# Overcoming physiologic barriers to treatments for hematologic malignancies by molecularly targeting the tumor microenvironment

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# Overcoming physiologic barriers to treatments for hematologic malignancies by molecularly targeting the tumor microenvironment

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# Pomalidomide enhances the maturation of dendritic cells derived from healthy donors and multiple myeloma patients

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**Objective:** To explore the effect of pomalidomide on the maturation of monocyte-derived dendritic cells (moDCs) from healthy donors (HDs) and multiple myeloma (MM) patients.

**Methods:** MoDCs were generated by the incubation of monocytes from peripheral blood mononuclear cells (PBMCs) for 7 days in a medium consisting of 800 U/ml granulocyte-macrophage colony stimulating factor (GM-CSF), 500 U/ml interleukin-4 (IL-4), RPMI 1,640 medium, 5% human serum, 100 U/ml penicillin and 0.1 mg/ml streptomycin. Meanwhile, the incubation system was administrated with 10  $\mu$ M pomalidomide or 1  $\times$  PBS as the control group. On the eighth day, cells were harvested and analyzed by flow cytometry. The CD80+CD86+ cell population in total cells was gated as moDCs in the FACS analyzing system. After that, the expression of CD40 and HLA-DR on moDCs was analyzed. Meanwhile, the supernatant from the incubation system was evaluated for the secretion of cytokines interleukin-12 (IL-12), tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), and macrophage inflammatory protein 1 $\alpha$  (MIP-1 $\alpha$ ) by enzyme-linked immunosorbent assay (ELISA).

**Results:** When analyzing all the HD-moDCs together (n=15), pomalidomide significantly increased the mean fluorescence intensity (MFI) of CD40 expression and HLA-DR expression on moDCs compared with the control group (p=0.003, p=0.040). Meanwhile, the proportion of CD40+ moDCs and HLA-DR+ moDCs in total moDCs was significantly higher in the pomalidomide group than in the control group (p=0.008, p=0.032). When analyzing all MM patient-moDCs together (n=11), pomalidomide significantly increased the MFI of CD40 expression and HLA-DR expression on moDCs compared with the control group (p=0.047, p=0.006). Meanwhile, the proportion of HLA-DR+ moDCs in total DCs was significantly higher in the pomalidomide group than in the control group (p<0.001). Moreover, HD-moDCs (p=0.001) treated with pomalidomide secreted 192% IL-12, 110% TNF- $\alpha$ , and 112% MIP-1 $\alpha$  of the untreated moDCs (p=0.020, p=0.006, p=0.055). However, when analyzing MM patient-moDCs (n=10) together, the secretion of IL-12, TNF- $\alpha$  and MIP-1 $\alpha$  from moDCs showed no significant difference

between the pomalidomide group and the control group (p = 0.458, p = 0.377, p = 0.248).

**Conclusion:** In vitro, 10  $\mu$ M pomalidomide enhances the maturation of moDCs derived from both HDs and MM patients. Pomalidomide shows potential to be applied as a DC adjuvant for DC-based immunotherapy, such as the DC vaccine and DC cell therapy in MM.

KEYWORDS

pomalidomide, multiple myeloma, monocyte-derived dendritic cells, maturation, DC adjuvant

#### Introduction

Multiple myeloma is a malignant disease with plasma cell origin, and the incidence of which ranks second in the hematological malignancies (Pinto et al., 2020). MM is still an incurable disease with high recurrence. The pathogenesis of MM is closely relevant to the chromosome deletion, the gene abnormity, and the change of immune microenvironment. Furthermore, immune disorders occur in the early stage of MM (Walker et al., 2018). The immunotherapy strategy which aims to reverse the immune disorders and improve the patients' anti-myeloma immunity can effectively eliminate cancer cells in vivo by improving immune surveillance, activation and killing. Thus, immunotherapy is able to achieve a favorable clinical efficacy. Dendritic cells (DCs) play an essential role in initiating the anti-cancer immune response. As the most critical antigen-presenting cell (APC), DCs present major histocompatibility complex (MHC) molecules and tumor antigens to prime naïve T cells. Meanwhile, DCs provide costimulatory signals to T cells for the further activation of T cells (Wang et al., 2016). Thus, DCs act as the most critical first-step in initiating the anti-cancer specific immune response.

It has been found that MM patients show significant immunodeficiency. The immunodeficient DCs of MM patients is one of the main reasons why specific anti-tumor immunity can't be normally and effectively activated (Li and Wang, 2019; Tamura et al., 2019), which leads to the occurrence and development of MM. Therefore, reversing the severe immunodeficiency of DCs in MM patients to improve the activity and maturity of DCs is one of the critical problems to be solved. In recent years, the generation of autologous DCs of MM patients in vitro with enhanced activity and maturity has been applied for DC cell therapy in MM patients. This immunotherapy strategy is the frontier research field worldwide with a promising translational future. Although DC immunotherapy has been developing in the past decades, the techniques of in vitro generation of DC, which to a certain extent enhance the activity and maturity of patient DC still show a distance from achieving significant clinical efficacy with successful generation of ideally active and mature DC of patients. Therefore, improving the activity and maturity of DCs of MM patients generated in vitro to a normal or significantly enhanced

level becomes the essential issue to be solved in DC immunotherapy for MM.

Pomalidomide is the third generation of immunomodulatory drugs (IMiDs) as an analogue of thalidomide and lenalidomide. Pomalidomide has not only been approved for treating relapsed/ refractory MM due to its direct anti-tumor effect (Rajkumar and Kumar, 2016) but also shows a significant immunomodulatory effect on immune cells. On the one hand, pomalidomide exerts the direct anti-myeloma effect by up-regulating the expression of P21wafl (Escoubet-Lozach et al., 2009), down-regulating the expression of C/EBP  $\beta$  (Li et al., 2011) or activating the caspase 8 (Mitsiades et al., 2002). On the other hand, it has been demonstrated that a combination of pomalidomide and low-dose dexamethasone can activate T cell function by increasing the release of interferon γ (IFN-γ), TNF-α, interleukin-2 (IL-2) derived from the T cells of relapsed/refractory MM patients. In addition, the release of TNF-α, IFN-γ, perforin and granzyme from the NK cells, the expression of CD16, adhesion molecule CD11a on NK cell surface, and the antibody-dependent cell-mediated cytotoxicity effect (ADCC) of NK cells were all enhanced with this regimen (Sehgal et al., 2015). It has been demonstrated that 10 µM pomalidomide inhibits regulatory T cells (Tregs) of HDs in vitro based on downregulation of the Foxp3 gene's expression. Detailly, the proportion of CTLA-4\*FOXP3\* cells in PBMCs is decreased (Galustian et al., 2009; Bila et al., 2021). Moreover, Henry et al. (2013) have demonstrated that 10 µM pomalidomide significantly increases the antigen uptake of DCs. However, the immunomodulatory effect of pomalidomide on human DCs has not been deeply investigated and clarified. Therefore, we investigated the immunomodulatory effect of pomalidomide on moDCs derived from HDs and MM patients, focusing on the maturation of moDCs in this study.

#### Materials and methods

#### **Donors**

The study has gained approval from the Ethics Committee of the Sichuan Provincial People's Hospital. All the donors enrolled in this study have read and signed

the informed consents. This study included 15 HD donors (10 females and 5 males) and 11 MM patient donors (4 females and 7 males). All the donors should be 18–70 years old. MM patient donors should achieve partial response (PR) or complete response (CR) after treatment. The patient donors should not have immune-related diseases. In addition, the patient donors should not be undergoing chemotherapy at the time of peripheral blood donation.

#### Materials

Pomalidomide and human AB serum were purchased from Sigma, United States. RPMI 1,640 medium was purchased from Gibco, United States. GM-CSF and IL-4 were purchased from Novoprotein, China. Penicillin-streptomycin solution was purchased from Hyclone, United States. Human Lymphocyte separation medium (Ficoll) was purchased from GE Lifesciences, United States. 1×PBS and DMSO were purchased from Solarbio, China. FITC anti-human CD80 mAb, PE anti-human CD86 mAb, APC anti-human CD40 mAb and Pacific Blue anti-human HLA-DR mAb were all purchased from Biolegend, China.

## Acquisition of peripheral blood mononuclear cells

16 ml of peripheral blood was gained from HDs (n=15) and MM patients (n=11) after the donors read and signed the informed consents. PBMCs were isolated by density gradient centrifugation. Specifically, equal volume of  $1 \times PBS$  was used to dilute the peripheral blood. Then the diluted peripheral blood was slowly added to the top of Ficoll for 30 min of centrifugation ( $400 \times g$ ). PBMCs were collected and washed with  $1 \times PBS$  for 3 times.

#### Dendritic cell generation

PBMCs were evenly divided into two groups and cultured at  $5 \times 10^6$  cells/ml in RPMI 1,640 medium at  $37^{\circ}$ C in air containing 5% CO2. After 3 h of incubation, monocytes were isolated by adhesion, which were cultured in a medium consisting of 800 U/ml GM-CSF, 500 U/ml IL-4, RPMI 1,640 medium, 5% human serum, 100 U/ml penicillin and 0.1 mg/ml streptomycin for 7 days at  $37^{\circ}$ C in air containing 5% CO<sub>2</sub>.  $10~\mu$ M pomalidomide was given to one group while  $1 \times PBS$  was given to the other group as the control group. On the eighth day, moDCs were harvested. Moreover, the morphology of moDCs was observed by the inverted microscope.

#### Immunophenotyping by flow cytometry

The expression of DC maturation-related surface makers CD40, HLA-DR, CD80, and CD86 were analyzed by flow cytometry. Pomalidomide or 1  $\times$  PBS treated moDCs were resuspended in 100  $\mu$ l cold 1  $\times$  PBS, which was then coincubated with APC-labeled CD40 mAb, Pacific Blue-labeled HLA-DR mAb, FITC-labeled CD80 mAb and PE-labeled CD86 mAb for 30 min at 4°C. Then cells were washed with cold 1  $\times$  PBS twice. At last, the cells were resuspended in 200  $\mu$ l 1  $\times$  PBS for detection by flow cytometer.

The technique for generating moDCs in this study is a usually used and efficient technique, which can successfully generate moDCs from PBMCs (Büll et al., 2017; Sung, 2019). After the process for generating moDCs, there should be a big amount of moDCs in the harvested cells. CD80 and CD86 are typical surface markers of DCs, which are usually used for defining DCs (Xue et al., 2016; Liu et al., 2018). And we found that the CD80+ CD86+ cell population accounted for 74.0%–99.6% of the total cells (removal of adhered cells, cell debris and dead cells). Therefore, the CD80+ CD86+ cell population was regarded as moDCs in this study. Then, the expression of CD40 and HLA-DR on moDCs was analyzed. To be specific, the proportion of CD40+ moDCs and HLA-DR+ moDCs in total moDCs as well as the MFI of CD40 and HLA-DR on moDCs were analyzed respectively. Data was analyzed by FlowJo 10.4 software (Figure 1).

#### Cytokine assays by ELISA

The secretion of DC maturation/activity-related cytokines IL-12, TNF- $\alpha$  and MIP-1 $\alpha$  was analyzed by ELISA. Supernatant from the incubation system of pomalidomide or 1 × PBS treated moDCs was collected by centrifugation. The concentration of the cytokines IL-12, TNF- $\alpha$ , and MIP-1 $\alpha$  in the supernatant was detected by the SEA ELISA kit following the manufacturer's directions. Supernatant from the incubation system of 8 HD-moDCs and 11 MM patient-moDCs were evaluated. The other supernatant samples were not able to be evaluated as a result of the amount, contamination and experimental mistakes.

#### Statistical analysis

Statistical analysis was performed with SPSS 17.0 software. Graphs were generated by Graphpad Prism 8.3.0 software. The normality of data distribution was determined with the Kolmogorov-Smirnov normality test. Paired *t*-test was used to compare whether there were differences in the expression of cytokines secreted by moDCs and the expression of DC maturation-related surface makers on moDCs administrated with or without pomalidomide. Independent *t*-test was used to compare whether there were differences in moDCs between

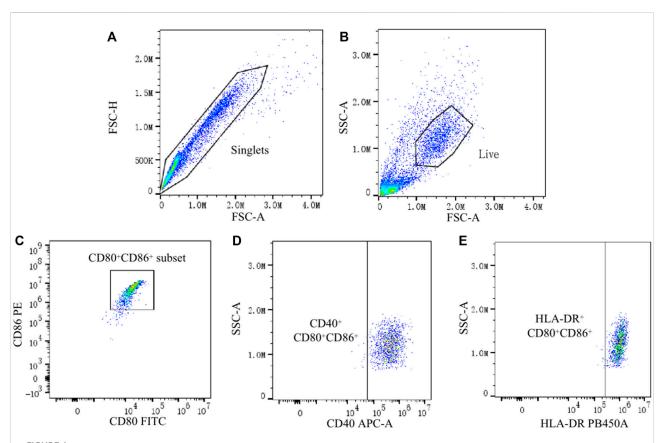


FIGURE 1
Analysis of CD40 and HLA-DR expression on moDCs by flow cytometry. (A) Single cells were gated by the Forward Scatter area (FSC-A) and Forward Scatter height (FSC-H). (B) Live cells from the single cells were further gated by the FSC-A and Side Scatter area (SSC-A). (C) CD80+ CD86+ cells (moDCs) were gated from live cells. (D,E) Finally, the expression of CD40 and HLA-DR on CD80+ CD86+ cells were analyzed.

the MM patient group and the HD group. Differences were considered to be significant if the p-value was<0.05 (\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001). All data was expressed as Mean  $\pm$  Standard Deviation (SD).

#### Results

#### The morphology of moDCs

On the eighth day, the morphology of moDCs was observed by the inverted microscope. As shown in Figure 2, the morphology of moDCs is large and irregular. There are apparent protrusions on the surface of moDCs.

## The comparison of MM patient-moDCs and HD-moDCs

We firstly compared the proportion of  $CD80^+$   $CD86^+$  cells in total cells between the MM patient group and the HD group. It was

found that the proportion of CD80 $^{+}$  CD86 $^{+}$  cells in total cells in the HD group was higher than that in the MM patient group, but the difference was not statistically significant (93.49%  $\pm$  6.44% vs. 77.04%  $\pm$  29.17%, p=0.094) (Figure 3).

### The effect of pomalidomide on HD-moDCs

In this study, the differences of HD-moDCs (n=15) between the pomalidomide group and the control group were analyzed. It was found that there was no significant difference in the proportion of CD80<sup>+</sup> CD86<sup>+</sup> cells in total cells between the pomalidomide group and the control group (95.42%  $\pm$  4.50% vs. 93.49%  $\pm$  6.44%, p=0.287) (Figure 4). However, the proportion of CD40<sup>+</sup> moDCs in total moDCs in the pomalidomide group was significantly higher than that in the control group (96.85%  $\pm$  3.08% vs. 93.83%  $\pm$  5.67%, p=0.008), and the MFI of CD40 expressed on moDCs in pomalidomide group was also significantly higher than that in the control group (6.70  $\times$  10<sup>5</sup>  $\pm$  2.63  $\times$  10<sup>5</sup> vs. 5.33  $\times$  10<sup>5</sup>  $\pm$  1.56  $\times$  10<sup>5</sup>, p=0.003) (Figure 5). The proportion of HLA-DR<sup>+</sup> moDCs in total moDCs in the pomalidomide group was

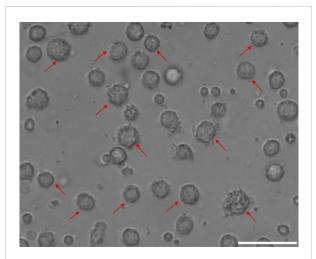
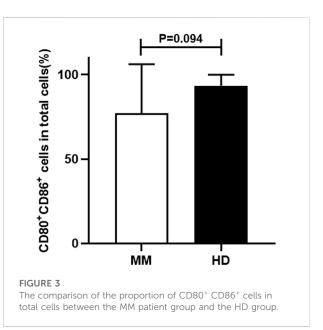


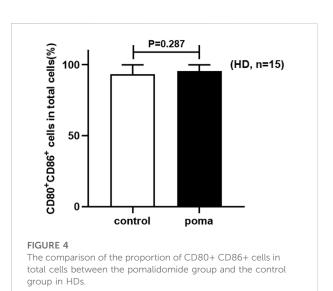
FIGURE 2 The morphology of moDCs. MoDCs are shown by the red arrow (100  $\mu$ m).

significantly higher than that in the control group (97.73%  $\pm$  1.56% vs. 93.36%  $\pm$  8.22%, p=0.032), and the MFI of HLA-DR expressed on moDCs in pomalidomide group was also significantly higher than that in the control group (7.49  $\times$  10<sup>5</sup>  $\pm$  2.32  $\times$  10<sup>5</sup> vs. 6.76  $\times$  10<sup>5</sup>  $\pm$  2.84  $\times$  10<sup>5</sup>, p=0.040) (Figure 5). In conclusion, pomalidomide significantly increases the expression of CD40 (costimulatory molecule) and HLA-DR (MHC-II molecular) on HD-moDCs. CD40 and HLA-DR are DC maturation-related surface markers. The results indicate that pomalidomide significantly enhances the maturation of moDCs derived from HDs.

## The effect of pomalidomide on MM patient-moDCs

In this study, the differences of MM patient-moDCs (n = 11)between the pomalidomide group and the control group were analyzed. It was found that the proportion of CD80+ CD86+ cells in total cells in the pomalidomide group was significantly higher than that in the control group (85.68%  $\pm$  29.17% vs. 77.04%  $\pm$  19.42%, p =0.039) (Figure 6). There was no significant difference in the proportion of CD40<sup>+</sup> moDCs in total moDCs between the pomalidomide group and the control group (97.4%  $\pm$  3.08% vs. 96.75%  $\pm$  4.18%, p = 0.443), but the MFI of CD40 expressed on moDCs was significantly higher in pomalidomide group than that in the control group  $(4.27 \times 10^5 \pm$  $1.90 \times 10^5$  vs.  $3.83 \times 10^5 \pm 1.91 \times 10^5$ , p = 0.047) (Figure 7). The proportion of HLA-DR+ moDCs in total moDCs in the pomalidomide group was significantly higher than that in the control group (97.65%  $\pm$ 3.87% vs. 92.4%  $\pm$  5.31%, p < 0.001), and the MFI of HLA-DR expressed on moDCs in pomalidomide group was also significantly higher than that in the control group  $(7.23 \times 10^5 \pm 3.06 \times 10^5 \text{ vs. } 5.64 \times 10^5 \text{ s. } 10^5 \text{ s. }$  $10^5 \pm 2.75 \times 10^5$ , p = 0.006) (Figure 7). Overall, pomalidomide

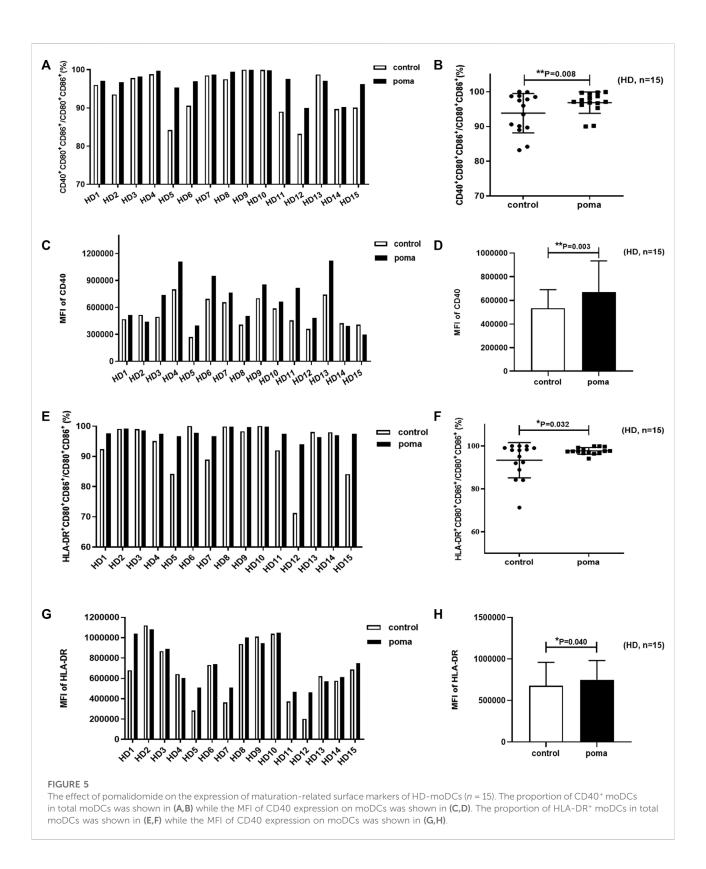




significantly increases the expression of CD40 and HLA-DR on MM patient-moDCs. CD40 and HLA-DR are DC maturation-related surface markers. The results suggest pomalidomide significantly enhances the maturation of moDCs derived from MM patients.

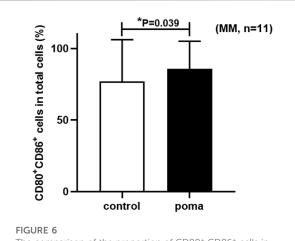
# Effect of pomalidomide on cytokines produced by HD-moDCs and MM patient-moDCs

MoDCs were treated with 10  $\mu M$  pomalidomide or without pomalidomide, and supernatant from the incubation system was



collected on the eighth day. The expression of IL-12, TNF- $\alpha$ , and MIP-1 $\alpha$  was analyzed by ELISA. Pomalidomide treated HD-moDCs (n=8) produced 192% IL-12 (9.42  $\pm$  4.31 vs. 4.90  $\pm$ 

1.61 pg/ml, p=0.020), 110% TNF-α (4.54 ± 0.28 vs. 4.11 ± 0.20 pg/ml, p=0.006) and 112% MIP-1α (14.21 ± 2.54 vs. 12.68 ± 1.53 pg/ml, p=0.055) of untreated moDCs. However, when



The comparison of the proportion of CD80 $^{\circ}$  CD86 $^{\circ}$  cells in total cells between the pomalidomide group and the control group in MM patients.

analyzing MM patient-moDCs (n=10), the expression of IL-12 (6.34  $\pm$  5.51 vs. 8.27  $\pm$  6.88 pg/ml, p=0.458), TNF- $\alpha$  (4.42  $\pm$  0.21 vs. 4.32  $\pm$  0.32 pg/ml, p=0.377) and MIP-1 $\alpha$  (14.53  $\pm$  2.76 vs. 13.76  $\pm$  2.48 pg/ml, p=0.248) from moDCs showed no significant difference between pomalidomide group and the control group (Figure 8).

#### Discussion

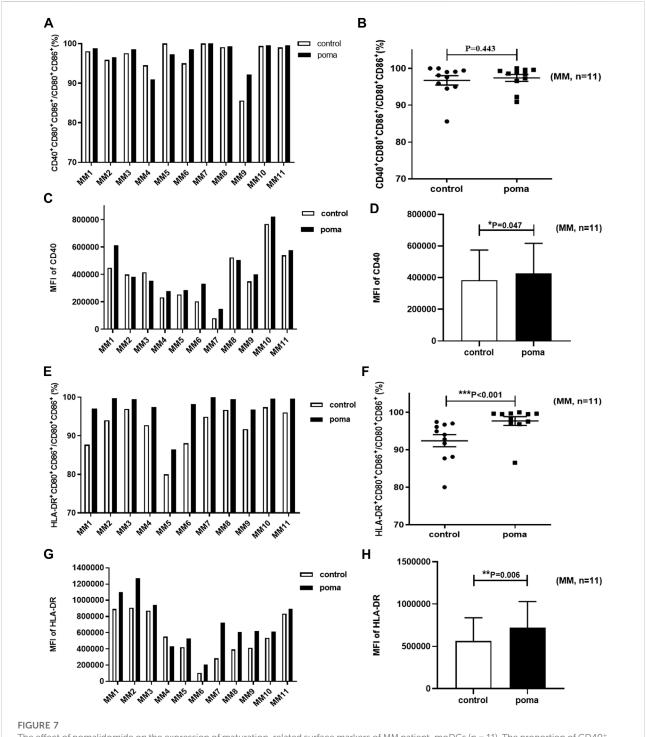
It is well known that not only the cancer cells but also the cancer microenvironment plays an essential role in the oncogenesis, progression, and relapse of cancer diseases (Kawano et al., 2017). The immunosuppressive mechanisms during cancer progression mainly include 1) Expansion of regulatory immune cells such as regulatory T cells, 2) Inhibition of immune effector cells such as effector T cells and NK cells, and 3) Dysfunction of APCs (Pinzon-Charry et al., 2005; Andersen, 2014; Vinay et al., 2015). It is well established that MM patients show significant immune deficiency. Importantly, the DCs in MM patients are dysfunctional (Shinde et al., 2018; Li and Wang, 2019; Tamura et al., 2019). Therefore, it is urgent to search for strategies which can improve the function of DCs in MM patients. In this study, we demonstrated that pomalidomide significantly increases the expression of DC maturation-related surface markers CD40 and HLA-DR on MM-patient moDCs. As pomalidomide significantly enhances the maturation of MM patient-moDCs, pomalidomide is an effective and potential strategy to reverse the DC dysfunction of MM patients.

In our study, we firstly compared the HD-moDCs and MM patient-moDCs. The proportion of CD80<sup>+</sup> CD86<sup>+</sup> cells in total harvested cells in the MM group was lower than that in the HD

group, indicating that fewer moDCs can be generated from MM patients than from HDs in vitro. Notably, when analyzing moDCs from MM patients, we further found that pomalidomide significantly enhanced the proportion of moDCs in total harvested cells. This result suggested that pomalidomide can increase the number of MM patientmoDCs cultured in vitro, which lays the foundation for applying DC-based immunotherapy strategies to MM patients. In addition, the CD40 expression on HD-moDCs was significantly higher than that on MM patient-moDCs, but the expression of HLA-DR as well as the secretion of IL-12, TNF- $\alpha$ and MIP-1a don't show differences between the MM patient group and the HD group (data is not shown). The MM patient donors enrolled in this study all achieved a favorable remission of PR or CR. It is possible that the immune status of these patients has improved with the remission. Thus, further investigations on moDCs from MM patients with different disease stages, such as newly diagnosed MM patients or relapsed MM patients, should be conducted for a comprehensive understanding of the differences between HD-moDCs and MM patient-moDCs.

We then analyzed whether common surface markers of DC maturation were affected by pomalidomide. Pomalidomide induced the significant increases in CD40 and HLA-DR expression on the HD-moDCs and MM patient-moDCs, suggesting pomalidomide can significantly enhance the maturation of moDCs derived from both HDs and MM patients.

Next, we also examined the effect of pomalidomide on the cytokine secretion from DCs to analyze the effect of pomalidomide on the activity/maturation of moDCs. Cytokines secreted by DCs such as interleukin-10 (IL-10), IL-12, interleukin-6 (IL-6), IFN-γ, TNF-α, monocyte chemotactic protein-1 (MCP-1), and MIP-1α can reflect the activity/maturation of DCs (Dudek et al., 2013; Henry et al., 2013; Choi et al., 2018; Gierlich et al., 2020). Among them, the cytokines IL-12, TNF-α, and MIP-1α are most commonly used in many studies, which were detected in this study. HDmoDCs with pomalidomide administration secrete significantly enhanced levels of IL-12 and TNF-α, which indicates that pomalidomide is able to enhance the maturation/activity of HD-moDCs. For those healthy people with high risk of cancer diseases, our results suggest that pomalidomide is potential to enhance the DC maturation/activity of these people, so as to prevent the occurrence of disease or the pre-clinical abnormalities from progression into disease. Moreover, MM patient-derived moDCs with pomalidomide administration secrete enhanced levels of TNF- $\alpha$  and MIP-1 $\alpha$ , however, the difference is not statistically significant. This may result from the limited sample size of MM patients. In the future, further research should be conducted with an enlarged sample size of MM patients to demonstrate the effect of pomalidomide on the cytokines secreted by MM patientmoDCs.



The effect of pomalidomide on the expression of maturation-related surface markers of MM patient-moDCs (*n* = 11). The proportion of CD40<sup>+</sup> moDCs in total moDCs was shown in (**A,B**) while the MFI of CD40 expression on moDCs was shown in (**C,D**). The proportion of HLA-DR<sup>+</sup> moDCs in total moDCs was shown in (**E,F**) while the MFI of HLA-DR expression on moDCs was shown in (**G,H**).

In conclusion, our study indicates that pomalidomide enhances the maturation of HD-moDCs and MM patient-moDCs. This mechanism possibly contributes to the total therapeutic efficacy of pomalidomide in MM patients. In addition, pomalidomide shows the potential as a DC adjuvant for application in DC-based therapeutic strategies, such as DC vaccine and DC cell therapy.

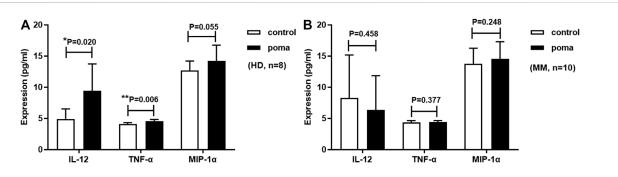


FIGURE 8
Pomalidomide affects moDC cytokine expression. (A) shows that the expression of IL-12 and TNF- $\alpha$  produced by pomalidomide treated HD-moDCs was significantly higher than untreated HD-moDCs (n = 8). (B) shows that the expression of IL-12, TNF- $\alpha$ , and MIP-1 $\alpha$  from MM patient-moDCs showed no significant difference between the pomalidomide group and the control group (n = 10).

#### Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by the Ethics Committee of the Sichuan Provincial People's Hospital. The patients/participants provided their written informed consent to participate in this study.

#### **Author contributions**

Conception and design of the experiments: LH, RX, XW, and JD; collection, analysis, and interpretation of the data: JD, XW, JX, ZY, XH, and WC; writing—original draft: XW and JD; writing—review and editing: LH and RX.

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#### Conflict of interest

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# TIGIT: A promising target to overcome the barrier of immunotherapy in hematological malignancies

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Immune evasion through up-regulating checkpoint inhibitory receptors on T cells plays an essential role in tumor initiation and progression. Therefore, immunotherapy, including immune checkpoint inhibitor targeting programmed cell death protein 1 (PD-1) and chimeric antigen receptor T cell (CAR-T) therapy, has become a promising strategy for hematological malignancies. T cell immunoreceptor with immunoglobulin and ITIM domain (TIGIT) is a novel checkpoint inhibitory receptor expressed on immune cells, including cytotoxic T cells, regulatory T cells, and NK cells. TIGIT participates in immune regulation via binding to its ligand CD155. Blockage of TIGIT has provided evidence of considerable efficacy in solid tumors in preclinical research and clinical trials, especially when combined with PD-1 inhibition. However, the mechanism and function of TIGIT in hematological malignancies have not been comprehensively studied. In this review, we focus on the role of TIGIT in hematological malignancies and discuss therapeutic strategies targeting TIGIT, which may provide a promising immunotherapy target for hematological malignancies.

#### KEYWORDS

TIGIT, immunotherapy, hematological malignancy, lymphoma, multiple myeloma, leukemia

#### Introduction

Hematological malignancy is a group of clonal malignant diseases of the hemopoietic system with highly invasive potential and heterogeneity. Despite those treatments, including chemotherapy and stem cell transplantation, improving survival, some patients still experience disease relapse without long-term survival, partly due to tumor evasion from immune recognition and killing by effector cells (1–3). In recent

years, immune checkpoint blockade (ICB) therapy targeting cytotoxic T lymphocyte-associated protein 4 (CTLA-4) or programmed cell death protein 1 (PD-1), and chimeric antigen receptor T cell (CAR-T) therapy utilizing genetic engineering to alter T cells to produce transmembrane proteins on the cell surface with an extracellular antibody fragment domain that recognizes tumor antigen, brings a new direction for cancer immunotherapy (4-6). Although anti-PD-1 monoclonal antibodies (mAbs) and CAR-T therapies have been actively applied in relapsed and refractory lymphoma, multiple myeloma (MM), and leukemia, which also achieved remarkable success in some cases, a part of patients still have no response to these therapies (7-10). Therefore, in-depth research on immune checkpoint molecules' interaction mechanisms and the discovery of novel target to overcome the barrier of immunotherapy are necessary. In addition, immune checkpoint inhibitor-related toxicity is another challenge. For example, Quagliariello reported that nivolumab and pembrolizumab would induce cardiotoxicity by increasing the inflammation of cardiomyocytes (11, 12). T cell immunoglobulin and ITIM domain (TIGIT), another inhibitory immune checkpoint molecule, has emerged as a potential target in cancer immunotherapy (13, 14). In this review, we focus on the immunomodulatory role and mechanism of TIGIT, discuss its potential as an immune target in hematological malignancies.

#### TIGIT structure and its ligands

TIGIT, also named as V-set and immunoglobulin domaincontaining protein 9 (VSIG9), V-set and transmembrane domaincontaining protein 3 (VSTM3) and Washington University

Abbreviations: ICB, immune checkpoint blockade; CTLA-4, cytotoxic T lymphocyte-associated protein 4; PD-1, programmed cell death protein 1; CAR-T, chimeric antigen receptor T cell; mAbs, monoclonal antibodies; MM, multiple myeloma; TIGIT, T cell immunoglobulin and ITIM domain; VSIG9, V-set and immunoglobulin domain-containing protein 9; VSTM3, V-set and transmembrane do-maincontaining protein 3; WUCAM, Washington University cell adhesion molecule; IgV, immunoglobulin variable; ITIM, immunoreceptor tyrosine-based inhibitory motif; ITT, immunoglobulin tail tyrosine; NK, natural killer; Tregs, regulatory T cells; PVR, polio virus receptors; DCs, dendritic cells; TCR, T cell receptor; IFNγ, interferon-γ, IL-10, interleukin-10; Fgl2, fibrinogen-like protein 2; Th1, T helper 1 cells; ERK, extracellular signal-regulated kinase; TNFα, tumor necrosis factor α; TILs, tumor infiltrating lymphocytes; FL, follicular lymphoma; CLL, chronic lymphocytic leukemia; IgHv, immunoglobulin heavy chain variable region; cHL, classic hodgkin lymphoma; AML, acute myeloid leukemia; allo-SCT, allogeneic stem cell transplantation; irAEs, immune-related adverse events; LAG-3, lymphocyte activation gene 3; TIM-3, T-cell immunoglobulin-3; HIF-1α, hypoxia-inducible factor 1-alpha.

cell adhesion molecule (WUCAM), is a co-inhibitory molecule belonging to the immunoglobulin superfamily that was first discovered in 2009 (15–17). It consists of an extracellular immunoglobulin variable (IgV) domain, a type I transmembrane domain and an intracellular domain with an immunoreceptor tyrosine-based inhibitory motif (ITIM) and an immunoglobulin tail tyrosine (ITT)-like motif (18). TIGIT is exclusively expressed on natural killer (NK) cells and T cells, including CD8+ T cells, CD4+ T cells, and regulatory T cells (Tregs) (19, 20).

The relationship between TIGIT, its ligands, and competitive receptors is complex. On the one hand, the immunoglobulin variable domain of TIGIT shares sequence homology with members of the polio virus receptors (PVR) family, including CD155 (also named as Necl-5 or PVR), CD112 (also named as Nectin-2 or PVRL2), CD113 (also named as Nectin-3 or PVRL3), and Nectin-4 (PVRL4) (21, 22). CD155 is a member of the immunoglobulin superfamily, mainly expressed on dendritic cells (DCs), macrophages, and lymphocytes. CD112 belongs to single-pass type-I membrane glycoproteins, which is expressed on DCs and monocytes. Interestingly, CD155 and CD112 are over-expressed on different cancer cells as reported recently (23-26). In addition, CD155 has a higher affinity than CD112 to TIGIT, which became the primary ligand for TIGIT (27). By interacting with its ligands, TIGIT participates in the regulation of cellular immune function. On the other hand, TIGIT shares these ligands with other receptors, including CD226 (DNAM-1) and CD96 (TACTILE). As the costimulatory receptor, CD226 competes with TIGIT for binding to CD155 in spite of its lower affinity (27). Furthermore, CD226 also competes with TIGIT and CD112R (PVRIG) for binding to CD112 (28, 29). Therefore, CD226 also plays an essential role in immune regulation (Figure 1).

# Function and mechanism of TIGIT in immune regulation

Through complex interaction with ligands, TIGIT family receptors transfer inhibitory signals to immune cells, contributing to innate and adaptive immunity regulation (30–32). On the one hand, TIGIT inhibits the activity of T cells intrinsically. Firstly, TIGIT binds to CD155 and transmits intracellular inhibitory signals, directly suppressing T cell receptor (TCR) expression and signaling. Engagement of TIGIT induces down-regulation of the TCR $\alpha$  chain and molecules that comprise the TCR complex, as well as reduction of TCR-induced p-ERK signaling and interferon- $\gamma$  (IFN $\gamma$ ) production in CD8+ T cells (33, 34). Secondly, TIGIT possesses a higher affinity of CD155 when competing with its costimulatory counterpart CD226, which impaires T cell function by either directly disrupting homodimerization of CD226 or decreasing expression of T-bet and production of IFN $\gamma$  (35, 36).

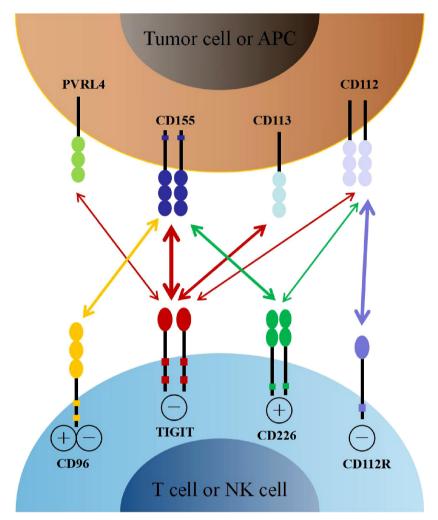
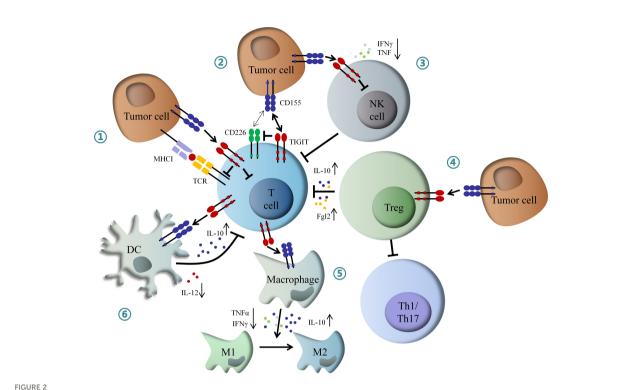


FIGURE 1
The interaction of TIGIT family receptors and ligands. TIGIT, CD226, CD96 and CD112R are expressed on T cells and NK cells. The ligands CD155, CD113, CD112 and PVRL4 are expressed on tumor cells or APCs. TIGIT delivers inhibitory signals by binding to CD155, CD113, CD112 and PVRL4, with highest affinity for CD155. CD226 and CD96 compete with TIGIT for binding to CD155, but with lower affinity than TIGIT. CD226 delivers activating signals. However, whether CD96 triggers inhibitory or activating signals remains to be determined. CD112R and CD226 also competitively binding to CD112, with higher affinity with CD112R. APCs, antigen presenting cells.

On the other hand, TIGIT can exogenously enhance the immunosuppressive functions of Treg cells. TIGIT is enriched in Treg cells, which is associated with the suppressive capacity of effector T cells. Conversely, CD226 inhibits the expansion of Treg cells and promotes the secretion of IFN $\gamma$  and other effector cytokines (37, 38). TIGIT expression on Treg cells also suppresses the proliferation of effector T cells *via* increased production of interleukin-10 (IL-10) and fibrinogen-like protein 2 (Fgl2), as well as the response of pro-inflammatory T helper 1 (Th1) and Th17 cells, but not Th2 cells (39). Besides, TIGIT can suppress T cell activation through DCs and macrophage-mediated cytokines disturbance. TIGIT interacts with CD155 expressed on

DCs, and induces phosphorylation of CD155 through extracellular signal-regulated kinase (ERK) signaling, consequently increasing the production of anti-inflammatory cytokine IL-10 and decreasing pro-inflammatory cytokine IL-12, which inhibits T cell function (40). TIGIT also enhances the secretion of IL-10 and reduces the secretion of IFN $\gamma$  and tumor necrosis factor  $\alpha$  (TNF $\alpha$ ) *via* c-Maf nuclear translocation, which switches macrophages from M1 to anti-inflammatory M2 phenotype (41). In addition, TIGIT also directly induces exhaustion of tumor-infiltrating NK cells with lower expression of IFN $\gamma$  and TNF or indirectly contributes to exhaustion of CD8+T cells, impairing anti-tumor immune response (42) (Figure 2).



