# Women in experimental pharmacology and drug discovery pharmacology 2023

#### **Edited by**

Patricia Rijo, Emel Timucin, Valeria Bruno and Maria M. M. Santos

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## Women in experimental pharmacology and drug discovery pharmacology 2023

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## Table of contents

O5 Editorial: Women in experimental pharmacology and drug discovery 2023

Patricia Rijo, Emel Timucin, Maria M. M. Santos and Valeria Bruno

O7 The *in vitro* effects of black soldier fly larvae (*Hermitia illucens*) oil as a high-functional active ingredient for inhibiting hyaluronidase, anti-oxidation benefits, whitening, and UVB protection

Rungsinee Phongpradist, Warathit Semmarath, Kanokwan Kiattisin, Jutamas Jiaranaikulwanitch, Wantida Chaiyana, Siripat Chaichit, Yuthana Phimolsiripol, Pornngarm Dejkriengkraikul and Chadarat Ampasavate

21 Hepatoprotective effects of bioactive compounds from traditional herb Tulsi (*Ocimum sanctum* Linn) against galactosamine-induced hepatotoxicity in rats

Fatemah O. Kamel, Shahid Karim, Duaa Abdullah Omer Bafail, Hibah Mubarak Aldawsari, Sabna Kotta and U. K. Ilyas

Potential antioxidative and anti-hyperuricemic components in *Rodgersia podophylla* A. Gray revealed by bio-affinity ultrafiltration with SOD and XOD

Can Liang, Yongbing Xu, Minxia Fan, Felix Wambua Muema, Guilin Chen, Mingquan Guo and Guangwan Hu

46 Antisense oligonucleotides: a novel Frontier in pharmacological strategy

D. Collotta, I. Bertocchi, E. Chiapello and M. Collino

Analysis of anti-rheumatic activity of *Nyctanthes arbor-tristis* via *in vivo* and pharmacovigilance approaches

Ayushi Sharma, Anjana Goel and Zhijian Lin

79 Overexpression of CXCL17 increases migration and invasion of A549 lung adenocarcinoma cells

Ekin Koni, Irem Congur and Zeynep Tokcaer Keskin

Relationships between trace elements and cognitive and depressive behaviors in sprague dawley and wistar albino rats

Melis Yavuz, Ekin Dongel Dayanc, Fatma Merve Antmen, Elif Keskinöz, Esra Altuntaş, Gökçen Dolu, Berkcan Koç, Emre Tunçcan, Damla Şakar, Ufuk Canözer, Ceyda Büyüker, Ece Polat, Metincan Erkaya, Rui Azevedo, Devrim Öz Arslan, Agostinho Almeida and Güldal Süyen

The effect of black cohosh extract and risedronate coadministration on bone health in an ovariectomized rat model

Amy L. Inselman, Elysia A. Masters, Jalina N. Moore, Rajiv Agarwal, Audrey Gassman, Gemma Kuijpers, Richard D. Beger, Kenneth B. Delclos, Sybil Swift, Luísa Camacho, Michelle M. Vanlandingham, Daniel Sloper, Noriko Nakamura, Gonçalo Gamboa da Costa, Kellie Woodling, Matthew Bryant, Raul Trbojevich, Qiangen Wu, Florence McLellen and Donna Christner



## 108 The mysterious association between adiponectin and endometriosis

Yong-Qing Zhao, Yi-Fan Ren, Bing-Bing Li, Chao Wei and Bin Yu

#### 130 Exploring orphan GPCRs in neurodegenerative diseases

Devrim Öz-Arslan, Melis Yavuz and Beki Kan



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## Editorial: Women in experimental pharmacology and drug discovery 2023

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KEYWORDS

natural products, sustainable ingredients, therapeutic potential, antioxidative components, pharmacology

#### Editorial on the Research Topic

Women in experimental pharmacology and drug discovery 2023

As editors of Frontiers in Pharmacology, we are thrilled to introduce the Research Topic "Women in Experimental Pharmacology and Drug Discovery 2023," marking a significant part of our series commemorating International Women's Day on 8 March 2023. This Research Topic serves as a testament to the remarkable achievements of women scientists in pharmacology, spotlighting their diverse and impactful research endeavors. From pioneering advancements in theory and experimentation to developing innovative methodologies, these contributions address pressing challenges and propel the field forward.

According to UNESCO, less than 30% of researchers worldwide are women. Persistent biases and gender stereotypes deter many girls and women from pursuing careers in science-related fields, particularly in STEM. However, science and gender equality are essential for sustainable development. To change traditional mindsets, it is crucial to promote gender equality, defeat stereotypes, and encourage girls and women to pursue STEM careers.

Frontiers in Pharmacology is proud to offer this platform to highlight the outstanding work of women scientists. Here are some key studies featured:

The study, led by Kamel et al., investigates the hepatoprotective potential of Ocimum sanctum L. (Tulsi) against galactosamine-induced toxicity in rats, identifying and quantifying bioactive compounds such as rutin, ellagic acid, and quercetin, which demonstrate significant protective effects.

The study, led by Phongpradist et al., examines the *in vitro* effects of black soldier fly larvae (BSFL) oil, highlighting its potential as a sustainable, high-functional ingredient for inhibiting hyaluronidase, providing antioxidant benefits, promoting skin whitening, and offering UVB protection, with significant findings showing its efficacy comparable to well-known cosmetic ingredients.

The study, led by Liang et al., explores the antioxidative and anti-hyperuricemic components of Rodgersia podophylla A. Gray, identifying potent bioactive compounds such as norbergenin, catechin, procyanidin B2, and gallic acid through bio-affinity

Rijo et al. 10.3389/fphar.2024.1439405

ultrafiltration with superoxide dismutase (SOD) and xanthine oxidase (XOD), demonstrating significant antioxidant and enzyme inhibition activities.

In their review, Collotta et al. delve into antisense oligonucleotides (ASOs), synthetic RNA or DNA molecules that target disease-causing genes. ASOs offer a novel therapeutic strategy, particularly for orphan genetic disorders. The review outlines advancements addressing pharmacological challenges and provides a comparative analysis of ASOs approved by regulatory agencies, showcasing their clinical potential.

The study by Koni et al. investigates the role of CXCL17 in lung adenocarcinoma, showing that overexpression of CXCL17 in A549 cells significantly enhances their migration and invasion capabilities without affecting cell proliferation, suggesting a potential role of CXCL17 in promoting tumor metastasis.

Sharma et al. explored the anti-rheumatic potential of Nyctanthes arbor-tristis (NAT) extracts. Their study demonstrated the safety and efficacy of a 500 mg/kg dose of NAT leaf extract in rats, with significant reductions in inflammation and improvements in arthritis symptoms. NAT shows promise as a therapeutic option for inflammatory arthritis.

Inselman et al. investigated how black cohosh extract and risedronate interact in improving bone health in ovariectomized rats. They found that while high-dose risedronate significantly increased bone mineral density (BMD) in the femur and vertebrae, black cohosh extract had minimal effect alone and did not interfere with risedronate's BMD-enhancing properties when co-administered.

Yavuz et al. examined the effects of social isolation on mental health and cognition in Sprague Dawley (SD) and Wistar Albino (WIS) rats, amid the COVID-19 pandemic. They found that while SD rats learned faster, they were more prone to depression after isolation compared to WIS rats. Elevated levels of certain trace elements in isolated SD rats hint at their role in stress responses, offering insights for future preventive treatments against stress-related neurobehavioral issues.

Öz Arslan et al. reviewed the potential of orphan G proteincoupled receptors (GPCRs) in treating neurodegenerative diseases like Alzheimer's, Parkinson's, Huntington's, and multiple sclerosis. Despite limited therapies, orphan receptors offer promising targets due to their roles in critical cellular processes. The review discusses their therapeutic potential, recent advances in drug discovery, and outlines future research directions and challenges.

Zhao et al. reviewed the link between adiponectin and endometriosis. Adiponectin, primarily from adipose tissue, influences energy regulation and estrogen-related diseases. Despite evidence suggesting lower adiponectin levels in

endometriosis patients, its exact role remains unclear. The review highlights adiponectin's involvement in key biological processes related to endometriosis and emphasizes the need for further research to identify therapeutic targets and understand the condition better.

Promoting gender equality in pharmacology is essential for scientific advancement and sustainable development. The diverse research presented here underscores the vital contributions of women scientists. By supporting and celebrating their work, we foster a more inclusive and innovative scientific community.

Frontiers in Pharmacology remains dedicated to this mission, showcasing the remarkable work of women in the field and inspiring future generations.

#### **Author contributions**

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# The *in vitro* effects of black soldier fly larvae (*Hermitia illucens*) oil as a high-functional active ingredient for inhibiting hyaluronidase, anti-oxidation benefits, whitening, and UVB protection

Rungsinee Phongpradist<sup>1†</sup>, Warathit Semmarath<sup>2,3†</sup>, Kanokwan Kiattisin<sup>1</sup>, Jutamas Jiaranaikulwanitch<sup>1</sup>, Wantida Chaiyana<sup>1,4</sup>, Siripat Chaichit<sup>1,4</sup>, Yuthana Phimolsiripol<sup>5</sup>, Pornngarm Dejkriengkraikul<sup>6,7,8</sup>\* and Chadarat Ampasavate<sup>1,4,6</sup>\*

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**Objective:** Larvae of *Hermitia illucens*, or black soldier fly larvae (BSFL), have been recognized for their high lipid yield with a remarkable fatty acid profile. BSFL oil (SFO) offers the added value of a low environmental footprint and a sustainable product. In this study, the characteristics and cosmetic-related activities of SFO were investigated and compared with rice bran oil, olive oil and krill oil which are commonly used in cosmetics and supplements.

**Methods:** The physicochemical characteristics were determined including acid value, saponification value, unsaponifiable matter and water content of SFO. The fatty acid composition was determined using GC-MS equipped with TR-FAME. The *in vitro* antioxidant properties were determined using DPPH, FRAP and lipid peroxidation inhibition assays. Antihyaluronidase (anti-HAase) activity was measured by detecting enzyme activity and molecular docking of candidate compounds toward the HAase enzyme. The safety assessment towards normal human cells was determined using the MTT assay and the UVB protection upon UVB-irradiated fibroblasts was determined using the DCF-DA assay. The whitening effect of SFO was determined using melanin content inhibition.

**Results:** SFO contains more than 60% polyunsaturated fatty acids followed by saturated fatty acids (up to 37%). The most abundant component found in SFO was linoleic acid (C18:2 n-6 cis). Multiple anti-oxidant mechanisms of SFO were discovered. In addition, SFO and krill oil prevented hyaluronic acid (HA) degradation via strong HAase inhibition comparable with the positive control, oleanolic acid. The molecular docking confirmed the binding interactions and molecular recognition of major free fatty acids toward HAase. Furthermore, SFO exhibited no cytotoxicity on primary human skin fibroblasts, HaCaT keratinocytes

and PBMCs (IC $_{50}$  values > 200 µg/mL). SFO possessed significant *in-situ* antioxidant activity in UVB-irradiated fibroblasts and the melanin inhibition activity as effective as well-known anti-pigmenting compounds (kojic acid and arbutin, p < 0.05).

**Conclusion:** This study provides scientific support for various aspects of SFO. SFO can be considered an alternative oil ingredient in cosmetic products with potential implications for anti-skin aging, whitening and UVB protection properties, making it a potential candidate oil in the cosmetic industry.

KEYWORDS

Hermitia illucens, black soldier fly, oil, anti-oxidation, whitening, hyaluronidase inhibition, UV protection, cosmetic application

#### Introduction

With a focus on energy conservation and sustainability, a growing demand has arisen for cost-effective sources of nutrients. The black soldier fly (Hermitia illucens) is a versatile insect that can thrive in various environments, particularly in hot and humid climates. Its larvae, also known as black soldier fly larvae (BSFL), are efficient decomposers of organic waste and can produce soil or frass as fertilizer and animal feed (Schmitt and de Vries, 2020). The farmed larvae, when at optimal age, can be used as an alternative source of lipid and protein in the animal nutrition industry (Driemeyer, 2016). Unlike houseflies, adult black soldier flies have no records of natural infections caused by entomopathogens in BSF (Joosten et al., 2020). Due to their unique properties and abilities, black soldier flies (BSFs) are now being used in various industries. They are considered a sustainable alternative to traditional protein sources for animal feed and an effective way to reduce food waste by converting organic waste to useful products (Kim et al., 2021). From a waste management perspective, the larvae can consume a variety of organic waste, including food scraps, fertilizer and agricultural waste and convert it to high-quality protein and oil (Wang and Shelomi, 2017). Moreover, as more people seek to ban nonbiodegradable products, BSFL can feed on organic waste and produce chitin-based bioplastics that are both biodegradable and compostable (Caligiani et al., 2018).

Insects have a significant amount of lipids in their biomass, and the highest concentration is found during the larval stage, ranging from 10% to 50% on a dry basis (da Silva Lucas et al., 2020; Franco et al., 2021). Interestingly, the oil derived from BSFL is currently being used in various cosmetic products, including soaps, lotions and shampoos (Verheyen et al., 2018; Almeida et al., 2020). The lipid composition of this oil is a combination of saturated and unsaturated fatty acids and triglycerides. Among all the lipid components, fatty acids and their derivatives are the most valuable for their beneficial properties. The larvae of BSFs are rich in fatty acids such as lauric acid, oleic acid and linoleic acid, possessing antibacterial, antifungal, and anti-inflammatory properties. They also nourish and moisturize the skin and hair, making them useful for treating various skin and scalp conditions (Xu et al., 2020; dos Santos Aguilar, 2021). Insect lipid and fatty acid profiles are influenced by several factors, including species, life stage, diet, environmental conditions, migratory flight and sex (Downer and Matthews, 1976; Franco et al., 2021). Recent studies have revealed that the composition of fatty acids in oils from BSFL is diverse. Some studies have reported that the primary fatty acids in H. illucens larvae are lauric acid, followed by palmitic, oleic, myristic and linoleic acids (Ushakova et al., 2016; Caligiani et al., 2019; Rabani et al., 2019). Additionally, another study has identified that the fatty acid content in the oil extracted from BSFL includes 28.8% lauric acid, 11.1% linoleic acid and 0.4% linolenic acid, which were extracted and purified from the larvae (Mai et al., 2019).

Cosmetics often incorporate fatty acids, and other components of *H. illucens* larvae oil can be applied, either isolated or as a lipidic structural complex (Almeida et al., 2020). Key components of the human epidermis, including palmitic, oleic and linoleic acid, are present in this oil (Botinestean et al., 2012). Linoleic acid plays a crucial physiologic role in maintaining the water permeability barrier of the skin (Lin et al., 2017). A deficiency in linoleic acid has been linked to dermatitis development among adults and children (Horrobin, 2000). Lauric acid is biologically active and can be transformed into monolaurin, which has antiviral, antibacterial, and antiprotozoal properties (Lieberman et al., 2006). It has also been used to treat severe antibiotic-resistant bacterial infections (Dayrit, 2015). Oleic acid activates lipid metabolism, restores the skin barrier, and helps retain moisture in the stratum corneum (Feingold and Elias, 2014). Palmitic acid and its derivatives are used as emulsifiers and emollients in creams and lotions (Mawazi et al., 2022). Fatty acids are vital components of the skin barrier and their application as formulation ingredients has increased due to their functional effects, biological activities and high biocompatibility (Duffy et al., 2017).

Several studies related to the use of BSFs in the cosmetic industry have been conducted, particularly regarding the properties and benefits of the oil produced from their larvae (Verheyen et al., 2018; Franco et al., 2021). With a lipid content ranging from 15% to 49% of total dry weight, BSFs have been found to have a high lipid yield compared with other insects (Almeida et al., 2020; dos Santos et al., 2021). Additionally, BSFs are a rich source of fatty acid derivatives that can be used as natural cosmetic ingredients, considering the high quality and quantity of fatty acids identified in their lipid composition (Müller et al., 2017; Ravi et al., 2019; Almeida et al., 2020; Franco et al., 2021). Currently, many cosmetics use palm oil, rice bran oil, olive oil, krill oil, coconut oil and lecithin as active ingredients. However, these products have become relatively expensive and palm oil in particular leaves a high environmental footprint and is non-sustainable (Huang et al., 2009). BSFs oil, on the other hand, has a fatty acid profile similar to that of palm or coconut oil, with high levels of beneficial fatty acids and antioxidant activity. This makes it a promising ingredient in cosmetic products (Verheyen et al., 2020). Verheyen and others have

demonstrated that SFO could be a suitable alternative to palm or coconut oil to synthesize glycine-acyl surfactants, which can be used for technical applications (Verheyen et al., 2018). Overall, the potential benefits of BSFs in the cosmetic industry are significant and merit further research and exploration.

Nevertheless, information on the cosmetic-related activities of the SFO remains scarce. This study explored the potential use of BSFL in a closed farming system to generate a continuous supply of larvae, which can then be used to extract oil within 2 weeks. The resulting oil was evaluated for its potential as a new ingredient in cosmetics or functional foods, but scientific data were necessary to support its use. To this end, we conducted an evaluation of SFO as a potential oil for cosmetic application, comparing it with other commonly used oils in cosmetic production such as rice bran oil, olive oil, krill oil and lecithin. The study also discussed various aspects of the SFO preparation process, quality control, chemical composition and its cosmetic properties, compared with other oils frequently used in cosmetic applications.

#### Materials and methods

#### SFO oil and chemicals

SFO was supplied by Food Innovation and Packaging Center (Chiang Mai University, Chiang Mai, Thailand). Briefly, the 12-day BSFL were collected and kept frozen at -18°C before extraction. Frozen BSFL were thawed and dried in a hot air oven (FD 115, BINDER, Germany) at 80°C for 5 h and then further dried at 60°C for 3 h until moisture content achieved lower than 8%. The dried BSFL were subjected to screw pressing using a pressing machine (AS 700 W, Alangkarn Siam, Thailand) at 65-70 rpm. The obtained oil was further centrifuged using a centrifuge and filtered before filling in aluminum bags with N2 flushed and kept at room temperature before subjecting to further studies. The 1,1-diphenyl-2picrylhydrazine (DPPH), 2,4,6-tris (2-pyridyl)-s-triazine (TPTZ), 2,2'-azobis (2-amidinopropane) dihydrochloride (AAPH), 6hydroxy-2,5,7,8-tetramethylchromane-2-carboxylic acid (Trolox), and linoleic acid were purchased from Sigma Chemical Co. (St. Louis, MO, United States). Rice bran oil (5,000 ppm oryzanol, King, Thailand) and olive oil (Bertolli, extra virgin, Italy) were purchased from a local gourmet store. Krill oil and lecithin (food grade) were purchased from Xi'an Natural Field Bio-Technique, China. Methanol, dichloromethane, ethanol, acetonitrile and other solvents were purchased from RCI Labscan, Bangkok, Thailand. Dulbecco's modified Eagle medium (DMEM), trypsin, and penicillinstreptomycin were supplied by Gibco (Grand Island, NY, United States). Fetal bovine serum (FBS) was supplied by GE Healthcare (Little Chalfont, United Kingdom). The 3-(4,5dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) dye, 2',7'-dichlorofluorescin diacetate (DCF-DA), kojic acid, and arbutin were purchased from Sigma-Aldrich (St. Louis, MO, United States).

#### Physicochemical characterization of SFO

The pH value of SFO was determined at 25°C using a pH meter (Metrohm, Herisau, Switzerland), which was calibrated using pH 4 and 7 buffers. Viscosity of SFO was measured at 25°C with

a Brookfield R/S-CC rheometer using a Bob and Cup format (Brookfield, MA, United States). The measuring system was the CC48 DIN with a controlled shear rate. The values of acids, saponification and unsaponification were examined according to the method of <401> USP 40 (Pharmacopeia, 2017). The water content was determined by the well-established methods of Karl Fischer titration using Karl Fischer V20 Titrator (Mettler Toledo, OH, United States). All measurements were carried out in triplicate.

#### Fatty acid composition analysis

Lipids were extracted using a modified version of the method described by Lepage and Roy, (1986). Three grams of sample were homogenized for 4 min × 15 min with 12 mL of dichloromethane: methanol (2:1 v/v). The sample was filtered, and potassium chloride was added. A biphasic separation was obtained by centrifugation (2000 rpm, 10 min). After that, the supernatant was collected and methanolic solution of 0.5 mM NaOH was then added for incubating at 100°C, 15 min. Methylation was catalyzed by 2 mL 14% BF<sub>3</sub>-methanol complex in methanol. To extract the fatty acid methyl esters, 5 mL 20% NaCl and 0.5 mL hexane were added, followed by centrifugation for 5 min at 1,000 rpm. The upper phase (containing the fatty acid methyl esters; FAME) was collected for the analysis for fatty acid profile using GC-MS (Trace GCultra, Italy/Polaris Q, United States), equipped with a TR-FAME (60 m  $\times$  0.25 mm, film thickness 0.25  $\mu$ m). The carrier gas was helium, and the detector temperature was 230°C with a split ratio (20:1), and 1 µL was injected (split ratio 1:20). Fatty acid composition was calculated using the fatty acid methyl ester internal standard and expressed as percentage of total detected fatty acids. All measurements were performed by the Research and Service Laboratory, The Halal Science Center, Chulalongkorn University, Bangkok, Thailand under the Halal GMP/HACCP and Halal-QHS/ISO 22000.

#### Determination of anti-oxidant activities

Anti-oxidation potential of SFO was determined in comparison with other oils and a positive control, Trolox (5 mM), using different methods including DPPH, FRAP and lipid peroxidation inhibition assays. The free radical scavenging property of samples was evaluated using the DPPH assay (Kiattisin et al., 2019). DPPH solution at a concentration of 167  $\mu$ M was prepared in absolute ethanol. In brief, DPPH solution was added in a 96-well plate and mixed with an oil sample (20  $\mu$ L), mixed well. Following that, the sample was incubated in the dark at 25°C for 30 min. The absorbance was then measured at 520 nm using a microplate reader (SPECTROstar Nano, Offenburg, Germany). The percentage of inhibition was determined using the equation below.

$$\% Inhibition = \left[\frac{C - S}{C}\right] x 100$$

where C is the absorbance of control, and S is the absorbance of sample. The experiments were performed in triplicate.

The reducing capacity of samples was investigated using the ferric reducing anti-oxidant power (FRAP) assay (Kiattisin et al., 2019). The FRAP reagent was prepared by mixing 300 mM acetate buffer, 20 mM ferric chloride solution and 10 mM TPTZ. Then FRAP reagent was

mixed with each undiluted sample in a 96-well plate and incubated at room temperature for 5 min. The sample was then measured for absorbance at 595 nm using a microplate reader (SPECTROstar Nano, Germany). The experiments were performed in triplicate, and the results were expressed as a FRAP value or ferrous sulfate (FeSO<sub>4</sub>) equivalent per Gram of sample.

The lipid peroxidation inhibition property of samples was determined using the linoleic acid thiocyanate method (Poomanee et al., 2021). Each sample was mixed with linoleic acid in methanol, phosphate buffer pH 7.0, DI water and AAPH in a test tube. The sample was then incubated in a water bath at 45°C for 4 h. After, the reaction mixtures were mixed with 75%v/v methanol, 10% ammonium thiocyanate solution and 2 mM ferrous chloride in a 96-well plate. After precisely 3 min, the absorbance was measured at 500 nm using a microplate reader (SPECTROstar Nano, Germany). The experiments were performed in triplicate. The percentage of lipid peroxidation inhibition was determined using the equation below.

$$\% Inhibition = \left[\frac{C - S}{C}\right] x 100$$

where *C* is the absorbance of the control, and *S* is the absorbance of the sample.

## Determination of hyaluronidase (HAase) inhibitory activity

The inhibition of HAase was determined by measuring *N*-acetyl-glucosamine level, which was produced by the interaction of sodium hyaluronate with HAase (Laothaweerungsawat et al., 2020). Before each experiment, the HAase enzyme activity was measured, and only enzyme activity more than 90% was employed. In brief, the oil samples were incubated for 10 min with 15 unit/mL HAase at 37°C. Following that, 0.03% w/v hyaluronic acid (HA) in phosphate buffer pH 5.35 was added and incubated for 45 min at 37°C. HA was then precipitated using acid bovine serum albumin, produced from sodium acetate, acetic acid and bovine serum albumin. Using a multimode detector, the absorbance of the resultant combination was measured at 600 nm (BMG Labtech, Offenburg, Germany). Every experiment was carried out in triplicate. The HAase inhibition was determined using the equation below.

% Inhibition = 
$$\left[1 - \left(\frac{a}{b}\right)\right] x 100$$

where a is the absorbance of the mixtures comprising oil sample, HAase, HA and bovine serum albumin solution, and b is the absorbance of the mixtures comprising HAase, HA and bovine serum albumin solution without oil samples. As a positive control, oleanolic acid, an anti-inflammatory and anti-oxidative triterpenoid compound was employed.

## Molecular docking of promising compounds toward the HAase enzyme

In this study, a crystal structure of human HAase was retrieved from Protein Data Bank (PDB ID: 2PE4) (Chao et al., 2007). All the hetero-atoms and water molecules were removed from the protein

structure. The 3D structures of free fatty acids and oleanolic acid were obtained in the PubChem database (https://pubchem.ncbi.nlm. nih.gov/) and further geometry optimized using Gaussian09w with the B3LYP/6-311G (d,p) basis set (Hehre et al., 1970). The hydrogen atoms and Gasteiger's charges were assigned using AutoDockTools 1.5.6 (Morris et al., 2009) The docking simulation was performed using AutoDock Vina (Trott and Olson, 2010). A grid box (25 × 25 × 25 ų) was created from the center of the catalytic site (X: 41.945, Y: -21.787 and Z: -16.336). The structures with the lowest binding energies were selected for analysis, and the molecular recognition was identified using LigandScout 4.4.8 (Wolber and Langer, 2005). Structural figures were generated using PyMOL (PyMOL Molecular Graphics System, Version 2.0 Schrödinger, LLC).

#### Cell culture

Primary human skin fibroblasts were aseptically isolated from an abdominal scar after a surgical procedure involving a cesarean delivery at the surgical ward of CM Maharaj Hospital, Chiang Mai University, Chiang Mai, Thailand (Study code: BIO-2558-03541 approved by Medical Research Ethics Committee, Chiang Mai University). The protocol of isolating and preparing the primary fibroblasts were followed according to a described protocol (Limtrakul et al., 2016).

HaCaT cells (human keratinocytes) and B16-F10 melanoma cells were purchased from ATCC (VA, United States). All cell types were cultured in DMEM with 10% FBS and supplemented with 100 U/mL penicillin and 100  $\mu$ g/mL streptomycin. The cells were maintained in a 5% CO<sub>2</sub> humidified incubator at 37°C.

Human blood samples were obtained from the Blood Bank Laboratory, Maharaj Hospital, Chiang Mai, Thailand which remained in the laboratory and could not be identified by hosts. Human Peripheral Blood Mononuclear Cells (PBMC) were prepared using Ficoll-hypaque density gradient centrifugation. Cells were rested in RPMI 1640 medium supplemented with 10% fetal bovine serum, 2 mM L-glutamine, 100 IU/mL penicillin and  $100~\mu g/mL$  streptomycin, overnight before the experiment.

#### Cytotoxicity effects using the MTT assay

The effects of SFO on cell viability were determined using the MTT assay as described. Briefly, the cells were treated with increasing concentrations of SFO (0–200  $\mu g/mL)$  in culture medium or culture medium alone (vehicle control) for 24 and 48 h. Following the SFO treatment, the cells were incubated with 10  $\mu L$  of 0.5 mg/mL MTT in PBS for 4 h. The culture supernatant was then removed, and the culture was re-suspended with 200  $\mu L$  of DMSO to dissolve the MTT formazan crystals. The absorbance was measured at 540 and 630 nm using a UV-visible spectrophotometer, and the assay was performed in triplicate.

## Determination of *in situ* intracellular ROS inhibitory effects

Intracellular ROS after UVB irradiation was determined using a DCF-DA assay, as described (Eruslanov and Kusmartsev, 2010;

TABLE 1 Chemical properties of SFO.

Chemical property	Result
pH	6.00 ± 0.15
Viscosity (cPa.s)	52.0 ± 0.3
Unsaponifiable matter (%)	0.72 + 0.17
Acid value (mg KOH/g)	3.00 ± 0.81
Saponification value (mg KOH/g)	197.1 ± 9.5
Water content (%w/w)	0.13 ± 0.02

He et al., 2017). The fibroblasts ( $8.0 \times 10^5$  cells/well) were seeded in a 96-well plate for 24 h. After that, the cells were exposed to UVB ( $15 \text{ mJ/cm}^2$ ) using a ultraviolet crosslinker machine. The cells were then treated with or without SFO ( $0{\text -}200 \,\mu\text{g/mL}$ ) for 24 h. After that, the cells were washed with PBS,  $10 \,\mu\text{M}$  of DCF-DA was added and the cells were incubated for a further 30 min. The fluorescent intensity was measured at an excitation wavelength of 485 nm and an emission wavelength of 525 nm. The inhibitory effects of SFO on intracellular ROS production at 24-h post UVB exposure was calculated and compared with the control of UVB-irradiated fibroblast cells.

#### Determination of melanin content inhibition

B16-F10 cells ( $1\times10^5$  cells/dish) were seeded in a  $60\times15$  mm² dish and incubated for 24 h at 37°C, 5% CO2. After that, the cells were treated with 50 µg/mL of kojic acid or arbutin (positive controls) or SFO, olive oil and linoleic acid at the concentrations of 50 µg/mL. The cells were incubated for 48 h and then collected and washed with PBS. NaOH was added and the cells were incubated at 80°C for 30 min. The absorbance was determined using a microplate reader at 405 nm.

#### Statistical analysis

Data were collected from three independent experiments and are shown as mean  $\pm$  SD. Statistical analysis was performed using t-tests, ANOVA and Tukey's multiple comparison test, using SPSS, Version 19.0 (IBM $^{\circ}$  SPSS Statistics, Armonk, NY, United States). A *p-value* less than 0.05 was considered statistically significant.

#### Results

## Physicochemical characterization and fatty acid composition analysis of SFO

The oil obtained from SFO was subjected to physicochemical and fatty acid composition analysis to validate its quality. SFO was found to possess a brownish color and oily texture. Table 1 summarizes the physicochemical characteristics of SFO, including pH (6.0), viscosity (52 cPa.s), unsaponifiable matter

(0.72 + 0.17%), acid value (3.00  $\pm$  0.81 mg KOH/g) and water content (0.13%w/w).

In addition to the physiochemical evaluation of SFO, the fatty acid composition of SFO was analyzed using GC-MS. The lipid extracts of SFO were displayed as a chromatogram, and the percentage of fatty acid composition was calculated and is presented in Figure 1 and Table 2. Linoleic acid was found to be the predominant fatty acid, with a content of 34.19%, followed by oleic acid (15.95%), palmitic acid (15.93%) and lauric acid (13.25%). The remaining fatty acids, including capric acid, myristic acid, palmitoleic acid, margaric acid, steric acid and linolenic acid, were all found present in amounts less than 10%. SFO contained high percentages of polyunsaturated fatty acids (PUFA) of up to 60%, followed by saturated fatty acids of up to 37%.

### Determination of anti-oxidant activities of SFO

The use of natural oils in pharmaceutical and cosmetic products for their anti-oxidant properties has been well established. Therefore, our study aimed to examine the anti-oxidant activities of SFO compared with Trolox and commonly used oils in the cosmetic and nutraceutical industries. We evaluated the anti-oxidant activity of SFO using different assays including DPPH, radical scavenging, FRAP, and lipid peroxidation inhibition tests, and compared it with rice bran oil, olive oil, krill oil, lecithin and linoleic acid.

The results of the anti-oxidant activity tests of SFO, rice bran oil, olive oil, krill oil, lecithin and linoleic acid are shown in Figures 2A–C. According to the results, SFO exhibited multiple mechanisms to interrupt the oxidation processes compared with the other oils. The DPPH assay is a well-known method for assessing stable free radical scavenging activity (Rahman et al., 2015). Neat SFO showed the highest DPPH radical scavenging activity (96.61% inhibition) which was significantly higher than that of the other oils and even linoleic acid (24.93%–92.69%, p < 0.05), except for rice bran oil, which was higher but did not differ significantly (Figure 2A). The free radical scavenging activity of SFO is comparable to the activity of 5 mM Trolox, a positive control.

The FRAP assay directly measures anti-oxidants (or reductants) in a sample and represents the corresponding concentration of electron-donating anti-oxidants with reduced ferric iron (Fe<sup>3+</sup>) compared with the ferrous ion (Fe<sup>2+</sup>) (Kiattisin et al., 2019). In our study, the FRAP assay showed that krill oil exhibited the highest reducing power (110.59  $\pm$  3.99 mM FeSO<sub>4</sub>/g sample), followed by SFO (51.61  $\pm$  2.08 mM FeSO<sub>4</sub>/g sample), while the common oils exhibited significantly lower reducing power. Interestingly, the positive control, Trolox (5 mM) exhibited significantly higher FRAP value of 28,724 mM FeSO<sub>4</sub>/g sample that is significantly high and could not be plotted with the oils' FRAP values. Contrastingly, linoleic acid was inactive, implying that it could not be responsible for the reducing ability of SFO (Figure 2B). Lipid peroxidation in cells leads to degrading the lipid bilayer composing cell membranes, and the end-products can further promote mutagenesis or protein oxidation, disturbing cellular homeostasis (Ali et al.,

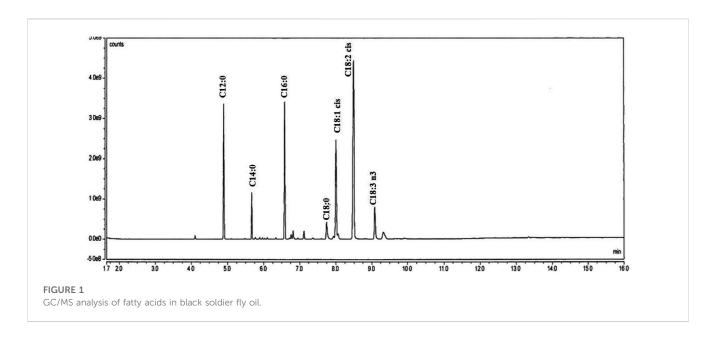


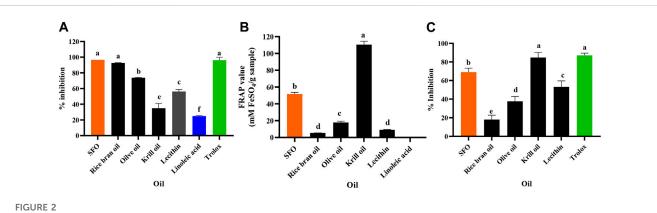
TABLE 2 Fatty acid profile of black soldier fly oil.

Fatty acid	Percentage (%)
Capric acid (C10:0)	0.33 ± 0.02
Lauric acid (C12:0)	13.25 ± 1.81
Myristic acid (C14:0)	3.82 ± 0.19
Palmitic acid (C16:0)	15.93 ± 0.56
Palmitoleic acid (C16:1)	0.95 ± 0.16
Margaric acid (17:0)	0.99 ± 0.03
Steric acid (C18:0)	3.43 ± 0.32
Oleic acid (C18:1 n-9 cis)	15.95 ± 0.64
Linoleic acid (C18:2 n-6 cis)	34.19 ± 0.44
Linolenic acid (C18:3 n-3)	5.68 ± 0.33

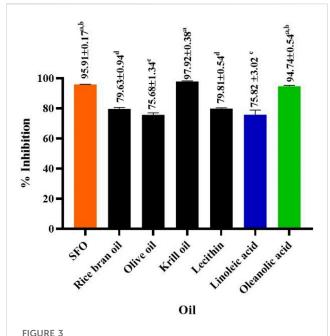
2022). Inhibition of chain reactions of lipid peroxidation is promoted in anti-aging discovery. Similar to the FRAP assay, the lipid peroxidation inhibition assay showed that SFO (69.03%) had significantly higher activity than that of the other oils (18.05%–53.17%) except for krill oil and the positive control, Trolox (5 mM), exhibiting the highest lipid peroxidation inhibition activity (84.71% and 87.12%, respectively) (Figure 2C).

#### HAase inhibitory activity of SFO and molecular docking of its major fatty acids toward the HA enzyme

The study investigated whether SFO and its potential fatty acids could inhibit the activity of HAase, which primarily degrades HA in the skin. The disappearance of HA in the skin can result in significant histochemical changes associated with aging (Farage et al., 2008). In this



Anti-oxidant activity evaluated with **(A)** DPPH, **(B)** FRAP and **(C)** Lipid peroxidation inhibition assays of SFO, rice bran oil, olive oil, lecithin, linoleic acid, and Trolox (a, b, c, d, e and f denote significant differences among samples at p < 0.05).



Effect of SFO, rice bran oil, olive oil, krill oil, lecithin, linoleic acid and oleanolic acid (a positive control) on hyaluronidase inhibitory activity., (a, b, c and d denote significant differences among samples at p < 0.05).

study, we investigated whether SFO and its potential fatty acid could possess inhibitory effects on HAase activity. The HAase inhibitory activity of SFO, rice bran oil, olive oil, krill oil, lecithin, linoleic acid as well as the positive control, oleanolic acid, are shown as the %inhibition in Figure 3. SFO (95.91%) and krill oil (97.92%) exhibited strong HAase inhibition comparable with the positive control, oleanolic acid (94.74%). Linoleic acid, a major fatty acid in SFO, also showed HAase inhibitory activity, but to a lesser extent than the crude oil (75.82%).

To further understand the mechanism of enzyme inhibition, molecular docking studies were conducted on major fatty acid components of SFO including linoleic acid, oleic acid and palmitic acid, as well as the positive control, oleanolic acid. Molecular docking was carried out to investigate the binding interactions and molecular recognition of selected free fatty acids toward HAase (PDB: 2PE4). The molecular interactions of the docked complexes are depicted in Figures 4A-D. Linoleic acid, oleic acid and palmitic acid were positioned at the binding pocket of the HAase enzyme. The fatty acid-binding pocket was mostly hydrophobic with residues including M71, I73 and Y75, where the tail of fatty acids fits well (Figures 4A-C). The positive compound was well accommodated within the active site of the enzyme by forming a hydrogen bond with S245 and hydrophobic interaction with residues Y202, F204, T293 and W321 (Figure 4D). The docking results showed that linoleic acid exhibited the highest binding affinity to HAase at -6.5 kcal/mol, followed by oleic acid and palmitic acid with binding energies of -6.0 and -5.8 kcal/mol, respectively. The positive control, oleanolic acid, showed a strong binding score of -8.5 kcal/mol, which was consistent with the in vitro experiment.

#### Effects of SFO on cells viability

To examine the potential use of SFO in cosmetic preparation, we conducted a safety assessment by determining its cytotoxicity effects on human skin cells (keratinocytes and fibroblasts) as well as human white blood cells (PBMC), using the MTT assay. Primary human skin fibroblasts, HaCaT keratinocyte cell line and PBMCs were treated with increasing concentrations of SFO for 24 and 48 h. Our results, as shown in Figures 5A–C, indicated that even at a high concentration of 200  $\mu g/mL$ , SFO did not display cytotoxicity effects on human dermal fibroblasts (Figure 5A), HaCaT keratinocytes (Figure 5B) or PBMCs (Figure 5C) after 24 and 48 h of incubation. The IC50 value for all types of cells was greater than 200  $\mu g/mL$  of SFO. Therefore, our findings suggested that SFO is safe for use in

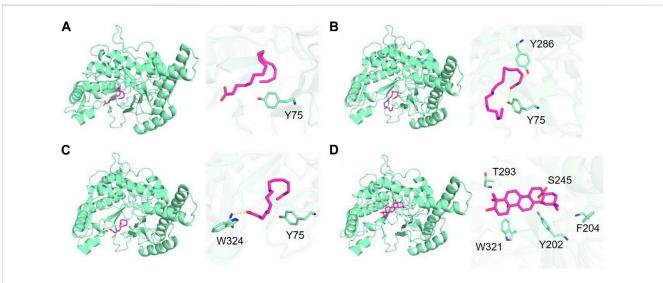
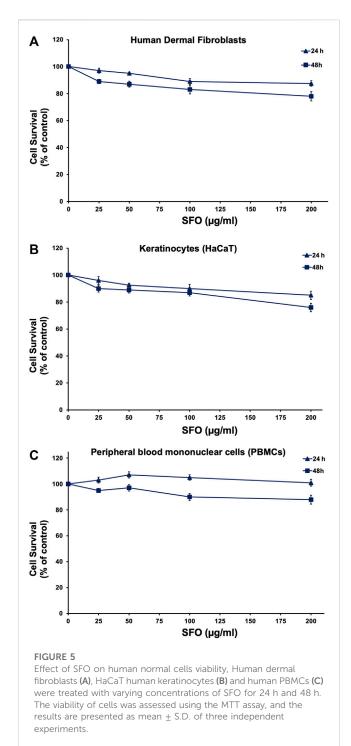


FIGURE 4
Binding of hyaluronidase (green) interacting with free fatty acids (magenta) including (A) linoleic acid, (B) oleic acid, (C) palmitic acid and (D) positive control (oleanolic acid).



cosmetic applications as it did not show any cytotoxicity effects on human normal cells.

## *In-situ* ROS scavenging effects of SFO on UVB-irradiated human dermal fibroblasts

We initially evaluated the *in vitro* anti-oxidant properties of SFO and found it exhibited strong anti-oxidant effects. To further confirm its anti-oxidant capacity *in-situ*, we conducted DCF-DA

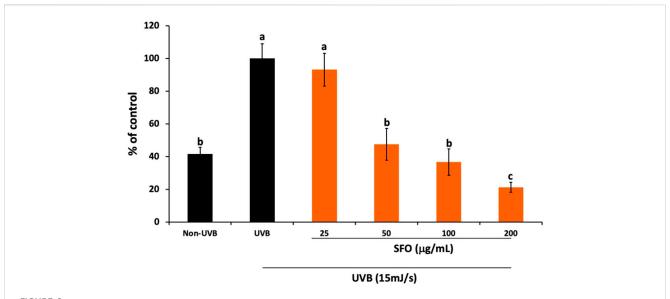
assays to determine the intracellular ROS scavenging properties in UVB-irradiated dermal fibroblasts. The results are shown in Figure 6. The findings indicated that UVB radiation increased ROS generation in human dermal fibroblasts by approximately 60% when compared with nonirradiated fibroblasts. Interestingly, when the cells were pretreated with SFO, increasing concentrations of SFO significantly reduced intracellular ROS production in UVB-irradiated fibroblasts in a dose-dependent manner at post-24 h of UVB exposure (p < 0.05). At this exposure time, SFO at the concentration of 200 µg/mL inhibited the intracellular ROS production to less than 20% when compared with the irradiated fibroblasts group. These findings demonstrated that SFO has the potential capacity to reduce the generation of ROS through its ROS scavenging ability. Thus, SFO exhibited antioxidant properties in both *in vitro* and *in situ*.

## The effects of SFO on the inhibition of melanin content

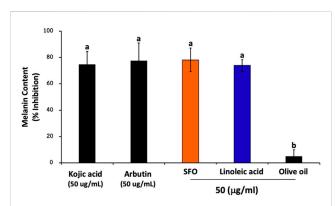
As of today, in addition to anti-oxidant compounds, many cosmetic products contain antipigmenting active ingredients, such as kojic acid and arbutin, exhibiting skin whitening effects. To investigate whether SFO possesses antipigmenting properties, we assessed its ability to inhibit melanin content in B16F10 melanoma cells. As is shown in Figure 7, pretreating the cells with SFO led to reduced melanin content (78% inhibition). Furthermore, the level of melanin content inhibition achieved by SFO at the same concentration was comparable with that of well-known positive control agents, kojic acid and arbutin (75% and 77% inhibition, respectively). Conversely, olive oil at 50 µg/mL had little to no effect on melanin inhibition (5% inhibition). Meanwhile, linoleic acid, the major fatty acid present in SFO, exhibited similar inhibitory effects on melanin levels (74% inhibition). Our findings suggest that SFO, at a concentration of 50 μg/mL, can inhibit melanin content as effectively as well-known melanin pigment inhibitors. Therefore, SFO may be considered as a potent new antipigmenting agent.

#### Discussion

This study provides scientific data on the SFO obtained from Hermetia illucens larvae. The physiochemical characteristics and lipid composition of the oil were determined and compared with other oils commonly used in cosmetic and supplement applications. Compared with another study, the acid value, saponification value and unsaponifiable matter of SFO revealed less than those reported in a related study (Mai et al., 2019). Briefly, the study reported the acid value of SFO at 11.8 mg KOH/g while its saponification value and unsaponifiable matter were 213 and 86 mg KOH/g, respectively. An increase or rise in acid value indicates the rancidification tendency of the oil. Taken together with the acid value, a measurement of potassium hydroxide (mg), required to neutralize the free fatty acid contained in unit mass (g) of chemical substance, the lower the acid value of oil, the fewer free fatty acids it contains making it less exposed to rancidification (Kardash and Tur'yan, 2005). Therefore, SFO in our study



**FIGURE 6**In-situ anti-oxidant activity of SFO. The inhibition of intracellular ROS upon UVB irradiation in human dermal fibroblasts was determined using the DCF-DA fluorescent assay. The data is presented as mean  $\pm$  S.D. of 3 independent experiments (a, b and c denote significant differences among samples at p < 0.05).



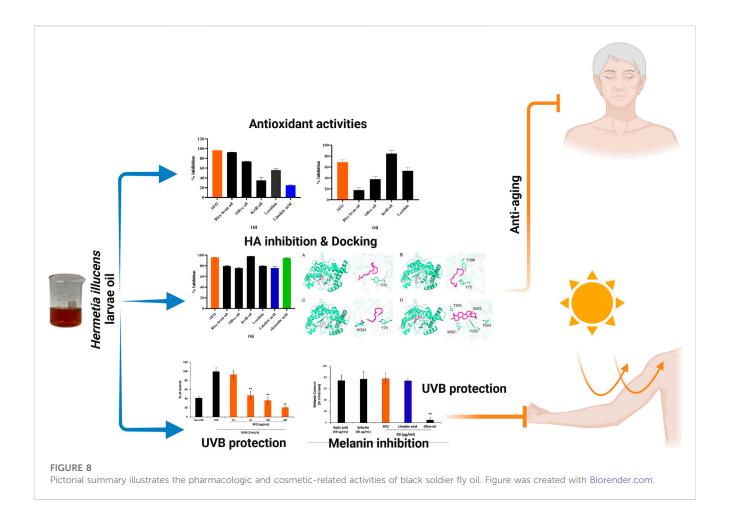
**FIGURE 7** Effects of SFO on melanin content inhibition, B16F10 melanoma cells were treated with SFO, linoleic acid, olive oil, kojic acid or arbutin at a concentration of 50 µg/mL for 48 h at 37°C. After treatment, the cells were collected and washed with PBS. Then NaOH was added to the cells and incubated at 80°C for 30 min. The absorbance was measured at 405 nm using a microplate reader; (a and b denote significant differences among samples at  $\rho < 0.05$ ).

possessed a lower acid value and was less likely to generate the rancidity. A high saponification value suggested the presence of a fair amount of fatty acids but their acid values were low pointing to the fact that these fatty acids were not free but in an esterified form (Ekpo et al., 2009).

The fatty acid composition of SFO was compared with that of olive oil (*Olea europaea* L.), krill oil (*Euphausia superba*) and rice bran oil (*Oryza sativa*) from related studies (Orthoefer and Eastman, 2005; Ivanova et al., 2016). The data showed that olive oil contained a high amount of oleic acid (73.6%) followed by palmitic acid (14.11%) and linoleic acid (8.35%). Krill oil contained a high amount of palmitic acid (20.43%) followed by oleic acid (9.49%) and myristic acid

(8.76%), while the highest fatty acid in rice bran oil was oleic acid (38.4%) followed by linoleic acid (34.4%) and palmitic acid (21.5%). In contrast, the most abundant component found in SFO was linoleic acid (C18:2 n-6 cis), which was consistent with related research (Barroso et al., 2017; Ewald et al., 2020). Linoleic acid has been shown to exhibit several pharmaceutical activities, especially regarding skin function (Huang et al., 2018). For example, it has been demonstrated to downregulate melanin synthesis, and clinical trials have reported the effectiveness of liposome containing 0.1% linoleic to treat melasma and lightening UVB-induced hyperpigmentation of the skin (Shigeta et al., 2004). Interestingly, linoleic acid was reported to positively correlate between amounts of linolenic acid and anti-oxidant activity (Saffaryazdi et al., 2020). Nevertheless, linoleic acid exhibited the ability to decrease in the epidermis with age causing skin sensitivity and roughness (Ahmad and Ahsan, 2020). Therefore, oils enriched with linoleic acid could be an interesting source for skin cosmetics. However, the lipid composition of BSFL significantly vary with their diets (Kim et al., 2020; Li et al., 2022). A higher percentage of omega-6 and 3 was obtained when the larvae were given the diet riches in polyunsaturated fatty acids from agricultural by-products (rape and flax cakes) (Hoc et al., 2021). Together with the work of Almeida et al. reported that the extraction technique significantly affects fatty acids profile of BSFL biomass (Almeida et al., 2022). Thus, it should be noted that consistency of batch-to-batch production should be highly considered to lessen variation of SFO lipid composition.

Several vegetable oils including rose hip, flax, hemp, thistle, safflower and camelina, have been chosen for cosmetic use due to their composition and high anti-oxidant activity. Anti-oxidants play a crucial role in preventing or reducing oxidative stress, leading to numerous health problems such as cancer, cardiovascular diseases, neurodegenerative disorders and skin aging. Natural anti-oxidants can inhibit or decrease the damage caused by oxidative stress



through different mechanisms of action (Ionescu et al., 2015). Long term exposure to oxidative stress leads to redox imbalance, various biomolecules damage and abnormal gene expression, including those involved in aging pathways (Rungratanawanich et al., 2018). In vitro assays have demonstrated that SFO has higher radical scavenging, reducing power, and lipid peroxidation inhibition properties compared with rice bran oil, olive oil and lecithin. The anti-oxidant capacity of SFO was comparable with 5 mM Trolox (positive control) in the lipid peroxidation inhibition and slightly lower in the DPPH assays. However, the reducing capacity of SFO was much lesser than that of Trolox. Although linoleic acid, a major fatty acid in SFO did not exhibit strong antioxidant activity, SFO's anti-oxidant activity may be due to other phenolic compounds, flavonoids, α-tocopherol and various fatty acids (Elagbar et al., 2016; El-Agbar et al., 2018). Studies have also shown that sterols and tocopherols/tocotrienols in insects' larvae oil may contribute to anti-oxidant capacity by acting as a chainbreaking activity (Mariod, 2013; dos Santos et al., 2021). Furthermore, PUFA, specifically alpha-linolenic acid and linoleic acid, have been found to be related to anti-oxidant activity (Alves et al., 2016). Phospholipids such as phosphatidylethanolamine and phosphatidylcholine can increase the ability of tocopherol to suppress lipid peroxidation (Bandarra et al., 1999; Judde et al., 2003; Takenaka et al., 2007). The polyunsaturated fatty acid moieties and amine groups in phospholipids can regenerate tocopheroxyl radicals to tocopherol (Henna Lu et al., 2011; Doert et al., 2012; Huang et al., 2020). These compounds have been shown to scavenge free radicals and prevent lipid oxidation, contributing to the overall anti-oxidant activity of SFO.

HA is a natural polysaccharide found in the extracellular matrix of vertebrate tissues, particularly soft connective tissues. It has a high molecular weight and consists of repeating disaccharide units of D-glucuronic acid and N-acetyl-D-glucosamine linked through (β-1,3 and β-1,4) glycosidic linkages. HA is naturally found in synovial fluid of joints, epidermis and dermis, where it serves as a lubricant for joints and a natural moisturizing factor that maintains skin moisture (Farage et al., 2008; Girish et al., 2009). HA degradation was attenuated when SFO was added to the HA-HAase system in hyaluronidase (HAase) inhibitory activity assay. HAase is a class of glycosidase that predominantly degrades HA, which is an extracellular matrix with a critical role in skin hydration and plumpness. Inhibition of this enzyme has received considerable attention in biological, pharmaceutical and cosmetic application (Girish et al., 2009). Since HAase is over activated and excessively breaks down HA, leading to the destruction of the proteoglycan network under chronic inflammation and/or oxidative stress (Hwang, 2010).

Linoleic acid, a representative major compound in SFO, was found to potentially be responsible for HAase inhibition activity, and other lipids such as oleanolic acid, eicosatrienoic acid and nervonic acid were reported for their HAase inhibitory activity (Girish et al., 2009). Suzuki et al. reported the inability of saturated fatty acid to inhibit the HA enzyme, whereas the cis-

unsaturated fatty acids having double bonds are essential for inhibition (Suzuki et al., 2002). Molecular docking of the major components in SFO including linoleic acid, oleic acid and palmitic acid was carried out to investigate the binding interactions and molecular recognition of selected free fatty acids toward HAase. Interestingly, linoleic acid displayed the highest binding affinity to HAase when compared with other major fatty acids in SFO. The residue Y75 was found to be a crucial amino acid for binding HAase (Chao et al., 2007; Papaemmanouil et al., 2022). Reduction of HA degradation could lead to regulation of skin homeostasis, mitigates inflammatory and allergic states and diminish the aged appearance of skin (Hwang, 2010).

Based on our testing using MTT cell viability assay on HaCaT keratinocytes, primary human dermal fibroblasts, and peripheral blood mononuclear cells, we have found no cytotoxicity effects of SFO with the IC50 values exceeding 200 µg/mL. Other studies have also shown no cytotoxicity effects of oils derived from BSFL when tested on L-929 fibroblasts or using the Artemia salina model (Almeida et al., 2020; Franco et al., 2021; Riolo et al., 2023). Given these findings, we consider SFO to be safe for use in pharmaceutical and cosmetic products. To assess the *in vitro* biological effects of SFO in cell line models, we first tested its anti-oxidant activity by assessing its effects on UVB irradiation induced intracellular ROS in human dermal fibroblasts. The UVB-irradiation model in human dermal fibroblasts established in this study was modified from our described protocol (Mapoung et al., 2020). UV radiation can increase intracellular ROS levels and cause damage to the skin. Although the skin has a defense mechanism to prevent radical damage, this innate system can be overwhelmed, leading to aging and photo-aging. SFO's strong anti-oxidant properties could potentially delay the skin's aging process by counteracting the photo-aging process by reducing inflammatory responses (Jadoon et al., 2015; Xu et al., 2015). Although in this study we did not examine the anti-inflammation properties of SFO, previous studies have reported on the potential anti-inflammation properties of the major fatty acid of SFO, linoleic acid. Briefly, fatty acids, particularly, n-3 and n-6 essential fatty acids and conjugated linoleic acid (CLA), have been shown to modulate inflammation. Linoleic acid, an n-6 polyunsaturated fatty acid present in SFO, has been acknowledged for its potential anti-inflammatory properties (Saiki et al., 2017). Related studies have demonstrated that linoleic acid inhibits inflammatory responses in human THP-1 monocytes stimulated with LPS, leading to a decrease in the secretion of interleukin (IL)-6, IL-1β, and tumor necrosis factor-α (TNF-α) (Zhao et al., 2005). CLA isomers have also been found to possess anti-inflammatory effects by inhibiting ROS production and reducing the expression of inflammatory genes such as IL-6, IL-1beta and TNF-α in LPS-induced inflammation in bovine mammary epithelial cells (Dipasquale et al., 2018). Moreover, a Southern European nested case-control study (De Pablo et al., 2018) showed a significant inverse association (protective effects) between n-6 PUFA linoleic acid levels and pre-rheumatoid arthritis, indicating a lower risk of subsequent rheumatoid arthritis. Taken together, these findings suggest that the radical scavenging properties of SFO might be due to the presence of linoleic acid and that SFO may have antiinflammatory effects potentially delaying the skin aging process. Nevertheless, the inhibitory effects of SFO and its major fatty acid (linoleic acid) on the cytokine production from skin cells should be confirmed.

In this study, we found that SFO and linoleic acid could promote the skin whitening effects not only through the removal of free radicals and the chelation of metal ions (antioxidant properties), but also through the depigmenting properties as evidence by the inhibition of melanin synthesis in B16F10 cells. Hyperpigmentation is a common skin condition that is particularly noticeable in individuals with darker skin tones and can be challenging to treat effectively. Numerous studies have been conducted by cosmetic scientists to identify skin-whitening agents that can address this issue, both through in vivo and in vitro research (Binic et al., 2013; Burger et al., 2016). One of the primary causes of hyperpigmentation is the overproduction of melanin by melanocytes, resulting in the formation of dark spots on the skin, particularly among older individuals and those with sun-exposed skin (Yodkeeree et al., 2018). To prevent or treat skin aging and hyperpigmentation, skincare products often utilize compounds that can protect the skin in various ways. These compounds may scavenge ROS, suppress ECM degradation enzymes, or inhibit melanogenesis. According to our results, SFO demonstrated a significant reduction in melanin synthesis by inhibiting melanin content in B16F10 melanoma cells, similar to well-known anti-pigmenting agents like kojic acid and arbutin. This suggests that SFO possesses potential whitening effects. Additionally, the major fatty acid found in SFO, linoleic acid, also exhibited inhibitory effects on melanin content. Linoleic acid has been previously associated with the modulation of melanogenesis and the inhibition of melanin synthesis in skin cells. Furthermore, other sources of linoleic acid, such as Chia seed extract (Salvia hispanica) and Passiflora edulis oil, have demonstrated the ability to inhibit melanin biosynthesis and reduce tyrosinase activity in vitro (Jorge et al., 2012; Diwakar et al., 2014). These findings suggested that compounds containing linoleic acid, like SFO, could be valuable in the prevention and treatment of hyperpigmentation and other signs of skin aging. Thus, our study supports the potential of SFO as a skinwhitening agent by inhibiting melanin synthesis. The presence of linoleic acid in SFO, along with previous research on mother sources of linoleic acid, indicates its involvement in the inhibition of melanin biogenesis. These findings contribute to the understanding of the mechanism of action behind the whitening effect of SFO and highlight the potential use of linoleic acid-containing compounds in combating hyperpigmentation and skin aging. Regarding the anti-skin aging properties, the strong antioxidant properties of the SFO could delay the aging process of the skin by counteracting the photo-aging process upon UV penetration. In addition, possible protective action of the SFO on the skin against ROS provoked and inhibition of HA degradation might serve as an anti-aging agent, mechanistically through reducing the inflammatory responses and oxidative stress, or by influencing specific survival signaling pathways, including MAPK and PI3K/Akt pathways. However, confirmation of the anti-skin aging effects of SFO and linoleic acid at the molecular level should be further investigated. The modulation of aged skin markers such as collagen type I/III ratio, fibroblast senescent markers (SA-β -gal activity, PTEN, and p53), and cell cycle regulator molecules (p $16^{INK4a}$ , p53) upon SFO treatment should be further identified.

#### Conclusion

As of today, the search for novel compounds as sustainable active ingredients in cosmetic formulations has been an ongoing

task in the industry. In our study, we have introduced and investigated the environmental-friendly and sustainable oil from Hermetia illucens larvae (SFO). SFO displayed its potential use in cosmetic applications as an alternative oil incorporated into the cosmetic formulation. We conducted a comprehensive analysis of the oil's physicochemical characteristics, lipid composition and compared it with other commonly used oils in the cosmetic and supplement industry. Our findings suggest that SFO is a safe and effective ingredient for cosmetic products, with anti-oxidant properties both in vitro and in situ. In addition, we investigated the potential cosmetic properties of SFO and found it exhibited anti-hyaluronidase (anti-HAase) activity, which can be attributed to its linoleic acid and other oils content as determined by molecular docking. The SFO also demonstrated UVB protective effects in human dermal fibroblasts and anti-pigmenting effects in melanoma cell lines. As shown in a pictorial summary (Figure 8), our results suggest that SFO could constitute a promising ingredient in cosmetic products with implications for skin antiaging, whitening, and UVB protection properties. Further studies on the in vivo effects of SFO and linoleic acid on anti-skin aging models should be conducted to confirm their efficacy before initiating testing on human subjects.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

#### **Author contributions**

RP: Method, formal analysis, investigation, collected and analyzed data, visualization, composed primary draft of the manuscript and revised the manuscript. WS: Composed primary draft of the manuscript, performed the experiment, collected and analyzed data, and revised the manuscript. JJ: Investigation, method, performed the experiment, collected and analyzed the data. KK, WC, and SC: Investigation, method, performed the experiment, collected and analyzed data, composed the manuscript. YP: Conceptualization, supervision, resources, funding acquisition, writing review and

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editing. PD: writing, proofreading and editing the manuscript, review and critical appraisal of manuscript, project administration, and made the final decisions in preparing the manuscript. CA: Conceptualization, investigation, method, validation, writing, proofreading and editing the manuscript, review and critical appraisal of manuscript, project administration, and made the final decisions in preparing the manuscript. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Hepatoprotective effects of bioactive compounds from traditional herb Tulsi (*Ocimum sanctum* Linn) against galactosamine-induced hepatotoxicity in rats

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Ocimum sanctum L. (Tulsi; Family: libiaceae), also known as "The Queen of herbs" or "Holy Basil," is an omnipresent, multipurpose plant that has been used in folk medicine of many countries as a remedy against several pathological conditions, including anticancer, antidiabetic, cardio-protective, antispasmodic, diaphoretic, and adaptogenic actions. This study aims to assess O. sanctum L.'s hepatoprotective potential against galactosamine-induced toxicity, as well as investigate bioactive compounds in each extract and identify serum metabolites. The extraction of O. sanctum L as per Ayurveda was simultaneously standardized and quantified for biochemical markers: rutin, ellagic acid, kaempferol, caffeic acid, quercetin, and epicatechin by HPTLC. Hepatotoxicity was induced albino adult rats by intra-peritoneal injection of galactosamine (400 mg/kg). The quantified hydroalcoholic and alcoholic extract of O. sanctum L (100 and 200 mg/kg body weight/day) were compared for evaluation of hepatoprotective potential, which were assessed in terms of reduction in histological damage, change in serum enzymes such as AST, ALT, ALP and increase TBARS. Twenty chemical constituents of serum metabolites of O. sanctum were identified and characterized based on matching recorded mass spectra by GC-MS with those obtained from the library-Wiley/NIST. We evaluated the hepatoprotective activity of various fractions of hydroalcoholic extracts based on the polarity and investigated the activity at each phase (hexane, chloroform, and ethyl acetate) in vitro to determine how they affected the toxicity of CCL4 (40 mM) toward Chang liver cells. The ethyl acetate fraction of the selected plants had a higher hepatoprotective activity than the other fractions, so it was used in vacuum liquid chromatography (VLC). The ethyl acetate fraction contains high amounts of rutin (0.34% w/w), ellagic acid (2.32% w/w), kaempferol (0.017% w/w), caffeic acid (0.005% w/w), quercetin (0.038% w/w), and epicatechin (0.057% w/w) which are responsible for hepatoprotection. In comparison to standard silymarin, isolated bioactive molecules displayed the most significant hepatoprotective activity in Chang liver cells treated to CCl4 toxicity. The significant high hepatoprotection provided by standard silymarin ranged from 77.6% at 100 µg/ml to 83.95% at 200 µg/ml, purified ellagic acid ranged from 70% at

 $100~\mu g/ml$  to 81.33% at  $200~\mu g/ml$ , purified rutin ranged from 63.4% at  $100~\mu g/ml$  to 76.34% at  $200~\mu g/ml$  purified quercetin ranged from 54.33% at  $100~\mu g/ml$  to 60.64% at  $200~\mu g/ml$ , purified epicatechin ranged from 53.22% at  $100~\mu g/ml$  to 65.6% at  $200~\mu g/ml$ , and purified kaempferol ranged from 52.17% at  $100~\mu g/ml$  to 60.34% at  $200~\mu g/ml$ . These findings suggest that the bioactive compounds in  $\it O.~sanctum~L.~have$  significant protective effects against galactosamine-induced hepatotoxicity.

