthma, and over eighty percent of individuals with asthma have symptoms of rhinitis (Nappi et al., 2022).

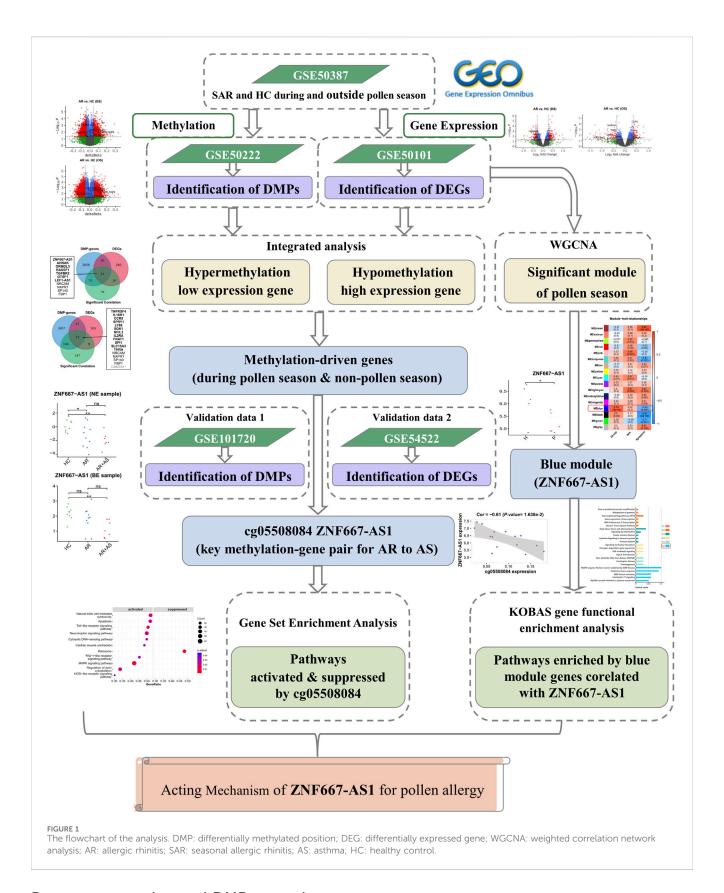
Pollen is a widespread aeroallergen that can induce allergic disease (Taylor et al., 2007; Schutzmeier et al., 2022). For now, it is recognized that more than 150 pollen allergens originating from grasses, trees, and weeds contribute significantly to an allergic response (Xie et al., 2019). Worldwide, the sensitization rate to pollen allergens is approximately 40% (Pointner et al., 2020). According to the International Study of Asthma and Allergies in Childhood (ISAAC), the prevalence of pollen sensitization in children increases by approximately 0.3% per year (Suanno et al., 2021). Approximately 20% of the US population suffers from pollen allergies, while about 20% of the population in Europe is affected by grass pollen allergies (García-Mozo, 2017). About 18.5% of the population in the northern grasslands of China is affected by pollen-induced AR (Wang et al., 2018). The incidence of pollen allergies exhibits geographic variation, influenced by bioclimatic conditions and the distribution of allergenic plants. Several studies have demonstrated that the exposure to pollen can induce DNA methylation (one of the epigenetic control of gene expression) for AR patients (North et al., 2018; Watanabe et al., 2021; Yang et al., 2022). The underlying reason is that environmental exposures can influence DNA methylation, which mediates the interaction between the environment and genotype to impact clinical phenotype (Law and Holland, 2019). Concerning the relationship between methylation and AR, epigenome-wide association studies (EWAS) have found specific CpG sites in AR patients (Qi et al., 2020). DNA methylation may help distinguish allergic patients from healthy individuals (Choi et al., 2021). According to the sensitization on cyclic pollens or year-round allergens, AR can be classified as seasonal or perennial (Greiner et al., 2011). For seasonal allergic rhinitis (SAR) patients, a previous study demonstrated that DNA methylation profiles instead of gene expression profiles can clearly and robustly distinguish them from healthy controls, during the pollen and non-pollen seasons (Nestor et al., 2014). However, how pollen-induced DNA methylation affects SAR patients by changing gene expression has not been fully elucidated.

To investigate the role of DNA methylation in the regulation of gene expression in SAR, we downloaded publicly available data and performed a correlation analysis of significant differentially methylated positions (DMPs) with critical differentially expressed genes (DEGs). Our bioinformatics analysis aimed to identify potential epigenetic mechanisms that contribute to the development and progression of SAR. To support our findings and investigate the role pollen plays in the evolution of SAR to asthma, we incorporated external data on the co-morbidity of AR and asthma and compared it with the analysis results of SAR during the pollen and non-pollen seasons. The flowchart of the analysis is shown in Figure 1.

Materials and methods

DNA methylation and gene expression data resources

The microarray datasets GSE50222 (Last update date: 22 Mar 2019) and GSE50101 (Last update date: 13 Aug 2018) were downloaded from the GEO database (http://www.ncbi.nlm.nih. gov/geo/) (Edgar et al., 2002; Barrett et al., 2013). They were derived from a super series GSE50387 (Nestor et al., 2014) that contains methylation chip and gene chip data of CD4+ T cells from the same group of AR patients who were recruited from Sweden people in Europe. Positive skin prick test results and/or positive ImmunoCAP Rapid results to birch and/or grass pollen were the two main indicators of SAR, which were both negative in health controls. Patients with perennial symptoms or asthma were not included. GSE50222 is a methylation dataset that contains 32 samples, which are divided into four groups: AR of the pollen season (n = 8), AR of the non-pollen season (n = 8), healthy controls (HCs) of the pollen season (n = 8), and HCs of the non-pollen season (n = 8). The chip platform used was GPL13534 (Illumina HumanMethylation450 BeadChip). GSE50101 is expression dataset containing 38 samples, which are divided into four groups: AR of the pollen season (n = 9), AR of the non-pollen season (n = 9), HCs of the pollen season (n = 10), and HCs of the non-pollen season (n = 10). The chip platform used was GPL10558 (Illumina HumanHT-12 V4.0 Expression Beadchip).



Data preprocessing and DMP screening

R (version 4.2.3) (R Core Team, 2023) was used for data preprocessing. First, we determined the missing rates for both the

probes and the samples, and we kept only the probes and samples with missing rates under 10%. We eliminated 'bad' probes like cross-reactive/non-specific probes and BOWTIE2 multi-mapped probes (Chen et al., 2013; Pidsley et al., 2016). Afterward, the beta matrix was calculated

using the following equation: $\beta = M/(M + U + 100)$. For our samples, we extracted the grouping information using the GEOquery package (Davis and Meltzer, 2007). To further filter out the probes, we used the ChAMP package (version 2.24.0) (Morris et al., 2014; Tian et al., 2017) and its champ.filter function (Wang et al., 2023).

We conducted a differentially methylated positions (DMP) analysis between the AR group and the HC group, both during the pollen and non-pollen seasons. Taking into account the effect of Type I and Type II probes, we first conducted quality control using champ.QC function and then applied the champ.norm function for standardization. Additionally, we utilized champ.SVD function to look at the principal components and champ.DMP to find DMPs.

Firstly, we conducted quality control using champ.QC function and then applied champ.norm function for standardization to correct for the effects of Type-I and Type-II probes. We also used champ.SVD function to examine principal components and identified DMPs using champ.DMP function. The following criteria were set to further screen for methylation-driven sites (Guo et al., 2020):

- (1) $abs(\Delta\beta) > mean(abs(\Delta\beta)) + 2*sd(abs(\Delta\beta))$
- (2) p-value < 0.05
- (3) The location belongs to the promoter regions (1stExon, 5'UTR, TSS1500, TSS200).

Data preprocessing and DEG screening

We conducted a DEG analysis between the AR group and the HC group, both during the pollen and non-pollen seasons.

First, we determined the missing rate for both the samples and the probes, keeping only the probes with a missing rate of less than 10%. According to detection p-values, we performed background correction on the samples using the nec function in the limma package (version 3.50.3) (Ritchie et al., 2015). The second step involved probe filtration. The raw gene expression matrix was split by group. Significant probes were defined as those with p-value < 0.05 in at least 50% of the samples. For non-significant probes, their expression values were replaced with the average expression value of these probes across all samples within each group. Third, we used limma package to do DEG analysis with filtering criteria set to $abs(log_2FC) > mean(abs(log_2FC)) + 2*sd(abs(log_2FC))$ and p-value < 0.05. Finally, the probes were annotated and the duplicate genes were removed.

We create a Venn diagram with the R package VennDiagram (v1.73) (Chen, 2018) to depict the link between the upregulated and downregulated DEGs discovered during the pollen and non-pollen seasons. Then, we selected the unique parts of these four groups of genes and conducted gene function enrichment analysis for each group with Kyoto Encyclopedia of Genes and Genomes database (KEGG) (Kanehisa and Goto, 2000; Kanehisa et al., 2021) using clusterProfiler package (version 4.7.1.003) (Yu et al., 2012; Wu et al., 2021) and org.Hs.eg.db package (version 3.16.0) (Carlson, 2021).

Integrated analysis of DNA methylation and gene expression data

We matched the samples between methylation and gene chips according to the patient ID, and obtained the correspondence

between CpG sites and genes through annotation information. Then we calculated the Spearman correlation coefficient between the methylation β values at CpG sites and the gene expression values. CpG-Gene pairs were filtered with p-value < 0.05 and Cor < -0.4. Only the DMP-DEG pairs were retained. Finally, we compared the results of DMP related genes, DEGs, and the genes with significant correlation coefficients using a Venn diagram both in the pollen season and the non-pollen season.

We conducted gene function enrichment analysis on the methylation-driven genes obtained during the pollen and non-pollen seasons based on the hypergeometric distribution. The pathway databases used included KEGG database (Kanehisa and Goto, 2000; Kanehisa et al., 2021), Reactome database (Gillespie et al., 2022), and Gene Ontology (GO) database (Ashburner et al., 2000; Gene Ontology Consortium, 2021) limited to biological processes (BP). Enrichment analysis was performed using the enrichKEGG function (Yu et al., 2012; Wu et al., 2021), enrichPathway function (Yu and He, 2016), and enrichGO function (Yu et al., 2012; Wu et al., 2021) for KEGG, Reactome, and GO, respectively. Finally, the results were compared and visualized.