Mechanism of inhibitory role of TIGIT in immunoregulation. (1) Interaction of TIGIT with CD155 transmits intracellular inhibitory signals, which directly suppressed TCR signal and effector T cell function. (2) TIGIT inhibits CD226-induced T cell activation by disrupting CD226 homodimerization and decreasing IFNγ production. (3) TIGIT directly induces NK cell exhaustion, contributing to inactivation of CD8+ T cell. (4) TIGIT enhances Tregs mediated dysfunction of effector T cell by increased IL-10 and Fgl2, as well as inhibition of Th1 and Th17 cells. (5) TIGIT promotes macrophage switching from pro-inflammatory M1 to anti-inflammatory M2 phenotype through increased IL-10 and decreased IFNγ and TNFα. (6) TIGIT inhibits T cell function by DCs-mediated abnormal secretion of cytokines. IFNγ, interferon-γ, IL-10, interleukin-10; Fgl2, fibrinogen-like protein 2; Th1, T helper 1 cell; Th17, T helper 17 cell; TNFα, tumor necrosis factor α; DCs, dendritic cells; NK, natural killer.

# TIGIT expression and prognostic role in hematological malignancies

Increasing evidence has demonstrated that TIGIT was highly expressed on tumor-infiltrating lymphocytes (TILs) in different hematological malignancies, resulting in tumor progression and poor outcomes. Josefsson reported that TIGHT expression was significantly higher in T cells of follicular lymphoma (FL) than in healthy controls. Besides, up-regulation of TIGIT was associated with the advanced disease stage (34, 43). Yang also reported that TIGIT expression was increased on TILs in FL, and TIGIT+ T cells predicted worse treatment response and inferior survival (44). Likewise, CD4+ TIGIT+ T cells were increased in chronic lymphocytic leukemia (CLL) patients, which was also correlated with unmutated immunoglobulin heavy chain variable region (IgHv) and advanced stage (45).

Furthermore, TIGIT was similarly up-regulated in classic Hodgkin lymphoma (cHL) and Sezary syndrome (46, 47). In acute myeloid leukemia (AML) patients, elevated TIGIT expression on CD8+ T cells was observed. High TIGIT

expression was associated with primary refractory disease and relapse after allogeneic stem cell transplantation (allo-SCT) with poorer survival (48, 49). TIGIT was also reported to be increased on  $\gamma\delta$  T cells and NK cells, which became an independent risk factor for prognosis (50–52). In addition, increasing frequency of TIGIT on CD8+ T cells was reported in mice models of newly diagnosed and relapsed multiple myeloma, which positively correlated with tumor burden (53, 54). These studies indicated a negative role of TIGIT in anti-tumor immunity. Therefore, targeting TIGIT may be an effective approach for ICB therapy in hematological malignancies.

# Immunotherapy targeting TIGIT in hematological malignancies

To date, immunotherapy targeting TIGIT has shown significant anti-tumor effects in several pieces of research. Catakovic reported that blockage of TIGIT by recombinant TIGIT-Fc would reduce CLL viability *in vitro* due to

decreasing production of pro-survival cytokines IL-10 (45). In AML, TIGIT expression inhibited cytokine production and induced apoptosis of CD8+ T cells. Knockdown of TIGIT by siRNA could restore T cell function via decreasing susceptibility to apoptosis, simultaneously increasing production of TNF $\alpha$  and IFN $\gamma$ . Besides, blockage of TIGIT significantly increased IFN $\gamma$  production and NK cell degranulation, contributing to NK cells mediated anti-leukemia effects (52, 55).

Similarly, high TIGIT expression promoted T cells exhaustion, leading to myeloma progression. Conversely, the anti-TIGIT treatment prevented T cells exhaustion, decreased growth rate of tumor cells, and prolonged survival of MM mice (53). Guillerey also reported that either TIGIT deficiency or blockage by mAbs restored the immune function of anti-MM CD8+ T cells and improved survival *in vivo* (54).

In addition, dual blockade of TIGIT and PD-1 showed potential synergistic immune killing effects. On the one hand, Wang observed a higher frequency of TIGIT and PD-1 dual expression in AML patients, which was associated with a higher frequency of FLT3-ITD mutation and a lower remission rate (56). Studies showed that 68-84% of T cells had co-expression of TIGIT and PD-1 in hodgkin lymphoma (HL) (46, 57). A high frequency of TIGIT and PD-1 dual expression was also observed in CLL and FL (34, 58). On the other hand, Zhang reported that blockage of TIGIT alone only up-regulated TNFα in TIGIT+

CD4+ T cells and IFN $\gamma$ , TNF $\alpha$  in TIGIT+ CD8+ T cells. However, combined inhibition of TIGIT, PD-1, and Tim-3 significantly up-regulated IL-2, IFN $\gamma$ , and TNF $\alpha$  in both CD4+ and CD8+ T cells, which may enhance anti-leukemia immune responses (59). Based on the remarkable efficacy of anti-TIGIT mAbs in solid tumors and potential immune-killing effects mentioned above in preclinical research, human anti-TIGIT mAbs are being tested in phase 1/2 clinical trials either as a monotherapy or, in most studies, in combination with anti-PD-1/PD-L1 antibodies or chemotherapies for the treatment of malignant lymphoma and multiple myeloma (Table 1). In summary, these researches supported the progress of immunotherapy targeting the TIGIT axis in hematological malignancies.

#### Toxicities of TIGIT blockage

Even though therapeutic strategy targeting TIGIT has provided evidence of encouraging efficacy in hematological malignancies, the immune-related adverse events (irAEs) mediated by over-activated T cells may result in multiple organ dysfunction and poor prognosis. Phase 1 study of the anti-TIGIT antibody vibostolimab reported that two patients suffered irAEs, including one adrenal insufficiency and one

TABLE 1 Ongoing clinical trials targeting TIGIT in hematological malignancies.

NCT number	Agent	Treatment	Tumor type	Phase
NCT05315713	Tiragolumab	Combined with mosunetuzumab ± atezolizumab (anti-PD-L1 mAb)	r/r-DLBCL r/r-FL	Phase 1/2
NCT04045028	Tiragolumab	Monotherapy Combined with rituximab Combined with daratumumab ± atezolizumab	r/r-B-NHL r/r-MM	Phase 1
NCT05267054	Ociperlimab (BGB A1217)	Combined with rituximab Combined with tislelizumab (anti-PD-1 mAb)	r/r-DLBCL	Phase 1/2
NCT04150965	BMS-986207	Combined with pomalidomide and dexamethasone	r/r-MM	Phase 1/2
NCT05005442	Vibostolimab (MK7684A)	Combined with pembrolizumab (anti-PD-1 mAb)	r/r-HL r/r-B-NHL r/r-MM	Phase 2
NCT04354246	COM902	Monotherapy Combined with COM701 (anti-PVRIG mAb)	MM	Phase 1
NCT04254107	SEA-TGT	Monotherapy Combined with sasanlimab (anti-PD-1 mAb)	cHL DLBCL PTCL-NOS	Phase 1
NCT04772989	AB308	Combined with zimberelimab (anti-PD-1 mAb)	DLBCL MM	Phase 1
NCT05289492	EOS-448	Monotherapy Combined with iberdomide ± dexamethasone	r/r-MM	Phase 1/2

r/r-DLBCL, relapsed or refractory diffuse large B cell lymphoma; r/r-FL, relapsed or refractory follicular lymphoma; r/r-B-NHL, relapsed or refractory B cell non-hodgkin's lymphoma; r/r-MM, relapsed or refractory multiple myeloma; r/r-HL, relapsed or refractory hodgkin's lymphoma; PTC-NOS, peripheral T-cell lymphoma, not otherwise specified; cHL, classical hodgkin's lymphoma.

severe skin reaction (60). Another phase 1 study of anti-TIGIT antibody ociperlimab in combination with anti-PD-1 antibody tislelizumab in advanced solid tumors showed that 15 of 26 patients suffered irAEs, including three severe irAEs (grade≥3) (61). CITYSCAPE trial also reported that 69% of patients experienced irAEs after treated with anti-TIGIT antibody tiragolumab and anti-PD-L1 antibody atezolizumab, in which skin rash was the most common, followed by pancreatitis, hypothyroidism, colitis and diabetes mellitus (62). Therefore, clinicians should pay more attention to the immune toxicity of anti-TIGIT therapy.

#### Conclusion

The immune checkpoint molecule TIGIT plays an inhibitory role in anti-tumor immunity by inactivating immune effector cells. Up-regulation of TIGIT has been reported in various hematological malignancies, which predicts poor outcomes. Preclinical research has demonstrated that blocking TIGIT alone or combined with PD-1 improves anti-tumor immune responses. The clinical evidence of its efficacy in ongoing clinical trials, especially synergized with other immune checkpoint inhibitors, for example PD-1, lymphocyte activation gene 3 (LAG-3) and T-cell immunoglobulin-3 (TIM-3), is eagerly awaited. Furthermore, simultanously blockade of TIGIT and hypoxia-inducible factor 1-alpha (HIF-1α) may also become a potential treatment strategy (63). In the future, a comprehensive understanding of the intricate immunoregulatory network among TIGIT family members and other immune checkpoint molecules may provide more effective options for patients with hematological malignancies.

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JZ contributed to the conception of this review, SJ and YZ were responsible for screening literatures and drafting the manuscript, FZ, XC, and JS edited tables and figures. All authors revised the manuscript and JZ gave the final approval of the manuscript.

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#### Conflict of interest

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# Chidamide-based 3-drug combination regimen reverses molecular relapse post transplantation in AML1-ETO-positive acute myeloid leukemia

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**Objective:** We aimed to explore a new method to reverse early relapse in patients with AML1-ETO-positive acute myeloid cell transplantation.

**Methods**: A chidamide-based 3-drug combination regimen was used in our center to treat patients with AML1-ETO-positive AML post transplantation but negative flow cytometry results. A retrospective analysis was performed of the survival rate and possible influencing factors of patients with relapse treated with this regimen in our center from January 2018 to January 2022.

Results: The overall response rate was 95.8% (23/24), and the median number of treatment courses was 4 (range, 3-12 courses). The total molecular complete response (MCR) was 79.1% (19/24) after all treatments, and the molecular complete response was 37.5% (9/24) after one cycle of treatment but reached 58.3% (14/24) after four cycles; overall, the proportion of MCR increased gradually with the increase in treatment cycles. The projected 5-year overall survival rate was 73.9%. The projected 5-year leukemia-free survival rate was 64.8%, and the projected 1-year cumulative relapse rate was 35.5%. The incidence of grade II-IV graft-versus-host diseases (GVHD) was 29.2% (7/24), and that of grade III-IV GVHD was 20.8% (5/24), which could be effectively controlled by glucocorticoid therapy combined with calcineurin inhibitors The total incidence of chronic GVHD was 29.2% (7/24), and all cases were localized chronic GVHD. The total infection rate was 33.3% (8/24), mainly involving bacterial and fungal infections, and the incidence of life-threatening infections was 4.17% (1/24). The treatmentrelated mortality rate was 0%; and the total mortality rate was 20.8% (5/24). Nausea and vomiting, thrombocytopenia, and neutropenia were common adverse reactions, all of which were Common Terminology Criteria for Adverse Events grade 2-3 events and reversible after drug withdrawal. In terms of immunity, Th1 cell counts gradually increased, Th17 cell counts gradually decreased, and the Th1/ Th17 ratio gradually increased after treatment. The CD8+ T lymphocyte count increased gradually, while the CD4<sup>+</sup> T lymphocyte count did not change significantly.

**Conclusion:** Our chidamide-based 3-drug combination regimen led to a high remission rate and tolerable adverse reactions in patients with AML1-

ETO-positive post-transplant relapse, and most patients can achieve long-term survival with this regimen.

KEYWORDS

chidamide, 3-drug combination, AML1-ETO, post-transplantation, relapse

#### 1 Introduction

AML1-ETO is determined by t (8; 21) (q22; Q22) chromosomal translocation of tumor proteins, which are seen in 1%-5% of patients with acute myeloid leukemia, most often in younger patients. According to ELN stratification, t (8; 21) (q22; Q22) cases may be classified into the good prognosis group. Studies in China have shown that the HAA regimen can increase the rate of early remission in induction therapy among AML1-ETO-positive AML patients, but about 40% of patients relapse within 2 years (Zhu et al., 2016). A number of real-world studies in China have confirmed that patients with continuous positive AML1-ETO have a poor prognosis and low long-term survival rate, and only allogeneic hematopoietic stem cell transplantation (allo-HSCT) can improve the long-term survival of these patients (Zhang et al., 2014; Ren et al., 2019; Hu et al., 2020). However, in clinical practice, post-transplant relapse is still the main factor affecting the long-term survival of patients, and the survival rate after relapse decreases significantly (Yoshimoto et al., 2021). At present, there is no targeted treatment for post-transplant relapse for this group of patients, but common post-transplant relapse treatment strategies are still being adopted, such as donor lymphocyte infusion (DLI), traditional chemotherapy, targeted drug therapy and secondary transplantation. In recent years, immunotherapy for acute lymphoblastic leukemia has made rapid progress and achieved remarkable efficacy, but it is still difficult to obtain satisfactory treatment efficacy in AML patients. Although secondary transplantation has a better response rate, it also has more complications and its long-term survival benefit is insignificant.

Multiple studies have confirmed that, for these patients, the time when the AML1-ETO status becomes positive again post transplantation is the best time for pre-emptive treatment, which can improve the long-term survival of patients (Elmaagacli et al., 1997; Wang et al., 2014; Qin et al., 2017). To improve patient tolerance of treatment, possible adverse reactions must be reduced. Our center uses a 3-drug combination regimen based on chidamide (chidamide, decitabine and interferon (IFN)- $\alpha$ 2b) for AML1-ETO patients with early post-transplant relapse. In this study, we sought to explore the safety and efficacy of the combined regimen for AML1-ETO patients with molecular relapse post transplantation.

#### 2 Methods

#### 2.1 Population

In this single-center, single-arm, non-randomized retrospective study, a total of 24 patients with AML1-ETO-positive AML were enrolled, including 10 men and 14 women; of these, three patients had a consistently positive AML1-ETO status before transplantation. All patients received a modified conditioning regimen containing Flu/BU/

CY/ATG-F and underwent bone marrow and peripheral blood or peripheral HSCT at our center between January 2018 and January 2022, followed by a routine graft-versus-host disease (GVHD) prophylaxis regimen (cyclosporine (CSA) and mycophenolate mofetil (MMF)). The median follow-up time was 25 (6–60) months. The basic information about the enrolled patients is shown in Table 1.

#### 2.2 Transplantation

See our previous article for details (Xi et al., 2021).

#### 2.3 Regimen

All patients received induction therapy with a 3-drug combination regimen based on chidamide, as follows: 1) 10 mg/d of oral chidamide once daily (Monday to Saturday); 2) 10 mg of subcutaneously injected decitabine twice weekly (Monday and Tuesday); and 3) 20  $\mu$ g of

TABLE 1 Patients'clinical characteristics.

Characteristic	Number	Percent
Gender		
Female	14	58.3
Male	10	41.7
Type of disease		
AML	20	83.3
Secondary AML	2	8.3
MDS transfer to AML	2	8.3
Molecular genetic changes		
KIT	6	25.0
FLT3-ITD	3	12.5
TET2	2	8.33
RUNX1	2	8.33
ASXL1	2	8.33
Without changes	10	41.7
Status before transplantation	on	
CR1	20	83.3
CR2	4	16.7
MRD-positive	3	12.5
Type of transplantation		
Sibling-matched	6	25.0
Haploidentical	16	66.7
Unrelated	2	8.33
Achieved MCR or not after	treatment?	
Yes	19	79.2
No	5	20.8

subcutaneously administered IFN- $\alpha$ 2b every other day (Tuesday, Thursday, and Saturday). The treatment cycle was 4 weeks, and the treatment plans contained at least three cycles. The detection of measurable residual disease (MRD) including molecular and flow cytometry results was performed after treatment. Subjects whose MRD result was complete remission (CR) or partial remission (PR) entered the consolidation treatment period and continued to complete two treatment cycles for consolidation; subjects who experienced disease progression could choose whether to continue to complete the study.

#### 2.4 Clinical definition

Efficacy evaluation was conducted for each treatment cycle, including bone marrow cell morphology, bone marrow cell MRD (flow cytometry) result, and bone marrow cell molecular MRD (quantitative polymerase chain reaction, qPCR) result (including AML1-ETO gene and other molecular genetic abnormalities). All experiments were performed by Kangsheng Global Medical Laboratory in accordance with international standard testing procedures.

The efficacy evaluation criteria were as follows: 1) the MRD result turned negative within three treatment cycles, indicating complete remission (MCR); 2) after three treatment cycles, the MRD result improved compared to before treatment but did not turn negative, indicating partial remission (MPR); 3) there was no change in MRD between before treatment and after three cycles of treatment, suggesting the disease was stable (MSD); and 4) the MRD result worsened or even progressed to hematological relapse after the completion of three treatment cycles, indicating disease progression (MPD), which required withdrawal from this clinical study.

#### 2.5 Ethics approval

This protocol was re-registered in the Chinese Clinical Research Registry (registration no. ChiCTR2000032330). This study was approved by the Ethics Committee of Sichuan Provincial People's Hospital, Affiliated Hospital of UESTC (ethics batch no. 2015 Kelun (Vaissiere et al., 2008)). All patients or their guardians signed written informed consent forms to participate in the study in accordance with the Declaration of Helsinki.

# 2.5 Detection of immune cells by flow cytometry

This study focused on changes in immune indexes in patients between before and after treatment. Protocol immunophenotypic analysis was performed for all relapsed patients at baseline time points of 0, 1, 2, and 3 months after the start of the protocol and 3 months after discontinuation. CTL cells were defined as CD3+CD4-CD8+ cells, natural killer (NK) cells were defined as CD3-CD56+ cells, T helper (Th) cells were defined as CD3+CD4+CD8- cells, B-cells were defined as CD3+CD4+CD8- cells, Th2 cells were defined as CD3+CD4+CD8-INFγ+ cells, Th2 cells were defined as CD3+CD4+CD8-IL17+ cells, and Treg cells were defined as CD3+CD4+CD8-IL17+ cells, and Treg cells were defined as CD3+CD4+CD8-IL17+ cells, and Treg cells were defined as CD3+CD4+CD25+Foxp3+ cells.

#### 2.6 Data analysis and statistics

GraphPad Prism version 5.0 (GraphPad Software, San Diego, CA, United States) was used for survival analysis and mapping. A t-test was used for statistical analysis of measurement data between the two groups, and the chi-squared test was used for statistical analysis of classification data. The cumulative incidence of relapse (CIR), leukemia-free survival (LFS), and overall survival (OS) were measured from the time of relapse post-transplantation by the Kaplan-Meier method and Greenwood's formula. The log-rank test was used for group comparison. SPSS 13.0 (IBM Corporation, Armonk, NY, United States) was used for these data. p < 0.05 was considered to be statistically significant.

#### **3** Results

#### 3.1 Basic information of patients

A total of 24 patients were enrolled from January 2018 to January 2022. There were 24 AML1-ETO-positive AML patients, including 10 men and 14 women, and three of these patients were in a persistent positive AML1-ETO state before transplantation.

Only AML1-ETO gene were detected in 10 patients, and AML1-ETO accompanied by other molecular genetic abnormalities were detected in 14 patients. The median age of patients in this cohort was 29 years (range, 6–59 years). See Table 1 for details.

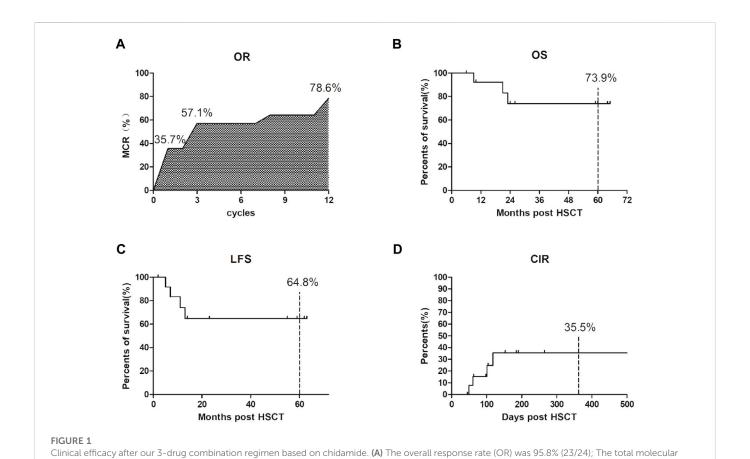
#### 3.2 Clinical efficacy

In terms of efficacy, AML1-ETO decreased or turned negative in 23 of 24 patients after treatment. The overall response rate was 95.8% (23/24), and the median number of treatment courses was 4 (range, 3–12 courses). The total molecular complete response (MCR) was 79.1% (19/24); more specifically, it was 37.5% (9/24) after one course of treatment but reached 58.3% (14/24) after four courses, suggesting that the proportion of MCR gradually increases with the increase in treatment courses (see Figure 1A and Table 2). The projected 5-year overall survival rate was 73.9% (see Figure 1B). Patients with hematologic relapse died within 6 months, but those with molecular relapse could be managed with continuous treatment. The estimated 5-year leukemia-free survival rate was 64.8% (see Figure 1C), and the estimated 1-year cumulative relapse rate was 35.5% (see Figure 1D).

#### 3.3 Adverse reactions

Among the 24 patients, seven developed varying degrees of GVHD (see Figure 2A), mainly presenting as skin rejection and liver rejection. The overall incidence of GVHD was 29.2% (7/24), among which the incidence of grade III–IV GVHD was 20.8% (5/24), and cases could be effectively controlled by glucocorticoid therapy combined with calcination inhibitors. The total incidence of chronic GVHD was 29.2% (7/24), and all cases were localized chronic GVHD. The projected 5-year GRFS is 40.4% (see Figure 2B).

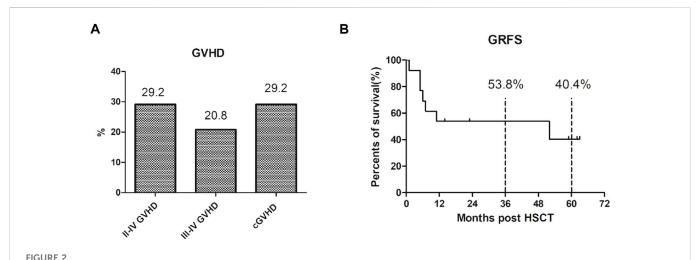
Eight patients developed different degrees of pulmonary infection, with an infection rate of 33.3% (8/24). The main pathogens were



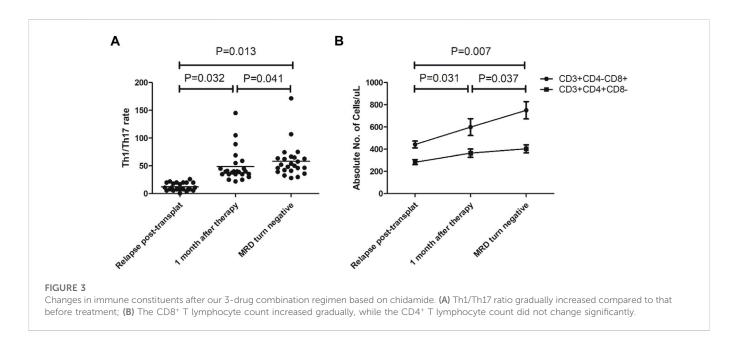
complete response (MCR) was 79.1% (19/24); the proportion of MCR gradually increases with the increase in treatment courses. (B) The projected 5-year overall survival (OS) rate was 73.9%; (C) The estimated 5-year leukemia-free survival (LFS) rate was 64.8%; (D) The estimated 1-year cumulative relapse rate (CIR) was 35.5%.

TABLE 2 Dynamics of AM LI-ETO transcripts post therapy in AML with molecular relapse post transplantation.

Months post this regimen	Number of patients evaluated (%)	Median AML1-ETO transcri • levels (range)	Patients with negative AML1- ET0 (%)
1	24 (100)	0.42% (0%–5.81%)	9 (37.5)
2	24 (100)	0.11% (0%–2.8%)	9 (37.5)
3	24 (100)	0.031% (0%-3.1%)	9 (37.5)
4	24 (100)	0% (0%–8.1%)	14 (58.3)
5	24 (100)	0% (0-3.1%)	14 (58.3)
6	24 (100)	0% (0%–11.2%)	14 (58.3)
7	22 (92.6)	0% (0%–39.3%)	14 (63.6)
8	22 (92.6)	0% (0%–1.1%)	15 (68.2)
9	22 (92.6)	0% (0%-0.25%)	15 (68.2)
10	22 (92.6)	0% (0%-4.3%)	15 (68.2)
11	22 (92.6)	0% (0%–8.9%)	15 (68.2)
12	20 (83.3)	0% (0%–65.3%)	19 (95.0)
18	20 (83.3)	0% (0%-54.6%)	19 (95.0)
24	19 (83.3)	0% (0.0%)	19 (100)
30	19 (83.3)	0% (0%-0%)	19 (100)
36	19 (83.3)	0% (0%-0%)	19 (100)



The GVHD and GRFS after our 3-drug combination regimen based on chidamide. (A) The overall incidence of III–IV GVHD was 29.2% (7/24), III–IV GVHD was 20.8% (5/24), and the total incidence of chronic GVHD was 29.2% (7/24), which were localized chronic GVHD. (B) The projected 3-year GRFS is 53.8% and 5-year GRFS is 40.4%.



bacteria and fungi. One patient developed a serious life-threatening pneumocystis *pneumoniae* infection (4.17%, 1/24), which improved after active treatment and respiratory support. There were no treatment-related deaths in the 24 patients, and the treatment-related mortality rate was 0%. The overall mortality rate was 20.8% (5/24). Nausea and vomiting, elevated aminotransferase levels, thrombocytopenia, and neutropenia are common adverse reactions, all of which were Common Terminology Criteria for Adverse Events grade 2–3 and could be reversed after drug withdrawal or combined hepatoprotective therapy.

#### 3.4 Changes in immune constituents

The cellular immune constituents of patients were dynamically monitored before and after treatment. The results showed Th1 cell counts gradually increased, Th17 cell counts gradually decreased, and the Th1/Th17 ratio gradually increased compared to that before treatment (see Figure 3A). The CD8 $^{+}$  T lymphocyte count increased gradually, while the CD4 $^{+}$  T lymphocyte count did not change significantly (see Figure 3B).

#### 4 Discussion

Currently, the commonly used treatment options for post-transplant relapse include secondary allo-HSCT (Choi et al., 2021; Yalniz et al., 2021), donor lymphocyte infusion (Zhao et al., 2022), traditional chemotherapy or targeted drug therapy (Yoshimoto et al., 2021), and chimeric antigen receptor T-cell (CAR-T) and cellular immunotherapy (Cui et al., 2021). There is still no safe and effective treatment for post-transplant relapse in AML1-ETO patients, and

secondary transplantation has been reported to have the highest remission rate. Choi et al. (2021) reported that 80 patients with acute leukemia who had relapsed post allo-HSCT (HSCT1) were treated again with allo-HSCT (HSCT2). Patients with genetic testing results included 54 AML patients, including 44 patients at low or moderate risk (the number of patients with AML1-ETO was not given). The results showed that the median overall survival and event-free survival were 10.3 and 6.1 months after HSCT2, respectively, and acute GVHD cases numbered as high as 28 (35.0%), while grade 3-4 acute GVHD cases totaled as high as 11 (13.8%). While chronic GVHD was found in 27 patients, generalized chronic GVHD was found in 17 patients. Moreover, severe liver veno-occlusive disease (VOD) was found in seven patients (8.8%). At the same time, studies have shown that patients who relapsed less than 6 months after HSCT1 or who failed to achieve a CR/CR with incomplete CR (CRi) result after HSCT2 could not benefit from HSCT2. Acute and chronic GVHD cases associated with HSCT2 increase significantly, especially for patients with relapse of HSCT1 among 6 months. This data suggests it is difficult to benefit from HSCT2, whereas HSCT2 will greatly increase the economic burden of patients. Zhao et al. (2022) enrolled 26 patients with relapsed AML after allo-HSCT, including 18 patients in the medium-risk group, who were treated with venetoclax, azacytidine, and DLI. CR/ CRi and PR rates were 26.9% and 34.6% post treatment, respectively. The median event-free survival and overall survival were 120 days and 284.5 days, respectively; however, six patients (23.1%) developed severe GVHD. With the progress of medical science, new treatments are also emerging, such as CD38-targeted CAR-T therapy (Cui et al., 2021). Although this new immunotherapy strategy achieved a high response rate early in treatment, its cumulative relapse rate at 6 months was up to 50%, and its median overall survival and leukemia-free survival times were 7.9 and 6.4 months, with a higher risk of cytokine release synthesis (CRS). In addition, this study was a small sample study with selection bias in enrolled patients, so it is still worth exploring whether this approach can be used as the optimal treatment strategy for patients with posttransplant relapse. In conclusion, for AML1-ETO positive AML patients post transplantion, the existing treatments have poor efficacy and many adverse reactions, including especially III-IV GVHD. It is extremely urgent to explore a safe and effective regimen for these post-transplant relapse patients.

Epigenetic modifications, such as DNA methylation and histone and non-histone acetylation, are important regulators of all aspects of T-cell life and are involved in T-cell development, differentiation, and activation. In recent years, studies have found that epigenetic regulation disorders are closely related to the pathogenesis of AML, in that > 70% of AML patients have DNA methylation-related gene mutations or histone modification mutations, and demethylation drugs can effectively reverse such abnormal changes (Mehdipour et al., 2015). Reduced histone acetylation mediated by histone deacetylase (HDAC) is another common epigenetic anomaly, and targeted inhibition of HDAC reverses dysacetylation. In patients with AML1-ETO, ETO replaces the c-terminal regulatory regions of activation and inhibition of wild-type AML1 in its encoded protein. ETO binds to co-inhibitory factors and recruits HDAC1, 2, and three to form co-inhibitory complexes, thus silencing target genes and preventing hematopoietic differentiation and transformation. It also interacts with secondary mutations, including C-KIT, FLT3, and RAS, ultimately leading to the occurrence or progression of AML1-ETO-positive leukemia (Zhang et al., 2021). The chidamide-based 3-drug combination regimen adopted in this study is based on the theory of correcting epigenetic disorders. As a commonly used HDAC inhibitor (HDACi), chidamide can selectively inhibit HDAC1, 2, 3, and 10, and it is widely used in T-cell lymphoma, advanced breast cancer, and other diseases. Decitabine is a commonly used clinical demethylation drug, which is widely used in myelodysplastic syndrome, acute myeloid leukemia, and refractory immune thrombocytopenia. Basic studies have shown that HDACis interact with DNA methylation, and HDACis help remove methyl-CPG-binding proteins (MePC2) from methylated cytosine. HDACi therapy also allows histone acetyltransferases to re-acetylate histones at gene promoters, and highly acetylated histones can recruit DNA demethylases to further protect DNA from methylation (Vaissiere et al., 2008). For AML1-ETO, HDACi degrades this fusion protein by upregulating E2 ubiquitin binding enzyme and E3 ubiquitin ligase (Buchwald et al., 2009). In in vitro studies, chidamide inhibited the proliferation of AML1-ETO-positive AML cells, induced cell cycle arrest, and stimulated apoptosis. At the same time, histone three acetylation and ERK1/2 phosphorylation of AML1-ETO-positive AML cells were inhibited, and the ERK1/ 2 pathway was regulated to inhibit the proliferation of AML1-ETO-positive AML cells and c-kit expression was reduced (Liu et al., 2020). Of note, immune escape is another mechanism of poor outcome in patients with AML1-ETO-positive leukemia. Elias et al. (2014) found the AML1-ETO gene downregulated the expression of CD48 on the surfaces of leukocytes, thereby reducing the killing effect of natural killer cells, while HDACi can promote the re-expression of CD48 and restore the sensitivity of leukemia cells to natural killer cells. Demethylation drugs also have a similar effect on promoting immune cell recognition (Ganetsky, 2012). Therefore, double-epigenetic treatment has a synergistic effect; on the one hand, it directly exerts anti-leukemia effects, while on the other, it promotes the immune system to better recognize tumor cells and enhance the ability to eliminate leukemia cells. This anti-leukemia mechanism is especially suitable for patients post-HSCT, which gives full play to amplify the graft versus leukemia (GVL) effct.

IFN-α, as a type I IFN, is approved by the United States. Food and Drug Administration for the treatment of various malignant blood tumors and solid tumors. In this study, the addition of IFN- $\alpha$ to the epigenetic therapy strategy is an important improvement of the previous epigenetic regulation therapy. Over the past few decades, increasing evidence showed that IFN-a can promote type-I anti-tumor effects (Th1-mediated), playing a key role in anti-tumor immune responses. Medrano R. et al. (Medrano et al., 2017) found that 69 of 100 patients with recurrent acute leukemia post allo-HSCT in a single center (AML72, ALL28) received chemotherapy/supportive therapy, with an average survival time of 51 days. Eleven patients were treated with DLI, and the mean survival time was 84 days. Thirteen patients underwent secondary transplantation, with an average survival time of 303 days; seven patients were treated with IFN-α2b combined with GM-CSF, and the mean survival time was 442 days. The efficacy of this group was significantly better than that in other treatment groups. In mechanism studies, several reports have explored the role of IFN-

α in the treatment of relapsed AML post transplantation (Mo et al., 2018; Molica et al., 2019; Magenau et al., 2021). First, IFN-α was found to have direct anti-tumor effects on AML cells: it 1) inhibited the secretion of growth promoting factors; 2) stimulated apoptosis, 3) inhibited the proliferation of tumor cells, and 4) increased the immunogenicity of AML cells. Second, IFN-α was found to have indirect anti-tumor effects on AML cells through the 1) activation of natural killer cells and T-cells; 2) by enhancing the antigenpresentation of dendritic cells, and 3) showing a similar effect to that of interleukin-15, which can induce dendritic (DC) cells to become natural killer (NK) cells, thus directly producing cytotoxic effect on AML cells. These experimental results support the multiple roles of IFN-α in anti-AML. Thus, presumably, in our 3-drug combination, the effect of IFN-a was multifaceted, which may synergy with chidamide and decitabine. The mechanism has yet to further define by experiment researches.

#### 4 Conclusion

There are limited treatment options for patients with relapse post allo-HSCT. Rapid and sustained reversion of AML1-ETO leukemia relapse post transplantation is the key to improving the long-term survival. Chidamide, as a common HDACi, combining with decitabine and IFN- $\alpha$ 2b, is an effective regimen to reverse the molecular biological relapse of AML1-ETO leukemia post transplantation, with a high remission rate and significantly lower adverse reactions than current strategies for post-transplantation relapse. It is worth further extending clinical researches to evaluate the efficacy of this regimen.

#### Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

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#### **Ethics statement**

The studies involving human participants were reviewed and approved by. This protocol was re-registered in the Chinese Clinical Research Registry (registration no. ChiCTR2000032330). Written informed consent to participate in this study was provided by the participants legal guardian/next of kin.

#### **Author contributions**

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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#### Conflict of Interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Leonurine promotes the maturation of healthy donors and multiple myeloma patients derived-dendritic cells *via* the regulation on arachidonic acid metabolism

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**Objective:** Leonurine is a bioactive alkaloid compound extracted from Leonurus japonicus Houtt, which potentially has immunomodulatory effects. The immunomodulatory effect and mechanism of leonurine on monocyte derived dendritic cells (moDCs) from healthy donors (HDs) and multiple myeloma (MM) patients were investigated for the first time.

**Methods:** Peripheral blood from HDs and MM patients was isolated for peripheral blood mononuclear cells (PBMCs). The generation of moDCs was conducted by the incubation of monocytes from PBMCs in the medium consisting of RPMI 1640 medium, 2 mmol/L L-glutamine, 5% human serum, 800 U/mL GM-CSF, 500 U/mL IL-4, 100 U/mL penicillin and 0.1 mg/mL streptomycin. During the incubation of 7 days, the cells were administrated with 1  $\mu$ M leonurine or 1  $\times$  PBS as the control group. On the 8th day, cells were harvested. The expression of maturation associated surface markers CD40, CD83, and HLA-DR on moDCs was analyzed by flow cytometry. Moreover, moDCs with or without 1  $\mu$ M leonurine administration were evaluated by LC-MS/MS for metabolomics which was further analyzed for the potential mechanism of leonurine on moDCs.

**Results:** The proportion of moDCs in the harvested cells was significantly higher in the HD group (n=14) than in the MM patient group (n=11) (p=0.000). Leonurine significantly enhanced the median fluorescence intensity of CD83, HLA-DR and CD40 expression on HD-moDCs (n=14; p=0.042, p=0.013, p=0.084) as well as MM paitent-moDCs (n=11; p=0.020, p=0.006, p=0.025). The metabolomics data showed that in moDCs (HD, n=15), 18 metabolites in the pathway of arachidonic acid metabolism showed significant differences between the leonurine group and the control group (VIP all >1 and P all <0.05). To be specific, 6-Keto-PGE1, 8,9-DHET, 11 (R)-HETE, 12-Keto-LTB4, 12-OxoETE, 15 (S)-HETE, 15-Deoxy-Delta12,14-PGJ2, 15-Keto-PGF2a, 20-COOH-LTB4, Lecithin, PGA2, PGB2, PGE2, PGF2a, PGG2, Prostacyclin were significantly upregulated in the leonurine group than in the

control group, while Arachidonic Acid and TXB2 were significantly downregulated in the leonurine group than in the control group.

**Conclusion:** Leonurine significantly promotes the maturation of moDCs derived from HDs and MM patients, the mechanism of which is related to arachidonic acid metabolism.

KEYWORDS

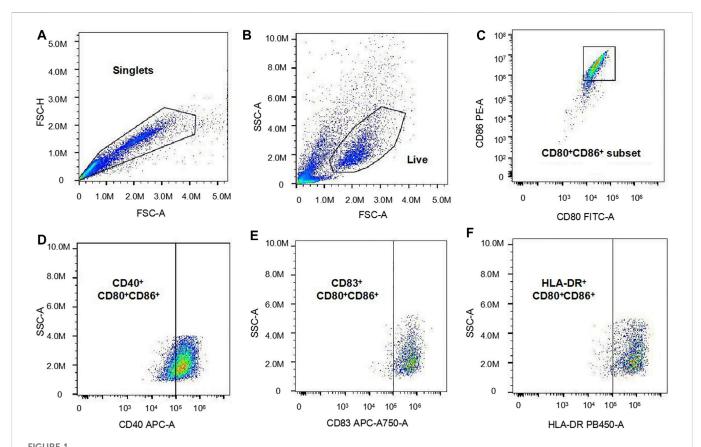
leonurine, multiple myeloma, monocyte derived dendritic cells, maturation, metabolomics

#### 1 Introduction

Multiple myeloma (MM) is a hematological malignancy originating from the malignant transformation of plasma cells, the incidence of which is the second highest in hematological malignancies (Pinto et al., 2020). MM patients show significant immunodeficiency. The immunodeficiency of dendritic cells (DCs) is one of the key reasons for the inability to efficiently elicit anticancer specific immune responses. Moreover, cancer can also suppress the normal function of DCs for immune escape. DC is the most important antigen-presenting cell in the immune system, which presents antigen peptides to specific T cell and provides costimulatory signals for T cell activation. Thus, DC plays the important role in initiating anticancer specific immune responses (Tan et al., 2012). However, adverse conditions in the cancer microenvironment can inhibit the activity of DCs by

modulating metabolic programs in DCs (Giovanelli et al., 2019). Therefore, enhancing the DC activity in MM patients to effectively elicit the anticancer specific immune response is one of the main directions for cancer immunotherapy today.

Some studies have shown that the maturation and activity of DCs is closely related to the cellular metabolism, including the glucose metabolism and the fatty acid metabolism. When glycogen phosphorylase is inhibited, the activation of DCs and the immune response mediated by DCs can be significantly inhibited (Curtis et al., 2020). Intracellular glycogen metabolism supports the early function of activated DCs, while inhibition of glycogen degradation significantly impedes DC maturation and impairs their ability to initiate lymphocyte activation (Thwe et al., 2019). Inhibitors of fatty acid synthesis or oxidation can significantly inhibit the activation of DCs, which indicates that fatty acid metabolism plays an important role in the activation of DCs (Qiu et al., 2019). Based on the above background,



The analysis of the flow cytometry data. (A): The forward scatter area (FSC-A) and the forward scatter height (FSC-H) were used for the selection of single cells. (B): The FSC-A and the side scatter area (SSC-A) were used for the selection of live cells. (C): CD80+CD86+ cells were selected from the live cells and defined as moDCs (D-F): The MFI of CD40, CD83 and HLA-DR expression on moDCs were analyzed respectively.