KEYWORDS

Ocimum sanctum Linn, galactosamine-induced rat model, hepatoprotective activity, polyphenols, serum biomarkers

#### 1 Introduction

Humans relied on medicinal plants for their healing properties centuries before the introduction of chemical medicines. The WHO estimates that around 80% of the people in less developed countries rely exclusively on herbal medicines for their primary healthcare. Medicinal plants serve as the backbone of traditional medicines, and nearly 3.3 billion people regularly utilize plants for therapeutic purposes in less developed countries (Davidson-Hunt, 2000). Medicinal plants are a substantial source of hepatoprotective medications. One estimate places the number of mono- and poly-herbal formulations used for treating different liver problems at over 700 in decoction, tincture, and tablets (Ilyas et al., 2016). The earliest Indian medical system, Ayurveda, has designated numerous plants for the treatment of hepatotoxicity. Given that plants have been employed as medicines for a variety of ailments and with the arrival of current synthetic medicines and their accessibility to consistent dosage forms, usage ease, and therapeutic efficiency in acute circumstances, the usage of medicinal plants has declined (Pandey et al., 2013). Traditional medications have a limited range of action and require long-term administration to be effective, working mostly on chronic illnesses, as opposed to synthetic drugs, which have a restricted spectrum of action and accompanying adverse effects (Fair and Tor, 2014). Numerous plant-based medications have been discovered to have hepatoprotective properties, including Trigonella foenum graecum belongs to the family Fabaceae (Zargar, 2014), Andrographis paniculata (Family: Acanthaceae) (Nagalekshmi et al., 2011), Phyllanthus niruri L. (Family: Phyllanthaceae), Tephrosia purpurea L. (Fabaceae), Boerhavia diffusa L (Nyctaginaceae) and A. paniculata (Family: Acanthaceae) (Dey et al., 2020), Phyllanthus maderaspatensis L. (Family: Phyllanthaceae) (Ilyas et al., 2015) and Fumaria indica L (Family: Papaveraceae) (Rathi et al., 2008). Jaundice and hepatitis are serious liver diseases with high fatality rates. An infection called hepatitis damages and inflames the liver. Swelling caused by inflammation occurs when bodily tissues are harmed or infected. Hepatitis is often classified as acute or chronic based on how long the liver has been inflamed and damaged (Schaefer and John, 2021). The five fundamental categories of viruses are categories A to E. Due to the weight of illness and mortality, these are of paramount importance. The problem may self-limit (heal on its own) or it may worsen, leading to cirrhosis and fibrosis (Eming et al., 2017). Aside from alcohol, drugs, chlorinated solvents, herbal remedies, chlorinated solvents, peroxidized fatty acids, industrial pollutants, radioactive isotope intoxication, and fungal toxins, other xenobiotics that can cause hepatic problems include parasite and viral infections, autoimmune diseases, and radio-active isotopes. In particular, types A and C induce chronic illness and are the main factors in liver cirrhosis and cancer, respectively (Ilyas et al., 2016). Only a few uncommon allopathic hepatoprotective medications are currently available for the treatment of liver disorders. Plant extracts are therefore frequently used to treat liver problems. India's semi-tropical and tropical regions are home to Ocimum sanctum Linn. Ayurveda and Siddha traditions have historically used various components of the plant to treat a variety of illnesses, including infections, skin problems, and hepatic abnormalities, and as an antidote for snake and scorpion stings. This plant's leaves have long been utilized for their anti-inflammatory, gastroprotective, and hepatoprotective effects (Kamyab and Eshraghian, 2013), antiplasmodial, neuroprotective, and chemo-preventive properties (Bhattacharyya and Bishayee, 2013; Rajendran et al., 2014; Mataram et al., 2021). The plant is also effective against human pancreatic cancer, stress-induced anxiety, stress-induced oxidative and central monoaminergic changes, typhoid fever, and cerebral ischemia/reperfusion (Ahmad et al., 2012a; Ahmad et al., 2012b; Mandal et al., 2012; Shimizu et al., 2013; Singh et al., 2016). In COVID-19, Tulasi has been found to possess SARS-CoV-2 protease inhibition, lipid-lowering and antioxidant, anxiety and depression (Chatterjee et al., 2011; Suanarunsawat et al., 2011; Shree et al., 2020). This plant also contains a high concentration of polyphenolic chemicals, which have a variety of biological activities such as antiviral, antibacterial, vasodilatory, antioxidant, inflammatory, and antiradical properties (Prochazkova et al., 2011), memory improvement (Spencer, 2009), gastroprotection (Mota et al., 2009), antioxidation, anti-inflammation, (Garcia-Lafuente et al., 2009), cardiovascular protection chemopreventive activity (Mohan et al., 2013) and regulate immune responses (Magrone et al., 2008). Previous research found that these plants possessed a high concentration of flavonoids, naturally occurring which are phytochemicals that have been linked to a variety of biological functions (Hollman and Katan, 1999), flavonoid glycoside is as a multi potent bioflavonoid with great potential for the prevention and treatment of disease, kaempferol glycosides is antinociceptive and anti-inflammatory (De Melo et al., 2009), anti-tyrosinase activity (Rho et al., 2010). Previously, metabolic profiling was used for a better understanding of the chemical diversity of medicinal plants. This information can be used to make comparisons with other studied taxonomically related plants and

to infer their bioactivity. Chromatography coupled with mass spectrometry is the most widely used technology for analyzing samples in extremely complex matrices, such as plant extracts (Jorge et al., 2016). Both morphologically and functionally, the galactosamineinduced experimental model system in rats is known to resemble viral hepatitis in people. Because hepatocytes have significant quantities of galactokinase and galactose-1-uridylyltransferase, galactos-amine has a greater liver selectivity than other hazardous groups, such as acetaminophen, paracetamol, and carbon tetrachloride. Hepatotoxicity, substantial portal and parenchymal infiltration, and patchy hepatocyte necrosis are all induced by galactosamine (Ilyas et al., 2015). By boosting the creation of UDP-sugar derivatives, galactosamine also causes the depletion of uridine diphosphate (UDP), which inhibits the synthesis of RNA and proteins and, in turn, deteriorates cell membranes. Chattopadhyay et al. (1992) and Jain (2015) revealed that only crude extracts are responsible for activity but did not isolate active ingredients. We identified, evaluated and isolated the most active bioactive molecules responsible for hepatoprotection in this study. The plan of the study was focused on bioactivity guided fractionation, O. sanctum (ethyl acetate) fractions showed significant hepatoprotective activity (cell induced with carbon tetrachloride 40 mM) as compared with other fractions, which led to the further separation of ethyl acetate fraction by vacuum Liquid chromatography and followed by the quantitative chemo profiling of the potent fraction. The chemical constituents were potent activity responsible for hepatoprotective activity will be identified and validated. A single newly developed solvent system formic acid, ethyl acetate, and toluene (1:4:5) was used for the densitometric quantification of bioactive compounds by HPTLC in aqueous alcoholic extracts with reference to respective marker compounds such as ellagic acid, rutin, kaempferol, quercetin, caffeic acid, and epicatechin in O. sanctum. The purpose of this study was to use GC-MS in order to investigate the metabolic profile of the hexane of the serum metabolites of O. sanctum and to investigate potent bioactive compounds obtained from O. sanctum for their hepatoprotective activity.

#### 2 Material and methods

#### 2.1 Reagents and chemicals

We bought reference standards from Natural Remedies Pvt. Ltd., including rutin, kaempferol, quercetin, epicatechin, caffeic acid, and catechin (Bangalore, India). We bought ALT, AST, and ALP kits from Span Diagnostics Ltd. in Surat, India. The supplier of galactosamine was SRL in Mumbai, India. Analytical-grade chemicals were employed throughout. As mobile phases for a HPTLC analysis, formic acid, ethyl acetate, toluene, and methanol (CDH Labs, Mumbai, India) were utilized. A 0.22 µm syringe-driven filter was utilized to filter all the solutions for the analysis (HIMEDIA, Mumbai, India).

#### 2.2 Extract preparation

Fresh plant material was taken from the Maruthmallai region of Kanyakumari district, Tamilnadu, India. It was identified and authenticated by Dr. V. Chelladurai, Research Officer, Central Council for Research in Ayurveda and Siddha (Govt. of India), Tirunelveli, Tamil Nadu, India. A voucher specimen has been stored in our laboratory for future reference. Plant materials were extracted using 95% alcohol for 6 h at 37°C and an aqueous-alcoholic solvent (50%) for 5 h at 38°C. The extraction process was carried out three times. The mixed extracts were collected and dried in a rotary evaporator at 40°C under decreased pressure.

#### 2.3 Animals experimental design

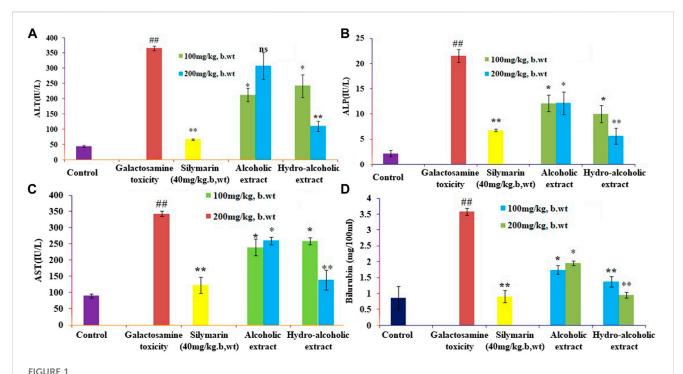
The Central Animal House facility (Registration No. 173/CPLSEA/ 837) provided adult Wistar rats weighing 150-200 g. The animals were fed a typical rodent diet and given access to water as needed while being kept in regular laboratory conditions (12 h light/dark cycles at 25°C with humidity levels between 45% and 65%). The animals were divided into eight groups (n = 6) at random. Group I acted as the vehicle control and was given regular saline for a period of 7 days. In addition to receiving normal saline (1 ml/kg, p.o.) for 7 days, Group II acted as the toxic control group. Groups III to VIII received a prophylactic treatment of a hydroalcoholic and alcoholic extract of O. sanctum in carbon methyl cellulose (0.1%) at various concentrations (100 and 200 mg/kg b.w.p.o) for 7 days. Silymarin (40 mg/kg, p.o.) was administered to Group VIII for 7 days. The current study evaluated the dose of O. sanctum extracts based on prior research studies conducted by Chattopadhyay et al. (1992). On the eighth day, 400 mg/kg ip of galactosamine was administered to Groups II to VIII to cause liver damage (Raish et al., 2016).

#### 2.3.1 Evaluation of liver function

After giving galactosamine for 24 h, blood was drawn from the retro-orbital plexus while the groups were lightly sedated with ether (Van Herck et al., 2001). All of the groups were sacrificed immediately following blood collection. Samples of the liver were obtained for histopathological and biochemical analyses. At 37°C, serum was centrifuged to separate it, and it was then used to measure various biochemical characteristics. Using a motor-driven Teflon pestle, 10% (w/v) liver homogenates were produced in an ice-cold 0.15 M KCl solution after the liver samples were rinsed with chilled normal saline and weighed (AlSaid et al., 2015). Additional biochemical parameters, including the determination of aspartate amino transaminase (AST), alanine amino transaminase (ALT), and alkaline phosphatase (ALP), were estimated using the serum (Patlolla et al., 2011). The liver homogenate's supernatant was used to measure antioxidant enzymes, such as catalase (CAT) and superoxide dismutase (SOD), using a colorimetric technique (Weydert and Cullen, 2010). The DTNB method was used to calculate glutathione (GSDH), and a modified approach was used to calculate TBARS, an index of lipid peroxidation (Liu et al., 2020). The quickly excised liver tissues were stored in neutral buffered formalin. According to Badawi's instructions, liver slices were created for histological investigations (Badawi, 2019).

#### 2.4 GC-MS analysis of metabolic serum

An analysis was performed on the chemical makeup of the metabolic serum. Gas chromatography (GC-MS) was used to



Effects of alcoholic and hydroalcoholic extracts (at two different doses of 100 and 200 mg/kg body weight, administered orally for 7 days) and standard silymarin on biochemical parameters such as ALT or alanine aminotransferase (**A**), ALP or Alkaline phosphatase (**B**), ASTor aspartate aminotransferase (**C**), and bilirubin (**D**) of liver on oxidative stress induced by galactosamine. GalN was administered intraperitoneally on the eighth day as a pretreatment. Each value represents means  $\pm$  standard error of the mean. ns p > 0.05 (non-significant), \*p < 0.05 (significant), \*\*p < 0.01 (more significant).

characterize 20 active compounds. The Agilent 7890AGC system was connected to a 5975C inert XL EI/CI MSD mass spectroscopic system, which was outfitted with a 30 m  $\times$  250  $\mu m \times 0.25 \ \mu m$  HP-5MS capillary column. A CTCCombiPAL injector was used, and the injection volume was 2.0 L. With a helium flow rate of 1.0 ml·min $^{-1}$ , the inlet temperature was maintained at 270°C. Programmatically, the column's temperature was increased from 60°C to 300°C at a rate of 5°C per minute for a total run period of 40 min in SCAN mode.

#### 2.4.1 Preparation of sample

The serum of the *O. sanctum* extract (5 ml) was extracted with acetonitrile (3  $\times$  100). The combined acetonitrile layer was evaporated to dryness under reduced pressure at 40°C in a rotary evaporator to obtain a residue. The residue was dissolved in LC-MS-grade methanol, filtered through a 0.22  $\mu$ m syringe, and injected into GC-MS.

## 2.5 Densitometric quantification of bioactive compounds in ethyl acetate fraction using HPTLC

#### 2.5.1 Sample preparations

The aqueous ethanolic extract of O. sanctum was dissolved in 10% distilled water and was successively fractionated thrice with hexane (3 × 600 mL), chloroform (3 × 600 mL), ethyl acetate (3 × 500 mL), and water-soluble fractions. The combined fractions of O. sanctum were evaporated to dryness under reduced pressure at 40°C in a rotary evaporator. 100 mg of ethyl acetate fraction were accurately weighed, dissolved in 10 mL of HPLC grade methanol, sonicated for 10 min, and

then made up with 10 mL of methanol. After filtering the solution, a 0.22 µm syringe was used to inject it into the HPTLC system.

#### 2.5.2 Preparation of standard solutions

The standard solution was made by dissolving precisely weighed  $10.0~\rm mg$  of rutin, kaempferol, quercetin, ellagic acid, and epicatechin in  $10.0~\rm mL$  of methanol HPLC grade as stock solution and storing it at 4°C. These standards were further diluted to achieve the desired concentration for quantification.

#### 2.5.3 Preparation of the plate

Prior to usage, pre-coated silica gel 60 F254 aluminium HPTLC plates (Merck, Germany) were washed in methanol and dried. The standard solution of bioactive compounds and samples were applied on an HPTLC plate in the form of bands of 4 mm width using a Linomat V applicator (Muttenz, Switzerland) with a 100  $\mu L$  syringe. The application rate was kept constant at 200 nL·s $^{-1}$ , and the space between the two bands was 9 mm.

#### 2.5.4 Calibration curve of bioactive compounds

Standard concentrations of rutin (10–1,600 ng/band), epicatechin (100–5,000 ng/band), ellagic acid (20–200 ng/band), kaempferol (40–200 ng/band), quercetin (10–160 ng/band) were applied in triplicate on silica-gel 60 F254 plates using a CAMAG Linomat-5 Automatic Sample Spotter. The plates were developed in formic acid, ethyl acetate, and toluene (1:4:5 v/v/v) solvent in a CAMAG glass twin-trough chamber (20 cm  $\times$  100 cm) up to a distance of 8 cm. After development, the plates were dried in air and scanned at 366 nm using a CAMAG TLC Scanner 3 and Win CATS

4 software. The peak areas were recorded. Calibration curves for bioactive compounds were created by plotting peak areas versus applied ethyl acetate fractions conaining rutin, epicatechin, ellagic acid, kaempferol, quercetin respectively.

## 2.6 Separation and purification of bioactive compounds

The ethyl acetate fraction has been shown to have a strong hepatoprotective impact in comparison to other fractions. To separate the various components contained in the fraction, vacuum liquid chromatography (VLC) was used on this fraction. Dry slurry was made by mixing a small amount of Silica gel G (Merck) with 15 g of ethyl acetate, and this slurry was then placed onto a sintered glass funnel with Silica gel G as the stationary phase. The column was eluted with solvents of increasing polarity step-by-step under a vacuum, starting with a blend of pure toluene and ethyl acetate and finishing with pure ethyl acetate. To further clarify, the toluene levels were decreased when the ethyl acetate component was increased after initially eluting with 5% ethyl acetate in toluene. The ethyl acetate component was then increased by 5% increments up to 50% and then by 10% increments up to 100% ethyl acetate. The methanol content was increased in 5% steps after elution with ethyl acetate, elution with 5% methanol in ethyl acetate, and elution with 100% methanol. The solvents were eluted until they ran clear from the funnel. Throughout the experiment, a steady flow rate of the solvent (100 ml/min) was observed. Individual fractions were collected, and their homogeneity was checked using TLC. The same R<sub>f</sub> values from similar fractions were merged and crystallized.

## 2.7 HPTLC study of column eluents of ethyl acetate fraction

The fractions obtained from the VLC were analyzed by using HPTLC, and fractions with a similar profile were combined. Aliquots of these fractions were reconstituted in methanol and subjected to an HPTLC study. Small quantities of dried eluents were dissolved in about 1 ml of HPLC-grade methanol. The sample was sprayed onto a plate at a distance of 0.8 cm from one end of the plate in the form of a narrow band using the spray-on technique with the help of a Linomate-V applicator attached to a CAMAG HPTLC system that was programmed using win-CATS software, which was included with the apparatus. Following the application of the spot, a chromatogram was generated using several solvent systems in a twin-trough chamber (20 cm × 25 cm) that had previously been saturated with a formic acid, ethyl acetate, and toluene combination (1:4:5 v/v/v). The air-dried plate was viewed under the different wavelengths of UV light and visible light. The spots were scanned using Reprostar 3; the TLC scanner 3 was designed for the densitometric evaluation of plates.

## 2.8 Hepatoprotective assay of fractions, bioactive compounds, and column eluents of ethyl acetate fraction

Cells were plated in 96-well plates at a density of 1  $\times$  10  $^6$  cells/well and allowed to grow there overnight. After 24 h, the

medium was removed, the cells were given different quantities of treatment of each sample (100 and 200  $\mu g/ml$ ), and they were then incubated for 2 h in separate wells of a 96-well plate. As a benchmark, silymarin (100 and 200  $\mu g/ml$ ) was used. CCl4 was applied to the cell and incubated with it for 2 h. Following incubation, the cells were washed, and each well received 20  $\mu L$  of MTT (5 mg/ml of MTT in PBS) for 1 h. After 2 h, a microscope was used to witness the formation of a formazan crystal. An additional hour of incubation was added if the crystal formation was not accurate. Following the removal of the medium, the leftover formazan crystals in each well were dissolved in 200  $\mu L$  of DMSO. The cell culture plate was shaken for 15 min, while the absorbance was measured at 540 nm using an ELISA reader.

The following formula was used to calculate the percentage of the hepatoprotection of the polyphenols, fractions, column eluents, and silymarin:

Percentage Hepatoprotection = 
$$\begin{pmatrix} OD \text{ of Test sample } / \\ OD \text{ of Control} \end{pmatrix} X 100 (1)$$

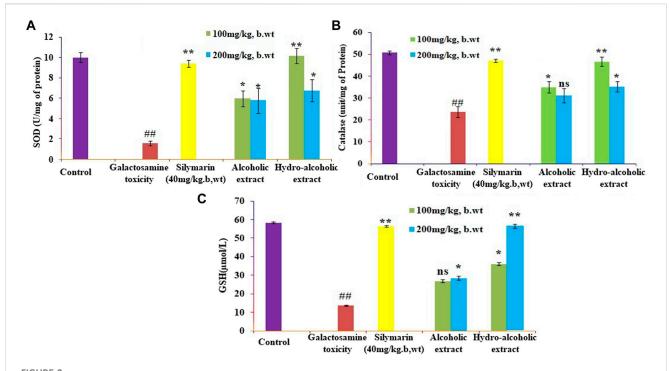
#### 2.9 Statistic evaluation

The results are given as mean S.E.M. Dunnett's *post hoc* test was used after a one-way analysis of variance (ANOVA) to estimate the total variation present in a data set. p < 0.01 was regarded as statistically significant.

#### 3 Results and discussion

## 3.1 Effect of different extracts of *O. sanctum* on biochemical parameters in rats

The present study showed that analyzed biochemical markers, which included AST, ALT, ALP, and TBARS Figures 1A-D respectively, were found to be considerably higher in galactosamine-treated rat compared to the normal control group (p < 0.01) There was also a substantial drop in SOD, CAT, and glutathione Figures 2A–C respectively levels in the tissue (p > 0.05). Hydroalcoholic extract was found to be more effective than ethanolic extracts. Figures 1, 2 shows the effect of alcoholic, hydroalcoholic and silymarin pretreatment on biochemical parameters of galactosamine-intoxicated rats. Previous study, only O. sanctum extract has been reported to hepatoprotective activity against paracetamol-induced liver damage in Albino rats (Lahon and Das, 2011). The hepatoprotective and antioxidant activities of the crude fractions of the endophytic fungi of O. sanctum in rats were reported by Shukla et al. (2012) revealed that only crude extracts are responsible for activity but did not isolate active ingredients. Present investigation, the hepatoprotection may be attributable to numerous bioactive active moieties discovered in high concentrations in the hydroalcoholic extract of O. sanctum. Such moieties include bioactive compounds were simultaneously quantified using HPTLC. Bioactive active moieties act as potent free radical scavenging ability of hydroalcoholic extract provides



Effects of alcoholic and hydroalcoholic extracts (at two different doses of 100 and 200 mg/kg body weight, administered orally for 7 days) and standard silymarin on antioxidant parameters such as SOD or Superoxide dismutase (A), GSH or Glutathione (B), and CAT or catalase (C) of the liver on oxidative stress induced by galactosamine. GalN was administered intraperitoneally on the eighth day as a pretreatment. Each value represents means  $\pm$  standard error of the mean. ns p > 0.05 (non-significant), \*p < 0.05 (significant), \*p < 0.05 (more significant).

evidence for the role of tannins and flavonoids as potential secondary metabolite responsible for hepatoprotection (Li et al., 2018). Their action may be mediated by preventing liver injury by stopping the development of lipid peroxides and inhibiting oxidative mechanisms that contribute to hepatocyte degeneration (Cichoż-Lach and Michalak, 2014).

#### 3.2 Histopathological observation

Following histology, the normal control animals' livers had a well-defined central vein, a well-preserved cytoplasm, and a conspicuous nucleus and nucleolus. The galactosamine-treated animals' liver sections revealed severely toxic liver cells that had necrotic liver cells, focal hemorrhages in the periportal region, inflammatory cell collection, and distributed inflammation throughout the liver parenchyma. The hepatic cells with a wellpreserved cytoplasm revealed that the O. sanctum hydroalcoholic extracts appeared to be more significant than the other extracts for the avoidance of galactosamine toxicity. Silymarin also defended against the hepatic alterations induced by galactosamine. Further, the hepatotoxic galactosamine-treated rats' liver sections underwent a histological analysis, which revealed a clear inflammatory cell infiltration around the portal triad. In the periportal region, it was found that there were necrotic liver cells and localized bleeding. However, the absence of necrosis and the development of normal hepatic cells in the liver sections of the rats given silymarin and the alcoholic and hydroalcoholic extracts of O. sanctum in various groups indicated signals of protection at a significant level.

Figure 3 shows a histopathologic segment of liver (400X) stained with hematoxylin and eosin to reveal the cell nucleus and cytoplasm with extracellular matrix in purple blue and pink colours, respectively.

## 3.3 Metabolic fingerprinting of faded extract serum by GC-MS

The immunomodulatory activity of crude extract in the previous investigation by Bochu et al. (2005) was not significantly affected; however, crude extract metabolites exhibited immunomodulatory action than crude extract. In the current investigation, a thorough analysis was done with reference to Bochuet et al. Rat feeding trials were done with various quantities of aqueous-alcoholic (50%) extracts of medicinal plants (100 and 200 mg/kg, b/w). The serum metabolites were initially analyzed using HPTLC. No distinct separation/peak was observed, which indicated that the serum was a mixture of compounds. Subsequently, the serum was analyzed using GC-MS. The serum metabolites from the extractfed rats were identified using GC-MS, which were found to be fatty and aliphatic compounds. The process of identifying bioactive components was based on comparing the recorded mass spectra to those received from the Wiley/NIST collection. Metabolite serum, which is described in Table 1, characterized 20 different components in total. A literature review revealed that the discovered serum metabolites had no significant impact on hepatoprotective activity, thus we concentrated primarily on the ethyl acetate fraction, which

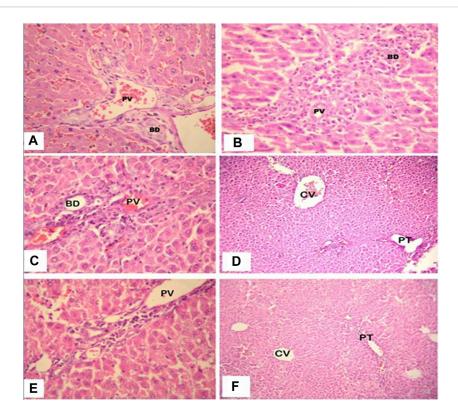


FIGURE 3
High power photomicrograph (400x) of liver section, the control group (A) shows normal hepatic architecture; in the galactosamine-induced necrotic liver cells and focal hemorrhages (B); in the alcoholic extracts plus galactosamine (C,D), there is moderate inflammatory cell infiltration; and in the hydroalcoholic extracts plus galactosamine (E,F), there is only mild inflammatory cell infiltration.

contained the most significant bioactive molecule for hepatoprotection.

## 3.4 HPTLC quantification of bioactive compounds in ethyl acetate fraction

#### 3.4.1 Development of the perfect mobile phase

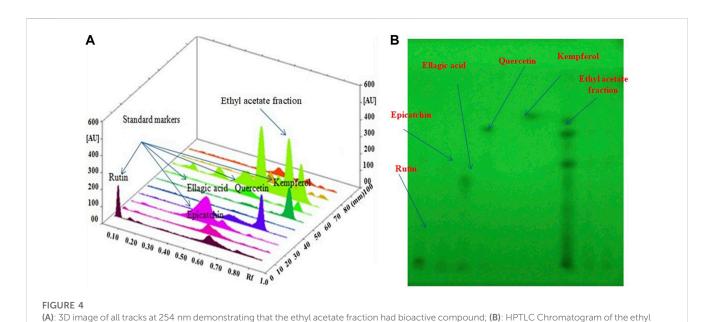
For the simultaneous estimation of bioactive compounds, different proportions of toluene, ethyl acetate such as toluene/ethyl acetate (3:0 v/v), toluene/ethyl acetate (5:5 v/v), toluene/ethyl acetate (7:3 v/v), toluene/ethyl acetate/methanol (7:2.8:0.2 v/v/v), toluene/ ethyl acetate/formic acid (7:2.5:0.5 v/v/v) and toluene/ethyl acetate/ formic acid (5:4:1 v/v/v) were evaluated as the solvent systems for the development of a suitable band. All investigated solvent systems were developed under chamber saturation conditions. From the obtained results, it was observed that the solvent systems toluene/ethyl acetate (3:7 v/v), toluene/ethyl acetate (5:5 v/v), toluene/ethyl acetate (7:3 v/v), toluene/ethyl acetate/methanol (7:2.8:0.2 v/v/v), toluene/ethyl acetate/ formic acid (7:2.5:0.5 v/v/v) offered the poor densitometry peaks of bioactive compounds with tailing factor. However, when the toluene/ ethyl acetate/formic acid (5:4:1v/v/v) was studied, it was observed that this solvent system offered a well-separated and intact chromatographic peak of rutin at R<sub>f</sub> = 0.08, ellagic acid at R<sub>f</sub> = 0.55, quercetin at  $R_f = 0.62$ , kaempferol at  $R_f = 0.67$  and epicatechin at  $R_f = 0.57$  respectively. The typical band of the bioactive compounds and ethyl acetate fraction of O. sanctum was scanned at 254 nm (Figure 4). Vasilisa et al. (2020) also established the mobile phase mixture of diethyl ether, formic acid, acetic acid, water, acetophenone and heptane (30:3:9:50:30:10) (v/v/v/v/v) (Pedan et al., 2020). Ilyas et al. (2015) reported a solvent mixture of toluene: ethyl acetate, formic acid and methanol (3:3:0.8:0.2) (v/v/v/v) respectively, for simultaneous quantification of bioactive compounds (Ilyas et al., 2015). Bioactive compounds are naturally occurring substances with the potential to protect the liver from damage and act as antioxidants (Kamyab and Eshraghian, 2013; Saha et al., 2019). The hepatoprotective and antioxidant potential of bioactive compounds may be due to their capability to normalize impaired membrane function (De Oliveira e Silva et al., 2012).

### 3.5 Hepatoprotective activity of fractionated extracts of *O. sanctum*

Harsha et al. (2020) investigated cytotoxicity assay of *O. sanctum* extract on leukemic cell lines: A preliminary *in-vitro* study. We are looking into the cytotoxicity of extracts against Chang liver cells. Drugs concentrations ranging from 100 to 1000 μg/ml were used to evaluate the percentage growth inhibition of the drugs on cell lines. The drug sample exhibited a CTC50 value greater than 1000 μg/ml (concentration required to inhibit viability by 50%). We assessed the hepatoprotective activity of several *O. sanctum* fractions based on polarity and studied the activity in each phase (hexane, chloroform, and ethyl acetate) as well as against CCl4-induced hepatotoxicity. The

TABLE 1 Identification of serum metabolites of crude extract by GC-MS.

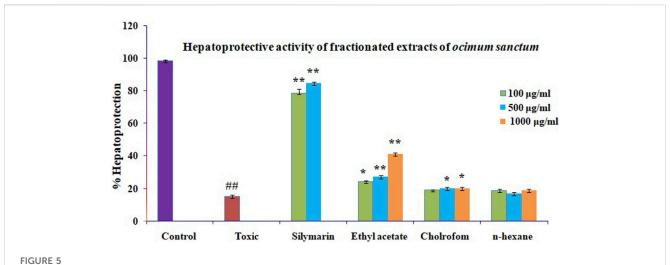
	R.T.	Area	Compound name		R.T.	Area	Compound name	
1	11.733	0.65	1-tetradecene	21	25.176	1.83	Linoleic-9-Octadecyne	
2	14.397	7.16	2,4-Di-tert-butylphenol	22	25.255	3.47	trans-13-Octadecenoic acid	
3	14.681	0.86	Benzoic acid, 4-ethoxy-, ethyl ester	23	25.587	2.65	Octadecanoic acid	
4	15.854	3.01	1-Hexadecene	24	25.926	0.72	Docosyl acetate	
5	18.379	0.45	Methyl tetradecanoate	25	26.953	1.31	Methyl arachidonate	
6	19.116	1.54	Tetradecanoic acid	26	27.617	0.41	2-Monoolein	
7	19.545	3.08	1-Octadecene	27	29.079	0.5	N-Allylphthalimide	
8	20.965	0.83	Butyl octyl phthalate	28	29.394	0.27	Cis-4-methyl-exo-tricyclo[5.2.1.0(2.6)]decane	
9	21.744	0.52	Dibutyl phthalate	29	30.33	2.06	1-Monopalmitin	
10	21.835	6.17	Hexadecanoic acid	30	32.904	1.1	1-Monostearin	
11	21.938	0.34	2-Hexyl-3,5-dinotrobenzonitrile	31	33.2	7.66	1-Benzylidene-5,6-butano-7-azaindane	
12	22.198	1.53	3,8-Di-tert-butyl-1,10-phenanthroline	32	33.6	1.15	16,17-bis(trimethylsilyloxy)androsta-1,4-diene-3-methyloxime	
13	22.53	15.56	Elaol	33	34.1	0.79	Phthalic acid, isopropyl pentyl ester	
14	22.729	0.3	l-(+)-Ascorbic acid 2,6-dihexadecanoate	34	34.2	0.44	Bis(7-methyloctyl) phthalate	
15	22.88	2.59	Tetradecyl heptafluorobutyrate	35	34.4	0.57	Phthalic acid, butyl isopropyl ester	
16	24.028	0.6	Butyl phthalate	36	34.7	0.37	Phthalic acid, neopentyl 2-propyl ester	
17	24.518	1.73	Linoleic acid methyl ester	37	36.5	0.73	Propenocarbachlorin	
18	24.602	2.95	Elaidic acid methyl ester	38	37.5	16.7	Cholest-5-en-3-ol (3.beta.)	
19	24.693	0.76	Methyl elaidate	39	38.0	0.92	Perhydro-htx-2-one, 2-depentylacetate ester	
20	24.983	3.24	Methyl stearate					



CCIA treatment of Chang liver cells resulted in a considerable drop in cell viability. When compared to the other fractions, treatment with the ethyl acetate soluble fractions showed more significant dose-dependent

protection against cell damage brought on by CCL4 exposure. The results are presented using the formula mean standard error mean (S.E.M.). Figure 5 shows the percentage of protection graphically.

acetate fraction contained bioactive compound at 254 nm in the solvent system: a combination mixture of formic acid: ethyl acetate:toluene (1:4:5 v/v/v).



Effect of different fractions on toxicity caused by GlaN in Chang liver cells. Before being treated with GalN (40 mM) for 2 h, Chang liver cells were first incubated for 2 h in the presence or absence of extracts and their metabolites. The cells were then prepared for the MTT experiment. The findings are presented as mean S.E.M. Compared to the GalN (40 mM) control, ns p > 0.05, \*\*p < 0.05, and \*p < 0.05 are significant.

TABLE 2 Solvent combinations and Rf value of column eluents of ethyl acetate fraction of O. sanctum.

Tracks	Solvent combination	R <sub>f</sub>	Tracks	Solvent combination	R <sub>f</sub>
01	Gallic acid	0.52	20	Toluene + 25% ethyl acetate-1	0.62
02	Ellagic acid	0.55	21	Toluene + 25% ethyl acetate-2	0.52, 0.63, 0.74
03	Kaempferol	0.81	22	Toluene + 25% ethyl acetate-3	0.54
04	Quercetin	0.73	23	Toluene + 50% ethyl acetate-1	0.34, 0.43, 0.58, 0.77
05	Rutin	0.08	24	Toluene + 50% ethyl acetate-2	0.31, 0.45, 0.54,0.63
06	Epicatechin	0.50	25	Toluene + 50% ethyl acetate-3	0.31, 9.37, 0.45, 0.48, 0.54, 0.57, 0.62, 0.68, 0.73
07	Catechin	0.54	26	Toluene + 50% ethyl acetate-4	0.31, 0.38, 0.43, 0.57, 0.71
09	Caffeic acid	0.63	28	Toluene + 75% ethyl acetate-1	0.09, 0.32, <b>0.50</b> , <b>0.57</b>
10	Ursolic acid	Nil	29	Toluene + 75% ethyl acetate-2	0.81
11	100%Toluene-1	0.81	30	Toluene + 75% ethyl acetate-3	0.1, 0.18, <b>0.23</b> , 0.28, 0.38, 0.55
12	100% Toluene-2	0.76	31	100% ethyl acetate	0.08, 0.53
13	10%Toluene-	0.67	32	5%methanol + ethyl acetate	_
14	10%Toluene-2	0.68, 0.76	33	15%methanol + ethyl acetate	_
15	Toluene + 10% ethyl acetate	0.77, 0.61	34	25% methanol + ethyl acetate-1	0.10, 0.30, 0.48, <b>0.52</b> , 0.61
16	Toluene + 10% ethyl acetate	0.61, 0.69, 0.78	35	25%methanol + ethyl acetate-2	0.06
17	Toluene + 10% ethyl acetate	0.73	36	25%methanol + ethyl acetate-3	0.32, 0.63
18	Toluene + 10% ethyl acetate	0.73	37	25%methanol + ethyl acetate-4	_
19	Toluene + 20% ethyl acetate	0.73, 0.81	38	50%methanol + ethyl acetate-	0.63

The following were used to identify the standards (1–10): Track No. 31 (100 percent ethyl acetate elute) was connected to ellagic acid (RF, value: 0.53); Track No. 13 (10% ethyl acetate in toluene) was connected to kaempferol (RF, value: 0.67); Track No. 20 (25% ethyl acetate in toluene elute) and quercetin (Rf value: 0.62) were identified; Track No. 28 (75% ethyl acetate in toluene elute) was paired with epicatechin (RF, value: 0.57); and rutin corresponded to Track No. 5 (Toluene + 50% ethyl acetate-2, elute), with an Rf value of 0.08.

Rf value was shown by bold values. Ethyl acetate fraction contained active substances and standard biomarkers were matched.

## 3.6 Vacuum liquid chromatography of potent ethyl acetate fraction

The ethyl acetate fraction of *O. sanctum* was used to perform vacuum liquid chromatography, yielding twenty-eight column

eluents. HPTLC was used to evaluate these column eluents in order to identify bioactive compound from the ethyl acetate fraction of *O. sanctum* (Table 2). The different components contained in the eluents were detected by spraying with NP reagents and then comparing the Rf value to the standards

(Gallic acid, ellagic acid, Kaemferol, quercetin, rutin, epicatechin, ursolic acid and catechin). These bioactive compounds are responsible for a variety of biological functions, including anticancer (Horcajada-Molteni et al., 2000), anti-oxidant (Afanas'ev et al., 1995), chemopreventive (Araujo et al., 2011), and immunosuppressive (Yu et al., 2011). We selected the most powerful bioactive molecule for hepatoprotection in the current investigation and subsequently isolated active moieties.

## 3.7 Hepatoprotective activity of column eluents of ethyl acetate fractions

The hepatoprotective activity of the ten ethyl acetate column eluents previously mentioned against CCL4-induced cytotoxicity was examined by pre-incubating the cells with or without the ethyl acetate fractions or silymarin. The Chang liver cells exposed to CCl4 saw a significant reduction in cell viability. The mean standard error means (S.E.M.) formula was used to present the results. The proportion of protection is graphically shown in Figure 6. The elute from the ethyl acetate (100%) column showed that ellagic acid has significant hepatoprotective effects, followed by 75% ethyl acetate elutes (catechin and epicatechin), 50% ethyl acetate (rutin), 25% ethyl acetate (quercetin and kaempferol), and 25% methanol (caffeic acid), when compared with standard silymarin.

## 3.8 Hepatoprotective activity of isolated polyphenols

The mentioned bioactive compounds were examined for hepatoprotective effectiveness against CCl4-induced cytotoxicity by pre-incubating the cells with or without the silymarin or extracts. The Chang liver cells' viability significantly decreased after CCL4 therapy. The results are displayed using the mean standard error mean formula (S.E.M.). The significant high hepatoprotection provided by standard silymarin ranged from 77.6% at 100  $\mu g/ml$  to 83.95% at 200  $\mu g/ml$ , purified ellagic acid ranged from 70% at 100  $\mu g/ml$  to 81.33% at 200  $\mu g/ml$ , purified rutin ranged from 63.4% at 100  $\mu g/ml$  to 76.34% at 200  $\mu g/ml$  purified quercetin ranged from 54.33% at 100  $\mu g/ml$  to 60.64% at 200  $\mu g/ml$ , purified catechin ranged from 53.22% at 100  $\mu g/ml$  to 65.6% at 200  $\mu g/ml$ , and purified kaempferol ranged from 52.17% at 100  $\mu g/ml$  to 60.34% at 200  $\mu g/ml$ , as shown in Figure 7.

#### 4 Conclusion

The recommended HPTLC method is unique, as it documents the first time that bioactive compounds in O. sanctum (Linn) were identified and quantified using a single solvent system. Feeding experiments were carried out with various concentrations (100 and 200 mg/kg, b w) of alcoholic and aqueous-alcoholic extracts (50%) of selected medicinal plants, and the identification of serum metabolites from the extract-fed rats was carried out using GC-MS. It might be concluded that the metabolites of the serum extract contain a lot of fatty and aliphatic compounds not responsible for hepatoprotective activity. The impact of pretreatment with the alcoholic and hydroalcoholic extracts of O. sanctum and silymarin on the biochemical parameters of the rats' given galactosamine intoxication was examined. According to the hydroalcoholic extract had a greater findings, the hepatoprotective effect than the alcoholic extracts. The hydroalcoholic extract was fractionated into petroleum ether, ethyl acetate, chloroform, and water based on polarity. O.

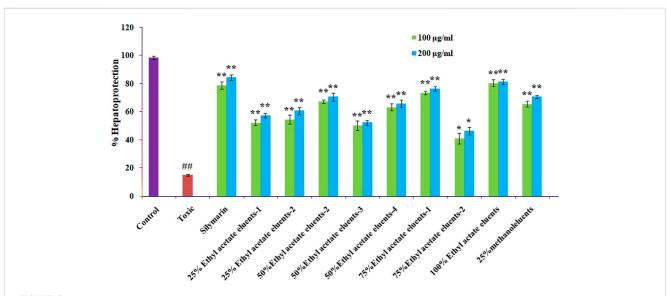
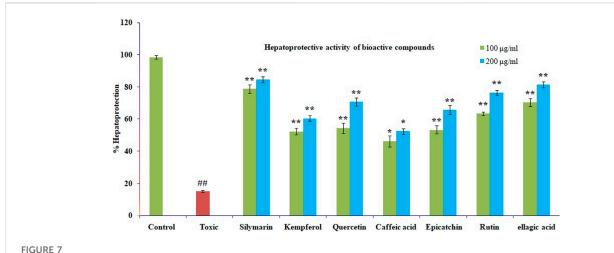


FIGURE 6
Effect of different columns eluting O. sanctum's ethyl acetate on CCl4-induced toxicity in Chang liver cells. Prior to being treated with CCl4 for 2 h, Chang liver cells were cultured for 2 h in the presence or absence of various columns elutes of O. sanctum's ethyl acetate. The cells were then prepared for the MTT experiment. The findings are presented as mean S.E.M. Compared to the CCL4 control, ns p > 0.05, \*\*p < 0.01, and \*p < 0.05 are significant.



The impact of several isolated bioactive compounds on CCl4-induced toxicity in Chang liver cells. Chang liver cells were treated with CCl4 for 2 h after being cultured for 2 h with various substances in either their presence or absence. The cells were then prepared for the MTT experiment. In comparison to the CCl4 control, the data are expressed as mean S.E.M. with ns p > 0.05, \*\*p < 0.01, and \*p < 0.05 being significant.

sanctum's ethyl acetate fraction had more hepatoprotective effects than the other fractions. Hence, it was concluded that ethyl acetate is the best fraction for vacuum liquid chromatography. Our research reveals that bioactive compounds extracted from O. sanctum provide significant protective benefits against hepatotoxicity caused by galactosamine. This might be a result of the antioxidant and membrane-stabilizing properties of these chemicals. The results show that it is a source of structurally unique bioactive compounds, which may offer possibilities for the creation of novel semi-synthetic molecules for more current indications.

#### Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

#### Ethics statement

The animal study was approved by the Jamia Hamdard, Hamdard University, New Delhi, India. The study was conducted in accordance with the local legislation and institutional requirements.

#### **Author contributions**

FK: Formal analysis, software, investigation, writing—reviewing. ShK: Software, investigation, writing—reviewing and editing; DB

and HA: Investigation, reviewing and editing. SaK: Project administration, reviewing, and funding acquisition. UI: Conceptualization, methodology, supervision, writing—original draft preparation, writing—reviewing and editing. All authors contributed to the article and approved the submitted version.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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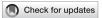
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## Potential antioxidative and anti-hyperuricemic components in *Rodgersia podophylla* A. Gray revealed by bio-affinity ultrafiltration with SOD and XOD

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Rodgersia podophylla A. Gray (R. podophylla) is a traditional Chinese medicine with various pharmacological effects. However, its antioxidant and antihyperuricemia components and mechanisms of action have not been explored yet. In this study, we first assessed the antioxidant potential of R. podophylla with 2,2-diphenyl-1-picrylhydrazyl (DPPH), 2,2'-azino-bis(3-ethylbenzothiazoline-6sulfonic acid) (ABTS) and ferric ion reducing antioxidant power (FRAP) assays. The results suggested that the ethyl acetate (EA) fraction of R. podophylla not only exhibited the strongest DPPH, ABTS radical scavenging and ferric-reducing activities, but also possessed the highest total phenolic and total flavonoid contents among the five fractions. After that, the potential superoxide dismutase (SOD) and xanthine oxidase (XOD) ligands from the EA fraction were quickly screened and identified through the bio-affinity ultrafiltration liquid chromatography-mass spectrometry (UF-LC-MS). Accordingly, norbergenin, catechin, procyanidin B2, 4-O-galloylbergenin, 11-O-galloylbergenin, and gallic acid were considered to be potential SOD ligands, while gallic acid, 11-Ogalloylbergenin, catechin, bergenin, and procyanidin B2 were recognized as potential XOD ligands, respectively. Moreover, these six ligands effectively interacted with SOD in molecular docking simulation, with binding energies (BEs) ranging from -6.85 to -4.67 kcal/mol, and the inhibition constants (Ki) from 9.51 to 379.44  $\mu$ M, which were better than the positive controls. Particularly, catechin exhibited a robust binding affinity towards XOD, with a BE value of -8.54 kcal/mol and Ki value of 0.55 μM, which surpassed the positive controls. In conclusion, our study revealed that R. podophylla possessed remarkable antioxidant and anti-hyperuricemia activities and that the UF-LC-MS method is suitable for screening potential ligands for SOD and XOD from medicinal plants.

#### KEYWORDS

Rodgersia podophylla, UF-LC-MS, antioxidative, anti-hyperuricemic, superoxide dismutase, xanthine oxidase

Liang et al. 10.3389/fphar.2023.1298049

#### 1 Introduction

Rodgersia podophylla A. Gray (R. podophylla), a member of the Saxifragaceae family, is primarily found in the Hubei, Sichuan, Yunnan, and Tibet of China. It grows in shady and wet places such as undergrowth, shrubland, meadows, and rock crevices, at altitudes range of 1,100-3,400 m (Zhang et al., 2005). The rhizome of R. podophylla is used as a traditional Chinese medicine and is known by several trade names, including Suogudan and Yantuo (Zhang et al., 2015). It has been used to treat enteritis, dysentery, dysmenorrhea, menorrhagia, rheumatoid arthritis, bruises, traumatic bleeding, and scrotal eczema (Yan et al., 2017). Modern pharmacological studies also indicated that R. podophylla exhibited antioxidant, antibacterial, immune-enhancing, hepatoprotective, antimalarial, anticancer, and anti-inflammatory properties (Xie et al., 2022). Researchers have recently conducted extensive studies on its chemical components and revealed to contain various chemical classes, such as phenylpropanoids, flavonoids, terpenes and their derivatives, steroids, and organic acids and their derivatives (Zhang et al., 2005; Xie et al., 2022).

Reactive oxygen species (ROS) are cellular metabolites, and are normally classified into two categories: free radicals, such as superoxide anion radicals (O2 •-), and non-free radical species, such as hydrogen peroxide (Tomczyk and Malgorzata, 2021). ROS plays a crucial role in many important life processes in the human body, including cell growth (Brillo et al., 2021), proliferation, differentiation (Cheung and Vousden, 2022; Oka et al., 2022), energy supply (Yang and Lian, 2020), health, and aging (Alexey et al., 2020). However, excessive ROS in the body might expose cells to oxidative stress to inflict damage upon multiple organs, leading to a variety of health issues (Payne et al., 2013). To prevent organ damage from excess ROS, cells develop a protective system associated with redox enzymes, such as superoxide dismutase (SOD) and xanthine oxidase (XOD). Thereinto, SOD catalyzes the conversion of  $O_2^{\bullet-}$  to  $H_2O_2$  and oxygen  $(O_2)$  in the presence of transition metal ions, while H<sub>2</sub>O<sub>2</sub> can be further decomposed into hydroxyl radicals (·OH) and hydroxide ions (OH-) (Zhuang et al., 2021). XOD is a flavoproteinase that catalyzes the production of uric acid and superoxide anion (O2 •-) from xanthines and hypoxanthines (Chung et al., 1997). Excess uric acid and O2°produced by XOD metabolism are closely associated with hyperuricemia (Liu et al., 2021), gout (Wang et al., 2020), hepatitis (Chung and Yu, 2000), cancer (Chung et al., 1997; Mi et al., 2020), aging, cardiovascular disease (Yu and Cheng, 2020), and chronic obstructive pulmonary disease (Rumora et al., 2020). Therefore, SOD and XOD are the potential targets for the treatment of oxidative stress injury-related diseases in humans.

At present, *R. podophylla* has been reported to exert arrestive antioxidative (Kim et al., 2020; Pyo et al., 2020) and antihyperuricemic activities (Wang et al., 2012), but the specific components responsible for these effects have not been revealed. Bio-affinity ultrafiltration liquid chromatography-mass spectrometry (UF-LC-MS) has been widely used to screen and identify potential ligands for biotarget enzymes from complex samples (Chen et al., 2018; Fan et al., 2022). Therefore, it is feasible to use SOD and XOD as target enzymes to screen potential bioactive compounds in *R. podophylla* that may be effective against diseases caused by oxidative damage and hyperuricemia.

In this case, due to the complex and diverse chemical compositions of R. podophylla, it is necessary to first screen and select its active fraction. To accomplish this, the antioxidative capacities of different solvent extracts of R. podophylla were DPPH (2,2-diphenyl-1evaluated using three assays: picrylhydrazyl), ABTS (2,2'-azinobis-(3-ethylbenzthiazoline-6sulfonic acid)), and FRAP (ferric-reducing antioxidant power). The extracts included crude extract (CE), n-hexane (n-Hex), dichloromethane (DCM), ethyl acetate (EA), and water (H2O) fractions. At the same time, the total phenolic (TPC) and total flavonoid content (TFC) in different fractions of R. podophylla were detected to reveal their correlations with antioxidant activity. Then, the potential SOD and XOD ligands within the EA fraction were fast fished out with the UF-LC-MS method. Finally, molecular docking, along with the XOD inhibition assays in vitro, were employed to explain the interactions between the active components and the target enzymes. Consequently, this work provided a valuable reference for the development and utilization of R. podophylla as a natural antioxidant and anti-hyperuricemia drug or health supplement.

#### 2 Materials and methods

## 2.1 Plant materials preparation and extraction

The rhizome of *R. podophylla* was collected in Xintang Township, Enshi City, Hubei Province, China, and was identified by Guangwan Hu, a senior taxonomist from the Key Laboratory of Plant Germplasm Enhancement and Specialty Agriculture (Wuhan Botanical Garden), Chinese Academy of Sciences. The voucher specimen (No. 20220705) was preserved in the herbarium of the Key Laboratory of Plant Germplasm Enhancement and Specialty Agriculture.

The dry, crushed rhizome (20 g) was soaked overnight in 200 mL of 50% ethanol at room temperature, then extracted using ultrasound for 50 min. This process was repeated three times, and the filtrate was collected. The filtrate was then concentrated using a rotary evaporator, and dried using a vacuum freeze dryer to obtain the crude extract of *R. podophylla* (CE, 4.32 g). 2 g of CE was dissolved in 100 mL of water and extracted consecutively with n-hexane (n-Hex,  $100 \times 3$  mL), dichloromethane (DCM,  $100 \times 3$  mL), and ethyl acetate (EA,  $100 \times 3$  mL) to obtain n-Hex (24.3 mg), DCM (15.10 mg), EA (398.40 mg), and water (H<sub>2</sub>O, 75.15 mg) fractions, respectively. The obtained samples were stored in a sealed container and kept in a refrigerator at 4 °C for future use.

#### 2.2 Chemicals and reagents

The chemical standards of gallic acid, bergenin, procyanidin B2 and catechin (Purity ≥98.0%) were bought from Chengdu Alfa Biotechnology Co., Ltd (Chengdu, China). Rutin was purchased from J&K Scientific Ltd. (Beijing, China), Folin-Ciocalteu reagent, 1,3,5-tri (2-pyridyl)-2,4,6-triazine (TPTZ), 2,2'-azinobis-(3-ethylbenzthiazoline-6-sulfonic acid) (ABTS), and ascorbic acid

(vitamin C, Vc) were purchased from Sigma-Aldrich Corp (Shanghai, China). The acetonitrile (ACN) and methanol of HPLC grade were supplied by TEDIA Company Inc (Fairfield, OH, United States). All other analytical solvents and chemicals were purchased from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Superoxide dismutase (SOD) and xanthine oxidase (XOD) were bought from Shanghai Yuanye Bio-Technology Co., Ltd. (Shanghai, China). Ultrafiltration membranes (0.5 mL, 30 kDa) were purchased from Millipore Co. Ltd. (Bedford, MA, United States). Water (ultrapure grade) for HPLC and HPLC-UV-ESI-MS/MS analyses was prepared with EPED (Nanjing EPED Technology Development Co., Ltd. Nanjing, China).

### 2.3 Instruments

HPLC-UV/ESI-MS/MS was conducted with a Thermo Accela 600 series HPLC system coupled with a TSQ Quantum Access MAX mass spectrometer (Thermo Fisher Scientific, San Jose, CA, United States). As for the HPLC analysis, an Agilent 1220 LC (Santa Clara, CA, United States) with a RP-C18 column (Waters Symmetry RP-C18, 4.6 mm  $\times$  250 mm, 5  $\mu$ m) was applied, and the UV absorbance was recorded by UV/VIS Spectrophotometer (UV1100, Shanghai, China). Centrifugation of samples was carried out by low-temperature high-speed centrifuge (Centrifuge 5810R, Eppendorf, Germany).

# 2.4 Determinations of antioxidant activity of *R. podophylla*

# 2.4.1 DPPH free radical scavenging activity

The DPPH radical scavenging activity of *R. podophylla* samples was determined based on a previously reported study by Muema et al. (Muema et al., 2022). Briefly, 10  $\mu L$  of sample or positive control solution (Vc, 46.875–3,000  $\mu M$ ) was mixed with 190  $\mu L$  DPPH (100  $\mu M$ ) in a 96-well plate. The mixture was then incubated for 30 min in the dark at room temperature. The absorbance at 517 nm was measured with a multifunctional microplate reader. Methanol was used as a blank control, and all samples and control were tested in triplicate (n = 3). The DPPH radical scavenging activity was calculated using the formula:

scavenging effect (%) = 
$$\frac{A_c - A_s}{A_c} \times 100\%$$

where  $A_c$  and  $A_s$  represent the absorbance values of the blank control and the tested sample or positive control, respectively. The  $IC_{50}$  value represents the concentration of the tested sample or positive control when the inhibition rate of DPPH radicals is 50%.

# 2.4.2 ABTS free radical scavenging activity

The ABTS free radical scavenging activity of different *R. podophylla* extracts was detected using a modified method described by Fan et al. (Fan et al., 2022). An ABTS solution (7 mM aqueous solution) was mixed with potassium persulfate (4.9 mM aqueous solution) in equal volumes (v/v) and allowed to react in the dark for 12–16 h to create a working solution (ABTS<sup>+</sup>).

The ABTS<sup>+</sup> solution was then diluted with methanol to ensure that its absorbance at 734 nm was approximately 0.700  $\pm$  0.100. Next, 190  $\mu L$  of the ABTS<sup>+</sup> solution was mixed with 10  $\mu L$  of the sample and the absorbance was measured at 734 nm after incubating in the dark for 30 min. Methanol and Vc (31.25–1,000  $\mu M$ ) were used as blank and positive controls, respectively. The calculation of ABTS scavenging activity results followed the previous format in DPPH part.

# 2.4.3 Ferric-ion-reducing antioxidant power assay (FRAP)

The determination of ferric-iron-reducing antioxidant power (FRAP) was performed using a slightly modified method described by Xu et al. (Xu et al., 2019). The FRAP reagent (Fe³+-TPTZ solution) containing 20 mM FeCl₃.6H₂O, 10 mM TPTZ, and 300 mM acetate buffer (3.1 g C₂H₃NaO₂.3H₂O and 16 mL C₂H₄O₂, pH 3.6) was prepared in a ratio of 1:1:10 (v/v/v) and stored at 37°C. Next, 10  $\mu$ L of appropriately diluted sample, 30  $\mu$ L of ultrapure water, and 260  $\mu$ L of fresh Fe³+-TPTZ solution were added to a 96-well plate and incubated at 37°C for 10 min. The absorbance of the mixture at 593 nm was then measured using the multifunctional microplate reader, with three replicates per sample. FeSO₄.7H₂O (62.5, 125, 250, 500, 1,000, and 2000  $\mu$ M) was used as a standard to establish a calibration curve, and FRAP activity was expressed as the equivalent mM Fe²+ per Gram of sample (mM Fe²+/g sample).

# 2.5 Determination of phenolic constituents

# 2.5.1 Determination of total phenolic content (TPC)

TPC was measured using the Folin-Ciocalteu method reported by Fan et al. (Fan et al., 2022). The reaction system was set up by sequentially adding 20  $\mu L$  of the diluted sample, 20  $\mu L$  of Folin-Ciocalteu reagent (diluted with pure water to 25%, v/v), 100  $\mu L$  of sodium carbonate (Na<sub>2</sub>CO<sub>3</sub>, 1 M), and 20  $\mu L$  of ultrapure water. The reaction was allowed to proceed for 1 h at room temperature in the dark. The absorbance was then recorded at 760 nm. Gallic acid solution (5–40  $\mu g/mL$ ) was used as a standard to establish a calibration curve. Results for TPC were expressed as milligrams of gallic acid equivalents per Gram of dry sample (mg GAE/g sample).

# 2.5.2 Determination of total flavonoid content (TFC)

The TFC of *R. podophylla* ethanol extract and different fractions was determined using a slightly modified method described by Chen et al. (Chen et al., 2020a). A 96-well plate was prepared by adding 20  $\mu L$  of sample solution and 10  $\mu L$  of sodium nitrite solution (NaNO2, 5%, w/v) and incubated at room temperature for 6 min. Next, 12  $\mu L$  of aluminum nitrate (Al(NO3)3, 10%, w/v) was added and incubated for an additional 6 min. Finally, 120  $\mu L$  of sodium hydroxide solution (NaOH, 4%, w/v) and 58  $\mu L$  of methanol solution were added, and the reaction was allowed to proceed for 15 min before measuring the absorbance at 510 nm. Rutin was used as the standard, and the results for TFC are expressed as milligrams of rutin equivalents per Gram of dry sample (mg RE/g sample).

# 2.6 Sample preparation and screening of the potential ligands of SOD and XOD with UF-LC-MS

Based on previous studies (Chen et al., 2020c; Fan et al., 2022), the UF-LC-MS method was applied to screen potential antioxidant components with high binding affinities to SOD and XOD in EA fractions. First, 10 mg of R. podophylla EA fraction was dissolved in 1 mL of Tris-HCl buffer solution (pH = 7.8) and sonicated for 30 min. Next, 180  $\mu$ L of the sample solution was mixed with 20  $\mu$ L of SOD (0.1 U/ $\mu$ L, pH 7.8) or XOD (0.2 U/ $\mu$ L, pH 6.8) and incubated at 37°C for 1 h. The mixed solutions were then transferred to a 30 kD ultrafiltration tube and centrifuged at 10,000 rpm for 10 min. The tube was washed three times with the appropriate PBS buffer to remove non-specifically bound components. Next, 200 µL of methanol (90%, v/v) was added and incubated for 20 min to release the enzyme-bound compounds, followed by centrifugation at 10,000 rpm for 10 min (n = 3). Finally, the ultrafiltrate was collected, dried using a termovap sample concentrator, and redissolved in  $50\,\mu L$  of 90% methanol for further analysis. As a negative control, an equal amount of enzyme was incubated in boiling water (100°C) for 10 min and treated in the same manner as the active enzyme group.

# 2.7 HPLC-UV/ESI-MS/MS analysis

The chemical compositions of the R. podophylla EA fraction were currently analyzed and identified with a Thermo Accela 600 HPLC system in combination with a TSQ Quantum Access MAX MS equipped with an ESI interface. Separation was performed using a Waters Sunfire RP-C18 column (4.6 mm × 250 mm, 5 μm; Waters, Wexford, Ireland). The mobile phases for HPLC elution were 0.1% (v/v) formic acid aqueous solution (A) and ACN (B). The elution conditions were as follows: 1%-5% (B) in 0-5 min, 5%-14% (B) in 5-25 min, and 14%-20% (B) in 25-60 min. The injection volume was 10 μL, the flow rate was 0.8 mL/min, and the wavelength was set at 310 nm (Wang et al., 2012). The ESI-MS/MS analysis was performed in the negative ion mode under the following conditions: the temperatures of vaporiser and capillary were 350 °C and 250 °C, respectively; the gas pressure of sheath gas (nitrogen gas, N2) and axu gas (helium, He) was 40 psi and 10 psi, respectively; the source voltage was 3000 V and the cone voltage and collision energy were 40 V and 10 V, respectively; mass range (m/z) was from 150 to 1,500, spray voltage was 3 kV. MS data (mass range from m/z 100-1,000) was obtained in the full-scan mode. The preliminary identification of compounds in the EA fraction of R. podophylla was carried out by comparing the parent ions, MS fragments, and retention times with the references.

# 2.8 Molecular docking study

The interactions between the ligands and target enzymes were further elucidated through molecular docking. This process was based on previous studies by Fan et al. (Fan et al., 2022; Rakotondrabe et al., 2022) with minor modifications, using software such as AutoDock Tools 1.5.6, PyMOL and the

Discovery Studio 4.1 software. The structures of SOD (PDB 1CBJ) and XOD (PDB 1FIQ) were obtained from the RSCB Protein Database (www.rcsb.org), while the 3D structure of the ligand was downloaded from the Traditional Chinese Medicine Systems Pharmacology Database and Analysis Platform (old. tcmsp-e.com). Next, water molecules and ligand fractions were removed using the PyMOL. Hydrogen atoms were added to proteins and ligands using AutoDock Tools, which was also used to calculate charges and perform other processing of their 3D structures. The coordinates of the active sites of SOD and XOD were (X: 6.640; Y: 23.974; Z: 58.655) and (X: 28.671; Y: 29.977; Z: 101.417) (Fan et al., 2022), respectively. A grid box was centered on the active sites of the receptors, with dimensions of 60 Å  $\times$  60 Å  $\times$ 60 Å. Molecular docking analysis between ligands and receptors was then performed using AutoDock Tools, with 50 independent runs of the genetic algorithm and other default parameters. The docking conformations were ranked according to their energy scores.