Comparison of methylation-driven genes with data on allergic comorbidity

The allergic comorbidity sequencing dataset GSE101720 (Last update date: 17 Dec 2020) was also downloaded from the GEO database. It includes samples from people who have AR and asthma, people who merely have AR and healthy people (Giovannini-Chami et al., 2018). The dataset contains nasal epithelial samples (n = 26, AR with asthma = 7, AR = 10, HC = 9) and bronchial epithelial samples (n = 26, AR with asthma = 7, AR = 10, HC = 9) that were sequenced on the Illumina NextSeq 500 platform (GPL18573).

With samples from the nasal epithelium and bronchial epithelium, we used the limma package to identify DEGs between the AR with asthma group and the HC group, as well as between the AR group and the HC group. The filtering criterion (Luo et al., 2019) for DEGs was set as p-value < 0.05 and abs(log₂FC) > (mean(abs(log₂FC))) + 2*sd(abs(log₂FC))).

We retrieved the methylation-driven genes from the previous section and compared them to the DEGs from this part.

Comparison of methylation-driven genes with data on olive pollen allergy

The data on olive pollen allergy, GSE54522 (Last update date: 25 Mar 2019), was downloaded from the GEO database. This dataset includes peripheral blood mononuclear cells (PBMCs) from 6 olive pollen-allergic patients and 6 HC subjects, which were stimulated with olive pollen for 24 h (Calzada et al., 2015). The olive pollen-allergic patients met the following criteria: seasonal rhinitis and/or asthma from April to June, a positive skin prick test result for O. europaea pollen extract, and no previous immunotherapy. From this dataset, we selected samples stimulated with pollen extract during the pollen season (n = 6) and compared them to the stimulated samples from the HC group (n = 5). The filtering criterion

(Luo et al., 2019) for identifying DEGs was set as a p-value < 0.05 and abs(log₂FC) > mean(abs(log₂FC)) + 2*sd(abs(log₂FC)). We then compared the methylation-driven genes with the DEGs identified in this analysis.

Weighted correlation network analysis for GSE50101

We utilized Weighted Correlation Network Analysis (WGCNA) (Langfelder and Horvath, 2008) to analyze the gene expression data from GSE50101, aiming to explore the gene expression patterns in samples during the pollen season. To ensure data heterogeneity and analysis accuracy, the following gene filtering steps were applied: a) Genes were tested using the goodGenes function; b) Genes with an upper 25% median absolute deviation were selected (Wei et al., 2020). For sample selection in WGCNA, the following steps were performed: a) Samples were tested using the goodSamples function; b) A sample clustering plot was generated using the hclust function, and outlier samples were removed. The prepared gene expression matrix was used to calculate the Soft Threshold (with R^2 cutoff set to the default value of 0.85). Considering the involvement of numerous genes in allergies, the minModuleSize was set to 100 to construct a weighted gene co-expression network. For the obtained gene modules, module eigengenes were calculated and used to assess the correlation with traits (grouping, gender, symptom scores). Key modules were selected based on a correlation threshold of Cor > 0.4 and a p-value < 0.05. Within the key module, the correlation between all genes and ZNF667-AS1 expression was calculated, and genes with a correlation of Cor > 0.4 and a p-value < 0.05 were selected. Pathway analysis was performed using KOBAS-i (Bu et al., 2021), with pathway databases including KEGG, Reactome, BioCyc, and PANTHER. Pathways with a Corrected p-value < 0.05 were further subjected to intelligent clustering and visualization.

Gene set enrichment analysis for cg05508084

ZNF667-AS1 was identified as a key methylation-driven gene during the pollen season, and it is regulated by cg05508084. To explore the potential function of ZNF667-AS1, we calculate the association between cg05508084 and the levels of gene expression for the pollen season. Following the results of the correlation analysis, single-gene Gene Set Enrichment Analysis (GSEA) was conducted with the databases KEGG and Reactome from MSigDB (Subramanian et al., 2005) using the msigdbr package (version 7.5.1) (Dolgalev, 2022). Visualization was done of the pathways that cg05508084 either activated or inhibited.

Results

Identification of DMPs during the pollen and non-pollen seasons

The general workflow for analyzing Illumina HumanMethylation450 BeadChip data includes quality control,

normalization, differential expression analysis, and annotation. Our quality control consists of three steps: a) filtering based on probe and sample missing rate, removing probes and samples with missing rates exceeding 10%; b) filtering based on unique probe annotation, removing 'bad' probes with non-unique annotations; c) filtering based on Methylated matrix, UnMethylated matrix, and Detected p-value. The study began by filtering out methylation probes that had a missing rate of over 10%. This resulted in 4,49,506 probes remaining out of the initial 4,85,577. Next, nonspecific probes were filtered out, leaving 4,13,172 methylation probes. The probes were further filtered using champ.filter, with 3,89,332 remaining in the end. The beta matrix was standardized between type I and type II probes using champ.norm. DMP analysis was performed using champ.DMP, resulting in the identification of 11,275 DMPs during pollen season and 16,975 DMPs during the non-pollen season. In champ.DMP results, DMPs are annotated with genomic locations and corresponding genes. Of these, 2,987 DMPs of the pollen season and 4,458 DMPs of the nonpollen season were located in the promoter region. Volcano plots for DMPs are displayed in Figures 2A, B, while Figures 2C, D compare the distribution of DMPs of the pollen and the non-pollen seasons. The principal component analysis (PCA) plot and heatmap of the methylation data (GSE50222) shown Supplementary Figure S1.

Identification of DEGs during the pollen and non-pollen seasons

The analysis began by filtering out gene probes with a missing rate of over 10%, which resulted in 47,314 probes remaining out of the initial 47,323. In the AR group, 18,327 and 17,848 significant probes were retained during the pollen and non-pollen seasons. In the HC group, 18,591 and 18,371 significant probes were retained during the pollen and non-pollen seasons. Using log₂FC and p-value criteria, a total of 293 DEGs were selected for the pollen season, out of which 160 were upregulated, and 133 were downregulated in AR. Similarly, 212 DEGs were selected for the non-pollen season, among which 95 were upregulated, and 117 were downregulated in AR. The volcano plots are shown in Figure 3A, B. The comparison of upregulated and downregulated DEGs during the pollen and non-pollen seasons can be seen in Figure 3C, D, the KEGG pathways enriched by the distinct genes in each of the four gene sets are contrasted. The PCA plot and heatmap of the gene expression data (GSE50101) are shown in Supplementary Figure S2.

Results of integrated analysis of DNA methylation and gene expression data

We identified 15 relevant pairs of samples by matching the sample IDs from the methylation (GSE50222) and gene expression data (GSE50101) during the pollen and non-pollen seasons. Subsequently, we determined the correlation coefficient between CpG sites and genes using consistent samples. A total of 262 and 470 CpG-Gene pairings were found during the pollen and non-pollen

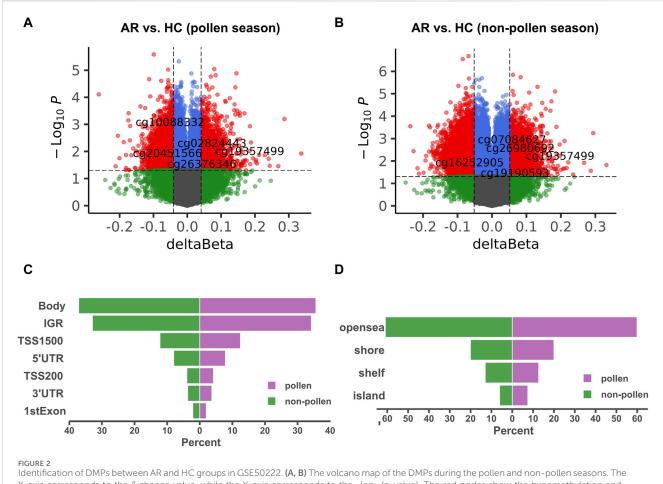


FIGURE 2 identification of DMPs between AR and HC groups in GSE50222. (**A, B**) The volcano map of the DMPs during the pollen and non-pollen seasons. The X-axis corresponds to the β change value, while the Y-axis corresponds to the $-\log_{10}(p\text{-value})$. The red nodes show the hypomethylation and hypermethylation DMPs. (**C, D**) The distribution of the DMPs during the pollen and non-pollen seasons. The comparison was performed on exact promoter region and CpG island separately. DMP: differentially methylated position; AR: allergic rhinitis; HC: healthy control.

seasons when the filtering criterion was set as p-value < 0.05 and Cor < -0.4. By limiting CpG sites to DMPs and genes to DEGs, we identified 20 and 24 methylation-driven gene pairings during the pollen and non-pollen seasons. These CpG-Gene pairs include two types: hypermethylation low expression genes and hypomethylation high expression genes, as it is generally believed that methylation regulates transcription in a negative manner (Supplementary Tables S1, S2). The analysis revealed 11 unique genes associated with the pollen season and 16 unique genes associated with the non-pollen season, with four genes intersecting between them. The results of methylation-driven genes are shown in Figure 4A, B. The correlations of the four CpG-Gene pairs are shown in Figure 4C-F as examples. The expression level of these genes can be found in Supplementary Tables S3, S4.

Results of gene functional enrichment analysis

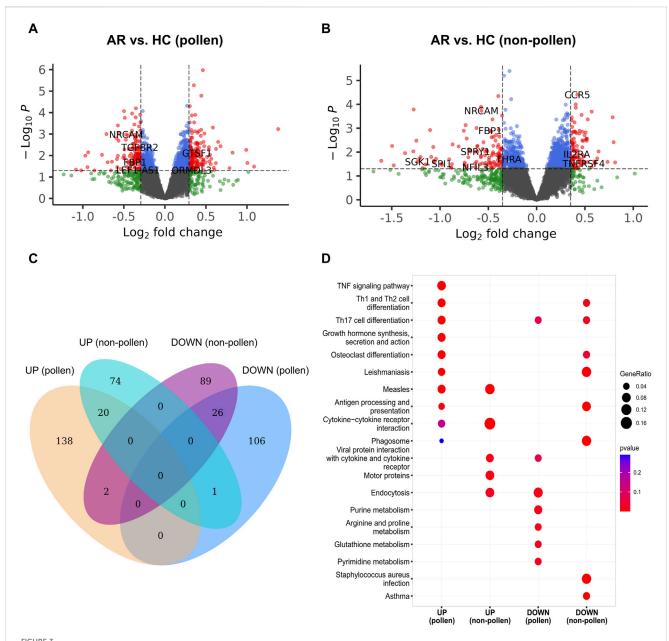
Supplementary Figure S3A–C depict the results of the gene function enrichment analysis of methylation-driven genes during the pollen season. Detailed information can be obtained in Supplementary Tables S5–S7. They were primarily enriched in

the *Hippo* signaling pathway (hsa04390), Neutrophil degranulation (R-HSA-6798695), cell growth (GO:0016049), etc.