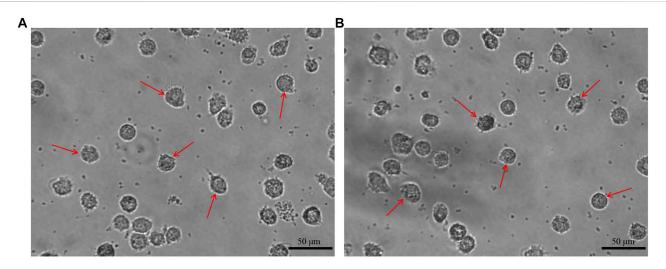


FIGURE 2
The morphology of moDCs. The moDCs derived from a HD and a MM patient are shown in figure (A, B) respectively, which are large, irregular in shape with obvious dendritic protrusions.

searching for adjuvants (Ho et al., 2018), which can enhance the maturation and activity of DCs by modulating glucose metabolism and fatty acid metabolism is a promising method to efficiently activate anticancer specific immune responses for an improved clinical efficacy.

Leonurine is a bioactive alkaloid compound extracted from Leonurus japonicus Houtt. Recent researches have proven that leonurine can modulate glucose metabolism and fatty acid metabolism, thus performing significantly protective effects in atherosclerotic disease, diabetes, etc. Huang et al. reported that leonurine modulates glucose metabolism via the inhibition of advanced glycation end product (AGE) formation, which exhibits potential to prevent diabetes and its complications (Huang et al., 2015). Jiang et al. reported that leonurine can regulate lipid metabolism via the promotion of cholesterol efflux and the reduction of cellular lipid accumulation. This results in the effect of leonurine to prevent atherosclerosis (Jiang et al., 2017). Zhu et al. reported that in the db/db mice model, leonurine (200 mg/kg) administered for 3 weeks significantly reduces the fasting blood glucose level and increases the plasma insulin level. Furthermore, leonurine reduces the plasma triacylglycerol concentration and increases the plasma (high-density lipoprotein)-cholesterol concentration (Huang et al., 2012). Therefore, we hypothesis that leonurine is potential to modulate the glucose metabolism and the fatty acid metabolism of DCs so as to increase the maturation and activity of DCs. Thus, in the current study, we investigated for the first time the effect and mechanism of leonurine on DCs derived from healthy donors (HDs) and MM patients.

#### 2 Materials and methods

### 2.1 The storage and administration of leonurine

Leonurine was provided by Prof. Yizhun Zhu from School of Pharmacy, Macau University of Science and Technology, China. Leonurine was firstly dissolved in DMSO (Solarbio, China), which was then stored in aliquots at  $-20^{\circ}$ C. The stored leonurine was diluted with  $1 \times 10^{\circ}$ 

PBS (Solarbio, China), which was then administrated to the cells at the final concentration of 1  $\mu M$  in the incubation medium.

#### 2.2 Donors

Peripheral blood of 14 HDs and 11 MM patients was used for the investigation on moDC maturation by flow cytometry. The 14 HDs included 7 males and 7 females with the age ranging from 24–37 years old. The 11 MM patients included 6 males and 5 females with the age ranging from 47–77 years old. The MM patients all achieved partial remission (PR) with VRD (bortezomib + lenalidomide + dexamethasone) therapeutic regimen. Peripheral blood of 15 HDs was used for the investigation on the metabolomics of moDC. The 15 HDs included 7 males and 8 females with the age ranging from 22–40 years old. The study has been approved by the Ethics Committee of the Sichuan Provincial People's Hospital. All donors signed the informed consents.

#### 2.3 Generating moDCs in vitro

20-30 mL peripheral blood from each donor was isolated for peripheral blood mononuclear cells (PBMCs) by density gradient centrifugation. Firstly, peripheral blood was diluted with the equal volume of 1 × PBS. Then, the diluted blood was pipetted over Human Lymphocyte separation medium Ficoll-Paque (GE Lifesciences, United State) with a volume ratio of 4: 3, which was then centrifuged at  $400 \times g$ , acceleration 1, deceleration 0 for 30 min. Thereafter, PBMCs were collected as the buffy coat in the tube. PBMCs were divided evenly into 2 groups. Then, PBMCs were incubated for adhesion in the medium consisting of RPMI 1640 medium (Gibco, United State.), 2 mmol/L L-glutamine (Gibco, United State.), 5% human serum (Sigma, United State), 100 U/mL penicillin (Hyclone, China) and 0.1 mg/mL streptomycin (Hyclone, China) for 24 h. Then non-adherent cells were removed while adherent cells were kept for further incubation. The generation of moDCs was conducted by the incubation of monocytes from adherent cells in the medium consisting of RPMI 1640 medium, 2 mmol/L L-glutamine, 5% human serum, 100 U/

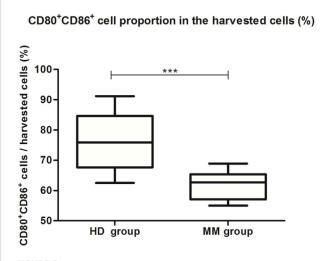


FIGURE 3 The proportion of moDCs in the harvested cells between the HD group and the MM group. The boxplot shows that the proportion of moDCs in the harvested cells in the HD group was significantly higher than in the MM group (75.55%  $\pm$  9.69% vs. 61.39%  $\pm$  4.86%, p = 0.000, independent-sample t-test).

mL penicillin, 0.1 mg/mL streptomycin, 800 U/mL GM-CSF (Novoprotein, China) and 500 U/mL IL-4 (Novoprotein, China) for 7 days. On the 1st day of incubation, the 2 groups of cells were administrated with or without 1  $\mu M$  leonurine. On the 8th day, cells were harvested, which were then evaluated by flow cytometry and LC-MS/MS. The morphology of the moDCs was observed and recorded by the inverted microscope (OLYMPUS, Japan).

## 2.4 The expression of surface markers on moDCs by flow cytometry analysis

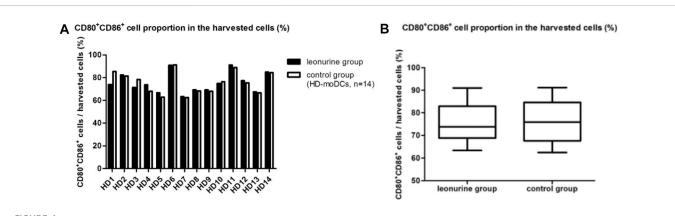
 $1\times10^5$ – $1\times10^6$  of the harvested cells were suspended in 100 ul  $1\times$  PBS and stained with APC anti-human CD40 mAb, FITC anti-human CD80 mAb, APC/Cyanine 7 anti-human CD83 mAb, PE anti-human CD86 mAb and Pacific Blue anti-human HLA-DR mAb

(all mAbs are from BioLegend, United State). After the cells were incubated with mAbs for 25–30 min at 4°C in darkness, the stained cells were washed for 2 times by 1  $\times$  PBS. Then the cells were suspended in 1×PBS for flow cytometry analysis. FlowJo software was used to analyze the data.

In this study, the technique for generating moDCs in vitro is a usually used and efficient technique, which can effectively generate moDCs from PBMCs (Chometon et al., 2020). DC expresses high levels of the surface markers CD80 and CD86, which can serve as the definition of DC (Yonggang et al., 2012). Therefore, in our study, the CD80+CD86+ cell population in the total harvested cells was defined as moDCs. Then, the median fluorescence intensity (MFI) of surface markers CD40, CD83, and HLA-DR expression on moDCs were analyzed respectively. In our study, we used the Cytoflex flow cytometer (Beckman, United State) to analyze the harvested cells. The analysis of the flow cytometry data is displayed as Figure 1. Firstly, the forward scatter area (FSC-A) and the forward scatter height (FSC-H) were used for the selection of single cells from the total cells. Subsequently, the FSC-A and the side scatter area (SSC-A) were used for the selection of live cells from single cells. Then,  $\mathrm{CD80^{+}CD86^{+}}$  cells were selected from the live cells and defined as moDCs. Finally, the MFI of CD40, CD83 and HLA-DR expression on moDCs were analyzed respectively.

#### 2.5 Evaluation and analysis of metabolomics

HD-moDCs (n=15) were evaluated for metabolomics.  $1\times10^6$  harvested cells were mixed with 200 µL ultrapure water and vortexed for 30 s. Subsequently, the samples were quickly frozen with liquid nitrogen and then quickly thawed for 3 times. Then, the samples were sonicated for 10 min in ice-water bath. 50 µL of the obtained homogenate was used for the detection of protein concentration. The rest 150 µL homogenate was added with 450 µL methanol (CNW Technologies, Germany) and vortexed for 30 s. The samples were kept at  $-40^{\circ}$ C for 1 h and then centrifuged at  $13800\times g$  for 15 min at  $4^{\circ}$ C. 550 µL supernatant was transferred into an EP tube and dried in a vacuum concentrator. Then the diluted methanol (methanol: water = 3: 1) with isotopically-labelled internal standard mixture was added into the EP tube and vortexed for 30 s. The samples were sonicated for 10 min in ice-water



The proportion of moDCs (CD80 $^+$ CD86 $^+$  cells) in the harvested cells between the leonurine group and the control group (HD-moDCs, n = 14). (A) The graph shows the proportion of moDCs in the harvested cells between the leonurine group and the control group for each HD. (B) The boxplot shows that when moDCs derived from all 14 HDs were analyzed together, there was no statistical difference in the proportion of moDCs in the harvested cells between the leonurine group and the control group (75.45%  $\pm$  8.79% vs. 75.55%  $\pm$  9.69%, p = 0.300, paired-sample Wilcoxon signed-rank test).

TABLE 1 The proportion of moDCs in the harvested cells (%) in the HD group (n = 14) and the MM group (n = 11).

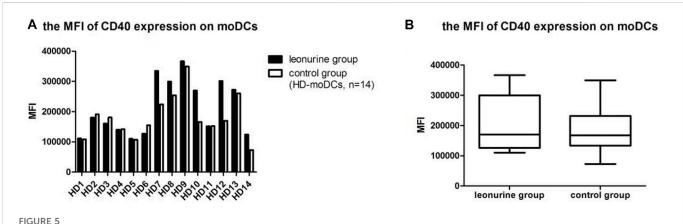
Group		2	3	4	5	6	7	8	9	10	11	12	13	14
HD	85.4	81.4	78.4	68	62.8	91.2	62.5	68.3	68	76.4	88.9	75.4	66.6	84.4
MM	64.1	68.9	65.4	55.1	58.1	63.2	67.2	55	58.5	62.7	57.1			

TABLE 2 The proportion of moDCs in the harvested cells (%) (HD-moDCs, n = 14).

Group	HD1	HD2	HD3	HD4	HD5	HD6	HD7	HD8	HD9	HD10	HD11	HD12	HD13	HD14
Leon	73.9	82.3	71.3	73.7	66.7	90.9	63.4	69.3	69.3	74.8	91	77.3	67.5	84.9
Control	85.4	81.4	78.4	68	62.8	91.2	62.5	68.3	68	76.4	88.9	75.4	66.6	84.4

TABLE 3 The MFI of CD40 expression on moDCs ( $\times$ 105) (HD-moDCs, n = 14).

Group	HD1	HD2	HD3	HD4	HD5	HD6	HD7	HD8	HD9	HD10	HD11	HD12	HD13	HD14
Leon	1.11	1.80	1.60	1.40	1.11	1.27	3.35	3.00	3.67	2.70	1.52	3.02	2.73	1.24
Control	1.08	1.91	1.81	1.42	1.07	1.55	2.24	2.54	3.49	1.66	1.52	1.70	2.60	0.73



The MFI of CD40 expression on moDCs between the leonurine group and the control group (HD-moDCs, n=14). (A) The graph shows the MFI of CD40 expression on moDCs between the leonurine group and the control group for each HD. (B) The boxplot shows that when moDCs derived from all 14 HDs were analyzed together, the MFI of CD40 expression on moDCs was significantly higher in the leonurine group than in the control group ( $2.11 \times 10^5 \pm 0.92 \times 10^5$  vs.  $1.81 \times 10^5 \pm 0.72 \times 10^5$ , p=0.084, paired-sample Wilcoxon signed-rank test).

bath and then centrifuged at  $13800 \times g$  for 15 min at 4°C. The obtained supernatant was transferred into a fresh glass vial for analysis.

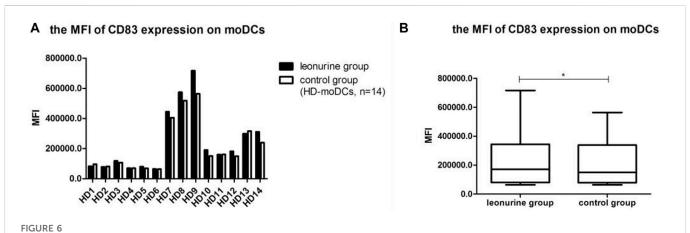
Liquid chromatography-tandem mass spectrometry (LC-MS/MS) analysis was performed by an UHPLC system (Thermo Fisher Scientific, United State) with a UPLC HSS T3 column (2.1  $\times$  100 mm, 1.8  $\mu m$ ) coupled to Orbitrap Exploris 120 mass spectrometer (Thermo Fisher Scientific, United State). The mobile phase A consisted of 5 mmol/L ammonium acetate (Sigma, United State) and 5 mmol/L acetic acid (Fisher Chemical, United State) in water (pH = 9.75)while the mobile phase B consisted of acetonitrile (CNW Technologies, Germany). The auto-sampler was set at  $4^{\circ}C$  with the injection volume of 2  $\mu L$ . The Orbitrap Exploris 120 mass spectrometer was used to acquire MS/MS spectra on information-dependent acquisition (IDA) mode under the control of the acquisition software. In this mode, the acquisition software continuously evaluated the full scan MS spectrum. The ESI source conditions were set as the following:

Sheath gas flow rate as 50 Arb, Aux gas flow rate as 15 Arb, Capillary temperature as 320°C, Full MS resolution as 60000, MS/MS resolution as 15000, Collision energy as 10/30/60 in NCE mode, Spray voltage as 3.8 kV (positive) or -3.4 kV (negative), respectively.

The MS raw data was transformed into the mzXML format by ProteoWizard, which was then processed with R package based on XCMS for peak recognition, peak extraction, peak alignment and integration. Then MS2 database (BiotreeDB) was applied in metabolite annotation. The final dataset containing the information of peak number, sample name and normalized peak area was imported into SIMCA16.0.2 software for multivariate analysis to obtain the variable importance in the projection (VIP) values. Further, the differentially expressed metabolites were screened via the criteria of VIP >1 and p < 0.05. In addition, the Kyoto Encyclopedia of Genes and Genomes (KEGG) database (http://www.genome.jp/kegg/) was used for pathway analysis.

TABLE 4 The MFI of CD83 expression on moDCs ( $\times$ 105) (HD-moDCs, n=14).

Group	HD1	HD2	HD3	HD4	HD5	HD6	HD7	HD8	HD9	HD10	HD11	HD12	HD13	HD14
Leon	0.82	0.78	1.19	0.70	0.80	0.64	4.44	5.74	7.17	1.89	1.60	1.82	2.99	3.11
Control	0.97	0.81	1.07	0.69	0.69	0.63	4.04	5.18	5.64	1.51	1.61	1.49	3.16	2.39



The MFI of CD83 expression on moDCs between the leonurine group and the control group (HD-moDCs, n=14). (A) The graph shows the MFI of CD83 expression on moDCs between the leonurine group and the control group for each HD. (B) The boxplot shows that when moDCs derived from all 14 HDs were analyzed together, the MFI of CD83 expression on moDCs was significantly higher in the leonurine group than in the control group (2.41 ×  $10^5 \pm 2.06 \times 10^5$  vs.  $2.14 \times 10^5 \pm 1.71 \times 10^5$ , p=0.042, paired-sample t-test).

TABLE 5 The MFI of HLA-DR expression on moDCs ( $\times$  105) (HD-moDCs, n=14).

Group	HD1	HD2	HD3	HD4	HD5	HD6	HD7	HD8	HD9	HD10	HD11	HD12	HD13	HD14
Leon	6.82	6.06	9.53	16.2	14.3	10.8	7.85	16.0	20.1	11.5	15.7	15.8	11.3	8.98
Control	5.76	6.58	9.22	15.7	14.4	11.8	6.43	13.7	18.5	10.2	15.2	11.4	8.22	8.45

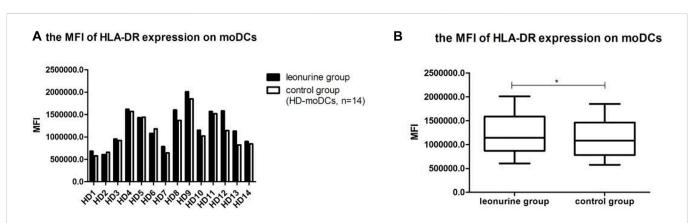
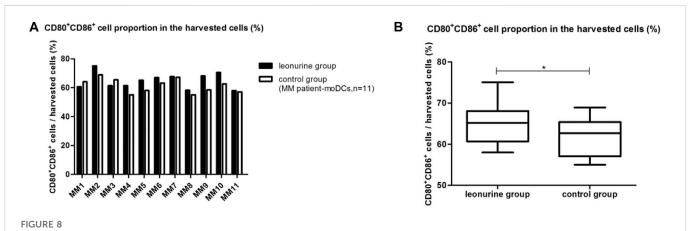


FIGURE 7
The MFI of HLA-DR expression on moDCs between the leonurine group and the control group (HD-moDCs, n = 14). (A) The graph shows the MFI of HLA-DR expression on moDCs between the leonurine group and the control group for each HD. (B) The boxplot shows that when moDCs derived from all 14 HDs were analyzed together, the MFI of HLA-DR expression on moDCs was significantly higher in the leonurine group than in the control group (12.21 ×  $10^5 \pm 4.20 \times 10^5 + 3.94 \times 10^5$ , p = 0.013, paired-sample t-test).

TABLE 6 The proportion of moDCs in the harvested cells (%) (MM patient-moDCs, n = 11).

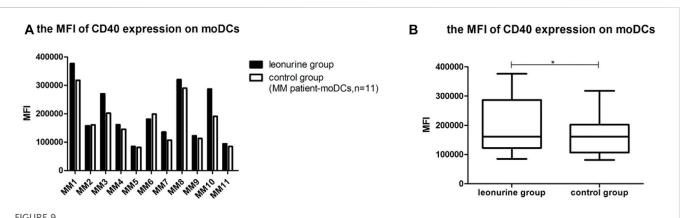
Group	MM1	MM2	MM3	MM4	MM5	MM6	MM7	MM8	MM9	MM10	MM11
Leon	60.7	75.1	61.4	61.5	65.2	67	67.7	58.2	68.1	70.6	58
Control	64.1	68.9	65.4	55.1	58.1	63.2	67.2	55	58.5	62.7	57.1



The proportion of moDCs in the harvested cells between the leonurine group and the control group (MM patient-moDCs, n=11). (A) The graph shows the proportion of moDCs in the harvested cells between the leonurine group and the control group for each MM patient. (B) The boxplot shows that when moDCs derived from all 11 MM patients were analyzed together, the proportion of moDCs in the harvested cells was significantly higher in the leonurine group than in the control group (64.86%  $\pm$  5.41% vs. 61.39%  $\pm$  4.86%, p=0.029, paired-sample t-test).

TABLE 7 The MFI of CD40 expression on moDCs ( $\times$  10<sup>5</sup>) (MM patient-moDCs, n = 11).

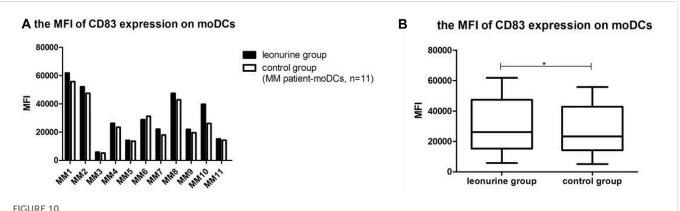
Group	MM1	MM2	MM3	MM4	MM5	MM6	MM7	MM8	MM9	MM10	MM11
Leon	3.77	1.58	2.70	1.61	0.85	1.81	1.36	3.20	1.22	2.87	0.94
Control	3.18	1.61	2.02	1.45	0.81	1.99	1.07	2.90	1.13	1.91	0.84



The MFI of CD40 expression on moDCs between the leonurine group and the control group (MM patient-moDCs, n = 11). (A) The graph shows the MFI of CD40 expression on moDCs between the leonurine group and the control group for each MM patient. (B) The boxplot shows that when moDCs derived from all 11 MM patients were analyzed together, the MFI of CD40 expression on moDCs was significantly higher in the leonurine group than in the control group  $(1.99 \times 10^5 \pm 0.98 \times 10^5 \text{ ys. } 1.72 \times 10^5 \pm 0.78 \times 10^5, p = 0.025, \text{ paired-sample } t\text{-test}).$ 

TABLE 8 The MFI of CD83 expression on moDCs ( $\times$  10<sup>5</sup>) (MM patient-moDCs, n = 11).

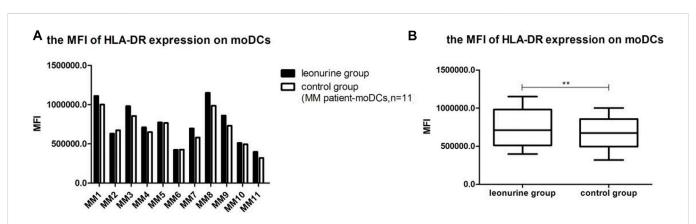
Group	MM1	MM2	MM3	MM4	MM5	MM6	MM7	MM8	MM9	MM10	MM11
Leon	0.62	0.52	0.06	0.26	0.14	0.29	0.22	0.47	0.22	0.40	0.15
Control	0.56	0.47	0.05	0.23	0.14	0.31	0.18	0.43	0.20	0.26	0.14



The MFI of CD83 expression on moDCs between the leonurine group and the control group (MM patient-moDCs, n = 11). (A) The graph shows the MFI of CD83 expression on moDCs between the leonurine group and the control group for each MM patient. (B) The boxplot shows that when moDCs derived from all 11 MM patients were analyzed together, the MFI of CD83 expression on moDCs was significantly higher in the leonurine group than in the control group  $(0.30 \times 10^5 \pm 0.18 \times 10^5 \text{ vs. } 0.27 \times 10^5 \pm 0.16 \times 10^5, p = 0.020, \text{ paired-sample } t\text{-test}).$ 

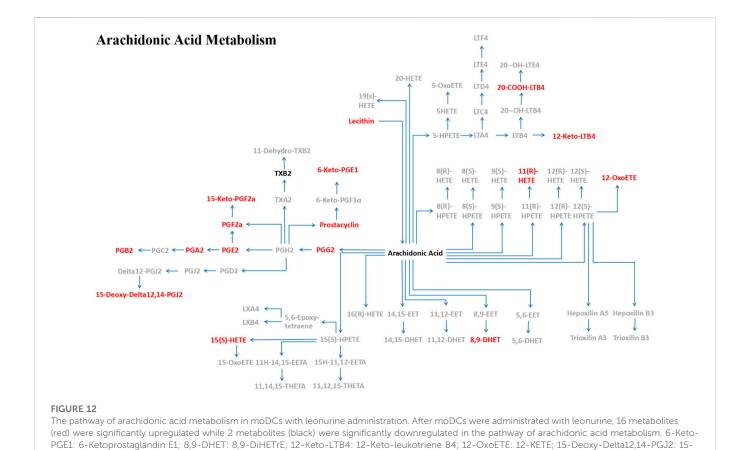
TABLE 9 The MFI of HLA-DR expression on moDCs ( $\times$  10<sup>5</sup>) (MM patient-moDCs, n = 11).

Group	MM1	MM2	ММ3	MM4	MM5	MM6	MM7	MM8	MM9	MM10	MM11
Leon	11.1	6.30	9.81	7.10	7.73	4.23	6.95	11.5	8.61	5.10	3.97
Control	10.0	6.73	8.55	6.49	7.66	4.27	5.80	9.86	7.31	4.94	3.20



#### FIGURE 11

The MFI of HLA-DR expression on moDCs between the leonurine group and the control group (MM patient-moDCs, n = 11). (A) The graph shows the MFI of HLA-DR expression on moDCs between the leonurine group and the control group for each MM patient. (B) The boxplot shows that when moDCs derived from all 11 MM patients were analyzed together, the MFI of HLA-DR expression on moDCs was significantly higher in the leonurine group than in the control group  $(7.49 \times 10^5 \pm 2.58 \times 10^5 \text{ vs. } 6.80 \times 10^5 \pm 2.18 \times 10^5, p = 0.006$ , paired-sample t-test).



Deoxy-d-12,14-PGJ2; 15-Keto-PGF2a: 15-Keto-prostaglandin F2a; 20-COOH-LTB4: 20-Carboxy-leukotriene B4; Lecithin: PC(22:5

(7Z,10Z,13Z,16Z,19Z)/22:4 (7Z,10Z,13Z,16Z)); PGA2: Prostaglandin A2; PGB2: Prostaglandin B2; PGE2: Prostaglandin E2; PGF2a: Prostaglandin F2a; PGG2:

#### 2.6 Statistical analysis

All data was expressed as Mean  $\pm$  Standard Deviation (SD). All p values were two-sided and p values < 0.05 (\*p < 0.05, \*\*p < 0.01 and \*\*\*p < 0.001) were defined to be statistically significant. All data was subjected to normality test. The statistical analysis of data was performed by SPSS Statistics25.0 software. The graph and boxplot were produced with GraphPad Prism5.0 software. Independent-sample t-test was used for comparing the differences between the HD group and the MM patient group. When the difference between the leonurine group and the control group was analyzed, data which was normally distributed was analyzed with paired-sample t-test while data which was not normally distributed was analyzed with paired-sample Wilcoxon signed-rank test.

Prostaglandin G2; Prostacyclin: Prostaglandin I2; TXB2: Thromboxane B2.

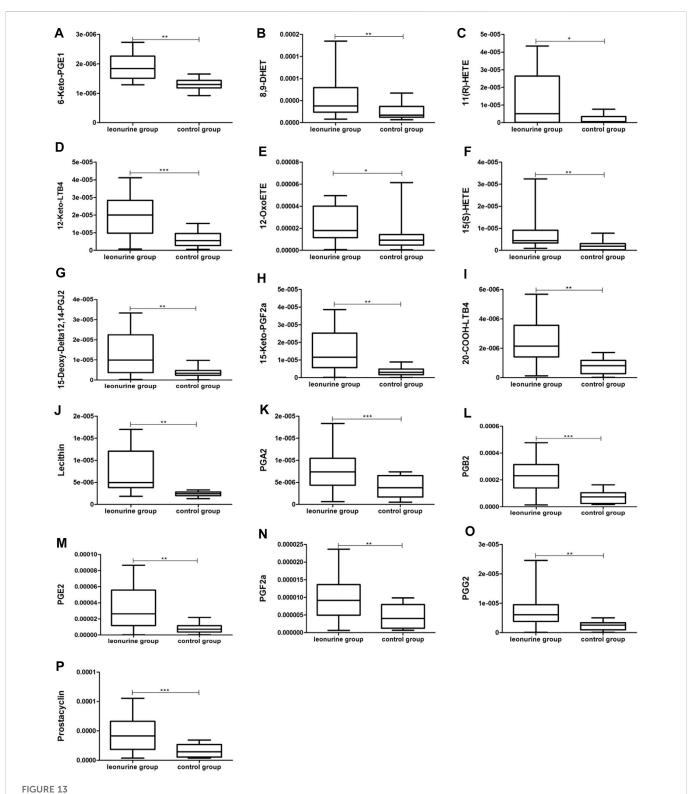
#### 3 Results

To prime T cell activation, DCs present antigen-bound MHC molecules to naïve T cell meanwhile provide naïve T cell with costimulatory molecules including CD40, CD80, and CD86, etc. CD40 upregulates CD80, CD83, CD86, and HLA-DR, which promote DC maturation (Levin et al., 2017). Typical costimulatory molecules CD80 and CD86 are highly expressed on the surface of DCs. The expression level of costimulatory molecules CD40, CD80, and CD86 can reflect the maturation and activity of DCs (Hua et al.,

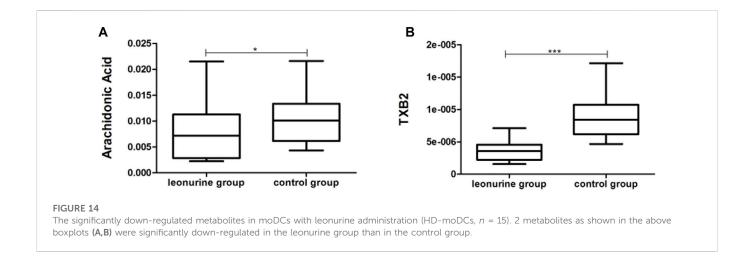
2012). HLA-DR belongs to the MHC II molecular family, which usually binds to exogenous antigens and is recognized by CD4+ T lymphocytes. DCs with the higher level of maturation express a higher level of HLA-DR molecule (Saito et al., 2008). CD83 provides the signals of the T cell differentiation, which is a maturation marker for DCs (Cao et al., 2005; Pinho et al., 2014). Consequently, we chose these five surface markers as DC maturation markers in order to clarify the possible immunomodulatory effects of leonurine on DCs. During the incubation procedure, cells were administrated with 1  $\mu$ M leonurine or 1  $\times$  PBS as the control group. Then cells were harvested and observed for the morphology of moDCs. Afterwards, cells were analyzed for the expression of CD40, CD80, CD83, CD86, and HLA-DR by flow cytometry. Furthermore, moDCs with or without 1  $\mu$ M leonurine administration were evaluated by LC-MS/MS for metabolomics which was further analyzed for the potential mechanism of leonurine on moDCs.

#### 3.1 The morphology of moDCs

After incubation of 7 days, the moDCs were obtained. The morphology of the moDCs was observed and recorded by inverted microscope. The moDCs derived from a HD and a MM patient are shown in Figures 2A, B respectively as the examples. Both Figures 2A, B show that the moDCs are large, irregular in shape with obvious dendritic protrusions on the cell surface.



The significantly up-regulated metabolites in moDCs with leonurine administration (HD-moDCs, n = 15). 16 metabolites as shown in the above boxplots (A–P) were significantly up-regulated in the leonurine group than in the control group.



## 3.2 The comparison between the HD group and the MM group

Firstly, we compared the proportion of moDCs (CD80 $^{+}$ CD86 $^{+}$  cells) in the total harvested cells between the HD group and the MM group. The proportion of moDCs in the harvested cells in the HD group was significantly higher than in the MM group (75.55%  $\pm$  9.69% vs. 61.39%  $\pm$  4.86%, p=0.000, independent-sample t-test) (Table 1; Figure 3).

### 4 The effects of leonurine on the moDCs derived from HDs

### 4.1 The effect of leonurine on the proportion of moDCs in the total harvested cells (%)

When moDCs (CD80<sup>+</sup> CD86<sup>+</sup> cells) derived from all 14 HDs were analyzed together, there was no statistical difference in the proportion of moDCs in the harvested cells between the leonurine group and the control group (75.45%  $\pm$  8.79% vs. 75.55%  $\pm$  9.69%, p=0.300, paired-sample Wilcoxon signed-rank test) (Table 2; Figure 4).

# 4.2 The effect of leonurine on CD40 expression of moDCs

When moDCs derived from all 14 HDs were analyzed together, the MFI (mean fluorescence intensity) of CD40 expression on moDCs was significantly higher in the leonurine group than in the control group (2.11 ×  $10^5 \pm 0.92 \times 10^5$  vs.  $1.81 \times 10^5 \pm 0.72 \times 10^5$ , p=0.084, paired-sample Wilcoxon signed-rank test) (Table 3; Figure 5).

# 4.3 The effect of leonurine on CD83 expression of moDCs

When moDCs derived from all 14 HDs were analyzed together, the MFI of CD83 expression on moDCs was significantly higher in the leonurine group than in the control group (2.41 ×  $10^5 \pm 2.06 \times 10^5$  vs. 2.14 ×  $10^5 \pm 1.71 \times 10^5$ , p = 0.042, paired-sample t-test) (Table 4; Figure 6).

## 4.4 The effect of leonurine on HLA-DR expression of moDCs

When moDCs derived from all 14 HDs were analyzed together, the MFI of HLA-DR expression on moDCs was significantly higher in the leonurine group than in the control group ( $12.21 \times 10^5 \pm 4.20 \times 10^5$  vs.  $11.11 \times 10^5 \pm 3.94 \times 10^5$ , p = 0.013, paired-sample t-test) (Table 5; Figure 7).

# 5 The effects of leonurine on the moDCs derived from MM patients

## 5.1 The effect of leonurine on the proportion of moDCs in the total harvested cells (%)

When moDCs derived from all 11 MM patients were analyzed together, the proportion of moDCs in the harvested cells was significantly higher in the leonurine group than in the control group (64.86%  $\pm$  5.41% vs. 61.39%  $\pm$  4.86%, p = 0.029, paired-sample t-test) (Table 6; Figure 8).

# 5.2 The effect of leonurine on CD40 expression of moDCs

When moDCs derived from all 11 MM patients were analyzed together, the MFI of CD40 expression on moDCs was significantly higher in the leonurine group than in the control group (1.99  $\times$  10<sup>5</sup>  $\pm$  0.98  $\times$  10<sup>5</sup> vs. 1.72  $\times$  10<sup>5</sup>  $\pm$  0.78  $\times$  10<sup>5</sup>, p = 0.025, paired-sample t-test) (Table 7; Figure 9).

## 5.3 The effect of leonurine on CD83 expression of moDCs

When moDCs derived from all 11 MM patients were analyzed together, the MFI of CD83 expression on moDCs in the leonurine group was significantly higher than in the control group  $(0.30 \times 10^5 \pm 0.18 \times 10^5 \text{ vs. } 0.27 \times 10^5 \pm 0.16 \times 10^5, p = 0.020$ , paired-sample *t*-test) (Table 8;Figure 10).

# 5.4 The effect of leonurine on HLA-DR expression of moDCs

When moDCs derived from all 11 MM patients were analyzed together, the MFI of HLA-DR expression on moDCs was significantly higher in the leonurine group than in the control group  $(7.49 \times 10^5 \pm 2.58 \times 10^5 \text{ vs. } 6.80 \times 10^5 \pm 2.18 \times 10^5, p = 0.006$ , paired-sample *t*-test) (Table 9;Figure 11).

# 6 Leonurine regulates on the metabolism of moDCs

MoDCs with or without 1 µM leonurine administration (HDmoDCs, n = 15) were evaluated by LC-MS/MS for metabolomics. The metabolomics data showed that in moDCs, 18 metabolites in the pathway of arachidonic acid metabolism were significantly different between the leonurine group and the control group (VIP all >1 and P all <0.05; Figure 12). To be specific, 16 metabolites including 6-Keto-PGE1 (Figure 13A), 8,9-DHET (Figure 13B), 11(R)-HETE (Figure 13C), 12-Keto-LTB4 (Figure 13D), 12-OxoETE (Figure 13E), 15(S)-HETE (Figure 13F), 15-Deoxy-Delta12,14-PGJ2 (Figure 13G), 15-Keto-PGF2a (Figure 13H), 20-COOH-LTB4 (Figure 13I), Lecithin (Figure 13J), PGA2 (Figure 13K), PGB2 (Figure 13L), PGE2 (Figure 13M), PGF2a (Figure 13N), PGG2 (Figure 13O) and Prostacyclin (Figure 13P) were significantly up-regulated in the leonurine group than in the control group (Figure 13), while 2 metabolites including Arachidonic Acid (Figure 14A) and TXB2 (Figure 14B) were significantly down-regulated in the leonurine group than in the control group (Figure 14).

#### 7 Discussion

MM is a hematological malignancy originating from the malignant transformation of plasma cells, which is still incurable up to date with the second highest incidence in hematological malignancies. MM patients show significant immunodeficiency. Especially, the immunodeficiency of DCs results in the inability of DCs to efficiently elicit anticancer specific immune responses, which leads to the development and recurrence of the disease. Therefore, it is an important therapeutic direction to reverse the immunodeficiency of DCs in MM patients, in order to efficiently activate anticancer specific immune responses and prevent disease progression. In the current study, we for the first time found that leonurine can significantly enhance the maturation and activity of moDCs both in HDs and MM patients. It is shown that leonurine significantly enhances the MFI of CD40, CD83 and HLA-DR expression on HD-moDCs as well as MM patient-moDCs, which indicates that leonurine can promote the maturation and activity of both HDmoDCs and MM patient-moDCs. Moreover, the proportion of moDCs in the total harvested cells is significantly higher in the HD group than in the MM patient group, which also indicates the immunodeficiency of MM patients compared with HDs (Shinde et al., 2018). Therefore, our results show the potential of leonurine as a DC adjuvant to promote the maturation and activity of DCs of MM patients. Leonurine is promising to be

applied in DC-based anticancer therapy strategies such as DC vaccine and DC cell-therapy in MM.

As leonurine has been shown in several studies to modulate the glucose metabolism and the fatty acid metabolism, we hypothesis that leonurine is potential to regulate the metabolism of DCs. In the current study, we further confirm that leonurine significantly regulates on the pathway of the arachidonic acid metabolism. The metabolomics data showed that in the pathway of arachidonic acid metabolism, metabolites significantly upregulated were 2 metabolites were significantly downregulated in moDCs administrated with leonurine. Liu et al. also reported that leonurine can regulate the arachidonic acid metabolism pathway of macrophages by the inhibition of Cyclooxygenase-2, 5-lipoxygenase and microsomal prostaglandin E synthase-1 (Liu et al., 2018). Thus, the mechanism of leonurine is closely related to arachidonic acid metabolism pathway. On the other hand, since the maturation and activity of moDCs is closely related to the cellular metabolism of moDCs including the fatty acid metabolism (Williams and O'Neill, 2018), our study shows the consistent results that arachidonic acid metabolism of moDCs is significantly increased, while the maturation of moDCs is significantly enhanced.

In conclusion, this study indicates for the first time that leonurine significantly promotes the maturation of moDCs derived from both HDs and MM patients, the mechanism of which is related to the regulation on the arachidonic acid metabolism pathway. Leonurine is potential to be applied as a DC adjuvant in DC-based therapeutic strategies for MM patients such as DC vaccine and DC cell therapy.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

#### **Ethics statement**

The studies involving human participants were reviewed and approved by The Ethics Committee of the Sichuan Provincial People's Hospital. The patients/participants provided their written informed consent to participate in this study.