# 2.9 Validation of potential ligands activity by XOD inhibition experiment

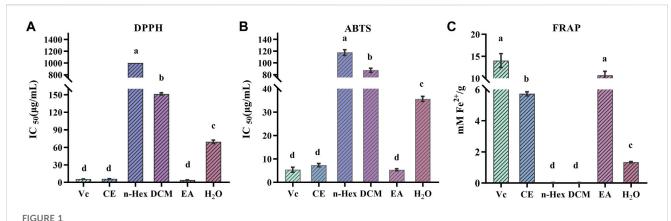
The XOD inhibition experiment was performed using a modified method previously reported by Wang et al. (Wang et al., 2012). In a 96-well plate, 90  $\mu$ L of phosphate buffer (0.1 M, pH = 7.4), 20  $\mu$ L of XOD (0.5 U/mL), and 10  $\mu$ L of sample solution or positive control allopurinol solution dissolved in phosphate buffer were added and incubated at 37 °C for 30 min. Then, 80  $\mu$ L of substrate (xanthine solution dissolved in phosphate buffer, 0.4 mM) was added and the plate was incubated at 37 °C in the dark for 15 min. Absorbance was measured at 295 nm. Each well had a blank control without enzyme and a background control for 100% enzyme activity (only the solvent with the enzyme and the substrate). All samples and controls were tested in triplicate (n = 3). XOD inhibition was calculated as follows:

$$Inhibition~(\%) = \left(1 - \frac{A_{Sample} - A_{Blank}}{A_{Background} - A_{Blank}}\right) \times 100\%$$

where  $A_{Sample}$ ,  $A_{Blank}$  and  $A_{Background}$  are defined as the absorbance of the test sample (with the enzyme), the blank (the test sample without the enzyme) and the 100% enzyme activity (only the solvent with the enzyme and the substrate), respectively. The extent of inhibition was expressed as the concentration of sample needed to inhibit XOD activity by 50% (IC<sub>50</sub>).

# 2.10 Statistical analysis

All data in this work were measured in triplicate and were expressed as mean  $\pm$  standard deviation (SD). The IC<sub>50</sub> values were calculated by plotting the percentages of scavenging activities or inhibition rates against the sample concentrations (six different concentration gradients in triplicate). Statistical analysis was performed using software of SPSS 25.0 (IBM Corp., New York, NY, United States), Origin 2021 (OriginLab Corporation, Northampton, MA, United States) and GraphPad Prism 9.0 (GraphPad Software Inc., San Diego, CA, United States).



Antioxidant activity of n-hexane (n-Hex), dichloromethane (DCM), ethyl acetate (EA),  $H_2O$  and crude extracts (CE) of R. podophylla. (A) the  $IC_{50}$  value of DPPH radical scavenging assay, (B) the  $IC_{50}$  value of ABTS radical scavenging assay, (C) the FRAP assay. Mean values with different letters (A-C) were significantly different at a level of p < 0.05 (n = 3) by DMRT (Duncan's multiple range test).

# 3 Results and discussion

# 3.1 Antioxidant activities of R. podophylla

Due to the multiple ROS scavenging patterns and the complexity of natural phytochemicals, three representative experiments, including DPPH, ABTS, and FRAP, were selected to evaluate and compare the antioxidant activity of different R. podophylla extracts (Fan et al., 2020). As shown in Figure 1, the EA fraction showed the strongest scavenging effect on DPPH and ABTS free radicals compared to the other four fractions, with  $IC_{50}$  values of 3.863  $\pm$  0.34  $\mu g/mL$  and 5.537  $\pm$  0.38  $\mu g/mL$ , respectively. The IC50 values of the positive control Vc were  $5.538 \pm 0.11 \,\mu\text{g/mL}$  and  $5.369 \pm 0.86 \,\mu\text{g/mL}$ , respectively. The EA fraction also showed the strongest ferric iron reduction ability, with a FRAP value of 10.655 ± 0.77 mM Fe<sup>2+</sup>/g, which was no significant difference with Vc (14.024 ± 1.30 mM Fe<sup>2+</sup>/g). This deviated slightly from the findings presented by Zhang (Zhang et al., 2021), which might be related to the total flavonoids in R. aesculifolia (the Rodgersia genus), and exhibited a moderately higher FRAP values compared to Vc. Among the three antioxidant capacity assays, the CE fraction also showed better antioxidant activity, followed by the H2O fraction, while the other extracts (DCM and n-Hex) showed moderate antioxidant capacities. Considering that the EA fraction showed the strongest antioxidant capacity compared to other fractions, this result aligned with Yan's findings (Yan et al., 2017) from the antioxidant activity screening of the Rodgersia aesculifolia, thereof the EA fraction was chosen for subsequent studies.

# 3.2 Total phenolic and flavonoid content

Numerous studies have demonstrated that polyphenols and flavonoids were the primary compounds with antioxidant properties, interacting with free radicals before they attack cells, thereby preventing further cellular damage (Lobo et al., 2010; Sha et al., 2021). Results from free radical scavenging experiments showed that the EA fraction had the

strongest DPPH and ABTS free radical scavenging abilities, indicating that R. podophylla exhibited potential antioxidant activity. To further explore the potential compound types in R. podophylla, the TPC and TFC contents of five samples were determined using the Folin-Ciocalteu method and the aluminum nitrate colorimetric method. As shown in Table 1, the EA fraction displayed the highest TPC of  $70.984 \pm 3.49 \, \text{mg}$  GAE/g, followed by the CE fraction  $(41.970 \pm 4.14 \, \text{mg}$  GAE/g). The lowest TPC was n-Hex fraction at  $13.094 \pm 0.06 \, \text{mg}$  GAE/g.

In addition, the TFC in the EA fraction (1,026.096  $\pm$  8.11 mg RE/g) was also the highest among the five samples, followed by the CE fraction (595.326  $\pm$  4.14 mg RE/g). The lowest TFC was 77.65  $\pm$  0.13 mg RE/g at the  $\rm H_2O$  fraction, which was about 1/13 of the EA fraction. These results further validated our previous findings and provided clues for exploring potential bioactive components in EA fraction with significant antioxidant activity.

# 3.3 Correlation analysis between antioxidant activities and phytochemical components

A comprehensive correlation analysis was executed to thoroughly assess the correlation between the antioxidant activity (DPPH, ABTS, FRAP) of R. podophylla and its TPC and TFC. The results are presented in Table 2. On the one hand, substantial correlations were observed among the three antioxidant activity determination. The Pearson correlation coefficients (R2) between DPPH with ABTS and FRAP were notably high at 0.998 (p < 0.01) and -0.838 (p < 0.05), respectively. Furthermore, the R2 value between ABTS and FRAP was -0.812 (p < 0.05). These findings suggested that these three methodologies were not only reliable but also interchangeable. On the other hand, there were also strong correlations between antioxidant activities and chemical compositions. The FRAP values showed an closely positive correlation with the TPC and TFC at 0.960 (p < 0.01) and 0.991 (p < 0.01), respectively. While the DPPH and ABTS

TABLE 1 Total phenolic and total flavonoid contents of R. podophylla.

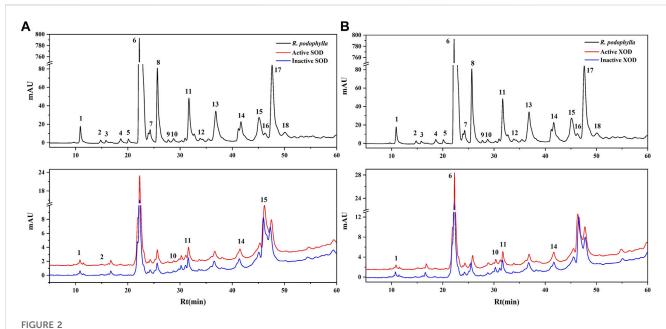
Extracts	TPC(mg GAE/g dw)	TFC(mg RE/g dw)
CE	41.970 ± 4.14 <sup>b</sup>	595.326 ± 4.14 <sup>b</sup>
n-Hex	13.094 ± 0.06 °	99.660 ± 1.96 °
DCM	28.915 ± 1.15 <sup>b</sup>	87.472 ± 0.51 <sup>d</sup>
EA	70.984 ± 3.49 <sup>a</sup>	1,026.096 ± 8.11 <sup>a</sup>
H <sub>2</sub> O	23.442 ± 0.53 <sup>b</sup>	77.650 ± 0.13 <sup>d</sup>

Note: The data were expressed as means  $\pm$  SD, Means labeled by different letters (a-d) were significantly different at a level of p < 0.05 (n = 3) by DMRT (Duncan's multiple range test).

TABLE 2 Pearson correlation coefficients (R2) among the antioxidant activities and phytochemical contents of R. podophylla.

	ABTS	FRAP	TPC	TFC
DPPH	0.998**	-0.838*	-0.795	-0.783
ABTS		-0.812*	-0.772	-0.749
FRAP			0.960**	0.991**
TPC				0.956**

Note: \*and \*\* indicate the correlation is significant at the level of p < 0.05 and p < 0.01, respectively. DPPH, 2,2-diphenyl-1-picrylhydrazyl; ABTS, 2,2'-azino-bis(3-ethylbenzothiazoline-6-sulphonic acid); FRAP, ferric-reducing antioxidant power; TPC, total phenolic content; TFC, total flavonoid content.



HPLC chromatograms of the chemical constituents in the EA fraction of *Rodgersia podophylla* were obtained after ultrafiltration at 310 nm. The black solid line represents HPLC profiles of the EA fraction of *R. podophylla* without ultrafiltration; the red line and blue line represent the total extract of *R. podophylla* with activated and inactivated SOD (A), XOD (B), respectively.

values were highly negative correlated with TPC (the  $R^2$  value at -0.795 and -0.772) and TFC (the  $R^2$  value at -0.783 and -0.749), respectively. The  $R^2$  value between the TPC and TFC was found to be 0.956 (p < 0.01), indicating an exceptionally robust correlation. Synthesizing these findings, it was discerned that polyphenols and flavonoids could potentially be the primary contributors to the antioxidant activity exhibited by R. PODOP = 1.000 PODOP = 1

# 3.4 Screening for SOD and XOD ligands in *R. podophylla* with UF-LC-MS

Investigations have been conducted to explore the antioxidant and anti-hyperuricemic properties of *R. podophylla* through its various extracts (Wang et al., 2012). To further investigate the bioactive constituents that contribute to the antioxidant and anti-hyperuricemic effects in the EA fraction,

TABLE 3 The identification, enrichment factor (EF) and the UF-LC-MS/MS data of potential SOD and XOD ligands screened out from R. podophylla.

Peak NO.	Rt <sup>a</sup> (min)	[M-H] <sup>-</sup>	Characteristic fragment (m/z) Identification		EFs <sup>b</sup> (%)	
					SOD	XOD
1	10.90	169.26	169, 125, 97	Gallic acid <sup>c</sup>	0.54	1.00
2	14.78	313.46	313, 193, 189	Norbergenin <sup>d</sup>	3.35	-
6	22.20	327.20	327.30, 234, 193, 192, 207	Bergenin <sup>c</sup>	-	0.33
10	28.76	577.35	407, 289, 125	Procyanidin B2 <sup>c</sup>	1.28	0.31
11	31.69	289.18	245, 203,151	Catechin <sup>c</sup>	2.33	0.49
14	41.65	479.38	326, 312, 207, 193, 169,125	11-O-Galloylbergenin <sup>d</sup>	0.96	0.55
15	45.12	479.42	327, 313, 207, 193, 169	4-O-Galloylbergenin <sup>d</sup>	1.17	-

aRt, retention time.

SOD and XOD were thus selected as the target enzymes for expeditious screening via bioaffinity ultrafiltration. The ligands of SOD and XOD ascertained through this methodology, might potentially constitute active compounds with antioxidant and anti-hyperuricemic properties. As depicted in Figures 2A, B, the results of the ultrafiltrate analysis via HPLC revealed 6 and 5 peaks, respectively, exhibiting varying degrees of binding capacities to SOD and XOD. In this study, the enrichment factor (EF) was employed to express the affinity between the enzyme and the ligand, calculated using the following formula:

$$EF\ (\%) = \frac{A_1 - A_2}{A_0} \times 100\%$$

Where A<sub>1</sub>, A<sub>2</sub>, and A<sub>0</sub> represent the peak areas of each chromatographic peak from the EA fraction of *R. podophylla* treated with activated, inactivated, and without SOD or XOD, respectively (Yan et al., 2017; Chen et al., 2020c; Feng et al., 2021). If the peak area of the active group exceeds that of the inactive group, it can be inferred that the group may be classified as a potential inhibitor of the target enzyme (Jiao et al., 2019). When utilized to assess the affinity between the active constituents and the enzyme, the EF value denoted the capacity of various components to bind to the target enzyme.

The EF values of potential SOD and XOD ligands in the EA fraction were summarized in Table 3. For SOD, peak 2 displayed the highest EF value (3.35%), followed by peak 11 (2.33%), peak 10 (1.28%), peak 15 (1.17%), peak 14 (0.96%), and peak 1 (0.54%). For XOD, peak 1 exhibited the highest binding force to XOD, with an EF value of 1.00%, followed by peak 14 (0.55%), peak 11 (0.49%), peak 6 (0.33%), and peak 10 (0.31%). It was observed that 6 and 5 components in the HPLC chromatograms incubated with active SOD and XOD in *R. podophylla* exhibited higher peak areas than those of the inactivated control group, respectively. The findings suggested that these constituents exhibited specific binding affinities towards SOD or XOD, and were therefore consid-ered as primary potential ligands for these enzymes.

# 3.5 Identification of SOD and XOD ligands in *R. podophylla* with HPLC-UV/ESI-MS/MS

The predominant peaks of the EA fraction were identified and characterized using HPLC-UV/ESI-MS/MS. The MS and MS/MS data for these peaks, including retention time (RT), deprotonated molecular ion [M-H], and representative MS/MS spectral fragments, were presented in Table 3. Furthermore, the structures of the compounds in the EA fraction of *R. podophylla* were tentatively identified, and some representative components were depicted in Figure 3.

Peak 1 exhibited a deprotonated molecular ion at m/z 169.26 [M-H], with characteristic fragment ions at m/z 125 and 97. Based on its exact mass, fragment ions, and retention time, as well as comparison with the standard, it was identified as gallic acid (Ren et al., 2021). Previous studies also demonstrated that this compound possessed significant antioxidant activity (Badhani et al., 2015; Yan et al., 2017), suggesting that Peak 1 might contribute partly to the antioxidant activity of *R. podophylla*.

Peak 6 displayed a deprotonated molecular ion  $[M-H]^-$  at m/z 327.20, with a formula of  $C_{14}H_{15}O_9$ . Four major fragment ions of m/z 234  $[M-H-C_2H_6O_3-CH_3]^-$ , m/z 207  $[M-H-C_4H_8O_4]^-$ , m/z 193  $[M-H-C_5H_{10}O_4]^-$ , and m/z 192  $[M-H-CH_3-C_4H_8O_4]^-$  were observed in the MS/MS spectrum. By comparison with previous literature and standard, Peak 6 was identified as bergenin (Li et al., 2013).

Peak 2 exhibited a deprotonated molecular ion [M-H]<sup>-</sup> at m/z 313.46. A major fragment ion at m/z 193 [M-H-C<sub>4</sub>H<sub>8</sub>O<sub>4</sub>]<sup>-</sup> was consistent with the fragmentation mechanism of bergenin. Thus, its deprotonated molecular ion [M-H]<sup>-</sup> at m/z 313 represented bergenin with the loss of a methyl. Based on this, Peak 2 was identified as norbergenin (Ren et al., 2021).

Peak 10 produced a deprotonated molecular ion at m/z 577.35, with a formula of  $C_{30}H_{25}O_{12}$ . Its characteristic fragment at m/z 407 corresponded to the fragment ion at m/z 425  $[C_{22}H_{17}O_9]^T$ , which was generated by the cleavage of procyanidins via a Retro-Diels-Alder reaction (RDA), followed by the removal of a portion of water to form the fragment at m/z 407  $[C_{22}H_{17}O_9-H_2O]^T$ . Another characteristic fragment, at m/z 289, corresponded to the cleavage of m/z 577  $[M-H]^T$  into the catechin characteristic fragment at m/z

<sup>&</sup>lt;sup>b</sup>EFs, enrichment factors.

<sup>&</sup>lt;sup>c</sup>Compared with the corresponding standards.

<sup>&</sup>lt;sup>d</sup>Identified based on the published literature.

289  $[C_{15}H_{13}O_6]^T$ . Additionally, m/z 577 also underwent C-ring cleavage to produce the characteristic fragment at m/z 125  $[C_6H_5O_3]^T$ , which was also present in the fragment ion of peak 10. Through comparison with standards, peak 10 was ultimately determined as procyanidin B2 (Xiao et al., 2017).

Peak 11 presented a deprotonated molecular ion at m/z 289.18 [M-H]. A prominent fragment at m/z 245 [M-H-CO<sub>2</sub>] resulted from the loss of the CO<sub>2</sub> group (44 Da) from m/z 289, which then further lost -C<sub>2</sub>H<sub>2</sub>O to generate m/z 203 [M-H-CO<sub>2</sub>-C<sub>2</sub>H<sub>2</sub>O]. Namely, it could be inferred that peak 11 represented either catechin or epicatechin. Additionally, the fragment ion at m/z 151 [M-H-C<sub>6</sub>H<sub>6</sub>O<sub>2</sub>-CO] corresponded to the characteristic fragment ions generated by the cleavage of catechin or epicatechin via C-ring RDA in negative ion mode. Through comparison with standards, peak 11 was ultimately determined to be catechin (Liu et al., 2009; Ren et al., 2021). Previously, catechin isolated from *R. aesculifolia* exhibited its noteworthy DPPH free radical scavenging ability with an IC<sub>50</sub> of 3.8231 µg/mL, showing stronger antioxidant activity than Vc (IC<sub>50</sub> = 7.1391 µg/mL) (Yan et al., 2017).

Both peaks 14 and 15 exhibited the same deprotonated molecular ion at m/z 479.42 [M-H], indicating that they were isomers with the formula  $C_{21}H_{20}O_{13}$ . The fragment ion at m/z 327 was characteristic of bergenins, while the fragment ions at m/z 169 [ $C_7H_5O_5$ ] and m/z 125 [ $C_6H_5O_3$ ] were characteristic of gallic acid. Thus, it can be inferred that peaks 14 and 15 represented monogalloyl bergenin. The fragment ion at m/z 327 represented [M-H-galloyl-CH<sub>3</sub>], while the fragment ion at m/z 312 represented [M-H-galloyl-CH<sub>3</sub>], m/z 207 represented [M-H-galloyl-C<sub>4</sub>H<sub>8</sub>O<sub>4</sub>], and m/z 193 represented [M-H-galloyl-C<sub>5</sub>H<sub>10</sub>O<sub>4</sub>]. In light of the available literature, it can be speculated that the phytochemical composition of genus *Rodgersia* may be galloylbergenin at the 3, 4 or 11 position. Based on the different retention times, peak 14 and peak 15 were

inferred to be 11-O-galloylbergenin and 4-O-galloylbergenin, respectively (Ren et al., 2021).

# 3.6 Molecular docking

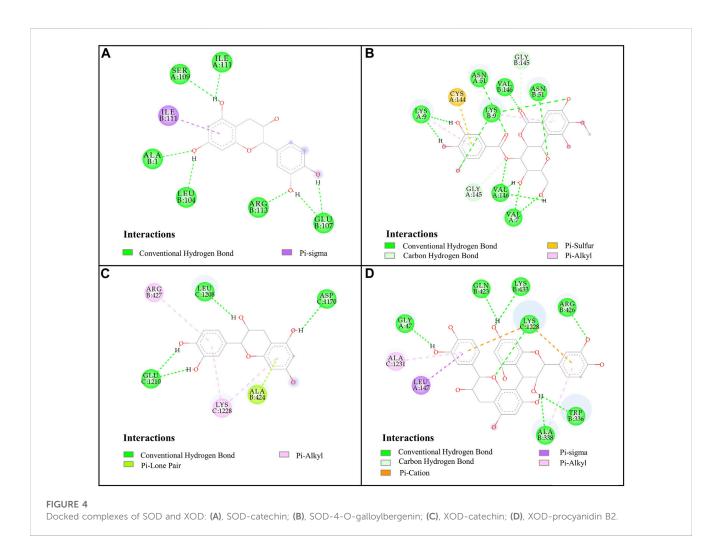
Molecular docking is a widely used technique for evaluating the interactions between enzymes and potential ligands, which could reveal possible interaction patterns by identifying their docking energies, sites of action, and key residues of the receptor (Chen et al., 2020b). Based on the binding degree in affinity ultrafiltration, peaks 2, 11, 10, 15, 14, and 1 were selected as SOD ligands, while peaks 1, 14, 11, 6, and 10 were selected as XOD ligands for molecular docking, respectively. Table 4 summarized the binding energy (BE), inhibition constant (Ki), and amino acids involved in hydrogen bonding. The optimal docking conformation within the binding site was illustrated in Figure 4. Dithiocarbamate (DTC) and allopurinol (ALL) were used as positive controls for SOD and XOD, respectively.

For SOD, the six compounds screened by bioaffinity ultrafiltration were lower than that of the positive control DTC (BE, -4.02 kcal/mol; Ki, 1,130.00 μM), which indicated that these compounds exhibited strong interactions with SOD. Among them, peak 11 (catechin, BE, -6.85 kcal/mol; Ki, 9.51 μM) displayed the strongest affinity with SOD, followed by peak 15 (4-O-galloylbergenin, BE, -6.67 kcal/mol; Ki, 13.01 μM), peak 14 (11-O-galloylbergenin, BE, -5.99 kcal/mol; Ki, 40.42 μM), peak 2 (norbergenin, BE, -5.71 kcal/mol; Ki, 65.32 μM), peak 1 (gallic acid, BE, -5.06 kcal/mol; Ki, 196.50 μM), and peak 10 (procyanidin B2, BE, -4.67 kcal/mol; Ki, 379.44 μM). These results were generally consistent with those obtained from bioaffinity ultrafiltration (Table 4). Through the visualization of molecular docking results, it was found that there was a pi-sigma and numerous hydrogen bonds between peak 11 (catechin) and

TABLE 4 The molecular docking results of the potential ligands in Rodgersia podophylla with SOD and XOD.

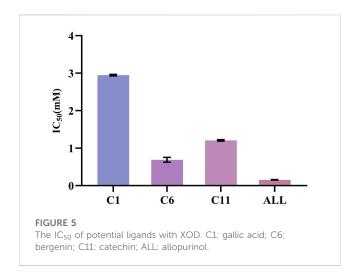
Peak	SOD (PDB 1CBJ)			XOD (PDB 1FIQ)			
	BE (kcal/mol)	Ki(μM)	Hydrogen bonds	BE (kcal/mol)	Ki(μM)	Hydrogen bonds	
1	-5.06	196.50	Val146, Val7, Lys9	-4.9	255.89	Gln423, Lys433, Lys1128	
2	-5.71	65.32	Val146, Val7, Ile149, Asn51	ND	ND	ND	
6	ND	ND	ND	-5.26	139.25	Ile1229, Ser1234, Gly47	
10	-4.67	379.44	Val146, Val7, Asn51, Gly148	-8.08	1.20	Arg426, Lys433, Gln423, Gly47	
11	-6.85	9.51	Ala1, Ile111, Leu104, Glu107	-8.54	0.55	Glu1210, Leu1208, Asp1170	
14	-5.99	40.42	Val146, Val7, Lys9, Cys144	-5.93	45.18	Gln423, Lys433, Arg426, Ala338	
15	-6.67	13.01	Val146, Val7, Asn51, Lys9	ND	ND	ND	
DTC <sup>a</sup>	-4.02	1,130.00	Val146, Gly49	ND	ND	ND	
ALLb	ND	ND	ND	-5.03	206.23	Arg426, Glu1210	

PDB, protein data bank; 1CBJ, the crystal structure accession number of SOD; 1FIQ, the crystal structure accession number of XOD; BE, binding energy; Ki, inhibition constant; a, Dithiocarbamate (DTC), positive control of SOD; b, Allopurinol (ALL), positive control of XOD; ND, not detected; Val, valine; Lys, lysine; Ile, isoleucine; Asn, asparaginate; Gly, glycine; Ala, alanine; Leu, leucine; Glu, glutamic acid; Cys, cysteine; Gln, glutamine; Ser, serine; Arg, arginine; Asp, aspartic acid.



SOD. As shown in Figure 4A, Figure 7 hydrogen bonds were formed between catechin and amino acid residues such as Ala1, Ile111, Leu104 and Glu107. As depicted in Figure 4B, peak 15 (4-O-

galloylbergenin) formed 12 hydrogen bonds and engaged in additional interactions, such as pi-sulfur and pi-alkyl, with amino acid residues including Val146, Val7, Asn51, and Lys9.



Consequently, the stability of protein-ligand complexes was not only attributed to hydrogen bonding but also to other interaction forces.

For XOD, peak 11 (catechin) exhibited the highest interaction with XOD, with the lowest BE value of -8.54 kcal/mol and the Ki value of 0.55  $\mu$ M. Figure 4C showed that catechin formed 4 hydrogen bonds with amino acid residues such as Glu1210, Leu1208 and Asp1170 of XOD and formed pi-alkyl with residues. Peak 10 (procyanidin B2, BE, -8.08 kcal/mol; Ki, 1.2  $\mu$ M, Figure 4D) formed hydrogen bonds with XOD through amino acid residues such as Arg426, Lys433, Gln423, and Gly47. The presence of pi-cation, pisigma and pi-alkyl interactions increased its affinity with XOD. In addition, peak 14 (11-O-galloylbergenin, BE, -5.93 kcal/mol; Ki, 45.18  $\mu$ M) and peak 6 (bergenin, BE, -5.26 kcal/mol; Ki, 139.25  $\mu$ M) also exerted higher activity than the positive control ALL (BE, -5.03 kcal/mol; Ki, 206.23  $\mu$ M), indicating that these compounds also had good interactions with XOD.

In summary, peaks 11, 15, 14, 2, 1, and 10 for SOD and peaks 11, 10, 14, 6 and 1 for XOD were identified as potential ligands that warranted further investigation. Their structures were shown in Figure 3, and their retention times and mass spectral fragments were presented in Table 3.

# 3.7 Anti-hyperuricemic capacity of potential ligands by XOD inhibition experiments

The XOD inhibition assay was conducted with a slightly modified methodology previously described by Wang et al. (Wang et al., 2012). At the concentration of 0.25 mg/mL, the *R. aesculifolia* extract achieved an XOD inhibition rate of 72.79%. This rate increased to an impressive 85.18% when the concentration was elevated to 1.25 mg/mL. Meanwhile, the XOD inhibitory activity *in vitro* of the compounds, identified through UF-LC-MS screening, was further confirmed. As shown in Figure 5, compound 6 (bergenin) displayed the strongest inhibitory effect on XOD, with an IC $_{50}$  value of 0.680  $\pm$  0.061 mM, which was comparable with the positive control drug, allopurinol (IC $_{50}$  = 0.156  $\pm$  0.005 mM) (p > 0.05). Compound 11 (catechin) and compound 1 (gallic acid) also showed attractive inhibitory effects, with IC $_{50}$  values of 1.208  $\pm$  0.015 mM and 2.949  $\pm$  0.016 mM, respectively. To this end, the present results not only confirmed the significant XOD inhibitory activity of the

compounds screened through bio-affinity ultrafiltration, but also provided a foundation for further investigation into the anti-hyperuricemia properties of *R. podophylla*.

# 4 Conclusion

In this study, the antioxidant capacities of R. podophylla extracts were firstly assessed using DPPH, ABTS, and FRAP assays. The EA fraction of R. podophylla exhibited the highest radical scavenging and ferric reduction abilities, with IC50 values of  $3.863 \pm 0.34 \,\mu g/mL$  and  $5.537 \pm 0.38 \,\mu g/mL$  for DPPH and ABTS, and the FRAP value of  $10.655 \pm 0.77 \,\text{mM}$  Fe<sup>2+</sup>/g, respectively. Additionally, the EA fraction exhibited the highest total phenolic and flavonoid contents among all fractions, with values of 70.984  $\pm$ 3.49 mg GAE/g dw and 1,026.096  $\pm$  8.11 mg RE/g dw, respectively. A bio-affinity ultrafiltration coupled with LC-MS/MS strategy with SOD and XOD as target enzymes was employed to screen potential antioxidant and anti-hyperuricemic compounds from the EA fraction. Based on the binding capacities, norbergenin (peak 2), catechin (peak 11), procyanidin B2 (peak 10), 4-O-galloylbergenin (peak 15), 11-O-galloylbergenin (peak 14), and gallic acid (peak 1) were identified as potential SOD ligands, while gallic acid (peak 1), 11-O-galloylbergenin (peak 14), catechin (peak 11), bergenin (peak 6), and procyanidin B2 (peak 10) were identified as potential XOD ligands, respectively. Furthermore, molecular docking revealed that these seven compounds exhibited favorable interactions with SOD and XOD through hydrogen bonding and other types of intermolecular forces. Notably, their binding affinities even surpassed those of the positive controls (DTC and ALL). Thereafter, the XOD inhibition assays in vitro discerned that all these molecular entities demonstrated strong XOD inhibition, especially bergenin and catechin. In summary, this study represented the first application of the UF-LC-MS method for the rapid screening of bioactive compounds in R. podophylla extracts, specifically targeting their antioxidant and antihyperuricemia properties. The research not only provided novel evidence to support the antioxidant and anti-hyperuricemic properties of R. podophylla, but also clarified the potential bioactive ligands for its further research and application. These bioactive compounds hold promise for future development as health supplements or natural medicines for the prevention and treatment of oxidative stress and hyperuricemia-associated diseases.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding authors.

# **Author contributions**

CL: Data curation, Formal Analysis, Software, Writing-original draft. YX: Data curation, Software, Writing-review and editing. MF: Formal Analysis, Software, Writing-review and editing. FM: Formal

Analysis, Software, Writing-review and editing. GC: Conceptualization, Funding acquisition, Methodology, Project administration, Supervision, Validation, Writing-review and editing. MG: Writing-review and editing. GH: Methodology, Project administration, Resources, Supervision, Writing-review and editing.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Antisense oligonucleotides: a novel Frontier in pharmacological strategy

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Antisense oligonucleotides (ASOs) are short single stranded synthetic RNA or DNA molecules, whereas double-stranded RNA nucleotide sequences are called small interfering RNA (siRNA). ASOs bind to complementary nucleic acid sequences impacting the associated functions of the targeted nucleic acids. They represent an emerging class of drugs that, through a revolutionary mechanism of action, aim to directly regulate disease-causing genes and their variants, providing an alternative tool to traditional "protein-specific" therapies. The majority of the ASOs are designed to treat orphan genetic disorders that in most of the cases are seriously disabling and still lacking an adequate therapy. In order to translate ASOs into clinical success, constant technological advances have been instrumental in overcoming several pharmacological, toxicological and formulation limitations. Accordingly, chemical structures have been recently implemented and new bio-conjugation and nanocarriers formulation strategies explored. The aim of this work is to offer an overview of the antisense technology with a comparative analysis of the oligonucleotides approved by the Food and Drug Administration (FDA) and the European Medicines Agency (EMA).

KEYWORDS

antisense oligonucleotide, siRNA, genetic disorder, gene silencing, pharmacology

# 1 Introduction

Last century has witnessed the drug development process primarily based on the small molecules and antibodies (Bennett, 2019). Recently, antisense oligonucleotides (ASO)-based research has gained momentum due to sequence-specific targets it employs for the therapeutic development. In particular, they represent an opportunity for strategical intervention to target RNA encoding proteins that are difficult to reach with conventional therapy, or to selectively target non-coding RNAs acting as activators/ silencers of gene expression and dysregulated endogenous microRNAs that can lead to various diseases (Zamecnik and Stephenson, 1978; Levin, 2019). Although the first preclinical use of synthetic oligonucleotides to modulate RNA function dates back to 1978 (Zamecnik and Stephenson, 1978), their production as drugs has required constant improvements in chemistry, genomics, pharmacology, delivery and formulation platforms to increase their efficacy, safety and biodistribution (Levin, 2019). Recently, after many years of slow progress, ASO research is accelerating, with a variety of clinical trials that have reached their decisive stage during the years 2016-2020 (Shen and Corey, 2018). Via the interaction with different targets and through different molecular mechanisms, ASOs exert important positive effects in reducing oxidative stress, which exerts a pivotal role in several conditions, such as cancer, Alzheimer's disease, diabetes, cardiovascular and inflammatory disorders. To date, the Food and Drug Administration (FDA) has approved thirteen ASOs for clinical use,

while the European Medicines Agency (EMA) has authorised eight of them. In addition, several antisense drugs are now undergoing clinical trials for the management of cardiovascular, metabolic, endocrine, neurological, inflammatory and infectious diseases (Dhuri et al., 2020).

# 2 Mechanism of action of DNA and RNA antisense oligonucleotides

ASOs are polymers consisting of 15–21 nucleotides (Vegeto et al., 2019). There are different kinds of ASOs: single stranded DNA ASOs or RNA nucleotide sequences which are usually complementary to an endogenous miRNA (7), and double-stranded complexes, called short interfering RNA (siRNA) (Bajan and Hutvagner, 2020). ASOs are chemically synthesized to bind via complementary base-pairing a specific sequence of nucleic acid, as a messenger RNA (mRNA), or its nuclear precursor (pre-mRNA) (Levin, 2019).

When single stranded, ASOs have to be stable and able to be selectively addressed to their target before the degradation due to circulating nucleases. On the other hand, the duplex structure of siRNAs makes them more stable than ASOs in the blood as well as within the cells. However, systemic delivery of double-stranded siRNA is more challenging as they have larger molecular weight and negative charge. Moreover, they have hydrophilic phosphates on their outside surface, while the aromatic nucleobases are located mainly inside the duplex, thus leading to scarce interactions with plasma membranes and quite rapid renal excretion (Meister et al., 2004).

Once the ASOs has bound to the target RNA, a number of possible molecular mechanisms are triggered, which can be broadly classified as: (a) those promoting RNA cleavage through the recruitment of endogenous enzymes; (b) those that interfere with mRNA translation or maturation without promoting the degradation of the target (Ward et al., 2014). ASOs and siRNA are distinct classes of nucleic acid-based therapeutic molecules, each characterized by its own distinct mechanism of action. However, despite their individual disparities, both ASOs and siRNA possess remarkable potential as therapeutic agents, providing versatile means to modulate gene expression. This shared capacity makes them invaluable tools for addressing genetic diseases and holds the promise of reshaping disease progression through precise and targeted interventions. By collectively exploring ASOs and siRNA within the context of gene regulation strategies, we try to encompass the comprehensive landscape of nucleic acid-based therapeutics, acknowledging their distinctiveness while embracing their mutual contributions to advancing the field.

# 2.1 Cleavage of target RNAs by recruitment of endogenous enzymes

# 2.1.1 RNA degradation by activation of ribonucleases (RNase)

One of the common mechanisms for RNA target inactivation is the induction of RNase H, a family of endonucleases that selectively cleave the RNA strand of RNA/DNA hybrids (Bennett and Swayze, 2010). In the context of mRNA/ASO heteroduplexes, RNase H induces the degradation of the target mRNA.

### 2.1.2 RNA interference (RNAi)

siRNAs act by using the RNA interference (RNAi) pathway, a natural cellular defence mechanism evolved to recognize and degrade pathogenic RNAs (Bajan and Hutvagner, 2020). For this reason, siRNA intracellular protein association and binding to the target is generally highly selective and specific, making them suitable therapeutic tools (Schwarz et al., 2006).

siRNAs enter the physiological process of RNAi by associating with TAR RNA-binding protein (TRBP) and the argonaut 2 enzyme (AGO2), composing the RNA-Induced Silencing Complex (RISC). Once the siRNA is part of RISC, one RNA strand is cleaved and released, whereas the remaining guide strand finds the complementary mRNA, forming an RNA-RNA hybrid that induces AGO2 to degrade the target RNA (Meister et al., 2004).

The formation of RISC participates in cell-based defence, for example, against viruses. Despite that, siRNA-based drug applications are not limited to infective diseases, but they can be used for treating different types of cancers and various pathological conditions, including inflammatory disorders and neuropathies.

When the guide or sense strand binds off-target transcripts, the RNAi-related mechanism of action of siRNA may cause unwanted activity. An important safety concern related to therapeutic siRNA mechanism of action is the possible non-specific suppression of non-target mRNAs by the passenger strand. Also ASOs can induce off-target effects by association with sequences having a high degree of homology or by interactions with proteins (Chi et al., 2017).

# 2.2 Inactivation without mRNA degradation

Another mechanism used by ASOs for RNA inactivation is by preventing the interaction of mRNA with the ribosomes for reasons of steric hindrance. ASOs also interfere with mRNA maturation by constraining splicing or destabilizing nuclear pre-mRNA.

# 2.2.1 Translation or maturation blockade of messenger RNA by steric hindrance

Due to steric bulk, after pairing with the mRNA, an ASO can block the translation of the transcript with one of the following mechanisms: i) by hindering its contact with the ribosomal 40S subunit; ii) by avoiding the assemblage of the 40S/60S subunits; iii) by hampering the sliding of the ribosome along the transcript; iv) by impeding the interaction with sequences that are essential for the maturation of the transcript, such as the addition of a 7-methylguanosine cap at the 5' end and polyadenylation at the 3' end (Bennett and Swayze, 2010). Steric hindrance can have a crucial role also in splicing modulation, as described below.

# 2.2.2 Splicing modulation

The goal of ASO therapy is to block the production of an abnormal form of protein or to restore the production of a protein that is lacking or not functioning (Havens et al., 2013). ASOs can bind the primary transcript within the nucleus and interfere with the spliceosome-mediated maturation process (Figure 1). Modulation of splicing by oligonucleotides consists of

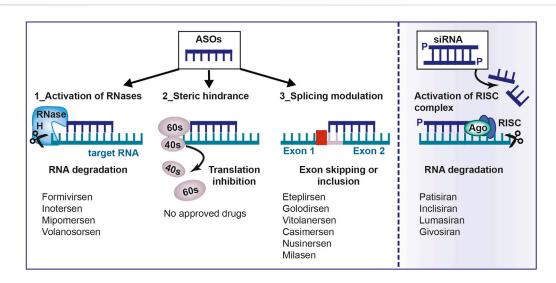


FIGURE 1

Mechanism of action of antisense oligonucleotides. Antisense oligonucleotides and siRNA can be used to target a specific, complementary (coding or non-coding) RNA. Main mechanisms of actions are: 1) RNA cleavage through the recruitment of endogenous enzymes; 2) Steric hindrance; 3) Splicing modulation; 4) Activation of RISC complex by the double-stranded siRNA. ASO, antisense oligonucleotides; aODN, siRNA: small-interfering RNA; RNase H, Ribonuclease H; RISC, RNA inducing silencing complex; mRNA, messenger RNA.

leading to exon skipping or exon inclusion. In exon skipping, ASOs bind pre-mRNAs and correct the mutation that caused the disruption of the reading frame by forming a transcript that, even if truncated, still encodes for a partially functional protein (Dhuri et al., 2020). In exon inclusion, ASOs are designed in order to target and repress regulatory elements resulting in the prevention of splicing events and the production of a full-length protein. In this regard, it is noteworthy to mention that not only linear motifs, but also RNA secondary structures that are formed because of longdistance interaction (LDI), regulate alternative splicing and can be targeted by ASOs. One of the first report about this mechanism is the study by Singh et al., 2013 who, by using cells from patients affected by spinal muscular atrophy (SMA), demonstrated the effectiveness of an ASO in correcting SMN2 exon 7 splicing, via the sequestration of the 3' strand of an unique intra-intronic structure termed internal stem through LDI-1 (ISTL1) (Singh et al., 2013).

# 2.2.3 miRNA inhibition

MicroRNAs (miRNA) are small RNA molecules essential for gene regulation. They bind AGO proteins forming RISC, sharing the same proteins used by RNAi. Indeed, given that siRNA drugs compete with endogenous miRNAs to bind AGO2, it is important to optimize their dose to avoid RISC saturation and, in turn, inhibition of the RISC complex.

# 2.3 Aptamers

A particular class of single-stranded DNA or RNA oligonucleotides is represented by aptamers. They have a three-dimensional structure that allows a highly specific interaction with proteins (Wiraja et al., 2014), this means that aptamer binding is mainly determined by its tertiary structure and not by the primary sequence. Unlike the previous classes, aptamers are identified

through the Systematic Evolution of Ligands by Exponential Enrichment (SELEX) (Ohuchi, 2012). An aptamer currently in clinical use is pegaptanib, an RNA aptamer directed against vascular endothelial growth factor (VEGF)-165, responsible for pathological ocular neovascularization associated with age-related macular degeneration (Ng et al., 2006). Another aptamer that has been recently approved for the treatment of geographic atrophy, also secondary to age-related macular degeneration, is avacincaptad pegol, an inhibitor of the complement component 5 (Kang, 2023).

# 3 Pharmacokinetics

Having explored the diverse mechanisms by which ASOs exert their effects on target RNA, it is crucial to delve into their pharmacokinetics to better understand how these therapeutic molecules behave within the body. Understanding of pharmacokinetics is important in the drug development and safety field. This is particularly true for ASOs, where pharmacokinetics is intricately intertwined with the chemical properties of these therapeutic molecules. ASOs undergo various chemical modifications that impact their internucleotide links (first generation ASOs), the connecting rings between the ASO backbone and nucleobases (second generation ASOs), and the nucleobases themselves, which play a vital role in complementary base pairing with target RNA. These chemical alterations not only define the structural characteristics of ASOs but also govern their pharmacokinetic behavior, ultimately influencing therapeutic effectiveness and safety profiles (Shadid et al., 2021).

The main route of administration for ASOs is the parenteral one (either intravenous or subcutaneous) (Geary et al., 2015). After subcutaneous (SC) infusion, ASOs move into the circulation, with peak concentrations reached within 3–4 h for the second generation of ASOs (Levin et al., 2007). Plasma concentration decrease in a

multi-exponential mode characterised by a dominant rapid first phase of distribution in which the drug is transported to tissues (minutes/hours) (Geary et al., 2015), followed by a slower second phase of elimination (the half-life can reach up to several weeks for ASOs with both backbone and sugar modifications). The first phase of distribution is largely driven by backbone chemistry (Levin et al., 2007). The phosphorothioate (PS) backbone gives an important pharmacokinetic benefit, given that the increased binding to proteins allows a significant release to many tissues. Indeed, tissue distribution of PS-modified ASOs is relatively broad, with the organs reaching the highest concentration being the liver and kidney, followed by bone marrow, adipocytes and lymph nodes (Vegeto et al., 2019). At the tissue level, intracellular uptake of ASOs occurs by endocytosis mediated by surface receptors (integrins, scavenger receptors and Toll-like receptors) (Vegeto et al., 2019). Passive diffusion of ASOs into cells is limited by the fact that they are large molecules (single-stranded ASOs are 4-10 kDa, doublestranded siRNA are 14 kDa) and have a negatively charged surface because of phosphate groups, resulting in electrostatic repulsion with the cell membrane (Roberts et al., 2020). Systemically delivered drugs directed at the central nervous system (CNS) encounter a further impediment to their action, namely, the blood-brain barrier (Bennett et al., 2017).

Subsequent to their release from the endolysosome, ASOs navigate to their designated site of action, endeavoring to evade degradation or re-exportation via exocytosis (Sahay et al., 2013). Following their liberation from the endolysosome, these ASOs exhibit extended half-lives (ranging from 2 to 4 weeks) and exert prolonged inhibitory effects on the expression of their target RNA (Geary et al., 2015). The subsequent elimination phase operates through a diverse array of intricate mechanisms, collectively ensuring the methodical clearance of ASOs from the biological system. Among these mechanisms, direct excretion via the renal glomerular filtration system emerges as a crucial avenue, facilitated by the kidneys' finely tuned filtration capabilities. Concurrently, ubiquitous tissue and serum endo- and exonucleases engage in a metabolic interplay, progressively degrading ASOs and priming them for subsequent elimination. The orchestrated choreography extends to circulating macrophages, vigilant custodians of the endothelial reticulum system, engaging in the meticulous task of phagocytic uptake. These sentinel cells envelop the ASOs, facilitating their eventual removal from circulation. Additionally, specific plasma proteins contribute to the orchestration of this elimination phase, potentially inactivating or sequestering ASOs and guiding their transition from active therapeutic agents to inert remnants (Kilanowska and Studzińska, 2020). Currently, all approved ASOs are either administered locally, such as directly into the eye and the cerebrospinal fluid, or act in the liver after parenteral administration (Roberts et al., 2020). Intravitreal administration limits systemic absorption to 1% of the administered dose, minimising the toxicological risk (Bennett et al., 2017). This route has been used for the delivery of fomivirsen, to treat cytomegalovirus retinitis. Intrathecal administration is required to treat diseases of the CNS. It ensures a high distribution in the cortical tissue and spinal cord and a lower concentration in deeper brain structures (Geary et al., 2015). Lastly, drug delivery to the liver by parenteral administration is facilitated by several factors: the high perfusion of the liver, the presence of a fenestrated sinusoidal endothelium that allows the passage of ASOs and the high concentration on the hepatocyte membrane of receptors that can facilitate the endocytotic process (e.g., the asialoglycoprotein receptor, ASGR) (Tanowitz et al., 2017). In contrast, the development of technologies for extra hepatic delivery is still the main goal in the field of ASOs.

# 4 Strategy to enhance delivery

# 4.1 Chemical modification

The use of chemical modifications has been crucial to increase ASO enzymatic stability, target recognition, binding efficiency, tissue distribution and, at the same time, to reduce their toxicity, leading to significant improved antisense drugs (Edvard Smith and Zain, 2019). The most common and relevant modifications of currently marketed oligonucleotides are applied at the level of the backbone (first generation ASOs), the heterocycle and the sugar, as discussed below. The key role played by the introduced chemical components is demonstrated by the fact that most of the ASOs approved to date are "naked", i.e., without an additional delivery vehicle (Roberts et al., 2020).

# 4.1.1 Backbone modifications/phospodiester linkage modifications

The primary reason for modification of the oligonucleotide backbone is the inherent instability of the phosphodiester bond to nucleases. This can be prevented by the non-bridging replacement of the phosphodiester bond with a sulphur atom (Eckstein, 2000). The phosphorothioate (PS) backbone confers a delay in renal clearance by increasing both resistance to nucleases action and binding to plasma (e.g., albumin) and intracellular (e.g., nucleolin) proteins (Gaus et al., 2019). PS ASOs, (first generation), are stable in plasma and tissues (half-life: 2-3 days). PS binding allows the activation of RNase H. Although it creates a minor reduction in binding affinity to the target, it is possible balance it by some modifications, such as, e.g., additional modifications to the sugar backbone portions (Roberts et al., 2020; Oberemok et al., 2018). Moreover, PS ASOs enter cells more easily: PS is recognised by scavenger receptors (such as STAB1 and STAB2 stabilins) that facilitate internalisation in tissues such as the liver (Miller et al., 2018). Despite this, in vivo studies have demonstrated the necessity of high and repeated doses to achieve the therapeutic effect (Vegeto et al., 2019). A toxicological limitation of PS ASOs is the triggering of immune reactions by activation of Toll-like receptors (TLRs) (Vegeto et al., 2019). Moreover, an extra sulfur atom can be added into the PS linkage, resulting in a chiral centre at each modified phosphorous atom, producing two possible stereoisomeric forms having different physiochemical properties and pharmacological activity (Roberts et al., 2020).

Besides the modifications of the phosphorodextrin backbone linkage, isosteric substitutions of the phosphoriboside group have been implemented giving rise to two classes of ASO analogues: phosphorodiamidate morpholino oligomers (PMOs) and nucleic peptide acids (PNAs). PMOs are characterized by the substitution of ribose with morpholino and of the phosphorodextere bond with a

phosphorodiamine bond. PNAs, on the other hand, are synthetic nucleic acid imitations containing neutral N-2-aminoethyl glycine units, with nucleobases linked by a flexible methyl carbonyl linker (Dhuri et al., 2020). Both classes are neutral and therefore form very stable hybrids with the target RNA and have high resistance to nuclease action (Bennett et al., 2017). However, they do not support the activity of endogenous enzymes such as RNAse H or AGO2 and exert their action through mechanisms of steric hindrance and splicing modulation (Bennett et al., 2017). The lack of binding to serum proteins results in a rapid renal clearance.

# 4.1.2 Heterocycle/nucleobase modifications

The main positive effect of modifications applied to the heterocycle is the increase of the binding affinity to the target (Herdewijn, 2000). One of the most implemented adjustment is the replacement of the C5 hydrogen of deoxycytidine with a methyl group (Vasquez et al., 2021). The introduction of the methyl group between the nitrogenous bases in the major groove confers increased thermal stability to the duplex (Sahay et al., 2013). This modification supports RNase H activity and is used to reduce the immunostimulatory potential of PS ASOs (Bennett et al., 2017).

### 4.1.3 Ribose sugar modifications

Currently, modifications of the hydroxyl in the 2' position of the sugar portion of deoxyribose in DNA and ribose in RNA, respectively, have made the greatest contribution in increasing the pharmacological properties of oligonucleotides by creating second-generation ASOs (Bennett et al., 2017). The most commonly used substitutions are the fluorine group (2'-F), the methyl group (2'-O-Me) and the methoxymethyl group (2'-O-MOE) (Tanowitz et al., 2017). This variation is notable, on one hand, for its increased nuclease resistance, as it blocks the nucleophilic portion of the hydroxyl at the 2' position of the sugar, and, on the other hand, for its increased thermal stability at complementary hybridisation, which allows tighter binding and the use of shorter oligonucleotides (Shen and Corey, 2018). Unfortunately, modifications at the 2' position are not compatible with RNAse H-mediated cleavage activity (Shen and Corey, 2018). This limitation was minimised through the use of the gapmers strategy. This method makes use of a central region of unmodified DNA or RNA ASOs supporting RNase H activity flanked by ends of 20 nucleotides modified in 2' (Tanowitz et al., 2017). For siRNA, the situation is more complex as they must retain the ability to be recognised by the AGO2 cleavage enzyme. However, the RNAi machinery is remarkably tolerant to chemical modifications carried out at the 2' position of the sugar (e.g., givosiran) (Roberts et al., 2020; Allerson et al., 2005).

Instead Bridged nucleic acids (BNAs) contain a constrained bridge between 2' oxygen and 4' carbon of the ribose ring (Dhuri et al., 2020). These bicyclic systems have been fused into the flanking regions of gapmers as they have an ideal conformation to interact with complementary DNA or RNA sequences showing a strong increase in binding affinity (Roberts et al., 2020).

# 4.1.4 Terminal modification

Phosphorylation at the 5' end of the siRNA guide strand is crucial for activity, because it mediates the binding to AGO2 (Schirle and MacRae, 2012; Frank et al., 2010). To limit the subtraction of

this group by cellular phosphatases, the introduction of 5'-vinyl phosphonate as an imitation phosphate that is not a substrate of phosphatases has been functionally introduced (Haraszti et al., 2017). Terminal modifications can drastically improve the therapeutic efficacy of small ASOs. For instance, the incorporation of PEG-282 and propyl modifications at the 5' and 3' ends, respectively, of a small ASO (8-mer), ameliorated its effectiveness *in vivo*, leading to improved symptoms in both severe and mild mouse models of spinal muscular atrophy (SMA) (Keil et al., 2014).

# 4.2 Nanoformulation-based delivery

Advances in nanotechnology make it possible to overcome certain pharmacokinetic limitations of ASOs, including plasma and endosomal degradation, direct renal clearance and intracellular transmembrane delivery (Roberts et al., 2020). The nanoparticles, absorbed by endocytosis, are. however, heterogeneously (around 100 nm) sized with limited biodistribution due to difficulty of diffusion through the extracellular matrix of tissues (Tanowitz et al., 2017). Their action is mainly concentrated in the liver and in the reticuloendothelial system, since the sinusoidal capillary endothelium has sufficient openings to allow nanocarriers in (Wisse et al., 2008). Nanocarriers are also a potential approach in the field of oncology, as they show an accumulation in the tumour environment due to the EPR (enhanced permeability and retention) effect (Dhuri et al., 2020).

# 4.2.1 Lipoplexes and liposomes

Lipid-based delivery systems, such as lipoplexes and liposomes, derive from mixing polyanionic oligonucleotides with lipids. These formulations are able to mask the negative charge of the ASOs in order to reduce electrostatic repulsion with the cell surface (Vegeto et al., 2019). Specifically, polyplexes result from the direct interaction between polyanionic ASOs and polycationic lipids (Rehman et al., 2013). In contrast, liposomes are closed and stable vesicular systems characterised by a lipid bilayer in which the ASO is confined within the aqueous space (Ickenstein and Garidel, 2019). Some lipid nanoparticles (LNPs, stable nucleic acid lipid particles) consist in polycationic lipids (Roberts et al., 2020). In order to minimise the interaction with plasma opsonins, which lead to rapid degradation by phagocytes of the reticuloendothelial system, a shielding strategy has been adopted. The most commonly used polymer to create a superficial steric barrier to shield the residual charge is polyethylene glycol (PEG) (Ambegia et al., 2005). An example of LNP-based siRNA formulation is Patisiran used to treat transthyretin-mediated amyloidosis.

# 4.2.2 Polymeric nanoparticles

Polymeric nanoparticles result from the non-covalent complexation between negatively charged ASOs and cationic polymers, including PEI (polyethylenimine), PBAE (poly-(beta-amino ester)) or poly-L-lysine (Geary et al., 2002). After endocytosis, in order to transport the ASO from the endolysosome to the cytoplasmic compartment, PEI and PBAE have an effect known as the "proton-sponge effect" (Benjaminsen

et al., 2013), whereas poly-L-lysine-based nanoparticles incorporate fusogenic peptides or lytic domains capable of destabilising the endosomal membrane (Geary et al., 2002). However, the clinical advancement of polymeric nanoparticles has been limited by bioincompatibility and the inevitable toxicity in chronic use resulting from the undesired interaction between the chaperone charge and serum and tissue proteins (Dhuri et al., 2020).

# 4.2.3 Exosomes

Exosomes are biological nanoparticles whose use as delivery systems is current under study at a preclinical level. In particular, exosomes are extracellular bilayer vesicles, ranging in size from 30 to 100 nm, whose function is to facilitate intercellular communication through the transfer of nucleic acids, lipids and proteins (Tanowitz et al., 2017). Exosomes show many benefits related to oligonucleotide drug delivery as they are able to cross biological membranes, are protected from phagocytosis and are not toxic (Roberts et al., 2020).

# 4.2.4 Spherical nucleic acid (SNA)

SNA particles consist of a densely packed shell of nucleic acids, which are oriented around a hollow or solid core nanoparticle. SNA particles are currently undergoing clinical trials for the administration of ASOs to tumors (such as glioblastoma) or for their topical administration in the treatment of psoriasis (Kapadia et al., 2018).

# 4.3 Conjugation strategies

The potential delivery of ASOs to DNA and RNA can be enhanced by conjugation with ligands able to promote cellular uptake and active targeting by interaction with superficial receptors and, on the other hand, to reduce the direct renal clearance of ASOs by increasing their size (Roberts et al., 2020). The most commonly used ligands are lipids, sugars, peptides, aptamers or antibodies. Unlike nanoparticles, they are well-defined molecular entities characterised by standard techniques, with a favourable biodistribution profile (Tanowitz et al., 2017).

### 4.3.1 Lipid conjugates

The main result of this technological approach concern exposure to the liver, that have been achieved through conjugation with cholesterol and lipid groups such as long-chain fatty acids and  $\alpha$ -tocopherol (Bennett et al., 2017). It has been shown that the *in vivo* activity of lipid-conjugated ASOs depends on their ability to bind plasma lipoproteins and thus exploit the endogenous system for lipid transport and uptake (Roberts et al., 2020).

# 4.3.2 GalNac conjugates

An optimal delivery strategy to hepatocytes is trivalent conjugation of N-acetyl-galactosamine (GalNac) to the siRNA passenger strand or single-stranded DNA ASOs (Prakash et al., 2014). This ligand is a carbohydrate portion with high affinity and selectivity for asialoglycoprotein receptor 1 (ASGR1), which is densely expressed at the plasma membrane of hepatocytes (approximately 5\*105 copies per hepatocyte) (Tanowitz et al., 2017). Upon ligand binding, the receptor-ligand pair is internalised in the endosomes. The acidic endomembrane environment promotes dissociation of the ligand from the receptor,

allowing the receptor to coming back to the plasma membrane and the ligand-conjugate to be available for pharmacological action (Springer and Dowdy, 2018). Subsequently, the GalNac portion undergoes enzymatic degradation. Conjugation with GalNac increases the power of the ASO by approximately 30-fold and increases entry into hepatocytes (otherwise unconjugated ASOs have been detected mainly in non-parenchymal liver cells) (Roberts et al., 2020). This evidence results in an increase in the therapeutic index and, consequently, in a lower dose and/or a lower frequency of administration. In clinic, there are two examples of GalNacconjugated siRNA: Givosiran, monthly administered to treat acute hepatic porphyria; and Inclisiran, administered twice a year in patients with familial hypercholesterolaemia. However, these important results are partly due to the liver being one of the main tissues of oligonucleotide accumulation and partly to ASGR1 receptor having many desirable features such as high expression levels, rapid internalisation and recycling (turnover of about 20 min) (Tanowitz et al., 2017).

# 4.3.3 Peptide conjugates

Cell-penetrating peptides (CPPs) are short peptide sequences with positive charge that have been identified as carrying neutrally charged ASOs, with which they are linked, such as PMO and PNA, across cell membranes. CPPs can penetrate into the cell through endocytosis or by perturbing the plasma membrane lipid bilayer (Tréhin et al., 2004). The use of ASO conjugated with peptides is also found in the CRISPR-Cas9 system. CRISPR-Cas9 is composed of a nonspecific nuclease (Cas9) and a series of programmable sequence-specific CRISPR RNA (crRNA), which lead to DNA cleavage by Cas9 and produce double-strand breaks once the target sites are identified (Karimian et al., 2019).

# 4.3.4 Antibodies and aptamer conjugates

Even though a number of technologies for hepatic delivery are available, extrahepatic targeting is still a challenge (Bennett et al., 2017). Currently, antibody- and aptamer-conjugated ASOs are in the early stages of testing in order to exploit their interaction with specific superficial receptors (e.g., transferrin receptor highly expressed in skeletal and cardiac muscle) (Roberts et al., 2020). Aptamers have a number of advantages over antibodies, including facilitating the production by chemical synthesis, low immunogenicity and lower cost (Vegeto et al., 2019).

# 5 Toxicology

The most toxicologically characterised classes of ASOs are the first-generation ones (ASO-PS) and the second-generation ASOs with 2'-O-MOE sugar adjustments due to the larger number of drugs in clinical use. The toxicity of ASOs is dose-proportional and can be affected by both the oligonucleotide and the formulation (Bennett et al., 2017).

# 5.1 Toxicity linked to the oligonucleotide

The toxicity of ASOs is commonly categorised into: hybridization-dependent or hybridization-independent way (Frazier, 2015).

# 5.1.1 Hybridization-dependent

Hybridization-dependent toxicity includes both excessive pharmacological effects that occur between the ASO and the target; and non-specific effects due to complementary or partial recognition of unwanted transcripts (Batista-Duharte et al., 2020).

Off-target toxicity can be avoided or minimised by implementing bioinformatics analyses for target RNA selection and sequence homology detection, performing accurate characterisation of pharmacology and toxicology in preclinical models and implementing chemical modifications (Bennett et al., 2017). A recent study conducted by Scharner et al. shows the in vitro effects of splice-modulating ASOs on 108 potential off-targets predicted on the basis of sequence complementarity, and identified 17 mis-splicing events for one of the ASOs tested (Scharner et al., 2020). Based on analysis of data from two overlapping ASO sequences, they conclude that off-target effects are difficult to predict, and the choice of ASO chemistry influences the extent of off-target activity. The off-target events caused by the uniformly modified ASOs tested in their study were significantly reduced with mixed-chemistry ASOs of the same sequence. Furthermore, using shorter ASOs, combining two ASOs and delivering ASOs by free uptake also reduced off-target activity. Finally, ASOs with strategically placed mismatches can be used to reduce unwanted off-target splicing events.

A more practical example that highlights the importance of implementing better ASO design is the results obtained by Ottesen et al. (2021). In this study, the transcriptome of SMA patient cells treated with 100 nM of Anti-N1 for 30 h was analyzed. While 100 nM of Anti-N1 substantially stimulated SMN2 exon 7 inclusion, it also caused massive perturbations in the transcriptome and triggered widespread aberrant splicing, affecting expression of essential genes associated with multiple cellular processes. The authors also showed a substantial reduction in off-target effects with shorter ISS-N1-targeting ASOs.

At the clinical level, toxicity may be negligible as not all RNA sites are accessible, not all tissues have pharmacological ASO concentrations and gene silencing does not necessarily lead to toxicity.

# 5.1.2 Hybridization-independent

There are four subcategories of non-pharmacological toxicity:

i. Tissue accumulation in the kidney and liver - The consequences related to the concentration of ASOs in the kidney and liver tissues are the most frequently encountered in preclinical toxicity studies through in vivo and in vitro studies (Frazier, 2015). They are related to the distribution and metabolism of ASOs. In the liver, ASO accumulation is mainly mediated by sinusoidal endothelial cells, followed by hepatocytes and Kupffer cells (Vegeto et al., 2019). In the kidney, accumulation of ASOs occurs at high doses by reabsorption in the cells of the proximal convoluted tubule following glomerular filtration. The main symptom of liver toxicity occurs with increased levels of circulating liver enzymes; in contrast, increased tubular proteinuria (rarely glomerular nephritis) due to disruption of tubular reabsorption capacities is the main indication of renal damage (Vegeto et al., 2019). Histological analysis of both liver and kidney tissues shows the presence of ASOs in basophilic granules at the level of the cytoplasm of epithelial cells related to an increased incidence of degenerative alterations especially in chronic use. A second common histological change is the increase in granular or vacuolated macrophages linked to cellular activation and the secretion of pro-inflammatory cytokines (Frazier, 2015).

- ii. Aptamer binding The interaction of the ASO in plasma, intracellular or cell surface proteins is known as the "aptamer effect." A common consequence is the activation of complement, coagulation and immunity (Bennett and Swayze, 2010). Generally, this toxicity is influenced by the oligonucleotide chemical class and does not depend on the sequence (Geary et al., 2015). An exception is the activation of the Toll-like receptor of innate immunity, which has shown to be dependent on sequences rich in guanine and uracil at the 3' end (Krieg, 2006). This immunomodulatory effect, however, can be easily minimised by avoiding these sequence motifs.
- iii. Pro-inflammatory mechanisms Reactions at the injection site, fever, chills and nuchal rigidity were commonly observed during clinical trials of phosphorothioate ASOs (e.g., mipomersen) (Levin, 2019).
- iv. Thrombocytopenia At the clinical level, thrombocytopenia is a side effect that may hamper the development of ASOs after the use of gapmer PS-2'-O-MOE (Sahay et al., 2013). For example, severe thrombocytopenia has been reported in patients with transthyretin-mediated hereditary amyloidosis treated with inotersen. In these patients, monitoring of platelet counts and, if necessary, adjustment of the medicine dose is required.

# 5.2 Adverse effects caused by formulation

Proinflammatory and immunostimulatory effects are linked to the residual positive surface charge of some lipid nanoparticles used to promote siRNA biodistribution. Therefore, lipid nanoparticle formulations, as in the case of patisiran, require premedication with antihistamines, glucocorticoids and non-steroidal anti-inflammatory medicines to avoid unwanted effects (Coelho et al., 2013).

# 5.3 ASOs as interesting tools against oxidative stress

Encouraging results obtained mainly in preclinical studies suggest ASOs as interesting tools against oxidative stress. In C57BL/6J mice, an ASO was demonstrated to modulate the activity of a phosphatidylethanolamine N-methyltransferase (PEMT), that positively regulates mitochondrial ubiquinone (CoQ) content. Acute PEMT disruption mediated by ASOs was sufficient to increase mitochondrial CoQ and decrease superoxide, resulting in the preservation of insulin sensitivity and an improvement of glucose and insulin responses in high fat diet (HFD)-fed mice (Ayer et al., 2021).