Supplementary Figure S3D-F show the results of the gene function enrichment analysis performed on methylation-driven genes during the non-pollen season. Detailed information can be obtained in Supplementary Tables S8–S10. They were primarily enriched in Cytokine-cytokine receptor interaction (hsa04060), Viral protein interaction with cytokine and cytokine receptor (hsa04061), Signaling by Interleukin (R-HSA-449147), cytokine-mediated signaling pathway (GO:0019221), etc.

Comparison results of methylation-driven genes with data on allergic comorbidity

The study analyzed the gene expression matrix of GSE101720, which includes 16,084 genes. Using this dataset, we investigated the DEGs in bronchial and nasal epithelial samples when comparing three groups: AR + asthma, AR, and HC. In bronchial epithelial samples, a total of 141 DEGs were identified, with 78 upregulated genes and 63 downregulated genes observed in the AR group compared to the HC group. When comparing the AR + asthma group to the HC



Identification of DEGs between AR and HC groups in GSE50101. (A, B) The volcano map of the DEGs during the pollen and non-pollen seasons. The X-axis corresponds to the $\log_2 FC$, while the Y-axis corresponds to the $-\log_{10}(p-value)$. The red node indicates the upregulated and downregulated DEGs. (C) The Venn plot of upregulated and downregulated DEGs during the pollen and non-pollen seasons. UP (pollen) and DOWN (pollen) represent the upregulated and downregulated DEGs of the pollen season. UP (non-pollen) and DOWN (non-pollen) represent the upregulated DEGs of the non-pollen season. (D) KEGG pathways enriched by the subset of DEGs including UP (pollen), UP (non-pollen), DOWN (pollen), and DOWN (non-pollen). DEG: differentially expressed gene; AR: allergic rhinitis; HC: healthy control.

group, 421 DEGs were found in bronchial epithelial samples, including 220 upregulated genes and 201 downregulated genes. Similarly, in nasal epithelial samples, comparison of the AR group with the HC group revealed 313 DEGs, with 162 upregulated genes and 151 downregulated genes. Upon comparing the AR + asthma group with the HC group, we identified 314 DEGs consisting of 145 upregulated genes and 169 downregulated genes in nasal epithelial samples. Volcano plots that illustrate the DEGs analysis results are presented in Figure 5A–D.

Our previous results revealed that *ZNF667-AS1* was a methylation-driven gene unique to the pollen season. It was found downregulated in both AR and AR + asthma patients in nasal epithelial samples and downregulated in AR + asthma patients in bronchial epithelial samples. The inter-group expression levels of the *ZNF667-AS1* gene in GSE101720 are presented in Figure 5E, F. Additionally, *NRCAM* was found to be a methylation-driven gene shared by pollen and non-pollen periods. It was downregulated in both AR and AR + asthma patients in nasal epithelial samples.

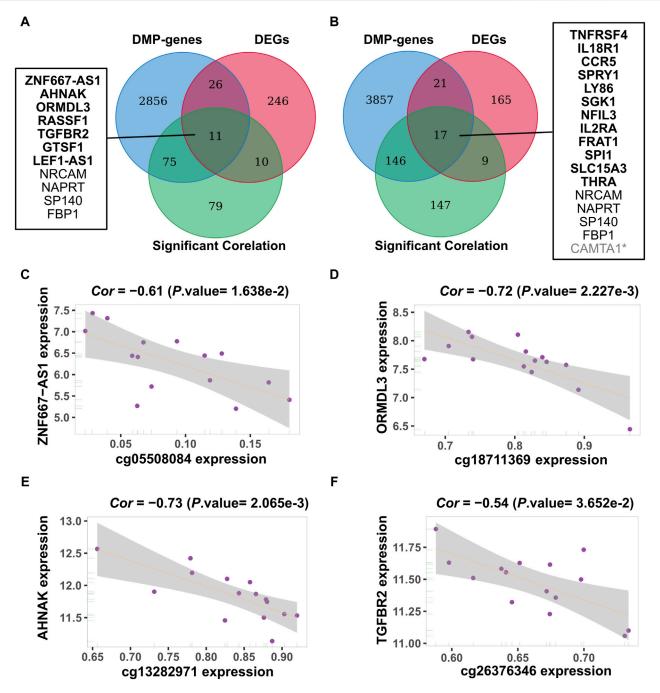
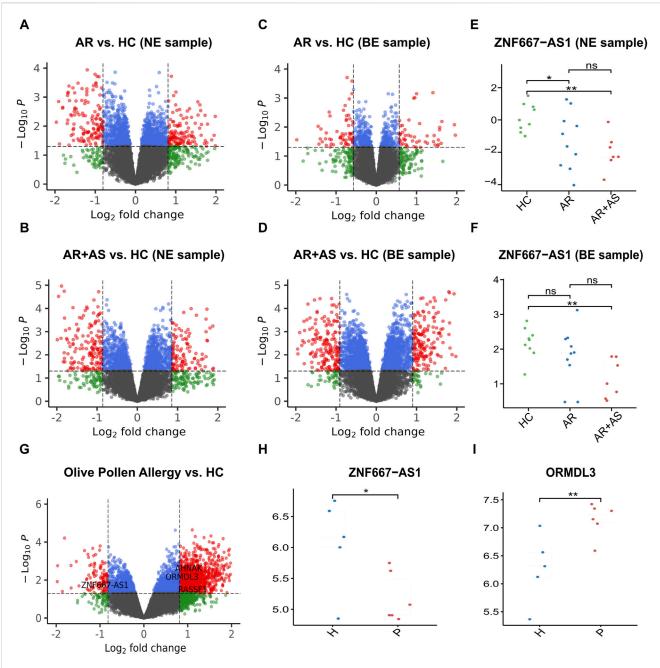


FIGURE 4
Identification of methylation-driven genes. (A, B) Identification of methylation-driven genes during the pollen and non-pollen seasons. The ellipses in three colors represent the DMP related gene set, the DEG gene set, and the gene set that exhibits a strong association between changes in methylation and expression. The middle part refers to the intersection of the three groups of data. The genes with the bolded name are specific methylation-driven genes. (C-F) Four specific methylation-driven genes of pollen season with correlation coefficient. The methylation-expression relationship was assessed using Spearman's correlation coefficient, with methylation and expression levels plotted on the X-axis and Y-axis, respectively. DMP: differentially methylated position; DEG: differentially expressed gene. * Due to the fact that the methylated probes negatively correlated with the expression of CAMTA1 are not differentially expressed, CAMTA1 was ultimately excluded from the list of methylation-driven genes.

Comparison results of methylation-driven genes with data on olive pollen allergy

The study analyzed the gene expression matrix of GSE54522, which includes 54,675 probes. Using this dataset, we investigated the DEGs in pollen-allergic patients and HC samples after olive pollen stimulation. A

total of 1,321 DEGs were identified, with 1,206 upregulated genes and 115 downregulated genes observed in the allergic group compared to the HC group. We observed that four genes from the methylation-driven genes during the pollen season were also present among these DEGs. *ZNF667-AS1* was downregulated, while *AHNAK*, *ORMDL3*, and *RASSF1* were upregulated. Similarly, among the methylation-driven



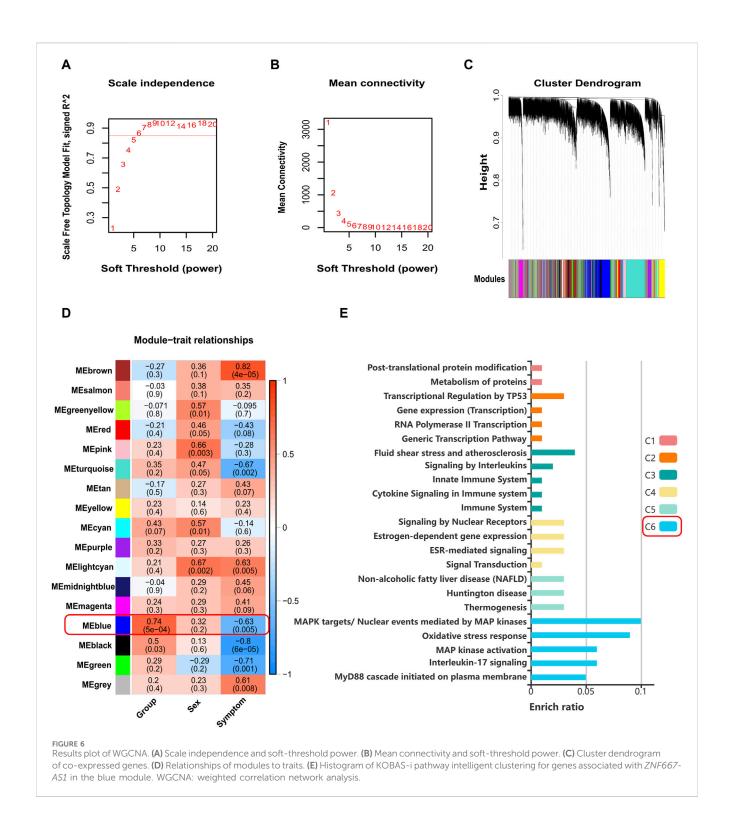
Result plot of validation using GSE101720 and GSE54522. **(A)** Volcano plot of AR vs. HC in GSE101720 (NE sample). **(B)** Volcano plot of AR with asthma vs. HC in GSE101720 (NE sample). **(C)** Volcano plot of AR vs. HC in GSE101720 (BE sample). **(D)** Volcano plot of AR with asthma vs. HC in GSE101720 (BE sample). **(E)** Expression level of *ZNF667-AS1* in GSE101720 (NE sample). **(F)** Expression level of *ZNF667-AS1* in GSE101720 (BE sample). **(G)** Volcano plot of olive pollen allergy patients vs. HC in GSE54522 (PBMC). **(H)** Expression level of *ZNF667-AS1* in GSE54522 (PBMC). **(I)** Expression level of *ORMDL3* in GSE54522 (PBMC). **(R)** Expression level of *ZNF667-AS1* in GSE54522 (PBMC). **(I)** Expression level of *ORMDL3* in GSE54522 (PBMC). **(II)** Expression level of *ORMDL3* in GSE54522 (PBMC).

genes during the non-pollen season, two genes, *SLC15A3* and *FRAT1*, were also identified as upregulated genes.