#### **Author contributions**

All authors listed have made a substantial and direct contribution to the work and approved it for publication. CC and LH prepared the first draft of the manuscript. The study was conceptualized by JD who also supervised the work and reviewed the entire manuscript. YZ provided leonurine for this study, who took part in the design and discussion of this study. XW, SC and ZY collected samples of healthy donors. RX, YW, and XW collected samples of MM patients. All authors read and approved the final version of the manuscript.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Mesenchymal stromal cells in tumor microenvironment remodeling of BCR-ABL negative myeloproliferative diseases

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Chronic myeloproliferative neoplasms encompass the BCR-ABL1-negative neoplasms polycythemia vera (PV), essential thrombocythemia (ET), and primary myelofibrosis (PMF). These are characterized by calreticulin (CALR), myeloproliferative leukemia virus proto-oncogene (MPL) and the tyrosine kinase Janus kinase 2 (JAK2) mutations, eventually establishing a hyperinflammatory tumor microenvironment (TME). Several reports have come to describe how constitutive activation of JAK-STAT and NFκB signaling pathways lead to uncontrolled myeloproliferation and pro-inflammatory cytokines secretion. In such a highly oxidative TME, the balance between Hematopoietic Stem Cells (HSCs) and Mesenchymal Stromal Cells (MSCs) has a crucial role in MPN development. For this reason, we sought to review the current literature concerning the interplay between HSCs and MSCs. The latter have been reported to play an outstanding role in establishing of the typical bone marrow (BM) fibrotic TME as a consequence of the upregulation of different fibrosis-associated genes including PDGF-  $\beta$  upon their exposure to the hyperoxidative TME characterizing MPNs. Therefore, MSCs might turn to be valuable candidates for niche-targeted targeting the synthesis of cytokines and oxidative stress in association with drugs eradicating the hematopoietic clone.

oxidative stress, mesenchymal stromal cells, tumor microenvironment, myeloproliferative cancer, JAK - STAT signaling pathway

#### 1 Introduction

Chronic myeloproliferative neoplasms (MPNs) might be defined as clonal hematopoietic stem cell (HSC) disorders characterized by an aberrant proliferation of myeloid lineages (1). Furthermore, according to the 2008 World Health Organization Classification Scheme, MPNs are classified by as BCR-ABL1-negative neoplasms (2-5). MPNs encompass a spectrum of clonal hematological disorders, including three main clinical entities: polycythemia vera (PV), essential thrombocythemia (ET), and primary myelofibrosis (PMF) (6). These myeloid malignancies arise due to acquired somatic stem cell lesions affecting calreticulin (CALR), myeloproliferative leukemia virus proto-oncogene (MPL), and the tyrosine kinase Janus kinase 2 (JAK2), usually displaying a valine-to-phenylalanine mutation at 617 (JAK2V617F) (7). This turns to be the most prevalent mutation associated with 95% of PV, 60% of ET and PMF, while CALR and MPL mutations are mainly associated with ET and MF (about the 50% of patients) (8-11). Even though the 10% of MPNs patients are triple negative for these mutations, ET and MF patients may harbor a noncanonical mutations in JAK2 or MPL with its subsequent clonal evolution (12). CALR plays an essential role in programmed cell death induced by oxidative stress (13-16). It is an endoplasmic reticulum chaperone acting in the regulation of protein folding and Ca<sup>2+</sup> homeostasis (17) by controlling cellular stress responses (18-20). Increased CALR levels enhance cell sensitivity to hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>)-mediated cytotoxicity (21). Although the mechanism of action triggered by mutated CALR has not been elucidated yet, its role in inflammation has been associated with its oncogenic action, since it works as an autocrine growth factor in synergy with MPL. Corroborating this scenario, several studies showed that CALR mutations drive oncogenic transformation in a MPL-dependent manner, eventually stimulating JAK-STAT signaling (22-25). For these reasons, CALR, MPL and JAK2V617F, despite they are usually mutually exclusive, have been defined as "driving mutations". "Founding mutation", on the other hand, are still unknown (26). Several studies reported MPNs propensity to progress through different disease stages starting from PV or ET towards an aggressive secondary myelofibrosis (MF), finally leading to fibrosis, osteosclerosis, and extramedullary hematopoiesis (27-29). Besides any classification, accumulating evidence suggests that MPNs may be considered as a valid "human inflammation model" for cancer development due to JAK-STAT and NFkB hyperactivation, eventually leading to uncontrolled myeloproliferation and proinflammatory cytokines secretion. The most abundant proinflammatory cytokines involved in the alteration of hematopoietic TME include platelets-derived growth factor (PDGF), interleukin-1 (IL-1), lipocalin and fibrogenic transforming growth factor-beta (TGF-β). The latter plays a multitude of roles in osteosclerosis and myeloproliferation, promoting Bone Marrow (BM) fibrosis, microvessel density and suppressing physiological blood cell development (30-32). The JAK2V617F mutation has been correlated with a prominent redox alteration since the huge amount of reactive oxygen species (ROS) levels, resulting from an unbalanced H<sub>2</sub>O<sub>2</sub> ratio following misbalanced catalase concentration (33). The genesis of a steady inflammatory stream ultimately induces a chronic oxidative stress state with elevated ROS levels in the BM niche (34). In such highly oxidative environment, cellular and extracellular components need to form a continuum to maintain the balance between biological processes involving HSCs and Mesenchymal Stromal Cells (MSCs). While BM-HSCs are mostly kept in a quiescent state, MSCs differentiate into several cell subpopulation of mesodermal origin, such as adipocytes, chondroblasts, and osteoblasts (OBs) (35, 36). Anatomically, MSCs may be found in various structures, including BM, adipose tissue, and umbilical cord. Inside BM-TME, MSCs are mainly involved in intercellular crosstalk and proliferation (37). As precursors of BM stromal cells, they are thought to play a pivotal role in the pathophysiology of hematological diseases including MPNs. Within this pathological condition, a significant difference between patientderived and donor-derived MSCs has been described (38). The interplay between MSCs and HSCs in conditioning each other also concerns HSCs-MSCs-lineage (39). Thus, during ROS accumulation, the balance between the two populations is impaired, and it eventually sets the stage for HSCs to evolve

perpetuating vicious circle generates ROS, in turn establishing a prooxidative and inflammatory microenvironment. To escape from these non-permissive conditions, the Suppressors of Cytokine Signaling (SOCS) is activated and binds JAKs and ensures an arrest of the whole inflammatory process (40-42). Another intriguing survival pathway in MPNs has been described by Forte and coworkers, suggesting the contribution of Nestin<sup>+</sup> BM-MSCs in acute myeloid leukemia (AML) development and chemoresistance in vivo (43). In particular, it has been found that GSH-dependent antioxidant pathways hold as key role in the BM-MSCs crosstalk and represents a potential target for adjuvant therapies in MPNs. It has been also found that Nestin+ niches are reduced in humans or mice with MPNs (43). Despite the existence of different pharmacological strategies against MPNs, including interferonalpha2 hydroxyurea and statins, patients have higher probability to experience autoimmune issues or the risk of Acute Myeloid Leukemia transformation (44). With the introduction of JAK1/2 inhibitors, such as Ruxolitinib, immune system is potently suppressed, constitutional symptoms are decreased together with pro-inflammatory cytokines burden in BM-TME (45). Nowadays, the main issue with JAK-STAT inhibition is related to the poor Ruxolitinib antioxidant capacity (46). Bjørn et al., evaluated the effect of Ruxolitinib in producing superoxide radicals and H<sub>2</sub>O<sub>2</sub> by HSCs-derived monocytes in blood samples from patients with MF together with DNA damage. The production of superoxide was significantly decreased during treatment, but no influence on the generation of H2O2 or the global level of oxidatively altered DNA was found. As the pro-inflammatory cytokine TGF-β plays a central role in MF genesis, and the effect of TGF- $\beta$  on ROS concentrations has been evaluated in recent studies (47, 48). Results demonstrated that TGF-β administration increases expression levels of a specific miRNA, decreasing SOD2 action, and eventually promoting ROS increase. The recent employment of galunisertib, a small molecule antagonist of the TGF-β receptor type 1 (TGF-βR1) with possible antineoplastic action decreased miRNA expression and ROS increase while resulting in re-established SOD2 activity decreasing the altered oxidative stress state (49). Considering the vicious cycle between chronic inflammation and ROS overproduction, we herein

will discuss the role of ROS in MPNs pathogenesis, together with the role of JAK2V617F+ neighboring BM healthy MSCs, as tumor own ability to adapt to BM-TME to manage chronic oxidative stress.

#### 2 Role of MSCs in fibrosis

The plethora of stromal cells in a normal BM niche suggests that distinct stromal subtypes have specific roles, not only in normal hematopoiesis but also in fibrosis (50, 51). MF was thought to be a reactive phenomenon caused by the interaction between malignant hematopoiesis and BM-TME mediated by profibrotic cytokines (52). In this context a breakthrough work has been recently published by Schneider's group. Here, the authors performed a spatial RNA-seq analysis to depict a scenario in which two distinct MSC populations (MSC-1 and MSC-2) are the main drivers of BM fibrosis. The progression towards this phenotype was marked by overexpression of the alarmin complex S100A8/S100A9, which once targeted by a specific inhibitor improved the overall MPN and fibrosis status (53). By the abovementioned genetic fate tracing experiments, together with single-cell RNA-Seq data of the BM niche cell populations, it was possible to identify five major fibrosis-driving MSCs populations: i) GLI1+ myofibroblasts; ii) Leptin receptor (LepR)-; iii) plateletderived growth factor receptor α (PDGFR-α)-; iv) vascular cellular adhesion molecule 1 (VCAM1)- and v) Nestin-expressing MSCs. Besides their role in fibrosis, these populations also downregulated the expression of key HSC-supporting factors while they upregulated fibrosis-related genes (54). GLI1+ myofibroblasts together with activated cancer-associated fibroblasts (CAFs), play an important role in BM fibrosis genesis (55) and are assumed to be the major sources of new matrix components as well as to reorganize the extracellular matrix (Figure 1) (56). This population is characterized by the expression of cell surface antigens typical of the hematopoietic lineage, such as CD45 and CD11b, and also matrix proteins as type I-III collagen and fibronectin. in this context, recent evidence depicted the involvement of IGFBP6/sonic hedgehog (SHH)/Toll-like receptor 4 (TLR4) axis in the TME alterations (57). This cascade has been reported to promote MSCs CAF transition upon IGFBP-6 signaling stimulation (58). Despite high levels of collagen expressed by activated fibrocytes, the extracellular space in their immediate proximity contains few collagen particles and no collagen fibers, thus suggesting that collagen fibers in MF are not polymerized by megakaryocytes' LOX2 (59). To further investigate possible player within this context, several mice model have been established, which however usually lack in mirroring patients' condition (32). ASXL mutations, for instance, accelerate BM fibrosis by reprograming the fibrosis-driving potential of hematopoietic cells to fibrocytes, and further confirm that neoplastic fibrocytes are the major contributors to BM fibrosis. Regarding BM fibrosis, GLI1+ myofibroblasts are

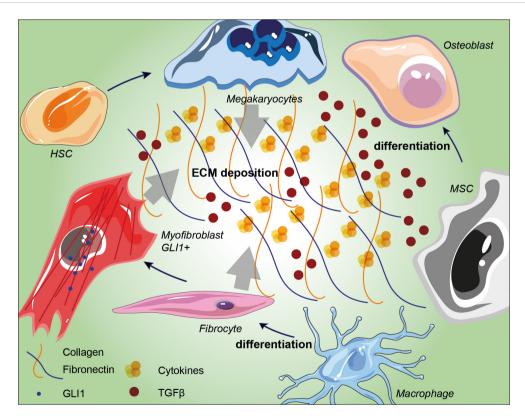


FIGURE 1
Schematic representation of BM niche fibrosis-driving cell populations and their involvement in extracellular matrix deposition. Arrows indicate the influence of megakaryocytes, fibrocytes and  $GLI1^+$  myofibroblasts in conditioning ECM in BM niches.  $TGF-\beta$  accumulation induces MSC differentiation into osteoblast and its overall accumulation within the ECM induces ROS production and bone remodeling. HSC: hematopoietic stem cell; MSC: mesenchymal stromal cell; ECM: extracellular matrix;  $TGF-\beta$ : transforming growth factor-beta.

highly active and contractile cells, characterized by dense rough ER, collagen secretion granules, and  $\alpha$ -smooth muscle actin ( $\alpha$ -SMA) expression (60). Differential gene expression analysis demonstrated that megakaryocyte-associated genes were significantly up-regulated by these cells. Interestingly, among them CXCL4, cytokine-cytokine receptor interaction pathway, has been depicted as crucial in establishing a fibrotic state (61) and in regulating HSCs (60). Approximatively half of all myofibroblasts derive from the GLI1 migrate into the hematopoietic marrow and differentiate into matrixproducing cells (60). Data indicate that targeting GLI proteins inhibits GLI1+ cell proliferation and myofibroblast differentiation, which results in reduced fibrosis and improved organ function (62). Intriguingly, GLI1+ and LepR+ cells are CD45- non-hematopoietic cells, which indicates that their differentiation into myofibroblasts is a distinct process and is independent of monocyte-derived fibrocytes (55). Arranz et al., showed that BM Nestin+ MSCs, which are innervated by sympathetic nerve fibers, regulate normal HSCs and that abrogation of this regulatory circuit is essential for MPN pathogenesis. It has been demonstrated in MPN and also in other tumors, that MSCs expressing the marker Nestin directly support cell survival and chemoresistance by increasing oxidative phosphorylation (OXPHOS) and simultaneously provide with key antioxidant tools necessary to balance ROS levels during chemotherapy (63-65).

#### 3 The role of MSCs in osteosclerosis

In addition to BM fibrosis, up to 70% of MF patients develop osteosclerosis, a well-established indicator of poor prognosis. Recently, Avanzini and colleagues reported genetic and functional aberrations of BM-MSCs in MPNs and showed that MSCs exhibit decreased proliferative abilities as well as decreased osteogenic capacities also confirmed through in vitro ed in vivo experiments (32). The direct effect of these alterations is a shift in the balance between osteoblastogenesis and osteoclastogenesis, creating an osteosclerotic state (66). Bone modifications are hallmark of PMF since they represent one of the direct results of BM disruption. Osteosclerosis remains the most common bone change, which represents a pathological event characterized by increased bone density and abnormal hardening (66), and its pathogenesis is still largely unknown. In recent years, an increasing number of studies point towards an important involvement of the MSCs niche in osteosclerosis. Firstly, osteosclerotic regions are produced by the irregular thickening of bone trabeculae, new bone shaping and consequent bone volume growth, secondly, increased BM activity in some regions, such as the vertebral column, pelvis or proximal segments of long bones, remain the most affected by such alterations (67). The physiological bone morphology and functionality are strictly dependent on the accurate setting of the marrow osteoblastic niche as well as the balance between mature bone tissue, endosteum and central BM (68). As part of the BM niche, MSCs support hematopoiesis and restore the differentiated compartment of OBs during tissue growth and turnover (69-71). Tumoral hematopoietic cells stimulate MSCs to proliferate and to adopt an abnormal differentiation program that results in the overproduction of functionally altered OBs lineage cells, which accumulate in the BM cavity as inflammatory myelofibrotic cells (Figure 2) (72). Park et al. (73), showed that a subset of Nestin+ MSCs found in vivo are able to replace short-lived mature OBs to maintain homeostasis and respond to bone injury. MF osteosclerosis is thought to be induced by overstimulated MSCderived OBs or impaired bone resorption. While OBs differentiation is also due to TGF-\beta1 release, in turn inducing the expression of bone morphogenetic protein (BMP), osteoclasts (OCs) are increased and they show an impaired osteolytic activity, eventually distorting bone remodeling and contributing to the induction of osteosclerosis (74). The development of OCs is highly skewed towards proliferation in both primary and secondary MPN-associated MF. Veletic and coworkers demonstrated that neoplastic monocytic progenitors retain their aberrant genetic constitution, even after full differentiation into mature OCs (74). However, fusion of such progenitors seems to be profoundly impaired, and MF OCs are unable to fully acquire the phenotypical features associated with efficient bone resorption, particularly multinucleation and the development of actin-rich structures. At least to a certain extent, this process happens independently of the non-malignant MSCs, although it seems to be impacting the OBs as well. Evidence demonstrates that even though MF OCs are hyperproliferative, their function is intrinsically suppressed due to the inherited neoplastic burden, which in turn contributes to the osteosclerotic dysplasia of the MPN-affected BM (74). Schepers and coworkers showed that OBCs derived from multipotent stromal cells, expand in the presence of malignant hematopoietic cells, which results in matrix production and trabecular thickening (75). Under osteogenic differentiation conditions, MSCs from PMF patients showed an increased capacity to mineralize extracellular bone matrix in vitro and to form new bone in vivo in immunodeficient mice (32). Furthermore, novel pharmacological approaches are on their way to improve the current strategies in fighting MPNs progression. In this context it has been reported that targeting IL-1 $\beta$  by using a specific antibody might decrease reticulin fibrosis and osteosclerosis in a preclinical JAK2-V617F MPN mouse model. For this reason, a combination therapy with JAK1/2 inhibitor might represent a future direction for MPN therapeutic approach [86].

# 4 The role of oxidative stress in MPN-MSCs

As described above, the perpetual generation of an inflammatory stressing state, leads to the establishment of an optimal environment for mutations accumulation, including MSCs. Allegra et al. reported that by stimulating p38–MAPK, AKT/mTOR and JAK/STAT in progenitors and leukocytes it causes a condition of chronic oxidative stress with increased concentrations of ROS in the BM, eventually triggering germline mutations (40). Such not permissive condition has been correlated with MPN onset. Supporting this data, increased ROS levels produced by TME components the stem cell clone itself

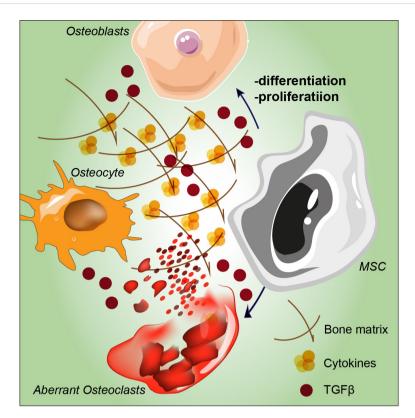


FIGURE 2
Schematic representation of MSC proliferation and differentiation abnormalities stimulated by tumoral hematopoietic cells. Aberrant osteolytic activity mediated by osteoclasts leads to bone remodeling and to altered osteocytes, overall contributing to the induction of osteosclerosis. MSC: mesenchymal stromal cell; TGF-β: transforming growth factor-beta.

constantly produces inflammatory components in the BM. These elements further increase clonal expansion, thus leading to a positive feedback loop (40). In order to characterize the role of altered BM-MSCs during inflammation, Desterke et al. collected information from PubMed and gene databases and crossed these with the gene expression profile of BM-MSCs performed in PMF patients (76). Several altered pathways were identified including oncostatin M and TGF-β signaling triggering misregulated DNA damage, senescence, and autophagy. This has been also confirmed in PV and ET where chronic inflammation in BM-TME induces a hypersensibility of MSCs to inflammatory molecules participating in creating the previous mentioned vicious circle (77). Since TGFβ1 and the subsequent oxidative stress are key regulators for DNA methylation in cancer (78), it has been reported that PDGF-β gene is hypomethylated, thus correlating with a poor prognosis, eventually harboring a significant fibrosis grade (76). Halogenated cytosine residues are indeed one of the most common DNA damage signature mediated by inflammation (79-81). These inflammation damage products have been detected in human leukocytes where the methyl-binding proteins are not able to distinguish methylated and halogenated DNA; thus, DNA methyltransferase (DNMT) 1 could be deceived and they lead to the accumulation of these analogues within the genome (82-86). An initial halogenation, triggered by inflammation, could direct methylation of the complementary DNA strand, eventually resulting in heritable alterations in genome methylation pattern.

#### 5 Conclusions and future directions

Herein, we reviewed the regulatory properties of MSCs in immune-mediated or inflammatory conditions, shed light on the fact that MPNs are diseases sensitive to ROS overload together with the central role of the innate immune system in the modulatory effects of MSCs. The pro-inflammatory status induced by oxidative stress increases the risk of malignant transformation. Many aspects concerning the relationship between chronic myeloproliferative diseases, genetic alterations, and inflammation were recently clarified. MPNs are characterized by increased generation of one or more forms of myeloid cells, together with the impairment of neighboring healthy MSCs (40). The excessive ROS accumulation give rise to overstimulated fibrocytes and OCs leading to the onset and progression of disease (87). Inflammatory cytokines are also capable of influencing disease onset as well as its evolution and prognosis. This altered state is also sustained by platelets and megakaryocytes which participate in inflammation and immunity (88). The employment of antioxidants could be advantageous in the treatment of MPNs, since Ruxolitinib has little antioxidative capacity. Furthermore, MPNs patients usually undergoes blood transfusion. The iron overload resulting upon this step might also enhance ROS accumulation (65, 89). For this reason, in the latest research lines has been reported that the usage of N-adenyl-cysteine (NAC) decreases bone marrow fibrosis in patients with MPN and could potentially aid with HSCT engraftment (90). This work might

potentially open the path towards the inclusion of antioxidant drugs in the fight against MPN progression. Recognizing the genetic and external elements that participate in the myelofibrotic evolution of MPNs is of crucial importance for early detection as well as to initiate therapies inhibiting or reversing disease development in MPN patients. The fact that genetic ablation of GLI1+ cells abolish BM fibrosis and restores hematopoiesis indicates that GLI1+ MSCs are a promising therapeutic target (53, 60). Considering the crossinfluence between the BM extracellular matrix composition and the proliferation/differentiation capability of HSCs, the stimulating issue concerning the impact of stromal cell alterations on hematopoiesis needs to be elucidated. By being "bad stromal cells", MSCs take entirely part in the "bad seed in bad soil" concept and strengthen the importance of stromal cells in the development of a neoplasia. Therefore, MSCs from patients are good candidates for niche-targeted therapies that, in association with drugs eradicating the hematopoietic clone, would improve patient treatment. In this scenario, novel therapeutic targets are stepping in front. It has been recently reported that MF patients overexpress the cyclin dependent kinase 6 (CDK6) which might turn to be a novel target. Corroborating this idea, the authors show an enhancement of the Ruxolitinib effect in synergy with CDK6 inhibitor Palbociclib, significantly reducing leukocytosis, splenomegaly, and bone marrow fibrosis in Jak2V617F and MPLW515L murine models. However, the improvement of MFrelated animal model is nowadays a crucial step to dissect the effect of novel approaches on immune cells and the mesenchymal counterpart in the BM milieu. To develop animal models that appropriately address the complex interplay among HSCs, MSCs and immune system in TME where these cells execute their regulatory function, becomes extremely important. In particular, it may be helpful to dissect the molecular pathway involved in the generation of anti-inflammatory cells, in vitro and that result may help to design relevant immunocompetent animal models in order to enhance the antioxidant capability of MSCs to positively counterbalance the negative effect of the oxidative damage. From the MSCs point of view it could be possible to manage the mechanisms through which MSCs may promote or suppress tumor progression and the possible tumor-promoting activity of MSCs may be useful in choosing the right mesenchymal population based on the specific cancer type with a successful application in patients. As the main goal to be achieved is to operate on the pathways that control the synthesis of cytokines, oxidative stress and genome instability as well, it may be suggested that acting on the inflammatory state as a therapeutic approach in MPNs could be effective in reducing the possibility of leukemic progression and onset of complications. Nevertheless, this promising property of MSCs, independently on their HSC-supporting capacity and the immunomodulatory effect, warrants extensive and deeper studies.

#### **Author contributions**

Conceptualization, EL, SG, CG, NV, and DT. Writing—original draft preparation, EL, SG, CG, NV, GM, and LL. Writing—review and editing, CG, NV, RP, RG, DC, GL, FD, AR, GM, AD, GP, LL, and DT. Supervision, CG, NV, RP, RG, GM, GL, FD, AR, GP, and DT. Project administration, EL, DT, and NV. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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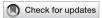
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# Photo-functionalized TiO<sub>2</sub> film for facile immobilization of EpCAM antibodies and efficient enrichment of circulating tumor cells

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The highly efficient capture of circulating tumor cells (CTCs) in the blood is essential for the screening, treatment, and assessment of the risk of metastasis or recurrence of cancer. Immobilizing specific antibodies, such as EpCAM antibodies, on the material's surface is currently the primary method for efficiently capturing CTCs. However, the strategies for immobilizing antibodies usually have the disadvantages of requiring multiple chemical reagents and a complex pre-treatment process. Herein we developed a simple strategy for the immobilization of EpCAM antibodies without additional chemical reagents. By utilizing the positive charge property of the photo-functionalized titanium dioxide (TiO<sub>2</sub>), the negatively charged carboxyl terminal of EpCAM antibodies was immobilized by electrostatic interaction, allowing the antibodies to expose the antigen binding site fully. The experimental results showed that the photofunctionalized TiO2 surface had a marked positive charge and superhydrophilic properties that could immobilize large amounts of EpCAM antibodies and keep excellent activity. CTCs capture experiments in vitro showed that the EpCAM antibodies-modified photo-functionalized TiO<sub>2</sub> could efficiently capture CTCs. The results of blood circulation experiments in rabbits showed that the EpCAM antibodies-modified photo-functionalized TiO<sub>2</sub> could accurately capture CTCs from the whole body's blood. It was foreseen that the strategy of simple immobilization of EpCAM antibodies based on photofunctionalized TiO2 is expected to serve in the efficient capture of CTCs in the future.

#### KEYWORDS

photo-functionalized  ${\rm TiO_2}$ , EpCAM antibodies, circulating tumor cells (CTCs), capture, tumor screening

#### 1 Introduction

Cancer is a significant public health threat, inducing more than 10 million deaths in 2020 worldwide (Sung et al., 2021). Circulating tumor cells (CTCs) are cancer cells that are shed from the primary lesion of a solid tumor, enter the circulation and/or lymphatic system, and translocate to distant tissues to form a secondary tumor (Plaks et al., 2013; Castro-Giner and Aceto, 2020). Increased CTCs in the blood are associated with tumor metastasis and the short interval between tumor recurrences (Chaffer and Weinberg, 2011). Therefore, as a new target for "liquid biopsy," CTCs are of great clinical significance in assessing patients for postoperative monitoring, postoperative adjuvant therapy, and guiding the development of targeted treatment plans (Lin et al., 2018).

Currently, the enrichment methods for CTCs can be divided into in vitro and in vivo enrichment methods. The common in vitro CTCs enrichment methods include microfluidic devices (Su et al., 2019; Shi et al., 2021; Abdulla et al., 2022; Liu et al., 2022), photoelectrochemical platforms (Parker et al., 2018; Xu et al., 2021), immunomagnetic beads (Mohamadi et al., 2015; Wang et al., 2019; Li et al., 2020; Liang et al., 2020; Zhou et al., 2022), and new patterns (Jahangiri et al., 2020; Kang et al., 2021; Zhang et al., 2021; Wang et al., 2022b; Wang et al., 2022c) et al. False negative test results are the main problem that plagues these in vitro methods, because CTCs are very scarce in peripheral blood. There are only a few CTCs in 1 mL of blood, but there are millions of blood cells (Paterlini-Brechot and Benali, 2007). Therefore, materials that can efficiently enrich CTCs from a few mL of collected blood are crucial to improving the sensitivity and accuracy of CTCs detection. Compared to the in vitro methods, the in vivo enrichment methods, such as vein indwelling needles (Zhang et al., 2015), flexible electronic catheters (Wang et al., 2022a), plasmon resonance fiber probes (Zhu et al., 2022), and black phosphorus-modified intravenous catheters (Wang et al., 2020), are expected to enrich more CTCs from whole body blood, thus reducing the number of false negative results. However, these methods need to implant the CTC-enrich materials into the body, which may lead to various host reactions such as coagulation and inflammation. Therefore, beyond the ability to efficiently enrich CTCs, the in vivo enrichment method places additional requirements on the materials, namely, excellent biocompatibility.

Furthermore, a common problem faced by both in vivo and in vitro enrichment methods is that the strategy for the immobilization of CTC-capture antibodies on the surface of the material needs to be further simplified. For example, in the immobilization of EpCAM antibodies (the most used CTCs capture antibody), Parker et al. (2018) used oligo oxide molecules to attach antibodies on the silicon surface via an N, N-disuccinimidyl carbonate activating group. Wang et al. (2020) used the carbodiimide crosslinking agent 1-(3-dimethylaminopropyl)-3-ethyl carbodiimide hydrochloride to conjugate EpCAM antibodies via amido linkage on the surface of the intravenous catheter. Stott et al. (2010) used coupling agents attached to avidin and then coupled them to biotinylated EpCAM antibodies to functionalize the microfluidic device. All of these methods required using complex tools and toxic chemical reagents. Therefore, it is of great significance to develop a strategy to fix EpCAM antibodies more simply.

Titanium dioxide  $(TiO_2)$  is a ceramic material popular in orthopedics and blood contact materials for its excellent

biocompatibility. Therefore, TiO2 meets the stringent bio-safety and biocompatibility required by CTCs in vivo capture materials. Chen et al. have shown that TiO<sub>2</sub> irradiated by ultraviolet light (UV) has better anticoagulation compared with unirradiated TiO2 (Chen et al., 2014; Chen et al., 2015). When TiO<sub>2</sub> was irradiated by UV, the electrons in the valence band transitioned, and the positive holes were generated at simultaneously, forming the electron-hole pairs (Wang et al., 1997; Zubkov et al., 2005; Hori et al., 2010a). Among them, photogenerated electrons have a strong oxidation capacity, while photogenerated holes have a reducing capacity (Hori et al., 2010a; Pelaez et al., 2012), resulting in the transformation of TiO<sub>2</sub> from biologically inert to biologically active and causing a selfcleaning effect (Hori et al., 2010b; Ueno et al., 2010), which desorbs many inert hydrocarbons adsorbed on the surface, exposing a more positive charged surface of TiO2, and increasing the hydrophilicity of the surface (that is, increasing the surface energy) (Takeuchi et al., 2005; Zhang et al., 2008; Hori et al., 2010a; Iwasa et al., 2010). Therefore, the Photo-functionalized TiO2 could improve the adsorption of the proteins through electrostatic adsorption and thermodynamics.

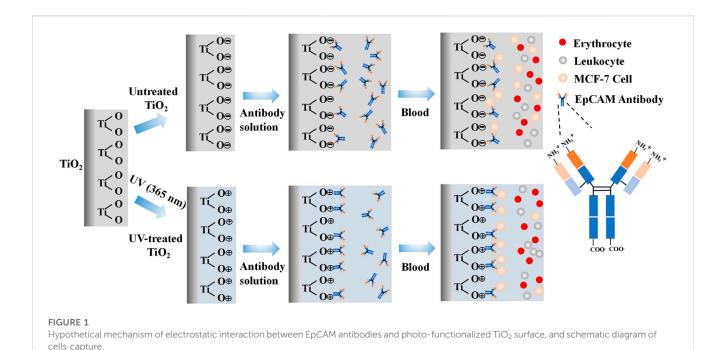
EpCAM antibodies are composed of multiple amino acids. The negatively charged carboxyl terminal is the constant region of the antibody, and the positively charged amino terminal is the variable region of the antibody, which is also the binding site for the CTCs surface antigen. At physiological pH (7.0), the surfaces of TiO<sub>2</sub> are known to be negatively charged (Ellingsen, 1991; Hori et al., 2010a). Therefore, we hypothesized that the photo-functionalized TiO<sub>2</sub> surface could bind to the negatively charged carboxyl terminal of the antibody through an electrostatic mechanism to immobilize the EpCAM antibodies and expose the binding site of the EpCAM antibodies to the antigens, thereby achieving highly sensitive capture CTCs (Figure 1). Compared with the traditional method of chemically grafting antibodies, photo-functionalized TiO2 as a substrate to bind EpCAM antibodies has the characteristics of simplicity and no need to use toxic chemical reagents. As mentioned above, TiO2 has excellent biocompatibility. In addition, TiO2 can also be used on the surface of various inorganic materials by the physical vapor deposition method. Therefore, the method may be suitable for constructing various devices for capturing CTCs in vitro (such as magnetic beads and silicon-based photoelectrochemical platforms) and in vivo (stainless steel indwelling needles).

In this study, we characterized the surface physicochemical properties of photo-functionalized  ${\rm TiO_2}$  by X-ray photoelectron spectroscopy, water contact angle measurement meter, and potentiometric analysis. Then we immobilized the EpCAM antibodies on the photo-functionalized  ${\rm TiO_2}$ . After that, we comprehensively studied the CTCs-capture efficiency of the EpCAM antibodies-modified photo-functionalized  ${\rm TiO_2}$  in vitro and in vivo dynamic environments to evaluate the application potential of the strategy in various typical CTCs capture scenes.

#### 2 Materials and methods

#### 2.1 Materials

Human breast cancer cells (MCF-7) were purchased from Chuan Qiu Biotechnology Company Limited (Shanghai). EpCAM



monoclonal antibody was purchased from Proteintech Group, Inc., (Wuhan). Rhodamine stain solution was purchased from Sigma-Aldrich (United States). The CFDA SE Cell Proliferation Assay and Tracking Kit were purchased from Beyotime Biotechnology Company Limited (Shanghai).  ${\rm TiO_2}$  nanoparticles were purchased from Sigma-Aldrich (United States).

#### 2.2 Construction of CTCs capture materials

Anatase TiO2 films were prepared on the Si substrate by an unbalanced magnetron sputtering equipment (UBMS450, Southwest Jiaotong University), according to the deposition parameters of Cui et al. (2021) The TiO2 films were cut into  $0.7 \text{ cm} \times 0.7 \text{ cm}$  pieces and placed in a dark environment for 1 month to stabilize the chemical properties of the surface of the samples. The TiO2 films were then irradiated for 1 h at 365 nm UV intensity using a model URE-2000/25-T9 lithography machine (Institute of Optics and Electronics, Chinese Academy of Sciences, China) with a UV intensity of 10 mW/cm<sup>2</sup>. UVirradiated TiO2 films (UV-TiO2) and unirradiated TiO2 films (UNT-TiO<sub>2</sub>) were separately placed in 24-well plates. The EpCAM antibody solution was diluted with phosphate buffer saline (PBS) to make antibody dilutions at concentrations of 0, 0.001, 0.01, and 0.1 mg/mL, which were prepared and ready to use. The UV-TiO2 groups and UNT-TiO2 groups were then incubated with different concentrations of antibody solutions for 5 min at room temperature. After the incubation, the samples were washed 3 times with PBS to remove the antibodies that did not adhere firmly. Finally, the samples were stored at 4°C. These prepared samples are respectively represented as UV-TiO<sub>2</sub>-0, UV-TiO<sub>2</sub>-0.001, UV-TiO<sub>2</sub>-0.01, UV-TiO<sub>2</sub>-0.1, and UNT-TiO<sub>2</sub>-0, UNT-TiO<sub>2</sub>-0.001, UNT-TiO<sub>2</sub>-0.01, UNT-TiO<sub>2</sub>-0.1.

#### 2.3 Characterization of TiO<sub>2</sub> film

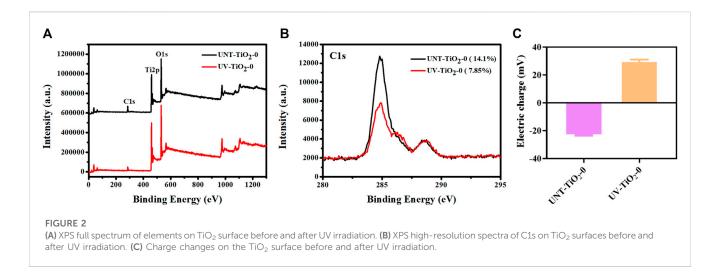
Atomic Force Microscope (AFM; Nano Navi E-Sweep, Hitachi, Japan) was used to observe the surface morphologies of the samples. X-ray photoelectron spectroscopy (XPS; XSAM800, Kratos Ltd., United Kingdom) was performed to detect the changes in the surface chemical state of the samples before and after antibody adsorption. The hydrophilicity of the samples was detected by a water contact angle measurement meter (WCA; JY-82, Kruss, Germany). A Zeta electric potential analyzer (ZEN3600, Malvern Nano ZS, United Kingdom) was employed to detect the change in the surface charge of the samples (Due to the requirements of the detection equipment, the TiO<sub>2</sub> films were replaced with TiO<sub>2</sub> nanoparticles. All other processing factors were the same as above).

#### 2.4 In vitro capture of CTCs

Each prepared sample was placed in a 24-well plate, and MCF-7 cells were diluted to  $10^5$  cells/mL in the DMEM medium. Then 500  $\mu$ L of cell suspension was added to each sample and placed on a shaker for 40 min. After 40 min, they were washed 3 times with PBS to remove uncaptured cells, followed by 2.5% (v/v) glutaraldehyde for fixation. Finally, cells captured on the surface of different samples were stained with rhodamine stain and observed under a fluorescent microscope (IX51, Olympus, Japan).

#### 2.5 Dynamic capture of CTCs in vitro

Foldable Ti foils (0.7 cm  $\times$  1 cm) covered with TiO<sub>2</sub> films were used to test the capture efficiency of CTCs in the blood flow state.



A chandler loop system (CJ23, Sichuan Academy of Medical Sciences-Sichuan Provincial People's Hospital) was used to simulate blood flow to capture CTCs. The chandler loop system can better simulate extracorporeal blood circulation and rotate at a certain speed in a temperature-controlled environment to simulate blood flow conditions. The medical catheters containing fresh whole blood (collected in an ethically approved manner from healthy people at the Sichuan Provincial People's Hospital) with MCF-7 cells (labelled in advance using the CFDA SE fluorescent stain) were connected to the chandler loop system to form a closed circulatory system (Figure 5A). The TiO<sub>2</sub> foils from the UV-TiO<sub>2</sub>-0, UV-TiO<sub>2</sub>-0.1, and UNT-TiO2-0, UNT-TiO2-0.1 groups were rolled into separate medical catheters, with each TiO2 foil tightly attached to the inner wall of the catheter, and based on the catheter diameter of the chandler loop system and the flow rate of a human arm vein, the liquid flow of the chandler loop system was set to 50 mL/min, the temperature was set to 37°C and cycled for 40 min. Afterward, the samples were gently removed, washed 3 times in PBS, and immediately observed under a fluorescent microscope.

#### 2.6 In vivo capture of CTCs

All animal experiments were performed in accordance with Chinese regulations on laboratory animal management. New Zealand White rabbits weighing 4.0–4.5 Kg were used. The UV-TiO<sub>2</sub>-0.1 and UNT-TiO<sub>2</sub>-0.1 were selected for the test, and UV-TiO<sub>2</sub>-0 and UNT-TiO<sub>2</sub>-0 were used as controls. The samples were rolled into separate medical catheters, with each sample tightly attached to the inner wall of the catheter. One side of the catheter was connected to the carotid artery of the rabbit and the other to the jugular vein, forming a closed circulatory system (Figure 6A). After successful connection, 1 mL of MCF-7 cells (labelled in advance using the CFDA SE fluorescent stain) were injected from the rabbit's ear vein. After 40 min of cycling, the samples were gently removed, washed 3 times in PBS, and immediately observed under a fluorescent microscope.

#### 2.7 Statistical analysis

One-way ANOVA of SPSS 26.0 software was performed to assess statistical differences between the sample groups. p < 0.05 indicated significance. Three independent samples were used for each experimental sample group if not otherwise indicated.

#### 3 Results and discussion

#### 3.1 Characterization of TiO<sub>2</sub> film

Figures 2A, B showed the XPS spectra of C1s of the  $TiO_2$  surface before and after the UV irradiation. The content of the carbon (C) element on the unirradiated  $TiO_2$  surface was 14.1%, while after UV irradiation, the content of the C element decreased to 7.85%. This might be due to the self-clean effect, which decomposed the hydrocarbons adsorbed on the  $TiO_2$  surface (Takeuchi et al., 2005; Zhang et al., 2008). The decrease of the C element indicated the exposure of the clean  $TiO_2$  surface, which might bind more of the antibodies.

As shown in Figure 2C, the changes in charge of the TiO<sub>2</sub> surface before and after UV irradiation were examined. The TiO<sub>2</sub> surface was negatively charged before UV irradiation, while the surface showed a positive charge after UV irradiation. This positively charged surface could facilitate the carboxyl terminal of the antibody to conjugate with the TiO<sub>2</sub> surface through electrostatic interaction, thus fully exposing the antibody's antigen-binding site. However, there was also a problem that there are usually many amino and carboxyl groups in the side chain of an antibody, which would affect the adhesion mode of antibodies and TiO<sub>2</sub>. That is, in addition to the binding method shown in Figure 1, antibodies might also be combined with TiO<sub>2</sub> through carboxyl groups on the side chain, in the form of lying on the side, which would affect the exposure of the antigen-binding site of the antibody and hence the effectiveness of cell capture.