Moreover, in HFD-fed mice, the treatment with generation 2.5 ASOs, which are highly potent and contain 2'-4' constrained ethyl (cEt)-modifications that allow the binding to RNase H1.20, were used against the mammalian STE20-like protein kinase 3

(MST3) and resulted in protection against diet-induced oxidative stress at hepatic level, improving the full spectrum of HFD-induced nonalcoholic fatty liver disease, including suppressed liver steatosis, inflammation, fibrosis, and cellular damage (Caputo et al., 2021). In particular, Mst3 ASOs was able to blunt lipogenic gene expression, and accumulation of acetyl-CoA carboxylase (ACC) protein leading to a reduction of the oxidative and ER stress induced by hepatic lipotoxicity in obese mice.

The same authors had previously reported that the knockdown of MST3 in cultured human hepatocytes stimulates  $\beta$ -oxidation and triacylglycerol (TAG) and inhibits fatty acid influx and lipid synthesis, instead MST3 overexpression increases lipid accumulation in mouse and human liver cells. This resulted in an increase in density and size of intracellular lipid droplets, which is known to cause cellular dysfunction and contribute to the development of lipid-related metabolic disorders. The authors in fact reported that reducing or increasing MST3 abundance in human cultured hepatocytes leads to a suppression or an aggravation of oxidative stress, respectively (Cansby et al., 2019).

In a murine model of Alzheimer disease (AD), a phosphorothionated antisense against glycogen synthase kinase (GSK)-3 $\beta$ , GAO, lead to an improvement of learning and memory abilities coupled with a reduction of protein oxidation and lipid peroxidation markers (Farr et al., 2014). This decrease in oxidative stress was associated to a concomitant increased levels of the antioxidant transcription factor nuclear factor-E2-related factor 2 (Nrf2), which is negatively regulated by GSK-3 $\beta$ .

Similarly, siRNA directed against another negative modulator of Nrf2 protected against oxidative stress *in vitro* and showed protective effects against MPTP-induced dopaminergic terminal damage *in vivo* when injected into the striatum (Williamson et al., 2012).

Other reports revealed suppressive effects of an ASO with an analogous structure as nusinersen on CNS oxidative stress and microglial activation, suggesting also a new role of SMN protein in microglia (Ando et al., 2020). In addition, in Spinal muscular atrophy (SMA) type 1 patients, preliminary results suggest that the therapy with nusinersen is indeed effective in reducing inflammation and oxidative stress (Bianchi et al., 2021). In fact, 6 months after starting treatment it was observed a reversion of the cerebrospinal fluid protein pattern from patient cohort to that of control donors. Remarkably, an upregulation of apolipoprotein A1 and E were detected. Since these multifunctional proteins are critically active in biomolecular processes aberrant in SMA, i.e., neuronal survival and plasticity, inflammation, and oxidative stress control, their nusinersen induced modulation may support SMN improved-expression effects.

Lastly, regarding miRNA inhibitors, an anti-miR-21 was demonstrated to be highly effective in murine models of Alport nephropathy: in particular, anti-miR-21 was able to reduce TGF- $\beta$ -mediated stress response in glomeruli, to mitigate the expression of PPAR- $\alpha$  and its downstream fatty acid oxidation, to inhibit proinflammatory and profibrotic signals and to control the production of reactive oxygen species (Ben-Nun et al., 2020). Based on these evidences, clinical trials have been started with two miR-21 inhibitors, RG-012 and Lademirsen (SAR339375), in patients with kidney fibrosis as a result of

Alport syndrome (Available from: https://clinicaltrials.gov/ct2/show/NCT03373786 and https://clinicaltrials.gov/ct2/show/NCT02855268).

# 6 FDA and EMA-approved formulations

As shown in Table 1 and Figure 2 there are already different ASOs approved from FDA and EMA for the treatment of several disorders, including metabolic/endocrine, neurological/neuromuscular, cardiovascular and infectious diseases.

# 6.1 Infectious diseases

# 6.1.1 Fomivirsen

The first oligonucleotide available for therapy was fomivirsen, a phosphorothioate ASO approved by the FDA and EMA respectively in 1998 and 1999 for the second-line treatment of cytomegalovirus (CMV) retinitis in patients with acquired immunodeficiency syndrome (AIDS) (Geary et al., 2002). Fomivirsen, administered locally by intravitreal injection, had a complementary structure to the viral messenger RNA encoding CMV immediate-early (IE)-2 protein, required for viral replication (Geary et al., 2002). Clinical efficiency was demonstrated in a randomised clinical trial, in which fomivirsen slowed the progression of the disease over 71 days compared to 13 days in the control group (Hutcherson and Lanz, 2002). The progression of the disease happened in 44% of treated patients compared to 70% in untreated patients (Hutcherson and Lanz, 2002). Although a milestone in the field of ASOs, this treatment was used for a limited period, as the incidence of cytomegalovirus retinitis was dramatically reduced by the development of highly active antiretroviral therapy (HAART) (Yin and Rogge, 2019). Developed by Ionis Pharmaceuticals and subsequently licensed to Novartis, it was revoked in 2002 in the European Union and in 2006 in the United States (Stein and Castanotto, 2017).

# 6.2 Cardiovascular diseases

# 6.2.1 Mipomersen

The first systemically approved ASO therapy was mipomersen, an antisense 20-mer phosphorothioate 2'-methoxy-ethoxy (MOE) gapmer, developed by Genzyme for adult patients with homozygous familial hypercholesterolaemia (HoFH) (Thomas et al., 2013). HoFH is an autosomal dominant genetic disorder characterised by high levels of low-density lipoprotein cholesterol (LDL-C) with a risk of developing coronary heart disease by the age of 30 (Cuchel et al., 2014). The disease is characterized by loss of function mutations in both LDL-receptor genes, causing the reduced liver uptake of plasma LDL cholesterol. Mipomersen forms a heteroduplex with a complementary mRNA sequence encoding for apolipoprotein B, the main component of LDL-C produced by the liver, resulting in the activation of RNase H (Akdim et al., 2010). The decreased production of apolipoprotein B consequently reduces the export of LDL-C from the liver, preventing the atherosclerotic process (Akdim et al., 2010). It is administered by subcutaneous injection at a dose of 200 mg once a week in addition

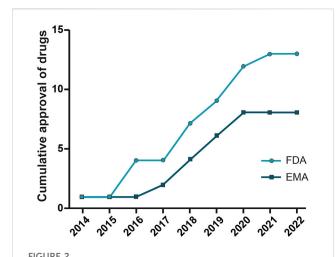
TABLE 1 FDA and EMA approved antisense drugs.

Name (market name)Company	Chemistry	Mechanism of action	Target/organ	Indication	Route/Dosing	Year of approval	Designation
Fomivirsen	21 mer PS DNA	RNase H1	CMV IE-2	CMV retinitis	IVT/300 μg every	FDA (1998)	-
(Vitravene <sup>™</sup> ), Ionis Pharma, Novartis			mRNA/eye		4 weeks	EMA (1999)	
Mipomersen (Kynamro™), Ionis Pharma, Genzyme, Kastle Tx	20 mer, 2'-O-MOE, PS, 5-methyl cytosine	RNase H1	Apo-B-100 mRNA/ liver	НоГН	SC/200 mg once weekly	FDA (2013)	Orphan
Eteplirsen (Exondys 51*), Sarepta Tx	30 mer PMO	Exon skipping	DMD pre-mRNA exon 51/Skeletal muscle	DMD	I.V. infusion/ 30 mg/kg once weekly	FDA (2016)	Orphan
Nusinersen (Spinraza*), Ionis Pharma, Biogen	18 mer PS, 2'-O- MOE, 5-methyl	Exon inclusion	SMN2 pre-mRNA exon 7/CNS	SMA	ITH/12 mg once every 4 months	FDA (2016)	Orphan
Tomo Tharma, Diogen	cytosine		0.10.11 77 0.110		every 1 monais	EMA (2017)	
Patisiran (Onpattro <sup>®</sup> ),	2'-O-Me, 2'F, PS	AGO2	TTR mRNA/liver	hATTR	I.V. infusion	FDA (2018)	Orphan
Alnylam	siRNA				0.3 mg/kg once every 3 weeks	EMA (2018)	
Inotersen (Tegsedi <sup>®</sup> ), Ionis Pharma, Akcea	20 mer 2'-O- MOE, PS	RNase H1	TTR mRNA/liver	hATTR	SC/300 mg once weekly	FDA (2018)	Orphan
Pharma	WIOE, FS				weekiy	EMA (2018)	
Vutrisiran/ALN- TTRSC02	2'-O-Me, 2'-F,PS	RNase H1	TTR mRNA/liver	hATTR	SC/25 mg every 3 months	FDA (2022)	Orphan
Milasen Boston Children's Hospital	22 mer 2'-O-MOE, PS, 5-methyl cytosine	Splicing modulation	Intron 6 spice acceptor cryptic site/CNS	CLN7	ITH/42 mg once every 3 months	FDA (2018)	Orphan
Volanesorsen (Waylivra <sup>®</sup> ), Ionis Pharma, Akcea Pharma	20 mer, PS,2'- O-MOE	RNAse H	mRNA APOCIII/ liver	FCS	SC/285 mg once weekly	EMA (2019)	Orphan
Givosiran (Givlaari®),	PS - SiRNA GalNAc	AGO2	ALAS1 mRNA/liver	AHP	SC/2,5 mg/kg once	FDA (2019),	Orphan
Alnylam					every months	EMA (2020)	
Golodirsen (Vyondys 53™), Sarepta Tx	25 mer PMO	Exon-Skipping	DMD pre-mRNA/ muscle	DMD	I.V/30 mg/kg once weekly	FDA (2019)	Orphan
Viltolanersen (Viltepso™), NS Pharma	PMO	Exon-skipping	DMD pre-mRNA/ muscle	DMD	IV/80 mg/kg once weekly	FDA (2020)	Orphan
Lumasiran (Oxlumo™),	siRNA	AGO2	HA01 mRNA/liver	PH1	SC/dose and	FDA (2020)	Orphan
Alnylam					frequency depend on the patient's weight	EMA (2020)	
Inclisiran (Leqvio <sup>®</sup> ), The	2'F, 2'-O-Me, PS	AGO2	mRNA PCSK9/liver	FH	SC/300 mg once	EMA (2020)	-
Medicines Company, Novartis	siRNA- GalNAc				every 6 months	FDA (2021)	
Casimersen (Amondys 45 <sup>TM</sup> ), Sarepta	22 mer PMO	Exon-Skipping	DMD pre-mRNA/ muscle	DMD	30 mg/kg once weekly	FDA (2021)	Orphan
Tofersen	2'-O-MOE-PS	RNase H1	SOD1 mRNA/brain	ALS	100mg/15 mL (6.7 mg/mL) single- dose vial	FDA (2023)	

Antisense medicines approved by the Food and Drug Administration (FDA) or European Medicines Agency (EMA). 2'-F— 2'-Fluoro, 2'-O- MOE—2'-O-methoxyethyl, AHP—acute hepatic porphyria, ALAS—Aminolevulinate synthase, Apo—Apolipoprotein, CLN7— Neuronal Ceroid Lipofuscinosis, CMV—cytomegalovirus, CNS—central nervous system, DMD—Duchenne muscular dystrophy, FCS—Familial chylomicronemia syndrome, FH—Familial hypercholesterolemia, GAlNAc—N-acetylgalactosamine, HAO1— Hydroxyacid oxidase 1, hATTR—hereditary transthyretin amyloidosis, HoFH—omozygous familial hypercolesterolaemia, ITH—Intrathecal, IV—Intravenous, IVT—intravitreal, PCSK9—Proprotein convertase subtilisin/kexin type 9, PH1— Hyperoxaluria type 1, PMO, Phosphorodiamidate morpholino; PS, Phosphorothioate, SC—Subcutaneous, siRNA—Small interfering RNA, SMA—spinal muscular atrophy, SMN—survival of motor neurons, TTR—Transthyretin.

to the maximum dose of hypolipidemic therapy and diet. Mipomersen was approved by the FDA in January 2013 on the basis of results obtained in four phase III clinical studies (Stein and

Castanotto, 2017) in which mipomersen reduced plasma C-LDL concentration by 25%–37% compared to baseline (primary endpoint) in patients with HoFH already treated with a



Oligonucleotide drugs approved by FDA and EMA. ASO drugs for different therapeutic areas (neurological and neuromuscular, metabolic and endocrine, and infection diseases) approved by the Food and Drug Administration and European Medicines Agency from 2013 to 2022.

hypolipidemic drug (Hair et al., 2013). There were also reductions in total cholesterol, apolipoprotein B and lipoprotein (a) (Hair et al., 2013). In contrast, the EMA denied the marketing authorisation on 13 December 2012 due to concerns about side effects of a drug intended for long-term use, including liver toxicity and cardiovascular risk (II GEB, 2013). Mipomersen did not accomplish a successful marketing as it was outperformed for minor hepatotoxic effects by a small molecule (lopitamide) (Stein and Castanotto, 2017). Its uncertain future is also linked to the competition of PCSK9 (Proprotein convertase subtilisin/kexin type 9) inhibitors, monoclonal antibodies (e.g., evolocumab and alirocumab) and oligonucleotide molecules recently approved (inclisiran) (Stein and Castanotto, 2017).

# 6.2.2 Volanesorsen

Volesorsen is a PS, 2'-O-MOE gapmer, approved by the EMA in May 2019, suitable for use in adults affected by familial chylomicronemia syndrome (FCS), a rare genetic disorder with high risk of pancreatitis, in case the response to diet and the therapeutic lowering of triglycerides is insufficient (Paik and Duggan, 2019). Volanesorsen, inhibits hepatic apolipoprotein CIII (APOCIII) mRNA, resulting in reduced plasma apolipoprotein C-III levels (Paik and Duggan, 2019). Also the inhibition of APOC3, which encodes a protein involved in triglyceride (TG)-rich lipoproteins (TGRLs) removal, has been reported to be an original target to treat severe hypertriglyceridemia (sHTG). It is administered by subcutaneous injection at a dose of 285 mg once a week for the first 3 months of treatment and then once every 2 weeks. The frequency of administration is adjusted again after six and 9 months according to the patient's clinical condition. Thrombocytopenia, observed in clinical trials, should be monitored in patients receiving the medicine (Witztum et al., 2019). The approval followed the results of the multinational phase III APPROACH study in which patients treated with volunesorsen showed a 77% reduction in average triglyceride levels and a significant reduction

in pancreatitis attacks (Witztum et al., 2019). Additional clinical trials are ongoing to evaluate its efficacy in hypertriglyceridemia, familial chylomicronemia syndrome (FCS) and partial lipodystrophy (Paik and Duggan, 2019).

# 6.2.3 Defibrotide

Defibrotide is approved by EMA and by FDA, respectively in 2013 and in 2016, for the treatment of patients with hepatic venoocclusive disease (VOD) that occurs after high dose chemotherapy and autologous bone marrow transplantation (HSCT) (Stein and Castanotto, 2017). Liver veno-occlusive disease results from damage and occlusion of small hepatic venules due to endothelial cells activity triggered by local release of cytokines as part of proinflammatory and pro-thrombotic states and activation of the fibrinolytic pathway (Dalle and Giralt, 2016). Hepatic sinusoids become fibrous with necrosis of perivascular hepatocytes. Patients may develop jaundice, painful hepatomegaly, fluid retention, ascites and weight gain with progressive organ failure (up to 80% mortality) (Dalle and Giralt, 2016). Defibrotide is a mixture containing femtomolar concentration of single- (90%) and double-stranded (10%) ASOs derived from porcine intestinal mucosal DNA (Kornblum et al., 2006). The concentration of any specific sequence in the mixture is approximately in the femtomolar range. Therefore, defibrotide cannot act through an antisense mechanism but, most likely, through charge-charge interactions of its phosphodiester constituents with proteins (Stein and Castanotto, 2017). Although the mechanism of action is uncertain, defibrotide has antithrombotic and anti-inflammatory properties. Defibrotide is in fact able to increase the expression of systemic tissue factor pathway inhibitor (TFPI), tissue plasminogen activator (t-PA) and thrombomodulin (TM); reducing expression of von Willebrand factor (vWF) and plasminogen activator inhibitor-1 (PAI-1); and enhancing plasmin enzyme activity to promote hydrolysis of fibrin clots (Richardson et al., 2017). The recommended dose is 6.25 mg/kg body weight every 6 h (25 mg/kg/day) administered by intravenous infusion for at least 21 days and is to be continued until symptoms and signs of severe VOD have resolved (Stein and Castanotto, 2017). The study had two endpoints: the primary one was the patient survival rate at day +100 post-HSCT. The percentage of patients alive in the DF group was 38.2% (39/102) versus 25% (8/32) in the control group. The secondary one was the complete response rate (defined as total bilirubin levels below  $2\,mg/dL$ ), with a rate of 23.5% (24/102) observed in the DF-treated group and a rate of 9.4% (3/32) in the control group (Richardson et al., 2016).

# 6.2.4 Inclisiran

Inclisiran is a 2'F, 2'-O-Me, PS siRNA-GalNAc, approved by both the EMA and FDA on the basis of surrogate endpoints for the use in adults with primary hypercholesterolaemia or mixed dysplidaemia as a supplement to standard diet and therapy (Food and Drug Admi nistration, 2020). These diseases result in elevated blood cholesterol levels with a predisposition to atherosclerotic disease.

Inclisiran should be used in combination in patients who, with the maximum tolerated dose of statins, are unable to reach lowdensity lipoprotein cholesterol goals. Inclisiran, by activating AGO2, induces cleavage of the mRNA encoding for proprotein convertase

subtilisin-kexin type 9 (PCSK9), an enzyme that negatively regulates the turnover and therefore LDL receptor (LDLR) levels on the hepatocyte membrane (Dyrbuś et al., 2020). This results in increased uptake of LDL from the bloodstream due to increased expression of LDLR levels (Dyrbuś et al., 2020). Chemical modifications and conjugation with three N-acetyl-galactosamine molecules significantly improve the biodistribution of the drug, allowing the cholesterol-lowering effect to be maintained for up to 6 months with a single subcutaneous injection of the medicine (Fitzgerald et al., 2017). Evidence supporting the efficacy of inclisiran comes from randomised, placebo-controlled phase III clinical trials. Among these studies, ORION-10 and ORION-11 were the studies with the highest number of patients (Dyrbuś et al., 2020). ORION-10 tested the medicine in 1,561 patients with atherosclerotic cardiovascular disease (ASCVD) and ORION-11 included 1,617 subjects with ASCVD or equivalent cardiovascular risk. At the end of the follow-up period (day 510), inclisiran reduced LDL-C levels by 52.3% in ORION-10% and 49.9% in ORION-11 (Dyrbuś et al., 2020). Currently, the ORION-4 study is underway to examine the impact of inclisiran (in addition to standard therapy and diet) in reducing the risk of major adverse cardiovascular events in approximately 15,000 ASCVD patients.

# 6.3 Metabolic and endocrine diseases

### 6.3.1 Givosiran

Givosiran is a GalNAc-conjugated PS-siRNA used to treat acute hepatic porphyria (AHP) in adults and adolescents over 12 years of age (Scott, 2020). Givosiran binds a ligand that enable the specific delivery of the siRNA to the liver, where it acts against the aminolevulinate synthase 1 (ALAS1) mRNA, resulting in decreased levels of neurotoxic δ-aminolevulinic acid and porphobilinogen, that are associated with acute porphyria attacks (Balwani et al., 2020). Administered by subcutaneous injection at a dose of 2.5 mg/kg once a month, givosiran enters the hepatocytes and degrades the mRNA encoding for ALAS1 by activation of AGO 2 (Scott, 2020). Approved by the FDA in 2019 and by the EMA in 2020, the efficacy of givosiran was evaluated in a randomised, double-blind, placebo-controlled clinical trial in a 1:1 ratio (ENVISION NCT03338816) (Balwani et al., 2020). Out of a total of 94 patients with AHP, 74% of patients treated with givosiran showed a reduction in porphyria attacks. Givosiran was well tolerated with common side effects such as nausea and injection site reactions (Balwani et al., 2020). Liver function and allergic reactions should be monitored during and after treatment (Dhuri et al., 2020).

# 6.3.2 Lumasiran

Developed by Alnylam Pharmaceuticals and approved in November 2020 by both the FDA and the EMA, lumasiran is a subcutaneously administered siRNA specifically to reduce hepatic overproduction of oxalate in patient with primary hyperoxaluria type 1 (PH1) (Scott and Keam, 2021). A high level of oxalate in the urine can cause kidney stones or kidney failure (Frishberg et al., 2019). By targeting the hydroxyacid oxidase 1 (HAO1) mRNA in the liver, lumasiran decrease the production of glycolate oxidase. The reduced production of this enzyme results in an increase in the

concentration of the precursor glycolate, which being soluble, is readily eliminated by the kidney (Scott and Keam, 2021). The drug dose is based on body weight. The clinical efficacy of lumasiran was evaluated in a randomized, double-blind trial comparison study between lumasiran and placebo in 39 patients aged 6 and 60 years with primary hyperoxaluria type 1. After 6 months of treatment, the level of oxalate in urine was reduced by 65% on average in patients on lumasiran compared with 12% in patients who received placebo (Garrelfs et al., 2021).

# 6.4 Neurological and neuromuscular diseases

# 6.4.1 Nusinersen

Nusinersen is an antisense 18-mer phosphorothioate 2'-methoxy-ethoxy (MOE) gapmer engineered to treat patients with Spinal muscular atrophy 5q (SMA), an autosomal recessive degenerative motor neuron disease (Chiriboga, 2017). This disease is caused by a deletion or mutation with total loss of function of the survival motor neuron 1 (SMN1) on chromosome 5q. SMN protein deficiency causes weakness and atrophy of the limbs and respiratory and bulbar muscles (Chiriboga, 2017). Typically, humans have SMN2, a paralogous copy of the SMN1 gene. SMN2 differs from SMN1 by a basic cytosine to thymine transition in exon 7 that results in a weakened splice site. Consequently, 90% of the transcripts derived from SMN2 induce the splicing of this exon resulting in a truncated protein that is rapidly degraded.

ASO-based approaches aimed at exon 7 splicing correction (Hua et al., 2008) have employed several targets including the 3' splice site (3' ss) of exon 8, element 1, GC-rich sequence (GCRS), ISTL1 and ISS-N2 and the 100th position of intron 7. Among these, the largest number of studies has been done on Intronic Splice Silencing site (ISS-N1)-targeting ASOs (Singh et al., 2015).

Nusinersen increases the inclusion rate of exon 7 in SMN2 gene transcripts by binding to ISS-N1 and displacing splicing factors by steric hindrance (Singh et al., 2006; Singh et al., 2017; Goodkey et al., 2018). It is administered intrathecally at a dose of 12 mg. Treatment with nusinersen involves four loading doses (12 mg) on days 0, 14, 28 and 63 and a maintenance dose administered every 4 months (Wurster et al., 2019).

Nusinersen was approved in December 2016 by FDA and in May 2017 by EMA on the basis of increased survival and improved motor function demonstrated in two randomised, double-blind, simulation-controlled phase III clinical trials (Finkel et al., 2017; Mercuri et al., 2018). The clinical benefit shown, allowed to stopped early the placebo-controlled studies in order to generate an openlabel study to receive the treatment (Yin and Rogge, 2019). Osredkar et al. recently have conducted a two-center study (in Slovenia and Czech Republic) which confirm the efficacy and safety of nusinersen after 14 months of treatment. The patient population under consideration included 61 subjects aged between 2 months and 19 years with a genetically confirmed diagnosis of SMA (Osredkar et al., 2021). Specifically, the results showed that all patients benefited from the drug. However, better outcomes were recorded with an earlier age of treatment initiation and a higher number of SMN2 copies. Neonatal screening may therefore be

necessary to maximize drug efficacy. In terms of safety, no side effects made it necessary to stop treatment (Osredkar et al., 2021).

### 6.4.2 Milasen

Milasen is an outstanding example of ASO-based personalised medicine as it is an ASO specifically designed for a girl suffering from neuronal ceroid lipofuscinosis 7 (CLN7), a disease that primarily affects the nervous system causing vision loss, dysarthria, ataxia and dysphagia (Kim et al., 2019). It is caused by an alteration in the transcript splicing due to the insertion of an SVA retrotransposon (SINE-VNTR-Alu) into the MFSD8 gene (also called CLN7). Milasen restores correct splicing by binding cryptic splice sites in the pre-mRNA (Kim et al., 2019). It is administered as an intrathecal bolus starting with 3.5 mg and increasing the dose every 2 weeks to 42 mg. After the dose increase, two additional loading doses are administered, followed by a maintenance dose approximately every 3 months (Kim et al., 2019). The safety of milasen has been assessed in preclinical toxicity studies (Dhuri et al., 2020).

# 6.4.3 Patisiran

Transthyretin-mediated hereditary amyloidosis (hATTR) is caused by a mutation in the gene coding for transthyretin (TTR), a protein produced by the liver that transports thyroxine and retinol in the plasma and cerebrospinal fluid (Hanna, 2014). The result of the mutation is the formation of an oversized protein that accumulates as insoluble amyloid fibrils in the nerves, heart and gastrointestinal tract. In addition to polyneuropathy, this disease leads to a decline in cardiac function with a life expectancy of 3-15 years after the appearance of symptoms (Hanna, 2014). Patisiran, a 2'-O-Me, 2'F, PS siRNA formulated in a lipid nanoparticle, is directed at gene silencing of a transthyretin-coding mRNA sequence by activation of AGO2 (Hoy, 2018). The recommended medicine dose is 300 mg/kg body weight administered by intravenous infusion once every 3 weeks. Premedication with dexamethasone, acetaminophen/paracetamol and H1 and H2 antagonists is required to reduce the pro-inflammatory effect of the formulation (Adams et al., 2017). In 2018, it was approved by both FDA and EMA on the basis of robust evidence of clinical efficacy with an acceptable safety profile in patients with hereditary transthyretin-mediated amyloidosis with polyneuropath, demonstrated in a double-blind, randomised, placebo-controlled phase III study (APOLLO II; NCT01960348) (Hoy, 2018; Adams et al., 2017). Both the primary endpoint of the study (mNIS + 7, modified Neuropathy Impairment Score +7) and the secondary endpoints (quality of life, muscle strength, gait speed, nutritional status and autonomic function) were widely demonstrated (Adams et al., 2017). Compared to inotersen, there were no serious side effects. Three post-approval clinical studies are currently underway. The aim of the first study is to evaluate the long-term efficacy and safety of patisiran (expected end date August 2022); the second study evaluates the safety and efficacy of patisiran in patients with hATTR after liver transplantation; the third study compares the efficacy of vitrusiran with patisiran for the treatment of hATTR (Dhuri et al., 2020).

# 6.4.4 Inotersen

Inotersen is a 2'-O-MOE PS gapmer ASO that has been approved by both the EMA and FDA in 2018 for the treatment of hATTR (Goodkey et al., 2018). Inotersen mediates the cleavage of

mRNA encoding for transthyretin through the activation of RNAse H (Goodkey et al., 2018). It is administered by subcutaneous injection at a dosage of 284 mg once a week. The clinical efficacy of inotersen was demonstrated in a randomised, double-blind, placebo-controlled clinical trial (NEURO-TTR, NCT01737398) (Benson et al., 2018). However, there were two significant adverse reactions in the treatment group: thrombocytopenia and glomerulonephritis, which required the introduction of metabolic and haematological monitoring (Dhuri et al., 2020). Interesting are the results obtained in a study conducted to evaluate the long term safety and efficacy of inotersen in transthyretin cardiomyopathy (Dasgupta et al., 2020). As cardiomyopathy is a major cause of death in patients with systemic transthyretin amyloidosis, this results showed that inotersen is safe and effective in this context (Dasgupta et al., 2020).

# 6.4.5 Eteplirsen

Duchenne muscular dystrophy (DMD) is an autosomal recessive myophathy caused by mutations in the dystrophin gene. Patients usually experience premature death around 30/40 years of age due to respiratory or cardiac failure (Aartsma-Rus and Krieg, 2017). Dystrophin deficiency occurs at the age of 2-5 years with delayed walking, hypotonic limbs, weakness and loss of muscle function. Goldstandards in the management of complications are corticosteroids and artificial respirators. Additionally, drug therapies including eteplirsen have been approved by the FDA to treat specific types of DMD. Eteplirsen is a 30-nucleotide PMO indicated for the treatment of DMD in patients with a specific genetic mutation that causes the deletion of exons 49-50, leading to the formation of a stop signal on codon 51. It is designed to bind to exon 51 of dystrophin pre-mRNA and promote the skipping of exon 51, excluding this exon from the primary dystrophin transcript (Vegeto et al., 2019). The final transcript results in a truncated but partially functional protein, as occurs in Becker muscular dystrophy (milder form of the dystrophic phenotype) (Beckers et al., 2021). It is administered by weekly venous infusion at a dose of 30 mg/kg. The clinical efficacy of eteplirsen was evaluated in a small group of patients in a phase II study in which the primary endpoints were the distance covered in 6 minutes and the percentage of dystrophin-positive fibres (Mendell et al., 2013). There were no significant differences in the gait test between groups and a moderate increase in dystrophin expression in muscle tissue was detected by immunohistochemical analysis (from 0.16% at baseline to 0.48% at week 48) (Mendell et al., 2013). The reasons for a weak physiological response to eteplirsen are due to a reduced absorption in muscle and a rapid renal filtration due to low plasma protein binding (Levin, 2019). In 2016 the FDA granted controversial accelerated approval based on surrogate endpoints (Mendell et al., 2013) to eteplirsen given the unsatisfied medical need, the severity and progressive nature of the disease. This authorization is subject to the completion of further studies confirming the function benefit from eteplirsen treatment. Otherwise, in 2018, the EMA refused the marketing of the medicine due to lack of data about the clinical benefit (Aartsma-Rus and Goemans, 2019).

# 6.4.6 Golodirsen

Golodirsen is a ASO with 25 monomers suitable for the treatment of DMD (Heo, 2020). Similar to eteplirsen, it has a neutral synthetic backbone (PMO chemistry), however, it is

TABLE 2 Investigational antisense drugs.

Drug candidate NCT ID	Chemistry	Mechanism of action	Target/organ	Indication	Route	Company
Tominersen (RG6042)	2'-O-MOE-PS	RNase H1	HTT mRNA/brain	Huntington's disease	ITH	Ionis
Casimersen	PMO	Exon skipping	DMD exon 45/muscle	DMD	IV	Sarepta
AKCEA-TTR-LRx 04136171	siRNA GalNAc	RNAi	TTR mRNA/liver	ATTR with cardiomyopathy	SC	Ionis
QPI-1002	siRNA	RNAi	p53 mRNA/kidney	Cardiac surgery/kidney delayed graft function/acute kidney injury	IV	Quark
Fitusiran/ALN-AT3	siRNA GalNAc	RNAi	Anti-thrombin mRNA/liver	Haemophilia A and B	SC	Genzyme
Volanesorsen	2'-O-MOE-PS	RNase H1	ApoC-III mRN/liver	FCS/Lipoprotein Lipase Deficiency/Hyperlipo proteinemia type 1	SC	Ionis
Alicaforsen	PS	RNase H1	ICAM1 mRNA/colon	Pouchitis	Е	Atlantic
Tivanisiran	siRNA	RNAi	TRPV1/eye	Dry eye syndrome	IVT	Sylentis
IONIS-TTR Rx	2'-O-MOE-PS	RNAse H1	TTR mRNA/liver	FAP/CS	SC	Ionis

Antisense drugs that showed promise in phase III, clinical trials 2'-O- MOE—2'-O-methoxyethyl, ALS—amyotrophic lateral sclerosis, ATTR—Transthyretin amyloidosis, DMD—Duchenne muscular dystrophy, E—Enema, Apo—Apolipoprotein, FAP—familial amyloid poly neuropathy, FCS—Familial chylomicronemia syndrome, GAlNAc—N-acetylgalactosamine, HTT—Huntingtin, ICAM—Intercellular adhesion molecule, ITH—Intrathecal, IV—Intravenous, IVT—intravitreal, PMO, Phosphorodiamidate morpholino; PS, Phosphorothioate, SC—Subcutaneous, siRNA—Small interfering RNA, SOD1—Superoxide dismutase, TTR—Transthyretin, p53—Tumor protein, TRPV1—Transient Receptor Potential Vanilloid 1.

targeted at patients with a different genetic mutation. Specifically, it is recommended for the treatment of DMD in subjects with a confirmed mutation in the dystrophin gene susceptible to skipping exon 53 (approximately 8% of DMD patients). Golodirsen is engineered to make exon 53 skip in the dystrophin gene, enabling the production of a truncated but still functional form of dystrophin, which is a deficient protein in the disease (Heo, 2020). The recommended dose of golodirsen is 30 mg/kg, administered by intravenous infusion (Heo, 2020). Renal function should be monitored in patients taking the medicine, as renal toxicity has been observed during preclinical in vivo studies (Frank et al., 2020). Golodirsen was approved in December 2019 by the FDA following positive results from a phase I/II clinical trial, which demonstrated increased dystrophin expression at the muscle level (Frank et al., 2020). The approval is subject to the implementation of further clinical studies confirming drug efficacy, i.e., improvement in mobility function, measured as the change in the 6-min walk test (6MWT) from baseline to week 26. The multicentre, randomised, double-blind, placebo-controlled phase III ESSENCE study is currently taking place and is expected to be completed by 2024. This study aims at assessing not only the safety and efficacy of golodisen but also of casimersen, an oligonucleotide that was approved by FDA for DMD patients with a mutation in dystrophin exon 45.

# 6.4.7 Viltolanersen

Viltolarsen, an antisense phosphorodiamidate morpholino oligonucleotide, is specific for exon 53 of the dystrophin mRNA precursor. It has the capability to induce exon 53 skipping, generating a functional truncated dystrophin protein in DMD patients with a specific mutation (Dhillon). It is administered intravenously once a week for 1 h at a dose of 80 mg/kg. Renal function monitoring is required for patients receiving viltolanersen. Viltolanersen received accelerated marketing approval from the

FDA in August 2020 on the basis of a multicentre phase II clinical trial, which showed increases in *de novo* dystrophin production in muscle (surrogate endpoint) and clinical improvements in time-based functional tests (Clemens et al., 2020).

### 6.4.8 Casimersen

Casimersen is an antisense phosphorodiamidate oligonucleotide designed for exon skipping in patients diagnosed with DMD confirmed by the susceptible exon 45 skip genotype (Shirley, 2021). This drug allows the increase of dystrophin production at the skeletal muscle level through the synthesis of an internally truncated but partially functional protein in patients with DMD.

Casimersen is administered by intravenous infusion at the dose of 30 mg/kg once weekly (Shirley, 2021).

In February 2021, Casimersen received accelerated approval from the FDA based on the results of the ongoing, double-blind, placebo-controlled phase 3 study. Intermediate results showed a greater change in dystrophin expression from baseline in the casimersen treated group compared to placebo controls.

# 7 Future perspectives

The number of ASO molecules under investigation for the treatment of several diseases is considerable. The main candidates in phase III clinical trials are briefly described below in Table 2. It should be noted that, to date, these molecules are still mainly intended for local delivery (to the eye by intravitreal injection, to the central nervous system by intrathecal administration, to the colon by enema); and for delivery to the liver and kidney, the major organs where ASOs accumulate. As documented by the most recently approved drugs, oligonucleotides can be used both in personalised medicine (e.g., milasen) and for the treatment of a significant population of individuals (e.g., inclisiran). In the context

of optimizing the development of ASOs, it is important to discuss the critical aspects of their design. The size of ASOs appears to play a pivotal role in reducing off-target effects, and careful consideration should be given to this parameter. Moreover, more extensive RNA-Seq and proteomic studies are needed to gain a deeper understanding of off-target effects that cannot be reliably predicted by currently available bioinformatic algorithms. These studies provide detailed information about how proteins are produced and how genes are expressed at the RNA level. This information can be crucial for assessing their off-target effects and also ensuring the safety and efficacy of ASOs in clinical applications. Additionally, a key challenge in the advancement of ASO-based therapeutics is the identification of chemical structures able to better pharmacokinetics and pharmacodynamic properties. While GAlNAc conjugates and encapsulation in lipid nanoparticles (LNPs) have demonstrated excellent delivery to the liver, particularly for metabolic liver-related diseases, further research and advancements are required to achieve meaningful and widespread delivery to other tissues. Tissue-specific targeting represents a promising avenue for achieving pharmacological effect at lower doses and, at the same time, decrease the main causes of unresolved toxicity, including off-target accumulation in renal and hepatic tissues and proinflammatory effects.

# 8 Conclusion

Major chemical and technological improvements have been made to diminish the toxicity and increase the efficacy of ASOs. This process has recently led to a great number of ASO-based medicines being used in clinical practice and to a renewed interest from big pharma, resulting in a multibillion-dollar market, comparable to that of small molecules. ASOs may be used in monotherapy or in combination with traditional therapy. They constitute a valid therapeutic alternative for diseases with no cure or effective therapies (orphan genetic diseases).

Thanks to their properties, ASOs are able to regulate oxidative stress and inflammatory response that are common mechanisms altered in many disorders. Currently, ASOs are primarily intended for the treatment of neurological, neuromuscular and metabolic disorders. However, thanks to further technological innovations, ASOs are expected to be used as advanced therapeutics for many other disorders in the next future.

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# Glossary

Glossary	/	IV	Intravenous
		IVT	intravitreal
2'- F	2'-Fluoro	LDL-C	Low-density lipoprotein cholesterol
2'-O-Me	2'-O-methyl	LDL-R	Low-density lipoprotein receptor
2'-O-MOE	2'-O-methoxyethyl	LNP	lipid nanoparticles
6 MWT	6 min walk test	mRNA	RNA messenger
AGO-2	Argonaut 2	MFSD8	Major Facilitator superfamily domain containing 8
AHP	Acute hepatic porphyria	miRNA	microRNA
AIDS	Acquired immunodeficiency syndrome	mNIS+7	modified neuropathy impairment score +7
ALAS	Aminolevulinate synthase	p53	Tumor protein, PAI—plasminogen activator inhibitor
ALS	amyotrophic lateral sclerosis	PBAE	poly-(beta-amino-ester)
aODN	antisense OligoDesoxiNucleotides	PCSK9	Proprotein convertase subtilisin/kexin type 9
APO	Apolipoprotein	PEG	Polyethylene glycol
ATTR	Transthyretin amyloidosis	PEI	Polyethylenimine
ASCVD	Atherosclerotic cardiovascular disease	PH1	Hyperoxaluria type 1
ASGR	Asialoglycoprotein receptor	PMO	Phosphorodiamidate morpholino
ASO	Antisense Oligonucleotides	PNA	Nucleic peptide acid
BNA	Bridged nucleic acid	pre-mRNA	precursor RNA messenger
CLN7	Neuronal Ceroid Lipofuscinosis	PS	Phosphorothioate
CMV	Cytomegalovirus	QOL-DN	Norfolk Quality of Life-Diabetic Neuropathy
CNS	Central nervous system	RISC	RNA Induced Silencing RNA
CPP	Cell-penetrating peptides	RNA	RiboNucleic Acid
CRISP	Clustered Regularly Interspaced Short Palindromic Repeats	RNAi	RNA interference
crRNA	CRISP RNA, DNADeoxyriboNucleic Acid	RNAse H	ribonuclease H
DF	Defibrotide	SC	Subcutaneous
DMD	Duchenne muscular dystrophy	SELEX	Systematic Evolution of Ligands by Exponential Enrichment
EMA	European Medicines Agency	sHTG	severe hypertriglyceridemia
E	Enema	siRNA	Small interfering RNA
EPR	Enhanced permeability and retention	SMA	Spinal muscular atrophy
FAP	Familial amyloid poly Neuropathy	SMN	Survival of motor neuron
FCS	Familial chylomicronemia syndrome	SNA	Spherical nucleic Acid
FDA	Food and Drug Administration	SOD1	Superoxide dismutase
FH	Familial hypercholesterolemia	sos	Sinusoidal obstruction syndrome
GAINAc	N-acetylgalactosamine	STAB	stabilin
HAART	Highly active antiretroviral therapy	SVA	SINE-VNTR-Alu
HAO1	Hydroxyacid oxidase 1	TG	Triglyceride
hATTR	Hereditary Transthyretin amyloidosis	TGRL	Triglyceride rich lipoprotein
HoF	HOmozygous familial hypercolesterolaemia	TFPI	Tissue factor pathway inhibitor
HSCT	Hematopoietic stem cell transplantation, HTTHuntingtin	TLR	Toll-like receptor
ICAM	Intercellular adhesion molecule	TM	thrombomodulin
IE2	Immediate early 2	tPA	tissue plasminogen activator
ITH	Intrathecal	TRBP	TAR RNA binding protein

TRPV1 Transient Receptor Potential Vanilloid 1

TTR Transthyretin

VEGF vascular endothelial growth factor

VOD Veno-occlusive disease
vWF von Willebrand factor



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# Analysis of anti-rheumatic activity of *Nyctanthes arbor-tristis* via *in vivo* and pharmacovigilance approaches

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**Introduction:** Rheumatoid arthritis (RA) is an immune-mediated disease associated with chronic inflammation of numerous joints. *Nyctanthes arbortristis* (NAT) is a traditional remedy for RA, a chronic inflammatory disorder.

**Aim:** The current project aims to demonstrate the role of the NAT extracts in subacute toxicity, pharmacovigilance, and anti-rheumatic biomarkers.

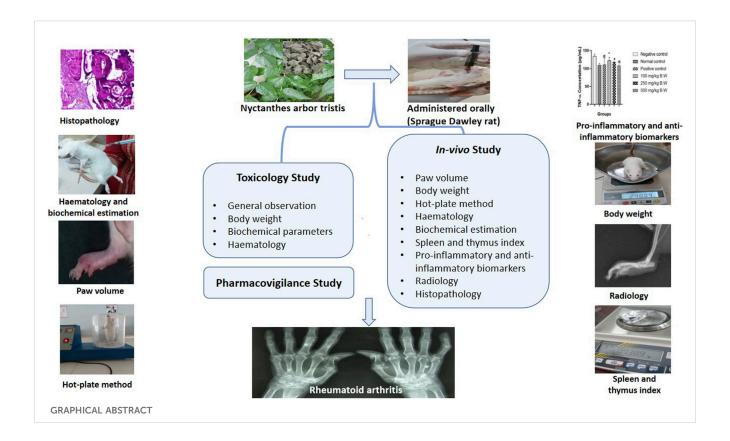
**Method:** Hydroethanolic extract (1:1) of plant leaves was prepared by using the reflux method. The safety of the dose was evaluated in Sprague–Dawley rats, and the anti-inflammatory effects of NAT on RA symptoms, including paw volumes, body weight, arthritic index, withdrawal latency, hematology and serological test, radiology, and histopathology, were evaluated in Freund's complete adjuvant (FCA)-induced arthritis Sprague–Dawley rat models. The inflammatory (TNF- $\alpha$  and COX-2) and anti-inflammatory markers (IL-10) were analyzed in control and experimental groups.

**Result:** The study showed that 500 mg/kg BW NAT leaf extract was found to be least toxic without showing any subacute toxicity symptoms. The pharmacovigilance study highlighted the potential side effects of NAT, such as drowsiness, sedation, and lethargy, at high dosages. Treatment with the plant extract mitigated paw edema, restored the immune organ and body weights, and ameliorated the level of blood parameters such as hemoglobin, red blood cells, platelets, white blood cells, aspartate aminotransferase (AST), alanine transaminase (ALT), C-reactive proteins, and rheumatoid factor. Treatment with the plant extracts also reduced the level of cyclooxygenase 2 and TNF- $\alpha$  and increased the level of IL-10 in the serum of arthritic rats dose-dependently. Radiographic analysis of the ankle joint showed an improvement in the hind legs. Histological examination of the ankle joints revealed that the plant extract treatment decreased pannus formation, inflammation, and synovial hyperplasia in arthritic animals.

**Conclusion:** NAT 500 mg/kg could serve as a promising therapeutic option for the treatment of inflammatory arthritis.

### KEYWORDS

Harsingar, anti-inflammatory, rheumatoid arthritis, cytokines, Freund's complete adjuvant, indomethacin



# 1 Introduction

Rheumatoid arthritis (RA) is an immune-mediated disease associated with chronic inflammation of numerous joints. RA symptoms include persistent joint synovial inflammation, pannus development, and subsequent destruction of adjacent bone/cartilage tissue (Marsh et al., 2021). It can rapidly progress to inflammation, affecting various systems with irreversible joint dysfunction, resulting in early mortality, debility, reduced quality of life, and even mortality (Radu and Bungau, 2021). Articular cartilage hyperplasia is a key step in the pathogenesis of RA, which is facilitated by macrophages, T and B lymphocytes, fibroblasts, and pro-inflammatory cytokines, most prominently interleukins (IL-6, IL-1, and TNF-α) (Nygaard and Firestein, 2020). Increased oxidative stress, along with an increase in pro-inflammatory cytokines, is thought to be a significant risk factor for joint deterioration in RA. When inflammatory cells like macrophages and neutrophils stimulate cytokine production, they release reactive oxygen species (ROS) and may damage tissues (Warmink et al., 2023).

Traditional non-steroidal anti-inflammatory medicines (NSAIDs) and disease-modifying anti-rheumatic drugs (DMARDs) have been replaced by innovative biological agents such as TNF monoclonal antibodies, which have improved the treatment strategies for RA patients in recent years (Ben Mrid et al., 2022). Existing medications for rheumatoid arthritis have demonstrated restricted effectiveness in achieving remission in specific patients and are associated with a range of side effects, encompassing systemic organ toxicity affecting the gastrointestinal tract, skin, and kidneys, as well as immunotoxicity, which heightens the risk of infections (Martu et al., 2021). Herbal therapies have been

used conveniently for some time with no obvious toxicity or adverse effects, prompting a fresh wave of research into conventional methods currently. Sharma and Goel (2023) suggest that now is the time to look for new and improved natural medicines that can be used for the long-term treatment of RA.

Night jasmine, scientifically known as Nyctanthes arbor-tristis Linn and commonly referred to as night jasmine, belongs to the Oleaceae family (Solanki et al., 2021). This plant species is indigenous to South Asia, with its presence documented in regions spanning India (Assam, Arunachal Pradesh, and the area extending southward to the central region of Godavari), Nepal, Bhutan, Java, Sri Lanka, and Sumatra (Pundir et al., 2022). In Ayurveda, Nyctanthes arbor-tristis holds significant medicinal potential. It has been identified as a valuable source of bioactive compounds that can serve both as medicines and as intermediates for the development of novel therapeutic agents in modern medicine (Wang et al., 2023). Historically, Nyctanthes arbor-tristis has demonstrated a wide range of therapeutic properties, including antimicrobial, antioxidant, antiviral, antidiabetic, antimalarial, antifungal, anti-inflammatory, anticancer, central nervous system depressant, hepatoprotective, and immunostimulant activities. Comprehensive studies, both in vitro and in vivo, have scientifically validated the presence of these pharmacologically active constituents throughout various parts of the plant (Rawat et al., 2021). Traditionally, the leaves of this plant have been used to prepare decoctions and juices for treating inflammatory disorders such as arthritis and rheumatism. Additionally, the flowers of Nyctanthes arbor-tristis have been recognized for their beneficial properties in addressing conditions such as indigestion, flatulence, and stomachic issues; promoting bowel regularity; addressing piles;

and managing various skin ailments (Chakraborty and Datta, 2022). In the realm of rheumatic joint pain relief, the powdered stem bark has historically been employed, albeit with limited scientific documentation. The objective of this study was to assess the potential toxicity resulting from the daily administration of hydroethanolic extracts derived from the *Nyctanthes arbor-tristis* leaves for over a 28-day period. Additionally, we aimed to investigate their *in vivo* anti-edematous and anti-arthritic activities as well as their impact on cytokine profiles in a model of Freund's complete adjuvant (FCA)-induced experimental arthritis.

# 2 Materials and methods

# 2.1 Authentication and procurement of the plant

From January to March, leaves were collected from the GLA University campus in India. At the Agharkar Research Institute in Pune, India, the plant specimens were identified and authenticated using the voucher specimen (AUTH 22-48). Fresh leaves were thoroughly washed in distilled water and tap water before being air-dried. When not in use, the dried leaves were coarsely crushed with an electric mixer and stored in sealed plastic bags.

# 2.2 Preparation of hydroethanolic extract

The phytoconstituents were extracted using a hydroethanolic solvent in a 1:1 ratio, employing a reflux apparatus. The resulting extract was then concentrated at  $45^{\circ}$ C by using a rotary vacuum evaporator from Yamato Scientific Co., Japan. In preparation for the *in vivo* study, the concentrated extract was subsequently stored at  $-20^{\circ}$ C.

# 2.3 Experimental animal

The study protocol was approved by the Institutional Animal Ethical Committee (IAEC) of the Dept. of Biotechnology and Institute of Pharmaceutical Research, GLA University, Mathura, India, in accordance with the regulations of CPCSEA (1260/PO/AC/09/CPCSE). Female Sprague–Dawley (SD) rats were obtained from the "National Institute of Biologicals," Noida, India. The animals were housed in a typical animal housing facility with a temperature of  $24 \pm 1\,^{\circ}\text{C}$ , relative humidity of (45%–50%), and a light/dark cycle of 12 h. The animals were fed a conventional pellet chow diet and were given unlimited access to water. The animals were allowed to acclimate for at least 10 days before the experiments.

# 2.4 Selection of doses and sub-acute toxicity studies

The dose was chosen based on prior toxicity tests, which showed that a dose of 2 g/kg BW did not cause mortality in rats (Hazarika

et al., 2022). As a result, the maximal dose in this investigation was set at 2 g/kg BW. The oral toxicity investigation was conducted based on OECD Guideline 407 (Balkrishna et al., 2023). The animals were divided into six groups of six each (females). Group 1 was treated as the vehicle control. Extract doses of 100, 250, 500, 1,000, and 2,000 mg/kg/BW were administered to groups 2, 3, 4, 5, and 6 and the vehicles, respectively, for 28 days. All the extracts were given via oral gavage.

# 2.4.1 General observation and mortality

Death, any sign of illness, and response to therapy were recorded twice daily, along with other general observations like changes in the skin, drowsiness, sedation, eye color, general physique, coma, and death. The procedures were conducted following the guidelines for the recognition, evaluation, and use of clinical signs as the human endpoint for the safety evaluation of experiments involving animals.

# 2.4.2 Body weight

During the experiment, the body weight was recorded at 0 days and after 7-day intervals up to 28 days by using a digital weighing balance.

# 2.4.3 Hematological and biochemical analysis

All the animals were made to fast overnight before drawing the blood for analysis of the hematology and biochemical parameters. The animals were provided free access to water. The rats were anesthetized before drawing blood samples directly from the heart, and the samples were stored in EDTA-coated and plain vials. Hemoglobin, white blood cell count, red blood cell count, platelets, protein, albumin, gamma globulin, urea, uric acid, creatinine, AST, ALT, bilirubin, and cholesterol of the control and plant-treated groups were estimated using an automatic hematology analyzer (hematology auto analyzer MEK-6420P) and clinical chemistry analyzer (semi-automated biochemistry analyzer Erba Chem 5X).

# 2.5 Pharmacovigilance study

In this research, we conducted a pharmacovigilance study, including the detection, assessment, understanding, and prevention of the potential risk of NAT, through literature reviewing, *in vivo* experiments, and surveillance database mining.

# 2.5.1 Detection

This involves identifying potential adverse drug reactions (ADRs) associated with the use of *Nyctanthes arbor-tristis*. This can be done through spontaneous reporting, clinical trials, systematic reviews, and observational studies. There is limited scientific evidence available on the potential ADRs associated with *Nyctanthes arbor-tristis*, as it is a traditional herbal medicine and has not been extensively studied in clinical trials. From the Chinese Field Herbarium official website (http://www.cfh.ac.cn/), the term *Nyctanthes arbor-tristis* was entered, and the relevant species information card (http://www.cfh.ac.cn/34781.sp) was used to find the nickname of *Nyctanthes arbor-tristis*. With regard to the detection of potential safety issues, this study systematically searched the China National Medical Product

Administration website, the FDA Adverse Event Reporting System, the Canadian Institutes of Health Research, and the Medicinal plant database, Botanical Survey of India, India (https://bsi.gov.in/page/en/medicinal-plant-database) and comprehensively identified adverse reactions associated with *Nyctanthes arbor-tristis*. In addition, we also searched published articles from PubMed, China National Knowledge Infrastructure Database, and Google Scholar to detect the potential risk of *Nyctanthes arbor-tristis*.

### 2.5.2 Assessment

After identifying the ADRs of *Nyctanthes arbor-tristis*, further research should be conducted to assess the severity and frequency of these reactions. Since *Nyctanthes arbor-tristis* is a traditional herb commonly used mostly in India and China, and there is no patent drug made from just *Nyctanthes arbor-tristis*, we searched the database of clinical trials in China (http://www.chictr.org.cn), NIH U.S. National Library of Medicine (https://clinicaltrials.gov), and India to conduct the risk assessment.

# 2.5.3 Understanding

Based on the literature search, there are some potential ADRs related to the risks of *Nyctanthes arbor-tristis*, and this research conducted a safety experiment to find out the mechanism of the risk of *Nyctanthes arbor-tristis*. This study promoted the understanding of the potential risk of *Nyctanthes arbor-tristis*. Further research can be conducted to understand the mechanisms behind these reactions and the risk factors for developing them.

### 2.5.4 Prevention

Based on the results of the risk assessment, appropriate risk minimization strategies are developed and implemented. Based on the pharmacovigilance study, we should pay attention to the dosage and duration of *Nyctanthes arbor-tristis* in medical use. If there is any adverse reaction monitored, it will be necessary to discontinue the use of *Nyctanthes arbor-tristis* and treat the ADR symptoms.

# 2.6 Anti-rheumatic activity

# 2.6.1 Induction of RA by FCA

Subcutaneous injection of approximately 0.1 mL of FCA was administered to the subplantar region of the left hind paw on both the first and seventh days to all the animals in every group, except for those in the vehicle control group (Singh et al., 2021).

For the study of FCA-induced arthritis, the rats were split into six groups with six rats in each group.

Group I: vehicle control: normal saline was given as an oral 1% w/v suspension.

Group II: arthritic control: normal saline was given as an oral 1% w/v suspension.

Group III: arthritic animals were given 10 mg/kg of body weight of indomethacin, a commonly used anti-inflammatory medication. Group IV: rheumatic arthritic animals were treated orally with a

hydroethanolic fraction of NAT at a dose of 100 mg/kg body weight.

Group V: rheumatic arthritic animals were treated orally with a hydroethanolic fraction of NAT at a dose of 250 mg/kg body weight.

Group VI: rheumatic arthritic animals were treated orally with a hydroethanolic fraction of NAT at a dose of 500 mg/Kg body weight.

At the conclusion of the experiment, the rats were anesthetized using 7% chloral hydrate (400 mg/kg, administered intraperitoneally; supplied by Sinopharm Chemical Reagent Co., Ltd.). It was verified that the rats exhibited no indications of peritonitis, pain, or discomfort as a result of anesthesia. Following anesthesia, blood was drawn from the live anesthetized rats from the abdominal aorta. After obtaining 5 mL of blood, the rats were subsequently euthanized through cervical dislocation. Furthermore, the ankle joints, synovial tissue, and spleen were collected from each animal.

# 2.6.2 Evaluation of paw volume

A "plethysmometer (Ugo, Basile, Italy)" was used to measure the left paw volume up to the lateral malleolus before FCA injection on the first day and at 7-day intervals thereafter until the 28th day (Shakeel et al., 2021).

# 2.6.3 Determination of body weight

During the experiment, the body weight was measured by using a digital scale (Sartorius 1413, MP 8/8-1, Bohemia, NY, United States) before the first FCA injection on the first day and then at different times until the 28th day (Singh et al., 2021).

# 2.6.4 Analgesic assessment using the hot plate test

Analgesic activity was evaluated using a modified version of Eddy's hot plate method (Gurung et al., 2020). The temperature of the plate used to house the rats was maintained at  $55 \pm 1^{\circ}$ C. There was a log detailing how long the rats took for paw licking and how long they took for jumping. Each rat's reaction time, measured in seconds, was recorded as it took flight from the plate. The nociceptive response was assessed at 15-min intervals for 90 min before and after the administration of the vehicle; NAT hydroethanolic extracts at 100, 250, and 500 mg/kg and indomethacin at 10 mg/kg.

# 2.6.5 Radiological analysis of ankle joints

On day 28, after injecting FCA into the animal's hind paws, they were anesthetized using ketamine anesthesia for X-rays analyzed by (GE DX-300). At 40 kV peak and 12 Ms, radiographs of the hind paw were taken. The radiographic alterations were deduced from the X-ray image (Alamgeer et al., 2017).

# 2.6.6 Determination of spleen and thymus weight

Rats were slaughtered using ketamine anesthesia after the experiments. The weights of all the thymus and spleens were measured.

# 2.6.7 Parameter of biochemical estimation

The blood was taken on the 28th day of the experiment. Aspartate aminotransferase (AST), alanine transaminase (ALT), C-reactive protein (CRP) level, and rheumatoid factor (RF) were estimated in the serum (Vijeesh et al., 2022).

Groups	Change in skin	Drowsiness	Sedation	Eye color	General physique	Coma	Death
Vehicle control	No effect	Not present	Not observed	No effect	Normal	Not present	Alive
NAT (100 mg/kg)	No effect	Not present	Not observed	No effect	Normal	Not present	Alive
NAT (250 mg/kg)	No effect	Not present	Not observed	No effect	Normal	Not present	Alive
NAT (500 mg/kg)	No effect	Not present	Not observed	No effect	Normal	Not present	Alive
NAT (1,000 mg/kg)	No effect	Present	Observed	No effect	Lethargy	Not present	Alive
NAT (2,000 mg/kg)	No effect	Present	Observed	No effect	Lethargy	Not present	Alive

TABLE 1 General appearance and behavioral observations of the sub-acute toxicity study for the control and treated groups.

# 2.6.8 Estimation of inflammatory biomarkers (TNF- $\alpha$ , COX-2, and IL-10)

Pro-inflammatory and anti-inflammatory biomarkers, including TNF- $\alpha$  (DY510-05), COX-2 (ELK7718), and IL-10 (DY522-05), were analyzed using the R&D Systems DuoSet Development Kit with pre-made ELISA reagent kits as per the instruction of the manual by ELISA (i-mark microplate absorbance reader Bio-Rad (Felipe et al., 2020).

### 2.6.9 Histopathological assessment of joints

For histological analysis, the paws were removed from treated and control rats and their ankle joints were transacted between the medial and lateral malleoli. The rats' feet were then placed in 10% formalin (Uttra et al., 2019).

# 2.7 Data and statistical analysis

The data are presented in the form of mean  $\pm$  SEM for a sample size of six animals. We conducted statistical analysis on the experimental results using one-way ANOVA followed by the Dunnett test, employing GraphPad InStat software. A significance level of p < 0.05 was employed to determine statistical significance.

# 3 Result

# 3.1 Sub-acute toxicity study

The sub-acute toxic study of the tested plant extract was determined as per OECD guideline 407.

# 3.1.1 General observation and mortality

In the experiment groups and the control group, no treatment-related deaths were reported. Throughout the 28-day study period, neither physical nor behavioral changes were seen in the groups, but drowsiness, sedation, and lethargy were observed in 1,000 and 2,000 mg/kg BW animals, as shown in Table 1.

# 3.1.2 Change in body weight

There was no statistically significant difference found in average body weight between the vehicle control and the NAT group at doses of 100, 250, 500, 1,000, and 2,000 mg/kg, but in the 1,000 and 2,000 mg/kg BW group animals, decrease in body weight was

observed compared to other groups. The effect of NAT extract on body weight is shown in Table 2 (p > 0.05).

# 3.1.3 Effect of the plant extract on hematological and biochemical parameters

The results of the various hematological and biochemical parameters tests on the experimental and vehicle groups are summarized in (Tables 3, 4, and 5). Oral administration of NAT at doses of 100, 250, 500, 1,000, and 2,000 mg/kg did not cause statistically significant changes in hematological and biochemical parameters, such as protein, albumin, gamma-globulin, urea, sodium, creatinine, uric acid, SGOT (AST), SGPT (ALT), bilirubin, and total cholesterol levels, when compared to the control group, but increased levels of SGOT, SGPT, bilirubin, and total cholesterol showed toxicity were observed when compared to the vehicle control.

# 3.2 Pharmacovigilance finding and measures

Nyctanthes arbor-tristis is a traditional herbal medicine commonly used in China, India, and other Asian countries. It is very important to conduct pharmacovigilance research for Nyctanthes arbor-tristis. Based upon the theory, science, and activities of pharmacovigilance, conducting pharmacovigilance research for Nyctanthes arbor-tristis involves several steps given as follows

# 3.2.1 Detection

On the PubMed website (https://pubmed.ncbi.nlm.nih.gov/), the terms Nyctanthes arbor-tristis Linn, Bruschia macrocarpa Bertol, Nyctanthes dentata Blume, Nyctanthes tristis Salisb, Parilium arbor-tristis (L.) Gaertn, Scabrita scabra L, and Scabrita triflora L were entered and relevant literature on adverse reactions was searched for one by one. Some possible ADRs that have been reported in traditional medicine practices include gastrointestinal complaints such as stomach pain, gastric ulcer, nausea, vomiting, and diarrhea, which have been reported in some cases (Saxena et al., 1987; Godse et al., 2016); allergic reactions such as skin rash, itching, and hives have been reported in some individuals; central nervous system effects such as drowsiness, headache, and dizziness have been reported in some cases; some cases also report liver and kidney damage (Das et al., 2008). It is important to note that these ADRs are based on traditional medical practices and may not have been rigorously studied in clinical trials. In addition, there are no

TABLE 2 Effect of different concentrations of NAT extracts on body weight.

Groups	Body weight on different days (g)								
	Day 0	Day 0 Day 7 Day 14 Day 21 Day 28 Change in % (Days 0–							
Vehicle control	212.3 ± 23.6	210.5 ± 21.3	216.5 ± 22.7	218.6 ± 23.2	216.1 ± 21.9	1.78			
NAT (100 mg/kg)	234.66 ± 22.6	239 ± 24.3	245.3 ± 25.5	246.83 ± 22.9	247.3 ± 24.9	5.38			
NAT (250 mg/kg)	217.33 ± 7.2	218.4 ± 7.3	234.5 ± 12.7	235.7 ± 11.3	234.4 ± 10.9	7.85			
NAT (500 mg/kg)	212.5 ± 14.9	226.8 ± 16.3	243.813.8	247.4 ± 14.7	248 ± 11	16.70			
NAT (1,000 mg/kg)	215 ± 40.8	223.8 ± 39.9	213 ± 43.6	198.5 ± 39.9	195.6 ± 37.6	-9.02			
NAT (2,000 mg/kg)	240.33 ± 22.23	236 ± 17.2	220.33 ± 39.2	212.5 ± 39.9	208.4 ± 37.5	-13.28			

TABLE 3 Renal profile of the control group and rats treated with NAT leaf extract measured during the sub-acute toxicity study.