Results of weighted correlation network analysis for GSE50101

The pollen season expression matrix of GSE50101 contains a total of 47,314 genes and 19 samples. After probe annotation and

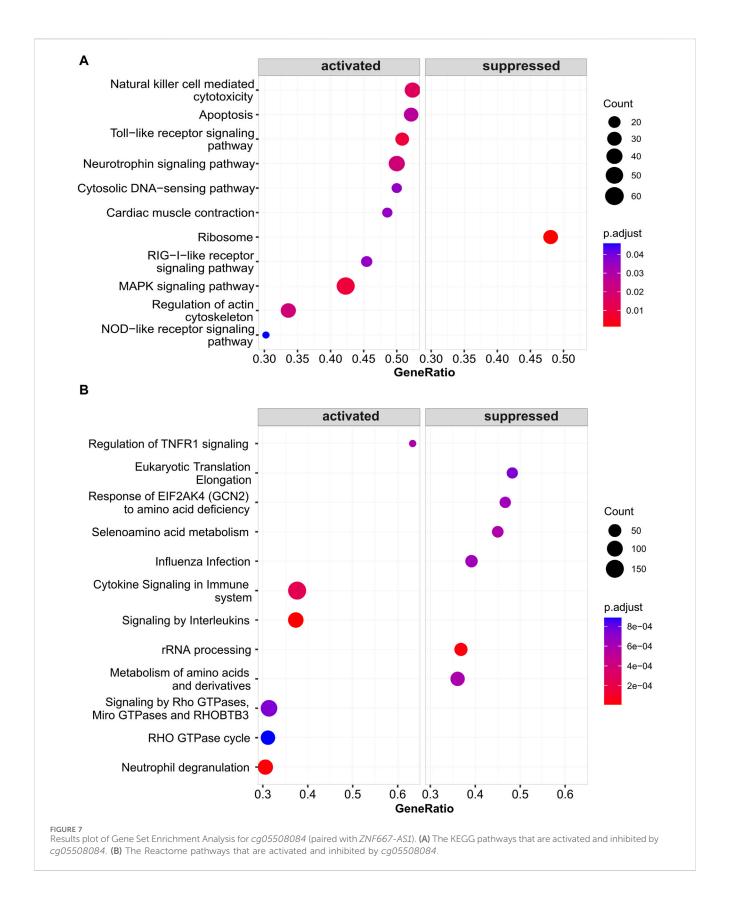
removal of duplicates based on the highest expression value, 19,645 unique genes were remaining. Following filtering using the goodGenes function and Median Absolute Deviation, a total of 14,733 genes were included in the analysis. After filtering based on sample clustering and the goodSamples function, 18 samples were included in the analysis (Supplementary Figure S4A). The pickSoftThreshold function determined a soft threshold of 6, with R^2 cutoff of 0.85 (Figure 6A, B). The network construction resulted in 17 color modules (Figure 6C). Upon calculating the



correlation between modules and traits, it was found that only the blue module showed significant correlation with grouping (Cor = 0.74, p-value < 0.05) (Figure 6D). The blue module consisted of 2,611 genes, and interestingly, ZNF667-AS1 was also present in the blue module.

As WGCNA is a biological application used to identify highly correlated gene clusters (modules), and genes within these modules are presumed to exhibit coordinated interactions, we believe that the function of *ZNF667-AS1* can

be investigated through studying the genes with which it exhibits co-expression within the blue module. The expression correlation between all genes in the blue module and ZNF667-AS1 was calculated, resulting in 194 genes that showed significant correlation (Cor > 0.4, p-value < 0.05) (Supplementary Table S11). Pathway analysis using KOBAS-i was performed on these 195 genes (including ZNF667-AS1), and after filtering based on a Corrected p-value < 0.05, 7 pathways were enriched in KEGG, 41 pathways in Reactome, 1 pathway in



PANTHER, while no pathways were enriched in BioCyc. Intelligent clustering of the pathways revealed 6 main clusters, with Cluster C6 being the largest, consisting of

18 pathways. This cluster includes pathways such as Toll-like receptor (*TLR*) family, *Myd88*, *MAPK*, oxidative stress, and others, as depicted in Figure 6E and Supplementary Table S12.

Results of gene set enrichment analysis for cq05508084

The corresponding CpG site for ZNF667-AS1 is cg05508084. GSEA analysis revealed that the functional significance of the cg05508084 site may be associated with the activation of TLR receptor signaling pathway and MAPK signaling pathway. The results are shown in Figure 7A,B.

Discussion

Allergic rhinitis is a prevalent allergic airway disease characterized by symptoms such as sneezing, nasal congestion, nasal itching and rhinorrhea caused by immunoglobulin E (IgE)-mediated responses to inhaled allergens. These immune responses involve mucosal inflammation driven by type 2 cells, a subset of immune cells that produce cytokines responsible for inducing inflammation in response to allergens. Research suggests that exposure to allergens could trigger epigenetic changes (Cheng et al., 2014; Choi et al., 2021), leading to AR development with a susceptible genetic background (Bousquet et al., 2020a). Methylation has been shown to differentiate AR from the healthy individuals and to be associated with the development and exacerbation of AR (Li et al., 2016; Choi et al., 2021).

Pollen is one of the frequently occurring environmental allergens for AR (Skoner, 2001; Meng et al., 2020). Studies found that pollen-induced DNA methylation changes were correlated with symptom scores in AR patients, highlighting the role of epigenetic mechanisms in AR pathogenesis (Nestor et al., 2014; North et al., 2018; Watanabe et al., 2021; Tameeris et al., 2023). However, the specific effects of pollen season on AR patients have not been well elucidated. A bioinformatics study identified some specific signaling pathways for pollen season by comparing DMPs during the pollen and non-pollen seasons among AR patients and HCs (Yang et al., 2022). Our study goes further by integrating methylation profiling and gene expression profiling in a multi-omics approach, to identify methylation-driven genes specifically during the pollen and nonpollen seasons in AR to explore the unique epigenetic mechanisms of pollen season. This multi-omics approach of studying methylation-driven genes has been widely used in the study of various diseases, such as esophageal cancer (Jammula et al., 2020), breast cancer (Zhang et al., 2020), asthma (Chen et al., 2021; Wang et al., 2022), etc.

In our study, we identified 20 pairs of CpG-gene combinations in the pollen season and 24 such combinations in the non-pollen season. Due to the property of allergen-specific excitation in allergic diseases, we believe that the non-pollen season gene results and shared genes reflect the common AR methylation changes, while the results of the pollen season reflect pollen-specific AR methylation changes. According to the WGCNA results, the methylation-driven genes of pollen and non-pollen seasons were distributed in different color modules (Supplementary Tables S3, S4), the genes within the modules have synergistic effects, indicating that there might be distinct mechanism for methylation regulation in the pollen season.

We have identified 4 shared methylation-driven genes for the pollen and non-pollen seasons, namely, *SP140*, *NRCAM*, *NAPRT*, and *FBP1*. Their functions are associated with allergic diseases both

on allergic inflammation and oxidative stress levels. *SP140* is critical for transcriptional programs that uphold the macrophage state and also is a potentially novel gene contributing to IgE-dependent mast cells (MCs) activation (Fraschilla et al., 2022). *Fbp1* can aggravate oxidative stress-induced apoptosis by suppressing *Nrf2* signaling, which exerts a significant impact on the prevalence and severity of asthma (Hu et al., 2021).

Methylation-driven genes specific to the non-pollen season include TNFRSF4, IL18R1, CCR5, SPRY1, LY86, SGK1, NFIL3, IL2RA, FRAT1, SPI1, SLC15A3 and THRA (Figure 4B). These genes are primarily discussed at the pathway level, their functional enrichment results involve signaling pathways related to cytokines and interleukins, which include cytokine-cytokine receptor interaction (hsa04060), cytokine-mediated signaling pathway (GO:0019221), and signaling by interleukins (R-HSA-449147) (Supplementary Figure S3D-F). The pathways involved in this process regulate the complex signaling of allergic inflammation by influencing the recruitment and dissipation of cytokines and interleukins, as well as downstream reactions. Our team's previous research identified cytokine-cytokine receptor interaction as the key target pathway of Tuomin-Zhiti-Decoction for AR through proteomics and functional enrichment analysis subsequently (Cheng et al., 2022).

We concentrate on the methylation effects caused by pollen. Methylation-driven genes specific to the pollen season include ZNF667-AS1, AHNAK, ORMDL3, RASSF1, TGFBR2, GTSF1 and LEF1-AS1 (Figure 4A). Through literature retrieval, it has been confirmed that 4 out of the 7 unique genes are regulated by DNA methylation in their transcription (Gao et al., 2018; Walter et al., 2018; Wu et al., 2018; Ma et al., 2020), which may reflect the response of AR to pollen exposure. Based on data on olive pollen allergy (GSE54522), the first four of these genes (ZNF667-AS1, AHNAK, ORMDL3 and RASSF1) were confirmed (Figure 5G). Previous studies have reported their association with allergic diseases, mainly involving the influence on genetic susceptibility and regulation of allergic inflammation. Research findings indicate that AHNAK triggers an inflammatory reaction through the activation of MCs (Song et al., 2023). Numerous genome-wide association studies have identified ORMDL3 as a gene associated with asthma susceptibility (Moffatt et al., 2007; Galanter et al., 2008; James et al., 2019; Ntontsi et al., 2021). ORMDL3 is a transmembrane protein found in the endoplasmic reticulum that regulates sphingolipid synthesis. The molecular mechanisms underlying ORMDL3's pathologic functions in asthma are connected to its evolutionarily conserved role in the regulation of sphingolipid homeostasis (James et al., 2019). TGFBR2 (Transforming Growth Factor Beta Receptor 2) is one of the major components of the transforming growth factor β (*TGF* β) signaling (Weiss and Attisano, 2013). People with TGF receptor mutations are significantly more likely to develop allergic disorders (Frischmeyer-Guerrerio et al., 2013). At the pathway level, we have identified the Hippo signaling pathway (hsa04390) and the neutrophil degranulation-associated pathway (R-HSA-6798695). Recent research has proved that the Hippo signaling pathway can regulate the macrophage population size by mediating the microenvironment, which may be the basis for its association with AR (Zhou et al., 2022). Although neutrophils are not traditionally regarded as components of type 2 immunity, a

growing number of studies confirm that neutrophils may contribute to the initiation of type 2 immune responses (Wark et al., 2002; Kämpe et al., 2011; Kämpe et al., 2012).