As the EpCAM antibodies contain the characteristic element nitrogen (N), XPS was used to detect the atomic percentage of N on the surface of the sample to semi-quantitatively calculate the number of antibodies bound on the sample surface. Figure 3A

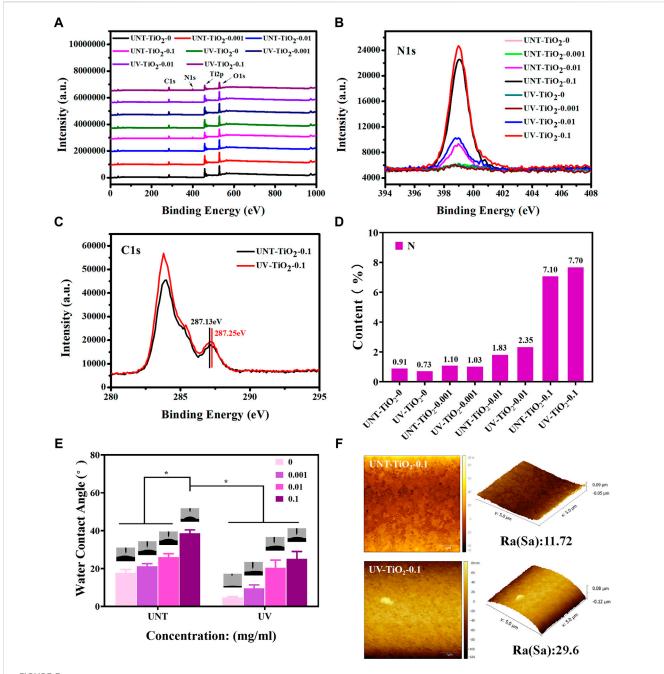
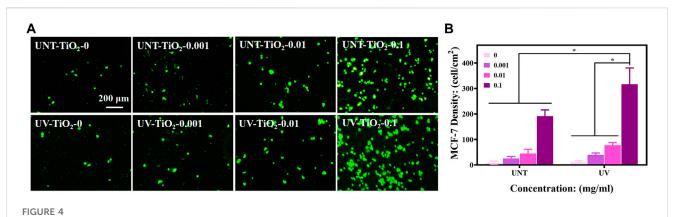


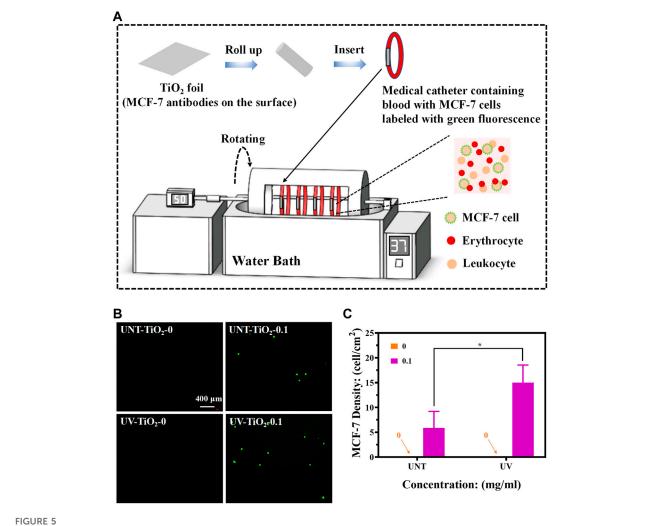
FIGURE 3
(A) XPS full spectrum of TiO<sub>2</sub> surface modified with EpCAM antibodies. (B) XPS high-resolution spectra of N1s on TiO<sub>2</sub> surface modified with EpCAM antibodies. (C) XPS high-resolution spectra of C1s on TiO<sub>2</sub> surface modified with EpCAM antibodies. (D) The statistical plot of the N element content of the TiO<sub>2</sub> surface modified with EpCAM antibodies. (E) The water contact angle of TiO<sub>2</sub> surface modified with EpCAM antibodies. (F) AFM image of TiO<sub>2</sub> surface modified with EpCAM antibodies. Data were expressed as mean  $\pm$  standard deviation (n = 3) and analyzed using a one-way ANOVA, \*p < 0.05.

showed the XPS full spectrum, Figure 3B showed the high-resolution spectra of N1s, and Figure 3D showed the N element content statistics. The results revealed that the N element content of the  ${\rm TiO_2}$  surface modified with EpCAM antibodies showed the following order:  ${\rm UV\text{-}TiO_2\text{-}0.1}$  >  ${\rm UNT\text{-}TiO_2\text{-}0.1}$  >  ${\rm UV\text{-}TiO_2\text{-}0.01}$  >  ${\rm UNT\text{-}TiO_2\text{-}0.01}$  >  ${\rm UNT\text{-}TiO_2\text{-}0.01}$  was able to adsorb more antibodies compared to the  ${\rm UNT\text{-}TiO_2}$  when immersed in the same concentration of antibody solution.

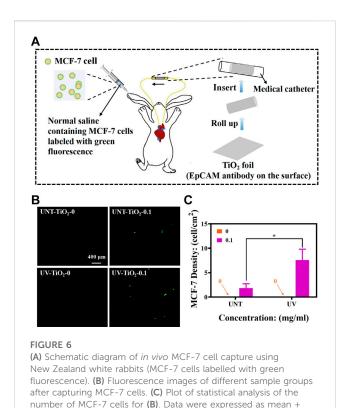
Meanwhile, the N element content of UNT-TiO<sub>2</sub>-0.001 and UV-TiO<sub>2</sub>-0.001 was similar to that of UNT-TiO<sub>2</sub>-0 and UV-TiO<sub>2</sub>-0. This might be due to the adsorbed antibodies in the TiO<sub>2</sub>-0.001 groups being below the XPS device's detection limit; Figure 3C showed that the peak of UV-TiO<sub>2</sub>-0.1 was higher than the UNT-TiO<sub>2</sub>-0.1, further proving that there were more antibodies adsorbed to UV-TiO<sub>2</sub>-0.1. And they both had a small spike at about 287 eV binding energy, which was attributed to the presence of oxygen-containing



(A) Fluorescent images of different sample groups after capturing MCF-7 cells. (B) Plots of statistical analysis of the number of MCF-7 cells for (A). Data were expressed as mean  $\pm$  standard deviation (n = 3) and analyzed using one-way ANOVA, \*p < 0.05.



(A) Schematic diagram of capturing MCF-7 cells using the chandler loop system device (MCF-7 cells labelled with green fluorescence). (B) Fluorescence images of different sample groups after capturing MCF-7 cells. (C) Plot of statistical analysis of the number of MCF-7 cells for (B). Data were expressed as mean  $\pm$  standard deviation (n = 3) and analyzed using one-way ANOVA, \*p < 0.05.



standard deviation (n = 3) and analyzed using one-way ANOVA,

\*p < 0.05

hydrocarbons and could be assigned to the -COOH group (Aita et al., 2009; Att et al., 2009). Compared with the small peak of UNT-TiO<sub>2</sub>-0.1 (287.13 eV), the small peak of UV-TiO<sub>2</sub>-0.1 (287.25 eV) was shifted to the right, indicating that the -COOH group lost hydrogen and might be absorbed on the TiO2 surface in a bidentate binding structure. UV irradiation can lead to various physicochemical changes in the TiO2 surface, including photoinduced superhydrophilicity (Wang et al., 1997; Takeuchi et al., 2005). As shown in Figure 3E, the results showed that the water contact angle of the unirradiated TiO<sub>2</sub> surface (UNT-TiO<sub>2</sub>-0) was approximately 17.93° ± 1.59°. In comparison, the water contact angle of the UV-irradiated TiO2 surface (UV-TiO2-0) was approximately 4.9° ± 0.3°, because of the fact that the UV irradiation causes the  $TiO_2$ surface superhydrophilic. The hydrophilic surface is conducive to keeping its activity (Giacomelli et al., 1999). The water contact angles on all the TiO2 surfaces increased after the addition of EpCAM antibodies and were positively correlated with the antibody concentration. At the same antibody concentration, the water contact angle of the UV-TiO2 groups was lower than that of the UNT-TiO2 groups, indicating that the antibodies adhered to the UV-TiO2 groups, compared to the UNT-TiO2 groups, exposed to fewer hydrophobic terminal.

As shown in Figure 3F, the AFM results showed that the surface roughness of UNT- $TiO_2$ -0.1 and UV- $TiO_2$ -0.1 was 11.72 nm and 29.6 nm, respectively. UV- $TiO_2$ -0.1 had a higher roughness than UNT- $TiO_2$ -0.1, indicating that the UV-treated  $TiO_2$  could promote antibodies' binding to the  $TiO_2$  surface.

#### 3.2 In vitro capture of CTCs

As epithelial cell adhesion molecule (EpCAM) is highly expressed in breast cancer cells (Cimino et al., 2010; Chen et al., 2018), MCF-7 cells were used for capture experiments in the study. As shown in Figures 4A, B, the number of captured cells increased with the increase of antibody concentration in both the UNT-TiO<sub>2</sub> and UV-TiO2 groups. Among all the samples, UV-TiO2-0.1 captured the most cells. This result indicated that as the antibody concentration increased, the more EpCAM antibodies adsorbed on the TiO<sub>2</sub> surface, the more cells were captured. The cells in UV-TiO<sub>2</sub>-0 groups and UNT-TiO<sub>2</sub>-0 groups were probably caused by the natural settling of the cells and occasional contact. Moreover, at the same concentration, the UV-TiO2 groups could capture about 1.5 times more MCF-7 cells than the UNT-TiO<sub>2</sub> groups. The result indicated that the photo-functionalized TiO2 surface modified with EpCAM antibodies could efficiently capture CTCs from the environment in vitro.

#### 3.3 Dynamic capture of CTCs in vitro

To explore the capture efficiency of MCF-7 cells by EpCAM antibodies-modified  ${\rm TiO_2}$  in the blood flow state and eliminate the influence of complex components in blood on the capture of MCF-7 cells, this experiment would use the chandler loop system to simulate blood circulation.

As shown in Figures 5B, C, the TiO2-0 groups could not capture MCF-7 cells in flowing blood conditions. The EpCAM antibodiesmodified TiO2, either UV-TiO2-0.1 or UNT-TiO2-0.1 group, could successfully capture MCF-7 cells. Notably, in the above in vitro capture results, the UV-TiO<sub>2</sub>-0.1 groups captured only approximately 165% more MCF-7 cells than the UNT-TiO2-0.1 groups, but in the fluid conditions, the UV-TiO<sub>2</sub>-0.1 groups captured approximately 252% more MCF-7 cells than the UNT-TiO<sub>2</sub>-0.1 groups. The reason for the difference could be that some of the antibodies on the unirradiated TiO<sub>2</sub> surface were easily washed away due to physical adsorption under fluid conditions. In contrast, antibodies adsorbed on the Photofunctionalized TiO2 surface had a strong binding force that resisted fluid washout and captured the cells in the fluid. However, the number of MCF-7 cells captured by UV-TiO<sub>2</sub>-0.1 and UNT-TiO<sub>2</sub>-0.1 groups in this experiment was far less than that of MCF-7 cells captured in vitro mentioned above. The possible reason was that in the complex whole blood condition, blood cells in the blood obstructed the contact of MCF-7 cells with antibodies on the TiO2, resulting in insufficient contact of MCF-7 cells with TiO2. In conclusion, the above results demonstrated that photo-functionalized TiO2 surfaces modified with EpCAM antibodies could efficiently capture CTCs from the environment in vitro.

#### 3.4 In vivo capture of CTCs

Furthermore, we constructed a rabbit model to simulate human blood circulation to determine whether  ${\rm TiO_2}$  modified with EpCAM antibodies could capture MCF-7 cells *in vivo*.

As shown in Figure 6A, a medical catheter containing  ${\rm TiO_2}$  modified with EpCAM antibodies was used to connect the rabbit's

carotid artery and jugular vein to construct a closed circulatory system. MCF-7 cells labelled with CFDA SE stain (emitting green fluorescence) were then injected into the body from the rabbit's ear vein, and the MCF-7 cells were captured as the blood flowed through the TiO<sub>2</sub> modified with EpCAM antibodies. As shown in Figures 6B, C, the TiO<sub>2</sub>-0 groups could not capture MCF-7 cells *in vivo*. In contrast, after the immobilization of EpCAM antibodies, TiO<sub>2</sub> could effectively capture MCF-7 cells, and UV-TiO<sub>2</sub>-0.1 captured about 4 times more MCF-7 cells than UNT-TiO<sub>2</sub>-0.1. However, the number of cells captured by both was less than that of cells captured *in vitro*, probably because the rabbit's immune system rejected the foreign bodies and cleared some MCF-7 cells. These results indicated that photo-functionalized TiO<sub>2</sub> with EpCAM antibodies could capture CTCs from the dynamic environment *in vivo*.

#### 4 Conclusion

In summary, we have constructed a new platform that significantly increased the capture efficiency of CTCs by bonding EpCAM antibodies with electrostatic mechanisms based on the charge change on the TiO<sub>2</sub> surface caused by UV irradiation, which exposed more binding sites for antibodies bound to the TiO<sub>2</sub> surface. Our experimental results also showed that the photofunctionalized TiO<sub>2</sub> modified with EpCAM antibodies could efficiently capture CTCs from environments *in vitro* and *in vivo*. Since TiO<sub>2</sub> can be deposited on the surface of various inorganic materials by physical vapor deposition and has excellent biocompatibility. Therefore, the method may be suitable for the construction of a variety of various materials for the capture of CTCs *in vitro* (such as magnetic beads and silicon-based photoelectrochemical platforms) and *in vivo* (stainless steel indwelling needles).

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

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#### **Ethics statement**

The animal study was reviewed and approved by the Medical Ethics Committee of the Sichuan Provincial People's Hospital.

#### **Author contributions**

HD and XQL: Experiment, data curation, writing-original draft preparation. JC and YH: Supervision and language polishment. LKL: Writing-Reviewing. XL, JC, and XQL: Conceptualization and manuscript revision.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Inhibitory effect and related mechanism of decitabine combined with gemcitabine on proliferation of NK/T cell lymphoma cells

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**Background:** EBV-associated lymphoma is a neoplasm with a poor prognosis, highly aggressive, and progressive rapidly. There is no standard clinical treatment protocol. Decitabine and gemcitabine are known to have anticancer properties against cells of various cancer, respectively. However, the effect of the combination medication on NK/T cell lymphoma cells and potential mechanisms have not been thoroughly investigated.

**Methods:** Human NK/T cell lymphoma cells NK92MI were treated with decitabine and gemcitabine alone or in combination. Experiments, including the Cell Counting Kit-8 and flow cytometry, were performed to investigate how the combination of decitabine and gemcitabine affects the biological behavior of NK92MI cells *in vitro*. mRNA sequencing, RT-PCR, and western blotting were used to detect changes in the related signal pathway, mRNA, and protein expressions.

**Results:** Decitabine and gemcitabine significantly inhibited the viability and proliferation of NK92MI cells in a dose-dependent manner. The combination index was less than 1 after treating with two drugs, which was a significant synergistic effect. The decitabine concentration with the best synergistic effect was  $4.046\,\mu\text{M}$ , and the gemcitabine concentration was  $0.005\,\mu\text{M}$ . Flow cytometry showed that combining two drugs could significantly promote apoptosis and arrest the cell cycle at the S phase. In the combined DAC and GEM group, caspase3 protein levels were higher than in either group alone or the control group. The transcriptome sequence, KEGG, and PPI analysis showed that the differential genes after combined treatment were mainly enriched in signal pathways related to cell proliferation, adhesion, and migration compared with using alone and control groups. Based on the sequencing results, we further

investigated the role of DAC and GEM in ferroptosis-related signaling molecules using RT-PCR and Western blot techniques. RT-PCR and western blotting showed that the expression levels of HMOX1 and EBV cleavage gene BRLF1 were higher in the group with combined DAC and GEM than in the group alone and the control group, while the protein and mRNA expression levels of SLC7A11 were lower than the others. In addition, the GPX4 protein expression level in the combination group was lower than in the drug-alone and control groups. In addition, the combination treatment increased the ROS level of NK92MI cells.

**Conclusion:** Our current findings suggested that decitabine had an inhibitory effect on the proliferation of NK92MI cells when co-treated with gemcitabine. This combination may increase the expression of ferroptosis-related signaling molecules, thus inhibiting the proliferation of NK92MI cells. It also promoted apoptosis in NK/T cell lymphoma. For patients with NK/T cell lymphoma, this novel combination may provide clinical benefits.

KEYWORDS

NK/T cell lymphoma, decitabine, gemcitabine, ferroptosis, apoptosis, drug combination

#### 1 Introduction

Since Epstein-Barr virus (EBV) has been detected in Burkitt's lymphoma (BL) in Africa, its oncogenicity was confirmed as it could transform resting B cells into long-living cells in vitro (Pope et al., 1968). The virus is associated with proliferative lesions and malignant lymphomas of B, T, and NK cell origin in lymphoid tissue (Shannon-Lowe et al., 2017). Patients with EBV-positive lymphoma have a more dismal outcome and are usually treated in the same manner as EBV-negative lymphomas (Oyama et al., 2007). Patients with NK/ T cell lymphoma (NKTCL) are ineffective to anthracycline-based chemotherapy due to high expression of multidrug-resistant P-glycoprotein (Yamaguchi et al., 1995). It is usually treated with a combination of radiotherapy and chemotherapy. Patients with stage I and II NKTCL are usually treated with radiotherapy, but the systemic recurrence rate is surprisingly high (Tse and Kwong, 2015). Asparaginase has a good survival rate for limited-stage NKTCL, with ORR and CR exceeding 75%, but not for advanced-stage NKTCL. Combination chemotherapy regimens including GELOX (pegaspargase, gemcitabine, oxaliplatin) (Wang et al., 2013), p-GEMOX (pegaspargase, gemcitabine, oxaliplatin) (Xia et al., 2021), MESA (methotrexate, etoposide, dexamethasone, and pegaspargase) (Xu et al., 2017), SMILE (dexamethasone, methotrexate, ifosfamide, L-asparaginase, and etoposide) (Kwong et al., 2012), DICE-L-sp (pegaspargase, gemcitabine, oxaliplatin) (Dong et al., 2016), LVP(pegaspargase, gemcitabine, oxaliplatin) (Jiang et al., 2012) all showed good clinical performance with 5-year PFS ranging from 64% to 83%. In contrast, L-asparaginase exhibits high immunogenicity and is less widely used, and its substitute, pegaspargase, is also associated with hyperlipidemia and fatal pancreatitis. Some novel targeted drugs have been investigated to overcome conventional therapies' limitations. Anti-PD-1 monoclonal antibodies activate depleted T cells and promote immune effects for antitumor purposes. Among the other agents being tested in clinical trials is lenalidomide, which can stimulate angiogenesis and immunomodulate the immune system (Jones et al., 2016; Kishimoto et al., 2019). In addition, infusion of cytotoxic T lymphoma with specific antigenic specificity can re-establish immunity to EBV, thus targeting malignant cells or already infected cells (Heslop et al., 2010). However, limitations such as high cost of targeted drugs, lack of prospective studies, safety issue of biological therapies, and complexity of preparing biological materials hamper clinical applications of these methods. Hence, a combination of old medications seems to be cost-effective and practical.

Decitabine (5-Aza-2'-deoxycytidine, DAC) is a natural adenosine analog of 2'-deoxycytidine, which inhibits tumor cell proliferation by inhibiting DNA methyltransferase and reducing DNA methylation. DAC activates hypermethylated silenced genes at low doses and exerts cytotoxic effects at high doses. DAC is available for various hematologic tumors (Seelan et al., 2018; Gao et al., 2020; Patel et al., 2021; Wu et al., 2021). Furthermore, DAC has been shown to be highly effective in treating malignant lymphomas (Dalton et al., 2020; Liu et al., 2021; Zhang et al., 2021). Low-dose DAC has been shown to initiate LMP1 demethylation in EBV-positive Burkitt lymphoma. (Dalton et al., 2020). In conjunction with adoptive T-cell therapy, DAC has improved the prognosis for patients with EBV-positive Hodgkin lymphoma (Cruz et al., 2011).

Gemcitabine ((2',2'-difluoro 2'-deoxycytidine, GEM) is a pyrimidine analog named after its structure. GEM has shown apparent efficacy in various malignancies (Mini et al., 2006; Gesto et al., 2012; Abdel-Rahman et al., 2018). Studies have shown that when combined with other cytotoxic drugs, GEM has good efficacy and is less toxic in treating EBV-associated lymphomas (Xia et al., 2021; Xie et al., 2021; Zhang et al., 2022). Additionally, GEM induces lytic EBV in nasopharyngeal carcinoma tumor cells, enabling them to be treated with antiviral therapy.

In this study, EBV-positive lymphoma-derived NK92MI cells were used to assess the synergistic effects and mechanisms of the DAC combination of the GEM.

#### 2 Materials and methods

#### 2.1 Cell line

NK-92MI cells were obtained from Procell Life Science&Technology Co, Ltd. They were cultures in  $\alpha$ MEM(Gibco, Cat#41061029) medium supported with 12.5% heat-inactivated FBS(Hyclone, Cat#SH30088.03HI), 12.5% heat-inactivated horse

serum (Gibco, Cat#26050088), 0.2 mM inositol (Solarbio, Cat#I8050), 0.1 mM 2-mercaptoethanol (Solarbio, Cat#M8210), and 0.02 mM folic acids (Solarbio, Cat#P1400). All media were supplemented with 100 U/mL penicillin and 100 mg/mL streptomycin (Solarbio, Cat#P1400). Cells were cultivated at 37  $^{\circ}$ C in a humidified atmosphere of 5% CO<sub>2</sub> in the air.

#### 2.2 Cell proliferation assay

For the growth inhibition assay, NK92MI cells were grown in 96-well plates at a density of  $4 \times 10^5$ /mL. After the cell growth was stabilized, treated with different doses of decitabine (DAC) or gemcitabine (GEM) for 48 h, then Cell Counting Kit-8 (CCK-8, Dojindo, Tokyo, Japan) was added to each well and was incubated at 37 °C in a humidified atmosphere of 5% CO<sub>2</sub> in the air for 4 h to assess the cell viability. An enzyme-labeled instrument measured absorbance at a wavelength of 450 nm. IC10, IC20, and IC30 values for two drugs were calculated using Prism six software. In order to investigate the synergistic Effect of two drugs, NK92MI cells were treated with IC10, IC20, and IC30 of DAC and IC10, IC20, and IC30 of GEM alone and in cross-pairing, respectively. The proportion of live cells was measured by CCK-8 regent. The effects of combinations were estimated using the Compusyn software, which was developed based on the median-effect method created by Chou and Talalay.

#### 2.3 Apoptosis assay

For this assay, the cells were seeded in 6-well plates at a density of  $4 \times 10^5/\text{mL}$ , which were treated with the best effective combinations. After 48 h of treatment, cells were collected and washed with PBS. Then, the cells were stained with AnnexinV Alexa Fluor488/PI(Solarbio, Cat#CA1020) according to the manufacturer's protocol. Next, the stained cells were analyzed using a FACS Canto II flow cytometer (BD Bioscience, United States), and the data were analyzed using FlowJo version software (FlowJo LLC, United States).

#### 2.4 Cell cycle assay

The cells were plated in 6-well plates at a density of  $4\times10^5/$  mL. They were treated with the best effective combinations to analyze the cell cycle, which was analyzed by measuring DNA content using a flow cytometer (FACS Canto, BD Bioscience, CA). In the following step, the cells were collected and washed with PBS, and then they were fixed overnight in ice-cold 70% ethanol at  $-20^\circ$ C. Next, the cells were resuspended in PBS, incubated with  $100~\mu$ L RNase at 37 °C for 30 min, and stained with  $400~\mu$ L PI(Solarbio, Cat#CA1510) at 4 °C for 30 min in the dark prior before flow cytometer analysis.

#### 2.5 RNA-seq

After drug treatments, the Total RNA of each group was extracted by TRIzol reagent. Then, RNA sequencing was

performed, and its data was analyzed by the Annaroad Gene Technology (Shanghai, China) Co., Ltd.

#### 2.6 RT PCR

The total RNA of the cells was extracted using TRIzol, and RNA was eluted with RNase-free water and quantified at an absorbance of 260/280 nm. Then cDNA was synthesized by using HiFiScript gDNA Removal cDNA Synthesis Kit (Cwbio, Cat#CW 2020). Real-time PCR was performed in an optional 96-well plate with ABI7500 system and a commercial MagicSYBR mixture kit (Cwbio, Cat#CW3008M), according to the manufacturer's instructions. GAPDH was used as an endogenous control.

#### 2.7 Western blot

The cells were collected and lysed on ice by RIPA (Solarbio, Cat#R0010) lysis buffer containing 1% PMSF. Protein concentration was determined by BCA protein assay kit (Beyotime, Cat#ST2222). 30 µg of total lysate protein sample was separated by SDS-PAGE (Shanghai Epizyme Biomedical Technology Co., Ltd, Cat#PG114) and transferred onto the NC membrane (Merck, Cat#HATF02500). Then membranes were incubated overnight at 4 °C with primary antibodies. Membranes were washed with Western washing buffer triplicates at room temperature and then incubated with secondary antibody for 1 h at room temperature. Membranes were rewashed with Western washing buffer triplicates at room temperature, and results were acquired using the Gel Logic 1,500 imaging system. *GAPDH* was used as the loading control.

#### 2.8 Reactive oxygen species assay

The collected cell suspension was centrifuged at 250 g for 5 min and washed twice with PBS. Dilute DCFH-DA with serum-free culture medium at 1:1,000 to a final concentration of 10 µmol/L, add 1 mL of DCFH-DA (Beyotime, Cat#S0033M) dilution to each tube, and incubate in a 37°C cell culture incubator for 20 min. Next, the cells were washed three times with a serum-free cell culture medium to remove DCFH-DA that did not enter the cells fully. After loading the probes in the positive control group, dilute ROS-up with the serum-free medium at a ratio of 1:1,000 to make ROS-up dilution, add 1 mL of ROS-up dilution to the positive control, and incubate in a cell culture box at 37°C for 30 min. Cells were washed three times with serum cell culture medium. After washing once with pre-cooled PBS, the cell pellet was resuspended with 1 mL of PBS and immediately detected using a FACSCanto II flow cytometer according to the manufacturer's instructions. Flow cytometry results were analyzed with the software FlowJo.

#### 2.9 Statistical analysis

Numerical data were expressed as mean  $\pm$  SD, and statistical analyses were performed using unpaired t-test by SPSS 26.0 software. P < 0.05 was considered to be statistically significant.

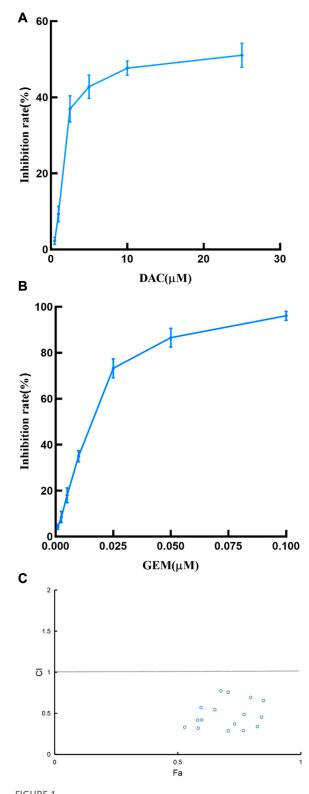


FIGURE 1
Effects of DAC and GEM on NK92MI cell viability and confirmation of the best combination of DAC and GEM. (A) Effects of DAC on cell growth in NK92MI cell lines. (B) Effects of GEM on cell growth in NK92MI cell lines. (C) CI plots of DAC and GEM combinations in NK92MI cells. The combination index was estimated using Compusyn software. CI < 1 indicates synergy; CI = 1 indicates an additive effect; CI > 1 indicates antagonism.

TABLE 1 IC10, 1C20, and IC30 of DAC and GEM in NK92MI cells.

Inhibition rate	DAC (μM)	GEM (μM)
IC10	1.603	0.005
IC20	2.100	0.007
IC30	4.046	0.010

#### 3 Results

# 3.1 The combination of DAC and GEM showed synergistic inhibition of cell viability on NK92MI cells

We first determined the inhibition of cell viability of DAC and GEM on the EBV-positive lymphoma cell line NK92MI cells separately. NK92MI cells were treated with DAC and GEM gradient concentrations for 48 h. Both DAC and GEM exhibited dose-dependent proliferation inhibitory effects on NK92MI cells (Figures 1A, B). Next, the IC10, IC20, and IC30 values were calculated for DAC and GEM (Table 1). We performed a 48-h cross-combination of DAC and GEM in NK92MI cells with IC10, IC20, and IC30. The combination index (CI) values were under one in all groups (Table 2; Figure 1C). As expected, the combination caused a significant reduction in cell growth compared to drugs alone. For further experiments, we selected the pairing with the lowest CI value, representing the highest synergistic efficiency (Section 3.5).

# 3.2 The combination of DAC and GEM significantly promotes apoptosis in NK92MI cells

We performed a flow cytometric analysis on the intervened cells to confirm whether DAC and GEM promote apoptosis. We incubated the cells for 48 h under the following conditions: 4.046  $\mu$ M DAC, 0.005  $\mu$ M GEM, 4.046  $\mu$ M DAC+ 0.005  $\mu$ M GEM. The apoptosis rate of the control group was 6.76%  $\pm$  1.54%, the DAC group was 8.74%  $\pm$  1.53%, the GEM group was 7.72%  $\pm$  0.28%, and the combined was 24.57%  $\pm$  0.42%. The apoptosis rate was increased in the group DAC + GEM than DAC group (p < 0.05) or GEM group (p < 0.05) (Figures 2A, B). In addition, the western blot demonstrated that caspase3, an apoptosis-associated marker, was increased by DAC, GEM, and DAC-GEM. A significant increase in expression was observed in the combined group (Figure 2C). These results show that DAC can synergistically induce apoptosis in EBV-positive lymphoma NK92MI cells with GEM.

# 3.3 The combination of DAC and GEM induces arrest in the S phase of EBV-positive lymphoma cells

We treated NK92MI cells in the same group as before for 48 h and then measured the cell cycle by flow cytometry. We found that

TABLE 2 Droliforation	inhibition rate of	combination index	of NKO2ML co	lle treated with	different cor	ncentrations of DAC and GEM.	
TABLE 2 Proliferation	i innibition rate oi	combination index	OT INNYZIVII CE	ells treated with	airrerent cor	ncentrations of DAC and GEW.	

DAC (μM)	GEM(μM)	Inhibition rate (%)	Combination index
1.603	0.005	54.33 ± 1.06	0.379 ± 0.039
1.603	0.007	57.16 ± 2.44	0.474 ± 0.041
1.603	0.010	58.80 ± 2.12	0.631 ± 0.045
2.100	0.005	60.07 ± 1.53	0.361 ± 0.039
2.100	0.007	61.13 ± 3.19	0.457 ± 0.033
2.100	0.010	64.90 ± 1.20	0.592 ± 0.036
4.046	0.005	72.09 ± 1.43	0.322 ± 0.030
4.046	0.007	74.74 ± 2.76	0.389 ± 0.033
4.046	0.010	76.99 ± 1.92	0.510 ± 0.046

the proportion of cells in the S phase in the combined DAC and GEM group (72.82 + 3.09)% was significantly higher than that in the DAC (40.35 + 2.93)% and GEM (36.86 + 0.84%) alone groups. Compared with the control group (31.40 + 1.48%), the proportion of S phase cells increased regardless of whether the drug was used alone or in combination (Figures 3A, B). The results showed that DAC plus GEM could block the cell cycle progression of EBV-positive lymphoma cells NK92MI in the S phase and reduce the cells in the proliferative phase.

# 3.4 Combination of DAC and GEM induced transcriptome level changes

To assess gene expression changes mediated by different treatments, we performed transcriptome sequencing on the control group, the DAC group, the GEM group, and DAC plus GEM groups using RNA-seq technology. Compared with the control group, NK92MI cells treated with DAC and GEM showed 2,382 gene expression changes, of which 2,142 were upregulated and 240 were downregulated. There were 235 gene expression changes between the DAC group and the combination group, of which 149 genes were upregulated and 86 genes were down-regulated. A total of 2,204 genes were induced by DAC plus GEM compared with the GEM group, with 2,056 genes being upregulated and 148 genes being downregulated. The results indicate that DAC was the primary mediator of the changes in gene expression profiles caused by the use of both drugs (Figure 4).

As a next step, we utilized Venn diagrams to visualize the number of differentially expressed genes (DEGs) in the combined group compared with the single and control groups. Based on the analysis results, 186 differentially expressed genes were significantly altered when the DAC and GEM were connected (Figure 5A). Then we performed pathway enrichment analyses comparing DEGs between DAC, GEM, and DAC plus GEM treated cells using the well-annotated reactome and Kyoto Encyclopedia of Genes and Genomes (KEGG) databases. Compared to the other three groups, the DEGs were primarily enriched in signaling pathways related to cell proliferation, adhesion, and migration in the combination

treatment (Figure 5B). Such as Focal adhesion, PI3K Akt signaling pathway, and Rap1 signaling pathway. Using PPI network analysis, we investigated the interaction between DEGs by analyzing their interactions. The networks formed by DEGs mainly regulate metabolic pathways and cell proliferation and migration pathways (Figure 5C). For further investigation, we selected molecules with high node expression and high relative expressions in 186 DEGs for validation, such as HMOX1 and SLC7A11.

# 3.5 The possible mechanism of the combined application of DAC and GEM to inhibit cell viability

We also chose *BRLF1* as an additional observation in order to judge whether the EBV lytic state should be activated. After treatment with the indicated dose of DAC and GEM alone or in combination, *BRLF1* mRNA expression was by RT-PCR in NK92MI cells. The combination of DAC and GEM induced the expression of *BRLF1*. This combination may break the viral latency (Figure 6A).

RT-PCR and Western Blot experiments were performed on HMOX1 and SLC7A11 to study the mechanism of action of DAC and GEM combined to inhibit cell proliferation. The results showed that the combined treatment of DAC and GEM with NK92MI cells induced the expression of HMOX1 at the mRNA level (p < 0.05) and protein level and inhibited the expression of SLC7A11 at the mRNA level (p < 0.05) and protein level (Figures 6B, C, E). Based on these results, it is possible that DAC + GEM may influence the changes in ferroptosis-related molecules. GPX4 is crucial in regulating ferroptosis, and SLC7A11 serves as its upstream mediator. To explore whether the proliferation inhibition induced by the combined application of DAC and GEM is associated with ferroptosis-related genes in NK92MI cells, we further investigated the protein and mRNA levels of GPX4. There was no significant difference in GPX4 mRNA levels between the single and control groups (Figure 6D); however, the protein expression of GPX4 was decreased (Figure 6E). The primers used in the study are listed in Table 3.

TABLE 3 Primer sequences used for RT-PCR detection.

Primer name	Sequence (5′–3′)
GAPDH-F	TGACAACTTTGGTATCGTGGAAGGAC
GAPDH-R	GTGTCGCTGTTGAAGTCAGAGGAG
BRLF-F	GAGTCCATGACAGAGGATTTGA
BRLF-R	GCAGCAGACATTCATCATTTAGA
HMOX1-F	AAGACTGCGTTCCTGCTCAAC
HMOX1-R	AAAGCCCTACAGCAACTGTCG
SLC7A11-F	ACCTTTTCTGAGCGGCTACT
SLC7A11-R	CCCTCTCGAGACGCAACATA
GPX4-F	ATACGCTGAGTGTGGTTTGC
GPX4-R	CTTCATCCACTTCCACAGCG

# 3.6 The combined application of DAC and GEM increases the ROS level of NK92MI cells

As a result of an increase in reactive oxygen species (ROS) related to iron, lipid peroxidation occurs, which impairs cellular function and ultimately results in ferroptosis. Therefore, we examined ROS levels in four groups using flow cytometry. The mean fluorescence intensities of each group were: the control group was  $7.9 \times 10^6$ , the DAC group was  $10.7 \times 10^6$ , the GEM group was  $12.7 \times 10^6$ , and the combination group was  $14.1 \times 10^6$  (Figures 6F, G). The results showed that ROS levels in NK92MI cells were significantly elevated after the combined treatment of DAC and GEM(p < 0.05).

#### 4 Discussion

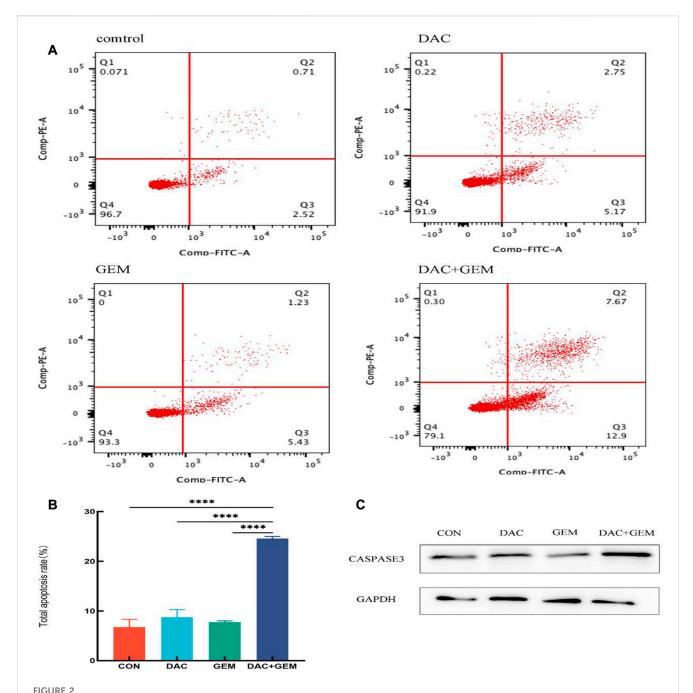
EBV is a "kiss virus" that spreads mainly through the respiratory tract in humans. About 95% of the population have been infected in childhood and carried it in a latent state for life. In immunocompromised patients, EBV activates B cells and leads to the malignant proliferation of B cells to trigger monoclonal or polyclonal lymphoproliferative disorders or EBV-related malignancies. Studies have shown that LMP1 and LMP2 can be detected in 30%–45% of human lymphoma cells (Ramos et al., 2016). Previous clinical trials found that lymphoma patients with EBV usually have a high probability of extranodal involvement, advanced stage, older age, and higher risk (Beltran et al., 2011; Ok et al., 2014; Witte et al., 2020).

NK/T-cell lymphoma is a non-Hodgkin's lymphoma with prominent geographical characteristics. It is more common in Asians and Latin Americans to develop NK/T-cell lymphoma, especially in patients with EBV positivity. The disease is characterized by a high degree of malignancy, extreme aggressiveness, rapid progression, and high recurrence rates. At present, there is no standard treatment for NK/T cell lymphoma, and asparaginase and gemcitabine are usually used as primary chemotherapy agents. However, the prognosis of this disease is inferior due to the emergence of drug resistance in many patients.

Therefore therapeutic regimens targeting both the EBV virus and lymphoma cells are needed.

DAC is a highly efficient inhibitor of DNA methyltransferase. DAC can induce self-renewal of normal hematopoietic stem cells, which has excellent potential for treating hematological diseases (Pinto et al., 1984; Hu et al., 2010). Zhang et al. reported a phase 1/ 2 and biomarker study on the Effect of DAC combination with R-CHOP in patients with diffuse large B cell lymphoma; 76.6% of patients achieved complete remission, and 12.2% of patients achieved partial remission (Zhang et al., 2021). GEM is an antimetabolite drug targeting specific stages of the cell cycle. It promotes the death of tumor cells with cytotoxicity and is widely used in clinical practice. Chen et al. (2021) demonstrated that Gem and thymosin alpha one combined suppressed NNKTL progression in vivo and in vitro. A therapeutic strategy for NNKTL could potentially be used in clinical practice due to their study. According to research reports, GEM combined with cisplatin and dexamethasone has a good effect in treating refractory non-Hodgkin lymphoma, with an overall response rate of 56.4% and an overall response rate of 72.8% in the treatment of refractory Hodgkin lymphoma (Mi et al., 2020). The above studies show that GEM can safely and effectively improve anti-tumor efficiency when combined with other drugs. In addition, GEM can induce EBV from a latent state to a lytic state, improving tumor cells' sensitivity to antiviral therapy (Feng et al., 2004; Wildeman et al., 2012; Chen et al., 2021).

Few studies have combined low doses of DAC and GEM. Clouser et al. found that low doses potently inhibited HIV-1 replication in vitro and can inhibit the progression of the disease in vivo (Clouser et al., 2012). Valdez et al. designed a safe and effective pretreatment protocol for hematopoietic stem cell transplantation. Combining busulfan, melphalan, GEM and DAC inhibited lymphoma cell growth. Additionally, a recent study demonstrated that the combination of DAC and GEM inhibited osteosarcoma cell proliferation and retarded tumor growth (Gutierrez et al., 2022). We studied DAC and GEM's in vitro antiproliferative effects on NK92MI. We demonstrated that DAC and GEM single-drugs are effective against the growth of NK92MI cells in dose-dependent manners. The combined drug effect was better than the single. In tumor cells, ROS accumulation is a doubleedged sword; a slight increase in ROS promotes tumor cell proliferation, while an excessive increase can lead to cancer cell death. It is important to note that apoptosis is one of the most common methods of cell death. Apoptosis is divided into intrinsic, extrinsic, and perforin/granzyme pathways (Romero et al., 2015). Induced by intracellular stress, apoptosis is primarily initiated via the intrinsic pathway. The mitochondrial outer membrane is altered by ROS accumulation, releasing cytochrome C and activating caspase-9 in the apoptotic body. This causes caspase-3 to be activated, which is the ultimate executor of apoptosis (Ashkenazi and Dixit, 1998). Our study first demonstrated that the combination of DAC and GEM could effectively inhibit the proliferation of increase ROS accumulation, increase NK92MI cells, caspase3 protein level, promote cell apoptosis, and induce an S phase arrest. These results showed that the apoptotic process induced the combination by upregulating caspase3. However, the effects of DAC plus GEM on anti-proliferation may not only depend on apoptosis.