Parameters	Vehicle control	NAT (100 mg/kg)	NAT (250 mg/kg)	NAT (500 mg/kg)	NAT (1,000 mg/kg)	NAT (2,000 mg/kg)
Urea (mg/dL)	30.33 ± 4.72	32.2 ± 5.40	30.7 ± 5.70	34.74 ± 7.31	35.44 ± 6.10	35.17 ± 2.50
Uric acid (mg/dL)	3.73 ± 0.75	3.55 ± 0.71	3.95 ± 0.62	3.87 ± 0.44	3.89 ± 0.25	4.04 ± 0.36
Creatinine (mg/dL)	0.71 ± 0.14	0.68 ± 0.12	0.72 ± 0.10	0.62 ± 0.14	0.73 ± 0.13	0.85 ± 0.06

TABLE 4 Liver profile of the control group and rats treated with NAT leaf extract measured during the sub-acute toxicity study.

Parameters	Vehicle control	NAT (100 mg/kg)	NAT (250 mg/kg)	NAT (500 mg/kg)	NAT (1,000 mg/kg)	NAT (2,000 mg/kg)
SGOT (AST) (IU/L)	162 ± 37.24	168.5 ± 41.41	163 ± 20.92	167.5 ± 39.68	178.7 ± 66.70	214.5 ± 42.65
SGPT (ALT) (IU/L)	134.66 ± 40.5	142.04 ± 38.83	143.6 ± 16.5	143.75 ± 42.30	165.4 ± 47.17	181 ± 22.32
Bilirubin (g/dL)	0.40 ± 0.09	0.45 ± 0.16	0.58 ± 0.15	0.58 ± 0.1	0.62 ± 0.05	0.64 ± 0.10
Total cholesterol (mg/dL)	96.43 ± 9.22	93.75 ± 16.98	98.74 ± 8.38	98.9 ± 12.72	108.24 ± 52.6	116.52 ± 48.5

TABLE 5 Hematological parameters of the control group and rats treated with NAT leaf extract measured during the sub-acute toxicity study.

		3			3	, , .
Parameters	Vehicle control	NAT (100 mg/kg)	NAT (250 mg/kg)	NAT (500 mg/kg)	NAT (1,000 mg/kg)	NAT (2,000 mg/kg)
`Q Protein (g/dL)	$6.67 \pm 0.64$	6.28 ± 0.42	6.42 ± 0.36	6.39 ± 0.38	6.59 ± 0.88	6.77 ± 0.53
Albumin (g/dL)	3.9 ± 0.57	3.52 ± 0.48	3.66 ± 0.38	3.80 ± 0.09	3.84 ± 0.76	3.33 ± 0.32
γ-Globulin (g/dL)	3.34 ± 0.37	2.62 ± 0.72	2.62 ± 0.46	2.49 ± 0.52	3.07 ± 0.24	2.93 ± 0.58
Hemoglobin (g/L)	11.96 ± 0.28	12.93 ± 0.4	13 ± 0.5	14.4 ± 0.2	12.35 ± 0.38	11.5 ± 0.7
WBC (10 <sup>9</sup> /L)	9733.33 + 1150	9830 + 2600	9600 + 731.81	9533.33 + 500	9800 + 1699	9950 + 1681.93
Total RBC (10 <sup>12</sup> /L)	8.62 ± 0.51	8.74 ± 1.52	9.05 ± 0.71	10.17 ± 0.93	10.21 ± 1.47	9.14 ± 1.03
Platelets (10 <sup>3</sup> /?L)	708.40 ± 117.74	881.70 ± 56.09	764.50 ± 272.37	835.00 ± 290.60	718.67 ± 111.74	697.88 ± 69.91

adverse drug reactions in the ADR monitoring system in China, the United States, Canada, and India. Therefore, it is essential to use caution when using *Nyctanthes arbor-tristis* and to consult with a healthcare professional before taking this herbal medicine.

# 3.2.2 Assessment

No clinical trial registration was found. *Nyctanthes arbor-tristis* is not a patent medication authorized by the China National Medical Products Administration or by the Indian government. There were

TABLE 6 Effect of the plant extract on Freund's complete adjuvant (FCA)-induced paw volume of rats.

Groups	Paw volume on different days (mL)						
	Day 0	Day 7	Day 14	Day 21	Day 28	Change in % (Day 0–28)	
Arthritic control	0.46 ± 0.08	1.36 ± 0.19	1.31 ± 0.07	1.19 ± 0.11	1.0 ± 0.16	117.39	
Vehicle control	0.46 ± 0.08	0.47 ± 0.07	0.49 ± 0.09	0.50 ± 0.10	0.52 ± 0.12#	13.04	
NAT (100 mg/kg)	0.48 ± 0.09	1.39 ± 0.15	1.30 ± 0.17	0.95 ± 0.18	0.94 ± 0.29	95.83	
NAT (250 mg/kg)	0.43 ± 0.08	1.27 ± 0.20	1.13 ± 0.19	0.87 ± 0.14*	0.65 ± 0.10*	51.16	
NAT (500 mg/kg)	0.45 ± 0.05	1.32 ± 0.24	0.95 ± 0.15*	0.71 ± 0.12*	0.48 ± 0.08#	6.66	
Positive control	0.43 ± 0.05	1.30 ± 0.15	1.05 ± 0.14*	0.77 ± 0.17*	0.56 ± 0.14*	30.23	

Values are expressed as mean  $\pm$  SEM for six animals. Symbols represent statistical significance: \* = < 0.05, # = < 0.01, @ = < 0.001 when compared to arthritic control. Bonferroni post-test after a two-way analysis of variance.

no post-marketing ADR monitoring data. In this case, it is difficult to conduct the benefit–risk assessment. More data and clinical evidence should be collected for future assessment to balance the benefits and risks of *Nyctanthes arbor-tristis*.

### 3.2.3 Understanding

In this study, we carried out in vivo studies, as well as pharmacokinetic and pharmacodynamic analyses, and we identified the mechanism. In this study, we observed that NAT can cause drowsiness, sedation, and lethargy at the high dosages of 1,000 mg/kg and 2,000 mg/kg. Some other studies indicated that the inhibition of AchE activity is reduced in malathion-treated serum and brain tissue samples of mice treated with NAT extract. The hot infusion of NAT flowers has shown sedative potential in mice. The ethanolic extract of NAT flowers, seeds, and leaves has shown central nervous system depression activity. The potential compounds might include arbortristoside-A, arbortristoside-B, astragalin, nicotiflorin, and quercetin (Sharma et al., 2021).

# 3.2.4 Prevention

We have identified certain risks of *Nyctanthes arbor-tristis* with potential ADRs. Hence, we should develop some strategies and measures to prevent or minimize the risks associated with *Nyctanthes arbor-tristis*. We should pay attention to the dosage and duration of the medical use of *Nyctanthes arbor-tristis*. It will be necessary to discontinue the use of *Nyctanthes arbor-tristis* and treat the ADR symptoms when ADRs are monitored. Further research should be conducted for implementing risk mitigation strategies, such as toxic study changes, mechanism and potential risk component identification, patient education or training of healthcare professionals about the safe and rational use of this herbal medication, and even post-marketing surveillance after marketing authorization.

# 3.3 Anti-arthritic activity

# 3.3.1 FCA-induced arthritis

The subplantar injection of FCA in the left hind paw of the rats resulted in a progressive increase in the volume of the ipsilateral (injected) paw as well as the contralateral (non-injected) paw.

# 3.3.2 Effect of the extract on paw volume

FCA was administered on the first and third day, which resulted in a progressive increase in paw volume. The treatment with standards (indomethacin) and plant concentration (NAT) started from day 0 and continued to day 28. As presented in Table 6, it can be seen that treatment with standards as well as the plant extract caused significant abatement of paw volume, which was noticed from day 14 to day 28. NAT (500 mg/kg) demonstrated a high level of anti-arthritic effects (63.63%) compared to that of indomethacin (56.92%). However, the anti-arthritic effects of NAT (250 mg/kg) (48.81%) were found to be significantly lower than those of indomethacin and NAT (500 mg/kg). Hence, NAT (500 mg/kg) could be used as an alternative to indomethacin in the treatment of RA.

# 3.3.3 Body weight

All animals injected with FCA showed a reduction in body weight, which might be due to the decreased absorption of nutrients through the intestine (Taylor et al., 2009). However, the treatment with standards (indomethacin) and the plant extract showed an increase in the body weight from the 14th day onward. The NAT (500 mg/kg) and NAT (250 mg/kg) were found to restore the body weight and also increase it (5.25% and 2.02%) in a progressive manner, such as in the case of indomethacin (2.93%), as shown in Table 7. Overall, both NAT (500 mg/kg) and NAT (250 mg/kg) were found to have a good impact on the body weight of the rats.

# 3.3.4 Hot plate method

The analgesic effect can be assessed in the hot plate test. The effect of NAT at 100–500 mg/kg BW on hot-plate response latency is shown in Table 8. The hot-plate response latency in animals treated with 100, 250, and 500 mg/kg BW NAT was significantly different from that of the negative control. NAT (100 mg/kg) shows significant result from 60 to 90 min (0 < 0.05), and NAT (250 mg/kg) and NAT (500 mg/kg) show significant results from 30 to 180 min (p < 0.001). A typical analgesic effect was seen in the NAT group rats.

# 3.3.5 Radiological analysis of ankle joints

Figure 1 shows the radiographs of the joints in the rats with and without treatment for FCA-induced arthritis. In addition to normal joint spaces and connective tissue, the joints of vehicle control rats

TABLE 7 Effect of the plant extract on body weight.

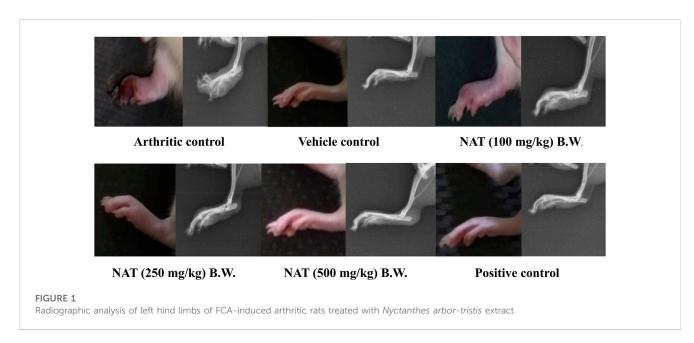
Groups		Body weight on different days (g)						
	Day 0	Day 7	Day 14	Day 21	Day 28	Change in % (Day 0–28)		
Arthritic control	252.8 ± 9.2	241.5 ± 5.6	232.7 ± 6.1	229.5 ± 7.6	226.5 ± 9.3	-10.40		
Vehicle control	255 ± 6.1	258.2 ± 5.9	262.4 ± 6.1	264.8 ± 5.6	266.8 ± 5.8	4.62		
NAT (100 mg/kg)	253.1 ± 22.4	247.2 ± 23.1	246 ± 23.2	244.7 ± 23	245.2 ± 23.4	-3.12		
NAT (250 mg/kg)	252.4 ± 5.9	248.7 ± 5.7	249.4 ± 4.9#	251.8 ± 5.4#	257.5 ± 7#	2.02		
NAT (500 mg/kg)	251.4 ± 16.1	256.2 ± 15.7	259 ± 15.5#	261.8 ± 15.5#	264.6 ± 15.6#	5.25		
Positive control	255.2 ± 10.8	251.6 ± 10.4	252.5 ± 11.1#	256.8 ± 11.1#	262.7 ± 8.8#	2.93		

Values are expressed as mean  $\pm$  SEM for six animals. Symbols represent statistical significance: \* = < 0.05, # = < 0.01, @ = < 0.001 when compared to arthritic control. Bonferroni post-test after a two-way analysis of variance.

TABLE 8 Effects of Nyctanthes arbor-tristis and indomethacin on pain induced by analgesic assessment using the hot plate test.

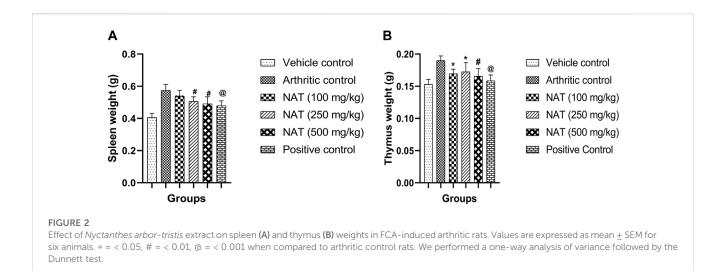
				_			
Groups	Time of reaction (Sec)						
	0 min	30 min	60 min	90 min	120 min	150 min	180 min
Arthritic control	3.83 ± 0.35	4.02 ± 0.30	3.80 ± 0.20	4.07 ± 0.20	3.75 ± 0.30	3.73 ± 0.25	3.53 ± 0.24
Vehicle control	4.00 ± 0.23	4.22 ± 0.60	4.08 ± 0.29	4.15 ± 0.21	4.25 ± 0.41	4.17 ± 0.30	4.17 ± 0.57
NAT (100 mg/kg)	3.88 ± 0.42	4.22 ± 0.53	4.45 ± 0.50*	4.65 ± 0.49*	4.33 ± 0.49*	4.20 ± 0.50	3.98 ± 0.40
NAT (250 mg/kg)	4.10 ± 0.28	5.24 ± 0.70 <sup>@</sup>	6.03 ± 0.42 <sup>@</sup>	7.35 ± 0.29 <sup>@</sup>	6.32 ± 0.33 <sup>@</sup>	6.00 ± 0.35 <sup>@</sup>	5.28 ± 0.32 <sup>@</sup>
NAT (500 mg/kg)	4.28 ± 0.22	5.02 ± 0.29 <sup>@</sup>	6.47 ± 0.23 <sup>@</sup>	7.68 ± 0.32 <sup>@</sup>	6.80 ± 0.22@	6.43 ± 0.23@	5.78 ± 0.40 <sup>@</sup>
Positive control	4.60 ± 0.43#	5.05 ± 0.30 <sup>@</sup>	5.78 ± 0.56 <sup>@</sup>	6.25 ± 0.37 <sup>@</sup>	6.85 ± 0.45 <sup>@</sup>	6.43 ± 0.50 <sup>@</sup>	5.78 ± 0.37 <sup>@</sup>

Values are expressed as mean  $\pm$  SEM for six animals. Symbols represent statistical significance: \* = < 0.05, # = < 0.01, @ = < 0.001 when compared to arthritic control. Bonferroni post-test after a two-way analysis of variance.



did not exhibit focal cartilage bone erosion or joint tissue swelling. All of the treatments had a positive impact on the radiographic changes in the joints of the adjuvant-injected control rats, including

extensive phalangeal bone erosion; periarticular bone resorption; no discernible joint spaces; extensive joint deformity; diffuse soft tissue swelling; and thickened, significantly enlarged, and dense connective



tissue. The prophylactic administration of NAT at doses of 250 mg/kg and 500 mg/kg significantly reduced the radiographic changes in the arthritic control group. Additionally, NAT (250 mg/kg) treatment moderately reduced bone erosion and resorption as well as noticeable joint deformity. NAT administration (100 mg/kg) had only marginally protective effects. Additionally, indomethacin-treated rats' radiographs showed a mild-to-moderate level of protection against the radiographic changes seen in the adjuvant control group.

#### 3.3.6 Measurement of spleen and thymus weights

Immunological functions are related to the thymus and spleen indexes. On the 28th day, the rats were sacrificed and the thymus index and spleen index were determined. As presented in Figure 2, the weights of the spleen and thymus for the NAT (500 mg/kg) group animals were found to be significantly lower than those of the arthritic control group (p < 0.01). NAT (250 mg/kg) and NAT (100 mg/kg) groups also showed increase in thymus weight (0.17  $\pm$  0.014 g and 0.16  $\pm$  0.007 g) but slight decrease in spleen weight (0.50  $\pm$  0.028 g and 0.53  $\pm$  0.036 g). Based on these observations, NAT (500 mg/kg) was found to be much better than NAT (250 mg/kg) in reducing the thymus and spleen weights.

# 3.3.7 Serum lysosomal enzymes in FCA-induced arthritis

During the inflammatory response, enzymes like alanine aminotransferase (AST) and alanine transaminase (ALT) play crucial roles in the production of chemical mediators like bradykinins (Cheng et al., 2015). In addition, serum alanine aminotransferase (AST) and alanine aminotransferase (ALT) are specific biomarkers helpful in assessing liver damage (Agrawal and Pal, 2013). Therefore, AST and ALT concentrations were assessed. The FCA treatment increased enzyme levels in all animal groups, and serum CRP and RF are the markers of inflammation and the creation of antibodies against the injected FCA. In the FCA control group animals, high levels of CRP (7.0 mg/L) and RF (57.68 IU/L) were found. However, as shown in Figure 3, NAT (500 mg/kg), NAT (250 mg/kg), NAT (100 mg/kg), and indomethacin (10 mg/kg) markedly decreased the levels of AST, ALT, CRP, and RF. NAT

(500 mg/kg) treatment was more effective than that of NAT (250 mg/kg) in lowering the serum levels of AST, ALT, CRP, and RF.

# 3.3.8 Hematological parameters in FCA-induced arthritis

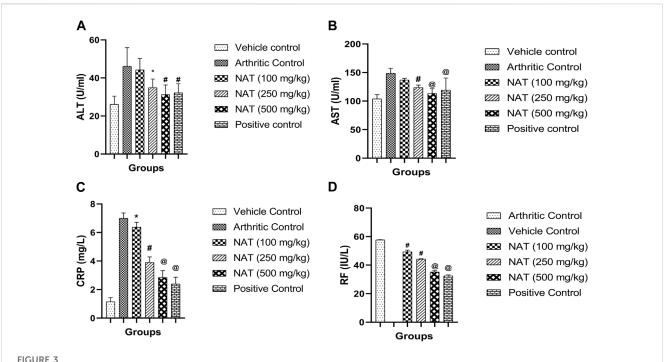
When comparing arthritic control rats to other groups, NAT (500 mg/kg) showed a significant decrease (p < 0.001) in red blood cell (RBC) and platelet (Plt) levels, while the latter (NAT (250 mg/kg)) showed a significant change (p < 0.01) in WBC and hemoglobin levels. When compared to arthritic control rats, those given NAT (250 mg/kg) showed statistically significant changes (p < 0.05) in RBC and Hb levels and significant decreases (p < 0.05) in WBC and platelets. However, the hematological changes induced by FCA were lessened more by indomethacin (10 mg/kg) than by NAT (250 mg/kg and 500 mg/kg) treatment, as shown in Figure 4.

#### 3.3.9 Effect of NAT on TNF- $\alpha$ , IL-10, and COX-2

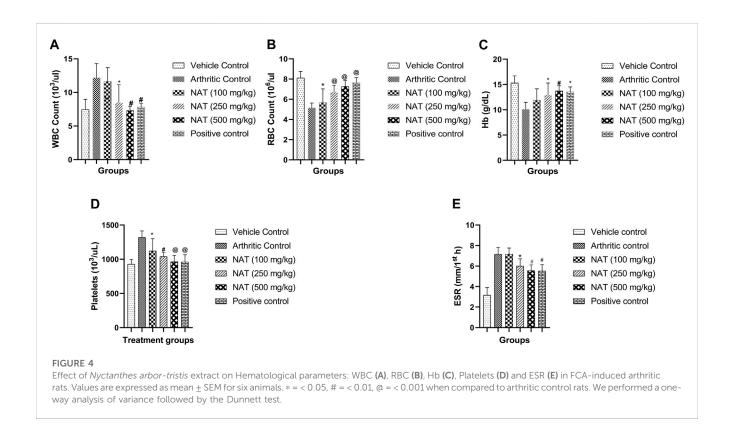
TNF-  $\alpha$  and COX-2, two pro-inflammatory cytokines, and IL-10, an anti-inflammatory cytokine, are crucial in the pathogenesis of RA. To determine the levels of TNF-  $\alpha$ , IL-10, and COX-2 cytokines in the serum of arthritic rats, an analysis was conducted. The results are depicted in Figure 5. Rats with FCA-induced arthritis had significantly higher levels of TNF-  $\alpha$ , IL-10, and COX-2 (p < 0.01). However, the elevated serum levels of TNF-  $\alpha$ , IL-10, and COX-2 were decreased in the arthritic rats treated with NAT. This impact was nearly identical to that of regular indomethacin. While serum TNF-  $\alpha$ , IL-10, and COX-2 levels were reduced in arthritic rats treated with NAT compared to the controls, their level was still higher than that of both NAT and the standards (p < 0.05).

#### 3.3.10 Histopathological study of NAT-treated rats

Rats with normal ankle joints showed intact articular cartilage, normal synovial tissue, and joint space free of inflammation upon histopathological examination. Additionally, NAT (250 mg/kg) and NAT (500 mg/kg) administration significantly reduced the arthritic control animals' ankle joint modifications, such as prominent synovial lining hyperplasia, noticeable synoviocyte proliferation, inflammatory cell infiltration into joint capacity, pannus invasion



Effect of Nyctanthes arbor-tristis extract on serum lysosomal enzymes: ALT (A), AST (B), CRP (C) and RF (D) in FCA-induced arthritic rats. Values are expressed as mean  $\pm$  SEM for six animals. \* = < 0.05, # = < 0.01, @ = < 0.001 when compared to arthritic control rats. We performed a one-way analysis of variance followed by the Dunnett test.



of subchondral bone with subsequent articular cartilage, and bone erosion. Additionally, NAT (100 mg/kg) treatment slightly decreased the histopathological signs of arthritis. Furthermore,

rats treated with NAT (500 mg/kg) showed significant histopathological changes. The information also demonstrates that oral administration of indomethacin to control rats with

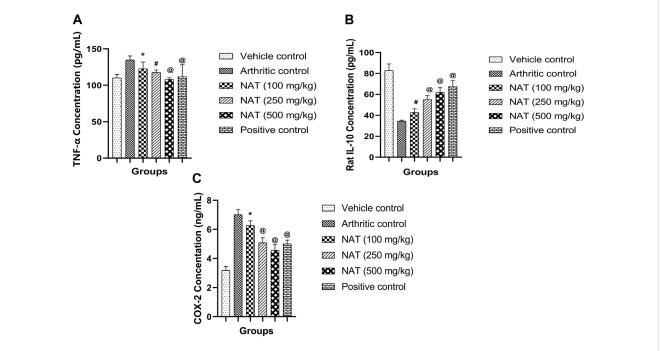
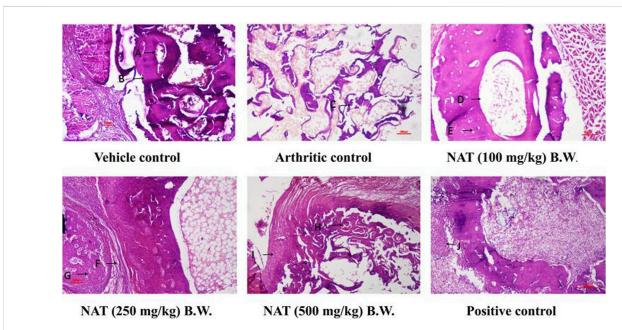


FIGURE 5 Effect of *Nyctanthes arbor-tristis* extract on cytokines: TNF- $\alpha$  (A), IL-10 (B), COX-2 (C) production in serum. Values are expressed as mean  $\pm$  SEM for six animals. \* = < 0.05, # = < 0.01, @ = < 0.001 when compared to arthritic control. We performed a one-way analysis of variance followed by the Dunnett



#### FIGURE 6

Evaluation of the extract of *Nyctanthes arbor-tristis* on the ankle joint of arthritic rats injected with FCA. Vehicle control: adequate no. of osteocytes in bone matrix (**A**) osteoblasts surrounding the border of the matrix (**B**). H&E x 100x, arthritic control: disintegrated bone matrix with vacant lacuna containing no osteocyte (**C**). H&E x 100x, NAT (100 mg/kg) BW: very few osteocyte lacunae in bone marrow matrix (**D**) surrounding the yellow bone marrow (**E**). H&E x 100, NAT (250 mg/kg) BW: decreased bone marrow surrounded with fibrous tissue (**F**). Osteocytes present in deep trabeculae. The proliferation of osteoblastic cells lining the border of the bone matrix (**G**). H&E x 100 NAT (500 mg/kg) BW: decreased size and no. of trabeculae bone matrix (**H**). Hyperplastic chondrocyte with increased activity (**I**). H&E x 100, positive control: hyperplastic chondrocyte with increased activity (**J**) H&E x 100.

arthritis significantly protected them from histopathological changes (Figure 6).

#### 4 Discussion

Nyctnathes arbor-tristis has been suggested as a potential medicinal agent for the treatment and prevention of painful joints and rheumatism. In our earlier studies, we found a significant activity of NAT hydroethanolic extract in in vitro and in silico studies (Sharma et al., 2023). The current study demonstrates that hydroethanolic extract of the aerial part of Nyctnathes arbor-tristis protects against adjuvant-induced arthritis in rats, an extensively used inflammatory arthritic animal model that replicates the pathological and clinical features of human RA. Following an injection of FCA, the inflammatory reaction typically starts between 1 and 3 days in the form of initial lesions, and then secondary lesions appear between 11 and 14 days later. Edema has been linked to increased vascular permeability, extravasation of fluid and proteins, and cellular invasion at the inflammatory sites (Bose et al., 2014). According to our analysis, the disease control group showed signs of persistent inflammation up to day 28 due to persistent edema and cellular invasion. In contrast to arthritic control rats, however, the treated animals showed remarkable protection against the morphological abnormalities of RA, with peak inflammation occurring up to the third day, followed by a rapid decline in paw edema. This decrease in edema may be related to a reduction in the production of prostaglandins as well as an increase in the invasion of neutrophils. Another study (Qasim et al., 2020) reported that the reduction in prostaglandin production corresponds to an elevation in neutrophil infiltration. The animal groups subjected to NAT treatments exhibited a notable increase in rat body weight when compared to the arthritic control group. The decrease in nutrient absorption from the intestine in FCAchallenged rats resulted in a significant reduction in rat body weight (Allawadhi et al., 2022). Similar to the effects observed with standard medications such as indomethacin, NAT substantially restored the rats' body weight, possibly due to an improvement in their arthritic condition, which could subsequently normalize nutrient absorption from the intestine. These results align well with previous findings on the treatment of Freund's complete adjuvant-induced arthritis using a cerium oxide nanoparticle (Akhtar et al., 2022).

In cases of adjuvant arthritis, the spleen plays a crucial role in generating cells and antibodies responsible for immunological responses. The spleens of arthritic rats exhibit an increase in cellularity (Khanfar et al., 2022). There is an observed rise in spleen cell weight in arthritic rats in the present study. We observed a clear increase in the organ weights of the spleen and thymus in FCA-induced rats. The administration of NAT led to a decrease in spleen and thymus weights, likely due to the suppression of splenic lymphocytes and the inhibition of lymphocyte infiltration into the synovium. These findings are consistent with those of earlier research involving the treatment of FCA-induced arthritic rats using  $\beta$ -sitosterol-loaded solid lipid nanoparticles (Zhang et al., 2020).

Further confirmation of the anti-arthritic capabilities of NAT and indomethacin was achieved through the evaluation of several biochemical markers in rat serum. These markers, including AST,

ALT, CRP, and RF, serve as crucial indicators for assessing the antiarthritic potential of the drug. Reduced RF and CRP levels, with RF serving as a potential marker for RA, were characterized by a notable increase in distal interphalangeal arthritis incidence (Sharma et al., 2023). Additionally, a persistently high serum CRP level is recognized as a strong indicator of RA (Petchi et al., 2015). Elevated serum levels of AST, ALT, and ALP were observed, while the total protein level decreased. Evaluating serum AST, ALT, and ALP levels offers a straightforward and effective method for assessing the drug's anti-arthritic activity. Aminotransferase and ALP activities notably increased in arthritic rats, serving as reliable indicators of liver and kidney impairment, which is a known feature of adjuvant arthritis. It is worth noting that serum AST and ALT have been reported to play a significant role in the generation of biologically active chemical mediators, such as bradykinins, in the inflammatory process (Kadam and Bodhankar, 2013). In this investigation, the treatment of arthritic rats with NAT and indomethacin resulted in a significant reduction in the elevated levels of AST, ALT, CRP, and RF as compared to arthritic control rats. These findings suggest the therapeutic potential of the studied NAT in RA treatment. Additionally, the significant decrease in the elevated serum levels of AST and ALT indicates that the studied fractions did not induce liver injury in the rats. In summary, the results of lysosomal enzyme measurements are consistent with prior reports in the literature regarding Calotropis procera leaves (Singh et al., 2021).

Anemia is a prevalent condition in rheumatoid arthritis, characterized by reduced levels of red blood cells (RBCs) and hemoglobin (Hb) content (Mahnashi et al., 2021). This anemic state arises from abnormalities in iron storage within the synovial tissue and the reticuloendothelial system (Das et al., 2021). Furthermore, the reduced capacity of the bone marrow to produce sufficient blood cells is another contributing factor to the decline in RBC and Hb levels (Hess and D'Alessandro, 2022). In RA, immune system activation in response to antigenic assaults results in elevated white blood cell (WBC) and platelet levels (Manan et al., 2020). An increase in WBC count is linked to heightened immune system activity against destructive pathogens, ultimately triggering the activation of inflammatory signaling molecules (Ge et al., 2021). Platelets play a significant role in inflammation and immunomodulation, as microparticles released from platelets interact with WBCs and contribute to systemic and joint inflammation in RA (Harifi and Sibilia, 2016). Treatment with NAT led to an increase in RBC and Hb levels and reduced WBC and platelet levels when compared to the arthritic control group, thereby confirming the anti-arthritic potential of NAT. The outcomes of the hematological assessments closely mirrored the findings previously documented in the literature for the extract derived from methanolic, n-hexane, and ethyl acetate fractions of the bark of Acacia modesta (Mashaal et al., 2023).

It was hypothesized that administering FCA stimulates T cells, which in turn excite macrophages and monocytes, leading to an upregulation of lysosomal enzymes and the release of proinflammatory cytokines. Arthritic joints are characterized by the uncontrolled spread of synovial tissue, joint dysfunction, tissue destruction, bone erosion, and programmed cell death, all of which may be traced back to the overexpression of proinflammatory cytokines (Komatsu and Takayanagi, 2012). In this

study, we used ELISA to examine the impact of NAT hydroethanolic extract on blood COX-2 and TNF-α levels. In this research, rats treated with indomethacin and NAT showed a significant decrease in elevated TNF-α levels seen in disease control rats, indicating the potential of the plant to reduce arthritis and inflammation. The transcription factor NF-κB was shown to regulate TNF-α expression, whereas TNF-α itself was shown to act as a potent stimulator of NF-кВ (Makrov, 2001). Because NF-кВ is required to produce pro-inflammatory cytokines, reducing TNF-α has a net beneficial impact of reducing their levels (Wong et al., 2008). Current research has shown that the plant extract significantly suppressed TNF-α expression, which may have resulted from a decrease in NF-KB expression levels, as was previously observed. Additionally, in rheumatoid synovium, the COX-2 (cyclooxygenase) pathway's generation of PGE2 through arachidonic acid metabolism is particularly important (Cheng et al., 2015). Cartilage and bone erosions, fluid extravasation, discomfort, vasodilation, and similar conditions may all be accelerated by elevated PGE2 concentrations (Fattahi and Mirshafiey, 2012). While the current investigation found elevated COX-2 levels in arthritic control animals, a substantial decrease was seen in NAT-administered rats, suggesting that NAT may have protected inflamed joints from further damage by reducing prostaglandin production as a result of subdual COX-2 generation. So NAT's anti-arthritic impact may also be due to its potential to block the metabolism of arachidonic acid. As an immunomodulatory cytokine, IL-10 alters the progression of RA synovitis (Sharma et al., 2023). In RA pathogenesis, IL-10 does more than only inhibit the production of Th1 cell-generated cytokines (GM-CSF, IL-1, IFN-γ, and TNF-α); it preserves joint tissue, prevents the activity of antigen-presenting cells, and suppresses the production of IL-18 mRNA (Lin et al., 2013). Arthritic control rats showed a large decrease in IL-10 levels, whereas all treatment groups, including those given indomethacin, showed a significant increase, suggesting an anti-inflammatory/ immunomodulatory effect of NAT in this condition. These findings align with the outcomes documented for the Ephedra gerardiana aqueous ethanolic extract and fractions, as reported in a previous study (Uttra et al., 2019).

Radiographic images are employed for the assessment of tissue swelling, erosions, and joint deformities in arthritis patients. These images provide insight into soft tissue lesions, often serving as early indicators of arthritis. Additionally, bone erosion and the deterioration of trabecular bone are characteristic pathological changes observed in human arthritis (Almarestani et al., 2011). In the case of FCA-induced arthritic rats, there was a noticeable presence of soft tissue swelling and a narrowing of joint spaces, indicating bone damage associated with arthritic conditions. The radiographic observations of the treatment groups receiving NAT demonstrated mitigation of arthritis-related joint alterations are given in Figure 1.

The histopathological findings also indicated that NAT possesses the ability not just to reduce inflammation but also to shield cartilage and bones from erosion. These observations complement the data acquired from other biochemical measurements. Arthritis is characterized by the infiltration of inflammatory cells, subcutaneous inflammation, and synovial inflammation (Vishnu and Krishnan, 2018). These findings were consistent with the outcomes reported in studies involving the treatment of rats with complete Freund's adjuvant-induced arthritis using chrysin (Faheem et al., 2023).

#### 5 Conclusion

According to the findings, oral administration of *Nyctanthes arbor-tristis* to arthritic rats significantly reduced paw edema and restored body weight; re-established an altered biochemical and hematological profile and inflammatory mediators' serum expression levels; and decreased bone and cartilage destruction. Hence, the capacity of the tested plant to reduce TNF-α, increase IL-10 levels, and reduce the concentrations of the inflammatory enzyme COX-2 seems to be linked to its anti-rheumatic and immunomodulatory capabilities. As a result, *Nyctanthes arbortristis* may be the best strategy to treat RA. However, further research is essential to pinpoint and separate the potential phytoconstituents responsible for the anti-arthritic potential, thus enabling the use of *Nyctanthes arbor-tristis* in the treatment of arthritic conditions.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary material; further inquiries can be directed to the corresponding authors.

#### Ethics statement

The animal study was approved by the Institutional Animal Ethics committee, GLA University, Mathura. The study was conducted in accordance with the local legislation and institutional requirements.

#### **Author contributions**

AS: data curation, formal analysis, methodology, and writing-original draft. AG: conceptualization, supervision, and writing-review and editing. ZL: funding acquisition, software, and writing-review and editing.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Overexpression of CXCL17 increases migration and invasion of A549 lung adenocarcinoma cells

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Lung cancer is one of the most frequently diagnosed malignancies and is a widespread disease that affects millions of individuals globally. CXCL17 is a member of the CXC chemokine family that attracts myeloid cells and is associated with the mucosa. CXCL17 can both support and suppress tumor growth in certain types of cancer. A549 LUAD cells were transfected with N-Terminal p3XFLAG-CMV or N-Terminal p3XFLAG-CMV-CXCL17 to establish transfected CXCL17-overexpressing cells. Reverse-transcription polymerase chain reaction (RT-PCR) and Enzyme Linked Immunosorbent Assay (ELISA) were performed to verify the levels of CXCL17 mRNA and of CXCL17 protein concentration of stably transfected A549 cells respectively. Wound healing, CCK8, and matrigel invasion assays were performed to assess the effect of CXCL17 overexpression on migration, proliferation, and invasion of A549 cells. When compared to control groups, proliferative capacity of A549 cells were unaffected by CXCL17 overexpression; however, the wound area in the CXCL17 overexpression group had dramatically decreased after 48 h. Similarly, the number of invasion cells was significantly higher in the CXCL17overexpressing group than in the control ones CXCL17 overexpression significantly increased the ability of A549 cells to migrate and invade, without affecting their proliferative abilities.

KEYWORDS

CXCL17, A549, migration, invasion, proliferation

#### 1 Introduction

Lung cancer is one of the most frequently diagnosed malignancies and is a widespread disease that affects millions of individuals globally, which is still the largest cause of cancer-related death worldwide. This disease occurs when abnormal cells in the lungs grow out of control, frequently creating a tumor that can spread to other body organs (Gridelli et al., 2015). According to a pathological perspective, the two main classifications of lung cancer are small cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC) (Fois et al., 2021). The characteristics of SCLC include an elevated rate of metastasis and proliferation, as well as a favorable early response to radiotherapy and chemotherapy (Wang et al., 2020). NSCLC refers to any form of epithelial lung cancer other than SCLC (PDQ Adult Treatment Editorial Board, 2023). 40% of NSCLC instances are lung adenocarcinomas (LUAD), followed by 25% of squamous cell carcinomas and 12% of large cell carcinomas (Schabath

and Cote, 2019). Currently, 85% of lung cancer cases seen globally are caused by NSCLC. Oncologists can now tailor the therapy options due to recent advancements in the understanding of pathways, techniques for identifying genetic lesions that can be treated, and newly developed medications to block the actions of the pathways. The prognosis and available treatments for NSCLC are significantly influenced by the disease stage at the time of diagnosis (Herbst et al., 2018). Moreover, more than 90% of cancer deaths are attributed to metastasis. Metastasis is a systemic condition as opposed to primary tumors, which can frequently be treated with local surgery or radiation. Surgery is the first line of defense against lung adenocarcinoma, followed by chemotherapy and radiation therapy. However, cancer frequently returns despite treatment. In fact, within 5 years of diagnosis, 25% of patients with lung adenocarcinoma will experience the onset of metastatic disease (Wu et al., 2022). CXCL17 is a member of the CXC chemokine family that attracts myeloid cells (Gowhari Shabgah et al., 2022). The mucosal tissues of the lungs, trachea, bronchi, stomach, and intestinal lumens-organs of the respiratory and gastrointestinal tract-structurally express CXCL17. As a result, CXCL17 is regarded as a chemokine associated with the mucosa (Choreño-Parra et al., 2020). The angiogenic activity of CXCL17, also known as VCC-1 (VEGF-Coregulated Chemokine 1), encourages tumorigenesis (Li et al., 2021). Immune cells are activated and move toward the site of inflammation when CXCL17 binds to its receptor, starting a signaling cascade (Choreño-Parra et al., 2020). Chemokines have been demonstrated to have a significant impact on how cells enter the tumor microenvironment and control how the body's immune system responds to all cancer cells (Marcuzzi et al., 2018). Even though numerous studies have demonstrated that CXCL17 is highly expressed in primary tumor samples and cancer cell lines, other studies have demonstrated that this cytokine is underexpressed in cancers. CXCL17 has the ability to both support and suppress tumor growth in certain types of cancer (Gowhari Shabgah et al., 2022). CXCL17 promotes angiogenesis and cell proliferation, which, according to numerous studies, aids in the development of tumors in breast and colon malignancies (Mu et al., 2009). Colorectal, breast, hepatocellular, and type I endometrial cancer all showed significantly lower levels of CXCL17 mRNA or protein compared to type I endometrial cancer and pancreatic intraductal papillary mucinous carcinoma (Weinstein et al., 2006). Additionally, the MDA-MB231 cell line's tendency for cell migration and proliferation is decreased by the downregulation of the gene (Xiao et al., 2021). When pathogen materials are induced, the expression of CXCL17 at steady levels may increase, which might decrease immune responses to support homeostasis and prevent unfavorable immunological reactions (Liu et al., 2020). Additionally, the MDA-MB231 cell line's tendency for cell migration and proliferation is decreased by the downregulation of the gene (Xiao et al., 2021). According to studies on CXCL17 gene expression in breast cancer (Hashemi and Khorramdelazad, 2023), a high level of CXCL17 gene expression in patients is associated with a worse overall survival. When pathogen materials are induced, the expression of CXCL17 at steady levels may increase, which might decrease immune responses to support homeostasis and prevent unfavorable immunological reactions (Liu et al., 2020). According to a recent study, CXCL17 may be involved in how lung adenocarcinoma

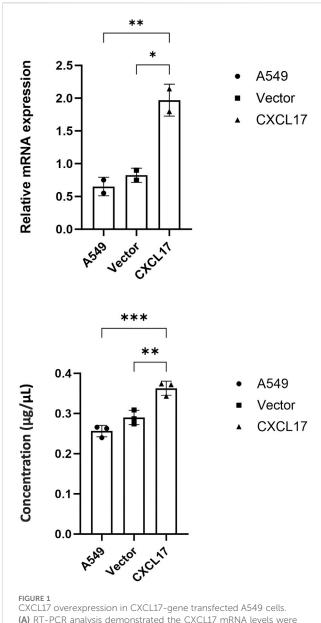


FIGURE 1 CXCL17 overexpression in CXCL17-gene transfected A549 cells. (A) RT-PCR analysis demonstrated the CXCL17 mRNA levels were significantly higher in the CXCL17 group compared to A549 and vector groups. Results are displayed as mean  $\pm$  SD of two independent experiments. p-value<0.05; \*0.0145, \*\*0.0098. (B) ELISA results showed the protein levels of CXCL17 were significantly higher in CXCL17 group compared to A549 and vector groups. Results are displayed as mean  $\pm$  SD of two independent experiments. p-value<0.05; \*\*0.0039, \*\*\*0.0005.

(LUAD) spreads to the spine. The data show that CXCL17 activates Src/FAK signaling and promotes mononuclear macrophage chemotaxis.

The treatment of NSCLC has multiple treatment options according to NCCN guidelines version 1.2024. However, there is still a need for new targeted therapies to prevent the spread and provide a full treatment for lung cancer patients. CXCL17 with all the information provided in the literature has a potential to be a great target for drug candidates.

Online databases UALCAN and GEPIA offer resources for the analysis of gene expression data to researchers studying cancer.

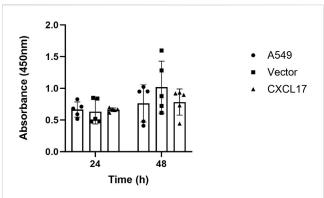


FIGURE 2 CXCL17 overexpression has no impact on the proliferation of A549 cells. A bar graph displaying each group's (A549, vector, CXCL17) absorbance at 450 nm after 24 and 48 h. There was no significant difference in the proliferative abilities of these groups at both time points. Results are displayed as mean  $\pm$  SD of five independent experiments.

According to the UALCAN database, LUAD patients had lower levels of CXCL17 gene expression compared to healthy individuals, whereas the GEPIA database demonstrated that LUAD patients had higher levels of gene expression for CXCL17 relative to normal (Chandrashekar et al., 2017; Tang et al., 2017). The lack of information in the literature and the small sample size can be attributed for the misinterpretation of such information. This can lead to inaccurate or incomplete understanding of the topic. In order to solve this unknown concept, we aimed to investigate how CXCL17 overexpression affects the migration, proliferation and invasion capabilities of A549 LUAD cells *in vitro*. Our results demonstrated that increased levels of CXCL17 significantly enhanced migration and invasion capacities of A549 cells but not proliferation.

# 2. Results

# 2.1 Establishment of CXCL17overexpressing A549 LUAD cells

To investigate whether CXCL17 overexpression affects LUAD cell migration, proliferation, and invade, we first transfected A549 cells with N-Terminal p3XFLAG-CMV-CXCL17 or vector only. The mRNA and protein levels of CXCL17 in A549 cells were verified using RT-PCR and ELISA after the development of stable CXCL17 overexpression. The relative mRNA levels in CXCL17 transfected cells (CXCL17) were significantly higher untransfected (A549) and vector only transfected (vector) cells having p-values of 0.0098 and 0.0145, respectively (Figure 1A). CXCL17 protein concentrations detected by ELISA were significantly higher in the CXCL17 group compared to A549 and vector groups having p-values of 0.0005 and 0.0039, respectively (Figure 1B).

# 2.2. CXCL17 overexpression has no effect on the proliferation of A549 cells

We performed CCK8 assay to assess the proliferative capacity in each group in order to examine the effect of CXCL17 overexpression on the proliferation of A549 cells. As shown in Figure 2, A549, vector, and CXCL17 groups all had very similar proliferative capacities at both 24 and 48 h. These findings indicated that overexpression of CXCL17 had no significant effect on the proliferation of A549 cells.

# 2.3 CXCL17 overexpression has an impact on migration abilities of A549 cells

In order to determine how CXCL17 overexpression affects the migration of A549 cells, we performed the wound healing assay. As shown in Figure 3A, the CXCL17 group had more than 60% of the scratch's surface closed after 24 h, compared to 35%–40% for the A549 and vector groups. At the end of 48 h, the wound area of the CXCL17 group significantly decreased as compared to the A549 and vector groups, with *p*-values of 0.0001 and 0.0153, respectively (Figure 3B). These findings suggested that A549 cells had an enhanced capacity for migration when CXCL17 is overexpressed.

# 2.4 CXCL17 overexpression has an impact on invasion capabilities of A549 cells

To further explore the role of CXCL17, we conducted a Matrigel invasion assay to evaluate the impact of CXCL17 overexpression on the ability of A549 cells to invade. After 24 h, we observed that there was not a significant difference between the number of invaded cells within each group. Nevertheless after 48 h, the CXCL17 group had significantly more invaded cells than the A549 and vector groups, with p-values of 0.0028 and 0.0034, respectively (Figure 4). These results demonstrated that overexpressed CXCL17 plays an essential role for cell invasion.

# 3 Discussion

CXCL17 plays a crucial part in angiogenesis, which is essential for supplying nutrients to cancer cells, encouraging cancer growth, and enabling invasion. One of the main methods for managing NSCLC is the current treatment with antiangiogenic drugs such as bevacizumab, which blocks VEGF. Some patients, however, may develop resistance to bevacizumab due to the activation of alternative angiogenic pathways that are unaffected by VEGF inhibition (Russo et al., 2017). The proangiogenic factor FGF signaling, which is controlled by CXCL17, is one of these compensatory mechanisms. Therefore, concentrating CXCL17 may be a promising strategy to increase effectiveness of anti-VEGF medications in the treatment of NSCLC (Choreño-Parra et al., 2020). With the UALCAN database analysis (Chandrashekar et al., 2017), we discovered that LUAD patients had significantly lower expression of the CXCL17 gene compared to healthy controls. These findings led us to hypothesize that CXCL17 could promote tumor growth and induce metastasis. As the precise function of CXCL17 in NSCLC is still unclear, in this study, we evaluated the proliferative, migratory, and invasion potential of A549 cells that overexpress CXCL17 to learn more about the effects of CXCL17 in NSCLC cells. Understanding this molecular pathway will facilitate the search

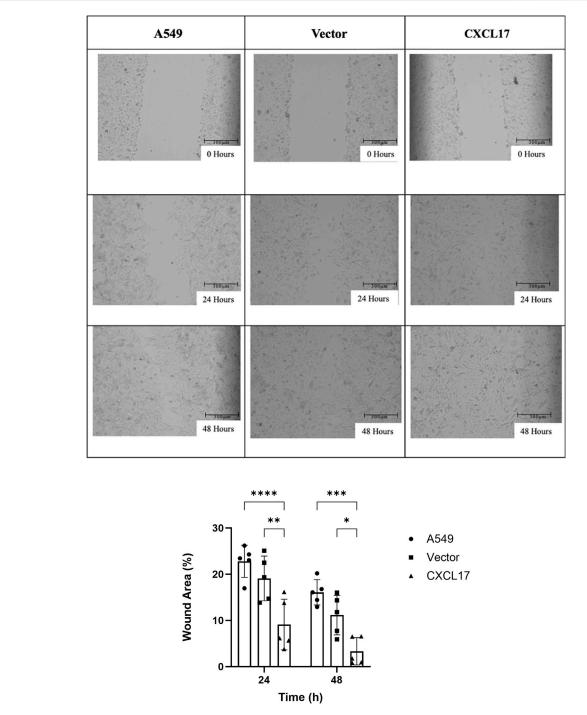


FIGURE 3 CXCL17 overexpression affects migration of A549 cells. (A) The best representation of the wound areas is shown in 10X microscope images taken at three different time intervals (0, 24, and 48 h). Scale bar 300  $\mu$ m. The ability of cells to migrate had been significantly enhanced in the CXCL17 group. (B) A bar graph displaying each group's (A549, vector, CXCL17) wound area% at 24 and 48 h. Wound area of the CXCL17 group was significantly decreased compared to others. Results are displayed as mean  $\pm$  SD of five independent experiments. p-value<0.05; \*0.0153, \*\*0.0021, \*\*\*0.0001 \*\*\*\*<0.0001.

for new active compounds that could interact with CXCL17 of some of the proteins that directly or indirectly affects the metastatic properties of lung adenocarcinomas.

Numerous studies show that in addition to promoting the movement of white blood cells, chemokines, like CXCL17, also play a part in a number of physiological processes. In contrast to a

new group of macrophage-like cells that were more prevalent, alveolar macrophages were found to be less common in mice lacking the CXCL17 protein. These findings imply that CXCL17 also functions as a novel macrophage chemoattractant in mucosal tissues (Xiao et al., 2021). Breast and colon cancers express CXCL17 at significantly higher levels, where it promotes

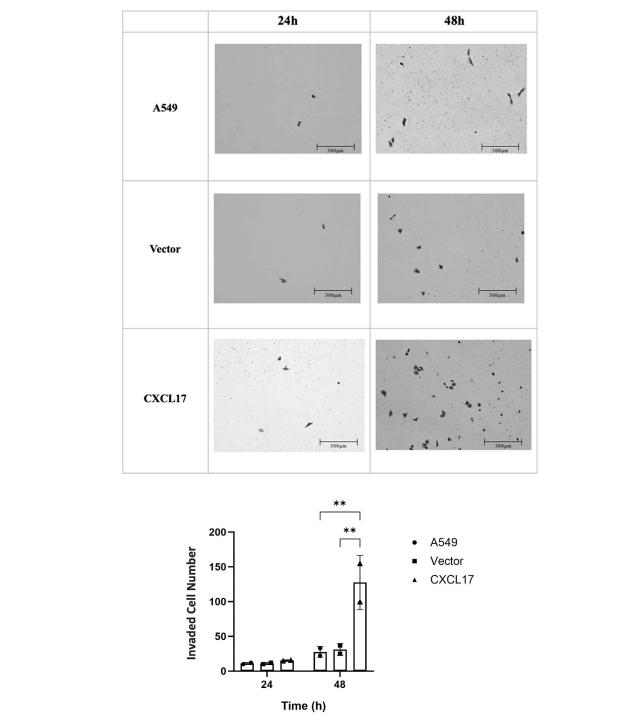


FIGURE 4 CXCL17 overexpression affects invasion of A549 cells. (A) The best representation of the invaded cells is shown in 10X microscope images taken at two different time intervals (24, and 48 h). Scale bar 300  $\mu$ m. The CXCL17 group demonstrated a markedly increased capacity for cell invasion. (B) A bar graph displaying the number of invaded cells in each group (A549, vector, CXCL17). The CXCL17 group had a significantly higher number of invaded cells than the other groups. Results are displayed as mean  $\pm$  SD of two independent experiments. p-value<0.05; \*\*0.0028 and \*\*0.0034, A549 vs. CXCL17 and vector vs. CXCL17, respectively.

angiogenesis, the spread of cancerous cells, and the development of the disease (Burkhardt et al., 2014). CXCL17 can promote the growth of SMMC7721 hepatoma carcinoma cells both *in vivo* and in (9). In a recent study, the MTT assay revealed that high

levels of *CXCL17* helped the hepatocellular carcinoma cell lines HepG2 and Hepa1-6 survive. This suggests that CXCL17 encourages HCC cell proliferation (Wang et al., 2019). An essential component of tissue formation and regeneration is the control of cell

proliferation (Duronio and Xiong, 2013). Therefore, we performed CCK8 assay to evaluate the proliferative capacity of A549 cells. However, in our study it was demonstrated that the proliferative states of the cells were not affected significantly with the overexpression of CXCL17 in A549 cells. In one study, researchers tested the tumorigenic potential of NIH3T3-mouse embryonic fibroblast cells that had CXCL17 overexpressed. They noticed that the level of CXCL17-3T3 cell proliferation was unaffected in comparison to the control under typical adherent culture conditions. However, compared to mock transfected cells, CXCL17-3T3 cells developed tumors more quickly when subcutaneously injected into nude mice (Matsui et al., 2012). This circumstance may also help us explain our findings. We performed wound healing and Matrigel invasion assays to examine the ability of A549 cells to migrate and invade, respectively. Our findings showed that overexpressing CXCL17 significantly increased A549 cells' migration and invasion. In addition to contributing to the immune response, CXCL17 affects cellular migration which is the onset of diseases such as inflammation and tumor metastasis. Cell migration is, in fact, a crucial component of many physiological phenomena. Invasion, migration, and cell viability play important roles in the pathogenesis of cancer and other biological processes. Cancer metastases are formed when cancer cells disseminate to distant organs as a result of numerous stochastic and complex events. These cells may disperse to distant organs on their own or in response to pressure from the outside world. The ability of the cells to move is a crucial component of cancer metastases (Fares et al., 2020). Moreover, as our results regarding proliferation clearly indicated that CXCL17 overexpression did not change proliferation, wound healing and transwell assay results could be purely attributed to the intrinsic mechanisms that change the mobility of the cells. Wang et al., 2019, demonstrated in hepatocellular carcinoma that CXCL17 promoted this activity via autophagy. Many tumors have been shown to overexpress CXCL17 as a result of proangiogenic agents such as VEGF, CXCL1, and CXCL8. TAMs are immune cells that are activated by high CXCL17 levels in lung adenocarcinomas through the Src/FAK pathway. Then, these TAMs promote the growth and metastasis of malignancies by cultivating an environment that suppresses immunity. Thus, focusing on CXCL17 or its downstream Src/FAK pathway components could be one strategy for treating lung cancer (Hashemi and Khorramdelazad, 2023).

Our research shows that CXCL17 stimulates lung cancer tumor growth and spread. These results imply that CXCL17 might be a viable target for novel cancer treatments. Our findings are in line with earlier studies that demonstrate CXCL17 has a function in tumor development and progression and is overexpressed in a number of cancer types. However, our research is the first to demonstrate that the overexpression of CXCL17 encourages the A549 lung cancer cells to migrate and invade.

A recent study performed in colorectal cancer (CRC) cells used highly expressed GPR35 and CXCL17 in drug-resistant tumor cells. GPR35 expression was shown to be significantly reduced upon CXCL17 knockdown (Bu et al., 2023). In oral squamus cell carcinoma one recent study showed the inhibition of CXCL17 and MUC1 by *Porphyromonas gingivalis* (Lan et al., 2023). Another study performed with HPV-associated cervical cancer pinpointed CXCL17 in relation with Akt pathway and tumor progression (Olwal et al., 2023). The high expression of CXCL17 was also linked with glioblastoma prognosis (Wang et al.,

2023). With all the research related with different cancer types show the involvement and the importance of CXCL17 in tumor progression which makes CXCL17 a possible target of candidate drugs and the overexpression model we generated could be a good *in vitro* system to analyze the active compounds and drug candidates while investigating the properties of the drugs and their action mechanisms.

Our studies were conducted *in vitro*, that's why it is possible that the findings may not fully recapitulate the molecular interactions *in vivo* settings. Moreover, in this study the subcellular localization of CXCL17 and its direct effect in wound healing and invasion was not shown due to study design. Despite these limitations, the results of this study provide valuable insights into the role of CXCL17 gene in NSCLC. Further research, including *in vivo* experiments and studies to reveal the molecular mechanisms, are required to fully understand the molecular interactions and the role of this gene in NSCLC.

To conclude overexpressing CXCL17 in A549 cells did not increase their capacity for proliferating *in vitro*, however, it did increase their capacity to migrate and invade.

#### 4 Materials and methods

#### 4.1 Cell culture

The human non-small cell lung cancer cell line A549 was cultured in high glucose DMEM (Gibco<sup>™</sup>, catalog no. 11965092) supplemented with 10% fetal bovine serum (FBS) (Gibco<sup>™</sup>, catalog no. 10270106) and 1% pen-strep (Gibco<sup>™</sup>, catalog no. 15140122) and incubated at 37°C, 5% CO<sub>2</sub>.

# 4.2 Reverse transcription polymerase chain reaction

From the ENSEMBL database (https://www.ensembl.org/index. html) (Cunningham et al., 2021), CXCL17 cDNA sequences were acquired. To be able to select a restriction enzyme to avoid digestion of cDNA sequence, restriction enzymes having "0 cutter" were examined in the NEBcutter software (http://nc2.neb.com/NEBcutter2/) (Vincze et al., 2003). The N-Terminal p3XFLAG-CMV plasmid-compatible restriction enzymes EcoRI and KpnI have been chosen as appropriate enzymes for the CXCL17 gene.

Total RNA from A549 cell line was isolated using the iNtRON Biotechnology RNA-spinTM Total RNA Extraction Kit (for Cell/Tissue) (Catalog no. 17211). The cDNA of A549 RNA was generated from 1  $\mu$ g total RNA per sample using Vazyme HiScript III RT SuperMix for qPCR (+gDNA wiper) kit (Catalog no. R323). New England BioLabs Taq DNA Polymerase with Standard Taq (Mgfree) Buffer kit (Catalog no. M0320S) was used to amplify the CXCL17 gene from 1  $\mu$ g cDNA using QIAmplifier 96 PCR equipment. *Cyclophilin A* was used as a positive control.

The sequence of primers (Thorvacs Biotechnology LLC) used: CXCL17 Forward: 5'GCGAATTCAAAAGTTCTAATCTCT TCCCTCCTCCT 3'

CXCL17 Reverse: 5'GCGGTACCCTACAAAGGCAGAGCA AAGCTTC 3'

 $\mathit{CYCLOPHILIN}$  A Forward: 5'AATGGCACTGGTGGCAAG TC 3'

CYCLOPHILIN A Reverse: 5' GCTCCATGGCCTCCACAA TA 3'

FLAG TAG Forward: 5' GACTACAAAGACCATGACGGT 3'

# 4.3 Establishment of CXCL17overexpressing cells

N-Terminal p3xFLAG-CMV vector was used to establish stably transfected *CXCL17*-overexpressing cells. To construct the N-Terminal p3XFLAG-CMV plasmid, the consensus coding sequence (CCDS) of human CXCL17 gene was amplified with RT-PCR from RNA obtained from A549 cells with primers omitting ATG start codon to enable FLAG fusion. The product of this amplification was digested with *Eco*RI and *Kpn*I and inserted into an *Eco*RI- and *Kpn*I -cleaved N-Terminal p3XFLAG-CMV vector and ligated with T4 DNA Ligase according to the manufacturer's protocol. Restriction enzymes and T4 DNA ligase were purchased from New England Biolabs. The engineered plasmids were sent to Sanger sequencing to verify the correct clone.

jetOPTIMUS® transfection reagent (Catalog no. 101000051) was used for the transfection of cells. A549 cells were transfected with N-Terminal p3XFLAG-CMV or N-Terminal p3XFLAG-CMV-CXCL17 using 10  $\mu g$  DNA and 10  $\mu L$  JetOPTIMUS reagent, according to the manufacturer's protocol (Catalog no. 101000051). 3 days post transfection, cells were treated with 700  $\mu g/mL$  G418 to select stable transfected cells. Medium was changed every 3 days until all the cells that were not transfected died.

After selection, all experiments were performed on 3 groups: The CXCL17 overexpressing cells (will be referred as CXCL17) The cells transfected with unmodified vector only (will be referred as vector) and untransfected naive A549 cells (will be referred as A549).

#### 4.4 Enzyme linked immunosorbent assay

In order to extract protein from A549 cells, 200 µL of RIPA buffer (ABT, catalog no. B08-01-01) containing 1X protease inhibitor cocktail (BOSTER, catalog no. AR1182) was used. The BCA assay (Thermo Fisher Scientific, catalog no. 23225) was used to calculate the total protein concentration. For the purpose of confirming overexpression from cell lysates, the ELK Biotechnologies ELISA Kit (Catalog no. ELK3130) was used. The experiment was conducted in accordance with the manual for the kit's instructions. Protein levels were normalized to total protein concentration. The assay was run as 3 replicates in total.

#### 4.5 Cell counting kit—8 (CCK8) assay

Cell proliferation was analyzed using CCK8 assay (Sigma-Aldrich, catalog no. 96992) to quantitate the number of viable cells. In the first experiment, 500 cells from each group (A549, vector, CXCL17) were seeded into 96-well plates The assay was repeated at two independent experiments with a total of 5 replicates. 10  $\mu L$  of CCK8 solution was added into each well 24 and 48 h after seeding and incubated for 4 h at 37°C. The absorbance value was read at 450 nm using a microplate reader (Thermo Scientific Varioskan Flash).

## 4.6 Wound healing assay

In 6-well plates, 350.000 cells from each group (A549, vector, CXCL17) were plated in high glucose DMEM containing 2% FBS as a total of as a total of 3 replicates in first trial, while in the second trial, 2 replicates were performed. The experiment was completed with a total of 5 replicates in two separate times. A 200  $\mu L$  pipette tip was used to scratch the cell monolayer's surface in the center of the wells after 24 h had passed and it had reached 80% confluence. 24 and 48 h after creating the scratch, 10 photographs were taken with an EVOS M500 microscope on 10  $\times$  and the wound area was calculated using ImageJ wound healing size tool at every time point.

## 4.7 Matrigel invasion assay

24-well Transwell plates with 0.8um membrane inserts (Corning® Costar® Transwell® cell culture inserts, catalog no. CLS3464) were coated with 50 µL of 1:50 diluted Matrigel (Corning® Matrigel® Basement Membrane Matrix, LDEV-free, 10 mL, catalog no. 354234) with high glucose DMEM and then incubated at 37°C for 1 h to gel. The surface was aspirated to remove the non-gelling solution. 10.000 cells from each group (A549, vector, CXCL17) were seeded into the upper chamber in high glucose DMEM containing 1% FBS in 2 replicates at 2 independent experiments with 4 total replicates. High glucose DMEM containing 10% FBS medium was added to the lower chamber to attract cells. One of these plates was set up to be incubated for 24 h, and the other for 48. After 24 and 48 h, cells invade to the bottom chamber were fixed using ice cold 100% methanol for 10 min and stained with Giemsa stain (Merck, catalog no. 1.09204.0500) for 5 min. Photographs of invaded cells were taken with an EVOS M500 microscope on 10X and analyzed with ImageJ.

#### 4.8 Statistical analysis

All experiments were conducted at least twice, and the Graphpad Prism 9 program was used to perform all statistical analyses. The results were displayed as the mean  $\pm$  standard deviation. One-way analysis of variance (ANOVA) was performed to assess the differences of mRNA and protein levels of each group using Tukey's multiple comparisons test. Two-way ANOVA was performed to assess the differences of proliferated and invaded cells using Šídák's multiple comparisons test. Two-way ANOVA was performed to assess the differences of migrated cells using Tukey's multiple comparisons test. The threshold for significance was set at p < 0.05.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

#### Ethics statement

Ethical approval was not required for the studies on humans in accordance with the local legislation and institutional requirements because only commercially available established cell lines were used. Ethical approval was not required for the studies on animals in accordance with the local legislation and institutional requirements because only commercially available established cell lines were used.

#### **Author contributions**

EK: Writing-review and editing, Data curation, Formal Analysis, Investigation, Validation, Visualization, Writing-original draft. IC: Data curation, Formal Analysis, Investigation, Validation, Visualization, Writing-original draft, Writing-review and editing, ZT: Validation, Writing-review and editing, Conceptualization, Funding acquisition, Methodology, Project administration, Resources, Supervision.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Relationships between trace elements and cognitive and depressive behaviors in sprague dawley and wistar albino rats

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**Introduction:** This study investigates the effects of social isolation on mental health and cognitive functions in Sprague Dawley (SD) and Wistar Albino (WIS) rat strains, prompted by the heightened awareness of such impacts amid the COVID-19 pandemic. This study aims to explore the impact of social isolation on memory, learning, and behavioral changes in middle-aged SD and WIS rat strains and to investigate cortical trace element levels, seeking potential correlations between these levels and the observed behavioral responses to social isolation.