Motivated by the well-known asthma-related gene ORMDL3, we decided to investigate if the pollen season's methylation effects could affect AR and contribute to the development of asthma. There is growing evidence showing a strong association between AR and conditions Both are epidemiologically asthma. pathophysiologically related (Bergeron and Hamid, 2005; Compalati et al., 2010; Bousquet et al., 2019), and AR is considered an independent risk factor for the development of asthma (Compalati et al., 2010; Acevedo-Prado et al., 2022). The key disease targets for the progression of AR to asthma have yet to be elucidated. Therefore, we introduced external data (GSE101720) to explore DNA methylation as the underlying mechanism of the progression from AR to asthma. As seen in the above volcano plot (Figure 5A-D), with the disease proceeding from AR to asthma, there was a significant increase in the amount of DEGs in the airway mucosa, and the trend is reversed in the nasal mucosa, though the difference was not significant, indicating a transfer of the inflammatory site from the nasal mucosa to the airway mucosa.

We found the ZNF667-AS1 gene was significantly downregulated within both the AR and AR + asthma groups in data GSE101720 (Figure 5E, F). Referring back to the modifications in the gene expression profile data GSE50101, we observed that ZNF667-AS1 was also a downregulated expression DEG and that it also had the most significant change in the expression of the seven methylationdriven genes specific to the pollen season (Supplementary Table S3), leading us to conclude that it was the primary gene mediating the pollen effect. ZNF667-AS1 is classified as a long-stranded non-coding RNA (lncRNA) with multifaceted activities. lncRNAs were proven to play an integral role in the pathogenesis of allergic disease by regulating the differentiation and apoptosis of hematopoietic stem cells, bone marrow cells, and the activation of monocytes, macrophages, and dendritic cells in immune regulation (Cheng et al., 2022). ZNF667-AS1 has been the focus of recent research on inflammatory conditions and cancer with an emphasis on its function in the control of inflammatory pathways (Di Fiore et al., 2021; Zheng et al., 2021; Fan et al., 2022; Luan et al., 2022; Ma et al., 2022; Bohosova et al., 2023). Excitingly, we discovered that Liu et al.'s bioinformatics study (Liu et al., 2019), based on data GSE67472, identified ZNF667-AS1 as an important gene involved in the pathogenesis of asthma, which is also downregulated in asthma. We applied WGCNA to investigate the function of ZNF667-AS1 further, as the functional annotation of lncRNA is still in its exploratory stages. The blue module was the only significant module related to AR (Cor = 0.74, p-value < 0.05) (Figure 6D) and ZNF667-AS1 was the only methylation-driven gene in the blue module. Excited by this outcome, we proceeded to perform pathway enrichment analysis on the genes associated with ZNF667-AS1 in the blue module. The reason for doing so is that genes identified within the same module by WGCNA are assumed to have high synergistic changes. This characteristic has been frequently used in recent years for functional annotation of lncRNAs, specifically exploring the function of lncRNAs through annotating the functions of mRNAs co-expressed with them (Wang et al., 2023). What we discovered was that the most significant class of KOBAS-i pathway intelligent clustering results comprised 18 pathways, including TLR family, Myd88, MAPK, and oxidative stress. ZNF667-AS1 may also be implicated in activating TLR and MAPK signaling pathways, according to gene set enrichment study conducted around the CpG site cg05508084 corresponding to ZNF667-AS1. Pollen can directly interact with pattern recognition receptors (PRRs), particularly TLR family, as many studies have proved to date. This interaction can induce TSLP production and related type 2 inflammation, and it may also be related to reactive oxygenmediated oxidative stress (Hosoki et al., 2015; Pointner et al., 2020; Pointner et al., 2021; Shumin et al., 2021; Guryanova et al., 2022). Based on our research, methylation of the CpG site cg05508084 in response to pollen stimulation drives the low expression of ZNF667-AS1, which in turn triggers type 2 inflammation and oxidative stress by affecting the Myd88-dependent TLR family, which may ultimately promote the development of AR to asthma. ZNF667-AS1 may serve as a crucial mediator and biomarker of allergic diseases.

As per the concept of the "unified airway", the upper and lower respiratory tracts share common barrier and immune characteristics, making them a morphologically and functionally unified entity (Bachert et al., 2023; Wang et al., 2023), which can explain that allergy is not a disease of a specific organ, but a disorder of the whole respiratory tract (Compalati et al., 2010). This coincides with the concept of the allergic constitution, which is considered as the background and foundation for the development of allergic diseases by the theory of traditional Chinese medicine constitution (Wang et al., 2023). Our previous study (Sun et al., 2024) suggests that pathways such as T_H1 and T_H2 cell differentiation, *TLR* cascade are the key molecular characterization of allergic constitution. We believe that the occurrence of allergic disease is the result of a combination of innate constitution and environmental factors.

Dutch children and adolescents' overall symptom scores are positively correlated with grass and birch pollen concentrations, according to a recent study (Tameeris et al., 2023). We hypothesize that methylation effects could be associated with symptoms throughout the pollen season. According to the WGCNA results, blue, brown, black, green, turquoise, and lightcyan modules show a strong correlation with the allergy symptom score (Figure 6D). Moreover, a number of researches have documented a connection between pollen and allergy symptoms (Tobías et al., 2003; Kiotseridis et al., 2013; Kmenta et al., 2016; Wang et al., 2018). Unfortunately, because we do not have pollen concentration information in our data sources, we cannot analyze whether it correlates with methylation levels. That is one of our study's limitations. Our analysis method is mainly based on bioinformatics, the dependability of the results is obviously affected by the sample size and the depth of the sample data. Furthermore, the data we used was restricted to transcriptomics and epigenomics, further research is required at multiple omics levels, including proteomics and metabolomics. In order to uncover the long-term effects of pollen season on AR at a deeper level, it is intended that additional research can be conducted in the future.

Conclusion

In this study, we identified the methylation-driven genes triggered by pollen and investigated their functions through bioinformatic analysis. Four of the seven key methylation-driven genes (ZNF667-AS1, AHNAK, ORMDL3 and RASSF1) were

validated by another olive pollen allergy data. By incorporating external data, we identified *ZNF667-AS1* as a crucial methylation-driven gene mediating pollen effects, which could be a key gene driving the conversion of AR to asthma. Its potential mechanism may involve the influence on *TLR* family, further mediating type 2 inflammatory responses and impacting the occurrence and development of AR and asthma. Our findings provide new insights into the interaction between AR and asthma, and pave the way for scientific research and development of therapeutic strategies. We hope there will be researches conducted *in vitro* and *in vivo* in the future to validate our findings.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

Author contributions

PS and YW conceived and designed the study. PS and XL performed the data analysis and data interpretation. YW and ZL discussed the results and provided additional information. DC and QL plotted the figures. YW and PS prepared the manuscript. JW and QW revised and finalized the manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2024.1242974/full#supplementary-material

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A combined transcriptomics and proteomics approach reveals S100A4 as a potential biomarker for Graves' orbitopathy

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Background: There are no reliable biomarkers to identify Graves' disease patients who will develop severe Graves' orbitopathy (GO). We hypothesize that integrating various omics platforms can enhance our understanding of disease mechanisms and uncover potential biomarkers. This study aimed to (1) elucidate the differential gene expression profile of orbital fibroblasts in GO during early adipogenesis to better understand disease mechanisms and (2) compare tear protein profiles from our earlier study and the transcriptome profiles of orbital fibroblasts (OFs) to identify possible biomarkers of the disease.

Methods: OFs were grown from orbital adipose tissue obtained from nine GO patients (three for discovery and six for validation experiments). Total RNA was extracted from OFs on day 0 as the baseline for each sample and from differentiated OFs on days 4 and 8. Protein—protein interaction (PPI) analysis and functional enrichment analysis were also carried out. The differentially expressed genes (DEGs) from the RNA sequencing experiments were then compared to the full tear proteome profile from the author's previous study, which examined the tear protein changes of GO patients based on fold change > $1.6 \text{ or } < -1.6 \text{ FDR} < 0.05 \text{ was applied within all datasets. Further validation of S100 calcium-binding protein A4 (S100A4) downregulation in GO was performed via quantitative real-time PCR (qPCR).$

Results: The whole transcriptomic analysis revealed 9 upregulated genes and 15 downregulated genes in common between the discovery and validation experiments. From the PPI network analysis, an interaction network containing six identified DEGs (ALDH2, MAP2K6, MT2A, SOCS3, S100A4, and THBD) was observed. The functional enrichment network analysis identified a set of genes related to oxysterol production. S100A4 was found to be consistently downregulated in both our transcriptome studies and the full-tear proteome profile from the author's previous study.

Conclusion: Our study identified several DEGs and potential gene pathways in GO patients, which concurred with the results of other studies. Tear S100A4 may

serve as a biomarker for the propensity to develop thyroid eye disease (TED) in patients with autoimmune thyroid disease (AITD) before clinical manifestation and should be confirmed in future studies.

KEYWORDS

Graves' ophthalmology, Graves' orbitopathy, transcriptome, tear biomarkers, S100A4

Introduction

Graves' orbitopathy (GO) is the most common extrathyroidal manifestation of Graves' disease (GD), affecting up to half the patients, with 5% progressing to sight-threatening disease (Bahn, 2010). Despite advances in treatment, a significant proportion of patients are undiagnosed, resulting in long-term debilitating consequences, such as diplopia and exposure keratopathy, severely impairing vision and quality of life. Hence, early diagnosis and treatment form the cornerstone of the management of GO. Currently, there are no reliable biomarkers to identify GD patients who will develop severe GO. Orbital fibroblasts (OFs) play a pivotal role in the pathogenesis of GO, functioning both as a major target of inflammatory cytokines released by infiltrating immune cells and as active participants in the perpetuation of orbital disease (Dik et al., 2016). Orbital tissue expansion and fibrosis, central consequences of the pathogenic processes, result from the proliferation, extracellular matrix production, and differentiation of OFs into adipocytes and myofibroblasts. The molecular mechanisms underlying orbital adipogenesis in GO are not well understood, and several studies have explored transcriptome profiling via RNA sequencing during orbital fibroblast adipogenesis in GO patients to elucidate potential treatment targets (Lee et al., 2018; Kim et al., 2021; Bai et al., 2022). Recent studies have also shown the involvement of lacrimal glands in the pathogenesis of ocular surface damage in GO (Huang et al., 2014; Eckstein et al., 2004). Tear sampling provides a convenient and non-invasive method of analyzing an accessible body fluid for the investigation of potential biomarkers in ocular diseases. Previously, the author demonstrated different tear profiles in patients with different severity levels of GO, which suggests the role of the tear proteome as a potential biomarker of GO development and progression in patients with autoimmune thyroid disease (AITD) (Chng et al., 2018). This study aimed to (1) investigate the transcriptome profiles of orbital fibroblasts during early adipogenesis and (2) compare tear protein profiles from our earlier study and the transcriptome profiles of orbital fibroblasts to identify possible common molecular markers of the disease.