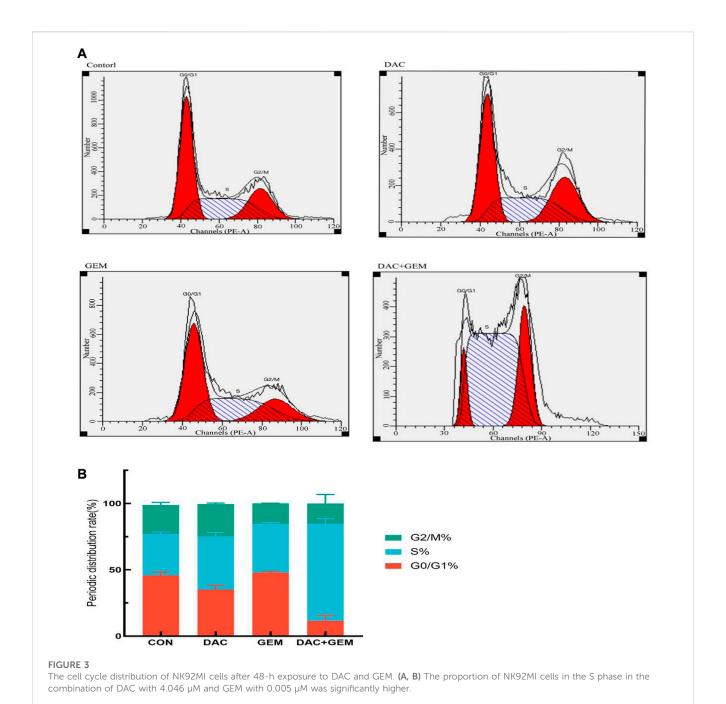


The combination of DAC with 4.046  $\mu$ M and GEM with 0.005  $\mu$ M significantly promotes apoptosis in NK92MI cells. (A, B) Cell apoptosis assay in NK92MI cells treated with the indicated dose of DAC and GEM alone or in combination. (C) After treating with the indicated dose of DAC and GEM alone or in combination, Caspase-3 protein expression was immunoblotted in NK92MI cells. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 versus control group and alone group.

After initial infection, the virus establishes latency predominantly in B cells and cannot be cleared up. A persistent state of latent infection is closely associated with malignancies of human epithelial cell origin and lymphocytic origin. However, the viral latency is disrupted if external stimuli infect cells. And then, the virus enters a lytic state (Munz, 2019; Rosemarie and Sugden, 2020; Munz, 2021). Our study found that the combination group increased *BRLF1* expression in NK92MI cells compared to the

single group. As is well known, EBV lytic activation is closely correlated with the expression of two EBV immediate early genes *BRLF1*, and *BZLF1*. Based on the study's results, it appears that the combination of DAC and GEM may induce replicative lysis of EBV in the NK92MI cell line.

Following this, we investigated other mechanisms of DAC and GEM's antiproliferative effects on NK92MI. In this experiment, we investigated the gene expression levels between the single and



combination groups after 48 h of nk92mi cell treatment using whole gene transcriptome sequencing. A total of 186 differential genes were screened when the combined group was compared with the single and control groups. HMOX1 and SLC7A11 were found to be responsive to the combinations of DAC and GEM by KEGG enrichment analysis and PPI network analysis. Compared to the single and control groups, the combination group increased the expression of HMOX1 and decreased the expression of SLC7A11 at mRNA and protein levels. HMOX1 is a rate-limiting enzyme in the metabolism of the iron porphyrin compound heme catabolism, which breaks down heme to carbon monoxide, biliverdin, and Fe<sup>2+</sup>. HMOX1 expression is mainly induced by its heam, iron,

oxidative stress, and inflammation (Chang et al., 2015). HMOX1 is vital in maintaining iron homeostasis and protecting cells from oxidative damage. It has been shown that adequate levels of HMOX1 can act as antioxidants and prevent cell oxidative damage (Kim et al., 2012). Chang et al. (2015) indicated that treatment with DNA methylation transferase inhibitors significantly increased the expression of HMOX1 mRNA (Sung et al., 2016). In the present study, we observed an increased expression of HMOX1 in the DAC-alone group compared to the control group. The expression of HMOX1 was significantly increased in the combined DAC and GEM group compared with the control group and the drug-alone group. This phenomenon may

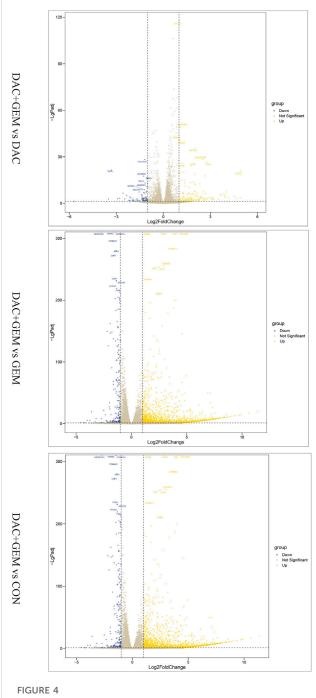


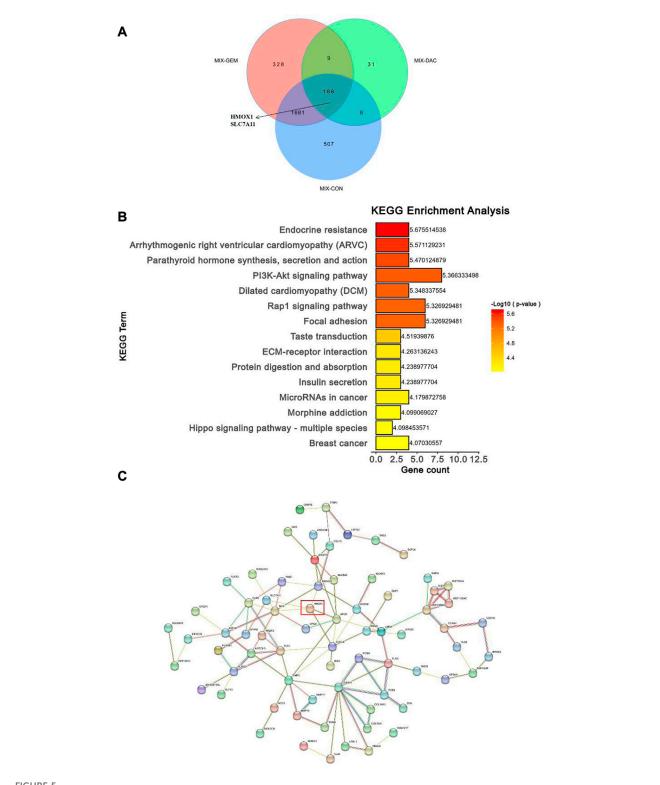
FIGURE 4
Combination of DAC and GEM induced transcriptome level changes. After treatment with the indicated dose of DAC and GEM alone or in combination, a volcano plot of differentially expressed genes in the combination group was compared with each single drug group or control group in NK92MI cells.

be caused by DAC's inhibitory effect on methylation, which was amplified by the combination with GEM. However, overexpression of HMOX1 and Fe<sup>2+</sup> accumulation and ROS accumulation exert a role in inducing cellular ferroptosis. In ferroptosis, there is an imbalance of intracellular iron and depletion of glutathione, resulting in the accumulation of ROS and lipid peroxidation.

Although ROS is not a specific indicator of ferroptosis, ROS plays an important role in ferroptosis. Kong et al. (2019) observed an increase in Fe and an increase in ROS after the artesunate treatment of hepatic stellate cells in a study of liver fibrosis in mice treated with artesunate. In a study on paraquat + maneb-induced dopaminergic neurodegeneration, NADPH oxidase was found to be involved in ferroptosis. When NOX was inhibited with NADPH oxidase, cellular GSH and GPX4 levels were restored, and ferroptosis was reduced (Hou et al., 2019). DAC caused ROS accumulation in leukemia cells but not in solid tumor cells, thereby promoting leukemic cell death (Fandy et al., 2014; Jain et al., 2017). Our study also showed that the combination induced an increase in ROS levels. It is well known that SLC7A11 and GPX4 are critical molecules in ferroptosis. GPX4 is the core enzyme regulating the glutathione system of the endogenous antioxidant system, and inhibition of GPX4 activity leads to ROS overload, disrupting cell membranes and thus inducing ferroptosis (Dixon et al., 2012). The efficiency of cysteine transport directly affects glutathione synthesis, and its main depends mainly on the cystine/glutamate reverse transporter protein in the cell membrane. SLC7A11, an essential part of the cystine/ glutamate reverse transporter protein, is a negative ferroptosis regulator. SLC7A11 downregulation affects glutathione synthesis's inhibition, leading to GPX4 downregulation, cellular ROS accumulation, and ultimately oxidative damage and ferroptosis.

Interestingly, this study's protein level of GPX4 was down-regulated, but the mRNA level did not change significantly. The combination caused the downregulation of GPX4 and SLC7A11, leading to an overload of ROS, which induced the expression of HMOX1. The recent discovery that early transformed stages of EBV and Burkitt's lymphoma cells trigger ferroptosis suggests that ferroptosis may be therapeutically beneficial in treating EBV-positive lymphomas (Burton et al., 2022). This may be another reason why this combination inhibited cell growth proliferation.

In conclusion, the combination of DAC and GEM has synergistic effects for cell viability inhibition in NK92MI cells through apoptosis and ferroptosis. Although the combination of DAC and GEM has mediated many forms of anti-proliferation, more research is needed to determine which form is critical. Our study has some limitations. First of all, our study mainly discussed the inhibitory effect of DAC + GEM on the proliferation of NK92MI cells in vitro and did not observe the effect in vivo. The construction of a xenograft mouse model is of great significance for exploring the inhibition of tumor cells by DAC + GEM in vivo. In particular, the construction of PDX models can more truly reflect the original characteristics of tumors and more accurately reflect the drug sensitivity and tolerance of tumor patients. Secondly, although this study suggests that DAC + GEM may inhibit the proliferation of NK/T-cell lymphoma cells through apoptosis or ferroptosis, we still need more evidence to prove whether these two mechanisms play a major inhibitory role or play a joint inhibitory role. This study demonstrates that the combination of DAC and GEM is promising for inhibiting NK/T cell lymphoma cells and provides new ideas for the clinical treatment of NK/T cell lymphoma.



Combination of DAC and GEM induced transcriptome level changes. (A) The Venn diagrams showed the DEGs in the combination group when compared with each single drug group and control group in NK92MI cells. (B) The primary signal transduction pathways of DEGs in the combination group compared with each single drug group and control group in NK92MI cells. (C) The protein-protein interaction network topology analysis of DEGs in the combination group compared with each single drug group and control group in NK92MI cells.

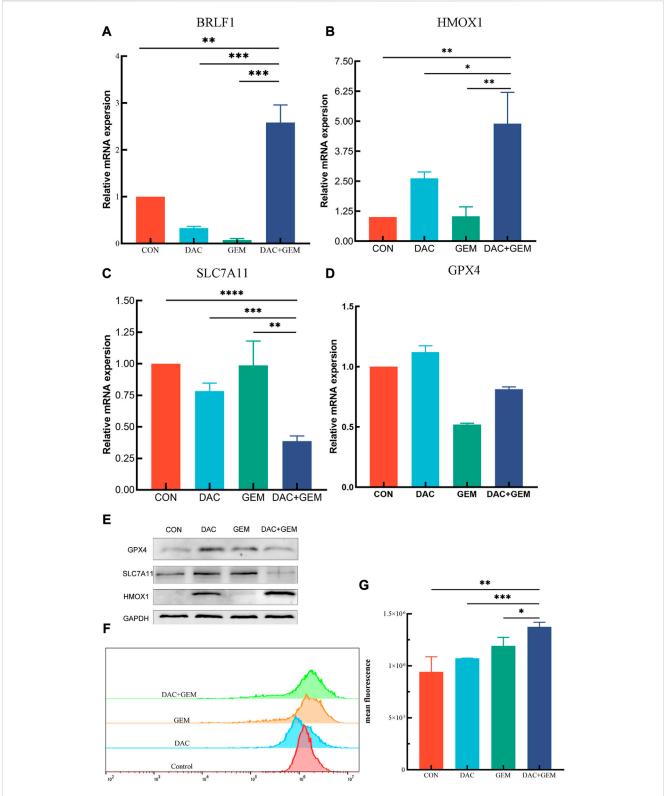


FIGURE 6
Combined treatment of DAC and GEM assists ferroptosis. (A) After treating with the indicated dose of DAC and GEM alone or in combination, BRLF1 mRNA expression was quantified by RT-PCR in NK92MI cells. (B) After treating with the indicated dose of DAC and GEM alone or in combination, HMOX1 mRNA expression was quantified by RT-PCR in NK92MI cells. (C) After treating with the indicated dose of DAC and GEM alone or in combination, SAL7A11 mRNA expression was quantified by RT-PCR in NK92MI cells. (D) After treating with the indicated dose of DAC and GEM alone or in combination, GPX4 mRNA expression was quantified by RT-PCR in NK92MI cells. (E) After treatment with the indicated dose of DAC and GEM alone or in combination, HMOX1, SAL7A11, and GPX4 protein expression were immunoblotted in NK92MI cells. (F, G) The effects of DAC and GEM on regulating ROS level in NK92MI cells. \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001 versus control group and alone group.

### Data availability statement

The raw data generated in this study have been deposited in the SRA database (https://www.ncbi.nlm.nih.gov/sra), under the accession numbers SRR23620573, SRR23620567, SRR23620568, SRR23620570, SRR23620575, SRR23620572, SRR23620569, SRR23620571, SRR23620574, SRR23620577, SRR23620576, SRR23620566.

### **Author contributions**

LKL, HY and XQL: Experiment, data curation, writing—Original draft preparation. HD and KP: Providing experimental guidance. JC and TJ: Supervision and language polishment. CLZ, TJ and XQL: Conceptualization and manuscript revision.

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### Conflict of interest

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# Application of iron oxide nanoparticles in the diagnosis and treatment of leukemia

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Leukemia is a malignancy initiated by uncontrolled proliferation of hematopoietic stem cell from the B and T lineages, resulting in destruction of hematopoietic system. The conventional leukemia treatments induce severe toxic and a long series of unwanted side-effects which are caused by lack of specificity of antileukemic drugs. Recently, nanotechnology have shown tremendous application and clinical impact with respect to diagnosis and treatment of leukemia. According to considerable researches in the context of finding new nanotechnological platform, iron oxide nanoparticles have been gained increasing attention for the leukemia patients use. In this review, a short introduction of leukemia is described followed by the evaluation of the current approaches of iron oxide nanoparticles applied in the leukemia detection and treatment. The enormous advantages of iron oxide nanoparticles for leukemia have been discussed, which consist of the detection of magnetic resonance imaging (MRI) as efficient contrast agents, magnetic biosensors and targeted delivery of anti-leukemia drugs by coating different targeting moieties. In addition, this paper will briefly describe the application of iron oxide nanoparticles in the combined treatment of leukemia. Finally, the shortcomings of the current applications of iron-based nanoparticles in leukemia diagnosis and treatment will be discussed in particular.

KEYWORDS

leukemia, iron oxide nanoparticles, magnetism, drug delivery, targeted therapy

### 1 Introduction

Leukemia is a tumor of the hematopoietic and lymphoid tissues. Clonal leukemia cells proliferate, accumulate and infiltrate in the bone marrow and other hematopoietic and nonhematopoietic tissues due to abnormal proliferation of differentiated hematopoietic stem cell or progenitor cells, thus affecting the physiological bone marrow of various tissues and organs. Siegel et al. (2019) Symptoms are usually characterized by bleeding, fever, immunosuppression, bone and joint pain, hepatosplenomegaly, and lymphadenopathy, these symptoms are caused by varying degrees of anemia, neutrophil, thrombocytopenia, or tissue infiltration. Chen and Zhou (2012) According to the progression of the disease, leukemia is mainly classified as acute and chronic, while leukemia is mainly classified as lymphoid and myeloid leukemia according to the origin of malignant proliferating cells. Alsalem et al. (2018) Current therapies of leukemia include chemotherapy, radiotherapy, targeted therapy and stem cell transplantation. Kadia et al. (2015) Although, many studies have shown that viruses, genetics, radiation and chemical toxins may cause leukemia, the cause of leukemia is still not a unified answer. Curtis et al. (1992); Lo et al. (2010); Winandy

et al. (1995) The other hand, the clinical characteristics of different types of leukemia are obviously different. Because of the limitation of the above factors, there is no uniform treatment of leukemia at present. Short et al. (2018).

Chemotherapy is the most common treatment for leukemia. Pant and Bhatt (2017) Chemotherapy drugs such as vinblastine, Cytarabine, Vindesine, daunorubicin, northromycin, doxorubicin, and mitoxantrone are often used. Single drug often leads to drug resistance, so combination therapies are used to treat leukemia in order to reduce even eliminate drug resistance. Kantarjian et al. (2010) The "3 + 7 regimen" proposed in the 1970s has become the cornerstone of induction chemotherapy for acute myeloid leukemia (AML), which can achieve a certain remission rate. However, for older patients with leukemia, this regimen can lead to up to 30% early treatment-related mortality and the survival rate is less than 10 percent in 3–5 years Gardin et al. (2020).

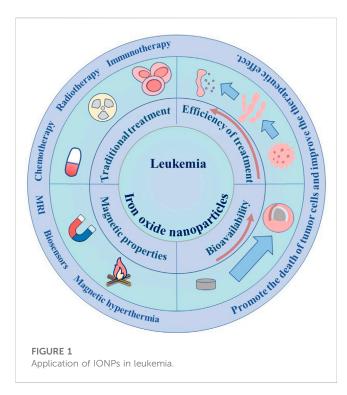
Radiotherapy is the treatment of malignant tumors with radionuclide alpha, beta, gamma radiation and X-ray, electron and proton beams produced by various X-ray therapy machines or accelerators. Walter (2022) Radiation inevitably exposes normal cells to ionizing radiation, so there is a risk that cancer may develop again during treatment. Bodet-Milin et al. (2016).

Targeted therapy, as one of the treatment modalities for leukemia, has three main therapeutic mechanisms: small molecule inhibitors that target gene mutations, inhibitors that target key signaling pathways, and antibodies or antibody-coupled drugs that target cell surface molecules. Newell and Cook (2021) Although great progress has been made in the treatment of leukemia with targeted therapy, there are still many problems. For example, treatment of leukemia with FLT 3 inhibitors alone, such as Gilteritinib, is less toxic than conventional chemotherapy, but resistance continues to develop in patients with certain gene mutations. Travis et al. (2000).

Currently, Hematopoietic stem cell transplantation (HSCT) is considered to be an effective treatment for leukemia. Ljungman et al. (2019) HSCT is to kill as many abnormal cells or tumor cells as possible through high-dose chemotherapy or radiotherapy, and then restore hematopoietic and immune functions through intravenous infusion of pre-collected autologous or allogeneic stem cells. Pavlů and Apperley (2013) However, HSCT also cause some adverse reactions. After allogeneic stem cell transplantation, the need for immunosuppressants, may cause low immunity, secondary infection. Zhao et al. (2019) Moreover, some patients may have a graft-versus-host reaction, which not only leads to graft failure, but also to death. Peccatori and Ciceri (2010).

The above-mentioned therapies generally have some disadvantages, such as low drug targeting, high adverse reactions, and drug resistance in patients. Therefore, it is very important to develop an efficient and targeted intelligent drug delivery system in the past decades Wicki et al. (2015) With the continuous progress of nanotechnology, nanotechnology has been widely used in drug delivery, disease diagnosis and treatment (Patra et al., 2018).

Iron oxide nanoparticles (IONPs) in the diagnosis and treatment of cancer has attracted more and more attention. Wang et al. (2018) Furthermore, iron-based nanoparticles have high transverse relaxation rate, good biocompatibility and long circulation *in vivo*, has been widely used as magnetic resonance imaging (MRI)



contrast agent for tumor diagnosis. Na et al. (2009); Lee et al. (2012) Iron oxide nanoparticles have unique magnetic properties and can be used as magnetic thermotherapy, photothermal therapy and magnetic targeted drug delivery. Alphandéry (2019); Li et al. (2017) Based on its characteristics, it has been used more and more in hematological tumors in recent years.

In this paper, the current status of leukemia treatment, the preparation of iron nanoparticles and their application in leukemia detection and treatment are briefly introduced (Figure 1). This article reviews the great advantages of iron nanoparticles in the treatment of leukemia, including MRI detection as an effective contrast agent, tumor-related iron-based nanoparticle therapy, and targeted drug delivery by coating different targets. In addition, this paper will briefly introduce the application of iron-based nanoparticles in combination therapy of leukemia. Finally, the shortcomings of the current application of iron-based nanoparticles in the diagnosis and treatment of leukemia will be particularly discussed.

### 2 Iron-based nanoparticles

In recent years, nanotechnology has been widely used in the diagnosis and treatment of various diseases, especially in oncology. El-Sherbiny et al. (2017) Nanomedicine overcomes many disadvantages of traditional cancer treatment methods for cancer treatment, such as low bioavailability, drug resistance and side effects of patients, which provides a new and effective treatment for cancer. Nagaraju et al. (2021) In addition, through the surface modification of nanoparticle structures and the integration of tumor diagnosis and treatment, nanomaterials can also be used for early diagnosis, imaging, precision therapy and smart anti-cancer drugs. Auría-Soro et al. (2019).

TABLE 1 Comparison of the characteristics of different synthesis methods of IONPs.

Method name	Principle	Advantages	Disadvantages	Impact factors	Reference
Thermal decomposition method	The organic metal precursor is decomposed into metal oxide at high temperature or metallic elements	monodisperse and uniform in diameter	The product surface is hydrophobic and needs to be modified	Temperature, heating rate, reaction time	Lu et al. (2007)
Hydrothermal method	The hot solvent is converted into nanoparticles under high temperature and high pressure	simple operation, high crystallinity, good hydrophilicity and no need of surface modification	Reaction equipment is expensive, to the temperature, the pressure, the device request is high	Temperature, reaction time, type of solvent	Li et al., (2013)
Coprecipitation method	Ferric and ferric precipitates in alkaline solutions	Good hydrophilicity, simple procedure and high yield	Product size polydispersity	Temperature, pH, concentration, type of iron salt	Frimpong et al., (2010)
Microemulsion method	Surfactants and bases are added to form a microemulsion system in which the co-precipitation reaction takes place	size controlled, and the product has monodispersety	Toxic Surfactant	Emulsifier, surfactant concentration ratio, reaction temperature and time	Bai et al., (2007)

As an important endogenous trace element, iron is widely distributed in various tissues of human body to maintain biological health. In recent years, iron-based nanomaterials have been widely used in various fields of biomedicine. Xie et al. (2018) Through the precise control and surface modification of magnetic materials, nano-materials such as iron oxide nanoparticles have been widely used in the biomedical research field, as a contrast agent for drug delivery such as magnetic resonance magnetic resonance imaging hyperthermia drug delivery. Shen et al. (2017) Recently, with the development of nanomedicine, more and more IONPs have been used in the diagnosis and treatment of leukemia.

# 2.1 Preparation and modification of iron oxide nanoparticles

There are many methods for preparing magnetic iron oxide nanoparticles, such as physical methods, chemical methods and microbial technology. Wei and Wang (2013) The physical chemistry properties of the prepared magnetic ferroferric oxide nanoparticles (Fe<sub>3</sub>O<sub>4</sub>NPs) are closely related to the preparation methods. Laurent et al. (2008) Therefore, it is very important to choose a suitable method to synthesize magnetic nanoparticles; Song et al. (2019) Among the many methods, the most commonly used are chemical methods, which have lower synthesis costs and higher yields; Hu et al. (2018) Chemical methods of preparation of Fe<sub>3</sub>O<sub>4</sub>NPs include thermal decomposition method, hydrothermal method, coprecipitation method, micro-emulsion method; Sodipo and Aziz, (2016) The most common method for Fe<sub>3</sub>O<sub>4</sub>NPs preparation is coprecipitation. There are the detailed characteristics of these methods (Table 1).

Although the preparation of  $Fe_3O_4NPs$  is mature, there are still several problems in production and application, such as the formation of aggregation due to the instability of nanoparticles. Mohammadi et al. (2013) Therefore, it is necessary to modify the surface of  $Fe_3O_4NPs$ . The appropriate surface modification has ability to improve the stability, targeting ability and biocompatibility of  $Fe_3O_4NPs$  nanoparticles (Arias et al., 2018).

The various materials used to modify IONPs have different advantages and applications (Table 2).

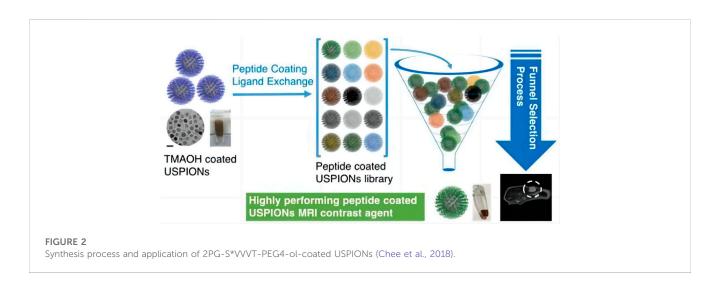
# 2.2 Iron-based nanoparticles and magnetic resonance imaging

Molecular imaging plays an important role in tumor detection and prognosis monitoring (Gordon et al., 2019). This technology has high accuracy and reliability in elucidating the process of tumor development and monitoring the condition of patients. Current imaging techniques include optical imaging (OI), X-ray computed tomography (CT), Positron emission tomography Single-photon emission computed tomography (PET SPECT) (Fonti et al., 2019), magnetic resonance imaging (MRI) and ultrasound (US) (Mammatas et al., 2015). Compared with other imaging methods, MRI has many advantages, such as non-invasive, high resolution, high soft tissue resolution and fast living imaging. It has become an important tool in clinical imaging diagnosis and disease monitoring (Chandarana et al., 2018).

The contrast agent can shorten the longitudinal and transverse relaxation time of the surrounding hydrogen protons, thus enhancing the signal contrast of the focus and improving the detection sensitivity (Shuvaev et al., 2021). MRI contrast media are usually divided into T<sub>1</sub>W<sub>1</sub> and T<sub>2</sub>W<sub>1</sub> (Kanda et al., 2015). Currently, most gadolinium-based T<sub>1</sub> contrast agents are used clinically. However, gadolinium-based T1 contrast agents tend to dissociate in vivo, and free gadolinium ions can deposit in the kidneys and central nervous system, causing nephrogenic systemic fibrosis and neurotoxicity (McDonald et al., 2015). Therefore, for patients with renal dysfunction, gadolinium-based contrast agents may aggravate renal toxicity and even cause renal failure. Because of it is reported that iron-based nanoparticles have better biocompatibility than gadolinium-based contrast agents due to its unique magnetism and tiny size (Yang et al., 2012). Neuwelt E A et al. studied the effect of size on IONPs, and the results showed that when the size of ferrite nanoparticles is less than 5 nm, the decrease of magnetic moment can not only suppress the T<sub>2</sub> effect,

TABLE 2 Characteristics of different modifying materials for IONPs.

Classification	Representative	Advantage	Application	Reference
Small molecules	Carboxylic acid, oleic acid	High hydrophilicity	Targeted Drug, vacuum sealing	Reguera et al., (2017)
Biomolecules	Nucleic acid, polypeptide, protein	High targeting	Targeted Drugs, immunotherapy, gene therapy	Banchelli et al., (2014)
Inorganic Materials	Au, AG, MOS2	High stability, simple preparation	Targeted drug delivery, multimodality imaging, and photothermal therapy	Li et al., (2015)
Mesoporous materials	Mesoporous silicon dioxide, organic metal frame MOFs	High hydrophilicity, high drug loading rate	Targeted drug delivery, fluorescence imaging	Fang et al., (2020)
Polymer	PEG, PVP, PEI	Long circulation, high Biocompatibility	Targeted drug delivery, bioimaging	Dehaini et al., (2016)



but also enhance the  $T_1$  signal effectively, which reflects the high  $T_1$  contrast enhancement performance (Neuwelt et al., 2009).

IONPs can be modified with biological material to improve the biocompatibility of the contrast medium. Chee, H et al. designed a short peptide and ligand library for enhancing MRI Biocompatibility, which was used to prepare 86 different peptide-coated ultra miniature superparamagnetic iron oxide nanoparticles (USPION) (Chee et al., 2018). Diphosphopeptide 2 PG-S \*VVVT-PEG 4-OL was screened and found to provide the highest biocompatibility and performance for USPION, with no detectable toxicity or adhesion to living cells, and was comparable to commercially available contrast media, the peptide-coated USPION can be used to target the tumor, improve the contrast of the target site, and show better MRI performance (Figure 2). Compared with conventional MRI contrast agents, this kind of contrast agent with iron oxide nanoparticles has a higher overall safety and targeting function.

At present, MRI is mainly used to evaluate the prognosis of leukemia and to screen the complications caused by the treatment of leukemia (Mayerhoefer et al., 2020). Intracranial infection is a common central nervous system complication of leukemia. Leukemia patients, especially children, can be infected with microorganisms in the central nervous system due to the damage of immune system, damage of immune system caused by

chemotherapy and persistent neutrophils (Lim et al., 2021). Previous intracranial infections were mainly diagnosed by clinical manifestations and laboratory examinations such as cerebrospinal fluid (CSF). Because of the similar clinical characteristics of these central nervous system complications, it is sometimes difficult to make a differential diagnosis by clinical laboratory tests, and the location and extent of the lesions can not be determined by CSF smears (Abdalkader et al., 2019). MRI has some advantages over laboratory tests in leukemia with central nervous system infection. Moreover, MRI can identify the location and extent of the lesion, on the other hand, the infection caused by different pathogenic microorganisms has different imaging features, therefore, MRI can help to diagnose infectious brain lesions caused by leukemia and differentiate other central system diseases (Mayerhoefer et al., 2020). Because of the ultra-small size and specific magnetic properties of iron oxide nanoparticles, iron nanoparticles have great potential as MRI contrast agents in the diagnosis of leukemia-related central system complications.

### 2.3 Magnetic hyperthermia

Magnetic hyperthermia was proposed by Gilchrist in 1957. On the basis of the research on the destruction of dog's lymphoma

tissues by magnetic materials, it was proved that magnetic materials could move and gather in the tumor tissues in a targeted manner, and generate heat to destroy the tumor tissue under the action of alternating magnetic field (Gilchrist et al., 1957). With the rise of nanotechnology, magnetic hyperthermia is developing constantly. Magnetic hyperthermia is based on the principle that tumor cells are different from normal cells in their tolerance to temperature, under the action of alternating magnetic field, magnetic nanoparticles produce magnetic hysteresis and relaxation, which leads to heat generation. Finally, the temperature in the tissue region is increased, and the tumor cells are destroyed (Carrey et al., 2011). Under the action of alternating magnetic field, magnetic nanoparticles produce hysteresis and relaxation, thus generating heat and increasing the temperature of tissue area (Hergt et al., 2009). The raised temperature range of 43°C-46°C induces injury of tumor cells, which undergo physiological changes leading to their apoptosis/necrosis, while normal cells are not affected (Spirou et al., 2018). At present, there are many researches on Magnetic hyperthermia therapy (MHT) in the field of solid tumor treatment, while there are few studies on MHT in the field of non-solid tumor treatment such as leukemia. Magnetic nanomaterials can be modified to functionalize the surface to target the tumor site (Carter et al., 2021). Several studies suggested that epithelial cell adhesion molecule (Ep-CAM) might be a marker reflecting the epithelial state of primary and systemic tumor cells, and the specific expression of Ep-CAM in leukemia cells could be used as an indicator to measure the metastasis of leukemia tumor cells (Zheng et al., 2017). Kim et al. fixed the antibody of epithelial cell adhesion molecule on the surface of magnetic nanoparticles (MNPs) to realize the specificity to leukemia cells. With EpCAM-MNPs hyperthermia in THP 1 cells and AKR mouse models, leukemic cell numbers were reduced by approximately 40% compared with control samples (Al Faruque et al., 2020). This experiment provides favorable supporting evidence for the treatment of leukemia with magnetic thermotherapy, so magnetic nanoparticles-mediated magnetic thermotherapy has a certain prospect in the treatment of leukemia.

# 3 Application of iron nanoparticles in leukemia

# 3.1 Iron-oxide nanoparticles and leukemia diagnosis

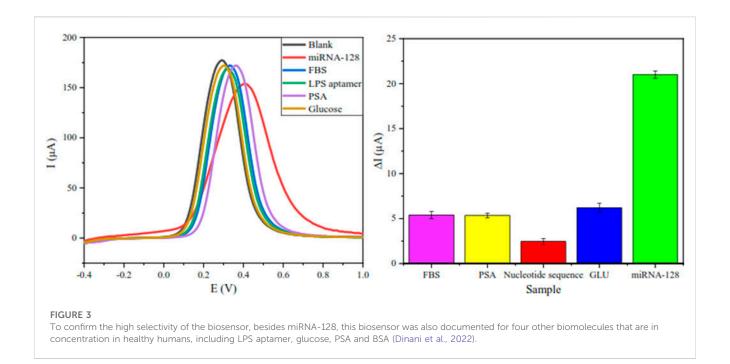
Unlike other diseases, based on the malignant proliferation and metastasis of cancer cells, one of the most effective treatments for cancer is to diagnose leukemia as early as possible. Traditional methods rely on the patient's clinical symptoms, cytomorphology and cytogenetics to diagnose leukemia. However, traditional methods are less sensitive and leukemia cells can not be detected in the early stages of the disease. Therefore, it is necessary to develop a highly selective and sensitive method for the diagnosis of leukemia. Based on the advantages of good selectivity, high sensitivity, simple equipment and low price, biosensors have been used as diagnostic tools in drug

detection, biomedical evaluation, environmental monitoring (Sharifi et al., 2019).

Many studies have shown that the application of nanomaterials in traditional biosensors can improve the detection sensitivity, speed and selectivity. The combination of metal oxide nanoparticles and biosensor can not only increase the sensitivity, but also improve the signal-to-noise ratio (Kaushik et al., 2008). Dinani et al. (2022) constructed the first gold nanoparticle/magnetite/reduced graphene oxide (AuNPs/Fe<sub>3</sub>O<sub>4</sub>/RGO) adapter sensor for detecting the concentration of the leukemia biomarker miRNA-128. The electrical conductivity and the sensitivity of the sensor have been improved by this nanocomposite. Therefore, the quantitative determination of miRNA-128 by the biosensor is realized for the first time, which can be applied to the diagnosis and prognosis of acute lymphocyte leukemia (ALL). Because of the specific expression of miRNA-128 in ALL, the sensor can also be used for differential diagnosis of different types of leukemia, especially with AML, in which miRNA-128 is not expressed. (Figure 3).

Fe<sub>3</sub>O<sub>4</sub> nanomaterials as metal oxide nanomaterials can increase the active surface area of the electrode surface and the electron transfer rate of redox, which leads to higher catalytic activity. Sgc8c linker can specifically bind to PTK7 which overexpresses in leukemia cells (Liu et al., 2019). Khoshfetrat and Mehrgardi (2017) first fixed the thiogenated sgc8c junction on the magnetic Fe<sub>3</sub>O<sub>4</sub> nanoparticles (Apt-GMNPs) coated with gold nanoparticles, and then used N-doped graphene nanoplates as the detection electrode, an electrochemical joint sensor was successfully constructed to detect the selectivity and sensitivity of leukemic cells. It is reported that nitrogen-doped graphene had larger functional surface area, more active sites catalytic reactions and higher conductivity compared with graphene nanosheet (Wen et al., 2014). Moreover, gold coated MNPs as a separation tool have ability to decrease the signal-to-noise ratio in complex media. The unique magnetism of MNPs can be used not only in biosensors, but also in combination with gene detection technology to improve the sensitivity of leukemia detection (Manthawornsiri et al., 2016).

Recently, Magnetic separation technology has been discovered and applied to separate substances under magnetic field. Compared with other separation methods, such as centrifugation, electrophoresis and ultrafiltration, the advantages of magnetic separation involved of high specificity, mild reaction conditions, simple operation and low cost (Liu et al., 2020). In 1973, Fe<sub>3</sub>O<sub>4</sub> NPs were firstly applied to the field of biological magnetic separation by Robinson et al. (1973). Nowadays, Fe<sub>3</sub>O<sub>4</sub> NPs have been widely used in the separation of biological macromolecules such as cells, pathogens, nucleic acids and proteins (You et al., 2019). Rashid et al. (2020) prepared Fe<sub>3</sub>O<sub>4</sub> NPs by coprecipitation, and coated the nanoparticles with SiO<sub>2</sub>. Finally, Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub> was functionalized to obtain Fe<sub>3</sub>O<sub>4</sub>@SiO<sub>2</sub>@PMIDA MNP. The nanocomposite is not only simple and inexpensive to fabricate, but also selectively isolates CD4<sup>+</sup> T lymphocytes from human peripheral blood. Quynh et al. (2018) developed a novel Fe<sub>3</sub>O<sub>4</sub>/AG nanocomposite with core-shell structure and coupled anti-CD34 antibody to magnetic nanoparticles, which can collect CD34 + stem cells from bone marrow samples with high selectivity. The above researches on the application of magnetic separation in the separation of cells, which indicated that it is promising for the diagnosis of leukemia via magnetic separation technology.



# 3.2 Iron-oxide nanoparticles and leukemia treatment

### 3.2.1 Improve the bioavailability of drugs

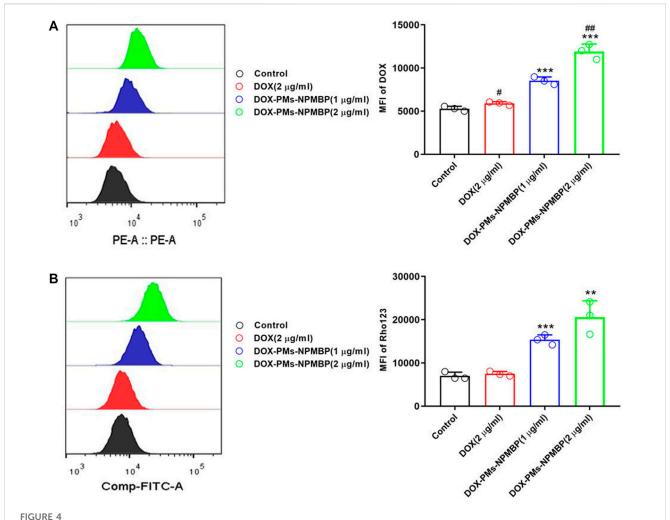
It is suggested that oral administration might be often regarded as common administration routes for the treatment of hematologic tumors (Liu, 2021). However, due to low water solubility and low oral absorption of most therapeutic drugs, it is necessary to increase the oral dose of cytotoxic drugs in order to enhance the blood concentration of drugs, which also causing damage of normal cells to a certain extent (Kantarjian et al., 2021). Therefore, it is important to discover a method to improve the bioavailability of drugs.

Homoharringtonine (HHT) can induce apoptosis by activating Caspase-3 and decreasing the expression of BCL-2, which can be used in tyrosine-kinase inhibitor myeloid leukemia (Chen et al., 2019). However, poor water solubility and serious adverse reactions limit its clinical application. In order to improve the bioavailability of HHT and reduce the adverse reactions, HHT-MNP-Fe<sub>3</sub>O<sub>4</sub> nanoparticles were prepared as drug delivery nanoparticles (Li et al., 2020). The results showed that HHT delivered by MNP-Fe<sub>3</sub>O<sub>4</sub> could not only inhibit the growth of myeloid leukemia cell, but also inhibit the proliferation of leukemia cells in vivo and in vitro, and induce apoptosis of leukemia cells on a wider range. The reason why HHT-MNP-Fe<sub>3</sub>O<sub>4</sub> can improve the curative effect may be that the magnetic nanoparticles downregulate the expression of myeloid leukemia-1, inhibit the activation of cal-pain I and poly-ADP-ribose polymerase, and thus induce apoptosis of leukemia cells. Like HHT, genistein has anti-tumor potential. However, due to its poor water solubility, the effective doses of genistein will have more side effects when used to treat blood tumors. Chouhan et al. (2021); Ghasemi Goorbandi et al. (2020) prepared Fe<sub>3</sub>O<sub>4</sub>-CMC-genistein by covalently modifying Fe<sub>3</sub>O<sub>4</sub> nanoparticles with Genistein. This study showed that the release rate of the synthesized Fe<sub>3</sub>O<sub>4</sub>-CMC-genistein was very slow, and compared with genistein, the synthesized  $\text{Fe}_3\text{O}_4\text{-CMC-}$  genistein showed a higher inhibition rate, especially at 72 h.

Most chemotherapeutic drugs used to treat leukemia have a short half-life and therefore do not reach effective therapeutic concentrations in the bloodstream for long enough to produce a lasting therapeutic effect. For example, the half-life of a chlorambucil is only 1-2 h, and the half-life of a mercaptopurine is 90 min (Sang et al., 2022). Fe<sub>3</sub>O<sub>4</sub> nanoparticles can overcome this disadvantage. Chemotherapeutic drugs are encapsulated in nanoparticles to slow or control drug release and thereby improve drug bioavailability. Chloramb is used as an alkylating agent in the chemotherapy of chronic lymph leukemia (CLL) and chronic myeloid leukemia (CML). CS-IONPs with coreshell structure were prepared by Hussein-Al-Ali et al. (2021) Chloramb-CS-IONPs were synthesized by ionic gelation method using CS-IONPs as carriers of chlorambucil with 19% loading rate of chloramb. In this study, Chloramb-loaded IONPs reduced cancer cell viability in a leukemia cell line (WEHI) better than Chloramb. Furthermore, the release of Chloramb from the drug-loaded complex has proved to be a controlled release behavior. Chloramb-CS-IONPs provided a new way to improve the bioavailability of chloramphenylene, thus providing a new idea for the treatment of leukemia with Chloramb. Dorniani et al. (2014) used a co-precipitation method to mercaptopurine iron oxide nanoparticles coated with PEG. By simulating the pH of the stomach and blood, the drug-release behavior of the nanoparticles is controlled, thus the anti-leukemia effect of WEHI-3B can be maintained.