**Methods:** Four groups of 14-month-old male rats were established: control and isolated SDs and WIS rats (CONT-SD, ISO-SD, CONT-WIS, ISO-WIS). Morris Water Maze and Porsolt Forced Swimming tests were conducted for behavioral assessment. Following behavioral tests, rats were sacrificed under general anesthesia, and cortices were isolated for analysis of macro and trace element levels (ICP/MS).

**Results:** In behavioral tests, CONT-SD rats exhibited superior performance in the Morris Water Maze test compared to CONT-WIS rats, but displayed increased depressive behaviors following social isolation, as evident in the Porsolt Forced Swimming test (p < 0.05). ISO-SD rats showed elevated levels of Co and Cu, along with reduced levels of Cs and As, compared to ISO-WIS rats. Moreover, isolation resulted in decreased Cu and Mo levels but increased Rb levels in WIS rats. Comparison of trace element levels in naïve groups from different strains revealed lower Zn levels in the WIS group compared to SD rats.

**Discussion:** The findings suggest that the SD strain learns faster, but is more susceptible to depression after isolation compared to the WIS strain. Increased Co

and Cu levels in ISO-SD align with previous findings, indicating potential trace element involvement in stress responses. Understanding these mechanisms could pave the way for preventive treatment strategies or therapeutic targets against the consequences of stressors, contributing to research and measures promoting a balanced diet to mitigate neurobehavioral abnormalities associated with social isolation in the future.

KEYWORDS

social isolation, memory, Strain, sprague Dawley, Wistar, middle-aged, trace elements, depression

#### 1 Introduction

The COVID-19 pandemic has highlighted the importance of social isolation. Therefore, understanding the effects of social isolation on behavioral and cognitive functions has been clearly felt. Social isolation is considered a potent stressor for both animals and humans (Liu et al., 2010) with significant adverse effects on the mental and cognitive health of people of all ages (Cacioppo and Hawkley, 2009). Numerous animal studies have shown that stress resulting from social isolation can cause anxiety-like behaviors and a tendency toward depression (Lukkes et al., 2009; Amiri et al., 2015). Specifically, depression and anxiety-like behavior have been shown to develop after 3 weeks of social isolation (Filipović et al., 2011; Martinovic et al., 2014). Porsolt et al. initially designed the forced swim test to assess the effectiveness of antidepressant drugs in rodents, mainly rats and mice, in their studies from 1977 to 1978 (Porsolt et al., 1977). In addition to this, this test has also been used to assess how exposure to stressful situations, which can induce depression-like behaviors, affects individuals (Armario, 2021).

Several studies have indicated long-term social isolation impairs synaptic plasticity and spatial memory (Wang et al., 2019). The Morris water maze test is a major behavioral test used to measure learning and memory function in animals. A study, assessing learning and memory functions in male albino Wistar (WIS) rats revealed that memory performance was impaired in isolated rats compared to those living in a social and enriched environment (Keloglan et al., 2022). Furthermore, social isolation increases the risk of future cognitive impairment and enhances the rate of memory decline in older people (Bassuk et al., 1999; Ertel et al., 2008). The risk of Alzheimer's disease, which causes cognitive decline and loss of memory function, doubles in solitary individuals (Wilson et al., 2007).

Most studies on social isolation have been conducted on animals, particularly during the post-weaning, developmental or post-natal period, often as a model for autism spectrum disorders or other neurodevelopmental disorders. However, studies on middle-aged rats are rare (Flurkey et al., 2007; Flurkey and Currer, 2009; Stowie and Glass, 2015). Given that the middle-aged or elderly were mainly impacted by social isolation, which affects memory, during the pandemic, understanding the mechanisms underlying the effects of social isolation in middle-aged individuals is important, just as in adulthood.

Trace elements play crucial roles in mental illnesses (Młyniec et al., 2015; Alghadir et al., 2016). Numerous studies have shown an imbalance in the serum or plasma levels of trace and macro elements such as Zn, Cu, Fe and Mg, in individuals with depression compared to those without (Chang et al., 2014; Stanisławska et al., 2014). A study revealed that rats resistant to depression exhibited higher

levels of Fe, Na, S, Mn and Co in their brain samples compared to the sensitive group. Conversely, the sensitive group displayed lower plasma levels of Ca, K, S, Se and Co compared to the resistant group (Xu et al., 2020).

In this study, we hypothesized that the prolonged social isolation experienced during the COVID-19 pandemic would exert significant effects on behavior and cognitive functions in middle-aged rats, manifesting as anxiety-like behaviors, depression, and cognitive decline in both WIS and Sprague Dawley (SD) strains. Additionally, our hypothesis posits that the protracted social isolation may lead to compromised synaptic plasticity and spatial memory, with a potential correlation to the imbalance of trace elements, particularly Zn, Cu, Fe, Mg, within the cortex. Through this study, we aim to provide insights into the underlying mechanisms of memory impairment, explore the association between trace elements and cognitive outcomes, and discern the suitability of WIS and SD rat strains for experimental investigations into the impacts of social isolation.

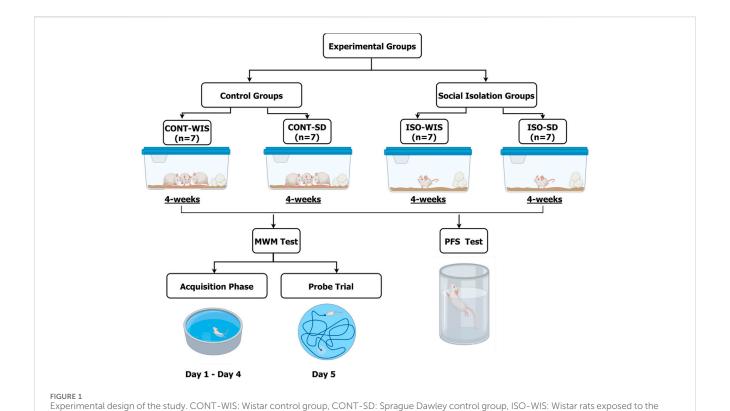
#### 2 Materials and methods

#### 2.1 Animals and housing

The rats were bred and housed in cages (n = 3 rats/cage) in the laboratory of Acıbadem Mehmet Ali Aydınlar University, Experimental Animals Application and Research Center (ACUDEHAM) (Protocol no: 2021/471). All animal experiments conformed with the EU Directive 2010/63/EU and ARRIVE guidelines. Before the experiment, the animals were subjected to a 12:12 h light-dark cycle, at a constant temperature environment  $(21^{\circ}\text{C} \pm 3^{\circ}\text{C})$  with 51% humidity with food and water ad libitum. The food provided to all animals was a standard maintenance diet for rats and mice involving constant levels of minerals and trace elements (Rats and Mice Maintenance, Carfil Quality). The rats in the social isolation group were housed individually in cages. Cotton and disposable non-transparent cardboard cylinders were placed in all cages (22 cm wide, 37 cm 18 cm high) for "enrichment". Using 14month-old male SD and WIS rats the experiments were performed between 10:00 a.m. and 7:00 p.m. after 4 weeks of housing.

#### 2.2 Experimental design

The rats were divided into two groups. The control groups were kept in standard housing conditions, as shown above. After 4 weeks



social isolation, ISO-SD: Sprague Dawley rats exposed to the social isolation, MWM: Morris Water Maze. The rats were grouped as shown. CONT-WIS and CONT-SD are the control group of WIS and SD strains, respectively; ISO-WIS and ISO-SD are the corresponding social isolation groups. After 4 weeks, the

MWM test was carried out on days 1-4 (acquisition phase) and on day 5 (probe trial). Created partially with BioRender.com.

of social isolation, Morris Water Maze tests were performed for four consecutive days, first on the isolated rats, and then on the control group. The rats were placed in the laboratory 1 hour before the experiments started to allow them to acclimatize. The tests were initiated at 10 a.m. The control groups of each strain (WIS and SD) were named CONT-WIS (n=10) and CONT-SD (n=7), while social isolation groups were called ISO-WIS (n=9) and ISO-SD (n=8) (Figure 1).

## 2.3 Social isolation protocol

The rats in the ISO-WIS and ISO-SD groups were housed individually in enriched cages for 4 weeks. The rats in the control groups (CONT-WIS and CONT-SD) were housed in groups (n = 3-4/group). There was no physical contact between the isolated rats and their conspecifics. Due to the potential effects of handling on the results (Song et al., 2021), the researchers did not handle the rats before the experiments. The same person cleaned and changed the cages twice a week to reduce handling and interaction.

#### 2.4 Behavioral tests

After the rats were acclimatized to the laboratory and researchers, the Morris Water Maze test was performed. Environmental cues were placed on the testing room's walls. All

tests were recorded with a video camera placed on the ceiling of the testing room. The images were transferred to a computer and analyzed with EthoVision XT 9 video tracking software.

#### 2.4.1 Morris water maze test

The study was conducted in a black circular pool (180 cm diameter, 60 cm depth) with water at a constant temperature (24°C–26°C). Visual cues, such as plus, circle, and rectangle signs were placed on the room's walls, where the pool was located. The pool was divided into four hypothetical equal quadrants to decide where the animal should be released into the pool. A constant black platform (40 cm high, 2 cm below water) was placed in the middle of one quadrant.

The rats were tested twice daily over 4 days, and each session involved four releases from different quadrants. They swam for 90 s to locate the platform. Upon finding it, the rat waited on the platform for 30 s before being placed back into the pool from a different starting point. If the platform could not be found, the rats were put on the platform by the researcher for 30 s. Escape latency, the time taken to find the platform was recorded for each session, and daily averages were calculated.

During the probe trial to assess the memory on the fifth day, the platform was removed to examine the rats' memory functions. During a single 90-s swimming session, the duration spent in the quadrant where the platform was initially positioned, the number of platform crossings, the distance covered, and swimming velocity were recorded.

#### 2.4.2 Porsolt forced swimming test

A transparent cylindrical Plexiglas tank (60 cm high, 20 cm in diameter) was employed to evaluate depression and learned helplessness. The tank was filled with water at 25°C, reaching a depth of 30 cm from surface level to prevent possible escape. A camera was placed above the cylinder to capture the test. The procedure involved placing a rat inside the tank and starting both a stopwatch and the video camera as soon as the rat entered the water. The durations for climbing, swimming and immobility exhibited by the rats were recorded over 2 days. The pre-test was conducted 2 days after Morris Water Maze. The initial day served as a pre-test, during which the animal remained in the water tank for 15 min, with its behavior being thoroughly documented using the Ethovision XT nine software. After each trial, the rats were carefully dried with a towel and a hairdryer before being returned to their respective cages. The next day the testing phase took place, with the animal placed in the tank again, this time for 5 min. The same parameters and measurement criteria were applied, and the rat was removed from the tank after the test and dried as described before.

#### 2.5 Cortical tissue isolations

The day after the Porsolt test, the rats were decapitated under isoflurane anesthesia and their cortices were dissected in ice-cold saline (+4°C, 50 mL). The isolated cortex was used for trace element analysis by ICP-MS.

# 2.6 ICP-MS analysis of trace elements in rat cortices

#### 2.6.1 Sample pretreatment

The isolated cortex samples were weighed in acid-washed Eppendorf tubes and dried at 80 °C (drying oven) until constant weight. Then, the samples (approximately 1 mg) were transferred to 15 mL polypropylene tubes. Then 250 μL of high-purity HNO<sub>3</sub> ( $\geq$ 69%, TraceSELECT, Fluka) and 50  $\mu$ L of high-purity  $H_2O_2$ (30%, Suprapur, Supelco) were added to digest the sample for 72 h at room temperature and 1 h at 60°C. After sample digestion, the volume was adjusted with ultrapure water and internal standards (IS) solution to a final volume of 10 mL. Sample blanks were obtained using the same procedure. The analytical quality was assessed using two certified reference materials (CRM) were used: Mussel tissue (ERM-CE278K) and Skimmed Milk Powder (ERM-BD151), both from the European Commission's Joint Research Centre (JRC). These CRMs were pretreated and analyzed using the same procedure as the samples. The obtained solutions were stored at 4°C until analysis.

#### 2.6.2 Trace element analysis

The samples were analyzed using inductively coupled plasma mass spectrometry (ICP-MS)with an iCAP Q instrument (Thermo Fisher Scientific), equipped with a Meinhard TQ + concentric quartz nebulizer, a Peltier-cooled high-purity quartz baffled cyclonic spray chamber, and a demountable quartz torch with a 2.5 mm i. d. quartz

injector. The interface consisted of two Ni cones (sampler and skimmer). High-purity argon (99.9997%) was used as nebulizer and plasma gas. The sample solutions and calibration standards were introduced into the ICP-MS instrument using a CETAC ASX-520 autosampler (Teledyne CETAC Technologies). Before each run, the instrument was tuned for maximum sensitivity and signal stability to minimize the formation of oxides and double-charge ions. The main operational parameters of the ICP-MS were: nebulizer gas flow, 1.14 L/min; auxiliary gas flow, 0.79 L/min; plasma gas flow, 13.9 L/min; radiofrequency generator power, 1550 W; and dwell time, 1-10 m. The isotopes 7Li, 25Mg, 27Al, 31P, 43Ca, 52Cr, 55Mn, 57Fe, 59Co, 60Ni, 65Cu, 66Zn, 75As, 82Se, 85Rb, 88Sr, 111Cd, 121Sb, 133Cs, 137Ba, 205 TL and 208 Pb were measured for analytical determination and the isotopes 6Li, 45Sc, 71Ga, 89Y, 103Rh, 193Ir and 209Bi were monitored as IS (Azevedo et al., 2023).

#### 2.7 Statistical analysis

Data analysis was conducted using the statistical software package SPSS version 22. Graphs were generated in the Graphpad Prism 10.2.1. A one-way analysis of variance (ANOVA) was employed to examine the effects of the time spent in the platform area (duration), the number of platform crossings (frequency), the average speed in the pool (velocity) and the total distance (distance) in the probe trial (CONT-WIS, ISO-WIS, CONT-SD and ISO-SD). Prior to conducting the ANOVA, assumptions of normality, homogeneity of variances were assessed through visual inspection of histograms, and Levene's test, respectively. Subsequently, to test specific hypotheses regarding the linear trend across group means, a linear contrast analysis was performed. Three contrasts were created to assess linear trends across the groups. Trace element analysis was performed with One-Way ANOVA and the data is represented as "F (DFn, DFd) = Fvalue, *p*-value" with p < 0.05 significant difference. The analysis of immobility time was performed with repeated-measures analysis of variance (ANOVA) followed by post hoc Dunnett test, designed with two factors, "time" and "treatment," followed by the Dunnett test, was used.

#### 3 Results

#### 3.1 Morris water maze

# 3.1.1 Time spent to find the platform (escape latency) over the course of four days

Briefly, all rats learned to find the platform, as shown by the significant decrease in escape latency compared to the first day within each group. There was no statistically significant difference in the time spent to find the platform between all groups on day 1. However, significant differences were observed between the time points, but no significant results were observed with the effect of strain or social  $[F\ (2,252,\ 67,55)\ =\ 71,82,\ p<0.05]$  significant differences of first day of the second, third and fourth days (Figure 2).

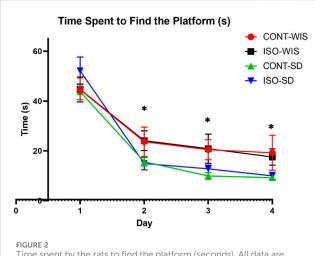


Figure 2 Time spent by the rats to find the platform (seconds). All data are expressed as mean  $\pm$  SEM. \*p < 0.05 indicates a significant difference between the time points of first and second day. No significant differences were found between the groups.

#### 3.1.2 Probe trial

In the probe trial, memory performance was assessed by time spent in the target quadrant, number of platform crossings, average velocity, and total distance swam. Statistical differences were found in the distance travelled (t = 0.205, p < 0.05) and velocity (t = 0.205, p < 0.05) between ISO-WIS and ISO-SD (Figures 3D–H Supplementary Figure S4) and between the CONT-SD and ISO-SD (Figures 3B, F).

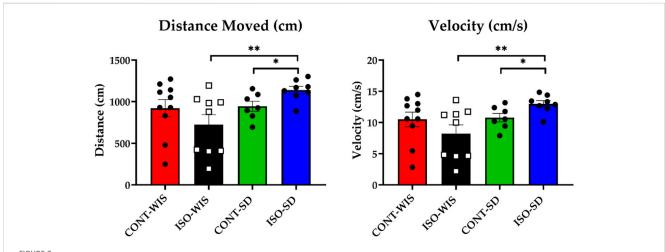
No significant linear contrasts were observed for groups that the time spent in target quadrant and the number of platform crossings were compared (p > 0.05; Figure 4).

## 3.2 Porsolt forced swimming test

The Porsolt Forced Swimming test results were compared between all groups. Two-Way Anova results showed a significant difference between groups in immobility time (p < 0.05) at 1, 2, 3, 4 and 5 min. There was also a significant difference in isolated animals (p < 0.005). The difference in the immobility time between the ISO-WIS and CONT-WIS, CONT-SD and CONT-WIS as well as CONT-SD and ISO-SD groups were statistically significant [strain or social isolation effect; F (Van der Borght et al., 2005; Lukkes et al., 2009) = 6,583, p < 0.05](Figure 5).

#### 3.3 Trace element levels in the cortex

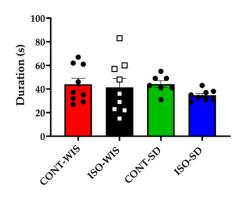
The trace element levels (As, Ba, Ca, Co, Cr, Cs, Fe, Hg, Li, Mg, Mn, Mo, P, Rb, Se, Sr, Tl, Zn) were measured in the rat cortices from all groups (See Supplementary Material for other trace element levels for all elements: <sup>7</sup>Li, <sup>25</sup>Mg, <sup>27</sup>Al, <sup>31</sup>P, <sup>43</sup>Ca, <sup>52</sup>Cr, <sup>55</sup>Mn, <sup>57</sup>Fe, <sup>59</sup>Co, <sup>60</sup>Ni, 65Cu, 66Zn, 75As, 82Se, 85Rb, 88Sr, 111Cd, 121Sb, 133Cs, 137Ba, 205TL and  $^{208}\mbox{Pb}).$  The levels of Co, Cu, Zn, As, Rb, Mo and Cs were significantly different between some groups. Co and Cu levels were significantly higher in the ISO-SD group than the ISO-WIS group (F (Rex et al., 2004; Lukkes et al., 2009) = 1.284; p = 0.3014; F (Rex et al., 2004; Lukkes et al., 2009) = 6.776; p = 0.0017; respectively, Figure 6). Whereas Cs and As levels were significantly lower in the ISO-SD group compared to the ISO-WIS group (F (Rex et al., 2004; Lukkes et al., 2009) = 5.421; p = 0.0052; F (Rex et al., 2004; Lukkes et al., (2009) = 6.616; p = 0.0019; respectively, Figure 6). After social isolation, Cu and Mo levels were lower (F (Rex et al., 2004; Lukkes et al., 2009) = 3.191; p = 0.0409) in the CONT-WIS group than the ISO-WIS group (F (Rex et al., 2004; Lukkes et al.,



Results of the probe trial: Distance Travelled (cm) and Velocity (cm/s) for each group. All data are expressed as mean ± SEM. \*p < 0.05, \*\*p < 0.01 denote significant differences. The distance travelled was compared based on the effect of social isolation or strain differences between the groups of CONT-WIS vs. ISO-WIS, CONT-SD vs. ISO-SD, CONT-WIS vs. CONT-SD, and ISO-WIS vs. ISO-SD. Velocity was compared based on the effect of social isolation on compared based on the effect of social isolation or strain differences between the groups of CONT-WIS vs. ISO-WIS, CONT-SD vs. ISO-SD, CONT-WIS vs. CONT-SD, and ISO-WIS vs. ISO-SD.

#### Time Spent in Target Quadrant (%)

## **Number of Platform Crossing**



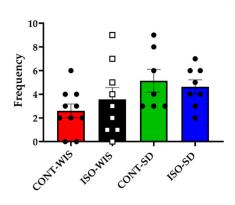


FIGURE 4
Probe trial parameter results: Time Spent in the Target Quadrant (Duration; s) and the Number of Platform Crossings (Frequency) for each group. All data are expressed as mean ± SEM. Time spent in the target quadrant and the number of platform crossings based on the effect of social isolation or strain differences between the groups of CONT-WIS vs. ISO-WIS, CONT-SD vs. ISO-SD, CONT-WIS vs. CONT-SD, and ISO-WIS vs. ISO-SD. Velocity was compared based on the effect of social isolation on compared based on the effect of social isolation or strain differences between the groups of CONT-WIS vs. ISO-WIS, CONT-SD vs. ISO-SD, CONT-WIS vs. CONT-SD, and ISO-WIS vs. ISO-SD.

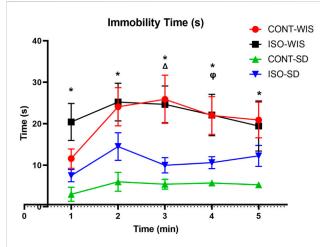


FIGURE 5 Time of Immobility (seconds). All data are expressed as mean  $\pm$  SEM. \*p < 0.05, indicate significant difference. The significant differences between groups are denoted as follows: \*p < 0.05, CONT-WIS and CONT-SD.  $^{\Delta}$  p < 0.05, ISO-WIS and ISO-SD;  $^{\Box}$  p < 0.05, CONT-SD and ISO-SD.

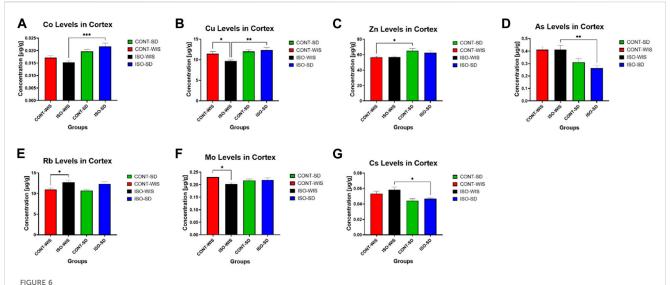
2009) = 4.750; p = 0.0093), while Rb levels were higher. In the naïve strains were compared, it was observed that Zn levels were lower in the WIS group that in the SD rats (F (Weiss et al., 2000; Lukkes et al., 2009) = 4.114; p = 0.0173; Figure 6).

## 4 Discussion

Until now, a direct and comprehensive assessment of trace elements in the brain, such as a comparative analysis between two different rat strains following social isolation has not been done yet. Therefore, our objective was to discern potential associations between trace element levels, strain responses to social isolation, and subsequent impacts on depressive behavior and memory functions. Our findings revealed differences in trace element levels between two rat strains subjected to social isolation. Specifically, Co and Cu levels were elevated, while As and Cs levels were reduced in the socially isolated SD group compared to the WIS group.

Our findings showed that, compared to the first day, the time spent finding the platform gradually decreased for all groups. In summary: (Liu et al., 2010): although the distance to find the platform in the probe trial was not affected by isolation in the WIS groups, it had a drastic effect on the SD groups; (Cacioppo and Hawkley, 2009); the velocity of the isolated SD was higher than the SD control and isolated WIS groups. No differences were found between CONT-SD and CONT-WIS groups; (Lukkes et al., 2009); isolated SD rats found the platform faster than control SD rats, but no other differences were found regarding velocity; (Amiri et al., 2015); there were significant differences in the cortical trace element levels between groups. Specifically, within the ISO-SD group, unlike the ISO-WIS group, the Co and Cu levels were higher, while the Cs and As levels were lower; (Filipović et al., 2011); isolation led to decreased levels of Cu and Mo, but increased the Rb levels in WIS rats. Comparing trace element levels in naïve groups of different strains, Zn levels were lower in the WIS group than in the SD rats; (Martinovic et al., 2014); the CONT-SD and ISO-SD groups outperformed the CONT-WIS group in locating the platform over 4 days although statistically not significant.

Our results suggest that social isolation may impact ISO-SD rats more. Existing literature on the behavioral consequences of social isolation in various rat strains varies widely. Specifically, one study documented a substantial decline in pre-pulse inhibition among SD rats compared to WIS rats after 12 weeks of isolation (Weiss et al., 2000). In our research, ISO-SD rats had increased velocity and distance moved that might show it takes more effort to find the platform, which might indicate a potential memory deficit. Our results also revealed that isolation elicited a comparatively more discernible impact on SD than WIS rats. Previous reports have



Cortical trace element levels. (A) Co levels in the cortex in all groups, (B) Cu levels in the cortex in all groups, (C) Zn levels in the cortex in all groups, (D) As levels in the cortex in all groups, (E) Rb levels in the cortex in all groups, (E) Rb levels in the cortex in all groups, (E) Rb levels in the cortex in all groups, (E) Cs levels in the cortex in all groups, (E) Rb levels in the cortex in all groups, (E) Cs levels in the cortex in all groups, (E) Rb levels in the cortex in all groups, (E) Cs levels in the cortex in all groups, (E) Rb levels in the cortex in all g

suggested that environmental conditions do not significantly impact the social behavior of SD rats, while they affect WIS rats indicating that WIS rats generally tend to be more anxious (Rex et al., 2004).

The Morris Water Maze test is used for evaluating memory functions and spatial learning mainly the time spent in the target quadrant and the number of platform crossings. Total distance travelled and the average swimming speed of the rats was also included as it was shown in prior studies that anxiety and age affected these parameters as well, as well as to understand the thigmotactic behaviors (Belviranli et al., 2012). Repeated testing was conducted for 4 days to assess spatial learning, and a probe trial day to assess the reference memory performance (Morris, 1984). In both control and isolated groups, SD rats performed better than WIS rats in locating the platform over 4 days as seen in the graphs although there was no statistical significance. This might suggest that SD rats might possess better spatial learning and memory ability than the WIS rats, but more studies are needed to confirm this. Adult SD rats have been previously shown to perform better than WIS rats in autoshaping, lever press response, maze testing (Andrews et al., 1995), and the latency to find the maze (Inostroza et al., 2011). However, another study comparing WIS and SD rats reported contradictory results. Although both strains performed equally during training, WIS rats exhibited better cueing results in the Morris Water Maze test (Van der Borght et al., 2005). Which might be in line with the increased velocity and distance taken by SD rats in the probe trial. Another study comparing three rat strains revealed that SD rats are superior to spontaneous hypertensive rats. A genetic model of attention deficit hyperactivity disorder also localized the platform in the maze more rapidly (Cao et al., 2013). Furthermore, compared with WIS Kyoto rats and spontaneous hypertensive rats, SD rats exhibited elevated docosahexaenoic acid levels in their hippocampus, prefrontal cortex, and corpus striatum, and reduced docosahexaenoic acid levels in the corpus callosum, parietal lobe, and temporal lobe. Our findings are consistent with the studies on aged rats.

Using the Porsolt forced swimming test we evaluated depressivelike behavior in rats using immobility time. We observed significant differences between CONT-WIS and CONT-SD groups throughout the experiments. The immobility time for CONT-WIS rats was longer than that of CONT-SD rats for each time period, suggesting that WIS rats exhibited more anxiety than naturally middle-aged SD rats. Although also a statistically significant difference was observed between isolated WIS/SD rats, it is difficult to interpret this due to the baseline difference in anxiety. The duration of immobility of WIS rats was shown to be longer than that of SD rats, which might be because WIS rats have more dopamine receptors (Zamudio et al., 2005). Furthermore, the ISO-WIS group showed longer immobility time than the CONT-WIS group in the first minute, but without statistical significance. A study on 15-week-old rats showed no significant difference between isolated and control WIS rats regarding immobility time (Hall et al., 1998). As a limitation of our study, we initially conducted behavioral tests with the isolated group while many animals were in the groups. This ensured that the time spent in the cages during social isolation was evenly distributed, involving 17 animals first, considering constraints related to daylight hours and the duration of behavioral tests.

While direct associations are challenging to establish, there is evidence of altered levels of trace elements that may indicate a potential association with social isolation and its outcomes. For instance, Zn levels were higher in the SD control group, aligning with previous research associating Zn with stress-related responses. Zn deficiency or social isolation alone induces anxious or depressive symptoms (Mitsuya et al., 2015). In previous findings, socially-isolated rats showed reduced serum Zn levels but increased Cu levels in the prefrontal cortex of SD rats (Famitafreshi and Karimian,

2016). In our study, Zn was also initially higher in the control group of SD. Similarly, the activity of total superoxide dismutase, including Cu/Zn superoxide dismutase (CuZnSOD) and catalase was increased in the cerebral cortex of WIS rats exposed to social isolation (Pejic et al., 2016). Another study reports increased activity of CuZnSOD in socially isolated rats (Pajović et al., 2006; Stanisavljevic et al., 2017). These increases in the Cu and Zn may be related to the increases in the need for the catalase activities. Zn was also associated with depressive-like and anxiety-related behavior (Takeda et al., 2007; Watanabe et al., 2010). The effects of Zn were studied in the forced swimming (Porsolt's) test in mice. Zn (ZnSO4) at a dose of 30 mg/kg (but not at a dose of 10 mg/kg), similar to imipramine (30 mg/kg), reduced the immobility time in that test. Moreover, Zn at both doses reduced the locomotor activity. The obtained results indicate that Zn induces an antidepressant-like effect in the forced swimming test. Since Zn reduces locomotor activity, this antidepressant-like effect is not related to the alteration of general activity (Kroczka et al., 2000). In our study, not many differences were found due to social isolation. Still, SD rats had higher intrinsic levels of Zn, which may be associated with an intrinsic protection mechanism against possible stressors. In contrast, SD rats appear more prone to stress-related immobility behaviors.

The antidepressant, psychostimulant, and nootropic effects of several major and trace elements including KCl, RbNO3, and magnesium sulphate were studied on models of behavioral despair using Porsolt and conditioned passive avoidance tests. This preparation was found to shorten the immobilization time in the Porsolt test and promote retention of the conditioned passive avoidance. The most pronounced psychostimulant effect of the substance was observed in a dose-dependent manner (Afanasieva et al., 2013). Other trace elements have been associated with neurological symptoms or irritability such as Mo, Rb, exposure to As and elevated Co levels (Rex et al., 2004; Tower, 2010; Kyi-Tha-Thu et al., 2023). We have found elevated Rb levels only in socially isolated WIS rats, thus supporting the anticipated association between their breed disposition and elevated Rb levels under isolation conditions (Rex et al., 2004). We have found decreased Mo levels in the ISO-WIS group. Animal studies have shown reduced exploratory behavior and a potential decline in passive avoidance learning in subjects exposed to higher doses of Co (Czarnota et al., 1998). It has also been demonstrated that topdown control of passive coping behavior by the medial prefrontal cortex can collaborate with the bottom-up action of glucocorticoids to improve memory consolidation of the immobility response. In this regard, the immobility response can be attributed to the accumulation of passive avoidance behavior (Lingg et al., 2020). In line with these findings, isolated SD rats in our study showed greater immobility compared to isolated WIS rats in the Porsolt forced swimming test, as well as increased Co levels, which may be a possible association of correlated neurobehavioral changes. In male rats, chronic exposure to As resulted in anxiety- and depression-like behaviors along with memory impairment. These effects were observed through reduced time spent in open areas (elevated plus maze), increased immobility time (forced swimming test), and decreased performance in spatial memory tasks (Morris water maze test) (Samad et al., 2021). In the course of our research, elevated As levels were noted in ISO-WIS rats in

comparison to ISO-SD rats. This observation aligns with the inherently anxious disposition of WIS rats and previous research indicating the anxiogenic effects of As. Furthermore, a disparity emerged in the Porsolt forced swimming test between ISO-WIS and ISO-SD rats only in the third minute, with ISO-WIS rats displaying significantly greater immobility compared to ISO-SD. While consistent with earlier findings on the impact of As, it is noteworthy that social isolation exerts a more pronounced influence on the SD strain. One study associated Cs with impaired learning and spatial memory and increased anxiety (Bellés et al., 2016). Further, regarding anxiety, which is expected to be higher in WIS rats, our results show that CONT-WIS had higher levels of Cs compared to CONT-SD, consistent with previous findings.

In summary, distinct patterns were evident in both trace element analysis and behavioral tests. The comprehensive findings indicate elevated Co and Cu levels, coupled with decreased Cs and As levels in socially isolated SD rats compared to WIS rats. Furthermore, isolation may have exerted varying influences on Zn, Mo, and Rb levels within each strain. This divergence in trace element profiles is perceived as offering valuable insights into factors contributing to resilience. Moreover, the present results hold promise for identifying novel targets for the treatment and dietary prevention of depressive and mental disorders during stressful periods in the future. The study emphasizes the strain-specific responses to isolation, providing an in-depth view of the neurobehavioral effects of trace elements and contributing valuable information to the comprehension of behavioral consequences in socially isolated rats. Other factors also must be considered to draw direct associations between these associations.

#### 5 Conclusion

Studies suggest that strain differences might be the underlying reason behind the conflicting results in any behavioral paradigm. A strain's performance in one task does not reliably predict its performance on another. Our findings indicate that SD rats are more sensitive to social isolation as shown in previous studies. Therefore, using SD rats to study the effects of stress and isolation is more plausible. Furthermore, we emphasized strain-specific responses to isolation and the association of specific neurobehavioral effects with trace elements. Our results might contribute to the future on designing a balanced diet to prevent neurobehavioral abnormalities due to social isolation.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

## **Ethics statement**

The animal study was approved by the Acibadem University Experimental Animals Ethical Committee. The study was conducted

in accordance with the local legislation and institutional requirements.

Author contributions

MY: Conceptualization, Data curation, Formal Analysis, acquisition, Funding Investigation, Methodology, Project administration, Resources, Validation, Visualization, Writing-original draft, Writing-review and editing. EDD: Conceptualization, Data curation, Investigation, Methodology, Validation, Writing-original draft. FMA: Data curation, Investigation, Methodology, Project administration, Supervision, Writing-original draft. EK: Data curation, Methodology, Writing-original draft. EA: Data curation, Formal Analysis, Investigation, Methodology, Writing-original draft. GD: Data curation, Formal Analysis, Investigation, Methodology, Validation, Writing-original draft. BK: Data Methodology, Writing-original draft. ET: Data curation, Formal Analysis, Methodology, Software, Writing-original draft. DS: Data UC: Writing-original draft. Data Writing-original draft. CB: Data curation, Writing-original draft. EP: Data curation, Writing-original draft. ME: Data curation, Writing-original draft. RA: Data curation, Formal Analysis, Investigation, Methodology, Writing-original draft. DÖA: Methodology, Resources, Writing-review and editing. AA: Analysis, Methodology, Resources, Supervision, Writing-review and editing. GS: Conceptualization, Formal Analysis, Investigation, Methodology, Project administration, Resources, Software, Supervision, Writing-review and editing.

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#### Conflict of interest

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphar.2024.1367469/full#supplementary-material

#### SUPPLEMENTARY FIGURE 1

Cortical trace element levels. Co, Mg, P, Ca, Cr, Mn, Fe, Co, Cu levels in the cortex in all groups. All data are expressed as mean  $\pm$  SEM. The significant differences between groups are denoted as follows: \*p < 0.05, Cu levels between the CONT-WIS and ISO-WIS, \*\*p < 0.01, Cu levels between the ISO-WIS and ISO-SD, \*\*\*p < 0.001, Co levels between the ISO-WIS and ISO-SD.

#### SUPPLEMENTARY FIGURE 2

Cortical trace element levels. Zn, As, Se, Rb, Sr, Mo, Cs, Ba, Hg levels in the cortex in all groups. All data are expressed as mean  $\pm$  SEM. The significant differences between groups are denoted as follows: \*p < 0.05, Zn levels between the CONT-WIS and CONT-SD, Rb levels between the CONT-WIS and ISO-WIS, Mo levels between CONT-WIS and ISO-WIS, Cs levels ISO-WIS and ISO-SD, \*\*p < 0.01, As levels between the ISO-WIS and ISO-SD.

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# The effect of black cohosh extract and risedronate coadministration on bone health in an ovariectomized rat model

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Preparations of black cohosh extract are sold as dietary supplements marketed to relieve the vasomotor symptoms of menopause, and some studies suggest it may protect against postmenopausal bone loss. Postmenopausal women are also frequently prescribed bisphosphonates, such as risedronate, to prevent osteoporotic bone loss. However, the pharmacodynamic interactions between these compounds when taken together is not known. To investigate possible interactions, 6-month-old, female Sprague-Dawley rats underwent bilateral ovariectomy or sham surgery and were treated for 24 weeks with either vehicle, ethinyl estradiol, risedronate, black cohosh extract or coadministration of risedronate and black cohosh extract, at low or high doses. Bone mineral density (BMD) of the femur, tibia, and lumbar vertebrae was then measured by dual-energy X-ray absorptiometry (DEXA) at weeks 0, 8, 16, and 24. A high dose of risedronate significantly increased BMD of the femur and vertebrae, while black cohosh extract had no significant effect on BMD individually and minimal effects upon coadministration with risedronate. Under these experimental conditions, black cohosh extract alone had no effect on BMD, nor did it negatively impact the BMD-enhancing properties of risedronate.

#### KEYWORDS

black cohosh, risedronate, bone mineral density, dietary supplements, bisphosphonates, postmenopausal osteoporosis

#### 1 Introduction

Dietary supplements are often viewed as a safe alternative for the prevention and treatment of disease, frequently leading to their usage being under-reported to physicians. Combining dietary supplements with prescription medications, however, may alter the efficacy, and even safety, of a medication. The present study was designed to evaluate the potential pharmacodynamic interactions of black cohosh extract, a dietary supplement marketed to relieve the vasomotor symptoms of menopause, and the FDA-approved osteoporosis drug risedronate, prescribed to post-menopausal women to improve bone health.

Black cohosh extract is made from the roots and rhizomes of Actaea racemosa L. (synonym Cimicifuga racemosa (L.) Nutt), a perennial plant native to North America (Betz et al., 2009). Dietary supplements containing black cohosh extract are available in a variety of forms (dried extracts, liquid extracts, dried whole herb) and vary widely in their chemical composition, with some standardized on the ratio of herbal drug to native extract and others to total triterpene glycoside content (National Institutes of Health, 2023). While there are no data available on the specific number of individuals who take black cohosh extracts, it was the 20th ranked top-selling herbal supplement in 2021 (Smith et al., 2022). Black cohosh has a long history of use for women's reproductive health (Foster, 1999), with numerous clinical studies investigating whether it can alleviate the vasomotor symptoms of menopause (Nappi et al., 2005; Osmers et al., 2005; Newton et al., 2006; Wuttke et al., 2006; Bai et al., 2007; Geller et al., 2009; Leach and Moore, 2012; Franco et al., 2016). While some studies indicated a positive effect (lessening of symptoms), others indicated worsening of symptoms or shown no benefit over placebo. The inconsistent reports on the efficacy of black cohosh products may be due to the variability of the test article used, as few include characterization or standardization (Swanson, 2002). Adulteration of black cohosh products with related species of Actaea has also been documented (Foster, 2013).

In addition to providing relief from the vasomotor symptoms of menopause, extracts of black cohosh have also been reported to protect against postmenopausal bone loss. Qui and others demonstrated that 25-acetylcimigenol xylopyranoside (ACCX), a component isolated from black cohosh, inhibited receptor activator of nuclear factor kappa B ligand (RANKL)-induced osteoclast differentiation of mouse bone marrow macrophages (Qiu et al., 2007). Black cohosh extract has also been associated with decreased bone loss in the ovariectomized (OVX) rat model, as well as increased bone mineral density (BMD) and enhanced callus formation in a OVX rat tibia fracture healing model (Nisslein and Freudenstein, 2003; Kolios et al., 2010; Seidlová-Wuttke et al., 2012).

Risedronate sodium is one of several bisphosphonates approved by the U.S. FDA for the treatment and prevention of osteoporosis in postmenopausal women and in 2012 was the leading branded oral bisphosphonate on the market in the U.S. (U.S. Securities and Exchange Commission, 2012). Bisphosphonates prevent bone loss by binding hydroxyapatite and inhibiting the bone resorbing action of osteoclasts through inhibition of RANKL (Yasuda et al., 1998). Nitrogen-containing bisphosphonates, such as risedronate, also block osteoclast activity by inhibiting the enzyme farnesyl pyrophosphate synthase (FPPS) (Kavanagh et al., 2006; Russell,

2011). It is possible that black cohosh extract and risedronate both protect against bone loss; risedronate via binding hydroxyapatite and inhibition of FPPS, and risedronate and black cohosh extract via inhibition of RANKL-mediated osteoclast differentiation. However, it is not known whether there are pharmacodynamic interactions when taken together that could impact the efficacy of FDA-approved bisphosphonates.

Here we investigated the individual and combined effects of black cohosh extract and risedronate at high and low doses, as well as ethinyl estradiol as a positive control, on BMD in the OVX rat, an established model of postmenopausal osteoporosis (Kalu, 1991). Risedronate treatment increased BMD compared to the OVX-vehicle controls, while black cohosh extract had no effect on BMD when administered individually. When co-administered with risedronate, black cohosh extract had some positive effects; however, BMD increases were not significantly different from animals administered risedronate alone. Taken together, the results suggest that black cohosh extract did not inhibit the effectiveness of risedronate.

#### 2 Materials and methods

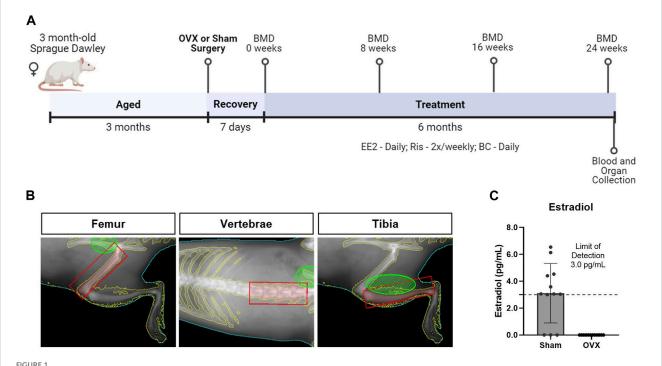
# 2.1 Test compounds

Risedronate sodium (Cat. No. SML0650), ethinyl estradiol (Cat No. E4876) and carboxymethylcellulose (CMC; Cat. No. C4888) were purchased from Sigma-Aldrich (St. Louis, MO). A standardized black cohosh dry extract (Cat. No. 398014; USA sourced, water/ethanol extraction; total triterpene glycoside content, 2.7%) was obtained from Euromed USA, Inc. (Presto, PA) and was considered a representative market sample. Detailed methods for test compound characterization/verification and dose certification are provided in the Supplementary Data S1.

## 2.2 Animals and experimental design

Animal procedures were approved by the NCTR Institutional Animal Care and Use Committee and followed the guidelines set forth in the Care and Use of Laboratory Animals (National Research Council, 2011). Animal rooms were maintained at  $23^{\circ}\text{C} \pm 3^{\circ}\text{C}$  with a relative humidity of  $50\% \pm 20\%$  and a 12-h light/dark cycle. Animals were housed in solid-bottom polysulfone cages with microisolator tops. Millipore-filtered tap water was provided in glass bottles with silicone stoppers. Animals were maintained on 5K96 verified casein diet 10 IF (LabDiet, St. Louis, MO), to minimize background exposure to phytoestrogens; detailed analysis of isoflavone measurements are provided in the Supplementary Data S1. Food and water were provided *ad libitum*.

A total of 230 virgin female Sprague-Dawley rats were purchased from Harlan Industries (Indianapolis, IN) and delivered at approximately 3 months of age. At 6 months of age, animals were assigned to treatment groups such that the mean initial weights were comparable and underwent bilateral ovariectomy or a sham surgery. In brief, surgery was conducted under isoflurane anesthesia. Bilateral dorsal incisions were made to allow visualization of the viscera. The ovaries were located, removed by cauterization, and incisions closed



Study design to evaluate changes in bone quality in the ovariectomized rat, an established model of postmenopausal osteoporosis. (A) At 6 months of age, female Sprague Dawley rats underwent sham or bilateral ovariectomy (OVX). Following a 7-day recovery period, animals were treated with vehicle or test articles for 24 weeks. (B) Representative DEXA images show regions of interest in shaded red boxes used to quantify bone mineral density (BMD) for the femur, lumbar vertebrae (L1-L4), and tibia. All images depicted are from the same rat. (C) Estradiol levels, measured by ELISA, were significantly greater in sham animals compared to OVX-vehicle animals ( $\rho < 0.0001$ , n = 12). The lower limit of detection in the assay was 3 pg/mL (dashed line).

with wound clips. In the sham control group, surgery was conducted as described, but ovaries were left intact. Animals recovered for 7 days before initial BMD assessment; dosing began the following day and continued for 24 weeks (Figure 1A).

Animals were euthanized at 1 year of age by over-exposure to carbon dioxide followed by exsanguination. Liver, kidney (paired), and uterine weights were obtained. OVX animals were examined to verify removal of ovarian tissue; three animals (n = 2 OVX-vehicle; n = 1 low dose black cohosh extract/high dose risedronate) were excluded from analysis, due to incomplete ovariectomy.

Four animals died during the study or were euthanized before the scheduled euthanasia date due to health concerns. One of the animals had a nephroblastoma, one had focal caseous pleuropneumonia due to a gavage accident, and the cause of morbidity in the other two animals could not be determined.

## 2.3 Dose selection and treatment groups

There was a total of 12 treatment groups with 18 animals assigned to each group (Table 1).

Risedronate was solubilized in Millipore $^*$ -filtered water and the animals were dosed twice weekly (Monday and Thursday) by subcutaneous injection at 1.5 or 5 µg/kg bw, modeling previous bone pharmacology studies (Li et al., 1999; Yao et al., 2007; Cheng et al., 2009; Uyar et al., 2009; Shahnazari et al., 2010).

Dry black cohosh extract was mixed with 0.5% aqueous CMC. Animals were dosed daily by gavage at 10 or 100 mg/kg bw using an

automated Hamilton Microlab® pump (Hamilton Co., Reno, NV). Black cohosh dose selection was based on literature reports demonstrating a positive effect on bone with no reported toxicity (Seidlová-Wuttke et al., 2003a; Kolios et al., 2010). Additionally, the 10 mg/kg bw dose is similar to the upper end of the suggested human dose for treatment of menopausal symptoms (20–40 mg twice per day) (Reagan-Shaw et al., 2008).

Ethinyl estradiol, was solubilized in 0.3% aqueous CMC and administered daily by gavage at 2.5 or 15  $\mu g/kg$  bw. Initially, doses of 10 and 100  $\mu g/kg$  bw were selected, based on literature reports demonstrating that oral concentrations of 30  $\mu g/kg$  bw reversed OVX-induced bone loss, with a dose as high as 100  $\mu g/kg$  bw showing no evidence of toxicity (Ke et al., 1997; Picherit et al., 2000; Coelingh Bennink et al., 2008). However, after problems with solubility and toxicity (i.e., weight loss) concentrations were adjusted downward after three or four weeks of treatment.

#### 2.4 Dual-energy X-ray absorptiometry

BMD of the femur, tibia, and L1-L4 lumbar vertebrae were measured by DEXA at weeks 0 (one day prior to start of dosing), 8, 16, and 24 (one day prior to euthanasia). The DEXA instrument (PIXImus, Lunar GE Medical Systems, Madison, WI) was calibrated using the manufacturer's phantom mouse. All animals were anesthetized with isoflurane and placed on the DEXA tray in the ventral position with the limbs held splayed. Each animal was positioned to scan the right leg, then positioned to scan the spine

TABLE 1 Treatment groups and dosing.

	Treatment group	Compound(s) administered	Concentration	Route and Frequency of administration
1	Sham	CMC	0.5%	Oral Gavage; daily
2	Vehicle (OVX)	CMC	0.5%	Oral Gavage; daily
3	Lo EE2	Ethinyl Estradiol	2.5 μg/kg bw	Oral Gavage; daily
4	Hi EE2	Ethinyl Estradiol	15.0 μg/kg bw	Oral Gavage; daily
5	Lo Ris	Risedronate	1.5 μg/kg bw	Subcutaneous; biw
6	Hi Ris	Risedronate	5.0 μg/kg bw	Subcutaneous; biw
7	Lo BC	Black Cohosh Extract	10 mg/kg bw	Oral Gavage; daily
8	Ні ВС	Black Cohosh Extract	100 mg/kg bw	Oral Gavage; daily
9	Lo BC + Lo Ris	Black Cohosh Extract	10 mg/kg bw	Oral Gavage; daily
		Risedronate	1.5 μg/kg bw	Subcutaneous; biw
10	Lo BC + Hi Ris	Black Cohosh Extract	10 mg/kg bw	Oral Gavage; daily
		Risedronate	5.0 μg/kg bw	Subcutaneous; biw
11	Hi BC + Lo Ris	Black Cohosh Extract	100 mg/kg bw	Oral Gavage; daily
		Risedronate	1.5 μg/kg bw	Subcutaneous; biw
12	Hi BC + Hi Ris	Black Cohosh Extract	100 mg/kg bw	Oral Gavage; daily
		Risedronate	5.0 μg/kg bw	Subcutaneous; biw

CMC, carboxymethylcellulose.

biw = twice a week.

area. Each region was scanned twice with repositioning of the animal between scans. The software's inclusion and exclusion areas were used to outline the femur, tibia, and lumbar vertebrae regions of interest (ROI) (Figure 1B). BMD data for each ROI were analyzed by averaging the technical replicates. To limit repeated isoflurane exposure, only a subset of animals were subjected to DEXA scanning at weeks 8 and 16 (n = 10/group); all animals were scanned at weeks 0 and 24.

#### 2.5 ELISA assays

For measurement of estradiol levels and serum bone markers, blood was collected at euthanasia by cardiac puncture into Vacutainer\* tubes (BD, Franklin Lakes, NJ). Samples were centrifuged at 3,000 x g for 10 min at room temperature; the serum was aliquoted and stored at  $-80^{\circ}\mathrm{C}$  until use.

Serum estradiol was measured using a mouse/rat estradiol ELISA kit (Cat. No. ES180S-100) from Calbiotech (Spring Valley, CA) per the manufacturer's instructions. Samples were read on a Molecular Devices Spectramax M2 spectrophotometer (Sunnyvale, CA) and analyzed with Softmax Pro 5 software. The lower limit of detection (LOD) for estradiol was 3 pg/mL; samples below the LOD were set to zero for analysis.

#### 2.6 Statistical analysis

Differences between groups were analyzed using GraphPad Prism Version 6.0 (GraphPad Software, Inc., LaJolla, CA).

Differences between multiple treatment groups at single timepoints (body weight, organ weights, and BMD) were evaluated using one-way ANOVA followed by Sidak's post-hoc test for multiple comparisons; comparisons included vehicle vs. all other groups, low risedronate treatment vs. low/high black cohosh extract + low risedronate treatment and high risedronate treatment vs. low/high black cohosh extract + high risedronate treatment. Difference in estradiol levels were evaluated using unpaired t-tests. p values less than 0.05 were considered significant. Data are presented as means  $\pm$  standard deviation (SD), unless noted.

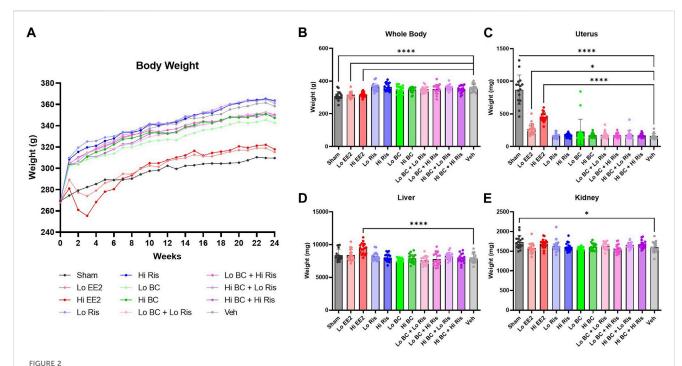
#### 3 Results

#### 3.1 Estradiol levels

Serum estradiol levels were quantified in a subset of animals from the sham and OVX-vehicle groups. Three of the twelve sham animals had estradiol levels below the LOD, while all twelve OVX-vehicle animals had undetectable levels (Figure 1C). The average estradiol concentration of the sham animals was significantly greater than the OVX-vehicle-treated group, which were 3.11 and 0 pg/mL, respectively.

#### 3.2 Body and organ weights

Body weights of animals treated with risedronate or black cohosh extract, alone or with coadministration, did not



Longitudinal body, terminal body, and absolute organ weight measurements. (A) Mean weekly body weight measurements are plotted for each treatment group. Due to significant reductions in body weight, doses of EE2 were adjusted downward to 2.5 and 15  $\mu$ g/kg body weight per day after three or four weeks of treatment for load one and load two animals. Animals in loads three and four only received the lowered doses. (B) Sham surgery and EE2 treatment groups had significantly lower body weight measurements at week 24, while all risedronate and black cohosh extract treatment groups showed no significant differences compared to OVX-vehicle control. (C-E) Uterine, liver and kidney weights at week 24 showed no significant differences associated with risedronate or black cohosh extract treatments compared to OVX-vehicle. Data plotted as mean  $\pm$  SD. Significance was evaluated by one-way ANOVA with Sidak's post-hoc for multiple comparisons. \* Indicates difference vs. OVX-vehicle control; \*p < 0.05, \*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001; p = 16–18. EE2 = ethinyl estradiol; BC = black cohosh extract; Ris = risedronate sodium; Veh = OVX-vehicle control.

significantly differ from OVX-vehicle controls. Estradiol and sham surgery groups had lower mean body weights than OVX-vehicle controls from week 0 through 24 (Figure 2A), with the difference reaching statistical significance at week 24 (Figure 2B).

Ovariectomy decreased uterine weights in all groups relative to the sham controls (Figure 2C). However, a dose-related increase in uterine weight was observed in the low and high ethinyl estradiol treatment groups (1.7 and 2.8-fold increase vs. OVX-vehicle, respectively). High ethinyl estradiol treatment and sham surgery groups also showed increased liver and kidney weights, respectively, at the time of sacrifice compared to OVX-vehicle controls (Figures 2D, E).

#### 3.3 BMD

Femur, vertebrae, and tibia BMD were measured at 0, 8, 16 and 24 weeks. Mean BMD for ethinyl estradiol, risedronate, black cohosh extract and coadministration treatment groups were plotted separately to visualize trends over time (Figure 3A). Longitudinal BMD measurements revealed similar trends for femur and vertebrae BMD measurements across treatment groups, while tibia BMD trends were less apparent. In the femur and vertebrae, BMD of ethinyl estradiol (red) and risedronate (blue) groups trend higher than OVX-vehicle control but remain lower than sham surgery from 0 to 24 weeks. In contrast, treatment with black cohosh extract (green) showed minimal changes in BMD compared to OVX-

vehicle control in the femur and vertebrae. Coadministration of risedronate and black cohosh extract (purple) produced modest changes in BMD of all ROIs compared to risedronate treatment alone. Net changes in tibial BMD were minimal across all control and treatment groups.

BMD at week 24 was normalized to baseline (week 0) for each animal and plotted for each ROI (Figures 3B-D). Mean BMD measurements of the femur, vertebrae, and tibia at weeks 0 and 24 are shown in Supplementary Tables S2-S4. Statistical analysis confirmed that sham surgery femurs had significantly higher BMD compared to OVX-vehicle (Figure 3B). In all high-dose risedronate treatment groups, individually and when co-administered with black cohosh extract, the BMD of the femur at week 24 was significantly greater compared to OVX-vehicle control. Black cohosh extract alone had no statistically significant effect on femur BMD, as compared to OVX-vehicle control. Interestingly, low risedronate when co-administered with high-dose black cohosh extract showed a significant increase in femur BMD as compared to OVX-vehicle control, while low risedronate treatment alone had no significant effect. However, femur BMD in this high black cohosh extract/low risedronate group was not significantly different than low-dose risedronate treatment alone (p = 0.6281).

Vertebral BMD followed similar trends as the femur (Figure 3C); however, the magnitude of changes in BMD were the largest among the three ROIs. The sham surgery group showed the highest mean vertebral BMD at week 24 and was 1.3-fold greater than OVX-vehicle control. Both ethinyl estradiol dose groups also had significantly higher vertebral

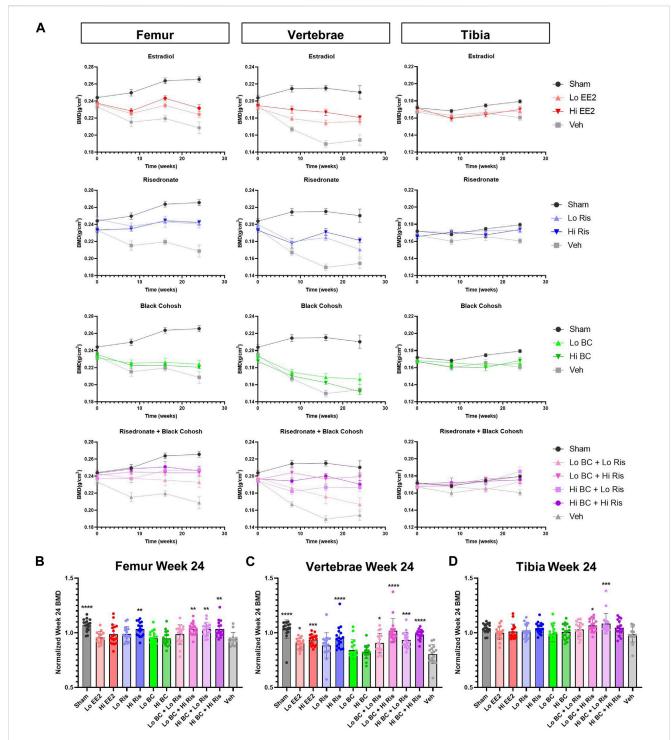


FIGURE 3 BMD of femur, vertebrae and tibia following treatment with black cohosh extract and/or risedronate. (A) Longitudinal measurement of BMD at 0, 8, 16, and 24 weeks of treatment is plotted to show the effects of EE2, risedronate, black cohosh extract and risedronate + black cohosh extract combination treatments, with sham and vehicle data shown on each graph. Data plotted as mean  $\pm$  SEM; n = 9-10. (B-D) BMD at week 24 was normalized to week 0 for each animal. After normalization, significant differences in BMD were associated with Hi EE2, Hi Ris and combined BC + Ris treatments, as compared to vehicle control. No statistically significant differences were observed between Lo Ris vs. Lo/Hi BC + Lo Ris or between Hi Ris vs. Lo/Hi BC + Hi Ris groups. Data plotted as mean  $\pm$  SD. Significance was evaluated by one-way ANOVA with Sidak's post-hoc for multiple comparisons. \* Indicates difference vs. OVX-vehicle control; \*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.0001; n = 14-18. EE2 = ethinyl estradiol; BC = black cohosh extract; Ris = risedronate sodium; Veh = OVX-vehicle control.

BMDs at week 24 compared to the OVX-vehicle control. The vertebral BMD of the high-dose risedronate group was significantly larger (1.2fold greater) than the OVX-vehicle control, whereas the low-dose risedronate treatment was statistically similar. There was no significant effect on vertebral BMD in the low or high-dose black cohosh extract groups. Low risedronate co-administered with either low or high doses of black cohosh extract led to statistically significant increases in vertebral BMD. Lastly, high-dose risedronate coadministered with low or high doses of black cohosh extract also showed significant increases in vertebral BMD, as compared to OVX-vehicle controls; however, the coadministration of black cohosh extract and risedronate did not significantly increase vertebral BMD compared to corresponding doses of risedronate alone (Lo BC + Lo Ris vs. Lo Ris, p = >0.9999; Hi BC + Lo Ris vs. Lo Ris, p = 0.7238; Lo BC + Hi Ris vs. Hi Ris, p = 0.2805; Hi BC + Hi Ris vs. Hi Ris, p = 0.9919).

Finally, normalized week 24 tibia BMD measurements showed very few statistically significant differences (Figure 3D). In the tibia, only low black cohosh extract/high risedronate and high black cohosh extract/ low risedronate groups had statistically greater BMDs, as compared to OVX-vehicle control. Again, tibial BMD values in the coadministration groups were not statistically different from the corresponding doses of risedronate alone (Lo BC + Hi Ris vs. Hi Ris, p = 0.9945; Hi BC + Lo Ris vs. Lo Ris, p = 0.0588). Notably, the BMD in sham surgery tibias was not significantly different from OVX-vehicle controls (p = 0.2763), despite being the highest of all groups at week 24.

Changes in serum bone markers, osteocalcin, bone-specific alkaline phosphatase and C-terminal telopeptide, were also measured as markers for bone metabolism at week 24. Overall, there were no statistically significant differences between control and treatment groups (Supplementary Table S5, Figure S1).

#### 4 Discussion

To ease the symptoms of menopause, many women turn to dietary supplements with little consideration of the possible unintended effects on the efficacy of their prescription medications. Here we investigated whether an extract of black cohosh had any pharmacodynamic interactions with risedronate effecting postmenopausal bone loss in the OVX rat model.

Ovariectomy decreased serum estradiol concentration, uterine weight (–81.8%), and BMD of the right femur (–6.1%), lumbar vertebrae (–19.5%) and right tibia (–1.4%) at 24 weeks, as expected. Ethinyl estradiol was included as a positive reference control to demonstrate suitability of the OVX rat model. Both low (2.5 µg/kg bw) and high doses (15 µg/kg bw) were tested; the low dose was expected to have minimal effects on bone loss and the higher dose was expected to normalize bone parameters. While the high dose did not completely normalize all biomarkers to the levels in sham animals, ethinyl estradiol treatment raised BMD levels over that of OVX-vehicle control animals in the femur, lumbar vertebrae, and tibia. Increases in the lumbar vertebrae were statistically significant. Together the data confirmed bone loss related to reductions in estrogen and appropriateness and sensitivity of the model for assessing interactions of black cohosh extract with risedronate.

Risedronate was selected for evaluation with black cohosh extract due to its prevalent use to treat osteoporosis in

postmenopausal women and its demonstrated effectiveness in increasing BMD in OVX rats (Li et al., 1999; Yao et al., 2007; Cheng et al., 2009; Uyar et al., 2009; Shahnazari et al., 2010). Despite variations in dosing, previous studies were consistent in finding increased BMD, trabecular bone thickness, and volume of cortical bone area with risedronate treatment. In this study, both the low and high doses of risedronate had positive effects on BMD of the femur and the lumbar vertebrae when compared to OVX-vehicle control. As with ethinyl estradiol, BMD of the tibia was not significantly affected by risedronate treatment when compared to the OVX-vehicle group. Neither dose of risedronate influenced body weight or uterine weight, aside from the effects of ovariectomy, as expected (Erben et al., 2002).

In contrast to the positive effects observed on BMD in response to risedronate, black cohosh extract had no effect when given alone at high or low doses. After 24 weeks of treatment, BMD of the femur, lumbar vertebrae and tibia were statistically similar to OVX-vehicle control animals. Additionally, there were no differences in serum bone marker levels upon treatment with black cohosh extract. The lack of an impact on bone health upon treatment with black cohosh extract contrasts with others, which have previously reported positive associations with black cohosh extract on BMD, urine markers of bone turnover and bone morphometry (Seidlová-Wuttke et al., 2003b; Nisslein and Freudenstein, 2003; Kolios et al., 2010).

Chronic black cohosh extract administration in OVX rats also had no effect on body or uterine weights, which is consistent with previous reports demonstrating the lack of estrogenic effects of black cohosh extract. This finding was supportive of those in recent reports by Seidlová-Wuttke et al. and the National Toxicology Program, who also reported no effect of black cohosh extract on uterine weights or pubertal development in rats and mice (Seidlová-Wuttke et al., 2009; Mercado-Feliciano et al., 2012; Seidlová-Wuttke et al., 2013).