Methodology

Patient recruitment

The study was approved by the SingHealth Centralized Institutional Review Board. A total of nine patients with GO who underwent orbital decompression or eyelid mullerectomy (one case) were recruited for this study. All patients with GO

were managed by an ophthalmologist. The diagnosis of GO was made based on diagnostic criteria defined by Bartley and Gorman (1995), i.e., GO is present if eyelid retraction occurs in association with thyroid dysfunction, exophthalmos, optic nerve dysfunction, or extraocular muscle involvement, and other confounding causes such as idiopathic orbital inflammation are excluded. All the patients with GO were euthyroid and had inactive disease at the time of surgery. Subjects who were smokers, diabetic, and had a recent (<3 months) intake of steroids, immunomodulatory agents, or orbital radiation were also excluded. Smoking is known to increase the incidence and severity of thyroid eye disease (TED) and induce numerous gene expression changes (Bahn, 2010). Smoking also affects the adipogenesis of orbital fibroblasts (Chng et al., 2014). Steroids, diabetes, immunomodulatory agents, and orbital radiation are potential confounding factors that may influence adipogenesis, inflammation, and overall transcriptional activity in orbital adipose tissues. For the discovery phase, orbital adipose tissue from three GO cases (age 48-68 years, all female patients) recruited between 2016 and 2017 was used for the experiments (Table 1). For the validation phase, orbital adipose tissue from six GO cases (age 27-61 years, five female and one male patient) recruited between 2020 and 2022 was used (Table 1).

Orbital fibroblast cultures

OFs were grown from the orbital adipose tissue obtained. The excised fat was minced into 0.1 cm × 0.1 cm pieces and placed in plastic culture flasks in M199 (Gibco, Life Technologies) supplemented with 10% fetal bovine serum (FBS), gentamycin (20 ug/mL), and penicillin/streptomycin (100 u/mL), allowing OFs to emerge from the tissue as described previously (Valyasevi et al., 1999). Fibroblasts were expanded over a period of 3-4 weeks till sufficient cells were obtained. When sufficient cells were available for inducing lipid accumulation, orbital fibroblasts were seeded at a density of 2.1×10^6 cells per mL into T75 culture flasks. When the cells approached confluence, an adipogenic induction medium (AIM) comprising IMDM supplemented with 10% FBS, 0.528 mM IBMX, 0.033 uM biotin, 0.001 mM dexamethasone, 0.2 mM indomethacin, and 0.174 uM insulin was used to induce lipid accumulation in orbital fibroblasts. The medium was changed every 2 days to an adipogenic maintenance medium (IMDM with 10% FBS supplemented with 0.174 uM insulin). The cycling of induction and maintenance media was carried out until D18. Cells differentiated until D18 in 6-well culture flasks were stained with Oil Red O on D18 to visualize lipid accumulation and adipocyte morphology (Figure 1). For this study, cultures from D0 to D4 and D0 to D8 were used for both the discovery and

TABLE 1 Clinical characteristics of cases recruited for the study.

		Discovery s	et	Validation set						
Clinical information	Case 1	Case 2	Case 3	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	
Age (years)	48	68	66	27	61	40	33	30	60	
Gender	F	F	F	F	F	F	M	F	F	
GD duration (years)	10	0.5	9.5	4	25	4	O ^a	2	3	
TED duration (years)	10	0.5	9.5	3	1	3	7	1.5	2	
Main treatment for thyrotoxicosis	RAI	CMZ	CMZ	CMZ	Т	RAI	Nil	CMZ	RAI	
Prior treatment for TED	Nil	S	Nil	S	S	S	Nil	Nil	S	
Medical hx	HTN	Nil	HTN/HLD	Nil	Nil	Nil	Nil	Nil	HTN/HLD	
Dysthyroid optic neuropathy	No	No	No	No	No	No	No	No	No	
CAS (0-10)	0	0	2	0	2	1	0	0	0	
Surgery	BOD	ROD	BOD	BOD	ROD	EM	BOD	LOD	LOD	
TRAB (0-1.5 IU/L)	0.5	4.3	12.8	>40	4.5	26.4	2.02	37.1	16	

^aCase 4 (validation set) is a euthyroid GO case.

RAI, radioiodine; CMZ, carbimazole; T, thyroidectomy; S, steroid; HTN, hypertension; HLD, hyperlipidemia; BOD, bilateral orbital decompression; ROD, right-orbital decompression; EM, eyelid mullerectomy; LOD, left-orbital decompression; TRAb, TSH receptor antibody.

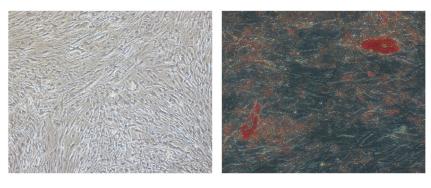


FIGURE 1 Digital photographs (at \times 20 magnification) of OFs from GO subjects showing adipogenesis. (Left) D18 undifferentiated OFs. (Right) D18 differentiated OFs. The red spots are intracellular lipid droplets stained with Oil Red O.

validation phases. These time points were chosen to focus on the gene expression related to early adipogenesis.

Whole-transcriptome sequencing (total RNA sequence)

Total RNA was extracted from OFs on day 0 as the baseline for each sample and from differentiated OFs on days 4 and 8 using an RNeasy Kit (QIAGEN). Total RNA libraries were prepared using the Illumina TruSeq Kit and sequenced on the Illumina NovaSeq 6000 Sequencing Platform (Illumina, San Diego, CA, United States). Paired-end reads (150 bp) were mapped to the GRCh38 reference human genome. Output BCL files were converted to FASTQ files and demultiplexed using bcl2fastq v.2.20 from Illumina. Overall, approximately 40 million reads per sample were obtained.

Comparative tear protein samples

The differentially expressed genes (DEGs) from the RNA sequencing experiments were then compared to the full tear proteome profile from the author's previous studies, which examined the tear protein changes of GO patients with increasing severity compared to normal controls (Chng et al., 2018).

Quantitative real-time PCR for S100A4

Total RNA was extracted from OFs on day 0 as the baseline for each sample and from differentiated OFs from patients with GO on days 4 and 8 using the RNeasy Mini RNA Isolation and QIAshredder Kits (QIAGEN). A 15-min on-column DNase digestion was carried out to eliminate genomic DNA as

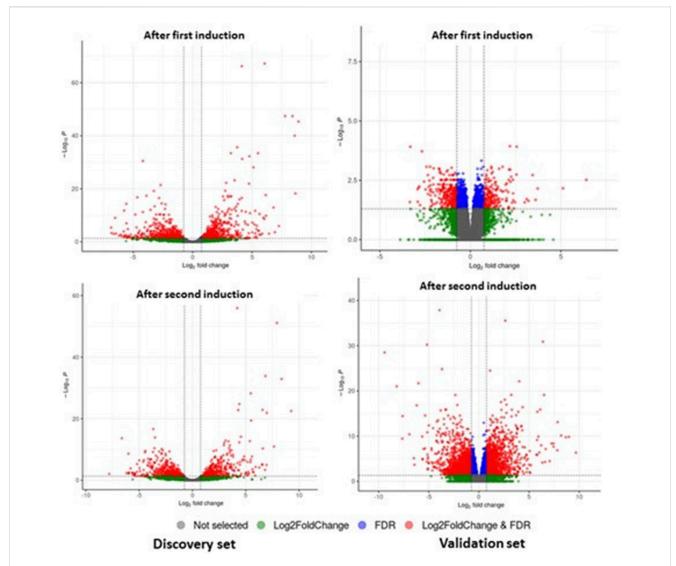


FIGURE 2
DEGs of mRNA between patients with GO and controls from discovery and validation phase experiments for first induction (D0-D4) and second induction (D0-D8). The volcano plots display the fold changes and p-values of differential mRNA expression in patients with GO compared to normal controls. Based on the relationship between fold change and statistical significance, subsets of mRNAs were isolated. The cut-off for logFC is 1.6. The red points represent the upregulated mRNAs with statistical significance p < 0.05, while the green dots represent the significantly downregulated expression.

outlined in the kit manual. Then, 1 ug of RNA was reversetranscribed using a High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems, Foster City, United States). Quantitative real-time PCR (qPCR) was performed in duplicate using reagents from the GoTaq qPCR Kit (Promega) in 96-well hard-shell PCR plates (Bio-Rad). The primers used for S100 calcium-binding protein A4 (S100A4) analysis were as follows: forward primer, TCTTGGTTTGATCCTGACTGCT; reverse primer, ACTTGTCACCCTCTTTGCCC. Cycling conditions were induced using the Bio-Rad Real-Time Thermal Cycler. qPCR cycling was carried out according to the manufacturer's guidelines: 2 min of initial activation at 95°C, 40 cycles of denaturation at 95°C for 15 s, and annealing and extension for 1 min at 60°C. The relative gene expression of S100A4 was analyzed using the comparative CT (2-ôô CT) normalized against housekeeping gene β-actin.

Bioinformatics and statistical analysis

Sequencing read quality was assessed using FASTQC software, and low-quality bases were removed from individual reads using the cutadapt tool. Reads were mapped to genes through alignment to the human reference transcriptome (GENCODE version 38), and gene expression levels were quantified using Salmon Software. Differential gene expression analysis was conducted using DESeq2 (Love et al., 2014) by comparing baseline (D0) versus "after first induction" (D0–D4) and baseline (D0) versus "after second induction" (D0–D8). The statistical significance of differences was assessed using the Student's t-test. Differentially expressed mRNAs were identified by fold change (FC) > 1.6 or FC < -1.6 and false discovery rate (FDR) < 0.05 (Figure 2).

In addition to the gene-level analysis, protein-protein interaction (PPI) analysis and functional enrichment analysis

TABLE 2 DEGs in orbital fibroblasts derived from patients with GO when compared to normal controls. OFs were induced from D0 to D8 for both discovery and validation phase experiments. FDR < 0.05; log 2FC > 0.678 or < -0.678 (FC > 1.6 or < -1.6).