### 3.2.2 Improve the efficiency of treatment

The clinical use of systemic chemotherapy for leukemia is often hampered by cancer cells and the multiple drug resistance of anticancer drugs. The process of multidrug resistance (MDR) development is very complex, which is related to transporter overexpression, enhanced xenobiotics metabolism, DNA repair ability changes, genetic factors and so on Bukowski et al. (2020).



DOX-PMs-NPMBP promotes the intracellular retention of DOX in DOX-resistant cells. (A) The intracellular retention of DOX was assessed by flow cytometry in Nalm6/DOX cells after different treatments. (B) The MFI of Rhodamine123in Nalm123/ADR cells was assessed by flow cytometry analysis through FITC channels (Gan et al., 2021).

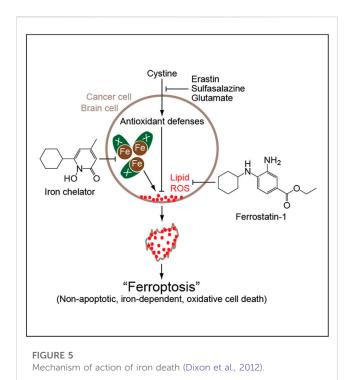
Nano-agents will play an important role in the treatment of multidrug resistant tumors because they can not only carry multiple chemotherapeutic agents and bioactive components, but also overcome multiple mechanisms of MDR.

To reverse MDR and minimize serious adverse effects of systemic chemotherapy, Wang et al. (2011) used oleic acid-coated IONPs as a co-delivery vehicle for DNR and Br Tet, named DNR/Br Tet-MNPs. The effect of the drug-loaded nanoparticles on the apoptosis of drug-resistant human leukemia K562/A02 cells was studied. The study showed that DNR/Br Tet-MNPs could deliver DNR to drug-resistant cells better than DNR and Br Tet Solution. The reason may be that the drug-loaded nanoparticles decrease the expression of BCL-2 and increase the expression of Caspase 3, thus increasing the apoptosis of drug-resistant leukemia cells.

Doxorubicin (DOX) is a commonly used drug to treat acute leukemia, but its application is limited because of drug resistance. Studies have shown that over-expression of NPM can lead to multidrug resistance of ALL, and the engineered recombinant NPM binding protein (NPMBP) can knock out NPM by RNA interference, thus reversing multidrug resistance of leukemia cells (Wang et al., 2015).

Gan D and his colleagues (Gan et al., 2021) assembled DOX and NPMBP into polymer nanomicelles called DOX-PMs-NPMBP. Compared with DOX, DOX-PMs-NPMBP could increase the drug retention capacity of DOX-resistant cells and induce G0/G1 phase arrest of drug-resistant cells, thus achieving a more significant antileukemia effect. In addition, the study showed that the multidrug resistance mechanism of DOX-PMs-NPMBP nanoparticles system was proved by the Rho123 outflow test by regulating the functional activity of P-glycoprotein (P-gp). Overexpression of P-gp in drug-resistant cells increases drug efflux, resulting in a decrease in intracellular drug concentration. The new DOX-PMs-NPMBP nanoparticle system can significantly regulate the functional activity of P-gp, thus reducing the efflux of Rho123 mediated by drug pump P-gp in drug-resistant ALL cells (Figure 4). These results suggest that the classical MDR phenotype-dependent mechanism is involved in the effect of DOX-PMs-NPMBP on DOX-resistant cells.

IONPs can trigger the Fenton reaction, a chemical reaction in which divalent and/or ferric can convert hydrogen peroxide into hydroxyl radicals. The Fenton reaction produces reactive oxygen species (ROS) and mediates lipid peroxidation, which can lead to



ferroptosis. Shen et al. (2018) Ferroptosis, discovered and named by Dixon et al. (2012), is a new form of iron-dependent cell death that differs from apoptosis, cell necrosis, and autophagy (Figure 5). The biochemical mechanisms of ferroptosis include the production of lethal ROS, lipid peroxidation and intracellular iron accumulation, which in turn produce large amounts of oxygen free radicals. When the steady-state imbalance of ROS production and degradation occurs, the cell's own antioxidant capacity decreases to a point where it is not sufficient to clear the excessive accumulation of lipid ROS. Hydroxyl radicals and ROS can attack structures such as DNA, proteins and cell membranes, and thus destroy the structure and function of cells, causing ferroptotic cell death. Chen et al. (2021).

Trujillo-Alonso et al. (2019) found that iron oxide nanoparticles approved by the FDA for the treatment of iron deficiency can be used to treat leukemia with low ferroportin (FPN) expression. Iron oxide nanoparticles can aggravate the reduction of cellular iron efflux and increase intracellular iron content, thus aggravating the increase of intracellular ROS level. Because FPN is highly expressed in normal hematopoietic cells, and iron oxide nanoparticles do not cause severe toxic and side effects in normal hematopoietic cells. Therefore, iron oxide nanoparticles are relatively safe in the treatment of this kind of leukemia.

Dou et al. (2021) found that the combination of  $Fe_3O_4$  nanoparticles with cytarabine (Ara-C) inhibited leukemia stem cell (LSC) more than Ara-C alone. They analyzed changes in ROS-related substances such as gp91-phox and SOD1 by measuring ROS levels in LSC and non-LSC under different conditions. It was demonstrated that IONPs and Ara-C might induce LSC apoptosis due to increased ROS levels. Therefore, iron oxide nanoparticles can regulate the oxidative damage and defense balance of cells through the mechanism of iron death, which has a great prospect in the treatment of leukemia.

### 4 Conclusion and prospects

With the development of medical science and technology, the traditional way of drug delivery will be innovated. Due to the convenience and biocompatibility of IONPs, it has a broad application prospects in clinical transformation. The rapid development of nanotechnology has laid a solid foundation for the diagnosis and treatment of leukemia, and more and more application of IONPs in the treatment of leukemia. Furthermore, IONPs are widely used in tumor imaging, magnetic hyperthermia and biomacromolecule magnetic separation because of their magnetic properties. More importantly, IONPs can not only achieve synergistic drug delivery, but also combine with other therapeutic methods to achieve better therapeutic effects, which has become one of the hot research directions.

However, the application of iron oxide nanoparticles in the diagnosis and treatment of leukemia still needs to overcome huge obstacles in the clinical transformation process. 1) At present, there is still a lack of high-yield and high-safety methods for the preparation of iron oxide nanoparticles. Although some modified materials have been studied, the synthetic standard of modified materials and whether other new materials can be used for modification are still an urgent problem to be solved. 2) Lack of detailed toxicity test results of iron oxide nanoparticles. Although iron oxide nanoparticles are biocompatible compared to other materials, the long-term side effects of iron oxide nanoparticles on normal cells, tissues and organs need to be further determined, and carefully study the metabolism of this material and degradation of the impact on the body. 3) The detailed mechanism of iron oxide nanoparticles involved in regulating the metabolism of leukemic cells is not completely clear. 4) For the application of IONPs in the treatment of leukemia, most of current studies are devoted to the examination of the drug-carrying function of IONPs, and there are fewer applications regarding the magnetic properties of IONPs, such as magnetic hyperthermia and magnetic separation. 6) The clinical transformation of iron oxide nanoparticles in leukemia has been very slow, and only a small number of studies have been carried out in clinical trials. 7) The design of iron oxide nanoparticles with imaging, diagnosis and therapy, and the realization of multi-treatment of leukemia, may be one of the hot research directions in the future.

These questions may inspire future research into the development and improvement of IONPs, providing better opportunities for the treatment of leukemia. In summary, combining multidisciplinary knowledge and research tools to explore effective therapies for leukemia and develop highly biocompatibility, highly targeted iron oxide nanoparticles will be the focus. It is believed that with the joint efforts of researchers, IONPs may be further applied in the clinical treatment of leukemia.

### **Author contributions**

YZ conceived and designed the current study. YW and YY search and summarize the data. XZ, JS and XD analyzed the data.

YW and YY drafted the manuscript. All authors have read and approved the final manuscript.

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### Conflict of interest

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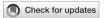
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# Near-infrared laser-irradiated upconversion nanoparticles with dexamethasone precise released for alleviating lung ischemia-reperfusion injury

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Introduction: Dexamethasone (DEX), as an important enduring-effect glucocorticoid (GC), holds great promise in the field of lung ischemia-(LIRI) comprehensive therapy owing immunomodulatory properties, such as inducing apoptosis and cell cycle distribution. However, its potent anti-inflammatory application is still restricted because of multiple internal physiologic barriers.

Methods: Herein, we developed upconversion nanoparticles (UCNPs) coated with photosensitizer/capping agent/fluorescent probe-modified mesoporous silica  $(UCNPs@mSiO_2[DEX]-Py/\beta-CD/FITC,\quad USDPFs)\quad for\quad precise\quad DEX\quad release$ synergistic LIRI comprehensive therapy. The UCNPs were designed by covering an inert YOF:Yb shell on the YOF:Yb, Tm core to achieve high-intensity blue and red upconversion emission upon Near-Infrared (NIR) laser irradiation.

Results: Under suitable compatibility conditions, the molecular structure of photosensitizer can be damaged along with capping agent shedding, which endowed USDPFs with an outstanding capability to carry out DEX release controlling and fluorescent indicator targeting. Furthermore, the hybrid encapsulating of DEX significantly increased utilization of nano-drugs, improving the water solubility and bioavailability, which was conducive to developing the anti-inflammatory performance of USDPFs in the complex clinical environment.

Discussion: The response-controlled release of DEX in the intrapulmonary microenvironment can reduce normal cell damage, which can effectively avoid the side effects of nano-drugs in anti-inflammatory application. Meanwhile, the multi-wavelength of UCNPs endowed nano-drugs with the fluorescence emission imaging capacity in an intrapulmonary microenvironment, providing precise guidance for LIRI.

### KEYWORDS

dexamethasone, upconversion nanoparticles, near-infrared, response-controlled release, inflammation, lung ischemia-reperfusion injury

### 1 Introduction

Arising after lung transplantation surgery, the pathogenesis of local acute inflammation such as lung ischemia-reperfusion injury (LIRI) is extremely complex, including an inflammatory cascade, programmed cell death, and oxidative stress(Ng et al., 2005; Ng et al., 2006). Besides, inflammatory cells (e.g., alveolar macrophages and neutrophils) are activated to secrete large amounts of inflammatory factors, which will lead to excessive uncontrolled inflammation and tissue damage(Fiser et al., 2002). Glucocorticoids (GC) are potent anti-inflammatory agents with the ability to provide a variety of anti-inflammatory, antiedematous and pulmonary vasodilatory effects through genomic and non-genomic mechanisms(Peter et al., 2008; Coutinho and Chapman, 2011; Zhang et al., 2015). Dexamethasone (DEX), one of the most commonly used glucocorticoids, has been shown in clinical data to reduce tissue injury by decreasing the secretion of pro-inflammatory factors and inhibiting apoptosis (Aktas et al., 2017). Postoperative studies in LIRI have shown that DEX not only reduces sepsis-related lung damage (Kuwajima et al., 2019), but also reduces inflammation by attenuating the activity of the JAK2/STAT3 signaling pathway and increasing the expression of ICAM-1, thereby protecting lungs from severe acute pancreas inflammation-related lung injury (Han et al., 2016). For this reason, DEX is one of the good alternative drugs used to ameliorate the local acute inflammation generated after LIRI. However, the clinical application of DEX is due to its poor water solubility, low bioavailability and side effects on the body (Caplan et al., 2017). Therefore, it is urgent to address the issue of DEX precise release for therapeutic use in the intrapulmonary microenvironment after LIRI.

To overcome the multiple adverse effects arising from the release of drugs *in vivo* prior to treatment, various mesoporous silica (mSiO<sub>2</sub>)-based nano-drug delivery approaches have been widely reported (Spahn et al., 2015; Tian et al., 2015; Eskandari et al., 2019). However, the practical application of these protocols remains limited due to the lack of control over the trace images displayed *in vivo*, as well as the amount and time of drug release. Recently, upconversion nanoparticles (UCNPs) accompanied by rare earth element (Ln) doping have shown promising prospects due to their applications in bioimaging and optical therapy features (Yuan et al., 2014; Song et al., 2022). As a suitable host material for hexagonal crystalline systems, YOFs convert near-infrared light to UV-visible light by doping with Yb<sup>3+</sup>/Tm<sup>3+</sup> ionic couples (Yi et al., 2011; Suo et al., 2016; Lee et al., 2017).

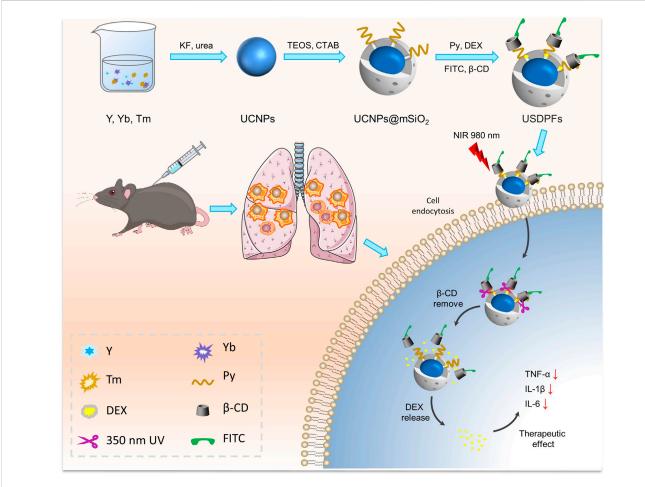


FIGURE 1
Schematic synthesis process and theranostic mechanism of nano-drugs for LIRI comprehensive therapy

Meanwhile, the surface inert layer can further reduce the defect quenching and enhance the luminescence quality (Ma et al., 2018; Lu et al., 2019). Further, the good biocompatibility of UCNPs guarantees for biomedical applications such as drug delivery and cancer therapy (Xiang et al., 2018; Yan et al., 2021). The mSiO<sub>2</sub> can be effectively coated on the surface of upconversion nanoparticles (UCNPs), which is very beneficial to achieve surface functionalization of particles and optimize their properties for biomedical applications (Liu et al., 2019). The terminal methyl pyrrole of pyrene conjugated β-cyclodextrin (β-CD), as a pair of molecular capping agent couple, is often used to seal the pores of mSiO<sub>2</sub> (Indrajit et al., 2010; Wang et al., 2017). In the presence of near-infrared light absorption, the ester bond adjoining the pyrene chromophore is broken and accompanied by the shedding of  $\beta$ -CD, resulting in the release of drugs from the  $mSiO_2$  pores (Liu et al., 2019). The photoswitching effect can play a significant role in the controlled release of nano-drugs and localization imaging.

In this study, YOF:Yb³+/Tm³+ UCNPs were encapsulated in mSiO<sub>2</sub>, then modified by  $\gamma$ -Oxo-1-pyrenebutyricacid (Py) and loaded with DEX, and finally capped with  $\beta$ -CD, which was labeled with fluorescein isothiocyanate (FITC), to construct YOF:Yb³+/Tm³+@YOF:Yb³+@mSiO<sub>2</sub>(DEX)-Py/ $\beta$ -CD/FITC nanohybrids (USDPFs). Under NIR irradiation, UV light from UCNPs upconversion emission breaks the ester bond adjoining the pyrene chromophore bond and promotes the detachment of nanovalve  $\beta$ -CD for encapsulated drug release. As a fluorescent marker, FITC can be employed to label and trace nanoparticles *in vivo* and *in vitro*. In addition, mSiO<sub>2</sub> coating and  $\beta$ -CD coupling can also improve the biocompatibility of nanocomposites, providing security for anti-inflammatory therapy after LIRI.

### 2 Materials and methods

### 2.1 Materials

Yttrium oxide (Y2O3), Ytterbium oxide (Yb2O3), Thulium oxide (Tm2O3), Potassium fluoride (KF), Urea (CH4N2O), Anhydrous ethanol, Tetraethylorthosilicate (TEOS), Cetyltrimethylammonium bromide (CTAB), Dimethylformamide (DMF), (3-dimethylaminopropyl)ethylcarbodiimidmonohydrochloride (EDC), Beta-cyclodextrin (β-CD), Fluorescein isothiocyanate (FITC), Dexamethasone (DEX), γ-Oxo-1-pyrenebutyricacid (Py), Aminopropyltriethoxysilane (ATPES) were purchased from Aladdin Biochemical Technology Co. Ltd. Concentrated nitric acid, Ammonium Hydroxide (NH3·H2O), and Acetone were bought from Sinopharm Chemical Reagent Co. Ltd. All reagents were analytical grade, and the deionized water was obtained by ultrapure water mechanism (Vent Filter MPK01, Merck Millipore).

Cell Counting Kit-8 was ordered from Beyotime. Mounting Medium, antifading (with DAPI), Calcein-AM/PI live cell/dead cell double staining kit, Triton X-100, Hematoxylin-Eosin/HE Staining Kit were obtained from Solarbio Life Sciences. Enzyme-linked immunosorbent assay (ELISA) kits for the

detection of inflammatory factors (IL-1 $\beta$ , IL-6 and TNF- $\alpha$ ) were obtained from Elabscience Biotechnology. Dulbecco's Modified Eagle Medium (DMEM, with or without high glucose, L-glutamine, phenol red, sodium pyruvate), Fetal bovine serum (FBS), were purchased from Gibco. RAW264.7, mouse mononuclear macrophage leukemia cells, tissue source of male Abelson mouse leukemia virus-induced tumors, were purchased from Procell Life Science&Technology Co. Ltd.

C57BL/6 mice, clean grade, 7–9 weeks old, weighing about 20–25 g, were purchased from the Experimental Animal Center of Guangxi Medical University. The mice were housed in an SPF-grade animal laboratory, with normal feeding, free access to water, and an ambient temperature of about 23°C. All animal protocols conformed to the animal guidelines of the Institutional Animal Care and Use Committee of Guangxi Medical University.

### 2.2 Characterization

Transmission electron microscopy (TEM) images were observed on an electron microscope (JEM-3010, JEOL). UV/ Vis spectra were acquired with a UV/Vis spectrophotometer (UV-2600, Shimadzu). Fourier transform infrared (FT-IR) spectrum was recorded on a FT-IR spectrometer (Nicolet iS10, Thermo Fisher Scientific). Cell viability was obtained by a microplate reader (Infinite M Plex, Tecan). Fluorescence

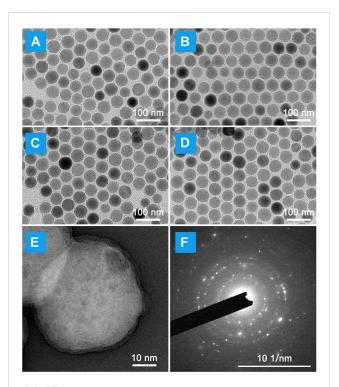


FIGURE 2
Micromorphology of LIRI comprehensive therapeutic nanodrugs at each synthesis process. TEM images of (A) UCNPs; (B) UCNPs@mSiO<sub>2</sub>; (C) UCNPs@mSiO<sub>2</sub>-Py; (D) UCNPs@mSiO<sub>2</sub>(DEX)-Py/β-CD/FITC (USDPFs). (E) STEM image and (F) Selected area electron diffraction (SAED) of USDPFs.

microscopy results were evaluated by fluorescence microscopy (LSM 980, ZEISS).

# 2.3 Synthesis of $Y(OH)_x(CO_3)_yF_z$ : $Yb/Tm@Y(OH)_x(CO_3)_yF_z$ : $Yb/Tm@Y(OH)_x(CO_3)_yF_z$ :

 $Y_2O_3$  (0.695 mmol),  $Yb_2O_3$  (0.30 mmol), Tm<sub>2</sub>O<sub>3</sub>(0.005 mmol), nitric acid, and ultrapure water were prepared for rare earth nitrate solution. Then, 1 ml of Y(NO<sub>3</sub>)<sub>3</sub>, 1 ml of  $Yb(NO_3)_3$ , 1 ml of  $Tm(NO_3)_3$ , 0.0581 g (1 mmol) of KF, and 3 g (0.05 mmol) of urea were added to a beaker. The beaker was volume fixed with ultrapure water to 50 ml and stirred for 5 min until the powder was completely dissolved. The beaker was placed in a constant temperature water bath and maintained at 90°C for 3 h. After the co-precipitation reaction, white flocculent precipitates were obtained at the bottom of the vessel. Then the beaker was taken out and cooled to room temperature. Afterwards, the precipitates were thoroughly cleaned 3 times with ultra-pure water and anhydrous ethanol, respectively. After drying overnight, the precursor  $Y(OH)_x(CO_3)_yF_z:Yb/Tm@Y(OH)_x(CO_3)_yF_z:Yb$  was finally obtained.

# 2.4 Synthesis of YOF:Yb/Tm@YOF:Yb@ mSiO<sub>2</sub> (UCNPs@mSiO<sub>2</sub>)

The precursor polymer was calcined at 700°C for 3 h to obtain YOF:Yb/Tm@YOF:Yb (UCNPs) for particle size analysis and long-range stability experiments. 0.2 g of precursor polymer, diluted with 50 ml absolute ethanol and 70 ml ultra-pure water. Add 0.3 g of cetyltrimethylammonium bromide (CTAB), 300  $\mu L$  of ethyl orthosilicate (TEOS), and 1 ml of concentrated ammonia to the mixture in turn. The mixture was stirred until the substance was completely dissolved, followed by magnetic stirring at 25°C for 6 h. After centrifugation, the precipitates were cleaned with ultrapure water and anhydrous ethanol. Subsequently, the dried product was calcined at 700°C for 3 h to produce the core-shell structure product UCNPs@mSiO\_2.

### 2.5 Synthesis of UCNPs@mSiO2-Py

1.8 g of UCNPs@mSiO<sub>2</sub> was dissolved in 20 ml of NaOH solution (1 M), stirred continuously for 8 h, and dried in an oven (60 °C) overnight. Afterwards, the products were dispersed in a mixed solution of 70 ml deionized water and 20 ml APTES and stirred for 8 h at 55°C. The precipitate was gathered by centrifugation, and the precipitate was alternately cleaned with anhydrous ethanol and ultrapure water for 3 times. Finally, the product UCNPs@mSiO<sub>2</sub>-NH<sub>2</sub> was obtained after drying at 60°C for 12 h. UCNPs@mSiO<sub>2</sub>-NH<sub>2</sub> was added to an ethanol solution containing Py (10 mM) and EDC (50 mM), then stirred for 12 h at 50°C. The precipitation was recovered by centrifugation and washed thoroughly with ethanol to attained UCNPs@mSiO<sub>2</sub>-Py.

# 2.6 UCNPs@mSiO $_2$ -Py was loaded with DEX and modified by FITC- $\beta$ -CD

50 mg  $\beta$ -CD was dissolved in 5 ml of anhydrous DMF, followed by adding 0.3 mM fluorescein isothiocyanate (FITC) and stirring at 30°C for 12 h. Acetone was added to the above solution to precipitate the precipitate and then precipitated by ethanol solution. After acetone was added, FITC/ $\beta$ -CD was collected by centrifugation and thoroughly cleaned with anhydrous ethanol.

DEX solution (10 ml, 1 mM) was added to a beaker containing UCNPs@mSiO2-Py (100 mg). The mixture was dispersed by ultrasonic for 30 min and stirred continuously for 24 h to diffuse the drug into the nanopores of UCNPs@mSiO2-Py. After that, the precipitates UCNPs@mSiO2(DEX)-Py and supernatant were respectively gathered by centrifugation. Then, UCNPs@mSiO2(DEX)-Py and FITC/ $\beta$ -CD (1 g) were added to a beaker and stirred continuously for 12 h. The precipitates UCNPs@mSiO2(DEX)-Py/ $\beta$ -CD/FITC (USDPFs) were recovered by centrifugation, purified with ultrapure water, and dried in a drying oven. The amount of DEX in the supernatant was converted from the UV-Vis spectrum of DEX (absorbance at 291 nm).

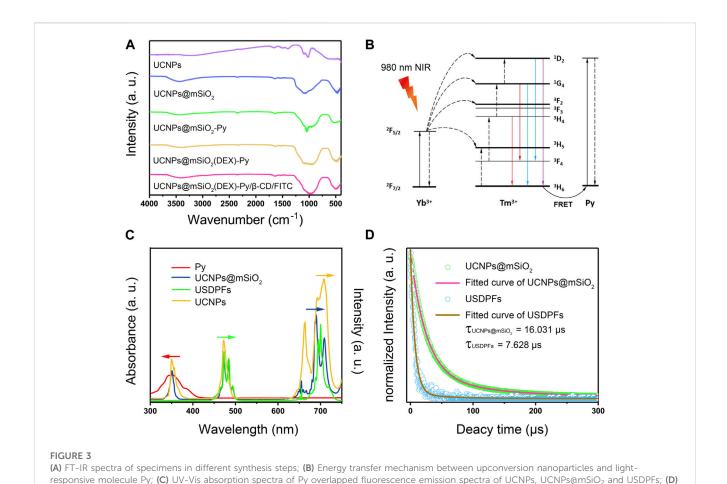
# 2.7 DEX loading and releasing tests in USDPFs

DEX was dissolved in ultrapure water and diluted into different concentration gradients (500, 250, 125, 62.5, 31.25, 15.6, 0  $\mu$ g ml<sup>-1</sup>). The absorbance corresponding to each concentration gradient at 240 nm wavelength was detected with a UV spectrophotometer, and a standard curve for DEX was drawn.

Aspirate the supernatant in step 2.6, dilute it with ultrapure water, use an ultraviolet spectrophotometer to detect the absorbance at the wavelength of 240 nm, and calculate the concentration of free DEX in the supernatant according to the standard curve of DEX: Drug loading rate (%) = (Amount of drug added - The amount of drug in the supernatant)/Amount of nanocarriers. An appropriate amount of USDPFs was made into a suspension in ultrapure water. After irradiating it with 980 nm NIR for different gradient time, the absorbance at 240 nm wavelength was detected by ultraviolet spectrophotometer (UV/Vis spectrometer). Similarly, the content of DEX in the supernatant was figured out based on the standard curve of DEX, and the DEX release curve with the change of NIR irradiation time was drawn.

### 2.8 Biocompatibility of USDPFs evaluation

RAW264.7 cells with good growth status were incubated into 96~ well plates (seeding density:  $2\times10^3$  cells/well) and cultured in an incubator (37°C, 5% CO $_2$ ) for 4 h. The cell culture medium in the corresponding wells was replaced with the medium containing different concentrations of USDPFs, so that the final concentration of USDPFs in each well plate decreased gradually (500, 250, 125, 62.5, 31.25, 15.625, 7.8125  $\mu g$  ml $^{-1}$ ) and incubated for 24 h. Then, CCK-8 solution (10  $\mu L$  per well) was added, and the cells were continued to be cultured for 3 h. Use a microtiter plate reader to read the absorbance (OD value) of each group of samples at 450 nm.



### 2.9 Hemolysis assay of USDPFs

Human whole blood was purified by centrifugation and washed with 1% normal saline several times to obtain red blood cells. Dilute red blood cells with PBS solution (pH 7.4), and add 200 µL USDPFs solution prepared in PBS solution, with a total volume of 4 ml. The concentrations of USDPFs were 7.8125 µg ml<sup>-1</sup>, 15.625 µg ml<sup>-1</sup>,  $31.25 \ \mu g \ ml^{-1}$ ,  $62.5 \ \mu g \ ml^{-1}$ ,  $125 \ \mu g \ ml^{-1}$ ,  $250 \ \mu g \ ml^{-1}$ , and 500 μg ml<sup>-1</sup>, respectively. The erythrocytes were cultured with USDPFs for 2 h at 37°C and centrifuged to obtain the supernatant. Then, read the absorbance of the supernatant of each group of samples at 541 nm. The equation Hemolysis % =  $(OD_{sample} - OD_{control(-)})/(OD_{control(+)} - OD_{control(-)})$  was employed on calculating the percent hemolysis of red blood cells, where OD presents the absorbance values of supernatants at 541 nm, OD<sub>control(-)</sub> means the negative control (RBC dissolved in PBS solution), and OD<sub>control(+)</sub> means the positive control (RBCs dissolved in ultrapure H<sub>2</sub>O).

Fluorescence lifetime curve of UCNPs@mSiO<sub>2</sub> and USDPFs at 695 nm.

### 2.10 Cellular uptake of USDPFs sample

UCNPs, UCNPs@mSiO<sub>2</sub>, and USDPFs were prepared with DMEM medium at a concentration of 50 µg ml<sup>-1</sup>.

RAW264.7 cells were co-cultured with these three solutions for 6 h. Then the cells were washed with PBS solution, labeled by adding DAPI fluorescent dye and observed under a confocal microscope.

### 2.11 In vivo safety evaluation of USDPFs

Normal saline and USDPFs (5 mg kg<sup>-1</sup>) was injected into healthy male C57BL/6 mice by intratracheal administration, and the mice were sacrificed 2 weeks later. The main organs (heart, lung, liver, spleen, kidney) were collected for hematoxylin and eosin (H&E) staining. USDPFs (5 mg kg<sup>-1</sup>) was injected into mice through intratracheal administration. After 1 day, 3 days, 7 days, 14 days, and 21 days, the mice were euthanized and lung tissues were collected for H&E staining. The morphological changes of organ tissues were observed under an optical microscope. Inject USDPFs (5 mg kg<sup>-1</sup>) into the tail vein of mice for pharmacokinetic testing.

### 2.12 In vivo imaging effects of USDPFs

Normal saline, USDPFs (5 mg kg<sup>-1</sup>) were injected into healthy C57BL/6 mice by intratracheal administration. *In vivo* fluorescence

imaging of USDPFs was recorded by taking pictures of mice with a small animal imager.

# 2.13 Anti-inflammatory effect of USDPFs in LIRI *in vitro* model

Referring to previous research (Wang et al., 2020), an oxygenglucose deprivation and reperfusion (OGD/R) model was developed to simulate in vitro lung I/R. RAW264.7 cells were divided into a control group, USDPFs group, USDPFs + NIR group, OGD/R group, OGD/R + USDPFs group, and OGD/R + USDPFs + NIR group. OGD/R group: cells were incubated in a Whitley H35 Hypoxystation containing 1% O<sub>2</sub>, 5% CO<sub>2</sub>, and 94% N<sub>2</sub> at 37°C (Don Whitley Scientific, Bingley, UK) for 1 h. The used medium was then removed and a medium containing serum, high sugar and no antibiotics was added. Cells were continued to be cultured in a normal incubator for 6 h. Cells in all groups were precultured with USDPFs (50 µg ml<sup>-1</sup>) for 6 h in addition to the control and OGD/R groups. After that, cells in the USDPFs + NIR group were irradiated with 980 nm NIR (10 min, 0.5 W cm<sup>-2</sup>), and cells in the OGD/R + USDPFs + NIR group were irradiated with 980 nm NIR (10 min, 0.5 W cm<sup>-2</sup>) after OGD/R. Biological transmission electron microscopy (Bio-TEM) of RAW264.7 Cells.

RAW264.7 cells in each group were collected, fixed with glutaraldehyde (3%) overnight and osmic acid (1%) for 2 h. The cells were subsequently dehydrated with acetone and embedded in resin. The samples were cut into ultra-thin slices and observed under a Bio-TEM (Hitachi H-7560, Tokyo, Japan).

### 2.13.1 Live/dead cell staining

RAW264.7 cells were seeded in 24-well plates (seeding density:  $1\times10^5$  cells/well) and cultured for 4 h. Incubation was continued for 6 h after the addition of USDPFs (50  $\mu$ g ml<sup>-1</sup>). Then, the USDPFs that were not taken up by the cells were removed, and the cells were cultured for 24 h.

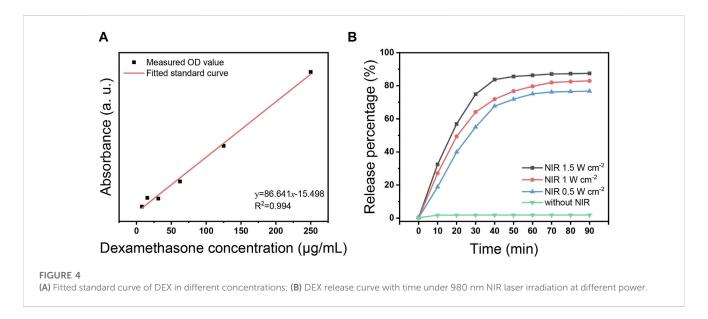
After washing the cells three times with PBS buffer, add 50  $\mu L$  of FDA (labeled live cells, green fluorescence, 8  $\mu g$  ml $^{-1}$ ) and PI (labeled dead cells, red fluorescence, 20  $\mu g$  ml $^{-1}$  in PBS buffer to the cells) for 5 min. Discard the staining solution, add freshly prepared PBS buffer, and observe the cells under a fluorescence microscope.

# 2.13.2 Detection of inflammatory factors in cell supernatant by ELISA

The cell supernatants of each group were retained, and the expression of inflammatory factors (IL-1 $\beta$ , IL-6 and TNF- $\alpha$ ) in the cell supernatant was measured separately by ELISA kits.

## 2.14 Anti-inflammatory effect of USDPFs in LIRI *in vivo* model

Thirty healthy clean-grade male C57BL/6 mice at 7-9 weeks, weighing about 20-25 g, were randomly divided into 6 groups (n = 5): Control group, USDPFs group, USDPFs + NIR group, I/R + USDPFs group, I/R + USDPFs + NIR group. Ischemia/ reperfusion (I/R) group (based on a previous study (Liang et al., 2021)): the chest cavity was opened from the left chest of mice, and the left hilum was clamped for 1 h by a non-invasive vascular clamp (maintaining the state of ischemia and hypoxia). Then released the hemostatic clamp, opened the left hilum, and reperfusion for 6 h. Except for the control group and the I/R group, the mice in the other groups were given USDPFs suspension (5 mg kg<sup>-1</sup>) by intratracheal injection 1 h before the operation. The mice in the USDPFs + NIR group were irradiated by 980 nm NIR (3  $\times$  10 min, 1 W cm<sup>-2</sup>) after 2 h of administration. The mice in the I/R + USDPFs + NIR group were irradiated by 980 nm NIR (3 × 10 min, 1 W cm<sup>-2</sup>) after suturing the incision. After 6 h, mice were sacrificed by exsanguination. Serum and left lung tissue were collected. Similarly, after intratracheal injection of USDPFs (5 mg kg<sup>-1</sup>)



and use of dexamethasone aerosol (DEX-A) in mice, pathological changes in lung tissue were observed.

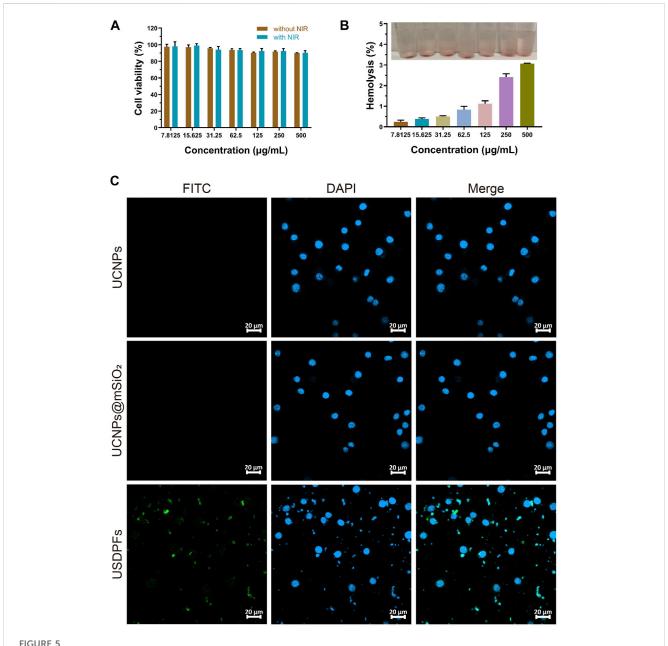
### 2.14.1 H&E staining

Lung tissue samples were fixed with 4% paraformaldehyde, embedded in paraffin, sectioned, stained with H&E, and explored with a fluorescence microscope. Lung tissue damage was scored as described below, based on the accumulation or infiltration of inflammatory cells in the vessel wall or in the air spaces [1 = vessel wall only, 2 = small amount in alveolar space, 3 = moderate amount, 4 = severe (alveolar space congestion)], presenting alveolar hyaline and interstitial congestion [1 =

normal, 2 = mild (>25% of the field), 3 = moderate (25–50% of the field) and 4 = severe (>50% of the field)], and with (1) or without (0) hemorrhage (Murray and Wynn, 2011). The scores of the above items were added to obtain the lung injury score of the sample.

### 2.14.2 Wet to dry weight ratio

The lung wet-to-dry (W/D) ratio was recorded to assess the degree of pulmonary edema. Fresh left lung tissue was gathered and weighed as wet weight instantly. Then, lung tissue samples were dried in a desiccator at 60°C for 48 h to a constant weight, recorded as dry weight, and the W/D ratio was calculated.



(A) Cell viability measurement of USDPFs with different concentrations; (B) Hemolysis test of USDPFs *in vitro*; (C) Cellular uptake of USDPFs (50 μg ml<sup>-1</sup>) under CLSM observation (× 400, scale bar 20 μm).

# 2.14.3 Detection of inflammatory factors in serum and lung tissue by ELISA

Lung tissue was prepared as a tissue homogenate and serum was extracted from whole blood. The expressions of IL-1 $\beta$ , IL-6 and TNF- $\alpha$  in serum and lung tissue were detected by ELISA kits, respectively.

### 2.15 Statistical analysis

All data were dealt with the average of three independent experiments  $\pm$  the standard deviation of the mean, Student's t-test or one-way analysis of variance (SPSS 22.0), which could be employed on analysing the significant difference between the means of the groups was statistically significant. Statistically significant differences existed between groups when \*p < 0.05.

### 3 Results and discussion

# 3.1 Preparation and analysis of the upconversion nanoparticles

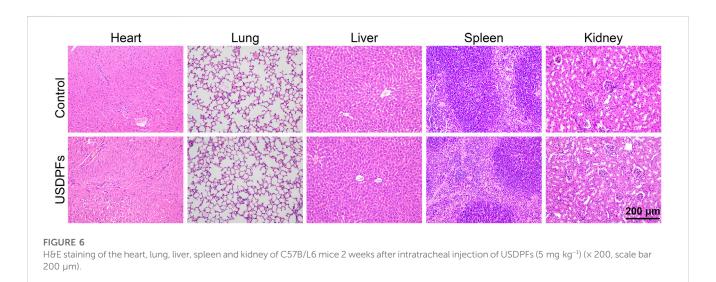
The schematic of NIR laser-irradiated upconversion nanoparticles with DEX precise released for LIRI comprehensive therapy was shown in Figure 1. It can be interpreted that the key to the precise release of DEX in vivo depends on the design and synthesis of nano-drugs. As illustrated in Supplementary Figure S1, UCNPs have a uniform particle size distribution and good stability, and do not decompose and modify over a long resting period. As images observed in Figures 2A, B, there was no obvious change in morphology, although particle size increased slightly (~10 nm) after UCNPs coated mSiO<sub>2</sub>, indicating that mSiO<sub>2</sub> layer was successfully tightly attached to UCNPs core. In Figures 2C, D, amorphous matter is obviously present on the surface of nanoparticles, which verifies that inorganic UCNPs@mSiO2 can be wrapped by polymer layers. The resulting nanohybrid UCNPs@ mSiO<sub>2</sub>(DEX)-Py/β-CD/FITC (USDPFs) has a spherical morphology with a size of about 45-50 nm, uniform size and good monodispersity. In order to further investigate its crystallographic properties, STEM and SAED were measured simultaneously (Figures 2E, F). The results exhibited that the cladding structure was symmetry and the crystallinity was excellent.