Importantly, when co-administered with risedronate, black cohosh extract did not counteract the BMD enhancing properties of risedronate. Increases in BMD were observed with certain dosage combinations but were dependent on the bone type measured. In the vertebrae, coadministration of black cohosh extract and risedronate, irrespective of the dose, had positive effects on BMD. Interestingly, the addition of a high dose of black cohosh extract with the low dose of risedronate produced a significant increase in BMD compared to vehicle in the femur and tibia, while BMD of animals administered a low dose of risedronate alone was not significantly different from vehicle. This modest increase may suggest that black cohosh extract enhances the bone-protective effects of low doses of risedronate. However, this difference was not statistically significant when comparing the coadministration groups with the same dose of risedronate treatment alone.

A consistent finding of this study was the varying patterns of BMD response across specific bones measured. Specifically, we found the greatest effect of OVX-induced bone loss and treatment-related recovery in the vertebrae, then the femur, and minimal changes in the tibia. It is known that postmenopausal bone loss in humans and in the OVX rat model, is primarily attributed to resorption of trabecular bone rather than cortical bone (Laib et al., 2001; Shin et al., 2012). Therefore, bones with higher composition of trabecular bone, such as the vertebrae, will exhibit increased rates of bone remodeling compared to those composed of more cortical

bone, such as the diaphysis of long bones (Thompson et al., 1995; Shin et al., 2012). In addition to composition, bone size and mechanical loading will influence rates of remodeling, which explains the larger changes observed in the femur versus tibial BMD.

While changes in bone strength (i.e., mass, stiffness) or quality cannot be ruled out, as they were not evaluated in this study, BMD is generally regarded as a good predictor of fracture risk and bone health (Uyar et al., 2009). Further, DEXA scanning and measurement of serum biomarkers are common, non-invasive and clinically relevant analyses for osteoporosis screening in postmenopausal women. It is important to note that, although the rat has been useful for predicting effects in humans, differences in human and rat bone physiology exist, which may limit the translation of the effects observed to postmenopausal women. For example, unlike humans, rats do not develop spontaneous bone fractures in response to declining estrogen levels. Additionally, the bones of rats do not stop growing and lack a well-developed Haversian-based remodeling system that occurs in human cortical bones (Lelovas et al., 2008).

Importantly, due to the lack of characterization and/or standardization for many preparations of black cohosh, the results and conclusions drawn from this study are limited to the specific water/ethanol extract of black cohosh used and the dose regimen described. The extraction method has been shown to play a critical role in the chemical profile and biological activity of black cohosh extract (Jiang et al., 2008). While our study utilized a water/ethanol extract, many of the previous studies in the OVX rat model used an isopropanolic extract. Comparison of extraction methods have found that the ethanolic and iso-propanolic extracts are quantitatively different in their triterpene glycoside and polyphenolic composition (Jiang et al., 2008) and thus, may explain some of the differences observed in BMD between studies. The age of the animal at ovariectomy may also have affected the observed BMD response upon treatment with black cohosh extract. Ovariectomy in this study was performed when the animals were 6 months of age, opposed to 3 months of age in previous studies (Seidlová-Wuttke et al., 2003b; Nisslein and Freudenstein, 2003; Kolios et al., 2010). Yousefzadeh and others have suggested that for osteoporosis research the preferred age for ovariectomy in rats is 6-9 months old (Yousefzadeh et al., 2020). Animals in this age-range have fewer confounding effects of age-related changes in bone growth.

The present study demonstrates that alone the water/ethanol standardized extract of black cohosh tested did not affect BMD or serum bone biomarker levels in an OVX rat model for osteoporosis. When given in combination with risedronate, black cohosh extract did not negatively impact the positive BMD-enhancing properties of the oral bisphosphonate. While increases in BMD was observed in select combinations and bone regions, the increases were not significantly different than those of risedronate alone. Taken together, there does not appear to be any pharmacodynamic synergistic effects of the FDA-approved drug risedronate and the dietary supplement black cohosh.

# Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

#### **Ethics statement**

The animal study was approved by the NCTR Institutional Animal Care and Use Committee. The study was conducted in accordance with the local legislation and institutional requirements.

#### **Author contributions**

AI: Conceptualization, Data curation, Formal Analysis, Supervision, Writing-original draft, Writing-review and editing. EM: Data curation, Formal Analysis, Writing-original draft, Writing-review and editing. Formal Analysis, IM: Writing-review and editing. RA: Conceptualization, Writing-review and editing. AG: Conceptualization, Writing-review and editing. GK: Conceptualization, Writing-review editing. RB: Conceptualization, and Writing-review and editing. KD: Conceptualization, Writing-review SS: and editing. Conceptualization, Writing-review and editing. LC: Conceptualization, Investigation, Writing-review and editing. MV: Investigation, Writing-review and editing. DS: Investigation, Writing-review and editing. NN: Investigation, Writing-review and editing. GG: Investigation, Writing-review and editing. KW: Investigation, Writing-review and editing. MB: Investigation, Writing-review and editing. RT: Investigation, Writing-review and editing. QW: Investigation, Writing-review and editing. FM: Investigation, Writing-review and editing. DC: Conceptualization, Writing-review and editing.

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#### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fphar.2024.1365151/full#supplementary-material

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# The mysterious association between adiponectin and endometriosis

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Adiponectin is a pleiotropic cytokine predominantly derived from adipose tissue. In addition to its role in regulating energy metabolism, adiponectin may also be related to estrogen-dependent diseases, and many studies have confirmed its involvement in mediating diverse biological processes, including apoptosis, autophagy, inflammation, angiogenesis, and fibrosis, all of which are related to the pathogenesis of endometriosis. Although many researchers have reported low levels of adiponectin in patients with endometriosis and suggested that it may serve as a protective factor against the development of the disease. Therefore, the purpose of this review was to provide an up-to-date summary of the roles of adiponectin and its downstream cytokines and signaling pathways in the aforementioned biological processes. Further systematic studies on the molecular and cellular mechanisms of action of adiponectin may provide novel insights into the pathophysiology of endometriosis as well as potential therapeutic targets.

KEYWORDS

adiponectin, endometriosis, apoptosis, angiogenesis, inflammation, fibrosis, metabolism, estrogen

#### 1 Introduction

Endometriosis (EMs), which is considered to be a benign form of gynecological cancer, is a common gynecological disease caused by the invasive growth and development of active endometrial tissue at sites outside of the uterus (Taylor et al., 2021). EMs is often accompanied by chronic pelvic or sexual pain, dysuria, infertility, dysmenorrhea, constipation, anxiety, and depression, among other symptoms, all of which can seriously affect the quality of life and the physical and mental health of patients (Bulun et al., 2019; Zondervan et al., 2020; Lamceva et al., 2023). In recent years, the incidence of EMs has markedly increased, affecting approximately 10%-15% of women of childbearing age globally, with a prevalence of up to 50% of women with infertility and 50%-80% of women with pelvic pain (Mehedintu et al., 2014; Zondervan et al., 2018; Taylor et al., 2021). The "retrograde menstruation theory," which was first proposed by Sampson in 1927, is the classical doctrine describing the etiology of EMs (Sampson, 1927). While the incidence of retrograde menstruation is estimated to be approximately 90% in women of childbearing age, the much lower proportion of women affected by EMs suggests that other factors may be involved in its pathogenesis (Czyzyk et al., 2017). Inflammatory factors, immune dysregulation, angiogenesis, fluctuating hormone levels, genetic and epigenetic factors, environmental factors and other mechanisms, may contribute to the onset of the disease. In recent years, the study of EMs has become a hot spot of gynecological research, but its specific pathogenetic mechanisms are not yet fully understood.

Adiponectin is a pleiotropic cytokine that is predominantly secreted by adipose tissue and was initially considered to be an important insulin sensitizer and regulator of energy homeostasis (Wang and Scherer, 2016; Straub and Scherer, 2019). In recent years, an increasing number of studies have investigated the role of adiponectin in many diseases processes, and there is growing evidence of its involvement in the regulation of apoptosis, inflammation, angiogenesis, and fibrosis (Bråkenhielm et al., 2004; Fang and Judd, 2018), and adiponectin may be associated with estrogen-related diseases (Rizzo et al., 2020; Tsankof and Tziomalos, 2022), all of which contribute to the pathogenesis of EMs. Many studies have reported the presence of low adiponectin levels in those with EMs. The purpose of this review was to provide a detailed and up-to-date overview of the role of adiponectin in apoptosis, autophagy, inflammatory responses, angiogenesis, fibrosis, energy metabolism, and estrogen-related processes, and its potential correlation with the pathogenesis of EMs.

#### 2 Obesity, adipose tissue, and EMs

The overall degree of obesity or the distribution of adipose tissue has been shown to be correlated with the development of EMs (Shah et al., 2013b; Backonja et al., 2016). For example, Goetz et al. demonstrated that the expression levels of four genes known to be associated with weight loss [cytochrome P450 2R1 (CYP2R1), fatty acid binding protein 4 (FABP4), mannose receptor C-Type I (MRC1), and Rho-associated coiled-coil containing protein kinase 2 (ROCK2)] were upregulated in the livers of mice in an animal model of EMS, whereas the expression levels of two genes associated with obesity [insulin-like growth factor binding protein 1 (IGFBP1) and monocyte to macrophage differentiation associated 2 (MMD2)] were downregulated; in addition, the presence of EMs was associated with a reduction in both the level of body fat and the degree of weight gain (Goetz et al., 2016). Another study reported a decrease in the proliferative ability of abdominal subcutaneous adipocytes in patients with EMs (Zolbin et al., 2019). The inflammatory response has also been shown to be intensified in the retroperitoneal adipose tissue adjacent to lesions in patients with pelvic EMs, and these changes were accompanied by a significant upregulation of the expression levels of angiogenic factors and inflammatory cytokines (Kubo et al., 2021). In addition, a reduction in the number of adipose stem cells and lipid dysfunction have been reported in a mouse model of EMs, which can affect the body mass index (BMI) by modulating adipocytes and lipid metabolism (Zolbin et al., 2019). There is a large body of evidence that suggests the risk of EMs is negatively correlated with the BMI (Vitonis et al., 2010; Backonja et al., 2016; Farland et al., 2017; Holdsworth-Carson and Rogers, 2018; Pantelis et al., 2021), with this negative correlation being intensified in women with infertility (Shah et al., 2013a).

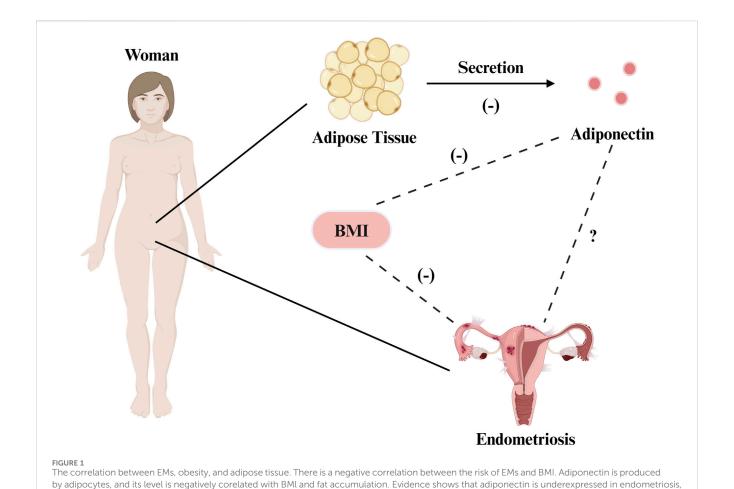
It is important to acknowledge, however, that some studies have reported that the BMI had no effect on endometrial gene expression in patients with EMs (Holdsworth-Carson et al., 2020), and the negative correlation between EMs and BMI remains unexplained, as both have been shown to be associated with hyperestrogenemia and systemic inflammation, and obesity does not prevent the occurrence of EMs (Holdsworth-Carson and Rogers, 2018; Pantelis et al., 2021). Some

studies have suggested that in addition to being a risk factor for the disease (Tang et al., 2020), obesity may also exacerbate the condition in clinical populations (Holdsworth-Carson et al., 2018). Collectively, these findings suggest that multiple factors affect the correlations between EMs, obesity, and the presence of adipose tissue (Figure 1).

#### 3 Adiponectin

#### 3.1 Overview, structure, and oligomers

Adipose tissue is a highly active endocrine organ that produces and expresses various factors such as leptin, adiponectin, and resistin, all of which participate in and coordinate a wide range of pathophysiological processes, including immune responses, inflammation, and energy metabolism (Kershaw and Flier, 2004). Adiponectin is an adipocytokine found in high abundance in peripheral blood, with a plasma concentration of 4-37 µg/mL, accounting for 0.01%-0.05% of total serum proteins, a concentration that is approximately a thousand times higher than that of other hormones, including insulin and leptin (Fang and Judd, 2018; Ye et al., 2020). Adiponectin has had many monikers over the years, including AdipoQ (Hu et al., 1996), apM1 (Maeda et al., 1996), Acrp30 (Scherer et al., 1995), and gelatin-binding protein 28 (GBP-28) (Díez and Iglesias, 2003). In humans, full-length adiponectin contains 244 amino acid residues and consists of an amino-terminal signaling peptide (amino acids 1-18), a variable region (amino acids 19-41), a collagen domain (amino acids 42-107), and a carboxy-terminal globular C1q head region (amino acids 108-244) (Achari and Jain, 2017). The isolated spherical C1q domain produced by protein hydrolysis, dubbed globular adiponectin, exhibits confirmed biological activity (Fruebis et al., 2001). As a monomer, adiponectin is difficult to detect in the blood (Waki et al., 2003), and three kinds of polymers can be found in the general circulation, including low-molecular weight (LMW) polymers (trimers of ~90 kDa in size), mediummolecular weight (MMW) polymers (hexamers of ~180 kDa), and high-molecular weight (HMW) polymers (chains 12–18 monomers, with a size of  $\sim$ 360–540 kDa) (Fang and Judd, 2018) (Figure 2). The LMW form can cross the filtration barrier within the kidneys; therefore, adiponectin can be detected in the urine of healthy individuals with normal renal function (Kim and Park, 2019). However, in the general circulation, HMW and MMW adiponectin are predominant, whereas concentrations of LMW adiponectin tend to be much lower (Parker-Duffen et al., 2013). Among them, HMW adiponectin is considered to be the main bioactive complex of the various isoforms (Achari and Jain, 2017). Globular adiponectin can also be detected in the plasma at low levels in the general circulation (Fruebis et al., 2001). Three adiponectin monomers combine via the C-terminal globular and collagen-like structural domains to form a highly ordered LMW trimer, which can further polymerize to form MMW hexamers and HMW compounds (Magkos and Sidossis, 2007). Circulating adiponectin levels are not constant, and serum concentrations have been shown to be higher during the daytime than at night (Gavrila et al., 2003; Scheer et al., 2010). In addition to these diurnal fluctuations, sex differences also influence adiponectin levels, with concentrations being higher in women than in men (Ohman-Hanson et al., 2016; Christen et al.,



2018; Vučić Lovrenčić et al., 2020); this is particularly true in the case of HMW and MMW adiponectin, the levels of which are more than twice as high as in women (Peake et al., 2005). Higher circulating testosterone levels in males may explain the sex-specific difference in adiponectin concentrations after puberty (Handelsman et al., 2018), as testosterone lowers total adiponectin concentrations in serum (Nishizawa et al., 2002; Frederiksen et al., 2012; Yarrow et al., 2012). Although adiponectin is produced by adipocytes, significantly downregulated expression levels has been detected in the adipose tissue of fatty mice and overweight humans (Hu et al., 1996), with serum levels being negatively correlated with the BMI and fat accumulation (Brooks et al., 2007).

and the two variables may exhibit a certain degree of correlation. Created with BioRender.com.

#### 3.2 Adiponectin receptors and functions

Adiponectin was initially identified as an insulin-sensitive adipose factor related to the pathogenesis of metabolic syndrome; over time, however, many studies have confirmed its anti-inflammatory, anti-apoptotic, anti-fibrotic, and anti-angiogenic effects (Bråkenhielm et al., 2004; Fang and Judd, 2018). Adiponectin signal transduction mainly depends on receptor–ligand interactions, whereby adiponectin binds to its homologous receptor and initiates the activation of intracellular signaling cascades through the adenosine monophosphate-activated protein kinase (AMPK), peroxisome proliferator-activated receptor

alpha (PPAR- $\alpha$ ), phosphoinositide 3-kinase (PI3K)/protein kinase B (Akt), mammalian target or rapamycin (mTOR), mitogen-activated protein kinase (MAPK), signal transducer and nuclear factor kappa B (NF- $\kappa$ B) pathways and other pathways (Choi et al., 2020).

To date, three adiponectin receptors have been identified, including adiponectin receptor 1 (AdipoR1), adiponectin receptor 2 (AdipoR2), and T-cadherin (T-cad) (Yamauchi et al., 2003; Achari and Jain, 2017). AdipoR1 is predominantly expressed in skeletal muscle cells and acts as a high-affinity receptor for globular adiponectin and a low-affinity receptor for full-length adiponectin, whereas AdipoR2 is mainly expressed in hepatocytes and serves as a medium-affinity receptor for both full-length and globular adiponectin (Yamauchi et al., 2014). T-cad is mainly expressed in endothelial and smooth muscle cells, acting predominantly as a biologically active receptor that binds to adiponectin in diverse tissues and organs, such as in muscle, blood vessels, and the heart (Parker-Duffen et al., 2013; Clark et al., 2017). Adiponectin and its receptors are widely expressed in the hypothalamus, pituitary gland, and ovaries of humans, rats and pigs, as well as in the placenta and uterus of humans, mice and pigs, where they have been shown to functionally modulate the female reproductive system (Caminos et al., 2005; Kos et al., 2007; Rodriguez-Pacheco et al., 2007; Kim et al., 2011; Angelidis et al., 2013; Kiezun et al., 2013; Maleszka et al., 2014; Smolinska et al., 2014). As the characteristics of metabolic syndrome are associated

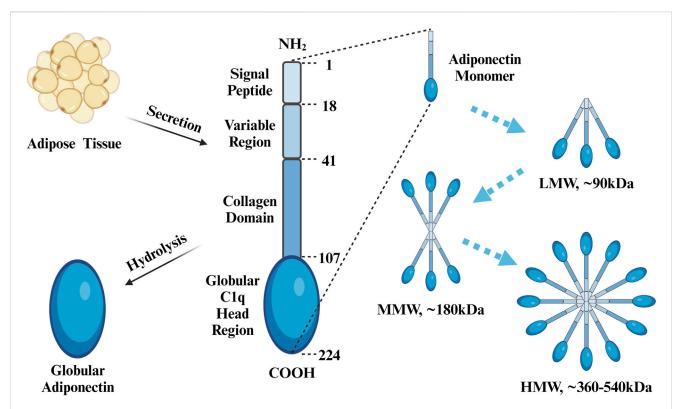


FIGURE 2
Protein Structure of APN. Adiponectin consists of an N-terminal signal peptide, variable region, collagen domain, and C-terminal globular c1q head region, with a total of 244 amino acids. Globular adiponectin is produced by proteolysis of full-length adiponectin. Three adiponectin monomers combine to form a trimer (LMW), two trimers combine to form a single hexamer (MMW), and four to six trimers combine to form a multimer (HMW). Created with BioRender.com.

with a number of reproductive disorders such as polycystic ovary syndrome (PCOS), gestational diabetes mellitus, preeclampsia, EMs, fetal growth restriction, and ovarian and endometrial cancers, their pathogenesis may be influenced by adiponectin (Barbe et al., 2019). AdipoR1 and AdipoR2 have been shown to be highly expressed in the normal human endometrium during the mid-secretory phase (i.e., during implantation), and adiponectin can induce the phosphorylation of AMPK, inhibit the expression of interleukin 1 beta (IL-1β), and promote the secretion of interleukin 6 (IL-6), interleukin 8 (IL-8), and monocyte chemoattractant protein 1 (MCP-1) in cultivated endometrial cells, suggesting that it may play both physiological and pathological roles within the endometrium (Takemura et al., 2006). In female mice, adiponectin may induce preimplantation embryo development and endometrial decidualization in an autocrine-, paracrine-, or endocrine-dependent manner (Kim et al., 2011). Furthermore, hypoadiponectinemia has been shown to be associated with an increased risk of hormone-dependent cancers, such as endometrial, ovarian, cervical, and breast cancers, as well as a poor prognosis (Zeng et al., 2015; Tsankof and Tziomalos, 2022).

## 4 Evidence for the association of adiponectin with EMs

Clinical studies, in vitro cell experiments, and animal experimental studies have provided evidence for the association

between adiponectin and EMs. Clinical analysis has shown differences in adiponectin levels in patients with EMs. Moreover, studies have shown a decreased expression of adiponectin in patients with EMs; however, the findings are still controversial (Table 1). For example, Takemura et al. discovered a reduction in adiponectin levels in both the serum and peritoneal fluid (PF) of patients with EMs, with a particularly pronounced decrease in serum levels in those in the advanced stages of the disease (stage III/IV) (Takemura et al., 2005a,b). Similarly, another study reported lower serum levels of adiponectin in patients with EMs compared with that in healthy controls (Meng and Hao, 2020). However other researchers have observed no significant differences in the serum and PF levels of adiponectin between patients with infertility with and without concomitant pelvic EMs (Pandey et al., 2010). Others have reported no significant differential expression of adiponectin and AdipoRs in ectopic endometrial tissues of patients with ovarian EMs and patients with normal endometrial tissues who had undergone hysterectomy to treat cervical fibroids or carcinoma in situ (Choi et al., 2013). A meta-analysis published in 2021 that evaluated the results of 25 studies confirmed that although there was no significant association between the levels of adiponectin and disease severity, the patients with EMs did exhibit significantly lower levels of adiponectin and significantly higher levels of leptin compared to the concentrations in the control groups (Zhao Z. et al., 2021). Furthermore, a recent study that assessed adiponectin concentrations in the plasma, PF, and endometrioma fluids of 56 women with ovarian EMs reported that adiponectin levels

TABLE 1 Clinical studies on adiponectin levels in endometriosis.

References	Experiment	Control	Sample size (experiment vs control)	Location	Change (experiment vs control)
Takemura et al. (2005b)	Patients with endometriosis	Women of reproductive age without 54:26 endometriosis		PF	Ţ
Takemura et al. (2005a)	Patients with endometriosis	Women of reproductive age without endometriosis			Ţ
Pandey et al. (2010)	Infertile patients with pelvic endometriosis	Infertile patients without pelvic endometriosis	15:35	PF	NS
	pervic endometriosis	endometriosis		Serum	NS
Yi et al. (2010)	Patients with endometriosis	Patients without endometriosis who underwent surgical procedures for pelvic pain, infertility and / or other benign diseases	48:36	PF	NS
Huang et al. (2011)	Patients with endometriosis	Patients without endometriosis	57:30	PF	ļ
	Patients with endometriosis (III~V)	Patients with endometriosis (I~II)	32:25		NS
Choi et al. (2013)	Patients with ovarian endometriosis	Age-matched patients who underwent hysterectomy because of myoma or carcinoma <i>in situ</i> of uterine cervix	44:42	Ectopic lesion	NS
Shah et al. (2013a)	Patients with endometriosis	Women of reproductive age without endometriosis	350:694	Blood	NS
Qin et al. (2014)	Patients with ovarian endometriosis	Healthy women	38:42	Serum	Ţ
Meng and Hao (2020)	Patients with endometriosis	Healthy women	30:30	Serum	Ţ
Wójtowicz et al.	Patients with ovarian	Self-control	56 F	Plasma	9.8 μg/mL
(2023)	endometriosis				(7.3-13.1)
				PF	1.2 μg/mL
					(0.1-2.4)
				Endometrioma	0.1 μg/mL
				fluids	(0.0-1.3)

were significantly lower in endometrioma fluids and PF than in serum, with a positive correlation between the concentrations in endometrioma fluids and PF (Wójtowicz et al., 2023). In addition, another group discovered that two polymorphisms in the adiponectin gene, rs2241766 and rs1501299, were associated with EMs susceptibility among women of childbearing age in Henan Province, China, and that the G mutation in the rs2241766 locus may affect splicing and modification of gene expression, resulting in the promotion of adiponectin secretion, which, in turn, induces AMPK phosphorylation and regulates its downstream pathway, thereby protecting against the development of EMs (Zhang et al., 2021). Both AdipoR1 and AdipoR2 are expressed in the endometrium, and their mRNA levels become significantly elevated in the mid-secretory phase (Takemura et al., 2006).

In addition, the relationship between adiponectin and EMs has been explored using animal models and *in vitro* experiments. In studies on animal models, the number of stem cells in adipose tissue in mouse models of EMs decreased, and EMs changed the expression of multiple adipocyte metabolic genes, including

adiponectin and leptin (Zolbin et al., 2019). In vitro cell experiments, Adiponectin has been shown to inhibit the proliferation of ectopic endometrial cells in a dose- and time-dependent manner while simultaneously increasing the expression of both AdipoR1 and AdipoR2 to inhibit EMs development; these findings suggest that adiponectin may serve as a beneficial factor that limits the pathogenesis of EMs (Bohlouli et al., 2016). Despite the plethora of studies conducted to date, the detailed regulatory role of adiponectin in the pathogenesis of EMs has yet to be elucidated, and further research is needed to deepen the understanding of the complex biological mechanisms through which adiponectin protects against EMs pathogenesis.

## 5 Possible associations of adiponectin with the pathogenesis of EMs

The formation of ectopic endometriotic lesions involves a series of complex events, including the adhesion, invasion, and

angiogenesis of Endometrial cells to "take root, grow, and become sick." First, due to an imbalance in immune function, ectopic endometrial cells are able to evade immune clearance (Symons et al., 2018). In addition, there is an imbalance between the proliferation and apoptosis of ectopic endometrial cells, which contributes to their survival in ectopic sites (Reis et al., 2013; Azam et al., 2022). After the adhesion of ectopic endometrial cells to ectopic sites, an inflammatory response is initiated, leading to increased secretion of pro-inflammatory mediators, and more inflammatory mediators are recruited to invade surrounding tissues (Wei et al., 2020; Artemova et al., 2021; Kapoor et al., 2021). Periodic bleeding of ectopic lesions and chronic inflammation activate the deposition and adhesion of fibrin and scar formation, leading to fibrogenesis of the lesion (Eming et al., 2014; Garcia et al., 2023). Simultaneously, the production of angiogenesis-related factors increases, promoting neoangiogenesis, providing nutrients for the survival and growth of ectopic lesions (Wei et al., 2020). In addition, ectopic endometrial cells also have changes in mitochondrial morphology and function, which helps to increase the energy supply to ectopic lesions and meet the growth needs of lesions (Ye et al., 2023). EMs is an estrogendependent disease in which estrogen and estrogen receptor (ER) levels are elevated in ectopic lesions (Burney and Giudice, 2012; Chantalat et al., 2020). Dysregulation of estrogen signal transduction causes ectopic endometrial cells to appear abnormal in cell proliferation and apoptosis, migration, invasion, angiogenesis and immune function, accompanied by increased inflammation and enhanced mitochondrial biosynthesis, which further promote lesion progression (Monsivais et al., 2016; Wu L. et al., 2019; Xu Z. et al., 2019; Marquardt et al., 2019; Qi et al., 2020; Kobayashi et al., 2021a). Therefore, EMs is a complex disease that is simultaneously affected by multiple factors. In addition to estrogen dependence, its pathogenesis mainly involves apoptosis, autophagy, inflammation, angiogenesis, fibrogenesis, and energy metabolism. Current research on the pathogenesis of EMs and the function of adiponectin have shown that adiponectin may play a special regulatory role in multiple biological processes related to the pathogenesis of EMs, such as apoptosis, autophagy, inflammatory response, angiogenesis, fibrogenesis, energy metabolism, and estrogen regulation. This aspect is noteworthy; hence, we have summarized the following important biological processes to reveal the mysterious relationship between EMs and adiponectin.

#### 5.1 Apoptosis and autophagy

EMs is characterized by the invasive growth of active endometrial tissue at sites other than the uterus, and these events may be related to a disequilibrium between proliferation and apoptosis in ectopic endometrial cells. During these processes, these cells are less sensitive to apoptotic signals (Reis et al., 2013; Azam et al., 2022), therefore, ectopic endometrial cells can easily escape clearance and invade the peritoneum. Moreover, their proliferative activity is markedly increased (Jiang and Wu, 2012), leading to neovascularization and the establishment of endometrial implants, which leads to the development of the disease and further malignant progression. Compared to that of healthy controls, the expression level of the anti-apoptotic gene B-cell lymphoma 2 (Bcl-

2) is significantly elevated in the ectopic endometrium of patients with EMs (Agic et al., 2009; Jiang R. et al., 2020), whereas that of the pro-apoptotic genes p53 and caspase-3 is decreased (Sang et al., 2019; Duan R. et al., 2020; Zhang and Zhao, 2023). Cyclin D1 and cyclin E2 are key regulatory proteins that control the transition from the G1 phase into the S phase during the cell cycle (Zabihi et al., 2023), and the expression of cyclin D1 mRNA has been shown to be significantly elevated in ectopic endothelial tissues compared to that in normal and eutopic endothelium (Pellegrini et al., 2012). Knockdown of cyclin D1 in human ectopic endometrial cells has been shown to significantly reduce their rate of proliferation while significantly increasing the number of cells in the G1/G0 phase (Hirakawa et al., 2017). Akt is a major protein kinase that exists downstream of PI3K and regulates the expression of various proteins associated with both cellular proliferation and apoptosis (Cinar et al., 2009; Revathidevi and Munirajan, 2019), and a recent study demonstrated that PI3K expression increases and Akt phosphorylation levels become elevated in the eutopic and ectopic endometrium of patients with EMs compared to the levels in healthy controls, indicating that the PI3K/Akt signaling pathway may play an active role in facilitating the establishment of ectopic endometrial tissue (Madanes et al., 2020).

Another important factor downstream of the PI3K/Akt pathway is mTOR, an evolutionarily conserved serine/threonine protein kinase (Driva et al., 2023). Researchers have reported an upregulation in mTOR signaling in endometriotic foci (Choi et al., 2014), and the protein is involved in the modulation of key pathophysiological processes such as proliferation, differentiation, apoptosis, autophagy, and decidualization of endometrial cells (Guo and Yu, 2019; Driva et al., 2022). Administration of the mTOR inhibitor everolimus was shown to promote apoptosis and inhibit the formation of endometriotic foci, making it a potential therapeutic option for the treatment of EMs (Kacan et al., 2017). In addition, it has been demonstrated that the MAPK signaling pathway is involved in the survival and proliferation of ectopic endometrial cells as well as various other processes including but not limited to invasion and metastasis, inflammation, and angiogenesis (Bora and Yaba, 2021; Zhang et al., 2023). In the late proliferative and early secretory stages, the phosphorylation level of p38-MAPK becomes significantly elevated in both eutopic and ectopic endometriotic tissues in patients with EMs compared to that in the normal endometrium (Cakmak et al., 2018), and aberrant activation of the MAPK signaling pathway can aggravate the severity of EMs (Jiang Y. et al., 2020; Bora and Yaba, 2021). Extracellular signal-regulated kinase (ERK), a member of the classical MAPK family (Samson et al., 2022), transmits extracellular signals following its activation, thereby promoting MAPK phosphorylation and regulating the expression of downstream effectors; thus, it plays a significant role in cell proliferation, differentiation, and apoptosis (Zhu et al., 2019; Bora and Yaba, 2021).

In humans, adiponectin has been reported to inhibit the proliferation of both normal and ectopic endometrial cells in a dose- and time-dependent manner (Bohlouli et al., 2013,2016), thereby playing a potentially important role in the pathological processes that lead to EMs. Additionally, other studies have shown that adiponectin can inhibit the proliferation of focal cells and exert pro-apoptotic effects in various diseases, including uterine fibroids

as well as endometrial, ovarian, and breast cancers. The presence of both AdipoR1 and AdipoR2 has been confirmed in uterine leiomyoma cells, and adiponectin exerts a significant inhibitory effect on the proliferation of uterine Eker leiomyoma tumor 3(ELT-3) cells in rats (Wakabayashi et al., 2011; Strzałkowska et al., 2021). Adiponectin has been shown to decrease the expression of both cyclin D1 in the human endometrial adenocarcinoma cell lines HEC-1-A and KLE and cyclin E2 in the RL95-2 cell line by activating the AMPK signaling pathway, which led to the blockage of the cell cycle to inhibit the proliferation of cancer cells (Cong et al., 2007; Moon et al., 2011). In addition, adiponectin can mediate anti-proliferative and pro-apoptotic responses in endometrial cancer by inhibiting the activation of the Akt and ERK signaling pathways (Zhang et al., 2015). Administration of the AdipoR agonist AdipoRon has been shown to exert anti-tumor effects by inducing G1-phase cell cycle arrest and upregulating the expression of the pro-apoptotic gene caspase-3 via the activation of the AMPK and inhibition of mTOR signaling pathways, in human ovarian cancer cells (Ramzan et al., 2019). In the human breast cancer cell line MDA-MB-231, adiponectin was shown to inhibit cell growth by significantly reducing the expression of both cyclin D1 protein and the anti-apoptotic gene Bcl2, while simultaneously upregulating the expression of the pro-apoptotic genes p53 and Bax (Dos Santos et al., 2008; Delort et al., 2012). Furthermore, in MCF7 human breast cancer cells, adiponectin has been shown to induce anti-proliferative and pro-apoptotic responses by activating the AMPK signaling pathway and inhibiting the MAPK signaling pathways (Dieudonne et al., 2006).

While the pro-apoptotic effects of adiponectin can protect against the development of various diseases, some studies have also demonstrated its anti-apoptotic activity. For example, in a mouse model of sepsis, adiponectin has been shown to inhibit lipopolysaccharide (LPS)-induced cardiomyocyte apoptosis by downregulating connexin 43 (Cx43) expression and activating the PI3K/AKT signaling pathway to protect myocardial function (Liu et al., 2021). AdipoRon administration reduces high glucoseinduced oxidative stress and apoptosis and ameliorates endothelial dysfunction via the activation of the AMPK/PPAR-a pathway, thereby exerting a nephroprotective effect in diabetic nephropathy (Kim Y. et al., 2018). In rheumatoid arthritis (RA), adiponectin has been shown to promote the proliferation and differentiation of B cells by inducing the activation of the PI3K/ Akt and activator of transcription 3 (STAT3) signaling pathways, exacerbating RA development (Che et al., 2021). Additionally, in early pregnancy in pigs, adiponectin was shown to induce porcine uterine luminal epithelial cell proliferation while inhibiting apoptosis through the activation of the PI3K/Akt and MAPK signaling pathways, enhancing uterine tolerance to embryonic implantation (Lim et al., 2017). It is important to note, however, that some studies have also demonstrated no effect of adiponectin on apoptosis (Arditi et al., 2007; Pfeiler et al., 2008); thus, its role in such processes remains controversial, and its effects may be related to the disease, the source of adiponectin, as well as differences in conformations, concentrations, and treatment durations between studies (Sun and Chen, 2010).

Apoptosis is not the sole mechanism of endometrial cell death (Choi et al., 2015). Autophagy, another form of programmed cell death, involves a series of catabolic processes that depend on the

lysosomal degradation of proteins and cytoplasmic organelles, which are closely related to cellular proliferation and apoptosis (Popli et al., 2022). The expression levels of autophagy-related genes such as microtubule-associated protein light chain 3 (LC3) and Beclin-1 have been shown to be reduced in the serum, PF, and eutopic endometrial tissue of patients with EMs compared with the levels in healthy controls (Sui et al., 2018), and the level of expression of autophagy-related genes (LC3B-II) was significantly reduced in ectopic endometrium compared with that in the eutopic endometrial tissues of patients with EMs (Li et al., 2018). Inhibition of autophagy can promote the expression of inflammatory cytokines, trigger inflammation and immune responses leading to autoimmune damage, enable endometrial cells to evade immune surveillance, and ultimately promote ectopic growth and implantation of ectopic endometrial cells in the peritoneal cavity (Ji et al., 2022). Autophagy and apoptosis are not two independent processes but two crosstalk mechanisms. Autophagy promotes the phagocytosis of apoptotic bodies and degradation of lysosomes (Mariño et al., 2014); therefore, decreased autophagic activity in ectopic and eutopic endometrial cells would lead to a decrease in apoptosis (Choi et al., 2014; Yu et al., 2016). As mTOR is a major negative regulator of autophagy, several studies have reported that cellular autophagy can be induced by inhibiting the mTOR signaling pathway in ectopic endometrial cells (Choi et al., 2015; Xu H. et al., 2019; Huang et al., 2023). In addition, in mouse models of EMs, in addition to inhibiting autophagy, the upregulation of mTOR in EMs tissues promoted the survival of ectopic endometrial cells (Yang et al., 2017). In terms of other signaling pathways, AMPK activation results in the downregulation of PI3K/Akt/mTOR signaling, leading to decreased phosphorylation of the protein autophagy-related 13 (ATG13) (Yang et al., 2017; Assaf et al., 2022; Ge et al., 2022) and an increase in the level of the unc-51-like autophagy activating kinase 1 (ULK1) complex formed by the interaction of ATG13 with ULK1, FAK family kinase-interacting protein of 200 kDa (FIP200), and autophagy-related 101 (ATG101); this complex is an important factor in the initiation of autophagy (Yang et al., 2017). Administration of AdipoRon can activate AMPK/mTOR signaling to promote autophagy (Duan Z. X. et al., 2020) and reduce the dysregulated expression of proteins involved in the autophagy-lysosomal pathway (He et al., 2021). Moreover, researchers have shown that adiponectin can induce autophagy in breast cancer cells by activating the AMPK-ULK1 axis mediated by serine/threonine kinase 11 (STK11)/liver kinase B1 (LKB1), which, in turn, promotes breast cancer cell apoptosis (Chung et al., 2017).

It must be acknowledged that the regulatory effect of adiponectin on autophagy is not unilateral, as others have shown that adiponectin inhibits autophagy and reduces angiogenesis in the choroidal retinal endothelial cell line RF/6A in monkeys through activation of the PI3K/AKT/mTOR pathway (Li et al., 2019). Adiponectin can protect against hypoxia/reperfusion injury-induced cardiomyocyte damage by inhibiting autophagy as well, an effect that may be related to the inhibition of the AMPK/mTOR/UKL1/Beclin-1 pathway (Guo et al., 2022).

Although the role of adiponectin in the regulation of apoptosis and autophagy may be bidirectional, many studies have provided ample evidence that it plays a role in protecting against the

development of various diseases and may serve as a potentially therapeutic strategy. Although relevant studies have confirmed the role of adiponectin in the inhibition of endometrial cells proliferation in EMs, whether the mechanism of action is related to the regulation of apoptotic genes and signal pathways such as AMPK, MAPK, PI3K/Akt/mTOR and so on, more research is needed to explain.

#### 5.2 Angiogenesis

The shed endometrial cells undergo migration, adhesion, invasion and implantation with retrograde menstruation to form ectopic lesions, during which massive neovascularization occurs and a new blood supply is established to provide nourishment for the survival and growth of the lesions (Wei et al., 2020). Therefore, angiogenesis is considered a critical step in the establishment and persistence of endometriotic lesions. The results of studies involving animal models have suggested that anti-angiogenic therapies substantially reduce the size of ectopic lesions (Liu et al., 2016); in EMs, angiogenesis is regulated by a multitude of factors and pathways involved in those processes. For example, vascular growth factors may play an essential role in promoting the growth and differentiation of ectopic endometrial tissue, with vascular endothelial growth factor (VEGF) being the most critical. Members of the VEGF family, which includes VEGF-A, VEGF-B, VEGF-C, VEGF-D, and VEGF-E, as well as placental growth factors, have been shown to increase vascular permeability, accelerate vascular endothelial cell migration and proliferation, and promote angiogenesis (Ferrara and Adamis, 2016), and VEGF and its signaling pathway are considered the optimal targets for anti-angiogenic and anti-tumor therapies for a variety of cancers (Potente et al., 2011; Gao et al., 2020). VEGF expression was elevated in healthy controls, in the eutopic endometrium of patients with Ems, and in ectopic lesions sequentially (Di Carlo et al., 2009), and elevated VEGF expression in the serum and PF of patients with EMs may serve as a secondary diagnostic indicator of the disease (Bourlev et al., 2010; Li et al., 2020). VEGF expression in EMs is regulated by multiple factors and associated pathways, and it plays a pivotal regulatory role in neovascularization.

Adiponectin is an important regulator of angiogenesis, exerting its effect through the regulation of VEGF. In prostate cancer cells, adiponectin can prevent neovascularization by suppressing VEGF-A secretion (Gao et al., 2015), and adiponectin treatment has been shown to downregulate VEGF-B and VEGF-D mRNA expression while increasing the serum concentration of the anti-angiogenic factor IL-12 in mouse colon cancer cells (Moon et al., 2013). Other important factors to consider are the matrix metalloproteinases (MMPs), which are involved in the degradation of the extracellular matrix and the induction of vascular endothelial cell proliferation and angiogenesis (D'Amico et al., 2020). MMP-2 expression has been shown to be significantly elevated in the ectopic foci, PF, and serum of patients with EMs (Sui et al., 2018). And adiponectin inhibits angiogenesis by downregulating MMP expression. For example, in liver cancer tissues in mice, adiponectin has been shown to downregulate the mRNA expression of VEGF and MMP-9, thereby inhibiting tumor angiogenesis (Man et al., 2010). Furthermore, in human renal carcinoma cells, adiponectin can activate AMPK via binding to AdipoR1, inhibiting the mTOR signaling pathway and suppressing the production of VEGF, MMP-2, and MMP-9 (Kleinmann et al., 2014). There have also been reports that adiponectin can inhibit the proliferation and migration and angiogenesis of endothelial cells (Dubois et al., 2013; Li et al., 2019; Palanisamy et al., 2019). Such an inhibitory effect may indirectly hinder angiogenesis of ectopic lesions. Additionally, adiponectin can induce endothelial apoptosis, which occurs during angiogenesis (Watson et al., 2016), by activating caspase-8, thereby leading to the activation of caspase-3 or caspase-9 (Bråkenhielm et al., 2004).

The inhibitory role of adiponectin in angiogenesis has been demonstrated in multiple types of cancer, including fibrosarcoma (Bråkenhielm et al., 2004), hepatocellular carcinoma (Man et al., 2010), renal cell carcinoma (Kleinmann et al., 2014), basal cell breast cancer (Dubois et al., 2013), and prostate cancer (Gao et al., 2015). However, several other studies have shown that adiponectin can enhance angiogenesis by upregulating the expression of proangiogenic factors in human umbilical vein endothelial cells (HUVECs) and human microvascular endothelial cells (HMECs) (Adya et al., 2012; Nigro et al., 2021), and it has been shown to promote angiogenesis in chondrosarcoma (Lee et al., 2015), invasive colon cancer (Cai et al., 2016), and ovarian cancer (Ouh et al., 2019), possibly via the regulation of CXC motif chemokine ligand 1 VEGF, and AMPK. Adiponectin enhances CXCL1 secretion, which, in turn, promotes VEGF secretion and angiogenesis (Kiefer and Siekmann, 2011) in various cancers, including colon (Cai et al., 2016) and ovarian cancer (Ouh et al., 2019). AMPK is a key molecule involved in the regulation of biological energy metabolism, and inhibition of its activity significantly attenuates VEGF secretion (Fisslthaler and Fleming, 2009). In HUVECs and HMEC-1 cells, adiponectin has been shown to induce angiogenesis by activating the AMPK/Akt pathway and phosphorylating endothelial nitric oxide synthase (eNOS) (Ouchi et al., 2004; Adya et al., 2012), which is various with the findings of another study that showed angiogenesis was inhibited by adiponectin (Dubois et al., 2013). In addition, adiponectin may play a pro-angiogenic protective role in ischemic tissue injury. For example, the overexpression of adiponectin was shown to induce the upregulation of VEGF mRNA expression within ischemic regions of the brains of mice, enhancing focal angiogenesis (Shen et al., 2013). Another study showed that recovery following ischemic reperfusion injury of a limb in mice was accelerated by giving adenovirusmediated adiponectin and exogenous adiponectin administration stimulated angiogenesis in response to ischemic stress by activating the AMPK pathway (Shibata et al., 2004).

Collectively, angiogenesis in EMs has similar features to pathological angiogenesis in tumor growth and metastasis, being co-regulated by multiple factors. Existing studies have shown that adiponectin plays a role in the inhibition of angiogenesis in many oncological diseases; however, it is not clear whether adiponectin has a beneficial role in EMs by regulating signaling pathways such as AMPK and cytokines such as VEGF and MMPs to promote endothelial cell apoptosis, inhibit endothelial cell migration and thus inhibit angiogenesis. However, for this conjecture, we are currently inconclusive, because the regulation of adiponectin-mediated angiogenesis is bidirectional, especially in oncological diseases, with different modes of action. This paradoxical

phenomenon could be due to variability between studies in terms of the differences in cell types, *in vivo* and *in vitro* experimental methodologies, and the physiological and pathological conditions in which angiogenesis is observed.

#### 5.3 Inflammatory processes

Chronic inflammation and immune dysregulation are essential microenvironment and pathophysiological features of EMs (Zhang et al., 2018; Encalada Soto et al., 2022). Due to the dysregulation of immune mechanisms, ectopic endometrial cells evade immune clearance to survive, adhere, and invade ectopic sites (Symons et al., 2018). Subsequently, during the formation of endometriotic lesions, the secretion of a large number of pro-inflammatory cytokines is increased. Inflammatory cells are recruited to the lesion site, which secrete a variety of inflammatory mediators to form an inflammatory microenvironment. These mediators counteract inflammatory cells and factors, leading to the recruitment of more inflammatory cells to the lesion site, forming a vicious circle in which these immune molecules not only promote cell proliferation and adhesion but also contribute to the cell evasion of immunosurveillance, further exacerbating the invasion of ectopic endometrial cells and promoting lesion formation and progression (Wei et al., 2020; Artemova et al., 2021; Kapoor et al., 2021). Numerous studies have shown that the lymphocytes present within the peritoneal cavity in EMs are predominantly macrophages (Bacci et al., 2009; Shao et al., 2016; Ramírez-Pavez et al., 2021), which are broadly classified into two main phenotypes, including the pro-inflammatory M1-type and the anti-inflammatory M2-type (Laskin et al., 2011). M1 macrophages predominantly secrete pro-inflammatory factors such as tumor necrosis factor alpha (TNF-α), IL-6, and IL-1β (Gordon, 2003,2007), all of which have been shown to be expressed at elevated levels in the PF, serum, and ectopic lesions of patients with EMs (Bergqvist et al., 2001; Richter et al., 2005; Cho et al., 2007; Wang F. et al., 2018; Wang X. M. et al., 2018; Jaeger-Lansky et al., 2018; Volpato et al., 2018). M2 macrophages mainly secrete transforming growth factor beta (TGF-β), interleukin-10 (IL-10), and other anti-inflammatory cytokines, while inhibiting the production of pro-inflammatory cytokines (Wang et al., 2019; Yao et al., 2019; Gao et al., 2022). In endometriotic lesions, M2-type macrophages are predominant (Bacci et al., 2009; Hogg et al., 2020); however, their numbers become significantly reduced throughout the menstrual cycle in patients with EMs compared with the changes observed in healthy controls in the same time period (Takebayashi et al., 2015). There have been reports suggesting that anti-inflammatory factors may play a dual role in the later stage of EMs, as they can promote the immune escape of ectopic endometrial cells and induce inflammation, while also inhibiting inflammatory responses and reducing disease activity; however, further studies are required to establish a better understanding of their roles in EMs (Zhou et al., 2019). What is known is that NF-κB, a major regulator of inflammation and immune responses, can stimulate LPS-induced pro-inflammatory cytokine expression in macrophages (Monaco and Paleolog, 2004). NF-κB is overactive in endometriotic lesions, enhancing the proliferation, adhesion, migration, and invasive ability of ectopic endometrial cells (Liu et al., 2022).

Reduced serum levels of adiponectin have been reported to be associated with chronic inflammation in various metabolic diseases, including type 2 diabetes, obesity, atherosclerosis, and non-alcoholic fatty liver disease, where the anti-inflammatory effects of adiponectin have been demonstrated (Fantuzzi, 2008; Achari and Jain, 2017; Choi et al., 2020). The anti-inflammatory effects of adiponectin are mainly mediated via the targeting of macrophages (Tsatsanis et al., 2005; Ohashi et al., 2014; Fang and Judd, 2018), which can suppress the growth of myelomonocytic progenitor cell as well as the function of mature macrophages, hindering their phagocytotic ability and lowering TNF-α production, as well as reducing the expression levels of class A scavenger receptors, as well as reducing the expression levels of class A scavenger receptors (Yokota et al., 2000; Ouchi et al., 2001). Adiponectin inhibits the progression of various metabolic and cardiovascular diseases by promoting the transition of macrophages from the pro-inflammatory M1 phenotype to the anti-inflammatory M2 phenotype (Ohashi et al., 2010). In humans, the adiponectin-induced differentiation of monocytes into anti-inflammatory M2-type macrophages is mediated via PPAR-α, and these M2 macrophages inhibit the secretion of proinflammatory molecules from M1 macrophages, which may contribute to the stability of atherosclerotic plaques (Lovren et al., 2010). Although adiponectin can affect macrophage function through multiple other signaling pathways as well, the main targets are the AdipoR1/Toll/NF-κB and AdipoR2/IL-4/ STAT6 signaling pathways, which inhibit effects on macrophage activation to the M1 phenotype and promote macrophage polarization toward the M2 phenotype, respectively (Yamaguchi et al., 2005; Mandal et al., 2011; Wang N. et al., 2014). Other researchers have discovered that adiponectin can attenuate LPSinduced TNF-α and IL-6 production by macrophages while upregulating the expression of anti-inflammatory IL-10 (Wulster-Radcliffe et al., 2004). Several studies have demonstrated that adiponectin expression is also negatively regulated by proinflammatory TNF-α and IL-6, which may contribute to the presence of hypoadiponectinemia in inflammatory diseases (Tilg and Wolf, 2005; Brezovec et al., 2021). Furthermore, adiponectin effectively inhibits the activation of the NF-κB pathway by suppressing the expression of the NF-κB nuclear protein p65, which, in turn, reduces the expression of NF-κB-regulated proinflammatory factors and potently attenuates the inflammatory response to atherosclerosis (Wang et al., 2016). Within the endometrium, adiponectin plays a similar anti-inflammatory role by stimulating the phosphorylation of AMPK in Endometrial cells and inhibiting the IL-1β-induced secretion of the pro-inflammatory factors IL-6, IL-8, and MCP-1 (Takemura et al., 2006). These antiinflammatory effects of adiponectin in Endometrial cells may be associated with endometrium-related events, such as endometrial implantation and the pathogenesis of EMs.

Although adiponectin is generally considered an antiinflammatory adipokine, at high expression levels, it is positively correlated with the clinical progression of systemic autoimmune rheumatic diseases (SARDs), which are typically accompanied by high levels of inflammation; paradoxically, some studies have reported low expression levels of adiponectin in SARDs, with low levels of inflammation and a negative correlation with disease progression (Brezovec et al., 2021). Some recent studies have also

shown that adiponectin can act as an inducer of pro-inflammatory factors and that elevated levels of adiponectin may exacerbate the inflammatory response associated with various autoimmune diseases such as RA, osteoarthritis (OA), systemic lupus erythematosus, and inflammatory bowel diseases (Choi et al., 2020; Brezovec et al., 2021). Others have also found that HMW adiponectin promotes the secretion of TNF-α and IL-6 by fibroblasts from fibroblastic synoviocytes in patients with RA, aggravating the severity of inflammation (Kontny et al., 2015; Li et al., 2015; Liu et al., 2020). In OA, adiponectin has been shown to promote IL-8 secretion in osteoblasts, thereby affecting osteophyte development (Junker et al., 2017). In addition, others have reported than adiponectin can enhance the mRNA expression and protein secretion of IL-8 in human colonic epithelial and macrophage cell lines (Peng et al., 2018). Furthermore, globular adiponectin was shown to be capable of activating the NF-κB pathway and upregulating the expression of pro-inflammatory cytokines in human placenta and adipose tissue (Lappas et al., 2005), HUVECs (Hattori et al., 2006), and RAW264.7 murine macrophages (Lee et al., 2018).

Adiponectin can participate in the inflammatory response of many diseases by regulating macrophage polarization and proliferation and signaling pathways, such as NF-kB and AMPK, as well as the expression of related inflammatory mediators. However, depending on its isoforms and effector tissues, adiponectin may exert differential effects in various physiological processes (Choi et al., 2020), and its bidirectional regulatory function in inflammatory diseases may be related to the severity and stage of disease progression. Further studies is requires to determine whether adiponectin could inhibit the inflammatory response in EMs by regulating signaling pathways, such as NFκB and AMPK, as well as the expression of related inflammatory mediators and macrophage polarization and proliferation. Moreover, whether adiponectin plays different roles, such as inhibiting or promoting inflammation depending on the severity and progression of EMs, needs to be established.

#### 5.4 Fibrogenesis

Over time, ectopic endometrial cells gradually form ectopic lesions through migration, invasion, proliferation, and growth. The lesions undergo repeated bleeding and injury, triggering a recurrent cycle of inflammatory responses and tissue repair (Garcia et al., 2023). Dysregulated repair mechanisms result in excessive accumulation of extracellular matrix, leading to the formation of adhesions, permanent scarring, and the disruption of tissue structure, causing organ dysfunction and ultimately resulting in fibrosis of the endometriotic tissue. Immune cells migrate to the fibrotic region, releasing inflammatory factors, increasing local inflammatory response, and exacerbating the growth and invasion of endometrial cells (Izumi et al., 2018). Fibrosis is inherent in all forms of EMs, and it is associated with painful symptoms, altered tissue function, and impaired fertility in patients with EMs (Eming et al., 2014; Garcia et al., 2023). Fibrosis of ectopic lesions in EMs is an abnormally proliferative disorder that occurs with repeated rupture of the ectopic cyst wall and outflow of the cyst contents, which irritate the adjacent tissues, followed by a localized inflammatory response and fibrosis formation. The histopathological features of ectopic endometriotic lesions are dominated by a large amount of fibrotic tissue, in addition to the endothelial glands and mesenchymal stromal cells (Liu et al., 2018). Fibrogenesis is an important process in the development of EMs; therefore, probing the mechanism underlying fibrogenesis in EMs and finding specific and effective therapeutic targets to inhibit fibrogenesis may be a potential methodology for the treatment of EMs (Vigano et al., 2018). The process of fibrosis in EMs requires the involvement of a variety of cytokines and cells, including macrophages, myofibroblasts, platelets (Kendall and Feghali-Bostwick, 2014; Weiskirchen et al., 2019; Yang and Plotnikov, 2021). Of particular importance is TGF- $\beta$ , a multipotent cytokine that regulates cell growth, development, differentiation, proliferation, immunomodulation, the maintenance homeostasis, and fibrosis via its downstream signal transduction pathway (Morikawa et al., 2016). TGF-β1 is a major regulator of tissue repair as well as fibrosis, initiating the collagen accumulation program and mediating the fibrotic process through multiple signaling pathways (Kim K. K. et al., 2018; Hu et al., 2018; Lodyga and Hinz, 2020; Ye and Hu, 2021). Significantly elevated levels of TGF-β1 have been reported in the serum, PF, and ectopic endometrial tissue of patients with EMs (Chang et al., 2017; Gueuvoghlanian-Silva et al., 2018; Sikora et al., 2018). In ovarian EMs, these ectopic endometrial cells secrete TGF-β1 and activate the Smad signaling pathway to disrupt the extracellular matrix and promote fibrosis in the tissue surrounding the ectopic lesions (Shi et al., 2017). The degree of fibrosis in human ovarian EMs (Ding et al., 2020) and superficial peritoneal EMs (Ibrahim et al., 2019) was positively correlated with the expression levels of alpha-smooth muscle actin ( $\alpha$ -SMA). Elevated  $\alpha$ -SMA expression is a signifier of the transformation of fibroblasts into myofibroblasts, which is a key process of fibrosis (Malmström et al., 2004; Duan et al., 2018).

In recent years, many studies have demonstrated that adiponectin plays a role in preventing fibrosis in a wide range of organs and tissues and that it may represent a potential therapeutic target for the treatment of fibrosis. The anti-fibrotic effects are mediated through the altered signaling of various pathways such as AMPK, PPAR, and TGF-β1/Smad, inhibiting the differentiation of fibroblasts to myofibroblasts and reducing the production as well as the deposition of extracellular matrix. Numerous studies have investigated its mechanism of action in fibrotic diseases of the kidneys (Jing et al., 2020; Zhao D. et al., 2021), liver (Dong et al., 2015; Udomsinprasert et al., 2018), heart (Fujita et al., 2008; Qi et al., 2014), lungs (Kökény et al., 2021; Wang et al., 2022), and skin (Wang et al., 2023), among other organs and systems, confirming its protective effects. Adiponectin was found to be capable of ameliorating tubulointerstitial fibrosis and suppressing angiotensin II-induced secretion of TGF-\beta1 and fibronectin in human renal mesangial cells, thereby reducing extracellular matrix synthesis (Tan et al., 2015). Additionally, α-SMA expression was shown to be downregulated by adiponectin in the renal cortex of mice with progressive renal injury induced by the administration of deoxycorticosterone acetate and angiotensin II, as well as in the lung tissues of mice with bleomycin-induced idiopathic pulmonary fibrosis (Tian et al., 2018; Wang et al., 2022). Another showed that the administration of the AdipoR agonists JT002, JT003, and JT004 significantly reduced the protein expression

level of  $\alpha$ -SMA in hepatic stellate cells and slowed the progression of hepatic fibrosis in mice (Xu et al., 2020).

While most studies to date have reported a protective effect of adiponectin, a few have shown the opposite effect, with adiponectin driving the pathogenesis of fibrosis. For example, one group showed that in mouse bone marrow-derived macrophages, adiponectin activated the AMPK signaling pathway in a time- and dose-dependent manner, induced  $\alpha$ -SMA expression, enhanced the production and deposition of extracellular matrix, and promoted the cells' transformation into fibroblasts, which accelerated renal interstitial fibrosis (Yang et al., 2013). Several other researches have suggested an association between high serum levels of adiponectin and renal functional decline (Panduru et al., 2015; Zha et al., 2017).

Low levels of adiponectin are associated with fibrosis. Serum adiponectin levels in patients with non-alcoholic fatty liver disease are an independent predictor of advanced fibrosis and are negatively correlated with the stage of liver fibrosis (Savvidou et al., 2009). A study showed that serum adiponectin levels in patients with hypertension significantly correlated negatively with biomarkers of myocardial fibrosis (Balmaceda et al., 2020). However, some controversial views have been reported (Arvaniti et al., 2008; Korah et al., 2013; Yan et al., 2013). Adiponectin can inhibit fibrogenesis by regulating signaling pathways, such as TGF-β1/Smad, inhibiting α-SMA expression, and reducing the production and deposition of the extracellular matrix. Adiponectin acts as an important protective factor in fibrotic diseases of the liver, kidneys, heart, lungs, and skin, and low serum adiponectin levels may be an indicator of the progression of fibrogenesis. TGF-β1 is highly expressed in EMs, and activation of the Smad signaling pathway by TGF-β1 can promote fibrosis in tissues surrounding ectopic endometriotic lesions. EMs-associated fibrosis is a relatively new area of research, it is necessary to determine whether the low adiponectin levels in patients with EMs are related to the severity of fibrosis in EMs or whether adiponectin plays a protective role in fibrosis of EMs by modulating signaling pathways, such as TGFβ1/Smad.

#### 5.5 Energy metabolism

The peritoneal microenvironment undergoes significant alterations in patients with EMs; these changes are characterized by imbalances in inflammation, hypoxic conditions, and increased oxidative stress (McKinnon et al., 2016; Ito et al., 2017; Lin et al., 2018; Wu M. H. et al., 2019), which can adversely affect mitochondrial respiration and dysfunctional activity in ectopic endometrial cells, leading to metabolic abnormalities (Atkins et al., 2019; Kobayashi et al., 2021b). In order to adapt to the complex environment and meet the energetic needs of proliferating lesions, ectopic endometrial cells regulate the morphology and function of mitochondria by transforming metabolic processes and activating various signaling pathways, such as that of AMPK, promoting mitochondrial energy production and increasing the supply of available energy to meet the growing requirements of diseased tissues; ultimately, these changes promote the migration, invasion and proliferation of ectopic endometrial cells and create an environment that is conducive to the progression of the lesions (Kasvandik et al., 2016; Kobayashi et al., 2021b; Assaf et al., 2022).

Mitochondria are the organelles that produce the energy required to sustain cellular activity by constantly undergoing repeated cycles of fusion and division (Ye et al., 2023). Mitochondrial dysfunction and reduced energy metabolism have been reported in granulosa and ectopic endometrial cells of patients with EMs (Hsu et al., 2015; Kobayashi et al., 2023), and the altered mitochondrial dynamics and morphology increase the survivability of ectopic endometrial cells in hypoxic and oxidative-stress-related environments, thereby facilitating EMS progression (Ye et al., 2023). Oxidative stress is defined as an imbalance between oxidants, such as reactive oxygen species (ROS), and antioxidants, such as the enzyme superoxide dismutase (SOD). ROS are chemically reactive substances that mediate redox signaling and regulate cellular functions; in patients with EMs, they are involved in maintaining the uterine proliferative phenotype of endometrial cells, increasing the likelihood of ectopic tissue invasion and implantation (Cacciottola et al., 2021). It has been shown that the production of endogenous ROS is increased above normal levels in ectopic endometrial cells of patients with EMs (Ngô et al., 2009); this is accompanied by elevated concentrations of oxidative stress markers in the PF, such as advanced oxidation protein products (Santulli et al., 2015). As a consequence of long-term exposure to oxidative stress, the mitochondria of ectopic endometrial cells promote ROS secretion through an elongation mechanism, further exacerbating oxidative stress and forming a vicious circle that promotes the progression of lesions in EMs (Assaf et al., 2022). Plasma SOD activity has been shown to be reduced in patients with EMs, which is an indicator that the antioxidant capacity has been compromised (Prieto et al., 2012).

Maintaining systemic energy homeostasis is an essential function of most adipocyte-derived hormones. Adiponectin is an adipocyte-derived hormone that improves insulin sensitivity in the liver and skeletal muscle. Studies have shown that in addition to insulin sensitization, adiponectin plays an important role in maintaining systemic energy homeostasis (Wang and Scherer, 2016; Fang and Judd, 2018); it can also promote mitochondrial biogenesis and oxidative metabolism in skeletal muscles of both animals and humans (Lee and Shao, 2014), protect the morphology and function of mitochondria, facilitate the restoration of mitochondrial antioxidant capacity, and protect against oxidative damage following traumatic brain injury (Zhang et al., 2022). Another study demonstrated that adiponectin can also prevent neuroinflammation associated with mitochondrial damage, thereby regulating senescence in the brain (He et al., 2023). Furthermore, adiponectin can inhibit oxidative stress by regulating the balance between ROS and SOD, counteracting obesity-related metabolic changes and cardiovascular diseases and protecting the vascular endothelium and myocardium from tissue damage (Matsuda and Shimomura, 2014). Mechanistically, adiponectin was shown to alleviate LPS-induced oxidative stress during the pre-differentiation of adipocytes through modulation of the peroxisome proliferator-activated receptor gamma (PPAR-γ)/ Nnat/NF-κB axis, significantly reducing the concentration of ROS and increasing the level of SOD in cells (Yang et al., 2019). It was also shown to inhibit oxidative stress by enhancing SOD activity in the serum of homozygous apolipoprotein E-deficient (ApoE -/-) mice, thereby reducing the formation of atherosclerotic plaques (Wang X. et al., 2014). In mouse hepatocytes and podocytes, adiponectin was

shown to inhibit palmitic acid-induced ROS secretion and protect against liver and kidney injury (Dong et al., 2020; Xu et al., 2021).