		Discovery set				Validation set				
			D0 to D4		D0 to D8		D0 to D4		D0 to D8	
Gene	Gene description	Log ₂ FC	FDR							
PDK4	Pyruvate dehydrogenase kinase 4	7.785	3.965E- 48	7.920	7.459E- 52	1.748	9.271E- 03	6.110	1.363E- 16	
MAP2K6	Mitogen-activated protein kinase 6	5.036	5.862E- 08	4.221	2.448E- 06	1.396	5.048E- 03	4.361	4.049E- 17	
HSD11B1	Hydroxysteroid 11-beta dehydrogenase 1	3.650	2.597E- 08	3.811	2.556E- 08	2.130	4.135E- 02	4.865	1.068E- 05	
SLC19A2	Solute carrier family 19 member 2	3.043	9.190E- 08	3.366	2.493E- 07	1.396	3.663E- 03	3.292	1.480E- 16	
MT2A	Metallothionein 2A	3.036	3.369E- 08	3.237	2.302E- 09	1.986	8.290E- 03	3.467	2.111E- 08	
SLC16A9	Solute carrier family 16 member 9	2.612	2.539E- 04	1.965	1.154E- 02	1.202	2.806E- 02	1.786	6.440E- 06	
GPAM	Glycerol-3-phosphate acyltransferase, mitochondrial	2.336	1.135E- 08	2.125	4.487E- 05	0.684	6.285E- 03	2.785	3.231E- 11	
SAT1	Spermidine/spermine N1-acetyltransferase 1	2.034	2.340E- 09	2.129	3.027E- 08	1.135	3.242E- 03	3.244	4.487E- 15	
ALDH2	Aldehyde dehydrogenase 2 family (mitochondrial)	1.415	3.725E- 04	1.611	1.412E- 04	0.767	7.473E- 03	2.375	3.982E- 12	
SFRP4	Secreted frizzled related protein 4	-1.230	7.598E- 04	-2.327	4.437E- 04	-2.212	1.340E- 02	-1.950	3.100E- 02	
AMACR	Alpha-methylacyl-CoA racemase	-1.668	8.859E- 04	-1.465	1.804E- 02	-0.729	4.990E- 02	-0.678	4.501E- 02	
LRRN4CL	LRRN4 C-terminal-like	-1.895	2.703E- 04	-2.006	1.456E- 02	-0.863	6.527E- 03	-1.318	3.826E- 04	
GALNT12	Polypeptide N-acetylgalactosaminyltransferase 12	-2.030	3.600E- 03	-1.836	2.888E- 02	-0.919	1.867E- 02	-0.867	1.485E- 03	
IER2	Immediate early response 2	-2.154	4.163E- 04	-1.942	2.854E- 03	-0.834	4.135E- 02	-1.361	5.404E- 05	
ARHGAP28	Rho GTPase activating protein 28	-2.158	6.247E- 03	-2.367	9.543E- 03	-1.257	1.378E- 02	-1.397	1.675E- 03	
SOCS3	Suppressor of cytokine signaling 3	-2.188	1.231E- 02	-2.776	1.114E- 03	-0.886	1.308E- 02	-1.728	7.384E- 07	
ECM2	Extracellular matrix protein 2	-2.400	1.472E- 03	-1.768	4.824E- 02	-1.731	1.032E- 03	-2.335	2.957E- 04	
PSG1	Pregnancy-specific beta-1-glycoprotein 1	-2.711	2.877E- 07	-3.619	2.070E- 06	-2.214	4.089E- 02	-5.081	4.356E- 06	
KCND3	Potassium voltage-gated channel subfamily D member 3	-2.721	5.700E- 04	-2.492	1.345E- 02	-1.598	4.283E- 02	-2.116	2.117E- 03	
HSD3B7	Hydroxy-delta-5-steroid dehydrogenase, 3 beta-, and steroid delta-isomerase 7	-2.762	8.295E- 04	-2.888	7.955E- 04	-0.763	2.153E- 02	-1.913	2.587E- 06	
EGR2	Early growth response 2	-2.809	3.338E- 02	-2.814	4.636E- 02	-3.344	2.599E- 02	-3.930	3.478E- 03	
S100A4	S100 calcium-binding protein A4	-3.272	1.488E- 09	-2.421	9.775E- 06	-0.786	1.649E- 02	-2.328	3.035E- 07	
THBD	Thrombomodulin	-3.616	1.379E- 06	-4.135	1.593E- 06	-1.103	3.009E- 02	-2.492	6.021E- 10	
IER3	Immediate early response 3	-4.744	9.205E- 03	-5.642	4.487E- 03	-1.118	7.510E- 03	-2.234	4.073E- 08	

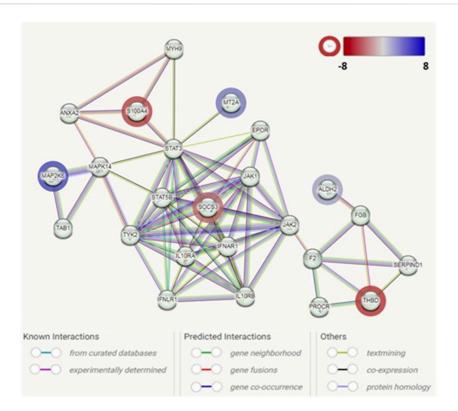


FIGURE 3
Protein—protein interaction network analysis among the identified genes associated with adipogenesis in GO. Each node represents a protein product. The node highlighted in red indicates downregulated genes/proteins. The node highlighted in blue indicates upregulated genes/proteins. Lines denote protein—protein interactions: light-blue lines represent interactions from curated databases; pink lines represent experimentally determined interactions; green lines represent interactions predicted by gene neighborhood; red lines represent interactions predicted by gene fusions; blue lines represent interactions predicted by gene co-occurrence; yellow lines represent interactions by text mining; black lines represent interactions by co-expression; and purple lines represent interactions by protein homology.

were carried out via Search Tool for the Retrieval of Interacting Genes/Proteins (STRING) database v12.0 (Szklarczyk et al., 2023) for the final enriched DEGs. Functional enrichment analysis was repeated using Database for Annotation, Visualization and Integrated Discovery (DAVID) (Sherman et al., 2022) to reconfirm the result.

Results

Whole-transcriptomic analysis identified 672 DEGs (FC \geq 1.6 or FC < -1.6; FDR <0.05) in the discovery set from both induction cycles (D0–D4 and D0–D8) (Supplementary Table S1). On the other hand, 112 significantly differentially expressed genes were observed in the validation set (Supplementary Table S2) that were related to orbital adipogenesis. We next examined the shared (intersection) DEGs between 2 sets and found 24 DEGs during the early adipogenesis of OFs from GO patients after filtering with maintenance controls. The differential expression remained consistent in both the discovery and validation phase experiments. Of these, 9 genes were upregulated, and 15 were downregulated (Table 2).

PPI network associated with the early adipogenesis in GO

Based on the 24 DEGs listed as input, the main PPI network (Figure 3) associated with the early adipogenesis in GO containing the seed proteins and their neighbors' interactome was constructed. All the interactions between them were derived from all available active interaction sources in STRING. A medium-to-high level of confidence (score \geq 0.50) was set. In addition, support from at least two different sources is required.

The PPI network analysis observed an interaction network containing six identified DEGs (*ALDH2*, *MAP2K6*, *MT2A*, *SOCS3*, *S100A4*, and *THBD*). The other nodes of the network mainly contain genes/proteins related to autoinflammatory/ autoimmunity, such as JAK1, STAT3, STAT5B, IL10RA, and IL10RB, with *SOCS3* as a hub. Additionally, genes/protein nodes associated with lipid metabolism (e.g., F2 and FGB) were detected and connected with the detected DEG, *ALDH2*.

In addition, through the functional enrichment network analysis in the STRING database, we identified a set of genes—HSD11B1, HSD3B7, and AMACR—that were associated with pathways related to oxysterol production (FDR = 0.0248). The finding was further confirmed using DAVID (Fold enrichment was 31.20, p-value: 3.54E-03).

1.6 or < -1.6).	from the RNA sequencing exper	riments to the full tear proteome pro	эпіе. FDR < 0.05; Log ₂ FC > 0.6/8 (or < -0.6/8 (FC >

			Discovery set				Validation set				Tear proteome	
		After 1st cycle stimulation		After 2nd cycle stimulation		After 1st cycle stimulation		After 2nd cycle stimulation				
Ger	e Gene description	Log ₂ FC	FDR	Log ₂ FC	FDR	Log ₂ FC	FDR	Log ₂ FC	FDR	Log ₂ FC	FDR	
S100.	S100 calcium-binding protein A4	-3.272	1.488E- 09	-2.421	9.775E- 06	-2.328	3.035E- 07	-0.786	1.649E- 02	-1.591	2.90E- 02	

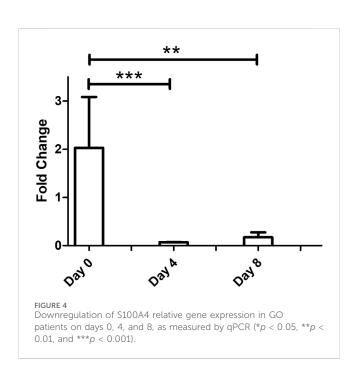
Comparative transcriptomics and tear proteomic profile analysis

Next, a comparative transcriptomics and tear proteomic expression profile analysis was performed to identify potential tear marker(s) linked with GO. We compared transcriptomics expression profile of all 68 tear proteins (24 up and 44 down) that were identified from TED/ GO patients previously (Chng et al., 2018). A standardized filtering cut-off, including consistent expression pattern, change >1.6 or < -1.6, and FDR < 0.05, was applied across all datasets (transcriptomics discovery sets, transcriptomics validation sets, and tear proteome sets). Based on the stringent filtering strategy, only one marker, S100A4, was found to be consistently downregulated (Table 3). The downregulation of S100A4 expression during early adipogenesis in orbital fibroblasts in GO was also confirmed by qPCR analysis in the current study, with a significantly reduced fold change in this gene expression on D4 and D8 compared to D0 fibroblast cultures while exposed to the adipogenic medium (Figure 4).

Discussion

Our study investigated DEG profiles during the early adipogenesis of OFs derived from patients with GO. These expression profiles were consistent between the discovery and validation experiments conducted using different cohorts of patients recruited over different time periods. Several of the gene expression patterns were noted in other studies related to GO. Pyruvate dehydrogenase kinase (PDK) enzymes trigger the switch from oxidative phosphorylation to cytoplasmic glycolysis, which plays a role in immune processes by promoting cell proliferation and strengthening antioxidant defense (Stacpoole, PDK2 overexpression has been found to promote perimysial orbital fibroblast proliferation in GO via Akt signaling (Ma et al., 2020). On the other hand, PDK4 has been shown to maintain the stability of HIF-1a (Ma et al., 2023). Notably, hypoxia-dependent HIF-1 activation was found to impact tissue remodeling in GO and may play a role in the worsening of GO due to smoking (Chng et al., 2014; Görtz et al., 2016).