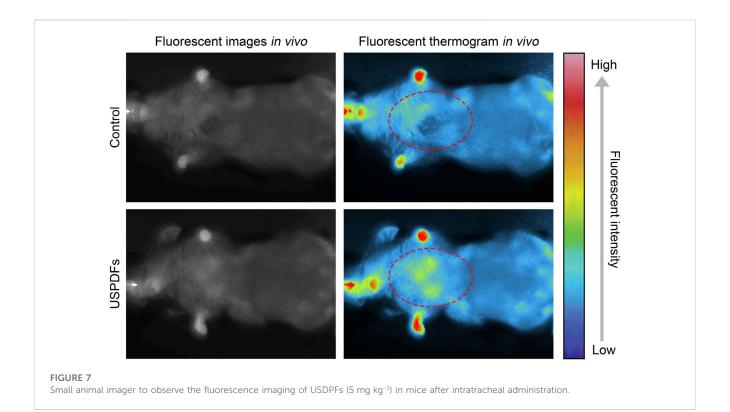
Figure 3A proves the changes of functional groups on the surface of nanoparticles at different synthesis stages, indicating the successful coating and accurate synthesis of layer, which is consistent with the TEM results. The excellent coating effect provides a close enough distance for the interlayer fluorescence resonance energy transfer, energy level transition process was designed in Figure 3B. Yb3+ ions in UCNPs absorb 980 nm light and transmit photons to Er3+ ions for visible light emission. Meanwhile, by collaborating Förster resonance energy transfer (FRET) effect, some of the blue emission light is absorbed by Py, which can break the ester bond adjoining the pyrene chromophore bond. As explored in Figure 3C, the strongest emission of blue and red light is at 475 nm and 695 nm, corresponding to  ${}^{1}G_{4} \rightarrow {}^{3}H_{6}$  and  ${}^{1}G_{4} \rightarrow {}^{3}F_{4}$  transition emissions separately. (Pengpeng et al., 2019). Since Py is strongly absorbed around 350 nm, the absorption peak of USDPFs relative to UCNPs@mSiO2 disappears in blue light emissions. Also, the visible emission intensity of fluorescence decreased slightly due to the increase of layer thickness on the nanohybrids. Moreover, the fluorescence lifetime of USDPFs was less than UCNPs@mSiO2, which was consistent with the results of fluorescence intensity.

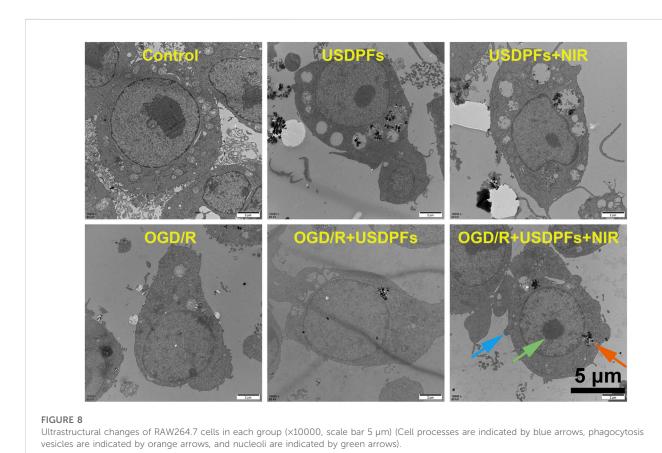
# 3.2 DEX loading and release of USDPFs nano-drugs

Figure 4A indicates the fitted standard curve of absorbance at 240 nm for different concentration gradients of DEX. Based on the absorbance of free DEX released from USDPFs, the drug loading rate was calculated to be 11.46%.

Figure 4B demonstrates the release of DEX from USDPFs after NIR irradiation. In the absence of NIR irradiation, the amount of DEX in the solution was almost zero; after NIR initiation, the release of DEX gradually increased with increasing irradiation time and irradiation power. The increase in DEX concentration was







most pronounced when the irradiation time accumulated to 30 min, at which point the amount released was more than half. After the irradiation time accumulated to 40 min, the release was close to the peak; the release was up to 87.5% when accumulated to 90 min.

# 3.3 *In vitro* evaluation of biocompatibility, hemolysis, and cellular uptake of USDPFs

RAW264.7 cells were co-cultured with USDPFs and then subjected to CCK-8 assay to detect cell viability. The cell viability of each group decreased with increasing concentration of USDPFs, as explained in Figure 5A. To further test the biocompatibility of USDPFs, USDPFs were co-cultured with human erythrocytes for hemolysis assay. Figure 5B illustrates that the lysis of erythrocytes increased as the concentration of USDPFs increased. The above results indicate that the silicacoated and β-CD-modified USDPFs have high biosafety.

Figure 5C exhibits the results of the cellular uptake of USDPFs under confocal microscopy. DAPI stains the nuclei bright blue. A large number of USDPFs modified with FITC appear green under the microscope and surround the nucleus. Compared to UCNPs and UCNPs@mSiO2, the biggest difference of USDPFs is the presence of polymer coating ( $\beta$ -CD). The encapsulation of  $\beta$ -CD resulted in better water solubility, lower cytotoxicity, and better biocompatibility of the nanomaterials (Liu et al., 2019). Both USDPFs (encapsulated with  $\beta$ -CD) and cell membranes are organic components. Therefore, USDPFs are more compatible with cell membranes and facilitate cell phagocytosis. These results further indicate that USDPFs have good cytocompatibility and can be largely endocytosed by RAW264.7 cells for stable coexistence and fusion.

# 3.4 *In vivo* safety evaluation and prolonged blood circulation of USDPFs

To evaluate the safety of USDPFs *in vivo*, healthy adult C57B/L6 mice were injected intratracheally with USDPFs. After 2 weeks, the major organs (heart, lung, liver, spleen, and kidney) of mice were collected for H&E staining. As given in Figure 6, the mice in the USDPFs group did not have inflammatory cell infiltration and tissue necrosis in the heart, lung, liver, spleen, and kidney compared with the control group. As shown in Supplementary Figure S2, after intratracheal administration of USDPFs for 1 day, 3 days, 7 days, 14 days, and 21 days, no significant damage was observed in the lung tissue pathology of the mice, indicating that the intratracheal administration of nanoparticles does no damage to lung tissues. These results indicated that USDPFs were not significantly toxic to some vital organs of mice and had good *in vivo* safety.

According to the references (Hu et al., 2019; Hu et al., 2021; Lu et al., 2023), an *in vivo* pharmacokinetics study of USDPFs (5 mg kg<sup>-1</sup>) was conducted to demonstrate the prolonged blood circulation of the nanoplatform for exerting the enhanced permeability and retention (EPR) effect. As shown in Supplementary Figure S3, after intravenous injection, USDPFs remain for about 10 h and gradually decrease, indicating that the blood circulation time of USDPFs in the body is prolonged, exerting the EPR effect.

### 3.5 In vivo imaging evaluation of USDPFs

Healthy adult C57B/L6 mice were intratracheally injected with USDPFs, and fluorescence imaging of USDPFs in mice was observed under 980 nm NIR irradiation (Figure 7). The fluorescence in mice appeared bright white, and USDPFs showed stronger fluorescence in mice compared to controls. This strong fluorescence contrast is

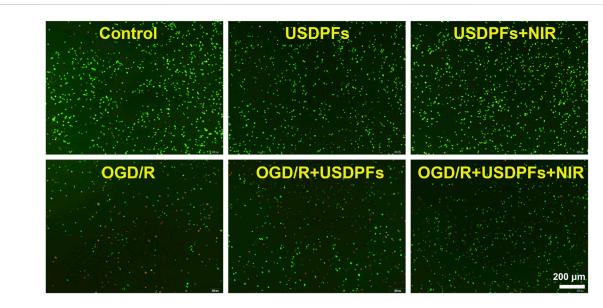
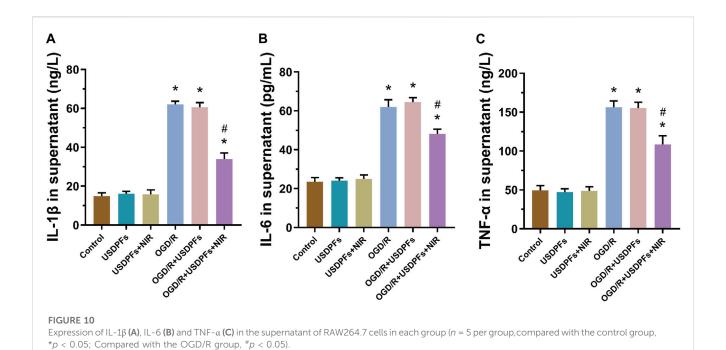
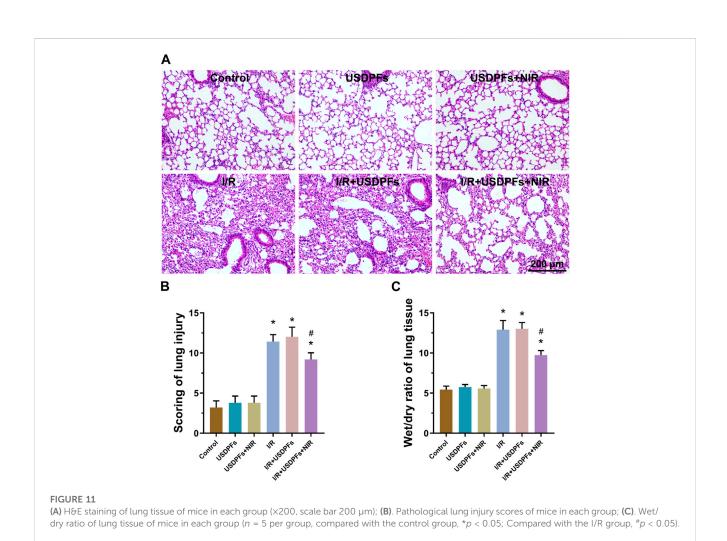
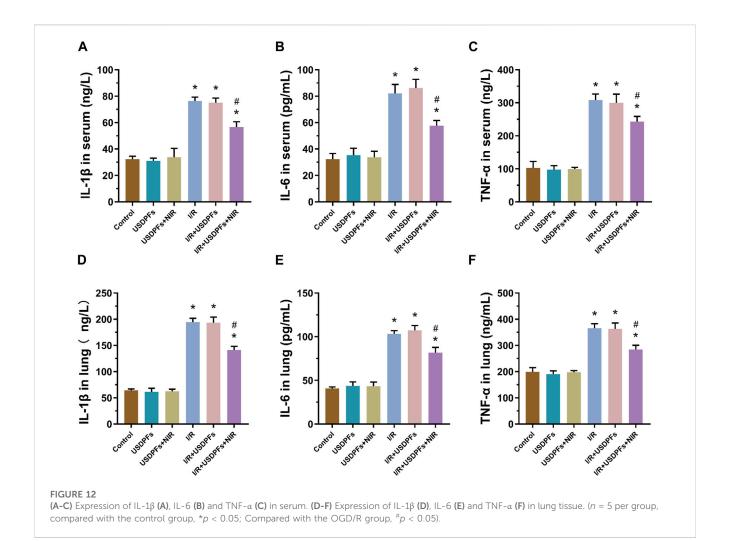


FIGURE 9
Cell viability/cytotoxicity detection of RAW264.7 cells in each group (x40, scale bar 200 μm) (Green fluorescence represents live cells, red fluorescence represents dead cells).







more pronounced in thermogram images and is mainly concentrated in the lungs (marked by red dashed circles). These results suggest that USDPFs are mainly distributed in lung tissue after intratracheal injection and have effective *in vivo* imaging properties.

### 3.6 Evaluation of the *in vitro* antiinflammatory effect of USDPFs

The ultrastructural changes of the cells in each group were detected by transmission electron microscopy (Figure 8). The control RAW264.7 cells apparently had a large number of protrusions on the cell membrane, intact intracellular organelles, and a large number of phagocytic vesicles. The ultrastructure of the ODG/R and OGD/R + USDPFs groups was severely damaged, with fewer protrusions or even disappearances. In addition, there were fewer phagocytic vesicles, reduced chromatin border set, and disappeared nucleoli. The cell damage in the OGD/R + USDPFs + NIR group was improved, and a small amount of organelles, such as phagocytic vesicles and nucleoli, were present.

The apoptosis of the cells in each group was observed by double staining of live/dead cells. Figure 9 implies that the number of cells in the control, USDPFs, and USDPFs + NIR groups were essentially similar, with only a minimal number of apoptotic cells (stained in red). The difference is that the OGD/R and OGD/R + USDPFs groups have significantly fewer surviving cells (stained in green) and even a large number of apoptotic cells. The OGD/R + USDPFs + NIR group had more surviving cells and fewer apoptotic cells.

The expression of inflammatory mediators (IL-1 $\beta$ , IL-6 and TNF- $\alpha$ ) in cell culture supernatants was measured by ELISA to assess the degree of inflammatory damage in each group of cells (Figure 10). First, there was no significant difference in cell damage in the USDPFs and USDPFs + NIR groups compared with the control group; cell damage was severe in both the OGD/R and OGD/R + USDPFs groups. Importantly, cellular inflammatory damage was significantly improved in the OGD/R + USDPFs + NIR group compared to the OGD/R and OGD/R + USDPFs groups.

The above results further suggest that NIR irradiation at 980 nm triggered the release of DEX, which ameliorated the cell damage induced by OGD/R.

### 3.7 Evaluation of the *in vivo* antiinflammatory effect of USDPFs

Histopathological changes in C57B/L6 mice lung tissues in each group were observed under light microscopy. As explicated in Figure 11A, in control, USDPFs, and USDPFs+NIR groups, the lung tissue structure was clear and uniform in thickness, without congestion and inflammatory cell infiltration. In contrast, the alveolar walls of the I/R group and I/R+USDPFs group were thickened, with interstitial edema, and filled with a large number of erythrocytes and inflammatory cells. Compared with the I/R group, the I/R+USDPFs+NIR group had more apparent lung tissue contours, reduced edema, and fewer inflammatory cells and erythrocytes. The pathological changes after intratracheal injection of USDPFs (5 mg kg-1) and use of dexamethasone aerosol in mice are shown in Supplementary Figure S4. The effect of USDPFs is similar to that of dexamethasone aerosols, which can alleviate lung tissue damage after I/R.

Figure 11B illustrates the pathology score of lung injury. Compared to the control group, there was no significant difference between the USDPFs and USDPFs + NIR groups, and the scores of the I/R and I/R + USDPFs groups were significantly increased. Unexpectedly, the I/R + USDPFs + NIR group had significantly lower scores than the I/R and I/R + USDPFs groups.

The W/D values of the lung tissue represent the degree of pulmonary edema and inflammatory exudation. There was no difference in pulmonary edema between the USDPFs group and USDPFs + NIR group mice compared to the control group (Figure 11C). Encouragingly, the lung edema of mice in the I/R + USDPFs + NIR group improved compared to the I/R group and the I/R + USDPFs group.

Inflammatory factors (IL-1 $\beta$ , IL-6 and TNF- $\alpha$ ) were measured in mouse serum and lung tissue by ELISA to assess inflammatory damage in each group (Figure 12). Compared with the control group, the degree of inflammatory injury was not significantly different between the USDPFs and USDPFs+NIR groups of mice. In contrast, the inflammatory injury was more severe in mice's I/R and I/R+USDPFs groups. Significantly, the mice in the I/R+USDPFs+NIR group had significantly lower inflammatory injury than those in the I/R and I/R+USDPFs groups.

The above results suggest that USDPFs has better biosafety, and 980 nm NIR irradiation triggers the release of DEX, which reduces the inflammatory damage and edema of lung tissue caused by I/R.

### 4 Conclusion

In this work, we construct a multi-functional upconversion nano-drug delivery system USDPFs for LIRI. First, DEX can be efficiently and accurately released from USDPFs in the intrapulmonary microenvironment after 980 nm laser irradiation, which can be directly applied to improve I/R-induced lung injury. Meanwhile, USDPFs have good upconversion fluorescence emission ability, biocompatibility, and hemolysis, supporting their excellent multiple fluorescence imaging performance *in vitro* and *vivo*.

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Materials, further inquiries can be directed to the corresponding author.

### **Ethics statement**

The animal study was reviewed and approved by The Institutional Animal Care and Use Committee of Guangxi Medical University.

### **Author contributions**

XH and ZL: Designed the project and conducted the experiments. MY, CZ, SW, YQ, YG, and LZ: Analyzed the data, performed statistical analyses, and wrote the manuscript. FL: Provided scientific support, critically reviewed the manuscript and experimental design. All authors read and approved the final manuscript.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fbioe.2023.1176369/full#supplementary-material

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# A literature review on the impact of disasters on healthcare systems, the role of nursing in disaster management, and strategies for cancer care delivery in disaster-affected populations

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This review article highlights the critical role of nurses in disaster management, with a specific focus on addressing blood tumors in disaster-affected populations. Disasters have a significant impact on healthcare systems and populations, and nurses play a crucial role in disaster preparedness, response, and recovery. The article provides case studies and successful examples of nursing interventions in disaster settings and tumor management, emphasizing the challenges and opportunities in providing cancer care in disaster settings. Recommendations for future research and practice in disaster nursing and blood tumor care are also presented. This information is essential for healthcare professionals and policymakers involved in disaster management, as well as researchers and clinicians working in the field of cancer care.

KEYWORDS

disaster, nursing, tumor, healthcare delivery, cancer care

### 1 Introduction

Background information on disasters and their impact on healthcare systems.

Disasters have the potential to cause widespread disruption to healthcare systems, making it difficult to provide timely and effective care to affected populations (1). Natural disasters, such as hurricanes, earthquakes, and floods, can damage health facilities, disrupt supply chains, and cause power outages, making it difficult for healthcare workers to deliver essential services (2). Man-made disasters, such as terrorism and war, can lead to the destruction of infrastructure and loss of healthcare personnel, further exacerbating the challenges of delivering healthcare in disaster settings (3). As healthcare systems face an

ever-increasing threat of disasters, it is crucial to understand the impact of disasters on healthcare delivery and to identify strategies to mitigate these impacts (4). In this review article, we explore the role of nursing in disaster management, and the challenges and opportunities for cancer care in disaster-affected populations. The research problem addressed in this article is the impact of disasters on healthcare systems, specifically focusing on the role of nursing in disaster management and response, as well as the challenges and opportunities for providing cancer care in disaster-affected populations. Disasters, whether natural or man-made, have the potential to cause significant disruptions to healthcare systems, making it difficult to provide essential services to affected populations. Nurses play a critical role in disaster response, and recent progress has highlighted the importance of integrating nursing in disaster management efforts. Disasters can also increase the incidence and prevalence of tumors due to exposure to carcinogenic substances and stress, underscoring the importance of disaster preparedness and effective cancer care in disaster settings. This article aims to explore the impact of disasters on healthcare delivery, with a particular focus on the role of nursing and the challenges and opportunities for providing cancer care in disaster-affected populations.

# 2 Importance of nursing in disaster management and response

Recent progress has highlighted the crucial role of nursing in disaster management and response (5). Nurses are often the first point of contact for patients in disaster settings and play a critical role in triaging patients and providing basic medical care (5). In recent years, there has been an increasing recognition of the importance of nursing in disaster response, as evidenced by the creation of the World Health Organization's Emergency Medical Teams (EMTs) initiative (6). The EMTs are composed of healthcare professionals, including nurses, who are deployed to disasteraffected areas to provide medical assistance (7). Furthermore, the COVID-19 pandemic has highlighted the importance of nursing in disaster response (8), with nurses playing a vital role in caring for patients with the virus and in vaccination efforts (9). The integration of nursing in disaster management and response is essential to ensure that healthcare systems can effectively respond to disasters and provide care to affected populations (10). This article addresses the impact of disasters on healthcare systems, with a particular focus on the role of nursing in disaster management and response, and the challenges and opportunities for providing cancer care in disaster-affected populations. Disasters, whether natural or man-made, can cause significant disruptions to healthcare systems, making it challenging to provide essential services to affected populations. Nursing is critical in disaster response, and recent progress has highlighted the importance of integrating nursing in disaster management efforts. Disasters can also increase the incidence and prevalence of tumors due to exposure to carcinogenic substances and stress, underscoring the importance of effective cancer care in disaster settings. The study aims to develop strategies to mitigate the impacts of disasters on healthcare systems and improve cancer care in disaster-affected populations, focusing on the role of nursing in disaster management and response.

# 3 Prevalence and significance of tumors in disaster-affected populations

Recent progress has shed light on the prevalence and significance of tumors in disaster-affected populations (11). Studies have shown that disasters can increase the incidence and prevalence of tumors due to factors such as exposure to carcinogenic substances and stress (12). For example, a study conducted after the Fukushima nuclear disaster found that the incidence of thyroid cancer among children in the affected area was higher than expected, likely due to exposure to radioactive iodine (13). Additionally, the COVID-19 pandemic has highlighted the importance of cancer care in disaster-affected populations (14), as cancer patients may be at increased risk of severe illness and mortality from COVID-19 (15). Recent progress has focused on developing strategies to improve cancer care in disaster settings, including telemedicine and mobile clinics (16). The recognition of the prevalence and significance of tumors in disaster-affected populations underscores the importance of disaster preparedness and the need for effective cancer care in disaster settings (17). Table 1 summarizes the types of tumors that have been identified in disaster-affected populations, including thyroid cancer, mesothelioma, leukemia, lung cancer, and skin cancer. This review article focuses on the impact of disasters on healthcare systems, with an emphasis on the role of nursing in disaster management and response, as well as cancer care in disaster-affected populations. Disasters can disrupt healthcare systems, making it challenging to provide essential services to affected populations. Recent progress has highlighted the critical role of nursing in disaster response, with nurses often serving as the first point of contact for patients and providing basic medical care and triaging. Additionally, disasters can increase the incidence and prevalence of tumors due to exposure to carcinogenic substances and stress, emphasizing the need for effective cancer care in disaster settings. Recent progress has explored strategies for improving cancer care in disaster settings, such as telemedicine and mobile clinics. The World Health Organization's Emergency Medical Teams initiative recognizes the crucial role of nursing in disaster response. Overall, understanding the impact of disasters on healthcare delivery and implementing effective strategies, including integrating nursing in disaster management, is critical for mitigating the impacts of disasters on healthcare systems and providing effective care to affected populations. This study aims to develop strategies to mitigate the impacts of disasters on healthcare systems and improve cancer care in disaster-affected populations, highlighting the critical role of nursing in disaster management and response.

TABLE 1 Types of tumors in disaster-affected populations.

Type of Tumor	Examples of Disasters
Thyroid Cancer	Fukushima Nuclear Disaster
Mesothelioma	9/11 Terrorist Attacks
Leukemia	Chemical Spills
Lung Cancer	Wildfires
Skin Cancer	Sun Exposure During Disasters

### 4 Methods

This article is a comprehensive literature review that examines the challenges and opportunities related to drug delivery in disaster nursing and blood tumor care. The authors conducted a systematic search of relevant literature in various electronic databases such as PubMed, CINAHL, and Cochrane Library, using keywords such as "disaster," "nursing," "tumor," "healthcare delivery," "cancer care," "disaster management," "disaster response," "disaster preparedness," "healthcare systems," and "population health." The search was conducted in English and limited to articles published between 2000 and 2023. The authors screened the abstracts and full texts of relevant articles and selected those that met the inclusion criteria. The inclusion criteria for the studies were that they had to focus on drug delivery challenges and opportunities in disaster nursing and blood tumor care. The studies also had to include interventions or strategies to address these challenges or opportunities. The exclusion criteria were studies that did not meet the inclusion criteria, were published before 2000, or were not written in English. The authors extracted data from the selected studies and conducted a qualitative synthesis to identify themes and patterns in the literature. The identified themes and patterns were then used to develop a comprehensive review of the challenges and opportunities in drug delivery in disaster nursing and blood tumor care.

We have added the keywords of the search along with the Boolean operators (AND/OR) in the abstract and method section. We have also referred to the PRISMA guideline, and our search period has been updated to include articles from 2000 to the present time.

### 4.1 Study quality assessment

We have introduced the quality review tool for the final studies extracted based on the PRISMA guideline. The methodological quality of the included studies was assessed using the following tools:

For primary research articles, the Critical Appraisal Skills Programme (CASP) checklist was used.

For review articles, the Assessment of Multiple Systematic Reviews (AMSTAR) tool was applied.

For case studies, the Joanna Briggs Institute (JBI) Critical Appraisal Checklist for Case Studies was utilized.

### 4.2 Search strategy

A comprehensive literature search was conducted using electronic databases such as PubMed, CINAHL, Web of Science, Scopus, and Cochrane Library. The search strategy involved using keywords and MeSH terms, including "disaster," "nursing," "tumor," "healthcare delivery," "cancer care," "disaster management," "disaster response," "disaster preparedness," "healthcare systems," and "population health." Boolean operators (AND, OR) were employed to combine the search terms. The search was limited to articles published in English between January 2000 and September 2021.

### 4.3 Selection of articles and documents

Two independent reviewers (WW and MH) screened the titles and abstracts of the retrieved articles. Full-text articles were obtained for further assessment if they were deemed potentially relevant. Disagreements between the reviewers were resolved through discussion or consultation with a third reviewer.

### 4.4 Inclusion and exclusion criteria

Inclusion criteria for the articles were as follows:

Primary research articles, review articles, and case studies focusing on the impact of disasters on healthcare systems, nursing in disaster management, and strategies for cancer care delivery in disaster-affected populations.

Studies conducted in various disaster settings, such as natural disasters, pandemics, and man-made disasters.

Articles published in peer-reviewed journals.

# 4.5 Exclusion criteria for the articles were as follows

Articles not published in English.

Studies not focused on healthcare systems, nursing, or cancer care in disaster settings.

Opinion pieces, editorials, and commentaries.

### 4.6 Database search

The search was conducted using the following databases:

PubMed
CINAHL
Web of Science
Scopus
Cochrane Library

### 5 Results

The review article identifies several challenges related to drug delivery in disaster nursing and blood tumor care, including the shortage of essential drugs and medical supplies, limited access to healthcare facilities, and the need for specialized care and equipment. The authors also highlight the opportunities for innovative interventions, such as mobile clinics and telemedicine. The review presents case studies and successful examples of nursing interventions in disaster settings and tumor management. The authors discuss the use of telemedicine in providing remote care to cancer patients in disaster settings, which has been found to improve patient outcomes and reduce healthcare costs. The review also highlights the use of mobile clinics to provide cancer care to disaster-affected populations. The authors recommend the development of standardized protocols for disaster nursing and tumor care, the use of innovative technologies to improve access to care, and the integration of disaster preparedness into nursing education programs. Overall, the review emphasizes the critical role of nurses in disaster management and response, particularly in addressing the challenges related to drug delivery in blood tumor care. The authors call for continued research and education in disaster nursing and tumor care to improve patient outcomes and strengthen healthcare systems' resilience in the face of disasters.

# 5.1 Nursing in disaster management and response

Role of nurses in disaster preparedness, response, and recovery. Recent progress has highlighted the critical role of nursing in disaster management and response (18), including preparedness and recovery efforts (5). Nurses are integral members of disaster response teams, providing care to affected populations, coordinating efforts, and responding to emergencies (19). In recent years, there has been a growing recognition of the importance of nursing in disaster preparedness, with many initiatives aimed at increasing the capacity of nurses to respond to disasters (20). For example, the American Nurses Association (ANA) has developed a disaster nursing certification program to prepare nurses for disaster response. Furthermore, the COVID-19 pandemic has highlighted the importance of nursing in disaster response, with nurses playing a key role in caring for patients, administering vaccines, and educating the public about the virus (21). Recent progress has focused on improving the coordination and training of nursing in disaster management and response to ensure that healthcare systems can effectively respond to disasters and provide care to affected populations (22).

# 5.2 Challenges and opportunities for nursing in disaster settings

Recent progress has identified several challenges and opportunities for nursing in disaster settings (5). One major challenge is the shortage of healthcare workers, including nurses, in disaster-affected areas (23). Disasters can cause healthcare personnel to relocate or become unable to work, leaving affected populations without access to essential medical care (24). Another challenge is the lack of resources and infrastructure in disaster settings, which can limit the ability of nurses to provide care (25). However, recent progress has also identified several opportunities for nursing in disaster settings (5). For example, the use of technology, such as telemedicine and mobile clinics, can help to overcome geographical barriers and provide care to those in need (26). Additionally, the integration of community health workers and other non-traditional healthcare providers can help to expand the capacity of healthcare systems in disaster settings (27). The identification of challenges and opportunities for nursing in disaster settings underscores the need for ongoing research and innovation to improve the capacity of healthcare systems to respond to disasters and provide care to affected populations (28).

# 5.3 Best practices for nursing in disaster management

Recent progress has identified several best practices for nursing in disaster management (29). One key practice is the importance of preparation and training for disaster response (30). Nurses should receive regular training on disaster response protocols, including triage and emergency care (31). Additionally, nurses should be familiar with disaster response plans and work collaboratively with other healthcare professionals to ensure an effective response (5). Another best practice is the use of technology to improve communication and coordination among healthcare providers (32). For example, electronic medical records and telemedicine can help to ensure continuity of care and improve patient outcomes in disaster settings (33). Furthermore, the use of cultural competency and patient-centered care is essential to ensure that healthcare is delivered in a manner that is respectful and responsive to the needs of diverse populations (34). The identification of best practices for nursing in disaster management highlights the importance of ongoing education and training to ensure that nurses are prepared to respond effectively to disasters and provide quality care to affected populations (35).

# 6 Tumors in disaster-affected populations

# 6.1 Types and prevalence of tumors in disaster-affected populations

Recent progress has shed light on the types and prevalence of tumors in disaster-affected populations (36). Studies have shown that

disasters can increase the incidence and prevalence of certain types of tumors due to exposure to carcinogenic substances and stress (37). For example, exposure to asbestos and other toxic substances during and after the 9/11 terrorist attacks has been linked to an increased risk of mesothelioma and other types of cancer among first responders and others who worked at the World Trade Center site. Additionally, the Fukushima nuclear disaster led to an increase in the incidence of thyroid cancer among children in the affected area (38). Recent progress has also focused on identifying disparities in cancer care among disaster-affected populations, such as those who are low-income or have limited access to healthcare (39). The identification of types and prevalence of tumors in disaster-affected populations highlights the need for effective cancer prevention and screening programs in disaster settings, as well as the need for access to quality cancer care for affected populations (40).

# 6.2 Factors that contribute to the development and progression of tumors in disaster settings

Recent progress has identified several factors that contribute to the development and progression of tumors in disaster settings (41). One major factor is exposure to carcinogenic substances, such as radiation, asbestos, and other toxic chemicals (42). Disasters can lead to the release of these substances into the environment, putting affected populations at risk of developing cancer (43). Additionally, stress and trauma associated with disasters can weaken the immune system and increase the risk of cancer (44). Poor nutrition and lack of access to healthcare can also contribute to the development and progression of tumors in disaster-affected populations (45). Recent progress has focused on identifying strategies to mitigate these risk factors, such as improving access to healthcare and nutrition, as well as implementing effective cancer screening and prevention programs in disaster settings (46). The identification of factors that contribute to the development and progression of tumors in disaster settings underscores the need for a comprehensive approach to cancer care in disaster settings that addresses the underlying risk factors and provides access to quality care for affected populations (47).

# 6.3 Challenges and opportunities for cancer care in disaster settings

Recent progress has identified several challenges and opportunities for cancer care in disaster settings (48). One major

challenge is the disruption of healthcare systems and infrastructure in disaster-affected areas (49), which can limit access to cancer care and delay diagnosis and treatment (50). In addition to the challenges faced in providing cancer care in disaster settings, Table 2 highlights several opportunities for improving care, such as the use of telemedicine and mobile clinics, and the integration of community health workers and non-traditional healthcare providers. Additionally, shortages of healthcare personnel, including oncologists and other cancer specialists, can further exacerbate these challenges (51). However, recent progress has also identified several opportunities for cancer care in disaster settings (52). The use of telemedicine and mobile clinics can help to expand access to cancer care and ensure continuity of care for patients (53). Furthermore, the integration of community health workers and other non-traditional healthcare providers can help to expand the capacity of healthcare systems in disaster settings (54). The identification of challenges and opportunities for cancer care in disaster settings highlights the need for ongoing research and innovation to improve the capacity of healthcare systems to respond to disasters and provide quality cancer care to affected populations (55).

### 7 Case studies and examples

# 7.1 Examples of successful nursing interventions in disaster settings

Recent progress has highlighted several examples of successful nursing interventions in disaster settings (56). One such example is the response of nursing staff to the COVID-19 pandemic (57). Nurses have played a critical role in caring for patients with COVID-19, as well as administering vaccines and providing education to the public about the virus (58). Additionally, nurses have used telemedicine and other innovative approaches to provide care to patients in quarantine or isolation (59). Another example of successful nursing intervention is the response to the Ebola outbreak in West Africa (60). Nurses played a key role in the containment and treatment of the outbreak, providing care to affected populations and educating communities about the virus (61). Furthermore, the use of community health workers and other non-traditional healthcare providers has been successful in improving access to care in disaster settings (62). These examples of successful nursing interventions in disaster settings demonstrate the importance of nursing in disaster management and response, as

TABLE 2 Challenges and opportunities for cancer care in disaster settings.

Challenges	Opportunities
Limited access to healthcare and cancer specialists	Use of telemedicine and mobile clinics
Disruption of healthcare systems and infrastructure	Integration of community health workers and non-traditional healthcare providers
Shortages of healthcare personnel	Development of effective cancer screening and prevention programs
Increased risk of exposure to carcinogenic substances	Increased awareness of cancer prevention and risk reduction strategies
Lack of resources and infrastructure	Use of innovative approaches to care

well as the need for ongoing education and innovation to improve the capacity of healthcare systems to respond to disasters and provide care to affected populations (63).

# 7.2 Case studies of tumor management in disaster-affected populations

Recent progress has identified several case studies of tumor management in disaster-affected populations (64). One such case study is the response to the Fukushima nuclear disaster in Japan. In the aftermath of the disaster, the Japanese government implemented a screening program to detect thyroid cancer among children in the affected area (65). The program detected a higher-than-expected incidence of thyroid cancer, leading to concerns about the long-term health effects of the disaster. Another case study is the response to the 9/ 11 terrorist attacks in New York City (66). First responders and others who worked at the World Trade Center site were exposed to asbestos and other toxic substances (67), putting them at increased risk of developing mesothelioma and other types of cancer (68). Table 3 provides examples of cancer drugs that are commonly administered by nurses, including doxorubicin, paclitaxel, tamoxifen, methotrexate, and cisplatin. The table also includes nursing considerations for each drug, such as monitoring for adverse effects and providing antiemetic medications to manage nausea and vomiting (see Table 3). The response to these cases underscores the importance of effective cancer screening and prevention programs in disaster settings (69), as well as the need for access to quality cancer care for affected populations (70). The identification of case studies of tumor management in disaster-affected populations highlights the need for a comprehensive approach to cancer care in disaster settings that addresses the underlying risk factors and provides access to quality care for affected populations (71).

# 7.3 Lessons learned and future directions for disaster nursing and tumor care

Recent progress has identified several key lessons learned and future directions for disaster nursing and tumor care (72). One

major lesson is the importance of interdisciplinary collaboration in disaster response (73). Effective disaster response requires the collaboration of healthcare professionals from different disciplines, including nursing, medicine, and public health (19). Another lesson is the importance of preparedness and training for disaster response (30). Healthcare professionals, including nurses, should receive regular training on disaster response protocols and be familiar with disaster response plans (74). Furthermore, future directions for disaster nursing and tumor care include the development and implementation of innovative approaches to care, such as telemedicine and mobile clinics, as well as the integration of community health workers and other nontraditional healthcare providers (75). Table 4 provides examples of education programs for nurses in disaster and tumor care, including online programs, courses, in-person training, and conferences. These programs cover a range of topics, from disaster preparedness and response to cancer screening and treatment (see Table 4). Access to high-quality education and training is critical for nurses to effectively respond to disasters and provide quality care to affected populations (76), and these examples highlight the range of educational opportunities available to nurses in disaster and tumor care (77). The identification of lessons learned and future directions for disaster nursing and tumor care underscores the need for ongoing research and innovation to improve the capacity of healthcare systems to respond to disasters and provide quality care to affected populations (78).

### 8 Discussion

The discussion of the review article emphasizes the importance of nurses in disaster management and response, particularly in addressing the challenges related to drug delivery in blood tumor care. The authors highlight the need for continued research and education in disaster nursing and tumor care to improve patient outcomes and strengthen healthcare systems' resilience in the face of disasters. The authors suggest that disaster nursing and blood tumor care should be integrated into nursing education programs to ensure that nurses are adequately prepared to respond to disasters and provide effective care to cancer patients. They also recommend

TABLE 3 Examples of cancer drugs administered by nurses.

Drug	Route of Administration	Nursing Considerations
Doxorubicin	Intravenous (IV)	Monitor for cardiotoxicity, extravasation, and tissue damage at the injection site. Provide antiemetic medications to manage nausea and vomiting.
Paclitaxel	Intravenous (IV)	Monitor for hypersensitivity reactions and infusion-related reactions. Premedicate with corticosteroids and antihistamines as appropriate.
Tamoxifen	Oral	Monitor for adverse effects, such as hot flashes, vaginal discharge, and menstrual irregularities. Educate patients on the importance of taking the medication at the same time each day.
Methotrexate	Intravenous (IV) or oral	Monitor for bone marrow suppression, hepatotoxicity, and nephrotoxicity. Administer folic acid and vitamin B12 to reduce the risk of adverse effects.
Cisplatin	Intravenous (IV)	Monitor for nephrotoxicity, ototoxicity, and electrolyte imbalances. Provide antiemetic medications to manage nausea and vomiting.

TABLE 4 Examples of disaster and tumor care education programs for nurses.

Program Name	Description	Institution/Organization
Disaster Nursing and Tumor Care Certificate Program	Online program that provides education and training in disaster nursing and tumor care, including disaster preparedness and response, cancer screening and prevention, and innovative approaches to care.	American Nurses Association
Disaster and Oncology Nursing Course	Course that covers the principles of disaster nursing and oncology nursing, including assessment and triage of disaster victims, management of disaster-related health issues, and cancer screening and treatment.	Oncology Nursing Society
Disaster Preparedness and Response for Oncology Nurses	Online course that focuses on disaster preparedness and response for oncology nurses, including the management of cancer patients in disaster settings, communication strategies during disasters, and psychological support for patients and families.	Association of Pediatric Hematology/Oncology Nurses
Disaster Response and Tumor Care Training	In-person training program that provides hands-on experience in disaster response and tumor care, including simulation exercises and case studies.	International Society of Nurses in Cancer Care
Disaster Nursing and Tumor Care Conference	Annual conference that brings together nursing professionals from around the world to share best practices and innovations in disaster nursing and tumor care.	Global Network of WHO Collaborating Centres for Nursing and Midwifery

the development of standardized protocols for disaster nursing and tumor care to improve the consistency and quality of care provided in disaster settings. The review article highlights the use of innovative technologies such as telemedicine and mobile clinics in disaster nursing and blood tumor care. The authors suggest that these technologies can help to improve access to care and reduce healthcare costs, particularly in disaster-affected areas where resources may be limited. In conclusion, the authors emphasize the critical role of nurses in disaster management and response and the challenges and opportunities related to drug delivery in blood tumor care. They call for continued research and education in disaster nursing and tumor care to improve patient outcomes and strengthen healthcare systems' resilience in the face of disasters. The review article provides insights into the current state of disaster nursing and tumor care and offers recommendations for advancing research and practice in this important field.

### 9 Conclusion

In conclusion, this review emphasizes the critical role of nurses in disaster management, specifically in addressing blood tumors in disaster-affected populations. Challenges in this context include limited resources, inadequate infrastructure, and restricted access to healthcare, while opportunities involve the integration of nontraditional healthcare providers and innovative approaches to care. Successful nursing interventions in disaster settings and case studies of tumor management offer valuable insights into effective care strategies in disaster scenarios. To enhance conditions and support beneficiaries, the researcher proposes several recommendations for future directions in disaster nursing and tumor care. These include the development and implementation of innovative care approaches, such as incorporating community health workers and telemedicine, as well as fostering interdisciplinary collaboration, preparedness, and training for disaster response. Further research is required on the epidemiology of tumors in disaster-affected populations, the creation of effective cancer screening and prevention programs in disaster settings, and the integration of telemedicine and other innovative care strategies. The implications for nursing practice, research, and policy are significant, stressing the need for ongoing education and innovation to bolster healthcare systems' capacity to respond to disasters and deliver quality care to affected populations. Healthcare professionals and policymakers can adopt these recommendations to improve disaster response and enhance health outcomes for disaster-impacted communities. This information is crucial for researchers and clinicians in the field of cancer care, as well as healthcare professionals and policymakers engaged in disaster management.

Systematic review flow diagram. Caption: the PRISMA flow diagram for the systematic review detailing the database searches, the number of abstracts screened and the full texts retrieved.

### **Author contributions**

HL: acquisition funding and review, revise the manuscript; WW: Writing, concept. MH: Manage, supervision, review, editing. All authors contributed to the article and approved the submitted version.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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