However, a small number of studies have reported contradictory findings; for example, one group showed that adiponectin inhibits SOD activity and promotes ROS secretion in rat RINm insulinoma cells, but without reaching pathological concentrations (Chetboun et al., 2012), and others have reported that full-length adiponectin inhibits ROS production in human phagocytes, whereas globular adiponectin exerts the opposite effect (Chedid et al., 2012). The discrepant results of these studies suggest that adiponectin can modulate oxidate stress in a differential manner depending on the isoform.

Studies have shown that adiponectin can protect the morphology and function of the mitochondria, regulate the balance of ROS and SOD, and inhibit oxidative stress. Increased oxidative stress, mitochondrial dysfunction, and other abnormalities in energy metabolism in EMs increase the survival of ectopic endometrial cells; therefore, adiponectin may regulate energy metabolism in Endometrial cells in the microenvironment of the ectopic lesions to affect the ability of Endometrial cells to proliferate, migrate, and invade ectopic sites, and thus play a role in the pathogenesis of EMs; however, the specific mechanism requires further research.

### 5.6 Synthesis, metabolism, and effects of estrogen

EMs is an estrogen-dependent disease (Soares et al., 2012) and is characterized by estrogen-dependent growth of the ectopic endometrium and increased local estrogen secretion (Mori et al., 2019). Estrogen is mainly secreted by the ovarian granulosa and endothelial cells and includes three main types: estrone, estradiol (E2), and estriol, of which E2 has the highest expression and plays the greatest role (Mahboobifard et al., 2022). Aromatase P450, an important enzyme in the synthesis of estrogen, catalyzes the conversion of androstenedione and testosterone produced in ovarian follicular cells to estrone and E2 in ovarian granulosa cells, and its expression is increased in endometriotic lesions (Peitsidis et al., 2023). Aromatase inhibitors, such as anastrozole, letrozole, and exemestane, may be effective agents for the treatment of EMs, as they have the potential to control the symptoms associated with EMs in cases where no therapeutic response was achieved with an initial pharmacological intervention (Soares et al., 2012; Peitsidis et al., 2023). Estrogen plays a biological role by binding to ER. ERa expression is downregulated, and ERB expression is abnormally high in ectopic endometrial cells compared with normal Endometrial cells. Moreover, ERβ can directly inhibit ERa expression (Monsivais et al., 2014; Yilmaz and Bulun, 2019). Localized high estrogenic environment and abnormally high ERB levels promote ectopic endometrial cells proliferation, adhesion, and angiogenesis, as well as upregulated expression and release of pro-inflammatory factors, leading to immune dysfunction and enhancement of mitochondrial biosynthesis, which provides favorable conditions for lesion progression (Monsivais et al., 2016; Wu L. et al., 2019; Xu Z. et al., 2019; Marquardt et al., 2019; Qi et al., 2020; Kobayashi et al., 2021a).

Serum HMW adiponectin is negatively correlated with E2 concentrations in healthy premenopausal women (Merki-Feld et al., 2011), and this negative correlation may be related to the strong association between adiponectin and sex hormone-binding globulin (SHBG) (Tworoger et al., 2007). There is a significant positive correlation between serum adiponectin levels and SHBG levels (Tworoger et al., 2007; Wildman et al., 2013). SHBG can bind to estrogen in the plasma and directly regulate the bioavailability of estrogen and its access to target cells (Fortunati et al., 2010), whereas increased insulin resistance leads to inhibition of SHBG expression, which in turn leads to an increase in the concentration of biologically active estrogen (Hammond et al., 2008; Caselli, 2014; Winters et al., 2014). Adiponectin may counteract the inhibitory effect of SHBG by ameliorating insulin resistance. In addition, AdipoRon can activate the AMPK and PPAR-α pathways in human luteinized granulosa cells, down-regulating cyclic adenosine monophosphate production and aromatase protein expression, thereby reducing E2 production (Grandhaye et al., 2021). Similarly, Tao et al. found that in polycystic ovary syndrome disease, adiponectin downregulates P450 aromatase expression in human luteal granulosa cells and human chorionic gonadotropin-induced E2 synthesis, at least in part, through the activation of PPAR-a (Tao et al., 2019). A large number of studies have now demonstrated the correlation between low adiponectin levels and an increased risk of developing estrogen-dependent diseases (including cervical, endometrial, and breast cancers, as well as uterine fibroids) (Gelsomino et al., 2019; Strzałkowska et al., 2021). For example, Vivian et al. found that adiponectin inhibits the effects of estrogen on breast cancer cell proliferation by decreasing aromatase activity and ER mRNA levels (Morad et al., 2014). Low adiponectin levels are present in female patients with uterine fibroids, and adiponectin may inhibit smooth muscle tumor growth by lowering estrogen levels through the inhibition of the E2/ ERα and insulin-like growth factor 1/insulin-like growth factor 1 receptor pathways (Strzałkowska et al., 2021).

The above studies revealed that adiponectin influences the synthesis, metabolism, and effects of estrogen by inhibiting aromatase expression and estrogen production through the regulation of AMPK and PPAR- $\alpha$  signaling pathways. Adiponectin may play a role in estrogen-dependent diseases by influencing insulin- and estrogen-dependent pathways. Studies have shown that there are high estrogen and low level of adiponectin expression in ectopic endometriotic lesions, and the regulatory effects of adiponectin on estrogen and estrogen receptor may be related to a series of pathogenetic processes, such as proliferation, adhesion, and invasion of ectopic endothelial cells.

#### 6 Discussion

Most studies that have investigated the function of adiponectin have primarily been based on rodents and cellular modeling. Many of the effects of adiponectin appear to be paradoxicales with adiponectin, such as its proven ability to increase systemic insulin sensitivity, however, insulin resistance can also occur at high adiponectin levels (Kalkman, 2021). In some highly inflammatory diseases, serum adiponectin levels are high and are positively correlated with disease progression, while low adiponectin

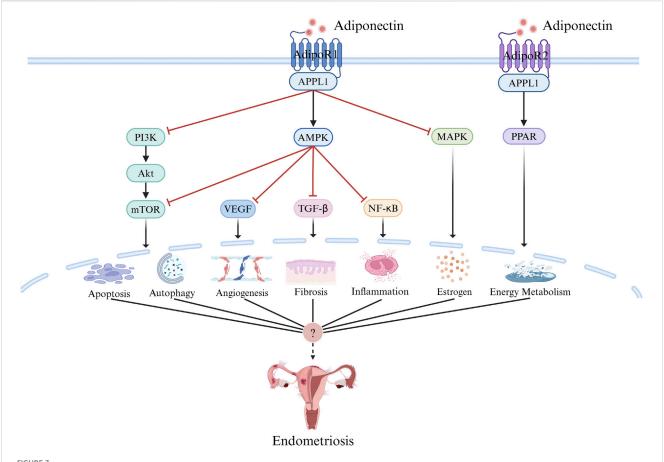


FIGURE 3
Adiponectin Signaling. Adiponectin signal transduction mainly depends on receptor ligand interaction, in which adiponectin binds to AdipoR1 and AdipoR2, and through AMPK, PPAR, PI3K/AKT, MTOR, MAPK, NF-κB, and other pathways initiate the activation of intracellular signal cascades and play a role in apoptosis, autophagy, inflammation, angiogenesis, fibrosis, energy metabolism, estrogen and other aspects, which may be related to the pathogenesis of endometriosis. Created with BioRender.com.

levels have been observed in some diseases in the absence of pronounced inflammation, with the expression levels being negatively correlated with disease severity (Brezovec et al., 2021). Furthermore, low levels of adiponectin have been shown to be associated with an increased prevalence of diseases such as cardiovascular disease and type 2 diabetes (Fantuzzi, 2008; Achari and Jain, 2017; Choi et al., 2020). However, in some cases, high levels of adiponectin may not be associated with any beneficial effects and may even be harmful (Francischetti et al., 2020). The paradoxes and controversies surrounding the actions of adiponectin must be further investigated.

There is evidence suggesting that low levels of adiponectin may be present in EMs and that they are associated with disease severity, a possibility that is supported by the findings of a recent meta-analysis. Therefore, adiponectin may be a beneficial factor that protects against EMs. However, there are many questions still to answer, including whether there is a clear, specific reduction in the expression level of adiponectin in EMs, whether its expression levels differ at various sites such as in ectopic lesions, PF, and serum, and whether there these levels correlate with disease severity. In addition, EMs tends to be accompanied by a low BMI, which has been reported to be negatively correlated with adiponectin levels. However, this does not

explain the low BMI and smaller than expected concentrations of adiponectin in many patients with EMs, as suggested by the current research evidence. These paradoxical observations could be related to the fact that the release of adiponectin depends on the quality rather than the quantity of adipose tissue, although this must be investigated further. Additionally, it will be necessary to determine whether there is an optimal window in which the adiponectin concentration protects against EMs, and it is currently unclear whether adiponectin could serve as a clinical and/or biochemical marker of EMs.

Relatively few studies have investigated the role of adiponectin in the pathogenesis of EMs at the clinical and cellular levels, although most have confirmed that adiponectin is an important participant in many biological processes, including apoptosis, autophagy, angiogenesis, inflammatory responses, fibrosis, energy metabolism, and estrogen-mediated effects, and an important correlation has been shown between many factors and their related pathways and the pathogenesis of EMs (Figure 3). However, many unexpected and interesting dual biological functions of adiponectin have been identified, including the bidirectional regulation of apoptosis, inflammation, and angiogenesis, in which there are still many questions that need to be answered. There is currently insufficient

data to definitively conclude whether adiponectin plays a protective role against EMs. The relationship between adiponectin and EMs has many mysteries to be explored, and elucidating its role in the pathogenesis of EMs may provide important insights into the pathogenesis and treatment of EMs.

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#### Conflict of interest

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**PCOS** 

polycystic ovary syndrome

vascular endothelial growth factor

#### Glossary

PF peritoneal fluid AdipoR1 adiponectin receptor 1

PI3K phosphoinositide 3-kinase AdipoR2 adiponectin receptor 2

PPAR-a peroxisome proliferator-activated receptor alpha Akt protein kinase B peroxisome proliferator-activated receptor gamma PPAR-γ AMPK adenosine monophosphate-activated protein kinase

RA rheumatoid arthritis α-SMA alpha-smooth muscle actin

ROCK2 Rho-associated coiled-coil containing protein kinase 2 ATG13 autophagy-related 13

ROS reactive oxygen species B-cell lymphoma 2 Bcl-2

SARDs systemic autoimmune rheumatic diseases BMI body mass index

SHBG sex hormone-binding globulin connexin 43 Cx43

SOD superoxide dismutase CXCL1 CXC motif chemokine ligand 1

STAT3 signal transducer and activator of transcription 3 CYP2R1 cytochrome P450 2R1

STK11 serine/threonine kinase 11 ELT3 Eker leiomyoma tumor-3

T-cadherin T-cad EMs endometriosis

TGF-β transforming growth factor beta eNOS endothelial nitric oxide synthase

TNF-α tumor necrosis factor alpha ERK extracellular signal-regulated kinase

ULK1 unc-51-like autophagy activating kinase 1 E2 estradiol VEGF

FABP4 fatty acid binding protein 4

GBP-28 gelatin-binding protein 28

FIP200

HMEC-1 human microvascular endothelial cell 1

FAK family kinase-interacting protein of 200 kDa

HMW high-molecular weight

HUVECs human umbilical vein endothelial cells IGFBP1 insulin-like growth factor binding protein 1

IL-1β interleukin 1 beta IL-6 interleukin 6 interleukin 8 IL-8 IL-10 interleukin 10

LC3 microtubule-associated protein light chain 3

LKB1 liver kinase B1 LMW low-molecular weight LPS lipopolysaccharide

MAPK mitogen-activated protein kinase MCP-1 monocyte chemoattractant protein 1

MMD2 monocyte to macrophage differentiation associated 2

MMPs matrix metalloproteinases MMW medium-molecular weight MRC-1 mannose receptor C-Type I mTOR mammalian target or rapamycin

NF-κB nuclear factor kappa B

OA osteoarthritis



#### **OPEN ACCESS**

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## Exploring orphan GPCRs in neurodegenerative diseases

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Neurodegenerative disorders represent a significant and growing health burden worldwide. Unfortunately, limited therapeutic options are currently available despite ongoing efforts. Over the past decades, research efforts have increasingly focused on understanding the molecular mechanisms underlying these devastating conditions. Orphan receptors, a class of receptors with no known endogenous ligands, emerge as promising druggable targets for diverse diseases. This review aims to direct attention to a subgroup of orphan GPCRs, in particular class A orphans that have roles in neurodegenerative disorders, including Alzheimer's disease, Parkinson's disease, Huntington's disease, and Multiple sclerosis. We highlight the diverse roles orphan receptors play in regulating critical cellular processes such as synaptic transmission, neuronal survival and neuro-inflammation. Moreover, we discuss the therapeutic potential of targeting orphan receptors for the treatment neurodegenerative disorders, emphasizing recent advances in drug discovery and preclinical studies. Finally, we outline future directions and challenges in orphan receptor research.

KEYWORDS

GPCR, orphan GPCRs, Alzhemier's disease, Parkinson's disease, Neurodegenaration

#### Introduction

G protein-coupled receptors (GPCRs) also called seven transmembrane (7TM) receptors constitute the largest receptor family in the human protein atlas. GPCRs remain highly sought-after drug targets, owing to their ability to interact with numerous endogenous ligands. GPCRs are categorized into distinct classes based on sequence homology and functional similarities These are, Class A; rhodopsin-like receptors, Class B; secretin family, Class C; metabotropic glutamate receptors, Class D; fungal mating pheromone receptors, Class E; cAMP receptors, and Class F; frizzled (FZD) and smoothened (SMO) receptors (Lee et al., 2018). Among these families the largest is the Class A family, which also includes the class A orphan subgroup. GPCRs play a vital role in many physiological and pathological processes and mediate the signaling of nearly two-thirds of hormones and neurotransmitters (Spillantini et al., 1997). While GPCRs represent a vast array of potential therapeutic targets, there are still more than 140 GPCRs, notwithstanding the olfactory receptor family, for which the natural ligands are lacking. These so-called orphan receptors remain unexplored in terms of their endogenous ligands, molecular signaling pathways and functions (Sriram and Insel, 2018).

Despite their elusive nature, orphan GPCRs present an intriguing opportunity to unravel hidden molecular mechanisms and potential treatment avenues for many debilitating conditions. In this context, investigating the involvement of orphan receptors in the pathogenesis and progression of neurodegenerative diseases holds the

TABLE 1 Orphan GPCR expression and ligands.

Orphan Receptors	Other Names	Expressed in	Gene	Signaling	Hypothetical Endogenous Agonists	Agonist
GPR3	• GPCR21	Brain	1p36.11	Gi	S1P (Uhlenbrock et al., 2002)	Inverse Agonists
	• GPCR3	Hippocampus Habenula		Gs (Freudzon et al., 2005)	DHS1P and DPI (Capaldi et al., 2018)	AF64394 (Jensen et al., 2014)
	ACCA orphan receptor adenylate cyclase constitutive activator G protein-coupled receptor R4	Cortex Amygdala		ERK1/2 and Akt (Morales et al., 2018b)		Diphenyleneiodonium chloride (Y et al., 2014)
	• Gpcr20			β-arrestin2 (Laun et al., 2019; Isawi et al., 2020)		
GPR6	Sphingosine 1-     phosphate receptor	Basal Ganglia	6q21	G <sub>s</sub> (Uhlenbrock et al., 2002)	SIP (Ignatov et al., 2003b)	
	• GPR6	Striatopallidal neurons frontal cortex, retrosplenial cortex, hippocampus, amygdala, and hypothalamus		Gi/o, affecting Ca2+ mobilization (Laun et al., 2019)		
				β-arrestin (Laun et al., 2019)		
GPR12	• Gpcr01/20/21/12	Brain	13q12.13	Gαs (Tanaka et al., 2007)	S1P (Ignatov et al., 2003a)	
	• R334	Cerebral cortex		Gαi (Laun et al., 2019)		
		Hippocampus Striatum				
GPR17	P2Y-like receptor	Oligodendrocyte precursor cells	2q14.3	Gαi	LTC4, LTD4 (Benned-Jensen and Rosenkilde, 2010)	
	UDP/CysLT     recentorP12 Uracil	Frontal cortex Striatum		Gβγ (Liu et al., 2021)	UDP-glucose,	
	receptorR12 Uracil nucleotide/cysteinyl leukotriene receptor	Brain stem Medulla		Gas	UDP-galactose,	
				Gaq	UDP (Ciana et al., 2006; Benned-Jensen and Rosenkilde, 2010)	
				β-arrestins increased Ca2+ flux (Chen et al., 2009)		
				ERK1/2		
GPR18	- GPCRW		13q32.3	Gi/Go family, Gq/ G11 family	N-arachidonoylglycine (Kohno et al., 2006)	Agonists
	- NAGly receptor			Gai/o		N-arachidonoylglycine
	- N-arachidonoyol glycine receptor	donoyol glycine		PI3K/Akt-ERK1/2 (Matouk et al., 2017)		O-1602, abnormal cannabidiol
				MAPK (McHugh et al., 2010; McHugh et al., 2012) eNOS/NO (Fitzgerald et al., 2023)		Δ9-tetrahydrocannabinol
						Anandamide
						Arachidonylcyclopropylamide
						Cannabidiol
						AM251 (McHugh et al., 2012)
						PSB-KD107 (Schoeder et al., 2020
GPR37	EDNRBL/EDNRLB	Cerebellum Spleen	7q31.33	Gαi	The peptides prosaptide and prosaposin (Meyer et al., 2013)	Agonists
	• PAELR	Thymus Peripheral blood leukocytes		Gβγ	Regenerating islet-derived family member 4 (Reg4) (Rezgaoui et al., 2006; Meyer et al., 2013; Wang et al., 2016)	Neuropeptide head activator (Rezgaoui et al., 2006)
	● hET(B)R-LP	Lymph node		Gas		
	• GPCR CNS1	Lung		Gαq		
	Parkin-associated endothelin B-like receptor	Testis		β-arrestins increased Ca2+ flux		
	• Endothelin B receptor- like protein 1			MAPK		
				ERK1/2 (Yang et al., 2016)		

(Continued on following page)

TABLE 1 (Continued) Orphan GPCR expression and ligands.

Orphan eceptors	Other Names	Expressed in	Gene	Signaling	Hypothetical Endogenous Agonists	Agonist
GPR49	• Lgr5	Glioblastoma stem cells	12q21.1	Gα i/o		Agonists
	• GPR49	Spinal cord		PLC		R-spondin-1
	• FEX	Motor neurons of brain stem		PKC		R-spondin-2
	• GPR67	Layer 5a and 6 neurons in cortex		β-arrestin (Snow et al., 1998)		R-spondin-3
	Orphan G protein- coupled receptor HG38			MAPK/ERK PI3K/Akt pathways (Watson et al., 2018)		R-spondin-4 (Carmon et al., 201
	• Leucine-rich repeat-			G 12/13		
	containing G protein- coupled receptor 5			Rho kinase Pathway (Kwon et al., 2013)		
GPR50	• MTNRL	Cortex	Xq28	MT receptors		
	• H9	Midbrain		GPR50/MT1 heterodimer is without G protein coupling (Levoye et al., 2006)		
	• Mel1c	Pons		ADAM17-Notch (Saha et al., 2020)		
	Melatonin-related receptor	Amygdala Hippocampus except glial cells				
		Inhibitory interneurons				
GPR52		Prefrontal cortex Basal ganglia Striatonig	1q25.1	Gas (Lin et al., 2020) PKA CREB ERK1/2 β-arrestin-2-dependent (Wang et al., 2020a; Hatzipantelis et al., 2020b)		Agonists Derivative 17 (Nakahata et al., 2 Compound 7a: 3-[2-(3-Chloro-ifluorobenzyl)-1-benzothiophen-yl]-N-(2-ethoxyethyl)benzamide (Setoh et al., 2014)
GPR55		central nerve tissues and cells	2q37.1	MAPK/ERK (PI3K)/Akt RhoA-dependent Ca2+ signaling NFAT (Henstridge et al., 2008) Ga12/13-RhoA-ROCK and Gaq-PLC-PKC(88) Gq-G11, mitogen- activated protein kinase 1, and calcium signaling (Henstridge et al., 2008; Andradas et al., 2011)	anandamide 2-arachidonoylglycerol 2-arachidonoylglycerolphosphoinositol lysophosphatidylinositol N-palmitoylethanolamine (Ryberg et al., 2007)	2-arachidonoylglycerol N-palmitoylethanolamine JWH015 O-1602 (Ryberg et al., 2007)*
GPR78		Brain Frontal cortex Putamen Thalamus Hypothalamus Amygdala Hippocampus Pons Medulla Midbrain	4p16.1	Gas Gaq-Rho GTPase (Dong et al., 2016)	Teratocarcinoma-derived growth factor I (Cripto) DnaJ-like protein MTJ-1 a2-macroglobulin Kringle 5 Par-4 (Arap et al., 2004; Gonzalez-Gronow et al., 2009; Araujo et al., 2018)	Agonists BC71 and two peptides
GPR83	• GIR	Hippocampus Amygdala	11q21	Gαi/o		Agonists
	• GPR72	Prefrontal cortex		Gαq (Lueptow et al., 2018)		PEN (Uhlenbrock et al., 2002)
	Glucocorticoid induced receptor	Various hypothalamic nuclei		MAPK (Müller et al., 2013)		Zn2+ (Müller et al., 2013)
	G protein-coupled receptor 72					
	• JP05					

(Continued on following page)

TABLE 1 (Continued) Orphan GPCR expression and ligands.

Orphan Receptors	Other Names	Expressed in	Gene	Signaling	Hypothetical Endogenous Agonists	Agonist
GPR84	• GPCR4	Microglial cells	12q13.13	Gai/o (Suzuki et al., 2013b) MAPK/ERK (Wang et al., 2023)	Medium chain free fatty acids with carbon chain lengths of 9–14	Agonists: decanoic acid
	Inflammation-related G-protein coupled receptor EX33				6-n-octylaminouracil (Suzuki et al., 2013a)	undecanoic acid
						lauric acid
						Embelin (orthosteric)
						PSB-16434 (orthosteric)
						ZQ-16 (orthosteric)
						6-nonylpyridine-2,4-diol (orthosteric)
						DL-175 (orthosteric)
						Allosteric modulator DIM (Agonist) (Wang et al., 2006; Suzuki et al., 2013a; Madar et al., 2021; Marsango et al., 2022)
GPR85	• Srep2	Hippocampal formation	7q31.1			New inverse agonists developed (Sakai et al., 2022)
	• SREB2/SREB	Olfactory bulb - Cerebellum				(Sakai et al., 2022)
	• Super conserved receptor expressed in brain 2					
	PKrCx1					
GPR88	• STRG	Striatum	1p21.2	Gαi/o (Ehrlich et al., 2017)	-	2-PCCA and RTI-13951-33 (Garisetti et al., 2023)
	striatum-specific GPCR			β-arrestin (Laboute et al., 2020)		

\*Those with multiple ligands have not been placed. Table has been updated from the https://www.guidetopharmacology.org/GRAC/FamilyDisplayForward?familyId=16#83 Genes: https://www.informatics.jax.org/marker/MGI:101908

promise of uncovering novel targets that could redefine the landscape of drug development and improve the lives of individuals affected by these disorders (Table 1). This exploration represents a dynamic and evolving area of research, poised to contribute significantly to the ongoing efforts to combat the complexities of neurodegenerative diseases.

The research on orphan receptors has emerged as a promising area in chasing for novel drug targets, particularly for neurodegenerative disorders such as Parkinson's disease (PD), Alzheimer's disease (AD), Huntington's disease (HD), and Multiple sclerosis (MS) (Figure 1). These disorders pose significant challenges, characterized by complex and multifaceted pathologies that currently lack comprehensive therapeutic solutions. Neurodegenerative disorders usually manifest as progressive decline in major functions such as cognition, motor functions and accompanying mood disorders depending on the anatomical region in the brain effected. For instance, PD is characterized by the gradual deterioration of dopaminergic neurons in the substantia nigra pars compacta, marked with the accumulation of intracellular protein inclusions known as Lewy bodies, composed of misfolded αsynuclein (α-syn) (Spillantini et al., 1997). The damage of PD is not restricted to the dopaminergic neurons in the substantia nigra but also expand to motor systems, the limbic system, medulla oblongata/ pontine tegmentum and olfactory bulb and the autonomic centers, as inferred from the anticholinergic side effects of anti-Parkinson's medications (Braak et al., 2004). AD is another age related neurodegenerative disease in which mitochondrial dysfunction, tau pathology, A $\beta$  plaques and neurofibrillary tangles are deposited and lead to neuronal damage and cell death, primarily affecting memory and cognitive functions (Tiraboschi et al., 2004). The genetic neurodegenerative Huntington's disease also causes a progressive breakdown of neurons, progressive tissue lost specifically in the caudate and cortical thinning related to distinct motor and cognitive phenotypes, affecting motor control, cognition, and behavior (Draganski and Bhatia, 2010). Another chronic inflammation-based pathology leads to MS, which targets the central nervous system (CNS). In MS the inflammation, demyelination, and neuronal damage (Korn, 2008) progresses into the axon injury/loss, which is followed by long-term physical and cognitive impairments (Springer, 2021).

Despite extensive research and progress in the de-orphanization of GPCRs, more than 1000 GPCRs are still classified as orphan receptors, without identified ligands and with unknown physiological functions. In this review, we draw attention to a subgroup of orphan GPCRs, in particular Class A orphans, including GPR3, GPR6, GPR12, GPR17, GPR18, GPR37, GPR49, GPR50, GPR52, GPR55, GPR78, GPR83, GPR84, GPR85, GPR88, that have links to neurodegenerative disorders.

We provide an overview of the proposed "hypothetical" endogenous ligands, and designed ligands according to the identified structures and signaling pathways linked to these receptors (Table 1). We seek to integrate this knowledge with insights into the pathophysiology of neurodegenerative disorders, while also considering the relevant anatomical brain locations

already associated and implicated in these neurodegenerative conditions.

#### GPR3, GPR6, and GPR12

GPR3, GPR6 and GPR12 comprise a family of closely related orphan receptors that belong to the class A family of GPCRs (Laun et al., 2019). These orphan receptors display high constitutive activity and are capable of signaling through G protein-mediated and non-G protein-mediated mechanisms (Morales et al., 2018a; Laun et al., 2019). Three independent groups reported the molecular cloning of GPR3, GPR6 and GPR12 (Saeki et al., 1993; Eggerickx et al., 1995; Song et al., 1995). The genes encoding these receptors were located in the human chromosomal regions 1p36.1, 6q21 and 13q12, respectively. GPR3, GPR6 and GPR12 share over 60% sequence identity and common conserved motifs and structural features among them. GPR3 and GPR6 share common chromosomal positions with cannabinoid receptors, suggesting that they have a common ancestor (Fredriksson et al., 2003). Molecules targeting GPR3, GPR6 and GPR12 are of interest for therapeutic applications since they are implicated in several neurodegenerative diseases, including AD, PD, HD and MS. In addition to neurodegenerative disorders, these orphan receptors may impact other brain-related processes such as neuropathic pain, cocaine reinforcement or cell survival and proliferation (Morales et al., 2018a). Their high presence in the central nervous system and also their proposed roles in neurite outgrowth renders them valuable for the basic understanding of physiological processes and the underlying mechanisms of orphan GPCRs and possibly all GPCRs.

GPR3: GPR3 is extensively expressed in the brain, primarily in the hippocampus, habenula, cortex and amygdala (Eggerickx et al., 1995). Activation of GPR3 leads to an increase in adenylyl cyclase, which in turn augments the level of intracellular cyclic adenosine monophosphate (cAMP). It is known that cAMP plays significant roles in neurons including neurite outgrowth, axonal regeneration and axonal guidance. Tanaka et al. have demonstrated that neuronal expression of the GPR3 receptor enhances neurite outgrowth, and regulates the proliferation of cerebellar granule cell precursors (Tanaka et al., 2007; Tanaka et al., 2009). The same group of investigators have shown that GPR3 protects neurons from apoptosis via activation of ERK and AKT signaling (Tanaka et al., 2014). Conversely, adverse effects of GPR3 are implicated in the amyloid pathology observed in AD.

One of the pathological hallmarks of AD is the progressive accumulation of aggregates of amyloid peptides in the brain. The amyloid beta (A $\beta$ ) peptides are generated from the sequential breakdown of amyloid precursor protein (APP) by two peptides, the  $\beta$ - and  $\gamma$ -secretases. The  $\beta$ -secretases and  $\gamma$ -secretases play a fundamental role in APP proteolysis and A $\beta$  generation. GPR3 has been identified to play a role in regulating the breakdown of APP, thereby modulating the progression of AD. In both neuronal cultures and animal models, GPR3 was shown to upregulate the  $\gamma$ -secretase activity and A $\beta$  accumulation (Thathiah et al., 2009). In a subsequent study, the same researchers demonstrated that GPR3 messenger RNA (mRNA) levels were elevated in 18 postmortem brain tissue of AD patients (Thathiah et al., 2013). The

physiological consequence of loss of the GPR3 gene was investigated in four AD-mouse models by Huang et al., 2015 (Huang et al., 2015). These investigators observed that genetic deletion of GPR3 reduced amyloid pathology in all of the AD mouse models they studied. These studies suggest that lowering GPR3 activity may be beneficial in reducing amyloid pathology in AD.

A Lysophospholipid sphingosine-1-phosphate (S1P) has been suggested as an endogenous ligand of GPR3 in rats (Uhlenbrock et al., 2002). DHS1P and DPI are also potential endogenous ligands for GPR3 mentioned in the literature (Uhlenbrock et al., 2002; Capaldi et al., 2018). Furthermore, an inverse agonist, AF64394, has been proposed for GPR3 (Jensen et al., 2014; Kaushik and Sahi, 2017).

GPR6: GPR6 was initially described as S1P receptor (Morales et al., 2018a; Atanes et al., 2021). It is co-localized to dopamine D1 and D2 receptors, as are GPR52 and GPR88 (Rahman et al., 2022). GPR6 which is extensively expressed in striatopallidal neurons in the basal ganglia (Lobo et al., 2007; Komatsu et al., 2014) has ubiquitous functions and it induces an increase in cAMP levels when it is linked to stimulatory Gs protein. It plays a significant role in human instrumental learning in which the dopaminergic system has a critical role. In rodent cerebellar granule neurons, overexpression of GPR6 boosts neurite outgrowth (Tanaka et al., 2007; Laun et al., 2019). On the other hand, studies carried out in GPR6-knock-out mouse models suggest that GPR6 inhibition may provide benefits for PD. In GPR6-knock out mice, phosphorylation of dopamine and cAMP-regulated phosphoprotein of 32 kDa (DARPP-32) at threonine 34 increased significantly, while production of DARPP-32 in the striatum did not (Oeckl et al., 2014; Nishi and Shuto, 2017).

GPR12: GPR12 is phylogenetically related to the Cannabidiol Receptors (CB-1 and CB-2) (Wong et al., 2023). GPR12 is also a constitutively active receptor expressed mainly in the central nervous system. S1P (Eggerickx et al., 1995; Uhlenbrock et al., 2002; Martin et al., 2015) and sphingosine-phosphorylcholine (SPC) (Ignatov et al., 2003a; Allende et al., 2020) are potential endogenous ligands for GPR12. GPR 12 is expressed mainly in the central nervous system, in structures related to cognitive processes such as the cerebral cortex, the hippocampus and the striatum (Saeki et al., 1993). In mice, GPR12 is expressed in the area controlling emotion and metabolism (Ignatov et al., 2003a). Other functions ascribed to GPR12 include pain control, neurite outgrowth and regeneration (Allende et al., 2020). A study in rat pheochromocytoma PC12 cells demonstrated GPR12 overexpression promotes neurite outgrowth by inducing differentiation of PC12 into neuron-like cells. This effect was accompanied by activation of ERK1/2 signaling (Lu et al., 2012). A report based on SNP microarray-based genome-wide association suggests a link between GPR12 and antipsychotic response to schizophrenia treatment (Zhao et al., 2022).

#### GPR17

GPR17 is an orphan GPCR that is expressed in oligodendrocyte precursor cells (OPCs) and premature oligodendrocytes (Fumagalli et al., 2011). GPR17, a purinergic P2Y-like receptor, responds both to uracil nucleotides (UDP, UDP-glucose, UDP-galactose) and

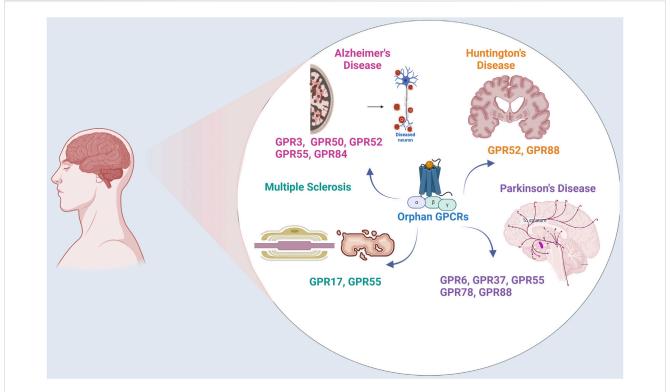


FIGURE 1
Orphan GPCRs in Neurodegenerative Disorders. The figure illustrates the expression and localization of orphan GPCRs in Parkinson's Disease, Alzheimer's Disease, Multiple Sclerosis, and Huntington Disease.

cysteinyl leukotrienes CysLTs, such as LTD4 and LTC4. These endogenous ligands are released extracellularly at sites of neuroinflammation, where GPR17 is elevated (Fumagalli et al., 2011). The expression of GPR17 increases during damage to nerve cells. Furthermore, it takes part both in the process of inducing damage and also in the local repair of the damaged myelin sheath. Thus, GPR17 is an attractive target for MS.

The GPR17 gene was first isolated in 1996 and characterized for the first time in 2006 (Raport et al., 1996; Ciana et al., 2006). Phylogenetically, GPR17 is closely related to the purine subfamily and cysteinyl leukotriene receptors CysLT1 and CysLT2 (Furnagalli et al., 2016). It has been classified into the rhodopsin-like family, together with the purinergic P2Y receptor. In humans, the gene for GPR17 is located on chromosome 2q21. GPR17 receptors are present in neurons and some parenchymal quiescent OPCs. GPR17 is one of the key proteins expressed in human adult neuroprogenitor cells and participates in neuronal repair. GPR17 receptors are found in abundance in the nervous system, including the frontal cortex, striatum, brain stem and medulla (Ceruti et al., 2011). In addition, it is expressed in organs that undergo ischemic injury, including the brain, kidney and heart (Ciana et al., 2006; Ceruti et al., 2011; Dziedzic et al., 2020).

The level of GPR17 receptors is increased in oligodendrocyte lineage cells during the differentiation of OPCs into premature oligodendrocytes (Alavi et al., 2018). Recent reports indicate that GPR17 receptors play a role in both demyelination and remyelination processes in the central nervous system (CNS) (Dziedzic et al., 2020). These receptors seem to contribute to the death of neurons in sites of inflammation and also cause nerve tissue repair. Myelin sheath destruction and axonal injury are

among the hallmarks of MS. The presence of oligodendrocytes and intact myelin sheath are essential for the proper functioning of neurons. Thus, they can serve as a sensor for local damage to the myelin sheath and as a potential marker of the neurodegenerative process in MS. In an *in vivo* mouse model of MS that presents clinical and pathological similarities to human MS, a highly selective GPR17 agonist delayed the onset of encephalomyelitis (Parravicini et al., 2020).

#### GPR18

GPR18 was first cloned by Gantz et al., in 1997. In humans, GPR18 is abundantly expressed in the spleen, thymus, peripheral blood leukocytes, lymph node, cerebellum, lung and testis, among others (Gantz et al., 1997; Vassilatis et al., 2003). GPR18 is also expressed in several immune cell types where it is involved in different biological functions. It shares low sequence homology with the cannabinoid receptors CB-1R and CB-2R and displays moderate identity with the putative cannabinoid receptor GPR55 (Morales et al., 2020).

GPR18 regulates polymorphonuclear cell infiltration and protects organs from acute immune responses (Reyes-Resina et al., 2018). The interest in GPR18 lies in its ability to recognize cannabinoid ligands and its propensity to heteromize with CBRs. This suggests that GPR18 and its heteromers may be attractive targets for neurodegenerative disorders.

The therapeutic potential of GPR18 has been shown through *in vitro* and animal model studies. It has been shown that GPR18 can interact with the CB-2R in activating microglia of the AD model.

Two different compounds have been proposed as putative ligands for GPR18; however, due to insufficient *in vivo* data, GPR18 is still grouped under class A orphan GPCRs. (for an extensive review on GPR18, see Morales et al., 2020) (Morales et al., 2020).

#### GPR37

Among orphan receptors with therapeutic potential for the treatment of neurodegenerative diseases, GPR37 is of particular interest since it is extensively expressed in the brain and the central nervous system and because it is related to the dopaminergic system and brain myelination. GPR37 is recognized as the parkin-associated endothelin receptor-like receptor (Pael receptor) since it was originally identified as a substrate of parkin (Imai et al., 2000; Imai et al., 2001). Parkin is an E3 ubiquitin ligase encoded by the PARK2 gene involved in ubiquitination and proteasome-mediated degradation of misfolded proteins (Imai et al., 2001). Mutations in the PARK2 gene are the most common cause of autosomal recessive juvenile parkinsonism (AR-JP) (Kitada et al., 1998). An insoluble form of GPR37 was reported to accumulate in the brains of AR-JP patients. It is worth mentioning that modulation of GPR37 signaling is implicated in other diseases such as bipolar and major depression disorders, autism and epilepsy.

The GPR37 gene was first discovered in humans and localized to chromosome 7 (7q3l) as encoding for 7TM 613 amino acid-protein (Marazziti et al., 1998). Immunohistochemical mapping of GPR37 protein levels in mouse brain showed that the receptor is widely expressed in oligodendrocytes, whereas neuronal expression is mainly limited to the nigrostriatal dopaminergic system and hippocampus (Imai et al., 2001).

Although its physiological relevance remains to be elucidated, GPR37 may be an attractive target for PD, since it interacts with the D2R, the 5-HT4R and also with the adenosine  $A_{2A}$  receptor,  $A_{2A}R$ . In PD, GPR37 acts as an  $A_{2A}R$  inhibitor via receptor oligomerization. Recent studies suggest that GPR37 has a bidirectional role in PD pathogenesis. While its physiological role seems to be neuroprotective, it can misfold and aggregate intracellularly, ultimately leading to cell death (Leinartaité and Svenningsson, 2017).

Thus far, three different molecules, the head activator (HA), prosaposin (PSAP) and regenerating islet-derived family member 4 (Reg4) have been suggested to signal via GPR37, (Rezgaoui et al., 2006; Meyer et al., 2013; Wang et al., 2016), but currently GPR37 remains as a de-orphanized GPCR. Since GPR37 toxically accumulates in AR-JP, Morato et al. have explored the possibility of ecto-GPR37 as a potential biomarker for PD. Briefly, the presence of peptides from the N-terminus cleaved domain of GPR37 (i.e., ecto-GPR37) in human cerebrospinal fluid (CSF) samples of control subjects, PD patients and AD patients were identified by LC-MS analysis and quantified by an inhouse ELISA method (Morató et al., 2021). The authors reported that significantly higher levels of ecto-GPR37 were detectable in the CSF of PD patients, but not in AD patients. Therefore, these authors suggest that ecto-GPR37 may be a promising potential biomarker for PD.

#### GPR49

GPR49, also known as Lgr5, that plays a critical role in various cancers, including basal cell carcinoma, head and neck squamous

cell carcinoma, oral squamous cell carcinoma, and hepatocellular carcinoma (Yamamoto et al., 2003; Tanese et al., 2008; Major et al., 2013). Recent studies show that LCR5 is also expressed in neuronal stem cells such as glioblastoma stem cells and is associated with neuronal differentiation and maturation (Mao et al., 2013). In addition, LGR5 is abundantly expressed in spinal cord, motor neurons in brain stem, and neurons in Layer 5a and 6 in cortex, thereby LGR5 might be involved in the development of projection neuron in CNS (Song et al., 2015). Based on its expression in motor neurons and cortex, GPR49 may play a crucial role in the development of neurodegenerative diseases, although no studies have identified a link between this receptor and these disorders.

#### GPR50

GPR50 is widely distributed in many brain region such as cortex, midbrain, pons, amygdala and hippocampus except glial cells (Grunewald et al., 2012). In addition, it is also expressed in the inhibitory interneurons, These data suggest that GPR50 might modulate the excitability of neurons and regulate synaptic plasticity and cognitive function (Li et al., 2020). Furthermore, there is a growing evidence showing that GPR50 may be involved in the hypothalamus–pituitary–adrenal (HPA) axis and the glucocorticoid receptor (GR) signaling, leptin signaling, adaptive, thermogenesis, torpor and neuronal differentiation (Bechtold et al., 2012; Khan and He, 2017).

Although there is a potential link between GPR50 and psychiatric conditions and given the overlap between psychiatric and neurological disorders, recent findings have addressed the role of GPR50 in neurodegenerative diseases. Chen et al. (2019) have demonstrated a significant link between GPR50 hypomethylation and AD in males, suggesting a potential role for GPR50 in the development or progression of AD (Chen et al., 2019).

Moreover, GPR50, previously known as melatonin-related receptor, was cloned from the human pituitary and recognized as a member of the melatonin receptor subfamily and showed high amino acid similarities (45%) with MT1 and MT2 (Reppert et al., 1996). Zlotos et al. (2014) suggested the potential heterodimerization of melatonin receptor subtypes, including MT1 and MT2, with GPR50, which might affect melatonin receptor function (Zlotos et al., 2014). Changes in these receptors' expression patterns may contribute to the development and progression of the disease, pointing to a possible link between GPR50-related melatonin signaling pathways and neurodegenerative diseases like AD. Although the importance of GPR50 and ligand interactions has been established for neurodegenerative diseases, further research is needed to clarify downstream signaling pathways.

#### GPR52

GPR52 is predominantly expressed in the brain, particularly in regions associated with symptoms of neuropsychiatric disorders and Huntington's disease (Komatsu et al., 2014; Nishiyama et al., 2017; Hatzipantelis et al., 2020a; Wang P. et al., 2020; Ma et al., 2020). Along with GPR6 and GPR8, GPR52 shows promise as a therapeutic psychiatric receptor, especially due to its association with dopamine receptors in the basal ganglia (Rahman et al., 2023).

In light of its involvement in cAMP signaling pathways and potential effects on physiological functions, the expression and signaling cascade of the orphan receptor GPR52 has drawn attention in recent years. GPR52 has been found to co-localize with D1 receptors in the prefrontal cortex and with D2 receptors in the basal ganglia, indicating its involvement in dopaminergic transmission in these regions (Komatsu et al., 2014; Constantinof et al., 2019). In addition, the expression profiles in the prefrontal cortex overlap with D1 dopamine receptors, suggesting a potential influence on locomotor activity through the activation of DRD1 and NMDA receptors via cAMP accumulation (Hatzipantelis et al., 2020a). Moreover, it has been suggested that GPR52's activation of ERK1/2 signaling and the recruitment of  $\beta$ -arrestins in frontal cortical neurons are mechanisms that require further investigation (Woo et al., 2020).

GPR52 signaling via cAMP has been implicated in opposing D2 signaling in the striatum while stimulating D1/NMDA function in the frontal cortex (Russell et al., 2021). However, the effectiveness of GPR52 agonism in modulating D2/3 receptor signaling outside of the striatum may be limited by lower expression levels (Rahman et al., 2022). Moreover, GPR52-expressing neurons in the habenular nucleus have been suggested to provide negative compensatory signals to dopaminergic neurons in the midbrain. GPR52 has also been linked glutamatergic transmission in addition to the modulation of dopaminergic transmission, further emphasizing its role in cognitive and emotional processes (Komatsu et al., 2014).

Furthermore, the identification of GPR52 selective antagonists through high-throughput screening and studies of the structure-activity connection presents novel possibilities for therapeutic approaches for diseases such as Huntington's disease (Komatsu, 2021).

Reducing GPR52 or using antagonist can result in a decrease in soluble mutant Huntingtin (mHTT) protein levels, thereby improving HD-like phenotypes (Yao et al., 2015). This effect is linked to the modulation of mHTT levels through the inhibition of GPR52 function (Wu et al., 2023). Additionally, research has shown that GPR52 plays a role in rescuing behavioral phenotypes in HD mouse models, indicating its potential as a therapeutic target for the disease (Stott et al., 2021).

An understanding of the complex relationship between GPR52 and other receptors like dopamine D2 will help to develop novel treatment strategies that could address the complex pathophysiology of conditions like PD.

#### GPR55

G-protein coupled receptor 55 (GPR55) is widely expressed in the central nerve tissues and cells, and plays a role in controlling oxidative and inflammatory cell homeostasis (Apweiler et al., 2021). GPR55 interacts with two cannabinoid receptors (CB1/CB2). GPR55 forms heteromer structure with CB1 and CB2 receptors like other orphan receptors (GPR3/GPR6/GPR12/GPR18), or PPARγ, subsequently leading to complex interactions that can either inhibit or enhance GPR55-mediated signaling (Apweiler et al., 2021; Perez-Olives et al., 2021).

GPR55 plays a crucial role in various cellular processes such as cell proliferation, migration, survival, and tumorigenesis in various cancer cell lines (Hasenoehrl et al., 2019; Akimov et al., 2023). It

triggers a cascade of signaling events by interacting with different receptors or ligands, leading to diverse outcomes in different cell types (Balenga et al., 2014; Shi et al., 2017; Hill et al., 2019). Additionally, GPR55 has been implicated in modulating neurotransmitter release at central synapses, further highlighting its diverse functions (Sylantyev et al., 2013).

Furthermore, it has been demonstrated that GPR55 stimulates the extracellular signal-regulated kinase (ERK) cascade, which in turn stimulates the growth of cancer cells (Andradas et al., 2011; Mangini et al., 2017). GPR55 has been shown to promote cancer cell proliferation via the extracellular signal-regulated kinase (ERK) cascade (Andradas et al., 2011; Mangini et al., 2017). The cell type-dependent RhoA activation reported upon activation of GPR55 in different studies indicate to cell type-specific downstream signaling cascade (Liu et al., 2016).

Numerous physiological processes and diseases, such as neuropathic pain, cancer, metabolic diseases, inflammatory pain, bone growth, and neurological disorders have been linked to GPR55 (Kotsikorou et al., 2013). While its role in diseases like obesity, diabetes, osteoporosis, and cancer has been studied, its involvement in CNS disorders such as depression, AD, and PD remains poorly understood (Apweiler et al., 2021). It has been implicated in various physiological processes and diseases, including neuroinflammation and neurodegenerative conditions like Multiple sclerosis (MS) (Saliba et al., 2018).

Very recent studies have delineated the association between GPR55 and cannabioid receptors and cannabidiol (CBD), a cannabinoid compound. CBD has been found to cause vasorelaxation through CB1 activation and has been implicated in modulating seizures through interactions with CB1, CB2, GPR18, GPR55, and other receptors (Stanley et al., 2015; Longoria et al., 2022). Additionally, CBD has been used in clinical practice for conditions like spasticity in MS and childhood epilepsy (Hind et al., 2016; Golub and Reddy, 2021). A number of studies demonstrate that the GPR55, PPARy, and TRPV channels signaling pathways are linked to the anti-inflammatory effects of CBD (Lötsch et al., 2018). Since inflammation is one of the hallmarks of neurodegenerative diseases, targeting GPR55 might be a novel therapeutic approach for the treatment of neurodegenerative diseases like PD, AD, and MS (Saliba et al., 2018).

Recent evidence has pointed out that single nucleotide polymorphisms (SNPs) in GPR55 are linked to AD progression, suggesting a role in the disease (Mori-Fegan et al., 2023). In AD mouse models, activation of GPR55 has been shown to reduce synaptic dysfunction, oxidative stress, neuroinflammation, and cognitive impairment (Xiang et al., 2022). Furthermore, research indicates that endocannabinoid-related receptors, such as GPR55, are expressed more abundantly in mice models of AD, indicating that these receptors have a role in the disease (Medina-Vera et al., 2023). GPR55 is also expressed on microglia cells which are known to be essential for neuroinflammation. GPR55 antagonists have also been shown to have anti-neuroinflammatory properties in microglial cells, suggesting a possible treatment path for neurological disorders characterized by neuroinflammation (Saliba et al., 2018).

Activation of GPR55 has been observed to mitigate cognitive impairment, oxidative stress, neuroinflammation, and synaptic dysfunction in AD mouse models (Xiang et al., 2022). The GPR55 agonist, O-1602, has displayed a potential in ameliorating cognitive impairment, neuroinflammation, oxidative stress, and

apoptosis induced by lipopolysaccharide in mice, suggesting a neuroprotective role (Wang XS. et al., 2020).

In addition to AD, GPR55 has been implicated in PD. Recent studies have shown high expression of GPR55 in the striatum and in the external globus pallidus, indicating a potential link between GPR55 activity and motor dysfunction in PD (Patricio et al., 2022; Wong et al., 2023). GPR55 and CB1 heteromers have also shown significant neuroprotection against parkinsonism-inducing toxins, as in AD (Cooray et al., 2020). Additionally, the expression of heteromers consisting of GPR55 and CB1/CB2 receptors in the striatum has been evaluated in parkinsonian macaques, highlighting a correlation between Parkinsonism and altered expression of these heteromers (Basile and Mazzon, 2022). The use of GPR55 as a therapeutic target for managing motor deficits in PD has been proposed, with research focusing on the effects GPR55 selective ligands in PD rat models (Fatemi et al., 2021; Sánchez-Zavaleta et al., 2023). The therapeutic potential of GPR55 has been also explored in PD, with studies indicating that GPR55 activation may reduce circuit dysfunction in PD-related afferent systems, making it a promising approach for treating disease-related motor dysfunction (Hewer et al., 2023).

In experimental autoimmune encephalomyelitis models of MS, the genetic background has been found to influence the effects of gene knockout, particularly of GPR55 and CB2 receptors, on disease severity (Nouh et al., 2023). Specifically, GPR55 has been associated with pro-inflammatory roles in mouse models of gastrointestinal inflammation and MS (Kurano et al., 2021; Nouh et al., 2023).

#### GPR78

GPR78 is closely analogous to the GPR26 gene and is exclusively identified in the placenta and pituitary glands of humans. There were no mRNA transcripts detected in other central nervous system regions, including the frontal cortex, putamen, thalamus, hypothalamus, amygdala, hippocampus, pons, medulla, and midbrain (Lee et al., 2001). GPR78 is generally expressed in endoplasmic reticulum and inactivates ER stress sensors ATF-6, PERK and IRE1 (Araujo et al., 2018). *In vitro*, GPR78 is shown to increase intracellular cAMP (Jones et al., 2007). Acting as a regulator within the phosphoinositide 3-kinase (PI3K)–protein kinase B (AKT) signaling network, it exerts varied downstream effects on the proliferation, survival, metastasis, and chemoresistance of cancer cells. BC71 and two peptides have been developed for the GPR78 receptors (Arap et al., 2004; Gonzalez-Gronow et al., 2009; Araujo et al., 2018). Since GPR78 is expressed in the basal ganglia, it may be also be involved in the pathophysiology of PD.

#### GPR83

GPR83, also known as JP05, GIR, and GPR72, is a GPCR initially identified in thymoma as a glucocorticoid-induced receptor. It is extensively present in CD4<sup>+</sup>CD25<sup>+</sup> regulatory T (Treg) cells and the central nervous system, particularly in brain regions like the hippocampus, amygdala, prefrontal cortex, and various hypothalamic nuclei. GPR83 is implicated in stress-associated physiology and may play significant roles in learning and memory, reward, emotional behaviors, and stress regulation.

GPR83-deficient mice showed delayed spatial learning acquisition and an increased preference for sucrose (Li DY. et al., 2013; Li J. et al., 2013). GPR83 and GPR171 signaling pathways in brain regions control feeding and reward behaviors. Recently, there has been discussion concerning the de-orphanization of GPR83, attributed to its discovery of binding with bigLEN or PEN, known to regulate feeding behavior (Gomes et al., 2016). FAM237A and FAM237B are the ligands which are shown to activate GPR83, and the latter activate GPR83 through the Gaq signaling pathway (Sallee et al., 2020). Although a direct correlation between GPR83 and neurodegenerative disorders has not been demonstrated yet, its involvement in learning and memory may have an impact for AD research.

#### GPR84

GPR84, a Gi-coupled GPCR, has been suggested to recognize endogenous medium-chain fatty acids (MCFAs) (Fredriksson et al., 2003; Foord et al., 2005). Similar to GPR83, GPR84 also takes part in immune defense through microglia, which are essential in immune defense of the CNS and its diseases (Simard et al., 2006). In addition to modulating the microglial cells, GPR84 modulates the production of interleukin-4 (IL-4) by T lymphocytes, as well (Wittenberger et al., 2001; Yousefi et al., 2001; Venkataraman and Kuo, 2005).

In an experimental study, the gene of GPR84 is upregulated in microglial cells within the brains of APP/PS1 transgenic mice, a model for AD. The increased GPR84 activity correlates with faster cognitive decline and a decrease in the number of microglia, particularly around areas with amyloid plaques. Interestingly, the absence of GPR84 does not impact the formation of plaques or the hippocampal neurogenesis, but leads to β-amyloid-induced microgliosis and therefore contributes to the β-amyloid-induced dendritic degeneration (Audoy-Rémus et al., 2015). A recent study of human data using machine learning methods, where human samples from the entorhinal cortex bearing neurofibrillary tangles or none were examined, showed that among the other genes, GPR84 gene is differentially expressed. This suggests GPR84 has a potential to be a marker for AD (Madar et al., 2021). 6-noctylaminouracil and 9-14 carbon chain fatty acids have been proposed as endogenous ligands (Wang et al., 2006; Suzuki et al., 2013a). Decanoic acid, lauric acid, embelin, PSB-16434, ZQ-16, 6nonylpyridine-2,4-diol, DL-175 are orthosteric and DIM is an allosteric agonist (Wang et al., 2006; Suzuki et al., 2013a; Southern et al., 2013; Marsango et al., 2022).

#### GPR85

GPR85 previously named as, Super conserved receptor expressed in brain-2, SREB2, has been associated with the brain, and has been linked to autism spectrum disorder and schizophrenia, so far. It has a neuroectodermal origin and is highly expressed in the mouse cerebral cortex and human adults. Its expression is increased with development and neuronal differentiation (Hellebrand et al., 2001). In rat, the expression of the gpr85 gene was found to be declined gradually after birth and became undetectable by postnatal day 18, but its weak expression was observed in the adult

hippocampal formation, olfactory bulb, and cerebellum (Jeon et al., 2002). mRNA profiling across the species of adult human, monkey, and rat forebrains, SREB2 mRNA were detected in the hippocampal dentate gyrus, hippocampal formation, olfactory system, and supraoptic and paraventricular nuclei (Matsumoto et al., 2005).

Few studies have explored the association of GPR85 with brain disorders. However, its expression holds potential as a target for conditions like schizophrenia or epilepsy. Overexpression in transgenic mice resulted in decreased social interaction, abnormal sensorimotor gating, and impaired memory. Additionally, GPR85 expression increased in the adult hippocampal formation, piriform cortex, and amygdaloid complex following treatment with kainic acid, which induces convulsive epilepsy (Jeon et al., 2002; Matsumoto et al., 2008).

Studies designing novel ligands for GPR85 continues and so far, a new inverse agonist has been identified (Sakai et al., 2022). The association of GPR85 with learning and memory suggests a potential link to AD. GPR85 continues to be investigated with specific ligands and antagonists.

#### GPR88

GPR88 exhibits widespread expression in the spleen, liver, and brain. It is conserved between humans and mice and is mapped to the 1p21.3 chromosomes in humans and 3G1 in mice. Initially characterized as a receptor specific to the striatum, GPR88 plays a role in various physiological processes within the central nervous system (Mizushima et al., 2000).

As it is connected to the striatum, it is no surprise that GPR88 has been extensively investigated with animal models of PD research. GPR88 is mainly expressed in the striatum of rodents, humans and is specifically associated with movement disorders (Ye et al., 2019). Knocking down Gpr88 negatively affected the expression of DARPP-32, a key protein in medium spiny neurons controlling dopamine reception. Gpr88 knockout mice showed increased spontaneous locomotion, drug-induced catalepsy sensitivity, and motor incoordination, suggesting GPR88's role in motor function. While direct links between Gpr88 mutations and human PD are lacking, sporadic chorea cases in humans have been associated with mutations in GPR88 (Ye et al., 2019).

One of the most problematic issue in the management of PD is L-DOPA mediated tardive dyskinesia due to the long term use of dopaminergic agents. GPR88 proteins seem to be promising targets for the mitigation of dyskinesia. For instance, Gpr88 knockdown seem to prevent the onset of dyskinesia (Mantas et al., 2020). In this study while Gpr88 knockout mice exhibited less involuntary movements, less serotonin displacement and reduced tacrine-induced PD-like tremor and spontaneous locomotion (Mantas et al., 2020). An association between HD, an autosomal dominant condition which emerges around midlife, and GPR88 has also been proposed. (Rocher et al., 2016). mHTT, that affects striatal medium spiny neurons (MSNs) sustain their functionality over several decades (Rocher et al., 2016). In an in vivo study with a mice model of HD, BACHD, in which there is high expression levels of neuropathogenic, full length mutant huntingtin (flmHTT) genes, lower expression of GPR88 has been found in the striatum, that is accompanied by hyperexcitability, increased amplitude of AMPA receptor-mediated synaptic and a decline in spine density (Rocher et al., 2016).

Similarly, in a Gpr88-inactivated lentiviral-mediated knockdown striatal 6-OHDA rat model, a specifically designated microRNA (miR) (KD-Gpr88) reduced acute amphetamineinduced turning behavior and normalized striatal Gad67 and proenkephalin expression, indicating to an association with the severity of L-DOPA induced dyskinesia (Ingallinesi et al., 2019). In a further study of the same group, using medial forebrain bundle injections in an early Parkinson (6-OHDA)\_ model, lentiviraldelivery of the specific microRNA to knock down GPR88 seemed to mitigate mood, motivation, and cognition alterations by modulating the regulator of G-protein signaling 4 and the truncated splice variant of the FosB transcription factor (Galet et al., 2021). GPR88 primarily couples to Gi/o proteins (Jin et al., 2018) and its known agonists are 2-PCCA and RTI-13951-33 (Garisetti et al., 2023). In summary, GPR84 may be a promising target in PD and HD in the future.

#### Discussion

GPCRs are primary targets for drug development. Many drugs used today are the results of sustained research, stimulated by recent findings of additional signaling pathways. Orphan GPCRs hold the potential of being novel therapeutic targets for disorders that currently have no radical therapies. However, the allure of orphan GPCRs comes with a caveat. GPCRs, in general, are intricately linked to diverse signaling pathways, making them less than ideal drug targets. The association with multiple pathways raises concerns about potential side effects, already encountered with existing GPCR targets. Nevertheless, research on orphan GPCRs is expected to enhance our comprehension of their specific physiological and neuropathological functions in the years ahead. Orphan GPCRs have been associated with various physiological processes, including neuromodulation (Civelli, 2012), circadian behavior regulation (Doi et al., 2016), and immune response modulation that makes them this promising targets with the disorders that have these bases. Orphan GPCRs are also implicated in immune responses, with some receptors regulating key immune cells through metabolite signaling (Husted et al., 2017). Moreover, orphan GPCRs have shown a preference for associating with lipid and lipid-like molecules, suggesting a potential role in lipid metabolism and signaling pathways (Im, 2004; Wei et al., 2017; Jobe and Vijayan, 2024).

Sphingosine-1-phosphates (S1Ps) are signaling lipids which act on the S1PR family of cognate GPCRs and have been shown to modulate neuroinflammation, a process known to be involved in both neurodegenerative and cerebrovascular diseases (Chua et al., 2020). S1P, as an agonist on GPR3, GPR6, GPR12, may be a promising target in Alzheimer's Disease (Kunkel et al., 2013). Despite the promising roles of GPR3 (Huang et al., 2015; Capaldi et al., 2018), GPR6, and GPR12 in neurodegenerative diseases, including AD, further research is required to fully elucidate their mechanisms of action and validate them as viable therapeutic targets. Sphingosine 1-phosphate (S1P) also plays a crucial role in inflammation, particularly in the context of MS. For example, Fingolimod (FTY720), an S1P receptor modulator, has been approved as an oral treatment for relapsing forms of MS, highlighting the relevance of S1P in MS treatment (Choi et al.,

2010). Therefore, agonists on GPR3, GPR6, GPR12 can be considered as candidates for MS research.

Due to the heterogeneous expression of some orphan GPRCs such as; GPR3, GPR6, GPR18 and GPR55 with cannabinoid receptors of CB1 and CB2, the modulatory effects of CB receptors seem to be also intrinsically regulated by these specific orphan receptors. CB2 receptors, in particular, have been shown to have neuroprotective effects in conditions like HD by attenuating microglial activation and preventing neurodegeneration (Rajesh et al., 2007). CB1 receptors have been shown to provide neuroprotection through the inhibition of excitotoxicity and oxidative stress, as evidenced in animal models of some neurodegenerative diseases such as MS (Maresz et al., 2005; Gowran et al., 2010). The endocannabinoid system, involving CB1 and CB2 receptors, their ligands, and associated enzymes, acts as a key modulatory system influencing various pathological processes in neurodegenerative disorders (Jhaveri et al., 2007).

Furthermore, many orphan GPCRs, such as GPR83, GPR84 and GPR85 are expressed in various tissues, including immune system cells, Tregs, monocytes, macrophages, microglia and in the different brain regions (Wei et al., 2017). GPR83 has been linked to the regulation of stress, mood, reward-related behaviors, and immune function (Lueptow et al., 2018). GPR84 has been proposed to be involved in microglial motility after neuronal injury, suggesting a potential role in neuroprotection (Wei et al., 2017). Overall, these results indicate that orphan GPCRs are versatile receptors with implications in both immune responses and neuronal functions. Their involvement in inflammation and immune cell regulation, and along with their proposed neuroprotective roles make them promising targets for therapeutic interventions in conditions involving immune dysregulation and neuroinflammation.

Furthermore, current progress in GPCR structural biology, virtual libraries, molecular modelling and the use of cryo-EM for structure elucidation, has made a significant impact on overcoming different of obstacles in identifying orphan GPCRs ligands. These studies that emphasize the heterodimer or oligomeric structure of orphan GPCRs are critical for their function and signaling.

In conclusion, orphan GPCRs are widely expressed in the CNS and are involved in a wide range of physiological effects. Understanding the interactions of orphan receptors with other

GPCRs such as cannabinoid receptors, dopamine receptors and melatonin receptors and their propensity to form heteromers will provide insights into the complex structural and functional mechanisms underlying neurodegenerative disease (Figure 1). Deciphering the ligands for orphan GPCRs and understanding their structure and signaling mechanism will facilitate identification of small molecules targeted for the therapy of neurodegenerative diseases.

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