Mitogen-activated protein (MAP2K6/MKK6), 11 β -hydroxysteroid dehydrogenase 1 (11 β -HSD1), and aldehyde dehydrogenase 2 (ALDH2) play important roles in adipogenesis. The expression of MKK6(Glu) in fibroblasts stimulates extensive p38-dependent adipocyte conversion in the absence of hormonal



stimulation and strongly promotes adipogenesis both in 3T3-L1 fibroblasts and NIH-3T3 cells (Engelman et al., 1999). 11β-HSD1 is a bidirectional enzyme that interconverts inactive cortisone and active cortisol, thereby increasing local glucocorticoid bioavailability (Tomlinson et al., 2004). The induction of 11β -HSD1 activity and expression by inflammatory cytokines (TNF and IL-6) may enhance orbital adipogenesis and is pivotal in the regulation of local inflammatory (Tomlinson 2010). response al., ALDH2 activation enhances adipogenesis and signaling pathways involving PPARy and functions as a positive regulator of adipocyte differentiation (Yu et al., 2016). Cheng et al. (2018) showed that the expression of the anti-ALDH2 antibody was enhanced in GO patients and decreased in normal controls. In addition, anti-ALDH2 antibody levels are strongly correlated with the disease activity of GO (Cheng et al., 2018; Lin, 2024). The potential involvement of Wnt signaling dysregulation has been implicated in GO pathogenesis through the regulation of adipogenesis (Tao et al., 2017). The downregulation of secreted frizzled-related protein 4 (SFRP4), a secreted antagonist of the Wnt signaling pathway, was found in orbital fat from GO patients compared to normal controls (Ezra et al., 2012).

The protein encoded by spermidine/spermine N1 (SAT1) belongs to the acetyltransferase family and is a rate-limiting enzyme in the

catabolic pathway of polyamine metabolism. It catalyzes the acetylation of spermidine and spermine and is involved in the regulation of the intracellular concentration of polyamines and their transport out of cells. An increased concentration of spermine was found in the tears of patients with active GO compared to inactive GO (Billiet et al., 2022). The increased synthesis of spermine could be related to the overstimulation of Graves' autoantibodies and could play a proliferative role at the origin of the increased myogenesis and adipogenesis observed in GO. The suppressor of cytokine signaling (SOCS) proteins are key regulators of immune responses, and SOCS3 functions predominantly as a negative regulator of cytokines that activate the JAK-STAT3 pathway, which participates in the regulation of key biological processes, including cell proliferation, differentiation, and apoptosis (Jiang et al., 2018). SOCS-3 also binds to the insulin growth receptor 1 (IGF1R) and may be a direct substrate for the receptor tyrosine kinase. IGF1R pathways play a critical role in the pathogenesis of GO, and teprotumumab, a human monoclonal anti-IGF1R blocking antibody, has been approved by the FDA for the treatment of patients with GO, specifically in reducing proptosis (Kahaly et al., 2021).

Oxysterols are metabolites derived from cholesterol oxidation and have been implicated in the pathogenesis of several diseases (Poli et al., 2013). These metabolites bind to liver X receptors (LXRs) and retinoic acid receptor-related orphan receptors (RORs), which are members of the nuclear receptor family of transcription factors and modulate gene expression involved in inflammatory and autoimmune processes (Duc et al., 2019). RORyT is a transcription factor involved in Th17 cell development (Ivanov et al., 2006). Several studies have suggested the involvement of pathogenic Th17 cells in GO (Jiang et al., 2022). It is proposed that the interplay between Th17 cells and OFs promotes orbital inflammation and fibrosis, and it strengthens Th17-OF communication via augmented costimulatory molecules (Fang et al., 2017). Hypercholesterolemia is a novel risk factor for GO, with both total high- and low-density lipoprotein cholesterol levels having recently been found to be associated with the presence of GO (Sabini et al., 2018; Lanzolla et al., 2018). This association is supported by a phase-2 randomized clinical trial involving 88 patients with active moderateto-severe GO and increased low-density lipoprotein cholesterol levels, which demonstrated that adding atorvastatin to intravenous glucocorticoids led to a better treatment outcome than when using intravenous glucocorticoids alone (Lanzolla et al., 2021). The link between hypercholesterolemia and GO may reflect an altered inflammatory state in hypercholesterolemia (Ridker and JUPITER Study Group, 2003; Fonseca and Izar, 2009). Hence, the effect of statin on GO may go beyond lowering cholesterol levels with its effects on the adipogenesis of OFs immunomodulatory actions (Lanzolla et al., 2019). Taken together, we postulate that hypercholesterolemia contributes to the inflammatory milieu of GO via oxysterols. Statins, by lowering cholesterol levels, ameliorate the oxidative stress exerted by this downstream metabolite.

We found \$100A4 downregulation in both our transcriptome studies and tear protein analyses in patients with GO. In our earlier study investigating differences in tear protein profiles in different stages of TED, we observed a downward trend of \$100A4 fold change with increasing severity of TED in both discovery and verification phase experiments (Chng et al., 2018). It is interesting that the same trend is observed in our RNA sequencing data from orbital fibroblasts derived from patients

with GO when compared to normal controls, which suggests a common pathophysiology. The downregulation of this gene expression in GO was also confirmed via qPCR experiments in the current study. In addition, an interaction between S100A4 and several other genes implicated in early adipogenesis was evident based on our PPI network analysis. Although we postulate that the dysregulation trend of this protein in the tears may reflect limbal normality, S100A4, which belongs to the S100 superfamily of small Ca²⁺-binding proteins, also plays critical roles in the pathogenesis of autoimmune, fibrotic, and inflammatory disorders (Ambartsumian et al., 2019). Following the discovery of the correlation between the transcriptome and tear proteins, we explored protein-protein interaction networks between S100A4 and other candidate proteins based on our transcriptome analyses. Constructing protein interaction networks enables us to better understand disease mechanisms in GO through putative biological pathways. Such an approach has proven to be valuable in understanding the pathogenic mechanisms underlying other autoimmune diseases such as systemic lupus erythematosus (SLE), multiple sclerosis (MS), and type 1 diabetes (Safari-Alighiarloo et al., 2014). Notably, the candidate proteins from our PPI analysis revealed largely immune-mediated mechanisms with the involvement of the JAK-STAT3 pathway, interferon lambda receptor 1 and interferon alpha and beta receptor subunit 1 (IFNLR1 and IFNAR1), interleukin-10 receptor alpha and beta subunits (IL10RA and IL10RB, respectively), Annexin A2 (ANXA2), etc., some of which were found to be dysregulated in orbital tissues in patients with GO (Matheis et al., 2015; Falkowski et al., 2020; Gianoukakis et al., 2008; Wakelkamp et al., 2003). In addition, cross-interactions between S100A4 and proteins (based on our DEG results) previously noted to be dysregulated in GO, such as MAP2K6, SOCS3, and ALDH2, were also elucidated from this analysis.

There are limitations to our study. The small study sample size was due to strict inclusion and exclusion criteria for cases recruited for the study. Despite a small sample size, this study used next-generation sequencing technology and obtained robust results. In addition, the results of the discovery phase experiments were confirmed by the validation phase experiments, which increases the reliability of the results generated. Although the tear protein profile study was carried out at a different time point, the study was conducted in the same institution with similar patient profiles. Despite a small dataset, we observed a similar downward trend of \$100A4 levels in both our transcriptome studies and tear protein analyses in patients with GO. This suggests that in the same disease milieu, whether at the orbital fibroblast or tear level, \$100A4 potentially plays a role in the disease manifestations.

There were two patients with hyperlipidemia on statin treatment recruited for the study (one in the discovery phase and one in the validation phase), and recent studies suggest that statin may have beneficial effects on TED. Whether this has an implication on adipogenesis or gene expression is unknown. Although it may be difficult to control all lifestyle factors, we acknowledge that comorbidities, medication history, or lifestyle factors are potential confounders for our results and should be further explored in future studies.

Recent studies have demonstrated the utility of single-cell RNA sequencing (scRNA-seq) as a powerful tool to study cellular

heterogeneity and complex cellular events. Notably, Li et al. (2022) utilized scRNA-seq to create comprehensive transcriptional atlases of the cellular components in the orbital connective tissue (OCT) from healthy controls (HCs) and individuals with GO although several potential limitations still exist. Epigenetic profiling using single-cell ATAC-seq could also provide further resolution of the transcriptomic patterns found in our study. Although these techniques were not explored in our study, they would have been helpful in validating our findings.

Conclusion

The value of the transcriptome approach utilized in our study lies in its ability to generate candidate genes and pathways for further studies. Our study identified several DEGs and potential gene pathways in GO patients, which concurred with the results of other studies. This not only increased our understanding of the disease pathogenesis but also elucidated potential drug targets (e.g., teprotumumab and statins) and biomarkers (e.g., anti-ALDH2 antibody). The results of the PPI network analysis propose a biological explanation of this phenomenon, with potential crosstalk with other gene pathways discovered by our transcriptome analysis. Importantly, our study also identified S100A4 downregulation in both transcriptome studies and tear proteome analyses. Tear S100A4 may serve as a biomarker for the propensity to develop TED in patients with AITD before clinical manifestation and should be confirmed in future studies.

Data availability statement

The data presented in the study are deposited in the European Nucleotide Archive (ENA) repository, accession number PRJEB73574. The data can be found: https://www.ebi.ac.uk/ena/browser/view/PRJEB73574.

Ethics statement

The studies involving humans were approved by the SingHealth Centralized Institutional Review Board. The studies were conducted in accordance with the local legislation and institutional requirements. The participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

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C-LC: conceptualization, data curation, formal analysis, funding acquisition, investigation, methodology, project administration, resources, supervision, writing-original draft, and writing-review and editing. OFL: conceptualization, data curation, formal analysis, investigation, methodology, resources, writing-original draft, and writing-review and editing. L-LS: investigation, methodology, resources, and writing-review and editing. K-LY: investigation and writing-review and editing. RG: investigation and writing-review and editing. CKL: data curation, formal analysis, investigation, methodology, software, validation, writing-original draft, and writing-review and editing.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fgene.2024.1342205/full#supplementary-material

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